

A BUDGET FOR

AMERICA'S

FUTURE

APPENDIX



BUDGET OF THE U.S. GOVERNMENT

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THE BUDGET DOCUMENTS

Budget of the United States Government, Fiscal Year 2021 contains the Budget Message of the President, information on the President's priorities, and summary tables.

Analytical Perspectives, Budget of the United States Government, Fiscal Year 2021 contains analyses that are designed to highlight specified subject areas or provide other significant presentations of budget data that place the budget in perspective. This volume includes economic and accounting analyses, information on Federal receipts and collections, analyses of Federal spending, information on Federal borrowing and debt, baseline or current services estimates, and other technical presentations.

Supplemental tables and other materials that are part of the *Analytical Perspectives* volume are available at <https://www.whitehouse.gov/omb/analytical-perspectives/>.

Appendix, Budget of the United States Government, Fiscal Year 2021 contains detailed information on the various appropriations and funds that constitute the budget and is designed primarily for the use of the Appropriations Committees. The *Appendix* contains more detailed financial information on individual programs and appropriation accounts than any of the other budget documents. It includes for each agency: the proposed text of appropriations language; budget schedules for each account; legislative proposals; narrative explanations of each budget account; and proposed general

provisions applicable to the appropriations of entire agencies or group of agencies. Information is also provided on certain activities whose transactions are not part of the budget totals.

Major Savings and Reforms, Fiscal Year 2021, which accompanies the President's Budget, contains detailed information on major savings and reform proposals. The volume describes both major discretionary program eliminations and reductions and mandatory savings proposals.

BUDGET INFORMATION AVAILABLE ONLINE

The President's Budget and supporting materials are available online at <https://www.whitehouse.gov/omb/budget/>. This link includes electronic versions of all the budget volumes, supplemental materials that are part of the *Analytical Perspectives* volume, spreadsheets of many of the budget tables, and a public use budget database. This link also includes *Historical Tables* that provide data on budget receipts, outlays, surpluses or deficits, Federal debt, and Federal employment over an extended time period, generally from 1940 or earlier to 2021 or 2025. Also available are links to documents and materials from budgets of prior years.

For more information on access to electronic versions of the budget documents, call (202) 512-1530 in the D.C. area or toll-free (888) 293-6498. To purchase the printed documents call (202) 512-1800.

GENERAL NOTES

1. All years referenced for budget data are fiscal years unless otherwise noted. All years referenced for economic data are calendar years unless otherwise noted.
2. At the time the Budget was prepared, the United States-Mexico-Canada Agreement Implementation Act (Public Law 116-113) had not yet been signed into law. As a result, the Budget includes a Government-wide allowance to represent the discretionary appropriations included in this proposal, which the Administration transmitted to the Congress on December 13, 2019, the House passed on December 19, 2019, and the Senate passed on January 16, 2020.
3. Detail in this document may not add to the totals due to rounding.

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DETAILED BUDGET ESTIMATES

DETAILED BUDGET ESTIMATES

The Budget *Appendix* contains various tables and schedules in support of the Budget. It includes explanations of the work to be performed and the money needed. It includes the language proposed for enactment by the Congress on each item that requires congressional action in an appropriations bill. It also contains the language proposed for the general provisions of appropriations Acts that apply to entire agencies or groups of agencies. The "Budget Concepts" chapter in the *Analytical Perspectives* volume explains the terms and budget concepts used throughout the Budget.

ARRANGEMENT

The second chapter in the *Appendix* presents general provisions of law that apply to all Government activities (see explanation below). Chapters for the Legislative Branch and the Judiciary follow. These are succeeded by chapters for the Executive Branch. The cabinet departments appear first in alphabetical order and are followed by the larger non-departmental agencies, such as Other Defense—Civil Programs, and the Executive Office of the President. The remaining small agencies are listed under the heading Other Independent Agencies. If the amounts in the individual accounts for other independent agencies are below the million dollar reporting threshold applicable to data in the *Appendix*, the data are consolidated into a single set of schedules under "Other Commissions and Boards." Appropriations language for these agencies is presented individually under the same heading.

A section for a large agency is usually organized by major subordinate organizations within the agency (usually bureaus) or by major program area (such as military personnel in the Department of Defense).

Within each bureau or major program area, accounts usually appear in the following order:

- general fund accounts;
- special fund accounts;
- public enterprise revolving funds;
- intragovernmental revolving funds and management funds;
- credit reform accounts, in the following order: program account, financing account, and liquidating account;
- trust funds; and
- trust revolving funds.

By law, the Old-Age and Survivors Insurance and Disability Insurance trust funds (Social Security) are outside the budget totals. These accounts are presented in the Social Security Administration section. Also, by law, the Postal Service Fund is outside the budget totals. A presentation for the Fund is included in the Other Independent Agencies section.

General provisions are provisions in appropriations Acts that apply to more than one appropriation. They usually appear in separate titles of the appropriations Acts. The proposed language for general provisions of appropriations Acts that are applicable to one agency appear at the end of the section for that agency. When they apply only to the appropriations for two or more agencies covered by the Act, they will appear at the end of the section for one of those agencies. The Government-wide general provisions apply to all appropriations Government-wide.

The following table indicates the location of all general provisions. The first column of the table lists the most recently enacted appropriations and the major agencies responsible for programs funded by each Act. The second column provides the location of the general provisions that apply to the agencies listed in the first column. The general provisions that are Government-wide in scope (identified as "Departments, Agencies, and Corporations") contained in the Financial Services and General Government Appropriations Act, appear in a separate chapter following this one.

Appropriations Act	Chapter in which general provisions appear
Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, P.L. 116–94.	
Department of Agriculture, excluding Forest Service.....	Department of Agriculture
Department of Health and Human Services, Food and Drug Administration.	Department of Agriculture
Commerce, Justice, Science, and Related Agencies Appropriations Act, P.L. 116–93.	
Department of Commerce.....	Department of Commerce
Department of Justice.....	Department of Justice
National Aeronautics and Space Administration.....	Department of Commerce
National Science Foundation.....	Department of Commerce
Department of Defense Appropriations Act, P.L. 116–93.....	Department of Defense
Energy and Water Development and Related Agencies Appropriations Act, P.L. 116–94.	
Department of Energy.....	Department of Energy
Corps of Engineers.....	Corps of Engineers—Civil Works
Department of the Interior, Bureau of Reclamation.....	Department of the Interior
Financial Services and General Government Appropriations Act, P.L. 116–93.	
Department of the Treasury.....	Department of the Treasury
District of Columbia.....	Other Independent Agencies
Executive Office of the President.....	Department of the Treasury
Department of Homeland Security Appropriations Act, P.L. 116–93.....	Department of Homeland Security
Department of the Interior, Environment, and Related Agencies Appropriations Act, P.L. 116–94.	
Department of the Interior, excluding Bureau of Reclamation.....	Department of the Interior

Appropriations Act	Chapter in which general provisions appear
Department of Agriculture, Forest Service.....	Department of the Interior
Department of Health and Human Services, Indian Health Service.....	Department of the Interior
Environmental Protection Agency.....	Department of the Interior
Departments of Labor, Health and Human Services, and Education, and	
Related Agencies Appropriations Act, P.L. 116–94.	
Department of Labor.....	Department of Labor
Department of Health and Human Services, excluding Food and Drug	
Administration, and the Indian Health Service.....	Department of Health and Human Services
Department of Education.....	Department of Education
Social Security Administration.....	Department of Labor
Legislative Branch Appropriations Act, P.L. 116–94.....	Legislative Branch
Military Construction and Veterans Affairs and Related Agencies	
Appropriations Act, P.L. 116–94.	
Department of Defense, Military Construction.....	Department of Defense
Department of Veterans Affairs.....	Department of Veterans Affairs
Department of State, Foreign Operations, and Related Programs	
Appropriations Act, P.L. 116–94.	
Department of State.....	Department of State and Other International Programs
Agency for International Development.....	Department of State and Other International Programs
Transportation, Housing and Urban Development, and Related Agencies	
Appropriations Act, P.L. 116–94.	
Department of Transportation.....	Department of Transportation
Department of Housing and Urban Development.....	Department of Housing and Urban Development

FORM OF DETAILED MATERIAL

APPROPRIATIONS LANGUAGE

The language proposed for inclusion in the 2021 appropriations Acts appears following the account title, and the amounts are stated in dollars. Accounts included in the enacted 2020 appropriations bills are printed in roman type as a base. Bolded brackets enclose material that is proposed for deletion; italic type indicates proposed new language. The citation to the specific appropriations Act from which the basic text of the 2020 language is taken appears at the end of the final language paragraph, printed in italic type within parentheses. If an appropriation is being proposed for the first time for an account assumed to be covered by these bills in 2021 all of the language is printed in italics. An illustration of proposed appropriations language for 2021 follows:

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, [~~\$824,090,000~~] *\$749,003,000. (Department of Health and Human Services Appropriations Act, 2020.)*

BASIS FOR SCHEDULES

Dollar amounts in *Appendix* schedules are stated in millions, unless otherwise specified.

The 2019 column of the budget presents the actual transactions and balances for that year, as recorded in agency accounts.

For 2020, the regular schedules include the enacted appropriations. They may also include indefinite appropriations on the basis of amounts likely to be required.

The 2021 column of the regular schedules includes proposed appropriations for all programs.

Amounts for proposed new legislation are shown generally in separate schedules, following the regular schedules or in budget

sequence in the respective bureau. These schedules are identified as "Legislative proposals, subject to PAYGO" or "Legislative proposals not subject to PAYGO." The term "PAYGO" refers to the "pay-as-you-go" requirements of the Statutory Pay-As-You-Go Act of 2010 (2 U.S.C. 931–39). Appropriations language is included with the regular schedule, but usually not with the separate schedules for proposed legislation. Usually the necessary appropriations language is transmitted later upon enactment of the proposed legislation.

PROGRAM AND FINANCING SCHEDULE

This schedule provides the following information:
 —obligations by program activity;
 —budgetary resources;
 —change in obligated balance; and
 —budget authority and outlays, net.

The "Obligations by program activity" section shows obligations for specific activities or projects. The activity structure is developed for each appropriation or fund account to provide a meaningful presentation of information for the program. Where the amounts are significant, this section distinguishes between operating expenses and capital investment and between direct and reimbursable programs. The last entry, "Total new obligations, unexpired accounts" indicates the amount of budgetary resources required to finance the activities of the account.

The "Budgetary resources" section shows the budgetary resources available or estimated to be available to finance the obligations. The resources available for obligation include the start-of-year unobligated balances of prior year's resources that have not expired, new budget authority, and adjusting entries, such as recoveries from prior year obligations. This section provides detailed information on the total new budget authority (gross) available to finance the program. It includes information on the type of budget authority that is available, reductions, and amounts precluded from obligation. It indicates whether the budget authority is discretionary (controlled by appropriations Acts) or mandatory (controlled by other laws).

The "Change in obligated balance" section shows components of the change in obligated balances from the start to the end of the year. The two components of the obligated balance—unpaid obligations and uncollected payments from Federal sources—are presented separately. New obligations are added to the obligations that were incurred in a previous year but not liquidated. Total disbursements to liquidate obligations (outlays, gross) are subtracted from these amounts. Adjusting entries, such as adjustments in expired accounts and recoveries of prior year unpaid obligations, are included as appropriate, resulting in the end-of-year obligated balance.

The "Budget authority and outlays, net" section bridges from gross budget authority and outlays to net budget authority and outlays. The section presents discretionary and mandatory amounts separately and indicates whether the outlays pertain to balances or new authority. It also indicates the amounts to be deducted from gross budget authority and outlays and the resulting net budget authority and outlay amounts. Offsetting collections (cash) and the change in uncollected payments from Federal sources are deducted from gross budget authority; only offsetting collections (cash) are deducted from gross outlays.

A schedule titled "Summary of Budget Authority and Outlays" immediately follows the first program and financing schedule for any account that has additional program and financing schedules for supplemental requests, legislative proposals, or current year cancellation proposals.

NARRATIVE STATEMENT OF PROGRAM AND PERFORMANCE

Narrative statements present briefly the objectives of the program and the work to be financed primarily for 2020. They may include measures of expected performance and describe a relationship to the financial estimates.

SCHEDULE OF OBJECT CLASSIFICATION AND EMPLOYMENT SUMMARY

Object classes reflect the nature of the things or services purchased, regardless of the purpose of the program for which they are used. Object class entry 11.9, "Total personnel compensation" sums the amounts in object classes 11.1 through 11.8. Except for revolving funds, reimbursable obligations are aggregated in a single line and not identified by object class. Amounts for any object class that are below the reporting threshold (i.e., amounts that are \$500 thousand or less) are reported together as a single entry. If all of the obligations for an account are in a single object class, the schedule is omitted and the object class code is printed in the Program and Financing Schedule on the "Total new obligations, unexpired accounts" line.

When obligations for personnel compensation are shown in the object classification schedule, an employment summary generally follows the object classification schedule.

Federal civilian employment generally is stated on a full-time equivalent (FTE) basis. It is the total number of hours worked (or to be worked) divided by the number of compensable hours applicable to each fiscal year.

BALANCE SHEETS

Balance sheets are presented for all direct and guaranteed loan liquidating and financing accounts and most Government-sponsored enterprises.

The balance sheets show assets, liabilities, and equity for the fund at the close of each fiscal year. In addition to this information, which is similar to commercial balance sheet data, budget needs also require additional information, such as appropriated

capital, which is shown in the equity section. The amounts in the 2018 column are audited.

FEDERAL CREDIT SCHEDULES

Federal credit programs provide benefits to the public in the form of direct loans and loan guarantees. The Federal Credit Reform Act of 1990 (2 U.S.C. 661–661f) requires that the costs of direct and guaranteed loans of a program be calculated on a net present value basis, excluding administrative costs. For most programs, direct loan obligations and loan guarantee commitments cannot be made unless appropriations for the cost have been provided in advance in annual appropriations Acts. Annual limitations on the amount of obligations and commitments may also be enacted in appropriations language. For additional information on Federal Credit Reform Act accounts, see below.

Appropriations for the costs of direct loans and loan guarantees are recorded as budget authority in credit program accounts. The administrative expenses associated with a credit program are also recorded in the program account, but on a cash basis. All cash flows to and from the public arising from direct loan obligations and loan guarantee commitments are recorded in separate financing accounts. The transactions of the financing accounts are not included in the budget totals. Program accounts make subsidy payments, recorded as budget outlays, to the financing accounts at the time of the disbursement of the direct or guaranteed loans.

The transactions associated with direct loan obligations and loan guarantee commitments made prior to 1992 continue to be accounted for on a cash flow basis and are recorded in liquidating accounts. In most cases, the liquidating account is the account that was used for the program prior to the enactment of the new requirements.

Program and Financing schedules (described above) are shown for program, financing, and liquidating accounts. In addition, a Summary of Loan Levels, Subsidy Budget Authority, and Outlays by Program schedule is shown for program accounts. This schedule displays credit program information at the risk category level. Status of Direct Loans and Status of Guaranteed Loans schedules (as applicable) are shown for financing accounts and liquidating accounts. Summary information on Federal credit programs is provided in the chapter titled "Credit and Insurance" in the *Analytical Perspectives* volume of the Budget.

SPECIAL AND TRUST FUND RECEIPTS SCHEDULE

This schedule is printed for special fund and trust fund accounts to show the amount of receipts that are credited to them. It also shows any balances of unappropriated receipts or receipts that are only available for investment or precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations. When present, it appears after the appropriation language, but before the Program and Financing schedule for the account.

STATUS OF FUNDS SCHEDULE

This schedule reports balances, cash income, and cash outgo for major trust funds and certain other accounts. It also includes outstanding debt for certain funds. When present, it appears after the narrative statement for the account.

GENERAL FUND RECEIPT ACCOUNTS SCHEDULE

This schedule shows the amount of receipts attributed to an agency that are credited to the general fund of the Treasury. It

is printed at the end of the presentation for the agency, before any general provisions.

ALLOCATIONS BETWEEN AGENCIES

In some cases, funds appropriated to the President or to an agency are allocated to one or more agencies that help to carry out a program. Obligations incurred under such allocations are included in the data for the account to which the appropriation is made in the allocating agency. The object classification schedule for such accounts identifies the amount of such obligations by performing agency. A note at the end of a bureau or equivalent grouping identifies allocations received from other agencies.

FEDERAL CREDIT REFORM ACT ACCOUNTS

PROGRAM ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

DIRECT LOAN FINANCING ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

GUARANTEED LOAN FINANCING ACCOUNTS

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Govern-

ment resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

BUDGETS FOR OFFICES OF INSPECTOR GENERAL

The "separate statement of the budget estimate" for each Office of Inspector General, referenced in section 6(f)(3)(A) of the Inspector General Act of 1978 ("the Act"; 5 U.S.C. App.), is included in the respective congressional justification for that Office. In addition, the Office of the Inspector General for the Department of Homeland Security, the Office of the Inspector General for the Environmental Protection Agency, the Office of the Inspector General for the Corporation for National and Community Service, and the Special Inspector General for the Troubled Asset Relief Program have each submitted comments setting forth their respective conclusions that this Budget's request for their offices "would substantially inhibit the Inspector General from performing the duties of the office" under section 6(f)(3)(E) of the Act. These comments are included in the congressional justification for each agency.

BUDGETS NOT SUBJECT TO REVIEW

In accordance with law or established practice, the presentations for the Legislative Branch, the Judiciary, the Milk Market Orders Assessment Fund of the Department of Agriculture, and the International Trade Commission have been included, without review, in the amounts submitted by the agencies.

The budgets of the privately owned Government-sponsored enterprises and the Board of Governors of the Federal Reserve System are not subject to review. Data for these entities are included for information purposes only.

GENERAL PROVISIONS GOVERNMENT-WIDE

GENERAL PROVISIONS GOVERNMENT-WIDE

DEPARTMENTS, AGENCIES, AND CORPORATIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 701. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for fiscal year **[2020]** 2021 shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from the illegal use, possession, or distribution of controlled substances (as defined in the Controlled Substances Act (21 U.S.C. 802)) by the officers and employees of such department, agency, or instrumentality.

SEC. 702. Unless otherwise specifically provided, the maximum amount allowable during the current fiscal year in accordance with subsection 1343(c) of title 31, United States Code, for the purchase of any passenger motor vehicle (exclusive of buses, ambulances, law enforcement vehicles, protective vehicles, and undercover surveillance vehicles), is hereby fixed at \$19,947 except station wagons for which the maximum shall be \$19,997: *Provided*, That these limits may be exceeded by not to exceed \$7,250 for police-type vehicles: *Provided further*, That the limits set forth in this section may not be exceeded by more than 5 percent for electric or hybrid vehicles purchased for demonstration under the provisions of the Electric and Hybrid Vehicle Research, Development, and Demonstration Act of 1976: *Provided further*, That the limits set forth in this section may be exceeded by the incremental cost of clean alternative fuels vehicles acquired pursuant to Public Law 101-549 over the cost of comparable conventionally fueled vehicles: *Provided further*, That the limits set forth in this section shall not apply to any vehicle that is a commercial item and which operates on alternative fuel, including but not limited to electric, plug-in hybrid electric, and hydrogen fuel cell vehicles.

SEC. 703. Appropriations of the executive departments and independent establishments for the current fiscal year available for expenses of travel, or for the expenses of the activity concerned, are hereby made available for quarters allowances and cost-of-living allowances, in accordance with 5 U.S.C. 5922-5924.

SEC. 704. Unless otherwise specified in law during the current fiscal year, no part of any appropriation contained in this or any other Act shall be used to pay the compensation of any officer or employee of the Government of the United States (including any agency the majority of the stock of which is owned by the Government of the United States) whose post of duty is in the continental United States unless such person: (1) is a citizen of the United States; (2) is a person who is lawfully admitted for permanent residence and is seeking citizenship as outlined in 8 U.S.C. 1324b(a)(3)(B); (3) is a person who is admitted as a refugee under 8 U.S.C. 1157 or is granted asylum under 8 U.S.C. 1158 and has filed a declaration of intention to become a lawful permanent resident and then a citizen when eligible; or (4) is a person who owes allegiance to the United States: *Provided*, That for purposes of this section, affidavits signed by any such person shall be considered prima facie evidence that the requirements of this section with respect to his or her status are being complied with: *Provided further*, That for purposes of subsections (2) and (3) such affidavits shall be submitted prior to employment and updated thereafter as necessary: *Provided further*, That any person making a false affidavit shall be guilty of a felony, and upon conviction, shall be fined no more than \$4,000 or imprisoned for not more than 1 year, or both: *Provided further*, That the above penal clause shall be in addition to, and not in substitution for, any other provisions of existing law: *Provided further*, That any payment made to any officer or employee contrary to the provisions of this section shall be recoverable in action by the Federal Government: *Provided further*, That this section shall not apply to any person who is an officer or employee of the Government of the United States on the date of enactment of this Act, or to international broadcasters employed by the Broadcasting Board of Governors, or to temporary employment of translators, or to temporary employment in the field service (not to exceed 60 days) as a result of emergencies: *Provided further*, That this section does not apply to the employment as Wildland firefighters for not more than 120 days of nonresident aliens employed by the Department of the Interior or the USDA Forest Service pursuant to an agreement with another country.

SEC. 705. Appropriations available to any department or agency during the current fiscal year for necessary expenses, including maintenance or operating expenses, shall also be available for payment to the General Services Administration for charges for space and services and those expenses of renovation and alteration of buildings and facilities which constitute public improvements performed in accordance with the Public Buildings Act of 1959 (73 Stat. 479), the Public Buildings Amendments of 1972 (86 Stat. 216), or other applicable law.

SEC. 706. In addition to funds provided in this or any other Act, all Federal agencies are authorized to receive and use funds resulting from the sale of materials, including Federal records disposed of pursuant to a records schedule recovered through recycling or waste prevention programs. Such funds shall be available until expended for the following purposes:

(1) Acquisition, waste reduction and prevention, and recycling programs as described in Executive Order No. 13834 (May 17, 2018), including any such programs adopted prior to the effective date of the Executive order.

(2) Other Federal agency environmental management programs, including, but not limited to, the development and implementation of hazardous waste management and pollution prevention programs.

(3) Other employee programs as authorized by law or as deemed appropriate by the head of the Federal agency.

SEC. 707. Funds made available by this or any other Act for administrative expenses in the current fiscal year of the corporations and agencies subject to chapter 91 of title 31, United States Code, shall be available, in addition to objects for which such funds are otherwise available, for rent in the District of Columbia; services in accordance with 5 U.S.C. 3109; and the objects specified under this head, all the provisions of which shall be applicable to the expenditure of such funds unless otherwise specified in the Act by which they are made available: *Provided*, That in the event any functions budgeted as administrative expenses are subsequently transferred to or paid from other funds, the limitations on administrative expenses shall be correspondingly reduced.

SEC. 708. No part of any appropriation contained in this or any other Act shall be available for interagency financing of boards (except Federal Executive Boards), commissions, councils, committees, or similar groups (whether or not they are inter-agency entities) which do not have a prior and specific statutory approval to receive financial support from more than one agency or instrumentality.

SEC. 709. None of the funds made available pursuant to the provisions of this or any other Act shall be used to implement, administer, or enforce any regulation which has been disapproved pursuant to a joint resolution duly adopted in accordance with the applicable law of the United States.

SEC. 710. During the period in which the head of any department or agency, or any other officer or civilian employee of the Federal Government appointed by the President of the United States, holds office, no funds may be obligated or expended in excess of \$5,000 to furnish or redecorate the office of such department head, agency head, officer, or employee, or to purchase furniture or make improvements for any such office, unless advance notice of such furnishing or redecoration is transmitted to the Committees on Appropriations of the House of Representatives and the Senate. For the purposes of this section, the term "office" shall include the entire suite of offices assigned to the individual, as well as any other space used primarily by the individual or the use of which is directly controlled by the individual.

SEC. 711. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities, as provided by Executive Order No. 13618 (July 6, 2012).

SEC. 712. (a) None of the funds made available by this or any other Act may be obligated or expended by any department, agency, or other instrumentality of the Federal Government to pay the salaries or expenses of any individual appointed to a position of a confidential or policy-determining character that is excepted from the competitive service under section 3302 of title 5, United States Code, (pursuant to schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations) unless the head of the applicable department, agency, or other instrumentality employing such schedule C individual certifies to the Director of the Office of Personnel Management that the schedule C position occupied by the individual was not created solely or primarily in order to detail the individual to the White House.

(b) The provisions of this section shall not apply to Federal employees or members of the armed forces detailed to or from an element of the intelligence community (as that term is defined under section 3(4) of the National Security Act of 1947 (50 U.S.C. 3003(4))).

SEC. 713. No part of any appropriation contained in this or any other Act shall be available for the payment of the salary of any officer or employee of the Federal Government, who—

(1) prohibits or prevents, or attempts or threatens to prohibit or prevent, any other officer or employee of the Federal Government from having any direct oral or written communication or contact with any Member, committee, or subcommittee of the Congress in connection with any matter pertaining to the employment of such other officer or employee or pertaining to the department or agency of such other officer or employee in any way, irrespective of whether

such communication or contact is at the initiative of such other officer or employee or in response to the request or inquiry of such Member, committee, or subcommittee; or

(2) removes, suspends from duty without pay, demotes, reduces in rank, seniority, status, pay, or performance or efficiency rating, denies promotion to, relocates, reassigns, transfers, disciplines, or discriminates in regard to any employment right, entitlement, or benefit, or any term or condition of employment of, any other officer or employee of the Federal Government, or attempts or threatens to commit any of the foregoing actions with respect to such other officer or employee, by reason of any communication or contact of such other officer or employee with any Member, committee, or subcommittee of the Congress as described in paragraph (1).】

【SEC. 714. (a) None of the funds made available in this or any other Act may be obligated or expended for any employee training that—

(1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;

(2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;

(3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;

(4) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or

(5) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties.】

【SEC. 715. No part of any funds appropriated in this or any other Act shall be used by an agency of the executive branch, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, and for the preparation, distribution or use of any kit, pamphlet, booklet, publication, radio, television, or film presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself.】

SEC. 【716】713. None of the funds appropriated by this or any other Act may be used by an agency to provide a Federal employee's home address to any labor organization except when the employee has authorized such disclosure or when such disclosure has been ordered by a court of competent jurisdiction.

【SEC. 717. None of the funds made available in this or any other Act may be used to provide any non-public information such as mailing, telephone, or electronic mailing lists to any person or any organization outside of the Federal Government without the approval of the Committees on Appropriations of the House of Representatives and the Senate.】

【SEC. 718. No part of any appropriation contained in this or any other Act shall be used directly or indirectly, including by private contractor, for publicity or propaganda purposes within the United States not heretofore authorized by Congress.】

SEC. 【719】714. (a) In this section, the term "agency"—

(1) means an Executive agency, as defined under 5 U.S.C. 105; and

(2) includes a military department, as defined under section 102 of such title, the United States Postal Service, and the Postal Regulatory Commission.

(b) Unless authorized in accordance with law or regulations to use such time for other purposes, an employee of an agency shall use official time in an honest effort to perform official duties. An employee not under a leave system, including a Presidential appointee exempted under 5 U.S.C. 6301(2), has an obligation to expend an honest effort and a reasonable proportion of such employee's time in the performance of official duties.

SEC. 【720】715. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, funds made available for the current fiscal year by this or any other Act to any department or agency, which is a member of the Federal Accounting Standards Advisory Board (FASAB), shall be available to finance an appropriate share of FASAB administrative costs.

SEC. 【721】716. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, the head of each Executive department and agency is hereby authorized to transfer to or reimburse "General Services Administration, Government-wide Policy" with the approval of the Director of the Office of Management and Budget, funds made available for the current fiscal year by this or any other Act, including rebates from charge card and other contracts: *Provided*, That these funds shall be administered by the Administrator of General Services to support Government-wide and other multi-agency financial, information technology, procurement, and other management innovations, initiatives, and activities, including improving coordination and reducing duplication, as approved by the Director of the Office of Management and Budget, in consultation with the appropriate interagency and multi-agency groups designated by the Director (including the President's Management Council for overall manage-

ment improvement initiatives, the Chief Financial Officers Council for financial management initiatives, the Chief Information Officers Council for information technology initiatives, the Chief Human Capital Officers Council for human capital initiatives, the Chief Acquisition Officers Council for procurement initiatives, and the Performance Improvement Council for performance improvement initiatives): *Provided further*, That the total funds transferred or reimbursed shall not exceed \$15,000,000 to improve coordination, reduce duplication, and for other activities related to Federal Government Priority Goals established by 31 U.S.C. 1120, and not to exceed \$17,000,000 for Government-Wide innovations, initiatives, and activities: *Provided further*, That the funds transferred to or for reimbursement of "General Services Administration, Government-wide Policy" during fiscal year 【2020】 2021 shall remain available for obligation through September 30, 【2021】 2022: *Provided further*, That such transfers or reimbursements may only be made after 15 days following notification of the Committees on Appropriations of the House of Representatives and the Senate by the Director of the Office of Management and Budget.

SEC. 【722】717. Notwithstanding any other provision of law, a woman may breastfeed her child at any location in a Federal building or on Federal property, if the woman and her child are otherwise authorized to be present at the location.

SEC. 【723】718. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of specific projects, workshops, studies, and similar efforts to carry out the purposes of the National Science and Technology Council (authorized by Executive Order No. 12881), which benefit multiple Federal departments, agencies, or entities: *Provided*, That the Office of Management and Budget shall provide a report describing the budget of and resources connected with the National Science and Technology Council to the Committees on Appropriations, the House Committee on Science, Space, and Technology, and the Senate Committee on Commerce, Science, and Transportation 90 days after enactment of this Act.

SEC. 【724】719. Any request for proposals, solicitation, grant application, form, notification, press release, or other publications involving the distribution of Federal funds shall comply with any relevant requirements in part 200 of title 2, Code of Federal Regulations: *Provided*, That this section shall apply to direct payments, formula funds, and grants received by a State receiving Federal funds.

SEC. 【725】720. (a) PROHIBITION OF FEDERAL AGENCY MONITORING OF INDIVIDUALS' INTERNET USE.—None of the funds made available in this or any other Act may be used by any Federal agency—

(1) to collect, review, or create any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any Federal Government Internet site of the agency; or

(2) to enter into any agreement with a third party (including another government agency) to collect, review, or obtain any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any nongovernmental Internet site.

(b) EXCEPTIONS.—The limitations established in subsection (a) shall not apply to—

(1) any record of aggregate data that does not identify particular persons;

(2) any voluntary submission of personally identifiable information;

(3) any action taken for law enforcement, regulatory, or supervisory purposes, in accordance with applicable law; or

(4) any action described in subsection (a)(1) that is a system security action taken by the operator of an Internet site and is necessarily incident to providing the Internet site services or to protecting the rights or property of the provider of the Internet site.

(c) DEFINITIONS.—For the purposes of this section:

(1) The term "regulatory" means agency actions to implement, interpret or enforce authorities provided in law.

(2) The term "supervisory" means examinations of the agency's supervised institutions, including assessing safety and soundness, overall financial condition, management practices and policies and compliance with applicable standards as provided in law.

SEC. 【726】721. (a) None of the funds appropriated by this Act may be used to enter into or renew a contract which includes a provision providing prescription drug coverage, except where the contract also includes a provision for contraceptive coverage.

(b) Nothing in this section shall apply to a contract with—

(1) any of the following religious plans:

(A) Personal Care's HMO; and

(B) OSF HealthPlans, Inc.; and

(2) any existing or future plan, if the carrier for the plan objects to such coverage on the basis of religious beliefs.

(c) In implementing this section, any plan that enters into or renews a contract under this section may not subject any individual to discrimination on the basis that the individual refuses to prescribe or otherwise provide for contraceptives because such activities would be contrary to the individual's religious beliefs or moral convictions.

(d) Nothing in this section shall be construed to require coverage of abortion or abortion-related services.

SEC. [727] 722. The United States is committed to ensuring the health of its Olympic, Pan American, and Paralympic athletes, and supports the strict adherence to anti-doping in sport through testing, adjudication, education, and research as performed by nationally recognized oversight authorities.

SEC. [728] 723. Notwithstanding any other provision of law, funds appropriated for official travel to Federal departments and agencies may be used by such departments and agencies, if consistent with Office of Management and Budget Circular A-126 regarding official travel for Government personnel, to participate in the fractional aircraft ownership pilot program.

[SEC. 729. Notwithstanding any other provision of law, none of the funds appropriated or made available under this or any other appropriations Act may be used to implement or enforce restrictions or limitations on the Coast Guard Congressional Fellowship Program, or to implement the proposed regulations of the Office of Personnel Management to add sections 300.311 through 300.316 to part 300 of title 5 of the Code of Federal Regulations, published in the Federal Register, volume 68, number 174, on September 9, 2003 (relating to the detail of executive branch employees to the legislative branch).]

SEC. [730] 724. Notwithstanding any other provision of law, no executive branch agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without the advance [approval of] notice to the Committees on Appropriations of the House of Representatives and the Senate, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training which cannot be accommodated in existing Center facilities.

SEC. [731] 725. Unless otherwise authorized by existing law, none of the funds provided in this or any other Act may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States, unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.

[SEC. 732. None of the funds made available in this Act may be used in contravention of section 552a of title 5, United States Code (popularly known as the Privacy Act), and regulations implementing that section.]

SEC. [733] 726. (a) IN GENERAL.—None of the funds appropriated or otherwise made available by this or any other Act may be used for any Federal Government contract with any foreign incorporated entity which is treated as an inverted domestic corporation under section 835(b) of the Homeland Security Act of 2002 (6 U.S.C. 395(b)) or any subsidiary of such an entity.

(b) WAIVERS.—

(1) IN GENERAL.—Any Secretary shall waive subsection (a) with respect to any Federal Government contract under the authority of such Secretary if the Secretary determines that the waiver is required in the interest of national security.

(2) REPORT TO CONGRESS.—Any Secretary issuing a waiver under paragraph (1) shall report such issuance to Congress.

(c) EXCEPTION.—This section shall not apply to any Federal Government contract entered into before the date of the enactment of this Act, or to any task order issued pursuant to such contract.

SEC. [734] 727. During fiscal year [2020] 2021, for each employee who—

(1) retires under section 8336(d)(2) or 8414(b)(1)(B) of title 5, United States Code; or

(2) retires under any other provision of subchapter III of chapter 83 or chapter 84 of such title 5 and receives a payment as an incentive to separate, the separating agency shall remit to the Civil Service Retirement and Disability Fund an amount equal to the Office of Personnel Management's average unit cost of processing a retirement claim for the preceding fiscal year. Such amounts shall be available until expended to the Office of Personnel Management and shall be deemed to be an administrative expense under section 8348(a)(1)(B) of title 5, United States Code.

SEC. [735] 728. (a) None of the funds made available in this or any other Act may be used to recommend or require any entity submitting an offer for a Federal contract to disclose any of the following information as a condition of submitting the offer:

(1) Any payment consisting of a contribution, expenditure, independent expenditure, or disbursement for an electioneering communication that is made by the entity, its officers or directors, or any of its affiliates or subsidiaries to a

candidate for election for Federal office or to a political committee, or that is otherwise made with respect to any election for Federal office.

(2) Any disbursement of funds (other than a payment described in paragraph (1)) made by the entity, its officers or directors, or any of its affiliates or subsidiaries to any person with the intent or the reasonable expectation that the person will use the funds to make a payment described in paragraph (1).

(b) In this section, each of the terms "contribution", "expenditure", "independent expenditure", "electioneering communication", "candidate", "election", and "Federal office" has the meaning given such term in the Federal Election Campaign Act of 1971 (52 U.S.C. 30101 et seq.).

SEC. [736] 729. None of the funds made available in this or any other Act may be used to pay for the painting of a portrait of an officer or employee of the Federal government, including the President, the Vice President, a member of Congress (including a Delegate or a Resident Commissioner to Congress), the head of an executive branch agency (as defined in section 133 of title 41, United States Code), or the head of an office of the legislative branch.

SEC. [737] 730. (a)

(1) Notwithstanding any other provision of law, and except as otherwise provided in this section, no part of any of the funds appropriated for fiscal year [2020] 2021, by this or any other Act, may be used to pay any prevailing rate employee described in section 5342(a)(2)(A) of title 5, United States Code—

(A) during the period from the date of expiration of the limitation imposed by the comparable section for the previous fiscal years until the normal effective date of the applicable wage survey adjustment that is to take effect in fiscal year [2020] 2021, in an amount that exceeds the rate payable for the applicable grade and step of the applicable wage schedule in accordance with such section; and

(B) during the period consisting of the remainder of fiscal year [2020] 2021, in an amount that exceeds, as a result of a wage survey adjustment, the rate payable under subparagraph (A) by more than the sum of—

(i) the percentage adjustment taking effect in fiscal year [2020] 2021 under section 5303 of title 5, United States Code, in the rates of pay under the General Schedule; and

(ii) the difference between the overall average percentage of the locality-based comparability payments taking effect in fiscal year [2020] 2021 under section 5304 of such title (whether by adjustment or otherwise), and the overall average percentage of such payments which was effective in the previous fiscal year under such section.

(2) Notwithstanding any other provision of law, no prevailing rate employee described in subparagraph (B) or (C) of section 5342(a)(2) of title 5, United States Code, and no employee covered by section 5348 of such title, may be paid during the periods for which paragraph (1) is in effect at a rate that exceeds the rates that would be payable under paragraph (1) were paragraph (1) applicable to such employee.

(3) For the purposes of this subsection, the rates payable to an employee who is covered by this subsection and who is paid from a schedule not in existence on September 30, [2019] 2020, shall be determined under regulations prescribed by the Office of Personnel Management.

(4) Notwithstanding any other provision of law, rates of premium pay for employees subject to this subsection may not be changed from the rates in effect on September 30, [2019] 2020, except to the extent determined by the Office of Personnel Management to be consistent with the purpose of this subsection.

(5) This subsection shall apply with respect to pay for service performed after September 30, [2019] 2020.

(6) For the purpose of administering any provision of law (including any rule or regulation that provides premium pay, retirement, life insurance, or any other employee benefit) that requires any deduction or contribution, or that imposes any requirement or limitation on the basis of a rate of salary or basic pay, the rate of salary or basic pay payable after the application of this subsection shall be treated as the rate of salary or basic pay.

(7) Nothing in this subsection shall be considered to permit or require the payment to any employee covered by this subsection at a rate in excess of the rate that would be payable were this subsection not in effect.

(8) The Office of Personnel Management may provide for exceptions to the limitations imposed by this subsection if the Office determines that such exceptions are necessary to ensure the recruitment or retention of qualified employees.

(b) Notwithstanding subsection (a), the adjustment in rates of basic pay for the statutory pay systems that take place in fiscal year [2020] 2021 under sections 5344 and 5348 of title 5, United States Code, shall be—

(1) not less than the percentage received by employees in the same location whose rates of basic pay are adjusted pursuant to the statutory pay systems under sections 5303 and 5304 of title 5, United States Code: *Provided*, That prevailing

rate employees at locations where there are no employees whose pay is increased pursuant to sections 5303 and 5304 of title 5, United States Code, and prevailing rate employees described in section 5343(a)(5) of title 5, United States Code, shall be considered to be located in the pay locality designated as "Rest of United States" pursuant to section 5304 of title 5, United States Code, for purposes of this subsection; and

(2) effective as of the first day of the first applicable pay period beginning after September 30, [2019] 2020.

SEC. 738. (a) The head of any Executive branch department, agency, board, commission, or office funded by this or any other appropriations Act shall submit annual reports to the Inspector General or senior ethics official for any entity without an Inspector General, regarding the costs and contracting procedures related to each conference held by any such department, agency, board, commission, or office during fiscal year 2020 for which the cost to the United States Government was more than \$100,000.

(b) Each report submitted shall include, for each conference described in subsection (a) held during the applicable period—

- (1) a description of its purpose;
- (2) the number of participants attending;
- (3) a detailed statement of the costs to the United States Government, including—

- (A) the cost of any food or beverages;
- (B) the cost of any audio-visual services;
- (C) the cost of employee or contractor travel to and from the conference; and
- (D) a discussion of the methodology used to determine which costs relate to the conference; and

(4) a description of the contracting procedures used including—

- (A) whether contracts were awarded on a competitive basis; and
- (B) a discussion of any cost comparison conducted by the departmental component or office in evaluating potential contractors for the conference.

(c) Within 15 days after the end of a quarter, the head of any such department, agency, board, commission, or office shall notify the Inspector General or senior ethics official for any entity without an Inspector General, of the date, location, and number of employees attending a conference held by any Executive branch department, agency, board, commission, or office funded by this or any other appropriations Act during fiscal year 2020 for which the cost to the United States Government was more than \$20,000.

(d) A grant or contract funded by amounts appropriated by this or any other appropriations Act may not be used for the purpose of defraying the costs of a conference described in subsection (c) that is not directly and programmatically related to the purpose for which the grant or contract was awarded, such as a conference held in connection with planning, training, assessment, review, or other routine purposes related to a project funded by the grant or contract.

(e) None of the funds made available in this or any other appropriations Act may be used for travel and conference activities that are not in compliance with Office of Management and Budget Memorandum M-12-12 dated May 11, 2012 or any subsequent revisions to that memorandum.]

SEC. 739. None of the funds made available in this or any other appropriations Act may be used to increase, eliminate, or reduce funding for a program, project, or activity as proposed in the President's budget request for a fiscal year until such proposed change is subsequently enacted in an appropriation Act, or unless such change is made pursuant to the reprogramming or transfer provisions of this or any other appropriations Act.]

SEC. [740] 731. None of the funds made available by this or any other Act may be used to implement, administer, enforce, or apply the rule entitled "Competitive Area" published by the Office of Personnel Management in the Federal Register on April 15, 2008 (73 Fed. Reg. 20180 et seq.).

SEC. 741. None of the funds appropriated or otherwise made available by this or any other Act may be used to begin or announce a study or public-private competition regarding the conversion to contractor performance of any function performed by Federal employees pursuant to Office of Management and Budget Circular A-76 or any other administrative regulation, directive, or policy.]

SEC. [742] 732. (a) None of the funds appropriated or otherwise made available by this or any other Act may be available for a contract, grant, or cooperative agreement with an entity that requires employees or contractors of such entity seeking to report fraud, waste, or abuse to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(b) The limitation in subsection (a) shall not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a Federal department or agency governing the nondisclosure of classified information.

SEC. 743. (a) No funds appropriated in this or any other Act may be used to implement or enforce the agreements in Standard Forms 312 and 4414 of the Government or any other nondisclosure policy, form, or agreement if such policy, form, or agreement does not contain the following provisions: "These provisions are consistent with and do not supersede, conflict with, or otherwise alter the employee obligations, rights, or liabilities created by existing statute or Executive order relating to (1) classified information, (2) communications to Congress, (3) the reporting to an Inspector General of a violation of any law, rule, or regulation, or mismanagement, a gross waste of funds, an abuse of authority, or a substantial and specific danger to public health or safety, or (4) any other whistleblower protection. The definitions, requirements, obligations, rights, sanctions, and liabilities created by controlling Executive orders and statutory provisions are incorporated into this agreement and are controlling." *Provided*, That notwithstanding the preceding provision of this section, a nondisclosure policy form or agreement that is to be executed by a person connected with the conduct of an intelligence or intelligence-related activity, other than an employee or officer of the United States Government, may contain provisions appropriate to the particular activity for which such document is to be used. Such form or agreement shall, at a minimum, require that the person will not disclose any classified information received in the course of such activity unless specifically authorized to do so by the United States Government. Such nondisclosure forms shall also make it clear that they do not bar disclosures to Congress, or to an authorized official of an executive agency or the Department of Justice, that are essential to reporting a substantial violation of law.

(b) A nondisclosure agreement may continue to be implemented and enforced notwithstanding subsection (a) if it complies with the requirements for such agreement that were in effect when the agreement was entered into.

(c) No funds appropriated in this or any other Act may be used to implement or enforce any agreement entered into during fiscal year 2014 which does not contain substantially similar language to that required in subsection (a).]

SEC. [744] 733. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

SEC. [745] 734. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless a Federal agency has considered suspension or debarment of the corporation and has made a determination that this further action is not necessary to protect the interests of the Government.

SEC. [746] 735. (a) During fiscal year [2020] 2021, on the date on which a request is made for a transfer of funds in accordance with section 1017 of Public Law 111-203, the Bureau of Consumer Financial Protection shall notify the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate of such request.

(b) Any notification required by this section shall be made available on the Bureau's public Web site.

SEC. [747] 736. If, for fiscal year [2020] 2021, new budget authority provided in appropriations Acts exceeds the discretionary spending limit for any category set forth in section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985 due to estimating differences with the Congressional Budget Office, an adjustment to the discretionary spending limit in such category for fiscal year [2020] 2021 shall be made by the Director of the Office of Management and Budget in the amount of the excess but the total of all such adjustments shall not exceed 0.2 percent of the sum of the adjusted discretionary spending limits for all categories for that fiscal year.

SEC. 748. (a) The adjustment in rates of basic pay for employees under the statutory pay systems that takes effect in fiscal year 2020 under section 5303 of title 5, United States Code, shall be an increase of 2.6 percent, and the overall average percentage of the adjustments taking effect in such fiscal year under sections 5304 and 5304a of such title 5 shall be an increase of 0.5 percent (with comparability

payments to be determined and allocated among pay localities by the President). All adjustments under this subsection shall be effective as of the first day of the first applicable pay period beginning on or after January 1, 2020.

(b) Notwithstanding section 737, the adjustment in rates of basic pay for the statutory pay systems that take place in fiscal year 2020 under sections 5344 and 5348 of title 5, United States Code, shall be no less than the percentages in subsection (a) as employees in the same location whose rates of basic pay are adjusted pursuant to the statutory pay systems under section 5303, 5304, and 5304a of title 5, United States Code. Prevailing rate employees at locations where there are no employees whose pay is increased pursuant to sections 5303, 5304, and 5304a of such title 5 and prevailing rate employees described in section 5343(a)(5) of such title 5 shall be considered to be located in the pay locality designated as "Rest of U.S." pursuant to section 5304 of such title 5 for purposes of this subsection.

(c) Funds used to carry out this section shall be paid from appropriations, which are made to each applicable department or agency for salaries and expenses for fiscal year 2020.]

SEC. [749] 737. (a) Notwithstanding the official rate adjusted under section 104 of title 3, United States Code, the rate payable to the Vice President during calendar year [2020] 2021 shall be 1 percent above the rate payable to the Vice President on December 31, [2019] 2020, by operation of section 749 of [division D of Public Law 116–6] *division C of the Consolidated Appropriations Act, 2020 (Public Law 116–93)*, subject to the rounding rules in such section 104.

(b) Notwithstanding the official rate adjusted under section 5318 of title 5, United States Code, or any other provision of law, the payable rate during calendar year [2020] 2021 for an employee serving in an Executive Schedule position, or in a position for which the rate of pay is fixed by statute at an Executive Schedule rate, shall be increased by 1 percent relative to the rate payable for the applicable Executive Schedule level on December 31, [2019] 2020, by operation of section 749 of [division D of Public Law 116–6] *division C of the Consolidated Appropriations Act, 2020 (Public Law 116–93)*, subject to the rounding rules in such section 5318. Such an employee may [not receive a] *receive no other* pay rate increase during calendar year [2020] 2021, except as provided in subsection (i).

(c) Notwithstanding section 401 of the Foreign Service Act of 1980 (Public Law 96–465) or any other provision of law, a chief of mission or ambassador at large is subject to subsection (b) in the same manner as other employees who are paid at an Executive Schedule rate.

(d)

(1) This subsection applies to—

(A) a noncareer appointee in the Senior Executive Service paid a rate of basic pay at or above the official rate for level IV of the Executive Schedule; or

(B) a limited term appointee or limited emergency appointee in the Senior Executive Service serving under a political appointment and paid a rate of basic pay at or above the official rate for level IV of the Executive Schedule.

(2) Notwithstanding sections 5382 and 5383 of title 5, United States Code, an employee described in paragraph (1) *who is serving at the time official rates of the Executive Schedule are adjusted may receive a single increase in the employee's pay rate of no more than 1 percent during calendar year 2021. Any such increase is subject to the normally applicable pay rules and the pay limitations applicable to employees described in paragraph (1) on December 31, 2020, by operation of section 749 of division C of the Consolidated Appropriations Act, 2020 (Public Law 116–93), after those limitations are increased by 1 percent (after applicable rounding). Such an employee may [not receive a] receive no other pay rate increase during calendar year [2020] 2021, except as provided in subsection (i).*

(e) Notwithstanding any other provision of law, any employee paid a rate of basic pay (including any locality-based payments under section 5304 of title 5, United States Code, or similar authority) at or above the official rate for level IV of the Executive Schedule who serves under a political appointment, *at the time official rates of the Executive Schedule are adjusted, may receive a single increase in the employee's pay rate of no more than 1 percent during calendar year 2021. Any such increase is subject to the normally applicable pay rules and the pay limitations applicable to employees described in this subsection on December 31, 2020, by operation of section 749 of division C of the Consolidated Appropriations Act, 2020 (Public Law 116–93), who are covered under the same pay system, after those limitations are increased by 1 percent (after applicable rounding). Such an employee may [not receive] receive no other a pay rate increase during calendar year [2020] 2021, except as provided in subsection (i).* This subsection does not apply to employees in the General Schedule pay system or the Foreign Service pay system, to employees appointed under section 3161 of title 5, United States Code, or to employees in another pay system whose position would be classified at GS-15 or below if chapter 51 of title 5, United States Code, applied to them.

(f) Nothing in subsections (b) through (e) shall prevent employees who do not serve under a political appointment from receiving pay increases as otherwise provided under applicable law.

(g) This section does not apply to an individual who makes an election to retain Senior Executive Service basic pay under section 3392(c) of title 5, United States Code, for such time as that election is in effect.

(h) This section does not apply to an individual who makes an election to retain Senior Foreign Service pay entitlements under section 302(b) of the Foreign Service Act of 1980 (Public Law 96–465) for such time as that election is in effect.

(i) Notwithstanding subsections (b) through (e), an employee in a covered position may receive a pay rate increase upon an authorized movement to a different covered position only if that new position has higher-level duties and a pre-established level or range of pay higher than the level or range for the position held immediately before the movement. Any such increase must be based on the rates of pay and [applicable limitations on payable rates of pay in effect] *the pay limitations applicable to covered employees in the same pay system on December 31, [2019] 2020, by operation of section 749 of [division D of Public Law 116–6] division C of the Consolidated Appropriations Act, 2020 (Public Law 116–93), after those rates and pay limitations are increased by 1 percent (after applicable rounding).*

(j) Notwithstanding any other provision of law, for an individual who is newly appointed to a covered position during the period of time subject to this section, the initial pay rate shall be based on the rates of pay and [applicable limitations on payable rates of pay in effect] *the pay limitations applicable to covered employees in the same pay system on December 31, [2019] 2020, by operation of section 749 of [division D of Public Law 116–6] division C of the Consolidated Appropriations Act, 2020 (Public Law 116–93), after those rates and pay limitations are increased by 1 percent (after applicable rounding).*

(k) If an employee affected by this section is subject to a biweekly pay period that begins in calendar year [2020] 2021 but ends in calendar year [2021] 2022, the bar on the employee's receipt of pay rate increases shall apply through the end of that pay period.

(l) For the purpose of this section, the term "covered position" means a position occupied by an employee whose pay is restricted under this section.

(m) This section takes effect on the first day of the first applicable pay period beginning on or after January 1, [2020] 2021.

[SEC. 750. Except as expressly provided otherwise, any reference to "this Act" contained in any title other than title IV or VIII shall not apply to such title IV or VIII.] *(Financial Services and General Government Appropriations Act, 2020.)*

LEGISLATIVE BRANCH

SENATE

Federal Funds

EXPENSE ALLOWANCES

For expense allowances of the Vice President, **[\$18,760]** \$20,000; the President Pro Tempore of the Senate, **[\$37,520]** \$40,000; Majority Leader of the Senate, **[\$39,920]** \$40,000; Minority Leader of the Senate, **[\$39,920]** \$40,000; Majority Whip of the Senate, **[\$9,980]** \$10,000; Minority Whip of the Senate, **[\$9,980]** \$10,000; President Pro Tempore Emeritus, \$15,000; Chairmen of the Majority and Minority Conference Committees, **[\$4,690]** \$5,000 for each Chairman; and Chairmen of the Majority and Minority Policy Committees, **[\$4,690]** \$5,000 for each Chairman; in all, **[\$189,840]** \$195,000.

REPRESENTATION ALLOWANCES

For representation allowances of the Majority and Minority Leaders of the Senate, **[\$14,070]** \$15,000 for each such Leader; in all, **[\$28,140]** \$30,000. (*Legislative Branch Appropriations Act, 2020.*)

SALARIES, OFFICERS AND EMPLOYEES

For compensation of officers, employees, and others as authorized by law, including agency contributions, **[\$216,321,170]** \$223,658,000, which shall be paid from this appropriation as follows:

OFFICE OF THE VICE PRESIDENT

For the Office of the Vice President, **[\$2,533,000]** \$2,591,000.

OFFICE OF THE PRESIDENT PRO TEMPORE

For the Office of the President Pro Tempore, **[\$759,000]** \$777,000.

OFFICE OF THE PRESIDENT PRO TEMPORE EMERITUS

For the Office of the President Pro Tempore Emeritus, **[\$326,000]** \$335,000.

OFFICES OF THE MAJORITY AND MINORITY LEADERS

For Offices of the Majority and Minority Leaders, **[\$5,506,000]** \$5,632,000.

OFFICES OF THE MAJORITY AND MINORITY WHIPS

For Offices of the Majority and Minority Whips, **[\$3,525,000]** \$3,607,000.

COMMITTEE ON APPROPRIATIONS

For salaries of the Committee on Appropriations, **[\$15,793,000]** \$16,143,000.

CONFERENCE COMMITTEES

For the Conference of the Majority and the Conference of the Minority, at rates of compensation to be fixed by the Chairman of each such committee, **[\$1,738,000]** \$1,778,000 for each such committee; in all, **[\$3,476,000]** \$3,556,000.

OFFICES OF THE SECRETARIES OF THE CONFERENCE OF THE MAJORITY AND THE CONFERENCE OF THE MINORITY

For Offices of the Secretaries of the Conference of the Majority and the Conference of the Minority, **[\$862,000]** \$884,000.

POLICY COMMITTEES

For salaries of the Majority Policy Committee and the Minority Policy Committee, **[\$1,776,000]** \$1,817,000 for each such committee; in all, **[\$3,552,000]** \$3,634,000.

OFFICE OF THE CHAPLAIN

For Office of the Chaplain, **[\$510,000]** \$547,000.

OFFICE OF THE SECRETARY

For Office of the Secretary, **[\$26,818,000]** \$27,422,000.

OFFICE OF THE SERGEANT AT ARMS AND DOORKEEPER

For Office of the Sergeant at Arms and Doorkeeper, **[\$85,867,000]** \$90,149,000.

OFFICES OF THE SECRETARIES FOR THE MAJORITY AND MINORITY

For Offices of the Secretary for the Majority and the Secretary for the Minority, **[\$1,940,000]** \$1,986,000.

AGENCY CONTRIBUTIONS AND RELATED EXPENSES

For agency contributions for employee benefits, as authorized by law, and related expenses, **[\$64,854,170]** \$66,395,000. (*Legislative Branch Appropriations Act, 2020.*)

OFFICE OF THE LEGISLATIVE COUNSEL OF THE SENATE

For salaries and expenses of the Office of the Legislative Counsel of the Senate, **[\$6,397,000]** \$6,823,000. (*Legislative Branch Appropriations Act, 2020.*)

OFFICE OF SENATE LEGAL COUNSEL

For salaries and expenses of the Office of Senate Legal Counsel, **[\$1,197,000]** \$1,223,000. (*Legislative Branch Appropriations Act, 2020.*)

EXPENSE ALLOWANCES OF THE SECRETARY OF THE SENATE, SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE, AND SECRETARIES FOR THE MAJORITY AND MINORITY OF THE SENATE

For expense allowances of the Secretary of the Senate, **[\$7,110]** \$7,500; Sergeant at Arms and Doorkeeper of the Senate, **[\$7,110]** \$7,500; Secretary for the Majority of the Senate, **[\$7,110]** \$7,500; Secretary for the Minority of the Senate, **[\$7,110]** \$7,500; in all, **[\$28,440]** \$30,000. (*Legislative Branch Appropriations Act, 2020.*)

CONTINGENT EXPENSES OF THE SENATE

INQUIRIES AND INVESTIGATIONS

For expenses of inquiries and investigations ordered by the Senate, or conducted under paragraph 1 of rule XXVI of the Standing Rules of the Senate, section 112 of the Supplemental Appropriations and Rescission Act, 1980 (Public Law 96-304), and Senate Resolution 281, 96th Congress, agreed to March 11, 1980, **[\$133,265,000]** \$133,265,000, of which **[\$26,650,000]** \$13,327,000 shall remain available until September 30, **[2022]** 2023. (*Legislative Branch Appropriations Act, 2020.*)

U.S. SENATE CAUCUS ON INTERNATIONAL NARCOTICS CONTROL

For expenses of the United States Senate Caucus on International Narcotics Control, **[\$508,000]** \$516,000. (*Legislative Branch Appropriations Act, 2020.*)

SECRETARY OF THE SENATE

For expenses of the Office of the Secretary of the Senate, **[\$14,536,000]** \$9,536,000 of which **[\$11,436,000]** \$7,036,000 shall remain available until September 30, **[2024]** 2025 and of which **[\$3,100,000]** \$2,500,000 shall remain available until expended. (*Legislative Branch Appropriations Act, 2020.*)

SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE

For expenses of the Office of the Sergeant at Arms and Doorkeeper of the Senate, **[\$128,753,000]** \$140,482,000, of which **[\$135,742,000]** shall remain available until September 30, **[2024]** 2025 and of which **[\$4,740,000]** shall remain available until expended. (*Legislative Branch Appropriations Act, 2020.*)

MISCELLANEOUS ITEMS

For miscellaneous items, **[\$18,871,410]** \$20,877,100 which shall remain available until September 30, **[2022]** 2023. (*Legislative Branch Appropriations Act, 2020.*)

SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT

For Senators' Official Personnel and Office Expense Account, **[\$449,000,000]** \$481,286,000 of which **[\$20,128,950]** \$20,128,500 shall remain available until September 30, **[2022]** 2023 and of which \$6,000,000 shall be allocated solely for the purpose of providing financial compensation to Senate interns. (*Legislative Branch Appropriations Act, 2020*)

OFFICIAL MAIL COSTS

For expenses necessary for official mail costs of the Senate, \$300,000. (*Legislative Branch Appropriations Act, 2020*)

ADMINISTRATIVE PROVISION

[REQUIRING AMOUNTS REMAINING IN SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT]

[SEC. 101. Notwithstanding any other provision of law, any amounts appropriated under this Act under the heading "Senate" under the heading "Contingent Expenses of the Senate" under the heading "Senators' Official Personnel and Office Expense Account" shall be available for obligation only during the fiscal year or fiscal years for which such amounts are made available. Any unexpended balances under such allowances remaining after the end of the period of availability shall be returned to the Treasury in accordance with the undesignated paragraph under the center heading "General Provision" under chapter XI of the Third Supplemental Appropriation Act, 1957 (2 U.S.C. 4107) and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).] (*Legislative Branch Appropriations Act, 2020*)

HOUSE OF REPRESENTATIVES

Federal Funds

SALARIES AND EXPENSES

For salaries and expenses of the House of Representatives, **[\$1,370,725,000]** \$1,530,805,000, as follows:

HOUSE LEADERSHIP OFFICES

For salaries and expenses, as authorized by law, \$28,884,000, including: Office of the Speaker, \$8,295,000, including \$25,000 for official expenses of the Speaker; Office of the Majority Floor Leader, \$2,947,000, including \$10,000 for official expenses of the Majority Leader; Office of the Minority Floor Leader, \$8,295,000, including \$10,000 for official expenses of the Minority Leader; Office of the Majority Whip, including the Chief Deputy Majority Whip, \$2,448,000, including \$5,000 for official expenses of the Majority Whip; Office of the Minority Whip, including the Chief Deputy Minority Whip, \$2,219,000, including \$5,000 for official expenses of the Minority Whip; Republican Conference, \$2,340,000; Democratic Caucus, \$2,340,000: *Provided*, That such amount for salaries and expenses shall remain available from January 3, **[2020]** 2021 until January 2, **[2021]** 2022.

MEMBERS' REPRESENTATIONAL ALLOWANCES

INCLUDING MEMBERS' CLERK HIRE, OFFICIAL EXPENSES OF MEMBERS, AND OFFICIAL MAIL

For Members' representational allowances, including Members' clerk hire, official expenses, and official mail, **[\$615,000,000]** \$672,000,000.

ALLOWANCE FOR COMPENSATION OF INTERNS IN MEMBER OFFICES

For the allowance established under section 120 of the Legislative Branch Appropriations Act, 2019 (2 U.S.C. 5322a) for the compensation of interns who serve in the offices of Members of the House of Representatives, \$11,025,000, to remain available through December 31, **[2020]** 2021: *Provided*, That notwithstanding section 120(b) of such Act, an office of a Member of the House of Representatives may use not more than \$25,000 of the allowance available under this heading during calendar year **[2020]** 2021.

ALLOWANCE FOR COMPENSATION OF INTERNS IN HOUSE LEADERSHIP OFFICES

For the allowance established under section 113 of **[this Act]** the *Legislative Branch Appropriations Act, 2020* for the compensation of interns who serve in House leadership offices, \$365,000, to remain available through December 31, **[2020]** 2021: *Provided*, That of the amount provided under this heading, \$200,000

shall be available for the compensation of interns who serve in House leadership offices of the majority, to be allocated among such offices by the Speaker of the House of Representatives, and \$165,000 shall be available for the compensation of interns who serve in House leadership offices of the minority, to be allocated among such offices by the Minority Floor Leader.

COMMITTEE EMPLOYEES

STANDING COMMITTEES, SPECIAL AND SELECT

For salaries and expenses of standing committees, special and select, authorized by House resolutions, **[\$135,359,000]** \$138,100,000: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, **[2020]** 2022, except that **[\$2,850,000]** \$3,100,000 of such amount shall remain available until expended for committee room upgrading.

COMMITTEE ON APPROPRIATIONS

For salaries and expenses of the Committee on Appropriations, **[\$24,269,000]** \$24,725,000, including studies and examinations of executive agencies and temporary personal services for such committee, to be expended in accordance with section 202(b) of the Legislative Reorganization Act of 1946 and to be available for reimbursement to agencies for services performed: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, **[2020]** 2022.

SALARIES, OFFICERS AND EMPLOYEES

For compensation and expenses of officers and employees, as authorized by law, **[\$231,903,000]** \$276,742,000, including: for salaries and expenses of the Office of the Clerk, including the positions of the Chaplain and the Historian, and including not more than \$25,000 for official representation and reception expenses, of which not more than \$20,000 is for the Family Room and not more than \$2,000 is for the Office of the Chaplain, **[\$30,766,000]** \$32,824,000, of which **[\$1,500,000]** \$2,076,000 shall remain available until expended; for salaries and expenses of the Office of the Sergeant at Arms, including the position of Superintendent of Garages and the Office of Emergency Management, and including not more than \$3,000 for official representation and reception expenses, **[\$20,225,000]** \$26,086,000, of which **[\$10,267,000]** \$11,821,000 shall remain available until expended; for salaries and expenses of the Office of the Chief Administrative Officer including not more than \$3,000 for official representation and reception expenses, **[\$153,550,000]** \$190,236,000, of which **[\$11,639,000]** \$26,346,000 shall remain available until expended, including \$10,000,000 of which shall be used for initiatives recommended by the Select Committee on Modernization and approved by the Committee on House Administration; for salaries and expenses of the Office of Diversity and Inclusion, \$1,000,000; for salaries and expenses of the Office of the Whistleblower Ombudsman, \$750,000; for salaries and expenses of the Office of the Inspector General, \$5,019,000; for salaries and expenses of the Office of General Counsel, **[\$1,751,000]** \$1,815,000; for salaries and expenses of the Office of the Parliamentarian, including the Parliamentarian, \$2,000 for preparing the Digest of Rules, and not more than \$1,000 for official representation and reception expenses, \$2,088,000; for salaries and expenses of the Office of the Law Revision Counsel of the House, **[\$3,419,000]** \$3,469,000; for salaries and expenses of the Office of the Legislative Counsel of the House, \$11,937,000; for salaries and expenses of the Office of Interparliamentary Affairs, **[\$814,000]** \$934,000; for other authorized employees, \$584,000.

ALLOWANCES AND EXPENSES

For allowances and expenses as authorized by House resolution or law, **[\$323,920,000]** \$378,964,000, including: supplies, materials, administrative costs and Federal tort claims, **[\$1,526,000]** \$1,555,000; official mail for committees, leadership offices, and administrative offices of the House, \$190,000; Government contributions for health, retirement, Social Security, and other applicable employee benefits, **[\$294,377,000]** \$340,000,000, to remain available until March 31, **[2021]** 2022; salaries and expenses for Business Continuity and Disaster Recovery, **[\$17,668,000]** \$18,508,000, of which \$5,000,000 shall remain available until expended; transition activities for new members and staff, **[\$4,489,000]** \$13,000,000, to remain available until expended; Wounded Warrior Program and the Congressional Gold Star Family Fellowship Program, \$3,000,000, to remain available until expended; Office of Congressional Ethics, **[\$1,670,000]** \$1,711,000; and miscellaneous items including purchase, exchange, maintenance, repair and operation of House motor vehicles, interparliamentary receptions, and gratuities to heirs of deceased employees of the House, \$1,000,000. (*Legislative Branch Appropriations Act, 2020*)

ADMINISTRATIVE PROVISIONS

REQUIRING AMOUNTS REMAINING IN MEMBERS' REPRESENTATIONAL ALLOWANCES TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT

SEC. 110. (a) Notwithstanding any other provision of law, any amounts appropriated under this Act for "HOUSE OF REPRESENTATIVES-SALARIES and Expenses-members' representational allowances" shall be available only for fiscal year [2020] 2021. Any amount remaining after all payments are made under such allowances for fiscal year [2020] 2021 shall be deposited in the Treasury and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

(b) The Committee on House Administration of the House of Representatives shall have authority to prescribe regulations to carry out this section.

(c) As used in this section, the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.

LIMITATION ON AMOUNT AVAILABLE TO LEASE VEHICLES

SEC. 111. None of the funds made available in this Act may be used by the Chief Administrative Officer of the House of Representatives to make any payments from any Members' Representational Allowance for the leasing of a vehicle, excluding mobile district offices, in an aggregate amount that exceeds \$1,000 for the vehicle in any month.

ALLOWANCE FOR COMPENSATION OF INTERNS IN MEMBER OFFICES

SEC. 112. (a) Section 120(f) of the Legislative Branch Appropriations Act, 2019 (2 U.S.C. 5322a(f)) is amended by striking the period at the end and inserting the following: ", and such sums as may be necessary for fiscal year 2020 and each succeeding fiscal year."

(b) Section 101(c)(2) of the Legislative Branch Appropriations Act, 1993 (2 U.S.C. 5507(c)(2)) is amended by striking "and "Office of the Attending Physician." and inserting "'Office of the Attending Physician", and "Allowance for Compensation of Interns in Member Offices"."

(c) The amendments made by this section shall take effect as if included in the enactment of section 120 of the Legislative Branch Appropriations Act, 2019 (2 U.S.C. 5322a).

ALLOWANCE FOR COMPENSATION OF INTERNS IN HOUSE LEADERSHIP OFFICES

SEC. 113. (a) There is established for the House of Representatives an allowance which shall be available for the compensation of interns who serve in House leadership offices.

(b) Section 104(b) of the House of Representatives Administrative Reform Technical Corrections Act (2 U.S.C. 5321(b)) shall apply with respect to an intern who is compensated under the allowance under this section in the same manner as such section applies with respect to an intern who is compensated under the Members' Representational Allowance.

(c) In this section—

(1) the term "House leadership office" means, with respect to a fiscal year, any office for which the appropriation for salaries and expenses of the office for the fiscal year is provided under the heading "House Leadership Offices" in the Act making appropriations for the Legislative Branch for the fiscal year; and

(2) term "intern", with respect to a House leadership office, has the meaning given such term with respect to a Member of the House of Representatives in section 104(c)(2) of the House of Representatives Administrative Reform Technical Corrections Act (2 U.S.C. 5321(c)(2)).

(d) There are authorized to be appropriated to carry out this section such sums as may be necessary for fiscal year 2020 and each succeeding fiscal year.

(e) Section 101(c)(2) of the Legislative Branch Appropriations Act, 1993 (2 U.S.C. 5507(c)(2)), as amended by section 112(b), is further amended by striking ", and "Allowance for Compensation of Interns in Member Offices" and inserting ", "Allowance for Compensation of Interns in Member Offices", and "Allowance for Compensation of Interns in House Leadership Offices"."

(f) This section and the amendments made by this section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

CYBERSECURITY ASSISTANCE FOR HOUSE OF REPRESENTATIVES

SEC. [114] 112. The head of any Federal entity that provides assistance to the House of Representatives in the House's efforts to deter, prevent, mitigate, or remediate cybersecurity risks to, and incidents involving, the information systems of the House shall take all necessary steps to ensure the constitutional integrity of the separate branches of the government at all stages of providing the assistance, including applying minimization procedures to limit the spread or sharing of privileged House and Member information.

RESCISSIONS OF FUNDS

SEC. 115. (a) Of the unobligated balances available from prior appropriations Acts from the revolving fund established under House Resolution 94, Ninety-Eighth Congress, agreed to February 8, 1983, as enacted into permanent law by section 110

of the Congressional Operations Appropriations Act, 1984 (2 U.S.C. 4917), \$1,000,000 is hereby rescinded.

(b) Of the unobligated balances available from prior appropriations Acts from the revolving fund established in the item relating to "Stationery" under the heading "House of Representatives, Contingent Expenses of the House" in the first section of the Legislative Branch Appropriation Act, 1948 (2 U.S.C. 5534), \$4,000,000 is hereby rescinded.

USE OF AVAILABLE BALANCES OF EXPIRED APPROPRIATIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 116. (a) Subject to section 119 of the Legislative Branch Appropriations Act, 2018 (2 U.S.C. 5511), available balances of expired appropriations for the House of Representatives shall be available to the House of Representatives—

(1) for the payment of a death gratuity which is specifically appropriated by law and which is made in connection with the death of an employee of the House of Representatives, without regard to the fiscal year in which the payment is made; and

(2) for deposit into the account established under section 109 of the Legislative Branch Appropriations Act, 1998 (2 U.S.C. 5508) for making payments of the House of Representatives to the Employees' Compensation Fund under section 8147 of title 5, United States Code, and for reimbursing the Secretary of Labor for any amounts paid with respect to unemployment compensation payments for former employees of the House.

(b) This section shall apply with respect to funds appropriated or otherwise made available in fiscal year 2020 and each succeeding fiscal year.

REDUCTION IN AMOUNT OF TUITION CHARGED FOR CHILDREN OF EMPLOYEES OF HOUSE CHILD CARE CENTER

SEC. 117. (a) Section 312(d) of the Legislative Branch Appropriations Act, 1992 (2 U.S.C. 2062(d)) is amended by adding at the end the following new paragraph:

"(4) In the case of a child of an employee of the center who is furnished care at the center, the Chief Administrative Officer shall reduce the amount of tuition otherwise charged with respect to such child during a month by the greater of—

"(A) 50 percent; or

"(B) such percentage as may be necessary to ensure that the total amount of tuition paid by the employee with respect to all children of the employee who are furnished care at the center during the month does not exceed \$1,000."

(b) Section 312(d)(2) of such Act (2 U.S.C. 2062(d)(2)) is amended by inserting after "similar benefits and programs" the following: "(including the subsidies provided on behalf of employees of the center as a result of reductions in the amount of tuition otherwise charged with respect to children of such employees under paragraph (4))".

(c) The amendments made by this section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

(Legislative Branch Appropriations Act, 2020.)

JOINT ITEMS

Federal Funds

For Joint Committees, as follows:

JOINT CONGRESSIONAL COMMITTEE ON INAUGURAL CEREMONIES OF 2021

For salaries and expenses associated with conducting the inaugural ceremonies of the President and Vice President of the United States, January 20, 2021, in accordance with such program as may be adopted by the joint congressional committee authorized to conduct the inaugural ceremonies of 2021, \$1,500,000 to be disbursed by the Secretary of the Senate and to remain available until September 30, 2021: *Provided*, That funds made available under this heading shall be available for payment, on a direct or reimbursable basis, whether incurred on, before, or after, October 1, 2020: *Provided further*, That the compensation of any employee of the Committee on Rules and Administration of the Senate who has been designated to perform service with respect to the inaugural ceremonies of 2021 shall continue to be paid by the Committee on Rules and Administration, but the account from which such staff member is paid may be reimbursed for the services of the staff member out of funds made available under this heading: *Provided further*, That there are authorized to be paid from the appropriations account for "Expenses of Inquiries and Investigations" of the Senate such sums as may be necessary, without fiscal year limitation, for agency contributions related to the compensation of employees of the joint congressional committee. (Legislative Branch Appropriations Act, 2020.)

JOINT ECONOMIC COMMITTEE

For salaries and expenses of the Joint Economic Committee, \$4,203,000, to be disbursed by the Secretary of the Senate. (*Legislative Branch Appropriations Act, 2020.*)

3020	Outlays (gross)	-361	-369	-414
3041	Recoveries of prior year unpaid obligations, expired	-2		
3050	Unpaid obligations, end of year	20	30	33
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	19	20	30
3200	Obligated balance, end of year	20	30	33

JOINT COMMITTEE ON TAXATION

For salaries and expenses of the Joint Committee on Taxation, **[\$11,563,000]** \$11,905,000, to be disbursed by the Chief Administrative Officer of the House of Representatives.

For other joint items, as follows: (*Legislative Branch Appropriations Act, 2020.*)

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	365	379	417
Outlays, gross:				
4010	Outlays from new discretionary authority	344	349	384
4011	Outlays from discretionary balances	17	20	30
4020	Outlays, gross (total)	361	369	414
4180	Budget authority, net (total)	365	379	417
4190	Outlays, net (total)	361	369	414

OFFICE OF THE ATTENDING PHYSICIAN

For medical supplies, equipment, and contingent expenses of the emergency rooms, and for the Attending Physician and his assistants, including:

- (1) an allowance of \$2,175 per month to the Attending Physician;
- (2) an allowance of \$1,300 per month to the Senior Medical Officer;
- (3) an allowance of \$725 per month each to three medical officers while on duty in the Office of the Attending Physician;
- (4) an allowance of \$725 per month to 2 assistants and \$580 per month each not to exceed 11 assistants on the basis heretofore provided for such assistants; and
- (5) **[\$2,800,000]** \$2,796,000 for reimbursement to the Department of the Navy for expenses incurred for staff and equipment assigned to the Office of the Attending Physician, which shall be advanced and credited to the applicable appropriation or appropriations from which such salaries, allowances, and other expenses are payable and shall be available for all the purposes thereof, **[\$3,868,000]** \$3,869,000, to be disbursed by the Chief Administrative Officer of the House of Representatives. (*Legislative Branch Appropriations Act, 2020.*)

Object Classification (in millions of dollars)

Identification code 002-0477-0-1-801	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	265	283	306
12.1	Civilian personnel benefits	99	96	112
99.0	Direct obligations	364	379	418
99.5	Adjustment for rounding			-1
99.9	Total new obligations, unexpired accounts	364	379	417

Employment Summary

Identification code 002-0477-0-1-801	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	2,295	2,514	2,514

OFFICE OF CONGRESSIONAL ACCESSIBILITY SERVICES

SALARIES AND EXPENSES

For salaries and expenses of the Office of Congressional Accessibility Services, **[\$1,509,000]** \$1,536,000, to be disbursed by the Secretary of the Senate. (*Legislative Branch Appropriations Act, 2020.*)

GENERAL EXPENSES

For necessary expenses of the Capitol Police, including motor vehicles, communications and other equipment, security equipment and installation, uniforms, weapons, supplies, materials, training, medical services, forensic services, stenographic services, personal and professional services, the employee assistance program, the awards program, postage, communication services, travel advances, relocation of instructor and liaison personnel for the Federal Law Enforcement Training Center, and not more than \$5,000 to be expended on the certification of the Chief of the Capitol Police in connection with official representation and reception expenses, **[\$85,279,000]** \$103,144,000, of which \$3,600,000 shall remain available until expended, to fund the Joint Audible Warning System, to be disbursed by the Chief of the Capitol Police or his designee: *Provided*, That, notwithstanding any other provision of law, the cost of basic training for the Capitol Police at the Federal Law Enforcement Training Center for fiscal year **[2020]** 2021 shall be paid by the Secretary of Homeland Security from funds available to the Department of Homeland Security. (*Legislative Branch Appropriations Act, 2020.*)

CAPITOL POLICE

Federal Funds

SALARIES

For salaries of employees of the Capitol Police, including overtime, hazardous duty pay, and Government contributions for health, retirement, social security, professional liability insurance, and other applicable employee benefits, **[\$379,062,000]** \$417,197,000 of which overtime shall not exceed **[\$47,048,000]** \$50,246,000 unless the Committee on Appropriations of the House and Senate are notified, to be disbursed by the Chief of the Capitol Police or his designee. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 002-0477-0-1-801	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Salaries (Direct)	364	379	417
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	375	379	417
1120	Appropriations transferred to other acct [002-0476]	-10		
1160	Appropriation, discretionary (total)	365	379	417
1930	Total budgetary resources available	365	379	417
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	19	20	30
3010	New obligations, unexpired accounts	364	379	417

Identification code 002-0476-0-1-801	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	General Expenses (Direct)	91	85	103
0801	Reimbursable program activity	7		
0900	Total new obligations, unexpired accounts	98	85	103
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	16	16	18
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	82	85	103
1121	Appropriations transferred from other acct [002-0477]	10		
1160	Appropriation, discretionary (total)	92	85	103
Spending authority from offsetting collections, discretionary:				
1700	Collected	7	2	2
1701	Change in uncollected payments, Federal sources		1	1
1750	Spending auth from offsetting collections, disc (total)	7	3	3
1900	Budget authority (total)	99	88	106
1930	Total budgetary resources available	115	104	124

Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1	-1	-1
1941	Unexpired unobligated balance, end of year	16	18	20

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	47	58	48
3010	New obligations, unexpired accounts	98	85	103
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-83	-95	-104
3041	Recoveries of prior year unpaid obligations, expired	-5		
3050	Unpaid obligations, end of year	58	48	47
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1			-1
3070	Change in uncollected pymts, Fed sources, unexpired		-1	-1
3090	Uncollected pymts, Fed sources, end of year		-1	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	47	58	47
3200	Obligated balance, end of year	58	47	45

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	99	88	106
Outlays, gross:				
4010	Outlays from new discretionary authority	46	52	63
4011	Outlays from discretionary balances	37	43	41
4020	Outlays, gross (total)	83	95	104
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-7	-2	-2
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired		-1	-1
4070	Budget authority, net (discretionary)	92	85	103
4080	Outlays, net (discretionary)	76	93	102
4180	Budget authority, net (total)	92	85	103
4190	Outlays, net (total)	76	93	102

Object Classification (in millions of dollars)

Identification code 002-0476-0-1-801				
	2019 actual	2020 est.	2021 est.	
Direct obligations:				
21.0	Travel and transportation of persons	16	20	21
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.2	Other services from non-Federal sources	41	42	51
26.0	Supplies and materials	6	6	6
31.0	Equipment	25	14	22
99.0	Direct obligations	91	85	103
99.0	Reimbursable obligations	8		
99.5	Adjustment for rounding	-1		
99.9	Total new obligations, unexpired accounts	98	85	103

SECURITY ENHANCEMENTS

Program and Financing (in millions of dollars)

Identification code 002-0461-0-1-801				
	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

ADMINISTRATIVE PROVISIONS

§ 120. Section 908(c) of the Emergency Supplemental Act, 2002 (2 U.S.C. 1926(c)), is amended by striking "\$40,000" and inserting "\$60,000". (Legislative Branch Appropriations Act, 2020.)

OFFICE OF CONGRESSIONAL WORKPLACE RIGHTS

Federal Funds

SALARIES AND EXPENSES

For salaries and expenses necessary for the operation of the Office of Congressional Workplace Rights, ~~[\$6,333,000]~~ \$7,500,000, of which \$1,000,000 shall remain available until September 30, ~~[2021]~~ 2022, and of which not more than \$1,000 may be expended on the certification of the Executive Director in connection with official representation and reception expenses. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 009-1600-0-1-801				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Salaries and Expenses (Direct)	6	6	8
0900	Total new obligations, unexpired accounts	6	6	8
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	6	6	8
1930	Total budgetary resources available	6	6	8

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	2	2
3010	New obligations, unexpired accounts	6	6	8
3020	Outlays (gross)	-5	-6	-8
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	2	2
3200	Obligated balance, end of year	2	2	2

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	6	6	8
Outlays, gross:				
4010	Outlays from new discretionary authority	4	6	8
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	5	6	8
4180	Budget authority, net (total)	6	6	8
4190	Outlays, net (total)	5	6	8

The Congressional Accountability Act (CAA) established an independent Office of Congressional Workplace Rights (OCWR) to apply the rights and protections of the following labor and employment statutes to covered employees within the Legislative Branch: the Fair Labor Standards Act, Title VII of the Civil Rights Act, the Americans with Disabilities Act, the Age Discrimination in Employment Act, the Family and Medical Leave Act, the Occupational Safety and Health Act, the Federal Service Labor Management Relations Act, the Employee Polygraph Protection Act, the Worker Adjustment and Retraining Notification Act, the Rehabilitation Act, and the Uniformed Services Employment and Reemployment Rights Act. This Act was amended in 1998 to apply the Veterans Employment Opportunities Act and in 2008 to apply the Genetic Information and Nondiscrimination Act. On December 21, 2018, the Congressional Accountability Act of 1995 Reform Act was signed into law expanding the Office's duties and responsibilities, as well as the number of employees covered by the CAA.

The Office of Congressional Workplace Rights administers and ensures the integrity of the neutral dispute resolution process concerning claims that arise under the CAA. The Office also carries out an education and training program for congressional Members, employing offices and congressional employees to assist them in understanding their rights and responsibilities under the CAA.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 009–1600–0–1–801	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	4	4	4
99.5 Adjustment for rounding	2	2	4
99.9 Total new obligations, unexpired accounts	6	6	8

Employment Summary

Identification code 009–1600–0–1–801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	28	28	31

AWARDS AND SETTLEMENTS FUNDS

Section 415 of the Congressional Accountability Act (CAA) established "an account of the Office in the Treasury of the United States for the payment of awards and settlements under this Act," and further authorized to be appropriated "such sums as may be necessary to pay such awards and settlements." Section 415 stipulated that awards and settlements under the CAA should only be paid from that account, which was to be kept separate from the operating expenses account of the Office of Compliance.

The Legislative Branch Appropriations Acts have appropriated funds for awards and settlements under the CAA by means of the following language:

Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of Public Law 104–1 to pay awards and settlements as authorized under such subsection.

CONGRESSIONAL BUDGET OFFICE

Federal Funds

SALARIES AND EXPENSES

For salaries and expenses necessary for operation of the Congressional Budget Office, including not more than \$6,000 to be expended on the certification of the Director of the Congressional Budget Office in connection with official representation and reception expenses, **[\$54,941,000] \$57,292,000: Provided, That [the Director shall use not less than \$500,000 of the amount made available under this heading for (1) improving technical systems, processes, and models for the purpose of improving the transparency of estimates of budgetary effects to Members of Congress, employees of Members of Congress, and the public, and (2) to increase the availability of models, economic assumptions, and data for Members of Congress, employees of Members of Congress, and the public], of the total amount appropriated, \$45,000 shall remain available until expended. (Legislative Branch Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 008–0100–0–1–801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	51	55	57
Budgetary resources:			
Budget authority:			
1100 Appropriations, discretionary:			
1100 Appropriation	51	55	57
1930 Total budgetary resources available	51	55	57
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	6	6
3010 New obligations, unexpired accounts	51	55	57
3020 Outlays (gross)	–51	–55	–57
3050 Unpaid obligations, end of year	6	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	6	6
3200 Obligated balance, end of year	6	6	6

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	51	55	57
Outlays, gross:			
4010 Outlays from new discretionary authority	47	50	51
4011 Outlays from discretionary balances	4	5	6
4020 Outlays, gross (total)	51	55	57
4180 Budget authority, net (total)	51	55	57
4190 Outlays, net (total)	51	55	57

The Congressional Budget Office (CBO) was established as a non-partisan office of Congress by Title II of the Congressional Budget and Impoundment Control Act of 1974 (2 U.S.C. 601 et seq.). CBO provides objective economic and budgetary analysis and information to assist Congress in the fulfillment of its responsibilities. That information includes forecasts of the economy, analyses of economic trends and alternative fiscal policies, 10-year and long-term projections of Federal spending and revenue, and, upon request, studies on budget-related issues. In addition, CBO provides Congress with multi-year cost estimates for reported bills, as well as analyses of the costs of state, local, tribal, or private sector mandates.

Object Classification (in millions of dollars)

Identification code 008–0100–0–1–801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	34	35
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	34	36	37
12.1 Civilian personnel benefits	12	14	15
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1		
99.9 Total new obligations, unexpired accounts	51	55	57

Employment Summary

Identification code 008–0100–0–1–801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	249	264	264

ARCHITECT OF THE CAPITOL

Federal Funds

CAPITAL CONSTRUCTION AND OPERATIONS

For salaries for the Architect of the Capitol, and other personal services, at rates of pay provided by law; for all necessary expenses for surveys and studies, construction, operation, and general and administrative support in connection with facilities and activities under the care of the Architect of the Capitol including the Botanic Garden; electrical substations of the Capitol, Senate and House office buildings, and other facilities under the jurisdiction of the Architect of the Capitol; including furnishings and office equipment; including not more than \$5,000 for official reception and representation expenses, to be expended as the Architect of the Capitol may approve; for purchase or exchange, maintenance, and operation of a passenger motor vehicle, **[\$120,000,000] \$139,239,000, of which \$5,900,000 shall remain available until September 30, 2025. (Legislative Branch Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 001–0100–0–1–801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General Administration (Direct)	102	120	139
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	104	120	139

Spending authority from offsetting collections, discretionary:			
1700	Collected	1	
1900	Budget authority (total)	105	120 139
1930	Total budgetary resources available	106	122 141
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-2	
1941	Unexpired unobligated balance, end of year	2	2 2

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	23	27 13
3010	New obligations, unexpired accounts	102	120 139
3011	Obligations ("upward adjustments"), expired accounts	8	
3020	Outlays (gross)	-102	-134 -137
3041	Recoveries of prior year unpaid obligations, expired	-4	
3050	Unpaid obligations, end of year	27	13 15
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	23	27 13
3200	Obligated balance, end of year	27	13 15

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	105	120 139
Outlays, gross:			
4010	Outlays from new discretionary authority	78	108 125
4011	Outlays from discretionary balances	24	26 12
4020	Outlays, gross (total)	102	134 137
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-1	
4033	Non-Federal sources	-4	
4040	Offsets against gross budget authority and outlays (total)	-5	
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	4	
4070	Budget authority, net (discretionary)	104	120 139
4080	Outlays, net (discretionary)	97	134 137
4180	Budget authority, net (total)	104	120 139
4190	Outlays, net (total)	97	134 137

Object Classification (in millions of dollars)

Identification code 001-0100-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	42	47 52
11.5	Other personnel compensation	1	1 1
11.9	Total personnel compensation	43	48 53
12.1	Civilian personnel benefits	17	19 22
23.1	Rental payments to GSA	1	1 1
25.1	Advisory and assistance services	17	21 30
25.4	Operation and maintenance of facilities	18	23 23
26.0	Supplies and materials	2	2 2
31.0	Equipment	4	6 8
99.9	Total new obligations, unexpired accounts	102	120 139

Employment Summary

Identification code 001-0100-0-1-801	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	380	407 445

CAPITOL BUILDING

For all necessary expenses for the maintenance, care and operation of the Capitol, **[\$68,878,000]** \$41,201,000, of which **[\$40,899,000]** \$12,099,000 shall remain available until September 30, **[2024]** 2025. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 001-0105-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Capitol Building (Direct)	40	69 41

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	24	28 28
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	44	69 41
1900	Budget authority (total)	44	69 41
1930	Total budgetary resources available	68	97 69
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	28	28 28

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	27	17 29
3010	New obligations, unexpired accounts	40	69 41
3011	Obligations ("upward adjustments"), expired accounts	3	
3020	Outlays (gross)	-52	-57 -53
3041	Recoveries of prior year unpaid obligations, expired	-1	
3050	Unpaid obligations, end of year	17	29 17
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	27	17 29
3200	Obligated balance, end of year	17	29 17

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	44	69 41
Outlays, gross:			
4010	Outlays from new discretionary authority	25	28 16
4011	Outlays from discretionary balances	27	29 37
4020	Outlays, gross (total)	52	57 53
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources	-2	
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	2	
4070	Budget authority, net (discretionary)	44	69 41
4080	Outlays, net (discretionary)	50	57 53
4180	Budget authority, net (total)	44	69 41
4190	Outlays, net (total)	50	57 53

This presentation includes the Flag Office Revolving fund.

Object Classification (in millions of dollars)

Identification code 001-0105-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	15	16 16
11.3	Other than full-time permanent	1	1 1
11.5	Other personnel compensation	3	1 1
11.9	Total personnel compensation	19	18 18
12.1	Civilian personnel benefits	7	7 7
25.1	Advisory and assistance services	2	2 2
25.3	Other goods and services from Federal sources	1	1 1
25.4	Operation and maintenance of facilities	2	7 2
26.0	Supplies and materials	5	7 5
32.0	Land and structures	4	27 6
99.9	Total new obligations, unexpired accounts	40	69 41

Employment Summary

Identification code 001-0105-0-1-801	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	221	221 221

CAPITOL GROUNDS

For all necessary expenses for care and improvement of grounds surrounding the Capitol, the Senate and House office buildings, and the Capitol Power Plant, **[\$15,024,000]** \$20,981,000, of which **[\$3,000,000]** \$7,800,000 shall remain available until September 30, **[2024]** 2025. (*Legislative Branch Appropriations Act, 2020.*)

CAPITOL GROUNDS—Continued
Program and Financing (in millions of dollars)

Identification code 001-0108-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capitol Grounds (Direct)	15	15	21
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	15	21
1930 Total budgetary resources available	29	29	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	14

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	6	6
3010 New obligations, unexpired accounts	15	15	21
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-13	-15	-19
3050 Unpaid obligations, end of year	6	6	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	6	6
3200 Obligated balance, end of year	6	6	8

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	15	21
Outlays, gross:			
4010 Outlays from new discretionary authority	9	10	15
4011 Outlays from discretionary balances	4	5	4
4020 Outlays, gross (total)	13	15	19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1
4070 Budget authority, net (discretionary)	17	15	21
4080 Outlays, net (discretionary)	12	15	19
4180 Budget authority, net (total)	17	15	21
4190 Outlays, net (total)	12	15	19

Object Classification (in millions of dollars)

Identification code 001-0108-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	4	5
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	7	6	7
12.1 Civilian personnel benefits	2	2	2
25.1 Advisory and assistance services	1	2	4
25.4 Operation and maintenance of facilities	2	2	5
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	15	15	21

Employment Summary

Identification code 001-0108-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	72	76	82

SENATE OFFICE BUILDINGS

For all necessary expenses for the maintenance, care and operation of Senate office buildings; and furniture and furnishings to be expended under the control and supervision of the Architect of the Capitol, **[\$88,424,000]** \$90,953,000, of which **[\$23,100,000]** \$22,200,000 shall remain available until September 30, **[2024]** 2025. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 001-0123-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Senate Office Buildings (Direct)	94	88	91
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	64	64	64
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	94	88	91
1900 Budget authority (total)	94	88	91
1930 Total budgetary resources available	158	152	155
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	64	64	64

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	77	67	23
3010 New obligations, unexpired accounts	94	88	91
3011 Obligations ("upward adjustments"), expired accounts	6
3020 Outlays (gross)	-108	-132	-95
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	67	23	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	77	67	23
3200 Obligated balance, end of year	67	23	19

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	94	88	91
Outlays, gross:			
4010 Outlays from new discretionary authority	59	56	58
4011 Outlays from discretionary balances	49	76	37
4020 Outlays, gross (total)	108	132	95
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-3
4040 Offsets against gross budget authority and outlays (total)	-3
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	3
4070 Budget authority, net (discretionary)	94	88	91
4080 Outlays, net (discretionary)	105	132	95
4180 Budget authority, net (total)	94	88	91
4190 Outlays, net (total)	105	132	95

This presentation includes the Senate Restaurant Fund and Senate Wellness Center Fund.

Object Classification (in millions of dollars)

Identification code 001-0123-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	30	30	33
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	4	2	2
11.9 Total personnel compensation	36	34	37
12.1 Civilian personnel benefits	13	14	14
23.2 Rental payments to others	8	10	10
25.1 Advisory and assistance services	3	5	5
25.4 Operation and maintenance of facilities	7	5	5
26.0 Supplies and materials	5	5	5
31.0 Equipment	1	1	1
32.0 Land and structures	21	14	14
99.9 Total new obligations, unexpired accounts	94	88	91

Employment Summary

Identification code 001-0123-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	483	480	486

HOUSE OFFICE BUILDINGS
(INCLUDING TRANSFER OF FUNDS)

For all necessary expenses for the maintenance, care and operation of the House office buildings, **[\$153,273,000]** \$145,047,000, of which **[\$30,300,000]** \$19,540,000 shall remain available until September 30, **[2024]** 2025, and of which **[\$62,000,000]** \$62,000,000 shall remain available until expended for the restoration and renovation of the Cannon House Office Building: *Provided*, That of the amount made available under this heading, \$8,000,000 shall be derived by transfer from the House Office Building Fund established under section 176(d) of the Continuing Appropriations Act, 2017, as added by section 101(3) of the Further Continuing Appropriation Act, 2017 (Public Law 114–254; 2 U.S.C. 2001 note).

In addition, for a payment to the House Historic Buildings Revitalization Trust Fund, \$10,000,000, to remain available until expended. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 001–0127–0–1–801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 House Office Buildings (Direct)	264	145	147
0801 House Office Buildings (Reimbursable)	7	8	8
0900 Total new obligations, unexpired accounts	271	153	155
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	264	183	183
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	266	183	183
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	190	145	147
1120 Appropriations transferred to other accts [001–1833]	–10		–10
1160 Appropriation, discretionary (total)	180	145	137
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1711 Spending authority from offsetting collections transferred from other accounts [001–0137]	7	8	8
1750 Spending auth from offsetting collections, disc (total)	8	8	8
1900 Budget authority (total)	188	153	145
1930 Total budgetary resources available	454	336	328
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	183	183	173
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	86	197	200
3010 New obligations, unexpired accounts	271	153	155
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	–165	–150	–191
3040 Recoveries of prior year unpaid obligations, unexpired	–1		
3041 Recoveries of prior year unpaid obligations, expired	–2		
3050 Unpaid obligations, end of year	197	200	164
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	86	197	200
3200 Obligated balance, end of year	197	200	164
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	188	153	145
Outlays, gross:			
4010 Outlays from new discretionary authority	85	84	79
4011 Outlays from discretionary balances	80	66	112
4020 Outlays, gross (total)	165	150	191
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1		
4033 Non-Federal sources	–7		
4040 Offsets against gross budget authority and outlays (total)	–8		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	6		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	7		
4070 Budget authority, net (discretionary)	187	153	145

4080 Outlays, net (discretionary)	157	150	191
4180 Budget authority, net (total)	187	153	145
4190 Outlays, net (total)	157	150	191

This presentation includes the House of Representatives Wellness Center Fund.

Object Classification (in millions of dollars)

Identification code 001–0127–0–1–801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	29	30	31
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	6	6	7
11.9 Total personnel compensation	39	40	42
12.1 Civilian personnel benefits	13	13	14
25.1 Advisory and assistance services	19	20	20
25.4 Operation and maintenance of facilities	19	19	19
26.0 Supplies and materials	6	6	6
31.0 Equipment	1	1	1
32.0 Land and structures	167	46	45
99.0 Direct obligations	264	145	147
99.0 Reimbursable obligations	7	8	8
99.9 Total new obligations, unexpired accounts	271	153	155

Employment Summary

Identification code 001–0127–0–1–801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	524	536	536

HOUSE HISTORIC BUILDINGS REVITALIZATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 001–1833–0–1–801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 House Historic Buildings Revitalization Trust Fund (Direct)	7	19	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	65	68	49
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [001–0127]	10		10
1930 Total budgetary resources available	75	68	59
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	68	49	59
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	4	12
3010 New obligations, unexpired accounts	7	19	
3020 Outlays (gross)	–33	–11	–6
3050 Unpaid obligations, end of year	4	12	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	4	12
3200 Obligated balance, end of year	4	12	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10		10
Outlays, gross:			
4010 Outlays from new discretionary authority	5		
4011 Outlays from discretionary balances	28	11	6
4020 Outlays, gross (total)	33	11	6
4180 Budget authority, net (total)	10		10
4190 Outlays, net (total)	33	11	6

HOUSE HISTORIC BUILDINGS REVITALIZATION TRUST FUND—Continued

Object Classification (in millions of dollars)

Identification code 001-1833-0-1-801	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	
11.9 Total personnel compensation	1	1	
25.1 Advisory and assistance services	1	1	
32.0 Land and structures	5	17	
99.9 Total new obligations, unexpired accounts	7	19	

Employment Summary

Identification code 001-1833-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10	10	

HOUSE OFFICE BUILDINGS FUND

Program and Financing (in millions of dollars)

Identification code 001-0137-0-1-801	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	9	9
1702 Offsetting collections (previously unavailable)	7	8	8
1710 Spending authority from offsetting collections transferred to other accounts [001-0127]	-7	-8	-8
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-9	-9	-9
Budget authority and outlays, net:			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-9	-9
4180 Budget authority, net (total)	-9	-9	-9
4190 Outlays, net (total)	-9	-9	-9
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	11	13	14
5092 Unexpired unavailable balance, EOY: Offsetting collections	13	14	15

CAPITOL POWER PLANT

For all necessary expenses for the maintenance, care and operation of the Capitol Power Plant; lighting, heating, power (including the purchase of electrical energy) and water and sewer services for the Capitol, Senate and House office buildings, Library of Congress buildings, and the grounds about the same, Botanic Garden, Senate garage, and air conditioning refrigeration not supplied from plants in any of such buildings; heating the Government Publishing Office and Washington City Post Office, and heating and chilled water for air conditioning for the Supreme Court Building, the Union Station complex, the Thurgood Marshall Federal Judiciary Building and the Folger Shakespeare Library, expenses for which shall be advanced or reimbursed upon request of the Architect of the Capitol and amounts so received shall be deposited into the Treasury to the credit of this appropriation, **[\$98,957,000]** \$122,166,000, of which **[\$15,300,000]** \$37,700,000 shall remain available until September 30, **[2024]** 2025: *Provided*, That not more than \$10,000,000 of the funds credited or to be reimbursed to this appropriation as herein provided shall be available for obligation during fiscal year **[2020]** 2021. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 001-0133-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capitol Power Plant (Direct)	94	99	122
0801 Capitol Power Plant (Reimbursable)	9	10	10
0900 Total new obligations, unexpired accounts	103	109	132

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	32	52	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	114	99	122
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	10	10
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	11	10	10
1900 Budget authority (total)	125	109	132
1930 Total budgetary resources available	157	161	184
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	52	52	52

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	51	53	21
3010 New obligations, unexpired accounts	103	109	132
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-104	-141	-129
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	53	21	24
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	48	51	19
3200 Obligated balance, end of year	51	19	22

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	125	109	132
Outlays, gross:			
4010 Outlays from new discretionary authority	70	93	112
4011 Outlays from discretionary balances	34	48	17
4020 Outlays, gross (total)	104	141	129
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-10	-8	-8
4033 Non-Federal sources	-3	-2	-2
4040 Offsets against gross budget authority and outlays (total) ...	-13	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	4		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	114	99	122
4080 Outlays, net (discretionary)	91	131	119
4180 Budget authority, net (total)	114	99	122
4190 Outlays, net (total)	91	131	119

Object Classification (in millions of dollars)

Identification code 001-0133-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	8	9
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	9	9	10
12.1 Civilian personnel benefits	3	3	3
23.3 Communications, utilities, and miscellaneous charges	39	49	50
25.1 Advisory and assistance services	9	9	9
25.4 Operation and maintenance of facilities	30	25	25
26.0 Supplies and materials	2	2	2
32.0 Land and structures	2	2	23
99.0 Direct obligations	94	99	122
99.0 Reimbursable obligations	9	10	10
99.9 Total new obligations, unexpired accounts	103	109	132

Employment Summary

Identification code 001-0133-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	76	80	80

LIBRARY BUILDINGS AND GROUNDS

For all necessary expenses for the mechanical and structural maintenance, care and operation of the Library buildings and grounds, **[\$55,746,000]** \$111,193,000, of which **[\$25,200,000]** \$78,800,000 shall remain available until September 30, **[2024]** 2025. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 001-0155-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Library Buildings and Grounds (Direct)	71	56	111
0801 Library Buildings and Grounds (Reimbursable)	6	4	1
0900 Total new obligations, unexpired accounts	77	60	112

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	71	68	64
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	69	56	111
Spending authority from offsetting collections, discretionary:			
1700 Collected	9		
1900 Budget authority (total)	78	56	111
1930 Total budgetary resources available	149	124	175
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	68	64	63

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	56	5
3010 New obligations, unexpired accounts	77	60	112
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-47	-111	-81
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	56	5	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	56	5
3200 Obligated balance, end of year	56	5	36

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	78	56	111
Outlays, gross:			
4010 Outlays from new discretionary authority	24	21	42
4011 Outlays from discretionary balances	23	90	39
4020 Outlays, gross (total)	47	111	81
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9		
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-11		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4070 Budget authority, net (discretionary)	69	56	111
4080 Outlays, net (discretionary)	36	111	81
4180 Budget authority, net (total)	69	56	111
4190 Outlays, net (total)	36	111	81

Object Classification (in millions of dollars)

Identification code 001-0155-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	14	15
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	17	17	18
12.1 Civilian personnel benefits	6	6	7

25.1 Advisory and assistance services	5	21	52
25.4 Operation and maintenance of facilities	6	6	7
26.0 Supplies and materials	2	2	3
32.0 Land and structures	35	4	24
99.0 Direct obligations	71	56	111
99.0 Reimbursable obligations	6	4	1
99.9 Total new obligations, unexpired accounts	77	60	112

Employment Summary

Identification code 001-0155-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	154	163	171

CAPITOL POLICE BUILDINGS, GROUNDS AND SECURITY

For all necessary expenses for the maintenance, care and operation of buildings, grounds and security enhancements of the United States Capitol Police, wherever located, the Alternate Computing Facility, and Architect of the Capitol security operations, **[\$55,216,000]** \$70,790,000, of which **[\$28,000,000]** \$37,500,000 shall remain available until September 30, **[2024]** 2025, and of which \$2,500,000 shall remain available until expended. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 001-0171-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capitol Police Buildings, Grounds, and Security (Direct)	33	55	71

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	42	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	58	55	71
1930 Total budgetary resources available	75	97	113
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	42	42	42

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	18	22
3010 New obligations, unexpired accounts	33	55	71
3020 Outlays (gross)	-34	-51	-65
3050 Unpaid obligations, end of year	18	22	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	18	22
3200 Obligated balance, end of year	18	22	28

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	58	55	71
Outlays, gross:			
4010 Outlays from new discretionary authority	17	28	36
4011 Outlays from discretionary balances	17	23	29
4020 Outlays, gross (total)	34	51	65
4180 Budget authority, net (total)	58	55	71
4190 Outlays, net (total)	34	51	65

Object Classification (in millions of dollars)

Identification code 001-0171-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	4
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others	7	8	8
25.1 Advisory and assistance services	3	8	10
25.4 Operation and maintenance of facilities	14	30	41
26.0 Supplies and materials	1	1	1
32.0 Land and structures	4	4	6
99.9 Total new obligations, unexpired accounts	33	55	71

CAPITOL POLICE BUILDINGS, GROUNDS AND SECURITY—Continued

Employment Summary

Identification code 001-0171-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	20	28	31

CAPITOL VISITOR CENTER

For all necessary expenses for the operation of the Capitol Visitor Center, **[\$24,321,000]** \$25,273,000. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 001-0161-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capitol Visitor Center (Direct)	24	24	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	24	25
1930 Total budgetary resources available	25	25	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	5	5
3010 New obligations, unexpired accounts	24	24	25
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-25	-24	-25
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	5	5
3200 Obligated balance, end of year	5	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	24	25
Outlays, gross:			
4010 Outlays from new discretionary authority	20	19	20
4011 Outlays from discretionary balances	5	5	5
4020 Outlays, gross (total)	25	24	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	23	24	25
4080 Outlays, net (discretionary)	23	24	25
4180 Budget authority, net (total)	23	24	25
4190 Outlays, net (total)	23	24	25

Object Classification (in millions of dollars)

Identification code 001-0161-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	13	13	14
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	15	15	16
12.1 Civilian personnel benefits	5	5	5
25.1 Advisory and assistance services	2	2	2
25.4 Operation and maintenance of facilities	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	24	24	25

Employment Summary

Identification code 001-0161-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	223	223	224

CAPITOL VISITOR CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 001-4296-0-3-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Capitol Visitor Center Revolving Fund (Reimbursable)	4	16	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	18	21	12
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	7	6
1930 Total budgetary resources available	25	28	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	21	12	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	4	13
3010 New obligations, unexpired accounts	4	16	14
3020 Outlays (gross)	-5	-7	-6
3050 Unpaid obligations, end of year	4	13	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	4	13
3200 Obligated balance, end of year	4	13	21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	7	6
Outlays, gross:			
4010 Outlays from new discretionary authority		5	4
4011 Outlays from discretionary balances	5	2	2
4020 Outlays, gross (total)	5	7	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4031 Interest on Federal securities	-1	-1	
4033 Non-Federal sources	-6	-6	-6
4040 Offsets against gross budget authority and outlays (total)	-7	-7	-6
4080 Outlays, net (discretionary)	-2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2		
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	23	25	15
5001 Total investments, EOY: Federal securities: Par value	25	15	7

Object Classification (in millions of dollars)

Identification code 001-4296-0-3-801	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
26.0 Supplies and materials	3	3	3
32.0 Land and structures	1	13	11
99.9 Total new obligations, unexpired accounts	4	16	14

RECYCLABLE MATERIALS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 001-4297-0-3-801	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

4180	Budget authority, net (total)			
4190	Outlays, net (total)			

JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS FUND

Program and Financing (in millions of dollars)

Identification code 001-4518-0-4-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Operations and Maintenance	66	32	32
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	35	3	3
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	37	3	3
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	7	17	17
Spending authority from offsetting collections, mandatory:			
1800 Collected	42	32	32
1825 Spending authority from offsetting collections applied to repay debt	-17	-17	-17
1850 Spending auth from offsetting collections, mand (total)	25	15	15
1900 Budget authority (total)	32	32	32
1930 Total budgetary resources available	69	35	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	42	19
3010 New obligations, unexpired accounts	66	32	32
3020 Outlays (gross)	-44	-55	-15
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	42	19	36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	42	19
3200 Obligated balance, end of year	42	19	36
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	32	32	32
Outlays, gross:			
4100 Outlays from new mandatory authority	20	15	15
4101 Outlays from mandatory balances	24	40	
4110 Outlays, gross (total)	44	55	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-42	-32	-32
4123 Non-Federal sources	-1		
4130 Offsets against gross budget authority and outlays (total)	-43	-32	-32
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	-10		
4170 Outlays, net (mandatory)	1	23	-17
4180 Budget authority, net (total)	-10		
4190 Outlays, net (total)	1	23	-17
Memorandum (non-add) entries:			
5010 Total investments, SOY: non-Fed securities: Market value	10	11	
5011 Total investments, EOY: non-Fed securities: Market value	11		

The Judiciary Office Building Development Act, Public Law 100-480, among other purposes, authorized the Architect of the Capitol to contract for the design and construction of a building adjacent to Union Station in the District of Columbia to be leased to the Judicial Branch of the United States. This schedule reflects the costs associated with the construction of the building. Costs of construction were financed by an initial \$125 million of Federal agency debt (sales price less unamortized discount) issued in 1989.

Estimates prepared by the Legislative Branch assumed the financial arrangements to be a lease-purchase, which would distribute outlays associated with acquisition of the building over a period of thirty years. However, the arrangements involve Federally guaranteed financing and other characteristics that make them substantively the same as direct Federal construction, financed by direct Federal borrowing.

Estimates shown are consistent with the requirements of the Budget Enforcement Act and are presented with the agreement of the Budget and Appropriations Committees.

Object Classification (in millions of dollars)

Identification code 001-4518-0-4-801	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	1	1	1
25.4 Operation and maintenance of facilities	13	15	15
32.0 Land and structures	45	9	9
99.9 Total new obligations, unexpired accounts	66	32	32

Employment Summary

Identification code 001-4518-0-4-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	15	14	14

CONSTRUCTION REIMBURSEMENT FUND

Program and Financing (in millions of dollars)

Identification code 001-4607-0-4-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			3
0801 Reimbursable program activity			33
0900 Total new obligations, unexpired accounts			36
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			3
Spending authority from offsetting collections, discretionary:			
1700 Collected			36
1900 Budget authority (total)			39
1930 Total budgetary resources available			39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			3
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			36
3020 Outlays (gross)			-35
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			39
Outlays, gross:			
4010 Outlays from new discretionary authority			35
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			-36
4180 Budget authority, net (total)			3
4190 Outlays, net (total)			-1

The request to establish this new account can be found in the Administrative Provisions of the Architect of the Capitol under the heading "Construction Reimbursement Fund".

CONSTRUCTION REIMBURSEMENT FUND—Continued

Object Classification (in millions of dollars)

Identification code 001-4607-0-4-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			2
12.1 Civilian personnel benefits			1
99.0 Direct obligations			3
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent			20
11.5 Other personnel compensation			2
11.9 Total personnel compensation			22
12.1 Civilian personnel benefits			8
26.0 Supplies and materials			3
99.0 Reimbursable obligations			33
99.9 Total new obligations, unexpired accounts			36

Employment Summary

Identification code 001-4607-0-4-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			10
2001 Reimbursable civilian full-time equivalent employment			180

Trust Funds

GIFTS AND DONATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 001-8189-0-7-801	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Gifts and Donations		1	1
2000 Total: Balances and receipts		1	2
5099 Balance, end of year		1	2

ADMINISTRATIVE PROVISIONS

NO BONUSES FOR CONTRACTORS BEHIND SCHEDULE OR OVER BUDGET

SEC. 130. None of the funds made available in this Act for the Architect of the Capitol may be used to make incentive or award payments to contractors for work on contracts or programs for which the contractor is behind schedule or over budget, unless the Architect of the Capitol, or agency-employed designee, determines that any such deviations are due to unforeseeable events, government-driven scope changes, or are not significant within the overall scope of the project and/or program.

CONSTRUCTION REIMBURSEMENT FUND

SEC. 131. Title 2, United States Code, is hereby amended by adding the following new section: SEC. ——. Architect of the Capitol Construction Reimbursement Fund.

(a) Establishment. There is hereby established in the Treasury of the United States a revolving fund (hereinafter "Fund") for the Architect of the Capitol, which shall be available without fiscal year limitation, for expenses of common construction and maintenance activities.

(b) Availability of amounts. Amounts in the Fund shall be available to the Architect of the Capitol for the reimbursement of expenses for construction, capital repairs, renovations, rehabilitation, maintenance of real property, and agency expenses as required by the Architect of the Capitol jurisdictions.

(c) Contents. The capital of the Fund consists of—

- (1) amounts appropriated to the Fund;
- (2) the reasonable value of stocks of supplies, equipment, and other assets and inventories on order that the Architect transfers to the fund, less related liabilities and unpaid obligations;
- (3) receipts from the sale or exchange of property held in the Fund;
- (4) all miscellaneous receipts compensating the Architect of the Capitol for loss or damage to any Government property under the Architects' jurisdiction or care, including but not limited to the United States Botanic Garden;
- (5) reimbursements or advances pursuant to subsection (d); and

(6) amounts transferred to the Fund pursuant to subsection (f).

(d) Reimbursement. The Fund shall be credited with reimbursements or advances from available accounts of the Architect of the Capitol for supplies, materials, services, and related expenses, at rates which will approximate the full cost of operations, including charges to employee leave and benefits; depreciation of plant, property, and equipment; and overhead.

(e) Initial capitalization. There is appropriated \$3,000,000 as initial capitalization of the Fund. In addition, the initial capitalization shall include the fair and reasonable value at the Funds inception of the inventories, equipment, receivables, and other assets, less liabilities, transferred to the Fund.

(f) Subsequent transfers from other accounts. Subject to the approval of the Committees on Appropriations of the Senate and the House of Representatives in accordance with 2 U.S.C. 1862, the Architect is authorized to transfer amounts from other available Architect of the Capitol accounts to the Fund in accordance with 2 U.S.C. 1862.

(g) Effective date. This section shall apply with respect to fiscal year 2020 and each succeeding fiscal year.

AMENDMENT TO ARCHITECT OF THE CAPITOL COMPENSATION STATUTE

SEC. 132. 2 U.S.C. 1802 is amended by striking all that follows after "is equal to" and inserting the following: "the rate for level II of the Executive Schedule under subchapter II of chapter 53 of title 5.". (Legislative Branch Appropriations Act, 2020.)

BOTANIC GARDEN

Federal Funds

BOTANIC GARDEN

For all necessary expenses for the maintenance, care and operation of the Botanic Garden and the nurseries, buildings, grounds, and collections; and purchase and exchange, maintenance, repair, and operation of a passenger motor vehicle; all under the direction of the Joint Committee on the Library, [\$16,094,000] \$21,266,000, of which [\$4,000,000] \$8,300,000 shall remain available until September 30, [2024] 2025: Provided, That, of the amount made available under this heading, the Architect of the Capitol may obligate and expend such sums as may be necessary for the maintenance, care and operation of the National Garden established under section 307E of the Legislative Branch Appropriations Act, 1989 (2 U.S.C. 2146), upon vouchers approved by the Architect of the Capitol or a duly authorized designee. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 009-0200-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Botanic Garden (Direct)	15	16	21
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	16	21
1930 Total budgetary resources available	21	22	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	5
3010 New obligations, unexpired accounts	15	16	21
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-16	-16	-20
3050 Unpaid obligations, end of year	5	5	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	5
3200 Obligated balance, end of year	5	5	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	16	21
Outlays, gross:			
4010 Outlays from new discretionary authority	9	13	17
4011 Outlays from discretionary balances	7	3	3
4020 Outlays, gross (total)	16	16	20
4180 Budget authority, net (total)	15	16	21

4190	Outlays, net (total)	16	16	20
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Object Classification (in millions of dollars)

Identification code 009-0200-0-1-801	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	5	5	6
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	6	6	7
12.1	Civilian personnel benefits	2	2	2
25.1	Advisory and assistance services	1	2	3
25.4	Operation and maintenance of facilities	3	3	4
26.0	Supplies and materials	1	1	1
32.0	Land and structures	2	2	4
99.9	Total new obligations, unexpired accounts	15	16	21

Employment Summary

Identification code 009-0200-0-1-801	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	69	69	72

Trust Funds

GIFTS AND DONATIONS

Pursuant to 2 U.S.C. 2146, as amended, the Architect of the Capitol, subject to the direction of the Joint Committee on the Library, is authorized to construct a National Garden and to solicit and accept certain gifts on behalf of the United States Botanic Garden for the purpose of constructing the National Garden, or for the general benefit of the Botanic Garden and the renovation of the Botanic Garden conservatory, to deposit such gift funds in the Treasury of the United States, and, subject to approval in appropriations Acts, to obligate and expend such sums.

LIBRARY OF CONGRESS

Federal Funds

SALARIES AND EXPENSES

For all necessary expenses of the Library of Congress not otherwise provided for, including development and maintenance of the Library's catalogs; custody and custodial care of the Library buildings; information technology services provided centrally; special clothing; cleaning, laundering and repair of uniforms; preservation of motion pictures in the custody of the Library; operation and maintenance of the American Folklife Center in the Library; preparation and distribution of catalog records and other publications of the Library; hire or purchase of one passenger motor vehicle; and expenses of the Library of Congress Trust Fund Board not properly chargeable to the income of any trust fund held by the Board, **[\$504,164,000]** \$544,582,000, of which not more than \$6,000,000 shall be derived from collections credited to this appropriation during fiscal year **[2020]** 2021, and shall remain available until expended, under the Act of June 28, 1902 (chapter 1301; 32 Stat. 480; 2 U.S.C. 150): *Provided*, That the Library of Congress may not obligate or expend any funds derived from collections under the Act of June 28, 1902, in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$6,000,000: *Provided further*, That of the total amount appropriated, not more than \$18,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses, including for the Overseas Field Offices: *Provided further*, That of the total amount appropriated, **[\$9,110,000]** \$9,424,000 shall remain available until expended for the digital collections and educational curricula program: *Provided further*, That of the total amount appropriated, **[\$1,350,000]** \$1,384,000 shall remain available until expended for upgrade of the Legislative Branch Financial Management System: *Provided further*, That of the total amount appropriated, \$250,000 shall remain available until expended for the Surplus Books Program to promote the program and facilitate a greater number of donations to eligible entities across the United States: *Provided further*, That of the total amount appropriated, **[\$3,587,000]** \$3,720,000 shall remain available until expended for the Veterans History Project to continue digitization efforts of already collected materials, reach

a greater number of veterans to record their stories, and promote public access to the Project: *Provided further*, That of the total amount appropriated, \$10,000,000 shall remain available until expended for the development of the Library's Visitor Experience project, and may be obligated and expended only upon approval by the Subcommittee on the Legislative Branch of the Committee on Appropriations of the House of Representatives and by the Subcommittee on the Legislative Branch of the Committee on Appropriations of the Senate: *Provided further*, That of the total amount appropriated, \$4,370,000 shall remain available until September 30, 2024, to complete the second of three phases of the shelving replacement in Law Library's collection storage areas. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 003-0101-0-1-503	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Office of the Librarian	41	50	54
0002	Office of the Chief Operating Officer	83	76	88
0003	Library Services	217		
0004	Law Library	17		
0005	Office of the Inspector General	3	4	4
0006	Office of the Chief Information Officer	114	127	139
0007	Library Collections and Services Group		241	253
0799	Total direct obligations	475	498	538
0801	Reimbursable program - Interagency/ Intra-agency	24	23	24
0802	Reimbursable program - National Library	2	6	6
0899	Total reimbursable obligations	26	29	30
0900	Total new obligations, unexpired accounts	501	527	568

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	23	21	21
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	474	498	545
Spending authority from offsetting collections, discretionary:				
1700	Collected	28	29	30
1900	Budget authority (total)	502	527	575
1930	Total budgetary resources available	525	548	596
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-3		
1941	Unexpired unobligated balance, end of year	21	21	28

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	157	153	138
3010	New obligations, unexpired accounts	501	527	568
3011	Obligations ("upward adjustments"), expired accounts	5		
3020	Outlays (gross)	-505	-542	-537
3041	Recoveries of prior year unpaid obligations, expired	-5		
3050	Unpaid obligations, end of year	153	138	169
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	157	153	138
3200	Obligated balance, end of year	153	138	169

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	502	527	575
Outlays, gross:				
4010	Outlays from new discretionary authority	376	399	436
4011	Outlays from discretionary balances	129	143	101
4020	Outlays, gross (total)	505	542	537
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-26	-23	-24
4033	Non-Federal sources	-3	-6	-6
4040	Offsets against gross budget authority and outlays (total)	-29	-29	-30
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	474	498	545
4080	Outlays, net (discretionary)	476	513	507
4180	Budget authority, net (total)	474	498	545
4190	Outlays, net (total)	476	513	507

Office of the Librarian.—The Office of the Librarian provides leadership to the Library, overseeing the implementation and management of the Library's mission to support the Congress in fulfilling its constitutional duties and to further the progress of knowledge and creativity for the benefit

SALARIES AND EXPENSES—Continued

of the American people. The Librarian of Congress and the Principal Deputy Librarian of Congress provide executive management to the subordinate Library units, which include the Center for Exhibits and Interpretation, Center for Literacy Learning and Engagement, Congressional Research Service, Library Collections and Services Group, and the U.S. Copyright Office. The Librarian of Congress chairs the Library's Executive Committee (EC).

Library Services.— The Library of Congress' collections document the history and further the creativity of the American people, as well as record and contribute to the advancement of civilization and knowledge throughout the world. Library Services performs most of the Library's conventional library functions, providing acquisitions, description, preservation, reference, and access services for its collection and significant support to collections held by the Law Library. Staff provide assistance to researchers and visitors through reference, circulation, orientation, and program services; Library Services supports government agencies, cultural institutions, and other libraries through its catalog records, standards work, and professional and collaborative programs. Library Services also administers numerous unique LC programs including the National Audio Visual Conservation Center, the Veterans History Project, the Cooperative Acquisitions Program (managed through LS's six Overseas Offices), the National Digital Newspaper Program, the Surplus Books Program, and the Congressional Cartography Program.

Library Collections and Services Group (LCSG).— Library Collections and Services provides oversight for activities of three service units: Library Services, the Law Library, and the National Library for the Blind and Print Disabled (NLS). LCSG also includes the John W. Kluge Center, Internship and Fellowship Programs, and the Office of the Director of LCSG Operations (LCSO). Under the direction of the Deputy Librarian for Library Collections and Services, the group identifies and implements a coordinated vision, leverages systems and expertise, creates economies of scale and improves administrative functions across the group.

Law Library.—The Law Library of Congress (Law Library) is the world's largest law and legislative library. Its primary mission is to provide the United States Congress, Executive Branch agencies, federal courts, the legal community, and others with legal research and/or reference services in U.S., foreign, international, and comparative law. The Law Library's core research capacity includes foreign and U.S. trained attorneys and librarians. Reference staff and foreign legal specialists utilize the Law Library's unparalleled collection of domestic, foreign and international legal materials, from more than 240 foreign and international jurisdictions. The collection consists of authoritative legal sources in original languages, with nearly 3 million volumes and 3 million pieces of microfiche. The Law Library acquires, maintains, organizes, preserves, and provides access to a comprehensive legal collection in both print and digital formats, and draws on technology to make the collection accessible through various systems and online interfaces. The Law Library is a key player in developing and maintaining the currency of content in Congress.gov, the authoritative legislative information system for the Congress and the public. The Law Library also develops electronic information products that provide access to historical and contemporary legal legislative, administrative, and judicial documents. The Law Library creates research and collection guides focusing on legal research techniques, issues, and events. Ensuring accuracy, authenticity, authoritativeness, and comprehensiveness of legal documents is a challenge the Law Library manages on a daily basis, enabling the highest quality of objective research and maintaining a legal collection encompassing countries and regions of strategic importance to the Congress.

Office of the Inspector General.—The Office of the Inspector General (OIG) functions within the Library of Congress as an independent, objective office with authority to: conduct and supervise audits and investigations relating to the Library; provide leadership and coordination in recommending policies and operational changes that promote economy, efficiency, and effectiveness; and inform the Librarian of Congress and the Congress

fully about problems and deficiencies related to the administration and operations of the Library. The OIG specializes in auditing and analyzing the design of and compliance with the Library's systems of internal control, with special emphasis on deterring waste, fraud, and abuse. In its investigative capacity, it performs administrative, civil, and criminal investigations concerning fraud, conflict of interest, and other misconduct involving Library employees, contractors, and grantees.

Office of the Chief Information Officer (OCIO).—The IT vision of the Library of Congress is to deliver continuous uninterrupted digital services and enable the Congress and the American people to make maximal use of the Library's resources and services. The Office of the Chief Information Officer (OCIO), via the IT domain, manages IT as a strategic resource across the Library by providing the IT strategic direction, leadership, services, and capabilities that deliver the IT vision of the Library of Congress. OCIO's goals are to provide strategic direction and leadership for IT, deliver business-driven capabilities, improve IT investment management, and strengthen protection for IT systems and information. OCIO will continue to optimize existing Information Technology (IT) resources and integrate advanced technology to support the daily work for the Congress, the creative community, the Library's service units, and the public.

Office of the Chief Operating Officer (OCOO). OCOO provides comprehensive services, manages institutional programs, and overseas regulatory compliance in the areas of financial administration; human capital; contracting; facilities, safety and health; asset management; personnel security and emergency preparedness; and programs that deliver fee-based services.

Object Classification (in millions of dollars)

Identification code 003-0101-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	205	228	244
11.3 Other than full-time permanent	4	10	5
11.5 Other personnel compensation	4	3	4
11.9 Total personnel compensation	213	241	253
12.1 Civilian personnel benefits	68	72	82
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	14	17	18
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	51	40	47
25.2 Other services from non-Federal sources	23	35	38
25.3 Other goods and services from Federal sources	20	14	16
25.4 Operation and maintenance of facilities	9	9	9
25.7 Operation and maintenance of equipment	16	15	15
26.0 Supplies and materials	3	3	3
31.0 Equipment	41	37	42
41.0 Grants, subsidies, and contributions	8	8	8
99.0 Direct obligations	473	498	538
99.0 Reimbursable obligations	25	29	30
99.5 Adjustment for rounding	3		
99.9 Total new obligations, unexpired accounts	501	527	568

Employment Summary

Identification code 003-0101-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,037	2,263	2,294
2001 Reimbursable civilian full-time equivalent employment	10	14	14

LIBRARY OF CONGRESS NATIONAL COLLECTION STEWARDSHIP FUND

Program and Financing (in millions of dollars)

Identification code 003-0103-0-1-503	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		2	2

1012	Unobligated balance transfers between expired and unexpired accounts	2		
1050	Unobligated balance (total)	2	2	2
1930	Total budgetary resources available	2	2	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	2
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

Congress established the Library of Congress National Collection Stewardship Fund (NCSF) in May 2017 as part of the Consolidated Appropriations Act of 2017. An account for the fund was established in the Treasury of the United States in May 2017, following enactment. The Library of Congress NCSF may be used directly for the purpose of preparing collection materials of the Library of Congress for long-term storage, and the Librarian may transfer amounts to the Architect of the Capitol (AOC) for the purpose of designing, constructing, altering, upgrading, and equipping collections preservation and storage facilities for the Library of Congress, or for the purpose of acquiring real property by lease for the preservation and storage of Library of Congress collections. The NCSF provides direct assistance in funding these projects not by replacing current appropriations streams of funding but by accumulating funding over several appropriations cycles supplementing specifically appropriated program expenses. The NCSF consists of such amounts as may be transferred by the Librarian from available amounts appropriated for any fiscal year (starting with fiscal year 2017 and each succeeding fiscal year) for the Library of Congress under the heading Salaries and Expenses. Any amounts in the NCSF shall remain available until expended for the stated purpose of the fund.

COPYRIGHT OFFICE
SALARIES AND EXPENSES

For all necessary expenses of the Copyright Office, **[\$91,840,000] \$94,891,000**, of which not more than **[\$43,221,000] \$38,004,000**, to remain available until expended, shall be derived from collections credited to this appropriation during fiscal year **[2020] 2021** under sections 708(d) and 1316 of title 17, United States Code: *Provided*, That the Copyright Office may not obligate or expend any funds derived from collections under such section in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That not more than **[\$6,482,000] \$6,778,000** shall be derived from collections during fiscal year **[2020] 2021** under sections 111(d)(2), 119(b)(3), 803(e), and 1005 of such title: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than **[\$49,703,000] \$44,782,000**: *Provided further*, That of the funds provided under this heading, not less than \$17,100,000 is for modernization initiatives, of which \$10,000,000 shall remain available until September 30, **[2021] 2022**: *Provided further*, That not more than \$100,000 of the amount appropriated is available for the maintenance of an "International Copyright Institute" in the Copyright Office of the Library of Congress for the purpose of training nationals of developing countries in intellectual property laws and policies: *Provided further*, That not more than \$6,500 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for activities of the International Copyright Institute and for copyright delegations, visitors, and seminars: *Provided further*, That, notwithstanding any provision of chapter 8 of title 17, United States Code, any amounts made available under this heading which are attributable to royalty fees and payments received by the Copyright Office pursuant to sections 111, 119, and chapter 10 of such title may be used for the costs incurred in the administration of the Copyright Royalty Judges program, with the exception of the costs of salaries and benefits for the Copyright Royalty Judges and staff under section 802(e). (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 003-0102-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Registration, recordation, cataloging, acquisitions, & public reference (Basic)	42	41	48
0002 Determinations by Copyright Royalty Judges	1	1	2
0799 Total direct obligations	43	42	50

0801	Registration, recordation, cataloging, acquisitions, & public reference (Basic)	30	44	39
0802	Licensing	4	6	6
0899	Total reimbursable obligations	34	50	45
0900	Total new obligations, unexpired accounts	77	92	95

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	20	27	27
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	21	27	27
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	44	42	50
Spending authority from offsetting collections, discretionary:				
1700	Collected	41	50	45
1900	Budget authority (total)	85	92	95
1930	Total budgetary resources available	106	119	122
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	27	27	27

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	14	13	13
3010	New obligations, unexpired accounts	77	92	95
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-78	-92	-95
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	13	13	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	14	13	13
3200	Obligated balance, end of year	13	13	13

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	85	92	95
Outlays, gross:				
4010	Outlays from new discretionary authority	65	78	81
4011	Outlays from discretionary balances	13	14	14
4020	Outlays, gross (total)	78	92	95
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-6	-6
4033	Non-Federal sources	-41	-44	-39
4040	Offsets against gross budget authority and outlays (total)	-41	-50	-45
4070	Budget authority, net (discretionary)	44	42	50
4080	Outlays, net (discretionary)	37	42	50
4180	Budget authority, net (total)	44	42	50
4190	Outlays, net (total)	37	42	50

The U.S. Copyright Office (USCO) operates the largest database of copyrighted works and copyright ownership information in the world, and through its work contributes significantly to the development of the copyright law, the national economy, and the cultural heritage of the United States. Approximately fifty percent of the USCO's Basic operations are funded by fees paid by authors and other copyright owners for services rendered, and the remainder is funded by appropriations. The amount requested is more than offset by projected fee receipts plus the value of books and other materials deposited with the USCO in accordance with the Copyright Act (17 U.S.C. subsections 407 and 408) and transferred annually to the Library of Congress for its permanent collections.

Registration, recordation, acquisitions, copyright records, public information, and expert advice on copyright issues.—The USCO is responsible for administering the national copyright registration system, including by reviewing applications for copyright registration and recording assignments and other copyright-related documents. In 2019, the USCO examined and registered 547,837 claims to copyright in original works of authorship, and it expects to register an estimated 500,000 copyright claims in both 2020 and 2021. Additional responsibilities of the USCO include: creating and providing access to records of copyright ownership, and providing additional copyright information to the public; administering the mandatory deposit provision of the Copyright Act, which provides books, films, sound record-

COPYRIGHT OFFICE—Continued

ings, and other works for possible inclusion in Library of Congress collections; providing expert advice to Congress, executive agencies, and the courts on domestic and international copyright law and policy; and participating in international discussions and negotiations regarding copyright matters.

Licensing Program.—The Licensing Program handles administrative provisions of statutory licenses and obligations under the copyright law, including those involving secondary transmissions by cable television systems and satellite carriers and the importation, manufacture and distribution of digital audio recording devices and distribution media. The Program collects specified royalty fees for distribution to copyright owners upon determinations rendered by the Copyright Royalty Judges. Distribution occurs after deduction of administrative costs incurred by the Program and by the Copyright Royalty Judges, as specified by this appropriation. The Licensing Program is fully funded directly from the royalties and filing fees collected, making it self-supporting with no tax dollars used for this operation.

Copyright Royalty Judges (CRJ).—The Copyright Royalty Judges and their staff, who operate under the Librarian of Congress, determine royalty distributions and adjust the royalty rates and terms of copyright statutory licenses.

Object Classification (in millions of dollars)

Identification code 003-0102-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	17	18
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	22	18	19
12.1 Civilian personnel benefits	7	12	13
25.1 Advisory and assistance services	2	4	4
25.2 Other services from non-Federal sources	1	1	5
25.3 Other goods and services from Federal sources	7	3	3
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	3	4	4
99.0 Direct obligations	43	43	49
99.0 Reimbursable obligations	33	50	44
99.5 Adjustment for rounding	1	-1	2
99.9 Total new obligations, unexpired accounts	77	92	95

Employment Summary

Identification code 003-0102-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	226	200	222
2001 Reimbursable civilian full-time equivalent employment	194	228	213

CONGRESSIONAL RESEARCH SERVICE
SALARIES AND EXPENSES

For all necessary expenses to carry out the provisions of section 203 of the Legislative Reorganization Act of 1946 (2 U.S.C. 166) and to revise and extend the Annotated Constitution of the United States of America, **[\$120,495,000]** *\$129,516,000*: *Provided*, That no part of such amount may be used to pay any salary or expense in connection with any publication, or preparation of material therefor (except the Digest of Public General Bills), to be issued by the Library of Congress unless such publication has obtained prior approval of either the Committee on House Administration of the House of Representatives or the Committee on Rules and Administration of the Senate: *Provided further*, That this prohibition does not apply to publication of non-confidential Congressional Research Service (CRS) products: *Provided further*, That a non-confidential CRS product includes any written product containing research or analysis that is currently available for general congressional access on the CRS Congressional Intranet, or that would be made available on the CRS Congressional Intranet in the normal course of business and does not include material prepared in response to Congressional requests for confidential analysis or research. (*Legislative Branch Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 003-0127-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Congressional Research Service, Salaries and Expenses (Direct)	125	120	130
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	125	120	130
1930 Total budgetary resources available	125	120	130
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	20	11
3010 New obligations, unexpired accounts	125	120	130
3020 Outlays (gross)	-121	-129	-128
3050 Unpaid obligations, end of year	20	11	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	20	11
3200 Obligated balance, end of year	20	11	13
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	125	120	130
Outlays, gross:			
4010 Outlays from new discretionary authority	108	110	120
4011 Outlays from discretionary balances	13	19	8
4020 Outlays, gross (total)	121	129	128
4180 Budget authority, net (total)	125	120	130
4190 Outlays, net (total)	121	129	128

The Congressional Research Service (CRS) assists all Members and committees of Congress with its deliberations and legislative decisions by providing objective, authoritative, non-partisan, and confidential research and analysis. As a shared resource, serving Congress exclusively, CRS experts support the Congress at all stages of the legislative process by providing integrated and interdisciplinary analysis and insights in all areas of legislative activity.

Object Classification (in millions of dollars)

Identification code 003-0127-0-1-801	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	72	77	82
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	74	79	84
12.1 Civilian personnel benefits	22	23	27
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	8	7	7
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	7
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	5	5	5
31.0 Equipment	4	1	2
99.0 Direct obligations	124	120	130
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	125	120	130

Employment Summary

Identification code 003-0127-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	604	621	633

NATIONAL LIBRARY SERVICE FOR THE BLIND AND PRINT DISABLED
SALARIES AND EXPENSES

For all necessary expenses to carry out the Act of March 3, 1931 (chapter 400; 46 Stat. 1487; 2 U.S.C. 135a), **[\$58,563,000]** *\$60,639,000*: *Provided*, That of the total

amount appropriated, \$650,000 shall be available to contract to provide newspapers to blind and [physically handicapped] print disabled residents at no cost to the individual. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 003–0141–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct service to users	54	59	61
0801 Reimbursable program activity	1	1	1
0900 Total new obligations, unexpired accounts	55	60	62
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	54	59	61
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	55	60	62
1930 Total budgetary resources available	55	60	62
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	27	31
3010 New obligations, unexpired accounts	55	60	62
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-50	-56	-59
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	27	31	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	27	31
3200 Obligated balance, end of year	27	31	34
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	55	60	62
Outlays, gross:			
4010 Outlays from new discretionary authority	30	30	31
4011 Outlays from discretionary balances	20	26	28
4020 Outlays, gross (total)	50	56	59
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
4180 Budget authority, net (total)	54	59	61
4190 Outlays, net (total)	49	55	58

The National Library Service for the Blind and Print Disabled (NLS) is responsible for administering a national program to provide reading material for blind and print disabled residents of the United States, its outlying areas, and for U.S. citizens residing abroad.

Direct service to users.—During fiscal year 2019, NLS and its network of cooperating libraries circulated 21.8 million books and magazines in accessible media.

Support services.—A variety of professional, technical, and clerical functions are performed by the NLS. More than 63,000 requests for information concerning library and related services available to the blind and to other print disabled persons were received in 2019 and over 103,000 inter-library loan items were circulated.

Object Classification (in millions of dollars)

Identification code 003–0141–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	11	11
12.1 Civilian personnel benefits	3	3	4
23.1 Rental payments to GSA	3	3	3
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	7	7	7
25.2 Other services from non-Federal sources	4	8	9
25.3 Other goods and services from Federal sources	1		
25.5 Research and development contracts	1	1	1
31.0 Equipment	22	23	24
99.0 Direct obligations	51	57	60
99.0 Reimbursable obligations	1	1	1
99.5 Adjustment for rounding	3	2	1

99.9	Total new obligations, unexpired accounts	55	60	62
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Employment Summary

Identification code 003–0141–0–1–503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	103	115	115
2001 Reimbursable civilian full-time equivalent employment	3	3	3

PAYMENTS TO COPYRIGHT OWNERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 003–5175–0–2–376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Fees from Jukebox, Satellite and Cable Television for Operating Costs, Copyright Office	5	6	7
2000 Total: Balances and receipts	5	6	7
Appropriations:			
Current law:			
2101 Payments to Copyright Owners	-5	-6	-7
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 003–5175–0–2–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Licensing costs	5	6	7
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	6	7
1930 Total budgetary resources available	5	6	7
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	5	6	7
3020 Outlays (gross)	-5	-6	-7
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	6	7
Outlays, gross:			
4100 Outlays from new mandatory authority	5	6	7
4180 Budget authority, net (total)	5	6	7
4190 Outlays, net (total)	5	6	7

Object Classification (in millions of dollars)

Identification code 003–5175–0–2–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	4	5	6
44.0 Refunds	1	1	1
99.9 Total new obligations, unexpired accounts	5	6	7

COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 003–4325–0–3–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Cooperative Acquisitions Program	3	9	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	9	10

COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 003-4325-0-3-503	2019 actual	2020 est.	2021 est.
1930 Total budgetary resources available	9	15	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3	9	10
3020 Outlays (gross)	-3	-9	-9
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority	3	8	9
4011 Outlays from discretionary balances		1	
4020 Outlays, gross (total)	3	9	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-3	-9	-10
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-1

Under the authority of 2 U.S.C. 182, the Library of Congress operates a revolving fund for the acquisition of foreign research materials for participating institutions through the Library's overseas offices.

Object Classification (in millions of dollars)

Identification code 003-4325-0-3-503	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
22.0 Transportation of things		1	1
23.3 Communications, utilities, and miscellaneous charges		1	1
25.3 Other goods and services from Federal sources			1
31.0 Equipment	2	5	5
99.0 Reimbursable obligations	2	8	9
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	9	10

Employment Summary

Identification code 003-4325-0-3-503	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	6	7	7

DUPLICATION SERVICES

Under the authority of 2 U.S.C. 182a, the Library of Congress operates a revolving fund to provide preservation and duplication and delivery services for the Library's audio-visual collections, including duplication services for motion pictures, videotapes, sound recordings, and radio and television broadcasts. Audio-visual preservation and duplication services are also available to other archives, libraries, and industry constituents.

GIFT SHOP, DECIMAL CLASSIFICATION, PHOTO DUPLICATION, AND RELATED SERVICES

Program and Financing (in millions of dollars)

Identification code 003-4346-0-3-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 National Library	7	14	14

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	14	14
1930 Total budgetary resources available	11	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	7	14	14
3020 Outlays (gross)	-7	-14	-13
3050 Unpaid obligations, end of year	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	2

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority	7	12	12
4011 Outlays from discretionary balances		2	1
4020 Outlays, gross (total)	7	14	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-14	-14
4033 Non-Federal sources	-4		
4040 Offsets against gross budget authority and outlays (total)	-7	-14	-14
4080 Outlays, net (discretionary)			-1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-1

Under the authority of 2 U.S.C. 182b, the Library of Congress operates a revolving fund for the support of the Library's retail marketing sales shop activities; for providing preservation microfilming services for the Library's collections and photocopy, microfilm, photographic and digital services to other libraries, research institutions, government agencies, and individuals in the United States and abroad; and for operating special events and programs.

Object Classification (in millions of dollars)

Identification code 003-4346-0-3-503	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1	3	3
12.1 Civilian personnel benefits		1	1
25.1 Advisory and assistance services		1	1
25.2 Other services from non-Federal sources	3	5	5
25.3 Other goods and services from Federal sources		1	1
26.0 Supplies and materials	1	1	2
99.0 Reimbursable obligations	5	12	13
99.5 Adjustment for rounding	2	2	1
99.9 Total new obligations, unexpired accounts	7	14	14

Employment Summary

Identification code 003-4346-0-3-503	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	17	58	40

FEDLINK PROGRAM AND FEDERAL RESEARCH PROGRAM

Program and Financing (in millions of dollars)

Identification code 003-4543-0-4-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Fedlink and Federal Research	70	205	222

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	23	31	31
1021	Recoveries of prior year unpaid obligations	8		
1050	Unobligated balance (total)	31	31	31
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	70	205	222
1930	Total budgetary resources available	101	236	253
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	31	31	31

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	26	27	50
3010	New obligations, unexpired accounts	70	205	222
3020	Outlays (gross)	-61	-182	-213
3040	Recoveries of prior year unpaid obligations, unexpired	-8		
3050	Unpaid obligations, end of year	27	50	59
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-4	-4
3090	Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	22	23	46
3200	Obligated balance, end of year	23	46	55

Under the authority of 2 U.S.C. 182c, the Library of Congress operates a revolving fund for providing support to federal agencies through the procurement of commercial information services, publications in any format, any library support services; related accounting services; education, information, and support services; and customized research services.

Object Classification (in millions of dollars)

Identification code 003-4543-0-4-503	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	3	6	6
11.3	Other than full-time permanent		1	1
11.9	Total personnel compensation	3	7	7
12.1	Civilian personnel benefits	1	2	2
25.1	Advisory and assistance services		1	
25.2	Other services from non-Federal sources	37	162	180
25.3	Other goods and services from Federal sources		2	2
31.0	Equipment	21	30	30
44.0	Refunds	5		
99.0	Reimbursable obligations	67	204	221
99.5	Adjustment for rounding	3	1	1
99.9	Total new obligations, unexpired accounts	70	205	222

Employment Summary

Identification code 003-4543-0-4-503	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	41	58	60

Trust Funds

GIFT AND TRUST FUND ACCOUNTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 003-9971-0-7-503	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	10	11	12
Receipts:				
Current law:				
1130	Contributions, Library of Congress Gift Fund	7	9	11
1130	Contributions, Library of Congress Permanent Loan Account	8	4	4
1130	Income from Donated Securities, Library of Congress	4	5	5
1140	Interest, Library of Congress Permanent Loan Account	1	2	2
1199	Total current law receipts	20	20	22
1999	Total receipts	20	20	22
2000	Total: Balances and receipts	30	31	34
Appropriations:				
Current law:				
2101	Gift and Trust Fund Accounts	-19	-19	-19
5099	Balance, end of year	11	12	15

Program and Financing (in millions of dollars)

Identification code 003-9971-0-7-503	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Office of the Librarian	9	9	9
0002	Library Services	10	10	10
0900	Total new obligations, unexpired accounts	19	19	19

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	24	24	29
1033	Recoveries of prior year paid obligations		5	5
1050	Unobligated balance (total)	24	29	34
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	19	19	19
1930	Total budgetary resources available	43	48	53
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	24	29	34

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	3	3
3010	New obligations, unexpired accounts	19	19	19
3020	Outlays (gross)	-19	-19	-19
3050	Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	3	3
3200	Obligated balance, end of year	3	3	3

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	19	19	19
Outlays, gross:				
4100	Outlays from new mandatory authority	18	15	15
4101	Outlays from mandatory balances	1	4	4
4110	Outlays, gross (total)	19	19	19
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources		-5	-5
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts		5	5
4160	Budget authority, net (mandatory)	19	19	19
4170	Outlays, net (mandatory)	19	14	14
4180	Budget authority, net (total)	19	19	19
4190	Outlays, net (total)	19	14	14

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	21	23	23
5001	Total investments, EOY: Federal securities: Par value	23	23	23
5010	Total investments, SOY: non-Fed securities: Market value	145	145	145
5011	Total investments, EOY: non-Fed securities: Market value	145	145	145

GIFT AND TRUST FUND ACCOUNTS—Continued

This schedule covers: (1) funds received as gifts for immediate expenditure, funds received as trust funds for expenditure, and receipts from the sale of recordings, publications, and other materials financed from capital originally received as gifts; (2) income from investments held by or for the Library of Congress Trust Fund Board; and (3) interest paid by the Treasury on the principal funds deposited therewith as described under "Library of Congress Trust Fund, Principal Accounts." The Library has seven program areas related to Gift and Trust funds:

Library Services.—The Library of Congress' collections document the history and further the creativity of the American people, as well as record and contribute to the advancement of civilization and knowledge throughout the world. Library Services performs most of the Library's conventional library functions, providing acquisitions, description, preservation, reference, and access services for its collection and significant support to collections held by the Law Library. Staff provide assistance to researchers and visitors through reference, circulation, orientation, and program services; Library Services supports government agencies, cultural institutions, and other libraries through its catalog records, standards work, and professional and collaborative programs. Library Services also administers numerous unique LC programs including the National Audio Visual Conservation Center, the Veterans History Project, the Cooperative Acquisitions Program (managed through LS's six Overseas Offices), the National Digital Newspaper Program, the Surplus Books Program, and the Congressional Cartography Program.

Law Library.—The Law Library of Congress maintains a global law collection of U.S. legal materials, and collections from more than 240 foreign jurisdictions. The collection consists of nearly 3 million volumes and 3 million pieces of microfiche. Reference specialists and foreign legal staff provide legal research reference products and services, to all three branches of the government and the entire nation.

Copyright Office.—The Copyright Office administers the U.S. Copyright Laws; provides expert advice to the Congress on matters relating to copyright law and policy; provides information and assistance to the executive branch and the courts; and promotes international protection of U.S. copyrighted works.

Congressional Research Service.—The Congressional Research Service (CRS) serves all Members and committees of Congress. CRS experts provide Congress with authoritative, confidential, non-partisan, and objective expertise across the full range of legislative policy issues.

Office of the Chief Operating Officer.—The Office of the Chief Operating Officer (OCOO) organization provides comprehensive services, manages institutional programs, and oversees regulatory compliance in the areas of financial management; human capital; contracting; facilities, safety and health services; asset management; personnel security and emergency preparedness; and programs that deliver fee-based services. OCOO oversees gift and trust fund activities that provide assistance to the Library of Congress staff with emergencies that exceed their financial capacity, supports leadership development at the Library with a focus on enabling minorities to ascend to leadership positions, supports workshops, seminars and training for professional development programs.

Object Classification (in millions of dollars)

Identification code 003-9971-0-7-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	3	3	3
25.3 Other goods and services from Federal sources	3	3	3
31.0 Equipment	1	1	1
33.0 Investments and loans	5	5	5
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	17	17	17
99.5 Adjustment for rounding	2	2	2
99.9 Total new obligations, unexpired accounts	19	19	19

Employment Summary

Identification code 003-9971-0-7-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7	7	7

ADMINISTRATIVE PROVISION

REIMBURSABLE AND REVOLVING FUND ACTIVITIES

SEC. 140. (a) IN GENERAL.—For fiscal year [2020] 2021, the obligational authority of the Library of Congress for the activities described in subsection (b) may not exceed [\$231,975,000] \$252,552,000.

(b) ACTIVITIES.—The activities referred to in subsection (a) are reimbursable and revolving fund activities that are funded from sources other than appropriations to the Library in appropriations Acts for the legislative branch. (Legislative Branch Appropriations Act, 2020.)

GOVERNMENT PUBLISHING OFFICE

Federal Funds

CONGRESSIONAL PUBLISHING

(INCLUDING TRANSFER OF FUNDS)

For authorized publishing of congressional information and the distribution of congressional information in any format; publishing of Government publications authorized by law to be distributed to Members of Congress; and publishing, and distribution of Government publications authorized by law to be distributed without charge to the recipient, [\$79,000,000] \$78,000,000: Provided, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: Provided further, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: Provided further, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: Provided further, That unobligated or unexpended balances of expired discretionary funds made available under this heading in this Act for this fiscal year may be transferred to, and merged with, funds under the heading "Government Publishing Office Business Operations Revolving Fund" no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated, to be available for carrying out the purposes of this heading, subject to the approval of the Committee on Appropriations of the House of Representatives and the Senate: Provided further, That notwithstanding sections 901, 902, and 906 of title 44, United States Code, this appropriation may be used to prepare indexes to the Congressional Record on only a monthly and session basis. (Legislative Branch Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 004-0203-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Congressional Publishing	79	79	78
0900 Total new obligations, unexpired accounts (object class 24.0)	79	79	78
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	79	79	78
1930 Total budgetary resources available	79	79	78
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	48	44	40
3010 New obligations, unexpired accounts	79	79	78
3020 Outlays (gross)	-74	-79	-78
3041 Recoveries of prior year unpaid obligations, expired	-9	-4

3050	Unpaid obligations, end of year	44	40	40
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	48	44	40
3200	Obligated balance, end of year	44	40	40
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	79	79	78
Outlays, gross:				
4010	Outlays from new discretionary authority	60	56	55
4011	Outlays from discretionary balances	14	23	23
4020	Outlays, gross (total)	74	79	78
4180	Budget authority, net (total)	79	79	78
4190	Outlays, net (total)	74	79	78

This appropriation covers publishing for the Congress, content management, and the publishing of Government publications authorized by law to be distributed to Members of Congress. Also, this appropriation includes funding for the publishing and distribution of Government publications authorized by law to be distributed without charge to the recipients.

PUBLIC INFORMATION PROGRAMS OF THE SUPERINTENDENT OF DOCUMENTS
SALARIES AND EXPENSES
(INCLUDING TRANSFER OF FUNDS)

For expenses of the public information programs of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications in any format, and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, **[\$31,296,000] \$32,300,000: Provided,** That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating Congressional serial sets and other related publications for the preceding two fiscal years [2018 and 2019] to depository and other designated libraries: *Provided further,* That unobligated or unexpended balances of expired discretionary funds made available under this heading in this Act for this fiscal year may be transferred to, and merged with, funds under the heading "Government Publishing Office Business Operations Revolving Fund" no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated, to be available for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and the Senate. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 004-0201-0-1-808	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Depository Library Distribution	21	21	21
0002	Cataloging and Indexing	10	9	10
0003	International Exchange	1	1	1
0900	Total new obligations, unexpired accounts	32	31	32
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	32	31	32
1930	Total budgetary resources available	32	31	32
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	21	22	7
3010	New obligations, unexpired accounts	32	31	32
3020	Outlays (gross)	-27	-42	-32
3041	Recoveries of prior year unpaid obligations, expired	-4	-4
3050	Unpaid obligations, end of year	22	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	21	22	7
3200	Obligated balance, end of year	22	7	7

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	32	31	32
Outlays, gross:				
4010	Outlays from new discretionary authority	22	25	26

4011	Outlays from discretionary balances	5	17	6
4020	Outlays, gross (total)	27	42	32
4180	Budget authority, net (total)	32	31	32
4190	Outlays, net (total)	27	42	32

The Public Information Programs of the Superintendent of Documents operate under a separate appropriation that provides funds (salaries and expenses) for: (1) the distribution of certain tangible publications to Members of Congress and other Government agencies, as authorized by law; (2) the distribution of Government publications to designated Federal depository libraries, as authorized by law, including tangible Government information products and online access to more than 2.5 million titles via GPO's govinfo (<https://www.govinfo.gov/>); (3) the compilation of catalogs and indexes of Government publications, as authorized by law via the "Catalog of U.S. Government Publications" (CGP) (<https://catalog.gpo.gov/>); and (4) the distribution of Federal Government publications to foreign governments via the International Exchange Service. These four functions are related to the publication activity of Federal agencies and the demands of the public, Members of Congress, and depository libraries. Following is a description of these four functions:

Distribution for other Government agencies and Members of Congress (By-Law Distribution).—The Public Information Programs of the Superintendent of Documents maintain mailing lists and distribute, at the request of Government agencies and Members of Congress, certain publications specified by public law.

Federal Depository Library Program.—Established by Congress to ensure the American public has access to its Government's information, the Federal Depository Library Program (FDLP) involves the acquisition and dissemination of Government information in all formats to Federal depository libraries across the country.

The mission of the FDLP is to coordinate with depository libraries, 1,124 nationwide, to disseminate information products from all three branches of the Government. Libraries that have been designated as Federal depositories maintain these information products (as provided by GPO) as part of their existing collections and are responsible for assuring that the public has free access to the material provided by the FDLP.

Included in this program is the maintenance and expansion of free, electronic access to information products produced by the Federal Government via govinfo. Electronic information dissemination and access have greatly expanded the number of publications offered to the Federal depository libraries as well as increasing public use of the FDLP content. As the FDLP continues its transition to a primarily electronic program, the costs of the program are increasingly related to identifying, acquiring, cataloging, linking to, authenticating, modernizing, and providing permanent public access to digital Government information.

Cataloging and indexing.—The Public Information Programs of the Superintendent of Documents are charged with preparing catalogs and indexes of all publications issued by the Federal Government that are not confidential in character. The principal publication is the web-based "Catalog of U.S. Government Publications" (CGP) (<https://catalog.gpo.gov/>). GPO's goal is to expand the CGP to a more comprehensive title listing of public documents, both historic and electronic, to increase the visibility and use of Government information products.

International Exchange Service (IES).—Under the direction of the Library of Congress (LC), the Public Information Programs of the Superintendent of Documents distributes tangible Government publications to foreign governments that agree to send the United States similar publications of their governments for LC collections.

Object Classification (in millions of dollars)

Identification code 004-0201-0-1-808	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	10	10	11
12.1	Civilian personnel benefits	3	4	4
22.0	Transportation of things	1	1	1
24.0	Printing and reproduction	8	7	6

PUBLIC INFORMATION PROGRAMS OF THE SUPERINTENDENT OF DOCUMENTS—Continued
Object Classification—Continued

Identification code 004-0201-0-1-808	2019 actual	2020 est.	2021 est.
25.2 Other services from non-Federal sources	10	9	10
99.9 Total new obligations, unexpired accounts	32	31	32

Employment Summary

Identification code 004-0201-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	85	100	100

GOVERNMENT PUBLISHING OFFICE BUSINESS OPERATIONS REVOLVING FUND

For payment to the Government Publishing Office Business Operations Revolving Fund, **[\$6,704,000] \$6,700,000**, to remain available until expended, for information technology development and facilities repair: *Provided*, That the Government Publishing Office is hereby authorized to make such expenditures, within the limits of funds available and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Publishing Office Business Operations Revolving Fund: *Provided further*, That not more than \$7,500 may be expended on the certification of the Director of the Government Publishing Office in connection with official representation and reception expenses: *Provided further*, That the Business Operations Revolving Fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: *Provided further*, That expenditures in connection with travel expenses of the advisory councils to the Director of the Government Publishing Office shall be deemed necessary to carry out the provisions of title 44, United States Code: *Provided further*, That the Business Operations Revolving Fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: *Provided further*, That activities financed through the Business Operations Revolving Fund may provide information in any format: *Provided further*, That the Business Operations Revolving Fund and the funds provided under the heading "Public Information Programs of the Superintendent of Documents" may not be used for contracted security services at Government Publishing Office's passport facility in the District of Columbia. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 004-4505-0-4-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Business Operations	870	880	905
0811 Capital investment	33	48	29
0900 Total new obligations, unexpired accounts	903	928	934
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	285	290	225
1001 Discretionary unobligated balance brought fwd, Oct 1	6	12
1012 Unobligated balance transfers between expired and unexpired accounts	13	8
1050 Unobligated balance (total)	298	298	225
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	7	7
Spending authority from offsetting collections, mandatory:			
1800 Collected	847	860	860
1801 Change in uncollected payments, Federal sources	42	-12	-12
1850 Spending auth from offsetting collections, mand (total)	889	848	848
1900 Budget authority (total)	895	855	855
1930 Total budgetary resources available	1,193	1,153	1,080
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	290	225	146
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	469	541	544

3010 New obligations, unexpired accounts	903	928	934
3020 Outlays (gross)	-831	-925	-883
3050 Unpaid obligations, end of year	541	544	595
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-179	-221	-209
3070 Change in uncollected pymts, Fed sources, unexpired	-42	12	12
3090 Uncollected pymts, Fed sources, end of year	-221	-209	-197
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	290	320	335
3200 Obligated balance, end of year	320	335	398

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	6	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority	4	4
4011 Outlays from discretionary balances	12	9	10
4020 Outlays, gross (total)	12	13	14
Mandatory:			
4090 Budget authority, gross	889	848	848
Outlays, gross:			
4100 Outlays from new mandatory authority	534	678	678
4101 Outlays from mandatory balances	285	234	191
4110 Outlays, gross (total)	819	912	869
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-843	-855	-855
4123 Non-Federal sources	-4	-5	-5
4130 Offsets against gross budget authority and outlays (total)	-847	-860	-860
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-42	12	12
4170 Outlays, net (mandatory)	-28	52	9
4180 Budget authority, net (total)	6	7	7
4190 Outlays, net (total)	-16	65	23

All GPO activities are financed through the agency's Business Operations Revolving Fund, established by section 309 of Title 44, U.S.C. This business-like fund is used to pay all GPO costs in performing congressional and agency publishing, printing and information product procurement, publications dissemination and space sharing activities. It is reimbursed from payments from customer agencies, sales to the public, and transfers from GPO's two annual appropriations: the Congressional Publishing Appropriation and the Public Information Programs of the Superintendent of Documents Appropriation. GPO pays its expenses from the Fund and the Fund is reimbursed when the Treasury Department transfers money from customer agency accounts to the Fund when they pay GPO's invoices. This procedure also applies to the payment of transfers from the Congressional Publishing and Public Information Programs appropriations, and to deposits of funds collected from sales to the public. GPO maintains a cash balance in the Business Operations Revolving Fund that is used to pay all expenses. The cash balance fluctuates daily as payments are received from agency reimbursements, customer payments, and transfers from GPO appropriations. Under GPO's system of accrual accounting, annual earnings generated since the inception of the Fund have been accumulated as retained earnings. Retained earnings make it possible for GPO to fund a significant amount of technology modernization. However, appropriations for essential investments in technology and facilities upgrades are requested when necessary. GPO is accountable for its finances. Each year, the agency's finances and financial controls are audited by an independent outside audit firm working under contract with GPO's Office of Inspector General. For FY 2019, the audit concluded with GPO earning an unmodified, or clean, opinion on its finances, the 23rd consecutive year GPO has earned such an audit result.

Object Classification (in millions of dollars)

Identification code 004-4505-0-4-808	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	160	164	171
11.5 Other personnel compensation	6	6	6
11.9 Total personnel compensation	166	170	177

12.1	Civilian personnel benefits	58	63	65
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	10	10	10
23.2	Rental payments to others	4	5	5
23.3	Communications, utilities, and miscellaneous charges	14	15	15
24.0	Printing and reproduction	411	380	388
25.2	Other services from non-Federal sources	51	68	71
26.0	Supplies and materials	155	168	173
31.0	Equipment	33	48	29
99.9	Total new obligations, unexpired accounts	903	928	934

Employment Summary

Identification code 004-4505-0-4-808	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,579	1,579	1,579

GOVERNMENT ACCOUNTABILITY OFFICE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Government Accountability Office, including not more than \$12,500 to be expended on the certification of the Comptroller General of the United States in connection with official representation and reception expenses; temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level IV of the Executive Schedule under section 5315 of such title; hire of one passenger motor vehicle; advance payments in foreign countries in accordance with section 3324 of title 31, United States Code; benefits comparable to those payable under sections 901(5), (6), and (8) of the Foreign Service Act of 1980 (22 U.S.C. 4081(5), (6), and (8)); and under regulations prescribed by the Comptroller General of the United States, rental of living quarters in foreign countries, **[\$630,000,000] \$706,142,000** : *Provided*, That, in addition, **[\$24,800,000] \$31,342,000** of payments received under sections 782, 791, 3521, and 9105 of title 31, United States Code, shall be available without fiscal year limitation: *Provided further*, That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the National Intergovernmental Audit Forum or a Regional Intergovernmental Audit Forum shall be available to finance an appropriate share of either Forum's costs as determined by the respective Forum, including necessary travel expenses of non-Federal participants: *Provided further*, That payments hereunder to the Forum may be credited as reimbursements to any appropriation from which costs involved are initially financed. (*Legislative Branch Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 005-0107-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 GOAL 1-Address Current and Emerging Challenges to the Well-being and Financial Security of the American People	223	240	270
0002 GOAL 2-Respond to Changing Security Threats and the Challenges of Global Interdependence	171	184	207
0003 GOAL 3-Help Transform the Federal Government to Address National Challenges	152	163	184
0004 GOAL 4-Maximize the Value of GAO by Enabling Quality, Timely Service to the Congress, and by Being a Leading Practices Federal Agency	14	15	17
0005 GOAL 8-Other Costs in Support of the Congress	49	53	59
0799 Total direct obligations	609	655	737
0801 Reimbursable program activity goal 1	1	1
0803 Reimbursable program activity goal 3	17	14	3
0805 Reimbursable program activity goal 8	11	9	2
0809 Reimbursable program activities, subtotal	29	24	5
0899 Total reimbursable obligations	29	24	5
0900 Total new obligations, unexpired accounts	638	679	742
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	58	44
1021 Recoveries of prior year unpaid obligations	2
1033 Recoveries of prior year paid obligations	3
1050 Unobligated balance (total)	61	58	44

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	600	630	706
Spending authority from offsetting collections, discretionary:				
1700	Collected	29	35	27
1701	Change in uncollected payments, Federal sources	6
1750	Spending auth from offsetting collections, disc (total)	35	35	27
1900	Budget authority (total)	635	665	733
1930	Total budgetary resources available	696	723	777
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	58	44	35

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	90	96	48
3010	New obligations, unexpired accounts	638	679	742
3011	Obligations ("upward adjustments"), expired accounts	4	6
3020	Outlays (gross)	-633	-662	-733
3040	Recoveries of prior year unpaid obligations, unexpired	-2
3041	Recoveries of prior year unpaid obligations, expired	-1	-71
3050	Unpaid obligations, end of year	96	48	57
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-14	-20	-20
3070	Change in uncollected pymts, Fed sources, unexpired	-6
3090	Uncollected pymts, Fed sources, end of year	-20	-20	-20
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	76	76	28
3200	Obligated balance, end of year	76	28	37

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	635	665	733
Outlays, gross:				
4010	Outlays from new discretionary authority	550	649	725
4011	Outlays from discretionary balances	83	13	8
4020	Outlays, gross (total)	633	662	733
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-28	-35	-27
4033	Non-Federal sources	-7
4040	Offsets against gross budget authority and outlays (total)	-35	-35	-27
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-6
4052	Offsetting collections credited to expired accounts	3
4053	Recoveries of prior year paid obligations, unexpired accounts	3
4070	Budget authority, net (discretionary)	600	630	706
4080	Outlays, net (discretionary)	598	627	706
4180	Budget authority, net (total)	600	630	706
4190	Outlays, net (total)	598	627	706

GAO exists to support the Congress in meeting its constitutional responsibilities and to help improve the performance and ensure the accountability of the Federal Government for the benefit of the American people.

Object Classification (in millions of dollars)

Identification code 005-0107-0-1-801	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	359	383	411
11.3	Other than full-time permanent	15	15	15
11.5	Other personnel compensation	5	8	10
11.9	Total personnel compensation	379	406	436
12.1	Civilian personnel benefits	123	137	154
21.0	Travel and transportation of persons	8	8	8
23.1	Rental payments to GSA	6	6	6
23.3	Communications, utilities, and miscellaneous charges	7	9	16
25.1	Advisory and assistance services	4	3	5
25.2	Other services from non-Federal sources	11	13	31
25.3	Other goods and services from Federal sources	1	1	1
25.4	Operation and maintenance of facilities	8	6	11
25.7	Operation and maintenance of equipment	53	59	59
31.0	Equipment	1	1	1
32.0	Land and structures	8	6	9
99.0	Direct obligations	609	655	737
99.0	Reimbursable obligations	29	24	5
99.9	Total new obligations, unexpired accounts	638	679	742

SALARIES AND EXPENSES—Continued
Employment Summary

Identification code 005-0107-0-1-801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,072	3,110	3,158
2001 Reimbursable civilian full-time equivalent employment	89	90	92

UNITED STATES TAX COURT
Federal Funds

SALARIES AND EXPENSES

For necessary expenses, including contract reporting and other services as authorized by 5 U.S.C. 3109, [and not to exceed \$3,000 for official reception and representation expenses: \$53,000,000] \$59,250,000, of which \$1,000,000 shall remain available until expended, of which not to exceed \$3,000 is authorized for official reception and representation expenses: Provided, That the amount made available under 26 U.S.C. 7475 shall be transferred and added to any amounts available under 26 U.S.C. 7473, and shall remain available until expended, for the operation and maintenance of the United States Tax Court: Provided further, That travel expenses of the judges shall be paid upon the written certificate of the judge. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 023-0100-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	52	53	59
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	52	53	59
1930 Total budgetary resources available	54	55	61
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	8	7
3010 New obligations, unexpired accounts	52	53	59
3020 Outlays (gross)	-50	-54	-59
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	8	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	8	7
3200 Obligated balance, end of year	8	7	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	52	53	59
Outlays, gross:			
4010 Outlays from new discretionary authority	46	48	53
4011 Outlays from discretionary balances	4	6	6
4020 Outlays, gross (total)	50	54	59
4180 Budget authority, net (total)	52	53	59
4190 Outlays, net (total)	50	54	59

The U.S. Tax Court is an independent judicial body established under Article I of the Constitution of the United States. The Tax Court's jurisdiction is established by various sections of U.S. Code Title 26. The Tax Court's jurisdiction includes income, estate, gift, and certain excise tax deficiencies, declaratory judgments, review of awards under the IRS whistleblower program, and review of certain certifications by the Commissioner of Internal Revenue.

The Tax Court provides a national forum for the resolution of disputes between taxpayers and the IRS, and it is the primary court in which taxpayers can seek resolution without prepaying any portion of the disputed taxes. The Tax Court resolves cases expeditiously while giving careful consideration to the merits of each matter, and ensures uniform interpretation of

the Internal Revenue Code. Decisions by the Court are reviewable by the U.S. Courts of Appeals and, if certiorari is granted, by the Supreme Court.

The Court is composed of 19 judges who are appointed to 15-year terms by the President with the advice and consent of the Senate. Senior judges may be recalled by the chief judge to participate in case adjudication. The chief judge may also assign small tax cases and certain regular cases to special trial judges, who are appointed by the chief judge. The Court is headquartered in Washington, D.C. The Court conducts trial sessions in 74 cities throughout the United States.

Object Classification (in millions of dollars)

Identification code 023-0100-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	24	27	27
12.1 Civilian personnel benefits	6	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	9	10	11
23.3 Communications, utilities, and miscellaneous charges	1	1	4
25.1 Advisory and assistance services	2	2	2
25.3 Other goods and services from Federal sources	5	2	5
25.7 Operation and maintenance of equipment		1	
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	1	1
99.9 Total new obligations, unexpired accounts	52	53	59

Employment Summary

Identification code 023-0100-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	197	218	227

U. S. TAX COURT FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 023-5633-0-2-752	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 U. S. Tax Court Fees	1	2	1
2000 Total: Balances and receipts	1	2	1
Appropriations:			
Current law:			
2101 U. S. Tax Court Fees	-1	-2	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 023-5633-0-2-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses	1	4	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	2	1
1900 Budget authority (total)	1	2	1
1930 Total budgetary resources available	3	4	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	1	4	1
3020 Outlays (gross)	-1	-4	-1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	1	2	1
Outlays, gross:				
4100	Outlays from new mandatory authority		2	1
4101	Outlays from mandatory balances	1	2	
4110	Outlays, gross (total)	1	4	1
4180	Budget authority, net (total)	1	2	1
4190	Outlays, net (total)	1	4	1

Object Classification (in millions of dollars)

Identification code 023-5633-0-2-752	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services		2	
31.0	Equipment	1	2	1
99.9	Total new obligations, unexpired accounts	1	4	1

Trust Funds

TAX COURT JUDGES SURVIVORS ANNUITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 023-8115-0-7-602	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	12	11	12
Receipts:				
Current law:				
1110	Tax Court Judges Survivors Annuity, Deductions from Employees Salaries		1	1
1140	Tax Court Judges Survivors Annuity, Interest and Profits on Investments		1	1
1199	Total current law receipts		2	2
1999	Total receipts		2	2
2000	Total: Balances and receipts	12	13	14
Appropriations:				
Current law:				
2101	Tax Court Judges Survivors Annuity Fund	-1	-1	-1
5099	Balance, end of year	11	12	13

Program and Financing (in millions of dollars)

Identification code 023-8115-0-7-602	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Tax Court Judges Survivors Annuity Fund (Direct)		1	1
0900	Total new obligations, unexpired accounts (object class 11.5)		1	1
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	1	1	1
1930	Total budgetary resources available	2	3	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			1
3010	New obligations, unexpired accounts		1	1
3050	Unpaid obligations, end of year		1	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			1
3200	Obligated balance, end of year		1	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)			

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	12	13	13
5001	Total investments, EOY: Federal securities: Par value	13	13	13

The Tax Court Judges' Survivors Annuity Fund provides survivorship benefits to eligible surviving spouses and dependent children of deceased Tax Court judges. Participating judges pay 3.5 percent of their salaries or retired pay into the fund to cover creditable service for which payment is required. Additional funds, as needed, are provided through the Court's annual appropriation.

LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

Federal Funds

MEDICARE PAYMENT ADVISORY COMMISSION

SALARIES AND EXPENSES

For expenses necessary to carry out section 1805 of the Social Security Act, **[\$12,545,000] \$13,575,000**, to be transferred to this appropriation from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 235-1550-0-1-571	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Medicare Payment Advisory Commission (Reimbursable)	12	13	14
0809	Reimbursable program activities, subtotal	12	13	14
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	13	13	14
1930	Total budgetary resources available	13	14	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	3	3
3010	New obligations, unexpired accounts	12	13	14
3020	Outlays (gross)	-12	-13	-13
3050	Unpaid obligations, end of year	3	3	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	3	3
3200	Obligated balance, end of year	3	3	4

Budget authority and outlays, net:

Identification code 235-1550-0-1-571	2019 actual	2020 est.	2021 est.	
Discretionary:				
4000	Budget authority, gross	13	13	14
Outlays, gross:				
4010	Outlays from new discretionary authority	10	10	10
4011	Outlays from discretionary balances	2	3	3
4020	Outlays, gross (total)	12	13	13
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-13	-13	-14
4040	Offsets against gross budget authority and outlays (total)	-13	-13	-14
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-1		-1

The Medicare Payment Advisory Commission, established under section 1805 of the Social Security Act (42 U.S.C. 1395(b)(6) as added by section 4022 of the Balanced Budget Act of 1997 (P.L. 105-33), is an independent legislative agency charged with advising the Congress on payment and other policy issues affecting the Medicare program, as well as on the implications of changes in health care delivery in the United States and in the market for health care services on the Medicare program.

MEDICARE PAYMENT ADVISORY COMMISSION—Continued

The Commission's 17 members represent diverse points of view including providers, payers, consumers, employers, and individuals with expertise in biomedical, health services, and health economics research. It maintains a full time staff of 33 in Washington, D.C.

The Commission is required by law to report to the Congress on March 1 and June 15 of each year, and to comment on Congressionally mandated reports of the Secretary of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 235-1550-0-1-571	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	5	5	6
99.0 Reimbursable obligations	13	13	14
99.5 Adjustment for rounding	-1		
99.9 Total new obligations, unexpired accounts	12	13	14

Employment Summary

Identification code 235-1550-0-1-571	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	35	36	36

MEDICAID AND CHIP PAYMENT AND ACCESS COMMISSION

SALARIES AND EXPENSES

For expenses necessary to carry out section 1900 of the Social Security Act, \$8,780,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 009-1801-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0123 Medicaid and CHIP Payment and Access Commission (Direct)	9	9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	9	9
1900 Budget authority (total)	8	9	9
1930 Total budgetary resources available	9	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	2
3010 New obligations, unexpired accounts	9	9	9
3020 Outlays (gross)	-8	-10	-9
3050 Unpaid obligations, end of year	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	2
3200 Obligated balance, end of year	3	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	6	9	9
4011 Outlays from discretionary balances	2	1	
4020 Outlays, gross (total)	8	10	9
4180 Budget authority, net (total)	8	9	9
4190 Outlays, net (total)	8	10	9

The Medicaid and CHIP Payment and Access Commission (MACPAC) is a non-partisan legislative branch agency that provides policy and data analysis and makes recommendations to Congress, the Secretary of the

U.S. Department of Health and Human Services, and the states on a wide array of issues affecting Medicaid and the State Children's Health Insurance Program (CHIP). The U.S. Comptroller General appoints MACPAC's 17 commissioners, who come from diverse regions across the United States and bring broad expertise and a wide range of perspectives on Medicaid and CHIP.

MACPAC serves as an independent source of information on Medicaid and CHIP, publishing issue briefs and data reports throughout the year to support policy analysis and program accountability. The Commission's authorizing statute, 42 U.S.C. 1396, outlines a number of areas for analysis, including: payment; eligibility; enrollment and retention; coverage; access to care; quality of care; and the programs' interaction with Medicare and the health care system generally.

MACPAC's authorizing statute also requires the Commission to submit reports to Congress by March 15 and June 15 of each year. In carrying out its work, the Commission holds public meetings and regularly consults with state officials, congressional and executive branch staff, beneficiaries, health care providers, researchers, and policy experts.

Object Classification (in millions of dollars)

Identification code 009-1801-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	3	3	3
99.0 Direct obligations	7	8	8
99.5 Adjustment for rounding	2	1	1
99.9 Total new obligations, unexpired accounts	9	9	9

Employment Summary

Identification code 009-1801-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	29	30	30

UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW COMMISSION

SALARIES AND EXPENSES

For necessary expenses of the United States-China Economic and Security Review Commission, as authorized by section 1238 of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (22 U.S.C. 7002), **[\$3,500,000] \$4,000,000**, including not more than \$4,000 for representation expenses, to remain available until September 30, **[2021] 2022: Provided**, That the authorities, requirements, limitations, and conditions contained in the second through sixth provisos under this heading in the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111-117) shall continue in effect during fiscal year **[2020] 2021** and shall apply to funds appropriated under this heading. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 292-2973-0-1-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United States-China Economic and Security Review Commission (Direct)	4	4	4
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1
3010	New obligations, unexpired accounts	4	4
3020	Outlays (gross)	-3	-4
3050	Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1
3200	Obligated balance, end of year	1	1
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	4	4
Outlays, gross:			
4010	Outlays from new discretionary authority	2	3
4011	Outlays from discretionary balances	1	1
4020	Outlays, gross (total)	3	4
4180	Budget authority, net (total)	4	4
4190	Outlays, net (total)	3	4

U.S.-China Economic and Security Review Commission.—Congress created the U.S.-China Economic and Security Review Commission in 2000 in the National Defense Authorization Act (Public Law 106–398) as amended by Division P of the Consolidated Appropriations Resolution, 2003 (Public Law 108–7), as amended by Public Law 109–108 (November 10, 2005), as amended by Public Law 113–291 (December 19, 2014). The statute gives the Commission the mandate to monitor, investigate, and assess the "national security implications of the bilateral trade and economic relationship between the United States and the People's Republic of China." Its members are appointed by Congressional leaders, and its statutory mandate is to report to Congress on Chinese proliferation practices; the qualitative and quantitative effects of transfers of U.S. economic production activities to China; the effects of the need for energy on China's foreign and military policies and the impact of China's growing economy on world energy resources; foreign investment by the U.S. in China, and China's foreign investment in the U.S.; the military plans, strategy, doctrine and structure of China's military; strategic economic and security implications of China's cyber capabilities and operations; China's national budget, fiscal policy, monetary policy, and currency management practices; the drivers, nature, and implications of China's growing economic, technological, political, cultural, people-to-people, and security relations of China with other countries and international organizations; China's compliance with its commitments to the World Trade Organization and other bilateral and multilateral agreements; the implications of China's restrictions on freedom of expression; and the safety of food, drug, and other products imported from China. The Commission reports annually on these issues to the Congress, making recommendations for policy action and legislation when appropriate. In order to obtain new information and perspectives on these issues, the Commission conducts hearings throughout the year and maintains a website containing the records of these proceedings as well as original research on economic and security matters related to the Commission's statutory mandate.

The Commission is comprised of 12 Commissioners, 3 Commissioners appointed by each leader in the House and Senate, supported by a professional staff numbering approximately 20. The chairmanship of the Commission rotates between a Republican and a Democratic Commissioner upon issuance of each annual report to Congress.

Object Classification (in millions of dollars)			
Identification code 292–2973–0–1–801	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	2	2
99.5	Adjustment for rounding	2	2
99.9	Total new obligations, unexpired accounts	4	4

Employment Summary

Identification code 292–2973–0–1–801	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	20	20
1001	Direct civilian full-time equivalent employment	12	12

**UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM
SALARIES AND EXPENSES**

For necessary expenses for the United States Commission on International Religious Freedom (USCIRF), as authorized by title II of the International Religious Freedom Act of 1998 (22 U.S.C. 6431 et seq.), \$4,500,000, to remain available until September 30, [2021] 2022, including not more than \$4,000 for representation expenses: *Provided*, That prior to the obligation of \$1,000,000 of the funds appropriated under this heading, the Commission shall consult with the appropriate congressional committees on the status of legislation to reauthorize the Commission, and such funds shall be subject to the regular notification procedures of the Committees on Appropriations. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 295–2975–0–1–801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	United States Commission on International Religious Freedom (Direct)	4	4
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	3
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	5	5
1930	Total budgetary resources available	7	8
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	4
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	4	4
3020	Outlays (gross)	-4	-4
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	5	5
Outlays, gross:			
4010	Outlays from new discretionary authority	2	2
4011	Outlays from discretionary balances	2	2
4020	Outlays, gross (total)	4	4
4180	Budget authority, net (total)	5	5
4190	Outlays, net (total)	4	4

The United States Commission on International Religious Freedom is an independent, bipartisan U.S. Government agency that was created by the International Religious Freedom Act of 1998, as amended by the Frank R. Wolf International Religious Freedom Act, to monitor the status of the freedom of thought, conscience, and religion or belief abroad, as defined in the Universal Declaration of Human Rights and related international instruments, and to give independent policy recommendations to the President, the Secretary of State and the Congress.

Object Classification (in millions of dollars)			
Identification code 295–2975–0–1–801	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2
21.0	Travel and transportation of persons	1	1
25.2	Other services from non-Federal sources	1	1
99.0	Direct obligations	4	4
99.9	Total new obligations, unexpired accounts	4	4

UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM—Continued
Employment Summary

Identification code 295–2975–0–1–801	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	17	20

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

DWIGHT D. EISENHOWER MEMORIAL COMMISSION

SALARIES AND EXPENSES

For necessary expenses of the Dwight D. Eisenhower Memorial Commission, \$1,800,000, to remain available until expended.

WORLD WAR I CENTENNIAL COMMISSION

SALARIES AND EXPENSES

Notwithstanding section 9 of the World War I Centennial Commission Act, [as authorized by the World War I Centennial Commission Act (Public Law 112–272) and the Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act for Fiscal Year 2015 (Public Law 113–291), for necessary expenses of the World War I Centennial Commission, \$7,000,000] *\$6,000,000*, to remain available until September 30, 2021: *Provided*, That in addition to the authority provided by section 6(g) of such Act, the World War I Commission may accept money, in-kind personnel services, contractual support, or any appropriate support from any executive branch agency for activities of the Commission. *is hereby appropriated to the World War I Centennial Commission to remain available for obligation until expended, for activities of the Commission including construction of the National WWI Memorial. It is the intent of the Congress that executive branch agencies, including specifically the Department of Defense and the uniformed services, in addition to this appropriation, shall support activities of the World War I Centennial Commission with in-kind personnel or other appropriate support; contractual support; and/or additional funding. Notwithstanding other applicable statutes or regulations, the World War I Centennial Commission shall continue in existence for not more than 180 days following the Dedication of the National World War I Memorial.*

WOMEN'S SUFFRAGE CENTENNIAL COMMISSION

SALARIES AND EXPENSES

For necessary expenses for the Women's Suffrage Centennial Commission, as authorized by the Women's Suffrage Centennial Commission Act (section 431(a)(3) of division G of Public Law 115–31), \$1,000,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

COMMISSION ON SECURITY AND COOPERATION IN EUROPE

SALARIES AND EXPENSES

For necessary expenses of the Commission on Security and Cooperation in Europe, as authorized by Public Law 94–304 (22 U.S.C. 3001 et seq.), \$2,579,000, including not more than \$4,000 for representation expenses, to remain available until September 30, 2021.

CONGRESSIONAL-EXECUTIVE COMMISSION ON THE PEOPLE'S REPUBLIC OF CHINA

SALARIES AND EXPENSES

For necessary expenses of the Congressional-Executive Commission on the People's Republic of China, as authorized by title III of the U.S.-China Relations Act of 2000 (22 U.S.C. 6911 et seq.), \$2,250,000, including not more than \$3,000 for representation expenses, to remain available until September 30, 2021. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 009–9911–0–1–999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Women's Suffrage Centennial Commission	1	1	1
0003 Dwight D. Eisenhower Memorial Commission	2	3	1
0004 World War I Centennial Commission	8	12	6
0006 Commission on Security and Cooperation in Europe	3	3	3
0007 Western Hemisphere Drug Policy Commission		1	
0008 Congressional Executive Commission on the People's Republic of China	2	2	2

0900 Total new obligations, unexpired accounts	16	22	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	13	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation 48–2979	1	1	1
1100 Appropriation 48–2989	2	2	
1100 Appropriation 48–5589	7	7	6
1100 Appropriation 09–0110	3	3	3
1100 Appropriation 48–2800	2		
1100 Appropriation 95–2930	2	2	2
1121 Appropriations transferred from other acct [014–1036] ...	1	3	
1160 Appropriation, discretionary (total)	18	18	12
1900 Budget authority (total)	18	18	12
1930 Total budgetary resources available	29	31	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	9	8

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2	
3010 New obligations, unexpired accounts	16	22	13
3020 Outlays (gross)	–18	–24	–13
3050 Unpaid obligations, end of year	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2	
3200 Obligated balance, end of year	2		

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	18	18	12
Outlays, gross:			
4010 Outlays from new discretionary authority	10	16	11
4011 Outlays from discretionary balances	8	8	2
4020 Outlays, gross (total)	18	24	13
4180 Budget authority, net (total)	18	18	12
4190 Outlays, net (total)	18	24	13

Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1	1	1
5001 Total investments, EOY: Federal securities: Par value	1	1	1

This presentation includes the following:

Commission on Security and Cooperation in Europe.—The Commission on Security and Cooperation in Europe is authorized and directed to monitor the acts of the signatories which reflect compliance with or violation of the articles of the Final Act of the Conference on Security and Cooperation in Europe, with particular regard to the provisions relating to Cooperation in Humanitarian Fields. The law establishing the Commission on Security and Cooperation in Europe also mandated it to monitor and encourage U.S. Government and private activities designed to expand East-West trade and the exchange of people and ideas. The Commission will receive an annual report from the Secretary of State discussing the overall United States policy objectives that are advanced through meetings of decision-making bodies of the Organization for Security and Cooperation in Europe (OSCE), the OSCE implementation review process, and other activities of the OSCE.

Congressional-Executive Commission on the People's Republic of China.—Congress created the Congressional-Executive Commission on the People's Republic of China (CECC) in 2000 by passing Title III of P.L. 106–286, the China Relations Act of 2000. The statute gives the Commission the mandate to monitor the Chinese government's compliance with international human rights standards and to track the development of the rule of law in China. The Commission reports annually on these issues to the President and the Congressional leadership, making recommendations for policy action and legislation when appropriate. The CECC was also charged with creating and maintaining a registry of victims of human rights abuses in China, including prisoners of conscience. The CECC conducts hearings and staff-led issues roundtables throughout the year and maintains a website containing the records of these proceedings, as well as other information about human rights and rule of law issues

in China. The CECC seeks to be a resource on these issues for Capitol Hill, the NGO community, the academic world, and the general public.

The Commission comprises nine Senators, nine Members of the House of Representatives, and five Executive Branch officials, supported by a professional staff numbering about 15 people. The chairmanship of the CECC rotates from the Senate to the House in even-numbered Congresses.

Dwight D. Eisenhower Memorial Commission.—The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106–79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied forces in Europe in World War II and subsequently as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created in the nation's capital to perpetuate his memory and his contributions to the United States.

World War I Centennial Commission.—The World War I Centennial Commission was created by Congress in 2013 by P.L. 112–272, and amended in 2014 by P.L. 113–291 to ensure a suitable observance of the centennial of World War I, which ushered in the 'American Century'. It began the advance of the rights of women and minorities in the U.S., and sowed the seeds for international conflicts for a century, many of which are ongoing today. America's support of Great Britain, France, Belgium, and its other allies in World War I marked the first time in United States history that American soldiers went abroad in defense of liberty against foreign aggression. 4.7 million men and women from the United States served in uniform during World War I, among them 2 future presidents, Harry S. Truman and Dwight D. Eisenhower. Two million individuals from the United States served overseas during World War I, including 200,000 naval personnel who served on the seas. The United States suffered 375,000 casualties during World War I, including 116,516 deaths, more than in the Korean War and Vietnam War combined. The centennial of World War I offers an opportunity for people in the United States to learn about and commemorate the sacrifices of their predecessors. Commemorative programs, activities, and sites allow them to learn about the history of World War I, the United States involvement in that war, and the war's effects on the remainder of the 20th and into the 21st century, and to commemorate and honor the participation of the United States and its citizens in the war effort. Congress also redesignated Pershing Park in the District of Columbia as a 'World War I Memorial', and authorized The Commission to plan, develop, and execute ceremonies for that redesignation, and for the enhancement of the General Pershing Commemorative Work by constructing an World War I Memorial containing appropriate sculptural and other commemorative elements, including landscaping, to further honor the service of members of the United States Armed Forces in World War I. Although the Commission is scheduled to sunset in July of 2019, P.L. 113–291 provides for continuation of the Commission to enable completion of the World War I Memorial under section 3091(b) of the National Defense Authorization Act for Fiscal Year 2015. The Commission is bipartisan and consists of 12 private citizens; 6 are appointed by the Congress, 3 are appointed by the President, 1 each is appointed by the National World War I Museum in Kansas City, MO, the VFW, and the American Legion. The Commission is augmented by ex-officio members and advisors: The Archivist of the United States, The Librarian of Congress, The Secretary of the Smithsonian Institution, The Secretary of Education, The Secretary of State, The Secretary of Veterans Affairs, The Administrator of General Services, The Department of Defense, The Department of Homeland Security, and the Secretary of the Interior.

Women's Suffrage Centennial Commission.—The mission of the Women's Suffrage Centennial Commission is to commemorate and coordinate the nationwide celebration of the 100th anniversary of the 19th Amendment and to educate the American people about the history and leaders of the women's suffrage movement in the United States in a nonpartisan fashion.

Object Classification (in millions of dollars)

Identification code 009–9911–0–1–999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	5	5
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	6	6	6
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	9	15	6
99.0 Direct obligations	16	22	13
99.9 Total new obligations, unexpired accounts	16	22	13

Employment Summary

Identification code 009–9911–0–1–999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8	8	8
1001 Direct civilian full-time equivalent employment	5	5	5
1001 Direct civilian full-time equivalent employment	3	4	4
1001 Direct civilian full-time equivalent employment	15	16	16

CAPITAL CONSTRUCTION, DWIGHT D. EISENHOWER MEMORIAL COMMISSION

Program and Financing (in millions of dollars)

Identification code 283–2990–0–1–801	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	76	30
3020 Outlays (gross)	–46	–30
3050 Unpaid obligations, end of year	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	76	30
3200 Obligated balance, end of year	30
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	46	30
4180 Budget authority, net (total)
4190 Outlays, net (total)	46	30

Dwight D. Eisenhower Memorial Commission.—The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106–79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied Forces in Europe in World War II and subsequently, as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created in the nation's capital to perpetuate his memory and his contributions to the United States. The Commission is bipartisan and consists of four members of the Senate, four members of the House of Representatives, and four private citizens appointed by the President. The Commission is led by Senator Pat Roberts (Chair) and Congressman Mike Thompson (Vice Chair). Its work on building the memorial since 2001 has been informed by the active participation of the Eisenhower family, expert historians, along with input from members of Congress and federal review agencies. The memorial site, within Area 1, was approved by Congress and enacted by the President on May 5, 2006 (P.L. 109–220). Area 1 is the prominent area of the Nation's Capital reserved for memorials and monuments whose subjects are of preeminent historical and lasting significance to the United States. The memorial site is located between 4th and 6th

CAPITAL CONSTRUCTION, DWIGHT D. EISENHOWER MEMORIAL
COMMISSION—Continued

Streets, S.W., Washington, D.C. on Independence Avenue. The Commission seeks to conduct the memorial's dedication on May 8, 2020, the 75th anniversary of Victory in Europe (V-E) Day, allowing for our remaining World War II heroes to honor Eisenhower, their commander in Europe. Following the dedication, a transitional period will occur wherein oversight of the memorial will transfer from the Commission to the National Park Service. Funds for salaries and expenses should continue through FY 2021 and remain available until expended.

DWIGHT D. EISENHOWER MEMORIAL FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 283-5549-0-2-801	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Contributions, Dwight D. Eisenhower Memorial Fund	3	3	3
2000 Total: Balances and receipts	3	3	3
Appropriations:			
Current law:			
2101 Dwight D. Eisenhower Memorial Fund	-3	-3	-3
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 283-5549-0-2-801	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	2	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	2	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	4	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1930 Total budgetary resources available	6	7	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	5	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	2
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-1	-4	-4
3050 Unpaid obligations, end of year	4	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	2
3200 Obligated balance, end of year	4	2	
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	1	2	2
4101 Outlays from mandatory balances		2	2
4110 Outlays, gross (total)	1	4	4
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	1	4	4

OPEN WORLD LEADERSHIP CENTER TRUST FUND

For a payment to the Open World Leadership Center Trust Fund for financing activities of the Open World Leadership Center under section 313 of the Legislative Branch Appropriations Act, 2001 (2 U.S.C. 1151), \$5,900,000: *Provided*, That funds made available to support Russian participants shall only be used for those engaging in free market development, humanitarian activities, and civic engagement, and

shall not be used for officials of the central government of Russia. (*Legislative Branch Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 009-0145-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Open World Leadership Center Trust Fund (Direct)	6	6	6
0900 Total new obligations, unexpired accounts (object class 94.0)	6	6	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1011 Unobligated balance transfer from other acct [072-0306]	1		
1050 Unobligated balance (total)	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	6
1900 Budget authority (total)	6	6	6
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	6	6	6
3020 Outlays (gross)	-6	-6	-6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority	6	6	6
4180 Budget authority, net (total)	6	6	6
4190 Outlays, net (total)	6	6	6

The Open World Leadership Center, under the direction of its Board of Trustees, supports the identification of emerging leaders from foreign countries selected by the Board of Trustees and oversees the development of an intensive program in the United States to link up to 3,000 participants each year with U.S. counterparts. The Center's mission entails enhancing the understanding and capabilities for cooperation between the United States and participating countries by developing a network of leaders who have gained significant, first-hand exposure to America's democratic and accountable government. The Center has also administered a program to enable cultural leaders from the Russian Federation to gain exposure to the operations of American cultural institutions.

The Center is authorized to solicit and accept federal and private funds, in addition to receipt of this appropriation, and to invest appropriated funds in par value securities at the U.S. Treasury. The Center is governed by an eleven-member board of trustees, composed of the Librarian of Congress, members of the U.S. Senate and House of Representatives and representatives of the private sector. The Center is authorized to obtain a wide range of administrative support, including space, from the Library of Congress.

Fiscal 2021 funding supports U.S. grants and logistical services for hosting in communities throughout the United States as well as other operating expenses of the Center.

Trust Funds

JOHN C. STENNIS CENTER FOR PUBLIC SERVICE TRAINING AND DEVELOPMENT

For payment to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105), \$430,000. (*Legislative Branch Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 009-8275-0-7-801	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	7	7	8

Receipts:				
Current law:				
1140	Payments, John C. Stennis Center for Public Service Training and Development	1	1	1
2000	Total: Balances and receipts	8	8	9
Appropriations:				
Current law:				
2101	John C. Stennis Center for Public Service Training and Development	-1		
5099	Balance, end of year	7	8	9

Program and Financing (in millions of dollars)

Identification code 009-8275-0-7-801	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	John C. Stennis Center for Public Service Training and Development (Direct)	2	1	1
0900	Total new obligations, unexpired accounts (object class 25.2)	2	1	1

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	9	9	9
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	1	1	1
1201	Appropriation (special or trust fund)	1		
1260	Appropriations, mandatory (total)	2	1	1
1930	Total budgetary resources available	11	10	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	9	9	9

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	2	1	1
3020	Outlays (gross)	-2	-1	-1

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority	2	1	1
4180	Budget authority, net (total)	2	1	1
4190	Outlays, net (total)	2	1	1

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	16	16	16
5001	Total investments, EOY: Federal securities: Par value	16	16	16

The principal for this fund was established by the transfer of \$7,500,000 from the appropriation "Payment to the John C. Stennis Center". The principal for the Stennis Center Fund is a non-expendable corpus invested in Special Issue Certificates of Indebtedness with the U.S. Treasury. The Center's operations are funded by the interest on these Treasury investments as well as by other funds and contributions provided by outside sources.

U.S. CAPITOL PRESERVATION COMMISSION

Program and Financing (in millions of dollars)

Identification code 009-8300-0-7-801	2019 actual	2020 est.	2021 est.
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Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	11	11	11
1930	Total budgetary resources available	11	11	11
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	11	11	11
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	11	11	11
5001	Total investments, EOY: Federal securities: Par value	11	11	11

OPEN WORLD LEADERSHIP CENTER TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 009-8148-0-7-154	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			1
Receipts:				
Current law:				
1130	Gifts and Donations, Open World Leadership Center Trust Fund		1	1
1140	Payment from the General Fund, Open World Leadership Center Trust Fund	6	6	6
1199	Total current law receipts	6	7	7
1999	Total receipts	6	7	7
2000	Total: Balances and receipts	6	7	8
Appropriations:				
Current law:				
2101	Open World Leadership Center Trust Fund	-6	-6	-6
5099	Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 009-8148-0-7-154	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Open World Leadership Center Trust Fund (Direct)	7	7	7

Budgetary resources:				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations	1	1	1
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	6	6	6
1930	Total budgetary resources available	7	7	7

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	1	1
3010	New obligations, unexpired accounts	7	7	7
3020	Outlays (gross)	-8	-6	-6
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	1	1
3200	Obligated balance, end of year	1	1	1

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	6	6	6
Outlays, gross:				
4010	Outlays from new discretionary authority	5	5	5
4011	Outlays from discretionary balances	3	1	1
4020	Outlays, gross (total)	8	6	6
4180	Budget authority, net (total)	6	6	6
4190	Outlays, net (total)	8	6	6

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	3	1	1
5001	Total investments, EOY: Federal securities: Par value	1	1	1

Object Classification (in millions of dollars)

Identification code 009-8148-0-7-154	2019 actual	2020 est.	2021 est.
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Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
25.1	Advisory and assistance services	3	3	3
25.3	Other goods and services from Federal sources	1	1	1
41.0	Grants, subsidies, and contributions	2	2	2
99.9	Total new obligations, unexpired accounts	7	7	7

OPEN WORLD LEADERSHIP CENTER TRUST FUND—Continued
Employment Summary

Identification code 009-8148-0-7-154	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7	9	9

GENERAL FUND RECEIPT ACCOUNTS
(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
001-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1	2	2
General Fund Offsetting receipts from the public	1	2	2

GENERAL PROVISIONS

MAINTENANCE AND CARE OF PRIVATE VEHICLES

SEC. 201. No part of the funds appropriated in this Act shall be used for the maintenance or care of private vehicles, except for emergency assistance and cleaning as may be provided under regulations relating to parking facilities for the House of Representatives issued by the Committee on House Administration and for the Senate issued by the Committee on Rules and Administration.

FISCAL YEAR LIMITATION

SEC. 202. No part of the funds appropriated in this Act shall remain available for obligation beyond fiscal year **2020** **2021** unless expressly so provided in this Act.

RATES OF COMPENSATION AND DESIGNATION

SEC. 203. Whenever in this Act any office or position not specifically established by the Legislative Pay Act of 1929 (46 Stat. 32 et seq.) is appropriated for or the rate of compensation or designation of any office or position appropriated for is different from that specifically established by such Act, the rate of compensation and the designation in this Act shall be the permanent law with respect thereto: *Provided*, That the provisions in this Act for the various items of official expenses of Members, officers, and committees of the Senate and House of Representatives, and clerk hire for Senators and Members of the House of Representatives shall be the permanent law with respect thereto.

CONSULTING SERVICES

SEC. 204. The expenditure of any appropriation under this Act for any consulting service through procurement contract, under section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued under existing law.

COSTS OF LBFMC

SEC. 205. Amounts available for administrative expenses of any legislative branch entity which participates in the Legislative Branch Financial Managers Council (LBFMC) established by charter on March 26, 1996, shall be available to finance an appropriate share of LBFMC costs as determined by the LBFMC, except that the total LBFMC costs to be shared among all participating legislative branch entities (in such allocations among the entities as the entities may determine) may not exceed \$2,000.

LIMITATION ON TRANSFERS

SEC. 206. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act.

GUIDED TOURS OF THE CAPITOL

SEC. 207. (a) Except as provided in subsection (b), none of the funds made available to the Architect of the Capitol in this Act may be used to eliminate or restrict guided tours of the United States Capitol which are led by employees and interns of offices of Members of Congress and other offices of the House of Representatives and Senate, unless through regulations as authorized by section 402(b)(8) of the Capitol Visitor Center Act of 2008 (2 U.S.C. 2242(b)(8)).

(b) At the direction of the Capitol Police Board, or at the direction of the Architect of the Capitol with the approval of the Capitol Police Board, guided tours of the United States Capitol which are led by employees and interns described in subsection (a) may be suspended temporarily or otherwise subject to restriction for secur-

ity or related reasons to the same extent as guided tours of the United States Capitol which are led by the Architect of the Capitol.

LIMITATION ON TELECOMMUNICATIONS EQUIPMENT PROCUREMENT

SEC. 208. (a) None of the funds appropriated or otherwise made available under this Act may be used to acquire telecommunications equipment produced by Huawei Technologies Company, ZTE Corporation or a high-impact or moderate-impact information system, as defined for security categorization in the National Institute of Standards and Technology's (NIST) Federal Information Processing Standard Publication 199, "Standards for Security Categorization of Federal Information and Information Systems" unless the agency, office, or other entity acquiring the equipment or system has—

(1) reviewed the supply chain risk for the information systems against criteria developed by NIST to inform acquisition decisions for high-impact and moderate-impact information systems within the Federal Government;

(2) reviewed the supply chain risk from the presumptive awardee against available and relevant threat information provided by the Federal Bureau of Investigation and other appropriate agencies; and

(3) in consultation with the Federal Bureau of Investigation or other appropriate Federal entity, conducted an assessment of any risk of cyber-espionage or sabotage associated with the acquisition of such system, including any risk associated with such system being produced, manufactured, or assembled by one or more entities identified by the United States Government as posing a cyber threat, including but not limited to, those that may be owned, directed, or subsidized by the People's Republic of China, the Islamic Republic of Iran, the Democratic People's Republic of Korea, or the Russian Federation.

(b) None of the funds appropriated or otherwise made available under this Act may be used to acquire a high-impact or moderate impact information system reviewed and assessed under subsection (a) unless the head of the assessing entity described in subsection (a) has—

(1) developed, in consultation with NIST and supply chain risk management experts, a mitigation strategy for any identified risks;

(2) determined, in consultation with NIST and the Federal Bureau of Investigation, that the acquisition of such system is in the vital national security interest of the United States; and

(3) reported that determination to the Committees on Appropriations of the House of Representatives and the Senate in a manner that identifies the system intended for acquisition and a detailed description of the mitigation strategies identified in paragraph (1), provided that such report may include a classified annex as necessary.

PROHIBITION ON CERTAIN OPERATIONAL EXPENSES

SEC. 209. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities or other official government activities.

PLASTIC WASTE REDUCTION

SEC. 210. All agencies and offices funded by this division that contract with a food service provider or providers shall confer and coordinate with such food service provider or providers, in consultation with disability advocacy groups, to eliminate or reduce plastic waste, including waste from plastic straws, explore the use of biodegradable items, and increase recycling and composting opportunities.

ADJUSTMENT TO NORMAL COST PERCENTAGE RATES

SEC. 211. Section 8423(a)(1)(B)(i) of title 5, United States Code, is amended by inserting "(including a separate normal-cost percentage for Congressional employees that are members of the Capitol Police covered under subsection (d) of section 8412 and subsection (c) of section 8425)" after "Congressional employees".

CONGRESSIONAL STAFF COMPENSATION

SEC. 212. (a) SENATE.—

(1) CHANGE IN MAXIMUM RATES.—

(A) IN GENERAL.—Section 105 of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575) is amended—

(i) in subsection (d)(2), in the second sentence, by striking "or in excess" and all that follows through "per annum." and inserting "or in excess of \$173,900.";

(ii) in subsection (e)(3)(B), by striking "in excess of" and all that follows and inserting "in excess of \$173,900."; and

(iii) in subsection (f), in the first sentence, by striking "or in excess" and all that follows through "unless expressly" and inserting "or in excess of \$173,900, unless expressly".

(B) AUTHORITY FOR STATUTORY EMPLOYEES.—

(i) **FIXED SALARY POSITIONS.**—For any position for which the Secretary of the Senate disburses the pay for the position and for which the specific amount of the rate of pay for the particular position is fixed by statute on the day before the effective date of the amendments made by this section, on and after such effective date the amount of the rate of pay for such position shall be fixed by the President pro tempore in an amount not to exceed the maximum rate of pay in effect under section 105(f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575(f)).

(ii) **POSITIONS WITH MAXIMUMS.**—For any position for which the Secretary of the Senate disburses the pay for the position and for which the maximum rate of pay for the particular position is fixed by statute on the day before the effective date of the amendments made by this section, on and after such effective date the maximum rate of pay for such position shall be fixed by the President pro tempore, which shall not exceed the maximum rate of pay in effect under section 105(f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575(f)).

(2) **ADJUSTMENTS.**—

(A) **IN GENERAL.**—Section 4 of the Federal Pay Comparability Act of 1970 (2 U.S.C. 4571) is amended—

(i) in subsection (a)—

(I) in paragraph (1)—

(aa) in subparagraph (A), by striking "or" at the end; and

(bb) by striking subparagraph (B) and inserting the following:

"(B) in the case of such personnel appointed to positions for which the rates of pay for the particular positions were fixed by or pursuant to law at specific rates on the day before the effective date of the amendments made by section 212 of the Legislative Branch Appropriations Act, 2020, adjust such rates; and

"(C) in the case of such personnel appointed to positions for which the maximum rates of pay for the particular positions were fixed by or pursuant to law on the day before such effective date, adjust such maximum rates; and"; and

(II) in the matter following paragraph (2)—

(aa) by striking "and with such exceptions as may be necessary to provide for appropriate pay relationships between positions"; and

(bb) by striking "to restore" and all that follows through "between positions." and inserting "to maintain the pay relationships that existed on the effective date of the amendments made by section 212 of the Legislative Branch Appropriations Act, 2020 between the maximum rate of pay for Senate personnel and Senators."; and

(ii) in subsection (d), by striking "to restore" and all that follows and inserting "to maintain the pay relationships that existed on the effective date of the amendments made by section 212 of the Legislative Branch Appropriations Act, 2020 between the maximum rate of pay for Senate personnel and Senators."

(B) **OTHER ADJUSTMENTS.**—Section 315(a) of the Legislative Branch Appropriations Act, 1991 (2 U.S.C. 4573(a)) is amended by striking "to the extent necessary to maintain" and all that follows and inserting "(including such personnel appointed to positions for which the specific amount of the rate of pay for the particular position is fixed by statute on the day before the effective date of the amendments made by section 212 of the Legislative Branch Appropriations Act, 2020 and such personnel appointed to positions for which the maximum rates of pay for the particular positions were fixed by or pursuant to law on the day before such effective date) to the extent necessary to maintain the pay relationships that existed on such effective date between the maximum rate of pay for Senate personnel and Senators."

(3) **CONFORMING AMENDMENTS.**—

(A) Section 105 of the Legislative Branch Appropriation Act, 1976 (Public Law 94–59; 89 Stat. 275) is repealed.

(B) Section 201(a)(5)(A) of the Congressional Budget Act of 1974 (2 U.S.C. 601(a)(5)(A)) is amended by striking "the lower of-" and all that follows and inserting "the maximum rate of pay in effect under section 105(f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575(f))."

(C) Section 302(a)(2)(B) of the Congressional Accountability Act of 1995 (2 U.S.C. 1382(a)(2)(B)) is amended by striking "the lesser of-" and all that follows and inserting "the maximum rate of pay in effect under section 105(f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575(f))."

(D) The first section of the Act entitled "An Act to fix the annual rates of pay for the Architect of the Capitol and the Assistant Architect of the Capitol" (2 U.S.C. 1802) is amended to read as follows:

"SEC. 1. **COMPENSATION.**—The compensation of the Architect of the Capitol shall be at an annual rate which is equal to the maximum rate of pay

in effect under section 105(f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575(f))."

(E) Subsection (c) of the first section of the Act entitled "An Act to establish by law the position of Chief of the Capitol Police, and for other purposes" (2 U.S.C. 1902) is amended by striking "the lower of" and all that follows and inserting "the maximum rate of pay in effect under section 105(f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 4575(f))."

(F) Senate Resolution 89, 100th Congress, agreed to January 28, 1987, as enacted into law by section 9 of the Legislative Branch Appropriations Act, 1990 (2 U.S.C. 6133), is amended in subsection (a) of the first section by striking "by the appropriate Leader" and all that follows and inserting "by the appropriate Leader."

(G) Section 2(a) of the Legislative Branch Appropriations Act, 1988 (as enacted into law by section 101(i) of Public Law 100–202 (101 Stat. 1329–290)) (2 U.S.C. 6651) is repealed.

(H) Section 203(g) of the Federal Legislative Salary Act of 1964 (Public Law 88–426; 78 Stat. 415) is repealed.

(I) Section 701 of the Ethics in Government Act of 1978 (2 U.S.C. 288) is amended—

(i) by striking paragraph (4) of subsection (a); and

(ii) in subsection (b)(1), by striking the second sentence.

(b) **HOUSE OF REPRESENTATIVES.**—

(1) **ADJUSTMENTS BY SPEAKER OF THE HOUSE.**—Section 311(d) of the Legislative Branch Appropriations Act, 1988 (as enacted into law by section 101(i) of Public Law 100–202 (101 Stat. 1329–290)) (2 U.S.C. 4532) is amended—

(A) in paragraph (1)—

(i) by striking "and" at the end of subparagraph (A);

(ii) by striking the period at the end of subparagraph (B) and inserting "; and"; and

(iii) by adding at the end the following new subparagraph:

"(C) the maintenance of the pay relationship described in paragraph (3).";

(B) by redesignating paragraph (3) as paragraph (4); and

(C) by inserting after paragraph (2) the following new paragraph:

"(3) The pay relationship described in this paragraph is the relationship in existence as of the effective date of the amendments made by section 212 of the Legislative Branch Appropriations Act, 2020 between—

"(A) an annual rate of pay of \$173,900; and

"(B) the annual rate of pay of a Member of the House of Representatives who is not the Speaker, Majority Leader, or Minority Leader of the House."

(2) **PAY ADJUSTMENTS BY CHIEF ADMINISTRATIVE OFFICER.**—Section 4(e) of the Federal Pay Comparability Act of 1970 (2 U.S.C. 4531(e)) is amended to read as follows:

"(e) No rate of pay for any position shall be adjusted under this section to an amount in excess of the rate of pay in effect for such position under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."

(3) **CERTAIN POSITIONS OF THE HOUSE OF REPRESENTATIVES.**—

(A) **LEGISLATIVE COUNSEL.**—Section 523 of the Legislative Reorganization Act of 1970 (2 U.S.C. 282b) is amended—

(i) in subsection (a), by striking "equal to the rate of basic pay" and all that follows and inserting "equal to the greater of \$173,900 or the rate of pay in effect for such position under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."; and

(ii) in subsection (b), by striking "in excess of the rate of basic pay" and all that follows and inserting "in excess of the applicable rate of pay in effect under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."

(B) **LAW REVISION COUNSEL.**—Section 205(f) of House Resolution 988, 93rd Congress, agreed to October 8, 1974, as enacted into law by the matter under the heading "Administrative Provisions" under the heading "House of Representatives" under chapter III of title I of the Supplemental Appropriations Act, 1975 (2 U.S.C. 285e), is amended by striking "Law Revision Counsel shall be paid" and all that follows and inserting "Law Revision Counsel shall be paid at a per annum gross rate determined by the Speaker not to exceed the greater of \$173,900 or the rate of pay in effect for such position under an order issued by the Speaker pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532); and members of the staff of the Office other than the Law Revision Counsel shall be paid at per annum gross rates fixed by the Law Revision Counsel with the approval of

the Speaker or in accordance with policies approved by the Speaker, but not in excess of the applicable rate of pay in effect under an order issued by the Speaker pursuant to the authority of such section."

(C) PARLIAMENTARIAN.—Section 4 of House Resolution 502, 95th Congress, agreed to April 20, 1977, as enacted into law by section 115 of the Legislative Branch Appropriation Act, 1978 (2 U.S.C. 287c), is amended—

(i) in subsection (a), by striking "but not in excess" and all that follows and inserting "but not in excess of the greater of \$173,900 or the rate of pay in effect for such position under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."; and

(ii) in subsection (b), by striking ", but not in excess of the rate of basic pay set forth in subsection (a)" and inserting "but not in excess of the applicable rate of pay in effect under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)".

(D) CHAPLAIN.—Section 3 of House Resolution 661, 95th Congress, agreed to July 29, 1977, as enacted into law by section 111 of the Legislative Branch Appropriation Act, 1979 (2 U.S.C. 5521), is amended by striking section 3 and inserting the following:

"SEC. 3. The maximum per year gross rate of compensation of the Chaplain of the House of Representatives shall not exceed the greater of \$173,900 or the rate of pay in effect for such position under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."

(E) CERTAIN LEADERSHIP EMPLOYEES.—Subsection (b) of the first section of House Resolution 393, 95th Congress, agreed to March 31, 1977, as enacted into law by section 115 of the Legislative Branch Appropriation Act, 1978 (2 U.S.C. 5141(b)), is amended by striking "The annual rate" and all that follows through "United States Code," and inserting the following: "The maximum annual rate of compensation for any individual employed under subsection (a) shall not exceed the greater of \$173,900 or the applicable rate of pay in effect under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."

(4) CHIEF OF STAFF OF JOINT COMMITTEE ON TAXATION.—Section 214(e) of the Postal Revenue and Federal Salary Act of 1967 (2 U.S.C. 4302) is amended by striking "The per annum rate of compensation" and all that follows through the period at the end and inserting the following: "The maximum annual rate of compensation of the Chief of Staff of the Joint Committee on Taxation shall not exceed the greater of \$173,900 or the rate of pay in effect for such position under an order issued by the Speaker of the House of Representatives pursuant to the authority of section 311(d) of the Legislative Branch Appropriations Act, 1988 (2 U.S.C. 4532)."

(c) EFFECTIVE DATE.—This section and the amendments made by this section shall take effect on the later of—

(1) the first day of the first applicable pay period beginning on or after January 1, 2020; or

(2) the first day of the first applicable pay period beginning on or after the date of enactment of this Act. **■**

(Legislative Branch Appropriations Act, 2020.)

JUDICIAL BRANCH

SUPREME COURT OF THE UNITED STATES

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the operation of the Supreme Court, as required by law, excluding care of the building and grounds, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; not to exceed \$10,000 for official reception and representation expenses; and for miscellaneous expenses, to be expended as the Chief Justice may approve, **[\$87,699,000]** \$93,630,000, of which \$1,500,000 shall remain available until expended.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief justice and associate justices of the court. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0100-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	86	90	96
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	83	87	93
Appropriations, mandatory:			
1200 Appropriation	3	3	3
1900 Budget authority (total)	86	90	96
1930 Total budgetary resources available	86	90	96
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	86	90	96
3020 Outlays (gross)	-85	-90	-96
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	83	87	93
Outlays, gross:			
4010 Outlays from new discretionary authority	82	87	93
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	3
4180 Budget authority, net (total)	86	90	96
4190 Outlays, net (total)	85	90	96

The Supreme Court of the United States is the highest court of our country and stands at the apex of the judicial branch of our constitutional form of government. The U.S. Supreme Court is the only constitutionally indispensable court in the Federal court system of the United States. The jurisdiction of the Supreme Court is spelled out in the Constitution and allotted by the Congress. The funds herein requested are required to enable the U.S. Supreme Court to carry out its constitutional and congressionally allotted responsibilities.

Object Classification (in millions of dollars)

Identification code 010-0100-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	47	50
11.3 Other than full-time permanent	3	3	3
11.9 Total personnel compensation	50	50	53
12.1 Civilian personnel benefits	17	20	21
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	10	10	11
26.0 Supplies and materials	3	3	3

31.0	Equipment	4	5	6
99.9	Total new obligations, unexpired accounts	86	90	96

Employment Summary

Identification code 010-0100-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	498	522	524

CARE OF THE BUILDING AND GROUNDS

For such expenditures as may be necessary to enable the Architect of the Capitol to carry out the duties imposed upon the Architect by 40 U.S.C. 6111 and 6112, **[\$15,590,000]** \$11,678,000, to remain available until expended. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0103-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Care of the Building and Grounds (Direct)	14	22	19
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		2	
1021 Recoveries of prior year unpaid obligations		4	7
1050 Unobligated balance (total)		6	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	16	16	12
1930 Total budgetary resources available	16	22	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		2	7
3010 New obligations, unexpired accounts	14	22	19
3020 Outlays (gross)	-12	-13	-12
3040 Recoveries of prior year unpaid obligations, unexpired		-4	-7
3050 Unpaid obligations, end of year	2	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		2	7
3200 Obligated balance, end of year	2	7	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16	16	12
Outlays, gross:			
4010 Outlays from new discretionary authority	12	12	9
4011 Outlays from discretionary balances		1	3
4020 Outlays, gross (total)	12	13	12
4180 Budget authority, net (total)	16	16	12
4190 Outlays, net (total)	12	13	12

Object Classification (in millions of dollars)

Identification code 010-0103-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	2
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	2	2	2
25.4 Operation and maintenance of facilities	3	11	7
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	14	22	19

CARE OF THE BUILDING AND GROUNDS—Continued
Employment Summary

Identification code 010-0103-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	45	54	54

**UNITED STATES COURT OF APPEALS FOR THE
FEDERAL CIRCUIT**
Federal Funds

SALARIES AND EXPENSES

For salaries of officers and employees, and for necessary expenses of the court, as authorized by law, **【\$32,700,000】 \$34,023,000.**

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief judge and judges of the court. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0510-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	33	36	37
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	32	33	34
1120 Appropriations transferred to other acct (010-0925)	-1		
1160 Appropriation, discretionary (total)	31	33	34
Appropriations, mandatory:			
1200 Appropriation	3	3	3
1900 Budget authority (total)	34	36	37
1930 Total budgetary resources available	34	36	37
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	5	6
3010 New obligations, unexpired accounts	33	36	37
3020 Outlays (gross)	-34	-35	-37
3050 Unpaid obligations, end of year	5	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	5	6
3200 Obligated balance, end of year	5	6	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	31	33	34
Outlays, gross:			
4010 Outlays from new discretionary authority	27	26	27
4011 Outlays from discretionary balances	4	6	7
4020 Outlays, gross (total)	31	32	34
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	3
4180 Budget authority, net (total)	34	36	37
4190 Outlays, net (total)	34	35	37

The United States Court of Appeals for the Federal Circuit, located in Washington, D.C., has exclusive nationwide jurisdiction over a large number of diverse subject areas, such as appeals in all patent cases, all government contract cases, all international trade cases, all government contract cases, all government personnel cases, all cases involving monetary claims against the United States under the Tucker Acts, veterans cases, and many others. Additional subject areas have been added to this court's jurisdiction almost yearly. To keep abreast of its varied and growing jurisdiction, the court is requesting necessary increases.

The following is a more complete listing of the Federal Circuit's exclusive jurisdiction. It hears appeals from: (A) final decisions of all Federal district

courts in cases arising under 28 U.S.C. 1338(a), relating to patent laws generally, 35 U.S.C. 145-146, relating to review of decisions of the Patent and Trademark Office, Board of Patent Appeals and Interferences, 28 U.S.C. 1346(a)(2), relating to Little Tucker Act claims against the United States, and section 211 of the Economic Stabilization Act of 1970, section 5 of the Emergency Petroleum Allocation Act of 1973, section 506(c) of the Natural Gas Policy Act of 1978, and section 523 of the Energy Policy and Conservation Act relating to all statutes formerly under the jurisdiction of the Temporary Emergency Court of Appeals; (B) final decisions of the United States Court of International Trade, 28 U.S.C. 2645(c); (C) final decisions of the United States Court of Appeals for Veterans Claims, 38 U.S.C. 7292; (D) final decisions of the United States Court of Federal Claims, 28 U.S.C. 2522 and 42 U.S.C. 300aa-12(f); (E) final decisions of the High Court of the Trust Territory of the Pacific Islands, 48 U.S.C. 1681 note (1988) (Compact of Free Association; Federated States of Micronesia, Republic of Marshall Islands, Title II, Title One, Article VII, 174(c)); (F) final determinations of the United States International Trade Commission relating to unfair practices in import trade made under 19 U.S.C. 1337; (G) findings of the Secretary of Commerce under U.S. note 6 to subchapter X of chapter 98 of the Harmonized Tariff Schedule of the United States relating to importation of educational or scientific instruments and apparatus; (H) final orders or decisions of the Merit Systems Protection Board and certain arbitrators, 5 U.S.C. 7703; (I) final decisions of the General Accounting Office Personnel Appeals Board, 31 U.S.C. 755; (J) final decisions of all agency Boards of Contract Appeals, 41 U.S.C. 607(g); (K) final decisions of the Patent and Trademark Office tribunals on patent applications and interferences, trademark applications and interferences, cancellations, concurrent use proceedings, and oppositions, 35 U.S.C. 142, 15 U.S.C. 1071, 37 CFR 1.304, 2.145; (L) appeals under section 71 of the Plant Variety Protection Act of 1970, 7 U.S.C. 2461; (M) certain actions of the Secretary of Veterans Affairs, 38 U.S.C. 502; (N) certain final orders of the Equal Employment Opportunity Commission relating to certain Presidential appointees, 2 U.S.C. 1219(a)(3) and 28 U.S.C. 2344; (O) final decisions of the Office of Personnel Management under 5 U.S.C. 8902a(g)(2); (P) certain actions of the Board of Directors of the Office of Compliance of the U.S. Congress under 2 U.S.C. 1407(a); and (Q) final decisions of certain agencies pursuant to 28 U.S.C. 1296.

The Federal Circuit also has exclusive jurisdiction pursuant to 28 U.S.C. 1292(c) of: (1) appealable interlocutory orders or decrees in cases where the court would otherwise have jurisdiction over an appeal; and (2) appeals from judgments in civil actions for patent infringement otherwise appealable to the court and final except for accounting. Under the provisions of 28 U.S.C. 1292(d), the court has: (1) exclusive jurisdiction of appeals from interlocutory orders granting or denying, in whole or in part, a motion to transfer an action to the Court of Federal Claims; and (2) may, in its discretion, permit an appeal from an interlocutory order of a judge who certifies that there is a controlling question of law and a substantial ground for difference of opinion thereon, and that an immediate appeal may materially advance the ultimate termination of the litigation. Pursuant to 38 U.S.C. 7292(b)(1), the court has exclusive jurisdiction of certain interlocutory orders of the Court of Appeals for Veterans Claims.

Legislation having an impact on the Federal Circuit is contained in P.L. 105-339 (51021) October 31, 1998, Veterans Employment Opportunities Act of 1998, which provides a remedy through the Merit Systems Protection Board for those seeking review of the application of veterans preference rules to applicants for Federal employment.

Object Classification (in millions of dollars)

Identification code 010-0510-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	17	19	19
12.1 Civilian personnel benefits	4	5	5
23.1 Rental payments to GSA	6	6	7
25.2 Other services from non-Federal sources	4	5	5
31.0 Equipment	1	1	1

94.0	Financial transfers	1		
99.9	Total new obligations, unexpired accounts	33	36	37

Employment Summary

Identification code 010-0510-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	145	152	152

UNITED STATES COURT OF INTERNATIONAL TRADE

Federal Funds

SALARIES AND EXPENSES

For salaries of officers and employees of the court, services, and necessary expenses of the court, as authorized by law, **[\$19,564,000] \$20,097,000.**

In addition, there are appropriated such sums as may be necessary under current law for the salaries of the chief judge and judges of the court. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0400-0-1-752	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Salaries and Expenses (Direct)	20	22	22

Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	19	20	20
Appropriations, mandatory:				
1200	Appropriation	1	2	2
1900	Budget authority (total)	20	22	22
1930	Total budgetary resources available	20	22	22

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	9	8	1
3010	New obligations, unexpired accounts	20	22	22
3020	Outlays (gross)	-21	-29	-22
3050	Unpaid obligations, end of year	8	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	9	8	1
3200	Obligated balance, end of year	8	1	1

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	19	20	20
Outlays, gross:				
4010	Outlays from new discretionary authority	18	19	19
4011	Outlays from discretionary balances	2	1	1
4020	Outlays, gross (total)	20	20	20
Mandatory:				
4090	Budget authority, gross	1	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority	1	2	2
4101	Outlays from mandatory balances		7	
4110	Outlays, gross (total)	1	9	2
4180	Budget authority, net (total)	20	22	22
4190	Outlays, net (total)	21	29	22

The United States Court of International Trade, established under Article III of the Constitution of the United States, was created by the Act of October 10, 1980 (94 Stat. 1727), effective November 1, 1980, as successor to the former United States Customs Court. The court has original and exclusive jurisdiction of civil actions against the United States, its agencies and officers, and certain civil actions brought by the United States, arising out of import transactions and Federal statutes affecting customs and international trade. The court possesses all the powers in law and equity of, or as conferred by statute upon, a district court of the United States, and is authorized to conduct jury trials. The geographical jurisdiction of the court is nationwide and trials before the court or hearings may be held at any place within the jurisdiction of the United States. The court also is author-

ized to hold hearings in foreign countries. The principal statutory provisions pertaining to the court are contained in the following sections of Title 28 of the United States Code: Organization, sections 251-258; Jurisdiction, sections 1581-1585; and Procedures, sections 2631-2646.

Object Classification (in millions of dollars)

Identification code 010-0400-0-1-752	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	7	9	9
12.1	Civilian personnel benefits	2	3	3
23.1	Rental payments to GSA	8	8	8
25.2	Other services from non-Federal sources	1	2	2
31.0	Equipment	1		
94.0	Financial transfers	1		
99.9	Total new obligations, unexpired accounts	20	22	22

Employment Summary

Identification code 010-0400-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	58	77	77

COURTS OF APPEALS, DISTRICT COURTS, AND OTHER JUDICIAL SERVICES

Federal Funds

SALARIES AND EXPENSES

For the salaries of judges of the United States Court of Federal Claims, magistrate judges, and all other officers and employees of the Federal Judiciary not otherwise specifically provided for, necessary expenses of the courts, and the purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, as authorized by law, **[\$5,250,234,000] \$5,459,475,000** (including the purchase of firearms and ammunition); of which not to exceed \$27,817,000 shall remain available until expended for space alteration projects and for furniture and furnishings related to new space alteration and construction projects.

In addition, there are appropriated such sums as may be necessary under current law for the salaries of circuit and district judges (including judges of the territorial courts of the United States), bankruptcy judges, and justices and judges retired from office or from regular active service.

In addition, for expenses of the United States Court of Federal Claims associated with processing cases under the National Childhood Vaccine Injury Act of 1986 (Public Law 99-660), not to exceed **[\$9,070,000] \$9,700,000**, to be appropriated from the Vaccine Injury Compensation Trust Fund. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0920-0-1-752	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Courts of appeals	718	752	782
0002	District courts	2,619	2,755	2,791
0003	Bankruptcy courts	814	857	883
0004	Probation/Pretrial	1,470	1,581	1,648
0799	Total direct obligations	5,621	5,945	6,104
0801	Salaries and Expenses (Reimbursable)	10	11	11
0803	Offsetting Collections	210	203	213
0899	Total reimbursable obligations	220	214	224
0900	Total new obligations, unexpired accounts	5,841	6,159	6,328

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	12	10	42
1001	Discretionary unobligated balance brought fwd, Oct 1	1	1	
1021	Recoveries of prior year unpaid obligations	1	1	
1050	Unobligated balance (total)	13	11	42
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	5,144	5,250	5,460
Appropriations, mandatory:				
1200	Appropriation	418	457	469

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 010-0920-0-1-752	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected	13	33	33
1701 Change in uncollected payments, Federal sources	263	450	368
1750 Spending auth from offsetting collections, disc (total)	276	483	401
1900 Budget authority (total)	5,838	6,190	6,330
1930 Total budgetary resources available	5,851	6,201	6,372
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	42	44
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	551	586	544
3010 New obligations, unexpired accounts	5,841	6,159	6,328
3011 Obligations ("upward adjustments"), expired accounts	38		
3020 Outlays (gross)	-5,769	-6,200	-6,379
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	
3041 Recoveries of prior year unpaid obligations, expired	-74		
3050 Unpaid obligations, end of year	586	544	493
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-397	-410	-860
3070 Change in uncollected pymts, Fed sources, unexpired	-263	-450	-368
3071 Change in uncollected pymts, Fed sources, expired	250		
3090 Uncollected pymts, Fed sources, end of year	-410	-860	-1,228
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	154	176	-316
3200 Obligated balance, end of year	176	-316	-735
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,420	5,733	5,861
Outlays, gross:			
4010 Outlays from new discretionary authority	5,022	5,258	5,388
4011 Outlays from discretionary balances	364	485	522
4020 Outlays, gross (total)	5,386	5,743	5,910
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-217	-268	-186
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-218	-268	-186
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-263	-450	-368
4052 Offsetting collections credited to expired accounts	205	235	153
4060 Additional offsets against budget authority only (total)	-58	-215	-215
4070 Budget authority, net (discretionary)	5,144	5,250	5,460
4080 Outlays, net (discretionary)	5,168	5,475	5,724
Mandatory:			
4090 Budget authority, gross	418	457	469
Outlays, gross:			
4100 Outlays from new mandatory authority	383	457	469
4180 Budget authority, net (total)	5,562	5,707	5,929
4190 Outlays, net (total)	5,551	5,932	6,193

Funds appropriated under this heading are for the salaries and benefits of judges and supporting personnel, and all operating expenses of the United States courts of appeals, district courts, bankruptcy courts, United States Court of Federal Claims, and United States Probation and Pretrial Services offices are shown by activity:

Courts of Appeals.—This activity includes the salaries and benefits of all active United States circuit judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the Courts of Appeals supporting personnel such as the administrative and legal aides required to assist the judges in the hearing and decision of appeals, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

District Courts.—This activity includes the salaries and benefits of all active United States district judges, magistrate judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the District Court supporting personnel such as the administrative and legal aides required to assist the judges in conduct of hearings, trials, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks, and for rental of space, alterations, and related services for United States court facilities.

Bankruptcy Courts.—This activity includes the salaries and benefits of all active United States bankruptcy judges. In addition, it provides for the salaries and expenses of the Bankruptcy Court supporting personnel, including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks, and for rental of space, alterations, and related services for United States court facilities.

Probation/Pretrial.—This activity includes the salaries and benefits of all probation and pretrial services officers, officer assistants and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, and equipment, and for rental of space, alterations, and related services for United States court facilities. It also provides for all expenses of law-enforcement related activities, which includes substance abuse and mental health treatment, Global Position Monitoring, purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, and operation and maintenance such as travel expenses incurred by probation officers, including travel costs related to the supervision of defendants and offenders in the community, and officer training expenses.

Object Classification (in millions of dollars)

Identification code 010-0920-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,830	1,921	1,984
11.3 Other than full-time permanent	821	861	894
11.9 Total personnel compensation	2,651	2,782	2,878
12.1 Civilian personnel benefits	893	925	936
13.0 Benefits for former personnel	7	8	8
21.0 Travel and transportation of persons	66	67	69
22.0 Transportation of things	4	6	6
23.1 Rental payments to GSA	991	1,015	1,043
23.2 Rental payments to others	36	38	41
23.3 Communications, utilities, and miscellaneous charges	97	114	117
24.0 Printing and reproduction	8	8	9
25.1 Advisory and assistance services	243	321	310
25.2 Other services from non-Federal sources	35	57	52
25.7 Operation and maintenance of equipment	11	21	22
26.0 Supplies and materials	19	19	21
31.0 Equipment	44	67	61
94.0 Financial transfers	516	497	531
99.0 Direct obligations	5,621	5,945	6,104
99.0 Reimbursable obligations	220	214	224
99.9 Total new obligations, unexpired accounts	5,841	6,159	6,328

Employment Summary

Identification code 010-0920-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27,720	28,246	28,487
2001 Reimbursable civilian full-time equivalent employment	41	43	43

DEFENDER SERVICES

For the operation of Federal Defender organizations; the compensation and reimbursement of expenses of attorneys appointed to represent persons under 18 U.S.C. 3006A and 3599, and for the compensation and reimbursement of expenses of persons furnishing investigative, expert, and other services for such representations as authorized by law; the compensation (in accordance with the maximums under 18 U.S.C. 3006A) and reimbursement of expenses of attorneys appointed to assist the court in criminal cases where the defendant has waived representation by counsel; the compensation and reimbursement of expenses of attorneys appointed to represent jurors in civil actions for the protection of their employment, as authorized by 28 U.S.C. 1875(d)(1); the compensation and reimbursement of expenses of attorneys appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings; the compensation and reimbursement of travel expenses of guardians ad litem appointed under 18 U.S.C. 4100(b); and for necessary training and general administrative expenses, **[\$1,234,574,000] \$1,316,240,000** to remain available until expended. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0923-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Defender Services (Direct)	1,177	1,265	1,346
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	33	18
1021 Recoveries of prior year unpaid obligations	11	10	10
1033 Recoveries of prior year paid obligations	5	5	5
1050 Unobligated balance (total)	60	48	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,150	1,235	1,316
1900 Budget authority (total)	1,150	1,235	1,316
1930 Total budgetary resources available	1,210	1,283	1,349
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	18	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	65	70	80
3010 New obligations, unexpired accounts	1,177	1,265	1,346
3020 Outlays (gross)	-1,161	-1,245	-1,361
3040 Recoveries of prior year unpaid obligations, unexpired	-11	-10	-10
3050 Unpaid obligations, end of year	70	80	55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	65	70	80
3200 Obligated balance, end of year	70	80	55
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,150	1,235	1,316
Outlays, gross:			
4010 Outlays from new discretionary authority	1,112	1,198	1,277
4011 Outlays from discretionary balances	49	47	84
4020 Outlays, gross (total)	1,161	1,245	1,361
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-5	-5	-5
4040 Offsets against gross budget authority and outlays (total)	-5	-5	-5
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	5	5	5
4070 Budget authority, net (discretionary)	1,150	1,235	1,316
4080 Outlays, net (discretionary)	1,156	1,240	1,356
4180 Budget authority, net (total)	1,150	1,235	1,316
4190 Outlays, net (total)	1,156	1,240	1,356

Funds appropriated under this heading provide for the administration and operation of the Criminal Justice Act of 1964 (18 U.S.C. 3006A), as amended, which provides for furnishing representation for any person financially unable to obtain adequate representation who: (1) is charged with a felony or Class A, B, or C misdemeanor, or infraction for which a sentence to confinement is authorized, or with committing an act of juvenile delinquency, or with a violation of probation; (2) is under arrest, when such representation is required by law; (3) is entitled to appointment of counsel

in parole proceedings (18 U.S.C. 4201-18); (4) is charged with a violation of supervised release or faces modification, reduction, or enlargement of a condition, or extension or revocation of a term of supervised release; (5) is subject to a mental condition or other hearing (18 U.S.C. 4241-48); (6) is in custody as a material witness; (7) is entitled to appointment of counsel under the sixth amendment to the Constitution; (8) faces loss of liberty in a case, and Federal law requires the appointment of counsel; (9) is entitled to the appointment of counsel under 18 U.S.C. 4109; or (10) is seeking relief under 28 U.S.C. 2241, 2254, or 2255. Representation includes counsel and investigative, expert, and other necessary services. The appropriation includes funding for the compensation and expenses of court-appointed counsel and persons providing investigative, expert and other services under the Act, and also under 18 U.S.C. 3599 in capital representations; for the operation of the Federal Defender Organizations; for the compensation and reimbursement of travel expenses of guardians ad litem, appointed under 18 U.S.C. 4100(b), acting on behalf of financially eligible minors or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences (18 U.S.C. 4109(b)); and for the continuing education and training of persons providing representational services under the Act. In addition, this appropriation is available for the compensation and reimbursement of expenses of counsel: (1) appointed pursuant to 5 U.S.C. 3109 to assist the court in criminal cases where the defendant has waived representation by counsel; (2) appointed pursuant to 28 U.S.C. 1875(d)(1) to represent jurors in civil actions for the protection of their employment; and (3) appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings.

Object Classification (in millions of dollars)

Identification code 010-0923-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	354	375	400
12.1 Civilian personnel benefits	117	130	142
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	14	15	17
23.1 Rental payments to GSA	41	44	47
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	9	10	11
25.2 Other services	460	495	518
26.0 Supplies and materials	2	2	2
31.0 Equipment	16	17	23
41.0 Grants, subsidies, and contributions	162	175	184
99.9 Total new obligations, unexpired accounts	1,177	1,265	1,346

Employment Summary

Identification code 010-0923-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,062	3,070	3,208

FEEES OF JURORS AND COMMISSIONERS

For fees and expenses of jurors as authorized by 28 U.S.C. 1871 and 1876; compensation of jury commissioners as authorized by 28 U.S.C. 1863; and compensation of commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure (28 U.S.C. Appendix Rule 71.1(h)), **[\$53,545,000] \$55,478,000**, to remain available until expended: *Provided*, That the compensation of land commissioners shall not exceed the daily equivalent of the highest rate payable under 5 U.S.C. 5332. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0925-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Grand jurors	17	17	17
0004 Petit jurors	36	38	39
0900 Total new obligations, unexpired accounts	53	55	56

FEES OF JURORS AND COMMISSIONERS—Continued
Program and Financing—Continued

Identification code 010-0925-0-1-752	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	3	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	50	54	55
1121 Appropriations transferred from other acct (010-0510)	1		
1160 Appropriation, discretionary (total)	51	54	55
1930 Total budgetary resources available	54	56	57
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts	53	55	56
3020 Outlays (gross)	-52	-54	-55
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	51	54	55
Outlays, gross:			
4010 Outlays from new discretionary authority	50	54	55
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	52	54	55
4180 Budget authority, net (total)	51	54	55
4190 Outlays, net (total)	52	54	55

This appropriation provides for the statutory fees and allowances of jurors, refreshments of jurors, and compensation of land commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure. Budgetary requirements depend largely upon the volume and length of jury trials demanded by the parties to both civil and criminal actions and the number of grand juries being convened by the courts at the request of United States Attorneys.

Object Classification (in millions of dollars)

Identification code 010-0925-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	26	27	28
21.0 Travel and transportation of persons (jurors)	22	22	23
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services (meals and lodging furnished sequestered juror	1	2	1
26.0 Supplies and materials (Provisions for Juror Food/Beverages)	1	1	1
99.9 Total new obligations, unexpired accounts	53	55	56

COURT SECURITY

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses, not otherwise provided for, incident to the provision of protective guard services for United States courthouses and other facilities housing Federal court operations, and the procurement, installation, and maintenance of security systems and equipment for United States courthouses and other facilities housing Federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities as authorized by section 1010 of the Judicial Improvement and Access to Justice Act

(Public Law 100-702), [\$639,165,000] \$664,011,000, of which not to exceed \$20,000,000 shall remain available until expended, to be expended directly or transferred to the United States Marshals Service, which shall be responsible for administering the Judicial Facility Security Program consistent with standards or guidelines agreed to by the Director of the Administrative Office of the United States Courts and the Attorney General. (Judiciary Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 010-0930-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Court Security (Direct)	614	666	674
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	27	10
1012 Unobligated balance transfers between expired and unexpired accounts	10	10	10
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	38	37	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	607	639	664
1930 Total budgetary resources available	645	676	684
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	27	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	173	202	226
3010 New obligations, unexpired accounts	614	666	674
3011 Obligations ("upward adjustments"), expired accounts	7		
3020 Outlays (gross)	-574	-642	-664
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-16		
3050 Unpaid obligations, end of year	202	226	236
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	173	202	226
3200 Obligated balance, end of year	202	226	236
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	607	639	664
Outlays, gross:			
4010 Outlays from new discretionary authority	466	447	465
4011 Outlays from discretionary balances	108	195	199
4020 Outlays, gross (total)	574	642	664
4180 Budget authority, net (total)	607	639	664
4190 Outlays, net (total)	574	642	664

This appropriation provides for the necessary expenses not otherwise provided for, incident to providing protective guard services for the United States courthouses and other facilities housing Federal court operations and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities, to be expended directly or transferred to the United States Marshals Service which shall be responsible for administering the Judicial Facility Security Program or to the Federal Protective Service for costs associated with building security.

Object Classification (in millions of dollars)

Identification code 010-0930-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	9
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	2	1	1
23.1 Rental payments to GSA	8	9	9
25.2 Other services from non-Federal sources	440	465	487
25.3 Other goods and services from Federal sources	83	88	85
26.0 Supplies and materials	1		
31.0 Equipment	23	30	27

32.0	Land and structures	46	61	53
99.9	Total new obligations, unexpired accounts	614	666	674

Employment Summary

Identification code 010-0930-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	59	75	77

JUDICIARY FILING FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-5100-0-2-752	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Filing Fees, U.S. Courts, Judiciary	221	214	225
2000 Total: Balances and receipts	221	214	225
Appropriations:			
Current law:			
2101 Judiciary Filing Fees	-221	-214	-225
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-5100-0-2-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Judiciary Filing Fees (Direct)	226	214	225
0900 Total new obligations, unexpired accounts (object class 25.2)	226	214	225

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	328	323	323
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	221	214	225
1930 Total budgetary resources available	549	537	548
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	323	323	323

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1	401	416	376
3010 New obligations, unexpired accounts	226	214	225
3020 Outlays (gross)	-211	-254	-265
3050 Unpaid obligations, end of year	416	376	336
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	401	416	376
3200 Obligated balance, end of year	416	376	336

Budget authority and outlays, net:

4090 Budget authority, gross	221	214	225
Outlays, gross:			
4100 Outlays from new mandatory authority	9	214	225
4101 Outlays from mandatory balances	202	40	40
4110 Outlays, gross (total)	211	254	265
4180 Budget authority, net (total)	221	214	225
4190 Outlays, net (total)	211	254	265

REGISTRY ADMINISTRATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-5101-0-2-752	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Fees, Registry Administration, Judiciary	2	1	1
2000 Total: Balances and receipts	2	1	1

2101 Registry Administration	-2	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-5101-0-2-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Registry Administration (Direct)	2	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	2	1	1

Budgetary resources:

1201 Appropriation (special or trust fund)	2	1	1
1930 Total budgetary resources available	2	1	1

Change in obligated balance:

3010 New obligations, unexpired accounts	2	1	1
3020 Outlays (gross)	-2	-1	-1

Budget authority and outlays, net:

4090 Budget authority, gross	2	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	2	1	1
4180 Budget authority, net (total)	2	1	1
4190 Outlays, net (total)	2	1	1

This schedule reflects funds available to the Federal Judiciary, pursuant to Public Law 100-459, which provides that any funds collected by the Judiciary as a charge for services rendered in administering accounts kept in a court's registry shall be deposited into this account.

JUDICIARY INFORMATION TECHNOLOGY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-5114-0-2-752	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Proceeds from Sale of Property, Judiciary Information Technology Fund	147	145	145
1140 Advances and Reimbursements, Judiciary Information Technology Fund	521	502	530
1199 Total current law receipts	668	647	675
1999 Total receipts	668	647	675
2000 Total: Balances and receipts	668	647	675
Appropriations:			
Current law:			
2101 Judiciary Information Technology Fund	-668	-647	-675
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-5114-0-2-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Information Technology	712	758	706

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	234	233	122
1021 Recoveries of prior year unpaid obligations	43		
1050 Unobligated balance (total)	277	233	122
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	668	647	675
1930 Total budgetary resources available	945	880	797

JUDICIARY INFORMATION TECHNOLOGY FUND—Continued
Program and Financing—Continued

Identification code 010-5114-0-2-752	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	233	122	91
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	375	375	501
3010 New obligations, unexpired accounts	712	758	706
3020 Outlays (gross)	-669	-632	-655
3040 Recoveries of prior year unpaid obligations, unexpired	-43		
3050 Unpaid obligations, end of year	375	501	552
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	375	375	501
3200 Obligated balance, end of year	375	501	552
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	668	647	675
Outlays, gross:			
4100 Outlays from new mandatory authority	367	298	310
4101 Outlays from mandatory balances	302	334	345
4110 Outlays, gross (total)	669	632	655
4180 Budget authority, net (total)	668	647	675
4190 Outlays, net (total)	669	632	655

The Judiciary Information Technology Fund provides the judiciary with a funds management tool which allows more effective and efficient planning, budgeting, and use of funds for information technology activities. The Fund was authorized "without fiscal year limitation," which allows the judiciary to carry forward funds for projects that incur obligations over multiple years. The Fund makes it possible to implement the *Long Range Plan for Information Technology in the Federal Judiciary* and to manage the information technology program over a multi-year planning cycle while maximizing efficiencies and benefits. The Fund is financed through deposits and transfers from appropriations, reimbursements, user fees, and the sale of surplus equipment.

Object Classification (in millions of dollars)

Identification code 010-5114-0-2-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	7	7	7
23.3 Communications, utilities, and miscellaneous charges	113	115	101
24.0 Printing and reproduction	6	6	5
25.1 Advisory and assistance services	278	314	309
25.3 Other goods and services from Federal sources	71	74	72
25.7 Operation and maintenance of equipment	41	43	34
26.0 Supplies and materials	8	9	9
31.0 Equipment	188	190	169
99.9 Total new obligations, unexpired accounts	712	758	706

ADMINISTRATIVE OFFICE OF THE UNITED STATES
COURTS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Office of the United States Courts as authorized by law, including travel as authorized by 31 U.S.C. 1345, hire of a passenger motor vehicle as authorized by 31 U.S.C. 1343(b), advertising and rent in the District of Columbia and elsewhere, **[\$94,261,000] \$99,812,000**, of which not to exceed \$8,500 is authorized for official reception and representation expenses. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0927-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Program direction and policy formulation	50	66	68

0012 Program Services	26	21	21
0013 Administrative Services	35	29	30
0014 Technology Services	3	1	1
0799 Total direct obligations	114	117	120
0801 Offsetting Collections	92	109	119
0900 Total new obligations, unexpired accounts	206	226	239

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	92	94	100
Spending authority from offsetting collections, discretionary:			
1700 Collected	102	137	137
1701 Change in uncollected payments, Federal sources	12		
1750 Spending auth from offsetting collections, disc (total)	114	137	137
1900 Budget authority (total)	206	231	237
1930 Total budgetary resources available	206	231	242
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		5	3

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	13	14	3
3010 New obligations, unexpired accounts	206	226	239
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-207	-237	-237
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	14	3	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired	-12		
3071 Change in uncollected pymts, Fed sources, expired	12		
3090 Uncollected pymts, Fed sources, end of year	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	-10
3200 Obligated balance, end of year	1	-10	-8

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	206	231	237
Outlays, gross:			
4010 Outlays from new discretionary authority	194	225	231
4011 Outlays from discretionary balances	13	12	6
4020 Outlays, gross (total)	207	237	237
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-117	-137	-137
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-12		
4052 Offsetting collections credited to expired accounts	15		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	92	94	100
4080 Outlays, net (discretionary)	90	100	100
4180 Budget authority, net (total)	92	94	100
4190 Outlays, net (total)	90	100	100

The Administrative Office, pursuant to section 604 of Title 28, United States Code, under the supervision and direction of the Judicial Conference of the United States, is responsible for the administration of the U.S. courts, including the probation and bankruptcy systems. The principal functions consist of providing staff and services for the courts; conducting a continuous study of the rules of practice and procedure in the Federal courts; examining the state of dockets of the various courts; compiling and publishing statistical data concerning the business transacted by the courts; and administering the judicial retirement and survivors annuities systems under Title 28, United States Code, sections 178, 376, and 377. The Administrative Office also is responsible for: the preparation and submission of the annual budget estimates as well as supplemental and deficiency estimates; the disbursement of and accounting for moneys appropriated for the operation of the courts, and the Federal Judicial Center; the audit and examination of accounts; the purchase and distribution of supplies and equipment; providing automated data processing services; securing adequate space for

occupancy by the courts; and such other matters as may be assigned by the Supreme Court and Judicial Conference of the United States.

Object Classification (in millions of dollars)

Identification code 010-0927-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	80	82	84
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	81	83	85
12.1 Civilian personnel benefits	26	29	30
21.0 Travel and transportation of persons	2	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	2	2	2
31.0 Equipment	1	1	1
94.0 Financial transfers	2		
99.0 Direct obligations	114	117	120
99.0 Reimbursable obligations	92	109	119
99.9 Total new obligations, unexpired accounts	206	226	239

Employment Summary

Identification code 010-0927-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	618	622	632
2001 Reimbursable civilian full-time equivalent employment	514	632	666

FEDERAL JUDICIAL CENTER

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, [2021] \$31,344,000; of which \$1,800,000 shall remain available through September 30, [2021] 2022, to provide education and training to Federal court personnel; and of which not to exceed \$1,500 is authorized for official reception and representation expenses. (*Judiciary Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 010-0928-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Education and training	21	22	22
0002 Research	5	5	5
0003 Program support	4	4	4
0900 Total new obligations, unexpired accounts	30	31	31
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	31	31
1900 Budget authority (total)	30	31	31
1930 Total budgetary resources available	31	32	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	4	4
3010 New obligations, unexpired accounts	30	31	31
3020 Outlays (gross)	-30	-31	-31
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	31	31
Outlays, gross:			
4010 Outlays from new discretionary authority	26	29	29
4011 Outlays from discretionary balances	4	2	2

4020 Outlays, gross (total)	30	31	31
4180 Budget authority, net (total)	30	31	31
4190 Outlays, net (total)	30	31	31

This appropriation provides for the operation of the Federal Judicial Center pursuant to 28 U.S.C. 620 et seq. The Center is charged with the responsibility for furthering the development and adoption of improved judicial administration in the courts of the United States.

Object Classification (in millions of dollars)

Identification code 010-0928-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	16	16
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	6	6	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	30	31	31

Employment Summary

Identification code 010-0928-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	121	128	128

JUDICIAL RETIREMENT FUNDS

Federal Funds

PAYMENT TO JUDICIARY TRUST FUNDS

Program and Financing (in millions of dollars)

Identification code 010-0941-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Judicial Officers' Retirement Fund	181	204	229
0002 Payment to Court of Federal Claims Judges Retirement Fund	5	4	4
0003 Payment to Judicial Survivors' Annuities Fund	26	32	29
0900 Total new obligations, unexpired accounts (object class 42.0)	212	240	262
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	212	240	262
1930 Total budgetary resources available	212	240	262
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	212	240	262
3020 Outlays (gross)	-212	-240	-262
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	212	240	262
Outlays, gross:			
4100 Outlays from new mandatory authority	212	240	262
4180 Budget authority, net (total)	212	240	262
4190 Outlays, net (total)	212	240	262

This appropriation request would provide funds necessary to pay the retirement annuities of bankruptcy judges and magistrate judges, pursuant to 28 U.S.C. 377, the retirement annuities of the United States Court of Federal Claims judges, pursuant to 28 U.S.C. 178, and annuities to participants' surviving widows, widowers, and dependent children, pursuant to 28 U.S.C. 376.

Trust Funds

JUDICIAL OFFICERS' RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8122-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1		
Receipts:			
Current law:			
1110 Deductions from Employee Salaries and Voluntary Contributions, Judicial Officers' Annuity	3	4	5
1140 Interest and Profits on Investments, Judicial Officers' Annuity	7	12	20
1140 Federal Payment to Judicial Officers Retirement Fund	182	204	229
1199 Total current law receipts	192	220	254
1999 Total receipts	192	220	254
2000 Total: Balances and receipts	193	220	254
Appropriations:			
Current law:			
2101 Judicial Officers' Retirement Fund	-193	-220	-254
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-8122-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Judicial Officers Retirement Fund	116	117	122
0900 Total new obligations, unexpired accounts (object class 42.0)	116	117	122
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	658	735	838
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	193	220	254
1930 Total budgetary resources available	851	955	1,092
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	735	838	970
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	10	10
3010 New obligations, unexpired accounts	116	117	122
3020 Outlays (gross)	-115	-117	-122
3050 Unpaid obligations, end of year	10	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	10	10
3200 Obligated balance, end of year	10	10	10
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	193	220	254
Outlays, gross:			
4100 Outlays from new mandatory authority	106	108	122
4101 Outlays from mandatory balances	9	9	
4110 Outlays, gross (total)	115	117	122
4180 Budget authority, net (total)	193	220	254
4190 Outlays, net (total)	115	117	122
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	672	742	845
5001 Total investments, EOY: Federal securities: Par value	742	845	977

This fund provides the retirement annuities of bankruptcy judges and magistrate judges pursuant to 28 U.S.C. 377.

JUDICIAL SURVIVORS' ANNUITIES FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8110-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			

Receipts:

Identification code 010-8110-0-7-602	2019 actual	2020 est.	2021 est.
Current law:			
1110 Judicial Survivors Annuity, Deductions from Employees Salaries	7	8	8
1140 Judicial Survivors Annuity, Interest and Profits on Investments	13	13	14
1140 Federal Payment to Judicial Survivors Annuities Fund	26	32	29
1199 Total current law receipts	46	53	51
1999 Total receipts	46	53	51
2000 Total: Balances and receipts	46	53	51
Appropriations:			
Current law:			
2101 Judicial Survivors' Annuities Fund	-46	-53	-51
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-8110-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Judicial Survivor's Annuity Fund	31	33	35
0900 Total new obligations, unexpired accounts (object class 42.0)	31	33	35
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	505	520	540
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	46	53	51
1930 Total budgetary resources available	551	573	591
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	520	540	556
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	2	2
3010 New obligations, unexpired accounts	31	33	35
3020 Outlays (gross)	-32	-33	-35
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	46	53	51
Outlays, gross:			
4100 Outlays from new mandatory authority	29	33	35
4101 Outlays from mandatory balances	3		
4110 Outlays, gross (total)	32	33	35
4180 Budget authority, net (total)	46	53	51
4190 Outlays, net (total)	32	33	35
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	511	524	544
5001 Total investments, EOY: Federal securities: Par value	524	544	560

The Judicial Survivors' Annuities Fund (section 376 of title 28, United States Code) was established to receive sums deducted and withheld from salaries of justices, judges, the Director of the Federal Judicial Center, the Director of the Administrative Office of the U.S. Courts, and the Administrative Assistant to the Chief Justice who have elected to bring themselves within the purview of the above section, as well as amounts received from said judicial officers covering Federal civilian service prior to date of election.

This fund provides annuities for participants' surviving widows, widowers, and dependent children.

UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 010-8124-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			

Receipts:				
Current law:				
1140	Federal Payment to Claims Court Judges' Retirement Fund	4	4	4
1140	Interest, Claims Court Judges' Retirement Fund	1	1	1
1199	Total current law receipts	5	5	5
1999	Total receipts	5	5	5
2000	Total: Balances and receipts	5	5	5
Appropriations:				
Current law:				
2101	United States Court of Federal Claims Judges' Retirement Fund	-5	-5	-5
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 010-8124-0-7-602	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Court of Federal Claims Judges Retirement Fund	4	4	4
0900	Total new obligations, unexpired accounts (object class 42.0)	4	4	4
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	36	37	38
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	5	5	5
1930	Total budgetary resources available	41	42	43
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	37	38	39
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	4	4	4
3020	Outlays (gross)	-4	-4	-4
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	5	5	5
Outlays, gross:				
4100	Outlays from new mandatory authority	4	4	4
4180	Budget authority, net (total)	5	5	5
4190	Outlays, net (total)	4	4	4
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	37	38	39
5001	Total investments, EOY: Federal securities: Par value	38	39	40

This fund provides the retirement annuities of United States Court of Federal Claims judges pursuant to 28 U.S.C. 178.

UNITED STATES SENTENCING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For the salaries and expenses necessary to carry out the provisions of chapter 58 of title 28, United States Code, **[\$19,670,000] \$20,256,000**, of which not to exceed \$1,000 is authorized for official reception and representation expenses. (*Judiciary Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 010-0938-0-1-752	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Salaries and Expenses (Direct)	19	20	20
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	19	20	20
1930	Total budgetary resources available	19	20	20

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	5	5
3010	New obligations, unexpired accounts	19	20	20
3020	Outlays (gross)	-18	-20	-20
3050	Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	5	5
3200	Obligated balance, end of year	5	5	5

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	19	20	20
Outlays, gross:				
4010	Outlays from new discretionary authority	15	17	17
4011	Outlays from discretionary balances	3	3	3
4020	Outlays, gross (total)	18	20	20
4180	Budget authority, net (total)	19	20	20
4190	Outlays, net (total)	18	20	20

The United States Sentencing Commission, an independent agency within the judicial branch, was established pursuant to provisions of the Comprehensive Crime Control Act of 1984 (Public Law 98-473, Title II), as amended. The Commission's principal purposes are to: (1) collect, analyze, and distribute a broad array of information on Federal crime and sentencing issues, serving as an information resource for the Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public; (2) establish sentencing policies and practices for the Federal courts, including guidelines prescribing the appropriate form and severity of punishment for offenders convicted of Federal crimes; (3) advise and assist the Congress and the executive branch in the development of effective and efficient crime policy; and (4) provide training to judges, prosecutors, probation officers, the defense bar, and other members of the criminal justice community on the application of the guidelines.

Object Classification (in millions of dollars)

Identification code 010-0938-0-1-752	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	10	12	12
12.1	Civilian personnel benefits	4	4	4
25.2	Other services from non-Federal sources	3	3	3
31.0	Equipment	2	1	1
99.9	Total new obligations, unexpired accounts	19	20	20

Employment Summary

Identification code 010-0938-0-1-752	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	92	95	95

ADMINISTRATIVE PROVISIONS—THE JUDICIARY

(INCLUDING TRANSFER OF FUNDS)

SEC. 301. Appropriations and authorizations made in this title which are available for salaries and expenses shall be available for services as authorized by 5 U.S.C. 3109.

SEC. 302. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Judiciary in this Act may be transferred between such appropriations, but no such appropriation, except "Courts of Appeals, District Courts, and Other Judicial Services, Defender Services" and "Courts of Appeals, District Courts, and Other Judicial Services, Fees of Jurors and Commissioners", shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under sections 604 and 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in section 608.

SEC. 303. Notwithstanding any other provision of law, the salaries and expenses appropriation for "Courts of Appeals, District Courts, and Other Judicial Services" shall be available for official reception and representation expenses of the Judicial Conference of the United States: *Provided*, That such available funds shall not exceed

\$11,000 and shall be administered by the Director of the Administrative Office of the United States Courts in the capacity as Secretary of the Judicial Conference.

SEC. 304. Section 3315(a) of title 40, United States Code, shall be applied by substituting "Federal" for "executive" each place it appears.

SEC. 305. In accordance with 28 U.S.C. 561–569, and notwithstanding any other provision of law, the United States Marshals Service shall provide, for such courthouses as its Director may designate in consultation with the Director of the Administrative Office of the United States Courts, for purposes of a pilot program, the security services that 40 U.S.C. 1315 authorizes the Department of Homeland Security to provide, except for the services specified in 40 U.S.C. 1315(b)(2)(E). For building-specific security services at these courthouses, the Director of the Administrative Office of the United States Courts shall reimburse the United States Marshals Service rather than the Department of Homeland Security.

SEC. 306. (a) Section 203(c) of the Judicial Improvements Act of 1990 (Public Law 101–650; 28 U.S.C. 133 note), is amended [in the matter following paragraph 12—]

[(1)] in the second sentence (relating to the District of Kansas) *following paragraph (12)*, by striking "[28] 29 years and 6 months" and inserting "[29] 30 years and 6 months". [; and]

[(2)] in the sixth sentence (relating to the District of Hawaii), by striking "25 years and 6 months" and inserting "26 years and 6 months".]

(b) Section 406 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Approp-

riations Act, 2006 (Public Law 109–115; 119 Stat. 2470; 28 U.S.C. 133 note) is amended in the second sentence (relating to the eastern District of Missouri) by striking "[26] 27 years and 6 months" and inserting "[27] 28 years and 6 months".

(c) Section 312(c)(2) of the 21st Century Department of Justice Appropriations Authorization Act (Public Law 107–273; 28 U.S.C. 133 note), is amended—

(1) *in the first sentence by inserting after "except in the case of" the following: "the northern district of Alabama,";*

(2) *in the first sentence by inserting after "the central district of California" the following: ",";*

[(1)3] in the first sentence by striking "[17] 18 years" and inserting "[18] 19 years";

(4) *by adding at the end of the first sentence the following: "The first vacancy in the office of district judge in the northern district of Alabama occurring 18 years or more after the confirmation date of the judge named to fill the temporary district judgeship created in that district by this subsection, shall not be filled.";*

[(2)5] in the [second] *third* sentence (relating to the central District of California), by striking "[16] 17 years and 6 months" and inserting "[17] 18 years and 6 months"; and

[(3)6] in the [third] *fourth* sentence (relating to the western district of North Carolina), by striking "[15] 16 years" and inserting "[16] 17 years".
(*Judiciary Appropriations Act, 2020.*)

DEPARTMENT OF AGRICULTURE

OFFICE OF THE SECRETARY

Federal Funds

PROCESSING, RESEARCH, AND MARKETING

OFFICE OF THE SECRETARY

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Office of the Secretary, **[\$46,139,000]** \$47,836,000, of which not to exceed **[\$5,051,000]** \$5,143,000 shall be available for the immediate Office of the Secretary; not to exceed **[\$1,496,000]** \$1,336,000 shall be available for the Office of Homeland Security; not to exceed **[\$6,211,000]** \$1,957,000 shall be available for the Office of Partnerships and Public Engagement, of which \$1,500,000 shall be for 7 U.S.C. 2279(c)(5); not to exceed **[\$22,251,000]** \$28,084,000 shall be available for the Office of the Assistant Secretary for Administration, of which **[\$21,376,000]** \$27,161,000 shall be available for Departmental Administration to provide for necessary expenses for management support services to offices of the Department and for general administration, security, repairs and alterations, and other miscellaneous supplies and expenses not otherwise provided for and necessary for the practical and efficient work of the Department: *Provided*, That funds made available by this Act to an agency in the Administration mission area for salaries and expenses are available to fund up to one administrative support staff for the Office; not to exceed **[\$3,869,000]** \$3,941,000 shall be available for the Office of Assistant Secretary for Congressional Relations and Intergovernmental Affairs to carry out the programs funded by this Act, including programs involving intergovernmental affairs and liaison within the executive branch; and not to exceed **[\$7,261,000]** \$7,411,000 shall be available for the Office of Communications: *Provided further*, That the Secretary of Agriculture is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further*, That no appropriation for any office shall be increased or decreased by more than 5 percent: *Provided further*, That not to exceed \$22,000 of the amount made available under this paragraph for the immediate Office of the Secretary shall be available for official reception and representation expenses, not otherwise provided for, as determined by the Secretary: *Provided further*, That the amount made available under this heading for Departmental Administration shall be reimbursed from applicable appropriations in this Act for travel expenses incident to the holding of hearings as required by 5 U.S.C. 551–558: *Provided further*, That funds made available under this heading for the Office of the Assistant Secretary for Congressional Relations and Intergovernmental Affairs may be transferred to agencies of the Department of Agriculture funded by this Act to maintain personnel at the agency level: *Provided further*, That no funds made available under this heading for the Office of Assistant Secretary for Congressional Relations may be obligated after 30 days from the date of enactment of this Act, unless the Secretary has notified the Committees on Appropriations of both Houses of Congress on the allocation of these funds by USDA agency: *Provided further*, That of the funds made available under this heading, funding shall be made available to the Office of the Secretary to carry out the duties of the working group established under section 770 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2019 (Public Law 116–6; 133 Stat. 89): *Provided further*, That during any 30 day notification period referenced in section 716 of this Act, the Secretary of Agriculture, the Secretary of Health and Human Services or the Chairman of the Commodity Futures Trading Commission, (as the case may be) shall take no action to begin implementation of the proposal or make any public announcement in any form.

OFFICE OF THE ASSISTANT SECRETARY FOR CIVIL RIGHTS

For necessary expenses of the Office of the Assistant Secretary for Civil Rights, **[\$901,000]** \$914,000: *Provided*, That funds made available by this Act to an agency in the Civil Rights mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF THE UNDER SECRETARY FOR RESEARCH, EDUCATION, AND ECONOMICS

For necessary expenses of the Office of the Under Secretary for Research, Education, and Economics, **[\$800,000]** \$6,813,000: *Provided*, That funds made available by this Act to an agency in the Research, Education, and Economics mission area for salaries and expenses are available to fund up to one administrative support staff for the Office: *Provided further*, That of the amounts made available under this heading, \$6,000,000 shall be available for the Office of the Chief Scientist.

OFFICE OF THE UNDER SECRETARY FOR MARKETING AND REGULATORY PROGRAMS

For necessary expenses of the Office of the Under Secretary for Marketing and Regulatory Programs, **[\$800,000]** \$914,000: *Provided*, That funds made available by this Act to an agency in the Marketing and Regulatory Programs mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF THE UNDER SECRETARY FOR FOOD SAFETY

For necessary expenses of the Office of the Under Secretary for Food Safety, **[\$800,000]** \$813,000: *Provided*, That funds made available by this Act to an agency in the Food Safety mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF THE UNDER SECRETARY FOR FARM PRODUCTION AND CONSERVATION

For necessary expenses of the Office of the Under Secretary for Farm Production and Conservation, **[\$901,000]** \$1,141,000: *Provided*, That funds made available by this Act to an agency in the Farm Production and Conservation mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF THE UNDER SECRETARY FOR RURAL DEVELOPMENT

For necessary expenses of the Office of the Under Secretary for Rural Development, **[\$800,000]** \$1,036,000: *Provided*, That funds made available by this Act to an agency in the Rural Development mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF THE UNDER SECRETARY FOR FOOD, NUTRITION, AND CONSUMER SERVICES

For necessary expenses of the Office of the Under Secretary for Food, Nutrition, and Consumer Services, **[\$800,000]** \$813,000: *Provided*, That funds made available by this Act to an agency in the Food, Nutrition and Consumer Services mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF THE UNDER SECRETARY FOR TRADE AND FOREIGN AGRICULTURAL AFFAIRS

For necessary expenses of the Office of the Under Secretary for Trade and Foreign Agricultural Affairs, **[\$875,000]** \$891,000: *Provided*, That funds made available by this Act to any agency in the Trade and Foreign Agricultural Affairs mission area for salaries and expenses are available to fund up to one administrative support staff for the Office.

OFFICE OF CODEX ALIMENTARIUS

For necessary expenses of the Office of Codex Alimentarius, **[\$4,775,000]** \$4,817,000, including not to exceed \$40,000 for official reception and representation expenses. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

OFFICE OF THE UNDER SECRETARY FOR NATURAL RESOURCES AND ENVIRONMENT

For necessary expenses of the Office of the Under Secretary for Natural Resources and Environment, \$875,000: *Provided*, That funds made available by this Act to any agency in the Natural Resources and Environment mission area for salaries and expenses are available to fund up to one administrative support staff for the office. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012–9913–0–1–999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of the Secretary	5	5	5
0002 Under/Assistant Secretaries	15	18	24
0004 Departmental Administration	22	23	28
0005 Office of Communications	7	7	7
0007 Office of Homeland Security and Emergency Coordination	1	2	2
0008 Outreach & Assistance for Socially Disadvantaged Farmers & Ranchers & Veteran Farmers & Ranchers	13	12	10
0010 Office of Partnerships and Public Engagement	2	2	2
0011 Disaster Relief Supplemental	943	1,327	1,246
0799 Total direct obligations	1,008	1,396	1,324
0802 Office of the Secretary (Reimbursable)	53	57	60

OFFICE OF THE SECRETARY—Continued
Program and Financing—Continued

Identification code 012-9913-0-1-999	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts	1,061	1,453	1,384
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,989	4,053	2,743
1001 Discretionary unobligated balance brought fwd, Oct 1	1,989	4,053
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	1,989	4,053	2,744
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,063	65	68
1100 Appropriation	1,409
1120 Appropriations transferred to other acct [012-4609]	-3
1131 Unobligated balance of appropriations permanently reduced	-1,409
1160 Appropriation, discretionary (total)	3,060	65	68
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	15	15	15
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1
1260 Appropriations, mandatory (total)	14	15	15
Spending authority from offsetting collections, discretionary:			
1700 Collected	39	63	59
1701 Change in uncollected payments, Federal sources	19
1750 Spending auth from offsetting collections, disc (total)	58	63	59
1900 Budget authority (total)	3,132	143	142
1930 Total budgetary resources available	5,121	4,196	2,886
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-7
1941 Unexpired unobligated balance, end of year	4,053	2,743	1,502
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	75	569	1,371
3010 New obligations, unexpired accounts	1,061	1,453	1,384
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-566	-651	-147
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	569	1,371	2,607
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-30	-36	-36
3070 Change in uncollected pymts, Fed sources, unexpired	-19
3071 Change in uncollected pymts, Fed sources, expired	13
3090 Uncollected pymts, Fed sources, end of year	-36	-36	-36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	45	533	1,335
3200 Obligated balance, end of year	533	1,335	2,571
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,118	128	127
Outlays, gross:			
4010 Outlays from new discretionary authority	86	122	121
4011 Outlays from discretionary balances	469	513	10
4020 Outlays, gross (total)	555	635	131
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-50	-63	-59
4040 Offsets against gross budget authority and outlays (total)	-50	-63	-59
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-19
4052 Offsetting collections credited to expired accounts	11
4060 Additional offsets against budget authority only (total)	-8
4070 Budget authority, net (discretionary)	3,060	65	68
4080 Outlays, net (discretionary)	505	572	72
Mandatory:			
4090 Budget authority, gross	14	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority	1	13	13
4101 Outlays from mandatory balances	10	3	3
4110 Outlays, gross (total)	11	16	16
4180 Budget authority, net (total)	3,074	80	83

4190 Outlays, net (total)	516	588	88
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The Office of the Secretary is responsible for the overall planning, coordination and administration of the Department's programs. This includes the Secretary, Deputy Secretary, Under Secretaries, Assistant Secretaries, and other related offices, who provide policy guidance for the Department; and provide liaison with the Executive Office of the President and Members of Congress.

Disaster relief supplemental funding is also provided to the Office of the Secretary, as appropriated by the Congress in 2018 and 2019. These funds are in addition to other existing USDA programs designed to provide disaster assistance.

Object Classification (in millions of dollars)

Identification code 012-9913-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	24	25	28
12.1 Civilian personnel benefits	8	9	11
21.0 Travel and transportation of persons	2	2	4
23.3 Communications, utilities, and miscellaneous charges	4	4	5
25.2 Other services from non-Federal sources	14	15	16
25.3 Other goods and services from Federal sources	13	14	14
41.0 Grants, subsidies, and contributions	943	1,327	1,246
99.0 Direct obligations	1,008	1,396	1,324
99.0 Reimbursable obligations	53	57	60
99.9 Total new obligations, unexpired accounts	1,061	1,453	1,384

Employment Summary

Identification code 012-9913-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	208	214	217
2001 Reimbursable civilian full-time equivalent employment	168	171	171

Trust Funds

GIFTS AND BEQUESTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8203-0-7-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1130 Gifts and Bequests, Departmental Administration	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Gifts and Bequests	-1	-1	-1
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 012-8203-0-7-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Gifts and bequests	1	1	1
0900 Total new obligations, unexpired accounts (object class 99.5)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1

3020	Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1

The Secretary is authorized to accept and administer gifts and bequests of real and personal property to facilitate the work of the Department. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest (7 U.S.C. 2269).

EXECUTIVE OPERATIONS

Federal Funds

EXECUTIVE OPERATIONS

OFFICE OF THE CHIEF ECONOMIST

For necessary expenses of the Office of the Chief Economist, **[\$24,013,000]** \$20,857,000, of which **[\$8,000,000]** \$5,000,000 shall be for grants or cooperative agreements for policy research under 7 U.S.C. 3155.

OFFICE OF HEARINGS AND APPEALS

For necessary expenses of the Office of Hearings and Appeals, **[\$15,222,000]** \$15,533,000.

OFFICE OF BUDGET AND PROGRAM ANALYSIS

For necessary expenses of the Office of Budget and Program Analysis, **[\$9,525,000]** \$20,660,000, of which \$8,500,000 shall remain available until September 30, 2022.

OFFICE OF THE CHIEF INFORMATION OFFICER

For necessary expenses of the Office of the Chief Information Officer, **[\$66,580,000]** \$142,812,000, of which [not less than \$56,000,000 is for cybersecurity requirements of the department] \$32,000,000 shall be for the Goodfellow facility and shall remain available until expended.

OFFICE OF THE CHIEF FINANCIAL OFFICER

For necessary expenses of the Office of the Chief Financial Officer, **[\$6,028,000]** \$11,104,000, of which \$4,000,000 shall remain available until September 30, 2022.

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$24,206,000]** \$20,633,000.

OFFICE OF SAFETY, SECURITY, AND PROTECTION

For necessary expenses of the Office of Safety, Security, and Protection, \$24,978,000.

HAZARDOUS MATERIALS MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Department of Agriculture, to comply with the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.) and the Solid Waste Disposal Act (42 U.S.C. 6901 et seq.), **[\$4,503,000]** \$3,523,000, to remain available until expended: *Provided*, That appropriations and funds available herein to the Department for Hazardous Materials Management may be transferred to any agency of the Department for its use in meeting all requirements pursuant to the above Acts on Federal and non-Federal lands.

OFFICE OF THE GENERAL COUNSEL

For necessary expenses of the Office of the General Counsel, **[\$45,146,000]** \$45,878,000.

OFFICE OF INFORMATION AFFAIRS

For necessary expenses of the Office of Information Affairs, \$2,500,000.

OFFICE OF ETHICS

For necessary expenses of the Office of Ethics, **[\$4,136,000]** \$4,222,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-9914-0-1-352	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Office of the Chief Financial Officer	6	8	11
0002	Office of Budget and Program Analysis	9	9	21
0003	Office of the Chief Economist	21	21	21
0004	Office of the Chief Information Officer	56	56	143
0005	Office of Civil Rights	24	24	21
0006	Office of the General Counsel	44	44	46
0007	Office of Ethics	4	4	4
0008	Office of Hearings and Appeals	15	15	16
0009	Hazardous Materials Management	10	10	4
0010	Office of Safety, Security, and Preparedness			25
0011	Office of Informational Affairs			3
0799	Total direct obligations	189	191	315
0801	Office of Civil Rights Reimb	5	5	4
0802	Office of the Chief Information Officer Reimb	30	30	20
0803	Office of the Chief Economist Reimb	1	1	1
0804	Office of the General Counsel Reimb	4	4	5
0899	Total reimbursable obligations	40	40	30
0900	Total new obligations, unexpired accounts	229	231	345
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		7	8
1001	Discretionary unobligated balance brought fwd, Oct 1		6	
1021	Recoveries of prior year unpaid obligations	1	6	6
1033	Recoveries of prior year paid obligations	11		
1050	Unobligated balance (total)	12	13	14
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	185	201	313
1120	Appropriations transferred to other acct [012-4609]	-1		
1160	Appropriation, discretionary (total)	184	201	313
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [012-4336]		1	1
Spending authority from offsetting collections, discretionary:				
1700	Collected	13	15	15
1701	Change in uncollected payments, Federal sources	31	15	15
1750	Spending auth from offsetting collections, disc (total)	44	30	30
1900	Budget authority (total)	228	232	344
1930	Total budgetary resources available	240	245	358
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-4	-6	-6
1941	Unexpired unobligated balance, end of year	7	8	7
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	78	85	79
3010	New obligations, unexpired accounts	229	231	345
3011	Obligations ("upward adjustments"), expired accounts	2	4	4
3020	Outlays (gross)	-220	-231	-405
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-6	-6
3041	Recoveries of prior year unpaid obligations, expired	-3	-4	-4
3050	Unpaid obligations, end of year	85	79	13
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-21	-34	-38
3070	Change in uncollected pymts, Fed sources, unexpired	-31	-15	-15
3071	Change in uncollected pymts, Fed sources, expired	18	11	11
3090	Uncollected pymts, Fed sources, end of year	-34	-38	-42
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	57	51	41
3200	Obligated balance, end of year	51	41	-29
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	228	231	343
Outlays, gross:				
4010	Outlays from new discretionary authority	166	231	343
4011	Outlays from discretionary balances	53		62
4020	Outlays, gross (total)	219	231	405
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-26	-26	-26
4033	Non-Federal sources	-12		
4040	Offsets against gross budget authority and outlays (total)	-38	-26	-26

EXECUTIVE OPERATIONS—Continued
Program and Financing—Continued

Identification code 012-9914-0-1-352	2019 actual	2020 est.	2021 est.
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-31	-15	-15
4052 Offsetting collections credited to expired accounts	14	11	11
4053 Recoveries of prior year paid obligations, unexpired accounts			
	11		
4060 Additional offsets against budget authority only (total)	-6	-4	-4
4070 Budget authority, net (discretionary)	184	201	313
4080 Outlays, net (discretionary)	181	205	379
Mandatory:			
4090 Budget authority, gross		1	1
Outlays, gross:			
4101 Outlays from mandatory balances	1		
4180 Budget authority, net (total)	184	202	314
4190 Outlays, net (total)	182	205	379

The Office of the Chief Economist advises the Secretary of Agriculture on the economic implications of Department policies, programs and proposed legislation. The Office is a focal point for USDA's economic intelligence and analysis; projections related to agricultural commodity markets; risk assessment and cost-benefit analysis related to domestic and international food and agriculture; policy direction for renewable energy development; coordination, analysis and advice on climate adaptation and environmental market activities; and coordination and review of all commodity and aggregate agricultural and food-related data used to develop outlook and situation material within the Department. The 2021 Budget requests \$20.9 million for the office.

The Office of Hearings and Appeals (OHA) is responsible for conducting first and second-level administrative adjudications at USDA through fair, transparent, and consistent processes. Activities are carried out by three offices, the National Appeals Division (NAD), the Office of Administrative Law Judges (OALJ), and the Office of the Judicial Officer (OJO). NAD is responsible for listening to farmers and other rural program participants concerning their disputes with certain agencies within USDA through fair and impartial administrative hearings and appeals. OALJ and OJO (previously housed in Departmental Administration) are responsible for regulatory hearings and administrative proceedings. OHA was established in 2016 with the consolidation of the three offices. The 2021 Budget requests \$15.5 million and reflects this realignment.

The Office of Budget and Program Analysis (OBPA) coordinates the preparation of Departmental budget estimates, regulations, and legislative reports; administers systems for the allotment and apportionment of funds; provides analysis of USDA program issues, draft regulations, and budget proposals; participates in strategic planning; and provides assistance to USDA policy makers in the development and execution of desired policies and programs. The 2021 Budget requests \$20.7 million.

The Clinger-Cohen Act of 1996 required the establishment of a Chief Information Officer (CIO) for all major Federal agencies. The Act requires USDA to maximize the value of information technology acquisitions to improve the efficiency and effectiveness of USDA programs. To meet the intent of the law and to provide a Departmental focus for information resources management issues, Secretary's Memorandum 1030-30, dated August 8, 1996, established the Office of the Chief Information Officer (OCIO). The CIO serves as the primary advisor to the Secretary on Information Technology (IT) issues. OCIO provides leadership for the Department's information and IT management activities in support of USDA program delivery. The 2021 Budget requests \$142.8 million.

The Office of the Chief Financial Officer (OCFO) was established in 1995 under authority provided in Reorganization Plan Number 2 of 1953 (7 U.S.C. 2201) to comply with the Chief Financial Officers Act of 1990. The OCFO focuses on the Department's financial management activities to improve program delivery and assure maximum contribution to the Secretary's Strategic Goals. The 2021 Budget requests \$11.1 million.

The Office of Civil Rights provides overall leadership for all Departmentwide civil rights activities, including employment opportunity and program non-discrimination policy development, analysis, coordination, and compliance. The Office provides leadership to implement best practices that will create an environment where a diverse workforce is valued as a source of strength. The Office monitors program activities to ensure that all USDA programs are delivered in a non-discriminatory manner. The 2021 Budget requests \$20.6 million.

The Office of the General Counsel of the Department of Agriculture provides legal advice, counsel, and services to the Secretary and to all agencies, offices, and corporations of the Department on all aspects of their operations and programs. It represents the Department in administrative proceedings; non-litigation debt collection proceedings; State water rights adjudications; proceedings before the Civilian Board of Contract Appeal, the Merit System Protection Board, the Equal Employment Opportunity Commission, the USDA Office of Administrative Law Judges, and other Federal agencies; and, in conjunction with the Department of Justice, in judicial proceedings and litigation in the Federal and State courts. All attorneys and support personnel devoted to those efforts are supervised by the General Counsel. The 2021 Budget requests \$45.9 million.

The Office of Ethics provides ethics advice, counsel and training to all USDA officials and employees, and conducts annual financial disclosure reviews. The work of the Office of Ethics promotes employee compliance with the Federal conflict of interest laws and regulations. The 2021 Budget requests \$4.2 million.

The Office of Information Affairs provides day to day oversight over the Department of Agriculture's Freedom of Information Act program, responding to the increasing number of complex records request for those Freedom of Information Act functions realigned to the Office of Information Affairs, creating Freedom of Information Act policy and training directives, and preparing all statutorily required reports. The 2021 Budget request \$2.5 million.

The Office of Safety, Security and Protection (OSSP) is responsible for facility security, emergency management and response. OSSP provides Department-wide leadership, policy, and management in the safeguarding of property and personnel. OSSP is committed to identifying and addressing all security risks that may affect USDA personnel, infrastructure, and facilities. The 2021 Budget requests \$25 million.

Under the Comprehensive Environmental Response, Compensation, and Liability Act and the Resource Conservation and Recovery Act, the Department must meet the same standards for environmental cleanup and regulatory compliance regarding hazardous wastes and hazardous substances as private businesses. With substantial commitments under these Acts, the Hazardous Materials Management account was established as a central fund so the Department's agencies may be reimbursed for their cleanup efforts. The Department determines what projects to fund by using objective criteria to identify what sites pose the greatest threats to public health, safety, and the environment. The 2021 Budget requests \$3.5 million.

Object Classification (in millions of dollars)

Identification code 012-9914-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	85	81	90
12.1 Civilian personnel benefits	27	25	31
23.1 Rental payments to GSA	5	2	2
23.3 Communications, utilities, and miscellaneous charges		5	5
24.0 Printing and reproduction		1	1
25.2 Other services from non-Federal sources	31	27	116
25.3 Other goods and services from Federal sources	38	46	50
25.7 Operation and maintenance of equipment			18
26.0 Supplies and materials	1	2	2
31.0 Equipment	2		
99.0 Direct obligations	189	189	315
99.0 Reimbursable obligations	40	42	30
99.9 Total new obligations, unexpired accounts	229	231	345

Employment Summary

Identification code 012-9914-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	627	627	627
2001 Reimbursable civilian full-time equivalent employment	39	39	39

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 012-4609-0-4-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Administration	46	48	47
0802 Communications	8	4	4
0803 Finance and Management	309	319	315
0804 Information Technology	576	677	677
0805 Executive Secretariat	5	6	7
0809 Reimbursable program activities, subtotal	944	1,054	1,050
0815 Capital Funding Availability	15	60	36
0816 Proceeds from Purchase Card Rebate Programs	10	18	8
0817 Proceeds from Transfers of Discretionary Unobligated Balances	6	25	
0818 Technology Modernization	3		
0819 Reimbursable program activities, subtotal	34	103	44
0900 Total new obligations, unexpired accounts	978	1,157	1,094
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	263	297	384
1011 Unobligated balance transfer from other acct [047-0616]		13	1
1050 Unobligated balance (total)	263	310	385
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [012-0115]	2		
1121 Appropriations transferred from other acct [012-0129]	1		
1121 Appropriations transferred from other acct [012-1230]	1		
1121 Appropriations transferred from other acct [012-2081]	2		
1121 Appropriations transferred from other acct [012-2300]	1		
1121 Appropriations transferred from other acct [012-2500]	1		
1121 Appropriations transferred from other acct [012-2900]	2		
1121 Appropriations transferred from other acct [047-0616]	1		
1160 Appropriation, discretionary (total)	11		
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,013	1,231	980
1701 Change in uncollected payments, Federal sources	-12		
1750 Spending auth from offsetting collections, disc (total)	1,001	1,231	980
1900 Budget authority (total)	1,012	1,231	980
1930 Total budgetary resources available	1,275	1,541	1,365
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	297	384	271
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	337	355	92
3010 New obligations, unexpired accounts	978	1,157	1,094
3020 Outlays (gross)	-960	-1,420	-1,014
3050 Unpaid obligations, end of year	355	92	172
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-252	-240	-240
3070 Change in uncollected pymts, Fed sources, unexpired	12		
3090 Uncollected pymts, Fed sources, end of year	-240	-240	-240
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	85	115	-148
3200 Obligated balance, end of year	115	-148	-68
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,012	1,231	980
Outlays, gross:			
4010 Outlays from new discretionary authority	640	1,065	848
4011 Outlays from discretionary balances	320	355	166
4020 Outlays, gross (total)	960	1,420	1,014
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,000	-1,231	-980

4033 Non-Federal sources	-13		
4040 Offsets against gross budget authority and outlays (total)	-1,013	-1,231	-980
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	12		
4070 Budget authority, net (discretionary)	11		
4080 Outlays, net (discretionary)	-53	189	34
4180 Budget authority, net (total)	11		
4190 Outlays, net (total)	-53	189	34

This fund finances, by advances or reimbursements, certain central services in the Department of Agriculture, including supply, mail, and reproduction services; financial, procurement, and other administrative systems; telecommunications and network services; mainframe computer processing and hosting services; correspondence management services; payroll, financial management, and human resources services; and video production, conferencing, design, and Web support services.

Object Classification (in millions of dollars)

Identification code 012-4609-0-4-352	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent - OCFO	110	122	124
11.1 Full-time permanent - OCIO	83	98	99
11.1 Full-time permanent - DA OES OC	17	21	21
11.5 Other personnel compensation - OCFO	5		
11.5 Other personnel compensation - OCIO	3		
11.5 Other personnel compensation - DA OES OC	1		
11.9 Total personnel compensation	219	241	244
12.1 Civilian personnel benefits OCFO	40	43	43
12.1 Civilian personnel benefits OCIO	29	33	33
12.1 Civilian personnel benefits - DA OES OC	6	7	7
21.0 Travel and transportation of persons OCFO	1	1	1
21.0 Travel and transportation of persons - OCIO	2	3	3
22.0 Transportation of things - DA OES OC	1	1	1
23.1 Rental payments to GSA - OCFO	2	2	2
23.1 Rental payments to GSA - OCIO	4	5	6
23.1 Rental payments to GSA - DA OES OC	1	1	1
23.2 Rental payments to others - OCFO	4	5	3
23.2 Rental payments to others - OCIO	28	12	12
23.3 Communications, utilities, and miscellaneous charges - OCFO	5	4	4
23.3 Communications, utilities, and miscellaneous charges - OCIO	94	146	144
23.3 Communications, utilities, and miscellaneous charges - DA OES OC	3	2	2
25.1 Advisory and assistance services - OCFO	1		
25.2 Other services from non-Federal sources - OCFO	75	81	71
25.2 Other services from non-Federal sources - OCIO	148	188	195
25.2 Other services from non-Federal sources - DA OES OC	16	13	13
25.3 Other goods and services from Federal sources - OCFO	29	31	32
25.3 Other goods and services from Federal sources - OCIO	55	26	25
25.3 Other goods and services from Federal sources - DA OES OC	9	8	9
25.4 Operation and maintenance of facilities	3	4	4
25.7 Operation and maintenance of equipment - OCFO	34	29	33
25.7 Operation and maintenance of equipment - OCIO	115	125	120
25.7 Operation and maintenance of equipment - DA OES OC	3	3	3
26.0 Supplies and materials - OCFO	1	1	1
26.0 Supplies and materials - OCIO	6	2	3
26.0 Supplies and materials - DA OES OC	3	2	2
31.0 Equipment - OCFO	11		
31.0 Equipment - OCIO	29	35	33
31.0 Equipment - Availability		103	44
32.0 Land and structures	1		
99.9 Total new obligations, unexpired accounts	978	1,157	1,094

Employment Summary

Identification code 012-4609-0-4-352	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	2,285	2,702	2,699

BUILDINGS AND FACILITIES

Federal Funds

AGRICULTURE BUILDINGS AND FACILITIES

(INCLUDING TRANSFERS OF FUNDS)

For payment of space rental and related costs pursuant to Public Law 92-313, including authorities pursuant to the 1984 delegation of authority from the Administrator of General Services to the Department of Agriculture under 40 U.S.C. 121, for programs and activities of the Department which are included in this Act, and for alterations and other actions needed for the Department and its agencies to consolidate unneeded space into configurations suitable for release to the Administrator of General Services, and for the operation, maintenance, improvement, and repair of Agriculture buildings and facilities, and for related costs, **[\$128,167,000]** \$152,829,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-0117-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Building Operations and Maintenance	72	171	153
0799 Total direct obligations	72	171	153
0802 Agriculture Buildings and Facilities and Rental Payments (Reimbursable)	7	6	7
0900 Total new obligations, unexpired accounts	79	177	160
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	53	41	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	54	41	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	60	128	153
Spending authority from offsetting collections, discretionary:			
1700 Collected	8	8	8
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)	6	8	8
1900 Budget authority (total)	66	136	161
1930 Total budgetary resources available	120	177	161
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	41		1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	36	41	62
3010 New obligations, unexpired accounts	79	177	160
3020 Outlays (gross)	-73	-156	-157
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	41	62	65
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-14	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	29	50
3200 Obligated balance, end of year	29	50	53
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	66	136	161
Outlays, gross:			
4010 Outlays from new discretionary authority	43	117	138
4011 Outlays from discretionary balances	30	39	19
4020 Outlays, gross (total)	73	156	157
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-8	-8	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	2		
4070 Budget authority, net (discretionary)	60	128	153
4080 Outlays, net (discretionary)	65	148	149
4180 Budget authority, net (total)	60	128	153
4190 Outlays, net (total)	65	148	149

This account finances the operations, repair, improvement and maintenance activities of two headquarters buildings in Washington, DC and the George Washington Carver Center in Beltsville, MD. The 2021 Budget requests \$152.8 million for operations and maintenance.

Object Classification (in millions of dollars)

Identification code 012-0117-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	8
12.1 Civilian personnel benefits	3	3	3
23.3 Communications, utilities, and miscellaneous charges	8	8	8
25.2 Other services from non-Federal sources	33	25	23
25.3 Other goods and services from Federal sources	3	4	4
25.4 Operation and maintenance of facilities	16	121	107
99.0 Direct obligations	72	171	153
99.0 Reimbursable obligations	7	6	7
99.9 Total new obligations, unexpired accounts	79	177	160

Employment Summary

Identification code 012-0117-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	81	81	63

OFFICE OF INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, including employment pursuant to the Inspector General Act of 1978 (Public Law 95-452; 5 U.S.C. App.), **[\$98,208,000]** \$100,389,000, including such sums as may be necessary for contracting and other arrangements with public agencies and private persons pursuant to section 6(a)(9) of the Inspector General Act of 1978 (Public Law 95-452; 5 U.S.C. App.), and including not to exceed \$125,000 for certain confidential operational expenses, including the payment of informants, to be expended under the direction of the Inspector General pursuant to the Inspector General Act of 1978 (Public Law 95-452; 5 U.S.C. App.) and section 1337 of the Agriculture and Food Act of 1981 (Public Law 97-98). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-0900-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of the Inspector General	101	98	100
0801 Office of Inspector General (Reimbursable)		3	3
0900 Total new obligations, unexpired accounts	101	101	103
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	20	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	98	98	100
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	4	4
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	11	4	4
1900 Budget authority (total)	109	102	104
1930 Total budgetary resources available	125	122	125
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	20	21	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	21	10
3010 New obligations, unexpired accounts	101	101	103
3011 Obligations ("upward adjustments"), expired accounts	1	1	1
3020 Outlays (gross)	-100	-113	-104
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	21	10	10

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired	-2		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	15	15	4
3200	Obligated balance, end of year	15	4	4

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	109	102	104
Outlays, gross:				
4010	Outlays from new discretionary authority	83	93	95
4011	Outlays from discretionary balances	17	20	9
4020	Outlays, gross (total)	100	113	104
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-10	-4	-4
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2		
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	-1		
4070	Budget authority, net (discretionary)	98	98	100
4080	Outlays, net (discretionary)	90	109	100
4180	Budget authority, net (total)	98	98	100
4190	Outlays, net (total)	90	109	100

The Office of Inspector General provides the Secretary and Congress with information or intelligence about fraud, other serious problems, mismanagement, and deficiencies in Department programs and operations, recommends corrective action, and reports on the progress made in correcting the problems. The Office reviews existing and proposed legislation and regulations and makes recommendations to the Secretary and Congress regarding the impact these laws have on the Department's programs and the prevention and detection of fraud and mismanagement in such programs. The Office provides policy direction and conducts, supervises, and coordinates all audits and investigations. The Office supervises and coordinates other activities in the Department and between the Department and other Federal, State and local government agencies whose purposes are to: (a) promote economy and efficiency; (b) prevent and detect fraud and mismanagement; and (c) identify and prosecute people involved in fraud or mismanagement. The 2021 Budget requests \$100.4 million.

Object Classification (in millions of dollars)

Identification code 012-0900-0-1-352				
		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	58	59	61
12.1	Civilian personnel benefits	23	24	25
21.0	Travel and transportation of persons	1	1	1
23.3	Communications, utilities, and miscellaneous charges	6	5	5
25.2	Other services from non-Federal sources	5	4	4
25.3	Other goods and services from Federal sources	3	3	2
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.0	Direct obligations	98	98	100
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	101	101	103

Employment Summary

Identification code 012-0900-0-1-352				
		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	435	482	482

ECONOMIC RESEARCH SERVICE

Federal Funds

ECONOMIC RESEARCH SERVICE

For necessary expenses of the Economic Research Service, **[\$84,757,000]** \$62,109,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1701-0-1-352				
		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Economic Research Service	86	85	62
0801	Economic Research Service (Reimbursable)	2		
0900	Total new obligations, unexpired accounts	88	85	62
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		2	5
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	87	85	62
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [012-4336] ...	1		
Spending authority from offsetting collections, discretionary:				
1700	Collected	1	1	
1701	Change in uncollected payments, Federal sources	2	2	
1750	Spending auth from offsetting collections, disc (total)	3	3	
1900	Budget authority (total)	91	88	62
1930	Total budgetary resources available	91	90	67
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	2	5	5

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	34	37	20
3010	New obligations, unexpired accounts	88	85	62
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-85	-102	-67
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	37	20	15
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-7	-9
3070	Change in uncollected pymts, Fed sources, unexpired	-2	-2	
3071	Change in uncollected pymts, Fed sources, expired	2		
3090	Uncollected pymts, Fed sources, end of year	-7	-9	-9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	27	30	11
3200	Obligated balance, end of year	30	11	6

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	90	88	62
Outlays, gross:				
4010	Outlays from new discretionary authority	67	71	50
4011	Outlays from discretionary balances	18	30	17
4020	Outlays, gross (total)	85	101	67
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-3	-3	-3
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2	-2	
4052	Offsetting collections credited to expired accounts	2	2	3
4060	Additional offsets against budget authority only (total)			3
4070	Budget authority, net (discretionary)	87	85	62
4080	Outlays, net (discretionary)	82	98	64
Mandatory:				
4090	Budget authority, gross	1		
Outlays, gross:				
4101	Outlays from mandatory balances		1	
4180	Budget authority, net (total)	88	85	62
4190	Outlays, net (total)	82	99	64

The Economic Research Service (ERS) will use its 2021 funding for core programs of research, analysis, market outlook, and data development. Proposals for ERS budget priorities include research that: (1) builds on

ECONOMIC RESEARCH SERVICE—Continued

unique or confidential data sources or investments at the Federal level (2) provides coordination for a national perspective or framework; (3) requires sustained investment and large teams; (4) directly serves the U.S. Government's or USDA's long-term national goals; and (5) addresses questions with short-run payoff or that have immediate policy implications. ERS also seeks to cover the breadth of USDA programs (except forestry) and requests funding to ensure sustained expertise and to support the department through analysis of farming, commodity markets and trade, conservation, productivity growth, rural communities, food safety, food markets, and nutrition. ERS strength in data linking, and in developing, modeling and monitoring outcome measures, including program performance and agricultural productivity growth, will contribute substantively to USDA's implementation of the Evidence Act as well as to USDA's top priority goals for Agricultural Innovation and Sustainable Agricultural Intensification.

The 2021 Budget request is \$62.1 million, a decrease of \$22.6 million from FY 2020. This funding level provides a framework to better streamline the Department's statistical functions and leverage administrative efficiencies. At the proposed funding level, core data expenditures, including the Agricultural Resource Management Survey (ARMS) and private sector commodity data and intelligence, which are foundational to this activity, will be retained. ERS will continue to provide analysis and monthly newsletters to support participation in USDA's Inter-Agency Commodity Estimate Committees (ICEC) and provide modeling and data related to USDA's Agricultural Baseline Projections. ERS will refocus and narrow its international activities to ensure continued expertise and market analysis on major agricultural trading countries such as China, Brazil and India. ERS will produce high-quality, objective measures of farm business and farm household income and wealth, cost of production for major commodities, and report on adoption of primary farm practices. ERS will continue to produce the Loss-Adjusted Food Availability Data, annual statistics to measure U.S. food security, and monthly retail food price forecasts. Research on rural economic development and infrastructure will be continued.

