



EXECUTIVE OFFICE OF THE PRESIDENT  
OFFICE OF MANAGEMENT AND BUDGET  
WASHINGTON, D.C. 20503

October 1, 2020

THE DIRECTOR

OMB BULLETIN NO. 21-01

TO THE HEADS OF EXECUTIVE DEPARTMENTS AND ESTABLISHMENTS

SUBJECT: Apportionment of the Continuing Resolution(s) for Fiscal Year 2021

1. Purpose and Background. Division A of H.R. 8337 provides continuing appropriations for the period October 1, 2020, through December 11, 2020. As of October 1, 2020, I am automatically apportioning to each applicable Treasury Appropriation Fund Symbol (TAFS), as specified in section 3 of this Bulletin, the pro-rata share of the rate for operations provided by section 101 of this continuing resolution (CR), and any extensions thereof, as well as amounts in any section that provides a CR rate for operations other than that provided by section 101. This Bulletin supplements instructions for apportionment of CRs in OMB Circular No. A-11 (Circular A-11), sections [120](#) and [123](#).

2. Amounts Provided. Section 101 of H.R. 8337 provides such amounts as may be necessary, at a rate for operations as provided in the applicable appropriations Acts for fiscal year (FY) 2020 and under the authority and conditions provided in such Acts, for continuing projects or activities, including the costs of direct loans and loan guarantees, that are not otherwise specifically provided for in H.R. 8337, that were conducted in FY 2020, and for which appropriations, funds, or other authority were made available in:

- Divisions A-D of Public Law 116-93 (except Title X of division A, the last proviso under the heading “Department of Commerce—Bureau of the Census—Periodic Censuses and Programs” of division B, and amounts designated as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985 in title II of division D); and
- Divisions A-H, title I of division I, and section 7 of Public Law 116-94 (except sections 791 and 792 of division B and title V of division F).

3. Automatic Apportionments. See items 1, 2, and 3 of Attachment A for instructions on calculating the rate for operations provided by the CR. See item 4 of Attachment A for instructions on calculating the pro-rata share automatically apportioned.

All automatically apportioned CR funds are apportioned to one Category B labeled “lump sum”, regardless of quarterly restrictions (e.g., amounts apportioned as Category A) imposed in last year’s apportionments. Under an automatic apportionment, all of the footnotes placed on the previous year apportionment remain in effect.

To request automatic apportionment of amounts as Category A or multiple Category Bs, see [section 123.4 of Circular A-11](#).

5. Programs under Section 111. These programs, as defined in [section 123.11 of Circular A-11](#), are automatically apportioned as needed to carry out programs at a rate to maintain program levels under current law, i.e., at the FY 2021 level less any applicable FY 2021 sequestration pursuant to section 251A of the Balanced Budget and Emergency Deficit Control Act of 1985. This automatic apportionment does not, however, apply to programs with more complex funding structures. Agencies should contact their OMB Resource Management Office (RMO) to determine if their TAFS is automatically apportioned or if an account-specific apportionment is required.

Section 111 does not apply to any associated discretionary administrative expenses, which are automatically apportioned the pro-rata share of the rate for operations provided by section 101.

6. Credit Limitations. To calculate amounts available for credit programs, see [section 123.18 of Circular A-11](#). If the guidance in section 123.18 does not apply, please consult your RMO for further apportionment instructions.

7. Apportionments for Amounts In Excess of the Automatic Apportionment. The CR includes anomalies providing authority to accelerate apportionment or “spend faster” above the amounts automatically apportioned by this Bulletin. Such anomalies include language allowing funds to “be apportioned up to the rate for operations necessary...” or language providing similar authority that allows a TAFS to be apportioned amounts in excess of the pro-rata share calculated in item 4 of Attachment A to this Bulletin. To utilize the authority in a “spend-faster” anomaly, you must submit an account-specific apportionment. Until an account-specific apportionment is approved by OMB, the TAFS will receive the pro-rata share automatically apportioned by this Bulletin. See [section 123.5 of Circular A-11](#) for more information on funding anomalies, specifically spend-faster anomalies.

Absent a “spend-faster” anomaly, if you believe an amount for a TAFS that exceeds the amount automatically apportioned by this Bulletin is needed, you must request an exception apportionment. OMB expects to approve exception apportionment requests only in extraordinary circumstances. See [section 123.16 of Circular A-11](#) for further details on exception apportionments.

8. Extensions of the CR. Unless otherwise required by your RMO, you do not need to request a new apportionment for subsequent extensions of the CR. The additional pro-rata amount provided by the CR extension will be automatically apportioned pursuant to this Bulletin. In the case of a TAFS that receives an account-specific apportionment during the first CR period, the TAFS will be automatically apportioned the additional pro-rata share of the rate for operations under any subsequent extensions of the CR, provided that the total amount apportioned during the CR period does not exceed the total rate for operations provided to the TAFS. However, any footnotes on the account-specific apportionment continue to apply to the TAFS while subsequently operating under the automatic apportionment.

This section does not apply to account-specific apportionments for TAFS with zero funding (see item 12 of Attachment A) or to account-specific apportionments required for “spend-faster” anomalies. For TAFS with zero funding, account-specific apportionments must be submitted for each CR extension. To utilize the “spend-faster” authority for a CR extension, you must request an account-specific apportionment, but, in the interim, you will be automatically apportioned the additional pro-rata share of the rate for operations.

