# **OTHER INDEPENDENT AGENCIES**

# ACCESS BOARD

## Federal Funds

## SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, \$9,200,000: *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses: *Provided further*, That of this amount, \$800,000 shall be for activities authorized under section 432 of Public Law 115–254. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program	and F	inancing	(in million:	s of dollars)
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Identif	ication code 310–3200–0–1–751	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and expenses	8	9	9
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	8	9	9
1930	Total budgetary resources available	8	9	9
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	1	1
3010	New obligations, unexpired accounts	8	9	9
3020	Outlays (gross)	9	9	9
3050	Unpaid obligations, end of year	1	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	8	9	9
	Outlays, gross:			
4010	Outlays from new discretionary authority	7	8	8
4011	Outlays from discretionary balances	2	1	1
4020	Outlays, gross (total)	9	9	9
4180	Budget authority, net (total)	8	9	9
4190	Outlays, net (total)	9	9	9

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

Object Classification (in millions of dollars)

Identifi	cation code 310-3200-0-1-751	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	1	1

25.1	Advisory and assistance services	1	1	2
25.3	Other goods and services from Federal sources	2	2	1
99.9	Total new obligations, unexpired accounts	8	9	9

## **Employment Summary**

Identification code 310-3200-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	26	34	34

# ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., [\$3,250,000] *\$3,500,000*, to remain available until September 30, [2021] 2022, of which not to exceed \$1,000 is for official reception and representation expenses. (Financial Services and General Government Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	ication code 302-1700-0-1-751	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses (Direct)	3	3	4
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary: Appropriation	3	3	4
1930	Total budgetary resources available	3	3	4
1550	lotal budgetaly resources available	J	5	4
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	3	3	4
3020	Outlays (gross)	-3	-3	-4
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	3	3	4
4010	Outlays from new discretionary authority	3	2	3
4011	Outlays from discretionary balances		1	1
4020	Outlays, gross (total)	3	3	4
4180	Budget authority, net (total)	3	3	4
4190	Outlays, net (total)	3	3	4

The Administrative Conference of the United States is an independent agency that assists the President, the Congress, the Judicial Conference, and Federal agencies in improving the regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies, promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

## Object Classification (in millions of dollars)

Identi	dentification code 302-1700-0-1-751		2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
25.1	Advisory and assistance services	1	1	2
99.0	Direct obligations	3	3	4
99.9	Total new obligations, unexpired accounts	3	3	4

#### SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 302-1700-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	14	14

# **ADVISORY COUNCIL ON HISTORIC PRESERVATION**

## Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89–665), [\$7,378,000] \$7,400,000. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identi	fication code 306–2300–0–1–303	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Salaries and Expenses (Direct)	7	7	
0801	Salaries and Expenses (Reimbursable)	1	2	:
0900	Total new obligations, unexpired accounts	8	9	!
	Budgetary resources:			
1000	Unobligated balance:	1		
1000	Unobligated balance brought forward, Oct 1 Budget authority:	1		
	Appropriations, discretionary:			
1100	Appropriation	7	7	
	Spending authority from offsetting collections, discretionary:			
1700 1701	Collected Change in uncollected payments, Federal sources	1 -1	1	
1/01	change in unconected payments, rederar sources			
1750	Spending auth from offsetting collections, disc (total)		2	
1900	Budget authority (total)	7	9	
1930	Total budgetary resources available	8	9	
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3		
3010 3020	New obligations, unexpired accounts Outlays (gross)	8 -11	9 9	-
5020	Uncollected payments:	-11	-9	-
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2		-
3070	Change in uncollected pymts, Fed sources, unexpired	1	-1	-
3071	Change in uncollected pymts, Fed sources, expired	1	·····	
3090	Uncollected pymts, Fed sources, end of year		-1	-
3100	Memorandum (non-add) entries: Obligated balance, start of year	1		-
3200	Obligated balance, start of year	-	-1	-
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	7	9	
4010	Outlays, gross:	7	0	
4010 4011	Outlays from new discretionary authority Outlays from discretionary balances	7	9	
4020	Outlays, gross (total)	9	9	
	Offsets against gross budget authority and outlays:			
4030	Offsetting collections (collected) from: Federal sources	-1	-1	-
4030	Additional offsets against gross budget authority only:	-1	-1	_
4050	Change in uncollected pymts, Fed sources, unexpired	1	-1	-
4070	Budget authority, net (discretionary)	7	7	-
4070	Outlays, net (discretionary)	8	8	
	Mandatory:	0	5	
	Outlays, gross:			
4101	Outlays from mandatory balances	2		
	Budget authority, net (total)	7	7	
4190	Outlays, net (total)	10	8	

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

#### THE BUDGET FOR FISCAL YEAR 2021

## Object Classification (in millions of dollars)

Identi	Identification code 306-2300-0-1-303		2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	4	4
23.2	Rental payments to others	1	1	1
25.3	Other goods and services from Federal sources	1	2	2
99.0	Direct obligations	6	7	7
99.0	Reimbursable obligations	1	1	1
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	8	9	9

## **Employment Summary**

Identification code 306–2300–0–1–303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	35	37	37
2001 Reimbursable civilian full-time equivalent employment	6	6	6

# ALYCE SPOTTED BEAR AND WALTER SOBOLEFF COMMISSION ON NATIVE CHILDREN

#### Federal Funds

Alyce Spotted Bear and Walter Soboleff Commission on Native Children

#### (INCLUDING TRANSFER OF FUNDS)

[For necessary expenses of the Alyce Spotted Bear and Walter Soboleff Commission on Native Children (referred to in this paragraph as the "Commission"), \$500,000, to remain available until September 30, 2021: *Provided*, That amounts made available to the Commission under the heading "Department of the Interior-Departmental Operations-Office of the Secretary-Departmental Operations" in division E of the Consolidated Appropriations Act, 2019 (Public Law 116–6) may be transferred to or merged with such amounts: *Provided further*, That in addition to the authority provided by section 3(g)(5) and 3(h) of Public Law 114–244, the Commission may hereafter accept in-kind personnel services, contractual support, or any appropriate support from any executive branch agency for activities of the Commission.] (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

## Program and Financing (in millions of dollars)

Identi	fication code 545–2987–0–1–506	2019 actual	2020 est.	2021 est.
0001	<b>Obligations by program activity:</b> Direct program activity			1
0900	Total new obligations, unexpired accounts (object class 99.5)			1
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:			1
1100	Appropriations, discretionary: Appropriation		1	
1930	Total budgetary resources available		1	1
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		1	
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts			1
3020	Outlays (gross)			-1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:		1	
4011	Outlays from discretionary balances			1
4180	Budget authority, net (total)			
4190	Outlays, net (total)			1

The Alyce Spotted Bear and Walter Soboleff Commission on Native Children was established by Public Law 114–244 to conduct a comprehensive study of Federal, State, local and tribal programs that serve Native children, and to make recommendations on ways those programs can be improved. The Commission receives support from Federal agencies, including the Department of the Interior, and will utilize available resources for its ongoing activities.

# APPALACHIAN REGIONAL COMMISSION

## Federal Funds

## APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, *as amended, notwithstanding 40 U.S.C. 14704,* and for expenses necessary for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, [\$175,000,000] \$165,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identif	ication code 309–0200–0–1–452	2019 actual	2020 est.	2021 est.
	Obligations by program activity:	-		
0102	Area development and technical assistance program	162	172	172
0103	Local development districts program	7	7	7
0101	Total Appalachian regional development programs	169	179	179
0191	Federal co-chairman and staff	105	1/5	1/5
0202	Administrative expenses	6	6	6
0203	Programmatic Salaries and Expenses	3	3	3
0201	Total calaries and expanses	10	10	10
0291	Total salaries and expenses			
0799	Total direct obligations	179	189	189
0801	Reimbursable program activity	3	3	3
0900	Total new obligations, unexpired accounts	182	192	192
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	90	85	81
1000	Discretionary unobligated balance brought fwd, Oct 1	90	85	01
1001	Recoveries of prior year unpaid obligations	9	9	9
1050	Unobligated balance (total)	99	94	90
	Budget authority:			
1100	Appropriations, discretionary:	165	175	165
1100	Appropriation Spending authority from offsetting collections, discretionary:	100	175	100
1700	Collected		1	1
1700	Spending authority from offsetting collections, mandatory:		1	1
1800	Collected	3	3	3
1900	Budget authority (total)	168	179	169
1930	Total budgetary resources available	267	273	259
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	85	81	67
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	293	355	331
3010	New obligations, unexpired accounts	182	192	192
3020 3040	Outlays (gross)	-111 -9	-207 -9	-226 -9
3040	Recoveries of prior year unpaid obligations, unexpired	-9	-9	-9
3050	Unpaid obligations, end of year	355	331	288
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	293	355	331
3200	Obligated balance, end of year	355	331	288
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	165	176	166
	Outlays, gross:			
4010	Outlays from new discretionary authority	28	58	55
4011	Outlays from discretionary balances	80	146	168
4020	Outlays, gross (total)	108	204	223
4020	Offsets against gross budget authority and outlays:	100	204	223
	Offsetting collections (collected) from:			
4030	Federal sources		-1	-1
			<u> </u>	
4040	Offsets against gross budget authority and outlays (total)		-1	-1

	Mandatory:			
4090	Budget authority, gross	3	3	3
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	3	3
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	-3	-3	-3
4180	Budget authority, net (total)	165	175	165
4190	Outlays, net (total)	108	203	222

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420-county Appalachian Region. The Commission is comprised of 13 members representing the states in the region and a Federal Co-Chairman, who represents the Federal Government. ARC's mission is to help the Appalachian Region plan and coordinate regional investments and target resources to those communities with the greatest needs by innovating, partnering, and investing to build community capacity and strengthening economic growth.

ARC's activities include area development, technical assistance, capacitybuilding, research, and coordination of regional investments and initiatives. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic and community development strategies. In addition, ARC administers a competitive grant program for communities adversely impacted by the declining use of coal to develop economic diversification activities in emerging opportunity sectors.

*Salaries and expenses.*—In this Federal-State partnership, the Federal Government supports the Federal staff and contributes half of the non-Federal administrative expenses. The other half of these expenses are provided by member States.

#### **Object Classification** (in millions of dollars)

Identif	ication code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	9	9	9
41.0	Grants, subsidies, and contributions	169	179	179
99.0	Direct obligations	179	189	189
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	182	192	192

#### **Employment Summary**

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	6	6

# BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

# Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

#### Special and Trust Fund Receipts (in millions of dollars)

Identi	ication code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	40	40	40
1140	Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation	2	2	2
2000	Total: Balances and receipts Appropriations: Current law:	42	42	42
2101	Barry Goldwater Scholarship and Excellence in Education Foundation	-2	-2	2
5099	Balance, end of year	40	40	40

## 

Program and Financing (in millions of dollars)

Identi	ication code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Barry Goldwater Scholarship and Excellence in Education			
	Foundation	2	2	2
0002	Scholarship Grant Funding	2	2	2
0900	Total new obligations, unexpired accounts	4	4	4
	Budgetary resources:			
1000	Unobligated balance:	20	27	3
1000	Unobligated balance brought forward, Oct 1	30	37	3
	Budget authority:			
1201	Appropriations, mandatory:	2	2	:
1201	Appropriation (special or trust fund)	Z	Z	4
1800	Spending authority from offsetting collections, mandatory:	9		
1800	Collected	9 11		
	Budget authority (total)	41	2 39	3
1930	Total budgetary resources available Memorandum (non-add) entries:	41	39	5.
1941	Unexpired unobligated balance, end of year	37	35	33
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1			
3010	New obligations, unexpired accounts	4	4	4
3020	Outlays (gross)		2	
3050	Unpaid obligations, end of year		2	
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year			1
3200	Obligated balance, end of year		2	1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	11	2	
	Outlays, gross:			
4100	Outlays from new mandatory authority	4	2	2
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-9		
4180	Budget authority, net (total)	2	2	1
4190	Outlays, net (total)	-5	2	2
	Memorandum (non-add) entries:			
	Total investments, SOY: Federal securities: Par value	68	69	0
5000 5001	Total investments, SO1: rederal securities: Par value	69	69 69	69 69

Public Law 99–661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is a significant permanent tribute to the late Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue research careers in mathematics, the natural sciences and engineering. The Foundation supports between 250 and 500 scholarships annually.

Object Classification (in millions of dollars)

Identi	fication code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
41.0 99.0	Direct obligations: Grants, subsidies, and contributions Reimbursable obligations	2	2 2	2
99.9	Total new obligations, unexpired accounts	4	4	4

**Employment Summary** 

Identification code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	3	2

# BUREAU OF CONSUMER FINANCIAL PROTECTION

# Federal Funds

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identi	fication code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	1	1	9
1110	Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund	468	580	595
1140	Earnings on Investments, Bureau of Consumer Financial Protection Fund	8	8	8
1199	Total current law receipts Proposed:	476	588	603
1210	Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund			-110
1999	Total receipts	476	588	493
2000	Total: Balances and receipts Appropriations: Current law:	477	589	502
2101 2101 2132	Bureau of Consumer Financial Protection Fund Bureau of Consumer Financial Protection Fund Bureau of Consumer Financial Protection Fund	_476 	-580 -34 34	—595 
2199	Total current law appropriations Proposed:	-476	-580	-595
2201	Bureau of Consumer Financial Protection Fund	<u></u>	<u> </u>	110
2999	Total appropriations	-476	-580	-485
5099	Balance, end of year	1	9	17

Identif	ication code 581–5577–0–2–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:	507		500
0001	Consumer Financial Protection Bureau	507	577	592
0100	Direct program activities, subtotal	507	577	592
0808	Reimbursable program activity	3	3	3
0809	Reimbursable program activities, subtotal	3	3	3
0900	Total new obligations, unexpired accounts	510	580	595
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	56	67	78
1021	Recoveries of prior year unpaid obligations	33	8	8
1033	Recoveries of prior year paid obligations	9		<u> </u>
1050	Unobligated balance (total) Budget authority:	98	75	86
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	476	580	595
1201	Appropriation (special or trust fund)		34	
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced		34	
1260	Appropriations, mandatory (total)	476	580	595
	Spending authority from offsetting collections, mandatory:			
1800	Collected	4	4	4
1801	Change in uncollected payments, Federal sources			
1850	Spending auth from offsetting collections, mand (total)	3	3	3
1900	Budget authority (total)	479	583	598
1930	Total budgetary resources available	577	658	684
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	67	78	89
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	206	190	225
3010	New obligations, unexpired accounts	510	580	595
3020	Outlays (gross)	-493	-537	-556
3040	Recoveries of prior year unpaid obligations, unexpired	-33	8	8
3050	Unpaid obligations, end of year	190	225	256
3060	Uncollected payments: Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-1
2000	onconected pynnts, red sources, prought forward, Oct 1	-5	-2	-1

## OTHER INDEPENDENT AGENCIES

3070	Change in uncollected pymts, Fed sources, unexpired	1	1	1
3090	Uncollected pymts, Fed sources, end of year	-2	-1	
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	203	188	224
3200	Obligated balance, end of year	188	224	256
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	479	583	598
4100	Outlays from new mandatory authority	236	347	420
4101	Outlays from mandatory balances	257	190	136
4110	Outlays, gross (total)	493	537	556
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120	Federal sources	-4	-3	-3
4123	Non-Federal sources	9	-1	-1
4130	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-13	-4	-4
4140	Change in uncollected pymts, Fed sources, unexpired	1	1	1
4143	Recoveries of prior year paid obligations, unexpired			
	accounts	9	<u> </u>	
4150	Additional offsets against budget authority only (total)	10	1	1
4160	Budget authority, net (mandatory)	476	580	595
4170	Outlays, net (mandatory)	480	533	552
4180	Budget authority, net (total)	476	580	595
4190	Outlays, net (total)	480	533	552
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	233	237	293
5001	Total investments, EOY: Federal securities: Par value	237	293	335

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	476	580	595
Outlays		533	552
Legislative proposal, subject to PAYGO:			
Budget Authority			-110
Outlays			-110
Total:			
Budget Authority	476	580	485
Outlays		533	442

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) as an independent bureau in the Federal Reserve System. The Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to conduct rulemaking, supervision, and enforcement. Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These amounts are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

## **Object Classification** (in millions of dollars)

Identi	fication code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	219	247	270
12.1	Civilian personnel benefits	82	95	104
21.0	Travel and transportation of persons	15	17	17
23.1	Rental payments to GSA	5	5	2
23.2	Rental payments to others	1	2	2
23.3	Communications, utilities, and miscellaneous charges	8	11	11
24.0	Printing and reproduction	4	5	5
25.1	Advisory and assistance services	82	105	96
25.2	Other services from non-Federal sources	12	17	13
25.3	Other goods and services from Federal sources	44	43	44
25.7	Operation and maintenance of equipment	5	6	6
26.0	Supplies and materials	4	5	5
31.0	Equipment	21	19	17

Bureau of Consumer Financial Protection—Continued Federal Funds—Continued

32.0	Land and structures	5		
99.0 99.0	Direct obligations Reimbursable obligations	507 3	577 3	592 3
99.9	Total new obligations, unexpired accounts	510	580	595

## Employment Summary

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,465	1,551	1,614

#### BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identi	ication code 581–5577–4–2–376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Consumer Financial Protection Bureau		<u></u>	58
0100	Direct program activities, subtotal			-58
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)			-110
1900	Budget authority (total)			-110
1930	Total budgetary resources available			-110
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year			-52
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts			-58
3020	Outlays (gross)			110
3050	Unpaid obligations, end of year Memorandum (non-add) entries:			52
3200	Obligated balance, end of year			52
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:			-110
4100	Outlays from new mandatory authority			-110
4180	Budget authority, net (total)			-110
4190	Outlays, net (total)			-110
	,,,,			

The Budget proposes to restructure the Consumer Financial Protection Bureau (CFPB), limit its mandatory funding in 2021, and provide discretionary appropriations beginning in 2022.

## Object Classification (in millions of dollars)

Identifi	ication code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent			-41
12.1	Civilian personnel benefits			-17
99.9	Total new obligations, unexpired accounts			-58

## **Employment Summary**

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			-243

## CONSUMER FINANCIAL CIVIL PENALTY FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Iden	tification code 581-5578-0-2-376	2019 actual	2020 est.	2021 est.
010	0 Balance, start of year		8	

1231

CONSUMER FINANCIAL CIVIL PENALTY FUND—Continued Special and Trust Fund Receipts—Continued

dentification code 581-5578-0-2-376	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 Penalties and Fines, Consumer Financial Protection	131	3	
2000 Total: Balances and receipts Appropriations:	131	11	
Current law:			
2101 Consumer Financial Civil Penalty Fund	-131	-3	
2103 Consumer Financial Civil Penalty Fund		-8	
2132 Consumer Financial Civil Penalty Fund	8		
2199 Total current law appropriations	-123	-11	
2999 Total appropriations	-123	-11	
5099 Balance, end of year	8		

## Program and Financing (in millions of dollars)

Identif	ication code 581–5578–0–2–376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Civil Penalty Payments	2	145	48
0900	Total new obligations, unexpired accounts (object class 25.2) $\ldots \ldots$	2	145	48
	Budgetary resources:			
1000	Unobligated balance:		700	0.05
1000 1033	Unobligated balance brought forward, Oct 1 Recoveries of prior year paid obligations	638 10	769	635
1035	Recoveries of prior year paid obligations			
1050	Unobligated balance (total)	648	769	635
	Budget authority:			
1001	Appropriations, mandatory:	101	0	
1201	Appropriation (special or trust fund)	131	3 8	
1203 1232	Appropriation (previously unavailable)(special or trust) Appropriations and/or unobligated balance of		0	
1252	appropriations temporarily reduced	-8		
1260	Appropriations, mandatory (total)	123	11	
1930	Total budgetary resources available	771	780	635
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	769	635	587
	onexpired unobligated balance, end of year	705	000	587
	Change in obligated balance:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	3	3	
3010	New obligations, unexpired accounts	2	145	48
3020	Outlays (gross)	-2	-148	-48
2050	Here the Development of the second			
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	3		
3100	Obligated balance, start of year	3	3	
3200	Obligated balance, end of year	3	5	
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	123	11	
	Outlays, gross:			
4101	Outlays from mandatory balances	2	148	48
	Offsets against gross budget authority and outlays:			
4100	Offsetting collections (collected) from:	10		
4123	Non-Federal sources	-10		
4143	Additional offsets against gross budget authority only: Recoveries of prior year paid obligations, unexpired			
414J	accounts	10		
4160	Budget authority, net (mandatory)	123	11	
4170 4180	Outlays, net (mandatory)	8 123	148 11	48
4180	Budget authority, net (total) Outlays, net (total)	123 8	11 148	
4130	outiays, 1161 (10181)	-0	140	40

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties obtained in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer financial laws. Obligations related to victim compensation are contingent upon identifying the specific victims qualifying for payments.

## **CENTRAL INTELLIGENCE AGENCY**

## Federal Funds

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000. (Department of Defense Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	ication code 056–3400–0–1–054	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Personnel benefits	514	514	514
0900	Total new obligations, unexpired accounts (object class $13.0) \hdots \ldots$	514	514	514
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1200	Appropriation	514	514	514
1930	Total budgetary resources available	514	514	514
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	514	514	514
3020	Outlays (gross)	-514	-514	-514
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	514	514	514
4100	Outlays from new mandatory authority	514	514	514
4180	Budget authority, net (total)	514	514	514
4190	Outlays, net (total)	514	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$4.3 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget proposes \$514 million in 2021. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

# CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

## Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, [including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, \$12,000,000 \$10,200,000: Provided, That these funds shall be available only for the purposes of the closure of the Chemical Safety and Hazard Investigation Board (Board) [shall have not more than three career Senior Executive Service positions: Provided further, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board]: Provided further, That notwithstanding any other provision of law, [the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board] no-year funds made available to the Board under title III of Public Law 108-199 and title III of Public Law 108-447 may be used only if unforeseen costs of closure arise. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

ldentif	ication code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses (Direct)	11	12	10
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	12	12	10
1930		13	13	11
040	Memorandum (non-add) entries:	1		
1940 1941	Unobligated balance expiring Unexpired unobligated balance, end of year	-1 1		1
1941	unexpired unoungated balance, end of year	1	1	1
	Change in obligated balance:			
3000	Unpaid obligations:	2	4	
3010	Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts	3 11	4	4 10
3020	Outlays (gross)	-10	-12	9
020	Outlays (gloss)	-10		
3050	Unpaid obligations, end of year	4	4	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3	4	4
3200	Obligated balance, end of year	4	4	5
	Budget authority and outlays, net:			
	Discretionary:			
1000	Budget authority, gross	12	12	10
	Outlays, gross:			
010	Outlays from new discretionary authority	8	9	7
011	Outlays from discretionary balances	2	3	2
1020	Outlays, gross (total)	10	12	9
180	Budget authority, net (total)	12	12	10
1190	Outlays, net (total)	10	12	g

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and informing stakeholder discussions on chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other entities to implement Board recommendations. The President's Budget proposes to eliminate funding for several independent agencies, including the Chemical Safety and Hazard Investigation Board, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The amount requested will fund an orderly closeout of the agency beginning in 2021. As authorized by law, the Board will submit a concurrent request for 2021 to the Congress and OMB.

## Object Classification (in millions of dollars)

Identi	fication code 510–3850–0–1–304	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	3	5	5
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	4	6	6
12.1	Civilian personnel benefits	2	2	1
23.2	Rental payments to others	1	1	1
25.1	Advisory and assistance services	1	1	
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	1	1	1
99.0	Direct obligations	10	12	10
99.5	Adjustment for rounding	1	·····	·····
99.9	Total new obligations, unexpired accounts	11	12	10

## Employment Summary

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	31	47	47

# **COMMISSION OF FINE ARTS**

## Federal Funds

#### SALARIES AND EXPENSES

For expenses of the Commission of Fine Arts under chapter 91 of title 40, United States Code, \$3,240,000: *Provided*, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: *Provided further*, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study, or education: *Provided further*, That one-tenth of one percent of the funds provided under this heading may be used for official reception and representation expenses. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)* 

#### Program and Financing (in millions of dollars)

Identif	ication code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses (Direct)	3	3	3
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3	3	3
1930	Total budgetary resources available	3	3	3
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1		
3010	New obligations, unexpired accounts	3	3	3
3020	Outlays (gross)	-4	-3	-3
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1		
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	3	3	3
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	3	3
4011	Outlays from discretionary balances	1	·····	·····
4020	Outlays, gross (total)	4	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	4	3	3

The Commission advises the President, the Congress, and Department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

## Object Classification (in millions of dollars)

Identi	fication code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
12.1	Civilian personnel benefits	1	1	1
99.0	Direct obligations	2	2	2
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	3	3	3

#### SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

#### NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

[For necessary expenses as authorized by Public Law 99–190 (20 U.S.C. 956a), \$5,000,000.] (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing	<b>g</b> (in millions of dollars)
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ldentif	ication code 323-2602-0-1-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: National Capital Arts and Cultural Affairs (Direct)	3	5	
0900	Total new obligations, unexpired accounts (object class 25.2)	3	5	
	Budgetary resources: Budget authority:			
1100	Appropriations, discretionary:	3	5	
1930	Appropriation Total budgetary resources available	3	5	
	Change in obligated balance:			
3010	Unpaid obligations: New obligations, unexpired accounts	3	5	
3020	Outlays (gross)	-3	-5	
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	3	5	
4010	Outlays from new discretionary authority	3	5	
4180	Budget authority, net (total)	3	5	
4190	Outlays, net (total)	3	5	

No funding is requested for the National Capital Arts and Cultural Affairs Grant Program that is administered by the Commission of Fine Arts.

# **COMMISSION ON CIVIL RIGHTS**

## Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, [\$10,500,000] *\$10,065,000*: *Provided*, That none of the funds appropriated in this paragraph may be used to employ any individuals under Schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations exclusive of one special assistant for each Commissioner: *Provided further*, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: *Provided further*, That the Chair may accept and use any gift or donation to carry out the work of the Commission: *Provided further*, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by section 3 of the Civil Rights Commission Act of 1983 (42 U.S.C. 1975a). (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financin	g (in	millions	of do	llars)
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Identification code 326-1900-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:           0001         Salaries and Expenses (Direct)	10	11	10
Budgetary resources: Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	11	10
1930 Total budgetary resources available	10	11	10

#### THE BUDGET FOR FISCAL YEAR 2021

#### Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	10	11	10
3020	Outlays (gross)		11	
3050	Unpaid obligations, end of year	1	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
-				
	Budget authority and outlays, net:			
	Budget authority and outlays, net: Discretionary:			
4000		10	11	10
4000	Discretionary:	10	11	10
4000 4010	Discretionary: Budget authority, gross	10 9	11	10 10
	Discretionary: Budget authority, gross Outlays, gross:			
4010 4011	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances	9	11	10
4010 4011 4020	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances Outlays, gross (total)	9 1 10	11 	10 
4010 4011	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances	9	11	10

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

## **Object Classification** (in millions of dollars)

Identi	fication code 326-1900-0-1-751	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	6	5	5
12.1	Civilian personnel benefits	1	2	2
23.1	Rental payments to GSA	2	2	2
25.2	Other services from non-Federal sources	1	2	1
99.9	Total new obligations, unexpired accounts	10	11	10

#### Employment Summary

Identification code 326–1900–0–1–751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	42	43	41

# COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

#### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled (referred to in this title as "the Committee") established under section 8502 of title 41, United States Code, [\$10,000,000] *\$13,930,000: Provided*, That in order to authorize any central nonprofit agency designated pursuant to section 8503(c) of title 41, United States Code, to perform requirements of the Committee as prescribed under section 51–3.2 of title 41, Code of Federal Regulations, the Committee shall enter into a written agreement with any such central nonprofit agency: *Provided further*, That such agreement shall contain such auditing, oversight, and reporting provisions as necessary to implement chapter 85 of title 41, United States Code: *Provided further*, That such agreement shall include the elements listed under the heading "Committee For Purchase From People Who Are Blind or Severely Disabled-Written Agreement Elements" in the explanatory statement described in section 4 of Public Law 114–113 (in the matter preceding division A of that consolidated Act): *Provided further*, That any such central nonprofit agency statement shall contain such additions and the termets agreement function of the total section 4 of section 51–3.5 of title 41, Code of Federal Regulations, may not charge a fee under section 51–3.5 of title 41, Code of Federal Regulations,

Commodity Futures Trading Commission Federal Funds 1235

36

40

57

prior to executing a written agreement with the Committee: *Provided further*, That no less than [\$1,650,000] *\$2,300,000* shall be available for the Office of Inspector General. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identif	ication code 338-2000-0-1-505	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses	8	10	14
	Budgetary resources:			
	Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary: Appropriation	8	10	14
1930	Total budgetary resources available	8	10	14
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2		
3010	New obligations, unexpired accounts	8	10	14
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-10	-10	-13
3041	Recoveries of prior year unpaid obligations, expired		<u> </u>	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:			1
3100	Obligated balance, start of year	2		
3200	Obligated balance, end of year			1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	8	10	14
4010	Outlays, gross: Outlays from new discretionary authority	8	8	11
4010	Outlays from discretionary balances	2	2	2
4020	Outlays, gross (total)	10	10	13
4180	Budget authority, net (total)	8	10	14
4190	Outlays, net (total)	10	10	13

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission, hereafter "Commission") administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for people who are blind or have other significant disabilities. The Commission accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Commission then places such products and service requirements on the AbilityOne Procurement List, requiring Federal departments and agencies to procure the designated products and services from a network of approximately 500 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities. The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2018, approximately 45,000 AbilityOne employees earned a combined total of more than \$656 million in wages, with an average hourly wage of \$13.72. The AbilityOne Program continues to emphasize providing employment to veterans, with more than 3,000 employed in direct labor positions. More than 1,800 AbilityOne employees moved into competitive or supported employment in 2018 after gaining skills and experience on AbilityOne jobs.

While pursuing its core mission to increase employment opportunities for people who are blind or have other significant disabilities, the Commission is dedicated to effective stewardship and program integrity. The Commission continues to strengthen its Procurement List business processes and to enhance its oversight of AbilityOne Program participants. The resources proposed for 2021 will enable the Commission to continue implementing the requirements of the Consolidated Appropriations Act of 2016. These requirements include establishing and staffing an Office of Inspector General for the AbilityOne Program. The requirements also include establishing and administering written agreements that govern the Commission's relationship with its designated central nonprofit agencies, evaluating reports and data from such central nonprofit agencies, and maintaining the Commission's compliance and operations capacity to oversee a national program with more than \$3.6 billion in annual sales of products and services to the Government.

## Object Classification (in millions of dollars)

Identif	ication code 338-2000-0-1-505	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	5	8
12.1	Civilian personnel benefits	1	1	2
25.1	Advisory and assistance services	2	2	4
99.0	Direct obligations	8	8	14
99.5	Adjustment for rounding		2	
99.9	Total new obligations, unexpired accounts	8	10	14
	Employment Summary			
Identif	ication code 338–2000–0–1–505	2019 actual	2020 est.	2021 est.

# COMMODITY FUTURES TRADING COMMISSION

1001 Direct civilian full-time equivalent employment .....

#### Federal Funds

## COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases), in the District of Columbia and elsewhere, [\$284,000,000] \$226,500,000, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, of which not less than \$20,000,000 shall remain available until September 30, [2021] 2022, and of which not less than [\$3,200,000] \$3,568,000 shall be for expenses of the Office of the Inspector General: Provided, That notwithstanding the limitations in 31 U.S.C. 1553, amounts provided under this heading are available for the liquidation of obligations equal to current year payments on leases entered into prior to the date of enactment of this Act: Provided further, That for the purpose of recording and liquidating any lease obligations that should have been recorded and liquidated against accounts closed pursuant to 31 U.S.C. 1552, and consistent with the preceding proviso, such amounts shall be transferred to and recorded in a no-year account in the Treasury, which has been established for the sole purpose of recording adjustments for and liquidating such unpaid obligations.

[In addition, for move, replication, and related costs associated with replacement leases for the Commission's facilities, not to exceed \$31,000,000, to remain available until expended.] (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 339–1400–0–1–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Salaries and Expenses	190	258	200
0002	Information Technology	52		
0003	Inspector General	3	3	4
0004	Relocation Costs		31	
0900	Total new obligations, unexpired accounts	245	292	204
0910	Appropriations used to liquidate unpaid lease obligations	23	23	23
0911	Total new obligations, unexpired accounts; and lease			
	payments	268	315	227

1000	Unobligated balance brought forward, Oct 1		1	1
1021	Recoveries of prior year unpaid obligations	2		

# COMMODITY FUTURES TRADING COMMISSION—Continued Program and Financing—Continued

Identi	ication code 339–1400–0–1–376	2019 actual	2020 est.	2021 est.
1050	Unobligated balance (total)	2	1	1
	Budget authority:			
1100	Appropriations, discretionary:	000	004	007
1100 1100	Appropriation Appropriation [Relocation Costs]	268	284 31	227
1100				
1160	Appropriation, discretionary (total)	268	315	227
1901	Adjustment for new budget authority used to liquidate			
1000	deficiencies	-23	-23	-23
1930	Total budgetary resources available Memorandum (non-add) entries:	247	293	205
1940	Unobligated balance expiring	-1		
1940	Unexpired unobligated balance, end of year	-1	1	1
		-	1	
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	195	186	178
3010	New obligations, unexpired accounts	245	292	204
3011	Obligations ("upward adjustments"), expired accounts	1	1	1
3020	Outlays (gross)	-251	-301	-275
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3041	Recoveries of prior year unpaid obligations, expired	-2		
3050	Unpaid obligations, end of year	186	178	108
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	195	186	178
3200	Obligated balance, end of year	186	178	108
	Budget authority and outlays, net:			
4000	Discretionary:	000	215	007
4000	Budget authority, gross	268	315	227
4010	Outlays, gross: Outlays from new discretionary authority	193	238	184
4010	Outlays from discretionary balances	58	63	91
4020	Outlays, gross (total)	251	301	275
	Offsets against gross budget authority and outlays:			
4033	Offsetting collections (collected) from:	1		
4033	Non-Federal sources Additional offsets against gross budget authority only:	-1		
4052	Offsetting collections credited to expired accounts	1		
	<b>.</b>			
4070	Budget authority, net (discretionary)	268	315	227
4080	Outlays, net (discretionary)	250	301 315	275 227
	Budget authority, net (total) Outlays, net (total)	268 250	315	275
4150		230	301	275
7007	Unfunded deficiencies:			
	Unfunded deficiency, start of year	-149	-126	-103
/000	Change in deficiency during the year:			
7000		22	22	
7000	Budgetary resources used to liquidate deficiencies	23	23	23

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	268	315	227
Outlays	250	301	275
Legislative proposal, not subject to PAYGO:			
Outlays			-16
Total:			
Budget Authority	268	315	227
Outlays	250	301	259

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to: foster open, transparent, competitive, and financially sound markets; prevent and deter price manipulation and other disruptions to market integrity; and protect market participants and the public from fraud, exploitation, and abusive practices related to derivatives and other products that are subject to the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA). The CEA established a comprehensive regulatory structure to oversee the futures trading complex, commodity options trading, intermediaries, and swap dealer activities.

The Commission's regulatory landscape is continually changing. As a responsible regulator, the CFTC seeks to promote responsible innovation

and development that is consistent with its statutory mission to enhance the derivative trading markets. Further, the agency seeks to lower the systemic risk of the futures and swaps markets to the economy and the public.

The markets under the CFTC's regulatory purview are economically significant. In the United States, the CFTC regulates the markets for futures and options on futures with an estimated notional value of \$27 trillion and the swaps market with an estimated notional value of \$353 trillion.

## Object Classification (in millions of dollars)

Identifi	cation code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time			
	permanent	122	134	85
11.9	Total personnel compensation	122	134	85
12.1	Civilian personnel benefits	40	43	28
21.0	Travel and transportation of persons	1	2	2
22.0	Transportation of things		1	
23.1	Rental payments to GSA	1	20	
23.2	Rental payments to others	3	2	3
23.3	Communications, utilities, and miscellaneous charges	1	3	3
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	68	68	75
26.0	Supplies and materials	3	2	2
31.0	Equipment	5	16	5
99.9	Total new obligations, unexpired accounts	245	292	204
01.2	Rental payments to others	23	23	23
09.9	Total obligations, unexpired accounts; and lease			
	payments	268	315	227

#### Employment Summary

Identif	ication code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	657	707	717

# COMMODITY FUTURES TRADING COMMISSION

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of legislation authorizing the Commodity Futures Trading Commission to collect user fees to fund the Commission's activities, an additional \$77,500,000 shall be appropriated from the general fund: Provided, That fees and charges assessed by the Commission shall be credited to this appropriation as offsetting collections: Provided further, That not to exceed \$77,500,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2021 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2021 appropriation from the general fund estimated at not more than \$226,500,000.

Identif	ication code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses			77
	Budgetary resources:			
	Budget authority:			
1700	Spending authority from offsetting collections, discretionary:			
1700				77
1900	Budget authority (total)			77
1930	Total budgetary resources available	•••••		77
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts			77
3020	Outlays (gross)			-61
3050	Unpaid obligations, end of year Memorandum (non-add) entries:			16
3200	Obligated balance, end of year			16
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:			77
4010	Outlays, gross: Outlays from new discretionary authority			61
4010	outidys nominew discretionary authority			01

		Offsets against gross budget authority and outlays:			
		Offsetting collections (collected) from:			
4	4034	Offsetting governmental collections	 	-77	
	4180	Budget authority, net (total)	 		
	4190	Outlays, net (total)	 	-16	

The Budget proposes legislation authorizing user fees to fund certain Commission activities, as specified by the CFTC, in line with nearly all other Federal financial and banking regulators. Contingent upon enactment of authorizing legislation, the Budget proposes collections of \$77.5 million to offset a portion of the CFTC's annual appropriation, providing total CFTC funding of \$304 million in FY 2021. CFTC fees would be designed in a way that supports market access, liquidity, and the efficiency of the Nation's derivatives markets.

#### Object Classification (in millions of dollars)

Identifi	cation code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent			58
11.9 12.1	Total personnel compensation Civilian personnel benefits			58 19
99.0	Direct obligations			77
99.9	Total new obligations, unexpired accounts			77

## **Employment Summary**

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			290

#### CUSTOMER PROTECTION FUND

#### Program and Financing (in millions of dollars)

Identif	ication code 339–4334–0–3–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Customer Education Program	3	4	17
0002	Whistleblower Program	3	4	
0002	Whistleblower Awards	15	25	25
0900	Total new obligations, unexpired accounts	21	33	47
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	159	141	111
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	3	3	36
1930	Total budgetary resources available	162	144	147
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	141	111	100
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5	12	3
3010	New obligations, unexpired accounts	21	33	47
3020	Outlays (gross)	-14	-14	-3
3050	Unpaid obligations, end of year	12	31	4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5	12	3
3200	Obligated balance, end of year	12	31	42
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:	3	3	36
4100	Outlays, gross: Outlays from new mandatory authority		2	30
4100	Outlays from mandatory balances		12	-
+101	outlays non manuatory balances	14		
4110	Outlays, gross (total) Offsets against gross budget authority and outlays:	14	14	30
	Offsetting collections (collected) from:	-	-	
4121	Interest on Federal securities	-3	-3	_
4123	Non-Federal sources			-3

Consumer Product Safety Commission Federal Funds	1237

4130 4170	Offsets against gross budget authority and outlays (total) Outlays, net (mandatory) Budget authority, net (total)	-3 11	-3 11	-36
	Outlays, net (total)	11		
5000 5001	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value Total investments. EOY: Federal securities: Par value	158 141	141	111

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Dodd-Frank Act) amended the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA) to establish the Customer Protection Fund (Fund). The Fund is used to pay whistleblower awards, finance customer education initiatives, and administer the programs. The Dodd-Frank Act also authorized the Commodity Futures Trading Commission (Commission) to issue rules implementing incentives and protections for whistleblowers and to conduct customer education initiatives designed to help customers protect themselves against fraud and other violations of the CEA.

The Commission deposits monetary sanctions it collects in covered judicial or administrative actions into this revolving fund. The Commission may deposit such sanctions unless the balance in the Fund at the time the sanction is collected exceeds \$100 million. The Commission does not deposit restitution awarded to victims into the Fund.

The Commission is required to submit an annual report on the whistleblower award program and customer education initiatives to the Committee on Agriculture, Nutrition, and Forestry of the Senate and the Committee on Agriculture of the House of Representatives. The report includes: a description of the number of whistleblower awards granted, and the types of cases in which these awards were granted, during the preceding fiscal year; the balance in the Fund; the amounts credited to and paid from the Fund; and a complete set of audited financial statements.

## **Object Classification** (in millions of dollars)

Identi	fication code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	3	4	6
11.8	Special personal services payments	15	25	25
11.9	Total personnel compensation	18	29	31
12.1	Civilian personnel benefits	1	1	2
25.1	Advisory and assistance services	2	3	14
99.9	Total new obligations, unexpired accounts	21	33	47
	Employment Summary			

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	26	32

# **CONSUMER PRODUCT SAFETY COMMISSION**

## Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, [\$132,500,000] *\$135,000,000*[, of which \$1,300,000 shall remain available until expended to carry out the program, including administrative costs, required by section 1405 of the Virginia Graeme Baker Pool and Spa Safety Act (Public Law 110–140; 15 U.S.C. 8004)]. (Financial Services and General Government Appropriations Act, 2020.)

SALARIES AND EXPENSES—Continued Program and Financing (in millions of dollars)

Identif	ication code 061–0100–0–1–554	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	128	133	135
0001	Consumer Product Safety - Direct	120		
0100	Direct program activities, subtotal	128	133	135
0801	Consumer Product Safety - Reimbursable	3	3	3
0900	Total new obligations, unexpired accounts	131	136	138
	Budgetary resources:			
1000	Unobligated balance:	2	1	1
1000	Unobligated balance brought forward, Oct 1 Budget authority:	2	1	1
	Appropriations, discretionary:			
1100	Appropriation	127	133	135
1700	Spending authority from offsetting collections, discretionary: Collected	1	3	3
1700	Change in uncollected payments, Federal sources	2	J 	J 
1750	Spending auth from offsetting collections, disc (total)	3	3	3
1900	Budget authority (total)	130	136	138
1930		132	137	139
1041	Memorandum (non-add) entries:	1	1	
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
3000	Unpaid obligations:	33	38	37
3010	Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts	131	136	138
3020	Outlays (gross)	-124	-137	-138
3041	Recoveries of prior year unpaid obligations, expired	-2		
3050	Unpaid obligations, end of year	38	37	37
5050	Uncollected payments:	50	57	57
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-2		
3071	Change in uncollected pymts, Fed sources, expired	3		·····
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	30	36	35
3200	Obligated balance, end of year	36	35	35
	Budget authority and outlays, net:			
4000	Discretionary: Budget authority, gross	130	136	138
	Outlays, gross:			
4010	Outlays from new discretionary authority	99	109	110
4011	Outlays from discretionary balances	25	28	28
4020	Outlays, gross (total)	124	137	138
	Offsets against gross budget authority and outlays:			
4020	Offsetting collections (collected) from:	2	2	-3
4030	Federal sources		-3	-3
4040	Offsets against gross budget authority and outlays (total)	-3	-3	-3
4050	Additional offsets against gross budget authority only:	~		
4050 4052	Change in uncollected pymts, Fed sources, unexpired	-2 2		
4002	Offsetting collections credited to expired accounts			
4070	Budget authority, net (discretionary)	127	133	135
4080	Outlays, net (discretionary)	121	134	135
4180	<b>o</b>	127	133	135
4190	Outlays, net (total)	121	134	135

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. In FY 2021, CPSC will focus on the highest priority risks to consumers, adapt to the consumer marketplace changes resulting from the expansion of e-commerce, continue to emphasize import surveillance to better identify and stop non-compliant or defective products from entering the U.S. market, and expand the data analysis tools, data sources and types used to identify consumer product hazards.

## Object Classification (in millions of dollars)

Identif	ication code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	56	62	63
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	61	67	68
12.1	Civilian personnel benefits	19	19	19
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	8	8	8
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.1	Advisory and assistance services	2	2	2
25.2	Other services from non-Federal sources	15	18	18
25.3	Other goods and services from Federal sources	5	4	4
25.4	Operation and maintenance of facilities	1		
25.5	Research and development contracts	2		2
25.7	Operation and maintenance of equipment	8	8	8
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	2	2
41.0	Grants, subsidies, and contributions	1	1	
99.0	Direct obligations	128	133	135
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	131	136	138

#### Employment Summary

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	515	539	539

#### Administrative Provision—Consumer Product Safety Commission

[SEC. 501. During fiscal year 2020, none of the amounts made available by this Act may be used to finalize or implement the Safety Standard for Recreational Off-Highway Vehicles published by the Consumer Product Safety Commission in the Federal Register on November 19, 2014 (79 Fed. Reg. 68964) until after-

(1) the National Academy of Sciences, in consultation with the National Highway Traffic Safety Administration and the Department of Defense, completes a study to determine-

(A) the technical validity of the lateral stability and vehicle handling requirements proposed by such standard for purposes of reducing the risk of Recreational Off-Highway Vehicle (referred to in this section as "ROV") rollovers in the off-road environment, including the repeatability and reproducibility of testing for compliance with such requirements;

(B) the number of ROV rollovers that would be prevented if the proposed requirements were adopted:

(C) whether there is a technical basis for the proposal to provide information on a point-of-sale hangtag about a ROV's rollover resistance on a progressive scale; and

(D) the effect on the utility of ROVs used by the United States military if the proposed requirements were adopted; and

(2) a report containing the results of the study completed under paragraph (1) is delivered to-

(A) the Committee on Commerce, Science, and Transportation of the Senate; (B) the Committee on Energy and Commerce of the House of Representatives:

(C) the Committee on Appropriations of the Senate; and

(D) the Committee on Appropriations of the House of Representatives.] (Financial Services and General Government Appropriations Act, 2020.)

# **CORPORATION FOR NATIONAL AND COMMUNITY** SERVICE

#### Federal Funds

## **OPERATING EXPENSES**

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973 (referred to in this title as "1973 Act") and the National and Community Service Act of 1990 (referred to in this title as "1990 Act"), [\$806,529,000, notwith-

standing sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act] \$30,105,000: Provided, That [of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$17,538,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; (3) \$32,500,000 \$22,883,000 shall be available to carry out subtitle E of the 1990 Act [; and (4) \$6,400,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis]: Provided further, That [for the purposes of carrying out the 1990 Act, satisfying the requirements in section 122(c)(1)(D) may include a determination of need by the local community notwithstanding any other provision of law -

(1) CNCS may not incur obligations under subtitle B, subtitle C (except as needed to fulfill the requirements of sections 141(d) and (e)), subtitles F and H, section 193A(g)(3) of subtitle G, or subtitles H and J of the 1990 Act;

(2) CNCS may not approve any national service positions under section 123 of the 1990 Act:

(3) \$22,883,000 shall be available to carry out subtitle E of the 1990 Act;

(4) CNCS may not assign volunteers under Title I of the 1973 Act; and

(5) CNCS may not incur obligations under Title II of the 1973 Act.

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

	ication code 485–2728–0–1–506	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	AmeriCorps*State and National	420	420	2
0002	Foster Grandparent Program	111	111	-
0003	Senior Companion Program	47	47	
0003	AmeriCorps*VISTA	92	92	5
0004	AmeriCorps*NCCC	32	32	23
0007	Retired Senior Volunteer Program	50	50	
8000	State Comm. Support Grants	18	18	
0009	Evaluations	4	4	
0011	Innovation, Demon., and Assistance	8	8	
012	Volunteer Generation Fund	5	5	
0799	Total direct obligations	787	787	30
0801	Operating Expenses (Reimbursable)	18	18	
0900	Total new obligations, unexpired accounts	805	805	30
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			24
1021	Recoveries of prior year unpaid obligations	<u> </u>	2	2
1050	Unobligated balance (total)		2	26
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	787	807	30
	Spending authority from offsetting collections, discretionary:			
1700	Collected	20	20	
1900	Budget authority (total)	807	827	30
	Total budgetary resources available	807	829	56
1930		607	029	30
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year		24	26
	Change in obligated balance:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	938	956	941
3010	New obligations, unexpired accounts	805	805	30
3011	Obligations ("upward adjustments"), expired accounts	23		
3020	Outlays (gross)	-757	-818	-556
3040	Recoveries of prior year unpaid obligations, unexpired		-010	-330
			_	-
3041	Recoveries of prior year unpaid obligations, expired	53	<u> </u>	
3050	Unpaid obligations, end of year Uncollected payments:	956	941	413
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	9	9	9
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	9	9	_9
	Obligated balance, start of year	929	947	932
3100				

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	807	827	30
	Outlays, gross:			
4010	Outlays from new discretionary authority	129	271	9
4011	Outlays from discretionary balances	628	547	547
4020	Outlays, gross (total)	757	818	556
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources	-20	-38	
4033	Non-Federal sources	2		
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-22	-38	
4052	Offsetting collections credited to expired accounts	2	18	<u> </u>
4060	Additional offsets against budget authority only (total)	2	18	
4070	Budget authority, net (discretionary)	787	807	30
4080	Outlays, net (discretionary)	735	780	556
4180	Budget authority, net (total)	787	807	30
4190	Outlays, net (total)	735	780	556

The Corporation for National and Community Service (CNCS) provides service opportunities for Americans of all ages through institutions that include: nonprofits, schools, faith-based and other community organizations, and local governments. The 2021 Budget proposes to eliminate CNCS, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. No funds are provided in the 2021 Budget for new grants in programs described in this account.

AmeriCorps State and National.—With funds channeled through States, Territories, Tribes, and community-based organizations, AmeriCorps grants enable communities to recruit, train, and place AmeriCorps members to serve in the areas of disaster services, economic opportunity, education, environmental stewardship, healthy futures, and veterans and military families, as directed by the Edward M. Kennedy Serve America Act of 2009.

AmeriCorps National Civilian Community Corps.—AmeriCorps NCCC is a ten-month residential national service program for people ages 18 to 24. AmeriCorps NCCC members are deployed to respond to natural disasters and engage in urban and rural development projects across the nation.

AmeriCorps VISTA.-Provides full-time members to community organizations and public agencies working to resolve local poverty-related problems.

State Service Commission Support Grants.-These grants support the operation of State Service Commissions that administer approximately two-thirds of AmeriCorps State and National grant funds.

Retired Senior Volunteer Program.-RSVP grants support volunteers aged 55 and older with service opportunities, including mentoring children and providing independent living services to adults.

Foster Grandparent Program.-Grants provide low-income volunteers age 55 and older with service opportunities to provide one-on-one mentoring and support to at-risk children.

Senior Companion Program.-Grants support low-income volunteers who provide companionship, transportation, help with light chores, and respite to assist seniors and people with disabilities to remain in their own homes.

Innovation, Demonstration, and Assistance.-These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs. For example, the Volunteer Generation Fund focuses on strengthening the ability of nonprofits and other organizations to recruit, retain, and manage volunteers.

Evaluation.—This activity supports the design and implementation of research and evaluation studies and facilitates the use of evidence and evaluation by CNCS and national service organizations.

# OPERATING EXPENSES—Continued

Object Classification (in millions of dollars)

Identif	ication code 485-2728-0-1-506	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	9	9	g
11.8	Special personal services payments	55	55	4
11.9	Total personnel compensation	64	64	13
12.1	Civilian personnel benefits	3	3	3
21.0	Travel and transportation of persons	4	4	2
23.2	Rental payments to others	8	8	6
25.2	Other services from non-Federal sources	39	39	6
26.0	Supplies and materials	1	1	
41.0	Grants, subsidies, and contributions	668	668	
99.0	Direct obligations	787	787	30
99.0	Reimbursable obligations	18	18	
99.9	Total new obligations, unexpired accounts	805	805	30

#### **Employment Summary**

Identification code 485–2728–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	120	120	120

## PAYMENT TO THE NATIONAL SERVICE TRUST

#### [(INCLUDING TRANSFER OF FUNDS)]

[For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, \$208,342,000, to remain available until expended: *Provided*, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

#### Program and Financing (in millions of dollars)

Identif	ication code 485-2726-0-1-506	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Payment to National Service Trust Fund	207	208	
0900	Total new obligations, unexpired accounts (object class 94.0)	207	208	
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary.	207	208	
1930	Total budgetary resources available	207	208	
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	207	208	
3020	Outlays (gross)	-207	-208	
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	207	208	
4010	Outlays from new discretionary authority		208	
4011	Outlays from discretionary balances	207	<u> </u>	
4020	Outlays, gross (total)	207	208	
4180	Budget authority, net (total)	207	208	
4190	Outlays, net (total)	207	208	

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them. The 2021 Budget does not provide funding in this account because CNCS is proposed for elimination and will not make any education awards in 2021.

## OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, [\$5,750,000] \$4,258,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 485-2721-0-1-506	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Office of Inspector General	5	5	1
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	2	
	Budget authority:			
100	Appropriations, discretionary:	c	6	
	Appropriation	6 7	ь 8	-
1930	Total budgetary resources available Memorandum (non-add) entries:	1	ŏ	
1941	Unexpired unobligated balance, end of year	2	3	1
1341	onexpired unobligated balance, end of year	2	J	
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2	
3010	New obligations, unexpired accounts	5	5	
3020	Outlays (gross)	5	5	
3050	Unpaid obligations, end of year	2	2	:
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	2	
3200	Obligated balance, end of year	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	6	6	
	Outlays, gross:			
4010	Outlays from new discretionary authority	2	2	
011	Outlays from discretionary balances	3	3	
1020	Outlays, gross (total)	5	5	
1180	Budget authority, net (total)	6	6	
4190	Outlays, net (total)	5	5	

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse. The 2021 Budget provides funding in this account for the orderly shutdown of the Office of the Inspector General, as part of the proposal to eliminate the Corporation for National and Community Service.

## Object Classification (in millions of dollars)

Identif	ication code 485–2721–0–1–506	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	3	2
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	1	1	1
99.9	Total new obligations, unexpired accounts	5	5	4

#### **Employment Summary**

Identification code 485–2721–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	23	23

#### SALARIES AND EXPENSES

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, [\$83,737,000] \$47,333,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	ication code 485–2722–0–1–506	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: NCSA Salaries & Expenses	84	84	47
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary:			
1100	Appropriation	84	84	47
1930	Total budgetary resources available	84	84	47
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	19	21	29
3010	New obligations, unexpired accounts	84	84	47
3020	Outlays (gross)	-82	-76	-56
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	21	29	20
3100	Obligated balance, start of year	19	21	29
3200	Obligated balance, end of year	21	29	20
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	84	84	47
4010	Outlays from new discretionary authority	69	65	36
4011	Outlays from discretionary balances	13	11	20
4020	Outlays, gross (total)	82	76	56
4180	Budget authority, net (total)	84	84	47
4190	Outlays, net (total)	82	76	56

This account provides funding for the orderly shutdown of the Corporation for National and Community Service.

## Object Classification (in millions of dollars)

Identifi	cation code 485-2722-0-1-506	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time	40	40	00
	permanent	40	40	23
11.9	Total personnel compensation	40	40	23
12.1	Civilian personnel benefits	13	13	7
21.0	Travel and transportation of persons	1	1	
23.1	Rental payments to GSA	6	6	5
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	21	21	11
26.0	Supplies and materials	1	1	
31.0	Equipment	1	1	·····
99.9	Total new obligations, unexpired accounts	84	84	47
	Employment Summary			
Identifi	cation code 485–2722–0–1–506	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	406	406	397

## VISTA ADVANCE PAYMENTS REVOLVING FUND

## Program and Financing (in millions of dollars)

ldentif	ication code 485–2723–0–1–506	2019 actual	2020 est.	2021 est.
0801 0900	Obligations by program activity: VISTA Advance Payments Revolving Fund (Reimbursable) Total new obligations, unexpired accounts (object class 41.0)	<u> </u>	<u>    13</u> <u>    13</u>	<u> </u>
1000	Budgetary resources: Unobligated balance: Unobligated balance brought forward, Oct 1	2	2	2

Budget authority:

	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1700	Collected	10	13	
1900	Budget authority (total)	10	13	
1930	Total budgetary resources available	12	15	2
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2	2
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	10	13	
3020		-10	-13	
3020	Outlays (gross)	-10	-13	
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	10	13	
	Outlays, gross;			
4010	Outlays from new discretionary authority		13	
4011	Outlays from discretionary balances			
4020	Outlays, gross (total)	10	13	
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4033	Non-Federal sources	-10	-13	
4180	Budget authority, net (total)			
4190	Outlays, net (total)			
-130	outiajo, not (total)			

This fund was established in 2007 by Public Law 110–05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

## Trust Funds

## GIFTS AND CONTRIBUTIONS

## Special and Trust Fund Receipts (in millions of dollars)

Identi	fication code 485–9972–0–7–506	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:		150	155
1140 1140	Current law: Interest on Investment, National Service Trust Fund Payment from the General Fund, National Service Trust	10	5	5
1140	Fund	207	208	
	Fund	18	<u> </u>	<u> </u>
1199	Total current law receipts	235	213	5
1999	Total receipts	235	213	5
2000	Total: Balances and receipts Appropriations: Current law:	235	363	160
2101 2101 2133	Gifts and Contributions Gifts and Contributions Gifts and Contributions	-225 -10 150	_208	
2199	Total current law appropriations	-85	-208	
2999	Total appropriations	-85	-208	
5099	Balance, end of year	150	155	160

Identi	lication code 485–9972–0–7–506	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Gifts and contributions	233	<u> </u>	<u></u>
0900	Total new obligations, unexpired accounts (object class $25.2)$	233		
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	364	216	424
1001	Discretionary unobligated balance brought fwd, Oct 1 Budget authority: Appropriations, discretionary:	131		
1101 1131	Appropriation (special or trust) Unobligated balance of appropriations permanently	225	208	
	reduced			-120

## GIFTS AND CONTRIBUTIONS—Continued Program and Financing—Continued

ldentif	ication code 485–9972–0–7–506	2019 actual	2020 est.	2021 est.
1133	Unobligated balance of appropriations temporarily			
	reduced	-150		
1160	Appropriation, discretionary (total)	75	208	-120
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	10		
1900	Budget authority (total)	85	208	-120
1930	Total budgetary resources available	449	424	304
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	216	424	304
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	558	620	118
3010	New obligations, unexpired accounts	233	020	
3020	Outlays (gross)	-171	-502	-52
5020	outiays (gross)			
3050	Unpaid obligations, end of year	620	118	66
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	558	620	118
3200	Obligated balance, end of year	620	118	66
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	75	208	-120
	Outlays, gross:			
4010	Outlays from new discretionary authority			-120
4011	Outlays from discretionary balances	171	502	172
4020	Outlays, gross (total)	171	502	52
	Mandatory:			
4090	Budget authority, gross	10		
4180	Budget authority, net (total)	85	208	-120
4190	Outlays, net (total)	171	502	52
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	796	760	730
5001	Total investments, EOY: Federal securities: Par value	760	730	700

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until they are used.

#### Administrative Provisions

[SEC. 401. CNCS shall make any significant changes to program requirements, service delivery or policy only through public notice and comment rulemaking. For fiscal year 2020, during any grant selection process, an officer or employee of CNCS shall not knowingly disclose any covered grant selection information regarding such selection, directly or indirectly, to any person other than an officer or employee of CNCS that is authorized by CNCS to receive such information.]

[SEC. 402. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.]

[SEC. 403. Donations made to CNCS under section 196 of the 1990 Act for the purposes of financing programs and operations under titles I and II of the 1973 Act or subtitle B, C, D, or E of title I of the 1990 Act shall be used to supplement and not supplant current programs and operations.]

[SEC. 404. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.] [SEC. 405. For the purpose of carrying out section 189D of the 1990 Act—

(1) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(2) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(3) State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act, are authorized to receive criminal history record information, consistent with Public Law 92–544.]

[SEC. 406. Notwithstanding sections 139(b), 146 and 147 of the 1990 Act, an individual who successfully completes a term of service of not less than 1,200 hours during a period of not more than one year may receive a national service education award having a value of 70 percent of the value of a national service education award determined under section 147(a) of the Act.]

#### (INCLUDING TRANSFER AUTHORITY AND CANCELLATION)

SEC. 401. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.

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(a) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(b) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(c) consistent with Public Law 92–544, State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act are authorized to receive criminal history record information.

#### (TRANSFER AUTHORITY)

SEC. 404. Only for purposes of effectuating a transfer of appropriated funds from any account under the heading "Corporation for National and Community Service" to any executive agency under 31 U.S.C. 1531, the term "executive agency" as used in section 1531 shall apply to the Corporation for National and Community Service. (CANCELLATION)

SEC. 405. Of the unobligated balances available in the National Service Trust Fund, identified by the Treasury Appropriation Fund Symbol 95X8267, \$120,000,000 are hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

#### GENERAL FUND RECEIPT ACCOUNT

#### (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public: 485–322055 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts	3	<u> </u>	
General Fund Offsetting receipts from the public	3		

# **CORPORATION FOR PUBLIC BROADCASTING**

## Federal Funds

#### CORPORATION FOR PUBLIC BROADCASTING

[For payment to the Corporation for Public Broadcasting ("CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2022, \$465,000,000: *Provided*, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB.] [In addition, for the costs associated with replacing and upgrading the public broadcasting interconnection system and other technologies and services that create infrastructure and efficiencies within the public media system, \$20,000,000.]

# (INCLUDING CANCELLATIONS)

Of the amounts made available to the Corporation for Public Broadcasting (CPB) on October 1, 2020 by Public Law 115–245, \$415,000,000 is hereby permanently cancelled: Provided, That section 396(k)(3) of the Communications Act of 1934 (47 U.S.C. 396(k)(3)) shall not apply to the remaining amounts made available to CPB for fiscal year 2021 by Public Law 115–245, or to the unobligated balances of the Fund established in section 396(k)(1)(A) of such Act (47 U.S.C. 396(k)(1)(A)).

Of the amounts which are made available to CPB on October 1, 2021 by Public Law 116–94, \$437,000,000 is hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 020–0151–0–1–503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:	105		
0001	General programming	465	445	30
0002	Interconnection		20	
0900	Total new obligations, unexpired accounts (object class 41.0)	465	465	30
	Budgetary resources:			
	Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary: Appropriation	20	20	
1100	Advance appropriations, discretionary:	20	20	
1170	Advance appropriations, discretionary: Advance appropriation - General Programming	445	445	445
1174	Advance appropriations permanently reduced	443	44J	-415
11/4	Advance appropriations permanently reduced			-415
1180	Advanced appropriation, discretionary (total)	445	445	30
1900	Budget authority (total)	465	465	30
1930	Total budgetary resources available	465	465	30
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	465	465	30
3020	Outlays (gross)	-465	-465	-30
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	465	465	30
	Outlays, gross:			
4010	Outlays from new discretionary authority	465	465	30
4180	Budget authority, net (total)	465	465	30
4190	Outlays, net (total)	465	465	30

The Budget proposes to eliminate funding for several independent agencies and other federal entities, including the Corporation for Public Broadcasting, as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests up to \$58 million over two years to conduct an orderly closeout of Federal funding for the Corporation beginning with \$30 million in 2021. The request includes funding for personnel, rental, and other necessary close-out costs.

# COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY

## Federal Funds

INSPECTORS GENERAL COUNCIL FUND

## Program and Financing (in millions of dollars)

Identification code 542–4592–0–4–808	2019 actual	2020 est.	2021 est.	
Obligations by program activity: 0801 Inspectors General Council Fund (Reimbursable)		12	14	
Budgetary resources: Unobligated balance: 1000 Unobligated balance brought forward, Oct 1		15	15	

	Budget authority:			
1000	Appropriations, mandatory:	0		
1200	Appropriation	2		
1000	Spending authority from offsetting collections, mandatory:		10	
1800	Collected	9	12	14
1900	Budget authority (total)	11	12	14
1930	Total budgetary resources available	26	27	29
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	15	15	15
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	3	
3010	New obligations, unexpired accounts	11	12	14
3020	Outlays (gross)	-9	-15	-14
3020	outlays (gross)			
3050	Unpaid obligations, end of year	3		
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	3	
3200	Obligated balance, end of year	3		
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	11	12	14
	Outlays, gross:			
4100	Outlays from new mandatory authority	8	12	14
4101	Outlays from mandatory balances	1	3	
4110	Outlays, gross (total)	9	15	14
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-9	-12	-14
4180	Budget authority, net (total)	2		
4190	Outlays, net (total)		3	

The Inspector General (IG) Reform Act of 2008 (P.L. 110–409) created the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to address program integrity, efficiency, and effectiveness issues that transcend individual Government agencies and to increase the professionalism and effectiveness of IG staff.

Pursuant to Section 7 of the Inspector General Reform Act of 2008, resources for CIGIE activities are provided through interagency funding, which includes member contributions and tuition. Consistent with prior years, CIGIE plans to collect member contributions for 2021 during the second half of 2020, to be used primarily for the CIGIE Training Institute and operations. Although CIGIE will collect the required member contributions for 2021 from agency IGs in the second half of 2020, the Budget includes funds in individual IG budgets that are dedicated to CIGIE and will be collected in 2021 for use in 2022.

## Object Classification (in millions of dollars)

Identification code 542-4592-0-4-808		2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time Permanent	2	2	3
11.8	Special personal services payments	2	2	2
11.9	Total personnel compensation	4	4	5
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	3	3	4
25.2	Other services from non-Federal sources	3	3	3
31.0	Equipment			1
99.0	Reimbursable obligations	11	11	14
99.5	Adjustment for rounding		1	·····
99.9	Total new obligations, unexpired accounts	11	12	14

## Employment Summary

Identification code 542-4592-0-4-808		2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	14	19	19

# COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

## Federal Funds

## FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, [\$248,524,000] \$248,175,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs, and of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002: Provided, That, of the funds appropriated under this heading, [\$181,065,000] \$180,973,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons [, of which \$3,818,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities]: Provided further, That, of the funds appropriated under this heading, [\$67,459,000] \$67,202,000 shall be available to the Pretrial Services Agency, of which [\$998,000] \$459,000 shall remain available until September 30, [2022] 2023 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: Provided further, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That amounts under this heading may be used for programmatic incentives for defendants to successfully complete their terms of supervision. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 511–1734–0–1–752		2019 actual	2020 est.	2021 est.	
	Obligations by program activity:				
0001	Community supervision program	172	183	184	
0002	Pretrial Services Agency	66	73	69	
0900	Total new obligations, unexpired accounts	238	256	253	
	Budgetary resources: Unobligated balance:				
000	Unobligated balance brought forward, Oct 1		13	6	
000	Budget authority:		15	, c	
	Appropriations, discretionary:				
1100	Appropriation	257	249	248	
1900	Budget authority (total)	257	249	248	
	Total budgetary resources available	257	243	254	
1330	Memorandum (non-add) entries:	257	202	2.04	
1940	Unobligated balance expiring	-6			
1940	Unexpired unobligated balance, end of year	-0	6	1	
1941	onexpired unobligated balance, end of year	15	0	1	
	Change in obligated balance:				
	Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	96	88	90	
3010	New obligations, unexpired accounts	238	256	253	
3011	Obligations ("upward adjustments"), expired accounts	5			
3020	Outlays (gross)	-243	-247	-262	
3041	Recoveries of prior year unpaid obligations, expired	8			
3050	Unpaid obligations, end of year	88	90	74	
	Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1	
3090	Uncollected pymts, Fed sources, end of year	-1	-1		
	Memorandum (non-add) entries:	-	-	-	
3100	Obligated balance, start of year	95	87	89	
3200	Obligated balance, end of year	87	89	73	
1200		07	05		
	Budget authority and outlays, net: Discretionary:				
4000	Budget authority, gross	257	249	248	
	Outlays, gross:	207	245	240	
4010	Outlays, gross: Outlays from new discretionary authority	188	187	186	
4010	Outlays from discretionary balances	100	60	76	
1011	outrays nom districtionary balances				
4020	Outlays, gross (total)	243	247	262	
4180		257	249	248	
.100	50050t 50t10ttj, liet (total)	201	245	240	

4190 Outlays, het (total)	4190	Outlays, net (total)		243	247	262
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The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. CSOSA assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, is an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism, and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

*Community Supervision Program.*—This activity provides supervision of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, drug testing, graduated sanctions, treatment, transitional housing, and other offender support services, including services from community and faith-based collaborations. The Community Supervision Program also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole, and supervised release decisions.

*Pretrial Services Agency.*—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that individuals on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

0	bject	Classi	fication	(in	millions	of	dollars)	
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Identification code 511-1734-0-1-752		2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	109	113	115
11.5	Other personnel compensation	3	2	3
11.9	Total personnel compensation	112	115	118
12.1	Civilian personnel benefits	47	52	55
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	14	23	16
23.2	Rental payments to others	7	6	6
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	7	11	10
25.2	Other services from non-Federal sources	31	31	30
25.3	Other goods and services from Federal sources	3	3	3
25.4	Operation and maintenance of facilities		1	1
25.6	Medical care	2	2	2
25.7	Operation and maintenance of equipment	1	1	
26.0	Supplies and materials	3	2	2
31.0	Equipment	6	5	6
32.0	Land and structures	1	<u> </u>	
99.0	Direct obligations	238	256	253
99.9	Total new obligations, unexpired accounts	238	256	253

#### Employment Summary

Identification code 511–1734–0–1–752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,120	1,120	1,120

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

## Federal Funds

## SALARIES AND EXPENSES

For expenses necessary for the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100–456, section 1441, [\$31,000,000] \$28,836,000, to remain available until September 30, [2021] 2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identification code 347-3900-0-1-999		2019 actual	2020 est.	2021 est.	
0001	Obligations by program activity: Salaries and Expenses (Direct)	28	31	34	
	Budgetary resources:				
	Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5	8	5	
	Budget authority:				
	Appropriations, discretionary:				
1100	Appropriation	31	31	2	
1930	Total budgetary resources available	36	39	3	
1041	Memorandum (non-add) entries:	0	0		
1941	Unexpired unobligated balance, end of year	8	8		
	Change in obligated balance:				
	Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6	8		
3010	New obligations, unexpired accounts	28	31	3	
3020	Outlays (gross)	-26	-31	3	
3050	Unpaid obligations, end of year	8	8	1	
	Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6	8	:	
3200	Obligated balance, end of year	8	8	1	
	Budget authority and outlays, net:				
	Discretionary:				
4000	Budget authority, gross	31	31	2	
	Outlays, gross:				
4010	Outlays from new discretionary authority	18	23	2	
1011	Outlays from discretionary balances	8	8		
1020	Outlays, gross (total)	26	31	3	
\$180	Budget authority, net (total)	31	31	2	
4190	Outlays, net (total)	26	31	3	

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the Executive Branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

**Object Classification** (in millions of dollars)

Identification code 347-3900-0-1-999		2019 actual	2020 est.	2021 est.	
	Direct obligations:				
	Personnel compensation:				
11.1	Full-time permanent	12	14	16	
11.3	Other than full-time permanent	1	1	1	
11.9	Total personnel compensation	13	15	17	
12.1	Civilian personnel benefits	4	5	6	
21.0	Travel and transportation of persons	1	1	1	
23.1	Rental payments to GSA	3	3	3	
25.2	Other services from non-Federal sources	5	5	5	
25.3	Other goods and services from Federal sources	1	1	1	
31.0	Equipment	1	1	1	
99.0	Direct obligations	28	31	34	

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## **Employment Summary**

Identification code 347–3900–0–1–999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	100	100	114

## **DELTA REGIONAL AUTHORITY**

#### Federal Funds

#### SALARIES AND EXPENSES

For *necessary* expenses [necessary for] of the Delta Regional Authority [and to carry out its activities], as authorized by the Delta Regional Authority Act of 2000, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, [\$30,000,000, to remain available until expended] \$2,500,000: Provided, That such amounts shall be available only for the purposes of the closure of the Authority: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Authority. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	ication code 517-0750-0-1-452	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	21	41	C
0801	Delta Regional Authority (Direct) Delta Regional Authority (Reimbursable)	1	41	6 1
0900	Total new obligations, unexpired accounts	22	42	7
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	6	12	4
1100	Appropriations, discretionary: Appropriation	25	30	3
1700	Spending authority from offsetting collections, discretionary: Collected	3	4	
1900	Budget authority (total)	28	34	
1930	Total budgetary resources available	34	46	7
1941	Unexpired unobligated balance, end of year	12	4	
	Change in obligated balance:			
2000	Unpaid obligations:	<b>F1</b>	40	00
3000 3010	Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts	51 22	49 42	29 7
3020	Outlays (gross)	24	-62	-36
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	49	29	
3100	Obligated balance, start of year	51	49	29
3200	Obligated balance, end of year	49	29	
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross Outlays, gross:	28	34	3
4010	Outlays from new discretionary authority	3	22	3
4011	Outlays from discretionary balances	21	40	33
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	24	62	36
4030	Federal sources	-3	-4	
4180	Budget authority, net (total)	25	30	
4190		21	58	36

The Budget proposes to eliminate funding for several independent agencies, including the Delta Regional Authority. The Budget requests \$2.5 million to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for: personnel costs during shutdown activities, including incentive payments to remain during the closeout period; severance or retirement pay; and non-personnel costs associated with the agency's closure such as lease termination, equipment disposal,

#### SALARIES AND EXPENSES—Continued

and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

## Object Classification (in millions of dollars)

Identifi	cation code 517–0750–0–1–452	2019 actual	2020 est.	2021 est.
	Direct obligations:			
25.1	Advisory and assistance services	1	1	1
41.0	Grants, subsidies, and contributions	20	40	5
99.0	Direct obligations	21	41	6
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations, unexpired accounts	22	42	

# **DENALI COMMISSION**

#### Federal Funds

#### DENALI COMMISSION

For necessary expenses [necessary for] of the Denali Commission, [including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses,] as authorized by the Denali Commission Act of 1998, [\$15,000,000, to remain available until expended,] \$7,300,000, notwithstanding the limitations contained in section 306(g) of [the Denali Commission Act of 1998] such Act: Provided, That funds shall be available [for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105-277), as amended by section 701 of appendix D, title VII, Public Law 106-113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities: Provided further, That notwithstanding any other provision of law regarding payment of a non-Federal share in connection with a grant-in-aid program, amounts under this heading shall be available for the payment of such a non-Federal share for programs undertaken to carry out the purposes of the Commission] only for the purposes of the closure of the Commission: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

ldentif	ication code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0101	Denali Commission (Direct)	23	18	7
0102	Denali Commission (Shared Services)	7	16	
0799	Total direct obligations	30	34	7
0900	Total new obligations, unexpired accounts	30	34	7
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	1	8	17
1021	Recoveries of prior year unpaid obligations	5	9	17
1021	Recoveries of prior year unpaid obligations			
1050	Unobligated balance (total)	6	17	17
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	15	15	7
1121	Appropriations transferred from other acct [015–5041]	7		
1160	Appropriation, discretionary (total)	22	15	7
	Spending authority from offsetting collections, discretionary:			
1700	Collected	10	19	
1900	Budget authority (total)	32	34	7
1930	Total budgetary resources available	38	51	24
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	8	17	17
	Change in obligated belance			
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	66	65	27
3010	New obligations, unexpired accounts	30	34	7

#### THE BUDGET FOR FISCAL YEAR 2021

3020	Outlays (gross)	-26	-63	-34
3040	Recoveries of prior year unpaid obligations, unexpired	-5	9	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	65	27	
3100	Obligated balance, start of year	66	65	27
3200	Obligated balance, end of year	65	27	
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	32	34	7
4010	Outlays from new discretionary authority	9	23	7
4011	Outlays from discretionary balances	17	40	27
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	26	63	34
4030	Federal sources	0	9	
4040	Offsets against gross budget authority and outlays (total)	-10	-19	
4180	Budget authority, net (total)	22	15	7
4190	Outlays, net (total)	16	44	34

The Budget proposes to eliminate funding for several independent agencies, including the Denali Commission. The Budget requests \$7.3 million to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for personnel costs during shutdown activities, including incentive payments to remain during the closeout period; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

## Object Classification (in millions of dollars)

Identi	fication code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	1	2
12.1	Civilian personnel benefits	1	1	1
13.0	Benefits for former personnel			1
25.1	Advisory and assistance services	2	2	
25.3	Other goods and services from Federal sources	6	6	3
41.0	Grants, subsidies, and contributions	19	24	
99.9	Total new obligations, unexpired accounts	30	34	7

#### **Employment Summary**

Identi	ication code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	14	13	14

## Trust Funds

#### DENALI COMMISSION TRUST FUND

Identi	dentification code 513-8056-0-7-452		2020 est.	2021 est.
0101	Obligations by program activity: Denali Commission Trust Fund (Direct)	3	2	2
0900	Total new obligations, unexpired accounts (object class 41.0)	3	2	2
	Budgetary resources: Unobligated balance:			
1000 1021	Unobligated balance brought forward, Oct 1 Recoveries of prior year unpaid obligations		1	1 1
1050	Unobligated balance (total) Budget authority:		1	2
1101 1930	Appropriations, discretionary: Appropriation (special or trust) Total budgetary resources available	3	2 3	2 4

1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year		1	2
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	15	13	9
3010	New obligations, unexpired accounts	3	2	2
3020	Outlays (gross)	-5	-5	-6
3040	Recoveries of prior year unpaid obligations, unexpired	-	-1	-1
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	13	9	4
3100	Obligated balance, start of year	15	13	9
3200	Obligated balance, end of year	13	9	4
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	3	2	2
4010	Outlays from new discretionary authority	1		
4011	Outlays from discretionary balances	4	5	6
4020	Outlays, gross (total)	5	5	6
4180	Budget authority, net (total)	3	2	2
4190	Outlays, net (total)	5	5	6

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3	2	2
Outlays	5	5	6
Legislative proposal, not subject to PAYGO:			
Budget Authority			-2
Outlays			-1
Total:			
Budget Authority	3	2	
Outlays	5	5	5

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105–277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that were not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DENALI COMMISSION TRUST FUND

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

dentif	ication code 513-8056-2-7-452	2019 actual	2020 est.	2021 est.
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
101	Appropriation (special or trust)			-
930	Total budgetary resources available			-
	Memorandum (non-add) entries:			
941	Unexpired unobligated balance, end of year			-
	Change in obligated balance:			
	Unpaid obligations:			
3020	Outlays (gross)			
3050	Unpaid obligations, end of year			
	Memorandum (non-add) entries:			
3200	Obligated balance, end of year			
	Budget authority and outlays, net:			
	Discretionary:			
1000	Budget authority, gross			-
	Outlays, gross:			
1010	Outlays from new discretionary authority			-
180	Budget authority, net (total)			-
1190	Outlays, net (total)			_

Given that the Budget proposes to eliminate the Denali Commission, it also proposes statutory authority to transfer any unobligated and obligated balances from the bulk fuel storage tank program, and associated administrative and oversight responsibilities, to the Department of Agriculture, and proposes to end transfers of interest to the Denali Commission.

## **DISTRICT OF COLUMBIA**

#### DISTRICT OF COLUMBIA COURTS

## Federal Funds

## FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, [\$250,088,000] \$267,838,000 to be allocated as follows: for the District of Columbia Court of Appeals, [\$14,682,000] \$14,887,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the Superior Court of the District of Columbia, [\$125,638,000] \$129,726,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the District of Columbia Court System, [\$75,518,000] \$79,155,000, of which not to exceed \$2,500 is for official reception and representation expenses; and [\$34,250,000] \$44,070,000, to remain available until September 30, [2021] 2022, for capital improvements for District of Columbia courthouse facilities: Provided, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and facilities condition assessment: Provided further, That, in addition to the amounts appropriated herein, fees received by the District of Columbia Courts for administering bar examinations and processing District of Columbia bar admissions may be retained and credited to this appropriation, to remain available until expended, for salaries and expenses associated with such activities, notwithstanding section 450 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.50): Provided further, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$9,000,000 of the funds provided under this heading among the items and entities funded under this heading: Provided further, That the Joint Committee on Judicial Administration in the District of Columbia may, by regulation, establish a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, for employees of the District of Columbia Courts. (District of Columbia Appropriations Act, 2020.)

Identif	ication code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Court of Appeals	14	15	15
0002	Superior Court	124	126	130
0003	Court system	74	76	79
0004	Capital improvements	61	54	42
0900	Total new obligations, unexpired accounts	273	271	266
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	55	40	21
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	59	40	21
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	258	250	268
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1	2	2
1900	Budget authority (total)	259	252	270
1930	Total budgetary resources available	318	292	291
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-5		
1941	Unexpired unobligated balance, end of year	40	21	25
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	101	131	147
3010	New obligations, unexpired accounts	273	271	266
3011	Obligations ("upward adjustments"), expired accounts	5		
3020	Outlays (gross)	-241	-255	-268
3040	Recoveries of prior year unpaid obligations, unexpired	-4		
3041	Recoveries of prior year unpaid obligations, expired	-3		

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued
Program and Financing—Continued

Identi	ication code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	131	147	145
3100	Obligated balance, start of year	101	131	147
3200	Obligated balance, end of year	131	147	145
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	259	252	270
4010	Outlays from new discretionary authority	187	190	203
4011	Outlays from discretionary balances	54	65	65
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	241	255	268
4030	Federal sources		-1	-1
4033	Non-Federal sources	2		
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-2	-2	-2
4052	Offsetting collections credited to expired accounts	1	·····	·····
4070	Budget authority, net (discretionary)	258	250	268
4080	Outlays, net (discretionary)	239	253	266
4180	Budget authority, net (total)	258	250	268
4190	Outlays, net (total)	239	253	266

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, and the Court System, as well as capital improvements.

The Budget provides resources to support the D.C. Courts' core functions. In addition, the Budget provides resources for security required in newly constructed space and for capital improvements necessary to move support functions from off-site leased space to the main court campus and to maintain court facilities in Judiciary Square.

By law, the Courts' annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$267.8 million includes \$223.8 million for the District of Columbia Court of Appeals, the Superior Court of the District of Columbia, and the District of Columbia Court System operations and \$44.1 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$352.3 million: \$230.5 million for operations and \$121.8 million for capital improvements.

## Object Classification (in millions of dollars)

Identif	cation code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
-	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	105	107	108
11.3	Other than full-time permanent	9	8	7
11.9	Total personnel compensation	114	115	115
12.1	Civilian personnel benefits	33	34	35
21.0	Travel and transportation of persons	1	1	1
23.2	Rental payments to others	7	8	9
23.3	Communications, utilities, and miscellaneous charges	9	10	10
24.0	Printing and reproduction	2	2	3
25.1	Advisory and assistance services	22	22	22
25.2	Other services from non-Federal sources	40	32	33
25.3	Other goods and services from Federal sources	4	4	4
25.4	Operation and maintenance of facilities	8	9	9
25.6	Medical care	1	1	1
25.7	Operation and maintenance of equipment	7	8	7
26.0	Supplies and materials	2	3	2
31.0	Equipment	3	3	2
32.0	Land and structures	20	19	13
99.0	Direct obligations	273	271	266

99.9	Total new obligations, unexpired accounts	273	271	266

#### FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21-2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$46,005,000, to remain available until expended: Provided, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies. (District of Columbia Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 349–1736–0–1–806	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Federal Payment for Defender Services in District of Columbia Co (Direct)	42	46	46
0900	Total new obligations, unexpired accounts (object class 25.2)	42	46	
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	12	16	16
1100	Appropriations, discretionary:	40	10	
1100	Appropriation	46	46	46
1930	Total budgetary resources available Memorandum (non-add) entries:	58	62	62
1941	Unexpired unobligated balance, end of year	16	16	16
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	25	24	18
3010	New obligations, unexpired accounts	42	46	46
3020	Outlays (gross)		-52	50
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	24	18	14
3100	Obligated balance, start of year	25	24	18
3200	Obligated balance, end of year	24	18	14
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross Outlays, gross:	46	46	46
4010	Outlays from new discretionary authority	30	24	24
4011	Outlays from discretionary balances	13	28	26
4020	Outlays, gross (total)	43	52	50
4180	Budget authority, net (total)	46	46	46
4190	Outlays, net (total)	43	52	50

Under three Defender Services programs, the District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation on their own. The Defender Services programs are the Criminal Justice Act program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; and the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, investigations, and genetic testing. The President's recommended funding level for Defender Services is \$46.0 million, the same as the Courts' request.

#### DISTRICT OF COLUMBIA CRIME VICTIMS COMPENSATION FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 349-5676-0-2-806	2019 actual	2020 est.	2021 est.
0100 0198		1		
0199	Balance, start of year Receipts: Current law:	1		
1110	Fines and Fees, District of Columbia Crime Victims Compensation Fund	5	6	6
2000	Total: Balances and receipts Appropriations: Current law:	6	6	6
2101 2103	District of Columbia Crime Victims Compensation Fund District of Columbia Crime Victims Compensation Fund	5 1	-6	
2199	Total current law appropriations	6	6	-6
2999	Total appropriations	6	6	6
5099	Balance, end of year			

#### Program and Financing (in millions of dollars)

Identi	fication code 349-5676-0-2-806	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Crime Victims Compensation	10	9	ç
0900	Total new obligations, unexpired accounts (object class $25.1)\hdots$	10	9	ę
	Budgetary resources:			
	Unobligated balance:	_		
1000	Unobligated balance brought forward, Oct 1	7	3	
	Budget authority: Appropriations, mandatory:			
1201	Appropriations, manualogs Appropriation (special or trust fund)	5	6	
1201	Appropriation (special of trust fund)	1	0	
1205				
1260	Appropriations, mandatory (total)	6	6	
	Spending authority from offsetting collections, mandatory:			
1800	Collected		3	
1900	Budget authority (total)	6	9	
1930	Total budgetary resources available	13	12	1
1041	Memorandum (non-add) entries:	3	2	
1941	Unexpired unobligated balance, end of year	3	3	
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1	
3010	New obligations, unexpired accounts	10	9	
3020	Outlays (gross)	9	9	
3050	Unpaid obligations, end of year	1	1	
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year		1	
3200	Obligated balance, end of year	1	1	
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	6	9	
	Outlays, gross:			
4100	Outlays from new mandatory authority	4	8	
4101	Outlays from mandatory balances	5	1	
4110	Outlays, gross (total)	9	9	
	Offsets against gross budget authority and outlays:	5		
	Offsetting collections (collected) from:			
	Federal sources		-3	_
4120			-3	-
4120 4180		6	-5	

The Superior Court of the District of Columbia administers the Crime Victims Compensation Fund, which finances assistance for innocent victims of violent crime, survivors of homicide victims, and dependent family members of homicide victims. The program provides compensation for certain costs related to the crime, such as medical expenses, temporary emergency housing, and funeral expenses. The Fund is financed through assessments imposed in criminal cases, court fines and fees, and a grant from the U.S. Department of Justice. Under the 2002 Supplemental Appropriations Act for Further Recovery From and Response to Terrorist Attacks on the United States (P.L. 107–206), one half of the Fund's unobligated balances at the end of each year are transferred to the District of Columbia Government for outreach activities designed to increase the number of crime victims who apply for compensation.

# FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

#### Program and Financing (in millions of dollars)

Identif	ication code 020-1713-0-1-752	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Payment to Judicial Retirement Fund	17	16	17
0900	Total new obligations, unexpired accounts (object class 13.0) $\ldots \ldots$	17	16	17
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1200	Appropriation	17	16	17
1930	Total budgetary resources available	17	16	17
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	17	16	17
3020	Outlays (gross)	-17	-16	-17
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	17	16	17
4100	Outlays from new mandatory authority	17	16	17
4180	Budget authority, net (total)	17	16	17
4190	Outlays. net (total)	17	16	17

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience) over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund the normal cost and administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers these amounts into the Judicial Fund.

#### Trust Funds

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	166	172	178
1110	Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1	1
1140	Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	3	4	5
1140	Federal Payments, D.C. Judicial Retirement and Survivors Annuity	17	16	17
1199	Total current law receipts	21	21	23

DISTRICT OF COLUMBIA JUDICIAL F	RETIREMENT A	AND SURVIVORS	ANNUITY
Fund—	-Continued		

Specia	l and	Trust Fund	Receipts-	Continued
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Identif	ication code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.	
1999	Total receipts	21	21	23	
2000	Total: Balances and receipts Appropriations: Current law:	187	193	201	
2101 2135	District of Columbia Judicial Retirement and Survivors Annuity Fund District of Columbia Judicial Retirement and Survivors Annuity	-20	-21	-23	
	Fund	6	6	8	
2199	Total current law appropriations	-14	-15	-15	
2999 5098	Total appropriations Adjustment to reconcile to budgetary accounting	-14 -1	-15	-15	
5099	Balance, end of year	172	178	186	

Program and Financing (in millions of dollars)

ldentif	ication code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Retirement payments	13	14	14
0002	Administrative Costs	1	1	1
0900	Total new obligations, unexpired accounts	14	15	15
	Budgetary resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	20	21	23
1235	Appropriations precluded from obligation (special or			
	trust)	-6	-6	-8
1260	Appropriations, mandatory (total)	14	15	15
1930		14	15	15
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	14	15	15
3020	Outlays (gross)	-14	-15	-15
3050	Unpaid obligations, end of year	1	1	1
0000	Memorandum (non-add) entries:	-	-	
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	14	15	15
	Outlays, gross:		10	10
4100	Outlays from new mandatory authority	13	14	15
4101	Outlays from mandatory balances	1	1	
4110	Outlove groep (total)	14	15	16
	Outlays, gross (total)			15
4180 4190	Budget authority, net (total) Outlays, net (total)	14 14	15 15	15 15
4190	outlays, net (lotal)	14	15	15
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	165	175	180
5001	Total investments, EOY: Federal securities: Par value	175	180	186

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund to pay retirement and survivor benefits for District of Columbia judges and expenses necessary to administer the Fund or incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The Judicial Fund consists of amounts contributed by the judges, proceeds of accumulated pension assets transferred from the District of Columbia and liquidated pursuant to the Act, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

## **Object Classification** (in millions of dollars)

Identi	ication code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
	Direct obligations:			
25.2	Other services from non-Federal sources	1	1	1
42.0	Payments to annuitants	13	14	14
99.9	Total new obligations, unexpired accounts	14	15	15

#### DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues.

#### Federal Funds

## FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

[For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$40,000,000, to remain available until expended: Provided, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: Provided further, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: Provided further, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: Provided further, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: Provided further, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor.] (District of Columbia Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 020-1736-0-1-502	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Federal Payment for Resident Tuition Support (Direct)	40	40	
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	40	40	
	Budgetary resources:			
	Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary: Appropriation	40	40	
1930	Total budgetary resources available	40	40	
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	40	40	
3020	Outlays (gross)	-40	-40	
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs. gross:	40	40	
4010	Outlays from new discretionary authority	40	40	
4180	Budget authority, net (total)	40	40	
4190	Outlays, net (total)	40	40	

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private Historically Black Colleges and Universities nationwide, as well as public 2-year community colleges. The program's authorization ended in 2012. The 2021 Budget proposes to eliminate the unauthorized program because of a lack of a clear Federal role for supporting the cost of higher education specifically for District residents.

## FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

For a Federal payment for a school improvement program in the District of Columbia, [\$52,500,000] \$90,000,000, to remain available until expended, for payments authorized under the Scholarships for Opportunity and Results Act (division C of Public Law 112–10), as amended: Provided, That, to the extent that funds are available for opportunity scholarships and following the priorities included in section 3006 of such Act, the Secretary of Education shall make scholarships available to students eligible under section 3013(3) of such Act (Public Law 112–10; 125 Stat. 211) including students who were not offered a scholarship during any previous school year: Provided further, That within funds provided for opportunity scholarships up to [\$1,200,000] \$3,200,000 shall be for the activities specified in section 3007(d) [of the Act and up to \$500,000 shall be for the activities specified in section] and 3009 of the Act. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

dentif	ication code 020–1817–0–1–501	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Opportunity Scholarship Program	18	18	30
)002	D.C. public schools	18	18	30
0003	D.C. public charter schools	17	17	30
900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	53	53	90
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
100	Appropriation	53	53	90
930	Total budgetary resources available	53	53	90
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	53	53	90
3020	Outlavs (gross)	-53	-53	-90
1020	outays (gloss)		55	50
	Budget authority and outlays, net: Discretionary:			
1000	Budget authority, gross	53	53	90
	Outlays, gross:			
1010	Outlays from new discretionary authority	53	53	90
1180	Budget authority, net (total)	53	53	90
1190	Outlays, net (total)	53	53	90

The Budget provides \$90 million to support kindergarten through high school education in the District of Columbia. The Budget continues to support the District's successful three-sector education strategy and includes \$30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the Nation, \$30 million for D.C. charter schools to support facilities and other unmet needs, and \$30 million to support scholarships for low-income students to attend private schools of their choice and program evaluation for the D.C. Opportunity Scholarship program.

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL

For a Federal payment to the Criminal Justice Coordinating Council, [\$2,150,000] \$1,805,000, to remain available until expended, to support initiatives related to the

coordination of Federal and local criminal justice resources in the District of Columbia.

#### FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS

For a Federal payment, to remain available until September 30, 2021, to the Commission on Judicial Disabilities and Tenure, [\$325,000] *\$278,000*, and for the Judicial Nomination Commission, [\$275,000] *\$254,000*.

FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD

For a Federal payment to the District of Columbia National Guard, \$413,000, to remain available until expended for the Major General David F. Wherley, Jr. District of Columbia National Guard Retention and College Access Program.

## FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, [\$4,000,000] \$3,000,000. (District of Columbia Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identi	ication code 020–1707–0–1–999	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Water and Sewer Authority	8	8	
0002	Criminal Justice Coordinating Council	2	2	2
0019	Judicial Commissions and DC National Guard	1	1	1
0025	HIV/AIDS Prevention	3	4	3
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	14	15	6
	Budgetary resources: Budget authority:			
	Appropriations, discretionary:			
1100	Appropriations, discretionary.	14	15	6
1930	Total budgetary resources available	14	15	6
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	14	15	6
3020	Outlays (gross)	-14	-15	-6
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	14	15	6
4010	Outlays from new discretionary authority	14	15	6
4180	Budget authority, net (total)	14	15	6
4190	Outlays, net (total)	14	15	6

The Budget includes \$3 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget also includes \$1.805 million for the Criminal Justice Coordinating Council, \$0.532 million for judicial commissions, and \$0.413 million for the D.C. National Guard.

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, [\$18,000,000] *\$51,400,000*, to remain available until expended, for the costs of providing public safety at events related to the presence of the National Capital in the District of Columbia, including support requested by the Director of the United States Secret Service in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions. *(District of Columbia Appropriations Act, 2020.)* 

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA—Continued

Program and Financing (in millions of dollars	s)
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ldentif	ication code 020-1771-0-1-806	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Emergency Planning Fund	12	18	51
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	12	18	51
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	12	18	51
1930	Total budgetary resources available	12	18	51
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	12	18	51
3020	Outlays (gross)	-12	-18	-51
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs. gross:	12	18	51
4010	Outlays from new discretionary authority	12	18	51
4180	Budget authority, net (total)	12	18	51
4190	Outlays, net (total)	12	18	51

The Budget provides \$51.4 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including expenses for the 2021 Presidential Inauguration and costs associated with providing support requested by the Director of the U.S. Secret Service.

## FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND

Program and Financing (in millions of dollars)

ldentif	ication code 020-1714-0-1-601	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	400	EAC	571
0001	Payment to Federal Pension Fund	498	546	571
0900	Total new obligations, unexpired accounts (object class 13.0)	498	546	571
	Budgetary resources:			
	Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	498	546	571
1930	Total budgetary resources available	498	546	571
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	498	546	571
3020	Outlays (gross)	-498	-546	-571
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs. gross:	498	546	571
4100	Outlays from new mandatory authority	498	546	571
4180	Budget authority, net (total)	498	546	571
4190	Outlays, net (total)	498	546	571

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers these amounts into the District of Columbia Federal Pension Fund. Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience)

THE BUDGET FOR FISCAL YEAR 2021

over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund administrative expenses for the year.

DISTRICT OF COLUMBIA FEDERAL PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	3,686	3,698	3,757
1140	Federal Contribution, DC Federal Pension Fund	498	546	571
1140	Earnings on Investments, DC Federal Pension Fund	78	82	40
1199	Total current law receipts	576	628	611
1999	Total receipts	576	628	611
2000	Total: Balances and receipts Appropriations: Current law:	4,262	4,326	4,368
2101	District of Columbia Federal Pension Fund	-577	-591	-582
2103	District of Columbia Federal Pension Fund	-1	-1	-1
2132	District of Columbia Federal Pension Fund	1	1	
2135	District of Columbia Federal Pension Fund	13	22	24
2199	Total current law appropriations	-564	-569	-559
2999	Total appropriations	-564	-569	-559
5099	Balance, end of year	3,698	3,757	3,809

## Program and Financing (in millions of dollars)

1050       Unobligated balance (total)       18       19         Budget authority:       Appropriations, mandatory:       577       591         1201       Appropriation (previously unavailable)(special or trust)       1       1         1232       Appropriation (previously unavailable)(special or trust)       1       1         1232       Appropriations and/or unobligated balance of appropriations temporarily reduced       -1       -1         1235       Appropriations precluded from obligation (special or trust)       -13       -22         1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         1941       Unexpired unobligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1       -1         3050       Unpaid	Identif	ication code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
0001         Retirement payments         543         539           0002         Administrative costs         22         26           0799         Total direct obligations         565         565           0801         Reimbursable Program - Administrative Expenses         2         2           0802         Reimbursable Program - Administrative Expenses         2         2           0809         Total reimbursable obligations         220         251           0900         Total new obligations, unexpired accounts         785         816           Budgetary resources: Unobligated balance brought forward, Oct 1         17         19           1021         Recoveries of prior year unpaid obligations         1		Obligations by program activity:			
0799         Total direct obligations         565         565           0801         Reimbursable Program - Retirement Payments         218         249           0802         Reimbursable Program - Administrative Expenses         2         2           0899         Total reimbursable obligations         220         251           0900         Total new obligations, unexpired accounts         785         816           Budgetary resources: Unobligated balance:           1000         Unobligated balance brought forward, Oct 1         17         19           1021         Recoveries of prior year unpaid obligations         1	0001		543	539	534
0801         Reimbursable Program - Administrative Expenses         2         2           0802         Reimbursable Program - Administrative Expenses         2         2           0809         Total reimbursable obligations         220         251           0900         Total new obligations, unexpired accounts         785         816           Budgetary resources: Unobligated balance:           000         Unobligated balance brought forward, Oct 1         17         19           1021         Recoveries of prior year unpaid obligations         1	0002	Administrative costs	22	26	25
0802       Reimbursable Program - Administrative Expenses       2       2         0899       Total reimbursable obligations       220       251         0900       Total new obligations, unexpired accounts       785       816         Budgetary resources: Unobligated balance:         1000       Unobligated balance brought forward, Oct 1       17       19         1021       Recoveries of prior year unpaid obligations       1	0799	Total direct obligations	565	565	559
0899       Total reimbursable obligations       220       251         0900       Total new obligations, unexpired accounts       785       816         Budgetary resources: Unobligated balance: brought forward, Oct 1         1000       Unobligated balance brought forward, Oct 1       17       19         1021       Recoveries of prior year unpaid obligations       1	0801	Reimbursable Program - Retirement Payments	218	249	277
0900       Total new obligations, unexpired accounts       785       816         Budgetary resources: Unobligated balance brought forward, Oct 1       17       19         1000       Unobligated balance brought forward, Oct 1       17       19         1021       Recoveries of prior year unpaid obligations       1	0802	Reimbursable Program - Administrative Expenses	2	2	2
Budgetary resources: Unobligated balance:       17       19         1000       Unobligated balance brought forward, Oct 1       17       19         1021       Recoveries of prior year unpaid obligations       1	0899	Total reimbursable obligations	220	251	279
Unobligated balance:       17       19         1001       Unobligated balance brought forward, Oct 1       17       19         1021       Recoveries of prior year unpaid obligations       1	0900	Total new obligations, unexpired accounts	785	816	838
1000       Unobligated balance brought forward, Oct 1       17       19         1021       Recoveries of prior year unpaid obligations       1					
1021       Recoveries of prior year unpaid obligations       1	1000		17	10	00
1050       Unobligated balance (total)       18       19         Budget authority:       Appropriations, mandatory:       577       591         1201       Appropriation (special or trust fund)       577       591         1203       Appropriation (special or trust fund)       1       1         1232       Appropriation (previously unavailable)(special or trust)       1       1         1232       Appropriations and/or unobligated balance of appropriations temporarily reduced       -1       -1         1235       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         Unpaid obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, brought forward, Oct 1       55       57         3010       New obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1					26
Budget authority:       Appropriations, mandatory:         1201       Appropriation (special or trust fund)       577       591         1203       Appropriation (special or trust fund)       1       1         1232       Appropriations and/or unobligated balance of appropriations temporarily reduced       -1       -1         1235       Appropriations precluded from obligation (special or trust)       -13       -22         1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         1941       Unexpired unobligated balance, end of year       19       26         Change in obligated balance.         Unpaid obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, brought forward, Oct 1       55       57         3040       Recoveries of prior year unpaid obligations, unexpired       -1       -1         3050       Unpaid obligations, end of year       57       58         3050	1021	Recoveries of prior year unpaid obligations	1		
Appropriations, mandatory:       577       591         1201       Appropriation (special or trust fund)       577       591         1203       Appropriation (special or trust fund)       1       1         1232       Appropriations and/or unobligated balance of appropriations temporarily reduced       -1       -1         1235       Appropriations precluded from obligation (special or trust)       -13       -22         1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       222       254         1800       Collected       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         Unpaid obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, brought forward, Oct 1       55       57         3010       New wolfigations, unexpired accounts       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1      1         3050       Unpaid obligations, end of year       55       57	1050		18	19	26
1201       Appropriation (special or trust fund)       577       591         1203       Appropriation (previously unavailable)(special or trust)       1       1         1232       Appropriations and/or unobligated balance of appropriations temporarily reduced       -1       -1         1235       Appropriations precluded from obligation (special or trust)       -13       -22         1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       1       1680       664         1800       Collected       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         1941       Unexpired unobligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1          3050       Unpaid obligations, end of year       55       57         3040       Recoveries of prior year unp					
1203       Appropriation (previously unavailable)(special or trust)       1       1         1232       Appropriations and/or unobligated balance of appropriations temporarily reduced	1201		577	501	582
1232       Appropriations and/or unobligated balance of appropriations temporarily reduced       -1       -1					1
appropriations temporarily reduced       -1       -1       -1         1235       Appropriations precluded from obligation (special or trust)       -13       -22         1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       564       569         1800       Collected       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         Change in obligated balance:         Unpaid obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1       -1       -1         3050       Unpaid obligations, end of year       57       58       57         3040       Recoveries of prior year unpaid obligations, unexpired       57       58         3050       Unpaid obligated balance, start of year       55       57         3000       Obligated			-	-	-
trust)       -13       -22         1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       564       569         1800       Collected       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         Change in obligated balance:         Unpaid obligations:       19       26         Change in obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1			-1	-1	
1260       Appropriations, mandatory (total)       564       569         Spending authority from offsetting collections, mandatory:       222       254         1800       Collected       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         Change in obligated balance, end of year         Unpaid obligations:       19       26         Change in obligated balance:         Unpaid obligations:       785       816         3000       Unpaid obligations, nought forward, Oct 1       55       57         3010       New obligations, unexpired accounts       785       816         3020       Outlays (gross)      782      815         3040       Recoveries of prior year unpaid obligations, unexpired       -1          3050       Unpaid obligations, end of year       57       58         Memorandum (non-add) entries:       3100       Obligated balance, start of year       55       57         3200       Obligated balance, end of year       57       58       57	1235				
Spending authority from offsetting collections, mandatory:         1800       Collected       222       254         1900       Budget authority (total)       786       823         1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         Change in obligated balance;         Unpaid obligations:       19       26         Change in obligated balance:         Unpaid obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1		trust)	-13	-22	24
Spending authority from offsetting collections, mandatory:         1800       Collected         1900       Budget authority (total)         1900       Budget authority (total)         1901       Total budgetary resources available         1901       Unexpired unobligated balance, end of year         191       Unexpired unobligated balance.         Unpaid obligations.       19         2600       Unpaid obligations, brought forward, Oct 1         2785       816         3020       Outlays (gross)         -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired         -1	1260	Appropriations, mandatory (total)	564	569	559
1900         Budget authority (total)         786         823           1930         Total budgetary resources available         804         842           Memorandum (non-add) entries:         19         26           Change in obligated balance, end of year         19         26           Change in obligated balance:           Unpaid obligations:         19         26           Change in obligated balance:           Unpaid obligations, brought forward, Oct 1         55         57           3010         Unpaid obligations, unexpired accounts         785         816           3020         Outlays (gross)         -782         -815           3040         Recoveries of prior year unpaid obligations, unexpired         -1         -1           3050         Unpaid obligations, end of year         57         58           Memorandum (non-add) entries:         3100         Obligated balance, start of year         55         57           3200         Obligated balance, end of year         57         58         57		Spending authority from offsetting collections, mandatory:			
1930       Total budgetary resources available       804       842         Memorandum (non-add) entries:       19       26         1941       Unexpired unobligated balance:       19       26         Unpaid obligation bulgations, end of year       19       26         Change in obligated balance:         Unpaid obligations, brought forward, Oct 1       55       57         3000       Unpaid obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1					282
Memorandum (non-add) entries:         1941       Unexpired unobligated balance, end of year         19       26         Change in obligated balance:         Unpaid obligations:       19         3000       Unpaid obligations, brought forward, Oct 1       55         3010       New obligations, unexpired accounts       785         3020       Outlays (gross)       -782         -815       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1					841
1941         Unexpired unobligated balance, end of year         19         26           Change in obligated balance: Unpaid obligations:           3000         Unpaid obligations, brought forward, Oct 1         55         57           3010         New obligations, unexpired accounts         785         816           3020         Outlays (gross)         -782         -815           3040         Recoveries of prior year unpaid obligations, unexpired         -1	1930		804	842	867
Change in obligated balance: Unpaid obligations:         3000       Unpaid obligations, brought forward, Oct 1       55       57         3010       New obligations, unexpired accounts       785       816         3020       Outlays (gross)       -782       -815         3040       Recoveries of prior year unpaid obligations, unexpired       -1      1         3050       Unpaid obligations, end of year       57       58         Memorandum (non-add) entries:       3100       Obligated balance, start of year       55       57         3200       Obligated balance, end of year       57       58					
Unpaid obligations:         55         57           3000         Unpaid obligations, brought forward, Oct 1         55         57           3010         New obligations, unexpired accounts         785         816           3020         Outlays (gross)         -782         -815           3040         Recoveries of prior year unpaid obligations, unexpired         -1        1           3050         Unpaid obligations, end of year         57         58           Memorandum (non-add) entries:         3100         Obligated balance, start of year         55         57           3200         Obligated balance, end of year         57         58	1941	Unexpired unobligated balance, end of year	19	26	29
3000         Unpaid obligations, brought forward, Oct 1         55         57           3010         New obligations, unexpired accounts         785         816           3020         Outlays (gross)         -782         -815           3040         Recoveries of prior year unpaid obligations, unexpired         -1        1           3050         Unpaid obligations, end of year         57         58           Memorandum (non-add) entries:         3100         Obligated balance, start of year         55         57           3200         Obligated balance, end of year         57         58         57					
3010         New obligations, unexpired accounts         785         816           3020         Outlays (gross)         -782         -815           3040         Recoveries of prior year unpaid obligations, unexpired         -1        1           3050         Unpaid obligations, end of year         57         58           Memorandum (non-add) entries:         3100         Obligated balance, start of year         55         57           3200         Obligated balance, end of year         57         58	2000			57	50
3020         Outlays (gross)         -782         -815           3040         Recoveries of prior year unpaid obligations, unexpired         -1            3050         Unpaid obligations, end of year         57         58           Memorandum (non-add) entries:         3100         Obligated balance, start of year         55         57           3200         Obligated balance, end of year         57         58					58 838
3040     Recoveries of prior year unpaid obligations, unexpired     -1        3050     Unpaid obligations, end of year     57     58       Memorandum (non-add) entries:     57     55       3100     Obligated balance, start of year     55     57       3200     Obligated balance, end of year     57     58		5 / 1			030 -839
3050       Unpaid obligations, end of year       57       58         Memorandum (non-add) entries:       51       57         3100       Obligated balance, start of year       55       57         3200       Obligated balance, end of year       57       58					-039
Memorandum (non-add) entries:         3100       Obligated balance, start of year         55       57         3200       Obligated balance, end of year         57       58					
3100         Obligated balance, start of year         55         57           3200         Obligated balance, end of year         57         58	3050		57	58	57
3200 Obligated balance, end of year	2100			57	50
					58 57
Rudget authority and outlays, net-			57	50	
Mandatory:		Budget authority and outlays, net: Mandatory:			
4090 Budget authority, gross	4090	-	786	823	841

4100 4101	Outlays, gross: Outlays from new mandatory authority Outlays from mandatory balances	722 60	758 57	820 19
4110	- Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	782	815	839
4123	Non-Federal sources	-222	-254	-282
4180	Budget authority, net (total)	564	569	559
4190	Outlays, net (total)	560	561	557
5000 5001	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value Total investments, EOY: Federal securities: Par value	3,696 3,798	3,798 3,858	3,858 3,906

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The District of Columbia Federal Pension Fund consists of accumulated pension assets transferred from the District of Columbia, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

## **Object Classification** (in millions of dollars)

Identifi	ication code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	10	10	9
25.2	Other services from non-Federal sources	3	6	6
25.3	Other goods and services from Federal sources	5	6	6
42.0	Payments to annuitants	543	539	534
99.0	Direct obligations	565	565	559
99.0	Reimbursable obligations	220	251	279
99.9	Total new obligations, unexpired accounts	785	816	838

**Employment Summary** 

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	21	23	25

# FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY

[For a Federal payment to the District of Columbia Water and Sewer Authority, \$8,000,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: *Provided*, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment.] (*District of Columbia Appropriations Act, 2020.*)

Program and	Financing	(in millions of dollars)

Identif	ication code 020-4446-0-3-806	2019 actual	2020 est.	2021 est.
0801	<b>Obligations by program activity:</b> Federal Payment for Water and Sewer Services			
	(Reimbursable)	71	85	101
0900	Total new obligations, unexpired accounts (object class 23.3)	71	85	101
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1	1
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	72	85	101
	Total budgetary resources available	72	86	102
1930	Memorandum (non-add) entries:			

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2		
3010	New obligations, unexpired accounts	71	85	101
3020	Outlays (gross)	-73	-85	-101
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2		
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	72	85	101
	Outlays, gross:			
4100	Outlays from new mandatory authority	71	85	101
4101	Outlays from mandatory balances	2		
4110	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	73	85	101
4120	Federal sources	-71	-85	-101
4123	Non-Federal sources			
4130	Offsets against gross budget authority and outlays (total)	-72	-85	-101
4170	Outlays, net (mandatory)	1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	1		

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the District of Columbia Water and Sewer Authority) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay on a quarterly basis 25 percent of its estimated yearly bill into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full government-wide bill by making up the missed agency payment(s) with a permanent, indefinite appropriation, which must then be reimbursed by the appropriate agency or agencies.

#### GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public: 349–322070 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts	<u> </u>	1	1
General Fund Offsetting receipts from the public		1	1

#### TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

#### (INCLUDING TRANSFERS OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2020] 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

(1) creates new programs;

(2) eliminates a program, project, or responsibility center;

(3) establishes or changes allocations specifically denied, limited or increased under this Act;

(4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;

(5) re-establishes any program or project previously deferred through reprogramming; (6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center,

unless [prior approval is received from] *notice is provided to* the Committees on Appropriations of the House of Representatives and the Senate.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, [2020] 2021.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day;

(4) at the discretion of the Chief Medical Examiner, an officer or employee of the Office of the Chief Medical Examiner who resides in the District of Columbia and is on call 24 hours a day;

(5) at the discretion of the Director of the Homeland Security and Emergency Management Agency, an officer or employee of the Homeland Security and Emergency Management Agency who resides in the District of Columbia and is on call 24 hours a day;

(6) the Mayor of the District of Columbia; and

(7) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. (a) None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

(b) No funds available for obligation or expenditure by the District of Columbia government under any authority may be used to enact any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative for recreational purposes.

SEC. 810. No funds available for obligation or expenditure by the District of Columbia government under any authority shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42), for all agencies of the District of Columbia government for fiscal year [2020]2021 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. None of the Federal funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 815. Except as otherwise specifically provided by law or under this Act, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020] 2021 from appropriations of Federal funds made available for salaries and expenses for fiscal year [2020] 2021 in this Act, shall remain available through September 30, [2021] 2022, for each such account for the purposes authorized: *Provided*, That a [request]*notice* shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate [for approval] prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines outlined in section 803 of this Act. SEC. 816. (a)

(1) During fiscal year [2021] 2022, during a period in which neither a District of Columbia continuing resolution or a regular District of Columbia appropriation bill is in effect, local funds are appropriated in the amount provided for any project or activity for which local funds are provided in the Act referred to in paragraph (2) (subject to any modifications enacted by the District of Columbia as of the beginning of the period during which this subsection is in effect) at the rate set forth by such Act.

(2) The Act referred to in this paragraph is the Act of the Council of the District of Columbia pursuant to which a proposed budget is approved for fiscal year [2021] 2022 which (subject to the requirements of the District of Columbia Home Rule Act) will constitute the local portion of the annual budget for the District of Columbia government for fiscal year [2021] 2022 for purposes of section 446 of the District of Columbia Home Rule Act (sec. 1–204.46, D.C. Official Code).

(b) Appropriations made by subsection (a) shall cease to be available-

(1) during any period in which a District of Columbia continuing resolution for fiscal year [2021] 2022 is in effect; or

(2) upon the enactment into law of the regular District of Columbia appropriation bill for fiscal year [2021] 2022.

(c) An appropriation made by subsection (a) is provided under the authority and conditions as provided under this Act and shall be available to the extent and in the manner that would be provided by this Act.

(d) An appropriation made by subsection (a) shall cover all obligations or expenditures incurred for such project or activity during the portion of fiscal year 2021 for which this section applies to such project or activity.

(e) This section shall not apply to a project or activity during any period of fiscal year [2021] 2022 if any other provision of law (other than an authorization of appropriations)—

(1) makes an appropriation, makes funds available, or grants authority for such project or activity to continue for such period; or

(2) specifically provides that no appropriation shall be made, no funds shall be made available, or no authority shall be granted for such project or activity to continue for such period.

(f) Nothing in this section shall be construed to affect obligations of the government of the District of Columbia mandated by other law.

SEC. 817. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 818. None of the funds made available by this Act may be used to carry out the Death with Dignity Act of 2016 (D.C. Law 21–182) or to implement any rule or regulation promulgated to carry out such Act.

SEC. 819. None of the funds made available by this Act may be used to carry out the Reproductive Health Non-Discrimination Amendment Act of 2014 (D.C. Law 20–261) or to implement any rule or regulation promulgated to carry out such Act. (Financial Services and General Government Appropriations Act, 2020.)

# ELECTION ASSISTANCE COMMISSION

# Federal Funds

#### SALARIES AND EXPENSES

#### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), [\$15,171,000] *\$13,063,000*, of which \$1,500,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002[; and of which \$2,400,000 shall remain available until September 30, 2021, for relocation expenses]. (*Financial Services and General Government Appropriations Act, 2020.*)

## Program and Financing (in millions of dollars)

Identif	ication code 525-1650-0-1-808	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Election Assistance Commission	8	11	13
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1			3
1000	Budget authority:			0
	Appropriations, discretionary:			
1100	Appropriation	9	15	13
1120	Appropriations transferred to other accts [013–0500]			-1
1160	Appropriation, discretionary (total)	8	14	12
1930		8	14	15
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		3	2
3000 3010 3020	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts Outlays (gross)	2 8 7	3 11 –13	1 13 13
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	3	1	1
3100	Obligated balance, start of year	2	3	1
3200	Obligated balance, end of year	3	1	1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	8	14	12
4010	Outlays from new discretionary authority	6	11	10
4011	Outlays from discretionary balances	1	2	3
4020	Outlays, gross (total)	7	13	13
4180	Budget authority, net (total)	8	14	12
4190	Outlays, net (total)	7	13	13

The Election Assistance Commission assists State and local election officials by testing and certifying election equipment, sharing best practices to improve the administration of Federal elections, and providing them with information about the voting system standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2021, \$1.5 million will be transferred to the National Institute of Standards and Technology to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

## Object Classification (in millions of dollars)

Identifi	cation code 525-1650-0-1-808	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	4	4
12.1	Civilian personnel benefits	1	2	2
21.0	Travel and transportation of persons	1	1	2
25.2	Other services from non-Federal sources	3	4	5
99.9	Total new obligations, unexpired accounts	8	11	13

## **Employment Summary**

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	30	32

## ELECTION SECURITY GRANTS

[Notwithstanding section 104(c)(2)(B) of the Help America Vote Act of 2002 (52 U.S.C. 20904(c)(2)(B)), \$425,000,000 is provided to the Election Assistance Commission for necessary expenses to make payments to States for activities to improve the administration of elections for Federal office, including to enhance election technology and make election security improvements, as authorized by sections 101, 103, and 104 of such Act: Provided, That for purposes of applying such sections, the Commonwealth of the Northern Mariana Islands shall be deemed to be a State and, for purposes of sections 101(d)(2) and 103(a) shall be treated in the same manner as the Commonwealth of Puerto Rico, Guam, American Samoa, and the United States Virgin Islands: Provided further, That each reference to the "Administrator of General Services" or the "Administrator" in sections 101 and 103 shall be deemed to refer to the "Election Assistance Commission": Provided further, That each reference to "\$5,000,000" in section 103 shall be deemed to refer to "\$3,000,000" and each reference to "\$1,000,000" in section 103 shall be deemed to refer to "\$600,000": Provided further, That not later than 45 days after the date of enactment of this Act, the Election Assistance Commission shall make the payments to States under this heading: Provided further, That not later than two years after receiving a payment under this heading, a State shall make available funds for such activities in an amount equal to 20 percent of the total amount of the payment made to the State under this heading.] (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 525–1651–0–1–808	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Direct program activity		425	
0100	Direct program activities, subtotal		425	
0900	Total new obligations, unexpired accounts (object class 41.0)		425	
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority: Appropriations, discretionary:	1	1	1
1100	Appropriations, discretionary: Appropriation		425	
1930	Total budgetary resources available Memorandum (non-add) entries:		426	1
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	1	1
3010	New obligations, unexpired accounts		425	
3020	Outlays (gross)		-425	
3050	Unpaid obligations, end of year	1	1	1

## ELECTION SECURITY GRANTS—Continued Program and Financing—Continued

Identifi	ication code 525-1651-0-1-808	2019 actual	2020 est.	2021 est.
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:		425	
4010	Outlays from new discretionary authority		425	
4011	Outlays from discretionary balances	1	<u> </u>	
4020	Outlays, gross (total)	1	425	
4180	Budget authority, net (total)		425	
4190	Outlays, net (total)	1	425	

The Election Assistance Commission is responsible for distributing and auditing the use of election reform grant funding, in accordance with the requirements of the Help America Vote Act of 2002. Total Federal Government funding to States for election administration modernization and improvement exceeds \$4 billion, including \$425 million appropriated in 2020. The Budget does not provide resources for additional grant funding.

## ELECTION DATA COLLECTION GRANTS

# Program and Financing (in millions of dollars)

Identif	ication code 525–1652–0–1–808	2019 actual	2020 est.	2021 est.
1000	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	2	2
1930	Total budgetary resources available Memorandum (non-add) entries:	2	2	2
1941	Unexpired unobligated balance, end of year	2	2	2
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

# EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Nondiscrimination Act (GINA) of 2008 (Public Law 110-233), the ADA Amendments Act of 2008 (Public Law 110-325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111-2), including services as authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles as authorized by section 1343(b) of title 31, United States Code; nonmonetary awards to private citizens; and up to [\$30,500,000] \$27,525,000 for payments to State and local enforcement agencies for authorized services to the Commission, [\$389,500,000] \$362,481,000: Provided, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds [: Provided further, That the Commission may take no action to implement any workforce repositioning, restructuring, or reorganization until such time as the Committees on Appropriations of the House of Representatives and the Senate have been notified of such proposals, in accordance with the reprogramming requirements of section 505 of this Act]: Provided further, That the Chair may accept and use any gift or donation to carry out the work of the Commission. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity: 0001 Private sector	301	309	287

0002	Federal sector	48	50	47
0003	State and local	30	31	28
0900	Total new obligations, unexpired accounts	379	390	362
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			2
1011	Unobligated balance transfer from other acct [047–0616]		2	2
1050	Unobligated balance (total)		2	4
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	380	390	362
1900	Budget authority (total)	380	390	362
1930	Total budgetary resources available	380	392	366
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year		2	4
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	80	82	52
3010		379	390	362
3011	Obligations ("upward adjustments"), expired accounts	2		
3020	Outlays (gross)	-374	-420	-366
3041	Recoveries of prior year unpaid obligations, expired	-5		
3050	Unpaid obligations, end of year	82	52	48
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	80	82	52
3200	Obligated balance, end of year	82	52	48
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	380	390	362
4000	Outlays, gross:	500	550	502
4010	,,,,	317	339	315
4010	Outlays from discretionary balances	57	81	51
4011	outays nom discretionary balances			
4020	Outlays, gross (total)	374	420	366
4180				
	Budget authority, net (total)	380	390	362

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990 (ADA); the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

#### TOTAL WORKLOAD

Private sector enforcement	2019 actual 124,386	2020 est. 117,538	2021 est. 113,567
Federal sector program:			
Hearings	23,540	21,659	18,548
Appeals	7,166	8,072	8,279
Total workload	155,092	147,269	140,394

The 2021 Budget is an opportunity to advance the work the Commission began with the adoption of the Strategic Plan for 2018–2022. The strategic plan outlines a framework for achieving the EEOC's mission to "Prevent and Remedy Unlawful Employment Discrimination and advance equal opportunity for all in the workplace". The plan's strategic objectives include: 1) Combat and prevent employment discrimination through strategic law enforcement; and 2) Prevent employment discrimination and promote inclusive workplaces through education and outreach. The Budget will permit EEOC to improve efficiencies through data resource consolidation, promote knowledge sharing, and foster communication to avoid unnecessary duplication of effort and continue its standards of providing quality service to the public through enforcement and prevention activities. EEOC's enforcement responsibilities are in two areas: The private sector and the Federal sector.

*Private sector.*—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS				
Workload/Workflow	2019 actual	2020 est.	2021 est.	
Total pending	50,973*	43,580	40,524	
Total receipts	72,675	73,220	72,305	
Net FEPA transfers/deferrals	738	738	738	
Total workload	124,386	117,538	113,567	
Resolutions:				
Successful mediation	6,394	6,079	6,320	
From contract	338	236	125	
From staff	6,056	5,843	6,195	
Administrative enforcement resolutions	74,412	70,934	64,351	
Total resolutions	80,806	77,013	70,671	
Pending ending	43,580	40,525	42,896	

\*Pending beginning inventory adjusted to reflect activity spanning fiscal years.

State and Local Program.—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations to promote employment opportunities for Native Americans on or near a reservation.

## STATE AND LOCAL WORKLOAD PROJECTIONS

Workload Charges/complaints pending Charges/complaints received	2019 actual 49,722 36,432	2020 est. 49,255 36,432	2021 est. 48,788 36,432
Total Workload	86,154	85,687	85,220
Charges/complaints resolved	36,161	36,161	36,161
Charges/complaints deferred to EEOC	738	738	738
Charges/complaints pending ending	49,255	48,788	48,321

*Federal sector.*—EEOC holds hearings on complaints of discrimination filed in Federal agencies, decides appeals of complaints of discrimination, and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD P	ROJECTIONS
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Workload	2019 actual	2020 est.	2021 est.	
Hearings pending	14,536	12,932	10,521	
Hearings requests received	9,177	8,900	8,200	
Hearings requests consolidated after initial processing	(173)	(173)	(173)	
Total workload	23,540	21,659	18,548	
Hearings resolved	10,608	11,138	9,080	
Hearings pending ending	12,932	10,521	9,468	

## FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Appeals pending	2,942	3,072	3,279
Appeals received	4,224	5,000	5,000
Total workload	7,166	8,072	8,279
Appeals resolved	4,094	4,793	4,793
Appeals pending ending	3,072	3,279	3,486

#### Object Classification (in millions of dollars)

Identifi	ication code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	198	200	198
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	3	2	2
11.9	Total personnel compensation	203	204	202

12.1	Civilian personnel benefits	67	72	71
21.0	Travel and transportation of persons	2	3	3
23.1	Rental payments to GSA	28	33	33
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	4	4	4
25.1	State and Local Contracts	30	31	28
25.2	Other services from non-Federal sources	32	29	12
25.2	Security services	1	3	3
25.3	Other goods and services from Federal sources	6	5	3
26.0	Supplies and materials	4	4	1
31.0	Equipment	1	1	1
99.9	- Total new obligations, unexpired accounts	379	390	362

#### **Employment Summary**

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,047	1,903	1,717

## EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

## Program and Financing (in millions of dollars)

Identi	ication code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
0801	<b>Obligations by program activity:</b> EEOC Education, Technical Assistance, and Training Revolving			
	Fun (Reimbursable)	5	5	5
0809	Reimbursable program activities, subtotal	5	5	5
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	2	2
	Budget authority:			
1800	Spending authority from offsetting collections, mandatory:	5	5	
	Collected Total budgetary resources available	э 7	5 7	5 7
1930	Memorandum (non-add) entries:	/	/	/
1941	Unexpired unobligated balance, end of year	2	2	2
		2	2	2
	Change in obligated balance:			
2000	Unpaid obligations:	2	0	
3000	Unpaid obligations, brought forward, Oct 1	2 5	2	5
3010 3020	New obligations, unexpired accounts Outlays (gross)	-5	-7	-5
3020	Outlays (gloss)			
3050	Unpaid obligations, end of year	2		
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	2	
3200	Obligated balance, end of year	2		
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	5	5	5
	Outlays, gross:			
4100	Outlays from new mandatory authority	4	4	4
4101	Outlays from mandatory balances	1	3	1
4110	Outlays, gross (total)	5	7	5
4110	Offsets against gross budget authority and outlays:	0	,	0
	Offsetting collections (collected) from:			
4120	Federal sources	-2	-2	-2
4123	Non-Federal sources	-3	-3	-3
4130	Offsets against gross budget authority and outlays (total)	-5	-5	-5
4170	Outlays, net (mandatory)		2	
4180	Budget authority, net (total)			
4190	Outlays, net (total)		2	
	Memorandum (non-add) entries:			
5096	Unexpired unavailable balance, SOY: Appropriations	1	1	1
5098	Unexpired unavailable balance, EOY: Appropriations	1	1	1

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

Object Classification (in millions of dollar	s)
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Identif	ication code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
25.2	Other services from non-Federal sources	3	3	3
99.9	Total new obligations, unexpired accounts	5	5	Ę
	Employment Summary			

#### Identification code 045-4019-0-3-751 2019 actual 2020 est. 2021 est 2001 Reimbursable civilian full-time equivalent employment ... 14 14 14

# **EXPORT-IMPORT BANK OF THE UNITED STATES**

## Federal Funds

#### INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), [\$5,700,000] \$5,200,000, of which up to [\$855,000] \$780,000 may remain available until September 30, [2021] 2022. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	ication code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
0009	Obligations by program activity: Administrative Expenses	5	6	6
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	1	1	1
1000	Budget authority:	-	1	-
	Appropriations, discretionary:			
1100	Appropriation	6	6	5
1930	Total budgetary resources available	7	7	6
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	1	1	
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2	3
3010	New obligations, unexpired accounts	5	6	6
3020	Outlays (gross)	-5	-5	-6
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	2	3	3
3100	Obligated balance, start of year	2	2	3
3200	Obligated balance, end of year	2	3	3
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	6	6	5
4010	Outlays from new discretionary authority	3	4	4
4011	Outlays from discretionary balances	2	1	2
4020	Outlays, gross (total)	5	5	6
4180	Budget authority, net (total)	6	6	5
4190	Outlays, net (total)	5	5	6

## Object Classification (in millions of dollars)

Identification code 083-0105-0-1-155		2019 actual	2020 est.	2021 est.	
	Direct obligations:				
11.1	Personnel compensation: Full-time permanent	3	3	3	
12.1	Civilian personnel benefits	1	2	2	
25.2	Other services from non-Federal sources	1	1	1	
99.9	Total new obligations, unexpired accounts	5	6	6	

#### THE BUDGET FOR FISCAL YEAR 2021

## **Employment Summary**

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19	25	27

#### PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program for the current fiscal year for such corporation: Provided, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of enactment of this Act.