Object Classification (in millions of dollars)

Identification code 012-1701-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	37	22
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	34	39	24
12.1 Civilian personnel benefits	12	13	8
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	18	16	14
25.3 Other goods and services from Federal sources	11	7	7
26.0 Supplies and materials	2	2	1
41.0 Grants, subsidies, and contributions	1		
99.0 Direct obligations	86	85	62
99.0 Reimbursable obligations	2		
99.9 Total new obligations, unexpired accounts	88	85	62

Employment Summary

Identification code 012-1701-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	287	329	187
2001 Reimbursable civilian full-time equivalent employment	2		

NATIONAL AGRICULTURAL STATISTICS SERVICE

Federal Funds

NATIONAL AGRICULTURAL STATISTICS SERVICE

For necessary expenses of the National Agricultural Statistics Service, **[\$180,294,000]** \$177,465,000, of which up to **[\$45,300,000]** \$46,300,000 shall

be available until expended for the Census of Agriculture: *Provided*, That amounts made available for the Census of Agriculture may be used to conduct Current Industrial Report surveys subject to 7 U.S.C. 2204g(d) and (f). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1801-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Agricultural estimates	121	126	122
0002 Statistical research and service	9	9	9
0003 Census of agriculture	57	45	46
0799 Total direct obligations	187	180	177
0801 National Agricultural Statistics Service (Reimbursable)	22	20	20
0900 Total new obligations, unexpired accounts	209	200	197
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	4
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	12	1	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	176	180	177
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	1		
Spending authority from offsetting collections, discretionary:			
1700 Collected	19		
1701 Change in uncollected payments, Federal sources	2	23	23
1750 Spending auth from offsetting collections, disc (total)	21	23	23
1900 Budget authority (total)	198	203	200
1930 Total budgetary resources available	210	204	204
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	4	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37	44	20
3010 New obligations, unexpired accounts	209	200	197
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-191	-224	-200
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	44	20	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-25
3070 Change in uncollected pymts, Fed sources, unexpired	-2	-23	-23
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-2	-25	-48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	42	-5
3200 Obligated balance, end of year	42	-5	-31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	197	203	200
Outlays, gross:			
4010 Outlays from new discretionary authority	159	183	181
4011 Outlays from discretionary balances	32	41	19
4020 Outlays, gross (total)	191	224	200
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-19	-23	-23
4033 Non-Federal sources	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-21	-25	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2	-23	-23
4052 Offsetting collections credited to expired accounts	2	25	25
4060 Additional offsets against budget authority only (total)		2	2
4070 Budget authority, net (discretionary)	176	180	177
4080 Outlays, net (discretionary)	170	199	175
Mandatory:			
4090 Budget authority, gross	1		
4180 Budget authority, net (total)	177	180	177
4190 Outlays, net (total)	170	199	175

The National Agricultural Statistics Service (NASS) provides the official National and State estimates of acreage, yield, and production of crops, grain stocks, value and expenditures associated with farm commodities and inventory, values and expenditures of livestock items. Data on approximately 120 crops and 45 livestock products are covered in more than 450 reports issued each year. In addition, the Census of Agriculture, which is conducted every five years for years ending in 2 and 7, is an in-depth picture of America's agriculture and provides comprehensive data on the Nation's agricultural industry down to the county level. NASS' responsibilities are authorized under the Agricultural Marketing Act of 1946 (7 U.S.C 1621–1627) and the Census of Agriculture Act of 1997, Public Law 105–113 (7 U.S.C. 2204g(d)(f)).

The 2021 total request is \$177 million for NASS, including \$131 million for Agricultural Estimates to 1) produce the essential Federal Principal Economic Indicator reports; and 2) conduct other Core Intergrated Surveys and Estimates to support USDA programs. The 2021 NASS request includes \$46 million for the Census of Agriculture. NASS will: 1) conduct the Census of Horticulture Specialties; and prepare for the 2022 Census of Agriculture; 2) focus on outreach and research activities to improve response rates; and 3) conduct the Local Food Marketing Practices Survey.

Agricultural Estimates.—Staff in 12 Regional offices and 33 State offices serving all 50 States conduct the work to produce the Agricultural Estimates statistical reports. Cooperative arrangements with State agencies provide additional State and county data.

Census of Agriculture.—The Census of Agriculture provides the only source of comparable and consistent detailed data about agriculture and helps to measure trends and new development in the agricultural sector of our Nation's economy. The Census of Agriculture provides comprehensive data on the agriculture economy, land use, production expenses, value of land and buildings, farm size and characteristics of farm operators, market value of agricultural production sold, acreage of major crops, inventory of livestock and poultry, and farm irrigation practices. Miscellaneous funds received from local organizations, commodity groups, and others are available for dissemination of reports and for survey work conducted under cooperative agreements (7 U.S.C. 450b, 450h, 3318b). NASS also provides technical consultation, support, and assistance for international programs under participating agency service agreements.

Object Classification (in millions of dollars)

Identification code 012–1801–0–1–352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	75	79	80
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	77	81	82
12.1 Civilian personnel benefits	25	27	28
21.0 Travel and transportation of persons	2	1	1
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	6	7	7
23.3 Communications, utilities, and miscellaneous charges	6	6	5
25.2 Other services from non-Federal sources	38	31	30
25.3 Other goods and services from Federal sources	24	20	18
25.7 Operation and maintenance of equipment	5	3	3
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	1
99.0 Direct obligations	187	180	177
99.0 Reimbursable obligations	22	20	20
99.9 Total new obligations, unexpired accounts	209	200	197

Employment Summary

Identification code 012–1801–0–1–352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	789	927	927
2001 Reimbursable civilian full-time equivalent employment	106	106	106

AGRICULTURAL RESEARCH SERVICE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Agricultural Research Service and for acquisition of lands by donation, exchange, or purchase at a nominal cost not to exceed \$100, and for land exchanges where the lands exchanged shall be of equal value or shall be equalized by a payment of money to the grantor which shall not exceed 25 percent of the total value of the land or interests transferred out of Federal ownership, **[\$1,414,366,000, of which \$13,100,000, to remain available until expended, shall be used for transition and equipment purchases for the National Bio and Agro-Defense Facility located in Manhattan, Kansas] \$1,367,970,000: Provided,** That of the amounts available to the Agricultural Research Service for the National Bio and Agro-Defense Facility, no funds may be obligated above the amount provided for the facility in Public Law 116–6 until the Secretary of Agriculture submits to the Committees on Appropriations of both Houses of Congress **],** and receives written or electronic notification of receipt from such Committees **],** a strategic plan as required in House Report 116–107: *Provided further,* That appropriations hereunder shall be available for the operation and maintenance of aircraft and the purchase of not to exceed one for replacement only: *Provided further,* That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for the construction, alteration, and repair of buildings and improvements, but unless otherwise provided, the cost of constructing any one building shall not exceed \$500,000, except for headhouses or greenhouses which shall each be limited to \$1,800,000, except for 10 buildings to be constructed or improved at a cost not to exceed \$1,100,000 each, and except for two buildings to be constructed at a cost not to exceed \$3,000,000 each, and the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building or \$500,000, whichever is greater: *Provided further,* That appropriations hereunder shall be available for entering into lease agreements at any Agricultural Research Service location for the construction of a research facility by a non-Federal entity for use by the Agricultural Research Service and a condition of the lease shall be that any facility shall be owned, operated, and maintained by the non-Federal entity and shall be removed upon the expiration or termination of the lease agreement: *Provided further,* That the limitations on alterations contained in this Act shall not apply to modernization or replacement of existing facilities at Beltsville, Maryland: *Provided further,* That appropriations hereunder shall be available for granting easements at the Beltsville Agricultural Research Center: *Provided further,* That the foregoing limitations shall not apply to replacement of buildings needed to carry out the Act of April 24, 1948 (21 U.S.C. 113a): *Provided further,* That appropriations hereunder shall be available for granting easements at any Agricultural Research Service location for the construction of a research facility by a non-Federal entity for use by, and acceptable to, the Agricultural Research Service and a condition of the easements shall be that upon completion the facility shall be accepted by the Secretary, subject to the availability of funds herein, if the Secretary finds that acceptance of the facility is in the interest of the United States: *Provided further,* That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing or operating any research facility or research project of the Agricultural Research Service, as authorized by law. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012–1400–0–1–352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Product quality/value added	101	117	110
0002 Livestock production	103	114	107
0003 Crop production	258	283	280
0004 Food safety	114	114	110
0005 Livestock protection	102	117	121
0006 Crop protection	207	217	195
0007 Human nutrition research	90	92	90
0008 Environmental stewardship	225	232	229
0009 National Agricultural Library	26	29	25
0010 Repair and maintenance of facilities	20	20	20
0013 National Bio-Agro Defense Facility	46	79	81
0014 Miscellaneous Fees/Supplementals	63
0799 Total direct obligations	1,292	1,477	1,368
0881 Salaries and Expenses (Reimbursable)	134	134	134
0889 Reimbursable program activities, subtotal	134	134	134
0900 Total new obligations, unexpired accounts	1,426	1,611	1,502

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 012-1400-0-1-352	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	63
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,303	1,414	1,368
Spending authority from offsetting collections, discretionary:			
1700 Collected	95	134	150
1701 Change in uncollected payments, Federal sources	50
1750 Spending auth from offsetting collections, disc (total)	145	134	150
1900 Budget authority (total)	1,448	1,548	1,518
1930 Total budgetary resources available	1,492	1,611	1,518
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3
1941 Unexpired unobligated balance, end of year	63	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	542	656	761
3010 New obligations, unexpired accounts	1,426	1,611	1,502
3011 Obligations ("upward adjustments"), expired accounts	10
3020 Outlays (gross)	-1,304	-1,506	-1,819
3041 Recoveries of prior year unpaid obligations, expired	-18
3050 Unpaid obligations, end of year	656	761	444
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-126	-115	-115
3070 Change in uncollected pymts, Fed sources, unexpired	-50
3071 Change in uncollected pymts, Fed sources, expired	61
3090 Uncollected pymts, Fed sources, end of year	-115	-115	-115
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	416	541	646
3200 Obligated balance, end of year	541	646	329
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,448	1,548	1,518
Outlays, gross:			
4010 Outlays from new discretionary authority	924	1,185	1,154
4011 Outlays from discretionary balances	380	321	665
4020 Outlays, gross (total)	1,304	1,506	1,819
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-118	-80	-90
4033 Non-Federal sources	-37	-54	-60
4040 Offsets against gross budget authority and outlays (total)	-155	-134	-150
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-50
4052 Offsetting collections credited to expired accounts	60
4060 Additional offsets against budget authority only (total)	10
4070 Budget authority, net (discretionary)	1,303	1,414	1,368
4080 Outlays, net (discretionary)	1,149	1,372	1,669
4180 Budget authority, net (total)	1,303	1,414	1,368
4190 Outlays, net (total)	1,149	1,372	1,669

The Agricultural Research Service (ARS) is the principal in-house research agency of the U.S. Department of Agriculture (USDA). ARS conducts scientific research to develop and transfer solutions to agricultural problems of high national priority and to provide information access and dissemination to: ensure high-quality, safe food, and other agricultural products; assess the nutritional needs of Americans; sustain a competitive agricultural economy; enhance the natural resource base and the environment; and provide economic opportunities for rural citizens, communities, and society as a whole. This mission is carried out through ARS' major research program areas: New Products/Product Quality/Value Added; Livestock/Crop Production; Livestock/Crop Protection; Food Safety; Human Nutrition; and Environmental Stewardship.

The 2021 Salaries and Expenses Budget for ARS requests \$1.368 billion, which supports ongoing intramural research conducted by ARS. The Budget also requests \$81 million within this account for costs to operate and maintain the new National Bio and Agro-Defense Facility (NBAF), which

replaces the outdated and inadequate Plum Island Animal Disease Center (PIADC). NBAF, which is scheduled to attain full operational capability by December 2022, will be a state-of-the-art biocontainment facility for the study of foreign, emerging, and zoonotic animal diseases that pose a threat to both U.S. animal agriculture and public health.

Specific increases for research proposed in FY 2021 include: \$8 million for NBAF science programs; \$35 million for new initiatives relating to precision agriculture, long-term agroecosystems research, artificial intelligence, and managing excess water/controlling erosion; and \$17.7 million for pay costs, performance awards, and the Federal Employees Retirement System. Offsetting the increases are \$122.1 million in decreases for the (a) elimination of ongoing extramural research projects and selected intramural research projects (\$74 million); (b) redirection of lower priority research projects to fund higher priority research initiatives (\$35 million); and (c) one-time program transition costs associated with the transfer of operations from PIADC to NBAF which are no longer needed (\$13.1 million).

Object Classification (in millions of dollars)

Identification code 012-1400-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	446	480	487
11.3 Other than full-time permanent	16	18	18
11.5 Other personnel compensation	11	12	18
11.9 Total personnel compensation	473	510	523
12.1 Civilian personnel benefits	167	177	184
21.0 Travel and transportation of persons	10	12	10
22.0 Transportation of things	1
23.1 Rental payments to GSA	5	5	5
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	44	55	45
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1	1
25.2 Other services from non-Federal sources	28	34	28
25.3 Other goods and services from Federal sources	4	4	4
25.4 Operation and maintenance of facilities	45	55	46
25.5 Research and development contracts	289	350	293
25.7 Operation and maintenance of equipment	19	23	19
26.0 Supplies and materials	85	103	86
31.0 Equipment	66	79	66
32.0 Land and structures	22	27	23
41.0 Grants, subsidies, and contributions	30	36	30
99.0 Direct obligations	1,292	1,477	1,368
99.0 Reimbursable obligations	134	134	134
99.9 Total new obligations, unexpired accounts	1,426	1,611	1,502

Employment Summary

Identification code 012-1400-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5,361	5,656	5,680
2001 Reimbursable civilian full-time equivalent employment	503	496	496

BUILDINGS AND FACILITIES

For the acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities as necessary to carry out the agricultural research programs of the Department of Agriculture, where not otherwise provided, **[\$192,700,000] \$50,000,000**, to remain available until expended **],** of which \$166,900,000 shall be allocated for ARS facilities co-located with university partners **].** (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1401-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Building and facilities projects	19	428	146
0900 Total new obligations, unexpired accounts (object class 32.0)	19	428	146

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	335	697 462
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	381	193 50
1930	Total budgetary resources available	716	890 512
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	697	462 366

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	150	130 451
3010	New obligations, unexpired accounts	19	428 146
3020	Outlays (gross)	-39	-107 -167
3050	Unpaid obligations, end of year	130	451 430
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	150	130 451
3200	Obligated balance, end of year	130	451 430

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	381	193 50
Outlays, gross:			
4010	Outlays from new discretionary authority		15 4
4011	Outlays from discretionary balances	39	92 163
4020	Outlays, gross (total)	39	107 167
4180	Budget authority, net (total)	381	193 50
4190	Outlays, net (total)	39	107 167

The Buildings and Facilities account provides funds for the acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Agricultural Research Service (ARS).

The Agency operates an extensive network of federally-owned research facilities strategically located throughout the United States, reflective of the wide geographic diversity and site specificity of agricultural production and distinct climatic and agroecosystem zones. Its laboratories and facilities have a capitalization value of nearly \$4 billion. Many of these laboratories/facilities have outlived their functional lifespan, and are badly in need of major repairs, renovation or replacement. In 2012, ARS completed an extensive review of its laboratory portfolio and developed a plan for future capital investments. The report, known as the "Capital Investment Strategy" (CIS), highlighted ARS' aging infrastructure. The FY 2021 Budget includes \$50 million for the design/construction of selected high priority ARS laboratories.

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8214-0-7-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			4
Receipts:			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, Science and Education Administration	18	22	22
2000 Total: Balances and receipts	18	22	26
Appropriations:			
Current law:			
2101 Miscellaneous Contributed Funds	-18	-18	-18
5099 Balance, end of year		4	8

Program and Financing (in millions of dollars)

Identification code 012-8214-0-7-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Miscellaneous contributed funds	23	23	23

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	30	25 20
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	18	18 18
1930	Total budgetary resources available	48	43 38
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	25	20 15

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	7 12
3010	New obligations, unexpired accounts	23	23 23
3020	Outlays (gross)	-20	-18 -18
3050	Unpaid obligations, end of year	7	12 17
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	4	7 12
3200	Obligated balance, end of year	7	12 17

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	18	18 18
Outlays, gross:			
4100	Outlays from new mandatory authority	6	13 13
4101	Outlays from mandatory balances	14	5 5
4110	Outlays, gross (total)	20	18 18
4180	Budget authority, net (total)	18	18 18
4190	Outlays, net (total)	20	18 18

Miscellaneous contributed funds received from States, local organizations, individuals, and others are available for work under cooperative agreements on research activities.

Object Classification (in millions of dollars)

Identification code 012-8214-0-7-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	5	5 5
11.3	Other than full-time permanent	2	2 2
11.5	Other personnel compensation	1	1 1
11.9	Total personnel compensation	8	8 8
12.1	Civilian personnel benefits	1	1 1
21.0	Travel and transportation of persons	1	1 1
25.2	Other services from non-Federal sources	1	1 1
25.5	Research and development contracts	5	5 5
26.0	Supplies and materials	3	3 3
31.0	Equipment	1	1 1
41.0	Grants, subsidies, and contributions	3	3 3
99.9	Total new obligations, unexpired accounts	23	23 23

Employment Summary

Identification code 012-8214-0-7-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	83	84	84

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE

Federal Funds

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, for payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American Samoa for cooperative extension activities, for integrated activities, for research, education, and extension grant programs, including necessary administrative expenses, and for other expenses, \$1,590,818,000: Provided, That \$677,894,000, to remain available until expended, shall be for research grants for 1994 institutions, education grants for 1890 institutions, the agriculture and food research initiative, veterinary medicine loan repayment, grants management systems, Hispanic serving institutions education grants, tribal colleges education equity grants, extension services at 1994 institutions, and facility improvements at 1890 institutions: Provided further, That each institution eligible to receive funds under the Evans-Allen program

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE—Continued

receives no less than \$1,000,000: Provided further, That \$5,000,000, to remain available until September 30, 2022, shall be available to provide competitive grants for food and agricultural sciences at Alaska, Hawaii, and Insular area institutions: Provided further, That funds for education grants for 1890 institutions shall be made available to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222: Provided further, That not more than 5 percent of the amounts made available by this or any other Act to carry out the Agriculture and Food Research Initiative under 7 U.S.C. 3157 may be retained by the Secretary of Agriculture to pay administrative costs incurred by the Secretary in carrying out that authority: Provided further, That institutions eligible to receive funds under 7 U.S.C. 3221 for cooperative extension shall receive not less than \$1,000,000: Provided further, That funds for cooperative extension under sections 3(b) and (c) of the Smith-Lever Act (7 U.S.C. 343(b) and (c)) and section 208(c) of Public Law 93–471 shall be available for retirement and employees' compensation costs for extension agents: Provided further, That funds for the Food and Agriculture Defense Initiative shall remain available until September 30, 2022: Provided further, That, notwithstanding any other provision of law, indirect costs shall not be charged against any Extension Implementation Program Area grant awarded under the Crop Protection/Pest Management Program (7 U.S.C. 7626).

For the Native American Institutions Endowment Fund authorized by Public Law 103–382 (7 U.S.C. 301 note), \$11,857,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 012–0520–0–1–999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Hatch Act			243
0002 Cooperative Forestry Research			29
0003 Payments to 1890 Colleges and Tuskegee University and West Virginia			54
0004 Special Research Grants			17
0005 Agriculture Food and Research Initiative			600
0007 Federal Administration			28
0008 Higher Education			37
0010 Veterinary Medical Loan Repayment			8
0011 Sustainable Agriculture Research and Education			37
0012 Research Grants for 1994 Institutions			4
0013 Farm Business Management and Benchmarking			2
0017 Smith-Lever Act 3(b) and 3(c)			299
0018 Youth at Risk			8
0019 Expanded Food and Nutrition Education Program (EFNEP)			69
0020 Farm Safety			5
0021 Federally Recognized Tribes Extension Program			3
0022 1890's Extension			49
0023 Renewable Resources Extension Act			4
0025 1890 Facilities (section 1447)			20
0026 Extension Services to 1994 Institutions			6
0027 Rural Health and Safety Education			3
0028 Risk Management Education			10
0029 New Technologies for Ag. Extension			2
0031 Beginning Farmers and Ranchers Program			18
0032 Food Safety Outreach Program			8
0033 Gus Schumacher Nutrition Incentive Program			48
0035 Farmer Stress Assistance Network			8
0036 Crop Protection/Pest Management			20
0037 Methyl Bromide Transition Program			2
0038 Homeland Security			8
0039 Scholarships for Students at 1890 Institutions			10
0041 Specialty Crop Research Initiative			80
0042 Regional Rural Development Centers			2
0043 Organic Transition			6
0044 Organic Research and Extension Initiative			25
0799 Total direct obligations			1,772
0801 Reimbursable program activity			24
0809 Reimbursable program activities, subtotal			24
0900 Total new obligations, unexpired accounts			1,796
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,591
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4085]			10
1221 Appropriations transferred from other acct [012–4336]			171
1260 Appropriations, mandatory (total)			181
Spending authority from offsetting collections, discretionary:			
1700 Collected			24
1900 Budget authority (total)			1,796

1930 Total budgetary resources available			1,796
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,796
3020 Outlays (gross)			–647
3050 Unpaid obligations, end of year			1,149
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,149
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,615
Outlays, gross:			
4010 Outlays from new discretionary authority			629
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			–24
Mandatory:			
4090 Budget authority, gross			181
Outlays, gross:			
4100 Outlays from new mandatory authority			18
4180 Budget authority, net (total)			1,772
4190 Outlays, net (total)			623

The National Institute of Food and Agriculture (NIFA) participates in a nationwide system of agricultural research, education, and extension program planning and coordination between State institutions and the U.S. Department of Agriculture. It assists in maintaining cooperation among the State institutions, and between the State institutions and their Federal research partners. The agency administers grants and payments to State institutions to leverage State and local funding for agricultural research, extension and higher education. The Cooperative Extension System, a national educational network, is a dynamic organization pledged to meeting the country's needs for research-based educational programs that will enable people to make practical decisions to improve their lives. To accomplish its mission, the Cooperative Extension System adjusts programs to meet the shifting needs and priorities of the people it serves. The non-formal educational network combines the expertise and resources of Federal, State, and local partners. The partners in this unique System are: a) The National Institute of Food and Agriculture at the U.S. Department of Agriculture; b) Extension professionals at land-grant universities throughout the United States and its territories; and c) Extension professionals in nearly all of the Nation's 3,144 counties and county equivalents. Thousands of paraprofessionals and nearly three million volunteers support this partnership and magnify its impact. Strong linkages with both public and private external groups are also crucial to the Cooperative Extension System's strength and vitality.

NIFA funds activities under the Hatch Act, cooperative forestry research, payments to 1890 institutions for research and extension, Agriculture and Food Research Initiative (AFRI) Competitive Grants, Competitive Grants at Land Grant Universities (1862, 1890, and 1994) and other institutions, the Cooperative Extension System, Smith-Lever 3(b) and 3(c) formula funds and 3(d) program funds, and other extension programs. Integrated research, education and/or extension grants are awarded for competitive and non-competitive programs. In 2021, NIFA will invest \$100 million across all AFRI programs, including interagency investments in Artificial Intelligence institutes, to support emerging technologies such as machine learning as applied to agriculture and to enhance application of advanced technology in agricultural systems across all scales of production.

Native American Institutions Endowment Fund. The 2021 Budget includes \$11.9 million, for an endowment for the 1994 land-grant institutions (the legislatively eligible Tribally controlled colleges) to strengthen the infrastructure of these institutions and develop Indian expertise for the food and agricultural sciences and businesses and their own communities. At the termination of each fiscal year, the Secretary withdraws the income from the endowment fund for the fiscal year, and after making adjustments for the cost of administering the fund, distributes the adjusted income on a formula basis to the 1994 land-grant institutions. An estimated \$5.1 million in interest earned in 2020 will be available to the program in 2021.

Reimbursable program. Funds support basic and applied agriculture research and activities performed for other USDA, Federal, and non-Federal agencies.

Object Classification (in millions of dollars)

Identification code 012-0520-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			29
12.1 Civilian personnel benefits			14
21.0 Travel and transportation of persons			2
22.0 Transportation of things			1
23.3 Communications, utilities, and miscellaneous charges			2
25.1 Advisory and assistance services			13
25.2 Other services from non-Federal sources			1
25.3 Other goods and services from Federal sources			8
25.4 Operation and maintenance of facilities			3
25.5 Research and development contracts			10
41.0 Grants, subsidies, and contributions			1,689
99.0 Direct obligations			1,772
99.0 Reimbursable obligations			24
99.9 Total new obligations, unexpired accounts			1,796

Employment Summary

Identification code 012-0520-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			243

INTEGRATED ACTIVITIES

For the integrated research, education, and extension grants programs, including necessary administrative expenses, \$38,000,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture, Integrated Activities" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That funds for the Food and Agriculture Defense Initiative shall remain available until September 30, 2021: *Provided further*, That notwithstanding any other provision of law, indirect costs shall not be charged against any Extension Implementation Program Area grant awarded under the Crop Protection/Pest Management Program (7 U.S.C. 7626). (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1502-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0050 Crop Protection/Pest Management	20	20	
0070 Methyl bromide transition program	2	2	
0071 Homeland Security (Food and Agriculture Defense Initiative)	7	9	
0080 Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative		10	
0085 Emergency Citrus Research and Extension Program	21	3	
0086 Specialty Crop Research Initiative	75	75	
0087 Regional Rural development centers	2	2	
0088 Organic transition	6	6	
0089 Organic Research and Extension Initiative	20	19	
0900 Total new obligations, unexpired accounts	153	146	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	24	14	
1001 Discretionary unobligated balance brought fwd, Oct 1	1	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	38	38	
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	110	100	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-5	-6	
1260 Appropriations, mandatory (total)	105	94	
1900 Budget authority (total)	143	132	
1930 Total budgetary resources available	167	146	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	314	339	343
3010 New obligations, unexpired accounts	153	146	
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-127	-142	-140
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	339	343	203
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	314	339	343
3200 Obligated balance, end of year	339	343	203

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	38	38	
Outlays, gross:			
4010 Outlays from new discretionary authority	1	2	
4011 Outlays from discretionary balances	33	43	35
4020 Outlays, gross (total)	34	45	35
Mandatory:			
4090 Budget authority, gross	105	94	
Outlays, gross:			
4100 Outlays from new mandatory authority	4	5	
4101 Outlays from mandatory balances	89	92	105
4110 Outlays, gross (total)	93	97	105
4180 Budget authority, net (total)	143	132	
4190 Outlays, net (total)	127	142	140

Programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

Object Classification (in millions of dollars)

Identification code 012-1502-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
12.1 Civilian personnel benefits	2	1	
21.0 Travel and transportation of persons	1		
25.1 Advisory and assistance services	1	1	
25.5 Research and development contracts	1	1	
41.0 Grants, subsidies, and contributions	148	143	
99.9 Total new obligations, unexpired accounts	153	146	

Employment Summary

Identification code 012-1502-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	4	

BIOMASS RESEARCH AND DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 012-1003-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Biomass research and development	2	3	
0900 Total new obligations, unexpired accounts (object class 41.0)	2	3	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	5	3	
1930 Total budgetary resources available	5	3	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	6
3010 New obligations, unexpired accounts	2	3	
3020 Outlays (gross)	-2	-4	-3
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	7	6	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	7	6

BIOMASS RESEARCH AND DEVELOPMENT—Continued
Program and Financing—Continued

Identification code 012-1003-0-1-271	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	7	6	3
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	2	4	3
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2	4	3

Biomass Research and Development is authorized by the Biomass Research and Development Act of 2000. The program provides competitive grants for research, development, and demonstration to encourage innovation and development related to biomass, and improved commercialization of biobased products and energy. USDA and the Department of Energy jointly administer the program. In 2021, there is no mandatory funding for the program.

RESEARCH AND EDUCATION ACTIVITIES

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, and for other expenses, \$962,864,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture, Research and Education Activities" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That funds for research grants for 1994 institutions, education grants for 1890 institutions, capacity building for non-land-grant colleges of agriculture, the agriculture and food research initiative, veterinary medicine loan repayment, multicultural scholars, graduate fellowship and institution challenge grants, and grants management systems shall remain available until expended: *Provided further*, That each institution eligible to receive funds under the Evans-Allen program receives no less than \$1,000,000: *Provided further*, That funds for education grants for Alaska Native and Native Hawaiian-serving institutions be made available to individual eligible institutions or consortia of eligible institutions with funds awarded equally to each of the States of Alaska and Hawaii: *Provided further*, That funds for education grants for 1890 institutions shall be made available to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222: *Provided further*, That not more than 5 percent of the amounts made available by this or any other Act to carry out the Agriculture and Food Research Initiative under 7 U.S.C. 3157 may be retained by the Secretary of Agriculture to pay administrative costs incurred by the Secretary in carrying out that authority.]

NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND

For the Native American Institutions Endowment Fund authorized by Public Law 103-382 (7 U.S.C. 301 note), \$11,880,000, to remain available until expended.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-1500-0-1-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	225	237	237
Receipts:			
Current law:			
1140 Earnings on Investments, Native American Institutions Endowment Fund	5	5	6
2000 Total: Balances and receipts	230	242	243
Appropriations:			
Current law:			
2101 Research and Education Activities	-5	-5	-5
2135 Research and Education Activities	12		
2199 Total current law appropriations	7	-5	-5
2999 Total appropriations	7	-5	-5
5099 Balance, end of year	237	237	238

Program and Financing (in millions of dollars)

Identification code 012-1500-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments under the Hatch Act	259	259	
0002 Cooperative forestry research	36	36	
0003 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University	58	67	
0004 Special Grants	64	75	
0005 Agriculture and Food Research Initiative	426	986	
0006 Animal health and disease research	4	4	
0007 Federal Administration	17	26	
0008 Higher education	19	81	
0009 Native American Institutions Endowment Fund	7	7	5
0012 Veterinary Medical Services Act	8	11	
0013 Veterinary Services Grant Program	3	3	
0015 Sun Grant Program	3	3	
0016 Farm Business Management and Benchmarking	2	2	
0021 Alfalfa Forage and Research Program	3	3	
0022 Capacity Building for Non-Land Grant Colleges of Agriculture	5	10	
0023 Agricultural Genome to Phenome Initiative		1	
0799 Total direct obligations	914	1,574	5
0801 Research and Education Activities (Reimbursable)	7	7	
0900 Total new obligations, unexpired accounts	921	1,581	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	516	594	
1001 Discretionary unobligated balance brought fwd, Oct 1	516	544	
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	528	594	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	945	987	12
1101 Appropriation (Native American Endowment Interest)	5	5	5
1134 Portion precluded from obligation (-) (N.A. Endowment Fund)		-12	-12
1135 Appropriations precluded from obligation (special or trust)	-12		
1160 Appropriation, discretionary (total)	938	980	5
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	40		
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1701 Change in uncollected payments, Federal sources	7	7	
1750 Spending auth from offsetting collections, disc (total)	8	7	
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1900 Budget authority (total)	987	987	5
1930 Total budgetary resources available	1,515	1,581	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	594		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,192	1,342	1,807
3010 New obligations, unexpired accounts	921	1,581	5
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-756	-1,116	-625
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	1,342	1,807	1,187
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-21	-16	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-7	-7	
3071 Change in uncollected pymts, Fed sources, expired	12	16	7
3090 Uncollected pymts, Fed sources, end of year	-16	-7	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,171	1,326	1,800
3200 Obligated balance, end of year	1,326	1,800	1,187
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	946	987	5
Outlays, gross:			
4010 Outlays from new discretionary authority	155	509	3
4011 Outlays from discretionary balances	600	597	612
4020 Outlays, gross (total)	755	1,106	615

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-12	-7
4040	Offsets against gross budget authority and outlays (total)	-12	-7
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-7	-7
4052	Offsetting collections credited to expired accounts	11	7
4060	Additional offsets against budget authority only (total)	4	
4070	Budget authority, net (discretionary)	938	980
4080	Outlays, net (discretionary)	743	1,099
Mandatory:			
4090	Budget authority, gross	41	
Outlays, gross:			
4101	Outlays from mandatory balances	1	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-1	
4180	Budget authority, net (total)	978	980
4190	Outlays, net (total)	743	1,109
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	221	226
5001	Total investments, EOY: Federal securities: Par value	226	232
5096	Unexpired unavailable balance, SOY: Appropriations		46
5098	Unexpired unavailable balance, EOY: Appropriations		68

Programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

Object Classification (in millions of dollars)

Identification code 012-1500-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	17	18
12.1	Civilian personnel benefits	8	7
21.0	Travel and transportation of persons	1	1
22.0	Transportation of things	1	1
23.1	Rental payments to GSA	6	6
23.3	Communications, utilities, and miscellaneous charges	2	2
25.1	Advisory and assistance services	2	9
25.2	Other services from non-Federal sources		5
25.3	Other goods and services from Federal sources	10	
25.3	Other goods and services from Federal sources		1
25.4	Operation and maintenance of facilities	3	2
25.5	Research and development contracts	1	6
41.0	Grants, subsidies, and contributions	863	1,516
99.0	Direct obligations	914	1,574
99.0	Reimbursable obligations	7	7
99.9	Total new obligations, unexpired accounts	921	1,581

Employment Summary

Identification code 012-1500-0-1-352	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	221	126

BUILDINGS AND FACILITIES

Program and Financing (in millions of dollars)

Identification code 012-1501-0-1-352	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1
1930	Total budgetary resources available	1	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

Funds provide grants to States and other eligible recipients for the acquisition of land, construction, repair, improvement, extension, alteration and purchase of fixed equipment or facilities to carry out agricultural research,

extension, and teaching programs. No funding has been appropriated to this account since 1997.

EXTENSION ACTIVITIES

For payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American Samoa, \$526,557,000, which shall be for the purposes, and in the amounts, specified in the table titled "National Institute of Food and Agriculture, Extension Activities" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That funds for facility improvements at 1890 institutions shall remain available until expended: *Provided further*, That institutions eligible to receive funds under 7 U.S.C. 3221 for cooperative extension receive no less than \$1,000,000: *Provided further*, That funds for cooperative extension under sections 3(b) and (c) of the Smith-Lever Act (7 U.S.C. 343(b) and (c)) and section 208(c) of Public Law 93-471 shall be available for retirement and employees' compensation costs for extension agents. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-0502-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Smith-Lever Act, 3(b) and 3(c)	315	315
0002	Youth at risk	8	8
0004	Expanded food and nutrition education program (EFNEP)	69	70
0006	Farm Safety and Youth Farm Safety	5	5
0009	Federally Recognized Tribes Extension Program	3	3
0013	Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University	49	57
0015	Renewable resources extension act	4	4
0016	Federal administration	8	8
0019	1890 facilities (section 1447)	18	51
0022	1994 institutions activities	6	8
0024	Rural health and safety education	3	4
0026	Risk management education	5	14
0027	New technologies for ag. extension	2	2
0030	Food Animal Residue Avoidance Database	3	3
0031	Beginning Farmers and Ranchers Program	15	17
0032	Food Safety Outreach Program	8	8
0034	Enhancing Agricultural Opportunities for Military Veterans	5	10
0035	Food and Ag Service Learning	1	1
0036	Farm Stress Assistance Network	2	10
0037	The Gus Schumacher Nutrition Incentive Program	45	45
0799	Total direct obligations	574	643
0801	Extension Activities (Reimbursable)	16	16
0900	Total new obligations, unexpired accounts	590	659

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	33	41
1001	Discretionary unobligated balance brought fwd, Oct 1	25	35
1021	Recoveries of prior year unpaid obligations	1	
1050	Unobligated balance (total)	34	41
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	511	532
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [012-4085]	10	10
1221	Appropriations transferred from other acct [012-4336]	60	63
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-4
1260	Appropriations, mandatory (total)	69	69
Spending authority from offsetting collections, discretionary:			
1700	Collected	2	
1701	Change in uncollected payments, Federal sources	15	17
1750	Spending auth from offsetting collections, disc (total)	17	17
1900	Budget authority (total)	597	618
1930	Total budgetary resources available	631	659
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	41	

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	796	829
3010	New obligations, unexpired accounts	590	659
3020	Outlays (gross)	-550	-713
3040	Recoveries of prior year unpaid obligations, unexpired	-1	

EXTENSION ACTIVITIES—Continued
Program and Financing—Continued

Identification code 012-0502-0-1-352	2019 actual	2020 est.	2021 est.
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	829	775	394
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-28	-28	-45
3070 Change in uncollected pymts, Fed sources, unexpired	-15	-17	
3071 Change in uncollected pymts, Fed sources, expired	15		
3090 Uncollected pymts, Fed sources, end of year	-28	-45	-45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	768	801	730
3200 Obligated balance, end of year	801	730	349
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	528	549	
Outlays, gross:			
4010 Outlays from new discretionary authority	119	336	
4011 Outlays from discretionary balances	385	307	320
4020 Outlays, gross (total)	504	643	320
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-17	-17	
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-18	-17	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-15	-17	
4052 Offsetting collections credited to expired accounts	16	17	
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	511	532	
4080 Outlays, net (discretionary)	486	626	320
Mandatory:			
4090 Budget authority, gross	69	69	
Outlays, gross:			
4100 Outlays from new mandatory authority	4	7	
4101 Outlays from mandatory balances	42	63	61
4110 Outlays, gross (total)	46	70	61
4180 Budget authority, net (total)	580	601	
4190 Outlays, net (total)	532	696	381

Programs previously funded under this account are proposed under a consolidated National Institute of Food and Agriculture account.

Object Classification (in millions of dollars)

Identification code 012-0502-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	10	10	
12.1 Civilian personnel benefits	5	5	
21.0 Travel and transportation of persons	1	1	
25.1 Advisory and assistance services	2	2	
25.2 Other services from non-Federal sources	7	7	
25.4 Operation and maintenance of facilities	1	1	
25.5 Research and development contracts	2	2	
41.0 Grants, subsidies, and contributions	546	615	
99.0 Direct obligations	574	643	
99.0 Reimbursable obligations	16	16	
99.9 Total new obligations, unexpired accounts	590	659	

Employment Summary

Identification code 012-0502-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	140	78	

Trust Funds

EMERGENCY CITRUS DISEASE RESEARCH AND DEVELOPMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8559-0-7-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Payment from Commodity Credit Corporation Fund, Emergency Citrus Disease Research and Development Trust Fund	25	25	25
2000 Total: Balances and receipts	25	25	25
Appropriations:			
Current law:			
2101 Emergency Citrus Disease Research and Development Trust Fund	-25	-25	-25
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 012-8559-0-7-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Emergency Citrus Disease Research and Extension		49	25
0900 Total new obligations, unexpired accounts (object class 41.0)		49	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		25	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	25	25	25
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		-1	
1260 Appropriations, mandatory (total)	25	24	25
1930 Total budgetary resources available	25	49	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			45
3010 New obligations, unexpired accounts		49	25
3020 Outlays (gross)		-4	-17
3050 Unpaid obligations, end of year		45	53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			45
3200 Obligated balance, end of year		45	53

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	25	24	25
Outlays, gross:			
4100 Outlays from new mandatory authority		2	2
4101 Outlays from mandatory balances		2	15
4110 Outlays, gross (total)		4	17
4180 Budget authority, net (total)	25	24	25
4190 Outlays, net (total)		4	17

ANIMAL AND PLANT HEALTH INSPECTION SERVICE

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Animal and Plant Health Inspection Service, including up to \$30,000 for representation allowances and for expenses pursuant to the Foreign Service Act of 1980 (22 U.S.C. 4085), **[\$1,042,711,000] \$1,032,988,000**, of which **[\$470,000] \$484,000**, to remain available until expended, shall be available for the control of outbreaks of insects, plant diseases, animal diseases and for control of pest animals and birds ("contingency fund") to the extent necessary to meet emergency conditions; of which **[\$11,520,000] \$11,659,000**, to remain available until expended, shall be used for the cotton pests program, including for cost share purposes or for debt retirement for active eradication zones; of which **[\$37,857,000]**

\$42,285,000, to remain available until expended, shall be for Animal Health Technical Services; of which **[\$1,000,000]** \$721,000 shall be for activities under the authority of the Horse Protection Act of 1970, as amended (15 U.S.C. 1831); of which **[\$62,840,000]** \$63,517,000, to remain available until expended, shall be used to support avian health; of which \$4,251,000, to remain available until expended, shall be for information technology infrastructure; of which **[\$192,013,000]** \$183,079,000, to remain available until expended, shall be for specialty crop pests; of which, **[\$13,826,000]** \$12,037,000, to remain available until expended, shall be for field crop and rangeland ecosystem pests; of which **[\$16,523,000]** \$16,699,000, to remain available until expended, shall be for zoonotic disease management; of which **[\$40,966,000]** \$41,512,000, to remain available until expended, shall be for emergency preparedness and response; of which **[\$60,000,000]** \$56,336,000, to remain available until expended, shall be for tree and wood pests; of which **[\$5,725,000]** \$5,744,000, to remain available until expended, shall be for the National Veterinary Stockpile; of which up to \$1,500,000, to remain available until expended, shall be for the scrapie program for indemnities; of which \$2,500,000, to remain available until expended, shall be for the wildlife damage management program for aviation safety: *Provided*, That of amounts available under this heading for wildlife services methods development, \$1,000,000 shall remain available until expended: *Provided further*, That of amounts available under this heading for the screwworm program, \$4,990,000 shall remain available until expended; of which **[\$20,800,000]** \$20,252,000, to remain available until expended, shall be used to carry out the science program and transition activities for the National Bio and Agro-defense Facility located in Manhattan, Kansas: *Provided further*, That of the amounts available to the Animal and Plant Health Inspection Service for the National Bio and Agro-Defense Facility, no funds may be obligated above the amount provided for the facility in Public Law 116–6 until the Secretary of Agriculture submits to the Committees on Appropriations of both Houses of Congress, and receives written or electronic notification of receipt from such Committees, a strategic plan as required in House Report 116–107: *Provided further*, That no funds shall be used to formulate or administer a brucellosis eradication program for the current fiscal year that does not require minimum matching by the States of at least 40 percent: *Provided further*, That this appropriation shall be available for the purchase, replacement, operation, and maintenance of aircraft: *Provided further*, That in addition, in emergencies which threaten any segment of the agricultural production industry of the United States, the Secretary may transfer from other appropriations or funds available to the agencies or corporations of the Department such sums as may be deemed necessary, to be available only in such emergencies for the arrest and eradication of contagious or infectious disease or pests of animals, poultry, or plants, and for expenses in accordance with sections 10411 and 10417 of the Animal Health Protection Act (7 U.S.C. 8310 and 8316) and sections 431 and 442 of the Plant Protection Act (7 U.S.C. 7751 and 7772), and any unexpended balances of funds transferred for such emergency purposes in the preceding fiscal year shall be merged with such transferred amounts: *Provided further*, That appropriations hereunder shall be available pursuant to law (7 U.S.C. 2250) for the repair and alteration of leased buildings and improvements, but unless otherwise provided the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

In fiscal year **[2020]** 2021, the agency is authorized to collect fees to cover the total costs of providing technical assistance, goods, or services requested by States, other political subdivisions, domestic and international organizations, foreign governments, or individuals, provided that such fees are structured such that any entity's liability for such fees is reasonably based on the technical assistance, goods, or services provided to the entity by the agency, and such fees shall be reimbursed to this account, to remain available until expended, without further appropriation, for providing such assistance, goods, or services. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012–1600–0–1–352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	51	50	51
Receipts:			
Current law:			
1110 1990 Food, Agricultural Quarantine Inspection Fees	825	845	845
2000 Total: Balances and receipts	876	895	896
Appropriations:			
Current law:			
2101 Salaries and Expenses	–825	–845	–845
2103 Salaries and Expenses	–50	–49	–50
2132 Salaries and Expenses	49	50
2199 Total current law appropriations	–826	–844	–895

2999 Total appropriations	–826	–844	–895
5099 Balance, end of year	50	51	1

Program and Financing (in millions of dollars)

Identification code 012–1600–0–1–352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Animal Health	321	352	366
0002 Plant Health	359	350	353
0003 Wildlife Services	126	129	131
0004 Regulatory Management	35	35	44
0005 Emergency Management	40	41	48
0006 Safe Trade and International Technical Assistance	40	40	40
0007 Animal Welfare	32	32	33
0008 Agency-Wide Programs	53	52	52
0009 Emergency Program Funding	38	18
0010 Agricultural Quarantine Inspection User Fees	245	233	233
0011 Citrus Greening - GP 771	8
0012 Citrus Greening - GP 757	8	9
0013 H1N1 Transfer From HHS	1	1
0014 2018 Farm Bill, Section 7721	70	71	75
0015 2018 Farm Bill, Section 12101	35	35
0016 2018 Farm Bill, Section 2408	4	5	5
0017 DHS National Bio and Agro-Defense Facility	6
0018 Refunds for Equipment Sold	6
0100 Total direct program	1,391	1,403	1,416
0799 Total direct obligations	1,391	1,403	1,416
0801 Salaries and Expenses (Reimbursable)	256	247	241
0900 Total new obligations, unexpired accounts	1,647	1,650	1,657
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	582	723	728
1001 Discretionary unobligated balance brought fwd, Oct 1	436	401
1011 Unobligated balance transfer from other acct (070–0800)	6
1020 Adjustment of unobligated bal brought forward, Oct 1	–30
1021 Recoveries of prior year unpaid obligations	9
1050 Unobligated balance (total)	567	723	728
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,020	1,051	1,033
Appropriations, mandatory:			
1201 Appropriation (AQ User Fees)	825	845	845
1203 Appropriation (previously unavailable)(special or trust)	50	49	50
1220 Appropriations transferred to other accts (070–0530)	–539	–582	–582
1221 Appropriations transferred from other acct (012–4336)	233	75	75
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–5	–4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–49	–50
1260 Appropriations, mandatory (total)	515	333	388
Spending authority from offsetting collections, discretionary:			
1700 Collected	210	271	265
1701 Change in uncollected payments, Federal sources	64
1750 Spending auth from offsetting collections, disc (total)	274	271	265
1900 Budget authority (total)	1,809	1,655	1,686
1930 Total budgetary resources available	2,376	2,378	2,414
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–6
1941 Unexpired unobligated balance, end of year	723	728	757

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	471	591	240
3010 New obligations, unexpired accounts	1,647	1,650	1,657
3011 Obligations ("upward adjustments"), expired accounts	7
3020 Outlays (gross)	–1,515	–2,001	–1,675
3040 Recoveries of prior year unpaid obligations, unexpired	–9
3041 Recoveries of prior year unpaid obligations, expired	–10
3050 Unpaid obligations, end of year	591	240	222
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–220	–224	–224
3070 Change in uncollected pymts, Fed sources, unexpired	–64
3071 Change in uncollected pymts, Fed sources, expired	60
3090 Uncollected pymts, Fed sources, end of year	–224	–224	–224
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	251	367	16
3200 Obligated balance, end of year	367	16	–2

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 012-1600-0-1-352	2019 actual	2020 est.	2021 est.	
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,294	1,322	1,298
Outlays, gross:				
4010	Outlays from new discretionary authority	861	1,164	1,143
4011	Outlays from discretionary balances	350	438	158
4020	Outlays, gross (total)	1,211	1,602	1,301
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-77	-77	-71
4033	Non-Federal sources	-148	-194	-194
4040	Offsets against gross budget authority and outlays (total)	-225	-271	-265
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-64		
4052	Offsetting collections credited to expired accounts	15		
4060	Additional offsets against budget authority only (total)	-49		
4070	Budget authority, net (discretionary)	1,020	1,051	1,033
4080	Outlays, net (discretionary)	986	1,331	1,036
Mandatory:				
4090	Budget authority, gross	515	333	388
Outlays, gross:				
4100	Outlays from new mandatory authority	189	276	276
4101	Outlays from mandatory balances	115	123	98
4110	Outlays, gross (total)	304	399	374
4180	Budget authority, net (total)	1,535	1,384	1,421
4190	Outlays, net (total)	1,290	1,730	1,410

The Secretary of Agriculture established the Animal and Plant Health Inspection Service (APHIS) on April 2, 1972, under the authority of Reorganization Plan No. 2 of 1953 and other authorities. The Agency has a broad mission area that includes protecting the health and value of American agricultural and natural resources that are vulnerable to pests and diseases as well as natural disasters, developing and advancing science-based standards with trading partners to ensure Americas agricultural exports are protected from unjustified restrictions, regulating genetically engineered organisms, administering the Animal Welfare Act and carrying out wildlife damage management activities. APHIS performs this important work using three major areas of activity, as follows:

Safeguarding and Emergency Preparedness/Response.—APHIS monitors animal and plant health throughout the world and uses the information to set effective agricultural import policies to prevent the introduction of foreign animal and plant pests and diseases. Should a pest or disease enter the United States, APHIS works cooperatively with Federal, State, Tribal and industry partners to rapidly diagnose them and determine if there is a need to establish new pest or disease management programs. APHIS, in conjunction with partners and stakeholders, protects American agriculture by eradicating harmful pests and diseases or, where eradication is not feasible, by minimizing their economic impact. The Agency monitors endemic pests and diseases through surveys and sampling to detect their locations and works with partners to implement controls and conduct outreach to prevent the spread of pests and diseases into non-infested parts of the country. The Agency maintains a cadre of trained professionals prepared to respond immediately to potential animal and plant health emergencies. Program personnel investigate reports of suspected presence of foreign and exotic pests and diseases and work with partners to determine an appropriate course of action, including emergency action if necessary. APHIS conducts diagnostic laboratory activities that support the Agency's animal disease and plant pest prevention, detection, control, and eradication programs. The Agency also provides and directs technology development to support animal and plant protection programs of the Agency and its cooperators at the State, Tribal, national, and international levels. APHIS provides technical and some operational assistance to States, Tribes, and local entities to reduce wildlife damage to natural and agricultural resources.

Finally, the Agency protects plant health by optimizing its oversight of genetically engineered organisms.

Safe Trade and International Technical Assistance.—Sanitary (animal) and phytosanitary (plant) (SPS) regulations can have a significant impact on market access for the United States as an exporter of agricultural products. The Agency participates in the development of international standards. APHIS also plays a central role in resolving technical trade issues to ensure the smooth and safe movement of agricultural commodities into and out of the United States. APHIS helps protect the United States from emerging animal and plant pests and diseases while meeting obligations under the World Trade Organization's SPS agreement by assisting developing countries in improving their protection systems. Finally, APHIS develops and implements programs designed to identify and reduce agricultural pest and disease threats while they are still outside of U.S. borders, to enhance safe agricultural trade, and to strengthen emergency response preparedness.

Animal Welfare.—The Agency conducts regulatory activities to ensure the humane care and treatment of animals, including horses, as required by the Animal Welfare Act of 1966 as amended (7 U.S.C. 2131–2159), and the Horse Protection Act of 1970 as amended (15 U.S.C. 1821–1831). These activities include inspecting certain establishments that handle animals intended for research, exhibition, and sale as pets, and monitoring of certain horse shows.

APHIS' 2021 budget request is \$1.033 billion. The budget continues the transition of the Agency's foreign animal disease laboratory operations from Plum Island, New York, to the new state-of-the-art National Bio and Agro-Defense Facility (NBAF) in Manhattan, Kansas. The transition will take place over several years, beginning in earnest in 2021 and continuing until NBAF is online and fully operational in December 2022. In addition, the budget includes requests for additional resources to enhance the agency's national animal disease traceability efforts, address critical staffing needs of the Center for Veterinary Biologics, and to establish a Unified Biotechnology Web-based Platform to support developers of biotechnology products. The budget request also proposes to reduce or eliminate Federal contributions toward certain animal and plant health program efforts. APHIS works as a partner with its cooperators at the State, local, and industry levels to achieve overall program goals; the Agency expects its cooperators will increase their contributions toward these efforts. The Agency also proposes several reductions as cost savings measures. In these instances, the programs will use the remaining resources to address the highest risks or program priority areas.

In FY 2021, USDA will administratively implement fees to cover the Government's full cost for providing certain services to certain beneficiaries. The Administration will promulgate regulations for the following new or expanded fees for services provided by the Animal and Plant Health Inspection Service related to: licenses for animal dealers, veterinary biologics product approval, and regulatory review of genetically engineered organisms.

Object Classification (in millions of dollars)

Identification code 012-1600-0-1-352	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	463	488	499
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	4	4	4
11.9	Total personnel compensation	471	496	507
12.1	Civilian personnel benefits	164	178	181
13.0	Benefits for former personnel	2	1	1
21.0	Travel and transportation of persons	38	38	38
22.0	Transportation of things	3	3	3
23.1	Rent, Communications, and Utilities	81	79	81
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	537	524	521
26.0	Supplies and materials	49	48	48
31.0	Equipment	32	29	30
42.0	Other insurance claims and indemnities	13	6	5

99.0	Direct obligations	1,391	1,403	1,416
99.0	Reimbursable obligations	256	247	241
99.9	Total new obligations, unexpired accounts	1,647	1,650	1,657

Employment Summary

Identification code 012-1600-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5,620	6,381	6,341
2001 Reimbursable civilian full-time equivalent employment	1,712	1,720	1,720

BUILDINGS AND FACILITIES

For plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, and purchase of fixed equipment or facilities, as authorized by 7 U.S.C. 2250, and acquisition of land as authorized by 7 U.S.C. 2268a, **[\$3,175,000] \$2,574,000**, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1601-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Buildings and facilities	2	4	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	45	44
1001 Discretionary unobligated balance brought fwd, Oct 1	44		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1930 Total budgetary resources available	47	48	47
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	45	44	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	7	6
3010 New obligations, unexpired accounts	2	4	25
3020 Outlays (gross)	-4	-5	-7
3050 Unpaid obligations, end of year	7	6	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	7	6
3200 Obligated balance, end of year	7	6	24
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
4011 Outlays from discretionary balances	4	4	6
4020 Outlays, gross (total)	4	5	7
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	4	5	7

The buildings and facilities account provides for plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, purchase of fixed equipment or facilities, and acquisition of land, as needed, for Animal and Plant Health Inspection Service (APHIS) operated facilities, which include animal quarantine stations, plant inspection stations, sterile insect rearing facilities, and laboratories.

For these activities, the 2021 budget request proposes \$2.6 million which includes funding to address the needs of several facilities.

Object Classification (in millions of dollars)

Identification code 012-1601-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			22
25.4 Operation and maintenance of facilities	2	4	3

99.9	Total new obligations, unexpired accounts	2	4	25
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Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9971-0-7-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, APHIS	8	9	9
1140 Foreign Service National Separation Liability Trust Fund, APHIS	3		
1199 Total current law receipts	11	9	9
1999 Total receipts	11	9	9
2000 Total: Balances and receipts	11	9	9
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds	-11	-9	-9
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 012-9971-0-7-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Miscellaneous trust funds	12	9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	8	8
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	11	9	9
1930 Total budgetary resources available	20	17	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	6	1
3010 New obligations, unexpired accounts	12	9	9
3020 Outlays (gross)	-9	-14	-9
3050 Unpaid obligations, end of year	6	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	6	1
3200 Obligated balance, end of year	6	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority	4	8	8
4101 Outlays from mandatory balances	5	6	1
4110 Outlays, gross (total)	9	14	9
4180 Budget authority, net (total)	11	9	9
4190 Outlays, net (total)	9	14	9

APHIS provides inspection and preclearance activities for growers, exporting associations and foreign government entities. Those benefiting from the service must deposit funds into this account in advance of the service. The Agency uses the funds to cover the costs associated with inspecting and preclearing certain fruits, vegetables, flower bulbs, and other products in foreign countries before they are shipped to the United States.

Object Classification (in millions of dollars)

Identification code 012-9971-0-7-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
13.0 Benefits for former personnel	3		
21.0 Travel and transportation of persons	1	1	1

MISCELLANEOUS TRUST FUNDS—Continued
Object Classification—Continued

Identification code 012-9971-0-7-352	2019 actual	2020 est.	2021 est.
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	2	2	2
99.9 Total new obligations, unexpired accounts	12	9	9

Employment Summary

Identification code 012-9971-0-7-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	35	50	50

FOOD SAFETY AND INSPECTION SERVICE

Federal Funds

FOOD SAFETY AND INSPECTION SERVICE

For necessary expenses to carry out services authorized by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act, including not to exceed \$10,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), **[\$1,054,344,000] \$1,092,405,000**; and in addition, \$1,000,000 may be credited to this account from fees collected for the cost of laboratory accreditation as authorized by section 1327 of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 138f): *Provided*, That funds provided for the Public Health Data Communication Infrastructure system shall remain available until expended: *Provided further*, *That funds provided for the relocation of the Mid-Western Laboratory shall remain available until expended: Provided further*, That no fewer than 148 full-time equivalent positions shall be employed during fiscal year **[2020] 2021** for purposes dedicated solely to inspections and enforcement related to the Humane Methods of Slaughter Act (7 U.S.C. 1901 et seq.): *Provided further*, That the Food Safety and Inspection Service shall continue implementation of section 11016 of Public Law 110-246 as further clarified by the amendments made in section 12106 of Public Law 113-79: *Provided further*, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-3700-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	1,054	1,060	1,092
0801 Salaries and Expenses (Reimbursable)	223	235	249
0900 Total new obligations, unexpired accounts	1,277	1,295	1,341
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	72	73
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	58	72	73
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,049	1,054	1,092
Spending authority from offsetting collections, discretionary:			
1700 Collected	232	232	198
1701 Change in uncollected payments, Federal sources	10	10	
1750 Spending auth from offsetting collections, disc (total)	242	242	198
1900 Budget authority (total)	1,291	1,296	1,290
1930 Total budgetary resources available	1,349	1,368	1,363
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	72	73	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	141	143	160
3010 New obligations, unexpired accounts	1,277	1,295	1,341
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-1,270	-1,278	-1,292

3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	143	160	209
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-30	-39	-49
3070 Change in uncollected pymts, Fed sources, unexpired	-10	-10	
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-39	-49	-49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	111	104	111
3200 Obligated balance, end of year	104	111	160

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,291	1,296	1,290
Outlays, gross:			
4010 Outlays from new discretionary authority	1,083	1,106	1,101
4011 Outlays from discretionary balances	187	172	191
4020 Outlays, gross (total)	1,270	1,278	1,292
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
4033 Non-Federal sources	-231	-231	-198
4040 Offsets against gross budget authority and outlays (total)	-232	-232	-199
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-10	-10	
4052 Offsetting collections credited to expired accounts			1
4060 Additional offsets against budget authority only (total)	-10	-10	1
4070 Budget authority, net (discretionary)	1,049	1,054	1,092
4080 Outlays, net (discretionary)	1,038	1,046	1,093
4180 Budget authority, net (total)	1,049	1,054	1,092
4190 Outlays, net (total)	1,038	1,046	1,093

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	2	2	2
5092 Unexpired unavailable balance, EOY: Offsetting collections	2	2	2

The primary objective of the Food Safety and Inspection Service (FSIS) is to ensure that meat, poultry, and egg products are safe, wholesome, unadulterated, and accurately labeled and packaged, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. In carrying out this mission, FSIS oversight responsibility covers a significant percentage of American spending on food. Providing adequate resources for Federal Food Safety agencies is a priority of the Administration. The 2021 Budget proposes \$1.092 billion for inspection of meat, poultry and egg products. With these funds, FSIS will fully support all Federal, in-plant and other frontline personnel; the Federal share of State inspection programs; and continue to improve its data infrastructure and modernize its scientific approach to food safety. In addition, the budget proposes a user fee, which will be charged to plants to support inspection programs for meat, poultry, and egg products.

FEDERALLY FUNDED INSPECTION ACTIVITIES

	2019 actual	2020 est.	2021 est.
FEDERALLY INSPECTED ESTABLISHMENTS:			
Slaughter only Establishments	11	10	10
Processing only Establishments	3,932	3,925	3,925
Combination Slaughter and Processing Establishments	1,100	1,100	1,100
Import Establishments	155	155	155
Egg Plants	76	75	75
Other Establishments	1,184	1,200	1,200
FEDERALLY INSPECTED and PASSED PRODUCTION (millions of pounds):			
Meat Slaughter	65,365	66,000	67,000
Poultry Slaughter	65,216	66,000	67,000
Egg Products	3,108	3,150	3,200
IMPORT/EXPORT ACTIVITY (millions of pounds):			
Meat and Poultry Imported	3,954	4,300	4,300
Meat and Poultry Exported	17,256	17,400	17,550
STATES AND TERRITORIES with COOPERATIVE PROGRAMS:			
Intrastate Inspection ¹ (number of states)	27	27	27
Number of Slaughter and/or Processing Plants (excludes exempt plants)	1,571	1,571	1,571
Talmadge-Aiken Inspection (number of states)	9	9	9
Number of Talmadge-Aiken establishments ²	350	350	350
COMPLIANCE ACTIVITIES:			

Investigations and Surveillance Activities ³	20,663	21,500	22,000
Enforcement Actions Completed ⁴	1,244	1,450	1,500
LABORATORY SAMPLING:			
Microbiology (Samples Analyzed)	115,278	115,570	121,350
Microbiology (Tests Performed)	317,932	195,810	205,600
Microbiology (Analytes Analyzed)	818,780	380,538	399,550
Chemistry (Samples Analyzed)	15,242	14,790	14,790
Chemistry (Tests Performed)	31,792	40,012	40,000
Chemistry (Analytes Analyzed)	1,821,499	1,750,936	2,009,761
Pathology Samples (Samples Analyzed)	3,370	4,000	4,000
CONSUMER EDUCATION and PUBLIC OUTREACH:			
Meat and Poultry Hotline Calls Received	71,073	60,000	60,000
Website Visits	11,637,179	14,000,000	14,000,000
Electronic Messages Received	7,382	7,000	7,000
Publications Distributed	490,658	400,000	400,000
E-mail Alert Service Subscribers	433,167	520,000	520,000
EPIDEMIOLOGICAL INVESTIGATIONS:			
Cooperative Efforts with State and Public Health Offices	23	23	23
Illnesses Reported and Treated ⁵	1,936	1,754	1,754

¹ States with cooperative agreements which are operating programs.

² These establishments are included in the counts of Federally inspected establishments.

³ The 20,663 food safety investigation and surveillance activities include (FY 2019=19475 which includes food defense surveillance as an element of the surveillance activity), CID investigative activities (FY 2019=1,120), consumer complaint investigations (FY2019=30), illness outbreak investigations (FY2019=8, includes 3 recalls), food tampering investigations (FY19=4), food emergency response (FY2019=3), recalls related CID investigations (FY2019=23), natural disaster response (FY2019=3).

⁴ 2019 actions include criminal, civil and administrative actions: Administrative consent orders/agreements (FY19=4), prosecutions (FY16=1), pre-trial diversions (FY19=0), Civil Injunctions (FY19=7), Other Civil Orders (FY19=2), NOWs-Total OIEA (FY19=956), Seizures (FY19=0), and Detentions (FY19=274).

⁵ Data must be collected over a number of years to chart national trends and estimate the incidence of foodborne illness and treatment.

Object Classification (in millions of dollars)

Identification code 012-3700-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	511	534	536
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	62	53	59
11.9 Total personnel compensation	578	592	600
12.1 Civilian personnel benefits	240	249	254
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	36	36	36
22.0 Transportation of things	5	5	5
23.1 Rental payments to GSA	9	7	7
23.3 Communications, utilities, and miscellaneous charges	17	14	16
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	3	3	3
25.2 Other services from non-Federal sources	38	36	40
25.3 Other goods and services from Federal sources	43	39	52
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	13	12	12
31.0 Equipment	9	6	6
41.0 Grants, subsidies, and contributions	56	56	56
42.0 Insurance claims and indemnities	3	1	1
99.0 Direct obligations	1,054	1,060	1,092
99.0 Reimbursable obligations	223	235	249
99.9 Total new obligations, unexpired accounts	1,277	1,295	1,341

Employment Summary

Identification code 012-3700-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8,507	9,148	9,075
2001 Reimbursable civilian full-time equivalent employment	33	33	33

Trust Funds

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8137-0-7-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			

Receipts:			
Current law:			
1130 Deposits of Fees, Inspection and Grading of Farm Products, Food Safety and Quality Service	15	15	16
2000 Total: Balances and receipts	15	15	16
Appropriations:			
Current law:			
2101 Expenses and Refunds, Inspection and Grading of Farm Products	-15	-15	-16
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 012-8137-0-7-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Expenses and refunds, inspection and grading of farm products	14	15	16
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	15	15	16
1930 Total budgetary resources available	16	17	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	14	15	16
3020 Outlays (gross)	-14	-15	-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	15	15	16
Outlays, gross:			
4100 Outlays from new mandatory authority	11	15	16
4101 Outlays from mandatory balances	3		
4110 Outlays, gross (total)	14	15	16
4180 Budget authority, net (total)	15	15	16
4190 Outlays, net (total)	14	15	16

Under authority of the Agricultural Marketing Act of 1946, Federal meat and poultry inspection services are provided upon request and for a fee in cases where inspection is not mandated by statute. This service includes: certifying products for export beyond the requirements of export certificates; inspecting certain animals and poultry intended for human food where inspection is not required by statute, such as buffalo, rabbit, deer, and quail; and inspecting products intended for animal consumption.

Object Classification (in millions of dollars)

Identification code 012-8137-0-7-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	7	7
11.5 Other personnel compensation	4	4	5
11.9 Total personnel compensation	10	11	12
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	14	15	16

Employment Summary

Identification code 012-8137-0-7-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	82	82	82

AGRICULTURAL MARKETING SERVICE

Federal Funds

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 012-2400-0-1-352	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2		
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-2		
3041 Recoveries of prior year unpaid obligations, expired	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2		

As a result of the USDA reorganization, the Grain Inspection, Packers and Stockyards Administration (GIPSA) will no longer exist as a standalone agency. The functions of the Federal Grain Inspection Service and the Packers and Stockyards Program will now be performed by the Agricultural Marketing Service (AMS) and displayed in the Marketing Services account. Funding for these functions has been transferred into AMS's Treasury Account Symbols.

MARKETING SERVICES

For necessary expenses of the Agricultural Marketing Service, **[\$186,936,000]**, of which \$6,000,000 shall be available for the purposes of section 12306 of Public Law 113-79] *\$148,440,000: Provided*, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building: *Provided further*, That up to \$4,454,000 of this appropriation may be used for United States Warehouse Act activities to supplement amounts made available by the United States Warehouse Act.

Fees may be collected for the cost of standardization activities, as established by regulation pursuant to law (31 U.S.C. 9701).

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed **[\$61,227,000]** *\$60,982,000* (from fees collected) shall be obligated during the current fiscal year for administrative expenses: *Provided*, That if crop size is understated and/or other uncontrollable events occur, the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-2500-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Market news service	34	34	30
0002 Inspection and standardization	7	7	7
0003 Market protection and promotion	36	43	31
0004 Transportation and market development	10	9	6
0005 National Bioengineered Food Disclosure Standard	1	2	1
0006 Packers and Stockyards	23	23	23
0007 Grain Regulatory	20	20	21
0008 U.S. Warehouse Act	10	15	14
0009 International Food Procurement	9	9	
0010 Dairy Business Innovation	1	2	
0011 ACER Access and Development	4	6	
0012 GSA Rent & DHS Security	1	1	1
0013 Hemp Production		16	17
0091 Direct program activities, subtotal	156	187	151
0689 Mandatory and Discretionary -Farm Bill obligations	4	56	36
0799 Total direct obligations	160	243	187

0801 Marketing Services (Reimbursable)	132	66	66
0900 Total new obligations, unexpired accounts	292	309	253

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	59	100	104
1001 Discretionary unobligated balance brought fwd, Oct 1	21	24	
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	61	100	104
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	160	207	148
1120 Appropriations transferred to other acct [012-4609]	-1		
1160 Appropriation, discretionary (total)	159	207	148
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ...	43	36	36
1221 Appropriations transferred from other acct [012-5209] ...	1		
1260 Appropriations, mandatory (total)	44	36	36
Spending authority from offsetting collections, discretionary:			
1700 Collected	109	70	65
1701 Change in uncollected payments, Federal sources	27		
1750 Spending auth from offsetting collections, disc (total)	136	70	65
1900 Budget authority (total)	339	313	249
1930 Total budgetary resources available	400	413	353
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8		
1941 Unexpired unobligated balance, end of year	100	104	100

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	127	122	71
3010 New obligations, unexpired accounts	292	309	253
3011 Obligations ("upward adjustments"), expired accounts	6	26	26
3020 Outlays (gross)	-294	-386	-271
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	122	71	79
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-27	-39	-39
3070 Change in uncollected pymts, Fed sources, unexpired	-27		
3071 Change in uncollected pymts, Fed sources, expired	15		
3090 Uncollected pymts, Fed sources, end of year	-39	-39	-39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	100	83	32
3200 Obligated balance, end of year	83	32	40

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	295	277	213
Outlays, gross:			
4010 Outlays from new discretionary authority	180	253	196
4011 Outlays from discretionary balances	91	91	25
4020 Outlays, gross (total)	271	344	221
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-68	-4	-4
4031 Interest on Federal securities	-1		
4033 Non-Federal sources	-54	-66	-61
4040 Offsets against gross budget authority and outlays (total) ...	-123	-70	-65
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-27		
4052 Offsetting collections credited to expired accounts	14		
4060 Additional offsets against budget authority only (total)	-13		
4070 Budget authority, net (discretionary)	159	207	148
4080 Outlays, net (discretionary)	148	274	156
Mandatory:			
4090 Budget authority, gross	44	36	36
Outlays, gross:			
4100 Outlays from new mandatory authority	1	26	35
4101 Outlays from mandatory balances	22	16	15
4110 Outlays, gross (total)	23	42	50
4180 Budget authority, net (total)	203	243	184
4190 Outlays, net (total)	171	316	206

The 2021 Budget requests about \$148 million for the Agricultural Marketing Service (AMS) Marketing Services account. The following Marketing Services activities assist producers and handlers of agricultural com-

modities by providing a variety of marketing-related services. These services continue to become more complex as the volume of agricultural commodities increases, as greater numbers of new processed commodities are developed, and as the agricultural market structure undergoes extensive changes. Marketing changes include increased concentration in food retailing, direct buying, decentralization of processing, growth of interregional competition, vertical integration, and contract farming. The activities include:

Market News Service.—The market news program provides the agricultural community with information pertaining to the movement of agricultural products. This nationwide service provides daily reports on the supply, demand, and price of over 700 commodities on domestic and foreign markets.

Grain Regulatory Program.—This program promotes and enforces the accurate and uniform application of the U.S. Grain Standards Act; identifies, evaluates, and implements new or improved techniques for measuring grain quality; and establishes and updates testing and grading standards to facilitate the marketing of U.S. grain, oilseeds, and related products. In 2021, USDA will administratively implement fees to cover the Government's full cost for providing services to beneficiaries of this program.

Hemp Production Program.—This program provides a national regulatory framework for commercial production of industrial hemp production in the U.S. through regulations and guidance. In addition to those regulated under USDA plans, USDA approves state and Tribal nation plans to provide licensing services, technical assistance, compliance, and program management support. In 2021, USDA will administratively implement fees to cover the Government's full cost for providing services to beneficiaries of this program.

National Bioengineered Food Disclosure Standard.—Public Law 114–216 charges AMS with developing a national mandatory system for disclosing the presence of bioengineered material. This will increase consumers' confidence and understanding of the foods they buy, and avoid uncertainty for food companies and farmers.

Inspection, Grading and Standardization.—Nationally uniform standards of quality for agricultural products are established and applied to specific lots of products to: promote confidence between buyers and sellers; reduce hazards in marketing due to misunderstandings and disputes arising from the use of nonstandard descriptions; and encourage better preparation of uniform quality products for market. Grading services are provided on request for cotton and tobacco. The program inspections of egg handlers quarterly and hatcheries annually to ensure the proper disposition of shell eggs unfit for human consumption.

MARKET NEWS PROGRAM

	2019 actual	2020 est.	2021 est.
Percentage of reports released on time	96%	96%	96%

COTTON AND TOBACCO USER FEE PROGRAM

	2019 actual	2020 est.	2021 est.
Cotton classed (bales in millions)	17.7	20.1	19
Domestic tobacco graded (million lbs)	5.9	1.0	1.0
Imported tobacco inspected (million kilograms)	5.3	5.3	5.3
Insurance Grading (for USDA Risk Management Agency) (millions of lbs)	14.7	14.7	14.7

FEDERALLY FUNDED INSPECTION AND MARKETING ACTIVITIES

	2019 actual	2020 est.	2021 est.
Percent of firms complying with EPIA and the Shell Egg Surveillance program	96%	97%	97%

STANDARDIZATION ACTIVITIES

	2019 actual	2020 est.	2021 est.
U.S. and international standards revised, eliminated, or approved	565	565	551

Market Protection and Promotion.—This program consists of: 1) the industry-funded research and promotion programs which are designed to improve the competitive position and expand markets for a variety of agricultural commodities; 2) the Federal Seed Act; 3) the Pesticide Data Program; 4) Country of Origin Labeling; and 5) the National Organic Program.

The Pesticide Data program develops comprehensive, statistically defensible information on pesticide residues in food to improve government dietary risk procedures. Federal seed inspectors conduct tests on seed samples to help ensure truthful labeling of agricultural and vegetable seeds sold in interstate commerce. Country of Origin Labeling reviews and verifies that retailers are notifying their customers of the country of origin of certain foods as specified in the law. The National Organic Program develops national standards for organically-produced agricultural products, assuring consumers that products with the USDA organic seal meet consistent, uniform standards.

MARKET PROTECTION AND PROMOTION ACTIVITIES

	2019 actual	2020 est.	2021 est.
Pesticide data program (PDP):			
Number of foreign countries PDP contacts to share program information	16	7	7
Seed Act:			
Percentage of seed shipped that is accurately labeled	97%	97%	98%
Plant Variety Protection Act:			
Number of applications received	422	450	475
Percentage of Research and Promotion Board budgets and marketing plans approved within time frame goal			
	100%	100%	100%
Country of Origin Labeling:			
Percent of retailers in compliance	30%	32%	35%
State and Commonwealths with cooperative agreements	45	46	46

Transportation and Market Development.—This program is designed to enhance the marketing of domestic agricultural commodities by conducting research into more efficient marketing methods and by providing technical assistance to areas interested in improving their food distribution facilities, and by helping to ensure that the Nation's transportation systems will adequately serve the needs of agriculture and rural areas of the United States.

WHOLESALE MARKET DEVELOPMENT ACTIVITIES

	2019 actual	2020 est.	2021 est.
New markets established or expanded	139	150	133

TRANSPORTATION SERVICES ACTIVITIES

	2019 actual	2020 est.	2021 est.
Number of projects completed	103	118	78

The Packers and Stockyards Program.—This program promotes fair business practices, financial integrity, and competitive environments to market livestock, meat, and poultry. Through its oversight activities, including monitoring programs, reviews, and investigations, the Program fosters fair competition, provides payment protection, and guards against deceptive and fraudulent trade practices that affect the movement and price of meat animals and their products. The Program's work protects consumers and members of the livestock, meat, and poultry industries. The Program enforces the Packers and Stockyards (P&S) Act, which prohibits unfair, deceptive, and unjust discriminatory practices by market agencies, dealers, stockyards, packers, swine contractors, and live poultry dealers in the livestock, meat packing, and poultry industries. The P&S Act provides an important safety net for livestock producers and poultry growers in rural America. The Program conducts routine and ongoing regulatory inspections and audits to assess whether subject entities are operating in compliance with the Act, and conducts investigations of potential P&S Act violations identified by either industry complaints or previous regulatory inspections. In 2021, USDA will administratively implement fees to cover the Government's full cost for providing services to beneficiaries of this program.

The U.S. Warehouse Act Program.—USDA supports the efficient use of commercial facilities in the storage of Commodity Credit Corporation-owned commodities, and administers the U.S. Warehouse Act (USWA) and certain provisions of the Commodity Credit Corporation (CCC) Charter Act. Its mission is to oversee the formulation of national policies and procedures to administer a nationwide warehousing system, establish posted county prices for major farm program commodities, and manage CCC commodity inventories and cotton economic assistance programs.

MARKETING SERVICES—Continued
Object Classification (in millions of dollars)

Identification code 012-2500-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	52	75	55
11.3 Other than full-time permanent	1	20	25
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	55	98	83
12.1 Civilian personnel benefits	19	22	20
21.0 Travel and transportation of persons	3	3	2
23.1 Rental payments to GSA	4	4	3
23.2 Rental payments to others	2	4	1
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services from non-Federal sources	50	34	23
25.3 Other goods and services from Federal sources	11	11	10
25.4 Operation and maintenance of facilities	5		
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	5	61	39
99.0 Direct obligations	160	243	187
99.0 Reimbursable obligations	132	66	66
99.9 Total new obligations, unexpired accounts	292	309	253

Employment Summary

Identification code 012-2500-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	614	745	719
2001 Reimbursable civilian full-time equivalent employment	424	512	507

PAYMENTS TO STATES AND POSSESSIONS

For payments to departments of agriculture, bureaus and departments of markets, and similar agencies for marketing activities under section 204(b) of the Agricultural Marketing Act of 1946 (7 U.S.C. 1623(b)), \$1,235,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-2501-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to states and possessions	1	1	
0002 Specialty crop block grants	75	80	85
0003 Modernization Technology	2		
0004 Micro Grants for Food Security		5	
0900 Total new obligations, unexpired accounts	78	86	85
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	13	13
1001 Discretionary unobligated balance brought fwd, Oct 1	3	3	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	6	
Appropriations, mandatory:			
1221 Transferred from other accounts for the Specialty Crop Block Grant Program [012-4336]	85	85	85
1221 Appropriations transferred from other acct for the Mod Technology Upgrade NOP [012-4336]	5		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-5	-5	
1260 Appropriations, mandatory (total)	85	80	85
1900 Budget authority (total)	86	86	85
1930 Total budgetary resources available	91	99	98
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	13	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	178	187	192
3010 New obligations, unexpired accounts	78	86	85
3020 Outlays (gross)	-66	-81	-88

3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	187	192	189
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	178	187	192
3200 Obligated balance, end of year	187	192	189

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1	6	
Outlays, gross:			
4010 Outlays from new discretionary authority		2	
4011 Outlays from discretionary balances	1	1	3
4020 Outlays, gross (total)	1	3	3
Mandatory:			
4090 Budget authority, gross	85	80	85
Outlays, gross:			
4100 Outlays from new mandatory authority	1		
4101 Outlays from mandatory balances	64	78	85
4110 Outlays, gross (total)	65	78	85
4180 Budget authority, net (total)	86	86	85
4190 Outlays, net (total)	66	81	88

The mandatory funds in this account are for Specialty Crop Block Grant-Farm Bill grants, which are block grants made to State departments of agriculture to enhance the competitiveness of specialty crops.

Object Classification (in millions of dollars)

Identification code 012-2501-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	74	82	81
99.9 Total new obligations, unexpired accounts	78	86	85

Employment Summary

Identification code 012-2501-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10	11	12

LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES

Not to exceed \$55,000,000 (from fees collected) shall be obligated during the current fiscal year for inspection and weighing services: *Provided*, That if grain export activities require additional supervision and oversight, or other uncontrollable factors occur, this limitation may be exceeded by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-4050-0-3-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Limitation on inspection and weighing services	51	55	55
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	26	23
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	36	26	23
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected [Inspection and Weighing Services]	40	55	55
1801 Change in uncollected payments, Federal sources	1		
1802 Offsetting collections (previously unavailable)	3		3
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-3	-3	
1850 Spending auth from offsetting collections, mand (total)	41	52	58
1930 Total budgetary resources available	77	78	81
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	23	26

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	6
3010	New obligations, unexpired accounts	51	55
3020	Outlays (gross)	-48	-61
3040	Recoveries of prior year unpaid obligations, unexpired	-3	
3050	Unpaid obligations, end of year	6	
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-6
3070	Change in uncollected pymts, Fed sources, unexpired	-1	
3090	Uncollected pymts, Fed sources, end of year	-6	-6
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	-6
3200	Obligated balance, end of year		-6
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	41	52
Outlays, gross:			
4100	Outlays from new mandatory authority		55
4101	Outlays from mandatory balances	48	6
4110	Outlays, gross (total)	48	61
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-40	-55
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	-1	
4160	Budget authority, net (mandatory)		-3
4170	Outlays, net (mandatory)	8	6
4180	Budget authority, net (total)		-3
4190	Outlays, net (total)	8	6
Memorandum (non-add) entries:			
5090	Unexpired unavailable balance, SOY: Offsetting collections	3	3
5092	Unexpired unavailable balance, EOY: Offsetting collections	3	6

AMS provides a uniform system for the inspection and weighing of grain and related products for marketing and trade purposes. Services provided under this system accurately and consistently describe the quality and quantity of grain and are financed through a fee-supported revolving fund. Fee-supported programs include direct services, supervision activities and administrative functions. Direct services include official grain inspection and weighing by AMS employees at certain export ports as well as the inspection of U.S. grain shipped through Canada. AMS supervises the inspection and weighing activities performed by its own employees. AMS also supervises 44 official private and state agencies: 33 official private agencies and six official state agencies that are designated to provide official inspection and/or weighing services in domestic and export (internationally containers and land based carriers to Canada and Mexico) markets; four official state agencies that are delegated to provide mandatory official export inspection and weighing services and designated to provide official domestic inspection and weighing services within the state; and one official state agency that is delegated to provide mandatory official export inspection and weighing services within the state. AMS provides an appeal service of original grain inspections and a registration system for the grain exporting firms. Through support from user fees, AMS conducts a railroad track scale testing program. In addition, AMS provides grading services, on request, for rice, graded commodities, and processed products under the authority of the Agricultural Marketing Act of 1946.

	2019 actual	2020 est.	2021 est.
Export standardized grain inspected and/or weighed (million metric tons):			
By Federal personnel	69.9	63	69.3
By delegated states/official agencies	49.7	45	49.5
Quantity of standardized grain inspected (official inspections) domestically (million metric tons)	170.1	153	168.3
Number of official grain inspections and reinspections:			
By Federal personnel	90,384	82,000	88,000
By delegated states/official agencies	3,027,647	2,850,000	3,000,000
Number of appeals (Grain, Rice, and Pulses)	4,533	3,500	2,200
Number of appeals to the Board of Appeals and Review (Grain, Rice, and Pulses)	561	450	350

Object Classification (in millions of dollars)			
Identification code 012-4050-0-3-352	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	20	21
11.3	Other than full-time permanent	1	2
11.5	Other personnel compensation	8	9
11.9	Total personnel compensation	29	32
12.1	Civilian personnel benefits	10	10
21.0	Travel and transportation of persons	1	1
23.2	Rental payments to others	1	1
23.3	Communications, utilities, and miscellaneous charges	1	1
25.2	Other services from non-Federal sources	3	3
25.3	Other goods and services from Federal sources	4	5
26.0	Supplies and materials	1	1
31.0	Equipment	1	1
99.9	Total new obligations, unexpired accounts	51	55

Employment Summary			
Identification code 012-4050-0-3-352	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	351	421

PERISHABLE AGRICULTURAL COMMODITIES ACT FUND

Special and Trust Fund Receipts (in millions of dollars)			
Identification code 012-5070-0-2-352	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	1	1
Receipts:			
Current law:			
1110	License Fees and Defaults, Perishable Agricultural Commodities Act Fund	11	11
2000	Total: Balances and receipts	12	14
Appropriations:			
Current law:			
2101	Perishable Agricultural Commodities Act Fund	-11	-11
2103	Perishable Agricultural Commodities Act Fund	-1	-1
2132	Perishable Agricultural Commodities Act Fund	1	1
2199	Total current law appropriations	-11	-10
2999	Total appropriations	-11	-10
5099	Balance, end of year	1	2

Program and Financing (in millions of dollars)			
Identification code 012-5070-0-2-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Perishable Agricultural Commodities Act	11	11
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	16	16
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	11	11
1203	Appropriation (previously unavailable)(special or trust)	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1260	Appropriations, mandatory (total)	11	10
1930	Total budgetary resources available	27	26
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	16	15
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2
3010	New obligations, unexpired accounts	11	11
3020	Outlays (gross)	-11	-11
3050	Unpaid obligations, end of year	2	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	2

PERISHABLE AGRICULTURAL COMMODITIES ACT FUND—Continued
Program and Financing—Continued

Identification code 012-5070-0-2-352	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	2	2	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11	10	12
Outlays, gross:			
4100 Outlays from new mandatory authority	1	10	11
4101 Outlays from mandatory balances	10	1	1
4110 Outlays, gross (total)	11	11	12
4180 Budget authority, net (total)	11	10	12
4190 Outlays, net (total)	11	11	12

License fees are deposited in this special fund and are used to meet the costs of administering the Perishable Agricultural Commodities and the Produce Agency Acts (7 U.S.C. 491-497, 499a-499s).

The Perishable Agricultural Commodities Act (PACA) establishes a code of fair trading practices covering the marketing of fresh and frozen fruits and vegetables in interstate and foreign commerce. The PACA protects growers, shippers, distributors, retailers, and others who deal in those commodities by prohibiting unfair and fraudulent practices. In general, individuals and companies operating in the produce industry who meet certain requirements must be licensed under the PACA. PACA investigates complaints of violations of the Act through: a) informal agreements between the two publication of the facts; b) formal decisions involving payment of reparation awards; c) suspension or revocation of license and/or publication of the facts; or d) monetary penalty in lieu of license suspension or revocation.

The Perishable Agricultural Commodities Act requires that purchasers maintain trust assets on hand to meet their obligations to fruit and vegetable suppliers. The trust automatically goes into effect when the buyer receives the goods but produce sellers must notify their customers in writing of their intent to preserve their trust rights. The Act provides permanent authority to the Secretary of Agriculture to set license and reparation complaint filing fees.

PERISHABLE AGRICULTURAL COMMODITIES ACT ACTIVITIES

	2019 actual	2020 est.	2021 est.
Percentage of informal reparation complaints completed within time frame goal	92%	92%	92%

Object Classification (in millions of dollars)

Identification code 012-5070-0-2-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	2	2	2
23.2 Rental payments to others	1	1	1
25.3 Other goods and services from Federal sources	2	2	2
99.9 Total new obligations, unexpired accounts	11	11	11

Employment Summary

Identification code 012-5070-0-2-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	56	69	69

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)

[(INCLUDING TRANSFERS OF FUNDS)]

[(Funds available under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c), shall be used only for commodity program expenses as authorized therein, and other related operating expenses, except for: (1) transfers to the Department of Commerce as authorized by the Fish and Wildlife Act of 1956 (16 U.S.C. 742a et seq.); (2) transfers otherwise provided in this Act; and (3) not more than \$20,705,000 for formulation and administration of marketing agreements and orders pursuant to the

Agricultural Marketing Agreement Act of 1937 and the Agricultural Act of 1961 (Public Law 87-128).] (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5209-0-2-605	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	22,842	33,796	46,436
Receipts:			
Current law:			
1110 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32)	21,504	27,691	16,143
1140 General Fund Payment, Funds for Strengthening Markets, Income, and Supply (section 32)		1	1
1199 Total current law receipts	21,504	27,692	16,144
Proposed:			
1210 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32)			-23,579
1999 Total receipts	21,504	27,692	-7,435
2000 Total: Balances and receipts	44,346	61,488	39,001
Appropriations:			
Current law:			
2101 Funds for Strengthening Markets, Income, and Supply (section 32)	-10,624	-15,123	-22,697
2103 Funds for Strengthening Markets, Income, and Supply (section 32)	-1	-1	
2132 Funds for Strengthening Markets, Income, and Supply (section 32)	74	72	
2135 Funds for Strengthening Markets, Income, and Supply (section 32)	1		
2199 Total current law appropriations	-10,550	-15,052	-22,697
Proposed:			
2201 Funds for Strengthening Markets, Income, and Supply (section 32)			22,697
2999 Total appropriations	-10,550	-15,052	
5099 Balance, end of year	33,796	46,436	39,001

Program and Financing (in millions of dollars)

Identification code 012-5209-0-2-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Child nutrition program purchases	485	485	485
0002 Emergency surplus removal	396	890	487
0004 State option contract		5	5
0005 Removal of defective commodities		3	3
0006 Disaster Relief	2	5	5
0007 2008 Farm Bill Specialty Crop Purchases		206	206
0091 Subtotal, Commodity program payments	883	1,594	1,191
0101 Administrative expenses	54	57	57
0192 Total direct program	937	1,651	1,248
0799 Total direct obligations	937	1,651	1,248
0811 Funds for Strengthening Markets, Income, and Supply (section 32) (Reimbursable)	5	5	5
0900 Total new obligations, unexpired accounts	942	1,656	1,253
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	313	500	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	314	500	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	10,624	15,123	22,697
1203 Appropriation (previously unavailable)(special or trust)	1	1	
1220 Transferred to Food and Nutrition Service [012-3539]	-9,268	-13,716	-21,265
1220 Transferred to Department of Commerce [013-5139]	-158	-184	-184
1220 Appropriations transferred to other acct [012-2500]	-1		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-74	-72	
1235 Appropriations precluded from obligation (special or trust)	-1		
1260 Appropriations, mandatory (total)	1,123	1,152	1,248
Spending authority from offsetting collections, mandatory:			
1800 Collected	7	5	5
1801 Change in uncollected payments, Federal sources	-2		

1850	Spending auth from offsetting collections, mand (total)	5	5	5
1900	Budget authority (total)	1,128	1,157	1,253
1930	Total budgetary resources available	1,442	1,657	1,254
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	500	1	1
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	495	540	1,259
3010	New obligations, unexpired accounts	942	1,656	1,253
3020	Outlays (gross)	-896	-937	-1,055
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	540	1,259	1,457
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	2		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	491	538	1,257
3200	Obligated balance, end of year	538	1,257	1,455
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1,128	1,157	1,253
Outlays, gross:				
4100	Outlays from new mandatory authority	442	425	631
4101	Outlays from mandatory balances	454	512	424
4110	Outlays, gross (total)	896	937	1,055
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources:	-7	-5	-5
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	2		
4160	Budget authority, net (mandatory)	1,123	1,152	1,248
4170	Outlays, net (mandatory)	889	932	1,050
4180	Budget authority, net (total)	1,123	1,152	1,248
4190	Outlays, net (total)	889	932	1,050

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,123	1,152	1,248
Outlays	889	932	1,050
Legislative proposal, subject to PAYGO:			
Budget Authority			-908
Outlays			-908
Total:			
Budget Authority	1,123	1,152	340
Outlays	889	932	142

Funds for Strengthening Markets, Income, and Supply (Section 32) Program.—The Agriculture Appropriations Act of 1935 (7 U.S.C. 612c) established the Section 32 program, which provides that 30 percent of U.S. Customs receipts for each calendar year are transferred to this account within the Department of Agriculture. The purpose of the Section 32 program is three-fold: to encourage the exportation of agricultural commodities and products, to encourage domestic consumption of agricultural products by diverting them, and to reestablish farmers' purchasing power by making payments in connection with the normal production of any agricultural commodity for domestic consumption. There is also a requirement that the funds available under Section 32 shall be principally devoted to perishable agricultural commodities (e.g., fruits and vegetables). Program funds are used for a variety of purposes in support of the three primary purposes specified in the program's authorizing legislation. Funds may be used to stabilize market conditions through purchasing surplus commodities which are in turn, distributed to nutrition assistance programs. A General Provision in this Budget proposes that carryover funds, with certain limitations, may be used to make direct payments under clause 3 of the authorizing legislation. Program funds are also used to purchase commodities that are distributed to schools as part of Child Nutrition Programs entitlements. Furthermore, funds are transferred to the Food and Nutrition Service for commodity

purchases under section 6 of the National School Lunch Act and other authorities specified in the Child Nutrition Programs statutes.

Marketing Agreements & Orders Program (MA&O).—In 2021, USDA will administratively implement fees to cover the Government's full cost for providing services to beneficiaries of this program. MA&O programs are industry-run "self-help" initiatives for dairy and specialty crops and the industries that substantially benefit from their operation should pay for the oversight of these programs.

Object Classification (in millions of dollars)

Identification code 012–5209–0–2–605	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	13	18	18
12.1	Civilian personnel benefits	4	5	5
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	1	2	2
23.3	Communications, utilities, and miscellaneous charges	1	1	2
24.0	Printing and reproduction		1	1
25.2	Other services from non-Federal sources	5	6	7
25.3	Other goods and services from Federal sources	31	35	36
25.7	Operation and maintenance of equipment		1	
26.0	Supplies and materials: Grants of commodities to States	880	1,581	1,175
31.0	Equipment	1		1
99.0	Direct obligations	937	1,651	1,248
99.0	Reimbursable obligations	5	5	5
99.9	Total new obligations, unexpired accounts	942	1,656	1,253

Employment Summary

Identification code 012–5209–0–2–605	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	124	154	154
2001	Reimbursable civilian full-time equivalent employment	32	31	31

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012–5209–4–2–605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Child nutrition program purchases		-485
0002	Emergency surplus removal		-204
0004	State option contract		-5
0005	Removal of defective commodities		-3
0006	Disaster Relief		-5
0007	2008 Farm Bill Specialty Crop Purchases		-206
0091	Subtotal, Commodity program payments		-908
0192	Total direct program		-908
0799	Total direct obligations		-908
0900	Total new obligations, unexpired accounts (object class 26.0)		-908
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation [MA&O Admin]		20
1200	Appropriation [Sec. 32]		320
1201	Appropriation (special or trust fund)		-22,697
1220	Transferred to Food and Nutrition Service [012–3539]		21,265
1220	Transferred to Department of Commerce [013–5139]		184
1260	Appropriations, mandatory (total)		-908
1900	Budget authority (total)		-908
1930	Total budgetary resources available		-908

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		-908
3020	Outlays (gross)		908

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross		-908

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)—Continued

Program and Financing—Continued

Identification code 012-5209-4-2-605	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4100 Outlays from new mandatory authority			-560
4101 Outlays from mandatory balances			-348
4110 Outlays, gross (total)			-908
4180 Budget authority, net (total)			-908
4190 Outlays, net (total)			-908

Reform Funds for Strengthening Markets, Income, and Supply (Section 32) Program.—The complex process of using U.S. Customs receipts for surplus commodity purchases and to partially fund the school lunch program has evolved over many decades and is not consistent with contemporary Federal budgeting practices. Large balances have built up due to the fluctuation in receipts, and Congress has capped spending in the farm bill. To increase transparency and simplify program operations, the Budget proposes to permanently delink U.S. Customs receipts from the Section 32 program and directly appropriate funding to the Agricultural Marketing Service (AMS), the Food and Nutrition Service (FNS), and the Department of Commerce. These funds will be provided to each agency without further appropriation and available for the same purposes as previous receipt-funded activities. Within USDA, AMS will be provided \$340 million for surplus agricultural commodity purchases and administrative costs (a historical average spending level for these activities that will be adjusted annually for inflation). Additionally, FNS will receive an initial permanent mandatory appropriation of approximately \$21.7 billion in FY 2021 (equal to the amount that would have otherwise been made available by transfer from AMS and including the cost associated with commodity purchase activities traditionally carried out under Section 32). This initial appropriation will be adjusted annually based upon the Consumer Price Index (CPI-U) and the requested annual appropriations language will continue to subsume those amounts within the total provided in annual appropriations acts. Finally, the Department of Commerce will be provided an initial amount of \$184 million for fisheries activities in 2021, equal to the level of funding that would otherwise have been provided by Section 32, and inflated annually in future years. The Administration will formalize these changes through a legislative proposal to be transmitted at a later date.

Trust Funds

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8015-0-7-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			2
Receipts:			
Current law:			
1130 Deposits of Fees, Inspection and Grading of Farm Products, AMS	178	166	166
1140 Interest on Investments in Public Debt Securities, AMS	1	1	1
1140 Payments from General Fund, Wool Research, Development, and Promotion Trust Fund	2	2	2
1199 Total current law receipts	181	169	169
1999 Total receipts	181	169	169
2000 Total: Balances and receipts	181	169	171
Appropriations:			
Current law:			
2101 Expenses and Refunds, Inspection and Grading of Farm Products	-181	-167	-167
5099 Balance, end of year		2	4

Program and Financing (in millions of dollars)

Identification code 012-8015-0-7-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Dairy products	8	7	7
0002 Specialty Crops	63	65	65
0003 Meat grading	24	21	22
0004 Poultry products	59	47	47
0005 Miscellaneous agricultural commodities	25	25	24
0006 Ware Houses	4	4	4
0900 Total new obligations, unexpired accounts	183	169	169
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	53	57	57
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	55	57	57
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	181	167	167
1221 Appropriations Farm Bill (Sheep and Wool) transferred from other accts [012-4336]	4	2	2
1260 Appropriations, mandatory (total)	185	169	169
1930 Total budgetary resources available	240	226	226
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	57	57	57
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	25	25
3010 New obligations, unexpired accounts	183	169	169
3020 Outlays (gross)	-176	-169	-169
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	25	25	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	25	25
3200 Obligated balance, end of year	25	25	25
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	185	169	169
Outlays, gross:			
4100 Outlays from new mandatory authority	100	118	118
4101 Outlays from mandatory balances	76	51	51
4110 Outlays, gross (total)	176	169	169
4180 Budget authority, net (total)	185	169	169
4190 Outlays, net (total)	176	169	169

Expenses and refunds, inspection and grading of farm products.—The Agricultural Marketing Service's commodity grading programs provide grading, examination, and certification services for a wide variety of fresh and processed food commodities using Federally approved grade standards and purchase specifications. Commodities graded include poultry, livestock, meat, dairy products, and fresh and processed fruits and vegetables. These programs use official grade standards which reflect the relative quality of a particular food commodity based on laboratory testing and characteristics such as taste, color, weight, and physical condition. Producers voluntarily request grading and certification services which are provided on a fee for service basis.

Object Classification (in millions of dollars)

Identification code 012-8015-0-7-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	76	75	75
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	15	14	14
11.9 Total personnel compensation	97	95	95
12.1 Civilian personnel benefits	34	31	31
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	11	10	11
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services from non-Federal sources	17	16	16

25.3	Other goods and services from Federal sources	5	4	4
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	9	3	2
99.9	Total new obligations, unexpired accounts	183	169	169

25.2	Other services from non-Federal sources	2	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.9	Total new obligations, unexpired accounts	58	61	65

Employment Summary

Identification code 012-8015-0-7-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,268	1,376	1,376

Employment Summary

Identification code 012-8412-0-8-351	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	334	374	404

MILK MARKET ORDERS ASSESSMENT FUND

Program and Financing (in millions of dollars)

Identification code 012-8412-0-8-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Administration	51	53	57
0802 Marketing service	7	8	8
0900 Total new obligations, unexpired accounts	58	61	65
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	58	61	65
1930 Total budgetary resources available	58	61	65
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	58	61	65
3020 Outlays (gross)	-58	-61	-65
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	58	61	65
Outlays, gross:			
4100 Outlays from new mandatory authority	58	61	65
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-58	-61	-65
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Milk Market Orders Assessment Fund displays the non-Federal costs of administrating Federal milk marketing orders, and includes salaries and expenses, travel, and rent for office space.

The Secretary of Agriculture is authorized by the Agricultural Marketing Agreement Act of 1937, to issue Federal Milk Marketing Orders (FMMO) establishing minimum prices which handlers are required to pay for milk purchased from producers. Section 1403 of the 2018 Farm Bill requires AMS to implement changes to these milk price formulas through the FM-MOs. There are currently 11 Federally-sanctioned milk market orders in operation. Market administrators are appointed by the Secretary and are responsible for carrying out the terms of specific marketing orders. Their operating expenses are financed by assessments on regulated handlers and partly by deductions from producers, which are reported to the Agricultural Marketing Service.

Object Classification (in millions of dollars)

Identification code 012-8412-0-8-351	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	34	37
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	34	36	39
12.1 Civilian personnel benefits	11	11	12
21.0 Travel and transportation of persons	3	4	4
23.2 Rental payments to others	4	5	5
23.3 Communications, utilities, and miscellaneous charges	2	2	2

FARM PRODUCTION AND CONSERVATION

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Farm Production and Conservation Business Center, **[\$203,877,000] \$243,602,000: Provided**, That \$60,228,000 of amounts appropriated for the current fiscal year pursuant to section 1241(a) of the Farm Security and Rural Investment Act of 1985 (16 U.S.C. 3841(a)) shall be transferred to and merged with this account. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-0180-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	257	264	304
0801 Reimbursable program activity	20	16	
0900 Total new obligations, unexpired accounts	277	280	304
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	216	204	244
1120 Appropriations transferred to other acct [012-2707]	-3		
1120 Appropriations transferred to other acct [012-0600]	-7		
1120 Appropriations transferred to other acct [012-1000]	-4		
1121 Appropriations transferred from other acct [012-1004]			60
1160 Appropriation, discretionary (total)	202	204	304
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-1004]	60	60	
Spending authority from offsetting collections, discretionary:			
1700 Collected	16	16	
1701 Change in uncollected payments, Federal sources	4		
1750 Spending auth from offsetting collections, disc (total)	20	16	
1900 Budget authority (total)	282	280	304
1930 Total budgetary resources available	282	280	304
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		84	102
3010 New obligations, unexpired accounts	277	280	304
3020 Outlays (gross)	-193	-262	-313
3050 Unpaid obligations, end of year	84	102	93
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-4		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		80	98
3200 Obligated balance, end of year	80	98	89

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	222	220	304
Outlays, gross:			
4010 Outlays from new discretionary authority	133	179	243
4011 Outlays from discretionary balances		35	61
4020 Outlays, gross (total)	133	214	304

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 012-0180-0-1-351	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-16	-16	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4		
4070 Budget authority, net (discretionary)	202	204	304
4080 Outlays, net (discretionary)	117	198	304
Mandatory:			
4090 Budget authority, gross	60	60	
Outlays, gross:			
4100 Outlays from new mandatory authority	60	48	
4101 Outlays from mandatory balances			9
4110 Outlays, gross (total)	60	48	9
4180 Budget authority, net (total)	262	264	304
4190 Outlays, net (total)	177	246	313

The Food Production and Conservation (FPAC) Business Center (FBC) is a centralized operations office within the FPAC Mission Area and headed by the Chief Operating Officer (COO), who is also the Executive Vice President, Commodity Credit Corporation (CCC). The FBC is responsible for financial management, budgeting, human resources, information technology, acquisitions/procurement, customer experience, internal controls, risk management, strategic and annual planning, and other similar activities for the FPAC Mission area and component agencies, including the Farm Service Agency (FSA), the Natural Resources Conservation Service (NRCS), and Risk Management Agency (RMA). The FBC ensures that systems, policies, procedures, and practices are developed that provide a consistent enterprise-wide view that encompasses FSA, NRCS, and RMA and the services they require from those functions to effectively and efficiently deliver programs to FPAC customers. The COO has the responsibility to ensure that FPAC administrative services are provided efficiently, effectively, and professionally and with a commitment to excellent customer service for FPAC, its customers, including farmers, ranchers, and forest landowners. The 2021 Budget requests \$243.6 million in discretionary appropriations and \$60.7 million in a transfer from the mandatory funding within NRCS, for a total funding amount of \$304.3 million.

Object Classification (in millions of dollars)

Identification code 012-0180-0-1-351	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	138	132	151
11.9 Total personnel compensation	138	132	151
12.1 Civilian personnel benefits	45	43	50
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things		1	1
23.1 Rental payments to GSA		9	9
23.2 Rental payments to others	3		
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	51	72	82
25.2 Other services from non-Federal sources	3		
25.3 Other goods and services from Federal sources	13		
26.0 Supplies and materials		1	2
31.0 Equipment	1	4	6
99.0 Direct obligations	257	264	304
99.0 Reimbursable obligations	20	16	
99.9 Total new obligations, unexpired accounts	277	280	304

Employment Summary

Identification code 012-0180-0-1-351	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,340	1,879	1,918

RISK MANAGEMENT AGENCY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Risk Management Agency, **[\$58,361,000]** \$59,440,000: *Provided*, That \$2,000,000 shall be available for compliance and integrity activities required under section 516(b)(2)(C) of the Federal Crop Insurance Act of 1938 (7 U.S.C. 1516(b)(2)(C)) in addition to other amounts provided: *Provided further*, That not to exceed \$1,000 shall be available for official reception and representation expenses, as authorized by 7 U.S.C. 1506(i). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-2707-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses	67	58	59
0799 Total direct obligations	67	58	59
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	58	58	59
1121 Appropriations transferred from other acct [012-4085]	7		
1121 Appropriations transferred from other acct [012-0180]	3		
1160 Appropriation, discretionary (total)	68	58	59
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4085]		7	7
1900 Budget authority (total)	68	65	66
1930 Total budgetary resources available	68	65	73
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year		7	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	13	7
3010 New obligations, unexpired accounts	67	58	59
3020 Outlays (gross)	-68	-64	-66
3050 Unpaid obligations, end of year	13	7	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	13	7
3200 Obligated balance, end of year	13	7	

Budget authority and outlays, net:

Identification code 012-2707-0-1-351	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	68	58	59
Outlays, gross:			
4010 Outlays from new discretionary authority	58	46	47
4011 Outlays from discretionary balances	10	12	12
4020 Outlays, gross (total)	68	58	59
Mandatory:			
4090 Budget authority, gross		7	7
Outlays, gross:			
4100 Outlays from new mandatory authority		6	6
4101 Outlays from mandatory balances			1
4110 Outlays, gross (total)		6	7
4180 Budget authority, net (total)	68	65	66
4190 Outlays, net (total)	68	64	66

The Risk Management Agency (RMA) was established under provisions of the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127, approved April 4, 1996. RMA is responsible for administration and oversight of the crop insurance program as authorized under the Federal Crop Insurance Act (7 U.S.C. 1501 et seq.). This account includes resources to maintain ongoing operations of the Federal crop insurance program and other functions assigned to RMA. The 2021 Budget requests \$59 million in discretionary funds. RMA also plans to transfer \$7 million from mandatory FCIC funding for reviews, compliance and integrity under section 516(b)(2)(C) to the S&E account in 2021. By transferring

these additional mandatory funds into the S&E account, RMA will be able to use these funds more efficiently and flexibly to maintain operations.

The funding level for the direct appropriation for RMA S&E reflects the shifting of activities to the Farm Production and Conservation (FPAC) Business Center, which has centralized a number of administrative and information technology operations for RMA, NRCS and FSA that were formerly performed within each of those individual agencies.

The Federal crop insurance program is delivered through private insurance companies. Certain administrative expenses incurred by the companies are reimbursed through mandatory funding that is reflected in the FCIC Fund account. RMA is provided approximately \$11 million in additional mandatory funding that is authorized in the Farm Bill for specific administrative and IT related costs, and spent directly out of the FCIC fund. The funding is further enhanced by the availability of \$20 million in mandatory funding from the fees collected from the sale of insurance policies, which can be for administrative and IT related costs, and spent directly out of the FCIC fund.

Object Classification (in millions of dollars)

Identification code 012-2707-0-1-351	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	37	30	30
11.9 Total personnel compensation	37	30	30
12.1 Civilian personnel benefits	13	14	14
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.1 Advisory and assistance services	2	2	2
25.3 Other goods and services from Federal sources	5	2	3
25.7 Operation and maintenance of equipment	5	5	5
99.0 Direct obligations	67	58	59
99.9 Total new obligations, unexpired accounts	67	58	59

Employment Summary

Identification code 012-2707-0-1-351	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	363	363	363

CORPORATIONS

The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

FEDERAL CROP INSURANCE CORPORATION FUND

For payments as authorized by section 516 of the Federal Crop Insurance Act (7 U.S.C. 1516), such sums as may be necessary, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-4085-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Indemnities	8,022	3,968	5,962
0002 Delivery Expenses	1,567	1,583	1,599
0003 Underwriting Gains	2,143	2,127	1,134
0004 All Others	34	14	31
0005 AMA	4	4	4
0799 Total direct obligations	11,766	7,696	8,730
0801 Reimbursable program - indemnities	1,273	5,985	3,540

0802 Reimbursable program - programs and activities	20	19	19
0899 Total reimbursable obligations	1,293	6,004	3,559
0900 Total new obligations, unexpired accounts	13,059	13,700	12,289

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	578	584	605
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	578	585	606
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			-20
Appropriations, mandatory:			
1200 Appropriation	11,789	7,732	8,749
1220 Appropriations transferred to other acct [012-0502]	-10	-10	
1220 Appropriations transferred to other acct [012-2707]	-7	-7	-7
1220 Appropriations transferred to other acct [012-0520]			-10
1221 Appropriations transferred from other acct [012-4336]	4	4	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3	-3	
1260 Appropriations, mandatory (total)	11,773	7,716	8,736
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,293	6,005	3,559
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1	
1850 Spending auth from offsetting collections, mand (total)	1,292	6,004	3,559
1900 Budget authority (total)	13,065	13,720	12,275
1930 Total budgetary resources available	13,643	14,305	12,881
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	584	605	592

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,299	4,139	3,693
3010 New obligations, unexpired accounts	13,059	13,700	12,289
3020 Outlays (gross)	-13,219	-14,145	-13,736
3040 Recoveries of prior year unpaid obligations, unexpired		-1	-1
3050 Unpaid obligations, end of year	4,139	3,693	2,245
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,299	4,139	3,693
3200 Obligated balance, end of year	4,139	3,693	2,245

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			-20
Outlays, gross:			
4010 Outlays from new discretionary authority			-20
Mandatory:			
4090 Budget authority, gross	13,065	13,720	12,295
Outlays, gross:			
4100 Outlays from new mandatory authority	9,013	9,957	9,459
4101 Outlays from mandatory balances	4,206	4,188	4,297
4110 Outlays, gross (total)	13,219	14,145	13,756
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1,293	-6,005	-3,559
4180 Budget authority, net (total)	11,772	7,715	8,716
4190 Outlays, net (total)	11,926	8,140	10,177

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	8	9	10
5092 Unexpired unavailable balance, EOY: Offsetting collections	9	10	10
5096 Unexpired unavailable balance, SOY: Appropriations	21	24	27
5098 Unexpired unavailable balance, EOY: Appropriations	24	27	27

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	11,772	7,715	8,716
Outlays	11,926	8,140	10,177
Legislative proposal, subject to PAYGO:			
Budget Authority			-12
Outlays			-12
Total:			
Budget Authority	11,772	7,715	8,704
Outlays	11,926	8,140	10,165

FEDERAL CROP INSURANCE CORPORATION FUND—Continued

The Federal Crop Insurance Corporation (FCIC) is administered by the Risk Management Agency (RMA), and provides economic stability to agriculture through crop insurance. The Federal crop insurance program includes products providing crop yield and revenue insurance, pasture, rangeland forage, and livestock insurance, as well as other educational and risk mitigation initiatives/tools. The Federal crop insurance program provides farmers with a risk management program that protects against agricultural production losses due to natural disasters such as drought, excessive moisture, hail, wind, lightning, and insects. In addition to these causes, revenue insurance programs are available to protect against loss of revenue. Federal crop insurance is available for more than 350 different commodities in over 3,066 counties covering all 50 states, and Puerto Rico. For the 2019 Crop Year, there were 1.1 million policies written with \$10.1 billion in premiums. Federal crop insurance policies are sold and serviced by 14 private crop insurance companies that share in the risk on the policies they sell under terms set out by USDA's Standard Reinsurance Agreement. The risk sharing is designed to be in favor of the companies, not one for one with the government. In most years the companies realize underwriting gains. In bad years, the companies' underwriting losses are minimalized because the government takes on more of the risk and ultimately back-stops the program after a certain level of loss. Currently, the government provides companies, on average, \$1.1 billion a year in underwriting gains. In addition, the government pays the companies an Administrative and Operating (A&O) subsidy to offset the costs incurred to carry out the program. They are reimbursed on average for about 14.5 percent of the premiums sold. The government currently pays \$1.5 billion annually for A&O. For the 2021 Budget, the payments to the companies are projected to be \$2.7 billion in combined A&O subsidy and underwriting gains. The 2021 Budget requests \$8.7 billion in direct mandatory funding. Funding estimates for 2020 and 2021 as well as the outyears are based on a 1.0 loss ratio, which is the statutory target loss ratio used for estimating future crop insurance costs.

The minimum level of coverage is Catastrophic (CAT) crop insurance, which compensates the farmer for losses exceeding 50 percent of the individual's average yield at 55 percent of the expected market price; the premium is entirely subsidized.

The cost to the producer for CAT coverage is an annual administrative fee of \$655 per crop per county.

Additional coverage is available to producers and is commonly referred to as "buy-up" coverage. Policyholders can elect to be paid up to 100 percent of the market price established by FCIC for each unit of production their actual yield is less than the individual yield guarantee. Premium rates for additional coverage depend on the level of protection selected and vary from crop to crop and county to county. They also depend on the producer's average production history (APH). Producers are assessed a fee of \$30 per crop, per county, in addition to a share of the premium. The additional levels of insurance coverage are more attractive to farmers due to availability of optional units, other policy provisions not available with CAT coverage, and the ability to obtain a level of protection that permits them to use crop insurance as loan collateral and to achieve greater financial security. Revenue protection for specified products is provided by extending traditional crop insurance protection, based on actual production history, to include price variability based on futures market prices. Producers have a choice of revenue protection (protection against loss of revenue caused by low prices, low yields, or a combination of both) or yield protection (protection for production losses only) within one Basic Provision and the applicable Crop Provision.

Currently for revenue protection, the farmer can opt to cover the projected or the harvest price. Traditional revenue insurance only protects against a projected price, where the farmer is guaranteed a price at the time of planting. Revenue coverage that protects the price at the time of harvest guarantees the price to the farmer for the higher of the projected price or the harvest price. This additional revenue protection allows farmers to hedge against low prices at harvest. The harvest price protection policies

are more costly than traditional revenue coverage and therefore more heavily subsidized by the government. Almost all farmers choose the harvest price option because taxpayers pay such a large portion of the extra premium.

A crop insurance policy also contains coverage for when a producer is prevented from planting their crop due to weather and other perils. When an insured producer is unable to plant their crop within the planting time period because of excessive drought or moisture, they may file a prevented planting claim, which pays a portion of their full coverage level. It is optional for the producer to plant a second crop on the acres. If the producer does, the prevented planting claim on the first crop is reduced and the producer's APH is updated to incorporate that year. If the producer does not plant a second crop, they get their full prevented planting claim, and their APH is not affected in subsequent years for premium calculation purposes.

Financing.—The Corporation is authorized under the Federal Crop Insurance Act, as amended, to use funds from the issuance of capital stock which provides working capital for the Corporation. Receipts, which are for deposit to this fund, mainly come from premiums paid by farmers. The principal payments from this fund are for indemnities to insured farmers, and administrative expenses for approved insurance providers. Premium subsidies are authorized by section 508(b) of the Federal Crop Insurance Act, as amended, and are received through appropriations.

Object Classification (in millions of dollars)

Identification code 012-4085-0-3-351	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services-Agriculture Risk Protection Act of 2000			
Initiative	34	96	96
25.2 Other services from non-Federal sources	3,710	3,710	2,733
42.0 Insurance claims and indemnities	8,022	3,890	5,901
99.0 Direct obligations	11,766	7,696	8,730
Reimbursable obligations:			
42.0 Insurance claims and indemnities	1,273	5,985	3,540
42.0 Programs and Activities	20	19	19
99.0 Reimbursable obligations	1,293	6,004	3,559
99.9 Total new obligations, unexpired accounts	13,059	13,700	12,289

FEDERAL CROP INSURANCE CORPORATION FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-4085-4-3-351	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-12
1900 Budget authority (total)			-12
1930 Total budgetary resources available			-12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-12
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)			12
3050 Unpaid obligations, end of year			12
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			12
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-12
Outlays, gross:			
4100 Outlays from new mandatory authority			-12
4180 Budget authority, net (total)			-12
4190 Outlays, net (total)			-12

The 2021 Budget includes a set of five proposals that demonstrate reforms to reduce the Federal deficit and spending, which are estimated \$25 billion over 10 years.

Better Control Underwriting Gains to Insurance Companies.—The Budget proposes to reduce the generous subsidies provided to participating insurance companies by placing a "cap" on underwriting gains at 12 percent. A USDA commissioned study found that when compared to other private companies, the rate of return for crop insurance should be around 12 percent, but that it is currently expected to be 14 percent. This proposal will ensure that participating crop insurance companies receive a reasonable rate of return given the risks associated with their participation in the crop insurance rate of return given the risk associated with their participation in the crop insurance program. This proposal will save \$3 billion over the next 10 years.

Reduce Premium Subsidies for Crop Insurance.—The 2021 Budget proposes to reduce the percent premium subsidy provided under the Federal Crop Insurance program. Specifically, the premium subsidy for policies with harvest price coverage will be reduced by 15 percentage points, and policies without harvest price coverage will be reduced by 10 percentage points. The proposal will not impact premium subsidy associated with catastrophic coverage. It would reduce the generous subsidies that are arguably no longer necessary to encourage participation, as crop insurance is now an established part of the farm industry's business plans. This proposal will save \$21 billion over the next 10 years.

Target Crop Insurance Subsidies.—The 2021 Budget proposes to target crop insurance subsidies to those producers that have an Adjusted Gross Income (AGI) of \$500,000 or less. The current AGI limitation of \$900,000 is overly generous and does not apply to crop insurance subsidies. Strengthening the income test for crop insurance will improve program integrity. This proposal will save \$652 million over the next 10 years.

Eliminate reimbursements and automatic implementation for 508(h) crop insurance product development.—The 508(h) authority was enacted due to perceived delays in new product development for a variety of crops. Currently, there are fewer products for large crops that are pending development, and the Farm Bill typically mandates RMA to development new insurance products for specific commodities if the need arises, or to prioritize research and development for certain commodities. RMA maintains the ability to develop new products internally, and the 2014 Farm Bill Act authorized buy-up coverage for the Non-Insured Assistance Program (NAP) for crops that are not covered by crop insurance, thereby lessening the need for products submitted by private submitters to fill a void in the marketplace. Specifically, the Budget proposes to eliminate reimbursements to the private sector for the development of new crop insurance products. It also proposes to change the approval process for new products under 508(h) to be at the discretion of the Federal Crop Insurance Corporation Board, and not mandatory. The proposal would change, as appropriate, the language from shall to may to allow for the governments discretion in adding cost to the baseline for new products. This proposal would result in savings of \$120 million over ten years.

Address Disaster/Crop Insurance Duplication.—Repeal 2015 appropriations General Provision (GP)745: The Budget proposes to address the issue of duplicative disaster and crop insurance payments in two ways. First, this proposal assumes that the appropriations language will include a GP removing the authority to claim the same loss under FSA's permanent disaster programs, crop insurance and the Non-Insured Assistance Program (NAP). The proposal will undo the 2015 appropriations GP that defines that payments under the CCC disaster programs for the Tree Assistance Program (TAP), forage and livestock as duplicative with crop insurance or the non-insured assistance program (NAP). This proposal would result in \$40 million in discretionary savings in the budget year, of which \$20 million is reflected as a discretionary change to a mandatory account (CHIMP) in the Federal Crop Insurance Fund and the other \$20 million is a CHIMP in the Commodity Credit Corporations (CCCs) permanent disaster assistance program. The outyear savings will be \$360 million over ten

years, of which \$180 million will be realized in the crop insurance program and the other \$180 million will be realized in the CCCs permanent disaster assistance program.

FARM SERVICE AGENCY

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Farm Service Agency, **[\$1,122,837,000 \$1,104,684,000]**, of which not less than \$35,000,000 shall be for the hiring of new employees to fill vacancies at Farm Service Agency county offices and farm loan officers and shall be available until September 30, 2021 **];** *Provided,* **[**That not more than 50 percent of the funding made available under this heading for information technology related to farm program delivery may be obligated until the Secretary submits to the Committees on Appropriations of both Houses of Congress, and receives written or electronic notification of receipt from such Committees of, a plan for expenditure that (1) identifies for each project/investment over \$25,000 (a) the functional and performance capabilities to be delivered and the mission benefits to be realized, (b) the estimated lifecycle cost for the entirety of the project/investment, including estimates for development as well as maintenance and operations, and (c) key milestones to be met; (2) demonstrates that each project/investment is, (a) consistent with the Farm Service Agency Information Technology Roadmap, (b) being managed in accordance with applicable lifecycle management policies and guidance, and (c) subject to the applicable Department's capital planning and investment control requirements; and (3) has been reviewed by the Government Accountability Office and approved by the Committees on Appropriations of both Houses of Congress: *Provided further,* That the agency shall submit a report by the end of the fourth quarter of fiscal year 2020 to the Committees on Appropriations and the Government Accountability Office, that identifies for each project/investment that is operational (a) current performance against key indicators of customer satisfaction, (b) current performance of service level agreements or other technical metrics, (c) current performance against a pre-established cost baseline, (d) a detailed breakdown of current and planned spending on operational enhancements or upgrades, and (e) an assessment of whether the investment continues to meet business needs as intended as well as alternatives to the investment: *Provided further,* **]** That the Secretary is authorized to use the services, facilities, and authorities (but not the funds) of the Commodity Credit Corporation to make program payments for all programs administered by the Agency: *Provided further,* That other funds made available to the Agency for authorized activities may be advanced to and merged with this account: *Provided further,* That funds made available to county committees shall remain available until expended **];** *Provided further,* That none of the funds available to the Farm Service Agency shall be used to close Farm Service Agency county offices: *Provided further,* That none of the funds available to the Farm Service Agency shall be used to permanently relocate county based employees that would result in an office with two or fewer employees without prior notification and approval of the Committees on Appropriations of both Houses of Congress **].** (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-0600-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Agricultural Sector Support	1,183	1,123	1,105
0300 Subtotal, direct program	1,183	1,123	1,105
0801 Farm loans	191	291	294
0802 Other programs	12
0899 Total reimbursable obligations	203	291	294
0900 Total new obligations, unexpired accounts	1,386	1,414	1,399
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	36	44	52
1012 Unobligated balance transfers between expired and unexpired accounts	20
1050 Unobligated balance (total)	56	44	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,082	1,123	1,105

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 012-0600-0-1-351	2019 actual	2020 est.	2021 est.
1121 Appropriations transferred from other acct [012-0180] ...	7		
1131 Unobligated balance of appropriations permanently reduced	-5		
1160 Appropriation, discretionary (total)	1,084	1,123	1,105
Spending authority from offsetting collections, discretionary:			
1700 Collected	297	299	299
1701 Change in uncollected payments, Federal sources	11		
1750 Spending auth from offsetting collections, disc (total)	308	299	299
1900 Budget authority (total)	1,392	1,422	1,404
1930 Total budgetary resources available	1,448	1,466	1,456
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-18		
1941 Unexpired unobligated balance, end of year	44	52	57
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	343	286	232
3010 New obligations, unexpired accounts	1,386	1,414	1,399
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-1,437	-1,468	-1,409
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	286	232	222
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-42	-36	-36
3070 Change in uncollected pymts, Fed sources, unexpired	-11		
3071 Change in uncollected pymts, Fed sources, expired	17		
3090 Uncollected pymts, Fed sources, end of year	-36	-36	-36
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	301	250	196
3200 Obligated balance, end of year	250	196	186
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,392	1,422	1,404
Outlays, gross:			
4010 Outlays from new discretionary authority	1,165	1,196	1,183
4011 Outlays from discretionary balances	272	272	226
4020 Outlays, gross (total)	1,437	1,468	1,409
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-312	-299	-299
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total) ...	-315	-299	-299
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-11		
4052 Offsetting collections credited to expired accounts	18		
4060 Additional offsets against budget authority only (total)	7		
4070 Budget authority, net (discretionary)	1,084	1,123	1,105
4080 Outlays, net (discretionary)	1,122	1,169	1,110
4180 Budget authority, net (total)	1,084	1,123	1,105
4190 Outlays, net (total)	1,122	1,169	1,110

The Farm Service Agency (FSA) was established October 3, 1994, pursuant to the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, P.L. 103-354. The Department of Agriculture Reorganization Act of 1994 was amended on April 4, 1996, by the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127. FSA administers a variety of activities, such as farm income support programs through various loans and payments; the Conservation Reserve Program (CRP); the Emergency Conservation Program; the Hazardous Waste Management Program; farm ownership, farm operating, emergency disaster, and other loan programs; and the Noninsured Crop Disaster Assistance Program, which provides crop loss protection for growers of many crops for which crop insurance is not available.

This consolidated administrative expenses account includes funds to cover expenses of programs administered by, and functions assigned to, FSA. The funds consist of a direct appropriation, transfers from program loan accounts under credit reform procedures, user fees, and advances and reimbursements from other sources. This is a consolidated account for ad-

ministrative expenses of national, regional, State, and county offices. The 2021 Budget requests a total of \$1.48 billion for administrative expenses.

USDA's Service Center Agencies comprise FSA, Natural Resources Conservation Service, and Rural Development offices that act as separate franchises, with offices often located adjacent to each other. Prior efforts to improve the efficiency of USDA's county-based offices have resulted in significant co-location and introduction of new information technology to simplify customer transactions.

Farm programs.—These programs provide an economic safety net through farm income support to eligible producers, cooperatives, and associations to help improve the economic stability and viability of the agricultural sector and to ensure the production of an adequate and reasonably priced supply of food and fiber. Activities of the Agency include providing price loss coverage and agriculture risk coverage, providing marketing assistance loans and loan deficiency payments enabling recipients to continue farming operations without marketing their product immediately after harvest, and providing a financial safety net to eligible producers when natural disasters adversely affect their farming operation. These programs range from covering losses of grazing under the Livestock Forage Disaster Program; orchard trees and nursery to help replant or rehabilitate trees under the Tree Assistance Program; production under the Noninsured Crop Disaster Assistance Program; livestock under the Livestock Indemnity Program; and livestock, honeybees and farm raised fish for losses that are not covered under the previously listed programs under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish.

Farm program activities include the following functions dealing with the administration of programs carried out through the farmer committee system of the FSA: (a) developing program regulations and procedures; (b) collecting and compiling basic data for individual farms; (c) establishing individual farm base acres for farm planting history; (d) notifying producers of established base acres and farm planting histories; (e) conducting referendums and certifying results; (f) accepting farmer certifications and checking compliance for specific purposes; (g) processing commodity loan documents and issuing checks; (h) processing price loss coverage and agricultural risk coverage payments and issuing checks; (i) certifying payment eligibility and monitoring payment limitations; and (j) processing farm storage facility loans and issuing checks.

Conservation and environment.—These programs assist agricultural producers and landowners in implementing practices to conserve soil, water, air, and wildlife resources on America's farmland and ranches to help protect the human and natural environment. Objectives of the Agency include improving environmental quality, protecting natural resources, and enhancing habitat for fish and wildlife, including threatened and endangered species; providing Emergency Conservation Program funding for farmers and ranchers to rehabilitate damaged farmland and for carrying out emergency conservation measures during periods of severe drought or flooding; protecting the public health of communities through implementation of the Hazardous Waste Management Program; and implementing contracting, financial reporting, and other administrative operations processes. These activities include: (a) processing producer requests for conservation cost-sharing and issuing conservation reserve rental payments; and (b) transferring funds to the Natural Resources Conservation Service and other agencies for other conservation programs.

Farm loans (reimbursable).—Provides for administering the direct and guaranteed loan programs covered under the Agricultural Credit Insurance Fund (ACIF). Objectives of the Agency include improving the economic viability of farmers and ranchers, reducing losses in direct loan programs, responding to loan making and servicing requests, and maximizing financial and technical assistance to underserved groups. Activities include reviewing applications, servicing the loan portfolio, and providing technical assistance and guidance to borrowers. Funding for farm loan administrative expenses is transferred to this consolidated account from the ACIF. Appropriations representing subsidy amounts necessary to support the individual program loan levels under Federal Credit Reform are made to the ACIF account.

Other reimbursable activities.—FSA collects a fee or is reimbursed for performing a variety of services for other Federal agencies, CCC, industry, and others, including certain administrative support services for county office services provided to Federal and non-Federal entities, including a variety of services to producers.

Object Classification (in millions of dollars)

Identification code 012-0600-0-1-351	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	100	88	86
12.1 Civilian personnel benefits	36	32	32
21.0 Travel and transportation of persons	6	5	5
22.0 Transportation of things	2	2	1
23.3 Communications, utilities, and miscellaneous charges	27	22	22
25.2 Other services from non-Federal sources	329	313	308
26.0 Supplies and materials	1	1	1
31.0 Equipment	18	18	18
41.0 Grants, subsidies, and contributions	664	642	632
99.0 Direct obligations	1,183	1,123	1,105
99.0 Reimbursable obligations	203	291	294
99.9 Total new obligations, unexpired accounts	1,386	1,414	1,399

Employment Summary

Identification code 012-0600-0-1-351	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	763	240	240
2001 Reimbursable civilian full-time equivalent employment	2,128	2,710	2,710

STATE MEDIATION GRANTS

For grants pursuant to section 502(b) of the Agricultural Credit Act of 1987, as amended (7 U.S.C. 5101-5106), **[\$5,545,000]** \$6,914,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-0170-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State mediation grants	4	6	7
0900 Total new obligations, unexpired accounts (object class 41.0)	4	6	7
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	6	7
1930 Total budgetary resources available	4	6	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	4
3010 New obligations, unexpired accounts	4	6	7
3020 Outlays (gross)	-4	-3	-7
3050 Unpaid obligations, end of year	1	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	4
3200 Obligated balance, end of year	1	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	6	7
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	3
4011 Outlays from discretionary balances	1	1	4
4020 Outlays, gross (total)	4	3	7
4180 Budget authority, net (total)	4	6	7
4190 Outlays, net (total)	4	3	7

This grant program is authorized by Title V of the Agricultural Credit Act of 1987, P.L. 100-233, as amended. Originally designed to address agricultural credit disputes, the program was expanded by the Federal Crop

Insurance Reform and Department of Agriculture Reorganization Act of 1994 (P.L. 103-354) to include other agricultural issues such as wetland determinations, conservation compliance, rural water loan programs, grazing on National Forest System lands, and pesticide use. Grants are made to States whose agricultural mediation programs have been certified by the Farm Service Agency. A grant will not exceed 79 percent of the total fiscal year funds that a qualifying State requires to operate and administer its agricultural mediation program. In no case will the total amount of a grant exceed \$500,000 annually. Current authority for the program under P.L. 115-334 expires September 30, 2023 as the program was extended by the Agriculture Improvement Act of 2018. The 2021 Budget requests \$6.9 million for the program.

GRANT OBLIGATIONS

	2018 actual	2019 actual	2020 est.
Number of States receiving grants	41	41	41
Amount of grants (in millions of dollars)	3.9	3.9	6.9

DISCRIMINATION CLAIMS SETTLEMENT

Program and Financing (in millions of dollars)

Identification code 012-1144-0-1-351	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28	28	28
1930 Total budgetary resources available	28	28	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	28	28	28
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Claims Resolution Act of 2010, P.L. 111-291 that was signed into law on December 8, 2010, provides funding to settle claims of prior discrimination brought by black farmers against the Department of Agriculture. These funds supplement funding previously provided to USDA for this purpose by section 14012 of P.L. 110-246. Claimants that suffered discrimination between 1989 and 1997 and submitted a late-filing request can seek fast-track payments of up to \$50,000 plus debt relief, or choose a longer, more rigorous review and documentation process for damages of up to \$250,000. The actual value of awards may be reduced based on the total amount of funds made available and the number of successful claims.

USDA SUPPLEMENTAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 012-2701-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Geographically disadvantaged farmers and ranchers program	2	2	
0900 Total new obligations, unexpired accounts (object class 41.0)	2	2	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
1001 Discretionary unobligated balance brought fwd, Oct 1	4	4	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	
1930 Total budgetary resources available	6	6	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	2	2	
3020 Outlays (gross)	-2	-2	-2
3050 Unpaid obligations, end of year	2	2	

USDA SUPPLEMENTAL ASSISTANCE—Continued
Program and Financing—Continued

Identification code 012-2701-0-1-351	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	
Outlays, gross:			
4011 Outlays from discretionary balances	2	2	2
4180 Budget authority, net (total)	2	2	
4190 Outlays, net (total)	2	2	2

The Agricultural Act of 2014 permanently re-authorized the Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (RTCP) program for FY 2012 and each succeeding fiscal year subject to appropriated funding. The purpose of RTCP is to offset a portion of the higher cost of transporting agricultural inputs and commodities over long distances. This program assists farmers and ranchers residing outside the 48 contiguous states that are at a competitive disadvantage when transporting agriculture products to the market. RTCP benefits are calculated based on the costs incurred by the producer for transportation of the agricultural commodity or inputs during a fiscal year, subject to an \$8,000 per producer cap per fiscal year. RTCP enrollments for FY 2019 began on July 15, 2019, and ended on September 6, 2019. Payments for FY 2019 signup will be disbursed in FY 2020. No funding is requested in the 2021 Budget for this program.

REFORESTATION PILOT PROGRAM

The Reforestation Pilot Program's purpose is to demonstrate the use of new technologies that increase the rate of growth of re-forested hardwood trees on private non-industrial forest lands, enrolling lands on the coast of the Gulf of Mexico that were damaged by Hurricane Katrina in 2005. The 2021 Budget proposes no funding for this program.

EMERGENCY CONSERVATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-3316-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Emergency conservation program	110	300	200
0900 Total new obligations, unexpired accounts (object class 41.0)	110	300	200
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	498	992	692
1021 Recoveries of prior year unpaid obligations	46		
1050 Unobligated balance (total)	544	992	692
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	558		
1930 Total budgetary resources available	1,102	992	692
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	992	692	492
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	123	112	2
3010 New obligations, unexpired accounts	110	300	200
3020 Outlays (gross)	-75	-410	-200
3040 Recoveries of prior year unpaid obligations, unexpired	-46		
3050 Unpaid obligations, end of year	112	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	123	112	2
3200 Obligated balance, end of year	112	2	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	558		
Outlays, gross:			
4011 Outlays from discretionary balances	75	410	200
4180 Budget authority, net (total)	558		
4190 Outlays, net (total)	75	410	200

The Emergency Conservation Program (ECP) was authorized by the Agricultural Credit Act of 1978 (16 U.S.C. 2201-05). It provides funds for sharing the cost of emergency measures to deal with cases of severe damage to farmlands and rangelands resulting from natural disasters. During 2019, 39 States and 1 territory participated in ECP, with new or continued activity from the previous year, involving approximately 74.6 million in cost-share and technical assistance funds outlays. The Additional Supplemental Appropriations for Disaster Relief Act, 2019 provided \$558 million for necessary expenses related to the consequences of Hurricanes Michael and Florence and of wildfires occurring in calendar year 2018, tornadoes and floods occurring in calendar year 2019, and other natural disasters declared pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. The 2021 Budget does not propose funding for this program.

EMERGENCY FOREST RESTORATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-0171-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 EFRP	2	350	100
0900 Total new obligations, unexpired accounts (object class 41.0)	2	350	100
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	53	532	182
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	54	532	182
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	480		
1930 Total budgetary resources available	534	532	182
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	532	182	82
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	15	
3010 New obligations, unexpired accounts	2	350	100
3020 Outlays (gross)	-1	-365	-100
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	15		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	15	
3200 Obligated balance, end of year	15		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	480		
Outlays, gross:			
4011 Outlays from discretionary balances	1	365	100
4180 Budget authority, net (total)	480		
4190 Outlays, net (total)	1	365	100

The Emergency Forest Restoration Program (EFRP) provides payments to eligible owners of non-industrial private forest for implementation of emergency measures to restore land damaged by a natural disaster. During 2019, 10 States participated in EFRP with new or continued activity from the previous year, involving approximately \$1.1 million in cost-share and technical assistance fund outlays. The 2021 Budget does not include funding for EFRP.

GRASSROOTS SOURCE WATER PROTECTION PROGRAM

For necessary expenses to carry out wellhead or groundwater protection activities under section 12400 of the Food Security Act of 1985 (16 U.S.C. 3839bb–2), \$6,500,000, to remain available until expended. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012–3304–0–1–302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grassroots source water payments	12	7	
0900 Total new obligations, unexpired accounts (object class 41.0)	12	7	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	7	
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012–4336]	5		
1900 Budget authority (total)	12	7	
1930 Total budgetary resources available	12	7	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		5	
3010 New obligations, unexpired accounts	12	7	
3020 Outlays (gross)	–7	–12	
3050 Unpaid obligations, end of year	5		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		5	
3200 Obligated balance, end of year	5		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	7	
Outlays, gross:			
4010 Outlays from new discretionary authority	7	7	
Mandatory:			
4090 Budget authority, gross	5		
Outlays, gross:			
4101 Outlays from mandatory balances		5	
4180 Budget authority, net (total)	12	7	
4190 Outlays, net (total)	7	12	

The Grassroots Source Water Protection Program (GSWPP) is a joint project by USDA's Farm Service Agency and the nonprofit National Rural Water Association. It is designed to help prevent source water pollution in States through voluntary practices installed by producers at the local level. GSWPP uses onsite technical assistance capabilities of each State rural water association that operates a wellhead or groundwater protection program in the State. State rural water associations can deliver assistance in developing source water protection plans within priority watersheds for the common goal of preventing the contamination of drinking water supplies. The Agriculture Improvement Act of 2018, the 2018 Farm Bill, continues the authority for this program through fiscal year 2023. The 2021 Budget proposes no funding for this program.

AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed farm ownership (7 U.S.C. 1922 et seq.) and operating (7 U.S.C. 1941 et seq.) loans, emergency loans (7 U.S.C. 1961 et seq.), Indian tribe land acquisition loans (25 U.S.C. 5136), boll weevil loans (7 U.S.C. 1989), guaranteed conservation loans (7 U.S.C. 1924 et seq.), relending program (7 U.S.C. 1936c), and Indian tribe highly fractionated land loans (25 U.S.C. 5136) to be available from funds in the Agricultural Credit Insurance Fund, as follows: \$2,750,000,000 for guaranteed farm ownership loans and \$1,875,000,000 \$2,119,000,000 for farm ownership direct loans; \$1,960,000,000 \$2,118,482,000 for unsubsidized guaranteed operating loans and \$1,550,133,000 \$1,633,418,000 for direct operating loans; emergency loans, \$37,668,000; Indian tribe land acquisition loans, \$20,000,000; guaranteed conservation loans, \$150,000,000; relending program, \$18,215,000; Indian highly fractionated land loans, \$10,000,000; and for boll weevil eradication program loans,

\$60,000,000: Provided, That the Secretary shall deem the pink bollworm to be a boll weevil for the purpose of boll weevil eradication program loans.

For the cost of direct and guaranteed loans and grants, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, as follows: \$58,440,000 \$38,712,000 for direct farm operating loans, \$20,972,000 \$23,727,000 for unsubsidized guaranteed farm operating loans, \$207,000 for emergency loans, \$2,023,000; \$2,703,000 for the relending program, \$5,000,000; Indian highly fractionated land loans, \$2,745,000; and \$60,000 for boll weevil eradication loans, to remain available until expended.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$317,068,000 \$307,344,000: Provided, That of this amount, \$290,917,000 \$294,114,000 shall be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses": Provided further, That of this amount \$16,081,000 shall be transferred to and merged with the appropriation for "Farm Production and Conservation Business Center, Salaries and Expenses".

Funds appropriated by this Act to the Agricultural Credit Insurance Program Account for farm ownership, operating and conservation direct loans and guaranteed loans may be transferred among these programs: Provided, That the Committees on Appropriations of both Houses of Congress are notified at least 15 days in advance of any transfer.

DAIRY INDEMNITY PROGRAM

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses involved in making indemnity payments to dairy farmers and manufacturers of dairy products under a dairy indemnity program, such sums as may be necessary, to remain available until expended: Provided, That such program is carried out by the Secretary in the same manner as the dairy indemnity program described in the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (Public Law 106–387, 114 Stat. 1549A-12). (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012–1140–0–1–351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Administrative expenses - PLCE	10	10	13
0011 FPAC		16	
0012 Dairy Indemnity	4	5	1
0091 Direct program activities, subtotal	14	31	14
Credit program obligations:			
0701 Direct loan subsidy	46	88	61
0702 Loan guarantee subsidy	12	31	33
0705 Reestimates of direct loan subsidy		92	
0706 Interest on reestimates of direct loan subsidy		23	
0707 Reestimates of loan guarantee subsidy		15	
0708 Interest on reestimates of loan guarantee subsidy		4	
0709 Administrative expenses	307	291	294
0791 Direct program activities, subtotal	365	544	388
0900 Total new obligations, unexpired accounts	379	575	402
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	61	31
1001 Discretionary unobligated balance brought fwd, Oct 1	30	61	
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	33	61	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	402	406	373
Appropriations, mandatory:			
1200 Appropriation	5	139	1
1900 Budget authority (total)	407	545	374
1930 Total budgetary resources available	440	606	405
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	31	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	18	23
3010 New obligations, unexpired accounts	379	575	402
3020 Outlays (gross)	–374	–570	–403
3040 Recoveries of prior year unpaid obligations, unexpired	–3		
3050 Unpaid obligations, end of year	18	23	22

AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 012-1140-0-1-351	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	18	23
3200 Obligated balance, end of year	18	23	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	402	406	373
Outlays, gross:			
4010 Outlays from new discretionary authority	363	391	362
4011 Outlays from discretionary balances	7	39	40
4020 Outlays, gross (total)	370	430	402
Mandatory:			
4090 Budget authority, gross	5	139	1
Outlays, gross:			
4100 Outlays from new mandatory authority	4	139	1
4101 Outlays from mandatory balances		1	
4110 Outlays, gross (total)	4	140	1
4180 Budget authority, net (total)	407	545	374
4190 Outlays, net (total)	374	570	403

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1140-0-1-351	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Ownership	1,473	1,875	2,119
115002 Farm Operating	1,147	2,007	2,360
115003 Emergency Disaster	13	80	50
115004 Indian Tribe Land Acquisition		20	20
115005 Boll Weevil Eradication		60	60
115010 Indian Highly Fractionated Land		10	14
115013 Heirs Property Relending Program		18	18
115999 Total direct loan levels	2,633	4,070	4,641
Direct loan subsidy (in percent):			
132001 Farm Ownership	-1.31	-0.8	-5.81
132002 Farm Operating	3.90	3.77	2.37
132003 Emergency Disaster	4.16	5.37	0.55
132004 Indian Tribe Land Acquisition		-18.62	-41.89
132005 Boll Weevil Eradication		0.10	-1.6
132010 Indian Highly Fractionated Land		27.45	14.84
132013 Heirs Property Relending Program		27.45	14.84
132999 Weighted average subsidy rate	0.99	2.03	-1.52
Direct loan subsidy budget authority:			
133001 Farm Ownership	-19	-2	-123
133002 Farm Operating	45	76	56
133003 Emergency Disaster	1	4	
133004 Indian Tribe Land Acquisition		-4	-8
133010 Indian Highly Fractionated Land		3	2
133013 Heirs Property Relending Program		5	3
133999 Total subsidy budget authority	27	82	-70
Direct loan subsidy outlays:			
134001 Farm Ownership	-22	-22	-22
134002 Farm Operating	41	71	59
134003 Emergency Disaster	1	4	1
134010 Indian Highly Fractionated Land		4	3
134013 Heirs Property Relending Program		4	3
134999 Total subsidy outlays	20	61	44
Direct loan reestimates:			
135001 Farm Ownership		17	
135002 Farm Operating		-82	
135003 Emergency Disaster		7	
135999 Total direct loan reestimates		-58	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Farm Ownership—Unsubsidized	2,055	2,750	2,750
215002 Farm Operating—Unsubsidized	1,052	2,862	2,980
215005 Conservation—Guaranteed		150	150
215999 Total loan guarantee levels	3,107	5,762	5,880
Guaranteed loan subsidy (in percent):			
232001 Farm Ownership—Unsubsidized	-23	-29	-24
232002 Farm Operating—Unsubsidized	1.12	1.07	1.12
232005 Conservation—Guaranteed		-45	-41
232999 Weighted average subsidy rate	0.23	0.38	0.44
Guaranteed loan subsidy budget authority:			
233001 Farm Ownership—Unsubsidized	-5	-8	-7

233002 Farm Operating—Unsubsidized	12	31	33
233005 Conservation—Guaranteed		-1	-1
233999 Total subsidy budget authority	7	22	25
Guaranteed loan subsidy outlays:			
234001 Farm Ownership—Unsubsidized	-5	-5	-5
234002 Farm Operating—Unsubsidized	12	28	30
234999 Total subsidy outlays	7	23	25
Guaranteed loan reestimates:			
235001 Farm Ownership—Unsubsidized		-6	
235002 Farm Operating—Unsubsidized		-4	
235003 Farm Operating—Subsidized		-1	
235999 Total guaranteed loan reestimates		-11	
Administrative expense data:			
3510 Budget authority	317	317	307
3590 Outlays from new authority	315	317	307

The Agricultural Credit Insurance Fund program account's loans are authorized by Title III of the Consolidated Farm and Rural Development Act, as amended.

This program account includes subsidies to provide direct and guaranteed loans for farm ownership, farm operating, conservation, and emergency loans to individuals. Indian tribes and tribal corporations are eligible for Indian land acquisition loans, while individual Native Americans are eligible for loans for the purchase of highly fractionated Indian lands. Boll weevil eradication loans are available to eliminate the cotton boll weevil pest from infested areas. The 2018 Farm Bill authorized a new loan type, the heirs relending program, to resolve ownership and succession on farm land that has multiple owners. The 2021 Budget requests \$65.3 million for loan subsidies. The 2021 Budget requests a program level of \$8.907 billion. Per the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. For administrative costs, the 2021 Budget requests \$307.3 million.

Under the Dairy Indemnity Program, payments are made to farmers and manufacturers of dairy products who are directed to remove their milk or milk products from commercial markets because they contain residues of chemicals that have been registered and approved by the Federal Government, other chemicals, nuclear radiation, or nuclear fallout. Indemnification may also be paid for cows producing such milk. In 2019, \$4.136 million was paid to producers who filed claims under the program. The 2021 Budget requests such sums as may be necessary, which are estimated to be \$500,000 for this program in 2021.

Object Classification (in millions of dollars)

Identification code 012-1140-0-1-351	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	317	317	307
41.0 Grants, subsidies, and contributions	62	258	95
99.9 Total new obligations, unexpired accounts	379	575	402

AGRICULTURAL CREDIT INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4212-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Capitalized costs	8	10	10
0005 Civil rights settlements		1	1
0091 Direct program by activities - subtotal (1 level)	8	11	11
Credit program obligations:			
0710 Direct loan obligations	2,634	4,070	4,641
0713 Payment of interest to Treasury	389	358	358
0740 Negative subsidy obligations	19	5	131

0742	Downward reestimates paid to receipt accounts	165
0743	Interest on downward reestimates	9
0791	Direct program activities, subtotal	3,042	4,607	5,130
0900	Total new obligations, unexpired accounts	3,050	4,618	5,141

Budgetary resources:
Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	2,097	1,519	1,850
1021	Recoveries of prior year unpaid obligations	103
1023	Unobligated balances applied to repay debt	-2,100
1024	Unobligated balance of borrowing authority withdrawn	-100
1050	Unobligated balance (total)	1,519	1,850
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	2,782	4,842	3,806
Spending authority from offsetting collections, mandatory:				
1800	Collected	1,899	2,107	2,336
1801	Change in uncollected payments, Federal sources	1
1825	Spending authority from offsetting collections applied to repay debt	-113	-2,000	-1,500
1850	Spending auth from offsetting collections, mand (total)	1,787	107	836
1900	Budget authority (total)	4,569	4,949	4,642
1930	Total budgetary resources available	4,569	6,468	6,492
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,519	1,850	1,351

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	483	644	1,214
3010	New obligations, unexpired accounts	3,050	4,618	5,141
3020	Outlays (gross)	-2,786	-4,048	-4,432
3040	Recoveries of prior year unpaid obligations, unexpired	-103
3050	Unpaid obligations, end of year	644	1,214	1,923
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-14	-14
3070	Change in uncollected pymts, Fed sources, unexpired	-1
3090	Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	470	630	1,200
3200	Obligated balance, end of year	630	1,200	1,909

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross	4,569	4,949	4,642
Financing disbursements:				
4110	Outlays, gross (total)	2,786	4,048	4,432
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal Sources: Reestimate payment from program account	-115
4120	Federal Sources: Subsidy payment from program account	-42	-83	-66
4122	Federal Sources: Interest on uninvested funds	-86	-78	-78
4123	Repayments of principal	-1,466	-1,394	-1,685
4123	Repayments of interest	-292	-430	-501
4123	Sale of Foreclosed Property/Other	-13	-7	-6
4130	Offsets against gross budget authority and outlays (total)	-1,899	-2,107	-2,336
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	-1
4160	Budget authority, net (mandatory)	2,669	2,842	2,306
4170	Outlays, net (mandatory)	887	1,941	2,096
4180	Budget authority, net (total)	2,669	2,842	2,306
4190	Outlays, net (total)	887	1,941	2,096

Status of Direct Loans (in millions of dollars)

Identification code 012-4212-0-3-351	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	2,117	3,571	3,888
1121	Limitation available from carry-forward	517	499	753
1150	Total direct loan obligations	2,634	4,070	4,641
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	10,868	11,735	13,791
1231	Disbursements: Direct loan disbursements	2,362	3,502	4,059
1251	Repayments: Repayments and prepayments	-1,467	-1,394	-1,685
1263	Write-offs for default: Direct loans	-28	-52	-52
1290	Outstanding, end of year	11,735	13,791	16,113

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct loans for farm ownership, farm operating, emergency disaster, Indian land acquisition, Indian highly fractionated land, boll weevil eradication, conservation, the heirs relending program authorized in the 2018 Farm Bill, and credit sales of acquired property.

Balance Sheet (in millions of dollars)

Identification code 012-4212-0-3-351	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	2,097	1,517
Investments in U.S. securities:			
1106	Receivables, net	107	104
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	10,868	11,735
1402	Interest receivable	267	281
1403	Accounts receivable from foreclosed property
1404	Foreclosed property	12	10
1405	Allowance for subsidy cost (-)	-378	-307
1405	Allowance for Interest Receivable (-)	-92	-93
1499	Net present value of assets related to direct loans	10,677	11,626
1603	Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Allowance for estimated uncollectible loans and interest (-)
1999	Total assets	12,881	13,247
LIABILITIES:			
Federal liabilities:			
2103	Debt	12,759	13,067
2104	Resources payable to Treasury
2105	Other	122	180
2207	Non-Federal liabilities: Other
2999	Total liabilities	12,881	13,247
NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	12,881	13,247

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4213-0-3-351	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0003	Purchase of guaranteed loans	2	1	1
0091	Direct program by activities - subtotal (1 level)	2	1	1
Credit program obligations:				
0711	Default claim payments on principal	48	48	50
0713	Payment of interest to Treasury	1	1	1
0740	Negative subsidy obligations	5	9	8
0742	Downward reestimates paid to receipt accounts	28
0743	Interest on downward reestimates	2
0791	Direct program activities, subtotal	54	88	59
0900	Total new obligations, unexpired accounts	56	89	60

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	253	223	253
1021	Recoveries of prior year unpaid obligations	1
1023	Unobligated balances applied to repay debt	-47	-16	-16
1033	Recoveries of prior year paid obligations	1
1050	Unobligated balance (total)	208	207	237
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	10	30	30
Spending authority from offsetting collections, mandatory:				
1800	Collected	61	105	91
1900	Budget authority (total)	71	135	121
1930	Total budgetary resources available	279	342	358

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING
ACCOUNT—Continued
Program and Financing—Continued

Identification code 012-4213-0-3-351	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	223	253	298
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	7
3010 New obligations, unexpired accounts	56	89	60
3020 Outlays (gross)	-54	-84	-56
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	2	7	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	6
3200 Obligated balance, end of year	1	6	10

Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	71	135	121
Financing disbursements:			
4110 Outlays, gross (total)	54	84	56
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account upward reestimate	-12	-19	
4120 Payments from program account subsidy		-28	-30
4122 Interest on uninvested funds	-5	-4	-4
4123 Fees and premiums	-45	-51	-53
4123 Loss recoveries and repayments		-3	-4
4130 Offsets against gross budget authority and outlays (total)	-62	-105	-91
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	10	30	30
4170 Outlays, net (mandatory)	-8	-21	-35
4180 Budget authority, net (total)	10	30	30
4190 Outlays, net (total)	-8	-21	-35

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4213-0-3-351	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	3,107	4,860	5,018
2121 Limitation available from carry-forward		902	862
2150 Total guaranteed loan commitments	3,107	5,762	5,880
2199 Guaranteed amount of guaranteed loan commitments	2,796	5,186	5,292
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	17,159	17,883	20,221
2231 Disbursements of new guaranteed loans	3,059	5,287	5,861
2251 Repayments and prepayments	-2,279	-2,871	-3,246
Adjustments:			
2261 Terminations for default that result in loans receivable	-13	-13	-13
2263 Terminations for default that result in claim payments	-48	-65	-65
2264 Other adjustments, net	5		
2290 Outstanding, end of year	17,883	20,221	22,758
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	16,095	18,199	20,482
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	187	207	220
2331 Disbursements for guaranteed loan claims	39	24	24
2351 Repayments of loans receivable	-1	-1	-1
2361 Write-offs of loans receivable	-18	-10	-10
2390 Outstanding, end of year	207	220	233

loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

This account finances commitments made for farm ownership, operating and conservation guaranteed loan programs.

Balance Sheet (in millions of dollars)

Identification code 012-4213-0-3-351	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	253	224
Investments in U.S. securities:		
1106 Receivables, net	26	21
1206 Non-Federal assets: Receivables, net		
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	187	207
1502 Interest receivable		
1505 Allowance for subsidy cost (-)	-185	-189
1599 Net present value of assets related to defaulted guaranteed loans	2	18
1999 Total assets	281	263
LIABILITIES:		
Federal liabilities:		
2103 Debt	53	17
2104 Resources payable to Treasury		
2105 Other	12	24
2204 Non-Federal liabilities: Liabilities for loan guarantees	216	222
2999 Total liabilities	281	263
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	281	263

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4140-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0008 Loan recoverable costs	1	1	1
0109 Costs incidental to acquisition of real property	2	1	1
0118 Civil rights settlements		1	1
0191 Total operating expenses	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2)	3	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	37	
1021 Recoveries of prior year unpaid obligations	1		
1022 Capital transfer of unobligated balances to general fund	-5	-37	
1050 Unobligated balance (total)	1		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	42	35	32
1820 Capital transfer of spending authority from offsetting collections to general fund	-3	-32	-29
1850 Spending auth from offsetting collections, mand (total)	39	3	3
1930 Total budgetary resources available	40	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-2	-3	-3
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	39	3	3
Outlays, gross:				
4100	Outlays from new mandatory authority	1	2	2
4101	Outlays from mandatory balances	1	1	1
4110	Outlays, gross (total)	2	3	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources Principal Repayments	-31	-26	-23
4123	Non-Federal sources Interest Repayments	-10	-9	-9
4123	Non-Federal sources Miscellaneous	-1		
4130	Offsets against gross budget authority and outlays (total)	-42	-35	-32
4160	Budget authority, net (mandatory)	-3	-32	-29
4170	Outlays, net (mandatory)	-40	-32	-29
4180	Budget authority, net (total)	-3	-32	-29
4190	Outlays, net (total)	-40	-32	-29

Status of Direct Loans (in millions of dollars)

Identification code 012-4140-0-3-351	2019 actual	2020 est.	2021 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	226	192	164
1251	Repayments: Repayments and prepayments	-31	-26	-23
1261	Adjustments: Capitalized interest	1	2	2
1263	Write-offs for default: Direct loans	-4	-4	-4
1290	Outstanding, end of year	192	164	139

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4140-0-3-351	2019 actual	2020 est.	2021 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	2	1	1
2251	Repayments and prepayments	-1		
2290	Outstanding, end of year	1	1	1
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 012-4140-0-3-351	2018 actual	2019 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	6	38
1601	Loans Receivable	226	192
1602	Interest receivable	110	103
1603	Allowance for estimated uncollectible loans and interest (-)	-110	-102
1604	Direct loans and interest receivable, net	226	193
1606	Foreclosed property	5	6
1699	Value of assets related to direct loans	231	199
1999	Total assets	237	237
LIABILITIES:			
2104	Federal liabilities: Resources payable to Treasury	236	236
2201	Non-Federal liabilities: Accounts payable	1	1
2999	Total liabilities	237	237
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	237	237

COMMODITY CREDIT CORPORATION FUND

REIMBURSEMENT FOR NET REALIZED LOSSES

(INCLUDING TRANSFERS OF FUNDS)

For the current fiscal year, such sums as may be necessary to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, pursuant to section 2 of the Act of August 17, 1961 (15 U.S.C. 713a-11): *Provided, That amounts provided herein may be used, prior to the completion of the report described in 15 U.S.C. 713a-11, to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, as*

reflected in the June 2020 report of its financial condition: Provided further, That of the funds available to the Commodity Credit Corporation under section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i) for the conduct of its business with the Foreign Agricultural Service, up to \$5,000,000 may be transferred to and used by the Foreign Agricultural Service for information resource management activities of the Foreign Agricultural Service that are not related to Commodity Credit Corporation business.

HAZARDOUS WASTE MANAGEMENT

(LIMITATION ON EXPENSES)

For the current fiscal year, the Commodity Credit Corporation shall not expend more than **[\$5,000,000]** \$15,000,000 for site investigation and cleanup expenses, and operations and maintenance expenses to comply with the requirement of section 107(g) of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9607(g)), and section 6001 of the Solid Waste Disposal Act (42 U.S.C. 6961). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-4336-0-3-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Price Loss Coverage	1,120	7,665	7,947
0002	Agriculture Risk Coverage	200	100	114
0004	Marketing Loans — Recourse	43	43	43
0006	Marketing Loans — Non-Recourse	7,616	10,056	10,268
0007	Loan Deficiency Payments	1	295	160
0008	Eco. Adjust. Assist. for Textile Mills (Upland Cotton)	41	40	43
0009	Livestock Indemnity Program	50	33	28
0010	Livestock Forage Program	275	492	480
0011	ELAP	46	44	38
0012	Tree Assistance Program	23	32	26
0013	Giza Cotton		3	6
0015	Storage, Transportation and Other	10	5	
0016	Market Access Program	195	188	200
0018	Technical Assistance for Specialty Crops	5	8	9
0019	Emerging Markets Program	6	8	8
0021	Foreign Market Development Program	33	33	35
0022	Quality Samples Program	3	2	3
0023	Non-Insured Assistance Program	153	182	193
0024	Emergency Citrus Trust Fund	25	25	25
0026	Conservation Reserve Program Financial Assistance	557	7,159	4,504
0027	Conservation Reserve Program Technical Assistance	21	24	19
0029	Treasury Interest	357	470	325
0030	Other Interest	2	2	2
0031	Reimbursable Agreements with State and Federal Agencies	52	52	56
0032	Food for Progress	160	156	166
0034	Section 4 Contracts	9	11	11
0035	Farm Bill Implementation	3	3	3
0038	Electronic Warehouse Receipts	1	1	1
0040	Noninsured Assistance Program Loss Adjuster	2	2	2
0041	Margin Protection Program/Diary Margin Coverage	323	701	733
0042	Market Facilitation Program	14,495	9,302	
0043	Organic Certification Cost Share	13	24	20
0044	Priority Trade		4	4
0045	ARC Pilot Program	5	5	
0046	CRP Pilot	1	1	
0047	Food Purchase and Distribution Program	1,143	1,200	
0048	Agricultural Trade Promotion Program	300		
0049	Foundation for Food and Agriculture Research	185		
0050	Oriental Fruit Fly		9	
0051	HBIP		100	
0192	Total support and related programs	27,474	38,480	25,472
0799	Total direct obligations	27,474	38,480	25,472
0803	Offsetting collections	1		
0809	Reimbursable program activities, subtotal	1		
0900	Total new obligations, unexpired accounts	27,475	38,480	25,472

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	351	358	
1001	Discretionary unobligated balance brought fwd, Oct 1	5	29	
1021	Recoveries of prior year unpaid obligations	197		
1033	Recoveries of prior year paid obligations	137		
1050	Unobligated balance (total)	685	358	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	21	1	-20
Appropriations, mandatory:				
1200	Appropriation	10,888	26,309	25,915

COMMODITY CREDIT CORPORATION FUND—Continued
Program and Financing—Continued

Identification code 012-4336-0-3-999	2019 actual	2020 est.	2021 est.	
1220	Appropriations transferred to other accts [012-3507]	-21	-21	-21
1220	Appropriations transferred to other accts [012-1004]	-4,281	-5,160	-3,400
1220	Appropriations transferred to other accts [012-2073]	-7	-7	-7
1220	Appropriations transferred to other accts [012-1500]	-40		
1220	Appropriations transferred to other accts [012-2501]	-90	-85	-85
1220	Appropriations transferred to other accts [012-4085]	-4	-4	-4
1220	Appropriations transferred to other accts [012-1908]	-50	-50	-50
1220	Appropriations transferred to other accts [012-1600]	-233	-75	-75
1220	Appropriations transferred to other accts [012-0403]	-3	-3	-3
1220	Appropriations transferred to other accts [012-0123]		-1	-1
1220	Appropriations transferred to other accts [012-3106]	-50	-25	
1220	Appropriations transferred to other accts [012-0502]	-60	-63	
1220	Appropriations transferred to other accts [012-1502]	-110	-100	
1220	Appropriations transferred to other accts [012-1701]	-1		
1220	Appropriations transferred to other accts [012-2500]	-43	-36	-36
1220	Appropriations transferred to other accts [012-3304]	-5		
1220	Appropriations transferred to other acct [012-0520]			-171
1220	Appropriations transferred to other accts [012-5635]	-16	-16	-16
1220	Appropriations transferred to other accts [012-5636]	-30	-30	-30
1220	Appropriations transferred to other acct [012-0215]	-4	-2	-2
1220	Appropriations transferred to other acct [012-0115]	-15	-15	-15
1220	Appropriations transferred to other acct [012-1072]	-50	-50	-50
1220	Appropriations transferred to other acct [012-1900]	-19	-19	-19
1220	Appropriations transferred to other acct [012-1801]	-1		
1236	Appropriations applied to repay debt	-5,755	-20,547	-21,930
Borrowing authority, mandatory:				
1400	Borrowing authority	4,067,959	38,121	25,492
1421	Borrowing authority temporarily reduced		-1,132	
1422	Borrowing authority applied to repay debt	-4,039,700		
1440	Borrowing authority, mandatory (total)	27,127	38,121	25,492
Spending authority from offsetting collections, mandatory:				
1800	Collected	7,588	10,068	10,404
1801	Change in uncollected payments, Federal sources	-8		
1825	Spending authority from offsetting collections applied to repay debt	-7,580	-10,068	-10,404
1900	Budget authority (total)	27,148	38,122	25,472
1930	Total budgetary resources available	27,833	38,480	25,472
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	358		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	18,768	16,940	22,038
3010	New obligations, unexpired accounts	27,475	38,480	25,472
3020	Outlays (gross)	-29,106	-33,382	-22,910
3040	Recoveries of prior year unpaid obligations, unexpired	-197		
3050	Unpaid obligations, end of year	16,940	22,038	24,600
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-111	-103	-103
3070	Change in uncollected pymts, Fed sources, unexpired	8		
3090	Uncollected pymts, Fed sources, end of year	-103	-103	-103
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	18,657	16,837	21,935
3200	Obligated balance, end of year	16,837	21,935	24,497
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	21	1	-20
Outlays, gross:				
4010	Outlays from new discretionary authority			-20
4011	Outlays from discretionary balances	5	12	11
4020	Outlays, gross (total)	5	12	-9
Mandatory:				
4090	Budget authority, gross	27,127	38,121	25,492
Outlays, gross:				
4100	Outlays from new mandatory authority	23,651	26,935	16,514
4101	Outlays from mandatory balances	5,450	6,435	6,405
4110	Outlays, gross (total)	29,101	33,370	22,919
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-30	-64	-68
4123	Commodity Loans Repaid	-7,239	-9,747	-10,070
4123	Assessments and Fees	-21	-51	-53
4123	Sales and Other Proceeds	-190	-121	-125
4123	Interest Revenue	-108	-85	-88
4123	Downward adjustments	-137		
4130	Offsets against gross budget authority and outlays (total) ...	-7,725	-10,068	-10,404

Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	8		
4143	Recoveries of prior year paid obligations, unexpired accounts	137		
4150	Additional offsets against budget authority only (total)	145		
4160	Budget authority, net (mandatory)	19,547	28,053	15,088
4170	Outlays, net (mandatory)	21,376	23,302	12,515
4180	Budget authority, net (total)	19,568	28,054	15,068
4190	Outlays, net (total)	21,381	23,314	12,506
Memorandum (non-add) entries:				
5101	Unexpired unavailable balance, SOY: Borrowing authority	1,222	1,173	
5102	Unexpired unavailable balance, EOY: Borrowing authority	1,173		

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	19,568	28,054	15,068
Outlays	21,381	23,314	12,506
Legislative proposal, subject to PAYGO:			
Budget Authority			-73
Outlays			-959
Total:			
Budget Authority	19,568	28,054	14,995
Outlays	21,381	23,314	11,547

Status of Direct Loans (in millions of dollars)

Identification code 012-4336-0-3-999	2019 actual	2020 est.	2021 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	441	566	672
1231	Disbursements: Direct loan disbursements	7,364	9,853	10,102
1251	Repayments: Repayments and prepayments	-7,239	-9,747	-10,070
1290	Outstanding, end of year	566	672	704

The Commodity Credit Corporation (CCC) was created to stabilize, support, and protect farm income and prices; help maintain balanced and adequate supplies of agricultural commodities, their products, foods, feeds, and fibers; and help in their orderly distribution.

The Agriculture Improvement Act of 2018 (2018 Farm Bill), Public Law 115-334, was signed by the President on December 20, 2018. The 2018 Farm Bill repealed certain programs, continued some programs with modifications, and authorized several new programs. In addition, the Bipartisan Budget Act of 2018 (BBA), Public Law 115-123, provides assistance to producers impacted by specific hurricanes in 2017, and made changes to the CCC commodity and disaster programs.

BUDGET ASSUMPTIONS

The estimates for CCC spending in 2019 and 2020 reflect expenditures related to the trade damage mitigation programs and other CCC activities not described in this narrative, as well as commodity and conservation programs authorized under the 2018 Farm Bill. The budget estimates for 2021 decrease significantly from 2020 levels, because of lower trade mitigation program expenditures that are slightly offset by additional expenditures reflecting new 2018 Farm Bill provisions. Outlay projections are subject to complex and unpredictable factors such as weather; U.S. and world consumer income growth; factors which affect the volume of production of crops not yet planted; demands for feed, food, and bio-energy here and overseas; and foreign currency exchange rates and the value of the U.S. dollar overall.

PROGRAMS FOR COMMODITY CROPS

Price support, marketing assistance loans, and related stabilization programs.—As authorized in the 2018 Farm Bill, the Corporation conducts programs to support farm income and prices and stabilize the market for agricultural commodities. Price support is provided to producers of agricultural commodities through loans, purchases, payments, and other means.

Price support is mandatory for sugar. Marketing assistance loans are mandatory for wheat, feed grains, oilseeds, upland cotton, peanuts, rice, pulse crops, sugar, honey, wool, mohair, and extra-long staple cotton.

One method of providing support is loans to and purchases from producers. With limited exceptions, loans made on commodities are nonrecourse. The commodities serve as collateral for the loan and on maturity the producer may deliver or forfeit such collateral to satisfy the loan obligation without further payment.

Direct purchases may be made from processors as well as producers, depending on the commodity involved. Also, purchases are made under various laws; for example, the Act of August 19, 1958, as amended, and section 416 of the Agricultural Act of 1949, as amended.

Commodity Payment Programs.—Agriculture Risk Coverage (ARC) and Price Loss Coverage (PLC) payments are available for a wide variety of commodity crops. The BBA added seed cotton as a covered commodity eligible for ARC and PLC. The BBA also removed generic base acres beginning with the 2018 crop year, and allowed producers to reallocate generic base acres to seed cotton, or other covered commodities eligible for ARC/PLC payments.

Price Loss Coverage (PLC).—Payments are issued when the effective price of a covered commodity is less than the respective reference price for that commodity established in the statute. The payment is equal to 85 percent of the base acres of the covered commodity times the difference between the effective reference price and the effective price times the program payment yield for the covered commodity. The 2018 Farm Bill authorized a nationwide PLC yield update for the 2020 crop year.

Agriculture Risk Coverage (ARC).—There are two types: County ARC and Individual ARC.

County ARC: Payments are issued when the actual county crop revenue of a covered commodity is less than the ARC county guarantee for the covered commodity and are based on county data, not farm data. The ARC county guarantee equals 86 percent of the previous 5-year average national farm price, excluding the years with the highest and lowest price (the ARC guarantee price), times the 5-year average county yield, excluding the years with the highest and lowest yield (the ARC county guarantee yield). Both the guarantee and actual revenue are computed using base acres, not planted acres. The payment is equal to 85 percent of the base acres of the covered commodity times the difference between the county guarantee and the actual county crop revenue for the covered commodity. Payments may not exceed 10 percent of the benchmark county revenue (the ARC guarantee price times the ARC county guarantee yield).

Individual ARC: Payments are issued when the actual individual crop revenues, summed across all covered commodities on the farm, are less than ARC individual guarantees summed across those covered commodities on the farm. The farm for individual ARC purposes is the sum of the producer's interest in all ARC farms in the State. The farm's ARC individual guarantee equals 86 percent of the farm's individual benchmark guarantee, which is defined as the ARC guarantee price times the 5-year average individual yield, excluding the years with the highest and lowest yields, and summing across all crops on the farm. The actual revenue is computed in a similar fashion, with both the guarantee and actual revenue computed using planted acreage on the farm. The individual ARC payment equals: a) 65 percent of the sum of the base acres of all covered commodities on the farm, times b) the difference between the individual guarantee revenue and the actual individual crop revenue across all covered commodities planted on the farm. Payments may not exceed 10 percent of the individual benchmark revenue.

Election Required.—All of the producers on a farm must make an election of: 1) PLC/County ARC on a covered-commodity-by-covered-commodity basis; or 2) Individual ARC for all covered commodities on the farm. If the producers on the farm elect PLC/County ARC, the producers must also make a one-time election to select which base acres on the farm are enrolled in PLC and which base acres are enrolled in County ARC. Alternatively, if Individual ARC is selected, then every covered commodity on the farm must participate in Individual ARC. The 2018 Farm Bill authorized an annual election opportunity beginning in crop year 2021, with an initial

election opportunity in 2019 for both the 2019 and 2020 crop years. Also, authorization for ARC and PLC was extended through the 2023 crop year.

Adjusted Gross Income.—Adjusted gross income (AGI) provisions have been simplified and modified. Producers whose average AGI exceeds \$900,000 during a crop, fiscal, or program year are not eligible to participate in most programs administered by FSA and the Natural Resources Conservation Service (NRCS). Previous AGI provisions distinguished between farm and nonfarm AGI.

Payment Limitations.—The total amount of payments received, directly and indirectly, by a person or legal entity (except joint ventures or general partnerships) for Price Loss Coverage and Agriculture Risk Coverage (other than for peanuts), may not exceed \$125,000 per crop year. A person or legal entity that receives payments for peanuts has a separate \$125,000 payment limitation. For the Supplemental Disaster Programs, a payment limit of \$125,000 applies to payments under the Livestock Forage Disaster Program (LFP). The 2018 Farm Bill eliminated the payment limit for Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (ELAP), and the Bipartisan Budget Act of 2018 eliminated the payment limits for Livestock Indemnity Program (LIP) and the Tree Assistance Program (TAP).

Marketing Assistance Loans (MALs) and Sugar Loans.—The 2018 Farm Bill extends the authority for sugar loans for the 2019 through 2023 crop years and nonrecourse marketing assistance loans (MALs) and loan deficiency payment (LDPs) for the 2019–2023 crops of wheat, corn, grain sorghum, barley, oats, upland cotton, extra-long staple cotton (eligible for loans only), long grain rice, medium grain rice, soybeans, other oilseeds (including sunflower seed, rapeseed, canola, safflower, flaxseed, mustard seed, crambe and sesame seed), dry peas, lentils, small chickpeas, large chickpeas, graded and nongraded wool, mohair, honey, unshorn pelts, and peanuts. Availability of loans for some commodities may be affected by appropriations language. The Consolidated Appropriations Act, 2016 (Public Law 114–113) amended the Federal Agriculture Improvement and Reform Act of 1996, allowing producers to receive certificates in lieu of marketing loan gains or loan deficiency payments starting with the 2015 crop marketing year.

DAIRY PROGRAMS

Dairy Margin Coverage.—The 2018 Farm Bill authorized the Dairy Margin Coverage (DMC) program, which is a voluntary risk management program for dairy producers. The program provides payments to dairy producers when the difference between the all milk price and the average feed price (the margin) falls below a margin selected by the producer. Catastrophic coverage is available at no cost to the producers, other than an annual \$100 administrative fee; and various levels of buy-up coverage that farmers may choose by paying premiums covering the dairy operation's production history, ranging from 5 percent to 95 percent of production.

Dairy Indemnity Payment Program (DIPP).—The program provides payments to dairy producers when a public regulatory agency directs them to remove their raw milk from the commercial market because it has been contaminated by pesticides and other residues.

PROGRAMS FOR BIOENERGY AND NON-COMMODITY CROPS

Noninsured Crop Disaster Assistance Program (NAP).—NAP provides coverage, similar to buy-up provisions offered under the Federal crop insurance program. Producers may elect coverage for each individual crop between 50 and 65 percent of production, in 5 percent increments, at 100 percent of the average market price. Producers also pay a fixed premium equal to 5.25 percent of the liability. The waiver of service fees has been expanded from just limited resource farmers to also include beginning farmers and socially disadvantaged farmers. The premiums for buy-up coverage are reduced by 50 percent for those same farmers.

Biomass Crop Assistance Program (BCAP).—BCAP provides incentives to farmers, ranchers and forest landowners to establish, cultivate and harvest eligible biomass for heat, power, bio-based products, research and advanced biofuels. Crop producers and bioenergy facilities can team together to

COMMODITY CREDIT CORPORATION FUND—Continued

submit proposals to USDA for selection as a BCAP project area. The 2018 Farm Bill did provide an authorization to spend up to \$25 million annually through FY 2023 but changed the funding source from CCC mandatory funds to discretionary funds subject to annual appropriation.

Feedstock Flexibility Program (FFP).—FFP is continued through fiscal year 2023. Congress authorized the FFP in the 2008 Farm Bill, allowing for the purchase of sugar to be sold for the production of bioenergy in order to avoid forfeitures of sugar loan collateral under the Sugar Program.

DISASTER PROGRAMS

The following four disaster programs were authorized by the 2008 Farm Bill under the USDA Supplemental Disaster Assistance Program. These programs were permanently re-authorized under CCC in the 2014 Farm Bill and modified in the 2018 Farm Bill.

Livestock Forage Disaster Program (LFP).—LFP provides compensation to eligible livestock producers that have suffered grazing losses due to drought or fire on land that is native or improved pastureland with permanent vegetative cover or that is planted specifically for grazing. LFP payments for drought are equal to 60 percent of the monthly feed cost for up to 5 months, depending upon the severity of the drought. LFP payments for fire on federally managed rangeland are equal to 50 percent of the monthly feed cost for the number of days the producer is prohibited from grazing the managed rangeland, not to exceed 180 calendar days.

Livestock Indemnity Program (LIP).—LIP provides benefits to livestock producers for livestock deaths in excess of normal mortality caused by adverse weather or by attacks by animals reintroduced into the wild by the Federal Government. LIP payments are equal to 75 percent of the average fair market value of the livestock. The BBA removed the payment limit for LIP and added provisions to provide benefits for the sale of animals at a reduced price if the sale occurred due to injury that was a direct result of an eligible adverse weather event or due to an attack by an animal reintroduced into the wild.

Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP).—ELAP provides emergency assistance to eligible producers of livestock, honeybees and farm-raised fish for losses due to disease (including cattle tick fever), adverse weather, or other conditions, such as blizzards and wildfires, not covered by LFP and LIP. The BBA removed the annual funding limitation of \$20 million per program year and clarified which losses are eligible for assistance. The 2018 Farm Bill eliminated the payment limit for ELAP.

Tree Assistance Program (TAP).—TAP provides financial assistance to qualifying orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes, and vines damaged by natural disasters. The BBA removed the payment limitation for TAP and increased the number of acres for which a producer can receive payment from 500 to 1,000 acres per year. In addition, the BBA expanded coverage under TAP by providing \$15 million for 2017 pecan tree losses for growers who suffered a pecan mortality loss that exceeds 7.5 percent (rather than a mortality loss that exceeds 15 percent) due to an eligible natural disaster.

FOREIGN ASSISTANCE PROGRAMS

Market Access Program (MAP).—Under the MAP, CCC Funds are used to reimburse participating organizations for a portion of the costs of carrying out overseas marketing and promotional activities. The 2018 Farm Bill continues the authority for the MAP program with annual funding of \$200 million for 2018–2023.

Foreign Market Development Cooperator Program (FMD) and Quality Samples Program.—Under the FMD program, cost-share assistance is provided to nonprofit commodity and agricultural trade associations to support overseas market development activities that are designed to remove long-term impediments to increased U.S. trade. CCC will fund the Quality Samples Program at an authorized annual level of \$2.5 million. Under this initiative, samples of U.S. agricultural products will be provided to foreign

importers to promote a better understanding and appreciation for the high quality of U.S. products.

Technical Assistance for Specialty Crops and Emerging Markets.—Technical Assistance for Specialty Crops and Emerging Markets were both extended through 2023 in the 2018 Farm Bill.

The Bill Emerson Humanitarian Trust.—The Bill Emerson Humanitarian Trust (BEHT) is a commodity and/or monetary reserve designed to ensure that the United States can meet its international food aid commitments. Assets of the Trust can be released any time the Administrator of the U.S. Agency for International Development determines that PL 480 Title II is inadequate to meet those needs in any fiscal year. When a release from the Trust is authorized, the Trust's assets cover all commodity costs associated with the release. All non-commodity costs, including ocean freight charges; internal transportation, handling, and storage overseas; and certain administrative costs are paid by CCC. The 2018 Farm Bill extends the authorization to replenish the BEHT through 2023.

CONSERVATION PROGRAMS

Conservation Reserve Program (CRP).—The 2018 Farm Bill extended and modified the authorization of CRP through FY 2023. It limits the practice incentive payments to the actual cost of practice implementation and lowers the CRP soil rental payments to 85 percent of the rental rate for general program enrollment and 90 percent for continuous program enrollment. The acreage cap is increased from 24 million acres to 27 million acres by FY 2023. The 2018 Farm Bill also authorized up to \$12 million in incentive payments for tree thinning and related activities.

Transition Incentive Program (TIP).—The 2018 Farm Bill extended TIP through FY 2023. It authorized up to \$50 million to encourage the transition of expiring CRP land to a beginning, socially disadvantaged, or veteran farmer or rancher so land can be returned to sustainable grazing or crop production.

OPERATING EXPENSES

The Corporation carries out its functions through utilization of employees and facilities of other Government agencies. Administrative expenses are incurred by: the Farm Service Agency (FSA); the Foreign Agricultural Service; the Natural Resources Conservation Service; other agencies of the Department engaged in the Corporation's activities; and the Office of Inspector General for audit functions. The table below summarizes some of the administrative expenses funded through the Corporation. These funds are in addition to discretionary appropriations for these agencies.

CCC Funding Used for Administrative Expenses

Program or Funding Category	(Outlays in thousands of dollars)		
	2018 Actual	2019 Actual	2020 Estimate
Emerging Markets Program (transferred for FAS)	2,160	746	912
Technical Assistance for Specialty Crops (transferred to AMS)	1,096	803	1,021
Foreign Market Development Cooperator Program (transferred to FAS)	1,327	1,160	1,243
Food for Progress (transferred to FAS)	5,926	2,331	5,665
Market Access Program (transferred to FAS)	5,533	4,788	5,274
Pima Cotton Trust (transferred to FSA)	54	0	127
Wool Apparel Manufacturers Trust (transferred to FSA)	53	0	127
CCC Section 4 authority (transferred to multiple agencies)	10,987	11,482	11,482
CCC Section 11 authority (transferred to multiple agencies)	50,816	54,596	54,596

Expenses are incurred for acquisition, operation, maintenance, improvement, or disposition of existing property that the Corporation owns or in which it has an interest. These expenses are treated as program expenses. Such program expenses include inspection, classing, and grading work performed on a fee basis by Federal employees or Federal- or State-licensed inspectors; and special services performed by Federal agencies within and outside this Department. Most of these general expenses, including storage and handling, transportation, inspection, classing and grading, and producer storage payments, are included in program costs. They are shown in the program and financing schedule in the entries entitled "Storage, transportation, and other obligations not included above."

Section 161 of the 1996 Act amended Section 11 of the CCC Charter Act to limit the use of CCC funds for the transfer and allotment of funds to

State and Federal agencies. The Section 11 cap of \$56 million remains in 2020 and 2021.

The Corporation receives reimbursement for grain requisitioned pursuant to Public Law 87-152 by the States from Corporation stocks to feed resident wildlife threatened with starvation through the appropriation reimbursement for net realized losses. There have been no requisitions in recent years, however. The Corporation receives reimbursement for the commodity costs and other costs, including administrative costs, for commodities supplied to domestic nutrition programs and international food aid programs.

FINANCING

Appropriations.—Reimbursement for Net Realized Losses. Under Section 2 of Public Law 87-155, the Act of August 17 1961 (15 U.S.C. 713a 11), annual appropriations are authorized for each fiscal year, commencing with 1961, to reimburse the Corporation for net realized losses. The Omnibus Budget Reconciliation Act of 1987 amended Public Law 87-155 to authorize that the Corporation is reimbursed for its net realized losses by means of a current, indefinite appropriation as provided in annual appropriations acts. Appropriations to the Corporation for net realized losses have no effect on budget authority, as they are used to repay debt directly with the Treasury.

Borrowing authority.—The Corporation has an authorized capital stock of \$100 million held by the U.S. Treasury and, effective in 1988, authority to have outstanding borrowings up to \$30 billion at any one time. Funds are borrowed from the Treasury and may also be borrowed from private lending agencies and others. The Corporation reserves a sufficient amount of its borrowing authority to purchase at any time all notes and other obligations evidencing loans made to the Corporation by such agencies and others. All bonds, notes, debentures, and similar obligations issued by the Corporation are subject to approval by the Secretary of the Treasury as required by the Act of March 8, 1938.

Interest on borrowings from the Treasury (and on capital stock) is paid at a rate based upon the average interest rate of all outstanding marketable obligations (of comparable maturity date) of the United States as of the preceding month. Interest is also paid on other notes and obligations at a rate prescribed by the Corporation and approved by the Secretary of the Treasury. The Department of Agriculture and Related Agencies Appropriation Act, 1966, made provision for terminating interest after June 30, 1964 on the portion of the Corporation's borrowings from the Treasury equal to the unreimbursed realized losses recorded on the books of the Corporation after the end of the fiscal year in which such losses are realized.

Non-Expenditure Transfers.—The Commodity Credit Corporation transfers CCC funds to several agencies responsible for administering Farm Bill and other Corporation programs. Once transferred, the expenses are recorded in the receiving agencies accounts.

Object Classification (in millions of dollars)

Identification code 012-4336-0-3-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
22.0 Transportation of things	38	38	40
33.0 Investments and loans	7,616	10,099	10,311
41.0 Grants, subsidies, and contributions	19,820	28,343	15,121
99.0 Direct obligations	27,474	38,480	25,472
41.0 Reimbursable obligations: Grants, subsidies, and contributions	1		
99.0 Reimbursable obligations	1		
99.9 Total new obligations, unexpired accounts	27,475	38,480	25,472

COMMODITY CREDIT CORPORATION FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-4336-4-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0008 Eco. Adjust. Assist. for Textile Mills (Upland Cotton)			-43

0010 Livestock Forage Program	-480
0026 Conservation Reserve Program Financial Assistance	-58
0032 Food for Progress	-166
0052 AGI Limitation	-63
0053 Add LDPs and MLGs to \$125K ARC/PLC Limit	-35
0054 Limit One Manager to Farm	-70
0055 Limitation on Peanut Payments	-44
0192 Total support and related programs	-959
0799 Total direct obligations	-959
0900 Total new obligations, unexpired accounts (object class 41.0)	-959

Budgetary resources:

Budget authority:	
Appropriations, mandatory:	
1220 Appropriations transferred to other accts [012-1908]	50
1220 Appropriations transferred to other acct [012-1004]	790
1220 Appropriations transferred to other accts [012-5635]	16
1220 Appropriations transferred to other accts [012-5636]	30
1260 Appropriations, mandatory (total)	886
Borrowing authority, mandatory:	
1400 Borrowing authority	-959
1900 Budget authority (total)	-73
1930 Total budgetary resources available	-73
Memorandum (non-add) entries:	
1941 Unexpired unobligated balance, end of year	886

Change in obligated balance:

Unpaid obligations:	
3010 New obligations, unexpired accounts	-959
3020 Outlays (gross)	959

Budget authority and outlays, net:

Mandatory:	
4090 Budget authority, gross	-73
Outlays, gross:	
4100 Outlays from new mandatory authority	-959
4180 Budget authority, net (total)	-73
4190 Outlays, net (total)	-959

The 2021 President's Budget targets commodity assistance, crop insurance subsidies, and conservation program assistance to producers that have an Adjusted Gross Income (AGI) of \$500,000 or less. Accordingly, such financial assistance will be targeted to producers that may lack the financial resources to recover from natural disasters and/or drops in revenue. Additionally, it closes payment limit loopholes by: including marketing loan gains in the \$125,000 payment limit; eliminating commodity certificates; eliminating the separate payment limit for peanut producers; and limiting eligibility for commodity subsidies to one manager per farm. It eliminates programs that are duplicative or are not fundamentally governmental in nature such as the Economic Adjustment Assistance for Textile Mills, Food for Progress and the Livestock Forage Disaster Program. Also, the Budget changes the focus of the Conservation Reserve Program to preserve sensitive agricultural corridors, rather than temporarily removing large tracts of land from production, to reform the program's use of distortionary signing and incentive payments, and limit annual rental rates to 80 percent of the National Agricultural Statistical Service (NASS) county rental rates. Lastly, it would eliminate the ability for producers to collect assistance from both the mandatory disaster programs and Federally subsidized crop insurance for the same loss.

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the Commodity Credit Corporation's Export Guarantee Program, GSM 102 and GSM 103, \$6,381,000, to cover common overhead expenses as permitted by section 11 of the Commodity Credit Corporation Charter Act and in conformity with the Federal Credit Reform Act of 1990, of which \$6,063,000 shall be transferred to and merged with the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which \$318,000 shall be transferred to and merged with the appropriation for "Farm Production and Conservation Business Center [Service Agency], Salaries and Expenses". (Agriculture,

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE PROGRAM
ACCOUNT—Continued

Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1336-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0702 Loan guarantee subsidy	1	4	2
0707 Reestimates of loan guarantee subsidy		1	
0709 Administrative expenses	9	6	6
0900 Total new obligations, unexpired accounts	10	11	8
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	10	
1037 Unobligated balance of appropriations withdrawn		-10	
1050 Unobligated balance (total)	6		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	6	6
Appropriations, mandatory:			
1200 Appropriation	5	5	2
1900 Budget authority (total)	14	11	8
1930 Total budgetary resources available	20	11	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	6
3010 New obligations, unexpired accounts	10	11	8
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-9	-11	-12
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	6	6	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	6
3200 Obligated balance, end of year	6	6	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority	6	6	6
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	7	6	6
Mandatory:			
4090 Budget authority, gross	5	5	2
Outlays, gross:			
4100 Outlays from new mandatory authority	1	4	2
4101 Outlays from mandatory balances	1	1	4
4110 Outlays, gross (total)	2	5	6
4180 Budget authority, net (total)	14	11	8
4190 Outlays, net (total)	9	11	12

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1336-0-1-351	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 GSM 102	2,024	5,000	5,000
215003 Export Guarantee Program—Facilities		500	500
215999 Total loan guarantee levels	2,024	5,500	5,500
Guaranteed loan subsidy (in percent):			
232001 GSM 102	-22	-21	-24
232003 Export Guarantee Program—Facilities		-2.17	-1.98
232999 Weighted average subsidy rate	-22	-39	-40
Guaranteed loan subsidy budget authority:			
233001 GSM 102	-5	-11	-12
233003 Export Guarantee Program—Facilities		-11	-10
233999 Total subsidy budget authority	-5	-22	-22
Guaranteed loan subsidy outlays:			
234001 GSM 102	-4	-2	-3
234999 Total subsidy outlays	-4	-2	-3

Guaranteed loan reestimates:			
235001 GSM 102	-8	-10	
235002 Supplier Credit	-8	-1	
235999 Total guaranteed loan reestimates	-16	-11	

Administrative expense data:			
3510 Budget authority	9	6	6
3590 Outlays from new authority	5	6	6

This is the program account for the GSM-102 CCC Export Credit Guarantee Program. The GSM-102 Export Credit Guarantee Program covers credit terms of up to 18 months. Under this program, CCC does not provide financing, but guarantees payments due from foreign banks and buyers. Because payment is guaranteed, financial institutions in the United States can offer competitive credit terms to foreign banks, usually with interest rates based on the London Inter-Bank Offered Rate (LIBOR). If the foreign bank fails to make any payment as agreed, the exporter or assignee must submit a notice of default to the CCC. A claim for loss must be filed, and the CCC will promptly pay claims found to be in good order. CCC usually guarantees 98 percent of the principal payment due and interest based on a percentage of the one-year Treasury rate.

A portion of the GSM-102 guarantees is also made available as Facilities Guarantees. Under this activity, CCC guarantees export financing for capital goods and services to improve handling, marketing, processing, storage, or distribution of imported agricultural commodities and products.

The subsidy estimates for the GSM-102 program are determined in large part by the obligor's sovereign or non-sovereign country risk grade. These grades are developed annually by the International Credit Risk Assessment System Committee (ICRAS). In unusual circumstances, an ICRAS grade for a country may change during the fiscal year. The default estimates for GSM-102 guarantees still use the ICRAS grades, but are now based on programmatic experience and country-specific assumptions rather than the government-wide risk premia used previously.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the credit guarantees committed in 1992 and beyond (including modifications of credit guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. The 2020 Budget displays the GSM loan guarantee volume, the subsidy level that can be justified by forecast economic conditions, and the expected supply/demand conditions of countries requesting GSM loan guarantees. The 2021 Budget includes \$6.4 million for administrative expenses.

Object Classification (in millions of dollars)

Identification code 012-1336-0-1-351	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	9	6	6
41.0 Grants, subsidies, and contributions	1	5	2
99.9 Total new obligations, unexpired accounts	10	11	8

COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4337-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal		13	13
0713 Payment of interest to Treasury	12	12	14
0715 Pro Rate Share of Claims paid to banks	1	3	2
0740 Negative subsidy obligations	5	26	24
0742 Downward reestimates paid to receipt accounts	14	10	
0743 Interest on downward reestimates	2	2	

0900	Total new obligations, unexpired accounts	34	66	53
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	21	16	51
1023	Unobligated balances applied to repay debt	-3	-16	-20
1050	Unobligated balance (total)	18	31
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	5	66	66
Spending authority from offsetting collections, mandatory:				
1800	Collected	48	96	86
1825	Spending authority from offsetting collections applied to repay debt	-21	-45	-43
1850	Spending auth from offsetting collections, mand (total)	27	51	43
1900	Budget authority (total)	32	117	109
1930	Total budgetary resources available	50	117	140
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	16	51	87

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	13
3010	New obligations, unexpired accounts	34	66	53
3020	Outlays (gross)	-35	-53	-35
3050	Unpaid obligations, end of year	13	31
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-1	12
3200	Obligated balance, end of year	-1	12	30

Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	32	117	109
Financing disbursements:				
4110	Outlays, gross (total)	35	53	35
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Payments from Program Account Upward Reestimate	-1
4120	Payments from Program Account Positive Subsidy	-2	-3	-4
4122	Interest on uninvested funds	-1	-1	-1
4123	Loan origination fee	-9	-45	-41
4123	Recoveries of Principal	-23	-36	-31
4123	Recoveries of Interest	-13	-10	-9
4130	Offsets against gross budget authority and outlays (total)	-48	-96	-86
4160	Budget authority, net (mandatory)	-16	21	23
4170	Outlays, net (mandatory)	-13	-43	-51
4180	Budget authority, net (total)	-16	21	23
4190	Outlays, net (total)	-13	-43	-51

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4337-0-3-351	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority	2,024	5,500	5,500
2150	Total guaranteed loan commitments	2,024	5,500	5,500
2199	Guaranteed amount of guaranteed loan commitments	1,944	5,325	5,325
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1,881	1,901	2,185
2231	Disbursements of new guaranteed loans	1,981	5,500	5,500
2251	Repayments and prepayments	-1,961	-5,202	-5,202
2263	Adjustments: Terminations for default that result in claim payments	-14	-14
2290	Outstanding, end of year	1,901	2,185	2,469
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,863	2,141	2,419
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	440	417	379
2351	Repayments of loans receivable	-23	-38	-38

2390	Outstanding, end of year	417	379	341
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Balance Sheet (in millions of dollars)

Identification code 012-4337-0-3-351	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	20	15
1101	Accounts Receivable, net	1
Investments in U.S. securities:			
1106	Receivables, net	2
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	440	417
1502	Interest receivable	10	12
1505	Allowance for subsidy cost (-)	-220	-219
1599	Net present value of assets related to defaulted guaranteed loans	230	210
1999	Total assets	252	226
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable
2103	Debt	220	200
2104	Resources payable to Treasury
2105	Other	24	19
Non-Federal liabilities:			
2201	Accounts payable	1	1
2204	Liabilities for loan guarantees	4	3
2207	Other	3
2999	Total liabilities	252	223
NET POSITION:			
3300	Cumulative results of operations	3
4999	Total liabilities and net position	252	226

COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4338-0-3-351	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	9	9	9
3020	Outlays (gross)	-2
3050	Unpaid obligations, end of year	9	9	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	9	9	9
3200	Obligated balance, end of year	9	9	7

Budget authority and outlays, net:

Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	2
4180	Budget authority, net (total)
4190	Outlays, net (total)	2

Balance Sheet (in millions of dollars)

Identification code 012-4338-0-3-351	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Federal assets: Fund balances with Treasury	9	9
1701	Defaulted guaranteed loans, gross
1702	Interest receivable
1703	Allowance for estimated uncollectible loans and interest (-)
1799	Value of assets related to loan guarantees
1999	Total assets	9	9
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable
2104	Resources payable to Treasury
Non-Federal liabilities:			
2201	Accounts payable	9	9
2207	Other
2999	Total liabilities	9	9

COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING
ACCOUNT—Continued
Balance Sheet—Continued

Identification code 012-4338-0-3-351	2018 actual	2019 actual
4999 Total liabilities and net position	9	9

FARM STORAGE FACILITY LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-3301-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	24	18
0706 Interest on reestimates of direct loan subsidy	3	2
0900 Total new obligations, unexpired accounts (object class 41.0)	27	20
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	27	20
1930 Total budgetary resources available	27	20
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	27	20
3020 Outlays (gross)	-27	-20
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	27	20
Outlays, gross:			
4100 Outlays from new mandatory authority	27	20
4180 Budget authority, net (total)	27	20
4190 Outlays, net (total)	27	20

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3301-0-1-351	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Storage Facility Loans	236	300	300
115002 Sugar Storage Facility Loans	9	9	9
115999 Total direct loan levels	236	309	309
Direct loan subsidy (in percent):			
132001 Farm Storage Facility Loans	-52	-23	-85
132002 Sugar Storage Facility Loans	-38	-2.05	-2.05
132999 Weighted average subsidy rate	-52	-23	-88
Direct loan subsidy budget authority:			
133001 Farm Storage Facility Loans	-2	-1	-3
133999 Total subsidy budget authority	-2	-1	-3
Direct loan subsidy outlays:			
134001 Farm Storage Facility Loans	-4	-4	-4
134999 Total subsidy outlays	-4	-4	-4
Direct loan reestimates:			
135001 Farm Storage Facility Loans	25	19
135999 Total direct loan reestimates	25	19

Farm Storage Facility Loan (FSFL) Program.—The FSFL program was established by the Commodity Credit Corporation (CCC) in 1949 to offer low-cost financing to producers for the construction or upgrade of on-farm storage facilities—the program was discontinued in the early 1980s when studies showed sufficient storage space was available. The FSFL was re-established in 2000 due to a severe shortage of available storage. The program was implemented in 2000 by CCC under Section 504(c) of the Federal Credit Reform Act of 1990. The Agriculture Improvement Act of 2018 (the 2018 Farm Bill) continues the authority for this program. The program now provides producers financing with seven, ten, or twelve-year repayment terms and low interest rates. The program also offers a micro-loan option for loans under \$50,000 with three, five, or seven year repayment terms.

The program gives producers greater marketing flexibility when farm storage is limited and/or transportation difficulties cause storage problems, allows farmers to benefit from new marketing and technological advances, and maximizes their returns through identity-preserved marketing.

Sugar Storage Facility Loans.—The 2002 Farm Bill, as amended by the 2008 Farm Bill and extended through the 2018 Farm Bill, directs that CCC establish a sugar storage facility loan program to provide financing for processors of domestically produced sugarcane and sugar beets to construct or upgrade storage and handling facilities for raw sugars and refined sugars. The loan term is a minimum of seven years with the amount and terms being determined as any other commercial loan.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, and the administrative expenses are estimated on a cash basis.

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4158-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	236	309	309
0713 Payment of interest to Treasury	25	22	22
0740 Negative subsidy obligations	1	1	3
0742 Downward reestimates paid to receipt accounts	1
0900 Total new obligations, unexpired accounts	263	332	334
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	63	51	30
1021 Recoveries of prior year unpaid obligations	8
1023 Unobligated balances applied to repay debt	-62	-51	-30
1050 Unobligated balance (total)	9
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	273	332	334
1422 Borrowing authority applied to repay debt	-14
1440 Borrowing authority, mandatory (total)	259	332	334
Spending authority from offsetting collections, mandatory:			
1800 Payments from program account (Upward Reestimate)	27	20
1800 Principal repayments	162	163	177
1800 Interest repayments	18	19	23
1800 Interest on Uninvested Funds	10	9	9
1800 Fees and Other Collections	1	1
1825 Spending authority from offsetting collections applied to repay debt	-171	-182	-200
1850 Spending auth from offsetting collections, mand (total)	46	30	10
1900 Budget authority (total)	305	362	344
1930 Total budgetary resources available	314	362	344
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	51	30	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	190	197	252
3010 New obligations, unexpired accounts	263	332	334
3020 Outlays (gross)	-248	-277	-332
3040 Recoveries of prior year unpaid obligations, unexpired	-8
3050 Unpaid obligations, end of year	197	252	254
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	190	197	252
3200 Obligated balance, end of year	197	252	254
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	305	362	344
Financing disbursements:			
4110 Outlays, gross (total)	248	277	332
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account Upward Reestimate	-27	-20

4122	Interest on uninvested funds	-10	-9	-9
4123	Principal collections	-162	-163	-177
4123	Interest collections	-18	-19	-23
4123	Fees and Other Collections		-1	-1
4130	Offsets against gross budget authority and outlays (total)	-217	-212	-210
4160	Budget authority, net (mandatory)	88	150	134
4170	Outlays, net (mandatory)	31	65	122
4180	Budget authority, net (total)	88	150	134
4190	Outlays, net (total)	31	65	122

Status of Direct Loans (in millions of dollars)

Identification code 012-4158-0-3-351		2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	236	309	309
1150	Total direct loan obligations	236	309	309
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	792	843	934
1231	Disbursements: Direct loan disbursements	248	254	309
1251	Repayments: Repayments and prepayments	-162	-163	-177
1264	Other adjustments, net (+ or -)	-35		
1290	Outstanding, end of year	843	934	1,066

Balance Sheet (in millions of dollars)

Identification code 012-4158-0-3-351		2018 actual	2019 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	253	247
Investments in U.S. securities:			
1106	Receivables, net	26	19
1206	Non-Federal assets: Receivables, net	5	8
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	792	843
1402	Interest receivable	10	12
1405	Allowance for subsidy cost (-)	-41	-61
1499	Net present value of assets related to direct loans	761	794
1801	Other Federal assets: Cash and other monetary assets	2	2
1999	Total assets	1,047	1,070
LIABILITIES:			
Federal liabilities:			
2103	Debt payable to Treasury	1,041	1,067
2105	Other Federal Liabilities	1	
2201	Non-Federal liabilities: Accounts payable	5	3
2999	Total liabilities	1,047	1,070
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	1,047	1,070

APPLE LOANS PROGRAM ACCOUNT

The Agricultural Risk Protection Act of 2000 authorized up to \$5 million for the cost to provide loans to producers of apples for economic losses as the result of low prices. Although the program is funded through the Commodity Credit Corporation, program management is performed through farm loan programs. No further funding is requested for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis.

EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4221-0-3-351		2019 actual	2020 est.	2021 est.
Budgetary resources:				
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Principal repayments	1	2	1
1825	Spending authority from offsetting collections applied to repay debt	-1	-2	-1

Financing authority and disbursements, net:

Mandatory:				
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Principal repayments	-1	-2	-1
4180	Budget authority, net (total)	-1	-2	-1
4190	Outlays, net (total)	-1	-2	-1

Status of Direct Loans (in millions of dollars)

Identification code 012-4221-0-3-351		2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	4	3	1
1251	Repayments: Repayments and prepayments	-1	-2	-1
1290	Outstanding, end of year	3	1	

Balance Sheet (in millions of dollars)

Identification code 012-4221-0-3-351		2018 actual	2019 actual
ASSETS:			
Federal assets:			
Investments in U.S. securities:			
1106	Receivables, net	1	1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	4	3
1405	Allowance for subsidy cost (-)	-1	-1
1499	Net present value of assets related to direct loans	3	2
1999	Total assets	4	3
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable		
2103	Debt	4	3
2999	Total liabilities	4	3
4999	Total liabilities and net position	4	3

AGRICULTURAL DISASTER RELIEF FUND

Program and Financing (in millions of dollars)

Identification code 012-5531-0-2-351		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Agricultural Disaster Relief Fund (Direct)	1		
0900	Total new obligations, unexpired accounts (object class 41.0)	1		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	15	14	14
1930	Total budgetary resources available	15	14	14
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	14	14	14

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		1	1
3010	New obligations, unexpired accounts	1		
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		1	1
3200	Obligated balance, end of year	1	1	1
4180	Budget authority, net (total)			

AGRICULTURAL DISASTER RELIEF FUND—Continued
Program and Financing—Continued

Identification code 012-5531-0-2-351	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5080 Outstanding debt, SOY	-2,610	-2,610	-2,610
5081 Outstanding debt, EOY	-2,610	-2,610	-2,610

The Agricultural Disaster Relief Trust Fund, established under Section 902 of the Food, Conservation, and Energy Act of 2008, administered by USDA Farm Service Agency, used to execute payments to farmers and ranchers under the following five disaster assistance programs: Supplemental Revenue Assistance Payments (SURE) Program, Livestock Forage Disaster Program (LFP), Livestock Indemnity Program (LIP), Tree Assistance Program (TAP), and Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish (ELAP) Program. The Agricultural Act of 2014, the 2014 Farm Bill, extended all but SURE and shifted the funding authority for these disaster programs from the Agriculture Disaster Relief Trust Fund to the Commodity Credit Corporation. In FY 2019, the outlays are due to residual payments, corrections and/or appeals to obligations incurred during prior crop years. Obligations in 2020 may be still be required to make residual payments for disaster programs under the Disaster Trust authority.

PIMA AGRICULTURE COTTON TRUST FUND

Program and Financing (in millions of dollars)

Identification code 012-5635-0-2-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pima Cotton Agreements	15	15	16
0900 Total new obligations, unexpired accounts (object class 41.0)	15	15	16
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	16	16	16
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1260 Appropriations, mandatory (total)	15	15	16
1930 Total budgetary resources available	15	15	16
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	15	15	16
3020 Outlays (gross)	-15	-15	-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	15	15	16
Outlays, gross:			
4100 Outlays from new mandatory authority		15	16
4101 Outlays from mandatory balances	15		
4110 Outlays, gross (total)	15	15	16
4180 Budget authority, net (total)	15	15	16
4190 Outlays, net (total)	15	15	16

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	15	15	16
Outlays	15	15	16
Legislative proposal, subject to PAYGO:			
Budget Authority			-16
Outlays			-16
Total:			
Budget Authority	15	15	
Outlays	15	15	

The Pima Agriculture Cotton Trust Fund was authorized under Section 12314 of the Agricultural Act of 2014, the 2014 Farm Bill, to reduce the economic injury to domestic manufacturers resulting from tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric. Mandatory funding as established in the Farm Bill is \$16 million annually, to be transferred from funds of the Commodity Credit Corporation. Through the Agriculture Improvement Act of 2018, the 2018 Farm Bill, this program is extended through calendar year 2023.

PIMA AGRICULTURE COTTON TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-5635-4-2-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pima Cotton Agreements			-16
0900 Total new obligations, unexpired accounts (object class 41.0)			-16
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]			-16
1930 Total budgetary resources available			-16
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-16
3020 Outlays (gross)			16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-16
Outlays, gross:			
4100 Outlays from new mandatory authority			-16
4180 Budget authority, net (total)			-16
4190 Outlays, net (total)			-16

The Budget proposes to eliminate the Pima Cotton Trust fund. Other industries do not receive this type of assistance, and fewer than ten beneficiaries received fund payments in 2019.

AGRICULTURE WOOL APPAREL MANUFACTURERS TRUST FUND

Program and Financing (in millions of dollars)

Identification code 012-5636-0-2-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Wool Manufacturers Payments	28	35	38
0900 Total new obligations, unexpired accounts (object class 41.0)	28	35	38
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	16	9
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	30	30	30
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-2	
1260 Appropriations, mandatory (total)	28	28	30
1930 Total budgetary resources available	44	44	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	9	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	7
3010 New obligations, unexpired accounts	28	35	38
3020 Outlays (gross)	-27	-29	-31
3050 Unpaid obligations, end of year	1	7	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	7
3200 Obligated balance, end of year	1	7	14

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	28	28	30
Outlays, gross:				
4100	Outlays from new mandatory authority		28	30
4101	Outlays from mandatory balances	27	1	1
4110	Outlays, gross (total)	27	29	31
4180	Budget authority, net (total)	28	28	30
4190	Outlays, net (total)	27	29	31

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	28	28	30
Outlays	27	29	31
Legislative proposal, subject to PAYGO:			
Budget Authority			-30
Outlays			-30
Total:			
Budget Authority	28	28
Outlays	27	29	1

The Agriculture Wool Apparel Manufacturers Trust Fund was authorized under Section 12315 of the Agricultural Act of 2014, the 2014 Farm Bill, to reduce the economic injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric. Mandatory funding as established in the Farm Bill is the lesser of the amount the Secretary determines to be necessary to make payments in that year or \$30 million each year, to be transferred from funds of the Commodity Credit Corporation. Per the Agriculture Improvement Act of 2018, the 2018 Farm Bill, this program is extended through calendar year 2023.

AGRICULTURE WOOL APPAREL MANUFACTURERS TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-5636-4-2-351			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Wool Manufacturers Payments		-30
0900	Total new obligations, unexpired accounts (object class 41.0)		-30
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1221	Appropriations transferred from other acct [012-4336]		-30
1930	Total budgetary resources available		-30
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		-30
3020	Outlays (gross)		30
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		-30
Outlays, gross:			
4100	Outlays from new mandatory authority		-30
4180	Budget authority, net (total)		-30
4190	Outlays, net (total)		-30

The Budget proposes to eliminate the Wool Apparel Manufacturers Trust Fund. Other industries do not receive this type of assistance, and the program had only 61 beneficiaries in 2019.

Trust Funds

TOBACCO TRUST FUND

Program and Financing (in millions of dollars)

Identification code 012-8161-0-7-351			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Tobacco Buyout Cost Reimbursement to CCC	22
0900	Total new obligations, unexpired accounts (object class 41.0)	22
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	18
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	4
1900	Budget authority (total)	4
1930	Total budgetary resources available	22
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	22
3020	Outlays (gross)	-22
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	4
Outlays, gross:			
4101	Outlays from mandatory balances	22
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-4
4180	Budget authority, net (total)
4190	Outlays, net (total)	18

NATURAL RESOURCES CONSERVATION SERVICE

Federal Funds

PRIVATE LANDS CONSERVATION OPERATIONS

For necessary expenses for carrying out the provisions of the Act of April 27, 1935 (16 U.S.C. 590a-f), including preparation of conservation plans and establishment of measures to conserve soil and water (including farm irrigation and land drainage and such special measures for soil and water management as may be necessary to prevent floods and the siltation of reservoirs and to control agricultural related pollutants); operation of conservation plant materials centers; classification and mapping of soil; dissemination of information; acquisition of lands, water, and interests therein for use in the plant materials program by donation, exchange, or purchase at a nominal cost not to exceed \$100 pursuant to the Act of August 3, 1956 (7 U.S.C. 2268a); purchase and erection or alteration or improvement of permanent and temporary buildings; and operation and maintenance of aircraft, **[\$829,628,000]** **\$830,186,000**, to remain available until September 30, **[2021]** **2022: Provided**, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for construction and improvement of buildings and public improvements at plant materials centers, except that the cost of alterations and improvements to other buildings and other public improvements shall not exceed \$250,000: *Provided further*, That when buildings or other structures are erected on non-Federal land, that the right to use such land is obtained as provided in 7 U.S.C. 2250a: *Provided further*, That of the amounts made available under this heading, \$5,600,000, shall remain available until expended for the authorities under 16 U.S.C. 1001-1005 and 1007-1009 for authorized ongoing watershed projects with a primary purpose of providing water to rural communities: **]**

In addition, \$1,190,609,000, to be available for the same time period and for the same purposes as the appropriation from which transferred, shall be derived by transfer from the Farm Security and Rural Investment Program for technical assistance in support of conservation programs authorized by title XII of the Food Security Act of 1985, as amended (16 U.S.C. 3801-3862); section 524(b) of the Federal Crop Insurance Act, as amended (7 U.S.C. 1524(b)); and section 502 of the Healthy Forests Restoration Act of 2003, as amended (16 U.S.C. 6572): Provided, That, upon a determination that additional funding is necessary for technical assistance for the purposes provided herein, additional such amounts may be derived by transfer from the Farm Security and Rural Investment Program: Provided further, That any portion of the funding derived by transfer deemed not necessary for the purposes provided herein may be transferred to the Farm Security and Rural Invest-

PRIVATE LANDS CONSERVATION OPERATIONS—Continued

ment Program: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1000-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Conservation Technical Assistance	762	818	729
0002 Soil surveys	74	81	80
0003 Snow survey and water forecasting	8	11	11
0004 Plant materials centers	8	11	10
0005 Watershed Projects	5	6
0007 Technical Assistance from 12-1004	1,191
0799 Total direct obligations	857	927	2,021
0801 EPA Great Lakes - Reimbursable	5	5	5
0802 Reimbursable Agency Activity	8	8	8
0899 Total reimbursable obligations	13	13	13
0900 Total new obligations, unexpired accounts	870	940	2,034
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	151	108	11
1021 Recoveries of prior year unpaid obligations	9
1050 Unobligated balance (total)	160	108	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	819	830	830
1121 Appropriations transferred from other acct [012-1004]	1,191
1121 Appropriations transferred from other acct [012-0180]	4
1160 Appropriation, discretionary (total)	823	830	2,021
Spending authority from offsetting collections, discretionary:			
1700 Collected	14	13	13
1701 Change in uncollected payments, Federal sources	7
1750 Spending auth from offsetting collections, disc (total)	21	13	13
1900 Budget authority (total)	844	843	2,034
1930 Total budgetary resources available	1,004	951	2,045
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-26
1941 Unexpired unobligated balance, end of year	108	11	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	421	511	644
3010 New obligations, unexpired accounts	870	940	2,034
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-757	-807	-1,626
3040 Recoveries of prior year unpaid obligations, unexpired	-9
3041 Recoveries of prior year unpaid obligations, expired	-16
3050 Unpaid obligations, end of year	511	644	1,052
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-41	-43	-43
3070 Change in uncollected pymts, Fed sources, unexpired	-7
3071 Change in uncollected pymts, Fed sources, expired	5
3090 Uncollected pymts, Fed sources, end of year	-43	-43	-43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	380	468	601
3200 Obligated balance, end of year	468	601	1,009
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	844	843	2,034
Outlays, gross:			
4010 Outlays from new discretionary authority	465	508	1,258
4011 Outlays from discretionary balances	292	299	368
4020 Outlays, gross (total)	757	807	1,626
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-13	-13
4033 Non-Federal sources	-13
4040 Offsets against gross budget authority and outlays (total)	-17	-13	-13
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-7
4052 Offsetting collections credited to expired accounts	3

4060	Additional offsets against budget authority only (total)	-4
4070	Budget authority, net (discretionary)	823	830	2,021
4080	Outlays, net (discretionary)	740	794	1,613
4180	Budget authority, net (total)	823	830	2,021
4190	Outlays, net (total)	740	794	1,613

The Natural Resources Conservation Service (NRCS) supports the rural economy and helps private landowners and producers protect the natural resource base on private lands. NRCS provides technical assistance to farmers, ranchers and other private landowners to support the development of conservation plans that are designed to safeguard natural resources and improve wildlife habitat. These plans are often used as a spring board toward receiving financial assistance through mandatory Farm Bill conservation programs. NRCS provides additional science-based support for conservation efforts through soil surveys, snow survey and water supply forecasting, and plant materials centers. These activities are supported by appropriated funding, including funding requested in the Private Lands Conservation Operations account, and by mandatory funding in the Farm Security and Rural Investment account. NRCS comprises over 9,000 employees with a wide range of natural resource backgrounds, including soil and rangeland conservation, plant science, wildlife biology, forestry and engineering. Through this collective conservationist workforce, the Administration strives to protect the natural resource base on private lands. The 2021 Budget requests a total of \$830 million for Private Lands Conservation Operations. In addition, to provide a more accurate picture of the total staff resources necessary to implement voluntary conservation, the Budget displays mandatory technical assistance of \$1.19 billion in this account.

Technical assistance.—Through the Conservation Technical Assistance (CTA) Program, NRCS provides its customers and partners — agricultural producers, private landowners, conservation districts, Tribes, and other organizations — the knowledge and conservation tools they need to conserve, maintain, and improve our private-land natural resources. This assistance centers around individual and landscape-scale conservation plans that contain optimal strategies tailored to protect the resources on the land they manage. Actions described in the plans help land managers reduce erosion; protect water quality and quantity; improve air quality; enhance the quality of fish and wildlife habitat; improve long-term sustainability of all lands; and facilitate land use changes while protecting and sustaining our natural resources. The CTA Program also provides the science-based tools that support conservation planning.

MAIN WORKLOAD FACTORS

	2019 actual	2020 est.	2021 est.
Customers receiving technical assistance for planning & application, number	127,433	130,000	130,000
Conservation systems planned, million acres	31.4	33.7	33.7
Cropland with conservation applied to improve soil quality, million acres	5.6	5.8	5.8
Grazing land with conservation applied to protect the resource base, million acres	11.7	11.7	11.7

In addition to technical assistance for conservation planning provided through the CTA Program, NRCS also offers technical assistance for the design, implementation, and management of conservation practices through mandatory Farm Bill conservation programs under the Farm Security and Rural Investment Programs. This combined technical assistance funding provides for the salaries and expenses of conservation professionals, including NRCS's extensive field staff and a growing number of technical service providers and other cooperators who work with land managers in assessing and applying conservation strategies.

Soil surveys.—The primary focus of the Soil Survey Program is to provide current and consistent map interpretations and data sets of the soil resources of the United States. Managing soil as a strategic natural resource is key to the vitality of the Nation's economy. Scientists and policy makers use soil survey information to help evaluate the sustainability and environmental effects of land use and management practices. Soil surveys are used by planners, engineers, farmers, ranchers, developers, and home owners to evaluate soil suitability and make management decisions for farms, home

sites, subdivisions, commercial and industrial sites, and wildlife and recreational areas. NRCS is the lead Federal agency for the National Cooperative Soil Survey (NCSS), a partnership of Federal land management agencies, State agricultural experiment stations, private consultants, and State and local governments that works to cooperatively investigate, inventory, document, classify, interpret, disseminate, and publish information about soils. NRCS provides the scientific expertise to enable the NCSS to develop and maintain a uniform system for mapping and assessing soil resources.

MAIN WORKLOAD FACTORS

	2019 actual	2020 est.	2021 est.
Acres mapped annually (millions)	44.7	45	45

Snow survey and water supply forecasting.—The purpose of the program is to provide western States and Alaska with information on annual water supplies for decisions relating to agricultural production, fish and wildlife management, municipal and industrial water supply, urban development, flood control, recreation, hydroelectric power generation, and water quality management. NRCS field staff and cooperators collect and analyze data on snow depth, snow water equivalent, and other climate parameters at approximately 2,000 remote, high elevation data collection sites. The water supply forecasts are used by individual farmers and ranchers; water resource managers; Federal, State, and local government agencies; municipal and industrial water providers; hydroelectric power generation utilities; irrigation districts; fish and wildlife management agencies; reservoir project managers; recreationists; Tribal Nations; and the countries of Canada and Mexico.

Plant Material Centers (PMCs).—NRCS's network of 25 PMCs identify, evaluate, and demonstrate the performance of plants and plant technologies to help solve natural resource problems and improve the utilization of our nation's natural resources. PMCs continue to build on their long and successful history of releasing plants for resource conservation that have been instrumental at increasing the commercial availability of appropriate plant materials to the public. PMC activities contribute to reducing soil erosion; increasing cropland soil health and productivity; restoring wetlands, improving water quality, improving wildlife habitat (including pollinators); protecting streambank and riparian areas; stabilizing coastal dunes; producing forage; improving air quality; and addressing other conservation treatment needs.

The results of studies conducted by PMCs provide much of the basis for NRCS vegetative recommendations and conservation practices. The work ensures that NRCS conservation practices are scientifically-based, improves the knowledge of NRCS field staff through PMC-led training sessions and demonstrations, and develops recommendations to meet new and emerging natural resource issues. PMCs carry out their work cooperatively with State and Federal agencies, universities, Tribes, commercial businesses, and seed and nursery associations. PMC activities directly benefit private landowners as well as Federal and State land managing agencies.

The Farm Production and Conservation (FPAC) Business Center is a centralized operations office within the FPAC Mission Area responsible for financial management, budgeting, human resources, information technology, acquisitions/procurement, customer experience, internal controls, risk management, strategic and annual planning, and other similar activities for the FPAC Mission area and its component agencies, including the Farm Service Agency (FSA), the Natural Resources Conservation Service (NRCS), and the Risk Management Agency (RMA). This account includes a funding reduction that offsets, in part, the request for the FPAC Business Center. The funding requested for the FPAC Business Center will streamline the FPAC mission area. The estimate is based on 39 current staffing, and will consolidate the NRCS Geospatial Center of Excellence with the Existing FPAC Business Center Geospatial capabilities.

Object Classification (in millions of dollars)

Identification code 012-1000-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	268	277	275
11.1 Full-time permanent			361
11.3 Other than full-time permanent	2	2	2
11.3 Other than full-time permanent			2
11.5 Other personnel compensation	8	8	11
11.5 Other personnel compensation			6
11.9 Total personnel compensation	278	287	657
12.1 Civilian personnel benefits	116	119	123
12.1 Civilian personnel benefits			144
21.0 Travel and transportation of persons	14	16	13
21.0 Travel and transportation of persons			13
22.0 Transportation of things	3	4	3
22.0 Transportation of things			3
23.1 Rental payments to GSA	16	17	17
23.1 Rental payments to GSA			19
23.2 Rental payments to others	32	34	35
23.2 Rental payments to others			43
23.3 Communications, utilities, and miscellaneous charges	1	1	1
23.3 Communications, utilities, and miscellaneous charges			5
25.1 Advisory and assistance services			3
25.2 Other services from non-Federal sources	179	206	157
25.2 Other services from non-Federal sources			330
25.3 Other goods and services from Federal sources	1	1	1
25.3 Other goods and services from Federal sources			2
25.4 Operation and maintenance of facilities	164	185	149
25.4 Operation and maintenance of facilities			202
25.5 Research and development contracts	1		
25.5 Research and development contracts			3
25.7 Operation and maintenance of equipment	2	2	2
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials	7	8	6
26.0 Supplies and materials			8
31.0 Equipment	40	46	33
31.0 Equipment			45
32.0 Land and structures	2	2	2
99.0 Direct obligations	856	928	2,020
99.0 Reimbursable obligations	12	13	13
99.5 Adjustment for rounding	2	-1	1
99.9 Total new obligations, unexpired accounts	870	940	2,034

Employment Summary

Identification code 012-1000-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,558	3,558	3,519
1001 Direct civilian full-time equivalent employment			4,995
2001 Reimbursable civilian full-time equivalent employment	46	46	46

FARM SECURITY AND RURAL INVESTMENT PROGRAMS

Program and Financing (in millions of dollars)

Identification code 012-1004-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Wetlands Reserve Program	28	21	
0002 Environmental Quality Incentives Program	1,758	1,991	1,267
0004 Agricultural Water Enhancement Program		6	
0005 Wildlife Habitat Incentives Program	1	8	
0006 Farm and Ranch Lands Protection Program	10	30	19
0008 Grassland Reserve Program		35	
0009 Conservation Stewardship Program 2014	924	1,912	135
0010 Agricultural Management Assistance Program	4	5	4
0011 Chesapeake Bay Watershed Initiative	1	9	
0012 Healthy Forests Reserve Program		8	
0013 Conservation Reserve Program - Direct	78	123	
0014 Agricultural Conservation Easement Program	428	581	342
0015 Regional Conservation Partnership Program	49	228	322
0016 Voluntary Public Access and Habitat Incentive Program		14	13
0017 Mitigation Banking	1	1	
0018 Feral Swine Eradication and Control Pilot Program		10	11
0019 Conservation Stewardship Program - 2018	515	799	581
0020 Urban Agriculture and Innovative Production Program		5	
0021 Wetlands Mitigation Banking Program - Discretionary		5	
0799 Total direct obligations	3,797	5,791	2,694

FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued
Program and Financing—Continued

Identification code 012-1004-0-1-302	2019 actual	2020 est.	2021 est.
0802 Reimbursable EPA Great Lakes Environmental Quality Incentives Program	23	23	23
0899 Total reimbursable obligations	23	23	23
0900 Total new obligations, unexpired accounts	3,820	5,814	2,717
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,550	1,987	1,002
1021 Recoveries of prior year unpaid obligations	277		
1050 Unobligated balance (total)	1,827	1,987	1,002
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		10	
1120 Appropriations transferred to other acct [012-1000]			-1,191
1120 Appropriations transferred to other acct [012-0180]			-60
1160 Appropriation, discretionary (total)		10	-1,251
Appropriations, mandatory:			
1220 Appropriations transferred to other acct [012-0180]	-60	-60	
1221 Appropriations transferred from other acct [012-4336]	4,281	5,160	3,400
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-260	-304	
1260 Appropriations, mandatory (total)	3,961	4,796	3,400
Spending authority from offsetting collections, mandatory:			
1800 Offsetting Collections	10	23	
1801 Change in uncollected payments, Federal sources	9		
1850 Spending auth from offsetting collections, mand (total)	19	23	
1900 Budget authority (total)	3,980	4,829	2,149
1930 Total budgetary resources available	5,807	6,816	3,151
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,987	1,002	434
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,799	5,225	7,428
3010 New obligations, unexpired accounts	3,820	5,814	2,717
3020 Outlays (gross)	-3,095	-3,611	-3,762
3040 Recoveries of prior year unpaid obligations, unexpired	-277		
3041 Recoveries of prior year unpaid obligations, expired	-22		
3050 Unpaid obligations, end of year	5,225	7,428	6,383
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-58	-66	-66
3070 Change in uncollected pymts, Fed sources, unexpired	-9		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-66	-66	-66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,741	5,159	7,362
3200 Obligated balance, end of year	5,159	7,362	6,317
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		10	-1,251
Outlays, gross:			
4010 Outlays from new discretionary authority		3	-788
4011 Outlays from discretionary balances			2
4020 Outlays, gross (total)		3	-786
Mandatory:			
4090 Budget authority, gross	3,980	4,819	3,400
Outlays, gross:			
4100 Outlays from new mandatory authority	658	1,073	936
4101 Outlays from mandatory balances	2,437	2,535	3,612
4110 Outlays, gross (total)	3,095	3,608	4,548
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-10	-23	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-9		
4160 Budget authority, net (mandatory)	3,961	4,796	3,400
4170 Outlays, net (mandatory)	3,085	3,585	4,548
4180 Budget authority, net (total)	3,961	4,806	2,149
4190 Outlays, net (total)	3,085	3,588	3,762

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,961	4,806	2,149
Outlays	3,085	3,588	3,762
Legislative proposal, subject to PAYGO:			
Budget Authority			-790
Outlays			-157
Total:			
Budget Authority	3,961	4,806	1,359
Outlays	3,085	3,588	3,605

Title XII of the Food Security Act of 1985 provides mandatory funding for critical conservation efforts on private lands, including critical wetlands, grasslands, forests, and farm and ranch lands. For conservation programs where NRCS is the lead implementation agency, funds are transferred from the Commodity Credit Corporation (CCC) to the Farm Security and Rural Investment Programs account. This mandatory funding supports NRCS's efforts to protect the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservation plans, and by providing financial assistance to partially offset the cost to implement conservation measures necessary to safeguard natural resources and improve wildlife habitat and provide funding to acquire easements either directly, or through third parties.

The Agriculture Improvement Act of 2018 amended Title XII of the Food Security Act of 1985, reauthorizing some programs, and creating one new conservation program that is administered by NRCS. A number of conservation programs were extended in the 2021 Budget's baseline beyond 2023 based upon scorekeeping conventions.

In 2021, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. This account will continue to show the funding provided for the financial assistance costs necessary for delivering the following programs:

Environmental Quality Incentives Program (EQIP).—This program is authorized under Subchapter A of Chapter 4 of Subtitle D of Title XII of the Food Security Act of 1985, as amended. The Agriculture Improvement Act of 2018 reauthorizes the program through 2023, and the 2021 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The purpose of the program is to promote agricultural production and environmental quality as compatible national goals. EQIP promotes the voluntary application of land-based conservation practices and activities that maintain or improve the condition of the soil, water, plants, and air; conserve energy; and address other natural resource concerns. Eligible land includes cropland, rangeland, pastureland, private nonindustrial forestland, tribal land, and other farm or ranch lands. In 2021, the Budget proposes \$1.8 billion for this program.

Conservation Stewardship Program (CSP).—This program is authorized by Subchapter B of Chapter 4 of Subtitle D of title XII of the Food Security Act of 1985, as amended. The Agriculture Improvement Act of 2018 reauthorized the program through 2023, and the 2021 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The program encourages producers to address resource concerns in a comprehensive manner by undertaking additional conservation activities and improving, maintaining and managing existing conservation activities. The 2021 Budget proposes \$750 million for this program for new contracts, existing contracts, and reenrollments. The Budget also includes a proposal to eliminate the program.

Conservation Reserve Program (CRP) Technical Assistance.—CRP is authorized by Sections 1231-1235A of the Food Security Act of 1985, as amended, and is administered by the Farm Service Agency. NRCS supports the program by providing technical assistance to producers to implement conservation practices on CRP land. The Agriculture Improvement Act of

2018 reauthorized the program, and the 2021 Budget assumes \$95 million in technical assistance for NRCS support of CRP.

Agricultural Conservation Easement Program (ACEP).—ACEP consists of two components: 1) an agricultural land easement component under which NRCS assists eligible entities to protect agricultural land by limiting non-agricultural uses of that land through the purchase of agricultural land easements; and 2) a wetland reserve easement component under which NRCS provides financial and technical assistance directly to landowners to restore, protect and enhance wetlands through the purchase of wetlands reserve easements. The program is reauthorized through 2023 by the Agriculture Improvement Act of 2018 under Subtitle H of Title XII of the Food Security Act of 1985. The 2021 Budget assumes that the program extends beyond 2023 in the baseline for scorekeeping purposes. For 2021, the Budget includes the authorized level of funding for ACEP at \$450 million. The budget also includes a proposal to reduce the program by \$40 million per year.

Regional Conservation Partnership Program (RCPP).—RCPP promotes the implementation of conservation activities through agreements between NRCS and partners and through conservation program contracts and easements with producers and landowners. The program is reauthorized through 2023 by the Agriculture Improvement Act of 2018 under Subtitle I of Title XII of the Food Security Act of 1985. Through agreements between partners and conservation program contracts or easements directly with producers and landowners, RCPP helps implement conservation projects that may focus on water quality and quantity, soil erosion, wildlife habitat, drought mitigation, flood control, or other regional priorities. The 2021 Budget assumes that the program extends beyond 2023 in the baseline for scorekeeping purposes. The authorized level of funding for RCPP is \$300 million.

Voluntary Public Access and Habitat Incentive Program (VPA-HIP).—The program is authorized by Section 1240R of the Food Security Act of 1985, as amended by Section 2406 of the Agriculture Improvement Act of 2018. VPA-HIP provides \$50 million for obligations between 2019 through 2023 (this program was not extended in the baseline). VPA-HIP is a competitive grant program. Funding is limited to State and Tribal governments establishing new public access programs, expanding existing public access programs, and/or enhancing wildlife habitat on lands enrolled in public access programs.

Feral Swine Eradication and Control Pilot Program.—The program is authorized by Sections 2408 of the Agriculture Improvement Act of 2018. The program provides \$75 million for obligations between 2019 and 2023 (this program was not extended in the baseline), of which NRCS is to receive 50 percent. The program will be implemented by NRCS and the Animal Plant Health Inspection Service. The program will be used to respond to the threat feral swine pose to agriculture, native ecosystems, and human and animal health.

In addition to the programs authorized under the Food Security Act of 1985, NRCS implements the following conservation programs:

Agricultural Management Assistance Program (AMA).—This program is authorized by Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended. It authorizes \$10 million annually for the program, of which NRCS is to receive 50 percent. This program is implemented by NRCS, the Agricultural Marketing Service, and the Risk Management Agency. AMA activities are carried out in 16 States in which participation in the Federal Crop Insurance Program is historically low. The program provides assistance to producers to mitigate financial risk by using conservation to reduce soil erosion and improve water quality. The 2021 Budget proposes \$5 million for the program.

NRCS works to deliver conservation programs using its technical field staff and by partnering with public and private entities through the Technical Service Provider (TSP) system. NRCS can contract with TSPs to help deliver the Farm Bill programs, or agricultural producers may select TSPs to help plan and implement conservation practices on their operations.

The U.S. has made great strides in improving water quality; however, nonpoint source pollution remains a significant challenge that requires policy attention and thoughtful new approaches. In 2021, the Budget continues the agency's efforts to better coordinate conservation efforts among key Federal partners, along with agricultural producer organizations, conservation districts, States, Tribes, non-governmental organizations and other local leaders to identify areas where a focused and coordinated approach can achieve substantial improvements in water quality. The Budget builds upon the collaborative process already underway among Federal partners to demonstrate substantial improvements in water quality from conservation programs by ensuring that USDA's key investments through Farm Bill conservation programs and related efforts are appropriately leveraged by other Federal programs.

Finally, the Agricultural Act of 2014 repealed the Wetlands Reserve Program, Grasslands Reserve Program and the Farm and Ranch Land Protection Program and included the purposes of those programs in the ACEP referred to above. The Agricultural Act of 2014 also repealed the Agricultural Water Enhancement Program, Chesapeake Bay Watershed Program, Great Lakes Basin Program, and the Cooperative Conservation Partnership Initiative and included the purposes of those programs in RCPP referred to above. The Wildlife Habitat Incentives Program was also repealed, and its purposes are now included in EQIP.

The Farm Production and Conservation (FPAC) Business Center is a centralized operations office within the FPAC Mission Area responsible for financial management, budgeting, human resources, information technology, acquisitions/procurement, customer experience, internal controls, risk management, strategic and annual planning, and other similar activities for the FPAC Mission area and its component agencies, including NRCS, the Farm Service Agency (FSA), and the Risk Management Agency (RMA). This account includes a transfer of \$60,228,000 to offset funds associated with administration and oversight of mandatory conservation programs. The funding requested for the FPAC Business Center is an estimate based on current staffing in the FPAC agencies, including NRCS, FSA, and RMA, and the estimated costs in support of the Business Center.

Object Classification (in millions of dollars)

Identification code 012-1004-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	357	358
11.3 Other than full-time permanent	2	2
11.5 Other personnel compensation	6	7
Total personnel compensation	365	367
12.1 Civilian personnel benefits	141	145
21.0 Travel and transportation of persons	7	8
22.0 Transportation of things	1	2
23.1 Rental payments to GSA	17	18
23.2 Rental payments to others	39	41
23.3 Communications, utilities, and miscellaneous charges	3	4
24.0 Printing and reproduction	1
25.1 Advisory and assistance services	2	2	1
25.2 Other services from non-Federal sources	182	850
25.3 Other goods and services from Federal sources	2	2
25.4 Operation and maintenance of facilities	18	27
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	1	1
26.0 Supplies and materials	5	6
31.0 Equipment	26	30
32.0 Land and structures	235	338	212
41.0 Grants, subsidies, and contributions	2,751	3,947	2,479
99.0 Direct obligations	3,797	5,791	2,694
99.0 Reimbursable obligations	23	23	23
99.9 Total new obligations, unexpired accounts	3,820	5,814	2,717

Employment Summary

Identification code 012-1004-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,996	4,995
2001 Reimbursable civilian full-time equivalent employment	31	31	31

FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued
FARM SECURITY AND RURAL INVESTMENT PROGRAMS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-1004-4-1-302	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1221			-790
1900			-790
1930			-790
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			-790
Change in obligated balance:			
Unpaid obligations:			
3020			157
3050			157
Memorandum (non-add) entries:			
3200			157
Budget authority and outlays, net:			
Mandatory:			
4090			-790
Outlays, gross:			
4100			-157
4180			-790
4190			-157

The Budget proposes to eliminate the Conservation Stewardship Program and reduce the Agricultural Conservation Easement Program by \$40 million per year.

WATERSHED AND FLOOD PREVENTION OPERATIONS

For necessary expenses to carry out preventive measures, including but not limited to surveys and investigations, engineering operations, works of improvement, and changes in use of land, in accordance with the Watershed Protection and Flood Prevention Act (16 U.S.C. 1001-1005 and 1007-1009) and in accordance with the provisions of laws relating to the activities of the Department, \$175,000,000, to remain available until expended: *Provided*, That for funds provided by this Act or any other prior Act, the limitation regarding the size of the watershed or subwatershed exceeding two hundred and fifty thousand acres in which such activities can be undertaken shall only apply for activities undertaken for the primary purpose of flood prevention (including structural and land treatment measures): *Provided further*, That of the amounts made available under this heading, \$70,000,000 shall be allocated to projects and activities that can commence promptly following enactment; that address regional priorities for flood prevention, agricultural water management, inefficient irrigation systems, fish and wildlife habitat, or watershed protection; or that address authorized ongoing projects under the authorities of section 13 of the Flood Control Act of December 22, 1944 (Public Law 78-534) with a primary purpose of watershed protection by preventing floodwater damage and stabilizing stream channels, tributaries, and banks to reduce erosion and sediment transport. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1072-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003	314	674	
0004	25	352	
0005		70	
0006	18	93	
0007	49	47	50
0799	406	1,236	50
0802	17	17	17
0900	423	1,253	67
Budgetary resources:			
Unobligated balance:			
1000	791	1,050	22

1001	Discretionary unobligated balance brought fwd, Oct 1	791	1,050	
1021	Recoveries of prior year unpaid obligations	51		
1050	Unobligated balance (total)	842	1,050	22
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	585	175	
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [012-4336]	50	50	50
Spending authority from offsetting collections, discretionary:				
1700	Collected	3		
1701	Change in uncollected payments, Federal sources	-6		
1750	Spending auth from offsetting collections, disc (total)	-3		
1900	Budget authority (total)	632	225	50
1930	Total budgetary resources available	1,474	1,275	72
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	1,050	22	5

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	488	701	1,540
3010	New obligations, unexpired accounts	423	1,253	67
3020	Outlays (gross)	-159	-414	-543
3040	Recoveries of prior year unpaid obligations, unexpired	-51		
3050	Unpaid obligations, end of year	701	1,540	1,064
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-62	-56	-56
3070	Change in uncollected pymts, Fed sources, unexpired	6		
3090	Uncollected pymts, Fed sources, end of year	-56	-56	-56
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	426	645	1,484
3200	Obligated balance, end of year	645	1,484	1,008

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	582	175	
Outlays, gross:				
4010	Outlays from new discretionary authority		9	
4011	Outlays from discretionary balances	159	391	499
4020	Outlays, gross (total)	159	400	499
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2		
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-3		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	6		
4060	Additional offsets against budget authority only (total)	6		
4070	Budget authority, net (discretionary)	585	175	
4080	Outlays, net (discretionary)	156	400	499
Mandatory:				
4090	Budget authority, gross	50	50	50
Outlays, gross:				
4100	Outlays from new mandatory authority		2	2
4101	Outlays from mandatory balances		12	42
4110	Outlays, gross (total)		14	44
4180	Budget authority, net (total)	635	225	50
4190	Outlays, net (total)	156	414	543

NRCS watershed programs provide for cooperative actions between the Federal Government and States and their political subdivisions to reduce damage from floodwater, sediment, and erosion; for the conservation, development, utilization, and disposal of water; and for the conservation and proper utilization of land. Funds in Watershed and Flood Prevention Operations can be used for either flood prevention projects or flood damage reduction efforts, depending upon the needs and opportunities.

Emergency watershed protection program.—NRCS undertakes such emergency measures for runoff retardation and soil erosion prevention as may be needed to safeguard life and property from floods and the products of erosion on any watershed whenever natural elements or forces cause a sudden impairment of that watershed. Funding for the Emergency Watershed Protection Program is typically provided through emergency supplemental appropriations. The 2021 Budget does not request funding for this program.

Watershed operations authorized by Public Law 78-534.—NRCS cooperates with soil conservation districts and other local organizations in planning and installing flood prevention improvements in 11 watersheds authorized by the Flood Control Act of 1944. The Federal Government shares the cost of improvements for flood prevention, agricultural water management, recreation, and fish and wildlife development. The 2021 budget does not request funding for this program. NRCS is closing out watershed operations projects with unobligated balances from prior years.

Small watershed operations authorized by Public Law 83-566.—NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, flood prevention, agricultural water management, recreation, and fish and wildlife enhancement. NRCS is using unobligated balances from prior years to support watershed operations projects. The 2021 budget does not request funding for this program.

Watershed Protection and Flood Program.—Authorized by Section 2401 of the Agriculture Improvement Act of 2018, Public Law 115-334. NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, and flood prevention. The Agriculture Improvement Act of 2018 authorizes \$50 million per year for fiscal year 2019 to 2023.

Loans through the Agricultural Credit Insurance Fund have been made in previous years to the local sponsors in order to fund the local cost of Public Law 83-566 or 78-534 projects. No funding for these loans is assumed in 2021.

Object Classification (in millions of dollars)			
Identification code 012-1072-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	7	7
11.5 Other personnel compensation	1	1
11.9 Total personnel compensation	8	8
12.1 Civilian personnel benefits	2	3
21.0 Travel and transportation of persons	1	3
25.1 Advisory and assistance services	10	30
25.2 Other services from non-Federal sources	56	170	16
25.4 Operation and maintenance of facilities	6	19
31.0 Equipment	1
32.0 Land and structures	7	22
41.0 Grants, subsidies, and contributions	315	979	34
99.0 Direct obligations	405	1,235	50
99.0 Reimbursable obligations	17	17	17
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	423	1,253	67

Employment Summary			
Identification code 012-1072-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	68	68
2001 Reimbursable civilian full-time equivalent employment	17	17	17

WATERSHED REHABILITATION PROGRAM

Under the authorities of section 14 of the Watershed Protection and Flood Prevention Act, \$10,000,000 is provided: *Provided*, That of the amounts made available under this heading, \$5,000,000 shall remain available until expended for watershed rehabilitation projects in states with high-hazard dams and other watershed structures and that have recently incurred flooding events which caused fatalities. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1002-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Watershed Rehabilitation Program	11	11
0002 Small Watershed Rehabilitation Program	15	47

0799 Total direct obligations	26	58
0801 Reimbursable program activity	6	17	17
0900 Total new obligations, unexpired accounts	32	75	17
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	68	17
1021 Recoveries of prior year unpaid obligations	46
1050 Unobligated balance (total)	85	68	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	14
1900 Budget authority (total)	15	24
1930 Total budgetary resources available	100	92	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	68	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	229	176	198
3010 New obligations, unexpired accounts	32	75	17
3020 Outlays (gross)	-38	-53	-79
3040 Recoveries of prior year unpaid obligations, unexpired	-46
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	176	198	136
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	229	176	198
3200 Obligated balance, end of year	176	198	136

Budget authority and outlays, net:			
Identification code 012-1002-0-1-301	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	15	24
Outlays, gross:			
4010 Outlays from new discretionary authority	18
4011 Outlays from discretionary balances	15	10	15
4020 Outlays, gross (total)	15	28	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-5	-14
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	23	25	64
4180 Budget authority, net (total)	10	10
4190 Outlays, net (total)	33	39	79

Under the authorities of Section 14 of the Watershed Protection and Flood Prevention Act (16 U.S.C. 1012), assistance is provided to communities to support the rehabilitation of local dams originally constructed with federal assistance and near or past their evaluated life expectancy. No funding is requested in the 2021 Budget.

Object Classification (in millions of dollars)			
Identification code 012-1002-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1
25.1 Advisory and assistance services	5	2
25.2 Other services from non-Federal sources	8	22
25.4 Operation and maintenance of facilities	4
41.0 Grants, subsidies, and contributions	12	28
99.0 Direct obligations	26	57
99.0 Reimbursable obligations	6	17	17
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	32	75	17

Employment Summary

Identification code 012-1002-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	6
2001 Reimbursable civilian full-time equivalent employment	19	19	19

HEALTHY FORESTS RESERVE PROGRAM

The Healthy Forests Reserve Program (HFRP), which is authorized by Title V of the Healthy Forests Restoration Act of 2003 (Public Law 108-148), helps landowners restore, enhance, and protect forest resources on private lands through easements and financial assistance. HFRP focuses on forest ecosystems to: 1) promote the recovery of threatened and endangered species; 2) improve biodiversity; and 3) enhance carbon sequestration.

Administered by NRCS, HFRP is a voluntary program with enrollment limited to land that is privately-held or owned by a Tribe. Land enrolled in HFRP must have a restoration plan that includes practices necessary to restore and enhance habitat for species listed as threatened or endangered, or are candidates for the threatened or endangered species list. Technical assistance is provided by USDA to assist owners in complying with the terms of restoration plans under HFRP.

The 2021 Budget does not request funding for HFRP.

WATER BANK PROGRAM

Program and Financing (in millions of dollars)

Identification code 012-3320-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Water Bank Program	3	5
0900 Total new obligations, unexpired accounts	3	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4
1930 Total budgetary resources available	4	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	17	16
3010 New obligations, unexpired accounts	3	5
3020 Outlays (gross)	-3	-6	-6
3050 Unpaid obligations, end of year	17	16	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	17	16
3200 Obligated balance, end of year	17	16	10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority		2
4011 Outlays from discretionary balances	3	4	6
4020 Outlays, gross (total)	3	6	6
4180 Budget authority, net (total)	4	4
4190 Outlays, net (total)	3	6	6

The Water Bank Program, which is authorized by the Water Bank Act of 1970 (16 U.S.C. 1301-1311), is designed to preserve, restore, and improve wetlands, to conserve surface waters, to preserve and improve habitat for migratory waterfowl and other wildlife resources, and to promote comprehensive and total water management planning. Through the Water Bank Program, NRCS enters into ten-year agreements with landowners and operators to conserve water; to preserve, maintain, and improve the Nation's wetlands; to increase waterfowl habitat in migratory waterfowl nesting, breeding, and feeding areas in the United States; and to secure recreational and environmental benefits for the Nation. No funding is requested in the 2021 Budget for this program.

Object Classification (in millions of dollars)

Identification code 012-3320-0-1-302	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	4	5
99.5 Adjustment for rounding	-1
99.9 Total new obligations, unexpired accounts	3	5

Employment Summary

Identification code 012-3320-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 012-4368-0-3-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Damage Assessment & Restoration Revolving	2	2	3
0900 Total new obligations, unexpired accounts	2	2	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	5	6
1011 Unobligated balance transfer from other acct [014-5198]	4	2	2
1050 Unobligated balance (total)	7	7	8
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-1618]		1	1
1900 Budget authority (total)		1	1
1930 Total budgetary resources available	7	8	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		2	3
3010 New obligations, unexpired accounts	2	2	3
3020 Outlays (gross)		-1	-2
3050 Unpaid obligations, end of year	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		2	3
3200 Obligated balance, end of year	2	3	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances			1
4110 Outlays, gross (total)		1	2
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)		1	2

Object Classification (in millions of dollars)

Identification code 012-4368-0-3-306	2019 actual	2020 est.	2021 est.
25.2 Direct obligations: Other services from non-Federal sources	1	2	3
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	2	2	3

Employment Summary

Identification code 012-4368-0-3-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	2	2

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8210-0-7-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Miscellaneous Contributed Funds		1	1
2000 Total: Balances and receipts		1	2
5099 Balance, end of year		1	2

Funds received in this account from State, local, and other organizations are available for work under cooperative agreements for soil survey, watershed protection, and resource conservation and development activities.

RURAL DEVELOPMENT

Federal Funds

RURAL DEVELOPMENT

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for carrying out the administration and implementation of Rural Development programs, including activities with institutions concerning the development and operation of agricultural cooperatives; and for cooperative agreements; **[\$247,835,000]** \$483,480,000: *Provided*, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support Rural Development programs: *Provided further*, That in addition to any other funds appropriated for purposes authorized by section 502(i) of the Housing Act of 1949 (42 U.S.C. 1472(i)), any amounts collected under such section, as amended by this Act, will immediately be credited to this account and will remain available until expended for such purposes: *Provided further*, That of the amount provided under this heading, \$1,000,000 shall be for the administration of the multifamily voucher program account: *Provided further*, That of the amount provided under this heading, not less than \$5,000,000 shall be for retiring the Program Loan Accounting System; not less than \$5,000,000 shall be for retiring the Automated Multi-family Accounting System; and not less than \$5,000,000 shall be for the administration of the direct endorsement option for qualified lenders of the Single Family Loan Guarantee Program as authorized by section 502(h) of the Housing Act of 1949 (42 U.S.C. 1472(h)): *Provided further*, That of the amount provided under this heading, \$40,000,000, to remain available until expended, shall be for relocation of the Goodfellow facility in St. Louis, Missouri. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-0403-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	237	248	483
0801 Reimbursable program - Program Transfers and Reimbursable Obligations	491	453	281
0900 Total new obligations, unexpired accounts	728	701	764
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	11	20
1001 Discretionary unobligated balance brought fwd, Oct 1	3	6	
1012 Unobligated balance transfers between expired and unexpired accounts	15	6	
1050 Unobligated balance (total)	20	17	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	237	248	483
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336] ...	3	3	3
Spending authority from offsetting collections, discretionary:			
1700 Collected	487	453	281
1900 Budget authority (total)	727	704	767
1930 Total budgetary resources available	747	721	787

Memorandum (non-add) entries:

1940 Unobligated balance expiring	-8		
1941 Unexpired unobligated balance, end of year	11	20	23

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	115	142	70
3010 New obligations, unexpired accounts	728	701	764
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-695	-773	-718
3041 Recoveries of prior year unpaid obligations, expired	-8		
3050 Unpaid obligations, end of year	142	70	116
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-12	-3	-3
3071 Change in uncollected pymts, Fed sources, expired	9		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	103	139	67
3200 Obligated balance, end of year	139	67	113

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	724	701	764
Outlays, gross:			
4010 Outlays from new discretionary authority	600	651	667
4011 Outlays from discretionary balances	94	122	51
4020 Outlays, gross (total)	694	773	718
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-496	-464	-281
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-497	-464	-281
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	10	11	
4060 Additional offsets against budget authority only (total)	10	11	
4070 Budget authority, net (discretionary)	237	248	483
4080 Outlays, net (discretionary)	197	309	437
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4101 Outlays from mandatory balances	1		
4180 Budget authority, net (total)	240	251	486
4190 Outlays, net (total)	198	309	437

The Rural Development Salaries and Expenses (S&E) account is a consolidated account to administer all Rural Development programs, including programs administered by the Rural Utilities Service (RUS), the Rural Housing Service (RHS), and the Rural Business-Cooperative Service (RBS). The 2021 Budget includes set asides for specific IT investments: retiring the Program Loan Accounting System and the Automated Multifamily Accountings System, and system upgrades to implement direct endorsement by lenders of the single family loan guarantee program, for which USDA has had authority since 2016. These IT investments are critical to moving RD into the 21st Century for loan program accounting and customer service. Setting aside the funding for these purposes is being done to ensure that progress is made on these specific systems, which have been delayed in recent years. In addition, the account reflects \$3 million in mandatory funding for the Biobased Markets Program. For more information about the Rural Development mission area go to www.rd.usda.gov.

Object Classification (in millions of dollars)

Identification code 012-0403-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	116	131	244
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	117	132	245
12.1 Civilian personnel benefits	41	47	86
21.0 Travel and transportation of persons	4	4	8
22.0 Transportation of things			2
23.1 Rental payments to GSA	6	7	13
23.2 Rental payments to others	5	6	11
23.3 Communications, utilities, and miscellaneous charges	1		1
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	3	4	7

RURAL DEVELOPMENT—Continued
Object Classification—Continued

Identification code 012-0403-0-1-452	2019 actual	2020 est.	2021 est.
25.2 Other services from non-Federal sources	1		1
25.3 Other goods and services from Federal sources	3	1	23
25.4 Operation and maintenance of facilities	28	20	37
25.5 Research and development contracts	23	24	45
25.7 Operation and maintenance of equipment	3		1
26.0 Supplies and materials		2	1
31.0 Equipment	1	1	2
99.0 Direct obligations	237	248	483
99.0 Reimbursable obligations	491	453	281
99.9 Total new obligations, unexpired accounts	728	701	764

Employment Summary

Identification code 012-0403-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,404	1,763	2,994
2001 Reimbursable civilian full-time equivalent employment	2,909	2,837	1,606

RURAL DEVELOPMENT DISASTER ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 012-0405-0-1-453	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	11	2
1010 Unobligated balance transfer to other accts [012-1980]		-1	
1010 Unobligated balance transfer to other accts [012-1951]		-1	
1010 Unobligated balance transfer to other accts [012-2006]		-4	
1010 Unobligated balance transfer to other accts [012-1902]		-3	
1050 Unobligated balance (total)	11	2	2
1930 Total budgetary resources available	11	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

RURAL HOUSING SERVICE

Federal Funds

RURAL HOUSING ASSISTANCE GRANTS

For grants for very low-income housing repair and rural housing preservation made by the Rural Housing Service, as authorized by 42 U.S.C. 1474 [] and 1490m, \$45,000,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1953-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 Very Low-Income Housing Repair Grants	25	36	36
0016 Rural Housing Preservation Grants	15	15	15
0017 Domestic Violence Shelters with Pets		2	
0900 Total new obligations, unexpired accounts (object class 41.0)	40	53	51
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	13	8
1021 Recoveries of prior year unpaid obligations	2	1	1
1050 Unobligated balance (total)	8	14	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	45	47	45
1930 Total budgetary resources available	53	61	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	8	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	31	28
3010 New obligations, unexpired accounts	40	53	51
3020 Outlays (gross)	-29	-55	-51
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-1	-1
3050 Unpaid obligations, end of year	31	28	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	31	28
3200 Obligated balance, end of year	31	28	27

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	45	47	45
Outlays, gross:			
4010 Outlays from new discretionary authority	18	30	28
4011 Outlays from discretionary balances	11	25	23
4020 Outlays, gross (total)	29	55	51
4180 Budget authority, net (total)	45	47	45
4190 Outlays, net (total)	29	55	51

The very low-income housing repair grant program is authorized under section 504 of the Housing Act of 1949, as amended. This grant program enables very low-income elderly residents in rural areas to improve or modernize their dwellings, to make the dwelling safer or more sanitary, or to remove health and safety hazards. The 2021 Budget requests \$30 million for this account.

For other housing assistance grants authorized for funding in this account such as housing preservation grants and supervisory and technical assistance grants as authorized by section 509(f) and 525 of the Housing Act of 1949, as amended, the 2021 Budget requests \$15 million for the housing preservation grants. The 2021 Budget does not request funding for supervisory and technical assistance grants.

RENTAL ASSISTANCE PROGRAM

For rental assistance agreements entered into or renewed pursuant to the authority under section 521(a)(2) of the Housing Act of 1949 or agreements entered into in lieu of debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Housing Act of 1949, and for the rural housing voucher program as authorized under section 542 of the Housing Act of 1949, notwithstanding subsection (b) of such section, [\$1,375,000,000] \$1,450,000,000, of which \$40,000,000 shall be available until September 30, [2021] 2022; and in addition such sums as may be necessary, as authorized by section 521(c) of the Act, to liquidate debt incurred prior to fiscal year 1992 to carry out the rental assistance program under section 521(a)(2) of the Act: *Provided, That of the amounts made available under this heading, \$1,410,000,000 shall be available for renewal of rental assistance agreements: Provided further, That rental assistance agreements entered into or renewed during the current fiscal year shall be funded for a one-year period: Provided further, That upon request by an owner of a project financed by an existing loan under section 514 or 515 of the Act, the Secretary may renew the rental assistance agreement for a period of 20 years or until the term of such loan has expired, subject to annual appropriations: Provided further, That any unexpended balances remaining at the end of such one-year agreements may be transferred and used for purposes of any debt reduction; maintenance, repair, or rehabilitation of any existing projects; preservation; and rental assistance activities authorized under title V of the Act: Provided further, That rental assistance provided under agreements entered into prior to fiscal year 2020 for a farm labor multi-family housing project financed under section 514 or 516 of the Act may not be recaptured for use in another project until such assistance has remained unused for a period of 12 consecutive months, if such project has a waiting list of tenants seeking such assistance or the project has rental assistance eligible tenants who are not receiving such assistance: Provided further, That such recaptured rental assistance shall, to the extent practicable, be applied to another farm labor multi-family housing project financed under section 514 or 516 of the Act: Provided further, That tenants in projects financed under section 514 or 515 shall contribute a minimum of \$50 per month towards the rent, as determined by the Secretary, unless the Secretary determines a lower amount because the tenant qualifies for a hardship exemption, which shall, to the extent practicable, be consistent with similar hardship exemption requirements and conditions established by the Secretary of Housing and Urban Development for similar programs: Provided further, That notwithstanding any other provision of the Act, the Secretary may recapture funds provided for rental assistance under agreements entered into prior to*

fiscal year 2021 for a project that the Secretary determines no longer needs rental assistance: *Provided further, That such recaptured funds shall remain available for obligation in fiscal year 2021 for the purposes specified under this heading: Provided further, That except as provided in the fourth proviso under this heading and notwithstanding any other provision of the Act, the Secretary may recapture rental assistance provided under agreements entered into prior to fiscal year 2020 for a project that the Secretary determines no longer needs rental assistance and use such recaptured funds for current needs: Provided further, That of the amounts made available under this heading, \$40,000,000 shall be available for rural housing vouchers to any low-income household, including a household that does not receive rental assistance, residing in a property financed with a section 515 loan that has been prepaid or otherwise paid off after September 30, 2005: Provided further, That the amount of such vouchers shall be equal to the difference between comparable market rent for the section 515 unit and the tenant paid rent for such unit: Provided further, That such vouchers shall be subject to the availability of annual appropriations: Provided further, That the Secretary shall, to the maximum extent practicable, administer such vouchers with current regulations and administrative guidance applicable to section 8 housing vouchers administered by the Secretary of the Department of Housing and Urban Development: Provided further, That any balances available for the rural housing voucher program in the "Multi-Family Housing Revitalization Program Account" shall be transferred to and merged with this account and available for the rural housing voucher program: Provided further, That if the Secretary determines that the amount made available for vouchers or rental assistance in this Act is not needed for vouchers or rental assistance, the Secretary may use such funds for any of the programs described under this heading. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)*

4190 Outlays, net (total)	1,193	1,235	1,376
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The rental assistance program is authorized under section 521(a)(2) of the Housing Act of 1949, as amended, and is designed to reduce rent expenses for very low-income and low-income families living in RHS-financed rural rental and farm labor housing projects. The rural housing voucher program is authorized under section 542 of the Housing Act of 1949 and may be used to assist families displaced when the mortgage on the RHS-financed rural rental housing projects is prepaid or paid in full. A voucher can be used in lieu of rental assistance, which is no longer available once the property is paid-off in full. The 2021 request, combines the appropriations for rental assistance and vouchers to facilitate funding flexibilities with like programs. A total of \$1.45 billion is being requested, of which \$1.41 billion is limited to renewals of existing rental assistance contracts for maintaining a sustainable rental assistance program. The Budget also requests that residents receiving rental assistance payments will be required to pay a minimum rent of \$50 per month, unless the Department determines a lower amount because the tenant qualifies for a hardship exemption. Of the total amount provided, the Budget requests \$40 million for housing vouchers, which can be for prepayments and pay-offs. The vouchers related to prepayments will be awarded based on prioritization of need as determined by the Secretary. In addition, all balances related to the rural housing voucher program will be transferred and merged into this account from the Multifamily Housing Revitalization Account.

From 1978 through 1991, the rental assistance program was funded under the Rural Housing Insurance Fund (RHIF). Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for this program. Prior year obligations are funded with "such sums" amounts to cover those pre-credit reform contracts in RHIF.

Program and Financing (in millions of dollars)

Identification code 012-0137-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rental assistance program	1,331	1,375	1,410
0002 Vouchers			42
0900 Total new obligations, unexpired accounts (object class 41.0)	1,331	1,375	1,452
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	40	40	40
1011 Unobligated balance transfer from other acct [012-2002]			2
1050 Unobligated balance (total)	40	40	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,337	1,375	1,450
1100 Appropriation		5	5
1139 Appropriations substituted for borrowing authority	-6	-5	-5
1160 Appropriation, discretionary (total)	1,331	1,375	1,450
1930 Total budgetary resources available	1,371	1,415	1,492
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	40	40
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,138	1,275	1,415
3010 New obligations, unexpired accounts	1,331	1,375	1,452
3020 Outlays (gross)	-1,194	-1,235	-1,376
3050 Unpaid obligations, end of year	1,275	1,415	1,491
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,138	1,275	1,415
3200 Obligated balance, end of year	1,275	1,415	1,491
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,331	1,375	1,450
Outlays, gross:			
4010 Outlays from new discretionary authority	262	481	505
4011 Outlays from discretionary balances	932	754	871
4020 Outlays, gross (total)	1,194	1,235	1,376
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	1,331	1,375	1,450
4080 Outlays, net (discretionary)	1,193	1,235	1,376
4180 Budget authority, net (total)	1,331	1,375	1,450

MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT

For the rural housing voucher program as authorized under section 542 of the Housing Act of 1949, but notwithstanding subsection (b) of such section, and for additional costs to conduct a demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph, \$60,000,000, to remain available until expended: *Provided, That of the funds made available under this heading, \$32,000,000, shall be available for rural housing vouchers to any low-income household (including those not receiving rental assistance) residing in a property financed with a section 515 loan which has been prepaid after September 30, 2005: Provided further, That the amount of such voucher shall be the difference between comparable market rent for the section 515 unit and the tenant paid rent for such unit: Provided further, That funds made available for such vouchers shall be subject to the availability of annual appropriations: Provided further, That the Secretary shall, to the maximum extent practicable, administer such vouchers with current regulations and administrative guidance applicable to section 8 housing vouchers administered by the Secretary of the Department of Housing and Urban Development: Provided further, That if the Secretary determines that the amount made available for vouchers in this or any other Act is not needed for the preservation and revitalization of multi-family rental housing properties described in this paragraph: Provided further, That of the funds made available under this heading, \$28,000,000 shall be available for a demonstration program for the preservation and revitalization of the sections 514, 515, and 516 multi-family rental housing properties to restructure existing USDA multi-family housing loans, as the Secretary deems appropriate, expressly for the purposes of ensuring the project has sufficient resources to preserve the project for the purpose of providing safe and affordable housing for low-income residents and farm laborers including reducing or eliminating interest; deferring loan payments, subordinating, reducing or reamortizing loan debt; and other financial assistance including advances, payments and incentives (including the ability of owners to obtain reasonable returns on investment) required by the Secretary: Provided further, That the Secretary shall as part of the preservation and revitalization agreement obtain a restrictive use agreement consistent with the terms of the restructuring: Provided further, That if the Secretary determines that additional funds for vouchers described in this paragraph are needed, funds for the preservation and revitalization demonstration program may be used for such vouchers: Provided further, That if Congress enacts legislation to permanently authorize a multi-family rental housing loan restructuring program similar to*

MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT—Continued
the demonstration program described herein, the Secretary may use funds made available for the demonstration program under this heading to carry out such legislation with the prior approval of the Committees on Appropriations of both Houses of Congress: *Provided further*, That in addition to any other available funds, the Secretary may expend not more than \$1,000,000 total, from the program funds made available under this heading, for administrative expenses for activities funded under this heading.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-2002-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Vouchers & MPR Grants	30	33
Credit program obligations:			
0701 Direct loan subsidy	22	15
0703 Subsidy for modifications of direct loans	7	3
0705 Reestimates of direct loan subsidy	5	3
0706 Interest on reestimates of direct loan subsidy	1	1
0709 Administrative expenses	1	1
0791 Direct program activities, subtotal	36	23
0900 Total new obligations, unexpired accounts (object class 41.0)	66	56
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	7	17
1001 Discretionary unobligated balance brought fwd, Oct 1	13	7
1010 Unobligated balance transfer to other accts [012-0137]	-2
1010 Unobligated balance transfer to other accts [012-2081]	-13
1021 Recoveries of prior year unpaid obligations	2	3
1031 Other balances not available	-1
1050 Unobligated balance (total)	14	10	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	53	60
Appropriations, mandatory:			
1200 Appropriation	6	3
1900 Budget authority (total)	59	63
1930 Total budgetary resources available	73	73	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	17	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	67	83	81
3010 New obligations, unexpired accounts	66	56
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-49	-55	-31
3030 Unpaid obligations transferred to other accts [012-2081]	-28
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-3
3050 Unpaid obligations, end of year	83	81	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	67	83	81
3200 Obligated balance, end of year	83	81	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	53	60
Outlays, gross:			
4010 Outlays from new discretionary authority	2	10
4011 Outlays from discretionary balances	41	31	31
4020 Outlays, gross (total)	43	41	31
Mandatory:			
4090 Budget authority, gross	6	3
Outlays, gross:			
4100 Outlays from new mandatory authority	6	3
4101 Outlays from mandatory balances	11
4110 Outlays, gross (total)	6	14
4180 Budget authority, net (total)	59	63
4190 Outlays, net (total)	49	55	31
Memorandum (non-add) entries:			
5103 Unexpired unavailable balance, SOY: Fulfilled purpose	1
5104 Unexpired unavailable balance, EOY: Fulfilled purpose	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-2002-0-1-604	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Multifamily Housing Revitalization Seconds	38	20
115003 Multifamily Revitalization Zero	7	8
115999 Total direct loan levels	45	28
Direct loan subsidy (in percent):			
132002 Multifamily Housing Revitalization Seconds	50.58	58.35
132003 Multifamily Revitalization Zero	46.78	52.86
132999 Weighted average subsidy rate	49.99	56.78
Direct loan subsidy budget authority:			
133002 Multifamily Housing Revitalization Seconds	19	12
133003 Multifamily Revitalization Zero	3	4
133999 Total subsidy budget authority	22	16
Direct loan subsidy outlays:			
134001 Multifamily Housing Relending Demo	1	2	3
134002 Multifamily Housing Revitalization Seconds	7	5	3
134003 Multifamily Revitalization Zero	1	9	10
134006 Section 515 Multifamily Housing Revitalization Modifications	8	2	2
134007 Section 514 Multifamily Housing Revitalization Modifications	4	2
134999 Total subsidy outlays	17	22	20
Direct loan reestimates:			
135001 Multifamily Housing Relending Demo	1
135002 Multifamily Housing Revitalization Seconds	2	-2
135003 Multifamily Revitalization Zero	-2	-1
135006 Section 515 Multifamily Housing Revitalization Modifications	-4	-6
135999 Total direct loan reestimates	-3	-9

This account includes funding for vouchers as authorized in section 542 of the Housing Act of 1949 to be used to assist families displaced when the mortgage on the RHS-financed rural rental housing projects is prepaid or paid in full. A voucher can be used in lieu of rental assistance, which is no longer available once the property is paid-off. This account also reflects the funding for pilot programs to repair and rehabilitate multi-family housing projects financed under USDA's section 514 and 515 direct loan programs. These have included grants and direct loans (zero percent, soft-second, modifications, and the relending demonstration program) since 2006. The 2021 Budget requests \$40 million in funding for the rural housing voucher program in the Rental Assistance Program Account to facilitate funding flexibilities with like programs. All balances in this account associated with vouchers will be transferred and merged with the Rental Assistance Program Account as well. The 2021 Budget requests \$40 million in funding for the multi-family housing revitalization pilot program in the Rural Housing Insurance Fund account. Consistent with facilitating funding flexibilities and to be able to modify post credit reform section 515 multi-family housing loans in the future, all the balances associated with the multi-family housing demonstration programs in this account will be transferred and merged with the Rural Housing Insurance Fund Program Account.

MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4269-0-3-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	45	28
0713 Payment of interest to Treasury	17	18	19
0739 Other	34	35	32
0742 Downward reestimates paid to receipt accounts	9	11
0743 Interest on downward reestimates	1	1
0744 Adjusting payments to liquidating accounts	52	43	43
0900 Total new obligations, unexpired accounts	158	136	94

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	34	37	12
1023	Unobligated balances applied to repay debt	-34	-37
1050	Unobligated balance (total)	12
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	124	129	131
Spending authority from offsetting collections, mandatory:				
1800	Collected	58	32	27
1801	Change in uncollected payments, Federal sources	13	9	5
1825	Spending authority from offsetting collections applied to repay debt	-22	-23
1850	Spending auth from offsetting collections, mand (total)	71	19	9
1900	Budget authority (total)	195	148	140
1930	Total budgetary resources available	195	148	152
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	37	12	58

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	162	225	232
3010	New obligations, unexpired accounts	158	136	94
3020	Outlays (gross)	-95	-129	-93
3050	Unpaid obligations, end of year	225	232	233
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-47	-60	-69
3070	Change in uncollected pymts, Fed sources, unexpired	-13	-9	-5
3090	Uncollected pymts, Fed sources, end of year	-60	-69	-74
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	115	165	163
3200	Obligated balance, end of year	165	163	159

Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	195	148	140
Financing disbursements:				
4110	Outlays, gross (total)	95	129	93
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources - subsidy outlays from program account	-23	-25	-20
4120	Modification Costs	-29
4122	Interest on uninvested funds	-3	-4	-4
4123	Repayments of Principal	-2	-2	-2
4123	Interest receivable on loans	-1	-1	-1
4130	Offsets against gross budget authority and outlays (total)	-58	-32	-27
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	-13	-9	-5
4160	Budget authority, net (mandatory)	124	107	108
4170	Outlays, net (mandatory)	37	97	66
4180	Budget authority, net (total)	124	107	108
4190	Outlays, net (total)	37	97	66

Status of Direct Loans (in millions of dollars)

Identification code 012-4269-0-3-604	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	44	28
1121	Limitation available from carry-forward	1
1150	Total direct loan obligations	45	28
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	753	819	923
Disbursements:				
1231	Direct loan disbursements	16	28	40
1233	Purchase of loans assets from a liquidating account	52	78	43
1251	Repayments: Repayments and prepayments	-2	-2	-2
1290	Outstanding, end of year	819	923	1,004

This account reflects the financing for the direct pilot program loans (zero percent, soft-second, modifications, and the relending demonstration program) authorized in the Multifamily Housing Revitalization Program Account. Beginning in 2021, this activity will be reflected in the Rural Housing Insurance Fund Direct Loan Financing Account. This transition will facilitate the modification of post credit reform section 515 multifamily housing direct loans going forward.

Balance Sheet (in millions of dollars)

Identification code 012-4269-0-3-604	2018 actual	2019 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	34	37
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	753	819
1402	Interest receivable	72	87
1405	Allowance for subsidy cost (-)	-446	-493
1499	Net present value of assets related to direct loans	379	413
1999	Total assets	413	450
LIABILITIES:			
Federal liabilities:			
2103	Debt	413	450
2104	Resources payable to Treasury
2999	Total liabilities	413	450
NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	413	450

MUTUAL AND SELF-HELP HOUSING GRANTS

For grants and contracts pursuant to section 523(b)(1)(A) of the Housing Act of 1949 (42 U.S.C. 1490c), \$31,000,000, to remain available until expended. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-2006-0-1-604	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Mutual and self-help housing grants	29	32
0900	Total new obligations, unexpired accounts (object class 41.0)	29	32
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	4	8
1011	Unobligated balance transfer from other acct [012-0405]	4
1021	Recoveries of prior year unpaid obligations	1	1
1050	Unobligated balance (total)	3	9	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	30	31
1930	Total budgetary resources available	33	40	8
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4	8	8
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	60	57	53
3010	New obligations, unexpired accounts	29	32
3020	Outlays (gross)	-31	-35	-31
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1
3050	Unpaid obligations, end of year	57	53	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	60	57	53
3200	Obligated balance, end of year	57	53	22
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	30	31
Outlays, gross:				
4010	Outlays from new discretionary authority	2	5
4011	Outlays from discretionary balances	29	30	31
4020	Outlays, gross (total)	31	35	31
4180	Budget authority, net (total)	30	31
4190	Outlays, net (total)	31	35	31

This program is authorized under section 523 of the Housing Act of 1949, as amended. Grants and contracts are made for the purpose of providing technical and supervisory assistance to groups of families to enable them

MUTUAL AND SELF-HELP HOUSING GRANTS—Continued

to build their own homes through the mutual exchange of labor. No funding is requested in the 2021 Budget for this program.

RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, **[\$2,800,000,000]** \$2,500,000,000 for direct loans and \$500,000,000 for guaranteed loans: *Provided, That for the purposes of determining eligibility or level of program assistance the Secretary shall not include incarcerated prison populations.*

For the cost of *direct loans, loan guarantees and grants, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974*, for rural community facilities programs as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, **[\$49,000,000]** \$60,000,000, to remain available until expended: *Provided, That [\$6,000,000 of the amount appropriated under this heading shall be available for a Rural Community Development Initiative: Provided further, That such funds shall be used solely to develop the capacity and ability of private, nonprofit community-based housing and community development organizations, low-income rural communities, and Federally Recognized Native American Tribes to undertake projects to improve housing, community facilities, community and economic development projects in rural areas: Provided further, That such funds shall be made available to qualified private, nonprofit and public intermediary organizations proposing to carry out a program of financial and technical assistance: Provided further, That such intermediary organizations shall provide matching funds from other sources, including Federal funds for related activities, in an amount not less than funds provided: Provided further, That \$6,000,000 of the amount appropriated under this heading shall be to provide grants for facilities in rural communities with extreme unemployment and severe economic depression (Public Law 106–387), with up to 5 percent for administration and capacity building in the State rural development offices] of the amount appropriated under this heading, \$20,000,000 shall be available to cover the subsidy costs for direct loans or loan guarantees under this heading: Provided further, That if any funds remain unobligated for the subsidy costs after June 30, 2021, the unobligated balance may be transferred to the grant programs funded under this heading: Provided further, That [\$5,000,000] \$10,000,000 of the amount appropriated under this heading shall be available for community facilities grants to tribal colleges, as authorized by section 306(a)(19) of such Act: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 012–1951–0–1–452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 CF Grants	30	32	30
0012 Rural Community Development Initiative Grants	10	6
0013 Economic Impact Initiative Grants	6	6
0014 Tribal College Grants	5	10
0015 Grant Reserve/Subsidy BA	20
0091 Direct program activities, subtotal	46	49	60
Credit program obligations:			
0702 Loan guarantee subsidy	5
0705 Reestimates of direct loan subsidy	144	174
0706 Interest on reestimates of direct loan subsidy	27	49
0707 Reestimates of loan guarantee subsidy	2	2
0791 Direct program activities, subtotal	178	225
0900 Total new obligations, unexpired accounts (object class 41.0)	224	274	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	162	167
1001 Discretionary unobligated balance brought fwd, Oct 1	8	10
1011 Unobligated balance transfer from other acct [012–0405]	1
1021 Recoveries of prior year unpaid obligations	4	3	3
1050 Unobligated balance (total)	12	166	170

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	200	50	60
Appropriations, mandatory:			
1200 Appropriation	174	225
1900 Budget authority (total)	374	275	60
1930 Total budgetary resources available	386	441	230
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	162	167	170

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	88	86	91
3010 New obligations, unexpired accounts	224	274	60
3020 Outlays (gross)	–222	–266	–65
3040 Recoveries of prior year unpaid obligations, unexpired	–4	–3	–3
3050 Unpaid obligations, end of year	86	91	83
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	88	86	91
3200 Obligated balance, end of year	86	91	83

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	200	50	60
Outlays, gross:			
4010 Outlays from new discretionary authority	5	7	9
4011 Outlays from discretionary balances	43	34	56
4020 Outlays, gross (total)	48	41	65
Mandatory:			
4090 Budget authority, gross	174	225
Outlays, gross:			
4100 Outlays from new mandatory authority	174	225
4180 Budget authority, net (total)	374	275	60
4190 Outlays, net (total)	222	266	65

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012–1951–0–1–452	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Community Facility Loans	774	2,500	2,500
115999 Total direct loan levels	774	2,500	2,500
Direct loan subsidy (in percent):			
132002 Community Facility Loans	–7.61	–4.96	–6.56
132999 Weighted average subsidy rate	–7.61	–4.96	–6.56
Direct loan subsidy budget authority:			
133002 Community Facility Loans	–59	–124	–164
133999 Total subsidy budget authority	–59	–124	–164
Direct loan subsidy outlays:			
134002 Community Facility Loans	–88	–88	–88
134999 Total subsidy outlays	–88	–88	–88
Direct loan reestimates:			
135002 Community Facility Loans	134	216
135005 Community Facility Relending	1	6
135999 Total direct loan reestimates	135	222
Guaranteed loan levels supportable by subsidy budget authority:			
215002 Community Facility Loan Guarantees	187	500	500
215999 Total loan guarantee levels	187	500	500
Guaranteed loan subsidy (in percent):			
232002 Community Facility Loan Guarantees	2.89	–51	–74
232999 Weighted average subsidy rate	2.89	–51	–74
Guaranteed loan subsidy budget authority:			
233002 Community Facility Loan Guarantees	5	–3	–4
233999 Total subsidy budget authority	5	–3	–4
Guaranteed loan subsidy outlays:			
234002 Community Facility Loan Guarantees	4	4	6
234999 Total subsidy outlays	4	4	6
Guaranteed loan reestimates:			
235002 Community Facility Loan Guarantees	–4	–11
235999 Total guaranteed loan reestimates	–4	–11

This account funds the direct and guaranteed community facility loans and community facility grants, which are authorized under sections 306(a)(1) and 306(a)(19) of the Consolidated Farm and Rural Development Act, as amended. Loans are provided to local governments and nonprofit

organizations for the construction and improvement of community facilities providing essential services in rural areas of not more than 20,000 in population for direct loans, and not more than 50,000 for loan guarantees. Total program level in the 2021 Budget is projected to be \$2.5 billion for direct loans, \$500 million for guaranteed loans, and \$60 million for grant purposes, of which \$30 million is for regular community facilities grants, and \$10 million for Tribal College Grants. The 2021 Budget proposes no funding for place-based community projects and Rural Community Development Initiative. The Budget proposes a set aside of \$20 million to be available for direct and guaranteed loan subsidy costs when the risk analysis indicates that a particular loan, as structured, falls outside the parameters of the regular portfolio subsidy rate. This funding can be used for regular community facilities grants, if it is determined that it not needed for subsidy costs.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property). The subsidy amounts are estimated on a present value basis.

4170	Outlays, net (mandatory)	1,134	967	967
4180	Budget authority, net (total)	980	1,859	1,859
4190	Outlays, net (total)	1,134	967	967

Status of Direct Loans (in millions of dollars)

Identification code 012-4225-0-3-452	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	2,800	2,500	2,500
1142	Unobligated direct loan limitation (-)	-2,026
1150	Total direct loan obligations	774	2,500	2,500
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	8,562	9,754	10,657
1231	Disbursements: Direct loan disbursements	1,530	1,502	1,502
1251	Repayments: Repayments and prepayments	-326	-588	-588
1263	Write-offs for default: Direct loans	-16	-11	-11
1264	Other adjustments, net (+ or -)	4
1290	Outstanding, end of year	9,754	10,657	11,560

This account reflects the funding from direct community facility loans to non-profit organizations and local governments for the construction and improvement of community facilities providing essential services in rural areas, such as hospitals, libraries, and fire/police stations. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4225-0-3-452	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	131	640
Investments in U.S. securities:			
1106	Receivables, net	170	216
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	8,562	9,754
1402	Interest receivable	67	77
1405	Allowance for subsidy cost (-)	-168	-321
1499	Net present value of assets related to direct loans	8,461	9,510
1999	Total assets	8,762	10,366
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable
2103	Debt	8,723	10,366
2105	Other	39
2999	Total liabilities	8,762	10,366
4999	Total liabilities and net position	8,762	10,366

RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4225-0-3-452	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0710	Direct loan obligations	774	2,500	2,500
0713	Payment of interest to Treasury	315	324	324
0740	Negative subsidy obligations	59	124	164
0742	Downward reestimates paid to receipt accounts	29
0743	Interest on downward reestimates	7
0900	Total new obligations, unexpired accounts	1,184	2,948	2,988
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	131	640	640
1021	Recoveries of prior year unpaid obligations	243
1023	Unobligated balances applied to repay debt	-123
1024	Unobligated balance of borrowing authority withdrawn	-243
1050	Unobligated balance (total)	8	640	640
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	980	1,859	1,859
Spending authority from offsetting collections, mandatory:				
1800	Collected	836	1,089	1,089
1900	Budget authority (total)	1,816	2,948	2,948
1930	Total budgetary resources available	1,824	3,588	3,588
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	640	640	600
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5,940	4,911	5,803
3010	New obligations, unexpired accounts	1,184	2,948	2,988
3020	Outlays (gross)	-1,970	-2,056	-2,056
3040	Recoveries of prior year unpaid obligations, unexpired	-243
3050	Unpaid obligations, end of year	4,911	5,803	6,735
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5,940	4,911	5,803
3200	Obligated balance, end of year	4,911	5,803	6,735
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	1,816	2,948	2,948
Financing disbursements:				
4110	Outlays, gross (total)	1,970	2,056	2,056
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-171	-222
4122	Interest on uninvested funds	-40	-131	-131
4123	Repayment of principal	-325	-588	-588
4123	Interest received on loans	-300	-148	-370
4130	Offsets against gross budget authority and outlays (total)	-836	-1,089	-1,089
4160	Budget authority, net (mandatory)	980	1,859	1,859

RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4228-0-3-452	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0711	Default claim payments on principal	7	8	8
0713	Payment of interest to Treasury	1	1
0740	Negative subsidy obligations	3	4
0742	Downward reestimates paid to receipt accounts	5	9
0743	Interest on downward reestimates	2	4
0900	Total new obligations, unexpired accounts	14	25	13
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	68	59	38
1023	Unobligated balances applied to repay debt	-8
1050	Unobligated balance (total)	60	59	38
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	2
Spending authority from offsetting collections, mandatory:				
1800	Collected	14	10	10

RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 012-4228-0-3-452	2019 actual	2020 est.	2021 est.
1801 Change in uncollected payments, Federal sources	-3	-6
1850 Spending auth from offsetting collections, mand (total)	11	4	10
1900 Budget authority (total)	13	4	10
1930 Total budgetary resources available	73	63	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	59	38	35
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			16
3010 New obligations, unexpired accounts	14	25	13
3020 Outlays (gross)	-14	-9	-9
3050 Unpaid obligations, end of year		16	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-10	-4
3070 Change in uncollected pymts, Fed sources, unexpired	3	6
3090 Uncollected pymts, Fed sources, end of year	-10	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-13	-10	12
3200 Obligated balance, end of year	-10	12	16
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	13	4	10
Financing disbursements:			
4110 Outlays, gross (total)	14	9	9
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-7	-6	-6
4122 Interest on uninvested funds	-1	-2	-2
4123 Guarantee Fees	-6	-2	-2
4130 Offsets against gross budget authority and outlays (total)	-14	-10	-10
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	3	6
4160 Budget authority, net (mandatory)	2
4170 Outlays, net (mandatory)	-1	-1
4180 Budget authority, net (total)	2
4190 Outlays, net (total)	-1	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4228-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	187	500	500
2150 Total guaranteed loan commitments	187	500	500
2199 Guaranteed amount of guaranteed loan commitments	187	500	500
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,142	1,168	1,345
2231 Disbursements of new guaranteed loans	156	269	269
2251 Repayments and prepayments	-123	-84	-84
2263 Adjustments: Terminations for default that result in claim payments	-7	-8	-8
2290 Outstanding, end of year	1,168	1,345	1,522
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,168	1,345	1,482
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	5	6	8
2331 Disbursements for guaranteed loan claims	1	3	3
2351 Repayments of loans receivable	-1	-1
2361 Write-offs of loans receivable
2390 Outstanding, end of year	6	8	10

This account finances loan guarantee commitments for essential community facilities in rural areas. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4228-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	54	48
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	6	6
1505 Allowance for subsidy cost (-)
1599 Net present value of assets related to defaulted guaranteed loans	6	6
1999 Total assets	60	54
LIABILITIES:		
Federal liabilities:		
2103 Debt	8	2
2104 Resources payable to Treasury
2204 Non-Federal liabilities: Liabilities for loan guarantees	52	52
2999 Total liabilities	60	54
4999 Total liabilities and net position	60	54

RURAL HOUSING SERVICE

RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by title V of the Housing Act of 1949, to be available from funds in the rural housing insurance fund, as follows: **[\$1,000,000,000 shall be for direct loans and] \$24,000,000,000 shall be for unsubsidized guaranteed loans**; \$28,000,000 for section 504 housing repair loans; \$40,000,000 for section 515 rental housing; \$230,000,000 for section 538 guaranteed multi-family housing loans; and \$10,000,000 for credit sales of single family housing acquired property; \$5,000,000 for section 523 self-help housing land development loans; and \$5,000,000 for section 524 site development loans.

For the cost of direct and guaranteed loans, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, as follows: section 502 loans, \$90,000,000 shall be for direct loans; section 504 housing repair loans, \$4,679,000; section 523 self-help housing land development loans, \$577,000; section 524 site development loans, \$546,000; and repair, rehabilitation, and new construction of section 515 rental housing, \$12,144,000: *Provided*, That to support the loan program level for section 538 guaranteed loans made available under this heading, the Secretary may charge or adjust any fees to cover the projected cost of such loan guarantees pursuant to the provisions of the Credit Reform Act of 1990 (2 U.S.C. 661 et seq.), and the interest on such loans may not be subsidized: *Provided further*, That applicants in communities that have a current rural area waiver under section 541 of the Housing Act of 1949 (42 U.S.C. 1490q) shall be treated as living in a rural area for purposes of section 502 guaranteed loans provided under this heading: *Provided further*, That of the amounts available under this paragraph for section 502 direct loans, no less than \$5,000,000 shall be available for direct loans for individuals whose homes will be built pursuant to a program funded with a mutual and self-help housing grant authorized by section 523 of the Housing Act of 1949 until June 1, 2020: *Provided further*, That the Secretary shall implement provisions to provide incentives to nonprofit organizations and public housing authorities to facilitate the acquisition of Rural Housing Service (RHS) multifamily housing properties by such nonprofit organizations and public housing authorities that commit to keep such properties in the RHS multifamily housing program for a period of time as determined by the Secretary, with such incentives to include, but not be limited to, the following: allow such nonprofit entities and public housing authorities to earn a Return on Investment on their own resources to include proceeds from low income housing tax credit syndication, own contributions, grants, and developer loans at favorable rates and terms, invested in a deal; and allow reimbursement of organizational costs associated with owner's oversight of asset referred to as "Asset Management Fee" of up to \$7,500 per property.

In addition, for the cost of direct loans, grants, and contracts, as authorized by sections 514 and 516 of the Housing Act of 1949 (42 U.S.C. 1484, 1486), \$18,739,000, to remain available until expended, for direct farm labor housing loans and domestic farm labor housing grants and contracts: *Provided*, That any balances available for the Farm Labor Program Account shall be transferred to and merged with this account.

In addition, for the cost of direct loans and grants, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974,

\$40,000,000, to remain available until expended, shall be for a demonstration program for the preservation and revitalization of the sections 514, 515, and 516 multi-family rental housing properties to restructure existing USDA multi-family housing loans, as the Secretary deems appropriate, expressly for the purposes of ensuring the project has sufficient resources to preserve the project for the purpose of providing safe and affordable housing for low-income residents and farm laborers, including reducing or eliminating interest; deferring loan payments; subordinating, reducing or re-amortizing loan debt; and other financial assistance including advances, payments and incentives (including the ability of owners to obtain reasonable returns on investment) required by the Secretary: Provided, That, as part of the preservation and revitalization agreement, the Secretary shall obtain a restrictive use agreement consistent with the terms of the restructuring: Provided further, That all balances, including obligated balances, available for all demonstration programs for the preservation and revitalization of sections 514, 515, and 516 multi-family rental housing properties, including the restructuring of existing USDA multi-family housing loans: Provided further, That following the transfer of balances described in the preceding proviso, any adjustments to obligations for demonstration programs for the preservation and revitalization of section 514, 515, and 516 multi-family rental housing properties incurred in the "Multi-Family Housing Revitalization Program Account" shall be made in this account.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, [\$412,254,000] \$240,000,000 shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses". (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012–2081–0–1–371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Farm labor housing grants	9	12
Credit program obligations:			
0701 Direct loan subsidy	99	113
0703 Subsidy for modifications of direct loans	13
0705 Reestimates of direct loan subsidy	83	153
0706 Interest on reestimates of direct loan subsidy	66	121
0707 Reestimates of loan guarantee subsidy	25	845
0708 Interest on reestimates of loan guarantee subsidy	5	98
0709 Administrative expenses	412	412	244
0791 Direct program activities, subtotal	690	1,742	257
0900 Total new obligations, unexpired accounts	699	1,754	257
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	14	25
1001 Discretionary unobligated balance brought fwd, Oct 1	25	14
1011 Unobligated balance transfer from other acct [012–2002]	13
1021 Recoveries of prior year unpaid obligations	4	6	4
1050 Unobligated balance (total)	29	20	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	510	539	280
1120 Appropriations transferred to other acct [012–4609]	-2
1160 Appropriation, discretionary (total)	508	539	280
Appropriations, mandatory:			
1200 Appropriation	179	1,220
1900 Budget authority (total)	687	1,759	280
1930 Total budgetary resources available	716	1,779	322
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3
1941 Unexpired unobligated balance, end of year	14	25	65
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	109	122	98
3010 New obligations, unexpired accounts	699	1,754	257
3020 Outlays (gross)	-679	-1,772	-293
3031 Unpaid obligations transferred from other accts [012–2002]	28
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-6	-4
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	122	98	86
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	109	122	98

3200 Obligated balance, end of year	122	98	86
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	508	539	280
Outlays, gross:			
4010 Outlays from new discretionary authority	458	491	244
4011 Outlays from discretionary balances	42	61	49
4020 Outlays, gross (total)	500	552	293
Mandatory:			
4090 Budget authority, gross	179	1,220
Outlays, gross:			
4100 Outlays from new mandatory authority	179	1,220
4180 Budget authority, net (total)	687	1,759	280
4190 Outlays, net (total)	679	1,772	293

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012–2081–0–1–371	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Section 502 Single Family Housing	1,000	1,000
115004 Section 515 Multifamily Housing	100	40
115007 Section 504 Housing Repair	17	14
115011 Section 514 Farm Labor Housing	20	28
115012 Section 524 Site Development	2	1
115013 Section 523 Self-Help Housing	5	1
115014 Single Family Housing Credit Sales	2	2	2
115999 Total direct loan levels	1,146	1,086	2
Direct loan subsidy (in percent):			
132001 Section 502 Single Family Housing	6.77	9.00
132004 Section 515 Multifamily Housing	23.71	30.36
132007 Section 504 Housing Repair	12.21	16.71
132011 Section 514 Farm Labor Housing	24.92	31.21
132012 Section 524 Site Development	3.51	10.91
132013 Section 523 Self-Help Housing	8.61	11.54
132014 Single Family Housing Credit Sales	-2.42	-1.85	-2.46
132999 Weighted average subsidy rate	8.63	10.44	-2.46
Direct loan subsidy budget authority:			
133001 Section 502 Single Family Housing	68	90
133004 Section 515 Multifamily Housing	24	12
133007 Section 504 Housing Repair	2	2
133011 Section 514 Farm Labor Housing	5	9
133999 Total subsidy budget authority	99	113
Direct loan subsidy outlays:			
134001 Section 502 Single Family Housing	59	90
134004 Section 515 Multifamily Housing	10	12
134007 Section 504 Housing Repair	1	2
134011 Section 514 Farm Labor Housing	8	9
134017 Multifamily Housing Revitalization Seconds	57
134999 Total subsidy outlays	78	113	57
Direct loan reestimates:			
135001 Section 502 Single Family Housing	69	229
135004 Section 515 Multifamily Housing	-7	-10
135007 Section 504 Housing Repair	2	7
135011 Section 514 Farm Labor Housing	-8	-3
135014 Single Family Housing Credit Sales	1
135015 Multifamily Housing Credit Sales	1
135999 Total direct loan reestimates	57	224
Guaranteed loan levels supportable by subsidy budget authority:			
215003 Guaranteed 538 Multifamily Housing	160	173	173
215011 Guaranteed 502 Single Family Housing	14,866	17,236	16,890
215999 Total loan guarantee levels	15,026	17,409	17,063
Guaranteed loan subsidy (in percent):			
232003 Guaranteed 538 Multifamily Housing	-4.79	-4.53	-4.95
232011 Guaranteed 502 Single Family Housing	-7.1	-5.6	-7.0
232999 Weighted average subsidy rate	-7.5	-6.0	-7.4
Guaranteed loan subsidy budget authority:			
233003 Guaranteed 538 Multifamily Housing	-8	-8	-9
233011 Guaranteed 502 Single Family Housing	-106	-97	-118
233999 Total subsidy budget authority	-114	-105	-127
Guaranteed loan subsidy outlays:			
234003 Guaranteed 538 Multifamily Housing	-7	-7	-7
234011 Guaranteed 502 Single Family Housing	-103	-103	-103
234999 Total subsidy outlays	-110	-110	-110
Guaranteed loan reestimates:			
235001 Guaranteed 502 Single Family Housing, Purchase	-63	-41
235002 Guaranteed 502, Refinance	-1	-1
235003 Guaranteed 538 Multifamily Housing	-16	-15

RURAL HOUSING SERVICE—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 012-2081-0-1-371	2019 actual	2020 est.	2021 est.
235011 Guaranteed 502 Single Family Housing	-270	940
235999 Total guaranteed loan reestimates	-350	883
Administrative expense data:			
3510 Budget authority	412	412	240
3590 Outlays from new authority	293	412	233

Rural Housing Insurance Fund.—This fund was established in 1965 (Public Law 89-117) pursuant to section 517 of title V of the Housing Act of 1949, as amended. Loan programs are limited to rural areas that include towns, villages, and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000 but not more than 20,000. Areas are within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

For 2021, the Section 502 single family housing guarantees are requested at a \$24 billion loan level. The subsidy rate for 2021 continues to be negative with the combination annual and up-front fee structure.

The Budget requests a loan level of \$10 million for credit sales of acquired property for single family housing loans. No funding is requested for Section 502 single family housing direct loans, Section 515 multi-family housing direct loans, Section 504 very low-income housing repair loans, Section 524 site development loans, Section 523 self-help housing land development loans, nor credit sales of acquired property for multi-family housing. However, the budget includes \$40 million for the multi-family housing preservation and revitalization pilot program that will be administered in the this account. This program was moved to this account from the Multi-family Housing Revitalization Program account.

The 2021 Budget also requests a \$230 million loan level for the multi-family housing guaranteed loan program and continues to include appropriations language that will allow the program to operate without interest subsidy and with a fee.

No funding is requested in the 2021 Budget for the farm labor housing loans and grants. For administrative costs, the 2021 Budget requests \$240 million.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. Consistent with facilitating funding flexibilities and to be able to modify post credit reform section 515 multifamily housing loans in the future, all the balances associated with the multifamily housing demonstration programs in this account will be transferred and merged with the Rural Housing Insurance Fund Program Account.

Object Classification (in millions of dollars)

Identification code 012-2081-0-1-371	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	412	412	244
41.0 Grants, subsidies, and contributions	287	1,342	13
99.9 Total new obligations, unexpired accounts	699	1,754	257

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4215-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Advances on behalf of borrowers	136	134	134
Credit program obligations:			
0710 Unobligated balance brought forward, Oct 1	1,146	1,086	2
0713 Payment of interest to Treasury	676	674	671
0742 Downward reestimates paid to receipt accounts	74	41
0743 Interest on downward reestimates	18	11
0791 Direct program activities, subtotal	1,914	1,812	673
0900 Total new obligations, unexpired accounts	2,050	1,946	807
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	296	1,268	1,223
1021 Recoveries of prior year unpaid obligations	71
1023 Unobligated balances applied to repay debt	-226
1024 Unobligated balance of borrowing authority withdrawn	-63
1050 Unobligated balance (total)	78	1,268	1,223
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1,331
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,892	1,862	1,803
1801 Change in uncollected payments, Federal sources	17	119	-1
1825 Spending authority from offsetting collections applied to repay debt	-80	-797
1850 Spending auth from offsetting collections, mand (total)	1,909	1,901	1,005
1900 Budget authority (total)	3,240	1,901	1,005
1930 Total budgetary resources available	3,318	3,169	2,228
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,268	1,223	1,421
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	691	752	635
3010 New obligations, unexpired accounts	2,050	1,946	807
3020 Outlays (gross)	-1,918	-2,063	-1,203
3040 Recoveries of prior year unpaid obligations, unexpired	-71
3050 Unpaid obligations, end of year	752	635	239
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-74	-91	-210
3070 Change in uncollected pymts, Fed sources, unexpired	-17	-119	1
3090 Uncollected pymts, Fed sources, end of year	-91	-210	-209
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	617	661	425
3200 Obligated balance, end of year	661	425	30
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	3,240	1,901	1,005
Financing disbursements:			
4110 Outlays, gross (total)	1,918	2,063	1,203
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: payments from program account	-226	-389	-57
4122 Interest on uninvested funds	-65	-83	-84
4123 Non-Federal sources: Repayments of principal	-718	-984
4123 Interest received on loans	-533	-535
4123 Payments on judgments	-1,600	-26	-27
4123 Proceeds on sale of acquired property	-55	-56
4123 Recaptured income	-35	-36
4123 Fees	-1	-10	-11
4123 Other Income	-13	-13
4130 Offsets against gross budget authority and outlays (total)	-1,892	-1,862	-1,803
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-17	-119	1
4160 Budget authority, net (mandatory)	1,331	-80	-797
4170 Outlays, net (mandatory)	26	201	-600
4180 Budget authority, net (total)	1,331	-80	-797
4190 Outlays, net (total)	26	201	-600

Status of Direct Loans (in millions of dollars)

Identification code 012-4215-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1,146	1,086	2
1150 Total direct loan obligations	1,146	1,086	2
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	17,177	16,740	17,417
1231 Disbursements: Direct loan disbursements	723	1,253	395
Repayments:			
1251 Repayments and prepayments	-969	-976	-984
1252 Proceeds from loan asset sales to the public or discounted	-139	-139	-139
Adjustments:			
1261 Capitalized interest	52	52	52
1262 Discount on loan asset sales to the public or discounted	-4	-4	-4
1263 Write-offs for default: Direct loans	-26	-26	-26
1264 Other adjustments, net (+ or -)	-100	517
1290 Outstanding, end of year	16,740	17,417	16,711

This account reflects the financing for direct rural housing loans for section the 502 very low- and low-to-moderate-income home ownership loan program; section 504 very low-income housing repair loan program; section 514 domestic farm labor housing loan program; section 515 rural rental housing loan program; sections 523 self-help housing loans, and 524 site development loans; and single family and multi-family housing credit sales of acquired property. Beginning in FY 2021 the financing for the Multi-family Housing Preservation demonstration loan programs (zero percent, soft-seconds, bullet loans and 515 loan modifications) will be reflected in this account as well.