9. Exceptions to this Bulletin. There are some instances where the automatic apportionment provided by this Bulletin does not apply and an account-specific apportionment is necessary during the short-term CR. See item 16 of Attachment A for details and guidance on these exceptions.



Russell T. Vought  
Director

Attachments:

- Attachment A: Continuing Resolution Frequently Asked Questions
- Attachment B: Non-CHIMP Cancellations Recurring in a 2021 Continuing Resolution
- Attachment C: Reductions in Mandatory Programs Recurring in a 2021 Continuing Resolution

## Frequently Asked Questions

### **1. What is the rate for operations provided by section 101 of the Continuing Resolution (CR)?**

The rate for operations should be calculated at the TAFS level. Follow these steps to calculate the FY 2021 CR rate for operations for each applicable TAFS:

- 1) Take the full-year amount enacted in the appropriations Acts specified in section 101, including obligation limitations.
- 2) Subtract any recurring account-specific rescissions, followed by agency-specific and then bill-wide reductions, if any, enacted in the appropriations Acts specified in section 101.
- 3) Add or subtract non-expenditure transfers mandated by the appropriations Acts referenced in section 101. See [section 123.9 of Circular A-11](#) for the definition of mandated transfers.

See [section 123.2 of Circular A-11](#) for examples and more information on determining the rate for operations.

### **2. What is the rate for operations when the CR provides an anomaly that includes a funding level other than that provided by section 101?**

The rate for operations should be calculated at the TAFS level. Follow these steps to calculate the FY 2021 CR rate for operations for an anomaly for each applicable TAFS:

- 1) Take the full-year amount specified in the anomaly.
- 2) Subtract any recurring account-specific rescissions, followed by agency-specific and then bill-wide reductions, if any, enacted in the appropriations Acts specified in section 101.
- 3) Add or subtract non-expenditure transfers mandated by the appropriations Acts referenced in section 101. See [section 123.9 of Circular A-11](#) for the definition of mandated transfers.

See [section 123.5 of Circular A-11](#) for examples more information on determining the rate for operations for TAFS with enacted anomalies.

### **3. What is the rate for operations for accounts impacted by section 115 of the CR?**

See [section 123.7 of Circular A-11](#), specifically the last paragraph, for more information on how section 115 may impact the rate for operations of a TAFS during a CR.

Accounts impacted by section 115 are those listed with asterisks in section 2 of Attachment B. The automatic apportionment applies section 115 by reducing the rate for operations of the current applicable TAFS with the same length of time (e.g., two-year, no-year, etc.) as the TAFS specified in Attachment B. In these cases, follow these steps to calculate the rate for operations:

- a) Take the rate for operations calculated in item 1 (or item 2 if applicable) of this Attachment.
- b) Subtract the recurring rescission level listed in section 2 of Attachment B.

If there is no current applicable TAFS with the same length of time as the TAFS specified in Attachment B, or if the agency and RMO would like to effectuate the recurring rescission or reduction in a different TAFS than how it is automatically apportioned by this Bulletin, then an account-specific apportionment is required. If the agency or RMO chooses not to reduce the rate for operations of the current applicable TAFS, the rescission or cancellation must instead be effectuated by precluding the specified balances on an account-specific apportionment in the TAFS specified in Attachment B.

Note that you may not obligate funds under a short-term CR if such obligation would impinge on the final funding prerogatives of the Congress. Agencies should be cognizant of any rescissions or cancellations included in the House- or Senate-reported FY 2021 appropriations bills as they obligate funds under the short-term CR.

#### **4. What is the automatic apportionment for amounts provided by section 101 and anomalies?**

The amount automatically apportioned (whole dollars) through the period ending December 11, 2020, and any CR extensions of that period, is calculated by multiplying the **rate for operations provided by the CR** (see item numbers 1, 2, and 3 of this Attachment) by the **percentage of the year** covered by the CR, rounded to the nearest hundredth. For H.R. 8337, use  $72 \text{ days} / 365 \text{ days} = 19.73 \text{ percent}$ .

If the CR is extended, OMB will typically not reissue an update to this Bulletin. Instead, this Bulletin automatically apportions the additional pro-rata amount for the number of days of the extension and adds that amount to the amount previously apportioned by this Bulletin. For instance, if the CR is extended for two weeks, the amount automatically apportioned by this Bulletin is an additional  $14 / 365$ , or 3.84 percent, of the rate for operations.

If a full-year appropriations Act is enacted prior to the expiration date in section 106 of the CR, the amounts automatically apportioned by this Bulletin will be unaffected. See [section 120.41 of Circular A-11](#) for more information.

**5. How is budget authority (BA) that is provided in legislation other than the CR effected by H.R. 8337?**

A CR continues the prior-year appropriations Acts specified in section 101. Therefore, except as described in item 9 of this Attachment regarding balances of prior-year BA, it does not affect budget authority that is provided in legislation other than H.R. 8337. Examples of such BA could include (but are not limited to) advance appropriations, mandatory appropriations provided in substantive or authorizing legislation, public enterprise and other revolving funds, reimbursements, or mandatory or discretionary balances of prior-year BA. For TAFS with a mix of BA provided by other legislation and by the CR, the amount provided by the CR should be calculated by looking only at BA provided for FY 2020 in the legislation referenced in section 101. All other BA in the TAFS is unaffected by H.R. 8337 and must be apportioned separately from this Bulletin.

**6. Can I reapportion resources that are not provided by the CR in a TAFS that is under the automatic apportionment pursuant to this Bulletin?**

Yes, but see [section 123.17 of Circular A-11](#), specifically the last paragraph, for further guidance.