#### Administrative Expenses

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed [\$110,000,000] \$100,946,000, of which up to [\$16,500,000] \$15,141,900 may remain available until September 30, [2021] 2022: Provided, That the Export-Import Bank (the Bank) may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: Provided further, That notwithstanding chapter 51, subchapter III of chapter 53, and section 5373 of title 5, United States Code, the Board of Directors of the Export-Import Bank of the United States may set an employee's rate of basic pay up to the rate for level III of the Executive Schedule, and this authority may be applied to no more than 35 employees at any point in time and shall remain in effect until September 30, 2021: Provided further, That the Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Bank, repossession or sale of pledged collateral or other assets acquired by the Bank in satisfaction of moneys owed the Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: Provided further, That in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account for such purposes, to remain available until expended.

## RECEIPTS COLLECTED

Receipts collected pursuant to the Export-Import Bank Act of 1945 (Public Law 79-173) and the Federal Credit Reform Act of 1990, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: Provided, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0.

#### CANCELLATION

Of the unobligated balances available under the heading "Export and Investment Assistance, Export-Import Bank of the United States, Subsidy Appropriation" for tied-aid grants from prior Acts making appropriations for the Department of State, foreign operations, and related programs, \$84,000,000 are hereby permanently cancelled. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identifi	cation code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
	Obligations by program activity: Credit program obligations:			
0705	Reestimates of direct loan subsidy	442	37	
0706	Interest on reestimates of direct loan subsidy	76	11	
0707	Reestimates of loan guarantee subsidy	2	22	
0708	Interest on reestimates of loan guarantee subsidy	2	12	
0709	Administrative expenses	111	110	108

## OTHER INDEPENDENT AGENCIES

0715	Other	11	14	6
900	Total new obligations, unexpired accounts	644	206	114
	Budgetary resources:			
	Unobligated balance:			
000	Unobligated balance brought forward, Oct 1	196	217	152
001	Discretionary unobligated balance brought fwd, Oct 1	196	217	
020	Adjustment of unobligated bal brought forward, Oct 1	25		
.021	Recoveries of prior year unpaid obligations	4	·····	
1050	Unobligated balance (total)	225	217	152
	Budget authority:			
	Appropriations, discretionary:			
100	Appropriation	94		
131	Unobligated balance of appropriations permanently		64	0/
	reduced	<u> </u>	64	84
160	Appropriation, discretionary (total)	94	-64	-84
	Appropriations, mandatory:	501		
1200	Appropriation Spending authority from offsetting collections, discretionary:	521	81	
700	Collected	21		
700	Offsetting collections (Admin Expense)		110	101
700	Offsetting collections (Other)		110	6
.,				
750	Spending auth from offsetting collections, disc (total)	21	124	107
1900	Budget authority (total)	636	141	23
930	Total budgetary resources available	861	358	175
	Memorandum (non-add) entries:	017	150	
.941	Unexpired unobligated balance, end of year	217	152	61
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	140	115	27
3000	Adjustments to unpaid obligations, brought forward, Oct	140	115	21
1001		-27		
3010	New obligations, unexpired accounts	644	206	114
020	Outlays (gross)	-629	-294	-111
040	Recoveries of prior year unpaid obligations, unexpired	_4		
040	Recoveries of prior year unpaid obligations, expired	-9		
	nooronoo or prior your unpaid congationo, orpriod immini			
050	Unpaid obligations, end of year	115	27	30
100	Memorandum (non-add) entries:	110		0-
3100	Obligated balance, start of year	113	115	27
200	Obligated balance, end of year	115	27	30
	Budget authority and outlays, net:			
1000	Discretionary: Budget authority, gross	115	60	23
550	Outlays, gross:	113	00	20
010	Outlays from new discretionary authority	69	99	86
1010	Outlays from discretionary balances	39	114	25
			213	111
011	Outlove groce (total)			111
011	Outlays, gross (total)	108	215	
011	Offsets against gross budget authority and outlays:	108	215	
011	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			107
010 011 020	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Non-Federal sources	108 21	-124	-107
1011 1020 1033	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Non-Federal sources Mandatory:	-21	-124	
011 020 033	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Non-Federal sources Mandatory: Budget authority, gross			-107
.011 .020 .033 .090	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Non-Federal sources Mandatory: Budget authority, gross Outlays, gross:	-21	-124	-107
011	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Non-Federal sources Mandatory: Budget authority, gross	-21 521	-124 81	

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans: Export Financing	5,009		
115999 Total direct loan levels Direct loan subsidy (in percent):	5,009		
132001 Direct Loans: Export Financing	-13.59		
132999 Weighted average subsidy rate Direct loan subsidy budget authority:	-13.59		
133001 Direct Loans: Export Financing	-681		
133999 Total subsidy budget authority Direct loan reestimates:	-681		
135001 Direct Loans: Export Financing	455	7	
135999 Total direct loan reestimates	455	7	
Guaranteed loan levels supportable by subsidy budget authority:			
215004         Long Term Guarantees           215005         Medium Term Guarantees		18,629 420	16,712 525

215006	Short Term Insurance	2.192	2.760	2.790
215007	Medium Term Insurance	86	80	75
215008	Working Capital Fund	688	1,141	773
215999	Total loan guarantee levels	3,206	23,030	20,875
	uaranteed loan subsidy (in percent):			
232004	Long Term Guarantees		-6.00	-6.12
232005	Medium Term Guarantees	-1.71	21	-2.00
232006	Short Term Insurance	03	0.00	0.00
232007	Medium Term Insurance	-1.45	-2.80	-5.51
232008	Working Capital Fund	0.00	0.00	0.00
232999	Weighted average subsidy rate	19	-4.87	-4.97
	uaranteed loan subsidy budget authority:	.10		
233004	Long Term Guarantees		-1.118	-1.023
233005	Medium Term Guarantees	-4	-1	-10
233006	Short Term Insurance	-1		
233007	Medium Term Insurance	-1	-2	-4
233999	Total subsidy budget authority	6	-1.121	-1.037
	uaranteed loan subsidy outlays:	0	1,121	1,007
234004	Long Term Guarantees		-497	-610
204004			437	
234999	Total subsidy outlays		-497	-610
G	uaranteed loan reestimates:			
235004	Long Term Guarantees	-250	-64	
235005	Medium Term Guarantees	-22	-10	
235006	Short Term Insurance	-26	-19	
235007	Medium Term Insurance	-10	-7	
235999	Total guaranteed loan reestimates	-308	-100	
A	dministrative expense data:			
3510	Budget authority	110	110	101

The Export-Import Bank of the United States (EXIM or the Bank) is the official export credit agency of the United States. EXIM is an independent, Federal agency that supports American jobs by facilitating the export of U.S. goods and services. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs.

The 2021 Budget estimates that the Bank's export credit support will total \$20.9 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$711.2 million in 2021 in receipts in excess of expected losses on transactions authorized in 2021 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$100.9 million. Any excess will be deposited in the General Fund of the Treasury. The 2021 Budget requests \$0 in subsidy costs and cancels \$84 million in the Tied Aid Fund.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

#### **Object Classification** (in millions of dollars)

Identi	Identification code 083-0100-0-1-155		2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	46	52	51
12.1	Civilian personnel benefits	16	18	17
21.0	Travel and transportation of persons	2	3	3
23.1	Rental payments to GSA	6	8	8
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	23	24	12
25.3	Other goods and services from Federal sources	2	2	2
25.7	Operation and maintenance of equipment	16	12	12
26.0	Supplies and materials	2	2	2
31.0	Equipment	6	4	4
41.0	Grants, subsidies, and contributions	522	78	
99.9	Total new obligations, unexpired accounts	644	206	114

## EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT-Continued

**Employment Summary** 

	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	365	396	424

DEBT REDUCTION FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

dentification code 083-4028-0-3-155 2019 actual		2019 actual	2020 est.	2021 est.
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			3
	Financing authority:			
1800	Spending authority from offsetting collections, mandatory: Offsetting collections (repayments)	23	3	:
1800	Capital transfer of spending authority from offsetting	23	J	
1020	collections to general fund	-23		
1850	Spending auth from offsetting collections, mand (total)		3	:
1930	Total budgetary resources available		3	
	Memorandum (non-add) entries:		0	
1941	Unexpired unobligated balance, end of year		3	6
	Financing authority and disbursements, net: Mandatory:			
4090	Budget authority, gross		3	
+030	Offsets against gross financing authority and disbursements:		5	
	Offsetting collections (collected) from:			
4123	Non-Federal sources - Principal	-23	-2	-1
4123	Non-Federal sources - Interest		-1	-
4130	Offsets against gross budget authority and outlays (total)	-23	-3	_;
4160	Budget authority, net (mandatory)	-23		
4170	Outlays, net (mandatory)	-23	-3	
4180	Budget authority, net (total)	-23		
4190		-23	-3	
	Status of Direct Loans (in millions of	of dollars)		
Identif	ication code 083-4028-0-3-155	2019 actual	2020 est.	2021 est.
1010	Cumulative balance of direct loans outstanding:	22	20	2
1210 1251	Outstanding, start of year Repayments: Repayments and prepayments	33 6	36 _3	3
1721	Repayments: Repayments and prepayments	—ь	-3	-

## Balance Sheet (in millions of dollars)

9

36

33

Identif	ication code 083-4028-0-3-155	2018 actual	2019 actual	
	ASSETS:			
	Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	33	36	
1405	Allowance for subsidy cost (-)	33	-36	
1499	Net present value of assets related to direct loans			
1701	Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans, gross	<u> </u>		
1999	Total upward reestimate subsidy BA [11–0091]			
1	NET POSITION:			
3300	Cumulative results of operations			
4999	Total liabilities and net position			

## EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4161-0-3-155	2019 actual	2020 est.	2021 est.
<b>Obligations by program activity:</b> Credit program obligations:			
0710 Direct loan obligations	5.009		

1264

1290

Other adjustments, net (+ or -) .....

Outstanding, end of year ..

0713	Payment of interest to Treasury	536	750	750
0740	Negative subsidy obligations	681		
0742	Downward reestimates paid to receipt accounts	41	29	
0743	Interest on downward reestimates	22	11	·····
0900	Total new obligations, unexpired accounts	6,289	790	750
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	74	49	4,082
	Financing authority:			
1 400	Borrowing authority, mandatory:	5 000	0.000	1 000
1400	Borrowing authority	5,690	2,220	1,200
1800	Spending authority from offsetting collections, mandatory:	2 000	2 602	2 0 0 2
1800	Spending authority from offsetting collections (cash) Spending authority from offsetting collections applied to	3,886	2,603	2,603
1020	repay debt	-3,312		
	Tepay debt	-3,312		
1850	Spending auth from offsetting collections, mand (total)	574	2,603	2,603
1900	Budget authority (total)	6,264	4,823	3,803
1930	Total budgetary resources available	6,338	4,872	7,885
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	49	4,082	7,135
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,595	7,225	6,036
3010	New obligations, unexpired accounts	6,289	790	750
3020	Outlays (gross)	-659	-1,979	-2,219
3050	Unpaid obligations, end of year	7,225	6,036	4,567
	Uncollected payments:	.,	-,	.,
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-13	-13
3090	Uncollected pymts, Fed sources, end of year	-13	-13	-13
0100	Memorandum (non-add) entries:	1 500	7 010	0.000
3100	Obligated balance, start of year	1,582	7,212	6,023
3200	Obligated balance, end of year	7,212	6,023	4,554
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Budget authority, gross	6,264	4,823	3,803
4110	Financing disbursements:	050	1.070	0.010
4110	Outlays, gross (total)	659	1,979	2,219
	Offsets against gross financing authority and disbursements:			
4120	Offsetting collections (collected) from: Federal sources: Upward reestimate	-517	-47	
4120	Interest on uninvested funds		-285	-285
4122	Repayments and prepayments	-3,309	-2,271	-2,318
4125				
4130	Offsets against gross budget authority and outlays (total)	-3,886	-2,603	-2,603
4160	Budget authority, net (mandatory)	2,378	2,220	1,200
4100	Outlays, net (mandatory)	-3,227	-624	-384
				1,200
				-384
		5,227	021	004
4170 4180 4190		-3,227 2,378 -3,227	-624 2,220 -624	

#### Status of Direct Loans (in millions of dollars)

30

Identi	Identification code 083-4161-0-3-155		2020 est.	2021 est.
1111	Position with respect to appropriations act limitation on obligations: Direct loan obligations from current-year authority	5,009		
1150	Total direct loan obligations	5,009		
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	18,352	15,487	15,270
1231	Disbursements: Direct loan disbursements	66	1,979	2,219
1251	Repayments: Repayments and prepayments	-2,794	-2,196	-1,997
1263	Write-offs for default: Direct loans	-137		
1290	Outstanding, end of year	15,487	15,270	15,492

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Act of 1945 (P.L. 79-173, as amended), this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

## Balance Sheet (in millions of dollars)

Identif	cation code 083-4161-0-3-155	2018 actual	2019 actual	
	ASSETS:			
	Federal assets:			
1101	Fund balances with Treasury	1,636	1,598	
	Investments in U.S. securities:			
1106	Receivables, net			
1206	Non-Federal assets: Receivables, net			
	Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	18,352	15,487	
1402	Interest receivable	198	141	
1405	Allowance for subsidy cost (-)	-1,143	-891	
1499	Net present value of assets related to direct loans	17,407	14,737	
1901	Other Federal assets: Other assets	914	47	
1999	Total assets	19,957	16,382	
l	IABILITIES:			
	Federal liabilities:			
2101	Accounts payable	250	23	
2103	Debt	19,707	16,359	
2201	Non-Federal liabilities: Accounts payable			
2999	Total liabilities	19,957	16,382	
1	NET POSITION:			
3300	Cumulative results of operations			
4999	Total liabilities and net position	19,957	16,382	

## EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

# Program and Financing (in millions of dollars)

ldentif	ication code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0003	Payment Certificates	10		
0091	Direct program activities, subtotal Credit program obligations:	10		
0711	Default claim payments on principal	41	36	35
0713	Payment of interest to Treasury	15		
0740	Negative subsidy obligations	6	1,121	1,037
0742	Downward reestimates paid to receipt accounts	238	105	
0743	Interest on downward reestimates	75	29	
0791	Direct program activities, subtotal	375	1,291	1,072
0900	Total new obligations, unexpired accounts	385	1,291	1,072
	Budgetary resources:			
1000	Unobligated balance:	1.075	070	0.07
1000	Unobligated balance brought forward, Oct 1	1,275	976	807
	Financing authority:			
1400	Borrowing authority, mandatory: Borrowing authority		912	912
1400	Spending authority from offsetting collections, mandatory:		512	512
1800	Spending authority from offsetting collections, manualogy	109	210	210
1820	Capital transfer of spending authority from offsetting	105	210	210
1020	collections to general fund	-23		
	-			
1850	Spending auth from offsetting collections, mand (total)	86	210	210
1900	Budget authority (total)	86	1,122	1,122
1930	Total budgetary resources available	1,361	2,098	1,929
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	976	807	857
	onexpired unobligated balance, end of year	570	007	037
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	14	7	1,298
3010	New obligations, unexpired accounts	385	1.291	1,230
3020	Outlays (gross)	-392		1,0,2
0020				
3050	Unpaid obligations, end of year Uncollected payments:	7	1,298	2,370
3060	Uncollected pymts, Fed sources, brought forward, Oct $1 \hdots 1$	-91	-91	-91
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-91	-91	-91
3100	Obligated balance, start of year	-77		1.207
			34	

Export-Import Bank of the United States—Continued Federal Funds—Continued

#### Financing authority and disbursements, net:

	Mandatory:			
4090	Budget authority, gross	86	1,122	1,122
	Financing disbursements:			
4110	Outlays, gross (total)	392		
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal Sources: Payments from program account	-4	-33	
4122	Interest on uninvested funds	-26	-100	-100
4123	Fees, premiums, claim recoveries	-79	-77	-110
4130	Offsets against gross budget authority and outlays (total)	-109	-210	-210
4160	Budget authority, net (mandatory)	-23	912	912
4170	Outlays, net (mandatory)	283	-210	-210
4180	Budget authority, net (total)	-23	912	912
4190	Outlays, net (total)	283	-210	-210

# Status of Guaranteed Loans (in millions of dollars)

Identif	ication code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
	Position with respect to appropriations act limitation on commitments:			
2111 2121 2143	Guaranteed loan commitments from current-year authority Limitation available from carry-forward Uncommitted limitation carried forward	3,205	23,030	20,875
		<u> </u>		
2150 2199	Total guaranteed loan commitments Guaranteed amount of guaranteed loan commitments	3,205	23,030 23,030	20,875 20,875
	Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	41,644	33,968	33,040
2231	Disbursements of new guaranteed loans	3,557	6,725	10,413
2251	Repayments and prepayments	-11,189	-7,617	-5,814
2263	Adjustments: Terminations for default that result in claim payments	-44	-36	-35
2290	Outstanding, end of year	33,968	33,040	37,604
	Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year		33,040	37,604
	Addendum:			
	Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year			
2364	Other adjustments, net	<u> </u>	<u> </u>	·····
2390	Outstanding, end of year			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Act of 1945 (P.L. 79-173, as amended), this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

# Balance Sheet (in millions of dollars)

Identif	ication code 083-4162-0-3-155	2018 actual	2019 actual	
	ASSETS:			
1101	Federal assets: Fund balances with Treasury	594	501	
1206	Non-Federal assets: Receivables, net			
1501	Net value of assets related to post-1991 acquired defaulted			
	guaranteed loans receivable: Loans receivable, gross			
1999	Total assets	594	501	
l	IABILITIES:			
2103	Federal liabilities: Debt			
	Non-Federal liabilities:			
2201	Accounts payable			
2204	Liabilities for loan guarantees	594	501	
2999	Total liabilities	594	501	
I	NET POSITION:			
3300	Cumulative results of operations	<u> </u>		
4999	Total liabilities and net position	594	501	

## EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

Identi	Identification code 083-4027-0-3-155		2020 est.	2021 est.
	Budgetary resources: Budget authority:			
1800	Spending authority from offsetting collections, mandatory: Collected	3	7	7
1820	Capital transfer of spending authority from offsetting collections to general fund	-3	-7	-7
	Budget authority and outlays, net: Mandatory:			
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4123 4180 4190	Non-Federal sources Budget authority, net (total) Outlays, net (total)	-3 -3 -3	-7 -7 -7	-7 -7 -7

#### Status of Direct Loans (in millions of dollars)

Identification code 083-4027-0-3-155		2019 actual	2020 est.	2021 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	329	90	89
1251	Repayments: Repayments and prepayments	-3	-1	
1264	Other adjustments, net (+ or -)	-236		
1290	Outstanding, end of year	90	89	89

## Status of Guaranteed Loans (in millions of dollars)

Identifi	Identification code 083–4027–0–3–155		2020 est.	2021 est.
2310 2351	Addendum: Cumulative balance of defaulted guaranteed loans that result in loans receivable: Outstanding, start of year Repayments of loans receivable	28 _1	27 _1	26 _1
2390	Outstanding, end of year	27	26	25

EXIM's liquidating account records all cash flows to and from the Government resulting from all EXIM direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance exports. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new EXIM credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

Identifi	cation code 083-4027-0-3-155	2018 actual	2019 actual
A	ISSETS:		
1601	Direct loans, gross	329	90
1602	Interest receivable		43
1603	Allowance for estimated uncollectible loans and interest (-)	-320	-131
1699	Value of assets related to direct loans	9	2
1701	Defaulted guaranteed loans, gross	28	27
1703	Allowance for estimated uncollectible loans and interest (-)		-21
1799	Value of assets related to loan guarantees	18	6
1999	Total assets	27	8
L	IABILITIES:		
	Non-Federal liabilities:		
2201	Accounts payable		
2203	Debt		2
2204	Liabilities for loan guarantees		
2207	Other		
2999	Total liabilities	12	2
Ν	IET POSITION:		
3300	Cumulative results of operations	1,000	1,000

3300	Cumulative results of operations	-985	-994
3999	Total net position	15	6
4999	Total liabilities and net position	27	8

#### GENERAL FUND RECEIPT ACCOUNTS

#### (in millions of dollars)

		2019 actual	2020 est.	2021 est.
	pts from the public: Export-Import Bank Loans, Negative Subsidies Export-Import Bank Loans, Downward Reestimates of		497	610
000 2/2/00	Subsidies	375	173	
General Fund Offsetting receipts from the public		375	670	610

# FARM CREDIT ADMINISTRATION

## Federal Funds

## LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed [\$77,000,000] *\$80,400,000* (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships: *Provided further*, That the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress: *Provided further*, That the purposes of section 3.7(b)(2)(A)(i) of the Farm Credit Act of 1971 (12 U.S.C. 2128(b)(2)(A)(i)), the Farm Credit Administration may exempt, an amount in its sole discretion, from the application of the limitation provided in that clause of export loans described in the clause guaranteed or insured in a manner other than described in subclause (II) of the clause. *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)* 

Identification code 352-4131-0-3-351		2019 actual	2020 est.	2021 est.
0801	<b>Obligations by program activity:</b> Limitation on Administrative Expenses (Reimbursable)	74	78	81
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	22	22	22
1800	Spending authority from offsetting collections, mandatory: Collected	74	78	81
	Total budgetary resources available	96	100	103
1941	Unexpired unobligated balance, end of year	22	22	22
	Change in obligated balance:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	14	15	15
3010	New obligations, unexpired accounts	74	78	81
3020	Outlays (gross)	-73	-78	-84
3050	Unpaid obligations, end of year Uncollected payments:	15	15	12
3060	Uncollected pymts, Fed sources, brought forward, Oct 1			
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-1	-1	-1
3100	Obligated balance, start of year	13	14	14
3200	Obligated balance, end of year	14	14	11
	Budget authority and outlays, net:			
4090	Mandatory: Budget authority, gross Outlays, gross:	74	78	81
4100	Outlays, gross: Outlays from new mandatory authority	66	62	75
4101	Outlays from mandatory balances	7	16	9
4110	Outlays, gross (total)	73	78	84

Offsets against gross budget authority and outlays

4120 4121	Offsetting collections (collected) from: Federal sources Interest on Federal securities:	-1 -1		
4123	Non-Federal sources	-72	-78	-81
4130	Offsets against gross budget authority and outlays (total)	-74	-78	-81
4170	Outlays, net (mandatory)	-1		3
4180 4190	Budget authority, net (total) Outlays, net (total)	-1		3
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	32	35	36
5001	Total investments, EOY: Federal securities: Par value	35	36	33

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2019, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 68 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(g)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request that the IG submits to its designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(g)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is \$1,964,094.

The amount needed for OIG training is \$24,000.

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$5,900.

The FCA IG's budget request for 2021 is being submitted unchanged by the FCA Board.

#### **Object Classification** (in millions of dollars)

Identi	ication code 352-4131-0-3-351	2019 actual	2020 est.	2021 est.
-	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	45	47	48
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	46	48	49
12.1	Civilian personnel benefits	17	20	21
21.0	Travel and transportation of persons	3	3	3
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.1	Advisory and assistance services	2	2	3
25.2	Other services from non-Federal sources	1		
25.3	Other goods and services from Federal sources	1	1	1
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.9	Total new obligations, unexpired accounts	74	78	81
	Employment Summary			
Identi	ication code 352-4131-0-3-351	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	308	320	322

## FARM CREDIT SYSTEM INSURANCE CORPORATION

### Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

#### Program and Financing (in millions of dollars)

Identif	ication code 352-4136-0-3-351	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: Reimbursable program activity	70	4	5
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	4,692	4,926	5,204
1800	Spending authority from offsetting collections, mandatory: Collected	308	282	293
1801	Change in uncollected payments, Federal sources		<u> </u>	
1850 1930	Spending auth from offsetting collections, mand (total) Total budgetary resources available	304 4,996	282 5,208	293 5,497
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	4,926	5,204	5,492
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	70	4	5
3020	Outlays (gross)		4	4
3050	Unpaid obligations, end of year Uncollected payments:			1
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-18	-18
3070	Change in uncollected pymts, Fed sources, unexpired	4		
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-18	-18	-18
3100	Obligated balance, start of year	-22	-18	-18
3200	Obligated balance, end of year	-18	-18	-17
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	304	282	293
4100	Outlays, gross: Outlays from new mandatory authority Offsets against gross budget authority and outlays:	70	4	4
	Offsetting collections (collected) from:	05	70	
4121 4123	Interest on Federal securities Non-Federal sources	-95 -213	-79 -203	-84 -209
4130	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-308	-282	-293
4140	Change in uncollected pymts, Fed sources, unexpired	4		
4170	Outlays, net (mandatory)	-238	-278	-289
4180 4190	Budget authority, net (total) Outlays, net (total)	-238	-278	-289
	Memorandum (non-add) entries:			
5000 5001	Total investments, SOY: Federal securities: Par value Total investments, EOY: Federal securities: Par value	4,695 4,932	4,932 5,216	5,216 5,505

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. However, the same member may not serve as a chair of both entities. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. As of September 30, 2019, the Insurance Fund was \$208 million above the 2 percent secure base amount at 2.08 percent. For calendar 2019, the Corporation is assessing insurance premiums at 9 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-thantemporarily impaired investments. In January 2020, the Corporation's Board

#### FARM CREDIT SYSTEM INSURANCE FUND-Continued

will determine insurance premium rates for calendar year 2020. The Corporation has the authority to make refunds of excess Insurance Fund balances.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to pay the operating costs of the Corporation and to exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

### Object Classification (in millions of dollars)

Identif	ication code 352-4136-0-3-351	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1	1	1
25.3	Other goods and services from Federal sources	1	1	2
43.0	Interest and dividends	66		
99.9	Total new obligations, unexpired accounts	70	4	5

#### **Employment Summary**

Identification code 352-4136-0-3-351	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	11	11	11

### FEDERAL COMMUNICATIONS COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901–5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, [\$339,000,000] \$343,070,000, to remain available until expended: Provided, That [\$339,000,000] \$343,070,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year [2020] 2021 so as to result in a final fiscal year [2020] 2021 appropriation estimated at \$0: Provided further, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed [\$132,539,000] \$134,495,000 for fiscal year [2020] 2021: Provided further, That, of the amount appropriated under this heading, not less than [\$11,105,700] \$11,326,800 shall be for the salaries and expenses of the Office of Inspector General. (Financial Services and General Government Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: Salaries and Expenses (Reimbursable)	482	469	477
0809	Reimbursable program activities, subtotal	482	469	477
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	119	116	120
1021	Recoveries of prior year unpaid obligations	8		
1050	Unobligated balance (total) Budget authority:	127	116	120
	Spending authority from offsetting collections, discretionary:			
1700	Offsetting collections (Reimbursables)	1	4	4
1700	Offsetting collections (Auctions)	130	133	134
1700 1701 1725	Offsetting collections (Reg Fees) Change in uncollected payments, Federal sources Spending authority from offsetting collections precluded	353 1		
	from obligation (limitation on obligations)	-14		

1750	Spending auth from offsetting collections, disc (total)	471	473	481
	Spending authority from offsetting collections, mandatory:			
1802	Offsetting collections (previously unavailable)	135		
1820	Capital transfer of spending authority from offsetting	100		
1020	collections to general fund	-135		
1000	0			
1900	Budget authority (total)	471	473	481
1930	Total budgetary resources available	598	589	601
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	116	120	124
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	83	120	69
3010		482	469	477
	New obligations, unexpired accounts			
3020	Outlays (gross)	-437	-520	-480
3040	Recoveries of prior year unpaid obligations, unexpired	-8		
	-			
3050	Unpaid obligations, end of year	120	69	66
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
0071	-	-		
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	82	119	68
3200	Obligated balance, end of year	119	68	65
	Budget authority and outlays, net: Discretionary:			
4000		471	473	481
4000 4010	Discretionary: Budget authority, gross Outlays, gross:	471 360	473 406	481
4010	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority	360	406	413
	Discretionary: Budget authority, gross Outlays, gross:			
4010 4011	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances	360 77	406 114	413 67
4010	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances Outlays, gross (total)	360	406	413
4010 4011	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances Outlays, gross (total) Offsets against gross budget authority and outlays:	360 77	406 114	413 67
4010 4011 4020	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	360 77 437	406 114 520	413 67 480
4010 4011 4020 4030	Discretionary: Budget authority, gross	360 77 437 -131	406 114 520 -137	413 67 480 -138
4010 4011 4020	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	360 77 437	406 114 520	413 67 480
4010 4011 4020 4030	Discretionary: Budget authority, gross	360 77 437 -131	406 114 520 -137	413 67 480 -138
4010 4011 4020 4030 4033	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources Non-Federal sources	360 77 437 -131 -353	406 114 520 -137 -336	413 67 480 -138 -343
4010 4011 4020 4030 4033 4040	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484	406 114 520 -137 -336 -473	413 67 480 -138 -343 -481
4010 4011 4020 4030 4033	Discretionary: Budget authority, gross	360 77 437 -131 -353	406 114 520 -137 -336	413 67 480 -138 -343
4010 4011 4020 4030 4033 4040	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484	406 114 520 -137 -336 -473	413 67 480 -138 -343 -481
4010 4011 4020 4030 4033 4040 4050 4060	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1	406 114 520 -137 -336 -473	413 67 480 138 343 481
4010 4011 4020 4030 4033 4040 4050 4060 4070	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1 -14	406 114 520 -137 -336 -473 	413 67 480 -138 -343 -481 
4010 4011 4020 4030 4033 4040 4050 4050 4060 4070 4080	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1 -14 -47	406 114 520 -137 -336 -473	413 67 480 138 343 481
4010 4011 4020 4030 4033 4040 4050 4060 4070	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1 -14	406 114 520 -137 -336 -473 	413 67 480 -138 -343 -481 
4010 4011 4020 4030 4033 4040 4050 4050 4060 4070 4080	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1 -14 -47	406 114 520 -137 -336 -473 	413 67 480 -138 -343 -481 -481 
4010 4011 4020 4030 4033 4040 4050 4050 4060 4070 4080 4180	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1 -14 -47 -14	406 114 520 -137 -336 -473 	413 67 480 -138 -343 -481 -481 1
4010 4011 4020 4033 4040 4050 4050 4060 4070 4180 4190	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -14 -14 -47 -14 -47	406 114 520 -137 -336 -473  47 47	413 67 480 138 481 481 1 1 1 1
4010 4011 4020 4030 4033 4040 4050 4050 4060 4070 4080 4180	Discretionary: Budget authority, gross	360 77 437 -131 -353 -484 -1 -1 -1 -14 -47 -14	406 114 520 -137 -336 -473 	413 67 480 -138 -343 -481 -481 1

The Federal Communications Commission (FCC or Commission) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include closing the digital divide, promoting innovation, protecting consumers and public safety, and reforming the FCC's processes to reduce regulatory burdens and make the agency more transparent. The 2021 Budget includes an overall request of \$343 million to fund the Commission. Of that amount, the requested funding for the FCC's Inspector General is \$11 million.

The Commission is also requesting \$134 million for the Spectrum Auctions Program for 2021. The Budget proposes to make additional spectrum available for commercial use via an auction. Additional net auction proceeds are expected to exceed \$1 billion through 2030. Additionally, following successful completion of the National Oceanic and Atmospheric Administration (NOAA) Spectrum Pipeline Plan, the Budget proposes that the Commission exercise auction authority to assign spectrum frequencies between 1675–1680 megahertz for wireless broadband use subject to sharing arrangements with Federal weather satellites. The proposal is expected to raise \$355 million in receipts over 10 years.

### Object Classification (in millions of dollars)

Identif	ication code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	205	205	216
12.1	Civilian personnel benefits	61	61	62
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	40	41	33
23.3	Communications, utilities, and miscellaneous charges	7	8	10
24.0	Printing and reproduction	2	2	2
25.2	Other services from non-Federal sources	57	61	67
25.3	Other goods and services from Federal sources	4	3	5
25.7	Operation and maintenance of equipment	99	82	74
26.0	Supplies and materials	1	1	4
31.0	Equipment	4	3	2
99.9	Total new obligations, unexpired accounts	482	469	477

#### Identification code 027-0100-0-1-376 2019 actual 2020 est 2021 est 2001 Reimbursable civilian full-time equivalent employment 1.422 1.448 1.448

#### UNIVERSAL SERVICE FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 027–5183–0–2–376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	2	2	2
1110 1140	Universal Service Fund Earnings on Federal Investments, Universal Service Fund	9,725 31	8,655 1	8,478
1199	Total current law receipts	9,756	8,656	8,478
1999	Total receipts	9,756	8,656	8,478
2000	Total: Balances and receipts Appropriations: Current law:	9,758	8,658	8,480
2101	Universal Service Fund	-9,725	-8,655	-8,478
2101	Universal Service Fund	-31		
2199	Total current law appropriations	-9,756	-8,656	-8,478
2999	Total appropriations	-9,756	-8,656	-8,478
5099	Balance, end of year	2	2	2

#### Program and Financing (in millions of dollars)

Identif	ication code 027–5183–0–2–376	2019 actual	2020 est.	2021 est.
0001 0002	Obligations by program activity: Universal service fund Program support	15,403 190	6,470 248	6,607 242
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	15,593	6,718	6,849
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	-6,047	-11,076	-9,299
1010 1021	Unobligated balance transfer to other accts [027–5700] Recoveries of prior year unpaid obligations		-298 563	
1021	Recoveries of prior year paid obligations	21		
1050	Unobligated balance (total) Budget authority:	-5,239	-10,811	-8,566
1201	Appropriations, mandatory: Appropriation (special fund)—Receipts	9.725	8.655	8.478
1201	Appropriation (special fund)—Interest	31	0,033	0,470
1220	Appropriations transferred to other acct [027–5700]		-426	
1260	Appropriations, mandatory (total)	9.756	8,230	8.478
1900	Budget authority (total)	9.756	8,230	8,478
1930	Total budgetary resources available Memorandum (non-add) entries:	4,517	-2,581	-88
1941	Unexpired unobligated balance, end of year	-11,076	-9,299	-6,937

#### Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	12.853	17,589	14,837
3010	New obligations, unexpired accounts	15,593	6,718	6,849
3020	Outlays (gross)	-10,070	-8,889	-8,923
3030	Unpaid obligations transferred to other accts [027–5700]	.,	-18	- ,
3040	Recoveries of prior year unpaid obligations, unexpired	-787	-563	-733
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	17,589	14,837	12,030
3100	Obligated balance, start of year	12,853	17,589	14,837
3200	Obligated balance, end of year	17,589	14,837	12,030
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	9,756	8,230	8,478
4100	Outlays, gross:	5 957	4.057	4 000
4100	Outlays from new mandatory authority	5,357	4,257	4,608
4101	Outlays from mandatory balances	4,713	4,632	4,315
4110	Outlays, gross (total)	10,070	8,889	8,923
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4123	Non-Federal sources Additional offsets against gross budget authority only:	-21		
4143	Recoveries of prior year paid obligations, unexpired			
	accounts	21		
4160	Budget authority, net (mandatory)	9,756	8,230	8,478
4170	Outlays, net (mandatory)	10,049	8,889	8,923
4180	Budget authority, net (total)	9,756	8,230	8,478
4190	Outlays, net (total)	10,049	8,889	8,923
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	2.883	308	
5001	Total investments, EOY: Federal securities: Par value	308		

Pursuant to the Communications Act of 1934, as amended by the Telecommunications Act of 1996 (1996 Act), all telecommunications service providers and certain other providers of telecommunications contribute to the Federal Universal Service Fund (USF) based on a percentage of their interstate and international end-user telecommunications revenues. These companies include wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers. The goals of USF are to increase access to both telecommunications and advanced services, such as high-speed Internet, for all consumers at just, reasonable and affordable rates. The 1996 Act established principles for universal service that specifically focused on increasing access to evolving services for consumers living in rural and insular areas, and for consumers with low incomes. Additional principles called for increased access to high-speed Internet in the nation's schools, libraries and rural health care facilities. The FCC established four programs within the USF to implement the statute. The four programs are: (1) High Cost-ensures consumers in rural, insular, and high cost areas have access to modern communications networks capable of providing voice and broadband service, both fixed and mobile, at rates that are reasonably comparable to those in urban areas; (2) Lifeline (for low-income consumers)- provides a monthly benefit on home or wireless phone and broadband service to eligible households and includes initiatives to expand phone service for residents of Tribal lands; (3) Schools and Libraries (E-rate)-provides funding to schools and libraries to obtain broadband, among other things; and (4) Rural Health Care-provides funding to eligible health care providers for telecommunications and broadband services necessary for the provision of health care.

### **Universal Service Fund**

	2019 Actual	2020 CY	2021 BY
Receipts Universal Service Telecommunications Relay <sup>1</sup>	\$8,247 \$1,509	\$8,230	\$8,478
Total - Receipts	\$9,756	\$8,230	\$8,478
Outlays Universal Service Telecommunications Relay <sup>1</sup>	\$8,703 \$1,367	\$8,889	\$8,923

#### UNIVERSAL SERVICE FUND-Continued

Universal Service Fund—Continued

Total - Outlays	2019 Actual	2020 CY	2021 BY
	\$10,070	\$8,889	\$8,923

<sup>1</sup> Telecommunication Relay Service (TRS) numbers are included under USF for 2019, however, TRS numbers are shown under a separate account starting in 2020.

TELECOMMUNICATIONS RELAY SERVICES FUND, FEDERAL COMMUNICATIONS COMMISSION

#### Special and Trust Fund Receipts (in millions of dollars)

Identifi	ication code 027-5700-0-2-376	2019 actual	2020 est.	2021 est.
	Balance, start of year Receipts: Current law:			426
1110	Contributions for Telecommunications Relay Services, Telecommunications Relay Services Fund	<u> </u>	1,424	1,457
2000	Total: Balances and receipts Appropriations: Current law-		1,424	1,883
2101	Telecommunications Relay Services Fund, Federal Communications Commission		998	-1,457
5099	Balance, end of year		426	426

Identif	fication code 027–5700–0–2–376	2019 actual	2020 est.	2021 est.
0001 0002	Obligations by program activity: Telecommunications Relay Services Fund Program Support		1,436 15	1,440
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$		1,451	1,455
	Budgetary resources:			

Daabo				
Uno	hliga	ated	hal	ance

	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			271
1011	Unobligated balance transfer from other acct [027–5183]	·····	298	
1050	Unobligated balance (total)		298	271
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		998	1,457
1221	Appropriations transferred from other acct [027–5183]	<u></u>	426	
1260	Appropriations, mandatory (total)		1,424	1,457
1930	Total budgetary resources available		1,722	1,728
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		271	273

	Unpaid obligations:		
3000	Unpaid obligations, brought forward, Oct 1	 	15
3010	New obligations, unexpired accounts	1.451	1.455
3020	Outlays (gross)	-1.454	-1.455
3031	Unpaid obligations transferred from other accts	 2,101	1,100
5051	[027–5183]	18	
	[027-5105]	 10	
3050	Unpaid obligations, end of year	 15	15
	Memorandum (non-add) entries:		
3100	Obligated balance, start of year	 	15
3200	Obligated balance, end of year	 15	15
	Budget authority and outlays, net: Mandatory:		
4090	Budget authority, gross Outlays, gross:	 1,424	1,457
4100	Outlays from new mandatory authority	 1,141	1,173
4101	Outlays from mandatory balances	 313	282
	····,· · · · · · , · · · · · · · · · ·	 	
4110	Outlays, gross (total)	 1,454	1,455
4180	Budget authority, net (total)	 1,424	1,457
4190	Outlays, net (total)	1.454	1.455
	····, ·· · · · · · · · · · · · · · · ·	 -,	-,

As part of the Americans with Disabilities Act of 1990 Congress amended the Communications Act of 1934 to direct the Federal Communications Commission "to ensure that interstate and intrastate telecommunications relay services (TRS) are available, to the extent possible and in the most efficient manner, to hearing and speech-impaired individuals in the United States." Section 225 of the Communications Act also directs the Commission to prescribe regulations that "generally provide that costs caused by interstate telecommunications relay services shall be recovered from all subscribers for every interstate service and costs caused by intrastate telecommunications relay service shall be recovered from the intrastate jurisdiction." The shared-funding mechanism requires providers of interstate telecommunications services to contribute to a fund that reimburses TRS providers for the cost of providing interstate TRS. All telecommunications service providers and certain other providers of telecommunications contribute to the TRS Fund based on a percentage of their end-user telecommunications revenues. These companies include, but are not limited to, wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers.

### SPECTRUM AUCTION PROGRAM ACCOUNT

#### Program and Financing (in millions of dollars)

Identif	ication code 027-0300-0-1-376	2019 actual	2020 est.	2021 est.
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	3	3
1930	Total budgetary resources available Memorandum (non-add) entries:	3	3	3
1941	Unexpired unobligated balance, end of year	3	3	3
4180 4190	Budget authority, net (total) Outlays, net (total)			

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

### SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

### Balance Sheet (in millions of dollars)

Identifi	ication code 027-4133-0-3-376	2018 actual	2019 actual
ŀ	ASSETS:		
1101	Federal assets: Fund balances with Treasury	3	3
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross		
1402	Interest receivable		
1405	Allowance for subsidy cost (-)		
1499	Net present value of assets related to direct loans		
1999	Total assets	3	3
l	LIABILITIES:		
2105	Federal liabilities: Other	3	3
4999	Total liabilities and net position	3	3

#### TV BROADCASTER RELOCATION FUND

### Program and Financing (in millions of dollars)

Identif	ication code 027-5610-0-2-376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	TV Broadcaster Relocation	125	882	
0900	Total new obligations, unexpired accounts (object class 41.0)	125	882	
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	607	917	3
1000	Recoveries of prior year unpaid obligations	35	517	J.
1050	Unobligated balance (total)	642	917	3
	Budget authority: Appropriations, mandatory:			
1200	Appropriations, mandatory: Appropriation	400		
1900	Budget authority (total)	400		
1930	Total budgetary resources available	1,042	917	3
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	917	35	3
	Observes in a billion to discharge			
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,527	1,037	659
3010	New obligations, unexpired accounts	125	882	
3020	Outlays (gross)	-580	-1,260	-56
3040	Recoveries of prior year unpaid obligations, unexpired	-35		
3050	Unpaid obligations, end of year	1.037	659	92
0000	Memorandum (non-add) entries:	1,007	000	5.
3100	Obligated balance, start of year	1,527	1,037	659
3200	Obligated balance, end of year	1,037	659	92
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	400		
	Outlays, gross:	100		
4100	Outlays from new mandatory authority	19		
4101	Outlays from mandatory balances	561	1,260	56
4110	Outlays, gross (total)	580	1.260	56
4180	Budget authority, net (total)	400	1,200	
4190	Outlays, net (total)	580	1.260	567

#### SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned commercial spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2021 and total \$4.0 billion through 2030.

### GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2019 actual	2020 est.	2021 est.
Offsetting recei	pts from the public:			
027-089600	Spectrum License User Fees: Legislative proposal, subject to PAYGO			50
027-242900	Fees for Services	23	23	23
027-247400	Auction Receipts	1	2,510	18,404
027-247400	Auction Receipts: Legislative proposal, subject to			
	PAYGO			178
027–322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1	3	3
General Fund O	ffsetting receipts from the public	25	2,536	18,658

The Budget includes \$18.0 billion in estimated receipts from the anticipated public auction of 280 MHz of C-Band spectrum.

### Administrative Provisions

SEC. 510. Section 302 of the Universal Service Antideficiency Temporary Suspension Act is amended by striking "December 31, [2019] 2020" each place it appears and inserting "December 31, [2020] 2022".

SEC. 511. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change its rules or regulations for universal service support payments to implement the February 27, 2004, recommendations of the Federal-State Joint Board on Universal Service regarding single connection or primary line restrictions on universal service support payments. *(Financial Services and General Government Appropriations Act, 2020.)* 

### FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the FDIC to use the least costly method to resolve failed banks and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. To protect depositors, the FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 or FIRREA (P.L. 101–73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006.

# DEPOSIT INSURANCE

### Federal Funds

DEPOSIT INSURANCE FUND

Identif	ication code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0002	Insurance	296	341	350
0003	Supervision	984	971	998
0004	Receivership Management	241	287	295
0005	General and Administrative	172	255	262
0091	Total operating expenses	1,693	1,854	1,905
0101	Resolution Outlays	206	340	6,741
0900	Total new obligations, unexpired accounts	1,899	2,194	8,646
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	98,689	104,593	111,147
	Budget authority:			
1710	Spending authority from offsetting collections, discretionary:			
1710	Spending authority from offsetting collections transferred			40
	to other accounts [051–4595] Spending authority from offsetting collections, mandatory:			-43
1800	Collected	9.387	8.604	14.971
1801	Conected Change in uncollected payments. Federal sources	9,387 -1.547	0,004 187	14,971
1810	Spending authority from offsetting collections transferred	-1,547	107	12
1010	to other accounts [051–4595]	-37	-43	
1850	Spending auth from offsetting collections, mand (total)	7,803	8,748	15,043
1900	Budget authority (total)	7,803	8,748	15,000
1930	Total budgetary resources available Memorandum (non-add) entries:	106,492	113,341	126,147

### DEPOSIT INSURANCE FUND-Continued Program and Financing—Continued

ldentif			2020 est.	2021 est.
	Change in obligated balance:	bbligated balance:           bligations:           d obligations, brought forward, Oct 1           a obligations, unexpired accounts           1.899           s (gross)           -1,891           -2,194           bligations, end of year           acted payments:           ected pymts, Fed sources, brought forward, Oct 1           eted pymts, Fed sources, brought forward, Oct 1           ted payments:           ected pymts, Fed sources, brought forward, Oct 1           ted pymts, Fed sources, end of year           -1,683           -1,870           dum (non-add) entries:           ted balance, start of year           -3,108           -1,553           -1,740           hority and outlays, net:           nary:           t authority, gross           's, gross:           lays from new discretionary authority           ry:           t authority, gross           's, gross:           lays from mandatory balances           lays from mandatory balances           s against gross budget authority and outlays:		
3000	Unpaid obligations:	100	120	100
3000				130 8,646
3020				-8,603
3050	Unpaid obligations, end of year	130	130	173
2000	Uncollected payments:	2 0 2 0	1 000	1.07/
3060 3070				-1,870 -72
3070	change in unconected pyints, red sources, unexpired	1,547	-107	-12
3090	Uncollected pymts, Fed sources, end of year	-1,683	-1,870	-1,942
	Memorandum (non-add) entries:			
3100				-1,740
3200	Ubligated balance, end of year	-1,553	-1,/40	-1,769
	Deduct with with and without with			
	Budget authority and outlays, net: Discretionary:			
4000				-43
	Outlays, gross:			
4010	Outlays from new discretionary authority			-43
	Mandatory:			
4090		7,803	8,748	15,043
4101	Outlays, gross:	1 001	0.104	0.04
4101		1,891	2,194	8,640
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	-1.298	-2.492	-2,343
4123	Non-Federal sources	-8,089	-6,112	-12,628
4130	Offsets against gross budget authority and outlays (total)	-9,387	-8,604	-14,971
	Additional offsets against gross budget authority only:			-
4140	Change in uncollected pymts, Fed sources, unexpired	1,547		
4160	Budget authority, net (mandatory)	-37	-43	
4170	Outlays, net (mandatory)	-7,496	-6,410	-6,32
4180	Budget authority, net (total)	-37	-43	-43
4190	Outlays, net (total)	-7,496	-6,410	-6,36
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value	96,431	104.015	110,66
5000	Total investments, SOF: Federal securities: Par value	96,431 104,015	104,015	110,66

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new DIF on March 31, 2006. Through the DIF, the FDIC resolves and recovers funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, defined as a designated percentage of estimated insured deposits set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF balance to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect on small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent; and 4) permanently increased the insured deposit level to \$250,000 at banks insured by the FDIC. The FDIC Board has issued a final rule setting a longterm (greater than 10 years) reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

As of September 30, 2019, the DIF balance stood at \$108.9 billion on an accrual basis, measuring expected losses to current balances. This level is

equivalent to a reserve ratio of 1.41 percent. The growth in the DIF balance reflects projections of bank failures in line with historical experience and assessment revenue required to increase the reserve ratio over time.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2016.) The DIF reserve ratio reached the statutorily required level of 1.35 percent by September 30, 2018, in accordance with FDIC regulation.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

### **Object Classification** (in millions of dollars)

Identi	fication code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	869	919	944
12.1	Civilian personnel benefits	303	331	340
21.0	Travel and transportation of persons	86	92	94
23.2	Rental payments to others	41	41	46
23.2	Long Term Lease Obligations		4	
23.3	Communications, utilities, and miscellaneous charges	21	25	26
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	335	387	398
26.0	Supplies and materials	4	7	7
31.0	Equipment	24	34	35
32.0	Land and structures	9	14	15
42.0	Resolution Outlays	206	340	6,740
99.0	Direct obligations	1,899	2,195	8,646
99.5	Adjustment for rounding		-1	
99.9	Total new obligations, unexpired accounts	1,899	2,194	8,646

### **Employment Summary**

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5,775	5,755	5,755

### FSLIC RESOLUTION

#### Federal Funds

### FSLIC RESOLUTION FUND

Identif	ication code 051-4065-0-3-373	2019 actual	2020 est.	2021 est.
0801 0803	Obligations by program activity: Transfer to RefCorp Receivership management		20	
0804	General administrative		1	1
0809	Reimbursable program activities, subtotal	1	21	1
0900	Total new obligations, unexpired accounts	1	21	1
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:	894	916	920
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections	23	25	23
1900	Budget authority (total)	23	25	23
1930	Total budgetary resources available Memorandum (non-add) entries:	917	941	943
1941	Unexpired unobligated balance, end of year	916	920	942
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1			20
3010	New obligations, unexpired accounts	1	21	1
3020	Outlays (gross)			
3050	Unpaid obligations, end of year Memorandum (non-add) entries:		20	20
3100	Obligated balance, start of year			20
3200	Obligated balance, end of year		20	20

#### Budget authority and outlays, net:

	Mandatory:			
4090	Budget authority, gross	23	25	23
	Outlays, gross:			
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1	·····	·····
4110	Outlays, gross (total)	1	1	1
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	-20	-21	-21
4123	Non-Federal sources	-3	-4	-2
4100	Official control control and a the first of the state of the state of			
4130	Offsets against gross budget authority and outlays (total)	-23	-25	-23
4170	Outlays, net (mandatory)	-22	-24	-22
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-22	-24	-22
5000	Memorandum (non-add) entries:	852	872	876
	Total investments, SOY: Federal securities: Par value		÷· =	•••
5001	Total investments, EOY: Federal securities: Par value	872	876	898

The FSLIC Resolution Fund (FRF) is the ultimate successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: 1) income earned on its assets; 2) liquidation proceeds from receiverships; 3) the proceeds of the sale of bonds by the Financing Corporation; and 4) a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act or FIRREA (P.L. 101–73) authorizes appropriations to make up for any shortfall. Currently, the FRF consists of two distinct pools of assets and liabilities. One is composed of the assets and liabilities of the FRF (FRF-FSLIC) and the other is composed of the RTC assets and liabilities (FRF-RTC). The assets of one pool are not available to satisfy obligations of the other. The FRF will continue operations until all of its assets are sold or otherwise liquidated and all its liabilities are satisfied. Any funds remaining in the FRF-FSLIC will be paid to the U.S. Treasury. Any remaining funds of the FRF-RTC will be distributed to the Resolution Funding Corporation to pay interest on its bonds.

**Object Classification** (in millions of dollars)

Identifi	cation code 051-4065-0-3-373	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
42.0	Transfer to RefCorp		20	<u></u>
99.9	Total new obligations, unexpired accounts	1	21	1

### **Employment Summary**

Identification code 051-4065-0-3-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1	1	1

### ORDERLY LIQUIDATION

Federal Funds

ORDERLY LIQUIDATION FUND

### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 051-5586-0-2-373	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:			
1110	Risk-Based Assessments, Orderly Liquidation Fund		35	578
2000	Total: Balances and receipts		35	578

	Appropriations:			
2101	Current law: Orderly Liquidation Fund		-35	-578
2101			-30	-376
5099	Balance, end of year			
	Program and Financing (in millions o	f dollars)		
Identif	fication code 051-5586-0-2-373	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Orderly Liquidation		1,731	4,15
0002	Administrative Expenses		2 14	58
0003	Interest to Treasury		14	
0900	Total new obligations, unexpired accounts		1,747	4,22
	Budgetary resources:			
	Budget authority:			
1201	Appropriations, mandatory:		35	57
1201	Appropriation (special or trust fund) Borrowing authority, mandatory:		20	57
1400	Borrowing authority		1,712	3.64
1900	Budget authority (total)		1,747	4.22
			1,747	4,22
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts		1,747	4,22
3020	Outlays (gross)		-1,747	-4,22
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross		1,747	4,22
	Outlays, gross:			
4100	Outlays from new mandatory authority		1,747	4,22
4180	Budget authority, net (total)		1,747	4,22
4190	Outlays, net (total)		1,747	4,22
5000	Memorandum (non-add) entries:			1 70
5080 5081	Outstanding debt, SOY		1 721	-1,73 -5,82
5081			-1,731 -1,731	-5,820
JU02	DOLLOWING		-1,/31	-4,09

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) established an Orderly Liquidation Authority (OLA) permitting the appointment of the FDIC as receiver of financial companies whose failure and resolution under otherwise applicable Federal or State law is determined to have serious adverse effects on financial stability in the United States. The Federal Reserve Board and the FDIC, the Securities and Exchange Commission (for brokers or dealers) or the Federal Insurance Office (for insurance companies) must recommend in writing that the Secretary of the Treasury appoint the FDIC as the company's receiver.

The Secretary of the Treasury must then, in consultation with the President, determine whether seven criteria authorizing the appointment of the FDIC as receiver for the failing financial company have been satisfied, including finding that resolution under otherwise applicable law would have serious adverse effects on financial stability in the United States.

### Object Classification (in millions of dollars)

Identi	dentification code 051-5586-0-2-373 2019 actual		2020 est.	2021 est.
	Direct obligations:			
43.0	Admin		2	4
43.0	Interest and Dividends		14	58
43.0	Orderly Liquidation		1,731	4,159
99.9	Total new obligations, unexpired accounts		1,747	4,221

### FDIC—Office of Inspector General

#### Federal Funds

### OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$42,982,000, to be derived from

### OFFICE OF THE INSPECTOR GENERAL-Continued

the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

ldentif	ication code 051-4595-0-4-373	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: Office of the Inspector General (Reimbursable)	37	43	43
	Budgetary resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1711	Transferred from other accounts [051–4596]	37	43	43
1930	Total budgetary resources available	37	43	43
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	37	43	43
3020	Outlays (gross)	-37	-43	-43
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	37	43	43
4010	Outlays from new discretionary authority	37	43	43
4180	Budget authority, net (total)	37	43	43
4190	Outlays, net (total)	37	43	43

The FDIC's Office of Inspector General (FDIC OIG) is an independent unit within the FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board pursuant to the Inspector General Act amendments of 1988 (P.L. 100-504). The Resolution Trust Corporation Completion Act (P.L. 103-204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added the FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code, thereby safeguarding FDIC OIG's independence. Assessments paid to the Deposit Insurance Fund (DIF) by insured financial institutions, and administered by the FDIC, fully fund FDIC OIG's appropriation. To the extent that FDIC OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work is derived from the FRF.

**Object Classification** (in millions of dollars)

Identif	ication code 051-4595-0-4-373	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	21	25	24
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	22	26	25
12.1	Civilian personnel benefits	10	12	11
21.0	Travel and transportation of persons	1	1	1
25.2	Other services from non-Federal sources	3	3	4
31.0	Equipment	1	1	2
99.9	Total new obligations, unexpired accounts	37	43	43

### **Employment Summary**

Identification code 051-4595-0-4-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	126	142	142

### FEDERAL DRUG CONTROL PROGRAMS

### Federal Funds

### HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM

[(INCLUDING TRANSFERS OF FUNDS)]

[For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$285,000,000, to remain available until September 30, 2021, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: Provided, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to \$2,700,000 may be used for auditing services and associated activities: Provided further, That any unexpended funds obligated prior to fiscal year 2018 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements: Provided further, That each HIDTA designated as of September 30, 2019, shall be funded at not less than the fiscal year 2019 base level, unless the Director submits to the Committees on Appropriations of the House of Representatives and the Senate justification for changes to those levels based on clearly articulated priorities and published Office of National Drug Control Policy performance measures of effectiveness: Provided further, That the Director shall notify the Committees on Appropriations of the initial allocation of fiscal year 2020 funding among HIDTAs not later than 45 days after enactment of this Act, and shall notify the Committees of planned uses of discretionary HIDTA funding, as determined in consultation with the HIDTA Directors, not later than 90 days after enactment of this Act: Provided further, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein and upon notification to the Committees on Appropriations of the House of Representatives and the Senate, such amounts may be transferred back to this appropriation. (Executive Office of the President Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Identif	fication code 011–1070–0–1–754	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0002	Grants and federal transfers	286	282	
0003	Auditing services and activities	3	3	
0900	Total new obligations, unexpired accounts	289	285	
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	44	14	14
1010	Unobligated balance transfer to other accts [015–0200]	-1		
1021	Recoveries of prior year unpaid obligations	2	·····	
1050	Unobligated balance (total) Budget authority:	45	14	14
	Appropriations, discretionary:			
1100	New budget authority (gross), detail	280	285	
1120	Appropriations transferred to other accts [070–0540]	-2		
1120	Appropriations transferred to other accts [015–1100]	-16		
1120	Appropriations transferred to other accts [015–0200]	-2		
1120	Appropriations transferred to other accts [015–0322]	-1		
1120	Appropriations transferred to other accts [015–0324]		·····	
1160	Appropriation, discretionary (total)	258	285	
1930	Total budgetary resources available Memorandum (non-add) entries:	303	299	14
1941	Unexpired unobligated balance, end of year	14	14	14
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	240	281	228
3010	New obligations, unexpired accounts	289	285	
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-245	-338	-17
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3041	Recoveries of prior year unpaid obligations, expired	2	<u> </u>	
3050	Unpaid obligations, end of year	281	228	57
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	240	281	228
3200	Obligated balance, end of year	281	228	57

4000 Budget authority, gross ...... 258 285 .....

#### OTHER INDEPENDENT AGENCIES

4010	Outlays, gross: Outlays from new discretionary authority	33	71		
4011	Outlays from discretionary balances	212	267	171	
4020	Outlays, gross (total)	245	338	171	
4180	Budget authority, net (total)	258	285		
4190	Outlays, net (total)	245	338	171	

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988 to provide assistance to Federal, State, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

For 2021, the Budget proposes to transfer the HIDTA program from the Office of National Drug Control Policy (ONDCP) to the Department of Justice. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Object Classification (in millions of dollars)

Identif	ication code 011–1070–0–1–754	2019 actual	2020 est.	2021 est.
	Direct obligations:			
25.2	Auditing services and activities	3	3	
41.0	Grants and federal transfers	286	282	
99.9	Total new obligations, unexpired accounts	289	285	

#### OTHER FEDERAL DRUG CONTROL PROGRAMS

#### (INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the [National Narcotics Leadership] Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 1998, as amended [through Public Law 115-271, \$121,715,000], \$12,432,000, to remain available until expended, [which shall be available as follows: \$101,250,000 for the Drug-Free Communities Program, of which \$2,500,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by section 8204 of Public Law 115-271; \$2,500,000 for drug court training and technical assistance; \$10,000,000] for anti-doping activities[; \$2,715,000 for], to include the United States membership dues to the World Anti-Doping Agency [; \$1,250,000 for the Model Acts Program; and \$4,000,000 for activities authorized by section 103 of Public Law 114-198]: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities. (Executive Office of the President Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identii	fication code 011–1460–0–1–802	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0002	Drug-Free Communities Program	73	101	
0003	Drug Court Training & Technical Assistance		3	
0006	Anti-Doping Activities	9	10	
)007	Section 103 of Public Law 114–198	3	4	
8000	Section 1105 of Public Law 109–469/Model Acts Program	3	1	
)009	World Anti-Doping Agency Dues	3	3	
0010	Anti-Doping Activities (to include WADA)			12
900	Total new obligations, unexpired accounts	91	122	12
	Budgetary resources:			
000	Unobligated balance:	10	10	
021	Unobligated balance brought forward, Oct 1	16	46	46
021	Recoveries of prior year unpaid obligations	3		
050	Unobligated balance (total)	19	46	46
	Budget authority:			
	Appropriations, discretionary:			
100	New budget authority (gross), detail	118	122	12
900	Budget authority (total)	118	122	12
930	Total budgetary resources available	137	168	58
	Memorandum (non-add) entries:			
		46	46	46

3010	New obligations, unexpired accounts	91	122	12
3020	Outlays (gross)	-87	-131	-23
3040	Recoveries of prior year unpaid obligations, unexpired	3	<u> </u>	<u> </u>
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	22	13	2
3100	Obligated balance, start of year	21	22	13
3200	Obligated balance, end of year	22	13	2
4000	Budget authority and outlays, net: Discretionary: Budget authority, gross	118	122	12
	Outlays, gross:			
4010	Outlays from new discretionary authority	72	110	11
4011	Outlays from discretionary balances	15	21	12
4020	Outlays, gross (total)	87	131	23
4180	Budget authority, net (total)	118	122	12
4190	Outlays, net (total)	87	131	23

This account is for other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271. The funds appropriated support high-priority drug control programs and may be transferred to drug control agencies.

For 2021, the Budget proposes to transfer the Drug-Free Communities Support Program (DFC) from ONDCP to the Department of Health and Human Services. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Anti-Doping Efforts, to include World Anti-Doping Agency (WADA) Dues.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States. WADA was established in 1999 as an international independent agency composed and funded equally by the sports movement and governments of the world. Its key activities include scientific research, education, development of anti-doping capacities, and monitoring of the World Anti-Doping Code-the document harmonizing anti-doping policies in all sports and all countries. ONDCP represents the United States before the agency and is responsible for the payment of U.S. dues.

For 2021, ONDCP is proposing to combine grant funding supporting domestic anti-doping activities and WADA dues payments into a single "Anti-Doping Activities" program account. This approach will enable the United States Government to strategically allocate financial resources for these activities to best promote drug-free sport and protect the health of athletes. Consolidating this funding will enable a more rigorous review process for any proposed increases in WADA dues amounts. This will ensure that WADA operates with increased transparency and utilizes models of good governance. The United States will continue to support only those dues increases that are linked to budgets that are focused on core antidoping requirements, fiscally necessary, and equitable among WADA's stakeholders.