Balance Sheet (in millions of dollars)

Identification code 012-4215-0-3-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	296	1,268
Investments in U.S. securities:		
1106 Receivables, net	266	267
1206 Non-Federal assets: Receivables, net
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	16,740	16,740
1402 Interest receivable	327	327
1404 Foreclosed property	139	139
1405 Allowance for subsidy cost (-)	-689	-1,664
1499 Net present value of assets related to direct loans	16,517	15,542
1999 Total assets	17,079	17,077
LIABILITIES:		
Federal liabilities:		
2103 Debt	17,003	17,003
2105 Other	54	44
Non-Federal liabilities:		
2201 Accounts payable	22	22
2207 Other	8
2999 Total liabilities	17,079	17,077
NET POSITION:		
3300 Cumulative results of operations
4999 Total liabilities and net position	17,079	17,077

RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4216-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Interest assistance paid to lenders	8	9	9
Credit program obligations:			
0711 Default claim payments on principal	499	651	678
0713 Payment of interest to Treasury	45	45	45
0740 Negative subsidy obligations	113	104	126
0742 Downward reestimates paid to receipt accounts	339	39
0743 Interest on downward reestimates	40	21

0791 Direct program activities, subtotal	1,036	860	849
0900 Total new obligations, unexpired accounts	1,044	869	858

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,215	929	1,607
1021 Recoveries of prior year unpaid obligations	8
1023 Unobligated balances applied to repay debt	-36	-20	-30
1024 Unobligated balance of borrowing authority withdrawn	-1
1033 Recoveries of prior year paid obligations	7	945
1050 Unobligated balance (total)	1,193	1,854	1,577
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	168
Spending authority from offsetting collections, mandatory:			
1800 Collected	612	622	631
1900 Budget authority (total)	780	622	631
1930 Total budgetary resources available	1,973	2,476	2,208
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	929	1,607	1,350

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	18	78
3010 New obligations, unexpired accounts	1,044	869	858
3020 Outlays (gross)	-1,039	-809	-856
3040 Recoveries of prior year unpaid obligations, unexpired	-8
3050 Unpaid obligations, end of year	18	78	80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	18	78
3200 Obligated balance, end of year	18	78	80

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	780	622	631
Financing disbursements:			
4110 Outlays, gross (total)	1,039	809	856
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-30	-944
4122 Interest on uninvested funds	-29	-44	-45
4123 Non-Federal sources: guarantee fees	-555	-573	-580
4123 Repayments of Principal	-5	-5	-5
4123 Interest Received on Loans	-1	-1
4130 Offsets against gross budget authority and outlays (total)	-619	-1,567	-631
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts	7	945
4160 Budget authority, net (mandatory)	168
4170 Outlays, net (mandatory)	420	-758	225
4180 Budget authority, net (total)	168
4190 Outlays, net (total)	420	-758	225

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4216-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	15,026	17,409	17,063
2142 Uncommitted loan guarantee limitation
2150 Total guaranteed loan commitments	15,026	17,409	17,063
2199 Guaranteed amount of guaranteed loan commitments	13,523	12,473	11,478

Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year	123,295	123,990	128,650
2231 Disbursements of new guaranteed loans	14,528	17,097	17,300
2251 Repayments and prepayments	-13,162	-11,786	-12,229
Adjustments:			
2263 Terminations for default that result in claim payments	-499	-651	-676
2264 Other adjustments, net	-172
2290 Outstanding, end of year	123,990	128,650	133,045

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	111,659	115,853	119,808
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	88	110	136

RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING
ACCOUNT—Continued

Status of Guaranteed Loans—Continued

Identification code 012-4216-0-3-371	2019 actual	2020 est.	2021 est.
2331 Disbursements for guaranteed loan claims	26	30	31
2351 Repayments of loans receivable	-4	-2	-2
2361 Write-offs of loans receivable		-2	-2
2364 Other adjustments, net			
2390 Outstanding, end of year	110	136	163

This account finances the guaranteed section 502 low-to-moderate-income home ownership loan program as well as the re-financings of those loans and the section 538 guaranteed multi-family housing loan program. The guaranteed programs enable the Rural Housing Service to utilize private sector resources for the making and servicing of loans while the Agency provides a financial guarantee to encourage private sector activity.

Balance Sheet (in millions of dollars)

Identification code 012-4216-0-3-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	1,427	1,159
Investments in U.S. securities:		
1106 Receivables, net	305	638
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	88	110
1502 Interest receivable	1	
1505 Allowance for subsidy cost (-)	-57	-80
1505 Currently not collectible (-)		
1599 Net present value of assets related to defaulted guaranteed loans	32	30
1999 Total assets	1,764	1,827
LIABILITIES:		
Federal liabilities:		
2103 Debt	1,647	1,780
2104 Resources payable to Treasury		
2105 Other	113	46
Non-Federal liabilities:		
2201 Accounts payable	4	1
2204 Liabilities for loan guarantees		
2999 Total liabilities	1,764	1,827
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,764	1,827

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4141-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0107 Other costs incident to loans	31	25	23
0900 Total new obligations, unexpired accounts (object class 25.2)	31	25	23
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	147	
1021 Recoveries of prior year unpaid obligations	2		
1022 Capital transfer of unobligated balances to general fund	-45	-147	
1050 Unobligated balance (total)	2		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	476	360	360
1820 Capital transfer of spending authority from offsetting collections to general fund	-300	-335	-337
1850 Spending auth from offsetting collections, mand (total)	176	25	23
1930 Total budgetary resources available	178	25	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	147		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	37	31
3010 New obligations, unexpired accounts	31	25	23
3020 Outlays (gross)	-18	-31	-28
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	37	31	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	26	37	31
3200 Obligated balance, end of year	37	31	26

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	176	25	23
Outlays, gross:			
4100 Outlays from new mandatory authority	18	22	20
4101 Outlays from mandatory balances		9	8
4110 Outlays, gross (total)	18	31	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-23		
4123 Non-Federal sources	-453	-360	-360
4130 Offsets against gross budget authority and outlays (total)	-476	-360	-360
4160 Budget authority, net (mandatory)	-300	-335	-337
4170 Outlays, net (mandatory)	-458	-329	-332
4180 Budget authority, net (total)	-300	-335	-337
4190 Outlays, net (total)	-458	-329	-332

Status of Direct Loans (in millions of dollars)

Identification code 012-4141-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	6,375	5,934	5,557
1251 Repayments: Repayments and prepayments	-313	-284	-259
1261 Adjustments: Capitalized interest	2	1	1
1263 Write-offs for default: Direct loans	-32	-17	-17
1264 Other adjustments, net (+ or -)	-98	-77	-64
1290 Outstanding, end of year	5,934	5,557	5,218

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4141-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1	1	1
2251 Repayments and prepayments			
2290 Outstanding, end of year	1	1	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 012-4141-0-3-371	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	71	184
1601 Direct loans, gross	6,375	5,934
1602 Interest receivable	646	689
1603 Allowance for estimated uncollectible loans and interest (-)	-606	-610
1604 Direct loans and interest receivable, net	6,415	6,013
1606 Foreclosed property	15	20
1699 Value of assets related to direct loans	6,430	6,033
Other Federal assets:		
1801 Cash and other monetary assets	46	46
1901 Other assets	3	3
1999 Total assets	6,550	6,266
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	6,493	5,626
Non-Federal liabilities:		
2201 Accounts payable	3	
2207 Other	54	640
2999 Total liabilities	6,550	6,266
NET POSITION:		
3300 Cumulative results of operations		

4999 Total liabilities and net position	6,550	6,266
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RURAL BUSINESS-COOPERATIVE SERVICE

Federal Funds

ENERGY ASSISTANCE PAYMENTS

(INCLUDING TRANSFER OF FUNDS)

For grants and contracts that are consistent with section 9007 of the Farm Security and Rural Investment Act of 2002, as amended (7 U.S.C. 8107), \$100,000,000, to remain available until expended, to support a higher biofuel blends infrastructure incentive program, notwithstanding limitations on eligibility and funding set forth in such section and notwithstanding limitations set forth in section 9001(16)(C) of said Act, as amended (7 U.S.C. 8101(16)(C)): Provided, That of the amounts made available under this heading, up to 3 percent shall be for administrative expenses for activities funded under this heading, which shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses".

Program and Financing (in millions of dollars)

Identification code 012-2073-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Bioenergy Program for Advanced Biofuels Payments	9	13	7
0012 Higher Blends Infrastructure Incentive Program			100
0799 Total direct obligations	9	13	107
0801 Higher Blends Infrastructure Incentive Program		100	
0900 Total new obligations, unexpired accounts	9	113	107
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		10	4
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	12	10	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			100
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	7	7	7
Spending authority from offsetting collections, mandatory:			
1800 Collected		100	
1900 Budget authority (total)	7	107	107
1930 Total budgetary resources available	19	117	111
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2	7
3010 New obligations, unexpired accounts	9	113	107
3020 Outlays (gross)	-9	-108	-38
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	2	7	76
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2	7
3200 Obligated balance, end of year	2	7	76
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			100
Outlays, gross:			
4010 Outlays from new discretionary authority			30
Mandatory:			
4090 Budget authority, gross	7	107	7
Outlays, gross:			
4100 Outlays from new mandatory authority		103	3
4101 Outlays from mandatory balances	9	5	5
4110 Outlays, gross (total)	9	108	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		-100	
4180 Budget authority, net (total)	7	7	107
4190 Outlays, net (total)	9	8	38

The purpose of the Bioenergy Program for Advanced Biofuels is to provide payments to eligible agricultural producers to support and ensure

an expanding production of advanced biofuels. This program is authorized pursuant to section 9005 of the Farm Security and Rural Investment Act of 2002, as amended by the Farm, Conservation, and Energy Act of 2008, as amended by the Agricultural Act of 2014, as amended by the Agriculture Improvement Act of 2018.

The account also includes funding for Repowering Assistance payments. The purpose of this program is to encourage biorefineries to replace fossil fuel used to produce heat or power to operate the biorefineries. This program was authorized pursuant to section 9004 of the Farm Security and Rural Investment Act of 2002, as amended by the Agricultural Act of 2014.

The Higher Blends Infrastructure Incentive program is a policy priority that will support the development of a distribution network of biodiesel and ethanol pumps at fueling stations across rural America. This program will provide grants and contracts for updating this infrastructure. The 2021 Budget requests \$100 million in discretionary funding for this program.

Object Classification (in millions of dollars)

Identification code 012-2073-0-1-452	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	9	13	107
99.0 Reimbursable obligations		100	
99.9 Total new obligations, unexpired accounts	9	113	107

RURAL COOPERATIVE DEVELOPMENT GRANTS

For rural cooperative development grants authorized under section 310B(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932), \$26,600,000, of which \$2,800,000 shall be for cooperative agreements for the appropriate technology transfer for rural areas program: *Provided*, That not to exceed \$3,000,000, which shall be for grants for cooperative development centers, individual cooperatives, or groups of cooperatives that serve socially disadvantaged groups and a majority of the boards of directors or governing boards of which are comprised of individuals who are members of socially disadvantaged groups; and of which \$15,000,000, to remain available until expended, shall be for value-added agricultural product marketing development grants, as authorized by section 210A of the Agricultural Marketing Act of 1946, of which \$3,000,000, to remain available until expended, shall be for Agriculture Innovation Centers authorized pursuant to section 6402 of Public Law 107-171. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1900-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rural Cooperative Development Grants	9	9	3
0011 Value Added Agricultural Producer Grants (discretionary)	1	10	
0012 Appropriate Technology Transfer for Rural Areas	3	3	
0014 LAMP Value Added (mandatory)		25	25
0015 LAMP Administrative Expenses (mandatory)	2	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	15	49	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	46	44
1001 Discretionary unobligated balance brought fwd, Oct 1	8	46	
1021 Recoveries of prior year unpaid obligations	5	1	1
1050 Unobligated balance (total)	13	47	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29	27	3
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	19	19	19
1900 Budget authority (total)	48	46	22
1930 Total budgetary resources available	61	93	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	46	44	37
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	77	50	43
3010 New obligations, unexpired accounts	15	49	30
3020 Outlays (gross)	-37	-55	-51

RURAL COOPERATIVE DEVELOPMENT GRANTS—Continued
Program and Financing—Continued

Identification code 012-1900-0-1-452	2019 actual	2020 est.	2021 est.
3040 Recoveries of prior year unpaid obligations, unexpired	-5	-1	-1
3050 Unpaid obligations, end of year	50	43	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	77	50	43
3200 Obligated balance, end of year	50	43	21

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29	27	3
Outlays, gross:			
4010 Outlays from new discretionary authority	1	2
4011 Outlays from discretionary balances	24	34	31
4020 Outlays, gross (total)	25	36	31
Mandatory:			
4090 Budget authority, gross	19	19	19
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2
4101 Outlays from mandatory balances	12	17	18
4110 Outlays, gross (total)	12	19	20
4180 Budget authority, net (total)	48	46	22
4190 Outlays, net (total)	37	55	51

Grants for rural cooperative development were authorized under section 310B(e) of the Consolidated Farm and Rural Development Act by Public Law 104-127, April 4, 1996. These grants are made available to nonprofit corporations and institutions of higher education to fund the establishment and operation of centers for rural cooperative development. The Appropriate Technology Transfer to Rural Areas (ATTRA) program was first authorized by the Food Security Act of 1985. The program provides information and technical assistance to agricultural producers to adopt sustainable agricultural practices that are environmentally friendly and lower production costs. These grants provide assistance to small minority producers through cooperatives and associations of cooperatives.

Additionally, USDA provides Value-Added Marketing Grants for producers of agricultural commodities. These grants can be used for planning activities and for working capital for marketing value-added agricultural products. The 2021 Budget requests \$3 million for the grants to assist minority producers program. The 2021 Budget does not request funding for any of the other programs.

HEALTHY FOODS FINANCING INITIATIVE

Program and Financing (in millions of dollars)

Identification code 012-0015-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Direct program activity	5
0900 Total new obligations, unexpired accounts (object class 41.0)	5

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	5
1930 Total budgetary resources available	2	7	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	5
3020 Outlays (gross)	-5
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2

3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	5
Outlays, gross:			
4010 Outlays from new discretionary authority	5
4180 Budget authority, net (total)	2	5
4190 Outlays, net (total)	5

RURAL ECONOMIC DEVELOPMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 012-3105-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rural economic development grants	16	10	10
0002 Subsidy	8
0003 ReConnect funding	680
0900 Total new obligations, unexpired accounts (object class 41.0)	16	698	10

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	447	660	212
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	448	660	212
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	233	250	228
1801 Change in uncollected payments, Federal sources	-4
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1
1850 Spending auth from offsetting collections, mand (total)	228	250	228
1900 Budget authority (total)	228	250	228
1930 Total budgetary resources available	676	910	440
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	660	212	430

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	8	688
3010 New obligations, unexpired accounts	16	698	10
3020 Outlays (gross)	-18	-18	-8
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3050 Unpaid obligations, end of year	8	688	690
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-17	-13	-13
3070 Change in uncollected pymts, Fed sources, unexpired	4
3090 Uncollected pymts, Fed sources, end of year	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-6	-5	675
3200 Obligated balance, end of year	-5	675	677

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	228	250	228
Outlays, gross:			
4100 Outlays from new mandatory authority	11	3
4101 Outlays from mandatory balances	18	7	5
4110 Outlays, gross (total)	18	18	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Cushion of Credit Payments	-216	-233	-211
4123 Guaranteed Underwriter Fees	-17	-17	-17
4130 Offsets against gross budget authority and outlays (total)	-233	-250	-228
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	4
4160 Budget authority, net (mandatory)	-1
4170 Outlays, net (mandatory)	-215	-232	-220
4180 Budget authority, net (total)	-1
4190 Outlays, net (total)	-215	-232	-220

Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	2	3	3
5092 Unexpired unavailable balance, EOY: Offsetting collections	3	3	3

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-1		
Outlays	-215	-232	-220
Legislative proposal, subject to PAYGO:			
Budget Authority			-10
Outlays			-10
Total:			
Budget Authority	-1		-10
Outlays	-215	-232	-230

This grant program is authorized under section 313 of the Rural Electrification Act, as amended, and provides funds for the purpose of promoting rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects and other expenses for the purpose of fostering rural development.

RURAL ECONOMIC DEVELOPMENT GRANTS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-3105-4-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rural economic development grants			-10
0900 Total new obligations, unexpired accounts (object class 41.0)			-10
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			-10
1900 Budget authority (total)			-10
1930 Total budgetary resources available			-10

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-10
3020 Outlays (gross)			10

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-10
Outlays, gross:			
4100 Outlays from new mandatory authority			-10
4180 Budget authority, net (total)			-10
4190 Outlays, net (total)			-10

Funding for this program is provided from the interest differential on Rural Utilities Service borrowers' "cushion of credit" accounts through fiscal year 2021. In the 2018 Farm Bill, Congress made available \$5 million for each fiscal year 2022 and 2023 for the cost of grants and loans. The 2021 Budget eliminates all funding for this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

RURAL MICROENTREPRENEUR ASSISTANCE PROGRAM

For the cost of loans and grants, \$6,000,000 under the same terms and conditions as authorized by section 379E of the Consolidated Farm and Rural Development Act (7 U.S.C. 2008s): *Provided*, That such costs of loans, including the cost of modifying such loans, shall be defined in section 502 of the Congressional Budget Act of 1974. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1955-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0011 Grants	4	4	
Direct loan subsidy			
0701		2	

0705 Reestimates of direct loan subsidy			1
0791 Direct program activities, subtotal			3
0900 Total new obligations, unexpired accounts (object class 41.0)	4	7	

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	6	
Appropriations, mandatory:			
1200 Appropriation			1
1900 Budget authority (total)	3	7	
1930 Total budgetary resources available	4	7	

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	8	10
3010 New obligations, unexpired accounts	4	7	
3020 Outlays (gross)	-3	-5	-3
3050 Unpaid obligations, end of year	8	10	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	8	10
3200 Obligated balance, end of year	8	10	7

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	6	
Outlays, gross:			
4011 Outlays from discretionary balances		4	3
Mandatory:			
4090 Budget authority, gross		1	
Outlays, gross:			
4100 Outlays from new mandatory authority		1	
4101 Outlays from mandatory balances	3		
4110 Outlays, gross (total)	3	1	
4180 Budget authority, net (total)	3	7	
4190 Outlays, net (total)	3	5	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1955-0-1-452	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Microenterprise Direct Loans	3	13	
Direct loan subsidy (in percent):			
132001 Rural Microenterprise Direct Loans	9.52	14.88	
132999 Weighted average subsidy rate	9.52	14.88	0.00
Direct loan subsidy budget authority:			
133001 Rural Microenterprise Direct Loans		2	
Direct loan subsidy outlays:			
134001 Rural Microenterprise Direct Loans	1		
Direct loan reestimates:			
135001 Rural Microenterprise Direct Loans	-3	2	

This program provides microentrepreneurs with the skills necessary to establish new rural microenterprises, as well as support these types of businesses with technical and financial assistance. The program provides loans and grants to intermediaries that assist microentrepreneurs. The program is authorized pursuant to section 379E(d) of the Consolidated Farm and Rural Development Act, and as amended by the Agricultural Act of 2014. The 2021 Budget includes no funding for this program.

RURAL MICROENTERPRISE INVESTMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4354-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	3	13	
0713 Payment of interest to Treasury	1	1	1
0742 Downward reestimates paid to receipt accounts	2		
0900 Total new obligations, unexpired accounts	6	14	1

RURAL MICROENTERPRISE INVESTMENT DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 012-4354-0-3-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	4
1021	Recoveries of prior year unpaid obligations	2	
1023	Unobligated balances applied to repay debt	-2	-4
1024	Unobligated balance of borrowing authority withdrawn	-2	
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	5	8
Spending authority from offsetting collections, mandatory:			
1800	Collected	5	5
1801	Change in uncollected payments, Federal sources		2
1850	Spending auth from offsetting collections, mand (total)	5	6
1900	Budget authority (total)	10	14
1930	Total budgetary resources available	10	14
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	1
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	16	11
3010	New obligations, unexpired accounts	6	14
3020	Outlays (gross)	-9	-6
3040	Recoveries of prior year unpaid obligations, unexpired	-2	
3050	Unpaid obligations, end of year	11	19
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired		-1
3090	Uncollected pymts, Fed sources, end of year	-2	-3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	14	9
3200	Obligated balance, end of year	9	16
Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	10	14
Financing disbursements:			
4110	Outlays, gross (total)	9	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	-2
4123	Repayments of Loan Principal	-4	-3
4130	Offsets against gross budget authority and outlays (total)	-5	-5
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired		-1
4160	Budget authority, net (mandatory)	5	8
4170	Outlays, net (mandatory)	4	1
4180	Budget authority, net (total)	5	8
4190	Outlays, net (total)	4	1

Status of Direct Loans (in millions of dollars)

Identification code 012-4354-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority	10	13
1121	Limitation available from carry-forward	3	1
1143	Unobligated limitation carried forward (P.L. xx) (-)	-10	-1
1150	Total direct loan obligations	3	13
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	39	41
1231	Disbursements: Direct loan disbursements	5	5
1251	Repayments: Repayments and prepayments	-3	-3
1290	Outstanding, end of year	41	43

This account finances direct loan commitments for micro-business development in rural areas. The subsidy cost of this program is funded through the Rural Microenterprise Investment Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4354-0-3-452	2018 actual	2019 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	2	4
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	39	41
1405	Allowance for subsidy cost (-)	-3	-1
1499	Net present value of assets related to direct loans	36	40
1999	Total assets	38	44
LIABILITIES:			
2103	Federal liabilities: Debt	38	44
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	38	44

RURAL BUSINESS-COOPERATIVE SERVICE

RURAL BUSINESS PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of loan guarantees for rural business development programs authorized by section 310B and described in subsections (a) and (g) of section 310B of the Consolidated Farm and Rural Development Act, \$1,500,000,000.

For the cost of loan guarantees [and grants,] for the rural business development programs authorized by section 310B and described in subsections (a), (c), (f) and (g) of section 310B of the Consolidated Farm and Rural Development Act, \$66,500,000 \$7,400,000, to remain available until expended: *Provided*, That [of the amount appropriated under this heading, not to exceed \$500,000 shall be made available for one grant to a qualified national organization to provide technical assistance for rural transportation in order to promote economic development and \$9,000,000 shall be for grants to the Delta Regional Authority (7 U.S.C. 2009aa et seq.), the Northern Border Regional Commission (40 U.S.C. 15101 et seq.), and the Appalachian Regional Commission (40 U.S.C. 14101 et seq.) for any Rural Community Advancement Program purpose as described in section 381E(d) of the Consolidated Farm and Rural Development Act, of which not more than 5 percent may be used for administrative expenses: *Provided further*, That \$4,000,000 of the amount appropriated under this heading shall be for business grants to benefit Federally Recognized Native American Tribes, including \$250,000 for a grant to a qualified national organization to provide technical assistance for rural transportation in order to promote economic development: *such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further*, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to funds made available under this heading. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1902-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0013	Rural Business Development Grants	39	48
0015	DRA and ARC Grants	10	11
0091	Direct program activities, subtotal	49	59
Credit program obligations:			
0702	Loan guarantee subsidy	30	28
0705	Reestimates of direct loan subsidy	2	
0706	Interest on reestimates of direct loan subsidy	4	
0707	Reestimates of loan guarantee subsidy	11	24
0708	Interest on reestimates of loan guarantee subsidy	5	5
0709	Administrative expenses	2	
0791	Direct program activities, subtotal	54	57
0900	Total new obligations, unexpired accounts (object class 41.0)	103	116
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	20	15
1001	Discretionary unobligated balance brought fwd, Oct 1	12	15
1011	Unobligated balance transfer from other acct [012-0405]		3
1021	Recoveries of prior year unpaid obligations	10	9

1050	Unobligated balance (total)	30	27	19
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	65	72	7
	Appropriations, mandatory:			
1200	Appropriation	23	28
1900	Budget authority (total)	88	100	7
1930	Total budgetary resources available	118	127	26
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	15	11	12

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	101	106	97
3010	New obligations, unexpired accounts	103	116	14
3020	Outlays (gross)	-88	-116	-44
3040	Recoveries of prior year unpaid obligations, unexpired	-10	-9	-8
3050	Unpaid obligations, end of year	106	97	59
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	101	106	97
3200	Obligated balance, end of year	106	97	59

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	65	72	7
	Outlays, gross:			
4010	Outlays from new discretionary authority	8	14	3
4011	Outlays from discretionary balances	57	74	41
4020	Outlays, gross (total)	65	88	44
	Mandatory:			
4090	Budget authority, gross	23	28
	Outlays, gross:			
4100	Outlays from new mandatory authority	23	28
4180	Budget authority, net (total)	88	100	7
4190	Outlays, net (total)	88	116	44

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1902-0-1-452	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135004 Business and Industry Loans	7	-1
Guaranteed loan levels supportable by subsidy budget authority:			
215007 Business and Industry Loan Guarantees	1,343	1,390	1,225
215011 Business and Industry Loan Guarantees Distressed Communities	479
215999 Total loan guarantee levels	1,343	1,390	1,704
Guaranteed loan subsidy (in percent):			
232007 Business and Industry Loan Guarantees	2.32	2.05	0.00
232011 Business and Industry Loan Guarantees Distressed Communities	2.96
232999 Weighted average subsidy rate	2.32	2.05	0.83
Guaranteed loan subsidy budget authority:			
233007 Business and Industry Loan Guarantees	31	28
233011 Business and Industry Loan Guarantees Distressed Communities	14
233999 Total subsidy budget authority	31	28	14
Guaranteed loan subsidy outlays:			
234007 Business and Industry Loan Guarantees	32	18
234999 Total subsidy outlays	32	18
Guaranteed loan reestimates:			
235006 Guaranteed Business and Industry Loans - ARRA	-2	7
235007 Business and Industry Loan Guarantees	-68	-24
235008 Business and Industry Emergency Supplemental Loan Guarantees	3	-3
235999 Total guaranteed loan reestimates	-67	-20

This account funds direct and guaranteed business and industry loans, and rural business development grants. Business and industry guaranteed loans are authorized under section 310B(a)(1) of the Consolidated Farm and Rural Development, as amended. These loans are made to public, private or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. The 2021 Budget projections for loan guarantees are \$1.5 billion. The budget request separates the subsidy rate of the Business and Industry Guaranteed Loan program into two cohorts with separate loan costs for each cohort, which will enable the program to support a market-

driven program at a zero percent subsidy rate as a base or standard program. The 2021 Budget eliminates the grant programs because they have not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4223-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713	Payment of interest to Treasury	1
0743	Interest on downward reestimates	1
0900	Total new obligations, unexpired accounts	1	1
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7
1023	Unobligated balances applied to repay debt	-7
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	8	1
1900	Budget authority (total)	8	1
1930	Total budgetary resources available	8	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	7
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1
3050	Unpaid obligations, end of year	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1
3200	Obligated balance, end of year	1
Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	8	1
Financing disbursements:			
4110	Outlays, gross (total)	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-7
4123	Repayments of principal	-1	-1
4130	Offsets against gross budget authority and outlays (total)	-8	-1
4170	Outlays, net (mandatory)	-7	-1
4180	Budget authority, net (total)
4190	Outlays, net (total)	-7	-1

Status of Direct Loans (in millions of dollars)

Identification code 012-4223-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	4	4
1251	Repayments: Repayments and prepayments	-1
1290	Outstanding, end of year	4	3

The account finances direct loans for business development in rural areas. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4223-0-3-452	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	1
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	2
1405	Allowance for subsidy cost (-)	4
1499	Net present value of assets related to direct loans	6

RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT—Continued
Balance Sheet—Continued

Identification code 012-4223-0-3-452	2018 actual	2019 actual
1502 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Interest receivable		
1999 Total assets	7	7
LIABILITIES:		
Federal liabilities:		
2103 Debt	7	7
2104 Resources payable to Treasury		
2999 Total liabilities	7	7
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	7	7

RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4227-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal	85	85	85
0713 Payment of interest to Treasury	2	2	2
0742 Downward reestimates paid to receipt accounts	71	41	
0743 Interest on downward reestimates	12	8	
0900 Total new obligations, unexpired accounts	170	136	87
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	275	255	236
1023 Unobligated balances applied to repay debt	-12		
1050 Unobligated balance (total)	263	255	236
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	43		
Spending authority from offsetting collections, mandatory:			
1800 Collected	127	125	79
1801 Change in uncollected payments, Federal sources	-8	-8	-8
1850 Spending auth from offsetting collections, mand (total)	119	117	71
1900 Budget authority (total)	162	117	71
1930 Total budgetary resources available	425	372	307
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	255	236	220
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	42
3010 New obligations, unexpired accounts	170	136	87
3020 Outlays (gross)	-169	-95	-100
3050 Unpaid obligations, end of year	1	42	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-31	-23	-15
3070 Change in uncollected pymts, Fed sources, unexpired	8	8	8
3090 Uncollected pymts, Fed sources, end of year	-23	-15	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-31	-22	27
3200 Obligated balance, end of year	-22	27	22
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	162	117	71
Financing disbursements:			
4110 Outlays, gross (total)	169	95	100
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-48	-46	
4122 Interest on uninvested funds	-5	-5	-5
4123 Repayments of principal	-31	-31	-31
4123 Guarantee Fees	-40	-40	-40
4123 Repayments of interest	-3	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-127	-125	-79

4140	Additional offsets against financing authority only (total):	8	8	8
4140	Change in uncollected pymts, Fed sources, unexpired			
4160	Budget authority, net (mandatory)	43		
4170	Outlays, net (mandatory)	42	-30	21
4180	Budget authority, net (total)	43		
4190	Outlays, net (total)	42	-30	21

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4227-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	1,343	1,390	1,704
2121 Limitation available from carry-forward			
2143 Uncommitted limitation carried forward			
2150 Total guaranteed loan commitments	1,343	1,390	1,704
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	6,158	6,411	6,743
2231 Disbursements of new guaranteed loans	1,099	1,259	1,502
2251 Repayments and prepayments	-719	-832	-875
Adjustments:			
2261 Terminations for default that result in loans receivable	-56	-54	-54
2263 Terminations for default that result in claim payments	-29	-41	-43
2264 Other adjustments, net	-42		
2290 Outstanding, end of year	6,411	6,743	7,273
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4,697	4,990	5,381
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	184	177	178
2331 Disbursements for guaranteed loan claims	54	77	81
2351 Repayments of loans receivable	-22	-18	-18
2361 Write-offs of loans receivable	-39	-58	-59
2364 Other adjustments, net			
2390 Outstanding, end of year	177	178	182

The account finances loan guarantee commitments for business development in rural areas. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4227-0-3-452	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	244	232
Investments in U.S. securities:		
1106 Receivables, net	11	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	184	177
1502 Interest receivable	3	
1505 Allowance for subsidy cost (-)	-40	-38
1599 Net present value of assets related to defaulted guaranteed loans	147	139
1999 Total assets	402	392
LIABILITIES:		
Federal liabilities:		
2103 Debt	27	57
2105 Other	75	46
2204 Non-Federal liabilities: Liabilities for loan guarantees	300	289
2999 Total liabilities	402	392
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	402	392

INTERMEDIARY RELENDING PROGRAM FUND ACCOUNT

[(INCLUDING TRANSFER OF FUNDS)]

[For the principal amount of direct loans, as authorized by the Intermediary Relending Program Fund Account (7 U.S.C. 1936b), \$18,889,000.]

[For the cost of direct loans, \$5,219,000, as authorized by the Intermediary Relending Program Fund Account (7 U.S.C. 1936b), of which \$557,000 shall be available through June 30, 2020, for Federally Recognized Native American Tribes; and of which \$1,072,000 shall be available through June 30, 2020, for Mississippi Delta Region counties (as determined in accordance with Public Law 100-460): *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.]

[In addition, for administrative expenses to carry out the direct loan programs, \$4,468,000 shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses".] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-2069-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	5	4
0705 Reestimates of direct loan subsidy		1
0706 Interest on reestimates of direct loan subsidy		1
0709 Administrative expenses	4	4
0900 Total new obligations, unexpired accounts	9	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	10
Appropriations, mandatory:			
1200 Appropriation		2
1900 Budget authority (total)	9	12
1930 Total budgetary resources available	9	12	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	13	12	10
3010 New obligations, unexpired accounts	9	10
3020 Outlays (gross)	-9	-12	-5
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	12	10	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13	12	10
3200 Obligated balance, end of year	12	10	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority	5	5
4011 Outlays from discretionary balances	4	5	5
4020 Outlays, gross (total)	9	10	5
Mandatory:			
4090 Budget authority, gross		2
Outlays, gross:			
4100 Outlays from new mandatory authority		2
4180 Budget authority, net (total)	9	12
4190 Outlays, net (total)	9	12	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-2069-0-1-452	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Intermediary Relending Program	19	19
Direct loan subsidy (in percent):			
132001 Intermediary Relending Program	22.01	27.63
132999 Weighted average subsidy rate	22.01	27.63	0.00
Direct loan subsidy budget authority:			
133001 Intermediary Relending Program	4	4
Direct loan subsidy outlays:			
134001 Intermediary Relending Program	5	5	4

Direct loan reestimates:			
135001 Intermediary Relending Program	-5	-1
Administrative expense data:			
3510 Budget authority	4
3590 Outlays from new authority	4

This account finances loans to intermediary borrowers, who, in turn, re-lend the funds to small rural businesses, community development corporations, and other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need. The 2021 Budget eliminates this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 012-2069-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	5	5
41.0 Grants, subsidies, and contributions	4	5
99.9 Total new obligations, unexpired accounts	9	10

RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4219-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	18	19
0713 Payment of interest to Treasury	13	16	16
0742 Downward reestimates paid to receipt accounts	3	2
0743 Interest on downward reestimates	2	1
0900 Total new obligations, unexpired accounts	36	38	16
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	25	5
1021 Recoveries of prior year unpaid obligations	5
1023 Unobligated balances applied to repay debt	-7
1024 Unobligated balance of borrowing authority withdrawn	-3
1050 Unobligated balance (total)	2	25	5
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	26
Spending authority from offsetting collections, mandatory:			
1800 Collected	35	37	35
1801 Change in uncollected payments, Federal sources	-2
1825 Spending authority from offsetting collections applied to repay debt		-19	-19
1850 Spending auth from offsetting collections, mand (total)	33	18	16
1900 Budget authority (total)	59	18	16
1930 Total budgetary resources available	61	43	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	48	42	46
3010 New obligations, unexpired accounts	36	38	16
3020 Outlays (gross)	-37	-34	-34
3040 Recoveries of prior year unpaid obligations, unexpired	-5
3050 Unpaid obligations, end of year	42	46	28
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired	2

RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 012-4219-0-3-452	2019 actual	2020 est.	2021 est.
3090 Uncollected pymts, Fed sources, end of year	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	31	35
3200 Obligated balance, end of year	31	35	17
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	59	18	16
Financing disbursements:			
4110 Outlays, gross (total)	37	34	34
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account	-5	-7	-5
4122 Interest on uninvested funds	-2	-1	-1
4123 Non-Federal sources - repayment of principal	-26	-26	-26
4123 Non-Federal sources - repayments of interest	-2	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-35	-37	-35
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	2		
4160 Budget authority, net (mandatory)	26	-19	-19
4170 Outlays, net (mandatory)	2	-3	-1
4180 Budget authority, net (total)	26	-19	-19
4190 Outlays, net (total)	2	-3	-1

Status of Direct Loans (in millions of dollars)

Identification code 012-4219-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	18	19	
1150 Total direct loan obligations	18	19	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	362	355	347
1231 Disbursements: Direct loan disbursements	18	18	18
1251 Repayments: Repayments and prepayments	-25	-26	-26
1290 Outstanding, end of year	355	347	339

Balance Sheet (in millions of dollars)

Identification code 012-4219-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	7	24
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	362	355
1402 Interest receivable	2	2
1405 Allowance for subsidy cost (-)	-85	-77
1499 Net present value of assets related to direct loans	279	280
1999 Total assets	286	304
LIABILITIES:		
Federal liabilities:		
2103 Debt	286	304
2104 Resources payable to Treasury		
2999 Total liabilities	286	304
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	286	304

RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4233-0-3-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	
1022 Capital transfer of unobligated balances to general fund	-1	-1	

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund	-1	-1	-1
1850 Spending auth from offsetting collections, mand (total)	1		
1930 Total budgetary resources available	1		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-2	-1	-1
4180 Budget authority, net (total)	-1	-1	-1
4190 Outlays, net (total)	-2	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 012-4233-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	6	4	3
1251 Repayments: Repayments and prepayments	-2	-1	-1
1290 Outstanding, end of year	4	3	2

Balance Sheet (in millions of dollars)

Identification code 012-4233-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	1
1601 Direct loans, gross	6	4
1999 Total assets	7	5
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	7	5
4999 Total liabilities and net position	7	5

RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT

【For the principal amount of direct loans, as authorized under section 313B(a) of the Rural Electrification Act, for the purpose of promoting rural economic development and job creation projects, \$50,000,000.】

【The cost of grants authorized under section 313B(a) of the Rural Electrification Act, for the purpose of promoting rural economic development and job creation projects shall not exceed \$10,000,000.】 (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-3108-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	6	8	
0900 Total new obligations, unexpired accounts (object class 41.0)	6	8	

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)		1	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	6	8	
1900 Budget authority (total)	6	8	
1930 Total budgetary resources available	6	9	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1	2

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	8	12
3010 New obligations, unexpired accounts	6	8	
3020 Outlays (gross)	-7	-3	-6

3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1	
3050	Unpaid obligations, end of year	8	12	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	9	8	12
3200	Obligated balance, end of year	8	12	5
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	6	8	
Outlays, gross:				
4100	Outlays from new mandatory authority		2	
4101	Outlays from mandatory balances	7	1	6
4110	Outlays, gross (total)	7	3	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-6	-8	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	1	-5	6

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3108-0-1-452	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Economic Development Loans	48	48	
Direct loan subsidy (in percent):			
132001 Rural Economic Development Loans	13.35	16.78	
132999 Weighted average subsidy rate	13.35	16.78	0.00
Direct loan subsidy budget authority:			
133001 Rural Economic Development Loans	6	8	
Direct loan subsidy outlays:			
134001 Rural Economic Development Loans	6	3	6
Direct loan reestimates:			
135001 Rural Economic Development Loans	-2	-1	

Rural economic development loans are made for the purpose of promoting rural economic development and job creation projects. Loans are made to electric and telecommunication borrowers, who, in turn, finance rural development projects in their service areas. Program costs are derived from interest earnings on borrowers' "cushion of credit" loan prepayments. The 2021 Budget eliminates this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4176-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710	Direct loan obligations	49	48
0713	Payment of interest to Treasury	5	6
0742	Downward reestimates paid to receipt accounts	2	1
0900	Total new obligations, unexpired accounts	56	55
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	16	35
1021	Recoveries of prior year unpaid obligations	3	
1023	Unobligated balances applied to repay debt	-16	-35
1024	Unobligated balance of borrowing authority withdrawn	-3	
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	48	16
Spending authority from offsetting collections, mandatory:			
1800	Collected	45	40
1801	Change in uncollected payments, Federal sources	-1	
1825	Spending authority from offsetting collections applied to repay debt	-1	-1
1850	Spending auth from offsetting collections, mand (total)	43	39

1900	Budget authority (total)	91	55	6
1930	Total budgetary resources available	91	55	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	35		

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	67	60	49
3010	New obligations, unexpired accounts	56	55	6
3020	Outlays (gross)	-60	-66	-12
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	60	49	43
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-8	-8
3070	Change in uncollected pymts, Fed sources, unexpired	1		
3090	Uncollected pymts, Fed sources, end of year	-8	-8	-8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	58	52	41
3200	Obligated balance, end of year	52	41	35

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross	91	55	6
Financing disbursements:				
4110	Outlays, gross (total)	60	66	12
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal Funds: Program Account	-7	-3	-6
4122	Interest on uninvested funds	-2	-2	-2
4123	Non-Federal sources: Repayment of Principal	-36	-35	-35
4130	Offsets against gross budget authority and outlays (total)	-45	-40	-43
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	1		
4160	Budget authority, net (mandatory)	47	15	-37
4170	Outlays, net (mandatory)	15	26	-31
4180	Budget authority, net (total)	47	15	-37
4190	Outlays, net (total)	15	26	-31

Status of Direct Loans (in millions of dollars)

Identification code 012-4176-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority	33	
1121	Limitation available from carry-forward	16	48
1150	Total direct loan obligations	49	48
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	183	200
1231	Disbursements: Direct loan disbursements	53	54
1251	Repayments: Repayments and prepayments	-36	-35
1290	Outstanding, end of year	200	219

Balance Sheet (in millions of dollars)

Identification code 012-4176-0-3-452	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	16
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	183
1405	Allowance for subsidy cost (-)	-13
1499	Net present value of assets related to direct loans	170
1999	Total assets	186
LIABILITIES:		
Federal liabilities:		
2103	Debt	186
2104	Resources payable to Treasury	
2999	Total liabilities	186
NET POSITION:		
3300	Cumulative results of operations	
4999	Total upward reestimate subsidy BA [12-3108]	186

RURAL BUSINESS INVESTMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-1907-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy	4		
0708 Interest on reestimates of loan guarantee subsidy	2		
0791 Direct program activities, subtotal	6		
0900 Total new obligations, unexpired accounts (object class 41.0)	6		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	6		
1900 Budget authority (total)	6		
1930 Total budgetary resources available	9	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3

Identification code 012-1907-0-1-452	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	6		
3020 Outlays (gross)	-6		

Identification code 012-1907-0-1-452	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	6		
Outlays, gross:			
4100 Outlays from new mandatory authority	6		
4180 Budget authority, net (total)	6		
4190 Outlays, net (total)	6		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1907-0-1-452	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001 Rural Business Investment Program	6	-1	

The Rural Business Investment Program was authorized by section 6029 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a present value basis. The 2021 Budget eliminates this program because it has not been able to show evidence of improved outcomes; such as economic growth and decreasing out-migration.

RURAL BUSINESS INVESTMENT PROGRAM GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4033-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	1		
0742 Downward reestimates paid to receipt accounts		1	
0743 Interest on downward reestimates		1	
0900 Total new obligations, unexpired accounts	1	2	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	25	23
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	22		
1900 Budget authority (total)	22		
1930 Total budgetary resources available	26	25	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	23	23

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			2
3010 New obligations, unexpired accounts	1	2	
3020 Outlays (gross)	-1		
3050 Unpaid obligations, end of year		2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			2
3200 Obligated balance, end of year		2	2

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	22		
Financing disbursements:			
4110 Outlays, gross (total)	1		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-6		
4122 Interest on uninvested funds	-1		
4123 Repayment of Principal	-15		
4130 Offsets against gross budget authority and outlays (total) ...	-22		
4170 Outlays, net (mandatory)	-21		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-21		

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4033-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			
2231 Disbursements of new guaranteed loans			
2251 Repayments and prepayments			
Adjustments:			
2261 Terminations for default that result in loans receivable			
2264 Other adjustments, net			
2290 Outstanding, end of year			
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	23	8	8
2331 Disbursements for guaranteed loan claims			
2351 Repayments of loans receivable	-15		
2390 Outstanding, end of year	8	8	8

Balance Sheet (in millions of dollars)

Identification code 012-4033-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	4	25
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	23	8
1505 Allowance for subsidy cost (-)	-11	-8
1599 Net present value of assets related to defaulted guaranteed loans	12	
1999 Total assets	16	25
LIABILITIES:		
2103 Federal liabilities: Debt	24	24
2204 Non-Federal liabilities: Liabilities for loan guarantees	-8	1
2999 Total liabilities	16	25
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	16	25

RURAL ENERGY FOR AMERICA PROGRAM

¶ For the cost of a program of loan guarantees, under the same terms and conditions as authorized by section 9007 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8107), \$706,000: *Provided*, That the cost of loan guarantees, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974. ¶ (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1908-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Grants	43	41	43
Credit program obligations:			
0702 Loan guarantee subsidy	9	23	14
0707 Reestimates of loan guarantee subsidy	1		
0791 Direct program activities, subtotal	10	23	14
0900 Total new obligations, unexpired accounts (object class 41.0)	53	64	57
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	17	8
1021 Recoveries of prior year unpaid obligations	6	7	7
1050 Unobligated balance (total)	22	24	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		1	
Appropriations, mandatory:			
1200 Appropriation	1		
1221 Appropriations transferred from other acct [012-4336]	50	50	50
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-3	-3	
1260 Appropriations, mandatory (total)	48	47	50
1900 Budget authority (total)	48	48	50
1930 Total budgetary resources available	70	72	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	61	66	70
3010 New obligations, unexpired accounts	53	64	57
3020 Outlays (gross)	-42	-53	-56
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-7	-7
3050 Unpaid obligations, end of year	66	70	64
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	61	66	70
3200 Obligated balance, end of year	66	70	64
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		1	
Mandatory:			
4090 Budget authority, gross	48	47	50
Outlays, gross:			
4100 Outlays from new mandatory authority	5	4	4
4101 Outlays from mandatory balances	37	49	52
4110 Outlays, gross (total)	42	53	56
4180 Budget authority, net (total)	48	48	50
4190 Outlays, net (total)	42	53	56

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	48	48	50
Outlays	42	53	56
Legislative proposal, subject to PAYGO:			
Budget Authority			-50
Outlays			-4
Total:			
Budget Authority	48	48	
Outlays	42	53	52

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1908-0-1-451	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Renewable Energy Loan Guarantees	206	672	582
Guaranteed loan subsidy (in percent):			
232001 Renewable Energy Loan Guarantees	4.46	3.53	2.38
232999 Weighted average subsidy rate	4.46	3.53	2.38
Guaranteed loan subsidy budget authority:			
233001 Renewable Energy Loan Guarantees	9	24	14
Guaranteed loan subsidy outlays:			
234001 Renewable Energy Loan Guarantees	8	7	12
Guaranteed loan reestimates:			
235001 Renewable Energy Loan Guarantees	-10	-22	

The Rural Energy for America Program was formerly the Renewable Energy Systems and Energy Efficiency Improvements, and is authorized under 7 U.S.C. 8107. This program provides loan guarantees and grants to farmers, ranchers, and small rural businesses to purchase renewable energy systems and make energy efficiency improvements. This program is authorized pursuant to Section 9007 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation and Energy Act of 2008, as amended by the American Taxpayer Relief Act of 2012; as amended by the Agricultural Act of 2014; and as amended by the Agriculture Improvement Act of 2018.

The 2021 Budget does not request discretionary funding because the program has not been able to show evidence of improved outcomes.

RURAL ENERGY FOR AMERICA PROGRAM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-1908-4-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Grants			-43
Credit program obligations:			
0702 Loan guarantee subsidy			-14
0791 Direct program activities, subtotal			-14
0900 Total new obligations, unexpired accounts (object class 41.0)			-57
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]			-50
1930 Total budgetary resources available			-50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			7
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-57
3020 Outlays (gross)			4
3050 Unpaid obligations, end of year			-53
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-53
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-50
Outlays, gross:			
4100 Outlays from new mandatory authority			-4
4180 Budget authority, net (total)			-50
4190 Outlays, net (total)			-4

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1908-4-1-451	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Renewable Energy Loan Guarantees			-392
Guaranteed loan subsidy (in percent):			
232001 Renewable Energy Loan Guarantees			0.00
Guaranteed loan subsidy budget authority:			
233001 Renewable Energy Loan Guarantees			-14

RURAL ENERGY FOR AMERICA PROGRAM—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 012-1908-4-1-451	2019 actual	2020 est.	2021 est.
Guaranteed loan subsidy outlays:			
234001 Renewable Energy Loan Guarantees			-12

The 2021 Budget proposes to eliminate mandatory funding because the program has not been able to show evidence of improved outcomes.

RURAL ENERGY FOR AMERICA GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4267-0-3-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal		1	1
0742 Downward reestimates paid to receipt accounts	11	20	
0743 Interest on downward reestimates	1	2	
0900 Total new obligations, unexpired accounts	12	23	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	64	73
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	13	15	22
1801 Change in uncollected payments, Federal sources	1	17	2
1850 Spending auth from offsetting collections, mand (total)	14	32	24
1930 Total budgetary resources available	76	96	97
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	64	73	96
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	12	23	1
3020 Outlays (gross)	-11	-23	-1
3050 Unpaid obligations, end of year	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-8	-25
3070 Change in uncollected pymts, Fed sources, unexpired	-1	-17	-2
3090 Uncollected pymts, Fed sources, end of year	-8	-25	-27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-7	-7	-24
3200 Obligated balance, end of year	-7	-24	-26
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	14	32	24
Financing disbursements:			
4110 Outlays, gross (total)	11	23	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-9	-6	-12
4122 Interest on uninvested funds	-1	-4	-4
4123 Guarantee fees	-3	-5	-6
4130 Offsets against gross budget authority and outlays (total)	-13	-15	-22
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-1	-17	-2
4170 Outlays, net (mandatory)	-2	8	-21
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2	8	-21

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4267-0-3-451	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	206	672	582
2150 Total guaranteed loan commitments	206	672	582
2199 Guaranteed amount of guaranteed loan commitments	167	542	470

Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year	928	1,088	1,066
2231 Disbursements of new guaranteed loans	195	124	335
2251 Repayments and prepayments	-34	-145	-168
2261 Adjustments: Terminations for default that result in loans receivable	-1	-1	-1
2290 Outstanding, end of year	1,088	1,066	1,232

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year		861	995
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year			1
2331 Disbursements for guaranteed loan claims		1	1
2351 Loss Settlement			
2390 Outstanding, end of year		1	2

This account finances loan guarantee commitments to farmers, ranchers, and small businesses to purchase renewable energy systems and make energy efficiency improvements in rural areas. The subsidy cost of this program is funded through the Rural Energy for American Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4267-0-3-451	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	49	51
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross		
1505 Allowance for subsidy cost (-)		
1599 Net present value of assets related to defaulted guaranteed loans		
1999 Total assets	49	51
LIABILITIES:		
2103 Federal liabilities: Debt	1	1
2204 Non-Federal liabilities: Liability for loan guarantees	48	50
2999 Total liabilities	49	51
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	49	51

BIOREFINERY ASSISTANCE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-3106-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0702 Loan guarantee subsidy	94	45	65
0900 Total new obligations, unexpired accounts (object class 41.0)	94	45	65
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	263	266	245
1021 Recoveries of prior year unpaid obligations	47		
1050 Unobligated balance (total)	310	266	245
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [012-4336]	50	25	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		-1	
1260 Appropriations, mandatory (total)	50	24	
1900 Budget authority (total)	50	24	
1930 Total budgetary resources available	360	290	245
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	266	245	180
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	76	123	76

3010	New obligations, unexpired accounts	94	45	65
3020	Outlays (gross)		-92	-70
3040	Recoveries of prior year unpaid obligations, unexpired	-47		
3050	Unpaid obligations, end of year	123	76	71
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	76	123	76
3200	Obligated balance, end of year	123	76	71

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	50	24	
Outlays, gross:				
4100	Outlays from new mandatory authority		11	
4101	Outlays from mandatory balances		81	70
4110	Outlays, gross (total)		92	70
4180	Budget authority, net (total)	50	24	
4190	Outlays, net (total)		92	70

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-3106-0-1-452	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Section 9003 Loan Guarantees	375	303	400
Guaranteed loan subsidy (in percent):			
232001 Section 9003 Loan Guarantees	25.03	14.93	16.16
232999 Weighted average subsidy rate	25.03	14.93	16.16
Guaranteed loan subsidy budget authority:			
233001 Section 9003 Loan Guarantees	94	45	65
Guaranteed loan subsidy outlays:			
234001 Section 9003 Loan Guarantees		71	70
Guaranteed loan reestimates:			
235001 Section 9003 Loan Guarantees	-18	-11	

The Biorefinery Assistance Program provides loan guarantees to fund the development, construction, and retrofitting of commercial-scale advanced biorefineries. The 2021 Budget does not request discretionary funding for this program. The Biorefinery Assistance Program is authorized under section 9003 of the Farm Security and Rural Investment Act of 2002; as amended by the Food, Conservation, and Energy Act of 2008, as amended by the American Taxpayers Relief Act of 2012, as amended by the Agricultural Act of 2014, and as amended by the Agriculture Improvement Act of 2018. Loan assumptions reflect an illustrative example for informational purposes only. The assumptions will be determined at the time of execution and will reflect the actual terms and conditions of the loan guarantee contracts.

BIOREFINERY ASSISTANCE GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4355-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal		1
0742	Downward reestimates paid to receipt accounts	17	10
0743	Interest on downward reestimates	1	2
0900	Total new obligations, unexpired accounts	18	13
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	153	174
1023	Unobligated balances applied to repay debt	-13	
1050	Unobligated balance (total)	140	174
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	5	80
1801	Change in uncollected payments, Federal sources	47	-31
1850	Spending auth from offsetting collections, mand (total)	52	49
1900	Budget authority (total)	52	49
1930	Total budgetary resources available	192	223
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	174	210

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		12
3010	New obligations, unexpired accounts	18	13
3020	Outlays (gross)	-18	-1
3050	Unpaid obligations, end of year		12
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-74	-121
3070	Change in uncollected pymts, Fed sources, unexpired	-47	31
3090	Uncollected pymts, Fed sources, end of year	-121	-90
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-74	-121
3200	Obligated balance, end of year	-121	-78

Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross	52	49
Financing disbursements:			
4110	Outlays, gross (total)	18	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources		-71
4122	Interest on uninvested funds	-1	-3
4123	Guaranteed Fees	-4	-6
4130	Offsets against gross budget authority and outlays (total)	-5	-80
Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired	-47	31
4170	Outlays, net (mandatory)	13	-79
4180	Budget authority, net (total)		
4190	Outlays, net (total)	13	-79

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4355-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority		
2121	Limitation available from carry-forward	375	303
2150	Total guaranteed loan commitments	375	303
2199	Guaranteed amount of guaranteed loan commitments	337	218
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	373	311
2231	Disbursements of new guaranteed loans		443
2251	Repayments and prepayments		-10
Adjustments:			
2263	Terminations for default that result in claim payments		-1
2264	Other adjustments, net	-62	
2264	Other adjustments, net		
2290	Outstanding, end of year	311	743

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year	249	737
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	52	
2331	Disbursements for guaranteed loan claims		
2351	Repayments of loans receivable	-2	
2364	Other adjustments, net	-50	
2390	Outstanding, end of year		

The account finances loan guarantee commitments for bioenergy, renewable chemical, and biobased product manufacturing development. The subsidy cost of this program is funded through the Biorefinery Assistance Program Account.

Balance Sheet (in millions of dollars)

Identification code 012-4355-0-3-452	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	75
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross	52
1502	Interest receivable	
1505	Allowance for subsidy cost (-)	-11

BIOREFINERY ASSISTANCE GUARANTEED LOAN FINANCING ACCOUNT—Continued
Balance Sheet—Continued

Identification code 012-4355-0-3-452	2018 actual	2019 actual
1599 Net present value of assets related to defaulted guaranteed loans	41	
1999 Total assets	116	50
LIABILITIES:		
2103 Federal liabilities: Debt	15	2
Non-Federal liabilities:		
2203 Debt		
2204 Liabilities for loan guarantees	101	48
2999 Total liabilities	116	50
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	116	50

Outlays, gross:			
4010	Outlays from new discretionary authority	6	
4011	Outlays from discretionary balances	17	15
			12
4020	Outlays, gross (total)	17	21
4180	Budget authority, net (total)	10	10
4190	Outlays, net (total)	17	21
			12

High energy costs grants can be made to eligible entities or the Denali Commission to construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy (as determined by the Energy Information Agency using the most recent data available). Grants are also available to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where the fuel cannot be shipped by means of surface transportation. The Budget proposes no funding in 2021 for these grants.

ALTERNATIVE AGRICULTURAL RESEARCH AND COMMERCIALIZATION CORPORATION
REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 012-4144-0-3-352	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1
1930	Total budgetary resources available	1	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by section 306 and described in section 381E(d)(2) of the Consolidated Farm and Rural Development Act, as follows: \$1,270,000,000 for direct loans; and \$58,333,000 for guaranteed loans.

For the cost of direct loans, loan guarantees and grants, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, for rural water, waste water, waste disposal, and solid waste management programs authorized by sections 306, 306A, 306C, 306D, 306E, and 310B and described in sections 306C(a)(2), 306D, 306E, and 381E(d)(2) of the Consolidated Farm and Rural Development Act, **[\$659,480,000] \$614,070,000**, to remain available until expended, of which not to exceed \$1,000,000 shall be available for the rural utilities program described in section 306(a)(2)(B) of such Act, and of which not to exceed **[\$5,000,000] \$1,000,000** shall be available for the rural utilities program described in section 306E of such Act: *Provided*, That not to exceed \$15,000,000 of the amount appropriated under this heading shall be for grants authorized by section 306A(i)(2) of the Consolidated Farm and Rural Development Act in addition to funding authorized by section 306A(i)(1) of such Act: *Provided further*, That \$68,000,000 of the amount appropriated under this heading shall be for loans and grants including water and waste disposal systems grants authorized by section 306C(a)(2)(B) and section 306D of the Consolidated Farm and Rural Development Act, and Federally Recognized Native American Tribes authorized by 306C(a)(1) of such Act: *Provided further*, That funding provided for section 306D of the Consolidated Farm and Rural Development Act may be provided to a consortium formed pursuant to section 325 of Public Law 105-83: *Provided further*, That not more than 2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by the State of Alaska for training and technical assistance programs and not more than 2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by a consortium formed pursuant to section 325 of Public Law 105-83 for training and technical assistance programs: *Provided further*, That not to exceed **[\$30,000,000] \$40,000,000** of the amount appropriated under this heading shall be for technical assistance grants for rural water and waste systems pursuant to section 306(a)(14) of such Act, unless the Secretary makes a determination of extreme need, of which \$8,000,000 shall be made available for a grant to a qualified nonprofit multi-State regional technical assistance organization, with experience in working with small communities on water and waste water problems, the principal purpose of such grant shall be to assist rural communities with populations of 3,300 or less, in improving the planning, financing, development, operation, and management of water and waste water systems, and of which not less than \$800,000 shall be for a qualified national Native American organization to provide technical assistance for rural water systems for tribal communities: *Provided further*, That not to exceed **[\$19,570,000] \$19,000,000** of the amount appropriated under this heading shall be for contracting with qualified national organizations for a circuit rider program to provide technical assistance for rural water systems: *Provided further*, That not to exceed \$4,000,000 of the amounts made available under this heading shall be for solid waste management grants: *Provided further*, That \$10,000,000 of the amount appropriated under this heading shall be transferred to, and merged with, the Rural Utilities Service, High Energy Cost Grants Account to provide grants authorized under section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 918a): *Provided further*, That any prior year

RURAL UTILITIES SERVICE

Federal Funds

HIGH ENERGY COST GRANTS

Program and Financing (in millions of dollars)

Identification code 012-2042-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	High energy cost grants	12	13
0900	Total new obligations, unexpired accounts (object class 41.0)	12	13
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	3
1021	Recoveries of prior year unpaid obligations	3	
1050	Unobligated balance (total)	5	3
Budget authority:			
Appropriations, discretionary:			
1121	Appropriations transferred from other acct [012-1980]	10	10
1930	Total budgetary resources available	15	13
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	37	29
3010	New obligations, unexpired accounts	12	13
3020	Outlays (gross)	-17	-21
3040	Recoveries of prior year unpaid obligations, unexpired	-3	
3050	Unpaid obligations, end of year	29	21
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	37	29
3200	Obligated balance, end of year	29	21
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	10	10

balances for high-energy cost grants authorized by section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 918a) shall be transferred to and merged with the Rural Utilities Service, High Energy Cost Grants Account J: *Provided further*, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1980-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Water and waste disposal systems grants	667	616	638
0011 Water and waste disposal systems grants supplemental	1	164
0012 Solid waste management grants	4	4	4
0013 Emergency Community Water Assistance Grants	3
0015 Emergency Community Water Assistance Grants, appropriated	13	16	16
0016 Mandatory Farm Bill Grants	5
0017 Water and Waste Pilot Program GP 783	5
0091 Direct program activities, subtotal	685	810	661
Credit program obligations:			
0701 Direct loan subsidy	66
0705 Reestimates of direct loan subsidy	58	96
0706 Interest on reestimates of direct loan subsidy	26	59
0709 Administrative expenses	3
0791 Direct program activities, subtotal	87	221
0900 Total new obligations, unexpired accounts (object class 41.0)	772	1,031	661
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	302	267	96
1001 Discretionary unobligated balance brought fwd, Oct 1	302	267
1011 Unobligated balance transfer from other acct [012-0405]	1
1021 Recoveries of prior year unpaid obligations	40	50	42
1050 Unobligated balance (total)	342	318	138
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	624	664	614
1120 Appropriations transferred to other accts [012-2042]	-10	-10
1160 Appropriation, discretionary (total)	614	654	614
Appropriations, mandatory:			
1200 Appropriation	83	155
1900 Budget authority (total)	697	809	614
1930 Total budgetary resources available	1,039	1,127	752
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	267	96	91
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,487	2,625	2,551
3010 New obligations, unexpired accounts	772	1,031	661
3020 Outlays (gross)	-594	-1,055	-1,049
3040 Recoveries of prior year unpaid obligations, unexpired	-40	-50	-42
3050 Unpaid obligations, end of year	2,625	2,551	2,121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,487	2,625	2,551
3200 Obligated balance, end of year	2,625	2,551	2,121
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	614	654	614
Outlays, gross:			
4010 Outlays from new discretionary authority	11	25	19
4011 Outlays from discretionary balances	500	862	1,027
4020 Outlays, gross (total)	511	887	1,046
Mandatory:			
4090 Budget authority, gross	83	155
Outlays, gross:			
4100 Outlays from new mandatory authority	83	155
4101 Outlays from mandatory balances	13	3
4110 Outlays, gross (total)	83	168	3
4180 Budget authority, net (total)	697	809	614
4190 Outlays, net (total)	594	1,055	1,049

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1980-0-1-452	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Water and Waste Disposal Loans	1,092	1,447	1,270
115999 Total direct loan levels	1,092	1,447	1,270
Direct loan subsidy (in percent):			
132001 Water and Waste Disposal Loans	-27	4.56	-1.53
132999 Weighted average subsidy rate	-27	4.56	-1.53
Direct loan subsidy budget authority:			
133001 Water and Waste Disposal Loans	-3	66	-19
133999 Total subsidy budget authority	-3	66	-19
Direct loan subsidy outlays:			
134001 Water and Waste Disposal Loans	18	20	23
134999 Total subsidy outlays	18	20	23
Direct loan reestimates:			
135001 Water and Waste Disposal Loans	72	50
135999 Total direct loan reestimates	72	50
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Water and Waste Disposal Loan Guarantees	11	57	67
Guaranteed loan subsidy (in percent):			
232001 Water and Waste Disposal Loan Guarantees	0.38	0.14	0.12
232999 Weighted average subsidy rate	0.38	0.14	0.12

This account funds the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, and solid waste management grants.

Water and waste disposal loans are authorized under 7 U.S.C. 1926. The program provides direct loans to municipalities, counties, special purpose districts, certain Indian Tribes, and non-profit corporations to develop water and waste disposal systems in rural areas and towns with populations of less than 20,000. The program also guarantees water and waste disposal loans made by banks and other eligible lenders.

Water and waste disposal grants are authorized under Section 306(a)(2) of the Consolidated Farm and Rural Development Act, as amended. Grants are authorized to be made to associations, including nonprofit corporations, municipalities, counties, public and quasi-public agencies, and certain Indian tribes. The grants can be used to finance development, storage, treatment, purification, or distribution of water or the collection, treatment, or disposal of waste in rural areas and cities or towns with populations of less than 10,000. The amount of any development grant may not exceed 75 percent of the eligible development cost of the project.

Emergency community water assistance grants are authorized under Section 306A of the Consolidated Farm and Rural Development Act, as amended. Grants are made to public bodies and nonprofit organizations for construction or extension of water lines, repair or maintenance of existing systems, replacement of equipment, and payment of costs to correct emergency situations. These grants are funded on an as needed basis using flexibility of funds authority.

Solid waste management grants are authorized under Section 310B(b) of the Consolidated Farm and Rural Development Act, as amended. Grants are made to non-profit organizations to provide regional technical assistance to local and regional governments and related agencies for the purpose of reducing or eliminating pollution of water resources, and for improving the planning and management of solid waste disposal facilities.

The 2021 Budget requests \$1.27 billion in direct loans, \$50 million in guaranteed loans, and \$614 million in grants. The 2018 Farm Bill increased the population limit to 20,000 for direct loans. Increasing the population limit will help additional communities to get funding from this program, it will improve customer service and lower per person rates for these communities. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond

RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT—Continued
(including credit sales of acquired property). The subsidy amounts are estimated on a present value basis.

RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4226-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	1,092	1,447	1,270
0713 Payment of interest to Treasury	490	548	576
0740 Negative subsidy obligations	3	20	
0742 Downward reestimates paid to receipt accounts	10	86	
0743 Interest on downward reestimates	1	19	
0900 Total new obligations, unexpired accounts	1,596	2,100	1,866
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	105	563	
1021 Recoveries of prior year unpaid obligations	159	126	
1023 Unobligated balances applied to repay debt	-108	-566	
1024 Unobligated balance of borrowing authority withdrawn	-156	-123	
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1,547	551	427
Spending authority from offsetting collections, mandatory:			
1800 Collected	984	1,505	1,464
1801 Change in uncollected payments, Federal sources	-23	44	-25
1825 Spending authority from offsetting collections applied to repay debt	-349		
1850 Spending auth from offsetting collections, mand (total)	612	1,549	1,439
1900 Budget authority (total)	2,159	2,100	1,866
1930 Total budgetary resources available	2,159	2,100	1,866
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	563		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,716	4,870	4,424
3010 New obligations, unexpired accounts	1,596	2,100	1,866
3020 Outlays (gross)	-1,283	-2,420	-2,133
3040 Recoveries of prior year unpaid obligations, unexpired	-159	-126	
3050 Unpaid obligations, end of year	4,870	4,424	4,157
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-88	-65	-109
3070 Change in uncollected pymts, Fed sources, unexpired	23	-44	25
3090 Uncollected pymts, Fed sources, end of year	-65	-109	-84
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,628	4,805	4,315
3200 Obligated balance, end of year	4,805	4,315	4,073
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	2,159	2,100	1,866
Financing disbursements:			
4110 Outlays, gross (total)	1,283	2,420	2,133
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-104	-177	-24
4122 Interest on uninvested funds	-38	-62	-68
4123 Repayment of principal	-438	-805	-872
4123 Interest Received on Loans	-404	-461	-500
4130 Offsets against gross budget authority and outlays (total) ...	-984	-1,505	-1,464
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	23	-44	25
4160 Budget authority, net (mandatory)	1,198	551	427
4170 Outlays, net (mandatory)	299	915	669
4180 Budget authority, net (total)	1,198	551	427
4190 Outlays, net (total)	299	915	669

Status of Direct Loans (in millions of dollars)

Identification code 012-4226-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1,092	1,447	1,270
1150 Total direct loan obligations	1,092	1,447	1,270
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	12,506	12,870	13,715
1231 Disbursements: Direct loan disbursements	780	1,734	1,734
1251 Repayments: Repayments and prepayments	-439	-889	-889
1261 Adjustments: Capitalized interest	17		
1263 Write-offs for default: Direct loans	-6		
1264 Other adjustments, net (+ or -)	12		
1290 Outstanding, end of year	12,870	13,715	14,560

The subsidy cost of these loans is provided through the Rural Water and Waste Disposal Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4226-0-3-452	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	105	562
Investments in U.S. securities:		
1106 Receivables, net	83	155
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	12,506	12,870
1402 Interest receivable	95	89
1404 Foreclosed property		1
1405 Allowance for subsidy cost (-)	-264	-301
1499 Net present value of assets related to direct loans	12,337	12,659
1999 Total assets	12,525	13,376
LIABILITIES:		
Federal liabilities:		
2103 Debt	12,515	13,271
2105 Other	10	105
2999 Total liabilities	12,525	13,376
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	12,525	13,376

RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4218-0-3-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	5
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4218-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	11	57	67
2121 Limitation available from carry-forward			
2150 Total guaranteed loan commitments	11	57	67
2199 Guaranteed amount of guaranteed loan commitments	11	57	67
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	91	77	87
2231 Disbursements of new guaranteed loans	8	16	28
2251 Repayments and prepayments	-22	-6	-6

2290	Outstanding, end of year	77	87	109
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	77	78	97

This account finances loan guarantee commitments for water systems and waste disposal facilities in rural areas. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Balance Sheet (in millions of dollars)

Identification code 012-4218-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	1
1999 Total assets	1	1
LIABILITIES:		
2105 Federal liabilities: Other		
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	1
2999 Total liabilities	1	1
4999 Total liabilities and net position	1	1

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

The principal amount of direct [and guaranteed] loans as authorized by sections 4, 305 [, 306], and 317 of the Rural Electrification Act of 1936 (7 U.S.C. 904, 935 [, 936], and 940g) shall be made as follows: loans made pursuant to sections 4(c)(2), 305(d)(2) [, 306], and 317, notwithstanding 317(c), of that Act, *direct* rural electric loans, \$5,500,000,000; [guaranteed underwriting loans pursuant to section 313A of that Act, \$750,000,000; 5 percent rural telecommunications loans,] cost of money rural telecommunications loans [, and for loans made pursuant to section 306 of that Act, rural telecommunications loans], \$690,000,000 [: *Provided*, That up to \$2,000,000,000 shall be used for the construction, acquisition, design and engineering or improvement of fossil-fueled electric generating plants (whether new or existing) that utilize carbon subsurface utilization and storage systems] .

For the cost of direct loans as authorized by section 305(d)(2) of the Rural Electrification Act of 1936 (7 U.S.C. 935(d)(2)), including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, cost of money rural telecommunications loans, [\$3,795,000] \$4,554,000.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, [\$33,270,000] \$38,000,000, which shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1230-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	6	18	9
0703 Subsidy for modifications of direct loans	826		
0705 Reestimates of direct loan subsidy	401	258	
0706 Interest on reestimates of direct loan subsidy	104	85	
0709 Administrative expenses	33	33	38
0900 Total new obligations, unexpired accounts	1,370	394	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	34	32	15
1001 Discretionary unobligated balance brought fwd, Oct 1	34	32	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	45	49	43
1120 Appropriations transferred to other acct [012-4609]	-1		
1131 Unobligated balance of appropriations permanently reduced		-15	
1160 Appropriation, discretionary (total)	44	34	43

1200 Appropriations, mandatory:			
Appropriation	1,328	343	
1900 Budget authority (total)	1,372	377	43
1930 Total budgetary resources available	1,406	409	58
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	32	15	11

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	17	17
3010 New obligations, unexpired accounts	1,370	394	47
3020 Outlays (gross)	-1,364	-394	-51
3050 Unpaid obligations, end of year	17	17	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	17	17
3200 Obligated balance, end of year	17	17	13

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	44	34	43
Outlays, gross:			
4010 Outlays from new discretionary authority	33	34	38
4011 Outlays from discretionary balances		17	13
4020 Outlays, gross (total)	33	51	51
Mandatory:			
4090 Budget authority, gross	1,328	343	
Outlays, gross:			
4100 Outlays from new mandatory authority	1,328	343	
4101 Outlays from mandatory balances	3		
4110 Outlays, gross (total)	1,331	343	
4180 Budget authority, net (total)	1,372	377	43
4190 Outlays, net (total)	1,364	394	51

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1230-0-1-271	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115003 Treasury Electric Loans			3,800
115004 FFB Electric Loans	4,989	2,993	
115006 Treasury Telecommunications Loans	165	345	690
115007 FFB Telecommunications Loans	17	83	
115008 FFB Guaranteed Underwriting	750	750	
115012 Rural Energy Savings Program	34	82	39
115013 Electric Loan Modifications Pilot	7		
115999 Total direct loan levels	5,962	4,253	4,529
Direct loan subsidy (in percent):			
132003 Treasury Electric Loans			-2.54
132004 FFB Electric Loans	-4.38	-3.87	
132006 Treasury Telecommunications Loans	0.48	1.10	0.66
132007 FFB Telecommunications Loans	-2.71	-2.42	
132008 FFB Guaranteed Underwriting	-39	-39	
132012 Rural Energy Savings Program	14.03	17.25	10.25
132013 Electric Loan Modifications Pilot	0.00		
132999 Weighted average subsidy rate	-3.63	-2.42	-1.94
Direct loan subsidy budget authority:			
133003 Treasury Electric Loans			-97
133004 FFB Electric Loans	-219	-116	
133006 Treasury Telecommunications Loans	1	4	5
133007 FFB Telecommunications Loans		-2	
133008 FFB Guaranteed Underwriting	-3	-3	
133012 Rural Energy Savings Program	5	14	4
133999 Total subsidy budget authority	-216	-103	-88
Direct loan subsidy outlays:			
134001 Electric Hardship Loans	2		
134002 Municipal Electric Loans	23		
134003 Treasury Electric Loans	46		-7
134004 FFB Electric Loans	499	-180	-173
134005 Telecommunication Hardship Loans	7		
134006 Treasury Telecommunications Loans	11	3	
134007 FFB Telecommunications Loans	3	-1	-1
134008 FFB Guaranteed Underwriting	-3	-3	-3
134011 Electric Loan Modifications	49		
134012 Rural Energy Savings Program	1	14	8
134013 Electric Loan Modifications Pilot	3		
134999 Total subsidy outlays	641	-167	-176
Direct loan reestimates:			
135001 Electric Hardship Loans	1	-2	
135002 Municipal Electric Loans	18	-6	
135003 Treasury Electric Loans	17	-34	
135004 FFB Electric Loans	163	-798	

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM
ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

Identification code 012-1230-0-1-271	2019 actual	2020 est.	2021 est.
135005 Telecommunication Hardship Loans		-7	
135006 Treasury Telecommunications Loans	-7	-5	
135007 FFB Telecommunications Loans	-3	-31	
135008 FFB Guaranteed Underwriting	-390	171	
135011 Electric Loan Modifications	6	-40	
135013 Electric Loan Modifications Pilot		4	
135999 Total direct loan reestimates	-195	-748	
Administrative expense data:			
3510 Budget authority	33	33	38
3590 Outlays from new authority	33	33	38

The Rural Utilities Service (RUS) conducts the rural electrification and the rural telecommunications loan programs. The rural electrification loan program finances the operation of generating plants, electric transmission, and distribution lines or systems. The rural telecommunications loan program provides funding for construction, expansion, and operation of telecommunications lines and facilities or systems. The Budget requests \$5.5 billion for the electric Treasury direct loan program, and \$690 million for the telecommunications Treasury direct loan program. For administrative costs, the 2021 Budget requests \$38 million.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, the subsidy costs associated with the direct and guaranteed loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 012-1230-0-1-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	33	33	38
41.0 Grants, subsidies, and contributions	1,337	361	9
99.9 Total new obligations, unexpired accounts	1,370	394	47

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4208-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Interest on FFB Loans	1,505	1,346	1,570
Credit program obligations:			
0710 Direct loan obligations	5,962	4,253	4,529
0713 Payment of interest to Treasury	269	315	324
0740 Negative subsidy obligations	222	121	97
0742 Downward reestimates paid to receipt accounts	287	627	
0743 Interest on downward reestimates	413	464	
0791 Direct program activities, subtotal	7,153	5,780	4,950
0900 Total new obligations, unexpired accounts	8,658	7,126	6,520
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,587	4,655	8
1021 Recoveries of prior year unpaid obligations	1,283		
1023 Unobligated balances applied to repay debt	-46	-4,655	
1024 Unobligated balance of borrowing authority withdrawn	-1,283		
1033 Recoveries of prior year paid obligations	53		
1050 Unobligated balance (total)	2,594		8

Financing authority:

Appropriations, mandatory:			
1200 Appropriation	84		
Borrowing authority, mandatory:			
1400 Borrowing authority	6,585	4,140	3,775
Spending authority from offsetting collections, mandatory:			
1800 Collected	8,121	5,061	4,887
1801 Change in uncollected payments, Federal sources	5	12	-1
1825 Spending authority from offsetting collections applied to repay debt	-4,076	-2,079	-2,141
1850 Spending auth from offsetting collections, mand (total)	4,050	2,994	2,745
1900 Budget authority (total)	10,719	7,134	6,520
1930 Total budgetary resources available	13,313	7,134	6,528
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4,655	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	13,839	13,899	15,117
3010 New obligations, unexpired accounts	8,658	7,126	6,520
3020 Outlays (gross)	-7,315	-5,908	-5,908
3040 Recoveries of prior year unpaid obligations, unexpired	-1,283		
3050 Unpaid obligations, end of year	13,899	15,117	15,729
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-11	-16	-28
3070 Change in uncollected pymts, Fed sources, unexpired	-5	-12	1
3090 Uncollected pymts, Fed sources, end of year	-16	-28	-27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13,828	13,883	15,089
3200 Obligated balance, end of year	13,883	15,089	15,702

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	10,719	7,134	6,520
Financing disbursements:			
4110 Outlays, gross (total)	7,315	5,908	5,908
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account	-1,331	-361	-9
4122 Interest on uninvested funds	-281	-254	-256
4123 Repayment of principal	-2,485	-1,270	-1,016
4123 Interest received on loans	-2,935	-914	-1,005
4123 Repayment of principal Cushion of Credit	-636	-1,175	-1,276
4123 Repayment of interest Cushion of Credit	-506	-1,087	-1,325
4130 Offsets against gross budget authority and outlays (total)	-8,174	-5,061	-4,887
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-5	-12	1
4143 Recoveries of prior year paid obligations, unexpired accounts	53		
4150 Additional offsets against budget authority only (total)	48	-12	1
4160 Budget authority, net (mandatory)	2,593	2,061	1,634
4170 Outlays, net (mandatory)	-859	847	1,021
4180 Budget authority, net (total)	2,593	2,061	1,634
4190 Outlays, net (total)	-859	847	1,021

Status of Direct Loans (in millions of dollars)

Identification code 012-4208-0-3-271	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	5,962	4,253	4,529
1150 Total direct loan obligations	5,962	4,253	4,529
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	49,727	49,530	52,149
1231 Disbursements: Direct loan disbursements	4,328	3,699	5,908
Repayments:			
1251 Repayments and prepayments - Cash	-1,646	-1,174	-1,292
1251 Repayments and prepayments - CoC	-2,935	-1,477	-1,462
1264 Other adjustments, Reclassified, net	56	1,571	
1290 Outstanding, end of year	49,530	52,149	55,303

Balance Sheet (in millions of dollars)

Identification code 012-4208-0-3-271	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	2,467	4,294
Investments in U.S. securities:		
1106 Receivables, net	553	194

Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	47,037	47,033
1402	Interest receivable	296	73
1405	Allowance for subsidy cost (-)	-560	-577
1499	Net present value of assets related to direct loans	46,773	46,529
1999	Total assets	49,793	51,017
LIABILITIES:			
Federal liabilities:			
2102	Interest payable	348	26
2103	Debt	3,996	4,854
2103	FFB	44,855	45,095
2105	Other	594	1,020
2207	Non-Federal liabilities: Other		
2999	Total liabilities	49,793	50,995
NET POSITION:			
3300	Cumulative results of operations		22
4999	Total liabilities and net position	49,793	51,017
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	118	360
Investments in U.S. securities:			
1106	Receivables, net	36	14
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	2,690	2,497
1402	Interest receivable	3	
1405	Allowance for subsidy cost (-)	58	33
1499	Net present value of assets related to direct loans	2,751	2,530
1999	Total assets	2,905	2,904
LIABILITIES:			
Federal liabilities:			
2102	Interest payable	6	
2103	Debt	1,911	2,010
2103	FFB	946	875
2105	Other	42	19
2207	Non-Federal liabilities: Other		
2999	Total liabilities	2,905	2,904
4999	Total liabilities and net position	2,905	2,904

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS GUARANTEED LOANS FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4209-0-3-271	2019 actual	2020 est.	2021 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	161	156	151
2251	Repayments and prepayments	-5	-5	-4
2290	Outstanding, end of year	156	151	147
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	156	151	147

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4230-0-3-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0002	Interest Expense, FFB direct	19	11	9
0005	Other: cushion of credit	211	162	156
0091	Direct program activities, subtotal	230	173	165
Credit program obligations:				
0738	Cushion of Credit Direct Loan Payments	237		
0739	CoC for Financing	1,801	1,901	2,062
0791	Direct program activities, subtotal	2,038	1,901	2,062
0900	Total new obligations, unexpired accounts	2,268	2,074	2,227

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	7,232	5,613	4,118
1022	Capital transfer of unobligated balances to general fund	-117	-100	
1050	Unobligated balance (total)	7,115	5,513	4,118
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation for CoC Borrower Interest	348	362	358
1200	Appropriation for RED Grants	228	162	156
1260	Appropriations, mandatory (total)	576	524	514
Spending authority from offsetting collections, mandatory:				
1800	Collected	358	155	135
1825	Spending authority from offsetting collections applied to repay debt	-168		
1850	Spending auth from offsetting collections, mand (total)	190	155	135
1900	Budget authority (total)	766	679	649
1930	Total budgetary resources available	7,881	6,192	4,767
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5,613	4,118	2,540

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	23	13	1,395
3010	New obligations, unexpired accounts	2,268	2,074	2,227
3020	Outlays (gross)	-2,278	-692	-649
3050	Unpaid obligations, end of year	13	1,395	2,973
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	23	13	1,395
3200	Obligated balance, end of year	13	1,395	2,973

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	766	679	649
Outlays, gross:				
4100	Outlays from new mandatory authority	217	679	649
4101	Outlays from mandatory balances	2,061	13	
4110	Outlays, gross (total)	2,278	692	649
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Loans Repaid - Cash	-209	-61	-52
4123	Interest Repaid - Cash	-33	-27	-24
4123	Loans Repaid - CoC	-97	-59	-52
4123	Interest Repaid - CoC	-19	-8	-7
4130	Offsets against gross budget authority and outlays (total)	-358	-155	-135
4160	Budget authority, net (mandatory)	408	524	514
4170	Outlays, net (mandatory)	1,920	537	514
4180	Budget authority, net (total)	408	524	514
4190	Outlays, net (total)	1,920	537	514

Status of Direct Loans (in millions of dollars)

Identification code 012-4230-0-3-999	2019 actual	2020 est.	2021 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	2,366	3,250	3,159
Repayments:				
1251	Repayments and prepayments - Cash	-30	-60	-52
1251	Repayments and prepayments - CoC	-107	-60	-52
1261	Adjustments: Capitalized interest	29	29	28
1264	Other adjustments, net (+ or -)	992		
1290	Outstanding, end of year	3,250	3,159	3,083

STATUS OF AGENCY DEBT

In millions of dollars			
	2019 actual	2020 est.	2021 est.
Agency debt held by FFB:			
Outstanding FFB direct, start of year	352	202	52
Outstanding Certificate of Beneficial Ownership (CBO's), start of year	135	135	135
New agency borrowing, FFB direct	0	0	0
Repayments and prepayments, FFB Direct	-168	-147	-126
Repayments, CBO's-344	0	0	0
Outstanding FFB direct, end of year	184	55	0
Outstanding CBO's, end of year	135	135	135

The Rural Telephone Bank was dissolved in 2006. To accomplish this, the Rural Telephone Bank liquidating account loans were used to redeem a portion of the Government's stock. The Rural Telephone Bank liquidating

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING
ACCOUNT—Continued

account loans were transferred to the Rural Electrification and Telecommunications liquidating account in 2006.

The Rural Utilities Service (RUS) continues to service all loans in this account, providing business management and technical assistance to the borrowers on a regular basis over the life of the loans.

Rural electric.—This program is financed through RUS direct loans for the construction and operation of generating plants, electric transmission, and distribution lines or systems.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in Rural Electrification and Telecommunications Revolving Fund in 1992 and beyond is recorded in corresponding program and financing accounts.

The following tables reflect statistics on loans made through the liquidating account only. Since 1992 new electric and telephone loans have been made through a separate program account.

ELECTRIC PROGRAM STATISTICS

	dollars in millions		
	2019 actual	2020 est.	2021 est.
Cumulative RUS financed direct loans	21,879	21,879	21,879
Cumulative FFB financed direct loans	26,598	26,598	26,598
Cumulative RUS funds advanced	21,879	21,879	21,879
Unadvanced RUS funds, end of year	0	0	0
Cumulative RUS principal repaid	21,864	22,175	22,175
Cumulative RUS interest paid	13,678	13,680	13,682
Cumulative loan guarantee commitments	0	0	0
Number of borrowers	39	26	14

Rural telecommunications.—This loan program is financed through RUS direct loans for the construction, expansion, and operation of telecommunications lines and facilities or systems.

TELECOMMUNICATIONS PROGRAM STATISTICS

	dollars in millions		
	2019 actual	2020 est.	2021 est.
Cumulative RUS financed direct loans	5,916	5,916	5,916
Cumulative FFB financed direct loans	562	562	562
Cumulative RUS funds advanced	5,916	5,916	5,916
Unadvanced RUS funds, end of period	0	0	0
Cumulative RUS principal repaid	5,855	5,894	5,934
Cumulative RUS interest paid	3,553	3,560	3,566
Cumulative loan guarantee commitments	0	0	0
Number of borrowers	117	86	54

RURAL TELEPHONE BANK PROGRAM STATISTICS

	dollars in millions		
	2019 actual	2020 est.	2021 est.
Cumulative net loans	2,471	2,471	2,471
Cumulative loan funds, advanced	2,471	2,471	2,471
Unadvanced loan funds, end of year	0	0	0
Cumulative principal repaid	2,470	2,472	2,473
Cumulative interest paid	2,463	2,464	2,464
Number of borrowers	9	5	1

Balance Sheet (in millions of dollars)

Identification code 012-4230-0-3-999		2018 actual	2019 actual
ASSETS:			
1101	Federal assets: Fund balances with Treasury	7,256	5,626
1601	Direct loans, gross	2,366	3,250
1602	Interest receivable	8	3
1603	Allowance for estimated uncollectible loans and interest (-)	-1,593	-1,738
1699	Value of assets related to direct loans	781	1,515
1901	Other Federal assets: Other assets	-7,114	-6,504
1999	Total assets	923	637
LIABILITIES:			
Federal liabilities:			
2102	Interest payable	6
2103	Debt	487	319
2104	Resources payable to Treasury	532	424
2105	Other	17	13

2999	Total liabilities	1,042	756
NET POSITION:			
3300	Cumulative results of operations	-119	-119
4999	Total liabilities and net position	923	637

Object Classification (in millions of dollars)

Identification code 012-4230-0-3-999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	211	162	156
43.0	Interest and dividends	19	11	9
94.0	Financial transfers	2,038	1,901	2,062
99.9	Total new obligations, unexpired accounts	2,268	2,074	2,227

RURAL TELEPHONE BANK PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-1231-0-1-452	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0705	Reestimates of direct loan subsidy	4	1
0706	Interest on reestimates of direct loan subsidy	6	2
0716	Other	2
0900	Total new obligations, unexpired accounts (object class 41.0)	12	3

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	12	3
1930	Total budgetary resources available	12	3

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	12	3
3020	Outlays (gross)	-12	-3

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	12	3
Outlays, gross:				
4100	Outlays from new mandatory authority	12	3
4180	Budget authority, net (total)	12	3
4190	Outlays, net (total)	12	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1231-0-1-452	2019 actual	2020 est.	2021 est.	
Direct loan subsidy outlays:				
134001	Rural Telephone Bank	2
Direct loan reestimates:				
135001	Rural Telephone Bank	9	1

The Rural Telephone Bank (RTB) completed dissolution in 2006, therefore no federally funded RTB loans are proposed.

As required by the Federal Credit Reform Act of 1990, this account records, for the RTB, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL TELEPHONE BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4210-0-3-452	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0713	Payment of interest to Treasury	5	5	5
0742	Downward reestimates paid to receipt accounts	1	1
0743	Interest on downward reestimates	1

0900	Total new obligations, unexpired accounts	6	7	5
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	25	
1023	Unobligated balances applied to repay debt	-1	-25	
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	2		
1422	Borrowing authority applied to repay debt	-2		
Spending authority from offsetting collections, mandatory:				
1800	Collected	33	14	11
1825	Spending authority from offsetting collections applied to repay debt	-2	-7	-6
1850	Spending auth from offsetting collections, mand (total)	31	7	5
1900	Budget authority (total)	31	7	5
1930	Total budgetary resources available	31	7	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	25		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1		2
3010	New obligations, unexpired accounts	6	7	5
3020	Outlays (gross)	-7	-5	-4
3050	Unpaid obligations, end of year		2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1		2
3200	Obligated balance, end of year		2	3
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	31	7	5
Financing disbursements:				
4110	Outlays, gross (total)	7	5	4
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-12	-2	
4122	Interest on uninvested funds	-1		
4123	Principal received on loans	-17	-11	-9
4123	Interest received on loans	-3	-1	-2
4130	Offsets against gross budget authority and outlays (total)	-33	-14	-11
4160	Budget authority, net (mandatory)	-2	-7	-6
4170	Outlays, net (mandatory)	-26	-9	-7
4180	Budget authority, net (total)	-2	-7	-6
4190	Outlays, net (total)	-26	-9	-7

For grants for telemedicine and distance learning services in rural areas, as authorized by 7 U.S.C. 950aaa et seq., **[\$50,000,000]** \$44,000,000, to remain available until expended: *Provided*, That \$3,000,000 shall be made available for grants authorized by 379G of the Consolidated Farm and Rural Development Act: *Provided further*, That funding provided under this heading for grants under 379G of the Consolidated Farm and Rural Development Act may only be provided to entities that meet all of the eligibility criteria for a consortium as established by this section].

[For the cost of broadband loans, as authorized by section 601 of the Rural Electrification Act, \$2,000,000, to remain available until expended: *Provided*, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974. **]**

For an additional amount for the Secretary of Agriculture to continue a broadband loan and grant pilot program under the Rural Electrification Act of 1936 (7 U.S.C 901 et seq.), \$250,000,000, to remain available until expended: Provided, That the Secretary may award grants described in section 601(a) of such Act for purposes of carrying out such pilot program: Provided further, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That at least 90 percent of the households to be served by a project receiving a loan or grant under the pilot program shall be in a rural area without sufficient access to broadband: Provided further, That for purposes of such pilot program, a rural area without sufficient access to broadband shall be defined as 10 Mbps downstream and 1 Mbps upstream, and such definition shall be reevaluated and redefined, as necessary, on an annual basis by the Secretary of Agriculture: Provided further, That an entity to which a loan or grant is made under the pilot program shall not use the loan or grant to overbuild or duplicate broadband expansion efforts made by any entity that has received a broadband loan from the Rural Utilities Service: Provided further, That not more than four percent of the funds made available in this paragraph can be used for administrative costs to carry out the program and up to three percent of funds made available in this paragraph may be available for technical assistance and pre-development planning activities to support the most rural communities: Provided further, That the Rural Utilities Service is directed to expedite program delivery methods that would implement this section: Provided further, That for purposes of this section, the Secretary shall adhere to the notice, reporting, and service area assessment requirements set forth in sections 6104(a)(2)(D) and 6104(a)(2)(E) of the Agricultural Act of 2014 (7 U.S.C 950bb(d)(5), (d)(8) and (d)(10)).

In addition, **[\$35,000,000]** \$30,000,000, to remain available until expended, for a grant program to finance broadband transmission in rural areas eligible for Distance Learning and Telemedicine Program benefits authorized by 7 U.S.C. 950aaa et seq. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Status of Direct Loans (in millions of dollars)

Identification code 012-4210-0-3-452	2019 actual	2020 est.	2021 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	62	45	33
1251	Repayments: Repayments and prepayments	-17	-12	-9
1290	Outstanding, end of year	45	33	24

Balance Sheet (in millions of dollars)

Identification code 012-4210-0-3-452	2018 actual	2019 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	1	27
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	62	45
1405	Allowance for subsidy cost (-)	56	47
1499	Net present value of assets related to direct loans	118	92
1999	Total assets	119	119
LIABILITIES:			
2103	Federal liabilities: Debt	119	119
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	119	119

Program and Financing (in millions of dollars)

Identification code 012-1232-0-1-452	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0010	Grants	90	263	303
Credit program obligations:				
0701	Direct loan subsidy	9	102	90
0705	Reestimates of direct loan subsidy	51	3	
0706	Interest on reestimates of direct loan subsidy	49	7	
0709	Administrative expenses	12	17	11
0791	Direct program activities, subtotal	121	129	101
0900	Total new obligations, unexpired accounts	211	392	404

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	635	743	754
1001	Discretionary unobligated balance brought fwd, Oct 1	635	743	
1021	Recoveries of prior year unpaid obligations	8	6	5
1050	Unobligated balance (total)	643	749	759
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	211	387	324
Appropriations, mandatory:				
1200	Appropriation	100	10	
1900	Budget authority (total)	311	397	324
1930	Total budgetary resources available	954	1,146	1,083
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	743	754	679

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	181	223	499
3010	New obligations, unexpired accounts	211	392	404

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM

[For the principal amount of broadband telecommunication loans, \$11,179,000. **]**

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM—Continued
Program and Financing—Continued

Identification code 012-1232-0-1-452		2019 actual	2020 est.	2021 est.
3020	Outlays (gross)	-161	-110	-379
3040	Recoveries of prior year unpaid obligations, unexpired	-8	-6	-5
3050	Unpaid obligations, end of year	223	499	519
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	181	223	499
3200	Obligated balance, end of year	223	499	519
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	211	387	324
Outlays, gross:				
4010	Outlays from new discretionary authority	9	5	5
4011	Outlays from discretionary balances	52	95	374
4020	Outlays, gross (total)	61	100	379
Mandatory:				
4090	Budget authority, gross	100	10
Outlays, gross:				
4100	Outlays from new mandatory authority	100	10
4180	Budget authority, net (total)	311	397	324
4190	Outlays, net (total)	161	110	379

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-1232-0-1-452		2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:				
115003	Broadband Treasury Rate Loans	48	50
115005	ReConnect Direct Loans	205	250
115006	ReConnect Grant Assisted Loans	103	85
115999	Total direct loan levels	48	358	335
Direct loan subsidy (in percent):				
132003	Broadband Treasury Rate Loans	19.53	17.89
132005	ReConnect Direct Loans	34.45	27.47
132006	ReConnect Grant Assisted Loans	22.53	24.63
132999	Weighted average subsidy rate	19.53	28.71	26.75
Direct loan subsidy budget authority:				
133003	Broadband Treasury Rate Loans	9	9
133005	ReConnect Direct Loans	71	69
133006	ReConnect Grant Assisted Loans	23	21
133999	Total subsidy budget authority	9	103	90
Direct loan subsidy outlays:				
134003	Broadband Treasury Rate Loans	1	3	8
134005	ReConnect Direct Loans	2	8
134006	ReConnect Grant Assisted Loans	1	5
134999	Total subsidy outlays	1	6	21
Direct loan reestimates:				
135003	Broadband Treasury Rate Loans	86	-35
135999	Total direct loan reestimates	86	-35
Administrative expense data:				
3510	Budget authority	9	8	8
3590	Outlays from new authority	9	8	8

The loan and grant program provides access to advanced telecommunications services for improved education and health care in rural areas throughout the country. The loans and grants help education and health care providers bring the most modern technology, level of care, and education to rural America so its citizens can compete regionally, nationally, and globally.

The 2021 Budget proposes \$44 million for Distance Learning and Telemedicine grants. The Budget also provides \$30 million for Broadband grants and \$250 million for the rural e-Connectivity pilot program to support loans and grants consistent with the authority in the 2018 Appropriations Act.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 012-1232-0-1-452		2019 actual	2020 est.	2021 est.
Direct obligations:				
25.3	Other goods and services from Federal sources	12	17	11
41.0	Grants, subsidies, and contributions	199	375	393
99.9	Total new obligations, unexpired accounts	211	392	404

DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4146-0-3-452		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
Credit program obligations:				
0710	Direct loan obligations	48	358	335
0713	Payment of interest to Treasury	19	8	57
0742	Downward reestimates paid to receipt accounts	10	32
0743	Interest on downward reestimates	3	14
0900	Total new obligations, unexpired accounts	80	412	392

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	18	228	72
1023	Unobligated balances applied to repay debt	-14
1050	Unobligated balance (total)	4	228	72
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	52	118	171
Spending authority from offsetting collections, mandatory:				
1800	Collected	243	138	149
1801	Change in uncollected payments, Federal sources	9
1850	Spending auth from offsetting collections, mand (total)	252	138	149
1900	Budget authority (total)	304	256	320
1930	Total budgetary resources available	308	484	392
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	228	72

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	40	83	408
3010	New obligations, unexpired accounts	80	412	392
3020	Outlays (gross)	-37	-87	-204
3050	Unpaid obligations, end of year	83	408	596
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-18	-18
3070	Change in uncollected pymts, Fed sources, unexpired	-9
3090	Uncollected pymts, Fed sources, end of year	-18	-18	-18
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	31	65	390
3200	Obligated balance, end of year	65	390	578

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross	304	256	320
Financing disbursements:				
4110	Outlays, gross (total)	37	87	204
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-100	-17	-22
4122	Interest on uninvested funds	-4	-1	-1
4123	Repayment of principal	-139	-117	-120
4123	Interest received on loans	-3	-6
4130	Offsets against gross budget authority and outlays (total)	-243	-138	-149
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	-9
4160	Budget authority, net (mandatory)	52	118	171
4170	Outlays, net (mandatory)	-206	-51	55
4180	Budget authority, net (total)	52	118	171
4190	Outlays, net (total)	-206	-51	55

Status of Direct Loans (in millions of dollars)

Identification code 012-4146-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	48	358	335
1150 Total direct loan obligations	48	358	335
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	758	642	582
1231 Disbursements: Direct loan disbursements	4	57	57
1251 Repayments: Repayments and prepayments	-114	-117	-120
1264 Charge Off - Misc and Assn Loans, net	-6		
1290 Outstanding, end of year	642	582	519

Balance Sheet (in millions of dollars)

Identification code 012-4146-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	18	228
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	758	642
1402 Interest receivable	2	1
1405 Allowance for subsidy cost (-)	7	-82
1499 Net present value of assets related to direct loans	767	561
1999 Total assets	785	789
LIABILITIES:		
2103 Federal liabilities: Debt	785	789
2207 Non-Federal liabilities: Other		
2999 Total liabilities	785	789
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	785	789

RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4155-0-3-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	22	
1022 Capital transfer of unobligated balances to general fund	-49	-22	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	62	55	53
1820 Capital transfer of spending authority from offsetting collections to general fund	-40	-55	-53
1850 Spending auth from offsetting collections, mand (total)	22		
1930 Total budgetary resources available	22		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	22		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-62	-55	-53
4180 Budget authority, net (total)	-40	-55	-53
4190 Outlays, net (total)	-62	-55	-53

Status of Direct Loans (in millions of dollars)

Identification code 012-4155-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	288	250	207
1251 Repayments: Repayments and prepayments	-38	-43	-35
1290 Outstanding, end of year	250	207	172

Status of Guaranteed Loans (in millions of dollars)

Identification code 012-4155-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3	2	2
2251 Repayments and prepayments	-1		
2290 Outstanding, end of year	2	2	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	2	2	2

The Rural Development Insurance Fund (RDIF) was established on October 1, 1972, pursuant to section 116 of the Rural Development Act of 1972 (Public Law 92-419). Loans are no longer made through this account.

Balance Sheet (in millions of dollars)

Identification code 012-4155-0-3-452	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	49	22
1201 Non-Federal assets: Investments in non-Federal securities, net	33	34
1601 Direct loans, gross	288	250
1602 Interest receivable	3	2
1603 Allowance for estimated uncollectible loans and interest (-)	-1	
1699 Value of assets related to direct loans	290	252
1901 Other Federal assets: Other assets		
1999 Total assets	372	308
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	372	308
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	372	308

FOREIGN AGRICULTURAL SERVICE

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Foreign Agricultural Service, including not to exceed \$250,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), **[\$215,513,000]** \$193,703,000, of which no more than 6 percent shall remain available until September 30, **[2021]** 2022, for overseas operations to include the payment of locally employed staff: *Provided*, That the Service may utilize advances of funds, or reimburse this appropriation for expenditures made on behalf of Federal agencies, public and private organizations and institutions under agreements executed pursuant to the agricultural food production assistance programs (7 U.S.C. 1737) and the foreign assistance programs of the United States Agency for International Development: *Provided further*, That funds made available for middle-income country training programs, funds made available for the Borlaug International Agricultural Science and Technology Fellowship program, and up to \$2,000,000 of the Foreign Agricultural Service appropriation solely for the purpose of offsetting fluctuations in international currency exchange rates, subject to documentation by the Foreign Agricultural Service, shall remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-2900-0-1-352	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Deposits of Miscellaneous Contributed Funds, Foreign Agricultural Service		1	1
2000 Total: Balances and receipts		1	2
5099 Balance, end of year		1	2

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 012-2900-0-1-352	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Trade Policy	75	72	68
0002 Trade Supporting Initiatives	66	69	60
0003 Market Analysis and Advice	49	50	45
0004 Efficient Operations	24	25	21
0799 Total direct obligations	214	216	194
0801 Salaries and Expenses (Reimbursable)	101	101	101
0900 Total new obligations, unexpired accounts	315	317	295
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	36	36	38
1001 Discretionary unobligated balance brought fwd, Oct 1		4	
1012 Unobligated balance transfers between expired and unexpired accounts	4		
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	41	36	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	214	216	194
1120 Appropriations transferred to other acct [012-4609]	-2		
1160 Appropriation, discretionary (total)	212	216	194
Appropriations, mandatory:			
1200 Appropriation		1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected	50	70	59
1701 Change in uncollected payments, Federal sources	115	32	26
1750 Spending auth from offsetting collections, disc (total)	165	102	85
1900 Budget authority (total)	377	319	280
1930 Total budgetary resources available	418	355	318
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-67		
1941 Unexpired unobligated balance, end of year	36	38	23
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	110	117	121
3010 New obligations, unexpired accounts	315	317	295
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-301	-313	-283
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-10		
3050 Unpaid obligations, end of year	117	121	133
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-288	-260	-292
3070 Change in uncollected pymts, Fed sources, unexpired	-115	-32	-26
3071 Change in uncollected pymts, Fed sources, expired	143		
3090 Uncollected pymts, Fed sources, end of year	-260	-292	-318
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-178	-143	-171
3200 Obligated balance, end of year	-143	-171	-185
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	377	318	279
Outlays, gross:			
4010 Outlays from new discretionary authority	226	227	197
4011 Outlays from discretionary balances	75	85	85
4020 Outlays, gross (total)	301	312	282
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-103	-70	-59
4040 Offsets against gross budget authority and outlays (total)	-103	-70	-59
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-115	-32	-26
4052 Offsetting collections credited to expired accounts	53		
4060 Additional offsets against budget authority only (total)	-62	-32	-26
4070 Budget authority, net (discretionary)	212	216	194
4080 Outlays, net (discretionary)	198	242	223
Mandatory:			
4090 Budget authority, gross		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1

4180 Budget authority, net (total)	212	217	195
4190 Outlays, net (total)	198	243	224

The Foreign Agricultural Service's (FAS) mission is linking U.S. agriculture to the world to enhance export opportunities and global food security. FAS helps to provide outlets for the wide variety of U.S. agricultural products, thereby enhancing economic activity for U.S. workers. FAS serves U.S. agriculture's interests by expanding and maintaining international export opportunities, supporting international economic development and trade and capacity building, and global food security. The outcomes envisioned are exports that help U.S. agriculture prosper, the expansion of U.S. exports of organics and crops produced using new technologies and food that are globally available, accessible, and appropriately used. In addition to its Washington-based staff, the agency maintains a network of overseas offices that serve as first responders in cases of market disruption. The overseas offices also provide the Department with critical market and policy intelligence, and they represent U.S. agriculture in consultations with foreign governments. The 2021 Budget includes \$194 million for FAS. For more information on FAS's mission and program topic areas, please visit <http://www.fas.usda.gov/topics>.

Object Classification (in millions of dollars)

Identification code 012-2900-0-1-352	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	89	94	90
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	91	96	92
12.1 Civilian personnel benefits	32	36	36
21.0 Travel and transportation of persons	7	10	10
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	4	3	3
23.3 Communications, utilities, and miscellaneous charges	3	6	5
25.2 Other services from non-Federal sources	74	61	45
26.0 Supplies and materials	1	2	1
31.0 Equipment	1	1	1
99.0 Direct obligations	214	216	194
99.0 Reimbursable obligations	101	101	101
99.9 Total new obligations, unexpired accounts	315	317	295

Employment Summary

Identification code 012-2900-0-1-352	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	668	668	628
2001 Reimbursable civilian full-time equivalent employment	285	285	256

TRADE ADJUSTMENT ASSISTANCE FOR FARMERS

The Trade Adjustment Assistance (TAA) for Farmers Program was reauthorized and modified by the American Recovery and Reinvestment Act of 2009 as established by Subtitle C of Title I of the Trade Act of 2002, which amended the Trade Act of 1974. The 2021 Budget does not request funding for the program.

FOREIGN ASSISTANCE PROGRAMS

Multiple food aid programs are appropriated to USDA and administered by USDA or the U.S. Agency for International Development (USAID) to provide U.S. commodities, technical and financial assistance to address hunger and malnutrition needs worldwide. These programs address emergency needs and foster economic development activities to alleviate global food insecurity.

SUMMARY OF FOOD ASSISTANCE PROGRAMMING

	In millions of dollars		
	2019 actual	2020 est.	2021 est.
McGovern-Dole International Food for Education and Child Nutrition (budget authority)	210 ¹	220 ¹	0
P.L. 480:			
Title II Grants (budget authority)	1,716 ²	1,725	0
Food for Progress:			
CCC Funded	165	166	0
Bill Emerson Humanitarian Trust	0 ³	0 ³	0 ³

¹The Further Continuing Appropriations Act, 2019, provided \$15 million and the Further Consolidated Appropriations Act, 2020, provided \$20 million within McGovern-Dole that can be used for Local and Regional Food Aid Procurement.

²Includes \$216 million provided through Section 777 of the Further Continuing Appropriations Act, 2019.

³Assets of the trust can be released any time the Administrator of the U.S. Agency for International Development determines that P.L. 480 Title II funding for emergency needs are inadequate to meet these needs in an fiscal year.

Included in this category are the following activities carried out under Public Law 480 (P.L. 480):

Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec. 104; and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended (Title I).—Funds appropriated for P.L. 480 Title I since FY 2006 are used to finance all sales made pursuant to agreements concluded under the authority of Title I. No 2021 funding is requested for new direct credit under Title I; however, funding for administrative expenses associated with managing the existing loan portfolio is requested.

Commodities supplied in connection with dispositions abroad (Title II).—Title II of the Food for Peace Act (P.L. 83–480), as amended, formerly the Agricultural Trade Development and Assistance Act of 1954) authorizes the provision of U.S. food assistance to meet emergency food needs around the world, and funds development-oriented programs to help address the underlying causes of food insecurity. P.L. 480 Title II is appropriated to the U.S. Department of Agriculture and is administered by the U.S. Agency for International Development (USAID).

The Commodity Credit Corporation (the Corporation) is authorized to pay the costs of acquisition, packaging, processing, enrichment, preservation, fortification, transportation, handling, and other incidental costs incurred up to the time of delivery at U.S. ports. The Corporation also pays ocean freight charges, and pays transportation costs to points of entry other than ports in the case of landlocked countries, where carriers to a specific country are not available, where ports cannot be used effectively, or where a substantial savings in costs or time can be effected, and pays general average contributions arising from ocean transport. In addition, transportation costs from designated points of entry or ports of entry abroad to storage and distribution sites and associated storage and distribution costs may be paid for commodities made available to meet urgent and extraordinary relief requirements. P.L. 480 funds reimburse the Corporation for all of the cost items authorized above. The 2021 Budget proposes to eliminate the program.

McGOVERN-DOLE INTERNATIONAL FOOD FOR EDUCATION AND CHILD NUTRITION PROGRAM GRANTS

¶For necessary expenses to carry out the provisions of section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o–1), \$220,000,000, to remain available until expended: *Provided*, That the Commodity Credit Corporation is authorized to provide the services, facilities, and authorities for the purpose of implementing such section, subject to reimbursement from amounts provided herein: *Provided further*, That of the amount made available under this heading, not more than 10 percent, but not less than \$20,000,000, shall remain available until expended to purchase agricultural commodities as described in subsection 3107(a)(2) of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o–1(a)(2)). ¶ (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012–2903–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 McGovern-Dole International Food for Education & Child Nutrition Program	217	220
0900 Total new obligations, unexpired accounts (object class 41.0)	217	220
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	43	43
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	50	43	43
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	210	220
1900 Budget authority (total)	210	220
1930 Total budgetary resources available	260	263	43
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	43	43	43
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	684	772	572
3010 New obligations, unexpired accounts	217	220
3020 Outlays (gross)	–128	–420	–269
3040 Recoveries of prior year unpaid obligations, unexpired	–1
3050 Unpaid obligations, end of year	772	572	303
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	684	772	572
3200 Obligated balance, end of year	772	572	303
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	210	220
Outlays, gross:			
4010 Outlays from new discretionary authority	4
4011 Outlays from discretionary balances	124	420	269
4020 Outlays, gross (total)	128	420	269
4180 Budget authority, net (total)	210	220
4190 Outlays, net (total)	128	420	269

The McGovern-Dole International Food for Education and Child Nutrition Program, as amended, is authorized under the Farm Security and Rural Investment Act of 2002 (Public Law 107–171). The program provides for the donation of U.S. agricultural commodities and associated technical and financial assistance to carry out preschool and school feeding programs in foreign countries. Maternal, infant, and child nutrition programs also are authorized. The 2021 Budget proposes to eliminate the program and prioritizes development assistance through more cost-efficient and effective programs within USAID.

PUBLIC LAW 480 TITLE I OCEAN FREIGHT DIFFERENTIAL GRANTS

This account funds the Title I ocean freight differential program. No funding is requested for 2021.

FOOD FOR PEACE TITLE II GRANTS

¶For expenses during the current fiscal year, not otherwise recoverable, and unrecovered prior years' costs, including interest thereon, under the Food for Peace Act (Public Law 83–480), for commodities supplied in connection with dispositions abroad under title II of said Act, \$1,725,000,000, to remain available until expended. ¶ (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012–2278–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Title II Grants	1,859	1,907

FOOD FOR PEACE TITLE II GRANTS—Continued
Program and Financing—Continued

Identification code 012-2278-0-1-151	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)	1,859	1,907
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	235	182
1001 Discretionary unobligated balance brought fwd, Oct 1	182
1021 Recoveries of prior year unpaid obligations	89
1033 Recoveries of prior year paid obligations	1
1050 Unobligated balance (total)	325	182
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,716	1,725
1900 Budget authority (total)	1,716	1,725
1930 Total budgetary resources available	2,041	1,907
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	182
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,587	1,736	2,119
3010 New obligations, unexpired accounts	1,859	1,907
3020 Outlays (gross)	-1,621	-1,524	-926
3040 Recoveries of prior year unpaid obligations, unexpired	-89
3050 Unpaid obligations, end of year	1,736	2,119	1,193
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,587	1,736	2,119
3200 Obligated balance, end of year	1,736	2,119	1,193
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,716	1,725
Outlays, gross:			
4010 Outlays from new discretionary authority	4	518
4011 Outlays from discretionary balances	1,617	1,006	926
4020 Outlays, gross (total)	1,621	1,524	926
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1
4070 Budget authority, net (discretionary)	1,716	1,725
4080 Outlays, net (discretionary)	1,620	1,524	926
4180 Budget authority, net (total)	1,716	1,725
4190 Outlays, net (total)	1,620	1,524	926

In past years, P.L. 480 Title II grants funded emergency and development food aid programs authorized under Title II of the Food for Peace Act (P.L. 83-480). Funding for Title II is appropriated to the U.S. Department of Agriculture and is administered by the U.S. Agency for International Development (USAID). There is no 2021 request for Title II.

To replace the inefficient food aid provided through Title II, the 2021 request includes funding for emergency food needs within the new, more efficient International Humanitarian Assistance (IHA) account.

FOOD FOR PEACE TITLE I DIRECT CREDIT AND FOOD FOR PROGRESS PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For administrative expenses to carry out the credit program of title I, Food for Peace Act (Public Law 83-480) and the Food for Progress Act of 1985, **[\$142,000]** \$112,000, shall be transferred to and merged with the appropriation for "Farm **[Service Agency]** Production and Conservation Business Center, Salaries and Expenses". (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-2277-0-1-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	6
0706 Interest on reestimates of direct loan subsidy	19
0900 Total new obligations, unexpired accounts (object class 41.0)	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	25
1900 Budget authority (total)	25
1930 Total budgetary resources available	1	26	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	25
3020 Outlays (gross)	-25
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	25
Outlays, gross:			
4100 Outlays from new mandatory authority	25
4180 Budget authority, net (total)	25
4190 Outlays, net (total)	25

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 012-2277-0-1-351	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135001 P. L. 480 Title I Loans	-22	23

As required by the Federal Credit Reform Act of 1990, this account records, for the P.L. 480 Program, the subsidy costs associated with the direct credit obligated in 1992 and beyond (including modifications of direct credit agreements that resulted from obligation in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; and the administrative expenses and grants are estimated on a cash basis. The current balance of Title I debt owed to USDA is \$2.6 billion. No additional funding is requested for new Title I credit financing in 2021. The 2021 Budget includes \$112,000 for administrative expenses.

P.L. 480 DIRECT CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4049-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	30	30	29
0742 Downward reestimates paid to receipt accounts	6	1
0743 Interest on downward reestimates	16	2
0900 Total new obligations, unexpired accounts	52	33	29
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	24	25
1023 Unobligated balances applied to repay debt	-17	-25
1050 Unobligated balance (total)	7
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	94	33	29
1422 Borrowing authority applied to repay debt	-24
1440 Borrowing authority, mandatory (total)	70	33	29

1800	Spending authority from offsetting collections, mandatory:			
	Collected	74	104	71
1825	Spending authority from offsetting collections applied to repay debt	-74	-104	-71
1900	Budget authority (total)	70	33	29
1930	Total budgetary resources available	77	33	29
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	25		

Change in obligated balance:
Unpaid obligations:

3010	New obligations, unexpired accounts	52	33	29
3020	Outlays (gross)	-52	-33	-29

Financing authority and disbursements, net:
Mandatory:

4090	Budget authority, gross	70	33	29
	Financing disbursements:			
4110	Outlays, gross (total)	52	33	29
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Payments from program account - Upward Reestimate		-25	
4122	Interest on uninvested funds	-5	-5	-3
4123	Interest received on loans	-10	-7	-7
4123	Principal received on loans	-59	-67	-61
4130	Offsets against gross budget authority and outlays (total) ...	-74	-104	-71
4160	Budget authority, net (mandatory)	-4	-71	-42
4170	Outlays, net (mandatory)	-22	-71	-42
4180	Budget authority, net (total)	-4	-71	-42
4190	Outlays, net (total)	-22	-71	-42

Status of Direct Loans (in millions of dollars)

Identification code 012-4049-0-3-351	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	508	449	384
1251 Repayments: Repayments and prepayments	-59	-65	-60
1290 Outstanding, end of year	449	384	324

Balance Sheet (in millions of dollars)

Identification code 012-4049-0-3-351	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	24	25
1106 Investments in U.S. securities:		
Receivables, net		24
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	508	449
1402 Interest receivable	8	8
1405 Allowance for subsidy cost (-)	-84	-72
1499 Net present value of assets related to direct loans	432	385
1901 Other Federal assets: Accounts Receivable	2	
1999 Total assets	458	434
LIABILITIES:		
Federal liabilities:		
2103 Debt	453	432
2105 Other	5	2
2999 Total liabilities	458	434
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	458	434

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-4143-0-3-351	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	62	75
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	13	13	11
1900 Budget authority (total)	13	13	11

1930	Total budgetary resources available	62	75	86
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	62	75	86

Financing authority and disbursements, net:

4090	Budget authority, gross	13	13	11
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4122	Interest on uninvested funds	-3	-3	-3
4123	Loan Repayments - Principal	-7	-7	-6
4123	Loan Repayments - Interest	-3	-3	-2
4130	Offsets against gross budget authority and outlays (total)	-13	-13	-11
4170	Outlays, net (mandatory)	-13	-13	-11
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-13	-13	-11

Status of Direct Loans (in millions of dollars)

Identification code 012-4143-0-3-351	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	104	97	90
1251 Repayments: Repayments and prepayments	-7	-7	-7
1290 Outstanding, end of year	97	90	83

Balance Sheet (in millions of dollars)

Identification code 012-4143-0-3-351	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	49	62
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	104	97
1402 Interest receivable	1	1
1405 Allowance for subsidy cost (-)	-18	-18
1499 Net present value of assets related to direct loans	87	80
1901 Other Federal assets: Accounts Receivable		
1999 Total assets	136	142
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury		
2201 Non-Federal liabilities: Accounts payable		6
2999 Total liabilities		6
NET POSITION:		
3300 Cumulative results of operations	136	136
4999 Total liabilities and net position	136	142

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 012-2274-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0715 Vietnam Education Fund	1		
0900 Total new obligations, unexpired accounts (object class 41.0)	1		

Budgetary resources:

1000	Unobligated balance brought forward, Oct 1	29	25	
1022	Capital transfer of unobligated balances to general fund	-29	-25	
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Offsetting collections (cash) (Principal and interest)	228	195	186
1820	Capital transfer of spending authority from offsetting collections to general fund	-202	-195	-186
1850	Spending auth from offsetting collections, mand (total)	26		
1930	Total budgetary resources available	26		
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	25		

Change in obligated balance:

3010	New obligations, unexpired accounts	1		
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EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE
LIQUIDATING ACCOUNT—Continued
Program and Financing—Continued

Identification code 012-2274-0-1-151	2019 actual	2020 est.	2021 est.
3020 Outlays (gross)	-1		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	26		
Outlays, gross:			
4100 Outlays from new mandatory authority	1		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Principal repayments	-204	-176	-173
4123 Interest repayments	-24	-19	-13
4130 Offsets against gross budget authority and outlays (total)	-228	-195	-186
4160 Budget authority, net (mandatory)	-202	-195	-186
4170 Outlays, net (mandatory)	-227	-195	-186
4180 Budget authority, net (total)	-202	-195	-186
4190 Outlays, net (total)	-227	-195	-186

Status of Direct Loans (in millions of dollars)

Identification code 012-2274-0-1-151	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year		640	464
1251 Repayments: Repayments and prepayments	-203	-176	-173
1290 Outstanding, end of year	640	464	291

Balance Sheet (in millions of dollars)

Identification code 012-2274-0-1-151	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	29	25
1601 Direct loans, gross	843	640
1602 Interest receivable	11	9
1603 Allowance for estimated uncollectible loans and interest (-)	-178	-90
1604 Direct loans and interest receivable, net	676	559
1605 Accounts receivable		
1699 Value of assets related to direct loans	676	559
1999 Total assets	705	584
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	691	570
Non-Federal liabilities:		
2204 Liabilities for loan guarantees		
2207 Other	14	14
2999 Total liabilities	705	584
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	705	584

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-8505-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Foreign Service National Separation Liability Trust Fund	5		
2000 Total: Balances and receipts	5		
Appropriations:			
Current law:			
2101 Foreign Service National Separation Liability Trust Fund	-5		
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 012-8505-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5		
0900 Total new obligations, unexpired accounts (object class 11.5)	5		
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5		
1930 Total budgetary resources available	5		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	20	1
3010 New obligations, unexpired accounts	5		
3020 Outlays (gross)	-1	-19	
3050 Unpaid obligations, end of year	20	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	20	1
3200 Obligated balance, end of year	20	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5		
Outlays, gross:			
4101 Outlays from mandatory balances	1	19	
4180 Budget authority, net (total)	5		
4190 Outlays, net (total)	1	19	

This fund is maintained to pay separation costs for locally-employed staff in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated to the Foreign Agricultural Service Salaries and Expenses account.

FOOD AND NUTRITION SERVICE

Federal Funds

NUTRITION PROGRAMS ADMINISTRATION

For necessary administrative expenses of the Food and Nutrition Service for carrying out any domestic nutrition assistance program, **[\$155,891,000: Provided, That of the funds provided herein, \$2,000,000 shall be used for the purposes of section 4404 of Public Law 107-171, as amended by section 4401 of Public Law 110-246] \$155,251,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 012-3508-0-1-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nutrition programs administration	150	150	155
0003 Congressional hunger center fellowship	2	2	
0004 Risk Reduction/IT Modernization		4	
0007 Park Office Center Relocation	7	10	
0008 Dietary Guidelines for Americans (3-Year)	6	6	
0900 Total new obligations, unexpired accounts	165	172	155
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	16	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	165	156	155
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1900 Budget authority (total)	166	156	155
1930 Total budgetary resources available	183	172	155
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	16		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	32	48	38

3010	New obligations, unexpired accounts	165	172	155
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-149	-182	-155
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	48	38	38
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	32	48	38
3200	Obligated balance, end of year	48	38	38
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	166	156	155
Outlays, gross:				
4010	Outlays from new discretionary authority	124	132	131
4011	Outlays from discretionary balances	25	50	24
4020	Outlays, gross (total)	149	182	155
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1		
4180	Budget authority, net (total)	165	156	155
4190	Outlays, net (total)	148	182	155

This account funds the majority of the Federal operating expenses of the Food and Nutrition Service, including the Center for Nutrition Policy and Promotion (CNPP).

Object Classification (in millions of dollars)

Identification code 012-3508-0-1-605	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	77	84	86
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	4	4	4
11.9	Total personnel compensation	82	89	91
12.1	Civilian personnel benefits	28	28	29
21.0	Travel and transportation of persons	1	2	2
23.1	Rental payments to GSA	14	14	13
25.2	Other services from non-Federal sources	22	19	12
25.3	Other goods and services from Federal sources	3	7	7
26.0	Supplies and materials	1	1	1
31.0	Equipment	5		
32.0	Land and structures	7	10	
41.0	Grants, subsidies, and contributions	2	2	
99.9	Total new obligations, unexpired accounts	165	172	155

Employment Summary

Identification code 012-3508-0-1-605	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	755	838	837

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

For necessary expenses to carry out the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), **[\$67,886,285,000] \$68,281,364,000**, of which \$3,000,000,000, to remain available through September 30, **[2022] 2023**, shall be placed in reserve for use only in such amounts and at such times as may become necessary to carry out program operations: *Provided*, That funds provided herein shall be expended in accordance with section 16 of the Food and Nutrition Act of 2008: *Provided further*, That of the funds made available under this heading, \$998,000 may be used to provide nutrition education services to State agencies and Federally Recognized Tribes participating in the Food Distribution Program on Indian Reservations: *Provided further*, That this appropriation shall be subject to any work registration or workfare requirements as may be required by law: *Provided further*, That funds made available for Employment and Training under this heading shall remain available through September 30, **[2021] 2022: Provided further**, That funds made available under this heading for section 28(d)(1), section 4(b), and section 27(a) of the Food and Nutrition Act of 2008 shall remain available through September 30, **[2021] 2022: Provided further**, That none of the funds made available under this heading may be obligated or expended in contravention of section 213A of the Immigration and Nationality Act (8 U.S.C. 1183A): *Provided further*, That funds made available under this heading may be used to enter into contracts and employ staff to conduct studies, evaluations, or to conduct activities related to program integrity provided that such activities are authorized by the Food and Nutrition Act of 2008.

(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-3505-0-1-605	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Benefits issued	55,966	56,201	56,137
0002	State administration	3,802	4,965	5,313
0003	Employment and training program	411	614	626
0004	Other program costs	151	218	284
0005	Nutrition Assistance for Puerto Rico	2,523	1,938	1,971
0006	Food Distribution Program on Indian Reservations (Commodities in lieu of food stamps)	91	105	77
0007	Food Distribution Program on Indian Reservations (Cooperator administrative expense)	49	51	57
0008	The Emergency Food Assistance Program (commodities)	297	318	323
0009	American Samoa	25	8	8
0010	Community Food Projects	5	5	5
0011	Commonwealth of the Northern Mariana Islands	37	12	12
0012	Nutrition Education Grant Program	424	441	448
0013	Program access	5	5	5
0014	Contingency		3,000	3,000
0091	Direct program activities, subtotal	63,786	67,881	68,266
0799	Total direct obligations	63,786	67,881	68,266
0801	Supplemental Nutrition Assistance Program (Reimbursable)	47	85	85
0900	Total new obligations, unexpired accounts	63,833	67,966	68,351
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	6,069	6,109	3,115
1001	Discretionary unobligated balance brought fwd, Oct 1		5	
1021	Recoveries of prior year unpaid obligations	81		
1050	Unobligated balance (total)	6,150	6,109	3,115
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	6	11	
Appropriations, mandatory:				
1200	Appropriation	74,119	67,885	68,281
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-9	-9	
1260	Appropriations, mandatory (total)	74,110	67,876	68,281
Spending authority from offsetting collections, mandatory:				
1800	Collected	47	85	85
1900	Budget authority (total)	74,163	67,972	68,366
1930	Total budgetary resources available	80,313	74,081	71,481
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-10,371	-3,000	-3,000
1941	Unexpired unobligated balance, end of year	6,109	3,115	130

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4,190	4,019	6,343
3010	New obligations, unexpired accounts	63,833	67,966	68,351
3011	Obligations ("upward adjustments"), expired accounts	163		
3020	Outlays (gross)	-63,513	-65,642	-64,778
3040	Recoveries of prior year unpaid obligations, unexpired	-81		
3041	Recoveries of prior year unpaid obligations, expired	-573		
3050	Unpaid obligations, end of year	4,019	6,343	9,916
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-5	-5
3090	Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4,185	4,014	6,338
3200	Obligated balance, end of year	4,014	6,338	9,911

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	6	11	
Outlays, gross:				
4010	Outlays from new discretionary authority	1	7	
4011	Outlays from discretionary balances		5	4
4020	Outlays, gross (total)	1	12	4
Mandatory:				
4090	Budget authority, gross	74,157	67,961	68,366
Outlays, gross:				
4100	Outlays from new mandatory authority	59,832	57,005	57,154
4101	Outlays from mandatory balances	3,680	8,625	7,620
4110	Outlays, gross (total)	63,512	65,630	64,774

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM—Continued
Program and Financing—Continued

Identification code 012-3505-0-1-605	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 State Option Plans	-47	-85	-85
4180 Budget authority, net (total)	74,116	67,887	68,281
4190 Outlays, net (total)	63,466	65,557	64,693

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	74,116	67,887	68,281
Outlays	63,466	65,557	64,693
Legislative proposal, subject to PAYGO:			
Budget Authority			-15,349
Outlays			-15,349
Total:			
Budget Authority	74,116	67,887	52,932
Outlays	63,466	65,557	49,344

The Supplemental Nutrition Assistance Program (SNAP) is the primary source of nutrition assistance for low-income Americans. This account also includes funds for a grant to Puerto Rico to administer a low-income nutrition assistance program, in lieu of SNAP; funds to carry out the Emergency Food Assistance Act of 1983; and funds for food distribution and administrative expenses for Native Americans under section 4(b) of the Food and Nutrition Act.

The SNAP contingency fund holds benefits in reserve to cover unforeseen events, such as natural disasters and fluctuations in food prices.

Object Classification (in millions of dollars)

Identification code 012-3505-0-1-605	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	40	42	43
12.1 Civilian personnel benefits	13	13	13
21.0 Travel and transportation of persons	2	2	2
24.0 Printing and reproduction	81	81	81
25.2 Other services from non-Federal sources	82	82	82
26.0 Supplies and materials	402	447	457
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	63,165	67,213	67,587
99.0 Direct obligations	63,786	67,881	68,266
99.0 Reimbursable obligations	47	85	85
99.9 Total new obligations, unexpired accounts	63,833	67,966	68,351

Employment Summary

Identification code 012-3505-0-1-605	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	339	375	376

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-3505-4-1-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefits issued			-14,917
0002 State administration			7
0004 Other program costs			10
0012 Nutrition Education Grant Program			-448
0091 Direct program activities, subtotal			-15,348
0799 Total direct obligations			-15,348
0900 Total new obligations, unexpired accounts (object class 41.0)			-15,348

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-15,349
1900 Budget authority (total)			-15,349
1930 Total budgetary resources available			-15,349
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-1

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-15,348
3020 Outlays (gross)			15,349
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-15,349
Outlays, gross:			
4100 Outlays from new mandatory authority			-15,349
4180 Budget authority, net (total)			-15,349
4190 Outlays, net (total)			-15,349

The Budget continues to include a bold suite of proposals to promote employment, improve nutrition, and target benefits to the most needy households. The Budget maintains the proposal to create a uniform work requirement for all able-bodied adults aged 18 to 65 as well as the America's Harvest Box proposal to combine retail-based SNAP benefits with a package of nutritious, 100 percent American-grown food. The proposal to eliminate funding for SNAP nutrition education grants, which have not demonstrated success in measurable ways, is also continued from 2020, along with a number of other proposals to reduce costs and streamline State administration. In addition, the Budget proposes new program integrity initiatives to enhance monitoring and oversight, improve payment accuracy, and increase efficiency.

CHILD NUTRITION PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), except section 21, and the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), except sections 17 and 21; **[\$23,615,098,000] \$25,040,911,000**, to remain available through September 30, **[2021] 2022**, of which such sums as are made available under section 14222(b)(1) of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), as amended by this Act, shall be merged with and available for the same time period and purposes as provided herein: *Provided*, That of the total amount available, **[\$18,004,000] \$12,475,000** shall be available to carry out section 19 of the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.): *Provided further*, That of the total amount available, **[\$14,999,000] \$15,299,000** shall be available to carry out studies and evaluations and shall remain available until expended; *Provided further*, That of the total amount available, **\$30,000,000** shall be available to provide competitive grants to State agencies for subgrants to local educational agencies and schools to purchase the equipment, with a value of greater than \$1,000, needed to serve healthier meals, improve food safety, and to help support the establishment, maintenance, or expansion of the school breakfast program: *Provided further*, That of the total amount available, **\$35,000,000** shall remain available until expended to carry out section 749(g) of the Agriculture Appropriations Act of 2010 (Public Law 111-80); *Provided further*, That section 26(d) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769g(d)) is amended in the first sentence by striking "2010 through 2019" and inserting "2010 through 2021"; *Provided further*, That section 9(h)(3) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1758(h)(3)) is amended in the first sentence by striking "For fiscal year **[2019] 2020**" and inserting "For fiscal year **[2020] 2021**"; *Provided further*, That section 9(h)(4) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1758(h)(4)) is amended in the first sentence by striking "For fiscal year **[2019] 2020**" and inserting "For fiscal year **[2020] 2021**". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 012-3539-0-1-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Above 185 of poverty	471	519	516
0002 130-185 of poverty	832	1,030	1,014
0003 Below 130 of poverty	11,597	12,242	12,408
0091 Subtotal, National School Lunch Program	12,900	13,791	13,938
0101 Above 185 of poverty	113	118	124
0102 130-185 of poverty	234	236	237
0103 Below 130 of poverty	4,305	4,477	4,678
0191 Subtotal, School Breakfast Program	4,652	4,831	5,039
0201 Above 185 of poverty	203	196	202
0202 130-185 of poverty	171	163	168
0203 Below 130 of poverty	3,378	3,477	3,731
0291 Subtotal, Child and Adult Care Feeding Program	3,752	3,836	4,101
0301 Summer Food Service Program	485	526	552
0302 Special Milk Program	8	7	7
0303 State Administrative Expenses	316	315	317
0304 Commodity Procurement	1,661	1,420	1,481
0310 Coordinated Review Effort	8	10	10
0315 Food Safety Education	3	3	3
0320 CN Studies and Evaluations	25	15	15
0325 Computer Support and Processing	12	12	19
0340 Other Mandatory Program Costs	48	32	50
0391 Subtotal, Other mandatory activities	2,566	2,340	2,454
0401 Team Nutrition and HealthierUS Schools Challenge	22	18	12
0405 Summer EBT Demonstration	39	35
0415 School Meals Equipment Grants 2 year	30	30
0416 School Breakfast Expansion Grants	2	5
0491 Subtotal, discretionary activities	93	88	12
0501 Fresh Fruit and Vegetable Program	172	180	184
0502 Tech. Assist. Program Integrity/Administrative Reviews	11	8	8
0504 National Food Service Management Inst./Information Clearinghouse	2	5	5
0520 Other Permanent Programs	2	14	6
0591 Subtotal, Permanent Programs	187	207	203
0799 Total direct obligations	24,150	25,093	25,747
0900 Total new obligations, unexpired accounts	24,150	25,093	25,747
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,397	2,332	1,064
1001 Discretionary unobligated balance brought fwd, Oct 1	4	14
1021 Recoveries of prior year unpaid obligations	854
1050 Unobligated balance (total)	3,251	2,332	1,064
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	75	88	12
Appropriations, mandatory:			
1200 Appropriation	13,978	9,993	3,948
1200 Appropriation- Permanent Appropriation	19	28	19
1221 Appropriations transferred from other acct [012-5209]	9,268	13,716	21,265
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-4
1260 Appropriations, mandatory (total)	23,261	23,737	25,232
1900 Budget authority (total)	23,336	23,825	25,244
1930 Total budgetary resources available	26,587	26,157	26,308
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-105
1941 Unexpired unobligated balance, end of year	2,332	1,064	561
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,160	4,149	6,408
3010 New obligations, unexpired accounts	24,150	25,093	25,747
3011 Obligations ("upward adjustments"), expired accounts	54
3020 Outlays (gross)	-23,273	-22,834	-25,443
3040 Recoveries of prior year unpaid obligations, unexpired	-854
3041 Recoveries of prior year unpaid obligations, expired	-88
3050 Unpaid obligations, end of year	4,149	6,408	6,712
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,160	4,149	6,408
3200 Obligated balance, end of year	4,149	6,408	6,712
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	75	88	12

Outlays, gross:			
4010 Outlays from new discretionary authority	9	10	1
4011 Outlays from discretionary balances	40	66	78
4020 Outlays, gross (total)	49	76	79
Mandatory:			
4090 Budget authority, gross	23,261	23,737	25,232
Outlays, gross:			
4100 Outlays from new mandatory authority	17,723	17,267	18,894
4101 Outlays from mandatory balances	5,501	5,491	6,470
4110 Outlays, gross (total)	23,224	22,758	25,364
4180 Budget authority, net (total)	23,336	23,825	25,244
4190 Outlays, net (total)	23,273	22,834	25,443

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	23,336	23,825	25,244
Outlays	23,273	22,834	25,443
Legislative proposal, subject to PAYGO:			
Budget Authority	473
Outlays	473
Total:			
Budget Authority	23,336	23,825	25,717
Outlays	23,273	22,834	25,916

The Child Nutrition Programs provide reimbursement to State agencies for cash and commodity meal subsidies through the National School Lunch Program (NSLP), School Breakfast Program (SBP), Special Milk Program, Summer Food Service Program (SFSP), and Child and Adult Care Food Program (CACFP). These programs provide nutritionally balanced, low-cost or free breakfasts and lunches to children every school day; nutrition assistance to children when school is not in session during summer months; and reimbursement to child care providers for nutritious meals and snacks. In addition, the Fresh Fruit and Vegetable Program, targeted to low-income elementary schools, provides fresh fruits and vegetables at no charge to children during the school day. The 2021 Budget will support more than 5.2 billion lunches and snacks served to 31 million children in the NSLP, over 2.5 billion breakfasts served to more than 15 million children in the SBP, and over 2.1 billion meals and snacks served in day care facilities.

Object Classification (in millions of dollars)

Identification code 012-3539-0-1-605	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	28	34	34
12.1 Civilian personnel benefits	9	10	10
21.0 Travel and transportation of persons	2	3	3
24.0 Printing and reproduction	20	4	4
25.2 Other services from non-Federal sources	44	47	48
26.0 Supplies and materials (Commodities)	1,191	1,436	1,472
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	22,855	23,558	24,175
99.0 Direct obligations	24,150	25,093	25,747
99.9 Total new obligations, unexpired accounts	24,150	25,093	25,747

Employment Summary

Identification code 012-3539-0-1-605	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	264	297	297

CHILD NUTRITION PROGRAMS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012-3539-4-1-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Below 130 of poverty	-19
0091 Subtotal, National School Lunch Program	-19
0304 Commodity Procurement	493

CHILD NUTRITION PROGRAMS—Continued
Program and Financing—Continued

Identification code 012-3539-4-1-605	2019 actual	2020 est.	2021 est.
0391 Subtotal, Other mandatory activities			493
0799 Total direct obligations			474
0900 Total new obligations, unexpired accounts			474
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			21,738
1221 Appropriations transferred from other acct [012-5209]			-21,265
1260 Appropriations, mandatory (total)			473
1900 Budget authority (total)			473
1930 Total budgetary resources available			473
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			474
3020 Outlays (gross)			-473
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			473
Outlays, gross:			
4100 Outlays from new mandatory authority			473
4180 Budget authority, net (total)			473
4190 Outlays, net (total)			473

The FY 2021 President's Budget includes legislative proposals that will increase the impact of the Farm to School Grant Program by increasing the maximum grant amount stakeholders may receive; improve program integrity by increasing income verification sample sizes; and ensure that Community Eligibility benefits are targeted toward high-poverty schools. The Budget also proposes to delink the Child Nutrition funding from Section 32 customs receipts and instead provide a direct Treasury transfer each year without further appropriation. Under the proposal, FNS will receive an initial permanent mandatory appropriation equal to the amount that would have otherwise been made available by customs receipt transfer for activities that include the purchase of fruit and vegetable commodities, removal of defective commodities, and disaster relief. This initial appropriation will be adjusted annually based upon the Consumer Price Index (CPI-U) and the requested annual appropriations language will continue to subsume those amounts within the total appropriation.

Object Classification (in millions of dollars)

Identification code 012-3539-4-1-605	2019 actual	2020 est.	2021 est.
Direct obligations:			
26.0 Supplies and materials (Commodities)			493
41.0 Grants, subsidies, and contributions			-19
99.0 Direct obligations			474
99.9 Total new obligations, unexpired accounts			474

SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)

For necessary expenses to carry out the special supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), **[\$6,000,000,000] \$5,451,501,000**, to remain available through September 30, **[2021] 2022: Provided**, That notwithstanding section 17(h)(10) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(h)(10)), not less than **[\$90,000,000] \$60,000,000** shall be used for breastfeeding peer counselors and other related activities, and

\$14,000,000 shall be used for infrastructure: *Provided further*, That none of the funds provided in this account shall be available for the purchase of infant formula except in accordance with the cost containment and competitive bidding requirements specified in section 17 of such Act: *Provided further*, That none of the funds provided shall be available for activities that are not fully reimbursed by other Federal Government departments or agencies unless authorized by section 17 of such Act: *Provided further*, That upon termination of a federally mandated vendor moratorium and subject to terms and conditions established by the Secretary, the Secretary may waive the requirement at 7 CFR 246.12(g)(6) at the request of a State agency. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-3510-0-1-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States	5,761	5,326	5,362
0004 WIC EBT/MIS	46	9	10
0010 Infrastructure Grants and Technical Assistance	21	14	14
0020 Breastfeeding Peer Counselors and Bonuses	60	90	60
0030 Program Initiatives and Evaluations	17	16	16
0091 Direct program activities (discretionary), subtotal	5,905	5,455	5,462
0101 UPC Database (mandatory)	1	1	1
0900 Total new obligations, unexpired accounts	5,906	5,456	5,463
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	831	1,065	910
1001 Discretionary unobligated balance brought fwd, Oct 1	337	1,035	
1021 Recoveries of prior year unpaid obligations	568	300	300
1050 Unobligated balance (total)	1,399	1,365	1,210
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,074	6,000	5,452
1130 Appropriations permanently reduced	-500	-1,000	
1131 Unobligated balance of appropriations permanently reduced			-1,200
1160 Appropriation, discretionary (total)	5,574	5,000	4,252
Appropriations, mandatory:			
1200 Appropriation - Permanent Appropriation	1	1	1
1900 Budget authority (total)	5,575	5,001	4,253
1930 Total budgetary resources available	6,974	6,366	5,463
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	1,065	910	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,521	1,543	1,709
3010 New obligations, unexpired accounts	5,906	5,456	5,463
3011 Obligations ("upward adjustments"), expired accounts	1	40	40
3020 Outlays (gross)	-5,314	-5,030	-4,413
3040 Recoveries of prior year unpaid obligations, unexpired	-568	-300	-300
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	1,543	1,709	2,499
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,521	1,543	1,709
3200 Obligated balance, end of year	1,543	1,709	2,499
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,574	5,000	4,252
Outlays, gross:			
4010 Outlays from new discretionary authority	3,370	3,418	2,830
4011 Outlays from discretionary balances	1,944	1,611	1,582
4020 Outlays, gross (total)	5,314	5,029	4,412
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances		1	1
4180 Budget authority, net (total)	5,575	5,001	4,253
4190 Outlays, net (total)	5,314	5,030	4,413

The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) provides low-income at-risk pregnant and postpartum women, infants, and children nutritious supplemental food packages, nutrition education and counseling, and health and immunization referrals. The

2021 Budget supports nutrition benefits for the 6.2 million individuals expected to participate in the program each month.

Object Classification (in millions of dollars)

Identification code 012-3510-0-1-605	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	3
12.1 Civilian personnel benefits	5	5	5
25.2 Other services from non-Federal sources	9	9	9
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	5,887	5,437	5,445
99.9 Total new obligations, unexpired accounts	5,906	5,456	5,463

Employment Summary

Identification code 012-3510-0-1-605	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	38	44	44

COMMODITY ASSISTANCE PROGRAM

For necessary expenses to carry out disaster assistance [and the Commodity Supplemental Food Program as authorized by section 4(a) of the Agriculture and Consumer Protection Act of 1973 (7 U.S.C. 612c note)]; the Emergency Food Assistance Act of 1983; and special assistance for the nuclear affected islands, as authorized by section 103(f)(2) of the Compact of Free Association Amendments Act of 2003 (Public Law 108-188)]; and the Farmers' Market Nutrition Program, as authorized by section 17(m) of the Child Nutrition Act of 1966, \$344,248,000], \$80,700,000, to remain available through September 30, [2021] 2022: *Provided*, That none of these funds shall be available to reimburse the Commodity Credit Corporation for commodities donated to the program: *Provided further*, That notwithstanding any other provision of law, effective with funds made available in fiscal year [2020] 2021 to support the Seniors Farmers' Market Nutrition Program, as authorized by section 4402 of the Farm Security and Rural Investment Act of 2002, such funds shall remain available through September 30, [2021] 2022: *Provided further*, That of the funds made available under section 27(a) of the Food and Nutrition Act of 2008 (7 U.S.C. 2036(a)), the Secretary may use up to 20 percent for costs associated with the distribution of commodities. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-3507-0-1-605	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commodity procurement	245	187
0002 Administrative costs	58	58
0091 Subtotal, commodity supplemental food program	303	245
0105 TEFAP Administrative	106	80	79
0110 Senior farmers' market	22	21	21
0115 Farmers' market nutrition program	23	19
0120 Pacific island and disaster assistance	1	1	1
0130 NSIP (Transfer Funds)	2	1
0131 TEFAP Disaster - Hurricane Maria	19
0132 TEFAP Farm Bill	4	4	4
0191 Direct program activities, subtotal	177	126	105
0799 Total direct obligations	480	371	105
0801 TEFAP CCC Supplemental (Reimbursable)	1	1
0900 Total new obligations, unexpired accounts	481	372	105
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	92	5	1
1001 Discretionary unobligated balance brought fwd, Oct 1	92	4
1021 Recoveries of prior year unpaid obligations	46
1050 Unobligated balance (total)	138	5	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	322	344	81
1121 Appropriations transferred from other acct [075-0142]	2
1160 Appropriation, discretionary (total)	324	344	81

1200 Appropriations, mandatory:			
Appropriation	4	4	4
1221 Appropriations transferred from other acct [012-4336]	21	21	21
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1
1260 Appropriations, mandatory (total)	24	24	25
Spending authority from offsetting collections, discretionary:			
1700 Collected		1
1701 Change in uncollected payments, Federal sources	1	-1
1750 Spending auth from offsetting collections, disc (total)	1
1900 Budget authority (total)	349	368	106
1930 Total budgetary resources available	487	373	107
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	5	1	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	119	168	153
3010 New obligations, unexpired accounts	481	372	105
3011 Obligations ("upward adjustments"), expired accounts	13
3020 Outlays (gross)	-385	-387	-230
3040 Recoveries of prior year unpaid obligations, unexpired	-46
3041 Recoveries of prior year unpaid obligations, expired	-14
3050 Unpaid obligations, end of year	168	153	28
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1	1
3090 Uncollected pymts, Fed sources, end of year	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	119	167	153
3200 Obligated balance, end of year	167	153	28

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	325	344	81
Outlays, gross:			
4010 Outlays from new discretionary authority	197	212	73
4011 Outlays from discretionary balances	169	151	132
4020 Outlays, gross (total)	366	363	205
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1
4040 Offsets against gross budget authority and outlays (total)		-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1	1
4070 Budget authority, net (discretionary)	324	344	81
4080 Outlays, net (discretionary)	366	362	205
Mandatory:			
4090 Budget authority, gross	24	24	25
Outlays, gross:			
4100 Outlays from new mandatory authority	11	15	16
4101 Outlays from mandatory balances	8	9	9
4110 Outlays, gross (total)	19	24	25
4180 Budget authority, net (total)	348	368	106
4190 Outlays, net (total)	385	386	230

This account funds the Commodity Supplemental Food Program (CSFP), administrative expenses of The Emergency Food Assistance Program (TEFAP), The WIC Farmers' Market Nutrition Program (FMNP), The Senior Farmers' Market Nutrition Program (SFMNP), assistance for the nuclear-affected islands, and disaster relief.

CSFP provides food packages for low-income elderly persons and funds the State administrative expenses required to operate the program. However, because CSFP is relatively small and is duplicative of other nutrition assistance programs, the Budget does not continue funding for this program. TEFAP provides cash to support State administrative activities and to maintain the storage and distribution pipeline for USDA and privately-donated commodities (TEFAP commodities are separately funded through the Supplemental Nutrition Assistance Program (SNAP) account). The account also funds the SFMNP and FMNP, which provide low-income elderly and WIC-eligible participants, respectively, with vouchers to purchase produce at farmers' markets. The Senior Farmers' Market Nutrition Program is funded by a transfer from the Commodity Credit Corporation.

COMMODITY ASSISTANCE PROGRAM—Continued

The Budget does not continue funding for FMNP because it is duplicative of the WIC cash value voucher.

Object Classification (in millions of dollars)

Identification code 012-3507-0-1-605	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	3	3	3
26.0 Supplies and materials (commodities)	281	226	19
41.0 Grants, subsidies, and contributions	197	143	83
99.9 Total new obligations, unexpired accounts	481	372	105

Employment Summary

Identification code 012-3507-0-1-605	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

FOREST SERVICE

Federal Funds

CAPITAL IMPROVEMENT AND MAINTENANCE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Forest Service, not otherwise provided for, **[\$455,000,000] \$453,173,000**, to remain available through September 30, **[2023] 2024**, for construction, capital improvement, maintenance and acquisition of buildings and other facilities and infrastructure; and for construction, reconstruction, and decommissioning of roads that are no longer needed, including unauthorized roads that are not part of the transportation system **[,]**; and for maintenance of forest roads and trails by the Forest Service as authorized by 16 U.S.C. 532-538 and 23 U.S.C. 101 and 205 **[]**: *Provided*, That funds becoming available in fiscal year 2020 under the Act of March 4, 1913 (16 U.S.C. 501) shall be transferred to the General Fund of the Treasury and shall not be available for transfer or obligation for any other purpose unless the funds are appropriated **[]**. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1103-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capital improvement and maintenance	499	510	467
0801 Capital Improvement and Maintenance (Reimbursable)	28	28	28
0900 Total new obligations, unexpired accounts	527	538	495
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	121	131	89
1021 Recoveries of prior year unpaid obligations	8	10	10
1050 Unobligated balance (total)	129	141	99
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation [P.L. 116-6]	446	455	453
1100 Appropriation [P.L. 116-20 Emergency Designation]	36		
1121 Appropriations transferred from other acct [012-1115]	16		
1160 Appropriation, discretionary (total)	498	455	453
Spending authority from offsetting collections, discretionary:			
1700 Collected	27	27	27
1701 Change in uncollected payments, Federal sources	4	4	4
1750 Spending auth from offsetting collections, disc (total)	31	31	31
1900 Budget authority (total)	529	486	484
1930 Total budgetary resources available	658	627	583
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	131	89	88
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	213	271	312
3010 New obligations, unexpired accounts	527	538	495
3020 Outlays (gross)	-461	-487	-533
3040 Recoveries of prior year unpaid obligations, unexpired	-8	-10	-10

3050 Unpaid obligations, end of year	271	312	264
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-55	-59	-63
3070 Change in uncollected pymts, Fed sources, unexpired	-4	-4	-4
3090 Uncollected pymts, Fed sources, end of year	-59	-63	-67
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	158	212	249
3200 Obligated balance, end of year	212	249	197

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	529	486	484
Outlays, gross:			
4010 Outlays from new discretionary authority	302	316	314
4011 Outlays from discretionary balances	159	171	219
4020 Outlays, gross (total)	461	487	533
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-7	-7	-7
4033 Non-Federal sources	-20	-20	-20
4040 Offsets against gross budget authority and outlays (total)	-27	-27	-27
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4	-4	-4
4070 Budget authority, net (discretionary)	498	455	453
4080 Outlays, net (discretionary)	434	460	506
4180 Budget authority, net (total)	498	455	453
4190 Outlays, net (total)	434	460	506

The 2021 Budget requests \$453,173,000 for Capital Improvement and Maintenance. Funding provides for capital improvement and maintenance of Forest Service assets, including facilities, roads, and trails. The program emphasizes efficient and effective reinvestment and maintenance of National Forest System (NFS) infrastructure that supports public and administrative uses, and quality recreation experiences with minimal impact to ecosystem stability and conditions.

Facilities.—Provides for capital improvement and maintenance of recreation sites; visitor centers; fire, research, administrative, and other facilities; telecommunication sites and towers, dams, and the acquisition of buildings and other facilities necessary to carry out the mission of the Forest Service.

Roads.—Provides for capital improvement and maintenance of the National Forest road system, including bridges and terminal facilities such as parking lots, trailhead parking, camping spurs, and truck turnarounds. Funding priorities include driver safety and resource protection, ecosystem health including clean water and aquatic passage, and mission-critical needs, such as achievement of the agency goal of selling 4 billion board feet of timber in FY 2021.

Trails.—Provides for capital improvement and maintenance of NFS trails. Funding is used to keep trails open for access and to protect vegetation, soil, and water quality.

Roads and Trails (10 Percent) Fund.—The 2021 Budget proposes to retain receipts in the amount of \$15,000,000 from this fund, without regard to the State in which the amounts were derived, to repair or reconstruct roads, bridges, and trails on National Forest System lands, or to carry out and administer projects to improve forest health conditions.

Object Classification (in millions of dollars)

Identification code 012-1103-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	134	141	141
11.3 Other than full-time permanent	10	7	7
11.5 Other personnel compensation	6	4	4
11.9 Total personnel compensation	150	152	152
12.1 Civilian personnel benefits	57	58	58
21.0 Travel and transportation of persons	6	6	5
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	14	14	12
25.2 Other services from non-Federal sources	145	149	128
25.3 Other goods and services from Federal sources	54	55	47
25.4 Operation and maintenance of facilities	9	9	8
25.7 Operation and maintenance of equipment	5	5	5

26.0	Supplies and materials	13	14	11
31.0	Equipment	7	7	6
32.0	Land and structures	17	18	15
41.0	Grants, subsidies, and contributions	18	19	16
99.0	Direct obligations	499	510	467
99.0	Reimbursable obligations	27	27	27
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	527	538	495

Employment Summary

Identification code 012-1103-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,191	2,157	2,150
2001 Reimbursable civilian full-time equivalent employment	216	216	216
3001 Allocation account civilian full-time equivalent employment	50	50	50

FOREST AND RANGELAND RESEARCH

For necessary expenses of forest and rangeland research as authorized by law, **[\$305,000,000] \$249,330,000**, to remain available through September 30, **[2023] 2024: Provided**, That of the funds provided, **[\$77,000,000] \$78,454,000** is for the forest inventory and analysis program: *Provided further*, That all authorities for the use of funds, including the use of contracts, grants, and cooperative agreements, available to execute the Forest and Rangeland Research appropriation, are also available in the utilization of these funds for Fire Science Research. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH

For expenses authorized by 16 U.S.C. 1643(b), \$45,000, to remain available through September 30, **[2023] 2024**, to be derived from the fund established pursuant to the above Act. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1104-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Forest and rangeland research	298	311	255
0801 Forest and Rangeland Research (Reimbursable)	30	30	30
0900 Total new obligations, unexpired accounts	328	341	285
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28	33	29
1021 Recoveries of prior year unpaid obligations	4	4	4
1050 Unobligated balance (total)	32	37	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation [P.L. 116-6]	300	305	249
1100 Appropriation [P.L. 116-20 Emergency Designation]	1		
1160 Appropriation, discretionary (total)	301	305	249
Spending authority from offsetting collections, discretionary:			
1700 Collected	25	25	25
1701 Change in uncollected payments, Federal sources	3	3	3
1750 Spending auth from offsetting collections, disc (total)	28	28	28
1900 Budget authority (total)	329	333	277
1930 Total budgetary resources available	361	370	310
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	29	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	147	148	73
3010 New obligations, unexpired accounts	328	341	285
3020 Outlays (gross)	-323	-412	-298
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-4	-4
3050 Unpaid obligations, end of year	148	73	56
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-46	-49	-52
3070 Change in uncollected pymts, Fed sources, unexpired	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-49	-52	-55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	101	99	21

3200	Obligated balance, end of year	99	21	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	329	333	277
Outlays, gross:				
4010	Outlays from new discretionary authority	225	266	221
4011	Outlays from discretionary balances	98	146	77
4020	Outlays, gross (total)	323	412	298
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-19	-19	-19
4033	Non-Federal sources	-6	-6	-6
4040	Offsets against gross budget authority and outlays (total)	-25	-25	-25
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-3	-3	-3
4070	Budget authority, net (discretionary)	301	305	249
4080	Outlays, net (discretionary)	298	387	273
4180	Budget authority, net (total)	301	305	249
4190	Outlays, net (total)	298	387	273

The 2021 Budget requests \$249.3 million for Forest and Rangeland Research (Forest Service R&D). Within this funding level, \$78.5 million is requested for Forest Inventory and Analysis to continue to implement the inventory program in all 50 States (including interior Alaska), the affiliated Pacific Islands, Puerto Rico, and the U.S. Virgin Islands. Funding requested allows the Forest Service to focus on the most critical needs for Research and Development. The Forest Service R&D will invest more than \$12.5 million in initiatives to strengthen the link between research and wildfire suppression operations. Supporting R&D delivery on national priorities will necessitate closure the Pacific Southwest research station and the Institute of Tropical Forestry, and terminating certain other lower-priority research activities.

The Forest Service has contracted with the National Academy of Public Administration to conduct an assessment of the coordination, responsiveness, efficiency, and effectiveness of the Research and Development program. This effort is designed to address issues raised by Congress and will be used to focus our research agenda on national priorities.

Object Classification (in millions of dollars)

Identification code 012-1104-0-1-302	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	130	134	110
11.3	Other than full-time permanent	3	3	2
11.5	Other personnel compensation	4	4	3
11.9	Total personnel compensation	137	141	115
12.1	Civilian personnel benefits	50	52	42
13.0	Benefits for former personnel	1	1	1
21.0	Travel and transportation of persons	7	7	6
22.0	Transportation of things	2	1	1
23.1	Rental payments to GSA	2	2	2
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	10	11	9
25.2	Other services from non-Federal sources	14	16	13
25.3	Other goods and services from Federal sources	20	22	18
25.4	Operation and maintenance of facilities	1		1
25.5	Research and development contracts	28	31	25
25.7	Operation and maintenance of equipment	1	1	
26.0	Supplies and materials	5	5	4
31.0	Equipment	6	6	5
41.0	Grants, subsidies, and contributions	12	13	11
99.0	Direct obligations	298	311	255
99.0	Reimbursable obligations	30	30	30
99.9	Total new obligations, unexpired accounts	328	341	285

Employment Summary

Identification code 012-1104-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,585	1,585	1,298

FOREST AND RANGELAND RESEARCH—Continued
Employment Summary—Continued

Identification code 012-1104-0-1-302	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	65	65	65

NATIONAL FOREST SYSTEM

For necessary expenses of the Forest Service, not otherwise provided for, for management, protection, improvement, and utilization of the National Forest System, and for hazardous fuels management on or adjacent to such lands, **[\$1,957,510,000]** \$2,005,062,000, to remain available through September 30, **[2023]** 2024: *Provided*, That of the funds provided, \$40,000,000 shall be deposited in the Collaborative Forest Landscape Restoration Fund for ecological restoration treatments as authorized by 16 U.S.C. 7303(f): *Provided further*, That of the funds provided, **[\$373,000,000]** \$385,000,000 shall be for forest products: *Provided further*, That of the funds provided, **[\$445,310,000]** \$510,000,000 shall be for hazardous fuels management activities, of which not to exceed \$15,000,000 may be used to make grants, using any authorities available to the Forest Service under the "State and Private Forestry" appropriation, for the purpose of creating incentives for increased use of biomass from National Forest System lands: *Provided further*, That \$20,000,000 may be used by the Secretary of Agriculture to enter into procurement contracts or cooperative agreements or to issue grants for hazardous fuels management activities, and for training or monitoring associated with such hazardous fuels management activities on Federal land, or on non-Federal land if the Secretary determines such activities benefit resources on Federal land: *Provided further*, That funds made available to implement the Community Forestry Restoration Act, Public Law 106-393, title VI, shall be available for use on non-Federal lands in accordance with authorities made available to the Forest Service under the "State and Private Forestry" appropriations: *Provided further*, That notwithstanding section 33 of the Bankhead Jones Farm Tenant Act (7 U.S.C. 1012), the Secretary of Agriculture, in calculating a fee for grazing on a National Grassland, may provide a credit of up to 50 percent of the calculated fee to a Grazing Association or direct permittee for a conservation practice approved by the Secretary in advance of the fiscal year in which the cost of the conservation practice is incurred. And, that: *Provided further*, That the amount credited pursuant to the previous proviso shall remain available to the Grazing Association or the direct permittee, as appropriate, in the fiscal year in which the credit is made and each fiscal year thereafter for use on the project for conservation practices approved by the Secretary. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1106-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National forest system	1,968	2,067	2,027
0801 National Forest System (Reimbursable)	94	94	94
0900 Total new obligations, unexpired accounts	2,062	2,161	2,121
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	180	265	185
1021 Recoveries of prior year unpaid obligations	30	36	36
1050 Unobligated balance (total)	210	301	221
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (P.L. 116-6)	1,938	1,958	2,005
1100 Appropriation (P.L. 116-20 Emergency Designation)	85		
1120 Appropriations transferred to other acct [014-1125]	-2		
1120 Appropriations transferred to other acct [012-1105]		-15	-15
1121 Appropriations transferred from other acct [012-5634]		6	6
1160 Appropriation, discretionary (total)	2,021	1,949	1,996
Spending authority from offsetting collections, discretionary:			
1700 Collected	75	75	75
1701 Change in uncollected payments, Federal sources	21	21	21
1750 Spending auth from offsetting collections, disc (total)	96	96	96
1900 Budget authority (total)	2,117	2,045	2,092
1930 Total budgetary resources available	2,327	2,346	2,313
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	265	185	192

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	564	672	266
3010 New obligations, unexpired accounts	2,062	2,161	2,121
3020 Outlays (gross)	-1,924	-2,531	-2,229
3040 Recoveries of prior year unpaid obligations, unexpired	-30	-36	-36
3050 Unpaid obligations, end of year	672	266	122
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-162	-183	-204
3070 Change in uncollected pymts, Fed sources, unexpired	-21	-21	-21
3090 Uncollected pymts, Fed sources, end of year	-183	-204	-225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	402	489	62
3200 Obligated balance, end of year	489	62	-103

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2,117	2,045	2,092
Outlays, gross:			
4010 Outlays from new discretionary authority	1,538	1,739	1,779
4011 Outlays from discretionary balances	386	792	450
4020 Outlays, gross (total)	1,924	2,531	2,229
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-45	-45	-45
4033 Non-Federal sources	-30	-30	-30
4040 Offsets against gross budget authority and outlays (total)	-75	-75	-75
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-21	-21	-21
4070 Budget authority, net (discretionary)	2,021	1,949	1,996
4080 Outlays, net (discretionary)	1,849	2,456	2,154
4180 Budget authority, net (total)	2,021	1,949	1,996
4190 Outlays, net (total)	1,849	2,456	2,154

The 2021 Budget requests \$2,005 million for the National Forest System (NFS) for the stewardship and management of the system's 193 million acres of national forests and grasslands. This includes the 154 national forests and 20 national grasslands, located in 44 States and Puerto Rico, and managed under multiple-use and sustained-yield principles. The natural resources of timber, minerals, range, wildlife, outdoor recreation, watershed, and soil are used in a planned combination that best meets the needs of the Nation without impairing productivity of the land or damaging the environment.

The 2021 Budget prioritizes funding of programs designed to increase the health and resilience of the national forests and grasslands, while also meeting the multiple use requirements for the resources on our Nation's forests and grasslands.

The 2021 Budget requests \$510 million for Hazardous Fuels funding to provide for treatment of hazardous fuels within the wildland-urban interface and highest priority areas of NFS lands, and adjacent State and private lands through prescribed burning, mechanical treatments, and other methods in order to restore forest health and reduce wildfire risks.

The 2021 Budget requests \$263.6 million for Recreation, Heritage and Wilderness. Funds for this program will be used to provide public recreational access to over 193 million acres of scenic lands, with almost 159,000 miles of trails, 27,000 developed recreation sites, 220,000 miles of fishable streams, 122 ski areas, and over 450,000 heritage sites. The Forest Service will prioritize permitting for outfitters and guides, maintaining and growing strong collaborations with partners and volunteer groups, and working to address the recreational needs of today's public, who want year-round activities on National Forest System lands.

The 2021 Budget requests \$385 million for Forest Products. Funds for this program will be used to increase timber sales in support of the 2021 goal of 4 billion board feet of timber sold. This exceeds the previous goal of 3.8 billion board feet offered for sale in the Executive Order "Promoting Active Management of America's Forests, Rangelands, and other Federal Lands to Improve Conditions and reduce Wildfire Risk" issued on December 21, 2018.

The overall objective of all NFS program activities is to reestablish and retain the resilience of NFS lands, to achieve sustainable management and

use, and to provide a broad range of ecosystem services. A priority component in the Budget request is continuing support for Law Enforcement Operations to clean up and reclaim illegal marijuana grow sites on NFS lands.

The 2021 Budget continues the emphasis on Forest Service program performance and accountability agency-wide, and on delivering critical services more efficiently. The Forest Service business rules for accomplishment reporting incorporate not only directly funded work, but also accomplishments achieved through integration between program areas or partnerships with external groups. This effort improves performance and accountability by shifting focus to accomplishments that naturally align with other programs and partner organizations to achieve multiple goals.

Object Classification (in millions of dollars)

Identification code 012-1106-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	772	800	788
11.3 Other than full-time permanent	48	48	48
11.5 Other personnel compensation	53	53	53
11.9 Total personnel compensation	873	901	889
12.1 Civilian personnel benefits	342	354	349
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	38	42	41
22.0 Transportation of things	11	12	12
23.1 Rental payments to GSA	3	3	3
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	77	84	83
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	209	235	224
25.3 Other goods and services from Federal sources	195	214	209
25.4 Operation and maintenance of facilities	1		
25.7 Operation and maintenance of equipment	5	5	5
26.0 Supplies and materials	40	44	43
31.0 Equipment	19	21	21
32.0 Land and structures	2	1	
41.0 Grants, subsidies, and contributions	146	145	142
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	1,968	2,067	2,027
99.0 Reimbursable obligations	94	94	94
99.9 Total new obligations, unexpired accounts	2,062	2,161	2,121

Employment Summary

Identification code 012-1106-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	11,564	11,585	11,434
2001 Reimbursable civilian full-time equivalent employment	305	305	304
3001 Allocation account civilian full-time equivalent employment	1,136	1,136	1,136

STATE AND PRIVATE FORESTRY

For necessary expenses of cooperating with and providing technical and financial assistance to States, territories, possessions, and others, and for forest health management, [and conducting an international program as authorized, \$346,990,000] \$217,443,000, to remain available through September 30, [2023] 2024, as authorized by law [; of which \$63,990,000 is to be derived from the Land and Water Conservation Fund to be used for the Forest Legacy Program, to remain available until expended]. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1105-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State and private forestry	284	319	266
0002 Forest Legacy	65	65	
0799 Total direct obligations	349	384	266
0801 State and Private Forestry (Reimbursable)	59	60	60
0900 Total new obligations, unexpired accounts	408	444	326

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	109	166	182
1011 Unobligated balance transfer from other acct [072-0306]	1		
1021 Recoveries of prior year unpaid obligations	16	16	16
1050 Unobligated balance (total)	126	182	198
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation [116-6]	273	283	217
1100 Appropriation [116-20]	12		
1101 Appropriation (LWCF Forest Legacy)	64	64	
1121 Appropriations transferred from other acct [012-1115]	19		
1121 Appropriations transferred from other acct [012-1106]		15	15
1131 Unobligated balance of appropriations permanently reduced	-2		
1160 Appropriation, discretionary (total)	366	362	232
Spending authority from offsetting collections, discretionary:			
1700 Collected	61	61	61
1701 Change in uncollected payments, Federal sources	21	21	21
1750 Spending auth from offsetting collections, disc (total)	82	82	82
1900 Budget authority (total)	448	444	314
1930 Total budgetary resources available	574	626	512
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	166	182	186

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	501	611	542
3010 New obligations, unexpired accounts	408	444	326
3020 Outlays (gross)	-282	-497	-491
3040 Recoveries of prior year unpaid obligations, unexpired	-16	-16	-16
3050 Unpaid obligations, end of year	611	542	361
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-135	-156	-177
3070 Change in uncollected pymts, Fed sources, unexpired	-21	-21	-21
3090 Uncollected pymts, Fed sources, end of year	-156	-177	-198
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	366	455	365
3200 Obligated balance, end of year	455	365	163

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	448	444	314
Outlays, gross:			
4010 Outlays from new discretionary authority	92	181	138
4011 Outlays from discretionary balances	190	316	353
4020 Outlays, gross (total)	282	497	491
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-60	-60	-60
4033 Non-Federal sources	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-61	-61	-61
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-21	-21	-21
4070 Budget authority, net (discretionary)	366	362	232
4080 Outlays, net (discretionary)	221	436	430
4180 Budget authority, net (total)	366	362	232
4190 Outlays, net (total)	221	436	430

Memorandum (non-add) entries:

5096 Unexpired unavailable balance, SOY: Appropriations	3	3	3
5098 Unexpired unavailable balance, EOY: Appropriations	3	3	3

The 2021 Budget requests \$217.4 million for State and Private Forestry programs to help sustain forests on State and private lands, in both rural and urban areas, and to protect communities and the natural environment from wildland fires, tree pests and diseases, and invasive plants. Technical assistance and grants help facilitate sound resource stewardship by providing tools to address forest health threats on a landscape scale, while maintaining the flexibility for individual forest landowners and resource managers to pursue their objectives. This funding will support the Forest Service's Shared Stewardship strategy which aims to increase emphasis on work across boundaries with States and other partners to prioritize investments in lands that can make a difference in conditions across an entire landscape. This collaboration will accelerate gains in reducing fire risk and in improving resilient forest conditions. Specific areas of emphasis are:

STATE AND PRIVATE FORESTRY—Continued

Landscape Scale Restoration.—Funds in the amount of \$14 million for competitive grants that carry out science-based restoration of priority forest landscapes by reducing wildfire risk; improving fish and wildlife habitat; maintaining or improving water quality and watershed function; mitigating invasive species, insect infestation, and disease; improving important forest ecosystems; and measuring economic and ecological benefits, including air quality and soil quality and productivity.

Forest Health Management.—Funds in the amount of \$84.6 million for activities on Federal and cooperative lands to maintain healthy, productive ecosystems by preventing, detecting, and suppressing damaging native and invasive insect infestations and tree diseases across all land ownership jurisdictions, and invasive plants on cooperative lands. Based on a science-based forest health risk map, the 2021 Budget allocates funding to address national priorities and reduce risk for landscape damage in the most effective and efficient manner. The agency will document changes in insect, disease, and invasive plant geographic range, population dynamics of host preferences of pests, and other changes in pest activity, and will explore gene conservation efforts. Funding of this program is a critical part of the Forest Service's capacity to continue to reduce the risk of catastrophic wildfires, improve water quality and quantity, and increase carbon sequestration.

Cooperative Forestry.—Funds in the amount of \$20.6 million are requested for the Working Forest Lands Program (previously the Forest Stewardship Program), which provides professional forestry assistance to landowners to encourage sound environmental management of non-industrial private forest lands. Cooperative forestry activities help maintain the integrity of our Nation's valuable forested landscapes, and support the Federal interest in obtaining and preserving for the public an array of social, economic, and environmental benefits from privately owned forests. The Forest Service will track how cooperative funds are targeted to priority areas and themes identified in State Forest Action Plans.

Cooperative Fire Programs.—This request includes funding for the National Fire Capacity and Rural Fire Capacity programs in the amounts of \$81.1 million and \$17 million; respectively, to enhance the capacity of States to increase the fire adaptability of communities by providing grant funding and technical assistance to: (1) increase their initial attack capabilities, and (2) purchase and maintain firefighting equipment. Funding also supports training, planning, and fire prevention and education programs.

Object Classification (in millions of dollars)

Identification code 012-1105-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	49	38
11.3 Other than full-time permanent	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	50	52	40
12.1 Civilian personnel benefits	24	24	19
21.0 Travel and transportation of persons	4	4	3
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	3
25.2 Other services from non-Federal sources	11	12	7
25.3 Other goods and services from Federal sources	11	12	8
26.0 Supplies and materials	3	3	2
41.0 Grants, subsidies, and contributions	239	271	182
99.0 Direct obligations	348	384	266
99.0 Reimbursable obligations	60	60	60
99.9 Total new obligations, unexpired accounts	408	444	326

Employment Summary

Identification code 012-1105-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	552	551	432
2001 Reimbursable civilian full-time equivalent employment	80	80	80

3001 Allocation account civilian full-time equivalent employment	1	1	1
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MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES

For necessary expenses of the Forest Service to manage Federal lands in Alaska for subsistence uses under title VIII of the Alaska National Interest Lands Conservation Act (16 U.S.C. 3111 et seq.), **[\$2,500,000]** \$2,322,000, to remain available through September 30, **[2023]** 2024. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 012-1119-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Management of national forest lands for subsistence uses	3	3	2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	2
1930 Total budgetary resources available	3	3	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	2
3010 New obligations, unexpired accounts	3	3	2
3020 Outlays (gross)	-2	-3	-3
3050 Unpaid obligations, end of year	2	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	2
3200 Obligated balance, end of year	2	2	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	1
4011 Outlays from discretionary balances	1	2
4020 Outlays, gross (total)	2	3	3
4180 Budget authority, net (total)	3	3	2
4190 Outlays, net (total)	2	3	3

The 2021 Budget requests \$2.3 million for Management of National Forest Lands for Subsistence Uses. Funding under this program primarily supports fisheries and wildlife population assessments and forecasts, and the enforcement of harvest laws and regulations, to ensure that the subsistence needs of qualified rural Alaskans are met under the Alaska National Interest Lands Conservation Act (Public Law 96-487).

Object Classification (in millions of dollars)

Identification code 012-1119-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.0 Direct obligations	2	2	2
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	3	3	2

Employment Summary

Identification code 012-1119-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	11

WILDLAND FIRE MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for forest fire presuppression activities on National Forest System lands, for emergency wildland fire suppression on or adjacent to such lands,

or other lands under fire protection agreement, and for emergency rehabilitation of burned-over National Forest System lands and water, **[\$2,350,620,000]** \$2,409,444,000, to remain available until expended: *Provided*, That such funds, including unobligated balances under this heading, are available for repayment of advances from other appropriations accounts previously transferred for such purposes: *Provided further*, That any unobligated funds appropriated in a previous fiscal year for hazardous fuels management may be transferred to the "National Forest System" account: *Provided further*, That such funds shall be available to reimburse State and other cooperating entities for services provided in response to wildfire and other emergencies or disasters to the extent such reimbursements by the Forest Service for non-fire emergencies are fully repaid by the responsible emergency management agency: *Provided further*, That funds provided shall be available for support to Federal emergency response: *Provided further*, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: *Provided further*, That of the funds provided under this heading, \$1,011,000,000 shall be available for wildfire suppression operations, and is provided to the meet the terms of section 251(b)(2)(F)(ii)(I) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1115-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Wildland fire management	2,737	3,164	3,230
0801 Wildland Fire Management (Reimbursable)	131	131	131
0900 Total new obligations, unexpired accounts	2,868	3,295	3,361
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	139	898	383
1021 Recoveries of prior year unpaid obligations	241	65	65
1050 Unobligated balance (total)	380	963	448
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Preparedness (WFWF)	1,340	1,340	1,398
1100 Appropriation - Suppression Operations (WFSU)	1,665	1,011	1,011
1100 Appropriation (P.L. 116-20) Fire Txfer Repayment	720		
1120 Appropriations transferred to other accts [012-1103]	-16		
1120 Appropriations transferred to other accts [012-1105]	-19		
1120 Appropriations transferred to other acct [012-5540]	-10		
1120 Appropriations transferred to other acct [012-9921]	-340		
1120 Appropriations transferred to other acct [012-9923]	-41		
1120 Appropriations transferred to other acct [014-1125]	-46		
1121 Appropriations transferred from other acct [012-1121]		229	1,092
1160 Appropriation, discretionary (total)	3,253	2,580	3,501
Spending authority from offsetting collections, discretionary:			
1700 Collected	135	135	135
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)	133	135	135
1900 Budget authority (total)	3,386	2,715	3,636
1930 Total budgetary resources available	3,766	3,678	4,084
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	898	383	723
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,264	754	772
3010 New obligations, unexpired accounts	2,868	3,295	3,361
3020 Outlays (gross)	-3,137	-3,212	-3,960
3040 Recoveries of prior year unpaid obligations, unexpired	-241	-65	-65
3050 Unpaid obligations, end of year	754	772	108
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-17	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,247	739	757
3200 Obligated balance, end of year	739	757	93
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,386	2,715	3,636
Outlays, gross:			
4010 Outlays from new discretionary authority	2,141	2,437	3,266

4011	Outlays from discretionary balances	996	775	694
4020	Outlays, gross (total)	3,137	3,212	3,960
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-29	-29	-29
4033	Non-Federal sources	-106	-106	-106
4040	Offsets against gross budget authority and outlays (total) ...	-135	-135	-135
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	2		
4060	Additional offsets against budget authority only (total)	2		
4070	Budget authority, net (discretionary)	3,253	2,580	3,501
4080	Outlays, net (discretionary)	3,002	3,077	3,825
4180	Budget authority, net (total)	3,253	2,580	3,501
4190	Outlays, net (total)	3,002	3,077	3,825

The 2021 Budget requests \$2,409 million for Wildland Fire Management (WFM) for Forest Service fire preparedness and fire suppression operations on National Forest System (NFS) lands, adjacent State and private lands, and other lands under fire protection agreements. Firefighter and public safety are the primary considerations for all wildfire response operations. To support a Department-wide priority, \$23.5 million is requested for investment toward an intra-agency Land Mobile Radio shared services model to lower costs, improve operational efficiencies, and better respond to emergencies. In addition, the Forest Service will direct \$10 million in its Risk Management Assistance Framework to enhance line officer capacity to make wildfire response decisions using performance data and other tools developed by agency R&D; and, will allocate \$15 million to implement the Wildfire Technology Modernization section of the Dingell Conservation and Management Act of 2019.

Preparedness.—Funds the agency capability to protect life, property, infrastructure and natural resources through an appropriate initial attack response.

Preparedness provides for fire management assets that protect NFS lands, and other Federal, State, and private lands from damaging wildfires, thus reducing threats to life, property, infrastructure and natural resource values commensurate with land management objectives in the National Cohesive Wildland Fire Management Strategy. Key components of wildland fire response mission delivery are fire season readiness, year-round capability and program leadership necessary to ensure appropriate, risk informed, and effective operations. Preparedness also supports other vital elements of a comprehensive wildland fire management program, including modernization of the large airtanker fleet, planning, prevention, development of information technology and decision support systems, training and education, development and advancement of firefighting technology, and organizational learning through program analysis and review.

Through this program, the Forest Service also assists other Federal agencies and States with planning assistance, sharing joint equipment use contracts and interagency fire coordination centers. Readiness levels reflect improvements in efficiencies and management controls to strategically deploy firefighting resources, including predictive services analysis of fire season potential, web-based wildfire decision support tools, centralized management of aviation assets, ongoing analysis to optimize dispatch, and investment in information technology.

Suppression Operations.—Provides for risk-informed extended attack suppression and large fire support at wildland fires on or threatening NFS lands, other Federal lands, and 20 million acres of non-Federal lands under fire protection agreements. The 2021 Budget requests funding at \$1,011 million, the amount stipulated by the Consolidated Appropriations Act, 2018 (P.L. 115-141) through Fiscal Year 2027. The Act also amended the Balanced Budget and Emergency Deficit Control act to provide additional new budget authority for fiscal years 2020 through 2027.

Wildfires continue to be larger and more difficult to suppress due to the effects of persistent drought, hazardous fuel conditions, and the ongoing growth of residential and commercial development adjacent to fire-prone areas in the wildland-urban interface (WUI). The Forest Service recognizes

WILDLAND FIRE MANAGEMENT—Continued

the costs of WUI suppression activities, and will continue to aggressively pursue management improvements, including:

- using risk-informed, performance-based suppression strategies,
- clarifying roles and responsibilities in the WUI,
- using appropriate cost-share agreements and pursuing 100 percent cost recovery from Federal, State, and local entities, and
- deploying decision support tools.

The Suppression program also funds Burned Area Emergency Response (BAER) activities, which address situations where life, property, water quality, and deteriorated ecosystems may be further threatened from post-fire flooding and other damage. The BAER program provides for immediate emergency post-fire response to manage unacceptable risks to people and resources triggered by the changed conditions to the landscape in the aftermath of a fire.

Development of necessary governance and risk management protocols to guide program management and incident response, with the application of resources to reduce unnecessary risk to firefighter safety in the short-term, and increase the long-term resilience of fire-adapted ecosystems, will continue to be a focus. The Forest Service will also continue efforts to allow fire to return to the landscape when it will improve the health of the forest, and when risks to community safety make it appropriate to do so.

Object Classification (in millions of dollars)

Identification code 012-1115-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	460	454	454
11.3 Other than full-time permanent	67	71	75
11.5 Other personnel compensation	235	239	241
11.8 Special personal services payments	46	50	50
11.9 Total personnel compensation	808	814	820
12.1 Civilian personnel benefits	283	290	292
13.0 Benefits for former personnel	39	40	41
21.0 Travel and transportation of persons	89	116	119
22.0 Transportation of things	12	16	17
23.1 Rental payments to GSA	3	4	4
23.2 Rental payments to others	19	25	26
23.3 Communications, utilities, and miscellaneous charges	67	89	92
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	593	685	709
25.3 Other goods and services from Federal sources	379	503	513
25.7 Operation and maintenance of equipment	6	7	8
26.0 Supplies and materials	117	151	155
31.0 Equipment	23	31	31
41.0 Grants, subsidies, and contributions	297	390	401
42.0 Insurance claims and indemnities	1	2	1
99.0 Direct obligations	2,737	3,164	3,230
99.0 Reimbursable obligations	130	130	130
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	2,868	3,295	3,361

Employment Summary

Identification code 012-1115-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10,515	10,487	10,487
2001 Reimbursable civilian full-time equivalent employment	45	45	45

WILDFIRE SUPPRESSION OPERATIONS RESERVE FUND

(INCLUDING TRANSFERS OF FUNDS)

In addition to the amounts provided under the heading "Department of Agriculture-Forest Service-Wildland Fire Management" for wildfire suppression operations, **[\$1,950,000,000] \$2,040,000,000**, to remain available until transferred, is additional new budget authority as specified for purposes of section 251(b)(2)(F) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That such amounts may be transferred to and merged with amounts made available under the headings "Department of the Interior-Department-Wide Programs-Wildland Fire Management" and "Department of Agriculture-Forest Service-Wildland Fire Man-

agement" for wildfire suppression operations in the fiscal year in which such amounts are transferred: *Provided further*, That amounts may be transferred to the "Wildland Fire Management" accounts in the Department of the Interior or the Department of Agriculture only upon the notification of the House and Senate Committees on Appropriations that all wildfire suppression operations funds appropriated under that heading in this and prior appropriations Acts to the agency to which the funds will be transferred will be obligated within 30 days: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided by law. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 012-1121-0-1-302	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1,721
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		1,950	2,040
1120 Appropriations transferred to other acct [012-1115]		-229	-1,092
1160 Appropriation, discretionary (total)		1,721	948
1930 Total budgetary resources available		1,721	2,669
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1,721	2,669
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		1,721	948
4180 Budget authority, net (total)		1,721	948
4190 Outlays, net (total)			

The 2021 Budget requests \$2.04 billion of the \$2.35 billion cap adjustment authorized for 2021 for wildfire suppression operations. The remaining \$310 million will be requested by the Department of the Interior. These additional funds will help ensure that adequate resources are available to the Department of Agriculture and the Department of the Interior to fight wildland fires, protect communities, and safeguard human life during the most severe wildland fire seasons.

RANGE BETTERMENT FUND

For necessary expenses of range rehabilitation, protection, and improvement, 50 percent of all moneys received during the prior fiscal year **[,]** as fees for grazing domestic livestock on *National Grasslands* and lands in National Forests in the 16 Western States **[,]** pursuant to section 401(b)(1) of Public Law 94-579, *as amended*, to remain available through September 30, **[2023] 2024**, of which not to exceed 6 percent shall be available for administrative expenses associated with on-the-ground range rehabilitation, protection, and improvements. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5207-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Receipts, Cooperative Range Improvements	3	3	3
2000 Total: Balances and receipts	3	3	4
Appropriations:			
Current law:			
2101 Range Betterment Fund			-2
2103 Range Betterment Fund	-3	-2	
2199 Total current law appropriations	-3	-2	-2
2999 Total appropriations	-3	-2	-2
5099 Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 012-5207-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Range betterment fund	3	3	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			2
1103 Appropriation (previously unavailable)(special or trust)	3	2	
1160 Appropriation, discretionary (total)	3	2	2
1930 Total budgetary resources available	5	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	3	3	2
3020 Outlays (gross)	-3	-3	-2
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	1	2	2
4011 Outlays from discretionary balances	2	1	
4020 Outlays, gross (total)	3	3	2
4180 Budget authority, net (total)	3	2	2
4190 Outlays, net (total)	3	3	2

The 2021 Budget requests \$2.1 million for the Range Betterment Fund for range rehabilitation, protection, and improvement of lands on national forests in western States. Under the authority of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), as amended, fifty percent of fees from permitted grazing of domestic livestock, once appropriated, are used to protect and improve rangeland productivity, primarily through revegetation, and construction, reconstruction, and maintenance of rangeland improvements. This program emphasizes essential structural and non-structural improvements prescribed in grazing allotment management plans and other project plans as developed according to the National Environmental Policy Act. Treatment of invasive plant species related to permitted livestock use continues to be a priority for non-structural rangeland improvement work.

Object Classification (in millions of dollars)

Identification code 012-5207-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	2	2	1
99.0 Direct obligations	3	3	2
99.9 Total new obligations, unexpired accounts	3	3	2

Employment Summary

Identification code 012-5207-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	6	5

COMMUNICATIONS SITE ADMINISTRATION

(INCLUDING TRANSFERS OF FUNDS)

Amounts collected in this fiscal year pursuant to section 8705(f)(2) of the Agriculture Improvement Act of 2018 (Public Law 115-334), as amended [by this Act], shall be deposited in the special account established by section 8705(f)(1) of such Act [,]: *Provided, That up to \$6,000,000 of such amounts collected shall be available to cover the costs described in subsection (c)(3) of such section of such Act, and shall remain available until expended: Provided further, That [such] amounts made available under this heading shall be transferred to the "National Forest System" account. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-5634-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Communications Site Administration, Fees		6	14
2000 Total: Balances and receipts		6	14
Appropriations:			
Current law:			
2101 Communications Site Administration		-6	-6
5099 Balance, end of year			8

Program and Financing (in millions of dollars)

Identification code 012-5634-0-2-302	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)		6	6
1120 Appropriations transferred to other acct [012-1106]		-6	-6
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Agriculture Improvement Act, 2018 authorizes the Forest Service to establish, collect, and retain a new administrative fee to cover costs incurred by the Forest Service to manage communication site uses on National Forest System (NFS) lands. The 2021 Budget requests \$6 million in land use administrative fees collected for communication sites on NFS lands.

These funds support the Administration's priority of increasing broadband access to rural communities, the Department of Agriculture's goal to "Assist Rural Communities to Create Prosperity;" and USDA Rural Development's effort to increase broadband access for rural communities. These funds also support the Federal Interagency Broadband Opportunity Council's recommendation to promote increased broadband deployment through expanded access to Federal assets, helping service providers obtain the permits necessary to build out broadband networks on Federal lands.

With these funds the Forest Service will better serve its customers, emergency response providers, and visitors to NFS lands by providing expanded telecommunications capabilities, including cellular coverage and broadband access, to rural communities. These expanded capabilities will benefit rural communities and areas where little or no capability currently exists, enable greater coordination in emergency response situations, and increase overall safety for visitors, agency staff, and first responders.

STEWARDSHIP CONTRACTING PRODUCT SALES

Program and Financing (in millions of dollars)

Identification code 012-5540-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Stewardship contracting	20	25	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	36	37

STEWARDSHIP CONTRACTING PRODUCT SALES—Continued
Program and Financing—Continued

Identification code 012-5540-0-2-302	2019 actual	2020 est.	2021 est.
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	22	36	37
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	26	26	27
1203 Appropriation (previously unavailable)(special or trust)		2	2
1221 Appropriations transferred from other acct [012-1115]	10		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-2	
1260 Appropriations, mandatory (total)	34	26	29
1900 Budget authority (total)	34	26	29
1930 Total budgetary resources available	56	62	66
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	36	37	36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	18	10
3010 New obligations, unexpired accounts	20	25	30
3020 Outlays (gross)	-18	-33	-37
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	18	10	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	18	10
3200 Obligated balance, end of year	18	10	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	34	26	29
Outlays, gross:			
4100 Outlays from new mandatory authority	2	13	14
4101 Outlays from mandatory balances	16	20	23
4110 Outlays, gross (total)	18	33	37
4180 Budget authority, net (total)	34	26	29
4190 Outlays, net (total)	18	33	37

Stewardship Contracting.—The Forest Service may enter into stewardship agreements or contracts for projects to achieve land management goals and meet local and rural community needs. Stewardship contracting product sales enable the Forest Service to apply the value of timber or other forest products from stewardship sales as an offset against the costs to accomplish land and resource management objectives. If the offset value of timber or other forest products exceeds the value of the resource improvement treatments, those sales receipts are retained and deposited in the Stewardship Contracting Fund, and are available until expended for other authorized stewardship projects. This authority was reauthorized permanently, pursuant to P.L. 113-79, Agricultural Act of 2014. The Consolidated Appropriation Act of 2018 extends the maximum duration of stewardship contracts in areas of great risk of catastrophic fire from 10 years to 20 years, and allows for the obligation of funds to cover contract cancellation or termination costs in stages over multiple years rather than in the first year of the contract. Longer contract periods may expand the industry's capacity to create additional markets for wood products in areas where mills are scarce, and spreading the cancellation ceiling cost over more than one year can make it more financially viable to use stewardship contracts to actively manage and restore forests.

Object Classification (in millions of dollars)

Identification code 012-5540-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	12	18	20
25.3 Other goods and services from Federal sources	1	1	2
26.0 Supplies and materials	1	1	2
41.0 Grants, subsidies, and contributions	4	5	6
99.0 Direct obligations	18	25	30
99.5 Adjustment for rounding	2		

99.9 Total new obligations, unexpired accounts	20	25	30
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LAND ACQUISITION

[(INCLUDING RESCISSION OF FUNDS)]

For expenses necessary to carry out the provisions of chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the Forest Service, \$78,898,000, to be derived from the Land and Water Conservation Fund and to remain available until expended.

Of the unobligated balances from amounts made available for Forest Service and derived from the Land and Water Conservation Fund, \$2,000,000 is hereby permanently rescinded from projects with cost savings or failed projects or partially failed that had funds returned: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

ACQUISITION OF LANDS FOR NATIONAL FORESTS SPECIAL ACTS

For acquisition of lands within the exterior boundaries of the Cache, Uinta, and Wasatch National Forests, Utah; the Toiyabe National Forest, Nevada; and the Angeles, San Bernardino, Sequoia, and Cleveland National Forests, California; and the Ozark-St. Francis and Ouachita National Forests, Arkansas; as authorized by law, \$700,000, to be derived from forest receipts.

ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES

For acquisition of lands, such sums, to be derived from funds deposited by State, county, or municipal governments, public school districts, or other public school authorities, and for authorized expenditures from funds deposited by non-Federal parties pursuant to Land Sale and Exchange Acts, pursuant to the Act of December 4, 1967 (16 U.S.C. 484a), to remain available through September 30, 2023, (16 U.S.C. 516-617a, 555a; Public Law 96-586; Public Law 76-589, 76-591; and Public Law 78-310.) (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9923-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	3	6
Receipts:			
Current law:			
1130 Deposits, Acquisitions of Lands for National Forests, Special Acts	1	1	1
1130 Land Acquisition Proceeds for Exchanges, Acquisition of Lands to Complete Land Exchanges	3	4	3
1130 Facility Realignment and Enhancement Receipts, Acquisition of Lands to Complete Land Exchanges		1	1
1199 Total current law receipts	4	6	5
1999 Total receipts	4	6	5
2000 Total: Balances and receipts	6	9	11
Appropriations:			
Current law:			
2101 Land Acquisition	-3	-3	-3
5099 Balance, end of year	3	6	8

Program and Financing (in millions of dollars)

Identification code 012-9923-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Land Acquisition (12X5004 LALW) Discretionary	65	93	40
0002 Land Facilities Enhancement (12X5216 EXSC/SL) Mandatory	1	1	1
0003 Land Acquisition - Special Acts (12Y5208) Discretionary	1	1	1
0900 Total new obligations, unexpired accounts	67	95	42
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	110	99
1001 Discretionary unobligated balance brought fwd, Oct 1	1	85	
1021 Recoveries of prior year unpaid obligations	5	2	2
1050 Unobligated balance (total)	61	112	101

Budget authority:			
Appropriations, discretionary:			
1101	Appropriation: Land Acquisition (12X5004)	72	79
1103	Appropriation (previously unavailable)(special or trust) (12Y5208)	1	1
1121	Appropriations transferred from other acct (012-1115)	41	
1131	Unobligated balance of appropriations permanently reduced		-2
1160	Appropriation, discretionary (total)	114	78
Appropriations, mandatory:			
1201	Appropriation (12X5216 EXSC EXSL)	3	3
1203	Appropriation (previously unavailable)(special or trust)		1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	
1260	Appropriations, mandatory (total)	2	4
1900	Budget authority (total)	116	82
1930	Total budgetary resources available	177	194
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	110	99
Special and non-revolving trust funds:			
1952	Expired unobligated balance, start of year	1	1
1953	Expired unobligated balance, end of year	1	1

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	29	42
3010	New obligations, unexpired accounts	67	95
3020	Outlays (gross)	-49	-54
3040	Recoveries of prior year unpaid obligations, unexpired	-5	-2
3050	Unpaid obligations, end of year	42	81
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	29	42
3200	Obligated balance, end of year	42	81

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	114	78
Outlays, gross:			
4010	Outlays from new discretionary authority	15	20
4011	Outlays from discretionary balances	29	25
4020	Outlays, gross (total)	44	45
Mandatory:			
4090	Budget authority, gross	2	4
Outlays, gross:			
4100	Outlays from new mandatory authority		1
4101	Outlays from mandatory balances	5	8
4110	Outlays, gross (total)	5	9
4180	Budget authority, net (total)	116	82
4190	Outlays, net (total)	49	54

Land Acquisition.—To focus resources on caring for current National Forest System lands, the 2021 Budget does not request funding for land acquisition projects with funds derived from the Land and Water Conservation Fund.

Acquisition of Lands for National Forests, Special Acts.—To acquire lands within critical watersheds to provide soil stabilization and restoration of vegetation. Public Laws 76-589, 76-591 and 78-310 (54 Stat. 297, 298, 299, and 402; and 58 Stat. 227-228) authorize appropriations for the purchase of lands within the following national forests: the Cache, Uinta, and Wasatch, in Utah; the Toiyabe, in Nevada; the Angeles, Cleveland, San Bernardino, and Sequoia, in California; and the Ozark and Ouachita, in Arkansas. Appropriations are made from receipts on these national forests. To focus resources on caring for current National Forest System lands, the 2021 Budget does not request funding for Special Acts with funds derived from forest receipts.

Acquisition of Lands to Complete Land Exchanges.—Deposits are made by State, county, or municipal governments, public school authorities, or non-Federal parties, and are used to acquire lands for the National Forest System or other authorized purposes. To focus resources on caring for current National Forest System lands, the 2021 Budget does not request funding for Acquisition of Lands to complete land exchanges with funds derived from these deposits.

Object Classification (in millions of dollars)

Identification code 012-9923-0-2-302	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	1	1	
25.3	Other goods and services from Federal sources	1	1	
32.0	Land and structures	59	87	36
99.0	Direct obligations	66	94	41
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	67	95	42

Employment Summary

Identification code 012-9923-0-2-302	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	49	50	50
3001	Allocation account civilian full-time equivalent employment	22	22	22

FOREST SERVICE PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9921-0-2-999	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	239	230	228
Receipts:				
Current law:				
1130	National Forests Fund	-28	9	9
1130	National Forests Fund, Payments to States	156	150	150
1130	Timber Roads, Purchaser Elections	2	2	2
1130	National Forests Fund, Roads and Trails for States		20	20
1130	Timber Salvage Sales	46	47	47
1130	Deposits, Brush Disposal	9	10	10
1130	Rents and Charges for Quarters, Forest Service	10	10	10
1130	Timber Sales Pipeline Restoration Fund	5	5	5
1130	Recreational Fee Demonstration Program, Forest Service	97	99	100
1130	Midewin National Tallgrass Prairie Rental Fees	1	1	1
1130	Charges, User Fees, and Natural Resource Utilization, Land between the Lakes, Forest Service	5	5	5
1130	Administration of Rights-of-way and Other Land Uses			60
1130	Administration of Rights-of-way and Other Land Uses	2	2	2
1130	Funds Retained, Stewardship Contracting Product Sales	26	26	27
1130	National Grasslands	52	37	37
1130	Miscellaneous Special Funds, Forest Service	7	11	11
1199	Total current law receipts	390	434	496
Proposed:				
1230	Fees, Minerals Cost Recovery			60
1999	Total receipts	390	434	556
2000	Total: Balances and receipts	629	664	784
Appropriations:				
Current law:				
2101	Stewardship Contracting Product Sales	-26	-26	-27
2101	Forest Service Permanent Appropriations	-377	-408	-410
2103	Stewardship Contracting Product Sales		-2	-2
2103	Forest Service Permanent Appropriations	-10	-12	-10
2132	Stewardship Contracting Product Sales	2	2	
2132	Forest Service Permanent Appropriations	12	10	
2199	Total current law appropriations	-399	-436	-449
Proposed:				
2201	Forest Service Permanent Appropriations			-60
2999	Total appropriations	-399	-436	-509
5099	Balance, end of year	230	228	275

Program and Financing (in millions of dollars)

Identification code 012-9921-0-2-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Brush disposal (5206)	8	9	9
0002	Restoration of Forest Lands and Improvements (5215)	40	50	60
0003	Recreation fee demonstration / enhancement programs (5268)	93	96	99
0004	Timber Roads - Purchaser Election program (5202)	3	3	3
0005	Timber Salvage Sale program (5204)	36	42	42

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued
Program and Financing—Continued

Identification code 012-9921-0-2-999	2019 actual	2020 est.	2021 est.
0006 Timber Pipeline Restoration fund (includes forest botanical products) (5264)	7	8	8
0008 Midewin Tallgrass Prairie funds (5277)	1	1	1
0009 Operation and maintenance of quarters (5219)	5	6	6
0010 Land between the lakes management fund (5360)	4	4	4
0012 Administration of rights-of-way and other land uses (5361 - URRF, URMN)	2	3	3
0013 Secure Rural Schools - National Forest Fund (5201)	131	82	87
0014 Secure Rural Schools - transfers from Treasury (1117)	100	87	76
0015 Payments to Minnesota (5213)	6	6	6
0016 Payments to Counties - National Grasslands (5896)	35	37	37
0799 Total direct obligations	471	434	441
0801 Admin rights of way - Reimbursable program (5361 - URM)	5	5	5
0900 Total new obligations, unexpired accounts	476	439	446
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	218	596	659
1021 Recoveries of prior year unpaid obligations	34		
1050 Unobligated balance (total)	252	596	659
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (12Y1117 PSSP)	100	87	76
1201 Appropriation (special or trust fund)	377	408	410
1203 Appropriation (previously unavailable)(special or trust)	10	12	10
1221 Appropriations transferred from other acct [014-5110]	1		
1221 Appropriations transferred from other acct [012-1115]	340		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-12	-10	
1260 Appropriations, mandatory (total)	816	497	496
Spending authority from offsetting collections, mandatory:			
1800 Collected	4	5	5
1900 Budget authority (total)	820	502	501
1930 Total budgetary resources available	1,072	1,098	1,160
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	596	659	714
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	179	140	90
3010 New obligations, unexpired accounts	476	439	446
3020 Outlays (gross)	-481	-489	-527
3040 Recoveries of prior year unpaid obligations, unexpired	-34		
3050 Unpaid obligations, end of year	140	90	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	179	140	90
3200 Obligated balance, end of year	140	90	9
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	820	502	501
Outlays, gross:			
4100 Outlays from new mandatory authority	149	351	346
4101 Outlays from mandatory balances	332	138	181
4110 Outlays, gross (total)	481	489	527
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-4	-5	-5
4180 Budget authority, net (total)	816	497	496
4190 Outlays, net (total)	477	484	522
Summary of Budget Authority and Outlays (in millions of dollars)			
	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	816	497	496
Outlays	477	484	522
Legislative proposal, subject to PAYGO:			
Budget Authority			60
Outlays			30
Total:			
Budget Authority	816	497	556
Outlays	477	484	552

Brush Disposal.—Funds from payments by purchasers of National Forest timber are used to dispose of or treat slash and other debris resulting from cutting operations (16 U.S.C. 490).

Restoration of Forest Lands and Improvements.—Funds from (1) forfeiture of deposits and bonds by permittees or timber purchasers for failure to complete performance of improvement, protection, or rehabilitation work required under the permit or timber sale contract; or (2) the result of a judgment, compromise, or settlement of any claim, involving present or potential damage to lands or improvements, are used for the improvement, protection, or rehabilitation of lands under the administration of the Forest Service (16 U.S.C. 579c).

Recreation Fees, Forest Service (also referred to as the Federal Lands Recreation Enhancement Fund).—Fees collected from users of recreation facilities are used to pay for on-the-ground operation, maintenance, and improvement of recreation sites and services to maintain and enhance recreation opportunities, visitor experiences, and related habitat. (16 U.S.C. 6806 et seq.). The Administration proposes a two year extension of the recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on September 30, 2020.

Timber Purchaser Election Roads Construction.—Funds from timber receipts are used to construct or reconstruct roads for purchasers of timber who qualify as small businesses and elect to have the Forest Service construct the roads designated under the timber sale contract (16 U.S.C. 472a(i)).

Timber Salvage Sales.—Funds are used for salvage of insect-infested, dead, damaged, or down timber, and to remove such trees for stand improvement (16 U.S.C. 472a(h)).

Timber Sales Pipeline Restoration Fund.—Funds are used for the preparation of timber sales and funding the backlog of recreation projects on National Forest System (NFS) lands (16 U.S.C 1611 note).

Forest Botanical Products.—Fees are based on the fair market value for the sale of forest botanical products and cover the costs of analyzing, granting, modifying, or administering permits for harvest, including the costs for environmental analyses (16 U.S.C. 528 note). The Budget proposes extending the authority for one year, to September 30, 2021.

Midewin National Tallgrass Prairie funds.—Funds collected through user and rental fees (Public Law 104-106, Div. B, (Title XXIX, sec. 2915 (b) through (f)), Feb. 10, 1996, 110 Stat. 601) can be used as follows:

Midewin National Tallgrass Prairie Rental Fees.—Available receipts from rental fees may be used to cover the cost of ecosystem restoration, prairie improvements, and directly related administrative activities at the Midewin National Tallgrass Prairie.

Midewin National Tallgrass Prairie Restoration Fund.—Receipts from grazing fees, agricultural leases for row crops, sales of surplus equipment, and proceeds from the sale of any facilities and improvements can be used to cover the cost of restoration of ecosystems; construction of a visitor center, recreational facilities, trails, an administrative office; prairie improvement; and operations and maintenance.

Operation and Maintenance of Quarters.—Rent collected from employees occupying Forest Service housing facilities for operation and maintenance of employee-occupied quarters (5 U.S.C. 5911).

Land Between the Lakes Management Fund.—Amounts received from charges, user fees and natural resource use on the Land Between the Lakes National Recreation Area (LBLNRA) are deposited into this fund and are available for the management of the LBLNRA, including salaries, and expenses (16 U.S.C. 4601ll-24) (P.L. 105-277, div. A, Sec. 101(e) [title V, Sec. 524], Oct. 21, 1998, 112 Stat. 2681-315).

Cost Recovery (Lands Minor Projects, Administrative Rights-of-Way Program), and Cost Recovery (Lands Major Projects, including the Reimbursable Program).—Fees collected from applicants and holders of special use authorizations are available to pay for processing applications and monitoring compliance with special use authorizations. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82-137; P.L. 66-146; P.L. 94-579; 113 Stat. 1501A-196197 as amended by 118 Stat. 3105; 119 Stat.

555 and P.L. 110–161; 16 U.S.C. 46016d; 117 Stat. 294–297). This fund also includes:

Commercial Filming.—Collection of fees from commercial filming and still photography permits for maintenance of the filming site. (16 U.S.C. 4601–6d) (P.L. 106–206).

Organizational Camps.—Collection of land use fees from organizational camps located on National Forest System lands. (16 U.S.C. 6231 et seq.) (P.L. 108–7).

Secure Rural Schools and Community Self-Determination Act.—The Secure Rural Schools Act (SRS) as reauthorized by Public Law 116–94 directs that SRS Title I funds be used to fund county schools and roads. SRS Title II authorizes conservation projects on Federal lands. The authority to initiate SRS Title II projects will terminate on September 30, 2022. Any SRS Title II project funds not obligated by September 30, 2023, will be returned to the U.S. Treasury. Title III funds may be used on county projects, (1) to carry out activities under the Firewise Communities program, (2) to reimburse participating counties for search and rescue and other emergency services, including firefighting and law enforcement controls, (3) to cover training costs and equipment purchases directly related to emergency services, and (4) to develop and carry out community wildfire protection plans.

Payment to Minnesota.—The State of Minnesota is paid 0.75 percent of the appraised value of certain Superior National Forest lands in the counties of Cook, Lake, and St. Louis for distribution to those counties (16 U.S.C. 577g).

Payments to Counties, National Grasslands.—This program annually provides 25 percent of net revenues from the use of lands acquired under Title III of the Bankhead-Jones Act to counties in which Title III-Bankhead-Jones Acquired Lands are located for funding public schools and roads. (7 U.S.C. 1012).

Roads and Trails (10 Percent) Fund.—10 percent of all National Forest Fund receipts received by the Forest Service are used to repair or reconstruct roads, bridges, and trails on NFS lands, or to correct road and trail deficiencies that adversely affect ecosystems. Since FY 2008, Congress has directed that funds becoming available be transferred to Treasury. The 2021 Budget proposes to retain these funds for use as intended on NFS lands, which may include NFS lands in the wildland urban interface where there is an abnormally high risk of fire.

Licensee Program.—Funds from fees for the private commercial use of characters by are collected under regulations promulgated by the Secretary. The licensee program includes Smokey Bear to further the nationwide forest fire prevention campaign (16 U.S.C. 580p(2)) and Woodsy Owl to promote wise use of the environment (16 U.S.C. 580p(1)).

Quinault Special Management Area.—The Forest Service manages the natural resources and distributes proceeds from the sale of forest products in the Quinault Special Management Area of the Olympic National Forest. Receipts are divided between the State of Washington (45 percent), the Quinault Tribe (45 percent) and the Quinault Special Management Area fund (10 percent) for use by the Olympic National Forest to administer future timber sales. (P.L. 100–638) (102 Stat. 3327).

Hardwood Technology Transfer and Applied Research Fund.—Funds collected from leasing the Wood Education and Research Center (WERC) wood shop and rough mill under a special use permit are available for the management and operation of the WERC and the payment of salaries and expenses (P.L. 106–113, div. B, 1000(a)(3) [Title III, 332], Nov. 29, 1999, 113 Stat. 1535, 1501A197).

Site-specific Lands Acts.—Proceeds from the sale of National Forest System land pursuant to special acts passed by Congress are used for specific improvements to lands and facilities within the same national forest or State. (16 U.S.C. 484a; P.L. 90–171).

Public Land Infrastructure Fund.—Interior and the Forest Service manage an infrastructure asset portfolio with of \$18 billion in deferred maintenance, which includes structures, trails, roads, utility systems, and Bureau of Indian Education (BIE) schools. To address these needs, the Budget includes \$6.5

billion over 5 years for a Public Lands Infrastructure Fund. The Fund will support infrastructure improvements through an allocation of 70 percent for national parks, 10 percent for national forests, 10 percent for wildlife refuges, 5 percent for BIE schools, and 5 percent for lands managed by the Bureau of Land Management. The Fund will be supported by the deposit of 50 percent of all federal energy development revenue that would otherwise be credited or deposited as miscellaneous receipts to the Treasury over the 2021–2025 period, subject to an annual limit of \$1.3 billion. Interior and Agriculture would prioritize projects, monitor implementation and measure results. This investment will significantly improve many of America's most visible, visited and treasured places.

Object Classification (in millions of dollars)

Identification code 012–9921–0–2–999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	46	48
11.3 Other than full-time permanent	14	14	14
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	63	64	66
12.1 Civilian personnel benefits	20	20	21
21.0 Travel and transportation of persons	3	3	2
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	55	38	40
25.3 Other goods and services from Federal sources	22	15	16
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	10	7	7
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	291	279	281
99.0 Direct obligations	471	433	440
99.0 Reimbursable obligations	5	5	5
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	476	439	446

Employment Summary

Identification code 012–9921–0–2–999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,228	1,241	1,310
2001 Reimbursable civilian full-time equivalent employment	36	36	36

FOREST SERVICE PERMANENT APPROPRIATIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 012–9921–4–2–999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 Administration of rights-of-way and other land uses (5361 - URRF, URMN)	60
0799 Total direct obligations	60
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	60
1900 Budget authority (total)	60
1930 Total budgetary resources available	60
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	60
3020 Outlays (gross)	–30
3050 Unpaid obligations, end of year	30
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	30
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	60
Outlays, gross:			
4100 Outlays from new mandatory authority	30

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued
Program and Financing—Continued

Identification code 012-9921-4-2-999	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)			60
4190 Outlays, net (total)			30

Minerals Cost Recovery.—The Forest Service currently has authority under the Independent Offices Appropriations Act (IOAA) to charge cost recovery fees for processing applications for and monitoring compliance with mining locatable mineral Plans of Operations (POOs) for mining, and Surface Use Plans of Operations (SUPOs) for oil and gas leases, and other written Forest Service authorizations relating to the Forest Service minerals program disposal of locatable and leasable minerals on NFS lands. To use this authority, the Forest Service needs to issue cost recovery regulations to standardize the fees charged and needs statutory authority to retain and spend the fees collected, without further appropriation, for processing and monitoring POOs, SUPOs, and other written Forest Service authorizations relating to the disposal of locatable and leasable minerals on NFS lands.

The Minerals Cost Recovery proposal authorizes the Forest Service to retain and spend new cost recovery fees (to be established through rulemaking) for locatable mineral plans of operations and surface use plans of operations for oil and gas leases, and other written Forest Service authorizations relating to the disposal of locatable and leasable (but not saleable) minerals on all NFS lands. The Forest Service already has the authority to collect these fees, but does not currently do so, because there is no authority to retain them, under existing law, the fees are sent to the Treasury. The proposal caps the amount that may be retained at \$60 million annually.

Lands and Realty Management.—The Forest Service is proposing two increases to waiver thresholds for the land exchange program that will reduce the administrative burden by approximately 70 percent and increase the quantity of land exchange proposal eligibility by approximately 30 percent. These thresholds have not been changed since they were set by the Federal Land Policy and Management Act (Public Law 100-409) in 1988. The Forest Service is proposing to increase the waiver threshold requirement to adjusted for inflation, and to adjust the percentage from three to five percent, to allow projects with very minor value differences to skip the requirement to provide a cash equalization payment to the sale proponent for land exchanges. The proposed threshold change would be from \$15,000 to \$45,000.

Appraised Value.—Modification of the threshold requirement for appraisals from \$150,000 to \$500,000, to reflect present day value thresholds, will enable up to 30 percent more land exchanges to be completed without a full and formal appraisal.

Object Classification (in millions of dollars)

Identification code 012-9921-4-2-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			20
25.3 Other goods and services from Federal sources			40
99.0 Direct obligations			60
99.9 Total new obligations, unexpired accounts			60

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 012-4605-0-4-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working capital fund	269	280	280
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	201	224	224

1021 Recoveries of prior year unpaid obligations	13		
1050 Unobligated balance (total)	214	224	224
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	279	280	280
1930 Total budgetary resources available	493	504	504
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	224	224	224

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	103	98	60
3010 New obligations, unexpired accounts	269	280	280
3020 Outlays (gross)	-261	-318	-315
3040 Recoveries of prior year unpaid obligations, unexpired	-13		
3050 Unpaid obligations, end of year	98	60	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	103	98	60
3200 Obligated balance, end of year	98	60	25

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	279	280	280
Outlays, gross:			
4010 Outlays from new discretionary authority	122	238	238
4011 Outlays from discretionary balances	139	80	77
4020 Outlays, gross (total)	261	318	315
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-84	-84	-84
4033 Non-Federal sources	-195	-196	-196
4040 Offsets against gross budget authority and outlays (total)	-279	-280	-280
4080 Outlays, net (discretionary)	-18	38	35
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-18	38	35

The Working Capital Fund is a self-sustaining revolving fund that provides services to national forests, research experiment stations, other Federal agencies when necessary, State and private agencies as provided by law, and to persons who cooperate with the Forest Service in fire control and other authorized programs. Forestry-related supply and support services include:

Equipment Services.—The Fund owns, operates, maintains, replaces, and repairs common-use, motor-driven, and similar equipment. This equipment is rented to administrative units including national forests, research experiment stations, other Forest Service units, and to other federal and non-federal agencies. Rental rates include an incremental charge which, when added to depreciation and residual value, provides sufficient funds to finance equipment replacement costs.

Aircraft Services.—The Fund operates, maintains, and repairs Forest Service-owned aircraft used in fire surveillance and suppression, and in other Forest Service programs. Aircraft replacement costs are financed from either appropriated funds or the Forest Service Working Capital Fund, or a combination of both.

Supply Services.—The Fund operates common services and provides for cost-recovery of Working Capital Fund Program Management. Common services include photo reproduction laboratories that store, reproduce, and supply aerial photographs, aerial maps, and other photographs of national forest lands. Photographic reproductions are sold to national forests, research experiment stations, and others at cost. Common services also include sign shops to manufacture special signs for the national forests for use in regulating traffic and posting information to the public and other users of the national forests. These signs are sold to national forests and research experiment stations at cost.

Nurseries.—The Fund operates seed supply services that provide tree seeds for direct seeding or sowing in nurseries for the production of trees. Activities include purchase or collection of cones, extraction of seeds, cleaning and testing, and storage and delivery. The fund operates in conjunction with forest tree nurseries and cold storage facilities for storage of tree seedlings. Tree seedlings are sold to national forests, State foresters, and other cooperators at cost.

Object Classification (in millions of dollars)

Identification code 012-4605-0-4-302	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	30	32
11.3 Other than full-time permanent	2	1	2
11.5 Other personnel compensation	2	1	2
11.9 Total personnel compensation	45	32	36
12.1 Civilian personnel benefits	17	12	13
21.0 Travel and transportation of persons	4	4	4
22.0 Transportation of things	10	10	10
23.1 Rental payments to GSA	34	36	35
23.2 Rental payments to others	49	52	51
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	15	20	17
25.3 Other goods and services from Federal sources	3	4	4
25.7 Operation and maintenance of equipment	35	40	40
26.0 Supplies and materials	41	48	48
31.0 Equipment	15	21	21
99.9 Total new obligations, unexpired accounts	269	280	280

Employment Summary

Identification code 012-4605-0-4-302	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	646	646	646

Trust Funds

FOREST SERVICE TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 012-9974-0-7-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	5	6	6
0198 Rounding adjustment	1		
0199 Balance, start of year	6	6	6
Receipts:			
Current law:			
1110 Transfers from General Fund of Amounts Equal to Certain Customs Duties, Reforestation Trust Fund	30	30	30
1130 Forest Service Cooperative Fund	323	113	115
1199 Total current law receipts	353	143	145
1999 Total receipts	353	143	145
2000 Total: Balances and receipts	359	149	151
Appropriations:			
Current law:			
2101 Forest Service Trust Funds	-353	-143	-145
2103 Forest Service Trust Funds	-5	-5	-5
2132 Forest Service Trust Funds	5	5	
2199 Total current law appropriations	-353	-143	-150
2999 Total appropriations	-353	-143	-150
5099 Balance, end of year	6	6	1

Program and Financing (in millions of dollars)

Identification code 012-9974-0-7-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Cooperative work trust fund (8028 - CWKV/K2)	52	75	75
0002 Cooperative work advance payments (8028 - CWF2)	8	10	10
0003 Reforestation trust fund (8046 - RTRT)	32	32	32
0799 Total direct obligations	92	117	117
0801 Reimbursable program-coop work other (8028 - CWFS)	29	29	29
0900 Total new obligations, unexpired accounts	121	146	146
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	82	338	360
1021 Recoveries of prior year unpaid obligations	4	4	4
1050 Unobligated balance (total)	86	342	364

Budget authority:

Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	353	143	145
1203 Appropriation (previously unavailable)(special or trust) ...	5	5	5
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-5	-5	
1260 Appropriations, mandatory (total)	353	143	150
Spending authority from offsetting collections, mandatory:			
1800 Collected (CWFS)	20	21	21
1900 Budget authority (total)	373	164	171
1930 Total budgetary resources available	459	506	535
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	338	360	389

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	71	60	26
3010 New obligations, unexpired accounts	121	146	146
3020 Outlays (gross)	-128	-176	-158
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-4	-4
3050 Unpaid obligations, end of year	60	26	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	71	60	26
3200 Obligated balance, end of year	60	26	10

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	373	164	171
Outlays, gross:			
4100 Outlays from new mandatory authority	45	88	91
4101 Outlays from mandatory balances	83	88	67
4110 Outlays, gross (total)	128	176	158
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-20	-21	-21
4180 Budget authority, net (total)	353	143	150
4190 Outlays, net (total)	108	155	137

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	6	6	6
5001 Total investments, EOY: Federal securities: Par value	6	6	6

Cooperative Work Trust Fund-Knutson Vandenberg.—This fund receives deposits from purchasers of timber to accomplish improvement work within the timber sale area. Specified work includes reforestation of harvested areas, stand improvement, and protection of National Forest System-lands. Funds are also used for protection, reforestation, and administration of private lands adjacent to National Forests (7 U.S.C. 2269; 16 U.S.C. 498, 535, 572, 572a, 576b, 1643; and 31 U.S.C. 1321).

Cooperative Work Trust Fund-Advanced Payments (Non-Agreement Based).—This fund receives deposits from partners and cooperators for protecting and improving resources of the National Forest System as authorized by permits or sale contracts. Within this fund, deposits from multiple contributors can be pooled to support a wide variety of activities that benefit programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 572 and 31 U.S.C. 1321.

Cooperative Work Trust Fund-Reimbursable Program (Agreement Based).—This fund receives deposits from partners and cooperators to protect and improve resources of the National Forest System as authorized by cooperative agreements. Deposited funds support a wide variety of activities that benefit and support programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 498, 16 U.S.C. 532-537, and 31 U.S.C. 1321.

Reforestation Trust Fund.—This fund receives transfers from the General Fund of the Treasury to fund reforestation and timber stand improvement (16 U.S.C. 1606a(d)).

Land Between the Lakes Trust Fund.—Interest earned from funds transferred by the Tennessee Valley Authority is available for public education, grants, recreation internships, conservation and multiple-use management of the Land Between the Lakes. Annual trust fund earnings and program expenditures are less than \$1 million (16 U.S.C. 460III-31).

FOREST SERVICE TRUST FUNDS—Continued
Object Classification (in millions of dollars)

Identification code 012-9974-0-7-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	23	25	25
11.3 Other than full-time permanent	3	3	4
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	27	29	30
12.1 Civilian personnel benefits	9	10	11
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.2 Other services from non-Federal sources	27	39	37
25.3 Other goods and services from Federal sources	7	9	9
26.0 Supplies and materials	14	19	19
31.0 Equipment	1	1	1
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	3	5	5
99.0 Direct obligations	92	117	117
99.0 Reimbursable obligations	28	28	28
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	121	146	146

Employment Summary

Identification code 012-9974-0-7-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	426	434	454
2001 Reimbursable civilian full-time equivalent employment	140	140	140

ADMINISTRATIVE PROVISIONS-FOREST SERVICE
(INCLUDING TRANSFERS OF FUNDS)

Appropriations to the Forest Service for the current fiscal year shall be available for: (1) purchase of passenger motor vehicles; acquisition of passenger motor vehicles from excess sources, and hire of such vehicles; purchase, lease, operation, maintenance, and acquisition of aircraft to maintain the operable fleet for use in Forest Service wildland fire programs and other Forest Service programs; notwithstanding other provisions of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft; (2) services pursuant to 7 U.S.C. 2225, and not to exceed \$100,000 for employment under 5 U.S.C. 3109; (3) purchase, erection, and alteration of buildings and other public improvements (7 U.S.C. 2250); (4) acquisition of land, waters, and interests therein pursuant to 7 U.S.C. 428a; (5) for expenses pursuant to the Volunteers in the National Forest Act of 1972 (16 U.S.C. 558a, 558d, and 558a note); (6) the cost of uniforms as authorized by 5 U.S.C. 5901-5902; and (7) for debt collection contracts in accordance with 31 U.S.C. 3718(c).

Any appropriations or funds available to the Forest Service may be transferred to the Wildland Fire Management appropriation for forest firefighting, emergency rehabilitation of burned-over or damaged lands or waters under its jurisdiction, and fire preparedness due to severe burning conditions upon the Secretary's notification of the House and Senate Committees on Appropriations that all fire suppression funds appropriated under the heading "Wildland Fire Management" will be obligated within 30 days: *Provided*, That all funds used pursuant to this paragraph must be replenished by a supplemental appropriation which must be requested as promptly as possible.

Not more than \$50,000,000 of funds appropriated to the Forest Service shall be available for expenditure or transfer to the Department of the Interior for wildland fire management, hazardous fuels management, and State fire assistance when such transfers would facilitate and expedite wildland fire management programs and projects.

Notwithstanding any other provision of this Act, the Forest Service may transfer unobligated balances of discretionary funds appropriated to the Forest Service by this Act to or within the National Forest System Account, or reprogram funds to be used for the purposes of hazardous fuels management and urgent rehabilitation of burned-over National Forest System lands and water: *Provided*, That such transferred funds shall remain available through September 30, [2023] 2024: *Provided further*, That none of the funds transferred pursuant to this section shall be available for obligation without written notification to [and the prior approval of] the Committees on Appropriations of both Houses of Congress: *Provided further*, That this section does not apply to funds derived from the Land and Water Conservation Fund.

Funds appropriated to the Forest Service shall be available for assistance to or through the Agency for International Development in connection with forest and rangeland research, technical information, and assistance in foreign countries, and shall be available to support forestry and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with U.S., private, and international organizations. The Forest Service, acting for the International Program, may sign direct funding agreements with foreign governments and institutions as well as other domestic agencies (including the U.S. Agency for International Development, the Department of State, and the Millennium Challenge Corporation), U.S. private sector firms, institutions and organizations to provide technical assistance and training programs overseas on forestry and rangeland management.

Funds appropriated to the Forest Service shall be available for expenditure or transfer to the Department of the Interior, Bureau of Land Management, for removal, preparation, and adoption of excess wild horses and burros from National Forest System lands, and for the performance of cadastral surveys to designate the boundaries of such lands.

None of the funds made available to the Forest Service in this Act or any other Act with respect to any fiscal year shall be subject to transfer under the provisions of section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257), section 442 of Public Law 106-224 (7 U.S.C. 7772), or section 10417(b) of Public Law 107-171 (7 U.S.C. 8316(b)).

Not more than \$82,000,000 of funds available to the Forest Service shall be transferred to the Working Capital Fund of the Department of Agriculture and not more than \$14,500,000 of funds available to the Forest Service shall be transferred to the Department of Agriculture for Department Reimbursable Programs, commonly referred to as Greenbook charges. Nothing in this paragraph shall prohibit or limit the use of reimbursable agreements requested by the Forest Service in order to obtain information technology services, including telecommunications and system modifications or enhancements, from the Working Capital Fund of the Department of Agriculture.

Of the funds available to the Forest Service, up to \$5,000,000 shall be available for priority projects within the scope of the approved budget, which shall be carried out by the Youth Conservation Corps and shall be carried out under the authority of the Public Lands Corps Act of 1993 (16 U.S.C. 1721 et seq.).

Of the funds available to the Forest Service, \$4,000 is available to the Chief of the Forest Service for official reception and representation expenses.

Pursuant to sections 405(b) and 410(b) of Public Law 101-593, of the funds available to the Forest Service, up to \$3,000,000 may be advanced in a lump sum to the National Forest Foundation to aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for projects on or benefitting National Forest System lands or related to Forest Service programs: *Provided*, That of the Federal funds made available to the Foundation, no more than \$300,000 shall be available for administrative expenses: *Provided further*, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match funds made available by the Forest Service on at least a one-for-one basis: *Provided further*, That the Foundation may transfer Federal funds to a Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Pursuant to section 2(b)(2) of Public Law 98-244, up to \$3,000,000 of the funds available to the Forest Service may be advanced to the National Fish and Wildlife Foundation in a lump sum to aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs: *Provided*, That such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients: *Provided further*, That the Foundation may transfer Federal funds to a Federal or non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Funds appropriated to the Forest Service shall be available for interactions with and providing technical assistance to rural communities and natural resource-based businesses for sustainable rural development purposes.

Funds appropriated to the Forest Service shall be available for payments to counties within the Columbia River Gorge National Scenic Area, pursuant to section 14(c)(1) and (2), and section 16(a)(2) of Public Law 99-663.

Any funds appropriated to the Forest Service may be used to meet the non-Federal share requirement in section 502(c) of the Older Americans Act of 1965 (42 U.S.C. 3056(c)(2)).

The Forest Service shall not assess funds for the purpose of performing fire, administrative, and other facilities maintenance and decommissioning.

Notwithstanding any other provision of law, of any appropriations or funds available to the Forest Service, not to exceed \$500,000 may be used to reimburse the Office of the General Counsel (OGC), Department of Agriculture, for travel and

related expenses incurred as a result of OGC assistance or participation requested by the Forest Service at meetings, training sessions, management reviews, land purchase negotiations and similar matters unrelated to civil litigation. Future budget justifications for both the Forest Service and the Department of Agriculture should clearly display the sums previously transferred and the sums requested for transfer.

An eligible individual who is employed in any project funded under title V of the Older Americans Act of 1965 (42 U.S.C. 3056 et seq.) and administered by the Forest Service shall be considered to be a Federal employee for purposes of chapter 171 of title 28, United States Code.

Notwithstanding any other provision of this Act, through the Office of Budget and Program Analysis, the Forest Service shall report no later than 30 business days following the close of each fiscal quarter all current and prior year unobligated balances, by fiscal year, budget line item and account, to the House and Senate Committees on Appropriations. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Intragovernmental payments:			
012-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	155	
General Fund Intragovernmental payments		155	

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Governmental receipts:			
012-031200	Deposit of 30 Percent of Customs Duties: Legislative proposal, subject to PAYGO		23,579
012-249700	Full Cost Recovery Fees Pursuant to OMB Circular A-25	10	
General Fund Governmental receipts		10	23,579
Offsetting receipts from the public:			
012-181100	National Grasslands	112	63
012-222100	National Forest Fund	20	75
012-249300	Marketing Orders and Agreements Fees		10
012-249500	Packers and Stockyards Fees		12
012-249600	Animal and Plant Health Inspection Fees		11
012-249800	Domestic Hemp Production Program License Fee		9
012-249900	Federal Grain Regulatory Activities		10
012-267530	Biorefinery Assistance, Downward Reestimates of Subsidies	18	11
012-268030	Rural Microenterprise Investment, Downward Reestimate of Subsidy	3	
012-270110	Agriculture Credit Insurance, Negative Subsidies	27	27
012-270130	Agriculture Credit Insurance, Downward Reestimates of Subsidies		203
012-270210	Rural Electrification and Telephone Loans, Negative Subsidies	185	185
012-270230	Rural Electrification and Telephone Loans, Downward Reestimates of Subsidies	701	1,091
012-270310	Rural Water and Waste Disposal, Negative Subsidies	2	2
012-270330	Rural Water and Waste Disposal, Downward Reestimates of Subsidies	11	106
012-270510	Rural Community Facility, Negative Subsidies	88	88
012-270530	Rural Community Facility, Downward Reestimates of Subsidies	43	13
012-270610	Rural Housing Insurance, Negative Subsidies	110	110
012-270630	Rural Housing Insurance, Downward Reestimates of Subsidies	472	112
012-270730	Rural Business and Industry, Downward Reestimates of Subsidies	84	50
012-270830	P.L. 480 Loan Program, Downward Reestimates of Subsidies	22	2
012-271030	Rural Development Loans, Downward Reestimates of Subsidies	5	3
012-271130	Rural Telephone Bank Loans, Downward Reestimates of Subsidies	1	2
012-271330	Economic Development Loans, Downward Reestimates of Subsidies	2	1
012-274630	Downward Reestimates, Distance Learning, Telemedicine, and Broadband Program	13	45
012-275610	Negative Subsidies, Farm Storage Facility Loans	3	4
012-275630	Farm Storage Facility Loans, Downward Reestimate of Subsidies	1	
012-275730	Commodity Credit Corporation Export Guarantee Financing, Downward Reestimate of Subsidies	16	12
012-277930	Multifamily Housing Revitalization Fund, Downward Reestimates of Subsidies	9	12
012-278630	Rural Energy for America Program, Downward Reestimates of Subsidies	11	22
012-279310	Commodity Credit Corporation Export Guarantee Financing, Negative Subsidies	6	6
012-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	4	5
General Fund Offsetting receipts from the public		1,969	2,250
			617

TITLE VII—GENERAL PROVISIONS

(INCLUDING CANCELLATIONS AND TRANSFERS OF FUNDS)

SEC. 701. The Secretary may use any appropriations made available to the Department of Agriculture in this Act to purchase new passenger motor vehicles, in addition to specific appropriations for this purpose, so long as the total number of vehicles purchased in fiscal year [2020]2021 does not exceed the number of vehicles owned or leased in fiscal year 2018: *Provided*, That, prior to purchasing additional motor vehicles, the Secretary must determine that such vehicles are necessary for transportation safety, to reduce operational costs, and for the protection of life, property, and public safety: *Provided further*, That the Secretary may not increase the Department of Agriculture's fleet [above the 2018 level unless] *prior to providing written notification to [the Secretary notifies in writing, and receives approval from,] the Committees on Appropriations of both Houses of Congress [within 30 days of the notification].*

SEC. 702. Notwithstanding any other provision of this Act, the Secretary of Agriculture may transfer unobligated balances of discretionary funds appropriated by this Act or any other available unobligated discretionary balances that are remaining available of the Department of Agriculture to the Working Capital Fund for the acquisition of plant and capital equipment, *and for the improvement and implementation of Department financial management, information technology, and other support systems* necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture, such transferred funds to remain available until expended: *Provided*, That none of the funds made available by this Act or any other Act shall be transferred to the Working Capital Fund without the prior approval of the agency administrator: *Provided further*, That none of the funds transferred to the Working Capital Fund pursuant to this section shall be available for obligation without [written] *prior notification to [and the prior approval of] the Committees on Appropriations of both Houses of Congress: Provided further*, That none of the funds appropriated by this Act or made available to the Department's Working Capital Fund shall be available for obligation or expenditure to make any changes to the Department's National Finance Center without [written] *prior notification to [and prior approval of] the Committees on Appropriations of both Houses of Congress as required by section 716 of this Act: Provided further*, That none of the funds appropriated by this Act or made available to the Department's Working Capital Fund shall be available for obligation or expenditure to initiate, plan, develop, implement, or make any changes to remove or relocate any systems, missions, or functions of the offices of the Chief Financial Officer or any personnel from the National Finance Center prior to written notification to and prior approval of the Committee on Appropriations of both Houses of Congress and in accordance with the requirements of section 716 of this Act: *Provided further*, That the Secretary of Agriculture and the offices of the Chief Financial Officer shall actively market to existing and new Departments and other government agencies National Finance Center shared services including, but not limited to, payroll, financial management, and human capital shared services and allow the National Finance Center to perform technology upgrades: *Provided further*, That of annual income amounts in the Working Capital Fund of the Department of Agriculture attributable to the amounts in excess of the true costs of the shared services provided by the National Finance Center and budgeted for the National Finance Center, the Secretary shall reserve not more than 4 percent for the replacement or acquisition of capital equipment, including equipment for the improvement, delivery, and implementation of financial, administrative, and information technology services, and other systems of the National Finance Center or to pay any unforeseen, extraordinary cost of the National Finance Center: *Provided further*, *That an amount not to exceed 4 percent of the total annual income to the Working Capital Fund for fiscal year 2021 may be retained in the Fund for fiscal year 2021, to remain available until expended, to be used for the acquisition of plant and capital equipment, and for the improvement and implementation of Department financial management, information technology, and other support systems or to pay any unforeseen, extraordinary cost of the National Finance Center: Provided further*, That none of the amounts reserved shall be available for obligation unless the Secretary submits [written] notification of the obligation to the Committees on Appropriations of both Houses of Congress: *Provided further*, That the limitations on the obligation of funds pending notification to Congressional Committees shall not apply to any obligation that, as determined by the Secretary, is necessary to respond to a declared state of emergency that significantly impacts the operations of the National Finance

Center; or to evacuate employees of the National Finance Center to a safe haven to continue operations of the National Finance Center: *Provided further, That funds available for investment from among the equity accounts of the Department's Working Capital Fund may be allocated among the activities of the Working Capital Fund supports for any purpose relating to information technology modernization.*

SEC. 703. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 704. No funds appropriated by this Act may be used to pay negotiated indirect cost rates on cooperative agreements or similar arrangements between the United States Department of Agriculture and nonprofit institutions in excess of 10 percent of the total direct cost of the agreement when the purpose of such cooperative arrangements is to carry out programs of mutual interest between the two parties. This does not preclude appropriate payment of indirect costs on grants and contracts with such institutions when such indirect costs are computed on a similar basis for all agencies for which appropriations are provided in this Act.

SEC. 705. Appropriations to the Department of Agriculture for the cost of direct and guaranteed loans made available in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year for [the following accounts: the Rural Development Loan Fund program account,] the Rural Electrification and Telecommunication Loans program account [.] and the Rural Housing Insurance Fund program account.

SEC. 706. None of the funds made available to the Department of Agriculture by this Act may be used to acquire new information technology systems or significant upgrades, as determined by the Office of the Chief Information Officer, without the approval of the Chief Information Officer and the concurrence of the Executive Information Technology Investment Review Board: *Provided, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this Act may be transferred to the Office of the Chief Information Officer without written notification to and the prior approval of the Committees on Appropriations of both Houses of Congress: Provided further, That, notwithstanding section 11319 of title 40, United States Code, none of the funds available to the Department of Agriculture for information technology shall be obligated for projects, contracts, or other agreements over \$25,000 prior to receipt of written approval by the Chief Information Officer: Provided further, That the Chief Information Officer may authorize an agency to obligate funds without written approval from the Chief Information Officer for projects, contracts, or other agreements up to \$250,000 based upon the performance of an agency measured against the performance plan requirements [described in the explanatory statement accompanying Public Law 113–235].*

SEC. 707. Funds made available under section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)) in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year.

SEC. 708. Notwithstanding any other provision of law, any former RUS borrower that has repaid or prepaid an insured, direct or guaranteed loan under the Rural Electrification Act of 1936, or any not-for-profit utility that is eligible to receive an insured or direct loan under such Act, shall be eligible for assistance under section 313B(a) of such Act in the same manner as a borrower under such Act.]

SEC. 709. (a) Except as otherwise specifically provided by law, not more than \$20,000,000 in unobligated balances from appropriations made available for salaries and expenses in this Act for the Farm Service Agency shall remain available through September 30, 2021, for information technology expenses.

(b) Except as otherwise specifically provided by law, not more than \$20,000,000 in unobligated balances from appropriations made available for salaries and expenses in this Act for the Rural Development mission area shall remain available through September 30, 2021, for information technology expenses.]

SEC. 708. *Notwithstanding any other provision of law, unobligated balances from appropriations made available for salaries and expenses in this Act for the Farm Service Agency, the Farm Production and Conservation Business Center, and the Rural Development mission area shall remain available through September 30, 2022, for information technology expenses.*

SEC. [710] 709. None of the funds appropriated or otherwise made available by this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. [711] 710. In the case of each program established or amended by the Agricultural Act of 2014 (Public Law 113–79) or by a successor to that Act, other than by title I or subtitle A of title III of such Act, or programs for which indefinite amounts were provided in that Act, that is authorized or required to be carried out using funds of the Commodity Credit Corporation—

(1) such funds shall be available for salaries and related administrative expenses, including technical assistance, associated with the implementation of the program, without regard to the limitation on the total amount of allotments

and fund transfers contained in section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i); and

(2) the use of such funds for such purpose shall not be considered to be a fund transfer or allotment for purposes of applying the limitation on the total amount of allotments and fund transfers contained in such section.

SEC. [712] 711. Of the funds made available by this Act, not more than \$2,900,000 shall be used to cover necessary expenses of activities related to all [advisory] *Federal Advisory Committee Act* committees [., panels, commissions, and task forces] of the Department of Agriculture [., except for panels used to comply with negotiated rule makings and panels used to evaluate competitively awarded grants].

SEC. 713. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.]

SEC. 714. Notwithstanding subsection (b) of section 14222 of Public Law 110–246 (7 U.S.C. 612c–6; in this section referred to as "section 14222"), none of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out a program under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c; in this section referred to as "section 32") in excess of \$1,331,725,000 (exclusive of carryover appropriations from prior fiscal years), as follows: Child Nutrition Programs Entitlement Commodities—\$485,000,000; State Option Contracts—\$5,000,000; Removal of Defective Commodities—\$2,500,000; Administration of Section 32 Commodity Purchases—\$35,853,000: *Provided, That of the total funds made available in the matter preceding this proviso that remain unobligated on October 1, 2020, such unobligated balances shall carry-over into fiscal year 2021 and shall remain available until expended for any of the purposes of section 32, except that any such carryover funds used in accordance with clause (3) of section 32 may not exceed \$350,000,000 and may not be obligated until the Secretary of Agriculture provides written notification of the expenditures to the Committees on Appropriations of both Houses of Congress at least two weeks in advance: Provided further, That, with the exception of any available carryover funds authorized in any prior appropriations Act to be used for the purposes of clause (3) of section 32, none of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries or expenses of any employee of the Department of Agriculture to carry out clause (3) of section 32.]*

SEC. 715. None of the funds appropriated by this or any other Act shall be used to pay the salaries and expenses of personnel who prepare or submit appropriations language as part of the President's budget submission to the Congress for programs under the jurisdiction of the Appropriations Subcommittees on Agriculture, Rural Development, Food and Drug Administration, and Related Agencies that assumes revenues or reflects a reduction from the previous year due to user fees proposals that have not been enacted into law prior to the submission of the budget unless such budget submission identifies which additional spending reductions should occur in the event the user fees proposals are not enacted prior to the date of the convening of a committee of conference for the fiscal year 2021 appropriations Act.]

SEC. 716. (a) None of the funds provided by this Act, or provided by previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming, transfer of funds, or reimbursements as authorized by the Economy Act, or in the case of the Department of Agriculture, through use of the authority provided by section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257) or section 8 of Public Law 89–106 (7 U.S.C. 2263), that—

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) reorganizes offices, programs, or activities; or
- (6) contracts out or privatizes any functions or activities presently performed by Federal employees;

unless the Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services (as the case may be) notifies in writing and receives approval from the Committees on Appropriations of both Houses of Congress at least 30 days in advance of the reprogramming of such funds or the use of such authority.

(b) None of the funds provided by this Act, or provided by previous Appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the

Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming or use of the authorities referred to in subsection (a) involving funds in excess of \$500,000 or 10 percent, whichever is less, that—

(1) augments existing programs, projects, or activities;

(2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or

(3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress;

unless the Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services (as the case may be) notifies in writing and receives approval from the Committees on Appropriations of both Houses of Congress at least 30 days in advance of the reprogramming or transfer of such funds or the use of such authority.

(c) The Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services shall notify in writing and receive approval from the Committees on Appropriations of both Houses of Congress before implementing any program or activity not carried out during the previous fiscal year unless the program or activity is funded by this Act or specifically funded by any other Act.

(d) None of the funds provided by this Act, or provided by previous Appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in the current fiscal year, or provided from any accounts in the Treasury derived by the collection of fees available to the agencies funded by this Act, shall be available for—

(1) modifying major capital investments funding levels, including information technology systems, that involves increasing or decreasing funds in the current fiscal year for the individual investment in excess of \$500,000 or 10 percent of the total cost, whichever is less;

(2) realigning or reorganizing new, current, or vacant positions or agency activities or functions to establish a center, office, branch, or similar entity with five or more personnel; or

(3) carrying out activities or functions that were not described in the budget request;

unless the agencies funded by this Act notify, in writing, the Committees on Appropriations of both Houses of Congress at least 30 days in advance of using the funds for these purposes.

(e) As described in this section, no funds may be used for any activities unless the Secretary of Agriculture, the Chairman of the Commodity Futures Trading Commission, or the Secretary of Health and Human Services receives from the Committee on Appropriations of both Houses of Congress written or electronic mail confirmation of receipt of the notification as required in this section.】

SEC. 【717】712. Notwithstanding section 310B(g)(5) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932(g)(5)), the Secretary may assess a one-time fee for any guaranteed business and industry loan in an amount that does not exceed 【3】5.04 percent of the guaranteed principal portion of the loan in accordance with section 502(7) of the Congressional Budget Act of 1974.

【SEC. 718. None of the funds appropriated or otherwise made available to the Department of Agriculture, the Food and Drug Administration, the Commodity Futures Trading Commission, or the Farm Credit Administration shall be used to transmit or otherwise make available reports, questions, or responses to questions that are a result of information requested for the appropriations hearing process to any non-Department of Agriculture, non-Department of Health and Human Services, non-Commodity Futures Trading Commission, or non-Farm Credit Administration employee.】

【SEC. 719. Unless otherwise authorized by existing law, none of the funds provided in this Act, may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.】

【SEC. 720. No employee of the Department of Agriculture may be detailed or assigned from an agency or office funded by this Act or any other Act to any other agency or office of the Department for more than 60 days in a fiscal year unless the individual's employing agency or office is fully reimbursed by the receiving agency or office for the salary and expenses of the employee for the period of assignment.】

【SEC. 721. For the purposes of determining eligibility or level of program assistance for Rural Development programs the Secretary shall not include incarcerated prison populations.】

【SEC. 722. Not later than 30 days after the date of enactment of this Act, the Secretary of Agriculture, the Commissioner of the Food and Drug Administration, the

Chairman of the Commodity Futures Trading Commission, and the Chairman of the Farm Credit Administration shall submit to the Committees on Appropriations of both Houses of Congress a detailed spending plan by program, project, and activity for all the funds made available under this Act including appropriated user fees, as defined in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).】

SEC. 【723】713. Of the unobligated balances from amounts made available for the supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), 【\$1,000,000,000】\$1,200,000,000 are hereby 【rescinded】 permanently cancelled.

【SEC. 724. The Secretary shall continue an intermediary loan packaging program based on the pilot program in effect for fiscal year 2013 for packaging and reviewing section 502 single family direct loans. The Secretary shall continue agreements with current intermediary organizations and with additional qualified intermediary organizations. The Secretary shall work with these organizations to increase effectiveness of the section 502 single family direct loan program in rural communities and shall set aside and make available from the national reserve section 502 loans an amount necessary to support the work of such intermediaries and provide a priority for review of such loans.】

SEC. 【725】714. For loans and loan guarantees that do not require budget authority and the program level has been established in this Act, the Secretary of Agriculture may increase the program level for such loans and loan guarantees by not more than 25 percent: *Provided*, That prior to the Secretary implementing such an increase, the Secretary notifies, in writing, the Committees on Appropriations of both Houses of Congress at least 15 days in advance.

SEC. 【726】715. None of the credit card refunds or rebates transferred to the Working Capital Fund pursuant to section 729 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002 (7 U.S.C. 2235a; Public Law 107-76) shall be available for obligation without 【written】prior notification to【, and the prior approval of,】 the Committees on Appropriations of both Houses of Congress: *Provided*, That the refunds or rebates so transferred shall be available for obligation only for the acquisition of plant and capital equipment, *and for the improvement and implementation of Department financial management, information technology, and other support systems* necessary for the delivery of financial, administrative, and information technology services, including cloud adoption and migration, of primary benefit to the agencies of the Department of Agriculture.

【SEC. 727. None of the funds made available by this Act may be used to implement, administer, or enforce the "variety" requirements of the final rule entitled "Enhancing Retailer Standards in the Supplemental Nutrition Assistance Program (SNAP)" published by the Department of Agriculture in the Federal Register on December 15, 2016 (81 Fed. Reg. 90675) until the Secretary of Agriculture amends the definition of the term "variety" as defined in section 278.1(b)(1)(ii)(C) of title 7, Code of Federal Regulations, and "variety" as applied in the definition of the term "staple food" as defined in section 271.2 of title 7, Code of Federal Regulations, to increase the number of items that qualify as acceptable varieties in each staple food category so that the total number of such items in each staple food category exceeds the number of such items in each staple food category included in the final rule as published on December 15, 2016: *Provided*, That until the Secretary promulgates such regulatory amendments, the Secretary shall apply the requirements regarding acceptable varieties and breadth of stock to Supplemental Nutrition Assistance Program retailers that were in effect on the day before the date of the enactment of the Agricultural Act of 2014 (Public Law 113-79).】

【SEC. 728. In carrying out subsection (h) of section 502 of the Housing Act of 1949 (42 U.S.C. 1472), the Secretary of Agriculture shall have the same authority with respect to loans guaranteed under such section and eligible lenders for such loans as the Secretary has under subsections (h) and (j) of section 538 of such Act (42 U.S.C. 1490p-2) with respect to loans guaranteed under such section 538 and eligible lenders for such loans.】

【SEC. 729. None of the funds made available by this Act may be used to propose, promulgate, or implement any rule, or take any other action with respect to, allowing or requiring information intended for a prescribing health care professional, in the case of a drug or biological product subject to section 503(b)(1) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 353(b)(1)), to be distributed to such professional electronically (in lieu of in paper form) unless and until a Federal law is enacted to allow or require such distribution.】

【SEC. 730. None of the funds made available by this or any other Act may be used to carry out the final rule promulgated by the Food and Drug Administration and put into effect November 16, 2015, in regards to the hazard analysis and risk-based preventive control requirements of the current good manufacturing practice, hazard analysis, and risk-based preventive controls for food for animals rule with respect

to the regulation of the production, distribution, sale, or receipt of dried spent grain byproducts of the alcoholic beverage production process.】

【SEC. 731. Funds made available under title II of the Food for Peace Act (7 U.S.C. 1721 et seq.) may only be used to provide assistance to recipient nations if adequate monitoring and controls, as determined by the Administrator, are in place to ensure that emergency food aid is received by the intended beneficiaries in areas affected by food shortages and not diverted for unauthorized or inappropriate purposes.】

【SEC. 732. There is hereby appropriated \$12,000,000, to remain available until expended, to carry out section 6407 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8107a): *Provided*, That the Secretary may allow eligible entities, or comparable entities that provide energy efficiency services using their own billing mechanism to offer loans to customers in any part of their service territory and to offer loans to replace a manufactured housing unit with another manufactured housing unit, if replacement would be more cost effective in saving energy.】

【SEC. 733. (a) The Secretary of Agriculture shall—

(1) conduct audits in a manner that evaluates the following factors in the country or region being audited, as applicable—

- (A) veterinary control and oversight;
- (B) disease history and vaccination practices;
- (C) livestock demographics and traceability;
- (D) epidemiological separation from potential sources of infection;
- (E) surveillance practices;
- (F) diagnostic laboratory capabilities; and
- (G) emergency preparedness and response; and

(2) promptly make publicly available the final reports of any audits or reviews conducted pursuant to subsection (1).

(b) This section shall be applied in a manner consistent with United States obligations under its international trade agreements.】

SEC. 【734】716. No food that bears or contains partially hydrogenated oils (as defined in the order published by the Food and Drug Administration in the Federal Register on June 17, 2015 (80 Fed. Reg. 34650 et seq.)) shall be considered to be adulterated within the meaning of subsection (a)(1) or (a)(2)(C)(i) of section 402 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 342(a)) because such food contains such partially hydrogenated oils until the applicable compliance dates specified by FDA in the Federal Register on May 21, 2018 (83 Fed. Reg. 23358 et seq.).

【SEC. 735. None of the funds made available by this Act may be used to carry out any activities or incur any expense related to the issuance of licenses under section 3 of the Animal Welfare Act (7 U.S.C. 2133), or the renewal of such licenses, to class B dealers who sell dogs and cats for use in research, experiments, teaching, or testing.】

【SEC. 736. (a)

(1) No Federal funds made available for this fiscal year for the rural water, waste water, waste disposal, and solid waste management programs authorized by sections 306, 306A, 306C, 306D, 306E, and 310B of the Consolidated Farm and Rural Development Act (7 U.S.C. 1926 et seq.) shall be used for a project for the construction, alteration, maintenance, or repair of a public water or wastewater system unless all of the iron and steel products used in the project are produced in the United States.

(2) In this section, the term "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.

(b) Subsection (a) shall not apply in any case or category of cases in which the Secretary of Agriculture (in this section referred to as the "Secretary") or the designee of the Secretary finds that—

- (1) applying subsection (a) would be inconsistent with the public interest;
- (2) iron and steel products are not produced in the United States in sufficient and reasonably available quantities or of a satisfactory quality; or
- (3) inclusion of iron and steel products produced in the United States will increase the cost of the overall project by more than 25 percent.

(c) If the Secretary or the designee receives a request for a waiver under this section, the Secretary or the designee shall make available to the public on an informal basis a copy of the request and information available to the Secretary or the designee concerning the request, and shall allow for informal public input on the request for at least 15 days prior to making a finding based on the request. The Secretary or the designee shall make the request and accompanying information available by electronic means, including on the official public Internet Web site of the Department.

(d) This section shall be applied in a manner consistent with United States obligations under international agreements.

(e) The Secretary may retain up to 0.25 percent of the funds appropriated in this Act for "Rural Utilities Service-Rural Water and Waste Disposal Program Account" for carrying out the provisions described in subsection (a)(1) for management and oversight of the requirements of this section.

(f) Subsection (a) shall not apply with respect to a project for which the engineering plans and specifications include use of iron and steel products otherwise prohibited by such subsection if the plans and specifications have received required approvals from State agencies prior to the date of enactment of this Act.

(g) For purposes of this section, the terms "United States" and "State" shall include each of the several States, the District of Columbia, and each federally recognized Indian tribe.】

【SEC. 737. None of the funds appropriated by this Act may be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before Congress, other than to communicate to Members of Congress as described in 18 U.S.C. 1913.】

【SEC. 738. None of the funds made available by this Act may be used to procure raw or processed poultry products imported into the United States from the People's Republic of China for use in the school lunch program under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), the Child and Adult Care Food Program under section 17 of such Act (42 U.S.C. 1766), the Summer Food Service Program for Children under section 13 of such Act (42 U.S.C. 1761), or the school breakfast program under the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.).】

SEC. 【739】717. None of the funds made available 【by】in this Act may be used to pay the salaries or expenses of personnel【—】:

- (1) to inspect horses under section 3 of the Federal Meat Inspection Act (21 U.S.C. 603);
- (2) to inspect horses under section 903 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 1901 note; Public Law 104-127); or
- (3) to implement or enforce section 352.19 of title 9, Code of Federal Regulations (or a successor regulation).

【SEC. 740. Of the total amounts made available by this Act for direct loans and grants in section 732 and in the following headings: "Rural Housing Service-Rural Housing Insurance Fund Program Account"; "Rural Housing Service-Mutual and Self-Help Housing Grants"; "Rural Housing Service-Rural Housing Assistance Grants"; "Rural Housing Service-Rural Community Facilities Program Account"; "Rural Business-Cooperative Service-Rural Business Program Account"; "Rural Business-Cooperative Service-Rural Economic Development Loans Program Account"; "Rural Business-Cooperative Service-Rural Cooperative Development Grants"; "Rural Utilities Service-Rural Water and Waste Disposal Program Account"; "Rural Utilities Service-Rural Electrification and Telecommunications Loans Program Account"; and "Rural Utilities Service-Distance Learning, Telemedicine, and Broadband Program", to the maximum extent feasible, at least 10 percent of the funds shall be allocated for assistance in persistent poverty counties under this section, including, notwithstanding any other provision regarding population limits, any county seat of such a persistent poverty county that has a population that does not exceed the authorized population limit by more than 10 percent: *Provided*, That for purposes of this section, the term "persistent poverty counties" means any county that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses, and 2007-2011 American Community Survey 5-year average, or any territory or possession of the United States: *Provided further*, That with respect to specific activities for which program levels have been made available by this Act that are not supported by budget authority, the requirements of this section shall be applied to such program level.】

【SEC. 741. (a) No funds shall be used to finalize the proposed rule entitled "Eligibility of the People's Republic of China (PRC) to Export to the United States Poultry Products from Birds Slaughtered in the PRC" published in the Federal Register by the Department of Agriculture on June 16, 2017 (82 Fed. Reg. 27625), unless the Secretary of Agriculture shall—

- (1) ensure that the poultry slaughter inspection system for the PRC is equivalent to that of the United States;
- (2) ensure that, before any poultry products can enter the United States from any such poultry plant, such poultry products comply with all other applicable requirements for poultry products in interstate commerce in the United States;
- (3) conduct periodic verification reviews and audits of any such plants in the PRC intending to export into the United States processed poultry products;
- (4) conduct re-inspection of such poultry products at United States ports-of-entry to check the general condition of such products, for the proper certification and labeling of such products, and for any damage to such products that may have occurred during transportation; and
- (5) ensure that shipments of any such poultry products selected to enter the United States are subject to additional re-inspection procedures at appropriate levels to verify that the products comply with relevant Federal regulations or

standards, including examinations for product defects and laboratory analyses to detect harmful chemical residues or pathogen testing appropriate for the products involved.

(b) This section shall be applied in a manner consistent with obligations of the United States under any trade agreement to which the United States is a party.]

【SEC. 742. In addition to any other funds made available in this Act or any other Act, there is appropriated \$9,000,000 to carry out section 18(g)(8) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769(g)), to remain available until expended.】

【SEC. 743. There is hereby appropriated \$5,000,000, to remain available until September 30, 2021, for the cost of loans and grants that is consistent with section 4206 of the Agricultural Act of 2014, for necessary expenses of the Secretary to support projects that provide access to healthy food in underserved areas, to create and preserve quality jobs, and to revitalize low-income communities.】

【SEC. 744. For an additional amount for "Animal and Plant Health Inspection Service-Salaries and Expenses", \$8,500,000, to remain available until September 30, 2021, for one-time control and management and associated activities directly related to the multiple-agency response to citrus greening.】

SEC. 【745】718. None of the funds made available by this Act may be used to notify a sponsor or otherwise acknowledge receipt of a submission for an exemption for investigational use of a drug or biological product under section 505(i) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(i)) or section 351(a)(3) of the Public Health Service Act (42 U.S.C. 262(a)(3)) in research in which a human embryo is intentionally created or modified to include a heritable genetic modification. Any such submission shall be deemed to have not been received by the Secretary, and the exemption may not go into effect.

【SEC. 746. None of the funds made available by this or any other Act may be used to enforce the final rule promulgated by the Food and Drug Administration entitled "Standards for the Growing, Harvesting, Packing, and Holding of Produce for Human Consumption," and published on November 27, 2015, with respect to the regulation of entities that grow, harvest, pack, or hold wine grapes, hops, pulse crops, or almonds.】

SEC. 【747】719. For school year 【2020–2021】 2021–2022, only a school food authority that had a negative balance in the nonprofit school food service account as of December 31, 【2019】 2020, shall be required to establish a price for paid lunches in accordance with Section 12(p) of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1760(p).

【SEC. 748. There is hereby appropriated \$5,000,000, to remain available until September 30, 2021, for a pilot program for the National Institute of Food and Agriculture to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.】

SEC. 【749】720. For school 【years 2019–2020 and 2020–2021】 year 2021–2022, none of the funds made available by this Act may be used to implement or enforce the matter following the first comma in the second sentence of footnote (c) of section 220.8(c) of title 7, Code of Federal Regulations, with respect to the substitution of vegetables for fruits under the school breakfast program established under section 4 of the Child Nutrition Act of 1966 (42 U.S.C. 1773).

SEC. 【750】721. None of the funds made available by this Act or any other Act may be used—

(1) in contravention of section 7606 of the Agricultural Act of 2014 (7 U.S.C. 5940), subtitle G of the Agricultural Marketing Act of 1946 (7 U.S.C. 1639o *et seq.*), or section 10114 of the Agriculture Improvement Act of 2018 (7 U.S.C. 1639o *note*); or

(2) to prohibit the transportation, processing, sale, or use of *industrial* hemp, or seeds of such plant, that is grown or cultivated in accordance with 【subsection】 section 7606 of the Agricultural Act of 2014 【or Subtitle G of the Agricultural Marketing Act of 1946,】 (7 U.S.C. 5940) within or outside the State in which the *industrial* hemp is grown or cultivated.

【SEC. 751. Out of amounts appropriated to the Food and Drug Administration under title VI, the Secretary of Health and Human Services, acting through the Commissioner of Food and Drugs, shall, not later than July 1, 2020, and following the review required under Executive Order No. 12866 (5 U.S.C. 601 *note*; relating to regulatory planning and review), issue advice revising the advice provided in the notice of availability entitled "Advice About Eating Fish, From the Environmental Protection Agency and Food and Drug Administration; Revised Fish Advice; Availability" (82 Fed. Reg. 6571 (January 19, 2017)), in a manner that is consistent with nutrition science recognized by the Food and Drug Administration on the net effects of seafood consumption.】

【SEC. 752. In addition to any funds made available in this Act or any other Act, there is hereby appropriated \$6,000,000, to remain available until September 30, 2021, for grants from the National Institute of Food and Agriculture to the 1890 Institutions to support the Centers of Excellence.】

【SEC. 753. There is hereby appropriated \$1,000,000 for the Secretary of Agriculture to carry out a pilot program that assists rural hospitals to improve long-term operations and financial health by providing technical assistance through analysis of current hospital management practices.】

【SEC. 754. There is hereby appropriated \$2,000,000, to remain available until expended, for grants under section 12502 of Public Law 115–334.】

【SEC. 755. There is hereby appropriated \$2,000,000 to carry out section 1621 of Public Law 110–246.】

【SEC. 756. Not later than 180 days after the date of the enactment of this Act, the Secretary of Agriculture shall issue a final rule based on the proposed rule entitled "National Organic Program; Origin of Livestock," published in the *Federal Register* on April 28, 2015 (80 Fed. Reg. 23455): *Provided*, That the final rule shall incorporate public comments submitted in response to the proposed rule.】

【SEC. 757. There is hereby appropriated \$3,000,000, to remain available until September 30, 2021, to carry out section 4003(b) of Public Law 115–334 relating to demonstration projects for Tribal Organizations.】

【SEC. 758. There is hereby appropriated \$1,000,000 for the Secretary to carry out a pilot program that provides forestry inventory analysis, forest management and economic outcomes modelling for certain currently enrolled Conservation Reserve Program participants. The Secretary shall allow the Commodity Credit Corporation to enter into agreements with and provide grants to qualified non-profit organizations dedicated to conservation, forestry and wildlife habitats, that also have experience in conducting accurate forest inventory analysis through the use of advanced, cost-effective technology. The Secretary shall focus the analysis on lands enrolled for at least eight years and located in areas with a substantial concentration of acres enrolled under conservation practices devoted to multiple bottomland hardwood tree species including CP03, CP03A, CP11, CP22, CP31 and CP40.】

【SEC. 759. In addition to amounts otherwise made available by this Act and notwithstanding the last sentence of 16 U.S.C. 1310, there is appropriated \$4,000,000, to remain available until expended, to implement non-renewable agreements on eligible lands, including flooded agricultural lands, as determined by the Secretary, under the Water Bank Act (16 U.S.C. 1301–1311).】

【SEC. 760. The Secretary shall set aside for Rural Economic Area Partnership (REAP) Zones, until August 15, 2020, an amount of funds made available in title III under the headings of Rural Housing Insurance Fund Program Account, Mutual and Self-Help Housing Grants, Rural Housing Assistance Grants, Rural Community Facilities Program Account, Rural Business Program Account, Rural Development Loan Fund Program Account, and Rural Water and Waste Disposal Program Account, equal to the amount obligated in REAP Zones with respect to funds provided under such headings in the most recent fiscal year any such funds were obligated under such headings for REAP Zones.】

【SEC. 761. There is hereby appropriated \$1,000,000 to carry out section 3307 of Public Law 115–334.】

SEC. 【762】722. The Secretary of Agriculture may waive the matching funds requirement under Section 412(g) of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7632(g)).

【SEC. 763. There is hereby appropriated \$5,000,000, to remain available until September 30, 2021, to carry out section 23 of the Child Nutrition Act of 1966 (42 U.S.C. 1793), of which \$1,000,000 shall be for grants under such section to the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the United States Virgin Islands, Guam, and American Samoa.】

【SEC. 764. There is hereby appropriated \$1,000,000, to remain available until expended, for a pilot program for the Secretary to provide grants to qualified nonprofit organizations and public housing authorities to provide technical assistance, including financial and legal services, to RHS multi-family housing borrowers to facilitate the acquisition of RHS multi-family housing properties in areas where the Secretary determines a risk of loss of affordable housing, by non-profit housing organizations and public housing authorities as authorized by law that commit to keep such properties in the RHS multi-family housing program for a period of time as determined by the Secretary.】

SEC. 【765】723. Section 2 of the Rural Electrification Act of 1936 (7 U.S.C. 902) is amended in subsection (a) by striking "made by the Secretary" and inserting "made or guaranteed by the Secretary".

SEC. 【766】724. The National Bio and Agro-Defense Facility shall be transferred without reimbursement from the Secretary of Homeland Security to the Secretary of Agriculture.

SEC. 【767】725. Any funds made available by this or any other Act that the Secretary withholds pursuant to section 1668(g)(2) of the Food, Agriculture, Conservation, and Trade Act of 1990 (7 U.S.C. 5921(g)(2)), as amended, shall be available for grants for biotechnology risk assessment research: *Provided*, That the Secretary may transfer such funds to appropriations of the Department of Agriculture.

【SEC. 768. There is hereby appropriated \$5,000,000 to carry out section 222 of Subtitle A of the Department of Agriculture Reorganization Act of 1994 (7 U.S.C. 6923) as amended by section 12302 of Public Law 115–334.】

【SEC. 769. There is hereby appropriated \$400,000 to carry out section 224 of Subtitle A of the Department of Agriculture Reorganization Act of 1994 (7 U.S.C. 6924) as amended by section 12504 of Public Law 115–334.】

【SEC. 770. There is hereby appropriated \$1,000,000, to remain available until September 30, 2021, to carry out section 4208 of Public Law 115–334.】

【SEC. 771. There is hereby appropriated \$400,000 to carry out section 1672(g)(4)(B) of the Food, Agriculture, Conservation, and Trade Act of 1990 (7 U.S.C. 5925(g)(4)(B)) as amended by section 7209 of Public Law 115–334.】

【SEC. 772. There is hereby appropriated \$5,000,000 to carry out section 12301 of Public Law 115–334.】

【SEC. 773. There is hereby appropriated \$5,000,000 to carry out section 1450 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3222e) as amended by section 7120 of Public Law 115–334.】

【SEC. 774. There is hereby appropriated \$1,000,000 to carry out section 1671 of the Food, Agriculture, Conservation, and Trade Act of 1990 (7 U.S.C. 5924) as amended by section 7208 of Public Law 115–334.】

Sec. 【775】726. In response to an eligible community where the drinking water supplies are inadequate due to a natural disaster, as determined by the Secretary, including drought or severe weather, the Secretary may provide potable water through the Emergency Community Water Assistance Grant Program for an additional period of time not to exceed 120 days beyond the established period provided under the Program in order to protect public health.

【SEC. 776. There is hereby appropriated \$6,000,000 for the purposes described in the paragraph entitled "Nutrition Assistance Program (NAP) Study" under the Supplemental Nutrition Assistance Program included in House Report 116–107, of which \$4,000,000 shall be for the Secretary to update the Feasibility Report, and of which \$2,000,000 shall be for Puerto Rico for technology requirements: *Provided*, That the reports detailed in House Report 116–107 shall be due not later than December 31, 2020.】

【SEC. 777. There is hereby appropriated \$5,000,000 to remain available until September 30, 2021, to carry out section 4206 of Public Law 115–334.】

【SEC. 778. There is hereby appropriated \$20,000,000, to remain available until expended, to carry out section 12513 of Public Law 115–334: *Provided*, That the Secretary shall take measures to ensure an equal distribution of funds between the three regional innovation initiatives.】

【SEC. 779. There is hereby appropriated \$5,000,000, to remain available until September 30, 2021, to carry out section 2103 of Public Law 115–334.】

【SEC. 780. There is hereby appropriated \$20,000,000, for an additional amount for "Department of Health and Human Services-Food and Drug Administration-Buildings and Facilities" to remain available until expended and in addition to amounts otherwise made available for such purposes, for necessary expenses of plans, construction, repair, improvement, extension, alteration, demolition and purchase of fixed equipment or facilities of or used by FDA for seafood safety.】

【SEC. 781. There is hereby appropriated \$5,000,000 to remain available until September 30, 2021, to carry out section 6424 of Public Law 115–334.】

【SEC. 782. Of the unobligated balances from amounts made available to carry out section 749 of Division A of Public Law 115–31 and section 739 of Division A of Public Law 115–141, \$15,073,000 are rescinded.】

【SEC. 783. In addition to amounts otherwise made available by this or any other Act, there is hereby appropriated \$5,000,000, to remain available until expended, to the Secretary for a pilot program to provide grants to a regional consortium to fund technical assistance and construction of regional wastewater systems for historically impoverished communities that have had difficulty in installing traditional wastewater treatment systems due to soil conditions.】

【SEC. 784. Section 9(i)(2) of the Food and Nutrition Act of 2008 (7 U.S.C. 2018(i)(2)) is amended by striking "for a period" and all that follows through "2018" and inserting "prior to December 31, 2020".】

【SEC. 785. Not later than 60 days after enactment of this Act, the Commissioner of the Food and Drug Administration shall issue a request for information to determine the next steps that will address the recent pulmonary illnesses reported to be associated with the use of e-cigarettes and vaping products. As part of such request for information, the Commissioner shall request public comment on product design and how to prevent consumers from modifying or adding any substances to these products that are not intended by the manufacturer: *Provided*, That the Food and Drug Administration shall provide an update to the Committee on Appropriations on a quarterly basis.】

【SEC. 786. (a) In the matter preceding the first proviso under the heading "Supplemental Nutrition Assistance Program" in the Consolidated Appropriations Act, 2018 (Public Law 115–141), strike "December 31, 2019" and insert "September 30, 2020".

(b) In the matter preceding the first proviso under the heading "Supplemental Nutrition Assistance Program" in the Consolidated Appropriations Act, 2019 (Public Law 116–6), strike "December 31, 2020" and insert "September 30, 2021".】

【SEC. 787. (a) There is hereby appropriated \$300,000,000, to remain available until expended, for an additional amount for section 779 of Public Law 115–141.

(b) Section 313(b) of the Rural Electrification Act of 1936, as amended (7 U.S.C. 940c(b)), shall be applied for fiscal year 2020 and each fiscal year thereafter until the specified funding has been expended as if the following were inserted after the final period in subsection (b)(2): "In addition, the Secretary shall use \$425,000,000 of funds available in this subaccount in fiscal year 2019 for an additional amount for the same purpose and under the same terms and conditions as funds appropriated by section 779 of Public Law 115–141 and shall use \$255,000,000 of funds available in this subaccount in fiscal year 2020 for an additional amount for the same purpose and under the same terms and conditions as funds appropriated by section 779 of Public Law 115–141: *Provided*, That any use of such funds shall be treated as a reprogramming of funds under section 716 of this Act."

(c) Section 762(b) of division B of Public Law 116–6 shall no longer apply.】

【SEC. 788. The Animal and Plant Health Inspection Service shall, notwithstanding any other provision of law:

(a) within 60 calendar days, restore on its website the searchable database and its contents that were available on January 30, 2017, and all content generated since that date; and

(b) hereafter, make publicly available via searchable database, in their entirety without redactions except signatures, the following records after enactment of this Act for a subsequent period of three years:

(1) all final Animal Welfare Act inspection reports, including all reports documenting all Animal Welfare Act non-compliances observed by USDA officials and all animal inventories;

(2) all final Animal Welfare Act and Horse Protection Act enforcement records;

(3) all reports or other materials documenting any non-compliances observed by USDA officials; and

(4) within six months of receipt by the agency, all final Animal Welfare Act research facility annual reports, including their attachments with appropriate redactions made for confidential business information that USDA could withhold under FOIA Exemption 4.】

【SEC. 789. Notwithstanding any other provision of law, no funds available to the Department of Agriculture may be used to move any agency from the mission area in which it was located on August 1, 2018, to any other mission area or office within the Department in the absence of the enactment of specific legislation affirming such move.】

【SEC. 790. Notwithstanding any other provision of law, the acceptable market name of any engineered animal approved prior to the effective date of the National Bioengineered Food Disclosure Standard (February 19, 2019) shall include the words "genetically engineered" prior to the existing acceptable market name.】

【SEC. 791. (a) The remaining unobligated balances of funds made available under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" in the Bipartisan Budget Act of 2018 (Public Law 115–123) are hereby rescinded: *Provided*, That the amounts rescinded pursuant to this subsection that were previously designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to that section of that Act.

(b) In addition to amounts otherwise made available by this Act for "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary", there is appropriated for an additional amount for fiscal year 2020, to remain available until December 30, 2021, an amount equal to the unobligated balances rescinded pursuant to subsection (a), for the same purposes and under the same authorities and conditions as the funds made available under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" in the Additional Supplemental Appropriations for Disaster Relief Act of 2019 (Public Law 116–20), as amended by this section: *Provided*, That, in addition to the purposes specified in the matter preceding the first proviso under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" in the Additional Supplemental Appropriations for Disaster Relief Act of 2019 (Public Law 116–20), as amended by this section, such amounts shall also be available for quality losses of crops, drought, and excessive moisture: *Provided further*, That losses due to drought shall only be eligible under this subsection if any area within the county in which the loss occurs was rated by the U.S. Drought Monitor as having a D3 (Extreme Drought) or higher level of drought intensity during the applicable calendar years: *Provided further*, That the Secretary may use the amounts provided

under this subsection, under the same authorities and conditions as the funds made available under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" in the Bipartisan Budget Act of 2018 (Public Law 115-123), to continue to pay for losses due to Tropical Storm Cindy, and peaches and blueberries due to freeze in 2017 and blueberry productivity losses in 2018: *Provided further*, That the Secretary shall use the amounts provided under this subsection, under the same authorities and conditions as the funds made available under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" in the Bipartisan Budget Act of 2018 (Public Law 115-123), to make payments for vine losses that were eligible for, but did not receive, payments under that heading in that Act: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(c) Title I of the Additional Supplemental Appropriations for Disaster Relief Act, 2019 (Public Law 116-20), as amended by section 116 of the Continuing Appropriations Act, 2020 (Public Law 116-59), is further amended in the first proviso under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" by striking "may provide" and inserting ", in addition to the amount announced on November 8, 2019, shall provide not less than \$400,000,000 in", and by adding the following before the final proviso under that heading: "*Provided further*, That the Secretary shall pay all sugar beet losses in 2018 and 2019 through cooperative processors (to be paid to producer members as determined by such processors) using the additional coverage level described in section 508(e)(2)(E) of the Federal Crop Insurance Act of 1938 (7 U.S.C. 1508(e)(2)(E)) for purposes of determining the Wildfire Hurricane Indemnity Program Plus factor (as defined in section 760.1502 of title 7, Code of Federal Regulations (or successor regulations))": *Provided*, That amounts repurposed pursuant to this subsection that were previously designated by the Congress as an emergency requirement pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(d) No later than December 31, 2020, the remaining unobligated balances of funds made available under the heading "Department of Agriculture-Agricultural Programs-Processing, Research and Marketing-Office of the Secretary" in the Additional Supplemental Appropriations for Disaster Relief Act of 2019 (Public Law 116-20) are hereby permanently rescinded, and an amount of additional new budget authority equivalent to the amount rescinded is hereby appropriated, to remain available until December 30, 2021, in addition to other funds as may be available for such purposes, for the same purposes and under the same authorities and conditions as the funds made available in subsection (b): *Provided*, That the amounts rescinded pursuant to this subsection that were previously designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to that section of that Act: *Provided further*, That the amount of additional new budget authority made available pursuant to this subsection is designated by the Congress as being for

an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

【SEC. 792. For an additional amount for "Department of Health and Human Services-Office of the Secretary-Public Health and Social Services Emergency Fund" for purchase of vaccines, therapeutics, and diagnostics for the prevention and treatment of Ebola, \$535,000,000, to remain available until September 30, 2024: *Provided*, That products purchased with funds provided under this section may, at the discretion of the Secretary of Health and Human Services, be deposited in the Strategic National Stockpile under section 319F-2 of the PHS Act: *Provided further*, That sections 319C-1(h)(3) and 319C-2(h) of the PHS Act shall not apply to funds provided under this section: *Provided further*, That funds provided under this section may be used for the purposes specified in this section or authorized under section 319F-4 of the PHS Act: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

SEC. 727. *Increase in Export Certification Fees.*—Section 801(e)(4) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 381(e)(4)) is amended—(a) in subparagraph (B) by striking "but shall not exceed \$175 for each certification" and inserting "in an amount specified in subparagraph (E)"; and (b) by adding at the end the following new subparagraphs: "(E) The fee for each written export certification issued by the Secretary under this paragraph shall not exceed—(i) \$600 for fiscal year 2021; and (ii) for each subsequent fiscal year, the prior fiscal year maximum amount multiplied by the inflation adjustment under section 738(c)(2)(C), applied without regard to the limitation in clause (ii)(II) of such subparagraph. (F) The Secretary shall, for each fiscal year, publish in the Federal Register a notice of the export certification fee under this paragraph for such year, not later than 60 days before such fee takes effect."

SEC. 728. *Streamline Use of USDA Inventory Property for Transitional and Turnkey Housing.* Section 592 of the Stewart B. McKinney Homeless Assistance Act (42 U.S.C. 11408a) is amended by—

- (a) in the section heading, striking "FMHA" and inserting "USDA";
- (b) in subsection (a), in the matter preceding paragraph (1) striking "program and nonprogram";
- (c) striking subsection (b) and inserting the following—"(b) *Priority.*—The priority uses of inventory property under this section shall not have a higher priority than the disposition of such property in accordance with priorities determined by the Secretary as necessary to protect the best interests of the Federal Government."

SEC. 729. (a) Section 733 of Public Law 113-235, the Consolidated and Further Continuing Appropriations Act, 2015, is repealed. (b) Section 1501 of Public Law 113-79 is amended by inserting at the end the following new subsection: "(g) *NO DUPLICATIVE PAYMENTS.*— If a producer who is eligible to receive benefits under this section is also eligible to receive assistance for the same loss under any other program administered by the Secretary, the producer shall be required to elect whether to receive benefits under this section or under the other program, but not both." (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.)

DEPARTMENT OF COMMERCE

DEPARTMENTAL MANAGEMENT

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the management of the Department of Commerce provided for by law, including not to exceed \$4,500 for official reception and representation, **[\$61,000,000: Provided,** That no employee of the Department of Commerce may be detailed or assigned from a bureau or office funded by this Act or any other Act to offices within the Office of the Secretary of the Department of Commerce for more than 30 days in a fiscal year unless the individual's employing bureau or office is fully reimbursed for the salary and expenses of the employee for the entire period of assignment using funds provided under this heading: *Provided further,* That of the funds provided under this heading, \$15,000,000 shall be withheld from obligation until the Secretary updates and resubmits to the Committees on Appropriations of the House of Representatives and the Senate the plan for expenditure described in the third proviso under the heading "Bureau of the Census-Periodic Censuses and Programs" in division C of Public Law 116-6] **\$96,134,000.** (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0120-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Operations and Administration	63	61	96
0801 Salaries and Expenses (Reimbursable)	104	111	105
0900 Total new obligations, unexpired accounts	167	172	201
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	63	61	96
Spending authority from offsetting collections, discretionary:			
1700 Collected	81	111	105
1701 Change in uncollected payments, Federal sources	23
1750 Spending auth from offsetting collections, disc (total)	104	111	105
1900 Budget authority (total)	167	172	201
1930 Total budgetary resources available	167	172	201
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35	35	9
3010 New obligations, unexpired accounts	167	172	201
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-160	-198	-196
3041 Recoveries of prior year unpaid obligations, expired	-9
3050 Unpaid obligations, end of year	35	9	14
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-10	-25	-25
3070 Change in uncollected pymts, Fed sources, unexpired	-23
3071 Change in uncollected pymts, Fed sources, expired	8
3090 Uncollected pymts, Fed sources, end of year	-25	-25	-25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	10	-16
3200 Obligated balance, end of year	10	-16	-11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	167	172	201
Outlays, gross:			
4010 Outlays from new discretionary authority	140	165	189
4011 Outlays from discretionary balances	20	33	7
4020 Outlays, gross (total)	160	198	196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-85	-111	-105
4040 Offsets against gross budget authority and outlays (total)	-85	-111	-105
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-23
4052 Offsetting collections credited to expired accounts	4
4060 Additional offsets against budget authority only (total)	-19
4070 Budget authority, net (discretionary)	63	61	96
4080 Outlays, net (discretionary)	75	87	91
4180 Budget authority, net (total)	63	61	96

4190 Outlays, net (total)	75	87	91
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The Salaries and Expenses account funds Operations and Administration, which provides policy oversight and oversees day-to-day operations of the Department.

Reimbursable program.—Provides a centralized collection source for special tasks or costs and their billing to users.

Object Classification (in millions of dollars)

Identification code 013-0120-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	24	25	32
12.1 Civilian personnel benefits	8	8	11
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	5
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.1 Advisory and assistance services	1
25.2 Other services from non-Federal sources	8	8	13
25.3 Other goods and services from Federal sources	16	13	28
31.0 Equipment	1	1	3
99.0 Direct obligations	63	61	96
99.0 Reimbursable obligations	104	111	105
99.9 Total new obligations, unexpired accounts	167	172	201

Employment Summary

Identification code 013-0120-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	168	173	257
2001 Reimbursable civilian full-time equivalent employment	74	88	58

NONRECURRING EXPENSES FUND

Unobligated balances of expired discretionary funds appropriated for this or any succeeding fiscal year from the general fund of the Treasury to the Department of Commerce by this or any other Act may be transferred (not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) into the Department of Commerce Nonrecurring Expenses Fund (the Fund): Provided, That this transfer authority is in addition to any other transfer authority provided in this Act: Provided further, That amounts deposited in the Fund shall be available until expended, and in addition to such other funds as may be available for such purposes, for information and business technology system modernization and facilities infrastructure improvements necessary for the operation of the Department, subject to approval by the Office of Management and Budget: Provided further, That amounts in the Fund may be obligated only after the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of the planned use of funds: Provided further: That, in addition to amounts otherwise made available by this Act, there is appropriated \$38,038,000, to remain available until September 30, 2023, to the Fund for necessary expenses for a business application system modernization.

Program and Financing (in millions of dollars)

Identification code 013-0133-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	20	38
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20	38
1930 Total budgetary resources available	20	38
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4
3010 New obligations, unexpired accounts	20	38
3020 Outlays (gross)	-16	-34
3050 Unpaid obligations, end of year	4	8

NONRECURRING EXPENSES FUND—Continued

Program and Financing—Continued

Identification code 013-0133-0-1-376	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			4
3200 Obligated balance, end of year		4	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		20	38
Outlays, gross:			
4010 Outlays from new discretionary authority		16	30
4011 Outlays from discretionary balances			4
4020 Outlays, gross (total)		16	34
4180 Budget authority, net (total)		20	38
4190 Outlays, net (total)		16	34

This account funds information and business technology system modernization and facilities infrastructure improvements, such as the Business Application Solutions, which is the planned successor to Commerce Business Systems.

Object Classification (in millions of dollars)

Identification code 013-0133-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	5
12.1 Civilian personnel benefits		1	1
23.1 Rental payments to GSA			1
25.2 Other services from non-Federal sources		8	20
25.3 Other goods and services from Federal sources		10	11
99.9 Total new obligations, unexpired accounts		20	38

Employment Summary

Identification code 013-0133-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		7	33

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$35,000,000]** \$35,520,000: *Provided*, That notwithstanding section **[6413(b)] 6413** of the Middle Class Tax Relief and Job Creation Act of 2012 (Public Law 112-96), *an additional* \$2,000,000, to remain available until expended **[]**, from the amounts provided under this heading **[]**, shall be derived from the Public Safety Trust Fund for activities associated with carrying out investigations and audits related to the First Responder Network Authority (FirstNet). (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0126-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of the Inspector General (Direct)	40	50	44
0801 Office of the Inspector General (Reimbursable)	3	3	3
0809 Reimbursable program activities, subtotal	3	3	3
0900 Total new obligations, unexpired accounts	43	53	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	9	1
1001 Discretionary unobligated balance brought fwd, Oct 1	7		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	33	33	36
1121 Appropriations transferred from other acct [013-1460]	1	1	1
1121 Appropriations transferred from other acct [013-0450]	4	4	4
1121 Appropriations transferred from other acct [013-2050]	1		
1160 Appropriation, discretionary (total)	39	38	41
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3

1700 Collected (PSTF Transfer)	2	2	2
1711 Spending authority from offsetting collections transferred from other accounts [013-1006]	2	2	2
1750 Spending auth from offsetting collections, disc (total)	7	7	7
1900 Budget authority (total)	46	45	48
1930 Total budgetary resources available	53	54	49
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	9	1	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	12	9
3010 New obligations, unexpired accounts	43	53	47
3020 Outlays (gross)	-38	-56	-53
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	12	9	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	11	8
3200 Obligated balance, end of year	11	8	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	46	45	48
Outlays, gross:			
4010 Outlays from new discretionary authority	29	41	43
4011 Outlays from discretionary balances	9	15	10
4020 Outlays, gross (total)	38	56	53
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3
4030 Federal sources (PSTF Transfer)	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-5	-5	-5
4070 Budget authority, net (discretionary)	41	40	43
4080 Outlays, net (discretionary)	33	51	48
4180 Budget authority, net (total)	41	40	43
4190 Outlays, net (total)	33	51	48

The Office of Inspector General promotes efficient and effective programs across the Department of Commerce through various analyses of bureau and Departmental programs and activities. It also endeavors to prevent waste, fraud, and abuse through audits, inspections, and investigations related to Department of Commerce programs. The Budget proposes to transfer \$2 million from the Public Safety Trust Fund to support Office of Inspector General oversight of the First Responder Network Authority.

Object Classification (in millions of dollars)

Identification code 013-0126-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	19	20	24
12.1 Civilian personnel benefits	7	7	8
21.0 Travel and transportation of persons		1	
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges		1	
25.2 Other services from non-Federal sources	5	9	4
25.3 Other goods and services from Federal sources	5	8	4
31.0 Equipment	1	1	1
99.0 Direct obligations	40	50	44
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	43	53	47

Employment Summary

Identification code 013-0126-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	146	157	188
2001 Reimbursable civilian full-time equivalent employment	1	1	1

HCHB RENOVATION AND MODERNIZATION

For necessary expenses for the renovation and modernization of the Herbert C. Hoover Building, **[\$1,000,000] \$1,123,000**, to remain available until expended. (Department of Commerce Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 013-0123-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 HCHB Renovation and Modernization (Direct)	10	5	16
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	42	30	26
1010 Unobligated balance transfer to other accts [013-0300]	-2		
1050 Unobligated balance (total)	40	30	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		1	1
1930 Total budgetary resources available	40	31	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	26	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	10	4
3010 New obligations, unexpired accounts	10	5	16
3020 Outlays (gross)	-7	-11	-18
3050 Unpaid obligations, end of year	10	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	10	4
3200 Obligated balance, end of year	10	4	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
4011 Outlays from discretionary balances	7	10	17
4020 Outlays, gross (total)	7	11	18
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)	7	11	18

This account funds the Commerce Department's portion of expenses associated with renovating and modernizing the Herbert C. Hoover Building (HCHB). The renovation and modernization will upgrade infrastructure, modernize tenant spaces, remove safety hazards, and improve energy efficiency as Commerce optimizes utilization of HCHB space. The General Services Administration and Commerce are each responsible for certain aspects of the project's costs.

Object Classification (in millions of dollars)

Identification code 013-0123-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	9	4	15
99.9 Total new obligations, unexpired accounts	10	5	16

Employment Summary

Identification code 013-0123-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 013-4511-0-4-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0803 Operations and Administration	248	250	260
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	7	7
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	18	7	7
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	229	250	260
1701 Change in uncollected payments, Federal sources	8		
1750 Spending auth from offsetting collections, disc (total)	237	250	260
1930 Total budgetary resources available	255	257	267
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	92	112	8
3010 New obligations, unexpired accounts	248	250	260
3020 Outlays (gross)	-220	-354	-260
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	112	8	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired	-8		
3090 Uncollected pymts, Fed sources, end of year	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	92	104	
3200 Obligated balance, end of year	104		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	237	250	260
Outlays, gross:			
4010 Outlays from new discretionary authority	154	250	260
4011 Outlays from discretionary balances	66	104	
4020 Outlays, gross (total)	220	354	260
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-229	-250	-260
4040 Offsets against gross budget authority and outlays (total)	-229	-250	-260
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-8		
4080 Outlays, net (discretionary)	-9	104	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-9	104	

This fund finances, on a reimbursable basis, Department-wide administrative functions that are more efficiently performed on a centralized basis, including general counsel, information technology, enterprise services, human resources, financial, procurement, and security services.

Object Classification (in millions of dollars)

Identification code 013-4511-0-4-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	72	79	81
12.1 Civilian personnel benefits	22	28	29
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	8	8
23.3 Communications, utilities, and miscellaneous charges	3	5	5
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	84	83	90
25.3 Other goods and services from Federal sources	48	43	43
26.0 Supplies and materials	1	1	1
31.0 Equipment	8	2	2
99.9 Total new obligations, unexpired accounts	248	250	260

WORKING CAPITAL FUND—Continued
Employment Summary

Identification code 013-4511-0-4-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	554	626	620

CONCRETE MASONRY PRODUCTS BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5603-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			2
Receipts:			
Current law:			
1110 Concrete Masonry Products Assessments, Available		6	7
2000 Total: Balances and receipts		6	9
Appropriations:			
Current law:			
2101 Concrete Masonry Products Board		-6	-7
2135 Concrete Masonry Products Board		2	2
2199 Total current law appropriations		-4	-5
2999 Total appropriations		-4	-5
5099 Balance, end of year		2	4

Program and Financing (in millions of dollars)

Identification code 013-5603-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		4	5
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		6	7
1235 Appropriations precluded from obligation (special or trust)		-2	-2
1260 Appropriations, mandatory (total)		4	5
1930 Total budgetary resources available		4	5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		4	5
3020 Outlays (gross)		-4	-5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		4	5
Outlays, gross:			
4100 Outlays from new mandatory authority		4	5
4180 Budget authority, net (total)		4	5
4190 Outlays, net (total)		4	5

The Concrete Masonry Products Research, Education, and Promotion Act of 2018 (the Act) authorized the establishment of a program, including funds for marketing and market research activities, that is designed to: (1) strengthen the position of the concrete masonry products industry in the domestic marketplace; (2) maintain, develop, and expand markets and uses for concrete masonry products in the domestic marketplace; and (3) promote the use of concrete masonry products in construction and building.

The Act requires the Secretary of Commerce to issue an order that provides for the establishment of a Concrete Masonry Product Board to carry out a program of generic promotion, research, and education regarding concrete masonry products. Further, the Act provides that funding for the Board's activities shall be derived from an assessment on manufacturers of concrete masonry products.

Object Classification (in millions of dollars)

Identification code 013-5603-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.2 Other services from non-Federal sources		3	4
99.9 Total new obligations, unexpired accounts		4	5

Employment Summary

Identification code 013-5603-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		3	3

Trust Funds

GIFTS AND BEQUESTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-8501-0-7-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Bequests		1	1
2000 Total: Balances and receipts		1	1
Appropriations:			
Current law:			
2101 Gifts and Bequests		-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 013-8501-0-7-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Gifts and Bequests (Direct)		1	1
0900 Total new obligations, unexpired accounts (object class 25.2)		1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		1	1
1930 Total budgetary resources available	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)		1	1

The Secretary of Commerce is authorized to accept, hold, administer, and utilize gifts and bequests of property, both real and personal, for the purpose of aiding or facilitating the work of the Department of Commerce. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest.

ECONOMIC DEVELOPMENT ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of [administering] the closure of the [economic development assistance programs as provided for by law] *Economic Development Administration, including, but not limited to, ongoing administration, oversight and monitoring of grants previously awarded by the Economic Development Administration, \$40,500,000: Provided, That these funds may be used to monitor projects approved pursuant to title I of the Public Works Employment Act of 1976, title II of the Trade Act of 1974, sections 27 and 28 of the Stevenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3722 and 3723), and the Community Emergency Drought Relief Act of 1977] \$31,593,000. (Department of Commerce Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 013-0125-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	44	52	38
0801 Salaries and Expenses (Reimbursable)	2	4
0900 Total new obligations, unexpired accounts	46	56	38
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	8	1
1011 Unobligated balance transfer from other acct [013-2050]	6	8	6
1050 Unobligated balance (total)	12	16	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	39	41	32
1121 Appropriations transferred from other acct [013-2050]	2
1160 Appropriation, discretionary (total)	41	41	32
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	4
1701 Change in uncollected payments, Federal sources	-4
1750 Spending auth from offsetting collections, disc (total)	2
1900 Budget authority (total)	43	41	32
1930 Total budgetary resources available	55	57	39
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	8	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	7	10
3010 New obligations, unexpired accounts	46	56	38
3011 Obligations ("upward adjustments"), expired accounts	1	1
3020 Outlays (gross)	-45	-54	-36
3050 Unpaid obligations, end of year	7	10	13
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-5	-1
3070 Change in uncollected pymts, Fed sources, unexpired	4
3090 Uncollected pymts, Fed sources, end of year	-5	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	9
3200 Obligated balance, end of year	2	9	12
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	43	41	32
Outlays, gross:			
4010 Outlays from new discretionary authority	35	40	31
4011 Outlays from discretionary balances	10	14	5
4020 Outlays, gross (total)	45	54	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	4
4070 Budget authority, net (discretionary)	41	41	32
4080 Outlays, net (discretionary)	43	50	36
4180 Budget authority, net (total)	41	41	32
4190 Outlays, net (total)	43	50	36

The Budget proposes to eliminate funding for the Economic Development Administration (EDA) as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$31,593,000 to conduct an orderly closeout of EDA.

Object Classification (in millions of dollars)

Identification code 013-0125-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	24	12
11.5 Other personnel compensation	3	3
11.9 Total personnel compensation	22	27	15
12.1 Civilian personnel benefits	6	7	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	3	3
25.2 Other services from non-Federal sources	6	7	7
25.3 Other goods and services from Federal sources	7	7	7
99.0 Direct obligations	44	52	38
99.0 Reimbursable obligations	2	4
99.9 Total new obligations, unexpired accounts	46	56	38

Employment Summary

Identification code 013-0125-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	172	222	154

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS

[For grants for economic development assistance as provided by the Public Works and Economic Development Act of 1965, for trade adjustment assistance, and for grants authorized by sections 27 and 28 of the Stevenson-Wylder Technology Innovation Act of 1980 (15 U.S.C. 3722 and 3723), \$292,500,000, to remain available until expended, of which \$33,000,000 shall be for grants under such section 27 and \$2,000,000 shall be for grants under such section 28] *In addition to amounts made available to the Economic Development Administration under the heading "Salaries and Expenses", unobligated balances previously appropriated under this heading shall remain available until expended for purposes of the closure of the Economic Development Administration, including but not limited to, ongoing administration, oversight and monitoring of grants and loans previously awarded by the Economic Development Administration, whether expended by the Economic Development Administration or a successor operating unit within the Department of Commerce: Provided, That such funds will be available to such successor operating unit in addition to any other amounts that may be appropriated for the necessary expenses of such operating unit from whatever source. (Department of Commerce Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 013-2050-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Planning grants	33	33
0002 Technical assistance grants	10	10
0003 Public works grants	125	125
0004 Economic adjustment grants	42	50
0005 Research Grants	2	2
0009 Trade Adjustment Assistance	13	13
0018 Disaster Supplementals	351	474	237
0021 Regional Innovation Strategies and Sec. 27 Science Parks Loan Guarantees	44	34
0022 Assistance to Coal Communities	32	31
0024 Assistance to Nuclear Closure Communities	15
0025 STEM Apprenticeship Pilot Program	2
0091 Direct program activities, subtotal	652	789	237
0900 Total new obligations, unexpired accounts (object class 41.0)	652	789	237
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	530	746	247
1010 Unobligated balance transfer to other accts [013-0125]	-6	-8	-6
1021 Recoveries of prior year unpaid obligations	21	21	38

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS—Continued
Program and Financing—Continued

Identification code 013-2050-0-1-452	2019 actual	2020 est.	2021 est.
1033 Recoveries of prior year paid obligations	1	1
1050 Unobligated balance (total)	546	760	279
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	865	293
1120 Appropriations transferred to other acct [013-0126]	-1
1120 Appropriations transferred to other acct [013-0125]	-2
1131 Unobligated balance of appropriations permanently reduced	-10	-17	-38
1160 Appropriation, discretionary (total)	852	276	-38
Spending authority from offsetting collections, discretionary:			
1700 Collected	3
1701 Change in uncollected payments, Federal sources	-3
1900 Budget authority (total)	852	276	-38
1930 Total budgetary resources available	1,398	1,036	241
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	746	247	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	884	1,290	1,492
3010 New obligations, unexpired accounts	652	789	237
3020 Outlays (gross)	-225	-566	-510
3040 Recoveries of prior year unpaid obligations, unexpired	-21	-21	-38
3050 Unpaid obligations, end of year	1,290	1,492	1,181
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	3
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	880	1,289	1,491
3200 Obligated balance, end of year	1,289	1,491	1,180
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	852	276	-38
Outlays, gross:			
4010 Outlays from new discretionary authority	10	27	-38
4011 Outlays from discretionary balances	215	539	548
4020 Outlays, gross (total)	225	566	510
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-3
4033 Non-Federal sources	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-4	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	3
4053 Recoveries of prior year paid obligations, unexpired accounts	1	1
4060 Additional offsets against budget authority only (total)	4	1
4070 Budget authority, net (discretionary)	852	276	-38
4080 Outlays, net (discretionary)	221	565	510
4180 Budget authority, net (total)	852	276	-38
4190 Outlays, net (total)	221	565	510

The Budget proposes to eliminate funding for the Economic Development Administration (EDA) as part of the Administration's plans to move the Nation toward fiscal responsibility and to redefine the proper role of the Federal Government. The Budget proposes no grant funding for the Economic Development Assistance Programs (EDAP) and proposes a cancellation of \$38 million of unobligated and deobligated EDAP balances made available in prior years.

ECONOMIC DEVELOPMENT REVOLVING FUND LIQUIDATING ACCOUNT

Status of Direct Loans (in millions of dollars)

Identification code 013-4406-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1

1264 Other adjustments, net (+ or -) -1

BUREAU OF THE CENSUS
Federal Funds

CURRENT SURVEYS AND PROGRAMS

For necessary expenses for collecting, compiling, analyzing, preparing, and publishing statistics, provided for by law, **[\$274,000,000] \$279,268,000: Provided,** That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0401-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Current economic statistics	184	185	196
0002 Current demographic statistics	103	108	103
0900 Total new obligations, unexpired accounts	287	293	299
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	270	274	279
Appropriations, mandatory:			
1200 Appropriation	20	20	20
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1
1260 Appropriations, mandatory (total)	19	19	20
1900 Budget authority (total)	289	293	299
1930 Total budgetary resources available	289	293	299
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	22	27
3010 New obligations, unexpired accounts	287	293	299
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-284	-288	-298
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	22	27	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	22	27
3200 Obligated balance, end of year	22	27	28
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	270	274	279
Outlays, gross:			
4010 Outlays from new discretionary authority	248	249	254
4011 Outlays from discretionary balances	17	20	24
4020 Outlays, gross (total)	265	269	278
Mandatory:			
4090 Budget authority, gross	19	19	20
Outlays, gross:			
4100 Outlays from new mandatory authority	19	19	20
4180 Budget authority, net (total)	289	293	299
4190 Outlays, net (total)	284	288	298

The activities of this appropriation provide for the collection, compilation, analysis, and publication of a broad range of current economic, demographic, and social statistics.

Current Economic Statistics.—These programs provide public and private sector data users with relevant, accurate, and timely national statistical profiles of every sector of the U.S. economy to enable governments and businesses to make informed decisions. In 2021, the Census Bureau and the Bureau of Economic Analysis will continue to build a Federal Data Service that supports research and increased partnership with third-party data providers to accelerate data innovation and solve complex data challenges.

Current Demographic Statistics.—These programs conduct surveys and data analyses to provide social and economic information on monthly,

quarterly, and annual bases that policymakers and others need to make effective policy and program decisions. Building on research in 2020 on improving current U.S. poverty measurement methods and indicators, the Current Demographic Statistics programs will conduct research in 2021 on financially sustainable collection methods or alternative sources of comparable data on the economic well-being of Americans and program participation. Additionally, the Census Bureau will continue to implement operational and methodological efficiencies to several surveys and programs, while preserving the fundamental social and economic data that inform effective public and private decision-making.

State Children's Health Insurance Program (SCHIP).—Mandatory appropriations are provided by the Medicare, Medicaid, and State Children's Health Insurance Program Balanced Budget Refinement Act of 1999. The program is designed to support data collection by the Current Population Survey (CPS) on the number of low-income children who do not have health insurance coverage. Data from this enhanced survey are used in the formula to allocate funds to States under the SCHIP program.