**7. How will OMB apportion section 112 of H.R. 8337 regarding civilian personnel compensation and benefits?**

Section 112 allows limited authority to mitigate furloughs under the short-term CR. It does not provide additional total BA for the fiscal year; rather, it allows OMB to apportion the BA at a level above the pro-rata share. OMB expects that there will be very few, if any, apportionments pursuant to this authority. Before requesting an apportionment from OMB pursuant to section 112, you must receive pre-approval from your RMO. In addition to any other standard justification materials, OMB will require written documentation that the agency has satisfied the following pre-condition from section 112 of the CR: *“except that such authority provided under this section shall not be used until after the department or agency has taken all necessary actions to reduce or defer non-personnel-related administrative expenses.”*

**8. If my TAFS received an account-specific apportionment or an exception apportionment under the CR, what amounts are available to my TAFS once the full-year appropriation is enacted and until OMB approves my first apportionment for the fiscal year?**

In addition to the amounts automatically apportioned by [section 120.41 of Circular A-11](#), and unless the full-year enacted appropriation is much lower than the CR’s rate for operations, all unobligated balances of apportioned CR resources will remain available. Contact your RMO for further guidance for cases where a full-year appropriation is much lower than the CR’s rate for operation.

**9. Do I have to execute the recurring discretionary rescissions or cancellations or reductions in mandatory funding identified in Attachments B and C during the period covered by the short-term CR?**

No. Discretionary rescissions or cancellations and reductions in mandatory funding that were included in the FY 2020 appropriations Acts specified in section 101 continue under the CR. However, in order to ensure no impingement on the final funding prerogatives of the Congress, recurring rescissions or cancellations are not returned to the Department of the Treasury and no negative warrant is issued while the CR is in effect. Likewise, as discussed in item 10 of this Attachment, mandated transfers of mandatory funding are not executed during the CR.

Instead, recurring discretionary rescissions or cancellations listed in section 1 of Attachment B or with an asterisk in section 2 of Attachment B are factored into an account's rate for operations as described in items 1 and 3 of this Attachment. All other recurring reductions listed on Attachments B and C must be precluded from obligation on an account-specific apportionment in the TAFS specified while the CR is in effect.

Changes in mandatory programs (CHIMPs) that continue as terms and conditions under section 101 but are not reductions in funding (e.g., appropriations for or mandated transfers to mandatory programs) are not listed in Attachment C. However, consistent with section 101, such CHIMPs factor into the rate for operations as calculated under items 1 and 2 of this Attachment and are automatically apportioned as calculated under item 4 of this Attachment.

**10. Do I have to execute the mandated transfers that are factored into my rate for operations during the period covered by the short-term CR? What about permissive transfers?**

Transfers mandated by the appropriations Acts referenced in section 101 are factored into the rate for operations of both the giving and receiving TAFS (see items 1 and 2 of this Attachment). If the giving TAFS is mandatory (as listed in section 1 of Attachment C), the transfer amount is precluded from obligation in the TAFS specified in Attachment C on an account-specific apportionment, if applicable, while the CR is in effect. Therefore, you do not have to execute the non-expenditure transfer, report transfers in GTAS, or reflect these transfers separately in account-specific apportionments, except as a preclusion for items listed in Attachment C. The same concept applies if the giving TAFS is discretionary but does not receive a rate for operations under the CR. For these discretionary TAFS, the transfer amount must be precluded from obligation on an account-specific apportionment.

Permissive transfers, however, are not factored into the rate for operations and may be executed via a non-expenditure transfer during the period of the CR. In order to execute permissive transfers from amounts provided by section 101, both the giving and receiving accounts require an account-specific apportionment from OMB. In such case, the amount that may be transferred is limited to the amount that would be automatically apportioned to the giving account (as calculated by item 4 of this Attachment) unless the giving account otherwise qualifies for an exception apportionment or received a "spend-faster" anomaly.

If you are executing general transfer authority that has a percentage limit on the amount that can be given or received (e.g., not more than five percent may be transferred or not more than ten percent may be received), that percentage limitation is calculated against the rate for operations as calculated in items 1, 2, or 3 of this Attachment. However, as stated above, the actual amounts that may be transferred are limited to the pro-rata amount apportioned to the giving account.

See [section 123.9 of Circular A-11](#) for more information on transfer authority during a CR.

### **11. How are allocation transfers apportioned under the CR?**

For transfer allocation accounts, only those accounts that have their apportionments processed by the parent agency in the preceding fiscal year or as an initial FY 2021 apportionment are automatically apportioned; however, the parent agency still needs to process the allocation transfer to the child agency from the amounts automatically apportioned via a non-expenditure transfer. See [Circular A-11 Exhibit 120P](#) for a sample parent/child apportionment.

If the child agency is apportioned separately, then the child and the parent must receive an account-specific apportionment from OMB and execute the non-expenditure transfer at the Department of the Treasury. See [Circular A-11 Exhibit 120Q](#) for a sample child only apportionment. Consult your RMO for more information.

### **12. What if an entire TAFS or a program, project or activity (PPA) within a TAFS is zero-funded in House- or Senate-reported FY 2021 bill?**

If either the House or Senate has reported out of committee or passed the applicable appropriations bill that provides no funding for an entire TAFS at the time the CR is enacted, that TAFS is automatically apportioned zero, even if that TAFS receives a rate for operations under section 101. An agency must submit an account-specific apportionment request to OMB if the agency wants funds apportioned for that TAFS during the period of the CR, including any extensions of the CR. See [section 123.13 of Circular A-11](#) for additional details.