### **Object Classification** (in millions of dollars)

Identifi	cation code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
	Direct obligations:			
25.2	Other services from non-Federal sources	3	3	3
25.3	Other goods and services from Federal sources	8	8	
41.0	Grants, subsidies, and contributions	12	16	9
94.0	Financial transfers	68	95	
99.9	Total new obligations, unexpired accounts	91	122	12
	Employment Summary			
Identifi	cation code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1	2	2

3000	Unpaid obligations, brought forward, Oct 1	21	22	13

Federal Drug Control Programs—Continued Federal Funds—Continued 1271

### FEDERAL ELECTION COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, [\$71,497,000] \$73,328,787, of which not to exceed \$5,000 shall be available for reception and representation expenses. *(Financial Services and General Government Appropriations Act, 2020.)* 

#### Program and Financing (in millions of dollars)

ldentif	ication code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Federal Election Commission	68	71	73
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	71	71	73
1930	Total budgetary resources available	71	71	73
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-3		
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	16	19	Ę
3010	New obligations, unexpired accounts	68	71	73
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-65	-85	-72
3041	Recoveries of prior year unpaid obligations, expired		·····	
3050	Unpaid obligations, end of year	19	5	(
	Memorandum (non-add) entries:		-	
3100	Obligated balance, start of year	16	19	(
3200	Obligated balance, end of year	19	5	6
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	71	71	73
1010	Outlays from new discretionary authority	52	65	66
4011	Outlays from discretionary balances	13	20	
4020	Outlays, gross (total)	65	85	72
4180	Budget authority, net (total)	71	71	73
4190	Outlays, net (total)	65	85	72

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance about the Act and Commission regulations to the public, media, political committees, and election officials. The Commission is also responsible for enforcing the Act through audits, investigations, and civil litigation, and for developing the law by administering and interpreting the Act, the Presidential Election Campaign Fund Act, and the Presidential Primary Matching Payment Account Act.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress.

### **Object Classification** (in millions of dollars)

Identif	ication code 360–1600–0–1–808	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	35	38	38
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	36	39	39
12.1	Civilian personnel benefits	11	12	13
23.1	Rental payments to GSA	1	4	5
23.3	Communications, utilities, and miscellaneous charges		1	1
25.2	Other services from non-Federal sources	12	10	9
25.3	Other goods and services from Federal sources	3	2	2
26.0	Supplies and materials	1	1	1
31.0	Equipment	4	2	3
99.9	Total new obligations, unexpired accounts	68	71	73

### Employment Summary

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	304	328	328

### FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL

#### Federal Funds

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 362-5547-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year Receipts: Current law:			
1110 Assessments, Federal Financial Instutions Examination Cou Activities		16	16
2000 Total: Balances and receipts Appropriations: Current law:	16	16	16
2101 Federal Financial Institutions Examination Cour Activities	ncil —16	-16	-16
5099 Balance, end of year			

#### Program and Financing (in millions of dollars)

Identi	ication code 362–5547–0–2–376	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: FFIEC Activities	16	16	16
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	16	16	16
1900	Budget authority (total)	16	16	16
1930	Total budgetary resources available	16	16	16
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	16	16	16
3020	Outlays (gross)	-16	-16	-16
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	16	16	16
4100	Outlays from new mandatory authority	16	16	16
4180	Budget authority, net (total)	16	16	16
4190	Outlays, net (total)	16	16	16

The Federal Financial Institutions Examination Council (the Council) was established in 1979 pursuant to the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95–630). In 1989, pursuant to the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) (P.L. 101–73), the Appraisal Subcommittee (ASC) was established within the Council. The Council has limited specified responsibilities regarding the ASC.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions; to make recommendations to promote uniformity in the supervision of financial institutions; and to conduct examiner training. Council members include a member of the Board of Governors of the Federal Reserve System, the Chairman of the Federal Deposit Insurance Corporation, the Chairman of the National Credit Union Administration, the Comptroller of the Currency, the Director of the Consumer Financial Protection Bureau, and the Chairman of the State Liaison Committee, which is made up of five representatives from state regulatory agencies that supervise financial institutions.

2101

5099

Registry Fees

Balance, end of year

In addition to its responsibilities under FIRA and FIRREA, the Council was given responsibilities by the Housing and Community Development Act of 1980 (P.L. 96–399) and the Economic Growth and Regulatory Paperwork Reduction Act of 1996 (P.L. 104–208).

The Budget estimates the Council will spend approximately \$16 million during 2021 from resources provided by its Federal members and other fees and reimbursements.

Object Classification (in millions of dollars)

Identifi	cation code 362-5547-0-2-376	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.8	Personnel compensation: Special personal services			
	payments	3	3	3
25.1	Advisory and assistance services	13	13	13
99.9	Total new obligations, unexpired accounts	16	16	16

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL

### SUBCOMMITTEE Federal Funds

REGISTRY FEES

# Special and Trust Fund Receipts (in millions of dollars)

#### Identification code 362-5026-0-2-376 2019 actual 2020 est. 2021 est. 0100 Balance, start of year . 5 5 7 Receipts: Current law Registry Fees, Appraisal Subcommittee, Federal Institution 1110 **Examination Council** 3 6 6 1110 Incremental Registry Fees (Dodd-Frank Act) Appraisal Subcommittee 1 1 1 1199 Total current law receipts 4 7 7 7 7 1999 Total receipts . 4 2000 Total: Balances and receipts 9 12 14 Appropriations Current law:

### Program and Financing (in millions of dollars)

-3

11

-5

7

5

Identif	ication code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Administrative expenses	4	3	3
0002	Grants, subsidies and contributions		1	1
0900	Total new obligations, unexpired accounts	4	4	4
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	3	4	5
1000		1	4	0
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	4	4	5
1000	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	4	5	3
1930	Total budgetary resources available	8	9	8
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	5	4
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	4	4	4
3020	Outlays (gross)	-3	-4	-3
3040	Recoveries of prior year unpaid obligations, unexpired			
3050	Unpaid obligations, end of year	1	1	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	2

#### Budget authority and outlays, net:

	Mandatory:			
4090	Budget authority, gross	4	5	3
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	3	2
4101	Outlays from mandatory balances		1	1
4110	Outlays, gross (total)	3	4	3
4180	Budget authority, net (total)	4	5	3
4190	Outlays, net (total)	3	4	3

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC). The ASC is composed of representatives of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the National Credit Union Administration, the Office of the Comptroller of the Currency, the Department of Housing and Urban Development, the Consumer Financial Protection Bureau, and the Federal Housing Finance Agency.

The ASC is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the states. Its responsibilities include: 1) monitoring the requirements established by the states for the certification and licensing of appraisers and the registration and supervision of the operations and activities of appraisal management companies; 2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally-related transactions under their jurisdiction; 3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; 4) maintaining the National Registry of licensed and certified appraisers and appraisal management companies; 5) transmitting an annual report to Congress no later than June 15 of each year; and 6) making grants to the Appraisal Foundation and state appraiser certifying and licensing agencies.

The ASC's activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury in 1998. The ASC is now operating on fee income from 1) appraisal management companies and 2) state-licensed and state-certified real estate appraisers in the National Registry. The Budget projects that the ASC will spend approximately \$4 million in 2021.

### Object Classification (in millions of dollars)

Identif	ication code 362-5026-0-2-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
41.0	Grants, subsidies, and contributions	1	1	1
99.0	Direct obligations	3	3	3
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	4	4	4

### **Employment Summary**

Identification code 362-5026-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	14	14

### FEDERAL HOUSING FINANCE AGENCY

### Federal Funds

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

#### Special and Trust Fund Receipts (in millions of dollars)

Identification code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1

### FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES-Continued Special and Trust Fund Receipts-Continued

dentif	cation code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
	Receipts:			
110	Current law:	274	20.2	202
1110 1140	FHFA, Fees on GSEs for Administrative Expenses Interest Earnings on Investments In Treasury Securities,		292	303
	FHFA	3	3	3
199	Total current law receipts	277	295	306
1999	Total receipts	277	295	306
2000	Total: Balances and receipts Appropriations: Current law:	278	296	307
2101	Federal Housing Finance Agency, Administrative Expenses	277	295	306
5099	Balance, end of year	1	1	1
	Program and Financing (in millions	of dollars)		
Jentifi	cation code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Federal Housing Finance Agency, Administrative Expenses (Direct)	274	295	306
)801	Federal Housing Finance Agency, Administrative Expenses (Reimbursable)	1	5	Ę
900	Total new obligations, unexpired accounts	275	300	311
	Budgetary resources: Unobligated balance:			
1000 1021	Unobligated balance brought forward, Oct 1 Recoveries of prior year unpaid obligations	19 8	30	30
.050	Unobligated balance (total) Budget authority:	27	30	30
201	Appropriations, mandatory: Appropriation (special or trust fund) Spending authority from offsetting collections, mandatory:	277	295	306
800	Collected	1	5	211
1900 1930	Budget authority (total) Total budgetary resources available	278 305	300 330	311 341
	Memorandum (non-add) entries:	20	20	20
1941	Unexpired unobligated balance, end of year	30	30	30
	Change in obligated balance:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	39	44	36
3010	New obligations, unexpired accounts	275	300	311
020	Outlays (gross)	-262	-308	-312
8040	Recoveries of prior year unpaid obligations, unexpired	8	<u> </u>	
050	Unpaid obligations, end of year Memorandum (non-add) entries:	44	36	35
3100	Obligated balance, start of year	39	44	36
200	Obligated balance, end of year	44	36	35
	Budget authority and outlays, net: Mandatory:			
090	Budget authority, gross Outlays, gross:	278	300	311
100	Outlays from new mandatory authority Outlays from mandatory balances	235 27	271 37	281 31
1110	Outlays, gross (total)	262	308	312
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	202	300	512
120	Federal sources	-1	-5	-{
	Budget authority, net (total) Outlays, net (total)	277 261	295 303	306 307
	M			
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	56	71	75

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the eleven Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L.

### Object Classification (in millions of dollars)

Identifi	ication code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	107	115	118
11.3	Other than full-time permanent	2		
11.5	Other personnel compensation	4	·····	<u></u>
11.9	Total personnel compensation	113	115	118
12.1	Civilian personnel benefits	41	43	44
21.0	Travel and transportation of persons	3	4	4
23.2	Rental payments to others	15	16	17
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	39	51	53
25.3	Other goods and services from Federal sources	4	4	4
25.7	Operation and maintenance of equipment	2	2	2
26.0	Supplies and materials	3	3	3
31.0	Equipment	6	6	6
32.0	Land and structures		2	2
94.0	Financial transfers	45	46	50
99.0	Direct obligations	274	295	306
99.0	Reimbursable obligations	1	5	5
99.9	Total new obligations, unexpired accounts	275	300	311

### **Employment Summary**

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	586	675	675

### OFFICE OF INSPECTOR GENERAL

Identif	ication code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: Office of Inspector General Reimbursable	46	50	50
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	5	4	
	Spending authority from offsetting collections, mandatory:			
1800	Collected	45	46	50
1930	Total budgetary resources available Memorandum (non-add) entries:	50	50	50
1941	Unexpired unobligated balance, end of year	4		
	Observe to a blanch discharge			
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	9	9	12
3010	New obligations, unexpired accounts	46	50	50
3020	Outlays (gross)	-46	-47	-50
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	9	12	12
3100	Obligated balance, start of year	9	9	12
3200	Obligated balance, end of year	9	12	12
	Budget authority and outlays, net: Mandatory:			
4090	Manuatory: Budget authority, gross Outlays, gross:	45	46	50
4100	Outlays from new mandatory authority	38	39	42
4101	Outlays from mandatory balances	8	8	8
4110	Outlays, gross (total) Offsets against gross budget authority and outlays:	46	47	50
4120 4180	Offsetting collections (collected) from: Federal sources Budget authority, net (total)	-45	-46	-50
4190	<b>o</b>	1	1	

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is funded through FHFA's direct assessments on the housing GSEs.

### **Object Classification** (in millions of dollars)

Identifi	cation code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	21	21	21
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	22	22	22
12.1	Civilian personnel benefits	9	10	10
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	2	2
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.1	Advisory and assistance services	3	5	5
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	6	6	6
26.0	Supplies and materials		1	1
31.0	Equipment	2	1	1
99.9	Total new obligations, unexpired accounts	46	50	50

 Employment Summary

 Identification code 537–5564–0–2–371
 2019 actual
 2020 est.
 2021 est.

 2001
 Reimbursable civilian full-time equivalent employment ......
 121
 155
 155

### FEDERAL LABOR RELATIONS AUTHORITY

#### Federal Funds

### SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, [\$24,890,000] *\$28,395,000: Provided*, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. *(Financial Services and General Government Appropriations Act, 2020.)* 

Program and Financing (in millions of dollars)

ldentif	ication code 054–0100–0–1–805	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Authority	16	14	16
0002	Office of the General Counsel	9	10	11
0003	Federal Service Impasses Panel	1	1	1
0900 Total new obligations, unexpired accounts		26	25	28
	Budgetary resources: Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	26	25	28
1930	Total budgetary resources available	26	25	28
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	6	ţ
3010	New obligations, unexpired accounts	26	25	28

3020	Outlays (gross)	-24	-26	-28
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	6	5	5
3100	Obligated balance, start of year	4	6	5
3200	Obligated balance, end of year	6	5	5
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	26	25	28
4010	Outlays from new discretionary authority	22	22	25
4011	Outlays from discretionary balances	2	4	3
4020	Outlays, gross (total)	24	26	28
4180	Budget authority, net (total)	26	25	28
4190	Outlays, net (total)	24	26	28

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: 1) determining the appropriateness of units for labor organization representation; 2) resolving complaints of unfair labor practices; 3) adjudicating exceptions to arbitrators' awards; 4) adjudicating legal issues relating to duty to bargain; and 5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a threemember Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide, FLRA includes five Regional Offices and a Headquarters site in Washington, D.C.

*Authority.*—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

*Office of the General Counsel.*—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

*Federal Service Impasses Panel.*—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

### Object Classification (in millions of dollars)

Identifie	cation code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time			
	permanent	13	13	15
11.9	Total personnel compensation	13	13	15
12.1	Civilian personnel benefits	5	5	5
23.1	Rental payments to GSA	3	2	3
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	2	1	1
25.3	Other goods and services from Federal sources	2	3	3
99.0	Direct obligations	26	25	28
99.9	Total new obligations, unexpired accounts	26	25	28

#### **Employment Summary**

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	99	109	119

### FEDERAL MARITIME COMMISSION

### Federal Funds

### SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901–5902, [\$28,000,000] *\$28,900,000*: *Provided*, That not to exceed [\$2,000] *\$5,000* shall be available for official reception and representation expenses. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

#### Program and Financing (in millions of dollars)

Identif	ication code 065-0100-0-1-403	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0002	Inspector General			1
0003	Operational and Administrative	27	28	28
0900	Total new obligations, unexpired accounts	27	28	29
	Budgetary resources: Budget authority:			
	Appropriations, discretionary:			
1100	Appropriations, discretionary.	27	28	29
1930	Total budgetary resources available	27	28	29
			-	-
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1	5	4	4
3010	New obligations, unexpired accounts	27	28	29
3020	Outlays (gross)	-27	-28	-29
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	4	4	
0000	Memorandum (non-add) entries:	-	-	-
3100	Obligated balance, start of year	5	4	4
3200	Obligated balance, end of year	4	4	4
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	27	28	29
	Outlays, gross:			
4010	Outlays from new discretionary authority	23	24	25
4011	Outlays from discretionary balances	4	4	4
4020	Outlays, gross (total)	27	28	29
4180	Budget authority, net (total)	27	28	29
4190	Outlays, net (total)	27	28	29

The Federal Maritime Commission (FMC or Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 (1984 Act) as amended; section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); Sections 2 and 3 of Public Law 89–777; and Section 834 of the Frank LoBiondo Coast Guard Authorization Act of 2018 (LoBiondo Act). The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports, and ocean transportation intermediaries who operate in U.S. foreign commerce to ensure that they maintain just and reasonable practices.

*Ocean Transportation Intermediaries (OTIs).*—The Commission issues licenses to qualified OTIs operating in the United States and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility.

Passenger Vessel Operators.—The Commission ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death.

Shipping Act Compliance.—The FMC maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts, and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

### Object Classification (in millions of dollars)

Identifi	ication code 065-0100-0-1-403	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	14	16	16
12.1	Civilian personnel benefits	4	5	5
25.2	Other services from non-Federal sources	4	2	3
25.3	Other goods and services from Federal sources	5	5	5
99.9	Total new obligations, unexpired accounts	27	28	29

#### **Employment Summary**

Identification code 065-0100-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	112	128	116

### FEDERAL MEDIATION AND CONCILIATION SERVICE

#### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, [\$47,200,000] *\$48,600,000* [, including up to \$900,000 to remain available through September 30, 2021, for activities authorized by the Labor-Management Cooperation Act of 1978]: Provided, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: Provided further, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: Provided further, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Dispute mediation and preventive mediation, public information,		0.5	
	and grants	34	35	36
0002	Arbitration services	1	1	1
0003 0004	Management and administrative support Labor-Management Grants (separated from line 0001 for	10	10	11
	FY17)		1	1
0091	Total direct program	45	47	49
0101	Reimbursables	3	3	3
0900	Total new obligations, unexpired accounts	48	50	52
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	3	3	3
1000	Budget authority:	5	5	5
	Appropriations, discretionary:			
1100	Appropriation	47	47	49

	Spending authority from offsetting collections, discretionary:			
1700	Collected	2	3	3
1900	Budget authority (total)	49	50	52
1930	Total budgetary resources available Memorandum (non-add) entries:	52	53	55
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	3	3	3
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	8	5	4
3010	New obligations, unexpired accounts	48	50	52
3020	Outlays (gross)	-50	-51	-52
3041	Recoveries of prior year unpaid obligations, expired			
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	5	4	4
3100	Obligated balance, start of year	8	5	4
3200	Obligated balance, end of year	5	4	4
	Budget authority and outlays, net:			
4000	Discretionary: Budget authority, gross Outlavs, gross:	49	50	52
4010	Outlays from new discretionary authority	41	46	48
4011	Outlays from discretionary balances	9	.0	4

4011	Outlays noni discretionary balances		J	4
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	50	51	52
4030	Federal sources	-1	-1	-1
4033	Non-Federal sources		2	-2
4040	Offsets against gross budget authority and outlays (total) $\ldots$	-2	-3	3
4070	Budget authority, net (discretionary)	47	47	49
4080	Outlays, net (discretionary)	48	48	49
4180	Budget authority, net (total)	47	47	49
4190	Outlays, net (total)	48	48	49

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute Mediation.—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

### **DISPUTE MEDIATION WORKLOAD DATA**

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Dispute mediation assignments	12,999	12, 244	13,220	13,000	13,000
Total active mediations	4,880	4,807	5,364	5,573	5,573

Preventive Mediation, Public Information, and Educational Activities.—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach activities such as lectures, seminars, and conferences.

### PREVENTIVE MEDIATION WORKLOAD DATA

				2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Total	preventive	mediation	cases					
conducted			1,956	1,815	1,956	2,500	2,500	

*Arbitration Services.*—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

### ARBITRATION SERVICES WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Number of panels issued	11,836	11,617	10,944	11,000	11,000
Number of arbitrators appointed	5,247	4,524	4,342	4,771	4,771

Management and Administrative Support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-Management Cooperation Project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

#### ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Number of ADR Cases	1,200	1,081	1,212	1,500	1,500

#### **Object Classification** (in millions of dollars)

N

Identi	fication code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	25	27	28
12.1	Civilian personnel benefits	8	9	10
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	5	4	4
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	4	4	4
99.0	Direct obligations	45	47	49
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	48	50	52

#### Employment Summary

Identification code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
1001         Direct civilian full-time equivalent employment           2001         Reimbursable civilian full-time equivalent employment	215	223	226
	8	7	7

### FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Federal Mine Safety and Health Review Commission, \$17,184,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 368–2800–0–1–554	2019 actual	2020 est.	2021 est.
	Obligations by program activity:	_	_	_
0001	Commission review	5	5	5
0002	Administrative law judge determinations	10	10	10
0003	Office of Executive Director	2	2	2
0900	Total new obligations, unexpired accounts	17	17	17
	Budgetary resources: Budget authority:			
1100	Appropriations, discretionary:			
1100	Appropriation	17	17	17
1930	Total budgetary resources available	17	17	17
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	4	4
3010	New obligations, unexpired accounts	17	17	17

### SALARIES AND EXPENSES—Continued Program and Financing-Continued

ldentif	ication code 368–2800–0–1–554	2019 actual	2020 est.	2021 est.
3020	Outlays (gross)	-15	-17	-18
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	4	4	3
3100	Obligated balance, start of year	2	4	4
3200	Obligated balance, end of year	4	4	3
4000	Budget authority and outlays, net: Discretionary: Budget authority, gross Outlays, gross:	17	17	17
4010	Outlays from new discretionary authority	14	15	15
4011	Outlays from discretionary balances	1	2	3
4020	Outlays, gross (total)	15	17	18
4020 4180	Outlays, gross (total) Budget authority, net (total)	15 17	17 17	18 17

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

### **Object Classification** (in millions of dollars)

Identifi	cation code 368–2800–0–1–554	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	9	9	ç
12.1	Civilian personnel benefits	2	2	3
23.1	Rental payments to GSA	2	2	2
25.2	Other services from non-Federal sources	3	3	2
26.0	Supplies and materials	1	1	1
99.9	Total new obligations, unexpired accounts	17	17	17

### Employment Summary

Identification code 368-2800-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	73	76	76

### FEDERAL PERMITTING IMPROVEMENT STEERING **COUNCIL**

### Federal Funds

#### ENVIRONMENTAL REVIEW IMPROVEMENT FUND

### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Environmental Review Improvement Fund established pursuant to 42 U.S.C. 4370m-8(d), [\$8,000,000] \$10,000,000, to remain available until expended: Provided, That funds appropriated in prior appropriations Acts under the heading "General Services Administration-General Activities-Environmental Review Improvement Fund" shall be transferred to and merged with this account. (Financial Services and General Government Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Identi	fication code 473–5761–0–2–808	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and expenses		8	10
1000 1011	Budgetary resources: Unobligated balance: Unobligated balance brought forward, Oct 1 Unobligated balance transfer from other acct [047–5640]		2	2

1050	Unobligated balance (total)	 2	2
	Budget authority:		
	Appropriations, discretionary:		
1100	Appropriation	 8	10
1900	Budget authority (total)	 8	10
1930	Total budgetary resources available	 10	12
	Memorandum (non-add) entries:		
1941	Unexpired unobligated balance, end of year	 2	2
	Change in obligated balance:		
	Unpaid obligations:		
3000	Unpaid obligations, brought forward, Oct 1	 	4
3010	New obligations, unexpired accounts	 8	10
3020	Outlays (gross)	 -5	8
3031	Unpaid obligations transferred from other accts		
	[047–5640]	 1	
3050	Unpaid obligations, end of year	 4	6
	Memorandum (non-add) entries:		
3100	Obligated balance, start of year		4
3200	Obligated balance, end of year	 4	6
	Budget authority and outlays, net:		
	Discretionary:		
4000	Budget authority, gross	 8	10
	Outlays, gross:		
4010	Outlays from new discretionary authority	 5	6
4011	Outlays from discretionary balances	 	2
4020	Outlove groop (total)	 5	8
	Outlays, gross (total)	-	-
4180	Budget authority, net (total)	8	10
4190	Outlays, net (total)	 5	8

This appropriation supports the authorized activities of the Environmental Review Improvement Fund and the Federal Permitting Improvement Steering Council (Permitting Council) established under Title XLI of the Fixing America's Surface Transportation (FAST) Act of 2015 (Public Law 114-94). The Permitting Council leads ongoing Government-wide efforts to modernize the Federal environmental review and permitting process for major infrastructure projects and works with Federal agency partners to implement and oversee adherence to the statutory requirements set forth in Title 41 of the Fixing America's Surface Transportation (FAST-41). FAST-41 is a voluntary program for large, complex infrastructure projects that provides oversight, strengthens cooperation and communication among permitting agencies, enhances transparency for the project sponsor and other stakeholders, and emphasizes concurrent permit processing for covered infrastructure projects. Projects receive these benefits without modifying or undermining any underlying federal statutes or regulations, or the status of any mandatory reviews. Beginning in 2020, prior year appropriations to the General Services Administration for this activity are transferred and merged with this independent account in accordance with Public Law 116-93.

### **Object Classification** (in millions of dollars)

Identi	fication code 473-5761-0-2-808	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent		2	2
11.8	Special personal services payments	<u> </u>	1	1
11.9	Total personnel compensation		3	3
25.1	Advisory and assistance services		1	1
25.3	Other goods and services from Federal sources	<u> </u>	3	6
99.0	Direct obligations		7	10
99.5	Adjustment for rounding	·····	1	·····
99.9	Total new obligations, unexpired accounts		8	10

### **Employment Summary**

Identification code 473-5761-0-2-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		12	12

### FEDERAL TRADE COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, [\$331,000,000] \$330,199,000, to remain available until expended: Provided, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: Provided further, That, notwithstanding any other provision of law, [not to exceed \$141,000,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (and estimated to be \$136,000,000 in fiscal year 2021), shall remain available until expended, and shall be retained and used for necessary expenses in this appropriation: Provided further, That, notwithstanding any other provision of law, [not to exceed \$18,000,000 in offsetting collections derived from] fees [sufficient collected to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), regardless of the year of collection (and estimated to be \$13,000,000 in fiscal year 2021), shall be credited to this account, are to remain available until expended, and shall be retained and used for necessary expenses in this appropriation: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year [2020] 2021, so as to result in a final fiscal year [2020] 2021 appropriation from the general fund estimated at not more than [\$172,000,000] \$181,199,000: Provided further, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t). (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

dentif	ication code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Protect Consumers	106	186	186
0002	Maintain Competition	82	145	144
)192	Subtotal, direct program	188	331	330
)799	Total direct obligations	188	331	330
0803	Salaries and Expenses (Reimbursable)	143	2	
)900	Total new obligations, unexpired accounts	331	333	33
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	23	15	2
1000	Recoveries of prior year unpaid obligations	12		
1050		35	15	2
1050	Unobligated balance (total) Budget authority:	30	15	Z
	Appropriations, discretionary:			
100	Appropriation Spending authority from offsetting collections, discretionary:	168	179	18
700	Offsetting collections (cash) - HSR	130	141	13
700	Offsetting collections (cash) - Do Not Call	12	18	1
1700	Offsetting collections (cash) - Reimb	1	2	-
750	Spending auth from offsetting collections, disc (total)	143	161	15
900	Budget authority (total)	311	340	33
930	Total budgetary resources available Memorandum (non-add) entries:	346	355	35
1941	Unexpired unobligated balance, end of year	15	22	2
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	74	75	10
3010	New obligations, unexpired accounts	331	333	33
020	Outlays (gross)	-318	-301	-34
040	Recoveries of prior year unpaid obligations, unexpired	-12		
050	Unpaid obligations, end of year	75	107	9
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	74	75	10
3200	Obligated balance, end of year	75	107	9

#### Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	311	340	331
	Outlays, gross:			
4010	Outlays from new discretionary authority	263	211	211
4011	Outlays from discretionary balances	55	90	129
4020	Outlays, gross (total)	318	301	340
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources	-1	-2	-1
4034	Offsetting governmental collections	-142	-159	-149
4040	Offsets against gross budget authority and outlays (total)	-143	-161	-150
4070	Budget authority, net (discretionary)	168	179	181
4080	Outlays, net (discretionary)	175	140	190
4180	Budget authority, net (total)	168	179	181
4190	Outlays, net (total)	175	140	190
	Memorandum (non-add) entries:			
5090	Unexpired unavailable balance, SOY: Offsetting collections	32	32	32

5090	Unexpired unavailable balance, SUY: Offsetting collections	32	32	32
5092	Unexpired unavailable balance, EOY: Offsetting collections	32	32	32

The FTC's mission is to protect consumers and competition by preventing anticompetitive, deceptive, and unfair business practices through law enforcement, advocacy, and education without unduly burdening legitimate business activity. The FTC's mission is based on a vision of a vibrant economy characterized by vigorous competition and consumer access to accurate information.

*Protect Consumers.*—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address deceptive or unfair practices that harm consumers; 2) Provide the public with knowledge and tools to prevent harm to consumers; and 3) Collaborate with domestic and international partners to enhance consumer protection.

*Promote Competition.*—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address anticompetitive mergers and practices that harm consumers; 2) Engage in effective research and stakeholder outreach to promote competition, advance its understanding, and create awareness of its benefits to consumers; and 3) Collaborate with domestic partners and international partners to preserve and promote competition.

The 2021 Budget includes a program level for the Commission of \$330.2 million, funded by \$181.2 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$136 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$13 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

### Object Classification (in millions of dollars)

Identif	ication code 029-0100-0-1-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1 11.3 11.5	Personnel compensation: Full-time permanent Other than full-time permanent Other personnel compensation	 10 3		165 
11.8	Special personal services payments	1		
11.9	Total personnel compensation	14	166	170
12.1	Civilian personnel benefits	49	53	55
21.0	Travel and transportation of persons	2	3	3
23.1	Rental payments to GSA	24	24	24
23.3	Communications, utilities, and miscellaneous charges	6	6	6
24.0	Printing and reproduction	1	2	2
25.1	Advisory and assistance services	67	62	56
25.2	Other services from non-Federal sources	4	4	4
25.3	Other goods and services from Federal sources	5		
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	11	8	7
26.0	Supplies and materials	1	1	1
31.0	Equipment	3	1	1

### SALARIES AND EXPENSES—Continued

### **Object Classification**—Continued

Identifica	ation code 029-0100-0-1-376	2019 actual	2020 est.	2021 est.
99.0 99.0	Direct obligations Reimbursable obligations	188 143	331 2	330 1
99.9	Total new obligations, unexpired accounts	331	333	331

### **Employment Summary**

Identification code 029-0100-0-1-376	2019 actual	2020 est.	2021 est.
1001         Direct civilian full-time equivalent employment           2001         Reimbursable civilian full-time equivalent employment	1,101	1,140	1,140
	4	1	1

#### GENERAL FUND RECEIPT ACCOUNT

#### (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public: 029–322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts	2		
General Fund Offsetting receipts from the public	2		

### **GULF COAST ECOSYSTEM RESTORATION COUNCIL**

### Federal Funds

**GULF COAST ECOSYSTEM RESTORATION COUNCIL** 

#### Program and Financing (in millions of dollars)

Identi	ication code 471–1770–0–1–452	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0801	Comprehensive Plan Administrative Expense	1	1	1
0802	Comprehensive Plan Program Expenses	40	47	45
0803	Spill Impact Program and Projects	17	49	49
0900	Total new obligations, unexpired accounts	58	97	95
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	86	134	237
1000	Budget authority:	00	134	237
	Spending authority from offsetting collections, mandatory:			
1800	Collected	59	200	265
1801	Change in uncollected payments, Federal sources	47	200	200
1001	Ghange in unconected payments, rederar sources			
1850	Spending auth from offsetting collections, mand (total)	106	200	265
930	Total budgetary resources available	192	334	502
	Memorandum (non-add) entries:			
941	Unexpired unobligated balance, end of year	134	237	407
3000 3010	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts	179 58	201 97	87 95
3020	Outlays (gross)	36	-211	-95
3050	Unpaid obligations, end of year Uncollected payments:	201	87	87
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-198	-245	-245
3070	Change in uncollected pymts, Fed sources, unexpired	-47		
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-245	-245	-245
3100	Obligated balance, start of year	-19	-44	-158
3200	Obligated balance, end of year	-44	-158	-158
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	106	200	265
	Outlays, gross:			
4100	Outlays from new mandatory authority	6	10	10

#### THE BUDGET FOR FISCAL YEAR 2021

4101	Outlays from mandatory balances	30	201	85
4110	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	36	211	95
4120	Federal sources Additional offsets against gross budget authority only:	-59	-200	-265
4140	Change in uncollected pymts, Fed sources, unexpired	-47		
4170	Outlays, net (mandatory)	-23	11	-170
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-23	11	-170

The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012, or the RE-STORE Act, dedicates 80 percent of any civil and administrative penalties paid under the Clean Water Act by responsible parties in connection with the Deepwater Horizon oil spill to the Gulf Coast Restoration Trust Fund (the Trust Fund). These funds may be used for ecosystem restoration, economic recovery, and tourism promotion in the Gulf Coast region.

In addition to establishing the Trust Fund, the RESTORE Act established the Gulf Coast Ecosystem Restoration Council (the Council). The Council has oversight over the expenditure of sixty percent of the funds made available from the Trust Fund. Thirty percent will be administered for restoration and protection according to the Comprehensive Plan developed by the Council. The other thirty percent will be allocated to the States according to a formula set forth in the RESTORE Act and spent according to individual State expenditure plans to contribute to the overall economic and ecological recovery of the Gulf. The Council includes the Governors of the States of Alabama, Florida, Louisiana, Mississippi and Texas and the Secretaries of the U.S. Departments of Agriculture, Army, Commerce, Homeland Security and the Interior, and the Administrator of the U.S. Environmental Protection Agency.

#### Object Classification (in millions of dollars)

Identi	fication code 471–1770–0–1–452	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	1	1	1
25.3	Other goods and services from Federal sources	2	2	2
41.0	Grants, subsidies, and contributions	51	90	88
99.9	Total new obligations, unexpired accounts	58	97	95

#### **Employment Summary**

Identification code 471-1770-0-1-452	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	24	26	26

### HARRY S TRUMAN SCHOLARSHIP FOUNDATION

### Federal Funds

### SALARIES AND EXPENSES

[For payment to the Harry S Truman Scholarship Foundation Trust Fund, established by section 10 of Public Law 93-642, \$1,670,000, to remain available until expended. [ (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 372-0950-0-1-502	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Payment to the Harry S Truman Scholarship Memorial Trust Fund	1	2	<u></u>
0900	Total new obligations, unexpired accounts (object class 94.0)	1	2	
1100	Budgetary resources: Budget authority: Appropriations, discretionary: Appropriation	1	2	

1550	Total budgetary resources available	1	2	
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	1	2	
3020	Outlays (gross)	-1	-2	
	Budget authority and outlays, net: Discretionary:			
4000		1	2	
4000 4010	Discretionary: Budget authority, gross	1	2	
	Discretionary: Budget authority, gross Outlays, gross:	1 1 1	2 2 2	

### Trust Funds

HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identi	ication code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	32	32	33
1140 1140	Interest on Investments, Harry S Truman Memorial Scholarship Trust Fund General Fund Payment, Harry S Truman Scholarship Trust	1	1	1
1140	Fund	1	2	<u> </u>
1199	Total current law receipts	2	3	1
1999	Total receipts	2	3	1
2000	Total: Balances and receipts Appropriations: Current law:	34	35	34
2101	Harry S Truman Memorial Scholarship Trust Fund	-2	-2	-2
5099	Balance, end of year	32	33	32

#### Program and Financing (in millions of dollars)

ldentif	ication code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Scholarship awards	3	3	3
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	3	3	3
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:	20	19	18
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	2	2	2
1930	Total budgetary resources available	22	21	20
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	19	18	17
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1	2
3010	New obligations, unexpired accounts	3	3	3
3020	Outlays (gross)	-2	-2	-2
3050	Unpaid obligations, end of year	1	2	3
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year		1	2
3200	Obligated balance, end of year	1	2	3
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	2	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	52	51	51
5001	Total investments, EOY: Federal securities: Par value	51	51	51

Public Law 93–642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. Appropriations in 1975 and 1976, totaling \$30 million, established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. For several years, the Foundation has also received appropriations that are deposited in the trust fund and available for obligation. The Budget proposes no new federal funding for the Foundation in FY 2021.

The Foundation awards scholarships for qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector. In its annual competition, the Foundation selects up to 60 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

*Scholarship awards.*—This activity is comprised of scholarships awarded to cover eligible educational expenses.

*Program administration.*—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

#### **Employment Summary**

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

### INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

### Federal Funds

#### PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by part A of title XV of Public Law 99–498 (20 U.S.C. 4411 et seq.), [\$10,458,000]\$10,710,000, which shall become available on July 1, [2020]2021, and shall remain available until September 30, [2021]2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 373-2900-0-1-502	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Payment to the Institute	10	10	11
0900	Total new obligations, unexpired accounts (object class 41.0)	10	10	11
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriation	10	10	11
1930	Total budgetary resources available	10	10	11
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	10	10	11
3020	Outlays (gross)	-10	-10	-11
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	10	10	11
4010	Outlays from new discretionary authority	10	10	11
4180	Budget authority, net (total)	10	10	11
4190	Outlays, net (total)	10	10	11
4190	Uulidys, liet (LULdi)	10	10	1

### PAYMENT TO THE INSTITUTE—Continued

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

*Payment to the Institute.*—This activity supports the operations of the Institute.

### INSTITUTE OF MUSEUM AND LIBRARY SERVICES

### Federal Funds

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act [of 1996] (Public Law 94–462, as amended) and the National Museum of African American History and Culture Act[, \$252,000,000] (Public Law 108–184), and for the closure of the Institute of Museum and Library Services, \$23,000,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Identi	ication code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Assistance for museums	39	39	
0002	Assistance for libraries	189	195	
0003	Administration	18	18	23
0900	Total new obligations, unexpired accounts	246	252	23
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			2
1021	Recoveries of prior year unpaid obligations		1	1
1050	Unobligated balance (total)		1	3
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	242	252	23
	Spending authority from offsetting collections, discretionary:			
1700	Collected	4	1	1
1900	Budget authority (total)	246	253	24
1930	Total budgetary resources available	246	254	27
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		2	4
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	292	304	230
3010	New obligations, unexpired accounts	246	252	23
3020	Outlays (gross)	-232	-325	-194
3040	Recoveries of prior year unpaid obligations, unexpired		-1	-1
3041	Recoveries of prior year unpaid obligations, expired	2		
3050	Unpaid obligations, end of year	304	230	58
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	292	304	230
3200	Obligated balance, end of year	304	230	58
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	246	253	24
	Outlays, gross:			
4010	Outlays from new discretionary authority	40	77	8
4011	Outlays from discretionary balances	192	248	186
4020	Outlays, gross (total)	232	325	194
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-4	-1	-1
4180	Budget authority, net (total)	242	252	23
4190	Outlays, net (total)	228	324	193

The Budget proposes to eliminate funding for several independent agencies, including the Institute of Museum and Library Services (IMLS), as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$23,000,000 to conduct an orderly closeout of IMLS beginning in fiscal year 2021.

### **Object Classification** (in millions of dollars)

Identific	cation code 474-0300-0-1-503	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	8	8	8
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	1	1	1
25.2	Other services from non-Federal sources	7	7	12
41.0	Grants, subsidies, and contributions	228	234	·····
99.9	Total new obligations, unexpired accounts	246	252	23

### **Employment Summary**

Identification code 474-0300-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	71	71	71

### INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

### Federal Funds

### INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, [\$556,000,000] \$663,000,000. (Department of Defense Appropriations Act, 2020.)

Identi	ication code 467–0401–0–1–054	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Intelligence community management	500	556	663
0801	Intelligence Community Management Account			
	(Reimbursable)	20	30	30
0900	Total new obligations, unexpired accounts	520	586	693
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary:	500	550	cc2
1100 1120	Appropriation	522	556	663
1120	Appropriations transferred to other accts [097–0100]	-16		
1160	Appropriation, discretionary (total)	506	556	663
	Spending authority from offsetting collections, discretionary:			
1700	Collected	12	30	30
1701	Change in uncollected payments, Federal sources	7		
1750	Spending auth from offsetting collections, disc (total)	19	30	30
1900	Budget authority (total)	525	586	693
1930	Total budgetary resources available	525	586	693
1040	Memorandum (non-add) entries:	F		
1940	Unobligated balance expiring	-5		
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	235	194	180
3010	New obligations, unexpired accounts	520	586	693
3011	Obligations ("upward adjustments"), expired accounts	11		
3020	Outlays (gross)	-537	-600	-664
3041	Recoveries of prior year unpaid obligations, expired	35		
3050	Unpaid obligations, end of year	194	180	209
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-10	-15	-15
3070	Change in uncollected pymts, Fed sources, unexpired	-7		
3071	Change in uncollected pymts, Fed sources, expired	2	·····	
3090	Uncollected pymts, Fed sources, end of year	-15	-15	-15
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	225	179	165
3200	Obligated balance, end of year	179	165	194

#### Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	525	586	693
4010	Outlays, gross:			507
4010	Outlays from new discretionary authority	401	447	527
4011	Outlays from discretionary balances	136	153	137
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	537	600	664
4030	Federal sources			
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-13	-30	-30
4050	Change in uncollected pymts, Fed sources, unexpired	-7		
4052	Offsetting collections credited to expired accounts	1	<u> </u>	<u> </u>
4060	Additional offsets against budget authority only (total)	6		<u> </u>
4070	Budget authority, net (discretionary)	506	556	663
4080	Outlays, net (discretionary)	524	570	634
4180	Budget authority, net (total)	506	556	663
4190	Outlays, net (total)	524	570	634

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) in managing intelligence integration across the Intelligence Community (IC), the mission of the IC Inspector General, and the support functions of the Office of the Director of National Intelligence (ODNI), including directorates focused on enterprise capacity, mission integration, partnerships, stratagy and engagement, and the National Intelligence Program annual budget cycle.

ICMA also funds select IC elements such as the National Intelligence Council, the President's Daily Briefing Staff, and the National Intelligence University. These elements are the DNI's principal advisory sources in executing their IC-wide management responsibilities and executing their role as advisor to the President. The National Intelligence Council provides analytical support to the DNI and to senior policy makers. The President's Daily Briefing Staff supports the production of the daily intelligence briefing provided to the President and his senior staff. The National Intelligence University is a federal degree-granting institution with a farreaching mission to educate and prepare intelligence officers to meet current and future challenges to the United States' national security.

### Object Classification (in millions of dollars)

ldentif	ication code 467-0401-0-1-054	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	112	121	164
11.3	Other than full-time permanent			1
11.5	Other personnel compensation	9	9	9
11.9	Total personnel compensation	121	130	174
12.1	Civilian personnel benefits	34	38	51
21.0	Travel and transportation of persons	9	10	10
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	3	2	2
24.0	Printing and reproduction	3	2	2
25.1	Advisory and assistance services	245	257	291
25.2	Other services from non-Federal sources	14	18	18
25.3	Other goods and services from Federal sources	20	17	21
25.4	Operation and maintenance of facilities	6	11	28
25.5	Research and development contracts	2	3	3
25.6	Medical care	2	3	3
25.7	Operation and maintenance of equipment	29	54	49
26.0	Supplies and materials	2	2	2
31.0	Equipment	3	2	2
99.0	Direct obligations	500	556	663
99.0	Reimbursable obligations	20	30	30
99.9	Total new obligations, unexpired accounts	520	586	693

### Employment Summary

Identification code 467-0401-0-1-054	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	776	812	1,061

### INTERNATIONAL TRADE COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$2,250 for official reception and representation expenses, [\$99,400,000] \$99,600,000, to remain available until expended. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Identif	ication code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Research, investigations, and reports	104	99	100
	Budgetary resources:			
1021	Unobligated balance:	9		
1021	Recoveries of prior year unpaid obligations Budget authority:	9		
	Appropriations, discretionary:			
1100	Appropriation	95	99	100
1930	Total budgetary resources available	104	99	100
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	25	24	7
3010	New obligations, unexpired accounts	104	99	100
3020	Outlays (gross)	-96	-116	-100
3040	Recoveries of prior year unpaid obligations, unexpired	9		
3050	Unpaid obligations, end of year	24	7	7
	Memorandum (non-add) entries:			_
3100	Obligated balance, start of year	25	24	7
3200	Obligated balance, end of year	24	7	7
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross Outlays, gross:	95	99	100
4010	Outlays from new discretionary authority	75	93	94
4011	Outlays from discretionary balances	21	23	6
4020	Outlays, gross (total)	96	116	100
4180	Budget authority, net (total)	95	99	100
4190	Outlays, net (total)	96	116	100

The U.S. International Trade Commission (Commission) is an independent, nonpartisan Federal agency with broad investigative responsibilities on matters of trade. In accordance with its statutory mandate, the Commission investigates and makes determinations in proceedings involving imports claimed to injure a domestic industry or violate U.S. intellectual property rights; provides independent analysis and information on tariffs, trade, and competitiveness; and maintains the U.S. tariff schedule.

For FY 2021, the Commission requests an appropriation of \$105.0 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President. The Administration's FY 2021 request for the Commission is \$99.6 million, reflected in the Appendix table and appropriations language.

Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan for FY 2018–2022 sets two strategic goals that cover its programmatic responsibilities. The agency's goal to make sound, objective, and timely determinations in trade remedy proceedings focuses on its import injury and unfair import investigative responsibilities. The agency's goal to produce independent, objective, and timely analysis and information on tariffs, trade, and competitiveness

#### SALARIES AND EXPENSES—Continued

encompasses two areas. First, it focuses on the agency's role to independently provide the highest caliber of information and analysis to U.S. policymakers in a timely manner to assist them when they are securing benefits to the United States in trade negotiations and when they enact legislation or take other policy actions that affect the U.S. economy and industry competitiveness. The Commission's analysis of industry competitiveness has expanded with new responsibilities for evaluating miscellaneous tariff bill (MTB) petitions and making recommendations to Congress under the American Manufacturing Competitiveness Act of 2016 (AMCA). Second, it focuses on the responsibility to maintain the Harmonized Tariff Schedule (HTS) of the United States. The Commission also set a management goal to efficiently and effectively advance the agency's mission. The agency's focus is on four functional areas—human resources; budget, acquisitions, and finance; information technology; and processes and communications—as they play a critical role in supporting programmatic activities.

The Strategic Plan identifies strategic objectives for each strategic or management goal, strategies to meet these objectives, and specific performance goals. The performance goals provide the basis by which the agency can assess whether it is making progress toward its strategic objectives.

The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Plan, Annual Performance Report, and Budget Justification at *https://www.usitc.gov/strategic—plan.htm.* 

Object C	lassification	(in millions of dollars)
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Identifi	cation code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	45	49	49
11.3	Other than full-time permanent	6	8	8
11.5	Other personnel compensation	1	1	
11.9	Total personnel compensation	52	58	58
12.1	Civilian personnel benefits	16	18	18
21.0	Travel and transportation of persons	1	1	
23.1	Rental payments to GSA	15	9	9
23.3	Communications, utilities, and miscellaneous charges		1	
25.1	Advisory and assistance services	2	1	
25.2	Other services from non-Federal sources	1	4	:
25.3	Other goods and services from Federal sources	3		1
25.7	Operation and maintenance of equipment	6	5	!
26.0	Supplies and materials	2	2	1
31.0	Equipment	6	1	
32.0	Land and structures	<u> </u>		
99.0	Direct obligations	104	100	10
99.5	Adjustment for rounding	·····	-1	
99.9	Total new obligations, unexpired accounts	104	99	100
	Employment Summary			
Identifi	cation code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.

### JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

1001 Direct civilian full-time equivalent employment

394

418

407

#### Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:			
1140	Earnings on Investments, James Madison Memorial Fellowship Foundation	2	2	2
2000	Total: Balances and receipts	2	2	2

	Appropriations:			
2101	Current law: James Madison Memorial Fellowship Trust Fund	-2	-2	-2
5099	Balance, end of year			
	Program and Financing (in millions	of dollars)		
Identif	ication code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Fellowship awards Program administration	2	1	1
	5			
0900	Total new obligations, unexpired accounts	2	2	2
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	39	39	39
1000	Budget authority:	33	23	55
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	2	2	2
1930	Total budgetary resources available	41	41	41
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	39	39	39
	Change in obligated balance:			
2010	Unpaid obligations:	0	0	
3010 3020	New obligations, unexpired accounts Outlays (gross)	2 2	2 _2	2
5020	Outlays (gloss)	-2	-2	-2
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	2	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2

	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	37	37	37
5001	Total investments, EOY: Federal securities: Par value	37	37	37

Public Laws 99–500, 101–208, and 102–221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

*Fellowship awards.*—This activity is comprised of fellowship awards to cover educational expenses. It also supports the Foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

*Program administration.*—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identi	ication code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
41.0 99.5	Direct obligations: Grants, subsidies, and contributions Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	2	2	2

### **Employment Summary**

Identification code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3		

### JAPAN-UNITED STATES FRIENDSHIP COMMISSION

#### Trust Funds

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	36	35	35
1140	Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission	2	3	3
2000	Total: Balances and receipts Appropriations: Current law:	38	38	38
2101	Japan-United States Friendship Trust Fund	-3	3	3
5099	Balance, end of year	35	35	35

### Program and Financing (in millions of dollars)

Identif	ication code 382–8025–0–7–154	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Grants	3	2	2
0002	Administration		1	1
0900	Total new obligations, unexpired accounts	3	3	3
	Budgetary resources: Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	3	3	:
1930	Total budgetary resources available	3	3	
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	2
3010	New obligations, unexpired accounts	3	3	3
3020	Outlays (gross)	-3	-2	-2
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	1	2	:
3100	Obligated balance, start of year	1	1	2
3200	Obligated balance, end of year	1	2	3

	Mandatory:			
4090	Budget authority, gross	3	3	3
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	2	2
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	2	2
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	36	35	35
5001	Total investments, EOY: Federal securities: Par value	35	35	35

The Japan-U.S. Friendship Commission was established as an independent Federal Government agency by the United States Congress in 1975 (P.L. 94-118) to strengthen the U.S.-Japan relationship through educational, cultural, and intellectual exchange. It administers a U.S. Government trust fund that originated in connection with the return to the Japanese government of certain U.S. facilities in Okinawa and for postwar U.S. assistance to Japan. The Commission is allowed to make expenditures from the fund in an amount, not to exceed five percent annually of the fund's original principal, to pay Commission expenses and to make grants to support its mission. The Commission is a grant making agency that supports research, education, public affairs and exchange with Japan. Its mission is to support

reciprocal people-to-people understanding, and to promote partnerships that advance common interests between Japan and United States.

### Object Classification (in millions of dollars)

Identi	fication code 382–8025–0–7–154	2019 actual	2020 est.	2021 est.
41.0 99.5	Direct obligations: Grants, subsidies, and contributions Adjustment for rounding	2 1	2 1	2 1
99.9	Total new obligations, unexpired accounts	3	3	3

### LEGAL SERVICES CORPORATION

### Federal Funds

### PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, [\$440,000,000, of which \$402,700,000 is for basic field programs and required independent audits; \$5,300,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; \$22,000,000 is for management and grants oversight; \$4,000,000 is for client self-help and information technology; \$4,500,000 is for a Pro Bono Innovation Fund; and \$1,500,000 is for loan repayment assistance \$18,200,000, to be used only for the closure of the Legal Services Corporation: Provided, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by section 5304 of title 5, United States Code, notwithstanding section 1005(d) of the Legal Services Corporation Act (42 U.S.C. 2996d(d)): Provided further, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation: Provided further, That, for the purposes of section [505] 504 of this Act, the Legal Services Corporation shall be considered an agency of the United States Government. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 020-0501-0-1-752	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Payment to Legal Services Corporation	435	440	18
0001	Payment to Legar Services Corporation	450	440	10
0900	Total new obligations, unexpired accounts (object class 41.0)	435	440	18
	Budgetary resources:			
1000	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2		
	Budget authority:			
1100	Appropriations, discretionary:	430	440	18
1100	Appropriation Spending authority from offsetting collections, discretionary:	430	440	10
1700	Collected	3		
1900	Budget authority (total)	433		
1900	Total budgetary resources available	435	440	18
		400	440	
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	35		36
3010	New obligations, unexpired accounts	435	440	18
3020	Outlays (gross)	_470	-404	-52
3050	Unpaid obligations, end of year		36	2
0000	Memorandum (non-add) entries:		00	-
3100	Obligated balance, start of year	35		36
3200	Obligated balance, end of year		36	2
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	433	440	18
	Outlays, gross:			
4010	Outlays from new discretionary authority	433	404	16
4011	Outlays from discretionary balances	37		36
4020		470	404	52
4020	Outlays, gross (total) Offsets against gross budget authority and outlays:	470	404	52
4020	Offsetting collections (collected) from:	2		
4030	Federal sources	-3		
4180 4190	Budget authority, net (total)	430 467	440 404	18 52
4190	Outlays, net (total)	407	404	52

#### PAYMENT TO THE LEGAL SERVICES CORPORATION-Continued

The Budget proposes to eliminate Federal funding for several independent entities, including the Legal Services Corporation (LSC), as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$18.2 million to conduct an orderly closeout of LSC in 2021.

### Administrative Provision-Legal Services Corporation

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105–119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to [2019] 2020 and [2020] 2021, respectively. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

### MARINE MAMMAL COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 et seq.), [\$3,616,000] \$2,449,000. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

ldentif	ication code 387-2200-0-1-302	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and expenses	3	3	3
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:		1	1
	Appropriations, discretionary:			
1100	Appropriation	4	3	2
1930	Total budgetary resources available Memorandum (non-add) entries:	4	4	3
1941	Unexpired unobligated balance, end of year	1	1	
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1		
3010	New obligations, unexpired accounts	3	3	3
3020	Outlays (gross)	-4	-3	-3
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1		
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	4	3	2
4010	Outlays from new discretionary authority	3	2	2
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	4	3	3
4180	Budget authority, net (total)	4	3	2
4190	Outlays, net (total)	4	3	3

The Marine Mammal Commission is charged by the Marine Mammal Protection Act of 1972 to further the conservation of marine mammals and their environment. It provides independent, science-based oversight of domestic and international policies and actions of Federal agencies addressing human impacts on marine mammals and their ecosystems.

The Budget proposes to eliminate several independent agencies, including the Commission, as part of the Administration's plans to move the Nation towards fiscal responsibility. The Budget requests \$2.4 million to conduct an orderly closeout of the agency beginning in 2021.

### Object Classification (in millions of dollars)

cation code 387-2200-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation: Full-time permanent	1	1	1
Advisory and assistance services	1	1	1
Direct obligations	2	2	2
Adjustment for rounding	1	1	1
Total new obligations, unexpired accounts	3	3	3
	Direct obligations: Personnel compensation: Full-time permanent Advisory and assistance services Direct obligations Adjustment for rounding	Direct obligations:       1         Personnel compensation: Full-time permanent       1         Advisory and assistance services       1         Direct obligations       2         Adjustment for rounding       1	Direct obligations:         1         1           Personnel compensation: Full-time permanent         1         1           Advisory and assistance services         1         1           Direct obligations         2         2           Adjustment for rounding         1         1

### **Employment Summary**

Identification code 387-2200-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	13	13

### MERIT SYSTEMS PROTECTION BOARD

### Federal Funds

#### SALARIES AND EXPENSES

#### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, [\$44,490,000, to remain available until September 30, 2021] *\$42,154,000*, and in addition not to exceed \$2,345,000[, to remain available until September 30, 2021,] for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Financial Services and General Government Appropriations Act, 2020.*)

Identif	ication code 389–0100–0–1–805	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Adjudication	39	38	35
0002	Merit systems studies	2	2	3
0003	Management support	4	4	4
0799	Total direct obligations	45	44	42
0801	Salaries and Expenses (Reimbursable)	2	2	2
0900	Total new obligations, unexpired accounts	47	46	44
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:	5	4	4
1100	Appropriations, discretionary:			40
1100	Appropriation	44	44	42
1700	Spending authority from offsetting collections, discretionary:	0	0	
1700	Collected	2	2	2
1900	Budget authority (total)	46	46	44
1930	Total budgetary resources available Memorandum (non-add) entries:	51	50	48
1941	Unexpired unobligated balance, end of year	4	4	4
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5	6	6
3010	New obligations, unexpired accounts	47	46	44
3020	Outlays (gross)	-46	-46	-45
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	6	6	5
3100	Obligated balance, start of year	5	6	6
3200	Obligated balance, end of year	6	6	5
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	46	46	44

#### OTHER INDEPENDENT AGENCIES

4010 4011	Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances	38 8	42 4	41 4
4020	Outlays, gross (total) Offsets against gross budget authority and outlays:	46	46	45
4030 4180 4190	Offsetting collections (collected) from: Federal sources	2 44 44	-2 44 44	-2 42 43

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal Government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Office of Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when the Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal Government. The Pendleton Act grew out of the 19th century reform movement to curtail the excesses of political patronage in Government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by the Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: OPM, the Federal Labor Relations Authority, and MSPB. MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of OPM.

### Object Classification (in millions of dollars)

Identif	ication code 389–0100–0–1–805	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	26	27	27
12.1	Civilian personnel benefits	8	8	7
23.1	Rental payments to GSA	4	3	3
23.3	Communications, utilities, and miscellaneous charges	1	2	1
25.2	Other services from non-Federal sources	3	1	1
25.3	Other goods and services from Federal sources	2	2	2
31.0	Equipment	1	1	1
99.0	Direct obligations	45	44	42
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations, unexpired accounts	47	46	44

### **Employment Summary**

Identification code 389-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	211	195	184
2001 Reimbursable civilian full-time equivalent employment	15	15	15

### MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION

### Federal Funds

MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION

Program and Fi	nancing (in mill	ions of dollars)
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Identif	ation code 479–2994–0–1–054	2019 actual	2020 est.	2021 est.
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	4	
3020	Outlays (gross)			<u> </u>
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	4		
3100	Obligated balance, start of year	4	4	
3200	Obligated balance, end of year	4		
	Budget authority and outlays, net: Discretionary: Outlays, gross:			
4011	Outlays, gloss: Outlays from discretionary balances		4	
4180	Budget authority, net (total)			
4190	Outlays, net (total)		4	

The purpose of the Military Compensation and Retirement Modernization Commission was to conduct a review of the military compensation and retirement systems. In 2015, the Commission provided its recommendations to Congress and the President on how to modernize the compensation and retirement systems.

### MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

### Federal Funds

### MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

#### (INCLUDING TRANSFER OF FUNDS)

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), \$1,800,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act, up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102-259 and section 817(a) of Public Law 106-568 (20 U.S.C. 5604(7)): Provided, That all current and previous amounts transferred to the Office of Inspector General of the Department of the Interior will remain available until expended for audits and investigations of the Morris K. Udall and Stewart L. Udall Foundation, consistent with the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for annual independent financial audits of the Morris K. Udall and Stewart L. Udall Foundation pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289); Provided further, That previous amounts transferred to the Office of Inspector General of the Department of the Interior may be transferred to the Morris K. Udall and Stewart L. Udall Foundation for annual independent financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289). (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 487–0900–0–1–502	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Federal payment to Morris K. Udall Scholarship and Excellence			
	in National Environmental Policy Foundation	2	2	2
0900	Total new obligations, unexpired accounts (object class $94.0) \ \ldots \ldots$	2	2	2
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	2	2	2
1930	Total budgetary resources available	2	2	2

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND-Continued
Program and Financing—Continued

Identi	ication code 487–0900–0–1–502	2019 actual	2020 est.	2021 est.
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	2	2	2
3020	Outlays (gross)	-2	-2	-2
	Budget authority and outlays, net: Discretionary:			
4000		2	2	2
	Discretionary: Budget authority, gross	2	2	:
4000 4010 4180	Discretionary: Budget authority, gross Outlays, gross:	2 2 2	2 2 2	

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation is authorized to award scholarships and fellowships and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

#### **ENVIRONMENTAL DISPUTE RESOLUTION FUND**

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998. [\$3,200,000] \$3,227,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identii	fication code 487–0925–0–1–306	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Environmental dispute resolution fund	7	7	7
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	8	8	:
1021	Recoveries of prior year unpaid obligations	1	·····	
1050	Unobligated balance (total)	9	8	
	Budget authority:			
	Appropriations, discretionary:			
100	Appropriation	3	3	
	Spending authority from offsetting collections, mandatory:			
800	Collected	3	4	
900	Budget authority (total)	6	7	
1930	Total budgetary resources available	15	15	1
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	8	8	
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	1	
3010	New obligations, unexpired accounts	7	7	
3020	Outlays (gross)	-7	-7	_
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	1	1	
	Memorandum (non-add) entries:	-	-	
3100	Obligated balance, start of year	2	1	
3200	Obligated balance, end of year	1	1	
	Budget authority and outlays, net:			
	Discretionary:	^	•	
1000	Budget authority, gross	3	3	

Outlays,	gross:		
Outlay	/s from	new	disc

4010 4011	Outlays from new discretionary authority Outlays from discretionary balances	3 1	3	3
4020	Outlays, gross (total)	4	3	3
4090	Mandatory: Budget authority, gross	3	4	4
4100	Outlays, gross: Outlays from new mandatory authority	3	4	4
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 4123	Federal sources Non-Federal sources	_3	-3 -1	-3 -1
4130	Offsets against gross budget authority and outlays (total)	3	4	
4180 4190	Budget authority, net (total) Outlays, net (total)	3 4	3	3
			0	
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value		5	5
5001	Total investments, EOY: Federal securities: Par value	5	5	5

In 1998, Public Law 105-56 established the U.S. Institute for Environmental Conflict Resolution (U.S. Institute) as a part of the Udall Foundation. The Further Consolidated Appropriations Act, 2020 renamed the U.S. Institute as the John S. McCain III National Center for Environmental Conflict Resolution (National Center) to honor the legacy of the late Senator John McCain who was instrumental in the establishment of the Udall Foundation and its programs. The National Center provides impartial collaboration, consensus-building, and conflict resolution services on a wide range of environmental, natural and cultural resources, Tribal, and public lands issues involving the Federal Government. The National Center's work enhances project efficiency, reduces costs, increases government capacity to serve citizens, increases the likelihood of avoiding litigation, and delivers better and more durable outcomes. The National Center's range of services include consultations, assessments, process design, convening, mediation, facilitation, training, stakeholder engagement, and other related collaboration and conflict resolution activities. The National Center specializes in providing assistance with national and regionally important environmental challenges; multiparty high-conflict cases where an impartial Federal convener is needed to broker participation in a collaborative process or conflict resolution effort; collaborative efforts involving Tribes and Native people, including government-to-government consultation between Tribes and Federal agencies; interagency and interdepartmental collaborations; issues involving multiple levels of government (Federal, State, local, tribal) and the public; issues that require substantive expertise (e.g., National Environmental Policy Act, transportation infrastructure projects, endangered species, cultural resources); and projects that require funding from multiple agencies and/or private organizations.

#### **Object Classification** (in millions of dollars)

Identifi	cation code 487–0925–0–1–306	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.0 99.0	Direct obligations Reimbursable obligations	3 4	3 4	3 4
99.9	Total new obligations, unexpired accounts	7	7	7

### **Employment Summary**

Identification code 487–0925–0–1–306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	25	25	25

#### Trust Funds

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 487-8615-0-7-502	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:	49	50	52
	Current law:			
1140	General Fund Payments, Morris K. Udall Scholarship Fund	2	2	2
1140	Interest on Investments, Morris K. Udall Scholarship Fund	2	2	2
1199	Total current law receipts	4	4	4
1999	Total receipts	4	4	4
2000	Total: Balances and receipts Appropriations: Current law:	53	54	56
2101	Morris K. Udall and Stewart L. Udall Foundation	-2	-2	-2
5098	Rounding adjustment			
5099	Balance, end of year	50	52	54

### Program and Financing (in millions of dollars)

Identif	ication code 487-8615-0-7-502	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	3	2	2
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	3	2	2
1000	Budgetary resources: Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	1		
1201 1930	Appropriations, mandatory: Appropriation (special or trust fund) Total budgetary resources available	2 3	2 2	2 2
	Change in obligated balance: Unpaid obligations:			
3000 3010 3020	Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts	2 3 2	3 2 3	2 2 -2
3020	Outlays (gross) Unpaid obligations, end of year	3	2	2
3100 3200	Memorandum (non-add) entries: Obligated balance, start of year Obligated balance, end of year	2 3	3 2	2
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:	2	2	2
4100 4101	Outlays from new mandatory authority Outlays from mandatory balances	1 1	2 1	2
4110 4180 4190	Outlays, gross (total) Budget authority, net (total) Outlays, net (total)	2 2 2	3 2 3	2 2 2 2
	Memorandum (non-add) entries:			
5000 5001	Total investments, SOY: Federal securities: Par value Total investments, EOY: Federal securities: Par value	31 49	49 49	49 49

Public Law 102–259 established the Udall Foundation to award scholarships, fellowships, and internships for study related to the environment, and to Native Americans, and Alaska Natives in fields related to health care and tribal public policy; provide funding to the Udall Center for Studies in Public Policy and to the Native Nations Institute to conduct environmental policy research, research on Native American and Alaska Native health care issues and tribal public policy issues, and training; and provide assessment, mediation, training, and other related services through the U.S. Institute for Environmental Conflict Resolution. In 2020, the Udall Foundation will award 55 scholarships and up to 12 Native American Congressional Internships. During a ten-week period in Washington, D.C., the interns will gain practical experience with the Federal legislative process to understand first-hand the relationship between Tribes and the Federal Government.

### NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

### Federal Funds

### **OPERATING EXPENSES**

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, [\$359,000,000] \$356,954,000, of which [\$22,000,000] \$9,230,000 shall remain available until expended for [the repair and alteration of the National Archives facility in College Park, Maryland, and related improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records, and of which up to \$2,000,000 shall remain available until expended to implement the Civil Rights Cold Case Records Collection Act of 2018 (Public Law 115-426) improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records. (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Legislative Archives, Presidential Libraries, and Museum			
	Services	104	93	94
0002	Citizen Services	104	109	105
0003	Agency and Related Services	79	75	76
0004	Facility Operations	57	69	74
0005	Archives II Facility	2		
0006	Financial Transfer	27		
0007	Electronic Records Initiative		4	27
0799	Total direct obligations	373	350	376
8880	Operating Expenses (Reimbursable)	2	3	1
0900	Total new obligations, unexpired accounts	375	353	377
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:	9	10	19
	Appropriations, discretionary:			
1100	Appropriation	373	359	357
	Spending authority from offsetting collections, discretionary:			
1700	Collected	3	3	1
1700	Offsetting collections (cash applied to repay debt)	27		
1726	Spending authority from offsetting collections applied to			
	repay debt	-27		
1750	Spending auth from offsetting collections, disc (total)	3	3	1
1900	Budget authority (total)	376	362	358
1930	Total budgetary resources available	385	372	377
1000	Memorandum (non-add) entries:	000	072	0//
1941	Unexpired unobligated balance, end of year	10	19	
	Change in obligated balance:			
	Unpaid obligations:	07	05	0.5
3000	Unpaid obligations, brought forward, Oct 1	87	85	95
3010	New obligations, unexpired accounts	375	353	377
3020	Outlays (gross)	-373	-343	-347
3041	Recoveries of prior year unpaid obligations, expired		<u> </u>	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	85	95	125
2100		07	05	05
3100 3200	Obligated balance, start of year Obligated balance, end of year	87 85	85 95	95 125
	Budget authority and outlays, net:			-
4000	Discretionary: Budget authority, gross	376	362	358
	Outlays, gross:	070	002	550
4010	Outlays from new discretionary authority	301	272	269

Identif	ication code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
4011	Outlays from discretionary balances	72	71	78
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	373	343	347
4030	Federal sources	-30	3	
4040	Offsets against gross budget authority and outlays (total)	-30	-3	-1
4180	Budget authority, net (total)	346	359	357
4190	Outlays, net (total)	343	340	346

This appropriation provides for the operation of the Federal Government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public.

Legislative Archives, Presidential Libraries, and Museum Services.—This activity provides for the Center for Legislative Archives and the Presidential Materials Division, which provide records management services to Congress and the White House; the Presidential Libraries of fourteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Museum in Washington, DC.

*Citizen Services.*—This activity provides for public access to and engagement with permanently valuable Federal Government records by the researcher community and the general public at public research rooms, online at *www.archives.gov*, and through innovative tools and technology to support collaboration with the public.

Agency and Related Services.—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the Electronic Records Archives system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

*Facility Operations.*—This activity provides for the operations and maintenance of NARA facilities. In 2019, this also included interest payments and repayments of principal on debt associated with construction of the National Archives building at College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority. NARA completed repayment of this debt in 2019.

*Electronic Records Initiative.*— This activity provides for expenses necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records.

Object Classification	(in millions of dollars)
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Identif	ication code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	134	136	135
11.5	Other personnel compensation	2	3	3
11.9	Total personnel compensation	136	139	138
12.1	Civilian personnel benefits	45	46	45
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	8	10	9
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	12	12	11
25.1	Advisory and assistance services	14	7	7
25.2	Other services from non-Federal sources	24	24	23
25.3	Other goods and services from Federal sources	20	18	37
25.4	Operation and maintenance of facilities	33	28	29
25.7	Operation and maintenance of equipment	35	36	36
26.0	Supplies and materials	2	3	3
31.0	Equipment	10	11	16
32.0	Land and structures	2	13	19
43.0	Interest and dividends	2		
94.0	Financial transfers	27	·····	
99.0	Direct obligations	373	350	376

99.0	Reimbursable obligations	2	3	1
99.9	Total new obligations, unexpired accounts	375	353	377
	Employment Summary			
Identific	ation code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.

	2010 00000	2020 000	2021 000
1001         Direct civilian full-time equivalent employment           2001         Reimbursable civilian full-time equivalent employment	1,402 27	1,408 29	1,352 27

#### OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110–409, 122 Stat. 4302–16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for the hire of passenger motor vehicles, [\$4,823,000] \$5,300,000. (Financial Services and General Government Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 088–0305–0–1–804	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Office of Inspector General	4	5	5
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	5	5	5
1930	Total budgetary resources available	5	5	5
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-1		
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	4	5	5
3020	Outlays (gross)	-4	—5	-5
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	5	5	5
	Outlays, gross:			
4010	Outlays from new discretionary authority	4	4	4
4011	Outlays from discretionary balances	<u> </u>	1	1
4020	Outlays, gross (total)	4	5	5
4180	Budget authority, net (total)	5	5	5
4190	Outlays, net (total)	4	5	5

The Office of Inspector General (OIG) provides independent audits, investigations, and other services; and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The OIG investigates misconduct, evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

### **Object Classification** (in millions of dollars)

Identi	ication code 088–0305–0–1–804	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	3	3
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	1	1	1
99.9	Total new obligations, unexpired accounts	4	5	5

#### Employment Summary

Identification code 088-0305-0-1-804	2019 actual	2020 est.	2021 est.
	18	24	24

#### National Archives and Records Administration—Continued Federal Funds—Continued 1291

#### REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, [\$7,500,000] \$5,000,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

ldentif	ication code 088–0302–0–1–804	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Repairs and Restoration (Direct)	7	9	:
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1	
	Budget authority:			
1100	Appropriations, discretionary:	0	0	
1100	Appropriation	8 8	8	
1930	Total budgetary resources available Memorandum (non-add) entries:	ŏ	9	:
1941	Unexpired unobligated balance, end of year	1		
1341	onexpired unobligated balance, end of year	1		
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	8	7	
3010 3020	New obligations, unexpired accounts	7 8	9 -13	
3020	Outlays (gross)	-8	-13	
3050	Unpaid obligations, end of year	7	3	
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	8	7	:
3200	Obligated balance, end of year	7	3	
	Budget authority and outlays, net:			
4000	Discretionary: Budget authority, gross	0	0	
4000		8	8	
4010	Outlays, gross: Outlays from new discretionary authority	1	7	
+010 4011	Outlays from discretionary balances	1	6	
+011	outrays from discretionary balances			
4020	Outlays, gross (total)	8	13	
1180	Budget authority, net (total)	8	8	
4190	Outlays, net (total)	8	13	

This appropriation provides for the repair, alteration, and improvement of National Archives facilities and Presidential Libraries nationwide. Funding provided allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal Government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identifi	cation code 088-0302-0-1-804	2019 actual	2020 est.	2021 est.
	Direct obligations:			
25.1	Advisory and assistance services	1		
32.0	Land and structures	6	9	5
99.9	Total new obligations, unexpired accounts	7	9	5

### NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION

### GRANTS PROGRAM

[For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, \$6,500,000, to remain available until expended.] (*Financial Services and General Government Appropriations Act, 2020.*)

### Program and Financing (in millions of dollars)

Identification code 088-0301-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity: 0001 National Historical Publications and Records Commission (Direct)	7	7	
0900 Total new obligations, unexpired accounts (object class 41.0)	7	7	

### Budgetary resources:

	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	6	7	
1930	Total budgetary resources available	8	8	1
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	9	11	8
3010	New obligations, unexpired accounts	7	7	
3020	Outlays (gross)	-5	-10	7
3050	Unpaid obligations, end of year	11	8	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	9	11	8
3200	Obligated balance, end of year	11	8	1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	6	7	
	Outlays, gross:			
4011	Outlays from discretionary balances	5	10	7
4180	Budget authority, net (total)	6	7	
4190	Outlays, net (total)	5	10	7

The National Historical Publications and Records Commission (NHPRC) grants program provides for grants to preserve and publish non-Federal records that document American history. The Budget does not request funds for this program.

### RECORDS CENTER REVOLVING FUND

Program and	d Financing	<b>g</b> (in millions of dollars)
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			2021 est.
Obligations by program activity: 0801 Records Center Revolving Fund (Reimbursable)	192	196	198
Budgetary resources:			
Unobligated balance: 1000 Unobligated balance brought forward, Oct 1	73	63	65
1021         Recoveries of prior year unpaid obligations	6	4	4
1050 Unobligated balance (total) Budget authority:	79	67	69
Spending authority from offsetting collections, discretionary:			
1700 Collected	188	194	193
1701 Change in uncollected payments, Federal sources		·····	<u> </u>
1750 Spending auth from offsetting collections, disc (total)	176	194	193
1930 Total budgetary resources available Memorandum (non-add) entries:	255	261	262
1941 Unexpired unobligated balance, end of year	63	65	64
Change in obligated balance: Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	27	25
3010 New obligations, unexpired accounts		196	198
3020 Outlays (gross)	-189	-194	-193
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3050 Unpaid obligations, end of year Uncollected payments:	27	25	26
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-51	-39	-39
3070 Change in uncollected pymts, Fed sources, unexpired	12	<u> </u>	
3090 Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-39	-39	-39
3100 Obligated balance, start of year		-12	-14
3200 Obligated balance, end of year	-12	-14	-13
Budget authority and outlays, net:			
Discretionary:	170	104	100
4000 Budget authority, gross Outlays, gross:	176	194	193
4010 Outlays from new discretionary authority	170	169	168
		25	25
4011 Outlays from discretionary balances	19	20	23

### RECORDS CENTER REVOLVING FUND-Continued

Program and Financing—Continued

ldentif	ication code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources	-187	-192	-191
4033	Non-Federal sources		-2	-2
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-188	-194	-193
4050	Change in uncollected pymts, Fed sources, unexpired	12		
4080	Outlays, net (discretionary)	1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	1		

This full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal Government records.

Object Classification (in millions of dollars)

Identifi	cation code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	62	63	64
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	4	4	4
11.9	Total personnel compensation	67	68	69
12.1	Civilian personnel benefits	23	24	24
21.0	Travel and transportation of persons	1	1	
22.0	Transportation of things	1	2	1
23.1	Rental payments to GSA	47	48	49
23.2	Rental payments to others	10	11	1
23.3	Communications, utilities, and miscellaneous charges	5	5	!
25.1	Advisory and assistance services	3	4	4
25.2	Other services from non-Federal sources	12	6	(
25.3	Other goods and services from Federal sources	12	12	12
25.7	Operation and maintenance of equipment	8	11	1
26.0	Supplies and materials	1	1	
31.0	Equipment	2	3	:
99.9	Total new obligations, unexpired accounts	192	196	198

**Employment Summary** 

Identification code 088–4578–0–4–804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	. 1,143	1,180	1,180

#### Trust Funds

NATIONAL ARCHIVES GIFT FUND

### Special and Trust Fund Receipts (in millions of dollars)

ldentif	ication code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:			
1130	Current law: Gifts and Bequests, National Archives Gift Fund	3	2	1
1130	Interest and Dividends on Non-Federal Securities, National Archives Gift Fund	1	1	1
1130	Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund	1	1	1
1199	Total current law receipts	5	4	3
1999	Total receipts	5	4	3
2000	Total: Balances and receipts Appropriations: Current law:	5	4	3
2101	National Archives Gift Fund	5	4	3
5099	Balance, end of year			

### THE BUDGET FOR FISCAL YEAR 2021

### Program and Financing (in millions of dollars)

Identi	ication code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: National Archives Gift Fund (Reimbursable)	4	6	5
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	4	5	3
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	5	4	3
1930	Total budgetary resources available	9	9	6
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	3	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	3
3010	New obligations, unexpired accounts	4	6	5
3020	Outlays (gross)		4	3
3050	Unpaid obligations, end of year	1	3	5
	Memorandum (non-add) entries:			
3100 3200	Obligated balance, start of year Obligated balance, end of year	1	1	3 5
			-	
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	5	4	3
	Outlays, gross:	Ū		Ŭ
4100	Outlays from new mandatory authority	3	3	2
4101	Outlays from mandatory balances	1	1	1
4110	Outlays, gross (total)	4	4	3
4180	Budget authority, net (total)	5	4	3
4190	Outlays, net (total)	4	4	3
	Hamman days for a stable set of a			
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value	4	6	6
5000	Total investments, SOT: rederal securities: Par value	4	6	6 7
5010	Total investments, SOY: non-Fed securities: Market value	25	26	26
5010	Total investments, SOT: non-Fed securities: Market value	25	26	20

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset a portion of the operating costs of Presidential Libraries.

### Object Classification (in millions of dollars)

Identif	fication code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
25.2	Other services from non-Federal sources	1	3	2
25.3	Other goods and services from Federal sources	1	1	1
33.0	Investments and loans	1	1	1
94.0	Financial transfers	1	1	1
99.9	Total new obligations, unexpired accounts	4	6	5

#### NATIONAL ARCHIVES TRUST FUND

Identi	fication code 088-8436-0-8-804	2019 actual	2020 est.	2021 est.
0801 0802	Obligations by program activity: Sales Presidential libraries	5	5 12	5 10
0900	Total new obligations, unexpired accounts	17	17	15
1000 1021	Budgetary resources: Unobligated balance: Unobligated balance brought forward, Oct 1 Recoveries of prior year unpaid obligations	6	4 1	5 1
1050	Unobligated balance (total)	6	5	6

	Budget authority: Spending authority from offsetting collections, mandatory:			
1800	Collected	15	17	16
	Total budgetary resources available	21	22	22
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	5	7
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	4	3
3010	New obligations, unexpired accounts	17	17	15
3020	Outlays (gross)	-16	-17	-16
3040	Recoveries of prior year unpaid obligations, unexpired	<u> </u>		-1
3050	Unpaid obligations, end of year	4	3	1
0000	Memorandum (non-add) entries:	-	0	-
3100	Obligated balance, start of year	3	4	3
3200	Obligated balance, end of year	4	3	1
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	15	17	16
4000	Outlays, gross:	10	17	10
4100	Outlays from new mandatory authority	14	14	13
4101	Outlays from mandatory balances	2	3	3
4110	Outlays, gross (total)	16	17	16
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-1	-1	-1
4123	Non-Federal sources	14		-15
4130	Offsets against gross budget authority and outlays (total)	-15	-17	-16
4170	Outlays, net (mandatory)	1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	1		
	Momerandum (non-odd) entries			
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value	8	7	7
5000	Total investments, EOY: Federal securities: Par value	7	7	7
5010	Total investments, SOY: non-Fed securities: Market value	58	64	64
5010	Total investments, EOY: non-Fed securities: Market value	64	64	64
	istal involutional, 20 million 100 00000000 Market Value initia	04	04	04

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited to the National Archives Trust Fund (44 U.S.C. 2112, 2307).

### **Object Classification** (in millions of dollars)

Identifi	cation code 088-8436-0-8-804	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	3	4	3
25.3	Other goods and services from Federal sources	2	1	1
26.0	Supplies and materials	1	1	1
33.0	Investments and loans	5	5	4
99.9	Total new obligations, unexpired accounts	17	17	15

### **Employment Summary**

Identification code 088-8436-0-8-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	58	62	62

### NATIONAL CAPITAL PLANNING COMMISSION

### Federal Funds

### SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$8,124,000: *Provided*, That one-quarter of 1 percent of the funds provided under this heading may be used for official reception and representational expenses asso-

ciated with hosting international visitors engaged in the planning and physical development of world capitals. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Identif	ication code 394-2500-0-1-451	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and expenses	8	8	8
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary: Appropriation	8	8	0
	Total budgetary resources available	o 8	° 8	8
1930		0	0	0
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2	2
3010	New obligations, unexpired accounts	8	8	8
3020	Outlays (gross)	-8	-8	-8
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	2	2	2
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	8	8	8
4010	Outlays from new discretionary authority	7	7	7
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	8	8	8
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	8	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives, policy-making, and review of development proposals, NCPC helps guide Federal development while preserving the Capital City's unique resources. NCPC will continue to work with the District of Columbia and Federal and regional partners to develop comprehensive policies and planning initiatives that support the Federal interest and contribute to the best urban design, infrastructure, resource, and landuse outcomes for the Region. In addition, NCPC will continue to ensure that all Federal development in the Region meets the highest design standards and will review Federal plans for regional capital improvements.

### Object Classification (in millions of dollars)

Identif	ication code 394-2500-0-1-451	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	2	2	2
25.1	Advisory and assistance services	1	1	1
99.9	Total new obligations, unexpired accounts	8	8	8

### **Employment Summary**

Identi	fication code 394-2500-0-1-451	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	33	35	35

### NATIONAL COMMISSION ON MILITARY, NATIONAL, AND PUBLIC SERVICE

Federal Funds

NATIONAL COMMISSION ON MILITARY, NATIONAL, AND PUBLIC SERVICE

Program and Financing (in millions of dollars)

Identif	ication code 236–2978–0–1–054	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Direct program activity	5		
0900	Total new obligations, unexpired accounts (object class $25.1) \hdots \hdots$	5		
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	10	5	5
1930	Total budgetary resources available	10	5	5
1330	Memorandum (non-add) entries:	10	5	5
1941	Unexpired unobligated balance, end of year	5	5	5
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5	9	4
3010	New obligations, unexpired accounts	5		
3020	Outlays (gross)		-5	-2
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	9	4	2
3100	Obligated balance, start of year	5	9	4
3200	Obligated balance, end of year	9	4	2
	Budget authority and outlays, net: Discretionary:			
4011	Outlays, gross: Outlays from discretionary balances	1	5	2
4011	Budget authority, net (total)	-	J	2
4190	Outlays, net (total)	1	5	2

### NATIONAL COMMISSION ON MILITARY AVIATION SAFETY

#### Federal Funds

NATIONAL COMMISSION ON MILITARY AVIATION SAFETY

#### Program and Financing (in millions of dollars)

Identification code 246-2865-0-1-054		2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Direct program activity	1	4	<u></u>
0900	Total new obligations, unexpired accounts (object class 25.3) $\ldots \ldots$	1	4	
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority: Appropriations, discretionary:		4	
1121	Appropriations transferred from other acct [021–2033]	1		
1121	Appropriations transferred from other acct [017–1506]	1		
1121	Appropriations transferred from other acct [097–0300]	2		
1121	Appropriations transferred from other acct [057–3010]	1		
1160	Appropriation, discretionary (total)	5		
1900	Budget authority (total)	5		
1930	Total budgetary resources available	5	4	
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4		
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1	2
3010	New obligations, unexpired accounts	1	4	
3020	Outlays (gross)		-3	-1
3050	Unpaid obligations, end of year	1	2	1
3100	Memorandum (non-add) entries:		1	2
0010	Obligated balance, start of year		1	4

3200	Obligated balance, end of year	1	2	1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	5		
4011	Outlays from discretionary balances		3	1
4180	Budget authority, net (total)	5		
4190	Outlays, net (total)		3	1

### NATIONAL COUNCIL ON DISABILITY

### Federal Funds

### SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, \$3,350,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	ication code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.
0001 0002	Obligations by program activity: Salaries and expenses Other services from non-Federal sources	3	2 1	2 1
0900	Total new obligations, unexpired accounts	3	3	3
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriation	3	3	3
1930	Total budgetary resources available	3	3	3
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	3	3	3
3020	Outlays (gross)	3	3	3
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	1	1	1
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	3	3	3
4010	Outlays, gross. Outlays from new discretionary authority	3	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	3	3
		0	0	0

The National Council on Disability (NCD), an independent Federal agency, is composed of nine members appointed by the President and the Congress. Established under the Rehabilitation Act of 1973, as amended by the Workforce Innovation and Opportunity Act, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President; the Congress; the Rehabilitation Services Administration; the National Institute on Disability, Independent Living, and Rehabilitation Research; and other Federal Departments and agencies.

#### **Object Classification** (in millions of dollars)

Identif	ication code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	1	1	1
99.0	Direct obligations	2	2	2
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	3	3	3

Employment Summary			
Identification code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

## NATIONAL CREDIT UNION ADMINISTRATION

#### Federal Funds

OPERATING FUND

#### Program and Financing (in millions of dollars)

	fication code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0801	Safety and Soundness	189	224	226
0803	Regulation and Consumer Protection	27	31	31
0804	Mission support	91	82	83
0805	Office of Inspector General	4	4	4
0900	Total new obligations, unexpired accounts	311	341	344
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	114	100	97
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	319	338	345
1801	Change in uncollected payments, Federal sources	-22		
1850	Spending auth from offsetting collections, mand (total)	297	338	345
	Total budgetary resources available	411	438	442
1930	Memorandum (non-add) entries:	411	430	442
1941	Unexpired unobligated balance, end of year	100	97	98
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	58	58	23
3010	New obligations, unexpired accounts	311	341	344
3020	Outlays (gross)	-311	-376	-355
3050	Unpaid obligations, end of year Uncollected payments:	58	23	12
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-50	-28	-28
3070	Change in uncollected pymts, Fed sources, unexpired	22		
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-28	-28	-28
3100	Obligated balance, start of year	8	30	-5
3200	Obligated balance, end of year	30	-5	-16
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	297	338	345
	Outlays, gross:			
4100	Outlays from new mandatory authority	201	321	328
	Outlays from mandatory balances	110	55	27
4101				
	Outlays gross (total)	311	376	355
4101 4110	Outlays, gross (total) Offsets against gross hudget authority and outlays	311	376	355
	Offsets against gross budget authority and outlays:	311	376	355
4110	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4110 4120	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources	-177	-192	-196
4110 4120 4121	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources Interest on Federal securities	-177 -3	-192 -1	-196 -1
4110 4120	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources	-177	-192	-196
4110 4120 4121 4123	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources Interest on Federal securities Non-Federal sources Offsetting governmental collections Offsets against gross budget authority and outlays (total)	-177 -3 -1	-192 -1	-196 -1
4110 4120 4121 4123 4124 4130	Offsets against gross budget authority and outlays: Offseting collections (collected) from: Federal sources Interest on Federal securities Non-Federal sources Offsetting governmental collections Offsetts against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-177 -3 -1 -138 -319	-192 -1  -145 -338	-196 -1 -148 -345
4110 4120 4121 4123 4124 4130 4140	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources Interest on Federal securities Non-Federal sources Offsetting governmental collections Offsetts against gross budget authority and outlays (total) Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired	-177 -3 -1 -138 -319 22	-192 -1 -145 -338	-196 -1 
4110 4120 4121 4123 4124 4130 4140 4170	Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources	$-177 \\ -3 \\ -1 \\ -138 \\ -319 \\ 22 \\ -8 \\ -8 \\ -8 \\ -8 \\ -8 \\ -8 \\ -8$	-192 -1 -145 -338 -338	-196 -1 
4110 4120 4121 4123 4124 4130 4140 4170 4180	Offsets against gross budget authority and outlays: Offsets against gross budget authority and outlays: Offsetral sources Interest on Federal securities Non-Federal sources Offsetting governmental collections Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired Outlays, net (mandatory) Budget authority, net (total)	-177 -3 -1 -138 -319 22 -8	-192 -1 -145 -338 	-196 -1 
4110 4120 4121 4123 4124 4130 4140 4170	Offsets against gross budget authority and outlays: Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources Non-Federal sources Offsetting governmental collections Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired Outlays, net (mandatory) Budget authority, net (total)	$-177 \\ -3 \\ -1 \\ -138 \\ -319 \\ 22 \\ -8 \\ -8 \\ -8 \\ -8 \\ -8 \\ -8 \\ -8$	-192 -1 -145 -338 -338	-196 -1 
4110 4120 4121 4123 4124 4130 4140 4170 4180	Offsets against gross budget authority and outlays: Offsets against gross budget authority and outlays: Offsetral sources Interest on Federal securities Non-Federal sources Offsetting governmental collections Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired Outlays, net (mandatory) Budget authority, net (total)	-177 -3 -1 -138 -319 22 -8	-192 -1 -145 -338 	-196 -1 
4110 4120 4121 4123 4124 4130 4140 4170 4180	Offsets against gross budget authority and outlays: Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources Non-Federal sources Offsetting governmental collections Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired Outlays, net (mandatory) Budget authority, net (total) Outlays, net (total)	-177 -3 -1 -138 -319 22 -8	-192 -1 -145 -338 	-196 -1 

The mission of the National Credit Union Administration (NCUA) is to provide, through regulation and supervision, a safe and sound credit union system, which promotes confidence in the national system of cooperative credit. Credit unions are member-owned, cooperative associations organized for the purpose of promoting thrift and creating a source of credit for members. As of September 30, 2019, there were 3,321 federally-chartered credit unions with total assets of more than \$795 billion.

NCUA, through its Operating Fund, conducts activities prescribed by the Federal Credit Union Act of 1934, which include: 1) chartering new Federal credit unions; 2) approving field of membership applications of Federal credit unions; 3) promulgating regulations and providing guidance; 4) performing regulatory compliance and safety and soundness examinations; 5) implementing and administering enforcement actions, such as prohibition orders, orders to cease and desist, orders of conservatorship and orders of liquidation; and 6) administering the National Credit Union Share Insurance Fund (SIF), which provides insurance to Federal credit unions (FCUs) and federally-insured state-chartered credit unions (FISCUs).

To better demonstrate how the NCUA's budget is used to achieve its strategic goals, the Operating Fund's obligations by program activity are presented in the same categories shown in the 2020 Budget. Amounts shown for "Safety and Soundness" correspond to programs that contribute to the NCUA's goal to "Ensure a Safe and Sound Credit Union System." Amounts shown for "Regulation and Consumer Protection" correspond to programs that contribute to the NCUA's goal to "Provide a Regulatory Framework that is Transparent, Efficient, and Improves Customer Access." Amounts shown for "Mission Support" correspond to programs that contribute to the NCUA's goal to "Maximize Organizational Performance to Enable Mission Success."

NCUA funds its activities through operating fees levied on all FCUs, and through reimbursements from the SIF, which is funded by FCUs and FISCUs.

#### Object Classification (in millions of dollars)

Identifi	cation code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
11.1	Reimbursable obligations: Personnel compensation: Full-time			
	permanent	152	161	163
11.9	Total personnel compensation	152	161	163
12.1	Civilian personnel benefits	60	65	66
21.0	Travel and transportation of persons	23	25	25
23.2	Rental payments to others	3	3	3
23.3	Communications, utilities, and miscellaneous charges	6	6	6
25.2	Other services from non-Federal sources	44	57	57
25.3	Other goods and services from Federal sources	6	6	6
25.4	Operation and maintenance of facilities	5	6	6
26.0	Supplies and materials	4	4	4
31.0	Equipment	8	8	8
99.9	Total new obligations, unexpired accounts	311	341	344

### **Employment Summary**

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,108	1,180	1,180

#### CREDIT UNION SHARE INSURANCE FUND

#### Program and Financing (in millions of dollars)

Identif	ication code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0801	Payments to the Operating Fund for services and facilities	183	192	196
0802	Other Administrative Expenses	9	8	8
0803	Working Capital	114	29	33
0804	Liquidation Expenses	47	146	165
0805	NCUA Guaranteed Notes program		455	1,219
0806	Distibution	160		
0900	Total new obligations, unexpired accounts	513	830	1,621

Budgetary resources: Unobligated balance

	bilobilgatea balance.			
1000	Unobligated balance brought forward, Oct 1	14.612	15.232	16.125

### CREDIT UNION SHARE INSURANCE FUND—Continued Program and Financing—Continued

Budget authority:           Spending authority from offsetting collections, mandatory:           1800         Collected           1801         Change in uncollected payments, Federal sources           1850         Spending auth from offsetting collections, mand (total)           1930         Total budgetary resources available           1941         Unexpired unobligated balance, end of year           1941         Unexpired unobligated balance:           Unpaid obligations:         Unpaid obligations, brought forward, Oct 1           3010         New obligations, unexpired accounts           3020         Outlays (gross)	1,134 	1,723  1,723 16,955 16,125	2,536  2,536 18,661
1800       Collected         1801       Change in uncollected payments, Federal sources         1850       Spending auth from offsetting collections, mand (total)         1930       Total budgetary resources available         1930       Total budgetary resources available         1941       Unexpired unobligated balance, end of year         1941       Unexpired unobligated balance.         Unpaid obligations:       Unpaid obligations, brought forward, Oct 1         3010       New obligations, unexpired accounts	 1,133 15,745	1,723 16,955	2,536
1801       Change in uncollected payments, Federal sources	 1,133 15,745	1,723 16,955	2,536
1850       Spending auth from offsetting collections, mand (total)         1930       Total budgetary resources available         Memorandum (non-add) entries:          1941       Unexpired unobligated balance, end of year         Change in obligated balance:         Unpaid obligations:       Unpaid obligations, brought forward, Oct 1         3010       New obligations, unexpired accounts	1,133 15,745	1,723 16,955	2,536
1930       Total budgetary resources available         Memorandum (non-add) entries:         1941       Unexpired unobligated balance, end of year         Change in obligated balance:         Unpaid obligations:         000       Unpaid obligations, brought forward, Oct 1         3010       New obligations, unexpired accounts	15,745	16,955	,
Memorandum (non-add) entries: 1941 Unexpired unobligated balance, end of year Change in obligated balance: Unpaid obligations: 3000 Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts			18,661
Unexpired unobligated balance, end of year           Change in obligated balance:           Unpaid obligations.           3000         Unpaid obligations, brought forward, Oct 1           3010         New obligations, unexpired accounts	15,232	16,125	
Change in obligated balance: Unpaid obligations: 3000 Unpaid obligations, brought forward, Oct 1	15,232	16,125	
Unpaid obligations: 3000 Unpaid obligations, brought forward, Oct 1 3010 New obligations, unexpired accounts			17,040
3000         Unpaid obligations, brought forward, Oct 1           3010         New obligations, unexpired accounts			
3010 New obligations, unexpired accounts	282	51	
	282 513	51 830	1.621
5020 Outlays (gross)	-744	-881	-1.621
			1,021
3050 Unpaid obligations, end of year	51		
Uncollected payments:	70		
3060         Uncollected pymts, Fed sources, brought forward, Oct 1           3070         Change in uncollected pymts, Fed sources, unexpired	-72	-71	-71
3070 Change in uncollected pymts, Fed sources, unexpired	1	<u> </u>	
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	210	-20	-71
3200 Obligated balance, end of year	-20	-71	-71
Budget authority and outlays, net: Mandatory: 4090 Budget authority, gross	1,133	1,723	2,536
Outlays, gross:			
4100 Outlays from new mandatory authority	458	830	1,621
4101 Outlays from mandatory balances	286	51	
4110 Outlays, gross (total)	744	881	1.621
Offsets against gross budget authority and outlays:			,-
Offsetting collections (collected) from:			
4120 Federal sources	-1		
121 Interest on Federal securities	-307	-294	-315
123 Non-Federal sources	-167	-829	-1,319
124 Offsetting governmental collections	-659	600	902
4130 Offsets against gross budget authority and outlays (total)	-1,134	-1,723	-2,536
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1		
4170 Outlays, net (mandatory)	-390	-842	-915
4180 Budget authority, net (total)			
1190 Outlays, net (total)	-390	-842	-915
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	14,895	15,276	16,169
5001 Total investments, EOY: Federal securities: Par value	15,276	16,169	17,084
Status of Guaranteed Loans (in million	ns of dollars)		
Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.

Identi	lication code 025–4468–0–3–373	2019 actual	2020 est.	2021 est.
	Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	4,696	3,449	2,287
2231	Disbursements of new guaranteed loans	2	2	2
2251	Repayments and prepayments	-1,145	-1,162	-2,285
2251	Repayments and prepayments		-2	-2
2261	Adjustments: Terminations for default that result in loans			
	receivable	-104		
2290	Outstanding, end of year	3,449	2,287	2
	Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of			
	year	3,449	2,287	2

The primary purpose of the National Credit Union Share Insurance Fund (SIF) is to provide insurance for deposits of member accounts (also known as insured member shares) for nearly 120 million members in federally-chartered credit unions and state-chartered credit unions that qualify for insurance under the Federal Credit Union Act. As of September 30, 2019, 5,281 state and Federal credit unions and 11 corporate credit unions were insured by the SIF, with insured member shares of \$1.2 trillion—an increase of \$72 billion, or six percent, year-on-year.

Following a cost allocation method that distributes NCUA costs between its insurance and regulatory functions, the SIF reimburses the NCUA Operating Fund for its share of administrative costs. In calendar year 2019, the SIF paid reimbursements of approximately \$183 million to the Operating Fund.

On September 28, 2017, the NCUA Board voted unanimously to close the Temporary Corporate Credit Union Stabilization Fund (TCCUSF) and to distribute the TCCUSF's remaining funds, property, and other assets to the SIF. Through the distribution, the SIF assumed the activities and obligations of the TCCUSF, including NCUA Guaranteed Notes (NGN).

As of September 30, 2019, the outstanding principal balance of the NGNs was 3.4 billion. This amount represents the maximum potential, but not the expected cost, of future guaranteed payments that NCUA could be required to make under the program. The NCUA currently anticipates a total of \$2.5 billion in NGN guarantee payments to be made through 2021, of which some payments will be made from the balances the NCUA manages as a fiduciary for certain failed corporate credit union estates. The final tranche of NGNs will mature in 2021. The NCUA currently estimates that after all of the NGNs mature, the receivables due to the SIF from the estates of failed corporate credit unions will be equal to or greater than the amount of guarantee payments paid by the SIF.

The SIF's normal operating level, which is the Fund's target capital level, remains at 1.38 percent of insured shares in 2020.

For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

### Object Classification (in millions of dollars)

Identi	fication code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	6	5	5
25.3	Other goods and services from Federal sources	183	192	196
33.0	Investments and loans	2	2	2
42.0	Working Capital	114	29	33
42.0	Liquidation Expenses	47	146	165
43.0	NGN Payments to Investors		455	1,219
44.0	Estimated Distributions	160		
99.9	Total new obligations, unexpired accounts	513	830	1,621

#### **Employment Summary**

Identification code 025–4468–0–3–373	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

#### CENTRAL LIQUIDITY FACILITY

#### Program and Financing (in millions of dollars)

2019 actual

2020 est

2021 est

Identification code 025-4470-0-3-373

		2010 00000	2020 000	2021 000
0001	Obligations by program activity:	1	,	
0801	Administration	1	1	1
0802	Membership Activity	1	5	1
0803	Loan Activity	1	1	1
0809	Reimbursable program activities, subtotal	3	7	3
0900	Total new obligations, unexpired accounts	3	7	3
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:	309	330	347
1800	Spending authority from offsetting collections, mandatory: Offsetting collections (cash, CCU Guarantee Program)	24		
1800	Collected (subscribed stock)		24	24
1850	Spending auth from offsetting collections, mand (total)	24	24	24
1930	Total budgetary resources available Memorandum (non-add) entries:	333	354	371
1941	Unexpired unobligated balance, end of year	330	347	368

	Change in obligated balance:			
3000	Unpaid obligations:		1	2
3000	Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts		1	2
3020		-2	-6	-2
3020	Outlays (gross)	-2	-0	-2
3050	Unpaid obligations, end of year Uncollected payments:	1	2	3
3060	Uncollected pymts, Fed sources, brought forward, Oct 1			-1
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-1	-1	-1
3100	Obligated balance, start of year	-1		1
3200	Obligated balance, end of year		1	2
4090 4100	Budget authority, gross Outlays, gross: Outlays from new mandatory authority Offsets against gross budget authority and outlays:	24 2	24 6	24 2
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4121	Interest on Federal securities	-6	-6	-6
4123	Non-Federal sources			-18
4130	Offsets against gross budget authority and outlays (total)	-24	-24	-24
		-22	-18	-22
4170	Outlays, net (mandatory)	-22	-10	
4170 4180				
	Uutlays, net (mandatory) Budget authority, net (total) Outlays, net (total)			-22
4180	Budget authority, net (total) Outlays, net (total)			
4180	Budget authority, net (total)			

The purpose of the Central Liquidity Facility (CLF), established under Title III of the Federal Credit Union Act, is to improve the general financial stability of member credit unions by lending, subject to statutory limitations, to member credit unions experiencing unusual or unexpected liquidity shortfalls. The two primary sources of funds for the CLF are stock subscriptions from member credit unions and borrowings from the Federal Financing Bank. The borrowing authority of the CLF is limited by statute to 12 times the subscribed capital stock and surplus (retained earnings) which equates to \$7.4 billion as of September 30, 2019.

#### Object Classification (in millions of dollars)

Identif	ication code 025-4470-0-3-373	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
25.3	Other goods and services from Federal sources	1	1	1
44.0	Refunds: Membership Activity	1	5	1
94.0	Financial transfers: FFB repayment	1	1	1
99.9	Total new obligations, unexpired accounts	3	7	3

### COMMUNITY DEVELOPMENT REVOLVING LOAN FUND

[For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, \$1,500,000 shall be available until September 30, 2021, for technical assistance to low-income designated credit unions. [(Financial Services and General Government Appropriations Act, 2020.)

Program and	Financing	(in millions of dollars)
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Identif	ication code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Technical assistance	4	2	
0801	Loans	1	2	2
0900	Total new obligations, unexpired accounts	5	4	2
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	8	10	10
1001	Discretionary unobligated balance brought fwd, Oct 1	1	1	
	Budget authority: Appropriations, discretionary:			
1100	Appropriation	2	2	

1000	Spending authority from offsetting collections, mandatory:	-		
1800	Collected Budget authority (total)	5 7	2	2
1900 1930	Total budgetary resources available	15	4	12
1920	Memorandum (non-add) entries:	15	14	12
1941	Unexpired unobligated balance, end of year	10	10	10
	onexpired unobligated balance, end of year	10	10	10
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	4	
3010	New obligations, unexpired accounts	5	4	2
3020	Outlays (gross)	-2	-8	-2
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	4		
3100	Obligated balance, start of year	1	4	
3200	Obligated balance, end of year	4		
4000	Budget authority and outlays, net: Discretionary: Budget authority, gross	2	2	
4000	Outlays, gross:	2	2	
4010	Outlays from new discretionary authority		2	
4011	Outlays from discretionary balances	1	4	
4020	Outlays, gross (total) Mandatory:	1	6	
4090	Budget authority, gross Outlays, gross:	5	2	2
4100	Outlays, gross. Outlays from new mandatory authority Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	1	2	2
4123	Non-Federal sources	-5	-2	_2
	Budget authority, net (total)	2	2	-
4190		-3	6	
	Management (and a diff) and the			
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value	5	9	10
5000	Total investments, EOY: Federal securities: Par value	9	9 10	10
0001	iotal nivestinents, LUT: reactal securities: rai value	9	10	11

### Status of Direct Loans (in millions of dollars)

Identif	ication code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	10	6	7
1231	Disbursements: Direct loan disbursements	1	2	2
1251	Repayments: Repayments and prepayments	5		
1290	Outstanding, end of year	6	7	8

The Community Development Revolving Loan Fund (CDRLF) was established by Congress in 1979 with a \$6 million appropriation to assist credit unions serving low-income communities to: 1) provide financial services to their communities; 2) stimulate economic activities in their communities, resulting in increased income and employment; and 3) operate more efficiently. CDRLF funds a revolving loan program and a technical assistance grant program.

For the revolving loan program, CDRLF had outstanding loans of \$6.2 million (20 loans outstanding to 20 credit unions) as of September 30, 2019. For the 2019 round of technical assistance grants, which are administered on a calendar-year basis, NCUA awarded \$2 million in technical assistance grants to help 164 low-income credit unions increase outreach to underserved communities, establish professional mentoring relationships between staff at larger and smaller minority depository institutions to increase staff capacity and improve operations, improve digital services and security, and train employees.

The Budget does not request CDRLF discretionary appropriations for 2021.

#### Object Classification (in millions of dollars)

Identif	ication code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
41.0	Direct obligations: Grants, subsidies, and contributions Reimbursable obligations: Investments and Ioans	4	2	······
	5	1		2
99.0	Reimbursable obligations	1	2	2

**Object Classification**—Continued

Identifica	ation code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
99.9	Total new obligations, unexpired accounts	5	4	2

### NATIONAL ENDOWMENT FOR THE ARTS

### Federal Funds

#### GRANTS AND ADMINISTRATION

For necessary expenses to carry out the closure of the National Endowment for the Arts, established under the National Foundation on the Arts and the Humanities Act of 1965, [\$162,250,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act] \$30,175,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Identi	ication code 417–0100–0–1–503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Promotion of the arts	122	134	
0003 0004	Program support Salaries and expenses	2 32	3 34	
	·			
	Total direct obligations	156	171	30
0801	Reimbursable program activity	1	1	
0900	Total new obligations, unexpired accounts	157	172	30
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	9	11	3
1021	Recoveries of prior year unpaid obligations	3	2	1
1050				
1050	Unobligated balance (total) Budget authority: Appropriations, discretionary:	12	13	4
1100	Appropriations, discretionary: Appropriation	155	162	30
1100	Spending authority from offsetting collections, discretionary:	100	102	00
1700	Collected	1	1	
1701	Change in uncollected payments, Federal sources		-1	
1750	Spending auth from offsetting collections, disc (total)	1		
1900	Budget authority (total)	156	162	30
1930	Total budgetary resources available	168	175	34
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	11	3	4
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	151	148	145
3010	New obligations, unexpired accounts	157	172	30
3020 3040	Outlays (gross) Recoveries of prior year unpaid obligations, unexpired	-157 -3	-173 -2	-142 -1
3040	Recoveries of prior year unpaid obligations, unexpired			
3050	Unpaid obligations, end of year	148	145	32
3060	Uncollected payments: Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	
3070	Change in uncollected pymts, Fed sources, unexpired	-1	-1	
3090	Uncollected pymts, Fed sources, end of year	-1		
3100	Memorandum (non-add) entries: Obligated balance, start of year	150	147	145
3200	Obligated balance, start of year Obligated balance, end of year	130	147	32
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	156	162	30
	Outlays, gross:		,-	
4010	Outlays from new discretionary authority	50	55	28
4011	Outlays from discretionary balances	107	118	114
4020	Outlays, gross (total)	157	173	142
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:		-	
	Unsetting conections (conected) from:			

Additional offsets against gross budget authority only:	
Change in uncollected nymts. Fed sources, unevoired	

4050	Change in uncollected pymts, Fed sources, unexpired	·	1	·····
4070	Budget authority, net (discretionary)	155	162	30
4080	Outlays, net (discretionary)	156	172	142
4180	Budget authority, net (total)	155	162	30
4190	Outlays, net (total)	156	172	142

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Arts. The Budget requests \$30 million to conduct an orderly closeout of the agency beginning in fiscal year 2021.

#### Object Classification (in millions of dollars)

Identi	fication code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	14	16	12
11.3	Other than full-time permanent	2	2	2
11.9	Total personnel compensation	16	18	14
12.1	Civilian personnel benefits	5	6	5
13.0	Benefits for former personnel			5
23.1	Rental payments to GSA	3	3	3
25.1	Advisory and assistance services	3	3	1
25.2	Other services from non-Federal sources	4	4	1
25.3	Other goods and services from Federal sources	2	2	1
31.0	Equipment	1	1	
41.0	Grants, subsidies, and contributions	121	133	·····
99.0	Direct obligations	155	170	30
99.0	Reimbursable obligations	1	1	
99.5	Adjustment for rounding	1	1	
99.9	Total new obligations, unexpired accounts	157	172	30

### **Employment Summary**

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	139	148	114

#### Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 417-8040-0-7-503	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:			
1130	Current law: Gifts and Donations, National Endowment for the Arts Proposed:		1	1
1230	Gifts and Donations, National Endowment for the Arts	<u> </u>	<u> </u>	
1999	Total receipts	·····	1	
2000	Total: Balances and receipts Appropriations: Current law:		1	
2101	Gifts and Donations, National Endowment for the Arts Proposed:		-1	-1
2201	Gifts and Donations, National Endowment for the Arts			1
2999	Total appropriations		-1	
5099	Balance, end of year			

### Program and Financing (in millions of dollars)

Identif	fication code 417-8040-0-7-503	2019 actual	2020 est.	2021 est.
0102 0900	Obligations by program activity: Permanent authority Total new obligations, unexpired accounts (object class 99.5)		<u> </u>	<u> </u>
1000	Budgetary resources: Unobligated balance: Unobligated balance brought forward, Oct 1	2	2	2

	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriations, manuatory. Appropriation (special or trust fund)		1	1
1930	Total budgetary resources available	2	3	3
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2	2
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts		1	1
3020	Outlays (gross)		1	1
5020	outrays (51033)			
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross		1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority		1	1
4180	Budget authority, net (total)		1	1
4190	Outlays, net (total)		1	1

# Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority		1	1
Outlays		1	1
Legislative proposal, subject to PAYGO:			
Budget Authority			-1
Outlays			-1
Total:			
Budget Authority		1	
Outlays		1	

# GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

# (Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

dentif	ication code 417-8040-4-7-503	2019 actual	2020 est.	2021 est.
0100	Obligations by program activity:			1
0102	Permanent authority			-1
0900	Total new obligations, unexpired accounts (object class 25.2)			-1
	Budgetary resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)			-1
1930	Total budgetary resources available			-1
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts			-1
3020	Outlays (gross)			1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross			-1
	Outlays, gross:			
4100	Outlays from new mandatory authority			-1
4180	Budget authority, net (total)			-1
4190	Outlays, net (total)			-1

# NATIONAL ENDOWMENT FOR THE HUMANITIES

# Federal Funds

#### GRANTS AND ADMINISTRATION

For necessary expenses to carry out the *closure of the* National [Foundation on the Arts and the Humanities Act of 1965, 162,250,000] *Endowment for the Humanities, including for administration of awards made prior to September 30, 2020, and satisfaction and administration of offers made prior to September 30, 2020, pursuant to the matching grants program authorized under sections 10(a)(2), 11(a)(2)(B), and 11(a)(3)(B) of the National Foundation on the Arts and the Humanities Act of 1965, \$33,419,600, to remain available until expended*[, of which \$147,750,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and

National Endowment for the Humanities 1299

\$14,500,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act, including \$12,500,000 for the purposes of section 7(h): *Provided*, That appropriations for carrying out section 10(a)(2) shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, devises of money, and other property accepted by the chairman or by grantees of the National Endowment for the Humanities under the provisions of sections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated]. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 418-0200-0-1-503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Promotion of the humanities	126	141	11
0004	Administration	28	30	22
0799	Total direct obligations	154	171	33
0801	Reimbursable program activity	2		
0900	Total new obligations, unexpired accounts	156	171	33
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	20	22	16
1021	Recoveries of prior year unpaid obligations	1	2	2
1050	Unobligated balance (total) Budget authority:	21	24	18
	Appropriations, discretionary:			
1100	Appropriation	155	162	33
1700	Spending authority from offsetting collections, discretionary:	0	1	
1700 1900	Collected Budget authority (total)	2 157	1 163	
1930	Total budgetary resources available	137	103	51
1550	Memorandum (non-add) entries:	1/0	107	51
1941	Unexpired unobligated balance, end of year	22	16	18
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	142	155	148
3010	New obligations, unexpired accounts	156	171	33
3020 3040	Outlays (gross)	-142	-176 -2	-97 -2
3040	Recoveries of prior year unpaid obligations, unexpired		-2	-2
3050	Unpaid obligations, end of year Uncollected payments:	155	148	82
3060	Uncollected pymts, Fed sources, brought forward, Oct $1 \hdots 1$	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-1	-1	-1
3100	Obligated balance, start of year	141	154	147
3200	Obligated balance, end of year	154	147	81
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	157	163	33
	Outlays, gross:			
4010	Outlays from new discretionary authority	62	81	16
4011	Outlays from discretionary balances	80	95	81
4020	Outlays, gross (total) Offsets against gross budget authority and outlays:	142	176	97
	Offsetting collections (collected) from:			
4030	Federal sources	-2		·····
4040	Offsets against gross budget authority and outlays (total)	-2	-1	
4180	Budget authority, net (total)	155	162	33
4190	Outlays, net (total)	140	175	97

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Humanities. The Budget requests, \$33,419,600 to conduct an orderly closeout of the NEH beginning in fiscal year 2021. Of this amount, \$22,524,600 is for salaries and expenses necessary to monitor grants that will remain open as of October 1, 2020 and to plan and carry out the agency's closure; and \$10,895,000 is for funds to honor matching offers made by NEH prior to October 1, 2020.

# GRANTS AND ADMINISTRATION—Continued

# Object Classification (in millions of dollars)

Identifi	cation code 418-0200-0-1-503	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time	15	17	-
	permanent	15	17	
11.9	Total personnel compensation	15	17	7
12.1	Civilian personnel benefits	5	5	2
13.0	Benefits for former personnel			9
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources	5	5	1
41.0	Grants, subsidies, and contributions	126	141	11
99.0	Direct obligations	154	171	33
99.0	Reimbursable obligations	2		
99.9	Total new obligations, unexpired accounts	156	171	33

# **Employment Summary**

Identification code 418-0200-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	130	145	60

# Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

# Special and Trust Fund Receipts (in millions of dollars)

Identifi	cation code 418-8050-0-7-503	2019 actual	2020 est.	2021 est.
	Balance, start of year Receipts:			
1130	Current law: Gifts and Donations, National Endowment for the Humanities	1	1	1
1230	Proposed: Gifts and Donations, National Endowment for the Humanities			-1
1999	Total receipts	1	1	
2000	Total: Balances and receipts Appropriations: Current law:	1	1	
2101	Gifts and Donations, National Endowment for the Humanities	-1	-1	-1
2201	Proposed: Gifts and Donations, National Endowment for the Humanities			1
2999	Total appropriations	-1	-1	
5099	Balance, end of year			

# Program and Financing (in millions of dollars)

Identif	ication code 418-8050-0-7-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Promotion of the humanities		1	1
0900	Total new obligations, unexpired accounts (object class 41.0)		1	1
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:		1	1
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	1	1
1930	Total budgetary resources available	1	2	2
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts		1	1
3020	Outlays (gross)		-1	-1

#### Budget authority and outlays, net:

	Mandatory:			
4090	Budget authority, gross	1	1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority		1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)		1	1

# Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1	1	1
Outlays		1	1
Legislative proposal, subject to PAYGO:			
Budget Authority			-1
Outlays			-1
Total:			
Budget Authority	1	1	
Outlays		1	

# GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

# (Legislative proposal, subject to PAYGO)

# Program and Financing (in millions of dollars)

Identif	ication code 418-8050-4-7-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Promotion of the humanities			
0001				
0900	Total new obligations, unexpired accounts (object class 41.0)			-1
	Budgetary resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)			-
1930	Total budgetary resources available			
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts			_
3020	Outlays (gross)			
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross			-
	Outlays, gross:			
100	Outlays from new mandatory authority			-
4180	Budget authority, net (total)			-
4190	Outlays, net (total)			_

# Administrative Provisions

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses [: *Provided further*, That the Chairperson of the National Endowment for the Arts may approve grants of up to \$10,000, if in the aggregate the amount of such grants does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: *Provided further*, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson]. *(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)* 

# NATIONAL LABOR RELATIONS BOARD

# Federal Funds

# SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other

laws, [\$274,224,000] *\$246,876,000: Provided*, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)* 

# Program and Financing (in millions of dollars)

Identif	ication code 420-0100-0-1-505	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Casehandling	150	160	146
0002	Administrative Law Judges	8	9	8
0003	Board Adjudication	19	19	18
0005	Internal Review	1	1	1
0006	Mission Support	91	85	73
0900	Total new obligations, unexpired accounts	269	274	246
	Budgetary resources: Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	274	274	247
1930		274	274	247
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	6		
1941	Unexpired unobligated balance, end of year			1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	35	40	23
3010	New obligations, unexpired accounts	269	274	246
3011	Obligations ("upward adjustments"), expired accounts	203	2/4	240
3020	Outlays (gross)	-264	-291	-244
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	40	23	25
3030	Memorandum (non-add) entries:	40	23	ZJ
3100	Obligated balance, start of year	35	40	23
3200	Obligated balance, end of year	40	23	25
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	274	274	247
	Outlays, gross:			
4010	Outlays from new discretionary authority	233	252	227
4011	Outlays from discretionary balances	31	39	17
4020	Outlays, gross (total)	264	291	244
4180	Budget authority, net (total)	274	274	247
4190	Outlays, net (total)	264	291	244
	Unfunded deficiencies:			
7000	Unfunded deficiency, start of year		-1	-1
	Change in deficiency during the year:		1	1
7010	New deficiency	-1		

The National Labor Relations Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

	2019 actual	2020 est.	2021 est.
Case intake:			
Unfair labor practice cases	18,552	18,181	17,817
Representation cases	2,095	2,095	2,095
Administrative law judges:			
Hearings closed	141	150	150
Decisions issued	159	150	150
Board adjudication:			
Contested Board decisions issued	303	300	300
Regional director decisions	201	216	230
Board decisions requiring court enforcement	60	68	68

Casehandling (formerly Field investigations in 2015 and earlier).—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Approximately 90 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

*Administrative law judge hearing.*—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

*Board adjudication.*—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases. Unlike other Federal agencies, Board orders are not selfenforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

Internal Review.-Office of the Inspector General.

*Mission Support.*—Previously spread across other program activities; includes administrative, personnel, and financial management functions conducted in the Headquarters office.

# Object Classification (in millions of dollars)

Identi	Identification code 420-0100-0-1-505 201		2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	155	163	159
12.1	Civilian personnel benefits	48	52	49
21.0	Travel and transportation of persons	3	3	
23.1	Rental payments to GSA	23	23	20
23.3	Communications, utilities, and miscellaneous charges	5	3	4
25.2	Other services from non-Federal sources	30	29	14
31.0	Equipment	5	1	<u> </u>
99.9	Total new obligations, unexpired accounts	269	274	246

# **Employment Summary**

Identification code 420-0100-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,286	1,335	1,313

#### Administrative Provisions

SEC. 407. None of the funds provided by this Act or previous Acts making appropriations for the National Labor Relations Board may be used to issue any new administrative directive or regulation that would provide employees any means of voting through any electronic means in an election to determine a representative for the purposes of collective bargaining. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

# NATIONAL MEDIATION BOARD

# Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, [\$14,050,000] \$13,900,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 421–2400–0–1–505	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Mediatory services	7	7	7
0002	Representation services	2	3	3
0003	Arbitration services	4	4	4
0900	Total new obligations, unexpired accounts	13	14	14
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary:	14	14	1.
1100	Appropriation	14	14	14
1930	Total budgetary resources available Memorandum (non-add) entries:	14	14	14
1940	Unobligated balance expiring	-1		
3000 3010 3020	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts Outlays (gross)	4 13 –13	3 14 –14	3 14 -14
3041	Recoveries of prior year unpaid obligations, expired		<u> </u>	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	3	3	3
3100	Obligated balance, start of year	4	3	3
3200	Obligated balance, end of year	3	3	3
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	14	14	14
4010	Outlays from new discretionary authority	10	13	13
4011	Outlays from discretionary balances	3	1	1
4020	Outlays, gross (total)	13	14	14
4180	Budget authority, net (total)	14	14	14
4190	Outlays, net (total)	13	14	14

Mediatory and alternative dispute resolution (ADR) services.--The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2019 actual	2020 est.	2021 est.
Mediation & ADR cases:			
Pending, start of year	121	120	127
Received during year	65	97	101
Closed during year	66	90	80
Pending, end of year	120	127	148

Employee Representation.-The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2019 actual	2020 est.	2021 est.
Representation cases:			
Pending, start of year	3	1	3
Received during year	10	20	22
Closed during year	12	18	24
Pending, end of year	1	3	1
Freedom of Information Act (FOIA) requests received	11	21	18
Investigation cases closed	11	21	18

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes. 2010 astual 2020 ....

	2019 actuar	ZUZU ESL	ZUZI ESL
Board created:			
Emergency (sec. 160)	0	1	1
Emergency (sec. 159a)	0	1	1

Arbitration services.—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2019 actual	2020 est.	2021 est.
Arbitration cases:			
Pending, start of year	6,408	3,698	3,356
Received during year	3,859	4,235	4,255
Closed during year	6,569	4,577	4,837
Pending, end of year	3,698	3,356	2,774

**Object Classification** (in millions of dollars)

Identif	dentification code 421-2400-0-1-505 2019		2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	6	7	7
11.8	Special personal services payments	2	2	2
11.9	Total personnel compensation	8	9	9
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	1	1	1
25.2	Other services from non-Federal sources	2	2	2
99.0	Direct obligations	13	14	14
99.9	Total new obligations, unexpired accounts	13	14	14

## **Employment Summary**

Identification code 421-2400-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	51	51	51

# NATIONAL RAILROAD PASSENGER CORPORATION **OFFICE OF INSPECTOR GENERAL**

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, [\$24,274,000] \$26,248,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: Provided further, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: Provided further, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within the Corporation: Provided further, That concurrent with the President's budget request for fiscal year [2021] 2022, the Inspector General shall submit to the House and

Senate Committees on Appropriations a budget request for fiscal year [2021] 2022 in similar format and substance to those submitted by executive agencies of the Federal Government. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

# Program and Financing (in millions of dollars)

Identif	ication code 575–2996–0–1–401	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	23	24	26
0001	Payment to Amtrak IG	23	24	20
0900	Total new obligations, unexpired accounts (object class 41.0)	23	24	26
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	23	24	26
1930	Total budgetary resources available	23	24	26
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	2	
3010	New obligations, unexpired accounts	23	24	20
3020	Outlays (gross)	-24	-26	-26
3041	Recoveries of prior year unpaid obligations, expired		·····	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	2		
3100	Obligated balance, start of year	4	2	
3200	Obligated balance, end of year	2		
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	23	24	26
4010	Outlays from new discretionary authority	20	24	20
4011	Outlays from discretionary balances	4	2	
1020	Outlays, gross (total)	24	26	20
4180	Budget authority, net (total)	23	24	26
4190	Outlays, net (total)	24	26	20

The 2021 Budget proposes \$26.248 million for activities for the National Railroad Passenger Corporation (Amtrak) Office of the Inspector General.

# NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

# Federal Funds

EXPENSES, NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

#### Program and Financing (in millions of dollars)

ldentif	ication code 245–2765–0–1–054	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Direct program activity	5		
0900	Total new obligations, unexpired accounts (object class 25.3)	5		
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1		5	5
1000	Budget authority:		Ŭ	
	Appropriations, discretionary:			
1121	Appropriations transferred from other acct [021–2020]	1		
121	Appropriations transferred from other acct [017–1507]	3		
121	Appropriations transferred from other acct [021–2040]	1		
121	Appropriations transferred from other acct [057–3600]	3		
1121	Appropriations transferred from other acct [097–0400]	Z		
1160	Appropriation, discretionary (total)	10		
1930	Total budgetary resources available	10	5	5
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	5	5
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		5	1
3010	New obligations, unexpired accounts	5		

10

4

3020

3050

3100

3200

4000

4011

4180 Budget authority, net (total) .... 4190 Outlays, net (total) .....

The National Security Commission on Artificial Intelligence (NSCAI), an independent Federal Agency, is composed of fifteen members appointed by select heads of key cabinet Departments along with key Congressional stakeholders. Established by section 1051 of P.L. 115–232, the NSCAI is responsible for assessing and recommending the competitiveness of the United States in artificial intelligence, machine learning, and other associated technologies, including matters related to national security, defense, public-private partnership and investments. The NSCAI also makes recommendations on the means and methods, international competitiveness, investments and risks, and the means and methods that the United States can leverage going forward to support this evolving technology.

# NATIONAL TRANSPORTATION SAFETY BOARD

# Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901–5902), [\$110,400,000]\$116,400,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Identif	ication code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Policy and Direction	12	15	16
0002	Communications	9	8	10
0003	Aviation Safety	32	30	32
0004	Information Technology and Services	10	9	9
0005	Research and Engineering	12	13	13
0006	NTSB Training Center	1	1	1
0007	Administrative Law Judges	2	2	2
8000	Highway Safety	8	8	8
0009	Marine Safety	5	5	6
0010	Railroad, Pipeline, and Hazardous Materials Safety	9	9	9
0011	Administrative Support	9	10	10
0100	Sub-total, Direct obligations	109	110	116
0799	Total direct obligations	109	110	116
0900	Total new obligations, unexpired accounts	109	110	116
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1 Budget authority:	10	9	10
1100	Appropriations, discretionary: Appropriation	110	110	116
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1	1	1
1900	Budget authority (total)	111	111	117
1930	Total budgetary resources available	121	120	127
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-3		

# SALARIES AND EXPENSES—Continued Program and Financing—Continued

Identi	fication code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
1941	Unexpired unobligated balance, end of year	9	10	11
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	21	24	23
3010	New obligations, unexpired accounts	109	110	116
3011	Obligations ("upward adjustments"), expired accounts	100		110
3020	Outlays (gross)	-106	-111	-116
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	24	23	23
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	21	24	23
3200	Obligated balance, end of year	24	23	23
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	111	111	117
4010	Outlays, gross:	00	00	
4010	Outlays from new discretionary authority	92	89	94
4011	Outlays from discretionary balances	14	22	22
4020	Outlays, gross (total)	106	111	116
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources		-1	-1
4033	Non-Federal sources		·····	·····
4040	Offsets against gross budget authority and outlays (total)			-1
4070	Budget authority, net (discretionary)	110	110	116
	Outlays, net (discretionary)	105	110	115
4080		100	110	110
4080	Budget authority, net (total)	110	110	116

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2021, the Administration proposes a total funding level of \$116.4 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identif	ication code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	51	54	56
11.3	Other than full-time permanent	2	3	3
11.5	Other personnel compensation	2	2	3
11.9	Total personnel compensation	55	59	62
12.1	Civilian personnel benefits	18	20	21
21.0	Travel and transportation of persons	4	3	4
23.1	Rental payments to GSA	10	10	10
23.2	Rental payments to others	3	3	3
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	14	13	13
31.0	Equipment	4	1	2
99.0	Direct obligations	109	110	116
99.9	Total new obligations, unexpired accounts	109	110	116

Employmen	t Summary
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Identification code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	399	404	412

## EMERGENCY FUND

# Program and Financing (in millions of dollars)

Identif	ication code 424-0311-0-1-407	2019 actual	2020 est.	2021 est.
	Budgetary resources:			
1000	Unobligated balance:	0	2	0
	Unobligated balance brought forward, Oct 1	2	2	2
1930	Total budgetary resources available Memorandum (non-add) entries:	2	2	2
1941	Unexpired unobligated balance, end of year	2	2	2
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

The National Transportation Safety Board is mandated by the Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2021.