Object Classification (in millions of dollars)

Identification code 013-0401-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	123	138	141
11.3 Other than full-time permanent	15	17	15
11.5 Other personnel compensation	4	5	6
11.9 Total personnel compensation	142	160	162
12.1 Civilian personnel benefits	46	52	53
13.0 Benefits for former personnel		1	
21.0 Travel and transportation of persons	6	6	6
22.0 Transportation of things	1		
23.1 Rental payments to GSA	11	9	8
23.3 Communications, utilities, and miscellaneous charges	6	5	5
24.0 Printing and reproduction		1	1
25.1 Advisory and assistance services	15	11	11
25.2 Other services from non-Federal sources	9	5	5
25.3 Other goods and services from Federal sources	12	14	18
25.4 Operation and maintenance of facilities	5	3	3
25.7 Operation and maintenance of equipment	27	24	24
25.8 Subsistence and support of persons	1		1
26.0 Supplies and materials	1	1	1
31.0 Equipment	5	1	1
99.9 Total new obligations, unexpired accounts	287	293	299

Employment Summary

Identification code 013-0401-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,708	1,824	1,763

PERIODIC CENSUSES AND PROGRAMS
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for collecting, compiling, analyzing, preparing, and publishing statistics for periodic censuses and programs provided for by law, **[\$7,284,319,000] \$1,392,709,000**, to remain available until September 30, **[2021] 2022: Provided**, That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities: *Provided further*, That within the amounts appropriated, \$3,556,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the Bureau of the Census: *Provided further*, That of the amount provided under this heading, \$2,500,000,000 is designated by the Congress as being for the 2020 Census pursuant to section 251(b)(2)(G) of the Balanced Budget and Emergency Deficit Control Act of 1985]. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0450-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Economic Statistics Programs	115	141	147
0008 Decennial Census	3,106	6,712	1,161
0013 Geographic support	62	62	76

0015 Enterprise Data Collection and Dissemination Systems	152	171	185
0100 Total direct program	3,435	7,086	1,569
0900 Total new obligations, unexpired accounts	3,435	7,086	1,569
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,036	1,170	1,364
1021 Recoveries of prior year unpaid obligations	21		89
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	1,058	1,170	1,453
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,551	7,284	1,393
1120 Appropriations transferred to other accts [013-0126]	-4	-4	-4
1120 Appropriations transferred to other acct [013-4512]			-208
1131 Unobligated balance of appropriations permanently reduced			-89
1160 Appropriation, discretionary (total)	3,547	7,280	1,092
1930 Total budgetary resources available	4,605	8,450	2,545
Memorandum (non-add) entries:			
1940 Unobligated balance expiring			-976
1941 Unexpired unobligated balance, end of year	1,170	1,364	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	437	1,558	2,755
3010 New obligations, unexpired accounts	3,435	7,086	1,569
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-2,288	-5,889	-1,879
3040 Recoveries of prior year unpaid obligations, unexpired	-21		-89
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	1,558	2,755	2,356
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	437	1,558	2,755
3200 Obligated balance, end of year	1,558	2,755	2,356

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,547	7,280	1,092
Outlays, gross:			
4010 Outlays from new discretionary authority	1,430	4,776	783
4011 Outlays from discretionary balances	858	1,113	1,096
4020 Outlays, gross (total)	2,288	5,889	1,879
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4070 Budget authority, net (discretionary)	3,547	7,280	1,092
4080 Outlays, net (discretionary)	2,287	5,889	1,879
4180 Budget authority, net (total)	3,547	7,280	1,092
4190 Outlays, net (total)	2,287	5,889	1,879

This appropriation funds legislatively mandated periodic economic and demographic censuses and other authorized activities. Major programs include the periodic economic programs (including the five-year economic census and census of governments), the American Community Survey, and the decennial census. In addition, other programs provide bureau-wide geographic information and data collection and dissemination systems.

Periodic Economic Programs.—The Periodic Economic Programs include the Economic Census and the Census of Governments. These programs are integral to the Bureau of Economic Analysis' estimates of gross domestic product, industry inputs and outputs, and the economic activities of more than 90,000 State and local governments. Together, these programs measure the structure and functioning of the U.S. economy. In 2021, the Census Bureau will finalize the last releases related to the 2017 Economic Census, while efforts will shift to planning, development, and monitoring activities related to the 2022 Economic Census and Census of Governments.

Decennial Census.—As 2020 ends, the 2020 Census will enter a final, critical phase. In 2021, the Census Bureau will release data for apportionment and redistricting. Additionally, several significant field operations will still be ongoing at the start of 2021. Coverage and quality operations, including the post-enumeration survey, will independently measure the

PERIODIC CENSUSES AND PROGRAMS—Continued

accuracy of the 2020 Census. Nearly 147 million housing unit responses will continue through processing, editing, disclosure avoidance, storage, and preparation for publishing. With a focus on data quality, security, and confidentiality, rigorous processes will ensure the 2020 Census data is secure and ready to be tabulated, aggregated, and presented to the public. After operations end, the massive coordination of people, IT, and infrastructure necessary to conduct the 2020 Census will begin the complex process of ramping down. Field and office staff will be released, Area Census Offices will be closed, and devices and equipment will be decommissioned. As the operational need for the 52 systems of the 2020 Census ends, they will transition to their post-2020 Census state.

Pursuant to the Consolidated Appropriations Act, 2020, Congress provided \$6.696 billion in 2020 to support the Decennial Census, including \$669 million dedicated towards Secretarial contingency needs that may arise during the Census operation such as major disasters or other unforeseen risks realized, and \$263 million in additional sensitivity risks to support additional pay increases and any reduction in self-response rates beyond the current projections of the Census Bureau. However, the Budget does not anticipate that these funds will be needed in 2020, and the schedules above reflect that. If these funds are needed due to an unexpected increase in costs, these funds will remain available to—and be used by—the Census Bureau to carry out its constitutional mandate.

The American Community Survey (ACS), part of the Decennial Census Program, provides current demographic, social, economic, and housing information about America's communities, from the largest cities to the smallest rural communities. The ACS, supported by a complete and accurate address system, has simplified the census design resulting in improvements in both coverage and data quality, while providing current data on detailed population, social, economic, and housing characteristics.

Geographic Support.—The Geographic Support program provides address lists and supports partnerships with all levels of government and geographic areas, geospatial data products, and associated processing systems needed to meet the geographic requirements of all Census Bureau programs, including the 2020 Census. In 2021, the Census Bureau will launch the Frames initiative, which will integrate full count data on persons, places, and the economy for streamlined use by all Census Bureau surveys, censuses, and official products.

Enterprise Data Collection & Dissemination Systems.—The objective of the program is to support major data collection, processing, and dissemination systems for the Census Bureau's censuses and surveys. In 2021, the Census Enterprise Data Collection and Processing program will transition to the Data Ingest and Collection for the Enterprise program to support data collection for Census Bureau censuses and surveys. The program will focus on minimizing redundancy associated with multiple data collection systems and platforms that support different modes of collection and ingestion, including commercial and administrative datasets. With the decommissioning of the American Fact Finder, the Center for Enterprise Dissemination Services and Consumer Innovation will deliver all data products for the 2020 Census data, the American Community Survey, the Economic Census, and other major programs. Additionally, the Census Bureau will expand efforts to provide disclosure protection, improve data analytics and accessibility by preserving historical information and metadata about censuses and surveys, and modernize data storage and data analysis capabilities across all of its directorates through the Enterprise Data Lake. The Census Bureau will establish an enterprise-wide capability to expand the use of administrative records to improve sample survey operations, data quality, and data products and continue to support the Administrative Records Clearinghouse in providing the Federal government with increased capacity to make data-driven decisions about critical programs and provide transparency about program effectiveness.

Object Classification (in millions of dollars)

Identification code 013-0450-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	417	362	391
11.3 Other than full-time permanent	178	2,194	111
11.5 Other personnel compensation	36	16	13
11.9 Total personnel compensation	631	2,572	515
12.1 Civilian personnel benefits	169	446	179
13.0 Benefits for former personnel		3	1
21.0 Travel and transportation of persons	68	340	28
22.0 Transportation of things	3	19	4
23.1 Rental payments to GSA	125	189	56
23.2 Rental payments to others	6	7	4
23.3 Communications, utilities, and miscellaneous charges	67	298	26
24.0 Printing and reproduction	141	39	5
25.1 Advisory and assistance services	1,070	2,058	376
25.2 Other services from non-Federal sources	598	555	110
25.3 Other goods and services from Federal sources	83	120	49
25.4 Operation and maintenance of facilities	21	23	7
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	170	169	144
25.8 Subsistence and support of persons	5	1	
26.0 Supplies and materials	23	64	2
31.0 Equipment	246	173	62
42.0 Insurance claims and indemnities	8	9	
99.9 Total new obligations, unexpired accounts	3,435	7,086	1,569

Employment Summary

Identification code 013-0450-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	9,457	59,058	5,861

CENSUS WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 013-4512-0-4-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0810 Economic programs	46	47	57
0811 Demographic programs	266	259	297
0812 Decennial programs & special censuses	1		1
0813 Other programs	12	15	16
0819 Reimbursable program activities, subtotal	325	321	371
0820 Management, administration, & IT infrastructure	461	519	529
0821 IT Modernization & Facilities Infrastructure Improvement			208
0828 Cost collection	78	85	78
0829 Reimbursable program activities, subtotal	539	604	815
0900 Total new obligations, unexpired accounts	864	925	1,186
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	287	304	245
1021 Recoveries of prior year unpaid obligations	17	25	25
1033 Recoveries of prior year paid obligations	7		
1050 Unobligated balance (total)	311	329	270
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [013-0450]			208
Spending authority from offsetting collections, discretionary:			
1700 Collected	859	841	841
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)	857	841	841
1900 Budget authority (total)	857	841	1,049
1930 Total budgetary resources available	1,168	1,170	1,319
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	304	245	133
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	178	202	195
3010 New obligations, unexpired accounts	864	925	1,186
3020 Outlays (gross)	-823	-907	-1,028
3040 Recoveries of prior year unpaid obligations, unexpired	-17	-25	-25

3050	Unpaid obligations, end of year	202	195	328
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-61	-59	-59
3070	Change in uncollected pymts, Fed sources, unexpired	2		
3090	Uncollected pymts, Fed sources, end of year	-59	-59	-59
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	117	143	136
3200	Obligated balance, end of year	143	136	269

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	857	841	1,049
	Outlays, gross:			
4010	Outlays from new discretionary authority		757	944
4011	Outlays from discretionary balances	823	150	84
4020	Outlays, gross (total)	823	907	1,028
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-848	-835	-834
4033	Non-Federal sources	-18	-6	-7
4040	Offsets against gross budget authority and outlays (total)	-866	-841	-841
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	2		
4053	Recoveries of prior year paid obligations, unexpired accounts	7		
4060	Additional offsets against budget authority only (total)	9		
4070	Budget authority, net (discretionary)			208
4080	Outlays, net (discretionary)	-43	66	187
4180	Budget authority, net (total)			208
4190	Outlays, net (total)	-43	66	187

The Working Capital Fund finances, on a reimbursable basis, functions within the Census Bureau that are more efficiently and economically performed on a centralized basis. The Fund also finances reimbursable work that the Census Bureau performs for other public, including Federal, and private entities.

The Budget includes a proposal to transfer up to \$208 million in unobligated balances from prior year appropriations in the Periodic Censuses and Programs account to the Census Bureau's Working Capital Fund. These funds would be used to reconfigure the Census Bureau's headquarters at the Suitland Federal Center in order to accommodate the proposed relocation of the Bureau of Labor Statistics to the site, to support the proposed move of the Census Bureau's National Processing Center, and to replace generators that provide emergency power to the Census Bureau's Bowie Computing Center. These balances would be transferred only to the extent that they are not needed for 2020 Census operations.

Object Classification (in millions of dollars)

Identification code 013-4512-0-4-376	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	275	268	315
11.3	Other than full-time permanent	85	81	76
11.5	Other personnel compensation	16	17	10
11.9	Total personnel compensation	376	366	401
12.1	Civilian personnel benefits	124	117	128
13.0	Benefits for former personnel		2	2
21.0	Travel and transportation of persons	29	28	36
22.0	Transportation of things	4	2	3
23.1	Rental payments to GSA	36	34	42
23.2	Rental payments to others	1	2	
23.3	Communications, utilities, and miscellaneous charges	22	16	10
24.0	Printing and reproduction	5	6	4
25.1	Advisory and assistance services	42	26	27
25.2	Other services from non-Federal sources	34	27	16
25.3	Other goods and services from Federal sources	53	115	105
25.4	Operation and maintenance of facilities	19	14	8
25.5	Research and development contracts	1	1	
25.7	Operation and maintenance of equipment	98	156	197
25.8	Subsistence and support of persons	2	3	2
26.0	Supplies and materials	4	4	2
31.0	Equipment	14	6	131
32.0	Land and structures			72
99.9	Total new obligations, unexpired accounts	864	925	1,186

Employment Summary

Identification code 013-4512-0-4-376	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	2,819	2,688	2,864

BUREAU OF ECONOMIC ANALYSIS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, as authorized by law, of economic and statistical analysis programs of the Department of Commerce, **[\$107,990,000]** \$111,855,000, to remain available until September 30, **[2021]** 2022. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-1500-0-1-376	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Bureau of Economic Analysis	104	108	112
0799	Total direct obligations	104	108	112
0801	Reimbursable	1	4	4
0900	Total new obligations, unexpired accounts	105	112	116

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	1	2
1021	Recoveries of prior year unpaid obligations	1	1	1
1050	Unobligated balance (total)	3	2	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	101	108	112
Spending authority from offsetting collections, discretionary:				
1700	Collected	2	4	4
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	3	4	4
1900	Budget authority (total)	104	112	116
1930	Total budgetary resources available	107	114	119
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	1	2	3

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	17	13	13
3010	New obligations, unexpired accounts	105	112	116
3020	Outlays (gross)	-107	-111	-116
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	13	13	12
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	14	10	10
3200	Obligated balance, end of year	10	10	9

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	104	112	116
Outlays, gross:				
4010	Outlays from new discretionary authority	92	99	103
4011	Outlays from discretionary balances	15	12	13
4020	Outlays, gross (total)	107	111	116
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-3	-4	-4
4040	Offsets against gross budget authority and outlays (total)	-3	-4	-4
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	101	108	112

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 013-1500-0-1-376	2019 actual	2020 est.	2021 est.
4080 Outlays, net (discretionary)	104	107	112
4180 Budget authority, net (total)	101	108	112
4190 Outlays, net (total)	104	107	112

Bureau of Economic Analysis (BEA).—BEA, a principal Federal statistical agency, promotes a better understanding of the U.S. economy by providing timely, relevant, and accurate economic data in an objective and cost-effective manner. BEA's national, industry, regional, and international economic statistics present crucial information on key issues such as U.S. economic growth, regional economic development, inter-industry relationships, and the Nation's position in the world economy. These key statistics provide a comprehensive picture of the U.S. economy and affect decisions related to interest and exchange rates, tax and budget projections, and business investment plans. The statistics are used by Federal, State, and local governments for budget development and projections and to support the allocation of over \$400 billion in Federal funds. The statistics are also used by the American public to follow and understand the performance of the Nation's economy. Some of the Bureau's widely used statistical measures include gross domestic product (GDP), personal income and outlays, corporate profits, balance of payments, GDP by state, GDP by industry, and county-level GDP. BEA also publishes sector specific statistics on areas such as outdoor recreation and arts and culture. Additionally, BEA and the Census Bureau will continue to build a Federal Data Service that supports research and increased partnership with third-party data providers to accelerate data innovation and solve complex data challenges. BEA's strategic vision is to remain the world's most respected producer of economic accounts.

Object Classification (in millions of dollars)

Identification code 013-1500-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	54	58	60
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	55	59	61
12.1 Civilian personnel benefits	18	18	19
23.1 Rental payments to GSA	4	5	5
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	8	9	10
25.3 Other goods and services from Federal sources	10	11	11
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	101	108	112
99.0 Reimbursable obligations	4	4	4
99.9 Total new obligations, unexpired accounts	105	112	116

Employment Summary

Identification code 013-1500-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	487	510	511
2001 Reimbursable civilian full-time equivalent employment	11	11	13

INTERNATIONAL TRADE ADMINISTRATION

Federal Funds

OPERATIONS AND ADMINISTRATION

For necessary expenses for international trade activities of the Department of Commerce provided for by law, to carry out activities associated with facilitating, attracting, and retaining business investment in the United States, and for engaging in trade promotional activities abroad, including expenses of grants and cooperative

agreements for the purpose of promoting exports of United States firms, without regard to sections 3702 and 3703 of title 44, United States Code; full medical coverage for dependent members of immediate families of employees stationed overseas and employees temporarily posted overseas; travel and transportation of employees of the International Trade Administration between two points abroad, without regard to section 40118 of title 49, United States Code; employment of citizens of the United States and aliens by contract for services; rental of space abroad for periods not exceeding 10 years, and expenses of alteration, repair, or improvement; purchase or construction of temporary demountable exhibition structures for use abroad; payment of tort claims, in the manner authorized in the first paragraph of section 2672 of title 28, United States Code, when such claims arise in foreign countries; not to exceed \$294,300 for official representation expenses abroad; purchase of passenger motor vehicles for official use abroad, not to exceed \$45,000 per vehicle; obtaining insurance on official motor vehicles; and rental of tie lines, **[\$521,250,000]** **\$485,407,000**, [of which \$70,000,000 shall] to remain available until September 30, **[2021]** 2022: *Provided*, That \$11,000,000 is to be derived from fees to be retained and used by the International Trade Administration, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That, of amounts provided under this heading, not less than \$16,400,000 shall be for China antidumping and countervailing duty enforcement and compliance activities: *Provided further*, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities; and that for the purpose of this Act, contributions under the provisions of the Mutual Educational and Cultural Exchange Act of 1961 shall include payment for assessments for services provided as part of these activities. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-1250-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Industry and Analysis	57	66	67
0007 Enforcement and Compliance	102	92	103
0008 Global Markets	334	338	280
0009 Executive Direction and Administration	24	24	24
0100 Total direct program	517	520	474
0799 Total direct obligations	517	520	474
0801 Operations and Administration (Reimbursable)	28	28	28
0900 Total new obligations, unexpired accounts	545	548	502
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	20	18
1011 Unobligated balance transfer from other acct [072-0306] ...	1		
1021 Recoveries of prior year unpaid obligations	15		
1033 Recoveries of prior year paid obligations	5		
1050 Unobligated balance (total)	48	20	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	484	510	474
Spending authority from offsetting collections, discretionary:			
1700 Collected	21	24	24
1701 Change in uncollected payments, Federal sources	13	12	
1750 Spending auth from offsetting collections, disc (total)	34	36	24
1900 Budget authority (total)	518	546	498
1930 Total budgetary resources available	566	566	516
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	20	18	14

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	83	111	156
3010 New obligations, unexpired accounts	545	548	502
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-494	-503	-502
3040 Recoveries of prior year unpaid obligations, unexpired	-15		
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	111	156	156
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-25	-37
3070 Change in uncollected pymts, Fed sources, unexpired	-13	-12	
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-25	-37	-37

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	70	86	119
3200	Obligated balance, end of year	86	119	119
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	518	546	498
Outlays, gross:				
4010	Outlays from new discretionary authority	388	444	403
4011	Outlays from discretionary balances	106	59	99
4020	Outlays, gross (total)	494	503	502
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-22	-16	-16
4033	Non-Federal sources	-6	-8	-8
4040	Offsets against gross budget authority and outlays (total)	-28	-24	-24
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-13	-12
4052	Offsetting collections credited to expired accounts	2
4053	Recoveries of prior year paid obligations, unexpired accounts	5
4060	Additional offsets against budget authority only (total)	-6	-12
4070	Budget authority, net (discretionary)	484	510	474
4080	Outlays, net (discretionary)	466	479	478
4180	Budget authority, net (total)	484	510	474
4190	Outlays, net (total)	466	479	478

The mission of the International Trade Administration (ITA) is to create prosperity by strengthening the international competitiveness of U.S. industry, promoting trade and investment, and ensuring fair trade and compliance with trade laws and agreements. ITA leads the Department's export and investment platform, working with several other bureaus both within and outside the Department to achieve this goal.

ITA, through its programs, services, and workforce, leverages its relationships with an understanding of industry and its domestic and overseas field presence to serve a range of customers and stakeholders. The organization consists of four business units that work together to achieve ITA's mission effectively and efficiently: (1) Industry and Analysis; (2) Enforcement and Compliance; (3) Global Markets; and (4) Executive Direction and Administration. The combination of industry sector, regional, and trade expertise, alongside export promotion, enforcement and compliance, and policy responsibilities, enables ITA to analyze customer issues and needs holistically, and support trade enforcement and export promotion efforts in key, growing markets abroad.

Object Classification (in millions of dollars)

Identification code 013-1250-0-1-376				
		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	162	176	166
11.3	Other than full-time permanent	26	32	31
11.5	Other personnel compensation	11	2	5
11.9	Total personnel compensation	199	210	202
12.1	Civilian personnel benefits	72	82	80
13.0	Benefits for former personnel	2	4	4
21.0	Travel and transportation of persons	13	12	10
22.0	Transportation of things	5	4	2
23.1	Rental payments to GSA	19	15	15
23.2	Rental payments to others	12	15	14
23.3	Communications, utilities, and miscellaneous charges	8	9	9
24.0	Printing and reproduction	3
25.1	Advisory and assistance services	29	24	11
25.2	Other services from non-Federal sources	18	20	18
25.3	Other goods and services from Federal sources	110	95	80
25.7	Operation and maintenance of equipment	9	13	13
26.0	Supplies and materials	3	2	2
31.0	Equipment	15	15	14
99.0	Direct obligations	517	520	474
99.0	Reimbursable obligations	28	28	28
99.9	Total new obligations, unexpired accounts	545	548	502

Employment Summary

Identification code 013-1250-0-1-376				
		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1,517	1,533	1,427
2001	Reimbursable civilian full-time equivalent employment	32	32	32

BUREAU OF INDUSTRY AND SECURITY

Federal Funds

OPERATIONS AND ADMINISTRATION

For necessary expenses for export administration and national security activities of the Department of Commerce, including costs associated with the performance of export administration field activities both domestically and abroad; full medical coverage for dependent members of immediate families of employees stationed overseas; employment of citizens of the United States and aliens by contract for services abroad; payment of tort claims, in the manner authorized in the first paragraph of section 2672 of title 28, United States Code, when such claims arise in foreign countries; not to exceed \$13,500 for official representation expenses abroad; awards of compensation to informers under the Export Control Reform Act of 2018 (subtitle B of title XVII of the John S. McCain National Defense Authorization Act for Fiscal Year 2019; Public Law 115-232; 132 Stat. 2208; 50 U.S.C. 4801 et seq.), and as authorized by section 1(b) of the Act of June 15, 1917 (40 Stat. 223; 22 U.S.C. 401(b)); and purchase of passenger motor vehicles for official use and motor vehicles for law enforcement use with special requirement vehicles eligible for purchase without regard to any price limitation otherwise established by law, **[\$127,652,000]** \$137,664,000, to remain available until expended: *Provided*, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities: *Provided further*, That payments and contributions collected and accepted for materials or services provided as part of such activities may be retained for use in covering the cost of such activities, and for providing information to the public with respect to the export administration and national security activities of the Department of Commerce and other export control programs of the United States and other governments. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0300-0-1-999				
		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Management and policy coordination	6	6	6
0002	Export administration	57	71	75
0003	Export enforcement	52	50	57
0091	Direct program activities, subtotal	115	127	138
0100	Total direct program	115	127	138
Credit program obligations:				
0715	Other - Operations (Single Year)	4
0799	Total direct obligations	119	127	138
0801	Operations and Administration (Reimbursable)	3	3	3
0900	Total new obligations, unexpired accounts	122	130	141
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4	3	6
1011	Unobligated balance transfer from other acct [013-0123]	2
1021	Recoveries of prior year unpaid obligations	1	3
1050	Unobligated balance (total)	7	6	6
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	118	128	138
Spending authority from offsetting collections, discretionary:				
1700	Collected	2	2	2
1701	Change in uncollected payments, Federal sources	-1
1750	Spending auth from offsetting collections, disc (total)	2	2	1
1900	Budget authority (total)	120	130	139
1930	Total budgetary resources available	127	136	145
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2
1941	Unexpired unobligated balance, end of year	3	6	4

OPERATIONS AND ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 013-0300-0-1-999	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	42	48	27
3010 New obligations, unexpired accounts	122	130	141
3020 Outlays (gross)	-115	-148	-137
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-3
3050 Unpaid obligations, end of year	48	27	31
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	1
3090 Uncollected pymts, Fed sources, end of year	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	41	47	26
3200 Obligated balance, end of year	47	26	31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	120	130	139
Outlays, gross:			
4010 Outlays from new discretionary authority	94	111	118
4011 Outlays from discretionary balances	21	37	19
4020 Outlays, gross (total)	115	148	137
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
4033 Non-Federal sources	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1
4070 Budget authority, net (discretionary)	118	128	138
4080 Outlays, net (discretionary)	113	146	135
4180 Budget authority, net (total)	118	128	138
4190 Outlays, net (total)	113	146	135

The Bureau of Industry and Security (BIS) advances U.S. national security, foreign policy, and economic objectives by administering and enforcing controls on the export of sensitive goods and technologies. BIS also enforces antiboycott laws, monitors the economic viability of the U.S. defense industry, and assists U.S. companies in complying with certain international arms agreements. The Budget enhances BIS's ability to strengthen, streamline, and manage the U.S. export control, while increasing BIS's capability to complete effective investigations and assessments that identify the impacts of imports of industry-specific products have on U.S. national security.

Object Classification (in millions of dollars)

Identification code 013-0300-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	43	50	55
11.5 Other personnel compensation	4	5	5
11.9 Total personnel compensation	47	55	60
12.1 Civilian personnel benefits	17	22	26
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	7	6	6
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.2 Other services from non-Federal sources	17	5	5
25.3 Other goods and services from Federal sources	25	33	35
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	2	2
99.0 Direct obligations	119	127	138
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	122	130	141

Employment Summary

Identification code 013-0300-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	349	463	479

2001 Reimbursable civilian full-time equivalent employment	1	5	5
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MINORITY BUSINESS DEVELOPMENT AGENCY
Federal Funds

MINORITY BUSINESS DEVELOPMENT

For necessary expenses of the Department of Commerce in fostering, promoting, and developing minority business enterprises, [including expenses of grants, contracts, and other agreements with public or private organizations, \$42,000,000, of which not more than \$15,500,000 shall be available for overhead expenses, including salaries and expenses, rent, utilities, and information technology services] \$10,281,000. (Department of Commerce Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 013-0201-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Business Development	40	42	10
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	40	42	10
1930 Total budgetary resources available	40	42	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	26	25
3010 New obligations, unexpired accounts	40	42	10
3020 Outlays (gross)	-37	-43	-27
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	26	25	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	23	25	24
3200 Obligated balance, end of year	25	24	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	40	42	10
Outlays, gross:			
4010 Outlays from new discretionary authority	18	21	5
4011 Outlays from discretionary balances	19	22	22
4020 Outlays, gross (total)	37	43	27
4180 Budget authority, net (total)	40	42	10
4190 Outlays, net (total)	37	43	27

The Budget proposes to reorient the Minority Business Development Agency (MBDA) and requests \$10 million to refocus the agency on policy, advocacy, research, and public-private partnerships. In 2021, the Office of Policy Analysis and Development will focus on minority business enterprise inclusion and development.

Object Classification (in millions of dollars)

Identification code 013-0201-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	3	3	1
25.3 Other goods and services from Federal sources	8	5	1
41.0 Grants, subsidies, and contributions	21	26
99.9 Total new obligations, unexpired accounts	40	42	10

Employment Summary

Identification code 013-0201-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	37	50	50

**NATIONAL OCEANIC AND ATMOSPHERIC
ADMINISTRATION**

Federal Funds

OPERATIONS, RESEARCH, AND FACILITIES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of activities authorized by law for the National Oceanic and Atmospheric Administration, including maintenance, operation, and hire of aircraft [and vessels; pilot programs for state-led fisheries management, notwithstanding any other provision of law]; grants, contracts, or other payments to nonprofit organizations for the purposes of conducting activities pursuant to cooperative agreements; and relocation of facilities, **[\$3,763,939,000]** \$3,165,124,000, to remain available until September 30, **[2021]** 2022: *Provided*, That fees and donations received by the National Ocean Service for the management of national marine sanctuaries may be retained and used for the salaries and expenses associated with those activities, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That in addition, **[\$174,774,000]** \$183,834,000 shall be derived by transfer from the fund entitled "Promote and Develop Fishery Products and Research Pertaining to American Fisheries", which shall only be used for [fishery activities related to the Saltonstall-Kennedy Grant Program; Fisheries Data Collections, Surveys and Assessments; and Interjurisdictional Fisheries Grants: *Provided further*, That not to exceed \$62,070,000 shall be for payment to the Department of Commerce Working Capital Fund] the *Fisheries Science and Management Program activities: Provided further*, That of the **[\$3,956,213,000]** \$3,376,458,000 provided for in direct obligations under this heading, **[\$3,763,939,000]** \$3,165,124,000 is appropriated from the general fund, **[\$174,774,000]** \$183,834,000 is provided by transfer, and **[\$17,500,000]** \$27,500,000 is derived from recoveries of prior year obligations [: *Provided further*, That any deviation from the amounts designated for specific activities in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any use of deobligated balances of funds provided under this heading in previous years, shall be subject to the procedures set forth in section 505 of this Act]: *Provided further*, That in addition, for necessary retired pay expenses under the Retired Serviceman's Family Protection and Survivor Benefits Plan, and for payments for the medical care of retired personnel and their dependents under the Dependents' Medical Care Act (10 U.S.C. ch. 55), such sums as may be necessary]: *Provided further*, That the Administrator of the National Oceanic and Atmospheric Administration submit to Congress a report on existing supercomputing capacity and needs of the Administration and on the incremental improvement to operational weather forecasts that would result from a significant investment in additional compute capacity]. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-1450-0-1-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Ocean Service	585	599	381
0002 National Marine Fisheries Service	879	948	842
0003 Oceanic and Atmospheric Research	517	548	327
0004 National Weather Service	1,017	1,066	1,035
0005 National Environmental Satellite Service	248	261	270
0007 Mission Support	230	290	283
0008 Office of Marine and Aviation Operations	262	244	238
0009 Retired pay for NOAA Corps Officers	29	30	30
0010 Spectrum Relocation Fund	2	1	18
0011 Spectrum acct SENSr	2	1
0012 Spectrum Pipeline	4	1
0013 Hurricane Supplemental	87
0100 Total direct program	3,862	3,989	3,424
0799 Total direct obligations	3,862	3,989	3,424
0801 National Ocean Service	18	24	24
0802 National Marine Fisheries Service	81	95	95
0803 Oceanic and Atmospheric Research	48	50	50
0804 National Weather Service	71	44	44
0805 National Environmental Satellite Service	41	15	15
0806 Program support	15

0807 OMAO	1	2	2
0808 Mission Support	12	12
0899 Total reimbursable obligations	275	242	242
0900 Total new obligations, unexpired accounts	4,137	4,231	3,666

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	373	453	451
1001 Discretionary unobligated balance brought fwd, Oct 1	325	295
1011 Unobligated balance transfer from other acct [013-1460]	6
1021 Recoveries of prior year unpaid obligations	42	18	28
1050 Unobligated balance (total)	421	471	479
Budget authority:			
Appropriations, discretionary:			
1100 Operations, research & facilities	3,718	3,764	3,165
1121 Appropriations transferred from other acct [013-5139]	158	175	184
1121 Appropriations transferred from other acct [013-1460]	2
1160 Appropriation, discretionary (total)	3,878	3,939	3,349
Appropriations, mandatory:			
1200 Appropriation	30	30	30
1221 Appropriations transferred from other acct [011-5512]	2
1260 Appropriations, mandatory (total)	32	30	30
Spending authority from offsetting collections, discretionary:			
1700 Collected	257	242	242
1701 Change in uncollected payments, Federal sources	12
1750 Spending auth from offsetting collections, disc (total)	269	242	242
1900 Budget authority (total)	4,179	4,211	3,621
1930 Total budgetary resources available	4,600	4,682	4,100
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10
1941 Unexpired unobligated balance, end of year	453	451	434

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,371	2,545	2,493
3010 New obligations, unexpired accounts	4,137	4,231	3,666
3011 Obligations ("upward adjustments"), expired accounts	4
3020 Outlays (gross)	-3,894	-4,265	-3,931
3040 Recoveries of prior year unpaid obligations, unexpired	-42	-18	-28
3041 Recoveries of prior year unpaid obligations, expired	-31
3050 Unpaid obligations, end of year	2,545	2,493	2,200
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-421	-433	-433
3070 Change in uncollected pymts, Fed sources, unexpired	-12
3090 Uncollected pymts, Fed sources, end of year	-433	-433	-433
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,950	2,112	2,060
3200 Obligated balance, end of year	2,112	2,060	1,767

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	4,147	4,181	3,591
Outlays, gross:			
4010 Outlays from new discretionary authority	2,280	2,287	1,963
4011 Outlays from discretionary balances	1,571	1,943	1,922
4020 Outlays, gross (total)	3,851	4,230	3,885
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-231	-208	-208
4033 Non-Federal sources	-37	-34	-34
4040 Offsets against gross budget authority and outlays (total) ...	-268	-242	-242
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-12
4052 Offsetting collections credited to expired accounts	11
4060 Additional offsets against budget authority only (total)	-1
4070 Budget authority, net (discretionary)	3,878	3,939	3,349
4080 Outlays, net (discretionary)	3,583	3,988	3,643
Mandatory:			
4090 Budget authority, gross	32	30	30
Outlays, gross:			
4100 Outlays from new mandatory authority	28	30	30
4101 Outlays from mandatory balances	15	5	16
4110 Outlays, gross (total)	43	35	46
4180 Budget authority, net (total)	3,910	3,969	3,379
4190 Outlays, net (total)	3,626	4,023	3,689

OPERATIONS, RESEARCH, AND FACILITIES—Continued

The mission of the National Oceanic and Atmospheric Administration (NOAA) is to understand and predict changes in the Earth's environment and to conserve and manage coastal and marine resources to meet our Nation's economic, social, and environmental needs.

NOAA executes programs and activities to achieve its mission through seven line activities:

National Ocean Service (NOS).—NOS programs work to promote safe navigation; assess and restore the health of coastal and marine resources; improve coastal communities' resilience to extreme weather events, climate hazards, and changing ocean conditions and uses; and conserve the coastal and ocean environment.

National Marine Fisheries Service (NMFS).—NMFS programs provide for the management and conservation of the Nation's living marine resources including fish stocks, marine mammals, and endangered species and their habitats within the United States Exclusive Economic Zone (EEZ).

Office of Oceanic and Atmospheric Research (OAR).—OAR programs provide climate, weather, air chemistry, ocean and coastal research and technology with applications across NOAA's mission. To accomplish these goals, OAR supports a network of scientists in its Federal research laboratories, universities, and cooperative institutes and partnership programs.

National Weather Service (NWS).—NWS programs provide timely and accurate meteorological, hydrologic, and oceanographic warnings and forecasts to ensure the safety of the population, minimize property losses, and improve the economic productivity of the Nation.

National Environmental Satellite, Data, and Information Service (NESDIS).—NESDIS operates polar orbiting and geostationary satellites, and collects and archives global environmental data and information for distribution to private and public sector users.

Mission Support.—Mission Support provides management and administrative support for NOAA, including acquisition and grant administration, budget, accounting functions, and human resources.

Office of Marine and Aviation Operations (OMAO).—OMAO provides aircraft and marine data acquisition, fleet repair and maintenance, and operations that provide technical and management support for NOAA-wide activities.

Object Classification (in millions of dollars)

Identification code 013-1450-0-1-306	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,109	1,203	1,154
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	64	66	60
11.7 Military personnel	35	37	38
11.9 Total personnel compensation	1,214	1,312	1,258
12.1 Civilian personnel benefits	388	440	452
12.2 Military personnel benefits	3	3	3
13.0 Benefits for former personnel	27	28	29
21.0 Travel and transportation of persons	46	47	45
22.0 Transportation of things	13	13	17
23.1 Rental payments to GSA	91	84	88
23.2 Rental payments to others	29	30	31
23.3 Communications, utilities, and miscellaneous charges	64	66	92
24.0 Printing and reproduction	4	4	2
25.1 Advisory and assistance services	249	233	203
25.2 Other services from non-Federal sources	614	601	579
25.3 Other goods and services from Federal sources	130	126	125
25.5 Research and development contracts	7	7	7
26.0 Supplies and materials	102	105	96
31.0 Equipment	39	40	38
41.0 Grants, subsidies, and contributions	842	850	359
99.0 Direct obligations	3,862	3,989	3,424
99.0 Reimbursable obligations	275	242	242
99.9 Total new obligations, unexpired accounts	4,137	4,231	3,666

Employment Summary

Identification code 013-1450-0-1-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10,331	10,951	10,546
1101 Direct military average strength employment	309	320	320
2001 Reimbursable civilian full-time equivalent employment	472	468	468

GULF COAST ECOSYSTEM RESTORATION SCIENCE, OBSERVATION, MONITORING, AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 013-1455-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Gulf Coast Restoration	8	7	7
0900 Total new obligations, unexpired accounts (object class 41.0)	8	7	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	8	6	7
1801 Change in uncollected payments, Federal sources	-2
1850 Spending auth from offsetting collections, mand (total)	6	6	7
1930 Total budgetary resources available	9	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	9	9
3010 New obligations, unexpired accounts	8	7	7
3020 Outlays (gross)	-6	-7	-7
3050 Unpaid obligations, end of year	9	9	9
Uncollected payments:			
3060 Uncollected payments, Fed sources, brought forward, Oct 1	-2
3070 Change in uncollected pymts, Fed sources, unexpired	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	9	9
3200 Obligated balance, end of year	9	9	9
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	6	6	7
Outlays, gross:			
4100 Outlays from new mandatory authority	1	2	2
4101 Outlays from mandatory balances	5	5	5
4110 Outlays, gross (total)	6	7	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-8	-6	-7
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	2
4170 Outlays, net (mandatory)	-2	1
4180 Budget authority, net (total)
4190 Outlays, net (total)	-2	1

The Gulf Coast Ecosystem Restoration Science, Observation, Monitoring and Technology Fund provides funding for the NOAA RESTORE Act Science Program. The purpose of this program is to initiate and sustain an integrative, holistic understanding of the Gulf of Mexico ecosystem and support, to the maximum extent practicable, restoration efforts and the long-term sustainability of the ecosystem, including its fish stocks, fishing industries, habitat, and wildlife through ecosystem research, observation, monitoring, and technology development. To ensure the best use of resources the Program will coordinate with existing federal and state science and technology programs, including other activities funded under the RESTORE Act. Section 1604 of the RESTORE Act authorized funding for the Program by providing 2.5 percent of the funds made available through the Gulf Coast Restoration Trust Fund.

Employment Summary

Identification code 013-1455-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1	1

PROCUREMENT, ACQUISITION AND CONSTRUCTION

(INCLUDING TRANSFER OF FUNDS)

For procurement, acquisition and construction of capital assets, including alteration and modification costs, of the National Oceanic and Atmospheric Administration, **[\$1,530,890,000] \$1,466,669,000**, to remain available until September 30, **[2022] 2023**, except that funds provided for acquisition and construction of vessels and construction of facilities shall remain available until expended: *Provided*, That of the **[\$1,543,890,000] \$1,479,669,000** provided for in direct obligations under this heading, **[\$1,530,890,000] \$1,466,669,000** is appropriated from the general fund and \$13,000,000 is provided from recoveries of prior year obligations: *Provided further*, That any deviation from the amounts designated for specific activities in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any use of deobligated balances of funds provided under this heading in previous years, shall be subject to the procedures set forth in section 505 of this Act: *Provided further*, That the Secretary of Commerce shall include in budget justification materials that the Secretary submits to Congress in support of the Department of Commerce budget (as submitted with the budget of the President under section 1105(a) of title 31, United States Code) an estimate for each National Oceanic and Atmospheric Administration procurement, acquisition or construction project having a total of more than \$5,000,000 and simultaneously the budget justification shall include an estimate of the budgetary requirements for each such project for each of the 5 subsequent fiscal years: *Provided further*, That, within the amounts appropriated, \$1,302,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to satellite procurement, acquisition and construction. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-1460-0-1-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Ocean Service	4	8
0003 Office of Oceanic and Atmospheric Research	41	42	26
0004 National Weather Service	150	103	83
0005 National Environmental Satellite Service	1,447	1,252	1,234
0007 Spectrum Relocation Fund	37	23	22
0008 Mission Support	12	40	41
0009 Office of Marine and Aviation Operations	216	98	94
0010 Hurricane Supplemental	42
0900 Total new obligations, unexpired accounts	1,949	1,566	1,500
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	490	344	321
1001 Discretionary unobligated balance brought fwd, Oct 1	378	268
1010 Unobligated balance transfer to other accts [013-1450]	-6
1020 Adjustment of unobligated bal brought forward, Oct 1	10
1021 Recoveries of prior year unpaid obligations	21	13	13
1050 Unobligated balance (total)	515	357	334
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,780	1,531	1,467
1120 Appropriations transferred to other accts [013-1450]	-2
1120 Appropriations transferred to other accts [013-0126]	-1	-1	-1
1160 Appropriation, discretionary (total)	1,777	1,530	1,466
Spending authority from offsetting collections, discretionary:			
1700 Collected	1
1900 Budget authority (total)	1,778	1,530	1,466
1930 Total budgetary resources available	2,293	1,887	1,800
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	344	321	300

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,259	2,633	2,017
3010 New obligations, unexpired accounts	1,949	1,566	1,500
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-1,544	-2,169	-1,725

3040 Recoveries of prior year unpaid obligations, unexpired	-21	-13	-13
3041 Recoveries of prior year unpaid obligations, expired	-11
3050 Unpaid obligations, end of year	2,633	2,017	1,779
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,259	2,633	2,017
3200 Obligated balance, end of year	2,633	2,017	1,779

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,778	1,530	1,466
Outlays, gross:			
4010 Outlays from new discretionary authority	311	524	499
4011 Outlays from discretionary balances	1,175	1,619	1,204
4020 Outlays, gross (total)	1,486	2,143	1,703
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1
4033 Non-Federal sources	-2
4040 Offsets against gross budget authority and outlays (total)	-3
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2
4060 Additional offsets against budget authority only (total)	2
4070 Budget authority, net (discretionary)	1,777	1,530	1,466
4080 Outlays, net (discretionary)	1,483	2,143	1,703
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	58	26	22
4180 Budget authority, net (total)	1,777	1,530	1,466
4190 Outlays, net (total)	1,541	2,169	1,725

This account funds capital acquisition, construction, and fleet and aircraft replacement projects that support NOAA's operational mission across all line offices. The Budget maintains continuity of major systems needed for weather forecasting and continues implementation of NOAA's fleet recapitalization plan.

Object Classification (in millions of dollars)

Identification code 013-1460-0-1-306	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	42	42
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	1
11.9 Total personnel compensation	50	44	44
12.1 Civilian personnel benefits	18	12	12
21.0 Travel and transportation of persons	3	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	7	6	5
23.3 Communications, utilities, and miscellaneous charges	22	18	17
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	456	366	351
25.2 Other services from non-Federal sources	221	178	170
25.3 Other goods and services from Federal sources	779	624	596
25.5 Research and development contracts	18	14	14
26.0 Supplies and materials	11	9	8
31.0 Equipment	287	231	221
41.0 Grants, subsidies, and contributions	75	60	58
99.9 Total new obligations, unexpired accounts	1,949	1,566	1,500

Employment Summary

Identification code 013-1460-0-1-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	341	307	307
1101 Direct military average strength employment	5	4	4

LIMITED ACCESS SYSTEM ADMINISTRATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5284-0-2-306	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1

LIMITED ACCESS SYSTEM ADMINISTRATION FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 013-5284-0-2-306	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 Permit Title Registration Fees, Limited Access System Administration Fund	14	14	15
2000 Total: Balances and receipts	15	15	16
Appropriations:			
Current law:			
2101 Limited Access System Administration Fund	-14	-14	-15
2103 Limited Access System Administration Fund	-1	-1	-1
2132 Limited Access System Administration Fund	1	1
2199 Total current law appropriations	-14	-14	-16
2999 Total appropriations	-14	-14	-16
5099 Balance, end of year	1	1

Program and Financing (in millions of dollars)

Identification code 013-5284-0-2-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Limited Access System Administration Fund (Direct)	12	15	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	18	20	19
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	14	14	15
1203 Appropriation (Mandatory, Sequestration pop-up, Authorizing Committee)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1260 Appropriations, mandatory (total)	14	14	16
1930 Total budgetary resources available	32	34	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	19	21
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	10	11
3010 New obligations, unexpired accounts	12	15	14
3020 Outlays (gross)	-10	-14	-18
3050 Unpaid obligations, end of year	10	11	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	10	11
3200 Obligated balance, end of year	10	11	7

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	14	14	16
Outlays, gross:			
4100 Outlays from new mandatory authority	5	7	9
4101 Outlays from mandatory balances	5	7	9
4110 Outlays, gross (total)	10	14	18
4180 Budget authority, net (total)	14	14	16
4190 Outlays, net (total)	10	14	18

Under the authority of the Magnuson-Stevens Act Section 304(d)(2)(A), NMFS must collect a fee to recover the incremental costs of management, data collection, and enforcement of Limited Access Privilege (LAP) Programs. Funds collected under this authority are deposited into the Limited Access System Administrative Fund. Fees shall not exceed three percent of the ex-vessel value of fish harvested under any such program, and shall be collected at either the time of the landing, filing of a landing report, or sale of such fish during a fishing season or in the last quarter of the calendar year in which the fish is harvested. The Limited Access Administration Fund shall be available, without appropriation or fiscal year limitation, only for the purposes of administering the central registry system and administering and implementing the Magnuson-Stevens Act in the fishery in which the fees were collected. Sums in the fund that are not currently needed for these purposes shall be kept on deposit or invested in obligations

of, or guaranteed by the U.S. Also, in establishing a LAP program, a Regional Council can consider, and may provide, if appropriate, an auction system or other program to collect royalties for the initial or any subsequent distribution of allocations. If an auction system is developed, revenues from these royalties are deposited in the Limited Access System Administration Fund.

Object Classification (in millions of dollars)

Identification code 013-5284-0-2-306	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	4	4
11.9 Total personnel compensation	3	4	4
12.1 Civilian personnel benefits	1	2	2
21.0 Travel and transportation of persons	1
25.2 Other services from non-Federal sources	5	6	5
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	12	14	13
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	12	15	14

Employment Summary

Identification code 013-5284-0-2-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	25	40	40

PACIFIC COASTAL SALMON RECOVERY

For necessary expenses associated with the restoration of Pacific salmon populations, \$65,000,000, to remain available until September 30, 2021: *Provided*, That, of the funds provided herein, the Secretary of Commerce may issue grants to the States of Washington, Oregon, Idaho, Nevada, California, and Alaska, and to the Federally recognized tribes of the Columbia River and Pacific Coast (including Alaska), for projects necessary for conservation of salmon and steelhead populations that are listed as threatened or endangered, or that are identified by a State as at-risk to be so listed, for maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing, or for conservation of Pacific coastal salmon and steelhead habitat, based on guidelines to be developed by the Secretary of Commerce: *Provided further*, That all funds shall be allocated based on scientific and other merit principles and shall not be available for marketing activities: *Provided further*, That funds disbursed to States shall be subject to a matching requirement of funds or documented in-kind contributions of at least 33 percent of the Federal funds. (Department of Commerce Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 013-1451-0-1-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0008 Grants to States and Tribes	65	65
0900 Total new obligations, unexpired accounts (object class 41.0)	65	65
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	65	65
1930 Total budgetary resources available	65	65
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	197	197	175
3010 New obligations, unexpired accounts	65	65
3020 Outlays (gross)	-65	-87	-76
3050 Unpaid obligations, end of year	197	175	99
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	197	197	175
3200 Obligated balance, end of year	197	175	99
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	65	65

Outlays, gross:			
4010	Outlays from new discretionary authority	16	76
4011	Outlays from discretionary balances	65	71
			76
4020	Outlays, gross (total)	65	87
4180	Budget authority, net (total)	65	65
4190	Outlays, net (total)	65	87
			76

The Pacific Coastal Salmon Recovery Fund account was established in 2000 to augment State, tribal, and local programs to conserve and restore sustainable Pacific salmon populations and their habitats. Through 2019, over \$1.4 billion has been provided to the States of California, Oregon, Washington, Alaska, and Idaho and to the Pacific Coastal and Columbia River Tribes to conserve salmon. The Budget does not provide funding for this program, but provides continued support for the science and technical assistance programs necessary to inform salmon recovery.

Employment Summary

Identification code 013-1451-0-1-306			
	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1	2

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NOAA

Program and Financing (in millions of dollars)

Identification code 013-1465-0-1-306			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Medicare-eligible Retiree Health Fund Contribution, NOAA (Direct)	1	1
			2
0900	Total new obligations, unexpired accounts (object class 25.3)	1	1
			2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	1	1
			2
1930	Total budgetary resources available	1	1
			2
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1
			2
3020	Outlays (gross)	-1	-1
			-2
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1	1
			2
Outlays, gross:			
4010	Outlays from new discretionary authority	1	1
			2
4180	Budget authority, net (total)	1	1
			2
4190	Outlays, net (total)	1	1
			2

This account includes amounts necessary to finance the cost of Tricare retirement health care benefits accrued by the active duty members of the NOAA Commissioned Corps. The Ronald W. Reagan National Defense Authorization Act for 2005 (P.L. 108-375) provided permanent, indefinite appropriations to finance these costs for all uniformed service members. As these costs are borne in support of NOAA's mission, they are shown as part of the NOAA discretionary total. Total obligations on behalf of active NOAA Commissioned Corps personnel include both the wages and related amounts requested for appropriation and amounts paid from the permanent, indefinite authority.

FISHERIES ENFORCEMENT ASSET FORFEITURE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5583-0-2-376			
	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	1	1
			1

Receipts:			
Current law:			
1120	Fisheries Enforcement Asset Forfeiture Fund, Deposits (PDF Account)	5	4
			4
2000	Total: Balances and receipts	6	5
			5
Appropriations:			
Current law:			
2101	Fisheries Enforcement Asset Forfeiture Fund	-5	-4
			-4
5099	Balance, end of year	1	1
			1

Program and Financing (in millions of dollars)

Identification code 013-5583-0-2-376			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Fisheries Enforcement Asset Forfeiture Fund (Direct)	5	7
			8
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	16	16
			13
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	5	4
			4
1930	Total budgetary resources available	21	20
			17
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	16	13
			9
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	3
			2
3010	New obligations, unexpired accounts	5	7
			8
3020	Outlays (gross)	-4	-8
			-6
3050	Unpaid obligations, end of year	3	2
			4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	3
			2
3200	Obligated balance, end of year	3	2
			4
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	5	4
			4
Outlays, gross:			
4100	Outlays from new mandatory authority	3	3
			3
4101	Outlays from mandatory balances	1	5
			3
4110	Outlays, gross (total)	4	8
			6
4180	Budget authority, net (total)	5	4
			4
4190	Outlays, net (total)	4	8
			6

Section 311(e)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSA) authorizes the Secretary of Commerce (Secretary) to pay certain enforcement-related expenses from fines, penalties and forfeiture proceeds received for violations of the Magnuson-Stevens Act, or of any other marine resource law enforced by the Secretary. Pursuant to this authority, NOAA has established a Civil Monetary Penalty/Asset Forfeiture Fund (AFF) where proceeds are deposited. When Congress authorized the AFF it was deemed appropriate to use these proceeds to offset in part the costs of administering the enforcement program. Expenses funded through this source include: costs directly related to the storage, maintenance, and care of seized fish, vessels, or other property during a civil or criminal proceeding; expenditures related directly to specific investigations and enforcement proceedings such as travel for interviewing witnesses; enforcement-unique information technology infrastructure; and annual interagency agreement costs for the administration, adjudication process, including Administrative Law Judges.

Object Classification (in millions of dollars)

Identification code 013-5583-0-2-376			
	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons	2	2
			2
25.2	Other services from non-Federal sources		2
			3
25.3	Other goods and services from Federal sources	2	2
			2
99.0	Direct obligations	4	6
			7
99.5	Adjustment for rounding	1	1
			1

FISHERIES ENFORCEMENT ASSET FORFEITURE FUND—Continued
Object Classification—Continued

Identification code 013-5583-0-2-376	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	5	7	8

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5139-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	11	11	12
Receipts:			
Current law:			
1110 Access Fees, Western Pacific Sustainable Fisheries Fund	1	1	1
2000 Total: Balances and receipts	12	12	13
Appropriations:			
Current law:			
2101 Promote and Develop Fishery Products and Research Pertaining to American Fisheries	-1	-1	-1
2103 Promote and Develop Fishery Products and Research Pertaining to American Fisheries	-10	-10	-11
2132 Promote and Develop Fishery Products and Research Pertaining to American Fisheries	10	11
2199 Total current law appropriations	-1	-12
2999 Total appropriations	-1	-12
5099 Balance, end of year	11	12	1

Program and Financing (in millions of dollars)

Identification code 013-5139-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promote and Develop Fishery Products and Research	2	8
0002 Western Pacific Sustainability Fisheries Fund	1	1
0900 Total new obligations, unexpired accounts	2	9	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts (013-1450)	-184
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1203 Appropriation (Sequestration pop-up, Authorizing Committee)	10	10	11
1220 Appropriations transferred to other accts (013-1450)	-158	-175
1221 Appropriations transferred from other acct (012-5209)	158	184	184
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-10	-11
1260 Appropriations, mandatory (total)	1	9	196
1900 Budget authority (total)	1	9	12
1930 Total budgetary resources available	3	10	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	12
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	18	17
3010 New obligations, unexpired accounts	2	9	1
3020 Outlays (gross)	-13	-10	-15
3050 Unpaid obligations, end of year	18	17	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	18	17
3200 Obligated balance, end of year	18	17	3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	-184
Outlays, gross:			
4010 Outlays from new discretionary authority	-184
Mandatory:			
4090 Budget authority, gross	1	9	196

Outlays, gross:			
4100 Outlays from new mandatory authority	184
4101 Outlays from mandatory balances	13	10	15
4110 Outlays, gross (total)	13	10	199
4180 Budget authority, net (total)	1	9	12
4190 Outlays, net (total)	13	10	15

An amount equal to 30 percent of the gross receipts from customs duties on imported fishery products is transferred to the Department of Commerce annually from the Department of Agriculture. NOAA transfers these funds to offset the appropriation requirements for fisheries research and management in the Operations, Research, and Facilities account.

Object Classification (in millions of dollars)

Identification code 013-5139-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1
41.0 Grants, subsidies, and contributions	2	8	1
99.0 Direct obligations	2	9	1
99.9 Total new obligations, unexpired accounts	2	9	1

Employment Summary

Identification code 013-5139-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO AMERICAN FISHERIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 013-5139-4-2-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	184
1221 Appropriations transferred from other acct (012-5209)	-184
4180 Budget authority, net (total)
4190 Outlays, net (total)

The complex process of transferring customs duties receipts from the Department of Agriculture (USDA) to the Department of Commerce (DOC) to partially fund fishery activities is neither transparent to the public nor consistent with general federal budgeting practices. In the place of customs receipts and to increase transparency, the Budget proposes to directly appropriate funding to DOC. These funds will be provided to DOC without further appropriation and are available for the same purposes as previous receipt-funded activities. Within DOC, an initial \$184 million will be provided to the Promote and Develop program in 2021, equal to the level of funding that would otherwise have been provided by USDA, and adjusted annually in future years. The Administration will formalize these changes through a legislative proposal to be transmitted at a later date. This request is part of a broader reform proposed for USDA's Section 32 program.

FISHERMEN'S CONTINGENCY FUND

For carrying out the provisions of title IV of Public Law 95-372, not to exceed \$349,000, to be derived from receipts collected pursuant to that Act, to remain available until expended. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-5120-0-2-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	2
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

The Fishermen's Contingency Fund is authorized under Section 402 of Title IV of the Outer Continental Shelf Lands Act Amendments of 1978. NOAA compensates U.S. commercial fishermen for damage or loss of fishing gear, vessels, and resulting economic loss caused by obstructions related to oil and gas exploration, development, and production in any area of the Outer Continental Shelf. The funds used to provide this compensation are derived from fees collected by the Secretary of the Interior from the holders of leases, exploration permits, easements, or rights-of-way in areas of the Outer Continental Shelf. This activity is funded entirely through user fees. Disbursements can be made only to the extent authorized in appropriation acts.

FISHERIES DISASTER ASSISTANCE

For salaries and expenses associated with the administration of fishery disaster assistance, \$300,000, to remain available until September 30, 2022: Provided, That funds shall be used for administering the fishery disaster programs authorized by the Magnuson-Stevens Fishery Conservation and Management Act and the Interjurisdictional Fisheries Act of 1986.

Program and Financing (in millions of dollars)

Identification code 013-2055-0-1-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Declared Fishery Disaster - (State TBD)	186	199	
0900	Total new obligations, unexpired accounts (object class 41.0)	186	199	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	220	199	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	165		
1930	Total budgetary resources available	385	199	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	199		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	9	156	230
3010	New obligations, unexpired accounts	186	199	
3020	Outlays (gross)	-39	-125	-125
3050	Unpaid obligations, end of year	156	230	105
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	9	156	230
3200	Obligated balance, end of year	156	230	105
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	165		
Outlays, gross:				
4011	Outlays from discretionary balances	39	125	125
4180	Budget authority, net (total)	165		
4190	Outlays, net (total)	39	125	125

Fishery disaster assistance is administered by NOAA's National Marine Fisheries Service within the Department of Commerce. Two statutes, the Magnuson-Stevens Fishery Conservation and Management Act and the Interjurisdictional Fisheries Act, provide the authority for fishery disaster assistance. Under both statutes, a request for a fishery disaster determination is generally made by the Governor of a State, or an elected leader of a fishing community, although the Secretary of Commerce may also initiate a review at his or her own discretion. The Secretary determines whether the circumstances are consistent with relevant statutes and warrant a fishery disaster determination. If the Secretary determines that a fishery disaster has occurred, Congress may appropriate funds for disaster assistance, which are administered by the Secretary.

NOAA intends to revise its procedures to provide greater clarity and improve consistency with respect to the process of requesting a fishery disaster declaration. These changes will accelerate the timeline for making disaster determinations and establish guidelines for administering awards. The issues to be considered include, but are not limited to, deadlines, incentives for recipients to carry insurance, required documentation of loss, cost sharing by states, other available financial assistance, eligible uses, and prioritization of the long term sustainability of the affected fishery. The changes under consideration will accelerate the Department's responsiveness to fishery disaster requests, help get appropriated funds distributed to affected communities in a more timely manner, and contribute to the long term environmental and economic sustainability of the fishery.

NORTH PACIFIC FISHERY OBSERVER FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5598-0-2-306	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			
Receipts:				
Current law:				
1110	Fees, North Pacific Fishery Observer Fund	3	4	4
2000	Total: Balances and receipts	3	4	4
Appropriations:				
Current law:				
2101	North Pacific Fishery Observer Fund	-3	-4	-4
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 013-5598-0-2-306	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	North Pacific Fishery Observer Fund	3	6	4
0900	Total new obligations, unexpired accounts (object class 25.2)	3	6	4
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	2	
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	3	4	4
1930	Total budgetary resources available	5	6	4
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	2	5
3010	New obligations, unexpired accounts	3	6	4
3020	Outlays (gross)	-4	-3	-2
3050	Unpaid obligations, end of year	2	5	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	2	5
3200	Obligated balance, end of year	2	5	7
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	3	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority	1		
4101	Outlays from mandatory balances	3	3	2
4110	Outlays, gross (total)	4	3	2
4180	Budget authority, net (total)	3	4	4
4190	Outlays, net (total)	4	3	2

In 2013, the North Pacific Observer Fund was established to support the restructured North Pacific Groundfish Observer Program (NPGOP). The observer program places all vessels and processors in the groundfish and halibut fisheries off Alaska into one of two observer coverage categories: (1) a full coverage category, and (2) a partial coverage category. Vessels and processors in the full coverage category (100% observer coverage)

NORTH PACIFIC FISHERY OBSERVER FUND—Continued

will obtain observers by contracting directly with observer providers. Vessels and processors in the partial coverage category (less than 100% observer coverage) will no longer contract independently with an observer provider, and will be required to carry an observer when they are selected through the Observer Declare and Deploy System (ODDS). Additionally, landings from all vessels in the partial coverage category will be assessed a 1.25 percent fee on standard ex-vessel prices of the landed catch weight of groundfish and halibut to be deposited in the North Pacific Observer Fund. The fee percentage is set in regulation and will be reviewed periodically by the North Pacific Fishery Management Council. The money generated by this fee will be used to pay for observer coverage on the vessels and processors in the partial coverage category in the following year.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-5362-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			7
Receipts:			
Current law:			
1140 Interest Earned, Environmental Improvement and Restoration Fund		7	5
2000 Total: Balances and receipts		7	12
5099 Balance, end of year		7	12

Program and Financing (in millions of dollars)

Identification code 013-5362-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 North Pacific Research Board	7	7	4
0900 Total new obligations, unexpired accounts (object class 41.0)	7	7	4
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	7	5
1930 Total budgetary resources available	7	7	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	24	21
3010 New obligations, unexpired accounts	7	7	4
3020 Outlays (gross)	-8	-10	-9
3050 Unpaid obligations, end of year	24	21	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	24	21
3200 Obligated balance, end of year	24	21	16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	7	5
Outlays, gross:			
4100 Outlays from new mandatory authority		1	
4101 Outlays from mandatory balances	8	9	9
4110 Outlays, gross (total)	8	10	9
4180 Budget authority, net (total)	7	7	5
4190 Outlays, net (total)	8	10	9

This fund was established by Title IV of P.L. 105-83. 20 percent of the interest earned from this fund is made available to the Department of Commerce. Funds are to be used by Federal, State, private or foreign organizations or individuals to conduct research activities on or relating to the fisheries or marine ecosystems in the North Pacific Ocean, Bering Sea, and Arctic Ocean. Research priorities and grant requests are reviewed and approved by the North Pacific Research Board with emphasis placed on

cooperative research efforts designed to address pressing fishery management or marine ecosystem information needs.

COASTAL ZONE MANAGEMENT FUND

Status of Direct Loans (in millions of dollars)

Identification code 013-4313-0-3-306	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	18	18	18
1290 Outstanding, end of year	18	18	18

This fund consists of loan repayments from the former Coastal Energy Impact Program. The Department of Commerce Appropriations Act, 2012, cancelled all balances in the Coastal Zone Management Fund, made future payments to the Fund subject to the Federal Credit Reform Act of 1990, and eliminated the annual transfer from this account to the Operations, Research, and Facilities account. The display below includes reporting information consistent with all other credit liquidating accounts.

Balance Sheet (in millions of dollars)

Identification code 013-4313-0-3-306	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	18	18
1602 Interest receivable	5	4
1603 Allowance for estimated uncollectible loans and interest (-)	-19	-19
1699 Value of assets related to direct loans	4	3
1999 Total assets	4	3
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury		
NET POSITION:		
3300 Cumulative results of operations	4	3
4999 Total liabilities and net position	4	3

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 013-4316-0-3-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Damage Assessment and Restoration Revolving Fund (Reimbursable)	32	249	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	138	137	133
1011 Unobligated balance transfer from other acct [014-1618]	16	20	20
1021 Recoveries of prior year unpaid obligations	3	20	20
1050 Unobligated balance (total)	157	177	173
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-1618]	3	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected	9	199	10
1900 Budget authority (total)	12	205	16
1930 Total budgetary resources available	169	382	189
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	137	133	129
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	33	37	107
3010 New obligations, unexpired accounts	32	249	60
3020 Outlays (gross)	-25	-159	-141
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-20	-20
3050 Unpaid obligations, end of year	37	107	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	33	37	107
3200 Obligated balance, end of year	37	107	6

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	12	205	16
Outlays, gross:				
4100	Outlays from new mandatory authority	9	103	8
4101	Outlays from mandatory balances	16	56	133
4110	Outlays, gross (total)	25	159	141
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-7	-149
4124	Offsetting governmental collections	-2	-50	-10
4130	Offsets against gross budget authority and outlays (total)	-9	-199	-10
4160	Budget authority, net (mandatory)	3	6	6
4170	Outlays, net (mandatory)	16	-40	131
4180	Budget authority, net (total)	3	6	6
4190	Outlays, net (total)	16	-40	131

The Damage Assessment and Restoration Revolving Fund is authorized under Section 1012(a) of the Oil Pollution Act of 1990, for the deposit of sums provided by any party or governmental entity to respond to the environmental effects of discharges of oil and other hazardous substances. Through the Revolving Fund, NOAA retains funds that are recovered through settlement or awarded by a court for the assessment and restoration of injured natural resources. NOAA also ensures deposited funds shall remain available to the trustee, without further appropriation, until expended to pay costs associated with the response, damage assessment, and restoration of natural resources.

These program functions are conducted jointly within NOAA by the Office of General Counsel, the National Ocean Service, and the National Marine Fisheries Service.

Object Classification (in millions of dollars)				
Identification code 013-4316-0-3-306	2019 actual	2020 est.	2021 est.	
11.1	Reimbursable obligations: Personnel compensation: Full-time permanent	4	4	4
11.9	Total personnel compensation	4	4	4
12.1	Civilian personnel benefits	1	1	1
21.0	Travel and transportation of persons	1	1	1
25.1	Advisory and assistance services	2	4	2
25.2	Other services from non-Federal sources	18	217	42
41.0	Grants, subsidies, and contributions	6	22	10
99.9	Total new obligations, unexpired accounts	32	249	60

Employment Summary				
Identification code 013-4316-0-3-306	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	30	30	30

FISHERIES FINANCE PROGRAM ACCOUNT

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year [2020] 2021, obligations of direct loans may not exceed \$24,000,000 for Individual Fishing Quota loans and not to exceed \$100,000,000 for traditional direct loans as authorized by the Merchant Marine Act of 1936. (Department of Commerce Appropriations Act, 2020.)

Program and Financing (in millions of dollars)				
Identification code 013-1456-0-1-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0701	Direct loan subsidy	2
0705	Reestimates of direct loan subsidy	5	4
0706	Interest on reestimates of direct loan subsidy	3	1
0791	Direct program activities, subtotal	8	7
0900	Total new obligations, unexpired accounts (object class 41.0)	8	7

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	3	1
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	8	5
1930	Total budgetary resources available	11	8	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	1	1

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	8	7
3020	Outlays (gross)	-8	-7

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	8	5
Outlays, gross:				
4100	Outlays from new mandatory authority	8	5
4101	Outlays from mandatory balances	2
4110	Outlays, gross (total)	8	7
4180	Budget authority, net (total)	8	5
4190	Outlays, net (total)	8	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 013-1456-0-1-376	2019 actual	2020 est.	2021 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Individual Fishing Quota Loans	2	24	24
115002	Traditional Direct Loans	17	100	100
115013	Community Development Quota	197
115999	Total direct loan levels	19	321	124
Direct loan subsidy (in percent):				
132001	Individual Fishing Quota Loans	-11.81	-10.80	-13.17
132002	Traditional Direct Loans	-8.71	-7.92	-8.81
132013	Community Development Quota	1.00
132999	Weighted average subsidy rate	-9.04	-2.66	-9.65
Direct loan subsidy budget authority:				
133001	Individual Fishing Quota Loans	-3	-3
133002	Traditional Direct Loans	-2	-8	-8
133013	Community Development Quota	2
133999	Total subsidy budget authority	-2	-9	-11
Direct loan subsidy outlays:				
134001	Individual Fishing Quota Loans	-1	-1
134002	Traditional Direct Loans	-1	-3	-6
134013	Community Development Quota	2
134999	Total subsidy outlays	-1	-2	-7
Direct loan reestimates:				
135001	Individual Fishing Quota Loans	-1
135002	Traditional Direct Loans	-1
135008	Crab Buyback loans	-3	1
135011	Seine Buyback	-1
135999	Total direct loan reestimates	-4	-1

The Fisheries Finance Program (FFP) is a national loan program that makes long-term fixed-rate financing available to U.S. citizens who otherwise qualify for financing or refinancing of the reconstruction, reconditioning, and, in some cases, the purchasing of fishing vessels, shoreside processing, aquaculture, and mariculture facilities. The FFP also provides fishery-wide financing to ease the transition to sustainable fisheries through its fishing capacity reduction programs and provides financial assistance in the form of loans to fishermen who fish from small vessels and entry-level fishermen to promote stability and reduce consolidation in already rationalized fisheries. Additionally, FFP can provide loans for fisheries investments of Native American Community Development Quota groups.

The FFP operates under the authority of Title XI of the Merchant Marine Act of 1936, as amended; Section 303(a) of the Sustainable Fisheries Act amendments to the Magnuson-Stevens Act; and, from time to time FFP-specific legislation. The overriding guideline for all FFP financings is that they cannot contribute or be construed to contribute to an increase in existing fish harvesting.

FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 013-4324-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	19	321	124
0713 Payment of interest to Treasury	15	16	16
0740 Negative subsidy obligations	2	11	12
0742 Downward reestimates paid to receipt accounts	10	4
0743 Interest on downward reestimates	2	1
0900 Total new obligations, unexpired accounts	48	353	152
Budgetary resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	2	4	4
1024 Unobligated balance of borrowing authority withdrawn	-2	-4	-4
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	29	340	146
Spending authority from offsetting collections, mandatory:			
1800 Collected	106	92	85
1825 Spending authority from offsetting collections applied to repay debt	-87	-79	-79
1850 Spending auth from offsetting collections, mand (total)	19	13	6
1900 Budget authority (total)	48	353	152
1930 Total budgetary resources available	48	353	152
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	62	59	174
3010 New obligations, unexpired accounts	48	353	152
3020 Outlays (gross)	-49	-234	-88
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-4	-4
3050 Unpaid obligations, end of year	59	174	234
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	62	59	174
3200 Obligated balance, end of year	59	174	234
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	48	353	152
Financing disbursements:			
4110 Outlays, gross (total)	49	234	88
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account	-8	-7
4122 Interest on uninvested funds	-1	-2	-2
4123 Repayments of principal, net	-75	-63	-63
4123 Interest Received on loans	-22	-19	-19
4123 Other income	-1	-1
4130 Offsets against gross budget authority and outlays (total)	-106	-92	-85
4160 Budget authority, net (mandatory)	-58	261	67
4170 Outlays, net (mandatory)	-57	142	3
4180 Budget authority, net (total)	-58	261	67
4190 Outlays, net (total)	-57	142	3

Status of Direct Loans (in millions of dollars)

Identification code 013-4324-0-3-376	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	19	321	124
1150 Total direct loan obligations	19	321	124
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	412	357	528
1231 Disbursements: Direct loan disbursements	20	234	88
1251 Repayments: Repayments and prepayments	-75	-63	-63
1290 Outstanding, end of year	357	528	553

This account covers the financing of direct loans as authorized by the Magnuson-Stevens Fishery Conservation and Management Act to promote market-based approaches to sustainable fisheries management. Funds are not used for purposes that would contribute to the overcapitalization of the

fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 013-4324-0-3-376	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury
Investments in U.S. securities:		
1106 Federal Receivables, net	6	5
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	412	357
1402 Interest receivable	4	3
1404 Foreclosed property
1405 Allowance for subsidy cost (-)	40	36
1499 Net present value of assets related to direct loans	456	396
1999 Total assets	462	401
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable
2103 Federal liabilities, debt	453	395
2105 Other	9	6
2999 Total liabilities	462	401
NET POSITION:		
3300 Cumulative results of operations
4999 Total liabilities and net position	462	401

FEDERAL SHIP FINANCING FUND FISHING VESSELS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 013-4417-0-3-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1
1820 Capital transfer of spending authority from offsetting collections to general fund	-1
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1
4180 Budget authority, net (total)	-1
4190 Outlays, net (total)	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 013-4417-0-3-376	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year
2290 Outstanding, end of year
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	9	8	7
2351 Repayments of loans receivable	-1	-1
2390 Outstanding, end of year	8	7	7

Balance Sheet (in millions of dollars)

Identification code 013-4417-0-3-376	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross
1603 Allowance for estimated uncollectible loans and interest (-)
1699 Value of assets related to direct loans

1701	Defaulted guaranteed loans, gross	9	8
1703	Allowance for estimated uncollectible loans and interest (-)	-8	-8
1799	Value of assets related to loan guarantees	1	
1999	Total assets	1	
LIABILITIES:			
2104	Federal liabilities: Resources payable to Treasury	1	
4999	Total liabilities and net position	1	

U.S. PATENT AND TRADEMARK OFFICE

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the United States Patent and Trademark Office (USPTO) provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the USPTO, **[\$3,450,681,000]** \$3,695,295,000, to remain available until expended: *Provided*, That the sum herein appropriated from the general fund shall be reduced as offsetting collections of fees and surcharges assessed and collected by the USPTO under any law are received during fiscal year **[2020]** 2021, so as to result in a fiscal year **[2020]** 2021 appropriation from the general fund estimated at \$0: *Provided further*, That during fiscal year **[2020]** 2021, should the total amount of such offsetting collections be less than **[\$3,450,681,000]** \$3,695,295,000, this amount shall be reduced accordingly: *Provided further*, That any amount received in excess of **[\$3,450,681,000]** \$3,695,295,000 in fiscal year **[2020]** 2021 and deposited in the Patent and Trademark Fee Reserve Fund shall remain available until expended: *Provided further*, That the Director of USPTO shall submit a **[spending plan]** notification to reprogram funds to the Committees on Appropriations of the House of Representatives and the Senate for any amounts made available by the preceding proviso and such **[spending plan]** notification to reprogram funds shall be treated as a reprogramming under section **[505]** 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That any amounts reprogrammed in accordance with the preceding proviso shall be transferred to the United States Patent and Trademark Office "Salaries and Expenses" account: *Provided further*, That from amounts provided herein, not to exceed \$900 shall be made available in fiscal year **[2020]** 2021 for official reception and representation expenses: *Provided further*, That in fiscal year **[2020]** 2021 from the amounts made available for "Salaries and Expenses" for the USPTO, the amounts necessary to pay (1) the difference between the percentage of basic pay contributed by the USPTO and employees under section 8334(a) of title 5, United States Code, and the normal cost percentage (as defined by section 8331(17) of that title) as provided by the Office of Personnel Management (OPM) for USPTO's specific use, of basic pay, of employees subject to subchapter III of chapter 83 of that title, and (2) the present value of the otherwise unfunded accruing costs, as determined by OPM for USPTO's specific use of post-retirement life insurance and post-retirement health benefits coverage for all USPTO employees who are enrolled in Federal Employees Health Benefits (FEHB) and Federal Employees Group Life Insurance (FGLI), shall be transferred to the Civil Service Retirement and Disability Fund, the FEGLI Fund, and the Employees FEHB Fund, as appropriate, and shall be available for the authorized purposes of those accounts: *Provided further*, That any differences between the present value factors published in OPM's yearly 300 series benefit letters and the factors that OPM provides for USPTO's specific use shall be recognized as an imputed cost on USPTO's financial statements, where applicable: *Provided further*, That, notwithstanding any other provision of law, all fees and surcharges assessed and collected by USPTO are available for USPTO only pursuant to section 42(c) of title 35, United States Code, as amended by section 22 of the Leahy-Smith America Invents Act (Public Law 112-29): *Provided further*, That within the amounts appropriated, \$2,000,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the USPTO. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-1006-0-1-376		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0801	Patents	2,987	3,254	3,453
0802	Trademarks	355	434	419

0809	Reimbursable program activities, subtotal	3,342	3,688	3,872
0900	Total new obligations, unexpired accounts	3,342	3,688	3,872

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	447	509	658
1011	Unobligated balance transfer from other acct [013-1008]		29	
1021	Recoveries of prior year unpaid obligations	30	34	34
1050	Unobligated balance (total)	477	572	692
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Base Fee Collections	3,399	3,769	3,695
1700	Other Income	6	7	7
1710	Spending authority from offsetting collections transferred to other accounts [013-0126]	-2	-2	-2
1710	Spending authority from offsetting collections transferred to other accounts [013-1008]	-29		
1750	Spending auth from offsetting collections, disc (total)	3,374	3,774	3,700
1930	Total budgetary resources available	3,851	4,346	4,392
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	509	658	520

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	625	611	520
3010	New obligations, unexpired accounts	3,342	3,688	3,872
3020	Outlays (gross)	-3,326	-3,745	-3,917
3040	Recoveries of prior year unpaid obligations, unexpired	-30	-34	-34
3050	Unpaid obligations, end of year	611	520	441
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	625	611	520
3200	Obligated balance, end of year	611	520	441

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	3,374	3,774	3,700
Outlays, gross:				
4010	Outlays from new discretionary authority	2,820	3,185	3,123
4011	Outlays from discretionary balances	506	560	794
4020	Outlays, gross (total)	3,326	3,745	3,917
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-9	-9	-9
4033	Non-Federal sources	-3,396	-3,767	-3,693
4040	Offsets against gross budget authority and outlays (total)	-3,405	-3,776	-3,702
4070	Budget authority, net (discretionary)	-31	-2	-2
4080	Outlays, net (discretionary)	-79	-31	215
4180	Budget authority, net (total)	-31	-2	-2
4190	Outlays, net (total)	-79	-31	215

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	938	938	938
5092	Unexpired unavailable balance, EOY: Offsetting collections	938	938	938

The United States Patent and Trademark Office (USPTO) issues patents and registers trademarks, which provide protection to inventors and businesses for their inventions and corporate and product identifications. USPTO also advises other U.S. Government agencies on intellectual property (IP) issues and promotes stronger IP protections in other countries. USPTO is funded through fees that are paid to obtain and renew patents and trademarks.

Patent program.—The 2021 Budget requests spending authority for examining patent applications and granting patents. USPTO will continue its priorities to issue reliable and predictable IP rights; enhance patent quality; shorten patent application pendency; ensure optimal information technology service delivery to all users; improve appeal and post-grant processes; and promote the enforcement of IP protections worldwide.

Trademark program.—The 2021 Budget requests spending authority for examining trademark applications; registering trademarks; maintaining high trademark quality; ensuring optimal information technology service delivery to all users; and improving trademark practices worldwide.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 013-1006-0-1-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,558	1,664	1,748
11.5 Other personnel compensation	146	159	169
11.9 Total personnel compensation	1,704	1,823	1,917
12.1 Civilian personnel benefits	581	684	742
21.0 Travel and transportation of persons	5	7	7
23.1 Rental payments to GSA	92	97	98
23.2 Rental payments to others	15	17	17
23.3 Communications, utilities, and miscellaneous charges	17	23	20
24.0 Printing and reproduction	151	161	167
25.1 Advisory and assistance services	58	60	61
25.2 Other services from non-Federal sources	132	138	128
25.3 Other goods and services from Federal sources	43	63	63
25.4 Operation and maintenance of facilities	30	23	21
25.7 Operation and maintenance of equipment	350	294	286
26.0 Supplies and materials	45	45	47
31.0 Equipment	117	251	296
44.0 Refunds	2	2	2
99.9 Total new obligations, unexpired accounts	3,342	3,688	3,872

Employment Summary

Identification code 013-1006-0-1-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	12,328	12,965	13,510

PATENT AND TRADEMARK FEE RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 013-1008-0-1-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		29	
1010 Unobligated balance transfer to other accts [013-1006]		-29	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [013-1006]	29		
1930 Total budgetary resources available	29		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	29		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29		
4180 Budget authority, net (total)	29		
4190 Outlays, net (total)			

NATIONAL TECHNICAL INFORMATION SERVICE

Federal Funds

NTIS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 013-4295-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 NTIS Revolving Fund (Reimbursable)	78	110	100
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	27	27
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	81	110	100
1701 Change in uncollected payments, Federal sources	12		
1750 Spending auth from offsetting collections, disc (total)	93	110	100
1930 Total budgetary resources available	105	137	127

Memorandum (non-add) entries:

1941	2019 actual	2020 est.	2021 est.
Unexpired unobligated balance, end of year	27	27	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	42	39	37
3010 New obligations, unexpired accounts	78	110	100
3020 Outlays (gross)	-81	-112	-121
3050 Unpaid obligations, end of year	39	37	16
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-37	-49	-49
3070 Change in uncollected pymts, Fed sources, unexpired	-12		
3090 Uncollected pymts, Fed sources, end of year	-49	-49	-49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	-10	-12
3200 Obligated balance, end of year	-10	-12	-33
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	93	110	100
Outlays, gross:			
4010 Outlays from new discretionary authority	57	85	77
4011 Outlays from discretionary balances	24	27	44
4020 Outlays, gross (total)	81	112	121
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-75	-105	-95
4033 Non-Federal sources	-6	-5	-5
4040 Offsets against gross budget authority and outlays (total)	-81	-110	-100
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-12		
4080 Outlays, net (discretionary)		2	21
4180 Budget authority, net (total)			
4190 Outlays, net (total)		2	21

The National Technical Information Service (NTIS) provides data science innovations, leveraging its unique authorities under Title 15, U.S.C. NTIS also collects and disseminates government scientific, technical, and business-related information, as well as provides secure access to select government databases. NTIS operates a revolving fund for the payment of all expenses incurred in fulfilling its mission.

Object Classification (in millions of dollars)

Identification code 013-4295-0-3-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	7	8	6
12.1 Civilian personnel benefits	2	3	2
23.1 Rental payments to GSA	1	2	2
23.2 Rental payments to others	1		
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.2 Other services from non-Federal sources	64	88	81
25.3 Other goods and services from Federal sources	1	4	4
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment		2	2
99.9 Total new obligations, unexpired accounts	78	110	100

Employment Summary

Identification code 013-4295-0-3-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	61	75	60

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY

Federal Funds

SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the National Institute of Standards and Technology (NIST), [\$754,000,000] \$652,027,000, to remain available until expended, of which not to exceed \$9,000,000 may be transferred to the "Working Capital Fund": Provided, That not to exceed \$5,000 shall be for official reception and representation

expenses: *Provided further*, That NIST may provide local transportation for summer undergraduate research fellowship program participants. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0500-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Laboratory programs	641	670	593
0201 Corporate services	17	17	12
0301 Standards coordination and special programs	89	87	50
0900 Total new obligations, unexpired accounts	747	774	655
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	18	1
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	37	18	1
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail	725	754	652
1121 Transferred from State and Local Law Enforcement Assistance, DoJ [015-0404]	2	2	2
1121 Transferred from EAC [525-1650]	1	1	1
1160 Appropriation, discretionary (total)	728	757	655
1930 Total budgetary resources available	765	775	656
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	189	192	234
3010 New obligations, unexpired accounts	747	774	655
3020 Outlays (gross)	-737	-732	-666
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3050 Unpaid obligations, end of year	192	234	223
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	189	192	234
3200 Obligated balance, end of year	192	234	223
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	728	757	655
Outlays, gross:			
4010 Outlays from new discretionary authority	544	583	504
4011 Outlays from discretionary balances	193	149	162
4020 Outlays, gross (total)	737	732	666
4180 Budget authority, net (total)	728	757	655
4190 Outlays, net (total)	737	732	666

The National Institute of Standards and Technology (NIST) mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. NIST is authorized by the NIST Organic Act (15 USC 271), which outlines major roles for NIST in promoting national competitiveness and innovation. For more than 110 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the Federal Government to ensure fairness in the marketplace. NIST was founded in 1901 and is one of the nation's oldest physical science laboratories. Today, the NIST Laboratory Programs work at the frontiers of measurement science to ensure that the U.S. system of measurements is firmly grounded on sound scientific and technical principles. The NIST Laboratories address increasingly complex measurement challenges, ranging from the very small (quantum devices for sensing and advanced computing) to the very large (vehicles and buildings), and from the physical infrastructure to the virtual (cybersecurity and the internet of things). As new technologies develop and evolve, NIST's measurement research and services remain critical to national defense, homeland security, trade, and innovation.

Object Classification (in millions of dollars)

Identification code 013-0500-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	270	281	247
11.3 Other than full-time permanent	23	24	24
11.5 Other personnel compensation	7	7	10
11.9 Total personnel compensation	300	312	281
12.1 Civilian personnel benefits	94	105	98
21.0 Travel and transportation of persons	12	13	12
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	20	23	21
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	48	40	27
25.3 Other goods and services from Federal sources	26	39	38
25.5 Research and development contracts	43	46	30
25.7 Operation and maintenance of equipment	23	23	23
26.0 Supplies and materials	25	24	24
31.0 Equipment	43	44	39
41.0 Grants, subsidies, and contributions	97	99	56
99.9 Total new obligations, unexpired accounts	747	774	655

Employment Summary

Identification code 013-0500-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,377	2,486	2,088

INDUSTRIAL TECHNOLOGY SERVICES

For necessary expenses for industrial technology services, **[\$162,000,000]** \$25,252,000, to remain available until expended, [of which \$146,000,000 shall be for the Hollings Manufacturing Extension Partnership, and of which \$16,000,000 shall be] for the National Network for Manufacturing Innovation (also known as "Manufacturing USA"). (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0525-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Hollings Manufacturing Extension Partnership	144	150	
0003 Manufacturing USA	15	17	25
0100 Total direct program	159	167	25
0900 Total new obligations, unexpired accounts	159	167	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	
1021 Recoveries of prior year unpaid obligations	2		20
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	11	5	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	155	162	25
1131 Unobligated balance of appropriations permanently reduced	-2		-20
1160 Appropriation, discretionary (total)	153	162	5
1930 Total budgetary resources available	164	167	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	209	200	154
3010 New obligations, unexpired accounts	159	167	25
3020 Outlays (gross)	-166	-213	-103
3040 Recoveries of prior year unpaid obligations, unexpired	-2		-20
3050 Unpaid obligations, end of year	200	154	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	209	200	154
3200 Obligated balance, end of year	200	154	56

INDUSTRIAL TECHNOLOGY SERVICES—Continued
Program and Financing—Continued

Identification code 013–0525–0–1–376	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	153	162	5
Outlays, gross:			
4010 Outlays from new discretionary authority	37	56	-15
4011 Outlays from discretionary balances	129	157	118
4020 Outlays, gross (total)	166	213	103
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4070 Budget authority, net (discretionary)	153	162	5
4080 Outlays, net (discretionary)	165	213	103
4180 Budget authority, net (total)	153	162	5
4190 Outlays, net (total)	165	213	103

NIST's Industrial Technology Services (ITS) appropriations account consists of two extramural programs:

1. Manufacturing USA: Manufacturing USA, previously referred to as the National Network for Manufacturing Innovation, serves to create effective robust manufacturing research infrastructure for U.S. industry and academia to solve industry-relevant problems. The Manufacturing USA consists of linked Institutes for Manufacturing Innovation with common goals, but unique concentrations. In an institute, industry, academia, and government partners leverage existing resources, collaborate, and co-invest to nurture manufacturing innovation and accelerate commercialization. The Budget proposes to initiate a second NIST-funded Manufacturing USA institute while discontinuing dedicated Federal funding to the first institute.

2. Hollings Manufacturing Extension Partnership (MEP): The Budget request proposes to discontinue Federal funding for the MEP program.

Object Classification (in millions of dollars)

Identification code 013–0525–0–1–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	10	12	2
11.3 Other than full-time permanent	1	1	
11.9 Total personnel compensation	11	13	2
12.1 Civilian personnel benefits	4	4	1
21.0 Travel and transportation of persons		1	
23.3 Communications, utilities, and miscellaneous charges	1	1	
25.2 Other services from non-Federal sources	4	5	1
25.3 Other goods and services from Federal sources	1	1	
25.7 Operation and maintenance of equipment	1	1	
41.0 Grants, subsidies, and contributions	136	141	20
99.0 Direct obligations	158	167	24
99.5 Adjustment for rounding	1		1
99.9 Total new obligations, unexpired accounts	159	167	25

Employment Summary

Identification code 013–0525–0–1–376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	85	96	16

CONSTRUCTION OF RESEARCH FACILITIES

For construction of new research facilities, including architectural and engineering design, and for renovation and maintenance of existing facilities, not otherwise provided for the National Institute of Standards and Technology, as authorized by sections 13 through 15 of the National Institute of Standards and Technology Act (15 U.S.C. 278c–278e), **[\$118,000,000]** \$40,644,000, to remain available until expended: *Provided*, That the Secretary of Commerce shall include in the budget justification materials that the Secretary submits to Congress in support of the De-

partment of Commerce budget (as submitted with the budget of the President under section 1105(a) of title 31, United States Code) an estimate for each National Institute of Standards and Technology construction project having a total multi-year program cost of more than \$5,000,000, and simultaneously the budget justification materials shall include an estimate of the budgetary requirements for each such project for each of the 5 subsequent fiscal years. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013–0515–0–1–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Construction of Research Facilities (Direct)		372	41
0801 Construction of Research Facilities (Reimbursable)	1	1	
0900 Total new obligations, unexpired accounts	120	373	41
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	267	255	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	268	255	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	106	118	41
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1900 Budget authority (total)	107	118	41
1930 Total budgetary resources available	375	373	41
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	255		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	162	147	369
3010 New obligations, unexpired accounts	120	373	41
3020 Outlays (gross)	-134	-151	-167
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	147	369	243
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	162	147	369
3200 Obligated balance, end of year	147	369	243

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	107	118	41
Outlays, gross:			
4010 Outlays from new discretionary authority		24	8
4011 Outlays from discretionary balances	134	127	159
4020 Outlays, gross (total)	134	151	167
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections	-1		
4040 Offsets against gross budget authority and outlays (total)	-1		
4180 Budget authority, net (total)	106	118	41
4190 Outlays, net (total)	133	151	167

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	106	118	41
Outlays	133	151	167
Legislative proposal, not subject to PAYGO:			
Budget Authority			20
Outlays			-215
Total:			
Budget Authority	106	118	61
Outlays	133	151	-48

The President's Budget provides funds for basic maintenance of NIST's current facilities.

Object Classification (in millions of dollars)

Identification code 013-0515-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	10	11	11
11.5 Other personnel compensation			1
11.9 Total personnel compensation	10	11	12
12.1 Civilian personnel benefits	3	4	4
25.1 Advisory and assistance services		1	1
25.2 Other services from non-Federal sources	27	126	22
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment		18	
32.0 Land and structures	76	209	
41.0 Grants, subsidies, and contributions		1	
99.0 Direct obligations	118	372	41
99.0 Reimbursable obligations	1	1	
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	120	373	41

Employment Summary

Identification code 013-0515-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	100	110	110

CONSTRUCTION OF RESEARCH FACILITIES
(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of the Federal Capital Revolving Fund Act of 2021, for the annual repayment amount to the Federal Capital Revolving Fund for the National Institute of Standards and Technology's Building 1 Renovation in Boulder, Colorado, \$19,600,000: Provided, That \$294,000,000 is approved for a purchase transfer from the Federal Capital Revolving Fund for the Building 1 Renovation: Provided further, That such project shall be considered designated and approved pursuant to such Act, contingent upon the President's subsequent approval and designation.

Program and Financing (in millions of dollars)

Identification code 013-0515-2-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Construction of Research Facilities (Direct)			294
0002 Payment to the Federal Capital Revolving Fund			20
0799 Total direct obligations			314
0900 Total new obligations, unexpired accounts			314
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			20
Spending authority from offsetting collections, discretionary:			
1700 Collected			294
1900 Budget authority (total)			314
1930 Total budgetary resources available			314
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			314
3020 Outlays (gross)			-79
3050 Unpaid obligations, end of year			235
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			235
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			314
Outlays, gross:			
4010 Outlays from new discretionary authority			79
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			-294
4180 Budget authority, net (total)			20
4190 Outlays, net (total)			-215

The Budget proposes: (1) to create a Federal Capital Revolving Fund (FCRF) to fund large-dollar, federally owned, civilian real property capital projects; and (2) provide specific budget enforcement rules for the FCRF that would allow it to function, in effect, like State and local government capital budgets. The FCRF will be housed in the General Services Administration (GSA). This proposal incorporates principles that are central to the success of capital budgeting at the State and local level—a limit on total funding for capital investment, annual decisions on the allocation of funding for capital projects, and spreading the acquisition cost over 15 years in the discretionary operating budgets of agencies that purchase the assets.

The Budget proposes to use the FCRF concept to fund the renovation of NIST's Building 1 in Boulder, Colorado, estimated to be \$294 million. In accordance with the principles and design of the FCRF, the Budget requests appropriations language designating NIST's renovation as a project to be funded out of the FCRF along with 1/15 of the estimated purchase price, or \$19.6 million, for the first year repayment back to the FCRF.

Object Classification (in millions of dollars)

Identification code 013-0515-2-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
32.0 Land and structures			294
94.0 Financial transfers			20
99.0 Direct obligations			314
99.9 Total new obligations, unexpired accounts			314

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 013-4650-0-4-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Laboratory programs	148	136	133
0802 Corporate services	4	3	5
0803 Standards coordination and special programs	9	11	10
0805 Hollings manufacturing extension partnership	2	1	1
0900 Total new obligations, unexpired accounts	163	151	149
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	134	155	155
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	191	151	149
1701 Change in uncollected payments, Federal sources	-7		
1750 Spending auth from offsetting collections, disc (total)	184	151	149
1900 Budget authority (total)	184	151	149
1930 Total budgetary resources available	318	306	304
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	155	155	155
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	154	179	76
3010 New obligations, unexpired accounts	163	151	149
3020 Outlays (gross)	-138	-254	-158
3050 Unpaid obligations, end of year	179	76	67
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-52	-45	-45
3070 Change in uncollected pymts, Fed sources, unexpired	7		
3090 Uncollected pymts, Fed sources, end of year	-45	-45	-45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	102	134	31
3200 Obligated balance, end of year	134	31	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	184	151	149
Outlays, gross:			
4010 Outlays from new discretionary authority		116	115

WORKING CAPITAL FUND—Continued
Program and Financing—Continued

Identification code 013-4650-0-4-376	2019 actual	2020 est.	2021 est.
4011 Outlays from discretionary balances	138	138	43
4020 Outlays, gross (total)	138	254	158
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-139	-95	-92
4033 Non-Federal sources	-52	-56	-57
4040 Offsets against gross budget authority and outlays (total)	-191	-151	-149
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	7		
4080 Outlays, net (discretionary)	-53	103	9
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-53	103	9

The Working Capital Fund finances research and technical services performed for other Government agencies and the public. These activities are funded through advances and reimbursements. The Fund also finances the acquisition of equipment, standard reference materials, and storeroom inventories until issued or sold.

Object Classification (in millions of dollars)

Identification code 013-4650-0-4-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	59	60	61
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	65	66	67
12.1 Civilian personnel benefits	20	21	21
21.0 Travel and transportation of persons	2	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	16	12	11
25.3 Other goods and services from Federal sources	6	6	6
25.5 Research and development contracts	9	7	6
25.7 Operation and maintenance of equipment	12	9	8
26.0 Supplies and materials	12	9	9
31.0 Equipment	12	12	12
41.0 Grants, subsidies, and contributions	4	3	3
99.9 Total new obligations, unexpired accounts	163	151	149

Employment Summary

Identification code 013-4650-0-4-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	607	686	686

PUBLIC SAFETY COMMUNICATIONS RESEARCH FUND

Program and Financing (in millions of dollars)

Identification code 013-0513-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Public Safety Communications Research Fund (Reimbursable)	37	66	41
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	195	158	92
1930 Total budgetary resources available	195	158	92
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	158	92	51

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	34	28	46
3010 New obligations, unexpired accounts	37	66	41
3020 Outlays (gross)	-43	-48	-55
3050 Unpaid obligations, end of year	28	46	32

Memorandum (non-add) entries:

3100 Obligated balance, start of year	34	28	46
3200 Obligated balance, end of year	28	46	32

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	43	48	55
4180 Budget authority, net (total)			
4190 Outlays, net (total)	43	48	55

In 2021, NIST will continue to execute mandatory resources provided in 2017 through the NIST Public Safety Communications Research Fund to help develop cutting-edge wireless technologies for public safety users, as part of the National Wireless Initiative included in the Middle Class Tax Relief and Job Creation Act of 2012.

Object Classification (in millions of dollars)

Identification code 013-0513-0-1-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	11	11	11
12.1 Civilian personnel benefits	3	4	3
21.0 Travel and transportation of persons	1	1	
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	6	15	6
25.2 Other services from non-Federal sources	2	4	6
25.3 Other goods and services from Federal sources	1	4	2
25.5 Research and development contracts	2	2	1
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	1	2	1
41.0 Grants, subsidies, and contributions	8	21	9
99.0 Reimbursable obligations	37	66	41
99.9 Total new obligations, unexpired accounts	37	66	41

Employment Summary

Identification code 013-0513-0-1-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	82	85	80

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, as provided for by law, of the National Telecommunications and Information Administration (NTIA), **[\$40,441,000]** \$72,203,000, to remain available until September 30, **[2021]** 2022: *Provided, That, of this amount, \$25,000,000 shall be for modernizing spectrum management systems: Provided further, That notwithstanding 31 U.S.C. 1535(d), the Secretary of Commerce shall charge Federal agencies for costs incurred in spectrum management, analysis, operations, and related services, and such fees shall be retained and used as offsetting collections for costs of such spectrum services, to remain available until expended: Provided further, That the Secretary of Commerce is authorized to retain and use as offsetting collections all funds transferred, or previously transferred, from other Government agencies for all costs incurred in telecommunications research, engineering, and related activities by the Institute for Telecommunication Sciences of NTIA, in furtherance of its assigned functions under this paragraph, and such funds received from other Government agencies shall remain available until expended. (Department of Commerce Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 013-0550-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Domestic and international policy	9	9	11
0002 Spectrum management	7	7	33
0004 Broadband programs	16	24	16

0007	Advanced Communication Research	8	8	12
0100	Total, direct program	40	48	72
0799	Total direct obligations	40	48	72
0801	Spectrum management	40	44	41
0802	Telecommunication sciences research	11	25	15
0803	Other	1		
0899	Total reimbursable obligations	52	69	56
0900	Total new obligations, unexpired accounts	92	117	128
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	32	29	2
1021	Recoveries of prior year unpaid obligations	5		
1050	Unobligated balance (total)	37	29	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	40	40	72
Spending authority from offsetting collections, discretionary:				
1700	Collected	48	50	56
1701	Change in uncollected payments, Federal sources	-4		
1750	Spending auth from offsetting collections, disc (total)	44	50	56
1900	Budget authority (total)	84	90	128
1930	Total budgetary resources available	121	119	130
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	29	2	2
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	32	44	62
3010	New obligations, unexpired accounts	92	117	128
3020	Outlays (gross)	-75	-99	-133
3040	Recoveries of prior year unpaid obligations, unexpired	-5		
3050	Unpaid obligations, end of year	44	62	57
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired	4		
3090	Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	23	39	57
3200	Obligated balance, end of year	39	57	52
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	84	90	128
Outlays, gross:				
4010	Outlays from new discretionary authority	59	72	103
4011	Outlays from discretionary balances	16	27	30
4020	Outlays, gross (total)	75	99	133
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-47	-50	-56
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-48	-50	-56
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	4		
4060	Additional offsets against budget authority only (total)	4		
4070	Budget authority, net (discretionary)	40	40	72
4080	Outlays, net (discretionary)	27	49	77
4180	Budget authority, net (total)	40	40	72
4190	Outlays, net (total)	27	49	77

The National Telecommunications and Information Administration (NTIA) is the principal Executive Branch adviser on domestic and international telecommunications and Internet policy. NTIA also manages the Federal Government's use of the radio frequency spectrum and performs extensive research in telecommunication sciences. The Budget: (1) continues to provide spectrum assignment and analysis support to Federal agencies; (2) supports NTIA's responsibilities under the Spectrum Pipeline Act of 2015 and MOBILE NOW Act (2018) to help identify additional federal spectrum to be shared or reallocated for commercial use. NTIA will continue with its efforts through BroadbandUSA to improve the nation's understanding of broadband availability by implementing its broadband availability data mapping initiative. Through online, regional workshops,

and in-person technical assistance, BroadbandUSA will also continue to promote partnerships among state, municipal, non-profit, and private-sector organizations in support of deployment of new community broadband systems through proven solutions that resolve problems with broadband planning, financing, construction, and operations.

The Budget proposes to increase the Domestic and International Policies Program to fund NTIA's growing efforts to oversee, mitigate, and manage supply chain risks to our nation's telecommunications infrastructure. This will enable NTIA to drive and support the nation's efforts to promote and protect our economic and national security in the fast approaching 5G environment.

This Budget includes an increase in Spectrum Management Programs to support the agency's efforts in the modernization of its spectrum IT systems, resulting in advanced automation tools; improved data accuracy and transparency; and spectrum efficiency evaluation tools. Improved analytical precision will enable NTIA and Federal agencies to, among other things, identify new spectrum sharing arrangements that are more attractive and valuable to commercial entities.

The Budget also proposes an increase to fund NTIA's spectrum research for 5G and other evolving advanced communications innovations. This funding will enable NTIA to execute advanced engineering algorithms; to improve data security and availability; to develop a robust, spectrum usage measurement programs; and to design a spectrum efficiency program to promote and incentivize the best possible and most efficient use of spectrum.

The Budget proposes to establish a new Program, Project, or Activity (PPA) for Public Safety Communications to provide the critical leadership and support for the coordination, interoperability, transition, and technical resources for our nation's public safety agencies in their adoption and implementation of advanced communications technologies.

Object Classification (in millions of dollars)

Identification code 013-0550-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	15	16
12.1 Civilian personnel benefits	5	5	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	3
25.2 Other services from non-Federal sources	8	16	33
25.3 Other goods and services from Federal sources	7	7	9
31.0 Equipment	2	2	4
99.0 Direct obligations	40	48	72
99.0 Reimbursable obligations	52	69	56
99.9 Total new obligations, unexpired accounts	92	117	128

Employment Summary

Identification code 013-0550-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	122	147	156
2001 Reimbursable civilian full-time equivalent employment	128	159	159

PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION

For the administration of prior-year grants, recoveries and unobligated balances of funds previously appropriated are available for the administration of all open grants until their expiration. (*Department of Commerce Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 013-0551-0-1-503	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			

PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION—Continued
Program and Financing—Continued

Identification code 013-0551-0-1-503	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)			

This program was terminated in 2011. However, the 2020 Budget proposes to continue to use grant recoveries and unobligated balances of funds previously appropriated to administer prior-year grants until their expiration.

BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 013-0554-0-1-376	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	56	42	4
3020 Outlays (gross)	-14	-38	
3050 Unpaid obligations, end of year	42	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	56	42	4
3200 Obligated balance, end of year	42	4	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	14	38	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-5		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	5		
4080 Outlays, net (discretionary)	9	38	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	9	38	

The American Recovery and Reinvestment Act of 2009 provided over \$4 billion to deploy broadband and promote adoption in underserved areas. NTIA continues to provide oversight of active projects funded through these grants.

DIGITAL TELEVISION TRANSITION AND PUBLIC SAFETY FUND

Program and Financing (in millions of dollars)

Identification code 013-5396-0-2-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3		
1031 Other balances not available	-3		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	9	2
3020 Outlays (gross)	-1	-7	
3050 Unpaid obligations, end of year	9	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	9	2
3200 Obligated balance, end of year	9	2	2
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1	7	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	7	
Memorandum (non-add) entries:			
5103 Unexpired unavailable balance, SOY: Fulfilled purpose	8,807	8,810	8,807
5104 Unexpired unavailable balance, EOY: Fulfilled purpose	8,810	8,807	8,807

The Digital Television Transition and Public Safety Fund, created by the Deficit Reduction Act of 2005, as amended by the Digital Television Delay Act (DTV Delay Act) of 2009, received offsetting receipts from the auction of licenses to use electromagnetic spectrum formerly assigned to broadcast television service, and provided funding for several one-time programs from these receipts. Authority for all programs funded under the Act has expired.

STATE AND LOCAL IMPLEMENTATION FUND

Program and Financing (in millions of dollars)

Identification code 013-0516-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 State and Local Implementation Fund (Reimbursable)	23	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	7	5
1930 Total budgetary resources available	30	7	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	5	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	25	2
3010 New obligations, unexpired accounts	23	2	2
3020 Outlays (gross)	-9	-25	-2
3050 Unpaid obligations, end of year	25	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	25	2
3200 Obligated balance, end of year	25	2	2
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	9	25	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	9	25	2

The Middle Class Tax Relief and Job Creation Act of 2012 provided \$135 million for grants to States and territories to plan for the build-out of a nationwide broadband network for first responders. In 2021, NTIA will administer the second phase of this grant program, which will support state and local governments to maximize the benefits of the nationwide broadband network for public safety users. This program will expire September 30, 2022.

Object Classification (in millions of dollars)

Identification code 013-0516-0-1-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	21		
99.0 Reimbursable obligations	23	2	2
99.9 Total new obligations, unexpired accounts	23	2	2

Employment Summary

Identification code 013-0516-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

NETWORK CONSTRUCTION FUND

Program and Financing (in millions of dollars)

Identification code 013-4358-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FirstNet	35	7	3
0802 NTIA Opt-Out		1	
0900 Total new obligations, unexpired accounts	35	8	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	40	8	46
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	42	8	46
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	46	
1930 Total budgetary resources available	43	54	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	46	43
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,854	4,382	2,908
3010 New obligations, unexpired accounts	35	8	3
3020 Outlays (gross)	-1,505	-1,482	-1,483
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	4,382	2,908	1,428
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,854	4,382	2,908
3200 Obligated balance, end of year	4,382	2,908	1,428
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	46	
Outlays, gross:			
4100 Outlays from new mandatory authority		8	
4101 Outlays from mandatory balances	1,505	1,474	1,483
4110 Outlays, gross (total)	1,505	1,482	1,483
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1	-46	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1,504	1,436	1,483

The Middle Class Tax Relief and Job Creation Act of 2012 created the Network Construction Fund (NCF) to receive transfers from the Public Safety Trust Fund in support of the construction and deployment of FirstNet's nationwide broadband network. In 2017, FirstNet awarded a contract to build the nationwide network, and activities in the NCF are largely related to disbursement of contract payments. FirstNet's activities are now primarily reflected in the First Responder Network Authority account. The obligation authority on this account expires on September 30, 2022.

Object Classification (in millions of dollars)

Identification code 013-4358-0-3-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2		
12.1 Civilian personnel benefits	10		
25.2 Other services from non-Federal sources	23	4	3
25.3 Other goods and services from Federal sources		4	
99.0 Reimbursable obligations	35	8	3
99.9 Total new obligations, unexpired accounts	35	8	3

Employment Summary

Identification code 013-4358-0-3-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	50		

FIRST RESPONDER NETWORK AUTHORITY

Program and Financing (in millions of dollars)

Identification code 013-4421-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 First Responder Network Authority	31	249	120
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	240	329	200
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	120	120	120
1930 Total budgetary resources available	360	449	320
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	329	200	200
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		3	137
3010 New obligations, unexpired accounts	31	249	120
3020 Outlays (gross)	-28	-115	-135
3050 Unpaid obligations, end of year	3	137	122
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		3	137
3200 Obligated balance, end of year	3	137	122
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	120	120	120
Outlays, gross:			
4100 Outlays from new mandatory authority		66	66
4101 Outlays from mandatory balances	28	49	69
4110 Outlays, gross (total)	28	115	135
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-120	-120	-120
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-92	-5	15

The Middle Class Tax Relief and Job Creation Act of 2012 created the First Responder Network Authority (FirstNet) to ensure the building, deployment, and operation of the nationwide public safety broadband network. FirstNet is an independent authority within the Department of Commerce's National Telecommunications and Information Administration and is overseen by a 15-member Board comprised of the Secretary of Homeland Security, the Attorney General of the United States, the Director of the Office of Management and Budget, as well as 12 members that have public safety expertise, represent the interests of states, localities, tribes, and territories and/or have technical, network or financial expertise. The First Responder Network Authority (FRNA) account reflects funds that FirstNet is authorized to collect to reinvest into the network, enhance public safety communications, and manage FirstNet operations. Incoming funds that are shown in the budget schedule represent funds that FirstNet will collect for use of spectrum licensed to FirstNet. Activities not supported through the FRNA account are either supported through the Public Safety Trust Fund or the Network Construction Fund.

Object Classification (in millions of dollars)

Identification code 013-4421-0-3-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	20	13	14
12.1 Civilian personnel benefits	7	4	5
21.0 Travel and transportation of persons	1	1	3
23.1 Rental payments to GSA		1	1
25.1 Advisory and assistance services		7	8
25.2 Other services from non-Federal sources	1	219	83
31.0 Equipment		2	3
99.0 Reimbursable obligations	29	247	117
99.5 Adjustment for rounding	2	2	3
99.9 Total new obligations, unexpired accounts	31	249	120

FIRST RESPONDER NETWORK AUTHORITY—Continued
Employment Summary

Identification code 013-4421-0-3-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	260	258	258

Trust Funds

PUBLIC SAFETY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 013-8233-0-7-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		7,517	7,717
Receipts:			
Current law:			
1120 Spectrum Auction Receipts, Public Safety Trust Fund	1,155		
1140 Earnings on Federal Investments, Public Safety Trust Fund	121	200	200
1199 Total current law receipts	1,276	200	200
1999 Total receipts	1,276	200	200
2000 Total: Balances and receipts	1,276	7,717	7,917
Appropriations:			
Current law:			
2101 Public Safety Trust Fund	-1,276	-250	
2135 Public Safety Trust Fund	1,276	250	
2199 Total current law appropriations			
2999 Total appropriations			
4030 Public Safety Trust Fund	6,241		
5099 Balance, end of year	7,517	7,717	7,917

Program and Financing (in millions of dollars)

Identification code 013-8233-0-7-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 NTIA Programmatic and Oversight	2	6	5
0003 First Net Administrative	2	1	
0006 Office of Inspector General (transfer)	2	2	2
0007 NTIA Next Generation 9-1-1	1		
0008 Public Trust Fund transfer to NCF		46	
0900 Total new obligations, unexpired accounts	7	55	7

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,317	70	15
1021 Recoveries of prior year unpaid obligations	1		1
1035 Unobligated balance precluded from obligation (limitation on obligations)(special and trust)	-6,241		
1050 Unobligated balance (total)	77	70	16
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,276	250	
1235 Appropriations precluded from obligation (special or trust)	-1,276	-250	
1930 Total budgetary resources available	77	70	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	70	15	9

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	1	4
3010 New obligations, unexpired accounts	7	55	7
3020 Outlays (gross)	-8	-52	-8
3040 Recoveries of prior year unpaid obligations, unexpired	-1		-1
3050 Unpaid obligations, end of year	1	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	1	4
3200 Obligated balance, end of year	1	4	2

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	8	52	8

4180 Budget authority, net (total)			
4190 Outlays, net (total)	8	52	8

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	6,243	7,561	7,700
5001 Total investments, EOY: Federal securities: Par value	7,561	7,700	7,900

The Middle Class Tax Relief and Job Creation Act of 2012 (the Act) created the First Responder Network Authority (FirstNet) within the National Telecommunications and Information Administration (NTIA) and directed that up to \$7 billion of auction proceeds be used to support the establishment of a nationwide, interoperable public safety broadband network. Resources in this account have primarily funded FirstNet's and NTIA's public safety activities with some support for public safety communications research and Next Generation 911 (NG911) activities.

The amounts presented in the budget schedules for 2021 reflect funding for NTIA's implementation and a small portion of FirstNet's administrative costs. In 2021, FirstNet's activities will be focused on the continued transition to the First Responder Network Authority account with only a minimal activity remaining in the Public Safety Trust Fund. This account will expire September 30, 2022.

Object Classification (in millions of dollars)

Identification code 013-8233-0-7-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	1	2	1
25.3 Other goods and services from Federal sources	2	2	2
94.0 Financial transfers	3	48	2
99.0 Direct obligations	7	53	6
99.5 Adjustment for rounding		2	1
99.9 Total new obligations, unexpired accounts	7	55	7

Employment Summary

Identification code 013-8233-0-7-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8	10	10

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
013-271710 Fisheries Finance, Negative Subsidies	1	4	8
013-271730 Fisheries Finance, Downward Reestimates of Subsidies	13	5	
013-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	18		
General Fund Offsetting receipts from the public	32	9	8
Intragovernmental payments:			
013-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	2		
General Fund Intragovernmental payments	2		

GENERAL PROVISIONS—DEPARTMENT OF COMMERCE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. During the current fiscal year, applicable appropriations and funds made available to the Department of Commerce by this Act shall be available for the activities specified in the Act of October 26, 1949 (15 U.S.C. 1514), to the extent and in the manner prescribed by the Act, and, notwithstanding 31 U.S.C. 3324, may be used for advanced payments not otherwise authorized only upon the certification of officials designated by the Secretary of Commerce that such payments are in the public interest.

SEC. 102. During the current fiscal year, appropriations made available to the Department of Commerce by this Act for salaries and expenses shall be available for

hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; services as authorized by 5 U.S.C. 3109; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901–5902).

SEC. 103. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Commerce in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section [505] 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That the Secretary of Commerce shall notify the Committees on Appropriations at least 15 days in advance of the acquisition or disposal of any capital asset (including land, structures, and equipment) not specifically provided for in this Act or any other law appropriating funds for the Department of Commerce.

[SEC. 104. The requirements set forth by section 105 of the Commerce, Justice, Science, and Related Agencies Appropriations Act, 2012 (Public Law 112–55), as amended by section 105 of title I of division B of Public Law 113–6, are hereby adopted by reference and made applicable with respect to fiscal year 2020: *Provided*, That the life cycle cost for the Joint Polar Satellite System is \$11,322,125,000 and the life cycle cost for the Geostationary Operational Environmental Satellite R-Series Program is \$10,828,059,000.]

SEC. [105] 104. Notwithstanding any other provision of law, the Secretary may furnish services (including but not limited to utilities, telecommunications, and security services) necessary to support the operation, maintenance, and improvement of space that persons, firms, or organizations are authorized, pursuant to the Public Buildings Cooperative Use Act of 1976 or other authority, to use or occupy in the Herbert C. Hoover Building, Washington, DC, or other buildings, the maintenance, operation, and protection of which has been delegated to the Secretary from the Administrator of General Services pursuant to the Federal Property and Administrative Services Act of 1949 on a reimbursable or non-reimbursable basis. Amounts received as reimbursement for services provided under this section or the authority under which the use or occupancy of the space is authorized, up to \$200,000, shall be credited to the appropriation or fund which initially bears the costs of such services.

SEC. [106] 105. Nothing in this title shall be construed to prevent a grant recipient from deterring child pornography, copyright infringement, or any other unlawful activity over its networks.

SEC. [107] 106. The Administrator of the National Oceanic and Atmospheric Administration is authorized to use, with their consent, with reimbursement and subject to the limits of available appropriations, the land, services, equipment, personnel, and facilities of any department, agency, or instrumentality of the United States, or of any State, local government, Indian tribal government, Territory, or possession, or of any political subdivision thereof, or of any foreign government or international organization, for purposes related to carrying out the responsibilities of any statute administered by the National Oceanic and Atmospheric Administration.

SEC. [108] 107. The National Technical Information Service shall not charge any customer for a copy of any report or document generated by the Legislative Branch unless the Service has provided information to the customer on how an electronic copy of such report or document may be accessed and downloaded for free online. Should a customer still require the Service to provide a printed or digital copy of the report or document, the charge shall be limited to recovering the Service's cost of processing, reproducing, and delivering such report or document.

SEC. [109] 108. To carry out the responsibilities of the National Oceanic and Atmospheric Administration (NOAA), the Administrator of NOAA is authorized to: (1) enter into grants and cooperative agreements with; (2) use on a non-reimbursable basis land, services, equipment, personnel, and facilities provided by; and (3) receive and expend funds made available on a consensual basis from: a Federal agency, State or subdivision thereof, local government, tribal government, territory, or possession or any subdivisions thereof, *foreign government, international or intergovernmental organization, public or private organization, or individual*: *Provided*, That funds received [for permitting and related regulatory activities] pursuant to this section shall be deposited *accordingly* under the headings "National Oceanic and Atmospheric Administration-Operations, Research, and Facilities" and "National Oceanic and Atmospheric Administration-Procurement, Acquisition, and Construction" and shall remain available until [September 30, 2022] expended, for such purposes: *Provided further*, That all funds within this section and their corresponding uses are subject to section [505] 504 of this Act.

SEC. [110] 109. Amounts provided by this Act or by any prior appropriations Act that remain available for obligation, for necessary expenses of the programs of the Economics and Statistics Administration of the Department of Commerce, including amounts provided for programs of the Bureau of Economic Analysis and the Bureau of the Census, shall be available for expenses of cooperative agreements with appro-

priate entities, including any Federal, State, or local governmental unit, or institution of higher education, to aid and promote statistical, research, and methodology activities which further the purposes for which such amounts have been made available.

[SEC. 111. (a) There is hereby established in the Treasury of the United States a fund to be known as the "Department of Commerce Nonrecurring Expenses Fund" (the Fund): *Provided*, That unobligated balances of expired discretionary funds appropriated for this or any succeeding fiscal year from the General Fund of the Treasury to the Department of Commerce by this or any other Act may be transferred (not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) into the Fund: *Provided further*, That amounts deposited in the Fund shall be available until expended, and in addition to such other funds as may be available for such purposes, for information and business technology system modernization and facilities infrastructure improvements necessary for the operation of the Department, subject to approval by the Office of Management and Budget: *Provided further*, That amounts in the Fund may be obligated only after the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of the planned use of funds.

(b) In addition to amounts otherwise made available by this Act, there is appropriated \$20,000,000, to remain available until September 30, 2022, to the Fund for necessary expenses for a business application system modernization.]

[SEC. 112. Not later than thirty days after the date of the enactment of this Act, using amounts appropriated or otherwise made available in this title for the Bureau of Industry and Security for operations and administration, the Secretary of Commerce shall—

(1) publish in the Federal Register the report on the findings of the investigation into the effect on national security of imports of automobiles and automotive parts that the Secretary initiated on May 23, 2018, under section 232(b) of the Trade Expansion Act of 1962 (19 U.S.C. 1862(b)), as required under paragraph (3)(B) of that section; and

(2) submit to Congress any portion of the report that contains classified information, which may be viewed only by Members of Congress and their staff with appropriate security clearances.]

SEC. 110. *Of unobligated balances of amounts provided to the Bureau of the Census under this or any prior appropriations Act, up to \$208 million may be transferred to the Bureau of the Census Working Capital Fund for information and business technology system modernization and facilities infrastructure improvements necessary for the operations of the Bureau: Provided, That the amounts previously provided by the Congress for the 2020 Census remain available only for the period of time as provided when initially enacted: Provided further, That this transfer authority is in addition to any other transfer authority in this Act: Provided further, That no amounts may be transferred that were previously designated by the Congress for the 2020 Census pursuant to section 251(b)(2)(G) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amounts may be obligated only after the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of the planned use of funds.*

(Department of Commerce Appropriations Act, 2020.)

GENERAL PROVISIONS

(INCLUDING CANCELLATIONS)

(INCLUDING TRANSFER OF FUNDS)

[SEC. 501. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress.]

SEC. [502] 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. [503] 502. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. [504] 503. If any provision of this Act or the application of such provision to any person or circumstances shall be held invalid, the remainder of the Act and the application of each provision to persons or circumstances other than those as to which it is held invalid shall not be affected thereby.

SEC. [505] 504. None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available

for obligation or expenditure in fiscal year **[2020]** 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates or initiates a new program, project, or activity; (2) eliminates a program, project, or activity; (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes or renames offices, programs, or activities; (6) contracts out or privatizes any functions or activities presently performed by Federal employees; (7) augments existing programs, projects, or activities in excess of **[\$500,000]** \$1,000,000 or 10 percent, whichever is less, or reduces by 10 percent funding for any program, project, or activity, or numbers of personnel by 10 percent; or (8) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, projects, or activities as approved by Congress**;**, unless the House and Senate Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

SEC. **[506]** 505. (a) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

(b)

(1) To the extent practicable, with respect to authorized purchases of promotional items, funds made available by this Act shall be used to purchase items that are manufactured, produced, or assembled in the United States, its territories or possessions.

(2) The term "promotional items" has the meaning given the term in OMB Circular A-87, Attachment B, Item (1)(f)(3).

[SEC. 507. (a) The Departments of Commerce and Justice, the National Science Foundation, and the National Aeronautics and Space Administration shall provide to the Committees on Appropriations of the House of Representatives and the Senate a quarterly report on the status of balances of appropriations at the account level. For unobligated, uncommitted balances and unobligated, committed balances the quarterly reports shall separately identify the amounts attributable to each source year of appropriation from which the balances were derived. For balances that are obligated, but unexpended, the quarterly reports shall separately identify amounts by the year of obligation.

(b) The report described in subsection (a) shall be submitted within 30 days of the end of each quarter.

(c) If a department or agency is unable to fulfill any aspect of a reporting requirement described in subsection (a) due to a limitation of a current accounting system, the department or agency shall fulfill such aspect to the maximum extent practicable under such accounting system and shall identify and describe in each quarterly report the extent to which such aspect is not fulfilled.]

SEC. **[508]** 506. Any costs incurred by a department or agency funded under this Act resulting from, or to prevent, personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available to such department or agency: *Provided*, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: *Provided further*, That use of funds to carry out this section shall be treated as a reprogramming of funds under section **[505]** 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That for the Department of Commerce, this section shall also apply to actions taken for the care and protection of loan collateral or grant property.

SEC. **[509]** 507. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products in the United States, **[**or to seek the reduction or removal by any foreign country of restrictions on the marketing of tobacco or tobacco products,**]** except for restrictions which are not applied equally to all tobacco or tobacco products of the same type.

[SEC. 510. Notwithstanding any other provision of law, amounts deposited or available in the Fund established by section 1402 of chapter XIV of title II of Public Law 98-473 (34 U.S.C. 20101) in any fiscal year in excess of \$2,641,000,000 shall not be available for obligation until the following fiscal year: *Provided*, That notwithstanding section 1402(d) of such Act, of the amounts available from the Fund for obligation: (1) \$10,000,000 shall be transferred to the Department of Justice Office of Inspector General and remain available until expended for oversight and auditing purposes associated with this section; and (2) 5 percent shall be available to the Office for Victims of Crime for grants, consistent with the requirements of the Victims of Crime Act, to Indian tribes to improve services for victims of crime.**]**

SEC. **[511]** 508. None of the funds made available to the Department of Justice in this Act may be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.

[SEC. 512. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.**]**

[SEC. 513. (a) The Inspectors General of the Department of Commerce, the Department of Justice, the National Aeronautics and Space Administration, the National Science Foundation, and the Legal Services Corporation shall conduct audits, pursuant to the Inspector General Act (5 U.S.C. App.), of grants or contracts for which funds are appropriated by this Act, and shall submit reports to Congress on the progress of such audits, which may include preliminary findings and a description of areas of particular interest, within 180 days after initiating such an audit and every 180 days thereafter until any such audit is completed.

(b) Within 60 days after the date on which an audit described in subsection (a) by an Inspector General is completed, the Secretary, Attorney General, Administrator, Director, or President, as appropriate, shall make the results of the audit available to the public on the Internet website maintained by the Department, Administration, Foundation, or Corporation, respectively. The results shall be made available in redacted form to exclude—

- (1) any matter described in section 552(b) of title 5, United States Code; and
- (2) sensitive personal information for any individual, the public access to which could be used to commit identity theft or for other inappropriate or unlawful purposes.

(c) Any person awarded a grant or contract funded by amounts appropriated by this Act shall submit a statement to the Secretary of Commerce, the Attorney General, the Administrator, Director, or President, as appropriate, certifying that no funds derived from the grant or contract will be made available through a subcontract or in any other manner to another person who has a financial interest in the person awarded the grant or contract.

(d) The provisions of the preceding subsections of this section shall take effect 30 days after the date on which the Director of the Office of Management and Budget, in consultation with the Director of the Office of Government Ethics, determines that a uniform set of rules and requirements, substantially similar to the requirements in such subsections, consistently apply under the executive branch ethics program to all Federal departments, agencies, and entities.**]**

SEC. **[514]** 509. (a) None of the funds appropriated or otherwise made available under this Act may be used by the Departments of Commerce and Justice, the National Aeronautics and Space Administration, or the National Science Foundation to acquire a high-impact or moderate-impact information system, as defined for security categorization in the National Institute of Standards and Technology's (NIST) Federal Information Processing Standard Publication 199, "Standards for Security Categorization of Federal Information and Information Systems" unless the agency has—

(1) reviewed the supply chain risk for the information systems against criteria developed by NIST and the Federal Bureau of Investigation (FBI) to inform acquisition decisions for high-impact and moderate-impact information systems within the Federal Government;

(2) reviewed the supply chain risk from the presumptive awardee against available and relevant threat information provided by the FBI and other appropriate agencies; and

(3) in consultation with the FBI or other appropriate Federal entity, conducted an assessment of any risk of cyber-espionage or sabotage associated with the acquisition of such system, including any risk associated with such system being produced, manufactured, or assembled by one or more entities identified by the United States Government as posing a cyber threat, including but not limited to, those that may be owned, directed, or subsidized by the People's Republic of China, the Islamic Republic of Iran, the Democratic People's Republic of Korea, or the Russian Federation.

(b) None of the funds appropriated or otherwise made available under this Act may be used to acquire a high-impact or moderate-impact information system reviewed and assessed under subsection (a) unless the head of the assessing entity described in subsection (a) has—

(1) developed, in consultation with NIST, the FBI, and supply chain risk management experts, a mitigation strategy for any identified risks;

(2) determined, in consultation with NIST and the FBI, that the acquisition of such system is in the national interest of the United States; and

(3) reported that determination to the Committees on Appropriations of the House of Representatives and the Senate and the agency Inspector General.

SEC. [515] 510. None of the funds made available in this Act shall be used in any way whatsoever to support or justify the use of torture by any official or contract employee of the United States Government.

[SEC. 516. None of the funds made available in this Act may be used to include in any new bilateral or multilateral trade agreement the text of—

(1) paragraph 2 of article 16.7 of the United States-Singapore Free Trade Agreement;

(2) paragraph 4 of article 17.9 of the United States-Australia Free Trade Agreement; or

(3) paragraph 4 of article 15.9 of the United States-Morocco Free Trade Agreement.]

SEC. [517] 511. None of the funds made available in this Act may be used to authorize or issue a national security letter in contravention of any of the following laws authorizing the Federal Bureau of Investigation to issue national security letters: The Right to Financial Privacy Act of 1978; The Electronic Communications Privacy Act of 1986; The Fair Credit Reporting Act; The National Security Act of 1947; USA PATRIOT Act; USA FREEDOM Act of 2015; and the laws amended by these Acts.

SEC. [518] 512. If at any time during any quarter, the program manager of a project within the jurisdiction of the Departments of Commerce or Justice, the National Aeronautics and Space Administration, or the National Science Foundation totaling more than [\$75,000,000] \$250,000,000 has reasonable cause to believe that the total program cost has increased by 10 percent or more, the program manager shall immediately inform the respective Secretary, Administrator, or Director. The Secretary, Administrator, or Director shall notify the House and Senate Committees on Appropriations within 30 days in writing of such increase, and shall include in such notice: the date on which such determination was made; a statement of the reasons for such increases; the action taken and proposed to be taken to control future cost growth of the project; changes made in the performance or schedule milestones and the degree to which such changes have contributed to the increase in total program costs or procurement costs; new estimates of the total project or procurement costs; and a statement validating that the project's management structure is adequate to control total project or procurement costs.

SEC. [519] 513. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year [2020] 2021 until the enactment of the Intelligence Authorization Act for fiscal year [2020] 2021.

SEC. [520] 514. None of the funds appropriated or otherwise made available by this Act may be used to enter into a contract in an amount greater than \$5,000,000 or to award a grant in excess of such amount unless the prospective contractor or grantee certifies in writing to the agency awarding the contract or grant that, to the best of its knowledge and belief, the contractor or grantee has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

(CANCELLATION)

SEC. [521] 515. (a) Of the unobligated balances from prior year appropriations available to the Department of Commerce, the following funds are hereby [rescinded] cancelled, not later than September 30, [2020] 2021, from the following accounts in the specified amounts—

(1) "Economic Development Administration, Economic Development Assistance Programs", [\$17,000,000; and] \$38,000,000;

(2) ["National Oceanic and Atmospheric Administration, Fisheries Enforcement Asset Forfeiture Fund", \$5,000,000.] "Bureau of the Census, Periodic Censuses and Programs", \$88,644,000; and

(3) "National Institute of Standards and Technology, Industrial Technology Services", \$20,000,000.

[(b) Of the unobligated balances available to the Department of Justice, the following funds are hereby rescinded, not later than September 30, 2020, from the following accounts in the specified amounts—

(1) "Working Capital Fund", \$107,000,000;

(2) "Federal Bureau of Investigation, Salaries and Expenses", \$71,974,000 including from, but not limited to, fees collected to defray expenses for the automation of fingerprint identification and criminal justice information services and associated costs;

(3) "Drug Enforcement Administration, Salaries and Expenses", \$10,000,000;

(4) "State and Local Law Enforcement Activities, Office of Justice Programs", \$70,000,000; and

(5) "State and Local Law Enforcement Activities, Community Oriented Policing Services", \$13,000,000.]

[(c) Of the unobligated balances available to the National Aeronautics and Space Administration from prior year appropriations under the heading "Science", \$70,000,000 is hereby rescinded.]

[(d) The Departments of Commerce and Justice and the National Aeronautics and Space Administration shall submit to the Committees on Appropriations of the House of Representatives and the Senate a report no later than September 1, 2020, specifying the amount of each rescission made pursuant to subsections (a), (b), and (c).]

[(e) (b) The amounts [rescinded] cancelled in [subsections] subsection (a) [, (b), and (c)] shall not be from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

(c) *The amounts cancelled in subsection (a)(2) shall not be from amounts that were previously designated by the Congress for the 2020 Census pursuant to section 251(b)(2)(G) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

SEC. [522] 516. None of the funds made available in this Act may be used to purchase first class or premium airline travel in contravention of sections 301–10.122 through 301–10.124 of title 41 of the Code of Federal Regulations.

SEC. [523] 517. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees from a Federal department or agency, who are stationed in the United States, at any single conference occurring outside the United States unless—

(1) such conference is a law enforcement training or operational conference for law enforcement personnel and the majority of Federal employees in attendance are law enforcement personnel stationed outside the United States; or

(2) such conference is a scientific conference and the department or agency head determines that such attendance is in the national interest and notifies the Committees on Appropriations of the House of Representatives and the Senate within at least 15 days of that determination and the basis for that determination [.] ; or

(3) *the department or agency head determines that such attendance is in the national interest and notifies the Committees on Appropriations of the House of Representatives and the Senate within at least 10 days of that determination and basis for that determination.*

[SEC. 524. The Director of the Office of Management and Budget shall instruct any department, agency, or instrumentality of the United States receiving funds appropriated under this Act to track undisbursed balances in expired grant accounts and include in its annual performance plan and performance and accountability reports the following:

(1) Details on future action the department, agency, or instrumentality will take to resolve undisbursed balances in expired grant accounts.

(2) The method that the department, agency, or instrumentality uses to track undisbursed balances in expired grant accounts.

(3) Identification of undisbursed balances in expired grant accounts that may be returned to the Treasury of the United States.

(4) In the preceding 3 fiscal years, details on the total number of expired grant accounts with undisbursed balances (on the first day of each fiscal year) for the department, agency, or instrumentality and the total finances that have not been obligated to a specific project remaining in the accounts.]

SEC. [525] 518. To the extent practicable, funds made available in this Act should be used to purchase light bulbs that are "Energy Star" qualified or have the "Federal Energy Management Program" designation.

[SEC. 526. (a) None of the funds made available by this Act may be used for the National Aeronautics and Space Administration (NASA), the Office of Science and Technology Policy (OSTP), or the National Space Council (NSC) to develop, design, plan, promulgate, implement, or execute a bilateral policy, program, order, or contract of any kind to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company unless such activities are specifically authorized by a law enacted after the date of enactment of this Act.

(b) None of the funds made available by this Act may be used to effectuate the hosting of official Chinese visitors at facilities belonging to or utilized by NASA.

(c) The limitations described in subsections (a) and (b) shall not apply to activities which NASA, OSTP, or NSC, after consultation with the Federal Bureau of Investigation, have certified—

(1) pose no risk of resulting in the transfer of technology, data, or other information with national security or economic security implications to China or a Chinese-owned company; and

(2) will not involve knowing interactions with officials who have been determined by the United States to have direct involvement with violations of human rights.

(d) Any certification made under subsection (c) shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate, and the Federal Bureau of Investigation, no later than 30 days prior to the activity in question and shall include a description of the purpose of the activity, its agenda, its major participants, and its location and timing.】

SEC. 【527】519. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, adjudication, or other law enforcement- or victim assistance-related activity.

SEC. 【528】520. The Departments of Commerce and Justice, the National Aeronautics and Space Administration, the National Science Foundation, the Commission on Civil Rights, the Equal Employment Opportunity Commission, the International Trade Commission, the Legal Services Corporation, the Marine Mammal Commission, the Offices of Science and Technology Policy and the United States Trade Representative, the National Space Council, and the State Justice Institute shall submit spending plans, signed by the respective department or agency head, to the Committees on Appropriations of the House of Representatives and the Senate within 【45】60 days after the date of enactment of this Act.

SEC. 【529】521. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or for performance that does not meet the basic requirements of a contract.

【SEC. 530. None of the funds made available by this Act may be used in contravention of section 7606 ("Legitimacy of Industrial Hemp Research") of the Agricultural Act of 2014 (Public Law 113–79) by the Department of Justice or the Drug Enforcement Administration.】

【SEC. 531. None of the funds made available under this Act to the Department of Justice may be used, with respect to any of the States of Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, and Wyoming, or with respect to the District of Columbia, the Commonwealth of the Northern Mariana Islands, the United States Virgin Islands, Guam, or Puerto Rico, to prevent any of them from implementing their own laws that authorize the use, distribution, possession, or cultivation of medical marijuana.】

SEC. 【532】522. The Department of Commerce, the National Aeronautics and Space Administration, and the National Science Foundation shall provide a quarterly report to the Committees on Appropriations of the House of Representatives and the Senate on any official travel to China by any employee of such Department or agency, including the purpose of such travel.

【SEC. 533. Of the amounts made available by this Act, not less than 10 percent of each total amount provided, respectively, for Public Works grants authorized by the Public Works and Economic Development Act of 1965 and grants authorized by section 27 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3722) shall be allocated for assistance in persistent poverty counties: *Provided*, That for purposes of this section, the term "persistent poverty counties" means any county that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates, or any territory or possession of the United States.】

【SEC. 534. None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at the United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense.】

【SEC. 535. (a) None of the funds appropriated or otherwise made available in this or any other Act may be used to construct, acquire, or modify any facility in the United States, its territories, or possessions to house any individual described in

subsection (c) for the purposes of detention or imprisonment in the custody or under the effective control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

(1) is not a citizen of the United States or a member of the Armed Forces of the United States; and

(2) is—

(A) in the custody or under the effective control of the Department of Defense; or

(B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba.】

【SEC. 536. None of the funds provided in this Act shall be available for obligation for the James Webb Space Telescope (JWST) after December 31, 2020, if the individual identified under subsection (c)(2)(E) of section 30104 of title 51, United States Code, as responsible for JWST determines that the formulation and development costs (with development cost as defined under section 30104 of title 51, United States Code) are likely to exceed \$8,802,700,000, unless the program is modified so that the costs do not exceed \$8,802,700,000.】

SEC. 【537】523. (a) Notwithstanding any other provision of law or treaty, none of the funds appropriated or otherwise made available under this Act or any other Act may be expended or obligated by a department, agency, or instrumentality of the United States to pay administrative expenses or to compensate an officer or employee of the United States in connection with requiring an export license for the export to Canada of components, parts, accessories or attachments for firearms listed in Category I, section 121.1 of title 22, Code of Federal Regulations (International Trafficking in Arms Regulations (ITAR), part 121, as it existed on April 1, 2005) with a total value not exceeding \$500 wholesale in any transaction, provided that the conditions of subsection (b) of this section are met by the exporting party for such articles.

(b) The foregoing exemption from obtaining an export license—

(1) does not exempt an exporter from filing any Shipper's Export Declaration or notification letter required by law, or from being otherwise eligible under the laws of the United States to possess, ship, transport, or export the articles enumerated in subsection (a); and

(2) does not permit the export without a license of—

(A) fully automatic firearms and components and parts for such firearms, other than for end use by the Federal Government, or a Provincial or Municipal Government of Canada;

(B) barrels, cylinders, receivers (frames) or complete breech mechanisms for any firearm listed in Category I, other than for end use by the Federal Government, or a Provincial or Municipal Government of Canada; or

(C) articles for export from Canada to another foreign destination.

(c) In accordance with this section, the District Directors of Customs and postmasters shall permit the permanent or temporary export without a license of any unclassified articles specified in subsection (a) to Canada for end use in Canada or return to the United States, or temporary import of Canadian-origin items from Canada for end use in the United States or return to Canada for a Canadian citizen.

(d) The President may require export licenses under this section on a temporary basis if the President determines, upon publication first in the Federal Register, that the Government of Canada has implemented or maintained inadequate import controls for the articles specified in subsection (a), such that a significant diversion of such articles has and continues to take place for use in international terrorism or in the escalation of a conflict in another nation. The President shall terminate the requirements of a license when reasons for the temporary requirements have ceased.

SEC. 【538】524. Notwithstanding any other provision of law, no department, agency, or instrumentality of the United States receiving appropriated funds under this Act or any other Act shall obligate or expend in any way such funds to pay administrative expenses or the compensation of any officer or employee of the United States to deny any application submitted pursuant to 22 U.S.C. 2778(b)(1)(B) and qualified pursuant to 27 CFR section 478.112 or .113, for a permit to import United States origin "curios or relics" firearms, parts, or ammunition.

SEC. 【539】525. None of the funds made available by this Act may be used to pay the salaries or expenses of personnel to deny, or fail to act on, an application for the importation of any model of shotgun if—

(1) all other requirements of law with respect to the proposed importation are met; and

(2) no application for the importation of such model of shotgun, in the same configuration, had been denied by the Attorney General prior to January 1, 2011,

on the basis that the shotgun was not particularly suitable for or readily adaptable to sporting purposes.

SEC. [540]526. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.

SEC. 527. *The head of any executive branch department, agency, board, commission, or office funded by this Act shall require that all contracts within their purview that provide award fees link such fees to successful acquisition outcomes, specifying the terms of cost, schedule, and performance.*

SEC. 528. *Section 601(d)(2) of the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3211), is amended:*

(1) *by striking "(2) RELEASE." and inserting the following:*

"(2) RELEASE. (A) IN GENERAL."; and

(2) *by adding at the end the following:*

"(B) REVOLVING LOAN FUND PROGRAM. The Secretary may release, subject to terms and conditions the Secretary determines appropriate, the Fed-

eral Government's interest in connection with a grant under section 209(d) not less than 7 years after final disbursement of the grant, if—

"(i) the recipient has carried out the terms of the award in a satisfactory manner;

"(ii) any proceeds realized from the release of the Federal Government's interest will be used for one or more activities that continue to carry out the economic development purposes of this Act; and

"(iii) the recipient shall provide adequate assurance to the Secretary that at all times after release of the Federal Government's interest in connection with the grant, the recipient will be responsible for continued compliance with the requirements of section 602 in the same manner it was responsible prior to release of the Federal Government's interest and that the recipient's failure to comply shall result in the Secretary taking appropriate action.".

(Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

MILITARY PERSONNEL ACTIVE AND RESERVE FORCES

These appropriations finance the personnel costs of the Active, Reserve, and Guard forces of the Army, Navy, Marine Corps, Air Force, and Space Force. They include pay and allowances of officers, enlisted personnel, cadets and midshipmen, permanent change of station travel, inactive duty and active duty training, accruing retirement and health benefits, enlistment, reenlistment and affiliation bonuses, special and incentive pays, and other personnel costs. From 2000 to 2010, significant pay increases, coupled with requirements to accrue funds for future health benefits, greatly increased the requirements of these appropriations and have substantially improved the military compensation package to very competitive levels for attracting and retaining the best and brightest to fill the All-Volunteer Force.

Against this backdrop of a healthy and very competitive compensation package much work has been done over the last decade to explore how to balance the rate of growth in military pay and benefit costs and individual compensation responsibly, fairly, and effectively. Key among these was the implementation of the Blended Retirement System (or BRS), which was effective on January 1, 2018. Under the new system, all members who separate before becoming eligible for retirement now have a portable retirement benefit. Included in these accounts is funding for a 3.0 percent across-the-board pay raise for all pay grades, effective January 1, 2021. This pay raise is equal to the increase in the Employment Cost Index (ECI) for wages and salaries, for private industry workers as called for in law. Even with this modest increase, military salaries, as defined by Regular Military Compensation, which includes basic pay, a tax-free allowance for housing or the value of in-kind housing, a tax-free allowance for subsistence (food), and the tax savings because these allowances are tax free, will continue to grow and will average more than \$63,200 for enlisted personnel and more than \$115,900 for officers in 2021. Many military personnel also receive one-time or yearly bonuses, monthly special pays, other allowances, and significant non-cash benefits, including comprehensive health care.

The Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108–375) provided permanent, indefinite appropriations to finance the cost of accruing TRICARE benefits of uniformed service-members. These costs are included in the DOD discretionary total.

The following summary table reflects the enacted base and Overseas Contingency Operations 2020 amounts and the base 2021 military personnel appropriation request and the additional amounts for TRICARE accrual funded from permanent, indefinite authority. Total base 2021 military personnel requirements are \$158,897 million.

	2020		2021 Base	
	Appropriation	Enacted ¹	Appropriation	Request
	(\$ mil)	(\$ mil)	(\$ mil)	(\$ mil)
Military Personnel, Army	45,490	45,088	47,439	47,439
Tricare accrual (permanent, indefinite authority)	2,186	2,351		
Total, Military Personnel, Army	47,676	47,439		
Military Personnel, Navy	32,067	33,892	33,616	35,565
Tricare accrual (permanent, indefinite authority)	1,550	1,673		
Total, Military Personnel, Navy	33,616	35,565		
Military Personnel, Marine Corps	14,203	14,841	15,063	15,746
Tricare accrual (permanent, indefinite authority)	860	905		
Total, Military Personnel, Marine Corps	15,063	15,746		
Military Personnel, Air Force	32,247	32,902	33,761	34,525
Tricare accrual (permanent, indefinite authority)	1,515	1,623		
Total, Military Personnel, Air Force	33,761	34,525		
Reserve Personnel, Army	4,957	5,107		

Tricare accrual (permanent, indefinite authority)	395	418
Total, Reserve Personnel, Army	5,352	5,525
Reserve Personnel, Navy	2,127	2,241
Tricare accrual (permanent, indefinite authority)	137	146
Total, Reserve Personnel, Navy	2,264	2,387
Reserve Personnel, Marine Corps	837	869
Tricare accrual (permanent, indefinite authority)	77	82
Total, Reserve Personnel, Marine Corps	915	951
Reserve Personnel, Air Force	2,031	2,208
Tricare accrual (permanent, indefinite authority)	140	150
Total, Reserve Personnel, Air Force	2,170	2,358
National Guard Personnel, Army	8,907	8,830
Tricare accrual (permanent, indefinite authority)	704	744
Total, National Guard Personnel, Army	9,611	9,574
National Guard Personnel, Air Force	4,066	4,547
Tricare accrual (permanent, indefinite authority)	255	279
Total, National Guard Personnel, Air Force	4,321	4,826
Total, Appropriated Military Personnel Accounts	146,932	150,524
Total, Permanent, Indefinite Authority	7,817	8,373
Total, Military Personnel	154,749	158,897

¹The 2019 column reflects amounts available under the Department of Defense Appropriations Act, 2019, (P.L. 115–245) including funds provided for Overseas Contingency Operations. Totals may not add due to rounding.

ACTIVE FORCES

YEAR-END NUMBER

	2019 actual	2020 est. ¹	2021 est.
Defense total	1,339,036	1,345,983	1,351,500
Officers	232,889	234,829	235,620
Enlisted	1,092,937	1,098,127	1,102,977
Academy cadets and midshipmen	13,210	13,027	12,903
Army	483,941	485,000	485,900
Officers	92,410	93,492	93,060
Enlisted	387,001	386,982	388,287
Military Academy cadets	4,530	4,526	4,553
Navy	336,985	342,469	347,800
Officers	55,133	55,467	56,250
Enlisted	277,395	282,501	287,200
Naval Academy midshipmen	4,457	4,501	4,350
Marine Corps	186,009	184,692	184,100
Officers	21,444	21,458	21,670
Enlisted	164,565	163,234	162,430
Air Force	332,101	333,822	333,700
Officers	63,902	64,412	64,640
Enlisted	263,976	265,410	265,060
Air Force Academy cadets	4,223	4,000	4,000

¹ The 2019 column reflects the projected end strength levels.

RESERVE FORCES

The number of National Guard and Reserve personnel estimated to participate in the Selected Reserve training programs and the number of full-time active duty military personnel provided for are summarized in the following table.

YEAR-END NUMBER

	2019 actual	2020 est. ¹	2021 est.
Defense total	801,325	801,916	802,000
Trained inactive duty	655,676	665,090	661,433
Training pipeline	62,276	50,111	50,271
Full-time active duty	83,373	86,715	90,296
Army Reserve	190,719	189,500	189,800
Trained inactive duty	163,951	164,089	164,372
Training pipeline	10,292	8,900	8,917

YEAR-END NUMBER—Continued

	2019 actual	2020 est. ¹	2021 est.
Full-time active duty	16,476	16,511	16,511
Navy Reserve	59,658	60,161	58,800
Trained inactive duty	48,298	48,758	47,229
Training pipeline	1,228	1,248	1,356
Full-time active duty	10,132	10,155	10,215
Marine Corps Reserve	38,389	38,455	38,500
Trained inactive duty	32,831	32,798	32,843
Training pipeline	3,271	3,271	3,271
Full-time active duty	2,287	2,386	2,386
Air Force Reserve	69,389	70,100	70,300
Trained inactive duty	61,629	63,182	62,557
Training pipeline	3,900	2,487	2,487
Full-time active duty	3,860	4,431	5,256
Army National Guard	335,973	336,000	336,500
Trained inactive duty	268,237	274,973	275,438
Training pipeline	36,533	30,432	30,467
Full-time active duty	31,203	30,595	30,595
Air National Guard	107,197	107,700	108,100
Trained inactive duty	80,730	81,290	78,994
Training pipeline	7,052	3,773	3,773
Full-time active duty	19,415	22,637	25,333

¹ The 2019 column reflects the projected end strength levels.

The Reserve Officers' Training Corps (ROTC) program provides training for reserve and regular officer candidates who have enrolled in the course while attending a college at which an ROTC unit has been established. College graduates who satisfactorily complete the advanced course of the program are commissioned and may be ordered to active duty for a minimum of three years.

The Reserve Officers' Training Corps Vitalization Act of 1964, as amended, authorizes a limited number of scholarships for ROTC students on a competitive basis. Successful candidates for the scholarships generally serve a minimum period of four years on active duty upon graduation and appointment as a commissioned officer. A number of scholarship recipients will fulfill their entire obligation in the Reserve components.

The Armed Forces Health Professions Scholarship Program provides a source of active duty commissioned officers for the various health professions.

The numbers of commissioned officers graduated from these programs are summarized below:

	2019 actual	2020 est.	2021 est.
ROTC:			
Army	5,606	6,000	5,900
Navy	1,126	1,020	1,116
Air Force	2,218	2,337	2,336
Total	8,950	9,357	9,352
Marine Corps officer candidates	416	383	482
Total	416	383	482
Health Professions scholarship:			
Army	398	416	410
Navy	302	330	344
Air Force	403	422	451
Total	1,103	1,168	1,205

Federal Funds

MILITARY PERSONNEL, ARMY

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Army on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, [\$42,746,972,000] \$45,087,813,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2010-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and Allowances of Officers	13,782	14,079	14,135

0002 Pay and Allowances of Enlisted	25,998	27,182	27,025
0003 Pay and Allowances of Cadets	88	90	93
0004 Subsistence of Enlisted Personnel	2,213	2,272	1,929
0005 Permanent change of station travel	1,629	1,586	1,726
0006 Other military personnel costs	283	281	180
0799 Total direct obligations	43,993	45,490	45,088
0801 Reimbursable program activity	293	313	321
0900 Total new obligations, unexpired accounts	44,286	45,803	45,409
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	45,619	45,490	45,088
1120 Appropriations transferred to other acct [097-9999]	-1,504		
1160 Appropriation, discretionary (total)	44,115	45,490	45,088
Spending authority from offsetting collections, discretionary:			
1700 Collected	108	313	321
1701 Change in uncollected payments, Federal sources	10		
1750 Spending auth from offsetting collections, disc (total)	118	313	321
Spending authority from offsetting collections, mandatory:			
1800 Collected	175		
1900 Budget authority (total)	44,408	45,803	45,409
1930 Total budgetary resources available	44,408	45,803	45,409
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-122		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,292	4,103	3,963
3010 New obligations, unexpired accounts	44,286	45,803	45,409
3011 Obligations ("upward adjustments"), expired accounts	3,579		
3020 Outlays (gross)	-44,146	-45,943	-45,958
3041 Recoveries of prior year unpaid obligations, expired	-3,908		
3050 Unpaid obligations, end of year	4,103	3,963	3,414
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-10		
3071 Change in uncollected pymts, Fed sources, expired	14		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,287	4,102	3,962
3200 Obligated balance, end of year	4,102	3,962	3,413

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	44,233	45,803	45,409
Outlays, gross:			
4010 Outlays from new discretionary authority	41,420	43,073	42,704
4011 Outlays from discretionary balances	2,551	2,870	3,254
4020 Outlays, gross (total)	43,971	45,943	45,958
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-115	-313	-321
4040 Offsets against gross budget authority and outlays (total)	-115	-313	-321
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-10		
4052 Offsetting collections credited to expired accounts	7		
4060 Additional offsets against budget authority only (total)	-3		
4070 Budget authority, net (discretionary)	44,115	45,490	45,088
4080 Outlays, net (discretionary)	43,856	45,630	45,637
Mandatory:			
4090 Budget authority, gross	175		
Outlays, gross:			
4100 Outlays from new mandatory authority	175		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-175		
4180 Budget authority, net (total)	44,115	45,490	45,088
4190 Outlays, net (total)	43,856	45,630	45,637

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	44,115	45,490	45,088
Outlays	43,856	45,630	45,637
Overseas contingency operations:			
Budget Authority			2,748

Outlays			2,473
Total:			
Budget Authority	44,115	45,490	47,836
Outlays	43,856	45,630	48,110

Object Classification (in millions of dollars)

Identification code 021–2010–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	7,015	7,147	6,957
11.7 Military personnel	24,800	25,695	24,690
11.9 Total personnel compensation	31,815	32,842	31,647
12.2 Military personnel benefits	6,425	6,788	7,574
12.2 Military personnel benefits	4,075	4,225	4,162
13.0 Benefits for former personnel	81	67	28
21.0 Travel and transportation of persons	334	322	355
22.0 Transportation of things	1,047	1,014	1,106
25.7 Operation and maintenance of equipment	7	7	9
26.0 Supplies and materials	153	164	166
42.0 Insurance claims and indemnities	52	55	41
43.0 Interest and dividends	4	4	
99.0 Direct obligations	43,993	45,488	45,088
99.0 Reimbursable obligations	293	315	321
99.9 Total new obligations, unexpired accounts	44,286	45,803	45,409

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY

Program and Financing (in millions of dollars)

Identification code 021–1004–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Officers	407	427	457
0002 Health care contribution - Enlisted	1,735	1,759	1,894
0900 Total new obligations, unexpired accounts (object class 12.2)	2,142	2,186	2,351
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,142	2,186	2,351
1930 Total budgetary resources available	2,142	2,186	2,351
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2,142	2,186	2,351
3020 Outlays (gross)	-2,142	-2,186	-2,351
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,142	2,186	2,351
Outlays, gross:			
4010 Outlays from new discretionary authority	2,142	2,186	2,351
4180 Budget authority, net (total)	2,142	2,186	2,351
4190 Outlays, net (total)	2,142	2,186	2,351

MILITARY PERSONNEL, NAVY

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Navy on active duty (except members of the Reserve provided for elsewhere), midshipmen, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97–377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, [\$31,710,431,000] \$33,892,369,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017–1453–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and allowances of officers	8,372	8,734	9,382

0002 Pay and allowances of enlisted personnel	19,774	21,003	21,997
0003 Pay and Allowances of Cadets	83	85	89
0004 Subsistence of enlisted personnel	1,227	1,265	1,314
0005 Permanent change of station travel	889	870	989
0006 Other Military Personnel Costs	107	109	122
0799 Total direct obligations	30,452	32,066	33,893
0801 Reimbursable program activity	403	435	415
0900 Total new obligations, unexpired accounts	30,855	32,501	34,308

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30,550	32,067	33,892
1120 Appropriations transferred to other acct [097–9999]	-89		
1121 Appropriations transferred from other acct [097–9999]	5		
1160 Appropriation, discretionary (total)	30,466	32,067	33,892
Spending authority from offsetting collections, discretionary:			
1700 Collected	218	435	482
1701 Change in uncollected payments, Federal sources	8		
1750 Spending auth from offsetting collections, disc (total)	226	435	482
Spending authority from offsetting collections, mandatory:			
1800 Collected	178		
1900 Budget authority (total)	30,870	32,502	34,374
1930 Total budgetary resources available	30,870	32,502	34,375
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-15		
1941 Unexpired unobligated balance, end of year		1	67

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,896	1,927	2,531
3010 New obligations, unexpired accounts	30,855	32,501	34,308
3011 Obligations ("upward adjustments"), expired accounts	334		
3020 Outlays (gross)	-30,681	-31,897	-34,487
3041 Recoveries of prior year unpaid obligations, expired	-477		
3050 Unpaid obligations, end of year	1,927	2,531	2,352
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-30	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired	-8		
3071 Change in uncollected pymts, Fed sources, expired	29		
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,866	1,918	2,522
3200 Obligated balance, end of year	1,918	2,522	2,343

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	30,692	32,502	34,374
Outlays, gross:			
4010 Outlays from new discretionary authority	29,043	30,578	32,340
4011 Outlays from discretionary balances	1,460	1,319	2,147
4020 Outlays, gross (total)	30,503	31,897	34,487
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-247	-435	-482
4040 Offsets against gross budget authority and outlays (total)	-247	-435	-482
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-8		
4052 Offsetting collections credited to expired accounts	29		
4060 Additional offsets against budget authority only (total)	21		
4070 Budget authority, net (discretionary)	30,466	32,067	33,892
4080 Outlays, net (discretionary)	30,256	31,462	34,005
Mandatory:			
4090 Budget authority, gross	178		
Outlays, gross:			
4100 Outlays from new mandatory authority	178		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-178		
4180 Budget authority, net (total)	30,466	32,067	33,892
4190 Outlays, net (total)	30,256	31,462	34,005

MILITARY PERSONNEL, NAVY—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	30,466	32,067	33,892
Outlays	30,256	31,462	34,005
Overseas contingency operations:			
Budget Authority			382
Outlays			348
Total:			
Budget Authority	30,466	32,067	34,274
Outlays	30,256	31,462	34,353

Object Classification (in millions of dollars)

Identification code 017-1453-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	6,087	6,346	6,707
11.7 Military personnel	16,426	17,271	17,846
11.9 Total personnel compensation	22,513	23,617	24,553
12.2 Military personnel benefits	4,260	4,562	5,326
12.2 Military personnel benefits	2,717	2,949	2,982
13.0 Benefits for former personnel	32	31	16
21.0 Travel and transportation of persons	231	221	250
22.0 Transportation of things	551	542	616
25.7 Operation and maintenance of equipment	15	14	16
26.0 Supplies and materials	111	103	108
42.0 Insurance claims and indemnities	21	26	25
43.0 Interest and dividends	1	1	1
99.0 Direct obligations	30,452	32,066	33,893
99.0 Reimbursable obligations	403	435	415
99.9 Total new obligations, unexpired accounts	30,855	32,501	34,308

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY

Program and Financing (in millions of dollars)

Identification code 017-1000-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Officers	245	255	274
0002 Health care contribution - Enlisted	1,221	1,295	1,399
0900 Total new obligations, unexpired accounts (object class 12.2)	1,466	1,550	1,673
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,466	1,550	1,673
1930 Total budgetary resources available	1,466	1,550	1,673
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1,466	1,550	1,673
3020 Outlays (gross)	-1,466	-1,550	-1,673
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,466	1,550	1,673
Outlays, gross:			
4010 Outlays from new discretionary authority	1,466	1,550	1,673
4180 Budget authority, net (total)	1,466	1,550	1,673
4190 Outlays, net (total)	1,466	1,550	1,673

MILITARY PERSONNEL, MARINE CORPS

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Marine Corps on active duty (except members of the Reserve provided for elsewhere); and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military

Retirement Fund, [\$14,098,666,000] \$14,840,871,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1105-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and allowances of officers	3,022	3,167	3,324
0002 Pay and allowances of enlisted personnel	9,446	9,757	10,258
0004 Subsistence of enlisted personnel	776	798	792
0005 Permanent change of station travel	427	436	437
0006 Other military personnel costs	43	45	30
0799 Total direct obligations	13,714	14,203	14,841
0801 Pay and allowances of officers	12	11	9
0802 Pay and allowances of enlisted personnel	4	4	2
0804 Subsistence of enlisted personnel	17	14	14
0899 Total reimbursable obligations	33	29	25
0900 Total new obligations, unexpired accounts	13,747	14,232	14,866
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13,888	14,203	14,841
1120 Appropriations transferred to other acct [097-9999]	-56		
1121 Appropriations transferred from other acct [097-9999]	6		
1160 Appropriation, discretionary (total)	13,838	14,203	14,841
Spending authority from offsetting collections, discretionary:			
1700 Collected	30	29	25
1701 Change in uncollected payments, Federal sources	4		
1750 Spending auth from offsetting collections, disc (total)	34	29	25
1900 Budget authority (total)	13,872	14,232	14,866
1930 Total budgetary resources available	13,872	14,232	14,866
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-125		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,154	972	1,532
3010 New obligations, unexpired accounts	13,747	14,232	14,866
3011 Obligations ("upward adjustments"), expired accounts	86		
3020 Outlays (gross)	-13,864	-13,672	-14,727
3041 Recoveries of prior year unpaid obligations, expired	-151		
3050 Unpaid obligations, end of year	972	1,532	1,671
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-4		
3071 Change in uncollected pymts, Fed sources, expired	8		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,146	968	1,528
3200 Obligated balance, end of year	968	1,528	1,667
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13,872	14,232	14,866
Outlays, gross:			
4010 Outlays from new discretionary authority	12,866	12,746	13,308
4011 Outlays from discretionary balances	998	926	1,419
4020 Outlays, gross (total)	13,864	13,672	14,727
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-21	-29	-25
4033 Non-Federal sources	-17		
4040 Offsets against gross budget authority and outlays (total)	-38	-29	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4		
4052 Offsetting collections credited to expired accounts	8		
4060 Additional offsets against budget authority only (total)	4		
4070 Budget authority, net (discretionary)	13,838	14,203	14,841
4080 Outlays, net (discretionary)	13,826	13,643	14,702
4180 Budget authority, net (total)	13,838	14,203	14,841
4190 Outlays, net (total)	13,826	13,643	14,702

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	13,838	14,203	14,841
Outlays	13,826	13,643	14,702
Overseas contingency operations:			
Budget Authority			130
Outlays			123
Total:			
Budget Authority	13,838	14,203	14,971
Outlays	13,826	13,643	14,825

Object Classification (in millions of dollars)

Identification code 017-1105-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	2,150	2,156	2,291
11.7 Military personnel	7,834	8,116	8,278
11.9 Total personnel compensation	9,984	10,272	10,569
12.2 Military personnel benefits	2,084	2,192	2,525
12.2 Military personnel benefits	1,101	1,178	1,196
13.0 Benefits for former personnel	19	20	8
21.0 Travel and transportation of persons	227	244	241
22.0 Transportation of things	188	180	187
25.7 Operation and maintenance of equipment	13	13	10
26.0 Supplies and materials	83	89	89
42.0 Insurance claims and indemnities	15	15	16
99.0 Direct obligations	13,714	14,203	14,841
99.0 Reimbursable obligations	33	29	25
99.9 Total new obligations, unexpired accounts	13,747	14,232	14,866

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS

Program and Financing (in millions of dollars)

Identification code 017-1001-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Officers	96	100	107
0002 Health care contribution - Enlisted	735	760	798
0900 Total new obligations, unexpired accounts (object class 12.2)	831	860	905
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	831	860	905
1930 Total budgetary resources available	831	860	905
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	831	860	905
3020 Outlays (gross)	-831	-860	-905
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	831	860	905
Outlays, gross:			
4010 Outlays from new discretionary authority	831	860	905
4180 Budget authority, net (total)	831	860	905
4190 Outlays, net (total)	831	860	905

MILITARY PERSONNEL, AIR FORCE

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Air Force and Space Force on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of

Defense Military Retirement Fund, [\$31,239,149,000] \$32,901,670,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3500-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and allowances of officers	9,691	10,224	10,680
0002 Pay and allowances of enlisted	18,513	19,376	19,677
0003 Pay and allowances of cadets	82	81	85
0004 Subsistence of enlisted personnel	1,302	1,321	1,193
0005 Permanent Change of Station Travel	1,166	1,125	1,177
0006 Other Military Personnel Costs	110	119	90
0799 Total direct obligations	30,864	32,246	32,902
0801 Reimbursable program activity	409	422	443
0900 Total new obligations, unexpired accounts	31,273	32,668	33,345
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	31,039	32,246	32,902
1120 Appropriations transferred to other acct [097-9999]	-91		
1160 Appropriation, discretionary (total)	30,948	32,246	32,902
Spending authority from offsetting collections, discretionary:			
1700 Collected	151	422	443
1701 Change in uncollected payments, Federal sources	121		
1750 Spending auth from offsetting collections, disc (total)	272	422	443
Spending authority from offsetting collections, mandatory:			
1800 Collected	137		
1900 Budget authority (total)	31,357	32,668	33,345
1930 Total budgetary resources available	31,357	32,668	33,345
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-84		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,592	2,614	2,534
3010 New obligations, unexpired accounts	31,273	32,668	33,345
3011 Obligations ("upward adjustments"), expired accounts	20		
3020 Outlays (gross)	-30,807	-32,748	-33,271
3041 Recoveries of prior year unpaid obligations, expired	-464		
3050 Unpaid obligations, end of year	2,614	2,534	2,608
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-294	-344	-344
3070 Change in uncollected pymts, Fed sources, unexpired	-121		
3071 Change in uncollected pymts, Fed sources, expired	71		
3090 Uncollected pymts, Fed sources, end of year	-344	-344	-344
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,298	2,270	2,190
3200 Obligated balance, end of year	2,270	2,190	2,264
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	31,220	32,668	33,345
Outlays, gross:			
4010 Outlays from new discretionary authority	29,208	30,637	31,206
4011 Outlays from discretionary balances	1,462	2,111	2,065
4020 Outlays, gross (total)	30,670	32,748	33,271
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-206	-422	-443
4033 Non-Federal sources	-19		
4040 Offsets against gross budget authority and outlays (total)	-225	-422	-443
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-121		
4052 Offsetting collections credited to expired accounts	74		
4060 Additional offsets against budget authority only (total)	-47		
4070 Budget authority, net (discretionary)	30,948	32,246	32,902
4080 Outlays, net (discretionary)	30,445	32,326	32,828
Mandatory:			
4090 Budget authority, gross	137		
Outlays, gross:			
4100 Outlays from new mandatory authority	137		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-137		
4180 Budget authority, net (total)	30,948	32,246	32,902
4190 Outlays, net (total)	30,445	32,326	32,828

MILITARY PERSONNEL, AIR FORCE—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	30,948	32,246	32,902
Outlays	30,445	32,326	32,828
Overseas contingency operations:			
Budget Authority			1,077
Outlays			976
Total:			
Budget Authority	30,948	32,246	33,979
Outlays	30,445	32,326	33,804

Object Classification (in millions of dollars)

Identification code 057-3500-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	5,430	5,745	5,694
11.7 Military personnel	16,931	17,621	17,605
11.9 Total personnel compensation	22,361	23,366	23,299
12.2 Military personnel benefits	4,479	4,762	5,433
12.2 Military personnel benefits	2,917	3,033	3,077
13.0 Benefits for former personnel	20	25	6
21.0 Travel and transportation of persons	253	188	254
22.0 Transportation of things	708	740	715
25.7 Operation and maintenance of equipment	27	30	28
26.0 Supplies and materials	76	78	73
42.0 Insurance claims and indemnities	21	23	15
43.0 Interest and dividends	2	2	2
99.0 Direct obligations	30,864	32,247	32,902
99.0 Reimbursable obligations	409	421	443
99.9 Total new obligations, unexpired accounts	31,273	32,668	33,345

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1007-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Officers	280	294	319
0002 Health care contribution - Enlisted	1,169	1,221	1,304
0900 Total new obligations, unexpired accounts (object class 12.2)	1,449	1,515	1,623
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,449	1,515	1,623
1930 Total budgetary resources available	1,449	1,515	1,623
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1,449	1,515	1,623
3020 Outlays (gross)	-1,449	-1,515	-1,623
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,449	1,515	1,623
Outlays, gross:			
4010 Outlays from new discretionary authority	1,449	1,515	1,623
4180 Budget authority, net (total)	1,449	1,515	1,623
4190 Outlays, net (total)	1,449	1,515	1,623

RESERVE PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army Reserve on active duty under sections 10211, 10302, and 7038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses

authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$4,922,087,000]** \$5,106,956,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2070-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reserve component training and support	4,851	4,957	5,107
0801 Reimbursable program activity	39	43	43
0900 Total new obligations, unexpired accounts	4,890	5,000	5,150
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,874	4,957	5,107
1120 Appropriations transferred to other acct [097-9999]	-18		
1121 Appropriations transferred from other acct [097-9999]	7		
1160 Appropriation, discretionary (total)	4,863	4,957	5,107
Spending authority from offsetting collections, discretionary:			
1700 Collected	32	43	43
1701 Change in uncollected payments, Federal sources	7		
1750 Spending auth from offsetting collections, disc (total)	39	43	43
1900 Budget authority (total)	4,902	5,000	5,150
1930 Total budgetary resources available	4,902	5,000	5,150
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-12		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	367	433	488
3010 New obligations, unexpired accounts	4,890	5,000	5,150
3011 Obligations ("upward adjustments"), expired accounts	396		
3020 Outlays (gross)	-4,907	-4,945	-5,085
3041 Recoveries of prior year unpaid obligations, expired	-313		
3050 Unpaid obligations, end of year	433	488	553
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired	-7		
3071 Change in uncollected pymts, Fed sources, expired	13		
3090 Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	345	417	472
3200 Obligated balance, end of year	417	472	537
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,902	5,000	5,150
Outlays, gross:			
4010 Outlays from new discretionary authority	4,608	4,603	4,741
4011 Outlays from discretionary balances	299	342	344
4020 Outlays, gross (total)	4,907	4,945	5,085
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-204	-43	-43
4040 Offsets against gross budget authority and outlays (total)	-204	-43	-43
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-7		
4052 Offsetting collections credited to expired accounts	172		
4060 Additional offsets against budget authority only (total)	165		
4070 Budget authority, net (discretionary)	4,863	4,957	5,107
4080 Outlays, net (discretionary)	4,703	4,902	5,042
4180 Budget authority, net (total)	4,863	4,957	5,107
4190 Outlays, net (total)	4,703	4,902	5,042

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,863	4,957	5,107
Outlays	4,703	4,902	5,042
Overseas contingency operations:			
Budget Authority			33
Outlays			31
Total:			
Budget Authority	4,863	4,957	5,140

Outlays	4,703	4,902	5,073
Object Classification (in millions of dollars)			
Identification code 021–2070–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	552	566	590
11.7 Military personnel	2,761	2,859	2,902
11.9 Total personnel compensation	3,313	3,425	3,492
12.2 Military personnel benefits	669	691	767
12.2 Military personnel benefits	555	529	538
21.0 Travel and transportation of persons	267	277	272
26.0 Supplies and materials	39	34	37
42.0 Insurance claims and indemnities	9	1	1
99.0 Direct obligations	4,852	4,957	5,107
99.0 Reimbursable obligations	38	43	43
99.9 Total new obligations, unexpired accounts	4,890	5,000	5,150

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,060	2,128	2,241
1120 Appropriations transferred to other acct [097–9999]	4		
1121 Appropriations transferred from other acct [097–9999]	7		
1160 Appropriation, discretionary (total)	2,063	2,128	2,241
Spending authority from offsetting collections, discretionary:			
1700 Collected	18	37	38
1701 Change in uncollected payments, Federal sources	15		
1750 Spending auth from offsetting collections, disc (total)	33	37	38
1900 Budget authority (total)	2,096	2,165	2,279
1930 Total budgetary resources available	2,096	2,166	2,281
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	4		
1941 Unexpired unobligated balance, end of year	1	2	

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL, ARMY

Program and Financing (in millions of dollars)			
Identification code 021–1005–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Reserve component	387	395	418
0900 Total new obligations, unexpired accounts (object class 12.2)	387	395	418
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	387	395	418
1930 Total budgetary resources available	387	395	418
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	387	395	418
3020 Outlays (gross)	–387	–395	–418
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	387	395	418
Outlays, gross:			
4010 Outlays from new discretionary authority	387	395	418
4180 Budget authority, net (total)	387	395	418
4190 Outlays, net (total)	387	395	418

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	169	148	137
3010 New obligations, unexpired accounts	2,091	2,164	2,281
3011 Obligations ("upward adjustments"), expired accounts	35		
3020 Outlays (gross)	–2,107	–2,175	–2,246
3041 Recoveries of prior year unpaid obligations, expired	–40		
3050 Unpaid obligations, end of year	148	137	172
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–11	–22	–22
3070 Change in uncollected pymts, Fed sources, unexpired	–15		
3071 Change in uncollected pymts, Fed sources, expired	4		
3090 Uncollected pymts, Fed sources, end of year	–22	–22	–22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	158	126	115
3200 Obligated balance, end of year	126	115	150

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,096	2,165	2,279
Outlays, gross:			
4010 Outlays from new discretionary authority	1,968	2,026	2,133
4011 Outlays from discretionary balances	139	149	113
4020 Outlays, gross (total)	2,107	2,175	2,246
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–21	–37	–38
4033 Non-Federal sources	–1		
4040 Offsets against gross budget authority and outlays (total)	–22	–37	–38
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–15		
4052 Offsetting collections credited to expired accounts	4		
4060 Additional offsets against budget authority only (total)	–11		
4070 Budget authority, net (discretionary)	2,063	2,128	2,241
4080 Outlays, net (discretionary)	2,085	2,138	2,208
4180 Budget authority, net (total)	2,063	2,128	2,241
4190 Outlays, net (total)	2,085	2,138	2,208

RESERVE PERSONNEL, NAVY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Navy Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$2,115,997,000] \$2,240,710,000.** (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)			
Identification code 017–1405–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reserve Component Training and Support	2,060	2,127	2,241
0801 Reimbursable program activity	31	37	40
0900 Total new obligations, unexpired accounts	2,091	2,164	2,281

Summary of Budget Authority and Outlays (in millions of dollars)			
	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,063	2,128	2,241
Outlays	2,085	2,138	2,208
Overseas contingency operations:			
Budget Authority			12
Outlays			11
Total:			
Budget Authority	2,063	2,128	2,253
Outlays	2,085	2,138	2,219

Object Classification (in millions of dollars)			
Identification code 017–1405–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	282	285	295
11.7 Military personnel	1,170	1,198	1,257
11.9 Total personnel compensation	1,452	1,483	1,552

RESERVE PERSONNEL, NAVY—Continued
Object Classification—Continued

Identification code 017-1405-0-1-051	2019 actual	2020 est.	2021 est.
12.2 Military personnel benefits	270	286	330
12.2 Military personnel benefits	113	118	124
21.0 Travel and transportation of persons	198	209	213
22.0 Transportation of things	13	16	14
26.0 Supplies and materials	15	14	9
42.0 Insurance claims and indemnities		1	
99.0 Direct obligations	2,061	2,127	2,242
99.0 Reimbursable obligations	30	37	39
99.9 Total new obligations, unexpired accounts	2,091	2,164	2,281

1700 Spending authority from offsetting collections, discretionary: Collected	6	11	11
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	7	11	11
1900 Budget authority (total)	792	849	880
1930 Total budgetary resources available	792	849	882
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year		2	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	56	51	48
3010 New obligations, unexpired accounts	789	847	879
3011 Obligations ("upward adjustments"), expired accounts	19		
3020 Outlays (gross)	-788	-850	-874
3041 Recoveries of prior year unpaid obligations, expired	-25		
3050 Unpaid obligations, end of year	51	48	53
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	53	50	47
3200 Obligated balance, end of year	50	47	52

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	792	849	880
Outlays, gross:			
4010 Outlays from new discretionary authority	744	799	828
4011 Outlays from discretionary balances	44	51	46
4020 Outlays, gross (total)	788	850	874
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-11	-11
4040 Offsets against gross budget authority and outlays (total)	-9	-11	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	3		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	785	838	869
4080 Outlays, net (discretionary)	779	839	863
4180 Budget authority, net (total)	785	838	869
4190 Outlays, net (total)	779	839	863

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	785	838	869
Outlays	779	839	863
Overseas contingency operations:			
Budget Authority			2
Outlays			2
Total:			
Budget Authority	785	838	871
Outlays	779	839	865

Object Classification (in millions of dollars)

Identification code 017-1108-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	80	89	90
11.7 Military personnel	456	484	493
11.9 Total personnel compensation	536	573	583
12.2 Military personnel benefits	105	113	128
12.2 Military personnel benefits	53	58	59
21.0 Travel and transportation of persons	70	73	78
22.0 Transportation of things	5	5	6
26.0 Supplies and materials	12	13	13
41.0 Grants, subsidies, and contributions	1	2	1
99.0 Direct obligations	782	837	868
99.0 Reimbursable obligations	7	10	11

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,
NAVY

Program and Financing (in millions of dollars)

Identification code 017-1002-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Reserve component	131	137	146
0900 Total new obligations, unexpired accounts (object class 12.2)	131	137	146
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	131	137	146
1930 Total budgetary resources available	131	137	146
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	131	137	146
3020 Outlays (gross)	-131	-137	-146
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	131	137	146
Outlays, gross:			
4010 Outlays from new discretionary authority	131	137	146
4180 Budget authority, net (total)	131	137	146
4190 Outlays, net (total)	131	137	146

RESERVE PERSONNEL, MARINE CORPS

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Marine Corps Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Marine Corps platoon leaders class, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$833,604,000] \$868,694,000.** (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1108-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reserve component training and support	782	836	868
0801 Reimbursable program activity	7	11	11
0900 Total new obligations, unexpired accounts	789	847	879
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	785	838	869

99.9	Total new obligations, unexpired accounts	789	847	879
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MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,
MARINE CORPS

Program and Financing (in millions of dollars)

Identification code 017-1003-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Reserve component	74	77	82
0900 Total new obligations, unexpired accounts (object class 12.2)	74	77	82
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	74	77	82
1930 Total budgetary resources available	74	77	82
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	74	77	82
3020 Outlays (gross)	-74	-77	-82
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	74	77	82
Outlays, gross:			
4010 Outlays from new discretionary authority	74	77	82
4180 Budget authority, net (total)	74	77	82
4190 Outlays, net (total)	74	77	82

RESERVE PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air Force Reserve on active duty under sections 10211, 10305, and [8038] 9038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, [\$2,014,190,000] \$2,207,823,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3700-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reserve component training and support	1,874	2,031	2,208
0801 Reimbursable program activity	10	11	12
0900 Total new obligations, unexpired accounts	1,884	2,042	2,220
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,881	2,031	2,208
1120 Appropriations transferred to other acct [097-9999]	-5		
1121 Appropriations transferred from other acct [097-9999]	6		
1160 Appropriation, discretionary (total)	1,882	2,031	2,208
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	11	12
1900 Budget authority (total)	1,892	2,042	2,220
1930 Total budgetary resources available	1,892	2,042	2,220
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	252	205	221
3010 New obligations, unexpired accounts	1,884	2,042	2,220
3011 Obligations ("upward adjustments"), expired accounts	48		
3020 Outlays (gross)	-1,903	-2,026	-2,234

3041 Recoveries of prior year unpaid obligations, expired	-76		
3050 Unpaid obligations, end of year	205	221	207
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	250	204	220
3200 Obligated balance, end of year	204	220	206

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,892	2,042	2,220
Outlays, gross:			
4010 Outlays from new discretionary authority	1,765	1,880	2,043
4011 Outlays from discretionary balances	138	146	191
4020 Outlays, gross (total)	1,903	2,026	2,234
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-10	-11	-12
4033 Non-Federal sources	-6		
4040 Offsets against gross budget authority and outlays (total) ...	-16	-11	-12
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	6		
4060 Additional offsets against budget authority only (total)	6		
4070 Budget authority, net (discretionary)	1,882	2,031	2,208
4080 Outlays, net (discretionary)	1,887	2,015	2,222
4180 Budget authority, net (total)	1,882	2,031	2,208
4190 Outlays, net (total)	1,887	2,015	2,222

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,882	2,031	2,208
Outlays	1,887	2,015	2,222
Overseas contingency operations:			
Budget Authority			17
Outlays			16
Total:			
Budget Authority	1,882	2,031	2,225
Outlays	1,887	2,015	2,238

Object Classification (in millions of dollars)

Identification code 057-3700-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing	203	224	251
11.7 Military personnel	1,096	1,179	1,273
11.9 Total personnel compensation	1,299	1,403	1,524
12.2 Military personnel benefits	235	250	303
12.2 Military personnel benefits	119	134	142
21.0 Travel and transportation of persons	199	208	214
22.0 Transportation of things	10	12	14
26.0 Supplies and materials	11	23	10
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	1,874	2,031	2,208
99.0 Reimbursable obligations	10	11	12
99.9 Total new obligations, unexpired accounts	1,884	2,042	2,220

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,
AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1008-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health care contribution - Reserve component	133	140	150
0900 Total new obligations, unexpired accounts (object class 12.2)	133	140	150

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE PERSONNEL,
AIR FORCE—Continued

Program and Financing—Continued

Identification code 057-1008-0-1-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100			
1930	133	140	150
Total budgetary resources available	133	140	150
Change in obligated balance:			
Unpaid obligations:			
3010	133	140	150
3020	-133	-140	-150
Budget authority and outlays, net:			
Discretionary:			
4000	133	140	150
Outlays, gross:			
4010	133	140	150
4180	133	140	150
4190	133	140	150

NATIONAL GUARD PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army National Guard while on duty under sections 10211, 10302, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$8,704,320,000]** \$8,830,111,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2060-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	9,084	8,907	8,830
0801	41	51	51
0900	9,125	8,958	8,881
Budgetary resources:			
Unobligated balance:			
1000		1	1
Budget authority:			
Appropriations, discretionary:			
1100	8,796	8,907	8,830
1120	-26		
1121	364		
1160	9,134	8,907	8,830
Spending authority from offsetting collections, discretionary:			
1700	21	51	51
1701	21		
1750	42	51	51
1900	9,176	8,958	8,881
1930	9,176	8,959	8,882
Memorandum (non-add) entries:			
1940	-50		
1941	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000	872	422	630
3010	9,125	8,958	8,881
3011	478		
3020	-9,353	-8,750	-8,785
3041	-700		
3050	422	630	726
Uncollected payments:			
3060	-33	-33	-33
3070	-21		

3071	Change in uncollected pymts, Fed sources, expired	21		
3090	Uncollected pymts, Fed sources, end of year	-33	-33	-33
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	839	389	597
3200	Obligated balance, end of year	389	597	693

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	9,176	8,958	8,881
Outlays, gross:				
4010	Outlays from new discretionary authority	9,069	8,349	8,263
4011	Outlays from discretionary balances	284	401	522
4020	Outlays, gross (total)	9,353	8,750	8,785
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-160	-51	-51
4040	Offsets against gross budget authority and outlays (total)	-160	-51	-51
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-21		
4052	Offsetting collections credited to expired accounts	139		
4060	Additional offsets against budget authority only (total)	118		
4070	Budget authority, net (discretionary)	9,134	8,907	8,830
4080	Outlays, net (discretionary)	9,193	8,699	8,734
4180	Budget authority, net (total)	9,134	8,907	8,830
4190	Outlays, net (total)	9,193	8,699	8,734

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	9,134	8,907	8,830
Outlays	9,193	8,699	8,734
Overseas contingency operations:			
Budget Authority			195
Outlays			171
Total:			
Budget Authority	9,134	8,907	9,025
Outlays	9,193	8,699	8,905

Object Classification (in millions of dollars)

Identification code 021-2060-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing	1,158	1,145	1,141
11.7	Military personnel	5,416	5,150	5,007
11.9	Total personnel compensation	6,574	6,295	6,148
12.2	Military personnel benefits	1,086	1,311	1,440
12.2	Military personnel benefits	853	809	776
21.0	Travel and transportation of persons	471	379	356
26.0	Supplies and materials	100	112	109
42.0	Insurance claims and indemnities		1	1
99.0	Direct obligations	9,084	8,907	8,830
99.0	Reimbursable obligations	41	51	51
99.9	Total new obligations, unexpired accounts	9,125	8,958	8,881

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD
PERSONNEL, ARMY

Program and Financing (in millions of dollars)

Identification code 021-1006-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Health care contribution - Reserve component	685	704	744
0900	Total new obligations, unexpired accounts (object class 12.2)	685	704	744
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	685	704	744
1930	Total budgetary resources available	685	704	744

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	685	704	744
3020	Outlays (gross)	-685	-704	-744
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	685	704	744
Outlays, gross:				
4010	Outlays from new discretionary authority	685	704	744
4180	Budget authority, net (total)	685	704	744
4190	Outlays, net (total)	685	704	744

NATIONAL GUARD PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air National Guard on duty under sections 10211, 10305, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **【\$4,060,651,000】\$4,547,087,000.** (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 057-3850-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Reserve component training and support	3,751	4,066	4,547
0801	Reimbursable program activity	52	54	54
0900	Total new obligations, unexpired accounts	3,803	4,120	4,601
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	3,705	4,066	4,547
1120	Appropriations transferred to other acct [097-9999]	-9		
1121	Appropriations transferred from other acct [097-9999]	60		
1160	Appropriation, discretionary (total)	3,756	4,066	4,547
Spending authority from offsetting collections, discretionary:				
1700	Collected	35	54	54
1701	Change in uncollected payments, Federal sources	16		
1750	Spending auth from offsetting collections, disc (total)	51	54	54
1900	Budget authority (total)	3,807	4,120	4,601
1930	Total budgetary resources available	3,807	4,120	4,601
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-4		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	303	236	196
3010	New obligations, unexpired accounts	3,803	4,120	4,601
3011	Obligations ("upward adjustments"), expired accounts	90		
3020	Outlays (gross)	-3,837	-4,160	-4,547
3041	Recoveries of prior year unpaid obligations, expired	-123		
3050	Unpaid obligations, end of year	236	196	250
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-16	-16
3070	Change in uncollected pymts, Fed sources, unexpired	-16		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	302	220	180
3200	Obligated balance, end of year	220	180	234
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3,807	4,120	4,601
Outlays, gross:				
4010	Outlays from new discretionary authority	3,671	3,937	4,396
4011	Outlays from discretionary balances	166	223	151
4020	Outlays, gross (total)	3,837	4,160	4,547

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-40	-54	-54
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-43	-54	-54
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-16		
4052	Offsetting collections credited to expired accounts	8		
4060	Additional offsets against budget authority only (total)	-8		
4070	Budget authority, net (discretionary)	3,756	4,066	4,547
4080	Outlays, net (discretionary)	3,794	4,106	4,493
4180	Budget authority, net (total)	3,756	4,066	4,547
4190	Outlays, net (total)	3,794	4,106	4,493

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,756	4,066	4,547
Outlays	3,794	4,106	4,493
Overseas contingency operations:			
Budget Authority			6
Outlays			6
Total:			
Budget Authority	3,756	4,066	4,553
Outlays	3,794	4,106	4,499

Object Classification (in millions of dollars)

Identification code 057-3850-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.6	Military personnel - basic allowance for housing	560	635	719
11.7	Military personnel	2,158	2,348	2,579
11.9	Total personnel compensation	2,718	2,983	3,298
12.2	Military personnel benefits	539	595	736
12.2	Military personnel benefits	279	277	301
21.0	Travel and transportation of persons	205	200	201
22.0	Transportation of things	6	7	7
42.0	Insurance claims and indemnities	4	4	4
99.0	Direct obligations	3,751	4,066	4,547
99.0	Reimbursable obligations	52	54	54
99.9	Total new obligations, unexpired accounts	3,803	4,120	4,601

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 057-1009-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Health care contribution - Reserve component	236	255	279
0900	Total new obligations, unexpired accounts (object class 12.2)	236	255	279
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	236	255	279
1930	Total budgetary resources available	236	255	279
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	236	255	279
3020	Outlays (gross)	-236	-255	-279
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	236	255	279
Outlays, gross:				
4010	Outlays from new discretionary authority	236	255	279
4180	Budget authority, net (total)	236	255	279

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD PERSONNEL, AIR FORCE—Continued

Program and Financing—Continued

Identification code 057-1009-0-1-051	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	236	255	279

Program and Financing (in millions of dollars)

Identification code 021-2020-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Forces	43,614	41,350	24,692
0002 Mobilization	945	890	730
0003 Training and Recruiting	5,124	5,181	5,300
0004 Administration and Service-wide Activities	12,613	12,212	9,591
0799 Total direct obligations	62,296	59,633	40,313
0801 Reimbursable program activity	8,160	5,337	11,677
0900 Total new obligations, unexpired accounts	70,456	64,970	51,990

CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 097-0041-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	7,909	8,505	10,605
0900 Total new obligations, unexpired accounts (object class 12.2)	7,909	8,505	10,605
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	8,663	9,305	10,605
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-754	-800	
1260 Appropriations, mandatory (total)	7,909	8,505	10,605
1930 Total budgetary resources available	7,909	8,505	10,605
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	7,909	8,505	10,605
3020 Outlays (gross)	-7,909	-8,505	-10,605
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7,909	8,505	10,605
Outlays, gross:			
4100 Outlays from new mandatory authority	7,909	8,505	10,605
4180 Budget authority, net (total)	7,909	8,505	10,605
4190 Outlays, net (total)	7,909	8,505	10,605

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	126	51	56
1001 Discretionary unobligated balance brought fwd, Oct 1	125	50	
1011 Unobligated balance transfer from other acct [097-9999]	50		
1050 Unobligated balance (total)	176	51	56
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	58,834	59,633	40,313
1120 Appropriations transferred to other acct [097-9999]	-140		
1120 Appropriations transferred to other acct [245-2765]	-1		
1121 Appropriations transferred from other acct [097-9999]	3,631		
1160 Appropriation, discretionary (total)	62,324	59,633	40,313
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	5	5	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	3,562	5,337	11,677
1701 Change in uncollected payments, Federal sources	4,598		
1750 Spending auth from offsetting collections, disc (total)	8,160	5,337	11,677
1900 Budget authority (total)	70,489	64,975	51,997
1930 Total budgetary resources available	70,665	65,026	52,053
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-158		
1941 Unexpired unobligated balance, end of year	51	56	63

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	42,608	44,029	46,260
3010 New obligations, unexpired accounts	70,456	64,970	51,990
3011 Obligations ("upward adjustments"), expired accounts	3,051		
3020 Outlays (gross)	-65,346	-62,739	-66,290
3041 Recoveries of prior year unpaid obligations, expired	-6,740		
3050 Unpaid obligations, end of year	44,029	46,260	31,960
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9,866	-8,845	-8,845
3070 Change in uncollected pymts, Fed sources, unexpired	-4,598		
3071 Change in uncollected pymts, Fed sources, expired	5,619		
3090 Uncollected pymts, Fed sources, end of year	-8,845	-8,845	-8,845
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	32,742	35,184	37,415
3200 Obligated balance, end of year	35,184	37,415	23,115

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	70,484	64,970	51,990
Outlays, gross:			
4010 Outlays from new discretionary authority	40,307	39,924	35,059
4011 Outlays from discretionary balances	25,039	22,812	31,228
4020 Outlays, gross (total)	65,346	62,736	66,287
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-8,242	-5,337	-11,677
4033 Non-Federal sources	-526		
4040 Offsets against gross budget authority and outlays (total)	-8,768	-5,337	-11,677
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4,598		
4052 Offsetting collections credited to expired accounts	5,206		
4060 Additional offsets against budget authority only (total)	608		
4070 Budget authority, net (discretionary)	62,324	59,633	40,313
4080 Outlays, net (discretionary)	56,578	57,399	54,610
Mandatory:			
4090 Budget authority, gross	5	5	7
Outlays, gross:			
4100 Outlays from new mandatory authority		3	
4101 Outlays from mandatory balances			3

OPERATION AND MAINTENANCE

These appropriations finance the cost of operating and maintaining the Armed Forces, including the Reserve components and related support activities of the Department of Defense, except military personnel pay and allowances. Included are amounts for training and operation costs, pay of civilians, contract services for maintenance of equipment and facilities, fuel, supplies, and repair parts for weapons and equipment. Financial requirements are influenced by many factors, including the number of aircraft squadrons, Army brigades and Marine Corps regiments, installations, military strength and deployments, rates of operational activity, and the quantity and complexity of major equipment (aircraft, ships, missiles, tanks, et cetera) in operation.

Federal Funds

OPERATION AND MAINTENANCE, ARMY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Army, as authorized by law, **[\$39,597,083,000] \$40,312,968,000: Provided**, That not to exceed \$12,478,000 **[can]** may be used for emergencies and extraordinary expenses, to be expended **[on]** upon the approval or authority of the Secretary of the Army, and payments may be made **[on]** upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2022, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2020.)

4110	Outlays, gross (total)		3	3
4180	Budget authority, net (total)	62,329	59,638	40,320
4190	Outlays, net (total)	56,578	57,402	54,613

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	62,329	59,638	40,320
Outlays	56,578	57,402	54,613
Overseas contingency operations:			
Budget Authority			17,138
Outlays			9,940
Total:			
Budget Authority	62,329	59,638	57,458
Outlays	56,578	57,402	64,553

Object Classification (in millions of dollars)

Identification code 021–2020–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	7,299	7,419	7,877
11.3 Other than full-time permanent	134	92	89
11.5 Other personnel compensation	414	183	272
Total personnel compensation	7,847	7,694	8,238
12.1 Civilian personnel benefits	2,796	3,011	3,282
13.0 Benefits for former personnel	33	14	14
21.0 Travel and transportation of persons	1,702	1,388	949
22.0 Transportation of things	2,132	2,436	887
23.1 Rental payments to GSA	53	159	159
23.2 Rental payments to others	692	756	427
23.3 Communications, utilities, and miscellaneous charges	1,657	1,821	1,539
24.0 Printing and reproduction	129	259	296
25.1 Advisory and assistance services	4,051	3,104	1,443
25.2 Other services from non-Federal sources	4,918	4,103	2,325
25.3 Other goods and services from Federal sources	3,848	3,982	2,500
25.3 Other goods and services from Federal sources	434	433	433
25.3 Other goods and services from Federal sources	2,999	3,804	2,431
25.4 Operation and maintenance of facilities	6,333	6,175	4,126
25.5 Research and development contracts		2	3
25.6 Medical care	6	1	1
25.7 Operation and maintenance of equipment	7,563	7,696	4,931
25.8 Subsistence and support of persons	302	212	100
26.0 Supplies and materials	8,231	8,103	4,339
31.0 Equipment	2,716	2,737	907
32.0 Land and structures	3,416	1,294	631
41.0 Grants, subsidies, and contributions	411	423	325
42.0 Insurance claims and indemnities	22	26	27
43.0 Interest and dividends	5		
Direct obligations	62,296	59,633	40,313
99.0 Reimbursable obligations	8,160	5,337	11,677
Total new obligations, unexpired accounts	70,456	64,970	51,990

Employment Summary

Identification code 021–2020–0–1–051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	91,189	92,006	96,013
2001 Reimbursable civilian full-time equivalent employment	10,228	8,511	8,682

OPERATION AND MAINTENANCE, NAVY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Navy and the Marine Corps, as authorized by law, **[\$47,622,510,000] \$49,692,742,000: Provided**, That not to exceed **[\$15,055,000 can] \$15,055,000** may be used for emergencies and extraordinary expenses, to be expended **[on] upon** the approval or authority of the Secretary of the Navy, and payments may be made **[on] upon** his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2022, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2020.)

[For an additional amount for "Operation and Maintenance, Navy", \$427,000,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement

pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.] (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017–1804–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	46,344	48,414	40,702
0002 Mobilization	955	1,852	1,697
0003 Training and recruiting	1,945	2,141	2,366
0004 Administration and servicewide activities	4,813	4,415	4,928
0799 Total direct obligations	54,057	56,822	49,693
0801 Reimbursable program activity	4,141	7,878	8,847
0900 Total new obligations, unexpired accounts	58,198	64,700	58,540
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	189
1001 Discretionary unobligated balance brought fwd, Oct 1	5	6	
1020 Adjustment of unobligated bal brought forward, Oct 1		169	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	6	175	189
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	53,379	56,822	49,693
1120 Appropriations transferred to other acct [097–9999]	–250		
1121 Appropriations transferred from other acct [097–9999]	813		
1160 Appropriation, discretionary (total)	53,942	56,822	49,693
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512]	10	15	17
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		–1	
1260 Appropriations, mandatory (total)	10	14	17
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,862	7,878	8,847
1701 Change in uncollected payments, Federal sources	2,282		
1750 Spending auth from offsetting collections, disc (total)	5,144	7,878	8,847
1900 Budget authority (total)	59,096	64,714	58,557
1930 Total budgetary resources available	59,102	64,889	58,746
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–898		
1941 Unexpired unobligated balance, end of year	6	189	206
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24,415	24,555	24,498
3010 New obligations, unexpired accounts	58,198	64,700	58,540
3011 Obligations ("upward adjustments"), expired accounts	2,184		
3020 Outlays (gross)	–57,444	–64,757	–61,071
3040 Recoveries of prior year unpaid obligations, unexpired	–1		
3041 Recoveries of prior year unpaid obligations, expired	–2,797		
3050 Unpaid obligations, end of year	24,555	24,498	21,967
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2,506	–2,916	–2,916
3070 Change in uncollected pymts, Fed sources, unexpired	–2,282		
3071 Change in uncollected pymts, Fed sources, expired	1,872		
3090 Uncollected pymts, Fed sources, end of year	–2,916	–2,916	–2,916
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21,909	21,639	21,582
3200 Obligated balance, end of year	21,639	21,582	19,051
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	59,086	64,700	58,540
Outlays, gross:			
4010 Outlays from new discretionary authority	41,046	47,086	43,135
4011 Outlays from discretionary balances	16,398	17,661	17,921
4020 Outlays, gross (total)	57,444	64,747	61,056
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–3,328	–7,878	–8,847
4033 Non-Federal sources	–486		
4040 Offsets against gross budget authority and outlays (total)	–3,814	–7,878	–8,847
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–2,282		
4052 Offsetting collections credited to expired accounts	952		
4060 Additional offsets against budget authority only (total)	–1,330		

OPERATION AND MAINTENANCE, NAVY—Continued
Program and Financing—Continued

Identification code 017-1804-0-1-051	2019 actual	2020 est.	2021 est.
4070 Budget authority, net (discretionary)	53,942	56,822	49,693
4080 Outlays, net (discretionary)	53,630	56,869	52,209
Mandatory:			
4090 Budget authority, gross	10	14	17
Outlays, gross:			
4100 Outlays from new mandatory authority		10	12
4101 Outlays from mandatory balances			3
4110 Outlays, gross (total)		10	15
4180 Budget authority, net (total)	53,952	56,836	49,710
4190 Outlays, net (total)	53,630	56,879	52,224

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	53,952	56,836	49,710
Outlays	53,630	56,879	52,224
Overseas contingency operations:			
Budget Authority			10,700
Outlays			7,276
Total:			
Budget Authority	53,952	56,836	60,410
Outlays	53,630	56,879	59,500

Object Classification (in millions of dollars)

Identification code 017-1804-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6,698	7,517	7,834
11.3 Other than full-time permanent	113	99	105
11.5 Other personnel compensation	665	588	622
11.8 Special personal services payments	46	49	49
11.9 Total personnel compensation	7,522	8,253	8,610
12.1 Civilian personnel benefits	2,522	3,066	3,153
13.0 Benefits for former personnel	11	13	13
21.0 Travel and transportation of persons	1,149	1,811	761
22.0 Transportation of things	359	439	316
23.1 Rental payments to GSA	25		40
23.2 Rental payments to others	271	490	200
23.3 Communications, utilities, and miscellaneous charges	875	516	848
24.0 Printing and reproduction	53	64	64
25.1 Advisory and assistance services	1,744	1,085	976
25.2 Other services from non-Federal sources	1,160	1,243	1,186
25.3 Other goods and services from Federal sources	3,945	4,796	4,652
25.3 Other goods and services from Federal sources	56	82	82
25.3 Other goods and services from Federal sources	9,177	10,357	7,383
25.4 Operation and maintenance of facilities	2,522	3,336	2,468
25.5 Research and development contracts	76	5	13
25.6 Medical care	2	2	144
25.7 Operation and maintenance of equipment	10,399	9,781	9,615
25.8 Subsistence and support of persons	130	29	160
26.0 Supplies and materials	7,045	6,649	4,054
31.0 Equipment	4,313	4,374	4,298
32.0 Land and structures	622	368	596
41.0 Grants, subsidies, and contributions	49	49	46
42.0 Insurance claims and indemnities	17	13	14
43.0 Interest and dividends	13	1	1
99.0 Direct obligations	54,057	56,822	49,693
99.0 Reimbursable obligations	4,141	7,878	8,847
99.9 Total new obligations, unexpired accounts	58,198	64,700	58,540

Employment Summary

Identification code 017-1804-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	81,921	89,761	91,893
2001 Reimbursable civilian full-time equivalent employment	13,780	18,375	17,311

OPERATION AND MAINTENANCE, MARINE CORPS

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Marine Corps, as authorized by law, **[\$7,868,468,000] \$7,328,607,000.** (Department of Defense Appropriations Act, 2020.)

For an additional amount for "Operation and Maintenance, Marine Corps", \$394,000,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: Provided, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1106-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	7,347	7,946	5,949
0003 Training and recruiting	869	896	889
0004 Administration and Service-wide Activities	523	530	491
0799 Total direct obligations	8,739	9,372	7,329
0801 Reimbursable program activity	275	240	245
0900 Total new obligations, unexpired accounts	9,014	9,612	7,574

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	3
1001 Discretionary unobligated balance brought fwd, Oct 1		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8,237	9,372	7,329
1121 Appropriations transferred from other acct [097-9999]	508		
1160 Appropriation, discretionary (total)	8,745	9,372	7,329
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	2	2	3
Spending authority from offsetting collections, discretionary:			
1700 Collected	249	240	245
1701 Change in uncollected payments, Federal sources	29		
1750 Spending auth from offsetting collections, disc (total)	278	240	245
1900 Budget authority (total)	9,025	9,614	7,577
1930 Total budgetary resources available	9,025	9,615	7,580
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10		
1941 Unexpired unobligated balance, end of year	1	3	6

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,104	4,496	4,811
3010 New obligations, unexpired accounts	9,014	9,612	7,574
3011 Obligations ("upward adjustments"), expired accounts	335		
3020 Outlays (gross)	-8,476	-9,297	-8,433
3041 Recoveries of prior year unpaid obligations, expired	-481		
3050 Unpaid obligations, end of year	4,496	4,811	3,952
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-82	-38	-38
3070 Change in uncollected pymts, Fed sources, unexpired	-29		
3071 Change in uncollected pymts, Fed sources, expired	73		
3090 Uncollected pymts, Fed sources, end of year	-38	-38	-38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,022	4,458	4,773
3200 Obligated balance, end of year	4,458	4,773	3,914

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	9,023	9,612	7,574
Outlays, gross:			
4010 Outlays from new discretionary authority	5,423	5,863	4,642
4011 Outlays from discretionary balances	3,053	3,433	3,790
4020 Outlays, gross (total)	8,476	9,296	8,432
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-257	-240	-245
4033 Non-Federal sources	-60		
4040 Offsets against gross budget authority and outlays (total)	-317	-240	-245
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-29		
4052 Offsetting collections credited to expired accounts	68		

4060	Additional offsets against budget authority only (total)	39		
4070	Budget authority, net (discretionary)	8,745	9,372	7,329
4080	Outlays, net (discretionary)	8,159	9,056	8,187
Mandatory:				
4090	Budget authority, gross	2	2	3
Outlays, gross:				
4100	Outlays from new mandatory authority		1	
4101	Outlays from mandatory balances			1
4110	Outlays, gross (total)		1	1
4180	Budget authority, net (total)	8,747	9,374	7,332
4190	Outlays, net (total)	8,159	9,057	8,188

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	8,747	9,374	7,332
Outlays	8,159	9,057	8,188
Overseas contingency operations:			
Budget Authority			1,103
Outlays			662
Total:			
Budget Authority	8,747	9,374	8,435
Outlays	8,159	9,057	8,850

Object Classification (in millions of dollars)

Identification code 017-1106-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,236	1,356	1,392
11.3 Other than full-time permanent	17	10	9
11.5 Other personnel compensation	55	37	33
11.8 Special personal services payments	9		
11.9 Total personnel compensation	1,317	1,403	1,434
12.1 Civilian personnel benefits	452	505	532
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	414	458	343
22.0 Transportation of things	120	116	57
23.1 Rental payments to GSA	7	8	8
23.2 Rental payments to others	49	40	34
23.3 Communications, utilities, and miscellaneous charges	296	321	323
24.0 Printing and reproduction	106	104	108
25.1 Advisory and assistance services	1,087	1,061	815
25.2 Other services from non-Federal sources	433	432	333
25.3 Other goods and services from Federal sources	451	465	371
25.3 Other goods and services from Federal sources	43	25	25
25.3 Other goods and services from Federal sources	868	852	683
25.4 Operation and maintenance of facilities	1,416	1,705	786
25.5 Research and development contracts	3	2	
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	389	472	436
25.8 Subsistence and support of persons	37	42	21
26.0 Supplies and materials	739	761	521
31.0 Equipment	374	464	370
32.0 Land and structures	129	128	121
41.0 Grants, subsidies, and contributions	6	6	6
99.0 Direct obligations	8,739	9,372	7,329
99.0 Reimbursable obligations	275	240	245
99.9 Total new obligations, unexpired accounts	9,014	9,612	7,574

Employment Summary

Identification code 017-1106-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	15,418	15,746	16,083
2001 Reimbursable civilian full-time equivalent employment	720	735	735

OPERATION AND MAINTENANCE, AIR FORCE

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Air Force, as authorized by law, [\$42,736,365,000] \$34,750,597,000: *Provided*, That not to exceed [\$7,699,000 can] \$7,699,000 may be used for emergencies and extraordinary expenses, to be expended [on] upon the approval or authority of the Secretary of the Air Force, and payments may be made [on] upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2022, shall be used for confidential military

purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2020.)

¶ For an additional amount for "Operation and Maintenance, Air Force", \$110,000,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. ¶ (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3400-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	41,526	43,159	25,816
0002 Mobilization	3,169	2,667	1,997
0003 Training and recruiting	2,285	2,415	2,526
0004 Administration and servicewide activities	5,428	4,947	4,412
0799 Total direct obligations	52,408	53,188	34,751
0801 Reimbursable program activity	3,092	896	950
0900 Total new obligations, unexpired accounts	55,500	54,084	35,701
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	17	34
1001 Discretionary unobligated balance brought fwd, Oct 1	3	7	
1011 Unobligated balance transfer from other acct [097-9999]	273		
1012 Unobligated balance transfers between expired and unexpired accounts	15		
1033 Recoveries of prior year paid obligations	13		
1050 Unobligated balance (total)	308	17	34
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	51,169	53,188	34,751
1120 Appropriations transferred to other acct [097-9999]	-19		
1121 Appropriations transferred from other acct [097-9999]	1,221		
1160 Appropriation, discretionary (total)	52,371	53,188	34,751
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	12	18	17
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		-1	
1260 Appropriations, mandatory (total)	12	17	17
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,338	896	950
1701 Change in uncollected payments, Federal sources	755		
1750 Spending auth from offsetting collections, disc (total)	3,093	896	950
1900 Budget authority (total)	55,476	54,101	35,718
1930 Total budgetary resources available	55,784	54,118	35,752
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-267		
1941 Unexpired unobligated balance, end of year	17	34	51
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30,576	31,589	32,315
3010 New obligations, unexpired accounts	55,500	54,084	35,701
3011 Obligations ("upward adjustments"), expired accounts	2,006		
3020 Outlays (gross)	-53,730	-53,358	-42,463
3041 Recoveries of prior year unpaid obligations, expired	-2,763		
3050 Unpaid obligations, end of year	31,589	32,315	25,553
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,047	-1,129	-1,129
3070 Change in uncollected pymts, Fed sources, unexpired	-755		
3071 Change in uncollected pymts, Fed sources, expired	673		
3090 Uncollected pymts, Fed sources, end of year	-1,129	-1,129	-1,129
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29,529	30,460	31,186
3200 Obligated balance, end of year	30,460	31,186	24,424
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	55,464	54,084	35,701
Outlays, gross:			
4010 Outlays from new discretionary authority	33,269	33,341	22,148
4011 Outlays from discretionary balances	20,449	20,000	20,298
4020 Outlays, gross (total)	53,718	53,341	42,446

OPERATION AND MAINTENANCE, AIR FORCE—Continued
Program and Financing—Continued

Identification code 057-3400-0-1-051	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2,788	-896	-950
4033 Non-Federal sources	-630		
4040 Offsets against gross budget authority and outlays (total)	-3,418	-896	-950
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-755		
4052 Offsetting collections credited to expired accounts	1,067		
4053 Recoveries of prior year paid obligations, unexpired accounts	13		
4060 Additional offsets against budget authority only (total)	325		
4070 Budget authority, net (discretionary)	52,371	53,188	34,751
4080 Outlays, net (discretionary)	50,300	52,445	41,496
Mandatory:			
4090 Budget authority, gross	12	17	17
Outlays, gross:			
4100 Outlays from new mandatory authority	1	17	17
4101 Outlays from mandatory balances	11		
4110 Outlays, gross (total)	12	17	17
4180 Budget authority, net (total)	52,383	53,205	34,768
4190 Outlays, net (total)	50,312	52,462	41,513

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	52,383	53,205	34,768
Outlays	50,312	52,462	41,513
Overseas contingency operations:			
Budget Authority			17,930
Outlays			10,758
Total:			
Budget Authority	52,383	53,205	52,698
Outlays	50,312	52,462	52,271

Object Classification (in millions of dollars)

Identification code 057-3400-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5,465	5,455	5,651
11.3 Other than full-time permanent	56	164	183
11.5 Other personnel compensation	234	246	295
Total personnel compensation	5,755	5,865	6,129
12.1 Civilian personnel benefits	1,962	1,995	2,324
13.0 Benefits for former personnel	8	11	49
21.0 Travel and transportation of persons	1,483	1,464	829
22.0 Transportation of things	268	348	181
23.1 Rental payments to GSA	6	4	4
23.2 Rental payments to others	150	185	174
23.3 Communications, utilities, and miscellaneous charges	3,360	3,782	2,720
24.0 Printing and reproduction	111	110	103
25.1 Advisory and assistance services	1,980	1,270	1,077
25.2 Other services from non-Federal sources	2,318	1,864	1,741
25.3 Other goods and services from Federal sources	1,019	742	540
25.3 Other goods and services from Federal sources	29	62	
25.3 Other goods and services from Federal sources	5,379	5,184	1,500
25.4 Operation and maintenance of facilities	1,965	1,561	1,484
25.5 Research and development contracts	32	54	23
25.6 Medical care	23	33	34
25.7 Operation and maintenance of equipment	13,501	14,638	4,896
25.8 Subsistence and support of persons	291	177	177
26.0 Supplies and materials	7,932	8,728	6,177
31.0 Equipment	2,088	2,225	2,172
32.0 Land and structures	2,692	2,804	2,341
41.0 Grants, subsidies, and contributions	37	46	36
42.0 Insurance claims and indemnities	17	35	39
43.0 Interest and dividends	2	1	1
99.0 Direct obligations	52,408	53,188	34,751
99.0 Reimbursable obligations	3,092	896	950
99.9 Total new obligations, unexpired accounts	55,500	54,084	35,701

Employment Summary

Identification code 057-3400-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	71,116	73,806	70,793
2001 Reimbursable civilian full-time equivalent employment	8,280	10,149	10,140

OPERATION AND MAINTENANCE, SPACE FORCE

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Space Force, as authorized by law, **[\$40,000,000] \$2,531,294,000:** *Provided, That not to exceed \$100,000 may be used for emergencies and extraordinary expenses, to be expended upon the approval or authority of the Secretary of the Air Force, and payments may be made upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2022, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code. (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3410-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Forces		40	2,399
0004 Administration and servicewide activities			132
0900 Total new obligations, unexpired accounts		40	2,531

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		40	2,531
1930 Total budgetary resources available		40	2,531

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			16
3010 New obligations, unexpired accounts		40	2,531
3020 Outlays (gross)		-24	-1,554
3050 Unpaid obligations, end of year		16	993
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			16
3200 Obligated balance, end of year		16	993

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross		40	2,531
Outlays, gross:			
4010 Outlays from new discretionary authority		24	1,544
4011 Outlays from discretionary balances			10
4020 Outlays, gross (total)		24	1,554
4180 Budget authority, net (total)		40	2,531
4190 Outlays, net (total)		24	1,554

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority		40	2,531
Outlays		24	1,554
Overseas contingency operations:			
Budget Authority			77
Outlays			47
Total:			
Budget Authority		40	2,608
Outlays		24	1,601

Object Classification (in millions of dollars)

Identification code 057-3410-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		18	157
11.3 Other than full-time permanent			2
11.5 Other personnel compensation			3
11.9 Total personnel compensation		18	162

12.1	Civilian personnel benefits			30
21.0	Travel and transportation of persons			16
22.0	Transportation of things			1
23.2	Rental payments to others			2
23.3	Communications, utilities, and miscellaneous charges			35
24.0	Printing and reproduction			1
25.1	Advisory and assistance services			40
25.2	Other services from non-Federal sources	18		61
25.3	Other goods and services from Federal sources			39
25.4	Operation and maintenance of facilities			7
25.6	Medical care			1
25.7	Operation and maintenance of equipment			1,962
26.0	Supplies and materials	4		43
31.0	Equipment			130
32.0	Land and structures			1
99.9	Total new obligations, unexpired accounts	40		2,531

Employment Summary

Identification code 057-3410-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		122	1,523
2001 Reimbursable civilian full-time equivalent employment			2

OPERATION AND MAINTENANCE, DEFENSE-WIDE

(INCLUDING TRANSFER OF FUNDS)

For expenses, not otherwise provided for, necessary for the operation and maintenance of activities and agencies of the Department of Defense (other than the military departments), as authorized by law, **[\$37,491,073,000]** \$38,649,079,000: *Provided*, That not more than **[\$6,859,000]** \$3,000,000 may be used for the Combatant Commander Initiative Fund authorized under section 166a of title 10, United States Code: *Provided further*, That not to exceed \$36,000,000 can be used for emergencies and extraordinary expenses, to be expended **[on]** upon the approval or authority of the Secretary of Defense, and payments may be made **[on]** upon his certificate of necessity for confidential military purposes, of which up to 20 percent, to remain available until September 30, 2022, shall be used for confidential military purposes involving the safety of human life or the protection of property, as such terms are described in section 1342 of title 31, United States Code: *Provided further*, That **[of the funds provided under this heading, not less than \$44,500,000 shall be made available for the Procurement Technical Assistance Cooperative Agreement Program, of which not less than \$4,500,000 shall be available for centers defined in 10 U.S.C. 2411(1)(D):** *Provided further*, That none of the funds appropriated or otherwise made available by this Act may be used to plan or implement the consolidation of a budget or appropriations liaison office of the Office of the Secretary of Defense, the office of the Secretary of a military department, or the service headquarters of one of the Armed Forces into a legislative affairs or legislative liaison office: *Provided further*, That **[\$17,732,000]** \$18,000,000, to remain available until expended, is available only for expenses relating to certain classified activities, and may be transferred as necessary by the Secretary of Defense to operation and maintenance appropriations or research, development, test and evaluation appropriations, to be merged with and to be available for the same time period as the appropriations to which transferred: *Provided further*, That any ceiling on the investment item unit cost of items that may be purchased with operation and maintenance funds shall not apply to the funds described in the preceding proviso: *Provided further*, That of the funds provided under this heading, **[\$643,073,000, of which \$160,768,000]** \$408,035,000, to remain available until September 30, **[2021]** 2022, shall be available to provide support and assistance to foreign security forces or other groups or individuals to conduct, support or facilitate counterterrorism, crisis response, or other Department of Defense security cooperation programs: *Provided further*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees on the use of the funds provided in this paragraph: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0100-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	10,070	10,682	7,146
0003 Training and recruiting	638	313	292
0004 Administration and servicerwide activities	32,677	34,904	31,211

0799	Total direct obligations	43,385	45,899	38,649
0801	Reimbursable program activity	2,070	3,046	3,305
0900	Total new obligations, unexpired accounts	45,455	48,945	41,954
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,002	1,160	1,066
1001	Discretionary unobligated balance brought fwd, Oct 1	2,000	1,157	
1021	Recoveries of prior year unpaid obligations	10		
1050	Unobligated balance (total)	2,012	1,160	1,066
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	44,792	45,899	38,649
1120	Appropriations transferred to other acct [097-9999]	-3,008		
1120	Appropriations transferred to other acct [075-0944]	-10		
1120	Appropriations transferred to other acct [019-0113]	-5		
1121	Appropriations transferred from other acct [097-9999]	1,904		
1121	Appropriations transferred from other acct [467-0401]	16		
1131	Unobligated balance of appropriations permanently reduced	-950	-106	
1160	Appropriation, discretionary (total)	42,739	45,793	38,649
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512]	7	12	17
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,045	3,046	3,307
1701	Change in uncollected payments, Federal sources	1,026		
1750	Spending auth from offsetting collections, disc (total)	2,071	3,046	3,307
1900	Budget authority (total)	44,817	48,851	41,973
1930	Total budgetary resources available	46,829	50,011	43,039
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-214		
1941	Unexpired unobligated balance, end of year	1,160	1,066	1,085
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	19,098	20,261	24,184
3010	New obligations, unexpired accounts	45,455	48,945	41,954
3011	Obligations ("upward adjustments"), expired accounts	4,171		
3020	Outlays (gross)	-43,670	-45,022	-42,890
3040	Recoveries of prior year unpaid obligations, unexpired	-10		
3041	Recoveries of prior year unpaid obligations, expired	-4,783		
3050	Unpaid obligations, end of year	20,261	24,184	23,248
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1,600	-1,614	-1,614
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	7		
3070	Change in uncollected pymts, Fed sources, unexpired	-1,026		
3071	Change in uncollected pymts, Fed sources, expired	1,005		
3090	Uncollected pymts, Fed sources, end of year	-1,614	-1,614	-1,614
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	17,505	18,647	22,570
3200	Obligated balance, end of year	18,647	22,570	21,634
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	44,810	48,839	41,956
Outlays, gross:				
4010	Outlays from new discretionary authority	29,052	31,257	26,851
4011	Outlays from discretionary balances	14,618	13,757	16,024
4020	Outlays, gross (total)	43,670	45,014	42,875
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1,966	-3,046	-3,307
4033	Non-Federal sources	-169		
4040	Offsets against gross budget authority and outlays (total)	-2,135	-3,046	-3,307
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1,026		
4052	Offsetting collections credited to expired accounts	1,090		
4060	Additional offsets against budget authority only (total)	64		
4070	Budget authority, net (discretionary)	42,739	45,793	38,649
4080	Outlays, net (discretionary)	41,535	41,968	39,568
Mandatory:				
4090	Budget authority, gross	7	12	17
Outlays, gross:				
4100	Outlays from new mandatory authority		8	11
4101	Outlays from mandatory balances			4
4110	Outlays, gross (total)		8	15
4180	Budget authority, net (total)	42,746	45,805	38,666
4190	Outlays, net (total)	41,535	41,976	39,583

OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	42,746	45,805	38,666
Outlays	41,535	41,976	39,583
Overseas contingency operations:			
Budget Authority			6,022
Outlays			3,854
Total:			
Budget Authority	42,746	45,805	44,688
Outlays	41,535	41,976	43,437

Object Classification (in millions of dollars)

Identification code 097-0100-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8,695	8,876	9,274
11.3 Other than full-time permanent	215	186	185
11.5 Other personnel compensation	451	460	466
11.8 Special personal services payments	102	90	64
Total personnel compensation	9,463	9,612	9,989
12.1 Civilian personnel benefits	3,149	3,305	3,464
13.0 Benefits for former personnel	5	18	11
21.0 Travel and transportation of persons	1,279	1,307	1,061
22.0 Transportation of things	332	193	184
23.1 Rental payments to GSA	86	130	140
23.2 Rental payments to others	326	572	548
23.3 Communications, utilities, and miscellaneous charges	1,927	1,551	1,442
24.0 Printing and reproduction	47	41	41
25.1 Advisory and assistance services	5,604	5,724	5,279
25.2 Other services from non-Federal sources	3,648	4,239	1,947
25.3 Other goods and services from Federal sources	3,680	3,847	3,053
25.3 Other goods and services from Federal sources	9	9	9
25.3 Other goods and services from Federal sources	814	963	727
25.4 Operation and maintenance of facilities	822	547	603
25.5 Research and development contracts	12	5	5
25.6 Medical care	62	63	64
25.7 Operation and maintenance of equipment	7,588	8,391	6,399
25.8 Subsistence and support of persons	21	27	24
26.0 Supplies and materials	1,510	1,816	1,122
31.0 Equipment	2,056	2,197	1,826
32.0 Land and structures	355	392	384
41.0 Grants, subsidies, and contributions	589	946	325
43.0 Interest and dividends	1	1	1
44.0 Refunds		1	1
99.0 Direct obligations	43,385	45,897	38,649
99.0 Reimbursable obligations	2,070	3,048	3,305
99.9 Total new obligations, unexpired accounts	45,455	48,945	41,954

Employment Summary

Identification code 097-0100-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	63,667	88,781	87,868
2001 Reimbursable civilian full-time equivalent employment	2,207	2,272	2,470
3001 Allocation account civilian full-time equivalent employment	3,393	3,742	3,868

OFFICE OF THE INSPECTOR GENERAL

For expenses and activities of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$363,499,000]** **\$371,439,000**, of which **[\$360,201,000]** **\$369,483,000** shall be for operation and maintenance, of which not to exceed **[\$700,000]** **\$700,000** is available for emergencies and extraordinary expenses to be expended **[on]** **upon** the approval or authority of the Inspector General, and payments may be made **[on]** **upon** the Inspector General's certificate of necessity for confidential military purposes; of which **[\$333,000]** **\$858,000**, to remain available for obligation until September 30, **[2022]** **2023**, shall be for procurement; and of which **[\$2,965,000]** **\$1,098,000**, to remain available until September 30, **[2021]** **2022**, shall be for research, development, test and evaluation. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0107-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation and maintenance	374	384	369
0002 Research, Development, Test, and Evaluation	4	7	3
0799 Total direct obligations	378	391	372
0801 Reimbursable program activity	6	10	10
0900 Total new obligations, unexpired accounts	384	401	382
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	5	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	354	388	371
1121 Appropriations transferred from other acct [097-9999]	30		
1160 Appropriation, discretionary (total)	384	388	371
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	10	10
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	6	10	10
1900 Budget authority (total)	390	398	381
1930 Total budgetary resources available	392	403	383
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	5	2	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	50	72	72
3010 New obligations, unexpired accounts	384	401	382
3011 Obligations ("upward adjustments"), expired accounts	30		
3020 Outlays (gross)	-360	-401	-376
3041 Recoveries of prior year unpaid obligations, expired	-32		
3050 Unpaid obligations, end of year	72	72	78
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	65	65
3200 Obligated balance, end of year	65	65	71
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	390	398	381
Outlays, gross:			
4010 Outlays from new discretionary authority	323	328	314
4011 Outlays from discretionary balances	37	73	62
4020 Outlays, gross (total)	360	401	376
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-10	-10
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-5	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3		
4052 Offsetting collections credited to expired accounts	2		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	384	388	371
4080 Outlays, net (discretionary)	355	391	366
4180 Budget authority, net (total)	384	388	371
4190 Outlays, net (total)	355	391	366

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	384	388	371
Outlays	355	391	366
Overseas contingency operations:			
Budget Authority			24
Outlays			19
Total:			
Budget Authority	384	388	395

Outlays	355	391	385
Object Classification (in millions of dollars)			
Identification code 097-0107-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	179	199	198
11.3 Other than full-time permanent	13		
11.5 Other personnel compensation	16	11	7
11.9 Total personnel compensation	208	210	205
12.1 Civilian personnel benefits	73	75	70
21.0 Travel and transportation of persons	9	8	9
22.0 Transportation of things	1	1	
23.1 Rental payments to GSA	7	9	7
23.2 Rental payments to others	11	15	12
23.3 Communications, utilities, and miscellaneous charges	3	22	4
25.1 Advisory and assistance services	11	6	8
25.2 Other services from non-Federal sources	1	3	2
25.3 Other goods and services from Federal sources	13		18
25.3 Other goods and services from Federal sources			4
25.3 Other goods and services from Federal sources	3	5	
25.4 Operation and maintenance of facilities	1	6	1
25.5 Research and development contracts	4	20	25
25.7 Operation and maintenance of equipment	28		
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	10	7
99.0 Direct obligations	378	391	373
99.0 Reimbursable obligations	6	10	9
99.9 Total new obligations, unexpired accounts	384	401	382

Employment Summary

Identification code 097-0107-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,687	1,714	1,613

OPERATION AND MAINTENANCE, ARMY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Army Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$2,984,494,000] \$2,934,717,000.** (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2080-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	2,717	2,911	2,826
0004 Administration and servicewide activities	112	111	109
0799 Total direct obligations	2,829	3,022	2,935
0801 Reimbursable program activity	12	3	18
0900 Total new obligations, unexpired accounts	2,841	3,025	2,953
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,828	3,022	2,935
1121 Appropriations transferred from other acct [097-9999]	3		
1160 Appropriation, discretionary (total)	2,831	3,022	2,935
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	3	18
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	12	3	18
1900 Budget authority (total)	2,843	3,025	2,953
1930 Total budgetary resources available	2,843	3,025	2,953
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,394	1,394	1,465
3010 New obligations, unexpired accounts	2,841	3,025	2,953

3011 Obligations ("upward adjustments"), expired accounts	170		
3020 Outlays (gross)	-2,695	-2,954	-2,972
3041 Recoveries of prior year unpaid obligations, expired	-316		
3050 Unpaid obligations, end of year	1,394	1,465	1,446
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,387	1,388	1,459
3200 Obligated balance, end of year	1,388	1,459	1,440
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,843	3,025	2,953
Outlays, gross:			
4010 Outlays from new discretionary authority	1,847	1,907	1,867
4011 Outlays from discretionary balances	848	1,047	1,105
4020 Outlays, gross (total)	2,695	2,954	2,972
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-12	-3	-18
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total)	-15	-3	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	5		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	2,831	3,022	2,935
4080 Outlays, net (discretionary)	2,680	2,951	2,954
4180 Budget authority, net (total)	2,831	3,022	2,935
4190 Outlays, net (total)	2,680	2,951	2,954

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,831	3,022	2,935
Outlays	2,680	2,951	2,954
Overseas contingency operations:			
Budget Authority			33
Outlays			20
Total:			
Budget Authority	2,831	3,022	2,968
Outlays	2,680	2,951	2,974

Object Classification (in millions of dollars)

Identification code 021-2080-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	642	657	676
11.5 Other personnel compensation	23	12	19
11.9 Total personnel compensation	665	669	695
12.1 Civilian personnel benefits	261	282	298
21.0 Travel and transportation of persons	152	150	136
22.0 Transportation of things	70	66	63
23.1 Rental payments to GSA	4	4	4
23.2 Rental payments to others	30	29	28
23.3 Communications, utilities, and miscellaneous charges	183	195	188
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	37	46	47
25.2 Other services from non-Federal sources	179	178	182
25.3 Other goods and services from Federal sources	108	89	67
25.3 Other goods and services from Federal sources	34	30	23
25.4 Operation and maintenance of facilities	348	471	379
25.6 Medical care	117	114	123
25.7 Operation and maintenance of equipment	129	100	115
25.8 Subsistence and support of persons	35	34	24
26.0 Supplies and materials	386	367	363
31.0 Equipment	89	196	198
99.0 Direct obligations	2,829	3,022	2,935
99.0 Reimbursable obligations	12	3	18
99.9 Total new obligations, unexpired accounts	2,841	3,025	2,953

OPERATION AND MAINTENANCE, ARMY RESERVE—Continued

Employment Summary

Identification code 021-2080-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	9,916	10,091	10,224
2001 Reimbursable civilian full-time equivalent employment	17	21	21

OPERATION AND MAINTENANCE, NAVY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Navy Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$1,102,616,000]** \$1,127,046,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Forces	1,036	1,108	1,106
0004 Administration and Service-wide Activities	15	18	21
0799 Total direct obligations	1,051	1,126	1,127
0801 Reimbursable program activity	2	6	4
0900 Total new obligations, unexpired accounts	1,053	1,132	1,131

Budgetary resources:

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,055	1,126	1,127
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	6	6
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	2	6	6
1900 Budget authority (total)	1,057	1,132	1,133
1930 Total budgetary resources available	1,057	1,132	1,133
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year			2

Change in obligated balance:

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	495	550	568
3010 New obligations, unexpired accounts	1,053	1,132	1,131
3011 Obligations ("upward adjustments"), expired accounts	56		
3020 Outlays (gross)	-979	-1,114	-1,131
3041 Recoveries of prior year unpaid obligations, expired	-75		
3050 Unpaid obligations, end of year	550	568	568
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	494	549	567
3200 Obligated balance, end of year	549	567	567

Budget authority and outlays, net:

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	1,057	1,132	1,133
Outlays, gross:			
4010 Outlays from new discretionary authority	639	715	716
4011 Outlays from discretionary balances	340	399	415
4020 Outlays, gross (total)	979	1,114	1,131
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-6	-6
4040 Offsets against gross budget authority and outlays (total)	-2	-6	-6
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	1,055	1,126	1,127
4080 Outlays, net (discretionary)	977	1,108	1,125
4180 Budget authority, net (total)	1,055	1,126	1,127

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	977	1,108	1,125

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,055	1,126	1,127
Outlays	977	1,108	1,125
Overseas contingency operations:			
Budget Authority			21
Outlays			14
Total:			
Budget Authority	1,055	1,126	1,148
Outlays	977	1,108	1,139

Object Classification (in millions of dollars)

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	52	65	65
11.5 Other personnel compensation	2	1	2
11.9 Total personnel compensation	54	66	67
12.1 Civilian personnel benefits	19	25	25
21.0 Travel and transportation of persons	46	41	42
22.0 Transportation of things	10	10	10
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	20	21	21
25.1 Advisory and assistance services	8	9	5
25.2 Other services from non-Federal sources	25	21	21
25.3 Other goods and services from Federal sources	71	58	57
25.3 Other goods and services from Federal sources	81	57	117
25.4 Operation and maintenance of facilities	47	45	45
25.6 Medical care	3	3	3
25.7 Operation and maintenance of equipment	247	295	234
25.8 Subsistence and support of persons	16	17	18
26.0 Supplies and materials	231	253	241
31.0 Equipment	172	203	204
32.0 Land and structures	1	1	14
99.0 Direct obligations	1,052	1,126	1,125
99.0 Reimbursable obligations	1	6	6
99.9 Total new obligations, unexpired accounts	1,053	1,132	1,131

Employment Summary

Identification code 017-1806-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	771	932	911
2001 Reimbursable civilian full-time equivalent employment	12	11	11

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Marine Corps Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$289,076,000]** \$284,656,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1107-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	262	285	271
0004 Administration and servicewide activities	10	13	14
0799 Total direct obligations	272	298	285
0801 Reimbursable program activity	1	2	2
0900 Total new obligations, unexpired accounts	273	300	287

Budgetary resources:

Identification code 017-1107-0-1-051	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	275	298	285
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	2	2

1900	Budget authority (total)	276	300	287
1930	Total budgetary resources available	276	300	287
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-3		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	168	167	171
3010	New obligations, unexpired accounts	273	300	287
3011	Obligations ("upward adjustments"), expired accounts	9		
3020	Outlays (gross)	-264	-296	-289
3041	Recoveries of prior year unpaid obligations, expired	-19		
3050	Unpaid obligations, end of year	167	171	169
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	167	167	171
3200	Obligated balance, end of year	167	171	169

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	276	300	287
Outlays, gross:				
4010	Outlays from new discretionary authority	164	175	167
4011	Outlays from discretionary balances	100	121	122
4020	Outlays, gross (total)	264	296	289
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2	-2	-2
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	1		
4070	Budget authority, net (discretionary)	275	298	285
4080	Outlays, net (discretionary)	262	294	287
4180	Budget authority, net (total)	275	298	285
4190	Outlays, net (total)	262	294	287

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	275	298	285
Outlays	262	294	287
Overseas contingency operations:			
Budget Authority			9
Outlays			5
Total:			
Budget Authority	275	298	294
Outlays	262	294	292

Object Classification (in millions of dollars)

Identification code 017-1107-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	16	19	20
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	17	20	21
12.1	Civilian personnel benefits	5	7	7
21.0	Travel and transportation of persons	27	31	27
22.0	Transportation of things	15	13	11
23.3	Communications, utilities, and miscellaneous charges	12	13	13
25.1	Advisory and assistance services	23	23	24
25.2	Other services from non-Federal sources	2	4	3
25.3	Other goods and services from Federal sources	5	7	6
25.3	Other goods and services from Federal sources	23	19	20
25.4	Operation and maintenance of facilities	53	56	58
25.6	Medical care	10	11	11
25.7	Operation and maintenance of equipment	6	8	12
25.8	Subsistence and support of persons	15	14	12
26.0	Supplies and materials	40	37	30
31.0	Equipment	9	7	7
32.0	Land and structures	20	29	23
99.0	Direct obligations	272	298	285
99.0	Reimbursable obligations	1	2	2
99.9	Total new obligations, unexpired accounts	273	300	287

Employment Summary

Identification code 017-1107-0-1-051	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	214	265	265
2001	Reimbursable civilian full-time equivalent employment		2	2

OPERATION AND MAINTENANCE, AIR FORCE RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Air Force Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$3,227,318,000] \$3,350,284,000.** (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3740-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Operating forces	3,049	3,147	3,234
0004	Administration and servicewide activities	114	110	116
0799	Total direct obligations	3,163	3,257	3,350
0801	Reimbursable program activity	207	92	93
0900	Total new obligations, unexpired accounts	3,370	3,349	3,443
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	3,286	3,257	3,350
1120	Appropriations transferred to other acct [097-9999]	-80		
1121	Appropriations transferred from other acct [097-9999]	2		
1160	Appropriation, discretionary (total)	3,208	3,257	3,350
Spending authority from offsetting collections, discretionary:				
1700	Collected	175	92	93
1701	Change in uncollected payments, Federal sources	32		
1750	Spending auth from offsetting collections, disc (total)	207	92	93
1900	Budget authority (total)	3,415	3,349	3,443
1930	Total budgetary resources available	3,415	3,350	3,444
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-44		
1941	Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,266	1,106	958
3010	New obligations, unexpired accounts	3,370	3,349	3,443
3011	Obligations ("upward adjustments"), expired accounts	63		
3020	Outlays (gross)	-3,483	-3,497	-3,368
3041	Recoveries of prior year unpaid obligations, expired	-110		
3050	Unpaid obligations, end of year	1,106	958	1,033
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-26	-40	-40
3070	Change in uncollected pymts, Fed sources, unexpired	-32		
3071	Change in uncollected pymts, Fed sources, expired	18		
3090	Uncollected pymts, Fed sources, end of year	-40	-40	-40
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,240	1,066	918
3200	Obligated balance, end of year	1,066	918	993

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	3,415	3,349	3,443
Outlays, gross:				
4010	Outlays from new discretionary authority	2,613	2,470	2,539
4011	Outlays from discretionary balances	870	1,027	829
4020	Outlays, gross (total)	3,483	3,497	3,368
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-193	-92	-93
4033	Non-Federal sources	-38		
4040	Offsets against gross budget authority and outlays (total)	-231	-92	-93
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-32		

OPERATION AND MAINTENANCE, AIR FORCE RESERVE—Continued
Program and Financing—Continued

Identification code 057-3740-0-1-051	2019 actual	2020 est.	2021 est.
4052 Offsetting collections credited to expired accounts	56		
4060 Additional offsets against budget authority only (total)	24		
4070 Budget authority, net (discretionary)	3,208	3,257	3,350
4080 Outlays, net (discretionary)	3,252	3,405	3,275
4180 Budget authority, net (total)	3,208	3,257	3,350
4190 Outlays, net (total)	3,252	3,405	3,275

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,208	3,257	3,350
Outlays	3,252	3,405	3,275
Overseas contingency operations:			
Budget Authority			30
Outlays			22
Total:			
Budget Authority	3,208	3,257	3,380
Outlays	3,252	3,405	3,297

Object Classification (in millions of dollars)

Identification code 057-3740-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	816	835	886
11.3 Other than full-time permanent	2	35	37
11.5 Other personnel compensation	35	37	44
11.9 Total personnel compensation	853	907	967
12.1 Civilian personnel benefits	355	345	344
21.0 Travel and transportation of persons	36	29	34
22.0 Transportation of things	6	4	6
23.2 Rental payments to others	5	2	
23.3 Communications, utilities, and miscellaneous charges	38	33	38
24.0 Printing and reproduction	12	15	15
25.1 Advisory and assistance services	4	3	5
25.2 Other services from non-Federal sources	5	3	4
25.3 Other goods and services from Federal sources	9	6	7
25.3 Other goods and services from Federal sources	525	702	676
25.4 Operation and maintenance of facilities	79	65	72
25.6 Medical care	3	9	5
25.7 Operation and maintenance of equipment	227	292	263
25.8 Subsistence and support of persons	41	34	36
26.0 Supplies and materials	694	673	762
31.0 Equipment	122	32	40
32.0 Land and structures	143	96	70
42.0 Insurance claims and indemnities	6	7	6
99.0 Direct obligations	3,163	3,257	3,350
99.0 Reimbursable obligations	207	92	93
99.9 Total new obligations, unexpired accounts	3,370	3,349	3,443

Employment Summary

Identification code 057-3740-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	11,380	12,379	12,063
2001 Reimbursable civilian full-time equivalent employment		12	12

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD

For expenses of training, organizing, and administering the Army National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; hire of passenger motor vehicles; personnel services in the National Guard Bureau; travel expenses (other than mileage), as authorized by law for Army personnel on active duty, for Army National Guard division, regimental, and battalion commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau; supplying and equipping the Army National Guard as authorized by law; and expenses of repair, modification, maintenance, and issue of supplies and equipment (including aircraft),

[\$7,461,947,000] \$7,420,014,000. (Department of Defense Appropriations Act, 2020.)

For an additional amount for "Operation and Maintenance, Army National Guard", \$45,700,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2065-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces	6,862	7,187	7,008
0004 Administration and servicewide activities	407	404	412
0799 Total direct obligations	7,269	7,591	7,420
0801 Reimbursable program activity	223	43	100
0900 Total new obligations, unexpired accounts	7,492	7,634	7,520
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7,263	7,591	7,420
1120 Appropriations transferred to other acct [097-9999]	-1		
1121 Appropriations transferred from other acct [097-9999]	25		
1160 Appropriation, discretionary (total)	7,287	7,591	7,420
Spending authority from offsetting collections, discretionary:			
1700 Collected	188	43	100
1701 Change in uncollected payments, Federal sources	35		
1750 Spending auth from offsetting collections, disc (total)	223	43	100
1900 Budget authority (total)	7,510	7,634	7,520
1930 Total budgetary resources available	7,511	7,635	7,521
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-18		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,558	3,526	3,697
3010 New obligations, unexpired accounts	7,492	7,634	7,520
3011 Obligations ("upward adjustments"), expired accounts	966		
3020 Outlays (gross)	-7,410	-7,463	-7,588
3041 Recoveries of prior year unpaid obligations, expired	-1,080		
3050 Unpaid obligations, end of year	3,526	3,697	3,629
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-57	-80	-80
3070 Change in uncollected pymts, Fed sources, unexpired	-35		
3071 Change in uncollected pymts, Fed sources, expired	12		
3090 Uncollected pymts, Fed sources, end of year	-80	-80	-80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,501	3,446	3,617
3200 Obligated balance, end of year	3,446	3,617	3,549

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	7,510	7,634	7,520
Outlays, gross:			
4010 Outlays from new discretionary authority	4,995	4,901	4,849
4011 Outlays from discretionary balances	2,415	2,562	2,739
4020 Outlays, gross (total)	7,410	7,463	7,588
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-192	-43	-100
4033 Non-Federal sources	-28		
4040 Offsets against gross budget authority and outlays (total)	-220	-43	-100
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-35		
4052 Offsetting collections credited to expired accounts	32		
4060 Additional offsets against budget authority only (total)	-3		
4070 Budget authority, net (discretionary)	7,287	7,591	7,420
4080 Outlays, net (discretionary)	7,190	7,420	7,488
4180 Budget authority, net (total)	7,287	7,591	7,420
4190 Outlays, net (total)	7,190	7,420	7,488

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	7,287	7,591	7,420
Outlays	7,190	7,420	7,488
Overseas contingency operations:			
Budget Authority			80
Outlays			51
Total:			
Budget Authority	7,287	7,591	7,500
Outlays	7,190	7,420	7,539

Object Classification (in millions of dollars)

Identification code 021–2065–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,748	1,796	1,878
11.5 Other personnel compensation	24	29	49
11.9 Total personnel compensation	1,772	1,825	1,927
12.1 Civilian personnel benefits	733	785	849
13.0 Benefits for former personnel	3		
21.0 Travel and transportation of persons	129	119	112
22.0 Transportation of things	118	138	129
23.1 Rental payments to GSA	34	35	35
23.2 Rental payments to others	57	56	51
23.3 Communications, utilities, and miscellaneous charges	225	221	200
24.0 Printing and reproduction		3	
25.1 Advisory and assistance services	335	349	345
25.2 Other services from non-Federal sources	353	318	251
25.3 Other goods and services from Federal sources	155	131	139
25.3 Other goods and services from Federal sources	144	175	188
25.4 Operation and maintenance of facilities	575	1,003	812
25.6 Medical care	74	47	89
25.7 Operation and maintenance of equipment	210	195	195
25.8 Subsistence and support of persons	57	46	43
26.0 Supplies and materials	1,248	1,268	1,209
31.0 Equipment	230	271	277
32.0 Land and structures	619	397	338
41.0 Grants, subsidies, and contributions	198	209	231
99.0 Direct obligations	7,269	7,591	7,420
99.0 Reimbursable obligations	223	43	100
99.9 Total new obligations, unexpired accounts	7,492	7,634	7,520

Employment Summary

Identification code 021–2065–0–1–051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	26,760	26,761	27,539
2001 Reimbursable civilian full-time equivalent employment	78		

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD

For expenses of training, organizing, and administering the Air National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; transportation of things, hire of passenger motor vehicles; supplying and equipping the Air National Guard, as authorized by law; expenses for repair, modification, maintenance, and issue of supplies and equipment, including those furnished from stocks under the control of agencies of the Department of Defense; travel expenses (other than mileage) on the same basis as authorized by law for Air National Guard personnel on active Federal duty, for Air National Guard commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau, **[\$6,655,292,000]** **\$6,753,642,000.** (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 057–3840–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Forces	6,343	6,744	6,657
0004 Administration and Service-wide Activities	59	88	97
0799 Total direct obligations	6,402	6,832	6,754
0801 Reimbursable program activity	705	30	29

0900 Total new obligations, unexpired accounts	7,107	6,862	6,783
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,485	6,832	6,754
1121 Appropriations transferred from other acct [097–9999]	3		
1160 Appropriation, discretionary (total)	6,488	6,832	6,754
Spending authority from offsetting collections, discretionary:			
1700 Collected	557	30	29
1701 Change in uncollected payments, Federal sources	148		
1750 Spending auth from offsetting collections, disc (total)	705	30	29
1900 Budget authority (total)	7,193	6,862	6,783
1930 Total budgetary resources available	7,193	6,862	6,783
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-86		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,924	2,749	2,866
3010 New obligations, unexpired accounts	7,107	6,862	6,783
3011 Obligations ("upward adjustments"), expired accounts	227		
3020 Outlays (gross)	-7,229	-6,745	-6,876
3041 Recoveries of prior year unpaid obligations, expired	-280		
3050 Unpaid obligations, end of year	2,749	2,866	2,773
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-197	-228	-228
3070 Change in uncollected pymts, Fed sources, unexpired	-148		
3071 Change in uncollected pymts, Fed sources, expired	117		
3090 Uncollected pymts, Fed sources, end of year	-228	-228	-228
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,727	2,521	2,638
3200 Obligated balance, end of year	2,521	2,638	2,545

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	7,193	6,862	6,783
Outlays, gross:			
4010 Outlays from new discretionary authority	5,167	4,881	4,824
4011 Outlays from discretionary balances	2,062	1,864	2,052
4020 Outlays, gross (total)	7,229	6,745	6,876
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-675	-30	-29
4033 Non-Federal sources	-49		
4040 Offsets against gross budget authority and outlays (total)	-724	-30	-29
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-148		
4052 Offsetting collections credited to expired accounts	167		
4060 Additional offsets against budget authority only (total)	19		
4070 Budget authority, net (discretionary)	6,488	6,832	6,754
4080 Outlays, net (discretionary)	6,505	6,715	6,847
4180 Budget authority, net (total)	6,488	6,832	6,754
4190 Outlays, net (total)	6,505	6,715	6,847

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	6,488	6,832	6,754
Outlays	6,505	6,715	6,847
Overseas contingency operations:			
Budget Authority			176
Outlays			125
Total:			
Budget Authority	6,488	6,832	6,930
Outlays	6,505	6,715	6,972

Object Classification (in millions of dollars)

Identification code 057–3840–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,351	1,190	1,184
11.5 Other personnel compensation	187	175	144
11.9 Total personnel compensation	1,538	1,365	1,328
12.1 Civilian personnel benefits	588	532	473

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD—Continued

Object Classification—Continued

Identification code 057-3840-0-1-051	2019 actual	2020 est.	2021 est.	
13.0	Benefits for former personnel	1	1	1
21.0	Travel and transportation of persons	88	57	80
22.0	Transportation of things	13	9	10
23.2	Rental payments to others	4	4	4
23.3	Communications, utilities, and miscellaneous charges	96	109	110
24.0	Printing and reproduction	2	28	35
25.1	Advisory and assistance services	18	3	2
25.2	Other services from non-Federal sources	134	51	60
25.3	Other goods and services from Federal sources	5	1	1
25.3	Other goods and services from Federal sources	646	902	1,092
25.4	Operation and maintenance of facilities	330	350	370
25.6	Medical care	15	13	9
25.7	Operation and maintenance of equipment	1,165	1,500	1,236
25.8	Subsistence and support of persons	20	16	1
26.0	Supplies and materials	1,373	1,458	1,601
31.0	Equipment	85	64	69
32.0	Land and structures	278	358	259
42.0	Insurance claims and indemnities	1	11	13
99.0	Direct obligations	6,400	6,832	6,754
99.0	Reimbursable obligations	707	30	29
99.9	Total new obligations, unexpired accounts	7,107	6,862	6,783

Employment Summary

Identification code 057-3840-0-1-051	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	21,334	18,850	16,133
2001	Reimbursable civilian full-time equivalent employment	180	263	241

OVERSEAS CONTINGENCY OPERATIONS TRANSFER FUND

Program and Financing (in millions of dollars)

Identification code 097-0118-0-1-051	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	10	10	10
1930	Total budgetary resources available	10	10	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	10	10	10
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES

For salaries and expenses necessary for the United States Court of Appeals for the Armed Forces, **[\$14,771,000]** \$15,211,000, of which not to exceed \$5,000 may be used for official representation purposes. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0104-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0004	Administration and associated activities	15	15	15
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	15	15	15
1930	Total budgetary resources available	15	15	15
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5	5	3
3010	New obligations, unexpired accounts	15	15	15
3011	Obligations ("upward adjustments"), expired accounts	2		
3020	Outlays (gross)	-15	-17	-15
3041	Recoveries of prior year unpaid obligations, expired	-2		

3050	Unpaid obligations, end of year	5	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	5	3
3200	Obligated balance, end of year	5	3	3

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	15	15	15
Outlays, gross:				
4010	Outlays from new discretionary authority	12	12	12
4011	Outlays from discretionary balances	3	5	3
4020	Outlays, gross (total)	15	17	15
4180	Budget authority, net (total)	15	15	15
4190	Outlays, net (total)	15	17	15

Object Classification (in millions of dollars)

Identification code 097-0104-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	3	7	7
11.3	Other than full-time permanent	3		
11.9	Total personnel compensation	6	7	7
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	1	1	1
23.3	Communications, utilities, and miscellaneous charges	1		
25.1	Advisory and assistance services	3		
25.2	Other services from non-Federal sources		3	3
25.3	Other goods and services from Federal sources			1
25.4	Operation and maintenance of facilities		1	
25.7	Operation and maintenance of equipment	2	1	1
99.9	Total new obligations, unexpired accounts	15	15	15

Employment Summary

Identification code 097-0104-0-1-051	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	53	59	59

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE

(INCLUDING TRANSFER OF FUNDS)

For drug interdiction and counter-drug activities of the Department of Defense, for transfer to appropriations available to the Department of Defense for military personnel of the reserve components serving under the provisions of title 10 and title 32, United States Code; for operation and maintenance; for procurement; and for research, development, test and evaluation, **[\$893,059,000]**, of which \$522,171,000 shall be for counter-narcotics support; \$124,922,000 shall be for the drug demand reduction program; \$220,595,000 shall be for the National Guard counter-drug program; and \$25,371,000 shall be for the National Guard counter-drug schools program **[\$769,629,000]**: *Provided*, That the funds appropriated under this heading shall be available for obligation for the same time period and for the same purpose as the appropriation to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority contained elsewhere in this Act. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0105-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Drug interdiction and counter drug activities	1,046	770	
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,035	1,046	770
1120	Appropriations transferred to other acct [097-9999]	-3,692		
1121	Appropriations transferred from other acct [097-9999]	2,657		
1160	Appropriation, discretionary (total)	1,046	1,046	770
1930	Total budgetary resources available	1,046	1,046	770

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	524	524
3010	New obligations, unexpired accounts	1,046	770
3020	Outlays (gross)	-522	-699
3050	Unpaid obligations, end of year	524	595
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	524	524
3200	Obligated balance, end of year	524	595

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,046	770
Outlays, gross:			
4010	Outlays from new discretionary authority	522	385
4011	Outlays from discretionary balances	314	314
4020	Outlays, gross (total)	522	699
4180	Budget authority, net (total)	1,046	770
4190	Outlays, net (total)	522	699

Object Classification (in millions of dollars)

Identification code 097-0105-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons	12	10
22.0	Transportation of things	10	7
23.2	Rental payments to others	4	5
23.3	Communications, utilities, and miscellaneous charges	33	30
25.1	Advisory and assistance services	36	35
25.2	Other services from non-Federal sources	38	21
25.3	Other goods and services from Federal sources	223	208
25.3	Other goods and services from Federal sources	3	2
25.4	Operation and maintenance of facilities	55	36
25.7	Operation and maintenance of equipment	237	129
26.0	Supplies and materials	375	270
31.0	Equipment	16	17
41.0	Grants, subsidies, and contributions	4
99.9	Total new obligations, unexpired accounts	1,046	770

SUPPORT FOR INTERNATIONAL SPORTING COMPETITIONS

Program and Financing (in millions of dollars)

Identification code 097-0838-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Direct program activity	1
0900	Total new obligations, unexpired accounts (object class 21.0)	1
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	6	5
1930	Total budgetary resources available	6	5
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	5
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2
3010	New obligations, unexpired accounts	1
3020	Outlays (gross)	-1
3050	Unpaid obligations, end of year	2	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	2
3200	Obligated balance, end of year	2	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances	1
4180	Budget authority, net (total)
4190	Outlays, net (total)	1

FOREIGN CURRENCY FLUCTUATIONS

Program and Financing (in millions of dollars)

Identification code 097-0801-0-1-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	970	970
1010	Unobligated balance transfer to other accts [097-9999]	-834
1012	Unobligated balance transfers between expired and unexpired accounts	1,084
1050	Unobligated balance (total)	1,220	970
Budget authority:			
Appropriations, discretionary:			
1131	Unobligated balance of appropriations permanently reduced	-250
1930	Total budgetary resources available	970	970
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	970	970
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	-250
4180	Budget authority, net (total)	-250
4190	Outlays, net (total)

This account transfers funds to operation and maintenance and military personnel appropriations, for Defense activities that purchase foreign currencies, to finance upward adjustments of recorded obligations due to foreign currency fluctuations above the budget rate. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this appropriation and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Department to withdraw unobligated balances from operation and maintenance and military personnel appropriations from prior years. By statute (10 U.S.C. 2779(d)(3)), the total amount of discretionary budget authority in this transfer account may not exceed \$970,000,000.

DEFENSE HEALTH PROGRAM

For expenses, not otherwise provided for, for medical and health care programs of the Department of Defense as authorized by law, **[\$34,074,119,000]** *\$32,690,372,000*; of which **[\$31,321,665,000,]** *\$31,349,553,000* shall be for operation and maintenance **[.]**; of which not to exceed one percent shall remain available for obligation until September 30, **[2021]**, and of which up to \$15,262,668,000 may be available for contracts entered into under the TRICARE program **[2022]**; of which **[\$446,359,000]** *\$617,926,000*, to remain available for obligation until September 30, **[2022]** *2023*, shall be for procurement; and of which **[\$2,306,095,000]** *\$722,893,000*, to remain available for obligation until September 30, **[2021]** *2022*, shall be for research, development, test and evaluation **[:]** *Provided*, That, notwithstanding any other provision of law, of the amount made available under this heading for research, development, test and evaluation, not less than \$8,000,000 shall be available for HIV prevention educational activities undertaken in connection with United States military training, exercises, and humanitarian assistance activities conducted primarily in African nations: *Provided further*, That of the funds provided under this heading for research, development, test and evaluation, not less than \$1,383,500,000 shall be made available to the United States Army Medical Research and Development Command to carry out the congressionally directed medical research programs: *Provided further*, That the Secretary of Defense shall submit to the Congressional defense committees quarterly reports on the current status of the deployment of the electronic health record: *Provided further*, That the Secretary of Defense shall provide notice to the Congressional defense committees not later than ten business days after delaying the proposed timeline of such deployment if such delay is longer than one week: *Provided further*, That the Comptroller General of the United States shall perform quarterly performance reviews of such deployment **[.]** (*Department of Defense Appropriations Act, 2020.*)

DEFENSE HEALTH PROGRAM—Continued
Program and Financing (in millions of dollars)

Identification code 097-0130-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation and maintenance	31,821	31,524	31,198
0002 Research, Development, Test, & Evaluation	2,124	2,180	2,812
0003 Procurement	747	470	397
0799 Total direct obligations	34,692	34,174	34,407
0801 Reimbursable program activity	3,872	4,858	5,320
0900 Total new obligations, unexpired accounts	38,564	39,032	39,727
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,496	2,166	2,261
1001 Discretionary unobligated balance brought fwd, Oct 1	2,496		
1012 Unobligated balance transfers between expired and unexpired accounts	315		
1021 Recoveries of prior year unpaid obligations	57		
1050 Unobligated balance (total)	2,868	2,166	2,261
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	34,368	34,428	32,690
1120 Appropriations transferred to other acct [097-9999]	-353		
1120 Appropriations transferred to other acct [036-0165]	-15	-15	-15
1120 Appropriations transferred to other acct [036-0169]	-113	-127	-137
1121 Appropriations transferred from other acct [097-9999]	356		
1131 Unobligated balance of appropriations permanently reduced	-217	-26	
1160 Appropriation, discretionary (total)	34,026	34,260	32,538
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,926	4,867	5,145
1701 Change in uncollected payments, Federal sources	194		
1750 Spending auth from offsetting collections, disc (total)	2,120	4,867	5,145
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,797		
1900 Budget authority (total)	37,943	39,127	37,683
1930 Total budgetary resources available	40,811	41,293	39,944
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-81		
1941 Unexpired unobligated balance, end of year	2,166	2,261	217
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14,306	14,239	12,871
3010 New obligations, unexpired accounts	38,564	39,032	39,727
3011 Obligations ("upward adjustments"), expired accounts	895		
3020 Outlays (gross)	-37,785	-40,400	-39,365
3040 Recoveries of prior year unpaid obligations, unexpired	-57		
3041 Recoveries of prior year unpaid obligations, expired	-1,684		
3050 Unpaid obligations, end of year	14,239	12,871	13,233
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-631	-578	-578
3070 Change in uncollected pymts, Fed sources, unexpired	-194		
3071 Change in uncollected pymts, Fed sources, expired	247		
3090 Uncollected pymts, Fed sources, end of year	-578	-578	-578
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13,675	13,661	12,293
3200 Obligated balance, end of year	13,661	12,293	12,655
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	36,146	39,127	37,683
Outlays, gross:			
4010 Outlays from new discretionary authority	27,592	29,192	28,247
4011 Outlays from discretionary balances	8,396	11,208	11,118
4020 Outlays, gross (total)	35,988	40,400	39,365
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,106	-2,792	-2,951
4033 Non-Federal sources	-1,081	-2,075	-2,194
4040 Offsets against gross budget authority and outlays only ...	-2,187	-4,867	-5,145
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-194		
4052 Offsetting collections credited to expired accounts	261		
4060 Additional offsets against budget authority only (total)	67		
4070 Budget authority, net (discretionary)	34,026	34,260	32,538

4080 Outlays, net (discretionary)	33,801	35,533	34,220
Mandatory:			
4090 Budget authority, gross	1,797		
Outlays, gross:			
4100 Outlays from new mandatory authority	1,797		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1,797		
4180 Budget authority, net (total)	34,026	34,260	32,538
4190 Outlays, net (total)	33,801	35,533	34,220

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	34,026	34,260	32,538
Outlays	33,801	35,533	34,220
Overseas contingency operations:			
Budget Authority			365
Outlays			259
Total:			
Budget Authority	34,026	34,260	32,903
Outlays	33,801	35,533	34,479

The Defense Health Program (DHP) provides care to current and retired members of the Armed Forces, their family members, and other eligible beneficiaries. Beneficiaries may obtain care from the Military Department medical and dental facilities or through the civilian health care network under the TRICARE program.

Accrual accounting for Medicare-eligible beneficiaries began in 2003 and the health care for these beneficiaries is funded from the Department of Defense Medicare-Eligible Retiree Health Care Fund. The DHP also manages Research and Development funds appropriated by Congress, which support medical research and health information management systems development.

The DHP and Department of Veterans Affairs (VA) share the goal of improving the access, quality, and cost effectiveness of health care provided by VA and DOD. To this end, each Department contributes a minimum of \$15 million per year for joint health care incentives.

The requested appropriation for the Defense Health Program is \$32.7 billion.

Health care is provided in military facilities as follows:

	2019	2020	2021
Inpatient Facilities	50	50	50
Outpatient Clinics	425	425	425
Dental Clinics	246	246	246

The DHP is staffed by:

	2019	2020	2021
Civilian work years (thousands)	63	61	57
Military personnel (thousands)	79	82	64

The number of eligible beneficiaries of the Defense Health Program is estimated as follows:

Eligible Beneficiary Categories	2019	2020	2021
Active Duty (AD) Personnel	1,590,677	1,601,680	1,607,119
Active Duty Family Members	1,950,657	1,967,439	1,976,979
(Medicare Eligible AD Family Members)	(4,896)	(4,925)	(4,935)
Retirees	1,040,397	1,033,214	1,027,251
(Medicare Eligible Retirees)	(1,175,156)	(1,187,989)	(1,198,918)
Retiree Family Members and Survivors	2,538,451	2,529,396	2,521,637
(Medicare Eligible Retiree Family Members and Survivors)	(1,254,772)	(1,264,173)	(1,273,648)
Total	9,558,412	9,592,244	9,614,939
(Total Medicare Eligible)	(2,438,230)	(2,460,315)	(2,481,953)

Object Classification (in millions of dollars)

Identification code 097-0130-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4,228	4,175	4,005
11.3 Other than full-time permanent	101	105	101
11.5 Other personnel compensation	490	523	523
11.9 Total personnel compensation	4,819	4,803	4,629
12.1 Civilian personnel benefits	1,564	1,442	1,403
13.0 Benefits for former personnel	5	5	5
21.0 Travel and transportation of persons	230	195	133
22.0 Transportation of things	16	15	13

23.1	Rental payments to GSA	11	21	22
23.2	Rental payments to others	103	45	41
23.3	Communications, utilities, and miscellaneous charges	298	358	323
24.0	Printing and reproduction	17	19	22
25.1	Advisory and assistance services	875	393	349
25.2	Other services from non-Federal sources	552	383	302
25.3	Other goods and services from Federal sources	413	420	434
25.3	Other goods and services from Federal sources	57	60	45
25.3	Other goods and services from Federal sources	134	307	278
25.4	Operation and maintenance of facilities	740	630	570
25.5	Research and development contracts	1,409	1,848	203
25.6	Medical care	15,100	15,425	17,757
25.7	Operation and maintenance of equipment	1,580	1,570	1,780
25.8	Subsistence and support of persons	21	4	3
26.0	Supplies and materials	4,149	4,243	4,247
31.0	Equipment	1,409	1,150	1,166
32.0	Land and structures	244	467	391
41.0	Grants, subsidies, and contributions	942	370	289
42.0	Insurance claims and indemnities	1
43.0	Interest and dividends	3	1	2
99.0	Direct obligations	34,692	34,174	34,407
99.0	Reimbursable obligations	3,872	4,858	5,320
99.9	Total new obligations, unexpired accounts	38,564	39,032	39,727

Employment Summary

Identification code 097-0130-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	61,491	59,117	55,736
2001 Reimbursable civilian full-time equivalent employment	92	56	55

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION ACCOUNTS

ENVIRONMENTAL RESTORATION, ARMY

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$251,700,000]** \$207,518,000, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Army, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2020.*)

ENVIRONMENTAL RESTORATION, NAVY

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Navy, **[\$385,000,000]** \$335,932,000, to remain available until transferred: *Provided*, That the Secretary of the Navy shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Navy, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Navy, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2020.*)

ENVIRONMENTAL RESTORATION, AIR FORCE

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Air Force, **[\$485,000,000]** \$303,926,000, to remain available until transferred: *Provided*, That the Secretary of the Air Force shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Air Force, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department

of the Air Force, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2020.*)

ENVIRONMENTAL RESTORATION, DEFENSE-WIDE

(INCLUDING TRANSFER OF FUNDS)

For the Department of Defense, **[\$19,002,000]** \$9,105,000, to remain available until transferred: *Provided*, That the Secretary of Defense shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of Defense, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of Defense, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0810-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Department of the Army		252	208
0002 Department of the Navy		385	335
0003 Department of the Air Force		485	304
0004 Defense-wide		19	9
0900 Total new obligations, unexpired accounts		1,141	856
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	56	56
1020 Adjustment of unobligated bal brought forward, Oct 1	1
1050 Unobligated balance (total)	14	56	56
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	987	1,141	856
1120 Appropriations transferred to other acct [097-9999]	-976
1121 Appropriations transferred from other acct [097-9999]	22
1160 Appropriation, discretionary (total)	33	1,141	856
Spending authority from offsetting collections, discretionary:			
1700 Collected	9
1900 Budget authority (total)	42	1,141	856
1930 Total budgetary resources available	56	1,197	912
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56	56	56
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	515
3010 New obligations, unexpired accounts	1,141	856
3020 Outlays (gross)	-626	-713
3050 Unpaid obligations, end of year	515	658
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	1
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	515
3200 Obligated balance, end of year	515	658
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	42	1,141	856
Outlays, gross:			
4010 Outlays from new discretionary authority	570	428
4011 Outlays from discretionary balances	56	285
4020 Outlays, gross (total)	626	713
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-9

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION ACCOUNTS—Continued
Program and Financing—Continued

Identification code 097-0810-0-1-051	2019 actual	2020 est.	2021 est.
4040 Offsets against gross budget authority and outlays (total)	-9
4180 Budget authority, net (total)	33	1,141	856
4190 Outlays, net (total)	-9	626	713

Object Classification (in millions of dollars)

Identification code 097-0810-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	4	4
25.3 Other goods and services from Federal sources	19
32.0 Land and structures	1,118	852
99.9 Total new obligations, unexpired accounts	1,141	856

ENVIRONMENTAL RESTORATION, FORMERLY USED DEFENSE SITES
(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$275,000,000]** \$216,587,000, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris at sites formerly used by the Department of Defense, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0811-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 DEFENSE-WIDE	275	217
0900 Total new obligations, unexpired accounts (object class 32.0)	275	217
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	249	275	217
1120 Appropriations transferred to other acct [097-9999]	-249
1160 Appropriation, discretionary (total)	275	217
1930 Total budgetary resources available	275	217
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	137
3010 New obligations, unexpired accounts	275	217
3020 Outlays (gross)	-138	-177
3050 Unpaid obligations, end of year	137	177
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	137
3200 Obligated balance, end of year	137	177
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	275	217
Outlays, gross:			
4010 Outlays from new discretionary authority	138	108
4011 Outlays from discretionary balances	69
4020 Outlays, gross (total)	138	177
4180 Budget authority, net (total)	275	217
4190 Outlays, net (total)	138	177

The Defense Environmental Restoration Program provides for the identification, investigation, and cleanup of contamination resulting from past

DOD activities. The Department has 35,204 sites that have a remedy in place or a response completed, leaving 2,677 open sites at active and Base Realignment and Closure (BRAC) military installations and 1,685 open sites at Formerly Used Defense Sites (FUDS). For these remaining open sites, DOD is engaged in the cleanup process, including investigation to determine the extent of the contamination and the actual clean-up of the contamination, as appropriate.

The Department's environmental restoration program is funded by five separate environmental restoration accounts, one for each military department, one for defense agencies and one for FUDS. The first four accounts, Army, Navy, Air Force and defense-wide environmental restoration accounts cover funding for active installations, and are shown separately from the FUDS program environmental restoration account, which funds environmental cleanup on properties no longer owned and/or used by DOD. These five accounts include restoration activities ranging from inventory to preliminary assessment, then to investigation and cleanup of contamination, and finally to closeout of a site. BRAC sites are funded separately under the BRAC account.

OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID

For expenses relating to the Overseas Humanitarian, Disaster, and Civic Aid programs of the Department of Defense (consisting of the programs provided under sections 401, 402, 404, 407, 2557, and 2561 of title 10, United States Code), **[\$135,000,000]** \$109,900,000, to remain available until September 30, **[2021]** 2022. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0819-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Humanitarian assistance	118	163	122
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	99	96	68
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	100	96	68
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	118	135	110
1900 Budget authority (total)	118	135	110
1930 Total budgetary resources available	218	231	178
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4
1941 Unexpired unobligated balance, end of year	96	68	56
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	80	178	204
3010 New obligations, unexpired accounts	118	163	122
3011 Obligations ("upward adjustments"), expired accounts	220
3020 Outlays (gross)	-91	-137	-136
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3041 Recoveries of prior year unpaid obligations, expired	-148
3050 Unpaid obligations, end of year	178	204	190
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	80	178	204
3200 Obligated balance, end of year	178	204	190
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	118	135	110
Outlays, gross:			
4010 Outlays from new discretionary authority	3	12	10
4011 Outlays from discretionary balances	88	125	126
4020 Outlays, gross (total)	91	137	136
4180 Budget authority, net (total)	118	135	110
4190 Outlays, net (total)	91	137	136

Object Classification (in millions of dollars)

Identification code 097-0819-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	5	6	6
22.0 Transportation of things	11	13	12
25.1 Advisory and assistance services	12	14	14
25.2 Other services from non-Federal sources	22	34	25
25.3 Other goods and services from Federal sources	7	8	8
26.0 Supplies and materials	11	16	12
31.0 Equipment	23	32	20
32.0 Land and structures	27	40	25
99.9 Total new obligations, unexpired accounts	118	163	122

COOPERATIVE THREAT REDUCTION ACCOUNT

For assistance, including assistance provided by contract or by grants, under programs and activities of the Department of Defense Cooperative Threat Reduction Program authorized under the Department of Defense Cooperative Threat Reduction Act, **[\$373,700,000]** \$238,490,000, to remain available until September 30, **[2022]** 2023. (Department of Defense Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-0134-0-1-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	7
Receipts:			
Current law:			
1130 Collections, Contributions to the Cooperative Threat Reduction Program	2	6	6
2000 Total: Balances and receipts	3	7	13
Appropriations:			
Current law:			
2101 Cooperative Threat Reduction Account	-2		
5099 Balance, end of year	1	7	13

Program and Financing (in millions of dollars)

Identification code 097-0134-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 FSU Threat Reduction	435	258	284
0801 Reimbursable program activity	11	3	3
0900 Total new obligations, unexpired accounts	446	261	287
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	106	55	171
1001 Discretionary unobligated balance brought fwd, Oct 1	106		
1021 Recoveries of prior year unpaid obligations	32		
1050 Unobligated balance (total)	138	55	171
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	350	374	238
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2		
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	3	3
1701 Change in uncollected payments, Federal sources	7		
1750 Spending auth from offsetting collections, disc (total)	11	3	3
1900 Budget authority (total)	363	377	241
1930 Total budgetary resources available	501	432	412
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	55	171	125
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	481	537	423
3010 New obligations, unexpired accounts	446	261	287
3011 Obligations ("upward adjustments"), expired accounts	6		
3020 Outlays (gross)	-345	-375	-321
3040 Recoveries of prior year unpaid obligations, unexpired	-32		
3041 Recoveries of prior year unpaid obligations, expired	-19		
3050 Unpaid obligations, end of year	537	423	389

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-7		
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	481	530	416
3200 Obligated balance, end of year	530	416	382

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	361	377	241
Outlays, gross:			
4010 Outlays from new discretionary authority	40	44	29
4011 Outlays from discretionary balances	296	331	291
4020 Outlays, gross (total)	336	375	320
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-4	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-7		
4060 Additional offsets against budget authority only (total)	-7		
4070 Budget authority, net (discretionary)	350	374	238
4080 Outlays, net (discretionary)	332	372	317
Mandatory:			
4090 Budget authority, gross	2		
Outlays, gross:			
4101 Outlays from mandatory balances	9		1
4180 Budget authority, net (total)	352	374	238
4190 Outlays, net (total)	341	372	318

Object Classification (in millions of dollars)

Identification code 097-0134-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3	6	4
23.3 Communications, utilities, and miscellaneous charges		4	
25.1 Advisory and assistance services	148	138	76
25.2 Other services from non-Federal sources	26	16	33
25.3 Other goods and services from Federal sources	77	61	62
25.4 Operation and maintenance of facilities	15		8
25.5 Research and development contracts	2	9	1
25.7 Operation and maintenance of equipment	7	8	6
26.0 Supplies and materials	82	2	66
31.0 Equipment	2		6
32.0 Land and structures	41	3	14
41.0 Grants, subsidies, and contributions	32	11	8
99.0 Direct obligations	435	258	284
99.0 Reimbursable obligations	11	3	3
99.9 Total new obligations, unexpired accounts	446	261	287

AFGHANISTAN SECURITY FORCES FUND

Program and Financing (in millions of dollars)

Identification code 021-2091-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ministry of Defense	1,022		
0002 Ministry of Interior	489		
0005 Contributions	116		
0006 Afghan National Army	670	1,654	485
0007 Afghan National Police	296	714	201
0008 Afghan Air Force	724	1,643	373
0009 Afghan Special Security Forces	320	819	222
0900 Total new obligations, unexpired accounts	3,637	4,830	1,281
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,544	2,397	1,371
1021 Recoveries of prior year unpaid obligations	99		
1050 Unobligated balance (total)	1,643	2,397	1,371
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,920	4,200	
1120 Appropriations transferred to other acct [097-9999]	-604		

AFGHANISTAN SECURITY FORCES FUND—Continued
Program and Financing—Continued

Identification code 021–2091–0–1–051	2019 actual	2020 est.	2021 est.
1131 Unobligated balance of appropriations permanently reduced		–396	
1160 Appropriation, discretionary (total)	4,316	3,804	
Spending authority from offsetting collections, discretionary:			
1700 Collected	77		
1900 Budget authority (total)	4,393	3,804	
1930 Total budgetary resources available	6,036	6,201	1,371
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		
1941 Unexpired unobligated balance, end of year	2,397	1,371	90
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,528	1,446	2,776
3010 New obligations, unexpired accounts	3,637	4,830	1,281
3011 Obligations ("upward adjustments"), expired accounts	35		
3020 Outlays (gross)	–3,420	–3,500	–2,404
3040 Recoveries of prior year unpaid obligations, unexpired	–99		
3041 Recoveries of prior year unpaid obligations, expired	–235		
3050 Unpaid obligations, end of year	1,446	2,776	1,653
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–307	–281	–281
3071 Change in uncollected pymts, Fed sources, expired	26		
3090 Uncollected pymts, Fed sources, end of year	–281	–281	–281
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,221	1,165	2,495
3200 Obligated balance, end of year	1,165	2,495	1,372

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,393	3,804	
Outlays, gross:			
4010 Outlays from new discretionary authority	1,700	1,522	
4011 Outlays from discretionary balances	1,720	1,978	2,404
4020 Outlays, gross (total)	3,420	3,500	2,404
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–26		
4033 Non-Federal sources	–77		
4040 Offsets against gross budget authority and outlays (total) ...	–103		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	26		
4060 Additional offsets against budget authority only (total)	26		
4070 Budget authority, net (discretionary)	4,316	3,804	
4080 Outlays, net (discretionary)	3,317	3,500	2,404
4180 Budget authority, net (total)	4,316	3,804	
4190 Outlays, net (total)	3,317	3,500	2,404

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,316	3,804	
Outlays	3,317	3,500	2,404
Overseas contingency operations:			
Budget Authority			4,016
Outlays			1,606
Total:			
Budget Authority	4,316	3,804	4,016
Outlays	3,317	3,500	4,010

Object Classification (in millions of dollars)

Identification code 021–2091–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	13	1	
22.0 Transportation of things	72	7	
23.2 Rental payments to others		2	
23.3 Communications, utilities, and miscellaneous charges	3		
24.0 Printing and reproduction		1	
25.2 Other services from non-Federal sources	2,055	12	
25.3 Other goods and services from Federal sources	412	4,193	1,281
25.3 Other goods and services from Federal sources		50	

25.8 Subsistence and support of persons	3	547	
26.0 Supplies and materials	628		
31.0 Equipment	397		
32.0 Land and structures	54	17	
99.9 Total new obligations, unexpired accounts	3,637	4,830	1,281

AFGHANISTAN INFRASTRUCTURE FUND

Program and Financing (in millions of dollars)

Identification code 021–2096–0–1–051	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	2	2
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	–22		
3041 Recoveries of prior year unpaid obligations, expired	–5		
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	22		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	22		

COUNTER-ISLAMIC STATE OF IRAQ AND SYRIA TRAIN AND EQUIP FUND

Program and Financing (in millions of dollars)

Identification code 021–2099–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Counter-Islamic State of Iraq and Syria Train and Equip	1,549	1,067	717
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,544	1,047	725
1021 Recoveries of prior year unpaid obligations	23		
1050 Unobligated balance (total)	1,567	1,047	725
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,352	1,195	
1131 Unobligated balance of appropriations permanently reduced	–300	–450	
1160 Appropriation, discretionary (total)	1,052	745	
Spending authority from offsetting collections, discretionary:			
1700 Collected	7		
1900 Budget authority (total)	1,059	745	
1930 Total budgetary resources available	2,626	1,792	725
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–30		
1941 Unexpired unobligated balance, end of year	1,047	725	8

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	77	221	
3010 New obligations, unexpired accounts	1,549	1,067	717
3011 Obligations ("upward adjustments"), expired accounts	20		
3020 Outlays (gross)	–1,377	–1,288	–378
3040 Recoveries of prior year unpaid obligations, unexpired	–23		
3041 Recoveries of prior year unpaid obligations, expired	–25		
3050 Unpaid obligations, end of year	221		339
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	77	221	
3200 Obligated balance, end of year	221		339

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,059	745	
Outlays, gross:			
4010 Outlays from new discretionary authority	250	149	

4011	Outlays from discretionary balances	1,127	1,139	378
4020	Outlays, gross (total)	1,377	1,288	378
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4033	Non-Federal sources	-7		
4180	Budget authority, net (total)	1,052	745	
4190	Outlays, net (total)	1,370	1,288	378

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,052	745	
Outlays	1,370	1,288	378
Overseas contingency operations:			
Budget Authority			845
Outlays			169
Total:			
Budget Authority	1,052	745	845
Outlays	1,370	1,288	547

Object Classification (in millions of dollars)

Identification code 021-2099-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	794	967	717
31.0 Equipment	755	100	
99.9 Total new obligations, unexpired accounts	1,549	1,067	717

IRAQ TRAIN AND EQUIP FUND

Program and Financing (in millions of dollars)

Identification code 021-2097-0-1-051	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	-34	31	13
3011 Obligations ("upward adjustments"), expired accounts	76		
3020 Outlays (gross)		-18	-11
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	31	13	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-34	31	13
3200 Obligated balance, end of year	31	13	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		18	11
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-12		
4033 Non-Federal sources	-63		
4040 Offsets against gross budget authority and outlays (total) ...	-75		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	75		
4080 Outlays, net (discretionary)	-75	18	11
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-75	18	11

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT [FUND] ACCOUNT

For the Department of Defense Acquisition Workforce Development [Fund] Account, [\$400,000,000] \$58,181,000, to remain available for obligation until September 30, [2021: Provided, That no other amounts may be otherwise credited or transferred to the Fund, or deposited into the Fund, in fiscal year 2020 pursuant to section 1705(d) of title 10, United States Code] 2022. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0111-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	472	408	92
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	53	64	56
1001 Discretionary unobligated balance brought fwd, Oct 1	53		
1021 Recoveries of prior year unpaid obligations	32		
1050 Unobligated balance (total)	85	64	56
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	450	400	58
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1900 Budget authority (total)	451	400	58
1930 Total budgetary resources available	536	464	114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	64	56	22

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	229	231	204
3010 New obligations, unexpired accounts	472	408	92
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-431	-435	-130
3040 Recoveries of prior year unpaid obligations, unexpired	-32		
3041 Recoveries of prior year unpaid obligations, expired	-15		
3050 Unpaid obligations, end of year	231	204	166
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	229	231	204
3200 Obligated balance, end of year	231	204	166

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	450	400	58
Outlays, gross:			
4010 Outlays from new discretionary authority	227	200	29
4011 Outlays from discretionary balances	198	235	101
4020 Outlays, gross (total)	425	435	130
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-6		
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-7		
Additional offsets against gross budget authority only: Offsetting collections credited to expired accounts	7		
4060 Additional offsets against budget authority only (total)	7		
4070 Budget authority, net (discretionary)	450	400	58
4080 Outlays, net (discretionary)	418	435	130
Mandatory:			
4090 Budget authority, gross	1		
Outlays, gross:			
4101 Outlays from mandatory balances	6		
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources	-1		
4180 Budget authority, net (total)	450	400	58
4190 Outlays, net (total)	423	435	130

The Defense Acquisition Workforce Development Account provides funding for the Department of Defense acquisition workforce to ensure it has the capacity, in both personnel and skills, needed to properly perform its mission, provide appropriate oversight of contractor performance, and ensure the Department receives the best value for the expenditure of public resources.

Object Classification (in millions of dollars)

Identification code 097-0111-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	97	97	
11.5 Other personnel compensation	5	8	2
11.9 Total personnel compensation	102	105	2

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT
ACCOUNT—Continued
Object Classification—Continued

Identification code 097-0111-0-1-051	2019 actual	2020 est.	2021 est.
12.1 Civilian personnel benefits	32	37
21.0 Travel and transportation of persons	72	47	10
22.0 Transportation of things	1	1
23.1 Rental payments to GSA	2
23.3 Communications, utilities, and miscellaneous charges	1	4	1
24.0 Printing and reproduction	1	1
25.1 Advisory and assistance services	86	51	11
25.2 Other services from non-Federal sources	75	69	49
25.3 Other goods and services from Federal sources	65	67	12
25.4 Operation and maintenance of facilities	1	1
25.5 Research and development contracts	5
25.7 Operation and maintenance of equipment	12	9	3
26.0 Supplies and materials	5	5	1
31.0 Equipment	5	7	2
32.0 Land and structures	9	2	1
99.9 Total new obligations, unexpired accounts	472	408	92

Employment Summary

Identification code 097-0111-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,600	1,675

EMERGENCY RESPONSE FUND

Program and Financing (in millions of dollars)

Identification code 097-0833-0-1-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	218	221	221
1021 Recoveries of prior year unpaid obligations	3
1050 Unobligated balance (total)	221	221	221
1930 Total budgetary resources available	221	221	221
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	221	221	221

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	21	11
3020 Outlays (gross)	-10	-5
3040 Recoveries of prior year unpaid obligations, unexpired	-3
3050 Unpaid obligations, end of year	21	11	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	21	11
3200 Obligated balance, end of year	21	11	6

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	10	5
4180 Budget authority, net (total)
4190 Outlays, net (total)	10	5

EMERGENCY RESPONSE

Program and Financing (in millions of dollars)

Identification code 097-4965-0-4-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	11	11
1930 Total budgetary resources available	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3
3020 Outlays (gross)	-3
3050 Unpaid obligations, end of year	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3
3200 Obligated balance, end of year	3

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3
4180 Budget authority, net (total)
4190 Outlays, net (total)	3

ALLIED CONTRIBUTIONS AND COOPERATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-9927-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	8	9	9
Receipts:			
Current law:			
1130 Contributions for Burdensharing and Other Cooperative Activities (Kuwait)	104	111	114
1130 Contributions for Burdensharing and Other Cooperative Activities (Japan)	216	238	242
1130 Contributions for Burdensharing and Other Cooperative Activities (So. Korea)	966	564	575
1199 Total current law receipts	1,286	913	931
1999 Total receipts	1,286	913	931
2000 Total: Balances and receipts	1,294	922	940
Appropriations:			
Current law:			
2101 Allied Contributions and Cooperation Account	-1,285	-913	-931
5099 Balance, end of year	9	9	9

Program and Financing (in millions of dollars)

Identification code 097-9927-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	944	963	982
0900 Total new obligations, unexpired accounts (object class 26.0)	944	963	982
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	467	808	758
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,285	913	931
1930 Total budgetary resources available	1,752	1,721	1,689
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	808	758	707

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	60
3010 New obligations, unexpired accounts	944	963	982
3020 Outlays (gross)	-945	-903	-927
3050 Unpaid obligations, end of year	60	115
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	60
3200 Obligated balance, end of year	60	115

Budget authority and outlays, net:

Mandatory:						
Budget authority, gross				1,285	913	931
Outlays, gross:						
4100 Outlays from new mandatory authority	820	740	754			
4101 Outlays from mandatory balances	125	163	173			
4110 Outlays, gross (total)	945	903	927			
4180 Budget authority, net (total)	1,285	913	931			

4190	Outlays, net (total)	945	903	927
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	8	8	8
5001	Total investments, EOY: Federal securities: Par value	8	8	8

Cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD costs such as compensation of local national employees, military construction, and supplies and services. Contributions are used to offset costs of DOD's overseas presence.

MISCELLANEOUS SPECIAL FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-9922-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	8
Receipts:			
Current law:			
1120 Restoration of the Rocky Mountain Arsenal, Army	-1	7	7
1130 Proceeds from the Transfer or Disposition of Commissary Facilities	1	1	1
1199 Total current law receipts		8	8
1999 Total receipts		8	8
2000 Total: Balances and receipts	1	9	16
Appropriations:			
Current law:			
2101 Miscellaneous Special Funds		-1	-1
2103 Miscellaneous Special Funds	-1	-1	-1
2132 Miscellaneous Special Funds	1	1	
2199 Total current law appropriations		-1	-2
2999 Total appropriations		-1	-2
5099 Balance, end of year	1	8	14

Program and Financing (in millions of dollars)

Identification code 097-9922-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Miscellaneous special funds	4	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	14	14
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	18	14	14
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		1	1
1203 Appropriation (previously unavailable)(special or trust)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1260 Appropriations, mandatory (total)		1	2
1900 Budget authority (total)		1	2
1930 Total budgetary resources available	18	15	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	4	2
3010 New obligations, unexpired accounts	4	1	1
3020 Outlays (gross)	-1	-3	-3
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	4	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	4	2
3200 Obligated balance, end of year	4	2	
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		1	2

Outlays, gross:				
4100	Outlays from new mandatory authority	1	1	1
4101	Outlays from mandatory balances		2	2
4110	Outlays, gross (total)	1	3	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-2		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	2		
4160	Budget authority, net (mandatory)		1	2
4170	Outlays, net (mandatory)	-1	3	3
4180	Budget authority, net (total)		1	2
4190	Outlays, net (total)	-1	3	3

Object Classification (in millions of dollars)

Identification code 097-9922-0-2-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.3	Other goods and services from Federal sources	1		
25.4	Operation and maintenance of facilities	3	1	1
99.9	Total new obligations, unexpired accounts	4	1	1

DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5188-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Disposal of Department of Defense Real Property	9	8	10
2000 Total: Balances and receipts	9	8	10
Appropriations:			
Current law:			
2101 Disposal of Department of Defense Real Property	-9	-8	-10
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-5188-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Concept Obligations	22	7	9
0900 Total new obligations, unexpired accounts (object class 25.4)	22	7	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	68	73	74
1033 Recoveries of prior year paid obligations	18		
1050 Unobligated balance (total)	86	73	74
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	9	8	10
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1701 Change in uncollected payments, Federal sources	-1		
1900 Budget authority (total)	9	8	10
1930 Total budgetary resources available	95	81	84
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	73	74	75
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	21	10
3010 New obligations, unexpired accounts	22	7	9
3020 Outlays (gross)	-11	-18	-18
3050 Unpaid obligations, end of year	21	10	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	18	7

DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY—Continued
Program and Financing—Continued

Identification code 097-5188-0-2-051	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	18	7	-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	8	10
Outlays, gross:			
4010 Outlays from new discretionary authority	9	6	8
4011 Outlays from discretionary balances	2	12	10
4020 Outlays, gross (total)	11	18	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
4033 Non-Federal sources	-18		
4040 Offsets against gross budget authority and outlays (total)	-19		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	18		
4060 Additional offsets against budget authority only (total)	19		
4070 Budget authority, net (discretionary)	9	8	10
4080 Outlays, net (discretionary)	-8	18	18
4180 Budget authority, net (total)	9	8	10
4190 Outlays, net (total)	-8	18	18

Receipts from the disposal of DOD real property are applied to real property maintenance and environmental efforts at DOD installations.

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5189-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Lease of Department of Defense Real Property	34	34	33
2000 Total: Balances and receipts	34	34	33
Appropriations:			
Current law:			
2101 Lease of Department of Defense Real Property	-34	-34	-33
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-5189-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Concept Obligations	22	28	27
0900 Total new obligations, unexpired accounts (object class 25.4)	22	28	27
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	190	204	210
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	192	204	210
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	34	34	33
1900 Budget authority (total)	34	34	33
1930 Total budgetary resources available	226	238	243
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	204	210	216
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	36	35	
3010 New obligations, unexpired accounts	22	28	27
3020 Outlays (gross)	-22	-63	-27
3040 Recoveries of prior year unpaid obligations, unexpired	-1		

3050 Unpaid obligations, end of year	35		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	34	-1
3200 Obligated balance, end of year	34	-1	-1

Budget authority and outlays, net:

Identification code 097-5193-0-2-051	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	34	34	33
Outlays, gross:			
4010 Outlays from new discretionary authority		21	20
4011 Outlays from discretionary balances	22	42	7
4020 Outlays, gross (total)	22	63	27
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	34	34	33
4080 Outlays, net (discretionary)	21	63	27
4180 Budget authority, net (total)	34	34	33
4190 Outlays, net (total)	21	63	27

Receipts from the lease of DOD real property are applied to real property maintenance and environmental efforts at DOD installations. Receipts are available for maintenance, protection, alteration, repair, improvement, restoration of property or facilities, construction or acquisition of new facilities, lease of facilities, and facilities operation support.

OVERSEAS MILITARY FACILITY INVESTMENT RECOVERY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5193-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	2
2000 Total: Balances and receipts	2	2	2
5099 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 097-5193-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Concept Obligations	1		
0900 Total new obligations, unexpired accounts (object class 25.4)	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1930 Total budgetary resources available	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	2
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)		-2	-2
3050 Unpaid obligations, end of year	4	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	2
3200 Obligated balance, end of year	4	2	
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		2	2
4180 Budget authority, net (total)			

4190 Outlays, net (total) 2 2

MUTUALLY BENEFICIAL ACTIVITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5613-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Contributions for Mutually Beneficial Activities (Kuwait)		32	373
2000 Total: Balances and receipts		32	373
Appropriations:			
Current law:			
2101 Mutually Beneficial Activities		-32	-373
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-5613-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	8	32	373
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	60	53	53
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	61	53	53
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		32	373
1930 Total budgetary resources available	61	85	426
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	53	53	53

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		6
3010 New obligations, unexpired accounts	8	32	373
3020 Outlays (gross)	-8	-26	-27
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year		6	352
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		6
3200 Obligated balance, end of year		6	352

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross		32	373
Outlays, gross:			
4100 Outlays from new mandatory authority		1	11
4101 Outlays from mandatory balances	8	25	16
4110 Outlays, gross (total)	8	26	27
4180 Budget authority, net (total)		32	373
4190 Outlays, net (total)	8	26	27

Section 2807 of Public Law 114-92 (National Defense Authorization Act for Fiscal Year 2017) extended temporary authority for acceptance and use of contributions for certain construction, maintenance, and repair projects mutually beneficial to the Department of Defense and Kuwait military forces.

Object Classification (in millions of dollars)

Identification code 097-5613-0-2-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
26.0 Supplies and materials	7		
32.0 Land and structures	1	32	373
99.9 Total new obligations, unexpired accounts	8	32	373

DEPARTMENT OF DEFENSE VIETNAM WAR COMMEMORATION FUND

Program and Financing (in millions of dollars)

Identification code 097-5750-0-2-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
1930 Total budgetary resources available	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	10

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3020 Outlays (gross)	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1		

SUPPORT OF ATHLETIC PROGRAMS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 057-5616-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			3
Receipts:			
Current law:			
1130 Proceeds, Support of Athletic Programs	4	3	3
2000 Total: Balances and receipts	4	3	6
Appropriations:			
Current law:			
2101 Support of Athletic Programs	-4		
5099 Balance, end of year		3	6

Program and Financing (in millions of dollars)

Identification code 057-5616-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	1		
0900 Total new obligations, unexpired accounts (object class 25.3)	1		

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4		
1930 Total budgetary resources available	10	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)	-1		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	4		
Outlays, gross:			
4101 Outlays from mandatory balances	1		
4180 Budget authority, net (total)	4		
4190 Outlays, net (total)	1		

INTERNATIONAL RECONSTRUCTION AND OTHER ASSISTANCE

Federal Funds

IRAQ RELIEF AND RECONSTRUCTION FUND, ARMY

Program and Financing (in millions of dollars)

Identification code 021-2089-0-1-151	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4	4	4
1930	Total budgetary resources available	4	4	4
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6		
3020	Outlays (gross)	-6		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6		
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	6		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	6		

PROCUREMENT

Appropriations in this title support the acquisition of aircraft, ships, combat and support vehicles, satellites and their launch vehicles, weapons, munitions, and all capital equipment. Major systems in production typically are budgeted annually to maintain production continuity through the life of the acquisition program, and in several instances multiyear contracts are used to ensure stability of production and economies of scale. Initial spares and support as well as the modification of existing equipment are also funded. Resources presented under the Procurement title contribute primarily to achieving the Department's annual goals of assuring readiness and sustainability, transforming the force for new missions, and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, future challenges risk, and institutional risk.

Procurement in support of the ground forces encompasses wheeled and tracked vehicles, aircraft, ammunition, and equipment to meet inventory requirements dictated by the force size and anticipated mission requirements. Similarly, procurement in support of naval forces includes ships, equipment for the ships, aircraft, munitions, tactical and ballistic missile weapons, the Marine Corps air and ground elements, and other equipment to sustain future naval operations. The Air Force programs support a broad range of missions and include aircraft, munitions, tactical and ballistic missile weapons, surveillance assets, U.S. Space Force space assets, and other mission support equipment. Procurement is also in support of missile defense and cyberspace missions.