By contrast, if only a PPA within a TAFS is zero-funded by such a bill, the TAFS still receives the automatic apportionment, and the agency, at its discretion, may fund the PPA from within the TAFS total during the period of the CR consistent with [section 123.12 of Circular A-11](#).

### **13. In section 111, what are “appropriated entitlements and other mandatory payments and activities under the Food and Nutrition Act of 2008”?**

These programs are limited to the accounts identified in the joint explanatory statement of managers accompanying the conference report on the Balanced Budget Act of 1997 ([House Report 105-217](#)), or accounts with legislatively-enacted directed scoring making otherwise discretionary appropriations mandatory. See [section 123.11 of Circular A-11](#) for more information on how these programs are impacted by the CR.

**14. What happens to the unobligated balances of CR resources if the CR is followed by a lapse in appropriations?**

See [section 123.23 of Circular A-11](#).

**15. What if the amount of recurring rescission, cancellation, or reduction on Attachments B or C is incorrect or out of date?**

For discretionary rescissions or cancellations, the amounts automatically apportioned by this Bulletin are based on a rate for operations calculated using the amounts on Attachments B. However, section 101 of the CR carries forward the legislative language of the FY 2020 appropriations Acts, and, for applicable items, section 115 specifies that the recurring rescission be calculated using the unobligated carryover balances on October 1, 2020. If the amounts listed on Attachment B do not accurately reflect the FY 2020 legislation or section 115, then that TAFS requires an account-specific apportionment with the corrected reduction amount.

For TAFSs listed on Attachments B or C that have an account-specific apportionment precluding from obligation the amounts listed in those attachments, a reapportionment is required to reflect the correct reduction amount if the amounts listed on the attachments are not consistent with the FY 2020 legislation.

**16. When does this Bulletin not apply and an account-specific apportionment during the CR is necessary?**

The matrix below provides some examples of when account-specific apportionments are necessary because the TAFS does not receive an automatic apportionment provided by this Bulletin. If your situation is not mentioned here and there are questions as to whether this Bulletin applies, please contact your RMO.

If the TAFS...	Then...
Has an enacted spend-faster anomaly in the CR	<p>Only the pro-rata share is automatically apportioned. See section 7 of the OMB CR Bulletin and <a href="#">section 123.5 of Circular A-11</a>.</p> <p>Submit an account-specific apportionment to OMB with a “B” footnote citing the general provision of the anomaly.</p>
Is zero funded in the House and/or Senate	<p>This Bulletin automatically apportions zero. Submit an account-specific apportionment request to OMB with justification of the need. <a href="#">See section 123.13 of Circular A-11</a>.</p> <p>Note that for any subsequent extension(s) of the CR you must submit another account-specific apportionment request. The extensions are not automatically apportioned.</p>

If the TAFS...	Then...
Has permissive authority (e.g., “up to”, “not more than” or “not to exceed”) to move funding between TAFSs	Only the giving TAFS receives an automatic apportionment under the OMB CR Bulletin. From within the automatically-apportioned amounts, you may choose to execute a non-expenditure transfer to the receiving TAFS, but you must request account-specific apportionments for both the giving and receiving TAFS. See <a href="#">section 123.9 of Circular A-11</a> for more information.
Has general transfer authority (e.g., “may transfer”)	From within the automatically-apportioned to the giving TAFS, you may choose to execute a non-expenditure transfer to the receiving TAFS, but you must request account-specific apportionments for both the giving and receiving TAFS. <a href="#">See section 123.9 of Circular A-11</a> for more information.
Has authority to collect and spend funds as a term and condition of the CR and the authority is not under the rate of operations formula	This Bulletin does not automatically apportion this authority. Submit an account-specific apportionment to OMB. See <a href="#">section 123.10 of Circular A-11</a> for more information.
Is a child TAFS apportioned separately from the parent TAFS (see <a href="#">Exhibit 120Q in Circular A-11</a> ) in the previous fiscal year and requires an allocation from the parent TAFS during the period of the CR*	Submit an account-specific apportionment for both the parent and child TAFSs. A non-expenditure transfer must be executed in order to allocate the apportioned funds to the child TAFS.
<p>Has an asterisked recurring rescission in section 2 of Attachment B, but:</p> <ol style="list-style-type: none"> <li>1) does not have a current applicable TAFS with the same length of time as the TAFS specified in attachment B;</li> <li>2) the agency and OMB have decided to effectuate the recurring rescission in a different current applicable TAFS; or</li> </ol>	Submit an account-specific apportionment for the TAFS specified in Attachment B or a different current applicable TAFS to preclude from obligation resources related to the recurring rescission, unless otherwise directed by OMB.