# NEIGHBORHOOD REINVESTMENT CORPORATION

# Federal Funds

# PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation [for use in neighborhood reinvestment activities], as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101–8107), [\$157,500,000, of which \$5,000,000 shall be for a multi-family rental housing program] *\$27,400,000: Provided*, That [an additional \$1,000,000, to remain available until September 30, 2023, shall be for the promotion and development of shared equity housing models] *such funds may be used only to prepare for the discontinuation of federal funding, including but not limited to costs related to personnel, management of existing grants, and the termination of ongoing programs. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)* 

# Program and Financing (in millions of dollars)

Identif	ication code 082-1300-0-1-451	2019 actual	2020 est.	2021 est.
0001 0003	Obligations by program activity: Payment for operations and grants Wind-down Activities		159	
0900	Total new obligations, unexpired accounts (object class $41.0) \hdots \ldots$	152	159	27
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary.	152	159	27
1930	Total budgetary resources available	152	159	27
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	152	159	27
3020	Outlays (gross)	-152	-159	-27
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs. gross:	152	159	27
4010	Outlays from new discretionary authority	152	159	27
4180	Budget authority, net (total)	152	159	27
4190	Outlays, net (total)	152	159	27

The Neighborhood Reinvestment Corporation (NRC), doing business as "NeighborWorks America," was established by Federal charter in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. The Budget requests \$27.4 million to conduct an orderly closeout of federal payments to NRC in fiscal year 2021, which includes funding for costs related to personnel and management of existing grants.

# NORTHERN BORDER REGIONAL COMMISSION

# Federal Funds

## NORTHERN BORDER REGIONAL COMMISSION

For necessary expenses [necessary for] of the Northern Border Regional Commission, [in carrying out activities] as authorized by subtitle V of title 40, United States Code, [\$25,000,000, to remain available until expended: Provided, That such amounts shall be available for administrative expenses] \$850,000, notwithstanding section 15751(b) of title 40, United States Code: Provided, That such amounts shall be available only for the closure of the Commission: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identif	ication code 573-3742-0-1-452	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	00	05	1
0001	Northern Border Regional Commission	23	25	1
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	23	25	1
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	1	1
	Budget authority:			
1100	Appropriations, discretionary:		0.5	
1100	Appropriation	20	25	1
1930	Total budgetary resources available	24	26	2
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	1	1	1
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	26	43	27
3010	New obligations, unexpired accounts	23	25	1
3020	Outlays (gross)	-6		-28
3050	Unpaid obligations, end of year	43	27	
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	26	43	27
3200	Obligated balance, end of year	43	27	
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	20	25	1
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	15	1
4011	Outlays from discretionary balances	5	26	27
4020	Outlays, gross (total)	6	41	28
4180	Budget authority, net (total)	20	25	1
4190		6	41	28

The Budget proposes to eliminate funding for several independent agencies, including the Northern Border Regional Commission (NBRC). The Budget requests \$850,000 to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for personnel costs during shutdown activities; for severance or retirement pay; and for nonpersonnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

# Employment Summary

Identification code 573-3742-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	6	6

# NUCLEAR REGULATORY COMMISSION

## Federal Funds

# SALARIES AND EXPENSES

For expenses necessary for the Commission in carrying out the purposes of the Energy Reorganization Act of 1974 and the Atomic Energy Act of 1954, [\$842,236,000] \$849,900,000, including official representation expenses not to exceed \$25,000, to remain available until expended: Provided, That of the amount appropriated herein, not more than \$9,500,000 may be made available for salaries, travel, and other support costs for the Office of the Commission, to remain available until September 30, [2021, of which, notwithstanding section 201(a)(2)(c) of the Energy Reorganization Act of 1974 (42 U.S.C. 5841(a)(2)(c)), the use and expenditure shall only be approved by a majority vote of the Commission] 2022: Provided further, That of the amounts appropriated under this heading, \$62,481,000 shall be used, to the maximum extent practicable, solely for conducting requested activities of the Commission, as such term is defined in section 3(10) of the Nuclear Energy Innovation and Modernization Act (Public Law 115-439): Provided further, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$717,125,000] \$729,293,000 in fiscal year [2020] 2021 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: Provided further, That of the amounts appropriated under this heading, not less than \$15,478,000 shall be for activities related to the development of regulatory infrastructure for advanced nuclear technologies, and \$14,500,000 shall be for international activities, except that the amounts provided under this proviso shall not be derived from fee revenues, notwithstanding 42 U.S.C. 2214: Provided further, That] the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2020] 2021 so as to result in a final fiscal year [2020] 2021 appropriation estimated at not more than [\$125,111,000: Provided further, That of the amounts appropriated under this heading, \$10,500,000 shall be for university research and development in areas relevant to the Commission's mission, and \$5,500,000 shall be for a Nuclear Science and Engineering Grant Program that will support multiyear projects that do not align with programmatic missions but are critical to maintaining the discipline of nuclear science and engineering] \$120,607,000. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:			
1120 1120	Current law: Nuclear Facility Fees, Nuclear Regulatory Commission Nuclear Facility Fees, Nuclear Regulatory Commission	751 21	717 11	729 11
1199	Total current law receipts	772	728	740
1999	Total receipts	772	728	740
2000	Total: Balances and receipts Appropriations: Current law:	772	728	740
2101	Salaries and Expenses	-762	-717	-729
2101	Office of Inspector General	-10	-11	1
2199	Total current law appropriations	-772	-728	-740
2999	Total appropriations	-772	-728	-740
5099	Balance, end of year			

Identific	cation code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Nuclear Reactor Safety	443	427	453
0005	Nuclear Materials and Waste Safety	106	98	102
0007	Decommissioning and Low-Level Waste	24	22	23
0010	Integrated University Program	15	16	

Identif	ication code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
0012	Corporate Support	291	279	272
0799	Total direct obligations	879	842	850
0801	Salaries and Expenses (Reimbursable)	4	6	
0900	Total new obligations, unexpired accounts	883	848	856
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	38	66	78
1000	Recoveries of prior year unpaid obligations	7	7	
1033	Recoveries of prior year paid obligations	2	·····	
1050	Unobligated balance (total) Budget authority:	47	73	8
1100	Appropriations, discretionary:	100	105	10
1100 1101	Appropriation (General Fund) Appropriation (NRC receipts)	136 762	125 717	12 72
1160	Appropriation, discretionary (total)	898	842	85
1100	Spending authority from offsetting collections, discretionary:	030	042	0.0
1700	Collected	5	11	1
1701	Change in uncollected payments, Federal sources		<u> </u>	
1750	Spending auth from offsetting collections, disc (total)	4	11	1
1900	Budget authority (total) Total budgetary resources available	902 949	853 926	86. 940
1930	Memorandum (non-add) entries:	545	520	54
1941	Unexpired unobligated balance, end of year	66	78	9
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	347	342	20
3010 3020	New obligations, unexpired accounts Outlays (gross)	883 881	848 979	85 —85
3040	Recoveries of prior year unpaid obligations, unexpired	-7	-7	_
3050	Unpaid obligations, end of year Uncollected payments:	342	204	19
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	
3070	Change in uncollected pymts, Fed sources, unexpired	1	·····	
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-
3100	Memorandum (non-add) entries:	344	340	20
3200	Obligated balance, start of year Obligated balance, end of year	344 340	202	20.
	Budget authority and outlays, net: Discretionary:			
1000	Budget authority, gross	902	853	86
4010	Outlays, gross:	640	643	64
4010	Outlays from new discretionary authority Outlays from discretionary balances	241	336	21
4020		881	979	85
+020	Outlays, gross (total) Offsets against gross budget authority and outlays:	001	5/5	0.0
	Offsetting collections (collected) from:			
1030	Federal sources Non-Federal sources	3 4	-5 -6	-
4033				
1040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-7	-11	-1
4050 4053	Change in uncollected pymts, Fed sources, unexpired Recoveries of prior year paid obligations, unexpired	1		
	accounts	2	<u> </u>	
1060	Additional offsets against budget authority only (total)	3		
1070	Budget authority, net (discretionary)	898	842	85
4080	Outlays, net (discretionary)	874	968	848
4180		898	842	850
4190	Outlays, net (total)	874	968	84

*Nuclear Reactor Safety.*—The Nuclear Regulatory Commission (NRC) Nuclear Reactor Safety Program encompasses licensing and overseeing civilian nuclear power reactors, research and test reactors, and other nonpower production and utilization facilities (e.g., medical radioisotope facilities) in a manner that adequately protects public health and safety. This program also provides reasonable assurance of the security of facilities and protection against radiological sabotage. This program contributes to the NRC's safety and security strategic goals through the activities of the Operating Reactors and New Reactors Business Lines that regulate existing and new nuclear reactors to ensure they meet applicable requirements.

Nuclear Materials and Waste Safety.—The Nuclear Materials and Waste Safety Program encompasses the NRC's licensing and oversight of nuclear materials in a manner that adequately protects public health and safety. This program provides assurance of the physical security of the materials and waste and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, and academic); spent fuel storage; spent fuel material transportation and packaging; decontamination and decommissioning of facilities; of the NRC's safety and security strategic goals through the activities of the Spent Fuel Storage and Transportation, Nuclear Materials Users, Decommissioning and Low-Level Waste, High-Level Waste, and Fuel Facilities Business Lines.

*Corporate Support.*—The NRC's Corporate Support Business Line involves centrally managed activities that are necessary for agency programs to accomplish the agency's mission. These activities include administrative services, financial management, human resource management, information technology (IT) and information management (IM), outreach, policy support, training, and acquisitions.

# **Object Classification** (in millions of dollars)

Identif	ication code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	386	389	405
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	10	10	11
11.9	Total personnel compensation	400	403	420
12.1	Civilian personnel benefits	131	132	137
21.0	Travel and transportation of persons	21	16	16
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	20	19	19
23.3	Communications, utilities, and miscellaneous charges	22	20	20
24.0	Printing and reproduction	2	1	1
25.1	Advisory and assistance services	39	30	30
25.2	Other services from non-Federal sources	67	60	60
25.3	Other goods and services from Federal sources	65	58	58
25.4	Operation and maintenance of facilities	4	4	4
25.5	Research and development contracts	2	2	2
25.7	Operation and maintenance of equipment	55	50	52
26.0	Supplies and materials	3	2	2
31.0	Equipment	31	28	28
41.0	Grants, subsidies, and contributions	16	16	
99.0	Direct obligations	879	842	850
99.0	Reimbursable obligations	4	6	6
99.9	Total new obligations, unexpired accounts	883	848	856

# **Employment Summary**

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
1001         Direct civilian full-time equivalent employment           2001         Reimbursable civilian full-time equivalent employment	2,855	2,907	2,805
	7	8	8

## OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of *the* Inspector General in carrying out the provisions of the Inspector General Act of 1978, [\$13,314,000] *\$13,499,000*, to remain available until September 30, [2021] *2022: Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$10,929,000] *\$11,106,000* in fiscal year [2020] *2021* shall be retained and be available until September 30, [2021] *2022*, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2020] *2021* so as to result in a final fiscal year [2020] *2021* appropriation estimated at not more than [\$2,385,000] *\$2,393,000: Provided further*, That of the amounts appropriated under this heading, [\$1,171,000]

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\$1,206,000 shall be for Inspector General services for the Defense Nuclear Facilities Safety Board [, which shall not be available from fee revenues]. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (	(in millions of dollars)
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Identif	ication code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Inspector General	12	13	13
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1 Budget authority:	2	2	2
	Appropriations, discretionary:			
1100	Appropriation	2	2	2
1101	Appropriation (special or trust)	10	11	11
1160	Appropriation, discretionary (total)	12	13	13
1930	Total budgetary resources available	14	15	15
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2	2
3000 3010 3020	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts Outlays (gross)	2 12 11	3 13 –13	13 1
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	3	3	:
3100	Obligated balance, start of year	2	3	3
3200	Obligated balance, end of year	3	3	3
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	12	13	13
4010	Outlays from new discretionary authority	10	10	10
4011	Outlays from discretionary balances	1	3	3
4020	Outlays, gross (total)	11	13	13
4180		12	13	13
4190	Outlays, net (total)	11	13	13

The NRC's Office of Inspector General (OIG) was established as a statutory entity on April 15, 1989, in accordance with the 1988 amendments to the Inspector General Act. Starting in 2014, the NRC's OIG has exercised the same authorities with respect to the Defense Nuclear Facilities Safety Board (DNFSB) per the Consolidated Appropriations Act, 2014. The OIG's mission is to provide independent, objective audit and investigative oversight of NRC and DNFSB operations to protect people and the environment.

# Object Classification (in millions of dollars)

Identif	Identification code 031–0300–0–1–276 2019 actual			2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	8	9	9
12.1	Civilian personnel benefits	3	3	3
25.2	Other services from non-Federal sources	1	1	1
99.9	Total new obligations, unexpired accounts	12	13	13

# **Employment Summary**

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	59	63	63

## GENERAL PROVISIONS—INDEPENDENT AGENCIES

[SEC. 401. The Nuclear Regulatory Commission shall comply with the July 5, 2011, version of Chapter VI of its Internal Commission Procedures when responding to Congressional requests for information, consistent with Department of Justice guidance for all federal agencies.]

SEC. **[**402**]***401*. (a) The amounts made available by this title for the Nuclear Regulatory Commission may be reprogrammed for any program, project, or activity, and the Commission shall notify the Committees on Appropriations of both Houses

of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program funding level to increase or decrease by more than \$500,000 or 10 percent, whichever is less, during the time period covered by this Act.

(b)(1) The Nuclear Regulatory Commission may waive the notification requirement in subsection (a) if compliance with such requirement would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Nuclear Regulatory Commission shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver and shall provide a detailed report to the Committees of such waiver and changes to funding levels to programs, projects, or activities.

(c) Except as provided in subsections (a), (b), and (d), the amounts made available by this title for "Nuclear Regulatory Commission-Salaries and Expenses" shall be expended as directed in the *joint* explanatory statement [described in section 4 (in the matter preceding division A of this consolidated Act)] accompanying this Act.

(d) None of the funds provided for the Nuclear Regulatory Commission shall be available for obligation or expenditure through a reprogramming of funds that increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act.

(e) The Commission shall provide a monthly report to the Committees on Appropriations of both Houses of Congress, which includes the following for each program, project, or activity, including any prior year appropriations—

(1) total budget authority;

(2) total unobligated balances; and

(3) total unliquidated obligations.

(Energy and Water Development and Related Agencies Appropriations Act, 2020.)

#### GENERAL FUND RECEIPT ACCOUNT

#### (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public: 031–322000 All Other General Fund Proprietary Receipts Including			
Budget Clearing Accounts	<u> </u>	1	1
General Fund Offsetting receipts from the public		1	1

# NUCLEAR WASTE TECHNICAL REVIEW BOARD

## Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Nuclear Waste Technical Review Board, as authorized by Public Law 100–203, section 5051, \$3,600,000, to be derived from the Nuclear Waste Fund, to remain available until September 30, [2021]2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Identif	ication code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Technical and scientific activities	4	4	4
0001				
0900	Total new obligations, unexpired accounts	4	4	4
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust)	4	4	4
1930	Total budgetary resources available	5	5	5
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1	1

# SALARIES AND EXPENSES—Continued

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luciitii	ication code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.	
3010	New obligations, unexpired accounts	4	4	4	
3020	Outlays (gross)	3		-4	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	1	1	1	
3100	Obligated balance, start of year		1	1	
3200	Obligated balance, end of year	1	1	1	
	Pudget authority and outlave not.				
4010	Budget authority and outlays, net: Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances	4 2 1	4	4	
4010 4011	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority Outlays from discretionary balances	4 1 3	4	4	
4000 4010 4011 4020 4180	Discretionary: Budget authority, gross Outlays, gross: Outlays from new discretionary authority	1	4	4	

# Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4	4	4
Outlays	3	4	4
Legislative proposal, not subject to PAYGO:			
Budget Authority			2
Outlavs			2
Total:			
Budget Authority	4	4	6
Outlays	3	4	6

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high-level radioactive waste. The Board's purpose is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions, and recommendations at least two times per year to the Congress and the Secretary of Energy.

Object	Classification	(in millions of dollars)
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Identifi	cation code 431–0500–0–1–271	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time		<u>^</u>	
	permanent	2	2	3
99.5	Adjustment for rounding	2	2	1
99.9	Total new obligations, unexpired accounts	4	4	4

Employment Summary			
Identification code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	16	20

## SALARIES AND EXPENSES

#### (Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation expanding the functions of the Nuclear Waste Technical Review Board to include the conduct of independent analyses and the evaluation of research, development, design, and other activities related to storage, transportation, and disposal pathways for spent nuclear fuel and high-level radioactive waste, an additional \$2,000,000 for necessary expenses, to remain available until September 30, 2022, to be derived from the Nuclear Waste Fund.

# Program and Financing (in millions of dollars)

Identif	ication code 431-0500-2-1-271	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Technical and scientific activities		<u> </u>	2
0900	Total new obligations, unexpired accounts (object class 99.5)			2
1101 1930	Budgetary resources: Budget authority: Appropriations, discretionary: Appropriation (special or trust) Total budgetary resources available			2 2
3010 3020	Change in obligated balance: Unpaid obligations: New obligations, unexpired accounts Outlays (gross)			2 2
4000	Budget authority and outlays, net: Discretionary: Budget authority, gross			2
4010 4180 4190	Outlays, gross: Outlays from new discretionary authority Budget authority, net (total) Outlays, net (total)			2 2 2

In addition to the activities the Nuclear Waste Technical Review Board (Board) performs as mandated by the Nuclear Waste Policy Act of 1987, the Board will perform independent technical evaluations of research and development activities, processes, and facility designs and operations, and of alternative storage, transportation, and disposal strategies for spent nuclear fuel and high-level radioactive waste. The Board will also provide additional oversight and analysis of federal nuclear waste management programs. To perform such analyses, the Board will leverage its expertise in the geosciences, material sciences, and engineering fields, thereby providing independent expert advice.

# OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, [\$13,225,000]\$13,721,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Commission review	4	6	6
0002	Administrative law judge determinations	7	5	6
0003	Executive direction	2	2	2
0900	Total new obligations, unexpired accounts	13	13	14
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1		
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	13	13	14
1930	Total budgetary resources available	14	13	14
1040	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-1		
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	4	3
3010	New obligations, unexpired accounts	13	13	14
3020	Outlays (gross)		14	
3050	Unpaid obligations, end of year	4	3	4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3	4	3

#### OTHER INDEPENDENT AGENCIES

3200	Obligated balance, end of year	4	3	4
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	13	13	14
4010	Outlays from new discretionary authority	10	11	12
4011	Outlays from discretionary balances	2	3	1
4020	– Outlays, gross (total)	12	14	13
4180	Budget authority, net (total)	13	13	14
4190	Outlays, net (total)	12	14	13

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identifi	cation code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	6	7	8
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	2	2	
99.0	Direct obligations	10	11	12
99.5	Adjustment for rounding	3	2	
99.9	Total new obligations, unexpired accounts	13	13	14

# **Employment Summary**

Identification code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	46	61	63

# **OFFICE OF GOVERNMENT ETHICS**

#### Federal Funds

## SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the *Representative Louise McIntosh Slaughter* Stop Trading on Congressional Knowledge Act [of 2012], including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, [\$17,500,000] \$18,576,000. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identif	ication code 434–1100–0–1–805	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses (Direct)	17	18	19
0801	Salaries and Expenses (Direct)		18	15
0900	Total new obligations, unexpired accounts	17	19	20
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary:	17	18	19
1100	Appropriation Spending authority from offsetting collections, discretionary:	17	18	19
1700	Collected		1	1
1900	Budget authority (total)	17	19	20
1930	Total budgetary resources available	17	19	20
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	5	6
3010	New obligations, unexpired accounts	17	19	20
3020	Outlays (gross)		-18	
3050	Unpaid obligations, end of year	5	6	6

3100 3200	Memorandum (non-add) entries: Obligated balance, start of year Obligated balance, end of year	4 5	5 6	6 6
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs. gross:	17	19	20
4010	Outlays from new discretionary authority	13	15	16
4011	Outlays from discretionary balances	3	3	4
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	16	18	20
4033	Non-Federal sources		-1	-1
4180	Budget authority, net (total)	17	18	19
4190	Outlays, net (total)	16	17	19

The U.S. Office of Government Ethics (OGE), established by the Ethics in Government Act of 1978, provides overall leadership and oversight of the Executive Branch ethics program designed to prevent and resolve conflicts of interest. OGE's mission is part of the very foundation of public service. The first principle in the Fourteen Principles of Ethical Conduct for Government Officers and Employees provides that, "[p]ublic service is a public trust, requiring employees to place loyalty to the Constitution, the laws and ethical principles above private gain." OGE undertakes this important prevention mission as part of a framework comprising Executive Branch agencies and entities whose work focuses on institutional integrity. Within this framework, the ethics program works to ensure that public servants carry out the governmental responsibilities entrusted to them with impartiality, and that they serve as good stewards of public resources.

To carry out its vital leadership and oversight responsibilities for the Executive Branch ethics program, OGE promulgates, maintains, and advises on enforceable standards of ethical conduct for more than 2.7 million employees in over 130 Executive Branch agencies, including the White House; offers education and training to the more than 5,000 ethics officials Executive Branch-wide; oversees a financial disclosure system that reaches more than 26,000 public and more than 380,000 confidential financial disclosure report filers; operates and maintains Integrity, a public financial disclosure management application required by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge (STOCK) Act; monitors Executive B agency ethics programs and senior leaders' compliance with applicable ethics laws and regulations; prepares for presidential transitions and provides assistance to the President and Senate in the presidential appointments process; conducts outreach to the general public, the private sector, and non-governmental organizations; and makes ethics documents publicly available.

#### **Object Classification** (in millions of dollars)

Identif	ication code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	8	9	10
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	2	2	2
25.3	Other goods and services from Federal sources	4	4	4
99.0	Direct obligations	17	18	19
99.0	Reimbursable obligations		1	1
99.9	Total new obligations, unexpired accounts	17	19	20

# **Employment Summary**

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	66	72	76

# OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

# Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, [\$7,500,000] \$4,000,000, to remain available until expended: Provided, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: Provided further, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: Provided further, That no relocatee will be provided with more than one new or replacement home: Provided further, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to section 11 of Public Law 93-531 (88 Stat. 1716). (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

ldentif	ication code 435–1100–0–1–808	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Operation of relocation office	4	4	3
0003	Relocation payments (housing)	1	1	1
0004	Discretionary fund payments		3	
0900	Total new obligations, unexpired accounts	5	8	4
	Budgetary resources:			
1000	Unobligated balance:	15	18	18
1000	Unobligated balance brought forward, Oct 1	15	18	16
	Budget authority:			
1100	Appropriations, discretionary:	9	8	1
	Appropriation Appropriations transferred to other acct [014–0104]	-	-	4
1120	Appropriations transferred to other acct [014–0104]		·····	
1160	Appropriation, discretionary (total)	8	8	4
1930	Total budgetary resources available	23	26	27
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	18	18	18
3000	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1	2	2	2
3010	New obligations, unexpired accounts	5	8	4
3020	Outlays (gross)	-5	-8	_4
020	outlays (gloss)			
3050	Unpaid obligations, end of year	2	2	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	8	8	1
+000	Outlavs. gross:	0	0	
4010	Outlays, gross: Outlays from new discretionary authority	5	6	3
1011	Outlays from discretionary balances		2	1
1000				
1020	Outlays, gross (total)	5	8	4
4180	Budget authority, net (total)	8	8	4
1190	Outlays, net (total)	5	8	1

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two Tribes. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort, and to plan for the orderly closeout of the Office of Navajo and Hopi Indian Relocation.

# **Object Classification** (in millions of dollars)

Identifi	ication code 435-1100-0-1-808	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1		
25.2	Other services from non-Federal sources	1	2	1
32.0	Land and structures	1	4	1
99.9	Total new obligations, unexpired accounts	5	8	4

# **Employment Summary**

Identification code 435-1100-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	19	19

# **OFFICE OF SPECIAL COUNSEL**

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel, including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; [\$27,500,000] \$27,435,000. (Financial Services and General Government Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	ication code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
0001	<b>Obligations by program activity:</b> Investigation and prosecution of reprisals for whistle blowing	26	28	27
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1		1	1
	Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary.	27	28	27
	Total budgetary resources available	27	29	28
1000	Memorandum (non-add) entries:	27	20	20
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	3	3
3010	New obligations, unexpired accounts	26	28	27
3020	Outlays (gross)	-26	-28	-25
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	3	3	5
3100	Obligated balance, start of year	3	3	3
3200	Obligated balance, end of year	3	3	5
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	27	28	27
4010	Outlays, gross. Outlays from new discretionary authority	24	25	24
4010	Outlays from discretionary balances	2	3	1
4020	Outlays, gross (total)	26	28	25
4180	Budget authority, net (total)	27	28	27
4190	Outlays, net (total)	26	28	25

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law and, when appropriate, prosecutes before the Merit Systems Protection Board; 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniformed Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC submits the agency's investigative report to the President and the Congress when appropriate.

# OSC received 5,486 new cases in 2019. While the number of cases received was less than projected in 2019 due to the unprecedented partial Government shutdown, receiving 5,486 cases still represents a 39 percent increase over historical averages post–2000. Additionally, OSC resolved 6,193 matters in 2019, an increase of 60 percent over the historical average post–2000 and the second highest total in agency history. Of the new cases received, 3,811 were prohibited personnel practice cases, a 45 percent increase over the historical average post–2000. OSC also obtained a record 329 favorable actions for Federal employees in response to prohibited personnel practice complaints.

During 2019, OSC received 1,373 new disclosures, a 42 percent increase over the historical average post–2000. OSC processed and closed 1,479 disclosures, and referred 73 disclosures of waste, fraud, and abuse to agency heads for investigation. During the last several years, OSC has received numerous whistleblower disclosures from employees at the Department of Veterans Affairs (VA). OSC's work with VA whistleblowers has been featured in the media, and has helped promote accountability and improvements within VA. OSC continues to receive a disproportionately large number of cases from VA employees and, to address this, has established a priority intake system for VA claims.

OSC conducts outreach and education activities on its program areas to inform and train agencies to prevent prohibited personnel practices, whistleblower reprisals, Hatch Act and USERRA violations, and claims of fraud, waste and abuse. In FY 2019, OSC conducted 188 outreach activities throughout the Federal Government.

	Cases Received	Cases Resolved
	2019	2019
Case Type:		
Prohibited personnel practice complaints	3,811	4,447
Hatch Act complaints	281	245
Whistleblower Disclosures	1,373	1,479
USERRA cases	21	22
Totals	5,486	6,193

For 2020 and 2021, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases to follow recent trends and stabilize at around 6,000 total new cases received each year. OSC's caseload will remain high in light of the ongoing issues at the VA, and the increased media exposure VA whistleblowers and whistleblowers in general are receiving.

Overall, the funding requested for 2021 will enable OSC to meet demands for OSC's services, protect whistleblowers in the VA and other agencies, protect the employment rights of returning service members, and protect the Federal merit system from prohibited personnel and political practices.

# Object Classification (in millions of dollars)

Identif	ication code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	16	18	17
12.1	Civilian personnel benefits	5	6	6
23.1	Rental payments to GSA	2	1	2
25.2	Other services from non-Federal sources	3	3	2
99.9	Total new obligations, unexpired accounts	26	28	27

# **Employment Summary**

Identification code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	133	141	126

# **OTHER COMMISSIONS AND BOARDS**

# Federal Funds

# COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

# SALARIES AND EXPENSES

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, [\$675,000] *\$642,000*, as authorized by chapter 3123 of title 54, United States Code: *Provided*, That the Commission may procure temporary, intermittent, and other services notwithstanding paragraph (3) of section 312304(b) of such chapter: *Provided further*, That such authority shall terminate on October 1, [2020] 2021: *Provided further*, That the Commission shall notify the Committees on Appropriations prior to exercising such authority. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

# SOUTHEAST CRESCENT REGIONAL COMMISSION

[For expenses necessary for the Southeast Crescent Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$250,000, to remain available until expended.] (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

## Program and Financing (in millions of dollars)

Identif	fication code 095–9911–0–1–999	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Other Commissions and Boards (Direct)	1	1	1
0900	Total new obligations, unexpired accounts (object class 99.5)	1	1	1
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriation	1	1	1
1930	Total budgetary resources available	1	1	1
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1	1
3020	Outlays (gross)	-1	-1	-1
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	1	1	1
4010	Outlays from new discretionary authority	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1

This account presents data on small independent commissions and other entities on a consolidated basis. It includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts. The request includes language needed to enable the Commission to meet its requirements for staff and professional assistance.

# PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

# Federal Funds

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Identification code 579–1299–0–1–552	2019 actual	2020 est.	2021 est.
Obligations by program activity: 0001 General Fund Payment	150		
0900 $$ Total new obligations, unexpired accounts (object class 94.0)	150		

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND—Continued Program and Financing—Continued

ldentif	ication code 579–1299–0–1–552	2019 actual	2020 est.	2021 est.
	Budgetary resources: Budget authority:			
	Appropriations, mandatory:			
1200	Appropriation	150		
1930	Total budgetary resources available	150		
1550		150		
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	150		
3020	Outlavs (gross)	-150		
5020	outlays (gloss)	-100		
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	150		
	Outlays, gross:			
1100	Outlays from new mandatory authority	150		
1180	Budget authority, net (total)	150		
+100				

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 116–94, annual appropriations will continue through 2029.

#### Trust Funds

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 579-8299-0-7-552	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	41	45	354
1110	Fees on Health Insurance and Self-insured Health Plans, PCORTF	431	354	371
1140 1140	Interest Received by Trust Funds, PCORTF Payment from the General Fund, Patient-Centered Outcomes	1		
	Research Trust Fund	150		
1140	Transfers from FHI Trust Fund, PCORTF	61		
1140	Transfers from FSMI Trust Fund, PCORTF	84	<u> </u>	·····
1199	Total current law receipts	727	354	371
1999	Total receipts	727	354	371
2000	Total: Balances and receipts Appropriations: Current law:	768	399	725
2101	Patient-Centered Outcomes Research Trust Fund	-728		
2103	Patient-Centered Outcomes Research Trust Fund	-40	-45	
2132	Patient-Centered Outcomes Research Trust Fund	45		
2199	Total current law appropriations	-723	_45	
2999	Total appropriations	-723	-45	
5099	Balance, end of year	45	354	725

# Program and Financing (in millions of dollars)

	cation code 579-8299-0-7-552	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Obligations to PCORI	578	36	
0002	Obligations to HHS	145	9	
0900	Total new obligations, unexpired accounts (object class 94.0)	723	45	
	Budgetary resources:			
	Budget authority:			
1001	Appropriations, mandatory:	700		
1201	Appropriation (special or trust fund)	728		
1201 1203 1232	······································	728 40	45	
1203	Appropriation (special or trust fund) Appropriation (previously unavailable)(special or trust)		45	
1203	Appropriation (special or trust fund) Appropriation (previously unavailable)(special or trust) Appropriations and/or unobligated balance of	40		

1930	Total budgetary resources available	723	45	
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1			45
3010	New obligations, unexpired accounts	723	45	40
3020	Outlays (gross)		10	
3020	Outrays (gross)	-725		
3050	Unpaid obligations, end of year		45	45
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year			45
3200	Obligated balance, end of year		45	45
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	723	45	
	Outlays, gross:			
4100	Outlays from new mandatory authority	723		
4180	Budget authority, net (total)	723	45	
4190	Outlays, net (total)	723		
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value	41		

Public Law 116–94 authorized the extension of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively. The PCORTF terminates at the end of FY 2029

# POSTAL SERVICE

## Federal Funds

#### PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, [\$56,711,000] \$55,333,000: Provided, That mail for overseas voting and mail for the blind shall continue to be free: [Provided further, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level:] Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: Provided further, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices: Provided further, That the Postal Service may not destroy, and shall continue to offer for sale, any copies of the Multinational Species Conservation Funds Semipostal Stamp, as authorized under the Multinational Species Conservation Funds Semipostal Stamp Act of 2010 (Public Law 111-241). (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 018-1001-0-1-372	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Free Mail	55	57	55
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	55	57	55
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriation	55	57	55
1900	Budget authority (total)	55	57	55
1930	Total budgetary resources available	55	57	55
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	55	57	55

## OTHER INDEPENDENT AGENCIES

3020	Outlays (gross)	-55	-57	-55
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	55	57	55
4010	Outlays from new discretionary authority	55	57	55
1180	Budget authority, net (total)	55	57	55
	Outlays, net (total)	55	57	55

The Budget proposes \$55,333,000 for the estimated 2021 costs of free mail service for the blind and overseas voting.

Pursuant to P.L. 93-328, the 2021 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$25,760,000. This amount includes \$36,463,000 requested for the estimated 2021 costs of free mail service for the blind and overseas voting and a reduction of \$10,703,000 as a reconciliation adjustment for 2018 actual mail volume of free mail service for the blind and overseas voting.

#### POSTAL SERVICE FUND

# Program and Financing (in millions of dollars)

Identif	ication code 018–4020–0–3–372	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0801	Postal field operations	51,374	52,188	52,452
0802	Transportation	8,176	8,466	8,432
0803	Building occupancy	2,106	2,147	2,203
0804	Supplies and services	2,781	2,712	2,712
)805		2,701	2,712	2,712
	Research and development			
0806	Administration and area operations	5,332	3,767	3,910
0807	Interest	240	248	360
8080	Servicewide expenses	194	235	239
)809	Reimbursable program activities, subtotal	70,230	69,790	70,34
0810	Capital Investment	1,977	6,000	3,39
)811	Change in resources on order and inventory	308		
0819	Reimbursable program activities, subtotal	2,285	6,000	3,395
)900	Total new obligations, unexpired accounts	72,515	75,790	73,736
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	9,248	6,573	2,55
1023	Unobligated balances applied to repay debt	-2,200		
1050	Unobligated balance (total) Budget authority:	7,048	6,573	2,55
	Spending authority from offsetting collections, discretionary:			
1700	Collected			28
1710	Transferred to other accounts [018–0100]			-26
1710	Transferred to other accounts [018–0200]			-19
	Spending authority from offsetting collections, mandatory:			
1800	Collected	72,305	72,039	71,189
1810	Spending authority from offsetting collections transferred			
	to other accounts [018–0100]	-250	-250	
1810	Spending authority from offsetting collections transferred			
	to other accounts [018–0200]	-15	-17	
		70.040		71.10
1850	Spending auth from offsetting collections, mand (total)	72,040	71,772	71,18
1900	Budget authority (total)	72,040	71,772	71,18
1930	Total budgetary resources available	79,088	78,345	73,744
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	6,573	2,555	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	349	1,871	6,094
3010	New obligations, unexpired accounts	72,515	75,790	73,736
3020	Outlays (gross)	-70,993	-71,567	-72,014
3050	Unpaid obligations, end of year	1,871	6,094	7,810
2020		1,0/1	0,054	7,010
1100	Memorandum (non-add) entries:	240	1 071	C 001
3100	Obligated balance, start of year	349	1,871	6,094
3200	Obligated balance, end of year	1,871	6,094	7,816
	Budget authority and outlays, net:			
	Mandatory:			
	Budget authority, gross	72,040	71,772	71,189
4090				
4090 4100	Outlays, gross: Outlays from new mandatory authority	70,993	67,994	68,234

Postal Service-	—Continued	1313
Federal Funds-	—Continued	1515

4101	Outlays from mandatory balances		3,573	3,780
4110	Outlays, gross (total)	70,993	71,567	72,014
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-964	-973	-973
4121	Interest on Federal securities	-133	-133	-133
4123	Non-Federal sources	-71.265	-70.668	-70.083
4130	Offsets against gross budget authority and outlays (total)	-72,362	-71,774	-71,189
4160	Budget authority, net (mandatory)	-322	-2	
4170	Outlays, net (mandatory)	-1,369	-207	825
4180	Budget authority, net (total)	-322	-2	
4190	Outlays, net (total)	-1,369	-207	825
	Management (managed B) and dia			
5000	Memorandum (non-add) entries:	10 402	0.241	0.045
5000	Total investments, SOY: Federal securities: Par value	10,493	9,341	8,945
5001	Total investments, EOY: Federal securities: Par value	9,341	8,945	6,667

# Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-322	-2	
Outlays	-1,369	-207	825
Legislative proposal, not subject to PAYGO:			
Outlays			-316
Total:			
Budget Authority	-322	-2	
Outlays	-1,369	-207	509

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. The Agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Since 1971, there have been several reforms. Notably, the Omnibus Budget Reconciliation Act of 1989 moved the Postal Service "off-budget" so that, beginning in 1990, the receipts and disbursements of the Fund are not considered as part of the congressional and executive budget process. More recently, the 2006 Postal Accountability and Enhancement Act (P.L. 109-435) made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for market-dominant products such as First-Class Mail and competitive products such as package delivery. The Act also amended the process for determining rate increases for market-dominant products, in part by imposing a limit on rate increases linked to the Consumer Price Index for All Urban Consumers (CPI-U). In 2017, the Postal Regulatory Commission announced proposed changes to the rate structure including increases above the cap of the CPI-U. The Commission requested public comment (81 FR 95071 and 82 FR 58280) and, after additional consideration, issued a revised notice of proposed rulemaking (84 FR 67685) in December 2019. The changes will not go into effect until after public comment and a final rule. Consequently, they are not included in the Budget's baseline for the Postal Service.

P.L. 109-435 also created the Postal Service Retiree Health Benefits Fund to place the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This Fund was to receive from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) a 10-year stream of payments defined within P.L. 109-435 to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits

# POSTAL SERVICE FUND—Continued

of Postal Service employees; and 5) the surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System (CSRS) to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service. Since passage in 2006, the Postal Service had contributed more than \$50 billion to the Fund but has failed to make required payments each year since FY 2012, thus steadily increasing the size of the unfunded liability.

Beginning in 2017, P.L. 109–435 also required the Postal Service to begin a 27-year amortization to retire its unfunded liability under the CSRS. The Postal Service has failed to make required payments totaling \$21 billion since September 2017.

The activities of the Postal Service are financed from: 1) mail and services revenue; 2) reimbursements from Federal and non-Federal sources; 3) proceeds from borrowing; 4) interest from U.S. securities and other investments; and 5) appropriations by the Congress. All receipts and deposits are made to the Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

As amended by P.L. 109–435, the Postal Service has statutory borrowing authority capped at \$15 billion with the annual increase in outstanding debt limited to \$3 billion. As of September 30, 2019, the total debt instruments issued and outstanding pursuant to this authority amounted to \$11 billion.

The Budget estimates that the Postal Service will have an annual operating deficit of \$7 billion in 2020 and more than \$8 billion in each subsequent year through 2030. Given the Postal Service's history of using defaults to continue operations despite losses, the Budget reflects defaults on required pension and retiree health amortization and normal cost payments to prevent the Postal Service from running unsustainable deficits. See also the Budget Process section of *Analytical Perspectives*.

# Object Classification (in millions of dollars)

Identifi	cation code 018-4020-0-3-372	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	27,757	28,145	28,290
11.3	Other than full-time permanent	4,897	4,988	5,010
11.5	Other personnel compensation	6,062	6,370	6,407
11.9	Total personnel compensation	38,716	39,503	39,707
12.1	Civilian personnel benefits	15,665	14,241	14,338
13.0	Benefits for former personnel	42	155	255
21.0	Travel and transportation of persons	193	176	176
22.0	Transportation of things	8,857	9,207	9,180
23.1	Rental payments to GSA	29	30	31
23.2	Rental payments to others	1,098	1,143	1,183
23.3	Communications, utilities, and miscellaneous charges	848	849	860
24.0	Printing and reproduction	58	59	61
25.2	Other services from non-Federal sources	2,797	2,640	2,646
26.0	Supplies and materials	1,814	1,306	1,308
31.0	Equipment	1,109	5,045	2,174
32.0	Land and structures	857	958	1,223
42.0	Insurance claims and indemnities	192	230	234
43.0	Interest and dividends	240	248	360
99.9	Total new obligations, unexpired accounts	72,515	75,790	73,736

# **Employment Summary**

Identi	ication code 018-4020-0-3-372	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	582,479	584,626	577,919
	Postal Service Fund (Legislative proposal, not subject t		)	
	Program and Financing (in millions	of dollars)		
Identi	ication code 018-4020-2-3-372	2019 actual	2020 est.	2021 est.

lden	tification code 018-4020-2-3-372	2019 actual	2020 est.	2021 est.
080	Obligations by program activity: 2 Transportation		-143	-287

0806			1,083	1,188
0808	Servicewide expenses	·····		-37
0809	Reimbursable program activities, subtotal		927	864
0900	Total new obligations, unexpired accounts		927	864
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			1,219
	Budget authority:			
1000	Spending authority from offsetting collections, mandatory:		0.140	4 200
1800 1900	Collected Budget authority (total)		2,146 2.146	4,398 4,398
	Total budgetary resources available		2,146 2,146	4,398 5,617
1930	Memorandum (non-add) entries:		2,140	3,017
1941	Unexpired unobligated balance, end of year		1,219	4,753
			1,215	4,700
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1			-1.219
3010	New obligations, unexpired accounts			-1,219 864
3020	Outlays (gross)		-2,146	-4,082
3020	outuys (gloss)			4,002
3050	Unpaid obligations, end of year		-1,219	-4,437
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year			-1,219
3200	Obligated balance, end of year		-1,219	-4,437
	Budget authority and outlays, net:			
	Mandatory:			
4090			2,146	4,398
	Outlays, gross:			
4100			2,146	4,082
	Offsets against gross budget authority and outlays:			
4123	Offsetting collections (collected) from:		0.140	4 200
4123	Non-Federal sources Budget authority, net (total)		-2,146	-4,398
4180	Outlays, net (total)			-316
4190	Uuliays, liet (LUCAI)			-910
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value			1.219
5000	Total investments, SOT: rederal securities: Par value		1.219	4,753
5001	iotal invostitellits, LOT: I cucial scoulitics: I al Value		1,213	4,733

The Budget proposes reforms based on the recommendations of the President's Task Force on the United States Postal System. The Task Force made recommendations for changes to governance, the Postal Service's universal service obligation, pricing, cost allocation, operating costs, labor model, retiree health benefits, and revenue sources. The Task Force also recommended provisions for strengthening regulatory oversight if the Postal Service is unable to meet its financial commitments. This proposal will restore solvency to the Postal Service and ensure that commitments to current and former employees are funded from business revenues rather than taxpayers.

The Budget proposes operational reforms to reduce costs and improve revenue, including: 1) changes to the rate cap to allow more flexible pricing for mail and packages that are deemed outside the universal service obligation or "nonessential"; 2) reducing operating costs through changes to delivery processing, mode, frequency, and increased use of private sector partners for processing and sortation, where appropriate; 3) more closely aligning Postal Service employee wages with those of other Federal employees; and 4) licensing access to the mailbox and providing additional Government services at retail locations.

The Budget also proposes Government-wide reforms to pensions and health insurance costs that are estimated to further reduce Postal Service operating costs. See the General Services Administration section of the *Appendix* for more information. In addition, the Budget proposes to reamortize the payments the Postal Service has not made to the Office of Personnel Management for retiree health benefits.

In total, the Budget estimates that these reforms will reduce the unified budget deficit by \$94 billion over 11 years and result in on-budget savings of \$97 billion as the Postal Service resumes statutory payments to on-budget accounts.

# Object Classification (in millions of dollars)

Identifi	ication code 018-4020-2-3-372	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
12.1	Civilian personnel benefits		1,083	1,188
22.0	Transportation of things		-143	-287
32.0	Land and structures		-13	37
99.9	Total new obligations, unexpired accounts		927	864

# OFFICE OF INSPECTOR GENERAL

# SALARIES AND EXPENSES

#### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, [\$250,000,000] \$261,594,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109–435). (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identif	ication code 018-0100-0-1-372	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Audit	79	75	78
0002	Investigations	171	175	184
0799	Total direct obligations	250	250	262
0801	Office of Inspector General (Reimbursable)	1	3	1
0900	Total new obligations, unexpired accounts	251	253	263
	Budgetary resources:			
	Budget authority: Spending authority from offsetting collections, discretionary:			
1700	Collected	1	3	
1711	Transferred from other accounts [018–4020]	250	250	26
1750	Spending auth from offsetting collections, disc (total)	251	253	263
1930		251	253	263
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	251	253	263
3020	Outlays (gross)	-251	-253	-263
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	251	253	263
	Outlays, gross:	051	050	
4010	Outlays from new discretionary authority Offsets against gross budget authority and outlays:	251	253	263
	Offsetting collections (collected) from:			
4030	Federal sources	-1	-3	_
4180	Budget authority, net (total)	250	250	26

The U.S. Postal Service Office of Inspector General (USPS OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of Postal Service programs and operations. The USPS OIG meets this responsibility by conducting audits, investigations, and other reviews. The USPS OIG focuses on the prevention, identification, and elimination of: 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in Postal Service programs and operations.

The Budget proposes \$261,594,000 for the 2021 USPS OIG's operations. Pursuant to P.L. 109-435, the 2021 appropriation request of the USPS OIG is \$261,594,000.

Section 603(b)(1) of P.L. 109-435 (Postal Accountability and Enhancement Act) authorizes appropriations for the USPS OIG out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of USPS OIG spending from off-budget mandatory to offbudget discretionary.

#### Postal Service—Continued Federal Funds—Continued 1315

# Object Classification (in millions of dollars)

Identif	ication code 018-0100-0-1-372	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	145	145	151
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	3	3	3
11.9	Total personnel compensation	149	149	155
12.1	Civilian personnel benefits	56	56	61
21.0	Travel and transportation of persons	6	6	6
22.0	Transportation of things	1	1	1
23.2	Rental payments to others	7	7	6
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.1	Advisory and assistance services	12	15	16
25.3	Other goods and services from Federal sources	1	1	1
25.7	Operation and maintenance of equipment	6	8	8
26.0	Supplies and materials	1	1	1
31.0	Equipment	10	4	4
99.0	Direct obligations	251	250	261
99.0	Reimbursable obligations	1	3	1
99.5	Adjustment for rounding			1
99.9	Total new obligations, unexpired accounts	251	253	263

# **Employment Summary**

Identification code 018-0100-0-1-372	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,020	985	985

#### POSTAL REGULATORY COMMISSION

# SALARIES AND EXPENSES

#### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109-435), [\$16,615,000] \$19,200,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act. (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 018-0200-0-1-372	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Postal Service Accountability	9	9	10
0002	Public Access and Participation	3	3	5
0003	Integration and Support	3	4	3
0004	Office of Inspector General	1	1	1
0900	Total new obligations, unexpired accounts	16	17	19
	Budgetary resources:			
1000	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1		
	Budget authority:			
1711	Spending authority from offsetting collections, discretionary: Transferred from other accounts [018–4020]	15	17	19
1930	Total budgetary resources available	15	17	19
1930		10	17	15
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	16	17	19
3020	Outlays (gross)	-16	-17	-19
	Budget authority and outlays, net:			
4000	Discretionary:	15	17	10
4000	Budget authority, gross	15	17	19
4010	Outlays, gross:	15	17	19
4010	Outlays from new discretionary authority Outlays from discretionary balances	10		19
4011	outlays nom uscretionary balances	1		
4020	Outlays, gross (total)	16	17	19
4180	Budget authority, net (total)	15	17	19
4190	Outlays, net (total)	16	17	19

# POSTAL REGULATORY COMMISSION—Continued

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, P.L. 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of Postal Service products and services, ensuring Postal Service transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to P.L. 109–435, the 2021 appropriation request of the Commission is \$19,200,000. Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

#### **Object Classification** (in millions of dollars)

Identifi	cation code 018-0200-0-1-372	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	9	10	11
12.1	Civilian personnel benefits	3	3	3
23.2	Rental payments to others	2	2	2
25.1	Advisory and assistance services	1	2	2
99.0	Direct obligations	15	17	18
99.5	Adjustment for rounding	1		1
99.9	Total new obligations, unexpired accounts	16	17	19

# **Employment Summary**

Identifi	ication code 018-0200-0-1-372	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	74	71	80

# PRESIDIO TRUST

#### Federal Funds

#### PRESIDIO TRUST

[The Presidio Trust is authorized to issue obligations to the Secretary of the Treasury pursuant to section 104(d)(3) of the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333), in an amount not to exceed \$10,000,000.] (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 512-4331-0-3-303	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: Presidio Trust (Reimbursable)	168	162	152
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	67	135	145
	Budget authority:			
	Borrowing authority, discretionary:			
1300	Borrowing authority		10	
	Spending authority from offsetting collections, discretionary:			
1700	Collected	223	175	165
1701	Change in uncollected payments, Federal sources	16	-12	-12
1726	Spending authority from offsetting collections applied to			
	repay debt	-3	-1	-1
1750	Spending auth from offsetting collections, disc (total)	236	162	152
1900	Budget authority (total)	236	172	152
1930	Total budgetary resources available	303	307	297

	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	135	145	145
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	59	74	46
3010	New obligations, unexpired accounts	168	162	152
3020	Outlays (gross)	-153	-190	-163
3050	Unpaid obligations, end of year Uncollected payments:	74	46	35
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-24	-40	-28
3070	Change in uncollected pymts, Fed sources, unexpired	-16	12	-20
3070	Ghange in unconceteu pyints, reu sources, unexpireu			12
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-40	-28	-16
3100	Obligated balance, start of year	35	34	18
3200	Obligated balance, end of year	34	18	19
	Dudgest subbasity and sublays not			
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	236	172	152
	Outlays, gross:			
4010	Outlays from new discretionary authority	119	99	84
4011	Outlays from discretionary balances	34	91	79
4020	Outlays, gross (total)	153	190	163
4020	Offsets against gross budget authority and outlays:	100	150	100
	Offsetting collections (collected) from:			
4030	Federal sources	-13	-2	-2
4031	Interest on Federal securities	-7	-2	-2
4033	Non-Federal sources	-203	-171	-161
4040	Offsets against gross budget authority and outlays (total)	-223	-175	-165
4050	Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired	-16	12	12
4070	Budget authority, net (discretionary)	-3	9	-1
4080	Outlays, net (discretionary)	-70	15	-2
4180	Budget authority, net (total)	-3	9	-1
4190	<b>o</b>	-70	15	-2
	Management (managed 4) and the			
5000	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value	96	161	100
5000	Total investments, EOY: Federal securities: Par value	161	100	80

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that funds current operations without annual appropriations. Funds to operate the park and its public programs come from lease revenues and other non-federally appropriated funding sources.

# Object Classification (in millions of dollars)

Identi	fication code 512-4331-0-3-303	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
11.1	Personnel compensation: Full-time permanent	34	35	38
12.1	Civilian personnel benefits	18	18	19
23.3	Communications, utilities, and miscellaneous charges	8	9	9
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	74	64	50
26.0	Supplies and materials	5	5	5
31.0	Equipment	11	12	12
32.0	Land and structures	17	18	18
99.9	Total new obligations, unexpired accounts	168	162	152

# Employment Summary

Identification code 512-4331-0-3-303	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	354	354	354

#### PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

# Status of Guaranteed Loans (in millions of dollars)

Identif	cation code 512-4332-0-3-303	2019 actual	2020 est.	2021 est.
	Position with respect to appropriations act limitation on commitments:			
2121	Limitation available from carry-forward	200	200	200
2143	Uncommitted limitation carried forward	-200	-200	-200
2150	Total guaranteed loan commitments			

# PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

# Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Terrorism Prevention Act of 2004 (42 U.S.C. 2000ee), [\$8,200,000,] \$8,500,000, to remain available until September 30, [2021] 2022. (Financial Services and General Government Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

ldentif	ication code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Salaries and expenses	8	11	11
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	8	5	2
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	5	8	9
1930	Total budgetary resources available	13	13	11
1041	Memorandum (non-add) entries:	-	0	
1941	Unexpired unobligated balance, end of year	5	2	
	Change in obligated balance:			
2000	Unpaid obligations:	10	4	
3000	Unpaid obligations, brought forward, Oct 1	10	4	
010 011	New obligations, unexpired accounts Obligations ("upward adjustments"), expired accounts	8		
011		2 9	-15	
3041	Outlays (gross) Recoveries of prior year unpaid obligations, expired	-9 -7	-10	-:
5041	Recoveries of prior year unpaid obligations, expired			
3050	Unpaid obligations, end of year	4		2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	10	4	
3200	Obligated balance, end of year	4		2
	Budget authority and outlays, net:			
	Discretionary:			
1000	Budget authority, gross	5	8	ç
	Outlays, gross:			
1010	Outlays from new discretionary authority		6	7
1011	Outlays from discretionary balances	9	9	2
1020	Outlays, gross (total)	9	15	ç
1020	Offsets against gross budget authority and outlays:	5	15	
	Offsetting collections (collected) from:			
1030	Federal sources	-2		
1030	Additional offsets against gross budget authority only:	2		
1052	Offsetting collections credited to expired accounts	2		
	checking concerned or carrow to expired accounts			
1070	Budget authority, net (discretionary)	5	8	ç
1080	Outlays, net (discretionary)	7	15	9
1100	Budget authority, net (total)	5	8	g
4180	budget dutionty, net (total)			

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms.

The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report semi-annually on its operations to the U.S. Congress, as well as inform the public of its activities, as appropriate.

# Object Classification (in millions of dollars)

Identi	fication code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	2	5	5
11.3	Other than full-time permanent	1		
11.9	Total personnel compensation	3	5	5
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA		1	1
23.3	Communications, utilities, and miscellaneous charges		2	2
25.1	Advisory and assistance services	3		
25.3	Other goods and services from Federal sources		1	1
26.0	Supplies and materials	1	1	1
99.9	Total new obligations, unexpired accounts	8	11	11

# **Employment Summary**

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	20	31	35

# PUBLIC BUILDINGS REFORM BOARD

# Federal Funds

## PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES

For salaries and expenses of the Public Buildings Reform Board in carrying out the Federal Assets Sale and Transfer Act of 2016 (Public Law 114–287), \$3,500,000, to remain available until expended.

# Program and Financing (in millions of dollars)

Identif	ication code 290–2860–0–1–804	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Direct program activity		3	4
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	5	2
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation			4
1930	Total budgetary resources available	5	5	6
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	2	2
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts		3	4
3020	Outlays (gross)		-3	-4
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross			4
	Outlays, gross:			
4010	Outlays from new discretionary authority			4
4011	Outlays from discretionary balances		3	
4020	Outlays, gross (total)		3	4
4180	Budget authority, net (total)			4
4190	Outlays, net (total)		3	4

The Federal Assets Sale and Transfer Act of 2016 (Public Law 114–287), enacted in December 2016, authorizes the Public Buildings Reform Board.

PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES—Continued

The role of the Board is to identify opportunities for the Government to significantly reduce its inventory of civilian real property and reduce cost to the Government, subject to approval by the Office of Management and Budget. By law, the Board sunsets in 2025.

# Object Classification (in millions of dollars)

Identifi	ication code 290-2860-0-1-804	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent		1	1
25.1	Advisory and assistance services		2	:
99.9	Total new obligations, unexpired accounts		3	2
	Employment Summary			
Identifi	ication code 290–2860–0–1–804	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		6	(

# PUBLIC DEFENDER SERVICE FOR THE DISTRICT OF COLUMBIA

# Federal Funds

#### FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, [\$44,011,000, of which \$344,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities] \$44,194,000: Provided, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies: Provided further, That the District of Columbia Public Defender Service may establish for employees of the District of Columbia Public Defender Service a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, except that the maximum amount of the payment made under the program to any individual may not exceed the amount referred to in section 3523(b)(3)(B) of title 5, United States Code: Provided further, That for the purposes of engaging with, and receiving services from, Federal Franchise Fund Programs established in accordance with Section 403 of the Government Management Reform Act of 1994, as amended, the District of Columbia Public Defender Service shall be considered an agency of the United States Government. (District of Columbia Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

ldentif	ication code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Public Defender Service	41	44	44
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		5	5
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	46	44	44
1930	Total budgetary resources available	46	49	49
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	5	5
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	5	5
3010	New obligations, unexpired accounts	41	44	44
3011	Obligations ("upward adjustments"), expired accounts	1	1	1
3020	Outlays (gross)	-42	-44	-44
3041	Recoveries of prior year unpaid obligations, expired			
3050	Unpaid obligations, end of year	5	5	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	6	5	5

3200	Obligated balance, end of year	5	5	5
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	46	44	44
4010	Outlays from new discretionary authority	38	40	40
4011	Outlays from discretionary balances	4	4	4
4020		42	44	44
4033	Non-Federal sources Additional offsets against gross budget authority only:	-1		
4052	Offsetting collections credited to expired accounts	1		<u> </u>
4070	Budget authority, net (discretionary)	46	44	44
4080	Outlays, net (discretionary)	41	44	44
4180	Budget authority, net (total)	46	44	44
4190	Outlays, net (total)	41	44	44

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (P.L. 91–358; see also D.C. Code Sec. 2–1601, et seq.) to fulfill the constitutional mandate (under *Gideon v. Wainwright*) to provide criminal defense counsel for individuals who cannot afford to hire a lawyer. PDS's mission is to provide and promote quality legal representation for indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice. PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system and individuals facing parole revocation for D.C. Code offenses.

# Object Classification (in millions of dollars)

Identi	fication code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	23	24	25
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	24	25	26
12.1	Civilian personnel benefits	8	8	8
23.1	Rental payments to GSA	3	4	4
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	2	2	2
25.3	Other goods and services from Federal sources	1	1	1
25.7	Operation and maintenance of equipment	1	1	1
31.0	Equipment		1	
99.0	Direct obligations	41	44	44
99.9	Total new obligations, unexpired accounts	41	44	44

#### **Employment Summary**

Identification code 511-1733-0-1-754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	210	205	213

# PAYMENT TO PUERTO RICO OVERSIGHT BOARD

#### Federal Funds

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

# Special and Trust Fund Receipts (in millions of dollars)

Identif	Identification code 328-5619-0-2-806		2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:			
1110	Payment from Puerto Rico, Puerto Rico Oversight Board	65	58	58
2000	Total: Balances and receipts	65	58	58

2101	Appropriations: Current law: Payment to Puerto Rico Oversight Board	-65	-58	-58
5099	Balance, end of year			
	Program and Financing (in millions			
dontif	ication code 328–5619–0–2–806	2019 actual	2020 est.	2021 est.
uentii	Calini cue 320-3013-0-2-000	2013 actual	2020 631.	2021 631.
0001	Obligations by program activity: Payment to Oversight Board	65	58	58
0900	Total new obligations, unexpired accounts (object class 25.2)	65	58	58
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	65	58	58
1930	Total budgetary resources available	65	58	58
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	65	58	58
3020	Outlays (gross)	-65	-58	-58
	Budget authority and outlays, net: Mandatory:			
1090	Budget authority, gross Outlays, gross:	65	58	58
4100	Outlays from new mandatory authority	65	58	58
4180	Budget authority, net (total)	65	58	58
4190	Outlays, net (total)	65	58	58

The Puerto Rico Oversight, Management, and Economic Stability Act (P.L. 114–187) created an oversight board that is not a department, agency, establishment, or instrumentality of the Federal Government but is an entity within the territorial government, which is not subject to the supervision or control of any Federal agency. See 42 U.S.C. 2121(c). Although the Board's financing is derived entirely from the territorial government, the flow of funds from the territory to the Board is mandated by Federal law. Because Federal law prescribes the flow of funds to the Board, the Budget reflects the allocation of resources by the territorial government to the new territorial entity with a net zero Federal deficit impact, consistent with long-standing budgetary concepts. Because the Board itself is not a Federal entity, its operations will not be included in the Federal Government's Budget. Data are presented here on a Puerto Rico fiscal year basis (July 1 to June 30).

# **RAILROAD RETIREMENT BOARD**

# Federal Funds

# DUAL BENEFITS PAYMENTS ACCOUNT

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, [\$16,000,000]\$13,000,000, which shall include amounts becoming available in fiscal year [2020]2021 pursuant to section 224(c)(1)(B) of Public Law 98–76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 060-0111-0-1-601	2019 actual	2020 est.	2021 est.
Obligations by program activity: 0001 Dual Benefits Payments Account (Direct)	17	16	13
0900 $$ Total new obligations, unexpired accounts (object class 41.0) $$	17	16	13

#### Budgetary resources:

	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	18	15	12
	Appropriations, mandatory:			
1200	Appropriation	1	1	1
1900	Budget authority (total)	19	16	13
1930	Total budgetary resources available	19	16	13
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-2		
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	17	16	13
3020	Outlays (gross)	-17	-16	-13
	Dudget authority and authors not			
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	18	15	12
4000	Outlays, gross:	10	15	12
4010	Outlays, gross: Outlays from new discretionary authority	16	15	12
4010	Mandatory:	10	15	12
4090	Budget authority, gross	1	1	1
4050	Outlays, gross:	1	1	1
4100		1	1	1
4100	Outlays from new mandatory authority	1	1	1
4180	Budget authority, net (total)	19	16	13
4190	Outlays, net (total)	17	16	13

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

Established in conjunction with the Railroad Retirement Solvency Act of 1983, this account acts as a conduit for various financial transactions, such as interfund transfers and fund transfers from the Department of the Treasury.

# FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, [2021] 2022, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98–76. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identi	ication code 060–0113–0–1–601	2019 actual	2020 est.	2021 est.
0001	<b>Obligations by program activity:</b> Federal Payments to Railroad Retirement Accounts (Direct)	736	686	719
0900	Total new obligations, unexpired accounts (object class 42.0) $\ldots \ldots$	736	686	719
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1200	Appropriation	736	686	719
1930	Total budgetary resources available	736	686	719
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	736	686	719
3020	Outlays (gross)	-736	-686	-719
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	736	686	719
4100	Outlays from new mandatory authority	736	686	719
4180	Budget authority, net (total)	736	686	719
4190	Outlays, net (total)	736	686	719
	-			

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits.

# RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS

# Program and Financing (in millions of dollars)

ldentif	ication code 060-0117-0-1-603	2019 actual	2020 est.	2021 est.
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	133	133	133
1930	Total budgetary resources available	133	133	133
1941	Unexpired unobligated balance, end of year	133	133	133
3000	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1	1	1	1
8050	Unpaid obligations, end of year Memorandum (non-add) entries:	1	1	1
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
1180 1190	Budget authority, net (total) Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111-92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78), the Middle Class Tax Relief and Job Creation Act of 2012 (P.L. 112-96).