Federal Funds

AIRCRAFT PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$3,771,329,000]**, \$3,074,594,000, to remain

available for obligation until September 30, **[2022]**2023, of which \$1,141,528,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2031-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Aircraft	4,184	2,831	2,334
0002	Modification of aircraft	1,129	853	606
0004	Support equipment and facilities	442	457	409
0799	Total direct obligations	5,755	4,141	3,349
0801	Reimbursable program activity	216	506	450
0900	Total new obligations, unexpired accounts	5,971	4,647	3,799
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,612	2,006	2,138
1001	Discretionary unobligated balance brought fwd, Oct 1	2,602	1,969	
1010	Unobligated balance transfer to other accts [097-9999]	-12		
1011	Unobligated balance transfer from other acct [097-9999]	8		
1021	Recoveries of prior year unpaid obligations	419		
1050	Unobligated balance (total)	3,027	2,006	2,138
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	4,647	4,303	3,075
1131	Unobligated balance of appropriations permanently reduced	-16	-103	
1160	Appropriation, discretionary (total)	4,631	4,200	3,075
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512]	176	132	97
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-3	
1260	Appropriations, mandatory (total)	175	129	97
Spending authority from offsetting collections, discretionary:				
1700	Collected	214	450	450
1701	Change in uncollected payments, Federal sources	-66		
1750	Spending auth from offsetting collections, disc (total)	148	450	450
1900	Budget authority (total)	4,954	4,779	3,622
1930	Total budgetary resources available	7,981	6,785	5,760
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-4		
1941	Unexpired unobligated balance, end of year	2,006	2,138	1,961
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	7,925	8,248	6,831
3010	New obligations, unexpired accounts	5,971	4,647	3,799
3011	Obligations ("upward adjustments"), expired accounts	113		
3020	Outlays (gross)	-5,108	-6,064	-5,067
3040	Recoveries of prior year unpaid obligations, unexpired	-419		
3041	Recoveries of prior year unpaid obligations, expired	-234		
3050	Unpaid obligations, end of year	8,248	6,831	5,563
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-364	-266	-266
3070	Change in uncollected pymts, Fed sources, unexpired	66		
3071	Change in uncollected pymts, Fed sources, expired	32		
3090	Uncollected pymts, Fed sources, end of year	-266	-266	-266
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	7,561	7,982	6,565
3200	Obligated balance, end of year	7,982	6,565	5,297
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,779	4,650	3,525
Outlays, gross:				
4010	Outlays from new discretionary authority	614	912	788
4011	Outlays from discretionary balances	4,485	5,138	4,219
4020	Outlays, gross (total)	5,099	6,050	5,007
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-169	-450	-450
4033	Non-Federal sources	-75		
4040	Offsets against gross budget authority and outlays (total)	-244	-450	-450
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	66		
4052	Offsetting collections credited to expired accounts	30		

4060	Additional offsets against budget authority only (total)	96		
4070	Budget authority, net (discretionary)	4,631	4,200	3,075
4080	Outlays, net (discretionary)	4,855	5,600	4,557
Mandatory:				
4090	Budget authority, gross	175	129	97
Outlays, gross:				
4100	Outlays from new mandatory authority		14	11
4101	Outlays from mandatory balances	9		49
4110	Outlays, gross (total)	9	14	60
4180	Budget authority, net (total)	4,806	4,329	3,172
4190	Outlays, net (total)	4,864	5,614	4,617

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,806	4,329	3,172
Outlays	4,864	5,614	4,617
Overseas contingency operations:			
Budget Authority			461
Outlays			49
Total:			
Budget Authority	4,806	4,329	3,633
Outlays	4,864	5,614	4,666

Object Classification (in millions of dollars)

Identification code 021–2031–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3	2	2
22.0 Transportation of things	1	1	1
25.1 Advisory and assistance services	147	106	85
25.2 Other services from non-Federal sources	400	288	231
25.3 Other goods and services from Federal sources	483	347	278
25.4 Operation and maintenance of facilities	2	1	1
25.7 Operation and maintenance of equipment	165	119	95
26.0 Supplies and materials	119	85	69
31.0 Equipment	4,435	3,192	2,587
99.0 Direct obligations	5,755	4,141	3,349
99.0 Reimbursable obligations	216	506	450
99.9 Total new obligations, unexpired accounts	5,971	4,647	3,799

MISSILE PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$2,995,673,000]** **\$3,491,507,000**, to remain available for obligation until September 30, **[2022]** **2023**, of which **\$23,317,000** shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021–2032–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Other missiles	4,380	3,394	2,722
0003 Modification of missiles	1,188	1,105	756
0004 Spares and repair parts	30	21	5
0005 Support equipment and facilities	7	9	9
0799 Total direct obligations	5,605	4,529	3,492
0801 Reimbursable program activity	520	691	550
0900 Total new obligations, unexpired accounts	6,125	5,220	4,042

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,531	1,773	1,436
1010 Unobligated balance transfer to other accts [097–9999]	–7		

1021 Recoveries of prior year unpaid obligations	447		
1050 Unobligated balance (total)	2,971	1,773	1,436
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,875	4,419	3,492
1121 Appropriations transferred from other acct [097–9999]	21		
1131 Unobligated balance of appropriations permanently reduced	–80	–86	
1160 Appropriation, discretionary (total)	4,816	4,333	3,492
Spending authority from offsetting collections, discretionary:			
1700 Collected	153	550	550
1701 Change in uncollected payments, Federal sources	–12		
1750 Spending auth from offsetting collections, disc (total)	141	550	550
1900 Budget authority (total)	4,957	4,883	4,042
1930 Total budgetary resources available	7,928	6,656	5,478
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–30		
1941 Unexpired unobligated balance, end of year	1,773	1,436	1,436

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,567	8,465	9,759
3010 New obligations, unexpired accounts	6,125	5,220	4,042
3011 Obligations ("upward adjustments"), expired accounts	59		
3020 Outlays (gross)	–2,737	–3,926	–4,670
3040 Recoveries of prior year unpaid obligations, unexpired	–447		
3041 Recoveries of prior year unpaid obligations, expired	–102		
3050 Unpaid obligations, end of year	8,465	9,759	9,131
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–239	–192	–192
3070 Change in uncollected pymts, Fed sources, unexpired	12		
3071 Change in uncollected pymts, Fed sources, expired	35		
3090 Uncollected pymts, Fed sources, end of year	–192	–192	–192
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,328	8,273	9,567
3200 Obligated balance, end of year	8,273	9,567	8,939

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	4,957	4,883	4,042
Outlays, gross:			
4010 Outlays from new discretionary authority	188	810	760
4011 Outlays from discretionary balances	2,549	3,116	3,910
4020 Outlays, gross (total)	2,737	3,926	4,670
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–175	–550	–550
4033 Non-Federal sources	–3		
4040 Offsets against gross budget authority and outlays (total)	–178	–550	–550
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	12		
4052 Offsetting collections credited to expired accounts	25		
4060 Additional offsets against budget authority only (total)	37		
4070 Budget authority, net (discretionary)	4,816	4,333	3,492
4080 Outlays, net (discretionary)	2,559	3,376	4,120
4180 Budget authority, net (total)	4,816	4,333	3,492
4190 Outlays, net (total)	2,559	3,376	4,120

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,816	4,333	3,492
Outlays	2,559	3,376	4,120
Overseas contingency operations:			
Budget Authority			882
Outlays			106
Total:			
Budget Authority	4,816	4,333	4,374
Outlays	2,559	3,376	4,226

Object Classification (in millions of dollars)

Identification code 021–2032–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3		
22.0 Transportation of things	3	1	67
25.1 Advisory and assistance services	304	39	39

MISSILE PROCUREMENT, ARMY—Continued
Object Classification—Continued

Identification code 021–2032–0–1–051	2019 actual	2020 est.	2021 est.
25.2 Other services from non-Federal sources	28		
25.3 Other goods and services from Federal sources	201		
25.4 Operation and maintenance of facilities	6		
25.7 Operation and maintenance of equipment	42		
26.0 Supplies and materials	61	68	304
31.0 Equipment	4,619	4,421	3,082
41.0 Grants, subsidies, and contributions	339		
99.0 Direct obligations	5,606	4,529	3,492
99.0 Reimbursable obligations	519	691	550
99.9 Total new obligations, unexpired accounts	6,125	5,220	4,042

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY

For construction, procurement, production, and modification of weapons and tracked combat vehicles, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$4,663,597,000]** \$3,696,740,000, to remain available for obligation until September 30, **[2022]** 2023, of which \$273,583,000 shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021–2033–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Tracked combat vehicles	5,234	4,340	4,044
0002 Weapons and other combat vehicles	445	161	167
0799 Total direct obligations	5,679	4,501	4,211
0801 Reimbursable program activity	15	17	15
0900 Total new obligations, unexpired accounts	5,694	4,518	4,226

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,999	2,726	2,838
1010 Unobligated balance transfer to other accts [097–9999]	–188		
1021 Recoveries of prior year unpaid obligations	248		
1050 Unobligated balance (total)	3,059	2,726	2,838
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,589	5,010	3,697
1120 Appropriations transferred to other acct [097–9999]	–18		
1120 Appropriations transferred to other acct [246–2865]	–1		
1131 Unobligated balance of appropriations permanently reduced	–211	–395	
1160 Appropriation, discretionary (total)	5,359	4,615	3,697
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	15	15
1701 Change in uncollected payments, Federal sources	–3		
1750 Spending auth from offsetting collections, disc (total)	9	15	15
1900 Budget authority (total)	5,368	4,630	3,712
1930 Total budgetary resources available	8,427	7,356	6,550
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–7		
1941 Unexpired unobligated balance, end of year	2,726	2,838	2,324

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,045	7,726	8,867
3010 New obligations, unexpired accounts	5,694	4,518	4,226
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	–2,709	–3,377	–4,213
3040 Recoveries of prior year unpaid obligations, unexpired	–248		
3041 Recoveries of prior year unpaid obligations, expired	–64		
3050 Unpaid obligations, end of year	7,726	8,867	8,880

Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–6	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired	3		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,039	7,725	8,866
3200 Obligated balance, end of year	7,725	8,866	8,879

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	5,368	4,630	3,712
Outlays, gross:			
4010 Outlays from new discretionary authority	219	338	274
4011 Outlays from discretionary balances	2,490	3,039	3,939
4020 Outlays, gross (total)	2,709	3,377	4,213
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–18	–15	–15
4040 Offsets against gross budget authority and outlays (total)	–18	–15	–15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	3		
4052 Offsetting collections credited to expired accounts	6		
4060 Additional offsets against budget authority only (total)	9		
4070 Budget authority, net (discretionary)	5,359	4,615	3,697
4080 Outlays, net (discretionary)	2,691	3,362	4,198
4180 Budget authority, net (total)	5,359	4,615	3,697
4190 Outlays, net (total)	2,691	3,362	4,198

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	5,359	4,615	3,697
Outlays	2,691	3,362	4,198
Overseas contingency operations:			
Budget Authority			15
Outlays			1
Total:			
Budget Authority	5,359	4,615	3,712
Outlays	2,691	3,362	4,199

Object Classification (in millions of dollars)

Identification code 021–2033–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	17	12	12
23.2 Rental payments to others	1		
25.1 Advisory and assistance services	32	33	33
25.2 Other services from non-Federal sources	15	24	25
25.3 Other goods and services from Federal sources	189	179	182
25.3 Other goods and services from Federal sources	311	309	315
25.4 Operation and maintenance of facilities	5		
25.7 Operation and maintenance of equipment	6	7	7
26.0 Supplies and materials	74	73	74
31.0 Equipment	5,028	3,863	3,562
99.0 Direct obligations	5,679	4,501	4,211
99.0 Reimbursable obligations	15	17	15
99.9 Total new obligations, unexpired accounts	5,694	4,518	4,226

PROCUREMENT OF AMMUNITION, ARMY

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$2,578,575,000]** \$2,777,716,000, to remain available for obligation until September 30, **[2022]** 2023, of which \$174,413,000

shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021–2034–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ammunition	1,910	1,690	2,257
0002 Ammunition production base support	596	540	876
0799 Total direct obligations	2,506	2,230	3,133
0801 Reimbursable program activity	1,624	2,616	2,276
0900 Total new obligations, unexpired accounts	4,130	4,846	5,409
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,443	1,532	1,617
1010 Unobligated balance transfer to other accts [097–9999]	–8		
1021 Recoveries of prior year unpaid obligations	94		
1050 Unobligated balance (total)	1,529	1,532	1,617
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,575	2,728	2,778
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,036	2,203	2,844
1701 Change in uncollected payments, Federal sources	525		
1750 Spending auth from offsetting collections, disc (total)	1,561	2,203	2,844
1900 Budget authority (total)	4,136	4,931	5,622
1930 Total budgetary resources available	5,665	6,463	7,239
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3		
1941 Unexpired unobligated balance, end of year	1,532	1,617	1,830
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,500	6,862	6,470
3010 New obligations, unexpired accounts	4,130	4,846	5,409
3011 Obligations ("upward adjustments"), expired accounts	18		
3020 Outlays (gross)	–3,582	–5,238	–6,548
3040 Recoveries of prior year unpaid obligations, unexpired	–94		
3041 Recoveries of prior year unpaid obligations, expired	–110		
3050 Unpaid obligations, end of year	6,862	6,470	5,331
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2,565	–2,660	–2,660
3070 Change in uncollected pymts, Fed sources, unexpired	–525		
3071 Change in uncollected pymts, Fed sources, expired	430		
3090 Uncollected pymts, Fed sources, end of year	–2,660	–2,660	–2,660
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,935	4,202	3,810
3200 Obligated balance, end of year	4,202	3,810	2,671
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,136	4,931	5,622
Outlays, gross:			
4010 Outlays from new discretionary authority	282	2,421	3,066
4011 Outlays from discretionary balances	3,300	2,817	3,482
4020 Outlays, gross (total)	3,582	5,238	6,548
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1,412	–2,203	–2,844
4040 Offsets against gross budget authority and outlays (total)	–1,412	–2,203	–2,844
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–525		
4052 Offsetting collections credited to expired accounts	376		
4060 Additional offsets against budget authority only (total)	–149		
4070 Budget authority, net (discretionary)	2,575	2,728	2,778
4080 Outlays, net (discretionary)	2,170	3,035	3,704
4180 Budget authority, net (total)	2,575	2,728	2,778
4190 Outlays, net (total)	2,170	3,035	3,704

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,575	2,728	2,778
Outlays	2,170	3,035	3,704

Overseas contingency operations:

Budget Authority			111
Outlays			9
Total:			
Budget Authority	2,575	2,728	2,889
Outlays	2,170	3,035	3,713

Object Classification (in millions of dollars)

Identification code 021–2034–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
22.0 Transportation of things	45	1	23
25.1 Advisory and assistance services	13		6
25.2 Other services from non-Federal sources	44	1	4
25.3 Other goods and services from Federal sources	505	8	161
25.3 Other goods and services from Federal sources	62	1	156
25.4 Operation and maintenance of facilities	10	2	10
25.7 Operation and maintenance of equipment	3		
26.0 Supplies and materials	1,421	2,211	2,671
31.0 Equipment	61	1	
32.0 Land and structures	342	4	102
99.0 Direct obligations	2,506	2,229	3,133
99.0 Reimbursable obligations	1,624	2,617	2,276
99.9 Total new obligations, unexpired accounts	4,130	4,846	5,409

OTHER PROCUREMENT, ARMY

For construction, procurement, production, and modification of vehicles, including tactical, support, and non-tracked combat vehicles; the purchase of passenger motor vehicles for replacement only; communications and electronic equipment; other support equipment; spare parts, ordnance, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$7,581,524,000] \$8,625,206,000**, to remain available for obligation until September 30, **[2022] 2023**, of which **\$1,250,422,000** shall be available for the Army National Guard and Army Reserve. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021–2035–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Tactical and support vehicles	2,651	1,578	1,621
0002 Communications and electronics equipment	5,721	3,136	4,483
0003 Other support equipment	2,131	1,634	1,999
0004 Spare and repair parts	10	5	9
0799 Total direct obligations	10,513	6,353	8,112
0801 Reimbursable program activity	81	155	155
0900 Total new obligations, unexpired accounts	10,594	6,508	8,267
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,929	2,149	4,346
1001 Discretionary unobligated balance brought fwd, Oct 1	2,918	2,140	
1010 Unobligated balance transfer to other accts [097–9999]	–37		
1011 Unobligated balance transfer from other acct [097–9999]	6		
1021 Recoveries of prior year unpaid obligations	705		
1050 Unobligated balance (total)	3,603	2,149	4,346
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9,208	8,662	8,625
1120 Appropriations transferred to other acct [097–9999]	–5		
1121 Appropriations transferred from other acct [097–9999]	28		
1131 Unobligated balance of appropriations permanently reduced	–64	–122	
1160 Appropriation, discretionary (total)	9,167	8,540	8,625
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512]	10	25	19
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–1	–1	
1260 Appropriations, mandatory (total)	9	24	19

OTHER PROCUREMENT, ARMY—Continued
Program and Financing—Continued

Identification code 021-2035-0-1-051	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected	53	141	155
1701 Change in uncollected payments, Federal sources	8		
1750 Spending auth from offsetting collections, disc (total)	61	141	155
1900 Budget authority (total)	9,237	8,705	8,799
1930 Total budgetary resources available	12,840	10,854	13,145
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-97		
1941 Unexpired unobligated balance, end of year	2,149	4,346	4,878

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10,105	11,194	8,687
3010 New obligations, unexpired accounts	10,594	6,508	8,267
3011 Obligations ("upward adjustments"), expired accounts	155		
3020 Outlays (gross)	-8,619	-9,015	-8,287
3040 Recoveries of prior year unpaid obligations, unexpired	-705		
3041 Recoveries of prior year unpaid obligations, expired	-336		
3050 Unpaid obligations, end of year	11,194	8,687	8,667
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-83	-85	-85
3070 Change in uncollected pymts, Fed sources, unexpired	-8		
3071 Change in uncollected pymts, Fed sources, expired	6		
3090 Uncollected pymts, Fed sources, end of year	-85	-85	-85
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10,022	11,109	8,602
3200 Obligated balance, end of year	11,109	8,602	8,582

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	9,228	8,681	8,780
Outlays, gross:			
4010 Outlays from new discretionary authority	1,632	1,508	1,535
4011 Outlays from discretionary balances	6,986	7,503	6,740
4020 Outlays, gross (total)	8,618	9,011	8,275
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-57	-141	-155
4033 Non-Federal sources	-4		
4040 Offsets against gross budget authority and outlays (total) ...	-61	-141	-155
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-8		
4052 Offsetting collections credited to expired accounts	8		
4070 Budget authority, net (discretionary)	9,167	8,540	8,625
4080 Outlays, net (discretionary)	8,557	8,870	8,120
Mandatory:			
4090 Budget authority, gross	9	24	19
Outlays, gross:			
4100 Outlays from new mandatory authority		4	3
4101 Outlays from mandatory balances	1		9
4110 Outlays, gross (total)	1	4	12
4180 Budget authority, net (total)	9,176	8,564	8,644
4190 Outlays, net (total)	8,558	8,874	8,132

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	9,176	8,564	8,644
Outlays	8,558	8,874	8,132
Overseas contingency operations:			
Budget Authority			924
Outlays			120
Total:			
Budget Authority	9,176	8,564	9,568
Outlays	8,558	8,874	8,252

Object Classification (in millions of dollars)

Identification code 021-2035-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	20	20	22
22.0 Transportation of things	100	91	101

23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	15	10	11
25.1 Advisory and assistance services	460	499	499
25.2 Other services from non-Federal sources	1,147	476	526
25.3 Other goods and services from Federal sources	899	673	744
25.3 Other goods and services from Federal sources	1,079	836	924
25.4 Operation and maintenance of facilities	82	58	64
25.7 Operation and maintenance of equipment	687	445	492
26.0 Supplies and materials	599	196	217
31.0 Equipment	5,415	3,041	4,503
32.0 Land and structures	10	7	8
99.0 Direct obligations	10,514	6,353	8,112
99.0 Reimbursable obligations	80	155	155
99.9 Total new obligations, unexpired accounts	10,594	6,508	8,267

JOINT IMPROVISED-THREAT DEFEAT FUND

Program and Financing (in millions of dollars)

Identification code 097-2093-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	22		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16		
1020 Adjustment of unobligated bal brought forward, Oct 1	2		
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	26		
1930 Total budgetary resources available	26		
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	255	146	108
3010 New obligations, unexpired accounts	22		
3011 Obligations ("upward adjustments"), expired accounts	51		
3020 Outlays (gross)	-84	-38	-86
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3041 Recoveries of prior year unpaid obligations, expired	-90		
3050 Unpaid obligations, end of year	146	108	22
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-36	-24	-24
3071 Change in uncollected pymts, Fed sources, expired	12		
3090 Uncollected pymts, Fed sources, end of year	-24	-24	-24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	219	122	84
3200 Obligated balance, end of year	122	84	-2

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	84	38	86
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-12		
4040 Offsets against gross budget authority and outlays (total) ...	-12		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	12		
4060 Additional offsets against budget authority only (total)	12		
4080 Outlays, net (discretionary)	72	38	86
4180 Budget authority, net (total)			
4190 Outlays, net (total)	72	38	86

Object Classification (in millions of dollars)

Identification code 097-2093-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	2		
25.5 Research and development contracts	19		
31.0 Equipment	1		
99.0 Direct obligations	22		

99.9 Total new obligations, unexpired accounts 22

AIRCRAFT PROCUREMENT, NAVY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$19,605,513,000]** \$17,127,378,000, to remain available for obligation until September 30, **[2022]** 2023, of which \$522,343,000 shall be available for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1506-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Combat aircraft	14,117	13,576	9,740
0002 Airlift aircraft	149	73
0003 Trainer aircraft	173	238
0004 Other aircraft	1,356	1,568	1,390
0005 Modification of aircraft	3,267	3,166	3,729
0006 Aircraft spares and repair parts	1,641	1,569	1,993
0007 Aircraft support equipment and facilities	527	574	755
0799 Total direct obligations	21,057	20,699	17,845
0801 Reimbursable program activity	6	6
0900 Total new obligations, unexpired accounts	21,057	20,705	17,851

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9,156	8,941	7,579
1001 Discretionary unobligated balance brought fwd, Oct 1	9,156	8,939
1010 Unobligated balance transfer to other accts [097-9999]	-139
1011 Unobligated balance transfer from other acct [097-9999]	1
1021 Recoveries of prior year unpaid obligations	762
1050 Unobligated balance (total)	9,780	8,941	7,579
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20,324	19,701	17,127
1120 Appropriations transferred to other acct [097-9999]	-116
1120 Appropriations transferred to other acct [246-2865]	-1
1121 Appropriations transferred from other acct [097-9999]	5
1131 Unobligated balance of appropriations permanently reduced	-65	-422
1160 Appropriation, discretionary (total)	20,147	19,279	17,127
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	51	58	65
Spending authority from offsetting collections, discretionary:			
1700 Collected	28	6	6
1900 Budget authority (total)	20,226	19,343	17,198
1930 Total budgetary resources available	30,006	28,284	24,777
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8
1941 Unexpired unobligated balance, end of year	8,941	7,579	6,926

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24,627	28,287	31,030
3010 New obligations, unexpired accounts	21,057	20,705	17,851
3011 Obligations ("upward adjustments"), expired accounts	142
3020 Outlays (gross)	-16,371	-17,962	-18,831
3040 Recoveries of prior year unpaid obligations, unexpired	-762
3041 Recoveries of prior year unpaid obligations, expired	-406
3050 Unpaid obligations, end of year	28,287	31,030	30,050
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24,627	28,286	31,029
3200 Obligated balance, end of year	28,286	31,029	30,049

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	20,175	19,285	17,133
Outlays, gross:			
4010 Outlays from new discretionary authority	3,548	3,362	2,918
4011 Outlays from discretionary balances	12,813	14,590	15,884
4020 Outlays, gross (total)	16,361	17,952	18,802
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-6
4033 Non-Federal sources	-28
4040 Offsets against gross budget authority and outlays (total)	-28	-6	-6
4070 Budget authority, net (discretionary)	20,147	19,279	17,127
4080 Outlays, net (discretionary)	16,333	17,946	18,796
Mandatory:			
4090 Budget authority, gross	51	58	65
Outlays, gross:			
4100 Outlays from new mandatory authority	10	11
4101 Outlays from mandatory balances	10	18
4110 Outlays, gross (total)	10	10	29
4180 Budget authority, net (total)	20,198	19,337	17,192
4190 Outlays, net (total)	16,343	17,956	18,825

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	20,198	19,337	17,192
Outlays	16,343	17,956	18,825
Overseas contingency operations:			
Budget Authority	33
Outlays	5
Total:			
Budget Authority	20,198	19,337	17,225
Outlays	16,343	17,956	18,830

Object Classification (in millions of dollars)

Identification code 017-1506-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	1
22.0 Transportation of things	8	9	7
23.0 Communications, utilities, and miscellaneous charges	1
25.1 Advisory and assistance services	279	185	193
25.3 Other goods and services from Federal sources	439	102	85
25.3 Other goods and services from Federal sources	1,556	1,461	1,471
25.4 Operation and maintenance of facilities	1
25.5 Research and development contracts	51
25.7 Operation and maintenance of equipment	81
26.0 Supplies and materials	3,328	226	169
31.0 Equipment	15,312	18,715	15,920
99.0 Direct obligations	21,057	20,698	17,845
99.0 Reimbursable obligations	7	6
99.9 Total new obligations, unexpired accounts	21,057	20,705	17,851

WEAPONS PROCUREMENT, NAVY

For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$4,017,470,000]** \$4,884,995,000, to remain available for obligation until September 30, **[2022]** 2023. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1507-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ballistic missiles	1,025	1,259	1,014
0002 Other missiles	1,776	2,893	2,730
0003 Torpedoes and related equipment	310	407	495

WEAPONS PROCUREMENT, NAVY—Continued
Program and Financing—Continued

Identification code 017-1507-0-1-051	2019 actual	2020 est.	2021 est.
0004 Other weapons	250	210	167
0006 Spares and repair parts	124	146	144
0799 Total direct obligations	3,485	4,915	4,550
0801 Reimbursable program activity	9	17	14
0900 Total new obligations, unexpired accounts	3,494	4,932	4,564
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,294	1,429	643
1001 Discretionary unobligated balance brought fwd, Oct 1	1,292	1,427
1010 Unobligated balance transfer to other accts [097-9999]	-18
1021 Recoveries of prior year unpaid obligations	60
1050 Unobligated balance (total)	1,336	1,429	643
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,726	4,134	4,885
1120 Appropriations transferred to other acct [097-9999]	-12
1120 Appropriations transferred to other acct [245-2765]	-3
1131 Unobligated balance of appropriations permanently reduced	-116
1160 Appropriation, discretionary (total)	3,595	4,134	4,885
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	12	14
1701 Change in uncollected payments, Federal sources	2
1750 Spending auth from offsetting collections, disc (total)	11	12	14
1900 Budget authority (total)	3,606	4,146	4,899
1930 Total budgetary resources available	4,942	5,575	5,542
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-19
1941 Unexpired unobligated balance, end of year	1,429	643	978
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,310	5,768	7,416
3010 New obligations, unexpired accounts	3,494	4,932	4,564
3011 Obligations ("upward adjustments"), expired accounts	41
3020 Outlays (gross)	-2,958	-3,284	-3,700
3040 Recoveries of prior year unpaid obligations, unexpired	-60
3041 Recoveries of prior year unpaid obligations, expired	-59
3050 Unpaid obligations, end of year	5,768	7,416	8,280
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-2
3071 Change in uncollected pymts, Fed sources, expired	1
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,309	5,766	7,414
3200 Obligated balance, end of year	5,766	7,414	8,278
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,606	4,146	4,899
Outlays, gross:			
4010 Outlays from new discretionary authority	459	508	600
4011 Outlays from discretionary balances	2,499	2,776	3,099
4020 Outlays, gross (total)	2,958	3,284	3,699
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-8	-12	-14
4033 Non-Federal sources	-3
4040 Offsets against gross budget authority and outlays (total)	-11	-12	-14
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2
4052 Offsetting collections credited to expired accounts	2
4070 Budget authority, net (discretionary)	3,595	4,134	4,885
4080 Outlays, net (discretionary)	2,947	3,272	3,685
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1
4180 Budget authority, net (total)	3,595	4,134	4,885
4190 Outlays, net (total)	2,947	3,272	3,686

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,595	4,134	4,885
Outlays	2,947	3,272	3,686
Overseas contingency operations:			
Budget Authority	6
Outlays	1
Total:			
Budget Authority	3,595	4,134	4,891
Outlays	2,947	3,272	3,687

Object Classification (in millions of dollars)

Identification code 017-1507-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
22.0 Transportation of things	5	5	3
25.1 Advisory and assistance services	73	20	20
25.2 Other services from non-Federal sources	27	4	2
25.3 Other goods and services from Federal sources	195	257	163
25.3 Other goods and services from Federal sources	232	235	240
25.4 Operation and maintenance of facilities	4	4	8
25.5 Research and development contracts	21	22	13
25.7 Operation and maintenance of equipment	102	125	67
26.0 Supplies and materials	1,692	1,703	1,505
31.0 Equipment	1,136	2,540	2,529
99.0 Direct obligations	3,487	4,915	4,550
99.0 Reimbursable obligations	7	17	14
99.9 Total new obligations, unexpired accounts	3,494	4,932	4,564

PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$843,401,000] \$883,602,000**, to remain available for obligation until September 30, **[2022] 2023**, of which **\$615,000** shall be available for the *Navy Reserve and Marine Corps Reserve*. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1508-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Procurement of Ammunition, Navy	891	669	486
0002 Ammunition, Marine Corps	263	500	311
0799 Total direct obligations	1,154	1,169	797
0801 Reimbursable program activity	8	38	30
0900 Total new obligations, unexpired accounts	1,162	1,207	827
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	145	222	56
1021 Recoveries of prior year unpaid obligations	51
1050 Unobligated balance (total)	196	222	56
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,182	1,048	884
1131 Unobligated balance of appropriations permanently reduced	-2	-39
1160 Appropriation, discretionary (total)	1,180	1,009	884
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	32	30
1701 Change in uncollected payments, Federal sources	-3
1750 Spending auth from offsetting collections, disc (total)	9	32	30
1900 Budget authority (total)	1,189	1,041	914
1930 Total budgetary resources available	1,385	1,263	970

Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-1	
1941	Unexpired unobligated balance, end of year	222	56 143
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,710	1,895 2,037
3010	New obligations, unexpired accounts	1,162	1,207 827
3011	Obligations ("upward adjustments"), expired accounts	14	
3020	Outlays (gross)	-932	-1,065 -1,009
3040	Recoveries of prior year unpaid obligations, unexpired	-51	
3041	Recoveries of prior year unpaid obligations, expired	-8	
3050	Unpaid obligations, end of year	1,895	2,037 1,855
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	
3070	Change in uncollected pymts, Fed sources, unexpired	3	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,707	1,895 2,037
3200	Obligated balance, end of year	1,895	2,037 1,855

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,189	1,041 914
Outlays, gross:			
4010	Outlays from new discretionary authority	117	123 110
4011	Outlays from discretionary balances	815	942 899
4020	Outlays, gross (total)	932	1,065 1,009
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-4	-32 -30
4033	Non-Federal sources	-8	
4040	Offsets against gross budget authority and outlays (total)	-12	-32 -30
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	3	
4060	Additional offsets against budget authority only (total)	3	
4070	Budget authority, net (discretionary)	1,180	1,009 884
4080	Outlays, net (discretionary)	920	1,033 979
4180	Budget authority, net (total)	1,180	1,009 884
4190	Outlays, net (total)	920	1,033 979

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,180	1,009	884
Outlays	920	1,033	979
Overseas contingency operations:			
Budget Authority			96
Outlays			8
Total:			
Budget Authority	1,180	1,009	980
Outlays	920	1,033	987

Object Classification (in millions of dollars)

Identification code 017-1508-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
22.0	Transportation of things	9	4 5
25.1	Advisory and assistance services	8	7 7
25.2	Other services from non-Federal sources	1	
25.3	Other goods and services from Federal sources	40	14 23
25.3	Other goods and services from Federal sources	120	76 93
26.0	Supplies and materials	882	950 541
31.0	Equipment	94	118 128
99.0	Direct obligations	1,154	1,169 797
99.0	Reimbursable obligations	8	38 30
99.9	Total new obligations, unexpired accounts	1,162	1,207 827

SHIPBUILDING AND CONVERSION, NAVY

For expenses necessary for the construction, acquisition, or conversion of vessels as authorized by law, including armor and armament thereof, plant equipment, appliances, and machine tools and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; procurement of critical, long lead time components and designs for vessels to be constructed or

converted in the future; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, [as follows:]

[Ohio Replacement Submarine (AP), \$1,820,927,000;]

[Carrier Replacement Program (CVN-80), \$1,062,000,000;]

[Carrier Replacement Program (CVN-81), \$1,214,500,000;]

[Virginia Class Submarine, \$5,365,181,000;]

[Virginia Class Submarine (AP), \$2,969,552,000;]

[CVN Refueling Overhauls, \$634,626,000;]

[CVN Refueling Overhauls (AP), \$16,900,000;]

[DDG-1000 Program, \$155,944,000;]

[DDG-51 Destroyer, \$5,065,295,000;]

[DDG-51 Destroyer (AP), \$744,028,000;]

[FFG-Frigate, \$1,281,177,000;]

[LPD Flight II, \$524,100,000;]

[LHA Replacement, \$650,000,000;]

[Expeditionary Fast Transport, \$261,000,000;]

[TAO Fleet Oiler, \$981,215,000;]

[TAO Fleet Oiler (AP), \$73,000,000;]

[Towing, Salvage, and Rescue Ship, \$150,282,000;]

[LCU 1700, \$83,670,000;]

[Ship to Shore Connector, \$65,000,000;]

[Service Craft, \$56,289,000;]

[For outfitting, post delivery, conversions, and first destination transportation, \$695,992,000; and]

[Completion of Prior Year Shipbuilding Programs, \$104,700,000.]

[In all: \$23,975,378,000] \$19,902,757,000, to remain available for obligation until September 30, [2024] 2025: *Provided*, That additional obligations may be incurred after September 30, [2024] 2025, for engineering services, tests, evaluations, and other such budgeted work that must be performed in the final stage of ship construction: *Provided further*, That none of the funds provided under this heading for the construction or conversion of any naval vessel to be constructed in shipyards in the United States shall be expended in foreign facilities for the construction of major components of such vessel: *Provided further*, That none of the funds provided under this heading shall be used for the construction of any naval vessel in foreign shipyards: *Provided further*, That funds appropriated or otherwise made available by this Act for Ohio Replacement Submarine (AP) may be available for the purposes authorized by subsections (f), (g), (h) or (i) of section 2218a of title 10, United States Code, only in accordance with the provisions of the applicable subsection: *Provided further*, That an appropriation made under the heading "Shipbuilding and Conversion, Navy" provided for the purpose of "Program increase-advance procurement for fiscal year 2020 LPD Flight II and/or multiyear procurement economic order quantity" shall be considered to be for the purpose of "Program increase-advance procurement of LPD-31". (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 017-1611-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Fleet ballistic missile ships		1,184 2,974
0002	Other warships	14,441	19,177 14,101
0003	Amphibious ships	4,641	2,788 1,823
0005	Auxiliaries, craft, and prior-year program costs	3,195	2,499 1,770
0799	Total direct obligations	22,277	25,648 20,668
0900	Total new obligations, unexpired accounts	22,277	25,648 20,668
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	19,259	18,608 16,735
1010	Unobligated balance transfer to other accts [097-9999]	-27	
1012	Unobligated balance transfers between expired and unexpired accounts	879	
1021	Recoveries of prior year unpaid obligations	1,072	
1050	Unobligated balance (total)	21,183	18,608 16,735
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	24,150	23,975 19,903
1120	Appropriations transferred to other acct [097-9999]	-3,213	
1131	Unobligated balance of appropriations permanently reduced	-185	-200
1160	Appropriation, discretionary (total)	20,752	23,775 19,903
1900	Budget authority (total)	20,752	23,775 19,903
1930	Total budgetary resources available	41,935	42,383 36,638

SHIPBUILDING AND CONVERSION, NAVY—Continued
Program and Financing—Continued

Identification code 017-1611-0-1-051	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1,050		22,282
1941 Unexpired unobligated balance, end of year	18,608	16,735	15,970
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	39,848	43,828	50,253
3010 New obligations, unexpired accounts	22,277	25,648	20,668
3011 Obligations ("upward adjustments"), expired accounts	71		
3020 Outlays (gross)	-17,015	-19,223	-22,282
3040 Recoveries of prior year unpaid obligations, unexpired	-1,072		
3041 Recoveries of prior year unpaid obligations, expired	-281		
3050 Unpaid obligations, end of year	43,828	50,253	48,639
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39,848	43,828	50,253
3200 Obligated balance, end of year	43,828	50,253	48,639
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	20,752	23,775	19,903
Outlays, gross:			
4010 Outlays from new discretionary authority	1,266	1,308	1,095
4011 Outlays from discretionary balances	15,749	17,915	21,187
4020 Outlays, gross (total)	17,015	19,223	22,282
4180 Budget authority, net (total)	20,752	23,775	19,903
4190 Outlays, net (total)	17,015	19,223	22,282

Object Classification (in millions of dollars)

Identification code 017-1611-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3		
22.0 Transportation of things	11		
23.1 Rental payments to GSA	305		
23.2 Rental payments to others	3		
23.3 Communications, utilities, and miscellaneous charges	6		
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	983	183	158
25.2 Other services from non-Federal sources	2,536		
25.3 Other goods and services from Federal sources	1,376	92	297
25.3 Other goods and services from Federal sources	3,242	3,236	1,500
25.5 Research and development contracts	52	4	4
25.7 Operation and maintenance of equipment	128	26	18
25.8 Subsistence and support of persons	2		
26.0 Supplies and materials	791	521	26
31.0 Equipment	3,519	21,586	18,665
33.0 Investments and loans	9,319		
99.9 Total new obligations, unexpired accounts	22,277	25,648	20,668

NATIONAL SEA-BASED DETERRENCE FUND

Program and Financing (in millions of dollars)

Identification code 017-1612-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	3,189		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	23	23
1021 Recoveries of prior year unpaid obligations	20		
1050 Unobligated balance (total)	39	23	23
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999]	3,173		
1930 Total budgetary resources available	3,212	23	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23	23	23
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	587	2,731	2,061

3010 New obligations, unexpired accounts	3,189		
3020 Outlays (gross)	-1,025	-670	-566
3040 Recoveries of prior year unpaid obligations, unexpired	-20		
3050 Unpaid obligations, end of year	2,731	2,061	1,495
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	587	2,731	2,061
3200 Obligated balance, end of year	2,731	2,061	1,495

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,173		
Outlays, gross:			
4010 Outlays from new discretionary authority	644		
4011 Outlays from discretionary balances	381	670	566
4020 Outlays, gross (total)	1,025	670	566
4180 Budget authority, net (total)	3,173		
4190 Outlays, net (total)	1,025	670	566

Object Classification (in millions of dollars)

Identification code 017-1612-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.1 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	45		
25.3 Other goods and services from Federal sources	19		
26.0 Supplies and materials	28		
31.0 Equipment	3,096		
99.9 Total new obligations, unexpired accounts	3,189		

OTHER PROCUREMENT, NAVY

For procurement, production, and modernization of support equipment and materials not otherwise provided for, Navy ordnance (except ordnance for new aircraft, new ships, and ships authorized for conversion); the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; [and] procurement and installation of equipment, appliances, and machine tools in public and private plants; and reserve plant and Government and contractor-owned equipment layaway, **[\$10,075,257,000,] \$10,948,518,000** to remain available for obligation until September 30, **[2022] 2023, of which \$5,623,000 shall be available for the Navy Reserve and Marine Corps Reserve: Provided,** That such funds are also available for the maintenance, repair, and modernization of Pacific Fleet ships under a pilot program established for such purposes. *(Department of Defense Appropriations Act, 2020.)*

[For an additional amount for "Other Procurement, Navy"\$75,015,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided,* That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **]** *(Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1810-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ships support equipment	3,492	3,363	3,799
0002 Communications and electronics equipment	3,100	3,154	3,312
0003 Aviation support equipment	541	628	648
0004 Ordnance support equipment	1,013	942	1,110
0005 Civil engineering support equipment	130	140	144
0006 Supply support equipment	567	627	704
0007 Personnel and command support equipment	531	578	574
0008 Spares and repair parts	314	277	337
0799 Total direct obligations	9,688	9,709	10,628
0801 Reimbursable program activity	264	352	211
0900 Total new obligations, unexpired accounts	9,952	10,061	10,839
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,385	2,266	3,010
1001 Discretionary unobligated balance brought fwd, Oct 1	2,373	2,248	
1010 Unobligated balance transfer to other accts [097-9999]	-3		
1011 Unobligated balance transfer from other acct [097-9999]	24		

1021	Recoveries of prior year unpaid obligations	354		
1050	Unobligated balance (total)	2,760	2,266	3,010
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	9,278	10,501	10,949
1120	Appropriations transferred to other acct [097-9999]	-35		
1121	Appropriations transferred from other acct [097-9999]	93		
1131	Unobligated balance of appropriations permanently reduced	-69	-48	
1160	Appropriation, discretionary (total)	9,267	10,453	10,949
	Appropriations, mandatory:			
1221	Appropriations transferred from other acct [011-5512]	14	27	85
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-2	
1260	Appropriations, mandatory (total)	13	25	85
	Spending authority from offsetting collections, discretionary:			
1700	Collected	150	327	211
1701	Change in uncollected payments, Federal sources	47		
1750	Spending auth from offsetting collections, disc (total)	197	327	211
1900	Budget authority (total)	9,477	10,805	11,245
1930	Total budgetary resources available	12,237	13,071	14,255
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-19		
1941	Unexpired unobligated balance, end of year	2,266	3,010	3,416

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	9,160	10,803	11,748
3010	New obligations, unexpired accounts	9,952	10,061	10,839
3011	Obligations ("upward adjustments"), expired accounts	75		
3020	Outlays (gross)	-7,860	-9,116	-9,914
3040	Recoveries of prior year unpaid obligations, unexpired	-354		
3041	Recoveries of prior year unpaid obligations, expired	-170		
3050	Unpaid obligations, end of year	10,803	11,748	12,673
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-62	-108	-108
3070	Change in uncollected pymts, Fed sources, unexpired	-47		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-108	-108	-108
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	9,098	10,695	11,640
3200	Obligated balance, end of year	10,695	11,640	12,565

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	9,464	10,780	11,160
	Outlays, gross:			
4010	Outlays from new discretionary authority	2,058	2,417	2,401
4011	Outlays from discretionary balances	5,802	6,694	7,486
4020	Outlays, gross (total)	7,860	9,111	9,887
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-125	-327	-211
4033	Non-Federal sources	-25		
4040	Offsets against gross budget authority and outlays (total)	-150	-327	-211
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-47		
4060	Additional offsets against budget authority only (total)	-47		
4070	Budget authority, net (discretionary)	9,267	10,453	10,949
4080	Outlays, net (discretionary)	7,710	8,784	9,676
	Mandatory:			
4090	Budget authority, gross	13	25	85
	Outlays, gross:			
4100	Outlays from new mandatory authority		5	17
4101	Outlays from mandatory balances			10
4110	Outlays, gross (total)		5	27
4180	Budget authority, net (total)	9,280	10,478	11,034
4190	Outlays, net (total)	7,710	8,789	9,703

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	9,280	10,478	11,034
Outlays	7,710	8,789	9,703
Overseas contingency operations:			
Budget Authority			344
Outlays			65

Total:	Budget Authority	9,280	10,478	11,378
	Outlays	7,710	8,789	9,768

Object Classification (in millions of dollars)

Identification code 017-1810-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
22.0	Transportation of things	10	1	4
23.3	Communications, utilities, and miscellaneous charges	20	1	1
25.1	Advisory and assistance services	710	121	177
25.2	Other services from non-Federal sources	63	71	73
25.3	Other goods and services from Federal sources	1,025	1,092	410
25.3	Other goods and services from Federal sources	1,613	2,002	1,789
25.4	Operation and maintenance of facilities	12		
25.5	Research and development contracts	97	5	9
25.7	Operation and maintenance of equipment	461	189	105
26.0	Supplies and materials	857	97	114
31.0	Equipment	4,816	6,130	7,946
32.0	Land and structures	4		
99.0	Direct obligations	9,688	9,709	10,628
99.0	Reimbursable obligations	264	352	211
99.9	Total new obligations, unexpired accounts	9,952	10,061	10,839

COASTAL DEFENSE AUGMENTATION

Program and Financing (in millions of dollars)

Identification code 017-0380-0-1-051	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	4	4
1930	Total budgetary resources available	4	4	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	52	52	33
3020	Outlays (gross)		-19	-15
3050	Unpaid obligations, end of year	52	33	18
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	52	52	33
3200	Obligated balance, end of year	52	33	18

Budget authority and outlays, net:

	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances		19	15
4180	Budget authority, net (total)			
4190	Outlays, net (total)		19	15

PROCUREMENT, MARINE CORPS

For expenses necessary for the procurement, manufacture, and modification of missiles, armament, military equipment, spare parts, and accessories therefor; plant equipment, appliances, and machine tools, and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment lay-away; vehicles for the Marine Corps, including the purchase of passenger motor vehicles for replacement only; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, **[\$2,898,422,000] \$2,903,976,000**, to remain available for obligation until September 30, **[2022] 2023**, of which **\$54,561,000** shall be available for the Marine Corps Reserve. (Department of Defense Appropriations Act, 2020.)

[For an additional amount for "Procurement, Marine Corps" \$73,323,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **]** (Department of Defense Appropriations Act, 2020.)

PROCUREMENT, MARINE CORPS—Continued
Program and Financing (in millions of dollars)

Identification code 017-1109-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Weapons and combat vehicles	408	438	811
0003 Guided missiles and equipment	83	164	230
0004 Communications and electronics equipment	1,154	896	1,209
0005 Support vehicles	671	371	418
0006 Engineer and other equipment	237	250	209
0007 Spares and repair parts	28	21	27
0799 Total direct obligations	2,581	2,140	2,904
0801 Reimbursable program activity	3	51	52
0900 Total new obligations, unexpired accounts	2,584	2,191	2,956
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	416	638	1,421
1001 Discretionary unobligated balance brought fwd, Oct 1	416	636
1011 Unobligated balance transfer from other acct [097-9999]	11
1021 Recoveries of prior year unpaid obligations	52
1050 Unobligated balance (total)	479	638	1,421
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,778	2,992	2,904
1120 Appropriations transferred to other acct [097-9999]	-61
1121 Appropriations transferred from other acct [097-9999]	37
1131 Unobligated balance of appropriations permanently reduced	-84
1160 Appropriation, discretionary (total)	2,754	2,908	2,904
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	2	15	13
Spending authority from offsetting collections, discretionary:			
1700 Collected	51	52
1900 Budget authority (total)	2,756	2,974	2,969
1930 Total budgetary resources available	3,235	3,612	4,390
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-13
1941 Unexpired unobligated balance, end of year	638	1,421	1,434
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,440	2,732	2,664
3010 New obligations, unexpired accounts	2,584	2,191	2,956
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-2,222	-2,259	-2,611
3040 Recoveries of prior year unpaid obligations, unexpired	-52
3041 Recoveries of prior year unpaid obligations, expired	-20
3050 Unpaid obligations, end of year	2,732	2,664	3,009
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,440	2,732	2,664
3200 Obligated balance, end of year	2,732	2,664	3,009

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,756	2,923	2,917

Outlays	2,222	2,208	2,559
Overseas contingency operations:			
Budget Authority	48
Outlays	6
Total:			
Budget Authority	2,756	2,923	2,965
Outlays	2,222	2,208	2,565

Object Classification (in millions of dollars)

Identification code 017-1109-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3	2	3
22.0 Transportation of things	2	3	4
23.3 Communications, utilities, and miscellaneous charges	1
25.1 Advisory and assistance services	86	55	68
25.2 Other services from non-Federal sources	3	25	16
25.3 Other goods and services from Federal sources	69	24	26
25.3 Other goods and services from Federal sources	121	71	81
25.5 Research and development contracts	2
25.7 Operation and maintenance of equipment	8	4	2
26.0 Supplies and materials	324	161	167
31.0 Equipment	1,961	1,795	2,537
32.0 Land and structures	1
99.0 Direct obligations	2,581	2,140	2,904
99.0 Reimbursable obligations	3	51	52
99.9 Total new obligations, unexpired accounts	2,584	2,191	2,956

AIRCRAFT PROCUREMENT, AIR FORCE

For construction, procurement, and modification of aircraft and equipment, including armor and armament, specialized ground handling equipment, and training devices, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$17,512,361,000] \$17,908,145,000**, to remain available for obligation until September 30, **[2022] 2023**, of which **\$353,336,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2020.)**

For an additional amount for "Aircraft Procurement, Air Force" \$204,448,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **(Department of Defense Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 057-3010-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Combat aircraft	3,870	6,649	6,581
0002 Airlift aircraft	3,640	2,301	3,290
0004 Other aircraft	1,677	1,342	1,494
0005 Modification of inservice aircraft	3,907	3,796	3,801
0006 Aircraft spares and repair parts	976	1,099	927
0007 Aircraft support equipment and facilities	2,026	1,396	1,815
0799 Total direct obligations	16,096	16,583	17,908
0801 Reimbursable program activity	200	252	237
0900 Total new obligations, unexpired accounts	16,296	16,835	18,145
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11,594	13,067	14,103
1001 Discretionary unobligated balance brought fwd, Oct 1	11,587	13,060
1010 Unobligated balance transfer to other accts [097-9999]	-183
1011 Unobligated balance transfer from other acct [097-9999]	46
1021 Recoveries of prior year unpaid obligations	409
1033 Recoveries of prior year paid obligations	7
1050 Unobligated balance (total)	11,873	13,067	14,103
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	18,068	18,568	17,908

1120	Appropriations transferred to other acct [097-9999]	-211
1120	Appropriations transferred to other acct [246-2865]	-1
1121	Appropriations transferred from other acct [097-9999]	46
1131	Unobligated balance of appropriations permanently reduced	-453	-931
1160	Appropriation, discretionary (total)	17,449	17,637	17,908
	Appropriations, mandatory:			
1221	Appropriations transferred from other acct [011-5512]	9
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1
1260	Appropriations, mandatory (total)	8	-1
	Spending authority from offsetting collections, discretionary:			
1700	Collected	160	235	236
1701	Change in uncollected payments, Federal sources	3
1750	Spending auth from offsetting collections, disc (total)	163	235	236
1900	Budget authority (total)	17,620	17,871	18,144
1930	Total budgetary resources available	29,493	30,938	32,247
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-130
1941	Unexpired unobligated balance, end of year	13,067	14,103	14,102

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	26,305	27,284	27,986
3010	New obligations, unexpired accounts	16,296	16,835	18,145
3011	Obligations ("upward adjustments"), expired accounts	137
3020	Outlays (gross)	-14,572	-16,133	-18,798
3040	Recoveries of prior year unpaid obligations, unexpired	-409
3041	Recoveries of prior year unpaid obligations, expired	-473
3050	Unpaid obligations, end of year	27,284	27,986	27,333
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-34	-37	-37
3070	Change in uncollected pymts, Fed sources, unexpired	-3
3090	Uncollected pymts, Fed sources, end of year	-37	-37	-37
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	26,271	27,247	27,949
3200	Obligated balance, end of year	27,247	27,949	27,296

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	17,612	17,872	18,144
	Outlays, gross:			
4010	Outlays from new discretionary authority	868	1,470	1,490
4011	Outlays from discretionary balances	13,704	14,663	17,308
4020	Outlays, gross (total)	14,572	16,133	18,798
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-84	-235	-236
4033	Non-Federal sources	-122
4040	Offsets against gross budget authority and outlays (total)	-206	-235	-236
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-3
4052	Offsetting collections credited to expired accounts	39
4053	Recoveries of prior year paid obligations, unexpired accounts	7
4060	Additional offsets against budget authority only (total)	43
4070	Budget authority, net (discretionary)	17,449	17,637	17,908
4080	Outlays, net (discretionary)	14,366	15,898	18,562
	Mandatory:			
4090	Budget authority, gross	8	-1
4180	Budget authority, net (total)	17,457	17,636	17,908
4190	Outlays, net (total)	14,366	15,898	18,562

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	17,457	17,636	17,908
Outlays	14,366	15,898	18,562
Overseas contingency operations:			
Budget Authority			569
Outlays			46
Total:			
Budget Authority	17,457	17,636	18,477
Outlays	14,366	15,898	18,608

Object Classification (in millions of dollars)

Identification code 057-3010-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	137	127	132
31.0	Equipment	15,959	16,456	17,776
99.0	Direct obligations	16,096	16,583	17,908
99.0	Reimbursable obligations	200	252	237
99.9	Total new obligations, unexpired accounts	16,296	16,835	18,145

MISSILE PROCUREMENT, AIR FORCE

For construction, procurement, and modification of missiles, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$2,575,890,000]** \$2,396,417,000, to remain available for obligation until September 30, **[2022]** 2023. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3020-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Ballistic missiles	44	52	75
0002	Other missiles	1,370	2,090	1,478
0003	Modification of in-service missiles	197	144	185
0004	Spare and repair parts	123	76	94
0005	Other support	1,258	623	564
0799	Total direct obligations	2,992	2,985	2,396
0801	Reimbursable program activity	61	116	99
0900	Total new obligations, unexpired accounts	3,053	3,101	2,495

Budgetary resources:
Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	1,594	1,614	1,249
1001	Discretionary unobligated balance brought fwd, Oct 1		1,614
1010	Unobligated balance transfer to other accts [097-9999]	-37
1021	Recoveries of prior year unpaid obligations	74
1050	Unobligated balance (total)	1,631	1,614	1,249
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	3,072	2,778	2,396
1120	Appropriations transferred to other acct [097-9999]	-99
1131	Unobligated balance of appropriations permanently reduced	-5	-142
1160	Appropriation, discretionary (total)	2,968	2,636	2,396
	Spending authority from offsetting collections, discretionary:			
1700	Collected	72	100	100
1900	Budget authority (total)	3,040	2,736	2,496
1930	Total budgetary resources available	4,671	4,350	3,745
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-4
1941	Unexpired unobligated balance, end of year	1,614	1,249	1,250

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5,084	4,707	4,307
3010	New obligations, unexpired accounts	3,053	3,101	2,495
3011	Obligations ("upward adjustments"), expired accounts	360
3020	Outlays (gross)	-3,414	-3,501	-2,745
3040	Recoveries of prior year unpaid obligations, unexpired	-74
3041	Recoveries of prior year unpaid obligations, expired	-302
3050	Unpaid obligations, end of year	4,707	4,307	4,057
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5,084	4,707	4,307
3200	Obligated balance, end of year	4,707	4,307	4,057

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	3,040	2,736	2,496

MISSILE PROCUREMENT, AIR FORCE—Continued
Program and Financing—Continued

Identification code 057-3020-0-1-051	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	404	443	411
4011 Outlays from discretionary balances	3,010	3,058	2,334
4020 Outlays, gross (total)	3,414	3,501	2,745
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-72	-100	-100
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-73	-100	-100
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	2,968	2,636	2,396
4080 Outlays, net (discretionary)	3,341	3,401	2,645
4180 Budget authority, net (total)	2,968	2,636	2,396
4190 Outlays, net (total)	3,341	3,401	2,645

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,968	2,636	2,396
Outlays	3,341	3,401	2,645
Overseas contingency operations:			
Budget Authority			224
Outlays			34
Total:			
Budget Authority	2,968	2,636	2,620
Outlays	3,341	3,401	2,679

Object Classification (in millions of dollars)

Identification code 057-3020-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	17	17	15
31.0 Equipment	2,975	2,968	2,381
99.0 Direct obligations	2,992	2,985	2,396
99.0 Reimbursable obligations	61	116	99
99.9 Total new obligations, unexpired accounts	3,053	3,101	2,495

SPACE PROCUREMENT, AIR FORCE

For construction, procurement, and modification of spacecraft, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, \$2,353,383,000, to remain available for obligation until September 30, 2022. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3021-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Space Procurement, Air Force	2,724	2,040	655
0002 Spares	43	6	2
0799 Total direct obligations	2,767	2,046	657
0801 Reimbursable program activity	1	15	
0900 Total new obligations, unexpired accounts	2,768	2,061	657
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,918	1,044	1,187

1010 Unobligated balance transfer to other accts [097-9999]	-6		
1021 Recoveries of prior year unpaid obligations	47		
1050 Unobligated balance (total)	1,959	1,044	1,187
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,330	2,353	
1120 Appropriations transferred to other acct [097-9999]	-210		
1131 Unobligated balance of appropriations permanently reduced	-223	-164	
1160 Appropriation, discretionary (total)	1,897	2,189	
Spending authority from offsetting collections, discretionary:			
1700 Collected		15	
1900 Budget authority (total)	1,897	2,204	
1930 Total budgetary resources available	3,856	3,248	1,187
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-44		
1941 Unexpired unobligated balance, end of year	1,044	1,187	530

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,203	3,575	3,207
3010 New obligations, unexpired accounts	2,768	2,061	657
3011 Obligations ("upward adjustments"), expired accounts	13		
3020 Outlays (gross)	-2,346	-2,429	-2,190
3040 Recoveries of prior year unpaid obligations, unexpired	-47		
3041 Recoveries of prior year unpaid obligations, expired	-16		
3050 Unpaid obligations, end of year	3,575	3,207	1,674
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,203	3,575	3,207
3200 Obligated balance, end of year	3,575	3,207	1,674

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,897	2,204	
Outlays, gross:			
4010 Outlays from new discretionary authority	532	409	
4011 Outlays from discretionary balances	1,814	2,020	2,190
4020 Outlays, gross (total)	2,346	2,429	2,190
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-15	
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total)	-3	-15	
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	3		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	1,897	2,189	
4080 Outlays, net (discretionary)	2,343	2,414	2,190
4180 Budget authority, net (total)	1,897	2,189	
4190 Outlays, net (total)	2,343	2,414	2,190

Object Classification (in millions of dollars)

Identification code 057-3021-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	108	104	35
31.0 Equipment	2,660	1,942	621
99.0 Direct obligations	2,768	2,046	656
99.0 Reimbursable obligations		15	1
99.9 Total new obligations, unexpired accounts	2,768	2,061	657

PROCUREMENT, SPACE FORCE

For construction, procurement, and modification of spacecraft, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, \$2,446,064,000, to remain available for obligation until September 30, 2025.

Program and Financing (in millions of dollars)

Identification code 057-3022-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Space Procurement, Space Force			1,584
0002 Spares			1
0799 Total direct obligations			1,585
0801 Reimbursable program activity			15
0900 Total new obligations, unexpired accounts			1,600
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			2,446
1700 Spending authority from offsetting collections, discretionary: Collected			15
1900 Budget authority (total)			2,461
1930 Total budgetary resources available			2,461
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			861
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,600
3020 Outlays (gross)			-455
3050 Unpaid obligations, end of year			1,145
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,145
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			2,461
Outlays, gross:			
4010 Outlays from new discretionary authority			455
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			-15
4180 Budget authority, net (total)			2,446
4190 Outlays, net (total)			440

Object Classification (in millions of dollars)

Identification code 057-3022-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			125
31.0 Equipment			1,460
99.0 Direct obligations			1,585
99.0 Reimbursable obligations			15
99.9 Total new obligations, unexpired accounts			1,600

PROCUREMENT OF AMMUNITION, AIR FORCE

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,625,661,000] \$596,338,000**, to remain available for obligation until September 30, **[2022] 2023**. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3011-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ammunition	2,497	3,273	423
0002 Weapons	76	88	23
0799 Total direct obligations	2,573	3,361	446
0801 Reimbursable program activity	25	156	110

0900 Total new obligations, unexpired accounts	2,598	3,517	556
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	812	1,112	49
1011 Unobligated balance transfer from other acct [097-9999]	21		
1021 Recoveries of prior year unpaid obligations	62		
1050 Unobligated balance (total)	895	1,112	49
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,857	2,560	596
1120 Appropriations transferred to other acct [097-9999]	-28		
1131 Unobligated balance of appropriations permanently reduced	-17	-236	
1160 Appropriation, discretionary (total)	2,812	2,324	596
Spending authority from offsetting collections, discretionary:			
1700 Collected	20	130	110
1701 Change in uncollected payments, Federal sources	16		
1750 Spending auth from offsetting collections, disc (total)	36	130	110
1900 Budget authority (total)	2,848	2,454	706
1930 Total budgetary resources available	3,743	3,566	755
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-33		
1941 Unexpired unobligated balance, end of year	1,112	49	199
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,872	4,098	5,188
3010 New obligations, unexpired accounts	2,598	3,517	556
3011 Obligations ("upward adjustments"), expired accounts	141		
3020 Outlays (gross)	-2,424	-2,427	-2,383
3040 Recoveries of prior year unpaid obligations, unexpired	-62		
3041 Recoveries of prior year unpaid obligations, expired	-27		
3050 Unpaid obligations, end of year	4,098	5,188	3,361
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-24	-38	-38
3070 Change in uncollected pymts, Fed sources, unexpired	-16		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-38	-38	-38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,848	4,060	5,150
3200 Obligated balance, end of year	4,060	5,150	3,323
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,848	2,454	706
Outlays, gross:			
4010 Outlays from new discretionary authority	39	177	122
4011 Outlays from discretionary balances	2,385	2,250	2,261
4020 Outlays, gross (total)	2,424	2,427	2,383
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-34	-130	-110
4040 Offsets against gross budget authority and outlays (total)	-34	-130	-110
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-16		
4052 Offsetting collections credited to expired accounts	14		
4060 Additional offsets against budget authority only (total)	-2		
4070 Budget authority, net (discretionary)	2,812	2,324	596
4080 Outlays, net (discretionary)	2,390	2,297	2,273
4180 Budget authority, net (total)	2,812	2,324	596
4190 Outlays, net (total)	2,390	2,297	2,273

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,812	2,324	596
Outlays	2,390	2,297	2,273
Overseas contingency operations:			
Budget Authority			802
Outlays			16
Total:			
Budget Authority	2,812	2,324	1,398
Outlays	2,390	2,297	2,289

PROCUREMENT OF AMMUNITION, AIR FORCE—Continued

Object Classification (in millions of dollars)

Identification code 057-3011-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	141	167	34
31.0 Equipment	2,432	3,193	412
99.0 Direct obligations	2,573	3,360	446
99.0 Reimbursable obligations	25	157	110
99.9 Total new obligations, unexpired accounts	2,598	3,517	556

OTHER PROCUREMENT, AIR FORCE

For procurement and modification of equipment (including ground guidance and electronic control equipment, and ground electronic and communication equipment), and supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; [lease of passenger motor vehicles; and] expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon, prior to approval of title; and reserve plant and Government and contractor-owned equipment layaway, [\$21,410,021,000] \$23,695,720,000, to remain available for obligation until September 30, [2022] 2023, of which \$125,530,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2020.)

[For an additional amount for "Other Procurement, Air Force" \$77,974,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: Provided, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.] (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3080-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Vehicular equipment	441	454	254
0003 Electronics and telecommunications equipment	1,863	1,977	1,407
0004 Other base maintenance and support equipment	24,735	20,911	22,183
0005 Spare and repair parts	115	77	31
0799 Total direct obligations	27,154	23,419	23,875
0801 Reimbursable program activity	240	417	416
0900 Total new obligations, unexpired accounts	27,394	23,836	24,291

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,573	3,933	5,710
1001 Discretionary unobligated balance brought fwd, Oct 1	6,562	3,918	
1010 Unobligated balance transfer to other accts [097-9999]	-38		
1011 Unobligated balance transfer from other acct [097-9999]	34		
1021 Recoveries of prior year unpaid obligations	82		
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	6,653	3,933	5,710
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24,562	25,237	23,696
1120 Appropriations transferred to other acct [097-9999]	-15		
1121 Appropriations transferred from other acct [097-9999]	70		
1131 Unobligated balance of appropriations permanently reduced	-168	-26	
1160 Appropriation, discretionary (total)	24,449	25,211	23,696
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	10	3	10
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1	
1260 Appropriations, mandatory (total)	9	2	10
Spending authority from offsetting collections, discretionary:			
1700 Collected	258	400	408
1701 Change in uncollected payments, Federal sources	-8		
1750 Spending auth from offsetting collections, disc (total)	250	400	408
1900 Budget authority (total)	24,708	25,613	24,114

1930 Total budgetary resources available	31,361	29,546	29,824
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-34		
1941 Unexpired unobligated balance, end of year	3,933	5,710	5,533

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,320	7,910	5,737
3010 New obligations, unexpired accounts	27,394	23,836	24,291
3011 Obligations ("upward adjustments"), expired accounts	224		
3020 Outlays (gross)	-25,685	-26,009	-23,469
3040 Recoveries of prior year unpaid obligations, unexpired	-82		
3041 Recoveries of prior year unpaid obligations, expired	-261		
3050 Unpaid obligations, end of year	7,910	5,737	6,559
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	8		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6,307	7,908	5,735
3200 Obligated balance, end of year	7,908	5,735	6,557

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	24,699	25,611	24,104
Outlays, gross:			
4010 Outlays from new discretionary authority	17,806	18,048	16,995
4011 Outlays from discretionary balances	7,879	7,960	6,474
4020 Outlays, gross (total)	25,685	26,008	23,469
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-260	-400	-408
4033 Non-Federal sources	-181		
4040 Offsets against gross budget authority and outlays (total)	-441	-400	-408
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	8		
4052 Offsetting collections credited to expired accounts	181		
4053 Recoveries of prior year paid obligations, unexpired accounts	2		
4060 Additional offsets against budget authority only (total)	191		
4070 Budget authority, net (discretionary)	24,449	25,211	23,696
4080 Outlays, net (discretionary)	25,244	25,608	23,061
Mandatory:			
4090 Budget authority, gross	9	2	10
Outlays, gross:			
4100 Outlays from new mandatory authority		1	
4180 Budget authority, net (total)	24,458	25,213	23,706
4190 Outlays, net (total)	25,244	25,609	23,061

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	24,458	25,213	23,706
Outlays	25,244	25,609	23,061
Overseas contingency operations:			
Budget Authority			355
Outlays			231
Total:			
Budget Authority	24,458	25,213	24,061
Outlays	25,244	25,609	23,292

Object Classification (in millions of dollars)

Identification code 057-3080-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	76	72	73
31.0 Equipment	27,078	23,347	23,802
99.0 Direct obligations	27,154	23,419	23,875
99.0 Reimbursable obligations	240	417	416
99.9 Total new obligations, unexpired accounts	27,394	23,836	24,291

PROCUREMENT, DEFENSE-WIDE

For expenses of activities and agencies of the Department of Defense (other than the military departments) necessary for procurement, production, and modification of equipment, supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, equipment, and installation thereof in such plants, erection of structures, and acquisition of land for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment lay-away, **[\$5,332,147,000] \$5,324,487,000**, to remain available for obligation until September 30, **[2022] 2023**. (*Department of Defense Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 097-0300-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major equipment	3,641	3,564	2,959
0002 Special Operations Command	2,926	2,444	2,068
0003 Chemical/Biological Defense	58	462	297
0799 Total direct obligations	6,625	6,470	5,324
0801 Reimbursable program activity	216	543	451
0900 Total new obligations, unexpired accounts	6,841	7,013	5,775
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,398	3,333	2,278
1010 Unobligated balance transfer to other accts [097-9999]	-45		
1011 Unobligated balance transfer from other acct [097-9999]	41		
1021 Recoveries of prior year unpaid obligations	57		
1033 Recoveries of prior year paid obligations	41		
1050 Unobligated balance (total)	2,492	3,333	2,278
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7,394	5,770	5,324
1120 Appropriations transferred to other acct [097-9999]	-34		
1120 Appropriations transferred to other acct [246-2865]	-2		
1121 Appropriations transferred from other acct [097-9999]	133		
1131 Unobligated balance of appropriations permanently reduced		-337	
1160 Appropriation, discretionary (total)	7,491	5,433	5,324
Spending authority from offsetting collections, discretionary:			
1700 Collected	208	525	545
1701 Change in uncollected payments, Federal sources	-11		
1750 Spending auth from offsetting collections, disc (total)	197	525	545
1900 Budget authority (total)	7,688	5,958	5,869
1930 Total budgetary resources available	10,180	9,291	8,147
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	3,333	2,278	2,372
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8,796	9,281	9,454
3010 New obligations, unexpired accounts	6,841	7,013	5,775
3011 Obligations ("upward adjustments"), expired accounts	640		
3020 Outlays (gross)	-6,706	-6,840	-7,134
3040 Recoveries of prior year unpaid obligations, unexpired	-57		
3041 Recoveries of prior year unpaid obligations, expired	-233		
3050 Unpaid obligations, end of year	9,281	9,454	8,095
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-205	-186	-186
3070 Change in uncollected pymts, Fed sources, unexpired	11		
3071 Change in uncollected pymts, Fed sources, expired	8		
3090 Uncollected pymts, Fed sources, end of year	-186	-186	-186
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8,591	9,095	9,268
3200 Obligated balance, end of year	9,095	9,268	7,909
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7,688	5,958	5,869
Outlays, gross:			
4010 Outlays from new discretionary authority	1,293	1,812	1,450
4011 Outlays from discretionary balances	5,413	5,028	5,684
4020 Outlays, gross (total)	6,706	6,840	7,134

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-184	-525	-545
4033 Non-Federal sources	-528		
4040 Offsets against gross budget authority and outlays (total)	-712	-525	-545
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	11		
4052 Offsetting collections credited to expired accounts	463		
4053 Recoveries of prior year paid obligations, unexpired accounts	41		
4060 Additional offsets against budget authority only (total)	515		
4070 Budget authority, net (discretionary)	7,491	5,433	5,324
4080 Outlays, net (discretionary)	5,994	6,315	6,589
4180 Budget authority, net (total)	7,491	5,433	5,324
4190 Outlays, net (total)	5,994	6,315	6,589

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	7,491	5,433	5,324
Outlays	5,994	6,315	6,589
Overseas contingency operations:			
Budget Authority			258
Outlays			44
Total:			
Budget Authority	7,491	5,433	5,582
Outlays	5,994	6,315	6,633

Object Classification (in millions of dollars)

Identification code 097-0300-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3	2	1
22.0 Transportation of things	2	1	1
23.2 Rental payments to others	1	2	2
23.3 Communications, utilities, and miscellaneous charges	5	1	1
25.1 Advisory and assistance services	73	80	59
25.2 Other services from non-Federal sources	13	21	32
25.3 Other goods and services from Federal sources	192	131	140
25.5 Research and development contracts	3		
25.7 Operation and maintenance of equipment	55	7	30
26.0 Supplies and materials	992	983	657
31.0 Equipment	5,273	5,242	4,401
32.0 Land and structures	13		
99.0 Direct obligations	6,625	6,470	5,324
99.0 Reimbursable obligations	216	543	451
99.9 Total new obligations, unexpired accounts	6,841	7,013	5,775

NATIONAL GUARD AND RESERVE EQUIPMENT

Program and Financing (in millions of dollars)

Identification code 097-0350-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reserve equipment	307	618	307
0002 National Guard equipment	1,027	1,344	483
0900 Total new obligations, unexpired accounts	1,334	1,962	790
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,675	1,711	1,049
1021 Recoveries of prior year unpaid obligations	73		
1050 Unobligated balance (total)	1,748	1,711	1,049
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,300	1,300	
1900 Budget authority (total)	1,300	1,300	
1930 Total budgetary resources available	3,048	3,011	1,049
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	1,711	1,049	259

NATIONAL GUARD AND RESERVE EQUIPMENT—Continued
Program and Financing—Continued

Identification code 097-0350-0-1-051	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,056	1,389	2,419
3010	New obligations, unexpired accounts	1,334	1,962	790
3011	Obligations ("upward adjustments"), expired accounts	102		
3020	Outlays (gross)	-904	-932	-1,073
3040	Recoveries of prior year unpaid obligations, unexpired	-73		
3041	Recoveries of prior year unpaid obligations, expired	-126		
3050	Unpaid obligations, end of year	1,389	2,419	2,136
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1		-1	-1
3071	Change in uncollected pymts, Fed sources, expired	-1		
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,056	1,388	2,418
3200	Obligated balance, end of year	1,388	2,418	2,135
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,300	1,300	
Outlays, gross:				
4010	Outlays from new discretionary authority	11	17	
4011	Outlays from discretionary balances	893	915	1,073
4020	Outlays, gross (total)	904	932	1,073
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-2		
4040	Offsets against gross budget authority and outlays (total)	-2		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	2		
4060	Additional offsets against budget authority only (total)	2		
4070	Budget authority, net (discretionary)	1,300	1,300	
4080	Outlays, net (discretionary)	902	932	1,073
4180	Budget authority, net (total)	1,300	1,300	
4190	Outlays, net (total)	902	932	1,073

Object Classification (in millions of dollars)

Identification code 097-0350-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
22.0	Transportation of things	3		
25.1	Advisory and assistance services	7		
25.2	Other services from non-Federal sources	15		
25.3	Other goods and services from Federal sources	68		
25.4	Operation and maintenance of facilities	1		
25.5	Research and development contracts	10		
25.7	Operation and maintenance of equipment	11		
26.0	Supplies and materials	284		
31.0	Equipment	935	1,962	790
99.9	Total new obligations, unexpired accounts	1,334	1,962	790

DEFENSE PRODUCTION ACT PURCHASES

For activities by the Department of Defense pursuant to sections 108, 301, 302, and 303 of the Defense Production Act of 1950 (50 U.S.C. 4518, 4531, 4532, and 4533), \$64,393,000 \$181,931,000, to remain available until expended. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0360-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Defense Production Act Purchases	86	64	182
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	318	228	228
1010	Unobligated balance transfer to other accts [089-0321]	-58		
1050	Unobligated balance (total)	260	228	228

Identification code 097-0360-0-1-051	2019 actual	2020 est.	2021 est.	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	54	64	182
1900	Budget authority (total)	54	64	182
1930	Total budgetary resources available	314	292	410
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	228	228	228
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	195	161	102
3010	New obligations, unexpired accounts	86	64	182
3020	Outlays (gross)	-120	-123	-183
3050	Unpaid obligations, end of year	161	102	101
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	195	161	102
3200	Obligated balance, end of year	161	102	101
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	54	64	182
Outlays, gross:				
4010	Outlays from new discretionary authority		35	100
4011	Outlays from discretionary balances	120	88	83
4020	Outlays, gross (total)	120	123	183
4180	Budget authority, net (total)	54	64	182
4190	Outlays, net (total)	120	123	183

The Defense Production Act (50 U.S.C. App. 2061, et seq.) authorizes the use of Federal funds to expedite and expand the supply of critical resources and services from the U.S. industrial base to support national defense and homeland security.

Object Classification (in millions of dollars)

Identification code 097-0360-0-1-051	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	60	64	182
25.2	Other services from non-Federal sources	14		
25.3	Other goods and services from Federal sources	1		
26.0	Supplies and materials	6		
31.0	Equipment	5		
99.9	Total new obligations, unexpired accounts	86	64	182

CHEMICAL AGENTS AND MUNITIONS DESTRUCTION, DEFENSE

For expenses, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with the provisions of section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, \$985,499,000 \$889,500,000, of which \$107,351,000 \$106,691,000 shall be for operation and maintenance, of which no less than \$52,452,000 \$51,009,000 shall be for the Chemical Stockpile Emergency Preparedness Program, consisting of \$22,444,000 \$22,235,000 for activities on military installations and \$30,008,000 \$28,774,000, to remain available until September 30, 2021, 2022 to assist State and local governments; \$2,218,000 \$616,000 shall be for procurement, to remain available until September 30, 2022, of which not less than \$2,218,000 \$616,000 shall be for the Chemical Stockpile Emergency Preparedness Program to assist State and local governments; and \$875,930,000 \$782,193,000, to remain available until September 30, 2021, 2022, shall be for research, development, test and evaluation, of which \$869,430,000 \$775,699,000 shall only be for the Assembled Chemical Weapons Alternatives program. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0390-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Operation and maintenance	75	107	107
0002	Research, Development, Test, and Evaluation	743	877	785
0003	Procurement	1	2	1
0799	Total direct obligations	819	986	893
0801	Reimbursable program activity		16	10

0900	Total new obligations, unexpired accounts	819	1,002	903
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	78	29	29
1021	Recoveries of prior year unpaid obligations	27		
1050	Unobligated balance (total)	105	29	29
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	994	986	890
1120	Appropriations transferred to other acct [097-9999]	-251		
1160	Appropriation, discretionary (total)	743	986	890
Spending authority from offsetting collections, discretionary:				
1700	Collected		16	10
1900	Budget authority (total)	743	1,002	900
1930	Total budgetary resources available	848	1,031	929
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	29	29	26

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	604	493	840
3010	New obligations, unexpired accounts	819	1,002	903
3011	Obligations ("upward adjustments"), expired accounts	363		
3020	Outlays (gross)	-904	-655	-778
3040	Recoveries of prior year unpaid obligations, unexpired	-27		
3041	Recoveries of prior year unpaid obligations, expired	-362		
3050	Unpaid obligations, end of year	493	840	965
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-6	-6
3071	Change in uncollected pymts, Fed sources, expired	-3		
3090	Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	601	487	834
3200	Obligated balance, end of year	487	834	959

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	743	1,002	900
Outlays, gross:				
4010	Outlays from new discretionary authority	274	381	339
4011	Outlays from discretionary balances	630	274	439
4020	Outlays, gross (total)	904	655	778
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-16	-10
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-1	-16	-10
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	1		
4070	Budget authority, net (discretionary)	743	986	890
4080	Outlays, net (discretionary)	903	639	768
4180	Budget authority, net (total)	743	986	890
4190	Outlays, net (total)	903	639	768

The Chemical Agents and Munitions Destruction, Defense account supports the Chemical Demilitarization Program, which supports the safe and secure disposal of the U.S. inventory of lethal chemical agents, munitions and related (non-stockpile) material, thus avoiding future risks and costs associated with the continued storage of chemical warfare materials. The program supports the Chemical Weapons Convention initiative of eliminating chemical weapons.

Object Classification (in millions of dollars)

Identification code 097-0390-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	34	35
11.5	Other personnel compensation	1	1
11.9	Total personnel compensation	35	36
12.1	Civilian personnel benefits	13	14
21.0	Travel and transportation of persons	1	
23.3	Communications, utilities, and miscellaneous charges	2	
25.1	Advisory and assistance services	38	35
25.2	Other services from non-Federal sources	81	151

25.3	Other goods and services from Federal sources	117		
25.3	Other goods and services from Federal sources		3	2
25.4	Operation and maintenance of facilities	13	13	11
25.5	Research and development contracts	495	734	658
25.7	Operation and maintenance of equipment		1	1
26.0	Supplies and materials	70		
31.0	Equipment	1	1	1
32.0	Land and structures	1		
99.0	Direct obligations	819	986	893
99.0	Reimbursable obligations		16	10
99.9	Total new obligations, unexpired accounts	819	1,002	903

Employment Summary

Identification code 097-0390-0-1-051	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	400	400
2001	Reimbursable civilian full-time equivalent employment	51	51

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

Appropriations in this title support basic and applied research, as well as development, demonstration, testing, prototyping, and evaluation activities. This work is performed by government employees and contractors, in government and corporate laboratories and facilities, at universities, and by nonprofit organizations. Research and development programs are funded to cover annual needs. Resources presented under the RDT&E title contribute primarily to maintaining military technical superiority.

Funds for each fiscal year are available for obligation for a two-year period beginning on the first day of that fiscal year.

Federal Funds

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$12,543,435,000]** *\$12,587,343,000*, to remain available for obligation until September 30, **[2021]** *2022*. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 021-2040-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Basic research	498	427	488
0002	Applied Research	1,516	1,266	1,007
0003	Advanced technology development	1,646	1,362	1,281
0004	Advanced Component Development and Prototypes	2,296	2,235	3,220
0005	System development and demonstration	3,197	2,695	3,102
0006	Management support	1,627	1,314	1,318
0007	Operational system development	1,781	1,540	1,922
0008	Software and Digital Technology Pilot Programs			31
0799	Total direct obligations	12,561	10,839	12,369
0801	Reimbursable program activity	6,741	6,578	5,917
0900	Total new obligations, unexpired accounts	19,302	17,417	18,286

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4,681	3,807	3,770
1001	Discretionary unobligated balance brought fwd, Oct 1	4,676	3,803	
1010	Unobligated balance transfer to other accts [097-9999]	-11		
1011	Unobligated balance transfer from other acct [097-9999]	173		
1021	Recoveries of prior year unpaid obligations	467		
1050	Unobligated balance (total)	5,310	3,807	3,770
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	11,375	12,690	12,587
1120	Appropriations transferred to other acct [097-9999]	-25		
1120	Appropriations transferred to other acct [245-2765]	-1		
1121	Appropriations transferred from other acct [097-9999]	3		
1131	Unobligated balance of appropriations permanently reduced	-191	-150	

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY—Continued
Program and Financing—Continued

Identification code 021–2040–0–1–051	2019 actual	2020 est.	2021 est.
1160 Appropriation, discretionary (total)	11,161	12,540	12,587
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011–5512]	19	6	1
Spending authority from offsetting collections, discretionary:			
1700 Collected	6,905	4,834	5,916
1701 Change in uncollected payments, Federal sources	–268		
1750 Spending auth from offsetting collections, disc (total)	6,637	4,834	5,916
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1900 Budget authority (total)	17,818	17,380	18,504
1930 Total budgetary resources available	23,128	21,187	22,274
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–19		
1941 Unexpired unobligated balance, end of year	3,807	3,770	3,988
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10,225	12,003	13,267
3010 New obligations, unexpired accounts	19,302	17,417	18,286
3011 Obligations ("upward adjustments"), expired accounts	111		
3020 Outlays (gross)	–16,815	–16,153	–18,636
3040 Recoveries of prior year unpaid obligations, unexpired	–467		
3041 Recoveries of prior year unpaid obligations, expired	–353		
3050 Unpaid obligations, end of year	12,003	13,267	12,917
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–4,423	–3,630	–3,630
3070 Change in uncollected pymts, Fed sources, unexpired	268		
3071 Change in uncollected pymts, Fed sources, expired	525		
3090 Uncollected pymts, Fed sources, end of year	–3,630	–3,630	–3,630
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,802	8,373	9,637
3200 Obligated balance, end of year	8,373	9,637	9,287

Budget authority and outlays, net:

Identification code 021–2040–0–1–051	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	17,798	17,374	18,503
Outlays, gross:			
4010 Outlays from new discretionary authority	6,729	7,297	7,772
4011 Outlays from discretionary balances	9,997	8,849	10,862
4020 Outlays, gross (total)	16,726	16,146	18,634
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–7,257	–4,834	–5,916
4033 Non-Federal sources	–98		
4040 Offsets against gross budget authority and outlays (total)	–7,355	–4,834	–5,916
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	268		
4052 Offsetting collections credited to expired accounts	450		
4060 Additional offsets against budget authority only (total)	718		
4070 Budget authority, net (discretionary)	11,161	12,540	12,587
4080 Outlays, net (discretionary)	9,371	11,312	12,718
Mandatory:			
4090 Budget authority, gross	20	6	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1		
4101 Outlays from mandatory balances	88	7	2
4110 Outlays, gross (total)	89	7	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–1		
4180 Budget authority, net (total)	11,180	12,546	12,588
4190 Outlays, net (total)	9,459	11,319	12,720

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	11,180	12,546	12,588
Outlays	9,459	11,319	12,720
Overseas contingency operations:			
Budget Authority			183
Outlays			77
Total:			
Budget Authority	11,180	12,546	12,771

Outlays	9,459	11,319	12,797
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Object Classification (in millions of dollars)

Identification code 021–2040–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	418	454	416
11.3 Other than full-time permanent		4	3
11.5 Other personnel compensation	18	9	13
11.9 Total personnel compensation	436	467	432
12.1 Civilian personnel benefits	139	163	155
21.0 Travel and transportation of persons	70	104	212
22.0 Transportation of things	46	75	77
23.1 Rental payments to GSA	10	11	13
23.2 Rental payments to others	11	14	16
23.3 Communications, utilities, and miscellaneous charges	41	40	43
24.0 Printing and reproduction	1	3	5
25.1 Advisory and assistance services	676	686	686
25.2 Other services from non-Federal sources	655	516	516
25.3 Other goods and services from Federal sources	1,791	626	891
25.3 Other goods and services from Federal sources	6	748	747
25.4 Operation and maintenance of facilities	166	80	181
25.5 Research and development contracts	7,188	5,918	6,454
25.7 Operation and maintenance of equipment	185	210	212
26.0 Supplies and materials	238	290	450
31.0 Equipment	833	783	1,052
32.0 Land and structures	10	15	35
41.0 Grants, subsidies, and contributions	63	90	192
99.0 Direct obligations	12,565	10,839	12,369
99.0 Reimbursable obligations	6,737	6,578	5,917
99.9 Total new obligations, unexpired accounts	19,302	17,417	18,286

Employment Summary

Identification code 021–2040–0–1–051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,090	4,356	3,877
2001 Reimbursable civilian full-time equivalent employment	14,243	13,594	14,152

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$20,155,115,000]** \$21,427,048,000, to remain available for obligation until September 30, **[2021]** 2022: *Provided*, That funds appropriated in this paragraph which are available for the V-22 may be used to meet unique operational requirements of the Special Operations Forces. (*Department of Defense Appropriations Act, 2020.*)

[For an additional amount for "Research, Development, Test and Evaluation, Navy" \$130,444,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **]** (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 017–1319–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Basic research	652	615	608
0002 Applied Research	995	1,168	974
0003 Advanced technology development	919	858	765
0004 Advanced Component Development and Prototypes	4,105	5,132	6,386
0005 System development and demonstration	6,525	6,062	6,249
0006 Management support	1,558	1,335	1,006
0007 Operational system development	4,755	5,136	5,316
0008 Software and Digital Technology Pilot Programs			23
0799 Total direct obligations	19,509	20,306	21,327
0801 Reimbursable program activity	314	775	714
0900 Total new obligations, unexpired accounts	19,823	21,081	22,041

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,195	2,091	2,034
1001	Discretionary unobligated balance brought fwd, Oct 1	2,172	2,059	
1010	Unobligated balance transfer to other accts [097–9999]	-33		
1011	Unobligated balance transfer from other acct [097–9999]	116		
1021	Recoveries of prior year unpaid obligations	629		
1050	Unobligated balance (total)	2,907	2,091	2,034
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	18,658	20,450	21,427
1120	Appropriations transferred to other acct [097–9999]	-104		
1121	Appropriations transferred from other acct [097–9999]	79		
1131	Unobligated balance of appropriations permanently reduced		-231	
1160	Appropriation, discretionary (total)	18,633	20,219	21,427
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011–5512]	108	120	47
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-3	
1260	Appropriations, mandatory (total)	106	117	47
Spending authority from offsetting collections, discretionary:				
1700	Collected	397	688	714
1701	Change in uncollected payments, Federal sources	-76		
1750	Spending auth from offsetting collections, disc (total)	321	688	714
Spending authority from offsetting collections, mandatory:				
1800	Collected	2		
1801	Change in uncollected payments, Federal sources	-2		
1900	Budget authority (total)	19,060	21,024	22,188
1930	Total budgetary resources available	21,967	23,115	24,222
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-53		
1941	Unexpired unobligated balance, end of year	2,091	2,034	2,181
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	11,138	11,403	13,253
3010	New obligations, unexpired accounts	19,823	21,081	22,041
3011	Obligations ("upward adjustments"), expired accounts	73		
3020	Outlays (gross)	-18,763	-19,231	-22,027
3040	Recoveries of prior year unpaid obligations, unexpired	-629		
3041	Recoveries of prior year unpaid obligations, expired	-239		
3050	Unpaid obligations, end of year	11,403	13,253	13,267
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-170	-66	-66
3070	Change in uncollected pymts, Fed sources, unexpired	78		
3071	Change in uncollected pymts, Fed sources, expired	26		
3090	Uncollected pymts, Fed sources, end of year	-66	-66	-66
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10,968	11,337	13,187
3200	Obligated balance, end of year	11,337	13,187	13,201

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	18,954	20,907	22,141
Outlays, gross:				
4010	Outlays from new discretionary authority	9,444	10,797	11,428
4011	Outlays from discretionary balances	9,261	8,376	10,534
4020	Outlays, gross (total)	18,705	19,173	21,962
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-413	-688	-714
4033	Non-Federal sources	-16		
4040	Offsets against gross budget authority and outlays (total)	-429	-688	-714
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	76		
4052	Offsetting collections credited to expired accounts	32		
4060	Additional offsets against budget authority only (total)	108		
4070	Budget authority, net (discretionary)	18,633	20,219	21,427
4080	Outlays, net (discretionary)	18,276	18,485	21,248
Mandatory:				
4090	Budget authority, gross	106	117	47
Outlays, gross:				
4100	Outlays from new mandatory authority	12	58	23
4101	Outlays from mandatory balances	46		42
4110	Outlays, gross (total)	58	58	65
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-2		

Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	2		
4160	Budget authority, net (mandatory)	106	117	47
4170	Outlays, net (mandatory)	56	58	65
4180	Budget authority, net (total)	18,739	20,336	21,474
4190	Outlays, net (total)	18,332	18,543	21,313

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	18,739	20,336	21,474
Outlays	18,332	18,543	21,313
Overseas contingency operations:			
Budget Authority			60
Outlays			30
Total:			
Budget Authority	18,739	20,336	21,534
Outlays	18,332	18,543	21,343

Object Classification (in millions of dollars)

Identification code 017–1319–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	94	98	96
11.3 Other than full-time permanent	4		
11.5 Other personnel compensation	2	2	3
11.8 Special personal services payments	19		
11.9 Total personnel compensation	119	100	99
12.1 Civilian personnel benefits	30	36	35
21.0 Travel and transportation of persons	36	25	30
22.0 Transportation of things	5	1	1
23.1 Rental payments to GSA			3
23.2 Rental payments to others	26	14	20
23.3 Communications, utilities, and miscellaneous charges	54	27	22
25.1 Advisory and assistance services	1,289	655	703
25.2 Other services from non-Federal sources	39	40	44
25.3 Other goods and services from Federal sources	2,789	645	603
25.3 Other goods and services from Federal sources	2,441	3,363	3,616
25.4 Operation and maintenance of facilities	83	32	60
25.5 Research and development contracts	8,787	13,680	13,822
25.7 Operation and maintenance of equipment	893	640	784
26.0 Supplies and materials	297	23	21
31.0 Equipment	1,994	644	1,043
32.0 Land and structures	9		
41.0 Grants, subsidies, and contributions	618	378	421
99.0 Direct obligations	19,509	20,303	21,327
99.0 Reimbursable obligations	314	778	714
99.9 Total new obligations, unexpired accounts	19,823	21,081	22,041

Employment Summary

Identification code 017–1319–0–1–051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	713	731	694
2001 Reimbursable civilian full-time equivalent employment	177	444	59

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$45,566,955,000]** \$37,391,826,000, to remain available for obligation until September 30, **[2021]** 2022. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 057–3600–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Basic research	516	542	499
0002 Applied Research	1,548	1,624	1,442
0003 Advanced technology development	845	1,073	819
0004 Advanced component development and prototypes	6,606	7,472	7,795
0005 System development and demonstration	5,944	6,191	3,221
0006 Management support	3,698	3,267	2,880

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE—Continued
Program and Financing—Continued

Identification code 057-3600-0-1-051	2019 actual	2020 est.	2021 est.
0007 Operational system development	25,503	23,674	21,864
0799 Total direct obligations	44,660	43,843	38,520
0801 Reimbursable program activity	4,488	5,093	4,405
0900 Total new obligations, unexpired accounts	49,148	48,936	42,925
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9,124	5,840	6,691
1001 Discretionary unobligated balance brought fwd, Oct 1	9,109	5,826
1010 Unobligated balance transfer to other accts [097-9999]	-80
1011 Unobligated balance transfer from other acct [097-9999]	16
1021 Recoveries of prior year unpaid obligations	570
1033 Recoveries of prior year paid obligations	19
1050 Unobligated balance (total)	9,649	5,840	6,691
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41,489	45,695	37,392
1120 Appropriations transferred to other acct [097-9999]	-138
1120 Appropriations transferred to other acct [245-2765]	-3
1121 Appropriations transferred from other acct [097-9999]	19
1131 Unobligated balance of appropriations permanently reduced	-491	-263
1160 Appropriation, discretionary (total)	40,876	45,432	37,392
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [011-5512]	53	56	59
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1
1260 Appropriations, mandatory (total)	52	55	59
Spending authority from offsetting collections, discretionary:			
1700 Collected	4,152	4,300	4,404
1701 Change in uncollected payments, Federal sources	333
1750 Spending auth from offsetting collections, disc (total)	4,485	4,300	4,404
Spending authority from offsetting collections, mandatory:			
1800 Collected	1
1900 Budget authority (total)	45,414	49,787	41,855
1930 Total budgetary resources available	55,063	55,627	48,546
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-75
1941 Unexpired unobligated balance, end of year	5,840	6,691	5,621
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19,153	24,387	27,128
3010 New obligations, unexpired accounts	49,148	48,936	42,925
3011 Obligations ("upward adjustments"), expired accounts	113
3020 Outlays (gross)	-43,147	-46,195	-47,259
3040 Recoveries of prior year unpaid obligations, unexpired	-570
3041 Recoveries of prior year unpaid obligations, expired	-310
3050 Unpaid obligations, end of year	24,387	27,128	22,794
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,308	-1,552	-1,552
3070 Change in uncollected pymts, Fed sources, unexpired	-333
3071 Change in uncollected pymts, Fed sources, expired	89
3090 Uncollected pymts, Fed sources, end of year	-1,552	-1,552	-1,552
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17,845	22,835	25,576
3200 Obligated balance, end of year	22,835	25,576	21,242

Identification code 057-3600-0-1-051	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	45,361	49,732	41,796
Outlays, gross:			
4010 Outlays from new discretionary authority	23,836	27,016	23,100
4011 Outlays from discretionary balances	19,311	19,152	24,109
4020 Outlays, gross (total)	43,147	46,168	47,209
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4,187	-4,300	-4,404
4033 Non-Federal sources	-129
4040 Offsets against gross budget authority and outlays (total)	-4,316	-4,300	-4,404
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-333
4052 Offsetting collections credited to expired accounts	145

4053 Recoveries of prior year paid obligations, unexpired accounts	19
4060 Additional offsets against budget authority only (total)	-169
4070 Budget authority, net (discretionary)	40,876	45,432	37,392
4080 Outlays, net (discretionary)	38,831	41,868	42,805
Mandatory:			
4090 Budget authority, gross	53	55	59
Outlays, gross:			
4100 Outlays from new mandatory authority	27	29
4101 Outlays from mandatory balances	21
4110 Outlays, gross (total)	27	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1
4180 Budget authority, net (total)	40,928	45,487	37,451
4190 Outlays, net (total)	38,830	41,895	42,855

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	40,928	45,487	37,451
Outlays	38,830	41,895	42,855
Overseas contingency operations:			
Budget Authority	5
Outlays	2
Total:			
Budget Authority	40,928	45,487	37,456
Outlays	38,830	41,895	42,857

Object Classification (in millions of dollars)

Identification code 057-3600-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,851	1,876	1,879
11.5 Other personnel compensation	49	60	59
11.8 Special personal services payments	10
11.9 Total personnel compensation	1,910	1,936	1,938
12.1 Civilian personnel benefits	548	545	555
25.1 Advisory and assistance services	1,261	1,769	1,171
25.3 Other goods and services from Federal sources	1	1
25.5 Research and development contracts	40,940	39,593	34,855
99.0 Direct obligations	44,660	43,843	38,520
99.0 Reimbursable obligations	4,488	5,093	4,405
99.9 Total new obligations, unexpired accounts	49,148	48,936	42,925

Employment Summary

Identification code 057-3600-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19,172	19,689	19,352
2001 Reimbursable civilian full-time equivalent employment	2,556	3,162	2,449

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION, SPACE FORCE

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$10,327,595,000, to remain available until September 30, 2022.

Program and Financing (in millions of dollars)

Identification code 057-3620-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Applied Research	109
0004 Advanced component development and prototypes	1,091
0005 System development and demonstration	3,116
0006 Management Support	215
0007 Operational system development	3,940
0008 Software and Digital Technology Pilot Programs	125
0799 Total direct obligations	8,596
0801 Reimbursable program activity	1,216

0900	Total new obligations, unexpired accounts	9,812
Budgetary resources:		
Budget authority:		
Appropriations, discretionary:		
1100	Appropriation	10,328
Spending authority from offsetting collections, discretionary:		
1700	Collected	1,216
1900	Budget authority (total)	11,544
1930	Total budgetary resources available	11,544
Memorandum (non-add) entries:		
1941	Unexpired unobligated balance, end of year	1,732
Change in obligated balance:		
Unpaid obligations:		
3010	New obligations, unexpired accounts	9,812
3020	Outlays (gross)	-6,380
3050	Unpaid obligations, end of year	3,432
Memorandum (non-add) entries:		
3200	Obligated balance, end of year	3,432
Budget authority and outlays, net:		
Discretionary:		
4000	Budget authority, gross	11,544
Outlays, gross:		
4010	Outlays from new discretionary authority	6,380
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4030	Federal sources	-1,216
4180	Budget authority, net (total)	10,328
4190	Outlays, net (total)	5,164

Object Classification (in millions of dollars)

Identification code 057-3620-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent		195
11.5	Other personnel compensation		5
11.9	Total personnel compensation		200
12.1	Civilian personnel benefits		57
25.1	Advisory and assistance services		262
25.5	Research and development contracts		8,077
99.0	Direct obligations		8,596
99.0	Reimbursable obligations		1,216
99.9	Total new obligations, unexpired accounts		9,812

Employment Summary

Identification code 057-3620-0-1-051	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		1,972
2001	Reimbursable civilian full-time equivalent employment		48

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE

For expenses of activities and agencies of the Department of Defense (other than the military departments), necessary for basic and applied scientific research, development, test and evaluation; advanced research projects as may be designated and determined by the Secretary of Defense, pursuant to law; maintenance, rehabilitation, lease, and operation of facilities and equipment, **【\$25,938,027,000】\$24,280,891,000**, to remain available for obligation until September 30, **【2021】2022**. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0400-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Basic research	784	854	771
0002	Applied Research	1,798	1,981	1,979
0003	Advanced technology development	3,761	4,010	3,650
0004	Advanced Component Development and Prototypes	10,072	10,544	9,593
0005	System development and demonstration	1,233	903	647
0006	Management support	1,787	1,904	1,345
0007	Operational system development	5,408	5,798	6,212
0008	Software and Digital Technology Pilot Programs			398

0799	Total direct obligations	24,843	25,994	24,595
0801	Reimbursable program activity	1,145	2,251	2,177
0900	Total new obligations, unexpired accounts	25,988	28,245	26,772
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4,585	4,219	4,267
1001	Discretionary unobligated balance brought fwd, Oct 1	4,584	4,188	
1010	Unobligated balance transfer to other accts [097-9999]	-8		
1011	Unobligated balance transfer from other acct [097-9999]	96		
1021	Recoveries of prior year unpaid obligations	192		
1033	Recoveries of prior year paid obligations	21		
1050	Unobligated balance (total)	4,886	4,219	4,267
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	24,062	26,332	24,281
1120	Appropriations transferred to other acct [097-9999]	-29		
1120	Appropriations transferred to other acct [245-2765]	-2		
1121	Appropriations transferred from other acct [097-9999]	225		
1131	Unobligated balance of appropriations permanently reduced	-25	-267	
1160	Appropriation, discretionary (total)	24,231	26,065	24,281
Appropriations, mandatory:				
1221	Appropriations transferred from other acct [011-5512]	53	65	46
1230	Appropriations and/or unobligated balance of appropriations permanently reduced		-3	
1260	Appropriations, mandatory (total)	53	62	46
Spending authority from offsetting collections, discretionary:				
1700	Collected	773	2,166	2,177
1701	Change in uncollected payments, Federal sources	316		
1750	Spending auth from offsetting collections, disc (total)	1,089	2,166	2,177
1900	Budget authority (total)	25,373	28,293	26,504
1930	Total budgetary resources available	30,259	32,512	30,771
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-52		
1941	Unexpired unobligated balance, end of year	4,219	4,267	3,999

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	15,746	17,814	18,467
3010	New obligations, unexpired accounts	25,988	28,245	26,772
3011	Obligations ("upward adjustments"), expired accounts	470		
3020	Outlays (gross)	-23,843	-27,592	-24,387
3040	Recoveries of prior year unpaid obligations, unexpired	-192		
3041	Recoveries of prior year unpaid obligations, expired	-355		
3050	Unpaid obligations, end of year	17,814	18,467	20,852
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1,297	-1,228	-1,228
3070	Change in uncollected pymts, Fed sources, unexpired	-316		
3071	Change in uncollected pymts, Fed sources, expired	385		
3090	Uncollected pymts, Fed sources, end of year	-1,228	-1,228	-1,228
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	14,449	16,586	17,239
3200	Obligated balance, end of year	16,586	17,239	19,624

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	25,320	28,231	26,458
Outlays, gross:				
4010	Outlays from new discretionary authority	9,447	11,528	10,583
4011	Outlays from discretionary balances	14,396	16,002	13,750
4020	Outlays, gross (total)	23,843	27,530	24,333
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1,119	-2,166	-2,177
4033	Non-Federal sources	-303		
4040	Offsets against gross budget authority and outlays (total)	-1,422	-2,166	-2,177
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-316		
4052	Offsetting collections credited to expired accounts	628		
4053	Recoveries of prior year paid obligations, unexpired accounts	21		
4060	Additional offsets against budget authority only (total)	333		
4070	Budget authority, net (discretionary)	24,231	26,065	24,281
4080	Outlays, net (discretionary)	22,421	25,364	22,156
Mandatory:				
4090	Budget authority, gross	53	62	46
Outlays, gross:				
4100	Outlays from new mandatory authority		62	46

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE—Continued
Program and Financing—Continued

Identification code 097–0400–0–1–051	2019 actual	2020 est.	2021 est.
4101 Outlays from mandatory balances			8
4110 Outlays, gross (total)		62	54
4180 Budget authority, net (total)	24,284	26,127	24,327
4190 Outlays, net (total)	22,421	25,426	22,210
Summary of Budget Authority and Outlays (in millions of dollars)			
	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	24,284	26,127	24,327
Outlays	22,421	25,426	22,210
Overseas contingency operations:			
Budget Authority			83
Outlays			40
Total:			
Budget Authority	24,284	26,127	24,410
Outlays	22,421	25,426	22,250

Object Classification (in millions of dollars)

Identification code 097–0400–0–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	359	347	345
11.3 Other than full-time permanent	18	18	20
11.5 Other personnel compensation	28	27	30
11.8 Special personal services payments		28	31
11.9 Total personnel compensation	405	420	426
12.1 Civilian personnel benefits	126	123	123
21.0 Travel and transportation of persons	68	49	52
22.0 Transportation of things	48	8	11
23.1 Rental payments to GSA	7	8	8
23.2 Rental payments to others	44	34	32
23.3 Communications, utilities, and miscellaneous charges	106	124	124
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	3,190	3,049	3,116
25.2 Other services from non-Federal sources	148	94	72
25.3 Other goods and services from Federal sources	3,726	2,298	2,092
25.4 Operation and maintenance of facilities	107	102	96
25.5 Research and development contracts	13,254	16,716	15,433
25.7 Operation and maintenance of equipment	354	479	374
26.0 Supplies and materials	646	612	860
31.0 Equipment	2,377	1,635	1,556
32.0 Land and structures	31	1	1
41.0 Grants, subsidies, and contributions	204	243	219
43.0 Interest and dividends	1		
99.0 Direct obligations	24,843	25,995	24,595
99.0 Reimbursable obligations	1,145	2,250	2,177
99.9 Total new obligations, unexpired accounts	25,988	28,245	26,772

Employment Summary

Identification code 097–0400–0–1–051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,158	3,008	2,938
2001 Reimbursable civilian full-time equivalent employment	191	191	191

DEPARTMENT OF DEFENSE RAPID PROTOTYPING FUND

Program and Financing (in millions of dollars)

Identification code 097–0402–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 Direct program activity	60		
0900 Total new obligations, unexpired accounts (object class 25.5)	60		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		54	54

1011 Unobligated balance transfer from other acct [097–9999]	114		
1050 Unobligated balance (total)	114	54	54
1930 Total budgetary resources available	114	54	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	54	54	54
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		60	30
3010 New obligations, unexpired accounts	60		
3020 Outlays (gross)		–30	–20
3050 Unpaid obligations, end of year	60	30	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		60	30
3200 Obligated balance, end of year	60	30	10
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		30	20
4180 Budget authority, net (total)			
4190 Outlays, net (total)		30	20

OPERATIONAL TEST AND EVALUATION, DEFENSE

For expenses, not otherwise provided for, necessary for the independent activities of the Director, Operational Test and Evaluation, in the direction and supervision of operational test and evaluation, including initial operational test and evaluation which is conducted prior to, and in support of, production decisions; joint operational testing and evaluation; and administrative expenses in connection therewith, **[\$227,700,000] \$210,090,000**, to remain available for obligation until September 30, **[2021] 2022**. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097–0460–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Management Support	315	285	212
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	85	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	377	228	210
1900 Budget authority (total)	377	228	210
1930 Total budgetary resources available	400	313	238
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	85	28	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	117	196	178
3010 New obligations, unexpired accounts	315	285	212
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	–236	–303	–205
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	196	178	185
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	117	196	178
3200 Obligated balance, end of year	196	178	185
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	377	228	210
Outlays, gross:			
4010 Outlays from new discretionary authority	119	91	84
4011 Outlays from discretionary balances	117	212	121
4020 Outlays, gross (total)	236	303	205
4180 Budget authority, net (total)	377	228	210
4190 Outlays, net (total)	236	303	205

Object Classification (in millions of dollars)

Identification code 097-0460-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	3	4	4
22.0 Transportation of things	1	1	1
25.1 Advisory and assistance services	152	96	99
25.2 Other services from non-Federal sources	12	2	2
25.3 Other goods and services from Federal sources	143	173	96
25.4 Operation and maintenance of facilities		3	3
25.7 Operation and maintenance of equipment	1	2	2
26.0 Supplies and materials		2	3
31.0 Equipment	3	2	2
99.9 Total new obligations, unexpired accounts	315	285	212

MILITARY CONSTRUCTION

The Military Construction Program provides facilities required for new weapon systems entering the Department's inventory, including aircraft and naval vessels, and other high priority initiatives. The Program continues to realign the global defense posture, improve living and working conditions, reduce operating costs, and increase productivity. Further, the Program supports energy resiliency by replacing or upgrading facilities which are functionally obsolete or can be made more efficient through economical improvements. Also, included in this request are resources required to clean up and dispose of property consistent with the five closure rounds required by the prior Base Realignment and Closure Acts.

Resources presented under the Military Construction title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

CONTRIBUTIONS FOR RENEWABLE ENERGY IMPACT ASSESSMENTS AND MITIGATION, DEFENSE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5753-0-2-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Contributions from Applicants, Renewable Energy Impact Assessments and Mitigation, Defense		1	1
2000 Total: Balances and receipts		1	2
5099 Balance, end of year		1	2

Federal Funds

MILITARY CONSTRUCTION, ARMY

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Army as currently authorized by law, including personnel in the Army Corps of Engineers and other personal services necessary for the purposes of this appropriation, and for construction and operation of facilities in support of the functions of the Commander in Chief, **[\$1,178,499,000] \$650,336,000**, to remain available until September 30, **[2024] 2025: Provided**, That, of this amount, not to exceed **[\$136,099,000] \$168,436,000** shall be available for study, planning, design, architect and engineer services, and host nation support, as authorized by law, unless the Secretary of the Army determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

[For an additional amount for "Military Construction, Army", \$111,968,000, to remain available until September 30, 2024, for projects outside of the United States: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985.] (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-5753-0-2-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	3		
0900 Total new obligations, unexpired accounts (object class 25.3)	3		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	2	2
1930 Total budgetary resources available	5	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	3		
3020 Outlays (gross)	-2		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2		

Program and Financing (in millions of dollars)

Identification code 021-2050-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	878	2,422	431
0002 Minor construction	89	127	51
0003 Planning	168	339	168
0004 Supporting activities	20	4	
0799 Total direct obligations	1,155	2,892	650
0801 Reimbursable program activity	5,941	4,219	4,888
0900 Total new obligations, unexpired accounts	7,096	7,111	5,538
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,814	4,392	2,098
1010 Unobligated balance transfer to other accts [097-9999]	-3		
1011 Unobligated balance transfer from other acct [097-9999]	2		
1021 Recoveries of prior year unpaid obligations	183		
1033 Recoveries of prior year paid obligations	12		
1050 Unobligated balance (total)	4,008	4,392	2,098
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,338	1,390	650
Spending authority from offsetting collections, discretionary:			
1700 Collected	4,540	3,427	5,486
1701 Change in uncollected payments, Federal sources	1,606		
1750 Spending auth from offsetting collections, disc (total)	6,146	3,427	5,486
1900 Budget authority (total)	7,484	4,817	6,136
1930 Total budgetary resources available	11,492	9,209	8,234
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	4,392	2,098	2,696

Contributions of funds from applicants for renewable energy projects filed with the Secretary of Transportation pursuant to section 44718 of title 49, United States Code. Voluntary contributions received by the Department of Defense are used to conduct studies of potential measures to mitigate the adverse impacts of energy projects on military operations and readiness, or to offset the cost of actual measures undertaken by the Department of Defense to mitigate adverse impacts of approved energy projects on military operations and readiness.

MILITARY CONSTRUCTION, ARMY—Continued
Program and Financing—Continued

Identification code 021–2050–0–1–051	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8,225	9,194	11,841
3010 New obligations, unexpired accounts	7,096	7,111	5,538
3011 Obligations ("upward adjustments"), expired accounts	211
3020 Outlays (gross)	-6,099	-4,464	-7,397
3040 Recoveries of prior year unpaid obligations, unexpired	-183
3041 Recoveries of prior year unpaid obligations, expired	-56
3050 Unpaid obligations, end of year	9,194	11,841	9,982
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8,676	-10,105	-10,105
3070 Change in uncollected pymts, Fed sources, unexpired	-1,606
3071 Change in uncollected pymts, Fed sources, expired	177
3090 Uncollected pymts, Fed sources, end of year	-10,105	-10,105	-10,105
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-451	-911	1,736
3200 Obligated balance, end of year	-911	1,736	-123
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7,484	4,817	6,136
Outlays, gross:			
4010 Outlays from new discretionary authority	608	3,441	5,492
4011 Outlays from discretionary balances	5,491	1,023	1,905
4020 Outlays, gross (total)	6,099	4,464	7,397
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4,671	-3,427	-5,486
4033 Non-Federal sources	-126
4040 Offsets against gross budget authority and outlays (total)	-4,797	-3,427	-5,486
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1,606
4052 Offsetting collections credited to expired accounts	245
4053 Recoveries of prior year paid obligations, unexpired accounts	12
4060 Additional offsets against budget authority only (total)	-1,349
4070 Budget authority, net (discretionary)	1,338	1,390	650
4080 Outlays, net (discretionary)	1,302	1,037	1,911
4180 Budget authority, net (total)	1,338	1,390	650
4190 Outlays, net (total)	1,302	1,037	1,911

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,338	1,390	650
Outlays	1,302	1,037	1,911
Overseas contingency operations:			
Budget Authority	16
Total:			
Budget Authority	1,338	1,390	666
Outlays	1,302	1,037	1,911

Object Classification (in millions of dollars)

Identification code 021–2050–0–1–051	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	13
11.9 Total personnel compensation	13
12.1 Civilian personnel benefits	5
32.0 Land and structures	1,137	2,891	650
99.0 Direct obligations	1,155	2,891	650
99.0 Reimbursable obligations	5,941	4,220	4,888
99.9 Total new obligations, unexpired accounts	7,096	7,111	5,538

Employment Summary

Identification code 021–2050–0–1–051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	152

2001 Reimbursable civilian full-time equivalent employment	449	1,415	1,415
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MILITARY CONSTRUCTION, NAVY AND MARINE CORPS

For acquisition, construction, installation, and equipment of temporary or permanent public works, naval installations, facilities, and real property for the Navy and Marine Corps as currently authorized by law, including personnel in the Naval Facilities Engineering Command and other personal services necessary for the purposes of this appropriation, **[\$2,449,632,000] \$1,975,606,000**, to remain available until September 30, **[2024] 2025: Provided**, That, of this amount, not to exceed **[\$178,715,000] \$165,710,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

For an additional amount for "Military Construction, Navy and Marine Corps", \$3,477,000,000, to remain available until September 30, 2024, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: Provided, That none of the funds made available in this Act to the Navy and Marine Corps for such recovery efforts shall be available for obligation until the Committees on Appropriations of the House of Representatives and the Senate receive form 1391 for each specific request: *Provided further*, That, not later than 60 days after enactment of this Act, the Secretary of the Navy, or his designee, shall submit to the Committees on Appropriations of the House of Representatives and the Senate a detailed expenditure plan for funds provided under this heading in this title: *Provided further*, That such funds may be obligated or expended for planning and design and military construction projects not otherwise authorized by law: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 017–1205–0–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	1,898	4,542	3,141
0002 Minor construction	36	69	55
0003 Planning	311	332	270
0799 Total direct obligations	2,245	4,943	3,466
0801 Reimbursable program activity	537	707	177
0900 Total new obligations, unexpired accounts	2,782	5,650	3,643
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,572	3,535	4,928
1021 Recoveries of prior year unpaid obligations	105
1050 Unobligated balance (total)	2,677	3,535	4,928
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,193	6,431	1,976
Spending authority from offsetting collections, discretionary:			
1700 Collected	618	612	177
1701 Change in uncollected payments, Federal sources	-118
1750 Spending auth from offsetting collections, disc (total)	500	612	177
1900 Budget authority (total)	3,693	7,043	2,153
1930 Total budgetary resources available	6,370	10,578	7,081
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-53
1941 Unexpired unobligated balance, end of year	3,535	4,928	3,438

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,316	3,905	7,331
3010 New obligations, unexpired accounts	2,782	5,650	3,643
3011 Obligations ("upward adjustments"), expired accounts	114
3020 Outlays (gross)	-2,151	-2,224	-2,505
3040 Recoveries of prior year unpaid obligations, unexpired	-105
3041 Recoveries of prior year unpaid obligations, expired	-51
3050 Unpaid obligations, end of year	3,905	7,331	8,469

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-817	-404	-404
3070	Change in uncollected pymts, Fed sources, unexpired	118		
3071	Change in uncollected pymts, Fed sources, expired	295		
3090	Uncollected pymts, Fed sources, end of year	-404	-404	-404
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2,499	3,501	6,927
3200	Obligated balance, end of year	3,501	6,927	8,065

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3,693	7,043	2,153
Outlays, gross:				
4010	Outlays from new discretionary authority	297	677	197
4011	Outlays from discretionary balances	1,854	1,547	2,308
4020	Outlays, gross (total)	2,151	2,224	2,505
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-423	-612	-177
4033	Non-Federal sources	-208		
4040	Offsets against gross budget authority and outlays (total)	-631	-612	-177
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	118		
4052	Offsetting collections credited to expired accounts	13		
4060	Additional offsets against budget authority only (total)	131		
4070	Budget authority, net (discretionary)	3,193	6,431	1,976
4080	Outlays, net (discretionary)	1,520	1,612	2,328
4180	Budget authority, net (total)	3,193	6,431	1,976
4190	Outlays, net (total)	1,520	1,612	2,328

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,193	6,431	1,976
Outlays	1,520	1,612	2,328
Overseas contingency operations:			
Budget Authority			70
Outlays			1
Total:			
Budget Authority	3,193	6,431	2,046
Outlays	1,520	1,612	2,329

Object Classification (in millions of dollars)

Identification code 017-1205-0-1-051	2019 actual	2020 est.	2021 est.
32.0 Direct obligations: Land and structures	2,245	4,943	3,466
99.0 Direct obligations	2,245	4,943	3,466
99.0 Reimbursable obligations	537	707	177
99.9 Total new obligations, unexpired accounts	2,782	5,650	3,643

MILITARY CONSTRUCTION, AIR FORCE

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Air Force as currently authorized by law, **[\$1,687,230,000]** \$767,132,000, to remain available until September 30, **[2024]** 2025: *Provided*, That, of this amount, not to exceed **[\$153,148,000]** \$296,532,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Air Force determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

[For an additional amount for "Military Construction, Air Force", \$2,605,200,000, to remain available until September 30, 2024, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That none of the funds made available in this Act to the Air Force for such recovery efforts shall be available for obligation until the Committees on Appropriations of the House of Representatives and the Senate receive form 1391 for each specific request: *Provided further*, That, not later than 60 days after enactment of this Act, the Secretary of the Air Force, or his designee, shall submit to the Committees on Appropriations of the House of Repres-

entatives and the Senate a detailed expenditure plan for funds provided under this heading in this title: *Provided further*, That such funds may be obligated or expended for planning and design and military construction projects not otherwise authorized by law: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **]** (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 057-3300-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	2,016	6,110	2,189
0002 Minor construction	29	118	87
0003 Planning	221	602	322
0799 Total direct obligations	2,266	6,830	2,598
0801 Reimbursable program activity		30	
0900 Total new obligations, unexpired accounts	2,266	6,860	2,598

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,273	4,014	2,146
1011 Unobligated balance transfer from other acct [097-9999] ...	9		
1021 Recoveries of prior year unpaid obligations	8		
1029 Other balances withdrawn to Treasury	-7		
1050 Unobligated balance (total)	3,283	4,014	2,146
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,024	4,992	767
1131 Unobligated balance of appropriations permanently reduced	-31		
1160 Appropriation, discretionary (total)	2,993	4,992	767
Spending authority from offsetting collections, discretionary:			
1700 Collected	30		
1900 Budget authority (total)	3,023	4,992	767
1930 Total budgetary resources available	6,306	9,006	2,913
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-26		
1941 Unexpired unobligated balance, end of year	4,014	2,146	315

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,916	3,105	8,502
3010 New obligations, unexpired accounts	2,266	6,860	2,598
3011 Obligations ("upward adjustments"), expired accounts	15		
3020 Outlays (gross)	-1,083	-1,463	-2,803
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	3,105	8,502	8,297
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,916	3,105	8,502
3200 Obligated balance, end of year	3,105	8,502	8,297

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,023	4,992	767
Outlays, gross:			
4010 Outlays from new discretionary authority	44	100	15
4011 Outlays from discretionary balances	1,039	1,363	2,788
4020 Outlays, gross (total)	1,083	1,463	2,803
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-30		
4040 Offsets against gross budget authority and outlays (total)	-30		
4180 Budget authority, net (total)	2,993	4,992	767
4190 Outlays, net (total)	1,053	1,463	2,803

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,993	4,992	767
Outlays	1,053	1,463	2,803
Overseas contingency operations:			
Budget Authority			264
Outlays			5
Total:			
Budget Authority	2,993	4,992	1,031

MILITARY CONSTRUCTION, AIR FORCE—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Outlays	1,053	1,463	2,808
Object Classification (in millions of dollars)			
Identification code 057-3300-0-1-051	2019 actual	2020 est.	2021 est.
32.0 Direct obligations: Land and structures	2,266	6,830	2,598
99.0 Direct obligations	2,266	6,830	2,598
99.0 Reimbursable obligations		30	
99.9 Total new obligations, unexpired accounts	2,266	6,860	2,598

MILITARY CONSTRUCTION, DEFENSE-WIDE

(INCLUDING TRANSFER OF FUNDS)

For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, **[\$2,362,529,000]** \$2,027,520,000, to remain available until September 30, **[2024]** 2025: *Provided*, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction or family housing as the Secretary may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided further*, That, of the amount, not to exceed **[\$298,655,000]** \$159,976,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

[For an additional amount for "Military Construction, Defense-Wide", \$77,175,000, to remain available until September 30, 2024, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That none of the funds made available in this Act to the Department of Defense for such recovery efforts shall be available for obligation until the Committees on Appropriations of the House of Representatives and the Senate receive form 1391 for each specific request: *Provided further*, That, not later than 60 days after enactment of this Act, the Secretary of Defense, or his designee, shall submit to the Committees on Appropriations of the House of Representatives and the Senate a detailed expenditure plan for funds provided under this heading in this title: *Provided further*, That such funds may be obligated or expended for planning and design and military construction projects not otherwise authorized by law: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **]** (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	2,692	3,039	2,214
0002 Minor construction	55	95	81
0003 Planning	267	402	252
0900 Total new obligations, unexpired accounts (object class 32.0)	3,014	3,536	2,547
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,014	3,644	2,616
1010 Unobligated balance transfer to other accts [097-9999]	-28		
1011 Unobligated balance transfer from other acct [097-9999]	30		
1021 Recoveries of prior year unpaid obligations	155		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	4,172	3,644	2,616

	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,639	2,553	2,028
1120 Appropriations transferred to other acct [097-9999]	-194		
1121 Appropriations transferred from other acct [097-9999]	194		
1131 Unobligated balance of appropriations permanently reduced		-45	
1160 Appropriation, discretionary (total)	2,639	2,508	2,028
1900 Budget authority (total)	2,639	2,508	2,028
1930 Total budgetary resources available	6,811	6,152	4,644
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-153		
1941 Unexpired unobligated balance, end of year	3,644	2,616	2,097

Change in obligated balance:

	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,601	5,264	6,495
3010 New obligations, unexpired accounts	3,014	3,536	2,547
3011 Obligations ("upward adjustments"), expired accounts	169		
3020 Outlays (gross)	-2,245	-2,305	-2,540
3040 Recoveries of prior year unpaid obligations, unexpired	-155		
3041 Recoveries of prior year unpaid obligations, expired	-120		
3050 Unpaid obligations, end of year	5,264	6,495	6,502
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,601	5,264	6,495
3200 Obligated balance, end of year	5,264	6,495	6,502

Budget authority and outlays, net:

	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	2,639	2,508	2,028
Outlays, gross:			
4010 Outlays from new discretionary authority	63	63	51
4011 Outlays from discretionary balances	2,182	2,242	2,489
4020 Outlays, gross (total)	2,245	2,305	2,540
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-1		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	2,639	2,508	2,028
4080 Outlays, net (discretionary)	2,244	2,305	2,540
4180 Budget authority, net (total)	2,639	2,508	2,028
4190 Outlays, net (total)	2,244	2,305	2,540

SECURITY INVESTMENT PROGRAM

For the United States share of the cost of the North Atlantic Treaty Organization Security Investment Program for the acquisition and construction of military facilities and installations (including international military headquarters) and for related expenses for the collective defense of the North Atlantic Treaty Area as authorized by section 2806 of title 10, United States Code, and Military Construction Authorization Acts, **[\$172,005,000]** \$173,030,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 NATO infrastructure	326	172	173
0900 Total new obligations, unexpired accounts (object class 32.0)	326	172	173
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	219	152	127
1021 Recoveries of prior year unpaid obligations	113		
1050 Unobligated balance (total)	332	152	127
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	171	172	173
1131 Unobligated balance of appropriations permanently reduced	-25	-25	
1160 Appropriation, discretionary (total)	146	147	173

1900	Budget authority (total)	146	147	173
1930	Total budgetary resources available	478	299	300
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	152	127	127
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	738	823	811
3010	New obligations, unexpired accounts	326	172	173
3020	Outlays (gross)	-128	-184	-308
3040	Recoveries of prior year unpaid obligations, unexpired	-113		
3050	Unpaid obligations, end of year	823	811	676
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-23	-23	-23
3090	Uncollected pymts, Fed sources, end of year	-23	-23	-23
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	715	800	788
3200	Obligated balance, end of year	800	788	653
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	146	147	173
Outlays, gross:				
4010	Outlays from new discretionary authority	62	66	77
4011	Outlays from discretionary balances	66	118	231
4020	Outlays, gross (total)	128	184	308
4180	Budget authority, net (total)	146	147	173
4190	Outlays, net (total)	128	184	308

MILITARY CONSTRUCTION, ARMY NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$210,819,000] \$321,437,000**, to remain available until September 30, **[2024] 2025: Provided**, That, of the amount, not to exceed **[\$20,469,000] \$13,245,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Army National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

[For an additional amount for "Military Construction, Army National Guard", \$66,000,000, to remain available until September 30, 2024, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding, tornadoes, and earthquakes occurring in fiscal year 2019: Provided, That none of the funds made available in this Act to the Army National Guard for such recovery efforts shall be available for obligation until the Committees on Appropriations of the House of Representatives and the Senate receive form 1391 for each specific request: *Provided further*, That, not later than 60 days after enactment of this Act, the Director of the Army National Guard, or his designee, shall submit to the Committees on Appropriations of the House of Representatives and the Senate a detailed expenditure plan for funds provided under this heading in this title: *Provided further*, That such funds may be obligated or expended for planning and design and military construction projects not otherwise authorized by law: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.] (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 021-2085-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Major construction	205	382	305
0002	Minor construction	42	41	27
0003	Planning	93	530	29
0900	Total new obligations, unexpired accounts (object class 32.0)	340	953	361
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	732	750	229
1021	Recoveries of prior year unpaid obligations	119		

1050	Unobligated balance (total)	851	750	229
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	255	432	321
1131	Unobligated balance of appropriations permanently reduced	-10		
1160	Appropriation, discretionary (total)	245	432	321
1900	Budget authority (total)	245	432	321
1930	Total budgetary resources available	1,096	1,182	550
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-6		
1941	Unexpired unobligated balance, end of year	750	229	189

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	556	503	1,199
3010	New obligations, unexpired accounts	340	953	361
3011	Obligations ("upward adjustments"), expired accounts	94		
3020	Outlays (gross)	-271	-257	-397
3040	Recoveries of prior year unpaid obligations, unexpired	-119		
3041	Recoveries of prior year unpaid obligations, expired	-97		
3050	Unpaid obligations, end of year	503	1,199	1,163
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	556	503	1,199
3200	Obligated balance, end of year	503	1,199	1,163

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	245	432	321
Outlays, gross:				
4010	Outlays from new discretionary authority	21	8	6
4011	Outlays from discretionary balances	250	249	391
4020	Outlays, gross (total)	271	257	397
4180	Budget authority, net (total)	245	432	321
4190	Outlays, net (total)	271	257	397

MILITARY CONSTRUCTION, AIR NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$164,471,000] \$64,214,000**, to remain available until September 30, **[2024] 2025: Provided**, That, of the amount, not to exceed **[\$17,000,000] \$3,414,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Air National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 057-3830-0-1-051	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Major construction	177	297	88
0002	Minor construction	20	63	16
0003	Planning	22	51	7
0900	Total new obligations, unexpired accounts (object class 32.0)	219	411	111
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	309	274	84
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	310	274	84
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	183	221	64
1900	Budget authority (total)	183	221	64
1930	Total budgetary resources available	493	495	148
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	274	84	37

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	166	259	400
3010	New obligations, unexpired accounts	219	411	111
3020	Outlays (gross)	-125	-270	-227

MILITARY CONSTRUCTION, AIR NATIONAL GUARD—Continued
Program and Financing—Continued

Identification code 057-3830-0-1-051	2019 actual	2020 est.	2021 est.
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	259	400	284
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	166	259	400
3200 Obligated balance, end of year	259	400	284
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	183	221	64
Outlays, gross:			
4010 Outlays from new discretionary authority		4	1
4011 Outlays from discretionary balances	125	266	226
4020 Outlays, gross (total)	125	270	227
4180 Budget authority, net (total)	183	221	64
4190 Outlays, net (total)	125	270	227

MILITARY CONSTRUCTION, ARMY RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$60,928,000]** \$88,337,000, to remain available until September 30, **[2024]** 2025: *Provided*, That, of the amount, not to exceed **[\$6,000,000]** \$2,000,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Army Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

[For an additional amount for "Military Construction, Army Reserve", \$3,300,000, to remain available until September 30, 2024, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That none of the funds made available in this Act to the Army Reserve for such recovery efforts shall be available for obligation until the Committees on Appropriations of the House of Representatives and the Senate receive form 1391 for each specific request: *Provided further*, That, not later than 60 days after enactment of this Act, the Secretary of the Army, or his designee, shall submit to the Committees on Appropriations of the House of Representatives and the Senate a detailed expenditure plan for funds provided under this heading in this title: *Provided further*, That such funds may be obligated or expended for planning and design and military construction projects not otherwise authorized by law: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. **]** (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 021-2086-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	130	86	69
0002 Minor construction	2	8	7
0003 Planning	13	21	3
0900 Total new obligations, unexpired accounts (object class 32.0)	145	115	79
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	118	61	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	88	64	88
1930 Total budgetary resources available	206	125	98
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	10	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	345	289	254
3010 New obligations, unexpired accounts	145	115	79
3011 Obligations ("upward adjustments"), expired accounts	2		

3020 Outlays (gross)	-200	-150	-120
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	289	254	213
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	345	289	254
3200 Obligated balance, end of year	289	254	213
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	88	64	88
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	3
4011 Outlays from discretionary balances	198	148	117
4020 Outlays, gross (total)	200	150	120
4180 Budget authority, net (total)	88	64	88
4190 Outlays, net (total)	200	150	120

MILITARY CONSTRUCTION, NAVY RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the reserve components of the Navy and Marine Corps as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$4,955,000]** \$70,995,000, to remain available until September 30, **[2024]** 2025: *Provided*, That, of the amount, not to exceed **[\$4,780,000]** \$3,485,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 017-1235-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	77	69	92
0002 Minor construction		21	3
0003 Planning	3	6	4
0900 Total new obligations, unexpired accounts (object class 32.0)	80	96	99
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	143	106	65
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	43	55	71
1930 Total budgetary resources available	186	161	136
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	106	65	37
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	74	111	129
3010 New obligations, unexpired accounts	80	96	99
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-43	-78	-67
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	111	129	161
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	74	111	129
3200 Obligated balance, end of year	111	129	161
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	43	55	71
Outlays, gross:			
4010 Outlays from new discretionary authority	3	1	1
4011 Outlays from discretionary balances	40	77	66
4020 Outlays, gross (total)	43	78	67
4180 Budget authority, net (total)	43	55	71
4190 Outlays, net (total)	43	78	67

MILITARY CONSTRUCTION, AIR FORCE RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air Force Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$59,750,000] \$23,117,000**, to remain available until September 30, **[2024] 2025**: *Provided*, That, of the amount, not to exceed **[\$4,604,000] \$3,270,000** shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Air Force Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 057-3730-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major construction	70	164	23
0002 Minor construction	6	15	6
0003 Planning	13	14	4
0900 Total new obligations, unexpired accounts (object class 32.0)	89	193	33
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	127	170	62
1021 Recoveries of prior year unpaid obligations	10		
1050 Unobligated balance (total)	137	170	62
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	123	85	23
1930 Total budgetary resources available	260	255	85
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	170	62	52
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	198	165	241
3010 New obligations, unexpired accounts	89	193	33
3020 Outlays (gross)	-112	-117	-118
3040 Recoveries of prior year unpaid obligations, unexpired	-10		
3050 Unpaid obligations, end of year	165	241	156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	198	165	241
3200 Obligated balance, end of year	165	241	156
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	123	85	23
Outlays, gross:			
4010 Outlays from new discretionary authority		1	
4011 Outlays from discretionary balances	112	116	118
4020 Outlays, gross (total)	112	117	118
4180 Budget authority, net (total)	123	85	23
4190 Outlays, net (total)	112	117	118

CHEMICAL DEMILITARIZATION CONSTRUCTION, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-0391-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CHEM/DEMIL CONSTRUCTION	19		
0900 Total new obligations, unexpired accounts (object class 25.7)	19		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10		
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	22		
1930 Total budgetary resources available	22		

Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	23	9
3010 New obligations, unexpired accounts	19		
3020 Outlays (gross)	-3	-14	-8
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	23	9	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	23	9
3200 Obligated balance, end of year	23	9	1
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3	14	8
4180 Budget authority, net (total)			
4190 Outlays, net (total)	3	14	8

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT

For deposit into the Department of Defense Base Closure Account, established by section 2906(a) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), **[\$398,526,000] \$300,447,000**, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0516-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	369	399	300
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	252	292	292
1021 Recoveries of prior year unpaid obligations	45		
1050 Unobligated balance (total)	297	292	292
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	342	399	300
Spending authority from offsetting collections, discretionary:			
1700 Collected	22		
1900 Budget authority (total)	364	399	300
1930 Total budgetary resources available	661	691	592
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	292	292	292
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	989	897	809
3010 New obligations, unexpired accounts	369	399	300
3020 Outlays (gross)	-416	-487	-533
3040 Recoveries of prior year unpaid obligations, unexpired	-45		
3050 Unpaid obligations, end of year	897	809	576
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	989	897	809
3200 Obligated balance, end of year	897	809	576
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	364	399	300
Outlays, gross:			
4010 Outlays from new discretionary authority	331	160	120
4011 Outlays from discretionary balances	85	327	413
4020 Outlays, gross (total)	416	487	533
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-22		
4040 Offsets against gross budget authority and outlays (total)	-22		
4180 Budget authority, net (total)	342	399	300
4190 Outlays, net (total)	394	487	533

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT—Continued

Object Classification (in millions of dollars)

Identification code 097-0516-0-1-051	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	6	6	6
11.9 Total personnel compensation	6	6	6
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	6	3	2
25.2 Other services from non-Federal sources	7	4	4
25.3 Other goods and services from Federal sources	68	82	29
25.3 Other goods and services from Federal sources		3	3
25.4 Operation and maintenance of facilities	133	196	94
25.7 Operation and maintenance of equipment	1		
31.0 Equipment		1	1
32.0 Land and structures	142	99	156
99.9 Total new obligations, unexpired accounts	369	399	300

Employment Summary

Identification code 097-0516-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	49	49	48

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990

Program and Financing (in millions of dollars)

Identification code 097-0510-0-1-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	68	68
1021 Recoveries of prior year unpaid obligations	6		
1050 Unobligated balance (total)	68	68	68
1930 Total budgetary resources available	68	68	68
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	68	68	68
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	57	44	24
3020 Outlays (gross)	-7	-20	-12
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3050 Unpaid obligations, end of year	44	24	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-14	-14	-14
3090 Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	43	30	10
3200 Obligated balance, end of year	30	10	-2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	7	20	12
4180 Budget authority, net (total)			
4190 Outlays, net (total)	7	20	12

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 2005

Program and Financing (in millions of dollars)

Identification code 097-0512-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Global posture	1		
0900 Total new obligations, unexpired accounts (object class 25.2)	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	401	431	431

1021 Recoveries of prior year unpaid obligations	31		
1050 Unobligated balance (total)	432	431	431
1930 Total budgetary resources available	432	431	431
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	431	431	431

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	202	162	57
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)	-10	-105	-49
3040 Recoveries of prior year unpaid obligations, unexpired	-31		
3050 Unpaid obligations, end of year	162	57	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	201	161	56
3200 Obligated balance, end of year	161	56	7

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	10	105	49
4180 Budget authority, net (total)			
4190 Outlays, net (total)	10	105	49

FOREIGN CURRENCY FLUCTUATIONS, CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 097-0803-0-1-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	31	31	31
1010 Unobligated balance transfer to other accts [097-9999]	-61		
1011 Unobligated balance transfer from other acct [097-9999]	3		
1012 Unobligated balance transfers between expired and unexpired accounts	58		
1050 Unobligated balance (total)	31	31	31
1930 Total budgetary resources available	31	31	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	31	31	31
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

FAMILY HOUSING

The Family Housing Program funds construction, improvements, operations, maintenance, utilities, privatization, and leasing of all military family housing. The Program supports quality of life enhancements and initiatives to reduce operating costs and conserve energy by upgrading or replacing facilities.

The Family Housing Improvement Fund (FHIF) and the Military Unaccompanied Housing Improvement Fund (MUHIF) finance the use of authorities authorized in the National Defense Authorization Act for Fiscal Year 1996 (Public Law 104-106) to support of the Military Housing Privatization Initiative (MHPI). Funds which are required to support the MHPI are transferred from the military departments' family housing construction accounts into the FHIF and from the military departments' construction accounts into the MUHIF.

Resources presented under the Family Housing title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

Federal Funds

FAMILY HOUSING CONSTRUCTION, ARMY

For expenses of family housing for the Army for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$141,372,000]** \$119,400,000, to remain available until September 30, **[2024]** 2025. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-0720-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 New Construction	175	358	112
0003 Construction Improvements		58	
0004 Planning and design	14	54	3
0900 Total new obligations, unexpired accounts (object class 32.0)	189	470	115

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	185	371	42
1021 Recoveries of prior year unpaid obligations	49		
1050 Unobligated balance (total)	234	371	42
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	331	141	119
1900 Budget authority (total)	331	141	119
1930 Total budgetary resources available	565	512	161
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
1941 Unexpired unobligated balance, end of year	371	42	46

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	241	290	640
3010 New obligations, unexpired accounts	189	470	115
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-94	-120	-233
3040 Recoveries of prior year unpaid obligations, unexpired	-49		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	290	640	522
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	241	290	640
3200 Obligated balance, end of year	290	640	522

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	331	141	119
Outlays, gross:			
4010 Outlays from new discretionary authority		3	2
4011 Outlays from discretionary balances	94	117	231
4020 Outlays, gross (total)	94	120	233
4180 Budget authority, net (total)	331	141	119
4190 Outlays, net (total)	94	120	233

FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY

For expenses of family housing for the Army for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$357,907,000]** \$367,142,000. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-0725-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Utilities	43	56	41
0006 Operation	72	94	66
0007 Leasing	126	129	124
0008 Maintenance	101	81	98
0012 Housing Privatization Support	32	49	38
0799 Total direct obligations	374	409	367
0801 Reimbursable program activity	3	15	15

0900 Total new obligations, unexpired accounts	377	424	382
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
1011 Unobligated balance transfer from other acct [097-9999]	5		
1050 Unobligated balance (total)	5	1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	377	408	367
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	15	15
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	3	15	15
1900 Budget authority (total)	380	423	382
1930 Total budgetary resources available	385	424	382
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-7		
1941 Unexpired unobligated balance, end of year	1		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	316	306	339
3010 New obligations, unexpired accounts	377	424	382
3011 Obligations ("upward adjustments"), expired accounts	11		
3020 Outlays (gross)	-351	-391	-436
3041 Recoveries of prior year unpaid obligations, expired	-47		
3050 Unpaid obligations, end of year	306	339	285
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	308	300	333
3200 Obligated balance, end of year	300	333	279

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	380	423	382
Outlays, gross:			
4010 Outlays from new discretionary authority	225	260	235
4011 Outlays from discretionary balances	126	131	201
4020 Outlays, gross (total)	351	391	436
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-15	-15
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-2	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	377	408	367
4080 Outlays, net (discretionary)	349	376	421
4180 Budget authority, net (total)	377	408	367
4190 Outlays, net (total)	349	376	421

Object Classification (in millions of dollars)

Identification code 021-0725-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	23	34
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	25	26	37
12.1 Civilian personnel benefits	9	10	14
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	99	126	96
23.3 Communications, utilities, and miscellaneous charges	35	35	35
25.1 Advisory and assistance services	5	5	5
25.2 Other services from non-Federal sources	17	19	19
25.3 Other goods and services from Federal sources	9	13	13
25.3 Other goods and services from Federal sources	58	78	58
25.4 Operation and maintenance of facilities	104	84	77
25.7 Operation and maintenance of equipment	6	6	6

FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY—Continued
Object Classification—Continued

Identification code 021-0725-0-1-051	2019 actual	2020 est.	2021 est.
31.0 Equipment	1	1	1
99.0 Direct obligations	374	409	367
99.0 Reimbursable obligations	3	15	15
99.9 Total new obligations, unexpired accounts	377	424	382

Employment Summary

Identification code 021-0725-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	344	362	473

FAMILY HOUSING CONSTRUCTION, NAVY AND MARINE CORPS

For expenses of family housing for the Navy and Marine Corps for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$47,661,000]**~~\$42,897,000~~, to remain available until September 30, **[2024]**2025. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-0730-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 New Construction	188	27	28
0003 Construction Improvements	1	39	38
0004 Planning and design	5	32	5
0900 Total new obligations, unexpired accounts (object class 32.0)	194	98	71
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	195	101	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	104	48	43
1131 Unobligated balance of appropriations permanently reduced	-2		
1160 Appropriation, discretionary (total)	102	48	43
1930 Total budgetary resources available	297	149	94
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	101	51	23
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	205	223
3010 New obligations, unexpired accounts	194	98	71
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-16	-80	-94
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	205	223	200
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	205	223
3200 Obligated balance, end of year	205	223	200
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	102	48	43
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
4011 Outlays from discretionary balances	16	79	93
4020 Outlays, gross (total)	16	80	94
4180 Budget authority, net (total)	102	48	43
4190 Outlays, net (total)	16	80	94

FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND MARINE CORPS

For expenses of family housing for the Navy and Marine Corps for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$317,870,000]**~~\$346,493,000~~. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-0735-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Utilities	40	63	58
0006 Operation	84	85	85
0007 Leasing	60	64	63
0008 Maintenance	104	83	86
0012 Housing Privatization Support	24	82	54
0799 Total direct obligations	312	377	346
0801 Reimbursable program activity	7	18	19
0900 Total new obligations, unexpired accounts	319	395	365
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct (097-9999)	12		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	315	377	346
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	18	19
1900 Budget authority (total)	324	395	365
1930 Total budgetary resources available	336	395	365
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-17		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	198	222	291
3010 New obligations, unexpired accounts	319	395	365
3011 Obligations ("upward adjustments"), expired accounts	17		
3020 Outlays (gross)	-288	-326	-399
3041 Recoveries of prior year unpaid obligations, expired	-24		
3050 Unpaid obligations, end of year	222	291	257
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3071 Change in uncollected pymts, Fed sources, expired	-1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	196	219	288
3200 Obligated balance, end of year	219	288	254
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	324	395	365
Outlays, gross:			
4010 Outlays from new discretionary authority	177	248	230
4011 Outlays from discretionary balances	111	78	169
4020 Outlays, gross (total)	288	326	399
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-18	-19
4033 Non-Federal sources	-15		
4040 Offsets against gross budget authority and outlays (total)	-17	-18	-19
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	8		
4060 Additional offsets against budget authority only (total)	8		
4070 Budget authority, net (discretionary)	315	377	346
4080 Outlays, net (discretionary)	271	308	380
4180 Budget authority, net (total)	315	377	346
4190 Outlays, net (total)	271	308	380

Object Classification (in millions of dollars)

Identification code 017-0735-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	30	74	54
11.3 Other than full-time permanent	5	4	4

11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	36	79	59
12.1	Civilian personnel benefits	13	29	23
13.0	Benefits for former personnel	1
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	1	1	1
23.2	Rental payments to others	33	35	35
23.3	Communications, utilities, and miscellaneous charges	10	13	65
24.0	Printing and reproduction	1
25.1	Advisory and assistance services	19	18	14
25.2	Other services from non-Federal sources	4
25.3	Other goods and services from Federal sources	53	74	19
25.3	Other goods and services from Federal sources	2	3	3
25.4	Operation and maintenance of facilities	121	108	108
25.7	Operation and maintenance of equipment	2	1	1
26.0	Supplies and materials	5	3	3
31.0	Equipment	5	9	10
32.0	Land and structures	5	3	4
99.0	Direct obligations	312	377	346
99.0	Reimbursable obligations	7	18	19
99.9	Total new obligations, unexpired accounts	319	395	365

Employment Summary

Identification code 017-0735-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	542	643	811

FAMILY HOUSING CONSTRUCTION, AIR FORCE

For expenses of family housing for the Air Force for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **[\$103,631,000]** \$97,214,000, to remain available until September 30, **[2024]** 2025. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-0740-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 New Construction	36
0003 Construction Improvements	3	126	94
0004 Planning and design	6	108	3
0900 Total new obligations, unexpired accounts (object class 32.0)	9	270	97
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	351	433	267
1011 Unobligated balance transfer from other acct [097-9999]	19
1050 Unobligated balance (total)	370	433	267
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	78	104	97
1900 Budget authority (total)	78	104	97
1930 Total budgetary resources available	448	537	364
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6
1941 Unexpired unobligated balance, end of year	433	267	267

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	146	104	300
3010 New obligations, unexpired accounts	9	270	97
3020 Outlays (gross)	-51	-74	-84
3050 Unpaid obligations, end of year	104	300	313
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	146	104	300
3200 Obligated balance, end of year	104	300	313

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	78	104	97
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2
4011 Outlays from discretionary balances	51	72	82
4020 Outlays, gross (total)	51	74	84

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-7
4040 Offsets against gross budget authority and outlays (total)	-7
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	7
4060 Additional offsets against budget authority only (total)	7
4070 Budget authority, net (discretionary)	78	104	97
4080 Outlays, net (discretionary)	44	74	84
4180 Budget authority, net (total)	78	104	97
4190 Outlays, net (total)	44	74	84

FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE

For expenses of family housing for the Air Force for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$295,016,000]** \$317,021,000. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-0745-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Utilities	44	43	43
0006 Operation	87	120	101
0007 Leasing	8	16	9
0008 Maintenance	156	118	141
0012 Housing Privatization support	18	29	23
0799 Total direct obligations	313	326	317
0801 Reimbursable program activity	1	6	6
0900 Total new obligations, unexpired accounts	314	332	323
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [097-9999]	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	317	326	317
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	6	6
1900 Budget authority (total)	318	332	323
1930 Total budgetary resources available	328	332	323
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-14

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	304	339	353
3010 New obligations, unexpired accounts	314	332	323
3011 Obligations ("upward adjustments"), expired accounts	29
3020 Outlays (gross)	-281	-318	-317
3041 Recoveries of prior year unpaid obligations, expired	-27
3050 Unpaid obligations, end of year	339	353	359
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	304	339	353
3200 Obligated balance, end of year	339	353	359

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	318	332	323
Outlays, gross:			
4010 Outlays from new discretionary authority	135	136	133
4011 Outlays from discretionary balances	146	182	184
4020 Outlays, gross (total)	281	318	317
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-6	-6
4033 Non-Federal sources	-3
4040 Offsets against gross budget authority and outlays (total)	-4	-6	-6
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	3
4060 Additional offsets against budget authority only (total)	3
4070 Budget authority, net (discretionary)	317	326	317
4080 Outlays, net (discretionary)	277	312	311

FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE—Continued
Program and Financing—Continued

Identification code 057-0745-0-1-051	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)	317	326	317
4190 Outlays, net (total)	277	312	311

Object Classification (in millions of dollars)

Identification code 057-0745-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	42	64	47
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	1	4	4
11.9 Total personnel compensation	43	70	53
12.1 Civilian personnel benefits	9	10	12
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	2	2	1
23.2 Rental payments to others	10	15	8
23.3 Communications, utilities, and miscellaneous charges	43	42	43
25.1 Advisory and assistance services	3	18	19
25.2 Other services from non-Federal sources	15	4	5
25.3 Other goods and services from Federal sources	5	3	3
25.4 Operation and maintenance of facilities	58	109	124
25.5 Research and development contracts	1
25.7 Operation and maintenance of equipment	5	10	2
26.0 Supplies and materials	23	10	7
31.0 Equipment	1	2	1
32.0 Land and structures	94	30	38
99.0 Direct obligations	313	326	317
99.0 Reimbursable obligations	1	6	6
99.9 Total new obligations, unexpired accounts	314	332	323

Employment Summary

Identification code 057-0745-0-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	610	689	908

FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE

For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for operation and maintenance, leasing, and minor construction, as authorized by law, **[\$57,000,000] \$54,728,000.** (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0765-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Utilities	4	4	4
0006 Operation	1	1	1
0007 Leasing	50	52	50
0008 Maintenance	2
0900 Total new obligations, unexpired accounts	57	57	55

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	58	57	55
1900 Budget authority (total)	58	57	55
1930 Total budgetary resources available	58	57	55
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	13	24
3010 New obligations, unexpired accounts	57	57	55
3011 Obligations ("upward adjustments"), expired accounts	5
3020 Outlays (gross)	-62	-46	-53
3041 Recoveries of prior year unpaid obligations, expired	-6

3050 Unpaid obligations, end of year	13	24	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	13	24
3200 Obligated balance, end of year	13	24	26

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	58	57	55
Outlays, gross:			
4010 Outlays from new discretionary authority	49	40	39
4011 Outlays from discretionary balances	13	6	14
4020 Outlays, gross (total)	62	46	53
4180 Budget authority, net (total)	58	57	55
4190 Outlays, net (total)	62	46	53

Object Classification (in millions of dollars)

Identification code 097-0765-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.2 Rental payments to others	39	41	41
23.3 Communications, utilities, and miscellaneous charges	5	4	4
25.2 Other services from non-Federal sources	2
25.3 Other goods and services from Federal sources	2	12	10
25.4 Operation and maintenance of facilities	2
25.7 Operation and maintenance of equipment	7
99.9 Total new obligations, unexpired accounts	57	57	55

HOMEOWNERS ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 097-4090-0-3-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	1
0900 Total new obligations, unexpired accounts (object class 41.0)	1

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	66	50	50
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-15
1900 Budget authority (total)	-15
1930 Total budgetary resources available	51	50	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	50	50	50

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	1
3020 Outlays (gross)	-1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	-15
Outlays, gross:			
4011 Outlays from discretionary balances	1
4180 Budget authority, net (total)	-15
4190 Outlays, net (total)	1

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	19	19	19
5092 Unexpired unavailable balance, EOY: Offsetting collections	19	19	19

The Homeowners Assistance Fund finances a program which provides assistance to eligible homeowners by mitigating losses incident to the disposal of a primary residence.

FAMILY HOUSING IMPROVEMENT FUND

For the Department of Defense Family Housing Improvement Fund, **[\$3,045,000] \$5,897,000,** to remain available until expended, for family housing initiatives undertaken pursuant to section 2883 of title 10, United States Code,

providing alternative means of acquiring and improving military family housing and supporting facilities. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0834-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	25	22
0706 Interest on reestimates of direct loan subsidy	14	14
0709 Administrative expenses	2	3	6
0791 Direct program activities, subtotal	41	39	6
0900 Total new obligations, unexpired accounts	41	39	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	19	19
1001 Discretionary unobligated balance brought fwd, Oct 1	19	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	3	6
Appropriations, mandatory:			
1200 Appropriation	39	36
1900 Budget authority (total)	41	39	6
1930 Total budgetary resources available	60	58	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	19	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	44	34	14
3010 New obligations, unexpired accounts	41	39	6
3020 Outlays (gross)	-51	-59	-20
3050 Unpaid obligations, end of year	34	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	34	14
3200 Obligated balance, end of year	34	14
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	3	6
Outlays, gross:			
4010 Outlays from new discretionary authority	2	4
4011 Outlays from discretionary balances	51	13	8
4020 Outlays, gross (total)	51	15	12
Mandatory:			
4090 Budget authority, gross	39	36
Outlays, gross:			
4100 Outlays from new mandatory authority	36
4101 Outlays from mandatory balances	8	8
4110 Outlays, gross (total)	44	8
4180 Budget authority, net (total)	41	39	6
4190 Outlays, net (total)	51	59	20
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	22	22	22
5092 Unexpired unavailable balance, EOY: Offsetting collections	22	22	22

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 097-0834-0-1-051	2019 actual	2020 est.	2021 est.
Direct loan subsidy outlays:			
134001 Family Housing Improvement Fund Direct Loans	9	8	10
Direct loan reestimates:			
135001 Family Housing Improvement Fund Direct Loans	-13	-25
Guaranteed loan reestimates:			
235001 Family Housing Improvement Fund Guaranteed Loans	-11	-9
Administrative expense data:			
3510 Budget authority	2	3	6
3590 Outlays from new authority	2	3	6

Object Classification (in millions of dollars)

Identification code 097-0834-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	2	3	6

41.0	Grants, subsidies, and contributions	39	36
99.9	Total new obligations, unexpired accounts	41	39	6

FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 097-4166-0-3-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	68	66	67
0742 Downward reestimates paid to receipt accounts	32	41
0743 Interest on downward reestimates	19	20
0900 Total new obligations, unexpired accounts	119	127	67
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	63	61
Spending authority from offsetting collections, mandatory:			
1800 Collected	137	130	103
1801 Change in uncollected payments, Federal sources	-9	-8	-10
1825 Spending authority from offsetting collections applied to repay debt	-72	-49	-30
1850 Spending auth from offsetting collections, mand (total)	56	73	63
1900 Budget authority (total)	119	134	63
1930 Total budgetary resources available	119	134	70
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	152	105	66
3010 New obligations, unexpired accounts	119	127	67
3020 Outlays (gross)	-166	-166	-104
3050 Unpaid obligations, end of year	105	66	29
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-35	-26	-18
3070 Change in uncollected pymts, Fed sources, unexpired	9	8	10
3090 Uncollected pymts, Fed sources, end of year	-26	-18	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	117	79	48
3200 Obligated balance, end of year	79	48	21
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	119	134	63
Financing disbursements:			
4110 Outlays, gross (total)	166	166	104
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-48	-8	-10
4120 Federal sources	-22
4120 Federal sources	-14
4122 Interest on uninvested funds	-3
4123 Non-Federal sources	-86	-25	-31
4123 Non-Federal sources	-61	-62
4130 Offsets against gross budget authority and outlays (total)	-137	-130	-103
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	9	8	10
4160 Budget authority, net (mandatory)	-9	12	-30
4170 Outlays, net (mandatory)	29	36	1
4180 Budget authority, net (total)	-9	12	-30
4190 Outlays, net (total)	29	36	1

Status of Direct Loans (in millions of dollars)

Identification code 097-4166-0-3-051	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,760	1,781	1,785
1231 Disbursements: Direct loan disbursements	46	32	32
1251 Repayments: Repayments and prepayments	-24	-25
1263 Write-offs for default: Direct loans	-5	-3	-4

FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT—Continued
Status of Direct Loans—Continued

Identification code 097-4166-0-3-051	2019 actual	2020 est.	2021 est.
1264 Other adjustments, net (+ or -)	4		
1290 Outstanding, end of year	1,781	1,785	1,813

Balance Sheet (in millions of dollars)

Identification code 097-4166-0-3-051	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1106 Federal Assets: Receivables, net	44	40
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,760	1,781
1405 Allowance for subsidy cost (-)	-62	-42
1499 Net present value of assets related to direct loans	1,698	1,739
1999 Total assets	1,742	1,779
LIABILITIES:		
Federal liabilities:		
2103 Debt	1,686	1,714
2105 Other-Downward reestimate payables	56	65
2999 Total liabilities	1,742	1,779
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,742	1,779

FAMILY HOUSING IMPROVEMENT GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 097-4167-0-3-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal		16	15
0742 Downward reestimates paid to receipt accounts	9	7	
0743 Interest on downward reestimates	2	2	
0791 Direct program activities, subtotal	11	25	15
0900 Total new obligations, unexpired accounts	11	25	15
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	69	59	36
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	2	9
1930 Total budgetary resources available	70	61	45
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	59	36	30
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	10
3010 New obligations, unexpired accounts	11	25	15
3020 Outlays (gross)	-10	-16	-16
3050 Unpaid obligations, end of year	1	10	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	10
3200 Obligated balance, end of year	1	10	9
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1	2	9
Financing disbursements:			
4110 Outlays, gross (total)	10	16	16
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-1	-2	-1
4123 Non-Federal sources			-8
4130 Offsets against gross budget authority and outlays (total)	-1	-2	-9
4170 Outlays, net (mandatory)	9	14	7
4180 Budget authority, net (total)			

4190 Outlays, net (total)	9	14	7
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Status of Guaranteed Loans (in millions of dollars)

Identification code 097-4167-0-3-051	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2150 Total guaranteed loan commitments			
2199 Guaranteed amount of guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	973	957	924
2231 Disbursements of new guaranteed loans	14		
2251 Repayments and prepayments	-14	-17	-18
Adjustments:			
2263 Terminations for default that result in claim payments	-16	-16	-15
2264 Other adjustments, net			
2290 Outstanding, end of year	957	924	891
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	957	924	891

Balance Sheet (in millions of dollars)

Identification code 097-4167-0-3-051	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Fund balances with Treasury		
1101	69	60
Investments in U.S. securities:		
Receivables, net		
1106		
1999 Total assets	69	60
LIABILITIES:		
Federal liabilities: Other: Downward reestimate payables		
2105	11	9
Non-Federal liabilities: Liabilities for loan guarantees		
2204	58	51
2999 Total liabilities	69	60
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	69	60

MILITARY UNACCOMPANIED HOUSING IMPROVEMENT FUND

For the Department of Defense Military Unaccompanied Housing Improvement Fund, **[\$500,000]** \$600,000, to remain available until expended, for unaccompanied housing initiatives undertaken pursuant to section 2883 of title 10, United States Code, providing alternative means of acquiring and improving military unaccompanied housing and supporting facilities. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 097-0836-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0709 Administrative expenses	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			1
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1		
3050 Unpaid obligations, end of year		1	2

Memorandum (non-add) entries:			
3100	Obligated balance, start of year		1
3200	Obligated balance, end of year	1	2

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1	1
	Outlays, gross:		
4011	Outlays from discretionary balances	1	
4180	Budget authority, net (total)	1	1
4190	Outlays, net (total)	1	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 097-0836-0-1-051	2019 actual	2020 est.	2021 est.
Administrative expense data:			
3510	Budget authority	1	1

Object Classification (in millions of dollars)

Identification code 097-0836-0-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	Advisory and assistance services		1
26.0	Supplies and materials	1	
99.9	Total new obligations, unexpired accounts	1	1

REVOLVING AND MANAGEMENT FUNDS

Resources presented under the Revolving and Management Funds title support logistics and other infrastructure activities under the authority of 10 U.S.C. 2208 and other sections to accept customer reimbursable orders to meet customer needs. The activities include depot maintenance, supply management, distribution depots, transportation services, Navy research and development, finance and accounting services, information systems and telecommunications services, commissaries, and security background investigation services among others.

Federal Funds

NATIONAL DEFENSE STOCKPILE TRANSACTION FUND

Program and Financing (in millions of dollars)

Identification code 097-4555-0-3-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	Acquisition, Upgrade, and Relocation	61	51
0804	Civilian Pay Benefits		56
0900	Total new obligations, unexpired accounts	61	107

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	225	214
1021	Recoveries of prior year unpaid obligations	9	
1050	Unobligated balance (total)	234	214

Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	40	64
1802	Offsetting collections (previously unavailable)	26	25
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-25	-24
1850	Spending auth from offsetting collections, mand (total)	41	65
1900	Budget authority (total)	41	65
1930	Total budgetary resources available	275	279
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	214	172

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	44	49
3010	New obligations, unexpired accounts	61	107
3020	Outlays (gross)	-47	-84
3040	Recoveries of prior year unpaid obligations, unexpired	-9	

3050	Unpaid obligations, end of year	49	72	83
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	44	49	72
3200	Obligated balance, end of year	49	72	83

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	41	65	78
Outlays, gross:				
4100	Outlays from new mandatory authority		42	56
4101	Outlays from mandatory balances	47	42	26
4110	Outlays, gross (total)	47	84	82
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-40	-64	-54
4180	Budget authority, net (total)	1	1	24
4190	Outlays, net (total)	7	20	28

Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	26	25	24
5092	Unexpired unavailable balance, EOY: Offsetting collections	25	24	

The National Defense Stockpile program is managed under the authority of the Strategic and Critical Materials Stockpiling Act. The purpose of the Stockpile is to decrease or preclude U.S. dependence on foreign sources for supplies of strategic and critical materials in times of national emergency.

Revenues from the sales of excess commodities are either deposited into the National Defense Stockpile Transaction Fund to finance the National Defense Stockpile program or are transferred to the Treasury for specific congressionally-mandated programs or to reduce the deficit.

Object Classification (in millions of dollars)

Identification code 097-4555-0-3-051	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	6	6
11.5	Other personnel compensation	1	1
11.9	Total personnel compensation	7	7
12.1	Civilian personnel benefits	2	2
21.0	Travel and transportation of persons	1	
23.1	Rental payments to GSA	1	1
25.1	Advisory and assistance services	10	12
25.2	Other services from non-Federal sources	15	30
25.3	Other goods and services from Federal sources	1	1
25.4	Operation and maintenance of facilities	1	1
26.0	Supplies and materials	26	52
99.0	Reimbursable obligations	61	107
99.9	Total new obligations, unexpired accounts	61	107

Employment Summary

Identification code 097-4555-0-3-051	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	68	72

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 097-4950-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	Operations	673	394
0803	PFFA		254
0805	Operations - Capital Program		7
0807	PFFA - Capital Program		8
0900	Total new obligations, unexpired accounts	673	663

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	127	61
1021	Recoveries of prior year unpaid obligations	956	

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 097-4950-0-4-051	2019 actual	2020 est.	2021 est.
1050 Unobligated balance (total)	1,083	61	52
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	12		
Spending authority from offsetting collections, mandatory:			
1800 Collected	538	654	636
1801 Change in uncollected payments, Federal sources	-899		
1850 Spending auth from offsetting collections, mand (total)	-361	654	636
1900 Budget authority (total)	-349	654	636
1930 Total budgetary resources available	734	715	688
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	52	41
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,309	447	469
3010 New obligations, unexpired accounts	673	663	647
3020 Outlays (gross)	-579	-641	-616
3040 Recoveries of prior year unpaid obligations, unexpired	-956		
3050 Unpaid obligations, end of year	447	469	500
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,034	-135	-135
3070 Change in uncollected pymts, Fed sources, unexpired	899		
3090 Uncollected pymts, Fed sources, end of year	-135	-135	-135
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	275	312	334
3200 Obligated balance, end of year	312	334	365
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-12		
4040 Offsets against gross budget authority and outlays (total)	-12		
Mandatory:			
4090 Budget authority, gross	-361	654	636
Outlays, gross:			
4100 Outlays from new mandatory authority		229	439
4101 Outlays from mandatory balances	579	412	177
4110 Outlays, gross (total)	579	641	616
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-535	-654	-636
4123 Non-Federal sources	-3		
4130 Offsets against gross budget authority and outlays (total)	-538	-654	-636
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	899		
4170 Outlays, net (mandatory)	41	-13	-20
4180 Budget authority, net (total)			
4190 Outlays, net (total)	29	-13	-20

The Pentagon Reservation Maintenance Revolving Fund was established by the 1991 National Defense Authorization Act and is codified in 10 U.S.C. 2674. It provides for space, building services, deep underground relocation capability, and force protection for Department of Defense Components, including Military Departments and other activities located within the Pentagon Reservation. The Pentagon Reservation includes the Pentagon building and adjacent facilities, the Mark Center, and the Raven Rock Mountain Complex.

Object Classification (in millions of dollars)

Identification code 097-4950-0-4-051	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	155	170	180
11.5 Other personnel compensation	20	6	3
11.9 Total personnel compensation	175	176	183
12.1 Civilian personnel benefits	53	54	59
21.0 Travel and transportation of persons	3	1	1
22.0 Transportation of things	1	4	4

23.1 Rental payments to GSA	4		
23.2 Rental payments to others	1		
23.3 Communications, utilities, and miscellaneous charges	26	43	47
25.1 Advisory and assistance services		79	80
25.2 Other services from non-Federal sources	52	31	36
25.3 Other goods and services from Federal sources	4	10	9
25.4 Operation and maintenance of facilities	321	196	167
25.7 Operation and maintenance of equipment		33	26
26.0 Supplies and materials	14	19	19
31.0 Equipment	14	17	16
32.0 Land and structures	5		
99.0 Reimbursable obligations	673	663	647
99.9 Total new obligations, unexpired accounts	673	663	647

Employment Summary

Identification code 097-4950-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,618	1,686	1,754

NATIONAL DEFENSE SEALIFT FUND

Program and Financing (in millions of dollars)

Identification code 017-4557-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Operations, Maintenance and Lease	70		
0003 Inst & Mgmt Def FT	50		
0004 Research and Development	79		
0900 Total new obligations, unexpired accounts	199		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	80	118	118
1021 Recoveries of prior year unpaid obligations	17		
1033 Recoveries of prior year paid obligations	4		
1050 Unobligated balance (total)	101	118	118
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-9999]	216		
Spending authority from offsetting collections, discretionary:			
1700 Collected	2		
1701 Change in uncollected payments, Federal sources	-2		
1900 Budget authority (total)	216		
1930 Total budgetary resources available	317	118	118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	118	118	118
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	140	87	87
3010 New obligations, unexpired accounts	199		
3020 Outlays (gross)	-235		
3040 Recoveries of prior year unpaid obligations, unexpired	-17		
3050 Unpaid obligations, end of year	87	87	87
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-107	-105	-105
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-105	-105	-105
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	33	-18	-18
3200 Obligated balance, end of year	-18	-18	-18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	216		
Outlays, gross:			
4011 Outlays from discretionary balances	235		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
4033 Non-Federal sources	-4		
4040 Offsets against gross budget authority and outlays (total)	-6		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	2		

4053	Recoveries of prior year paid obligations, unexpired accounts	4		
4060	Additional offsets against budget authority only (total)	6		
4070	Budget authority, net (discretionary)	216		
4080	Outlays, net (discretionary)	229		
4180	Budget authority, net (total)	216		
4190	Outlays, net (total)	229		

Object Classification (in millions of dollars)

Identification code 017-4557-0-4-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons	6	
25.1	Advisory and assistance services	157	
25.2	Other services from non-Federal sources	26	
25.5	Research and development contracts	3	
25.7	Operation and maintenance of equipment	5	
26.0	Supplies and materials	2	
99.9	Total new obligations, unexpired accounts	199	

DEFENSE WORKING CAPITAL FUNDS

For the Defense Working Capital Funds, **[\$1,564,211,000] \$1,348,910,000.** (*Department of Defense Appropriations Act, 2020.*)

For an additional amount for "Defense Working Capital Funds" for the Navy Working Capital Fund, \$233,500,000, for necessary expenses related to the consequences of Hurricanes Michael and Florence and flooding and earthquakes occurring in fiscal year 2019: *Provided*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985. (*Department of Defense Appropriations Act, 2020.*)

WORKING CAPITAL FUND, ARMY

Program and Financing (in millions of dollars)

Identification code 097-493001-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Industrial Operations	158	195
0004	Supply Management - Army	89	52
0799	Total direct obligations	247	247
0801	Industrial Operations	5,122	4,960
0804	Supply Management - Army	8,736	8,498
0809	Reimbursable program activities, subtotal	13,858	13,458
0811	Industrial Operations - Capital Program	86	83
0814	Supply Management - Army - Capital Program	33	22
0819	Reimbursable program activities, subtotal	119	105
0899	Total reimbursable obligations	13,977	13,563
0900	Total new obligations, unexpired accounts	14,224	13,810
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3,465	3,531
1001	Discretionary unobligated balance brought fwd, Oct 1	3,465	
1010	Unobligated balance transfer to other accts [097-9999]	-50	
1021	Recoveries of prior year unpaid obligations	991	
1025	Unobligated balance of contract authority withdrawn	-473	
1050	Unobligated balance (total)	3,933	3,531
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	1,656	1,818
1120	Appropriations transferred to other acct [097-4930.002]		-234
1120	Appropriations transferred to other acct [097-4930.003]	-78	-92
1120	Appropriations transferred to other acct [097-4930.004]	-1,266	-995
1120	Appropriations transferred to other acct [097-4930.005]	-48	-49
1120	Appropriations transferred to other acct [097-4932]		-200
1160	Appropriation, discretionary (total)	264	248

Contract authority, mandatory:			
1600	Contract authority	8,855	
Spending authority from offsetting collections, discretionary:			
1700	Collected	11,767	14,969
1701	Change in uncollected payments, Federal sources	-307	
1750	Spending auth from offsetting collections, disc (total)	11,460	14,969
Spending authority from offsetting collections, mandatory:			
1826	Spending authority from offsetting collections applied to liquidate contract authority	-6,757	
1900	Budget authority (total)	13,822	15,217
1930	Total budgetary resources available	17,755	18,748
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3,531	4,938

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7,974	9,061
3010	New obligations, unexpired accounts	14,224	13,810
3020	Outlays (gross)	-12,146	-15,158
3040	Recoveries of prior year unpaid obligations, unexpired	-991	
3050	Unpaid obligations, end of year	9,061	7,713
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-6,475	-6,168
3070	Change in uncollected pymts, Fed sources, unexpired	307	
3090	Uncollected pymts, Fed sources, end of year	-6,168	-6,168
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,499	2,893
3200	Obligated balance, end of year	2,893	1,545

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	11,724	15,217
Outlays, gross:			
4010	Outlays from new discretionary authority	11,062	9,192
4011	Outlays from discretionary balances	1,084	5,966
4020	Outlays, gross (total)	12,146	15,158
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-11,575	-14,215
4033	Non-Federal sources	-192	-754
4040	Offsets against gross budget authority and outlays (total)	-11,767	-14,969
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	307	
4070	Budget authority, net (discretionary)	264	248
4080	Outlays, net (discretionary)	379	189
Mandatory:			
4090	Budget authority, gross	2,098	
4180	Budget authority, net (total)	2,362	248
4190	Outlays, net (total)	379	189

Memorandum (non-add) entries:

5052	Obligated balance, SOY: Contract authority	2,904	4,529
5053	Obligated balance, EOY: Contract authority	4,529	4,529

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,362	248	57
Outlays	379	189	234
Overseas contingency operations:			
Budget Authority			20
Outlays			17
Total:			
Budget Authority	2,362	248	77
Outlays	379	189	251

The Army Working Capital Fund (AWCF) finances industrial and supply operations of the Army. The AWCF finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The AWCF uses cost accounting and business management techniques to provide DOD Managers with information that can be used to monitor, control, and minimize the cost of operations.

WORKING CAPITAL FUND, ARMY—Continued

Object Classification (in millions of dollars)

Identification code 097-493001-0-4-051	2019 actual	2020 est.	2021 est.
26.0 Direct obligations: Supplies and materials	247	247	57
99.0 Direct obligations	247	247	57
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,339	1,471	1,475
11.3 Other than full-time permanent	29	50	50
11.5 Other personnel compensation	214	185	184
11.8 Special personal services payments	6	4	4
11.9 Total personnel compensation	1,588	1,710	1,713
12.1 Civilian personnel benefits	564	579	605
13.0 Benefits for former personnel	1	2	3
21.0 Travel and transportation of persons	33	36	34
22.0 Transportation of things	93	92	95
23.1 Rental payments to GSA	14	13	13
23.2 Rental payments to others	4	7	5
23.3 Communications, utilities, and miscellaneous charges	82	80	63
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	111	110	117
25.2 Other services from non-Federal sources	302	394	437
25.3 Other goods and services from Federal sources	332	270	271
25.3 Other goods and services from Federal sources	494	423	432
25.4 Operation and maintenance of facilities	274	265	212
25.7 Operation and maintenance of equipment	370	112	88
26.0 Supplies and materials	9,550	9,277	8,643
31.0 Equipment	164	191	162
99.0 Reimbursable obligations	13,977	13,563	12,895
99.9 Total new obligations, unexpired accounts	14,224	13,810	12,952

Employment Summary

Identification code 097-493001-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	21,647	23,240	23,226

WORKING CAPITAL FUND, NAVY

Program and Financing (in millions of dollars)

Identification code 097-493002-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Depot Maintenance - Aviation		40	
0006 Base Support	6		
0008 R&D Activities		194	
0799 Total direct obligations	6	234	
0801 Supply Management - Navy	12,205	8,581	9,701
0802 Supply Management - Marine Corps	83	113	111
0803 Depot Maintenance - Aviation	2,658	2,590	2,668
0805 Depot Maintenance - Marine Corps	409	415	376
0806 Base Support	3,465	328	30
0807 Transportation	3,219	3,313	3,303
0808 R&D Activities	15,542	15,500	15,869
0809 Reimbursable program activities, subtotal	37,581	30,840	32,058
0820 Supply Management - Navy - Capital Program	7	16	17
0822 Depot Maintenance - Aviation - Capital Program	35	40	45
0824 Depot Maintenance - Marine Corps - Capital Program	7	8	6
0825 Base Support - Capital Program	20		
0826 Transportation - Capital Program	27		
0827 R&D Activities - Capital Program	170	182	172
0829 Reimbursable program activities, subtotal	266	246	240
0899 Total reimbursable obligations	37,847	31,086	32,298
0900 Total new obligations, unexpired accounts	37,853	31,320	32,298

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,287	2,484	401
1001 Discretionary unobligated balance brought fwd, Oct 1	3,287		
1021 Recoveries of prior year unpaid obligations	3,629		
1025 Unobligated balance of contract authority withdrawn	-3,131		
1050 Unobligated balance (total)	3,785	2,484	401

Budget authority:

Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-4930.001]		234	
Contract authority, mandatory:			
1600 Contract authority	12,556		
Spending authority from offsetting collections, discretionary:			
1700 Collected	31,685	29,003	32,825
1701 Change in uncollected payments, Federal sources	-695		
1750 Spending auth from offsetting collections, disc (total)	30,990	29,003	32,825
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority	-6,994		
1900 Budget authority (total)	36,552	29,237	32,825
1930 Total budgetary resources available	40,337	31,721	33,226
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,484	401	928
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18,511	20,021	21,183
3010 New obligations, unexpired accounts	37,853	31,320	32,298
3020 Outlays (gross)	-32,714	-30,158	-32,534
3040 Recoveries of prior year unpaid obligations, unexpired	-3,629		
3050 Unpaid obligations, end of year	20,021	21,183	20,947
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-14,903	-14,208	-14,208
3070 Change in uncollected pymts, Fed sources, unexpired	695		
3090 Uncollected pymts, Fed sources, end of year	-14,208	-14,208	-14,208
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,608	5,813	6,975
3200 Obligated balance, end of year	5,813	6,975	6,739

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	30,990	29,237	32,825
Outlays, gross:			
4010 Outlays from new discretionary authority	30,618	18,906	20,023
4011 Outlays from discretionary balances	2,096	11,252	12,511
4020 Outlays, gross (total)	32,714	30,158	32,534
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-31,281	-28,605	-32,417
4033 Non-Federal sources	-404	-398	-408
4040 Offsets against gross budget authority and outlays (total)	-31,685	-29,003	-32,825
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	695		
4070 Budget authority, net (discretionary)		234	
4080 Outlays, net (discretionary)	1,029	1,155	-291
Mandatory:			
4090 Budget authority, gross	5,562		
4180 Budget authority, net (total)	5,562	234	
4190 Outlays, net (total)	1,029	1,155	-291

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority	4,372	6,803	6,803
5053 Obligated balance, EOY: Contract authority	6,803	6,803	6,803

The Navy Working Capital Fund (NWCF) finances the operations of Navy industrial, logistical, and commercial and support-type activities. These activities include Marine Corps Depot Maintenance, Marine Corps Supply, Navy Supply, Fleet Readiness Centers (Aviation Depots), Research and Development and Military Sealift Command (MSC). The NWCF finances operating and capital costs (excluding Military Construction) through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The NWCF uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493002-0-4-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.4 Operation and maintenance of facilities	5		
32.0 Land and structures	1	234	
99.0 Direct obligations	6	234	

Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	8,063	7,624	7,793
11.3	Other than full-time permanent	90	103	106
11.5	Other personnel compensation	715	642	717
11.8	Special personal services payments	87	72	74
11.9	Total personnel compensation	8,955	8,441	8,690
12.1	Civilian personnel benefits	2,816	2,792	2,851
13.0	Benefits for former personnel	4	4	4
21.0	Travel and transportation of persons	464	390	401
22.0	Transportation of things	93	66	60
23.1	Rental payments to GSA	70	1	1
23.2	Rental payments to others	539	384	397
23.3	Communications, utilities, and miscellaneous charges	1,394	392	379
24.0	Printing and reproduction	7	11	11
25.1	Advisory and assistance services	77	74	76
25.2	Other services from non-Federal sources	591	574	588
25.3	Other goods and services from Federal sources	1,169	1,179	1,171
25.3	Other goods and services from Federal sources	23	4	4
25.3	Other goods and services from Federal sources	944	794	750
25.4	Operation and maintenance of facilities	1,149	543	293
25.5	Research and development contracts	2,895	2,726	2,749
25.7	Operation and maintenance of equipment	1,642	1,568	1,639
26.0	Supplies and materials	13,831	10,045	11,123
31.0	Equipment	1,066	983	1,005
32.0	Land and structures	118	115	106
99.0	Reimbursable obligations	37,847	31,086	32,298
99.9	Total new obligations, unexpired accounts	37,853	31,320	32,298

Employment Summary

Identification code 097-493002-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	91,032	79,327	80,142

WORKING CAPITAL FUND, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 097-493003-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Transportation	1		
0003 Supply Management	78	92	96
0799 Total direct obligations	79	92	96
0801 Transportation	8,150	8,510	8,748
0802 CSAG Supply	6,555	5,323	5,497
0803 Supply Management - Air Force	4,240	4,652	4,819
0804 CSAG Maintenance	6,904	7,000	7,038
0809 Reimbursable program activities, subtotal	25,849	25,485	26,102
0810 CSAG Maintenance - Capital Program	173	155	177
0811 Transportation - Capital Program		50	68
0812 CSAG Supply - Capital Program	1		10
0819 Reimbursable program activities, subtotal	174	205	255
0899 Total reimbursable obligations	26,023	25,690	26,357
0900 Total new obligations, unexpired accounts	26,102	25,782	26,453

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,836	2,033	1,730
1001 Discretionary unobligated balance brought fwd, Oct 1	2,836		
1011 Unobligated balance transfer from other acct [097-9999]	234		
1021 Recoveries of prior year unpaid obligations	87		
1025 Unobligated balance of contract authority withdrawn	-19		
1050 Unobligated balance (total)	3,138	2,033	1,730
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-4930.001]	78	92	96
Contract authority, mandatory:			
1600 Contract authority	11,042		
Spending authority from offsetting collections, discretionary:			
1700 Collected	23,720	25,387	27,034
1701 Change in uncollected payments, Federal sources	-309		
1750 Spending auth from offsetting collections, disc (total)	23,411	25,387	27,034

Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority	-9,534		
1900 Budget authority (total)	24,997	25,479	27,130
1930 Total budgetary resources available	28,135	27,512	28,860
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,033	1,730	2,407
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11,180	12,140	12,539
3010 New obligations, unexpired accounts	26,102	25,782	26,453
3020 Outlays (gross)	-25,055	-25,383	-27,925
3040 Recoveries of prior year unpaid obligations, unexpired	-87		
3050 Unpaid obligations, end of year	12,140	12,539	11,067
Uncollected payments:			
3060 Uncollected balance, Fed sources, brought forward, Oct 1	-8,108	-7,799	-7,799
3070 Change in uncollected pymts, Fed sources, unexpired	309		
3090 Uncollected pymts, Fed sources, end of year	-7,799	-7,799	-7,799
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,072	4,341	4,740
3200 Obligated balance, end of year	4,341	4,740	3,268

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	23,489	25,479	27,130
Outlays, gross:			
4010 Outlays from new discretionary authority	23,459	18,357	20,222
4011 Outlays from discretionary balances	1,596	7,026	7,703
4020 Outlays, gross (total)	25,055	25,383	27,925
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-23,215	-24,676	-26,291
4033 Non-Federal sources	-505	-711	-743
4040 Offsets against gross budget authority and outlays (total)	-23,720	-25,387	-27,034
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	309		
4070 Budget authority, net (discretionary)	78	92	96
4080 Outlays, net (discretionary)	1,335	-4	891
Mandatory:			
4090 Budget authority, gross	1,508		
4180 Budget authority, net (total)	1,586	92	96
4190 Outlays, net (total)	1,335	-4	891

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority	3,935	5,424	5,424
5053 Obligated balance, EOY: Contract authority	5,424	5,424	5,424

The Air Force Working Capital Fund (AFWCF) finances the operations of the Air Force and the United States Transportation Command's industrial, logistical, and commercial activities. Activities performed include depot maintenance, supply support, and the transport of cargo and personnel. The AFWCF finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The AFWCF uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493003-0-4-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
22.0 Transportation of things	1		
26.0 Supplies and materials	78	92	96
99.0 Direct obligations	79	92	96
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,831	1,857	1,859
11.5 Other personnel compensation	398	339	356
11.8 Special personal services payments	63	61	63
11.9 Total personnel compensation	2,292	2,257	2,278
12.1 Civilian personnel benefits	1,037	1,081	1,174
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	121	106	104
22.0 Transportation of things	4,509	4,629	4,731
23.2 Rental payments to others	7	12	12

WORKING CAPITAL FUND, AIR FORCE—Continued
Object Classification—Continued

Identification code 097-493003-0-4-051	2019 actual	2020 est.	2021 est.
23.3 Communications, utilities, and miscellaneous charges	145	176	176
24.0 Printing and reproduction		1	1
25.1 Advisory and assistance services	362	437	352
25.2 Other services from non-Federal sources	587	706	793
25.3 Other goods and services from Federal sources	301	291	298
25.3 Other goods and services from Federal sources	7	8	9
25.3 Other goods and services from Federal sources	506	478	545
25.4 Operation and maintenance of facilities	241	266	305
25.7 Operation and maintenance of equipment	1,286	1,404	1,396
26.0 Supplies and materials	14,364	13,626	13,929
31.0 Equipment	257	211	253
99.0 Reimbursable obligations	26,023	25,690	26,357
99.9 Total new obligations, unexpired accounts	26,102	25,782	26,453

Employment Summary

Identification code 097-493003-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	32,882	30,721	32,484

WORKING CAPITAL FUND, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 097-493005-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Energy Management - Defense	565		
0008 Supply Chain Management - Defense	674	49	50
0799 Total direct obligations	1,239	49	50
0803 Doc Auto Production Service	297	301	305
0804 Defense Financial Operations	1,426	1,436	1,393
0805 Information Services	8,216	7,628	7,725
0806 Energy Management - Defense	15,395	13,130	11,116
0808 Supply Chain Management - Defense	34,393	32,153	32,433
0809 Reimbursable program activities, subtotal	59,727	54,648	52,972
0813 Defense Financial Operations - Capital Program	9	30	20
0814 Information Services - Capital Program	37	291	205
0817 Energy Management - Defense - Capital Program	31	63	59
0818 Supply Chain Management - Defense - Capital Program	72	129	118
0819 Reimbursable program activities, subtotal	149	513	402
0899 Total reimbursable obligations	59,876	55,161	53,374
0900 Total new obligations, unexpired accounts	61,115	55,210	53,424

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,341	1,507	833
1001 Discretionary unobligated balance brought fwd, Oct 1	2,341		
1011 Unobligated balance transfer from other acct [097-9999]	500		
1021 Recoveries of prior year unpaid obligations	7,320		
1025 Unobligated balance of contract authority withdrawn	-7,282		
1050 Unobligated balance (total)	2,879	1,507	833
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-4930.001]	48	49	50
Contract authority, mandatory:			
1600 Contract authority	49,948		
Spending authority from offsetting collections, discretionary:			
1700 Collected	51,416	54,487	53,935
1701 Change in uncollected payments, Federal sources	689		
1750 Spending auth from offsetting collections, disc (total)	52,105	54,487	53,935
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority	-42,358		
1900 Budget authority (total)	59,743	54,536	53,985
1930 Total budgetary resources available	62,622	56,043	54,818
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,507	833	1,394

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29,479	30,774	31,075
3010 New obligations, unexpired accounts	61,115	55,210	53,424
3020 Outlays (gross)	-52,500	-54,909	-53,545
3040 Recoveries of prior year unpaid obligations, unexpired	-7,320		
3050 Unpaid obligations, end of year	30,774	31,075	30,954
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-15,524	-16,213	-16,213
3070 Change in uncollected pymts, Fed sources, unexpired	-689		
3090 Uncollected pymts, Fed sources, end of year	-16,213	-16,213	-16,213
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13,955	14,561	14,862
3200 Obligated balance, end of year	14,561	14,862	14,741

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	52,153	54,536	53,985
Outlays, gross:			
4010 Outlays from new discretionary authority	47,971	40,907	36,178
4011 Outlays from discretionary balances	4,529	14,002	17,367
4020 Outlays, gross (total)	52,500	54,909	53,545
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-50,105	-53,431	-52,861
4033 Non-Federal sources	-1,311	-1,056	-1,074
4040 Offsets against gross budget authority and outlays (total)	-51,416	-54,487	-53,935
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-689		
4060 Additional offsets against budget authority only (total)	-689		
4070 Budget authority, net (discretionary)	48	49	50
4080 Outlays, net (discretionary)	1,084	422	-390
Mandatory:			
4090 Budget authority, gross	7,590		
4180 Budget authority, net (total)	7,638	49	50
4190 Outlays, net (total)	1,084	422	-390

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority	13,668	13,976	13,976
5053 Obligated balance, EOY: Contract authority	13,976	13,976	13,976

The Defense-Wide Working Capital Fund finances the commercial and support-type operations of the Defense Logistics Agency, the Defense Finance and Accounting Service, and the Defense Information Systems Agency. The Fund supports activities such as the Department's finance and accounting operations, information systems to include command and control systems and enterprise infrastructure, global acquisition and delivery of fuel, and document printing and distribution services. The Fund finances operating and capital expenses (excluding Military Construction) through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize its cost of operations.

Object Classification (in millions of dollars)

Identification code 097-493005-0-4-051	2019 actual	2020 est.	2021 est.
26.0 Direct obligations: Supplies and materials	1,239	49	50
99.0 Direct obligations	1,239	49	50
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,786	3,006	3,013
11.3 Other than full-time permanent	110	119	121
11.5 Other personnel compensation	164	178	210
11.8 Special personal services payments	72	76	76
11.9 Total personnel compensation	3,132	3,379	3,420
12.1 Civilian personnel benefits	1,041	1,105	1,101
13.0 Benefits for former personnel	16	15	15
21.0 Travel and transportation of persons	45	64	64
22.0 Transportation of things	923	975	997
23.1 Rental payments to GSA	37	42	40
23.2 Rental payments to others	69	111	110
23.3 Communications, utilities, and miscellaneous charges	2,219	2,258	2,286
24.0 Printing and reproduction	96	88	91

25.1	Advisory and assistance services	301	153	136
25.2	Other services from non-Federal sources	1,086	1,180	1,198
25.3	Other goods and services from Federal sources	6,758	1,559	1,800
25.3	Other goods and services from Federal sources	24	24	25
25.3	Other goods and services from Federal sources	834	1,018	1,023
25.4	Operation and maintenance of facilities	1,484	1,856	1,839
25.5	Research and development contracts	87		
25.6	Medical care	1	2	2
25.7	Operation and maintenance of equipment	3,443	3,585	3,563
26.0	Supplies and materials	36,815	36,508	34,482
31.0	Equipment	1,395	1,142	1,076
32.0	Land and structures	70	96	104
43.0	Interest and dividends		1	2
99.0	Reimbursable obligations	59,876	55,161	53,374
99.9	Total new obligations, unexpired accounts	61,115	55,210	53,424

Employment Summary

Identification code 097-493005-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	39,039	39,854	39,035

WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY

Program and Financing (in millions of dollars)

Identification code 097-493004-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Commissary Operations	1,168	995	1,146
0700 Direct program activities, subtotal	1,168	995	1,146
0801 Commissary Resale Stocks	4,451	4,482	4,438
0802 Commissary Operations	64	309	143
0810 Capital Program	3	4	5
0899 Total reimbursable obligations	4,518	4,795	4,586
0900 Total new obligations, unexpired accounts	5,686	5,790	5,732
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	282	375	380
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-4930.001]	1,266	995	1,146
Contract authority, mandatory:			
1600 Contract authority	4,454		
Spending authority from offsetting collections, discretionary:			
1700 Collected	4,529	4,800	4,569
1710 Spending authority from offsetting collections transferred to other accounts [097-9999]	-50		
1711 Spending authority from offsetting collections transferred from other accounts [097-9999]	50		
1750 Spending auth from offsetting collections, disc (total)	4,529	4,800	4,569
Spending authority from offsetting collections, mandatory:			
1826 Spending authority from offsetting collections applied to liquidate contract authority	-4,470		
1900 Budget authority (total)	5,779	5,795	5,715
1930 Total budgetary resources available	6,061	6,170	6,095
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	375	380	363
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	564	529	389
3010 New obligations, unexpired accounts	5,686	5,790	5,732
3020 Outlays (gross)	-5,721	-5,930	-5,693
3050 Unpaid obligations, end of year	529	389	428
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	564	529	389
3200 Obligated balance, end of year	529	389	428
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,795	5,795	5,715
Outlays, gross:			
4010 Outlays from new discretionary authority	5,511	5,427	5,349
4011 Outlays from discretionary balances	210	503	344

4020 Outlays, gross (total)	5,721	5,930	5,693
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-4
4033 Non-Federal sources	-4,526	-4,797	-4,565
4040 Offsets against gross budget authority and outlays (total)	-4,529	-4,800	-4,569
4070 Budget authority, net (discretionary)	1,266	995	1,146
4080 Outlays, net (discretionary)	1,192	1,130	1,124
Mandatory:			
4090 Budget authority, gross	-16		
4180 Budget authority, net (total)	1,250	995	1,146
4190 Outlays, net (total)	1,192	1,130	1,124

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority	241	225	225
5053 Obligated balance, EOY: Contract authority	225	225	225

The Defense Commissary Agency Working Capital Fund finances the cost of Commissary Operations and Resale Stocks activities. Commissary Operations pays the operating costs of 236 commissaries worldwide, agency and region headquarters, and support services. Costs include civilian pay, transportation of commissary goods overseas, rewarehousing, shelf stocking, janitorial services in each commissary, and base support as a tenant organization. Resale Stocks pays for the purchase of inventory for resale to commissary patrons.

Object Classification (in millions of dollars)

Identification code 097-493004-0-4-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	339	354	344
11.3 Other than full-time permanent	187	192	186
11.5 Other personnel compensation	31	29	28
11.9 Total personnel compensation	557	575	558
12.1 Civilian personnel benefits	173	175	168
13.0 Benefits for former personnel	4	2	1
21.0 Travel and transportation of persons	8	12	12
22.0 Transportation of things	76	79	80
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others			2
23.3 Communications, utilities, and miscellaneous charges	62	14	19
25.2 Other services from non-Federal sources	58	15	31
25.3 Other goods and services from Federal sources	24	10	28
25.3 Other goods and services from Federal sources	33	38	39
25.3 Other goods and services from Federal sources	36	20	41
25.4 Operation and maintenance of facilities	85	20	108
25.7 Operation and maintenance of equipment	10	5	13
26.0 Supplies and materials	40	28	42
31.0 Equipment	1	1	3
99.0 Direct obligations	1,168	995	1,146
Reimbursable obligations:			
22.0 Transportation of things		10	12
23.3 Communications, utilities, and miscellaneous charges		56	48
25.3 Other goods and services from Federal sources		62	47
25.3 Other goods and services from Federal sources		18	
25.3 Other goods and services from Federal sources		20	
25.4 Operation and maintenance of facilities	60	121	36
25.7 Operation and maintenance of equipment		6	
26.0 Supplies and materials	4,458	4,496	4,438
31.0 Equipment		6	5
99.0 Reimbursable obligations	4,518	4,795	4,586
99.9 Total new obligations, unexpired accounts	5,686	5,790	5,732

Employment Summary

Identification code 097-493004-0-4-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	11,121	11,408	10,908

BUILDINGS MAINTENANCE FUND

Program and Financing (in millions of dollars)

Identification code 097-4931-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Operation and Maintenance	271	274	258
0802 PFPA		37	37
0900 Total new obligations, unexpired accounts	271	311	295
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	15	10
1021 Recoveries of prior year unpaid obligations	438		
1050 Unobligated balance (total)	463	15	10
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	285	306	395
1801 Change in uncollected payments, Federal sources	-462		
1850 Spending auth from offsetting collections, mand (total)	-177	306	395
1900 Budget authority (total)	-177	306	395
1930 Total budgetary resources available	286	321	405
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	10	110
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	574	84	116
3010 New obligations, unexpired accounts	271	311	295
3020 Outlays (gross)	-323	-279	-399
3040 Recoveries of prior year unpaid obligations, unexpired	-438		
3050 Unpaid obligations, end of year	84	116	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-521	-59	-59
3070 Change in uncollected pymts, Fed sources, unexpired	462		
3090 Uncollected pymts, Fed sources, end of year	-59	-59	-59
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	53	25	57
3200 Obligated balance, end of year	25	57	-47
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	-177	306	395
Outlays, gross:			
4100 Outlays from new mandatory authority		199	295
4101 Outlays from mandatory balances	323	80	104
4110 Outlays, gross (total)	323	279	399
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-285	-306	-395
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	462		
4170 Outlays, net (mandatory)	38	-27	4
4180 Budget authority, net (total)			
4190 Outlays, net (total)	38	-27	4

The Buildings Maintenance Fund was established in accordance with direction from Congress in the 1994 Appropriations Conference Report for the General Services Administration and operates under the authority provided in 10 U.S.C. 2208. It provides for operation and maintenance of one federally owned and 13 delegated leased, and 63 non-delegated leased facilities occupied by DOD in the National Capital Region.

Object Classification (in millions of dollars)

Identification code 097-4931-0-4-051	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	13	14
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	13	13	14
12.1 Civilian personnel benefits	4	4	5
23.1 Rental payments to GSA	202	234	229
23.3 Communications, utilities, and miscellaneous charges	3		
25.1 Advisory and assistance services		9	8
25.2 Other services from non-Federal sources	9	15	15
25.3 Other goods and services from Federal sources	2		

25.4 Operation and maintenance of facilities	34	31	18
25.7 Operation and maintenance of equipment	1	1	2
26.0 Supplies and materials		1	1
31.0 Equipment	3	3	3
99.0 Reimbursable obligations	271	311	295
99.9 Total new obligations, unexpired accounts	271	311	295

Employment Summary

Identification code 097-4931-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	158	124	136

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 097-4932-0-4-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0810 Defense Counterintelligence and Security Agency		1,436	1,359
0819 Reimbursable program activities, subtotal		1,436	1,359
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			188
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097-4930.001]		200	
Spending authority from offsetting collections, discretionary:			
1700 Collected		1,424	1,424
1900 Budget authority (total)		1,624	1,424
1930 Total budgetary resources available		1,624	1,612
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		188	253
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			186
3010 New obligations, unexpired accounts		1,436	1,359
3020 Outlays (gross)		-1,250	-1,413
3050 Unpaid obligations, end of year		186	132
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			186
3200 Obligated balance, end of year		186	132

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		1,624	1,424
Outlays, gross:			
4010 Outlays from new discretionary authority		1,250	1,239
4011 Outlays from discretionary balances			174
4020 Outlays, gross (total)		1,250	1,413
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1,424	-1,424
4040 Offsets against gross budget authority and outlays (total)		-1,424	-1,424
4180 Budget authority, net (total)		200	
4190 Outlays, net (total)		-174	-11

The Defense Counterintelligence and Security Agency Working Capital Fund finances the operations of the Defense Counterintelligence and Security Agency (DCSA). The Fund operates under the authority provided in 10 U.S.C. 2208. The Fund supports DCSA's mission to conduct security background investigations for the Department of Defense and other Federal agencies.

Object Classification (in millions of dollars)

Identification code 097-4932-0-4-051	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent		314	335

11.5	Other personnel compensation	22	14
11.9	Total personnel compensation	336	349
12.1	Civilian personnel benefits	97	90
21.0	Travel and transportation of persons	21	24
23.1	Rental payments to GSA	14	14
23.3	Communications, utilities, and miscellaneous charges	5	5
24.0	Printing and reproduction	1	1
25.1	Advisory and assistance services	915	854
25.2	Other services from non-Federal sources	5	5
25.4	Operation and maintenance of facilities	6	5
25.7	Operation and maintenance of equipment	2	2
26.0	Supplies and materials	34	3
31.0	Equipment	5	7
99.9	Total new obligations, unexpired accounts	1,436	1,359

Employment Summary

Identification code 097-4932-0-4-051	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	3,513	3,315	

ALLOWANCES

Federal Funds

DEPARTMENT OF DEFENSE CLOSED ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 097-3999-0-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0020 Undistributed	38		
0900 Total new obligations, unexpired accounts (object class 26.0)	38		
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	38		
1900 Budget authority (total)	38		
1930 Total budgetary resources available	38		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	38		
3020 Outlays (gross)	-38		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	38		
Outlays, gross:			
4011 Outlays from discretionary balances	38		
4180 Budget authority, net (total)	38		
4190 Outlays, net (total)	38		

TRUST FUNDS

Trust Funds

VOLUNTARY SEPARATION INCENTIVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8335-0-7-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	108	94	77
0198 Adjustment to reconcile to budgetary accounting	1		
0199 Balance, start of year	109	94	77
Receipts:			
Current law:			
1140 Payment to Voluntary Separation Incentive Fund	31	27	22
1140 Earnings on Investments	3	2	2
1199 Total current law receipts	34	29	24
1999 Total receipts	34	29	24
2000 Total: Balances and receipts	143	123	101

Appropriations:			
Current law:			
2101 Voluntary Separation Incentive Fund	-35	-28	-23
2103 Voluntary Separation Incentive Fund	-21	-18	-16
2135 Voluntary Separation Incentive Fund	6		
2199 Total current law appropriations	-50	-46	-39
2999 Total appropriations	-50	-46	-39
5098 Adjustment to reconcile to budgetary accounting	1		
5099 Balance, end of year	94	77	62

Program and Financing (in millions of dollars)

Identification code 097-8335-0-7-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	50	46	39
0900 Total new obligations, unexpired accounts (object class 41.0)	50	46	39
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	35	28	23
1203 Appropriation (previously unavailable)(special or trust)	21	18	16
1235 Appropriations precluded from obligation (special or trust)	-6		
1260 Appropriations, mandatory (total)	50	46	39
1930 Total budgetary resources available	50	46	39
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	2
3010 New obligations, unexpired accounts	50	46	39
3020 Outlays (gross)	-50	-47	-40
3050 Unpaid obligations, end of year	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	2
3200 Obligated balance, end of year	3	2	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	50	46	39
Outlays, gross:			
4100 Outlays from new mandatory authority		46	39
4101 Outlays from mandatory balances	50	1	1
4110 Outlays, gross (total)	50	47	40
4180 Budget authority, net (total)	50	46	39
4190 Outlays, net (total)	50	47	40
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	112	89	78
5001 Total investments, EOY: Federal securities: Par value	89	78	62

Section 662 of the National Defense Authorization Act for 1992 and 1993, Public Law 102-190, established the Voluntary Separation Incentive (VSI) Fund to more effectively manage and account for the costs of the Voluntary Separation Incentive program. The VSI program provides annual payments to former active-duty servicemembers who voluntarily left service after serving more than six but less than 20 years. For all members who left service after December 31, 1992, the Department of Defense was required to deposit the total present value of their future VSI benefits into the VSI fund by the time authority to approve VSI benefits ended on December 31, 2001. DOD was also required to cover the unfunded benefits of former members who separated before January 1, 1993 through yearly, actuarially-determined Government contributions from the DOD military personnel appropriations. Permanent authority to make these payments is contained in section 8044 of the 1997 Defense Appropriations Act. The fund also receives interest on its investments.

HOST NATION SUPPORT FUND FOR RELOCATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8337-0-7-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			37
Receipts:			
Current law:			
1110 Contributions, Host National Support for U.S. Relocation Activities	127	133	135
1110 Contributions from Japan, Support for U.S. Relocation to Guam Activities	194	513	244
1140 Earnings on Investments, Host National Support for U.S. Relocation Activities	3	1	1
1140 Earnings on Investments, Support for U.S. Relocation to Guam Activities	14	36	28
1199 Total current law receipts	338	683	408
1999 Total receipts	338	683	408
2000 Total: Balances and receipts	338	683	445
Appropriations:			
Current law:			
2101 Host Nation Support Fund for Relocation	-338	-646	-379
5099 Balance, end of year		37	66

Program and Financing (in millions of dollars)

Identification code 097-8337-0-7-051	2019 actual	2020 est.	2021 est.
0010 Concept Obligations Undistributed	313	526	944
0900 Total new obligations, unexpired accounts (object class 41.0)	313	526	944

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	1,717	1,742	1,862
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	338	646	379
1800 Spending authority from offsetting collections, mandatory: Collected	30		
1801 Change in uncollected payments, Federal sources	-30		
1900 Budget authority (total)	338	646	379
1930 Total budgetary resources available	2,055	2,388	2,241
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,742	1,862	1,297

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1	339	395	151
3010 New obligations, unexpired accounts	313	526	944
3020 Outlays (gross)	-257	-770	-1,095
3050 Unpaid obligations, end of year	395	151	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-72	-42	-42
3070 Change in uncollected pymts, Fed sources, unexpired	30		
3090 Uncollected pymts, Fed sources, end of year	-42	-42	-42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	267	353	109
3200 Obligated balance, end of year	353	109	-42

Budget authority and outlays, net:

4090 Budget authority, gross	338	646	379
Outlays, gross:			
4100 Outlays from new mandatory authority	28	462	298
4101 Outlays from mandatory balances	229	308	797
4110 Outlays, gross (total)	257	770	1,095
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-30		
4140 Additional offsets against gross budget authority only: Change in uncollected pymts, Fed sources, unexpired	30		
4160 Budget authority, net (mandatory)	338	646	379
4170 Outlays, net (mandatory)	227	770	1,095
4180 Budget authority, net (total)	338	646	379
4190 Outlays, net (total)	227	770	1,095

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,372	1,727	1,863
5001 Total investments, EOY: Federal securities: Par value	1,727	1,863	1,333

Section 2350k of U.S.C. Title 10 established a trust fund for cash contributions from any nation in support of relocation of elements of the Armed Forces from or to any location within that nation. The Host Nation Support for Relocation account is financed through these cash contributions and interest accrued on the cash balances. Funds may be used to defray costs incurred in connection with the relocation for which the contribution was made.

DEPARTMENT OF DEFENSE GENERAL GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8163-0-7-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			7
Receipts:			
Current law:			
1130 Contributions, Department of Defense General Gift Fund Deposits, Department		7	7
2000 Total: Balances and receipts		7	14
5099 Balance, end of year		7	14

Program and Financing (in millions of dollars)

Identification code 097-8163-0-7-051	2019 actual	2020 est.	2021 est.
1000 Unobligated balance brought forward, Oct 1	7	7	7
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	3		
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OTHER DOD TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 021-9971-0-7-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			7
Receipts:			
Current law:			
1130 Deposits, Other DOD Trust Funds	39	5	5
1140 Interest, Other DOD Trust Funds		1	1
1140 Profits from Sale of Ships' Shores, Other DOD Trust Funds	11	20	20
1199 Total current law receipts	50	26	26
1999 Total receipts	50	26	26
2000 Total: Balances and receipts	50	26	33
Appropriations:			
Current law:			
2101 Other DOD Trust Funds	-50	-19	-21
2103 Other DOD Trust Funds	-1	-1	-1
2132 Other DOD Trust Funds	1	1	
2199 Total current law appropriations	-50	-19	-22
2999 Total appropriations	-50	-19	-22
5099 Balance, end of year		7	11

Program and Financing (in millions of dollars)

Identification code 021-9971-0-7-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Other DoD trust funds	51	26	27
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	83	85	78
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	86	85	78
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	50	19	21
1203 Appropriation (previously unavailable)(special or trust)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1260 Appropriations, mandatory (total)	50	19	22
1900 Budget authority (total)	50	19	22
1930 Total budgetary resources available	136	104	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	85	78	73
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	27	26
3010 New obligations, unexpired accounts	51	26	27
3020 Outlays (gross)	-39	-27	-28
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	27	26	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	27	26
3200 Obligated balance, end of year	27	26	25
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	50	19	22
Outlays, gross:			
4100 Outlays from new mandatory authority	14	17	20
4101 Outlays from mandatory balances	25	10	8
4110 Outlays, gross (total)	39	27	28
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-2		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	2		
4160 Budget authority, net (mandatory)	50	19	22
4170 Outlays, net (mandatory)	37	27	28
4180 Budget authority, net (total)	50	19	22
4190 Outlays, net (total)	37	27	28
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	7	7	7
5001 Total investments, EOY: Federal securities: Par value	7	7	7

This fund includes gifts and bequests limited to specific purposes by the donors. In addition, it accounts for gifts and bequests, not limited to specific use by the donors, which may be used for purposes as determined by the Secretaries of the Army, Navy, and Air Force.

Object Classification (in millions of dollars)

Identification code 021-9971-0-7-051	2019 actual	2020 est.	2021 est.
11.8 Direct obligations: Personnel compensation: Special personal services payments	1		
11.9 Total personnel compensation	1		
21.0 Travel and transportation of persons	4		
22.0 Transportation of things	1		
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2		
25.3 Other goods and services from Federal sources	4		
25.4 Operation and maintenance of facilities	1		
25.8 Subsistence and support of persons	1		
26.0 Supplies and materials	20	15	11
31.0 Equipment		1	6

41.0 Grants, subsidies, and contributions	16	10	10
99.9 Total new obligations, unexpired accounts	51	26	27

NATIONAL SECURITY EDUCATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8168-0-7-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1
2000 Total: Balances and receipts	1	1	1
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 097-8168-0-7-051	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2		
3020 Outlays (gross)	-2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2		
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	2	2	2
5001 Total investments, EOY: Federal securities: Par value	2	2	2

FOREIGN NATIONAL EMPLOYEES SEPARATION PAY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8165-0-7-051	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Foreign National Employees Separation Pay Trust Fund	4	44	44
2000 Total: Balances and receipts	4	44	44
Appropriations:			
Current law:			
2101 Foreign National Employees Separation Pay	-4	-44	-44
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-8165-0-7-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	4	44	44
0900 Total new obligations, unexpired accounts (object class 13.0)	4	44	44
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	92	96	96
1033 Recoveries of prior year paid obligations	4		
1050 Unobligated balance (total)	96	96	96
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	44	44
1900 Budget authority (total)	4	44	44
1930 Total budgetary resources available	100	140	140
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	96	96	96

FOREIGN NATIONAL EMPLOYEES SEPARATION PAY—Continued
Program and Financing—Continued

Identification code 097-8165-0-7-051	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	562	562	562
3010 New obligations, unexpired accounts	4	44	44
3020 Outlays (gross)	-4	-44	-228
3050 Unpaid obligations, end of year	562	562	378
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	562	562	562
3200 Obligated balance, end of year	562	562	378
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	44	44
Outlays, gross:			
4100 Outlays from new mandatory authority		44	44
4101 Outlays from mandatory balances	4		184
4110 Outlays, gross (total)	4	44	228
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-4		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	4		
4160 Budget authority, net (mandatory)	4	44	44
4170 Outlays, net (mandatory)		44	228
4180 Budget authority, net (total)	4	44	44
4190 Outlays, net (total)		44	228

This account funds separation payments for foreign nationals who are either employed by the Department of Defense or by a foreign government for the benefit of the Department of Defense. The payments are determined according to the applicable labor laws of the various countries.

SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES, DEFENSE

Program and Financing (in millions of dollars)

Identification code 097-8164-0-8-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Concept Obligations	244	257	253
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28	13	23
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	228	260	315
1802 Offsetting collections (previously unavailable)	29	28	21
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-28	-21	
1850 Spending auth from offsetting collections, mand (total)	229	267	336
1900 Budget authority (total)	229	267	336
1930 Total budgetary resources available	257	280	359
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	23	106
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	373	362	380
3010 New obligations, unexpired accounts	244	257	253
3020 Outlays (gross)	-255	-239	-220
3050 Unpaid obligations, end of year	362	380	413
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	373	362	380
3200 Obligated balance, end of year	362	380	413
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	229	267	336
Outlays, gross:			
4100 Outlays from new mandatory authority		58	31

4101 Outlays from mandatory balances	255	181	189
4110 Outlays, gross (total)	255	239	220
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-228	-260	-315
4180 Budget authority, net (total)	1	7	21
4190 Outlays, net (total)	27	-21	-95
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	29	28	21
5092 Unexpired unavailable balance, EOY: Offsetting collections	28	21	

This fund was established in 1992 as a result of the consolidation of Defense Commissaries. The trust fund pays commissary costs to acquire (including leases), construct, convert, expand, improve, repair, maintain, and equip the physical infrastructure of commissary stores and central processing facilities of the Defense Commissary system. Surcharge funds are also utilized for real property, environmental evaluation, and construction costs including costs for surveys, administration, overhead, planning, and design. Title 10 of the United States Code prescribes costs which may be financed by the trust fund.

Object Classification (in millions of dollars)

Identification code 097-8164-0-8-051	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
23.1 Rental payments to GSA			2
23.3 Communications, utilities, and miscellaneous charges	1	2	
25.4 Operation and maintenance of facilities	91	86	88
25.7 Operation and maintenance of equipment	67	78	74
26.0 Supplies and materials		3	
31.0 Equipment	85	80	82
32.0 Land and structures		8	7
99.0 Reimbursable obligations	244	257	253
99.9 Total new obligations, unexpired accounts	244	257	253

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
017-143517 General Fund Proprietary Interest Receipts, not Otherwise Classified, Navy		1	1
017-304117 Recoveries under the Foreign Military Sales Program, Navy	35	22	22
017-321017 General Fund Proprietary Receipts, not Otherwise Classified, Navy	72	82	82
021-301900 Recoveries for Government Property Lost or Damaged	26	12	12
021-304121 Recoveries under the Foreign Military Sales Program, Army	49	22	22
021-321021 General Fund Proprietary Receipts, not Otherwise Classified, Army	-134	68	68
057-304157 Recoveries under the Foreign Military Sales Program, Air Force	8	41	41
057-321057 General Fund Proprietary Receipts, not Otherwise Classified, Air Force	194	97	97
097-184000 Rent of Equipment and Other Personal Property		1	1
097-223600 Sale of Certain Materials in National Defense Stockpile		12	12
017-246200 Deposits for Survivor Annuity Benefits	16	21	21
097-265197 Sale of Scrap and Salvage Materials	1	1	1
097-276130 Family Housing Improvement Fund, Downward Reestimates of Subsidies	62	70	
097-304197 Recoveries under the Foreign Military Sales Program, Defense Agencies	1	5	5
097-321097 General Fund Proprietary Receipts, not Otherwise Classified, Defense Agencies	346	87	87
General Fund Offsetting receipts from the public	676	542	472
Intragovernmental payments:			
017-388517 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Navy	43	45	45
021-388521 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Army	-231	45	45
057-388557 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Air Force	251	175	175

097-388597 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Defense Agencies	81	-70	-70
General Fund Intragovernmental payments	144	195	195

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this title shall be expended for payments under a cost-plus-a-fixed-fee contract for construction, where cost estimates exceed \$25,000, to be performed within the United States, except Alaska, without the specific approval in writing of the Secretary of Defense setting forth the reasons therefor.

SEC. 102. Funds made available in this title for construction shall be available for hire of passenger motor vehicles.

SEC. 103. Funds made available in this title for construction may be used for advances to the Federal Highway Administration, Department of Transportation, for the construction of access roads as authorized by section 210 of title 23, United States Code, when projects authorized therein are certified as important to the national defense by the Secretary of Defense.

SEC. 104. None of the funds made available in this title may be used to begin construction of new bases in the United States for which specific appropriations have not been made.

SEC. 105. None of the funds made available in this title shall be used for purchase of land or land easements in excess of 100 percent of the value as determined by the Army Corps of Engineers or the Naval Facilities Engineering Command, except: (1) where there is a determination of value by a Federal court; (2) purchases negotiated by the Attorney General or the designee of the Attorney General; (3) where the estimated value is less than \$25,000; or (4) as otherwise determined by the Secretary of Defense to be in the public interest.

SEC. 106. None of the funds made available in this title shall be used to: (1) acquire land; (2) provide for site preparation; or (3) install utilities for any family housing, except housing for which funds have been made available in annual Acts making appropriations for military construction.

SEC. 107. None of the funds made available in this title for minor construction may be used to transfer or relocate any activity from one base or installation to another, without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 108. None of the funds made available in this title may be used for the procurement of steel for any construction project or activity for which American steel producers, fabricators, and manufacturers have been denied the opportunity to compete for such steel procurement.

SEC. 109. None of the funds available to the Department of Defense for military construction or family housing during the current fiscal year may be used to pay real property taxes in any foreign nation.

SEC. 110. None of the funds made available in this title may be used to initiate a new installation overseas without prior notification to the Committees on Appropriations of both Houses of Congress.

SEC. 111. None of the funds made available in this title may be obligated for architect and engineer contracts estimated by the Government to exceed \$500,000 for projects to be accomplished in Japan, in any North Atlantic Treaty Organization member country, or in countries bordering the Arabian Gulf, unless such contracts are awarded to United States firms or United States firms in joint venture with host nation firms.

SEC. 112. None of the funds made available in this title for military construction in the United States territories and possessions in the Pacific and on Kwajalein Atoll, or in countries bordering the Arabian Gulf, may be used to award any contract estimated by the Government to exceed \$1,000,000 to a foreign contractor: *Provided*, That this section shall not be applicable to contract awards for which the lowest responsive and responsible bid of a United States contractor exceeds the lowest responsive and responsible bid of a foreign contractor by greater than 20 percent: *Provided further*, That this section shall not apply to contract awards for military construction on Kwajalein Atoll for which the lowest responsive and responsible bid is submitted by a Marshallese contractor.

SEC. 113. The Secretary of Defense shall inform the appropriate committees of both Houses of Congress, including the Committees on Appropriations, of plans and scope of any proposed military exercise involving United States personnel 30 days prior to its occurring, if amounts expended for construction, either temporary or permanent, are anticipated to exceed \$100,000.

SEC. 114. Funds appropriated to the Department of Defense for construction in prior years shall be available for construction authorized for each such military

department by the authorizations enacted into law during the current session of Congress.

SEC. 115. For military construction or family housing projects that are being completed with funds otherwise expired or lapsed for obligation, expired or lapsed funds may be used to pay the cost of associated supervision, inspection, overhead, engineering and design on those projects and on subsequent claims, if any.

SEC. 116. Notwithstanding any other provision of law, any funds made available to a military department or defense agency for the construction of military projects may be obligated for a military construction project or contract, or for any portion of such a project or contract, at any time before the end of the fourth fiscal year after the fiscal year for which funds for such project were made available, if the funds obligated for such project: (1) are obligated from funds available for military construction projects; and (2) do not exceed the amount appropriated for such project, plus any amount by which the cost of such project is increased pursuant to law.

(INCLUDING TRANSFER OF FUNDS)

SEC. 117. Subject to 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, such additional amounts as may be determined by the Secretary of Defense may be transferred to: (1) the Department of Defense Family Housing Improvement Fund from amounts appropriated for construction in "Family Housing" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund; or (2) the Department of Defense Military Unaccompanied Housing Improvement Fund from amounts appropriated for construction of military unaccompanied housing in "Military Construction" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund: *Provided*, That appropriations made available to the Funds shall be available to cover the costs, as defined in section 502(5) of the Congressional Budget Act of 1974, of direct loans or loan guarantees issued by the Department of Defense pursuant to the provisions of subchapter IV of chapter 169 of title 10, United States Code, pertaining to alternative means of acquiring and improving military family housing, military unaccompanied housing, and supporting facilities.

(INCLUDING TRANSFER OF FUNDS)

SEC. 118. In addition to any other transfer authority available to the Department of Defense, amounts may be transferred from the Department of Defense Base Closure Account to the fund established by section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) to pay for expenses associated with the Homeowners Assistance Program incurred under 42 U.S.C. 3374(a)(1)(A). Any amounts transferred shall be merged with and be available for the same purposes and for the same time period as the fund to which transferred.

SEC. 119. Notwithstanding any other provision of law, funds made available in this title for operation and maintenance of family housing shall be the exclusive source of funds for repair and maintenance of all family housing units, including general or flag officer quarters: *Provided*, That not more than \$35,000 per unit may be spent annually for the maintenance and repair of any general or flag officer quarters without 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, except that an after-the-fact notification shall be submitted if the limitation is exceeded solely due to costs associated with environmental remediation that could not be reasonably anticipated at the time of the budget submission: *Provided further*, That the Under Secretary of Defense (Comptroller) is to report annually to the Committees on Appropriations of both Houses of Congress all operation and maintenance expenditures for each individual general or flag officer quarters for the prior fiscal year.

SEC. 120. Amounts contained in the Ford Island Improvement Account established by subsection (h) of section 2814 of title 10, United States Code, are appropriated and shall be available until expended for the purposes specified in subsection (i)(1) of such section or until transferred pursuant to subsection (i)(3) of such section.

(INCLUDING TRANSFER OF FUNDS)

SEC. 121. During the 5-year period after appropriations available in this Act to the Department of Defense for military construction and family housing operation and maintenance and construction have expired for obligation, upon a determination that such appropriations will not be necessary for the liquidation of obligations or for making authorized adjustments to such appropriations for obligations incurred during the period of availability of such appropriations, unobligated balances of such appropriations may be transferred into the appropriation "Foreign Currency Fluctuations, Construction, Defense", to be merged with and to be available for the same time period and for the same purposes as the appropriation to which transferred.

[(INCLUDING TRANSFER OF FUNDS)]

[SEC. 122. Amounts appropriated or otherwise made available in an account funded under the headings in this title may be transferred among projects and activities within the account in accordance with the reprogramming guidelines for military construction and family housing construction contained in Department of Defense Financial Management Regulation 7000.14-R, Volume 3, Chapter 7, of March 2011, as in effect on the date of enactment of this Act.]

[SEC. 123. None of the funds made available in this title may be obligated or expended for planning and design and construction of projects at Arlington National Cemetery.]

[SEC. 124. For an additional amount for the accounts and in the amounts specified, to remain available until September 30, 2024:]

["Military Construction, Army", \$79,500,000; "Military Construction, Navy and Marine Corps", \$374,529,000;"Military Construction, Air Force", \$288,200,000;"Military Construction, Army National Guard", \$155,000,000;"Military Construction, Air National Guard", \$57,000,000;"Military Construction, Air Force Reserve", \$24,800,000; and "Military Construction, Defense-Wide", \$66,880,000:]

[Provided, That such funds may only be obligated to carry out construction projects identified in the respective military department's unfunded priority list for fiscal year 2020 submitted to Congress: *Provided further,* That such projects are subject to authorization prior to obligation and expenditure of funds to carry out construction: *Provided further,* That not later than 30 days after enactment of this Act, the Secretary of the military department concerned, or his or her designee, shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section.]

[(RESCISSIONS OF FUNDS)]

[SEC. 125. Of the unobligated balances available to the Department of Defense from prior appropriation Acts, the following funds are hereby rescinded from the following accounts in the amounts specified:]

["Military Construction, Defense-Wide", \$45,055,000; and "NATO Security Investment Program", \$25,000,000:]

[Provided, That no amounts may be rescinded from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.]

SEC. [126] 120. For the purposes of this Act, the term "congressional defense committees" means the Committees on Armed Services of the House of Representatives and the Senate, the Subcommittee on Military Construction and Veterans Affairs of the Committee on Appropriations of the Senate, and the Subcommittee on Military Construction and Veterans Affairs of the Committee on Appropriations of the House of Representatives.

[SEC. 127. None of the funds made available by this Act may be used to carry out the closure or realignment of the United States Naval Station, Guantanamo Bay, Cuba.]

[SEC. 128. Notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this or any other Act may be used to consolidate or relocate any element of a United States Air Force Rapid Engineer Deployable Heavy Operational Repair Squadron Engineer (RED HORSE) outside of the United States until the Secretary of the Air Force: (1) completes an analysis and comparison of the cost and infrastructure investment required to consolidate or relocate a RED HORSE squadron outside of the United States versus within the United States; (2) provides to the Committees on Appropriations of both Houses of Congress ("the Committees") a report detailing the findings of the cost analysis; and (3) certifies in writing to the Committees that the preferred site for the consolidation or relocation yields the greatest savings for the Air Force: *Provided,* That the term "United States" in this section does not include any territory or possession of the United States.]

[SEC. 129. All amounts appropriated to the "Department of Defense-Military Construction, Army", "Department of Defense-Military Construction, Navy and Marine Corps", "Department of Defense-Military Construction, Air Force", and "Department of Defense-Military Construction, Defense-Wide" accounts pursuant to the authorization of appropriations in a National Defense Authorization Act specified for fiscal year 2020 in the funding table in section 4601 of that Act shall be immediately available and allotted to contract for the full scope of authorized projects.]

[SEC. 130. For an additional amount for the accounts and in the amounts specified for planning and design, for improving military installation resilience, to remain available until September 30, 2024:

"Military Construction, Army", \$20,000,000;

"Military Construction, Navy and Marine Corps", \$35,000,000; and

"Military Construction, Air Force", \$20,000,000:]

[Provided, That not later than 60 days after enactment of this Act, the Secretary of the military department concerned, or his or her designee, shall submit to the

Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under this section: *Provided further,* That the Secretary of the military department concerned may not obligate or expend any funds prior to approval by the Committees on Appropriations of both Houses of Congress of the expenditure plan required by this section.]

[SEC. 131. For an additional amount for the accounts and in the amounts specified, to remain available until September 30, 2021:

"Family Housing Operation and Maintenance, Army", \$50,000,000;

"Family Housing Operation and Maintenance, Navy and Marine Corps", \$59,600,000; and

"Family Housing Operation and Maintenance, Air Force", \$31,200,000.]

(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

[SEC. 501. Notwithstanding any other provision of law, funds made available under each heading in this title shall only be used for the purposes specifically described under that heading.] *(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)*

TITLE VIII—GENERAL PROVISIONS

[SEC. 8001. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress.]

SEC. [8002] 8001. During the current fiscal year, provisions of law prohibiting the payment of compensation to, or employment of, any person not a citizen of the United States shall not apply to personnel of the Department of Defense: *Provided,* That salary increases granted to direct and indirect hire foreign national employees of the Department of Defense funded by this Act shall not be at a rate in excess of the percentage increase authorized by law for civilian employees of the Department of Defense whose pay is computed under the provisions of section 5332 of title 5, United States Code, or at a rate in excess of the percentage increase provided by the appropriate host nation to its own employees, whichever is higher: *Provided further,* That this section shall not apply to Department of Defense foreign service national employees serving at United States diplomatic missions whose pay is set by the Department of State under the Foreign Service Act of 1980: *Provided further,* That the limitations of this provision shall not apply to foreign national employees of the Department of Defense in the Republic of Turkey.

SEC. [8003] 8002. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year, unless expressly so provided herein.

[SEC. 8004. No more than 20 percent of the appropriations in this Act which are limited for obligation during the current fiscal year shall be obligated during the last 2 months of the fiscal year: *Provided,* That this section shall not apply to obligations for support of active duty training of reserve components or summer camp training of the Reserve Officers' Training Corps.]

(TRANSFER OF FUNDS)

SEC. [8005] 8003. Upon determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed **[\$4,000,000,000] \$5,000,000,000** of working capital funds of the Department of Defense or funds made available in this Act to the Department of Defense for military functions (except military construction) between such appropriations or funds or any subdivision thereof, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: *Provided,* **[That such authority to transfer may not be used unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further,* That the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: *Provided further,* That no part of the funds in this Act shall be available to prepare or present a request to the Committees on Appropriations for reprogramming of funds, unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which reprogramming is requested has been denied by the Congress: *Provided further,* That a request for multiple reprogrammings of funds using authority provided in this section shall be made prior to June 30, 2020: *Provided further,* That transfers among military personnel appropriations shall not be taken into account for purposes of the limitation on the amount of funds that may be transferred under this section.]**

[SEC. 8006. (a) With regard to the list of specific programs, projects, and activities (and the dollar amounts and adjustments to budget activities corresponding to such programs, projects, and activities) contained in the tables titled Explanation of Project Level Adjustments in the explanatory statement regarding this Act, the obligation and expenditure of amounts appropriated or otherwise made available in this Act

for those programs, projects, and activities for which the amounts appropriated exceed the amounts requested are hereby required by law to be carried out in the manner provided by such tables to the same extent as if the tables were included in the text of this Act.

(b) Amounts specified in the referenced tables described in subsection (a) shall not be treated as subdivisions of appropriations for purposes of section 8005 of this Act: *Provided*, That section 8005 shall apply when transfers of the amounts described in subsection (a) occur between appropriation accounts.]

SEC. [8007]8004. (a) Not later than 60 days after enactment of this Act, the Department of Defense shall submit a report to the congressional defense committees to establish the baseline for application of reprogramming and transfer authorities for fiscal year [2020] 2021: *Provided*, That the report shall include—

(1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(2) a delineation in the table for each appropriation both by budget activity and program, project, and activity as detailed in the Budget Appendix; and

(3) an identification of items of special congressional interest.

(b) Notwithstanding section 8005 of this Act, none of the funds provided in this Act shall be available for reprogramming or transfer until the report identified in subsection (a) is submitted to the congressional defense committees, unless the Secretary of Defense certifies in writing to the congressional defense committees that such reprogramming or transfer is necessary as an emergency requirement: *Provided*, That this subsection shall not apply to transfers from the following appropriations accounts:

(1) "Environmental Restoration, Army";

(2) "Environmental Restoration, Navy";

(3) "Environmental Restoration, Air Force";

(4) "Environmental Restoration, Defense-Wide";

(5) "Environmental Restoration, Formerly Used Defense Sites"; [and]

(6) "Drug Interdiction and Counter-drug Activities, Defense" [.] ; and

(7) "Shipbuilding and Conversion, Navy" for the purposes of the Columbia Class Submarine program, for transfers to the National Sea-Based Deterrence Fund, pursuant to 10 U.S.C. 2218a.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8008]8005. During the current fiscal year, cash balances in working capital funds of the Department of Defense established pursuant to section 2208 of title 10, United States Code, may be maintained in only such amounts as are necessary at any time for cash disbursements to be made from such funds: *Provided*, That transfers may be made between such funds: *Provided further*, That transfers may be made between working capital funds and the "Foreign Currency Fluctuations, Defense" appropriation and the "Operation and Maintenance" appropriation accounts in such amounts as may be determined by the Secretary of Defense, with the approval of the Office of Management and Budget, except that such transfers may not be made unless the Secretary of Defense has notified the Congress of the proposed transfer: *Provided further*, That except in amounts equal to the amounts appropriated to working capital funds in this Act, no obligations may be made against a working capital fund to procure or increase the value of war reserve material inventory, unless the Secretary of Defense has notified the Congress prior to any such obligation.

[SEC. 8009. Funds appropriated by this Act may not be used to initiate a special access program without prior notification 30 calendar days in advance to the congressional defense committees.]

[SEC. 8010. None of the funds provided in this Act shall be available to initiate: (1) a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any one year of the contract or that includes an unfunded contingent liability in excess of \$20,000,000; or (2) a contract for advance procurement leading to a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any one year, unless the congressional defense committees have been notified at least 30 days in advance of the proposed contract award: *Provided*, That no part of any appropriation contained in this Act shall be available to initiate a multiyear contract for which the economic order quantity advance procurement is not funded at least to the limits of the Government's liability: *Provided further*, That no part of any appropriation contained in this Act shall be available to initiate multiyear procurement contracts for any systems or component thereof if the value of the multiyear contract would exceed \$500,000,000 unless specifically provided in this Act: *Provided further*, That no multiyear procurement contract can be terminated without 30-day prior notification to the congressional defense committees: *Provided further*, That the execution of multiyear authority shall require the use of a present value analysis to determine lowest cost compared to an annual procurement: *Provided further*, That none of the funds provided in this Act may be used for a multiyear contract executed after the date of the enactment of this Act unless in the case of any such contract—

(1) the Secretary of Defense has submitted to Congress a budget request for full funding of units to be procured through the contract and, in the case of a contract for procurement of aircraft, that includes, for any aircraft unit to be procured through the contract for which procurement funds are requested in that budget request for production beyond advance procurement activities in the fiscal year covered by the budget, full funding of procurement of such unit in that fiscal year;

(2) cancellation provisions in the contract do not include consideration of recurring manufacturing costs of the contractor associated with the production of unfunded units to be delivered under the contract;

(3) the contract provides that payments to the contractor under the contract shall not be made in advance of incurred costs on funded units; and

(4) the contract does not provide for a price adjustment based on a failure to award a follow-on contract.]

SEC. [8011]8006. Within the funds appropriated for the operation and maintenance of the Armed Forces, funds are hereby appropriated pursuant to section 401 of title 10, United States Code, for humanitarian and civic assistance costs under chapter 20 of title 10, United States Code. Such funds may also be obligated for humanitarian and civic assistance costs incidental to authorized operations and pursuant to authority granted in section 401 of chapter 20 of title 10, United States Code, and these obligations shall be reported as required by section 401(d) of title 10, United States Code: *Provided*, That funds available for operation and maintenance shall be available for providing humanitarian and similar assistance by using Civic Action Teams in the Trust Territories of the Pacific Islands and freely associated states of Micronesia, pursuant to the Compact of Free Association as authorized by Public Law 99-239: *Provided further*, That upon a determination by the Secretary of the Army that such action is beneficial for graduate medical education programs conducted at Army medical facilities located in Hawaii, the Secretary of the Army may authorize the provision of medical services at such facilities and transportation to such facilities, on a nonreimbursable basis, for civilian patients from American Samoa, the Commonwealth of the Northern Mariana Islands, the Marshall Islands, the Federated States of Micronesia, Palau, and Guam.

SEC. [8012]8007. (a) During the current fiscal year, the civilian personnel of the Department of Defense may not be managed on the basis of any end-strength, and the management of such personnel during that fiscal year shall not be subject to any constraint or limitation (known as an end-strength) on the number of such personnel who may be employed on the last day of such fiscal year.

[(b) The fiscal year 2021 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2021 Department of Defense budget request shall be prepared and submitted to the Congress as if subsections (a) and (b) of this provision were effective with regard to fiscal year 2021.]

[(c) As required by section 1107 of the National Defense Authorization Act for Fiscal Year 2014 (Public Law 113-66; 10 U.S.C. 2358 note) civilian personnel at the Department of Army Science and Technology Reinvention Laboratories may not be managed on the basis of the Table of Distribution and Allowances, and the management of the workforce strength shall be done in a manner consistent with the budget available with respect to such Laboratories.]

[(d) Nothing in this section shall be construed to apply to military (civilian) technicians.]

[SEC. 8013. None of the funds made available by this Act shall be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before the Congress.]

SEC. [8014]8008. None of the funds appropriated by this Act shall be available for the basic pay and allowances of any member of the Army participating as a full-time student and receiving benefits paid by the Secretary of Veterans Affairs from the Department of Defense Education Benefits Fund when time spent as a full-time student is credited toward completion of a service commitment: *Provided*, That this section shall not apply to those members who have reenlisted with this option prior to October 1, 1987: *Provided further*, That this section applies only to active components of the Army.

(TRANSFER OF FUNDS)

SEC. [8015]8009. Funds appropriated in title III of this Act for the Department of Defense Pilot Mentor-Protege Program may be transferred to any other appropriation contained in this Act solely for the purpose of implementing a Mentor-Protege Program developmental assistance agreement pursuant to section 831 of the National Defense Authorization Act for Fiscal Year 1991 (Public Law 101-510; 10 U.S.C. 2302 note), as amended, under the authority of this provision or any other transfer authority contained in this Act.

SEC. [8016]8010. None of the funds in this Act may be available for the purchase by the Department of Defense (and its departments and agencies) of welded shipboard anchor and mooring chain 4 inches in diameter and under unless the anchor and

mooring chain are manufactured in the United States from components which are substantially manufactured in the United States: *Provided*, That for the purpose of this section, the term "manufactured" shall include cutting, heat treating, quality control, testing of chain and welding (including the forging and shot blasting process): *Provided further*, That for the purpose of this section substantially all of the components of anchor and mooring chain shall be considered to be produced or manufactured in the United States if the aggregate cost of the components produced or manufactured in the United States exceeds the aggregate cost of the components produced or manufactured outside the United States: *Provided further*, That when adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis, the Secretary of the Service responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations that such an acquisition must be made in order to acquire capability for national security purposes.

SEC. 8017. None of the funds available in this Act to the Department of Defense, other than appropriations made for necessary or routine refurbishments, upgrades or maintenance activities, shall be used to reduce or to prepare to reduce the number of deployed and non-deployed strategic delivery vehicles and launchers below the levels set forth in the report submitted to Congress in accordance with section 1042 of the National Defense Authorization Act for Fiscal Year 2012. **]**

SEC. 8018. None of the funds appropriated by this Act shall be used for the support of any nonappropriated funds activity of the Department of Defense that procures malt beverages and wine with nonappropriated funds for resale (including such alcoholic beverages sold by the drink) on a military installation located in the United States unless such malt beverages and wine are procured within that State, or in the case of the District of Columbia, within the District of Columbia, in which the military installation is located: *Provided*, That, in a case in which the military installation is located in more than one State, purchases may be made in any State in which the installation is located: *Provided further*, That such local procurement requirements for malt beverages and wine shall apply to all alcoholic beverages only for military installations in States which are not contiguous with another State: *Provided further*, That alcoholic beverages other than wine and malt beverages, in contiguous States and the District of Columbia shall be procured from the most competitive source, price and other factors considered. **]**

SEC. 8019. None of the funds available to the Department of Defense may be used to demilitarize or dispose of M-1 Carbines, M-1 Garand rifles, M-14 rifles, .22 caliber rifles, .30 caliber rifles, or M-1911 pistols, or to demilitarize or destroy small arms ammunition or ammunition components that are not otherwise prohibited from commercial sale under Federal law, unless the small arms ammunition or ammunition components are certified by the Secretary of the Army or designee as unserviceable or unsafe for further use. **]**

SEC. 8020. No more than \$500,000 of the funds appropriated or made available in this Act shall be used during a single fiscal year for any single relocation of an organization, unit, activity or function of the Department of Defense into or within the National Capital Region: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the congressional defense committees that such a relocation is required in the best interest of the Government. **]**

SEC. 8021. Of the funds made available in this Act, \$25,000,000 shall be available for incentive payments authorized by section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544): *Provided*, That a prime contractor or a subcontractor at any tier that makes a subcontract award to any subcontractor or supplier as defined in section 1544 of title 25, United States Code, or a small business owned and controlled by an individual or individuals defined under section 4221(9) of title 25, United States Code, shall be considered a contractor for the purposes of being allowed additional compensation under section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544) whenever the prime contract or subcontract amount is over \$500,000 and involves the expenditure of funds appropriated by an Act making appropriations for the Department of Defense with respect to any fiscal year: *Provided further*, That notwithstanding section 1906 of title 41, United States Code, this section shall be applicable to any Department of Defense acquisition of supplies or services, including any contract and any subcontract at any tier for acquisition of commercial items produced or manufactured, in whole or in part, by any subcontractor or supplier defined in section 1544 of title 25, United States Code, or a small business owned and controlled by an individual or individuals defined under section 4221(9) of title 25, United States Code. **]**

SEC. [8022]8011. Funds appropriated by this Act for the Defense Media Activity shall not be used for any national or international political or psychological activities.

SEC. [8023]8012. During the current fiscal year, the Department of Defense is authorized to incur obligations of not to exceed \$350,000,000 for purposes specified in section 2350j(c) of title 10, United States Code, in anticipation of receipt of contributions, only from the Government of Kuwait, under that section: *Provided*, That,

upon receipt, such contributions from the Government of Kuwait shall be credited to the appropriations or fund which incurred such obligations.

SEC. 8024. (a) Of the funds made available in this Act, not less than \$51,800,000 shall be available for the Civil Air Patrol Corporation, of which—

(1) \$39,100,000 shall be available from "Operation and Maintenance, Air Force" to support Civil Air Patrol Corporation operation and maintenance, readiness, counter-drug activities, and drug demand reduction activities involving youth programs;

(2) \$11,000,000 shall be available from "Aircraft Procurement, Air Force"; and

(3) \$1,700,000 shall be available from "Other Procurement, Air Force" for vehicle procurement.

(b) The Secretary of the Air Force should waive reimbursement for any funds used by the Civil Air Patrol for counter-drug activities in support of Federal, State, and local government agencies. **]**

SEC. [8025]8013. (a) None of the funds appropriated in this Act are available to establish a new Department of Defense (department) federally funded research and development center (FFRDC), either as a new entity, or as a separate entity administered by an organization managing another FFRDC, or as a nonprofit membership corporation consisting of a consortium of other FFRDCs and other nonprofit entities.

(b) No member of a Board of Directors, Trustees, Overseers, Advisory Group, Special Issues Panel, Visiting Committee, or any similar entity of a defense FFRDC, and no paid consultant to any defense FFRDC, except when acting in a technical advisory capacity, may be compensated for his or her services as a member of such entity, or as a paid consultant by more than one FFRDC in a fiscal year: *Provided*, That a member of any such entity referred to previously in this subsection shall be allowed travel expenses and per diem as authorized under the Federal Joint Travel Regulations, when engaged in the performance of membership duties.

(c) Notwithstanding any other provision of law, none of the funds available to the department from any source during the current fiscal year may be used by a defense FFRDC, through a fee or other payment mechanism, for construction of new buildings not located on a military installation, for payment of cost sharing for projects funded by Government grants, for absorption of contract overruns, or for certain charitable contributions, not to include employee participation in community service and/or development.

[(d) Notwithstanding any other provision of law, of the funds available to the department during fiscal year 2020, not more than 6,053 staff years of technical effort (staff years) may be funded for defense FFRDCs: *Provided*, That, of the specific amount referred to previously in this subsection, not more than 1,148 staff years may be funded for the defense studies and analysis FFRDCs: *Provided further*, That this subsection shall not apply to staff years funded in the National Intelligence Program and the Military Intelligence Program.]

[(e) The Secretary of Defense shall, with the submission of the department's fiscal year 2021 budget request, submit a report presenting the specific amounts of staff years of technical effort to be allocated for each defense FFRDC during that fiscal year and the associated budget estimates.]

SEC. [8026]8014. None of the funds appropriated or made available in this Act shall be used to procure carbon, alloy, or armor steel plate for use in any Government-owned facility or property under the control of the Department of Defense which were not melted and rolled in the United States or Canada: *Provided*, That these procurement restrictions shall apply to any and all Federal Supply Class 9515, American Society of Testing and Materials (ASTM) or American Iron and Steel Institute (AISI) specifications of carbon, alloy or armor steel plate: *Provided further*, That the Secretary of the military department responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That these restrictions shall not apply to contracts which are in being as of the date of the enactment of this Act.

SEC. [8027]8015. For the purposes of this Act, the term "congressional defense committees" means the Armed Services Committee of the House of Representatives, the Armed Services Committee of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the Senate, and the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

SEC. [8028]8016. During the current fiscal year, the Department of Defense may acquire the modification, depot maintenance and repair of aircraft, vehicles and vessels as well as the production of components and other Defense-related articles, through competition between Department of Defense depot maintenance activities and private firms: *Provided*, That the Senior Acquisition Executive of the military department or Defense Agency concerned, with power of delegation, shall certify

that successful bids include comparable estimates of all direct and indirect costs for both public and private bids: *Provided further*, That Office of Management and Budget Circular A-76 shall not apply to competitions conducted under this section.]

SEC. [8029]8017. (a)

(1) If the Secretary of Defense, after consultation with the United States Trade Representative, determines that a foreign country which is party to an agreement described in paragraph (2) has violated the terms of the agreement by discriminating against certain types of products produced in the United States that are covered by the agreement, the Secretary of Defense shall rescind the Secretary's blanket waiver of the Buy American Act with respect to such types of products produced in that foreign country.

(2) An agreement referred to in paragraph (1) is any reciprocal defense procurement memorandum of understanding, between the United States and a foreign country pursuant to which the Secretary of Defense has prospectively waived the Buy American Act for certain products in that country.

[(b) The Secretary of Defense shall submit to the Congress a report on the amount of Department of Defense purchases from foreign entities in fiscal year 2020. Such report shall separately indicate the dollar value of items for which the Buy American Act was waived pursuant to any agreement described in subsection (a)(2), the Trade Agreement Act of 1979 (19 U.S.C. 2501 et seq.), or any international agreement to which the United States is a party.]

[(c) For purposes of this section, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. [8030]8018. During the current fiscal year, amounts contained in the Department of Defense Overseas Military Facility Investment Recovery Account established by section 2921(c)(1) of the National Defense Authorization Act [of] for 1991 (Public Law 101-510; 10 U.S.C. 2687 note) shall be available until expended for the payments specified by section [2921(c)(2) of that Act] 2687a of Title 10, United States Code, and section 2807(b)(2) of the National Defense Authorization Act for 2014 (Public Law 113-66, 127 Stat. 1011).

SEC. [8031]8019. (a) Notwithstanding any other provision of law, the Secretary of the Air Force may convey at no cost to the Air Force, without consideration, to Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington relocatable military housing units located at Grand Forks Air Force Base, Malmstrom Air Force Base, Mountain Home Air Force Base, Ellsworth Air Force Base, and Minot Air Force Base that are excess to the needs of the Air Force.

(b) The Secretary of the Air Force shall convey, at no cost to the Air Force, military housing units under subsection (a) in accordance with the request for such units that are submitted to the Secretary by the Operation Walking Shield Program on behalf of Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington. Any such conveyance shall be subject to the condition that the housing units shall be removed within a reasonable period of time, as determined by the Secretary.

(c) The Operation Walking Shield Program shall resolve any conflicts among requests of Indian tribes for housing units under subsection (a) before submitting requests to the Secretary of the Air Force under subsection (b).

(d) In this section, the term "Indian tribe" means any recognized Indian tribe included on the current list published by the Secretary of the Interior under section 104 of the Federally Recognized Indian Tribe Act of 1994 (Public Law 103-454; 108 Stat. 4792; 25 U.S.C. 5131).

SEC. [8032]8020. During the current fiscal year, appropriations which are available to the Department of Defense for operation and maintenance may be used to purchase items having an investment item unit cost of not more than \$250,000.

[SEC. 8033. None of the funds made available by this Act may be used—

(1) disestablish, or prepare to disestablish, a Senior Reserve Officers' Training Corps program in accordance with Department of Defense Instruction Number 1215.08, dated June 26, 2006; or

(2) close, downgrade from host to extension center, or place on probation a Senior Reserve Officers' Training Corps program in accordance with the information paper of the Department of the Army titled "Army Senior Reserve Officer's Training Corps (SROTC) Program Review and Criteria", dated January 27, 2014.]

[SEC. 8034. Amounts appropriated for "Procurement, Defense-Wide" in this Act may be used for the purchase of up to 24 new passenger carrying motor vehicles at a cost of not more than \$47,000 per vehicle for use by the Defense POW/MIA Accounting Agency in carrying out the responsibilities specified in section 1501 of title 10, United States Code, in the United States Indo-Pacific Command, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.]

[SEC. 8035. Up to \$14,000,000 of the funds appropriated under the heading "Operation and Maintenance, Navy" may be made available for the Asia Pacific Regional

Initiative Program for the purpose of enabling the Pacific Command to execute Theater Security Cooperation activities such as humanitarian assistance, and payment of incremental and personnel costs of training and exercising with foreign security forces: *Provided*, That funds made available for this purpose may be used, notwithstanding any other funding authorities for humanitarian assistance, security assistance or combined exercise expenses: *Provided further*, That funds may not be obligated to provide assistance to any foreign country that is otherwise prohibited from receiving such type of assistance under any other provision of law.]

[SEC. 8036. The Secretary of Defense shall issue regulations to prohibit the sale of any tobacco or tobacco-related products in military resale outlets in the United States, its territories and possessions at a price below the most competitive price in the local community: *Provided*, That such regulations shall direct that the prices of tobacco or tobacco-related products in overseas military retail outlets shall be within the range of prices established for military retail system stores located in the United States.]

[SEC. 8037. (a) During the current fiscal year, none of the appropriations or funds available to the Department of Defense Working Capital Funds shall be used for the purchase of an investment item for the purpose of acquiring a new inventory item for sale or anticipated sale during the current fiscal year or a subsequent fiscal year to customers of the Department of Defense Working Capital Funds if such an item would not have been chargeable to the Department of Defense Business Operations Fund during fiscal year 1994 and if the purchase of such an investment item would be chargeable during the current fiscal year to appropriations made to the Department of Defense for procurement.

(b) The fiscal year 2021 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2021 Department of Defense budget shall be prepared and submitted to the Congress on the basis that any equipment which was classified as an end item and funded in a procurement appropriation contained in this Act shall be budgeted for in a proposed fiscal year 2021 procurement appropriation and not in the supply management business area or any other area or category of the Department of Defense Working Capital Funds.]

SEC. [8038]8021. None of the funds appropriated by this Act for programs of the Central Intelligence Agency shall remain available for obligation beyond the current fiscal year, except for funds appropriated for the Reserve for Contingencies, which shall remain available until September 30, [2021] 2022: *Provided*, That funds appropriated, transferred, or otherwise credited to the Central Intelligence Agency Central Services Working Capital Fund during this or any prior or subsequent fiscal year shall remain available until expended: *Provided further*, That any funds appropriated or transferred to the Central Intelligence Agency for advanced research and development acquisition, for agent operations, and for covert action programs authorized by the President under section 503 of the National Security Act of 1947 (50 U.S.C. 3093) shall remain available until September 30, [2021] 2022.

[SEC. 8039. Of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Defense-Wide", not less than \$12,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.]

SEC. [8040]8022. (a) None of the funds appropriated in this Act may be expended by an entity of the Department of Defense unless the entity, in expending the funds, complies with the Buy American Act. For purposes of this subsection, the term "Buy American Act" means chapter 83 of title 41, United States Code.

(b) If the Secretary of Defense determines that a person has been convicted of intentionally affixing a label bearing a "Made in America" inscription to any product sold in or shipped to the United States that is not made in America, the Secretary shall determine, in accordance with section 2410f of title 10, United States Code, whether the person should be debarred from contracting with the Department of Defense.

(c) In the case of any equipment or products purchased with appropriations provided under this Act, it is the sense of the Congress that any entity of the Department of Defense, in expending the appropriation, purchase only American-made equipment and products, provided that American-made equipment and products are cost-competitive, quality competitive, and available in a timely fashion.

[SEC. 8041. (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used—

(1) to establish a field operating agency; or

(2) to pay the basic pay of a member of the Armed Forces or civilian employee of the department who is transferred or reassigned from a headquarters activity

if the member or employee's place of duty remains at the location of that headquarters.

(b) The Secretary of Defense or Secretary of a military department may waive the limitations in subsection (a), on a case-by-case basis, if the Secretary determines, and certifies to the Committees on Appropriations of the House of Representatives and the Senate that the granting of the waiver will reduce the personnel requirements or the financial requirements of the department.

(c) This section does not apply to—

(1) field operating agencies funded within the National Intelligence Program;

(2) an Army field operating agency established to eliminate, mitigate, or counter the effects of improvised explosive devices, and, as determined by the Secretary of the Army, other similar threats;

(3) an Army field operating agency established to improve the effectiveness and efficiencies of biometric activities and to integrate common biometric technologies throughout the Department of Defense; or

(4) an Air Force field operating agency established to administer the Air Force Mortuary Affairs Program and Mortuary Operations for the Department of Defense and authorized Federal entities.]

SEC. 8042. (a) None of the funds appropriated by this Act shall be available to convert to contractor performance an activity or function of the Department of Defense that, on or after the date of the enactment of this Act, is performed by Department of Defense civilian employees unless—

(1) the conversion is based on the result of a public-private competition that includes a most efficient and cost effective organization plan developed by such activity or function;

(2) the Competitive Sourcing Official determines that, over all performance periods stated in the solicitation of offers for performance of the activity or function, the cost of performance of the activity or function by a contractor would be less costly to the Department of Defense by an amount that equals or exceeds the lesser of—

(A) 10 percent of the most efficient organization's personnel-related costs for performance of that activity or function by Federal employees; or

(B) \$10,000,000; and

(3) the contractor does not receive an advantage for a proposal that would reduce costs for the Department of Defense by—

(A) not making an employer-sponsored health insurance plan available to the workers who are to be employed in the performance of that activity or function under the contract; or

(B) offering to such workers an employer-sponsored health benefits plan that requires the employer to contribute less towards the premium or subscription share than the amount that is paid by the Department of Defense for health benefits for civilian employees under chapter 89 of title 5, United States Code.

(b)

(1) The Department of Defense, without regard to subsection (a) of this section or subsection (a), (b), or (c) of section 2461 of title 10, United States Code, and notwithstanding any administrative regulation, requirement, or policy to the contrary shall have full authority to enter into a contract for the performance of any commercial or industrial type function of the Department of Defense that—

(A) is included on the procurement list established pursuant to section 2 of the Javits-Wagner-O'Day Act (section 8503 of title 41, United States Code);

(B) is planned to be converted to performance by a qualified nonprofit agency for the blind or by a qualified nonprofit agency for other severely handicapped individuals in accordance with that Act; or

(C) is planned to be converted to performance by a qualified firm under at least 51 percent ownership by an Indian tribe, as defined in section 4(e) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b(e)), or a Native Hawaiian Organization, as defined in section 8(a)(15) of the Small Business Act (15 U.S.C. 637(a)(15)).

(2) This section shall not apply to depot contracts or contracts for depot maintenance as provided in sections 2469 and 2474 of title 10, United States Code.

(c) The conversion of any activity or function of the Department of Defense under the authority provided by this section shall be credited toward any competitive or outsourcing goal, target, or measurement that may be established by statute, regulation, or policy and is deemed to be awarded under the authority of, and in compliance with, subsection (h) of section 2304 of title 10, United States Code, for the competition or outsourcing of commercial activities.]

SEC. 8043. Of the funds appropriated in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress for Overseas Contingency Operations/Global War on Terrorism or as an emergency requirement pursuant to the

Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended:

"Shipbuilding and Conversion, Navy: DDG-51 Destroyer", 2012/2020, \$44,500,000;

"Shipbuilding and Conversion, Navy: LCAC SLEP", 2013/2022, \$2,000,000;

"Aircraft Procurement, Army", 2018/2020, \$44,000,000;

"Missile Procurement, Army", 2018/2020, \$5,182,000;

"Weapons and Tracked Combat Vehicles, Army", 2018/2020, \$97,000,000;

"Other Procurement, Army", 2018/2020, \$5,685,000;

"Aircraft Procurement, Navy", 2018/2020, \$114,781,000;

"Other Procurement, Navy", 2018/2020, \$23,526,000;

"Procurement, Marine Corps", 2018/2020, \$9,046,000;

"Aircraft Procurement, Air Force", 2018/2020, \$160,975,000;

"Missile Procurement, Air Force", 2018/2020, \$75,973,000;

"Other Procurement, Air Force", 2018/2020, \$26,000,000;

"Operation and Maintenance, Defense-Wide: Defense Security Cooperation Agency", 2019/2020, \$21,314,000;

"Aircraft Procurement, Army", 2019/2021, \$58,600,000;

"Missile Procurement, Army", 2019/2021, \$5,798,000;

"Weapons and Tracked Combat Vehicles, Army", 2019/2021, \$215,946,000;

"Other Procurement, Army", 2019/2021, \$107,483,000;

"Aircraft Procurement, Navy", 2019/2021, \$307,100,000;

"Procurement of Ammunition, Navy and Marine Corps", 2019/2021, \$22,000,000;

"Shipbuilding and Conversion, Navy: DDG-51 Destroyer Advance Procurement", 2019/2023, \$51,000,000;

"Shipbuilding and Conversion, Navy: LPD-17 Advance Procurement", 2019/2023, \$102,900,000;

"Other Procurement, Navy", 2019/2021, \$24,770,000;

"Procurement, Marine Corps", 2019/2021, \$74,756,000;

"Aircraft Procurement, Air Force", 2019/2021, \$713,455,000;

"Missile Procurement, Air Force", 2019/2021, \$39,979,000;

"Space Procurement, Air Force", 2019/2021, \$164,300,000;

"Procurement of Ammunition, Air Force", 2019/2021, \$236,100,000;

"Procurement, Defense-Wide", 2019/2021, \$337,000,000;

"Research, Development, Test and Evaluation, Army", 2019/2020, \$150,276,000;

"Research, Development, Test and Evaluation, Navy", 2019/2020, \$230,957,000;

"Research, Development, Test and Evaluation, Air Force", 2019/2020, \$263,050,000;

"Research, Development, Test and Evaluation, Defense-Wide", 2019/2020, \$267,000,000; and

"Defense Health Program: Research, Development, Test and Evaluation", 2019/2020, \$26,200,000.]

SEC. 8044. None of the funds available in this Act may be used to reduce the authorized positions for military technicians (dual status) of the Army National Guard, Air National Guard, Army Reserve and Air Force Reserve for the purpose of applying any administratively imposed civilian personnel ceiling, freeze, or reduction on military technicians (dual status), unless such reductions are a direct result of a reduction in military force structure.]

SEC. [8045]8023. None of the funds appropriated or otherwise made available in this Act may be obligated or expended for assistance to the Democratic People's Republic of Korea unless specifically appropriated for that purpose: *Provided*, That this restriction shall not apply to any activities incidental to the Defense POW/MIA Accounting Agency mission to recover and identify the remains of United States Armed Forces personnel from the Democratic People's Republic of Korea.

SEC. [8046]8024. Funds appropriated in this Act for operation and maintenance of the Military Departments, Combatant Commands and Defense Agencies shall be available for reimbursement of pay, allowances and other expenses which would otherwise be incurred against appropriations for the National Guard and Reserve when members of the National Guard and Reserve provide intelligence or counter-intelligence support to Combatant Commands, Defense Agencies and Joint Intelligence Activities, including the activities and programs included within the National Intelligence Program and the Military Intelligence Program: *Provided*, That nothing in this section authorizes deviation from established Reserve and National Guard personnel and training procedures.

SEC. [8047]8025. (a) None of the funds available to the Department of Defense for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(b) None of the funds available to the Central Intelligence Agency for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

SEC. [8048]8026. None of the funds appropriated by this Act may be used for the procurement of ball and roller bearings other than those produced by a domestic source and of domestic origin: *Provided*, That the Secretary of the military department responsible for such procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate, that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That this restriction shall not apply to the purchase of "commercial items", as defined by section 103 of title 41, United States Code, except that the restriction shall apply to ball or roller bearings purchased as end items.

[SEC. 8049. Of the amounts appropriated for "Working Capital Fund, Army", \$129,000,000 shall be available to maintain competitive rates at the arsenals.]

[SEC. 8050. In addition to the amounts appropriated or otherwise made available elsewhere in this Act, \$44,000,000 is hereby appropriated to the Department of Defense: *Provided*, That upon the determination of the Secretary of Defense that it shall serve the national interest, the Secretary shall make grants in the amounts specified as follows: \$20,000,000 to the United Service Organizations and \$24,000,000 to the Red Cross.]

SEC. [8051]8027. None of the funds in this Act may be used to purchase any supercomputer which is not manufactured in the United States, unless the Secretary of Defense certifies to the congressional defense committees that such an acquisition must be made in order to acquire capability for national security purposes that is not available from United States manufacturers.

SEC. [8052]8028. Notwithstanding any other provision in this Act, the Small Business Innovation Research program and the Small Business Technology Transfer program set-asides shall be taken proportionally from all programs, projects, or activities to the extent they contribute to the extramural budget.

SEC. [8053]8029. None of the funds available to the Department of Defense under this Act shall be obligated or expended to pay a contractor under a contract with the Department of Defense for costs of any amount paid by the contractor to an employee when—

- (1) such costs are for a bonus or otherwise in excess of the normal salary paid by the contractor to the employee; and
- (2) such bonus is part of restructuring costs associated with a business combination.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8054]8030. During the current fiscal year, no more than \$30,000,000 of appropriations made in this Act under the heading "Operation and Maintenance, Defense-Wide" may be transferred to appropriations available for the pay of military personnel, to be merged with, and to be available for the same time period as the appropriations to which transferred, to be used in support of such personnel in connection with support and services for eligible organizations and activities outside the Department of Defense pursuant to section 2012 of title 10, United States Code.

SEC. [8055]8031. During the current fiscal year, in the case of an appropriation account of the Department of Defense for which the period of availability for obligation has expired or which has closed under the provisions of section 1552 of title 31, United States Code, and which has a negative unliquidated or unexpended balance, an obligation or an adjustment of an obligation may be charged to any current appropriation account for the same purpose as the expired or closed account if—

- (1) the obligation would have been properly chargeable (except as to amount) to the expired or closed account before the end of the period of availability or closing of that account;
- (2) the obligation is not otherwise properly chargeable to any current appropriation account of the Department of Defense; and
- (3) in the case of an expired account, the obligation is not chargeable to a current appropriation of the Department of Defense under the provisions of section 1405(b)(8) of the National Defense Authorization Act for Fiscal Year 1991, Public Law 101–510, as amended (31 U.S.C. 1551 note): *Provided*, That in the case of an expired account, if subsequent review or investigation discloses that there was not in fact a negative unliquidated or unexpended balance in the account, any charge to a current account under the authority of this section shall be reversed and recorded against the expired account: *Provided further*, That the total amount charged to a current appropriation under this section may not exceed an amount equal to 1 percent of the total appropriation for that account [:]

[*Provided*, That the Under Secretary of Defense (Comptroller) shall include with the budget of the President for fiscal year 2021 (as submitted to Congress pursuant to section 1105 of title 31, United States Code) a statement describing each instance

if any, during each of the fiscal years 2015 through 2020 in which the authority in this section was exercised].

SEC. [8056]8032. (a) Notwithstanding any other provision of law, the Chief of the National Guard Bureau may permit the use of equipment of the National Guard Distance Learning Project by any person or entity on a space-available, reimbursable basis. The Chief of the National Guard Bureau shall establish the amount of reimbursement for such use on a case-by-case basis.

(b) Amounts collected under subsection (a) shall be credited to funds available for the National Guard Distance Learning Project and be available to defray the costs associated with the use of equipment of the project under that subsection. Such funds shall be available for such purposes without fiscal year limitation.

[SEC. 8057. Of the funds appropriated in this Act under the heading "Operation and Maintenance, Defense-Wide", \$35,000,000 shall be for continued implementation and expansion of the Sexual Assault Special Victims' Counsel Program: *Provided*, That the funds are made available for transfer to the Department of the Army, the Department of the Navy, and the Department of the Air Force: *Provided further*, That funds transferred shall be merged with and available for the same purposes and for the same time period as the appropriations to which the funds are transferred: *Provided further*, That this transfer authority is in addition to any other transfer authority provided in this Act.]

SEC. [8058]8033. None of the funds appropriated in title IV of this Act may be used to procure end-items for delivery to military forces for operational training, operational use or inventory requirements: *Provided*, That this restriction does not apply to end-items used in development, prototyping, and test activities preceding and leading to acceptance for operational use: *Provided further*, [That the Secretary of Defense shall, at the time of the submittal to Congress of the budget of the President for fiscal year 2021 pursuant to section 1105 of title 31, United States Code, submit to the congressional defense committees a report detailing the use of funds requested in research, development, test and evaluation accounts for end-items used in development, prototyping and test activities preceding and leading to acceptance for operational use: *Provided further*, That the report shall set forth, for each end-item covered by the preceding proviso, a detailed list of the statutory authorities under which amounts in the accounts described in that proviso were used for such item: *Provided further*,] That this restriction does not apply to programs funded within the National Intelligence Program: *Provided further*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.

SEC. [8059]8034. (a) The Secretary of Defense may, on a case-by-case basis, waive with respect to a foreign country each limitation on the procurement of defense items from foreign sources provided in law if the Secretary determines that the application of the limitation with respect to that country would invalidate cooperative programs entered into between the Department of Defense and the foreign country, or would invalidate reciprocal trade agreements for the procurement of defense items entered into under section 2531 of title 10, United States Code, and the country does not discriminate against the same or similar defense items produced in the United States for that country.

(b) Subsection (a) applies with respect to—

- (1) contracts and subcontracts entered into on or after the date of the enactment of this Act; and
 - (2) options for the procurement of items that are exercised after such date under contracts that are entered into before such date if the option prices are adjusted for any reason other than the application of a waiver granted under subsection (a).
- (c) Subsection (a) does not apply to a limitation regarding construction of public vessels, ball and roller bearings, food, and clothing or textile materials as defined by section XI (chapters 50–65) of the Harmonized Tariff Schedule of the United States and products classified under headings 4010, 4202, 4203, 6401 through 6406, 6505, 7019, 7218 through 7229, 7304.41 through 7304.49, 7306.40, 7502 through 7508, 8105, 8108, 8109, 8211, 8215, and 9404.

[SEC. 8060. None of the funds appropriated or otherwise made available by this or other Department of Defense Appropriations Acts may be obligated or expended for the purpose of performing repairs or maintenance to military family housing units of the Department of Defense, including areas in such military family housing units that may be used for the purpose of conducting official Department of Defense business.]

SEC. [8061]8035. Notwithstanding any other provision of law, funds appropriated in this Act under the heading "Research, Development, Test and Evaluation, Defense-Wide" for any new start advanced concept technology demonstration project or joint capability demonstration project may only be obligated 45 days after a report, including a description of the project, the planned acquisition and transition strategy and

its estimated annual and total cost, has been provided in writing to the congressional defense committees.

SEC. 8062. The Secretary of Defense shall continue to provide a classified quarterly report to the House and Senate Appropriations Committees, Subcommittees on Defense on certain matters as directed in the classified annex accompanying this Act.]

SEC. [8063]8036. Notwithstanding section 12310(b) of title 10, United States Code, a Reserve who is a member of the National Guard serving on full-time National Guard duty under section 502(f) of title 32, United States Code, may perform duties in support of the ground-based elements of the National Ballistic Missile Defense System.

SEC. [8064]8037. None of the funds provided in this Act may be used to transfer to any nongovernmental entity ammunition held by the Department of Defense that has a center-fire cartridge and a United States military nomenclature designation of "armor penetrator", "armor piercing (AP)", "armor piercing incendiary (API)", or "armor-piercing incendiary tracer (API-T)", except to an entity performing demilitarization services for the Department of Defense under a contract that requires the entity to demonstrate to the satisfaction of the Department of Defense that armor piercing projectiles are either: (1) rendered incapable of reuse by the demilitarization process; or (2) used to manufacture ammunition pursuant to a contract with the Department of Defense or the manufacture of ammunition for export pursuant to a License for Permanent Export of Unclassified Military Articles issued by the Department of State.

SEC. [8065]8038. Notwithstanding any other provision of law, the Chief of the National Guard Bureau, or his designee, may waive payment of all or part of the consideration that otherwise would be required under section 2667 of title 10, United States Code, in the case of a lease of personal property for a period not in excess of 1 year to any organization specified in section 508(d) of title 32, United States Code, or any other youth, social, or fraternal nonprofit organization as may be approved by the Chief of the National Guard Bureau, or his designee, on a case-by-case basis.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8066]8039. Of the amounts appropriated in this Act under the heading "Operation and Maintenance, Army", **[\$138,103,000] \$137,724,000** shall remain available until expended: *Provided*, That, notwithstanding any other provision of law, the Secretary of Defense is authorized to transfer such funds to other activities of the Federal Government: *Provided further*, That the Secretary of Defense is authorized to enter into and carry out contracts for the acquisition of real property, construction, personal services, and operations related to projects carrying out the purposes of this section: *Provided further*, That contracts entered into under the authority of this section may provide for such indemnification as the Secretary determines to be necessary: *Provided further*, That projects authorized by this section shall comply with applicable Federal, State, and local law to the maximum extent consistent with the national security, as determined by the Secretary of Defense.

SEC. 8067. (a) None of the funds appropriated in this or any other Act may be used to take any action to modify—

(1) the appropriations account structure for the National Intelligence Program budget, including through the creation of a new appropriation or new appropriation account;

(2) how the National Intelligence Program budget request is presented in the unclassified P-1, R-1, and O-1 documents supporting the Department of Defense budget request;

(3) the process by which the National Intelligence Program appropriations are apportioned to the executing agencies; or

(4) the process by which the National Intelligence Program appropriations are allotted, obligated and disbursed.

(b) Nothing in subsection (a) shall be construed to prohibit the merger of programs or changes to the National Intelligence Program budget at or below the Expenditure Center level, provided such change is otherwise in accordance with paragraphs (a)(1)-(3).

(c) The Director of National Intelligence and the Secretary of Defense may jointly, only for the purposes of achieving auditable financial statements and improving fiscal reporting, study and develop detailed proposals for alternative financial management processes. Such study shall include a comprehensive counterintelligence risk assessment to ensure that none of the alternative processes will adversely affect counterintelligence.

(d) Upon development of the detailed proposals defined under subsection (c), the Director of National Intelligence and the Secretary of Defense shall—

(1) provide the proposed alternatives to all affected agencies;

(2) receive certification from all affected agencies attesting that the proposed alternatives will help achieve auditability, improve fiscal reporting, and will not adversely affect counterintelligence; and

(3) not later than 30 days after receiving all necessary certifications under paragraph (2), present the proposed alternatives and certifications to the congressional defense and intelligence committees.]

SEC. 8068. In addition to amounts provided elsewhere in this Act, \$10,000,000 is hereby appropriated to the Department of Defense, to remain available for obligation until expended: *Provided*, That notwithstanding any other provision of law, that upon the determination of the Secretary of Defense that it shall serve the national interest, these funds shall be available only for a grant to the Fisher House Foundation, Inc., only for the construction and furnishing of additional Fisher Houses to meet the needs of military family members when confronted with the illness or hospitalization of an eligible military beneficiary.]

(INCLUDING TRANSFER OF FUNDS)

SEC. 8069. Of the amounts appropriated for "Operation and Maintenance, Navy", up to \$1,000,000 shall be available for transfer to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105).]

SEC. 8070. None of the funds available to the Department of Defense may be obligated to modify command and control relationships to give Fleet Forces Command operational and administrative control of United States Navy forces assigned to the Pacific fleet: *Provided*, That the command and control relationships which existed on October 1, 2004, shall remain in force until a written modification has been proposed to the House and Senate Appropriations Committees: *Provided further*, That the proposed modification may be implemented 30 days after the notification unless an objection is received from either the House or Senate Appropriations Committees: *Provided further*, That any proposed modification shall not preclude the ability of the commander of United States Indo-Pacific Command to meet operational requirements.]

SEC. 8071. Any notice that is required to be submitted to the Committees on Appropriations of the Senate and the House of Representatives under section 806(c)(4) of the Bob Stump National Defense Authorization Act for Fiscal Year 2003 (10 U.S.C. 2302 note) after the date of the enactment of this Act shall be submitted pursuant to that requirement concurrently to the Subcommittees on Defense of the Committees on Appropriations of the Senate and the House of Representatives.]

(INCLUDING TRANSFER OF FUNDS)

SEC. [8072]8040. Of the amounts appropriated in this Act under the headings "Procurement, Defense-Wide" and "Research, Development, Test and Evaluation, Defense-Wide", \$500,000,000 shall be for the Israeli Cooperative Programs: *Provided*, That of this amount, **[\$95,000,000] \$73,000,000** shall be for the Secretary of Defense to provide to the Government of Israel for the procurement of the Iron Dome defense system to counter short-range rocket threats, subject to the U.S.-Israel Iron Dome Procurement Agreement, as amended; **[\$191,000,000] \$177,000,000** shall be for the Short Range Ballistic Missile Defense (SRBMD) program, including cruise missile defense research and development under the SRBMD program, of which \$50,000,000 shall be for co-production activities of SRBMD systems in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, subject to the U.S.-Israeli co-production agreement for SRBMD, as amended; **[\$55,000,000] \$77,000,000** shall be for an upper-tier component to the Israeli Missile Defense Architecture, of which **[\$55,000,000] \$77,000,000** shall be for co-production activities of Arrow 3 Upper Tier systems in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, subject to the U.S.-Israeli co-production agreement for Arrow 3 Upper Tier, as amended; and **[\$159,000,000] \$173,000,000** shall be for the Arrow System Improvement Program including development of a long range, ground and airborne, detection suite: *Provided further*, That the transfer authority provided under this provision is in addition to any other transfer authority contained in this Act.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8073. Of the amounts appropriated in this Act under the heading "Shipbuilding and Conversion, Navy", \$104,700,000 shall be available until September 30, 2020, to fund prior year shipbuilding cost increases: *Provided*, That upon enactment of this Act, the Secretary of the Navy shall transfer funds to the following appropriations in the amounts specified: *Provided further*, That the amounts transferred shall be merged with and be available for the same purposes as the appropriations to which transferred to:

(1) Under the heading "Shipbuilding and Conversion, Navy", 2016/2020: Littoral Combat Ship \$14,000,000;

(2) Under the heading "Shipbuilding and Conversion, Navy", 2016/2020: Expeditionary Sea Base \$38,000,000;

(3) Under the heading "Shipbuilding and Conversion, Navy", 2018/2020: TAO Fleet Oiler \$3,700,000; and

(4) Under the heading "Shipbuilding and Conversion, Navy", 2019/2020: Expeditionary Fast Transport \$49,000,000.]

SEC. [8074]8041. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year [2020] 2021 until the enactment of the Intelligence Authorization Act for Fiscal Year [2020] 2021.

SEC. 8075. None of the funds provided in this Act shall be available for obligation or expenditure through a reprogramming of funds that creates or initiates a new program, project, or activity unless such program, project, or activity must be undertaken immediately in the interest of national security and only after written prior notification to the congressional defense committees.]

SEC. 8076. The budget of the President for fiscal year 2021 submitted to the Congress pursuant to section 1105 of title 31, United States Code, shall include separate budget justification documents for costs of United States Armed Forces' participation in contingency operations for the Military Personnel accounts, the Operation and Maintenance accounts, the Procurement accounts, and the Research, Development, Test and Evaluation accounts: *Provided*, That these documents shall include a description of the funding requested for each contingency operation, for each military service, to include all Active and Reserve components, and for each appropriations account: *Provided further*, That these documents shall include estimated costs for each element of expense or object class, a reconciliation of increases and decreases for each contingency operation, and programmatic data including, but not limited to, troop strength for each Active and Reserve component, and estimates of the major weapons systems deployed in support of each contingency: *Provided further*, That these documents shall include budget exhibits OP-5 and OP-32 (as defined in the Department of Defense Financial Management Regulation) for all contingency operations for the budget year and the two preceding fiscal years.]

SEC. 8077. None of the funds in this Act may be used for research, development, test, evaluation, procurement or deployment of nuclear armed interceptors of a missile defense system.]

SEC. 8078. The Secretary of Defense may use up to \$650,000,000 of the amounts appropriated or otherwise made available in this Act to the Department of Defense for the rapid acquisition and deployment of supplies and associated support services pursuant to section 806 of the Bob Stump National Defense Authorization Act for Fiscal Year 2003 (Public Law 107-314; 10 U.S.C. 2302 note), but only for the purposes specified in clauses (i), (ii), (iii), and (iv) of subsection (c)(3)(B) of such section and subject to the applicable limits specified in clauses (i), (ii), and (iii) of such subsection and, in the case of clause (iv) of such subsection, subject to a limit of \$50,000,000: *Provided*, That the Secretary of Defense shall notify the congressional defense committees promptly of all uses of such authority.]

SEC. 8079. None of the funds appropriated or made available in this Act shall be used to reduce or disestablish the operation of the 53rd Weather Reconnaissance Squadron of the Air Force Reserve, if such action would reduce the WC-130 Weather Reconnaissance mission below the levels funded in this Act: *Provided*, That the Air Force shall allow the 53rd Weather Reconnaissance Squadron to perform other missions in support of national defense requirements during the non-hurricane season.]

SEC. [8080]8042. None of the funds provided in this Act shall be available for integration of foreign intelligence information unless the information has been lawfully collected and processed during the conduct of authorized foreign intelligence activities: *Provided*, That information pertaining to United States persons shall only be handled in accordance with protections provided in the Fourth Amendment of the United States Constitution as implemented through Executive Order No. 12333.

SEC. 8081. (a) None of the funds appropriated by this Act may be used to transfer research and development, acquisition, or other program authority relating to current tactical unmanned aerial vehicles (TUAVs) from the Army.

(b) The Army shall retain responsibility for and operational control of the MQ-1C Gray Eagle Unmanned Aerial Vehicle (UAV) in order to support the Secretary of Defense in matters relating to the employment of unmanned aerial vehicles.]

SEC. [8082]8043. None of the funds appropriated by this Act for programs of the Office of the Director of National Intelligence shall remain available for obligation beyond the current fiscal year, except for funds appropriated for research and technology, which shall remain available until September 30, [2021] 2022.

SEC. [8083]8044. For purposes of section 1553(b) of title 31, United States Code, any subdivision of appropriations made in this Act under the heading "Shipbuilding and Conversion, Navy" shall be considered to be for the same purpose as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in any prior fiscal year, and the 1 percent limitation shall apply to the total amount of the appropriation.

SEC. 8084. (a) Not later than 60 days after the date of enactment of this Act, the Director of National Intelligence shall submit a report to the congressional intelli-

gence committees to establish the baseline for application of reprogramming and transfer authorities for fiscal year 2020: *Provided*, That the report shall include—

(1) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(2) a delineation in the table for each appropriation by Expenditure Center and project; and

(3) an identification of items of special congressional interest.

(b) None of the funds provided for the National Intelligence Program in this Act shall be available for reprogramming or transfer until the report identified in subsection (a) is submitted to the congressional intelligence committees, unless the Director of National Intelligence certifies in writing to the congressional intelligence committees that such reprogramming or transfer is necessary as an emergency requirement.]

SEC. 8085. Notwithstanding any other provision of law, any transfer of funds, appropriated or otherwise made available by this Act, for support to friendly foreign countries in connection with the conduct of operations in which the United States is not participating, pursuant to section 331(d) of title 10, United States Code, shall be made in accordance with section 8005 or 9002 of this Act, as applicable.]

SEC. 8086. Any transfer of amounts appropriated to, credited to, or deposited in the Department of Defense Acquisition Workforce Development Fund in or for fiscal year 2020 to a military department or Defense Agency pursuant to section 1705(e)(1) of title 10, United States Code, shall be covered by and subject to section 8005 or 9002 of this Act, as applicable.]

SEC. [8087]8045. None of the funds made available by this Act for excess defense articles, assistance under section 333 of title 10, United States Code, or peacekeeping operations for the countries designated annually to be in violation of the standards of the Child Soldiers Prevention Act of 2008 (Public Law 110-457; 22 U.S.C. 2370c-1) may be used to support any military training or operation that includes child soldiers, as defined by the Child Soldiers Prevention Act of 2008, unless such assistance is otherwise permitted under section 404 of the Child Soldiers Prevention Act of 2008.

SEC. 8088. (a) None of the funds provided for the National Intelligence Program in this or any prior appropriations Act shall be available for obligation or expenditure through a reprogramming or transfer of funds in accordance with section 102A(d) of the National Security Act of 1947 (50 U.S.C. 3024(d)) that—

(1) creates a new start effort;

(2) terminates a program with appropriated funding of \$10,000,000 or more;

(3) transfers funding into or out of the National Intelligence Program; or

(4) transfers funding between appropriations, unless the congressional intelligence committees are notified 30 days in advance of such reprogramming of funds; this notification period may be reduced for urgent national security requirements.

(b) None of the funds provided for the National Intelligence Program in this or any prior appropriations Act shall be available for obligation or expenditure through a reprogramming or transfer of funds in accordance with section 102A(d) of the National Security Act of 1947 (50 U.S.C. 3024(d)) that results in a cumulative increase or decrease of the levels specified in the classified annex accompanying the Act unless the congressional intelligence committees are notified 30 days in advance of such reprogramming of funds; this notification period may be reduced for urgent national security requirements.]

SEC. 8089. For the purposes of this Act, the term "congressional intelligence committees" means the Permanent Select Committee on Intelligence of the House of Representatives, the Select Committee on Intelligence of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives, and the Subcommittee on Defense of the Committee on Appropriations of the Senate.]

(INCLUDING TRANSFER OF FUNDS)

SEC. [8090]8046. During the current fiscal year, not to exceed \$11,000,000 from each of the appropriations made in title II of this Act for "Operation and Maintenance, Army", "Operation and Maintenance, Navy", and "Operation and Maintenance, Air Force" may be transferred by the military department concerned to its central fund established for Fisher Houses and Suites pursuant to section 2493(d) of title 10, United States Code.

SEC. 8091. None of the funds appropriated by this Act may be available for the purpose of making remittances to the Department of Defense Acquisition Workforce Development Fund in accordance with section 1705 of title 10, United States Code.]

SEC. 8092. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises national security; or
- (2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.】

SEC. 【8093】8047. (a) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract for an amount in excess of \$1,000,000, unless the contractor agrees not to—

(1) enter into any agreement with any of its employees or independent contractors that requires, as a condition of employment, that the employee or independent contractor agree to resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention; or

(2) take any action to enforce any provision of an existing agreement with an employee or independent contractor that mandates that the employee or independent contractor resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention.

(b) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract unless the contractor certifies that it requires each covered subcontractor to agree not to enter into, and not to take any action to enforce any provision of, any agreement as described in paragraphs (1) and (2) of subsection (a), with respect to any employee or independent contractor performing work related to such subcontract. For purposes of this subsection, a "covered subcontractor" is an entity that has a subcontract in excess of \$1,000,000 on a contract subject to subsection (a).

(c) The prohibitions in this section do not apply with respect to a contractor's or subcontractor's agreements with employees or independent contractors that may not be enforced in a court of the United States.

(d) The Secretary of Defense may waive the application of subsection (a) or (b) to a particular contractor or subcontractor for the purposes of a particular contract or subcontract if the Secretary or the Deputy Secretary personally determines that the waiver is necessary to avoid harm to national security interests of the United States, and that the term of the contract or subcontract is not longer than necessary to avoid such harm. The determination shall set forth with specificity the grounds for the waiver and for the contract or subcontract term selected, and shall state any alternatives considered in lieu of a waiver and the reasons each such alternative would not avoid harm to national security interests of the United States. The Secretary of Defense shall transmit to Congress, and simultaneously make public, any determination under this subsection not less than 15 business days before the contract or subcontract addressed in the determination may be awarded.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8094】8048. From within the funds appropriated for operation and maintenance for the Defense Health Program in this Act, up to 【\$127,000,000,】\$137,000,000 shall be available for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund in accordance with the provisions of section 1704 of the National Defense Authorization Act for Fiscal Year 2010, Public Law 111-84: *Provided*, That for purposes of section 1704(b), the facility operations funded are operations of the integrated Captain James A. Lovell Federal Health Care Center, consisting of the North Chicago Veterans Affairs Medical Center, the Navy Ambulatory Care Center, and supporting facilities designated as a combined Federal medical facility as described by section 706 of Public Law 110-417: *Provided further*, That additional funds may be transferred from funds appropriated for operation and maintenance for the Defense Health Program to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Defense to the Committees on Appropriations of the House of Representatives and the Senate.

【SEC. 8095. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Defense or a component thereof in contravention of the provisions of section 130h of title 10, United States Code.】

SEC. 【8096】8049. Appropriations available to the Department of Defense may be used for the purchase of heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$450,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

(INCLUDING TRANSFER OF FUNDS)

SEC. 【8097】8050. Upon a determination by the Director of National Intelligence that such action is necessary and in the national interest, the Director may, with the approval of the Office of Management and Budget, transfer not to exceed \$1,500,000,000 of the funds made available in this Act for the National Intelligence

Program: *Provided*, That such authority to transfer may not be used unless for higher priority items, based on unforeseen intelligence requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further*, That a request for multiple reprogrammings of funds using authority provided in this section shall be made prior to June 30, 2020.】

【SEC. 8098. None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense.】

【SEC. 8099. None of the funds appropriated or otherwise made available in this Act may be used to transfer any individual detained at United States Naval Station Guantanamo Bay, Cuba, to the custody or control of the individual's country of origin, any other foreign country, or any other foreign entity except in accordance with section 1034 of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114-92) and section 1035 of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Public Law 115-232).】

【SEC. 8100. None of the funds made available by this Act may be used in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.).】

【SEC. 8101. (a) None of the funds appropriated or otherwise made available by this or any other Act may be used by the Secretary of Defense, or any other official or officer of the Department of Defense, to enter into a contract, memorandum of understanding, or cooperative agreement with, or make a grant to, or provide a loan or loan guarantee to Rosoboronexport or any subsidiary of Rosoboronexport.

(b) The Secretary of Defense may waive the limitation in subsection (a) if the Secretary, in consultation with the Secretary of State and the Director of National Intelligence, determines that it is in the vital national security interest of the United States to do so, and certifies in writing to the congressional defense committees that, to the best of the Secretary's knowledge:

(1) Rosoboronexport has ceased the transfer of lethal military equipment to, and the maintenance of existing lethal military equipment for, the Government of the Syrian Arab Republic;

(2) The armed forces of the Russian Federation have withdrawn from Crimea, other than armed forces present on military bases subject to agreements in force between the Government of the Russian Federation and the Government of Ukraine; and

(3) Agents of the Russian Federation have ceased taking active measures to destabilize the control of the Government of Ukraine over eastern Ukraine.

(c) The Inspector General of the Department of Defense shall conduct a review of any action involving Rosoboronexport with respect to a waiver issued by the Secretary of Defense pursuant to subsection (b), and not later than 90 days after the date on which such a waiver is issued by the Secretary of Defense, the Inspector General shall submit to the congressional defense committees a report containing the results of the review conducted with respect to such waiver.】

SEC. 【8102】8051. None of the funds made available in this Act may be used for the purchase or manufacture of a flag of the United States unless such flags are treated as covered items under section 2533a(b) of title 10, United States Code.

【SEC. 8103. (a) None of the funds appropriated or otherwise made available in this or any other Act may be used to construct, acquire, or modify any facility in the United States, its territories, or possessions to house any individual described in subsection (c) for the purposes of detention or imprisonment in the custody or under the effective control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

(1) is not a citizen of the United States or a member of the Armed Forces of the United States; and

(2) is—

(A) in the custody or under the effective control of the Department of Defense; or

(B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba.】

【SEC. 8104. (a) Of the funds appropriated in this Act for the Department of Defense, amounts should be made available, under such regulations as the Secretary of Defense may prescribe, to local military commanders appointed by the Secretary, or by an officer or employee designated by the Secretary, to provide at their discretion

ex gratia payments in amounts consistent with subsection (d) of this section for damage, personal injury, or death that is incident to combat operations of the Armed Forces in a foreign country.

(b) An ex gratia payment under this section may be provided only if—

(1) the prospective foreign civilian recipient is determined by the local military commander to be friendly to the United States;

(2) a claim for damages would not be compensable under chapter 163 of title 10, United States Code (commonly known as the "Foreign Claims Act"); and

(3) the property damage, personal injury, or death was not caused by action by an enemy.

(c) Any payments provided under a program under subsection (a) shall not be considered an admission or acknowledgement of any legal obligation to compensate for any damage, personal injury, or death.

(d) If the Secretary of Defense determines a program under subsection (a) to be appropriate in a particular setting, the amounts of payments, if any, to be provided to civilians determined to have suffered harm incident to combat operations of the Armed Forces under the program should be determined pursuant to regulations prescribed by the Secretary and based on an assessment, which should include such factors as cultural appropriateness and prevailing economic conditions.

(e) Local military commanders shall receive legal advice before making ex gratia payments under this subsection. The legal advisor, under regulations of the Department of Defense, shall advise on whether an ex gratia payment is proper under this section and applicable Department of Defense regulations.

(f) A written record of any ex gratia payment offered or denied shall be kept by the local commander and on a timely basis submitted to the appropriate office in the Department of Defense as determined by the Secretary of Defense.

(g) The Secretary of Defense shall report to the congressional defense committees on an annual basis the efficacy of the ex gratia payment program including the number of types of cases considered, amounts offered, the response from ex gratia payment recipients, and any recommended modifications to the program.】

【SEC. 8105. The Secretary of Defense shall post grant awards on a public website in a searchable format.】

【SEC. 8106. The Secretary of each military department, in reducing each research, development, test and evaluation and procurement account of the military department as required under paragraph (1) of section 828(d) of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114–92; 10 U.S.C. 2430 note), as amended by section 825(a)(3) of the National Defense Authorization Act for Fiscal Year 2018, shall allocate the percentage reduction determined under paragraph (2) of such section 828(d) proportionally from all programs, projects, or activities under such account: *Provided*, That the authority under section 804(d)(2) of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114–92; 10 U.S.C. 2302 note) to transfer amounts available in the Rapid Prototyping Fund shall be subject to section 8005 or 9002 of this Act, as applicable.】

SEC. 【8107】8052. None of the funds made available by this Act may be used by the National Security Agency to—

(1) conduct an acquisition pursuant to section 702 of the Foreign Intelligence Surveillance Act of 1978 for the purpose of targeting a United States person; or

(2) acquire, monitor, or store the contents (as such term is defined in section 2510(8) of title 18, United States Code) of any electronic communication of a United States person from a provider of electronic communication services to the public pursuant to section 501 of the Foreign Intelligence Surveillance Act of 1978.

【SEC. 8108. None of the funds made available in this or any other Act may be used to pay the salary of any officer or employee of any agency funded by this Act who approves or implements the transfer of administrative responsibilities or budgetary resources of any program, project, or activity financed by this Act to the jurisdiction of another Federal agency not financed by this Act without the express authorization of Congress: *Provided*, That this limitation shall not apply to transfers of funds expressly provided for in Defense Appropriations Acts, or provisions of Acts providing supplemental appropriations for the Department of Defense.】

SEC. 【8109】8053. Of the amounts appropriated in this Act for "Operation and Maintenance, Navy", 【\$352,044,000】 \$436,029,000, to remain available until expended, may be used for any purposes related to the National Defense Reserve Fleet established under section 11 of the Merchant Ship Sales Act of 1946 (46 U.S.C. 57100): *Provided*, That such amounts are available for reimbursements to the Ready Reserve Force, Maritime Administration account of the United States Department of Transportation for programs, projects, activities, and expenses related to the National Defense Reserve Fleet.

【SEC. 8110. None of the funds made available in this Act may be obligated for activities authorized under section 1208 of the Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (Public Law 112–81; 125 Stat. 1621) to initiate support for, or expand support to, foreign forces, irregular forces, groups,

or individuals unless the congressional defense committees are notified in accordance with the direction contained in the classified annex accompanying this Act, not less than 15 days before initiating such support: *Provided*, That none of the funds made available in this Act may be used under section 1208 for any activity that is not in support of an ongoing military operation being conducted by United States Special Operations Forces to combat terrorism: *Provided further*, That the Secretary of Defense may waive the prohibitions in this section if the Secretary determines that such waiver is required by extraordinary circumstances and, by not later than 72 hours after making such waiver, notifies the congressional defense committees of such waiver.】

【SEC. 8111. None of the funds made available by this Act may be used with respect to Iraq in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.), including for the introduction of United States armed forces into hostilities in Iraq, into situations in Iraq where imminent involvement in hostilities is clearly indicated by the circumstances, or into Iraqi territory, airspace, or waters while equipped for combat, in contravention of the congressional consultation and reporting requirements of sections 3 and 4 of such Resolution (50 U.S.C. 1542 and 1543).】

【SEC. 8112. The Secretary of Defense, in consultation with the Service Secretaries, shall submit two reports to the congressional defense committees, not later than March 1, 2020, and not later than September 1, 2020, detailing the submission of records during the previous 6 months to databases accessible to the National Instant Criminal Background Check System (NICS), including the Interstate Identification Index (III), the National Crime Information Center (NCIC), and the NICS Index, as required by Public Law 110–180: *Provided*, That such reports shall provide the number and category of records submitted by month to each such database, by Service or Component: *Provided further*, That such reports shall identify the number and category of records submitted by month to those databases for which the Identification for Firearm Sales (IFFS) flag or other database flags were used to pre-validate the records and indicate that such persons are prohibited from receiving or possessing a firearm: *Provided further*, That such reports shall describe the steps taken during the previous 6 months, by Service or Component, to ensure complete and accurate submission and appropriate flagging of records of individuals prohibited from gun possession or receipt pursuant to 18 U.S.C. 922(g) or (n) including applicable records involving proceedings under the Uniform Code of Military Justice.】

【SEC. 8113. (a) None of the funds provided in this Act for the TAO Fleet Oiler program shall be used to award a new contract that provides for the acquisition of the following components unless those components are manufactured in the United States: Auxiliary equipment (including pumps) for shipboard services; propulsion equipment (including engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes.

(b) None of the funds provided in this Act for the FFG(X) Frigate program shall be used to award a new contract that provides for the acquisition of the following components unless those components are manufactured in the United States: Air circuit breakers; gyrocompasses; electronic navigation chart systems; steering controls; pumps; propulsion and machinery control systems; totally enclosed lifeboats; auxiliary equipment pumps; shipboard cranes; auxiliary chill water systems; and propulsion propellers: *Provided*, That the Secretary of the Navy shall incorporate United States manufactured propulsion engines and propulsion reduction gears into the FFG(X) Frigate program beginning not later than with the eleventh ship of the program.】

【SEC. 8114. No amounts credited or otherwise made available in this or any other Act to the Department of Defense Acquisition Workforce Development Fund may be transferred to:

(1) the Rapid Prototyping Fund established under section 804(d) of the National Defense Authorization Act for Fiscal Year 2016 (10 U.S.C. 2302 note); or

(2) credited to a military-department specific fund established under section 804(d)(2) of the National Defense Authorization Act for Fiscal Year 2016 (as amended by section 897 of the National Defense Authorization Act for Fiscal Year 2017).】

【SEC. 8115. None of the funds made available by this Act may be used for Government Travel Charge Card expenses by military or civilian personnel of the Department of Defense for gaming, or for entertainment that includes topless or nude entertainers or participants, as prohibited by Department of Defense FMR, Volume 9, Chapter 3 and Department of Defense Instruction 1015.10 (enclosure 3, 14a and 14b).】

【SEC. 8116. Notwithstanding any other provision of law, from funds made available to the Department of Defense in title II of this Act under the heading "Operation and Maintenance, Defense-Wide", \$15,000,000 shall be available for a project in a country designated by the Secretary of Defense: *Provided*, That in furtherance of the project, the Department of Defense is authorized to acquire services, including services performed pursuant to a grant agreement, from another Federal agency, on an advance of funds or reimbursable basis: *Provided further*, That an order for ser-

vices placed under this section is deemed to be an obligation in the same manner that a similar order placed under a contract with a private contractor is an obligation.]

SEC. 8117. None of the funds appropriated by this Act may be made available to deliver F-35 air vehicles or any other F-35 weapon system equipment to the Republic of Turkey, except in accordance with section 1245 of the National Defense Authorization Act for Fiscal Year 2020.]

[(INCLUDING TRANSFER OF FUNDS)]

SEC. 8118. Of the amounts appropriated in this Act, the Secretary of Defense may use up to \$82,046,000 under the heading "Operation and Maintenance, Defense-Wide", and up to \$44,001,000 under the heading "Research, Development, Test and Evaluation, Defense-Wide" to develop, replace, and sustain Federal Government security and suitability background investigation information technology systems of the Office of Personnel Management or other Federal agency responsible for conducting such investigations: *Provided*, That the Secretary may transfer additional amounts into these headings or into "Procurement, Defense-Wide" using established reprogramming procedures prescribed in the Department of Defense Financial Management Regulation 7000.14, Volume 3, Chapter 6, dated September 2015: *Provided further*, That such funds shall supplement, not supplant any other amounts made available to other Federal agencies for such purposes.]

SEC. 8119. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network is designed to block access to pornography websites.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities, or for any activity necessary for the national defense, including intelligence activities.]

SEC. 8120. Notwithstanding any other provision of law, any transfer of funds appropriated or otherwise made available by this Act to the Global Engagement Center established by section 1287 of the National Defense Authorization Act for Fiscal Year 2017 (Public Law 114-328; 130 Stat. 22 U.S.C. 2656 note) shall be made in accordance with section 8005 or 9002 of this Act, as applicable, but only after the amount so transferred exceeds \$20,000,000, the amount appropriated in this Act for the Global Engagement Center.]

SEC. 8121. In addition to amounts provided elsewhere in this Act, there is appropriated \$315,000,000, for an additional amount for "Operation and Maintenance, Defense-Wide", to remain available until expended: *Provided*, That such funds shall only be available to the Secretary of Defense, acting through the Office of Economic Adjustment of the Department of Defense, or for transfer to the Secretary of Education, notwithstanding any other provision of law, to make grants, conclude cooperative agreements, or supplement other Federal funds to construct, renovate, repair, or expand elementary and secondary public schools on military installations in order to address capacity or facility condition deficiencies at such schools: *Provided further*, That in making such funds available, the Office of Economic Adjustment or the Secretary of Education shall give priority consideration to those military installations with schools having the most serious capacity or facility condition deficiencies as determined by the Secretary of Defense: *Provided further*, That as a condition of receiving funds under this section a local educational agency or State shall provide a matching share as described in the notice titled "Department of Defense Program for Construction, Renovation, Repair or Expansion of Public Schools Located on Military Installations" published by the Department of Defense in the Federal Register on September 9, 2011 (76 Fed. Reg. 55883 et seq.): *Provided further*, That these provisions apply to funds provided under this section, and to funds previously provided by Congress to construct, renovate, repair, or expand elementary and secondary public schools on military installations in order to address capacity or facility condition deficiencies at such schools to the extent such funds remain unobligated on the date of enactment of this section.]

SEC. 8122. None of the funds made available by this Act may be used to carry out the closure or realignment of the United States Naval Station, Guantanamo Bay, Cuba.]

SEC. 8123. In carrying out the program described in the memorandum on the subject of "Policy for Assisted Reproductive Services for the Benefit of Seriously or Severely Ill/Injured (Category II or III) Active Duty Service Members" issued by the Assistant Secretary of Defense for Health Affairs on April 3, 2012, and the guidance issued to implement such memorandum, the Secretary of Defense shall apply such policy and guidance, except that—

(1) the limitation on periods regarding embryo cryopreservation and storage set forth in part III(G) and in part IV(H) of such memorandum shall not apply; and

(2) the term "assisted reproductive technology" shall include embryo cryopreservation and storage without limitation on the duration of such cryopreservation and storage.]

SEC. 8124. None of the funds made available by this Act may be used to provide arms, training, or other assistance to the Azov Battalion.]

SEC. [8125]8054. None of the funds provided for, or otherwise made available, in this or any other Act, may be obligated or expended by the Secretary of Defense to provide motorized vehicles, aviation platforms, munitions other than small arms and munitions appropriate for customary ceremonial honors, operational military units, or operational military platforms if the Secretary determines that providing such units, platforms, or equipment would undermine the readiness of such units, platforms, or equipment.

SEC. [8126]8055. The Secretary of Defense may obligate and expend funds made available under this Act for procurement or for research, development, test and evaluation for the F-35 Joint Strike Fighter to modify up to six F-35 aircraft, including up to two F-35 aircraft of each variant, to a test configuration: *Provided*, That the Secretary of Defense shall, with the concurrence of the Secretary of the Air Force and the Secretary of the Navy, notify the congressional defense committees not fewer than 30 days prior to obligating and expending funds under this section: *Provided further*, That any transfer of funds pursuant to the authority provided in this section shall be made in accordance with section 8005 or 9002 of this Act, as appropriate, if applicable: *Provided further*, That aircraft referred to previously in this section are not additional to aircraft referred to in section 8135 of the Department of Defense Appropriations Act, 2019 and section 8126 of the Department of Defense Appropriations Act, 2020.

SEC. 8127. Amounts appropriated for "Defense Health Program" in this Act and hereafter may be obligated to make death gratuity payments, as authorized in subchapter II of chapter 75 of title 10, United States Code, if no appropriation for "Military Personnel" is available for obligation for such payments: *Provided*, That such obligations may subsequently be recorded against appropriations available for "Military Personnel".]

SEC. 8128. (a) None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting such tax liability, provided that the applicable Federal agency is aware of the unpaid Federal tax liability.

(b) Subsection (a) shall not apply if the applicable Federal agency has considered suspension or debarment of the corporation described in such subsection and has made a determination that such suspension or debarment is not necessary to protect the interests of the Federal Government.]

SEC. 8129. None of the funds made available by this Act may be used in contravention of—

(1) Executive Order No. 13175 (65 Fed. Reg. 67249; relating to consultation and coordination with Indian Tribal governments); or

(2) section 1501.2(d)(2) of title 40, Code of Federal Regulations.]

SEC. [8130]8056. During fiscal year [2020] 2021, any advance billing for background investigation services and related services purchased from activities financed using Defense Working Capital Funds shall be excluded from the calculation of cumulative advance billings under section 2208(l)(3) of title 10, United States Code.

SEC. 8131. None of the funds appropriated or otherwise made available by this Act may be used to transfer the National Reconnaissance Office to the Space Force.]

SEC. 8132. The Secretary of Defense shall submit to the Committees on Appropriations the reports required by section 596 of the National Defense Authorization Act for Fiscal Year 2020.]

SEC. 8133. Notwithstanding any other provision of this Act, to reflect savings due to favorable foreign exchange rates, the total amount appropriated in this Act is hereby reduced by \$81,559,000.]

SEC. 8057. Funds appropriated in titles I and IX of this Act under headings for "Military Personnel" may be used for expenses described therein for members of the Space Force on active duty: Provided, That amounts appropriated under such headings may be used for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund.

[(INCLUDING TRANSFER OF FUNDS)]

SEC. 8058. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2021 from appropriations made available for operation and maintenance for fiscal year 2021 in titles II and IX of this Act, shall remain available through September 30, 2022, for each such account for the purposes authorized: Provided, That prior to the obligation of such funds, the Department of Defense shall submit to the Commit-

tees on Appropriations of the Senate and the House of Representatives a notification of the amount made available for obligation.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8059. Until canceled under chapter 15 of title 31, United States Code, after the end of the period of availability for obligation of a fixed appropriation account, unobligated, expired balances of funds appropriated in this Act for the Department of the Navy shall be available to transfer to any available Navy ship construction appropriation for the purpose of construction, acquisition or conversion of vessels and the construction of shipbuilding facilities: Provided, That the transfer authority provided under this paragraph is in addition to any other transfer authority provided to the Secretary of Defense by law: Provided further, That funds transferred under this authority shall be merged with and available for the same purposes and for the same time period as the appropriations to which transferred.

SEC. 8060. Section 8070 of the Department of Defense Appropriations Act, 2005 (Public Law 108–2878, 118 Stat. 987, 10 U.S.C. 2241 note) is amended by striking "Operation and maintenance and for the Defense Health Program" and inserting "the Department of Defense", and after the proviso by inserting ": Provided further, That obligations for such costs are deemed to be obligations in the same manner that a contract placed with a commercial manufacturer or private contractor is an obligation".

(INCLUDING TRANSFER OF FUNDS)

SEC. 8061. For Software and Digital Technology Pilot Programs—

(a) Amounts provided in appropriations for research, development, test and evaluation appropriations provided in titles IV and VI of this Act for designated Software and Digital Technology Pilot Programs may be used for expenses necessary for agile development, test and evaluation, procurement, production and modification, and the operation and maintenance of Software and Digital Technology Pilot Programs.

(b) In addition to use for research, development, test and evaluation activities, programs designated as Software and Digital Technology Pilot Programs by the Secretary of Defense or the explanatory statement accompanying this Act may use research, development, test and evaluation appropriations for expenses necessary for agile procurement, production and modification, and the operation and maintenance of Pilot Program requirements.

(c) Software and Digital Technology Pilot Program requirements eligible for funding under this provision include software, electronic tools, systems, applications, resources, acquisition of services, business process re-engineering activities, functional requirements development, technical evaluations, and other activities in direct support of acquiring, developing, deploying, sustaining, enhancing, and modernizing Software and Digital Technology Pilot Programs.

(d) Additional Software and Digital Technology Pilot Programs may be initiated with prior notification to the congressional defense committees.

(e) Removal of Pilot Programs.—A system project participating in the Pilot Program may be removed from the Program if the project has not been successful in meeting criteria established for the Pilot Program by the Secretary of Defense.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8062. Amounts deposited during the current fiscal year to the special account established for receipts from museum program revenue-generating activities are available until expended for purposes authorized by law: Provided, That amounts may be transferred from such account to appropriations or funds of the Department of Defense otherwise available to support military museum program activities, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided further, That the transfer authority provided in this section is in addition to any other transfer authority provided elsewhere in this Act.

SEC. 8063. Fraud-related losses recovered by the United States from a contractor in a civil or criminal proceeding that otherwise would be accounted for as a refund to an expired or closed appropriation of the Department of Defense shall be credited to current appropriation accounts of the Department of Defense available for the same purpose. If such an appropriation cannot administratively be determined, amounts recovered shall be credited to current appropriation accounts of the Department of Defense available for operation and maintenance.

SEC. 8064. Of funds made available by section 8102 of the Department of Defense Appropriations Act, 2014 (division C of Public Law 113–76), up to \$13,000,000 shall be available to make grants, conclude cooperative agreements, and supplement other Federal funds for the following authorized purposes: public healthcare professionals and public health laboratory staff, laboratory and medical equipment, and medical supplies: Provided, That the Secretary of Defense shall, not fewer than 15 days prior to obligating funds for any of the forgoing authorized purposes, notify the congressional defense committees in writing of the details of any such obligation.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8065. Pursuant to a request by the Secretary of Energy, and upon determination by the Director of the Office of Management and Budget in consultation with the Secretary of Defense that such action is necessary, the Secretary of Defense may, with the approval of the Director of the Office of Management and Budget, transfer not to exceed \$2,500,000,000 of funds made available in this Act to the Department of Defense for military functions (except military construction) to the Department of Energy, National Nuclear Security Administration, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided, that the Secretary of Defense shall notify the Congress promptly of all transfers made to this authority or any other authority in this Act: Provided further, That this transfer authority is in addition to any other transfer authority provided in this Act. (Department of Defense Appropriations Act, 2020.)

GENERAL PROVISIONS—THIS TITLE

【SEC. 10001. Notwithstanding any other provision of law, funds made available under each heading in this title shall only be used for the purposes specifically described under that heading.】 (Department of Defense Appropriations Act, 2020.)

OVERSEAS CONTINGENCY OPERATIONS

DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

MILITARY PERSONNEL

ACTIVE AND RESERVE FORCES

The following amounts include funds for pay, allowances, subsistence, and other personnel costs for Active and Reserve component service members activated for duty in Afghanistan, in Iraq and the Levant, and other areas around the world in support of Operation Freedom's Sentinel, Operation Inherent Resolve, and related missions as well as support for expanded European deterrence activities. The request includes funding for Guard and Reserve mobilization costs and many special pays for deployed troops, including imminent danger pay, family separation allowance, hardship duty pay, and other special and incentive pays.