If the TAFS...	Then...
3) the agency and OMB choose not to utilize the authority in section 115	
Has a non-asterisked recurring rescission in section 2 of Attachment B or a recurring reduction in section 1 of Attachment C	Submit an account-specific apportionment for the TAFS specified in Attachment B or Attachment C to preclude from obligation resources related to the recurring rescission or reduction, unless otherwise directed by OMB. Note that if the account-specific apportionment references the amount listed on Attachment B or C, a reapportionment is required if the amounts on those attachments are incorrect or out of date.
Has an incorrect recurring amount in Attachments B or C	Submit an account-specific apportionment with the corrected reduction amount.
Is discretionary, does not have a rate for operations, and has mandated transfer authority to another TAFS	Submit an account-specific apportionment for the discretionary giving account to preclude resources from obligation. Do not execute the non-expenditure transfer. See <a href="#">section 123.9 of Circular A-11</a> for more information.
Needs to be apportioned less than the pro-rata share	After receiving approval from OMB, submit an account-specific apportionment. See <a href="#">section 123.3 of Circular A-11</a> for more information.
Has been approved by OMB to obligate against multiple Category B lines during the CR	Submit an account-specific apportionment. See <a href="#">section 123.4 of Circular A-11</a> for more information.

\*If the child TAFS was apportioned with the parent TAFS the previous year (see [Exhibit 120P in Circular A-11](#)) and requires an allocation from the parent TAFS during the CR period, no reapportionment is necessary but a non-expenditure transfer must be executed in order to allocate the apportioned funds to the child TAFS.

## Attachment B: 2020 Enacted Discretionary Rescissions/Cancellations Recurring Under a 2021 CR

(Budget Authority in dollars; excludes rescissions/cancellations of mandatory funding)

Rescissions/Cancellations by Appropriations Bill:	<u>2020 Enacted</u> <u>Amount</u>	<u>2021 CR</u> <u>TAFS</u>	<u>Amount</u>
<b>SECTION 1. - Rescissions Applied to New Budget Authority</b>			
<b><u>Agriculture and Rural Development (division B of Public Law 116-94)</u></b>			
<b>Department of Agriculture:</b>			
Special Supplemental Nutrition Program for Women, Infants, and Children.....	-1,000,000,000	012-21/22-3510	-1,000,000,000
<b><u>Commerce, Justice, Science (division B of Public Law 116-93)</u></b>			
<b>Department of Justice:</b>			
Salaries and Expenses (Federal Bureau of Investigation).....	-71,974,000	015-21-0200	-71,974,000
Salaries and Expenses (Drug Enforcement Agency).....	-10,000,000	015-21-1100	-10,000,000
Research, Evaluation, and Statistics.....	-2,414,000	015-X-0401	-2,414,000
State and Local Law Enforcement Assistance.....	-57,809,000	015-X-0404	-57,809,000
Juvenile Justice Programs.....	-9,777,000	015-X-0405	-9,777,000
Community Oriented Policing Services.....	-13,000,000	015-X-0406	-13,000,000
<b><u>Homeland Security (division D of Public Law 116-93)</u></b>			
<b>Department of Homeland Security:</b>			
Disaster Relief Fund.....	-300,000,000	070-X-0702	-300,000,000
<b><u>Interior and Environment (division D of Public Law 116-94)</u></b>			
<b>Department of the Interior:</b>			
Offshore Environmental Safety Enforcement.....	-4,788,000	014-21/22-1700	-4,788,000
Construction, Bureau of Indian Affairs.....	-2,000,000	014-X-2301	-2,000,000
Federal Trust Programs.....	-3,000,000	014-X-0120	-3,000,000
<b><u>Labor, Health and Human Services, and Education (division A of Public Law 116-94)</u></b>			
<b>Department of Education:</b>			
Student Financial Assistance.....	-500,000,000	091-21/22-0200	-500,000,000
<b><u>Transportation and Housing and Urban Development (division H of Public Law 116-94)</u></b>			
<b>Department of Housing and Urban Development:</b>			
Housing Certificate Fund.....	-31,136,000	086-X-0319	-26,000,000
<b>SECTION 2. - Rescissions of Other Balances</b>			
<b><u>Commerce, Justice, Science (division B of Public Law 116-93)</u></b>			
<b>Department of Commerce:</b>			
*Economic Development Assistance Programs.....	-17,000,000	013-X-2050	-17,000,000
<b>Department of Justice:</b>			
Working Capital Fund.....	-107,000,000	015-X-4526	-107,000,000
<b>National Aeronautics and Space Administration:</b>			
*Science.....	-70,000,000	080-20/21-0120	-70,000,000
<b><u>Defense (division A of Public Law 116-93)</u></b>			
<b>Department of Defense:</b>			
*Procurement, Defense-wide.....	-337,000,000	097-19/21-0300	-337,000,000
*Procurement, Marine Corps.....	-74,756,000	017-19/21-1109	-74,756,000
*Aircraft Procurement, Navy.....	-307,100,000	017-19/21-1506	-307,100,000
*Procurement of Ammunition, Navy and Marine Corps.....	-22,000,000	017-19/21-1508	-22,000,000
*Shipbuilding and Conversion, Navy.....	-2,000,000	017-13/22-1611	-2,000,000
*Shipbuilding and Conversion, Navy.....	-153,900,000	017-19/23-1611	-153,900,000
*Other Procurement, Navy.....	-24,770,000	017-19/21-1810	-24,770,000
*Aircraft Procurement, Army.....	-58,600,000	021-19/21-2031	-58,600,000
*Missile Procurement, Army.....	-67,798,000	021-19/21-2032	-67,798,000
*Procurement of Weapons and Tracked Combat Vehicles, Army.....	-215,946,000	021-19/21-2033	-215,946,000
*Other Procurement, Army.....	-107,483,000	021-19/21-2035	-107,483,000
*Aircraft Procurement, Air Force.....	-713,455,000	057-19/21-3010	-713,455,000
*Procurement of Ammunition, Air Force.....	-236,100,000	057-19/21-3011	-236,100,000
*Missile Procurement, Air Force.....	-39,979,000	057-19/21-3020	-39,979,000
*Space Procurement, Air Force.....	-164,300,000	057-19/21-3021	-160,393,000
*Procurement of Ammunition, Navy and Marine Corps <sup>1</sup> .....	-16,574,000	017-19/21-1508	-16,574,000
*Missile Procurement, Army <sup>1</sup> .....	-13,176,000	021-19/21-2032	-13,176,000
*Procurement of Weapons and Tracked Combat Vehicles, Army <sup>1</sup> .....	-52,477,000	021-19/21-2033	-52,477,000
*Other Procurement, Army <sup>1</sup> .....	-8,750,000	021-19/21-2035	-8,750,000