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS, RECOVERY ACT

# Program and Financing (in millions of dollars)

ldentif	Identification code 060–0114–0–1–603		2020 est.	2021 est.	
	Budgetary resources: Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	9	9	9	
1930	Total budgetary resources available Memorandum (non-add) entries:	9	9	9	
1941	Unexpired unobligated balance, end of year	9	9	9	
	Budget authority, net (total) Outlays, net (total)				

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

#### **Trust Funds**

**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND** 

# Program and Financing (in millions of dollars)

ldentif	ication code 060-8051-0-7-603	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Railroad Unemployment Insurance Trust Fund (Direct)	112	150	140
0801	Railroad Unemployment Insurance Trust Fund (Reimbursable)	15	14	15
0900	Total new obligations, unexpired accounts	127	164	155
	Budgetary resources:			
1033	Unobligated balance: Recoveries of prior year paid obligations Budget authority: Appropriations, discretionary:	2		
1101	Appropriation (special or trust)	17	17	17
1103 1135	Appropriation (previously unavailable)(special or trust) Appropriations precluded from obligation (special or	8		
	trust)	8	<u></u>	·····
1160	Appropriation, discretionary (total) Appropriations, mandatory:	17	17	17
1201	Appropriation (special or trust fund)	118	56	65
1203	Appropriation (unavailable balances)	101	77	58

1260		-127		
1200	Appropriations, mandatory (total)	92	133	123
	Spending authority from offsetting collections, discretionary:		155	125
1700	Collected	1		
1800	Spending authority from offsetting collections, mandatory: Collected	15	14	15
1900	Budget authority (total)	125	14	15
	Total budgetary resources available	127	164	155
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	10	1
3010	New obligations, unexpired accounts	127	164	155
3020	Outlays (gross)	-123	-173	-155
3050	Unpaid obligations, end of year	10	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	6	10	1
3200	Obligated balance, end of year	10	1	1
	Budget authority and outlays, net:			
4000	Discretionary:	10	17	17
4000	Budget authority, gross Outlays, gross:	18	17	17
4010	Outlays, gloss: Outlays from new discretionary authority	16	17	17
4011	Outlays from discretionary balances	2		
4000		10		
4020	Outlays, gross (total)	18	17	17
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources	-1		
4030	Mandatory:	1		
4090	Budget authority, gross	107	147	138
	Outlays, gross:			
4100	Outlays from new mandatory authority	89	147	138
4101	Outlays from mandatory balances	16	9	
4110	Outlays, gross (total)	105	156	138
4110	Offsets against gross budget authority and outlays:	105	150	100
	Offsetting collections (collected) from:			
4123	Non-Federal sources	-17	-14	-15
	Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired			
	accounts	2	·····	
4160	Budget authority, net (mandatory)	92	133	123
4170	Outlays, net (mandatory)	88	142	123
4180		109	150	140
4190	Outlays, net (total)	105	159	140

Unexpired unavailable balance, SOY: Offsetting collections ...... 5090 1 1 Unexpired unavailable balance, EOY: Offsetting collections ..... 5092 1 1 1

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

#### Object Classification (in millions of dollars)

Identi	fication code 060-8051-0-7-603	2019 actual	2020 est.	2021 est.
42.0	Direct obligations: Benefit payments	112	150	140
99.0 99.0	Direct obligations Reimbursable obligations	112 15	150 14	140 15
99.9	Total new obligations, unexpired accounts	127	164	155

#### **RAIL INDUSTRY PENSION FUND**

#### Special and Trust Fund Receipts (in millions of dollars)

Identi	ication code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	234	302	450
1110 1110	Refunds, Rail Industry Pension Fund Taxes, Rail Industry Pension Fund	-48 3,307	-139 3,361	—3 3,383
1140	Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	15	13	15

# OTHER INDEPENDENT AGENCIES

1140 1140	Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,794	1,890	1,589
1140	Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	471	403	413
1199	Total current law receipts	5,539	5,528	5,397
1999	Total receipts	5,539	5,528	5,397
2000	Total: Balances and receipts Appropriations: Current law:	5,773	5,830	5,847
2101	Rail Industry Pension Fund	-83		-83
2101	Rail Industry Pension Fund	-5,457	-5,550	-5,398
2103	Rail Industry Pension Fund	-656	-656	-989
2135	Rail Industry Pension Fund	725	910	711
2199	Total current law appropriations	-5,471	-5,380	-5,759
2999	Total appropriations	-5,471	-5,380	-5,759
5099	Balance, end of year	302	450	88

# Program and Financing (in millions of dollars)

Identif	ication code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Rail Industry Pension Fund (Direct)	5,666	5,692	5,720
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1			63
1033	Recoveries of prior year paid obligations	4		
1050	Unobligated balance (total) Budget authority:	4		63
1101	Appropriations, discretionary: Appropriation (special or trust) Appropriations, mandatory:	83	84	83
1201	Appropriation (special or trust fund)	5,457	5,550	5,398
1203	Appropriation (unavailable balances)	656	656	989
1220	Appropriations transferred to other acct [060–8010]			-22
1221 1235	Appropriations transferred from other acct [060–8010]	191	375	
1230	Appropriations precluded from obligation (special or trust)	-725	-910	-711
1260	Appropriations mandatony (total)	5,579	E 671	E CEA
1200	Appropriations, mandatory (total) Budget authority (total)	5,662	5,671 5,755	5,654 5,737
	Total budgetary resources available	5,666	5,755	5,800
	Memorandum (non-add) entries:	.,	-,	.,
1941	Unexpired unobligated balance, end of year		63	80
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	422	450	23
3010	New obligations, unexpired accounts	5,666	5,692	5,720
3020	Outlays (gross)	5,638	6,119	5,720
3050	Unpaid obligations, end of year	450	23	23
	Memorandum (non-add) entries:	100	450	
3100 3200	Obligated balance, start of year	422 450	450 23	23 23
3200	Obligated balance, end of year	450	23	20
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	83	84	83
	Outlays, gross:			
4010	Outlays from new discretionary authority	83	84	83
4090	Mandatory: Budget authority, gross	5,579	5,671	5,654
+030	Outlays, gross:	3,373	3,071	3,034
4100	Outlays from new mandatory authority	5,367	5,608	5,637
4101	Outlays from mandatory balances	188	427	
4110	Outlays, gross (total) Offsets against gross budget authority and outlays:	5,555	6,035	5,637
4123	Offsetting collections (collected) from: Non-Federal sources	-4		
4143	Additional offsets against gross budget authority only: Recoveries of prior year paid obligations, unexpired accounts	4		
41.00				
4160	Budget authority, net (mandatory)	5,579	5,671	5,654
4170 4180	Outlays, net (mandatory) Budget authority, net (total)	5,551 5,662	6,035 5,755	5,637 5,737
	Outlays, net (total)	5,634	6,119	5,720
	····,,, -· (,	0,004	0,110	0,720

Railroad Retirement Board—Continued	1321
Trust Funds—Continued	1321

#### Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	612	698	964
5001	Total investments, EOY: Federal securities: Par value	698	964	602
0001		000	504	

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Approximately 8,000 individuals also receive a "windfall" benefit.

### Status of Funds (in millions of dollars)

Identif	ication code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
	Unexpended balance, start of year:			
0100	Balance, start of year	705	813	566
0999	Total balance, start of year	705	813	566
	Cash income during the year:			
	Current law:			
	Receipts:			
1110	Refunds, Rail Industry Pension Fund	-48	-139	-3
1110	Taxes, Rail Industry Pension Fund	3,307	3,361	3,383
1130	Rail Industry Pension Fund	4		
1130	Limitation on the Office of Inspector General	1		
1130	Limitation on Administration	1		
1150	Interest and Profits on Investments in Public Debt			
	Securities, Rail Industry Pension Fund	15	13	15
1160	Payment from the National Railroad Retirement Investment	1 704	1 000	1 500
1100	Trust, Rail Industry Pension Fund	1,794	1,890	1,589
1160	Federal Payments to Railroad Retirement Trust Funds, Rail	471	400	410
1100	Industry Pension Fund	471	403	413
1160 1160	Limitation on the Office of Inspector General Limitation on Administration	12	12 147	12 150
1100	Limitation on Administration	156	147	100
1199	Income under present law	5,713	5,687	5,559
1999	Total cash income	5,713	5,687	5,559
	Cash outgo during year:			
	Current law:			
2100	Rail Industry Pension Fund [Budget Acct]	-5,638	-6,119	-5,720
2100	Limitation on the Office of Inspector General [Budget			
	Acct]	-11	-13	-12
2100	Limitation on Administration [Budget Acct]	147		
2199	Outgo under current law	-5,796	-6,309	-5,882
2999	Total cash outgo (-)	-5,796	-6,309	-5.882
	Surplus or deficit:			
3110	Excluding interest	-98	-635	-338
3120	Interest	15	13	15
3199	Cubistal surplus as definit	83	-622	323
3199	Subtotal, surplus or deficit			-323 -22
3230	Rail Industry Pension Fund Rail Industry Pension Fund			
3230	Limitation on Administration	2		
	Adjustment to reconcile to proprietary accounting	-2		
	, , , , , ,			
3299	Total adjustments	191	375	22
3999	Total change in fund balance	108	-247	-345
	Unexpended balance, end of year:			
4100	Uninvested balance (net), end of year	115	-398	-381
4200	Rail Industry Pension Fund	698	964	602
1000				
4999	Total balance, end of year	813	566	221

#### Object Classification (in millions of dollars)

Identi	fication code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
42.0 94.0	Direct obligations: Benefit payments Financial transfers	5,582 84	5,608 84	5,637 83
99.9	Total new obligations, unexpired accounts	5,666	5,692	5,720

# LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, [\$123,500,000] *\$120,225,000*, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund: *Provided*, That notwithstanding section 7(b)(9) of the Railroad Retirement Act this limitation may be used to

# LIMITATION ON ADMINISTRATION-Continued

hire attorneys only through the excepted service: Provided further, That the previous proviso shall not change the status under Federal employment laws of any attorney hired by the Railroad Retirement Board prior to January 1, 2013: Provided further, That notwithstanding section 7(b)(9) of the Railroad Retirement Act, this limitation may be used to hire students attending qualifying educational institutions or individuals who have recently completed qualifying educational programs using current excepted hiring authorities established by the Office of Personnel Management: Provided further, That [\$10,000,000] \$5,725,000, to remain available until expended, shall be used to supplement, not supplant, existing resources devoted to operations and improvements for the Board's Information Technology Investment Initiatives. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identi	fication code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Rail Industry Pension Fund	77	81	79
0002	Railroad Social Security Equivalent Benefit	31	26	2
0003	Railroad Unemployment Insurance Trust Fund	16	16	1
0100	Subtotal, direct program	124	123	120
0799	Total direct obligations	124	123	120
0801	Medicare and other reimbursements	30	31	31
0900	Total new obligations, unexpired accounts	154	154	151
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	15	16	
1012	Unobligated balance transfers between expired and unexpired accounts	2		
1050				
1050	Unobligated balance (total) Budget authority:	17	16	ç
	Spending authority from offsetting collections, discretionary:			
1700	Collected	154	147	150
1900	Budget authority (total)	154	147	150
1930	Total budgetary resources available	171	163	159
1040	Memorandum (non-add) entries:	1		
1940 1941	Unobligated balance expiring Unexpired unobligated balance, end of year	-1 16		
1941	Special and non-revolving trust funds:	10	9	
1951	Unobligated balance expiring	1		
1952	Expired unobligated balance, start of year	4	7	
1953	Expired unobligated balance, end of year	6	7	1
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	29	33	10
3010	New obligations, unexpired accounts	154	154	15
3011	Obligations ("upward adjustments"), expired accounts	4		
3020	Outlays (gross)	-147	-177	-150
3041	Recoveries of prior year unpaid obligations, expired	7	·····	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	33	10	11
3100	Obligated balance, start of year	29	33	10
3200	Obligated balance, start of year	33	10	11
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	154	147	150
	Outlays, gross:			
4010	Outlays from new discretionary authority	126	147	150
4011	Outlays from discretionary balances	21	30	
4020	Outlays, gross (total)	147	177	150
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-156	-147	-150
	Non-Federal sources			
		-157	-147	-150
4033	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:			
4033 4040	Additional offsets against gross budget authority only:	3		
4033 4040 4052 4080		3 —10		
4033 4040 4052	Additional offsets against gross budget authority only: Offsetting collections credited to expired accounts			

#### The table below shows anticipated workloads.

	2018 actual	2019 actual	2020 est.	2021 est.
Pending, start of year	10,014	11,159	11,656	13,971
New Railroad Retirement applications	38,489	29,156	37,000	36,000
New Social Security certifications	3,393	3,617	4,000	4,000
Total dispositions (excluding partial awards)	40,737	32,276	38,685	39,651
Pending, end of year	11,159	11,656	13,971	14,319

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2018 act.	2019 act.	2020 est.
Total beneficiaries	1,009,500	894,196	549,154	513,732	508,774	503,700

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes three (3) legislative proposals: the first legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management; the second legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board to utilize student and recent graduate hiring authority available to other Federal agencies; lastly the third legislative proposal is to amend the Railroad Retirement Act and the Railroad Unemployment Insurance Act to include a felony charge for individuals committing fraud against the Agency. Under this proposal, both the Railroad Retirement Act and the Railroad Unemployment Insurance Act would be amended to include a felony charge similar to violations under 42 U.S.C. 408, 18 U.S.C. 1001, or 18 U.S.C. 287.

#### **Object Classification** (in millions of dollars)

Identi	fication code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	62	57	55
11.3	Other than full-time permanent	1	2	1
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	65	61	58
12.1	Civilian personnel benefits	21	20	18
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	4	4	4
23.3	Communications, utilities, and miscellaneous charges	6	6	7
25.2	Other services from non-Federal sources	25	25	27
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	5	4
99.0	Direct obligations	124	123	120
99.0	Reimbursable obligations	30	31	31
99.9	Total new obligations, unexpired accounts	154	154	151

#### **Employment Summary**

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	695	626	572
2001 Reimbursable civilian full-time equivalent employment	104	100	98

# NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

# Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	26,453	25,240	23,843
1130	Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	156	5	15
1130	Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	454	458	456

# OTHER INDEPENDENT AGENCIES

1140	Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	30	30	30
1199	Total current law receipts	640	493	501
1999	Total receipts	640	493	501
2000	Total: Balances and receipts Appropriations: Current law:	27,093	25,733	24,344
2101	National Railroad Retirement Investment Trust	-1,853	-1,890	-1,589
5099	Balance, end of year	25,240	23,843	22,755

# Program and Financing (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity: 0001 NRRIT expenses	1,853	1,890	1,589
0900 Total new obligations, unexpired accounts (object class 94.0)	1,853	1,890	1,589

	Budgetary resources: Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1,853	1.890	1,589
1930	Total budgetary resources available	1,853	1,890	1,589
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	1,853	1,890	1,589
3020	Outlays (gross)	-1,853	-1,890	-1,589
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	1,853	1,890	1,589
4100	Outlays from new mandatory authority	1.853	1,890	1,589
4180	Budget authority, net (total)	1,853	1,890	1,589
4190	Outlays, net (total)	1,853	1,890	1,589
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	884	831	832
5001	Total investments, EOY: Federal securities: Par value	831	832	792
5010	Total investments, SOY: non-Fed securities: Market value	25,589	24,422	23,071
5011	Total investments, EOY: non-Fed securities: Market value	24,422	23,071	21,949

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

# Status of Funds (in millions of dollars)

Identif	lentification code 060-8118-0-7-601		2020 est.	2021 est.
0100	Unexpended balance, start of year: Balance, start of year	26,453	25,250	23,853
0298	Adjustment for Non-Federal securities, market value (one month lag)(means of financing)	-107		
0298	Adjustment for Beginnning cash transfer	117	<u> </u>	<u></u>
0999	Total balance, start of year Cash income during the year: Current law:	26,463	25,250	23,853
1150 1150	Receipts: Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust Earnings on Investments in Federal Securities, National	156	5	15
1100	Railroad Retirement Investment Trust	30	30	30
1150	Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	454	458	456
1199	Income under present law	640	493	501
1999	Total cash income Cash outgo during year: Current law:	640	493	501
2100	National Railroad Retirement Investment Trust [Budget Acct]	-1,853		-1,589
2199	Outgo under current law	-1,853	-1,890	-1,589
2999	Total cash outgo (-) Surplus or deficit:	-1,853	-1,890	-1,589
3110 3120	Excluding interest	-1,853 640	-1,890 493	-1,589 501

# Subtotal, surplus or deficit ..... -1,213 -1,397 -1,088

3999	Total change in fund balance Unexpended balance, end of year:	-1,213	-1,397	-1,088
4100 4200	Uninvested balance (net), end of year National Railroad Retirement Investment Trust	24,419 831	23,021 832	21,973 792
4999	Total balance, end of year	25,250	23,853	22,765

3199

# LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than [\$11,000,000] \$11,499,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Rail Industry Pension Fund	7	7	7
0002	Railroad Social Security Equivalent Benefit	3	3	3
0003	Railroad Unemployment Insurance Trust	1	1	1
0100	Subtotal, direct program	11	11	11
0799	Total direct obligations	11	11	11
0801	Medicare and other reimbursements	1	1	1
0900	Total new obligations, unexpired accounts	12	12	12
	Budgetary resources:			
	Budget authority:			
	Spending authority from offsetting collections, discretionary:			
1700	Collected	12	12	12
1930	Total budgetary resources available	12	12	12
	Memorandum (non-add) entries:			
1952	Special and non-revolving trust funds: Expired unobligated balance, start of year	2	3	3
1952	Expired unobligated balance, end of year	2	3	3
	Change in obligated balance:			
2000	Unpaid obligations:		2	0
3000 3010	Unpaid obligations, brought forward, Oct 1	1	3 12	2 12
3010	New obligations, unexpired accounts Obligations ("upward adjustments"), expired accounts	12		
3020	Outlays (gross)	-11	-13	-12
2050				
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	3	2	2
3100	Obligated balance, start of year	1	3	2
3200	Obligated balance, end of year	3	2	2
5200	Obligated balance, end of year	J	Z	2
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	12	12	12
	Outlays, gross:			
4010	Outlays from new discretionary authority	9	12	12
4011	Outlays from discretionary balances	2	1	
4020	Outlays, gross (total)	11	13	12
1020	Offsets against gross budget authority and outlays:		10	
4030	Offsetting collections (collected) from:	10	-12	-12
4030	Federal sources Non-Federal sources	-12 -1		
4033			<u> </u>	
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-13	-12	-12
4052	Offsetting collections credited to expired accounts	1		
4080	Outlays, net (discretionary)	-2	1	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-2	1	

# Object Classification (in millions of dollars)

Identif	ication code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	6	6	6
12.1	Civilian personnel benefits	2	2	2
99.0	Direct obligations	8	8	8
99.0	Reimbursable obligations	1	1	1

1001 Direct civilian full-time equivalent employment

2001 Reimbursable civilian full-time equivalent employment ...

# LIMITATION ON THE OFFICE OF INSPECTOR GENERAL—Continued **Object Classification**—Continued

Identifi	cation code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
99.5	Adjustment for rounding	3	3	3
99.9	Total new obligations, unexpired accounts	12	12	12
	Employment Summary			
Identifi	cation code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.

# RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

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# Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	624	548	382
0198	FY18 & Prior HI trust fund interest rate adjustment	63		
0199	Balance, start of year Receipts:	687	548	382
	Current law:			
1110	Refunds, Railroad Social Security Equivalent Benefit			
	Account	-43	-143	-3
1110	Railroad Social Security Equivalent Benefit Account,			
	Taxes	2,912	3,076	3,147
1110	Railroad Social Security Equivalent Benefit Account, Receipts			
	Transferred to Federal Hospital Insurance Trust Fund	-610	-586	-619
1140	Railroad Social Security Equivalent Benefit Account, Interest			
	and Profits on Investments in Public Debt Securities	27	24	19
1140	Railroad Social Security Equivalent Benefit Account, Income	000	000	200
1140	Tax Credits	260	283	306
1140	Railroad Social Security Equivalent Benefit Account, Interest	-24	-23	-22
1140	Transferred to Federal Hospital Insurance Trust Fund Railroad Social Security Equivalent Benefit Account, Receipts	-24	-23	-24
1140	from Federal Old-age Survivors Ins. Trust Fund	4.880	5.164	4,87
1140	Railroad Social Security Equivalent Benefit Account, Receipts	4,000	3,104	4,07
1140	from Federal Disability Insurance Trust Fund	66	118	70
1140	Advances from the General Fund for Financial Interchange	00	110	
1140	Interest, Social Security Equivalent Benefit Account	5	5	ļ
1199	Total current law receipts	7,473	7,918	7,784
1999	Total receipts	7,473	7,918	7,784
2000	Total: Balances and receipts	8,160	8,466	8,166
	Appropriations:			
	Current law:			
2101	Railroad Social Security Equivalent Benefit Account	-33	-32	-31
2101	Railroad Social Security Equivalent Benefit Account	-7,505	-7,918	-7,785
2103	Railroad Social Security Equivalent Benefit Account	-1,122	-1,129	-962
2135	Railroad Social Security Equivalent Benefit Account	1,047	995	998
2199	Total current law appropriations	-7,613	-8,084	-7,780
2999	Total appropriations	-7,613	-8.084	-7.78
2999 5098		-7,013	-0,004	-7,70
3030	הסטווטווה מטוטאנוווכוונ	1		
5099	Balance, end of year	548	382	380

#### Identification code 060-8010-0-7-601 2019 actual 2020 est. 2021 est. Obligations by program activity: 0001 Railroad Social Security Equivalent Benefit Account (Direct) ... 7.571 7,699 7,877 Budgetary resources: Budget authority: Appropriations, discretionary: 1101 Appropriation (special or trust) 33 32 31 Appropriations, mandatory: 1201 Appropriation (special or trust fund) 7,505 7.918 7.785 1203 Appropriation (previously unavailable)(special or trust) .... 1,122 1,129 962 1220 Appropriations transferred to other accts [060-8011] -191 -375 1221 Appropriations transferred from other acct [060-8011] .... 22 1235 Appropriations precluded from obligation (special or trust) -1.047-995 -998

#### THE BUDGET FOR FISCAL YEAR 2021

1236	Appropriations applied to repay debt	-4,092	-4,337	-4,310
1260	Appropriations, mandatory (total) Borrowing authority, mandatory:	3,297	3,340	3,461
1400	Borrowing authority	4.241	4.327	4.385
1900	Budget authority (total)	7,571	7,699	7,877
1930	Total budgetary resources available	7,571	7,699	7,877
1930	lotal budgetaly resources available	7,571	7,033	7,077
	Change in obligated balance:			
3000	Unpaid obligations:	587	609	41
	Unpaid obligations, brought forward, Oct 1	•••		
3010	New obligations, unexpired accounts	7,571	7,699	7,877
3020	Outlays (gross)	-7,549	-8,267	-7,877
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	609	41	41
3100	Obligated balance, start of year	587	609	41
3200	Obligated balance, end of year	609	41	41
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	33	32	31
	Outlays, gross:			
4010	Outlays from new discretionary authority	33	32	31
	Mandatory:			
4090	Budget authority, gross	7,538	7,667	7,846
	Outlays, gross:			
4100	Outlays from new mandatory authority	7,516	7,656	7,846
4101	Outlays from mandatory balances		579 .	
4110	Outlays, gross (total)	7,516	8,235	7,846
4110	Budget authority, net (total)	7,510	7.699	7,840
		<i>, -</i>	,	/-
4190	Outlays, net (total)	7,549	8,267	7,877
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1,057	1,092	962
5001	Total investments, EOY: Federal securities: Par value	1,092	962	980
5080	Outstanding debt, SOY	-3,725	-3.934	-3,924
5081	Outstanding debt, EOY	-3.934	-3.924	-3.999
5082	Borrowing	-4,301	-4.327	-4.385
		.,	.,-=-	.,

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2019, \$4.301 million was advanced and \$4.093 million was repaid.

#### Status of Funds (in millions of dollars)

Identi	fication code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
	Unexpended balance, start of year:			
0100	Balance, start of year	-2,603	-2,805	-3,529
0999	Total balance, start of year Cash income during the year: Current law: Receipts:	-2,603	-2,805	-3,529
1110	Refunds, Railroad Social Security Equivalent Benefit Account	-43	-143	-3
1110	Railroad Social Security Equivalent Benefit Account, Taxes	2,912	3,076	3,147
1110	Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust		500	010
1150	Fund Railroad Social Security Equivalent Benefit Account, Interest	-610	-586	-619
1100	and Profits on Investments in Public Debt Securities	27	24	19
1150	Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust			
1160	Fund Railroad Social Security Equivalent Benefit Account, Income	-24	-23	-22
1100	Tax Credits	260	283	306

#### OTHER INDEPENDENT AGENCIES

1160	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4.880	5.164	4.875
1160	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	4,000	118	76
1160	Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account	5	5	5
	Interest, Social Security Equivalent Benefit Account			
1199	Income under present law	7,473	7,918	7,784
1999	Total cash income Cash outgo during year:	7,473	7,918	7,784
2100	Current law:			
2100	Railroad Social Security Equivalent Benefit Account [Budget Acct]	-7,549	-8,267	-7,877
2199	Outgo under current law	-7,549	-8,267	-7,877
2999	Total cash outgo (-) Surplus or deficit:	-7,549	-8,267	-7,877
3110	Excluding interest	-79	-350	-90
3120	Interest	3	1	3
3199	Subtotal, surplus or deficit	-76	-349	-93
3230	Railroad Social Security Equivalent Benefit Account	-191	-375	
3230	Railroad Social Security Equivalent Benefit Account			22
3298	Rounding adjustment	2		
3298	FY18 & Prior HI trust fund interest rate adjustment	63		
3299	Total adjustments	-126	-375	22
3999	Total change in fund balance	-202	-724	-71
4100	Unexpended balance, end of year: Uninvested balance (net), end of year	-3.897	-4.491	-4,580
4100	Railroad Social Security Equivalent Benefit Account	-3,897	-4,491 962	-4,580 980
4200	המוויטמע סטנומו סכנעוונץ בעעועמוכות שכווכות הננטעוון	1,032	JUZ	
4999	Total balance, end of year	-2,805	-3,529	-3,600

#### Object Classification (in millions of dollars)

Identif	ication code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
	Direct obligations:			
42.0	Benefit payments	7,167	7,294	7,846
94.0	Financial transfers	373	375	
94.0	Financial transfers	31	30	31
99.9	Total new obligations, unexpired accounts	7,571	7,699	7,877

# SECURITIES AND EXCHANGE COMMISSION

#### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, [\$1,815,000,000] \$1,894,835,000, to remain available until expended; of which not less than [\$15,662,000] \$16,312,272 shall be for the Office of Inspector General; of which not to exceed \$75,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence.

In addition to the foregoing appropriation, for move, replication, and related costs associated with *relocation under* a replacement lease for the Commission's New York Regional Office facilities] Washington, DC headquarters, not to exceed [\$10,525,000] \$18,650,000; and for move, replication, and related costs associated with relocation under a replacement lease for the Commission's San Francisco Regional Office facilities, not to exceed \$12,677,000, to remain available until expended.

For purposes of calculating the fee rate under section 31(j) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(j)) for fiscal year [2020] 2021, all amounts appropriated under this heading shall be deemed to be the regular appropriation to the Commission for fiscal year [2020] 2021: Provided, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: Provided further, That not Securities and Exchange Commission Federal Funds

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available until expended for necessary expenses of this account and not to exceed [\$10,525,000] \$18,650,000 of such offsetting collections shall be available until expended for move, replication, and related costs under this heading associated with relocation under a replacement lease for the Commission's [New York Regional Office facilities] Washington, DC headquarters; and not to exceed \$12,677,000 of such offsetting collections shall be available until expended for move, replication, and related costs associated with relocation under a replacement lease for the Commission's San Francisco Regional Office facilities: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year [2020] 2021 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year [2020] 2021 appropriation from the general fund estimated at not more than \$0: Provided further, That if any amount of the appropriation for move, replication, and related costs associated with relocation under a replacement lease for the Commission's [New York Regional Office facilities] Washington, DC headquarters or any amount of the appropriation for costs associated with relocation under a replacement lease for the Commission's San Francisco Regional Office is subsequently de-obligated by the Commission, such amount that was derived from the general fund shall be returned to the general fund, and such amounts that were derived from fees or assessments collected for such purpose shall be paid to each national securities exchange and national securities association, respectively, in proportion to any fees or assessments paid by such national securities exchange or national securities association under section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) in fiscal year [2020] 2021. (Financial Services and General Government Appropriations Act, 2020.)

Identif	ication code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	533	583	619
0001	Enforcement Compliance Inspections and Examinations	385	421	447
0002		385 144	421	
	Corporation Finance	- · ·		167
0004	Trading and Markets	84	92	98
0005	Investment Management	62	68 79	72
0006	Economic and Risk Analysis	72		84
0007	General Counsel	48	53	56
8000	Other Program Offices	76	83	88
0009	Agency Direction and Administrative Support	240	262	278
0010	Inspector General	16	18	19
0011	Relocation Costs		292	31
0900	Total new obligations, unexpired accounts	1,660	2,109	1,959
	Budgetary resources:			
1000	Unobligated balance:	257	296	10
	Unobligated balance brought forward, Oct 1			
1021	Recoveries of prior year unpaid obligations	26	21	25
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	284	317	35
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	202		
1100	Appropriation [Relocation Costs]	37		
1160	Appropriation, discretionary (total)	239		
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1,473	1,816	1,895
1700	Collected [Relocation Costs]		11	31
1750	Spending auth from offsetting collections, disc (total)	1.473	1.827	1.926
1900	Budget authority (total)	1,712	1,827	1,926
1901	Adjustment for new budget authority used to liquidate	1,712	1,027	1,520
1001	deficiencies	-40	-25	-2
1930	Total budgetary resources available	1,956	2,119	1,959
1000	Memorandum (non-add) entries:	1,000	2,110	1,505
1941	Unexpired unobligated balance, end of year	296	10	
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	580	560	713
3010	New obligations, unexpired accounts	1,660	2,109	1,959
3020	Outlays (gross)	-1,654	-1,935	-2,039
3040	Recoveries of prior year unpaid obligations, unexpired	-26	-21	-25
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	560	713	608
3100	Obligated balance, start of year	580	560	713
3200	Obligated balance, end of year	560	713	608
3200	SonBaros Sulundo, ond of Jour	000	, 15	500

# SALARIES AND EXPENSES—Continued Program and Financing-Continued

ldentif	ication code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	1,712	1,827	1,926
4010	Outlays from new discretionary authority	1,264	1,545	1,613
4011	Outlays from discretionary balances	390	390	426
4020	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	1,654	1,935	2,039
4033	Non-Federal sources		-1	
4034	Offsetting governmental collections	-1,474	-1,815	-1,895
4034	Offsetting governmental collections [Relocation Costs]	·····	-11	31
4040 4053	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-1,474	-1,827	-1,926
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4060	Additional offsets against budget authority only (total)	1	<u> </u>	
4070	Budget authority, net (discretionary)	239		
4080	Outlays, net (discretionary)	180	108	113
4180	Budget authority, net (total)	239		
4190	Outlays, net (total)	180	108	113
	Memorandum (non-add) entries:			
5090	Unexpired unavailable balance, SOY: Offsetting collections	6,549	6,549	6,549
5092	Unexpired unavailable balance, EOY: Offsetting collections	6,549	6,549	6,549
	Unfunded deficiencies:			
7000	Unfunded deficiency, start of year Change in deficiency during the year:	-68	-28	-3
7012	Budgetary resources used to liquidate deficiencies	40	25	2
7020	Unfunded deficiency, end of year	-28	-3	_1

The primary mission of the Securities and Exchange Commission (SEC) is to: protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The SEC's six major programs include the following:

Enforcement.—The Division of Enforcement investigates and prosecutes civil violations of the Federal securities laws and works closely with the Department of Justice and other law enforcement partners to coordinate and assist in criminal prosecutions.

Compliance Inspections and Examinations.-The Office of Compliance Inspections and Examinations conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC.

Corporation Finance.—The Division of Corporation Finance selectively reviews company disclosures to ensure that investors have the information necessary to make informed investment decisions and to help deter fraud and misrepresentation in securities transactions.

Trading and Markets.—The Division of Trading and Markets' (TM) mission is to establish and maintain standards for fair, orderly, and efficient markets while fostering investor protection and confidence in the markets. TM oversees the activities of industry self-regulatory organizations, such as the Financial Industry Regulatory Authority, and directly regulates market participants where Commission rulemaking is more effective than self-regulation.

Investment Management.-The Division of Investment Management works to protect investors, promote informed investment decision making, and facilitate appropriate innovation in investment products and services through regulation of the asset management industry.

Economic and Risk Analysis.- The Division of Economic and Risk Analysis integrates financial economics and rigorous data analytics into the core mission of the SEC.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy, the Office of the Chief Accountant, and the Office of International Affairs.

The SEC is funded through offsetting fees and assessments collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) at a rate intended to fully offset our appropriation. The Budget proposes \$1.895 billion in collections to fund SEC operations in 2020.

In addition to \$1.895 billion in support of operations, the Budget proposes an amount for move, replication, and related costs associated with a replacement lease for the Commission's Washington, DC, headquarters, facilities. At this time, this amount is estimated at \$18.7 million. The budget also proposes an amount for move, replication, and related costs associated with relocation under a replacement lease for the Commission's San Francisco Reginoal Office facilities. At this time, this amount is estimated at \$12.7 million. These samounts would not be used for the operations of the SEC, and the proposed appropriations language provides a mechanism whereby any unused portion of these funds could be refunded to fee payers (or returned to the general fund of the Treasury) as rapidly as practicable.

Object Classification (in millions of dollars)

Identi	ication code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	826	938	995
11.3	Other than full-time permanent	31		
11.5	Other personnel compensation	4	4	5
11.8	Special personal services payments	3	3	3
11.9	Total personnel compensation	864	945	1,003
12.1	Civilian personnel benefits	298	326	346
21.0	Travel and transportation of persons	10	11	12
23.1	Rental payments to GSA	30	325	66
23.2	Rental payments to others	21	23	24
23.3	Communications, utilities, and miscellaneous charges	16	18	19
24.0	Printing and reproduction	3	3	3
25.1	Advisory and assistance services	54	59	63
25.2	Other services from non-Federal sources	54	59	63
25.3	Other goods and services from Federal sources	49	54	57
25.4	Operation and maintenance of facilities	11	12	13
25.7	Operation and maintenance of equipment	228	250	265
26.0	Supplies and materials	1	1	1
31.0	Equipment	21	23	24
99.9	Total new obligations, unexpired accounts	1,660	2,109	1,959

#### Employment Summary

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,350	4,457	4,585

#### SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:	6	6	6
1110	Current law:			
1110	Registration Fees, Securities and Exchange Commission Reserve Fund	50	50	50
2000	Total: Balances and receipts Appropriations: Current law:	56	56	56
2101	Securities and Exchange Commission Reserve Fund	-50	-50	-50
2103	Securities and Exchange Commission Reserve Fund	-5	-5	-5
2132	Securities and Exchange Commission Reserve Fund	5	5	
2199	Total current law appropriations	-50	-50	-55
2999	Total appropriations	-50	-50	-55
5099	Balance, end of year	6	6	1

# Program and Financing (in millions of dollars)

Identi	ication code 050–5566–0–2–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Enforcement	19	16	17
0002	Compliance Inspections and Examinations	18	15	15
0003	Corporation Finance	9	8	8
0004	Trading and Markets	2	2	2
0005	Investment Management	2	2	2
0006	Economic and Risk Analysis	1	1	1
0007	General Counsel	1	1	1
0008	Other Program Offices	2	1	2
0009	Agency Direction and Administrative Support	9	7	7
)900	Total new obligations, unexpired accounts	63	53	55
	Budgetary resources:			
1000	Unobligated balance:	15	3	
1021	Unobligated balance brought forward, Oct 1	15	-	
1021	Recoveries of prior year unpaid obligations	1	<u> </u>	
1050	Unobligated balance (total)	16	3	
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	50	50	50
1203	Appropriation (previously unavailable)(special or trust)	5	5	5
232	Appropriations and/or unobligated balance of			
	appropriations temporarily reduced	5	5	·····
1260	Appropriations, mandatory (total)	50	50	55
1900	Budget authority (total)	50	50	55
	Total budgetary resources available	66	53	55
1000	Memorandum (non-add) entries:	00	00	00
1941	Unexpired unobligated balance, end of year	3		
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	58	64	43
3010	New obligations, unexpired accounts	63	53	55
3020	Outlays (gross)	-56	-74	-55
3040	Recoveries of prior year unpaid obligations, unexpired		<u> </u>	
3050	Unpaid obligations, end of year	64	43	43
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	58	64	43
3200	Obligated balance, end of year	64	43	43
	Budget authority and outlays, net:			
	Mandatory: Budget authority, gross	50	50	55
1090		50	50	
1090	Uutlavs, gross:		20	22
	Outlays, gross: Outlays from new mandatory authority	8	//	
100	Outlays from new mandatory authority	8 48	22 52	
4100		-		
4100 4101	Outlays from new mandatory authority Outlays from mandatory balances	-		33
4090 4100 4101 4110 4110 4180	Outlays from new mandatory authority	48	52	

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) to establish the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund in the Treasury from which the Commission may obligate amounts determined necessary to carry out Commission functions. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is funded by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50 million and obligations from the Reserve Fund may not exceed \$100 million. The balance in the Reserve Fund may not exceed \$100 million. Amounts in the Reserve Fund are available until expended. (The remainder of registration fee collections for each fiscal year are deposited in the general fund of the Treasury and are not available for obligation by the Commission.)

Amounts collected and deposited in the Reserve Fund are not subject to appropriation or apportionment. However, the Commission is required to notify the Congress of the amount and purpose of any obligations made utilizing amounts from the Reserve Fund within 10 days.

The 2021 Budget proposes to eliminate the Reserve Fund in 2022. Registration fees currently deposited in the Reserve Fund would be redirected to the general fund of the Treasury.

# Object Classification (in millions of dollars)

Identi	ication code 050–5566–0–2–376	2019 actual	2020 est.	2021 est.
25.1 25.7 31.0	Direct obligations: Advisory and assistance services Operation and maintenance of equipment Equipment	5 16 42	5 9 39	5 10 40
99.9	Total new obligations, unexpired accounts	63	53	55

#### INVESTOR PROTECTION FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:	13	12	3
1110	Current law: Monetary Sanctions, Investor Protection Fund	157		54
1140	Interest, Investor Protection Fund	7	10	7
1199	Total current law receipts	164	10	61
1999	Total receipts	164	10	61
2000	Total: Balances and receipts Appropriations: Current law:	177	22	64
2101	Investor Protection Fund	-163	-10	-61
2103	Investor Protection Fund	-12	-10	-1
2132	Investor Protection Fund	10	1	<u> </u>
2199	Total current law appropriations	-165	-19	-62
2999	Total appropriations	-165	-19	-62
5099	Balance, end of year	12	3	2

Identif	ication code 050–5567–0–2–376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	61	00	
0001	Enforcement	61	93	93
0900	Total new obligations, unexpired accounts (object class 11.8)	61	93	93
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	299	403	329
	Budget authority:			
1201	Appropriations, mandatory:	163	10	C1
1201	Appropriation (special or trust fund) Appropriation (previously unavailable)(special or trust)	163	10 10	61 1
1203	Appropriation (previously unavailable)(special of flust) Appropriations and/or unobligated balance of	12	10	1
1232	appropriations temporarily reduced	-10	-1	
1260	Appropriations, mandatory (total)	165	19	62
1930		464	422	391
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	403	329	298
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	98	17	91
3010	New obligations, unexpired accounts	61	93	93
3020	Outlays (gross)	142		-62
3050	Unpaid obligations, end of year	17	91	122
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	98	17	91
3200	Obligated balance, end of year	17	91	122
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	165	19	62
	Outlays, gross:			
4100	Outlays from new mandatory authority		9	1

# INVESTOR PROTECTION FUND—Continued Program and Financing—Continued

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
4101 Outlays from mandatory balances		10	61
4110 Outlays, gross (total)		19	62
4180 Budget authority, net (total)		19	62
4190 Outlays, net (total)		19	62
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par	value	393	308
5001 Total investments, EOY: Federal securities: Par	value	308	308

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Dodd-Frank Act), the Congress substantially expanded the Securities and Exchange Commission's (SEC or Commission) authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with knowledge of possible securities laws violations to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

To comply with direction provided in the Dodd-Frank Act, the SEC's Division of Enforcement established an Office of the Whistleblower to administer and enforce the whistleblower award program. The Investor Protection Fund (the Fund), established by the Dodd-Frank Act, provides resources for payments to whistleblowers and for the SEC's Office of the Inspector General Employee Suggestion Program. Deposits into the Fund are comprised of a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the Commission under the Federal securities laws that are not added to a disgorgement fund or other fund under section 308 of the Sarbanes-Oxley Act of 2002 (P.L. 107-204), as well as amounts in such funds that will not be distributed to injured investors. No sanction collected by the Commission can be deposited into the Fund if the balance at the time the sanction is collected exceeds \$300 million. No funds have been taken or withheld from harmed investors to pay whistleblower awards. The Commission is required to submit an annual report on the whistleblower award program to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives.

The figures reported for 2020 and 2021 are based on assumptions regarding several variables inherent to litigation and to the Commission's whistleblower award process. Given the potential for significant variation in the payouts and their timing, it is possible that actual payouts will be either significantly higher or significantly lower than these estimates.

Administrative Provision—Securities and Exchange Commission

[SEC. 530. Within one year of the enactment of this Act, the Securities and Exchange Commission shall submit to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate, a report concerning the Municipal Securities Rulemaking Board. The report shall detail:

(1) the Commission's legal authorities with respect to:

(A) the composition of the board and the selection of board members; and (B) the compensation of board members and executive staff;

(2) whether board member and executive staff compensation is commensurate with that of State and local public finance officials, including State treasurers and municipal finance directors; and

(3) whether the current board member selection process ensures adequate representation of municipal securities stakeholders and accountability to local governments and municipal bondholders.

(Financial Services and General Government Appropriations Act, 2020.)

# SMITHSONIAN INSTITUTION

# Federal Funds

# SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, [\$793,658,000] \$820,313,000 to remain available until September 30, [2021] 2022, except as otherwise provided herein; of which not to exceed [\$6,908,000] \$6,957,000 for the instrumentation program, collections acquisition, exhibition reinstallation, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: Provided further, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to be available as trust funds for expenses associated with the purchase of a portion of the building at 600 Maryland Avenue, S.W., Washington, D.C. to the extent that Federally supported activities will be housed there: Provided further, That the use of such amounts in the general trust funds of the Institution for such purpose shall not be construed as Federal debt service for, a Federal guarantee of, a transfer of risk to, or an obligation of the Federal Government: Provided further, That no appropriated funds may be used directly to service debt which is incurred to finance the costs of acquiring a portion of the building at 600 Maryland Avenue, S.W., Washington, D.C., or of planning, designing, and constructing improvements to such building: Provided further, That any agreement entered into by the Smithsonian Institution for the sale of its ownership interest, or any portion thereof, in such building so acquired may not take effect until the expiration of a 30 day period which begins on the date on which the Secretary submits to the Committees on Appropriations of the House of Representatives and Senate, the Committees on House Administration and Transportation and Infrastructure of the House of Representatives, and the Committee on Rules and Administration of the Senate a report, as outlined in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), on the intended sale. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Identif	ication code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Public programs	55	62	64
0002	Exhibitions	58	58	61
0003	Collections	71	72	76
0004	Research	95	95	98
0005	Facilities	242	277	283
0006	Security & safety	83	88	91
0007	Information technology	47	49	50
8000	Operations	95	96	98
0799	Total direct obligations	746	797	821
0821	Salaries and Expenses (Reimbursable)	9	9	9
0900	Total new obligations, unexpired accounts	755	806	830
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	54	48	45
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	740	794	820
	Spending authority from offsetting collections, discretionary:			
1700	Collected	8	9	9
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	9	9	9
1900	Budget authority (total)	749	803	829
1930	Total budgetary resources available Memorandum (non-add) entries:	803	851	874
1941	Unexpired unobligated balance, end of year	48	45	44

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	121	131	134
3010	New obligations, unexpired accounts	755	806	830
3020	Outlays (gross)	-744	-803	-829
3041	Recoveries of prior year unpaid obligations, expired			
3050	Unpaid obligations, end of year Uncollected payments:	131	134	135
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired	-1	-	-
3071	Change in uncollected pymts, Fed sources, expired	1		
0071	onango in anoonoocoa pjinto; roa ooarooo, oxpiroa iniminin			
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-6	-6	-6
3100	Obligated balance, start of year	115	125	128
3200	Obligated balance, end of year	125	128	129
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	749	803	829
4010	Outlays from new discretionary authority	608	675	697
4011	Outlays from discretionary balances	136	128	132
4011				
4020	Outlays, gross (total)	744	803	829
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030	Federal sources	-9	-9	-9
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-10	_9	9
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4052	Offsetting collections credited to expired accounts	2		
4002	onsetting billetions oreared to expired abounts			
4060	Additional offsets against budget authority only (total)	1	<u> </u>	<u> </u>
4070	Budget authority, net (discretionary)	740	794	820
4080	Outlays, net (discretionary)	734	794	820
4180	Budget authority, net (total)	740	794	820
4190	Outlays, net (total)	734	794	820

The Smithsonian Institution conducts research in natural and physical sciences, history and the history of cultures, technology and the arts. The Institution acquires and preserves more than 155 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object	Classification	(in	millions	of dollars)
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Identi	fication code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	322	335	343
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	19	19	19
11.9	Total personnel compensation	345	358	366
12.1	Civilian personnel benefits	115	126	128
21.0	Travel and transportation of persons	6	6	6
22.0	Transportation of things	1	1	1
23.3	Rent, Communications, and Utilities	94	96	98
24.0	Printing and reproduction	1	1	1
25.2	Other services	141	164	175
26.0	Supplies and materials	20	21	22
31.0	Equipment	21	22	22
32.0	Land and structures	2	2	2
99.0	Direct obligations	746	797	821
99.0	Reimbursable obligations	9	9	9
99.9	Total new obligations, unexpired accounts	755	806	830

# Employment Summary

Identification code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,174	4,261	4,278

## FACILITIES CAPITAL

For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, [\$253,700,000] *\$290,000,000*, to remain available until expended, of which not to exceed \$10,000 shall be for services as authorized by 5 U.S.C. 3109. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:		_	
0010	Construction	3	5	
0020	Revitalization	294	218	237
0030	Facilities planning and design	13	33	49
0900	Total new obligations, unexpired accounts	310	256	286
	Budgetary resources:			
1000	Unobligated balance:	20	20	00
1000	Unobligated balance brought forward, Oct 1	36	30	28
	Budget authority:			
1100	Appropriations, discretionary:		05.4	
1100	Appropriation	304	254	290
1930	Total budgetary resources available	340	284	318
1941	Memorandum (non-add) entries:	20	00	20
1941	Unexpired unobligated balance, end of year	30	28	32
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	317	439	324
3010	New obligations, unexpired accounts	310	256	286
3020	Outlays (gross)	-188	-371	-249
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	439	324	361
3100	Obligated balance, start of year	317	439	324
3200	Obligated balance, start of year Obligated balance, end of year	439	439 324	324
5200	Obligated balance, end of year	439	324	301
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	304	254	290
4010	Outlays, gross:			70
4010	Outlays from new discretionary authority	17	64	72
4011	Outlays from discretionary balances	171	307	177
4020	Outlays, gross (total)	188	371	249
4180	Budget authority, net (total)	304	254	290
4190	Outlays, net (total)	188	371	249

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design funding related to these activities. The President's Budget for Fiscal Year 2021 includes funds for critical infrastructure improvements at the National Museum of Natural History, the National Zoological Park, and the National Museum of American History. In addition, funds are included for improvements to the Donald W. Reynolds Center, the Smithsonian Tropical Research Institute and Astrophysical Observatory and other important revitalization projects throughout the Institution. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Air and Space Museum 1330 Smithsonian Institution—Continued Federal Funds—Continued

# FACILITIES CAPITAL-Continued

facilities, the Smithsonian Castle and Arts and Industries Building and the Hirshhorn Museum and Sculpture Garden.

# Object Classification (in millions of dollars)

dentification code 033-0103-0-1-503		2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	5	5
12.1	Civilian personnel benefits	2	2	2
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	15	15	15
32.0	Land and structures	286	231	261
99.9	Total new obligations, unexpired accounts	310	256	286

# **Employment Summary**

Identification code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	48	48	48

#### JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

#### OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, [\$25,690,000] \$26,400,000, to remain available until September 30, 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Operations and Maintenance, JFK Center for the Performing Arts (Direct)	24	26	26
	(Direct)	24	20	20
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	20	20	20
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	24	26	26
1900	Budget authority (total)	24	26	26
1930	Total budgetary resources available	44	46	46
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	20	20	20
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5	6	4
3010	New obligations, unexpired accounts	24	26	26
3020	Outlays (gross)	-23	-28	-26
3050	Unpaid obligations, end of year	6	4	4
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1			20
3090	Uncollected pymts, Fed sources, end of year	-20	-20	-20
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-15	-14	-16
3200	Obligated balance, end of year	-14	-16	-16
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	24	26	26
	Outlays, gross:			
4010	Outlays from new discretionary authority	18	21	21
4011	Outlays from discretionary balances	5	7	5
1020	Outlays, gross (total)	23	28	26
1180	Budget authority, net (total)	24	26	26
4190	Outlays, net (total)	23	28	26

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including mainten-

ance, security, memorial interpretation, janitorial, short-term repair, and other services.

# Object Classification (in millions of dollars)

Identif	ication code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	6	6
23.3	Communications, utilities, and miscellaneous charges	6	5	5
25.2	Other services from non-Federal sources	13	15	15
99.9	Total new obligations, unexpired accounts	24	26	26

# **Employment Summary**

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	55	60	60

### CAPITAL REPAIR AND RESTORATION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, [\$17,800,000] 14,000,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 033-0303-0-1-503	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Capital Repair and Restoration	12	18	14
0900	Total new obligations, unexpired accounts (object class 25.2)	12	18	14
	Budgetary resources:			
1000	Unobligated balance:		0.0	
1000	Unobligated balance brought forward, Oct 1	21	26	26
	Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary: Appropriation	17	18	14
1930		38	44	40
1000	Memorandum (non-add) entries:	00		-10
1941	Unexpired unobligated balance, end of year	26	26	26
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	8	7	8
3010	New obligations, unexpired accounts	12	18	14
3020	Outlays (gross)			-15
3050	Unpaid obligations, end of year	7	8	7
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	8	7	8
3200	Obligated balance, end of year	7	8	7
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	17	18	14
4010	Outlays, gross:	<u>_</u>	11	
4010	Outlays from new discretionary authority	6 7	11	8
4011	Outlays from discretionary balances	/	6	/
4020	Outlays, gross (total)	13	17	15
4180	Budget authority, net (total)	17	18	14
4190	Outlays, net (total)	13	17	15

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

# NATIONAL GALLERY OF ART

#### SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public

resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, [\$147,022,000] \$147,174,000, to remain available until September 30, [2021] 2022, of which not to exceed [\$3,660,000]\$3,700,000 for the special exhibition program shall remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

Identif	ication code 033-0200-0-1-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and expenses	145	147	147
	Budgetary resources:			
1000	Unobligated balance:	-	-	
1000	Unobligated balance brought forward, Oct 1	7	7	8
1021	Recoveries of prior year unpaid obligations	1	1	1
1050	Unobligated balance (total) Budget authority:	8	8	9
	Appropriations, discretionary:			
1100	Appropriations, discretionary: Appropriation	144	147	147
1930	Total budgetary resources available	144	147	147
1930	Memorandum (non-add) entries:	152	155	130
1941	Unexpired unobligated balance, end of year	7	8	9
1341	onexpired anobilgated balance, end of year	,	0	J
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	34	42	32
3010	New obligations, unexpired accounts	145	147	147
3020	Outlays (gross)	-136	-156	-150
3040	Recoveries of prior year unpaid obligations, unexpired			
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	42	32	28
3100	Obligated balance, start of year	34	42	32
3200	Obligated balance, end of year	42	32	28
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross	144	147	147
	Outlays, gross:			
4010	Outlays from new discretionary authority	109	123	123
4011	Outlays from discretionary balances	27	33	27
4020	Outlays, gross (total)	136	156	150
4180	Budget authority, net (total)	144	147	147
4190	Outlays, net (total)	136	156	150

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

<b>Object Classification</b>	(in millions of dollars)
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Identif	ication code 033-0200-0-1-503	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	61	73	66
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	5	3	4
11.9	Total personnel compensation	67	77	71
12.1	Civilian personnel benefits	22	24	24
22.0	Transportation of things	1	1	1
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	6	11	11
25.2	Other services	24	19	22
25.4	Operation and maintenance of facilities	7	7	7
26.0	Supplies and materials	3	3	3

Employment	Summary
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31.0

32.0

99.9

Identification code 033-0200-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	762	878	783

#### REPAIR, RESTORATION AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, [\$26,203,000]*\$14,413,000*, to remain available until expended: *Provided*, That of this amount, [\$1,000,000]*\$1,510,000* shall be available for design of an off-site art storage facility in partnership with the Smithsonian Institution: *Provided further*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

# Program and Financing (in millions of dollars)

18	27	18
1		
1		
1	7	0
	/	6
24	26	14
		20
	55	20
7	6	2
12	55	31
		18
		-28
_		
55	31	21
		31
55	31	21
24	26	14
	3	1
6	48	27
6	51	28
-	26	14
6	51	28
	224 $25$ $7$ $43$ $18$ $-6$ $55$ $43$ $55$ $24$ $$ $6$ $6$ $24$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, leases of space necessitated by such renovations, and the design and construction of an off-site art storage facility in partnership with the Smithsonian Institution. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

# **Object Classification** (in millions of dollars)

Identification code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.2 Rental payments to others	5	6	6

# REPAIR, RESTORATION AND RENOVATION OF BUILDINGS—Continued **Object Classification**—Continued

Identifi	cation code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
32.0	Land and structures	13	21	12
99.9	Total new obligations, unexpired accounts	18	27	18
	Employment Summary			

Identification code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	2	2

#### WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

#### SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, [\$14,000,000] *\$8,211,000*, to remain available until September 30, [2021] 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# Program and Financing (in millions of dollars)

dentif	ication code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and expenses	11	14	8
	Budgetary resources:			
1000	Unobligated balance:		0	0
1000	Unobligated balance brought forward, Oct 1	1	2	2
	Budget authority:			
100	Appropriations, discretionary: Appropriation	12	14	8
	Total budgetary resources available	12	14	0 10
1550	Memorandum (non-add) entries:	15	10	10
1941	Unexpired unobligated balance, end of year	2	2	2
3000 3010 3020 3050	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1 New obligations, unexpired accounts Outlays (gross) Unpaid obligations, end of year	5 11 ——————————————————————————————————	5 14 —13 6	6 8 0 4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5	5	6
3200	Obligated balance, end of year	5	6	4
	Budget authority and outlays, net: Discretionary:			
1000	Budget authority, gross Outlays, gross:	12	14	8
1010	Outlays from new discretionary authority	6	10	6
011	Outlays from discretionary balances	5	3	4
1020	Outlays, gross (total)	11	13	10
180	Budget authority, net (total)	12	14	8
1190	Outlays, net (total)	11	13	10

The Woodrow Wilson Center facilitates scholarship in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue. The Budget proposes to eliminate funding for several independent agencies, including the Woodrow Wilson Center. The Budget provides \$8.211 million in FY 2021 to support an orderly transition to privately-funded operations.

Object Classification (in millions of dollars)

Identi	ication code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	4	5	4
12.1	Civilian personnel benefits	2	2	2

THE BUDGET FOR FISCAL YEAR 2021

25.2 41.0	Other services from non-Federal sources Grants, subsidies, and contributions	3 2	4	2				
99.9	Total new obligations, unexpired accounts	11	14	8				
Employment Summary								

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	34	47	41

# STATE JUSTICE INSTITUTE

# Federal Funds

# SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Act of 1984 (42 U.S.C. 10701 et seq.) [\$6,555,000] \$8,000,000, of which \$500,000 shall remain available until September 30, [2021] 2022: *Provided*, That not to exceed \$2,250 shall be available for official reception and representation expenses: *Provided further*, That, for the purposes of section [505] 504 of this Act, the State Justice Institute shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

# Program and Financing (in millions of dollars)

Identif	ication code 453-0052-0-1-752	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses (Direct)	6	7	8
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$	6	7	8
	Budgetary resources: Budget authority:			
	Appropriations, discretionary:		_	
1100	Appropriation	6	7	8
1930	Total budgetary resources available	6	7	8
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	9	11	7
3010	New obligations, unexpired accounts	6	7	8
3020	Outlays (gross)		-11	7
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	11	7	8
3100	Obligated balance, start of year	9	11	7
3200	Obligated balance, end of year	11	7	8
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlavs, gross:	6	7	8
4010	Outlays from new discretionary authority	1	1	1
4011	Outlays from discretionary balances	3	10	6
4020	Outlays, gross (total)	4	11	7
4180	Budget authority, net (total)	6	7	8
4190	Outlays, net (total)	4	11	7

The State Justice Institute (SJI) was established by Federal law (42 U.S.C. 10701 et seq.) as a non-profit corporation to award grants and undertake other activities to improve the quality of justice in State courts and foster innovative, efficient solutions to common issues faced by all courts. SJI has the authority to assist all State courts—criminal, civil, juvenile, family, and appellate—and the mandate to share the success of one State's innovations with every State court system and the Federal courts. The FY 2021 budget proposes a \$1.0 million enhancement to address the unique challenges of the opiod epidemic, mental health issues, and technology in state courts.

# SURFACE TRANSPORTATION BOARD

# Federal Funds

# SALARIES AND EXPENSES

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, [\$37,100,000] *\$37,500,000: Provided*, That notwith-standing any other provision of law, not to exceed \$1,250,000 from fees established by the [Chairman of the] Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: *Provided further*, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year [2020] 2021, to result in a final appropriation from the general fund estimated at no more than [\$35,850,000] *\$36,250,000. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)* 

Program and Financing (in millions of dollars)

Identif	ication code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Direct program activity - Rail Carriers	35	37	37
0100	Direct program activities, subtotal	35	37	37
	Budgetary resources:			
	Budget authority:			
1100	Appropriations, discretionary:	20	20	20
1100	Appropriation	36	36	36
1700	Spending authority from offsetting collections, discretionary:	1	1	
1700	Collected	1	1	1
1900	Budget authority (total)	37	37 37	37 37
1930	Total budgetary resources available	37	37	37
1940	Memorandum (non-add) entries:	-2		
1940	Unobligated balance expiring	-2		
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7	8	1
3010	New obligations, unexpired accounts	35	37	37
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-34	-41	-37
3041	Recoveries of prior year unpaid obligations, expired		·····	
3050	Unpaid obligations, end of year	8	4	1
0000	Memorandum (non-add) entries:	0	-	
3100	Obligated balance, start of year	7	8	4
3200	Obligated balance, end of year	8	4	1
	Budget authority and outlays, net:	-		
	Discretionary:			
4000	Budget authority, gross	37	37	37
	Outlays, gross:			
4010	Outlays from new discretionary authority	29	33	33
4011	Outlays from discretionary balances	5	8	-
4020	Outlays, gross (total)	34	41	3
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4033	Non-Federal sources	-1	-1	-
4180	Budget authority, net (total)	36	36	30
4190	o ,,	33	40	36

The Surface Transportation Board (STB or Board) is primarily charged with the economic oversight of the nation's freight rail system. The economics of freight rail regulation impact the national transportation network and are important to our nation's economy. For this reason, Congress gave the STB sole jurisdiction over railroad entry and exit licensing, mergers, and consolidations, exempting STB-approved transactions from federal antitrust laws and state and municipal laws. The Board also has exclusive authority to determine whether certain railroad rates and practices are reasonable.<sup>[11]</sup> The bipartisan Board was established in 1996 as the successor agency to the Interstate Commerce Commission.<sup>[22]</sup> The Boardwas administratively aligned with the Department of Transportationuntil the enactment of the Surface Transportation Board Reauthorization Act of 2015.<sup>[3]</sup>

While the majority of the Board's work involves freight railroads, the Board also performs certain oversight of passenger rail matters, the intercity bus industry, non-energy pipelines, household goods carriers' tariffs, and rate regulation of non-contiguous domestic water transportation (marine freight shipping involving the mainland United States, Hawaii, Alaska, Puerto Rico, and other U.S. Territories and possessions).

Fiscal Year (FY) 2021 Program: The Board requests \$37,500,000 to carry out its mission as directed under the law. This includes a request for \$1,250,000 from offsetting collections of fees as a credit to the appropriation received, to the extent collected.

The STB's FY 2021 budget request would maintain current operational funding to meet its statutory responsibilities and continue meeting the needs of stakeholders and the public. The Board's non-personnel budget supports several information technology system and infrastructure maintenance and modernization efforts. Funding would also support continued improvements to the Board's cybersecurity program. The funding request also seeks resources to develop the STB's research and analytical capabilities to enhance the Board's evidence-based decision-making.

<sup>[1]</sup> 49 U.S.C. 10101–11908.

<sup>[2]</sup> ICC Termination Act of 1995, P.L. 101–88, 109 Stat. 803 (1995).

<sup>[3]</sup> Surface Transportation Board Reauthorization Act of 2015, P.L. 114–110, 129 Stat. 2228 (2015).

Object Classification	<b>1</b> (in	n millions of dollars)	
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Identi	Identification code 472-0301-0-1-401		2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	15	18	18
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	16	19	19
12.1	Civilian personnel benefits	5	6	6
23.1	Rental payments to GSA	4	4	3
25.2	Other services from non-Federal sources	5	4	5
25.3	Other goods and services from Federal sources	5	4	4
99.9	Total new obligations, unexpired accounts	35	37	37

#### **Employment Summary**

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	119	142	142

# **TENNESSEE VALLEY AUTHORITY**

# Federal Funds

TENNESSEE VALLEY AUTHORITY FUND

Identif	Identification code 455-4110-0-3-999		2020 est.	2021 est.
	Obligations by program activity:			
0801	Power program: Operating expenses	8,507	7,917	7,749
0802	Power program: Capital expenditures	1,700	1,983	2,392
0803	Other Cash Items	29,017	24,760	27,565
0804	Non-Federal Investments	7,357	13,727	11,147
0809	Reimbursable program activities, subtotal	46,581	48,387	48,853
0900	Total new obligations, unexpired accounts	46,581	48,387	48,853
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4.858	7.243	7,583
1022	Capital transfer of unobligated balances to general fund	-6	-7	-7
1050	Unobligated balance (total) Budget authority:	4,852	7,236	7,576
	Borrowing authority, mandatory:			
1400	Borrowing authority	671	928	1,311

# TENNESSEE VALLEY AUTHORITY FUND—Continued

Program and Financing—Continued

Identif	ication code 455–4110–0–3–999	2019 actual	2020 est.	2021 est.
	Spending authority from offsetting collections, mandatory:			
1800	Collected	48,219	47,878	46,523
1801	Change in uncollected payments, Federal sources	82	-72	
1850	Spending auth from offsetting collections, mand (total)	48.301	47.806	46.523
1900	Budget authority (total)	48,972	48,734	47,834
	Total budgetary resources available	53,824	55,970	55,410
	Memorandum (non-add) entries:	00,021	00,070	00,110
1941	Unexpired unobligated balance, end of year	7,243	7,583	6,557
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,982	1,813	1,466
3010	New obligations, unexpired accounts	46,581	48,387	48,853
3020	Outlays (gross)	-46,750	-48,734	-47,834
3050	Unpaid obligations, end of year Uncollected payments:	1,813	1,466	2,485
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1,657	-1.739	-1.667
3070	Change in uncollected pymts, Fed sources, unexpired	-82	72	
3090	Uncollected pymts, Fed sources, end of year Memorandum (non-add) entries:	-1,739	-1,667	-1,667
3100	Obligated balance, start of year	325	74	-201
3200	Obligated balance, end of year	74	-201	818
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	48,972	48,734	47,834
4100	Outlays from new mandatory authority		46,752	47,834
4101	Outlays from mandatory balances	46,750	1,982	
1110	Outlays, gross (total)	46,750	48,734	47,834
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:	,		,
4120	Federal sources	-359	-2,000	-2.000
1121	Interest on Federal securities	-1		
123	Non-Federal sources	-47,859	-47,811	-46,285
130	Offsets against gross budget authority and outlays (total)	-48,219	-49,811	-48,285
4140	Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired	-82	72	
4160	Budget authority, net (mandatory)	671	-1,005	-451
1170	Outlays, net (mandatory)	-1,469	-1,077	-451
1180	Budget authority, net (total)	671	-1,005	-451
\$190	Outlays, net (total)	-1,469	-1,077	-451
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	25	25	25
5001	Total investments, EOY: Federal securities: Par value	25	25	25
	Total investments, SOY: non-Fed securities: Market value	254	254	270
5010	Total investments, EOY: non-Fed securities: Market value	234	201	270

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	671	-1,005	-451
Outlays	-1,469	-1,077	-451
Legislative proposal, subject to PAYGO:			
Budget Authority			216
Outlays			216
Total:			
Budget Authority	671	-1,005	-235
Outlays	-1,469	-1,077	-235

#### Status of Direct Loans (in millions of dollars)

Identifi	cation code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	44	43	60
1231	Disbursements: Direct loan disbursements	9	25	25
1251	Repayments: Repayments and prepayments	0	8	0
1290	Outstanding, end of year	43	60	75

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation charged with the mission to improve the quality of life in the Tennessee Valley through the integrated management of the region's resources. The TVA Act sets forth the agency's purpose: to address the Valley's most important issues in energy, environmental stewardship, and economic development. TVA is currently self-funded, financing its operations almost entirely from revenues and power system financings.