Federal Funds

MILITARY PERSONNEL, ARMY

(Overseas contingency operations)

For an additional amount for "Military Personnel, Army", **[\$2,743,132,000] \$2,748,033,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021–2010–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and Allowances of Officers		790	
0002 Pay and Allowances of Enlisted		1,533	
0004 Subsistence of Enlisted Personnel		386	
0005 Permanent change of station travel		6	
0006 Other military personnel costs		33	
0900 Total new obligations, unexpired accounts		2,748	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		2,748	
1930 Total budgetary resources available		2,748	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		2,748	
3020 Outlays (gross)		-2,473	
3050 Unpaid obligations, end of year		275	
Memorandum (non-add) entries:			
3200 Obligated balance, end of year		275	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		2,748	
Outlays, gross:			
4010 Outlays from new discretionary authority		2,473	
4180 Budget authority, net (total)		2,748	
4190 Outlays, net (total)		2,473	

Object Classification (in millions of dollars)

Identification code 021–2010–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing		491	
11.7 Military personnel		1,734	
11.9 Total personnel compensation		2,225	
12.2 Military personnel benefits		331	

12.2 Military personnel benefits	155
13.0 Benefits for former personnel	19
22.0 Transportation of things	4
42.0 Insurance claims and indemnities	10
43.0 Interest and dividends	4
99.9 Total new obligations, unexpired accounts	2,748

MILITARY PERSONNEL, NAVY

(Overseas contingency operations)

For an additional amount for "Military Personnel, Navy", **[\$356,392,000] \$382,286,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017–1453–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and allowances of officers		142	
0002 Pay and allowances of enlisted personnel		188	
0004 Subsistence of enlisted personnel		31	
0005 Permanent change of station travel		12	
0006 Other Military Personnel Costs		9	
0900 Total new obligations, unexpired accounts		382	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		382	
1930 Total budgetary resources available		382	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		382	
3020 Outlays (gross)		-348	
3050 Unpaid obligations, end of year		34	
Memorandum (non-add) entries:			
3200 Obligated balance, end of year		34	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		382	
Outlays, gross:			
4010 Outlays from new discretionary authority		348	
4180 Budget authority, net (total)		382	
4190 Outlays, net (total)		348	

Object Classification (in millions of dollars)

Identification code 017–1453–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing		72	
11.7 Military personnel		210	
11.9 Total personnel compensation		282	
12.2 Military personnel benefits		44	
12.2 Military personnel benefits		34	
13.0 Benefits for former personnel		8	
21.0 Travel and transportation of persons		12	
42.0 Insurance claims and indemnities		2	
99.9 Total new obligations, unexpired accounts		382	

MILITARY PERSONNEL, MARINE CORPS
(Overseas contingency operations)

For an additional amount for "Military Personnel, Marine Corps", **[\$104,213,000] \$129,943,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1105-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and allowances of officers	47		
0002 Pay and allowances of enlisted personnel	77		
0004 Subsistence of enlisted personnel	4		
0006 Other military personnel costs	2		
0900 Total new obligations, unexpired accounts			130
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			130
1930 Total budgetary resources available			130
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			130
3020 Outlays (gross)			-123
3050 Unpaid obligations, end of year			7
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			130
Outlays, gross:			
4010 Outlays from new discretionary authority			123
4180 Budget authority, net (total)			130
4190 Outlays, net (total)			123

Object Classification (in millions of dollars)

Identification code 017-1105-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing			30
11.7 Military personnel			71
11.9 Total personnel compensation			101
12.2 Military personnel benefits			16
12.2 Military personnel benefits			11
42.0 Insurance claims and indemnities			2
99.9 Total new obligations, unexpired accounts			130

MILITARY PERSONNEL, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Military Personnel, Air Force", **[\$1,007,594,000] \$1,077,168,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021-2070-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reserve component training and support			33
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			33
1930 Total budgetary resources available			33
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			33

Program and Financing (in millions of dollars)

Identification code 057-3500-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pay and allowances of officers			233
0002 Pay and allowances of enlisted			665
0004 Subsistence of enlisted personnel			165
0006 Other Military Personnel Costs			14
0900 Total new obligations, unexpired accounts			1,077
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,077
1930 Total budgetary resources available			1,077
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,077
3020 Outlays (gross)			-976
3050 Unpaid obligations, end of year			101
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			101
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,077
Outlays, gross:			
4010 Outlays from new discretionary authority			976
4180 Budget authority, net (total)			1,077
4190 Outlays, net (total)			976

Object Classification (in millions of dollars)

Identification code 057-3500-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6 Military personnel - basic allowance for housing			189
11.7 Military personnel			677
11.9 Total personnel compensation			866
12.2 Military personnel benefits			129
12.2 Military personnel benefits			69
13.0 Benefits for former personnel			8
42.0 Insurance claims and indemnities			5
99.9 Total new obligations, unexpired accounts			1,077

RESERVE PERSONNEL, ARMY
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Army", **[\$34,812,000] \$33,414,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

3020	Outlays (gross)	-31
3050	Unpaid obligations, end of year	2
Memorandum (non-add) entries:		
3200	Obligated balance, end of year	2

Budget authority and outlays, net:

Discretionary:		
4000	Budget authority, gross	33
Outlays, gross:		
4010	Outlays from new discretionary authority	31
4180	Budget authority, net (total)	33
4190	Outlays, net (total)	31

Object Classification (in millions of dollars)

Identification code 021-2070-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6	Military personnel - basic allowance for housing		1
11.7	Military personnel		23
11.9	Total personnel compensation		24
12.2	Military personnel benefits		5
12.2	Military personnel benefits		1
21.0	Travel and transportation of persons		3
99.9	Total new obligations, unexpired accounts		33

RESERVE PERSONNEL, NAVY
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Navy", **[\$11,370,000] \$11,771,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1405-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Reserve Component Training and Support		12
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		12
1930	Total budgetary resources available		12

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		12
3020	Outlays (gross)		-11
3050	Unpaid obligations, end of year		1
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		1

Budget authority and outlays, net:

Discretionary:		
4000	Budget authority, gross	12
Outlays, gross:		
4010	Outlays from new discretionary authority	11
4180	Budget authority, net (total)	12
4190	Outlays, net (total)	11

Object Classification (in millions of dollars)

Identification code 017-1405-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6	Military personnel - basic allowance for housing		2
11.7	Military personnel		6

11.9	Total personnel compensation	8
21.0	Travel and transportation of persons	4
99.9	Total new obligations, unexpired accounts	12

RESERVE PERSONNEL, MARINE CORPS
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Marine Corps", **[\$3,599,000] \$2,048,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1108-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Reserve component training and support		2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		2
1930	Total budgetary resources available		2

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		2
3020	Outlays (gross)		-2

Budget authority and outlays, net:

Discretionary:		
4000	Budget authority, gross	2
Outlays, gross:		
4010	Outlays from new discretionary authority	2
4180	Budget authority, net (total)	2
4190	Outlays, net (total)	2

Object Classification (in millions of dollars)

Identification code 017-1108-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6	Military personnel - basic allowance for housing		1
11.7	Military personnel		1
11.9	Total personnel compensation		2
99.9	Total new obligations, unexpired accounts		2

RESERVE PERSONNEL, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Reserve Personnel, Air Force", **[\$16,428,000] \$16,816,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3700-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Reserve component training and support		17

RESERVE PERSONNEL, AIR FORCE—Continued
Program and Financing—Continued

Identification code 057-3700-8-1-051	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100			17
1930			17
Change in obligated balance:			
Unpaid obligations:			
New obligations, unexpired accounts			
3010			17
3020			-16
3050			1
Memorandum (non-add) entries:			
3200			1
Budget authority and outlays, net:			
Discretionary:			
Budget authority, gross			
4000			17
Outlays, gross:			
Outlays from new discretionary authority			
4010			16
4180			17
4190			16

Object Classification (in millions of dollars)

Identification code 057-3700-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6			2
11.7			8
11.9			10
12.2			2
12.2			1
21.0			4
99.9			17

NATIONAL GUARD PERSONNEL, ARMY
(Overseas contingency operations)

For an additional amount for "National Guard Personnel, Army", **[\$202,644,000] \$195,314,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021-2060-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001			195
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100			195
1930			195
Change in obligated balance:			
Unpaid obligations:			
New obligations, unexpired accounts			
3010			195
3020			-171
3050			24
Memorandum (non-add) entries:			
3200			24

Budget authority and outlays, net:

Discretionary:			
Budget authority, gross			
4000			195
Outlays, gross:			
Outlays from new discretionary authority			
4010			171
4180			195
4190			171

Object Classification (in millions of dollars)

Identification code 021-2060-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6			2
11.7			96
11.9			98
12.2			40
12.2			3
21.0			54
99.9			195

NATIONAL GUARD PERSONNEL, AIR FORCE
(Overseas contingency operations)

For an additional amount for "National Guard Personnel, Air Force", **[\$5,624,000] \$5,800,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3850-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001			6
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100			6
1930			6

Change in obligated balance:

Unpaid obligations:			
New obligations, unexpired accounts			
3010			6
3020			-6

Budget authority and outlays, net:

Discretionary:			
Budget authority, gross			
4000			6
Outlays, gross:			
Outlays from new discretionary authority			
4010			6
4180			6
4190			6

Object Classification (in millions of dollars)

Identification code 057-3850-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.6			1
11.7			3
11.9			4
12.2			1
21.0			1
99.9			6

OPERATION AND MAINTENANCE

The requests that follow would fund military operation and maintenance activities in Afghanistan, Iraq and the Levant, and other areas that directly support Operation Freedom's Sentinel, Operation Inherent Resolve, and other overseas contingency operations (OCO). The requests would also provide for certain base budget operation and maintenance activities of the Active and Reserve components of the Military Services, Defense Agencies, and Defense-Wide activities. The requests fund certain classified activities.

OCO requests finance the direct cost of combat operations as well as combat support activities. OCO funding is used for pre-deployment training, equipment, subsistence and logistics support, aircraft flying hours, ship steaming days, military intelligence activities, fuel and supply purchases, communications, maintenance, procurement of ammunition and missiles, and certain military personnel costs. OCO funding also finances activities that support contingency missions such as base and facility support, contract oversight, security cooperation, detainee operations, Defense Health Programs, and family support programs.

OCO requests also fund specific programs including the European Deterrence Initiative, the Afghanistan Security Forces Fund, the Commander's Emergency Response Program in Afghanistan, the Office of Security Cooperation-Iraq, the Counter-Islamic State of Iraq and Syria Train and Equip Fund, and funds to reimburse coalition allies.

Federal Funds

OPERATION AND MAINTENANCE, ARMY
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army", **[\$20,092,038,000]** \$17,137,754,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021-2020-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Forces			15,268
0002 Mobilization			103
0003 Training and Recruiting			92
0004 Administration and Service-wide Activities			1,675
0799 Total direct obligations			17,138
0900 Total new obligations, unexpired accounts			17,138
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			17,138
1900 Budget authority (total)			17,138
1930 Total budgetary resources available			17,138
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			17,138
3020 Outlays (gross)			-9,940
3050 Unpaid obligations, end of year			7,198
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			7,198
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			17,138
Outlays, gross:			
4010 Outlays from new discretionary authority			9,940
4180 Budget authority, net (total)			17,138
4190 Outlays, net (total)			9,940

Object Classification (in millions of dollars)

Identification code 021-2020-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			516
22.0 Transportation of things			1,741
23.1 Rental payments to GSA			1
23.2 Rental payments to others			12
23.3 Communications, utilities, and miscellaneous charges			218
24.0 Printing and reproduction			2
25.1 Advisory and assistance services			1,614
25.2 Other services from non-Federal sources			1,447
25.3 Other goods and services from Federal sources			524
25.3 Other goods and services from Federal sources			819
25.4 Operation and maintenance of facilities			1,724
25.5 Research and development contracts			10
25.6 Medical care			1
25.7 Operation and maintenance of equipment			2,563
25.8 Subsistence and support of persons			143
26.0 Supplies and materials			4,173
31.0 Equipment			1,485
32.0 Land and structures			60
41.0 Grants, subsidies, and contributions			85
99.0 Direct obligations			17,138
99.9 Total new obligations, unexpired accounts			17,138

OPERATION AND MAINTENANCE, NAVY
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Navy", **[\$8,772,379,000]** \$10,700,305,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1804-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces			10,522
0002 Mobilization			22
0003 Training and recruiting			53
0004 Administration and service-wide activities			103
0799 Total direct obligations			10,700
0900 Total new obligations, unexpired accounts			10,700
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			10,700
1900 Budget authority (total)			10,700
1930 Total budgetary resources available			10,700
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			10,700
3020 Outlays (gross)			-7,276
3050 Unpaid obligations, end of year			3,424
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3,424
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			10,700
Outlays, gross:			
4010 Outlays from new discretionary authority			7,276
4180 Budget authority, net (total)			10,700
4190 Outlays, net (total)			7,276

OPERATION AND MAINTENANCE, NAVY—Continued
Object Classification (in millions of dollars)

Identification code 017-1804-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.5 Other personnel compensation			11
11.8 Special personal services payments			1
11.9 Total personnel compensation			12
21.0 Travel and transportation of persons			206
22.0 Transportation of things			242
23.1 Rental payments to GSA			2
23.2 Rental payments to others			116
23.3 Communications, utilities, and miscellaneous charges			68
24.0 Printing and reproduction			1
25.1 Advisory and assistance services			89
25.2 Other services from non-Federal sources			231
25.3 Other goods and services from Federal sources			539
25.3 Other goods and services from Federal sources			2,825
25.4 Operation and maintenance of facilities			125
25.5 Research and development contracts			1
25.7 Operation and maintenance of equipment			2,394
25.8 Subsistence and support of persons			6
26.0 Supplies and materials			2,747
31.0 Equipment			1,072
32.0 Land and structures			24
99.0 Direct obligations			10,700
99.9 Total new obligations, unexpired accounts			10,700

OPERATION AND MAINTENANCE, MARINE CORPS
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Marine Corps", **[\$1,109,791,000]** \$1,102,600,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1106-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces			1,013
0003 Training and recruiting			28
0004 Administration and Service-wide Activities			61
0799 Total direct obligations			1,102
0900 Total new obligations, unexpired accounts			1,102
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,103
1900 Budget authority (total)			1,103
1930 Total budgetary resources available			1,103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,102
3020 Outlays (gross)			-662
3050 Unpaid obligations, end of year			440
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			440
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,103
Outlays, gross:			
4010 Outlays from new discretionary authority			662
4180 Budget authority, net (total)			1,103

Identification code 017-1106-8-1-051	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)			662
Object Classification (in millions of dollars)			
Identification code 017-1106-8-1-051			
11.8 Direct obligations: Personnel compensation: Special personal services payments			7
11.9 Total personnel compensation			7
21.0 Travel and transportation of persons			138
22.0 Transportation of things			68
23.2 Rental payments to others			7
23.3 Communications, utilities, and miscellaneous charges			32
25.1 Advisory and assistance services			231
25.2 Other services from non-Federal sources			85
25.3 Other goods and services from Federal sources			75
25.3 Other goods and services from Federal sources			152
25.4 Operation and maintenance of facilities			4
25.5 Research and development contracts			2
25.7 Operation and maintenance of equipment			52
25.8 Subsistence and support of persons			21
26.0 Supplies and materials			208
31.0 Equipment			20
99.0 Direct obligations			1,102
99.9 Total new obligations, unexpired accounts			1,102

OPERATION AND MAINTENANCE, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air Force", **[\$10,359,379,000]** \$17,930,020,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3400-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces			16,161
0002 Mobilization			1,392
0003 Training and recruiting			31
0004 Administration and servicewide activities			346
0799 Total direct obligations			17,930
0900 Total new obligations, unexpired accounts			17,930
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			17,930
1900 Budget authority (total)			17,930
1930 Total budgetary resources available			17,930
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			17,930
3020 Outlays (gross)			-10,758
3050 Unpaid obligations, end of year			7,172
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			7,172
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			17,930
Outlays, gross:			
4010 Outlays from new discretionary authority			10,758
4180 Budget authority, net (total)			17,930
4190 Outlays, net (total)			10,758

Object Classification (in millions of dollars)			
Identification code 057-3400-8-1-051	2019 actual	2020 est.	2021 est.
11.5	Direct obligations: Personnel compensation: Other personnel compensation		57
11.9	Total personnel compensation		57
21.0	Travel and transportation of persons		560
22.0	Transportation of things		200
23.2	Rental payments to others		1
23.3	Communications, utilities, and miscellaneous charges		605
25.1	Advisory and assistance services		351
25.2	Other services from non-Federal sources		395
25.3	Other goods and services from Federal sources		77
25.3	Other goods and services from Federal sources		3,919
25.4	Operation and maintenance of facilities		266
25.5	Research and development contracts		6
25.7	Operation and maintenance of equipment		8,697
25.8	Subsistence and support of persons		8
26.0	Supplies and materials		2,545
31.0	Equipment		179
32.0	Land and structures		62
42.0	Insurance claims and indemnities		2
99.0	Direct obligations		17,930
99.9	Total new obligations, unexpired accounts		17,930

OPERATION AND MAINTENANCE, SPACE FORCE
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Space Force", \$77,115,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).

Program and Financing (in millions of dollars)

Identification code 057-3410-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Operating Forces		66
0004	Administration and servicewide activities		11
0900	Total new obligations, unexpired accounts		77
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		77
1930	Total budgetary resources available		77
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		77
3020	Outlays (gross)		-47
3050	Unpaid obligations, end of year		30
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		30
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		77
Outlays, gross:			
4010	Outlays from new discretionary authority		47
4180	Budget authority, net (total)		77
4190	Outlays, net (total)		47

Object Classification (in millions of dollars)

Identification code 057-3410-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons		2
25.3	Other goods and services from Federal sources		4
25.3	Other goods and services from Federal sources		5
25.7	Operation and maintenance of equipment		65

26.0	Supplies and materials			1
99.9	Total new obligations, unexpired accounts			77

OPERATION AND MAINTENANCE, DEFENSE-WIDE
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Defense-Wide", \$7,803,193,000: *Provided*, That of the funds provided under this heading \$6,022,254,000, of which—

(1) not to exceed \$225,000,000 \$430,000,000, to remain available until September 30, [2021] 2022, shall be for payments to reimburse key cooperating nations for logistical, military, and other support, including access, provided to United States military and stability operations in Afghanistan and to counter the Islamic State of Iraq and Syria: *Provided [further]*, That such reimbursement payments may be made in such amounts as the Secretary of Defense, with the concurrence of the Secretary of State, and in consultation with the Director of the Office of Management and Budget, may determine, based on documentation determined by the Secretary of Defense to adequately account for the support provided, and such determination is final and conclusive upon the accounting officers of the United States, and 15 days following written notification to the appropriate congressional committees: *Provided further*, That these funds may be used for the purpose of providing specialized training and procuring supplies and specialized equipment and providing such supplies and loaning such equipment on a non-reimbursable basis to coalition forces supporting United States military and stability operations in Afghanistan and to counter the Islamic State of Iraq and Syria, and 15 days following written notification to the appropriate congressional committees; *Provided further*, That these funds may be used to support the Government of Jordan in such amounts as the Secretary of Defense may determine, to enhance the ability of the armed forces of Jordan to increase or sustain security along its borders, upon 15 days prior written notification to the congressional defense committees outlining the amounts intended to be provided and the nature of the expenses incurred: *Provided further*, That of the funds provided under this heading;

(2) not to exceed \$1,049,178,000 \$627,507,000, to remain available until September 30, [2021] 2022, shall be available to provide support and assistance to foreign security forces or other groups or individuals to conduct, support or facilitate counterterrorism, crisis response, or other Department of Defense security cooperation programs: *Provided [further]*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees on the use of funds provided in this paragraph; and

(3) not to exceed \$250,000,000, to remain available until September 30, 2022, shall be for the Ukraine Security Assistance Initiative: *Provided*, That such funds shall be available to the Secretary of Defense, in coordination with the Secretary of State, to provide assistance, including training; equipment; lethal assistance; logistics support, supplies and services; sustainment; and intelligence support to the military and national security forces of Ukraine, and for replacement of any weapons or articles provided to the Government of Ukraine from the inventory of the United States: *Provided further*, That the Secretary of Defense shall, not less than 15 days prior to obligating funds provided under this heading, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Ukraine and returned by such forces to the United States: *Provided further*, That equipment procured using funds provided under this heading in this or prior Acts, and not yet transferred to the military or National Security Forces of Ukraine or returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees:

Provided [further], That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0100-8-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Operating forces		3,370

OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued
Program and Financing—Continued

Identification code 097-0100-8-1-999	2019 actual	2020 est.	2021 est.
0004 Administration and servicewide activities			2,652
0799 Total direct obligations			6,022
0900 Total new obligations, unexpired accounts			6,022
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			6,022
1900 Budget authority (total)			6,022
1930 Total budgetary resources available			6,022
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6,022
3020 Outlays (gross)			-3,854
3050 Unpaid obligations, end of year			2,168
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			2,168
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			6,022
Outlays, gross:			
4010 Outlays from new discretionary authority			3,854
4180 Budget authority, net (total)			6,022
4190 Outlays, net (total)			3,854

Object Classification (in millions of dollars)

Identification code 097-0100-8-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			40
11.5 Other personnel compensation			7
11.8 Special personal services payments			26
11.9 Total personnel compensation			73
12.1 Civilian personnel benefits			11
21.0 Travel and transportation of persons			172
22.0 Transportation of things			12
23.2 Rental payments to others			23
23.3 Communications, utilities, and miscellaneous charges			165
25.1 Advisory and assistance services			348
25.2 Other services from non-Federal sources			671
25.3 Other goods and services from Federal sources			512
25.3 Other goods and services from Federal sources			207
25.4 Operation and maintenance of facilities			4
25.6 Medical care			1
25.7 Operation and maintenance of equipment			1,390
26.0 Supplies and materials			2,130
31.0 Equipment			303
99.0 Direct obligations			6,022
99.9 Total new obligations, unexpired accounts			6,022

Employment Summary

Identification code 097-0100-8-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			316

OFFICE OF THE INSPECTOR GENERAL
(Overseas contingency operations)

For an additional amount for the "Office of the Inspector General", **[\$24,254,000] \$24,069,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Opera-*

tions/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0107-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation and maintenance			24
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			24
1930 Total budgetary resources available			24
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			24
3020 Outlays (gross)			-19
3050 Unpaid obligations, end of year			5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			24
Outlays, gross:			
4010 Outlays from new discretionary authority			19
4180 Budget authority, net (total)			24
4190 Outlays, net (total)			19

Object Classification (in millions of dollars)

Identification code 097-0107-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.3 Other than full-time permanent			14
11.5 Other personnel compensation			3
11.9 Total personnel compensation			17
12.1 Civilian personnel benefits			4
21.0 Travel and transportation of persons			1
25.3 Other goods and services from Federal sources			2
99.9 Total new obligations, unexpired accounts			24

Employment Summary

Identification code 097-0107-8-1-051	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			101

OPERATION AND MAINTENANCE, ARMY RESERVE
(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army Reserve", **[\$37,592,000] \$33,399,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021-2080-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces			33
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			33
1900 Budget authority (total)			33

1930	Total budgetary resources available	33
Change in obligated balance:		
Unpaid obligations:		
3010	New obligations, unexpired accounts	33
3020	Outlays (gross)	-20
3050	Unpaid obligations, end of year	13
Memorandum (non-add) entries:		
3200	Obligated balance, end of year	13
Budget authority and outlays, net:		
Discretionary:		
4000	Budget authority, gross	33
Outlays, gross:		
4010	Outlays from new discretionary authority	20
4180	Budget authority, net (total)	33
4190	Outlays, net (total)	20

Object Classification (in millions of dollars)

Identification code 021-2080-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons	14	
22.0	Transportation of things	1	
25.2	Other services from non-Federal sources	1	
25.3	Other goods and services from Federal sources	3	
25.8	Subsistence and support of persons	8	
26.0	Supplies and materials	5	
31.0	Equipment	1	
99.0	Direct obligations	33	
99.9	Total new obligations, unexpired accounts	33	

OPERATION AND MAINTENANCE, NAVY RESERVE

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Navy Reserve", **[\$23,036,000] \$21,492,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1806-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Operating Forces		21
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	21	
1900	Budget authority (total)	21	
1930	Total budgetary resources available	21	

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	21	
3020	Outlays (gross)	-14	
3050	Unpaid obligations, end of year	7	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year	7	

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	21	
Outlays, gross:			
4010	Outlays from new discretionary authority	14	
4180	Budget authority, net (total)	21	
4190	Outlays, net (total)	14	

Object Classification (in millions of dollars)

Identification code 017-1806-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons		1
25.2	Other services from non-Federal sources		1
25.3	Other goods and services from Federal sources		11
25.7	Operation and maintenance of equipment		2
26.0	Supplies and materials		6
99.0	Direct obligations		21
99.9	Total new obligations, unexpired accounts		21

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Marine Corps Reserve", **[\$8,707,000] \$8,707,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1107-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Operating forces		9
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		9
1900	Budget authority (total)		9
1930	Total budgetary resources available		9

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		9
3020	Outlays (gross)		-5
3050	Unpaid obligations, end of year		4
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		4

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		9
Outlays, gross:			
4010	Outlays from new discretionary authority		5
4180	Budget authority, net (total)		9
4190	Outlays, net (total)		5

Object Classification (in millions of dollars)

Identification code 017-1107-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons		6
25.2	Other services from non-Federal sources		1
26.0	Supplies and materials		2
99.9	Total new obligations, unexpired accounts		9

OPERATION AND MAINTENANCE, AIR FORCE RESERVE

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air Force Reserve", **[\$29,758,000] \$30,090,000: Provided,** That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available*

OPERATION AND MAINTENANCE, AIR FORCE RESERVE—Continued
only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057–3740–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces			30
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			30
1930 Total budgetary resources available			30
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			30
3020 Outlays (gross)			–22
3050 Unpaid obligations, end of year			8
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			8

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			30
Outlays, gross:			
4010 Outlays from new discretionary authority			22
4180 Budget authority, net (total)			30
4190 Outlays, net (total)			22

Object Classification (in millions of dollars)

Identification code 057–3740–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			3
25.3 Other goods and services from Federal sources			25
25.8 Subsistence and support of persons			2
99.9 Total new obligations, unexpired accounts			30

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Army National Guard", **[\$83,291,000] \$79,792,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021–2065–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating forces			80
0799 Total direct obligations			80
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			80
1900 Budget authority (total)			80
1930 Total budgetary resources available			80
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			80
3020 Outlays (gross)			–51
3050 Unpaid obligations, end of year			29

Memorandum (non-add) entries:			
3200 Obligated balance, end of year			29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			80
Outlays, gross:			
4010 Outlays from new discretionary authority			51
4180 Budget authority, net (total)			80
4190 Outlays, net (total)			51

Object Classification (in millions of dollars)

Identification code 021–2065–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			11
22.0 Transportation of things			1
23.3 Communications, utilities, and miscellaneous charges			12
25.4 Operation and maintenance of facilities			5
26.0 Supplies and materials			46
32.0 Land and structures			5
99.0 Direct obligations			80
99.9 Total new obligations, unexpired accounts			80

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD

(Overseas contingency operations)

For an additional amount for "Operation and Maintenance, Air National Guard", **[\$176,909,000] \$175,642,000: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057–3840–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Forces			176
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			176
1900 Budget authority (total)			176
1930 Total budgetary resources available			176
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			176
3020 Outlays (gross)			–125
3050 Unpaid obligations, end of year			51
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			51

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			176
Outlays, gross:			
4010 Outlays from new discretionary authority			125
4180 Budget authority, net (total)			176
4190 Outlays, net (total)			125

Object Classification (in millions of dollars)

Identification code 057–3840–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			1
25.3 Other goods and services from Federal sources			61
25.7 Operation and maintenance of equipment			98
25.8 Subsistence and support of persons			16

99.0	Direct obligations	176
99.9	Total new obligations, unexpired accounts	176

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE
(Overseas contingency operations)

For an additional amount for "Drug Interdiction and Counter-Drug Activities, Defense", \$153,100,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Defense Appropriations Act, 2020.)

DEFENSE HEALTH PROGRAM
(Overseas contingency operations)

For an additional amount for "Defense Health Program", [\$347,746,000] \$365,098,000, which shall be for operation and maintenance: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0130-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation and maintenance			365
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			365
1930 Total budgetary resources available			365
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			365
3020 Outlays (gross)			-259
3050 Unpaid obligations, end of year			106
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			106
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			365
Outlays, gross:			
4010 Outlays from new discretionary authority			259
4180 Budget authority, net (total)			365
4190 Outlays, net (total)			259

Object Classification (in millions of dollars)

Identification code 097-0130-8-1-051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			1
25.3 Other goods and services from Federal sources			2
25.6 Medical care			319
26.0 Supplies and materials			42
31.0 Equipment			1
99.9 Total new obligations, unexpired accounts			365

AFGHANISTAN SECURITY FORCES FUND
(Overseas contingency operations)

For the "Afghanistan Security Forces Fund", [\$4,199,978,000] \$4,015,612,000, to remain available until September 30, [2021] 2022: *Provided*, That such funds shall be available to the Secretary of Defense for the purpose of allowing the Com-

mander, Combined Security Transition Command-Afghanistan, or the Secretary's designee, to provide assistance, with the concurrence of the Secretary of State, to the security forces of Afghanistan, including the provision of equipment, supplies, services, training, facility and infrastructure repair, renovation, construction, and funding: *Provided further*, That the Secretary of Defense may obligate and expend funds made available to the Department of Defense in this title for additional costs associated with existing projects previously funded with amounts provided under the heading "Afghanistan Infrastructure Fund" in prior Acts: *Provided further*, That such costs shall be limited to contract changes resulting from inflation, market fluctuation, rate adjustments, and other necessary contract actions to complete existing projects, and associated supervision and administration costs and costs for design during construction: *Provided further*, That the Secretary may not use more than \$50,000,000 under the authority provided in this section: *Provided further*, That the Secretary shall notify in advance such contract changes and adjustments [in annual reports] to the congressional defense committees: *Provided further*, That the authority to provide assistance under this heading is in addition to any other authority to provide assistance to foreign nations: *Provided further*, That contributions of funds for the purposes provided herein from any person, foreign government, or international organization may be credited to this Fund, to remain available until expended, and used for such purposes: *Provided further*, That the Secretary of Defense shall notify the congressional defense committees in writing upon the receipt and upon the obligation of any contribution, delineating the sources and amounts of the funds received and the specific use of such contributions: *Provided further*, That the Secretary of Defense shall, not fewer than 15 days prior to obligating from this appropriation account, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the Secretary of Defense shall notify the congressional defense committees in writing and not fewer than 15 days prior to obligating funds for any proposed new projects or transfer of funds between budget sub-activity groups in excess of \$20,000,000: *Provided further*, That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Afghanistan and returned by such forces to the United States: *Provided further*, That equipment procured using funds provided under this heading in this or prior Acts, and not yet transferred to the security forces of Afghanistan or transferred to the security forces of Afghanistan and returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further*, That of the funds provided under this heading, not less than \$10,000,000 shall be for recruitment and retention of women in the Afghanistan National Security Forces, and the recruitment and training of female security personnel: *Provided further*, That funds appropriated under this heading and made available for the salaries and benefits of personnel of the Afghanistan Security Forces may only be used for personnel who are enrolled in the Afghanistan Personnel and Pay System: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2091-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Afghan National Army			858
0007 Afghan National Police			419
0008 Afghan Air Force			581
0009 Afghan Special Security Forces			933
0900 Total new obligations, unexpired accounts			2,791
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			4,016
1930 Total budgetary resources available			4,016
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1,225
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			2,791
3020 Outlays (gross)			-1,606
3050 Unpaid obligations, end of year			1,185

AFGHANISTAN SECURITY FORCES FUND—Continued
Program and Financing—Continued

Identification code 021–2091–8–1–051	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,185
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			4,016
Outlays, gross:			
4010 Outlays from new discretionary authority			1,606
4180 Budget authority, net (total)			4,016
4190 Outlays, net (total)			1,606

Object Classification (in millions of dollars)

Identification code 021–2091–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			1
22.0 Transportation of things			7
23.2 Rental payments to others			2
24.0 Printing and reproduction			1
25.2 Other services from non-Federal sources			12
25.3 Other goods and services from Federal sources			2,114
25.3 Other goods and services from Federal sources			50
25.8 Subsistence and support of persons			547
26.0 Supplies and materials			40
32.0 Land and structures			17
99.9 Total new obligations, unexpired accounts			2,791

COUNTER-**[ISIS]** ISLAMIC STATE OF IRAQ AND SYRIA TRAIN AND EQUIP FUND
(Overseas contingency operations)

For the "Counter-Islamic State of Iraq and Syria Train and Equip Fund", **[\$1,195,000,000]** \$845,000,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such funds shall be available to the Secretary of Defense in coordination with the Secretary of State, to provide assistance, including training; equipment; logistics support, supplies, and services; stipends; infrastructure repair and renovation; construction for facility fortification and humane treatment; and sustainment, to foreign security forces, irregular forces, groups, or individuals participating, or preparing to participate in activities to counter, *or prevent the re-emergence of*, the Islamic State of Iraq and Syria, and their affiliated or associated groups: *Provided further*, That these funds may be used in such amounts as the Secretary of Defense may determine to enhance the border security of nations adjacent to conflict areas including Jordan, Lebanon, Egypt, and Tunisia resulting from actions of the Islamic State of Iraq and Syria: *Provided further*, That amounts made available under this heading shall be available to provide assistance only for activities in a country designated by the Secretary of Defense, in coordination with the Secretary of State, as having a security mission to counter the Islamic State of Iraq and Syria, and following written notification to the congressional defense committees of such designation: *Provided further*, That the Secretary of Defense shall ensure that prior to providing assistance to elements of any forces or individuals, such elements or individuals are appropriately vetted, including at a minimum, assessing such elements for associations with terrorist groups or groups associated with the Government of Iran; and receiving commitments from such elements to promote respect for human rights and the rule of law: *Provided further*, That the Secretary of Defense shall, not fewer than 15 days prior to obligating from this appropriation account, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the Secretary of Defense may accept and retain contributions, including assistance in-kind, from foreign governments, including the Government of Iraq and other entities, to carry out assistance authorized under this heading: *Provided further*, That contributions of funds for the purposes provided herein from any foreign government or other entity may be credited to this Fund, to remain available until expended, and used for such purposes: *Provided further*, That the Secretary of Defense shall prioritize such contributions when providing any assistance for construction for facility fortification: *Provided further*, That the Secretary of Defense may waive a provision of law relating to the acquisition of items and support services or sections 40 and 40A of the Arms Export Control Act (22 U.S.C. 2780 and 2785) if the Secretary determines that such provision of law would prohibit, restrict, delay or otherwise limit the provision of such assistance and a notice of and justification for such waiver is submitted to the congressional defense committees,

the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Foreign Affairs of the House of Representatives: *Provided further*, That the United States may accept equipment procured using funds provided under this heading, or under the heading, "Iraq Train and Equip Fund" in prior Acts, that was transferred to security forces, irregular forces, or groups participating, or preparing to participate in activities to counter, *or prevent the re-emergence of*, the Islamic State of Iraq and Syria and returned by such forces or groups to the United States, and such equipment may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further*, That equipment procured using funds provided under this heading, or under the heading, "Iraq Train and Equip Fund" in prior Acts, and not yet transferred to security forces, irregular forces, or groups participating, or preparing to participate in activities to counter, *or prevent the re-emergence of*, the Islamic State of Iraq and Syria may be treated as stocks of the Department of Defense when determined by the Secretary to no longer be required for transfer to such forces or groups and upon written notification to the congressional defense committees: *Provided further*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees on the use of funds provided under this heading, including, but not limited to, the number of individuals trained, the nature and scope of support and sustainment provided to each group or individual, the area of operations for each group, and the contributions of other countries, groups, or individuals: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended*: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021–2099–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Counter-Islamic State of Iraq and Syria Train and Equip			338
0900 Total new obligations, unexpired accounts (object class 25.2)			338
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			845
1930 Total budgetary resources available			845
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			507
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			338
3020 Outlays (gross)			–169
3050 Unpaid obligations, end of year			169
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			169
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			845
Outlays, gross:			
4010 Outlays from new discretionary authority			169
4180 Budget authority, net (total)			845
4190 Outlays, net (total)			169

This account supports the activities of the Government of Iraq and the Vetted Syrian Opposition against the Islamic State of Iraq and Syria. Appropriated funds and cash contributions from foreign countries, groups, and individuals are deposited into this account for DoD to provide assistance, including training; equipment; logistics support, supplies and services; stipends; infrastructure repair and renovation; construction for facility fortification and humane treatment; and sustainment necessary to meet urgent operational requirements.

PROCUREMENT

The requests that follow would fund the acquisition of combat and support vehicles, aircraft, weapons, preferred munitions, communications and

other equipment needed to support base budget requirements as well as Operation Freedom's Sentinel, Operation Inherent Resolve, and other areas in direct support of these operations. There is also investment in European deterrence activities. Funds provided will be used to replace equipment worn out by combat operations or lost in battle, as well as replace munitions expended in combat operations. Additional funding is provided to improve the force protection capabilities of units and equipment, including enhanced protection against improvised threats. These requests will also fund classified activities.

Federal Funds

AIRCRAFT PROCUREMENT, ARMY
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Army", **[\$531,541,000]** \$461,080,000, to remain available until September 30, **[2022]** 2023: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021–2031–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Aircraft			77
0002 Modification of aircraft			101
0004 Support equipment and facilities			119
0900 Total new obligations, unexpired accounts			297
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			461
1930 Total budgetary resources available			461
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			164
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			297
3020 Outlays (gross)			–49
3050 Unpaid obligations, end of year			248
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			248
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			461
Outlays, gross:			
4010 Outlays from new discretionary authority			49
4180 Budget authority, net (total)			461
4190 Outlays, net (total)			49

Object Classification (in millions of dollars)

Identification code 021–2031–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			5
25.3 Other goods and services from Federal sources			16
25.7 Operation and maintenance of equipment			16
26.0 Supplies and materials			4
31.0 Equipment			256
99.9 Total new obligations, unexpired accounts			297

MISSILE PROCUREMENT, ARMY
(Overseas contingency operations)

For an additional amount for "Missile Procurement, Army", **[\$1,423,589,000]** \$881,592,000, to remain available until September 30, **[2022]** 2023: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021–2032–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Other missiles			642
0003 Modification of missiles			81
0900 Total new obligations, unexpired accounts			723
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			882
1930 Total budgetary resources available			882
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			159
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			723
3020 Outlays (gross)			–106
3050 Unpaid obligations, end of year			617
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			617
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			882
Outlays, gross:			
4010 Outlays from new discretionary authority			106
4180 Budget authority, net (total)			882
4190 Outlays, net (total)			106

Object Classification (in millions of dollars)

Identification code 021–2032–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
22.0 Transportation of things			6
25.1 Advisory and assistance services			39
25.2 Other services from non-Federal sources			11
25.3 Other goods and services from Federal sources			104
25.4 Operation and maintenance of facilities			6
25.7 Operation and maintenance of equipment			2
26.0 Supplies and materials			100
31.0 Equipment			455
99.9 Total new obligations, unexpired accounts			723

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY
(Overseas contingency operations)

For an additional amount for "Procurement of Weapons and Tracked Combat Vehicles, Army", **[\$346,306,000]** \$15,225,000, to remain available until September 30, **[2022]** 2023: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY—Continued
Program and Financing (in millions of dollars)

Identification code 021–2033–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Weapons and other combat vehicles			8
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			15
1930 Total budgetary resources available			15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			7
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			8
3020 Outlays (gross)			–1
3050 Unpaid obligations, end of year			7
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			15
Outlays, gross:			
4010 Outlays from new discretionary authority			1
4180 Budget authority, net (total)			15
4190 Outlays, net (total)			1

Object Classification (in millions of dollars)

Identification code 021–2033–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources			3
26.0 Supplies and materials			5
99.9 Total new obligations, unexpired accounts			8

PROCUREMENT OF AMMUNITION, ARMY

(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Army", **[\$148,682,000] \$110,668,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021–2034–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ammunition			82
0900 Total new obligations, unexpired accounts (object class 26.0)			82
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			111
1900 Budget authority (total)			111
1930 Total budgetary resources available			111
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			29
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			82
3020 Outlays (gross)			–9
3050 Unpaid obligations, end of year			73

Memorandum (non-add) entries:			
3200 Obligated balance, end of year			73
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			111
Outlays, gross:			
4010 Outlays from new discretionary authority			9
4180 Budget authority, net (total)			111
4190 Outlays, net (total)			9

OTHER PROCUREMENT, ARMY
(Overseas contingency operations)

For an additional amount for "Other Procurement, Army", **[\$1,080,504,000] \$924,077,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 021–2035–8–1–051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Tactical and support vehicles			26
0002 Communications and electronics equipment			242
0003 Other support equipment			233
0900 Total new obligations, unexpired accounts			501
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			924
1930 Total budgetary resources available			924
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			423
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			501
3020 Outlays (gross)			–120
3050 Unpaid obligations, end of year			381
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			381
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			924
Outlays, gross:			
4010 Outlays from new discretionary authority			120
4180 Budget authority, net (total)			924
4190 Outlays, net (total)			120

Object Classification (in millions of dollars)

Identification code 021–2035–8–1–051	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			24
25.3 Other goods and services from Federal sources			2
31.0 Equipment			475
99.9 Total new obligations, unexpired accounts			501

AIRCRAFT PROCUREMENT, NAVY
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Navy", **[\$95,153,000] \$33,241,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency

Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1506-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Other aircraft			5
0005 Modification of aircraft			18
0900 Total new obligations, unexpired accounts (object class 31.0)			23
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			33
1930 Total budgetary resources available			33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			10
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			23
3020 Outlays (gross)			-5
3050 Unpaid obligations, end of year			18
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			33
Outlays, gross:			
4010 Outlays from new discretionary authority			5
4180 Budget authority, net (total)			33
4190 Outlays, net (total)			5

WEAPONS PROCUREMENT, NAVY
(Overseas contingency operations)

For an additional amount for "Weapons Procurement, Navy", **[\$116,429,000] \$5,572,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1507-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Other missiles			4
0900 Total new obligations, unexpired accounts (object class 31.0)			4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			6
1930 Total budgetary resources available			6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			4
3020 Outlays (gross)			-1
3050 Unpaid obligations, end of year			3
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			6
Outlays, gross:			
4010 Outlays from new discretionary authority			1
4180 Budget authority, net (total)			6
4190 Outlays, net (total)			1

PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS
(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Navy and Marine Corps", **[\$204,814,000] \$95,942,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1508-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Procurement of Ammunition, Navy			77
0900 Total new obligations, unexpired accounts (object class 26.0)			77
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			96
1930 Total budgetary resources available			96
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			19
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			77
3020 Outlays (gross)			-8
3050 Unpaid obligations, end of year			69
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			69
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			96
Outlays, gross:			
4010 Outlays from new discretionary authority			8
4180 Budget authority, net (total)			96
4190 Outlays, net (total)			8

OTHER PROCUREMENT, NAVY
(Overseas contingency operations)

For an additional amount for "Other Procurement, Navy", **[\$351,250,000] \$343,526,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1810-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ships support equipment			18
0002 Communications and electronics equipment			170
0003 Aviation support equipment			69

OTHER PROCUREMENT, NAVY—Continued
Program and Financing—Continued

Identification code 017-1810-8-1-051	2019 actual	2020 est.	2021 est.
0004 Ordnance support equipment			2
0005 Civil engineering support equipment			1
0007 Personnel and command support equipment			14
0900 Total new obligations, unexpired accounts (object class 31.0)			274
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			344
1930 Total budgetary resources available			344
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			70
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			274
3020 Outlays (gross)			-65
3050 Unpaid obligations, end of year			209
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			209
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			344
Outlays, gross:			
4010 Outlays from new discretionary authority			65
4180 Budget authority, net (total)			344
4190 Outlays, net (total)			65

PROCUREMENT, MARINE CORPS
(Overseas contingency operations)

For an additional amount for "Procurement, Marine Corps", **[\$20,589,000] \$47,963,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 017-1109-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Guided missiles and equipment			10
0004 Communications and electronics equipment			8
0005 Support vehicles			9
0900 Total new obligations, unexpired accounts (object class 31.0)			27
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			48
1930 Total budgetary resources available			48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			21
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			27
3020 Outlays (gross)			-6
3050 Unpaid obligations, end of year			21
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			48
Outlays, gross:			
4010 Outlays from new discretionary authority			6

4180 Budget authority, net (total)			48
4190 Outlays, net (total)			6

AIRCRAFT PROCUREMENT, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Aircraft Procurement, Air Force", **[\$851,310,000] \$569,155,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3010-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Other aircraft			135
0005 Modification of in-service aircraft			78
0006 Aircraft spares and repair parts			9
0007 Aircraft support equipment and facilities			10
0900 Total new obligations, unexpired accounts (object class 31.0)			232
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			569
1930 Total budgetary resources available			569
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			337
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			232
3020 Outlays (gross)			-46
3050 Unpaid obligations, end of year			186
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			186
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			569
Outlays, gross:			
4010 Outlays from new discretionary authority			46
4180 Budget authority, net (total)			569
4190 Outlays, net (total)			46

MISSILE PROCUREMENT, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Missile Procurement, Air Force", **[\$201,671,000] \$223,772,000**, to remain available until September 30, **[2022] 2023: Provided**, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3020-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Other missiles			150
0900 Total new obligations, unexpired accounts (object class 31.0)			150

Budgetary resources:			
Budget authority:			
	Appropriations, discretionary:		
1100	Appropriation		224
1930	Total budgetary resources available		224
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		74
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		150
3020	Outlays (gross)		-34
3050	Unpaid obligations, end of year		116
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		116
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		224
Outlays, gross:			
4010	Outlays from new discretionary authority		34
4180	Budget authority, net (total)		224
4190	Outlays, net (total)		34

PROCUREMENT OF AMMUNITION, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Procurement of Ammunition, Air Force", **[\$934,758,000]** \$802,455,000, to remain available until September 30, **[2022]** 2023: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3011-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Ammunition		573
0002	Weapons		4
0900	Total new obligations, unexpired accounts (object class 31.0)		577

Budgetary resources:			
Budget authority:			
	Appropriations, discretionary:		
1100	Appropriation		802
1930	Total budgetary resources available		802
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		225

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		577
3020	Outlays (gross)		-16
3050	Unpaid obligations, end of year		561
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		561

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		802
Outlays, gross:			
4010	Outlays from new discretionary authority		16
4180	Budget authority, net (total)		802
4190	Outlays, net (total)		16

OTHER PROCUREMENT, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Other Procurement, Air Force", **[\$3,748,801,000]** \$355,339,000, to remain available until September 30, **[2022]** 2023: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 057-3080-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Vehicular equipment		128
0003	Electronics and telecommunications equipment		74
0004	Other base maintenance and support equipment		79
0005	Spare and repair parts		3
0900	Total new obligations, unexpired accounts (object class 31.0)		284

Budgetary resources:			
Budget authority:			
	Appropriations, discretionary:		
1100	Appropriation		355
1930	Total budgetary resources available		355
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		71

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		284
3020	Outlays (gross)		-231
3050	Unpaid obligations, end of year		53
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		53

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		355
Outlays, gross:			
4010	Outlays from new discretionary authority		231
4180	Budget authority, net (total)		355
4190	Outlays, net (total)		231

PROCUREMENT, DEFENSE-WIDE
(Overseas contingency operations)

For an additional amount for "Procurement, Defense-Wide", **[\$438,064,000]** \$258,491,000, to remain available until September 30, **[2022]** 2023: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 097-0300-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Major equipment		8
0002	Special Operations Command		169
0900	Total new obligations, unexpired accounts (object class 31.0)		177

Budgetary resources:			
Budget authority:			
	Appropriations, discretionary:		
1100	Appropriation		258
1930	Total budgetary resources available		258
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		81

PROCUREMENT, DEFENSE-WIDE—Continued
Program and Financing—Continued

Identification code 097-0300-8-1-051	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			177
3020 Outlays (gross)			-44
3050 Unpaid obligations, end of year			133
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			133
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			258
Outlays, gross:			
4010 Outlays from new discretionary authority			44
4180 Budget authority, net (total)			258
4190 Outlays, net (total)			44

NATIONAL GUARD AND RESERVE EQUIPMENT ACCOUNT
(Overseas contingency operations)

For procurement of rotary-wing aircraft; combat, tactical and support vehicles; other weapons; and other procurement items for the reserve components of the Armed Forces, \$1,300,000,000, to remain available for obligation until September 30, 2022: *Provided*, That the Chiefs of National Guard and Reserve components shall, not later than 30 days after enactment of this Act, individually submit to the congressional defense committees the modernization priority assessment for their respective National Guard or Reserve component: *Provided further*, That none of the funds made available by this paragraph may be used to procure manned fixed wing aircraft, or procure or modify missiles, munitions, or ammunition: *Provided further*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Defense Appropriations Act, 2020.)

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

The requests that follow would support research, development, test, and evaluation (RDT&E) activities relevant to overseas contingency operations (OCO).

Federal Funds

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Army", \$147,304,000 \$182,824,000, to remain available until September 30, [2021] 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2040-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Applied Research			2
0004 Advanced Component Development and Prototypes			2
0005 System development and demonstration			76
0006 Management support			4
0007 Operational system development			58
0900 Total new obligations, unexpired accounts (object class 25.5)			142

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			183
1930 Total budgetary resources available			183
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			41

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			142
3020 Outlays (gross)			-77
3050 Unpaid obligations, end of year			65
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			65

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			183
Outlays, gross:			
4010 Outlays from new discretionary authority			77
4180 Budget authority, net (total)			183
4190 Outlays, net (total)			77

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Navy", \$164,410,000 \$59,562,000, to remain available until September 30, [2021] 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 017-1319-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Advanced Component Development and Prototypes			50
0005 System development and demonstration			1
0007 Operational system development			3
0900 Total new obligations, unexpired accounts (object class 25.5)			54

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			60
1930 Total budgetary resources available			60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			6

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			54
3020 Outlays (gross)			-30
3050 Unpaid obligations, end of year			24
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			24

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			60
Outlays, gross:			
4010 Outlays from new discretionary authority			30
4180 Budget authority, net (total)			60
4190 Outlays, net (total)			30

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Air Force", **[\$128,248,000]** \$5,304,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).* (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 057-3600-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0007 Operational system development			5
0900 Total new obligations, unexpired accounts (object class 25.5)			5
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			5
1930 Total budgetary resources available			5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			5
3020 Outlays (gross)			-2
3050 Unpaid obligations, end of year			3
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5
Outlays, gross:			
4010 Outlays from new discretionary authority			2
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			2

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE
(Overseas contingency operations)

For an additional amount for "Research, Development, Test and Evaluation, Defense-Wide", **[\$394,260,000]** \$82,818,000, to remain available until September 30, **[2021]** 2022: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).* (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 097-0400-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Applied Research			3
0003 Advanced technology development			20
0004 Advanced Component Development and Prototypes			17
0007 Operational system development			30
0900 Total new obligations, unexpired accounts (object class 25.5)			70
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			83
1930 Total budgetary resources available			83
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			13
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			70

3020 Outlays (gross)			-40
3050 Unpaid obligations, end of year			30
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			83
Outlays, gross:			
4010 Outlays from new discretionary authority			40
4180 Budget authority, net (total)			83
4190 Outlays, net (total)			40

MILITARY CONSTRUCTION

The request that follows will fund military construction activities for the active components of the Military Services supporting military operations in Europe, in direct support of the European Deterrence Initiative. Funds provided would bolster security of U.S. NATO Allies and partner states in Europe and deter aggressive actors in the region by enhancing prepositioning and weapon storage capabilities, improving airfield and support infrastructure and building partnership capacity.

Federal Funds

MILITARY CONSTRUCTION, ARMY
(Overseas contingency operations)

For an additional amount for "Military Construction, Army", **[\$111,968,000]** \$15,873,000, to remain available until September 30, **[2024]** 2025, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii).* (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-2050-8-1-051	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Minor construction			3
0003 Planning			8
0900 Total new obligations, unexpired accounts (object class 32.0)			11
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			16
1930 Total budgetary resources available			16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			11
3050 Unpaid obligations, end of year			11
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			16
4180 Budget authority, net (total)			16
4190 Outlays, net (total)			

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS
(Overseas contingency operations)

MILITARY CONSTRUCTION, NAVY AND MARINE CORPS—Continued

For an additional amount for "Military Construction, Navy and Marine Corps", [\$94,570,000] \$70,020,000, to remain available until September 30, [2024] 2025, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity (0001 Major construction, 0003 Planning, 0900 Total new obligations), Budgetary resources (1100 Appropriation, 1930 Total budgetary resources available, 1941 Unexpired unobligated balance), Change in obligated balance (3010 New obligations, 3020 Outlays, 3050 Unpaid obligations, 3200 Obligated balance), and Budget authority and outlays, net (4000 Budget authority, 4010 Outlays from new discretionary authority, 4180 Budget authority, net, 4190 Outlays, net).

MILITARY CONSTRUCTION, AIR FORCE

(Overseas contingency operations)

For an additional amount for "Military Construction, Air Force" [\$391,988,000] \$263,869,000, to remain available until September 30, [2024] 2025, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity (0001 Major construction, 0002 Minor construction, 0003 Planning, 0900 Total new obligations), Budgetary resources (1100 Appropriation, 1930 Total budgetary resources available, 1941 Unexpired unobligated balance), and Change in obligated balance (3010 New obligations, 3020 Outlays, 3050 Unpaid obligations, 3200 Obligated balance).

Change in obligated balance:

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Unpaid obligations (3010 New obligations, 3020 Outlays), Unpaid obligations, end of year (3050), and Memorandum (non-add) entries (3200 Obligated balance).

Budget authority and outlays, net:

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Discretionary (4000 Budget authority, 4010 Outlays from new discretionary authority), Budget authority, net (total) (4180), and Outlays, net (total) (4190).

MILITARY CONSTRUCTION, DEFENSE-WIDE

(Overseas contingency operations)

[For an additional amount for "Military Construction, Defense-Wide", \$46,000,000, to remain available until September 30, 2024, for projects outside of the United States: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985.] (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

REVOLVING AND MANAGEMENT FUNDS

The request that follows would pay for purchase of war reserve materials for the European theater.

Federal Funds

DEFENSE WORKING CAPITAL FUNDS

(Overseas contingency operations)

For an additional amount for "Defense Working Capital Funds", [\$20,100,000] \$20,090,000: *Provided*, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, *as amended: Provided further*, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii). (*Department of Defense Appropriations Act, 2020.*)

WORKING CAPITAL FUND, ARMY

(Overseas contingency operations)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity (0004 Direct program activity, 0900 Total new obligations), Budgetary resources (1100 Appropriation, 1930 Total budgetary resources available), and Change in obligated balance (3010 New obligations, 3020 Outlays, 3050 Unpaid obligations, 3200 Obligated balance).

Budget authority and outlays, net:		
Discretionary:		
4000	Budget authority, gross	20
	Outlays, gross:	
4010	Outlays from new discretionary authority	17
4180	Budget authority, net (total)	20
4190	Outlays, net (total)	17

ADMINISTRATIVE PROVISIONS

[ADMINISTRATIVE PROVISION]

SEC. 401. None of the funds appropriated for military construction projects outside the United States under this title may be obligated or expended for planning and design of any project associated with the European Deterrence Initiative until the Secretary of Defense develops and submits to the congressional defense committees, in a classified and unclassified format, a list of all of the military construction projects associated with the European Deterrence Initiative which the Secretary anticipates will be carried out during each of the fiscal years 2021 through 2025. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

GENERAL PROVISIONS—THIS TITLE

SEC. 9001. Notwithstanding any other provision of law, funds made available in this title are in addition to amounts appropriated or otherwise made available for the Department of Defense for fiscal year [2020] 2021.

(INCLUDING TRANSFER OF FUNDS)

SEC. 9002. Upon the determination of the Secretary of Defense that such action is necessary in the national interest, the Secretary may, with the approval of the Office of Management and Budget, transfer up to [\$2,000,000,000] \$4,500,000,000 between the appropriations or funds made available to the Department of Defense in this title: *Provided*, That the Secretary shall notify the Congress promptly of each transfer made pursuant to the authority in this section: *Provided further*, That the authority provided in this section is in addition to any other transfer authority available to the Department of Defense and is subject to the same terms and conditions as the authority provided in section [8005] 8003 of this Act.

SEC. 9003. Supervision and administration costs and costs for design during construction associated with a construction project, *including infrastructure repair and renovation projects*, funded with appropriations available for operation and maintenance, the "Counter-ISIS Train and Equip Fund", or the "Afghanistan Security Forces Fund" provided in this Act and executed in direct support of overseas contingency operations in Afghanistan or to counter the Islamic State of Iraq and Syria, may be obligated at the time a construction contract is awarded: *Provided*, That, for the purpose of this section, supervision and administration costs and costs for design during construction include all in-house Government costs.

SEC. 9004. From funds made available in this title, the Secretary of Defense may purchase for use by military and civilian employees of the Department of Defense in the United States Central Command area of responsibility: (1) passenger motor vehicles up to a limit of \$75,000 per vehicle; and (2) heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$450,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

SEC. 9005. Not to exceed [\$5,000,000] \$2,500,000 of the amounts appropriated by this title under the heading "Operation and Maintenance, Army" may be used, notwithstanding any other provision of law, to fund the Commanders' Emergency Response Program (CERP), for the purpose of enabling military commanders in Afghanistan to respond to urgent, small-scale, humanitarian relief and reconstruction requirements within their areas of responsibility: *Provided*, That each project (including any ancillary or related elements in connection with such project) executed under this authority shall not exceed \$2,000,000: *Provided further*, That not later than 45 days after the end of each 6 months of the fiscal year, the Secretary of Defense shall submit to the congressional defense committees a report regarding the source of funds and the allocation and use of funds during that 6-month period that were made available pursuant to the authority provided in this section or under any other provision of law for the purposes described herein: *Provided further*, That, not later than 30 days after the end of each fiscal year quarter, the Army shall submit to the congressional defense committees quarterly commitment, obligation, and expenditure data for the CERP in Afghanistan: *Provided further*, That, not less than 15 days before making funds available pursuant to the authority provided in this section or under any other provision of law for the purposes described herein for a project with a total anticipated cost for completion of \$500,000 or more, the Secretary

shall submit to the congressional defense committees a written notice containing each of the following:

(1) The location, nature and purpose of the proposed project, including how the project is intended to advance the military campaign plan for the country in which it is to be carried out.

(2) The budget, implementation timeline with milestones, and completion date for the proposed project, including any other CERP funding that has been or is anticipated to be contributed to the completion of the project.

(3) A plan for the sustainment of the proposed project, including the agreement with either the host nation, a non-Department of Defense agency of the United States Government or a third-party contributor to finance the sustainment of the activities and maintenance of any equipment or facilities to be provided through the proposed project.

SEC. 9006. Funds available to the Department of Defense for operation and maintenance may be used, notwithstanding any other provision of law, to provide supplies, services, transportation, including airlift and sealift, and other logistical support to allied forces participating in a combined operation with the armed forces of the United States and coalition forces supporting military and stability operations in Afghanistan and to counter the Islamic State of Iraq and Syria: *Provided*, That the Secretary of Defense shall provide quarterly reports to the congressional defense committees regarding support provided under this section.

SEC. 9007. None of the funds appropriated or otherwise made available by this or any other Act shall be obligated or expended by the United States Government for a purpose as follows:

(1) To establish any military installation or base for the purpose of providing for the permanent stationing of United States Armed Forces in Iraq.

(2) To exercise United States control over any oil resource of Iraq.

(3) To establish any military installation or base for the purpose of providing for the permanent stationing of United States Armed Forces in Afghanistan.

SEC. [9008] 9007. None of the funds made available in this Act may be used in contravention of the following laws enacted or regulations promulgated to implement the United Nations Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (done at New York on December 10, 1984):

(1) Section 2340A of title 18, United States Code.

(2) Section 2242 of the Foreign Affairs Reform and Restructuring Act of 1998 (division G of Public Law 105-277; 112 Stat. 2681-822; 8 U.S.C. 1231 note) and regulations prescribed thereto, including regulations under part 208 of title 8, Code of Federal Regulations, and part 95 of title 22, Code of Federal Regulations.

(3) Sections 1002 and 1003 of the Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act, 2006 (Public Law 109-148).

SEC. 9009. None of the funds provided for the "Afghanistan Security Forces Fund" (ASFF) may be obligated prior to the approval of a financial and activity plan by the Afghanistan Resources Oversight Council (AROC) of the Department of Defense: *Provided*, That the AROC must approve the requirement and acquisition plan for any service requirements in excess of \$50,000,000 annually and any non-standard equipment requirements in excess of \$100,000,000 using ASFF: *Provided further*, That the Department of Defense must certify to the congressional defense committees that the AROC has convened and approved a process for ensuring compliance with the requirements in the preceding proviso and accompanying report language for the ASFF.

SEC. [9010] 9008. Funds made available in this title to the Department of Defense for operation and maintenance may be used to purchase items having an investment unit cost of not more than \$250,000: *Provided*, That, upon determination by the Secretary of Defense that such action is necessary to meet the operational requirements of a Commander of a Combatant Command engaged in contingency operations overseas, such funds may be used to purchase items having an investment item unit cost of not more than \$500,000.

SEC. 9011. Up to \$500,000,000 of funds appropriated by this Act for the Defense Security Cooperation Agency in "Operation and Maintenance, Defense-Wide" may be used to provide assistance to the Government of Jordan to support the armed forces of Jordan and to enhance security along its borders.

SEC. 9012. None of the funds made available by this Act under the heading "Counter-ISIS Train and Equip Fund" may be used to procure or transfer man-portable air defense systems.

SEC. 9013. Of the amounts appropriated in this title under the heading "Operation and Maintenance, Defense-Wide", for the Defense Security Cooperation Agency, \$250,000,000, of which \$125,000,000, to remain available until September 30, 2020, shall be for the Ukraine Security Assistance Initiative: *Provided*, That such funds shall be available to the Secretary of Defense, in coordination with the Secretary of State, to provide assistance, including training; equipment; lethal assistance; logistics

support, supplies and services; sustainment; and intelligence support to the military and national security forces of Ukraine, and for replacement of any weapons or articles provided to the Government of Ukraine from the inventory of the United States: *Provided further*, That of the amounts made available in this section, \$50,000,000 shall be available only for lethal assistance described in paragraphs (2) and (3) of section 1250(b) of the National Defense Authorization Act for Fiscal Year 2016 (Public Law 114–92): *Provided further*, That the Secretary of Defense shall, not less than 15 days prior to obligating funds provided under this heading, notify the congressional defense committees in writing of the details of any such obligation: *Provided further*, That the Secretary of Defense shall, not less than 90 days after such notification is made, inform such committees if such funds have not been obligated and the reasons therefor: *Provided further*, That the United States may accept equipment procured using funds provided under this heading in this or prior Acts that was transferred to the security forces of Ukraine and returned by such forces to the United States: *Provided further*, That equipment procured using funds provided under this heading in this or prior Acts, and not yet transferred to the military or National Security Forces of Ukraine or returned by such forces to the United States, may be treated as stocks of the Department of Defense upon written notification to the congressional defense committees: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

【SEC. 9014. Funds appropriated in this title shall be available for replacement of funds for items provided to the Government of Ukraine from the inventory of the United States to the extent specifically provided for in section 9013 of this Act.】

【SEC. 9015. None of the funds made available by this Act under section 9013 may be used to procure or transfer man-portable air defense systems.】

SEC. 【9016】9009. Equipment procured using funds provided in prior Acts under the heading "Counterterrorism Partnerships Fund" for the program authorized by section 1209 of the Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act for Fiscal Year 2015 (Public Law 113–291), *as amended, or under the heading "Iraq Train and Equip Fund" for the program authorized by section 1236 of such Act, as amended*, and not yet transferred to authorized recipients may be transferred to foreign security forces, irregular forces, groups, or individuals, authorized to receive assistance using amounts provided under the heading "Counter-ISIS Train and Equip Fund" in this Act: *Provided*, That such equipment may be transferred 15 days following written notification to the congressional defense committees.

【SEC. 9017. (a) None of the funds appropriated or otherwise made available by this Act under the heading "Operation and Maintenance, Defense-Wide" for payments under section 1233 of Public Law 110–181 for reimbursement to the Government of Pakistan may be made available unless the Secretary of Defense, in coordination with the Secretary of State, certifies to the congressional defense committees that the Government of Pakistan is—

(1) cooperating with the United States in counterterrorism efforts against the Haqqani Network, the Quetta Shura Taliban, Lashkar e-Tayyiba, Jaish-e-Mohammed, Al Qaeda, and other domestic and foreign terrorist organizations, including taking steps to end support for such groups and prevent them from basing and operating in Pakistan and carrying out cross border attacks into neighboring countries;

(2) not supporting terrorist activities against United States or coalition forces in Afghanistan, and Pakistan's military and intelligence agencies are not intervening extra-judicially into political and judicial processes in Pakistan;

(3) dismantling improvised explosive device (IED) networks and interdicting precursor chemicals used in the manufacture of IEDs;

(4) preventing the proliferation of nuclear-related material and expertise;

(5) implementing policies to protect judicial independence and due process of law;

(6) issuing visas in a timely manner for United States visitors engaged in counterterrorism efforts and assistance programs in Pakistan; and

(7) providing humanitarian organizations access to detainees, internally displaced persons, and other Pakistani civilians affected by the conflict.

(b) The Secretary of Defense, in coordination with the Secretary of State, may waive the restriction in subsection (a) on a case-by-case basis by certifying in writing to the congressional defense committees that it is in the national security interest to do so: *Provided*, That if the Secretary of Defense, in coordination with the Secretary of State, exercises such waiver authority, the Secretaries shall report to the congressional defense committees on both the justification for the waiver and on the requirements of this section that the Government of Pakistan was not able to meet: *Provided further*, That such report may be submitted in classified form if necessary.】

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 9018. In addition to amounts otherwise made available in this Act, \$250,000,000 is hereby appropriated to the Department of Defense and made available for transfer only to the operation and maintenance, military personnel, and procurement accounts, to improve near-term intelligence, surveillance, and reconnaissance capabilities and related processing, exploitation, and dissemination functions of the Department of Defense: *Provided*, That the transfer authority provided in this section is in addition to any other transfer authority provided elsewhere in this Act: *Provided further*, That not later than 30 days prior to exercising the transfer authority provided in this section, the Secretary of Defense shall submit a report to the congressional defense committees on the proposed uses of these funds: *Provided further*, That the funds provided in this section may not be transferred to any program, project, or activity specifically limited or denied by this Act: *Provided further*, That such funds may not be obligated for new start efforts: *Provided further*, That amounts made available by this section are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That the authority to provide funding under this section shall terminate on September 30, 2020.】

【SEC. 9019. None of the funds made available by this Act may be used with respect to Syria in contravention of the War Powers Resolution (50 U.S.C. 1541 et seq.), including for the introduction of United States armed or military forces into hostilities in Syria, into situations in Syria where imminent involvement in hostilities is clearly indicated by the circumstances, or into Syrian territory, airspace, or waters while equipped for combat, in contravention of the congressional consultation and reporting requirements of sections 3 and 4 of that law (50 U.S.C. 1542 and 1543).】

【SEC. 9020. None of the funds in this Act may be made available for the transfer of additional C-130 cargo aircraft to the Afghanistan National Security Forces or the Afghanistan Air Force until the Department of Defense provides a report to the congressional defense committees of the Afghanistan Air Force's medium airlift requirements. The report should identify Afghanistan's ability to utilize and maintain existing medium lift aircraft in the inventory and the best alternative platform, if necessary, to provide additional support to the Afghanistan Air Force's current medium airlift capability.】

SEC. 【9021】9010. Funds available for the Afghanistan Security Forces Fund may be used to provide limited training, equipment, and other assistance that would otherwise be prohibited by 10 U.S.C. 362 to a unit of the security forces of Afghanistan only if the Secretary certifies to the congressional defense committees, within 30 days of a decision to provide such assistance, that (1) a denial of such assistance would present significant risk to U.S. or coalition forces or significantly undermine United States national security objectives in Afghanistan; and (2) the Secretary has sought a commitment by the Government of Afghanistan to take all necessary corrective steps: *Provided*, That such certification shall be accompanied by a report describing: (1) the information relating to the gross violation of human rights; (2) the circumstances that necessitated the provision of such assistance; (3) the Afghan security force unit involved; (4) the assistance provided and the assistance withheld; and (5) the corrective steps to be taken by the Government of Afghanistan: *Provided further*, That every 120 days after the initial report an additional report shall be submitted detailing the status of any corrective steps taken by the Government of Afghanistan: *Provided further*, That if the Government of Afghanistan has not initiated necessary corrective steps within one year of the certification, the authority under this section to provide assistance to such unit shall no longer apply: *Provided further*, That the Secretary shall submit a report to such committees detailing the final disposition of the case by the Government of Afghanistan.

【SEC. 9022. None of the funds made available by this Act may be made available for any member of the Taliban except to support a reconciliation activity that includes the participation of members of the Government of Afghanistan, does not restrict the participation of women, and is authorized by section 1218 of the National Defense Authorization Act for Fiscal Year 2020.】

【(RESCISSIONS)】

【SEC. 9023. Of the funds appropriated in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That such amounts are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985:

- "Weapons and Tracked Combat Vehicles, Army", 2018/2020, \$30,000,000;
- "Aircraft Procurement, Air Force", 2018/2020, \$32,300,000;
- "Operation and Maintenance, Defense-Wide: DSCA Security Cooperation", 2019/2020, \$55,000,000;
- "Operation and Maintenance, Defense-Wide: Coalition Support Fund", 2019/2020, \$30,000,000;

"Afghanistan Security Forces Fund", 2019/2020, \$396,000,000;
"Counter-ISIS Train and Equip Fund", 2019/2020, \$450,000,000;
"Missile Procurement, Army", 2019/2021, \$13,176,000;
"Weapons and Tracked Combat Vehicles, Army", 2019/2021, \$52,477,000;
"Other Procurement, Army", 2019/2021, \$8,750,000;

"Procurement of Ammunition, Navy and Marine Corps", 2019/2021,
\$16,574,000;
"Aircraft Procurement, Air Force", 2019/2021, \$24,713,000; and
"Missile Procurement, Air Force", 2019/2021, \$25,752,000.】
【SEC. 9024. Nothing in this Act may be construed as authorizing the use of force
against Iran.】 (*Department of Defense Appropriations Act, 2020.*)

DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

Federal Funds

IMPROVING ELEMENTARY AND SECONDARY EDUCATION

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-0014-2-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Elementary and secondary education for the disadvantaged block grant			6,841
0900 Total new obligations, unexpired accounts (object class 41.0)			6,841
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			6,841
1900 Budget authority (total)			6,841
1930 Total budgetary resources available			6,841
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6,841
3020 Outlays (gross)			-274
3050 Unpaid obligations, end of year			6,567
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			6,567
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			6,841
Outlays, gross:			
4010 Outlays from new discretionary authority			274
4180 Budget authority, net (total)			6,841
4190 Outlays, net (total)			274

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
New Budget Authority	0	0	\$6,841
Advance appropriation	0	0	12,523
Total program level	0	0	19,363
Change in advance appropriation from the previous year	0	0	+12,523

Elementary and secondary education for the disadvantaged block grant.—Funds are allocated via formula for use by State educational agencies and local educational agencies (LEAs) in carrying out any purpose of the consolidated programs authorized by the Elementary and Secondary Education Act and related laws and consistent with State-determined accountability plans. LEAs are generally required to prioritize their highest poverty schools when allocating funds. States assess annually all students in certain grades in at least English language arts, mathematics, and science; develop systems to differentiate among schools on the basis of performance on those assessments and other indicators; provide parents with information on the performance of their child's school; and ensure the development and implementation of support and improvement plans for the lowest-performing schools.

EDUCATION FOR THE DISADVANTAGED

For carrying out title I and subpart 2 of part B of title II of the Elementary and Secondary Education Act of 1965 (referred to in this Act as "ESEA") and section 418A of the Higher Education Act of 1965 (referred to in this Act as "HEA"), \$16,996,790,000, of which \$6,077,990,000 shall become available on July 1, 2020, and shall remain available through September 30, 2021, and of which \$10,841,177,000 shall become available on October 1, 2020, and shall remain

available through September 30, 2021, for academic year 2020-2021: *Provided*, That \$6,459,401,000 shall be for basic grants under section 1124 of the ESEA: *Provided further*, That up to \$5,000,000 of these funds shall be available to the Secretary of Education (referred to in this title as "Secretary") on October 1, 2019, to obtain annually updated local educational agency-level census poverty data from the Bureau of the Census: *Provided further*, That \$1,362,301,000 shall be for concentration grants under section 1124A of the ESEA: *Provided further*, That \$4,244,050,000 shall be for targeted grants under section 1125 of the ESEA: *Provided further*, That \$4,244,050,000 shall be for education finance incentive grants under section 1125A of the ESEA: *Provided further*, That \$219,000,000 shall be for carrying out subpart 2 of part B of title II: *Provided further*, That \$45,623,000 shall be for carrying out section 418A of the HEA. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-0900-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to local educational agencies	15,857	16,341	10,841
0002 State agency programs: Migrants	375	375	7
0003 State agency programs: Neglected and delinquent	48	48
0004 Special programs for migrant students	45	45
0006 Comprehensive literacy development grants	189	190	192
0007 Innovative approaches to literacy	27
0799 Total direct obligations	16,541	16,999	11,040
0801 Reimbursable program activity	5
0900 Total new obligations, unexpired accounts	16,546	16,999	11,040
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	198	201	199
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,703	6,156
Advance appropriations, discretionary:			
1170 Advance appropriation	10,841	10,841	10,841
Spending authority from offsetting collections, discretionary:			
1700 Collected	5
1900 Budget authority (total)	16,549	16,997	10,841
1930 Total budgetary resources available	16,747	17,198	11,040
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	201	199
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11,148	11,417	10,738
3010 New obligations, unexpired accounts	16,546	16,999	11,040
3020 Outlays (gross)	-16,268	-17,678	-16,569
3041 Recoveries of prior year unpaid obligations, expired	-9
3050 Unpaid obligations, end of year	11,417	10,738	5,209
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11,148	11,417	10,738
3200 Obligated balance, end of year	11,417	10,738	5,209
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16,549	16,997	10,841
Outlays, gross:			
4010 Outlays from new discretionary authority	6,661	7,834	7,589
4011 Outlays from discretionary balances	9,607	9,844	8,980
4020 Outlays, gross (total)	16,268	17,678	16,569
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-5
4180 Budget authority, net (total)	16,544	16,997	10,841
4190 Outlays, net (total)	16,263	17,678	16,569

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
New Budget Authority	\$5,703	\$6,156	0
Advance appropriation	10,841	10,841	0
Total program level	15,860	16,997	0

EDUCATION FOR THE DISADVANTAGED—Continued

SUMMARY OF PROGRAM LEVEL—Continued

	2019–2020 Academic Year	2020–2021 Academic Year	2021–2022 Academic Year
Change in advance appropriation from the previous year	0	0	–\$10,841

Object Classification (in millions of dollars)

Identification code 091–0900–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	6	10
25.2 Other services from non-Federal sources	9	5
25.3 Other goods and services from Federal sources	5
25.5 Research and development contracts	4
25.7 Operation and maintenance of equipment	5	5
41.0 Grants, subsidies, and contributions	16,516	16,974	11,040
99.0 Direct obligations	16,540	16,999	11,040
99.0 Reimbursable obligations	5
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	16,546	16,999	11,040

IMPACT AID

For carrying out programs of financial assistance to federally affected schools authorized by title VII of the ESEA, **[\$1,486,112,000]** *\$1,410,799,000*, of which \$1,340,242,000 shall be for basic support payments under section 7003(b), \$48,316,000 shall be for payments for children with disabilities under section 7003(d), \$17,406,000, *to remain available through September 30, 2022*, shall be for construction under section **[7007(a), \$75,313,000]** shall be for Federal property payments under section **7002** *7007(b)*, and \$4,835,000, to remain available until expended, shall be for facilities maintenance under section 7008: *Provided*, That for purposes of computing the amount of a payment for an eligible local educational agency under section 7003(a) for school year **[2019–2020]** *2020–2021*, children enrolled in a school of such agency that would otherwise be eligible for payment under section 7003(a)(1)(B) of such Act, but due to the deployment of both parents or legal guardians, or a parent or legal guardian having sole custody of such children, or due to the death of a military parent or legal guardian while on active duty (so long as such children reside on Federal property as described in section 7003(a)(1)(B)), are no longer eligible under such section, shall be considered as eligible students under such section, provided such students remain in average daily attendance at a school in the same local educational agency they attended prior to their change in eligibility status. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0102–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Basic support payments	1,302	1,340	1,340
0002 Payments for children with disabilities	48	48	48
0091 Direct program activities, subtotal	1,350	1,388	1,388
0101 Facilities maintenance	12	5
0201 Construction	34	17
0301 Payments for Federal property	74	75
0900 Total new obligations, unexpired accounts (object class 41.0)	1,424	1,509	1,410
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	26	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,446	1,486	1,411
1930 Total budgetary resources available	1,450	1,512	1,414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	3	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	198	201	330
3010 New obligations, unexpired accounts	1,424	1,509	1,410
3011 Obligations ("upward adjustments"), expired accounts	176
3020 Outlays (gross)	–1,421	–1,380	–1,445

3041 Recoveries of prior year unpaid obligations, expired	–176
3050 Unpaid obligations, end of year	201	330	295
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	198	201	330
3200 Obligated balance, end of year	201	330	295

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,446	1,486	1,411
Outlays, gross:			
4010 Outlays from new discretionary authority	1,242	1,320	1,252
4011 Outlays from discretionary balances	179	60	193
4020 Outlays, gross (total)	1,421	1,380	1,445
4180 Budget authority, net (total)	1,446	1,486	1,411
4190 Outlays, net (total)	1,421	1,380	1,445

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, limiting LEAs access a central source of revenue used by most communities to finance education.

Basic support payments.—Payments will be made on behalf of approximately 800,000 federally connected students enrolled in over 1,000 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,730.

Payments for children with disabilities.—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 50,000 federally connected students with disabilities in over 800 LEAs. Average per-student payments will be approximately \$950.

Facilities Maintenance.—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

Construction.—Approximately 7 to 8 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

SCHOOL IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by part B of title I, part A of title II, subpart 1 of part A of title IV, part B of title IV, part B of title V, and parts B and C of title VI of the ESEA; **[the McKinney-Vento Homeless Assistance Act; section 203 of the Educational Technical Assistance Act of 2002; the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, [\$5,404,967,000]** *\$392,374,000*, of which **[\$3,575,402,000]** *\$378,000,000 shall be for part B of title I of the ESEA and shall become available on July 1, [2020]* *2021*, and remain available through September 30, **[2021]**, and of which \$1,681,441,000 shall become available on October 1, 2020, and shall remain available through September 30, 2021, for academic year 2020–2021: *Provided*, That \$378,000,000 shall be for part B of title I: *Provided further*, That \$1,249,673,000 shall be for part B of title IV: *Provided further*, That \$36,897,000 shall be for part B of title VI, which may be used for construction, renovation, and modernization of any public elementary school, secondary school, or structure related to a public elementary school or secondary school that serves a predominantly Native Hawaiian student body, and that the 5 percent limitation in section 6205(b) of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That \$35,953,000 shall be for part C of title VI, which shall be awarded on a competitive basis, and may be used for construction, and that the 5 percent limitation in section 6305 of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That \$52,000,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002 and the Secretary shall make such arrangements as determined to be necessary to ensure that the Bureau of Indian Education has access to services provided under this section **[2022: Provided [further]**, That \$16,699,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: *Provided further*,

That the Secretary may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of these grants: *Provided further*, That \$185,840,000 shall be for part B of title V: *Provided further*, That \$1,210,000,000 shall be available for grants under subpart 1 of part A of title IV]. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–1000–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Supporting effective instruction State grants	2,046	2,138	1,681
0002 21st century community learning centers	1,224	1,255
0003 State assessments	383	381	369
0004 Education for homeless children and youths	93	102
0005 Native Hawaiians education	36	37
0006 Alaska Native education	35	35
0007 Training and advisory services	7	7	7
0008 Rural education	180	187
0009 Supplemental education grants	17	17	17
0010 Comprehensive centers	52	52
0011 Pooled evaluation	15	11
0012 Student support and academic enrichment	1,164	1,234
0900 Total new obligations, unexpired accounts	5,252	5,456	2,074
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	57	52	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,566	3,724	392
Advance appropriations, discretionary:			
1170 Advance appropriation	1,681	1,681	1,681
1900 Budget authority (total)	5,247	5,405	2,073
1930 Total budgetary resources available	5,304	5,457	2,074
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	52	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,710	6,201	6,377
3010 New obligations, unexpired accounts	5,252	5,456	2,074
3020 Outlays (gross)	-4,747	-5,280	-5,332
3041 Recoveries of prior year unpaid obligations, expired	-14
3050 Unpaid obligations, end of year	6,201	6,377	3,119
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,710	6,201	6,377
3200 Obligated balance, end of year	6,201	6,377	3,119
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,247	5,405	2,073
Outlays, gross:			
4010 Outlays from new discretionary authority	898	1,083	1,017
4011 Outlays from discretionary balances	3,849	4,197	4,315
4020 Outlays, gross (total)	4,747	5,280	5,332
4180 Budget authority, net (total)	5,247	5,405	2,073
4190 Outlays, net (total)	4,747	5,280	5,332

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019–2020 Academic Year	2020–2021 Academic Year	2021–2022 Academic Year
New Budget Authority	\$3,566	\$3,724	\$392
Advance Appropriation	1,681	1,681	0
Total program level	5,247	5,405	392
Change in advance appropriation over previous year	0	0	-1,681

State assessments.—Funds support formula grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards. Funds could also support audits of State and local assessment systems.

Training and advisory services.—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, sex, national origin, and religion.

Supplemental education grants.—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

Object Classification (in millions of dollars)

Identification code 091–1000–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	15	16
25.2 Other services from non-Federal sources	24	25
25.3 Other goods and services from Federal sources	7	8
25.7 Operation and maintenance of equipment	2	3
41.0 Grants, subsidies, and contributions	5,204	5,404	2,074
99.9 Total new obligations, unexpired accounts	5,252	5,456	2,074

SAFE SCHOOLS AND CITIZENSHIP EDUCATION

For carrying out activities authorized by subparts 2 and 3 of part F of title IV of the ESEA, \$210,000,000: *Provided*, That \$105,000,000 shall be available for section 4631, of which up to \$5,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence (Project SERV) program: *Provided further*, That \$25,000,000 shall be available for section 4625: *Provided further*, That \$80,000,000 shall be available through December 31, 2020, for section 4624, of which \$6,000,000 shall be for additional two-year extension awards to grantees that received such awards in fiscal year 2018. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0203–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 School safety national activities	94	116
0002 Full-service community schools	17	25
0003 Promise neighborhoods	49	84	72
0500 Direct program activities, subtotal	160	225	72
0900 Total new obligations, unexpired accounts	160	225	72
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	87	72
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	191	210
1121 Appropriations transferred from other acct [091–0013]	2
1160 Appropriation, discretionary (total)	193	210
1930 Total budgetary resources available	247	297	72
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	87	72
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	273	269	295
3010 New obligations, unexpired accounts	160	225	72
3020 Outlays (gross)	-160	-199	-185
3041 Recoveries of prior year unpaid obligations, expired	-4
3050 Unpaid obligations, end of year	269	295	182
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	273	269	295
3200 Obligated balance, end of year	269	295	182
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	193	210
Outlays, gross:			
4010 Outlays from new discretionary authority	1	4
4011 Outlays from discretionary balances	159	195	185
4020 Outlays, gross (total)	160	199	185
4180 Budget authority, net (total)	193	210
4190 Outlays, net (total)	160	199	185

SAFE SCHOOLS AND CITIZENSHIP EDUCATION—Continued

School safety national activities.—Funds would support activities to improve students' safety and well-being. These have funds been consolidated in the Elementary and Secondary Education for the Disadvantaged Block Grant, with funds reserved for continuation awards to existing grantees. This provides States with flexible resources to carry out school safety-related activities.

Object Classification (in millions of dollars)

Identification code 091-0203-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	8	8
41.0 Grants, subsidies, and contributions	151	217	72
99.0 Direct obligations	159	225	72
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	160	225	72

INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title VI, part A of the ESEA, \$180,739,000, of which \$67,993,000 shall be for subpart 2 of part A of title VI and \$7,365,000 shall be for subpart 3 of part A of title VI: *Provided*, That the 5 percent limitation in sections 6115(d), 6121(e), and 6133(g) of the ESEA on the use of funds for administrative purposes shall apply only to direct administrative costs: *Provided further*, That the Secretary may make awards under subpart 3 of Part A of title VI without regard to the funding limitation in section 6133(b)(1) of the ESEA: *Provided further*, That notwithstanding sections 6132(c)(2) and 6133(d)(1) of such Act, the Secretary may make such awards for a period of up to 5 years. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-0101-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to local educational agencies	105	106	106
0002 Special programs for Indian children	68	68	68
0003 National activities	7	7	7
0900 Total new obligations, unexpired accounts	180	181	181
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	180	181	181
1930 Total budgetary resources available	180	181	181
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	212	223	181
3010 New obligations, unexpired accounts	180	181	181
3020 Outlays (gross)	-166	-223	-181
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	223	181	181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	212	223	181
3200 Obligated balance, end of year	223	181	181
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	180	181	181
Outlays, gross:			
4010 Outlays from new discretionary authority	3	9	9
4011 Outlays from discretionary balances	163	214	172
4020 Outlays, gross (total)	166	223	181
4180 Budget authority, net (total)	180	181	181
4190 Outlays, net (total)	166	223	181

The Indian Education programs support the efforts of local educational agencies (LEA), tribes, and Indian organizations to improve teaching and learning for the Nation's American Indian and Alaska Native children.

Grants to local educational agencies.—Formula grants support LEAs in their efforts to enhance and supplement elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

Special programs for Indian children.—Funds support awards under the Demonstration Grants authority including for projects expanding educational choice, as well as professional development grants for training Native American teachers and administrators for employment in school districts that serve a high proportion of Indian students.

National activities.—Funds support research, evaluation, data collection, and related activities, grants for Native language immersion schools and programs, and grants to Tribes to create Tribal educational agencies and to expand the capacity of existing Tribal educational agencies through education administrative planning, development, and coordination.

Object Classification (in millions of dollars)

Identification code 091-0101-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	2	2	2
25.7 Operation and maintenance of equipment	1	1	1
41.0 Grants, subsidies, and contributions	177	178	178
99.9 Total new obligations, unexpired accounts	180	181	181

OFFICE OF INNOVATION AND IMPROVEMENT

Federal Funds

INNOVATION AND IMPROVEMENT

For carrying out activities authorized by subparts 1, 3 and 4 of part B of title II, and parts C, D, and E and subparts 1 and 4 of part F of title IV of the ESEA, \$1,103,815,000: *Provided*, That \$284,815,000 shall be for subparts 1, 3 and 4 of part B of title II and shall be made available without regard to sections 2201, 2231(b) and 2241: *Provided further*, That \$629,000,000 shall be for parts C, D, and E and subpart 4 of part F of title IV, and shall be made available without regard to sections 4311, 4409(a), and 4601 of the ESEA: *Provided further*, That section 4303(d)(3)(A)(i) shall not apply to the funds available for part C of title IV: *Provided further*, That of the funds available for part C of title IV, the Secretary shall use \$60,000,000 to carry out section 4304, of which not more than \$10,000,000 shall be available to carry out section 4304(k), \$140,000,000, to remain available through March 31, 2021, to carry out section 4305(b), and not more than \$15,000,000 to carry out the activities in section 4305(a)(3): *Provided further*, That notwithstanding section 4601(b), \$190,000,000 shall be available through December 31, 2020 for subpart 1 of part F of title IV. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-0204-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Education, innovation and research	124	190
0002 Teacher and school leader incentive fund	200	200
0003 American history and civics	5	5
0004 Supporting effective educator development (SEED)	75	80
0005 Charter schools	462	461
0006 Magnet schools	114	107
0007 Ready to learn programming	28	29
0008 Arts in education	29	30
0009 Javits gifted and talented education	12	13
0010 Statewide family engagement centers	15	10
0799 Total direct obligations	1,064	1,125
0801 DC schools/SOAR Act	68	53	90
0900 Total new obligations, unexpired accounts	1,132	1,178	90
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	117	21
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,036	1,104

Spending authority from offsetting collections, discretionary:			
1700	Collected	53	90
1900	Budget authority (total)	1,036	1,157
1930	Total budgetary resources available	1,153	1,178
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2,464	2,518
3010	New obligations, unexpired accounts	1,132	1,178
3020	Outlays (gross)	-1,004	-1,498
3041	Recoveries of prior year unpaid obligations, expired	-74	
3050	Unpaid obligations, end of year	2,518	2,198
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2,464	2,518
3200	Obligated balance, end of year	2,518	2,198

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,036	1,157
Outlays, gross:			
4010	Outlays from new discretionary authority	6	23
4011	Outlays from discretionary balances	998	1,475
4020	Outlays, gross (total)	1,004	1,498
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources		-53
4180	Budget authority, net (total)	1,036	1,104
4190	Outlays, net (total)	1,004	1,445

Funds for Charter schools, Magnet schools, education innovation research, JAVITs Gifted and Talented, Arts Education, Ready to Learn Television, History Teaching Academies, Statewide Family Engagement Centers, and Teacher professional development programs will be consolidated into the Elementary and Secondary Education for the Disadvantaged Block Grant. This consolidation provides States with flexible resources to allow them to determine how best to serve their students.

Object Classification (in millions of dollars)

Identification code 091-0204-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	Advisory and assistance services	1	1
25.2	Other services from non-Federal sources	15	15
25.3	Other goods and services from Federal sources	1	1
25.5	Research and development contracts		1
25.7	Operation and maintenance of equipment	1	1
41.0	Grants, subsidies, and contributions	1,046	1,106
99.0	Direct obligations	1,064	1,125
99.0	Reimbursable obligations	68	53
99.9	Total new obligations, unexpired accounts	1,132	1,178

OFFICE OF ENGLISH LANGUAGE ACQUISITION

Federal Funds

ENGLISH LANGUAGE ACQUISITION

For carrying out part A of title III of the ESEA, \$787,400,000, which shall become available on July 1, 2020, and shall remain available through September 30, 2021, except that 6.5 percent of such amount shall be available on October 1, 2019, and shall remain available through September 30, 2021, to carry out activities under section 3111(c)(1)(C). (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091-1300-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	English language acquisition grants	739	792
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7	5

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	737	787
1930	Total budgetary resources available	744	792
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,101	1,090
3010	New obligations, unexpired accounts	739	792
3020	Outlays (gross)	-749	-800
3041	Recoveries of prior year unpaid obligations, expired	-1	
3050	Unpaid obligations, end of year	1,090	1,082
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,101	1,090
3200	Obligated balance, end of year	1,090	1,082

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	737	787
Outlays, gross:			
4010	Outlays from new discretionary authority	3	8
4011	Outlays from discretionary balances	746	792
4020	Outlays, gross (total)	749	800
4180	Budget authority, net (total)	737	787
4190	Outlays, net (total)	749	800

English language acquisition grants.—This program supports formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development to increase the supply of high-quality teachers of English Learners and a national information clearinghouse on English language acquisition.

Object Classification (in millions of dollars)

Identification code 091-1300-0-1-501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	2	2
25.5	Research and development contracts	3	3
41.0	Grants, subsidies, and contributions	734	787
99.9	Total new obligations, unexpired accounts	739	792

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

Federal Funds

SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act (IDEA) and the Special Olympics Sport and Empowerment Act of 2004, \$13,885,228,000 \$13,985,228,000, of which \$4,352,129,000 \$4,452,129,000 shall become available on July 1, 2020 2021, and shall remain available through September 30, 2021 2022, and of which \$9,283,383,000 shall become available on October 1, 2020 2021, and shall remain available through September 30, 2021 2022, for academic year 2020-2021 2021-2022: *Provided*, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available for that activity during fiscal year 2019 2020, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year 2019 2020: *Provided further*, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611, from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: *Provided further*, That the Secretary may not distribute any funds

SPECIAL EDUCATION—Continued

under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: *Provided further*, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): *Provided further*, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: *Provided further*, That, notwithstanding the provision in section 612(a)(18)(B) regarding the fiscal year in which a State's allocation under section 611(d) is reduced for failure to comply with the requirement of section 612(a)(18)(A), the Secretary may apply the reduction specified in section 612(a)(18)(B) over a period of consecutive fiscal years, not to exceed five, until the entire reduction is applied: *Provided further*, That the Secretary may, in any fiscal year in which a State's allocation under section 611 is reduced in accordance with section 612(a)(18)(B), reduce the amount a State may reserve under section 611(e)(1) by an amount that bears the same relation to the maximum amount described in that paragraph as the reduction under section 612(a)(18)(B) bears to the total allocation the State would have received in that fiscal year under section 611(d) in the absence of the reduction: *Provided further*, That the Secretary shall either reduce the allocation of funds under section 611 for any fiscal year following the fiscal year for which the State fails to comply with the requirement of section 612(a)(18)(A) as authorized by section 612(a)(18)(B), or seek to recover funds under section 452 of the General Education Provisions Act (20 U.S.C. 1234a): *Provided further*, That the funds reserved under 611(c) of the IDEA may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under parts B and C of the IDEA: *Provided further*, That the Secretary may use funds made available for the State Personnel Development Grants program under part D, subpart 1 of IDEA to evaluate program performance under such subpart: *Provided further*, That States may use funds reserved for other State-level activities under sections 611(e)(2) and 619(f) of the IDEA to make subgrants to local educational agencies, institutions of higher education, other public agencies, and private non-profit organizations to carry out activities authorized by those sections: *Provided further*, That, notwithstanding section 643(e)(2)(A) of the IDEA, if 5 or fewer States apply for grants pursuant to section 643(e) of such Act, the Secretary shall provide a grant to each State in an amount equal to the maximum amount described in section 643(e)(2)(B) of such Act: *Provided further*, That if more than 5 States apply for grants pursuant to section 643(e) of the IDEA, the Secretary shall award funds to those States on the basis of the States' relative populations of infants and toddlers except that no such State shall receive a grant in excess of the amount described in section 643(e)(2)(B) of such Act. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0300-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States	12,360	12,774	12,874
0002 Preschool grants	391	394	394
0003 Grants for infants and families	470	477	477
0091 Subtotal, State grants	13,221	13,645	13,745
0101 State personnel development	39	39	39
0102 Technical assistance and dissemination	43	44	44
0103 Personnel preparation	87	90	90
0104 Parent information centers	27	27	27
0105 Educational technology, media, and materials	28	30	30
0191 Subtotal, National activities	224	230	230
0201 Special Olympics education program	17	20	20
0900 Total new obligations, unexpired accounts	13,462	13,895	13,995
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	20	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,185	4,602	4,702
Advance appropriations, discretionary:			
1170 Advance appropriation	9,283	9,283	9,283
1900 Budget authority (total)	13,468	13,885	13,985
1930 Total budgetary resources available	13,484	13,905	13,995
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		

1941	Unexpired unobligated balance, end of year	20	10	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	7,925	8,202	8,354
3010	New obligations, unexpired accounts	13,462	13,895	13,995
3011	Obligations ("upward adjustments"), expired accounts	2		
3020	Outlays (gross)	-13,180	-13,743	-13,846
3041	Recoveries of prior year unpaid obligations, expired	-7		
3050	Unpaid obligations, end of year	8,202	8,354	8,503
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	7,925	8,202	8,354
3200	Obligated balance, end of year	8,202	8,354	8,503
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	13,468	13,885	13,985
Outlays, gross:				
4010	Outlays from new discretionary authority	6,086	6,347	6,352
4011	Outlays from discretionary balances	7,094	7,396	7,494
4020	Outlays, gross (total)	13,180	13,743	13,846
4180	Budget authority, net (total)	13,468	13,885	13,985
4190	Outlays, net (total)	13,180	13,743	13,846

SUMMARY OF IDEA FORMULA GRANTS PROGRAM LEVELS

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
Current Budget Authority	4,185	4,602	4,702
Advance appropriation	9,283	9,283	9,283
Total program level	13,469	13,885	13,985
Change in advance appropriation from the previous year	0	0	0

Grants to States.—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

Preschool grants.—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program. The goal of both of the Grants to States and the Preschool grants programs is to improve results for children with disabilities by assisting State and local educational agencies (LEAs) to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living. LEAs may reserve up to 15 percent of the funds they receive under Part B of the Individuals with Disabilities Education Act to provide comprehensive coordinated early intervening services to children age 3 through grade 12.

Grants for infants and families.—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age two, and their families. The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

National activities.—Funds are provided for personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs. The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

Special Olympics education programs.—Funds are provided to promote the expansion of the Special Olympics and the design and implementation of Special Olympics education programs.

Performance data related to program goals include:

Basis for Leaving Special Education for Youth with Disabilities Ages 14 and Older

Status of Exiting Students Percent / number of students with disabilities aged 14–21 exiting special education:	2015–2016	2016–2017	2017–2018
	Actual	Actual	Actual
Graduated with a diploma	44.9% / 269,246	45.7% / 278,704	47.5% / 300,447
Graduated through certification	7.1% / 42,590	7.0% / 42,682	6.4% / 40,312
Transferred to regular education	9.3% / 56,113	10.3% / 62,465	9.5% / 60,189
Dropped out of school/not known to continue	11.2% / 67,305	11.1% / 67,753	40.4% / 66,113
Moved, but known to have continued in education	26.5% / 158,889	24.9% / 151,589	25.2% / 159,302
Reached maximum age for services/other	1.0% / 6,284	1.0% / 6,173	1.0% / 6,383
Total	100% / 600,427	100% / 609,366	100% / 632,746

Object Classification (in millions of dollars)

Identification code 091–0300–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	13,461	13,894	13,994
99.9 Total new obligations, unexpired accounts	13,462	13,895	13,995

REHABILITATION SERVICES

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973 and the Helen Keller National Center Act, **[\$3,747,739,000] \$3,782,952,000**, of which **[\$3,610,040,000] \$3,667,801,000** shall be for grants for vocational rehabilitation services under title I of the Rehabilitation Act: *Provided*, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income ("SSI") and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: *Provided further*, That States may award subgrants for a portion of the funds to other public and private, nonprofit entities: *Provided further*, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, 2021. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 091–0301–0–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Vocational rehabilitation State grants	3,304	3,397	3,668
0002 Client assistance State grants	13	13	13
0003 Supported employment State grants	22	23
0004 Training	30	29	29
0005 Demonstration and Training Programs	5	6	6
0006 Independent living services for older blind individuals	33	33	33
0007 Protection and advocacy of individual rights	18	18	18
0008 Helen Keller National Center	14	16	16
0100 Total direct program	3,439	3,535	3,783
0900 Total new obligations, unexpired accounts	3,439	3,535	3,783
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	135	138	115
Appropriations, mandatory:			
1200 Appropriation	3,522	3,610	3,668
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-218	-213
1260 Appropriations, mandatory (total)	3,304	3,397	3,668
1900 Budget authority (total)	3,439	3,535	3,783
1930 Total budgetary resources available	3,439	3,535	3,783

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,039	2,257	1,955
3010 New obligations, unexpired accounts	3,439	3,535	3,783
3011 Obligations ("upward adjustments"), expired accounts	6
3020 Outlays (gross)	-3,176	-3,837	-3,670
3041 Recoveries of prior year unpaid obligations, expired	-51
3050 Unpaid obligations, end of year	2,257	1,955	2,068
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,039	2,257	1,955
3200 Obligated balance, end of year	2,257	1,955	2,068

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	135	138	115
Outlays, gross:			
4010 Outlays from new discretionary authority	64	69	58
4011 Outlays from discretionary balances	129	85	69
4020 Outlays, gross (total)	193	154	127
Mandatory:			
4090 Budget authority, gross	3,304	3,397	3,668
Outlays, gross:			
4100 Outlays from new mandatory authority	1,502	1,698	1,834
4101 Outlays from mandatory balances	1,481	1,985	1,709
4110 Outlays, gross (total)	2,983	3,683	3,543
4180 Budget authority, net (total)	3,439	3,535	3,783
4190 Outlays, net (total)	3,176	3,837	3,670

Vocational rehabilitation State grants.—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. In 2019, State VR agencies assisted an estimated 143,523 individuals with disabilities to obtain an employment outcome, about 94 percent of whom were individuals with significant disabilities. VR State Grants is a core program of the workforce development system under the Workforce Innovation and Opportunity Act (WIOA) and a required partner in the one-stop service delivery system for accessing employment and training services. Amendments made by WIOA require State VR agencies to reserve and use at least 15 percent of their Federal grant allotment to support pre-employment transition services for students with disabilities provided in accordance with section 113 of the Rehabilitation Act. Between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program must be set aside for Grants for Indians. The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute.

Client assistance State grants.—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

Training.—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

Demonstration and training programs.—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services.

Independent living services for older individuals who are blind.—Grants are awarded to States to assist individuals over the age of 55 with severe visual disabilities to adjust to their disability and increase their ability to care for their own needs.

REHABILITATION SERVICES—Continued

Protection and advocacy of individual rights.—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

Helen Keller National Center for Deaf-Blind Youths and Adults.—The Center provides services to deaf-blind youths and adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

Object Classification (in millions of dollars)

Identification code 091-0301-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
41.0 Grants, subsidies, and contributions	3,438	3,534	3,782
99.9 Total new obligations, unexpired accounts	3,439	3,535	3,783

AMERICAN PRINTING HOUSE FOR THE BLIND

For carrying out the Act to Promote the Education of the Blind of March 3, 1879, \$32,431,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0600-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 American printing house for the blind	30	32	32
0900 Total new obligations, unexpired accounts (object class 41.0)	30	32	32
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	32	32
1930 Total budgetary resources available	30	32	32
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	10	8
3010 New obligations, unexpired accounts	30	32	32
3020 Outlays (gross)	-30	-34	-32
3050 Unpaid obligations, end of year	10	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	10	8
3200 Obligated balance, end of year	10	8	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	32	32
Outlays, gross:			
4010 Outlays from new discretionary authority	20	24	24
4011 Outlays from discretionary balances	10	10	8
4020 Outlays, gross (total)	30	34	32
4180 Budget authority, net (total)	30	32	32
4190 Outlays, net (total)	30	34	32

The 2021 request supports: the production and distribution of free educational materials for students below the college level who are blind; research related to developing and improving products; and advisory services to consumer organizations on the availability and use of materials. In 2019, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2019 appropriation represented approximately 61 percent of the Printing House's total budget. The 2021 request is expected to be allocated in a similar manner.

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, \$79,500,000: *Provided*, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0601-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	78	80	80
0900 Total new obligations, unexpired accounts (object class 41.0)	78	80	80
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	78	80	80
1930 Total budgetary resources available	78	80	80
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	7	2
3010 New obligations, unexpired accounts	78	80	80
3020 Outlays (gross)	-75	-85	-80
3050 Unpaid obligations, end of year	7	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	7	2
3200 Obligated balance, end of year	7	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	78	80	80
Outlays, gross:			
4010 Outlays from new discretionary authority	72	79	79
4011 Outlays from discretionary balances	3	6	1
4020 Outlays, gross (total)	75	85	80
4180 Budget authority, net (total)	78	80	80
4190 Outlays, net (total)	75	85	80

This program provides postsecondary technical and professional education for individuals who are deaf or hard of hearing, provides training, and conducts applied research into employment-related aspects of deafness. In 2019, the Federal appropriation represented approximately 76 percent of the Institute's operating budget. The 2021 request includes funds that may be used for the Endowment Grant program.

GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, \$137,361,000: *Provided*, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0602-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	134	137	137
0900 Total new obligations, unexpired accounts (object class 41.0)	134	137	137
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	134	137	137
1930 Total budgetary resources available	134	137	137
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		13	1
3010 New obligations, unexpired accounts	134	137	137

3020	Outlays (gross)	-121	-149	-137
3050	Unpaid obligations, end of year	13	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		13	1
3200	Obligated balance, end of year	13	1	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	134	137	137
Outlays, gross:				
4010	Outlays from new discretionary authority	121	136	136
4011	Outlays from discretionary balances		13	1
4020	Outlays, gross (total)	121	149	137
4180	Budget authority, net (total)	134	137	137
4190	Outlays, net (total)	121	149	137

This institution provides undergraduate, continuing education, and graduate programs for students who are deaf, hard of hearing, and hearing. The University also conducts basic and applied research and provides public service programs for persons with hearing loss and persons who work with them.

The University operates the Laurent Clerc National Deaf Education Center, which includes elementary and secondary education programs on the main campus of the University serving students who are deaf or hard of hearing. The Kendall Demonstration Elementary School serves students from birth through grade 8, and the Model Secondary School for the Deaf serves high school students in grades 9 through 12. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2019, the appropriation for Gallaudet represented approximately 68 percent of total revenue for the University. Approximately 25 percent of the Federal appropriation was used to support activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2021 request includes funds that may be used for the Endowment Grant program.

OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION

Federal Funds

CAREER, TECHNICAL, AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, the Carl D. Perkins Career and Technical Education Act of 2006 ("Perkins Act") and the Adult Education and Family Literacy Act ("AEFLA"), **[\$1,169,686,000]** \$1,932,265,000 shall become available on July 1, **[2020]**2021, and shall remain available through September 30, **[2021]**2022, and of which \$791,000,000 shall become available on October 1, **[2020]**2021, and shall remain available through September 30, **[2021]**2022: *Provided, That notwithstanding section 114(e)(1) of the Perkins Act, \$82,579,000 shall be available for innovation and modernization grants under section 114(e) of such Act and for activities to support such grants: Provided further, That of the amounts made available for AEFLA, \$13,712,000 shall be for national leadership activities under section 242. (Department of Education Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 091-0400-0-1-501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Career and Technical Education State Grants	1,262	1,283	1,963
0002 Career and Technical Education National Activities	9	7	90
0091 Subtotal, Career and Technical	1,271	1,290	2,053
0101 Adult Basic and Literacy Education State Grants	656	657	657
0102 Adult Education National Leadership Activities	14	14	14
0191 Subtotal, Adult Education	670	671	671

0900	Total new obligations, unexpired accounts	1,941	1,961	2,724
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	22	20	20
1021	Recoveries of prior year unpaid obligations	13		
1050	Unobligated balance (total)	35	20	20
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,135	1,170	1,932
Advance appropriations, discretionary:				
1170	Advance appropriation	791	791	791
1900	Budget authority (total)	1,926	1,961	2,723
1930	Total budgetary resources available	1,961	1,981	2,743
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	20	20	19

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,601	1,730	1,781
3010	New obligations, unexpired accounts	1,941	1,961	2,724
3011	Obligations ("upward adjustments"), expired accounts	7		
3020	Outlays (gross)	-1,798	-1,910	-1,983
3040	Recoveries of prior year unpaid obligations, unexpired	-13		
3041	Recoveries of prior year unpaid obligations, expired	-8		
3050	Unpaid obligations, end of year	1,730	1,781	2,522
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,601	1,730	1,781
3200	Obligated balance, end of year	1,730	1,781	2,522

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,926	1,961	2,723
Outlays, gross:				
4010	Outlays from new discretionary authority	523	612	651
4011	Outlays from discretionary balances	1,275	1,298	1,332
4020	Outlays, gross (total)	1,798	1,910	1,983
4180	Budget authority, net (total)	1,926	1,961	2,723
4190	Outlays, net (total)	1,798	1,910	1,983

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2019-2020 Academic Year	2020-2021 Academic Year	2021-2022 Academic Year
New Budget Authority	1,135	1,170	1,932
Advance Appropriation	791	791	791
Total program level	1,926	1,961	2,723
Change in advance appropriation over previous year	0	0	0

Career and Technical Education:

Career and technical education State grants.—Funds support formula grants to States to expand and improve career and technical education (CTE) in high schools, technical schools, and community colleges under the Carl D. Perkins Career and Technical Education Act of 2006, as amended. The request aligns with the Administration's agenda to expand and reform America's workforce preparation system.

Career and technical education national programs.—Funds support research, development, dissemination, evaluation, assessment, capacity building, and technical assistance activities aimed at improving the quality and effectiveness of CTE programs under the Carl D. Perkins Career and Technical Education Act of 2006, as amended. Funds would also support a significant expansion of the Innovation and Modernization grants program with a focus on science, technology, engineering, and mathematics (STEM) fields, including computer science.

Adult Education:

Adult education State programs.—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

Adult education national leadership activities.—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult

CAREER, TECHNICAL, AND ADULT EDUCATION—Continued
education programs, to test and demonstrate methods of improving program quality, and to provide technical assistance to States.

Object Classification (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Direct obligations: 25.1 Advisory and assistance services, 25.2 Other services from non-Federal sources, 25.5 Research and development contracts, 41.0 Grants, subsidies, and contributions, and 99.9 Total new obligations, unexpired accounts.

CAREER AND TECHNICAL EDUCATION STATE GRANTS, H-1B FUNDED
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity (0001, 0900), Budgetary resources (1201, 1930), Change in obligated balance (3010, 3020, 3050, 3200), and Budget authority and outlays, net (4090, 4100, 4180, 4190).

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for Department of Labor (DOL) job training grants to support apprenticeship and continue to support other job training and related expenses at DOL, Department of Homeland Security, and the National Science Foundation. In addition, this proposal would initiate a new set-aside for the Department of Education's Career and Technical Education State Grants.

OFFICE OF POSTSECONDARY EDUCATION

Federal Funds

HIGHER EDUCATION

For carrying out, to the extent not otherwise provided, titles [II,] III, IV, V, [VI,] and VII [, and VIII] of the HEA, [the Mutual Educational and Cultural Exchange Act of 1961,] and section 117 of the Perkins Act, [\$2,475,792,000, of which \$24,500,000 shall remain available through December 31, 2020: Provided, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries

by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: Provided further, That of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: Provided further, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV of the HEA may be used for evaluation] \$1,788,634,000. (Department of Education Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity (0001-0111, 0191-0204, 0291-0401, 0900) and Budgetary resources (1000-1940).

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Budgetary resources (1000-1940) and Change in obligated balance (3000-3020).

3041	Recoveries of prior year unpaid obligations, expired	-38		
3050	Unpaid obligations, end of year	3,544	3,722	3,138
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3,495	3,544	3,722
3200	Obligated balance, end of year	3,544	3,722	3,138
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,312	2,476	1,789
Outlays, gross:				
4010	Outlays from new discretionary authority	30	74	54
4011	Outlays from discretionary balances	2,168	2,177	2,324
4020	Outlays, gross (total)	2,198	2,251	2,378
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	2,312	2,476	1,789
4080	Outlays, net (discretionary)	2,197	2,251	2,378
Mandatory:				
4090	Budget authority, gross	239	240	255
Outlays, gross:				
4100	Outlays from new mandatory authority		7	8
4101	Outlays from mandatory balances	253	280	242
4110	Outlays, gross (total)	253	287	250
4180	Budget authority, net (total)	2,551	2,716	2,044
4190	Outlays, net (total)	2,450	2,538	2,628

Notes.— The Budget incorporates the effects of the Fostering Undergraduate Talent by Unlocking Resources for Education Act (Public Law 116–91) except for the effects on student loans.

Aid for institutional development:

Strengthening tribally controlled colleges and universities.—Funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

Strengthening historically Black colleges and universities.—Funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

Strengthening historically Black graduate institutions.—Funds support grants to historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

Strengthening HBCU Masters program.—Funds support grants to historically Black institutions to improve graduate education opportunities at the Masters level in scientific disciplines in which African Americans are underrepresented.

Minority science and engineering improvement.—Funds support grants to predominantly minority institutions in Opportunity Zones for projects that help prepare the future generation of professionals in science, technology, engineering, and mathematics careers, including computer science, while also serving as engines of innovation and economic development in distressed communities.

Other aid for institutions:

Model transition programs for students with intellectual disabilities into higher education.—Funds support grants to institutions of higher education or consortia of such institutions to create or expand high quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities.

Tribally controlled postsecondary career and technical institutions.—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

Assistance for students:

Federal TRIO programs.—Funds would support the transition to a single State formula grant program that would support all existing TRIO activities, as well as those authorized under Gaining Early Awareness and Readiness

for Undergraduate Programs (GEAR UP) and the College Assistance Migrant Program (CAMP), to help low-income and other disadvantaged students progress through the academic pipeline from middle school through college.

Child care access means parents in school.—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

Consolidated MSI Grant.—Discretionary and mandatory funds would support grants allocated by institutional formula to minority-serving institutions that are currently eligible to receive competitive grants under certain programs currently authorized in Titles III and V of the Higher Education Act of 1965, as amended. These include Strengthening Alaska Native and Native Hawaiian-serving Institutions program, Strengthening Predominantly Black Institutions program, Strengthening Asian American and Native American Pacific Islander-serving Institutions program, Strengthening Native American-serving Nontribal Institutions program, Developing Hispanic-Serving Institutions program, Developing Hispanic-serving institutions Science, Technology, Engineering, and Math and articulation programs, and Promoting Postbaccalaureate Opportunities for Hispanic Americans program.

Pooled Evaluation.—Requested authority would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data.

Object Classification (in millions of dollars)

Identification code 091–0201–0–1–502	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	2	4	2
25.3	Other goods and services from Federal sources	1	1	
25.7	Operation and maintenance of equipment	2	4	2
41.0	Grants, subsidies, and contributions	2,532	2,707	2,040
99.9	Total new obligations, unexpired accounts	2,537	2,716	2,044

HOWARD UNIVERSITY

For partial support of Howard University, \$240,018,000, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0603–0–1–502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	General support	210	213	213
0002	Howard University Hospital	27	27	27
0900	Total new obligations, unexpired accounts (object class 41.0)	237	240	240
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	237	240	240
1930	Total budgetary resources available	237	240	240
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	4
3010	New obligations, unexpired accounts	237	240	240
3020	Outlays (gross)	-236	-240	-240
3050	Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	4
3200	Obligated balance, end of year	4	4	4
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	237	240	240

HOWARD UNIVERSITY—Continued
Program and Financing—Continued

Identification code 091-0603-0-1-502	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	233	238	238
4011 Outlays from discretionary balances	3	2	2
4020 Outlays, gross (total)	236	240	240
4180 Budget authority, net (total)	237	240	240
4190 Outlays, net (total)	236	240	240

Howard University is a private, nonprofit institution of higher education consisting of 13 schools and colleges. Federal funds are used to provide partial support for University programs as well as for the Howard University Hospital, a teaching facility. In 2020, the Federal appropriation represented approximately 30 percent of the University's revenue and 10 percent of the Hospital's revenue. The 2021 request is expected to be allocated in a similar manner.

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$435,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0241-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	18	32	18
0703 Subsidy for modifications of direct loans	13	26	20
0705 Reestimates of direct loan subsidy	12
0706 Interest on reestimates of direct loan subsidy	21	10
0709 Administrative expenses	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0)	65	69	39
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	8
1001 Discretionary unobligated balance brought fwd, Oct 1	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	47	41
Appropriations, mandatory:			
1200 Appropriation	32	10
1900 Budget authority (total)	73	57	41
1930 Total budgetary resources available	93	77	49
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8
1941 Unexpired unobligated balance, end of year	20	8	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	29	12
3010 New obligations, unexpired accounts	65	69	39
3020 Outlays (gross)	-54	-59	-39
3041 Recoveries of prior year unpaid obligations, expired	-27
3050 Unpaid obligations, end of year	29	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	29	12
3200 Obligated balance, end of year	29	12	12
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	41	47	41
Outlays, gross:			
4010 Outlays from new discretionary authority	15	47	39
4011 Outlays from discretionary balances	7	2
4020 Outlays, gross (total)	22	49	39
Mandatory:			
4090 Budget authority, gross	32	10
Outlays, gross:			
4100 Outlays from new mandatory authority	32	10
4180 Budget authority, net (total)	73	57	41

4190 Outlays, net (total)	54	59	39
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Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0241-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Historically Black Colleges and Universities	221	341	220
115999 Total direct loan levels	221	341	220
Direct loan subsidy (in percent):			
132002 Historically Black Colleges and Universities	8.08	9.50	7.96
132999 Weighted average subsidy rate	8.08	9.50	7.96
Direct loan subsidy budget authority:			
133002 Historically Black Colleges and Universities	18	32	18
133999 Total subsidy budget authority	18	32	18
Direct loan subsidy outlays:			
134002 Historically Black Colleges and Universities	20	48	38
134999 Total subsidy outlays	20	48	38
Direct loan reestimates:			
135002 Historically Black Colleges and Universities	27	-38
135999 Total direct loan reestimates	27	-38
Administrative expense data:			
3510 Budget authority	1	1	1
3590 Outlays from new authority	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans (CHAFL) Program and the Historically Black College and University (HBCU) Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

College housing and academic facilities loans program.—Funds for this activity pay the Federal costs of administering CHAFL, College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

Historically black college and university (HBCU) capital financing program.—The HBCU Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which five percent of each institution's principal is deposited.

Employment Summary

Identification code 091-0241-0-1-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4252-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	1	1
0900 Total new obligations, unexpired accounts	1	1

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	2
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	1	
Spending authority from offsetting collections, mandatory:			
1800	Collected	1	1
1900	Budget authority (total)	1	2
1930	Total budgetary resources available	1	3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	2

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1	-1

Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	1	2
Financing disbursements:			
4110	Outlays, gross (total)	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123	Interest repayments	-1	-1
4180	Budget authority, net (total)		1
4190	Outlays, net (total)	-1	

Status of Direct Loans (in millions of dollars)

Identification code 091-4252-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	4	4
1290	Outstanding, end of year	4	4

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the College Housing and Academic Facilities loan program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4252-0-3-502	2018 actual	2019 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	4
1405	Allowance for subsidy cost (-)	-1
1499	Net present value of assets related to direct loans	3
1999	Total assets	3
LIABILITIES:		
2103	Federal liabilities: Debt	3
4999	Total liabilities and net position	3

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0242-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713	Payment of interest to Treasury	2	2
0900	Total new obligations, unexpired accounts (object class 43.0)	2	2

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7	5
1022	Capital transfer of unobligated balances to general fund	-7	-5
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	1	1
Spending authority from offsetting collections, mandatory:			
1800	Collected	9	11

1820	Capital transfer of spending authority from offsetting collections to general fund	-8	-8
1825	Spending authority from offsetting collections applied to repay debt	-3	-2
1850	Spending auth from offsetting collections, mand (total)	6	1
1900	Budget authority (total)	7	2
1930	Total budgetary resources available	7	2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1
3010	New obligations, unexpired accounts	2	2
3020	Outlays (gross)	-2	-2
3050	Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1
3200	Obligated balance, end of year	1	1

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	7	2
Outlays, gross:			
4100	Outlays from new mandatory authority	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-9	-11
4180	Budget authority, net (total)	-2	-9
4190	Outlays, net (total)	-7	-9

Status of Direct Loans (in millions of dollars)

Identification code 091-0242-0-1-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	35	28
1251	Repayments: Repayments and prepayments	-3	-2
1264	Other adjustments, net (+ or -)	-4	
1290	Outstanding, end of year	28	24

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

Balance Sheet (in millions of dollars)

Identification code 091-0242-0-1-502	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	8
1601	Direct loans, gross	35
1602	Interest receivable	4
1603	Allowance for estimated uncollectible loans and interest (-)	-11
1699	Value of assets related to direct loans	28
1999	Total assets	36
LIABILITIES:		
Federal liabilities:		
2103	Debt	16
2104	Resources payable to Treasury	8
2999	Total liabilities	24
NET POSITION:		
3100	Unexpended appropriations	2
3300	Cumulative results of operations	10
3999	Total net position	12
4999	Total liabilities and net position	36

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT

For the cost of guaranteed loans, \$20,150,000, as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, [2021] 2022: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed [\$212,100,000] \$220,000,000: *Provided further*, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.

In addition, [\$16,000,000] \$20,000,000 shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are private Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment by having a score of 2.6 or less on the Department of Education's financial responsibility test: *Provided*, That the loan has not been paid in full and is not paid in full during the period of deferment: *Provided further*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years: *Provided further*, That funds available under this paragraph shall be used to fund eligible deferment requests submitted for this purpose in fiscal year 2018: *Provided further*, That the Secretary shall create and execute an outreach plan to work with States and the Capital Financing Advisory Board to improve outreach to States and help additional public Historically Black Colleges and Universities participate in the program.

[In addition, \$10,000,000 shall be made available to provide for the deferment of loans made under part D of title III of the HEA to eligible institutions that are public Historically Black Colleges and Universities, which apply for the deferment of such a loan and demonstrate financial need for such deferment, which shall be determined by the Secretary of Education based on factors including, but not limited to, equal to or greater than 5 percent of the school's operating revenue relative to its annual debt service payment: *Provided*, That during the period of deferment of such a loan, interest on the loan will not accrue or be capitalized, and the period of deferment shall be for at least a period of 3-fiscal years and not more than 6-fiscal years.]

In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$334,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-4255-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Interest paid to Treasury (FFB)	50	50	56
0006 Deferment Mod expenses	34		
0091 Direct program activities, subtotal	84	50	56
Credit program obligations:			
0710 Direct loan obligations	221	341	220
0742 Downward reestimates paid to receipt accounts	4	42	
0743 Interest on downward reestimates	2	6	
0791 Direct program activities, subtotal	227	389	220
0900 Total new obligations, unexpired accounts	311	439	276
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	169	182	187
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	221	331	220
Spending authority from offsetting collections, mandatory:			
1800 Collected	164	190	192
1820 Capital transfer of spending authority from offsetting collections to general fund	-1		
1825 Spending authority from offsetting collections applied to repay debt	-60	-77	-93
1850 Spending auth from offsetting collections, mand (total)	103	113	99
1900 Budget authority (total)	324	444	319
1930 Total budgetary resources available	493	626	506
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	182	187	230
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	230	360	458

3010 New obligations, unexpired accounts	311	439	276
3020 Outlays (gross)	-181	-341	-332
3050 Unpaid obligations, end of year	360	458	402
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	230	360	458
3200 Obligated balance, end of year	360	458	402
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	324	444	319
Financing disbursements:			
4110 Outlays, gross (total)	181	341	332
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-53	-58	-38
4122 Interest on uninvested funds	-7	-5	-5
4123 Interest repayments	-44	-50	-56
4123 Principal repayments	-60	-77	-93
4130 Offsets against gross budget authority and outlays (total)	-164	-190	-192
4160 Budget authority, net (mandatory)	160	254	127
4170 Outlays, net (mandatory)	17	151	140
4180 Budget authority, net (total)	160	254	127
4190 Outlays, net (total)	17	151	140

Status of Direct Loans (in millions of dollars)

Identification code 091-4255-0-3-502	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	221	341	220
1150 Total direct loan obligations	221	341	220
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,452	1,482	1,741
1231 Disbursements: Direct loan disbursements	90	336	223
1251 Repayments: Repayments and prepayments	-60	-77	-93
1290 Outstanding, end of year	1,482	1,741	1,871

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the Historically Black College and University (HBCU) Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4255-0-3-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	143	155
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,452	1,482
1402 Interest receivable	13	29
1405 Allowance for subsidy cost (-)	-143	-191
1499 Net present value of assets related to direct loans	1,322	1,320
1901 Other Federal assets: Other assets		20
1999 Total assets	1,465	1,495
LIABILITIES:		
Federal liabilities:		
2102 Interest payable	13	13
2103 Debt	1,452	1,482
2999 Total liabilities	1,465	1,495
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,465	1,495

OFFICE OF FEDERAL STUDENT AID

Federal Funds

STUDENT FINANCIAL ASSISTANCE

For carrying out [subparts 1, 3, and 10] *subpart 1* of part A, and part C of title IV of the HEA, [\$24,520,352,000] \$22,975,352,000, which shall remain available through September 30, [2021] 2022.

The maximum Pell Grant for which a student shall be eligible during award year [2020–2021] 2021–2022 shall be \$5,285. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091–0200–0–1–502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Federal Pell grants	29,666	29,631	30,154
0201 Federal supplemental educational opportunity grants (SEOG)	840	865
0202 Federal work-study	1,130	1,180	500
0291 Campus-based activities - Subtotal	1,970	2,045	500
0900 Total new obligations, unexpired accounts (object class 41.0)	31,636	31,676	30,654
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12,038	11,071	10,559
1001 Discretionary unobligated balance brought fwd, Oct 1	12,038	11,071
1021 Recoveries of prior year unpaid obligations	65
1050 Unobligated balance (total)	12,103	11,071	10,559
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24,445	24,520	22,975
1131 Unobligated balance of appropriations permanently reduced	-600	-500
1160 Appropriation, discretionary (total)	23,845	24,020	22,975
Appropriations, mandatory:			
1200 Appropriation	6,759	7,144	7,014
1900 Budget authority (total)	30,604	31,164	29,989
1930 Total budgetary resources available	42,707	42,235	40,548
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11,071	10,559	9,894
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19,107	19,728	20,519
3010 New obligations, unexpired accounts	31,636	31,676	30,654
3011 Obligations ("upward adjustments"), expired accounts	348
3020 Outlays (gross)	-30,907	-30,885	-31,588
3040 Recoveries of prior year unpaid obligations, unexpired	-65
3041 Recoveries of prior year unpaid obligations, expired	-391
3050 Unpaid obligations, end of year	19,728	20,519	19,585
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19,107	19,728	20,519
3200 Obligated balance, end of year	19,728	20,519	19,585
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23,845	24,020	22,975
Outlays, gross:			
4010 Outlays from new discretionary authority	7,304	4,478	4,481
4011 Outlays from discretionary balances	15,703	19,125	19,914
4020 Outlays, gross (total)	23,007	23,603	24,395
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1
4070 Budget authority, net (discretionary)	23,845	24,020	22,975
4080 Outlays, net (discretionary)	23,006	23,603	24,395
Mandatory:			
4090 Budget authority, gross	6,759	7,144	7,014
Outlays, gross:			
4100 Outlays from new mandatory authority	2,401	2,639	2,688
4101 Outlays from mandatory balances	5,499	4,643	4,505
4110 Outlays, gross (total)	7,900	7,282	7,193
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-2

Additional offsets against gross budget authority only:			
4142	Offsetting collections credited to expired accounts	2
4160	Budget authority, net (mandatory)	6,759	7,144
4170	Outlays, net (mandatory)	7,898	7,282
4180	Budget authority, net (total)	30,604	31,164
4190	Outlays, net (total)	30,904	30,885

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	30,604	31,164	29,989
Outlays	30,904	30,885	31,588
Legislative proposal, subject to PAYGO:			
Budget Authority	-4
Outlays	-2
Total:			
Budget Authority	30,604	31,164	29,985
Outlays	30,904	30,885	31,586

Status of Direct Loans (in millions of dollars)

Identification code 091–0200–0–1–502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	472	532	925
1251 Repayments: Repayments and prepayments	-82	-199	-188
1264 Other adjustments, net (+ or -)	142	592	85
1290 Outstanding, end of year	532	925	822

Notes.—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department. The Budget incorporates the effects of the Fostering Undergraduate Talent by Unlocking Resources for Education Act (Public Law 116–91) except for the effects on student loans.

Funding from the Student Financial Assistance account and related matching funds would provide 7.4 million awards totaling more than \$30.9 billion in available aid in award year 2021–2022.

Federal Pell grants.—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, and changes to the Higher Education Act of 1965 made in the Department of Education Appropriations Act of 2019.

In 2021, over 7.0 million undergraduates will receive up to \$5,285 from the discretionary award and an additional \$1,060 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act.

The 2021 Budget request includes \$22.5 billion in discretionary funding for Pell Grants in 2021, which, when combined with mandatory funding, will support a projected maximum award of \$6,345.

Federal work-study.—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs.

The 2021 Budget includes \$500.0 million for Work-Study and proposes to reform the Federal Work-Study program to support workforce and career-oriented opportunities for low-income undergraduate students who can benefit the most, as opposed to subsidized employment for campus-based jobs through federal aid. The Budget also proposes to reform the institutional allocation formula in order to focus scarce funds, in part, on enrollment of Pell recipients.

Iraq and Afghanistan service grants.—This program provides non-need-based grants to students whose parent or guardian was a member of the

STUDENT FINANCIAL ASSISTANCE—Continued

Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001.

Pooled Evaluation.—Requested authority in the General Provisions would enable the Department to reserve up to 0.5 percent of funding annually appropriated for certain Higher Education Act (HEA) programs for rigorous program evaluation, data collection, and analysis of outcome data. The authority would not allow the Department to reserve funds appropriated for Pell Grants, but would allow for evaluation of the program with the reserved funds.

Funding tables.—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2021 data in these tables reflect the Administration's Budget proposals.

Aid Funds Available for Postsecondary Education and Training

	(in thousands of dollars)		
	2019	2020	2021
Pell grants	28,246,635	29,596,830	30,348,169
Student loans:			
Subsidized Stafford loans	19,419,464	19,685,923	15,732,853
Unsubsidized Stafford loans (Undergraduates)	20,813,818	21,426,417	26,168,414
Unsubsidized Stafford loans (Graduate students)	27,009,624	27,434,179	19,962,036
Unsubsidized Stafford loans (total)	47,823,442	48,860,596	46,130,450
Parent PLUS loans	12,513,926	12,893,243	13,024,683
Grad PLUS loans	10,904,062	11,268,253	18,872,246
PLUS loans (total)	23,417,988	24,161,496	31,896,929
Consolidation	39,892,538	39,829,366	39,994,376
Student loans, subtotal	130,553,432	132,537,382	133,754,607
Work-study	1,152,402	1,203,831	504,393
Supplemental educational opportunity grants	1,154,667	1,189,032	0
Iraq and Afghanistan service grants ¹	445	523	0
TEACH grants	88,545	92,030	93,524
Total aid available	161,193,126	164,619,628	164,700,693

¹ Value in 2021 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Number of Aid Awards

	(in thousands)		
	2019	2020	2021
Pell grants	6,673	6,834	7,086
Subsidized Stafford loans	5,536	5,623	4,479
Unsubsidized Stafford loans (Undergraduates)	5,659	5,771	6,068
Unsubsidized Stafford loans (Graduate students)	1,805	1,810	1,380
Parent PLUS loans	875	882	903
Grad PLUS loans	595	602	882
Consolidation loans	653	647	645
Work-study	700	731	315
Supplemental educational opportunity grants	1,718	1,769	0
Iraq and Afghanistan service grants ^{1,2}	0	0	0
TEACH grants	31	32	32
Total awards	24,245	24,701	21,791

¹ Number of recipients is fewer than 1,000.

² Value in 2021 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Note: Numbers may not add due to rounding.

Average Aid Awards

	(in whole dollars)		
	2019	2020	2021
Pell grants	4,233	4,331	4,283
Subsidized Stafford loans	3,508	3,501	3,513
Unsubsidized Stafford loans (Undergraduates)	3,678	3,713	4,313
Unsubsidized Stafford loans (Graduate students)	14,960	15,157	14,462
Parent PLUS loans	14,305	14,610	14,417
Grad PLUS loans	18,312	18,730	21,394
Consolidation loans	61,131	61,521	62,018
Work-study	1,647	1,647	1,599
Supplemental educational opportunity grants	672	672	0
Iraq and Afghanistan service grants ¹	5,300	5,626	0
TEACH grants	2,727	2,896	2,905

¹ Value in 2021 reflects Budget policy to make Iraq and Afghanistan service grants part of the Pell Grant program.

Number of Students Aided

	(in thousands)		
	2019	2020	2021
Unduplicated student count	10,235	10,428	10,499

Administrative Payments to Institutions

	(in thousands of dollars)		
	2019	2020	2021
Pell grants	33,365	34,170	35,430
Work-study	50,988	53,244	22,561
Supplemental educational opportunity grants	14,727	15,165	0

STUDENT FINANCIAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-0200-4-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Federal Pell grants			229
0900 Total new obligations, unexpired accounts (object class 41.0)			229
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-4
1930 Total budgetary resources available			-4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-233
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			229
3020 Outlays (gross)			2
3050 Unpaid obligations, end of year			231
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			231
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-4
Outlays, gross:			
4100 Outlays from new mandatory authority			-2
4180 Budget authority, net (total)			-4
4190 Outlays, net (total)			-2

Federal Pell grants.—The 2021 Budget proposes to expand Pell Grant eligibility to students enrolled in high-quality short-term programs that provide students with a credential, certification, or license in a high-demand field as well as to certain incarcerated students. The Budget also proposes to bar someone from receiving another Pell Grant if they have been awarded three consecutive Pell Grants without earning any credits.

Iraq and Afghanistan service grants.—The 2021 Budget proposes to move the Iraq and Afghanistan Service Grant program into the Pell Grant program and ensure the children of our fallen service members receive a full aid award, exempt from cuts due to sequestration, and without any other changes to program's benefits or eligibility.

STUDENT AID ADMINISTRATION

For Federal administrative expenses to carry out part D of title I, and subparts 1 [, 3,] and 9 [, and 10] of part A, and parts B, C, D, and E of title IV of the HEA, and subpart 1 of part A of title VII of the Public Health Service Act, [\$1,768,943,000] \$1,883,309,000, to remain available through September 30, [2021: Provided, That the Secretary shall allocate new student loan borrower accounts to eligible student loan servicers on the basis of their past performance compared to all loan servicers utilizing established common metrics, and on the basis of the capacity of each servicer to process new and existing accounts: *Provided further*, That for student loan contracts awarded prior to October 1, 2017, the Secretary shall allow student loan borrowers who are consolidating Federal student loans to select from any student loan servicer to service their new consolidated student loan: *Provided further*, That in order to promote accountability and high-quality service to borrowers, the Secretary shall not award funding for any contract solicitation for a new Federal student loan

servicing environment, including the solicitation for the Federal Student Aid (FSA) Next Generation Processing and Servicing Environment, unless such an environment provides for the participation of multiple student loan servicers that contract directly with the Department of Education to manage a unique portfolio of borrower accounts and the full life-cycle of loans from disbursement to pay-off with certain limited exceptions, and allocates student loan borrower accounts to eligible student loan servicers based on performance: *Provided further*, That the Department shall re-allocate accounts from servicers for recurring non-compliance with FSA guidelines, contractual requirements, and applicable laws, including for failure to sufficiently inform borrowers of available repayment options: *Provided further*, That such servicers shall be evaluated based on their ability to meet contract requirements (including an understanding of Federal and State law), future performance on the contracts, and history of compliance with applicable consumer protections laws: *Provided further*, That to the extent FSA permits student loan servicing subcontracting, FSA shall hold prime contractors accountable for meeting the requirements of the contract, and the performance and expectations of subcontractors shall be accounted for in the prime contract and in the overall performance of the prime contractor: *Provided further*, That FSA shall ensure that the Next Generation Processing and Servicing Environment, or any new Federal loan servicing environment, incentivize more support to borrowers at risk of delinquency or default: *Provided further*, That FSA shall ensure that in such environment contractors have the capacity to meet and are held accountable for performance on service levels; are held accountable for and have a history of compliance with applicable consumer protection laws; and have relevant experience and demonstrated effectiveness: *Provided further*, That the Secretary shall provide quarterly briefings to the Committees on Appropriations and Education and Labor of the House of Representatives and the Committees on Appropriations and Health, Education, Labor, and Pensions of the Senate on general progress related to solicitations for Federal student loan servicing contracts: *Provided further*, That FSA shall strengthen transparency through expanded publication of aggregate data on student loan and servicer performance **2022**. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0202-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Student aid administration	857	879	735
0002 Servicing activities	830	890	1,149
0900 Total new obligations, unexpired accounts	1,687	1,769	1,884
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	3
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	11	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,679	1,769	1,883
1930 Total budgetary resources available	1,690	1,772	1,886
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	810	756	1,035
3010 New obligations, unexpired accounts	1,687	1,769	1,884
3020 Outlays (gross)	-1,723	-1,490	-1,742
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	756	1,035	1,177
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	810	756	1,035
3200 Obligated balance, end of year	756	1,035	1,177
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,679	1,769	1,883
Outlays, gross:			
4010 Outlays from new discretionary authority	1,010	963	1,029
4011 Outlays from discretionary balances	713	527	713
4020 Outlays, gross (total)	1,723	1,490	1,742
4180 Budget authority, net (total)	1,679	1,769	1,883
4190 Outlays, net (total)	1,723	1,490	1,742

The Department of Education manages Federal student aid programs that will provide nearly \$122 billion in new Federal student aid grants and loans (excluding Direct Consolidation Loans) to 12 million students and parents in 2021. The Offices of Postsecondary Education, the Under Secretary, and Federal Student Aid (FSA) are primarily responsible for administering the Federal student financial assistance programs. FSA was created by the Congress in 1998 as a partially independent Performance Based Organization (PBO) with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

Object Classification (in millions of dollars)

Identification code 091-0202-0-1-502	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	160	178	192
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	3	3	4
11.9 Total personnel compensation	164	181	196
12.1 Civilian personnel benefits	52	57	63
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	20	20	20
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	908	995	953
25.3 Other goods and services from Federal sources	42	42	37
25.7 Operation and maintenance of equipment	493	468	608
32.0 Land and structures	1		
99.0 Direct obligations	1,684	1,768	1,882
99.5 Adjustment for rounding	3	1	2
99.9 Total new obligations, unexpired accounts	1,687	1,769	1,884

Employment Summary

Identification code 091-0202-0-1-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,343	1,451	1,584

TEACH GRANT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0206-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	29	29	28
0705 Reestimates of direct loan subsidy	5	9	
0706 Interest on reestimates of direct loan subsidy		2	
0900 Total new obligations, unexpired accounts (object class 41.0)	34	40	28
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite) - Loan subsidy	31	31	28
1200 Appropriation (indefinite) - Upward reestimate	5	11	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-2	
1260 Appropriations, mandatory (total)	34	40	28
1930 Total budgetary resources available	34	40	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	9	10
3010 New obligations, unexpired accounts	34	40	28
3020 Outlays (gross)	-31	-38	-26
3041 Recoveries of prior year unpaid obligations, expired	-9	-1	-2
3050 Unpaid obligations, end of year	9	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	9	10
3200 Obligated balance, end of year	9	10	10

TEACH GRANT PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-0206-0-1-502	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	34	40	28
Outlays, gross:			
4100 Outlays from new mandatory authority	25	30	19
4101 Outlays from mandatory balances	6	8	7
4110 Outlays, gross (total)	31	38	26
4180 Budget authority, net (total)	34	40	28
4190 Outlays, net (total)	31	38	26

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0206-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 TEACH Grants	102	99	101
Direct loan subsidy (in percent):			
132001 TEACH Grants	28.37	28.93	27.44
132999 Weighted average subsidy rate	28.37	28.93	27.44
Direct loan subsidy budget authority:			
133001 TEACH Grants	29	29	28
Direct loan subsidy outlays:			
134001 TEACH Grants	26	27	26
Direct loan reestimates:			
135001 TEACH Grants	4	-25	

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduation. The program began awarding grants in the 2008-2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects the cost of grant awards net of expected future repayments for grants that are converted to loans.

TEACH GRANT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4290-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	102	99	101
0713 Payment of interest to Treasury	20	14	14
0742 Downward reestimates paid to receipt accounts	1	29	
0743 Interest on downward reestimates		7	
0791 Direct program activities, subtotal	123	149	115
0900 Total new obligations, unexpired accounts	123	149	115
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	
1021 Recoveries of prior year unpaid obligations	37	18	7
1023 Unobligated balances applied to repay debt	-5	-2	
1024 Unobligated balance of borrowing authority withdrawn	-34	-18	-7
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	79	109	75

Spending authority from offsetting collections, mandatory:			
1800 Collected	69	125	113
1801 Change in uncollected payments, Federal sources	1		
1825 Spending authority from offsetting collections applied to repay debt	-24	-85	-73
1850 Spending auth from offsetting collections, mand (total)	46	40	40
1900 Budget authority (total)	125	149	115
1930 Total budgetary resources available	125	149	115
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	100	85	75
3010 New obligations, unexpired accounts	123	149	115
3020 Outlays (gross)	-101	-141	-107
3040 Recoveries of prior year unpaid obligations, unexpired	-37	-18	-7
3050 Unpaid obligations, end of year	85	75	76
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	94	78	68
3200 Obligated balance, end of year	78	68	69

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	125	149	115
Financing disbursements:			
4110 Outlays, gross (total)	101	141	107
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Reestimate	-5	-11	
4120 Subsidy from Program Account	-26	-27	-26
4122 Interest on uninvested funds	-2		
4123 Payment of Principal	-28	-78	-78
4123 Interest Received	-8	-9	-9
4130 Offsets against gross budget authority and outlays (total)	-69	-125	-113
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-1		
4160 Budget authority, net (mandatory)	55	24	2
4170 Outlays, net (mandatory)	32	16	-6
4180 Budget authority, net (total)	55	24	2
4190 Outlays, net (total)	32	16	-6

Status of Direct Loans (in millions of dollars)

Identification code 091-4290-0-3-502	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	102	99	101
1150 Total direct loan obligations	102	99	101
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	746	764	776
1231 Disbursements: Direct loan disbursements	78	90	94
1251 Repayments: Repayments and prepayments	-28	-78	-78
1264 Other adjustments, net (+ or -)	-32		
1290 Outstanding, end of year	764	776	792

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4290-0-3-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	25	36
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	746	764
1402 Interest receivable	91	99
1405 Allowance for subsidy cost (-)	-253	-247
1499 Net present value of assets related to direct loans	584	616
1999 Total assets	609	652

LIABILITIES:

Federal liabilities:

2101	Accounts payable		
2103	Debt	609	652
2999	Total liabilities	609	652

NET POSITION:

3300	Cumulative results of operations		
4999	Total liabilities and net position	609	652

STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-5557-0-2-502	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1	1	1
	Receipts:			
	Current law:			
1130	Student Financial Assistance Debt Collection	15	16	16
2000	Total: Balances and receipts	16	17	17
	Appropriations:			
	Current law:			
2101	Student Financial Assistance Debt Collection	-15	-16	-16
2103	Student Financial Assistance Debt Collection	-1	-1	-1
2132	Student Financial Assistance Debt Collection	1	1	
2199	Total current law appropriations	-15	-16	-17
2999	Total appropriations	-15	-16	-17
5099	Balance, end of year	1	1	

Program and Financing (in millions of dollars)

Identification code 091-5557-0-2-502	2019 actual	2020 est.	2021 est.	
	Obligations by program activity:			
0001	Student Financial Assistance Debt Collection	3	4	4
0900	Total new obligations, unexpired accounts (object class 25.2)	3	4	4
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	15	17	21
1022	Capital transfer of unobligated balances to general fund	-9	-7	-8
1050	Unobligated balance (total)	6	10	13
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	15	16	16
1203	Appropriation (previously unavailable)(special or trust)	1	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1240	Capital transfer of appropriations to general fund	-1	-1	-1
1260	Appropriations, mandatory (total)	14	15	16
1930	Total budgetary resources available	20	25	29
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	17	21	25
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	3	4	4
3020	Outlays (gross)	-3	-4	-4
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	14	15	16
	Outlays, gross:			
4100	Outlays from new mandatory authority	3	4	4
4180	Budget authority, net (total)	14	15	16
4190	Outlays, net (total)	3	4	4

FEDERAL STUDENT LOAN RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 091-4257-0-3-502	2019 actual	2020 est.	2021 est.	
	Obligations by program activity:			
0102	Obligations, non-Federal	7,553	7,738	7,122
0900	Total new obligations, unexpired accounts (object class 42.0)	7,553	7,738	7,122
	Budgetary resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,176	1,956	1,956
1022	Capital transfer of unobligated balances to general fund	-137		
1050	Unobligated balance (total)	2,039	1,956	1,956
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	7,470	7,738	7,122
1930	Total budgetary resources available	9,509	9,694	9,078
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,956	1,956	1,956
	Change in obligated balance:			
	Unpaid obligations:			
3010	New obligations, unexpired accounts	7,553	7,738	7,122
3020	Outlays (gross)	-7,553	-7,738	-7,122
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	7,470	7,738	7,122
	Outlays, gross:			
4100	Outlays from new mandatory authority	7,341	7,738	7,122
4101	Outlays from mandatory balances	212		
4110	Outlays, gross (total)	7,553	7,738	7,122
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4120	Federal sources	-7,470	-7,738	-7,122
4180	Budget authority, net (total)			
4190	Outlays, net (total)	83		

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The Consolidated Appropriations Act, 2016, increased guaranty agency reinsurance payments from 95 percent of the face value of loans to 100 percent. The following schedule reflects the balances in these guaranty agency funds.

Balance Sheet (in millions of dollars)

Identification code 091-4257-0-3-502	2018 actual	2019 actual	
	ASSETS:		
1101	Federal assets: Fund balances with Treasury	2,176	1,956
1999	Total assets	2,176	1,956
	LIABILITIES:		
2104	Federal liabilities: Resources payable to Treasury		
	NET POSITION:		
3300	Cumulative results of operations	2,176	1,956
4999	Total liabilities and net position	2,176	1,956

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0243-0-1-502	2019 actual	2020 est.	2021 est.	
	Obligations by program activity:			
	Credit program obligations:			
0701	Direct loan subsidy	4,842	11,829	8,035
0703	Subsidy for modifications of direct loans	350	485	
0705	Reestimates of direct loan subsidy	23,125	47,798	
0706	Interest on reestimates of direct loan subsidy	5,495	16,845	

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-0243-0-1-502	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)	33,812	76,957	8,035
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite)	33,812	76,957	8,035
1930 Total budgetary resources available	33,812	76,957	8,035
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,069	1,400	1,402
3010 New obligations, unexpired accounts	33,812	76,957	8,035
3020 Outlays (gross)	-33,235	-76,713	-8,419
3041 Recoveries of prior year unpaid obligations, expired	-246	-242
3050 Unpaid obligations, end of year	1,400	1,402	1,018
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,069	1,400	1,402
3200 Obligated balance, end of year	1,400	1,402	1,018
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	33,812	76,957	8,035
Outlays, gross:			
4100 Outlays from new mandatory authority	32,692	75,555	7,457
4101 Outlays from mandatory balances	543	1,158	962
4110 Outlays, gross (total)	33,235	76,713	8,419
4180 Budget authority, net (total)	33,812	76,957	8,035
4190 Outlays, net (total)	33,235	76,713	8,419

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	33,812	76,957	8,035
Outlays	33,235	76,713	8,419
Legislative proposal, subject to PAYGO:			
Budget Authority	-1,626
Outlays	-1,331
Total:			
Budget Authority	33,812	76,957	6,409
Outlays	33,235	76,713	7,088

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0243-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford	22,926	22,426	22,670
115002 Unsubsidized Stafford	56,196	56,190	56,605
115003 PLUS	24,577	25,330	26,055
115004 Consolidation	40,050	39,834	39,999
115999 Total direct loan levels	143,749	143,780	145,329
Direct loan subsidy (in percent):			
132001 Stafford	8.65	14.03	6.33
132002 Unsubsidized Stafford	-3.22	0.75	-4.00
132003 PLUS	-19.04	-13.25	-20.57
132004 Consolidation	7.14	20.74	16.50
132999 Weighted average subsidy rate	-1.15	5.89	0.28
Direct loan subsidy budget authority:			
133001 Stafford	1,983	3,146	1,435
133002 Unsubsidized Stafford	-1,810	421	-2,264
133003 PLUS	-4,679	-3,356	-5,360
133004 Consolidation	2,860	8,262	6,600
133999 Total subsidy budget authority	-1,646	8,473	411
Direct loan subsidy outlays:			
134001 Stafford	1,739	2,464	1,701
134002 Unsubsidized Stafford	-2,485	-217	-1,263
134003 PLUS	-5,112	-3,635	-4,457
134004 Consolidation	2,876	8,235	6,607
134005 Federal Direct Student Loans	1,110
134999 Total subsidy outlays	-2,982	7,957	2,588
Direct loan reestimates:			
135005 Federal Direct Student Loans	26,311	63,206

135999 Total direct loan reestimates	26,311	63,206
Administrative expense data:			
3580 Outlays from balances	5

The Federal Government manages two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Student Aid and Fiscal Responsibility Act eliminated the authorization to originate new FFEL loans; as of July 1, 2010, the Direct Loan program originates all new loans. This narrative outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program guaranteed almost \$899 billion in loans made to postsecondary students and their parents. Although no new FFEL loans have been originated since July 1, 2010, \$174 billion of outstanding FFEL loans continue to be serviced by lenders, the Department of Education, and guaranty agencies. The 2021 Budget proposes to eliminate the payment of Account Maintenance Fees to guaranty agencies.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while the Department of Education loan origination and servicing is handled by private and not-for-profit loan servicers under performance-based contracts with the Department. The Direct Loan program began operation in award year 1994-1995, originating 7 percent of overall loan volume. In 2021, excluding Consolidation Loans, the Direct Loan program will make \$93.8 billion in new loans.

The Direct Loan program currently offers four types of loans: Subsidized Stafford; Unsubsidized Stafford; PLUS; and Consolidation. Loans can be used for qualified educational expenses. Undergraduates with financial need may receive a Subsidized Stafford loan (graduate and professional students are not eligible). The other three loan programs are available to borrowers at all income levels. Interest rates are set annually for loans originated in the upcoming award year based on the 10-year Treasury note; those rates will remain fixed for the life of the loan. For Subsidized Stafford loans available to undergraduates, the interest rate will be equal to the 10-year Treasury note plus 2.05 percent and capped at 8.25 percent. Loans originated in award year 2019-2020 have an interest rate of 4.53 percent. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during grace and deferment periods. The interest rate on new Unsubsidized Stafford loans for undergraduate borrowers is the same as that on Subsidized Stafford loans for undergraduates. The Unsubsidized Stafford loan interest rate for graduate and professional students is equal to the 10-year Treasury note plus 3.6 percent and capped at 9.5 percent. Loans originated in award year 2019-2020 have an interest rate of 6.08 percent. The borrower interest rate on PLUS loans to graduate and professional students and parents of undergraduate borrowers is equal to the 10-year Treasury note plus 4.6 percent and capped at 10.5 percent. PLUS loans originated in award year 2019-2020 have an interest rate of 7.08 percent.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of a percent. For most types of Direct Loans, the origination fee is a base rate of 1 percent, but an additional surcharge for sequestration was added in years 2013 to 2020. The base origination fee for PLUS loans is 4 percent, but has included an additional surcharge in years 2013 to 2020. Borrowers may choose from four basic types of repayment plans: standard; graduated; extended (available for qualified borrowers who have outstanding loans of more than \$30,000); and income-driven. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time. The maximum repayment period is 10 years for standard and graduated plans, as well as the income-sensitive repayment plan that is available only for

FFEL loans. Under the current income-driven administrative Pay As You Earn (PAYE) and statutory Income-Based-Repayment (IBR) plans, for new borrowers after 2014, the repayment period is 20 years. Under the current income-driven administrative REPAYE plan, the repayment period is 20 or 25 years depending on whether the borrower has any graduate school loans. And, under the extended, former IBR (for borrowers prior to 2014), and income-contingent repayment plans, the maximum time is 25 years. PAYE and IBR require partial financial hardship in order to qualify for reduced payments and borrowers in those plans have their monthly payments capped at the monthly payment of the 10-year Standard plan. At the end of the repayment term, the borrower's remaining balance is forgiven.

Federal student loans have other benefits. For example, Federal student loans can be discharged when borrowers die, become totally and permanently disabled, or, under some circumstances, declare bankruptcy. In addition, there are several loan forgiveness programs. For example, new borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for 5 consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act. In addition, under the Public Service Loan Forgiveness Program, qualifying borrowers who have worked for 10 years full-time for an eligible public service employer, and made 120 qualifying monthly payments after October 1, 2007 in the standard or income-driven plans can have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all Direct Loan borrowers, regardless of when they took out their loans. The 2021 Budget institutes sensible annual and lifetime loan limits for graduate and parent borrowers.

The 2021 Budget proposes to set an aggregate limit on Parent PLUS loans for undergraduate students of \$26,500, the difference between the dependent undergraduate aggregate limit of \$31,000 and the independent undergraduate aggregate limit of \$57,500. In addition, dependent undergraduate students would be eligible to borrow an additional amount (up to the independent undergraduate limit) depending on the parents eligibility for additional borrowing. The Budget also proposes to set annual and aggregate limits of \$50,000 and \$100,000 respectively for graduate students, exclusive of any undergraduate borrowing. Graduate student borrowing would additionally be simplified by consolidating all graduate borrowing under one graduate loan program with the same corresponding loan terms and conditions as current Graduate PLUS loans.

In addition, the 2021 Budget provides higher education institutions greater flexibility to ensure their students avoid excessive student loan debt and are able to repay their loans. As such, the Request proposes to provide financial aid administrators greater latitude to limit loan borrowing and proposes schools be allowed to impose mandatory annual financial literacy training (i.e., loan counseling) in order for students to receive loan disbursements.

The 2021 Budget would replace the five current Income Driven repayment (IDR) plans with one new Single IDR plan to make choosing a repayment plan less complex. The new IDR plan would become the only income-driven repayment plan for borrowers who originate their first loan on or after July 1, 2021, with an exception for students who borrowed their first loans prior to July 1, 2021 and who are borrowing to complete their current course of study. The Single IDR plan would: cap payments at 12.5 percent of discretionary monthly income while eliminating the standard repayment cap; limit loan payments to 15 years for borrowers with undergraduate debt only and 30 years for borrowers with any graduate debt—any remaining amounts owed after these repayment periods would be forgiven; calculate payments for married borrowers filing separately on the combined household Adjusted Gross Income; and eliminate Public Service Loan Forgiveness. As with the Single IDR plan, these policies would apply to loans

originated on or after July 1, 2021, with an exception for students continuing to borrow to complete their current course of study.

To further improve the implementation and effectiveness of IDR, the Budget proposes auto-enrolling severely delinquent borrowers into the Single IDR plan. The 2021 Budget would also eliminate Subsidized Stafford loans. As with the Single IDR plan, this policy would apply to loans originated on or after July 1, 2021, with an exception for students continuing to borrow to complete their current course of study.

The 2021 Budget incorporates the effects of the Fostering Undergraduate Talent by Unlocking Resources for Education Act (Public Law 116–91) except for the effects on student loans.

The following tables display performance indicators and program data, including projected overall Direct Loan and FFEL costs.

Federal Budget Authority and Outlays

	(in thousands of dollars)		
	2019 actual	2020 est.	2021 est.
PROGRAM COST:			
FFEL:			
Liquidating ¹	0	(182,492)	(146,836)
Program:			
Net Reestimate of Prior Year Costs	1,562,603	6,285,591	0
Net Modification ²	0	108,773	(466,318)
Subtotal, Program	1,562,603	6,394,364	(466,318)
Total, FFEL	1,562,603	6,211,871	(613,154)
Direct Loans:			
Program:			
New Net Loan Subsidies	(1,646,411)	8,473,200	(8,327,040)
Net Reestimate of Prior Year Costs	(26,310,433)	63,205,948	0
Net Modification ³	350,000	484,996	0
Total, Direct Loans	25,014,022	72,164,143	(8,327,040)
Total, FFEL and Direct Loans	26,576,625	78,376,015	(8,940,194)
PROGRAM COST OUTLAYS:			
FFEL:			
Liquidating ¹	(165,654)	(122,056)	(96,085)
Program:			
Net Reestimate of Prior Year Costs	1,562,603	6,285,591	0
Net Modification ²	0	108,773	(466,318)
Subtotal, Program	1,592,603	6,394,364	(466,318)
Total, FFEL	1,396,950	6,272,307	(562,403)
Direct Loans:			
Program:			
Regular	(2,981,631)	6,846,731	(2,881,587)
Net Reestimate of Prior Year Costs	26,310,433	63,205,948	0
Net Modification ³	0	1,110,463	0
Total, Direct Loans	23,328,802	71,163,142	(2,881,587)
Total, FFEL and Direct Loans	24,725,752	77,435,449	(3,443,989)

¹ Liquidating account reflects loans made prior to 1992.

² Reflects costs in FY 2020 associated with the regulatory action to provide proactive discharges to borrowers for whom the Department of Veteran Affairs provides information showing the borrower has a total and permanent disability and proposed savings in FY 2021 from eliminating Account Maintenance Fees paid to guaranty agencies.

³ Reflects costs in FY 2019 for Temporary Expansion of Public Service Loan Forgiveness (TEPSLF). Reflects costs in FY 2020 associated with the regulatory action to provide proactive discharges to borrowers for whom the Department of Veteran Affairs provides information showing the borrower has a total and permanent disability and TEPSLF.

Summary of Default Rates¹

	(expressed as percentages)		
	2019 est.	2020 est.	2021 est.
Direct Loans:			
Stafford	27.35	29.22	18.79
Unsubsidized Stafford			
Undergraduate	33.22	34.97	22.88
Graduate/Professional	10.40	14.91	9.01
PLUS			
Parent PLUS	13.24	12.47	9.85
Grad PLUS	8.03	10.98	8.05
Consolidation	23.45	20.13	21.58
Weighted Average, Direct Loans	20.62	21.28	16.58

¹ Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued
Selected Program Costs and Offsets

	(in thousands of dollars)		
	2019 actual	2020 est.	2021 est.
FFEL:			
Payments to lenders:			
Interest benefits	446,599	45,207	21,719
Special allowance payments ¹	(163,821)	(341,290)	(243,700)
Default claims	5,918,324	2,542,526	1,654,223
Loan discharges	1,478,278	711,839	21,719
Teacher loan forgiveness	57,552	45,002	35,099
Administrative payments to guaranty agencies	104,165	93,806	63,353
Fees paid to the Department of Education:			
Loan holder fees	(1,122,786)	(316,923)	(211,162)
Other Major Transactions:			
Net default collections	(7,641,421)	(6,989,506)	(5,561,580)
Contract collection costs	59,656	41,408	42,918
Federal administrative costs	33,155	34,284	29,388
Net Cash Flow, FFEL	(830,300)	(4,133,647)	(3,809,801)
Ensuring Continued Access to Student Loans (ECASLA):			
Inflows	(10,587,659)	(17,909,953)	(10,567,776)
Outflows	9,881,120	17,909,953	10,567,776
Federal administrative costs	99,465	102,852	88,165
Net Cash Flow, ECASLA	(607,073)	102,852	88,165
Direct Loans:			
Loan disbursements to borrowers	130,661,915	131,969,685	133,457,946
Borrower interest payments	(19,894,143)	(24,681,171)	(26,396,615)
Borrower principal payments	(62,912,148)	(68,190,564)	(70,861,716)
Borrower origination fees	(1,692,572)	(1,736,022)	(1,815,366)
Net default collections	(6,838,597)	(11,409,993)	(12,424,729)
Contract collection costs	786,603	924,883	974,888
Federal administrative costs	717,574	744,217	641,506
Net operating cash flows	40,828,632	27,621,036	23,575,913
Loan capital borrowings from Treasury	(130,661,915)	(131,969,685)	(133,457,946)
Net interest payments to Treasury	29,734,217	35,366,142	36,193,418
Principal payments to Treasury	84,189,834	135,514,293	74,305,767
Subtotal, Treasury activity	(16,737,864)	38,910,751	(22,958,761)
Net Cash Flow, Direct Loans	24,090,768	66,531,787	617,152

¹ Includes Negative Special Allowance Payments.

Student Loan Program Costs: Analysis of Direct Loans Including Program and Administrative Expenses

	(expressed as percentages)		
	2019 actual ¹	2020 est.	2021 est.
Direct Loans:			
New Loans:			
Stafford	10.84	14.03	4.19
Unsubsidized Stafford			
Undergraduate	-3.22	2.33	-7.47
Graduate/Professional	-1.06	-0.48	-12.07
PLUS			
Parent PLUS	-34.87	-27.88	-37.49
Grad PLUS	2.19	3.48	-22.87
Subtotal, new loan subsidy	-2.89	.30	-13.30
Federal administrative costs	1.70	1.70	1.70
Subtotal, new loans	-1.19	2.00	-11.60
Consolidation Loans			
Loan subsidy	18.15	20.74	14.15
Federal administrative costs	0.38	0.38	0.38
Subtotal, consolidation loans	18.53	21.12	14.53
New and Consolidation Loans			
Loan subsidy	3.04	5.89	-5.76
Federal administrative costs	1.45	1.45	1.45
Total, Direct Loans	4.49	7.34	-4.31

¹ 2019 rates are current; these include actual executed rates for 2019 and the effect of re-estimates on those rates.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower

behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

Loan Disbursement and Subsidy Costs

	(in billions of dollars)	
	FFEL	Direct Loans
Original Subsidy Costs	+77.1	-119.7
Cumulative Reestimates	-50.7	+108.3
Net Subsidy Costs	+26.3	-11.5
Total Disbursements	+898.7	+1,624.1

For Direct Loans, the net upward reestimate reflects several assumption updates, including changes to the income-driven repayment plan model. Model assumptions affecting the 2019 cohort were also updated. The Direct Loan upward net reestimate for 2020 is primarily due to updated IDR income assumptions using newly available IDR application data.

Direct Loan Repayment Options

	(expressed as percentages)		
	2019 actual ¹	2020 est.	2021 est.
Subsidies by Repayment Option			
Stafford:			
Standard	5.66	11.77	0.64
Extended	-2.60	6.15	-6.16
Graduated	1.07	4.29	-3.24
IDR ²	26.98	23.65	12.62
Unsubsidized Stafford:			
Standard	-14.46	-8.61	-16.07
Extended	-29.70	-19.77	-28.92
Graduated	-24.79	-28.74	-24.39
IDR	24.42	22.00	2.67
PLUS:			
Standard	-31.01	-22.24	-34.13
Extended	-49.76	-32.42	-50.96
Graduated	-53.13	-57.93	-54.77
IDR	27.52	25.11	-12.07
Consolidated:			
Standard	-4.32	6.84	-8.70
Extended	-24.38	-8.74	-30.70
Graduated	-29.81	-20.36	-35.30
IDR	29.30	28.98	24.94

Direct Loan Repayment Options

	(gross volumes in millions of dollars)		
	2019 actual ¹	2020 est.	2021 est.
Volumes by Repayment Option			
Stafford:			
Standard	12,788	12,959	9,206
Extended	451	457	362
Graduated	2,751	2,787	2,114
IDR ²	6,128	6,223	6,207
Unsubsidized Stafford:			
Standard	25,016	25,593	22,910
Extended	2,041	2,081	1,760
Graduated	6,281	6,420	5,849
IDR	21,649	22,095	22,494
PLUS:			
Standard	13,578	13,987	16,172
Extended	1,140	1,180	1,505
Graduated	2,710	2,802	3,369
IDR	7,124	7,361	12,662
Consolidated:			
Standard	41	41	38
Extended	6,091	6,095	5,704
Graduated	1,970	1,972	1,874
IDR	31,796	31,726	32,383

¹ 2019 rates are current; these include actual executed rates for 2019 and the effect of re-estimates on those rates.

² All income-driven plans are included in the IDR category.

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Identification code 091-0243-4-1-502			
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy			-1,626

0900	Total new obligations, unexpired accounts (object class 41.0)			-1,626
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation (indefinite)			-1,626
1930	Total budgetary resources available			-1,626
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			-1,626
3020	Outlays (gross)			1,331
3050	Unpaid obligations, end of year			-295
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			-295
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross			-1,626
Outlays, gross:				
4100	Outlays from new mandatory authority			-1,331
4180	Budget authority, net (total)			-1,626
4190	Outlays, net (total)			-1,331

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0243-4-1-502	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001	Stafford		-4,781
115002	Unsubsidized Stafford		-3,591
115003	PLUS		7,653
115004	Consolidation		-1
115999	Total direct loan levels		-720
Direct loan subsidy (in percent):			
132001	Stafford		-2.14
132002	Unsubsidized Stafford		-5.46
132003	PLUS		-8.27
132004	Consolidation		-2.35
132999	Weighted average subsidy rate		-6.04
Direct loan subsidy budget authority:			
133001	Stafford		-685
133002	Unsubsidized Stafford		-2,751
133003	PLUS		-4,362
133004	Consolidation		-940
133999	Total subsidy budget authority		-8,738
Direct loan subsidy outlays:			
134001	Stafford		-395
134002	Unsubsidized Stafford		-1,731
134003	PLUS		-2,408
134004	Consolidation		-936
134999	Total subsidy outlays		-5,470
Administrative expense data:			
3580	Outlays from balances		-5,470

This presentation shows the PAYGO effects of the President's student loan reforms as discussed above.

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4253-0-3-502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0301	Consolidation loans—Payment of Orig. Services	21	24	25
0401	Payment of contract collection costs	787	926	975
Credit program obligations:				
0710	Direct loan obligations	143,749	143,780	145,329
0713	Payment of interest to Treasury	33,817	35,366	36,056
0740	Negative subsidy obligations	6,489	3,356	7,624
0742	Downward reestimates paid to receipt accounts	1,079	142	
0743	Interest on downward reestimates	1,230	1,294	
0791	Direct program activities, subtotal	186,364	183,938	189,009
0900	Total new obligations, unexpired accounts	187,172	184,888	190,009

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5,268	5,804	52
1021	Recoveries of prior year unpaid obligations	24,451	19,840	20,137
1023	Unobligated balances applied to repay debt	-11,865	-5,804	
1024	Unobligated balance of borrowing authority withdrawn	-17,486	-19,840	-20,137
1033	Recoveries of prior year paid obligations	6		
1050	Unobligated balance (total)	374		52
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation		59	
Borrowing authority, mandatory:				
1400	Borrowing authority	148,159	137,185	145,094
Spending authority from offsetting collections, mandatory:				
1800	Collected	128,649	182,725	119,800
1801	Change in uncollected payments, Federal sources	-16	434	-560
1820	Capital transfer of spending authority from offsetting collections to general fund		-1	
1825	Spending authority from offsetting collections applied to repay debt	-84,190	-135,462	-74,311
1850	Spending auth from offsetting collections, mand (total)	44,443	47,696	44,929
1900	Budget authority (total)	192,602	184,940	190,023
1930	Total budgetary resources available	192,976	184,940	190,075
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5,804	52	66

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	77,898	65,135	56,302
3010	New obligations, unexpired accounts	187,172	184,888	190,009
3020	Outlays (gross)	-175,484	-173,881	-176,475
3040	Recoveries of prior year unpaid obligations, unexpired	-24,451	-19,840	-20,137
3050	Unpaid obligations, end of year	65,135	56,302	49,699
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-543	-527	-961
3070	Change in uncollected pymts, Fed sources, unexpired	16	-434	560
3090	Uncollected pymts, Fed sources, end of year	-527	-961	-401
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	77,355	64,608	55,341
3200	Obligated balance, end of year	64,608	55,341	49,298

Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	192,602	184,940	190,023
Financing disbursements:				
4110	Outlays, gross (total)	175,484	173,881	176,475
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Upward reestimate	-23,125	-47,798	
4120	Upward reestimate, interest	-5,495	-16,845	
4120	Upward Modification		-1,110	
4120	Program subsidy	-4,615	-10,954	-8,419
4122	Interest on uninvested funds	-4,082		
4123	Repayment of principal, Stafford	-13,917	-16,616	-16,948
4123	Interest received on loans, Stafford	-3,224	-3,352	-3,439
4123	Origination Fees, Stafford	-201	-208	-202
4123	Other fees, Stafford	-63		
4123	Repayment of principal, Unsubsidized Stafford	-28,753	-34,771	-36,483
4123	Interest received on loans, Unsubsidized Stafford	-7,965	-7,815	-8,273
4123	Origination Fees, Unsubsidized Stafford	-494	-515	-500
4123	Other fees, Unsubsidized Stafford	-74		
4123	Repayment of principal, PLUS	-13,091	-15,312	-16,227
4123	Interest received on loans, PLUS	-4,067	-4,180	-4,510
4123	Origination Fees, PLUS	-998	-1,013	-1,005
4123	Other fees, PLUS	-19		
4123	Payment of principal, Consolidation	-11,242	-12,902	-13,615
4123	Interest received on loans, Consolidation	-7,171	-9,334	-10,179
4123	Other fees, Consolidation	-59		
4130	Offsets against gross budget authority and outlays (total)	-128,655	-182,725	-119,800
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	16	-434	560
4143	Recoveries of prior year paid obligations, unexpired accounts	6		
4150	Additional offsets against budget authority only (total)	22	-434	560
4160	Budget authority, net (mandatory)	63,969	1,781	70,783
4170	Outlays, net (mandatory)	46,829	-8,844	56,675
4180	Budget authority, net (total)	63,969	1,781	70,783
4190	Outlays, net (total)	46,829	-8,844	56,675

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued
Status of Direct Loans (in millions of dollars)

Identification code 091-4253-0-3-502	2019 actual	2020 est.	2021 est.
STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	22,926	22,426	22,670
1150 Total direct loan obligations	22,926	22,426	22,670
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	225,552	234,874	237,448
1231 Disbursements: Direct loan disbursements	19,529	19,630	19,832
1251 Repayments: Repayments and prepayments	-13,917	-16,616	-16,948
1261 Adjustments: Capitalized interest	4,566	70	73
1264 Other adjustments, net (+ or -)	-856	-510	-504
1290 Outstanding, end of year	234,874	237,448	239,901
UNSUBSIDIZED STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	56,196	56,190	56,605
1150 Total direct loan obligations	56,196	56,190	56,605
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	373,453	398,477	417,040
1231 Disbursements: Direct loan disbursements	47,668	48,622	49,123
1251 Repayments: Repayments and prepayments	-28,753	-34,771	-36,483
1261 Adjustments: Capitalized interest	7,560	5,936	6,414
1264 Other adjustments, net (+ or -)	-1,451	-1,224	-1,228
1290 Outstanding, end of year	398,477	417,040	434,866
PLUS			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	24,577	25,330	26,055
1150 Total direct loan obligations	24,577	25,330	26,055
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	129,050	141,693	151,181
1231 Disbursements: Direct loan disbursements	23,638	23,888	24,625
1251 Repayments: Repayments and prepayments	-13,091	-15,312	-16,227
1261 Adjustments: Capitalized interest	2,612	1,525	1,735
1264 Other adjustments, net (+ or -)	-516	-613	-636
1290 Outstanding, end of year	141,693	151,181	160,678
CONSOLIDATION			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	40,050	39,834	39,999
1150 Total direct loan obligations	40,050	39,834	39,999
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	355,675	389,839	415,494
1231 Disbursements: Direct loan disbursements	39,827	39,830	39,994
1251 Repayments: Repayments and prepayments	-11,242	-12,902	-13,615
1261 Adjustments: Capitalized interest	6,997		
1264 Other adjustments, net (+ or -)	-1,418	-1,273	-1,189
1290 Outstanding, end of year	389,839	415,494	440,684

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4253-0-3-502	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	20,842	15,541
Investments in U.S. securities:		
1106 Receivables, net	20,483	58,897
1206 Non-Federal assets: Receivables, net	80	154
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,083,730	1,164,883
1402 Interest receivable	71,981	83,256
1405 Allowance for subsidy cost (-)	-40,663	-124,438
1499 Net present value of assets related to direct loans	1,115,048	1,123,701
1901 Other Federal assets: Other assets		

1999 Total assets	1,156,453	1,198,293
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	2,484	
2103 Debt	1,150,610	1,192,138
2105 Other		2,718
2201 Non-Federal liabilities: Accounts payable	3,359	3,437
2999 Total liabilities	1,156,453	1,198,293
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,156,453	1,198,293

FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4253-4-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations			-720
0713 Payment of interest to Treasury			137
0740 Negative subsidy obligations			7,113
0791 Direct program activities, subtotal			6,530
0900 Total new obligations, unexpired accounts			6,530
Budgetary resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			7,933
Spending authority from offsetting collections, mandatory:			
1800 Collected			-1,214
1801 Change in uncollected payments, Federal sources			-209
1825 Spending authority from offsetting collections applied to repay debt			20
1850 Spending auth from offsetting collections, mand (total)			-1,403
1900 Budget authority (total)			6,530
1930 Total budgetary resources available			6,530
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6,530
3020 Outlays (gross)			-4,160
3050 Unpaid obligations, end of year			2,370
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			209
3090 Uncollected pymts, Fed sources, end of year			209
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			2,579
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross			6,530
Financing disbursements:			
4110 Outlays, gross (total)			4,160
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Program subsidy			1,331
4123 Repayment of principal, Stafford			1
4123 Origination Fees, Stafford			23
4123 Repayment of principal, Unsubsidized Stafford			1
4123 Interest received on loans, Unsubsidized Stafford			1
4123 Origination Fees, Unsubsidized Stafford			15
4123 Repayment of principal, PLUS			2
4123 Origination Fees, PLUS			-147
4123 Payment of principal, Consolidation			-16
4123 Interest received on loans, Consolidation			3
4130 Offsets against gross budget authority and outlays (total)			1,214
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired			209
4160 Budget authority, net (mandatory)			7,953
4170 Outlays, net (mandatory)			5,374
4180 Budget authority, net (total)			7,953
4190 Outlays, net (total)			5,374

Status of Direct Loans (in millions of dollars)

Identification code 091-4253-4-3-502	2019 actual	2020 est.	2021 est.
STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			-4,781
1150 Total direct loan obligations			-4,781
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			-2,262
1251 Repayments: Repayments and prepayments			1
1264 Other adjustments, net (+ or -)			4
1290 Outstanding, end of year			-2,257
UNSUBSIDIZED STAFFORD			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			-3,591
1150 Total direct loan obligations			-3,591
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			-1,527
1251 Repayments: Repayments and prepayments			1
1264 Other adjustments, net (+ or -)			-4
1290 Outstanding, end of year			-1,530
PLUS			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			7,653
1150 Total direct loan obligations			7,653
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			3,674
1251 Repayments: Repayments and prepayments			2
1264 Other adjustments, net (+ or -)			-1
1290 Outstanding, end of year			3,675
CONSOLIDATION			
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			-1
1150 Total direct loan obligations			-1
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			-1
1251 Repayments: Repayments and prepayments			-16
1290 Outstanding, end of year			-17

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reform proposals.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0231-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0704 Subsidy for modifications of loan guarantees		109	
0705 Reestimates of direct loan subsidy	2,345	2,893	
0706 Interest on reestimates of direct loan subsidy	841	2,558	
0707 Reestimates of loan guarantee subsidy	238	513	
0708 Interest on reestimates of loan guarantee subsidy	237	7,186	
0900 Total new obligations, unexpired accounts (object class 41.0)	3,661	13,259	
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	3,661	13,259	
1930 Total budgetary resources available	3,661	13,259	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3,661	13,259	
3020 Outlays (gross)	-3,661	-13,259	

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	3,661	13,259	
Outlays, gross:			
4100 Outlays from new mandatory authority	3,661	13,259	
4180 Budget authority, net (total)	3,661	13,259	
4190 Outlays, net (total)	3,661	13,259	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0231-0-1-502	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135010 Direct Participation Agreement Reestimates	1,775	3,172	
135012 Direct Standard Put Reestimates	1,411	2,097	
135999 Total direct loan reestimates	3,186	5,269	
Guaranteed loan subsidy outlays:			
234006 FFEL Guarantees		109	
234999 Total subsidy outlays		109	
Guaranteed loan reestimates:			
235006 FFEL Guarantees	-1,624	1,017	
235999 Total guaranteed loan reestimates	-1,624	1,017	

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans, committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0231-4-1-502	2019 actual	2020 est.	2021 est.
Guaranteed loan subsidy outlays:			
234006 FFEL Guarantees			-467
234999 Total subsidy outlays			-467

This presentation shows the PAYGO effects of the President's student loan reforms, which are described in the Federal Direct Student Loan program account.

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4251-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Default claims	1,099	443	354
0102 Special allowance	16	1	
0103 Interest benefits	205	28	14
0104 Death, disability, and bankruptcy claims	167	87	28
0105 Teacher loan forgiveness, other write-offs	14		
0107 Contract collection costs	13	10	11
0109 Rehab purchase fee		5	5
0110 Guaranty Agency account maintenance fees	13	4	2
0191 Subtotal, Stafford loans	1,527	578	414
0202 Default claims	1,308	527	416
0203 Special allowance	17	1	1
0204 Death, disability, and bankruptcy claims	240	64	34
0205 Teacher loan forgiveness, other write-offs	13		
0207 Contract collection costs	14	9	9
0209 Rehab purchase fee		4	4
0210 Guaranty Agency account maintenance fees	16	3	2
0291 Subtotal, Unsubsidized Stafford loans	1,608	608	466
0301 Default claims	214	48	33
0304 Death, disability, and bankruptcy claims	73	14	10
0307 Contract Collection Costs	2	1	1

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-4251-0-3-502	2019 actual	2020 est.	2021 est.
0309 Rehab purchase fee		1	1
0310 Guaranty Agency account maintenance fees	2		
0391 Subtotal, PLUS loans	291	64	45
0403 Default claims	1		
0405 Death, disability, and bankruptcy claims	1		
0409 Rehab purchase fee		1	1
0491 Subtotal, SLS loans	2	1	1
0501 Default claims	3,284	1,514	842
0502 Special allowance	971	119	71
0503 Interest benefits	238	13	5
0504 Death, disability, and bankruptcy claims	979	522	265
0505 Teacher loan forgiveness, other write-offs	31		
0507 Contract collection costs	25	15	17
0509 Rehab purchase fee		5	5
0510 Guaranty Agency account maintenance fees	73	87	81
0591 Subtotal, Consolidations loans	5,601	2,275	1,286
Credit program obligations:			
0713 Payment of interest to Treasury	1,834	1,566	859
0742 Downward reestimates paid to receipt accounts	1,040	315	
0743 Interest on downward reestimates	1,059	6,367	
0791 Direct program activities, subtotal	3,933	8,248	859
0900 Total new obligations, unexpired accounts	12,962	11,774	3,071
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16,996	11,586	25,717
1021 Recoveries of prior year unpaid obligations	331		
1023 Unobligated balances applied to repay debt	-3,223		
1033 Recoveries of prior year paid obligations	336		
1050 Unobligated balance (total)	14,440	11,586	25,717
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		10,559	
Spending authority from offsetting collections, mandatory:			
1800 Collected	10,534	15,347	5,902
1820 Capital transfer of spending authority from offsetting collections to general fund		-1	
1825 Spending authority from offsetting collections applied to repay debt	-426		
1850 Spending auth from offsetting collections, mand (total)	10,108	15,346	5,902
1900 Budget authority (total)	10,108	25,905	5,902
1930 Total budgetary resources available	24,548	37,491	31,619
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11,586	25,717	28,548
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	979	1,072	1,072
3010 New obligations, unexpired accounts	12,962	11,774	3,071
3020 Outlays (gross)	-12,538	-11,774	-3,071
3040 Recoveries of prior year unpaid obligations, unexpired	-331		
3050 Unpaid obligations, end of year	1,072	1,072	1,072
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	979	1,072	1,072
3200 Obligated balance, end of year	1,072	1,072	1,072
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	10,108	25,905	5,902
Financing disbursements:			
4110 Outlays, gross (total)	12,538	11,774	3,071
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate	-238	-513	
4120 Interest on upward reestimate	-237	-7,186	
4120 Upward modification		-109	
4122 Interest on uninvested funds	-738		
4123 Stafford recoveries on defaults	-1,741	-1,443	-1,169
4123 Stafford other fees	-66		
4123 Stafford special allowance rebate	-262	-56	-28
4123 Unsubsidized Stafford recoveries on default	-1,822	-1,539	-1,239
4123 Unsubsidized Stafford other fees	-69		
4123 Unsubsidized Stafford special allowance rebate	-367	-134	-79
4123 PLUS recoveries on defaults	-277	-205	-157
4123 PLUS other fees	-10		
4123 PLUS special allowance rebate	-119	-19	-10

4123 SLS recoveries on defaults	-7	-3	-3
4123 Consolidation recoveries on defaults	-3,251	-3,571	-2,806
4123 Consolidation loan holders fee	-1,123	-317	-211
4123 Consolidation other fees	-123		
4123 Consolidation special allowance rebate	-420	-252	-200
4130 Offsets against gross budget authority and outlays (total)	-10,870	-15,347	-5,902
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts	336		
4160 Budget authority, net (mandatory)	-426	10,558	
4170 Outlays, net (mandatory)	1,668	-3,573	-2,831
4180 Budget authority, net (total)	-426	10,558	
4190 Outlays, net (total)	1,668	-3,573	-2,831

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4251-0-3-502	2019 actual	2020 est.	2021 est.
STAFFORD			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	19,488	17,053	16,523
2251 Repayments and prepayments	-2,592		
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,099	-443	-354
2263 Terminations for default that result in claim payments	-167	-87	-28
2264 Other adjustments, net	1,423		-1
2290 Outstanding, end of year	17,053	16,523	16,140
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	16,200	15,697	15,333
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	4,262	3,885	3,165
2331 Disbursements for guaranteed loan claims	1,099	443	354
2351 Repayments of loans receivable	-1,487	-1,443	-1,169
2361 Write-offs of loans receivable	-167	-170	-213
2364 Other adjustments, net	178	450	400
2390 Outstanding, end of year	3,885	3,165	2,537
UNSUBSIDIZED STAFFORD			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	23,729	21,053	20,424
2251 Repayments and prepayments	-3,156	-39	
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,308	-527	-416
2263 Terminations for default that result in claim payments	-240	-64	-34
2264 Other adjustments, net	2,028	1	
2290 Outstanding, end of year	21,053	20,424	19,974
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	20,001	19,403	18,975
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	9,645	9,596	8,365
2331 Disbursements for guaranteed loan claims	1,308	527	416
2351 Repayments of loans receivable	-1,556	-1,539	-1,239
2361 Write-offs of loans receivable	-240	-219	-193
2364 Other adjustments, net	439		
2390 Outstanding, end of year	9,596	8,365	7,349
PLUS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3,950	3,405	3,222
2251 Repayments and prepayments	-525	-30	-3
Adjustments:			
2261 Terminations for default that result in loans receivable	-214	-139	-101
2263 Terminations for default that result in claim payments	-73	-14	-10
2264 Other adjustments, net	267		
2290 Outstanding, end of year	3,405	3,222	3,108
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,235	3,061	2,953

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	132	39	58
2331	Disbursements for guaranteed loan claims	214	139	101
2351	Repayments of loans receivable	-236	-205	-157
2361	Write-offs of loans receivable	-73	-116	-18
2364	Other adjustments, net	2	201	73
2390	Outstanding, end of year	39	58	57
SLS				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	46	42	42
2251	Repayments and prepayments	-6		
Adjustments:				
2261	Terminations for default that result in loans receivable	-1		
2263	Terminations for default that result in claim payments			
2264	Other adjustments, net	3		
2290	Outstanding, end of year	42	42	42
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	40	40	40

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	276	283	279
2331	Disbursements for guaranteed loan claims	1		
2351	Repayments of loans receivable	-6	-3	-3
2361	Write-offs of loans receivable			
2364	Other adjustments, net	12	-1	
2390	Outstanding, end of year	283	279	276
CONSOLIDATION				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	109,421	98,817	92,043
2251	Repayments and prepayments	-14,553	-4,738	-3,580
Adjustments:				
2261	Terminations for default that result in loans receivable	-3,284	-1,514	-842
2263	Terminations for default that result in claim payments	-979	-522	-265
2264	Other adjustments, net	8,212		
2290	Outstanding, end of year	98,817	92,043	87,356

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	93,876	87,441	82,988

Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	19,534	19,977	17,395
2331	Disbursements for guaranteed loan claims	3,284	1,514	842
2351	Repayments of loans receivable	-2,777	-3,571	-2,806
2361	Write-offs of loans receivable	-979	-875	-754
2364	Other adjustments, net	915	350	300
2390	Outstanding, end of year	19,977	17,395	14,977

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans, formerly guaranteed student loans, committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4251-0-3-502		2018 actual	2019 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	17,601	12,284
Investments in U.S. securities:			
1106	Receivables, net	448	12,042
1206	Non-Federal assets: Receivables, net	2	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	33,849	33,780
1502	Interest receivable	7,803	8,561
1505	Allowance for subsidy cost (-)	-15,186	-20,113
1599	Net present value of assets related to defaulted guaranteed loans	26,466	22,228
1901	Other Federal assets: Other assets		

1999	Total assets	44,517	46,575
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable		
2103	Debt	38,880	35,231
2105	Other		6,125
Non-Federal liabilities:			
2201	Accounts payable	3,046	15
2204	Liabilities for loan guarantees	2,591	5,204
2999	Total liabilities	44,517	46,575
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	44,517	46,575

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 091-4251-4-3-502		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0110	Guaranty Agency account maintenance fees			-1
0191	Subtotal, Stafford loans			-1
0510	Guaranty Agency account maintenance fees			-20
0591	Subtotal, Consolidations loans			-20
Credit program obligations:				
0713	Payment of interest to Treasury			41
0741	Modification savings			467
0791	Direct program activities, subtotal			508
0900	Total new obligations, unexpired accounts			487
Budgetary resources:				
Financing authority:				
Appropriations, mandatory:				
1200	Appropriation			59
1900	Budget authority (total)			59
1930	Total budgetary resources available			59
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			-428
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			487
3020	Outlays (gross)			-487
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross			59
Financing disbursements:				
4110	Outlays, gross (total)			487
4180	Budget authority, net (total)			59
4190	Outlays, net (total)			487

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4251-4-3-502		2019 actual	2020 est.	2021 est.
STAFFORD				
UNSUBSIDIZED STAFFORD				
PLUS				
SLS				
CONSOLIDATION				

This presentation shows the non-budgetary effects of the cash flows to and from the Government resulting from the President's student loan reform proposals.

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4453-0-3-502		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0006	Contract collection costs	59	149	137

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 091-4453-0-3-502	2019 actual	2020 est.	2021 est.
Credit program obligations:			
0713 Payment of interest to Treasury	1,298	1,216	979
0742 Downward reestimates paid to receipt accounts		57	
0743 Interest on downward reestimates		88	
0791 Direct program activities, subtotal	1,298	1,361	979
0900 Total new obligations, unexpired accounts	1,357	1,510	1,116
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	858	386	386
1021 Recoveries of prior year unpaid obligations	13		
1023 Unobligated balances applied to repay debt	-858		
1050 Unobligated balance (total)	13	386	386
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		145	
Spending authority from offsetting collections, mandatory:			
1800 Collected	6,300	10,797	6,345
1825 Spending authority from offsetting collections applied to repay debt	-4,570	-9,432	-5,229
1850 Spending auth from offsetting collections, mand (total)	1,730	1,365	1,116
1900 Budget authority (total)	1,730	1,510	1,116
1930 Total budgetary resources available	1,743	1,896	1,502
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	386	386	386

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	5	5
3010 New obligations, unexpired accounts	1,357	1,510	1,116
3020 Outlays (gross)	-1,360	-1,510	-1,116
3040 Recoveries of prior year unpaid obligations, unexpired	-13		
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	5	5
3200 Obligated balance, end of year	5	5	5

Identification code 091-4453-0-3-502	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1,730	1,510	1,116
Financing disbursements:			
4110 Outlays, gross (total)	1,360	1,510	1,116
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward reestimate	-1,296	-1,815	
4120 Upward reestimate interest	-479	-1,502	
4122 Interest on uninvested funds	-106		
4123 Principal repayments	-3,369	-5,891	-4,884
4123 Interest repayments	-1,030	-1,589	-1,461
4123 Fees and other refunds	-20		
4130 Offsets against gross budget authority and outlays (total)	-6,300	-10,797	-6,345
4160 Budget authority, net (mandatory)	-4,570	-9,287	-5,229
4170 Outlays, net (mandatory)	-4,940	-9,287	-5,229
4180 Budget authority, net (total)	-4,570	-9,287	-5,229
4190 Outlays, net (total)	-4,940	-9,287	-5,229

Status of Direct Loans (in millions of dollars)

Identification code 091-4453-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	36,475	33,696	27,692
1251 Repayments: Repayments and prepayments	-3,369	-5,891	-4,884
1261 Adjustments: Capitalized interest		24	16
1264 Other adjustments, net (+ or -)	590	-137	-126
1290 Outstanding, end of year	33,696	27,692	22,698

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4453-0-3-502	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	879	391
Investments in U.S. securities:		
1106 Receivables, net	1,227	3,253
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	36,475	33,696
1402 Interest receivable	4,713	4,983
1405 Allowance for subsidy cost (-)	458	-3,842
1499 Net present value of assets related to direct loans	41,646	34,837
1999 Total assets	43,752	38,481
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable		
2103 Debt	43,752	38,324
2105 Other		157
2201 Non-Federal liabilities: Accounts payable		
2999 Total liabilities	43,752	38,481
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	43,752	38,481

STUDENT LOAN ACQUISITION ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Contract collection costs	39	91	83
Credit program obligations:			
0713 Payment of interest to Treasury	660	821	563
0742 Downward reestimates paid to receipt accounts		38	
0791 Direct program activities, subtotal	660	859	563
0900 Total new obligations, unexpired accounts	699	950	646
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	297	334	334
1021 Recoveries of prior year unpaid obligations	8		
1023 Unobligated balances applied to repay debt	-297		
1050 Unobligated balance (total)	8	334	334
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		38	
Spending authority from offsetting collections, mandatory:			
1800 Collected	4,135	7,024	4,155
1825 Spending authority from offsetting collections applied to repay debt	-3,110	-6,112	-3,509
1850 Spending auth from offsetting collections, mand (total)	1,025	912	646
1900 Budget authority (total)	1,025	950	646
1930 Total budgetary resources available	1,033	1,284	980
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	334	334	334

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	5	5
3010 New obligations, unexpired accounts	699	950	646
3020 Outlays (gross)	-700	-950	-646
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	5	5
3200 Obligated balance, end of year	5	5	5

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1,025	950	646
Financing disbursements:			
4110 Outlays, gross (total)	700	950	646

Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Upward reestimate	-1,049	-1,078
4120	Upward reestimate interest	-362	-1,057
4122	Interest on uninvested funds	-58	
4123	Principal repayments	-2,042	-3,877
4123	Borrower interest repayments	-610	-1,012
4123	Fees and other refunds	-14	
4130	Offsets against gross budget authority and outlays (total)	-4,135	-7,024
4160	Budget authority, net (mandatory)	-3,110	-6,074
4170	Outlays, net (mandatory)	-3,435	-6,074
4180	Budget authority, net (total)	-3,110	-6,074
4190	Outlays, net (total)	-3,435	-6,074

Status of Direct Loans (in millions of dollars)

Identification code 091-4449-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	19,276	17,536
1251	Repayments: Repayments and prepayments	-2,042	-3,877
1261	Adjustments: Capitalized interest		14
1264	Other adjustments, net (+ or -)	302	-84
1290	Outstanding, end of year	17,536	13,589

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4449-0-3-502	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	142
Investments in U.S. securities:		
1106	Receivables, net	1,302
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	19,276
1402	Interest receivable	2,435
1405	Allowance for subsidy cost (-)	-21
1499	Net present value of assets related to direct loans	21,690
1999	Total assets	23,134
LIABILITIES:		
Federal liabilities:		
2101	Accounts payable	
2103	Debt	23,134
2105	Other	63
2201	Non-Federal liabilities: Accounts payable	
2999	Total liabilities	23,134
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	23,134

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4459-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003	Contract collection costs	5	10
Credit program obligations:			
0713	Payment of interest to Treasury	46	42
0900	Total new obligations, unexpired accounts	51	52
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	13	10
1021	Recoveries of prior year unpaid obligations	1	
1023	Unobligated balances applied to repay debt	-13	
1050	Unobligated balance (total)	1	10

Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	153	89
1825	Spending authority from offsetting collections applied to repay debt	-93	-37
1850	Spending auth from offsetting collections, mand (total)	60	52
1930	Total budgetary resources available	61	62
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	10

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	12	11
3010	New obligations, unexpired accounts	51	52
3020	Outlays (gross)	-51	-52
3040	Recoveries of prior year unpaid obligations, unexpired	-1	
3050	Unpaid obligations, end of year	11	11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	12	11
3200	Obligated balance, end of year	11	11

Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross	60	52
Financing disbursements:			
4110	Outlays, gross (total)	51	52
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds	-3	
4123	Direct Conduit Fees	-3	
4123	Principal repayments	-98	-66
4123	Interest repayments	-49	-23
4130	Offsets against gross budget authority and outlays (total)	-153	-89
4160	Budget authority, net (mandatory)	-93	-37
4170	Outlays, net (mandatory)	-102	-37
4180	Budget authority, net (total)	-93	-37
4190	Outlays, net (total)	-102	-37

Status of Direct Loans (in millions of dollars)

Identification code 091-4459-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	1,565	1,477
1251	Repayments: Repayments and prepayments	-98	-66
1264	Other adjustments, net (+ or -)	10	
1290	Outstanding, end of year	1,477	1,411

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4459-0-3-502	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	25
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	1,565
1402	Interest receivable	331
1405	Allowance for subsidy cost (-)	-426
1499	Net present value of assets related to direct loans	1,470
1999	Total assets	1,495
LIABILITIES:		
2103	Federal liabilities: Debt	1,495
2201	Non-Federal liabilities: Accounts payable	
2999	Total liabilities	1,495
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	1,495

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0230-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Interest benefits, net of origination fees	3	4	3
0103 Default claims	11	9	8
0104 Death, disability, and bankruptcy claims	16	18	16
0105 Contract collection costs	5	5	4
0191 Subtotal, Stafford loans	35	36	31
0201 Default claims	2	2	1
0202 Death, disability, and bankruptcy claims	2	7	7
0205 Contract collection costs	1	1	1
0291 Subtotal, PLUS/SLS loans	5	10	9
0900 Total new obligations, unexpired accounts	40	46	40
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	171	245	
1021 Recoveries of prior year unpaid obligations	9		
1022 Capital transfer of unobligated balances to general fund	-171	-245	
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	10		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	275	228	187
1820 Capital transfer of spending authority from offsetting collections to general fund		-182	-147
1850 Spending auth from offsetting collections, mand (total)	275	46	40
1930 Total budgetary resources available	285	46	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	245		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	4	4
3010 New obligations, unexpired accounts	40	46	40
3020 Outlays (gross)	-38	-46	-40
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	275	46	40
Outlays, gross:			
4100 Outlays from new mandatory authority		42	40
4101 Outlays from mandatory balances	38	4	
4110 Outlays, gross (total)	38	46	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Fed collections on defaulted loans, Stafford	-129	-62	-51
4123 Fed collections on bankruptcies, Stafford		-3	-3
4123 Offsets against Federal tax refunds, Stafford		-67	-55
4123 Reimbursements from guaranty agencies, Stafford	-100	-47	-38
4123 Other collections, Stafford	-10	-14	-11
4123 Federal collections on defaulted loans, PLUS/SLS	-21	-19	-16
4123 Federal collections on bankruptcies, PLUS/SLS		-1	-1
4123 Offsets against Federal tax refunds, PLUS/SLS		-5	-4
4123 Reimbursements from guaranty agencies, PLUS/SLS	-16	-10	-8
4130 Offsets against gross budget authority and outlays (total) ...	-276	-228	-187
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)		-182	-147
4170 Outlays, net (mandatory)		-182	-147
4180 Budget authority, net (total)		-182	-147
4190 Outlays, net (total)		-182	-147

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-0230-0-1-502	2019 actual	2020 est.	2021 est.
STAFFORD LOANS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	397	377	341

2251 Repayments and prepayments	-14	-13	-13
Adjustments:			
2261 Terminations for default that result in loans receivable	-11	-5	-4
2263 Terminations for default that result in claim payments	-16	-18	-16
2264 Other adjustments, net	21		
2290 Outstanding, end of year	377	341	308
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	358	324	292
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	3,378	3,219	3,104
2331 Disbursements for guaranteed loan claims	11	5	4
2351 Repayments of loans receivable	-127	-93	-76
2361 Write-offs of loans receivable	-16	-15	-14
2364 Other adjustments, net	-27	-12	-9
2390 Outstanding, end of year	3,219	3,104	3,009
PLUS/SLS LOANS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	45	41	31
2251 Repayments and prepayments	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable	-2	-1	-1
2263 Terminations for default that result in claim payments	-2	-7	-7
2264 Other adjustments, net	2		
2290 Outstanding, end of year	41	31	21
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	39	29	20
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	536	510	491
2331 Disbursements for guaranteed loan claims	2	1	1
2351 Repayments of loans receivable	-21	-16	-13
2361 Write-offs of loans receivable	-2	-2	-2
2364 Other adjustments, net	-5	-2	-2
2390 Outstanding, end of year	510	491	475

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-0230-0-1-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	182	248
1701 Defaulted guaranteed loans, gross	3,914	3,729
1702 Interest receivable	5,839	5,858
1703 Allowance for estimated uncollectible loans and interest (-)	-8,078	-8,775
1799 Value of assets related to loan guarantees	1,675	812
1999 Total assets	1,857	1,060
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	1,856	1,059
Non-Federal liabilities:		
2201 Accounts payable		
2204 Liabilities for loan guarantees	1	1
2999 Total liabilities	1,857	1,060
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,857	1,060

Object Classification (in millions of dollars)

Identification code 091-0230-0-1-502	2019 actual	2020 est.	2021 est.
Direct obligations:			
33.0 Investments and loans	13	6	5

41.0	Grants, subsidies, and contributions	9	15	12
42.0	Insurance claims and indemnities	18	25	23
99.0	Direct obligations	40	46	40
99.9	Total new obligations, unexpired accounts	40	46	40

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-0247-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy	2
0708	Interest on reestimates of loan guarantee subsidy	5
0900	Total new obligations, unexpired accounts (object class 41.0)	7
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	7
1930	Total budgetary resources available	7
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	7
3020	Outlays (gross)	-7
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	7
Outlays, gross:			
4100	Outlays from new mandatory authority	7
4180	Budget authority, net (total)	7
4190	Outlays, net (total)	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 091-0247-0-1-502	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001	HEAL Loan Guarantee	-34	7

Consistent with the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Health Education Assistance Loans (HEAL) program was transferred to the Department of Education from the Department of Health and Human Services in 2014. The Department of Education assumed responsibility for the program and the authority to administer, service, collect, and enforce the program.

The HEAL program guarantees loans from private lenders to health professions students to pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program.

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4300-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal	3	4
0713	Payment of interest to Treasury	2
0715	Default Collection Costs	1	2
0742	Downward reestimates paid to receipt accounts	12
0743	Interest on downward reestimates	22
0900	Total new obligations, unexpired accounts	40	6

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	21	22
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	34
Spending authority from offsetting collections, mandatory:			
1800	Collected	7	12
1900	Budget authority (total)	41	12
1930	Total budgetary resources available	62	34
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	22	28

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	40	6
3020	Outlays (gross)	-40	-6

Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	41	12
Financing disbursements:			
4110	Outlays, gross (total)	40	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	-7
4122	Interest on uninvested funds	-1	-2
4123	Non-Federal sources	-6	-3
4130	Offsets against gross budget authority and outlays (total) ...	-7	-12
4160	Budget authority, net (mandatory)	34
4170	Outlays, net (mandatory)	33	-6
4180	Budget authority, net (total)	34
4190	Outlays, net (total)	33	-6

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4300-0-3-502	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2143	Uncommitted limitation carried forward
2150	Total guaranteed loan commitments
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	163	153
2251	Repayments and prepayments	-6	-6
Adjustments:			
2261	Terminations for default that result in loans receivable	-3	-3
2263	Terminations for default that result in claim payments	-1	-1
2264	Other adjustments, net
2290	Outstanding, end of year	153	143

Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	145	143

Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	144	143
2331	Disbursements for guaranteed loan claims	3	3
2351	Repayments and prepayments	-3	-3
2361	Write-offs of loans receivable	-1	-1
2364	Other adjustments, net
2390	Outstanding, end of year	143	142

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 091-4300-0-3-502	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	21
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	Defaulted guaranteed loans receivable, gross	144
1502	Interest receivable	19

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—Continued
Balance Sheet—Continued

Identification code 091-4300-0-3-502	2018 actual	2019 actual
1505 Allowance for subsidy cost (-)	12	47
1599 Net present value of assets related to defaulted guaranteed loans	175	210
1999 Total assets	196	233
LIABILITIES:		
Non-Federal liabilities:		
2203 Debt		34
2204 Liabilities for loan guarantees	196	199
2999 Total liabilities	196	233
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	196	233

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 091-4299-0-3-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0715 Default Collections Costs	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	
1022 Capital transfer of unobligated balances to general fund	-5	-6	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	7	6	6
1820 Capital transfer of spending authority from offsetting collections to general fund		-5	-5
1850 Spending auth from offsetting collections, mand (total)	7	1	1
1900 Budget authority (total)	7	1	1
1930 Total budgetary resources available	7	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-7	-6	-6
4180 Budget authority, net (total)	-5	-5	-5
4190 Outlays, net (total)	-6	-5	-5

Status of Guaranteed Loans (in millions of dollars)

Identification code 091-4299-0-3-502	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	17	13	11
2251 Repayments and prepayments	-2	-2	-2
Adjustments:			
2261 Terminations for default that result in loans receivable			
2264 Other adjustments, net	-2		
2290 Outstanding, end of year	13	11	9
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	13	11	9

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	253	254	250
2331 Disbursements for guaranteed loan claims			
2351 Repayments of loans receivable	-5	-4	-4
2361 Write-offs of loans receivable			
2364 Other adjustments, net	6		
2390 Outstanding, end of year	254	250	246

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed Health Education Assistance Loans loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 091-4299-0-3-502	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	5	6
1701 Defaulted guaranteed loans, gross	253	254
1702 Interest receivable	12	13
1703 Allowance for estimated uncollectible loans and interest (-)	-80	-82
1799 Value of assets related to loan guarantees	185	185
1999 Total assets	190	191
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	173	168
Non-Federal liabilities:		
2204 Liabilities for loan guarantees	25	31
2207 Other		
2999 Total liabilities	198	199
NET POSITION:		
3300 Cumulative results of operations	-8	-8
4999 Total liabilities and net position	190	191

INSTITUTE OF EDUCATION SCIENCES

Federal Funds

INSTITUTE OF EDUCATION SCIENCES

For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, [section 208 of the Educational Technical Assistance Act of 2002,] and section 664 of the Individuals with Disabilities Education Act, [\$623,462,000] \$565,440,000, which shall remain available through September 30, [2021: *Provided*, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: *Provided further*, That up to \$6,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels] 2022. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-1100-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research, development, and dissemination	179	199	196
0002 Statistics	112	111	114
0003 Regional educational laboratories	55	55	11
0004 National Assessment	159	154	181
0005 National Assessment Governing Board	9	8	8
0006 Research in special education	56	59	57
0007 Statewide longitudinal data systems	11	33	27
0008 Special education studies and evaluations	10	14	11
0100 Total direct program	591	633	605
0799 Total direct obligations	591	633	605
0801 Reimbursable program activity	1		

0900	Total new obligations, unexpired accounts	592	633	605
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	52	78	69
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	55	78	69
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	615	623	565
Spending authority from offsetting collections, discretionary:				
1700	Collected		1	
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	1	1	
1900	Budget authority (total)	616	624	565
1930	Total budgetary resources available	671	702	634
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	78	69	29

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	639	573	801
3010	New obligations, unexpired accounts	592	633	605
3020	Outlays (gross)	-651	-405	-503
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3041	Recoveries of prior year unpaid obligations, expired	-4		
3050	Unpaid obligations, end of year	573	801	903
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	638	571	799
3200	Obligated balance, end of year	571	799	901

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	616	624	565
Outlays, gross:				
4010	Outlays from new discretionary authority	205	103	89
4011	Outlays from discretionary balances	446	302	414
4020	Outlays, gross (total)	651	405	503
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-1	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4070	Budget authority, net (discretionary)	615	623	565
4080	Outlays, net (discretionary)	651	404	503
4180	Budget authority, net (total)	615	623	565
4190	Outlays, net (total)	651	404	503

Research, Statistics, and Assessment:

Research, development, and dissemination.—Funds support a diverse portfolio of research, development, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices.

Statistics.—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities.

Assessment.—Funds support the ongoing National Assessment of Educational Progress (NAEP) and the National Assessment Governing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed.

Research in special education.—Funds support research to build the evidence base on improving special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

Special education studies and evaluations.—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and outcomes for infants, toddlers, and children with disabilities.

Object Classification (in millions of dollars)

Identification code 091-1100-0-1-503	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	1	1	1
11.3	Other than full-time permanent		1	1
11.9	Total personnel compensation	1	2	2
12.1	Civilian personnel benefits	2	1	1
25.1	Advisory and assistance services	23	23	23
25.2	Other services from non-Federal sources	288	286	304
25.3	Other goods and services from Federal sources	2	2	2
25.5	Research and development contracts	69	70	16
31.0	Equipment		1	1
41.0	Grants, subsidies, and contributions	206	248	256
99.0	Direct obligations	591	633	605
99.5	Adjustment for rounding	1		
99.9	Total new obligations, unexpired accounts	592	633	605

Employment Summary

Identification code 091-1100-0-1-503	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	13	15	15

DEPARTMENTAL MANAGEMENT

Federal Funds

PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, **[\$430,000,000]** \$448,723,000, of which up to \$15,000,000, to remain available until expended, shall be available for relocation expenses, and for the renovation and repair of leased buildings: Provided, That, notwithstanding any other provision of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018. (*Department of Education Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			1
Receipts:				
Current law:				
1130	Contributions		1	1
2000	Total: Balances and receipts		1	2
5099	Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Program administration	433	430	444
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	4	5
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	430	430	449

PROGRAM ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.
1120 Appropriations transferred to other acct [091-0249]			-5
1121 Appropriations transferred from other acct [091-0013]	1		
1160 Appropriation, discretionary (total)	431	430	444
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	1	
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	4	1	
1900 Budget authority (total)	435	431	444
1930 Total budgetary resources available	438	435	449
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	4	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	139	144	136
3010 New obligations, unexpired accounts	433	430	444
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-424	-438	-442
3041 Recoveries of prior year unpaid obligations, expired	-12		
3050 Unpaid obligations, end of year	144	136	138
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	139	143	135
3200 Obligated balance, end of year	143	135	137
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	435	431	444
Outlays, gross:			
4010 Outlays from new discretionary authority	323	333	345
4011 Outlays from discretionary balances	101	105	97
4020 Outlays, gross (total)	424	438	442
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-1	
4040 Offsets against gross budget authority and outlays (total)	-3	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4070 Budget authority, net (discretionary)	431	430	444
4080 Outlays, net (discretionary)	421	437	442
4180 Budget authority, net (total)	431	430	444
4190 Outlays, net (total)	421	437	442

The Program Administration account includes the direct Federal costs of providing grants and administering early, elementary, and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services and security; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs. Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

Reimbursable program.—Reimbursements to this account are for providing administrative services to other agencies.

Object Classification (in millions of dollars)

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	171	190	200
11.3 Other than full-time permanent	15	5	4
11.5 Other personnel compensation	3	3	5
11.9 Total personnel compensation	189	198	209
12.1 Civilian personnel benefits	60	62	65
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	33	33	31
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1	3	3
25.2 Other services from non-Federal sources	23	22	26
25.3 Other goods and services from Federal sources	25	24	19
25.7 Operation and maintenance of equipment	88	76	72
26.0 Supplies and materials		1	1
31.0 Equipment	4	1	1
32.0 Land and structures	5	5	12
99.0 Direct obligations	432	430	444
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	433	430	444

Employment Summary

Identification code 091-0800-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,500	1,542	1,581

INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 091-0249-0-1-503	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [091-0800]			5
1930 Total budgetary resources available			5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			

The Information Technology System Modernization and Working Capital Fund (IT WCF), authorized by the Modernizing Government Technology (MGT) Act in 2018, may only be used: (A) to improve, retire, or replace existing information technology systems to enhance cybersecurity of existing systems and to improve efficiency and effectiveness; (B) to transition legacy information technology systems to cloud computing and other innovative platforms and technologies, including those serving more than one covered agency with common requirements; (C) to assist and support covered agency efforts to provide adequate, risk-based, and cost-effective information technology capabilities that address evolving threats to information security; and (D) to reimburse funds transferred to the agency from the Technology Modernization Fund. Establishing this account under the MGT authority would enable transfers of expiring administrative funds to this account with a 3 year period of availability for use on IT modernization activities.

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, \$130,000,000. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-0700-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Civil rights	125	130	130
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	125	130	130
1930 Total budgetary resources available	125	130	130
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	31	30
3010 New obligations, unexpired accounts	125	130	130
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-120	-131	-132
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	31	30	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	31	30
3200 Obligated balance, end of year	31	30	28
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	125	130	130
Outlays, gross:			
4010 Outlays from new discretionary authority	100	109	110
4011 Outlays from discretionary balances	20	22	22
4020 Outlays, gross (total)	120	131	132
4180 Budget authority, net (total)	125	130	130
4190 Outlays, net (total)	120	131	132

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

Object Classification (in millions of dollars)

Identification code 091-0700-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	59	70	72
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	62	73	75
12.1 Civilian personnel benefits	20	24	24
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	6	10	9
25.2 Other services from non-Federal sources	7	2	2
25.3 Other goods and services from Federal sources	5	2	2
25.7 Operation and maintenance of equipment	23	18	16
31.0 Equipment			1
32.0 Land and structures	1		
99.9 Total new obligations, unexpired accounts	125	130	130

Employment Summary

Identification code 091-0700-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	508	589	592

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, as authorized by section 212 of the Department of Education Organization Act, \$63,000,000, of which \$2,000,000 shall remain available until expended. (*Department of Education Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 091-1400-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Inspector General	62	65	70
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	61	63	68
1121 Appropriations transferred from other acct [091-0013]	2		
1160 Appropriation, discretionary (total)	63	63	68
1930 Total budgetary resources available	67	68	71
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	3	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	15	18
3010 New obligations, unexpired accounts	62	65	70
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-61	-62	-67
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	15	18	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	15	18
3200 Obligated balance, end of year	15	18	21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	63	63	68
Outlays, gross:			
4010 Outlays from new discretionary authority	52	50	55
4011 Outlays from discretionary balances	9	12	12
4020 Outlays, gross (total)	61	62	67
4180 Budget authority, net (total)	63	63	68
4190 Outlays, net (total)	61	62	67

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.

Object Classification (in millions of dollars)

Identification code 091-1400-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	31	34
11.5 Other personnel compensation	2		1
11.9 Total personnel compensation	28	31	35
12.1 Civilian personnel benefits	11	12	13
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA	6	7	7
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2	3	3
25.3 Other goods and services from Federal sources	2	2	2

OFFICE OF INSPECTOR GENERAL—Continued
Object Classification—Continued

Table with 4 columns: Identification code, Description, 2019 actual, 2020 est., 2021 est. Rows include equipment, direct obligations, and total new obligations.

Employment Summary

Table with 4 columns: Identification code, Description, 2019 actual, 2020 est., 2021 est. Row includes direct civilian full-time equivalent employment.

DISASTER EDUCATION RECOVERY

Federal Funds

DISASTER EDUCATION RECOVERY

Program and Financing (in millions of dollars)

Large table with 4 columns: Identification code, Description, 2019 actual, 2020 est., 2021 est. Includes sections for obligations by program activity, budgetary resources, change in obligated balance, and budget authority and outlays, net.

Funds support the following six programs authorized under Public Law 115–123: Awards to Eligible Entities for Immediate Aid to Restart School Operations; Temporary Emergency Impact Aid for Displaced Students; Assistance to Local Educational Agencies Serving Homeless Children and Youth enrolled as a result of displacement by a covered disaster or emergency; Project School Emergency Response to Violence activities authorized under section 4631(b) of the Elementary and Secondary Education Act, as amended; Emergency Assistance to Institutions of Higher Education (IHEs) and Students Attending IHEs from an area directly affected by a

covered disaster or emergency; and payments to IHEs to help defray the unexpected expenses associated with enrolling students from IHEs at which operations have been disrupted by a covered disaster or emergency. Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

Table with 4 columns: Description, 2019 actual, 2020 est., 2021 est. Includes sections for Offsetting receipts from the public and Intragovernmental payments.

GENERAL PROVISIONS

SEC. 301. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

(TRANSFER OF FUNDS)

SEC. 302. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 303. Funds appropriated in this Act and consolidated for evaluation purposes under section 8601(c) of the ESEA shall be available from July 1, 2020, through September 30, 2021.

SEC. 304. (a) An institution of higher education that maintains an endowment fund supported with funds appropriated for title III or V of the HEA for fiscal year 2020 may use the income from that fund to award scholarships to students, subject to the limitation in section 331(c)(3)(B)(i) of the HEA. The use of such income for such purposes, prior to the enactment of this Act, shall be considered to have been an allowable use of that income, subject to that limitation.

(b) Subsection (a) shall be in effect until titles III and V of the HEA are reauthorized.

SEC. 305. Section 114(f) of the HEA (20 U.S.C. 1011c(f)) is amended by striking "2019" and inserting "2020".

SEC. 306. Section 458(a) of the HEA (20 U.S.C. 1087h(a)) is amended in paragraph (4) by striking "2019" and inserting "2020".

SEC. 307. Funds appropriated in this Act under the heading "Student Aid Administration" may be available for payments for student loan servicing to an institution of higher education that services outstanding Federal Perkins Loans under part E of title IV of the Higher Education Act of 1965 (20 U.S.C. 1087aa et seq.).

[(RESCISSION)]

[SEC. 308. Of the unobligated balances available under the heading "Student Financial Assistance" for carrying out subpart 1 of part A of title IV of the HEA, \$500,000,000 are hereby rescinded.]

[SEC. 309. Of the amounts appropriated under Section 401(b)(7)(A)(iv)(X) of the Higher Education Act of 1965 (20 U.S.C. 1070a(b)(7)(A)(iv)(X)), \$50,000,000 are hereby rescinded.]

[SEC. 310. The Elementary and Secondary Education Act of 1965 (20 U.S.C. 6301 et seq) is amended-(1) in the part heading for part B of title IV, by inserting "NITA M. LOWEY" before "21ST"; and (2) in the table of contents of that Act, by striking the part heading for part B of title IV and inserting the following: "PART B-NITA M. LOWEY 21ST CENTURY COMMUNITY LEARNING CENTERS".]

[SEC. 311. (a) **IN GENERAL.**—For the purpose of carrying out 34 CFR 668.206(a)(1), the Secretary of Education may waive the requirements under 34 CFR 668.213(b)(1) for an institution of higher education that offers an associate degree, is a public institution, and is located in an economically distressed county, defined as a county with a poverty rate of at least 25 percent based on the U.S. Census Bureau's Small Area Income and Poverty Estimate program data for 2017 that was impacted by Hurricane Matthew.

(b) **APPLICABILITY.**—Subsection (a) shall apply to an institution of higher education that otherwise would be ineligible to participate in a program under part D of title IV of the Higher Education Act of 1965 on or after the date of enactment of this Act due to the application of 34 CFR 668.206(a)(1).

(c) **COVERAGE.**—This section shall be in effect for the period covered by this Act and for the succeeding fiscal year.]

[SEC. 312. Of the amounts made available under this title under the heading "Student Aid Administration", \$2,300,000 shall be used by the Secretary of Education to conduct outreach to borrowers of loans made under part D of title IV of the Higher Education Act of 1965 who may intend to qualify for loan cancellation under section 455(m) of such Act (20 U.S.C. 1087e(m)), to ensure that borrowers are meeting the terms and conditions of such loan cancellation: *Provided*, That the Secretary shall specifically conduct outreach to assist borrowers who would qualify for loan cancellation under section 455(m) of such Act except that the borrower has made some, or all, of the 120 required payments under a repayment plan that is not described under section 455(m)(A) of such Act, to encourage borrowers to enroll in a qualifying repayment plan: *Provided further*, That the Secretary shall also communicate to all Direct Loan borrowers the full requirements of section 455(m) of such Act and improve the filing of employment certification by providing improved outreach and information such as outbound calls, electronic communications, ensuring prominent access to program requirements and benefits on each servicer's website, and creating an option for all borrowers to complete the entire payment certification process electronically and on a centralized website.]

[SEC. 313. None of the funds made available by this Act may be used in contravention of section 203 of the Department of Education Organization Act (20 U.S.C. 3413).]

[SEC. 314. For an additional amount for "Department of Education-Federal Direct Student Loan Program Account", \$50,000,000, to remain available until expended, shall be for the cost, as defined under section 502 of the Congressional Budget Act of 1974, of the Secretary of Education providing loan cancellation in the same manner as under section 455(m) of the Higher Education Act of 1965 (20 U.S.C. 1087e(m)), for borrowers of loans made under part D of title IV of such Act who would qualify for loan cancellation under section 455(m) except some, or all, of the 120 required payments under section 455(m)(1)(A) do not qualify for purposes of the program because they were monthly payments made in accordance with graduated or extended repayment plans as described under subparagraph (B) or (C) of section 455(d)(1) or the corresponding repayment plan for a consolidation loan made under section 455(g) and that were less than the amount calculated under section 455(d)(1)(A), based on a 10-year repayment period: *Provided*, That the monthly payment made 12 months before the borrower applied for loan cancellation as described in the matter preceding this proviso and the most recent monthly payment

made by the borrower at the time of such application were each not less than the monthly amount that would be calculated under, and for which the borrower would otherwise qualify for, clause (i) or (iv) of section 455(m)(1)(A) regarding income-based or income-contingent repayment plans, with exception for a borrower who would have otherwise been eligible under this section but demonstrates an unusual fluctuation of income over the past 5 years: *Provided further*, That the total loan volume, including outstanding principal, fees, capitalized interest, or accrued interest, at application that is eligible for such loan cancellation by such borrowers shall not exceed \$75,000,000: *Provided further*, That the Secretary shall develop and make available a simple method for borrowers to apply for loan cancellation under this section within 60 days of enactment of this Act: *Provided further*, That the Secretary shall provide loan cancellation under this section to eligible borrowers on a first-come, first-serve basis, based on the date of application and subject to both the limitation on total loan volume at application for such loan cancellation specified in the second proviso and the availability of appropriations under this section: *Provided further*, That no borrower may, for the same service, receive a reduction of loan obligations under both this section and section 428J, 428K, 428L, or 460 of such Act.]

SEC. 306. Notwithstanding section 302, the Secretary of Education may transfer up to 10 percent of any amounts that are made available to the "Program Administration", "Office for Civil Rights", or "Office of Inspector General" accounts in this Act to the "Information Technology System Modernization and Working Capital Fund" (IT WCF), as authorized by section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018: Provided, That any amounts transferred to the IT WCF shall remain available for 3 fiscal years: Provided further, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 15 days in advance of any such transfer.

SEC. 307. Section 402H(b) of the Higher Education Act of 1965 (20 U.S.C. 1070a-18(b)) is amended —

(a) in paragraph (2) —

(1) by striking "shall" and inserting "may" in the material before clause (i) in subparagraph (A); and

(2) by inserting "the rigorous evaluation of the programs authorized by this chapter, which may also include" after "primary purpose" in subparagraph (B); and

(b) by striking paragraph (3) in its entirety and redesignating paragraph (4) as paragraph (3).

SEC. 308. Notwithstanding any other provision of law, the Secretary may reserve not more than 0.5 percent from any amount made available in this Act for an HEA program, except for any amounts made available for subpart 1 of part A of title IV of the HEA, to carry out rigorous and independent evaluations and to collect and analyze outcome data for any program authorized by the HEA: Provided, That no funds made available in this Act for the "Student Aid Administration" account shall be subject to the reservation under this section: Provided further, That any funds reserved under this section shall be available through September 30, 2022: Provided further, That if, under any other provision of law, funds are authorized to be reserved or used for evaluation activities with respect to a program or project, the Secretary may also reserve funds for such program or project for the purposes described in this section so long as the total reservation of funds for such program or project does not exceed any statutory limits on such reservations: Provided further, That not later than 10 days prior to the initial obligation of funds reserved under this section, the Secretary shall submit to the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Health, Education, Labor and Pensions of the Senate, and the Committee on Education and Labor of the House of Representatives a plan that identifies the source and amount of funds reserved under this section, the impact on program grantees if funds are withheld for the purposes of this section, and the activities to be carried out with such funds. (Department of Education Appropriations Act, 2020.)

DEPARTMENT OF ENERGY

NATIONAL NUCLEAR SECURITY ADMINISTRATION

Federal Funds

FEDERAL SALARIES AND EXPENSES

For expenses necessary for Federal Salaries and Expenses in the National Nuclear Security Administration, **【\$434,699,000】** \$454,000,000, to remain available until September 30, **【2021】** 2022, including official reception and representation expenses not to exceed \$17,000. *(Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 089-0313-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Federal Salaries and Expenses	399	447	467
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	25	13
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	14	25	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	410	435	454
1900 Budget authority (total)	410	435	454
1930 Total budgetary resources available	424	460	467
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	13	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	70	60	72
3010 New obligations, unexpired accounts	399	447	467
3020 Outlays (gross)	-407	-435	-465
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	60	72	74
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	70	60	72
3200 Obligated balance, end of year	60	72	74

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	410	435	454
Outlays, gross:			
4010 Outlays from new discretionary authority	340	364	380
4011 Outlays from discretionary balances	67	71	85
4020 Outlays, gross (total)	407	435	465
4180 Budget authority, net (total)	410	435	454
4190 Outlays, net (total)	407	435	465

Federal Salaries and Expenses.—This account provides the Federal salaries and other expenses of the National Nuclear Security Administration (NNSA) mission and mission support staff. The Federal Salaries and Expenses appropriation allows for the creation of a well-managed, inclusive, responsive, and accountable organization through the strategic management of human capital and greater integration of budget and performance data. It also includes funding for a standardized corporate project management enterprise. Program direction for Naval Reactors is within that program's account, and program direction for Secure Transportation Asset is within the Weapons Activities account.

Object Classification (in millions of dollars)

Identification code 089-0313-0-1-053	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	223	250	263
11.3 Other than full-time permanent	2	4	4
11.5 Other personnel compensation	7	8	8
11.9 Total personnel compensation	232	262	275
12.1 Civilian personnel benefits	71	72	73
21.0 Travel and transportation of persons	16	17	18

23.3	Communications, utilities, and miscellaneous charges	4	6	7
25.1	Advisory and assistance services	27	31	33
25.2	Other services from non-Federal sources	6	9	10
25.3	Other goods and services from Federal sources	34	38	39
25.4	Operation and maintenance of facilities	8	10	10
26.0	Supplies and materials	1	2	2
99.9	Total new obligations, unexpired accounts	399	447	467

Employment Summary

Identification code 089-0313-0-1-053	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,645	1,753	1,836

NAVAL REACTORS

【(INCLUDING TRANSFER OF FUNDS)】

For Department of Energy expenses necessary for naval reactors activities to carry out the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition (by purchase, condemnation, construction, or otherwise) of real property, plant, and capital equipment, facilities, and facility expansion, **【\$1,648,396,000】** \$1,684,000,000, to remain available until expended **【**, of which, **【\$88,500,000】** shall be transferred to "Department of Energy-Energy Programs-Nuclear Energy", for the Advanced Test Reactor: *Provided*, That of such amount, **【\$50,500,000】** \$53,700,000 shall be available until September 30, **【2021】** 2022, for program direction. *(Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 089-0314-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Naval reactors development	462	431	590
0020 Program direction	50	52	54
0030 S8G prototype refueling	279	170	135
0040 Naval reactors operations and infrastructure	664	554	506
0050 Construction	477	283	334
0060 COLUMBIA-class reactor systems development	149	75	65
0900 Total new obligations, unexpired accounts	2,081	1,565	1,684
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	6	
1021 Recoveries of prior year unpaid obligations	367		
1050 Unobligated balance (total)	384	6	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,789	1,648	1,684
1120 Appropriations transferred to other acct [089-0319]	-86	-89	
1160 Appropriation, discretionary (total)	1,703	1,559	1,684
1930 Total budgetary resources available	2,087	1,565	1,684
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	783	1,009	984
3010 New obligations, unexpired accounts	2,081	1,565	1,684
3020 Outlays (gross)	-1,487	-1,590	-1,719
3040 Recoveries of prior year unpaid obligations, unexpired	-367		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	1,009	984	949
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	783	1,009	984
3200 Obligated balance, end of year	1,009	984	949

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,703	1,559	1,684
Outlays, gross:			
4010 Outlays from new discretionary authority	837	857	926
4011 Outlays from discretionary balances	650	733	793

NAVAL REACTORS—Continued
Program and Financing—Continued

Identification code 089-0314-0-1-053	2019 actual	2020 est.	2021 est.
4020 Outlays, gross (total)	1,487	1,590	1,719
4180 Budget authority, net (total)	1,703	1,559	1,684
4190 Outlays, net (total)	1,487	1,590	1,719

Naval Reactors.—This account funds all naval nuclear propulsion work. It begins with reactor technology development and design, continues through reactor operation and maintenance, and ends with reactor plant disposal. The program ensures the safe and reliable operation of reactor plants in nuclear-powered submarines and aircraft carriers (constituting over 40 percent of the Navy's major combatants), and fulfills the Navy's requirements for new nuclear propulsion plants that meet current and future national defense requirements. Due to the crucial nature of nuclear reactor work, Naval Reactors is a centrally managed organization. Federal employees oversee and set policies/procedures for developing new reactor plants and operating existing nuclear plants and the facilities that support these plants.

Object Classification (in millions of dollars)

Identification code 089-0314-0-1-053	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	34	34
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	34	36	36
12.1 Civilian personnel benefits	11	9	11
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	4	4	5
25.2 Other services from non-Federal sources	7	6	7
25.3 Other goods and services from Federal sources	3	2	3
25.4 Operation and maintenance of facilities	1,475	1,086	1,190
31.0 Equipment	22	20	22
32.0 Land and structures	522	399	407
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations, unexpired accounts	2,081	1,565	1,684

Employment Summary

Identification code 089-0314-0-1-053	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	235	246	246

NATIONAL NUCLEAR SECURITY ADMINISTRATION

WEAPONS ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense weapons activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed *one aircraft*, one ambulance, and *two passenger buses* for replacement only, **[\$12,457,097,000]** \$15,602,000,000, to remain available until expended: *Provided*, That of such amount, **[\$107,660,000]** \$123,684,000 shall be available until September 30, **[2021]** 2022, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0240-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0017 Stockpile management			4,284
0018 Production modernization			2,458
0019 Stockpile research, technology, and engineering			2,782
0020 Directed stockpile work	4,800	5,456	
0021 Science	496	595	

0022 Engineering	193	264	
0023 Inertial confinement fusion ignition and high yield	546	566	
0024 Advanced simulation and computing	735	841	
0027 Secure transportation asset	288	298	390
0028 Advanced manufacturing development	87	137	
0030 Infrastructure and Operations	3,292	3,181	4,383
0031 Information technology and cybersecurity	236	300	376
0032 Defense nuclear security	755	780	827
0033 Legacy contractor pensions	162	91	102
0034 Site stewardship	1		
0300 Subtotal, Weapons Activities	11,591	12,509	15,602
0799 Total direct obligations	11,591	12,509	15,602
0810 Weapons Activities (Reimbursable)	2,467	1,900	1,910
0900 Total new obligations, unexpired accounts	14,058	14,409	17,512

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	158	114	52
1021 Recoveries of prior year unpaid obligations	532		
1050 Unobligated balance (total)	690	114	52
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	11,100	12,457	15,602
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,887	1,890	1,900
1701 Change in uncollected payments, Federal sources	495		
1750 Spending auth from offsetting collections, disc (total)	2,382	1,890	1,900
1900 Budget authority (total)	13,482	14,347	17,502
1930 Total budgetary resources available	14,172	14,461	17,554
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	114	52	42

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9,014	10,037	10,544
3010 New obligations, unexpired accounts	14,058	14,409	17,512
3020 Outlays (gross)	-12,502	-13,902	-16,356
3040 Recoveries of prior year unpaid obligations, unexpired	-532		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	10,037	10,544	11,700
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2,335	-2,830	-2,830
3070 Change in uncollected pymts, Fed sources, unexpired	-495		
3090 Uncollected pymts, Fed sources, end of year	-2,830	-2,830	-2,830
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6,679	7,207	7,714
3200 Obligated balance, end of year	7,207	7,714	8,870

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	13,482	14,347	17,502
Outlays, gross:			
4010 Outlays from new discretionary authority	5,689	6,603	8,116
4011 Outlays from discretionary balances	6,813	7,299	8,240
4020 Outlays, gross (total)	12,502	13,902	16,356
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,795	-1,788	-1,798
4033 Non-Federal sources	-92	-102	-102
4040 Offsets against gross budget authority and outlays (total)	-1,887	-1,890	-1,900
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-495		
4060 Additional offsets against budget authority only (total)	-495		
4070 Budget authority, net (discretionary)	11,100	12,457	15,602
4080 Outlays, net (discretionary)	10,615	12,012	14,456
4180 Budget authority, net (total)	11,100	12,457	15,602
4190 Outlays, net (total)	10,615	12,012	14,456

Programs funded within the Weapons Activities appropriation support the Nation's current and future defense posture and its attendant nationwide infrastructure of science, technology, and engineering capabilities. Weapons Activities provides for the maintenance and refurbishment of nuclear weapons to continue sustained confidence in their safety, reliability, and performance; continued investment in scientific, engineering, and manufacturing capabilities to enable certification of the enduring nuclear weapons stockpile; and manufacture of nuclear weapon components. Weapons

Activities also provides for continued maintenance and investment in the NNSA nuclear complex to be more responsive and cost effective. The major elements of the program include the following:

Stockpile Management.—Maintains a safe, secure, and effective nuclear weapons stockpile. Activities include extending the expected life of weapons; maintenance, surveillance, assessment, development, and program planning; providing safe and secure dismantlement of nuclear weapons and components; and providing sustenance of needed manufacturing capabilities and capacities, including process improvements and investments focused on increased efficiency of production operations.

Production Modernization.—Focuses on the production capabilities of nuclear weapons, including primaries, secondaries, and radiation cases, which are critical to weapon performance.

Stockpile Research, Technology, and Engineering.—Provides the foundation for science-based stockpile decisions, tools, and components; focuses on the most pressing investments the nuclear security enterprise requires to meet Department of Defense warhead needs and schedules; and enables assessment and certification capabilities used throughout the enterprise. Provides the knowledge and expertise needed to maintain confidence in the nuclear weapons stockpile without additional explosive nuclear testing.

Infrastructure and Operations.—Provides the funding required to operate NNSA facilities and support underlying infrastructure and capabilities at the level necessary to deliver mission results in a safe and secure manner. Modernizes NNSA infrastructure through recapitalization, capability investments, strategic development, and line-item construction projects for the enhancement of capabilities.

Defense Nuclear Security.—Provides protection for NNSA personnel, facilities, nuclear weapons, and materials from a full spectrum of threats, ranging from minor security incidents to acts of terrorism. Provides funding for key security program areas at all NNSA facilities.

Secure Transportation Asset.—Provides for the safe, secure transport of nuclear weapons, weapon components, and special nuclear materials to meet mission requirements. The Program Direction subprogram provides for the secure transportation workforce, including the Federal agents.

Information Technology and Cybersecurity.—The program is responsible for information sharing and information safeguarding to support the mission of NNSA. The program provides information technology (IT) and cybersecurity solutions, including continuous monitoring, cloud-based technologies, and enterprise security technologies (i.e., identity, credential, and access management) to help meet security challenges.

Object Classification (in millions of dollars)

Identification code 089-0240-0-1-053	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	52	55
11.5 Other personnel compensation	12	14	15
11.9 Total personnel compensation	59	66	70
12.1 Civilian personnel benefits	26	30	32
21.0 Travel and transportation of persons	7	7	8
23.1 Rental payments to GSA	51	52	53
23.3 Communications, utilities, and miscellaneous charges	52	54	56
25.1 Advisory and assistance services	228	258	302
25.2 Other services from non-Federal sources	510	535	552
25.3 Other goods and services from Federal sources	24	27	31
25.4 Operation and maintenance of facilities	8,681	9,103	11,853
25.5 Research and development contracts	166	186	201
25.6 Medical care	5	6	8
26.0 Supplies and materials	7	15	26
31.0 Equipment	378	400	426
32.0 Land and structures	1,343	1,711	1,922
41.0 Grants, subsidies, and contributions	54	59	62
99.0 Direct obligations	11,591	12,509	15,602
99.0 Reimbursable obligations	2,467	1,900	1,910
99.9 Total new obligations, unexpired accounts	14,058	14,409	17,512

Employment Summary

Identification code 089-0240-0-1-053	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	505	566	590

DEFENSE NUCLEAR NONPROLIFERATION

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for defense nuclear nonproliferation activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion [], and the purchase of not to exceed two aircraft [], [\$2,164,400,000] \$2,031,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0309-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Defense nuclear nonproliferation research and development	584	534	533
0040 International materials protection and cooperation	2	40
0050 Fissile materials disposition	4
0071 Global material security	407	456	402
0072 Material management and minimization	337	363	437
0073 Nonproliferation and arms control	128	143	139
0074 Nonproliferation construction	85	435	148
0075 Nuclear counterterrorism and incident response	322	376	378
0076 National Technical Nuclear Forensics	40
0080 Global threat reduction initiative	1
0085 Legacy contractor pensions	29	14	14
0100 Subtotal, obligations by program activity	1,895	2,365	2,091
0799 Total direct obligations	1,895	2,365	2,091
0801 Global material security	6
0802 International materials protection and cooperation	1
0899 Total reimbursable obligations	7
0900 Total new obligations, unexpired accounts	1,902	2,365	2,091
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	171	261	60
1021 Recoveries of prior year unpaid obligations	62
1033 Recoveries of prior year paid obligations	5
1050 Unobligated balance (total)	238	261	60
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,949	2,164	2,031
1120 Appropriations transferred to other accts (089-0222)	-10
1131 Unobligated balance of appropriations permanently reduced	-19
1160 Appropriation, discretionary (total)	1,920	2,164	2,031
Spending authority from offsetting collections, discretionary:			
1700 Collected	5
1900 Budget authority (total)	1,925	2,164	2,031
1930 Total budgetary resources available	2,163	2,425	2,091
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	261	60
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,523	1,548	1,865
3010 New obligations, unexpired accounts	1,902	2,365	2,091
3020 Outlays (gross)	-1,815	-2,048	-2,030
3040 Recoveries of prior year unpaid obligations, unexpired	-62
3050 Unpaid obligations, end of year	1,548	1,865	1,926
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,523	1,548	1,865
3200 Obligated balance, end of year	1,548	1,865	1,926
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,925	2,164	2,031
Outlays, gross:			
4010 Outlays from new discretionary authority	876	974	914

DEFENSE NUCLEAR NONPROLIFERATION—Continued
Program and Financing—Continued

Identification code 089-0309-0-1-053	2019 actual	2020 est.	2021 est.
4011 Outlays from discretionary balances	939	1,074	1,116
4020 Outlays, gross (total)	1,815	2,048	2,030
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4		
4033 Non-Federal sources	-1		
4034 Offsetting governmental collections	-5		
4040 Offsets against gross budget authority and outlays (total)	-10		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	5		
4070 Budget authority, net (discretionary)	1,920	2,164	2,031
4080 Outlays, net (discretionary)	1,805	2,048	2,030
4180 Budget authority, net (total)	1,920	2,164	2,031
4190 Outlays, net (total)	1,805	2,048	2,030

Programs funded within the Defense Nuclear Nonproliferation (DNN) appropriation help keep America safe by preventing adversaries from acquiring nuclear weapons or weapons-usable materials, technology, and expertise; countering efforts to acquire such weapons or materials; and responding to nuclear or radiological accidents and incidents domestically and abroad.

The Defense Nuclear Nonproliferation (DNN) and the Nuclear Counterterrorism and Incident Response (NCTIR) programs have a primary role in the U.S. approach to reducing nuclear security risks. These two programs provide policy and technical leadership to prevent or limit the spread of materials, technology, and expertise related to weapons of mass destruction (WMD); develop technologies that detect the proliferation of WMD worldwide; secure or eliminate inventories of nuclear weapons-related materials and infrastructure; and ensure a technically trained response both domestically and worldwide to nuclear and radiological incidents.

The major elements of the appropriation account include the following:

Material Management and Minimization (M³).—M³ programs minimize and, when possible, eliminate weapons-usable nuclear material around the world to achieve permanent threat reduction. This includes minimizing the civilian use of highly enriched uranium (HEU); removing or eliminating the world's most vulnerable weapons-usable nuclear material; and disposing of excess nuclear material in the United States.

Global Material Security (GMS).—GMS programs prevent terrorists and other actors from obtaining nuclear and radiological material to use in an improvised nuclear device or a radiological dispersal device by working with partner countries to improve the security of vulnerable materials and facilities and to improve partners' capacities to deter, detect, and investigate illicit trafficking of these materials. GMS works with countries in bilateral partnerships, and with and through multilateral partners such as the International Atomic Energy Agency (IAEA) and International Criminal Police Organization (Interpol).

Nonproliferation and Arms Control (NPAC).—NPAC supports activities to prevent the proliferation of WMD by state and non-state actors. NPAC develops and implements programs and strategies to strengthen international nuclear safeguards; control the spread of nuclear and dual-use material, equipment, technology, and expertise; verify nuclear reductions and compliance with nonproliferation and arms control treaties and agreements; and address enduring and emerging nonproliferation and arms control challenges and opportunities.

National Technical Nuclear Forensics (NTNF).—NNSA will focus on expanding nuclear forensics capabilities in both research and development (R&D) and operations leveraging existing capabilities in the DNN and Counterterrorism and Counterproliferation offices.

Defense Nuclear Nonproliferation Research and Development (DNN R&D).—DNN R&D drives the innovation of unilateral and multi-lateral technical capabilities to detect nuclear detonations; foreign nuclear weapons

programs' activities; and the presence, movement, or diversion of special nuclear materials. To meet national and Departmental nuclear security requirements, DNN R&D leverages the unique facilities and scientific skills of the Department of Energy, academia, and industry to perform research, conduct technology demonstrations, develop prototypes, and produce and deliver sensors for integration into operational systems.

Nonproliferation Construction.—The budget supports the transition to the dilute and dispose strategy to fulfill the United States' commitment to dispose of 34 metric tons of surplus U.S. weapon-grade plutonium and remove plutonium from the state of South Carolina. The request supports the continuation of preliminary design for the Surplus Plutonium Disposition (SPD) project, as well as long-lead procurements. With available prior year balances, termination activities for the Mixed Oxide Fuel Fabrication project will be completed in FY 2021.

Nuclear Counterterrorism and Incident Response (NCTIR).—The NCTIR Program executes the DOE/NNSA's Comprehensive Emergency Management System program that administers implementation and support of emergency management for all DOE/NNSA offices and sites, and manages the DOE/NNSA Consolidated Emergency Operations Center, Emergency Communications Network, Emergency Management Policy, Training, National Exercises Program, and Continuity Program activities. NCTIR also applies the unique technical expertise from NNSA's nuclear security enterprise to prepare for, prevent, mitigate, and respond to a nuclear or radiological incident domestically or abroad, providing technical advice to interagency and international partners and state and local organizations in support of nuclear counterproliferation, nuclear counterterrorism, nuclear incident response, and nuclear forensics, including helping to coordinate the interagency nuclear forensics mission.

Object Classification (in millions of dollars)

Identification code 089-0309-0-1-053	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	124	135	135
25.2 Other services from non-Federal sources	124	135	135
25.3 Other goods and services from Federal sources	3	3	3
25.4 Operation and maintenance of facilities	1,489	1,922	1,648
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	95	110	110
32.0 Land and structures	41	41	41
41.0 Grants, subsidies, and contributions	17	17	17
99.0 Direct obligations	1,895	2,365	2,091
99.0 Reimbursable obligations	7		
99.9 Total new obligations, unexpired accounts	1,902	2,365	2,091

ENVIRONMENTAL AND OTHER DEFENSE ACTIVITIES

Federal Funds

DEFENSE ENVIRONMENTAL CLEANUP

(INCLUDING CANCELLATION OF FUNDS)

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed one passenger minivan for replacement only, **[\$6,255,000,000]** \$5,092,608,000, to remain available until expended: *Provided*, That of such amount, **[\$281,119,000]** \$275,285,000 shall be available until September 30, **[2021]** 2022, for program direction: *Provided further*, That of the unobligated balances from prior year appropriations available under this heading for LLNL Excess Facilities D&D, \$109,000,000 is hereby permanently cancelled: *Provided further*, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0251-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Closure Sites	5	5	5
0002 Hanford Site	885	912	553
0003 River Protection - Tank Farm	777	763	598
0004 River Protection - Waste Treatment Plant	745	841	660
0006 Idaho	419	434	263
0007 NNSA Sites	310	350	187
0008 Oak Ridge	383	450	263
0009 Savannah River	1,356	1,456	1,532
0010 Waste Isolation Pilot Plant	399	397	383
0011 Program Support	28	13	13
0012 Safeguards & Security	308	313	321
0013 Technology Development & Demonstration	23	25	25
0014 Program Direction	281	281	275
0020 SPRU	4	15	15
0900 Total new obligations, unexpired accounts	5,923	6,255	5,093
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	268	429	441
1021 Recoveries of prior year unpaid obligations	52	12	12
1033 Recoveries of prior year paid obligations	9		
1050 Unobligated balance (total)	329	441	453
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,029	6,255	5,093
1120 Appropriations transferred to other accts (089-0222)	-1		
1131 Unobligated balance of appropriations permanently reduced	-5		-109
1160 Appropriation, discretionary (total)	6,023	6,255	4,984
1930 Total budgetary resources available	6,352	6,696	5,437
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	429	441	344
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,659	2,782	2,589
3010 New obligations, unexpired accounts	5,923	6,255	5,093
3020 Outlays (gross)	-5,747	-6,436	-6,282
3040 Recoveries of prior year unpaid obligations, unexpired	-52	-12	-12
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	2,782	2,589	1,388
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,659	2,782	2,589
3200 Obligated balance, end of year	2,782	2,589	1,388
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,023	6,255	4,984
Outlays, gross:			
4010 Outlays from new discretionary authority	3,523	4,378	3,565
4011 Outlays from discretionary balances	2,224	2,058	2,717
4020 Outlays, gross (total)	5,747	6,436	6,282
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-8		
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-9		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	9		
4060 Additional offsets against budget authority only (total)	9		
4070 Budget authority, net (discretionary)	6,023	6,255	4,984
4080 Outlays, net (discretionary)	5,738	6,436	6,282
4180 Budget authority, net (total)	6,023	6,255	4,984
4190 Outlays, net (total)	5,738	6,436	6,282

The Defense Environmental Cleanup program is responsible for protecting human health and the environment by identifying and reducing risks, as well as managing waste and facilities, at sites where the Department carried out defense-related nuclear research and production activities. Those activities resulted in radioactive, hazardous, and mixed-waste contamination requiring remediation, stabilization, decontamination and decommissioning,

or some other type of cleanup action. The Budget displays the cleanup program by site and activity.

Closure Sites.—Funds post-closure administration costs after the physical completion of cleanup, including costs for contract closeout and litigation support.

Hanford Site.—Funds cleanup and environmental restoration to protect the Columbia River and surrounding communities. The Hanford site cleanup is managed by two Environmental Management (EM) site offices: the Richland Operations Office and the Office of River Protection.

The Richland Office is responsible for cleanup activities on most of the geographic area making up the Hanford site. The primary cleanup focus is decontamination and decommissioning legacy facilities and characterizing and treating contaminated groundwater.

The Office of River Protection is responsible for the safe storage, retrieval, treatment, immobilization, and disposal of approximately 56 million gallons of radioactive waste stored in 177 underground tanks. It is also responsible for related operation, maintenance, engineering, and construction activities, including those connected to the Waste Treatment and Immobilization Plant being built to solidify the liquid tank waste in a glass form that can be safely stored.

Idaho.—Funds retrieval, treatment, and disposition of nuclear and hazardous wastes and spent nuclear fuel, and legacy site cleanup activities.

NNSA Sites.—Funds the safe and efficient cleanup of the environmental legacy of past operations at National Nuclear Security Administration (NNSA) sites including Nevada National Security Site, Sandia National Laboratories, Lawrence Livermore National Laboratory, Los Alamos National Laboratory and the Separations Process Research Unit. The cleanup strategy follows a risk-informed approach that focuses first on those soil and groundwater contaminant plumes and sources that are the greatest contributors to risk. The overall goal is first to ensure that risks to the public and workers are controlled, then to clean up soil and groundwater using a risk-informed methodology. NNSA is responsible for long-term stewardship of its sites after physical cleanup is completed. Los Alamos legacy cleanup is managed by the EM Los Alamos field office. Funding is included to support the deactivation and decommissioning (D&D) of specific high-risk excess facilities to be transferred to the Environmental Management program for Lawrence Livermore National Laboratory.

Oak Ridge.—Funds defense-related cleanup of the three facilities that make up the Oak Ridge Reservation: the East Tennessee Technology Park, the Oak Ridge National Laboratory, and the Y-12 Plant. The overall cleanup strategy is based on surface water considerations, encompassing five distinct watersheds that feed the adjacent Clinch River.

Savannah River Site.—Funds the safe stabilization, treatment, and disposition of legacy nuclear materials, spent nuclear fuel, and waste at the Savannah River site. Key activities include operating the Defense Waste Processing Facility, which is solidifying the high activity liquid waste contained in underground storage tanks, and the testing and cold commissioning of the Salt Waste Processing Facility, which will separate various tank waste components and treat and dispose the low activity liquid waste stream.

Waste Isolation Pilot Plant.—Funds the world's first permitted deep geologic repository for the permanent disposal of radioactive waste, and the Nation's only disposal site for defense-generated transuranic waste. The Waste Isolation Pilot Plant, managed by the Carlsbad Field Office, is an operating facility, supporting the disposal of transuranic waste from waste generator and storage sites across the DOE complex. The Waste Isolation Pilot Plant is crucial to the Department of Energy (DOE) completing its cleanup and closure mission.

Program Direction.—Funds the Federal workforce responsible for the overall direction and administrative support of the EM program, including both Headquarters and field personnel.

Program Support.—Funds management and direction for various cross-cutting EM and DOE initiatives, intergovernmental activities, and analyses

DEFENSE ENVIRONMENTAL CLEANUP—Continued

and integration activities across DOE in a consistent, responsible, and efficient manner.

Safeguards and Security.—Funds activities to protect against unauthorized access, theft, diversion, loss of custody or destruction of DOE assets, and hostile acts that could cause adverse impacts to fundamental national security or the health and safety of DOE and contractor employees, the public or the environment.

Technology Development and Deployment.—Funds projects managed through Headquarters to address the immediate, near- and long-term technology needs identified by the EM sites, enabling them to accelerate their cleanup schedules, treat orphaned wastes, improve worker safety, and provide technical foundations for the sites' cleanup decisions. These projects focus on maturing and deploying the technologies necessary to accelerate tank waste processing, treatment, and waste loading.

Object Classification (in millions of dollars)

Identification code 089-0251-0-1-053	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	150	158	142
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	155	163	147
12.1 Civilian personnel benefits	51	54	48
21.0 Travel and transportation of persons	5	5	5
23.1 Rental payments to GSA	12	13	11
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	10	11	9
25.1 Advisory and assistance services	752	794	713
25.2 Other services from non-Federal sources	449	474	426
25.3 Other goods and services from Federal sources	47	50	45
25.4 Operation and maintenance of facilities	3,324	3,510	2,628
25.5 Research and development contracts	6	6	6
25.6 Medical care	22	23	21
26.0 Supplies and materials	10	11	9
31.0 Equipment	100	106	95
32.0 Land and structures	916	967	869
41.0 Grants, subsidies, and contributions	62	66	59
99.9 Total new obligations, unexpired accounts	5,923	6,255	5,093

Employment Summary

Identification code 089-0251-0-1-053	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,218	1,350	1,275

OTHER DEFENSE ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses, necessary for atomic energy defense, other defense activities, and classified activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion [, and purchase of not more than one passenger motor vehicle], [\$906,000,000] \$1,054,727,000, to remain available until expended: *Provided*, That of such amount, [\$328,917,000] \$341,174,000 shall be available until September 30, [2021] 2022, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0243-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0008 Environment, Health, Safety, and Security Mission Support	201	221	209
0009 Independent Enterprise Assessments	74	87	82
0015 Specialized security activities	282	281	258
0020 Legacy management	169	162	317
0030 Defense related administrative support	162	163	184
0060 Hearings and Appeals	4	5	5

0100 Subtotal, Direct program activities	892	919	1,055
0799 Total direct obligations	892	919	1,055
0810 Other Defense Activities (Reimbursable)	1,904	1,826	1,826
0819 Reimbursable program activities, subtotal	1,904	1,826	1,826
0900 Total new obligations, unexpired accounts	2,796	2,745	2,881

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	51	64	46
1011 Unobligated balance transfer from other acct [047-0616]		4	
1020 Adjustment of unobligated bal brought forward, Oct 1	-1		
1021 Recoveries of prior year unpaid obligations	86		
1033 Recoveries of prior year paid obligations	19		
1050 Unobligated balance (total)	155	68	46
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	860	906	1,055
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,764	1,817	1,855
1701 Change in uncollected payments, Federal sources	83		
1750 Spending auth from offsetting collections, disc (total)	1,847	1,817	1,855
1900 Budget authority (total)	2,707	2,723	2,910
1930 Total budgetary resources available	2,862	2,791	2,956
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	64	46	75

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,781	1,942	1,943
3010 New obligations, unexpired accounts	2,796	2,745	2,881
3020 Outlays (gross)	-2,548	-2,744	-2,959
3040 Recoveries of prior year unpaid obligations, unexpired	-86		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	1,942	1,943	1,865
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,365	-1,448	-1,448
3070 Change in uncollected pymts, Fed sources, unexpired	-83		
3090 Uncollected pymts, Fed sources, end of year	-1,448	-1,448	-1,448
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	416	494	495
3200 Obligated balance, end of year	494	495	417

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2,707	2,723	2,910
Outlays, gross:			
4010 Outlays from new discretionary authority	1,165	1,443	1,570
4011 Outlays from discretionary balances	1,383	1,301	1,389
4020 Outlays, gross (total)	2,548	2,744	2,959
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,678	-1,747	-1,784
4033 Non-Federal sources	-105	-70	-71
4040 Offsets against gross budget authority and outlays (total)	-1,783	-1,817	-1,855
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-83		
4053 Recoveries of prior year paid obligations, unexpired accounts	19		
4060 Additional offsets against budget authority only (total)	-64		
4070 Budget authority, net (discretionary)	860	906	1,055
4080 Outlays, net (discretionary)	765	927	1,104
4180 Budget authority, net (total)	860	906	1,055
4190 Outlays, net (total)	765	927	1,104

Environment, Health, Safety and Security Mission Support.—The program supports the Department's health, safety, environment, and security programs to enhance productivity while maintaining the highest standards of safe operation, protection of national assets, and environmental sustainability. As the Department's "environment, health, safety and security advocate," the program works closely with DOE line managers who are ultimately responsible for ensuring the Department's work is managed and performed in a manner that protects workers and the public as well as the Department's material and information assets. The program functions include: policy and guidance development and technical assistance; analysis of health,

safety, environment, and security performance; nuclear safety; domestic and international health studies; medical screening programs for former workers; Energy Employee Occupational Illness Compensation Program Act support; quality assurance programs; interface with the Defense Nuclear Facilities Safety Board; national security information programs; and security for the Department's facilities and personnel in the National Capital Area.

Enterprise Assessments.—The program supports the Department's independent assessments of security, cybersecurity, emergency management, and environment, safety and health performance; enforcement of worker safety and health, nuclear safety; and classified information security regulations; and implementation of security and safety professional development and training programs.

Specialized Security Activities.—The program supports national security related analyses requiring highly specialized skills and capabilities.

Legacy Management.—The program supports long-term stewardship activities (e.g., groundwater monitoring, disposal cell maintenance, records management, and management of natural resources) at sites where active remediation has been completed. In addition, Legacy Management funds the post-retirement benefits for former contractor employees. In 2021, the requested funding supports the administration of the Formerly Utilized Sites Remedial Action Program (FUSRAP), which includes funding cleanup activities performed by the U.S. Army Corps of Engineers (USACE).

Hearings and Appeals.—The Office of Hearings and Appeals adjudicates personnel security cases, as well as whistleblower reprisal complaints filed by DOE contractor employees. The office is the appeal authority in various other areas, including Freedom of Information Act and Privacy Act appeals. In addition, the office decides requests for exception from DOE orders, rules, regulations, and is responsible for the DOE's alternative dispute resolution function.

Defense-Related Administrative Support.—Obligations are included for defense-related administrative support that serves to offset costs attributable to the defense-related programs within the Department of Energy that utilize the department-wide services funded by the Departmental Administration account. These include accounting and information technology department-wide services.

Object Classification (in millions of dollars)

Identification code 089-0243-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	90	113	116
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	94	117	120
12.1 Civilian personnel benefits	30	34	35
13.0 Benefits for former personnel	3	3	3
21.0 Travel and transportation of persons	7	7	7
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	22	22	22
25.1 Advisory and assistance services	356	363	370
25.2 Other services from non-Federal sources	72	72	122
25.3 Other goods and services from Federal sources	43	43	63
25.4 Operation and maintenance of facilities	199	192	247
25.7 Operation and maintenance of equipment	3	3	3
26.0 Supplies and materials	1	1	1
31.0 Equipment	6	6	6
32.0 Land and structures	6	6	6
41.0 Grants, subsidies, and contributions	48	48	48
99.0 Direct obligations	892	919	1,055
99.0 Reimbursable obligations	1,904	1,826	1,826
99.9 Total new obligations, unexpired accounts	2,796	2,745	2,881

Employment Summary

Identification code 089-0243-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	659	808	814

DEFENSE NUCLEAR WASTE DISPOSAL

Program and Financing (in millions of dollars)

Identification code 089-0244-0-1-053	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	4
3020 Outlays (gross)	-2	-4
3050 Unpaid obligations, end of year	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	4
3200 Obligated balance, end of year	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2	4
4180 Budget authority, net (total)
4190 Outlays, net (total)	2	4

The Defense Nuclear Waste Disposal appropriation was established by the Congress as part of the 1993 Energy and Water Development Appropriation (P.L. 102-377), in lieu of payment from the Department of Energy (DOE) into the Nuclear Waste Fund for activities related to the disposal of defense high-level waste from DOE's atomic energy defense activities.

ENERGY PROGRAMS

Federal Funds

SCIENCE

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for science activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and purchase of not more than [33] 35 passenger motor vehicles [including one bus] for replacement only, [\$7,000,000,000] \$5,837,806,000, to remain available until expended: *Provided*, That of such amount, [\$186,300,000] \$190,306,000 shall be available until September 30, [2021] 2022, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0222-0-1-251	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Basic Energy Sciences	2,126	2,213	1,936
0002 Advanced Scientific Computing Research	917	980	988
0003 Biological and Environmental Research	687	750	517
0004 High Energy Physics	959	1,045	818
0005 Nuclear Physics	665	713	653
0006 Fusion Energy Sciences	548	671	425
0007 Science Laboratories Infrastructure	233	301	174
0008 Science Program Direction	179	186	190
0009 Workforce Development for Teachers and Scientists	23	28	21
0010 Safeguards and Security	106	113	116
0011 Small Business Innovation Research	263
0012 Small Business Technology Transfer	36
0799 Total direct obligations	6,742	7,000	5,838

SCIENCE—Continued
Program and Financing—Continued

Identification code 089–0222–0–1–251	2019 actual	2020 est.	2021 est.
0801 Science (Reimbursable)	599	611	602
0900 Total new obligations, unexpired accounts	7,341	7,611	6,440
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	41	48	27
1021 Recoveries of prior year unpaid obligations	62
1050 Unobligated balance (total)	103	48	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,585	7,000	5,838
1121 Appropriations transferred from other acct [089–0319] ...	26
1121 Appropriations transferred from other acct [089–0309] ...	10
1121 Appropriations transferred from other acct [089–0213] ...	20
1121 Appropriations transferred from other acct [089–0251] ...	1
1121 Appropriations transferred from other acct [089–2250] ...	2
1121 Appropriations transferred from other acct [089–0321] ...	59
1121 Appropriations transferred from other acct [089–0318] ...	5
1160 Appropriation, discretionary (total)	6,708	7,000	5,838
Spending authority from offsetting collections, discretionary:			
1700 Collected	566	590	602
1701 Change in uncollected payments, Federal sources	12
1750 Spending auth from offsetting collections, disc (total)	578	590	602
1900 Budget authority (total)	7,286	7,590	6,440
1930 Total budgetary resources available	7,389	7,638	6,467
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	27	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,139	7,120	7,431
3010 New obligations, unexpired accounts	7,341	7,611	6,440
3020 Outlays (gross)	-6,298	-7,300	-7,140
3040 Recoveries of prior year unpaid obligations, unexpired	-62
3050 Unpaid obligations, end of year	7,120	7,431	6,731
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-459	-471	-471
3070 Change in uncollected pymts, Fed sources, unexpired	-12
3090 Uncollected pymts, Fed sources, end of year	-471	-471	-471
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,680	6,649	6,960
3200 Obligated balance, end of year	6,649	6,960	6,260
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7,286	7,590	6,440
Outlays, gross:			
4010 Outlays from new discretionary authority	1,761	2,673	2,271
4011 Outlays from discretionary balances	4,537	4,627	4,869
4020 Outlays, gross (total)	6,298	7,300	7,140
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-359	-365	-365
4033 Non-Federal sources	-207	-225	-237
4040 Offsets against gross budget authority and outlays (total) ...	-566	-590	-602
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-12
4060 Additional offsets against budget authority only (total)	-12
4070 Budget authority, net (discretionary)	6,708	7,000	5,838
4080 Outlays, net (discretionary)	5,732	6,710	6,538
4180 Budget authority, net (total)	6,708	7,000	5,838
4190 Outlays, net (total)	5,732	6,710	6,538

Advanced Scientific Computing Research.—The Advanced Scientific Computing Research (ASCR) program supports research in applied mathematics and computer science; delivers the most advanced computational scientific applications in partnership with disciplinary science; advances computing and networking capabilities; and develops future generations of computing hardware and tools for science, in partnership with the research community and U.S. industry. The strategy to accomplish this has three thrusts: developing, deploying, and maintaining world-class computing

and network facilities for science; advancing research in applied mathematics, computer science and advanced networking; and partnering with other DOE and Office of Science (SC) programs to advance the use of its high performance computers to drive scientific advances for the Nation. The program supports the development, maintenance, and operation of large high-performance computing and network facilities, including the Leadership Computing Facilities at Oak Ridge and Argonne National Laboratories, the National Energy Research Scientific Computing Facility at Lawrence Berkeley National Laboratory, and the Energy Sciences Network.

Maximizing the benefits of U.S. leadership computing in the coming decades will require an effective national response to increasing demands for computing capabilities and performance, emerging technological challenges and opportunities, and competition with other nations. The DOE has a long history of making fundamental contributions to applied mathematics and computer science associated with strategic computing and a similar set of contributions is foreseen for quantum computing and networking, artificial intelligence (AI), and machine learning (ML) in the science domain and in related investments in advanced architectures and hardware. ASCR's proposed activities are in line with the Nation's Research and Development (R&D) priority for American Leadership in AI, Quantum Information Sciences (QIS), and Strategic Computing. Within the context of this coordinated Federal strategy, the SC and the National Nuclear Security Administration (NNSA) continue to partner on the Department's Exascale Computing Initiative (ECI) to overcome key exascale challenges in parallelism, energy efficiency, and reliability, leading to deployment of a diverse set of exascale systems in the calendar year 2021–2022 timeframe. The ECI's goal for an exascale-capable system is a five-fold increase in sustained performance over the Summit high-performance computing (HPC) system at Oak Ridge National Laboratory, with applications that address next-generation science, engineering, and data problems. The ECI focuses on delivering advanced simulation through an exascale-capable computing program, emphasizing sustained performance in science and national security mission applications and increased convergence between exascale and large-data analytic computing.

Basic Energy Sciences.—The Basic Energy Sciences (BES) program supports fundamental research to understand, predict, and ultimately control matter and energy at the electronic, atomic, and molecular levels in order to provide the foundations for new energy technologies and to support DOE missions in energy, environment, and national security. Key to exploiting such discoveries is the ability to create new materials using sophisticated synthesis and processing techniques, precisely define the atomic arrangements in matter, and control physical and chemical transformations. The energy systems of the future will revolve around materials and chemical changes that convert energy from one form to another. The research disciplines that BES supports—condensed matter and materials physics, chemistry, geosciences, and aspects of biosciences—are those that discover new materials and design new chemical processes that touch virtually every important aspect of energy resources, production, conversion, transmission, storage, efficiency, and waste mitigation. BES research provides a knowledge base to help understand, predict, and ultimately control the natural world and helps build the foundation for achieving a secure and sustainable energy future. BES invests in fundamental research to drive the scientific frontiers and innovation in high priority areas such as QIS, AI/ML, microelectronics, polymer upcycling, critical materials and rare earth separations, and exascale computing. BES also manages a research portfolio in accelerator physics, x-ray and neutron detectors, and x-ray-optics to explore technology options for developing the next generations of x-ray and neutron sources. BES also supports twelve world-class, open-access scientific user facilities consisting of a complementary set of intense x-ray sources, neutron sources, and research centers for nanoscale science. BES facilities probe materials with ultrahigh spatial, temporal, and energy resolutions to investigate the critical functions of matter—transport, reactivity, fields, excitations, and motion—and answer some of the most challenging grand

science questions. These facilities undergo continual development and upgrade of capabilities, including fabricating new x-ray and neutron experimental stations, improving core facilities, and providing new stand-alone instruments and capabilities. BES also manages construction projects to build new or upgrade existing facilities to provide world-leading tools and instruments to the scientific community and maintain U.S. leadership in the physical sciences.

Biological and Environmental Research.—The Biological and Environmental Research (BER) program supports fundamental research and provides scientific user facilities to achieve a predictive understanding of complex biological, earth, and environmental systems for energy and infrastructure resilience and sustainability. The program seeks to understand the biological, biogeochemical, and physical principles needed to predict a continuum of processes from the molecular and genomics-controlled smallest scales to environmental and ecological processes. Starting with the genetic potential encoded by organisms' genomes, BER Biological System Science research approaches include genome sequencing, proteomics, metabolomics, structural biology, high-resolution imaging and characterization, and integration of information into predictive computational models that can be iteratively tested and validated. This can enable more confident redesign of microbes and plants for sustainable biofuels production, improved carbon storage, and controlled biological transformation of materials such as nutrients and metals in the environment. The program also is initiating new efforts in translating biodesign rules to functional properties of novel biological polymers. BER Earth and Environmental Systems Sciences research advances the fundamental scientific analysis and modeling of the sensitivity and uncertainty of earth system predictions to atmospheric, cryospheric, oceanic, and biogeochemical processes in both terrestrial and subsurface environments. Investments will continue to support the E3SM (Energy Exascale Earth System Model) capability, tailored to DOE requirements for a variety of scenarios applied to spatial scales as small as 10 kilometers. The DOE Joint Genome Institute (JGI) provides high quality genome sequence data and analysis techniques for a wide variety of plants and microbial communities in support of sustainable, renewable bioenergy and bioproducts research, and environmental research. JGI continues to be an essential component of DOE systems biology efforts. The Atmospheric Radiation Measurement (ARM) research facility provides unique, multi-instrumented capabilities for continuous, long-term observations of clouds, aerosols, and related meteorological information that can be used to improve earth system models. The Environmental Molecular Sciences Laboratory (EMSL) provides integrated experimental and computational resources for discovery and technological innovation in the environmental molecular sciences.

Fusion Energy Sciences.—The Fusion Energy Sciences (FES) program mission is to expand the fundamental understanding of matter at very high temperatures and densities and to build the scientific foundation needed to develop a fusion energy source. This is accomplished through the study of plasma, the fourth state of matter, and how it interacts with its surroundings. Plasma science is wide-ranging, since 99 percent of the visible universe is composed of plasmas of various types. High-temperature fusion plasmas at hundreds of millions of degrees occur in national security applications albeit for very short times. The same fusion plasmas may be exploited in the laboratory in controlled fashion to become the basis for a future clean nuclear power source, which could provide domestic energy independence and security.

The FES program has three elements: 1) Burning Plasma Science: Foundations—The behavior of magnetically confined fusion plasmas is experimentally explored on the DIII-D National Fusion Facility and the National Spherical Torus Experiment-Upgrade, which are national SC user facilities. Fusion theory and simulation activities predict and interpret the complex behavior of plasmas as self-organized systems. The element supports FES Scientific Discovery through Advanced Computing centers in partnership with ASCR; 2) Burning Plasma Science: Long Pulse—U.S. scientists take advantage of international partnerships to conduct research

on superconducting tokamaks and stellarators with long-duration capabilities. The element supports research to develop novel materials that can withstand the extreme fusion environment; 3) Discovery Plasma Science: Research—This element supports research on areas including plasma astrophysics, high-energy-density laboratory plasmas, low-temperature plasmas, and innovative measurement techniques. Investments in transformational technologies such as machine learning, QIS, microelectronics, and high-performance strategic computing accelerate progress in several mission areas. Finally, the unique scientific challenges and rigor of fusion and plasma physics research lead to the development of a well-trained Science Technology Engineering and Mathematics-focused workforce, which will contribute to maintaining and advancing U.S. competitiveness and world-leadership in key areas of future technological and economic importance, as well as national security.

High Energy Physics.—The High Energy Physics (HEP) program mission is to understand how the universe works at its most fundamental level by discovering the elementary constituents of matter and energy, probing the interactions among them, and exploring the basic nature of space and time. The HEP program offers research opportunities for individual investigators and small-scale collaborations, as well as very large international collaborations. A world-wide program of particle physics research is underway to discover what lies beyond the Standard Model of particle physics. Five intertwined science drivers of particle physics provide compelling lines of inquiry that show great promise for discovery: use the Higgs boson as a new tool for discovery; pursue the physics associated with neutrino mass; identify the new physics of dark matter; understand cosmic acceleration, dark energy, and inflation; and explore new particles, interactions and physical principles. The program enables scientific discovery through a strategy organized along three frontiers of particle physics: 1) The Energy Frontier, where researchers accelerate particles to the highest energies ever made by humans and collide them to produce and study the fundamental constituents of matter. This requires some of the largest machines ever built; 2) The Intensity Frontier, where researchers use a combination of intense particle beams and highly sensitive detectors to make extremely precise measurements of particle properties, to study some of the rarest particle interactions predicted by the Standard Model, and to search for new physics; and 3) The Cosmic Frontier, where researchers seek to reveal the nature of dark matter and dark energy by using naturally occurring particles to explore new phenomena. The highest-energy particles ever observed have come from cosmic sources, and the ancient light from distant galaxies allows scientists to map the distribution of dark matter and perhaps unravel the nature of dark energy. Investments in Theoretical, Computational, and Interdisciplinary Physics provide the framework to explain experimental observations and gain a deeper understanding of nature while addressing cross-cutting challenges in QIS and AI/ML. Advanced Technology R&D fosters fundamental research into particle acceleration and detection techniques and instrumentation, supporting the frontiers and enabling future discovery experiments. Accelerator Stewardship supports R&D for advanced technologies and research tools synergistic with HEP that directly impact other sciences, industry, medicine, and national security.

Nuclear Physics.—The Nuclear Physics (NP) program mission is to discover, explore, and understand all forms of nuclear matter. Although the fundamental particles that compose nuclear matter—quarks and gluons—are themselves relatively well understood, exactly how they interact and combine to form the different types of matter observed in the universe today and during its evolution remains largely unknown. Nuclear physicists seek to understand not just the familiar forms of matter we see around us, but also exotic forms such as those which existed in the first microseconds after the birth of the cosmos and that exist today inside neutron stars. The NP program addresses three tightly interrelated scientific thrusts: 1) how the strong nuclear force assembles quarks and gluons into protons and neutrons; 2) how novel forms of bulk, strongly interacting matter behave, such as the quark-gluon plasma that formed in the early universe; and 3) the structure of nuclei; how protons and neutrons combine to form atomic

SCIENCE—Continued

nuclei and how these nuclei have arisen during the 13.8 billion years since the birth of the cosmos. NP provides approximately 95 percent of all nuclear science federal research funding. NP supports highly trained university and national laboratory scientists to conceive, plan, execute, and interpret transformative experiments in the U.S. and in international collaborations. NP also maintains and operates three national scientific user facilities that accelerate particles to nearly the speed of light, producing short-lived forms of matter for investigation. The Facility for Rare Isotope Beams is nearing completion at Michigan State University, and will provide advanced world-leading capabilities for science, national security applications, and isotope production. Researchers will use NP's low energy, precision nuclear experiments, many enabled by new quantum sensors, to search for a deeper understanding of nuclear interactions. The Electron Ion Collider (EIC) will ensure U.S. leadership in nuclear physics research and accelerator R&D. The EIC will enable scientists to investigate and answer questions about the basic building blocks of nuclei and how quarks and gluons (particles inside neutrons and protons), interact dynamically via the strong force to generate the fundamental properties of neutrons and protons, such as mass and spin.

The DOE Isotope Program supports high-priority research on the development of cutting-edge approaches for producing isotopes critical to the nation in basic research and applications, including ground breaking research on the production of alpha emitting isotopes in sufficient quantity to enable clinical trials for cancer therapy. The program provides mission readiness for the production of radioactive and stable isotopes that are in short supply for research and a wide array of applications. Construction continues for the Stable Isotope Production and Research Center to expand the stable isotope production capability to meet the demand of the Nation, while also mitigating dependency on critical isotopes from foreign suppliers. Stable and radioactive isotopes are vital to the missions of many Federal agencies including the National Institutes of Health, the National Institute of Standards and Technology, the Department of Agriculture, Department of Homeland Security, NNSA, and DOE SC programs. NP continues to work in close collaboration with all federal organizations to develop strategic plans for isotope production and to establish effective communication to better forecast isotope needs and leverage resources.

Science Laboratories Infrastructure.—The Science Laboratories Infrastructure (SLI) program supports scientific and technological innovation at the SC laboratories by funding and sustaining mission-ready infrastructure and fostering safe and environmentally responsible operations. The program provides state-of-the-art facilities and infrastructure that are flexible, reliable, and sustainable in support of scientific discovery. The SLI program also funds Payments in Lieu of Taxes to local communities around the Argonne, Brookhaven, and Oak Ridge National Laboratories. The SLI program continues to focus on improving infrastructure across the SC national laboratory complex. The FY 2021 Budget includes funding for three new construction starts and fifteen on-going SLI construction projects.

Safeguards and Security.—The Safeguards and Security (S&S) program is designed to ensure appropriate security measures are in place to support the SC mission requirement of open scientific research and to protect critical assets within SC laboratories. This is accomplished by providing physical controls that will mitigate possible risks to the laboratories' employees, nuclear and special materials, classified and sensitive information, and facilities. The S&S program also provides funding for cyber security for the laboratories' information technology systems to protect electronic data while enabling the SC mission.

Workforce Development for Teachers and Scientists.—The Workforce Development for Teachers and Scientists (WDTS) program mission is to help ensure that DOE has a sustained pipeline of science, technology, engineering, and mathematics (STEM) workers. This is accomplished through support of undergraduate internships, and graduate thesis research at the DOE laboratories; and annual, nationwide, middle- and high-school science competitions culminating in the National Science Bowl in Washington,

D.C. These investments help develop the next generation of scientists and engineers to support the DOE mission, administer programs, and conduct research.

Program Direction.—Science Program Direction supports a highly skilled Federal workforce to develop and oversee SC investments in research and scientific user facilities. SC investments deliver scientific discoveries and major scientific tools that transform our understanding of nature and advance the energy, economic, and national security of the United States. In addition, SC provides public access to DOE scientific findings to further leverage the Federal science investment and advance the scientific enterprise. SC requires highly skilled scientific and technical program and project managers, as well as experts in areas such as acquisition, finance, legal, construction, and infrastructure management, human resources, and environmental, safety, and health oversight. SC plans, executes, and manages basic science research programs that address critical national needs. Oversight of DOE's basic research portfolio, which includes extramural grants and contracts supporting over 23,000 researchers located at over 300 institutions and the 17 DOE national laboratories, spanning all fifty states and the District of Columbia and 28 scientific user facilities serving over 33,000 users per year, as well as supervision of major construction projects, is a Federal responsibility.

Object Classification (in millions of dollars)

Identification code 089–0222–0–1–251	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	97	99	101
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	2	3
11.8 Special personnel services payments	1	1	1
11.9 Total personnel compensation	103	104	107
12.1 Civilian personnel benefits	31	31	31
13.0 Benefits for former personnel		1	1
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA		1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	29	29	29
25.2 Other services from non-Federal sources	28	28	28
25.3 Other goods and services from Federal sources	14	14	14
25.4 Operation and maintenance of facilities	3,709	3,854	3,192
25.5 Research and development contracts	8	8	7
26.0 Supplies and materials	2	2	2
31.0 Equipment	303	315	261
32.0 Land and structures	1,290	1,340	1,007
41.0 Grants, subsidies, and contributions	1,217	1,265	1,150
99.0 Direct obligations	6,742	7,000	5,838
99.0 Reimbursable obligations	599	611	602
99.9 Total new obligations, unexpired accounts	7,341	7,611	6,440

Employment Summary

Identification code 089–0222–0–1–251	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	778	785	785

ADVANCED RESEARCH PROJECTS AGENCY—ENERGY

(INCLUDING CANCELLATION OF FUNDS)

For Department of Energy expenses necessary in [carrying out] overseeing execution of obligations carried forward into fiscal year 2022 for the activities authorized by section 5012 of the America COMPETES Act (Public Law 110–69), [\$425,000,000, to remain available until expended: Provided, That of such amount, \$35,000,000] \$21,256,000 shall be available until September 30, [2021] 2022, for program direction: Provided, That of the unobligated balances from prior year appropriations available under this heading, \$332,000,000 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and

Emergency Deficit Control Act of 1985. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0337-0-1-270	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 ARPA-E Projects	393	403
0002 Program Direction	30	22	21
0799 Total direct obligations	423	425	21
0801 Advanced Research Projects Agency - Energy (Reimbursable)	1
0900 Total new obligations, unexpired accounts	424	425	21
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	450	412	413
1021 Recoveries of prior year unpaid obligations	19
1050 Unobligated balance (total)	469	412	413
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	366	425	21
1131 Unobligated balance of appropriations permanently reduced	-332
1160 Appropriation, discretionary (total)	366	425	-311
Spending authority from offsetting collections, discretionary:			
1700 Collected	1
1701 Change in uncollected payments, Federal sources	1	1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	367	426	-310
1930 Total budgetary resources available	836	838	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	412	413	82
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	409	566	643
3010 New obligations, unexpired accounts	424	425	21
3020 Outlays (gross)	-248	-348	-419
3040 Recoveries of prior year unpaid obligations, unexpired	-19
3050 Unpaid obligations, end of year	566	643	245
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-2	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	408	565	641
3200 Obligated balance, end of year	565	641	242
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	367	426	-310
Outlays, gross:			
4010 Outlays from new discretionary authority	21	128	-326
4011 Outlays from discretionary balances	227	220	745
4020 Outlays, gross (total)	248	348	419
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1	-1
4052 Offsetting collections credited to expired accounts	1	1
4070 Budget authority, net (discretionary)	366	425	-311
4080 Outlays, net (discretionary)	247	347	418
4180 Budget authority, net (total)	366	425	-311
4190 Outlays, net (total)	247	347	418

The U.S. Department of Energy's Advanced Research Projects Agency-Energy (ARPA-E) was established by the America COMPETES Act of 2007 (Public Law 110-69), as amended. The Budget requests \$21,256,000 for administrative expenses related to overseeing ARPA-E obligations carried forward to remain available until September 30, 2022. The Budget also requests the cancellation of \$332,000,000 in unobligated balances.

Object Classification (in millions of dollars)

Identification code 089-0337-0-1-270	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2	2	2
11.3 Other than full-time permanent	5	5	5
11.9 Total personnel compensation	7	7	7
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1
25.1 Advisory and assistance services	11	11	6
25.2 Other services from non-Federal sources	14	14	6
25.3 Other goods and services from Federal sources	4	4
25.4 Operation and maintenance of facilities	54	54
25.5 Research and development contracts	330	331
99.0 Direct obligations	423	424	21
99.0 Reimbursable obligations	1	1
99.9 Total new obligations, unexpired accounts	424	425	21

Employment Summary

Identification code 089-0337-0-1-270	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	45	64	52

ENERGY SUPPLY AND CONSERVATION

Program and Financing (in millions of dollars)

Identification code 089-0224-0-1-999	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
1930 Total budgetary resources available	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-1	-1	-1
3200 Obligated balance, end of year	-1	-1	-1
4180 Budget authority, net (total)
4190 Outlays, net (total)

NUCLEAR ENERGY

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for nuclear energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$1,493,408,000]** \$1,179,931,000, to remain available until expended: *Provided*, That of such amount, **[\$80,000,000]** \$75,131,000 shall be available until September 30, **[2021]** 2022, for program direction. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0319-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Naval Reactors Development	86	89
0032 Reactor Concepts RD&D	288	267	112
0033 Versatile Test Reactor	295
0034 Advanced Reactors Demonstration Program	230	20
0041 Fuel Cycle R&D	265	305	187
0042 Integrated University Program	5	5
0043 Nuclear Energy Enabling Technologies R&D	131	113	116
0091 Research and Development programs, subtotal	775	1,009	730
0301 Radiological Facilities Management	29	12

NUCLEAR ENERGY—Continued
Program and Financing—Continued

Identification code 089-0319-0-1-999	2019 actual	2020 est.	2021 est.
0401 Idaho Facilities Management	318	334	226
0450 Idaho National Laboratory safeguards and security	146	153	138
0451 International Nuclear Safety	4		
0491 Infrastructure programs, subtotal	468	487	364
0501 Small Modular Reactor Licensing Technical Support Program	1		
0502 Supercritical Transformational Electric Power Generation	5	5	
0551 Program Direction	77	80	75
0552 International Nuclear Energy Cooperation	3		
0591 Other direct program activities, subtotal	86	85	75
0799 Total direct obligations	1,358	1,581	1,181
0801 Nuclear Energy (Reimbursable)	159	161	139
0900 Total new obligations, unexpired accounts	1,517	1,742	1,320
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	81	117	87
1011 Unobligated balance transfer from other acct [072-0306]	4		
1021 Recoveries of prior year unpaid obligations	13	10	
1050 Unobligated balance (total)	98	127	87
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,326	1,493	1,180
1120 Appropriations transferred to other accts [089-0222]	-26		
1121 Appropriations transferred from other acct [089-0314]	86	89	
1160 Appropriation, discretionary (total)	1,386	1,582	1,180
Spending authority from offsetting collections, discretionary:			
1700 Collected	123	120	120
1701 Change in uncollected payments, Federal sources	31		
1750 Spending auth from offsetting collections, disc (total)	154	120	120
1900 Budget authority (total)	1,540	1,702	1,300
1930 Total budgetary resources available	1,638	1,829	1,387
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	117	87	67
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,035	1,188	1,738
3010 New obligations, unexpired accounts	1,517	1,742	1,320
3020 Outlays (gross)	-1,351	-1,182	-1,346
3040 Recoveries of prior year unpaid obligations, unexpired	-13	-10	
3050 Unpaid obligations, end of year	1,188	1,738	1,712
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-79	-110	-110
3070 Change in uncollected pymts, Fed sources, unexpired	-31		
3090 Uncollected pymts, Fed sources, end of year	-110	-110	-110
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	956	1,078	1,628
3200 Obligated balance, end of year	1,078	1,628	1,602
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,540	1,702	1,300
Outlays, gross:			
4010 Outlays from new discretionary authority	556	570	432
4011 Outlays from discretionary balances	795	612	914
4020 Outlays, gross (total)	1,351	1,182	1,346
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-109	-120	-120
4033 Non-Federal sources	-14		
4040 Offsets against gross budget authority and outlays (total)	-123	-120	-120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-31		
4070 Budget authority, net (discretionary)	1,386	1,582	1,180
4080 Outlays, net (discretionary)	1,228	1,062	1,226
4180 Budget authority, net (total)	1,386	1,582	1,180
4190 Outlays, net (total)	1,228	1,062	1,226

The Office of Nuclear Energy (NE) funds a broad range of research and development (R&D) activities and supports Federal nuclear energy R&D infrastructure. The FY 2021 Budget continues programmatic support for advanced reactor R&D activities; fuel cycle R&D; and the safe, environmentally compliant, and cost-effective operation of the Department's facilities vital to nuclear energy R&D activities.

Reactor Concepts Research, Development and Demonstration.—This program conducts R&D on new and advanced reactor designs and technologies, including small modular reactors, and on advanced technologies for light water reactors (LWR).

Fuel Cycle Research and Development.—This program conducts R&D on advanced fuel cycle technologies that have the potential to improve resource utilization and energy generation, reduce waste generation, enhance safety, and mitigate proliferation risk.

Nuclear Energy Enabling Technologies.—This program conducts R&D and strategic infrastructure investments to develop innovative and crosscutting nuclear energy technologies, including investments in modeling and simulation tools and providing access to unique nuclear energy research capabilities through the Nuclear Science User Facilities (NSUF).

Radiological Facilities Management.—This program supports the continued operation of U.S. university research reactors by providing university research reactor fuel services, as well as maintenance of, and safety upgrades to, fuel fabrication equipment and facilities.

Advanced Reactors Demonstration Program.—This program focuses federal and non-federal resources on the actual construction of demonstration reactors that are safe and affordable (to build and operate) in the near- and mid-term.

Versatile Test Reactor Project.— This program will provide the United States with a fast neutron testing capability to support the development of advanced nuclear reactor technologies. The Versatile Test Reactor (VTR) project will provide a leading edge capability for accelerated testing of advanced nuclear fuels, materials, instrumentation, and sensors.

Idaho Facilities Management.—This program manages the planning, acquisition, operation, maintenance, and disposition of the NE-owned facilities and capabilities at the Idaho National Laboratory (INL), maintains Department of Energy mission-supporting facilities and capabilities at the INL in a safe, compliant status to support the Department's nuclear energy research, testing of naval reactor fuels and reactor core components, and a diverse range of national security technology programs that support the National Nuclear Security Administration (NNSA) and other Federal agencies such as the Department of Homeland Security in the areas of critical infrastructure protection, nuclear nonproliferation, and incident response.

Idaho Sitewide Safeguards and Security.—This program supports the INL complex nuclear facility infrastructure and enables R&D in support of multiple program missions.

Program Direction.—This program provides the federal staffing resources and associated costs required to support the overall direction and execution of the NE programs.

Object Classification (in millions of dollars)

Identification code 089-0319-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	36	40	37
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	37	40	37
12.1 Civilian Personnel	12	19	18
12.1 Civilian personnel benefits	1		
21.0 Travel Subject to Travel Regulations	2	4	1
23.3 Communications, utilities, and miscellaneous charges	2	9	1
25.1 Advisory and assistance services	7	12	5
25.1 Other Contractual Services	2	5	2
25.2 Other services from non-Federal sources	195	265	177
25.3 Other goods and services from Federal sources	12	20	10
25.4 Operation and maintenance of facilities	960	1,009	837
25.7 Operation and maintenance of equipment	2	5	2
26.0 Supplies and materials	2	9	2

26.0	Other Supplies and Materials	2	10	2
31.0	Equipment	12	30	6
32.0	Land and structures	72	95	55
41.0	Grants, subsidies, and contributions	36	45	25
41.0	Other Grants Not Otherwise Classified	2	5	2
99.0	Direct obligations	1,358	1,582	1,182
99.0	Reimbursable obligations	159	160	138
99.9	Total new obligations, unexpired accounts	1,517	1,742	1,320

Employment Summary

Identification code 089-0319-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	281	287	272
2001 Reimbursable civilian full-time equivalent employment	2		

URANIUM RESERVE

For Department of Energy expenses necessary for Uranium Reserve activities to carry out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), \$150,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 089-2296-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Purchase of uranium			150
0900 Total new obligations, unexpired accounts (object class 25.2)			150
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			150
1930 Total budgetary resources available			150
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			150
3020 Outlays (gross)			-45
3050 Unpaid obligations, end of year			105
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			105
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			150
Outlays, gross:			
4010 Outlays from new discretionary authority			45
4180 Budget authority, net (total)			150
4190 Outlays, net (total)			45

Establishing a Uranium Reserve provides assurance of availability of uranium in the event of a market disruption and supports strategic U.S. fuel cycle capabilities. This action addresses immediate challenges to the production of domestic uranium and reflects the Administration's Nuclear Fuel Working Group (NFWG) priorities. The NFWG will continue to evaluate issues related to uranium supply chain and fuel supply.

ELECTRICITY

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for electricity [delivery] activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$190,000,000 \$195,045,000, to remain available until expended: Provided, That of such amount, \$18,000,000 \$19,645,000 shall be available until September 30, [2021] 2022, for program direction. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0318-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Transmission reliability and resiliency	47	57	56
0012 Resilient distribution systems	34	45	18
0014 Energy Storage	45	56	84
0015 Transformer Resilience and Advanced Components	12	7	9
0016 DCEI Energy Mission Assurance			2
0030 Transmission permitting and technical assistance	7	7	7
0040 Program Direction	18	18	20
0799 Total direct obligations	163	190	196
0801 Reimbursable work	2	2	2
0809 Reimbursable program activities, subtotal	2	2	2
0900 Total new obligations, unexpired accounts	165	192	198
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	43	33	37
1010 Unobligated balance transfer to other accts [089-2250]	-7		
1021 Recoveries of prior year unpaid obligations	14		
1050 Unobligated balance (total)	50	33	37
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	156	190	195
1120 Appropriations transferred to other accts [089-0222]	-5		
1160 Appropriation, discretionary (total)	151	190	195
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1701 Change in uncollected payments, Federal sources	-5	3	3
1750 Spending auth from offsetting collections, disc (total)	-3	6	6
1900 Budget authority (total)	148	196	201
1930 Total budgetary resources available	198	229	238
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	37	40
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	352	298	249
3010 New obligations, unexpired accounts	165	192	198
3020 Outlays (gross)	-205	-241	-247
3040 Recoveries of prior year unpaid obligations, unexpired	-14		
3050 Unpaid obligations, end of year	298	249	200
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-19	-14	-17
3070 Change in uncollected pymts, Fed sources, unexpired	5	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-14	-17	-20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	333	284	232
3200 Obligated balance, end of year	284	232	180
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	148	196	201
Outlays, gross:			
4010 Outlays from new discretionary authority	15	82	84
4011 Outlays from discretionary balances	190	159	163
4020 Outlays, gross (total)	205	241	247
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-2	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	5	-3	-3
4070 Budget authority, net (discretionary)	151	190	195
4080 Outlays, net (discretionary)	203	238	244
4180 Budget authority, net (total)	151	190	195
4190 Outlays, net (total)	203	238	244

The mission of the Office of Electricity (OE) is to drive electric grid modernization and resiliency in energy infrastructure. OE leads the Department of Energy's efforts to strengthen, transform, and improve energy infrastructure so that consumers have access to reliable, secure, and clean sources of energy. OE programs include:

ELECTRICITY—Continued

Transmission Reliability and Resilience (TRR).—The TRR program helps improve the reliability and resilience of the U.S. electric grid through early stage and foundational research and development (R&D) focused on measurement and control of the electricity system, as well as model development and validation for assessing risks across integrated energy systems.

Resilient Distribution Systems (RDS).—The RDS program focuses on addressing the challenges facing the electric power grid by developing the innovative technologies, tools, and techniques to modernize the distribution portion of the electric delivery system. RDS pursues strategic investments to improve reliability, resilience, outage recovery, and operational efficiency, building upon previous and ongoing grid modernization efforts.

Energy Storage.—The Energy Storage program, which is included in the Department-wide Advanced Energy Storage Initiative, helps ensure the stability, reliability, and resilience of electricity infrastructure by accelerating the development of new materials and device technologies that can lead to significant improvements in the cost and performance of energy storage systems and accelerated adoption of the energy storage solutions into the grid infrastructure.

Transformer Resilience and Advanced Components (TRAC).—The TRAC program supports modernization, hardening, and resilience of the grid by addressing challenges facing transformers and other critical grid hardware components that carry and control electricity from where it is generated to where it is used. Research in advanced materials, components, and devices will provide the fundamental physical capabilities and enhancements required to accommodate a rapidly changing power system, ensure all-hazards resilience to a more complex threat environment, and encourage the adoption of new technologies and approaches.

Defense Critical Energy Infrastructure (DCEI) Energy Mission Assurance.—The DCEI Energy Mission Assurance program will identify, evaluate, prioritize, and assist in developing executable strategies to strengthen the energy infrastructure systems that supply critical infrastructure needed to ensure government continuity following severe natural and manmade disasters. This is a new activity in FY 2021.

Transmission Permitting & Technical Assistance (TPTA).—The TPTA program helps States address issues shaping their energy infrastructure programs, policies, and decisions by advancing methods and approaches that address challenges such as incorporating resilience into planning processes, developing effective grid modernization strategies, and evaluating myriad resource options. TPTA also carries out regulatory responsibilities and evaluates regulatory reform to reduce the Federal burden associated with investing in our Nations electricity infrastructure.

Program Direction.—Program Direction provides for the costs associated with the Federal workforce and contractor services that support OE's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

Object Classification (in millions of dollars)

Identification code 089-0318-0-1-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	8	8
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	9	9	9
12.1 Civilian personnel benefits	3	3	3
25.1 Advisory and assistance services	9	9	9
25.3 Other goods and services from Federal sources	6	6	6
25.4 Operation and maintenance of facilities	119	146	151
25.5 Research and development contracts	16	16	17
32.0 Land and structures	1	1	1
99.0 Direct obligations	163	190	196
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations, unexpired accounts	165	192	198

Employment Summary

Identification code 089-0318-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	54	62	62

CYBERSECURITY, ENERGY SECURITY, AND EMERGENCY RESPONSE

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy sector cybersecurity, energy security, and emergency response activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$156,000,000] \$184,621,000**, to remain available until expended: *Provided*, That of such amount, **[\$13,000,000] \$11,521,000** shall be available until September 30, **[2021] 2022**, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-2250-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Cybersecurity for energy delivery systems	87	99	107
0020 Infrastructure security and energy restoration	15	50	72
0030 Program direction	7	15	14
0799 Total direct obligations	109	164	193
0801 Reimbursable work	1	38	1
0900 Total new obligations, unexpired accounts	110	202	194
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		16	8
1011 Unobligated balance transfer from other acct [089-0318]	7		
1050 Unobligated balance (total)	7	16	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	120	156	185
1120 Appropriations transferred to other acct [089-0222]	-2		
1160 Appropriation, discretionary (total)	118	156	185
Spending authority from offsetting collections, discretionary:			
1701 Change in uncollected payments, Federal sources	1	38	1
1900 Budget authority (total)	119	194	186
1930 Total budgetary resources available	126	210	194
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	8	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		91	169
3010 New obligations, unexpired accounts	110	202	194
3020 Outlays (gross)	-19	-124	-146
3050 Unpaid obligations, end of year	91	169	217
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-1	-39
3070 Change in uncollected pymts, Fed sources, unexpired	-1	-38	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-39	-40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		90	130
3200 Obligated balance, end of year	90	130	177
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	119	194	186
Outlays, gross:			
4010 Outlays from new discretionary authority	19	100	75
4011 Outlays from discretionary balances		24	71
4020 Outlays, gross (total)	19	124	146
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1	-38	-1
4180 Budget authority, net (total)	118	156	185
4190 Outlays, net (total)	19	124	146

The Office of Cybersecurity, Energy Security, and Emergency Response (CESER) leads the Department's efforts to secure U.S. energy infrastructure against all hazards, reduce the risks of and impacts from cyber events and other disruptive events, and assists with restoration activities. Prior to FY 2019, CESER activities were funded under the Office of Electricity Delivery and Energy Reliability, now known as the Office of Electricity. Programs include:

Cybersecurity for Energy Delivery System (CEDS).—The CEDS program seeks to enhance the reliability and resilience of the Nation's energy infrastructure through near- and long-term activities to strengthen energy sector cybersecurity across the Nation. Working closely with the energy sector and our government partners, CEDS focuses on enhancing the speed and effectiveness of threat and vulnerability sharing and accelerating R&D to mitigate cyber incidents in today's systems and to develop next-generation resilient energy delivery systems while developing analyses to quantify the resulting relative risk reduction.

Infrastructure Security and Energy Restoration (ISER).—The ISER program coordinates a national effort to secure the U.S. energy infrastructure against all hazards, reduce impacts from disruptive events, and assist industry with restoration activities. ISER delivers a range of capabilities including energy sector emergency response and recovery (including emergency response of a cyber nature); near-real-time situational awareness and information sharing about the status of the energy systems to improve risk management; analysis of evolving threats and hazards to energy infrastructure; and technical assistance that incorporates exercises in order to strengthen Federal, regional, State, tribal, and territorial abilities to work together to prepare for and mitigate the effects of an energy sector emergency.

Program Direction.—Program Direction provides for the costs associated with the Federal workforce and contractor services that support CESER's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

Object Classification (in millions of dollars)				
Identification code 089–2250–0–1–271				
	2019 actual	2020 est.	2021 est.	
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	3	4	
11.9 Total personnel compensation	1	3	4	
12.1 Civilian personnel benefits	1	1	2	
23.3 Communications, utilities, and miscellaneous charges	1	1	2	
25.1 Advisory and assistance services	11	15	19	
25.3 Other goods and services from Federal sources	2	2	3	
25.4 Operation and maintenance of facilities	21	27	35	
25.5 Research and development contracts	71	115	128	
99.0 Direct obligations	108	164	193	
99.0 Reimbursable obligations	1	38	1	
99.5 Adjustment for rounding	1			
99.9 Total new obligations, unexpired accounts	110	202	194	

Employment Summary

Identification code 089–2250–0–1–271				
	2019 actual	2020 est.	2021 est.	
1001 Direct civilian full-time equivalent employment	16	27	43	

ARTIFICIAL INTELLIGENCE AND TECHNOLOGY OFFICE

For program direction for the Artificial Intelligence and Technology Office in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), \$4,912,000, to remain available until September 30, 2022.

Program and Financing (in millions of dollars)

Identification code 089–2295–0–1–271				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001 Direct program activity			5	

Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation			5
1930	Total budgetary resources available			5
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			5
3020	Outlays (gross)			–4
3050	Unpaid obligations, end of year			1
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			5
Outlays, gross:				
4010	Outlays from new discretionary authority			4
4180	Budget authority, net (total)			5
4190	Outlays, net (total)			4

The Artificial Intelligence and Technology Office (AITO) serves as the Department's hub for the development, coordination, and execution of efforts in Artificial Intelligence (AI), building upon the Department's capabilities as a world-leading enterprise in scientific and technological discovery. AITO accelerates the development, delivery, and adoption of AI by coordinating and overseeing efforts across DOE and implements the Secretary's vision for cross-cutting, mission relevant AI projects that are aligned with the Office of Science and Technology Policy AI strategic priorities. AITO will engage programs, functional offices, sites, and associated National Laboratories for oversight of funded AI projects for transparency, shared learning, and to ensure that DOE's AI efforts align and fulfil the priorities outlined in the National Artificial Intelligence Research and Development Strategic Plan.

Object Classification (in millions of dollars)

Identification code 089–2295–0–1–271				
	2019 actual	2020 est.	2021 est.	
11.1 Direct obligations: Personnel compensation: Full-time permanent			2	
11.9 Total personnel compensation			2	
12.1 Civilian personnel benefits			1	
25.1 Advisory and assistance services			1	
25.2 Other services from non-Federal sources			1	
99.0 Direct obligations			5	
99.9 Total new obligations, unexpired accounts			5	

Employment Summary

Identification code 089–2295–0–1–271				
	2019 actual	2020 est.	2021 est.	
1001 Direct civilian full-time equivalent employment			12	

ENERGY EFFICIENCY AND RENEWABLE ENERGY

[(INCLUDING RESCISSION OF FUNDS)]

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy efficiency and renewable energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$2,848,000,000]** \$719,563,000, to remain available until expended: *Provided*, That of such amount, **[\$165,000,000]** \$122,563,000 shall be available until September 30, **[2021]** 2022, for program direction: *Provided further*, That of the unobligated balances from prior year appropriations available under this heading, \$58,000,000 is hereby rescinded: *Provided further*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

ENERGY EFFICIENCY AND RENEWABLE ENERGY—Continued
Program and Financing (in millions of dollars)

Identification code 089-0321-0-1-270	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Vehicle Technologies	333	441	97
0002 Bioenergy Technologies	172	359	83
0003 Hydrogen & Fuel Cell Technologies	121	146	44
0091 Sustainable Transportation, subtotal	626	946	224
0101 Solar Energy	199	413	117
0102 Wind Energy	74	143	37
0103 Water Power	109	196	64
0104 Geothermal Technologies	194	181	52
0191 Renewable Electricity, subtotal	576	933	270
0201 Advanced Manufacturing	241	469	128
0202 Building Technologies	181	366	94
0203 Weatherization & Intergovernmental Activities	341	357	1
0204 Federal Energy Management Program	29	48	11
0291 Energy Efficiency, subtotal	792	1,240	234
0301 Program Direction & Support	161	165	123
0302 Strategic Programs	12	19	5
0303 Facilities & Infrastructure	97	130	107
0391 EERE Corporate Support, subtotal	270	314	235
0799 Total direct obligations	2,264	3,433	963
0810 Energy Efficiency and Renewable Energy (Reimbursable)	156	181	181
0900 Total new obligations, unexpired accounts	2,420	3,614	1,144
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	666	836	270
1011 Unobligated balance transfer from other acct [097-0360]	58		
1021 Recoveries of prior year unpaid obligations	61	90	90
1050 Unobligated balance (total)	785	926	360
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,379	2,848	720
1120 Appropriations transferred to other accts [089-0222]	-59		
1131 Unobligated balance of appropriations permanently reduced		-71	
1160 Appropriation, discretionary (total)	2,320	2,777	720
Spending authority from offsetting collections, discretionary:			
1700 Collected	139	181	181
1701 Change in uncollected payments, Federal sources	12		
1750 Spending auth from offsetting collections, disc (total)	151	181	181
1900 Budget authority (total)	2,471	2,958	901
1930 Total budgetary resources available	3,256	3,884	1,261
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	836	270	117
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,075	3,361	4,459
3010 New obligations, unexpired accounts	2,420	3,614	1,144
3020 Outlays (gross)	-2,072	-2,426	-2,541
3040 Recoveries of prior year unpaid obligations, unexpired	-61	-90	-90
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	3,361	4,459	2,972
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-71	-83	-83
3070 Change in uncollected pymts, Fed sources, unexpired	-12		
3090 Uncollected pymts, Fed sources, end of year	-83	-83	-83
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,004	3,278	4,376
3200 Obligated balance, end of year	3,278	4,376	2,889
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,471	2,958	901
Outlays, gross:			
4010 Outlays from new discretionary authority	314	571	208
4011 Outlays from discretionary balances	1,758	1,855	2,333
4020 Outlays, gross (total)	2,072	2,426	2,541
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-64	-81	-81
4033 Non-Federal sources	-75	-100	-100

4040 Offsets against gross budget authority and outlays (total)	-139	-181	-181
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-12		
4060 Additional offsets against budget authority only (total)	-12		
4070 Budget authority, net (discretionary)	2,320	2,777	720
4080 Outlays, net (discretionary)	1,933	2,245	2,360
4180 Budget authority, net (total)	2,320	2,777	720
4190 Outlays, net (total)	1,933	2,245	2,360

The Office of Energy Efficiency and Renewable Energy (EERE) invests in research and development (R&D) as part of the Department of Energy's (DOE) broad portfolio approach to address our Nation's energy and environmental challenges. EERE works closely with the National Laboratories, and with many of America's best innovators and businesses, to support high-impact, early-stage applied R&D in sustainable transportation, renewable power, and energy efficiency, relying upon the private sector to fund later-stage research, development, and commercialization of energy technologies. EERE's investment portfolio is strongly positioned to support American energy independence and domestic job-growth in the near to mid-term, while maintaining proper stewardship of taxpayer dollars.