## Attachment B: 2020 Enacted Discretionary Rescissions/Cancellations Recurring Under a 2021 CR

(Budget Authority in dollars; excludes rescissions/cancellations of mandatory funding)

Rescissions/Cancellations by Appropriations Bill:	<u>2020 Enacted</u> <u>Amount</u>	<u>2021 CR</u>	
		<u>TAFS</u>	<u>Amount</u>
<b><u>Defense (continued)</u></b>			
*Aircraft Procurement, Air Force <sup>1</sup> .....	-24,713,000	057-19/21-3010	-24,713,000
*Missile Procurement, Air Force <sup>1</sup> .....	-25,752,000	057-19/21-3020	-25,752,000
<b><u>Energy and Water Development (division C of Public Law 116-94)</u></b>			
<b>Department of Energy:</b>			
*Energy Efficiency and Renewable Energy.....	-58,000,000	089-X-0321	-58,000,000
*Energy Programs.....	-12,723,000	089-X-0337	-12,723,000
*Construction, Rehabilitation, Operation and Maintenance, Wester Area Power Administration..	-176,000	089-X-5068	-176,000
<b><u>Financial Services and General Government (division C of Public Law 116-93)</u></b>			
<b>Small Business Administration:</b>			
*Business Loans Program Account.....	-16,369,000	073-X-1154	-16,369,000
<b><u>Homeland Security (division D of Public Law 116-93)</u></b>			
<b>Department of Homeland Security:</b>			
*Procurement, Construction, and Improvements (Transportation Security Administration).....	-5,764,000	070-19/21-0410	-623,000
*Procurement, Construction, and Improvements (Customs and Border Protection).....	-38,000,000	070-19/23-0532	-38,000,000
*Procurement, Construction, and Improvements (Customs and Border Protection).....	-20,000,000	070-18/22-0532	-20,000,000
<b><u>Interior and Environment (division D of Public Law 116-94)</u></b>			
<b>Department of Agriculture:</b>			
*Land Acquisition.....	-2,000,000	012-X-5004	-2,000,000
<b>Department of the Interior:</b>			
Construction (Bureau of Land Management).....	-5,400,000	014-X-1110	-10,346
*Land Acquisition (Bureau of Land Management).....	-2,367,000	014-X-5033	-2,367,000
*Cooperative Endangered Species Conservation Fund.....	-18,771,000	014-X-5143	-18,771,000
*Land Acquisition and State Assistance.....	-2,279,000	014-X-5035	-2,279,000
<b><u>Labor, HHS, and Education (division A of Public Law 116-94)</u></b>			
<b>Department of Health and Human Services:</b>			
Nonrecurring Expenses Fund.....	-350,000,000	075-X-0125	-350,000,000
<b><u>Military Construction and Veterans Affairs (division F of Public Law 116-94)</u></b>			
<b>Department of Defense:</b>			
*Military Construction, Defense-wide.....	-45,055,000	097-20/24-0500	-45,055,000
*North Atlantic Treaty Organization Security Investment Program.....	-25,000,000	097-X-0804	-25,000,000
<b>Department of Veterans Affairs:</b>			
*Medical Support and Compliance.....	-10,000,000	036-20/21-0152	-10,000,000
Medical Services.....	-350,000,000	036-20/21-0160	-350,000,000
*Medical and Prosthetic Research.....	-50,000,000	036-20/21-0161	-50,000,000
DOD-VA Health Care Sharing Incentive Fund.....	-15,949,000	036-X-0165	-15,949,000
*National Cemetery Administration.....	-1,000,000	036-20/21-0129	-1,000,000
*Board of Veterans Appeals.....	-8,000,000	036-20/21-1122	-4,550,000
*Veterans Electronic Health Care Record.....	-70,000,000	036-20/22-1123	-54,000,000
		036-19/21-1123	-16,000,000
<b><u>State and Foreign Operations (division G of Public Law 116-94)</u></b>			
<b>Department of State and Other International Programs:</b>			
*Economic Support Fund.....	-32,000,000	072-X-1037	-10,000,000
*Economic Support Fund.....	-200,000,000	072-20/21-1037	-200,000,000
*Embassy Security, Construction, and Maintenance <sup>1</sup> .....	-242,462,000	019-X-0535	-170,000,000
<b>Export-Import Bank of the United States:</b>			
*Program Account, Export-Import Loans.....	-64,282,000	351-X-0100	-64,282,000
<b><u>Transportation and Housing and Urban Development (division H of Public Law 116-94)</u></b>			
<b>Department of Transportation:</b>			
Highway Beautification.....	-488,910	069-X-0540	-9,264
FY 2001 Miscellaneous Highway Projects.....	-11,003,637	069-X-0641	-1,651
Highway Demonstration Project - Preliminary Engineering.....	-2,601,432	069-X-0583	-2,888
Highway Demonstration Projects.....	-1,341	069-X-0598	-1,341