*TVA's Non-Power Programs.*—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide other public benefits. TVA is responsible for stewardship activities within the Tennessee Valley that include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

*TVA's Power Program.*—TVA supplies electric power to an area of 80,000 square miles covering parts of the seven Tennessee Valley states: Tennessee, Alabama, Mississippi, Kentucky, Georgia, North Carolina, and Virginia. Estimated income from power operations, net of interest charges, depreciation, and other operating expenses, is expected to be \$1.6 billion in 2021 on operating revenues of \$10.7 billion. Power generating facilities are financed from power revenues and power system financings. TVA's power system financings consist primarily of the sale of debt securities and secondarily of alternative forms of financing, such as lease arrangements.

*TVA Policy Initiatives.*—Currently, TVA is well-positioned to meet or exceed its \$22 billion debt objective by 2023, established during the 2014 planning cycle, due to the successful execution of cost-savings initiatives as well as strong financial performance to date. As a result, TVA has elected to forego an 2020 base rate action and does not anticipate implementing any near-term rate actions in order to achieve this 2023 debt goal. As part of the aforementioned cost-saving initiative, TVA established a goal of reducing annual operating costs by \$500 million relative to the 2013 budget. TVA exceeded this goal by approximately \$300 million, for a total reduction of \$800 million, and is committed to future continuous improvement initiatives. Additionally, TVA's rate position compared to peers has improved since embarking on the strategic debt reduction plan.

*Strategic Financial Plan.*—In August 2019, the TVA Board approved an annual budget that reflects the first year of a new Strategic Financial Plan. The Strategic Financial Plan, which extends from 2020 through 2030, is flexible in aligning customer preferences and TVA's mission while at the same time establishing a forecast of financial results. Key focus areas of the Strategic Financial Plan include (1) establishing better alignment between the length of local power company (LPC) contracts and TVA's long-term commitments, (2) stabilizing debt in an \$18-\$20 billion range, (3) maintaining rates as low as feasible, (4) maintaining current levels of cash, and (5) pursuing operational efficiencies.

Long-term power planning requires TVA to make long-term financial commitments. As of October 1, 2018, the weighted average length of the notice period under TVA's wholesale power contracts with LPCs was less than 7 years. TVA measures the alignment between its committed revenues and total obligations through a measure known as Net Portfolio Position. In order to better align customer contractual commitments with TVA's overall financial obligations, a long-term partnership proposal was made available to TVA's 154 LPCs on August 22, 2019, after Board approval. Under this long-term partnership proposal, LPCs that agree to contractual changes, which include a rolling 20-year term and a termination notice period of 20 years, will receive a long-term partner credit. That credit is currently 3.1% of wholesale standard service demand, energy, and grid

access charges. TVA's effective wholesale rate and annual revenues will decline as LPCs commit to becoming long-term partners, but TVA's overall financial health will improve through better alignment of customer contract terms with TVA's overall financial obligations. As part of the agreement, TVA has also committed to providing enhanced power supply flexibility for 3–5% of LPC energy by October 1, 2021, with pricing and planning considerations mutually agreeable between the LPC and TVA. As of December 31, 2019, 134 of the 154 LPCs served by TVA have signed the long-term partnership proposal, thus closing the gap between TVA's committed revenues and long-term obligations.

TVA continues to make decisions to move toward an optimized generation fleet as an important part of improving operational performance. TVA has been working for several years toward a more balanced portfolio to provide greater flexibility to generate cleaner, low-cost energy more efficiently from a variety of fuel sources.

During 2017, TVA began commercial operations of a new gas-fired facility at the Paradise Fossil Plant site. Following the completion of this facility, TVA retired Paradise coal-fired units 1 and 2 effective April 2017. The Board voted at its February 2019 meeting to retire Paradise Fossil Unit 3 by December 2020 and to retire Bull Run Fossil Plant by December 2023 in order to maintain a low-cost and efficient generating fleet. Subsequent to the Board approval, TVA determined that Paradise would not be restarted after January 2020 due to the plant's material condition. Current operations indicate the plant may continue to run into the second quarter of 2020. Moreover, as part of its efforts to maintain a well-balanced nuclear portfolio, TVA successfully implemented an extended power uprate project at all three units of the Browns Ferry Nuclear Plant by the end of 2019. As of September 30, 2019, physical work on all units was complete and the generation capacity is expected to increase 465 MW after sufficient run time to validate the new capacity.

*Economic Development.*—TVA is charged with providing the people of the Tennessee Valley region greater opportunities for prosperity. To that end, TVA works to foster capital investment and job growth in the Valley in collaboration with regional, state and local organizations. In fiscal year 2019, TVA worked in partnership with communities and the business sector to spur \$8.9 billion in capital investment in the Tennessee Valley region and helped attract and retain approximately 66,500 jobs.

*Financing.*—Amounts estimated to become available for TVA programs in 2021 are to be derived from operating revenues of \$10.7 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. TVA's outstanding debt and debt-like obligations were \$22.8 billion at the beginning of 2020 and are estimated to be \$21.3 billion by the end of 2021. At the beginning of 2020, TVA had \$1.5 billion in debt-like obligations that are not counted against its statutory debt cap. In addition, TVA had an unfunded pension liability of \$5.3 billion as of September 30, 2019.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2021 are estimated at a \$7 million return on the appropriation investment in the power program. Total capital spending for 2021 is estimated at \$2.4 billion, which in addition to new generation capacity includes approximately \$200 million for environmental projects and \$1.1 billion to maintain TVA's existing generation assets. Total government equity at September 30, 2021, is estimated to be \$1.6 billion more than that at September 30, 2020. This change includes the estimated net income from power operations and payments to the Treasury. As of September 30, 2019, the funding status of TVA employees' defined benefit pension plan (TVARS) was that of a 60% funding ratio and a \$5.3 billion unfunded liability. This compares to a 68% funding ratio and \$3.7 billion unfunded liability in 2018, and a 63% funding ratio and \$4.6 billion unfunded liability in 2017. The decrease in funding ratio and increase in unfunded liability in 2019 was caused by a decrease in the liability discount rate. TVA contributed \$300 million to TVARS, and incurred \$303 million in actuarial costs in 2019. TVA also made \$721 million in payments to beneficiaries and earned \$389 million, or a 5.5 percent rate of return, on the plan's investments in 2019. TVA is committed to meeting its obligations to current and future retirees and has worked with the TVARS Board in recent years to implement several significant changes to ensure the long-term health of the retirement system.

#### Balance Sheet (in millions of dollars)

Identif	ication code 455-4110-0-3-999	2018 actual	2019 actual
	ASSETS:		
	Federal assets:		
1101	Fund balances with Treasury	46	46
	Investments in U.S. securities:		
1106	Receivables, net	45	76
	Non-Federal assets:		
1201	Investments in non-Federal securities, net	2,862	2,968
1206	Receivables, net	1,613	1,664
1207	Advances and prepayments	86	85
1601	Direct loans, gross	229	221
1603	Allowance for estimated uncollectible loans and interest (-)		
1604	Direct loans and interest receivable, net	228	220
1605	Accounts receivable from foreclosed property		
1699	Value of assets related to direct loans	228	220
1001	Other Federal assets:	4 00 4	4 471
1801 1802	Cash and other monetary assets	4,294 961	4,471 999
1802	Inventories and related properties	35,413	35,133
1901	Property, plant and equipment, net Regulatory assets due to pensions	3,119	4,756
1901	Regulatory assets due to pensions	5,119	4,730
1999	Total assets	48,667	50,418
2101	Federal liabilities: Accounts payable	143	156
2101	Non-Federal liabilities:	145	150
2201	Accounts payable	1,805	1,622
2202	Interest payable	305	296
2203	Debt, Alternative Financing	1.476	1.391
2203	Debt, Notes/Bonds	22,406	21,045
2204	Liabilities for loan guarantees	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,
2206	Pension and post-retirement benefits	4,150	5.832
2207	Other	8,100	8,451
2999	Total liabilities	38,385	38,793
3300	Cumulative results of operations	10,282	11,625
4999	Total liabilities and net position	48,667	50,418

#### Object Classification (in millions of dollars)

Identi	ication code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	970	1,056	1,145
11.5	Other personnel compensation	200	214	238
11.9	Total personnel compensation	1,170	1,270	1,383
12.1	Civilian personnel benefits	742	567	569
21.0	Travel and transportation of persons	33	24	21
22.0	Transportation of things	11	5	5
23.2	Rental payments to others	82	65	61
24.0	Printing and reproduction	3		
25.1	Advisory and assistance services	27	16	16
25.2	Other services from non-Federal sources	225	213	212
25.7	Operation and maintenance of equipment	1,857	1,690	1,871
26.0	Supplies and materials	985	1,378	1,332
31.0	Equipment	479	424	649
32.0	Land and structures	31	30	29
33.0	Investments and loans	40,903	42,671	42,671
41.0	Grants, subsidies, and contributions	32	34	34
42.0	Insurance claims and indemnities	1	·····	·····
99.9	Total new obligations, unexpired accounts	46,581	48,387	48,853

#### Employment Summary

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	10,009	10,000	10,000

# TENNESSEE VALLEY AUTHORITY FUND—Continued TENNESSEE VALLEY AUTHORITY FUND (Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identi	fication code 455–4110–4–3–999	2019 actual	2020 est.	2021 est.
0803	Obligations by program activity: Other Cash Items			216
0900	Total new obligations, unexpired accounts (object class 43.0)			216

# Budgetary resources:

4180 Budget authority, net (total) .

4190 Outlays, net (total)

	Budget authority:		
	Spending authority from offsetting collections, mandatory:		
1800	Collected	 	216
1900	Budget authority (total)	 	216
1930	Total budgetary resources available	 	216
	Change in obligated balance: Unpaid obligations:		
3010	New obligations, unexpired accounts	 	216
3020	Outlays (gross)	 	-216
	Budget authority and outlays, net: Mandatory:		
4090	Budget authority, gross	 	216
	Outlays, gross:		
4100	Outlays from new mandatory authority	 	216

This proposal would authorize the Federal government to sell the transmission assets of the Tennessee Valley Authority, which operates and maintains over 16,000 circuit-miles of high voltage transmission lines and over 500 substations/switching stations.

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# **U.S. AGENCY FOR GLOBAL MEDIA**

#### Federal Funds

## INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the United States Agency for Global Media (USAGM), as authorized, to carry out international communication activities, and to make and supervise grants for radio, Internet, and television broadcasting to the Middle East, [\$798,696,000] \$632,732,000, of which \$31,637,000 shall remain available until September 30, 2022: Provided, That in addition to amounts otherwise available for such purposes, up to [\$40,708,000] \$32,782,000 of the amount appropriated under this heading may remain available until expended for satellite transmissions and Internet freedom programs, of which not less than [\$20,000,000] \$9,500,000 shall be for Internet freedom programs: Provided further, That of the total amount appropriated under this heading, not to exceed \$35,000 may be used for representation expenses, of which \$10,000 may be used for such expenses within the United States as authorized, and not to exceed \$30,000 may be used for representation expenses of Radio Free Europe/Radio Liberty: Provided further, That the USAGM shall notify the Committees on Appropriations within 15 days of any determination by the USAGM that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in subsections (a) and (b) of section 303 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6202) or the entity's journalistic code of ethics: Provided further, That in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from cooperating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, shall remain available until expended for carrying out authorized purposes: Provided further, That significant modifications to USAGM broadcast hours previously justified to Congress, including changes to transmission platforms (shortwave, medium wave, satellite, Internet, and television), for all USAGM language services shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That up to \$7,000,000 from the USAGM Buying Power Maintenance account may be transferred to, and merged with, funds appropriated by this Act under the heading "International Broadcasting Operations", which shall remain

available until expended: Provided further, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law and shall be subject to [prior consultation with, and] the regular notification procedures of[,] the Committees on Appropriations: Provided further, That the USAGM may transfer to, and merge with, funds under the heading "International Broadcasting Surge Capacity Fund", pursuant to section 316 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6216), for obligation or expenditure by the USAGM for surge capacity, any of the following: (1) unobligated balances of expired funds appropriated under the heading "International Broadcasting Operations" for fiscal year 2021, except for funds designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985 (2 U.S.C. 901(b)(2)(A)), at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for their stated purposes; and (2) funds made available for surge capacity under this heading: Provided further, That any reference to the "Broadcasting Board of Governors" or "BBG", including in any account providing amounts to the Broadcasting Board of Governors, in any Act making appropriations for the Department of State, foreign operations, and related programs enacted before, on, or after the date of the enactment of this Act shall for this fiscal year, and any fiscal year thereafter, be construed to mean the "United States Agency for Global Media" or "USAGM", respectively. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

#### Program and Financing (in millions of dollars)

Identif	fication code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Broadcasting Board of Governors	806	797	631
0100	Subtotal, direct obligations	806	797	631
0801	International Broadcasting Operations (Reimbursable)	2	2	2
0900	Total new obligations, unexpired accounts	808	799	633
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	15	7	10
1000	Budget authority:	15	/	10
	Appropriations, discretionary:			
1100	Appropriation	798	799	633
	Spending authority from offsetting collections, discretionary:			
1700 1701	Collected	2	3	3
1/01	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	3	3	3
1900	Budget authority (total)	801	802	636
1930	Total budgetary resources available	816	809	646
1940	Memorandum (non-add) entries: Unobligated balance expiring	-1		
1940	Unexpired unobligated balance, end of year	-1		
3000	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1	175	164	127
3010	New obligations, unexpired accounts	808	799	633
3011	Obligations ("upward adjustments"), expired accounts	2	2	2
3020	Outlays (gross)	-812	-838	-663
3041	Recoveries of prior year unpaid obligations, expired	9	<u> </u>	
3050	Unpaid obligations, end of year Uncollected payments:	164	127	99
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-4	-4
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	2		
3090	Uncollected pymts, Fed sources, end of year	-4	-4	-4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	170	160	123
3200	Obligated balance, end of year	160	123	95
	Budget authority and outlays, net:			
4000	Discretionary: Budget authority, gross	801	802	636
4000	Outlays, gross:	001	002	000
4010	Outlays from new discretionary authority	666	674	535
4011	Outlays from discretionary balances	146	164	128
4020	Outlays, gross (total) Offsets against gross budget authority and outlays:	812	838	663
	Offsetting collections (collected) from:			
4030	Federal sources	-4	-8	-7
	····			

4040 Offsets against gross budget authority and outlays (total) .... -4

-7

-8

4050 4052	Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired Offsetting collections credited to expired accounts	2	5	4
4060	Additional offsets against budget authority only (total)	1	5	4
4070	Budget authority, net (discretionary)	798	799	633
4080	Outlays, net (discretionary)	808	830	656
4180	Budget authority, net (total)	798	799	633
4190	Outlays, net (total)	808	830	656

This appropriation provides operational funding for: U.S. non-military; international media programs including the Voice of America; the Office of Cuba Broadcasting; the necessary engineering and technical needs for all U.S. international media; administrative support activities; and grants to Radio Free Europe/Radio Liberty, Radio Free Asia, Middle East Broadcasting Networks, and the Open Technology Fund.

**Object Classification** (in millions of dollars)

ldentif	ication code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	161	161	135
11.3	Other than full-time permanent	14	14	11
11.5	Other personnel compensation	12	12	
11.9	Total personnel compensation	187	187	155
12.1	Civilian personnel benefits	55	55	36
13.0	Benefits for former personnel	2	2	2
21.0	Travel and transportation of persons	7	7	6
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	30	31	25
23.2	Rental payments to others	2	3	2
23.3	Communications, utilities, and miscellaneous charges	50	50	45
25.1	Advisory and assistance services	5	5	3
25.2	Other services from non-Federal sources	140	140	99
25.4	Operation and maintenance of facilities	5	5	4
25.7	Operation and maintenance of equipment	2	2	2
26.0	Supplies and materials	13	13	5
31.0	Equipment	17	17	14
41.0	Grants, subsidies, and contributions	291	280	233
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	808	799	633
99.9	Total new obligations, unexpired accounts	808	799	633

## **Employment Summary**

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,646	1,609	1,492

#### BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, repair, preservation, and improvement of facilities for radio, television, and digital transmission and reception; the purchase, rent, and installation of necessary equipment for radio, television, and digital transmission and reception, including to Cuba, as authorized; and physical security worldwide, in addition to amounts otherwise available for such purposes, [\$11,700,000] \$4,520,000, to remain available until expended, as authorized[, of which not less than \$2,000,000 shall be made available for emergency repairs to USAGM transmitting stations]. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identificati	on code 514–0204–0–1–154	2019 actual	2020 est.	2021 est.
	igations by program activity: Jpgrade of existing relay station capabilities	5	12	5
0192 Tota	al direct obligations	5	12	5
	<b>dgetary resources:</b> Unobligated balance: Unobligated balance brought forward, Oct 1	14	19	19

U.S. Agency for Global Media-	-Continued	1337
Federal Funds	_Continued	1557

	Budget authority:			
	Appropriations, discretionary:			_
1100	Appropriation	10	12	5
1930	Total budgetary resources available	24	31	24
1941	Memorandum (non-add) entries:	19	19	19
1941	Unexpired unobligated balance, end of year	19	19	19
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	6	6
3010	New obligations, unexpired accounts	5	12	5
3020	Outlays (gross)	5		0
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	6	6	1
3100	Obligated balance, start of year	6	6	6
3200	Obligated balance, end of year	6	6	1
5200		0	0	
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	10	12	5
4010	Outlays from new discretionary authority	1	4	2
4011	Outlays from discretionary balances	4	8	8
4020	Outlays, gross (total)	5	12	10
4020	Budget authority, net (total)	10	12	10
4100	Outlays, net (total)	5	12	10
4100	outidjo, not (total)	J	12	10

This account provides funding for certain costs of capital projects for the agency, including large-scale capital projects, and the preservation, construction, purchase, and maintenance and improvement of the United States Agency for Global Media's worldwide technology infrastructure. This activity funds the upgrade and replacement of transmission facilities and equipment to improve transmission quality, and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

#### **Object Classification** (in millions of dollars)

Identi	ication code 514-0204-0-1-154	2019 actual	2020 est.	2021 est.
	Direct obligations:			
25.4	Operation and maintenance of facilities	4	10	4
31.0	Equipment	1	2	1
99.9	Total new obligations, unexpired accounts	5	12	5

#### BUYING POWER MAINTENANCE

#### Program and Financing (in millions of dollars)

ication code 514-1147-0-1-154	2019 actual	2020 est.	2021 est.
Budgetary resources: Unobligated balance:			
Unobligated balance brought forward, Oct 1	12	17	17
Unobligated balance transfers between expired and unexpired accounts	5		
Unobligated balance (total)	17	17	17
Total budgetary resources available Memorandum (non-add) entries:	17	17	17
Unexpired unobligated balance, end of year	17	17	17
Budget authority, net (total) Outlays, net (total)			
	Budgetary resources:         Unobligated balance:         Unobligated balance brought forward, Oct 1         Unobligated balance transfers between expired and unexpired accounts         Unobligated balance (total)         Total budgetary resources available         Memorandum (non-add) entries:         Unxpired unobligated balance, end of year         Budget authority, net (total)	Budgetary resources:       Unobligated balance:         Unobligated balance brought forward, Oct 1       12         Unobligated balance transfers between expired and unexpired accounts       5         Unobligated balance (total)       17         Total budgetary resources available       17         Memorandum (non-add) entries:       17         Budget authority, net (total)       17	Budgetary resources:       12         Unobligated balance:       12         Unobligated balance brought forward, Oct 1       12         Unobligated balance transfers between expired and unexpired accounts       5         Unobligated balance (total)       17         Unobligated balance (total)       17         Unobligated balance (total)       17         Unobligated balance, end of year       17         If       17         Memorandum (non-add) entries:       17         Unexpired unobligated balance, end of year       17         Budget authority, net (total)       17

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget.

#### BUYING POWER MAINTENANCE—Continued

As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

#### Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identif	ication code 514-8285-0-7-602	2019 actual 2020 est.		2021 est.
0001	Obligations by program activity: Direct program activity	1		
0900	Total new obligations, unexpired accounts (object class 42.0)	1		
	Budgetary resources:			
1000	Unobligated balance:	6	5	c
1930	Unobligated balance brought forward, Oct 1 Total budgetary resources available	6	5	Э Б
530	Memorandum (non-add) entries:	0	J	J
1941	Unexpired unobligated balance, end of year	5	5	5
	<b>Change in obligated balance:</b> Unpaid obligations:			
000	Unpaid obligations, brought forward, Oct 1		1	1
010	New obligations, unexpired accounts	1		
050	Unpaid obligations, end of year Memorandum (non-add) entries:	1	1	1
100	Obligated balance, start of year		1	1
200	Obligated balance, end of year		1	1
180 190	Budget authority, net (total) Outlays, net (total)			

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Agency for Global Media in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, and amended by Division G of P.L. 105–277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

# UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

## Federal Funds

### SALARIES AND EXPENSES

[For necessary expenses for the operation of] A total of \$38,900,000, of which \$35,613,491 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by sections 7251 through [7298] 7299 of title 38, United States Code[, \$35,400,000] (to include \$1,800,000 for costs associated with reconfiguring existing Court space): Provided, That [\$2,698,997] \$3,286,509 shall be [available for the purpose of providing financial assistance as described and] transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law 102–229. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identifi	ication code 345–0300–0–1–705	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Salaries and Expenses	33	35	39
	Budgetary resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	35	35	39
1930	Total budgetary resources available	35	35	39
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-2		

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2	1
3010	New obligations, unexpired accounts	33	35	39
3020	Outlays (gross)	-33	-36	-38
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	2	1	2
3100	Obligated balance, start of year	2	2	1
3200	Obligated balance, end of year	2	1	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	35	35	39
	Outlays, gross:			
4010	Outlays from new discretionary authority	31	32	35
4011	Outlays from discretionary balances	2	4	3
4020	Outlays, gross (total)	33	36	38
4180	Budget authority, net (total)	35	35	39
4190	Outlays, net (total)	33	36	38

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act (Public Law 100–687), Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251–7299. The Court is located in Washington, D.C., but as a national court may sit anywhere in the United States.

The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. Per Public Law 114–315, the Congress temporarily authorized expansion of the Court to nine active judges. Judges are appointed by the President, and with the advice and consent of the Senate, for 15-year terms. The Court is currently staffed with seven active judges, since two judges retired in December 2019. Upon retirement, a judge may choose to be recall eligible, and thus willing to be recalled to service by the chief judge. Currently eight of the Court's ten retired judges are recall eligible and are recalled to service on a rotational basis. Recall-eligible judges may elect full retirement at any time.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to Department of Veterans Affairs benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeals. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those decisions as appropriate. Additionally, the Court has class action authority, has jurisdiction under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and may act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act. Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if certiorari is granted, by the Supreme Court of the United States. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

In 1992, the Congress authorized the Court to transfer funds from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider LSC's budget request separately from the Court's budget request, although both are submitted together.

## **Object Classification** (in millions of dollars)

Identifi	cation code 345-0300-0-1-705	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.3	Personnel compensation: Other than full-time permanent	14	16	17
12.1	Civilian personnel benefits	8	8	10
23.1	Rental payments to GSA	4	4	2
25.2	Other services from non-Federal sources	2	2	5
25.3	Other goods and services from Federal sources	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	3	3	3
99.9	Total new obligations, unexpired accounts	33	35	39

#### **Employment Summary**

Identification code 345-0300-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	118	134	137

#### Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 345-8290-0-7-705	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:		1	2
1140	Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE	1	1	1
1140	Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund	4	3	4
1199	Total current law receipts	5	4	5
1999	Total receipts	5	4	5
2000	Total: Balances and receipts Appropriations: Current law:	5	5	7
2101	Court of Appeals for Veterans Claims Retirement Fund	-4	3	-4
5099	Balance, end of year	1	2	3

### Program and Financing (in millions of dollars)

Identi	ication code 345-8290-0-7-705	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Court of Appeals for Veterans Claims Retirement Fund	3	3	4
0900	Total new obligations, unexpired accounts (object class 42.0)	3	3	4
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	47	48	48
	Budget authority:			
1201	Appropriations, mandatory:	4	n	
	Appropriation (special or trust fund)	4 51	3 51	4 52
1930	Total budgetary resources available Memorandum (non-add) entries:	51	51	52
1941	Unexpired unobligated balance, end of year	48	48	48
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1	1
3010	New obligations, unexpired accounts	3	3	4
3020	Outlays (gross)	2	3	4
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	1	1	1
3100	Obligated balance, start of year		1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	4	3	4
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	3	4
4180	Budget authority, net (total)	4	3	4

		reuerarrun	15	
4190	Outlays, net (total)	2	3	4
5000 5001	Memorandum (non-add) entries: Total investments, SOY: Federal securities: Par value Total investments, EOY: Federal securities: Par value	46 45	45 49	49 53

**United States Holocaust Memorial Museum** 

The United States Court of Appeals for Veterans Claims Retirement Fund (Retirement Fund or Fund), established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1-percent of their salaries to cover creditable service for retired pay purposes and 2.2-percent of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to the Retirement Fund from the Court's annual appropriation. The Court's contribution to the Fund is estimated annually by an actuarial firm retained by the Court. The Fund is invested solely in government securities.

# UNITED STATES ENRICHMENT CORPORATION FUND

## Federal Funds

## UNITED STATES ENRICHMENT CORPORATION FUND

The unavailable collections currently in the United States Enrichment Corporation Fund shall be transferred to and merged with the Uranium Enrichment Decontamination and Decommissioning Fund and shall be available only to the extent provided in advance in appropriations Acts.

## Program and Financing (in millions of dollars)

Identif	entification code 486-4054-0-3-271 2		2020 est.	2021 est.
	Budgetary resources: Budget authority:			
1702	Spending authority from offsetting collections, discretionary: Offsetting collections (previously unavailable)			1,695
1710				-1,695
1800	Spending authority from offsetting collections, mandatory: Collected	25	25	
1824	Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-25	-25	
	Budget authority and outlays, net: Mandatory: Offsets against gross budget authority and outlays:			
4121	Offsetting collections (collected) from: Interest on Federal securities	-25 -25	-25 -25	
4180 4190	Budget authority, net (total) Outlays, net (total)	-25 -25	-25 -25	
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1,656	1,703	1,728
5001	Total investments, EOY: Federal securities: Par value	1,703	1,728	
5090	Unexpired unavailable balance, SOY: Offsetting collections	1,650	1,675	1,700
5092	Unexpired unavailable balance, EOY: Offsetting collections	1.675	1.700	5

# UNITED STATES HOLOCAUST MEMORIAL MUSEUM

# Federal Funds

## HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106–292 (36 U.S.C. 2301–2310), \$60,388,000, of which \$715,000 shall remain available until September 30, [2022]2023, for the Museum's equipment replacement program; and of which [\$2,000,000] \$3,000,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

# HOLOCAUST MEMORIAL MUSEUM—Continued **Program and Financing** (in millions of dollars)

Identif	ication code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Holocaust Memorial Museum	55	60	60
	Budgetary resources:			
1000	Unobligated balance: Unobligated balance brought forward, Oct 1	13	17	17
1000	Budget authority:	15	17	17
	Appropriations, discretionary:			
1100	Appropriation	59	60	60
1900	Budget authority (total)	59	60	6(
1930	Total budgetary resources available	72	77	7
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	17	17	17
3000	Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1	17	19	18
3010	New obligations, unexpired accounts	55	60	6
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-53	-61	-59
3041	Recoveries of prior year unpaid obligations, expired		·····	
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	19	18	19
3100	Obligated balance, start of year	17	19	18
3200	Obligated balance, end of year	19	18	19
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	59	60	60
4010	Outlays from new discretionary authority	41	46	4
4011	Outlays from discretionary balances	12	15	14
1020	Outlays, gross (total)	53	61	55
4180	Budget authority, net (total)	59	60	60
4190	Outlays, net (total)	53	61	59

The Museum is a living memorial to the victims of the Holocaust. As a public-private partnership, it teaches the history and lessons of the Holocaust—lessons about the fragility of societies, the nature of hate and the consequences of indifference.

**Object Classification** (in millions of dollars)

Identif	ication code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	15	16	16
12.1	Civilian personnel benefits	5	7	7
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	2
23.3	Communications, utilities, and miscellaneous charges	3	3	3
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	9	10	g
25.4	Operation and maintenance of facilities	16	17	17
26.0	Supplies and materials	1	1	1
31.0	Equipment	3	3	3
99.9	Total new obligations, unexpired accounts	55	60	60

70 169	) 167
17	170 169

# UNITED STATES INSTITUTE OF PEACE

## Federal Funds

## UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act (22 U.S.C. 4601 et seq.), [\$45,000,000]\$15,740,000, to remain available until September 30, [2021]2022,

(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

### Program and Financing (in millions of dollars)

Idontif	fication code 458-1300-0-1-153	2019 actual	2020 est.	2021 est.
	ICALINI COUR 430-1300-0-1-133	2019 doludi	2020 851.	2021 651.
	Obligations by program activity:			
0001	Operating Expenses (Direct)	39	45	16
0801	Operating Expenses (Reimbursable)	30	27	35
0900	Total new obligations, unexpired accounts	69	72	51
	Budgetary resources:			
1000	Unobligated balance:		50	27
1000 1021	Unobligated balance brought forward, Oct 1 Recoveries of prior year unpaid obligations	1 4	53 1	37 1
1050	Unobligated balance (total)	5		38
1050	Budget authority:	5	54	50
	Appropriations, discretionary:			
1100	Appropriation	39	45	16
1700	Spending authority from offsetting collections, discretionary:	10	10	
1700 1701	Collected Change in uncollected payments, Federal sources	19 59	10	1
1701	Ghange in unconected payments, rederal sources			
1750	Spending auth from offsetting collections, disc (total)	78	10	1
1900	Budget authority (total)	117	55	17
1930	Total budgetary resources available	122	109	55
1941	Memorandum (non-add) entries: Unexpired unobligated balance, end of year	53	37	4
	Unexpired unobligated balance, end of year	55	57	
	Change in obligated balance: Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	25	28	39
3010	New obligations, unexpired accounts	69	72	51
3011	Obligations ("upward adjustments"), expired accounts	4		
3020	Outlays (gross)	-64	-60	-34
3040	Recoveries of prior year unpaid obligations, unexpired	-4	-1	-1
3041	Recoveries of prior year unpaid obligations, expired	2	·····	
3050	Unpaid obligations, end of year Uncollected payments:	28	39	55
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-45	-63	-63
3070	Change in uncollected pymts, Fed sources, unexpired	-59		
3071	Change in uncollected pymts, Fed sources, expired	41		
3090	Uncollected pymts, Fed sources, end of year	-63	-63	-63
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-20	-35	-24
3200	Obligated balance, end of year	-35	-24	-8
	Budget authority and outlays, net:			
4000	Discretionary: Budget authority, gross	117	55	17
4000	Outlays, gross:	11/	55	17
4010	Outlays from new discretionary authority	45	35	14
4011	Outlays from discretionary balances	19	25	20
4020	Outlays, gross (total)	64	60	34
4020	Offsets against gross budget authority and outlays:	04	00	54
4030	Offsetting collections (collected) from: Federal sources	-28	-10	
4033	Non-Federal sources			-1
4040	Offsets against gross budget authority and outlays (total) Additional offsets against gross budget authority only:	-28	-10	
4050	Change in uncollected pymts, Fed sources, unexpired	-59		
4052	Offsetting collections credited to expired accounts	9		
4060	Additional offsets against budget authority only (total)		<u> </u>	
4070	Budget authority, net (discretionary)	39	45	16
4080	Outlays, net (discretionary)	36	50	33
4180 4190	Budget authority, net (total) Outlays, net (total)	39	45	16
		36	50	33

Vietnam Education Foundation Federal Funds 1341

Created by Congress in 1984, the United States Institute of Peace (USIP) is an independent, nonpartisan institution charged with increasing the nation's capacity to prevent, mitigate, and help resolve international conflict without violence. The Budget proposes to reduce Federal funding for USIP, given its status as an independent nonprofit organization outside the Federal Government, and provides \$16 million to support USIP's core operations and maintenance funding in FY 2021. The Budget assumes that USIP would need to compete for more funding through interagency agreements with other Federal agencies and private donations, rather than rely on its direct appropriation as its primary funding source.

## Object Classification (in millions of dollars)

ldentif	ication code 458–1300–0–1–153	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.8	Personnel compensation: Special personal services			
	payments	12	12	9
12.1	Civilian personnel benefits	3	5	3
21.0	Travel and transportation of persons	2	3	1
25.2	Other services from non-Federal sources	19	19	1
41.0	Grants, subsidies, and contributions	3	3	2
99.0	Direct obligations	39	42	16
99.0	Reimbursable obligations	30	30	35
99.9	Total new obligations, unexpired accounts	69	72	51

# UNITED STATES INTERAGENCY COUNCIL ON HOMELESSNESS

## Federal Funds

# **OPERATING EXPENSES**

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, \$3,800,000[, to remain available until September 30, 2021]. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (ir	n millions of dollars)
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Identif	ication code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
0101	Obligations by program activity: Operations	4	4	4
0900	Total new obligations, unexpired accounts	4	4	4
	Budgetary resources: Budget authority: Appropriations, discretionary:			
1100	Appropriations, discretionary: Appropriation	4	4	4
1930	Total budgetary resources available	4	4	4
3010 3020	Change in obligated balance: Unpaid obligations: New obligations, unexpired accounts Outlays (gross)	4 _4	4 _4	4 4
	Budget authority and outlays, net: Discretionary:			
4000	Budget authority, gross Outlays, gross:	4	4	4
4010	Outlays from new discretionary authority	3	4	4
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	4	4	4
4180	Budget authority, net (total)	4	4	4
4190	Outlays, net (total)	4	4	4

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at every level of government and with the private sector to prevent and end homelessness. The Budget proposes \$3.8 million for USICH.

# Object Classification (in millions of dollars)

Identifi	cation code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5	Adjustment for rounding	2	2	2
99.9	Total new obligations, unexpired accounts	4	4	4

### **Employment Summary**

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	18	18	18

# VIETNAM EDUCATION FOUNDATION

## Federal Funds

VIETNAM DEBT REPAYMENT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 519-5365-0-2-154	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:	1	5	5
1140	Current law: Transfers from Liquidating Accounts, Vietnam Debt Repayment			
	Fund	4		
2000	Total: Balances and receipts	5	5	5
5099	Balance, end of year	5	5	5

#### Program and Financing (in millions of dollars)

Identif	ication code 519–5365–0–2–154	2019 actual	2020 est.	2021 est.
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	10	10	10
1930	Total budgetary resources available Memorandum (non-add) entries:	10	10	10
1941	Unexpired unobligated balance, end of year	10	10	10
	Budget authority, net (total) Outlays, net (total)			

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106-554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs. Beginning in 2015, and in each subsequent year through 2018, the remaining amounts deposited into the fund from USDA and USAID shall be available to support the establishment of an independent, not-for-profit academic institution in the Socialist Republic of Vietnam. In accordance with the legislation governing VEF's operations, VEF is due to sunset in 2018. This schedule reflects the spend-out of prior year funds.

# FEDERALLY CREATED NON-FEDERAL ENTITIES

The majority of budgetary accounts are associated with departments or other entities that are clearly Federal agencies. In other cases, budgetary accounts reflect a measure of Governmental activity in the economy, though the activity may have no direct relationship with the United States Treasury. Federally created non-Federal entities may be in the Budget because they were created by Federal law, they have some measure of regulatory or other authority conferred to them by law, or because they serve a public good directed by the Government. The following accounts are each deemed to be budgetary and fulfill the goal of presenting a Budget that is comprehensive of the full range of Federal activities.

# AFFORDABLE HOUSING PROGRAM

## Federal Funds

AFFORDABLE HOUSING PROGRAM

### Special and Trust Fund Receipts (in millions of dollars)

ldentif	ication code 530-5528-0-2-604	2019 actual	2020 est.	2021 est.
0100	Balance, start of year			21
	Receipts:			
1110	Current law:			
1110	Contributions, Federal Home Loan Banks, Affordable Housing	25.0	250	250
	Program	352	352	352
2000	Total: Balances and receipts	352	352	373
	Appropriations:			
	Current law:			
2101	Affordable Housing Program	-352	-352	-352
2132	Affordable Housing Program		21	
2199	Total current law appropriations	-352	-331	-352
2999	Total appropriations	352	331	352
5099	Balance, end of year		21	21

Identif	ication code 530-5528-0-2-604	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Affordable Housing Program (Direct)	352	331	352
0900	Total new obligations, unexpired accounts (object class 41.0)	352	331	352
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201 1232	Appropriation (special or trust fund) Appropriations and/or unobligated balance of	352	352	352
1252	appropriations temporarily reduced		-21	
1260	Appropriations, mandatory (total)	352	331	352
1930	Total budgetary resources available	352	331	352
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	352	331	352
3020	Outlays (gross)	-352	-331	-352
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	352	331	352
4100	Outlays from new mandatory authority	352	331	352
4180	Budget authority, net (total)	352	331	352
4190	Outlays, net (total)	352	331	352

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

## **CORPORATION FOR TRAVEL PROMOTION**

### Federal Funds

TRAVEL PROMOTION FUND

## Special and Trust Fund Receipts (in millions of dollars)

Identification code 580-5585-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year Receipts:	412	470	640
Current law:	150	004	000
1110 Fees, Travel Promotion Fund	152	264	269
2000 Total: Balances and receipts Appropriations: Current law:		734	909
2101 Travel Promotion Fund		-100	-100
2132 Travel Promotion Fund	6	6	
2199 Total current law appropriations Proposed:		-94	-100
2201 Travel Promotion Fund	·····	·····	100
2999 Total appropriations		94	
5099 Balance, end of year		640	909

## Program and Financing (in millions of dollars)

Identi	ication code 580–5585–0–2–376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Travel Promotion Fund	94	94	100
	Total new obligations, unexpired accounts (object class 41.0)	94	94	100
	Budgetary resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	100	100	100
1232	Appropriations and/or unobligated balance of	0	0	
	appropriations temporarily reduced	-6	-6	
1260	Appropriations, mandatory (total)	94	94	100
1930	Total budgetary resources available	94	94	100
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1	
3010	New obligations, unexpired accounts	94	94	100
3020	Outlays (gross)	93	95	00
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	1		
3100	Obligated balance, start of year		1	
3200	Obligated balance, start of year Obligated balance, end of year		-	
5200	Obligated balance, end of year	1		
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross	94	94	100
	Outlays, gross:			100
4100	Outlays from new mandatory authority	93	94	100
4101	Outlays from mandatory balances		1	<u> </u>
4110	Outlays, gross (total)	93	95	100
4180	Budget authority, net (total)	94	94	100
4190	Outlays, net (total)	93	95	100

### Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	94	94	100
Outlays	93	95	100
Legislative proposal, subject to PAYGO:			
Budget Authority			-100
Outlays			-100
Total:			
Budget Authority	94	94	

OTHER INDEPENDENT AGENCIES

Outlavs

 93	95	

5099

Balance, end of year

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private partnership, funded through a combination of private sector contributions and Federal matching funds, works closely with the travel industry to encourage increased travel and tourism in the United States.

A surcharge to the Electronic System for Traveler Authorization (ESTA) fee that travelers from visa waiver countries pay before arriving in the United States provides Brand USA's Federal matching funds. Authorization to collect the surcharge under the Travel Promotion Act was set to expire September 30, 2020, but was extended to September 30, 2027, in the Brand USA Extension Act (part of the Further Consolidated Appropriations Act, 2020).

## TRAVEL PROMOTION FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identif	ication code 580–5585–4–2–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Travel Promotion Fund			0
0900	Total new obligations, unexpired accounts (object class $41.0)\ \ldots \ldots$			-100
	Budgetary resources:			
	Budget authority:			
1001	Appropriations, mandatory:			100
1201 1930	Appropriation (special or trust fund)			-100 -100
1930	Total budgetary resources available			-100
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts			-100
3020	Outlays (gross)			100
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross Outlays, gross:			-100
4100	Outlays from new mandatory authority			-100
4180	Budget authority, net (total)			-100
4190	Outlays, net (total)			-100

The Budget proposes to eliminate funding for the Corporation for Travel Promotion (also known as Brand USA) as part of the Administration's plans to move the Nation toward fiscal responsibility and to redefine the proper role of the Federal Government. The Budget permanently extends and redirects the Electronic System for Travel Authorization (ESTA) surcharge currently deposited in the Travel Promotion Fund to the U.S. Treasury to reduce the deficit.

# ELECTRIC RELIABILITY ORGANIZATION

Federal Funds

ELECTRIC RELIABILITY ORGANIZATION

## Special and Trust Fund Receipts (in millions of dollars)

ldentif	ication code 531-5522-0-2-276	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	7	7	7
1110	Fees, Electric Reliability Organization	100	100	100
2000	Total: Balances and receipts Appropriations: Current law:	107	107	107
2101	Electric Reliability Organization	-100	-100	-100

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## Program and Financing (in millions of dollars)

Identif	ication code 531–5522–0–2–276	2019 actual	2020 est.	2021 est.
		2010 00000	2020 000	2021 000
0001	Obligations by program activity:	100	100	100
0001	Electric Reliability Organization (Direct)	100	100	100
0900	Total new obligations, unexpired accounts (object class 25.2)	100	100	100
	Budgetary resources:			
	Budget authority: Appropriations, mandatory:			
1201	Appropriations, manuatory: Appropriation (special or trust fund)	100	100	100
1930	Total budgetary resources available	100	100	100
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	100	100	100
3020	Outlays (gross)	-100	-100	-100
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:	100	100	100
4100	Outlays from new mandatory authority	100	100	100
4180	Budget authority, net (total)	100	100	100
4190	Outlays, net (total)	100	100	100

The Energy Policy Act of 2005 (P.L. 109–58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

# FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

## Federal Funds

PROGRAM EXPENSES

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:			
1130	Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board	361	386	434
2000	Total: Balances and receipts Appropriations: Current law:	361	386	434
2101	Program Expenses		386	434
5099	Balance, end of year			

#### Program and Financing (in millions of dollars)

Identi	fication code 026–5290–0–2–602	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Administrative expenses	350	442	434
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	45	56	
	Budget authority: Appropriations, mandatory:			
1201	Appropriations, manuacory. Appropriation (special or trust fund)	361	386	434

# Program and Financing—Continued

ldentif	ication code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
1930	Total budgetary resources available Memorandum (non-add) entries:	406	442	434
1941	Unexpired unobligated balance, end of year	56		
	Change in obligated balance:			
3000	Unpaid obligations: Unpaid obligations, brought forward, Oct 1			56
3010	New obligations, unexpired accounts	350	442	434
3020	Outlays (gross)	-350	-386	-434
0020	outuyo (gross)			
3050	Unpaid obligations, end of year		56	56
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year			56
3200	Obligated balance, end of year		56	56
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	361	386	434
4100	Outlays from new mandatory authority	324	386	434
4101	Outlays from mandatory balances	26	·····	
4110	Outlays, gross (total)	350	386	434
4180	Budget authority, net (total)	361	386	434
	Outlays, net (total)	350	386	434

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are funded first from forfeitures and loan fees and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal Budget. Information on the financial status and activities of the Fund follows this account.

## Object Classification (in millions of dollars)

ent ous charges	35 11 1 10	40 14 1 11	4
	11 1 10	14 1	1
	1 10	1	-
	10	1 11	1
	10	11	1
ous charges	10		1
	16	18	1
	1	2	
	17	26	1
	239	310	30
rces	1	3	
	19	17	2
ts	350	442	43
	rces	17 239 1 19 19 350	17         26           239         310           rces         1         3           19         17           ts         350         442

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	281	322	322

#### INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. Civilian employees covered by the Federal Employees Retirement System (or equivalent retirement systems) receive an automatic agency 1 percent contribution and matching contributions in accordance with the formulas prescribed by law. Beginning in January 2018, all new members of the uniformed services, and those members of the uniformed services with less than 12 years of service who have made an affirmative election, receive an automatic agency one percent contribution and matching contributions in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

### STATUS OF THRIFT SAVINGS FUND

#### (in millions of dollars)

Thrift Savings Fund investment balance, start of year	2019 actual 603,958	2020est. 601,030	2021 est. 624,051
Receipts during the year:			
Employee contributions	22,852	23,538	24,244
Contributions on behalf of employees <sup>1</sup>	10,078	10,380	10,692
Earnings and adjustments <sup>2</sup>	-12,020	13,656	14,066
Total receipts	20,910	47,574	49,002
Outlays during the year:			
Withdrawals	23,061	23,753	24,465
Loans to employees, net of repayments	477	491	506
Administrative expenses	300	309	318
Total cash outlays	23,838	24,553	25,289
Thrift Savings Fund investment balance, end of $\ensuremath{year}^3$	601,030	624,051	647,764
Notes:	2019 actual	2020 est.	2021 est.
<sup>1</sup> 2018 Employer contributions included:			
Automatic contributions for FERS employees:	2,279	2,347	2,418
Matching contributions for FERS employees:	7,799	8,033	8,274
	10,078	10,380	10,692
<sup>2</sup> 2019 Earnings included:			
Return on investment in Government Securities	6,355	6,546	6,742
Return on investment in non-government instruments	-18,600	6,878	7,084
Interest on loans to employees	216	223	230
Agency payments for lost earnings	9	9	10
<sup>3</sup> Investment Balances at 9/30/2019 were:			
U.S. Government Securities Investment Fund	243,357		
TSP F Fund - U.S. Debt Index Fund	32,977		
TSP C Fund - Equity Index Fund	207,368		
TSP S Fund - Extended Equity Index Fund	67,821		

# MEDICAL CENTER RESEARCH ORGANIZATIONS

49 507

TSP I Fund - EAFE Equity Index Fund .....

#### Federal Funds

MEDICAL CENTER RESEARCH ORGANIZATIONS

#### Program and Financing (in millions of dollars)

Identif	ication code 185–4026–0–3–703	2019 actual	2020 est.	2021 est.
0801	Obligations by program activity: Operating expenses	238	246	253
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		4	4
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	242	246	253
1930	Total budgetary resources available	242	250	257
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	4	4
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		238	12

## OTHER INDEPENDENT AGENCIES

3010 3020	New obligations, unexpired accounts Outlays (gross)	238	246 _472	253 —253
3050	Unpaid obligations, end of year Memorandum (non-add) entries:	238	12	12
3100	Obligated balance, start of year		238	12
3200	Obligated balance, end of year	238	12	12
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	242	246	253
4100	Outlays from new mandatory authority		246	253
4101	Outlays from mandatory balances		226	
4110	Outlays, gross (total) Offsets against gross budget authority and outlays: Offsetting collections (collected) from:		472	253
4120	Federal sources	-242	-246	-253
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-242	226	

Budget authority and outlays, net: Mandatory: 4090 Budget authority, gross 2 2 Outlays, gross: 4100 Outlays from new mandatory authority ..... 2 2 4180 Budget authority, net (total) ..... 2 2 4190 Outlays, net (total) 2 2

3020

Outlays (gross)

## Object Classification (in millions of dollars)

Identi	fication code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent		1	1
25.1	Advisory and assistance services		1	1
99.9	Total new obligations, unexpired accounts		2	2

## **Employment Summary**

Identification code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		7	7

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and non-Federal sources. No appropriation is required to support these activities.

## Object Classification (in millions of dollars)

Identif	ication code 185-4026-0-3-703	2019 actual	2020 est.	2021 est.
	Reimbursable obligations:			
21.0	Travel and transportation of persons	10	10	10
25.2	Other services from non-Federal sources	202	210	217
26.0	Supplies and materials	18	18	18
31.0	Equipment	8	8	8
99.9	Total new obligations, unexpired accounts	238	246	253

# NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

### Federal Funds

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

#### Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 543-5743-0-2-376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:			
1110	Membership Fees, NARAB	<u> </u>	2	2
2000	Total: Balances and receipts Appropriations: Current law:		2	2
2101	National Association of Registered Agents and Brokers	·····	2	2
5099	Balance, end of year			

### Program and Financing (in millions of dollars)

Identi	ication code 543–5743–0–2–376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Administrative support		1	
0002	Advisory and assistant services	·····	1	
0900	Total new obligations, unexpired accounts		2	
	Budgetary resources: Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		2	
1930	Total budgetary resources available		2	
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts		2	

# NATIONAL OILHEAT RESEARCH ALLIANCE

#### Federal Funds

NATIONAL OILHEAT RESEARCH ALLIANCE

## Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 544-5643-0-2-276	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:			2
1110	Fees, National Oilheat Research Alliance	7	9	9
2000	Total: Balances and receipts Appropriations: Current law:	7	9	11
2101	National Oilheat Research Alliance	7	7	7
5099	Balance, end of year		2	4

## Program and Financing (in millions of dollars)

Identif	ication code 544-5643-0-2-276	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Direct program activity	7	7	7
0900	Total new obligations, unexpired accounts (object class 25.2)	7	7	7
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	7	7	7
1930	Total budgetary resources available	7	7	7
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	7	7	7
3020	Outlays (gross)	-7	-7	-7
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:	7	7	7
4100	Outlays from new mandatory authority	7	7	7
4180	Budget authority, net (total)	7	7	7
4190	Outlays, net (total)	7	7	7

The National Oilheat Research Alliance (NORA) was first authorized by The National Oilheat Research Alliance Act of 2000, as amended in 2014 (P.L. 113–79), and reauthorized by the Agriculture Improvement Act of 2018 (P.L. 115–334) to develop programs and projects and enter

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## NATIONAL OILHEAT RESEARCH ALLIANCE-Continued

into contracts or other agreements to enhance consumer and employee safety and training; to provide for research, development, and demonstration of clean and efficient oilheat fuel utilization equipment; and to educate consumers. NORA is funded via statutorily-mandated fees of \$0.002 on every gallon of heating oil sold, collected at the wholesale level. Since NORA does not report budget data to Treasury, NORA funding is based on estimates.

# PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

## Federal Funds

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

## Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 526-5376-0-2-376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts:	25	22	21
	Current law:			
1110	Accounting Support Fees, Public Company Accounting			
	Oversight Board	263	270	280
1120	Civil Monetary Penalties, Public Company Accounting Oversight			
	Board		3	3
1130	Interest on Investments	4	3	3
1199	Total current law receipts	267	276	286
1999	Total receipts	267	276	286
2000	Total: Balances and receipts	292	298	307
	Appropriations: Current law:			
2101	Public Company Accounting Oversight Board	_2	-3	-3
2101	Public Company Accounting Oversight Board	-268	-274	-267
2103	Public Company Accounting Oversight Board	-17	-17	-17
2132	Public Company Accounting Oversight Board	17	17	
2199	Total current law appropriations	-270	-277	-287
2999	Total appropriations	-270	277	-287
5099	Balance, end of year	22	21	20

#### Program and Financing (in millions of dollars)

ldentif	ication code 526-5376-0-2-376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Accounting Oversight	266	286	284
0002	Accounting Scholarship Program	2	3	
0900	Total new obligations, unexpired accounts (object class $25.1) \hdots$	268	289	284
	Budgetary resources: Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	136	138	135
1001	Discretionary unobligated balance brought fwd, Oct 1	4	4	
1020	Adjustment of unobligated bal brought forward, Oct 1 (Error			
	in PY Gross Outlays)		9	
1050	Unobligated balance (total)	136	147	135
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust) (Civil Money Penalties)	2	3	3
1140	Capital transfer of appropriations to general fund (Civil			
	Money Penalties)	<u> </u>	<u> </u>	
1160	Appropriation, discretionary (total)	2	3	-5
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	268	274	267
1203	Appropriation (previously unavailable)(special or trust)	17	17	17
1232	Appropriations and/or unobligated balance of			
	appropriations temporarily reduced			·····
1260	Appropriations, mandatory (total)	268	274	284
1900	Budget authority (total)	270	277	279
1930	Total budgetary resources available	406	424	414
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	138	135	130

#### THE BUDGET FOR FISCAL YEAR 2021

Change in obligated balance:

	Unpaid obligations:			
3010	New obligations, unexpired accounts	268	289	284
3020	Outlays (gross)	-268	-289	-284
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	2	3	-5
	Outlays, gross:			
4010	Outlays from new discretionary authority	2	2	
4011	Outlays from discretionary balances	·····		1
4020	Outlays, gross (total)	2	2	1
	Mandatory:			
4090	Budget authority, gross	268	274	284
	Outlays, gross:			
4100	Outlays from new mandatory authority	266	274	283
4101	Outlays from mandatory balances	·····	13	·····
4110	Outlays, gross (total)	266	287	283
4180	Budget authority, net (total)	270	277	279
4190	Outlays, net (total)	268	289	284
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	117	102	99
5001	Total investments, EOY: Federal securities: Par value	102	99	99

Note: Because the Public Company Accounting Oversight Board (PCAOB) does not report budgetary data to Treasury, amounts shown above were derived from the PCAOB's financial data, which is based on a calendar year.

The Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107–204), as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), established the PCAOB to oversee the audits and auditors of both public companies that are subject to Federal securities laws and brokerdealers registered with the Securities and Exchange Commission (SEC) in order to protect the interests of investors and further the public interest in the preparation of informative, accurate, and independent audit reports.

Funding for the PCAOB comes from registration and annual fees paid by public accounting firms and accounting support fees paid by public companies and SEC-registered broker-dealers. The Act designated the Commission to oversee the PCAOB and specifies that the PCAOB's budget and the accounting support fee be subject to approval by the Commission.

Under the Act, monetary penalties collected by the PCAOB shall be used to fund a merit scholarship program, subject to availability in an appropriations Act. The 2021 Budget proposes a general provision in Title VI to transfer unobligated balances previously made available for this program to the general fund of the Treasury.

The Budget proposes to consolidate the authorities and responsibilities of the PCAOB into the SEC beginning in 2022. Consolidating these functions within the SEC will reduce regulatory ambiguity and duplicative statutory authorities. The SEC is also subject to discretionary appropriations, which ensures oversight and constraint over fees assessed on the industry.

## SECURITIES INVESTOR PROTECTION CORPORATION

# Federal Funds

SECURITIES INVESTOR PROTECTION CORPORATION

### Special and Trust Fund Receipts (in millions of dollars)

Identi	fication code 576-5600-0-2-376	2019 actual	2020 est.	2021 est.
0100	Balance, start of year Receipts: Current law:	3,175	3,398	3,573
1110 1130	Assessments, SIPC Earnings on Investments, SIPC	301 73	300 68	164 73
1199	Total current law receipts	374	368	237
1999	Total receipts	374	368	237
2000	Total: Balances and receipts Appropriations: Current law:	3,549	3,766	3,810
2101 2103	Securities Investor Protection Corporation Securities Investor Protection Corporation	-148 -15	-191 -12	-185 -10

#### OTHER INDEPENDENT AGENCIES

2132	Securities Investor Protection Corporation	12	10	
2199	Total current law appropriations	-151	-193	-195
2999	Total appropriations	-151	-193	-195
5099	Balance, end of year	3,398	3,573	3,615

#### Program and Financing (in millions of dollars)

Identif	ication code 576-5600-0-2-376	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Program Management	17	19	19
0002	Customer Claims	134	174	176
0900	Total new obligations, unexpired accounts (object class 25.1) $\ldots \ldots$	151	193	195
	Budgetary resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	148	191	185
1203	Appropriation (previously unavailable)(special or trust)	15	12	10
1232	Appropriations and/or unobligated balance of			
	appropriations temporarily reduced	-12	-10	
1260	Appropriations, mandatory (total)	151	193	195
1930	Total budgetary resources available	151	193	195
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	151	193	195
3020	Outlays (gross)	-151	-193	-195
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	151	193	195
	Outlays, gross:			
4100	Outlays from new mandatory authority	151	193	195
4180	Budget authority, net (total)	151	193	195
4190	Outlays, net (total)	151	193	195
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	3,150	3,380	3,574
5001	Total investments, EOY: Federal securities: Par value	3,380	3,574	3,619

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, amounts shown above were derived from SIPC's financial data, which is based on a calendar year. Starting with the 2020 Budget, earnings on investments are presented for all three years using an unamortized cost rather than the market value, to comply with OMB Circular A-11 requirements.

SIPC was created by the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to protect customers against loss resulting from broker-dealer failure and, thereby, promote investor confidence in the Nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC's funding is derived entirely from assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of brokerage firms in SIPA liquidation or for other purposes under the Act. SIPC has not accessed these loans to date and the Budget does not project that SIPC will require use of these loans over the next 10 years.

# STANDARD SETTING BODY

#### Federal Funds

PAYMENT TO STANDARD SETTING BODY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 527-5377-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	2

ots:			
rent law:			
Accounting Support Fees, Standard Setting Body	29	30	31
al: Balances and receiptspriations:	31	32	33
rent law:			
Payment to Standard Setting Body	-29	-30	-29
Payment to Standard Setting Body	-2	-2	-2
Payment to Standard Setting Body	2	2	

2101	Payment to Standard Setting Body	-29	-30	-29
2103	Payment to Standard Setting Body	-2	-2	-2
2132	Payment to Standard Setting Body	2	2	
2199	Total current law appropriations	-29	-30	-31
2999	Total appropriations	-29		31
5099	Balance, end of year	2	2	2

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#### Program and Financing (in millions of dollars)

Identif	ication code 527-5377-0-2-376	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Advisory and assistance services	29	30	31
0900	Total new obligations, unexpired accounts (object class $25.1) \hdots \hdots$	29	30	31
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	29	30	29
1203 1232	Appropriation (previously unavailable)(special or trust) Appropriations and/or unobligated balance of	2	2	2
	appropriations temporarily reduced	2	2	
1260	Appropriations, mandatory (total)	29	30	31
1930	Total budgetary resources available	29	30	31
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	29	30	31
3020	Outlays (gross)	-29	-30	-31
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:	29	30	31
4100	Outlays from new mandatory authority	29	30	31
4180	Budget authority, net (total)	29	30	31
4190	Outlays, net (total)	29	30	31

Note: Because the standard setting body does not provide budgetary data to Treasury, amounts shown above were derived from the standard setting body's financial data, which is based on a calendar year.

The Financial Accounting Standards Board (FASB) is an independent, private-sector organization organized in 1973 within the Financial Accounting Foundation (FAF), which is an independent, private-sector, not-forprofit corporation. The FASB consists of a seven-member board, whose members are appointed by the FAF. The FASB was originally designated by the Securities and Exchange Commission (Commission) as the authoritative standard setter for purposes of the Federal securities laws in 1973. In April 2003, the Commission reaffirmed the status of the FASB as a designated private-sector standard setting body pursuant to the Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107–204), stating that the FASB's financial accounting and reporting standards are recognized as "generally accepted" for purposes of the Federal securities laws.

The Act authorizes funding for the standard setting body to be derived from an accounting support fee assessed on public companies, although the FAF has, on a voluntary basis, partially offset the fees that could be assessed pursuant to the Act by payments derived from publication sales and licensing fees. Prior to the Act, the FASB was funded by voluntary contributions from public companies, public accounting firms, and other stakeholders. The standard setting body's accounting support fee is subject to review by the Commission.

# UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

# Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

# Special and Trust Fund Receipts (in millions of dollars)

Identif	ication code 476-8295-0-7-551	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	52	51	50
	Receipts:			
	Current law:			
1110	Premiums, Combined Fund and 1992 Plan, UMWA	17	11	10
1140	Transfers from Abandoned Mine Reclamation Fund	54	37	31
1140	Federal Payment to United Mine Workers of America		1,601	329
1140	Federal Payment to United Mine Workers of America	225	325	376
1199	Total current law receipts	296	1,974	746
1999	Total receipts	296	1,974	746
2000	Total: Balances and receipts Appropriations: Current law:	348	2,025	796
2101	United Mine Workers of America 1992 Benefit Plan	-45	-50	-62
2101	United Mine Workers of America Combined Benefit Fund	-59	-62	-59
2101	United Mine Workers of America 1993 Benefit Plan	-193	-262	-296
2101	United Mine Workers of America Pension Funds		-1,601	-329
2199	Total current law appropriations	-297	-1,975	-746
2999	Total appropriations	-297	-1,975	-746
5099	Balance, end of year	51	50	50

#### Program and Financing (in millions of dollars)

Identif	ication code 476-8295-0-7-551	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity:	59	62	50
0001	United Mine Workers of America Combined Benefit Fund		62	59
0900	Total new obligations, unexpired accounts (object class 42.0)	59	62	59
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	59	62	59
1930	Total budgetary resources available	59	62	59
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	59	62	59
3020	Outlays (gross)	-59	-62	-59
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlays, gross:	59	62	59
4100	Outlays from new mandatory authority	59	62	59
4180	Budget authority, net (total)	59	62	59
4190	Outlavs. net (total)	59	62	59

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from the United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

#### UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identif	ication code 476-8260-0-7-551	2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: United Mine Workers of America 1992 Benefit Plan	45	50	62
0900	$\label{eq:constraint} Total \ new \ obligations, \ unexpired \ accounts \ (object \ class \ 42.0) \ \ldots \ldots .$	45	50	62
	Budgetary resources: Budget authority: Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	45	50	62
1930	Total budgetary resources available	45	50	62
	Change in obligated balance: Unpaid obligations:			
3010	New obligations, unexpired accounts	45	50	62
3020	Outlays (gross)	-45	-50	-62
	Budget authority and outlays, net: Mandatory:			
4090	Budget authority, gross Outlavs, gross:	45	50	62
4100	Outlays from new mandatory authority	45	50	62
4180	Budget authority, net (total)	45	50	62
4190	Outlays, net (total)	45	50	62

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

#### UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

#### Program and Financing (in millions of dollars)

Identif	ication code 476-8535-0-7-551	2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	United Mine Workers of America 1993 Benefit Plan	193	262	296
0900	$\label{eq:constraint} \ensuremath{Total}\xspace \ensuremath{new}\xspace \ensuremath{object}\xspace \ensuremath{class}\xspace \ensuremath{42.0}\xspace \ensuremath{.0}\xspace \ensuremath{class}\xspace \ensuremath{class}\xspa$	193	262	296
	Budgetary resources:			
	Budget authority:			
1001	Appropriations, mandatory:	100	262	296
1201 1930	Appropriation (special or trust fund) Total budgetary resources available	193 193	262	296
1930		195	202	230
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	193	262	296
3020	Outlays (gross)	-193	-262	-296
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross Outlays, gross:	193	262	296
4100	Outlays from new mandatory authority	193	262	296
4180	Budget authority, net (total)	193	262	296
4190	Outlays, net (total)	193	262	296

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA PENSION FUNDS

#### Program and Financing (in millions of dollars)

Identification code 476-8553-0-7-601		2019 actual	2020 est.	2021 est.
0001	Obligations by program activity: Direct program activity		1,601	329
0900	Total new obligations, unexpired accounts (object class 42.0)		1,601	329
	Budgetary resources: Budget authority:			
1201	Appropriations, mandatory: Appropriation (special or trust fund)		1,601	329

	Budget authority and outlays, net: Mandatory:		
4090	Budget authority, gross	1,601	329
	Outlays, gross:		
4100	Outlays from new mandatory authority	1,601	329
4180	Budget authority, net (total)	1,601	329
4190	Outlays, net (total)	1,601	329

3010

3020

The 1974 United Mine Workers of America Pension Plan provides pensions to eligible mine workers who retire, to those who become totally disabled as a result of mine accidents, and to the eligible surviving spouses of mine workers. The Bipartisan Miners Act of 2019 (Division M of Public Law 116-94), authorizes mandatory Treasury payments to the 1974 United Mine Workers of America Pension Plan, subject to certain limitations, until the Plans funded percentage reaches 100 percent.