Sustainable Transportation.—Conducts early-stage R&D through program offices focused on vehicle technologies, bioenergy, and hydrogen and fuel cell technologies to support industry development of clean, domestic fuels and efficient, convenient, and affordable transportation choices that improve U.S. energy security, economic productivity, and environmental quality.

Renewable Power.—Conducts early-stage R&D through program offices focused on solar, wind, water, and geothermal energy technologies to support industry development of affordable and reliable, renewable electricity options that improve the resilience and security of the electricity grid.

Energy Efficiency.—Conducts early-stage R&D through program offices focused on advanced manufacturing and building technologies to strengthen the body of knowledge that supports industry improvement to the energy productivity, affordability, and energy security of our buildings and manufacturing sectors. Also funds the development of statutorily mandated efficiency standards and provides Federal energy management technical assistance.

Corporate Programs.—Supports EERE operations and management through program direction (e.g., salaries and benefits, support services, working capital, etc.) and facilities and infrastructure at the National Renewable Energy Laboratory (e.g., general plant projects, general purpose equipment, safeguards and security, etc.).

Object Classification (in millions of dollars)

Identification code 089-0321-0-1-270	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	69	61	64
11.3 Other than full-time permanent	2	3	2
11.5 Other personnel compensation	1	2	2
11.9 Total personnel compensation	72	66	68
12.1 Civilian personnel benefits	23	42	36
21.0 Travel and transportation of persons	3	5	10
23.3 Communications, utilities, and miscellaneous charges	3	5	2
25.1 Advisory and assistance services	118	181	92
25.2 Other services from non-Federal sources	12	21	24
25.3 Other goods and services from Federal sources	10	19	42
25.4 Operation and maintenance of facilities	1,094	1,659	506
25.5 Research and development contracts	236	361	154
31.0 Equipment	16	49	29
41.0 Grants, subsidies, and contributions	677	1,025	
99.0 Direct obligations	2,264	3,433	963
99.0 Reimbursable obligations	156	181	181
99.9 Total new obligations, unexpired accounts	2,420	3,614	1,144

Employment Summary

Identification code 089-0321-0-1-270	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	579	461	454

Employment Summary

Identification code 089-0342-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	7	7

OFFICE OF INDIAN ENERGY POLICY AND PROGRAMS

For necessary expenses for Indian Energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), **[\$22,000,000] \$8,005,000**, to remain available until expended: *Provided*, That, of the amount appropriated under this heading, **[\$5,000,000] \$3,526,000** shall be available until September 30, **[2021] 2022**, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0342-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Direct program activity	11	12	12
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		7	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	18	22	8
1930 Total budgetary resources available	18	29	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	17	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		10	19
3010 New obligations, unexpired accounts	11	12	12
3020 Outlays (gross)	-1	-3	-10
3050 Unpaid obligations, end of year	10	19	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		10	19
3200 Obligated balance, end of year	10	19	21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	18	22	8
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	
4011 Outlays from discretionary balances		2	10
4020 Outlays, gross (total)	1	3	10
4180 Budget authority, net (total)	18	22	8
4190 Outlays, net (total)	1	3	10

Office of Indian Energy Policy and Programs (IE).—Directs, fosters, coordinates, and implements energy planning, education, management, and competitive grant programs that assist Tribes with clean energy development and infrastructure, capacity building, energy costs, and electrification of Indian lands and homes. IE coordinates programmatic activity across the Department related to development of clean energy resources on Indian lands, and works with other federal government agencies, Indian Tribes, and Tribal organizations to promote Indian energy policies and initiatives.

Object Classification (in millions of dollars)

Identification code 089-0342-0-1-271	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
11.9 Total personnel compensation	1	1	1
25.1 Advisory and assistance services	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
41.0 Grants, subsidies, and contributions	8	9	9
99.9 Total new obligations, unexpired accounts	11	12	12

NON-DEFENSE ENVIRONMENTAL CLEANUP

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for non-defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$319,200,000] \$275,820,000**, to remain available until expended: *Provided*, That **[\$200,000]** of the funds provided are for community support, *in addition, amounts deposited under this heading in fiscal year 2021 pursuant to section 309 of title III of division C of Public Law 116-94 are appropriated, to remain available until expended, for mercury storage costs.* (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0315-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Fast Flux Test Facility	1	3	3
0003 Gaseous Diffusion Plants	106	113	115
0004 Small Sites	107	127	70
0005 West Valley Demonstration Project	75	75	88
0006 Management and Storage of Elemental Mercury		1	
0799 Total direct obligations	289	319	276
0801 Non-defense Environmental Cleanup (Reimbursable)	44	29	35
0900 Total new obligations, unexpired accounts	333	348	311
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	39	39
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	18	39	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	310	319	276
Spending authority from offsetting collections, discretionary:			
1700 Collected	44	29	35
1900 Budget authority (total)	354	348	311
1930 Total budgetary resources available	372	387	350
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39	39	39
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	154	171	136
3010 New obligations, unexpired accounts	333	348	311
3020 Outlays (gross)	-311	-383	-339
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	171	136	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	154	171	136
3200 Obligated balance, end of year	171	136	108
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	354	348	311
Outlays, gross:			
4010 Outlays from new discretionary authority	202	252	228
4011 Outlays from discretionary balances	109	131	111
4020 Outlays, gross (total)	311	383	339
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
4033 Non-Federal sources	-42	-29	-35
4040 Offsets against gross budget authority and outlays (total) ...	-44	-29	-35
4070 Budget authority, net (discretionary)	310	319	276
4080 Outlays, net (discretionary)	267	354	304
4180 Budget authority, net (total)	310	319	276
4190 Outlays, net (total)	267	354	304

NON-DEFENSE ENVIRONMENTAL CLEANUP—Continued

The Non-Defense Environmental Cleanup program includes funds to manage and clean up sites used for civilian energy research and non-defense related activities. These activities resulted in radioactive, hazardous, and mixed waste contamination that requires remediation, stabilization, or some other type of corrective action, as well as the decontamination and decommissioning of former research and production buildings and supporting infrastructure. The budget displays the cleanup program by site and activity.

West Valley Demonstration Project.—Funds waste disposition, building decontamination, and removal of non-essential facilities in the near-term.

Gaseous Diffusion Plants.—Funds surveillance and maintenance of the former Uranium Program facilities and manages legacy polychlorinated biphenyl contamination. The program also includes the operation of two depleted uranium hexafluoride conversion facilities at Paducah, Kentucky, and Portsmouth, Ohio, which are converting the depleted uranium hexafluoride into a more stable form for reuse or disposition.

Fast Flux Test Facility.—Funds the long-term surveillance and maintenance and eventual decontamination and decommissioning of the Fast Flux Test Facility, constructed and operated from the 1960s through 1980s.

Small Sites.—Funds cleanup, closure, and post-closure environmental activities at a number of geographic sites across the nation, including the Energy Technology Engineering Center and Moab, as well as non-defense activities at Idaho and Oak Ridge. Some sites are associated with other Department of Energy programs, particularly the Office of Science, and will have continuing missions after EM completes the cleanup. Others will transition to the Office of Legacy Management or private-sector entities for post-closure activities.

Object Classification (in millions of dollars)			
Identification code 089-0315-0-1-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	17	19	16
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	266	294	254
32.0 Land and structures	3	3	3
99.0 Direct obligations	289	319	276
99.0 Reimbursable obligations	44	29	35
99.9 Total new obligations, unexpired accounts	333	348	311

FOSSIL ENERGY RESEARCH AND DEVELOPMENT

For Department of Energy expenses necessary in carrying out fossil energy research and development activities, under the authority of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition of interest, including defeasible and equitable interests in any real property or any facility or for plant or facility acquisition or expansion, and for conducting inquiries, technological investigations and research concerning the extraction, processing, use, and disposal of mineral substances without objectionable social and environmental costs (30 U.S.C. 3, 1602, and 1603), **[\$750,000,000]** \$730,601,000, to remain available until expended: *Provided*, That of such amount **[\$61,500,000]** \$62,451,000 shall be available until September 30, **[2021]** 2022, for program direction. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0213-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Carbon Capture	85	118
0003 Carbon Storage	95	100
0004 Advanced Energy Systems	127	120	323
0005 Cross-Cutting Research	63	56	65
0012 Program Direction - Management	59	61	62
0013 Program Direction - NETL R&D	145
0017 Special Recruitment Program	1	1	1
0020 Natural gas technologies	38	51	15
0021 Unconventional FE Technologies	41	46	17
0022 STEP (Supercritical CO2)	17	16

0024 NETL Research and Operations	50	46
0025 NETL Infrastructure	50	43
0027 Carbon Capture, Utilization and Storage	123
0028 NETL Coal R&D	61	36
0030 Transformational Coal Pilots	20
0799 Total direct obligations	671	750	731
0801 Fossil Energy Research and Development (Reimbursable)	1
0900 Total new obligations, unexpired accounts	672	750	731

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	246	304	306
1021 Recoveries of prior year unpaid obligations	9
1050 Unobligated balance (total)	255	304	306
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	740	750	731
1120 Appropriations transferred to other accts [089-0222]	-20
1160 Appropriation, discretionary (total)	720	750	731
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	2	2
1900 Budget authority (total)	721	752	733
1930 Total budgetary resources available	976	1,056	1,039
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	304	306	308

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	904	946	854
3010 New obligations, unexpired accounts	672	750	731
3020 Outlays (gross)	-620	-842	-973
3040 Recoveries of prior year unpaid obligations, unexpired	-9
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	946	854	612
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	903	945	853
3200 Obligated balance, end of year	945	853	611

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	721	752	733
Outlays, gross:			
4010 Outlays from new discretionary authority	131	301	293
4011 Outlays from discretionary balances	489	541	680
4020 Outlays, gross (total)	620	842	973
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-1	-2	-2
4180 Budget authority, net (total)	720	750	731
4190 Outlays, net (total)	619	840	971

The Fossil Energy Research and Development (FER&D) program conducts research that supports the Nation's ability to increase the use of domestic fossil energy resources affordably, efficiently, and cleanly. The program funds early-stage R&D with academia, national laboratories, and the private sector to generate knowledge that industry can use to develop new products and processes. Program activities, including National Energy Technology Laboratory (NETL) R&D, focus on: 1) early-stage, high-risk fossil-fueled power systems and components that address challenges of reliability and resiliency, and improve the efficiency of existing and new units; 2) cross-cutting research to bridge fundamental science and early-stage applied engineering development for advanced materials and computational systems and the utilization of coal and CO2 for the production of critical materials and products; 3) early-stage R&D on transformational CO2 capture technologies applicable to both new and existing fossil-fueled facilities; and 4) CO2 storage, with emphasis on early-stage research focused on associated storage in depleted fields; offshore storage; and addressing the R&D challenges of injection. The program will also conduct early-stage research to generate new, novel understanding of shale geology and fracture dynamics for unconventional oil and natural gas resources. In

addition, FER&D will conduct work focused on characterizing gas hydrates and will explore new concepts for novel technologies that could improve the reliability and operational efficiency of natural gas transmission, distribution, and storage facilities. NETL R&D includes funding for scientists, engineers, and project managers conducting both in-house and collaborative research. The NETL Infrastructure and Operations program supports the upkeep of NETL's lab footprint in three geographic locations: Morgantown, WV; Pittsburgh, PA; and Albany, OR. Program Direction provides for the Headquarters and NETL workforce responsible for the oversight and administration of FER&D.

Object Classification (in millions of dollars)

Identification code 089-0213-0-1-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	60	60
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	62	62	62
12.1 Civilian personnel benefits	21	23	23
21.0 Travel and transportation of persons	4	5	4
23.3 Communications, utilities, and miscellaneous charges	11	12	12
25.1 Advisory and assistance services	120	138	134
25.3 Other goods and services from Federal sources	8	9	9
25.4 Operation and maintenance of facilities	85	95	93
25.5 Research and development contracts	329	369	359
25.7 Operation and maintenance of equipment	7	8	8
26.0 Supplies and materials	3	4	3
31.0 Equipment	12	14	13
32.0 Land and structures	8	9	9
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	671	749	730
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations, unexpired accounts	672	750	731

Employment Summary

Identification code 089-0213-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	500	657	679

NAVAL PETROLEUM AND OIL SHALE RESERVES

For Department of Energy expenses necessary to carry out naval petroleum and oil shale reserve activities, **[\$14,000,000]** \$13,006,000, to remain available until expended: *Provided*, That notwithstanding any other provision of law, unobligated funds remaining from prior years shall be available for all naval petroleum and oil shale reserve activities: *Provided further*, That up to \$242,000,000 in proceeds from the sale of crude oil from the Strategic Petroleum Reserve shall be made available until expended to complete comprehensive remediation activities at the Naval Petroleum Reserve-1 site as provided for in section 309 of this title. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0219-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Production and Operations	13	12	19
0003 Program support		2	1
0799 Total direct obligations	13	14	20
0900 Total new obligations, unexpired accounts	13	14	20
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	3	3
1020 Adjustment of unobligated bal brought forward, Oct 1	-5		
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	6	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	14	13

Spending authority from offsetting collections, discretionary:			
1700 Collected			242
1900 Budget authority (total)	10	14	255
1930 Total budgetary resources available	16	17	258
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	238
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	17	4
3010 New obligations, unexpired accounts	13	14	20
3020 Outlays (gross)	-15	-27	-17
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	17	4	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	17	4
3200 Obligated balance, end of year	17	4	7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	10	14	255
Outlays, gross:			
4010 Outlays from new discretionary authority	1	9	13
4011 Outlays from discretionary balances	14	18	4
4020 Outlays, gross (total)	15	27	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections:			-242
4040 Offsets against gross budget authority and outlays (total)			-242
4180 Budget authority, net (total)	10	14	13
4190 Outlays, net (total)	15	27	-225

This account funds environmental activities at Naval Petroleum Reserve 1 (NPR-1) in California (Elk Hills) and Naval Petroleum Reserve 3 (NPR-3) in Wyoming (Teapot Dome). Following the sale of the Government's interests in NPR-1 in California (Elk Hills), post-sale environmental assessment/remediation activities continue to be required by the legally binding agreements under the Corrective Action Consent Agreement with the State of California Department of Toxic Substances Control (DTSC). Program activities encompass execution of a technical baseline, interim measures, environmental sampling and analysis, corrective measures, waste removal and disposal, and confirmatory sampling. In FY 2021, funding will continue ongoing activities to attain release from the remaining environmental findings related to the sale of NPR-1. This Budget also includes a proposal to sell 15 million barrels of SPR crude oil to raise funding for other Departmental priorities, including \$242 million needed to fund the comprehensive completion of remediation work at the Naval Petroleum Reserve-1 site in Elk Hills, CA. On January 30, 2015, the Department finalized the sale of the Teapot Dome Oilfield. The Department continues to oversee post-sale remediation activities and ground water sampling for the closure of the landfill in compliance with National Environmental Policy Act and Wyoming Department of Environmental Quality requirements.

Object Classification (in millions of dollars)

Identification code 089-0219-0-1-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services		2	1
25.4 Operation and maintenance of facilities	13	12	19
99.0 Direct obligations	13	14	20
99.9 Total new obligations, unexpired accounts	13	14	20

Employment Summary

Identification code 089-0219-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	4	4

STRATEGIC PETROLEUM RESERVE

For Department of Energy expenses necessary for Strategic Petroleum Reserve facility development and operations and program management activities pursuant to the Energy Policy and Conservation Act (42 U.S.C. 6201 et seq.), **[\$195,000,000]** \$187,081,000, to remain available until expended: *Provided*, That, as authorized by section 404 of the Bipartisan Budget Act of 2015 (Public Law 114–74; 42 U.S.C. 6239 note), the Secretary of Energy shall draw down and sell not to exceed \$450,000,000 of crude oil from the Strategic Petroleum Reserve in fiscal year 2020: *Provided further*, That the proceeds from such drawdown and sale shall be deposited into the "Energy Security and Infrastructure Modernization Fund" during fiscal year 2020: *Provided further*, That such amounts shall be made available and shall remain available until expended for necessary expenses to carry out the Life Extension II project for the Strategic Petroleum Reserve. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089–0218–0–1–274	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 SPR Management	40	30	28
0002 SPR Storage Facilities Development	176	165	159
0900 Total new obligations, unexpired accounts	216	195	187
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	57	57
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	235	195	187
1930 Total budgetary resources available	273	252	244
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	57	57	57
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	164	168	153
3010 New obligations, unexpired accounts	216	195	187
3020 Outlays (gross)	–212	–210	–236
3050 Unpaid obligations, end of year	168	153	104
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	164	168	153
3200 Obligated balance, end of year	168	153	104
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	235	195	187
Outlays, gross:			
4010 Outlays from new discretionary authority	65	107	103
4011 Outlays from discretionary balances	147	103	133
4020 Outlays, gross (total)	212	210	236
4180 Budget authority, net (total)	235	195	187
4190 Outlays, net (total)	212	210	236

The Strategic Petroleum Reserve (SPR) provides strategic and economic security against foreign and domestic disruptions in oil supplies via an emergency stockpile of crude oil. The program fulfills United States' obligations under the International Energy Program, which commits the United States to support the International Energy Agency through its coordinated energy emergency response plans, and provides a deterrent against energy supply disruptions. The FY 2021 Budget will support the SPR's operational readiness and drawdown capabilities of 4.4 MB/d. The program will perform cavern wellbore testing and remediation activities to ensure the availability of the SPR's crude oil inventory. Consistent with past budget requests, the Budget proposes to disestablish the Northeast Gasoline Supply Reserve (NGSR) and sell one million barrels of refined product currently held in the reserve. The NGSR is very costly to maintain, has not been used for its intended purpose, and is not a practical solution for a severe supply interruption, as, for example, the reserve would only be able to meet one day's worth of gasoline demand in the Northeast States. The Budget also proposes to sell 15 million barrels of SPR crude oil to fund other Department priorities, including the comprehensive remediation of the Navel Petroleum Reserve–1 site in Elk Hills, CA.

Object Classification (in millions of dollars)

Identification code 089–0218–0–1–274	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	12	11	11
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	30	27	26
25.4 Operation and maintenance of facilities	167	150	143
99.9 Total new obligations, unexpired accounts	216	195	187

Employment Summary

Identification code 089–0218–0–1–274	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	113	114	114
2001 Reimbursable civilian full-time equivalent employment	12	12	12

SPR PETROLEUM ACCOUNT

For the acquisition, transportation, and injection of petroleum products, and for other necessary expenses pursuant to the Energy Policy and Conservation Act of 1975, as amended (42 U.S.C. 6201 et seq.), sections 403 and 404 of the Bipartisan Budget Act of 2015 (42 U.S.C. 6241, 6239 note), and section 5010 of the 21st Century Cures Act (Public Law 114–255), \$10,000,000, to remain available until expended: *Notwithstanding sections 161 and 167 of the Energy Policy and Conservation Act (42 U.S.C. 6241, 6247), the Secretary of Energy shall draw down and sell one million barrels of refined petroleum product from the Strategic Petroleum Reserve and, notwithstanding 31 U.S.C. 3302, \$19,000,000 of proceeds from such sale shall be deposited in the SPR Petroleum account and shall remain available until expended: Provided, That any proceeds in excess of \$19,000,000 collected from such sale shall be deposited into the general fund of the Treasury during fiscal year 2021: Provided further, That upon the completion of such sale, the Secretary shall carry out the closure of the Northeast Gasoline Supply Reserve. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 089–0233–0–1–274	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 SPR Petroleum Account	10	10	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	12	12
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	12	12	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10	
Spending authority from offsetting collections, discretionary:			
1700 Collected			19
1900 Budget authority (total)	10	10	19
1930 Total budgetary resources available	22	22	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12	12	21
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	23	16
3010 New obligations, unexpired accounts	10	10	10
3020 Outlays (gross)	–12	–17	–20
3050 Unpaid obligations, end of year	23	16	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	23	16
3200 Obligated balance, end of year	23	16	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	10	19
Outlays, gross:			
4010 Outlays from new discretionary authority		3	4
4011 Outlays from discretionary balances	6	14	16
4020 Outlays, gross (total)	6	17	20

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	Offsetting governmental collections		-19
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	6	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-1	
Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts	1	
4170	Outlays, net (mandatory)	5	
4180	Budget authority, net (total)	10	10
4190	Outlays, net (total)	11	17

The SPR Petroleum Account funds activities related to the acquisition, transportation, and injection of petroleum products into the Strategic Petroleum Reserve (SPR), as well as costs related to the drawdown, sale, and delivery of petroleum products from the Reserve. The FY 2021 Budget proposes to deposit into the SPR Petroleum Account up to \$19 million in proceeds from the proposed sale of the Northeast Gasoline Supply Reserve's one-million barrels of gasoline blendstock. Subsequently, the Budget requests no operational funding for the NGSR in the SPR account. The retained proceeds from the sale will be used to fund logistical costs associated with the drawdown, sale, and delivery of SPR crude oil, to include operations related to legislatively-mandated, multi-year sales of SPR crude oil. Proceeds in excess of the \$19 million will contribute to deficit reduction.

Object Classification (in millions of dollars)

Identification code 089-0233-0-1-274	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	2	2	2
25.4	Operation and maintenance of facilities	8	8	8
99.9	Total new obligations, unexpired accounts	10	10	10

ENERGY SECURITY AND INFRASTRUCTURE MODERNIZATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5615-0-2-274	2019 actual	2020 est.	2021 est.
0100	Balance, start of year		
Receipts:			
Current law:			
1130	Proceeds from Sale of Oil, Energy Security and Infrastructure Modernization Fund	300	450
2000	Total: Balances and receipts	300	450
Appropriations:			
Current law:			
2101	Energy Security and Infrastructure Modernization Fund	-300	-450
5099	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 089-5615-0-2-274	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0010	Energy security and infrastructure modernization	331	321	
0900	Total new obligations, unexpired accounts (object class 25.4)	331	321	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	52	21	150
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	300	450	
1900	Budget authority (total)	300	450	
1930	Total budgetary resources available	352	471	150
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	21	150	150

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	559	814	685
3010	New obligations, unexpired accounts	331	321	
3020	Outlays (gross)	-76	-450	-561
3050	Unpaid obligations, end of year	814	685	124
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	559	814	685
3200	Obligated balance, end of year	814	685	124

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	300	450	
Outlays, gross:				
4010	Outlays from new discretionary authority	5	22	
4011	Outlays from discretionary balances	71	428	561
4020	Outlays, gross (total)	76	450	561
4180	Budget authority, net (total)	300	450	
4190	Outlays, net (total)	76	450	561

The Energy Security and Infrastructure Modernization Fund was established in Section 404 of the Bipartisan Budget Act of 2015 to finance modernization of the Strategic Petroleum Reserve (SPR). Revenue raised through sales of SPR crude oil will support Life Extension 2 project investments needed to ensure the SPR can maintain its operational readiness capability, meet its mission requirements, and operate in an environmentally responsible manner. The FY 2020 budget cycle concluded the four-year (2017–2020) financing structure of multi-year oil sales that support the SPR's modernization program, therefore no budget request is made for FY 2021.

ENERGY INFORMATION ADMINISTRATION

For Department of Energy expenses necessary in carrying out the activities of the Energy Information Administration, **[\$126,800,000]** \$128,710,000, to remain available until expended. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0216-0-1-276	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Obligations by Program Activity	125	127	128
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	125	127	129
1930	Total budgetary resources available	127	129	131
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	3

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	43	45	49
3010	New obligations, unexpired accounts	125	127	128
3020	Outlays (gross)	-123	-123	-128
3050	Unpaid obligations, end of year	45	49	49
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	43	45	49
3200	Obligated balance, end of year	45	49	49

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	125	127	129
Outlays, gross:				
4010	Outlays from new discretionary authority	88	89	90
4011	Outlays from discretionary balances	35	34	38
4020	Outlays, gross (total)	123	123	128
4180	Budget authority, net (total)	125	127	129
4190	Outlays, net (total)	123	123	128

ENERGY INFORMATION ADMINISTRATION—Continued

The U.S. Energy Information Administration (EIA) is the statistical and analytical agency within the U.S. Department of Energy. EIA collects, analyzes, and disseminates independent and impartial energy information to promote sound policymaking, efficient markets, and public understanding of energy and its interaction with the economy and the environment. As the nation's premier source of energy information, EIA conducts a data collection program covering the full spectrum of energy sources, end uses, and energy flows; generates short- and long-term domestic and international energy projections; and performs timely, informative energy analyses. The FY 2021 Budget Request enables EIA to continue core statistical and analysis activities that produce reports critical to the nation and to invest in planned cybersecurity initiatives.

Object Classification (in millions of dollars)

Identification code 089-0216-0-1-276	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	40	42	40
11.9 Total personnel compensation	40	42	40
12.1 Civilian personnel benefits	12	12	12
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	51	51	54
25.3 Purchases of goods and services from Government accounts	15	15	16
25.4 Operation and maintenance of facilities	1	1	1
26.0 Pamphlets, Documents, Subscriptions and Publications	2	2	1
99.9 Total new obligations, unexpired accounts	125	127	128

Employment Summary

Identification code 089-0216-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	316	359	359

FEDERAL ENERGY REGULATORY COMMISSION

SALARIES AND EXPENSES

For expenses necessary for the Federal Energy Regulatory Commission to carry out the provisions of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including services as authorized by 5 U.S.C. 3109, official reception and representation expenses not to exceed \$3,000, and the hire of passenger motor vehicles, **[\$382,000,000] \$404,350,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, not to exceed **[\$382,000,000] \$404,350,000** of revenues from fees and annual charges, and other services and collections in fiscal year **[2020] 2021** shall be retained and used for expenses necessary in this account, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as revenues are received during fiscal year **[2020] 2021** so as to result in a final fiscal year **[2020] 2021** appropriation from the general fund estimated at not more than \$0. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0212-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Ensure Just and Reasonable Rates, Terms & Conditions	169	179	182
0802 Promote Safe, Reliable, Secure & Efficient Infrastructure	128	134	143
0803 Mission Support through Organizational Excellence	74	74	79
0900 Total new obligations, unexpired accounts	371	387	404
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	32	38	33
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	39	38	33
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	370	382	404
1930 Total budgetary resources available	409	420	437

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	38	33	33
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	96	97	43
3010 New obligations, unexpired accounts	371	387	404
3020 Outlays (gross)	-363	-441	-402
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3050 Unpaid obligations, end of year	97	43	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	96	97	43
3200 Obligated balance, end of year	97	43	45
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	370	382	404
Outlays, gross:			
4010 Outlays from new discretionary authority	301	344	364
4011 Outlays from discretionary balances	62	97	38
4020 Outlays, gross (total)	363	441	402
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections	-370	-382	-404
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-7	59	-2
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	15	15	15
5092 Unexpired unavailable balance, EOY: Offsetting collections	15	15	15

The Federal Energy Regulatory Commission (Commission) regulates and oversees key interstate aspects of the electric power (including hydro-power), natural gas and oil pipeline industries. The Commission assists consumers in obtaining economically efficient, safe, reliable, and secure energy services at a reasonable cost through appropriate regulatory and market means, and collaborative efforts. Regulated entities pay fees and charges sufficient to recover the Commission's full cost of operations.

Ensure Just and Reasonable Rates, Terms, and Conditions.—One of the Commission's fundamental statutory responsibilities is to ensure that rates, terms and conditions for wholesale sales and transmission of electric energy and natural gas in interstate commerce, as well as for transportation of oil by pipeline in interstate commerce, are just and reasonable and not unduly discriminatory or preferential. To fulfill this responsibility, the Commission uses a combination of market and regulatory means, complemented by oversight and enforcement measures. The Commission carries out this responsibility by issuing orders and establishing rules and policies that continually balance two important interests: protecting energy consumers against excessive rates, and providing an opportunity for regulated entities to recover their costs and earn a reasonable return on their investments. For example, the Commission seeks to improve the competitiveness of organized wholesale electric markets, which in turn encourages entry of new resources, spurs innovation and deployment of new technologies, improves operating performance, and exerts downward pressure on costs. Another example of the Commission's use of market and regulatory means in support of this goal is found in the Commission's requirements for public utility transmission providers to participate in an open and transparent regional transmission planning process. In addition, the Commission approves cost-based, and where appropriate, market-based rates for the interstate transportation of natural gas and oil on jurisdictional pipelines, and for the interstate transmission, and wholesale sales of electric energy. The Commission also reviews proposed mergers and other transactions in the electric industry to ensure that these proposals will not harm the public interest.

Oversight, surveillance and enforcement are essential complements to the Commission's approach to ensure that rates, terms and conditions of service are just and reasonable and not unduly discriminatory or preferential. The Commission conducts compliance audits, issues publicly available audit reports, and engages in formal and informal outreach efforts to promote effective compliance programs. Audits are planned and prioritized using a risk-based approach in order to maximize the impact of the Com-

mission's resources. The Commission also conducts public and non-public investigations of possible violations of the statutes, regulations, rules, orders, and tariffs administered by the Commission. These investigations often rely upon oversight and surveillance that employ sophisticated technology to monitor market behavior. When violations of sufficient seriousness are discovered, the Commission attempts to resolve the resulting investigation through settlement with appropriate sanctions and future compliance improvements before initiating further enforcement proceedings.

Promote Safe, Reliable, and Secure Infrastructure.—The Commission plays an important role in the development of energy infrastructure that operates safely and reliably. One aspect of the Commission's role in energy infrastructure development stems from siting authority that includes licensing non-Federal hydropower projects, certifying interstate natural gas pipelines and storage projects, authorizing liquefied natural gas (LNG) facilities, and, in certain circumstances, permitting electric transmission lines. With the rising demand for natural gas and hydropower comes increased infrastructure construction, making it all the more important that FERC oversee the private sector development of safe, reliable, and secure infrastructure in a way that fosters economic and environmental benefits for the nation. The Commission reviews applications to construct, operate, or modify natural gas and hydropower infrastructure by ensuring that facilities are constructed and operated in compliance with the conditions of FERC orders. The Commission must respond to energy infrastructure applications with timely and well-reasoned decisions that balance a range of factors such as competing interests, legal requirements, and environmental impacts. The Commission encourages, and sometimes requires, project proponents to engage in early involvement with state and Federal agencies, Indian tribes, affected landowners and the public. The Commission's request provides continued funding for program contracts associated with statutorily required workload associated with hydropower and natural gas infrastructure, including environmental reviews, stakeholder engagement, and construction oversight.

The Commission also has an important role in ensuring that energy infrastructure, once authorized, continues to operate safely and reliably. FERC conducts timely safety reviews and inspections with rigorous requirements, thereby advancing the safety of non-federal hydropower projects and LNG facilities throughout their entire life cycle. The Commission relies on physical inspections for detecting and preventing potential catastrophic structural failures. In regards to jurisdictional LNG facilities, the Commission conducts construction and operational inspections to ensure that the facilities are constructed and operated in accordance with the conditions of Commission Orders, including safety measures and plans. Inspections at both types of facilities protect the public against the risks associated with incidents at the facilities. The 2021 request includes increased funding to advance the Commission's Part 12 inspection program and reviews of operational LNG facilities.

The Commission also oversees the development and review of mandatory reliability and security standards for the bulk-power system, as well as compliance with these standards. FERC promotes the reliable operation of the bulk-power system through oversight of the electric reliability organization (ERO). A Commission-certified ERO develops and enforces mandatory Reliability Standards, subject to the Commission's oversight and approval. The Reliability Standards address the planning and operation, as well as the cyber security and physical protection of the Nation's electric transmission grid. The Commission may also, upon its own motion or upon complaint, order the ERO to submit a proposed reliability standard or a modification of an existing reliability standard that addresses a specific reliability matter. To that end, the Commission incorporates performance data-driven, risk-informed decision making into its reliability oversight. In addition, FERC provides leadership, expertise, and assistance in identifying, communicating and developing comprehensive solutions to cyber and physical security risks to FERC-jurisdictional infrastructure. This is achieved through collaboration with federal and jurisdictional entities to identify, inform, assess, and address cyber and physical security threats

and vulnerabilities, and to promote voluntary best practices that provide an important complement to FERC's related responsibilities for both regulatory requirements and enforcement. The Commission engages with the owners and operators of key critical infrastructure facilities to identify and share threat information, analyze system vulnerabilities, and assist with effective mitigation.

Mission Support through Organizational Excellence.—The public interest is best served when the Commission operates in an efficient, responsive and transparent manner. The Commission pursues this goal by maintaining processes and providing services in accordance with governing statutes, authoritative guidance, and prevailing best practices. These processes and services help prioritize resource allocations, make prudent investments that yield returns that directly benefit the agency's mission and use Commission resources in an efficient manner. The Commission also provides services, tools, and resources to equip employees to drive success and accomplish the agency's mission.

The Commission thus makes continued investments in its human capital, information technology (IT) resources, and physical infrastructure. The Commission allocates sixty-seven percent of its budget to directly cover the compensation costs of its employees on an annual basis. The Commission continues to focus its human capital efforts on the competencies and positions most affected by the challenges of new and emerging knowledge/skill demands and the loss of institutional knowledge. The Commission's overall IT infrastructure must meet the demands and keep pace with the continual changes in the technology landscape; proactively monitor and mitigate emerging cybersecurity threats; and adhere to federal requirements. In 2021, the Commission will make additional investments to continue its multi-year effort to update and modernize the Commission's information technology infrastructure and core mission and support systems to maintain a secure and reliable IT infrastructure to meet the needs of the Commission and provide innovative solutions to support employees. The Commission is also undergoing a multi-year renovation effort within its headquarters building. The renovation project will enable the agency to realize significant space savings. The FY 2021 request includes approximately \$9.1 million to cover construction costs to continue the modernization effort.

Facilitating understanding of how the Commission carries out its responsibilities and maintaining public trust in the Commission are important components of the Commission's commitment to organizational excellence. Trust and understanding increase acceptance of Commission decisions. The Commission achieves this by maintaining processes and public information services that promote transparency and open communication with respect to the conduct of the Commission's business. Through the use of the Commission's eLibrary and eSubscriptions web pages, the public can obtain extensive information concerning documents both submitted to and issued by the Commission. The Commission also manages several social media sites to promote transparency and open communication.

Object Classification (in millions of dollars)

Identification code 089-0212-0-1-276	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	179	182	192
11.3 Other than full-time permanent	4	6	6
11.5 Other personnel compensation	3	3	5
11.9 Total personnel compensation	186	191	203
12.1 Civilian personnel benefits	60	63	68
21.0 Travel and transportation of persons	3	3	4
23.1 Rental payments to GSA	32	32	30
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	2	4
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	15	10	15
25.2 Other services from non-Federal sources	12	14	14
25.3 Other goods and services from Federal sources	2	2	2
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	35	34	37
26.0 Supplies and materials	4	5	5

FEDERAL ENERGY REGULATORY COMMISSION—Continued
Object Classification—Continued

Identification code 089-0212-0-1-276	2019 actual	2020 est.	2021 est.
31.0 Equipment	14	10	11
32.0 Land and structures		16	6
99.0 Reimbursable obligations	370	387	404
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	371	387	404

Employment Summary

Identification code 089-0212-0-1-276	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,434	1,465	1,465

CLEAN COAL TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 089-0235-0-1-271	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Clean Coal Technology Program was established in the 1980s to perform commercial-scale demonstrations of advanced coal-based technologies. All projects have concluded and only closeout activities remain.

ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER PETROLEUM RESEARCH FUND

Program and Financing (in millions of dollars)

Identification code 089-5523-0-2-271	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	6	4
3020 Outlays (gross)		-2	-2
3050 Unpaid obligations, end of year	6	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	6	4
3200 Obligated balance, end of year	6	4	2
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)		2	2

The Energy Policy Act of 2005 (Public Law 109-58) created a mandatory Ultra-Deepwater and Unconventional Natural Gas and Other Petroleum Research program beginning in 2007. Subtitle J of Title IX of the Energy Policy Act of 2005 (42 U.S.C. 16371 et seq.) was repealed and all unoblig-

ated balances in this account were rescinded by the Bipartisan Budget Control Act of FY 2013.

PAYMENTS TO STATES UNDER FEDERAL POWER ACT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5105-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Licenses under Federal Power Act from Public Lands and National Forests, Payment to States (37 1/2%)	4	5	5
2000 Total: Balances and receipts	4	5	5
Appropriations:			
Current law:			
2101 Payments to States under Federal Power Act	-4	-5	-5
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 089-5105-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to States under Federal Power Act (Direct)	4	5	5
0900 Total new obligations, unexpired accounts (object class 41.0)	4	5	5
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	5	5
1930 Total budgetary resources available	4	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		4	
3010 New obligations, unexpired accounts	4	5	5
3020 Outlays (gross)		-9	-5
3050 Unpaid obligations, end of year	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		4	
3200 Obligated balance, end of year	4		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority		5	5
4101 Outlays from mandatory balances		4	
4110 Outlays, gross (total)		9	5
4180 Budget authority, net (total)	4	5	5
4190 Outlays, net (total)		9	5

The States are paid 37.5 percent of the receipts from licenses for occupancy and use of national forests and public lands within their boundaries issued by the Federal Energy Regulatory Commission (16 U.S.C. 810).

NORTHEAST HOME HEATING OIL RESERVE

For Department of Energy expenses necessary for Northeast Home Heating Oil Reserve storage, operation, and management activities pursuant to the Energy Policy and Conservation Act (42 U.S.C. 6201 et seq.), \$10,000,000, to remain available until expended Notwithstanding section 183 of the Energy Policy and Conservation Act (42 U.S.C. 6250b), the Secretary of Energy shall draw down and sell all barrels of ultra-low sulfur petroleum distillate from the Northeast Home Heating Oil Reserve during fiscal year 2021: Provided, That notwithstanding section 184 of the Energy Policy and Conservation Act (42 U.S.C. 6250c), all proceeds collected from such sale shall be deposited into the general fund of the Treasury during fiscal year 2021: Provided further, That upon the completion of such sale, the Secretary shall carry out the closure of the Northeast Home Heating Oil Reserve. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5369-0-2-274	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1
Receipts:			
Current law:			
1130 Sale of Northeast Home Heating Oil Reserve			75
2000 Total: Balances and receipts	1	1	76
5099 Balance, end of year	1	1	76

Program and Financing (in millions of dollars)

Identification code 089-5369-0-2-274	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 NEHHOR	10	10	
0900 Total new obligations, unexpired accounts (object class 25.2)	10	10	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10	
1930 Total budgetary resources available	11	11	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	2
3010 New obligations, unexpired accounts	10	10	
3020 Outlays (gross)	-10	-13	-2
3050 Unpaid obligations, end of year	5	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	2
3200 Obligated balance, end of year	5	2	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	10	10	
Outlays, gross:			
4010 Outlays from new discretionary authority	4	8	
4011 Outlays from discretionary balances	6	5	2
4020 Outlays, gross (total)	10	13	2
4180 Budget authority, net (total)	10	10	
4190 Outlays, net (total)	10	13	2

The Northeast Home Heating Oil Reserve (NEHHOR) was established to provide an emergency supply of home heating oil for the Northeast States during times of inventory shortages and significant threats to immediate supply. However, the NEHHOR has not been used for its intended purpose since it was established and the Administration does not believe the reserve is a prudent ongoing use of taxpayer resources. For this reason, the Budget proposes to disestablish the NEHHOR in FY 2021 and sell the one million barrels of ultra-low sulfur distillate currently held in the reserve, with proceeds from the sale intended for deficit reduction.

NUCLEAR WASTE DISPOSAL

For Department of Energy expenses necessary for activities to carry out the purposes of the Nuclear Waste Policy Act of 1982, including interim storage activities, \$27,500,000, to remain available until expended, and to be derived from the Nuclear Waste Fund.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5227-0-2-271	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	38,763	40,431	42,060
Receipts:			
Current law:			
1130 Nuclear Waste Disposal Fund	145	29	377
1140 Earnings on Investments, Nuclear Waste Disposal Fund	1,527	1,604	1,674

1199 Total current law receipts	1,672	1,633	2,051
1999 Total receipts	1,672	1,633	2,051
2000 Total: Balances and receipts	40,435	42,064	44,111
Appropriations:			
Current law:			
2101 Nuclear Waste Disposal			-28
2101 Salaries and Expenses	-4	-4	-4
2199 Total current law appropriations	-4	-4	-32
Proposed:			
2201 Salaries and Expenses			-2
2999 Total appropriations	-4	-4	-34
5099 Balance, end of year	40,431	42,060	44,077

Program and Financing (in millions of dollars)

Identification code 089-5227-0-2-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interim Storage and Nuclear Waste Fund Oversight		3	28

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	4
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			28
1930 Total budgetary resources available	7	7	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	4	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	2	2
3010 New obligations, unexpired accounts		3	28
3020 Outlays (gross)	-4	-3	-13
3050 Unpaid obligations, end of year	2	2	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	2	2
3200 Obligated balance, end of year	2	2	17

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			28
Outlays, gross:			
4010 Outlays from new discretionary authority			11
4011 Outlays from discretionary balances	4	3	2
4020 Outlays, gross (total)	4	3	13
4180 Budget authority, net (total)			28
4190 Outlays, net (total)	4	3	13

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	53,449	54,022	55,647
5001 Total investments, EOY: Federal securities: Par value	54,022	55,647	57,223

The mission of the Interim Storage and Nuclear Waste Fund Oversight program is to contribute to the safe and secure management of spent nuclear fuel and high level waste currently located at numerous sites across the United States. Activities include the development and implementation of an interim storage program that will enable near-term consolidation and temporary storage of nuclear waste. Other activities include continued oversight for the Nuclear Waste Fund which includes the fiduciary responsibility under the Nuclear Waste Policy Act of 1982, including activities such as security, maintenance, and environmental requirements for the Yucca Mountain.

Object Classification (in millions of dollars)

Identification code 089-5227-0-2-271	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			4
11.9 Total personnel compensation			4
12.1 Civilian personnel benefits			1
25.1 Advisory and assistance services		3	23

NUCLEAR WASTE DISPOSAL—Continued
Object Classification—Continued

Identification code 089-5227-0-2-271	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts		3	28

Employment Summary

Identification code 089-5227-0-2-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			26

URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND

For Department of Energy expenses necessary in carrying out uranium enrichment facility decontamination and decommissioning, remedial actions, and other activities of title II of the Atomic Energy Act of 1954, and title X, subtitle A, of the Energy Policy Act of 1992, **[\$881,000,000]** \$806,244,000, to be derived from the Uranium Enrichment Decontamination and Decommissioning Fund, to remain available until expended, of which **[\$5,250,000]** \$21,284,000 shall be available in accordance with title X, subtitle A, of the Energy Policy Act of 1992. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5231-0-2-271	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2,191	1,410	550
Receipts:			
Current law:			
1140 Earnings on Investments, Decontamination and Decommissioning Fund	61	21	21
2000 Total: Balances and receipts	2,252	1,431	571
Appropriations:			
Current law:			
2101 Uranium Enrichment Decontamination and Decommissioning Fund	-841	-881	-571
5098 Rounding adjustment	-1		
5099 Balance, end of year	1,410	550	

Program and Financing (in millions of dollars)

Identification code 089-5231-0-2-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Oak Ridge	197	196	145
0002 Paducah	210	240	206
0003 Portsmouth	411	418	415
0004 Pension and Community and Regulatory Support	20	22	19
0005 Title X Uranium/Thorium Reimbursement Program	11	5	21
0900 Total new obligations, unexpired accounts	849	881	806

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	9	9
1021 Recoveries of prior year unpaid obligations	4		
1050 Unobligated balance (total)	17	9	9
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	841	881	571
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [486-4054]			1,695
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)			-1,460
1750 Spending auth from offsetting collections, disc (total)			235
1900 Budget authority (total)	841	881	806
1930 Total budgetary resources available	858	890	815
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	263	282	278
3010 New obligations, unexpired accounts	849	881	806
3020 Outlays (gross)	-826	-885	-828

3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3050 Unpaid obligations, end of year	282	278	256
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	263	282	278
3200 Obligated balance, end of year	282	278	256

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	841	881	806
Outlays, gross:			
4010 Outlays from new discretionary authority	624	617	564
4011 Outlays from discretionary balances	202	268	264
4020 Outlays, gross (total)	826	885	828
4180 Budget authority, net (total)	841	881	806
4190 Outlays, net (total)	826	885	828

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	2,468	1,689	889
5001 Total investments, EOY: Federal securities: Par value	1,689	889	1,799
5092 Unexpired unavailable balance, EOY: Offsetting collections			1,460

Decontamination and Decommissioning Activities.—Funds: 1) projects to decontaminate, decommission, and remediate the sites and facilities of the gaseous diffusion plants at Portsmouth, Ohio; Paducah, Kentucky; and East Tennessee Technology Park, Oak Ridge, Tennessee and; 2) pensions and post-retirement medical benefits for active and inactive gaseous diffusion plant workers.

Uranium and Thorium Reimbursement Program.—Provides reimbursement to uranium and thorium licensees for the Government's share of cleanup costs pursuant to Title X of the Energy Policy Act of 1992.

Object Classification (in millions of dollars)

Identification code 089-5231-0-2-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	4	4	4
25.2 Other services from non-Federal sources	24	25	23
25.4 Operation and maintenance of facilities	777	806	737
32.0 Land and structures	42	44	40
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations, unexpired accounts	849	881	806

URANIUM SALES AND REMEDIATION

Program and Financing (in millions of dollars)

Identification code 089-5530-0-2-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Uranium Sales and Remediation	2		
0900 Total new obligations, unexpired accounts (object class 25.4)	2		

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2		
1930 Total budgetary resources available	2		

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	2		
3020 Outlays (gross)	-2		

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2		

The Energy and Water Development Appropriations Act of 2006 provided the Department of Energy authority to barter, transfer, or sell uranium and

to use any proceeds, without fiscal year limitation, to remediate contaminated uranium inventories held by the Secretary of Energy.

ISOTOPE PRODUCTION AND DISTRIBUTION PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 089-4180-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Isotope Production and Distribution Reimbursable program	100	112	126
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	23	23
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	21	23	23
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	102	112	126
1930 Total budgetary resources available	123	135	149
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23	23	23
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	85	95	92
3010 New obligations, unexpired accounts	100	112	126
3020 Outlays (gross)	-88	-115	-110
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	95	92	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	85	95	92
3200 Obligated balance, end of year	95	92	108
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	102	112	126
Outlays, gross:			
4010 Outlays from new discretionary authority	19	34	38
4011 Outlays from discretionary balances	69	81	72
4020 Outlays, gross (total)	88	115	110
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-47	-49	-56
4033 Non-Federal sources	-55	-63	-70
4040 Offsets against gross budget authority and outlays (total)	-102	-112	-126
4080 Outlays, net (discretionary)	-14	3	-16
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-14	3	-16

Object Classification (in millions of dollars)

Identification code 089-4180-0-3-271	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.4 Operation and maintenance of facilities	82	94	108
31.0 Equipment	14	14	14
41.0 Grants, subsidies, and contributions	4	4	4
99.9 Total new obligations, unexpired accounts	100	112	126

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

For Department of Energy administrative expenses necessary in carrying out the Advanced Technology Vehicles Manufacturing Loan Program, \$5,000,000, to remain available until September 30, 2021. The unobligated balances available from amounts appropriated for the costs of direct loans in Section 129 of the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (Public Law 110-329) are hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0322-0-1-272	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0709 Administrative expenses	5	5	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,338	4,338	4,338
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	
1131 Unobligated balance of appropriations permanently reduced			-4,333
1160 Appropriation, discretionary (total)	5	5	-4,333
1900 Budget authority (total)	5	5	-4,333
1930 Total budgetary resources available	4,343	4,343	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4,338	4,338	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	4	4
3010 New obligations, unexpired accounts	5	5	3
3020 Outlays (gross)	-3	-5	-3
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	-4,333
Outlays, gross:			
4011 Outlays from discretionary balances	3	5	3
4180 Budget authority, net (total)	5	5	-4,333
4190 Outlays, net (total)	3	5	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 089-0322-0-1-272	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135001 Direct Auto Loans	-22	-17	
Administrative expense data:			
3510 Budget authority	5		
3580 Outlays from balances	3	5	1

Section 136 of the Energy Independence and Security Act of 2007 established a direct loan program with a loan authority up to \$25 billion to support the development of advanced technology vehicles and associated components in the United States, known as the Advanced Technology Vehicles Manufacturing (ATVM) Loan Program. The Consolidated Security, Disaster, Assistance, and Continuing Appropriation Act of 2009, enacted on September 30, 2008, appropriated \$7.5 billion in emergency designated budget authority for credit subsidy. The ATVM Loan Program provides loans to automobile and automobile part manufacturers for the cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components and for associated engineering integration costs.

The FY 2021 Budget eliminates the ATVM Loan Program and proposes to cancel \$4.3 billion in remaining emergency designated, appropriated, credit subsidy. LPO will utilize unobligated non-emergency designated balances carried forward to cover loan-portfolio monitoring and administrative expenses. In FY 2021, LPO will stop originating loans for the ATVM Loan Program but will continue to monitor the existing portfolio.

Object Classification (in millions of dollars)

Identification code 089-0322-0-1-272	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
12.1 Below threshold	1		
25.1 Advisory and assistance services	3	3	1

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM—Continued
Object Classification—Continued

Identification code 089-0322-0-1-272	2019 actual	2020 est.	2021 est.
25.3 Other goods and services from Federal sources		1	1
99.9 Total new obligations, unexpired accounts	5	5	3

Employment Summary

Identification code 089-0322-0-1-272	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8	12	7

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 089-4579-0-3-272	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0715 Interest paid to FFB	45	32	19
0742 Downward reestimates paid to receipt accounts	18	13	
0743 Interest on downward reestimates	5	4	
0900 Total new obligations, unexpired accounts	68	49	19

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	89	68	18
1023 Unobligated balances applied to repay debt	-54	-41	-15
1050 Unobligated balance (total)	35	27	3
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			16
Spending authority from offsetting collections, mandatory:			
1800 Collected	638	590	576
1825 Spending authority from offsetting collections applied to repay debt	-537	-550	-576
1850 Spending auth from offsetting collections, mand (total)	101	40	
1900 Budget authority (total)	101	40	16
1930 Total budgetary resources available	136	67	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	68	18	

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	68	49	19
3020 Outlays (gross)	-68	-49	-19

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	101	40	16
Financing disbursements:			
4110 Outlays, gross (total)	68	49	19
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-2	-1	
4123 Non-Federal sources (interest)	-45	-30	-17
4123 Non-Federal sources (principal)	-591	-559	-559
4130 Offsets against gross budget authority and outlays (total)	-638	-590	-576
4160 Budget authority, net (mandatory)	-537	-550	-560
4170 Outlays, net (mandatory)	-570	-541	-557
4180 Budget authority, net (total)	-537	-550	-560
4190 Outlays, net (total)	-570	-541	-557

Status of Direct Loans (in millions of dollars)

Identification code 089-4579-0-3-272	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward	17,719	17,719	17,719
1143 Unobligated limitation carried forward (PL. 110-329) (-)	-17,719	-17,719	-17,719
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2,209	1,618	1,059

1251 Repayments: Repayments and prepayments	-591	-559	-559
1290 Outstanding, end of year	1,618	1,059	500

Balance Sheet (in millions of dollars)

Identification code 089-4579-0-3-272	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	89	68
Investments in U.S. securities:		
1106 Receivables, net		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	2,209	1,618
1402 Interest receivable	2	1
1405 Allowance for subsidy cost (-)	-69	-52
1499 Net present value of assets related to direct loans	2,142	1,567
1999 Total assets	2,231	1,635
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable		
2103 Debt	2,209	1,618
2105 Other	22	17
2999 Total liabilities	2,231	1,635
NET POSITION:		
3300 Cumulative results of operations		
4999 Total upward reestimate subsidy BA [89-0322]	2,231	1,635

TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

Such sums as are derived from amounts received from borrowers pursuant to section 1702(b) of the Energy Policy Act of 2005 under this heading in prior Acts, shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974: *Provided*, That for necessary administrative expenses of the Title 17 Innovative Technology Loan Guarantee Program, as authorized, **[\$32,000,000]** \$3,000,000 is appropriated, to remain available until September 30, **[2021]** 2022: *Provided further*, That up to **[\$32,000,000]** \$3,000,000 of fees collected in fiscal year **[2020]** 2021 pursuant to section 1702(h) of the Energy Policy Act of 2005 shall be credited as offsetting collections under this heading and used for necessary administrative expenses in this appropriation and shall remain available until September 30, **[2021]** 2022: *Provided further*, That to the extent that fees collected in fiscal year **[2020]** 2021 exceed **[\$32,000,000]** \$3,000,000, those excess amounts shall be credited as offsetting collections under this heading and available in future fiscal years only to the extent provided in advance in appropriations Acts: *Provided further*, That the sum herein appropriated from the general fund shall be reduced (1) as such fees are received during fiscal year **[2020]** 2021 (estimated at \$3,000,000) and (2) to the extent that any remaining general fund appropriations can be derived from fees collected in previous fiscal years that are not otherwise appropriated, so as to result in a final fiscal year **[2020]** 2021 appropriation from the general fund estimated at \$0: *Provided further*, That the Department of Energy shall not subordinate any loan obligation to other financing in violation of section 1702 of the Energy Policy Act of 2005 or subordinate any Guaranteed Obligation to any loan or other debt obligations in violation of section 609.10 of title 10, Code of Federal Regulations: *Provided further*, That the authority provided in prior year appropriations acts for commitments to guarantee loans under Title XVII of the Energy Policy Act 2005, excluding amounts for loan guarantee commitments, as defined in the Federal Credit Reform Act of 1990 (2 U.S.C. 661a), made by October 1, 2020, is hereby permanently cancelled: *Provided further*, That the unobligated balances from prior year appropriations Acts, including amounts available under this heading in the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) for the cost to guarantee loans, are hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0208-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0703 Subsidy for modifications of direct loans	34		
0705 Reestimates of direct loan subsidy		39	
0706 Interest on reestimates of direct loan subsidy	12	27	

0707	Reestimates of loan guarantee subsidy	36
0708	Interest on reestimates of loan guarantee subsidy	12
0709	Administrative expenses	32	32	29
0900	Total new obligations, unexpired accounts	78	146	29

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	716	683	683
1001	Discretionary unobligated balance brought fwd, Oct 1	716	683
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	10	29
1131	Unobligated balance of appropriations permanently reduced	-161
1131	Unobligated balance of appropriations permanently reduced [Recovery Act Emergency Balances]	-489
1160	Appropriation, discretionary (total)	10	29	-650
Appropriations, mandatory:				
1200	Appropriation	12	114
Spending authority from offsetting collections, discretionary:				
1700	Collected	21	3	3
1702	Offsetting collections (previously unavailable)	2
1750	Spending auth from offsetting collections, disc (total)	23	3	3
1900	Budget authority (total)	45	146	-647
1930	Total budgetary resources available	761	829	36
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	683	683	7

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	16	20	20
3010	New obligations, unexpired accounts	78	146	29
3020	Outlays (gross)	-73	-146	-32
3041	Recoveries of prior year unpaid obligations, expired	-1
3050	Unpaid obligations, end of year	20	20	17
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	16	20	20
3200	Obligated balance, end of year	20	20	17

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	33	32	-647
Outlays, gross:				
4010	Outlays from new discretionary authority	3	3
4011	Outlays from discretionary balances	61	29	29
4020	Outlays, gross (total)	61	32	32
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-21	-3	-3
4040	Offsets against gross budget authority and outlays (total)	-21	-3	-3
Mandatory:				
4090	Budget authority, gross	12	114
Outlays, gross:				
4100	Outlays from new mandatory authority	12	114
4180	Budget authority, net (total)	24	143	-650
4190	Outlays, net (total)	52	143	29

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	2
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Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 089-0208-0-1-271	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Section 1703 FFB Loans (Self Pay)	3,703
115999 Total direct loan levels	3,703
Direct loan subsidy (in percent):			
132001 Section 1703 FFB Loans (Self Pay)	-2.85
132999 Weighted average subsidy rate	-2.85
Direct loan subsidy budget authority:			
133001 Section 1703 FFB Loans (Self Pay)	-105
133999 Total subsidy budget authority	-105
Direct loan subsidy outlays:			
134001 Section 1703 FFB Loans (Self Pay)	-65	-45	-49
134002 Section 1705 FFB Loans	34
134999 Total subsidy outlays	-31	-45	-49
Direct loan reestimates:			
135001 Section 1703 FFB Loans (Self Pay)	-91	65

135002	Section 1705 FFB Loans	-138	-35
135999	Total direct loan reestimates	-229	30
Guaranteed loan reestimates:				
235002	Section 1705 Loan Guarantees	-22	45
235999	Total guaranteed loan reestimates	-22	45
Administrative expense data:				
3580	Outlays from balances	29
3590	Outlays from new authority	3

The Title 17 Innovative Technology Loan Guarantee Program (Title 17), as authorized by the Energy Policy Act of 2005 (the Act) and executed by the Department of Energy's (DOE) Loan Programs Office (LPO), encourages early commercial use of new or significantly improved technologies in energy projects. Projects supported by Title 17 loan guarantees must avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; employ new or significantly improved technologies compared to commercial technologies in service in the United States at the time the guarantee is issued; and offer a reasonable prospect of repayment of the principal and interest on the guaranteed obligation. Section 1703 of the Act authorizes DOE to provide loan guarantees for innovative energy projects in categories including renewable energy systems, advanced nuclear facilities, coal gasification, carbon sequestration, energy efficiency, and various other types of projects. The FY 2021 Budget maintains current Administration policy to eliminate this program, while maintaining portfolio monitoring capabilities.

The FY 2021 Budget proposes to permanently cancel the approximate \$161 million in remaining credit subsidy and all authority to guarantee loans appropriated in prior appropriations acts. In addition to \$3,000,000 in appropriation offset by an estimated \$3,000,000 in fee collections, LPO will utilize unobligated balances carried forward to cover loan portfolio monitoring and administrative expenses. In FY 2021, LPO will stop originating loans for the Title 17 Loan Guarantee Program but will continue to monitor the existing portfolio.

The American Reinvestment and Recovery Act of 2009 (Public Law 111-5) amended the program's authorizing statute and provided \$2.5 billion in emergency designated credit subsidy for a temporary program to support loan guarantees for commercial or advanced renewable energy systems, electric power transmission systems, and leading edge biofuel projects. Authority for the temporary program to extend new loans expired September 30, 2011. Prior to the expiration of the authority, DOE provided loan guarantees to 28 projects totaling over \$16 billion in loan volume. Four projects withdrew prior to disbursement of any funds. The FY 2021 Budget proposes to cancel all remaining unobligated, emergency designated, credit subsidy appropriated by the American Reinvestment and Recovery Act of 2009 (Public Law 111-5).

Object Classification (in millions of dollars)

Identification code 089-0208-0-1-271	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	11	11	11
11.9 Total personnel compensation	11	11	11
12.1 Civilian personnel benefits	4	4	5
25.1 Advisory and assistance services	13	13	9
25.3 Other goods and services from Federal sources	2	2	2
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	46	114
99.0 Direct obligations	78	146	29
99.9 Total new obligations, unexpired accounts	78	146	29

TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM—Continued
Employment Summary

Identification code 089-0208-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	74	79	77

TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 089-4455-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	3,703		
0713 Payment of interest to Treasury	19	22	21
0715 Interest paid to FFB	357	388	421
0740 Negative subsidy obligations	105		
0742 Downward reestimates paid to receipt accounts	205	24	
0743 Interest on downward reestimates	35	11	
0900 Total new obligations, unexpired accounts	4,424	445	442
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	862	822	849
1023 Unobligated balances applied to repay debt	-142	-138	-205
1050 Unobligated balance (total)	720	684	644
Financing authority:			
Appropriations, mandatory:			
1200 Appropriation	2		
Borrowing authority, mandatory:			
1400 Borrowing authority	3,994	68	2
1422 Borrowing authority applied to repay debt	-5		
1440 Borrowing authority, mandatory (total)	3,989	68	2
Spending authority from offsetting collections, mandatory:			
1800 Collected	634	770	870
1825 Spending authority from offsetting collections applied to repay debt	-99	-228	-231
1850 Spending auth from offsetting collections, mand (total)	535	542	639
1900 Budget authority (total)	4,526	610	641
1930 Total budgetary resources available	5,246	1,294	1,285
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	822	849	843

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,627	4,404	2,847
3010 New obligations, unexpired accounts	4,424	445	442
3020 Outlays (gross)	-2,647	-2,002	-2,104
3050 Unpaid obligations, end of year	4,404	2,847	1,185
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,627	4,404	2,847
3200 Obligated balance, end of year	4,404	2,847	1,185

Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	4,526	610	641
Financing disbursements:			
4110 Outlays, gross (total)	2,647	2,002	2,104
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payment from program account	-34		
4120 Upward reestimate		-39	
4120 Interest on reestimate	-12	-27	
4122 Interest on uninvested funds	-56	-49	-44
4123 Interest payments	-303	-420	-503
4123 Principal payments	-229	-235	-323
4130 Offsets against gross budget authority and outlays (total)	-634	-770	-870
4160 Budget authority, net (mandatory)	3,892	-160	-229
4170 Outlays, net (mandatory)	2,013	1,232	1,234
4180 Budget authority, net (total)	3,892	-160	-229
4190 Outlays, net (total)	2,013	1,232	1,234

Status of Direct Loans (in millions of dollars)

Identification code 089-4455-0-3-271	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward	26,125	22,422	
1143 Unobligated limitation carried forward (P.L. xx) (-)	-22,422	-22,422	
1150 Total direct loan obligations	3,703		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	11,384	13,199	14,447
1231 Disbursements: Direct loan disbursements	1,965	1,512	1,613
1251 Repayments: Repayments and prepayments	-229	-235	-323
1261 Adjustments: Capitalized interest	79		
1264 Other adjustments, net (+ or -) [Payment of capitalized interest]		-29	-66
1290 Outstanding, end of year	13,199	14,447	15,671

Balance Sheet (in millions of dollars)

Identification code 089-4455-0-3-271	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	861	821
Investments in U.S. securities:		
1106 Receivables, net	23	209
1206 Non-Federal assets: Receivables, net		12
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	11,384	13,199
1402 Interest receivable	67	74
1405 Allowance for subsidy cost (-)	-475	-429
1499 Net present value of assets related to direct loans	10,976	12,844
1999 Total assets	11,860	13,886
LIABILITIES:		
Federal liabilities:		
2103 Debt	11,581	13,552
2105 Other	279	334
2999 Total liabilities	11,860	13,886
4999 Total liabilities and net position	11,860	13,886

TRIBAL ENERGY LOAN GUARANTEE PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

【For Department of Energy administrative expenses necessary in carrying out the Tribal Energy Loan Guarantee Program, \$2,000,000, to remain available until September 30, 2021】 *The unobligated balances available from amounts appropriated under this heading in prior appropriations Acts for the cost to guarantee loans are hereby permanently cancelled. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 089-0350-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0709 Administrative expenses		2	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	2	
1131 Unobligated balance of appropriations permanently reduced			-9
1160 Appropriation, discretionary (total)	1	2	-9
1930 Total budgetary resources available	11	13	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		2	1
3020 Outlays (gross)		-2	

3050	Unpaid obligations, end of year			1
	Memorandum (non-add) entries:			
3200	Obligated balance, end of year			1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	2	-9
	Outlays, gross:			
4010	Outlays from new discretionary authority		2	
4180	Budget authority, net (total)	1	2	-9
4190	Outlays, net (total)		2	

Section 2602 of the Energy Policy Act of 1992, as amended by the Energy Policy Act of 2005, authorized a loan guarantee program at the Department of Energy to support energy development by Indian tribes.

The FY 2021 Budget eliminates the Tribal Energy Loan Guarantee Program (TELGP) and proposes to cancel the \$8,500,000 appropriated for credit subsidy. The Loan Program Office will utilize unobligated balances carried forward from prior-year appropriations to cover administrative expenses. In FY 2021, LPO will stop originating loans for TELGP but will continue to monitor any loans that may close by October 1, 2020.

Object Classification (in millions of dollars)

Identification code 089-0350-0-1-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	Advisory and assistance services	1	1
25.2	Other services from non-Federal sources	1	
99.0	Direct obligations	2	1
99.9	Total new obligations, unexpired accounts	2	1

Employment Summary

Identification code 089-0350-0-1-271	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	5	2

TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 089-4577-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal	29	16
0712	Default claim payments on interest	6	7
0742	Downward reestimates paid to receipt accounts	18	2
0743	Interest on downward reestimates	5	1
0900	Total new obligations, unexpired accounts	23	38
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	147	135
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	4	4
Spending authority from offsetting collections, mandatory:			
1800	Collected	11	63
1825	Spending authority from offsetting collections applied to repay debt		-6
1850	Spending auth from offsetting collections, mand (total)	11	63
1900	Budget authority (total)	11	67
1930	Total budgetary resources available	158	202
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	135	164
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	23	38
3020	Outlays (gross)	-23	-38
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-9
3090	Uncollected pymts, Fed sources, end of year	-9	-9

Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-9	-9
3200	Obligated balance, end of year	-9	-9

Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross	11	67
Financing disbursements:			
4110	Outlays, gross (total)	23	38
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Upward Reestimate		-36
4120	Interest on Reestimate		-12
4122	Interest on uninvested funds	-4	-5
4123	Principal payments		-12
4123	Interest Payments		-2
4123	Other Payments	-7	-10
4130	Offsets against gross budget authority and outlays (total)	-11	-63
4160	Budget authority, net (mandatory)	4	-2
4170	Outlays, net (mandatory)	12	-25
4180	Budget authority, net (total)	4	-2
4190	Outlays, net (total)	12	-25

Status of Guaranteed Loans (in millions of dollars)

Identification code 089-4577-0-3-271	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2121	Limitation available from carry-forward		
2143	Uncommitted limitation carried forward		
2150	Total guaranteed loan commitments		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	2,649	2,528
2231	Disbursements of new guaranteed loans		
2251	Repayments and prepayments	-121	-115
2261	Adjustments: Terminations for default that result in loans receivable		-29
2290	Outstanding, end of year	2,528	2,384
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	2,022	1,907

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year		35
2331	Disbursements for guaranteed loan claims		29
2351	Repayments of loans receivable		-15
2364	Other adjustments, net		6
2390	Outstanding, end of year	35	43

Balance Sheet (in millions of dollars)

Identification code 089-4577-0-3-271	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	138
Investments in U.S. securities:		
1106	Receivables, net	52
1501	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross	
1999	Total assets	138
LIABILITIES:		
Federal liabilities:		
2101	Accounts payable	
2105	Other	22
2204	Non-Federal liabilities: Liabilities for loan guarantees	116
2999	Total liabilities	138
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	138

POWER MARKETING ADMINISTRATION

Federal Funds

OPERATION AND MAINTENANCE, ALASKA POWER ADMINISTRATION

The Alaska Power Administration (APA) was created in 1967 by the Secretary of the Interior to assume the functions of the Bureau of Reclamation in Alaska. These functions include operations, maintenance, transmission, and power marketing of the two Federal hydroelectric projects (Eklutna and Snettisham), and the investigation of future water and power development programs. All Alaska activities of APA, including the Juneau headquarters office, were terminated on September 30, 1998.

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION

For expenses necessary for operation and maintenance of power transmission facilities and for marketing electric power and energy, including transmission wheeling and ancillary services, pursuant to section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southeastern power area, **[\$6,597,000] \$7,246,000**, including official reception and representation expenses in an amount not to exceed \$1,500, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944, up to **[\$6,597,000] \$7,246,000** collected by the Southeastern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the Southeastern Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2020] 2021** appropriation estimated at not more than \$0: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$56,000,000] \$71,238,000** collected by the Southeastern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0302-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Purchase Power and Wheeling	39	56	71
0802 Annual Expenses and other costs repaid in one year	7	7	7
0900 Total new obligations, unexpired accounts	46	63	78
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	16	16
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	48	63	78
1900 Budget authority (total)	48	63	78
1930 Total budgetary resources available	62	79	94
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	16	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	6	3
3010 New obligations, unexpired accounts	46	63	78
3020 Outlays (gross)	-47	-66	-78
3050 Unpaid obligations, end of year	6	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	6	3
3200 Obligated balance, end of year	6	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	48	63	78
Outlays, gross:			
4010 Outlays from new discretionary authority	26	60	75
4011 Outlays from discretionary balances	21	6	3
4020 Outlays, gross (total)	47	66	78

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-48	-63	-78
4040 Offsets against gross budget authority and outlays (total)	-48	-63	-78
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-1	3	

The Southeastern Power Administration (Southeastern) markets power generated at 22 U.S. Army Corps of Engineers' hydroelectric generating plants in an eleven State area of the Southeast. Power deliveries are made by means of contracting for use of transmission facilities owned by others.

Southeastern sells wholesale power primarily to publicly and cooperatively owned electric distribution utilities. Southeastern does not own or operate any transmission facilities. Its long-term contracts provide for periodic electric rate adjustments to ensure that the Federal Government recovers the costs of operations and the capital invested in power facilities, with interest, in keeping with statutory requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

Program Direction.—Provision is made for negotiation and administration of transmission and power contracts, collection of revenues, accounting and budget activities, development of wholesale power rates, amortization of the Federal power investment, energy efficiency and competitiveness program, investigation and planning of proposed water resources projects, scheduling and dispatch of power generation, scheduling storage and release of water, administration of contractual operation requirements, and determination of methods of operating generating plants individually and in coordination with others to obtain maximum utilization of resources.

Purchase Power and Wheeling.—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the disposal of power under contracts with utility companies. Customers are encouraged to use alternative funding mechanisms, including customer advances and net billing to finance these activities. Offsetting collections to fund these ongoing operating services are also available up to 56 million in 2020. As of the end of FY 2019, Southeastern's PPW reserve balance was \$14 million.

DISCRETIONARY PURCHASE POWER AND WHEELING, SOUTHEASTERN POWER ADMINISTRATION

	(in millions of dollars)				
	2017 Actual	2018 Actual	2019 Actual	2020 Estimate	2021 Estimate
Limitation to collect, ('up to' ceiling in appropriations language)	61	51	55	56	71
Actual collections	61	49	42	56	71
PPW Unobligated balance brought forward, Oct 1	21	17	12	14	14
Spending authority from offsetting collections	61	49	42	56	71
Obligations incurred	-65	-55	-40	-56	-71
PPW Unobligated balance, end of year	17	12	14	14	14

Reimbursable Program.—The Consolidated Appropriations Act, 2008 (P.L. 110-161) provided Southeastern with authority to accept advance payment from customers for reimbursable work associated with operations and maintenance activities, consistent with those authorized in section 5 of the Flood Control Act of 1944. Funds received from any State, municipality, corporation, association, firm, district, or individual as an advance payment for reimbursable work will be credited to Southeastern's account and remain available until expended.

Object Classification (in millions of dollars)

Identification code 089-0302-0-1-271	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.2 Purchase Power and Wheeling	39	56	71
25.2 Other services from non-Federal sources	3	3	3

99.0	Reimbursable obligations	46	63	78
99.9	Total new obligations, unexpired accounts	46	63	78

Employment Summary

Identification code 089-0302-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	37	44	44

CONTINUING FUND, SOUTHEASTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southeastern service area is available to defray emergency expenses necessary to ensure continuity of service (16 U.S.C. 825s-2). The fund was last activated in 2018 to finance power purchases associated with heightened demand and cost spikes due to severe cold weather. Consistent with sound business practices, the Southeastern Power Administration has implemented a policy to recover all emergency costs associated with purchased power and wheeling within one year from the time funds are expended.

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION

For expenses necessary for operation and maintenance of power transmission facilities and for marketing electric power and energy, for construction and acquisition of transmission lines, substations and appurtenant facilities, and for administrative expenses, including official reception and representation expenses in an amount not to exceed \$1,500 in carrying out section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the Southwestern Power Administration, **[\$47,775,000]** \$47,540,000, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), up to **[\$37,375,000]** \$37,140,000 collected by the Southwestern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Southwestern Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2020]** 2021 appropriation estimated at not more than \$10,400,000: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to **[\$43,000,000]** \$70,000,000 collected by the Southwestern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses). (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0303-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Systems operation and maintenance	1	2	2
0003 Construction	3	5	5
0004 Program direction	3	3	3
0200 Direct program subtotal	7	10	10
0799 Total direct obligations	7	10	10
0801 Annual expenses	32	37	37
0805 Purchase power and wheeling	19	43	70
0810 Other reimbursable activities	10	51	51
0899 Total reimbursable obligations	61	131	158
0900 Total new obligations, unexpired accounts	68	141	168
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	69	98	98
1021 Recoveries of prior year unpaid obligations	6		
1050 Unobligated balance (total)	75	98	98

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10	10
Spending authority from offsetting collections, discretionary:			
1700 Collected	81	131	158
1900 Budget authority (total)	91	141	168
1930 Total budgetary resources available	166	239	266
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	98	98	98

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	126	109	94
3010 New obligations, unexpired accounts	68	141	168
3020 Outlays (gross)	-79	-156	-182
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3050 Unpaid obligations, end of year	109	94	80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	126	109	94
3200 Obligated balance, end of year	109	94	80

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	91	141	168
Outlays, gross:			
4010 Outlays from new discretionary authority	35	58	69
4011 Outlays from discretionary balances	44	98	113
4020 Outlays, gross (total)	79	156	182
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-6	-6
4033 Non-Federal sources	-81	-125	-152
4040 Offsets against gross budget authority and outlays (total) ...	-81	-131	-158
4070 Budget authority, net (discretionary)	10	10	10
4080 Outlays, net (discretionary)	-2	25	24
4180 Budget authority, net (total)	10	10	10
4190 Outlays, net (total)	-2	25	24

The Southwestern Power Administration (Southwestern) operates in a six-state area marketing and delivering renewable hydroelectric power produced at the U.S. Army Corps of Engineers' dams. Southwestern operates and maintains 1,380 miles of high voltage transmission lines, 26 substations/switching stations, associated power system controls, and communication sites. Southwestern also makes modifications and constructs additions to existing facilities.

Southwestern markets and delivers its power at wholesale rates primarily to public bodies and rural electric cooperatives. In compliance with statutory requirements, Southwestern's power sales contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of operations, other costs allocated to power, and the capital investments in power facilities, with interest. Southwestern is also responsible for scheduling and dispatching power and negotiating power sales contracts to meet changing customer load requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

Program Direction.—Provides compensation and all related expenses for personnel who market, deliver, operate, and maintain Southwestern's high-voltage interconnected power system and associated facilities, those that perform cyber and physical security roles, and those that administratively support these functions.

Operations and Maintenance.—Provides essential electrical and communications equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for the safe, reliable, and cost effective operation and maintenance of the power system.

Purchase Power and Wheeling.—Provides for the purchase and delivery of energy to meet limited peaking power contractual obligations. Federal power receipts and alternative financing methods, including net billing, bill crediting, and customer advances are used to fund system-purchased power support and other contractual services. Southwestern has implemented a Purchase Power and Wheeling (PPW) risk mitigation strategy to ensure

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION—Continued continuous operations during periods of significant drought. The strategy was developed consistent with existing authorities, and with the participation and support of Southwestern's power customers. Under this approach, Southwestern retains receipts from the recovery of purchase power and wheeling expenses within the 'up to' amount specified by Congress. The receipts retained are available until expended and are available only for PPW expenses. As of the end of FY 2019, Southwestern's PPW reserve balance was \$86 million. Customers will provide other power resources and/or purchases for the remainder of their firm loads.

DISCRETIONARY PURCHASE POWER AND WHEELING, SOUTHWESTERN POWER ADMINISTRATION

	(in millions of dollars)				
	2017 Actual	2018 Actual	2019 Actual	2020 Estimate ¹	2021 Estimate ¹
Limitation to collect, ('up to' ceiling in appropriations language)	73	40	50	43	70
Actual collections	17	40	36	43	70
PPW Unobligated balance brought forward, Oct 1	83	85	69	86	86
Spending authority from offsetting collections	17	40	36	43	70
Obligations incurred	-15	-56	-19	-43	-70
PPW Unobligated balance, end of year	85	69	86	86	86

¹The FY 2020 and FY 2021 Estimates assume spending authority from offsetting collections equals the 'up to' ceiling and that obligations incurred are the same amount as the spending authority. Actual spending authority from offsetting collections and actual obligations will be dependent upon variability in market prices for PPW and hydrological conditions in Southwestern's region, which vary significantly, are largely unpredictable, and can change quickly.

Construction.—Provides for replacement, addition or upgrade of existing infrastructure to sustain reliable delivery of power to its customers, contain annual maintenance costs, and improve overall efficiency.

Reimbursable Program.—This activity involves services provided by Southwestern to others under various types of reimbursable arrangements.

Object Classification (in millions of dollars)

Identification code 089-0303-0-1-271	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
11.9 Total personnel compensation	2	2	2
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	3	6	6
25.2 Other services from non-Federal sources	1	6	6
31.0 Equipment	1	6	6
99.0 Direct obligations	7	10	10
99.0 Reimbursable obligations	61	131	158
99.9 Total new obligations, unexpired accounts	68	141	168

Employment Summary

Identification code 089-0303-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10	10	10
2001 Reimbursable civilian full-time equivalent employment	155	184	184

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION

(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal government to sell the transmission assets of the Southwestern Power Administration, which operates and maintains 1,380 miles of high voltage transmission lines and 26 substations/switching stations.

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 089-5649-0-2-271	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)			

4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5080 Outstanding debt, SOY	-68	-68	-68
5081 Outstanding debt, EOY	-68	-68	-68

A continuing fund, maintained from receipts from the sale and transmission of electric power in the Southwestern service area, is available permanently for emergency expenses necessary to ensure continuity of electric service and continuous operation of the facilities. The fund is also available on an ongoing basis to pay for purchase power and wheeling expenses when the Administrator determines that such expenses are necessary to meet contractual obligations for the sale and delivery of power during periods of below-average generation (16 U.S.C. 825s-1 as amended further by Public Law 101-101). The fund was last activated in FY 2009 to repair and replace damaged transmission lines due to an ice storm.

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA POWER ADMINISTRATION

[(INCLUDING RESCISSION OF FUNDS)]

For carrying out the functions authorized by title III, section 302(a)(1)(E) of the Act of August 4, 1977 (42 U.S.C. 7152), and other related activities including conservation and renewable resources programs as authorized, [\$262,959,000] \$259,126,000, including official reception and representation expenses in an amount not to exceed \$1,500, to remain available until expended, of which [\$262,959,000] \$259,126,000 shall be derived from the Department of the Interior Reclamation Fund: *Provided*, That notwithstanding 31 U.S.C. 3302, section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), and section 1 of the Interior Department Appropriation Act, 1939 (43 U.S.C. 392a), up to [\$173,587,000] \$169,754,000 collected by the Western Area Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Western Area Power Administration: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year [2020] 2021 appropriation estimated at not more than \$89,372,000, of which \$89,372,000 is derived from the Reclamation Fund: *Provided further*, That notwithstanding 31 U.S.C. 3302, up to [\$227,000,000] \$227,043,000 collected by the Western Area Power Administration pursuant to the Flood Control Act of 1944 and the Reclamation Project Act of 1939 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses): *Provided further*, That of the unobligated balances from prior year appropriations available under this heading, \$176,000 is hereby permanently cancelled]. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-5068-0-2-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Systems operation and maintenance	42	46	43
0004 Program direction	42	49	40
0091 Direct Program by Activities - Subtotal (1 level)	84	95	83
0100 Total operating expenses	84	95	83
0101 Capital investment	7	6	6
0799 Total direct obligations	91	101	89
0802 Purchase Power and Wheeling	146	227	227
0803 Annual Expenses	173	178	185
0804 Other Reimbursable	259	745	748
0809 Reimbursable program activities, subtotal	578	1,150	1,160
0899 Total reimbursable obligations	578	1,150	1,160
0900 Total new obligations, unexpired accounts	669	1,251	1,249
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	562	688	671

1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	564	688	671
	Budget authority:			
	Appropriations, discretionary:			
1101	Appropriation (special or trust)	89	89	89
	Spending authority from offsetting collections, discretionary:			
1700	Collected	706	1,145	1,145
1701	Change in uncollected payments, Federal sources	-2		
1750	Spending auth from offsetting collections, disc (total)	704	1,145	1,145
1900	Budget authority (total)	793	1,234	1,234
1930	Total budgetary resources available	1,357	1,922	1,905
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	688	671	656
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	301	266	743
3010	New obligations, unexpired accounts	669	1,251	1,249
3020	Outlays (gross)	-702	-774	-977
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3050	Unpaid obligations, end of year	266	743	1,015
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-31	-29	-29
3070	Change in uncollected pymts, Fed sources, unexpired	2		
3090	Uncollected pymts, Fed sources, end of year	-29	-29	-29
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	270	237	714
3200	Obligated balance, end of year	237	714	986
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	793	1,234	1,234
	Outlays, gross:			
4010	Outlays from new discretionary authority	218	384	384
4011	Outlays from discretionary balances	484	390	593
4020	Outlays, gross (total)	702	774	977
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-152	-242	-354
4033	Non-Federal sources	-554	-903	-791
4040	Offsets against gross budget authority and outlays (total)	-706	-1,145	-1,145
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	2		
4070	Budget authority, net (discretionary)	89	89	89
4080	Outlays, net (discretionary)	-4	-371	-168
4180	Budget authority, net (total)	89	89	89
4190	Outlays, net (total)	-4	-371	-168
	Memorandum (non-add) entries:			
5080	Outstanding appropriated debt, SOY	-11,263	-11,263	-11,263
5081	Outstanding appropriated debt, EOY	-11,452	-11,263	-11,263

The Western Area Power Administration (WAPA) markets electric power in 15 central and western states from federally owned power plants operated primarily by the Bureau of Reclamation, the Army Corps of Engineers, and the International Boundary and Water Commission. WAPA operates and maintains about 17,000 circuit-miles of high-voltage transmission lines, more than 300 substations/switchyards and associated power system controls, and communication and electrical facilities for 15 separate power projects. WAPA also constructs additions and modifications to existing facilities.

In keeping with statutory requirements, WAPA's long-term power contracts allow for periodic rate adjustments to ensure that the Federal Government recovers costs of operations, other costs allocated to power, and the capital investment in power facilities, with interest.

Power is sold to nearly 700 wholesale customers, including DOE's National Labs, more than two dozen U.S. Department of Defense installations, municipalities, cooperatives, irrigation districts, public utility districts, other State and Federal Government agencies, and private utilities. Receipts are deposited in the Reclamation Fund, the Falcon and Amistad Operating and Maintenance Fund, the General Fund, the Colorado River Dam Fund, and the Colorado River Basins Power Marketing Fund.

As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary

offsetting collections derived from power receipts collected to recover those expenses.

Systems Operation and Maintenance.—Provides essential electrical and communication equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for safe reliable operation and cost-effective maintenance of the power systems.

Purchase Power and Wheeling.—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the distribution of power under contracts with utility companies. Customers are encouraged to contract for power and wheeling on their own, or use alternative funding mechanisms, including customer advances, net billing, and bill crediting to finance these activities. Ongoing operating services are also available on a reimbursable basis.

WAPA has implemented a Purchase Power and Wheeling (PPW) risk mitigation strategy to ensure continuous operations during periods of significant drought. The strategy was developed consistent with existing authorities, and with the participation and support of WAPA power customers. Under this approach, WAPA retains receipts from the recovery of purchase power and wheeling expenses within the 'up to' amount specified by Congress. The receipts retained are available until expended, and are available only for purchase power and wheeling expenses. As of the end of FY 2019, WAPA's PPW reserve balance was \$362 million.

DISCRETIONARY PURCHASE POWER AND WHEELING, WESTERN AREA POWER ADMINISTRATION¹

	(in millions of dollars)				
	2017 Actual	2018 Actual	2019 Actual	2020 Estimate	2021 Estimate
Limitation to collect, ('up to' ceiling in appropriations language)	367	209	225	227	227
Actual collections	209	209	225	227	227
PPW Unobligated balance brought forward, Oct 1	190	239	282	362	362
Spending authority from offsetting collections	209	209	225	227	227
Obligations incurred	-160	-166	-145	-227	-227
PPW Unobligated balance, end of year	239	282	362	362	362

¹Excludes alternative financing for PPW

System Construction.—WAPA's construction and rehabilitation activity emphasizes replacement and upgrades of existing infrastructure to sustain reliable power delivery to its customers, to contain annual maintenance costs, and to improve overall operational efficiency. WAPA will continue to participate in joint construction projects with customers to encourage more widespread transmission access.

Program Direction.—Provides compensation and all related expenses for the workforce that operates and maintains WAPA's high-voltage interconnected transmission system (systems operation and maintenance program), and those that plan, design, and supervise the construction of replacements, upgrades, and additions (system construction program) to the transmission facilities.

Reimbursable Program.—This program involves services provided by WAPA to others under various types of reimbursable arrangements. WAPA's reimbursable authority and partnerships were demonstrated following the severe hurricane damage in the U.S. Virgin Islands and Puerto Rico. WAPA responded to the urgent need to restore the energy infrastructure and access to power in the U.S. Virgin Islands and supported the U.S. Army Corps of Engineers' emergency power restoration efforts in Puerto Rico. WAPA also supported responses to natural disasters in Hawaii (volcanic eruption), Guam (typhoon) and California (wild fires).

WAPA will continue to spend out of the Colorado River Dam Fund for operations and maintenance activities associated with the Boulder Canyon Project via a reimbursable arrangement with the Interior Department's Bureau of Reclamation. The Colorado River Dam Fund is a revolving fund operated by the Bureau of Reclamation. Authority for WAPA to obligate directly from the Colorado River Dam Fund comes from section 104(a) of the Hoover Power Plant Act of 1984.

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA
POWER ADMINISTRATION—Continued

Object Classification (in millions of dollars)

Identification code 089-5068-0-2-271	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	13	22	19
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	3	3	2
11.9 Total personnel compensation	18	25	21
12.1 Civilian personnel benefits	5	6	8
21.0 Travel and transportation of persons	1	2	2
22.0 Transportation of things	1		
23.1 Rental payments to GSA		1	
23.3 Communications, utilities, and miscellaneous charges	7	5	
25.1 Advisory and assistance services	6	8	8
25.2 Other services from non-Federal sources	9	6	9
25.7 Operation and maintenance of equipment		1	1
26.0 Supplies and materials	3	3	2
31.0 Equipment	16	21	20
32.0 Land and structures	25	23	18
99.0 Direct obligations	91	101	89
99.0 Reimbursable obligations	578	1,150	1,160
99.9 Total new obligations, unexpired accounts	669	1,251	1,249

Employment Summary

Identification code 089-5068-0-2-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	130	178	180
2001 Reimbursable civilian full-time equivalent employment	1,047	1,032	1,036

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN AREA
POWER ADMINISTRATION

(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal government to sell the transmission assets of the Western Area Power Administration, which operates and maintains about 17,000 circuit-miles of high voltage transmission lines and more than 300 substations/switching yards.

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 089-4404-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Transmission Infrastructure Program Projects		725	960
0811 Western Area Power Administration, Borrowing Authority, Recovery (Reimbursable)	7	52	49
0900 Total new obligations, unexpired accounts	7	777	1,009

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	13	13
1001 Discretionary unobligated balance brought fwd, Oct 1	6	5	
1021 Recoveries of prior year unpaid obligations	4		
1023 Unobligated balances applied to repay debt	-21		
1024 Unobligated balance of borrowing authority withdrawn	-4		
1033 Recoveries of prior year paid obligations	21		
1050 Unobligated balance (total)	12	13	13
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		725	960
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	47	44
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	5	205
1825 Spending authority from offsetting collections applied to repay debt			-200
1850 Spending auth from offsetting collections, mand (total)	5	5	5
1900 Budget authority (total)	8	777	1,009
1930 Total budgetary resources available	20	790	1,022

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	13	13

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	21	451
3010 New obligations, unexpired accounts	7	777	1,009
3020 Outlays (gross)	-7	-347	-959
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3050 Unpaid obligations, end of year	21	451	501
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	21	451
3200 Obligated balance, end of year	21	451	501

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3	47	44
Outlays, gross:			
4010 Outlays from new discretionary authority		47	44
4011 Outlays from discretionary balances	3	5	
4020 Outlays, gross (total)	3	52	44
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3
4033 Non-Federal sources		-44	-41
4040 Offsets against gross budget authority and outlays (total)	-3	-47	-44
4080 Outlays, net (discretionary)		5	
Mandatory:			
4090 Budget authority, gross	5	730	965
Outlays, gross:			
4100 Outlays from new mandatory authority		280	640
4101 Outlays from mandatory balances	4	15	275
4110 Outlays, gross (total)	4	295	915
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-26	-5	-205
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	21		
4160 Budget authority, net (mandatory)		725	760
4170 Outlays, net (mandatory)	-22	290	710
4180 Budget authority, net (total)		725	760
4190 Outlays, net (total)	-22	295	710

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority		725	760
Outlays	-22	295	710
Legislative proposal, subject to PAYGO:			
Budget Authority			-760
Outlays			-710
Total:		725	
Budget Authority		725	
Outlays	-22	295	

The American Recovery and Reinvestment Act of 2009 (the Act) provided Western Area Power Administration (WAPA) borrowing authority for the purpose of constructing, financing, facilitating, planning, operating, maintaining, or studying construction of new or upgraded electric power transmission lines and related facilities with at least one terminus within the area served by WAPA, and for delivering or facilitating the delivery of power generated by renewable energy resources. This authority to borrow from the United States Treasury is available to WAPA on a permanent, indefinite basis, with the amount of borrowing outstanding not to exceed \$3.25 billion at any one time. WAPA established the Transmission Infrastructure Program (TIP) to manage and administer this borrowing authority and its related program requirements.

Object Classification (in millions of dollars)

Identification code 089-4404-0-3-271	2019 actual	2020 est.	2021 est.
25.2 Direct obligations: Other services from non-Federal sources		725	960
99.0 Direct obligations		725	960

Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	1	2	1
25.1	Advisory and assistance services		6	3
25.2	Other services from non-Federal sources	3	3	3
43.0	Interest and dividends	3	41	42
99.0	Reimbursable obligations	7	52	49
99.9	Total new obligations, unexpired accounts	7	777	1,009

Employment Summary

Identification code 089-4404-0-3-271	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	4	15	11

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 089-4404-4-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102	Transmission Infrastructure Program Projects		-960
0900	Total new obligations, unexpired accounts (object class 25.2)		-960
Budgetary resources:			
Budget authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority		-960
Spending authority from offsetting collections, mandatory:			
1800	Collected		-200
1825	Spending authority from offsetting collections applied to repay debt		200
1900	Budget authority (total)		-960
1930	Total budgetary resources available		-960
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		-960
3020	Outlays (gross)		910
3050	Unpaid obligations, end of year		-50
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		-50
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		-960
Outlays, gross:			
4100	Outlays from new mandatory authority		-635
4101	Outlays from mandatory balances		-275
4110	Outlays, gross (total)		-910
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources		200
4180	Budget authority, net (total)		-760
4190	Outlays, net (total)		-710

This proposal would repeal Western Area Power Administration (WAPA)'s emergency borrowing authority authorized by the American Recovery and Reinvestment Act of 2009 for the purpose of constructing and/or funding projects within WAPA's service territory that deliver or facilitate the delivery of power generated by renewable energy resources.

EMERGENCY FUND, WESTERN AREA POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 089-5069-0-2-271	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
4180	Budget authority, net (total)			

4190	Outlays, net (total)			
Memorandum (non-add) entries:				
5080	Outstanding debt, SOY	-55	-55	-55
5081	Outstanding debt, EOY	-55	-55	-55

An emergency fund maintained from receipts from the sale and transmission of electric power is available to defray expenses necessary to ensure continuity of service. The fund was last activated in fiscal year 2010 to repair and replace damaged transmission lines due to severe winter storm conditions.

FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND

For operation, maintenance, and emergency costs for the hydroelectric facilities at the Falcon and Amistad Dams, **[\$3,160,000] \$5,776,000**, to remain available until expended, and to be derived from the Falcon and Amistad Operating and Maintenance Fund of the Western Area Power Administration, as provided in section 2 of the Act of June 18, 1954 (68 Stat. 255): *Provided*, That notwithstanding the provisions of that Act and of 31 U.S.C. 3302, up to **[\$2,932,000] \$5,548,000** collected by the Western Area Power Administration from the sale of power and related services from the Falcon and Amistad Dams shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the hydroelectric facilities of these Dams and associated Western Area Power Administration activities: *Provided further*, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2020] 2021** appropriation estimated at not more than \$228,000: *Provided further*, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred: *Provided further*, That for fiscal year **[2020] 2021**, the Administrator of the Western Area Power Administration may accept up to **[\$1,187,000] \$1,526,000** in funds contributed by United States power customers of the Falcon and Amistad Dams for deposit into the Falcon and Amistad Operating and Maintenance Fund, and such funds shall be available for the purpose for which contributed in like manner as if said sums had been specifically appropriated for such purpose: *Provided further*, That any such funds shall be available without further appropriation and without fiscal year limitation for use by the Commissioner of the United States Section of the International Boundary and Water Commission for the sole purpose of operating, maintaining, repairing, rehabilitating, replacing, or upgrading the hydroelectric facilities at these Dams in accordance with agreements reached between the Administrator, Commissioner, and the power customers. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 089-5178-0-2-271	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	9	11	13
Receipts:				
Current law:				
1130	Falcon and Amistad Operating and Maintenance Fund Receipts	2	2	2
2000	Total: Balances and receipts	11	13	15
5099	Balance, end of year	11	13	15

Program and Financing (in millions of dollars)

Identification code 089-5178-0-2-271	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Reimbursable program activity - Annual expenses	2	5	5
0802	Reimbursable program activity - Alternative Financing		1	2
0900	Total new obligations, unexpired accounts (object class 25.3)	2	6	7
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	3	1
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections	2	4	7
1930	Total budgetary resources available	5	7	8

FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND—Continued
Program and Financing—Continued

Identification code 089-5178-0-2-271	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	4	3
3010 New obligations, unexpired accounts	2	6	7
3020 Outlays (gross)	-4	-7	-8
3050 Unpaid obligations, end of year	4	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	4	3
3200 Obligated balance, end of year	4	3	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	4	7
Outlays, gross:			
4010 Outlays from new discretionary authority	3	5	5
4011 Outlays from discretionary balances	4	4	3
4020 Outlays, gross (total)	4	7	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2	-4	-7
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2	3	1

Pursuant to section 2 of the Act of June 18, 1954, as amended, Western Area Power Administration is requesting funding for the Falcon and Amistad Operating and Maintenance Fund to defray operations, maintenance, and emergency (OM&E) expenses for the hydroelectric facilities at Falcon and Amistad Dams on the Rio Grande River. Most of these funds will be made available to the United States Section of the International Boundary and Water Commission through a reimbursable agreement. Within the fund, \$200,000 is for an emergency reserve that will remain unobligated unless unanticipated expenses arise. The budget provides funding for annual expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses. The budget also provides authority to use customer advances. The contributed customer funds will finance the capital replacement requirements of the projects.

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 089-4452-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Program direction	65	67	70
0802 Equipment, Contracts and Related Expenses	111	153	175
0900 Total new obligations, unexpired accounts	176	220	245
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	139	155	155
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	192	262	266
1710 Spending authority from offsetting collections transferred to other accounts [014-4081]		-21	
1720 Capital transfer of spending authority from offsetting collections to general fund		-21	-21
1750 Spending auth from offsetting collections, disc (total)	192	220	245
1930 Total budgetary resources available	331	375	400
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	155	155	155
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	42	52	45

3010 New obligations, unexpired accounts	176	220	245
3020 Outlays (gross)	-166	-227	-237
3050 Unpaid obligations, end of year	52	45	53
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	41	51	44
3200 Obligated balance, end of year	51	44	52
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	192	220	245
Outlays, gross:			
4010 Outlays from new discretionary authority		49	55
4011 Outlays from discretionary balances	166	178	182
4020 Outlays, gross (total)	166	227	237
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-5	-5
4033 Non-Federal sources	-189	-257	-261
4040 Offsets against gross budget authority and outlays (total)	-192	-262	-266
4070 Budget authority, net (discretionary)		-42	-21
4080 Outlays, net (discretionary)	-26	-35	-29
4180 Budget authority, net (total)		-42	-21
4190 Outlays, net (total)	-26	-35	-29

Western Area Power Administration's (WAPA) operation and maintenance (O&M) and power marketing expenses for the Colorado River Storage Project, the Seedskadee Project, the Dolores Project, the Olmsted Replacement Project, and the Fort Peck Project are financed from power revenues.

Colorado River Storage Project.—WAPA markets power and operates and maintains the power transmission facilities of the Colorado River Storage Project consisting of four major storage units: Glen Canyon on the Colorado River in Arizona, Flaming Gorge on the Green River in Utah, Navajo on the San Juan River in New Mexico, and the Wayne N. Aspinall unit on the Gunnison River in Colorado.

Seedskadee Project.—This project includes WAPA's expenses for O&M, power marketing, and transmission of hydroelectric power from the Fontenelle Dam power plant in southwestern Wyoming.

Dolores Project.—This project includes WAPA's expenses for O&M, power marketing, and transmission of hydroelectric power from power plants at McPhee Dam and Towaoc Canal in southwestern Colorado.

Fort Peck Project.—Revenues collected by WAPA are used to defray operation and maintenance and power marketing expenses associated with the power generation and transmission facilities of the Fort Peck Project, and WAPA operates and maintains the transmission system and performs power marketing functions.

Olmsted Replacement Project.—This project includes WAPA's expenses for power marketing of hydroelectric power from the Olmsted Power Plant in Northern Utah.

Equipment, Contracts and Related Expenses.—WAPA operates and maintains approximately 4,000 miles of transmission lines, substations, switchyards, communications, and control equipment associated with this fund. Wholesale power is provided to utilities over interconnected high-voltage transmission systems. In keeping with statutory requirements, long-term power contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of O&M, and all capital invested in power, with interest. This activity provides for the supplies, materials, services, capital equipment replacements, and additions, including communications and control equipment, purchase power, transmission and wheeling services, and interest payments to the U.S. Treasury.

Program Direction.—The personnel compensation and related expenses for all these activities are quantified under Program Direction.

Object Classification (in millions of dollars)

Identification code 089-4452-0-3-271	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	31	31	32
11.5 Other personnel compensation	4	4	5
11.9 Total personnel compensation	35	35	37
12.1 Civilian personnel benefits	12	12	12
21.0 Travel and transportation of persons	2	2	4
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.1 Advisory and assistance services	6	8	7
25.2 Other services from non-Federal sources	84	107	120
25.3 Other goods and services from Federal sources	12	28	28
25.7 Operation and maintenance of equipment	4	3	9
26.0 Supplies and materials	2	3	3
31.0 Equipment	3	4	6
32.0 Land and structures	13	9	12
43.0 Interest and dividends	6	3
99.9 Total new obligations, unexpired accounts	176	220	245

Employment Summary

Identification code 089-4452-0-3-271	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	280	296	294

BONNEVILLE POWER ADMINISTRATION FUND

Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454, are approved [for the Steigerwald Floodplain Restoration Project and, in addition,] for official reception and representation expenses in an amount not to exceed \$5,000: *Provided*, That during fiscal year [2020] 2021, no new direct loan obligations may be made [*Provided further*, Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454 are authorized and approved, without fiscal year limitation, for the cost of current and future year purchases or payments of emissions expenses associated with Bonneville Power Administration power and transmission operations in states with clean energy programs: *Provided further*, This expenditure authorization is limited solely to Bonneville Power Administration's voluntary purchase or payments made in conjunction with state clean energy programs and is not a broader waiver of Bonneville Power Administration's sovereign immunity]. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-4045-0-3-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Power business line	1,134	1,089	1,127
0802 Residential exchange	241	257	255
0803 Bureau of Reclamation	161	154	152
0804 Corp of Engineers	253	253	253
0805 Colville settlement	20	23	23
0806 U.S. Fish & Wildlife	27	30	30
0807 Planning council	11	12	12
0808 Fish and Wildlife	228	249	249
0809 Reimbursable program activities, subtotal	2,075	2,067	2,101
0811 Transmission business line	478	480	482
0812 Conservation and energy efficiency	142	158	157
0813 Interest	232	177	198
0814 Pension and health benefits	41	38	40
0819 Reimbursable program activities, subtotal	893	853	877
0821 Power business line	200	238	256
0822 Transmission services	192	469	474
0824 Fish and Wildlife	22	47	47
0825 Capital Equipment	10	22	22
0826 Projects funded in advance	239	86	66
0829 Reimbursable program activities, subtotal	663	862	865
0900 Total new obligations, unexpired accounts	3,631	3,782	3,843
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	11	599

1020 Adjustment of unobligated bal brought forward, Oct 1	10
1023 Unobligated balances applied to repay debt	-1	-591
1050 Unobligated balance (total)	22	10	8
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	255	776	800
Contract authority, mandatory:			
1600 Contract authority	2,850
Spending authority from offsetting collections, mandatory:			
1800 Collected	3,657	3,946	3,954
1801 Change in uncollected payments, Federal sources	-33
1802 Offsetting collections (previously unavailable)	10	8
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-9
1825 Spending authority from offsetting collections applied to repay debt	-506	-351	-402
1826 Spending authority from offsetting collections applied to liquidate contract authority	-2,604
1850 Spending auth from offsetting collections, mand (total)	515	3,595	3,560
1900 Budget authority (total)	3,620	4,371	4,360
1930 Total budgetary resources available	3,642	4,381	4,368
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	599	525

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,462	3,369	3,368
3010 New obligations, unexpired accounts	3,631	3,782	3,843
3020 Outlays (gross)	-3,724	-3,783	-3,844
3050 Unpaid obligations, end of year	3,369	3,368	3,367
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-366	-333	-333
3070 Change in uncollected pymts, Fed sources, unexpired	33
3090 Uncollected pymts, Fed sources, end of year	-333	-333	-333
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,096	3,036	3,035
3200 Obligated balance, end of year	3,036	3,035	3,034

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	3,620	4,371	4,360
Outlays, gross:			
4100 Outlays from new mandatory authority	3,539	3,683	3,744
4101 Outlays from mandatory balances	185	100	100
4110 Outlays, gross (total)	3,724	3,783	3,844
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-54	-90	-90
4121 Interest on Federal securities	-9
4123 Non-Federal sources	-3,594	-3,856	-3,864
4130 Offsets against gross budget authority and outlays (total)	-3,657	-3,946	-3,954
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	33
4160 Budget authority, net (mandatory)	-4	425	406
4170 Outlays, net (mandatory)	67	-163	-110
4180 Budget authority, net (total)	-4	425	406
4190 Outlays, net (total)	67	-163	-110

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	455	137	137
5001 Total investments, EOY: Federal securities: Par value	137	137	137
5052 Obligated balance, SOY: Contract authority	2,604	2,860	2,860
5053 Obligated balance, EOY: Contract authority	2,860	2,860	2,860
5090 Unexpired unavailable balance, SOY: Offsetting collections	10	9	9
5092 Unexpired unavailable balance, EOY: Offsetting collections	9	9	1

Status of Direct Loans (in millions of dollars)

Identification code 089-4045-0-3-271	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2	2	2
1290 Outstanding, end of year	2	2	2

Bonneville Power Administration (BPA) is a Federal electric power marketing agency in the Pacific Northwest. BPA markets hydroelectric power from 21 multipurpose water resource projects of the U.S. Army Corps of Engineers and 10 projects of the U.S. Bureau of Reclamation, plus some energy from non-Federal generating projects in the region. These

BONNEVILLE POWER ADMINISTRATION FUND—Continued

generating resources and BPA's transmission system are operated as an integrated power system with operating and financial results combined and reported as the Federal Columbia River Power System (FCRPS). BPA provides about 50 percent of the region's electric energy supply and about three-fourths of the region's high-voltage electric power transmission capacity.

BPA is responsible for meeting the net firm power requirements of its requesting customers through a variety of means, including energy conservation programs, acquisition of renewable and other resources, and power exchanges with utilities both in and outside the region.

BPA finances its operations with a business-type budget under the Government Corporation Control Act, 31 U.S.C. 9101–10, on the basis of the self-financing authority provided by the Federal Columbia River Transmission System Act of 1974 (Transmission Act) (Public Law 93–454) and the U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Electric Power Planning and Conservation Act (Pacific Northwest Power Act) (Public Law 96–501) for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), and other legislation. Authority to borrow from the U.S. Treasury is available to the BPA on a permanent, indefinite basis. The amount of U.S. Treasury borrowing outstanding at any time cannot exceed \$7.70 billion. BPA finances its approximate \$4.3 billion annual cost of operations and investments primarily using power and transmission revenues and loans from the U.S. Treasury.

Operating Expenses—Transmission Services.—Provides for operating over 15,000 circuit miles of high-voltage transmissions lines and 261 substations, and for maintaining the facilities and equipment of the Bonneville transmission system in 2021.

Power Services.—Provides for the planning, contractual acquisition and oversight of reliable, cost effective resources. These resources are needed to serve BPA's portion of the region's forecasted net electric load requirements. This activity also includes protection, mitigation and enhancement of fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries in accordance with the Pacific Northwest Power Act. This activity provides for payment of the operation and maintenance (O&M) costs allocated to power the 31 U.S. Army Corps of Engineers and U.S. Bureau of Reclamation hydro projects, amortization on the capital investment in power generating facilities, and irrigation assistance at U.S. Bureau of Reclamation facilities. This activity also provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. It also provides for extending the benefits of low-cost Federal power to the residential and small farm customers of investor-owned and publicly owned utilities, in accordance with the Pacific Northwest Power Act and for activities of the Pacific Northwest Electric Power and Conservation Planning Council required by the Pacific Northwest Power Act.

Interest.—Provides for payments to the U.S. Treasury for interest on U.S. Treasury borrowings to finance BPA's capital investments under \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act; the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes; the American Recovery and Reinvestment Act of 2009; and other legislation. This interest category also includes interest on U.S. Army Corps of Engineers, BPA and U.S. Bureau of Reclamation appropriated debt.

Capital Investments—Transmission Services.—Provides for the planning, design and construction of transmission lines, substation and control system additions, replacements, and enhancements to the FCRPS transmission system for a reliable, efficient and cost-effective regional transmission system. Provides for planning, design, and construction work to repair or replace existing transmission lines, substations, control systems, and general facilities of the FCRPS transmission system.

Power Services.—Provides for direct funding of additions, improvements, and replacements at existing Federal hydroelectric projects in the Northwest.

It also provides for capital investments to implement environmental activities, and protect, mitigate, and enhance fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries, in accordance with the Pacific Northwest Power Act. This activity provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation.

Capital Equipment/Capitalized Bond Premium.—Provides for capital information technologies, office furniture and equipment, and software capital development in support of all BPA programs. It also provides for bond premiums incurred for refinancing of bonds.

Total Capital Obligations.—The 2021 capital obligations are estimated to be \$799.7 million.

Contingencies.—Although contingencies are not specifically funded, the need may arise to provide for purchase of power in low-water years; for repair and/or replacement of facilities affected by natural and man-made emergencies, including the resulting additional costs for contracting, construction, and operation and maintenance work; for unavoidable increased costs for the planned program due to necessary but unforeseen adjustments, including engineering and design changes, contractor and other claims and relocations; or for payment of a retrospective premium adjustment in excess nuclear property insurance.

Financing.—The Transmission Act provides for the use by BPA of all receipts, collections, and recoveries in cash from all sources, including the sale of bonds, to finance the annual budget programs of BPA. These receipts result primarily from the sale of power and transmission services. The Transmission Act also provides for authority to borrow from the U.S. Treasury at rates comparable to borrowings at open market rates for similar issues. BPA has \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act; the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes; the American Recovery and Reinvestment Act of 2009; and other legislation. At the end of 2019, BPA had outstanding bonds with the U.S. Treasury of \$5,280 million. At the end of 2019, BPA also had \$7,593.3 million of non-Federal debt outstanding, including Energy Northwest bonds. BPA will rely primarily on its U.S. Treasury borrowing authority to finance capital projects, but may also elect to use cash reserves generated by revenues from customers or seek third party financing sources when feasible to finance some of these investments.

In 2019, BPA made payments to the Treasury of \$1,064 million and also expects to make payments of \$624 million in 2020 and \$689 million in 2021. The 2021 payment is expected to be distributed as follows: interest on bonds and appropriations (\$232 million), amortization (\$402 million), and other (\$55 million). BPA also received credits totaling approximately \$124 million applied against its Treasury payments in 2019 to reflect amounts diverted to fish mitigation efforts, but not allocable to power, in the Columbia and Snake River systems.

BPA, with input from its stakeholders, considers other strategies to sustain funding for its infrastructure investment requirements as well. BPA's Financial Plan defines strategies and policies for guiding how BPA will manage risk and variability of electricity markets and water years. It also describes how BPA will continue to manage to ensure it meets its Treasury repayment responsibilities.

Direct Loans.—During 2021, no new direct loan obligations may be made.

Operating Results.—Total revenues are forecast at approximately \$3.9 billion in 2021.

It should be noted that BPA's revenue forecasts are based on several critical assumptions about both the supply of and demand for Federal energy. During the operating year, deviation from the conditions assumed in a rate case may result in a variation in actual revenues of several hundred million dollars from the forecast.

Consistent with Administration policy, BPA will continue to fully recover, from the sale of electric power and transmission, funds sufficient to cover the full cost of Civil Service Retirement System and Post-Retirement Health

Benefits for its employees. The entire cost of BPA and the power share of FCRPS U.S. Army Corps of Engineers and U.S. Bureau of Reclamation employees working under the Federal Employees Retirement System is fully recovered in wholesale electric power and transmission rates.

Balance Sheet (in millions of dollars)

Identification code 089-4045-0-3-271	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	90	84
Investments in U.S. securities:		
1106 Receivables, net	454	138
1206 Non-Federal assets: Receivables, net	366	332
1601 Direct loans, gross		
1605 Accounts receivable from foreclosed property		
1699 Value of assets related to direct loans		
Other Federal assets:		
1802 Inventories and related properties	109	106
1803 Property, plant and equipment, net	7,295	7,455
1901 Other assets	14,064	14,094
1999 Total assets	22,378	22,209
LIABILITIES:		
Federal liabilities:		
2102 Interest payable	94	88
2103 Debt	8,040	7,552
Non-Federal liabilities:		
2201 Accounts payable	367	408
2203 Debt	5,533	5,429
2207 Other	8,344	8,732
2999 Total liabilities	22,378	22,209
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	22,378	22,209

Object Classification (in millions of dollars)

Identification code 089-4045-0-3-271	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	342	356	362
12.1 Civilian personnel benefits	159	166	168
21.0 Travel and transportation of persons	5	5	5
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	37	39	39
23.3 Communications, utilities, and miscellaneous charges	11	11	12
25.1 Advisory and assistance services	98	102	104
25.2 Other services from non-Federal sources	2,297	2,393	2,431
25.5 Research and development contracts	4	5	5
26.0 Supplies and materials	35	36	37
31.0 Equipment	176	183	186
32.0 Land and structures	117	122	124
41.0 Grants, subsidies, and contributions	48	50	51
43.0 Interest and dividends	301	313	318
99.9 Total new obligations, unexpired accounts	3,631	3,782	3,843

Employment Summary

Identification code 089-4045-0-3-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,727	3,000	3,000

BONNEVILLE POWER ADMINISTRATION FUND
(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal government to sell the transmission assets of the Bonneville Power Administration, which operates and maintains over 15,000 circuit-miles of high voltage transmission lines and 261 substations.

DEPARTMENTAL ADMINISTRATION
Federal Funds

DEPARTMENTAL ADMINISTRATION

For salaries and expenses of the Department of Energy necessary for departmental administration in carrying out the purposes of the Department of Energy Organization

Act (42 U.S.C. 7101 et seq.), **[\$254,378,000]** \$229,472,000, to remain available until September 30, **[2021]** 2022, including the hire of passenger motor vehicles and official reception and representation expenses not to exceed \$30,000, plus such additional amounts as necessary to cover increases in the estimated amount of cost of work for others notwithstanding the provisions of the Anti-Deficiency Act (31 U.S.C. 1511 et seq.): *Provided*, That such increases in cost of work are offset by revenue increases of the same or greater amount: *Provided further*, That moneys received by the Department for miscellaneous revenues estimated to total \$93,378,000 in fiscal year **[2020]** 2021 may be retained and used for operating expenses within this account, as authorized by section 201 of Public Law 95-238, notwithstanding the provisions of 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year **[2020]** 2021 appropriation from the general fund estimated at not more than **[\$161,000,000]** \$136,094,000. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 089-0228-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Office of the Secretary	6	6	5
0004 Office of Congressional and Intergovernmental Affairs	6	6	6
0005 Office of Public Affairs	6	6	5
0006 General Counsel	33	34	35
0008 Economic Impact and Diversity	7	10	10
0009 Chief Financial Officer	38	42	46
0011 Human Capital Management	26	28	26
0012 Indian Energy Policy	18		
0013 Office of Policy	8	8	8
0014 International Affairs	24	31	
0015 Office of Small and Disadvantaged Business Utilization	5	4	3
0018 Management	58	58	57
0020 Project Management Oversight and Assessment	13		
0025 Office of Technology Transitions	8	14	13
0030 Artificial Intelligence Technology Office		3	
0045 Strategic partnership projects	24	26	26
0799 Total direct obligations	280	276	240
0801 Departmental Administration (Reimbursable)	4	4	4
0900 Total new obligations, unexpired accounts	284	280	244
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	107	89	63
1020 Adjustment of unobligated bal brought forward, Oct 1	6		
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	115	89	63
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	186	161	136
Spending authority from offsetting collections, discretionary:			
1700 Collected	80	93	93
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)	78	93	93
1900 Budget authority (total)	264	254	229
1930 Total budgetary resources available	379	343	292
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	89	63	48
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	102	111	137
3010 New obligations, unexpired accounts	284	280	244
3020 Outlays (gross)	-270	-254	-234
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	111	137	147
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8	-9	-9
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-4		
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	90	102	128
3200 Obligated balance, end of year	102	128	138

DEPARTMENTAL ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 089-0228-0-1-276	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	264	254	229
Outlays, gross:			
4010 Outlays from new discretionary authority	150	111	96
4011 Outlays from discretionary balances	120	143	138
4020 Outlays, gross (total)	270	254	234
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-38	-40	-40
4033 Non-Federal sources	-43	-53	-53
4040 Offsets against gross budget authority and outlays (total)	-81	-93	-93
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	2
4052 Offsetting collections credited to expired accounts	1
4060 Additional offsets against budget authority only (total)	3
4070 Budget authority, net (discretionary)	186	161	136
4080 Outlays, net (discretionary)	189	161	141
4180 Budget authority, net (total)	186	161	136
4190 Outlays, net (total)	189	161	141

Office of the Secretary (OSE).—Directs and leads the management of the Department and provides policy guidance to line and staff organizations in the accomplishment of DOE's mission.

Congressional and Intergovernmental Affairs (CI).—Responsible for DOE's liaison, communication, coordinating, directing, and promoting the Department's policies and legislative initiatives with Congress, State, territorial, Tribal and local government officials, and other Federal agencies.

Public Affairs (PA).—Responsible for directing and managing the Department's policies and initiatives with the public, news media, and other stakeholders. PA serves as the Department's chief spokesperson with the news media, shapes initiatives aimed at educating the press and public about DOE issues, builds and maintains the Energy.gov internet platform.

General Counsel (GC).—Responsible for providing legal services to all Department offices, and for determining the Department's authoritative position on any question of law with respect to all Department offices and programs, except for those belonging exclusively to the Federal Energy Regulatory Commission. GC is responsible for the coordination and clearance of proposed legislation affecting energy policy and Department activities. GC administers and monitors standards of conduct requirements, conducts patent program and intellectual property activities, and coordinates rulemaking actions of the Department with other Federal agencies.

Economic Impact and Diversity (ED).—Develops and executes DOE policies to implement applicable statutes and Executive Orders that impact diversity goals affecting equal employment opportunities, minority businesses, minority educational institutions, and historically underrepresented communities. ED identifies ways of ensuring that underrepresented populations are afforded an opportunity to participate fully in DOE programs.

Chief Financial Officer (CFO).—Assures the effective management and financial integrity of DOE programs, activities, and resources by developing, implementing, and monitoring DOE-wide policies and systems in the areas of budget administration, finance and accounting, internal controls and financial policy, corporate financial systems, and strategic planning.

Chief Information Officer (CIO).—Provides advice and assistance to the Secretary and other senior managers to ensure that information technology is acquired and information resources are managed in a manner that complies with Administration policies and procedures and statutory requirements.

Chief Human Capital Officer (HC).—Provides DOE leadership on the impact and use of policies, proposals, programs, partnership agreements and relationships related to all aspects of human capital management. HC seeks solutions that address workforce issues in the areas of recruiting,

hiring, motivating, succession planning, competency development, training and learning, retention, and diversity.

Office of Policy (OP).—Serves as the principal policy office advising the Secretary of Energy.

International Affairs (IA).—Advises Departmental leadership on strategic implementation of U.S. international energy policy, in line with energy security and market objectives. IA develops and leads the Department's bilateral and multilateral R&D cooperation, including investment and trade activities with other nations and international agencies, and represents the Department and the United States Government in interagency processes, intergovernmental forums, and bilateral and multilateral proceedings that address energy policies, strategies and objectives. Beginning in FY 2021 funding for this program is requested in a separate appropriation account.

Office of Small and Disadvantaged Business Utilization (OSDBU).—Responsible for maximizing contracting and subcontracting opportunities for small businesses interested in doing business with the Department. A primary responsibility of OSDBU is to work in partnership with Departmental program elements to achieve prime and subcontracting small business goals set forth by statute and the U.S. Small Business Administration.

Office of Management (MA).—Provides DOE with centralized direction and oversight for the full range of management, procurement and administrative services. MA is responsible for contract management policy development and oversight, acquisition and contract administration, and delivery of procurement services to DOE headquarters organizations. MA activities include the management of headquarters facilities, Department-wide implementation of Federal sustainability goals, and other related functions of the Department.

Project Management Oversight and Assessment (PM).—Provides DOE corporate oversight, managerial leadership and assistance in developing and implementing DOE-wide policies, procedures, programs, and management systems pertaining to project management, and manages the project management career development program for DOE's Federal Project Directors. PM also provides independent oversight of Environmental Management's portfolio of capital asset projects that are \$100 million or greater, including all activities involved with on-site cost, schedule, technical and management status reviews, as well as analyzing and reporting performance progress of the projects. PM will also provide cost estimating and program evaluation.

Strategic Partnership Programs (SPP).—Covers the cost of work performed under orders placed with the Department by non-DOE entities that are precluded by law from making advance payments and certain revenue programs. Reimbursement of these costs is made through deposits of offsetting collections to this account.

Office of Technology Transitions (OTT).—Facilitates accessibility of DOE's capabilities and technologies for private sector commercialization. OTT serves a multi-disciplinary role, providing management of DOE's ongoing tech-to-market activities, including the statutory Technology Commercialization Fund. OTT coordinates DOE technology transition activities, including policy reform, data collection and analyses, industry stakeholder convenings, and amplification of DOE technology transfer success stories across the DOE—including programs, field offices, and the National Labs and Production Facilities—as well as engaging with other Federal agencies to improve awareness of the benefits of engaging the DOE research enterprise.

Object Classification (in millions of dollars)

Identification code 089-0228-0-1-276	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	98	114	106
11.3 Other than full-time permanent	13	13	8
11.5 Other personnel compensation	2	2	3
11.9 Total personnel compensation	113	129	117
12.1 Civilian personnel benefits	33	34	32
21.0 Travel and transportation of persons	5	5	5
23.3 Communications, utilities, and miscellaneous charges	7	7	7

24.0	Pamphlets, Documents, Subscriptions and Publications	1	1	
25.1	Advisory and assistance services	29	29	19
25.2	Other services from non-Federal sources	14	12	10
25.3	Other goods and services from Federal sources	41	25	25
25.4	Operation and maintenance of facilities	15	22	15
26.0	Other Services	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	13	9	6
44.0	Non-Capitalized Personal Property	1	1	1
44.0	Refunds	7		
99.0	Direct obligations	280	276	240
99.0	Reimbursable obligations	4	4	4
99.9	Total new obligations, unexpired accounts	284	280	244

Employment Summary

Identification code 089-0228-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	823	978	904

INTERNATIONAL AFFAIRS

For necessary expenses for International Affairs in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), \$32,959,000, to remain available until expended: Provided, That \$22,575,000 shall be available until September 30, 2022, for program direction.

Program and Financing (in millions of dollars)

Identification code 089-0351-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 International affairs			33
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			33
1930 Total budgetary resources available			33
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			33
3020 Outlays (gross)			-25
3050 Unpaid obligations, end of year			8
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			33
Outlays, gross:			
4010 Outlays from new discretionary authority			25
4180 Budget authority, net (total)			33
4190 Outlays, net (total)			25

International Affairs (IA).—Advises Department leadership on strategic implementation of U.S. international energy policy, in line with energy security and market objectives. IA develops and leads the Department's bilateral and multilateral R&D cooperation, including investment and trade activities with other nations and international agencies, and represents the Department and the United States Government in interagency processes, intergovernmental forums, and bilateral and multilateral proceedings that address energy policies, strategies and objectives. IA is the Department lead on fulfilling the Agency's requirements on the Committee of Foreign Investment in the U.S., including the expanded responsibilities derived from the Foreign Investment Risk Review Modernization Act of 2018.

Object Classification (in millions of dollars)

Identification code 089-0351-0-1-276	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			11
11.9 Total personnel compensation			11

12.1	Civilian personnel benefits			3
21.0	Travel and transportation of persons			1
25.1	Advisory and assistance services			2
25.2	Other services from non-Federal sources			2
25.3	Other goods and services from Federal sources			2
25.4	Operation and maintenance of facilities			4
41.0	Grants, subsidies, and contributions			8
99.9	Total new obligations, unexpired accounts			33

Employment Summary

Identification code 089-0351-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			85

OFFICE OF THE INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, [\$54,215,000] \$57,739,000, to remain available until September 30, [2021] 2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 089-0236-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of the Inspector General	50	58	58
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	4	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	51	54	58
1930 Total budgetary resources available	54	58	58
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	4	8
3010 New obligations, unexpired accounts	50	58	58
3020 Outlays (gross)	-50	-54	-57
3050 Unpaid obligations, end of year	4	8	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	4	8
3200 Obligated balance, end of year	4	8	9
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	51	54	58
Outlays, gross:			
4010 Outlays from new discretionary authority	43	46	49
4011 Outlays from discretionary balances	7	8	8
4020 Outlays, gross (total)	50	54	57
4180 Budget authority, net (total)	51	54	58
4190 Outlays, net (total)	50	54	57

The Office of Inspector General (OIG) provides Department-wide (including the National Nuclear Security Administration and the Federal Energy Regulatory Commission) audit, inspection, and investigative functions to identify and recommend corrections for management and administrative deficiencies, which create conditions for existing or potential instances of fraud, waste, abuse or violations of law. The audit function provides financial and performance audits of programs and operations. The inspection function provides independent inspection and analysis of the performance of programs and operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. Through these efforts, the OIG identifies opportunities for cost savings and operational efficiency; identifies programs that are not meeting performance expectations; recovers monies to the Department and the Treasury as a result of civil and criminal prosec-

OFFICE OF THE INSPECTOR GENERAL—Continued

utions; and identifies ways to make Departmental programs safer and more secure.

Object Classification (in millions of dollars)

Identification code 089-0236-0-1-276	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	28	32	33
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	30	34	35
12.1 Civilian personnel benefits	12	14	14
21.0 Travel and transportation of persons	2	2	2
25.1 Advisory and assistance services	1	2	1
25.2 Other services from non-Federal sources	1	2	2
25.3 Other goods and services from Federal sources	3	4	4
99.0 Direct obligations	49	58	58
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	50	58	58

Employment Summary

Identification code 089-0236-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	252	291	303

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 089-4563-0-4-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0802 Project management and career development program	2	2	2
0810 Supplies	1	2	2
0812 Copying Services	3	4	4
0813 Printing and graphics	3	5	5
0814 Building Occupancy (Rent, Operations & Maintenance)	119	113	117
0815 Corporate Business Systems	44	47	49
0816 Mail and Transportation Services	3	4	4
0817 Financial Statement Audits	10	12	12
0818 Procurement Management	9	16	16
0820 Telecommunication	29	37	38
0821 Overseas Presence	10	16	16
0822 Interagency Transfers	8	9	9
0823 Health Services	1	2	2
0825 Corporate Training Services	2	3	3
0826 A-123 / Internal Controls	1	3	2
0827 Pension Studies	1	1	1
0900 Total new obligations, unexpired accounts	246	276	282
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	65	50	50
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	67	50	50
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	229	276	276
1930 Total budgetary resources available	296	326	326
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	50	50	44
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	133	126	67
3010 New obligations, unexpired accounts	246	276	282
3020 Outlays (gross)	-251	-335	-336
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	126	67	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	133	126	67
3200 Obligated balance, end of year	126	67	13

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	229	276	276
Outlays, gross:			
4010 Outlays from new discretionary authority	102	265	265
4011 Outlays from discretionary balances	149	70	71
4020 Outlays, gross (total)	251	335	336
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-229	-276	-276
4180 Budget authority, net (total)			
4190 Outlays, net (total)	22	59	60

The Department's Working Capital Fund (WCF) provides the following shared services: rent and building operations, telecommunications, cyber-security, automated office systems including the Standard Accounting and Reporting System, Strategic Integrated Procurement Enterprise System, payment processing, payroll and personnel processing, administrative services, training and health services, overseas representation, interagency transfers, procurement management, audits, and controls for financial reporting. The WCF assists the Department in improving operational efficiency.

Object Classification (in millions of dollars)

Identification code 089-4563-0-4-276	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	11	13	13
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	13	15	15
12.1 Civilian personnel benefits	4	5	5
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	46	52	53
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	20	22	23
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	41	46	47
25.2 Other services from non-Federal sources	17	19	20
25.3 Other goods and services from Federal sources	45	51	52
25.4 Operation and maintenance of facilities	43	48	49
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	8	9	9
99.0 Reimbursable obligations	244	274	280
99.5 Adjustment for rounding	2	2	2
99.9 Total new obligations, unexpired accounts	246	276	282

Employment Summary

Identification code 089-4563-0-4-276	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	99	107	107

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
089-089400 Fees and Recoveries, Federal Energy Regulatory Commission	9	16	9
089-223400 Sale of Strategic Petroleum Reserve Oil	752	272	1,110
089-224500 Sale and Transmission of Electric Energy, Falcon Dam	1		
089-224500 Sale and Transmission of Electric Energy, Falcon Dam: Legislative proposal, subject to PAYGO			1
089-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration	6	6	6
089-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration: Legislative proposal, subject to PAYGO			19
089-224800 Sale and Transmission of Electric Energy, Southeastern Power Administration	183	177	178

089-224800	Sale and Transmission of Electric Energy, Southeastern Power Administration: Legislative proposal, subject to PAYGO			4
089-224900	Sale of Power and Other Utilities, not Otherwise Classified	10	30	30
089-224900	Sale of Power and Other Utilities, not Otherwise Classified: Legislative proposal, subject to PAYGO			563
089-267910	Title 17 Innovative Technology Loan Guarantees, Negative Subsidies	65	45	49
089-279530	DOE ATM Direct Loans Downward Reestimate Account	22	17	
089-279730	DOE Loan Guarantees Downward Reestimate Account	263	38	
089-288900	Repayments on Miscellaneous Recoverable Costs, not Otherwise Classified	41	38	40
089-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	22	22	18
General Fund Offsetting receipts from the public		1,374	661	2,027

GENERAL PROVISIONS—DEPARTMENT OF ENERGY

(INCLUDING TRANSFER AND CANCELLATION OF FUNDS)

SEC. 301. (a) No appropriation, funds, or authority made available by this title for the Department of Energy shall be used to initiate or resume any program, project, or activity or to prepare or initiate Requests For Proposals or similar arrangements (including Requests for Quotations, Requests for Information, and Funding Opportunity Announcements) for a program, project, or activity if the program, project, or activity has not been funded by Congress.

(b) (1) Unless the Secretary of Energy notifies the Committees on Appropriations of both Houses of Congress at least 3 full business days in advance, none of the funds made available in this title may be used to—

(A) make a grant allocation or discretionary grant award totaling \$1,000,000 or more;

(B) make a discretionary contract award or Other Transaction Agreement totaling \$1,000,000 or more, including a contract covered by the Federal Acquisition Regulation;

(C) issue a letter of intent to make an allocation, award, or Agreement in excess of the limits in subparagraph (A) or (B); or

(D) announce publicly the intention to make an allocation, award, or Agreement in excess of the limits in subparagraph (A) or (B).

(2) The Secretary of Energy shall submit to the Committees on Appropriations of both Houses of Congress within 15 days of the conclusion of each quarter a report detailing each grant allocation or discretionary grant award totaling less than \$1,000,000 provided during the previous quarter.

(3) The notification required by paragraph (1) and the report required by paragraph (2) shall include the recipient of the award, the amount of the award, the fiscal year for which the funds for the award were appropriated, the account and program, project, or activity from which the funds are being drawn, the title of the award, and a brief description of the activity for which the award is made.

(c) The Department of Energy may not, with respect to any program, project, or activity that uses budget authority made available in this title under the heading "Department of Energy-Energy Programs", enter into a multiyear contract, award a multiyear grant, or enter into a multiyear cooperative agreement unless—

(1) the contract, grant, or cooperative agreement is funded for the full period of performance as anticipated at the time of award; or

(2) the contract, grant, or cooperative agreement includes a clause conditioning the Federal Government's obligation on the availability of future year budget authority and the Secretary notifies the Committees on Appropriations of both Houses of Congress at least 3 days in advance.

(d) Except as provided in subsections (e), (f), [and] (g), and (h), the amounts made available by this title shall be expended as authorized by law for the programs, projects, and activities specified in the "Final Bill" column in the "Department of Energy" table included under the heading "Title III-Department of Energy" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(e) The amounts made available by this title may be reprogrammed for any program, project, or activity, and the Department shall notify [, and obtain the prior approval of,] the Committees on Appropriations of both Houses of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program, project, or activity funding level to increase or decrease by more than \$5,000,000 or 10 percent, whichever is less, during the time period covered by this Act.

(f) None of the funds provided in this title shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates, initiates, or eliminates a program, project, or activity;

(2) increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act; or

(3) reduces funds that are directed to be used for a specific program, project, or activity by this Act.

(g)

(1) The Secretary of Energy may waive any requirement or restriction in this section that applies to the use of funds made available for the Department of Energy if compliance with such requirement or restriction would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Secretary of Energy shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver.

(h) EXCLUSIONS.—Subsections (d), (e), and (f) shall not apply to applied energy program funds transferred or reprogrammed under—

(1) the small business innovation research program under section 9 of the Small Business Act (15 U.S.C 638); or

(2) the small business technology transfer program under that section.

(h) The unexpended balances of prior appropriations provided for activities in this Act may be available to the same appropriation accounts for such activities established pursuant to this title. Available balances may be merged with funds in the applicable established accounts and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 302. Funds appropriated by this or any other Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 3094) during fiscal year [2020] 2021 until the enactment of the Intelligence Authorization Act for fiscal year [2020] 2021.

SEC. 303. None of the funds made available in this title shall be used for the construction of facilities classified as high-hazard nuclear facilities under 10 CFR Part 830 unless independent oversight is conducted by the Office of Enterprise Assessments to ensure the project is in compliance with nuclear safety requirements.

SEC. 304. None of the funds made available in this title may be used to approve critical decision-2 or critical decision-3 under Department of Energy Order 413.3B, or any successive departmental guidance, for construction projects where the total project cost exceeds \$100,000,000, until a separate independent cost estimate has been developed for the project for that critical decision.

SEC. 305. (a) None of the funds made available in this or any prior Act under the heading "Defense Nuclear Nonproliferation" may be made available to enter into new contracts with, or new agreements for Federal assistance to, the Russian Federation.

(b) The Secretary of Energy may waive the prohibition in subsection (a) if the Secretary determines that such activity is in the national [security] interests of the United States. This waiver authority may not be delegated.

[(c) A waiver under subsection (b) shall not be effective until 15 days after the date on which the Secretary submits to the Committees on Appropriations of both Houses of Congress, in classified form if necessary, a report on the justification for the waiver.]

SEC. 306. Notwithstanding section 161 of the Energy Policy and Conservation Act (42 U.S.C. 6241), upon a determination by the President in this fiscal year that a regional supply shortage of refined petroleum product of significant scope and duration exists, that a severe increase in the price of refined petroleum product will likely result from such shortage, and that a draw down and sale of refined petroleum product would assist directly and significantly in reducing the adverse impact of such shortage, the Secretary of Energy may draw down and sell refined petroleum product from the Strategic Petroleum Reserve. Proceeds from a sale under this section shall be deposited into the SPR Petroleum Account established in section 167 of the Energy Policy and Conservation Act (42 U.S.C. 6247), and such amounts shall be available for obligation, without fiscal year limitation, consistent with that section.

SEC. 307. Of the offsetting collections, including unobligated balances of such collections, in the "Department of Energy-Power Marketing Administration-Colorado River Basins Power Marketing Fund, Western Area Power Administration", \$21,400,000 shall be transferred to the "Department of Interior-Bureau of Reclamation-Upper Colorado River Basin Fund" for the Bureau of Reclamation to carry out environmental stewardship and endangered species recovery efforts.]

SEC. 308. (a) Of the unobligated balances available from amounts appropriated in prior Acts under the heading "Title III-Department of Energy-Energy Programs", \$12,723,000 is hereby rescinded.

(b) No amounts may be rescinded under (a) from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.】

【SEC. 309. Beginning in fiscal year 2021 and for each fiscal year thereafter, fees collected pursuant to subsection (b)(1) of section 6939f of title 42, United States Code, shall be deposited in "Department of Energy-Energy Programs-Non-Defense Environmental Cleanup" as discretionary offsetting collections.】

【SEC. 310. During fiscal year 2020 and each fiscal year thereafter, notwithstanding any provision of title 5, United States Code, relating to classification or rates of pay, the Southeastern Power Administration shall pay any power system dispatcher employed by the Administration a rate of basic pay and premium pay based on those prevailing for similar occupations in the electric power industry. Basic pay and premium pay may not be paid under this section to any individual during a calendar year so as to result in a total rate in excess of the rate of basic pay for level V of the Executive Schedule (section 5316 of such title).】

SEC. 307. Section 611 of the Energy and Water Development Appropriations Act, 2000 (P.L. 106-60; 10 U.S.C 2701 note) is amended as follows:

(a) In subsection (a) in the matter preceding paragraph (1), by striking "the Army, acting through the Chief of Engineers" and inserting "Energy".

(b) In subsection (a)(6), by striking "by the Secretary of the Army, acting through the Chief of Engineers," and striking ", which may be transferred upon completion of remediation to the administrative jurisdiction of the Secretary of Energy".

(c) In subsection (a), by adding after paragraph (6) the following undesignated matter: "Upon completion of remediation of a site acquired by the Secretary of the Army prior to fiscal year 2021, the Secretary of the Army may transfer administrative jurisdiction of such site to the Secretary of Energy".

(d) In subsection (b), by striking "the Army, acting through the Chief of Engineers," and inserting "Energy".

(e) In subsection (c), by striking "amounts made available to carry out that program and shall be available until expended for costs of response actions for any eligible site" and inserting "Other Defense Activities' appropriation account or successor appropriation account and shall be available until expended for costs of response actions for any eligible Formerly Utilized Sites Remedial Action Program Site".

(f) By redesignating subsection (f) as subsection (g).

(g) By inserting after subsection (e) the following new subsection:

"(f) The Secretary of Energy, in carrying out subsection (a), shall enter into an agreement with the Secretary of the Army to carry out the remediation functions and activities described in subsections (a)(1) through (a)(6)."

SEC. 308. Section 2307 of the Energy Policy Act of 1992 (42 U.S.C 13526) is repealed.

SEC. 309. Notwithstanding section 161 of the Energy Policy and Conservation Act (42 U.S.C. 6241), the Secretary of Energy shall draw down and sell 15 million barrels of refined petroleum product from the Strategic Petroleum Reserve during fiscal year 2021. Proceeds from sales under this section shall be deposited into the general fund of the Treasury during fiscal year 2021, with the exception of \$242,000,000 from such proceeds to be deposited in the "Naval Petroleum and Oil Shale Reserves" account for comprehensive remediation of the Naval Petroleum Reserve-1 site near Elk Hills, California, to remain available until expended.

SEC. 310. Treatment of Lobbying and Political Activity Costs as Allowable Costs under Department of Energy Contracts.—

(a) Allowable Costs.—

(1) Section 4801(b) of the Atomic Energy Defense Act (50 U.S.C. 2781(b)) is amended—

(A) by striking "(1)" and all that follows through "the Secretary" and inserting "The Secretary"; and

(B) by striking paragraph (2).

(2) Section 305 of the Energy and Water Development Appropriation Act, 1988, as contained in section 101(d) of Public Law 100-202 (101 Stat. 1329-125), is repealed.

(b) Regulations Revised.—The Secretary of Energy shall revise existing regulations consistent with the repeal of 50 U.S.C. 2781(b)(2) and section 305 of Public

Law 100-202 and shall issue regulations to implement 50 U.S.C. 2781(b), as amended by subsection (a) of this section, no later than 150 days after the date of the enactment of this Act. Such regulations shall be consistent with the Federal Acquisition Regulation 48 C.F.R. 31.205-22.

SEC. 311. Pursuant to a request by the Secretary of Defense, and upon determination by the Director of the Office of Management and Budget in consultation with the Secretary of Energy that such action is necessary, the Secretary of Energy may, with the approval of the Office of Management and Budget, transfer not to exceed \$2,500,000,000 of funds made available in this Act to the Department of Energy for National Nuclear Security Administration functions to the Department of Defense, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided, That the Secretary of Energy shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: Provided further, That this transfer authority is in addition to any other transfer authority provided in this Act. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

TITLE V—GENERAL PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 501. None of the funds appropriated by this Act may be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before Congress, other than to communicate to Members of Congress as described in 18 U.S.C. 1913.

【SEC. 502. (a) None of the funds made available in title III of this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by or transfer authority provided in this Act or any other appropriations Act for any fiscal year, transfer authority referenced in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality.

(b) None of the funds made available for any department, agency, or instrumentality of the United States Government may be transferred to accounts funded in title III of this Act, except pursuant to a transfer made by or transfer authority provided in this Act or any other appropriations Act for any fiscal year, transfer authority referenced in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality.

(c) The head of any relevant department or agency funded in this Act utilizing any transfer authority shall submit to the Committees on Appropriations of both Houses of Congress a semiannual report detailing the transfer authorities, except for any authority whereby a department, agency, or instrumentality of the United States Government may provide goods or services to another department, agency, or instrumentality, used in the previous 6 months and in the year-to-date. This report shall include the amounts transferred and the purposes for which they were transferred, and shall not replace or modify existing notification requirements for each authority.】

SEC. 【503】502. None of the funds made available by this Act may be used in contravention of Executive Order No. 12898 of February 11, 1994 (Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations).

SEC. 【504】503. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

(Energy and Water Development and Related Agencies Appropriations Act, 2020.)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; in addition to amounts appropriated to the FDA Innovation Account, for carrying out the activities described in section 1002(b)(4) of the 21st Century Cures Act (Public Law 114-255); for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; and notwithstanding section 521 of Public Law 107-188; **[\$5,772,442,000]** \$5,897,299,000: *Provided*, That of the amount provided under this heading, **[\$1,074,714,000]** \$1,119,188,000 shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h, and shall be credited to this account and remain available until expended; **[\$220,142,000]** \$238,595,000 shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and remain available until expended; **[\$513,223,000]** \$526,039,000 shall be derived from human generic drug user fees authorized by 21 U.S.C. 379j-42, and shall be credited to this account and remain available until expended; **[\$41,923,000]** \$42,998,000 shall be derived from biosimilar biological product user fees authorized by 21 U.S.C. 379j-52, and shall be credited to this account and remain available until expended; **[\$30,611,000]** \$31,306,000 shall be derived from animal drug user fees authorized by 21 U.S.C. 379j-12, and shall be credited to this account and remain available until expended; **[\$20,151,000]** \$20,609,000 shall be derived from generic new animal drug user fees authorized by 21 U.S.C. 379j-21, and shall be credited to this account and remain available until expended; \$712,000,000 shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s, and shall be credited to this account and remain available until expended: *Provided further*, That in addition to and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees, medical device user fees, human generic drug user fees, biosimilar biological product user fees, animal drug user fees, and generic new animal drug user fees that exceed the respective fiscal year **[2020]** 2021 limitations are appropriated and shall be credited to this account and remain available until expended: *Provided further*, That fees derived from prescription drug, medical device, human generic drug, biosimilar biological product, animal drug, and generic new animal drug assessments for fiscal year **[2020]** 2021, including any such fees collected prior to fiscal year **[2020]** 2021 but credited for fiscal year **[2020]** 2021, shall be subject to the fiscal year **[2020]** 2021 limitations: *Provided further*, That the Secretary may accept payment during fiscal year **[2020]** 2021 of user fees specified under this heading and authorized for fiscal year **[2021]** 2022, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year **[2021]** 2022 for which the Secretary accepts payment in fiscal year **[2020]** 2021 shall not be included in amounts under this heading: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) \$1,088,881,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs, of which no less than \$15,000,000 shall be used for inspections of foreign seafood manufacturers and field examinations of imported seafood; (2) \$1,972,093,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs; (3) \$419,302,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$237,741,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$581,761,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs; (6) \$66,712,000 shall be for the National Center for Toxicological Research; (7) \$661,739,000 shall be for the Center for Tobacco Products and for related field activities in the Office of Regulatory Affairs; (8) \$186,399,000 shall be for Rent and Related activities, of which \$53,913,000 is for White Oak Consolidation, other than the amounts paid to the General Services Administration for rent; (9) \$239,717,000 shall be for payments to the General Services Administration for rent; and (10) \$318,097,000 shall be for other activities, including the Office of the Commissioner of Food and Drugs, the Office of Foods and Veterinary Medicine, the Office of Medical and Tobacco Products, the Office of Global and Regulatory Policy, the Office of Operations, the Office of the Chief Scientist, and central services for these offices: *Provided further*, That not to exceed \$25,000 of this amount shall be for official reception and repres-

entation expenses, not otherwise provided for, as determined by the Commissioner: *Provided further*, That any transfer of funds pursuant to section 770(n) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 379dd(n)) shall only be from amounts made available under this heading for other activities: *Provided further*, That of the amounts that are made available under this heading for "other activities", and that are not derived from user fees, \$1,500,000 shall be transferred to and merged with the appropriation for "Department of Health and Human Services-Office of Inspector General" for oversight of the programs and operations of the Food and Drug Administration and shall be in addition to funds otherwise made available for oversight of the Food and Drug Administration: *Provided further*, That funds may be transferred from one specified activity to another with the prior **[approval of]** *notice* to the Committees on Appropriations of both Houses of Congress.

In addition, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, priority review user fees authorized by 21 U.S.C. 360n and 360ff, food and feed recall fees, food reinspection fees, and voluntary qualified importer program fees authorized by 21 U.S.C. 379j-31, outsourcing facility fees authorized by 21 U.S.C. 379j-62, prescription drug wholesale distributor licensing and inspection fees authorized by 21 U.S.C. 353(e)(3), third-party logistics provider licensing and inspection fees authorized by 21 U.S.C. 360eee-3(c)(1), third-party auditor fees authorized by 21 U.S.C. 384d(e)(8), and medical countermeasure priority review voucher user fees authorized by 21 U.S.C. 360bbb-4a, and, contingent upon the enactment of the Over-the-Counter Monograph User Fee Act of **[2019]** 2020, fees relating to over-the-counter monograph drugs authorized by part 10 of subchapter C of Chapter VII of the Federal Food, Drug and Cosmetic Act shall be credited to this account, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, demolition, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$11,788,000]** \$13,788,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	2
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, FDA	1	3	3
2000 Total: Balances and receipts	2	4	5
Appropriations:			
Current law:			
2101 Salaries and Expenses	-1	-2	-2
5099 Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Foods	1,060	1,089	1,091
0002 Human Drugs	663	683	683
0003 Devices and Radiological Health	387	395	416
0004 National Center for Toxicological Research	67	67	66
0005 FDA Other Activities (FDA Headquarters)	186	185	187
0006 FDA Other Rent and Rent Related Activities (Including White Oak Consolidation)	115	126	154
0007 FDA GSA Rental Payments	170	171	167
0008 FDA Buildings and Facilities	12	32	14
0009 Cooperative Research and Development (CRADA)	1	2	2
0010 Animal Drugs and Feed	179	191	190
0011 Biologics	240	252	252
0015 CURES Activities	75	70	70
0016 Emerging Health Threats	5
0017 Opioids - IMF	65
0018 FDA HCFAC Wedge Fund	6
0799 Total direct obligations	3,156	3,268	3,292
0801 FDA Reimbursable program (User fees)	2,447	2,664	2,744
0802 FDA Reimbursable program (Federal sources)	32
0899 Total reimbursable obligations	2,479	2,664	2,744

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts	5,635	5,932	6,036
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	927	1,022	1,017
1001 Discretionary unobligated balance brought fwd, Oct 1	926	1,000	
1021 Recoveries of prior year unpaid obligations	57		
1050 Unobligated balance (total)	984	1,022	1,017
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,080	3,192	3,220
1120 Appropriations transferred to other accts [075-0128]	-2	-2	
1121 Appropriations transferred from other acct [075-5629]	75	70	
1160 Appropriation, discretionary (total)	3,078	3,265	3,290
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	2	2
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,557	2,660	2,743
1700 Collected	10	22	
1701 Change in uncollected payments, Federal sources	22	-22	
1702 Offsetting collections (previously unavailable)	772		
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-774		
1750 Spending auth from offsetting collections, disc (total)	2,587	2,660	2,743
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1801 Change in uncollected payments, Federal sources	6		
1850 Spending auth from offsetting collections, mand (total)	7		
1900 Budget authority (total)	5,673	5,927	6,035
1930 Total budgetary resources available	6,657	6,949	7,052
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,022	1,017	1,016
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,978	2,867	2,993
3010 New obligations, unexpired accounts	5,635	5,932	6,036
3011 Obligations ("upward adjustments"), expired accounts	26		
3020 Outlays (gross)	-5,615	-5,806	-6,294
3040 Recoveries of prior year unpaid obligations, unexpired	-57		
3041 Recoveries of prior year unpaid obligations, expired	-100		
3050 Unpaid obligations, end of year	2,867	2,993	2,735
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-70	-66	-44
3070 Change in uncollected pymts, Fed sources, unexpired	-28	22	
3071 Change in uncollected pymts, Fed sources, expired	32		
3090 Uncollected pymts, Fed sources, end of year	-66	-44	-44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,908	2,801	2,949
3200 Obligated balance, end of year	2,801	2,949	2,691
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,665	5,925	6,033
Outlays, gross:			
4010 Outlays from new discretionary authority	3,323	4,735	4,832
4011 Outlays from discretionary balances	2,284	1,063	1,460
4020 Outlays, gross (total)	5,607	5,798	6,292
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-35	-22	
4033 Non-Federal sources:	-2,557	-2,660	-2,743
4040 Offsets against gross budget authority and outlays (total)	-2,592	-2,682	-2,743
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-22	22	
4052 Offsetting collections credited to expired accounts	25		
4060 Additional offsets against budget authority only (total)	3	22	
4070 Budget authority, net (discretionary)	3,076	3,265	3,290
4080 Outlays, net (discretionary)	3,015	3,116	3,549
Mandatory:			
4090 Budget authority, gross	8	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	6	2	2

4101 Outlays from mandatory balances	2	6	
4110 Outlays, gross (total)	8	8	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources:		-6	
4123 Non-Federal sources:	-1		
4130 Offsets against gross budget authority and outlays (total) ...	-1	-6	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-6		
4142 Offsetting collections credited to expired accounts	6		
4150 Additional offsets against budget authority only (total)	-6	6	
4160 Budget authority, net (mandatory)	1	2	2
4170 Outlays, net (mandatory)	7	2	2
4180 Budget authority, net (total)	3,077	3,267	3,292
4190 Outlays, net (total)	3,022	3,118	3,551
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	804	806	806
5092 Unexpired unavailable balance, EOY: Offsetting collections	806	806	806

The Food and Drug Administration (FDA) promotes and protects public health by overseeing the safety, efficacy, quality, and security of human and veterinary drugs, biological products, medical devices, foods, cosmetics, and products that emit radiation. FDA also has responsibility for regulating the manufacturing, marketing, and distribution of tobacco products to protect public health and to reduce tobacco use by minors. FDA advances public health by helping to advance innovations that make medicines more effective, safe, and affordable and by helping the public get the accurate, science-based information they need to use medicines and foods to maintain and improve their health. FDA supports the Nation's counterterrorism capability by ensuring the security of the food supply and by fostering the development of medical products and countermeasures to respond to deliberate and naturally emerging public health threats. The FY 2021 Budget includes \$6.2 billion in total resources for FDA. The Budget invests in priority activities such as artificial intelligence, regulation of cannabis and cannabis derivatives, modernizing influenza vaccines, food safety track and trace, and infrastructure.

Object Classification (in millions of dollars)

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	880	971	977
11.3 Other than full-time permanent	88	99	100
11.5 Other personnel compensation	39	45	45
11.7 Military personnel	59	61	62
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	1,067	1,177	1,185
12.1 Civilian personnel benefits	332	367	369
12.2 Military personnel benefits	32	33	34
21.0 Travel and transportation of persons	50	47	48
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	173	171	167
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	18	17	17
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	44	42	43
25.2 Other services from non-Federal sources	412	412	416
25.3 Other goods and services from Federal sources	506	484	489
25.4 Operation and maintenance of facilities	139	133	135
25.5 Research and development contracts	20	19	20
25.7 Operation and maintenance of equipment	35	33	34
26.0 Supplies and materials	39	38	38
31.0 Equipment	63	60	61
32.0 Land and structures	3	3	3
41.0 Grants, subsidies, and contributions	215	223	225
42.0 Insurance claims and indemnities	2	2	2
99.0 Direct obligations	3,156	3,267	3,292
99.0 Reimbursable obligations	2,479	2,665	2,744
99.9 Total new obligations, unexpired accounts	5,635	5,932	6,036

Employment Summary

Identification code 075-9911-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8,439	8,576	8,637
1101 Direct military average strength employment	658	658	658
2001 Reimbursable civilian full-time equivalent employment	6,269	6,811	6,974
2101 Reimbursable military average strength employment	488	488	488
3001 Allocation account civilian full-time equivalent employment	25	25	25
3101 Allocation account military average strength employment	1	1	1

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of authorizing legislation, the Secretary shall charge a fee for innovative food products activities and over-the-counter monograph drug activities: Provided, That fees of \$28,000,000 for innovative food products shall be credited to this account and remain available until expended; \$28,400,000 for over-the-counter monograph drug activities shall be credited to this account and remain available until expended: Provided further, That, in addition to and notwithstanding any other provision under this heading, amounts collected for innovative food products and over-the-counter monograph drug user fees that exceed the respective fiscal year 2021 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from innovative food products and over-the-counter monograph drug reviews for fiscal year 2021 received during fiscal year 2021, including any such fees assessed prior to fiscal year 2021 but credited for fiscal year 2021, shall be subject to the fiscal year 2021 limitations: Provided further, That the Secretary may accept payment during fiscal year 2021 of user fees specified in this paragraph and authorized for fiscal year 2022, prior to the due date for such fees, and that amounts of such fees assessed for fiscal year 2022 for which the Secretary accepts payment in fiscal year 2021 shall not be included in amounts in this paragraph.

In addition, contingent upon the enactment of authorizing legislation establishing fees under 21 U.S.C. 387s with respect to products deemed under 21 U.S.C. 387a(b) but not specified in 21 U.S.C. 387s(b)(2)(B), the Secretary shall assess and collect such fees: Provided, That \$100,000,000 shall be derived from such fees, which shall be credited to this account and remain available until expended, in addition to amounts otherwise derived from fees authorized under 21 U.S.C. 387s.

Program and Financing (in millions of dollars)

Identification code 075-9911-2-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FDA Reimbursable program (Tobacco, OTC and Food innovation)			156
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			156
1900 Budget authority (total)			156
1930 Total budgetary resources available			156
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			156
3020 Outlays (gross)			-156
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			156
Outlays, gross:			
4010 Outlays from new discretionary authority			156
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources:			-156
4040 Offsets against gross budget authority and outlays (total)			-156
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The FY 2021 Budget proposes reforms to the Over-the-Counter Monograph program and includes a total of \$28 million in new over-the-counter drug fees. The Budget proposes a new user fee program to support innovative food activities totaling \$28 million. The Budget also includes a legislative proposal to increase the user fee collected in support of the tobacco

program by \$100 million and adds electronic nicotine delivery system manufacturers and importers as entities subject to the user fees.

Object Classification (in millions of dollars)

Identification code 075-9911-2-1-554	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent			5
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			7
12.1 Civilian personnel benefits			2
21.0 Travel and transportation of persons			3
25.1 Advisory and assistance services			12
25.2 Other services from non-Federal sources			91
25.3 Other goods and services from Federal sources			41
99.0 Reimbursable obligations			156
99.9 Total new obligations, unexpired accounts			156

Employment Summary

Identification code 075-9911-2-1-554	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment			52

FDA WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 075-4613-0-4-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	324	324	324
0801 Reimbursable program activity	257	295	295
0900 Total new obligations, unexpired accounts	581	619	619
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	33	33
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	609	619	619
1900 Budget authority (total)	609	619	619
1930 Total budgetary resources available	614	652	652
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	33	33
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		205	
3010 New obligations, unexpired accounts	581	619	619
3020 Outlays (gross)	-376	-824	-619
3050 Unpaid obligations, end of year	205		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		205	
3200 Obligated balance, end of year	205		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	609	619	619
Outlays, gross:			
4010 Outlays from new discretionary authority	376	619	619
4011 Outlays from discretionary balances		205	
4020 Outlays, gross (total)	376	824	619
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-609	-619	-619
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-233	205	

FDA WORKING CAPITAL FUND—Continued

Object Classification (in millions of dollars)

Identification code 075-4613-0-4-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	73	73	73
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	77	77	77
12.1 Civilian personnel benefits	26	26	26
12.2 Military personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	6	6	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	15	15	15
25.2 Other services from non-Federal sources	77	77	77
25.3 Other goods and services from Federal sources	54	54	54
25.4 Operation and maintenance of facilities	3	3	3
25.7 Operation and maintenance of equipment	56	56	56
26.0 Supplies and materials	4	4	4
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	324	324	324
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	58	58	58
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	61	61	61
12.1 Civilian personnel benefits	20	20	20
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	12	12	12
25.2 Other services from non-Federal sources	63	63	63
25.3 Other goods and services from Federal sources	43	81	81
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	44	44	44
26.0 Supplies and materials	3	3	3
41.0 Grants, subsidies, and contributions	1	1	1
43.0 Interest and dividends	1	1	1
99.0 Reimbursable obligations	257	295	295
99.9 Total new obligations, unexpired accounts	581	619	619

Employment Summary

Identification code 075-4613-0-4-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	697	697	697
1101 Direct military average strength employment	8	8	8
2001 Reimbursable civilian full-time equivalent employment	480	480	480
2101 Reimbursable military average strength employment	6	6	6

PAYMENT TO THE FDA INNOVATION ACCOUNT, CURES ACT

Program and Financing (in millions of dollars)

Identification code 075-0148-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	70	75	70
0900 Total new obligations, unexpired accounts (object class 94.0)	70	75	70
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	70	75	70
1930 Total budgetary resources available	70	75	70
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	70	75	70
3020 Outlays (gross)	-70	-75	-70

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	70	75	70
Outlays, gross:			
4100 Outlays from new mandatory authority	70	75	70
4180 Budget authority, net (total)	70	75	70
4190 Outlays, net (total)	70	75	70

This account, and a related special receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

FDA INNOVATION ACCOUNT, CURES ACT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the purposes described under section 1002(b)(4) of the 21st Century Cures Act, in addition to amounts available for such purposes under the heading "Salaries and Expenses", **[\$75,000,000] \$70,000,000**, to remain available until expended: *Provided*, That amounts appropriated in this paragraph are appropriated pursuant to section 1002(b)(3) of the 21st Century Cures Act, are to be derived from amounts transferred under section 1002(b)(2)(A) of such Act, and may be transferred by the Commissioner of Food and Drugs to the appropriation for "Department of Health and Human Services Food and Drug Administration Salaries and Expenses" solely for the purposes provided in such Act: *Provided further*, That upon a determination by the Commissioner that funds transferred pursuant to the previous proviso are not necessary for the purposes provided, such amounts may be transferred back to the account: *Provided further*, That such transfer authority is in addition to any other transfer authority provided by law. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 General Fund Payment, FDA Innovation, CURES Act	70	75	70
2000 Total: Balances and receipts	70	75	70
Appropriations:			
Current law:			
2101 FDA Innovation, Cures Act	-70	-75	-70
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 New Obligations for CURES Activities	58		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	38	38
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	70	75	70
1120 Appropriations transferred to other acct [075-9911]		-75	-70
1160 Appropriation, discretionary (total)	70		
1930 Total budgetary resources available	96	38	38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	38	38	38
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	42	2
3010 New obligations, unexpired accounts	58		
3020 Outlays (gross)	-43	-40	
3050 Unpaid obligations, end of year	42	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	42	2
3200 Obligated balance, end of year	42	2	2

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	70	
Outlays, gross:			
4010	Outlays from new discretionary authority	17	
4011	Outlays from discretionary balances	26	40
4020	Outlays, gross (total)	43	40
4180	Budget authority, net (total)	70	
4190	Outlays, net (total)	43	40

The 21st Century Cures Act was enacted into law on December 13, 2016. The Act includes authorities FDA can use to help modernize drug, biological product, and device product development and review and to create greater efficiencies and predictability in product development and review.

Object Classification (in millions of dollars)

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	13	
11.3	Other than full-time permanent	3	
11.5	Other personnel compensation	2	
11.9	Total personnel compensation	18	
12.1	Civilian personnel benefits	6	
25.2	Other services from non-Federal sources	15	
26.0	Supplies and materials	1	
41.0	Grants, subsidies, and contributions	18	
99.9	Total new obligations, unexpired accounts	58	

Employment Summary

Identification code 075-5629-0-2-554	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	136	

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

Identification code 075-4309-0-3-554	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Revolving Fund for Certification and Other Services (Reimbursable)	9	10	11
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	9	10	10
1802	Offsetting collections (previously unavailable)	1	1	1
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1	
1850	Spending auth from offsetting collections, mand (total)	9	10	11
1900	Budget authority (total)	9	10	11
1930	Total budgetary resources available	12	13	14
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	5	2
3010	New obligations, unexpired accounts	9	10	11
3020	Outlays (gross)	-8	-13	-11
3050	Unpaid obligations, end of year	5	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	5	2
3200	Obligated balance, end of year	5	2	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	9	10	11
Outlays, gross:				
4100	Outlays from new mandatory authority	5	10	11

4101	Outlays from mandatory balances	3	3	
4110	Outlays, gross (total)	8	13	11
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-9	-10	-10
4180	Budget authority, net (total)			1
4190	Outlays, net (total)	-1	3	1

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1	

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics. These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 075-4309-0-3-554	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	3	3	3
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	2	2
25.3	Other goods and services from Federal sources	1	1	1
25.4	Operation and maintenance of facilities	1	1	1
26.0	Supplies and materials	1	1	2
99.9	Total new obligations, unexpired accounts	9	10	11

Employment Summary

Identification code 075-4309-0-3-554	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	33	37	37

HEALTH RESOURCES AND SERVICES ADMINISTRATION

Federal Funds

PRIMARY HEALTH CARE

For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, **[\$1,626,522,000]** *\$1,728,522,000: Provided*, That no more than \$1,000,000 shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act: *Provided further*, That no more than \$120,000,000 shall be available until expended for carrying out subsections (g) through (n) and (q) of section 224 of the PHS Act, and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law.

HEALTH WORKFORCE

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, sections 1128E and 1921 of the Social Security Act, and the Health Care Quality Improvement Act of 1986, **[\$1,194,506,000]**, of which \$138,916,000 shall remain available through September 30, 2021 to carry out sections 750, 755, 756, 760, 781, and 791 of the PHS Act **[\$370,425,000: Provided**, That sections 751(j)(2) and 762(k) of the PHS Act and the proportional funding amounts in paragraphs (1) through (4) of section 756(f) of the PHS Act shall not apply to funds made available under this heading **]: Provided further**, That for any program operating under section 751 of the PHS Act on or before January 1, 2009, the Secretary of Health and Human Services (referred to in this title as the "Secretary") may hereafter waive any of the requirements contained in sections 751(d)(2)(A) and 751(d)(2)(B) of such Act for the full project period of a grant under such section: *Provided further*, That no funds shall be available for section 340G-1 of the PHS Act **]: Provided further**, That fees collected for the disclosure of information under section 427(b) of the Health Care Quality Improvement Act of 1986 and sections 1128E(d)(2) and 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the programs authorized by such sections and shall remain available until expended for the National Practitioner Data Bank: *Provided further*, That funds transferred to this account to carry out section 846 and subpart 3 of part D of title

HEALTH RESOURCES AND SERVICES—Continued

III of the PHS Act may be used to make prior year adjustments to awards made under such section and subpart: *Provided further*, That \$120,000,000 shall remain available until expended for the purposes of providing primary health services, assigning National Health Service Corps ("NHSC") members to expand the delivery of substance use disorder treatment services, notwithstanding the assignment priorities and limitations under sections 333(a)(1)(D), 333(b), and 333A(a)(1)(B)(ii) of the PHS Act, and making payments under the NHSC Loan Repayment Program under section 338B of such Act: *Provided further*, That, within the amount made available in the previous proviso, \$15,000,000 shall remain available until expended for the purposes of making payments under the NHSC Loan Repayment Program under section 338B of the PHS Act to individuals participating in such program who provide primary health services in Indian Health Service facilities, Tribally-Operated 638 Health Programs, and Urban Indian Health Programs (as those terms are defined by the Secretary), notwithstanding the assignment priorities and limitations under section 333(b) of such Act: *Provided further*, That for purposes of the previous [two provisos] proviso, section 331(a)(3)(D) of the PHS Act shall be applied as if the term "primary health services" includes clinical substance use disorder treatment services, including those provided by masters level, licensed substance use disorder treatment counselors: *Provided further*, That of the funds made available under this heading, \$5,000,000 shall be available to make grants to establish or expand optional community-based nurse practitioner fellowship programs that are accredited or in the accreditation process, with a preference for those in Federally Qualified Health Centers, for practicing postgraduate nurse practitioners in primary care or behavioral health].

[Of the funds made available under this heading, \$50,000,000 shall remain available until expended for grants to public institutions of higher education to expand or support graduate education for physicians provided by such institutions: *Provided*, That, in awarding such grants, the Secretary shall give priority to public institutions of higher education located in States with a projected primary care provider shortage in 2025, as determined by the Secretary: *Provided further*, That grants so awarded are limited to such public institutions of higher education in States in the top quintile of States with a projected primary care provider shortage in 2025, as determined by the Secretary: *Provided further*, That the minimum amount of a grant so awarded to such an institution shall be not less than \$1,000,000 per year: *Provided further*, That such a grant may be awarded for a period not to exceed 5 years: *Provided further*, That such a grant awarded with respect to a year to such an institution shall be subject to a matching requirement of non-Federal funds in an amount that is not less than 10 percent of the total amount of Federal funds provided in the grant to such institution with respect to such year.]

MATERNAL AND CHILD HEALTH

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health and title V of the Social Security Act, [\$943,784,000] \$919,018,000: *Provided*, That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than [\$119,116,000] \$132,593,000 shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and \$10,276,000 shall be available for projects described in subparagraphs (A) through (F) of section 501(a)(3) of such Act.

RYAN WHITE HIV/AIDS PROGRAM

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, [\$2,388,781,000] \$2,483,781,000, of which \$1,970,881,000 shall remain available to the Secretary through September 30, [2022] 2023, for parts A and B of title XXVI of the PHS Act, and of which not less than \$900,313,000 shall be for State AIDS Drug Assistance Programs under the authority of section 2616 or 311(c) of such Act; and of which [\$70,000,000] \$165,000,000, to remain available until expended, shall be available to the Secretary for carrying out a program of grants and contracts under title XXVI or section 311(c) of such Act focused on ending the nationwide HIV/AIDS epidemic, with any grants issued under such section 311(c) administered in conjunction with title XXVI of the PHS Act, including the limitation on administrative expenses.

HEALTH CARE SYSTEMS

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, [\$123,593,000, of which \$122,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center] \$102,033,000: *Provided*, That in addition to amounts provided herein, \$13,385,000 shall be from funds available under section 241 of the PHS Act to supplement funding for organ transplantation activities: *Provided further*, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: *Provided*

further, That fees pursuant to the 340B Drug Pricing Program shall be collected by the Secretary based on sales data that shall be submitted by drug manufacturers and shall be credited to this account to remain available until expended.

RURAL HEALTH

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act of 1969, and sections 711 and 1820 of the Social Security Act, [\$318,294,000, of which \$53,609,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: *Provided*, That of the funds made available under this heading for Medicare rural hospital flexibility grants, \$19,942,000 shall be available for the Small Rural Hospital Improvement Grant Program for quality improvement and adoption of health information technology and up to \$1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: *Provided further*, That notwithstanding section 338J(k) of the PHS Act, \$12,500,000 shall be available for State Offices of Rural Health: *Provided further*, That \$10,000,000 shall remain available through September 30, 2022, to support the Rural Residency Development Program: *Provided further*, That \$110,000,000 shall be for the Rural Communities Opioids Response Program] \$246,834,000.

FAMILY PLANNING

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, \$286,479,000: *Provided*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office.

PROGRAM MANAGEMENT

For program support in the Health Resources and Services Administration, [\$155,300,000] \$151,993,000: *Provided*, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health". (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Primary Health Care (Health Centers, Free Clinics)	1,644	1,707	1,729
0010 Primary Health Care (Mandatory)	4,047	2,576
0015 Health Workforce	1,091	1,324	370
0020 Health Workforce (Mandatory)	439	281
0025 Maternal and Child Health	923	944	919
0030 Maternal and Child Health (Mandatory)	6	6	6
0035 Ryan White HIV/AIDS	2,332	2,390	2,484
0040 Health Care Systems	114	124	102
0045 Rural Health	352	361	247
0050 Family Planning	285	286	286
0055 HRSA Program Management	155	155	152
0091 Direct program activities, subtotal	11,388	10,154	6,295
0300 Total direct programs	11,388	10,154	6,295
Credit program obligations:			
0702 Loan guarantee subsidy	1	2
0799 Total direct obligations	11,388	10,155	6,297
0801 Health Resources and Services (Reimbursable)	68	51	75
0802 HRSA Reimbursable program: PHS evaluation	13
0899 Total reimbursable obligations	68	51	88
0900 Total new obligations, unexpired accounts	11,456	10,206	6,385
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	454	460	201
1001 Discretionary unobligated balance brought fwd, Oct 1	336	345
1010 Unobligated balance transfer to other accts [075-0116]	-8
1010 Unobligated balance transfer to other accts [015-5606]	-5	-5	-5
1021 Recoveries of prior year unpaid obligations	72
1050 Unobligated balance (total)	513	455	196

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	6,844	7,037	6,289
1120	Appropriations transferred to other acct [075-1503]	-21		
1121	Appropriations transferred from other acct [075-0140]	80		
1160	Appropriation, discretionary (total)	6,903	7,037	6,289
Appropriations, mandatory:				
1200	Appropriation	4,443	2,863	6
Spending authority from offsetting collections, discretionary:				
1700	Collected	22	31	68
1701	Change in uncollected payments, Federal sources	10		
1750	Spending auth from offsetting collections, disc (total)	32	31	68
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (cash)(HPSSL&NSL)	27	20	20
1802	Offsetting collections (previously unavailable)	2	2	1
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-2	-1	
1850	Spending auth from offsetting collections, mand (total)	27	21	21
1900	Budget authority (total)	11,405	9,952	6,384
1930	Total budgetary resources available	11,918	10,407	6,580
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	460	201	195
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	7,969	8,278	8,025
3010	New obligations, unexpired accounts	11,456	10,206	6,385
3011	Obligations ("upward adjustments"), expired accounts	34		
3020	Outlays (gross)	-11,002	-10,459	-8,565
3040	Recoveries of prior year unpaid obligations, unexpired	-72		
3041	Recoveries of prior year unpaid obligations, expired	-107		
3050	Unpaid obligations, end of year	8,278	8,025	5,845
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-16	-16	-16
3070	Change in uncollected pymts, Fed sources, unexpired	-10		
3071	Change in uncollected pymts, Fed sources, expired	10		
3090	Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	7,953	8,262	8,009
3200	Obligated balance, end of year	8,262	8,009	5,829
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	6,935	7,068	6,357
Outlays, gross:				
4010	Outlays from new discretionary authority	2,247	2,297	2,040
4011	Outlays from discretionary balances	4,388	4,476	4,822
4020	Outlays, gross (total)	6,635	6,773	6,862
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-12	-12	-25
4033	Non-Federal sources	-23	-19	-43
4040	Offsets against gross budget authority and outlays (total)	-35	-31	-68
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-10		
4052	Offsetting collections credited to expired accounts	13		
4060	Additional offsets against budget authority only (total)	3		
4070	Budget authority, net (discretionary)	6,903	7,037	6,289
4080	Outlays, net (discretionary)	6,600	6,742	6,794
Mandatory:				
4090	Budget authority, gross	4,470	2,884	27
Outlays, gross:				
4100	Outlays from new mandatory authority	1,904	1,269	23
4101	Outlays from mandatory balances	2,463	2,417	1,680
4110	Outlays, gross (total)	4,367	3,686	1,703
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-27	-20	-20
4180	Budget authority, net (total)	11,346	9,901	6,296
4190	Outlays, net (total)	10,940	10,408	8,477
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	2	2	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	2	1	
5103	Unexpired unavailable balance, SOY: Fulfilled purpose	1	1	
5104	Unexpired unavailable balance, EOY: Fulfilled purpose	1		

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	11,346	9,901	6,296
Outlays	10,940	10,408	8,477
Legislative proposal, subject to PAYGO:			
Budget Authority		1,580	4,437
Outlays		689	2,771
Total:			
Budget Authority	11,346	11,481	10,733
Outlays	10,940	11,097	11,248

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Health centers: Facilities renovation loan guarantee levels		60	66
215999 Total loan guarantee levels		60	66
Guaranteed loan subsidy (in percent):			
232001 Health centers: Facilities renovation loan guarantee levels		2.57	2.78
232999 Weighted average subsidy rate		2.57	2.78
Guaranteed loan subsidy budget authority:			
233001 Health centers: Facilities renovation loan guarantee levels		2	2
233999 Total subsidy budget authority		2	2
Guaranteed loan reestimates:			
235001 Health centers: Facilities renovation loan guarantee levels	-1		
235999 Total guaranteed loan reestimates	-1		

Resources displayed here support grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers, treatment and care for those living with HIV/AIDS, health workforce training, maternal and child health care services, promotion of organ and bone marrow donation, rural health activities, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans, the program's revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the program's revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2018-2019 data reported in the Annual Operating Report.

Health Professions Revolving Loan Programs

Program	Federal Capital Contribution	Account Balance
HPSSL		436,419,789
NSL		201,922,803
PCL		216,454,324
LDS		187,255,691
Total		1,042,052,607

Object Classification (in millions of dollars)

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	205	199	161

HEALTH RESOURCES AND SERVICES—Continued
Object Classification—Continued

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
11.3 Other than full-time permanent	7	7	6
11.5 Other personnel compensation	5	5	4
11.7 Military personnel	20	18	14
11.9 Total personnel compensation	237	229	185
12.1 Civilian personnel benefits	67	64	52
12.2 Military personnel benefits	11	10	8
13.0 Benefits for former personnel	2	2	2
21.0 Travel and transportation of persons	4	4	3
23.1 Rental payments to GSA	20	20	16
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	4	2
25.1 Advisory and assistance services	12	12	9
25.2 Other services from non-Federal sources	266	268	210
25.3 Other goods and services from Federal sources	303	293	146
25.4 Operation and maintenance of facilities	1	1	1
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	5	5	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	8	6	5
32.0 Land and structures	4	4	4
41.0 Grants, subsidies, and contributions	10,304	9,122	5,538
42.0 Insurance claims and indemnities	135	107	108
99.0 Direct obligations	11,388	10,155	6,297
99.0 Reimbursable obligations	68	51	88
99.9 Total new obligations, unexpired accounts	11,456	10,206	6,385

Employment Summary

Identification code 075-0350-0-1-550	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,808	1,735	1,426
1101 Direct military average strength employment	191	178	144
2001 Reimbursable civilian full-time equivalent employment	52	52	68
2101 Reimbursable military average strength employment	1	1	1

HEALTH RESOURCES AND SERVICES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0350-4-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Primary Health Care (Mandatory)		1,425	4,000
0020 Health Workforce (Mandatory)		155	437
0091 Direct program activities, subtotal		1,580	4,437
0300 Total direct programs		1,580	4,437
0900 Total new obligations, unexpired accounts		1,580	4,437
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		1,580	4,437
1900 Budget authority (total)		1,580	4,437
1930 Total budgetary resources available		1,580	4,437
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			891
3010 New obligations, unexpired accounts		1,580	4,437
3020 Outlays (gross)		-689	-2,771
3050 Unpaid obligations, end of year		891	2,557
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			891
3200 Obligated balance, end of year		891	2,557
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		1,580	4,437
Outlays, gross:			
4100 Outlays from new mandatory authority		689	1,935
4101 Outlays from mandatory balances			836
4110 Outlays, gross (total)		689	2,771

4180 Budget authority, net (total)	1,580	4,437
4190 Outlays, net (total)	689	2,771

Resources displayed here support grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Health Centers and health workforce programs.

Object Classification (in millions of dollars)

Identification code 075-0350-4-1-550	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		17	48
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.7 Military personnel		2	6
11.9 Total personnel compensation		19	56
12.1 Civilian personnel benefits		6	16
12.2 Military personnel benefits		1	3
23.1 Rental payments to GSA			4
23.3 Communications, utilities, and miscellaneous charges		2	2
25.2 Other services from non-Federal sources		37	42
25.3 Other goods and services from Federal sources		112	122
25.7 Operation and maintenance of equipment			1
31.0 Equipment			3
41.0 Grants, subsidies, and contributions		1,403	4,188
99.9 Total new obligations, unexpired accounts		1,580	4,437

Employment Summary

Identification code 075-0350-4-1-550	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		130	365
1101 Direct military average strength employment		13	36

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

Identification code 075-0320-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	35	35
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	35	35	35
1930 Total budgetary resources available	35	35	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	35	35	35
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-2		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	2		
4170 Outlays, net (mandatory)	-2		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2		

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203 and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988, are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1,

1988, are reflected in the Vaccine Injury Compensation Program trust fund account.

COVERED COUNTERMEASURE PROCESS FUND

Program and Financing (in millions of dollars)

Identification code 075-0343-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Claims	1	1	1
0103 Admin Expense	2	2	2
0900 Total new obligations, unexpired accounts	2	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1011 Unobligated balance transfer from other acct (075-0140)	2	3	3
1050 Unobligated balance (total)	3	4	4
1930 Total budgetary resources available	3	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	2	3	3
3020 Outlays (gross)	-2	-3	-3
3050 Unpaid obligations, end of year	1	1	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2	3	3
4180 Budget authority, net (total)	2	3	3
4190 Outlays, net (total)	2	3	3

The Covered Countermeasure Process Fund is established pursuant to the Public Health Service (PHS) Act, as amended by Division C of Public Law 109-148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the PHS Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personnel Protection Act of 2003 (P.L. 108-20).

Object Classification (in millions of dollars)

Identification code 075-0343-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	1	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	2	3	3

Employment Summary

Identification code 075-0343-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3
1101 Direct military average strength employment	2	2	2

MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAMS

Program and Financing (in millions of dollars)

Identification code 075-0321-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Maternal, Infant, and Early Childhood Home Visiting Programs	402	376	400
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	26	26
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	28	26	26
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	400	400	400
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		-24	
1260 Appropriations, mandatory (total)	400	376	400
1930 Total budgetary resources available	428	402	426
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	26	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	748	758	748
3010 New obligations, unexpired accounts	402	376	400
3020 Outlays (gross)	-384	-386	-392
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	758	748	756
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	748	758	748
3200 Obligated balance, end of year	758	748	756
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	400	376	400
Outlays, gross:			
4100 Outlays from new mandatory authority	11	15	16
4101 Outlays from mandatory balances	373	371	376
4110 Outlays, gross (total)	384	386	392
4180 Budget authority, net (total)	400	376	400
4190 Outlays, net (total)	384	386	392

The Maternal, Infant and Early Childhood Home Visiting Program provides comprehensive services for at risk communities. These activities are administered by HRSA.

Object Classification (in millions of dollars)

Identification code 075-0321-0-1-551	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	5	5	5
11.9 Total personnel compensation	5	5	5
12.1 Civilian personnel benefits	2	2	2
25.1 Advisory and assistance services	14	13	13
41.0 Grants, subsidies, and contributions	381	356	380
99.9 Total new obligations, unexpired accounts	402	376	400

Employment Summary

Identification code 075-0321-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	36	36	36
1101 Direct military average strength employment	2	2	2

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4442-0-3-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3020 Outlays (gross)	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
Financing authority and disbursements, net:			
Mandatory:			
Financing disbursements:			
4110 Outlays, gross (total)	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1		

Status of Guaranteed Loans (in millions of dollars)

Identification code 075-4442-0-3-551	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2121 Limitation available from carry-forward	888	888	828
2143 Uncommitted limitation carried forward	-888	-828	-762
2150 Total guaranteed loan commitments		60	66
2199 Guaranteed amount of guaranteed loan commitments		48	53
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	60	34	83
2231 Disbursements of new guaranteed loans		60	66
2251 Repayments and prepayments	-26	-10	-10
Adjustments:			
2263 Terminations for default that result in claim payments		-1	-1
2264 Other adjustments, net			
2290 Outstanding, end of year	34	83	138
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	25	64	108

Public Law 104-299, Public Law 104-208, and Public Law 115-141 authorize Health Resources and Services Administration (HRSA) to guarantee up to \$1 billion in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation, and modernization of medical facilities. The program account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the program and financing schedule.

Balance Sheet (in millions of dollars)

Identification code 075-4442-0-3-551	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	1
1999 Total assets	1	1
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	1
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1	1

MEDICAL FACILITIES GUARANTEE AND LOAN FUND

Status of Direct Loans (in millions of dollars)

Identification code 075-9931-0-3-551	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	5	5	5
1290 Outstanding, end of year	5	5	5

Titles VI and XVI of the PHS Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in the event of default, \$30 million as a revolving fund for direct loans, and an amount for interest subsidy payments on guaranteed loans.

Balance Sheet (in millions of dollars)

Identification code 075-9931-0-3-551	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	5	5
1999 Total assets	5	5
LIABILITIES:		
2201 Non-Federal liabilities: Accounts payable	5	5
NET POSITION:		
3100 Unexpended appropriations		
3300 Cumulative results of operations		
3999 Total net position		
4999 Total liabilities and net position	5	5

Trust Funds

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund (the "Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed **[\$10,200,000]** \$16,200,000 shall be available from the Trust Fund to the Secretary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,684	3,818	3,921
0198 Adjustment to reconcile to budgetary accounting	4		
0199 Balance, start of year	3,688	3,818	3,921
Receipts:			
Current law:			
1110 Deposits, Vaccine Injury Compensation Trust Fund	280	303	309
1140 Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund	94	92	101
1199 Total current law receipts	374	395	410
1999 Total receipts	374	395	410
2000 Total: Balances and receipts	4,062	4,213	4,331
Appropriations:			
Current law:			
2101 Vaccine Injury Compensation Program Trust Fund	-28	-32	-45
2101 Vaccine Injury Compensation Program Trust Fund	-346	-260	-266
2135 Vaccine Injury Compensation Program Trust Fund	120		
2199 Total current law appropriations	-254	-292	-311
2999 Total appropriations	-254	-292	-311
4030 Vaccine Injury Compensation Program Trust Fund	9		
5098 Adjustment to reconcile to budgetary accounting	1		
5099 Balance, end of year	3,818	3,921	4,020

Program and Financing (in millions of dollars)

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Compensation: Claims for post - FY 1989 injuries	226	260	266
0103 Claims processing (Claims Court)	9	9	10
0104 Claims processing (HRSA)	9	10	16
0105 Claims processing (Dept. of Justice)	10	13	19
0191 Direct program activities, subtotal	28	32	45
0900 Total new obligations, unexpired accounts	254	292	311
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	9		
1035 Unobligated balance of appropriations withdrawn	-9		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	28	32	45
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	346	260	266
1235 Appropriations precluded from obligation (special or trust)	-120		
1260 Appropriations, mandatory (total)	226	260	266
1900 Budget authority (total)	254	292	311
1930 Total budgetary resources available	254	292	311
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	13	
3010 New obligations, unexpired accounts	254	292	311
3020 Outlays (gross)	-260	-305	-311
3050 Unpaid obligations, end of year	13		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	13	
3200 Obligated balance, end of year	13		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	28	32	45
Outlays, gross:			
4010 Outlays from new discretionary authority	21	32	45
4011 Outlays from discretionary balances	13		
4020 Outlays, gross (total)	34	32	45
Mandatory:			
4090 Budget authority, gross	226	260	266
Outlays, gross:			
4100 Outlays from new mandatory authority	226	260	266
4101 Outlays from mandatory balances		13	
4110 Outlays, gross (total)	226	273	266
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-9		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	9		
4160 Budget authority, net (mandatory)	226	260	266
4170 Outlays, net (mandatory)	217	273	266
4180 Budget authority, net (total)	254	292	311
4190 Outlays, net (total)	251	305	311
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	3,750	3,849	3,984
5001 Total investments, EOY: Federal securities: Par value	3,849	3,984	4,128

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
12.1 Civilian personnel benefits	1	1	1
25.3 Other goods and services from Federal sources	5	5	5

42.0 Insurance claims and indemnities	246	284	302
99.9 Total new obligations, unexpired accounts	254	292	311

Employment Summary

Identification code 075-8175-0-7-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	16	23
1101 Direct military average strength employment	5	5	5

INDIAN HEALTH SERVICE

Federal Funds

INDIAN HEALTH SERVICE

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination and Education Assistance Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, **[\$4,315,205,000] \$4,507,113,000** to remain available until September 30, **[2021] 2022**, except as otherwise provided herein, together with payments received during the fiscal year pursuant to sections 231(b) and 233 of the Public Health Service Act (42 U.S.C. 238(b) and 238b), for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That \$2,000,000 shall be available for grants or contracts with public or private institutions to provide alcohol or drug treatment services to Indians, including alcohol detoxification services: *Provided further*, That **[\$964,819,000] \$964,783,000** for Purchased/Referred Care, including \$53,000,000 for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: *Provided further*, That of the funds provided, up to **[\$40,000,000] \$44,000,000** shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That of the funds provided, **[\$125,000,000]** shall remain available until expended to supplement funds available for operational costs at tribal clinics operated under an Indian Self-Determination and Education Assistance Act compact or contract where health care is delivered in space acquired through a full service lease, which is not eligible for maintenance and improvement and equipment funds from the Indian Health Service, and **[\$58,000,000]** shall be for costs related to or resulting from accreditation emergencies, including supplementing activities funded under the heading "Indian Health Facilities," of which up to \$4,000,000 may be used to supplement amounts otherwise available for Purchased/Referred Care: *Provided further*, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited in the Fund authorized by section 108A of that Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of that Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of that Act (25 U.S.C. 1613a and 1616a): *Provided further*, That the amounts made available within this account for the Substance Abuse and Suicide Prevention Program, for Opioid Prevention, Treatment and Recovery Services, for the Domestic Violence Prevention Program, for the Zero Suicide Initiative, for **[the] recruitment and retention, including a housing subsidy authority for civilian employees, for Aftercare Pilot Programs at Youth Regional Treatment Centers, for transformation and modernization costs of the Indian Health Service Electronic Health Record system, for national quality and oversight activities, to improve collections from public and private insurance at Indian Health Service and tribally operated facilities, for an initiative to treat or reduce the transmission of HIV and HCV, for the Tele-behavioral Health Center of Excellence, for a maternal health initiative, for Community Health, and for accreditation emergencies** shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: *Provided further*, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for

INDIAN HEALTH SERVICES—Continued

those related to the planning, design, or construction of new facilities: *Provided further*, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That the Bureau of Indian Affairs may collect from the Indian Health Service, and from tribes and tribal organizations operating health facilities pursuant to Public Law 93–638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.): *Provided further*, That of the funds provided, \$72,280,000 is for the Indian Health Care Improvement Fund and may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account: *Provided further*, That none of the funds appropriated by this Act to the Indian Health Service for the Electronic Health Record system shall be available for obligation or expenditure for the selection or implementation of a new Information Technology infrastructure system, unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 90 days in advance of such obligation. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075–0390–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Clinical services	3,753	3,935	4,178
0002 Preventive health	165	178	142
0003 Urban health	49	58	49
0004 Indian health professions	60	65	52
0005 Tribal management	2	2
0006 Direct operations	70	72	81
0007 Self-governance	4	6	5
0009 Diabetes funds	150	97
0799 Total direct obligations	4,253	4,413	4,507
0801 Indian Health Services (Reimbursable)	1,641	1,704	1,771
0900 Total new obligations, unexpired accounts	5,894	6,117	6,278
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,219	1,510	1,606
1001 Discretionary unobligated balance brought fwd, Oct 1	1,192	1,504
1021 Recoveries of prior year unpaid obligations	192
1050 Unobligated balance (total)	1,411	1,510	1,606
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,103	4,315	4,507
Appropriations, mandatory:			
1200 Appropriation	150	97
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,738	1,801	1,868
1701 Change in uncollected payments, Federal sources	4
1750 Spending auth from offsetting collections, disc (total)	1,742	1,801	1,868
1900 Budget authority (total)	5,995	6,213	6,375
1930 Total budgetary resources available	7,406	7,723	7,981
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	1,510	1,606	1,703
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,139	1,199	767
3010 New obligations, unexpired accounts	5,894	6,117	6,278
3011 Obligations ("upward adjustments"), expired accounts	43
3020 Outlays (gross)	-5,670	-6,549	-6,341
3040 Recoveries of prior year unpaid obligations, unexpired	-192
3041 Recoveries of prior year unpaid obligations, expired	-15
3050 Unpaid obligations, end of year	1,199	767	704
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-106	-109	-109
3070 Change in uncollected pymts, Fed sources, unexpired	-4
3071 Change in uncollected pymts, Fed sources, expired	1
3090 Uncollected pymts, Fed sources, end of year	-109	-109	-109
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,033	1,090	658
3200 Obligated balance, end of year	1,090	658	595

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	5,845	6,116	6,375
Outlays, gross:			
4010 Outlays from new discretionary authority	4,504	5,339	5,564
4011 Outlays from discretionary balances	1,018	1,111	773
4020 Outlays, gross (total)	5,522	6,450	6,337
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-437	-436	-436
4033 Non-Federal sources	-1,302	-1,365	-1,432
4040 Offsets against gross budget authority and outlays (total)	-1,739	-1,801	-1,868
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4
4052 Offsetting collections credited to expired accounts	1
4060 Additional offsets against budget authority only (total)	-3
4070 Budget authority, net (discretionary)	4,103	4,315	4,507
4080 Outlays, net (discretionary)	3,783	4,649	4,469
Mandatory:			
4090 Budget authority, gross	150	97
Outlays, gross:			
4100 Outlays from new mandatory authority	52	93
4101 Outlays from mandatory balances	96	6	4
4110 Outlays, gross (total)	148	99	4
4180 Budget authority, net (total)	4,253	4,412	4,507
4190 Outlays, net (total)	3,931	4,748	4,473

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,253	4,412	4,507
Outlays	3,931	4,748	4,473
Legislative proposal, subject to PAYGO:			
Budget Authority	53	150
Outlays	51	146
Total:			
Budget Authority	4,253	4,465	4,657
Outlays	3,931	4,799	4,619

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. More than \$2.7 billion, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2021.

Object Classification (in millions of dollars)

Identification code 075–0390–0–1–551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	416	440	445
11.3 Other than full-time permanent	18	19	18
11.5 Other personnel compensation	66	68	68
11.7 Military personnel	56	58	57
11.9 Total personnel compensation	556	585	588
12.1 Civilian personnel benefits	166	175	174
12.2 Military personnel benefits	29	30	30
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	8	8	14
21.0 Patient travel	20	21	20
22.0 Transportation of things	6	6	6
23.1 Rental payments to GSA	14	14	14
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	10	10	10
25.1 Advisory and assistance services	5	5	5
25.2 Other services from non-Federal sources	291	301	384
25.3 Other goods and services from Federal sources	111	114	112
25.4 Operation and maintenance of facilities	2	2	2
25.6 Medical care	390	401	396
25.7 Operation and maintenance of equipment	9	9	9
25.8 Subsistence and support of persons	7	7	7
26.0 Supplies and materials	109	112	131
31.0 Equipment	8	8	45
41.0 Grants, subsidies, and contributions	2,495	2,588	2,543
42.0 Insurance claims and indemnities	15	15	15
99.0 Direct obligations	4,253	4,413	4,507
99.0 Reimbursable obligations	1,641	1,704	1,771

99.9	Total new obligations, unexpired accounts	5,894	6,117	6,278
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Employment Summary

Identification code 075-0390-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6,449	6,449	6,468
1101 Direct military average strength employment	1,530	1,530	1,531
2001 Reimbursable civilian full-time equivalent employment	6,102	6,102	6,102

INDIAN HEALTH SERVICES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0390-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 Diabetes funds		53	150
0799 Total direct obligations		53	150
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		53	150
1930 Total budgetary resources available		53	150
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			2
3010 New obligations, unexpired accounts		53	150
3020 Outlays (gross)		-51	-146
3050 Unpaid obligations, end of year		2	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			2
3200 Obligated balance, end of year		2	6
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		53	150
Outlays, gross:			
4100 Outlays from new mandatory authority		51	144
4101 Outlays from mandatory balances			2
4110 Outlays, gross (total)		51	146
4180 Budget authority, net (total)		53	150
4190 Outlays, net (total)		51	146

The Budget proposes to extend the Special Diabetes Program for Indians through fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 075-0390-4-1-551	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			2
11.9 Total personnel compensation			2
12.1 Civilian personnel benefits			1
25.6 Medical care			10
31.0 Equipment			1
41.0 Grants, subsidies, and contributions		53	136
99.0 Direct obligations		53	150
99.9 Total new obligations, unexpired accounts		53	150

Employment Summary

Identification code 075-0390-4-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			23

CONTRACT SUPPORT COSTS

For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Indian Health Service for fiscal year [2020] 2021, such sums as may be necessary:

Provided, That notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account: Provided further, That amounts obligated but not expended by a tribe or tribal organization for contract support costs for such agreements for the current fiscal year shall be applied to contract support costs due for such agreements for subsequent fiscal years. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0344-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contract Support Costs	799	855	855
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	799	855	855
1930 Total budgetary resources available	799	855	855
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	62	59	37
3010 New obligations, unexpired accounts	799	855	855
3011 Obligations ("upward adjustments"), expired accounts	38		
3020 Outlays (gross)	-830	-877	-892
3041 Recoveries of prior year unpaid obligations, expired	-10		
3050 Unpaid obligations, end of year	59	37	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	62	59	37
3200 Obligated balance, end of year	59	37	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	799	855	855
Outlays, gross:			
4010 Outlays from new discretionary authority	777	855	855
4011 Outlays from discretionary balances	53	22	37
4020 Outlays, gross (total)	830	877	892
4180 Budget authority, net (total)	799	855	855
4190 Outlays, net (total)	830	877	892

The Contract Support Costs account provides for the reasonable and allowable costs for direct program expenses for the operation of, and any additional administrative or other expense related to, the overhead incurred by tribes and tribal organizations who operate health programs through self-determination contracts and compacts. An estimated \$855 million in contract support costs funds will be provided to tribal governments and tribal organizations in 2021.

Object Classification (in millions of dollars)

Identification code 075-0344-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	799		
41.0 Grants, subsidies, and contributions		855	855
99.9 Total new obligations, unexpired accounts	799	855	855

PAYMENTS FOR TRIBAL LEASES

For payments to tribes and tribal organizations for leases pursuant to section 105(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5324(l)) for fiscal year 2021, such sums as may be necessary: Provided, That notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account.

Program and Financing (in millions of dollars)

Identification code 075-0200-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments for Tribal Leases			101

PAYMENTS FOR TRIBAL LEASES—Continued
Program and Financing—Continued

Identification code 075-0200-0-1-551	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)			101
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			101
1930 Total budgetary resources available			101
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			101
3020 Outlays (gross)			-101
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			101
Outlays, gross:			
4010 Outlays from new discretionary authority			101
4180 Budget authority, net (total)			101
4190 Outlays, net (total)			101

The Payments for Tribal Leases account provides for the reasonable and allowable costs for leases with a Tribe or tribal organization for a building owned or leased by the tribe or tribal organization that is used for administration or delivery of services under the Indian Self-Determination and Education Assistance Act. An estimated \$101 million in lease funds will be provided to tribal governments and tribal organizations in 2021.

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, *demolition*, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$911,889,000]** \$769,455,000 to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: *Provided further*, That not to exceed \$500,000 may be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development: *Provided further*, That not to exceed \$2,700,000 from this account and the "Indian Health Services" account may be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 may be placed in a Demolition Fund, to remain available until expended, and be used by the Indian Health Service for the demolition of Federal buildings. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Rent and Charges for Quarters, Indian Health Service	8	9	9
2000 Total: Balances and receipts	8	9	9
Appropriations:			
Current law:			
2101 Indian Health Facilities	-8	-9	-9

5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Maintenance	135	170	168
0002 Sanitation Facilities Construction	208	214	193
0003 Facilities and environmental health	244	261	259
0004 Equipment	24	28	24
0005 Health Care Facilities Construction	304	359	125
0100 Total direct program	915	1,032	769
0799 Total direct obligations	915	1,032	769
0801 Indian Health Facilities (Reimbursable)	8	9	9
0900 Total new obligations, unexpired accounts	923	1,041	778
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	621	630	569
1001 Discretionary unobligated balance brought fwd, Oct 1	617	614	
1021 Recoveries of prior year unpaid obligations	14		
1050 Unobligated balance (total)	635	630	569
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	879	912	769
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	8	9	9
Spending authority from offsetting collections, discretionary:			
1700 Collected	31	59	59
1900 Budget authority (total)	918	980	837
1930 Total budgetary resources available	1,553	1,610	1,406
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	630	569	628
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	678	862	990
3010 New obligations, unexpired accounts	923	1,041	778
3020 Outlays (gross)	-725	-913	-926
3040 Recoveries of prior year unpaid obligations, unexpired	-14		
3050 Unpaid obligations, end of year	862	990	842
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-18	-18	-18
3090 Uncollected pymts, Fed sources, end of year	-18	-18	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	660	844	972
3200 Obligated balance, end of year	844	972	824
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	910	971	828
Outlays, gross:			
4010 Outlays from new discretionary authority	349	333	290
4011 Outlays from discretionary balances	370	564	627
4020 Outlays, gross (total)	719	897	917
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-31	-59	-59
4040 Offsets against gross budget authority and outlays (total)	-31	-59	-59
Mandatory:			
4090 Budget authority, gross	8	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority	2	9	9
4101 Outlays from mandatory balances	4	7	
4110 Outlays, gross (total)	6	16	9
4180 Budget authority, net (total)	887	921	778
4190 Outlays, net (total)	694	854	867

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service. More than \$340 million, primarily through self-determination contracts and compacts, will be administered by tribal governments in 2021.

Object Classification (in millions of dollars)

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	47	48
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	20	21	22
11.9 Total personnel compensation	68	71	73
12.1 Civilian personnel benefits	16	17	17
12.2 Military personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	301	349	330
25.3 Other goods and services from Federal sources	4	3	4
25.4 Operation and maintenance of facilities	3	3	3
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	2	2	2
32.0 Land and structures	110	128	60
41.0 Grants, subsidies, and contributions	383	432	253
42.0 Insurance claims and indemnities	8	8	8
99.0 Direct obligations	914	1,032	769
99.0 Reimbursable obligations	9	9	9
99.9 Total new obligations, unexpired accounts	923	1,041	778

Employment Summary

Identification code 075-0391-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	946	946	946
1101 Direct military average strength employment	151	151	151
2001 Reimbursable civilian full-time equivalent employment	40	40	40

ADMINISTRATIVE PROVISIONS-INDIAN HEALTH SERVICE

Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary of Health and Human Services; uniforms or allowances therefor as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121, the Indian Sanitation Facilities Act and Public Law 93-638: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or approved by the House and Senate Committees on Appropriations through the reprogramming process: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450 et seq.), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department

of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead costs associated with the provision of goods, services, or technical assistance: *Provided further*, That the Indian Health Service may provide to civilian medical personnel serving in hospitals operated by the Indian Health Service housing allowances equivalent to those that would be provided to members of the Commissioned Corps of the United States Public Health Service serving in similar positions at such hospitals: *Provided further*, That [the appropriation structure for the Indian Health Service may not be altered without advance notification to the House and Senate Committees on Appropriations] *none of the funds made available in this Act may be used to compensate an Indian tribe or tribal organization for any lease under section 105(l) of the Indian Self-Determination and Education Assistance Act relating to a facility exceeding 40,000 square feet unless funds for the lease are specifically appropriated in advance for such purpose.* (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

CENTERS FOR DISEASE CONTROL AND PREVENTION

Federal Funds

IMMUNIZATION AND RESPIRATORY DISEASES

For carrying out titles II, III, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, [\$433,105,000] \$527,160,000.

HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION

For carrying out titles II, III, XVII, and XXIII of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, [\$1,273,556,000] \$1,552,556,000.

EMERGING AND ZOO NOTIC INFECTIOUS DISEASES

For carrying out titles II, III, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, [\$570,372,000] \$413,464,000: *Provided*, That of the amounts made available under this heading, up to \$1,000,000 shall remain available until expended to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine law.

CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

For carrying out titles II, III, XI, XV, XVII, and XIX of the PHS Act with respect to chronic disease prevention and health promotion, [\$984,964,000] \$359,145,000: *Provided*, That such amounts and any amounts transferred to this appropriation shall be available for the Director of the Centers for Disease Control and Prevention (CDC) to administer a program, to be known as The America's Health State Block Grant, to provide increased flexibility for States, territories, tribes, and tribal organizations to improve public health: *Provided further*, That for the purposes of carrying out such program, the Director may award grants to States, territories, tribes, and tribal organizations through a formula, as determined by the Director, that takes into account the population and disease burden of the grantee: *Provided further*, That the Director may set aside not more than 15 percent of the amounts awarded for grants described in the previous proviso for such purposes, to make grant awards on a competitive basis, to cities, Federally-recognized tribes, and public health entities serving rural and frontier areas or other entities: *Provided further*, That funds made available under this heading may be available for making grants under section 1509 of the PHS Act for not less than 21 States, tribes, or tribal organizations: *Provided further*, That of the funds made available under this heading, \$15,000,000 shall be available to continue and expand community specific extension and outreach programs to combat obesity in counties with the highest levels of obesity: *Provided*

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued

further, That the proportional funding requirements under section 1503(a) of the PHS Act shall not apply to funds made available under this heading.

BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH

For carrying out titles II, III, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, **[\$160,810,000]** \$112,250,000.

PUBLIC HEALTH SCIENTIFIC SERVICES

For carrying out titles II, III, and XVII of the PHS Act with respect to health statistics, surveillance, health informatics, and workforce development, **[\$555,497,000]** \$58,000,000: *Provided, That, in addition to amounts provided under this heading, \$463,000,000 is available to this appropriation, for the purposes under this heading, from amounts provided pursuant to section 241 of the PHS Act.*

ENVIRONMENTAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to environmental health, **[\$196,850,000]** \$182,000,000.

INJURY PREVENTION AND CONTROL

For carrying out titles II, III, and XVII of the PHS Act with respect to injury prevention and control, **[\$677,379,000]** and for carrying out the Drug-Free Communities Support Program (the Program) authorized by chapter 2 of subtitle A of title I of the National Narcotics Leadership Act of 1988 (chapter 2), \$730,159,000: *Provided, That the Secretary shall have the same authorities as those delegated by chapter 2 to the Office of National Drug Control Policy (ONDCP), the Director of ONDCP, and the Administrator of the Program: Provided further, That the Secretary may make grants to, or enter into cooperative agreements with, states, territories and Indian tribes for such entities to make subgrants under the Program to eligible coalitions, as defined in section 1023 of chapter 2: Provided further, That for such purpose, the Secretary may delegate to such entities those of the Secretary's authorities under subchapter I of chapter 2 and the previous proviso that the Secretary considers necessary or appropriate for efficient and effective management of the Program: Provided further, That the limitation on administrative costs in section 1024(b) of chapter 2 shall not apply to amounts made available under this heading.*

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

For carrying out titles II, III, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, **[\$342,800,000]** \$111,362,000: *Provided, That, in addition to amounts provided herein, \$78,638,000 shall be available to this appropriation, for the purposes under this heading, from amounts provided pursuant to section 241 of the PHS Act.*

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,358,000, to remain available until expended: *Provided, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106-554.*

GLOBAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to global health, **[\$570,843,000]** \$532,222,000, of which: (1) **[\$128,421,000]** \$69,547,000 shall remain available through September 30, **[2021]** 2022, for international HIV/AIDS; and (2) **[\$173,400,000]** \$225,000,000 shall **[remain]** be available **[through September 30, 2022]** for global **[disease detection and emergency response]** public health protection: *Provided, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.*

PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, **[\$850,200,000]** \$802,000,000: *Provided, That the Director of the Centers for Disease Control and Prevention (referred to in this title as "CDC") or the Administrator of the Agency for Toxic Substances and Disease Registry may detail staff without reimbursement for up to 180 days to support an activation of the CDC Emergency Operations Center, so long as the Director or Administrator, as applicable, provides a notice to the Committees on Appropriations of the House of Representatives and the Senate within 15 days of the use of this authority and a full report within 30 days after use of this authority which includes the number of staff and funding level broken down by the originating center and number of days detailed:*

Provided further, That funds appropriated under this heading may be used to support a contract for the operation and maintenance of an aircraft in direct support of activities throughout CDC to ensure the agency is prepared to address public health preparedness emergencies].

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

(INCLUDING TRANSFER OF FUNDS)

For carrying out titles II, III, XVII and XIX, and section 2821 of the PHS Act and for cross-cutting activities and program support for activities funded in other appropriations included in this Act for the Centers for Disease Control and Prevention, **[\$198,570,000]** \$155,000,000, of which up to \$5,000,000 may be transferred to the reserve of the Working Capital Fund authorized under this heading in division F of Public Law 112-74: *Provided, That paragraphs (1) through (3) of subsection (b) of section 2821 of the PHS Act shall not apply to funds appropriated under this heading and in all other accounts of the CDC: Provided further, That of the amounts made available under this heading, \$50,000,000 shall be transferred to and merged with the Infectious Diseases Rapid Response Reserve Fund established by section 231 of division B of Public Law 115-245: Provided further, That any amounts made available by this Act to the Centers for Disease Control and Prevention may be used to support the purchase, hire, maintenance, and operation of an aircraft for use and support of the activities of CDC: Provided further, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: Provided further, That CDC may use up to \$10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: Provided further, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: Provided further, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program and the Respirator Certification Program shall be available through September 30, **[2021]** 2022. (Department of Health and Human Services Appropriations Act, 2020.)*

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, Centers for Disease Control	1	2	2
2000 Total: Balances and receipts	1	2	3
Appropriations:			
Current law:			
2101 CDC-wide Activities and Program Support	-1	-1	-1
5099 Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Birth Defects, Developmental Disabilities, Disability and Health (0958)	155	161	112
0002 CDC-Wide Activities and Program Support (0943)	483	359	155
0004 Chronic Disease Prevention and Health Promotion (0948)	1,196	1,240	813
0005 Emerging and Zoonotic Infectious Diseases (0949)	619	636	550
0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA) (0954)	52	55	55
0007 Environmental Health (0947)	213	214	182
0008 Global Health (0955)	480	571	532
0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention (0950)	1,130	1,274	1,553
0013 Immunization and Respiratory Diseases (0951)	838	790	830
0015 Injury Prevention and Control (0952)	702	677	730
0016 Occupational Safety and Health (0953)	335	343	111
0019 Public Health Preparedness and Response (0956)	860	827	802
0020 Public Health Scientific Services (0959)	494	578	58
0021 Cooperative Research and Development Agreements (CRADA) (5146)	1	1	1
0799 Total direct obligations	7,558	7,726	6,484
0802 CDC-Wide Activities and Program Support (Reimbursable)	158	366	399
0809 Reimbursable program activities, subtotal	158	366	399

0900	Total new obligations, unexpired accounts	7,716	8,092	6,883
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	934	307	224
1001	Discretionary unobligated balance brought fwd, Oct 1	439	174	
1010	Unobligated balance transfer to other accts [075-0960]	-7		
1010	Unobligated balance transfer to other accts [075-0140]	-425		
1010	Unobligated balance transfer to other accts [075-0140]	-50		
1011	Unobligated balance transfer from other acct [075-0140]	10		
1012	Unobligated balance transfers between expired and unexpired accounts	36		
1021	Recoveries of prior year unpaid obligations	111		
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	610	307	224
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	7,058	6,815	5,535
1120	Appropriations transferred to other acct [075-1503]	-14		
1120	Appropriations transferred to other acct [075-0140]	-604		
1120	Appropriations transferred to other acct [075-4553]	-9		
1120	Appropriations transferred to other acct [075-0945]	-50	-85	-50
1121	Appropriations transferred from other acct [075-0140]	20		
1160	Appropriation, discretionary (total)	6,401	6,730	5,485
Appropriations, mandatory:				
1200	Appropriation (075-0954 - EEOICPA)	55	55	55
1201	Appropriation (075-5146 CRADA)	1	1	1
1221	Appropriations transferred from other acct PPHF [075-0116]	805	854	894
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-5		
1260	Appropriations, mandatory (total)	856	910	950
Spending authority from offsetting collections, discretionary:				
1700	Collected	137	366	399
1701	Change in uncollected payments, Federal sources	24		
1750	Spending auth from offsetting collections, disc (total)	161	366	399
Spending authority from offsetting collections, mandatory:				
1800	Collected	4	3	3
1900	Budget authority (total)	7,422	8,009	6,837
1930	Total budgetary resources available	8,032	8,316	7,061
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-9		
1941	Unexpired unobligated balance, end of year	307	224	178
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6,934	6,671	7,189
3010	New obligations, unexpired accounts	7,716	8,092	6,883
3011	Obligations ("upward adjustments"), expired accounts	38		
3020	Outlays (gross)	-7,395	-7,574	-7,879
3030	Unpaid obligations transferred to other accts [075-0140]	-242		
3040	Recoveries of prior year unpaid obligations, unexpired	-111		
3041	Recoveries of prior year unpaid obligations, expired	-269		
3050	Unpaid obligations, end of year	6,671	7,189	6,193
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-127	-108	-108
3070	Change in uncollected pymts, Fed sources, unexpired	-24		
3071	Change in uncollected pymts, Fed sources, expired	43		
3090	Uncollected pymts, Fed sources, end of year	-108	-108	-108
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6,807	6,563	7,081
3200	Obligated balance, end of year	6,563	7,081	6,085
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	6,562	7,096	5,884
Outlays, gross:				
4010	Outlays from new discretionary authority	2,454	3,050	2,558
4011	Outlays from discretionary balances	4,121	3,658	4,401
4020	Outlays, gross (total)	6,575	6,708	6,959
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-167	-366	-399
4033	Non-Federal sources	-12		
4040	Offsets against gross budget authority and outlays (total)	-179	-366	-399
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-24		
4052	Offsetting collections credited to expired accounts	41		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		

4060	Additional offsets against budget authority only (total)	18		
4070	Budget authority, net (discretionary)	6,401	6,730	5,485
4080	Outlays, net (discretionary)	6,396	6,342	6,560
Mandatory:				
4090	Budget authority, gross	860	913	953
Outlays, gross:				
4100	Outlays from new mandatory authority	146	218	226
4101	Outlays from mandatory balances	674	648	694
4110	Outlays, gross (total)	820	866	920
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-4	-3	-3
4180	Budget authority, net (total)	7,257	7,640	6,435
4190	Outlays, net (total)	7,212	7,205	7,477

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization and respiratory diseases, HIV/AIDS, Hepatitis, STDs, and Tuberculosis prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, public health and scientific services, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, public health preparedness and emergency response, and CDC-wide activities and program support. The FY 2021 Budget provides \$371 million for CDC to undertake the second year of the Ending the HIV Epidemic initiative. The FY 2021 Budget maintains the proposal to establish a new block grant, proposed at \$350 million, to increase flexibility for States to address their population's unique public health needs. The FY 2021 Budget provides \$175 million for global health security activities to protect Americans through partnerships and other activities that support public health capacity improvements in countries at risk for uncontrolled outbreaks of infectious diseases.

Object Classification (in millions of dollars)

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	766	744	761
11.3	Other than full-time permanent	112	99	101
11.5	Other personnel compensation	39	38	38
11.7	Military personnel	70	65	68
11.8	Special personal services payments	6	5	5
11.9	Total personnel compensation	993	951	973
12.1	Civilian personnel benefits	305	291	291
12.2	Military personnel benefits	55	53	54
13.0	Benefits for former personnel	2	2	2
21.0	Travel and transportation of persons	59	42	42
22.0	Transportation of things	9	7	7
23.1	Rental payments to GSA	6	4	4
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	9	5	5
24.0	Printing and reproduction	3	3	3
25.1	Advisory and assistance services	811	784	607
25.2	Other services from non-Federal sources	105	107	78
25.3	Other goods and services from Federal sources	890	899	662
25.4	Operation and maintenance of facilities	14	11	8
25.5	Research and development contracts	41	38	27
25.6	Medical care	31	33	24
25.7	Operation and maintenance of equipment	33	30	22
26.0	Supplies and materials	129	125	127
31.0	Equipment	70	63	63
32.0	Land and structures	1		
41.0	Grants, subsidies, and contributions	3,984	4,269	3,476
42.0	Insurance claims and indemnities	7	8	8
99.0	Direct obligations	7,558	7,726	6,484
99.0	Reimbursable obligations	158	366	399
99.9	Total new obligations, unexpired accounts	7,716	8,092	6,883

Employment Summary

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	8,486	8,477	8,630
1101	Direct military average strength employment	807	812	812

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued

Employment Summary—Continued

Identification code 075-0943-0-1-999	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	198	197	197
2101 Reimbursable military average strength employment	27	27	27

BUILDINGS AND FACILITIES

[(INCLUDING TRANSFER OF FUNDS)]

For any cost related to the acquisition of real property, equipment, construction, installation, demolition, and renovation of facilities, [\$25,000,000] \$30,000,000, which shall remain available until September 30, [2024: Provided, That funds made available to this account in this or any prior Act that are available for the acquisition of real property or for construction or improvement of facilities shall be available to make improvements on non-federally owned property, provided that any improvements that are not adjacent to federally owned property do not exceed \$2,500,000, and that the primary benefit of such improvements accrues to CDC: Provided further, That funds previously set-aside by CDC for repair and upgrade of the Lake Lynn Experimental Mine and Laboratory shall be used to acquire a replacement mine safety research facility: Provided further, That in addition, the prior year unobligated balance of any amounts assigned to former employees in accounts of CDC made available for Individual Learning Accounts shall be credited to and merged with the amounts made available under this heading to support the replacement of the mine safety research facility] 2025. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0960-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CDC Buildings and Facilities (0960)	153	25	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	500	384	384
1011 Unobligated balance transfer from other acct [075-0943]	7		
1050 Unobligated balance (total)	507	384	384
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	25	30
1930 Total budgetary resources available	537	409	414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	384	384	384
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	144	109
3010 New obligations, unexpired accounts	153	25	30
3020 Outlays (gross)	-35	-60	-49
3050 Unpaid obligations, end of year	144	109	90
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	26	144	109
3200 Obligated balance, end of year	144	109	90
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	25	30
Outlays, gross:			
4010 Outlays from new discretionary authority	2	10	12
4011 Outlays from discretionary balances	33	50	37
4020 Outlays, gross (total)	35	60	49
4180 Budget authority, net (total)	30	25	30
4190 Outlays, net (total)	35	60	49

Object Classification (in millions of dollars)

Identification code 075-0960-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
25.4 Operation and maintenance of facilities	8	8	8
32.0 Land and structures	144	16	21

99.9 Total new obligations, unexpired accounts	153	25	30
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CDC WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 075-4553-0-4-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 CDC Working Capital Fund (Reimbursable)	591	569	569
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	50	54	73
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	62	54	73
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [075-0943]	9		
Spending authority from offsetting collections, discretionary:			
1700 Collected	573	588	532
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	574	588	532
1900 Budget authority (total)	583	588	532
1930 Total budgetary resources available	645	642	605
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	54	73	36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	198	210	197
3010 New obligations, unexpired accounts	591	569	569
3020 Outlays (gross)	-567	-582	-550
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	210	197	216
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	196	207	194
3200 Obligated balance, end of year	207	194	213
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	583	588	532
Outlays, gross:			
4010 Outlays from new discretionary authority	396	388	351
4011 Outlays from discretionary balances	171	194	199
4020 Outlays, gross (total)	567	582	550
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-573	-588	-532
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4070 Budget authority, net (discretionary)	9		
4080 Outlays, net (discretionary)	-6	-6	18
4180 Budget authority, net (total)	9		
4190 Outlays, net (total)	-6	-6	18

Implemented in fiscal year 2014, CDC's Working Capital Fund has extended availability and serves as the funding mechanism to finance centralized business services support across CDC. Services rendered under the fund are performed at pre-established rates that are used to cover the full cost of operations and future investments. Contributions are collected for services, thereby creating market-like incentives to maximize efficiency and quality.

Object Classification (in millions of dollars)

Identification code 075-4553-0-4-551	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	148	148	148
11.3 Other than full-time permanent	3	4	4
11.5 Other personnel compensation	3	4	4

11.7	Military personnel	4	3	3
11.9	Total personnel compensation	158	159	159
12.1	Civilian personnel benefits	50	50	50
12.2	Military personnel benefits	2	2	2
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	2	2	2
23.1	Rental payments to GSA	33	32	32
23.3	Communications, utilities, and miscellaneous charges	17	22	22
25.1	Advisory and assistance services	34	31	31
25.2	Other services from non-Federal sources	86	77	77
25.3	Other goods and services from Federal sources	82	68	68
25.4	Operation and maintenance of facilities	53	10	10
25.7	Operation and maintenance of equipment	53	52	52
26.0	Supplies and materials	1	1	1
31.0	Equipment	10	12	12
32.0	Land and structures	9	50	50
99.9	Total new obligations, unexpired accounts	591	569	569

Employment Summary

Identification code 075-4553-0-4-551	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,484	1,484	1,484
2101 Reimbursable military average strength employment	34	34	34

INFECTIOUS DISEASES RAPID RESPONSE RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 075-0945-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		85	50
0900 Total new obligations, unexpired accounts (object class 41.0)		85	50
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		50	50
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [075-0943]	50	85	50
1930 Total budgetary resources available	50	135	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	50	50	50
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			35
3010 New obligations, unexpired accounts		85	50
3020 Outlays (gross)		-50	-85
3050 Unpaid obligations, end of year		35	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			35
3200 Obligated balance, end of year		35	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	50	85	50
Outlays, gross:			
4011 Outlays from discretionary balances		50	85
4180 Budget authority, net (total)	50	85	50
4190 Outlays, net (total)		50	85

The FY 2021 Budget provides \$50 million for the Infectious Diseases Rapid Response Reserve Fund within the Centers for Disease Control and Prevention. This Fund will provide the ability to respond efficiently and rapidly to emerging infectious disease threats or outbreaks.

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and section 3019 of the Solid Waste Disposal Act, **[\$76,691,000]** \$62,000,000: *Provided*, That notwithstanding any other provision of law, in lieu of

performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited healthcare providers: *Provided further*, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: *Provided further*, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year **[2020]** 2021, and existing profiles may be updated as necessary. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Agency for Toxic Substances and Disease Registry, Toxic Substance (Direct)	87	62	62
0801 Agency for Toxic Substances and Disease Registry, Toxic Substance (Reimbursable)	3		
0900 Total new obligations, unexpired accounts	90	62	62
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	18	36
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	75	77	62
1121 Appropriations transferred from other acct [097-0100]	10		
1160 Appropriation, discretionary (total)	85	77	62
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	3
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	3	3	3
1900 Budget authority (total)	88	80	65
1930 Total budgetary resources available	108	98	101
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	36	39
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	64	70	48
3010 New obligations, unexpired accounts	90	62	62
3020 Outlays (gross)	-81	-84	-69
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	70	48	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-15	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	49	58	36
3200 Obligated balance, end of year	58	36	29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	88	80	65
Outlays, gross:			
4010 Outlays from new discretionary authority	49	52	42
4011 Outlays from discretionary balances	30	32	27
4020 Outlays, gross (total)	79	84	69
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-6	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	5		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	85	77	62
4080 Outlays, net (discretionary)	73	81	66
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	2		
4180 Budget authority, net (total)	85	77	62

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH—Continued
Program and Financing—Continued

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	75	81	66

Object Classification (in millions of dollars)

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	14	14
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	1		
11.7 Military personnel	3	3	3
11.9 Total personnel compensation	28	18	18
12.1 Civilian personnel benefits	8	5	5
12.2 Military personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	5	9	9
25.2 Other services from non-Federal sources	5	3	3
25.3 Other goods and services from Federal sources	16	15	15
25.7 Operation and maintenance of equipment	1		
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	21	9	9
99.0 Direct obligations	87	62	62
99.0 Reimbursable obligations	3		
99.9 Total new obligations, unexpired accounts	90	62	62

Employment Summary

Identification code 075-0944-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	198	198	198
1101 Direct military average strength employment	28	28	28
2001 Reimbursable civilian full-time equivalent employment	2	2	2
2101 Reimbursable military average strength employment	1	1	1

WORLD TRADE CENTER HEALTH PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 World Trade Center Health Program—Federal Share (CDC/NIOSH)	530	541	540
0002 World Trade Center Health Program—NYC	59	60	60
0900 Total new obligations, unexpired accounts	589	601	600

Budgetary resources:

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	873	797	735
1012 Unobligated balance transfers between expired and unexpired accounts	7		
1021 Recoveries of prior year unpaid obligations	3		
1033 Recoveries of prior year paid obligations	14		
1050 Unobligated balance (total)	897	797	735
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (WTC (CDC Direct))	402	485	501
1200 Appropriation (WTC—NYC DHSS—CDC)	45	54	56
1260 Appropriations, mandatory (total)	447	539	557
Spending authority from offsetting collections, mandatory:			
1800 Collected	42		
1900 Budget authority (total)	489	539	557
1930 Total budgetary resources available	1,386	1,336	1,292
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	797	735	692

Change in obligated balance:

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	224	327	528
3010 New obligations, unexpired accounts	589	601	600
3020 Outlays (gross)	-483	-400	-479

3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	327	528	649
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	224	327	528
3200 Obligated balance, end of year	327	528	649

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	489	539	557
Outlays, gross:			
4100 Outlays from new mandatory authority		248	256
4101 Outlays from mandatory balances	483	152	223
4110 Outlays, gross (total)	483	400	479
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-63		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	7		
4143 Recoveries of prior year paid obligations, unexpired accounts	14		
4150 Additional offsets against budget authority only (total)	21		
4160 Budget authority, net (mandatory)	447	539	557
4170 Outlays, net (mandatory)	420	400	479
4180 Budget authority, net (total)	447	539	557
4190 Outlays, net (total)	420	400	479

HHS, along with CDC, began implementing provisions of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC serves as the Program Administrator for the World Trade Center (WTC) Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The WTC Health Program has been extended through FY 2090 under the James Zadroga 9/11 Health and Compensation Reauthorization Act of 2015 (P.L. 114-113, Division O, Title III). The amounts included for 2020 and 2021 in the Budget reflect estimated Federal obligations for the WTC Health Program.

Object Classification (in millions of dollars)

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	5	5	5
12.1 Civilian personnel benefits	2	2	2
12.2 Military personnel benefits	1	1	1
25.1 Advisory and assistance services	39	39	39
25.2 Other services from non-Federal sources	105	105	105
25.3 Other goods and services from Federal sources	12	12	12
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	24	24	24
42.0 Insurance claims and indemnities	399	411	410
99.9 Total new obligations, unexpired accounts	589	601	600

Employment Summary

Identification code 075-0946-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	38	43	43
1101 Direct military average strength employment	7	7	7

NATIONAL INSTITUTES OF HEALTH

Federal Funds

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cancer, **[\$6,245,442,000]** \$5,686,173,000, of which up to \$30,000,000 may be used for facilities repairs and improvements at the National Cancer Institute-Frederick Federally Funded Research and Development Center in Frederick, Maryland.

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$3,624,258,000]** \$3,298,004,000.

NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to dental and craniofacial diseases, **[\$477,429,000]** \$434,559,000.

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, **[\$2,114,314,000]** \$1,924,211,000.

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, **[\$2,374,687,000]** \$2,195,110,000.

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, **[\$5,885,470,000]** \$5,445,886,000.

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, **[\$2,937,218,000]** \$2,672,074,000, of which **[\$1,230,821,000]** \$741,000,000 shall be from funds available under section 241 of the PHS Act: *Provided*, That not less than **[\$386,573,000]** \$351,781,000 is provided for the Institutional Development Awards program.

EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, **[\$1,556,879,000]** \$1,416,366,000.

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, **[\$824,090,000]** \$749,003,000.

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, **[\$802,598,000]** \$730,147,000. (*Department of Health and Human Services Appropriations Act, 2020.*)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (42 U.S.C. 9660(a)) and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, **[\$81,000,000]** \$73,688,000. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the PHS Act with respect to aging, **[\$3,543,673,000]** \$3,225,782,000.

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, **[\$624,889,000]** \$568,480,000.

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, **[\$490,692,000]** \$446,397,000.

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, **[\$169,113,000]** \$156,804,000.

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, **[\$545,373,000]** \$497,346,000.

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, **[\$1,462,016,000]** \$1,431,770,000.

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to mental health, **[\$1,968,374,000]** \$1,794,865,000.

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, **[\$606,349,000]** \$550,116,000.

NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, **[\$403,638,000]** \$368,111,000.

NATIONAL CENTER FOR COMPLEMENTARY AND INTEGRATIVE HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to complementary and integrative health, **[\$151,740,000]** \$138,167,000.

NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, **[\$335,812,000]** \$305,498,000: *Provided*, That funds may be used to implement a reorganization that is presented to an advisory council in a public meeting and for which the Committees on Appropriations of the House of Representatives and the Senate have been notified 30 days in advance.

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), **[\$80,760,000]** \$73,531,000.

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, **[\$456,911,000]** \$415,665,000: *Provided*, That of the amounts available for improvement of information systems, \$4,000,000 shall be available until September 30, **[2021]** 2022: *Provided further*, That in fiscal year **[2020]** 2021, the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH").

NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, **[\$832,888,000]** \$787,703,000: *Provided*, That up to **[\$60,000,000]** 10 percent of the amounts made available under this heading shall be available to implement section 480 of the PHS Act, relating to the Cures Acceleration Network: *Provided further*, That at least \$578,141,000 is provided to the Clinical and Translational Sciences Awards program.]

OFFICE OF THE DIRECTOR

(INCLUDING TRANSFER OF FUNDS)

For carrying out the responsibilities of the Office of the Director, NIH, **[\$2,239,787,000]** \$2,086,463,000: *Provided*, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: *Provided further*, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *Provided further*, That **[\$180,000,000]** \$168,763,500 shall be for the Environmental Influences on Child Health Outcomes study: *Provided further*, That **[\$626,511,000]** \$583,867,000 shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: *Provided further*, That of the funds provided, \$10,000 shall be for official reception and representation expenses when specifically approved by the Director of the NIH: *Provided further*, That the Office of AIDS Research within the Office of the Director of the NIH may spend up to \$8,000,000 to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act: *Provided further*, That \$50,000,000 shall be used to carry out section 404I of the PHS Act (42 U.S.C. 283K), relating to biomedical and behavioral research facilities: *Provided further*, That \$5,000,000 shall be transferred to and merged with the appropriation for the "Office of Inspector General" for oversight of grant programs and operations of the NIH, including agency efforts to ensure the integrity of its grant application evaluation and selection processes, and shall be in addition to funds otherwise made available for oversight of the NIH: *Provided further*, That the funds provided in the previous proviso may be transferred from one specified activity to another with 15 days prior approval of the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That the Inspector General shall consult with the Committees on Appropriations of the House of Representatives and the Senate before submitting to the Committees an audit plan for fiscal years 2020 and 2021 no later than 30 days after the date of enactment of this Act: *Provided further*, That amounts available under this heading are also available to establish, operate, and support the Research Policy Board authorized by section 2034(f) of the 21st Century Cures Act.

In addition to other funds appropriated for the Common Fund established under section 402A(c) of the PHS Act, \$12,600,000 is appropriated to the Common Fund

NATIONAL INSTITUTES OF HEALTH—Continued

from the 10-year Pediatric Research Initiative Fund described in section 9008 of title 26, United States Code, for the purpose of carrying out section 402(b)(7)(B)(ii) of the PHS Act (relating to pediatric research), as authorized in the Gabriella Miller Kids First Research Act.

BUILDINGS AND FACILITIES

For the study of, construction of, demolition of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, **[\$200,000,000]** \$300,000,000, to remain available through September 30, **[2024]** 2025.

NATIONAL INSTITUTE FOR RESEARCH ON SAFETY AND QUALITY

For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$256,660,000: Provided, That section 947(c) of the PHS Act shall not apply in fiscal year 2021: Provided further, That in addition, amounts received from Freedom of Information Act fees, reimbursable and inter-agency agreements, and the sale of data shall be credited to this appropriation and shall remain available until expended. (Department of Health and Human Services Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Cooperative Research and Development Agreements, NIH	51	68	51
2000 Total: Balances and receipts	51	68	51
Appropriations:			
Current law:			
2101 National Institutes of Health	-51	-68	-51
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Cancer Institute (0849)	5,994	6,606	5,881
0002 National Heart, Lung, and Blood Institute (0872)	3,482	3,625	3,298
0003 National Institute of Dental and Craniofacial Research (0873)	461	478	435
0004 National Institute of Diabetes and Digestive and Kidney Disease (0884)	2,025	2,115	1,924
0005 National Institute of Neurological Disorders and Stroke (0886)	2,414	2,449	2,245
0006 National Institute of Allergy and Infectious Diseases (0885)	5,567	5,897	5,446
0007 National Institute of General Medical Sciences (0851)	1,675	1,706	1,931
0008 National Institute of Child Health and Human Development (0844)	1,509	1,557	1,416
0009 National Eye Institute (0887)	794	823	749
0010 National Institute of Environmental Health Sciences (0862)	851	884	804
0011 National Institute on Aging (0843)	3,080	3,546	3,226
0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888)	603	625	568
0013 National Institute on Deafness and Other Communication Disorder (0890)	473	491	446
0014 National Institute of Mental Health (0892)	1,870	2,045	1,845
0015 National Institute on Drug Abuse (0893)	1,621	1,458	1,432
0016 National Institute on Alcohol Abuse and Alcoholism (0894)	525	547	497
0017 National Institute of Nursing Research (0889)	163	172	157
0018 National Human Genome Research Institute (0891)	575	604	550
0019 National Institute of Biomedical Imaging and Bioengineering (0898)	388	405	368
0021 National Center for Complementary and Integrative Health (0896)	146	152	138
0022 National Institute on Minority Health and Health Disparities (0897)	313	336	305
0023 John E. Fogarty International Center (0819)	78	81	74
0024 National Library of Medicine (0807)	442	457	416
0025 NIH Office of the Director (0846)	1,926	2,247	2,099
0026 NIH Buildings and facilities (0838)	211	200	300
0027 NIH Cooperative Research and Development Agreements	47	68	51
0028 National Center for Advancing Translational Sciences (0875)	847	833	788
0029 National Institute for Research on Safety and Quality			257
0031 Type 1 Diabetes	74	297	
0799 Total direct obligations	38,154	40,704	37,646
0801 NIH Reimbursable - Other	4,360	4,520	3,909

0802 NIH Royalties	130	129	129
0809 Reimbursable program activities, subtotal	4,490	4,649	4,038
0899 Total reimbursable obligations	4,490	4,649	4,038
0900 Total new obligations, unexpired accounts	42,644	45,353	41,684

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,475	1,145	754
1001 Discretionary unobligated balance brought fwd, Oct 1	1,226	862	
1011 Unobligated balance transfer from other acct [075-0140]	15		
1011 Unobligated balance transfer from other acct [075-1700]			16
1021 Recoveries of prior year unpaid obligations	94		
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	1,586	1,145	770
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	37,294	39,805	37,287
1120 Appropriations transferred to other acct [075-1503]	-124		
1120 Appropriations transferred to other acct [075-0128]	-5	-5	
1121 Appropriations transferred from other acct [075-5628]	515	335	295
1121 Appropriations transferred from other acct [075-5736]	13	13	13
1160 Appropriation, discretionary (total)	37,693	40,148	37,595
Appropriations, mandatory:			
1200 Appropriation	150	97	
1201 Appropriation (special or trust fund)	51	68	51
1260 Appropriations, mandatory (total)	201	165	51
Spending authority from offsetting collections, discretionary:			
1700 Collected	3,999	4,649	4,038
1701 Change in uncollected payments, Federal sources	317		
1750 Spending auth from offsetting collections, disc (total)	4,316	4,649	4,038
Spending authority from offsetting collections, mandatory:			
1800 Collected	3		
1900 Budget authority (total)	42,213	44,962	41,684
1930 Total budgetary resources available	43,799	46,107	42,454
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10		
1941 Unexpired unobligated balance, end of year	1,145	754	770

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37,409	40,480	43,800
3010 New obligations, unexpired accounts	42,644	45,353	41,684
3011 Obligations ("upward adjustments"), expired accounts	389		
3020 Outlays (gross)	-39,069	-42,033	-43,642
3040 Recoveries of prior year unpaid obligations, unexpired	-94		
3041 Recoveries of prior year unpaid obligations, expired	-799		
3050 Unpaid obligations, end of year	40,480	43,800	41,842
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-564	-650	-650
3070 Change in uncollected pymts, Fed sources, unexpired	-317		
3071 Change in uncollected pymts, Fed sources, expired	231		
3090 Uncollected pymts, Fed sources, end of year	-650	-650	-650
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	36,845	39,830	43,150
3200 Obligated balance, end of year	39,830	43,150	41,192

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	42,009	44,797	41,633
Outlays, gross:			
4010 Outlays from new discretionary authority	12,483	14,636	13,864
4011 Outlays from discretionary balances	26,382	27,270	29,620
4020 Outlays, gross (total)	38,865	41,906	43,484
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3,906	-4,649	-4,038
4033 Non-Federal sources	-298		
4040 Offsets against gross budget authority and outlays (total)	-4,204	-4,649	-4,038
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-317		
4052 Offsetting collections credited to expired accounts	203		
4053 Recoveries of prior year paid obligations, unexpired accounts	2		
4060 Additional offsets against budget authority only (total)	-112		
4070 Budget authority, net (discretionary)	37,693	40,148	37,595
4080 Outlays, net (discretionary)	34,661	37,257	39,446
Mandatory:			
4090 Budget authority, gross	204	165	51

Outlays, gross:				
4100	Outlays from new mandatory authority	24	22	13
4101	Outlays from mandatory balances	180	105	145
4110	Outlays, gross (total)	204	127	158
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-3		
4180	Budget authority, net (total)	37,894	40,313	37,646
4190	Outlays, net (total)	34,862	37,384	39,604

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	37,894	40,313	37,646
Outlays	34,862	37,384	39,604
Legislative proposal, subject to PAYGO:			
Budget Authority		53	150
Outlays		3	23
Total:			
Budget Authority	37,894	40,366	37,796
Outlays	34,862	37,387	39,627

This program funds biomedical research and research training. These accounts will continue to be appropriated separately and are displayed in a consolidated format to improve the readability of the presentation. The FY 2021 Budget consolidates the activities of the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality. Detailed information is available through the Department of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	1,008	1,091	1,131
11.3	Other than full-time permanent	527	562	571
11.5	Other personnel compensation	49	52	54
11.7	Military personnel	18	19	20
11.8	Special personal services payments	199	210	210
11.9	Total personnel compensation	1,801	1,934	1,986
12.1	Civilian personnel benefits	528	600	632
12.2	Military personnel benefits	14	15	15
21.0	Travel and transportation of persons	61	64	54
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	24	25	23
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	20	20	15
25.1	Advisory and assistance services	229	307	236
25.2	Other services from non-Federal sources	1,502	1,567	1,216
25.3	Other goods and services from Federal sources	3,496	3,763	3,549
25.4	Operation and maintenance of facilities	232	241	328
25.5	Research and development contracts	1,577	1,739	1,544
25.6	Medical care	44	38	34
25.7	Operation and maintenance of equipment	173	174	153
26.0	Supplies and materials	258	273	230
31.0	Equipment	188	200	164
32.0	Land and structures		41	25
41.0	Grants, subsidies, and contributions	28,002	29,697	27,436
99.0	Direct obligations	38,155	40,704	37,646
99.0	Reimbursable obligations	4,489	4,649	4,038
99.9	Total new obligations, unexpired accounts	42,644	45,353	41,684

Employment Summary

Identification code 075-9915-0-1-552	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	12,540	13,258	13,467
1101	Direct military average strength employment	172	173	177
2001	Reimbursable civilian full-time equivalent employment	4,371	4,507	4,507
2101	Reimbursable military average strength employment	98	98	98

NATIONAL INSTITUTES OF HEALTH
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-9915-4-1-552	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Type 1 Diabetes		53	150
0900	Total new obligations, unexpired accounts (object class 41.0)		53	150
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation		53	150
1930	Total budgetary resources available		53	150
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			50
3010	New obligations, unexpired accounts		53	150
3020	Outlays (gross)		-3	-23
3050	Unpaid obligations, end of year		50	177
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			50
3200	Obligated balance, end of year		50	177
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross		53	150
Outlays, gross:				
4100	Outlays from new mandatory authority		3	12
4101	Outlays from mandatory balances			11
4110	Outlays, gross (total)		3	23
4180	Budget authority, net (total)		53	150
4190	Outlays, net (total)		3	23

The Budget proposes to extend the Special Diabetes Program for Type 1 Diabetes through FY 2021.

PAYMENT TO THE NIH INNOVATION ACCOUNT, CURES ACT

Program and Financing (in millions of dollars)

Identification code 075-0147-0-1-552	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Payment to NIH Innovation (object class 94.0)	711	492	404
0900	Total new obligations, unexpired accounts (object class 94.0)	711	492	404
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	711	492	404
1930	Total budgetary resources available	711	492	404
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	711	492	404
3020	Outlays (gross)	-711	-492	-404
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	711	492	404
Outlays, gross:				
4100	Outlays from new mandatory authority	711	492	404
4180	Budget authority, net (total)	711	492	404
4190	Outlays, net (total)	711	492	404

This account, and a related special fund receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

NIH INNOVATION ACCOUNT, CURES ACT
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the purposes described in section 1001(b)(4) of the 21st Century Cures Act, in addition to amounts available for such purposes in the appropriations provided to the NIH in this Act, **[\$492,000,000] \$404,000,000**, to remain available until expended: *Provided*, That such amounts are appropriated pursuant to section 1001(b)(3) of such Act, are to be derived from amounts transferred under section 1001(b)(2)(A) of such Act, and may be transferred by the Director of the National Institutes of Health to other accounts of the National Institutes of Health solely for the purposes provided in such Act: *Provided further*, That upon a determination by the Director that funds transferred pursuant to the previous proviso are not necessary for the purposes provided, such amounts may be transferred back to the Account: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided by law. (*Department of Health and Human Services Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 General Fund Payment, NIH Innovation, CURES Act	711	492	404
2000 Total: Balances and receipts	711	492	404
Appropriations:			
Current law:			
2101 NIH Innovation, Cures Act	-711	-492	-404
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CURES obligations	185	216	109
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	48	59	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	711	492	404
1120 Appropriations transferred to other acct [075-9915]	-515	-335	-295
1160 Appropriation, discretionary (total)	196	157	109
1930 Total budgetary resources available	244	216	109
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	59		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	95	228	264
3010 New obligations, unexpired accounts	185	216	109
3020 Outlays (gross)	-52	-180	-180
3050 Unpaid obligations, end of year	228	264	193
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	228	264
3200 Obligated balance, end of year	228	264	193
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	196	157	109
Outlays, gross:			
4010 Outlays from new discretionary authority	17	31	23
4011 Outlays from discretionary balances	35	149	157
4020 Outlays, gross (total)	52	180	180
4180 Budget authority, net (total)	196	157	109
4190 Outlays, net (total)	52	180	180

The 21st Century Cures Act was enacted into law on December 13, 2016. The 21st Century Cures Act authorizes \$4.8 billion over 10 years for four NIH Innovation Projects and includes amendments to the Public Health Service Act to advance Precision Medicine and other high-priority NIH activities. Amounts appropriated into the NIH Innovation Account are

either transferred to the individual institutes and centers or obligated directly in the NIH Innovation Account.

Object Classification (in millions of dollars)

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	6	10
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	6	7	11
12.1 Civilian personnel benefits	2	3	4
25.3 Other goods and services from Federal sources		6	1
25.5 Research and development contracts	3		
41.0 Grants, subsidies, and contributions	174	200	93
99.9 Total new obligations, unexpired accounts	185	216	109

Employment Summary

Identification code 075-5628-0-2-552	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	45	64	89
1101 Direct military average strength employment	1	1	1

10-YEAR PEDIATRIC RESEARCH INITIATIVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5736-0-2-552	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		28	28
Receipts:			
Current law:			
1140 Transfers from Presidential Election Campaign Fund	41	13	
2000 Total: Balances and receipts	41	41	28
Appropriations:			
Current law:			
2101 10-Year Pediatric Research Initiative Fund	-13	-13	-13
5099 Balance, end of year	28	28	15

Program and Financing (in millions of dollars)

Identification code 075-5736-0-2-552	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	13	13	13
1120 Appropriations transferred to other acct [075-9915]	-13	-13	-13
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This special fund was created by the Gabriella Miller Kids First Research Act, enacted on April 3, 2014. This fund receives transfers from the Presidential Election Campaign Fund, which are then appropriated to the NIH Common Fund to support pediatric research.

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION

Federal Funds

MENTAL HEALTH

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, **[\$1,644,974,000] \$1,675,106,000**: *Provided*, [That of the funds made available under this heading, \$68,887,000 shall be for the National Child Traumatic Stress Initiative: *Provided further*,] That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: *Provided further*, That in addition to amounts provided herein, \$21,039,000 shall be available under section 241 of the PHS Act to supplement funds otherwise available for mental health activities and to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical

assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: *Provided further, That \$35,000,000 of the amounts appropriated for subpart I of part B shall be available to support evidence-based crisis systems: Provided further, That up to 10 percent of the amounts made available to carry out the Children's Mental Health Services program may be used to carry out demonstration grants or contracts for early interventions with persons not more than 25 years of age at clinical high risk of developing a first episode of psychosis*: *Provided further, That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated in this Act for fiscal year 2020: Provided further, That States shall expend at least 10 percent of the amount each receives for carrying out section 1911 of the PHS Act to support evidence-based programs that address the needs of individuals with early serious mental illness, including psychotic disorders, regardless of the age of the individual at onset*: *Provided further, That \$200,000,000 \$225,000,000 shall be available until September 30, [2022] 2023 for grants to communities and community organizations who meet criteria for Certified Community Behavioral Health Clinics pursuant to section 223(a) of Public Law 113–93: Provided further, That none of the funds provided for section 1911 of the PHS Act shall be subject to section 241 of such Act: Provided further, That of the funds made available under this heading, \$19,000,000 \$25,000,000 shall be to carry out section 224 of the Protecting Access to Medicare Act of 2014 (Public Law 113–93; 42 U.S.C. 290aa 22 note).*

SUBSTANCE ABUSE TREATMENT

For carrying out titles III and V of the PHS Act with respect to substance abuse treatment and title XIX of such Act with respect to substance abuse treatment and prevention, and the SUPPORT for Patients and Communities Act, \$3,756,556,000 \$3,728,556,000: *Provided, That \$1,500,000,000 \$1,585,000,000 shall be for State Opioid Response Grants for carrying out activities pertaining to opioids and stimulants undertaken by the State agency responsible for administering the substance abuse prevention and treatment block grant under subpart II of part B of title XIX of the PHS Act (42 U.S.C. 300x–21 et seq.): Provided further, That of such amount \$50,000,000 shall be made available to Indian Tribes or tribal organizations: Provided further, That 15 percent of the remaining amount shall be for the States with the highest mortality rate related to opioid use disorders: Provided further, That of the amounts provided for State Opioid Response Grants not more than 2 percent shall be available for Federal administrative expenses, training, technical assistance, and evaluation: Provided further, That of the amount not reserved by the previous three provisos, the Secretary shall make allocations to States, territories, and the District of Columbia according to a formula using national survey results that the Secretary determines are the most objective and reliable measure of drug use and drug-related deaths*: *Provided further, That the Secretary shall submit the formula methodology to the Committees on Appropriations of the House of Representatives and the Senate not less than 15 days prior to publishing a Funding Opportunity Announcement*: *Provided further, That prevention and treatment activities funded through such grants may include education, treatment (including the provision of medication), behavioral health services for individuals in treatment programs, referral to treatment services, recovery support, and medical screening associated with such treatment: Provided further, That each State, as well as the District of Columbia, shall receive not less than \$4,000,000: Provided further, That in addition to amounts provided herein, the following amounts \$79,200,000 shall be available under section 241 of the PHS Act: (1) \$79,200,000 to supplement funds otherwise available for substance abuse treatment activities and to carry out subpart II of part B of title XIX of the PHS Act to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX; and (2) \$2,000,000 to evaluate substance abuse treatment programs*: *Provided further, That none of the funds provided for section 1921 of the PHS Act or State Opioid Response Grants shall be subject to section 241 of such Act.*

SUBSTANCE ABUSE PREVENTION

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention, \$206,469,000 \$96,985,000.

HEALTH SURVEILLANCE AND PROGRAM SUPPORT

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration, \$128,830,000 \$97,004,000: *Provided, That in addition to amounts provided herein, \$31,428,000 \$42,453,000 shall be available under section 241 of the PHS Act to supplement funds available*

to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical assistance activities: *Provided further, That, in addition, fees may be collected for the costs of publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this appropriation and shall remain available until expended for such purposes: Provided further, That amounts made available in this Act for carrying out section 501(o) of the PHS Act shall remain available through September 30, [2021] 2022: Provided further, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention". (Department of Health and Human Services Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 075–1362–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Mental Health	1,493	1,633	1,675
0007 Substance Abuse Treatment	3,738	3,757	3,729
0008 Substance Abuse Prevention	205	206	97
0009 Health Surveillance and Program Support	132	129	97
0011 SAMHSA Prevention Fund	12	12
0100 Total, direct program	5,580	5,737	5,598
0799 Total direct obligations	5,580	5,737	5,598
0802 SAMHSA Reimbursables	121	2	2
0810 SAMHSA Reimbursable: PHS Evaluation	134	134	143
0899 Total reimbursable obligations	255	136	145
0900 Total new obligations, unexpired accounts	5,835	5,873	5,743
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	130	178
1001 Discretionary unobligated balance brought fwd, Oct 1	20	130
1010 Unobligated balance transfer to other accts [075–0116]	–8
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	14	130	178
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,597	5,736	5,598
1120 Appropriations transferred to other acct [075–1503]	–9
1121 Appropriations transferred from other acct [075–0140]	100
1160 Appropriation, discretionary (total)	5,688	5,736	5,598
Appropriations, mandatory:			
1221 Appropriations transferred from the Prevention and Public Health Fund [075–0116]	12	12
Spending authority from offsetting collections, discretionary:			
1700 Collected	135	173	173
1701 Change in uncollected payments, Federal sources	121
1750 Spending auth from offsetting collections, disc (total)	256	173	173
1900 Budget authority (total)	5,956	5,921	5,771
1930 Total budgetary resources available	5,970	6,051	5,949
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–5
1941 Unexpired unobligated balance, end of year	130	178	206
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,125	7,309	6,618
3010 New obligations, unexpired accounts	5,835	5,873	5,743
3011 Obligations ("upward adjustments"), expired accounts	49
3020 Outlays (gross)	–4,557	–6,564	–6,157
3040 Recoveries of prior year unpaid obligations, unexpired	–2
3041 Recoveries of prior year unpaid obligations, expired	–141
3050 Unpaid obligations, end of year	7,309	6,618	6,204
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–164	–187	–187
3070 Change in uncollected pymts, Fed sources, unexpired	–121
3071 Change in uncollected pymts, Fed sources, expired	98
3090 Uncollected pymts, Fed sources, end of year	–187	–187	–187
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,961	7,122	6,431
3200 Obligated balance, end of year	7,122	6,431	6,017
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,944	5,909	5,771

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 075-1362-0-1-551	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	976	1,649	1,610
4011 Outlays from discretionary balances	3,570	4,906	4,539
4020 Outlays, gross (total)	4,546	6,555	6,149
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-229	-171	-171
4033 Non-Federal sources		-2	-2
4040 Offsets against gross budget authority and outlays (total)	-229	-173	-173
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-121		
4052 Offsetting collections credited to expired accounts	94		
4060 Additional offsets against budget authority only (total)	-27		
4070 Budget authority, net (discretionary)	5,688	5,736	5,598
4080 Outlays, net (discretionary)	4,317	6,382	5,976
Mandatory:			
4090 Budget authority, gross	12	12	
Outlays, gross:			
4100 Outlays from new mandatory authority		4	
4101 Outlays from mandatory balances	11	5	8
4110 Outlays, gross (total)	11	9	8
4180 Budget authority, net (total)	5,700	5,748	5,598
4190 Outlays, net (total)	4,328	6,391	5,984

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with States, communities, tribal organizations, and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities.

Object Classification (in millions of dollars)

Identification code 075-1362-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	43	48	49
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	2	1	1
11.7 Military personnel	3	3	4
11.9 Total personnel compensation	50	54	56
12.1 Civilian personnel benefits	14	15	15
12.2 Military personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	37	34	35
25.2 Other services from non-Federal sources	101	95	63
25.3 Other goods and services from Federal sources	39	36	37
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	5,327	5,491	5,381
99.0 Direct obligations	5,580	5,737	5,599
99.0 Reimbursable obligations	255	136	144
99.9 Total new obligations, unexpired accounts	5,835	5,873	5,743

Employment Summary

Identification code 075-1362-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	452	463	467
1101 Direct military average strength employment	30	31	32
2001 Reimbursable civilian full-time equivalent employment	9	104	108
2101 Reimbursable military average strength employment		8	8

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY

Federal Funds

HEALTHCARE RESEARCH AND QUALITY

【For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$338,000,000: *Provided*, That section 947(c) of the PHS Act shall not apply in fiscal year 2020: *Provided further*, That in addition, amounts received from Freedom of Information Act fees, reimbursable and inter-agency agreements, and the sale of data shall be credited to this appropriation and shall remain available until September 30, 2021.】 (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1700-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research on Health Costs, Quality and Outcomes	196	190	
0002 Medical Expenditure Panel Survey	70	70	
0003 AHRQ Program Support	71	71	
0799 Total direct obligations	337	331	
0803 Research on Health Costs, Quality and Outcomes (Reimbursable)	31	31	
0899 Total reimbursable obligations	31	31	
0900 Total new obligations, unexpired accounts	368	362	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	16	16
1001 Discretionary unobligated balance brought fwd, Oct 1	1	1	
1010 Unobligated balance transfer to other accts [075-9915]			-16
1050 Unobligated balance (total)	23	16	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	338	338	
1120 Appropriations transferred to other acct [075-1503]	-1		
1160 Appropriation, discretionary (total)	337	338	
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	
1701 Change in uncollected payments, Federal sources	14	14	
1750 Spending auth from offsetting collections, disc (total)	16	16	
Spending authority from offsetting collections, mandatory:			
1800 Collected	8	8	
1900 Budget authority (total)	361	362	
1930 Total budgetary resources available	384	378	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	16	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	335	344	438
3010 New obligations, unexpired accounts	368	362	
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-350	-268	-303
3041 Recoveries of prior year unpaid obligations, expired	-12		
3050 Unpaid obligations, end of year	344	438	135
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-35	-28	-21
3070 Change in uncollected pymts, Fed sources, unexpired	-14	-14	
3071 Change in uncollected pymts, Fed sources, expired	21	21	
3090 Uncollected pymts, Fed sources, end of year	-28	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	300	316	417
3200 Obligated balance, end of year	316	417	114
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	353	354	
Outlays, gross:			
4010 Outlays from new discretionary authority	127	134	
4011 Outlays from discretionary balances	217	118	303
4020 Outlays, gross (total)	344	252	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-20	

4040	Offsets against gross budget authority and outlays (total)	-20	-20
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-14	-14
4052	Offsetting collections credited to expired accounts	18	18
4060	Additional offsets against budget authority only (total)	4	4
4070	Budget authority, net (discretionary)	337	338
4080	Outlays, net (discretionary)	324	232	303
	Mandatory:			
4090	Budget authority, gross	8	8
	Outlays, gross:			
4100	Outlays from new mandatory authority		8
4101	Outlays from mandatory balances	6	8
4110	Outlays, gross (total)	6	16
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4123	Non-Federal sources	-8	-8
4180	Budget authority, net (total)	337	338
4190	Outlays, net (total)	322	240	303

The FY 2021 Budget consolidates the activities of the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality.

Object Classification (in millions of dollars)

Identification code 075-1700-0-1-552	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	30	32
11.3	Other than full-time permanent	4	4
11.5	Other personnel compensation	1
11.7	Military personnel	1	1
11.9	Total personnel compensation	36	37
12.1	Civilian personnel benefits	11	11
12.2	Military personnel benefits	1
23.1	Rental payments to GSA	3	3
25.2	Other services from non-Federal sources	10	10
25.3	Other goods and services from Federal sources	22	18
25.5	Research and development contracts	141	133
31.0	Equipment	1	1
41.0	Grants, subsidies, and contributions	113	117
99.0	Direct obligations	337	331
99.0	Reimbursable obligations	31	31
99.9	Total new obligations, unexpired accounts	368	362

Employment Summary

Identification code 075-1700-0-1-552	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	251	267
1101	Direct military average strength employment	5	5
2001	Reimbursable civilian full-time equivalent employment	1	1
3001	Allocation account civilian full-time equivalent employment	7	7

CENTERS FOR MEDICARE AND MEDICAID SERVICES
Federal Funds

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$273,188,478,000] \$313,904,098,000**, to remain available until expended.

[For making.] In addition, for carrying out such titles after May 31, **[2020**, payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act **2021**, for the last quarter of fiscal year **[2020] 2021** for unanticipated costs incurred for the current fiscal year, such sums as may be necessary, *to remain available until expended.*

[For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act] In addition, for carrying out such titles for the first quarter of fiscal year **[2021, \$139,903,075,000] 2022, \$148,732,315,000**, to remain available until expended.

Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to

such quarter and approved in that or any subsequent quarter. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0512-0-1-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Medicaid Vendor Payments	430,962	448,384	465,149
0002	State and local administration	23,090	22,340	23,169
0003	Vaccines for Children	4,161	4,418	4,951
0799	Total direct obligations	458,213	475,142	493,269
0900	Total new obligations, unexpired accounts (object class 41.0)	458,213	475,142	493,269
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	15,403	14,678
1021	Recoveries of prior year unpaid obligations	34,290	37,147	38,208
1033	Recoveries of prior year paid obligations	10,932
1050	Unobligated balance (total)	60,625	51,825	38,208
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	276,236	284,243	313,904
Advance appropriations, mandatory:				
1270	Advance appropriation	134,848	137,932	139,903
Spending authority from offsetting collections, mandatory:				
1800	Collected	1,053	1,142	1,254
1801	Change in uncollected payments, Federal sources	129
1850	Spending auth from offsetting collections, mand (total)	1,182	1,142	1,254
1900	Budget authority (total)	412,266	423,317	455,061
1930	Total budgetary resources available	472,891	475,142	493,269
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	14,678
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	46,079	48,596	38,208
3010	New obligations, unexpired accounts	458,213	475,142	493,269
3020	Outlays (gross)	-421,406	-448,383	-453,032
3040	Recoveries of prior year unpaid obligations, unexpired	-34,290	-37,147	-38,208
3050	Unpaid obligations, end of year	48,596	38,208	40,237
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-724	-853	-853
3070	Change in uncollected pymts, Fed sources, unexpired	-129
3090	Uncollected pymts, Fed sources, end of year	-853	-853	-853
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	45,355	47,743	37,355
3200	Obligated balance, end of year	47,743	37,355	39,384
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	412,266	423,317	455,061
Outlays, gross:				
4100	Outlays from new mandatory authority	379,389	414,441	448,147
4101	Outlays from mandatory balances	42,017	33,942	4,885
4110	Outlays, gross (total)	421,406	448,383	453,032
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1,053	-1,142	-1,254
4123	Non-Federal sources	-10,932
4130	Offsets against gross budget authority and outlays (total)	-11,985	-1,142	-1,254
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-129
4143	Recoveries of prior year paid obligations, unexpired accounts	10,932
4150	Additional offsets against budget authority only (total)	10,803
4160	Budget authority, net (mandatory)	411,084	422,175	453,807
4170	Outlays, net (mandatory)	409,421	447,241	451,778
4180	Budget authority, net (total)	411,084	422,175	453,807
4190	Outlays, net (total)	409,421	447,241	451,778

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	411,084	422,175	453,807
Outlays	409,421	447,241	451,778

GRANTS TO STATES FOR MEDICAID—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Legislative proposal, subject to PAYGO:			
Budget Authority			-3,633
Outlays			-3,633
Total:			
Budget Authority	411,084	422,175	450,174
Outlays	409,421	447,241	448,145

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

Vaccines for Children

(in millions of dollars)

	2019	2020	2021
Obligations			
Vaccine Purchase	3,960	4,206	4,642
Vaccine Stockpile	25	10	98
Ordering, Distribution, and Operations	176	202	212
Total Obligations	4,161	4,418	4,951

GRANTS TO STATES FOR MEDICAID
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0512-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medicaid Vendor Payments			-3,633
0799 Total direct obligations			-3,633
0900 Total new obligations, unexpired accounts (object class 41.0)			-3,633

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-3,633
1900 Budget authority (total)			-3,633
1930 Total budgetary resources available			-3,633

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-3,633
3020 Outlays (gross)			3,633

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-3,633
Outlays, gross:			
4100 Outlays from new mandatory authority			-3,633
4180 Budget authority, net (total)			-3,633
4190 Outlays, net (total)			-3,633

This schedule reflects the Administration's Medicaid proposals.

STATE GRANTS AND DEMONSTRATIONS

Program and Financing (in millions of dollars)

Identification code 075-0516-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 Medicaid integrity program	84	111	91
0018 Money follows the person (MFP) demonstration	32	215	261
0023 Grants to improve outreach and enrollment	21	38	3

0028 Demo to increase substance use provider under the Medicaid Program	48	4	1
0799 Total direct obligations	185	368	356
0900 Total new obligations, unexpired accounts	185	368	356

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	368	663	555
1012 Unobligated balance transfers between expired and unexpired accounts	77		
1021 Recoveries of prior year unpaid obligations	11		
1050 Unobligated balance (total)	456	663	555
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	397	265	91
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-5	-5	
1260 Appropriations, mandatory (total)	392	260	91
1900 Budget authority (total)	392	260	91
1930 Total budgetary resources available	848	923	646
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	663	555	290

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	901	695	626
3010 New obligations, unexpired accounts	185	368	356
3020 Outlays (gross)	-378	-437	-429
3040 Recoveries of prior year unpaid obligations, unexpired	-11		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	695	626	553
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	901	695	626
3200 Obligated balance, end of year	695	626	553

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	392	260	91
Outlays, gross:			
4100 Outlays from new mandatory authority	30	27	23
4101 Outlays from mandatory balances	348	410	406
4110 Outlays, gross (total)	378	437	429
4180 Budget authority, net (total)	392	260	91
4190 Outlays, net (total)	378	437	429

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108-173), the Deficit Reduction Act of 2005 (P.L. 109-171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3), the Patient Protection and Affordable Care Act (P.L. 111-148), the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152), the Protecting Access to Medicare Act of 2014 (P.L. 113-93), and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10). The account also includes funding for grant programs enacted in the HEALTHY KIDS Act (P.L. 115-120), the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115-123), the Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment for Patients and Communities Act (P.L. 115-271), the Medicaid Extenders Act of 2019 (P.L. 116-3), the Medicaid Services Investment and Accountability Act of 2019 (P.L. 116-16), the Sustaining Excellence in Medicaid Act of 2019 (P.L. 116-39), the Continuing Appropriations Act, 2020, the Health Extenders Act of 2019 (P.L. 116-59), and the Further Consolidated Appropriation Act, 2020 (P.L. 116-94).

Object Classification (in millions of dollars)

Identification code 075-0516-0-1-551	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent - Medicaid Integrity Program	20	20	20
11.9 Total personnel compensation	20	20	20
12.1 Civilian personnel benefits - Medicaid Integrity Program	9	9	9

41.0	Grants, subsidies, and contributions - Medicaid Integrity Program	55	82	62
41.0	Grants, subsidies, and contributions - Money follows the person (MFP) demonstrations	32	215	261
41.0	Grants, subsidies, and contributions - Grants to improve outreach and enrollment	21	38	3
41.0	Grants, subsidies, and contributions - Demo to increase substance use provider capacity under Medicaid	48	4	1
99.0	Direct obligations	185	368	356
99.9	Total new obligations, unexpired accounts	185	368	356

Employment Summary

Identification code 075-0516-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	184	201	201
1101 Direct military average strength employment	5	5	5

PAYMENTS TO THE HEALTH CARE TRUST FUNDS

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$410,796,100,000] \$439,514,000,000.**

In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0580-0-1-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal contribution to match premiums (SMI)	271,628	304,045	325,500
0002 Part D benefits (Rx Drug)	75,418	104,539	111,800
0003 Part D Federal administration (Rx Drug)	642	861	882
0004 General Fund Transfers to HI	1,308	1,346	1,327
0006 Federal Bureau of Investigation (HCFAC)	138	135	138
0007 Federal payments from taxation of OASDI benefits (HI)	23,781	26,941	29,300
0008 Criminal fines (HCFAC)	15	88	36
0009 Civil penalties and damages (HCFAC—DOJ and CMS administration)	37	51	52
0010 Asset Forfeiture	64	31	32
0011 State Low Income Determinations	4	5	5
0900 Total new obligations, unexpired accounts	373,035	438,042	469,072

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (definite, annual)	378,344	410,796	439,514
1200 Appropriation (indefinite, permanent)	23,781	26,941	29,300
1200 Appropriation (HCFAC for FBI)	138	135	138
1200 Appropriation (indefinite for HCFAC)	84	170	120
1260 Appropriations, mandatory (total)	402,347	438,042	469,072
1930 Total budgetary resources available	402,347	438,042	469,072
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-29,312		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10,525	12,667	63,114
3010 New obligations, unexpired accounts	373,035	438,042	469,072
3011 Obligations ("upward adjustments"), expired accounts	16		
3020 Outlays (gross)	-364,706	-387,595	-415,989
3041 Recoveries of prior year unpaid obligations, expired	-6,203		
3050 Unpaid obligations, end of year	12,667	63,114	116,197
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10,525	12,667	63,114
3200 Obligated balance, end of year	12,667	63,114	116,197

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	402,347	438,042	469,072
Outlays, gross:			
4100 Outlays from new mandatory authority	364,039	375,230	367,777

4101 Outlays from mandatory balances	667	12,365	48,212
4110 Outlays, gross (total)	364,706	387,595	415,989
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-4,289		
4123 Non-Federal sources	-1,537		
4130 Offsets against gross budget authority and outlays (total) ...	-5,826		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	5,826		
4160 Budget authority, net (mandatory)	402,347	438,042	469,072
4170 Outlays, net (mandatory)	358,880	387,595	415,989
4180 Budget authority, net (total)	402,347	438,042	469,072
4190 Outlays, net (total)	358,880	387,595	415,989

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	402,347	438,042	469,072
Outlays	358,880	387,595	415,989
Legislative proposal, not subject to PAYGO:			
Budget Authority			-7,383
Outlays			-7,383
Total:			
Budget Authority	402,347	438,042	461,689
Outlays	358,880	387,595	408,606

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries and administrative expenses that are properly chargeable to the general fund.

Object Classification (in millions of dollars)

Identification code 075-0580-0-1-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	371,053	435,726	466,859
42.0 Insurance claims and indemnities (HI Uninsured Federal)	127	109	95
94.0 Financial transfers (Federal admin)	1,855	2,207	2,118
99.9 Total new obligations, unexpired accounts	373,035	438,042	469,072

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0580-2-1-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal contribution to match premiums (SMI)			-7,383
0900 Total new obligations, unexpired accounts (object class 41.0)			-7,383

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (definite, annual)			-7,383
1930 Total budgetary resources available			-7,383

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-7,383
3020 Outlays (gross)			7,383

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-7,383
Outlays, gross:			
4100 Outlays from new mandatory authority			-7,383
4180 Budget authority, net (total)			-7,383
4190 Outlays, net (total)			-7,383

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued
and administrative expenses that are properly chargeable to the general fund.

QUALITY IMPROVEMENT ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 075-0519-0-1-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 QIO Clinical Quality Improvement	38	486
0002 QIO Beneficiary and Family Centered Care	438
0003 QIO Support Contracts	421	462	354
0004 QIO Administration	62	74	76
0900 Total new obligations, unexpired accounts	959	1,022	430
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	240	202	36
1801 Change in uncollected payments, Federal sources	1,184	820	393
1850 Spending auth from offsetting collections, mand (total)	1,424	1,022	429
1930 Total budgetary resources available	1,424	1,023	430
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-464
1941 Unexpired unobligated balance, end of year	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	867	886	886
3010 New obligations, unexpired accounts	959	1,022	430
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-911	-1,022	-429
3041 Recoveries of prior year unpaid obligations, expired	-31
3050 Unpaid obligations, end of year	886	886	887
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,617	-1,799	-2,619
3070 Change in uncollected pymts, Fed sources, unexpired	-1,184	-820	-393
3071 Change in uncollected pymts, Fed sources, expired	1,002
3090 Uncollected pymts, Fed sources, end of year	-1,799	-2,619	-3,012
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-750	-913	-1,733
3200 Obligated balance, end of year	-913	-1,733	-2,125
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,424	1,022	429
Outlays, gross:			
4100 Outlays from new mandatory authority	221	411	100
4101 Outlays from mandatory balances	690	611	329
4110 Outlays, gross (total)	911	1,022	429
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-933	-1,022	-429
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-1,184	-820	-393
4142 Offsetting collections credited to expired accounts	693	820	393
4150 Additional offsets against budget authority only (total)	-491
4170 Outlays, net (mandatory)	-22
4180 Budget authority, net (total)
4190 Outlays, net (total)	-22
Memorandum (non-add) entries:			
5093 Expired unavailable balance, SOY: Offsetting collections	50	50	50
5095 Expired unavailable balance, EOY: Offsetting collections	50	50

Part B of title XI of the Social Security Act, as amended by the Peer Review Improvement Act of 1982 (P.L. 97-248), provides the statutory authority for the Medicare Quality Improvement Organization (QIO) Program. The mission of the program is to promote the effectiveness, efficiency, economy, and quality of services delivered to Medicare beneficiaries and to ensure that those services are reasonable and necessary. The program is funded through transfers from the Medicare Hospital Insurance Trust Fund

and the Medicare Supplementary Medical Insurance Trust Fund. In FY 2012, a Treasury account specific to the QIO Program was established to improve budgetary operations.

Object Classification (in millions of dollars)

Identification code 075-0519-0-1-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	25	37	38
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	26	38	39
12.1 Civilian personnel benefits	12	21	22
12.2 Military personnel benefits	1	1
23.3 Communications, utilities, and miscellaneous charges	1	4	4
25.2 Other services from non-Federal sources	920	958	364
99.9 Total new obligations, unexpired accounts	959	1,022	430

Employment Summary

Identification code 075-0519-0-1-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	237	252	257
1101 Direct military average strength employment	10	10	10

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare & Medicaid Services, not to exceed **[\$3,669,744,000] \$3,693,548,000**, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 1893(h) of the Social Security Act, and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until expended: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That the Secretary is directed to collect fees in fiscal year **[2020] 2021** from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act: *Provided further*, That **[** amounts available under this heading to support quality improvement organizations (as defined in section 1152 of the Social Security Act) shall not exceed the amount specifically provided for such purpose under this heading in division H of the Consolidated Appropriations Act, 2018 (Public Law 115-141) **]** *of the funds made available under this heading, \$442,192,000 shall remain available until September 30, 2022, and shall be available for the Survey and Certification Program. (Department of Health and Human Services Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 075-0511-0-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program operations	3,050	2,825	2,479
0002 Federal administration	749	733	773
0003 State survey and certification	401	397	442
0004 Research, demonstrations, and evaluation projects	20	20
0007 ARRA Medicare/Medicaid HIT	27	32	25
0100 Total direct program	4,247	4,007	3,719
0799 Total direct obligations	4,247	4,007	3,719
0801 Clinical laboratory improvement amendments	64	69	69
0802 Sale of data	24	27	27
0803 Coordination of benefits	32	70	70
0804 Medicare advantage/Prescription drug plan	76	85	85
0805 Provider enrollment	5	5	5
0806 Recovery audit contractors	87	111	119
0808 Marketplace User Fees	1,364	1,341	1,120
0810 Risk Adjustment Administrative Expenses	48	58	52

0813	Other reimbursable program activity	24	24	
0899	Total reimbursable obligations	1,700	1,790	1,571
0900	Total new obligations, unexpired accounts	5,947	5,797	5,290
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,123	4,475	5,069
1020	Adjustment of unobligated bal brought forward, Oct 1	464		
1021	Recoveries of prior year unpaid obligations	27		
1033	Recoveries of prior year paid obligations	5		
1050	Unobligated balance (total)	3,619	4,475	5,069
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	3	3	3
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,819	3,995	3,714
1701	Change in uncollected payments, Federal sources	2,212		
1710	Spending authority from offsetting collections transferred to other accounts [075-1503]	-4		
1710	Spending authority from offsetting collections transferred to other accounts [075-9912]	-5		
1750	Spending auth from offsetting collections, disc (total)	4,022	3,995	3,714
Spending authority from offsetting collections, mandatory:				
1800	Collected	2,333	2,412	2,294
1801	Change in uncollected payments, Federal sources	607		
1802	Offsetting collections (previously unavailable)	116	122	109
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-159	-141	
1850	Spending auth from offsetting collections, mand (total)	2,897	2,393	2,403
1900	Budget authority (total)	6,922	6,391	6,120
1930	Total budgetary resources available	10,541	10,866	11,189
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-119		
1941	Unexpired unobligated balance, end of year	4,475	5,069	5,899

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4,599	4,767	4,722
3010	New obligations, unexpired accounts	5,947	5,797	5,290
3011	Obligations ("upward adjustments"), expired accounts	46		
3020	Outlays (gross)	-5,569	-5,842	-6,919
3040	Recoveries of prior year unpaid obligations, unexpired	-27		
3041	Recoveries of prior year unpaid obligations, expired	-229		
3050	Unpaid obligations, end of year	4,767	4,722	3,093
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-5,838	-6,882	-6,882
3061	Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-500		
3070	Change in uncollected pymts, Fed sources, unexpired	-2,819		
3071	Change in uncollected pymts, Fed sources, expired	2,275		
3090	Uncollected pymts, Fed sources, end of year	-6,882	-6,882	-6,882
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-1,739	-2,115	-2,160
3200	Obligated balance, end of year	-2,115	-2,160	-3,789

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,022	3,995	3,714
Outlays, gross:				
4010	Outlays from new discretionary authority	1,718	2,008	1,867
4011	Outlays from discretionary balances	2,065	1,193	1,986
4020	Outlays, gross (total)	3,783	3,201	3,853
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-3,806	-3,975	-3,694
4033	Non-Federal sources	-31	-20	-20
4040	Offsets against gross budget authority and outlays (total)	-3,837	-3,995	-3,714
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2,212		
4052	Offsetting collections credited to expired accounts	2,018		
4060	Additional offsets against budget authority only (total)	-194		
4070	Budget authority, net (discretionary)	-9		
4080	Outlays, net (discretionary)	-54	-794	139
Mandatory:				
4090	Budget authority, gross	2,900	2,396	2,406
Outlays, gross:				
4100	Outlays from new mandatory authority	36	1,337	1,266
4101	Outlays from mandatory balances	1,750	1,304	1,800
4110	Outlays, gross (total)	1,786	2,641	3,066

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-356	-54	-31
4123	Non-Federal sources	-2,049	-2,358	-2,263
4130	Offsets against gross budget authority and outlays (total)	-2,405	-2,412	-2,294
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-607		
4142	Offsetting collections credited to expired accounts	67		
4143	Recoveries of prior year paid obligations, unexpired accounts	5		
4150	Additional offsets against budget authority only (total)	-535		
4160	Budget authority, net (mandatory)	-40	-16	112
4170	Outlays, net (mandatory)	-619	229	772
4180	Budget authority, net (total)	-49	-16	112
4190	Outlays, net (total)	-673	-565	911

Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	552	531	550
5091	Expiring unavailable balance: Offsetting collections	-64		
5092	Unexpired unavailable balance, EOY: Offsetting collections	531	550	441
5093	Expired unavailable balance, SOY: Offsetting collections	5	69	69
5095	Expired unavailable balance, EOY: Offsetting collections	5	69	69

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-49	-16	112
Outlays	-673	-565	911
Legislative proposal, subject to PAYGO:			
Budget Authority			12
Outlays		-4	-28
Total:			
Budget Authority	-49	-16	124
Outlays	-673	-569	883

Program management activities include funding for program operations, survey and certification, the Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts, and other administrative costs.

Object Classification (in millions of dollars)

Identification code 075-0511-0-1-550	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	425	401	372
11.3	Other than full-time permanent	12	11	11
11.5	Other personnel compensation	6	6	5
11.7	Military personnel	15	14	13
11.9	Total personnel compensation	458	432	401
12.1	Civilian personnel benefits	162	153	142
12.2	Military personnel benefits	9	8	8
21.0	Travel and transportation of persons	5	5	4
23.1	Rental payments to GSA	16	15	14
23.3	Communications, utilities, and miscellaneous charges	8	8	7
24.0	Printing and reproduction	46	43	40
25.2	Other services from non-Federal sources	3,014	2,843	2,640
25.3	Other goods and services from Federal sources	97	92	85
25.6	Medical care	366	345	320
25.7	Operation and maintenance of equipment	40	38	35
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	2	2
41.0	Grants, subsidies, and contributions	23	22	20
99.0	Direct obligations	4,247	4,007	3,719
99.0	Reimbursable obligations	1,700	1,790	1,571
99.9	Total new obligations, unexpired accounts	5,947	5,797	5,290

Employment Summary

Identification code 075-0511-0-1-550	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	4,226	4,088	4,152
1001	Direct military full-time equivalent employment	141	116	64
1101	Direct military average strength employment	144	144	144
2001	Reimbursable civilian full-time equivalent employment	257	293	293
2101	Reimbursable military average strength employment	16	16	16

PROGRAM MANAGEMENT—Continued
PROGRAM MANAGEMENT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0511-4-1-550	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program operations			12
0100 Total direct program			12
0799 Total direct obligations			12
0801 ACL SHIPs		5	13
0899 Total reimbursable obligations		5	13
0900 Total new obligations, unexpired accounts		5	25
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			12
Spending authority from offsetting collections, mandatory:			
1800 Collected		5	53
1900 Budget authority (total)		5	65
1930 Total budgetary resources available		5	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			40
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			4
3010 New obligations, unexpired accounts		5	25
3020 Outlays (gross)		-1	-25
3050 Unpaid obligations, end of year		4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			4
3200 Obligated balance, end of year		4	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		5	65
Outlays, gross:			
4100 Outlays from new mandatory authority		1	23
4101 Outlays from mandatory balances			2
4110 Outlays, gross (total)		1	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		-5	-53
4180 Budget authority, net (total)			12
4190 Outlays, net (total)		-4	-28

This display includes the effects of proposals related to mandatory funding for the State Health Insurance Assistance Program, resources to implement HHS's legislative proposals in the FY 2021 Budget, a revisit fee for long term care facilities, and the National Medicare and You Education Program user fee increase.

Object Classification (in millions of dollars)

Identification code 075-0511-4-1-550	2019 actual	2020 est.	2021 est.
25.3 Direct obligations: Other goods and services from Federal sources			12
99.0 Direct obligations			12
99.0 Reimbursable obligations		5	13
99.9 Total new obligations, unexpired accounts		5	25

PAYMENTS TO HOSPITALS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0514-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			17,500
0900 Total new obligations, unexpired accounts (object class 41.0)			17,500
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			17,500
1930 Total budgetary resources available			17,500
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			17,500
3020 Outlays (gross)			-17,500
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			17,500
Outlays, gross:			
4100 Outlays from new mandatory authority			17,500
4180 Budget authority, net (total)			17,500
4190 Outlays, net (total)			17,500

This account reflects the Budget's proposals to modify payments to hospitals for uncompensated care and consolidate graduate medical education spending.

CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0515-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to states and US territories	17,416	18,708	18,030
0002 CHIP Redistribution Funds	179		
0003 Child health quality	10	31	34
0900 Total new obligations, unexpired accounts (object class 41.0)	17,605	18,739	18,064
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8,251	8,237	14,065
1011 Unobligated balance transfer from other acct [075-5551]		4,037	4,914
1021 Recoveries of prior year unpaid obligations	29		
1033 Recoveries of prior year paid obligations	146		
1050 Unobligated balance (total)	8,426	12,274	18,979
Budget authority:			
Appropriations, discretionary:			
1130 Appropriations permanently reduced			-8,790
Appropriations, mandatory:			
1200 Appropriation	22,600	23,700	24,800
1221 Appropriations transferred from other acct [075-5551]			6,093
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2,061	-3,170	
1260 Appropriations, mandatory (total)	20,539	20,530	30,893
1900 Budget authority (total)	20,539	20,530	22,103
1930 Total budgetary resources available	28,965	32,804	41,082
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3,123		
1941 Unexpired unobligated balance, end of year	8,237	14,065	23,018
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,789	5,530	6,615
3010 New obligations, unexpired accounts	17,605	18,739	18,064
3020 Outlays (gross)	-17,835	-17,654	-15,778
3040 Recoveries of prior year unpaid obligations, unexpired	-29		
3050 Unpaid obligations, end of year	5,530	6,615	8,901
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,789	5,530	6,615

3200	Obligated balance, end of year	5,530	6,615	8,901
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			-8,790
Mandatory:				
4090	Budget authority, gross	20,539	20,530	30,893
Outlays, gross:				
4100	Outlays from new mandatory authority	12,531	10,831	9,263
4101	Outlays from mandatory balances	5,304	6,823	6,515
4110	Outlays, gross (total)	17,835	17,654	15,778
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources:	-146		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	146		
4160	Budget authority, net (mandatory)	20,539	20,530	30,893
4170	Outlays, net (mandatory)	17,689	17,654	15,778
4180	Budget authority, net (total)	20,539	20,530	22,103
4190	Outlays, net (total)	17,689	17,654	15,778

The Balanced Budget Act of 1997 (P.L. 105–33) established the Children's Health Insurance Program (CHIP) under title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3, CHIPRA) reauthorized the CHIP program and appropriated funding for CHIP through fiscal year 2013. CHIPRA made some modifications to the program, including increased funding for States and territories, and support for child health quality and outreach activities. CHIPRA also created a contingency fund in a separate account to assist States who project spending above their available allocated CHIP funds. The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114–10) extended CHIP funding through fiscal year 2015 and 2017, respectively. The HEALTHY KIDS Act (P.L. 115–120) and the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115–123) extended CHIP funding through fiscal year 2023 and 2027, respectively.

CENTER FOR MEDICARE AND MEDICAID INNOVATION

Program and Financing (in millions of dollars)

Identification code 075–0522–0–1–551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Administration	349	411	433
0002	Innovation Activities	459	1,003	810
0900	Total new obligations, unexpired accounts	808	1,414	1,243
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,619	1,856	10,442
1021	Recoveries of prior year unpaid obligations	45		
1050	Unobligated balance (total)	2,664	1,856	10,442
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation		10,000	
1930	Total budgetary resources available	2,664	11,856	10,442
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,856	10,442	9,199
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,228	1,013	1,217
3010	New obligations, unexpired accounts	808	1,414	1,243
3020	Outlays (gross)	-978	-1,210	-1,203
3040	Recoveries of prior year unpaid obligations, unexpired	-45		
3050	Unpaid obligations, end of year	1,013	1,217	1,257

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,228	1,013	1,217
3200	Obligated balance, end of year	1,013	1,217	1,257
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross		10,000	
Outlays, gross:				
4101	Outlays from mandatory balances	978	1,210	1,203
4180	Budget authority, net (total)		10,000	
4190	Outlays, net (total)	978	1,210	1,203

The Center for Medicare and Medicaid Innovation ("Innovation Center") was established by section 1115A of the Social Security Act (as added by section 3021 of the Patient Protection and Affordable Care Act). The Innovation Center is tasked with testing innovative payment and service delivery models to reduce program expenditures while preserving or enhancing the quality of care provided to individuals under Medicare, Medicaid, or the Children's Health Insurance Program (CHIP). The statute provides \$10 billion in mandatory funding for these purposes for fiscal years 2011 through 2019 and each subsequent 10-year fiscal period (beginning with the 10-year fiscal period beginning with fiscal year 2020).

Object Classification (in millions of dollars)

Identification code 075–0522–0–1–551	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	81	61	67
11.7	Military personnel	2	3	4
11.9	Total personnel compensation	83	64	71
12.1	Civilian personnel benefits	20	20	21
12.2	Military personnel benefits	1	1	1
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.2	Other services from non-Federal sources	567	860	686
41.0	Grants, subsidies, and contributions	123	405	401
42.0	Insurance claims and indemnities	11	61	60
99.9	Total new obligations, unexpired accounts	808	1,414	1,243

Employment Summary

Identification code 075–0522–0–1–551	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	516	557	557
1101	Direct military average strength employment	17	17	17

CHILD ENROLLMENT CONTINGENCY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075–5551–0–2–551	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	3,132	5,609	6,093
0198	Earnings on investment adjustment	-4		
0199	Balance, start of year	3,128	5,609	6,093
Receipts:				
Current law:				
1140	Interest, Child Enrollment Contingency Fund	254	173	75
2000	Total: Balances and receipts	3,382	5,782	6,168
Appropriations:				
Current law:				
2101	Child Enrollment Contingency Fund	-254	-173	-168
2103	Child Enrollment Contingency Fund	-3,128	-5,609	-6,093
2135	Child Enrollment Contingency Fund	3,267	6,093	
2199	Total current law appropriations	-115	311	-6,261
2999	Total appropriations	-115	311	-6,261
4030	Child Enrollment Contingency Fund	2,342		
5099	Balance, end of year	5,609	6,093	-93

CHILD ENROLLMENT CONTINGENCY FUND—Continued

Program and Financing (in millions of dollars)

Identification code 075-5551-0-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States and US Territories	113		
0900 Total new obligations, unexpired accounts (object class 41.0)	113		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,338	4,522	4,914
1010 Unobligated balance transfer to other accts [075-0515]		-4,037	-4,914
1020 Adjustment of unobligated bal brought forward, Oct 1	4		
1035 Unobligated balance precluded from obligation (limitation on obligations)(special and trust)	-2,342		
1050 Unobligated balance (total)		485	
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	4,520	4,740	4,960
1201 Appropriation (special or trust fund)	254	173	168
1203 Appropriation (previously unavailable)(special or trust)	3,128	5,609	6,093
1220 Appropriations transferred to other acct [075-0515]			-6,093
1235 Appropriations precluded from obligation (special or trust)	-3,267	-6,093	
1260 Appropriations, mandatory (total)	4,635	4,429	5,128
1900 Budget authority (total)	4,635	4,429	5,128
1930 Total budgetary resources available	4,635	4,914	5,128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4,522	4,914	5,128
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	200	310	
3010 New obligations, unexpired accounts	113		
3020 Outlays (gross)	-3	-310	
3050 Unpaid obligations, end of year	310		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	200	310	
3200 Obligated balance, end of year	310		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4,635	4,429	5,128
Outlays, gross:			
4100 Outlays from new mandatory authority	3		
4101 Outlays from mandatory balances		310	
4110 Outlays, gross (total)	3	310	
4180 Budget authority, net (total)	4,635	4,429	5,128
4190 Outlays, net (total)	3	310	
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value			15,044
5001 Total investments, EOY: Federal securities: Par value		15,044	10,042

The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111-3) established the Child Enrollment Contingency Fund under title XXI of the Social Security Act. Beginning in 2009, a State may qualify for a Contingency Fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10) extended the Contingency Fund through fiscal years 2015 and 2017, respectively. The HEALTHY KIDS Act (P.L. 115-120) and the Advancing Chronic Care, Extenders, and Social Services Act (P.L. 115-123) extended the Contingency Fund through FY 2023 and FY 2027, respectively.

The Fund receives an appropriation equal to 20 percent of the CHIP national allotment appropriation under section 2104(a) of the Social Security Act. The Contingency Fund is invested in interest bearing securities of the United States, and the income derived from these investments constitutes a part of the fund.

CHILD ENROLLMENT CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

The Budget proposes to repeal the Child Enrollment Contingency Fund and instead fund a restructured Shortfall Fund that will be a more accessible safety net for states. Currently, few states can meet the restrictive eligibility criteria to qualify for a Contingency Fund payment. When States do qualify, they do not need to spend the funds on children's health. This proposal has no budget impact.

MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 075-0508-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Incentive payments to hospitals	37	15	10
0802 Incentive payments to eligible professionals	9		
0900 Total new obligations, unexpired accounts (object class 42.0)	46	15	10
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	12		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected from the HI Trust Fund	53	15	10
1801 Change in uncollected payments, Federal sources	-16		
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-3		
1850 Spending auth from offsetting collections, mand (total)	34	15	10
1930 Total budgetary resources available	46	15	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	15	15
3010 New obligations, unexpired accounts	46	15	10
3020 Outlays (gross)	-55	-15	-10
3050 Unpaid obligations, end of year	15	15	15
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-161	-145	-145
3070 Change in uncollected pymts, Fed sources, unexpired	16		
3090 Uncollected pymts, Fed sources, end of year	-145	-145	-145
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-137	-130	-130
3200 Obligated balance, end of year	-130	-130	-130
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	34	15	10
Outlays, gross:			
4100 Outlays from new mandatory authority	31	1	10
4101 Outlays from mandatory balances	24	14	
4110 Outlays, gross (total)	55	15	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-53	-15	-10
4123 Non-Federal sources	-12		
4130 Offsets against gross budget authority and outlays (total)	-65	-15	-10
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	16		
4143 Recoveries of prior year paid obligations, unexpired accounts	12		
4150 Additional offsets against budget authority only (total)	28		
4160 Budget authority, net (mandatory)	-3		
4170 Outlays, net (mandatory)	-10		
4180 Budget authority, net (total)	-3		
4190 Outlays, net (total)	-10		
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	141	144	144

5092	Unexpired unavailable balance, EOY: Offsetting collections	144	144	144
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RATE REVIEW GRANTS

Program and Financing (in millions of dollars)

Identification code 075-0112-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Premium rate review grants		26
0900	Total new obligations, unexpired accounts (object class 41.0)		26
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	5
1012	Unobligated balance transfers between expired and unexpired accounts		16
1021	Recoveries of prior year unpaid obligations		5
1050	Unobligated balance (total)	5	26
1930	Total budgetary resources available	5	26
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	26
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	52	18
3010	New obligations, unexpired accounts		26
3020	Outlays (gross)	-17	-13
3040	Recoveries of prior year unpaid obligations, unexpired		-5
3041	Recoveries of prior year unpaid obligations, expired	-17	
3050	Unpaid obligations, end of year	18	21
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	52	18
3200	Obligated balance, end of year	18	21
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	17	13
4180	Budget authority, net (total)		
4190	Outlays, net (total)	17	13

The Patient Protection and Affordable Care Act (P.L. 111-148) added section 2794 to the Public Health Service Act and provided that the Secretary carry out a program to award grants to States for a five-year period beginning in fiscal year 2010. The program provided \$250 million in grants to help States develop or enhance their current rate review activities from 2010 through 2014, with remaining unobligated balances subsequently available for state implementation of consumer protections and other insurance reform activities consistent with section 2794(c)(2)(B).

PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM

Program and Financing (in millions of dollars)

Identification code 075-0113-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Pre-Existing Condition Insurance Plan Program (Direct)	55	
0002	Administration		75
0799	Total direct obligations	55	75
0900	Total new obligations, unexpired accounts (object class 25.2)	55	75
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	246	195
1021	Recoveries of prior year unpaid obligations	4	
1050	Unobligated balance (total)	250	195
1930	Total budgetary resources available	250	195
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	195	120

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	69	68
3010	New obligations, unexpired accounts	55	75
3020	Outlays (gross)	-52	-72
3040	Recoveries of prior year unpaid obligations, unexpired	-4	
3050	Unpaid obligations, end of year	68	71
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	69	68
3200	Obligated balance, end of year	68	71

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	52	72
4180	Budget authority, net (total)		
4190	Outlays, net (total)	52	72

This account funded the Pre-Existing Condition Insurance Plan program (PCIP), which made health insurance available to people who had been unable to purchase insurance due to a pre-existing condition. Enrollees paid monthly premiums similar to those charged in the commercial individual market, and the Federal government paid for remaining costs that exceeded enrollee contributions. The funding for this program, including operating costs, was provided in the Patient Protection and Affordable Care Act (P.L. 111-148). The PCIP program ended in fiscal year 2014, and outlays in subsequent fiscal years reflect program close out and claims run out costs, as well as allowable administrative costs in the current year.

EARLY RETIREE REINSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 075-0114-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	26	26
1021	Recoveries of prior year unpaid obligations		10
1050	Unobligated balance (total)	26	36
1930	Total budgetary resources available	26	36
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	26	36
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	10	10
3040	Recoveries of prior year unpaid obligations, unexpired		-10
3050	Unpaid obligations, end of year	10	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	10	10
3200	Obligated balance, end of year	10	
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

The Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated \$5 billion for the Early Retiree Reinsurance Program (ERRP). By statute, ERRP sunset on January 1, 2014, and is no longer providing reimbursements to plan sponsors.

AFFORDABLE INSURANCE EXCHANGE GRANTS

Program and Financing (in millions of dollars)

Identification code 075-0115-0-1-551	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7	
3020	Outlays (gross)	-5	
3041	Recoveries of prior year unpaid obligations, expired	-2	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	7	

AFFORDABLE INSURANCE EXCHANGE GRANTS—Continued
Program and Financing—Continued

Identification code 075-0115-0-1-551	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	5		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	1		
4170 Outlays, net (mandatory)	4		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4		

This program provided funding for Planning and Establishment Grants to States for their activities to implement Health Insurance Exchanges. The Exchanges facilitated the purchase of qualified health plans in the individual market and allowed small businesses to offer qualified health plans to their employees through the Small Business Health Options Program (SHOP). Section 1311 of the Patient Protection and Affordable Care Act (P.L. 111-148) provided amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allowed for renewal of grants through January 1, 2015. The final round of grants was awarded to States in December 2014.

COST-SHARING REDUCTIONS

Program and Financing (in millions of dollars)

Identification code 075-0126-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Cost Sharing Reductions		6,734	6,624
0002 Basic Health Program		1,266	1,307
0900 Total new obligations, unexpired accounts (object class 41.0)		8,000	7,931
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		8,000	7,931
1930 Total budgetary resources available		8,000	7,931
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		8,000	7,931
3020 Outlays (gross)		-8,000	-7,931
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		8,000	7,931
Outlays, gross:			
4100 Outlays from new mandatory authority		8,000	7,931
4180 Budget authority, net (total)		8,000	7,931
4190 Outlays, net (total)		8,000	7,931

Under current law, insurers are required to offer reduced cost-sharing to eligible, low-income consumers. The classification of CSRs as an entitlement pursuant to BBEDCA does not determine legal entitlement to a payment or benefit or availability of funding.

RISK ADJUSTMENT PROGRAM PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5733-0-2-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	311	371	328
Receipts:			
Current law:			
1110 Receipts, Risk Adjustment Program	5,978	5,541	5,627
2000 Total: Balances and receipts	6,289	5,912	5,955

Appropriations:

Identification code	2019 actual	2020 est.	2021 est.
Current law:			
2101 Risk Adjustment Program Payments	-5,978	-5,540	-5,627
2103 Risk Adjustment Program Payments	-311	-371	-327
2132 Risk Adjustment Program Payments	371	327	
2199 Total current law appropriations	-5,918	-5,584	-5,954
2999 Total appropriations	-5,918	-5,584	-5,954
5099 Balance, end of year	371	328	1

Program and Financing (in millions of dollars)

Identification code 075-5733-0-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Risk Adjustment Program Payments (Direct)	7,397	5,584	5,954
0900 Total new obligations, unexpired accounts (object class 41.0)	7,397	5,584	5,954
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,225	746	746
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5,978	5,540	5,627
1203 Appropriation (previously unavailable)(special or trust) ...	311	371	327
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-371	-327	
1260 Appropriations, mandatory (total)	5,918	5,584	5,954
1930 Total budgetary resources available	8,143	6,330	6,700
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	746	746	746

Change in obligated balance:

Identification code	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,192	2,678	
3010 New obligations, unexpired accounts	7,397	5,584	5,954
3020 Outlays (gross)	-6,911	-8,262	-5,954
3050 Unpaid obligations, end of year	2,678		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,192	2,678	
3200 Obligated balance, end of year	2,678		

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	5,918	5,584	5,954
Outlays, gross:			
4100 Outlays from new mandatory authority	2,494	5,584	5,954
4101 Outlays from mandatory balances	4,417	2,678	
4110 Outlays, gross (total)	6,911	8,262	5,954
4180 Budget authority, net (total)	5,918	5,584	5,954
4190 Outlays, net (total)	6,911	8,262	5,954

Section 1343 of the Patient Protection and Affordable Care Act (P.L. 111-148) established a permanent risk adjustment program for non-grandfathered plans in the individual and small group markets. Risk adjustment is budget neutral within each state and market, such that charges collected from plans with lower than average actuarial risk are used to make payments to plans with higher than average actuarial risk. Risk adjustment may be operated by a State, or by the Federal government in the event a State chooses not to operate risk adjustment. Payments and charges are made in the year following the plan year for which they are calculated.

TRANSITIONAL REINSURANCE PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	25		
Receipts:			
Current law:			
1110 Contributions, Transitional Reinsurance Program	1		
2000 Total: Balances and receipts	26		
Appropriations:			
Current law:			
2101 Transitional Reinsurance Program	-1		

2103	Transitional Reinsurance Program	-25		
2199	Total current law appropriations	-26		
2999	Total appropriations	-26		
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Transitional reinsurance payments	237		
0900	Total new obligations, unexpired accounts (object class 41.0)	237		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	216	5	5
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	1		
1203	Appropriation (previously unavailable)(special or trust)	25		
1260	Appropriations, mandatory (total)	26		
1930	Total budgetary resources available	242	5	5
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	5	5

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	10	212	
3010	New obligations, unexpired accounts	237		
3020	Outlays (gross)	-35	-212	
3050	Unpaid obligations, end of year	212		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10	212	
3200	Obligated balance, end of year	212		

Identification code 075-5735-0-2-551	2019 actual	2020 est.	2021 est.	
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	26		
Outlays, gross:				
4101	Outlays from mandatory balances	35	212	
4180	Budget authority, net (total)	26		
4190	Outlays, net (total)	35	212	

Section 1341 of the Patient Protection and Affordable Care Act (P.L. 111-148) established a transitional three-year reinsurance program to minimize the impact of high-cost enrollees in plans in the individual market for plan years 2014, 2015, and 2016. The Centers for Medicare & Medicaid Services assessed contributing entities a per enrollee fee to fund the reinsurance program and made payments to issuers in the individual market for enrollees whose medical costs exceed a certain threshold, up to a reinsurance cap. Reinsurance collections and payments were made in the year following the plan year for which they were applicable. Reinsurance collections ended in FY 2019 and outlays in subsequent fiscal years reflect remaining payments, refunds, and allowable administrative activities.

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0705	Reestimates of direct loan subsidy	4		
0709	Administrative expenses	1		
0900	Total new obligations, unexpired accounts (object class 41.0)	5		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	2	2
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	2	2	2

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	5		
1930	Total budgetary resources available	7	2	2
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	2

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	1	1
3010	New obligations, unexpired accounts	5		
3020	Outlays (gross)	-5		
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	1	1
3200	Obligated balance, end of year	1	1	1

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	5		
Outlays, gross:				
4100	Outlays from new mandatory authority	5		
4180	Budget authority, net (total)	5		
4190	Outlays, net (total)	5		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0524-0-1-551	2019 actual	2020 est.	2021 est.	
Direct loan reestimates:				
135001	Startup Loans	5		
135999	Total direct loan reestimates	5		

The Consumer Operated and Oriented Plan Contingency Fund was established by the American Taxpayer Relief Act of 2012 (P.L. 112-240). This fund provides assistance and oversight to qualified nonprofit health insurance issuers that have been awarded loans or grants under section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148).