## Attachment B: 2020 Enacted Discretionary Rescissions/Cancellations Recurring Under a 2021 CR

(Budget Authority in dollars; excludes rescissions/cancellations of mandatory funding)

### Rescissions/Cancellations by Appropriations Bill:

	<u>2020 Enacted</u>	<u>2021 CR</u>	
	<u>Amount</u>	<u>TAFS</u>	<u>Amount</u>
<b>SECTION 3. Non-Recurring Rescissions</b>			
<b><u>Agriculture and Rural Development (division B of Public Law 116-94)</u></b>			
<b>Department of Agriculture:</b>			
Rural Electrification and Telecommunications Loan Program Account.....	-15,073,000	012-X-1230	---
<b><u>Defense (division A of Public Law 116-93)</u></b>			
<b>Department of Defense:</b>			
Operation and Maintenance, Defense-wide.....	-21,314,000	N/A - expired	---
Defense Health Program.....	-26,200,000	N/A - expired	---
Procurement, Marine Corps.....	-9,046,000	N/A - expired	---
Aircraft Procurement, Navy.....	-114,781,000	N/A - expired	---
Shipbuilding and Conversion, Navy.....	-44,500,000	N/A - expired	---
Other Procurement, Navy.....	-23,526,000	N/A - expired	---
Aircraft Procurement, Army.....	-44,000,000	N/A - expired	---
Missile Procurement, Army.....	-5,182,000	N/A - expired	---
Procurement of Weapons and Tracked Combat Vehicles, Army.....	-97,000,000	N/A - expired	---
Other Procurement, Army.....	-5,685,000	N/A - expired	---
Aircraft Procurement, Air Force.....	-160,975,000	N/A - expired	---
Missile Procurement, Air Force.....	-75,973,000	N/A - expired	---
Other Procurement, Air Force.....	-26,000,000	N/A - expired	---
Research, Development, Test, and Evaluation, Defense-wide.....	-267,000,000	N/A - expired	---
Research, Development, Test, and Evaluation, Navy.....	-230,957,000	N/A - expired	---
Research, Development, Test and Evaluation, Army.....	-150,276,000	N/A - expired	---
Research, Development, Test, and Evaluation, Air Force.....	-263,050,000	N/A - expired	---
Operation and Maintenance, Defense-wide <sup>1</sup> .....	-85,000,000	N/A - expired	---
Afghanistan Security Forces Fund <sup>1</sup> .....	-396,000,000	N/A - expired	---
Counter-Islamic State of Iraq and Syria Train and Equip Fund <sup>1</sup> .....	-450,000,000	N/A - expired	---
Procurement of Weapons and Tracked Combat Vehicles, Army <sup>1</sup> .....	-30,000,000	N/A - expired	---
Aircraft Procurement, Air Force <sup>1</sup> .....	-32,300,000	N/A - expired	---
<b><u>Homeland Security (division D of Public Law 116-93)</u></b>			
<b>Department of Homeland Security:</b>			
Operations and Support (Transportation Security Administration).....	-42,379,000	N/A - expired	---
Research, Development, Test, and Evaluation (Coast Guard).....	-5,000,000	N/A - expired	---
Operations and Support (Offices of the Secretary and Executive Management).....	-153,000	N/A - expired	---
Operations and Support (Management Directorate).....	-304,000	N/A - expired	---
Operations and Support (Intelligence, Analysis, and Operations Coordination).....	-130,000	N/A - expired	---
Operations and Support (Office of Inspector General).....	-3,600	N/A - expired	---
Operations and Support (Customs and Border Protection).....	-269,000	N/A - expired	---
Operations and Support (Customs and Border Protection).....	-91,000,000	070-X-0530	---
Operations and Support (U.S. Citizenship and Immigration Service).....	-1,815,000	N/A - expired	---
Operations and Support (U.S. Secret Service).....	-695,000	N/A - expired	---
Operations and Support (Immigration and Customs Enforcement).....	-8,999,000	N/A - expired	---
Operations and Support (Coast Guard).....	-69,000	N/A - expired	---
Operations and Support (Federal Law Enforcement Training Center).....	-313,000	N/A - expired	---
Operations and Support (Cybersecurity and Infrastructure Security Agency).....	-3,915,000	N/A - expired	---
Operations and Support (Science and Technology Directorate).....	-273,000	N/A - expired	---
Operations and Support (Countering Weapons of Mass Destruction Office).....	-1,596,000	N/A - expired	---
Operations and Support (Customs and Border Protection) <sup>2</sup> .....	-233,000,000	N/A - expired	---
<b><u>Interior and Environment (division D of Public Law 116-94)</u></b>			
<b>Department of the Interior:</b>			
Management of Lands and Resources.....	-19,000,000	014-X-1109	---
Land Acquisition (U.S. Fish and Wildlife Service) <sup>3</sup> .....	-3,628,000	014-X-5020	---
<b><u>Military Construction and Veterans Affairs (division F of Public Law 116-94)</u></b>			
<b>Department of Veterans Affairs:</b>			
General Operating Expenses <sup>2</sup> .....	-258,195	036-X-0151	---
<b><u>State and Foreign Operations (division G of Public Law 116-94)</u></b>			
<b>Department of State and Other International Programs:</b>			
Complex Crises Fund <sup>1</sup> .....	-40,000,000	072-X-1015	---

## Attachment B: 2020 Enacted Discretionary Rescissions/Cancellations Recurring Under a 2021 CR

(Budget Authority in dollars; excludes rescissions/cancellations of mandatory funding)

### Rescissions/Cancellations by Appropriations Bill:

	<u>2020 Enacted</u>	<u>2021 CR</u>	
	<u>Amount</u>	<u>TAFS</u>	<u>Amount</u>
<b><u>Transportation and Housing and Urban Development (division H of Public Law 116-94)</u></b>			
<b>Department of Housing and Urban Development:</b>			
Tenant Based Rental Assistance <sup>2</sup> .....	-5,782,000	086-X-0302	---
Project Based Rental Assistance <sup>2</sup> .....	-650,000	086-X-0303	---
<b>Department of Transportation:</b>			
Alaska Highway.....	-62,862	069-X-0537	---
Rail Crossings--Demonstration Projects.....	-517,220	069-X-0555	---
Darian Gap Highways.....	-2,037,035	069-X-0553	---
Railroad-Highway Crossings Demonstration Projects.....	-2,035,137	069-X-0557	---
Access Highways to Public Recreation Areas on Certain Lakes.....	-352,333	069-X-0503	---
Feasibility, Design, Environmental, Engineering.....	-262,204	069-X-0533	---
Surface Transportation Projects.....	-573,097	069-X-0505	---

\* Pursuant to section 115 of the CR, these items are automatically apportioned as a reduction in the rate for operations of the current applicable TAFS with the same length of time associated with this Treasury Account Symbol.

<sup>1</sup> Rescission/cancellation is from funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985.

<sup>2</sup> Rescission/cancellation is from funds designated as an emergency requirement pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 or a concurrent resolution on the budget.

<sup>3</sup> Pursuant to section 147(a)(3) of the CR, this rescission recurs as \$0.

## Attachment C: 2020 Enacted Reductions in Mandatory Funding Recurring Under a 2021 CR

(Budget Authority in dollars)

By Appropriations Bill: <u>Agency and Account Name</u>	<u>2020 Enacted Amount</u>	<u>2021 CR</u>	
		<u>TAFS</u>	<u>Amount</u>
<b>SECTION 1. - Recurring Reductions</b>			
<b><u>Agriculture and Rural Development (division B of Public Law 116-94)</u></b>			
<b>Department of Agriculture:</b>			
Funds for Strengthening Markets, Income, and Supply (section 32).....	---	012-X-5209	-28,139,000
Farm Security and Rural Investment Programs.....	-60,228,000	012-X-1004	-60,228,000
<b><u>Commerce, Justice, Science (division B of Public Law 116-93)</u></b>			
<b>Department of Commerce:</b>			
Promote and Develop Fishery Products and Research Pertaining to American Fisheries.....	-174,774,000	013-X-5139	-174,774,000
Fisheries Enforcement Asset Forfeiture Fund.....	-5,000,000	013-X-5583	-5,000,000
<b>Department of Justice:</b>			
Crime Victims Fund.....	-4,147,078,214	015-X-5041	-3,745,258,896
<b>Department of Homeland Security:</b>			
Immigration Examinations Fee.....	-4,000,000	070-X-5088	-4,000,000
<b><u>Financial Services and General Government (division C of Public Law 116-93)</u></b>			
<b>Federal Deposit Insurance Corporation:</b>			
Deposit Insurance Fund.....	-42,982,000	051-X-4596	-42,982,000
<b>United States Postal Service:</b>			
Postal Service Fund.....	-266,615,000	018-X-4020	-266,615,000
<b><u>Interior and Environment (division D of Public Law 116-94)</u></b>			
<b>Department of Agriculture:</b>			
Forest Service Permanent Appropriations.....	-15,887,000	012-X-5203	-15,000,000
<b><u>Labor, HHS, and Education (division A of Public Law 116-94)</u></b>			
<b>Department of Education:</b>			
Student Financial Assistance.....	-50,000,000	091-20/21-0200	-50,000,000
<b>Department of Health and Human Services:</b>			
Prevention and Public Health Fund.....	-893,950,000	075-X-0116	-893,950,000
Children's Health Insurance Fund <sup>1</sup> .....	---	075-21-0515	-1,150,000,000
Child Enrollment Contingency Fund <sup>1</sup> .....	-6,093,181,000	075-X-5551	-11,005,661,000
<b>Department of Labor:</b>			
Training and Employment Services, H-1B Funded.....	-150,000,000	016-X-5152	-150,000,000
<b><u>Legislative Branch (division E of Public Law 116-94)</u></b>			
<b>Legislative Branch:</b>			
Page Residence Hall and Meal Plan.....	-1,000,000	000-X-4011	-212,977
Stationery.....	-4,000,000	000-X-0440	-3,196,295
<b><u>Military Construction and Veterans Affairs (division F of Public Law 116-94)</u></b>			
<b>Department of Veterans Affairs:</b>			
Veterans Choice Fund.....	-615,000,000	036-X-0172	-151,500,000
<b>SECTION 2. Non-Recurring Reductions</b>			
<b><u>Labor, HHS, and Education (division A of Public Law 116-94)</u></b>			
<b>Department of Health and Human Services:</b>			
Children's Health Insurance Fund.....	-3,169,819,000	N/A - expired	---

<sup>1</sup> Recurs at the level specified pursuant to section 151 of the CR.