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0705	Reestimates of direct loan subsidy	231	2	
0706	Interest on reestimates of direct loan subsidy	28		
0709	Administrative expenses	1	1	
0900	Total new obligations, unexpired accounts	260	3	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	2	1	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	259	2	
1900	Budget authority (total)	259	2	
1930	Total budgetary resources available	261	3	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	3
3010	New obligations, unexpired accounts	260	3	
3020	Outlays (gross)	-259	-2	
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	2	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	3
3200	Obligated balance, end of year	2	3	3

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	259	2
Outlays, gross:			
4100 Outlays from new mandatory authority	259	2
4180 Budget authority, net (total)	259	2
4190 Outlays, net (total)	259	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135002 Startup Loans	116
135003 Solvency Loans	143	2
135999 Total direct loan reestimates	259	2

Section 1322 of the Patient Protection and Affordable Care Act (P.L. 111-148) authorized and appropriated funding for the Consumer Operated and Oriented Plan (CO-OP) Program. The CO-OP Program fosters the creation of qualified nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary awarded loans to qualified nonprofit issuers to fund start-up costs and reserves, which enabled qualified issuers to meet state solvency requirements. The Secretary issued the final round of loans in December 2014.

Object Classification (in millions of dollars)

Identification code 075-0118-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	1
33.0 Investments and loans	2
41.0 Grants, subsidies, and contributions	259
99.9 Total new obligations, unexpired accounts	260	3

CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4418-0-3-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	7	3	3
0900 Total new obligations, unexpired accounts	7	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	22	18	20
1020 Adjustment of unobligated bal brought forward, Oct 1	-13
1050 Unobligated balance (total)	9	18	20
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	3	2	2
Spending authority from offsetting collections, mandatory:			
1800 Collected	272	41
1825 Spending authority from offsetting collections applied to repay debt	-259	-38
1850 Spending auth from offsetting collections, mand (total)	13	3
1900 Budget authority (total)	16	5	2
1930 Total budgetary resources available	25	23	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	20	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3
3010 New obligations, unexpired accounts	7	3	3

3020 Outlays (gross)	-7
3050 Unpaid obligations, end of year	3	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3
3200 Obligated balance, end of year	3	6

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	16	5	2
Financing disbursements:			
4110 Outlays, gross (total)	7
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-259	-2
4122 Interest on uninvested funds	-5	-1
4123 Non-Federal sources	-8	-38
4130 Offsets against gross budget authority and outlays (total)	-272	-41
4160 Budget authority, net (mandatory)	-256	-36	2
4170 Outlays, net (mandatory)	-265	-41
4180 Budget authority, net (total)	-256	-36	2
4190 Outlays, net (total)	-265	-41

Status of Direct Loans (in millions of dollars)

Identification code 075-4418-0-3-551	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,893	1,893	1,893
1263 Write-offs for default: Direct loans	-582
1290 Outstanding, end of year	1,893	1,893	1,311

Balance Sheet (in millions of dollars)

Identification code 075-4418-0-3-551	2018 actual	2019 actual
Group heading		
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	9	9
Investments in U.S. securities:		
1106 Receivables, net	259	259
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	1,893	1,893
1402 Interest receivable	25	25
1405 Allowance for subsidy cost (-)	-1,778	-1,778
1499 Net present value of assets related to direct loans	140	140
1999 Total assets	408	408
LIABILITIES:		
2103 Federal liabilities: Debt	408	408
NET POSITION:		
3300 Cumulative results of operations
4999 Total liabilities and net position	408	408

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-4482-0-3-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	2	1	1
0900 Total new obligations, unexpired accounts	2	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	6
1020 Adjustment of unobligated bal brought forward, Oct 1	-3
1023 Unobligated balances applied to repay debt	-2
1050 Unobligated balance (total)	3	5	6
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	3	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	6	1

1825	Spending authority from offsetting collections applied to repay debt	-5		
1850	Spending auth from offsetting collections, mand (total)	1	1	
1900	Budget authority (total)	4	2	1
1930	Total budgetary resources available	7	7	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	6	6
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			1
3010	New obligations, unexpired accounts	2	1	1
3020	Outlays (gross)	-2		
3050	Unpaid obligations, end of year		1	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			1
3200	Obligated balance, end of year		1	2
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	4	2	1
Financing disbursements:				
4110	Outlays, gross (total)	2		
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-5		
4123	Non-Federal sources	-1	-1	
4130	Offsets against gross budget authority and outlays (total)	-6	-1	
4160	Budget authority, net (mandatory)	-2	1	1
4170	Outlays, net (mandatory)	-4	-1	
4180	Budget authority, net (total)	-2	1	1
4190	Outlays, net (total)	-4	-1	

Status of Direct Loans (in millions of dollars)

Identification code 075-4482-0-3-551	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	480	480
1263	Write-offs for default: Direct loans		-139
1290	Outstanding, end of year	480	341

Balance Sheet (in millions of dollars)

Identification code 075-4482-0-3-551	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	5
Investments in U.S. securities:		
1106	Receivables, net	5
1206	Non-Federal assets: Receivables, net	
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	480
1402	Interest receivable	5
1405	Allowance for subsidy cost (-)	-429
1499	Net present value of assets related to direct loans	56
1999	Total assets	66
LIABILITIES:		
Federal liabilities:		
2103	Debt	65
2104	Resources payable to Treasury	
2207	Non-Federal liabilities: Other	
2999	Total liabilities	65
NET POSITION:		
3300	Cumulative results of operations	1
4999	Total liabilities and net position	66

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	164,161	156,175
			146,288

Receipts:

Current law:			
1110	FHI Trust Fund, Transfers from General Fund (FICA Taxes)	258,083	271,553
1110	FHI Trust Fund, Receipts from Railroad Retirement Board	610	586
1110	FHI Trust Fund, Transfers from General Fund (SECA Taxes)	18,879	20,005
1110	FHI Trust Fund, Civil Penalties and Damages	815	614
1130	FHI Trust Fund, Other Proprietary Interest from the Public		2
1130	FHI Trust Fund, Basic Premium, Medicare Advantage	387	511
1130	FHI Trust Fund, Medicare Refunds	6,231	6,100
1130	Affordable Care Act Medicare Shared Savings Models (HI)	79	48
1130	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,823	4,207
1140	FHI Trust Fund, Federal Employer Contributions (FICA)	3,817	4,060
1140	FHI Trust Fund, Postal Service Employer Contributions (FICA)	662	661
1140	FHI Trust Fund, Interest Received by Trust Funds	6,927	6,129
1140	FHI Trust Fund, Taxation on OASDI Benefits	23,781	26,941
1140	FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	138	135
1140	FHI Trust Fund, Transfers from General Fund (criminal Fines)	15	88
1140	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	64	52
1140	FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	37	31
1140	FHI Trust Fund, Interest Payments by Railroad Retirement Board	24	23
1140	FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	1,177	1,293
1199	Total current law receipts	325,549	343,039
Proposed:			
1210	FHI Trust Fund, Transfers from General Fund (FICA Taxes)		-83
1230	FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible		-235
1240	FHI Trust Fund, Interest Received by Trust Funds		247
1240	FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)		2
1299	Total proposed receipts		-69
1999	Total receipts	325,549	343,039
2000	Total: Balances and receipts	489,710	499,214
Appropriations:			
Current law:			
2101	Federal Hospital Insurance Trust Fund	-3,039	-2,689
2101	Federal Hospital Insurance Trust Fund	-320,420	-338,930
2101	Health Care Fraud and Abuse Control Account	-765	-786
2101	Health Care Fraud and Abuse Control Account	-1,380	-1,406
2103	Federal Hospital Insurance Trust Fund	-14,649	-9,153
2132	Federal Hospital Insurance Trust Fund	6,636	
2132	Health Care Fraud and Abuse Control Account	49	49
2199	Total current law appropriations	-333,568	-352,915
Proposed:			
2201	Federal Hospital Insurance Trust Fund		-247
2201	Federal Hospital Insurance Trust Fund		235
2203	Federal Hospital Insurance Trust Fund		-11
2234	Federal Hospital Insurance Trust Fund		16,932
2299	Total proposed appropriations		-11
2999	Total appropriations	-333,568	-352,926
3098	Federal Hospital Insurance Trust Fund	33	
5099	Balance, end of year	156,175	146,288

Program and Financing (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Benefit payments, HI	326,346	346,980
0002	HIT Incentive Payments	44	15
0003	Administration, HI	4,456	2,871
0004	Quality improvement organizations, HI	636	907
0799	Total direct obligations	331,482	350,773
0900	Total new obligations, unexpired accounts	331,482	350,773

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1
1001	Discretionary unobligated balance brought fwd, Oct 1		1
1021	Recoveries of prior year unpaid obligations	39	
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	-33	

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued
Program and Financing—Continued

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
1033 Recoveries of prior year paid obligations	5		
1050 Unobligated balance (total)	11	1	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,039	2,689	2,789
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	320,420	338,930	357,148
1203 Appropriation (previously unavailable)(special or trust)	14,649	9,153	15,814
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced (Sequester)	-6,636		
1260 Appropriations, mandatory (total)	328,433	348,083	372,962
1900 Budget authority (total)	331,472	350,772	375,751
1930 Total budgetary resources available	331,483	350,773	375,751
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	36,686	40,274	40,867
3001 Adjustments to unpaid obligations, brought forward, Oct 1	5		
3010 New obligations, unexpired accounts	331,482	350,773	375,751
3020 Outlays (gross)	-327,860	-350,180	-375,341
3040 Recoveries of prior year unpaid obligations, unexpired	-39		
3050 Unpaid obligations, end of year	40,274	40,867	41,277
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	36,691	40,274	40,867
3200 Obligated balance, end of year	40,274	40,867	41,277
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,039	2,689	2,789
Outlays, gross:			
4010 Outlays from new discretionary authority	1,883	1,469	1,551
4011 Outlays from discretionary balances	1,062	494	697
4020 Outlays, gross (total)	2,945	1,963	2,248
Mandatory:			
4090 Budget authority, gross	328,433	348,083	372,962
Outlays, gross:			
4100 Outlays from new mandatory authority	292,819	311,142	339,784
4101 Outlays from mandatory balances	32,096	37,075	33,309
4110 Outlays, gross (total)	324,915	348,217	373,093
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-5		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	5		
4160 Budget authority, net (mandatory)	328,433	348,083	372,962
4170 Outlays, net (mandatory)	324,910	348,217	373,093
4180 Budget authority, net (total)	331,472	350,772	375,751
4190 Outlays, net (total)	327,855	350,180	375,341
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	202,805	198,625	189,516
5001 Total investments, EOY: Federal securities: Par value	198,625	189,516	189,717

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	331,472	350,772	375,751
Outlays	327,855	350,180	375,341
Legislative proposal, subject to PAYGO:			
Budget Authority		11	-17,167
Outlays		11	-17,167
Total:			
Budget Authority	331,472	350,783	358,584
Outlays	327,855	350,191	358,174

Status of Funds (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	203,227	198,894	189,672
0298 Adjustment to reconcile to proprietary accounting	1		
0999 Total balance, start of year	203,228	198,894	189,672
Cash income during the year:			
Current law:			
Receipts:			
1110 FHI Trust Fund, Transfers from General Fund (FICA Taxes)	258,083	271,553	286,235
1110 FHI Trust Fund, Receipts from Railroad Retirement Board	610	586	619
1110 FHI Trust Fund, Transfers from General Fund (SECA Taxes)	18,879	20,005	21,268
1110 FHI Trust Fund, Civil Penalties and Damages	815	614	575
1130 FHI Trust Fund, Basic Premium, Medicare Advantage	387	511	573
1130 FHI Trust Fund, Medicare Refunds	6,231	6,100	6,150
1130 Affordable Care Act Medicare Shared Savings Models (HI)	79	48	48
1130 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible	3,823	4,207	4,511
1130 Federal Hospital Insurance Trust Fund	5		
1150 FHI Trust Fund, Interest Received by Trust Funds	6,927	6,129	5,688
1150 FHI Trust Fund, Other Proprietary Interest from the Public		2	2
1150 FHI Trust Fund, Interest Payments by Railroad Retirement Board	24	23	22
1160 FHI Trust Fund, Federal Employer Contributions (FICA)	3,817	4,060	4,219
1160 FHI Trust Fund, Postal Service Employer Contributions (FICA)	662	661	660
1160 FHI Trust Fund, Taxation on OASDI Benefits	23,781	26,941	29,300
1160 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account	138	135	138
1160 FHI Trust Fund, Transfers from General Fund (criminal Fines)	15	88	36
1160 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)	64	52	52
1160 FHI Trust Fund, Transfers from General Fund (asset Forfeitures)	37	31	32
1160 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management)	1,177	1,293	1,306
1199 Income under present law	325,554	343,039	361,434
Proposed:			
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes)			
1210 FHI Trust Fund, Transfers from General Fund (FICA Taxes)			-83
Offsetting receipts (proprietary):			
1230 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible			-235
1250 FHI Trust Fund, Interest Received by Trust Funds			247
Offsetting governmental receipts:			
1260 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties)			2
1299 Income proposed			-69
1999 Total cash income	325,554	343,039	361,365
Cash outgo during year:			
Current law:			
2100 Federal Hospital Insurance Trust Fund [Budget Acct]	-327,860	-350,180	-375,341
2100 Health Care Fraud and Abuse Control Account [Budget Acct]	-1,965	-2,070	-2,156
2199 Outgo under current law	-329,825	-352,250	-377,497
Proposed:			
2200 Federal Hospital Insurance Trust Fund		-11	17,167
2299 Outgo under proposed legislation		-11	17,167
2999 Total cash outgo (-)	-329,825	-352,261	-360,330
Surplus or deficit:			
3110 Excluding interest	-11,222	-15,376	-4,924
3120 Interest	6,951	6,154	5,959
3199 Subtotal, surplus or deficit	-4,271	-9,222	1,035
3298 Adjustment to reconcile to proprietary accounting	-63		
3299 Total adjustments	-63		
3999 Total change in fund balance	-4,334	-9,222	1,035
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	269	156	990
4200 Federal Hospital Insurance Trust Fund	198,625	189,516	189,717
4999 Total balance, end of year	198,894	189,672	190,707

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled persons.

Object Classification (in millions of dollars)

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Payment for Quality Improvement Organization (QIO) activities	636	907	340
42.0 Insurance claims and indemnities (benefits)	326,390	346,995	372,428
94.0 Financial transfers	4,456	2,871	2,983
99.9 Total new obligations, unexpired accounts	331,482	350,773	375,751

Employment Summary

Identification code 075-8005-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1	1

FEDERAL HOSPITAL INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8005-2-7-571	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			247
1235 Appropriations precluded from obligation (special or trust)			-247
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

FEDERAL HOSPITAL INSURANCE TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8005-4-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments, HI			-17,185
0003 Administration, HI		11	18
0799 Total direct obligations		11	-17,167
0900 Total new obligations, unexpired accounts		11	-17,167
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-235
1203 Appropriation (previously unavailable)(special or trust)		11	
1234 Appropriations precluded from obligation			-16,932
1260 Appropriations, mandatory (total)		11	-17,167
1900 Budget authority (total)		11	-17,167
1930 Total budgetary resources available		11	-17,167
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		11	-17,167
3020 Outlays (gross)		-11	17,167
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		11	-17,167
Outlays, gross:			
4100 Outlays from new mandatory authority		11	-17,167
4180 Budget authority, net (total)		11	-17,167
4190 Outlays, net (total)		11	-17,167

The Budget includes a package of Medicare proposals designed to eliminate wasteful spending, preserve and expand beneficiary access to care, enhance choice and competition, and strengthen Medicare's fiscal sustainability, consistent with the President's Executive Order on *Protecting and Improving Medicare for Our Nation's Seniors*.

Object Classification (in millions of dollars)

Identification code 075-8005-4-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0 Insurance claims and indemnities (benefits)			-17,185
94.0 Financial transfers		11	18
99.9 Total new obligations, unexpired accounts		11	-17,167

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

In addition to amounts otherwise available for program integrity and program management, **[\$786,000,000]** \$813,000,000, to remain available through September 30, **[2021]** 2022, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which **[\$610,000,000]** \$628,356,426 shall be for the Centers for Medicare & Medicaid Services program integrity activities, of which **[\$93,000,000]** \$101,643,574 shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act, and of which \$83,000,000 shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: *Provided*, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year **[2020]** 2021 shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation: *Provided further*, That of the amount provided under this heading, \$311,000,000 is provided to meet the terms of section 251(b)(2)(C)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$475,000,000]** \$496,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(C) of such Act: *Provided further*, That **[the Secretary shall provide not less than \$18,000,000 for the Senior Medicare Patrol program to combat health care fraud and abuse from the funds provided to this account]** amounts made available under this heading and amounts made available for fiscal year 2021 in section 1817(k)(3)(A) of the Social Security Act shall also be available for the Senior Medicare Patrol Program to combat health care fraud and abuse. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medicare integrity program	887	915	949
0002 FBI fraud and abuse control	138	141	154
0003 Other fraud and abuse control	285	301	324
0005 Undistributed Savings, HCFA and SSA		-31	-210
0091 Total Mandatory	1,310	1,326	1,217
0101 CMS discretionary	554	610	628
0102 Other discretionary	166	176	185
0191 Total Discretionary	720	786	813
0900 Total new obligations, unexpired accounts	2,030	2,112	2,030
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	471	574	605
1001 Discretionary unobligated balance brought fwd, Oct 1	201	574	
1021 Recoveries of prior year unpaid obligations	41		
1050 Unobligated balance (total)	512	574	605
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	765	786	813
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,380	1,406	1,427
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-49	-49	
1260 Appropriations, mandatory (total)	1,331	1,357	1,427
1900 Budget authority (total)	2,096	2,143	2,240
1930 Total budgetary resources available	2,608	2,717	2,845
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	574	605	815
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	4		
1952 Expired unobligated balance, start of year	31	36	36

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued
Program and Financing—Continued

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
1953 Expired unobligated balance, end of year	32	36	36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,970	1,977	2,019
3010 New obligations, unexpired accounts	2,030	2,112	2,030
3020 Outlays (gross)	-1,965	-2,070	-2,156
3040 Recoveries of prior year unpaid obligations, unexpired	-41		
3041 Recoveries of prior year unpaid obligations, expired	-17		
3050 Unpaid obligations, end of year	1,977	2,019	1,893
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,970	1,977	2,019
3200 Obligated balance, end of year	1,977	2,019	1,893
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	765	786	813
Outlays, gross:			
4010 Outlays from new discretionary authority	107	314	325
4011 Outlays from discretionary balances	601	109	353
4020 Outlays, gross (total)	708	423	678
Mandatory:			
4090 Budget authority, gross	1,331	1,357	1,427
Outlays, gross:			
4100 Outlays from new mandatory authority	415	689	608
4101 Outlays from mandatory balances	842	958	870
4110 Outlays, gross (total)	1,257	1,647	1,478
4180 Budget authority, net (total)	2,096	2,143	2,240
4190 Outlays, net (total)	1,965	2,070	2,156

The Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Centers for Medicare and Medicaid Services (CMS) program integrity that will supplement other CMS program integrity funds. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

Object Classification (in millions of dollars)

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent (CMS)	42	46	46
11.7 Military personnel	2	2	2
11.9 Total personnel compensation	44	48	48
12.1 Civilian personnel benefits (CMS)	10	11	11
12.2 Military personnel benefits	1	2	1
23.3 Communications, utilities, and miscellaneous charges	11	13	13
25.2 Other services (CMS/Medicaid/Private Insurance)	109		
25.3 Other purchases of goods and services from Government accounts (HHS/DOJ)	140	145	150
25.3 Other purchases of goods and services from Government accounts (HHS/OIG)	298	303	327
25.3 Other purchases of goods and services from Government accounts (HHS/OGC)	7	7	7
25.3 Other goods and services from Federal sources (HHS/CMS)		15	18
25.3 Other goods and services from Government accounts (HHS/FDA)	6	6	6
25.6 Medical care (CMS)	1,266	1,452	1,505
92.0 Undistributed		-31	-210
94.0 Financial transfers (FBI)	138	141	154
99.9 Total new obligations, unexpired accounts	2,030	2,112	2,030

Employment Summary

Identification code 075-8393-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	410	430	430

1101 Direct military average strength employment	16	16	16
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FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	67,416	67,661	67,581
0198 Adjustment to reconcile to budgetary accounting	-580		
0199 Balance, start of year	66,836	67,661	67,581
Receipts:			
Current law:			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	2,437	2,800	2,800
1130 Other Proprietary Interest from the Public, FSMI Fund		3	3
1130 Premiums Collected for Medicare Prescription Drug Account, FSMI	5,542	5,780	6,338
1130 Payments from States, Medicare Prescription Drug Account, FSMI	12,154	12,478	13,255
1130 Basic Premium, Medicare Advantage, FSMI Trust Fund	486	639	725
1130 Medicare Refunds, SMI	4,961	5,011	5,061
1130 Affordable Care Act Medicare Shared Savings Models, SMI	72	45	45
1130 Premiums Collected for the Aged, FSMI Fund	84,468	95,004	101,235
1130 Premiums Collected for the Disabled, FSMI Fund	13,290	13,409	13,683
1140 Federal Contributions, FSMI Fund	265,819	287,016	300,234
1140 Interest Received by Trust Fund, FSMI Fund	2,657	1,182	1,333
1140 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI		5	5
1140 Interest, Medicare Prescription Drug Account, FSMI	65	48	51
1140 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	1,196	861	882
1140 Federal Contributions for Benefits, Prescription Drug Account, SMI	66,687	71,172	84,003
1140 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
1199 Total current law receipts	459,835	495,454	529,654
Proposed:			
1230 Premiums Collected for the Aged, FSMI Fund			-2,186
1230 Premiums Collected for the Disabled, FSMI Fund			-295
1240 Federal Contributions, FSMI Fund			-7,383
1299 Total proposed receipts			-9,864
1999 Total receipts	459,835	495,454	519,790
2000 Total: Balances and receipts	526,671	563,115	587,371
Appropriations:			
Current law:			
2101 Federal Supplementary Medical Insurance Trust Fund	-2,998	-3,141	-3,101
2101 Federal Supplementary Medical Insurance Trust Fund	-371,197	-399,303	-422,011
2101 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	-641	-861	-882
2101 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund	-84,998	-89,915	-102,850
2103 Federal Supplementary Medical Insurance Trust Fund	-5,987	-2,301	-5,492
2132 Federal Supplementary Medical Insurance Trust Fund	7,317		
2199 Total current law appropriations	-458,504	-495,521	-534,336
Proposed:			
2201 Federal Supplementary Medical Insurance Trust Fund			7,383
2201 Federal Supplementary Medical Insurance Trust Fund			2,481
2203 Federal Supplementary Medical Insurance Trust Fund			-7,383
2203 Federal Supplementary Medical Insurance Trust Fund		-13	-20
2234 Federal Supplementary Medical Insurance Trust Fund			7,384
2299 Total proposed appropriations		-13	9,845
2999 Total appropriations	-458,504	-495,534	-524,491
3098 Federal Supplementary Medical Insurance Trust Fund	-41		
5098 Adjustment to reconcile to budgetary accounting	-465		
5099 Balance, end of year	67,661	67,581	62,880

Program and Financing (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments, SMI	371,048	398,010	425,125
0002 Transfer to Medicaid for payment of SMI premiums		1,142	1,249
0004 Administration, SMI		3,133	3,101
0005 Quality Improvement Organizations, SMI		436	163

0799	Total direct obligations	371,048	402,721	429,638
0900	Total new obligations, unexpired accounts	371,048	402,721	429,638
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1			34
1021	Recoveries of prior year unpaid obligations	9		
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	41		
1033	Recoveries of prior year paid obligations	5		
1050	Unobligated balance (total)	55		34
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	2,998	3,141	3,101
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	371,197	399,303	422,011
1203	Appropriation (previously unavailable)(special or trust)	5,987	2,301	5,492
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-7,317		
1236	Appropriations applied to repay debt	-1,872	-1,990	-1,000
1260	Appropriations, mandatory (total)	367,995	399,614	426,503
1900	Budget authority (total)	370,993	402,755	429,604
1930	Total budgetary resources available	371,048	402,755	429,638
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year		34	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	27,919	31,871	32,154
3001	Adjustments to unpaid obligations, brought forward, Oct 1	505		
3010	New obligations, unexpired accounts	371,048	402,721	429,638
3020	Outlays (gross)	-367,592	-402,438	-429,533
3040	Recoveries of prior year unpaid obligations, unexpired	-9		
3050	Unpaid obligations, end of year	31,871	32,154	32,259
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	28,424	31,871	32,154
3200	Obligated balance, end of year	31,871	32,154	32,259
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,998	3,141	3,101
Outlays, gross:				
4010	Outlays from new discretionary authority	1,691	2,074	2,118
4011	Outlays from discretionary balances	1,317	442	691
4020	Outlays, gross (total)	3,008	2,516	2,809
Mandatory:				
4090	Budget authority, gross	367,995	399,614	426,503
Outlays, gross:				
4100	Outlays from new mandatory authority	339,640	369,426	395,419
4101	Outlays from mandatory balances	24,944	30,496	31,305
4110	Outlays, gross (total)	364,584	399,922	426,724
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-5		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	5		
4160	Budget authority, net (mandatory)	367,995	399,614	426,503
4170	Outlays, net (mandatory)	364,579	399,922	426,724
4180	Budget authority, net (total)	370,993	402,755	429,604
4190	Outlays, net (total)	367,587	402,438	429,533
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	98,197	104,716	105,611
5001	Total investments, EOY: Federal securities: Par value	104,716	105,611	102,161
5080	Outstanding debt, SOY	-5,024	-3,152	-1,162
5081	Outstanding debt, EOY	-3,152	-1,162	-162

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	370,993	402,755	429,604
Outlays	367,587	402,438	429,533
Legislative proposal, subject to PAYGO:			
Budget Authority		13	-9,845
Outlays		13	-9,845
Total:	370,993	402,768	419,759

Outlays	367,587	402,451	419,688
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The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician care and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed by premium payments from enrollees and contributions from the general revenues.

Status of Funds (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	96,946	104,053	109,261
0999 Total balance, start of year	96,946	104,053	109,261
Cash income during the year:			
Current law:			
Receipts:			
1110 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI	2,437	2,800	2,800
1130 Premiums Collected for Medicare Prescription Drug Account, FSMI	5,542	5,780	6,338
1130 Payments from States, Medicare Prescription Drug Account, FSMI	12,154	12,478	13,255
1130 Basic Premium, Medicare Advantage, FSMI Trust Fund	486	639	725
1130 Medicare Refunds, SMI	4,961	5,011	5,061
1130 Affordable Care Act Medicare Shared Savings Models, SMI	72	45	45
1130 Premiums Collected for the Aged, FSMI Fund	84,468	95,004	101,235
1130 Premiums Collected for the Disabled, FSMI Fund	13,290	13,409	13,683
1130 Federal Supplementary Medical Insurance Trust Fund	5		
1150 Interest Received by Trust Fund, FSMI Fund	2,657	1,182	1,333
1150 Other Proprietary Interest from the Public, FSMI Fund		3	3
1150 Interest, Medicare Prescription Drug Account, FSMI	65	48	51
1160 Federal Contributions, FSMI Fund	265,819	287,016	300,234
1160 Federal Contribution, State Low-income Determinations, Prescription Drug Account, FSMI		5	5
1160 Federal Contribution for Admin. Contribution for Admin. Costs, Prescription Drug Account, FSMI	1,196	861	882
1160 Federal Contributions for Benefits, Prescription Drug Account, SMI	66,687	71,172	84,003
1160 Miscellaneous Federal Payments, Federal Supplementary Medical Insurance Trust Fund	1	1	1
1160 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund		2,564	
1199 Income under present law	459,840	498,018	529,654
Proposed:			
Offsetting receipts (proprietary):			
1230 Premiums Collected for Medicare Prescription Drug Account, FSMI			
1230 Premiums Collected for the Aged, FSMI Fund			-2,186
1230 Premiums Collected for the Disabled, FSMI Fund			-295
Offsetting governmental receipts:			
1260 Federal Contributions, FSMI Fund			-7,383
1260 Federal Contributions for Benefits, Prescription Drug Account, SMI			
1299 Income proposed			-9,884
1999 Total cash income	459,840	498,018	519,790
Cash outgo during year:			
Current law:			
2100 Federal Supplementary Medical Insurance Trust Fund [Budget Acct]	-367,592	-402,438	-429,533
2100 Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund [Budget Acct]	-85,140	-90,359	-103,451
2199 Outgo under current law	-452,732	-492,797	-532,984
Proposed:			
2200 Federal Supplementary Medical Insurance Trust Fund		-13	9,845
2299 Outgo under proposed legislation		-13	9,845
2999 Total cash outgo (-)	-452,732	-492,810	-523,139
Surplus or deficit:			
3110 Excluding interest	4,386	3,975	-4,736
3120 Interest	2,722	1,233	1,387
3199 Subtotal, surplus or deficit	7,108	5,208	-3,349
3298 Adjustment to reconcile to proprietary accounting	-1		
3299 Total adjustments	-1		
3999 Total change in fund balance	7,107	5,208	-3,349
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-663	3,650	3,751

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued
Status of Funds—Continued

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
4200 Federal Supplementary Medical Insurance Trust Fund	104,716	105,611	102,161
4999 Total balance, end of year	104,053	109,261	105,912

Object Classification (in millions of dollars)

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
41.0 Payment for Quality Improvement Organization (QIO) activity	429	436	163
42.0 Insurance claims and indemnities	366,367	402,284	429,474
94.0 Financial transfers	4,252		
99.0 Direct obligations	371,048	402,721	429,638
99.9 Total new obligations, unexpired accounts	371,048	402,721	429,638

Employment Summary

Identification code 075-8004-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	6	6

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8004-2-7-571	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-7,383
1203 Appropriation (previously unavailable)(special or trust)			7,383
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-8004-4-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments, SMI		13	-9,845
0799 Total direct obligations		13	-9,845
0900 Total new obligations, unexpired accounts (object class 42.0)		13	-9,845
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-2,481
1203 Appropriation (previously unavailable)(special or trust)		13	20
1234 Appropriations precluded from obligation			-7,384
1260 Appropriations, mandatory (total)		13	-9,845
1900 Budget authority (total)		13	-9,845
1930 Total budgetary resources available		13	-9,845
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		13	-9,845
3020 Outlays (gross)		-13	9,845
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		13	-9,845
Outlays, gross:			
4100 Outlays from new mandatory authority		13	-9,845
4180 Budget authority, net (total)		13	-9,845
4190 Outlays, net (total)		13	-9,845

The Budget includes a package of Medicare proposals designed to eliminate wasteful spending, preserve and expand beneficiary access to care, enhance choice and competition, and strengthen Medicare's fiscal sustainability, consistent with the President's Executive Order on *Protecting and Improving Medicare for Our Nation's Seniors*.

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 075-8308-0-7-571	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Prescription Drug Benefits	87,561	89,915	102,850
0002 Administrative Costs	642	861	882
0799 Total direct obligations	88,203	90,776	103,732
0900 Total new obligations, unexpired accounts	88,203	90,776	103,732
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	641	861	882
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	84,998	89,915	102,850
Spending authority from offsetting collections, mandatory:			
1800 Collected		2,564	
1801 Change in uncollected payments, Federal sources	2,564	-2,564	
1850 Spending auth from offsetting collections, mand (total)	2,564		
1900 Budget authority (total)	88,203	90,776	103,732
1930 Total budgetary resources available	88,203	90,776	103,732
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7,440	10,503	10,920
3010 New obligations, unexpired accounts	88,203	90,776	103,732
3020 Outlays (gross)	-85,140	-90,359	-103,451
3050 Unpaid obligations, end of year	10,503	10,920	11,201
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-225	-2,789	-225
3070 Change in uncollected pymts, Fed sources, unexpired	-2,564	2,564	
3090 Uncollected pymts, Fed sources, end of year	-2,789	-225	-225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7,215	7,714	10,695
3200 Obligated balance, end of year	7,714	10,695	10,976
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	641	861	882
Outlays, gross:			
4010 Outlays from new discretionary authority	313	295	321
4011 Outlays from discretionary balances	147	141	273
4020 Outlays, gross (total)	460	436	594
Mandatory:			
4090 Budget authority, gross	87,562	89,915	102,850
Outlays, gross:			
4100 Outlays from new mandatory authority	77,992	79,914	91,850
4101 Outlays from mandatory balances	6,688	10,009	11,007
4110 Outlays, gross (total)	84,680	89,923	102,857
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources:		-2,564	
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-2,564	2,564	
4160 Budget authority, net (mandatory)	84,998	89,915	102,850
4170 Outlays, net (mandatory)	84,680	87,359	102,857
4180 Budget authority, net (total)	85,639	90,776	103,732
4190 Outlays, net (total)	85,140	87,795	103,451

Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit.

Object Classification (in millions of dollars)

Identification code 075-8308-0-7-571	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	642	861	882
42.0 Insurance claims and indemnities	87,561	89,915	102,850
99.0 Direct obligations	88,203	90,776	103,732
99.9 Total new obligations, unexpired accounts	88,203	90,776	103,732

Employment Summary

Identification code 075-8308-0-7-571	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

The Budget supports comprehensive drug pricing reform legislation including modernization of the Part D benefit.

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 075-1552-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State family assistance grant	16,433	16,433	16,433
0002 Territories - family assistance grants	78	78	78
0006 Tribal work programs	7	8	8
0009 Healthy marriage and responsible fatherhood grants	139	149	150
0010 Evaluation Funding and What Works Clearinghouse	44	45	45
0011 Census Bureau Research	8	10	10
0900 Total new obligations, unexpired accounts	16,709	16,723	16,724
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	16,739	16,739	16,739
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2		
1260 Appropriations, mandatory (total)	16,737	16,739	16,739
1900 Budget authority (total)	16,737	16,739	16,739
1930 Total budgetary resources available	16,737	16,739	16,740
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-28	-15	-15
1941 Unexpired unobligated balance, end of year		1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8,070	9,283	9,900
3010 New obligations, unexpired accounts	16,709	16,723	16,724
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-15,497	-16,106	-16,788
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	9,283	9,900	9,836
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8,070	9,283	9,900
3200 Obligated balance, end of year	9,283	9,900	9,836

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	16,737	16,739	16,739
Outlays, gross:			
4100 Outlays from new mandatory authority	10,210	10,546	10,546
4101 Outlays from mandatory balances	5,287	5,560	6,242
4110 Outlays, gross (total)	15,497	16,106	16,788
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		

Additional offsets against gross budget authority only:

4142 Offsetting collections credited to expired accounts	1		
4160 Budget authority, net (mandatory)	16,737	16,739	16,739
4170 Outlays, net (mandatory)	15,496	16,106	16,788
4180 Budget authority, net (total)	16,737	16,739	16,739
4190 Outlays, net (total)	15,496	16,106	16,788

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	16,737	16,739	16,739
Outlays	15,496	16,106	16,788
Legislative proposal, subject to PAYGO:			
Budget Authority			-1,494
Outlays			-1,073
Total:			
Budget Authority	16,737	16,739	15,245
Outlays	15,496	16,106	15,715

This account provides funding for the Temporary Assistance for Needy Families (TANF) block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). TANF's authorization was most recently extended in the Further Consolidated Appropriations Act, 2020 (P. L. 116-94).

Object Classification (in millions of dollars)

Identification code 075-1552-0-1-609	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	10	10	10
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	72	72	72
25.2 Other services from non-Federal sources	17	17	17
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	16,604	16,618	16,619
99.9 Total new obligations, unexpired accounts	16,709	16,723	16,724

Employment Summary

Identification code 075-1552-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	98	97	100

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1552-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State family assistance grant			-1,594
0002 Territories - family assistance grants			-8
0010 Evaluation Funding and What Works Clearinghouse			8
0012 Opportunity and Economic Mobility Demonstrations			100
0900 Total new obligations, unexpired accounts (object class 41.0)			-1,494
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-1,494
1900 Budget authority (total)			-1,494
1930 Total budgetary resources available			-1,494

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-1,494
3020 Outlays (gross)			1,073
3050 Unpaid obligations, end of year			-421

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES—Continued
Program and Financing—Continued

Identification code 075-1552-4-1-609	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-421
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-1,494
Outlays, gross:			
4100 Outlays from new mandatory authority			-1,073
4180 Budget authority, net (total)			-1,494
4190 Outlays, net (total)			-1,073

The Budget proposes comprehensive reforms to TANF, including phasing out the work participation rate and transitioning to employment outcome and engagement measures, and modifying spending rules to refocus the program on core activities. The Budget also continues the proposal to reduce funding for TANF Family Assistance Grants to States, territories, and tribes by 10 percent. In addition, the Budget creates a demonstration opportunity for states to grow capacity across public safety net benefit programs to reduce dependency.

CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 075-1522-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contingency Fund for State Welfare Programs	608	608	608
0900 Total new obligations, unexpired accounts (object class 41.0)	608	608	608
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	608	608	608
1930 Total budgetary resources available	608	608	608

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	55	45	45
3010 New obligations, unexpired accounts	608	608	608
3020 Outlays (gross)	-600	-608	-608
3041 Recoveries of prior year unpaid obligations, expired	-18		
3050 Unpaid obligations, end of year	45	45	45
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	55	45	45
3200 Obligated balance, end of year	45	45	45

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	608	608	608
Outlays, gross:			
4100 Outlays from new mandatory authority	574	563	545
4101 Outlays from mandatory balances	26	45	63
4110 Outlays, gross (total)	600	608	608
4180 Budget authority, net (total)	608	608	608
4190 Outlays, net (total)	600	608	608

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	608	608	608
Outlays	600	608	608
Legislative proposal, subject to PAYGO:			
Budget Authority			-608
Outlays			-608
Total:			
Budget Authority	608	608	
Outlays	600	608	

The TANF Contingency Fund provides a funding reserve of \$608 million to assist states that meet certain criteria related to the state's unemployment rate and Supplemental Nutrition Assistance Program (SNAP) caseload. In order to qualify for contingency funds, States must also meet a higher maintenance-of-effort requirement of 100 percent of historical expenditures. The authorization for the Contingency Fund was most recently extended in the Further Consolidated Appropriations Act, 2020 (P.L. 116-94).

CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1522-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contingency Fund for State Welfare Programs			-608
0900 Total new obligations, unexpired accounts (object class 41.0)			-608
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-608
1930 Total budgetary resources available			-608

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-608
3020 Outlays (gross)			608

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-608
Outlays, gross:			
4100 Outlays from new mandatory authority			-545
4101 Outlays from mandatory balances			-63
4110 Outlays, gross (total)			-608
4180 Budget authority, net (total)			-608
4190 Outlays, net (total)			-608

The Budget proposes to eliminate funding for the Contingency Fund.

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For carrying out, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, **[\$2,890,000,000]** \$3,039,000,000, to remain available until expended; and for such purposes for the first quarter of fiscal year **[2021]** 2022, \$1,400,000,000, to remain available until expended.

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-1501-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State child support administrative costs	3,979	4,032	3,988
0002 Child support incentive payments	571	581	607
0003 Access and visitation grants	10	10	10
0091 Subtotal, child support enforcement	4,560	4,623	4,605
0102 Payments to territories	33	33	33
0103 Repatriation	1	1	1
0191 Subtotal, other payments	34	34	34
0799 Total direct obligations	4,594	4,657	4,639
0801 Offset obligations (CSE grants to States)	13	1	
0900 Total new obligations, unexpired accounts	4,607	4,658	4,639

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	141	55
1021	Recoveries of prior year unpaid obligations	251	200
1037	Unobligated balance of appropriations withdrawn	-52	
1050	Unobligated balance (total)	340	255
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	2,922	3,002
Advance appropriations, mandatory:			
1270	Advance appropriation	1,400	1,400
Spending authority from offsetting collections, mandatory:			
1800	Collected		1
1900	Budget authority (total)	4,322	4,403
1930	Total budgetary resources available	4,662	4,639
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	55	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,383	1,622
3010	New obligations, unexpired accounts	4,607	4,658
3020	Outlays (gross)	-4,117	-4,325
3040	Recoveries of prior year unpaid obligations, unexpired	-251	-200
3050	Unpaid obligations, end of year	1,622	1,755
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,383	1,622
3200	Obligated balance, end of year	1,622	1,755
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	4,322	4,403
Outlays, gross:			
4100	Outlays from new mandatory authority	3,474	3,762
4101	Outlays from mandatory balances	643	563
4110	Outlays, gross (total)	4,117	4,325
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources		-1
4180	Budget authority, net (total)	4,322	4,402
4190	Outlays, net (total)	4,117	4,324

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,322	4,402	4,439
Outlays	4,117	4,324	4,351
Legislative proposal, subject to PAYGO:			
Budget Authority			19
Outlays			19
Total:			
Budget Authority	4,322	4,402	4,458
Outlays	4,117	4,324	4,370

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account.

Object Classification (in millions of dollars)

Identification code 075-1501-0-1-609	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	4,594	4,658	4,639
99.0 Reimbursable obligations	13		
99.9 Total new obligations, unexpired accounts	4,607	4,658	4,639

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1501-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State child support administrative costs			18

0091	Subtotal, child support enforcement		18
0103	Repatriation		1
0191	Subtotal, other payments		1
0799	Total direct obligations		19
0900	Total new obligations, unexpired accounts (object class 41.0)		19

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation		19
1900	Budget authority (total)		19
1930	Total budgetary resources available		19

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		19
3020	Outlays (gross)		-19

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		19
Outlays, gross:			
4100	Outlays from new mandatory authority		19
4180	Budget authority, net (total)		19
4190	Outlays, net (total)		19

The Budget improves establishment and enforcement procedures by closing loopholes and improving Federal processes. Proposals promote work programs, increase collections, enhance distribution, and improve program efficiency.

LOW INCOME HOME ENERGY ASSISTANCE

For making payments under subsections (b) and (d) of section 2602 of the Low-Income Home Energy Assistance Act of 1981 (42 U.S.C. 8621 et seq.), \$3,740,304,000: *Provided*, That notwithstanding section 2609A(a) of such Act, not more than \$2,988,000 may be reserved by the Secretary of Health and Human Services for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures and the Secretary may, in addition to the authorities provided in section 2609A(a)(1), use such funds through contracts with private entities that do not qualify as nonprofit organizations: *Provided further*, That all but \$753,000,000 of the amount appropriated under this heading shall be allocated as though the total appropriation for such payments for fiscal year 2020 was less than \$1,975,000,000: *Provided further*, That, after applying all applicable provisions of section 2604 of such Act and the previous proviso, each State or territory that would otherwise receive an allocation that is less than 97 percent of the amount that it received under this heading for fiscal year 2019 from amounts appropriated in Public Law 115-245 shall have its allocation increased to that 97 percent level, with the portions of other States' and territories' allocations that would exceed 100 percent of the amounts they respectively received in such fashion for fiscal year 2019 being ratably reduced. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1502-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 LIHEAP Block Grant	3,653	3,740	
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	3
1012	Unobligated balance transfers between expired and unexpired accounts	2	
1050	Unobligated balance (total)	3	3
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	3,690	3,740
1120	Appropriations transferred to other acct [075-1503]	-37	
1160	Appropriation, discretionary (total)	3,653	3,740
1930	Total budgetary resources available	3,656	3,743
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	3

LOW INCOME HOME ENERGY ASSISTANCE—Continued
Program and Financing—Continued

Identification code 075-1502-0-1-609	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,630	1,570	1,553
3010 New obligations, unexpired accounts	3,653	3,740
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-3,696	-3,757	-1,296
3041 Recoveries of prior year unpaid obligations, expired	-18
3050 Unpaid obligations, end of year	1,570	1,553	257
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,630	1,570	1,553
3200 Obligated balance, end of year	1,570	1,553	257
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,653	3,740
Outlays, gross:			
4010 Outlays from new discretionary authority	2,308	2,308
4011 Outlays from discretionary balances	1,388	1,449	1,296
4020 Outlays, gross (total)	3,696	3,757	1,296
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1
4070 Budget authority, net (discretionary)	3,653	3,740
4080 Outlays, net (discretionary)	3,695	3,757	1,296
4180 Budget authority, net (total)	3,653	3,740
4190 Outlays, net (total)	3,695	3,757	1,296

The Budget proposes to eliminate funding for the Low Income Home Energy Assistance Program.

Object Classification (in millions of dollars)

Identification code 075-1502-0-1-609	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	3	2
25.3 Other goods and services from Federal sources	1
41.0 Grants, subsidies, and contributions	3,650	3,737
99.9 Total new obligations, unexpired accounts	3,653	3,740

REFUGEE AND ENTRANT ASSISTANCE
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, and for carrying out section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, the Trafficking Victims Protection Act of 2000 ("TVPA"), and the Torture Victims Relief Act of 1998, **[\$1,908,201,000]** \$2,456,380,000, of which **[\$1,864,446,000]** \$2,412,625,000 shall remain available **[through September 30, 2022]** until expended for carrying out such sections 414, 501, 462, and 235: *Provided*, That amounts available under this heading to carry out the TVPA shall also be available for research and evaluation with respect to activities under such Act: *Provided further*, That **[not less than \$160,000,000 shall be used for legal services, child advocates, and post-release services]** funds made available in this or any prior Act for the Unaccompanied Alien Children program that are available for the acquisition of real property or for construction or improvement of facilities shall be available to make improvements on non-federally owned property that is adjacent to facilities utilized by such program, provided that the primary benefit of such improvements accrues to such program: *Provided further*, That the limitation in section **[205]** 204 of this Act regarding transfers increasing any appropriation shall apply to transfers to appropriations under this heading by substituting "**[15]** 20 percent" for "3 percent": *Provided further*, That funds made available under this heading shall be available to pay or reimburse other Federal agencies for the costs of construction, improvements to property, and other activities, including structure removal, if such activities are necessary for the purpose of carrying out such sections 462 and 235: *Provided further*, That other Federal agencies

may retain and use such payments and reimbursements to cover costs described in the preceding proviso. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1503-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Refugee Support Services (RSS) and Transitional & Medical Services (TAMS)	513	561	429
0002 Assistance for treatment of torture victims	14	16	16
0003 Unaccompanied Children	2,617	1,303	1,983
0005 Trafficking Victims program	26	28	28
0799 Total direct obligations	3,170	1,908	2,456
0900 Total new obligations, unexpired accounts	3,170	1,908	2,456
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	41	2,096	2,096
1021 Recoveries of prior year unpaid obligations	159
1050 Unobligated balance (total)	200	2,096	2,096
Budget authority:			
Appropriations, discretionary:			
1100 Base Appropriation	4,787	1,908	2,456
1120 Appropriations transferred to other acct [075-0128]	-5
1121 Appropriations transferred from other acct [075-1502]	37
1121 Appropriations transferred from other acct [075-1536]	48
1121 Appropriations transferred from other acct [075-1515]	18
1121 Appropriations transferred from other acct [075-0142]	7
1121 Appropriations transferred from other acct [075-1700]	1
1121 Appropriations transferred from other acct [075-0943]	14
1121 Appropriations transferred from other acct [075-0350]	21
1121 Appropriations transferred from other acct [075-9915]	124
1121 Appropriations transferred from other acct [075-9912]	1
1121 Appropriations transferred from other acct [075-1362]	9
1160 Appropriation, discretionary (total)	5,062	1,908	2,456
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [075-0511]	4
1900 Budget authority (total)	5,066	1,908	2,456
1930 Total budgetary resources available	5,266	4,004	4,552
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,096	2,096	2,096

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,722	2,191	1,522
3010 New obligations, unexpired accounts	3,170	1,908	2,456
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-2,529	-2,577	-2,614
3040 Recoveries of prior year unpaid obligations, unexpired	-159
3041 Recoveries of prior year unpaid obligations, expired	-14
3050 Unpaid obligations, end of year	2,191	1,522	1,364
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-180	-180	-180
3090 Uncollected pymts, Fed sources, end of year	-180	-180	-180
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,542	2,011	1,342
3200 Obligated balance, end of year	2,011	1,342	1,184

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,066	1,908	2,456
Outlays, gross:			
4010 Outlays from new discretionary authority	1,406	766	860
4011 Outlays from discretionary balances	1,123	1,811	1,754
4020 Outlays, gross (total)	2,529	2,577	2,614
4180 Budget authority, net (total)	5,066	1,908	2,456
4190 Outlays, net (total)	2,529	2,577	2,614

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	5,066	1,908	2,456
Outlays	2,529	2,577	2,614
Legislative proposal, subject to PAYGO:			
Budget Authority	200
Outlays	130

Total:			
Budget Authority	5,066	1,908	2,656
Outlays	2,529	2,577	2,744

This account provides funds to States and non-governmental organizations to administer the refugee and entrant assistance programs. Funds support cash and medical assistance and social services for refugees, asylees, and other arrivals eligible for refugee benefits. The account also includes funding for the care and placement of unaccompanied alien children, and for the rehabilitation of victims of torture and human trafficking .

Object Classification (in millions of dollars)

Identification code 075-1503-0-1-609	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	15	15
11.7 Military personnel	1	2	2
11.9 Total personnel compensation	10	17	17
12.1 Civilian personnel benefits	3	5	5
12.2 Military personnel benefits	1	2	2
23.1 Rental payments to GSA	7	7	7
25.1 Advisory and assistance services	16	16	16
25.2 Other services from non-Federal sources	621	621	621
25.3 Other goods and services from Federal sources	123	123	123
25.4 Operation and maintenance of facilities	1	1	1
41.0 Grants, subsidies, and contributions	2,388	1,116	1,664
99.0 Direct obligations	3,170	1,908	2,456
99.9 Total new obligations, unexpired accounts	3,170	1,908	2,456

Employment Summary

Identification code 075-1503-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	97	153	157
1101 Direct military average strength employment	13	12	12

REFUGEE AND ENTRANT ASSISTANCE

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1503-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 UAC Contingency Fund, mandatory			200
0900 Total new obligations, unexpired accounts (object class 41.0)			200
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			200
1930 Total budgetary resources available			200
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			200
3020 Outlays (gross)			-130
3050 Unpaid obligations, end of year			70
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			70
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			200
Outlays, gross:			
4100 Outlays from new mandatory authority			130
4180 Budget authority, net (total)			200
4190 Outlays, net (total)			130

The Budget requests a mandatory UAC contingency fund capped at \$2 billion over a three-year period.

PROMOTING SAFE AND STABLE FAMILIES

For carrying out, except as otherwise provided, section 436 of the Social Security Act, \$345,000,000 and, for carrying out, except as otherwise provided, section 437 of such Act, \$92,515,000: *Provided*, That of the funds available to carry out section 437, \$59,765,000 shall be allocated consistent with subsections (b) through (d) of such section: *Provided further*, That of the funds available to carry out section 437, to assist in meeting the requirements described in section 471(e)(4)(C), \$20,000,000 shall be for grants to each State, territory, and Indian tribe operating title IV-E plans for developing, enhancing, or evaluating kinship navigator programs, as described in section 427(a)(1) of such Act, \$10,000,000, in addition to funds otherwise appropriated in section 436 for such purposes, shall be for competitive grants to regional partnerships as described in section 437(f), and \$2,750,000, in addition to funds otherwise appropriated in section 476 for such purposes, for the Family First Clearinghouse: *Provided further*, That section 437(b)(1) shall be applied to amounts in the previous proviso by substituting "5 percent" for "3.3 percent", and notwithstanding section 436(b)(1), such reserved amounts may be used for identifying, establishing, and disseminating practices to meet the criteria specified in section 471(e)(4)(C): *Provided further*, That the reservation in section 437(b)(2) and the limitations in section 437(d) shall not apply to funds specified in the second proviso: *Provided further*, That the minimum grant award for kinship navigator programs in the case of States and territories shall be \$200,000, and, in the case of tribes, shall be \$25,000. *Department of Health and Human Services Appropriations Act, 2020.*

Program and Financing (in millions of dollars)

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States and Tribes	385	384	370
0002 Research, training and technical assistance	8	10	10
0003 State court improvement activities	30	30	31
0004 Family Connection Grants		2	2
0005 Personal Responsibility Education (PREP)	73	91	1
0006 Sexual Risk Abstinence Education (SRAE)	69	75	
0007 Family Rec. & Reunification	4	3	3
0900 Total new obligations, unexpired accounts	569	595	417
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	53	546
1001 Discretionary unobligated balance brought fwd, Oct 1	6		
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	40	53	546
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	100	93	60
Appropriations, mandatory:			
1200 Appropriation	510	995	345
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-21		
1260 Appropriations, mandatory (total)	489	995	345
1900 Budget authority (total)	589	1,088	405
1930 Total budgetary resources available	629	1,141	951
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-7		
1941 Unexpired unobligated balance, end of year	53	546	534
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	658	739	635
3010 New obligations, unexpired accounts	569	595	417
3011 Obligations ("upward adjustments"), expired accounts	6		
3020 Outlays (gross)	-482	-699	-856
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	739	635	196
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	658	739	635
3200 Obligated balance, end of year	739	635	196
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	100	93	60
Outlays, gross:			
4010 Outlays from new discretionary authority	15	22	14
4011 Outlays from discretionary balances	46	70	63

PROMOTING SAFE AND STABLE FAMILIES—Continued
Program and Financing—Continued

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
4020 Outlays, gross (total)	61	92	77
Mandatory:			
4090 Budget authority, gross	489	995	345
Outlays, gross:			
4100 Outlays from new mandatory authority	88	221	89
4101 Outlays from mandatory balances	333	386	690
4110 Outlays, gross (total)	421	607	779
4180 Budget authority, net (total)	589	1,088	405
4190 Outlays, net (total)	482	699	856

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	589	1,088	405
Outlays	482	699	856
Legislative proposal, subject to PAYGO:			
Budget Authority			220
Outlays			22
Total:			
Budget Authority	589	1,088	625
Outlays	482	699	878

This account provides funds for a broad range of child welfare services, including family preservation and support services and grants to increase the well-being of and improve the permanency outcomes for children affected by substance abuse, through Promoting Safe and Stable Families. It also includes the Sexual Risk Avoidance Education program and the Personal Responsibility Education Program (PREP).

Object Classification (in millions of dollars)

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	2
25.1 Advisory and assistance services	27	28	27
25.3 Other goods and services from Federal sources	4	4	4
41.0 Grants, subsidies, and contributions	537	562	384
99.9 Total new obligations, unexpired accounts	569	595	417

Employment Summary

Identification code 075-1512-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	21	22

PROMOTING SAFE AND STABLE FAMILIES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1512-4-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants to States and Tribes			40
0003 State court improvement activities			30
0005 Personal Responsibility Education (PREP)			75
0006 Sexual Risk Abstinence Education (SRAE)			75
0900 Total new obligations, unexpired accounts			220
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			220
1930 Total budgetary resources available			220
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			220
3020 Outlays (gross)			-22
3050 Unpaid obligations, end of year			198

3200 Memorandum (non-add) entries:			
Obligated balance, end of year			198
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			220
Outlays, gross:			
4100 Outlays from new mandatory authority			22
4180 Budget authority, net (total)			220
4190 Outlays, net (total)			22

The Budget includes a proposal to expand the Regional Partnership Grants program, to increase funding for the Court Improvement Program, and a two-year reauthorization of Sexual Risk Avoidance Education and the Personal Responsibility Education Program (PREP).

Object Classification (in millions of dollars)

Identification code 075-1512-4-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			23
25.3 Other goods and services from Federal sources			3
41.0 Grants, subsidies, and contributions			194
99.9 Total new obligations, unexpired accounts			220

CHILD CARE ENTITLEMENT TO STATES

Program and Financing (in millions of dollars)

Identification code 075-1550-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mandatory child care	1,178	1,178	1,178
0002 Matching child care	1,708	1,651	1,651
0003 Child Care Training and technical assistance	15	15	15
0004 Child care tribal grants	43	58	58
0005 Child Care Research	15	15	15
0900 Total new obligations, unexpired accounts	2,959	2,917	2,917
Budgetary resources:			
Unobligated balance:			
1012 Unobligated balance transfers between expired and unexpired accounts	42		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,917	2,917	2,917
1930 Total budgetary resources available	2,959	2,917	2,917
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,614	1,268	1,224
3010 New obligations, unexpired accounts	2,959	2,917	2,917
3011 Obligations ("upward adjustments"), expired accounts	23		
3020 Outlays (gross)	-3,267	-2,961	-2,960
3041 Recoveries of prior year unpaid obligations, expired	-61		
3050 Unpaid obligations, end of year	1,268	1,224	1,181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,614	1,268	1,224
3200 Obligated balance, end of year	1,268	1,224	1,181
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,917	2,917	2,917
Outlays, gross:			
4100 Outlays from new mandatory authority	2,137	2,139	2,139
4101 Outlays from mandatory balances	1,130	822	821
4110 Outlays, gross (total)	3,267	2,961	2,960
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-23		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	23		
4160 Budget authority, net (mandatory)	2,917	2,917	2,917
4170 Outlays, net (mandatory)	3,244	2,961	2,960
4180 Budget authority, net (total)	2,917	2,917	2,917
4190 Outlays, net (total)	3,244	2,961	2,960

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,917	2,917	2,917
Outlays	3,244	2,961	2,960
Legislative proposal, subject to PAYGO:			
Budget Authority			1,295
Outlays			271
Total:			
Budget Authority	2,917	2,917	4,212
Outlays	3,244	2,961	3,231

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts, most recently in the Further Consolidated Appropriations Act, 2020 (P.L. 116–94).

Object Classification (in millions of dollars)

Identification code 075–1550–0–1–609	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	19	19	19
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	2,939	2,897	2,897
99.9 Total new obligations, unexpired accounts	2,959	2,917	2,917

CHILD CARE ENTITLEMENT TO STATES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–1550–4–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mandatory child care			1,000
0002 Matching child care			295
0900 Total new obligations, unexpired accounts (object class 41.0)			1,295
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			1,295
1930 Total budgetary resources available			1,295
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,295
3020 Outlays (gross)			–271
3050 Unpaid obligations, end of year			1,024
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,024
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			1,295
Outlays, gross:			
4100 Outlays from new mandatory authority			271
4180 Budget authority, net (total)			1,295
4190 Outlays, net (total)			271

The Budget increases funding for this account to ensure federal funding for key child care programs is maintained, given the effects of other Budget proposals on child care spending. The Budget also proposes a \$1 billion one-time fund to build the supply of child care for underserved populations and to stimulate employer investment.

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT

For carrying out the Child Care and Development Block Grant Act of 1990 ("CCDBG Act"), \$5,826,000,000 shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: *Provided,*

That technical assistance under section 6581(a)(3) of such Act may be provided directly, or through the use of contracts, grants, cooperative agreements, or inter-agency agreements: *Provided further,* That all funds made available to carry out section 418 of the Social Security Act (42 U.S.C. 618), including funds appropriated for that purpose in such section 418 or any other provision of law, shall be subject to the reservation of funds authority in paragraphs (4) and (5) of section 6580(a) of the CCDBG Act: *Provided further,* That in addition to the amounts required to be reserved by the Secretary under section 6580(a)(2)(A) of such Act, \$174,780,000 shall be for Indian tribes and tribal organizations. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075–1515–0–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Child Care Block grant payments to States	5,234	5,800	5,800
0004 Child Care Research and evaluation fund	26	26	26
0900 Total new obligations, unexpired accounts	5,260	5,826	5,826
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		28	28
1012 Unobligated balance transfers between expired and unexpired accounts	4		
1050 Unobligated balance (total)	4	28	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,306	5,826	5,826
1120 Appropriations transferred to other acct [075–1503]	–18		
1160 Appropriation, discretionary (total)	5,288	5,826	5,826
1930 Total budgetary resources available	5,292	5,854	5,854
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4		
1941 Unexpired unobligated balance, end of year	28	28	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,791	4,115	4,646
3010 New obligations, unexpired accounts	5,260	5,826	5,826
3020 Outlays (gross)	–3,918	–5,295	–6,360
3041 Recoveries of prior year unpaid obligations, expired	–18		
3050 Unpaid obligations, end of year	4,115	4,646	4,112
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,791	4,115	4,646
3200 Obligated balance, end of year	4,115	4,646	4,112
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5,288	5,826	5,826
Outlays, gross:			
4010 Outlays from new discretionary authority	1,981	3,204	3,612
4011 Outlays from discretionary balances	1,937	2,091	2,748
4020 Outlays, gross (total)	3,918	5,295	6,360
4180 Budget authority, net (total)	5,288	5,826	5,826
4190 Outlays, net (total)	3,918	5,295	6,360

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality.

Object Classification (in millions of dollars)

Identification code 075–1515–0–1–609	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	46	45	45
25.3 Other goods and services from Federal sources	1	3	3
41.0 Grants, subsidies, and contributions	5,210	5,775	5,775
99.9 Total new obligations, unexpired accounts	5,260	5,826	5,826

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK
GRANT—Continued
Employment Summary

Identification code 075-1515-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	16	16

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: *Provided*, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-1534-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Social Services Block Grant	1,594	1,600	1,700
0002 Health Profession Opportunity Grants	83	85
0900 Total new obligations, unexpired accounts	1,677	1,685	1,700

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	31	31
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,785	1,785	1,700
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-105	-100
1260 Appropriations, mandatory (total)	1,680	1,685	1,700
1930 Total budgetary resources available	1,710	1,716	1,731
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	31	31	31

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	545	566	536
3010 New obligations, unexpired accounts	1,677	1,685	1,700
3020 Outlays (gross)	-1,646	-1,715	-1,712
3041 Recoveries of prior year unpaid obligations, expired	-10
3050 Unpaid obligations, end of year	566	536	524
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	545	566	536
3200 Obligated balance, end of year	566	536	524

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1,680	1,685	1,700
Outlays, gross:			
4100 Outlays from new mandatory authority	1,212	1,331	1,360
4101 Outlays from mandatory balances	434	384	352
4110 Outlays, gross (total)	1,646	1,715	1,712
4180 Budget authority, net (total)	1,680	1,685	1,700
4190 Outlays, net (total)	1,646	1,715	1,712

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,680	1,685	1,700
Outlays	1,646	1,715	1,712
Legislative proposal, subject to PAYGO:			
Budget Authority	-1,700
Outlays	-1,360
Total:			
Budget Authority	1,680	1,685
Outlays	1,646	1,715	352

The Social Services Block Grant (SSBG) account includes funding for SSBG and the Health Profession Opportunity Grants (HPOG) programs. SSBG funds a broad array of social services for children and adults. The

account also provides funding for the HPOG demonstration to provide education and training to Temporary Assistance for Needy Families recipients and other low-income individuals for health care occupations that pay well and are expected to either experience labor shortages or be in high demand.

Object Classification (in millions of dollars)

Identification code 075-1534-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	10	10	10
41.0 Grants, subsidies, and contributions	1,665	1,673	1,689
99.9 Total new obligations, unexpired accounts	1,677	1,685	1,700

Employment Summary

Identification code 075-1534-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8	8

SOCIAL SERVICES BLOCK GRANT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1534-4-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Social Services Block Grant	-1,700

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	-1,700
1930 Total budgetary resources available	-1,700

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	-1,700
3020 Outlays (gross)	1,360
3050 Unpaid obligations, end of year	-340
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	-340

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	-1,700
Outlays, gross:			
4100 Outlays from new mandatory authority	-1,360
4180 Budget authority, net (total)	-1,700
4190 Outlays, net (total)	-1,360

The Budget proposes to discontinue funding for the Social Services Block Grant. The Budget does not propose reauthorizing the Health Profession Opportunity Grants (HPOG).

Object Classification (in millions of dollars)

Identification code 075-1534-4-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	-1
21.0 Travel and transportation of persons	-1
25.1 Advisory and assistance services	-10
41.0 Grants, subsidies, and contributions	-1,688
99.9 Total new obligations, unexpired accounts	-1,700

CHILDREN AND FAMILIES SERVICES PROGRAMS

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Head Start Act, the Every Student Succeeds Act, the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child

Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), and part B-1 of title IV and sections 429, 473A, 477(i), 1110, 1114A, and 1115 of the Social Security Act [], and the Community Services Block Grant Act ("CSBG Act") []; and for necessary administrative expenses to carry out titles I, IV, V, X, XI, XIV, XVI, and XX-A of the Social Security Act, the Act of July 5, 1960, [the Low-Income Home Energy Assistance Act of 1981,] the Child Care and Development Block Grant Act of 1990, [the Assets for Independence Act,] title IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act of 1980, [\$12,876,652,000] \$11,856,130,000, of which \$75,000,000, to remain available through September 30, [2021] 2022, shall be for grants to States for adoption and legal guardianship incentive payments, as defined by section 473A of the Social Security Act and may be made for adoptions and legal guardianships completed before September 30, [2020] 2021: *Provided*, That \$10,613,095,000 shall be for making payments under the Head Start Act, including for Early Head Start-Child Care Partnerships, and, of which, notwithstanding section 640 of such Act:

[(1) \$193,000,000 shall be available for a cost of living adjustment, and with respect to any continuing appropriations act, funding available for a cost of living adjustment shall not be construed as an authority or condition under this Act;]

[(2)] (1) \$25,000,000 shall be available for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of the Head Start Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12), and 645A(d) of such Act, and such funds shall not be included in the calculation of "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of such Act; and

[(3) \$100,000,000, in addition to funds otherwise available under such section 640 for such purposes, shall be available through March 31, 2021 for new grants to entities defined as eligible under section 645A(d) of such Act for Early Head Start programs as described in section 645A of such Act, conversion of Head Start services to Early Head Start services as described in section 645(a)(5)(A) of such Act, and high quality infant and toddler care through Early Head Start-Child Care Partnerships, and for training and technical assistance for such activities;]

[(4) \$250,000,000 shall be available for quality improvement consistent with section 640(a)(5) of such Act except that any amount of the funds may be used on any of the activities in such section (5);]

[(5) \$4,000,000 shall be available for the purposes of re-establishing the Tribal Colleges and Universities Head Start Partnership Program consistent with section 648(g) of such Act; and]

[(6)] (2) \$19,000,000 shall be available to supplement funding otherwise available for research, evaluation, and Federal administrative costs:

Provided further, That the Secretary may reduce the reservation of funds under section 640(a)(2)(C) of such Act in lieu of reducing the reservation of funds under sections 640(a)(2)(B), 640(a)(2)(D), and 640(a)(2)(E) of such Act: [*Provided further*, That \$275,000,000 shall be available until December 31, 2020 for carrying out sections 9212 and 9213 of the Every Student Succeeds Act: *Provided further*, That up to 3 percent of the funds in the preceding proviso shall be available for technical assistance and evaluation related to grants awarded under such section 9212: *Provided further*, That \$770,383,000 shall be for making payments under the CSBG Act: *Provided further*, That \$30,383,000 shall be for section 680 of the CSBG Act, of which not less than \$20,383,000 shall be for section 680(a)(2) and not less than \$10,000,000 shall be for section 680(a)(3)(B) of such Act: *Provided further*, That, notwithstanding section 675C(a)(3) of such Act, to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under such Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That intangible assets in the form of loans, equity investments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: *Provided further*, That these procedures shall apply to such grant funds made available after November 29, 1999: *Provided further*, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations:] *Provided further*, That \$175,000,000 shall be for carrying out section 303(a) of the Family Violence Prevention and Services Act, of which \$7,000,000 shall be allocated notwithstanding section 303(a)(2) of such Act for carrying out section 309 of such Act: *Provided further*, That the percentages specified in section

112(a)(2) of the Child Abuse Prevention and Treatment Act shall not apply to funds appropriated under this heading: *Provided further*, That [\$1,864,000] \$4,000,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: *Provided further*, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Head Start	10,438	10,671	10,613
0102 Preschool Development Grants	249	515
0103 Runaway and homeless youth (basic centers)	54	63	63
0104 Transitional living	56	51	51
0106 Education grants to reduce sexual abuse of runaway youth	17	19	19
0109 Child abuse State grants	85	90	90
0110 Child abuse discretionary activities	33	35	51
0111 Community-based child abuse prevention	40	56	56
0112 Child welfare services	268	269	269
0113 Child welfare training, research, or demonstration projects	18	18	21
0114 Adoption opportunities	39	42	42
0116 Adoption and Legal Guardianship Incentives	75	75	75
0117 Independent living education and training vouchers	46	43	43
0124 Native American programs	54	56	57
0125 Social services and income maintenance research	6	7	6
0128 ACF Federal administration	202	206	209
0131 Disaster human services case management	2	2	4
0191 Direct program activities, subtotal	11,682	12,218	11,669
0301 Community services block grant	717	740
0303 Rural community facilities	9	10
0304 Community economic development	20	20
0308 Domestic violence hotline	10	12	12
0309 Family violence prevention and services	164	175	175
0391 Direct program activities, subtotal	920	957	187
0400 Total, direct program	12,602	13,175	11,856
0799 Total direct obligations	12,602	13,175	11,856
0801 Children and Families Services Programs (Reimbursable)	31	22	22
0809 Reimbursable program activities, subtotal	31	22	22
0900 Total new obligations, unexpired accounts	12,633	13,197	11,878
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,251	933	685
1001 Discretionary unobligated balance brought fwd, Oct 1	1,251	933
1012 Unobligated balance transfers between expired and unexpired accounts	3
1021 Recoveries of prior year unpaid obligations	3
1050 Unobligated balance (total)	1,257	933	685
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12,329	12,877	11,856
1120 Appropriations transferred to other acts (075-1503)	-48
1160 Appropriation, discretionary (total)	12,281	12,877	11,856
Spending authority from offsetting collections, discretionary:			
1700 Collected	26	70	70
1701 Change in uncollected payments, Federal sources	2
1750 Spending auth from offsetting collections, disc (total)	28	70	70
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	1	1
1801 Change in uncollected payments, Federal sources	1	1
1850 Spending auth from offsetting collections, mand (total)	2	2	2
1900 Budget authority (total)	12,311	12,949	11,928
1930 Total budgetary resources available	13,568	13,882	12,613
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	933	685	735
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8,884	9,739	10,767
3010 New obligations, unexpired accounts	12,633	13,197	11,878
3011 Obligations ("upward adjustments"), expired accounts	21
3020 Outlays (gross)	-11,639	-12,169	-12,622
3040 Recoveries of prior year unpaid obligations, unexpired	-3

CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued
Program and Financing—Continued

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
3041 Recoveries of prior year unpaid obligations, expired	-157
3050 Unpaid obligations, end of year	9,739	10,767	10,023
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-17	-18
3070 Change in uncollected pymts, Fed sources, unexpired	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	5
3090 Uncollected pymts, Fed sources, end of year	-17	-18	-19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8,864	9,722	10,749
3200 Obligated balance, end of year	9,722	10,749	10,004

Budget authority and outlays, net:			
Discretionary:			
Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
4000 Budget authority, gross	12,309	12,947	11,926
Outlays, gross:			
4010 Outlays from new discretionary authority	4,283	4,240	3,833
4011 Outlays from discretionary balances	7,353	7,929	8,789
4020 Outlays, gross (total)	11,636	12,169	12,622
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-27	-70	-70
4033 Non-Federal sources	-2
4040 Offsets against gross budget authority and outlays (total)	-29	-70	-70
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2
4052 Offsetting collections credited to expired accounts	3
4060 Additional offsets against budget authority only (total)	1
4070 Budget authority, net (discretionary)	12,281	12,877	11,856
4080 Outlays, net (discretionary)	11,607	12,099	12,552
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2
4101 Outlays from mandatory balances	1
4110 Outlays, gross (total)	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-3	-2	-2
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-1	-1
4142 Offsetting collections credited to expired accounts	1	1	1
4150 Additional offsets against budget authority only (total)	1
4170 Outlays, net (mandatory)	-2	-2
4180 Budget authority, net (total)	12,281	12,877	11,856
4190 Outlays, net (total)	11,607	12,097	12,550

The request totals \$11.86 billion, including more than \$10.6 billion for Head Start. This request discontinues funding for the Community Services Block Grant, Rural Community Facilities, Community Economic Development, and Preschool Development Grants.

Object Classification (in millions of dollars)

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	108	115	114
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	1	1	1
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	114	121	120
12.1 Civilian personnel benefits	36	38	38
21.0 Travel and transportation of persons	3	4	3
23.1 Rental payments to GSA	5	16	16
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	244	255	244
25.2 Other services from non-Federal sources	19	20	20
25.3 Other goods and services from Federal sources	59	51	53
25.4 Operation and maintenance of facilities	1	2	2
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	12,119	12,666	11,358
99.0 Direct obligations	12,602	13,175	11,856

99.0 Reimbursable obligations	31	22	22
99.9 Total new obligations, unexpired accounts	12,633	13,197	11,878

Employment Summary

Identification code 075-1536-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,032	1,114	1,113
1101 Direct military average strength employment	5	6	6
2001 Reimbursable civilian full-time equivalent employment	10	10	10

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 075-1553-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Training and technical assistance	13	17	12
0002 Federal parent locator service	25	28	25
0799 Total direct obligations	38	45	37
0801 Federal Parent Locator Service reimbursable	26	30	29
0899 Total reimbursable obligations	26	30	29
0900 Total new obligations, unexpired accounts	64	75	66
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	11
1021 Recoveries of prior year unpaid obligations	3
1050 Unobligated balance (total)	14	11
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	37	37	37
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2	-2
1260 Appropriations, mandatory (total)	35	35	37
Spending authority from offsetting collections, mandatory:			
1800 Collected	26	29	29
1802 Offsetting collections (previously unavailable)	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1
1850 Spending auth from offsetting collections, mand (total)	26	29	30
1900 Budget authority (total)	61	64	67
1930 Total budgetary resources available	75	75	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	23	36
3010 New obligations, unexpired accounts	64	75	66
3020 Outlays (gross)	-62	-62	-67
3040 Recoveries of prior year unpaid obligations, unexpired	-3
3050 Unpaid obligations, end of year	23	36	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6	-6
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	17	30
3200 Obligated balance, end of year	17	30	29

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	61	64	67
Outlays, gross:			
4100 Outlays from new mandatory authority	41	45	45
4101 Outlays from mandatory balances	21	17	22
4110 Outlays, gross (total)	62	62	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-8	-9	-9
4123 Non-Federal sources	-18	-20	-20
4130 Offsets against gross budget authority and outlays (total)	-26	-29	-29
4160 Budget authority, net (mandatory)	35	35	38

4170	Outlays, net (mandatory)	36	33	38
4180	Budget authority, net (total)	35	35	38
4190	Outlays, net (total)	36	33	38

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1	

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171).

Object Classification (in millions of dollars)

Identification code 075–1553–0–1–609	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	8	9	9
12.1	Civilian personnel benefits	2	2	3
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources	10	13	9
25.3	Other goods and services from Federal sources	6	7	5
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	7	9	6
99.0	Direct obligations	38	45	37
99.0	Reimbursable obligations	26	30	29
99.9	Total new obligations, unexpired accounts	64	75	66

Employment Summary

Identification code 075–1553–0–1–609	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	61	68	71

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

(Legislative proposal, subject to PAYGO)

The Budget includes a set of proposals that would require changes to statute to provide additional access to the National Directory of New Hires for evidence building and program integrity purposes, while ensuring privacy and security safeguards.

PAYMENTS FOR FOSTER CARE AND PERMANENCY

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, **[\$5,744,000,000] \$7,012,000,000.**

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, for the first quarter of fiscal year **[2021] 2022, \$3,000,000,000.**

For carrying out, after May 31 of the current fiscal year, except as otherwise provided, section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Foster care	5,231	5,569	5,796
0002	Independent living	142	143	143
0004	Adoption assistance	2,993	3,430	3,802
0005	Guardianship	189	242	271
0006	Technical Assistance and Implementation Services for Tribal Programs	3	3	3
0007	Prevention Services Technical Assistance	1	1	1
0900	Total new obligations, unexpired accounts	8,559	9,388	10,016

Budgetary resources:

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		2	2
1012	Unobligated balance transfers between expired and unexpired accounts	2		
1050	Unobligated balance (total)	2	2	2

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	5,860	6,589	7,015
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-1	-1	
1260	Appropriations, mandatory (total)	5,859	6,588	7,015
Advance appropriations, mandatory:				
1270	Advance appropriation	2,700	2,800	3,000
1900	Budget authority (total)	8,559	9,388	10,015
1930	Total budgetary resources available	8,561	9,390	10,017
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	1

Change in obligated balance:

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,214	1,410	1,409
3010	New obligations, unexpired accounts	8,559	9,388	10,016
3011	Obligations ("upward adjustments"), expired accounts	359		
3020	Outlays (gross)	-8,619	-9,389	-9,910
3041	Recoveries of prior year unpaid obligations, expired	-103		
3050	Unpaid obligations, end of year	1,410	1,409	1,515
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,214	1,410	1,409
3200	Obligated balance, end of year	1,410	1,409	1,515

Budget authority and outlays, net:

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Mandatory:				
4090	Budget authority, gross	8,559	9,388	10,015
Outlays, gross:				
4100	Outlays from new mandatory authority	7,513	8,259	8,816
4101	Outlays from mandatory balances	1,106	1,130	1,094
4110	Outlays, gross (total)	8,619	9,389	9,910
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-20		
Additional offsets against gross budget authority only:				
4142	Offsetting collections credited to expired accounts	20		
4160	Budget authority, net (mandatory)	8,559	9,388	10,015
4170	Outlays, net (mandatory)	8,599	9,389	9,910
4180	Budget authority, net (total)	8,559	9,388	10,015
4190	Outlays, net (total)	8,599	9,389	9,910

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	8,559	9,388	10,015
Outlays	8,599	9,389	9,910
Legislative proposal, subject to PAYGO:			
Budget Authority			45
Outlays			45
Total:			
Budget Authority	8,559	9,388	10,060
Outlays	8,599	9,389	9,955

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance Program, Foster Care Prevention Services, and the Chafee Program for Successful Transition to Adulthood, as well as technical assistance and implementation services for tribal programs.

Foster Care—The proposed level will support eligible low-income children who must be placed outside the home. An average of 171,500 children per month are estimated to be served in FY 2021.

Adoption Assistance—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 649,400 children per month are estimated to be served in FY 2021.

Guardianship Assistance Program—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 46,300 children per month are estimated to be served in FY 2021.

Object Classification (in millions of dollars)

Identification code 075–1545–0–1–609	2019 actual	2020 est.	2021 est.	
Direct obligations:				
21.0	Travel and transportation of persons	1	1	1

PAYMENTS FOR FOSTER CARE AND PERMANENCY—Continued

Object Classification—Continued

Identification code 075-1545-0-1-609	2019 actual	2020 est.	2021 est.
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	30	32	34
25.2 Other services from non-Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	8,526	9,353	9,979
99.9 Total new obligations, unexpired accounts	8,559	9,388	10,016

Employment Summary

Identification code 075-1545-0-1-609	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

PAYMENTS FOR FOSTER CARE AND PERMANENCY

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-1545-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Foster care			19
0002 Independent living			12
0004 Adoption assistance			13
0005 Guardianship			1
0900 Total new obligations, unexpired accounts (object class 41.0)			45
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			45
1900 Budget authority (total)			45
1930 Total budgetary resources available			45
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			45
3020 Outlays (gross)			-45
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			45
Outlays, gross:			
4100 Outlays from new mandatory authority			45
4180 Budget authority, net (total)			45
4190 Outlays, net (total)			45

The Budget includes proposals to create the Child Welfare Flexible Funding Option for title IV-E agencies, to create a performance incentive for improving Child and Family Services Reviews outcomes, and to allow states to pay salaries to foster parents who can care for children with complex needs. The Budget provides new flexibilities and support in the Chafee program for youth transitioning out of foster care. The Family First Improvements proposal streamlines approval of title IV-E prevention services and kinship navigator programs and provides additional flexibility to title IV-E tribal agencies in operating the title IV-E program. Additionally, the Budget includes the interaction effects from the proposal to eliminate SSBG funding, which increases costs in the Foster Care and Permanency account due to State agencies shifting eligible expenses previously funded by SSBG to Foster Care and Permanency.

ADMINISTRATION FOR COMMUNITY LIVING

Federal Funds

AGING AND DISABILITY SERVICES PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), the RAISE Family Caregivers Act, the Supporting Grandparents Raising Grandchildren Act, titles III and XXIX of the PHS Act, sections 1252 and

1253 of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, title XX-B of the Social Security Act, the Developmental Disabilities Assistance and Bill of Rights Act, parts 2 and 5 of subtitle D of title II of the Help America Vote Act of 2002, the Assistive Technology Act of 1998, titles II and VII (and section 14 with respect to such titles) of the Rehabilitation Act of 1973, and for Department-wide coordination of policy and program activities that assist individuals with disabilities, **[\$2,171,000,000]** \$2,072,092,000, together with **[\$52,115,000]** \$36,115,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconciliation Act of 1990: *Provided*, That amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: *Provided further*, That of amounts made available under this heading to carry out section 321 of the OAA, up to one percent of such amounts shall be available for developing and implementing evidence-based practices for enhancing home and community-based supportive services: *Provided further*, That of amounts made available under this heading to carry out sections 311, 331, and 336 of the OAA, up to one percent of such amounts shall be available for developing and implementing evidence-based practices for enhancing senior nutrition, including medically-tailored meals: *Provided further*, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section: *Provided further*, That **[\$2,000,000]** shall be for competitive grants to support alternative financing programs that provide for the purchase of assistive technology devices, such as a low-interest loan fund; an interest buy-down program; a revolving loan fund; a loan guarantee; or an insurance program: *Provided further*, That applicants shall provide an assurance that, and information describing the manner in which, the alternative financing program will expand and emphasize consumer choice and control: *Provided further*, That State agencies and community-based disability organizations that are directed by and operated for individuals with disabilities shall be eligible to compete] of the amount made available under this heading, \$5,000,000 shall be available for a program under which the Secretary may make competitive grants to centers for independent living that have received grants under part C of chapter 1 of title VII of the Rehabilitation Act of 1973, to develop evidence-based interventions to increase employment of individuals with disabilities: *Provided further*, That none of the funds made available under this heading may be used by an eligible system (as defined in section 102 of the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C. 10802)) to continue to pursue any legal action in a Federal or State court on behalf of an individual or group of individuals with a developmental disability (as defined in section 102(8)(A) of the Developmental Disabilities and Assistance and Bill of Rights Act of 2000 (20 U.S.C. 15002(8)(A)) that is attributable to a mental impairment (or a combination of mental and physical impairments), that has as the requested remedy the closure of State operated intermediate care facilities for people with intellectual or developmental disabilities, unless reasonable public notice of the action has been provided to such individuals (or, in the case of mental incapacitation, the legal guardians who have been specifically awarded authority by the courts to make healthcare and residential decisions on behalf of such individuals) who are affected by such action, within 90 days of instituting such legal action, which informs such individuals (or such legal guardians) of their legal rights and how to exercise such rights consistent with current Federal Rules of Civil Procedure: *Provided further*, That the limitations in the immediately preceding proviso shall not apply in the case of an individual who is neither competent to consent nor has a legal guardian, nor shall the proviso apply in the case of individuals who are a ward of the State or subject to public guardianship. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0142-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Aging Services Programs	1,629	1,651	1,627
0102 ACL Program Administration	40	41	42
0103 Integrated Aging and Disability Services Programs	44	53	46
0104 Disability Services Programs	321	314	267
0105 National Institute on Disability, Independent Living & Rehab Research	109	112	90
0300 Total, direct program	2,143	2,171	2,072
0799 Total direct obligations	2,143	2,171	2,072
0801 ACL Reimbursable Programs	94	85	54

0900	Total new obligations, unexpired accounts	2,237	2,256	2,126
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	7	6	28
1001	Discretionary unobligated balance brought fwd, Oct 1	7	6	
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	11	6	28
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,120	2,171	2,072
1120	Appropriations transferred to other accts [012–3507]	-2		
1120	Appropriations transferred to other acct [075–1503]	-7		
1160	Appropriation, discretionary (total)	2,111	2,171	2,072
Appropriations, mandatory:				
1221	PPHF Appropriations transferred from other accounts [075–0116]	28	28	
Spending authority from offsetting collections, discretionary:				
1700	Collected	11	14	11
1701	Change in uncollected payments, Federal sources	58	57	44
1750	Spending auth from offsetting collections, disc (total)	69	71	55
Spending authority from offsetting collections, mandatory:				
1800	Collected	17		
1801	Change in uncollected payments, Federal sources	8	8	
1850	Spending auth from offsetting collections, mand (total)	25	8	
1900	Budget authority (total)	2,233	2,278	2,127
1930	Total budgetary resources available	2,244	2,284	2,155
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	6	28	29

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,470	1,516	1,202
3010	New obligations, unexpired accounts	2,237	2,256	2,126
3011	Obligations ("upward adjustments"), expired accounts	4		
3020	Outlays (gross)	-2,177	-2,570	-2,193
3040	Recoveries of prior year unpaid obligations, unexpired	-4		
3041	Recoveries of prior year unpaid obligations, expired	-14		
3050	Unpaid obligations, end of year	1,516	1,202	1,135
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-190	-129	-194
3070	Change in uncollected pymts, Fed sources, unexpired	-66	-65	-44
3071	Change in uncollected pymts, Fed sources, expired	127		
3090	Uncollected pymts, Fed sources, end of year	-129	-194	-238
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,280	1,387	1,008
3200	Obligated balance, end of year	1,387	1,008	897

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,180	2,242	2,127
Outlays, gross:				
4010	Outlays from new discretionary authority	1,019	1,338	1,275
4011	Outlays from discretionary balances	1,110	1,182	882
4020	Outlays, gross (total)	2,129	2,520	2,157
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-136	-55	-55
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-58	-57	-44
4052	Offsetting collections credited to expired accounts	125	41	44
4060	Additional offsets against budget authority only (total)	67	-16	
4070	Budget authority, net (discretionary)	2,111	2,171	2,072
4080	Outlays, net (discretionary)	1,993	2,465	2,102
Mandatory:				
4090	Budget authority, gross	53	36	
Outlays, gross:				
4100	Outlays from new mandatory authority	2	3	
4101	Outlays from mandatory balances	46	47	36
4110	Outlays, gross (total)	48	50	36
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-18	-8	
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-8	-8	
4142	Offsetting collections credited to expired accounts	1	8	
4150	Additional offsets against budget authority only (total)	-7		
4160	Budget authority, net (mandatory)	28	28	

4170	Outlays, net (mandatory)	30	42	36
4180	Budget authority, net (total)	2,139	2,199	2,072
4190	Outlays, net (total)	2,023	2,507	2,138

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,139	2,199	2,072
Outlays	2,023	2,507	2,138
Legislative proposal, subject to PAYGO:			
Budget Authority		19	25
Outlays		4	15
Total:			
Budget Authority	2,139	2,218	2,097
Outlays	2,023	2,511	2,153

This account funds formula and discretionary grants that provide home and community-based services and supports to assist older adults and people of all ages with disabilities to live independently and to fully participate in their communities. ACL works with states, localities, tribal organizations, nonprofit organizations, businesses and families, and through networks of aging and disability organizations, to provide these services and supports which include nutrition, supportive, caregiver, independent living, and protection and advocacy services.

Object Classification (in millions of dollars)

Identification code 075–0142–0–1–506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	22	22	22
12.1 Civilian personnel benefits	7	7	7
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	44	44	44
41.0 Grants, subsidies, and contributions	2,067	2,096	1,996
99.0 Direct obligations	2,143	2,172	2,072
99.0 Reimbursable obligations	94	84	54
99.9 Total new obligations, unexpired accounts	2,237	2,256	2,126

Employment Summary

Identification code 075–0142–0–1–506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	168	177	180
2001 Reimbursable civilian full-time equivalent employment	12	11	11

AGING AND DISABILITY SERVICES PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075–0142–4–1–506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 ACL Reimbursable Programs		29	51
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			-10
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected		19	25
1900 Budget authority (total)		19	25
1930 Total budgetary resources available		19	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		-10	-36
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			25
3010 New obligations, unexpired accounts		29	51
3020 Outlays (gross)		-4	-15
3050 Unpaid obligations, end of year		25	61
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			25
3200 Obligated balance, end of year		25	61

AGING AND DISABILITY SERVICES PROGRAMS—Continued
Program and Financing—Continued

Identification code 075-0142-4-1-506	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		19	25
Outlays, gross:			
4100 Outlays from new mandatory authority		4	5
4101 Outlays from mandatory balances			10
4110 Outlays, gross (total)		4	15
4180 Budget authority, net (total)		19	25
4190 Outlays, net (total)		4	15

This legislative proposal extends funding for Medicare enrollment assistance programs through 2021.

Object Classification (in millions of dollars)

Identification code 075-0142-4-1-506	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.1 Advisory and assistance services		1	3
41.0 Grants, subsidies, and contributions		28	48
99.0 Reimbursable obligations		29	51
99.9 Total new obligations, unexpired accounts		29	51

Employment Summary

Identification code 075-0142-4-1-506	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment		1	4

DEPARTMENTAL MANAGEMENT

Federal Funds

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of six passenger motor vehicles, and for carrying out titles III, XVII, XXI, and section 229 of the PHS Act, the United States-Mexico Border Health Commission Act, [and research studies under section 1110 of the Social Security Act, \$479,629,000] and to carry out health or human services research and evaluation activities, including such activities that are similar to activities carried out by other components of the Department, \$347,105,000, together with [\$64,828,000] \$73,840,000 from the amounts available under section 241 of the PHS Act [to carry out national health or human services research and evaluation activities]: *Provided*, That of this amount, \$53,900,000 shall be for minority AIDS prevention and treatment activities: [*Provided further*, That of the funds made available under this heading, \$101,000,000 shall be for making competitive contracts and grants to public and private entities to fund medically accurate and age appropriate programs that reduce teen pregnancy and for the Federal costs associated with administering and evaluating such contracts and grants, of which not more than 10 percent of the available funds shall be for training and technical assistance, evaluation, outreach, and additional program support activities, and of the remaining amount 75 percent shall be for replicating programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors, and 25 percent shall be available for research and demonstration grants to develop, replicate, refine, and test additional models and innovative strategies for preventing teenage pregnancy: *Provided further*, That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, \$6,800,000 shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches: *Provided further*, That of the funds made available under this heading, \$35,000,000 shall be for making competitive grants which exclusively implement education in sexual risk avoidance (defined as voluntarily refraining from non-marital sexual activity): *Provided further*, That funding for such competitive grants for sexual risk avoidance shall use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach

the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity: *Provided further*, That no more than 10 percent of the funding for such competitive grants for sexual risk avoidance shall be available for technical assistance and administrative costs of such programs: *Provided further*, That funds provided in this Act for embryo adoption activities may be used to provide to individuals adopting embryos, through grants and other mechanisms, medical and administrative services deemed necessary for such adoptions: *Provided further*, That such services shall be provided consistent with 42 CFR 59.5(a)(4):] *Provided further*, That of the funds made available under this heading, [\$5,000,000] \$2,000,000 shall be for carrying out prize competitions sponsored by the Office of the Secretary to accelerate innovation in the prevention, diagnosis, and treatment of kidney diseases (as authorized by section 24 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3719)). (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-9912-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 GDM Direct	481	480	347
0100 Direct, subtotal	481	480	347
0802 GDM Reimbursable (collected)	169	191	182
0803 PHS Evaluation Reimbursable (Collected)	65	65	74
0809 Reimbursable program activities, subtotal	234	256	256
0811 HCFA Mandatory (R)	7	7	10
0812 MACRA Mandatory (R)	5	5	5
0819 Reimbursable program activities, subtotal	12	12	15
0899 Total reimbursable obligations	246	268	271
0900 Total new obligations, unexpired accounts	727	748	618

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	13	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (GDM Direct)	481	480	347
1120 Appropriations transferred — other acts [075-1503]	-1		
1160 Appropriation, discretionary (total)	480	480	347
Spending authority from offsetting collections, discretionary:			
1700 Collected	106	256	260
1701 Change in uncollected payments, Federal sources	127		
1711 Spending authority transferred other accounts [075-0511]	5		
1750 Spending auth from offsetting collections, disc (total)	238	256	260
Spending authority from offsetting collections, mandatory:			
1800 Collected	11	12	15
1801 Change in uncollected payments, Federal sources	1		
1850 Spending auth from offsetting collections, mand (total)	12	12	15
1900 Budget authority (total)	730	748	622
1930 Total budgetary resources available	741	761	635
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	13	13	17

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	493	525	527
3010 New obligations, unexpired accounts	727	748	618
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-684	-746	-694
3041 Recoveries of prior year unpaid obligations, expired	-19		
3050 Unpaid obligations, end of year	525	527	451
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-318	-248	-248
3070 Change in uncollected pymts, Fed sources, unexpired	-128		
3071 Change in uncollected pymts, Fed sources, expired	198		
3090 Uncollected pymts, Fed sources, end of year	-248	-248	-248
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	175	277	279
3200 Obligated balance, end of year	277	279	203

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	718	736	607
Outlays, gross:				
4010	Outlays from new discretionary authority	357	352	296
4011	Outlays from discretionary balances	315	382	383
4020	Outlays, gross (total)	672	734	679
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources:	-298	-256	-260
4033	Non-Federal sources:	-1		
4040	Offsets against gross budget authority and outlays (total)	-299	-256	-260
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-127		
4052	Offsetting collections credited to expired accounts	193		
4060	Additional offsets against budget authority only (total)	66		
4070	Budget authority, net (discretionary)	485	480	347
4080	Outlays, net (discretionary)	373	478	419
Mandatory:				
4090	Budget authority, gross	12	12	15
Outlays, gross:				
4100	Outlays from new mandatory authority	8	12	15
4101	Outlays from mandatory balances	4		
4110	Outlays, gross (total)	12	12	15
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources:	-14	-12	-15
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-1		
4142	Offsetting collections credited to expired accounts	3		
4150	Additional offsets against budget authority only (total)	2		
4170	Outlays, net (mandatory)	-2		
4180	Budget authority, net (total)	485	480	347
4190	Outlays, net (total)	371	478	419

Note.—The reimbursable program (HCFAC) in the General Department Management (GDM) account reflects estimates of the allocation for 2021. The actual allocation is determined annually.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health, including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund.

Object Classification (in millions of dollars)

Identification code 075-9912-0-1-551	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	83	90	87
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	2	2	2
11.7	Military personnel	3	2	2
11.9	Total personnel compensation	92	98	95
12.1	Civilian personnel benefits	25	31	30
12.2	Military personnel benefits	1	1	1
21.0	Travel and transportation of persons	5	4	4
23.1	Rental payments to GSA	20	21	22
23.3	Communications, utilities, and miscellaneous charges	2	2	2
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	22	21	17
25.2	Other services from non-Federal sources	35	26	28
25.3	Other goods and services from Federal sources	125	124	88
25.4	Operation and maintenance of facilities	5	5	6
25.7	Operation and maintenance of equipment	3	3	3
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	143	141	48
99.0	Direct obligations	481	480	347
99.0	Reimbursable obligations	246	268	271
99.9	Total new obligations, unexpired accounts	727	748	618

Employment Summary

Identification code 075-9912-0-1-551	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	836	868	833
1101	Direct military average strength employment	25	22	24
2001	Reimbursable civilian full-time equivalent employment	491	486	507
2101	Reimbursable military average strength employment	15	15	13

PAYMENT TO THE STATE RESPONSE TO THE OPIOID ABUSE CRISIS ACCOUNT, CURES ACT

This account, and a related special receipt account, were established to support the execution structure necessary to implement the 21st Century Cures Act.

STATE RESPONSE TO THE OPIOID ABUSE CRISIS, CURES ACT

The 21st Century Cures Act authorized in 2017 and 2018 grants to states, the District of Columbia, and territories to develop and provide opioid abuse prevention, treatment, and recovery support services.

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$38,798,000] \$30,286,000.** (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Office for Civil Rights (Direct)	39	39	30
0801	Office for Civil Rights (Reimbursable)	7	14	27
0900	Total new obligations, unexpired accounts	46	53	57
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	34	53	49
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	37	53	49
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	39	39	30
Spending authority from offsetting collections, mandatory:				
1800	Collected	23	10	10
1802	Offsetting collections (previously unavailable)		1	
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced		-1	
1850	Spending auth from offsetting collections, mand (total)	23	10	10
1900	Budget authority (total)	62	49	40
1930	Total budgetary resources available	99	102	89
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	53	49	32
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	23	15	4
3010	New obligations, unexpired accounts	46	53	57
3020	Outlays (gross)	-51	-64	-48
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	15	4	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	23	15	4
3200	Obligated balance, end of year	15	4	13
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	39	39	30
Outlays, gross:				
4010	Outlays from new discretionary authority	34	31	24
4011	Outlays from discretionary balances	6	8	8
4020	Outlays, gross (total)	40	39	32
Mandatory:				
4090	Budget authority, gross	23	10	10

OFFICE FOR CIVIL RIGHTS—Continued
Program and Financing—Continued

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	11	24	15
4110 Outlays, gross (total)	11	25	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-23	-10	-10
4180 Budget authority, net (total)	39	39	30
4190 Outlays, net (total)	28	54	38

The Office for Civil Rights funds activities that carry out the Department's civil rights, nondiscrimination, health information privacy, and security compliance programs.

Object Classification (in millions of dollars)

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	16	17	15
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	17	18	16
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	1
25.2 Other services from non-Federal sources	1	2	1
25.3 Other goods and services from Federal sources	9	8	5
31.0 Equipment	1		
99.0 Direct obligations	39	39	30
99.0 Reimbursable obligations	7	14	27
99.9 Total new obligations, unexpired accounts	46	53	57

Employment Summary

Identification code 075-0135-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	138	150	140
1101 Direct military average strength employment	1	1	1
2001 Reimbursable civilian full-time equivalent employment	1	2	1

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY

For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, **[\$60,367,000]** \$50,717,000. (*Department of Health and Human Services Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 075-0130-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Health information technology (IT)	60	60	51
0799 Total direct obligations	60	60	51
0801 Office of the National Coordinator for Health IT (ONC):			
Reimbursable	6	6	6
0899 Total reimbursable obligations	6	6	6
0900 Total new obligations, unexpired accounts	66	66	57
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	10	19
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	11	10	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	60	60	51

Spending authority from offsetting collections, discretionary:			
1700 Collected		15	15
1701 Change in uncollected payments, Federal sources	5		
1750 Spending auth from offsetting collections, disc (total)	5	15	15
1900 Budget authority (total)	65	75	66
1930 Total budgetary resources available	76	85	85
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	19	28

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	68	54	13
3010 New obligations, unexpired accounts	66	66	57
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-69	-107	-68
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	54	13	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired	-5		
3071 Change in uncollected pymts, Fed sources, expired	15		
3090 Uncollected pymts, Fed sources, end of year	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	48	44	3
3200 Obligated balance, end of year	44	3	-8

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	65	75	66
Outlays, gross:			
4010 Outlays from new discretionary authority	41	64	56
4011 Outlays from discretionary balances	28	43	12
4020 Outlays, gross (total)	69	107	68
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-14	-15	-15
4040 Offsets against gross budget authority and outlays (total)	-14	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-5		
4052 Offsetting collections credited to expired accounts	14		
4060 Additional offsets against budget authority only (total)	9		
4070 Budget authority, net (discretionary)	60	60	51
4080 Outlays, net (discretionary)	55	92	53
4180 Budget authority, net (total)	60	60	51
4190 Outlays, net (total)	55	92	53

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was authorized in title XXX of the PHS Act as added by the Health Information Technology for Economic and Clinical Health (HITECH) Act (P.L. 111-5, Title XIII) and the 21st Century Cures Act (P.L. 114-255), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

Object Classification (in millions of dollars)

Identification code 075-0130-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	19	19	19
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	21	21	21
12.1 Civilian personnel benefits	6	6	6
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	13	13	11
25.3 Other goods and services from Federal sources	11	11	9
41.0 Grants, subsidies, and contributions	6	6	
99.0 Direct obligations	59	59	49
99.0 Reimbursable obligations	5	5	5

99.5	Adjustment for rounding	2	2	3
99.9	Total new obligations, unexpired accounts	66	66	57

Employment Summary

Identification code 075-0130-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	156	162	162
1101 Direct military average strength employment	2	2	2

MEDICARE HEARINGS AND APPEALS

For expenses necessary for Medicare hearings and appeals in the Office of the Secretary, **[\$191,881,000] \$196,381,000** shall remain available until September 30, **[2021] 2022**, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0139-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Medicare Hearings and Appeals (Direct)	164	192	196
0002 Proposed User Fees			2
0799 Total direct obligations	164	192	198
0900 Total new obligations, unexpired accounts	164	192	198
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	37	56	56
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	40	56	56
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	127	192	196
1701 Change in uncollected payments, Federal sources	55		
1750 Spending auth from offsetting collections, disc (total)	182	192	196
1900 Budget authority (total)	182	192	196
1930 Total budgetary resources available	222	248	252
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	56	56	54

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	55	57	1
3010 New obligations, unexpired accounts	164	192	198
3020 Outlays (gross)	-158	-248	-196
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	57	1	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-106	-156	-156
3070 Change in uncollected pymts, Fed sources, unexpired	-55		
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-156	-156	-156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-51	-99	-155
3200 Obligated balance, end of year	-99	-155	-153

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	182	192	196
Outlays, gross:			
4010 Outlays from new discretionary authority	98	192	196
4011 Outlays from discretionary balances	60		
4020 Outlays, gross (total)	158	192	196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-130	-192	-196
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-55		
4052 Offsetting collections credited to expired accounts	3		
4060 Additional offsets against budget authority only (total)	-52		
4080 Outlays, net (discretionary)	28		

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		56	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	28	56	

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, and the Medicare appeals related operations of the Departmental Appeals Board (DAB). OMHA provides an independent and impartial forum for the adjudication of claims brought by or on behalf of Medicare beneficiaries related to their benefits and care. The Departmental Appeals Board for Medicare provides final HHS administrative review of claims for Medicare entitlement, payment, and coverage.

Object Classification (in millions of dollars)

Identification code 075-0139-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	77	107	119
11.5 Other personnel compensation		2	2
11.9 Total personnel compensation	77	109	121
12.1 Civilian personnel benefits	27	35	36
21.0 Travel and transportation of persons	1		
22.0 Transportation of things	1		
23.1 Rental payments to GSA	5	11	11
23.3 Communications, utilities, and miscellaneous charges	4	8	8
25.2 Other services from non-Federal sources	13	13	2
25.3 Other goods and services from Federal sources	19	10	12
25.4 Operation and maintenance of facilities	5	2	1
25.7 Operation and maintenance of equipment	1	2	3
26.0 Supplies and materials	3	1	1
31.0 Equipment	8	1	3
99.0 Direct obligations	164	192	198
99.9 Total new obligations, unexpired accounts	164	192	198

Employment Summary

Identification code 075-0139-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	853	1,376	1,339

MEDICARE HEARINGS AND APPEALS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 075-0139-4-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Reimbursable program activity			2
0900 Total new obligations, unexpired accounts (object class 25.2)			2
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			2
1930 Total budgetary resources available			2

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			2
3020 Outlays (gross)			-2

Budget authority and outlays, net:

Mandatory:			
Budget authority, gross:			
4100 Outlays from new mandatory authority			2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

MEDICARE HEARINGS AND APPEALS—Continued

This legislative proposal provides the Office of Medicare Hearings and Appeals (OMHA) and the Departmental Appeals Board (DAB) mandatory resources to address the Medicare appeals backlog. The proposal would establish a post-adjudication user fee for Medicare Parts A and B claim appeals filed by a provider or supplier, or a State Medicaid Agency, with respect to appeals that are unfavorable to the appellant and for appeals that are dismissed.

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, **[\$1,037,458,000]** *\$1,081,465,000*, of which \$561,700,000 shall remain available through September 30, **[2021]** *2022*, for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act and other administrative expenses of the Biomedical Advanced Research and Development Authority: *Provided*, That funds provided under this heading for the purpose of acquisition of security countermeasures shall be in addition to any other funds available for such purpose: *Provided further*, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act: *Provided further*, That \$5,000,000 of the amounts made available to support emergency operations shall remain available through September 30, **[2022]** *2023*: *Provided further*, That \$20,000,000 of the amounts made available to the National Disaster Medical System shall remain available through September 30, 2022, for activities related to the Pediatric Disaster Care Program: *Provided further*, That \$5,000,000 of the amounts made available for policy and planning shall remain available until expended for implementation activities related to the National Biodefense Strategy.

For expenses necessary for procuring security countermeasures (as defined in section 319F-2(c)(1)(B) of the PHS Act), **[\$735,000,000]** *\$535,000,000*, to remain available until expended.

For expenses necessary to carry out section 319F-2(a) of the PHS Act, \$705,000,000, to remain available until expended.

For an additional amount for expenses necessary to prepare for or respond to an influenza pandemic, **[\$260,000,000]** *\$310,000,000*; of which **[\$225,000,000]** *\$275,000,000* shall be available until expended, for activities including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools: *Provided*, That notwithstanding section 496(b) of the PHS Act, funds may be used for the construction or renovation of privately owned facilities for the production of pandemic influenza vaccines and other biologics, if the Secretary finds such construction or renovation necessary to secure sufficient supplies of such vaccines or biologics.

For an additional amount to supplement amounts otherwise available for programs in the Department to provide authorized assistance or services to individuals who are homeless or who have experienced homelessness, \$10,000,000: Provided, That such funds may be transferred to other accounts in the Department for such purposes: Provided further, That the transfer authority provided in the previous proviso is in addition to any other transfer authority available to the Department. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0140-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public Health and Social Services Emergency Fund	2,916	2,737	2,641
0100 Direct program activities, subtotal	2,916	2,737	2,641
0801 Reimbursable program (FEMA)	91	98	98
0802 Reimbursable program activity (OPP)	2	2	2
0899 Total reimbursable obligations	93	100	100
0900 Total new obligations, unexpired accounts	3,009	2,837	2,741
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	437	694	1,126
1010 Unobligated balance transfer to other accts [075-0343]	-2	-3	-3
1010 Unobligated balance transfer to other accts [075-9915]	-15		
1010 Unobligated balance transfer to other accts [075-0943]	-10		
1011 Unobligated balance transfer from other acct [075-0943]	50		
1011 Unobligated balance transfer from other acct [075-0943]	425		

1011 Unobligated balance transfer from other acct [075-0116]	16		
1021 Recoveries of prior year unpaid obligations	88		
1050 Unobligated balance (total)	989	691	1,123
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,222	3,272	2,641
1120 Appropriations transferred to other acct [075-0350]	-80		
1120 Appropriations transferred to other acct [075-0128]	-1		
1120 Appropriations transferred to other acct [075-1362]	-100		
1120 Appropriations transferred to other acct [075-0943]	-20		
1121 Appropriations transferred from other acct [075-0943]	604		
1160 Appropriation, discretionary (total)	2,625	3,272	2,641
Spending authority from offsetting collections, discretionary:			
1700 Collected	4		
1701 Change in uncollected payments, Federal sources	87		
1750 Spending auth from offsetting collections, disc (total)	91		
1900 Budget authority (total)	2,716	3,272	2,641
1930 Total budgetary resources available	3,705	3,963	3,764
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	694	1,126	1,023
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,480	4,967	4,746
3010 New obligations, unexpired accounts	3,009	2,837	2,741
3011 Obligations ("upward adjustments"), expired accounts	19		
3020 Outlays (gross)	-2,658	-3,058	-3,332
3031 Unpaid obligations transferred from other accts [075-0943]	242		
3040 Recoveries of prior year unpaid obligations, unexpired	-88		
3041 Recoveries of prior year unpaid obligations, expired	-37		
3050 Unpaid obligations, end of year	4,967	4,746	4,155
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-198	-203	-203
3070 Change in uncollected pymts, Fed sources, unexpired	-87		
3071 Change in uncollected pymts, Fed sources, expired	82		
3090 Uncollected pymts, Fed sources, end of year	-203	-203	-203
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,282	4,764	4,543
3200 Obligated balance, end of year	4,764	4,543	3,952
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,716	3,272	2,641
Outlays, gross:			
4010 Outlays from new discretionary authority	431	617	581
4011 Outlays from discretionary balances	2,227	2,441	2,751
4020 Outlays, gross (total)	2,658	3,058	3,332
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-86		
4033 Non-Federal sources:	-1		
4040 Offsets against gross budget authority and outlays (total)	-87		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-87		
4052 Offsetting collections credited to expired accounts	83		
4060 Additional offsets against budget authority only (total)	-4		
4070 Budget authority, net (discretionary)	2,625	3,272	2,641
4080 Outlays, net (discretionary)	2,571	3,058	3,332
4180 Budget authority, net (total)	2,625	3,272	2,641
4190 Outlays, net (total)	2,571	3,058	3,332

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response (ASPR), as authorized by the Pandemic and All-Hazards Preparedness and Advancing Innovation Act of 2019. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System and National Biodefense Strategy implementation. The PHSSEF continues to support the advanced development, procurement, and stockpiling of biodefense and pandemic influenza countermeasures.

The PHSEF also supports the HHS Cybersecurity program, National Security and Strategic Information programs, the U.S. Public Health Service Commissioned Corps, and the Medical Reserve Corps.

Object Classification (in millions of dollars)

Identification code 075-0140-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	96	110	115
11.3 Other than full-time permanent	14		
11.7 Military personnel	9	10	11
11.9 Total personnel compensation	119	120	126
12.1 Civilian personnel benefits	32	31	33
12.2 Military personnel benefits	4	5	5
21.0 Travel and transportation of persons	13	9	16
22.0 Transportation of things	3	6	8
23.1 Rental payments to GSA	6	6	7
23.2 Rental payments to others	9	10	11
23.3 Communications, utilities, and miscellaneous charges	5	3	3
25.1 Advisory and assistance services	900	1,057	967
25.2 Other services from non-Federal sources	183	150	150
25.3 Other goods and services from Federal sources	157	106	107
25.4 Operation and maintenance of facilities	14	25	25
25.5 Research and development contracts	45	40	40
25.7 Operation and maintenance of equipment	31	31	33
26.0 Supplies and materials	1,092	801	752
31.0 Equipment	6	2	3
32.0 Land and structures		35	35
41.0 Grants, subsidies, and contributions	297	300	320
99.0 Direct obligations	2,916	2,737	2,641
99.0 Reimbursable obligations	93	100	100
99.9 Total new obligations, unexpired accounts	3,009	2,837	2,741

Employment Summary

Identification code 075-0140-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	821	935	963
1101 Direct military average strength employment	80	77	81

TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 075-0145-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 AHRQ	52	106	
0002 Office of the Secretary	26	27	25
0003 NIRSQ			98
0900 Total new obligations, unexpired accounts	78	133	123
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	127	195	195
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	128	195	195
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	145	133	123
1930 Total budgetary resources available	273	328	318
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	195	195	195
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	118	116	168
3010 New obligations, unexpired accounts	78	133	123
3020 Outlays (gross)	-79	-81	-152
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	116	168	139
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	118	116	168
3200 Obligated balance, end of year	116	168	139

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	145	133	123
Outlays, gross:			
4100 Outlays from new mandatory authority		4	4
4101 Outlays from mandatory balances	79	77	148
4110 Outlays, gross (total)	79	81	152
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-145	-133	-123
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-66	-52	29

Public Law 111-148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF was transferred each year to the Department of Health and Human Services (HHS). In FY 2020, PCORTF was extended through FY 2029. As authorized in section 937 of the Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and coordinate Federal health programs to build research and data capacity for comparative clinical effectiveness research. Transferred funds were distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities. The FY 2021 Budget consolidates the Agency for Healthcare Research and Quality within the National Institutes of Health as the National Institute for Research on Safety and Quality.

Object Classification (in millions of dollars)

Identification code 075-0145-0-1-552	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	54	27	25
41.0 Grants, subsidies, and contributions	24	106	98
99.9 Total new obligations, unexpired accounts	78	133	123

NONRECURRING EXPENSES FUND

Program and Financing (in millions of dollars)

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nonrecurring Expenses Fund Projects	505	665	105
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,116	1,024	609
1012 Unobligated balance transfers between expired and unexpired accounts	792	600	600
1021 Recoveries of prior year unpaid obligations	21		
1050 Unobligated balance (total)	1,929	1,624	1,209
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-400	-350	-500
1930 Total budgetary resources available	1,529	1,274	709
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,024	609	604
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	543	792	796
3010 New obligations, unexpired accounts	505	665	105
3020 Outlays (gross)	-235	-661	185
3040 Recoveries of prior year unpaid obligations, unexpired	-21		
3050 Unpaid obligations, end of year	792	796	1,086
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	543	792	796
3200 Obligated balance, end of year	792	796	1,086
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	-400	-350	-500

NONRECURRING EXPENSES FUND—Continued
Program and Financing—Continued

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority		-52	-75
4011 Outlays from discretionary balances	235	713	-110
4020 Outlays, gross (total)	235	661	-185
4180 Budget authority, net (total)	-400	-350	-500
4190 Outlays, net (total)	235	661	-185

The Nonrecurring Expenses Fund is a no-year account that receives transfers of expired unobligated balances from discretionary accounts prior to cancellation. The Fund is used for capital acquisition, including facilities infrastructure and information technology infrastructure.

Object Classification (in millions of dollars)

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	8	11	
25.2 Other services from non-Federal sources	144	90	
25.3 Other goods and services from Federal sources	90	119	
25.4 Operation and maintenance of facilities	121	160	
25.5 Research and development contracts	3	4	
25.7 Operation and maintenance of equipment	51	67	
31.0 Equipment	14	18	
32.0 Land and structures	73	196	105
99.0 Direct obligations	504	665	105
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	505	665	105

Employment Summary

Identification code 075-0125-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

HEALTH INSURANCE REFORM IMPLEMENTATION FUND

Program and Financing (in millions of dollars)

Identification code 075-0119-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	3	3
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	3	3	3
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	15	13
3020 Outlays (gross)	-5	-2	-2
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	15	13	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	15	13
3200 Obligated balance, end of year	15	13	11
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	5	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	5	2	2

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152) appropriated \$1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human

Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) and the Health Care and Education Reconciliation Act of 2010.

PREVENTION AND PUBLIC HEALTH FUND

Program and Financing (in millions of dollars)

Identification code 075-0116-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
1010 Unobligated balance transfer to other accts [075-0140]	-16		
1011 Unobligated balance transfer from other acct [075-0350]	8		
1011 Unobligated balance transfer from other acct [075-1362]	8		
1050 Unobligated balance (total)	6	6	6
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	900	950	950
1220 Appropriations transferred to other accts [075-0142]	-28	-28	
1220 Appropriations transferred to other accts [075-0943]	-805	-854	-894
1220 Appropriations transferred to other accts [075-1362]	-12	-12	
1230 Appropriations and/or unobligated balance of appropriations permanently reduced [SEQ]	-55	-56	-56
1930 Total budgetary resources available	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Prevention and Public Health Fund supports prevention and public health activities. In FY 2021, \$894 million is available to support a range of public health efforts intended to prevent disease and reduce health care costs. The Secretary has authority to transfer to accounts within HHS.

PREGNANCY ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pregnancy Assistance Fund (Direct)	23		
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	25		
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2		
1260 Appropriations, mandatory (total)	23		
1930 Total budgetary resources available	23		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	26	1
3010 New obligations, unexpired accounts	23		
3020 Outlays (gross)	-21	-25	-1
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	26	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	26	1
3200 Obligated balance, end of year	26	1	

Budget authority and outlays, net:

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
Mandatory:			
Budget authority, gross			
4090 Budget authority, gross	23		
Outlays, gross:			
4100 Outlays from new mandatory authority	1		
4101 Outlays from mandatory balances	20	25	1
4110 Outlays, gross (total)	21	25	1
4180 Budget authority, net (total)	23		
4190 Outlays, net (total)	21	25	1

This appropriation funds competitive grants to States to assist pregnant and parenting teens and women. Annual funding for this program expired at the end of FY 2019. The Budget does request an extension of this program.

Object Classification (in millions of dollars)

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1		
41.0 Grants, subsidies, and contributions	22		
99.9 Total new obligations, unexpired accounts	23		

Employment Summary

Identification code 075-0117-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2		

SECTION 241 EVALUATION TRANSACTIONS ACCOUNT

Program and Financing (in millions of dollars)

Identification code 075-3902-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Section 241 Evaluation Transactions Account (Reimbursable)	516	584	667
0809 Reimbursable program activities, subtotal	516	584	667
0900 Total new obligations, unexpired accounts (object class 25.3)	516	584	667
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	84	584	667
1701 Change in uncollected payments, Federal sources	432		
1750 Spending auth from offsetting collections, disc (total)	516	584	667
1930 Total budgetary resources available	516	584	667
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	633	790	324
3010 New obligations, unexpired accounts	516	584	667
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-321	-1,050	-861
3041 Recoveries of prior year unpaid obligations, expired	-41		
3050 Unpaid obligations, end of year	790	324	130
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-629	-787	-787
3070 Change in uncollected pymts, Fed sources, unexpired	-432		
3071 Change in uncollected pymts, Fed sources, expired	274		
3090 Uncollected pymts, Fed sources, end of year	-787	-787	-787
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	3	-463
3200 Obligated balance, end of year	3	-463	-657
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	516	584	667
Outlays, gross:			
4010 Outlays from new discretionary authority	84	584	667
4011 Outlays from discretionary balances	237	466	194
4020 Outlays, gross (total)	321	1,050	861
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-317	-584	-667
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total) ...	-320	-584	-667
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-432		
4052 Offsetting collections credited to expired accounts	236		
4060 Additional offsets against budget authority only (total)	-196		
4080 Outlays, net (discretionary)	1	466	194
4180 Budget authority, net (total)			

4190 Outlays, net (total)	1	466	194
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The Public Health Service (PHS) Act Evaluation Transactions account supports the execution of section 241 of the PHS Act.

PROGRAM SUPPORT CENTER

Federal Funds

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 075-0379-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Retirement payments	488	506	525
0002 Survivors' benefits	31	31	32
0003 Medical care	104	100	96
0900 Total new obligations, unexpired accounts	623	637	653
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	624	637	653
1930 Total budgetary resources available	624	638	654
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	102	93	30
3010 New obligations, unexpired accounts	623	637	653
3011 Obligations ("upward adjustments"), expired accounts	12		
3020 Outlays (gross)	-618	-700	-652
3041 Recoveries of prior year unpaid obligations, expired	-26		
3050 Unpaid obligations, end of year	93	30	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	102	93	30
3200 Obligated balance, end of year	93	30	31
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	624	637	653
Outlays, gross:			
4100 Outlays from new mandatory authority	558	605	620
4101 Outlays from mandatory balances	60	95	32
4110 Outlays, gross (total)	618	700	652
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	1		
4160 Budget authority, net (mandatory)	624	637	653
4170 Outlays, net (mandatory)	617	700	652
4180 Budget authority, net (total)	624	637	653
4190 Outlays, net (total)	617	700	652

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

Retirement Pay, Survivor Benefits, and Medical Benefits

	2019	2020	2021
Active Duty:			
HHS	4,876	4,859	4,676
DOJ, BOP	787	787	754
Homeland Security	400	400	492
EPA	55	55	53
All Other	294	312	326
Total Active Duty	6,412	6,411	6,301

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS—Continued
Retirement Pay, Survivor Benefits, and Medical Benefits—Continued

	2019	2020	2021
Retirees & Survivors:			
Retirees	6,150	6,300	6,380
Retiree family members and survivors	1,125	1,100	1,120
Total Retirement Pay	7,275	7,400	7,500
Total Beneficiaries (active duty, retirees, survivors)	13,687	13,811	13,801

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

Identification code 075-0379-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
13.0 Benefits for former personnel	519	537	557
25.6 Medical care	104	100	96
99.9 Total new obligations, unexpired accounts	623	637	653

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS
(Legislative proposal, subject to PAYGO)

The Budget includes a mandatory proposal, effective FY 2022, which shifts the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit costs from mandatory to discretionary.

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 075-0170-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medicare eligible accruals	29	29	31
0900 Total new obligations, unexpired accounts (object class 12.2)	29	29	31
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29	29	31
1900 Budget authority (total)	29	29	31
1930 Total budgetary resources available	29	29	31
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	29	29	31
3020 Outlays (gross)	-29	-29	-31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29	29	31
Outlays, gross:			
4010 Outlays from new discretionary authority	29	29	31
4180 Budget authority, net (total)	29	29	31
4190 Outlays, net (total)	29	29	31

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108-375, section 725).

GENERAL FUND PAYMENT FOR UNFUNDED LIABILITY, USPHS COMMISSIONED CORPS RETIREMENT PAY AND SURVIVOR BENEFIT COSTS
(Legislative proposal, not subject to PAYGO)

Beginning FY 2022, this account would support the unfunded liability of U.S. Public Health Service Commissioned Corps retirement pay and survivor benefits costs.

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 075-9913-0-1-551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 075-9941-0-4-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Program Support Center	1,025	1,280	880
0802 OS activities	477	505	505
0900 Total new obligations, unexpired accounts	1,502	1,785	1,385
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	204	216	629
1021 Recoveries of prior year unpaid obligations	35	250	250
1050 Unobligated balance (total)	239	466	879
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,621	1,948	1,948
1701 Change in uncollected payments, Federal sources	-142		
1750 Spending auth from offsetting collections, disc (total)	1,479	1,948	1,948
1930 Total budgetary resources available	1,718	2,414	2,827
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	216	629	1,442
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,265	1,012	1,081
3010 New obligations, unexpired accounts	1,502	1,785	1,385
3020 Outlays (gross)	-1,720	-1,466	-1,464
3040 Recoveries of prior year unpaid obligations, unexpired	-35	-250	-250
3050 Unpaid obligations, end of year	1,012	1,081	752
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-673	-531	-531
3070 Change in uncollected pymts, Fed sources, unexpired	142		
3090 Uncollected pymts, Fed sources, end of year	-531	-531	-531
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	592	481	550
3200 Obligated balance, end of year	481	550	221
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,479	1,948	1,948
Outlays, gross:			
4010 Outlays from new discretionary authority	885	980	980
4011 Outlays from discretionary balances	835	486	484
4020 Outlays, gross (total)	1,720	1,466	1,464
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,618	-1,948	-1,948
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total)	-1,621	-1,948	-1,948

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	142
4080	Outlays, net (discretionary)	99	-482 -484
4180	Budget authority, net (total)		
4190	Outlays, net (total)	99	-482 -484

The HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities, such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of the Secretary activities include the Service and Supply Fund Manager's Office, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, acquisition reform, small business consolidation, grants tracking, the physical security component of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

Object Classification (in millions of dollars)

Identification code 075-9941-0-4-551	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	103	117 123
11.3	Other than full-time permanent	3	3 3
11.5	Other personnel compensation	4	3 3
11.7	Military personnel	5	8 8
11.8	Special personal services payments	10	10 12
11.9	Total personnel compensation	125	141 149
12.1	Civilian personnel benefits	34	38 42
12.2	Military personnel benefits	2	3 3
21.0	Travel and transportation of persons	2	2 2
22.0	Transportation of things	2	3 3
23.1	Rental payments to GSA	21	18 18
23.3	Communications, utilities, and miscellaneous charges	9	9 9
24.0	Printing and reproduction	5	6 6
25.1	Advisory and assistance services	136	197 197
25.2	Other services from non-Federal sources	933	1,194 782
25.3	Other goods and services from Federal sources	65	21 21
25.4	Operation and maintenance of facilities	22	14 14
25.6	Medical care	20	32 32
25.7	Operation and maintenance of equipment	71	51 51
26.0	Supplies and materials	54	50 50
31.0	Equipment	1	6 6
99.9	Total new obligations, unexpired accounts	1,502	1,785 1,385

Employment Summary

Identification code 075-9941-0-4-551	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	934	1,191 1,191
2101	Reimbursable military average strength employment	55	71 71
3101	Allocation account military average strength employment	1,455	1,472 1,572

Trust Funds

RETIREMENT PAY AND SURVIVOR BENEFITS PAYMENTS TO COMMISSIONED CORPS OFFICERS

(Legislative proposal, not subject to PAYGO)

Beginning FY 2022, this account would fund the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit payments.

RETIREMENT PAY AND SURVIVOR BENEFITS PAYMENTS TO COMMISSIONED CORPS OFFICERS

(Legislative proposal, subject to PAYGO)

Beginning FY 2022, this account would fund the U.S. Public Health Service Commissioned Corps retirement pay and survivor benefit payments.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
0100	Balance, start of year		2
Receipts:			
Current law:			
1130	Contributions, Indian Health Facilities	3	3 3
1130	Contributions, N.I.H., Unconditional Gift Fund	2	3 3
1130	Centers for Disease Control, Gifts and Donations	26	26 26
1130	Contributions, N.I.H., Conditional Gift Fund	40	40 40
1130	Contributions to the Indian Health Service Gift Fund		1 1
1140	Interest, Miscellaneous Trust Funds	1	1 1
1199	Total current law receipts	72	74 74
1999	Total receipts	72	74 74
2000	Total: Balances and receipts	72	74 76
Appropriations:			
Current law:			
2101	Miscellaneous Trust Funds	-72	-72 -72
5099	Balance, end of year		2 4

Program and Financing (in millions of dollars)

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Gifts	97	62 62
0003	Contributions, Indian Health Facilities		36 36
0900	Total new obligations, unexpired accounts	97	98 98
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	188	172 154
1021	Recoveries of prior year unpaid obligations	9	8 8
1050	Unobligated balance (total)	197	180 162
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	72	72 72
1930	Total budgetary resources available	269	252 234
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	172	154 136
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	100	110 40
3010	New obligations, unexpired accounts	97	98 98
3020	Outlays (gross)	-78	-160 -85
3040	Recoveries of prior year unpaid obligations, unexpired	-9	-8 -8
3050	Unpaid obligations, end of year	110	40 45
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	100	110 40
3200	Obligated balance, end of year	110	40 45
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	72	72 72
Outlays, gross:			
4100	Outlays from new mandatory authority	20	26 26
4101	Outlays from mandatory balances	58	134 59
4110	Outlays, gross (total)	78	160 85
4180	Budget authority, net (total)	72	72 72
4190	Outlays, net (total)	78	160 85
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	37	35 35
5001	Total investments, EOY: Federal securities: Par value	35	35 35

MISCELLANEOUS TRUST FUNDS—Continued

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.3 Other than full-time permanent	1	1	1
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	7	7	7
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	3	3	3
25.1 Advisory and assistance services	5	5	5
25.2 Other services from non-Federal sources	12	12	12
25.3 Other goods and services from Federal sources	1	1	1
25.5 Research and development contracts	3	3	3
25.6 Medical care	1	1	1
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	6	6	6
31.0 Equipment	3	3	3
41.0 Grants, subsidies, and contributions	53	54	54
99.9 Total new obligations, unexpired accounts	97	98	98

Employment Summary

Identification code 075-9971-0-7-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33	33	33

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, **[\$80,000,000] \$90,000,000: Provided,** That of such amount, necessary sums shall be available for providing protective services to the Secretary and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228: *Provided further, That of the amount appropriated under this heading, \$5,300,000 shall be available through September 30, 2022, for activities authorized under section 3022 of the Public Health Service Act relating to information blocking. (Department of Health and Human Services Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 075-0128-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General (Direct)	86	86	90
0801 Office of Inspector General HCFA Trust Fund	240	212	228
0802 Office of Inspector General (Direct Reimbursable)	14	21	21
0803 Office of Inspector General HCFA Discretionary	87	93	101
0899 Total reimbursable obligations	341	326	350
0900 Total new obligations, unexpired accounts	427	412	440
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	41	30	31
1001 Discretionary unobligated balance brought fwd, Oct 1	37	30
1021 Recoveries of prior year unpaid obligations	4	2
1050 Unobligated balance (total)	45	32	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	80	80	90
1121 Appropriations transferred from other acct [075-9911]	2	2
1121 Appropriations transferred from other acct [075-0140]	1
1121 Appropriations transferred from other acct [075-9915]	5	5
1121 Appropriations transferred from other acct [075-1503]	5
1160 Appropriation, discretionary (total)	93	87	90

Spending authority from offsetting collections, discretionary:			
1700 Collected	96	111	111
1701 Change in uncollected payments, Federal sources	3
1750 Spending auth from offsetting collections, disc (total)	99	111	111
Spending authority from offsetting collections, mandatory:			
1800 Collected	221	213	228
1801 Change in uncollected payments, Federal sources	-1
1802 Offsetting collections (previously unavailable)	1	1	1
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1
1850 Spending auth from offsetting collections, mand (total)	220	213	229
1900 Budget authority (total)	412	411	430
1930 Total budgetary resources available	457	443	461
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	31	21

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	97	101	56
3010 New obligations, unexpired accounts	427	412	440
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-418	-455	-430
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-2
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	101	56	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-135	-128	-128
3070 Change in uncollected pymts, Fed sources, unexpired	-2
3071 Change in uncollected pymts, Fed sources, expired	9
3090 Uncollected pymts, Fed sources, end of year	-128	-128	-128
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-38	-27	-72
3200 Obligated balance, end of year	-27	-72	-62

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	192	198	201
Outlays, gross:			
4010 Outlays from new discretionary authority	156	179	183
4011 Outlays from discretionary balances	26	19	18
4020 Outlays, gross (total)	182	198	201
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-105	-111	-111
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3
4052 Offsetting collections credited to expired accounts	9
4060 Additional offsets against budget authority only (total)	6
4070 Budget authority, net (discretionary)	93	87	90
4080 Outlays, net (discretionary)	77	87	90
Mandatory:			
4090 Budget authority, gross	220	213	229
Outlays, gross:			
4100 Outlays from new mandatory authority	160	194	208
4101 Outlays from mandatory balances	76	63	21
4110 Outlays, gross (total)	236	257	229
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-211	-213	-216
4123 Non-Federal sources	-10	-12	-12
4130 Offsets against gross budget authority and outlays (total)	-221	-225	-228
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1
4142 Offsetting collections credited to expired accounts	12
4150 Additional offsets against budget authority only (total)	1	12
4160 Budget authority, net (mandatory)	1
4170 Outlays, net (mandatory)	15	32	1
4180 Budget authority, net (total)	93	87	91
4190 Outlays, net (total)	92	119	91

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections	1	1

The mission of the Office of Inspector General (OIG) is to protect the integrity of the U.S. Department of Health and Human Services (HHS) programs and the health and welfare of the people they serve. As established

by the Inspector General Act of 1978, OIG is an independent and objective organization that fights fraud, waste, and abuse and promotes efficiency, economy, and effectiveness in HHS programs and operations. OIG works to ensure that Federal dollars are used appropriately and that HHS programs well serve the people that use them. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996.

Object Classification (in millions of dollars)

Identification code 075-0128-0-1-551	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	40	40	43
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	42	42	45
12.1 Civilian personnel benefits	16	16	17
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	12	12	12
25.3 Other goods and services from Federal sources	2	2	2
25.4 Operation and maintenance of facilities	1	1	1
31.0 Equipment	4	4	4
99.0 Direct obligations	86	86	90
99.0 Reimbursable obligations	341	326	350
99.9 Total new obligations, unexpired accounts	427	412	440

Employment Summary

Identification code 075-0128-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,624	1,640	1,666
2001 Reimbursable civilian full-time equivalent employment	7	10	10

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
075-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	120	90	90
075-275830 Downward Reestimates of Subsidies, Health Centers	1		
075-310700 Federal Share of Child Support Collections	526	634	562
075-310700 Federal Share of Child Support Collections: Legislative proposal, subject to PAYGO			4
075-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	511	34	34
General Fund Offsetting receipts from the public	1,158	758	690

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level **II**: *Provided*, That none of the funds appropriated in this title shall be used to prevent the NIH from paying up to 100 percent of the salary of an individual at this rate **V**, *except that this section shall not apply to the Head Start program.*

SEC. 203. None of the funds appropriated in this Act may be expended pursuant to section 241 of the PHS Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in HHS, prior to the preparation and submission of a report by the Secretary to the Committees on Ap-

propriations of the House of Representatives and the Senate detailing the planned uses of such funds. **]**

SEC. **[204]**203. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than **[2.5]**2.9 percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) and the implementation and effectiveness of programs funded in this title.

(TRANSFER OF FUNDS)

SEC. **[205]**204. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. **[206]**205. In lieu of the timeframe specified in section 338E(c)(2) of the PHS Act, terminations described in such section may occur up to 60 days after the effective date of a contract awarded in fiscal year **[2020]**2021 under section 338B of such Act, or at any time if the individual who has been awarded such contract has not received funds due under the contract.

SEC. **[207]**206. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. **[208]**207. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. **[209]**208. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. **[210]**209. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

SEC. 211. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization. **]**

SEC. **[212]**210. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year **[2020]** 2021:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, lease, alter, or renovate facilities in those countries as necessary to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

(TRANSFER OF FUNDS)

SEC. [213] 211. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

(TRANSFER OF FUNDS)

SEC. [214] 212. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. [215] 213. (a) **AUTHORITY.**—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds authorized under section 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to or research and activities described in such section 402(b)(12).

(b) **PEER REVIEW.**—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would otherwise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

(TRANSFER OF FUNDS)

SEC. [216] 214. Not to exceed **[\$45,000,000]** 1 percent of funds appropriated by this Act to the offices, institutes, and centers of the National Institutes of Health may be **used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein, at not to exceed \$3,500,000 per project** transferred to and merged with funds appropriated under the heading "National Institutes of Health—Buildings and Facilities": *Provided*, That the use of such transferred funds shall be subject to a centralized prioritization and governance process: *Provided further*, That the Director of the National Institutes of Health shall notify the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any such transfer: *Provided further*, That this transfer authority is in addition to any other transfer authority provided by law.

(TRANSFER OF FUNDS)

SEC. [217] 215. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under sections 736, 739, or 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the [Agency for Healthcare] *National Institute for Research on Safety and Quality* to make NRSA awards for health service research.

SEC. [218] 216. (a) The Biomedical Advanced Research and Development Authority ("BARDA") may enter into a contract, for more than one but no more than 10 program years, for purchase of research services or of security countermeasures, as that term is defined in section 319F-2(c)(1)(B) of the PHS Act (42 U.S.C. 247d-6b(c)(1)(B)), if—

(1) funds are available and obligated—

(A) for the full period of the contract or for the first fiscal year in which the contract is in effect; and

(B) for the estimated costs associated with a necessary termination of the contract; and

(2) the Secretary determines that a multi-year contract will serve the best interests of the Federal Government by encouraging full and open competition or

promoting economy in administration, performance, and operation of BARDA's programs.

(b) A contract entered into under this section—

(1) shall include a termination clause as described by subsection (c) of section 3903 of title 41, United States Code; and

(2) shall be subject to the congressional notice requirement stated in subsection (d) of such section.

SEC. 219. (a) The Secretary shall publish in the fiscal year 2021 budget justification and on Departmental Web sites information concerning the employment of full-time equivalent Federal employees or contractors for the purposes of implementing, administering, enforcing, or otherwise carrying out the provisions of the ACA, and the amendments made by that Act, in the proposed fiscal year and each fiscal year since the enactment of the ACA.

(b) With respect to employees or contractors supported by all funds appropriated for purposes of carrying out the ACA (and the amendments made by that Act), the Secretary shall include, at a minimum, the following information:

(1) For each such fiscal year, the section of such Act under which such funds were appropriated, a statement indicating the program, project, or activity receiving such funds, the Federal operating division or office that administers such program, and the amount of funding received in discretionary or mandatory appropriations.

(2) For each such fiscal year, the number of full-time equivalent employees or contracted employees assigned to each authorized and funded provision detailed in accordance with paragraph (1).

(c) In carrying out this section, the Secretary may exclude from the report employees or contractors who—

(1) are supported through appropriations enacted in laws other than the ACA and work on programs that existed prior to the passage of the ACA;

(2) spend less than 50 percent of their time on activities funded by or newly authorized in the ACA; or

(3) work on contracts for which FTE reporting is not a requirement of their contract, such as fixed-price contracts.]

SEC. 220. The Secretary shall publish, as part of the fiscal year 2021 budget of the President submitted under section 1105(a) of title 31, United States Code, information that details the uses of all funds used by the Centers for Medicare & Medicaid Services specifically for Health Insurance Exchanges for each fiscal year since the enactment of the ACA and the proposed uses for such funds for fiscal year 2021. Such information shall include, for each such fiscal year, the amount of funds used for each activity specified under the heading "Health Insurance Exchange Transparency" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).]

SEC. 221. None of the funds made available by this Act from the Federal Hospital Insurance Trust Fund or the Federal Supplemental Medical Insurance Trust Fund, or transferred from other accounts funded by this Act to the "Centers for Medicare & Medicaid Services-Program Management" account, may be used for payments under section 1342(b)(1) of Public Law 111-148 (relating to risk corridors).]

(TRANSFER OF FUNDS)

SEC. 222. (a) Within 45 days of enactment of this Act, the Secretary shall transfer funds appropriated under section 4002 of the ACA to the accounts specified, in the amounts specified, and for the activities specified under the heading "Prevention and Public Health Fund" in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(b) Notwithstanding section 4002(c) of the ACA, the Secretary may not further transfer these amounts.

(c) Funds transferred for activities authorized under section 2821 of the PHS Act shall be made available without reference to section 2821(b) of such Act.]

SEC. [223] 217. Effective during the period beginning on November 1, 2015 and ending January 1, 2022, any provision of law that refers (including through cross-reference to another provision of law) to the current recommendations of the United States Preventive Services Task Force with respect to breast cancer screening, mammography, and prevention shall be administered by the Secretary involved as if—

(1) such reference to such current recommendations were a reference to the recommendations of such Task Force with respect to breast cancer screening, mammography, and prevention last issued before 2009; and

(2) such recommendations last issued before 2009 applied to any screening mammography modality under section 1861(jj) of the Social Security Act (42 U.S.C. 1395x(jj)).

SEC. 224. In making Federal financial assistance, the provisions relating to indirect costs in part 75 of title 45, Code of Federal Regulations, including with respect to the approval of deviations from negotiated rates, shall continue to apply to the National Institutes of Health to the same extent and in the same manner as such provi-

sions were applied in the third quarter of fiscal year 2017. None of the funds appropriated in this or prior Acts or otherwise made available to the Department of Health and Human Services or to any department or agency may be used to develop or implement a modified approach to such provisions, or to intentionally or substantially expand the fiscal effect of the approval of such deviations from negotiated rates beyond the proportional effect of such approvals in such quarter.】

(TRANSFER OF FUNDS)

SEC. 【225】218. The NIH Director may transfer 【funds specifically appropriated】 *discretionary amounts identified by the Director as funding for opioid addiction, opioid alternatives, pain management, and addiction treatment* 【to other】 *among Institutes and Centers of the NIH to be used for the same purpose 15 days after notifying the Committees on Appropriations of the House of Representatives and the Senate: Provided, That the transfer authority provided in the previous proviso is in addition to any other transfer authority provided by law.*

【SEC. 226. (a) The Secretary shall provide to the Committees on Appropriations of the House of Representatives and the Senate:

(1) Detailed monthly enrollment figures from the Exchanges established under the Patient Protection and Affordable Care Act of 2010 pertaining to enrollments during the open enrollment period; and

(2) Notification of any new or competitive grant awards, including supplements, authorized under section 330 of the Public Health Service Act.

(b) The Committees on Appropriations of the House and Senate must be notified at least 2 business days in advance of any public release of enrollment information or the award of such grants.】

【SEC. 227. In addition to the amounts otherwise available for "Centers for Medicare & Medicaid Services, Program Management", the Secretary of Health and Human Services may transfer up to \$305,000,000 to such account from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to support program management activity related to the Medicare Program: *Provided, That except for the foregoing purpose, such funds may not be used to support any provision of Public Law 111-148 or Public Law 111-152 (or any amendment made by either such Public Law) or to supplant any other amounts within such account.*】

【SEC. 228. The Department of Health and Human Services shall provide the Committees on Appropriations of the House of Representatives and Senate a biannual report 30 days after enactment of this Act on staffing described in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).】

SEC. 【229】219. Funds appropriated in this Act that are available for salaries and expenses of employees of the Department of Health and Human Services shall also be available to pay travel and related expenses of such an employee or of a member of his or her family, when such employee is assigned to duty, in the United States or in a U.S. territory, during a period and in a location that are the subject of a determination of a public health emergency under section 319 of the Public Health Service Act and such travel is necessary to obtain medical care for an illness, injury, or medical condition that cannot be adequately addressed in that location at that time. For purposes of this section, the term "U.S. territory" means Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, or the Trust Territory of the Pacific Islands.

SEC. 【230】220. The Department of Health and Human Services may accept donations from the private sector, nongovernmental organizations, and other groups independent of the Federal Government for the care of unaccompanied alien children (as defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))) in the care of the Office of Refugee Resettlement of the Administration for Children and Families, including *monetary donations and* medical goods and services, which may include early childhood developmental screenings, school supplies, toys, clothing, and any other items *and services* intended to promote the wellbeing of such children.

【SEC. 231. (a) None of the funds provided by this or any prior appropriations Act may be used to reverse changes in procedures made by operational directives issued to providers by the Office of Refugee Resettlement on December 18, 2018, March 23, 2019, and June 10, 2019 regarding the Memorandum of Agreement on Information Sharing executed April 13, 2018.

(b) Notwithstanding subsection (a), the Secretary may make changes to such operational directives upon making a determination that such changes are necessary to prevent unaccompanied alien children from being placed in danger, and the Secretary shall provide a written justification to Congress and the Inspector General of the Department of Health and Human Services in advance of implementing such changes.

(c) Within 15 days of the Secretary's communication of the justification, the Inspector General of the Department of Health and Human Services shall provide an assessment, in writing, to the Secretary and to Committees on Appropriations of the House of Representatives and the Senate of whether such changes to opera-

tional directives are necessary to prevent unaccompanied children from being placed in danger.】

【SEC. 232. None of the funds made available in this Act under the heading "Department of Health and Human Services-Administration for Children and Families-Refugee and Entrant Assistance" may be obligated to a grantee or contractor to house unaccompanied alien children (as such term is defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))) in any facility that is not State-licensed for the care of unaccompanied alien children, except in the case that the Secretary determines that housing unaccompanied alien children in such a facility is necessary on a temporary basis due to an influx of such children or an emergency, provided that—

(1) the terms of the grant or contract for the operations of any such facility that remains in operation for more than six consecutive months shall require compliance with—

(A) the same requirements as licensed placements, as listed in Exhibit 1 of the Flores Settlement Agreement that the Secretary determines are applicable to non-State licensed facilities; and

(B) staffing ratios of one (1) on-duty Youth Care Worker for every eight (8) children or youth during waking hours, one (1) on-duty Youth Care Worker for every sixteen (16) children or youth during sleeping hours, and clinician ratios to children (including mental health providers) as required in grantee cooperative agreements;

(2) the Secretary may grant a 60-day waiver for a contractor's or grantee's non-compliance with paragraph (1) if the Secretary certifies and provides a report to Congress on the contractor's or grantee's good-faith efforts and progress towards compliance;

(3) not more than four consecutive waivers under paragraph (2) may be granted to a contractor or grantee with respect to a specific facility;

(4) ORR shall ensure full adherence to the monitoring requirements set forth in section 5.5 of its Policies and Procedures Guide as of May 15, 2019;

(5) for any such unlicensed facility in operation for more than three consecutive months, ORR shall conduct a minimum of one comprehensive monitoring visit during the first three months of operation, with quarterly monitoring visits thereafter; and

(6) not later than 60 days after the date of enactment of this Act, ORR shall brief the Committees on Appropriations of the House of Representatives and the Senate outlining the requirements of ORR for influx facilities including any requirement listed in paragraph (1)(A) that the Secretary has determined are not applicable to non-State licensed facilities.】

【SEC. 233. In addition to the existing Congressional notification for formal site assessments of potential influx facilities, the Secretary shall notify the Committees on Appropriations of the House of Representatives and the Senate at least 15 days before operationalizing an unlicensed facility, and shall (1) specify whether the facility is hard-sided or soft-sided, and (2) provide analysis that indicates that, in the absence of the influx facility, the likely outcome is that unaccompanied alien children will remain in the custody of the Department of Homeland Security for longer than 72 hours or that unaccompanied alien children will be otherwise placed in danger. Within 60 days of bringing such a facility online, and monthly thereafter, the Secretary shall provide to the Committees on Appropriations of the House of Representatives and the Senate a report detailing the total number of children in care at the facility, the average length of stay and average length of care of children at the facility, and, for any child that has been at the facility for more than 60 days, their length of stay and reason for delay in release.】

【SEC. 234. None of the funds made available in this Act may be used to prevent a United States Senator or Member of the House of Representatives from entering, for the purpose of conducting oversight, any facility in the United States used for the purpose of maintaining custody of, or otherwise housing, unaccompanied alien children (as defined in section 462(g)(2) of the Homeland Security Act of 2002 (6 U.S.C. 279(g)(2))), provided that such Senator or Member has coordinated the oversight visit with the Office of Refugee Resettlement not less than two business days in advance to ensure that such visit would not interfere with the operations (including child welfare and child safety operations) of such facility.】

【SEC. 235. Not later than 14 days after the date of enactment of this Act, and monthly thereafter, the Secretary shall submit to the Committees on Appropriations of the House of Representatives and the Senate, and make publicly available online, a report with respect to children who were separated from their parents or legal guardians by the Department of Homeland Security (DHS) (regardless of whether or not such separation was pursuant to an option selected by the children, parents, or guardians), subsequently classified as unaccompanied alien children, and transferred to the care and custody of ORR during the previous month. Each report shall contain the following information:

(1) the number and ages of children so separated subsequent to apprehension at or between ports of entry, to be reported by sector where separation occurred; and

(2) the documented cause of separation, as reported by DHS when each child was referred.】

SEC. [236] 221. Funds appropriated in this Act that are available for salaries and expenses of employees of the Centers for Disease Control and Prevention shall also be available for the primary and secondary schooling of eligible dependents of personnel stationed in a U.S. territory as defined in section 229 of this Act at costs not in excess of those paid for or reimbursed by the Department of Defense.

【SEC. 237. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110–161, \$225,000,000, in addition to any funds otherwise made available for such purpose in this or subsequent fiscal years, shall be available for buildings and facilities at the National Institutes of Health.】

【SEC. 238. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110–161, \$225,000,000, shall be available for acquisition of real property, equipment, construction, demolition, installation, renovation of facilities, and related infrastructure improvements for the Centers for Disease Control and Prevention's Chamblee Campus.】

SEC. 222. Amounts made available in section 238 of division A of Public Law 116–94 shall remain available until September 30, 2024 for installation expenses, including moving expenses, related to the Centers for Disease Control and Prevention's Chamblee Campus.

SEC. [239] 223. Of the funds provided under the heading "CDC-Wide Activities and Program Support", 【\$85,000,000】 \$50,000,000, to remain available until expended, shall be available to the Director of the CDC for deposit in the Infectious Diseases Rapid Response Reserve Fund established by section 231 of division B of Public Law 115–245: *Provided*, That such amount may be available for Ebola preparedness and response activities without regard to the limitations in the third proviso in such section 231.

(CANCELLATION)

SEC. [240] 224. Of the unobligated balances available in the "Nonrecurring Expenses Fund" established in section 223 of division G of Public Law 110–161, 【\$350,000,000】 \$500,000,000 are hereby 【rescinded not later than September 30, 2020】 permanently cancelled.

SEC. 225. (a) *IN GENERAL*. Under the conditions listed in subsection (b), the Secretary or the head of a major organizational unit within the Department may in this fiscal year enter into a reimbursable agreement with the head of another major organizational unit within the Department or of another agency under which —

(1) the head of the ordering agency or unit delegates to the head of the servicing agency or unit the authority to issue a grant or cooperative agreement on behalf of the ordering agency or unit;

(2) the servicing agency or unit will execute or manage a grant or cooperative agreement on behalf of the ordering agency or unit; and

(3) the ordering agency or unit will reimburse the servicing unit or agency for the amount of the grant or cooperative agreement and for the service of executing or managing the grant or cooperative agreement.

(b) *CONDITIONS*. The conditions for making an agreement described in subsection (a) are that —

(1) amounts are available;

(2) the head of the ordering agency or unit decides the agreement is in the best interest of the United States Government; and

(3) the agency or unit to execute or manage the grant or cooperative agreement is able to provide that service.

(c) *PAYMENT*. Payment shall be made promptly through the Intra-governmental Payment and Collection system at the request of the agency or unit providing the service. Payment may be in advance or on providing all or part of the service, and shall be for any part of the estimated or actual cost as determined by the agency or unit providing the service. A bill submitted or a request for payment is not subject to audit or certification in advance of payment. Proper adjustment of amounts paid in advance shall be made as agreed to by the heads of the agencies or units on the basis of the amount of the grant or cooperative agreement and the actual cost of service provided.

(d) *LIMITATIONS ON FUNDS*. A condition or limitation applicable to amounts for grant or cooperative agreements of the ordering agency or unit applies to an agreement made under this section and to a grant or cooperative agreement made under such agreement.

(e) *OBLIGATION OF APPROPRIATIONS*. An agreement made under this section obligates an appropriation of the ordering agency or unit. The amount obligated is deobligated to the extent that the agency or unit providing the service

has not incurred obligations, before the end of the period of availability of the appropriation, in—

(1) awarding the grant or cooperative agreement; or

(2) providing the agreed-on services.

(f) *NO EFFECT ON OTHER LAWS*. This section does not affect other laws about reimbursable agreements.

SEC. 226. (a) *IN GENERAL*. A State or tribal organization which receives grant funds attributable to appropriations under the heading "Department of Health and Human Services, Administration for Community Living, Aging and Disability Services Programs" to carry out programs under parts B, C, D, or E of title III (with respect to States) or under title VI (with respect to tribal organizations) of the Older Americans Act of 1965 (OAA) may elect to transfer up to 100 percent of such received funds among such title III or title VI programs (respectively), subject to OAA sections 306(a)(9) and 307(a)(9) but notwithstanding any otherwise-applicable limitations on such transfers under the OAA or such heading.

(b) *NOTIFICATION OF PROPOSED TRANSFER; SECRETARIAL APPROVAL*. A State or tribal organization which elects to make a transfer under subsection (a) shall notify the Secretary of Health and Human Services of such proposed transfer, including a description of the amount to be transferred, the purposes of the transfer, the need for the transfer, and the impact of the transfer on the provision of services from which the funding would be transferred. The Secretary shall approve any such transfer unless the Secretary determines that such transfer is not consistent with the objectives of the OAA.

(c) *RULES OF CONSTRUCTION*. No transfer of grant funds by a State or tribal organization under this section shall be construed—

(1) as inconsistent with the authorized use of such funds under the OAA, including for purposes of OAA administration and oversight by the Secretary; or

(2) to relieve the State or tribal organization from applicable reporting requirements under the OAA regarding the use of such funds.

SEC. 227. Funds appropriated in this Act to accounts that received appropriations in title II of division A of Public Law 116–94 for the administrative expenses of programs or activities for which appropriations are not provided in this Act shall be available for necessary expenses to carry out the closure of such programs or activities.

SEC. 228. Section 340B of the Public Health Service Act (42 U.S.C. 256b) is amended —

(a) in subsection (a)(5)(C) —

(1) by striking "A covered entity shall permit" and inserting "(i) DUPLICATE DISCOUNTS AND DRUG RESALE. A covered entity shall permit"; and

(2) by inserting at the end the following:

"(ii) *USE OF SAVINGS*. — A covered entity shall permit the Secretary to audit, at the Secretary's expense, the records of the entity to determine how net income from purchases under this section are used by the covered entity.

"(iii) *RECORDS RETENTION*. — Covered entities shall retain such records and provide such records and reports as deemed necessary by the Secretary for carrying out this subparagraph."

(b) by adding at the end the following new subsection:

"(f) *REGULATIONS*. — The Secretary may promulgate such regulations as the Secretary determines appropriate to carry out the provisions of this section."

SEC. 229. For fiscal year 2021, the notification requirements described in sections 1804(a) and 1851(d) of the Social Security Act may be fulfilled by the Secretary in a manner similar to that described in paragraphs (1) and (2) of section 1806(c) of such Act.

SEC. 230. Any assessment or user fee charged pursuant to section 1311(d)(5)(A) of the Patient Protection and Affordable Care Act credited to the "Centers for Medicare and Medicaid Services, Program Management" account shall be available for any other Federal administrative expenses the Secretary incurs for activities related to the Exchange program, in addition to any other purposes authorized by law, and shall remain available until expended for the purposes described in this section.

(INCLUDING TRANSFER OF FUNDS)

SEC. 231. There is hereby established in the Treasury a fund to be known as the "Federal Emergency Response Fund" (the "Fund"). Amounts in the Fund shall be available, in addition to any other amount appropriated for such purposes, to carry out titles II, III, and XVII of the PHS Act, and domestic preparedness activities and global health; to prevent, prepare for, or respond to a chemical, biological, radiological, or nuclear defense threat; or to prevent, prepare for, or respond to an emerging infectious disease; and may be used to purchase or lease, and provide for the insurance of, passenger motor vehicles for official use in foreign countries. Amounts in the Fund may only be used for such threats or emergencies that the Secretary determines have significant potential to occur and potential, on occurrence, to affect national security or the health and security of United States citizens, domestically

or internationally. The Secretary may transfer to the Fund such amounts as are necessary from any discretionary amounts (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) appropriated in this Act, provided that no such appropriation is reduced by more than 1 percent. Such transferred amounts shall remain available until expended. When implementing response activities, amounts in the Fund may be transferred to other accounts of the Department of Health and Human Services for the purposes provided in this section. The Committees on Appropriations of the House of Representatives and the Senate shall be notified promptly of the initiation of response activities under this authority, and of any transfer made under the authority provided in this subsection. The Committees on Appropriations of the House of Representatives and the Senate shall receive a report not later than 45 days after the end of each quarter in the fiscal year on the unobligated balances in the Fund and all actual obligations incurred for the fiscal year, including obligations by program, project, or activity. The transfer authorities in this section are in addition to any other transfer authority otherwise available to the Department of Health and Human Services. Products purchased using amounts in the Fund may, at the discretion of the Secretary of Health and Human Services, be deposited in the Strategic National Stockpile under section 319F-2 of the PHS Act.

(INCLUDING TRANSFER OF FUNDS)

SEC. 232. (a) The Secretary may reserve not more than 0.25 percent from each appropriation made available in this Act to the accounts of the Administration for Children and Families identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any such funds reserved under this section may be transferred to "Children and Families Services Programs" for use by the Assistant Secretary for the Administration for Children and Families and shall remain available until expended: Provided, That such funds shall only be available if such Assistant Secretary submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any such transfer.

(b) The accounts referred to in subsection (a) are: "Low Income Home Energy Assistance", "Refugee and Entrant Assistance", "Payments to States for the Child Care and Development Block Grant", and "Children and Families Services Programs".

SEC. 233. Section 2813 of the Public Health Service Act (42 U.S.C. 300hh-15) is amended —

(1) by redesignating subsection (i) as subsection (j); and

(2) by inserting after subsection (h) the following new subsection:

"(i) TORT CLAIMS AND WORK INJURY COMPENSATION COVERAGE FOR CORPS VOLUNTEERS. —

"(1) IN GENERAL — If under section 223 and regulations pursuant to such section, and through an agreement entered into in accordance with such regulations, the Secretary accepts, from an individual in the Corps, services for a specified period that are volunteer and without compensation other than reasonable reimbursement or allowance for expenses actually incurred, such individual shall, during such period, have the coverages described in paragraphs (2) and (3).

"(2) FEDERAL TORT CLAIMS ACT COVERAGE. — Such individual shall, while performing such services during such period —

"(A) be deemed to be an employee of the Department of Health and Human Services, for purposes of claims under sections 1346(b) and 2672 of title 28, United States Code, for money damages for personal injury, including death, resulting from the performance of functions under such agreement; and

"(B) be deemed to be an employee of the Public Health Service performing medical, surgical, dental, or related functions, for purposes of having the remedy provided by such sections of title 28 be exclusive of any other civil action or proceeding by reason of the same subject matter against such individual or against the estate of such individual.

"(3) COMPENSATION FOR WORK INJURIES. Such individual shall, while performing such services during such period, be deemed to be an employee of the Department of Health and Human Services, and an injury sustained by such an individual shall be deemed 'in the performance of duty' for purposes of chapter 81 of title 5, United States Code, pertaining to compensation for work injuries."

SEC. 234. Funds made available to the Secretary of Health and Human Services (HHS) in this or any other or prior Acts that are available for acquisition of real property or for construction or improvement of facilities shall also be available to make improvements on non-federally owned property located directly adjacent to property owned by HHS or a component thereof, provided that the primary benefit of such improvements accrues to HHS or the component thereof funding the improvements. (Department of Health and Human Services Appropriations Act, 2020.)

DEPARTMENT OF HOMELAND SECURITY

The Department of Homeland Security's (DHS) mission is to safeguard the American people, our homeland, and our values with honor and integrity. Threats to our safety and security are constantly evolving and require continuous risk assessments and adaptive strategies to effectively address them. The men and women at DHS demonstrate agility and dedication to our mission by protecting our Nation from threats by land, sea, air, and cyber.

The 2021 budgetary data are presented in the same consolidated account structure as enacted in the 2020 Consolidated Appropriations Act (Defense, CJS, FSGG, Homeland Security) (P.L. 116-93) with two notable exceptions, as described below.

The 2021 President's Budget proposes to transfer the United States Secret Service (USSS) to the Department of the Treasury. Please consult the Department of the Treasury chapter for more information on this account.

Additionally, the 2021 President's Budget proposes to reorganize the Cybersecurity and Infrastructure Security Agency's (CISA) Program, Project, and Activity (PPA) structure. In November 2018, Congress passed the Cybersecurity and Infrastructure Security Agency Act of 2018 reorganizing the former National Protection and Programs Directorate (NPPD) into CISA, which today serves as the DHS operational Component focused on leading the national effort to understand and manage cyber and physical risks to critical U.S. infrastructure. The 2021 President's Budget reorganizes the PPA structure to fully reflect this vision.

OFFICE OF THE SECRETARY AND EXECUTIVE MANAGEMENT

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of the Secretary and for executive management for operations and support, **\$168,808,000**; of which \$10,000,000 shall be for an Ombudsman for Immigration Detention as established by section 106, of which \$5,000,000 shall remain available until September 30, 2021; and of which \$500,000 shall be withheld from obligation until the Secretary appoints such Ombudsman for Immigration Detention and complies with the directive related to the Public Complaint and Feedback System Working Group in the explanatory statement accompanying this Act **\$150,359,000**; *Provided*, That not to exceed **\$30,000** **\$45,000** shall be for official reception and representation expenses. (*Department of Homeland Security Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 070-0100-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Operations and Engagement	45	59	44
0012 Strategy, Policy, and Plans	38	49	52
0013 Management and Oversight	58	61	54
0100 Subtotal, Direct Programs	141	169	150
0799 Total direct obligations	141	169	150
0882 CAS - OSEM O&S Reimbursable program activity	20	18	20
0889 Reimbursable program activities, subtotal	20	18	20
0900 Total new obligations, unexpired accounts	161	187	170
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - CAS OSEM	141	169	150
Spending authority from offsetting collections, discretionary:			
1700 Collected - CAS - OSEM O&S	14	18	20
1701 Change in uncollected payments, Federal sources	7
1750 Spending auth from offsetting collections, disc (total)	21	18	20
1900 Budget authority (total)	162	187	170
1930 Total budgetary resources available	164	190	173

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	57	53	41
3010 New obligations, unexpired accounts	161	187	170
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-163	-199	-173
3041 Recoveries of prior year unpaid obligations, expired	-4
3050 Unpaid obligations, end of year	53	41	38
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-11	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired	-7
3071 Change in uncollected pymts, Fed sources, expired	7
3090 Uncollected pymts, Fed sources, end of year	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	46	42	30
3200 Obligated balance, end of year	42	30	27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	162	187	170
Outlays, gross:			
4010 Outlays from new discretionary authority	124	146	132
4011 Outlays from discretionary balances	39	53	41
4020 Outlays, gross (total)	163	199	173
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-21	-18	-20
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-22	-18	-20
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-7
4052 Offsetting collections credited to expired accounts	8
4060 Additional offsets against budget authority only (total)	1
4070 Budget authority, net (discretionary)	141	169	150
4080 Outlays, net (discretionary)	141	181	153
4180 Budget authority, net (total)	141	169	150
4190 Outlays, net (total)	141	181	153

The Office of the Secretary and Executive Management directs and leads management of the Department and provides policy guidance to operating bureaus within the organization; plans and executes departmental strategies to accomplish agency objectives and provides central leadership to the Department. Offices supported by resources from this appropriation include: the Office of the Secretary; the Office of Strategy, Policy, and Plans; the Office of Public Affairs; the Office of Legislative Affairs; the Office of the General Counsel; the Office for Civil Rights and Civil Liberties; the Office of the Citizenship and Immigration Services Ombudsman; the Office of Immigration Detention Ombudsman; the Privacy Office; and the Office of Partnership and Engagement.

The Operations and Support appropriation funds support the costs incurred for the day-to-day operation and maintenance of the organization, including, but not limited to, salaries, services, supplies, utilities, travel, training, and transportation, as well as minor procurement, construction, and improvement projects.

Object Classification (in millions of dollars)

Identification code 070-0100-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	72	75
11.3 Other than full-time permanent	6	5	5
11.5 Other personnel compensation	2	1	1
11.8 Special personal services payments	2	2
11.9 Total personnel compensation	68	80	83
12.1 Civilian personnel benefits	20	27	27
21.0 Travel and transportation of persons	5	4	4
25.1 Advisory and assistance services	14	25	17
25.2 Other services from non-Federal sources	10	5	10

OPERATIONS AND SUPPORT—Continued
Object Classification—Continued

Identification code 070-0100-0-1-751	2019 actual	2020 est.	2021 est.
25.3 Other goods and services from Federal sources	21	26	7
25.7 Operation and maintenance of equipment	2	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	141	169	150
99.0 Reimbursable obligations	20	18	20
99.9 Total new obligations, unexpired accounts	161	187	170

Employment Summary

Identification code 070-0100-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	493	643	696
2001 Reimbursable civilian full-time equivalent employment	65	67	8

FEDERAL ASSISTANCE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of the Secretary and Executive Management for Federal Assistance through grants, contracts, cooperative agreements, and other activities, \$10,000,000, which shall be transferred to the Federal Emergency Management Agency for targeted violence and terrorism prevention grants. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0416-0-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		10	
1120 Appropriations transferred to other acct [070-0413]		-10	
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Trust Funds

GIFTS AND DONATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8244-0-7-453	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1198 Rounding adjustment	1		
2000 Total: Balances and receipts	1		
Appropriations:			
Current law:			
2101 Gifts and Donations	-1		
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-8244-0-7-453	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	6
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	1		
1930 Total budgetary resources available	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	142	114	6

3020 Outlays (gross)	-28	-108	-6
3050 Unpaid obligations, end of year	114	6	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	142	114	6
3200 Obligated balance, end of year	114	6	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1		
Outlays, gross:			
4011 Outlays from discretionary balances	28	108	6
4180 Budget authority, net (total)	1		
4190 Outlays, net (total)	28	108	6

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	2		
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The Gifts and Donations account represents contributions to the Department from outside sources to facilitate the work of the Department.

MANAGEMENT DIRECTORATE

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Management Directorate for operations and support, \$1,182,142,000 \$1,402,196,000: Provided, That not to exceed \$2,000 shall be for official reception and representation expenses: Provided further, That of the funds made available under this heading, \$2,000,000 shall be withheld from obligation until the first report required by section 403 has been made available on a publicly accessible website. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0112-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 CAS - Immediate Office of the Under Secretary of Management	7	8	4
0013 CAS - Office of the Chief Readiness Support Officer	101	101	177
0014 CAS - Office of the Chief Human Capital Officer	105	116	130
0015 CAS - Office of the Chief Security Officer	83	83	135
0016 CAS - Chief Procurement Officer	81	110	107
0017 CAS - Office of the Chief Financial Officer	80	91	90
0018 CAS - Office of the Chief Information Officer	346	418	505
0019 CAS - Office of Biometric Identity Management	375	255	254
0799 Total direct obligations	1,178	1,182	1,402
0801 USM/CFO Reimbursable program activity	47	99	57
0802 CIO Reimbursable program activity	71	72	88
0899 Total reimbursable obligations	118	171	145
0900 Total new obligations, unexpired accounts	1,296	1,353	1,547

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	365	276	198
1012 Unobligated balance transfers between expired and unexpired accounts	1		
1021 Recoveries of prior year unpaid obligations	7	5	5
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	375	281	203
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,083	1,182	1,402
1120 Appropriations transferred to other acct [070-0540]	-3		
1160 Appropriation, discretionary (total)	1,080	1,182	1,402
Spending authority from offsetting collections, discretionary:			
1700 Collected	32	53	53
1701 Change in uncollected payments, Federal sources	86	35	35
1750 Spending auth from offsetting collections, disc (total)	118	88	88
1900 Budget authority (total)	1,198	1,270	1,490
1930 Total budgetary resources available	1,573	1,551	1,693
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	276	198	146

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	668	877	496
3010	New obligations, unexpired accounts	1,296	1,353	1,547
3011	Obligations ("upward adjustments"), expired accounts	9		
3020	Outlays (gross)	-1,045	-1,709	-1,422
3040	Recoveries of prior year unpaid obligations, unexpired	-7	-5	-5
3041	Recoveries of prior year unpaid obligations, expired	-44	-20	-20
3050	Unpaid obligations, end of year	877	496	596
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-166	-159	-214
3070	Change in uncollected pymts, Fed sources, unexpired	-86	-35	-35
3071	Change in uncollected pymts, Fed sources, expired	93	-20	-20
3090	Uncollected pymts, Fed sources, end of year	-159	-214	-269
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	502	718	282
3200	Obligated balance, end of year	718	282	327

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	1,198	1,270	1,490
Outlays, gross:				
4010	Outlays from new discretionary authority	694	906	1,071
4011	Outlays from discretionary balances	344	803	351
4020	Outlays, gross (total)	1,038	1,709	1,422
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-104	-53	-53
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-107	-53	-53
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-86	-35	-35
4052	Offsetting collections credited to expired accounts	73		
4053	Recoveries of prior year paid obligations, unexpired accounts	2		
4060	Additional offsets against budget authority only (total)	-11	-35	-35
4070	Budget authority, net (discretionary)	1,080	1,182	1,402
4080	Outlays, net (discretionary)	931	1,656	1,369
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	7		
4180	Budget authority, net (total)	1,080	1,182	1,402
4190	Outlays, net (total)	938	1,656	1,369

The Management Directorate provides enterprise leadership and management and business administration services, as well as biometric and identity management services. These can include financial management, acquisition oversight, workforce management, physical and personnel security requirements, administrative supplies and services, non-programmatic information technology, day-to-day management of headquarters-related property and assets, daily communication costs, and other general day-to-day management and administration. The Management Directorate includes the following offices: Immediate Office of the Under Secretary for Management; Office of the Chief Readiness Support Officer; Office of the Chief Human Capital Officer; Office of the Chief Procurement Officer; Office of the Chief Financial Officer; Office of the Chief Information Officer; Office of the Chief Security Officer, and the Office of Biometric Identity Management.

Object Classification (in millions of dollars)

Identification code 070-0112-0-1-999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	204	245	253
11.3	Other than full-time permanent	2		1
11.5	Other personnel compensation	3	5	4
11.9	Total personnel compensation	209	250	258
12.1	Civilian personnel benefits	84	88	95
21.0	Travel and transportation of persons	3	2	2
23.1	Rental payments to GSA		6	128
25.1	Advisory and assistance services	256	181	258
25.2	Other services from non-Federal sources	70	96	95
25.3	Other goods and services from Federal sources	371	306	307
25.4	Operation and maintenance of facilities	43	9	10
25.7	Operation and maintenance of equipment	81	236	241

26.0	Supplies and materials	20	1	1
31.0	Equipment	41	7	7
99.0	Direct obligations	1,178	1,182	1,402
99.0	Reimbursable obligations	118	171	145
99.9	Total new obligations, unexpired accounts	1,296	1,353	1,547

Employment Summary

Identification code 070-0112-0-1-999	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	1,680	2,115	2,194
2001	Reimbursable civilian full-time equivalent employment	5		

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Management Directorate for procurement, construction, and improvements, **[\$381,298,000]** \$359,450,000, of which **[\$157,531,000]** \$159,611,000 shall remain available until September 30, **[2022]** 2023; and of which **[\$223,767,000]** \$199,839,000 shall remain available until September 30, **[2024]** 2025, to plan, acquire, design, construct, renovate, remediate, equip, furnish, improve infrastructure, and occupy buildings and facilities for the Department headquarters consolidation project. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0406-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	CAS - Construction and Facility Improvements	8	224	200
0002	CAS - Mission Support Assets and Infrastructure	23	12	18
0004	CAS - Mission Support Assets and Infrastructure - FSM	22	116	100
0005	CAS - Mission Support Assets and Infrastructure - HRIT	13	10	11
0007	DHS One Number	4	4	
0008	OBIM - HART	20	15	30
0799	Total direct obligations	90	381	359
0900	Total new obligations, unexpired accounts	90	381	359

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	23	44	44
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	26	44	44
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	227	381	359
1120	Appropriations transferred to other acct [047-4542]	-120		
1160	Appropriation, discretionary (total)	107	381	359
Spending authority from offsetting collections, discretionary:				
1700	Collected		2	
1701	Change in uncollected payments, Federal sources	2	-2	
1750	Spending auth from offsetting collections, disc (total)	2		
1900	Budget authority (total)	109	381	359
1930	Total budgetary resources available	135	425	403
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	44	44	44

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	58	62	146
3010	New obligations, unexpired accounts	90	381	359
3020	Outlays (gross)	-83	-297	-370
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	62	146	135
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1		-2	
3070	Change in uncollected pymts, Fed sources, unexpired	-2	2	
3090	Uncollected pymts, Fed sources, end of year	-2		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	58	60	146
3200	Obligated balance, end of year	60	146	135

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	109	381	359

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

Identification code 070-0406-0-1-751	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4010 Outlays from new discretionary authority	29	191	179
4011 Outlays from discretionary balances	54	106	191
4020 Outlays, gross (total)	83	297	370
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-2	
4040 Offsets against gross budget authority and outlays (total)		-2	
4050 Additional offsets against gross budget authority only:			
Change in uncollected pymts, Fed sources, unexpired	-2	2	
4070 Budget authority, net (discretionary)	107	381	359
4080 Outlays, net (discretionary)	83	295	370
4180 Budget authority, net (total)	107	381	359
4190 Outlays, net (total)	83	295	370

The Management Directorate's Procurement, Construction, and Improvements (PC&I) appropriation provides the support necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment. Information technology included in the PC&I account provides useful software and hardware in an operational environment, including non-tangible assets. The PC&I budget also includes funding for construction and facilities improvements, including the National Capital Region Consolidation project, necessary for the planning, operational development, and engineering prior to sustainment.

Object Classification (in millions of dollars)

Identification code 070-0406-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			32
25.2 Other services from non-Federal sources		8	1
25.3 Other goods and services from Federal sources	90		300
25.4 Operation and maintenance of facilities		354	
25.7 Operation and maintenance of equipment		3	
26.0 Supplies and materials			22
31.0 Equipment		16	4
99.9 Total new obligations, unexpired accounts	90	381	359

FEDERAL PROTECTIVE SERVICE

The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0542-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0802 CAS - FPS Operations	340	389	389
0803 CAS - Countermeasures	1,128	1,171	1,200
0900 Total new obligations, unexpired accounts	1,468	1,560	1,589
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	313	390	395
1021 Recoveries of prior year unpaid obligations	30	32	32
1033 Recoveries of prior year paid obligations	2	2	2
1050 Unobligated balance (total)	345	424	429
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,490	1,508	1,516
1701 Change in uncollected payments, Federal sources	23	23	23
1750 Spending auth from offsetting collections, disc (total)	1,513	1,531	1,539
1930 Total budgetary resources available	1,858	1,955	1,968

1941	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
Unexpired unobligated balance, end of year	390	395	379
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	462	487	356
3010 New obligations, unexpired accounts	1,468	1,560	1,589
3020 Outlays (gross)	-1,413	-1,659	-1,537
3040 Recoveries of prior year unpaid obligations, unexpired	-30	-32	-32
3050 Unpaid obligations, end of year	487	356	376
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-421	-444	-467
3070 Change in uncollected pymts, Fed sources, unexpired	-23	-23	-23
3090 Uncollected pymts, Fed sources, end of year	-444	-467	-490
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	41	43	-111
3200 Obligated balance, end of year	43	-111	-114

Budget authority and outlays, net:

4000	2019 actual	2020 est.	2021 est.
Discretionary:			
Budget authority, gross	1,513	1,531	1,539
Outlays, gross:			
4010 Outlays from new discretionary authority	1,088	1,225	1,231
4011 Outlays from discretionary balances	325	434	306
4020 Outlays, gross (total)	1,413	1,659	1,537
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,490	-1,508	-1,516
4033 Non-Federal sources	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-1,492	-1,510	-1,518
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-23	-23	-23
4053 Recoveries of prior year paid obligations, unexpired accounts	2	2	2
4060 Additional offsets against budget authority only (total)	-21	-21	-21
4080 Outlays, net (discretionary)	-79	149	19
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-79	149	19

The Federal Protective Service (FPS) protects Federal facilities and those who occupy them by conducting law enforcement and protective security services, and leveraging access to the intelligence and information resources of Federal, State, local, tribal, territorial, and private sector partners. FPS conducts Facility Security Assessments and recommends appropriate countermeasures, ensures stakeholder threat awareness training, and oversees a large contract for a Protective Security Officer workforce. These services provide a comprehensive risk-based approach to facility protection that allows FPS to prioritize its operations to prevent, detect, assess, respond to, and disrupt criminal and other incidents that endanger the Federal community.

Object Classification (in millions of dollars)

Identification code 070-0542-0-1-804	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	131	137	142
11.3 Other than full-time permanent	3	3	
11.5 Other personnel compensation	24	23	25
Total personnel compensation	158	163	167
12.1 Civilian personnel benefits	50	53	53
21.0 Travel and transportation of persons	9	13	13
22.0 Transportation of things	11	3	3
23.1 Rental payments to GSA	26	26	26
23.3 Communications, utilities, and miscellaneous charges	22	8	8
25.1 Advisory and assistance services	19	45	46
25.2 Other services from non-Federal sources	1,119	1,192	1,216
25.3 Other goods and services from Federal sources	6	6	6
25.7 Operation and maintenance of equipment	32	31	31
25.8 Subsistence and support of persons	5	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	4	13	13
32.0 Land and structures	4	3	3
99.9 Total new obligations, unexpired accounts	1,468	1,560	1,589

Employment Summary

Identification code 070-0542-0-1-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,339	1,507	1,507

RESEARCH AND DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 070-0801-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	3		
0700 Direct program activities, subtotal	3		
0900 Total new obligations, unexpired accounts (object class 25.5)	3		
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3		
1930 Total budgetary resources available	3		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	1
3010 New obligations, unexpired accounts	3		
3020 Outlays (gross)	-2	-2	
3050 Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	1
3200 Obligated balance, end of year	3	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3		
Outlays, gross:			
4011 Outlays from discretionary balances	2	2	
4180 Budget authority, net (total)	3		
4190 Outlays, net (total)	2	2	

The Management Directorate's Research and Development (R&D) account provides funding for rapid "proof of concept" prototype applications, technical demonstrations, planning, and development of emerging technologies that can be used to support Department of Homeland Security mission needs. All funding within the R&D account is oriented towards the Office of the Chief Information Officer.

OFFICE OF BIOMETRIC IDENTITY MANAGEMENT

Program and Financing (in millions of dollars)

Identification code 070-0521-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 System development and deployment	38		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	67	33	33
1021 Recoveries of prior year unpaid obligations	4		
1050 Unobligated balance (total)	71	33	33
1930 Total budgetary resources available	71	33	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	33	33
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	153	75	
3010 New obligations, unexpired accounts	38		
3020 Outlays (gross)	-96	-75	
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3041 Recoveries of prior year unpaid obligations, expired	-16		
3050 Unpaid obligations, end of year	75		

Memorandum (non-add) entries:

3100 Obligated balance, start of year	153	75	
3200 Obligated balance, end of year	75		

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	96	75	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	96	75	

The Office of Biometric Identity Management (OBIM) provides biometric identification services to help Federal, State, and local government partners identify people accurately to determine if they pose a risk to the United States. This program supplies the technology for collecting and storing biometric data. The program shares information, provides analysis, updates biometric and terrorist watch lists, and ensures the integrity of the data. OBIM is the lead DHS identity management service provider and works to ensure that the Homeland is safe, secure, and resilient. OBIM serves as a single authoritative biometric service provider, with cross-cutting responsibilities to serve DHS Components and other mission partners, such as the Department of Justice, the Department of State, and the Department of Defense; State, local, and tribal law enforcement; the Intelligence Community; and foreign government partners.

Object Classification (in millions of dollars)

Identification code 070-0521-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2		
25.3 Other goods and services from Federal sources	4		
25.5 Research and development contracts	1		
25.7 Operation and maintenance of equipment	8		
31.0 Equipment	22		
99.0 Direct obligations	38		
99.9 Total new obligations, unexpired accounts	38		

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 070-4640-0-4-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working Capital Fund (Reimbursable)	416	420	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	43	61	82
1021 Recoveries of prior year unpaid obligations	11	35	28
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	55	96	110
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	431	420	
1701 Change in uncollected payments, Federal sources	-9	-14	
1750 Spending auth from offsetting collections, disc (total)	422	406	
1930 Total budgetary resources available	477	502	110
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	82	110
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	236	199	69
3010 New obligations, unexpired accounts	416	420	
3020 Outlays (gross)	-442	-515	
3040 Recoveries of prior year unpaid obligations, unexpired	-11	-35	-28
3050 Unpaid obligations, end of year	199	69	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-268	-259	-245
3070 Change in uncollected pymts, Fed sources, unexpired	9	14	
3090 Uncollected pymts, Fed sources, end of year	-259	-245	-245

WORKING CAPITAL FUND—Continued
Program and Financing—Continued

Identification code 070-4640-0-4-751	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-32	-60	-176
3200 Obligated balance, end of year	-60	-176	-204
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	422	406	
Outlays, gross:			
4010 Outlays from new discretionary authority	300	365	
4011 Outlays from discretionary balances	142	150	
4020 Outlays, gross (total)	442	515	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-432	-420	
4040 Offsets against gross budget authority and outlays (total)	-432	-420	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	9	14	
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	10	14	
4080 Outlays, net (discretionary)	10	95	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	10	95	

The Department of Homeland Security (DHS) and the Working Capital Fund (WCF) Governance Board decided to dissolve the WCF in 2021. This decision was reached after conducting strategic reviews of the WCF Governance criteria and discussions within the Management Directorate on their business strategy for providing services to their customer base. As a result, no funds are included in the 2021 Budget. All activities will be removed from the WCF with base transfers in 2021. DHS Components will transfer funds to the servicing Management lines of business for Fee-for-Service and Government-Wide Mandated Services.

Object Classification (in millions of dollars)

Identification code 070-4640-0-4-751	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
23.1 Rental payments to GSA	164	154	
23.3 Communications, utilities, and miscellaneous charges	19	20	
25.1 Advisory and assistance services	28	28	
25.2 Other services from non-Federal sources	59	63	
25.3 Other goods and services from Federal sources	67	71	
25.7 Operation and maintenance of equipment	70	75	
26.0 Supplies and materials	5	5	
31.0 Equipment	4	4	
99.9 Total new obligations, unexpired accounts	416	420	

ANALYSIS AND OPERATIONS

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of Intelligence and Analysis and the Office of Operations Coordination for operations and support, **[\$284,141,000]** \$312,638,000, of which **[\$68,579,000]** \$82,620,000 shall remain available until September 30, **[2021]** 2022: Provided, That not to exceed \$3,825 shall be for official reception and representation expenses and not to exceed \$2,000,000 is available for facility needs associated with secure space at fusion centers, including improvements to buildings. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0115-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Analysis and Operations	259	284	313
0801 Analysis and Operations (Reimbursable)	54	43	17

0900 Total new obligations, unexpired accounts	313	327	330
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1021 Recoveries of prior year unpaid obligations	6		
1050 Unobligated balance (total)	7		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	253	284	313
Spending authority from offsetting collections, discretionary:			
1700 Collected	28	43	43
1701 Change in uncollected payments, Federal sources	25		
1750 Spending auth from offsetting collections, disc (total)	53	43	43
1900 Budget authority (total)	306	327	356
1930 Total budgetary resources available	313	327	356
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	147	158	222
3010 New obligations, unexpired accounts	313	327	330
3020 Outlays (gross)	-292	-263	-335
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	158	222	217
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-28	-52	-52
3070 Change in uncollected pymts, Fed sources, unexpired	-25		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-52	-52	-52
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	119	106	170
3200 Obligated balance, end of year	106	170	165
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	306	327	356
Outlays, gross:			
4010 Outlays from new discretionary authority	191	164	178
4011 Outlays from discretionary balances	101	99	157
4020 Outlays, gross (total)	292	263	335
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-30	-43	-43
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-25		
4052 Offsetting collections credited to expired accounts	2		
4060 Additional offsets against budget authority only (total)	-23		
4070 Budget authority, net (discretionary)	253	284	313
4080 Outlays, net (discretionary)	262	220	292
4180 Budget authority, net (total)	253	284	313
4190 Outlays, net (total)	262	220	292

Analysis and Operations (A&O) provides resources supporting the Office of Intelligence and Analysis (I&A) and the Office of Operations Coordination (OPS). This funding includes both National Intelligence Program (NIP) and non-NIP resources. Even though these two offices are different and distinct in their missions, they work closely together and collaborate with other departmental component agencies and related Federal agencies, as well as State, local, tribal, foreign, and private-sector partners, to improve intelligence analysis, information sharing, incident management support, and situational awareness. I&A's mission is to equip the Homeland Security Enterprise with the timely intelligence and information it needs to keep the homeland safe, secure, and resilient. I&A is the interface between the Intelligence Community (IC) and Federal, State, local, and private sector homeland security partners, providing strategic analyses, warning, and actionable intelligence, ensuring departmental leadership, components, law enforcement, and IC partners have the tools they need to confront and disrupt terrorist threats. I&A's unique mission within the IC blends national intelligence with Department of Homeland Security (DHS) component and other stakeholder source data, providing homeland security-centric analysis. The Under Secretary for Intelligence and Analysis leads I&A and is the

Department's Chief Intelligence Officer responsible for overseeing the DHS Intelligence Enterprise. The Under Secretary is also responsible for implementing the National Strategy on Information Sharing across the Department. The mission of OPS is to provide operations coordination, information sharing, situational awareness, the common operating picture, and Department continuity, enabling execution of the Secretary's responsibilities across the homeland security enterprise. OPS plays a pivotal role in the DHS mission to lead the unified national effort to secure America by facilitating the Secretary's responsibilities across the full spectrum of incident management efforts (i.e., prevention, protection, response and recovery). OPS provides situational awareness, assessments, and operations coordination for the DHS Secretary and facilitates operational information sharing with all DHS components, as well as for Federal, State, local, tribal, private sector, and international partners. OPS supports the DHS mission to lead the national unified effort to secure America by maintaining the National Operations Center (NOC) and by providing 24/7 multi-agency organization, fusing law enforcement, national intelligence, emergency response, and private sector reporting. The NOC is the primary national-level hub for domestic incident management, operations coordination, and situational awareness.

Object Classification (in millions of dollars)

Identification code 070-0115-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	92	99	109
11.5 Other personnel compensation	5	2	2
11.8 Special personal services payments	3	4	4
11.9 Total personnel compensation	100	105	115
12.1 Civilian personnel benefits	31	31	33
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA	9	9	
25.1 Advisory and assistance services	71	86	113
25.3 Other goods and services from Federal sources	33	36	16
25.7 Operation and maintenance of equipment	7	4	23
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	8	8
99.0 Direct obligations	259	284	313
99.0 Reimbursable obligations	54	43	17
99.9 Total new obligations, unexpired accounts	313	327	330

Employment Summary

Identification code 070-0115-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	820	897	897
2001 Reimbursable civilian full-time equivalent employment	21	19	21

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Office of Inspector General for operations and support, **【\$190,186,000】 \$177,779,000: Provided**, That not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0200-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 CAS - Mission Support	185	203	178
0799 Total direct obligations	185	203	178
0801 Operating Expenses (Reimbursable)	13	18	18
0900 Total new obligations, unexpired accounts	198	221	196

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	13	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	168	190	178
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	18	18
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	13	18	18
1900 Budget authority (total)	181	208	196
1930 Total budgetary resources available	211	221	196
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	52	56	53
3010 New obligations, unexpired accounts	198	221	196
3020 Outlays (gross)	-190	-224	-197
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	56	53	52
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	47	44
3200 Obligated balance, end of year	47	44	43

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	181	208	196
Outlays, gross:			
4010 Outlays from new discretionary authority	148	171	160
4011 Outlays from discretionary balances	42	53	37
4020 Outlays, gross (total)	190	224	197
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-12	-18	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	168	190	178
4080 Outlays, net (discretionary)	178	206	179
4180 Budget authority, net (total)	168	190	178
4190 Outlays, net (total)	178	206	179

The Operations and Support appropriation provides the funds necessary for the operations, mission support, and associated management and administration costs for the Office of Inspector General (OIG). The OIG conducts and supervises audits, inspections, and investigations relating to the programs and operations of the Department; promotes economy, efficiency, and effectiveness; and prevents and detects fraud, waste, and abuse in the Department's programs and operations.

Object Classification (in millions of dollars)

Identification code 070-0200-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	90	96	87
11.5 Other personnel compensation	6	9	7
11.9 Total personnel compensation	96	105	94
12.1 Civilian personnel benefits	37	38	39
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	12	16	16
23.3 Communications, utilities, and miscellaneous charges	3	7	4
25.1 Advisory and assistance services	17	8	5
25.2 Other services from non-Federal sources	1	8	6
25.3 Other goods and services from Federal sources	5	7	5
25.4 Operation and maintenance of facilities		1	1
25.7 Operation and maintenance of equipment	7	4	3
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	5	1
99.0 Direct obligations	185	203	178
99.0 Reimbursable obligations	13	18	18

OPERATIONS AND SUPPORT—Continued

Object Classification—Continued

Identification code 070-0200-0-1-751	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	198	221	196

Employment Summary

Identification code 070-0200-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	777	756	747

ADMINISTRATIVE PROVISIONS

SEC. 101. (a) The Secretary of Homeland Security shall submit a report not later than October 15, [2020] 2021, to the Inspector General of the Department of Homeland Security listing all grants and contracts awarded by any means other than full and open competition during fiscal years [2019]2020 or [2020] 2021.

(b) The Inspector General shall review the report required by subsection (a) to assess departmental compliance with applicable laws and regulations and report the results of that review to the Committees on Appropriations of the Senate and the House of Representatives not later than February 15, [2021] 2022.

SEC. 102. Not later than 30 days after the last day of each month, the Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a monthly budget and staffing report that includes total obligations of the Department for that month and for the fiscal year at the appropriation and program, project, and activity levels, by the source year of the appropriation.

SEC. 103. The Secretary of Homeland Security shall require that all contracts of the Department of Homeland Security that provide award fees link such fees to successful acquisition outcomes, which shall be specified in terms of cost, schedule, and performance.

SEC. 104. The Secretary of Homeland Security, in consultation with the Secretary of the Treasury, shall notify the Committees on Appropriations of the Senate and the House of Representatives of any proposed transfers of funds available under section 9705(g)(4)(B) of title 31, United States Code, from the Department of the Treasury Forfeiture Fund to any agency within the Department of Homeland Security: *Provided*, That none of the funds identified for such a transfer may be obligated until the Committees on Appropriations of the Senate and the House of Representatives are notified of the proposed transfers.

SEC. 105. All official costs associated with the use of Government aircraft by Department of Homeland Security personnel to support official travel of the Secretary and the Deputy Secretary shall be paid from amounts made available for the Office of the Secretary.

ESTABLISHMENT OF AN IMMIGRATION DETENTION OMBUDSMAN

[SEC. 106. (a) IN GENERAL.—Subtitle A of title IV of the Homeland Security Act of 2002 is amended by adding the following new section:

"(a) IN GENERAL.—Within the Department, there shall be a position of Immigration Detention Ombudsman (in this section referred to as the 'Ombudsman'). The Ombudsman shall be independent of Department agencies and officers and shall report directly to the Secretary. The Ombudsman shall be a senior official with a background in civil rights enforcement, civil detention care and custody, and immigration law.

"(b) FUNCTIONS.—The functions of the Ombudsman shall be to—

"(1) Establish and administer an independent, neutral, and confidential process to receive, investigate, resolve, and provide redress, including referral for investigation to the Office of the Inspector General, referral to U.S. Citizenship and Immigration Services for immigration relief, or any other action determined appropriate, for cases in which Department officers or other personnel, or contracted, subcontracted, or cooperating entity personnel, are found to have engaged in misconduct or violated the rights of individuals in immigration detention;

"(2) Establish an accessible and standardized process regarding complaints against any officer or employee of U.S. Customs and Border Protection or U.S. Immigration and Customs Enforcement, or any contracted, subcontracted, or cooperating entity personnel, for violations of law, standards of professional conduct, contract terms, or policy related to immigration detention;

"(3) Conduct unannounced inspections of detention facilities holding individuals in federal immigration custody, including those owned or operated by units of State or local government and privately-owned or operated facilities;

"(4) Review, examine, and make recommendations to address concerns or violations of contract terms identified in reviews, audits, investigations, or detainee interviews regarding immigration detention facilities and services;

"(5) Provide assistance to individuals affected by potential misconduct, excessive force, or violations of law or detention standards by Department of Homeland Security officers or other personnel, or contracted, subcontracted, or cooperating entity personnel; and

"(6) Ensure that the functions performed by the Ombudsman are complementary to existing functions within the Department of Homeland Security.

"(c) ACCESS TO DETENTION FACILITIES.—The Ombudsman or designated personnel of the Ombudsman, shall be provided unfettered access to any location within each such detention facility and shall be permitted confidential access to any detainee at the detainee's request and any departmental records concerning such detainee.

"(1) IN GENERAL.—The Director of U.S. Immigration and Customs Enforcement and the Commissioner of U.S. Customs and Border Protection shall each establish procedures to provide formal responses to recommendations submitted to such officials by the Ombudsman within 60 days of receiving such recommendations.

"(2) ACCESS TO INFORMATION.—The Secretary shall establish procedures to provide the Ombudsman access to all departmental records necessary to execute the responsibilities of the Ombudsman under subsection (b) or (c) not later than 60 days after a request from the Ombudsman for such information.

"(e) ANNUAL REPORT.—The Ombudsman shall prepare a report to Congress on an annual basis on its activities, findings, and recommendations."

(b) CLERICAL AMENDMENT.—The table of contents of the Homeland Security Act of 2002 is amended by adding the following new item after "Sec. 404. Surface Transportation Security Advisory Committee.":

Sec. 405. Ombudsman for Immigration Detention.]

SEC. [107] 106. Section 107 of the Department of Homeland Security Appropriations Act, 2018 (division F of Public Law 115-141), related to visa overstay data, shall apply in fiscal year [2020] 2021, except that the reference to "this Act" shall be treated as referring to this Act, and the reference to "2017" shall be treated as referring to ["2019"] "2020".

SEC. 107. *Notwithstanding any other provision of law, and contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, the Secretary of Homeland Security may transfer amounts appropriated in this Act under the heading "Office of Inspector General - Operations and Support" to the "Office of Inspector General - Salaries and Expenses" account of the Department of the Treasury under the relevant Act. (Department of Homeland Security Appropriations Act, 2020.)*

U.S. CUSTOMS AND BORDER PROTECTION

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Customs and Border Protection for operations and support, including the transportation of unaccompanied minor aliens; the provision of air and marine support to Federal, State, local, and international agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; at the discretion of the Secretary of Homeland Security, the provision of such support to Federal, State, [and] local, and international agencies in other law enforcement and emergency humanitarian efforts; the purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; the purchase, maintenance, or operation of marine vessels, aircraft, and unmanned aerial systems; and contracting with individuals for personal services abroad; [\$12,735,399,000] \$12,987,432,000; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which [\$500,000,000] \$566,573,000 shall be available until September 30, [2021] 2022; and of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(f)(3)), shall be derived from that account; and of which \$104,377,000 is for additional Office of Field Operations staffing;]: *Provided*, That not to exceed \$34,425 shall be for official reception and representation expenses: *Provided further*, That not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations: *Provided further*, That not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security;]: *Provided further*, That not to exceed \$5,000,000 may be transferred to the Bureau of Indian Affairs for the

maintenance and repair of roads on Native American reservations used by the U.S. Border Patrol: *Provided further*, That of the funds made available under this heading for the Executive Leadership and Oversight program, project, and activity, \$5,000,000 shall be withheld from obligation until the reports directed by the explanatory statement accompanying this Act concerning Custody and Transfer Metrics, the Migrant Protection Protocol program, and medical guidance have been made available on a publicly accessible website. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0530-0-1-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1,057	1,057	1,186
Receipts:			
Current law:			
1120 Immigration User Fee	929	942	961
1120 Land Border Inspection Fee	57	58	59
1120 Customs Conveyance, Passenger, and Other Fees	289	293	299
1120 Customs Conveyance, Passenger, and Other Fees	56	57	58
1120 Customs Conveyance, Passenger, and Other Fees	594	606	623
1120 US Customs User Fees Account, Merchandise Processing	56	57	58
1120 US Customs User Fees Account, Merchandise Processing	2,676	2,786	2,915
1120 Customs Fees, Inflation Adjustment		43	60
1120 Customs Fees, Inflation Adjustment		4	6
1120 Customs Fees, Inflation Adjustment		4	6
1130 Fees, Customs and Border Protection Services at User Fee Facilities	14	14	14
1199 Total current law receipts	4,671	4,864	5,059
Proposed:			
1220 Immigration User Fee			376
1220 Customs Conveyance, Passenger, and Other Fees			110
1220 Customs Conveyance, Passenger, and Other Fees			21
1220 Customs Conveyance, Passenger, and Other Fees			227
1220 US Customs User Fees Account, Merchandise Processing			21
1220 Customs Fees, Inflation Adjustment			44
1220 Customs Fees, Inflation Adjustment			4
1220 Customs Fees, Inflation Adjustment			4
1299 Total proposed receipts			807
1999 Total receipts	4,671	4,864	5,866
2000 Total: Balances and receipts	5,728	5,921	7,052
Appropriations:			
Current law:			
2101 Operations and Support	-161	-135	-135
2101 Operations and Support	-14	-10	-10
2101 Operations and Support	-2,732	-2,786	-2,915
2101 Operations and Support	-289	-305	-322
2101 Operations and Support	-57	-58	-59
2101 Operations and Support	-768	-778	-794
2101 Operations and Support	-594	-606	-623
2101 Operations and Support	-56	-57	-58
2199 Total current law appropriations	-4,671	-4,735	-4,916
Proposed:			
2201 Operations and Support			-65
2201 Operations and Support			-311
2201 Operations and Support			-227
2201 Operations and Support			-21
2299 Total proposed appropriations			-624
2999 Total appropriations	-4,671	-4,735	-5,540
5099 Balance, end of year	1,057	1,186	1,512

Program and Financing (in millions of dollars)

Identification code 070-0530-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Border Security, between POEs	4		
0004 CAS - Mission Support	2,326	1,996	1,853
0005 CAS - Border Security Operations	4,965	5,344	4,936
0006 CAS - Trade and Travel Operations	6,234	7,923	7,713
0007 CAS - Integrated Operations	1,210	1,200	1,161
0799 Total direct obligations	14,739	16,463	15,663
0801 Reimbursable activity	2,429	2,358	2,848
0899 Total reimbursable obligations	2,429	2,358	2,848
0900 Total new obligations, unexpired accounts	17,168	18,821	18,511

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	916	1,781	706
1001 Discretionary unobligated balance brought fwd, Oct 1	318	1,076	
1011 Unobligated balance transfer from other acct [070-0532]	6		
1012 Unobligated balance transfers between expired and unexpired accounts	20		
1021 Recoveries of prior year unpaid obligations	71	71	82
1033 Recoveries of prior year paid obligations	4	18	18
1050 Unobligated balance (total)	1,017	1,870	806
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10,456	9,946	10,070
1101 Appropriation (Small Airports)	14	10	10
1101 Appropriation (MPF)	2,732	2,786	2,915
1101 Appropriation (COBRA FTA)	289	305	322
1101 Harbor Maintenance Fee	3	3	3
1120 Appropriations transferred to other acct [014-2301]		-5	
1120 Appropriations transferred to other acct [070-0540]	-39		
1131 Unobligated balance of appropriations permanently reduced		-324	
1160 Appropriation, discretionary (total)	13,455	12,721	13,320
Appropriations, mandatory:			
1201 Appropriation (Land Border)	57	58	59
1201 Appropriation (IUF)	768	778	794
1201 Appropriation (COBRA)	594	606	623
1201 Appropriation (ECCF)	56	57	58
1201 Appropriation (Immigration Enforcement Fines)	1	1	1
1203 Appropriation (previously unavailable)(special or trust)	91	91	86
1221 Appropriations transferred from other acct [012-1600]	539	582	582
1221 Appropriations transferred from other acct [011-5512]	13		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-91	-86	
1260 Appropriations, mandatory (total)	2,028	2,087	2,203
Spending authority from offsetting collections, discretionary:			
1700 Collected	2,283	2,831	2,831
1701 Change in uncollected payments, Federal sources	195	18	18
1750 Spending auth from offsetting collections, disc (total)	2,478	2,849	2,849
1900 Budget authority (total)	17,961	17,657	18,372
1930 Total budgetary resources available	18,978	19,527	19,178
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-29		
1941 Unexpired unobligated balance, end of year	1,781	706	667

Change in obligated balance:

3000 Unpaid obligations, brought forward, Oct 1	3,398	3,701	3,923
3010 New obligations, unexpired accounts	17,168	18,821	18,511
3011 Obligations ("upward adjustments"), expired accounts	13		
3020 Outlays (gross)	-16,615	-18,528	-18,284
3040 Recoveries of prior year unpaid obligations, unexpired	-71	-71	-82
3041 Recoveries of prior year unpaid obligations, expired	-192		
3050 Unpaid obligations, end of year	3,701	3,923	4,068
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-271	-361	-379
3070 Change in uncollected pymts, Fed sources, unexpired	-195	-18	-18
3071 Change in uncollected pymts, Fed sources, expired	105		
3090 Uncollected pymts, Fed sources, end of year	-361	-379	-397
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,127	3,340	3,544
3200 Obligated balance, end of year	3,340	3,544	3,671

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	15,933	15,570	16,169
Outlays, gross:			
4010 Outlays from new discretionary authority	12,015	13,191	13,712
4011 Outlays from discretionary balances	2,690	3,191	2,379
4020 Outlays, gross (total)	14,705	16,382	16,091
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2,271	-2,752	-2,752
4033 Non-Federal sources	-79	-62	-62
4033 Non-Federal sources	-26	-35	-35
4040 Offsets against gross budget authority and outlays (total)	-2,376	-2,849	-2,849
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-195	-18	-18
4052 Offsetting collections credited to expired accounts	89		
4053 Recoveries of prior year paid obligations, unexpired accounts	4	18	18

OPERATIONS AND SUPPORT—Continued
Program and Financing—Continued

Identification code 070-0530-0-1-751	2019 actual	2020 est.	2021 est.
4060 Additional offsets against budget authority only (total)	-102		
4070 Budget authority, net (discretionary)	13,455	12,721	13,320
4080 Outlays, net (discretionary)	12,329	13,533	13,242
Mandatory:			
4090 Budget authority, gross	2,028	2,087	2,203
Outlays, gross:			
4100 Outlays from new mandatory authority	1,469	1,593	1,614
4101 Outlays from mandatory balances	441	553	579
4110 Outlays, gross (total)	1,910	2,146	2,193
4180 Budget authority, net (total)	15,483	14,808	15,523
4190 Outlays, net (total)	14,239	15,679	15,435

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	15,483	14,808	15,523
Outlays	14,239	15,679	15,435
Legislative proposal, subject to PAYGO:			
Budget Authority			559
Outlays			407
Total:			
Budget Authority	15,483	14,808	16,082
Outlays	14,239	15,679	15,842

U.S. Customs and Border Protection (CBP) works to secure America's borders, while facilitating legitimate trade and travel. CBP is responsible for inspecting travelers at the land, sea, and air ports-of-entry (POEs) for immigration, customs, and agriculture compliance, as well as interdicting illegal entrants between the POEs. CBP enforces the laws regarding admission of foreign-born persons into the United States; identifies and apprehends aliens; and ensures that all goods and persons entering and exiting the United States do so legally. CBP's over 60,000 highly-trained employees ensure that the agency performs its mission with vigilance, integrity, and professionalism.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

Border Security Operations.—This program funds activities designed to protect the Nation through the coordinated use of Border Patrol Agents, technology, and air and marine forces to detect, interdict, and prevent acts of terrorism and the unlawful movement of people, illegal drugs, and other contraband toward or across the borders of the United States. These activities contribute to securing America's Southwest, Northern, and Coastal borders. Through the coordinated use of operational capabilities and assets of the U.S. Border Patrol and Air and Marine Operations, CBP prevents terrorism and terrorist weapons, illegal aliens, smugglers, narcotics, and other contraband from moving across the borders of the United States.

Trade and Travel Operations.—This program funds the mitigation of terrorist threats and the prevention of contraband from entering the U.S. while facilitating the legal flow of people and trade. CBP achieves this mission by deploying CBP officers to the POEs and by using a combination of technology, intelligence, risk information, targeting, and international cooperation to screen inbound international cargo and travelers and, in targeted border areas, to screen departing export cargo. Additional attention to outbound travel along areas of the Southwest border helps prevent the exit of money and weapons for illegal purposes. CBP has extended a zone of security beyond the United States' physical borders through bilateral cooperation with other nations, private-sector partnerships, expanded targeting, and advance scrutiny of information on people and products coming into the U.S.

Integrated Operations.—This program captures the activities to establish the foundation for an integrated, all-hazards planning framework helping to mitigate routine emergencies, catastrophic events and interruptions of

border security operations both at and between the ports of entry. Activities funded in the program operate at the national level and are not limited to a specific geographical area. Integrated Operations include funding for command and control, coordination, occupational health and safety, and information and situational awareness for multiple CBP mission programs.

Mission Support.—This program captures activities that are standardized across the Department of Homeland Security that provide enterprise leadership, management, and/or business administration services and describes the capabilities and activities that support the day-to-day management and back office functions enabling both CBP and the Department to operate efficiently and effectively. Key capabilities include conducting agency planning and performance management; managing finances; managing agency workforce to include recruiting, hiring, screening, equipping, and training new employees; providing physical and personnel security; acquiring goods and services; managing information technology; managing agency property and assets; managing agency communications; managing legal affairs; and providing general management and administration.

Object Classification (in millions of dollars)

Identification code 070-0530-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5,425	6,358	6,051
11.3 Other than full-time permanent	8	15	14
11.5 Other personnel compensation	1,426	1,182	1,124
11.9 Total personnel compensation	6,859	7,555	7,189
12.1 Civilian personnel benefits	3,245	3,562	3,389
21.0 Travel and transportation of persons	209	180	171
22.0 Transportation of things	18	20	19
23.1 Rental payments to GSA	589	843	802
23.2 Rental payments to others	36	59	56
23.3 Communications, utilities, and miscellaneous charges	139	126	120
24.0 Printing and reproduction	5	4	4
25.1 Advisory and assistance services	71	115	109
25.2 Other services from non-Federal sources	1,614	2,029	1,929
25.3 Other goods and services from Federal sources	156	104	99
25.4 Operation and maintenance of facilities	312	302	287
25.6 Medical care	17	27	26
25.7 Operation and maintenance of equipment	306	463	441
25.8 Subsistence and support of persons	155	8	7
26.0 Supplies and materials	331	376	358
31.0 Equipment	572	575	547
32.0 Land and structures	82	111	106
42.0 Insurance claims and indemnities	4	4	4
44.0 Refunds	21		
99.0 Direct obligations	14,741	16,463	15,663
99.0 Reimbursable obligations	2,427	2,358	2,848
99.9 Total new obligations, unexpired accounts	17,168	18,821	18,511

Employment Summary

Identification code 070-0530-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	46,690	49,361	49,207
2001 Reimbursable civilian full-time equivalent employment	12,110	12,110	12,110

OPERATIONS AND SUPPORT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0530-4-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Reimbursable activity			559
0899 Total reimbursable obligations			559
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (IUF)			311
1201 Appropriation (COBRA)			227
1201 Appropriation (ECCF)			21
1260 Appropriations, mandatory (total)			559

1930	Total budgetary resources available	559
Change in obligated balance:		
Unpaid obligations:		
3010	New obligations, unexpired accounts	559
3020	Outlays (gross)	-407
3050	Unpaid obligations, end of year	152
Memorandum (non-add) entries:		
3200	Obligated balance, end of year	152
Budget authority and outlays, net:		
Mandatory:		
4090	Budget authority, gross	559
Outlays, gross:		
4100	Outlays from new mandatory authority	407
4180	Budget authority, net (total)	559
4190	Outlays, net (total)	407

The Budget includes two proposals for user fee increases that impact this account. The Budget proposes an increase of \$2 to the Immigration Inspection User Fee and to partially eliminate a fee exemption for sea passengers arriving from the United States, Canada, Mexico, or Adjacent Islands. The Budget also proposes an increase of \$2 for the Consolidated Omnibus Budget Reconciliation Act (COBRA) customs user fees for passengers traveling by air and sea and increase all other COBRA rates and caps by proportionate amounts. The COBRA legislative proposal also extends the authority for COBRA and MPF fee collections beyond the current sunset date of September 30, 2029.

Object Classification (in millions of dollars)

Identification code 070-0530-4-1-751	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent		261
11.3	Other than full-time permanent		1
11.5	Other personnel compensation		89
11.9	Total personnel compensation		351
12.1	Civilian personnel benefits		155
21.0	Travel and transportation of persons		2
22.0	Transportation of things		2
23.1	Rental payments to GSA		9
23.2	Rental payments to others		1
23.3	Communications, utilities, and miscellaneous charges		2
24.0	Printing and reproduction		1
25.2	Other services from non-Federal sources		25
25.3	Other goods and services from Federal sources		1
25.4	Operation and maintenance of facilities		1
25.7	Operation and maintenance of equipment		4
26.0	Supplies and materials		1
32.0	Land and structures		4
99.0	Reimbursable obligations		559
99.9	Total new obligations, unexpired accounts		559

Employment Summary

Identification code 070-0530-4-1-751	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment		2,922

BORDER SECURITY FENCING, INFRASTRUCTURE, AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 070-0533-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Development and Deployment	65	7
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	47	7
1021	Recoveries of prior year unpaid obligations	25	
1050	Unobligated balance (total)	72	7

1930	Total budgetary resources available	72	7
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	7	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	247	186
3010	New obligations, unexpired accounts	65	7
3011	Obligations ("upward adjustments"), expired accounts	1	
3020	Outlays (gross)	-88	-115
3040	Recoveries of prior year unpaid obligations, unexpired	-25	
3041	Recoveries of prior year unpaid obligations, expired	-14	-6
3050	Unpaid obligations, end of year	186	72
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	247	186
3200	Obligated balance, end of year	186	72
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances	88	115
4180	Budget authority, net (total)		
4190	Outlays, net (total)	88	115

Object Classification (in millions of dollars)

Identification code 070-0533-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	15	7
31.0	Equipment	15	
32.0	Land and structures	35	
99.9	Total new obligations, unexpired accounts	65	7

AUTOMATION MODERNIZATION, CUSTOMS AND BORDER PROTECTION

Program and Financing (in millions of dollars)

Identification code 070-0531-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	COPPS	4	
0003	ACE		4
0799	Total direct obligations	4	4
0900	Total new obligations, unexpired accounts	4	4
Budgetary resources:			
Unobligated balance:			
1033	Recoveries of prior year paid obligations	4	4
1930	Total budgetary resources available	4	4
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	22	10
3010	New obligations, unexpired accounts	4	4
3011	Obligations ("upward adjustments"), expired accounts	3	
3020	Outlays (gross)	-5	-14
3041	Recoveries of prior year unpaid obligations, expired	-14	
3050	Unpaid obligations, end of year	10	
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3071	Change in uncollected pymts, Fed sources, expired		1
3090	Uncollected pymts, Fed sources, end of year	-1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	21	9
3200	Obligated balance, end of year	9	
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances	5	14
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-5	-4
4040	Offsets against gross budget authority and outlays (total) ...	-5	-4
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	1	

AUTOMATION MODERNIZATION, CUSTOMS AND BORDER PROTECTION—Continued
Program and Financing—Continued

Identification code 070-0531-0-1-751	2019 actual	2020 est.	2021 est.
4053 Recoveries of prior year paid obligations, unexpired accounts	4	4	
4060 Additional offsets against budget authority only (total)	5	4	
4080 Outlays, net (discretionary)		10	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		10	

Object Classification (in millions of dollars)

Identification code 070-0531-0-1-751	2019 actual	2020 est.	2021 est.
25.3 Direct obligations: Other goods and services from Federal sources		4	
99.0 Direct obligations		4	
99.0 Reimbursable obligations	4		
99.9 Total new obligations, unexpired accounts	4	4	

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of U.S. Customs and Border Protection for procurement, construction, and improvements, including procurement of marine vessels, aircraft, and unmanned aerial systems, **[\$1,904,468,000]** \$2,281,360,000, of which **[\$467,104,000]** \$189,148,000 shall remain available until September 30, **[2022]** 2023, and of which **[\$1,437,364,000]** \$2,092,212,000 shall remain available until September 30, **[2024]** 2025. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0532-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0007 CAS - Mission Support Assets and Infrastructure	21	19	31
0008 CAS - Border Security Assets and Infrastructure	1,557	2,082	2,201
0009 CAS - Trade and Travel Assets and Infrastructure	105	105	22
0010 CAS - Integrated Operations Assets and Infrastructure	197	238	36
0012 CAS - Construction and Facility Improvements	154	74	119
0799 Total direct obligations	2,034	2,518	2,409
0801 Reimbursable program activity	224		
0900 Total new obligations, unexpired accounts	2,258	2,518	2,409

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	691	1,658	1,314
1010 Unobligated balance transfer to other accts [070-0530]	-6		
1021 Recoveries of prior year unpaid obligations	31	330	49
1050 Unobligated balance (total)	716	1,988	1,363
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,601	1,904	2,281
1131 Unobligated balance of appropriations permanently reduced		-58	
1160 Appropriation, discretionary (total)	2,601	1,846	2,281
Spending authority from offsetting collections, discretionary:			
1700 Collected	601		
1900 Budget authority (total)	3,202	1,846	2,281
1930 Total budgetary resources available	3,918	3,834	3,644
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2	-2	-2
1941 Unexpired unobligated balance, end of year	1,658	1,314	1,233

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,241	3,534	4,022
3010 New obligations, unexpired accounts	2,258	2,518	2,409
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-929	-1,698	-2,346
3040 Recoveries of prior year unpaid obligations, unexpired	-31	-330	-49
3041 Recoveries of prior year unpaid obligations, expired	-9	-2	-2
3050 Unpaid obligations, end of year	3,534	4,022	4,034

Memorandum (non-add) entries:

3100 Obligated balance, start of year	2,241	3,534	4,022
3200 Obligated balance, end of year	3,534	4,022	4,034

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,202	1,846	2,281
Outlays, gross:			
4010 Outlays from new discretionary authority	54	519	684
4011 Outlays from discretionary balances	875	1,179	1,662
4020 Outlays, gross (total)	929	1,698	2,346
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-601		
4180 Budget authority, net (total)	2,601	1,846	2,281
4190 Outlays, net (total)	328	1,698	2,346

The U.S. Customs and Border Protection (CBP) Procurement, Construction, and Improvements (PC&I) appropriation provides the funds necessary for the planning, operational development, engineering, and purchase of one or more assets prior to sustainment. The funding within this account provides resources for investments ranging from border security technology, aircraft, marine vessels, tactical infrastructure, information technology systems, and other acquisitions. PC&I funding also supports the construction of border wall system, as well as critical facilities and associated infrastructure. These investments enable CBP to accomplish its complex mission of protecting the border while facilitating lawful trade, travel, and immigration.

Object Classification (in millions of dollars)

Identification code 070-0532-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	204	253	242
25.3 Other goods and services from Federal sources	33	41	39
25.4 Operation and maintenance of facilities	10	12	12
25.7 Operation and maintenance of equipment	3	4	4
26.0 Supplies and materials	6	7	7
32.0 Land and structures	1,778	2,201	2,105
99.0 Direct obligations	2,034	2,518	2,409
99.0 Reimbursable obligations	224		
99.9 Total new obligations, unexpired accounts	2,258	2,518	2,409

AIR AND MARINE INTERDICTION, OPERATIONS, MAINTENANCE, AND PROCUREMENT

Program and Financing (in millions of dollars)

Identification code 070-0544-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and Maintenance	2	3	
0799 Total direct obligations	2	3	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	5	3	
1930 Total budgetary resources available	5	3	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	124	59	19
3010 New obligations, unexpired accounts	2	3	
3020 Outlays (gross)	-57	-43	-19
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	59	19	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	
3071 Change in uncollected pymts, Fed sources, expired		2	

3090	Uncollected pymts, Fed sources, end of year	-2		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	122	57	19
3200	Obligated balance, end of year	57	19	
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	57	43	19
4180	Budget authority, net (total)			
4190	Outlays, net (total)	57	43	19

Object Classification (in millions of dollars)

Identification code 070-0544-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.4	Operation and maintenance of facilities	1		
25.7	Operation and maintenance of equipment	1	3	
99.0	Direct obligations	2	3	
99.9	Total new obligations, unexpired accounts	2	3	

ENHANCED INSPECTIONAL SERVICES

Program and Financing (in millions of dollars)

Identification code 070-4363-0-3-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Enhanced Inspectional Services (Reimbursable)	31	19	19
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4	5	5
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	32	19	19
1930	Total budgetary resources available	36	24	24
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	3	
3010	New obligations, unexpired accounts	31	19	19
3020	Outlays (gross)	-30	-22	-19
3050	Unpaid obligations, end of year	3		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	3	
3200	Obligated balance, end of year	3		
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	32	19	19
Outlays, gross:				
4010	Outlays from new discretionary authority	25	19	19
4011	Outlays from discretionary balances	5	3	
4020	Outlays, gross (total)	30	22	19
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-32	-19	-19
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-2	3	

Under section 559 of the Consolidated Appropriations Act, 2014 (P.L. 113-76), the Commissioner of Customs and Border Protection (CBP) may approve requests from interested parties to reimburse CBP for enhanced inspectional services. Specifically, CBP is authorized to receive reimbursement from corporations, Government agencies, and other interested parties for certain inspection services in the air, land, and sea environments at domestic locations. This allows CBP to provide services to requesting parties that it could not provide in the absence of reimbursement.

Object Classification (in millions of dollars)

Identification code 070-4363-0-3-751	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	2		
11.5	Other personnel compensation	18	15	15
11.9	Total personnel compensation	20	15	15
12.1	Civilian personnel benefits	8	3	3
25.2	Other services from non-Federal sources	3	1	1
99.9	Total new obligations, unexpired accounts	31	19	19

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5687-0-2-806	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	9	13	6
Receipts:				
Current law:				
1110	Deposits, Duties, and Taxes, Puerto Rico	217	218	152
2000	Total: Balances and receipts	226	231	158
Appropriations:				
Current law:				
2101	Refunds, Transfers, and Expenses of Operation, Puerto Rico	-217	-218	-152
2103	Refunds, Transfers, and Expenses of Operation, Puerto Rico	-9	-13	-6
2132	Refunds, Transfers, and Expenses of Operation, Puerto Rico	13	6	
2199	Total current law appropriations	-213	-225	-158
2999	Total appropriations	-213	-225	-158
5099	Balance, end of year	13	6	

Program and Financing (in millions of dollars)

Identification code 070-5687-0-2-806	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Refunds, Transfers, and Expenses of Operation, Puerto Rico (Direct)	157	218	152
0100	Direct program activities, subtotal	157	218	152
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	17	74	81
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	18	74	81
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	217	218	152
1203	Appropriation (previously unavailable)(special or trust) ...	9	13	6
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-13	-6	
1260	Appropriations, mandatory (total)	213	225	158
1930	Total budgetary resources available	231	299	239
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	74	81	87

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	86	159	255
3010	New obligations, unexpired accounts	157	218	152
3020	Outlays (gross)	-83	-122	-225
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	159	255	182
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	86	159	255
3200	Obligated balance, end of year	159	255	182

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	213	225	158

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO—Continued
Program and Financing—Continued

Identification code 070-5687-0-2-806	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4100 Outlays from new mandatory authority	76	122	82
4101 Outlays from mandatory balances	7		143
4110 Outlays, gross (total)	83	122	225
4180 Budget authority, net (total)	213	225	158
4190 Outlays, net (total)	83	122	225

U.S. Customs and Border Protection acts as Puerto Rico's sole customs service and works with the Homeland Security Investigation directorate of U.S. Immigration and Customs Enforcement to perform investigative law enforcement activities under statute, 48 U.S.C. 1469c. This secondary statute provides any U.S. Government Agency or instrumentality the authority to provide additional services to Puerto Rico, at the government of Puerto Rico's behest, on a reimbursable basis. When available, collections in Puerto Rico in excess of the costs of collecting duties and taxes are transferred to Puerto Rico's Treasury (Hacienda) to be expended as required by law for the government of Puerto Rico.

Object Classification (in millions of dollars)

Identification code 070-5687-0-2-806	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	24	24	24
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	25	25	25
12.1 Civilian personnel benefits	12	12	12
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	4	3
23.2 Rental payments to others		1	1
23.3 Communications, utilities, and miscellaneous charges	2	5	4
25.2 Other services from non-Federal sources	5	55	39
25.3 Other goods and services from Federal sources	39	38	27
25.4 Operation and maintenance of facilities	3	1	
25.7 Operation and maintenance of equipment	3		
25.8 Subsistence and support of persons	3		
26.0 Supplies and materials	5	2	
31.0 Equipment	3	2	
44.0 Refunds	54	72	40
99.9 Total new obligations, unexpired accounts	157	218	152

Employment Summary

Identification code 070-5687-0-2-806	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	268	268	268

INTERNATIONAL REGISTERED TRAVELER

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5543-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1120 International Registered Traveler Program Fund	233	196	200
2000 Total: Balances and receipts	233	196	200
Appropriations:			
Current law:			
2101 International Registered Traveler	-233	-196	-200
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-5543-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Registered Traveler (Direct)	179	196	200
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	182	238	238
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	184	238	238
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	233	196	200
1930 Total budgetary resources available	417	434	438
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	238	238	238
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	70	93	134
3010 New obligations, unexpired accounts	179	196	200
3020 Outlays (gross)	-154	-155	-170
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	93	134	164
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	70	93	134
3200 Obligated balance, end of year	93	134	164
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	233	196	200
Outlays, gross:			
4010 Outlays from new discretionary authority		59	60
4011 Outlays from discretionary balances	154	96	110
4020 Outlays, gross (total)	154	155	170
4180 Budget authority, net (total)	233	196	200
4190 Outlays, net (total)	154	155	170

The Global Entry Program is authorized under the Consolidated Appropriations Act of 2008 (P.L. 110-161) section 565(3)(A). The Global Entry program establishes an international registered traveler program that incorporates technologies, such as biometrics and e-passports, and security threat assessments to expedite screening and processing of international passengers. All applicants must be pre-approved, and they must undergo a rigorous background check and interview before enrollment. Global Entry allows expedited clearance for pre-approved and low-risk travelers upon arrival in the United States. Though intended for frequent international travelers, there is no minimum number of trips necessary to qualify. Participants may enter the United States by using automated kiosks located at selected airports.

Object Classification (in millions of dollars)

Identification code 070-5543-0-2-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	22	23
11.5 Other personnel compensation	27	29	29
11.9 Total personnel compensation	41	51	52
12.1 Civilian personnel benefits	7	11	12
21.0 Travel and transportation of persons	1	6	6
23.3 Communications, utilities, and miscellaneous charges	5	6	6
24.0 Printing and reproduction	31	60	61
25.2 Other services from non-Federal sources	88	48	49
25.7 Operation and maintenance of equipment		8	8
26.0 Supplies and materials			1
31.0 Equipment	6	6	5
99.9 Total new obligations, unexpired accounts	179	196	200

Employment Summary

Identification code 070-5543-0-2-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	150	416	416

ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5595-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	4	4	4
Receipts:			
Current law:			
1110 Electronic System for Travel Authorization (ESTA) Fees	61	62	63
2000 Total: Balances and receipts	65	66	67
Appropriations:			
Current law:			
2101 Electronic System for Travel Authorization	-61	-62	-63
2103 Electronic System for Travel Authorization	-4	-4	-4
2132 Electronic System for Travel Authorization	4	4
2199 Total current law appropriations	-61	-62	-67
2999 Total appropriations	-61	-62	-67
5099 Balance, end of year	4	4

Program and Financing (in millions of dollars)

Identification code 070-5595-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Electronic System for Travel Authorization (ESTA) (Direct)	86	62	64
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	61	38	38
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	63	38	38
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	61	62	63
1203 Appropriation (previously unavailable)(special or trust)	4	4	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-4	-4
1260 Appropriations, mandatory (total)	61	62	67
1930 Total budgetary resources available	124	100	105
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	38	38	41
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35	46	34
3010 New obligations, unexpired accounts	86	62	64
3020 Outlays (gross)	-73	-74	-66
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3050 Unpaid obligations, end of year	46	34	32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	46	34
3200 Obligated balance, end of year	46	34	32
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	61	62	67
Outlays, gross:			
4100 Outlays from new mandatory authority	16	36	37
4101 Outlays from mandatory balances	57	38	29
4110 Outlays, gross (total)	73	74	66
4180 Budget authority, net (total)	61	62	67
4190 Outlays, net (total)	73	74	66

The Implementing Recommendations of the 9/11 Commission Act of 2007 (P.L. 110-53) established an electronic authorization system to pre-screen aliens prior to arrival in the United States. This mandate was made operational by the creation of the Electronic System for Travel Authorization (ESTA). ESTA operates under informed compliance, requiring all

Visa Waiver Program travelers to obtain authorization prior to travel. The Visa Waiver Program allows visitors to travel to the United States for business or pleasure for 90 days or less without obtaining a visa.

Object Classification (in millions of dollars)

Identification code 070-5595-0-2-751	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	3	3
11.9 Total personnel compensation	3	3	3
12.1 Civilian personnel benefits	2	16	16
21.0 Travel and transportation of persons	3
25.2 Other services from non-Federal sources	63	39	41
25.7 Operation and maintenance of equipment	1	1
31.0 Equipment	15	3	3
99.9 Total new obligations, unexpired accounts	86	62	64

Employment Summary

Identification code 070-5595-0-2-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	37	94	94

ELECTRONIC VISA UPDATE SYSTEM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5703-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Proposed:			
1210 Electronic Visa Update System Fees	38
2000 Total: Balances and receipts	38
Appropriations:			
Proposed:			
2201 Electronic Visa Update System	-38
5099 Balance, end of year

ELECTRONIC VISA UPDATE SYSTEM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-5703-4-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Electronic Visa Update System (direct)	38
0900 Total new obligations, unexpired accounts (object class 25.2)	38
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	38
1930 Total budgetary resources available	38
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	38
3020 Outlays (gross)	-38
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	38
Outlays, gross:			
4100 Outlays from new mandatory authority	38
4180 Budget authority, net (total)	38
4190 Outlays, net (total)	38

The Budget proposes to establish a user fee for the Electronic Visa Update System (EVUS), a U.S. Customs and Border Protection program to collect and periodically update biographic and travel-related information from certain non-immigrant visa holders prior to traveling to the United States. This process will complement the existing visa application process and

ELECTRONIC VISA UPDATE SYSTEM—Continued

enhance CBP's ability to make pre-travel admissibility and risk determinations. This account will fund the costs of providing and administering the system.

APEC BUSINESS TRAVEL CARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5569-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Fees, APEC Business Travel Card	2	2	2
2000 Total: Balances and receipts	2	2	2
Appropriations:			
Current law:			
2101 APEC Business Travel Card	-2	-2	-2
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-5569-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 APEC Business Travel Card	3	2	2
0900 Total new obligations, unexpired accounts (object class 25.2)	3	2	2

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1900 Budget authority (total)	2	2	2
1930 Total budgetary resources available	3	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		3	1
3010 New obligations, unexpired accounts	3	2	2
3020 Outlays (gross)		-4	-2
3050 Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		3	1
3200 Obligated balance, end of year	3	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority		2	2
4101 Outlays from mandatory balances		2	
4110 Outlays, gross (total)		4	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)		4	2

9-11 RESPONSE AND BIOMETRIC EXIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5702-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	4	4	4
Receipts:			
Current law:			
1120 Temporary L-1 Visa Fees, 9-11 Response and Biometric Exit Account	12	47	47
1120 Temporary H-1B Visa Fees, 9-11 Response and Biometric Exit Account	47	13	13
1199 Total current law receipts	59	60	60
1999 Total receipts	59	60	60
2000 Total: Balances and receipts	63	64	64

Appropriations:

Current law:			
2101 9-11 Response and Biometric Exit Account	-59	-60	-60
2103 9-11 Response and Biometric Exit Account	-4	-4	-4
2132 9-11 Response and Biometric Exit Account	4	4	
2199 Total current law appropriations	-59	-60	-64
2999 Total appropriations	-59	-60	-64
5099 Balance, end of year	4	4	

Program and Financing (in millions of dollars)

Identification code 070-5702-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	72	60	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	40	40
1021 Recoveries of prior year unpaid obligations	4		
1050 Unobligated balance (total)	53	40	40
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	59	60	60
1203 Appropriation (previously unavailable)(special or trust)	4	4	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-4	-4	
1260 Appropriations, mandatory (total)	59	60	64
1930 Total budgetary resources available	112	100	104
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	40	44

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	58	63	40
3010 New obligations, unexpired accounts	72	60	60
3020 Outlays (gross)	-63	-83	-73
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3050 Unpaid obligations, end of year	63	40	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	58	63	40
3200 Obligated balance, end of year	63	40	27

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	59	60	64
Outlays, gross:			
4100 Outlays from new mandatory authority	2	34	30
4101 Outlays from mandatory balances	61	49	43
4110 Outlays, gross (total)	63	83	73
4180 Budget authority, net (total)	59	60	64
4190 Outlays, net (total)	63	83	73

Division O of the Consolidated Appropriations Act of 2016 (P.L. 114-113) established the 9-11 Response and Biometric Exit Account. Pursuant to the law, amounts in this account shall be available to the Secretary of Homeland Security without further appropriation for implementing the biometric entry and exit system described in section 7208 of the Intelligence Reform and Terrorism Prevention Act of 2004 (8 U.S.C. 1365b).

Object Classification (in millions of dollars)

Identification code 070-5702-0-2-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		5	5
12.1 Civilian personnel benefits		3	3
21.0 Travel and transportation of persons		1	1
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.2 Other services from non-Federal sources	61	36	36
25.7 Operation and maintenance of equipment		1	1
31.0 Equipment	9	13	13
99.9 Total new obligations, unexpired accounts	72	60	60

Employment Summary

Identification code 070-5702-0-2-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		92	92

Trust Funds

U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8789-0-7-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Proceeds of the Sales of Unclaimed Abandoned, Seized Goods	4	4	4
2000 Total: Balances and receipts	4	4	4
Appropriations:			
Current law:			
2101 U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods	-4	-4	-4
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-8789-0-7-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Aban (Direct)	4	4	4
0900 Total new obligations, unexpired accounts (object class 25.2)	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	4	4
1930 Total budgetary resources available	6	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		2	2
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-2	-4	-4
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority	2	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	2	4	4

This account expends proceeds from the auction of unclaimed and abandoned goods.

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Immigration and Customs Enforcement for operations and support to enforce immigration and customs laws, including the purchase and lease of up to 3,790 (2,350 for replacement only) police-type vehicles; [overseas vetted units;] and maintenance, minor construction, and minor leasehold improve-

ments at owned and leased facilities; [\$8,032,801,000] \$9,822,109,000; of which not less than \$2,301,605,000 is for homeland security investigations operations; of which not less than \$6,000,000 shall remain available until expended for efforts to enforce laws against forced child labor; [of which \$53,696,000 shall remain available until September 30, 2021;] of which not less than \$1,500,000 is for paid apprenticeships for participants in the Human Exploitation Rescue Operative Child-Rescue Corps; of which not less than \$15,000,000 shall be available for investigation of intellectual property rights violations, including operation of the National Intellectual Property Rights Coordination Center; and of which not less than [\$4,429,033,000] 5,704,621,000 shall be for enforcement, detention, and removal operations, including transportation of unaccompanied minor aliens: *Provided*, That not to exceed \$11,475 shall be for official reception and representation expenses: *Provided further*, That of the amounts provided under this heading for homeland security investigations operations, not to exceed \$10,000,000 shall be available until expended for conducting special operations under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081): *Provided further*, That not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security: *Provided further*, That of the amounts provided under this heading for enforcement, detention, and removal operations, not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States: *Provided further*, That of the funds made available under this heading, \$10,000,000 shall be withheld from obligation until the first report required by section 218 has been made available on a publicly accessible website]. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0540-0-1-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	27	25	23
Receipts:			
Current law:			
1120 Breached Bond Penalties Greater Than \$8M, Breached Bond Detention Fund	74	55	55
1120 Student and Exchange Visitor Fee	139	128	187
1199 Total current law receipts	213	183	242
1999 Total receipts	213	183	242
2000 Total: Balances and receipts	240	208	265
Appropriations:			
Current law:			
2101 Operations and Support	-139	-130	-187
2101 Operations and Support	-73	-55	-55
2103 Operations and Support	-12	-8	-8
2103 Operations and Support	-4	-3	-3
2103 Operations and Support	-11	-8	-8
2132 Operations and Support	9	8	
2132 Operations and Support	5	3	
2132 Operations and Support	10	8	
2199 Total current law appropriations	-215	-185	-261
2999 Total appropriations	-215	-185	-261
5099 Balance, end of year	25	23	4

Program and Financing (in millions of dollars)

Identification code 070-0540-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Immigration and Customs Enforcement (Direct)	8,252		
0002 CAS - Mission Support		1,271	1,463
0003 CAS - Office of the Principal Legal Advisor		290	353
0004 CAS - Homeland Security Investigations		2,042	2,301
0005 CAS - Enforcement and Removal Operations		4,429	5,705
0799 Total direct obligations	8,252	8,032	9,822
0801 Immigration and Customs Enforcement (Reimbursable)	143	148	148
0900 Total new obligations, unexpired accounts	8,395	8,180	9,970
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	334	364	691
1001 Discretionary unobligated balance brought fwd, Oct 1	109	101	
1011 Unobligated balance transfer from other acct [070-0716]	3		
1011 Unobligated balance transfer from other acct [070-0510]	1		
1011 Unobligated balance transfer from other acct [070-0550]	4		

OPERATIONS AND SUPPORT—Continued
Program and Financing—Continued

Identification code 070-0540-0-1-751	2019 actual	2020 est.	2021 est.
1011 Unobligated balance transfer from other acct [070-0613] ...	23		
1011 Unobligated balance transfer from other acct [070-0615] ...	1		
1011 Unobligated balance transfer from other acct [070-0702] ...	38		
1021 Recoveries of prior year unpaid obligations	47		
1050 Unobligated balance (total)	451	364	691
Budget authority:			
Appropriations, discretionary:			
1100 Base Appropriation	7,751	8,033	9,822
1121 Appropriations transferred from other acct [070-0545] ...	2		
1121 Appropriations transferred from other acct [070-0530] ...	39		
1121 Appropriations transferred from other acct [070-0412] ...	4		
1121 Appropriations transferred from other acct [070-0860] ...	1		
1121 Appropriations transferred from other acct [070-0803] ...	1		
1121 Appropriations transferred from other acct [070-0550] ...	20		
1121 Appropriations transferred from other acct [070-0112] ...	3		
1121 Appropriations transferred from other acct [011-1070] ...	2		
1131 Unobligated balance of appropriations permanently reduced		-9	
1160 Appropriation, discretionary (total)	7,823	8,024	9,822
Appropriations, mandatory:			
1201 Student and Exchange Visitor Program	139	130	187
1201 Breached Bond Detention Fund	73	55	55
1201 Immigration User Fee	161	135	135
1203 Student and Exchange Visitor Program (previously unavailable)	12	8	8
1203 Breached Bond Detention Fund (previously unavailable)	4	3	3
1203 Immigration User Fee (previously unavailable)	11	8	8
1232 Appropriations temporarily reduced (Student and Exchange Visitor Program)	-9	-8	
1232 Appropriations temporarily reduced (Breached Bond Fund)	-5	-3	
1232 Appropriations temporarily reduced (Immigration User Fee)	-10	-8	
1260 Appropriations, mandatory (total)	376	320	396
Spending authority from offsetting collections, discretionary:			
1700 Collected	107	163	155
1701 Change in uncollected payments, Federal sources	42		
1750 Spending auth from offsetting collections, disc (total)	149	163	155
1900 Budget authority (total)	8,348	8,507	10,373
1930 Total budgetary resources available	8,799	8,871	11,064
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-40		
1941 Unexpired unobligated balance, end of year	364	691	1,094
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,898	2,317	2,433
3010 New obligations, unexpired accounts	8,395	8,180	9,970
3011 Obligations ("upward adjustments"), expired accounts	51		
3020 Outlays (gross)	-7,829	-8,064	-9,685
3040 Recoveries of prior year unpaid obligations, unexpired	-47		
3041 Recoveries of prior year unpaid obligations, expired	-151		
3050 Unpaid obligations, end of year	2,317	2,433	2,718
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-124	-85	-85
3070 Change in uncollected pymts, Fed sources, unexpired	-42		
3071 Change in uncollected pymts, Fed sources, expired	81		
3090 Uncollected pymts, Fed sources, end of year	-85	-85	-85
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,774	2,232	2,348
3200 Obligated balance, end of year	2,232	2,348	2,633
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7,972	8,187	9,977
Outlays, gross:			
4010 Outlays from new discretionary authority	6,124	5,434	6,541
4011 Outlays from discretionary balances	1,320	2,307	2,753
4020 Outlays, gross (total)	7,444	7,741	9,294
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-155	-163	-155
4033 Non-Federal sources	-8		
4040 Offsets against gross budget authority and outlays (total)	-163	-163	-155

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-42	
4052	Offsetting collections credited to expired accounts	56	
4060	Additional offsets against budget authority only (total)	14	
4070	Budget authority, net (discretionary)	7,823	8,024
4080	Outlays, net (discretionary)	7,281	7,578
Mandatory:			
4090	Budget authority, gross	376	320
Outlays, gross:			
4100	Outlays from new mandatory authority	225	289
4101	Outlays from mandatory balances	160	34
4110	Outlays, gross (total)	385	323
4180	Budget authority, net (total)	8,199	8,344
4190	Outlays, net (total)	7,666	7,901
Memorandum (non-add) entries:			
5096	Unexpired unavailable balance, SOY: Appropriations		14
5098	Unexpired unavailable balance, EOY: Appropriations		14

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	8,199	8,344	10,218
Outlays	7,666	7,901	9,530
Legislative proposal, subject to PAYGO:			
Budget Authority			65
Outlays			58
Total:			
Budget Authority	8,199	8,344	10,283
Outlays	7,666	7,901	9,588

As the largest investigative arm of the Department of Homeland Security (DHS), U.S. Immigration and Customs Enforcement (ICE) brings a unified and coordinated focus to the enforcement of Federal immigration and customs laws. The President's Budget supports ICE's mission to enforce immigration and customs laws. ICE works to protect the United States and its people by deterring, interdicting, and investigating threats arising from the movement of people and goods into and out of the United States.

The Operations and Support appropriation funds necessary operations, mission support, and associated management and administrative costs. Major programs include:

Homeland Security Investigations (HSI).—Investigates a broad range of domestic and international immigration and customs violations such as human smuggling and trafficking; the smuggling of weapons and other types of contraband including opioids; export enforcement, such as investigating illegal arms exports and exports of dual-use equipment that may threaten national security; financial crimes, such as money laundering, bulk cash smuggling, and other financial crimes; commercial fraud, including intellectual property violations; cybercrimes; child exploitation; identity and immigration benefit fraud; and human rights violations. HSI is also responsible for the collection, analysis, and dissemination of strategic, operational, and tactical intelligence for use by the operational elements of ICE and DHS.

Enforcement and Removal Operations (ERO).—Responsible for promoting public safety and national security by identifying, apprehending, and detaining removable aliens prior to ensure their departure from the United States through the fair enforcement of the Nation's immigration laws.

Office of the Principal Legal Advisor.—Serves as the exclusive legal representative for the U.S. Government at immigration court hearings, and provides expert legal counsel to ICE on customs, immigration, labor, and administrative law.

Mission Support.—Manages ICE's financial and human resources, information technology, training for employees and special agents, sensitive property, facilities, and other assets.

In accordance with the Executive Order on Enhancing Public Safety in the Interior of the United States issued on January 25, 2017, ICE is expanding its enforcement operations both at the U.S. border and in the interior. The 2021 President's Budget supports the Administration's plan to strengthen immigration enforcement by hiring 2,844 law enforcement of-

ficers and 1792 operational support staff of the 10,000 officers mandated by the Executive Order.

Object Classification (in millions of dollars)

Identification code 070-0540-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,977	2,094	2,338
11.3 Other than full-time permanent	18	23	25
11.5 Other personnel compensation	409	477	612
11.8 Special personal services payments	1	3
11.9 Total personnel compensation	2,405	2,594	2,978
12.1 Civilian personnel benefits	1,050	1,079	1,180
21.0 Travel and transportation of persons	603	590	601
22.0 Transportation of things	15	11	42
23.1 Rental payments to GSA	324	332	348
23.2 Rental payments to others	13	24	24
23.3 Communications, utilities, and miscellaneous charges	94	78	82
25.1 Advisory and assistance services	465	451	599
25.2 Other services from non-Federal sources	223	196	265
25.3 Other goods and services from Federal sources	108	110	197
25.4 Operation and maintenance of facilities	2,169	2,102	2,727
25.6 Medical care	266	58	151
25.7 Operation and maintenance of equipment	248	174	181
25.8 Subsistence and support of persons	12	7	7
26.0 Supplies and materials	63	67	85
31.0 Equipment	124	104	281
32.0 Land and structures	41	26	45
42.0 Insurance claims and indemnities	26	26	26
91.0 Unvouchered	3	3	3
99.0 Direct obligations	8,252	8,032	9,822
99.0 Reimbursable obligations	143	148	148
99.9 Total new obligations, unexpired accounts	8,395	8,180	9,970

Employment Summary

Identification code 070-0540-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19,274	20,536	21,800
2001 Reimbursable civilian full-time equivalent employment	448	327	327

OPERATIONS AND SUPPORT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0540-4-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Immigration User Fee	65
1930 Total budgetary resources available	65
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	65
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)	-58
3050 Unpaid obligations, end of year	-58
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	-58
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	65
Outlays, gross:			
4100 Outlays from new mandatory authority	58
4180 Budget authority, net (total)	65
4190 Outlays, net (total)	58

The U.S. Customs and Border Protection (CBP) Budget proposes an increase of \$2 to the Immigration Inspection User Fee and to partially eliminate a fee exemption for sea passengers arriving from the United States, Canada, Mexico, or Adjacent Islands. These amounts reflect the fee proportion received by U.S. Immigration and Customs Enforcement. Section 274(A) of the Immigration and Nationality Act (INA) provides for the

imposition of civil and criminal monetary penalties against employers who violate INA provisions on the unlawful employment of aliens. These combined monetary penalties average \$31 million per year. The Budget also includes a proposal to proportionately increase all penalty amounts by 35 percent, which will be done by statutory changes to the INA. The additional revenue from these increases will be directed to deficit reduction and are reflected in General Fund Receipt Account #020103000.

AUTOMATION MODERNIZATION, IMMIGRATION AND CUSTOMS ENFORCEMENT

Program and Financing (in millions of dollars)

Identification code 070-0543-0-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	4
3020 Outlays (gross)	-6	-4
3050 Unpaid obligations, end of year	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	4
3200 Obligated balance, end of year	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	6	4
4180 Budget authority, net (total)
4190 Outlays, net (total)	6	4

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of U.S. Immigration and Customs Enforcement for procurement, construction, and improvements, **[\$47,270,000] \$104,954,000 [, to]**; of which **\$62,793,000** shall remain available until September 30, **[2022] 2023**; of which not less than **[\$36,970,000] \$21,500,000** shall be available for facilities repair and maintenance projects; and of which **\$42,161,000** shall be available until September 30, 2025, for the design, construction, and renovation of the Varick Street Federal Building of New York City, New York. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0545-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 CAS - Mission Support Assets and Infrastructure	14	3
0003 CAS - Operational Communications/Information Technology	29	10	22
0004 CAS - Construction and Facility Improvements	10	37	80
0900 Total new obligations, unexpired accounts	53	47	105
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	48	48
1021 Recoveries of prior year unpaid obligations	3
1050 Unobligated balance (total)	57	48	48
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	46	47	105
1120 Appropriations transferred to other acct [070-0540]	-2
1160 Appropriation, discretionary (total)	44	47	105
1930 Total budgetary resources available	101	95	153
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	48	48

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

Identification code 070-0545-0-1-751	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	79	89	55
3010 New obligations, unexpired accounts	53	47	105
3020 Outlays (gross)	-40	-81	-63
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	89	55	97
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	79	89	55
3200 Obligated balance, end of year	89	55	97
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	44	47	105
Outlays, gross:			
4010 Outlays from new discretionary authority		14	32
4011 Outlays from discretionary balances	40	67	31
4020 Outlays, gross (total)	40	81	63
4180 Budget authority, net (total)	44	47	105
4190 Outlays, net (total)	40	81	63

Procurement, Construction, and Improvements (PC&I) provides the funds necessary for the planning, operational development, engineering, and purchase of headquarters and field operational and IT assets prior to the sustainment phase. Funding within this account is used for the acquisition and construction of U.S. Immigration and Customs Enforcement (ICE) facilities, as well as for automation modernization activities that strengthen information availability while improving information sharing across the Department of Homeland Security, ICE, and other partner organizations in a fully secure information technology environment.

Object Classification (in millions of dollars)

Identification code 070-0545-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	22		10
25.2 Other services from non-Federal sources	2		6
25.7 Operation and maintenance of equipment	6		
25.8 Subsistence and support of persons	1		
31.0 Equipment	14	10	9
32.0 Land and structures	8	37	80
99.0 Direct obligations	53	47	105
99.9 Total new obligations, unexpired accounts	53	47	105

TRANSPORTATION SECURITY ADMINISTRATION

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Transportation Security Administration for operations and support, **[\$7,680,565,000]** \$7,569,419,000, to remain available until September 30, **[2021]** 2022: *Provided*, That not to exceed \$7,650 shall be for official reception and representation expenses: *Provided further*, That security service fees authorized under section 44940 of title 49, United States Code, shall be credited to this appropriation as offsetting collections and shall be available only for aviation security: *Provided further*, That the sum appropriated under this heading from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2020]** 2021 so as to result in a final fiscal year appropriation from the general fund estimated at not more than **[\$4,850,565,000]** \$4,030,756,000. (*Department of Homeland Security Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0550-0-1-400	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			

Receipts:			
Current law:			
1130 Unclaimed Checkpoint Money	1		
2000 Total: Balances and receipts	1		
Appropriations:			
Current law:			
2101 Operations and Support	-1		
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-0550-0-1-400	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 CAS - Mission Support	925	913	902
0003 CAS - Aviation Screening Operations	5,273	5,382	5,377
0004 CAS - Other Operations and Enforcement	1,607	1,623	1,650
0799 Total direct obligations	7,805	7,918	7,929
0801 Aviation Security (Reimbursable)	7	7	7
0900 Total new obligations, unexpired accounts	7,812	7,925	7,936

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	321	300	277
1001 Discretionary unobligated balance brought fwd, Oct 1	321	300	
1010 Unobligated balance transfer to other accts [070-0540]	-4		
1021 Recoveries of prior year unpaid obligations	121		
1033 Recoveries of prior year paid obligations	2	9	9
1050 Unobligated balance (total)	440	309	286
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,754	4,855	4,648
1101 Appropriation (special or trust)	1		
1120 Appropriations transferred to other acct [070-0540]	-20		
1131 Unobligated balance of appropriations permanently reduced	-34	-42	
1160 Appropriation, discretionary (total)	4,701	4,813	4,648
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections - Passenger Security Fee	2,735	2,826	2,921
1700 Offsetting Collections - TWIC	66	61	70
1700 Offsetting Collections - HAZMAT CDL	19	19	19
1700 Offsetting Collections - Commercial Aviation and Airport	8	9	9
1700 Offsetting Collections - Air Cargo	5	5	5
1700 Offsetting Collections - Pre-Check	137	137	251
1700 Reimbursables	7	7	7
1700 Offsetting Collections - General Aviation @DCA	1	1	1
1701 Change in uncollected payments, Federal sources	9	9	9
1750 Spending auth from offsetting collections, disc (total)	2,987	3,074	3,292
Spending authority from offsetting collections, mandatory:			
1800 Alien Flight School	6	6	6
1900 Budget authority (total)	7,694	7,893	7,946
1930 Total budgetary resources available	8,134	8,202	8,232
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-22		
1941 Unexpired unobligated balance, end of year	300	277	296

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,884	1,798	1,780
3010 New obligations, unexpired accounts	7,812	7,925	7,936
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-7,737	-7,943	-7,883
3040 Recoveries of prior year unpaid obligations, unexpired	-121		
3041 Recoveries of prior year unpaid obligations, expired	-43		
3050 Unpaid obligations, end of year	1,798	1,780	1,833
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-32	-39	-48
3070 Change in uncollected pymts, Fed sources, unexpired	-9	-9	-9
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-39	-48	-57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,852	1,759	1,732
3200 Obligated balance, end of year	1,759	1,732	1,776

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7,688	7,887	7,940
Outlays, gross:			
4010 Outlays from new discretionary authority	6,098	6,361	6,357

4011	Outlays from discretionary balances	1,633	1,577	1,520
4020	Outlays, gross (total)	7,731	7,938	7,877
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources:	-9	-9	-9
4033	Non-Federal sources:	-5	-7	-7
4034	Offsetting governmental collections:	-2,971	-3,058	-3,276
4040	Offsets against gross budget authority and outlays (total)	-2,985	-3,074	-3,292
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-9	-9	-9
4052	Offsetting collections credited to expired accounts	5		
4053	Recoveries of prior year paid obligations, unexpired accounts	2	9	9
4060	Additional offsets against budget authority only (total)	-2		
4070	Budget authority, net (discretionary)	4,701	4,813	4,648
4080	Outlays, net (discretionary)	4,746	4,864	4,585
	Mandatory:			
4090	Budget authority, gross	6	6	6
	Outlays, gross:			
4100	Outlays from new mandatory authority		2	2
4101	Outlays from mandatory balances	6	3	4
4110	Outlays, gross (total)	6	5	6
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4124	Offsetting governmental collections:	-6	-6	-6
4180	Budget authority, net (total)	4,701	4,813	4,648
4190	Outlays, net (total)	4,746	4,863	4,585

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4,701	4,813	4,648
Outlays	4,746	4,863	4,585
Legislative proposal, not subject to PAYGO:			
Budget Authority			-618
Outlays			-618
Total:			
Budget Authority	4,701	4,813	4,030
Outlays	4,746	4,863	3,967

The Transportation Security Administration (TSA) protects the Nation's transportation systems to ensure freedom of movement for people and commerce. The Operations and Support appropriation funds necessary operation, mission support, and associated management and administrative costs. Major programs include:

Mission Support.—This program supports headquarters offices, human resources, information technology, and major acquisitions to support those efforts.

Aviation Screening Operations.—This program supports the majority of TSA's frontline operations, and includes funding for the Screening Workforce, the National Explosives Detection Canine Team program, Secure Flight, and programs that support screening capabilities, as well as field support for these efforts. Since 2011, TSA has been performing this function through the use of an intelligence-driven risk-based security approach. Risk-based security increases the overall security effectiveness by focusing security resources on higher-risk and unknown travelers, while expanding the process for low risk and known/trusted travelers.

Other Operations and Enforcement.—This program supports: the Inflight Security program, which includes funding for the Federal Air Marshals Service and Federal Flight Deck Officer and Crew Training; Aviation Regulation, which provides law enforcement and regulatory presence at airports to ensure compliance with required security measures and response to security incidents; Air Cargo, which implements statutory requirement for ensuring the security of transportation systems and passengers when cargo is transported by air; Intelligence and the TSA Operations Center, which provides for the review, synthesis, and analysis of transportation specific intelligence; Surface Programs, which protect the surface transportation system (mass transit, freight rail, pipeline, and maritime modes); and vetting programs, which vet various populations requiring access to the transportation network.

Appropriations in this account are partially offset by revenue from related fees. TSA's Operations and Support funding level of \$4,030,756,000 for the total 2021 appropriation from the general fund assumes \$618 million in additional revenue from the legislative proposal to increase the Passenger Security Fee by one dollar in 2021.

Object Classification (in millions of dollars)

Identification code 070-0550-0-1-400	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,921	2,950	2,790
11.3 Other than full-time permanent	225	260	210
11.5 Other personnel compensation	503	517	490
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	3,651	3,729	3,492
12.1 Civilian personnel benefits	1,676	1,682	1,663
13.0 Benefits for former personnel	3	3	3
21.0 Travel and transportation of persons	175	163	195
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	121	111	117
23.2 Rental payments to others	72	72	72
23.3 Communications, utilities, and miscellaneous charges	126	107	131
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	850	909	1,000
25.2 Other services from non-Federal sources	286	248	263
25.3 Other goods and services from Federal sources	135	126	126
25.4 Operation and maintenance of facilities	150	130	147
25.7 Operation and maintenance of equipment	305	398	480
26.0 Supplies and materials	86	73	73
31.0 Equipment	81	79	79
32.0 Land and structures	9	9	9
41.0 Grants, subsidies, and contributions	73	73	73
42.0 Insurance claims and indemnities	4	4	4
99.0 Direct obligations	7,805	7,918	7,929
99.0 Reimbursable obligations	7	7	7
99.9 Total new obligations, unexpired accounts	7,812	7,925	7,936

Employment Summary

Identification code 070-0550-0-1-400	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	55,607	56,419	53,945

OPERATIONS AND SUPPORT

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0550-2-1-400	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			-618
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections - Passenger Security Fee			618
Budget authority and outlays, net:			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections:			-618
4040 Offsets against gross budget authority and outlays (total)			-618
4180 Budget authority, net (total)			-618
4190 Outlays, net (total)			-618

To move towards a higher share of aviation security cost recovery from the traveling public, the Budget proposes increasing the Passenger Security Fee by \$1.00, from \$5.60 to \$6.60 per one-way trip, beginning in January 2021 and by an additional \$1.65 from \$6.60 to \$8.25 per one-way trip, beginning in October 2021.

SURFACE TRANSPORTATION SECURITY

Program and Financing (in millions of dollars)

Identification code 070-0551-0-1-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

INTELLIGENCE AND VETTING

Program and Financing (in millions of dollars)

Identification code 070-0557-0-1-400	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	34	34
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	34	34	34
1930 Total budgetary resources available	34	34	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	34	34	34
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	8	
3020 Outlays (gross)	-3	-8	
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	8		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	8	
3200 Obligated balance, end of year	8		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3		
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		8	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	3	8	

TRANSPORTATION SECURITY SUPPORT

Program and Financing (in millions of dollars)

Identification code 070-0554-0-1-400	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	98	81	9
3020 Outlays (gross)	-10	-72	-3
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	81	9	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	78	6
3200 Obligated balance, end of year	78	6	3
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	10	72	3
4180 Budget authority, net (total)			

4190 Outlays, net (total)	10	72	3
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PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Transportation Security Administration for procurement, construction, and improvements, **[\$110,100,000]** \$33,385,000, to remain available until September 30, **[2022]** 2023. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0410-0-1-400	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	17	16	16
Receipts:			
Current law:			
1120 Fees, Aviation Security Capital Fund	250	250	250
2000 Total: Balances and receipts	267	266	266
Appropriations:			
Current law:			
2101 Procurement, Construction, and Improvements	-250	-250	-250
2103 Procurement, Construction, and Improvements	-17	-16	
2132 Procurement, Construction, and Improvements	16	16	
2199 Total current law appropriations	-251	-250	-250
2999 Total appropriations	-251	-250	-250
5099 Balance, end of year	16	16	16

Program and Financing (in millions of dollars)

Identification code 070-0410-0-1-400	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Aviation Screening Infrastructure	502	104	33
0004 CAS - Aviation Security Capital Fund (mandatory)		250	250
0900 Total new obligations, unexpired accounts	502	354	283
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	174	184	184
1001 Discretionary unobligated balance brought fwd, Oct 1	21	183	
1011 Unobligated balance transfer from other acct [070-0610] ...	3		
1021 Recoveries of prior year unpaid obligations	88		
1050 Unobligated balance (total)	265	184	184
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	170	110	33
1131 Unobligated balance of appropriations permanently reduced		-6	
1160 Appropriation, discretionary (total)	170	104	33
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	250	250	250
1203 Appropriation (previously unavailable)(special or trust) ...	17	16	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-16	-16	
1260 Appropriations, mandatory (total)	251	250	250
1900 Budget authority (total)	421	354	283
1930 Total budgetary resources available	686	538	467
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	184	184	184

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,292	1,189	956
3010 New obligations, unexpired accounts	502	354	283
3020 Outlays (gross)	-517	-587	-485
3040 Recoveries of prior year unpaid obligations, unexpired	-88		
3050 Unpaid obligations, end of year	1,189	956	754
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,292	1,189	956
3200 Obligated balance, end of year	1,189	956	754

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4000 Budget authority, gross	170	104	33
Outlays, gross:			
4010 Outlays from new discretionary authority	47	36	12

4011	Outlays from discretionary balances	115	120	87
4020	Outlays, gross (total)	162	156	99
	Mandatory:			
4090	Budget authority, gross	251	250	250
	Outlays, gross:			
4100	Outlays from new mandatory authority	9	18	18
4101	Outlays from mandatory balances	346	413	368
4110	Outlays, gross (total)	355	431	386
4180	Budget authority, net (total)	421	354	283
4190	Outlays, net (total)	517	587	485

The Procurement, Construction, and Improvements (PC&I) Appropriation provides the funds, above certain threshold amounts, necessary for the manufacture, purchase, or enhancement of assets. The funding provides resources to procure and improve equipment and systems that support aviation screening operations, other transportation screening and vetting operations, and other mission support functions. This account includes funding from the Aviation Security Capital Fund (ASCF), which is used for acquisition and installation of checked baggage screening equipment and explosives detection systems, as well as for airport infrastructure modifications.

Object Classification (in millions of dollars)

Identification code 070-0410-0-1-400	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	20		
11.9 Total personnel compensation	20		
12.1 Civilian personnel benefits	6		
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	397	270	215
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	72	78	62
32.0 Land and structures	1		
99.9 Total new obligations, unexpired accounts	502	354	283

Employment Summary

Identification code 070-0410-0-1-400	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	166		

RESEARCH AND DEVELOPMENT

For necessary expenses of the Transportation Security Administration for research and development, **[\$22,902,000]** \$29,524,000, to remain available until September 30, **[2021]** 2022. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0802-0-1-400	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research and Development	21	23	30
0900 Total new obligations, unexpired accounts (object class 25.5)	21	23	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	21	23	30
1930 Total budgetary resources available	24	26	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	23	22
3010 New obligations, unexpired accounts	21	23	30

3020	Outlays (gross)	-13	-24	-24
3050	Unpaid obligations, end of year	23	22	28
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	15	23	22
3200	Obligated balance, end of year	23	22	28

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	21	23	30
Outlays, gross:			
4010 Outlays from new discretionary authority	3	8	10
4011 Outlays from discretionary balances	10	16	14
4020 Outlays, gross (total)	13	24	24
4180 Budget authority, net (total)	21	23	30
4190 Outlays, net (total)	13	24	24

The Research and Development appropriation funds necessary technology demonstrations and system development in support of TSA's passenger, baggage, and intermodal screening functions. TSA's research and development activities usually involve inter-agency agreements with established research organizations, such as the Department of Homeland Security Science and Technology Directorate, the Department of Energy, the Naval Sea Systems Command, and other federally funded research and development centers. TSA works directly with industry to test and demonstrate the newest security technologies for transportation infrastructure.

UNITED STATES COAST GUARD
Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Coast Guard for operations and support including the Coast Guard Reserve; purchase or lease of not to exceed 25 passenger motor vehicles, which shall be for replacement only; purchase or lease of small boats for contingent and emergent requirements (at a unit cost of not more than \$700,000) and repairs and service-life replacements, not to exceed a total of \$31,000,000; purchase, lease, or improvements of boats necessary for overseas deployments and activities; payments pursuant to section 156 of Public Law 97-377 (42 U.S.C. 402 note; 96 Stat. 1920); and recreation and welfare; **[\$8,181,253,000]** \$8,377,740,000 [.] ; of which \$530,000,000 shall be for defense-related activities [., of which \$190,000,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985]; of which \$24,500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); of which \$11,000,000 shall remain available until September 30, 2022]; and of which **[\$19,982,000]** \$13,659,000 shall remain available until September 30, **[2024]** 2025, for environmental compliance and restoration: *Provided*, That not to exceed \$23,000 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0610-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Military Pay and Allowances	3,909	4,023	4,157
0002 Civilian Pay and Benefits	935	1,004	1,103
0003 Training and Recruiting	203	210	231
0004 Operating Funds and Unit Level Maintenance	1,026	1,020	969
0005 Centrally Managed Accounts	144	161	93
0006 Intermediate and Depot Level Maintenance	1,493	1,675	1,655
0007 Reserve Training	119	126	131
0008 Environmental Compliance and Restoration	3	29	14
0600 Total direct program	7,832	8,248	8,353
0799 Total direct obligations	7,832	8,248	8,353
0801 Operating Expenses (Reimbursable)	231	259	352
0900 Total new obligations, unexpired accounts	8,063	8,507	8,705
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	67	
1010 Unobligated balance transfer to other accts [070-0410]	-3		

OPERATIONS AND SUPPORT—Continued
Program and Financing—Continued

Identification code 070-0610-0-1-999	2019 actual	2020 est.	2021 est.
1012 Unobligated balance transfers between expired and unexpired accounts	9		
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	58	67	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7,831	8,157	8,353
Spending authority from offsetting collections, discretionary:			
1700 Collected	189	283	377
1701 Change in uncollected payments, Federal sources	71		
1750 Spending auth from offsetting collections, disc (total)	260	283	377
1900 Budget authority (total)	8,091	8,440	8,730
1930 Total budgetary resources available	8,149	8,507	8,730
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-19		
1941 Unexpired unobligated balance, end of year	67		25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,105	2,166	2,054
3010 New obligations, unexpired accounts	8,063	8,507	8,705
3011 Obligations ("upward adjustments"), expired accounts	67		
3020 Outlays (gross)	-7,914	-8,619	-8,635
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-152		
3050 Unpaid obligations, end of year	2,166	2,054	2,124
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-133	-108	-108
3070 Change in uncollected pymts, Fed sources, unexpired	-71		
3071 Change in uncollected pymts, Fed sources, expired	96		
3090 Uncollected pymts, Fed sources, end of year	-108	-108	-108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,972	2,058	1,946
3200 Obligated balance, end of year	2,058	1,946	2,016
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8,091	8,440	8,730
Outlays, gross:			
4010 Outlays from new discretionary authority	6,282	6,668	6,973
4011 Outlays from discretionary balances	1,632	1,951	1,662
4020 Outlays, gross (total)	7,914	8,619	8,635
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-266	-283	-377
4033 Non-Federal sources	-12		
4040 Offsets against gross budget authority and outlays (total)	-278	-283	-377
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-71		
4052 Offsetting collections credited to expired accounts	89		
4060 Additional offsets against budget authority only (total)	18		
4070 Budget authority, net (discretionary)	7,831	8,157	8,353
4080 Outlays, net (discretionary)	7,636	8,336	8,258
4180 Budget authority, net (total)	7,831	8,157	8,353
4190 Outlays, net (total)	7,636	8,336	8,258

The Operations and Support account funds the operations of the Coast Guard as it carries out its duties as a maritime, military, multi-mission operating agency and one of the five Armed Forces. To fulfill its mission, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States. This account funds operations and maintenance of these assets, and sustainment of new and existing Coast Guard programs, projects, activities, and personnel. This account also provides funds for Reserve Training and Environmental Compliance and Restoration.

Object Classification (in millions of dollars)

Identification code 070-0610-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	673	716	776
11.3 Other than full-time permanent	4	5	5
11.5 Other personnel compensation	23	25	37
11.6 Military personnel - basic allowance for housing	854	896	934
11.7 Military personnel	2,150	2,255	2,353
11.8 Special personal services payments	8	8	9
11.9 Total personnel compensation	3,712	3,905	4,114
12.1 Civilian personnel benefits	232	267	292
12.2 Military personnel benefits	254	302	306
13.0 Benefits for former personnel		4	4
21.0 Travel and transportation of persons	213	238	257
22.0 Transportation of things	118	119	117
23.1 Rental payments to GSA	58	65	7
23.2 Rental payments to others	34	33	33
23.3 Communications, utilities, and miscellaneous charges	169	160	155
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	125	135	137
25.2 Other services from non-Federal sources	272	407	409
25.3 Other goods and services from Federal sources	545	184	185
25.4 Operation and maintenance of facilities	163	260	243
25.6 Medical care	450	360	350
25.7 Operation and maintenance of equipment	687	740	746
25.8 Subsistence and support of persons	4	4	4
26.0 Supplies and materials	645	770	671
31.0 Equipment	128	255	282
32.0 Land and structures	17	28	35
41.0 Grants, subsidies, and contributions		6	
42.0 Insurance claims and indemnities	3	3	3
99.0 Direct obligations	7,832	8,248	8,353
99.0 Reimbursable obligations	231	259	352
99.9 Total new obligations, unexpired accounts	8,063	8,507	8,705

Employment Summary

Identification code 070-0610-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7,635	7,927	8,219
1101 Direct military average strength employment	41,965	49,393	49,829
2001 Reimbursable civilian full-time equivalent employment	198	239	244
2101 Reimbursable military average strength employment	591	825	670

ENVIRONMENTAL COMPLIANCE AND RESTORATION

Program and Financing (in millions of dollars)

Identification code 070-0611-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Environmental Compliance	6		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	13	13
1930 Total budgetary resources available	19	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	13	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	8	
3010 New obligations, unexpired accounts	6		
3020 Outlays (gross)	-13	-8	
3050 Unpaid obligations, end of year	8		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	8	
3200 Obligated balance, end of year	8		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	13	8	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	13	8	

The Environmental Compliance and Restoration account supports activities to comply with obligations in section 318, chapter 3 of title 14 of the United States Code related to Environmental Compliance and Restoration. This includes environmental cleanup, sustainment, and restoration of current and former contaminated Coast Guard facilities, and engineering remedies for Coast Guard assets, to comply with environmental laws and prevent contamination and environmental damage. Beginning in 2019, funding for Environmental Compliance and Restoration is appropriated in the Operations and Support account.

Object Classification (in millions of dollars)

Identification code 070-0611-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2		
12.1 Civilian personnel benefits	1		
25.2 Other services from non-Federal sources	3		
99.9 Total new obligations, unexpired accounts	6		

Employment Summary

Identification code 070-0611-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	22		
1101 Direct military average strength employment	1		

RESERVE TRAINING

Program and Financing (in millions of dollars)

Identification code 070-0612-0-1-403	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	1	1
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-11		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	11		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	11		

The Reserve Training account supports the training of Coast Guard Reserve Forces so they are prepared to provide qualified personnel to augment active duty forces in the event of conflict, national emergency, or natural and manmade disasters. Reservists maintain their readiness through formal training, mobilization exercises, and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost-effective surge force for response to man-made and natural disasters. Starting in 2019, the Department has requested funding for Reserve Training appropriated in the Operations and Support account.

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Coast Guard for procurement, construction, and improvements, including aids to navigation, shore facilities (including facilities at Department of Defense installations used by the Coast Guard), and vessels and aircraft, including equipment related thereto, **[\$1,772,506,000]** \$1,637,091,000, to remain available until September 30, **[2024]** 2025; of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5))**];** and of which \$32,350,000, shall be available to carry out the purposes of section 2946 of title 14, United States Code, of which \$26,376,833 shall be derived from the Coast Guard

Housing Fund, established pursuant to such section **].** (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0613-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Vessels	2,085	1,221	1,225
0002 Aircraft	274	604	251
0003 Other Acquisition Programs	56	77	64
0004 Shore Facilities and Aids to Navigation	168	393	653
0600 Total Direct Program	2,583	2,295	2,193
0799 Total direct obligations	2,583	2,295	2,193
0801 Acquisition, Construction, and Improvements (Reimbursable)	14	8	8
0900 Total new obligations, unexpired accounts	2,597	2,303	2,201
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,846	2,984	2,462
1010 Unobligated balance transfer to other accts [070-0540]	-23		
1012 Unobligated balance transfers between expired and unexpired accounts	1		
1021 Recoveries of prior year unpaid obligations	50		
1050 Unobligated balance (total)	2,874	2,984	2,462
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,705	1,727	1,617
1121 Appropriations transferred from other acct [070-5710]		26	
1131 Unobligated balance of appropriations permanently reduced	-14		-70
1160 Appropriation, discretionary (total)	2,691	1,753	1,547
Spending authority from offsetting collections, discretionary:			
1700 Collected	60	28	28
1701 Change in uncollected payments, Federal sources	-33		
1750 Spending auth from offsetting collections, disc (total)	27	28	28
1900 Budget authority (total)	2,718	1,781	1,575
1930 Total budgetary resources available	5,592	4,765	4,037
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-11		
1941 Unexpired unobligated balance, end of year	2,984	2,462	1,836
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,868	3,838	3,760
3010 New obligations, unexpired accounts	2,597	2,303	2,201
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-1,551	-2,381	-2,259
3040 Recoveries of prior year unpaid obligations, unexpired	-50		
3041 Recoveries of prior year unpaid obligations, expired	-34		
3050 Unpaid obligations, end of year	3,838	3,760	3,702
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-62	-29	-29
3070 Change in uncollected pymts, Fed sources, unexpired	33		
3090 Uncollected pymts, Fed sources, end of year	-29	-29	-29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,806	3,809	3,731
3200 Obligated balance, end of year	3,809	3,731	3,673
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,718	1,781	1,575
Outlays, gross:			
4010 Outlays from new discretionary authority	57	204	120
4011 Outlays from discretionary balances	1,494	2,177	2,139
4020 Outlays, gross (total)	1,551	2,381	2,259
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-60	-20	-20
4033 Non-Federal sources		-8	-8
4040 Offsets against gross budget authority and outlays (total)	-60	-28	-28
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	33		
4060 Additional offsets against budget authority only (total)	33		
4070 Budget authority, net (discretionary)	2,691	1,753	1,547
4080 Outlays, net (discretionary)	1,491	2,353	2,231
4180 Budget authority, net (total)	2,691	1,753	1,547

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

Identification code 070-0613-0-1-403	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	1,491	2,353	2,231

The Procurement, Construction, and Improvements account provides for the acquisition, procurement, construction, rebuilding, and improvement of vessels, aircraft, information management resources, other equipment, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals. The Coast Guard will continue the recapitalization of boats, major cutters and patrol boats, aircraft, and command, control, communications, computers, intelligence, surveillance and reconnaissance systems. Furthermore, the Coast Guard will continue fleet sustainment projects to enhance and extend the service life of selected existing aircraft and cutters. The Coast Guard will also invest in shore infrastructure as well as repair aging buildings, and other facilities. These vital recapitalization projects will provide the Coast Guard with capabilities necessary to perform its missions.

Object Classification (in millions of dollars)

Identification code 070-0613-0-1-403	2019 actual	2020 est.	2021 est.
11.7 Direct obligations: Personnel compensation: Military personnel	1		
11.9 Total personnel compensation	1		
21.0 Travel and transportation of persons	6	15	12
23.3 Communications, utilities, and miscellaneous charges		1	1
24.0 Printing and reproduction	574		
25.1 Advisory and assistance services	189	379	306
25.2 Other services from non-Federal sources	3	42	34
25.3 Other goods and services from Federal sources	164	225	138
25.4 Operation and maintenance of facilities	67	48	35
25.7 Operation and maintenance of equipment	17	1	6
25.8 Subsistence and support of persons	1		
26.0 Supplies and materials		61	63
31.0 Equipment	1,489	1,284	1,208
32.0 Land and structures	72	239	390
99.0 Direct obligations	2,583	2,295	2,193
99.0 Reimbursable obligations	14	8	8
99.9 Total new obligations, unexpired accounts	2,597	2,303	2,201

Employment Summary

Identification code 070-0613-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1		
1101 Direct military average strength employment	4		

ALTERATION OF BRIDGES

Program and Financing (in millions of dollars)

Identification code 070-0614-0-1-403	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Alteration of Bridges account funds the Federal Government's share of costs for altering or removing bridges determined to be unreasonable obstructions to navigation. Under the Truman-Hobbs Act of 1940 (33 U.S.C. 511-523), the Federal Government shares, with the bridge owner,

the cost of altering railroad and publicly-owned highway bridges declared by the Coast Guard to be unreasonable obstructions to navigation.

RESEARCH AND DEVELOPMENT

For necessary expenses of the Coast Guard for research and development; and for maintenance, rehabilitation, lease, and operation of facilities and equipment; [\$4,949,000] \$5,276,000, to remain available until September 30, [2022] 2023, of which \$500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): *Provided*, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries for expenses incurred for research, development, testing, and evaluation. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0615-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Applied R&D	19	13	5
0801 Research, Development, Test, and Evaluation (Reimbursable)	1	5	5
0900 Total new obligations, unexpired accounts	20	18	10

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	14	
1010 Unobligated balance transfer to other accts [070-0540]	-1		
1050 Unobligated balance (total)	32	14	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20	4	5
1131 Unobligated balance of appropriations permanently reduced	-17	-5	
1160 Appropriation, discretionary (total)	3	-1	5
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	5	5
1701 Change in uncollected payments, Federal sources	-3		
1750 Spending auth from offsetting collections, disc (total)	-1	5	5
1900 Budget authority (total)	2	4	10
1930 Total budgetary resources available	34	18	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	6	19
3010 New obligations, unexpired accounts	20	18	10
3020 Outlays (gross)	-24	-5	-9
3050 Unpaid obligations, end of year	6	19	20
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	3		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	2	15
3200 Obligated balance, end of year	2	15	16

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2	4	10
Outlays, gross:			
4010 Outlays from new discretionary authority	15		6
4011 Outlays from discretionary balances	9	5	3
4020 Outlays, gross (total)	24	5	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	3		
4052 Offsetting collections credited to expired accounts	1		
4060 Additional offsets against budget authority only (total)	4		
4070 Budget authority, net (discretionary)	3	-1	5
4080 Outlays, net (discretionary)	21		4

4180	Budget authority, net (total)	3	-1	5
4190	Outlays, net (total)	21		4

The Research and Development account provides the funds to develop techniques, methods, hardware, and systems that directly contribute to increasing the productivity and effectiveness of the Coast Guard's operating missions, as well as expertise and services that enhance pre-acquisition planning and analysis to reduce cost, schedule, and performance risks across multiple acquisition projects.

Object Classification (in millions of dollars)

Identification code 070-0615-0-1-403	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	7		
11.7	Military personnel	1		
11.9	Total personnel compensation	8		
12.1	Civilian personnel benefits	2		
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1		
23.3	Communications, utilities, and miscellaneous charges	1	2	
25.1	Advisory and assistance services	2	3	1
25.2	Other services from non-Federal sources		1	
25.3	Other goods and services from Federal sources	1		
25.5	Research and development contracts	1	3	2
26.0	Supplies and materials	2	3	1
31.0	Equipment		1	
99.0	Direct obligations	19	14	5
99.0	Reimbursable obligations	1	4	5
99.9	Total new obligations, unexpired accounts	20	18	10

Employment Summary

Identification code 070-0615-0-1-403	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	68		
1101	Direct military average strength employment	15		

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY

Program and Financing (in millions of dollars)

Identification code 070-0616-0-1-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	MERHCF	199	205	216
0900	Total new obligations, unexpired accounts (object class 12.2)	199	205	216
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	199	205	216
1930	Total budgetary resources available	199	205	216
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	199	205	216
3020	Outlays (gross)	-199	-205	-216
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	199	205	216
Outlays, gross:				
4010	Outlays from new discretionary authority	199	205	216
4180	Budget authority, net (total)	199	205	216
4190	Outlays, net (total)	199	205	216

The Medicare-Eligible Retiree Health Care Fund Contribution account provides for the cost of medical benefits for Medicare-eligible beneficiaries paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C. ch. 56). Beginning in 2006, permanent indefinite

authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. 108-375).

RETIRED PAY

For retired pay, including the payment of obligations otherwise chargeable to lapsed appropriations for this purpose, payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, payment for career status bonuses, payment of continuation pay under section 356 of title 37, United States Code, concurrent receipts, combat-related special compensation, and payments for medical care of retired personnel and their dependents under chapter 55 of title 10, United States Code, **[\$1,802,309,000]** \$1,869,704,000, to remain available until expended. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0602-0-1-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Retired Pay	1,737	1,802	1,870
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	120	123	123
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	1,740	1,802	1,870
1900	Budget authority (total)	1,740	1,802	1,870
1930	Total budgetary resources available	1,860	1,925	1,993
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	123	123	123
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	141	146	151
3010	New obligations, unexpired accounts	1,737	1,802	1,870
3020	Outlays (gross)	-1,732	-1,797	-1,870
3050	Unpaid obligations, end of year	146	151	151
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	141	146	151
3200	Obligated balance, end of year	146	151	151
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1,740	1,802	1,870
Outlays, gross:				
4100	Outlays from new mandatory authority	1,506	1,622	1,717
4101	Outlays from mandatory balances	226	175	153
4110	Outlays, gross (total)	1,732	1,797	1,870
4180	Budget authority, net (total)	1,740	1,802	1,870
4190	Outlays, net (total)	1,732	1,797	1,870

The Retired Pay account funds the retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the Retired Serviceman's Family Protection Plan (10 U.S.C. 1431-46) and Survivor Benefits Plans (10 U.S.C. 1447-55); payments for career status bonuses; payment of continuation pay (37 U.S.C. 356); concurrent receipts, and combat-related special compensation under the National Defense Authorization Act, as authorized by law; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

Object Classification (in millions of dollars)

Identification code 070-0602-0-1-403	2019 actual	2020 est.	2021 est.	
Direct obligations:				
12.2	Military personnel benefits	10	6	7
13.0	Benefits for former personnel	1,706	1,524	1,596
25.2	Other services from non-Federal sources	8	14	13
25.6	Medical care		235	231
26.0	Supplies and materials	13	23	23

RETIRED PAY—Continued
Object Classification—Continued

Identification code 070-0602-0-1-403	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	1,737	1,802	1,870

COAST GUARD HOUSING FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5710-0-2-403	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	23	27	3
Receipts:			
Current law:			
1130 Sale of Real Property, Coast Guard Housing Fund	4	2	4
2000 Total: Balances and receipts	27	29	7
Appropriations:			
Current law:			
2101 Coast Guard Housing Fund		-26	-4
5099 Balance, end of year	27	3	3

Program and Financing (in millions of dollars)

Identification code 070-5710-0-2-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Coast Guard Housing Fund			4
0900 Total new obligations, unexpired accounts (object class 25.4)			4

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)		26	4
1120 Appropriations transferred to other acct [070-0613]		-26	
1160 Appropriation, discretionary (total)			4
1900 Budget authority (total)			4
1930 Total budgetary resources available			4

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			4
3020 Outlays (gross)			-1
3050 Unpaid obligations, end of year			3
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			4
Outlays, gross:			
4010 Outlays from new discretionary authority			1
4180 Budget authority, net (total)			4
4190 Outlays, net (total)			1

The Housing Fund, established in 2011, receives deposits of proceeds from the conveyance of property under the administrative control of the Coast Guard. In accordance with 14 U.S.C. 2946, amounts in the fund may be appropriated for certain activities associated with military family housing and military unaccompanied housing.

ABANDONED SEAFARERS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5677-0-2-403	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3	5	10
Receipts:			
Current law:			
1110 Penalties, Abandoned Seafarers Fund	2	5	5
2000 Total: Balances and receipts	5	10	15

5099 Balance, end of year	5	10	15
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SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 070-4535-0-4-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Supply Fund (Reimbursable)	65	149	125
0900 Total new obligations, unexpired accounts (object class 26.0)	65	149	125

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	24	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	66	125	125
1930 Total budgetary resources available	89	149	125
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	24		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	24	25
3010 New obligations, unexpired accounts	65	149	125
3020 Outlays (gross)	-68	-148	-125
3050 Unpaid obligations, end of year	24	25	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	24	25
3200 Obligated balance, end of year	24	25	25

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	66	125	125
Outlays, gross:			
4010 Outlays from new discretionary authority	55	125	125
4011 Outlays from discretionary balances	13	23	
4020 Outlays, gross (total)	68	148	125
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-66	-125	-125
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2	23	

The Supply Fund, in accordance with 14 U.S.C. 941, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from the sale of goods.

YARD FUND

Program and Financing (in millions of dollars)

Identification code 070-4743-0-4-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Shipyard activities	118	215	170

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	61	45	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	104	170	170
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)	102	170	170
1930 Total budgetary resources available	163	215	170
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	45		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	33	47
3010 New obligations, unexpired accounts	118	215	170

3020	Outlays (gross)	-111	-201	-170
3050	Unpaid obligations, end of year	33	47	47
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-8	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired	2		
3090	Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	18	27	41
3200	Obligated balance, end of year	27	41	41
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	102	170	170
Outlays, gross:				
4010	Outlays from new discretionary authority	68	170	170
4011	Outlays from discretionary balances	43	31	
4020	Outlays, gross (total)	111	201	170
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-104	-170	-170
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	2		
4080	Outlays, net (discretionary)	7	31	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	7	31	

The Yard Fund finances the industrial operation of the Coast Guard Yard, Curtis Bay, MD (14 U.S.C. 939). The Yard Fund finances all direct and indirect costs for its operations out of payments from Coast Guard and other agency appropriations that are placed in the fund.

Object Classification (in millions of dollars)

Identification code 070-4743-0-4-403	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	37	43	43
11.5	Other personnel compensation	9	10	10
11.7	Military personnel	1	1	1
11.9	Total personnel compensation	47	54	54
12.1	Civilian personnel benefits	13	16	16
21.0	Travel and transportation of persons	1	2	1
23.3	Communications, utilities, and miscellaneous charges	5	13	9
25.2	Other services from non-Federal sources	1	3	2
25.4	Operation and maintenance of facilities	6	16	11
26.0	Supplies and materials	44	108	75
31.0	Equipment	1	3	2
99.9	Total new obligations, unexpired accounts	118	215	170

Employment Summary

Identification code 070-4743-0-4-403	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	604	604	632
2101	Reimbursable military average strength employment	12	12	12

Trust Funds

AQUATIC RESOURCES TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8147-0-7-403	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	695	704	685
Receipts:				
Current law:				
1110	Excise Taxes, Sport Fish Restoration, Aquatic Resources Trust Fund	574	577	582
1110	Customs Duties, Aquatic Resources Trust Fund	63	62	63
1140	Earnings on Investments, Aquatic Resources Trust Fund	23	15	15
1199	Total current law receipts	660	654	660
1999	Total receipts	660	654	660
2000	Total: Balances and receipts	1,355	1,358	1,345

Appropriations:				
Current law:				
2101	Sport Fish Restoration	-649	-660	-654
2103	Sport Fish Restoration	-29	-28	-27
2103	Boat Safety	-8	-7	-7
2103	Coastal Wetlands Restoration Trust Fund	-5	-5	-5
2132	Sport Fish Restoration	28	27	
2132	Boat Safety	7		
2132	Coastal Wetlands Restoration Trust Fund	5		
2199	Total current law appropriations	-651	-673	-693
2999	Total appropriations	-651	-673	-693
5099	Balance, end of year	704	685	652

Program and Financing (in millions of dollars)

Identification code 070-8147-0-7-403	2019 actual	2020 est.	2021 est.	
4180	Budget authority, net (total)			
4190	Outlays, net (total)			
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	1,946	1,997	2,006
5001	Total investments, EOY: Federal securities: Par value	1,997	2,006	2,016

The Internal Revenue Code of 1986, as amended by the Transportation Equity Act for the 21st Century and the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users, provides for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

BOAT SAFETY

Program and Financing (in millions of dollars)

Identification code 070-8149-0-7-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	State recreational boating safety programs	107	128	110
0002	Compliance and boating programs	8	8	8
0900	Total new obligations, unexpired accounts	115	136	118
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	6	10	
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	7	10	
Budget authority:				
Appropriations, mandatory:				
1203	Appropriation (previously unavailable)(special or trust)	8	7	7
1221	Appropriations transferred from other acct [014-8151]	117	119	118
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-7		
1260	Appropriations, mandatory (total)	118	126	125
1930	Total budgetary resources available	125	136	125
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	10		7
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	97	95	118
3010	New obligations, unexpired accounts	115	136	118
3020	Outlays (gross)	-116	-113	-125
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	95	118	111
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	97	95	118
3200	Obligated balance, end of year	95	118	111

BOAT SAFETY—Continued
Program and Financing—Continued

Identification code 070-8149-0-7-403	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	118	126	125
Outlays, gross:			
4100 Outlays from new mandatory authority	56	57	52
4101 Outlays from mandatory balances	60	56	73
4110 Outlays, gross (total)	116	113	125
4180 Budget authority, net (total)	118	126	125
4190 Outlays, net (total)	116	113	125

The Boat Safety account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. Pursuant to 16 U.S.C. 777c, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users (P.L. 109-59), the Boat Safety program receives 18.5 percent of the funds collected in the Sport Fish Restoration and Boating Safety Trust Fund.

Object Classification (in millions of dollars)

Identification code 070-8149-0-7-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	2	2	2
26.0 Supplies and materials	5	6	5
41.0 Grants, subsidies, and contributions	105	125	108
99.9 Total new obligations, unexpired accounts	115	136	118

Employment Summary

Identification code 070-8149-0-7-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	17	19	19

TRUST FUND SHARE OF EXPENSES

Program and Financing (in millions of dollars)

Identification code 070-8314-0-7-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating expenses	24	24	24
0002 Acquisition, construction and improvements	20	20	20
0003 Research, development, test and evaluation	1	1	1
0900 Total new obligations, unexpired accounts (object class 94.0)	45	45	45

Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	45	45	45
1930 Total budgetary resources available	45	45	45

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	45	45	45
3020 Outlays (gross)	-45	-45	-45

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	45	45	45
Outlays, gross:			
4010 Outlays from new discretionary authority	45	45	45
4180 Budget authority, net (total)	45	45	45
4190 Outlays, net (total)	45	45	45

The Trust Fund Share of Expenses account provides resources from the Oil Spill Liability Trust Fund for activities authorized in other accounts

including: Operations and Support; Procurement, Construction, and Improvements; and Research and Development.

GENERAL GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8533-0-7-403	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 General Gift Fund	2	3	3
2000 Total: Balances and receipts	2	3	3
Appropriations:			
Current law:			
2101 General Gift Fund	-2	-3	-3
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-8533-0-7-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Obligations by program activity	2	6	3
0900 Total new obligations, unexpired accounts (object class 26.0)	2	6	3

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	3	3
1930 Total budgetary resources available	5	6	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			3
3010 New obligations, unexpired accounts	2	6	3
3020 Outlays (gross)	-2	-3	-3
3050 Unpaid obligations, end of year		3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			3
3200 Obligated balance, end of year		3	3

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	2	3	3
4180 Budget authority, net (total)	2	3	3
4190 Outlays, net (total)	2	3	3

Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1	1	1
5001 Total investments, EOY: Federal securities: Par value	1	1	1

The General Gift Fund, maintained from gifts, devises, or bequests, is used for purposes as specified by the donor in connection with or benefit to the Coast Guard training program, as well as all other programs and activities permitted by law (10 U.S.C. 2601).

OIL SPILL LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-8185-0-7-304	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	6,308	6,570	7,155
Receipts:			
Current law:			
1110 Excise Taxes, Oil Spill Liability Trust Fund	156	502	679
1110 Fines and Penalties, OSLTF	77	89	89
1130 Recoveries, Oil Spill Liability Trust Fund	54	58	56

1140	Earnings on Investments	139	147	149
1199	Total current law receipts	426	796	973
1999	Total receipts	426	796	973
2000	Total: Balances and receipts	6,734	7,366	8,128
Appropriations:				
Current law:				
2101	Oil Spill Research	-15	-15	-13
2101	Inland Oil Spill Programs	-18	-19	-17
2101	Trust Fund Share of Pipeline Safety	-23	-23	-22
2101	Trust Fund Share of Expenses	-45	-45	-45
2101	Maritime Oil Spill Programs	-58	-101	-101
2101	Denali Commission Trust Fund	-3	-2	-2
2103	Maritime Oil Spill Programs	-7	-6	-6
2132	Maritime Oil Spill Programs	6		
2199	Total current law appropriations	-163	-211	-206
Proposed:				
2201	Denali Commission Trust Fund			2
2999	Total appropriations	-163	-211	-204
5098	Adjustment to reconcile to budgetary accounting	-1		
5099	Balance, end of year	6,570	7,155	7,924

Program and Financing (in millions of dollars)

Identification code 070-8185-0-7-304		2019 actual	2020 est.	2021 est.
4180	Budget authority, net (total)			
4190	Outlays, net (total)			
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	6,557	6,855	6,912
5001	Total investments, EOY: Federal securities: Par value	6,855	6,912	7,004

The Oil Spill Liability Trust Fund (OSLTF) is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Oil Pollution Act of 1990, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the Trust Fund Share of Expenses and Maritime Oil Spill Programs accounts. The OSLTF is funded by an excise tax on each barrel of oil produced domestically or imported.

Status of Funds (in millions of dollars)

Identification code 070-8185-0-7-304		2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:				
0100	Balance, start of year	6,578	6,820	7,397
0999	Total balance, start of year	6,578	6,820	7,397
Cash income during the year:				
Current law:				
Receipts:				
1110	Excise Taxes, Oil Spill Liability Trust Fund	156	502	679
1110	Fines and Penalties, OSLTF	77	89	89
1130	Inland Oil Spill Programs			10
1130	Recoveries, Oil Spill Liability Trust Fund	54	58	56
1150	Earnings on Investments	139	147	149
1160	Inland Oil Spill Programs	12	11	11
1199	Income under present law	438	807	994
1999	Total cash income	438	807	994
Cash outgo during year:				
Current law:				
2100	Oil Spill Research [Budget Acct]	-10	-14	-15
2100	Inland Oil Spill Programs [Budget Acct]	-26	-29	-39
2100	Trust Fund Share of Pipeline Safety [Budget Acct]	-32	-20	-23
2100	Trust Fund Share of Expenses [Budget Acct]	-45	-45	-45
2100	Maritime Oil Spill Programs [Budget Acct]	-78	-117	-107
2100	Denali Commission Trust Fund [Budget Acct]	-5	-5	-6
2199	Outgo under current law	-196	-230	-235
Proposed:				
2200	Denali Commission Trust Fund			1
2299	Outgo under proposed legislation			1
2999	Total cash outgo (-)	-196	-230	-234
Surplus or deficit:				
3110	Excluding interest	103	430	611
3120	Interest	139	147	149

3199	Subtotal, surplus or deficit	242	577	760
3999	Total change in fund balance	242	577	760
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	-35	485	1,153
4200	Oil Spill Liability Trust Fund	6,855	6,912	7,004
4999	Total balance, end of year	6,820	7,397	8,157

MARITIME OIL SPILL PROGRAMS

Program and Financing (in millions of dollars)

Identification code 070-8349-0-7-304		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Emergency fund	88	201	56
0002	Payment of claims	6	50	50
0003	Prince William Sound Oil Spill Recovery Institute	1	1	1
0900	Total new obligations, unexpired accounts (object class 25.2)	95	252	107

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	178	145	
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	181	145	
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	58	101	101
1203	Appropriation (previously unavailable)(special or trust)	7	6	6
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-6		
1260	Appropriations, mandatory (total)	59	107	107
1900	Budget authority (total)	59	107	107
1930	Total budgetary resources available	240	252	107
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	145		

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	42	56	191
3010	New obligations, unexpired accounts	95	252	107
3020	Outlays (gross)	-78	-117	-107
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	56	191	191
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	42	56	191
3200	Obligated balance, end of year	56	191	191

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	59	107	107
Outlays, gross:				
4100	Outlays from new mandatory authority	49	69	69
4101	Outlays from mandatory balances	29	48	38
4110	Outlays, gross (total)	78	117	107
4180	Budget authority, net (total)	59	107	107
4190	Outlays, net (total)	78	117	107

The Maritime Oil Spill Programs account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill cleanup, funding provided to the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The claims activity in this account will continue to be funded under separate permanent appropriations and are being displayed in a consolidated format to enhance presentation.

UNITED STATES SECRET SERVICE

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the United States Secret Service for operations and support, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; rental of buildings in the District of Columbia; fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; conduct of and participation in firearms matches; presentation of awards; conduct of behavioral research in support of protective intelligence and operations; payment in advance for commercial accommodations as may be necessary to perform protective functions; and payment, without regard to section 5702 of title 5, United States Code, of subsistence expenses of employees who are on protective missions, whether at or away from their duty stations; \$2,336,401,000; of which \$39,763,000 shall remain available until September 30, 2021, and of which \$6,000,000 shall be for a grant for activities related to investigations of missing and exploited children; and of which up to \$15,000,000 may be for calendar year 2019 premium pay in excess of the annual equivalent of the limitation on the rate of pay contained in section 5547(a) of title 5, United States Code, pursuant to section 2 of the Overtime Pay for Protective Services Act of 2016 (5 U.S.C. 5547 note), as amended by Public Law 115-383: *Provided*, That not to exceed \$19,125 shall be for official reception and representation expenses: *Provided further*, That not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in criminal investigations within the jurisdiction of the United States Secret Service.] (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0400-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0013 CAS - Mission Support	483	500
0014 CAS - Protective Operations	867	1,022
0015 CAS - Field Operations	687	704
0016 CAS - Basic and In-Service Training and Professional Development	99	110
0799 Total direct obligations	2,136	2,336
0801 Operating Expenses (Reimbursable)	17	27
0900 Total new obligations, unexpired accounts	2,153	2,363
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	50	61	51
1010 Unobligated balance transfer to other accts [070-0401]	-2
1012 Unobligated balance transfers between expired and unexpired accounts	8
1021 Recoveries of prior year unpaid obligations	4
1050 Unobligated balance (total)	60	61	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,148	2,336
1131 Unobligated balance of appropriations permanently reduced	-5	-1
1160 Appropriation, discretionary (total)	2,143	2,335
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	18
1701 Change in uncollected payments, Federal sources	20
1750 Spending auth from offsetting collections, disc (total)	27	18
1900 Budget authority (total)	2,170	2,353
1930 Total budgetary resources available	2,230	2,414	51
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-16
1941 Unexpired unobligated balance, end of year	61	51	51
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	495	640	595
3010 New obligations, unexpired accounts	2,153	2,363
3011 Obligations ("upward adjustments"), expired accounts	40
3020 Outlays (gross)	-2,005	-2,408	-594
3040 Recoveries of prior year unpaid obligations, unexpired	-4
3041 Recoveries of prior year unpaid obligations, expired	-39
3050 Unpaid obligations, end of year	640	595	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-43	-53	-53

3070 Change in uncollected pymts, Fed sources, unexpired	-20
3071 Change in uncollected pymts, Fed sources, expired	10
3090 Uncollected pymts, Fed sources, end of year	-53	-53	-53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	452	587	542
3200 Obligated balance, end of year	587	542	-52
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,170	2,353
Outlays, gross:			
4010 Outlays from new discretionary authority	1,685	1,845
4011 Outlays from discretionary balances	316	552	594
4020 Outlays, gross (total)	2,001	2,397	594
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-14	-18
4033 Non-Federal sources	-17
4040 Offsets against gross budget authority and outlays (total)	-31	-18
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-20
4052 Offsetting collections credited to expired accounts	24
4060 Additional offsets against budget authority only (total)	4
4070 Budget authority, net (discretionary)	2,143	2,335
4080 Outlays, net (discretionary)	1,970	2,379	594
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	4	11
4180 Budget authority, net (total)	2,143	2,335
4190 Outlays, net (total)	1,974	2,390	594

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,143	2,335
Outlays	1,974	2,390	594
Legislative proposal, not subject to PAYGO:			
Outlays	-594
Total:			
Budget Authority	2,143	2,335
Outlays	1,974	2,390

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Operations and Support account of the Department of the Treasury chapter for more information on this account.

Object Classification (in millions of dollars)

Identification code 070-0400-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	711	772
11.3 Other than full-time permanent	10	13
11.5 Other personnel compensation	279	242
11.9 Total personnel compensation	1,000	1,027
12.1 Civilian personnel benefits	429	469
21.0 Travel and transportation of persons	142	206
22.0 Transportation of things	8	13
23.1 Rental payments to GSA	103	105
23.2 Rental payments to others	6	5
23.3 Communications, utilities, and miscellaneous charges	34	50
25.2 Other services from non-Federal sources	161	259
25.3 Other goods and services from Federal sources	68	13
25.7 Operation and maintenance of equipment	32	2
26.0 Supplies and materials	35	60
31.0 Equipment	90	118
32.0 Land and structures	21	2
41.0 Grants, subsidies, and contributions	6	6
42.0 Insurance claims and indemnities	1	1
99.0 Direct obligations	2,136	2,336
99.0 Reimbursable obligations	17	27
99.9 Total new obligations, unexpired accounts	2,153	2,363

Employment Summary

Identification code 070-0400-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7,669	7,777

OPERATIONS AND SUPPORT
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0400-2-1-751	2019 actual	2020 est.	2021 est.
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Budgetary resources:
Unobligated balance:

1010 Unobligated balance transfer to other accts [020-0400]			-51
1930 Total budgetary resources available			-51

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year			-51
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Change in obligated balance:
Unpaid obligations:

3020 Outlays (gross)			594
3030 Unpaid obligations transferred to other accts [020-0400]			-595
3050 Unpaid obligations, end of year			-1

Uncollected payments:

3080 Uncollected pymts from Fed sources transferred to other accounts			53
3090 Uncollected pymts, Fed sources, end of year			53

Memorandum (non-add) entries:

3200 Obligated balance, end of year			52
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Budget authority and outlays, net:
Discretionary:

4011 Outlays from discretionary balances			-594
4180 Budget authority, net (total)
4190 Outlays, net (total)			-594

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

Program and Financing (in millions of dollars)

Identification code 070-0405-0-1-751	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0304 Mandatory-DC Annuity	260	265	265
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Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	17		
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Budget authority:

1200 Appropriations, mandatory:			
Appropriation	243	265	265
1930 Total budgetary resources available	260	265	265

Change in obligated balance:
Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	22	44	44
3010 New obligations, unexpired accounts	260	265	265
3020 Outlays (gross)	-238	-265	-287
3050 Unpaid obligations, end of year	44	44	22

Memorandum (non-add) entries:

3100 Obligated balance, start of year	22	44	44
3200 Obligated balance, end of year	44	44	22

Budget authority and outlays, net:
Mandatory:

4090 Budget authority, gross	243	265	265
Outlays, gross:			
4100 Outlays from new mandatory authority	216	243	243
4101 Outlays from mandatory balances	22	22	44
4110 Outlays, gross (total)	238	265	287
4180 Budget authority, net (total)	243	265	265
4190 Outlays, net (total)	238	265	287

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	243	265	265
Outlays	238	265	287
Legislative proposal, not subject to PAYGO:			
Budget Authority			-265
Outlays			-287
Total:			
Budget Authority	243	265
Outlays	238	265

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Contribution for Annuity Benefits account of the Department of the Treasury chapter for more information on this account.

Object Classification (in millions of dollars)

Identification code 070-0405-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services			
payments	216	220	220
12.1 Civilian personnel benefits	44	45	45
99.9 Total new obligations, unexpired accounts	260	265	265

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0405-2-1-751	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0304 Mandatory-DC Annuity			-265
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Budgetary resources:
Budget authority:

1200 Appropriations, mandatory:			
Appropriation			-265
1930 Total budgetary resources available			-265

Change in obligated balance:
Unpaid obligations:

3010 New obligations, unexpired accounts			-265
3020 Outlays (gross)			287
3030 Unpaid obligations transferred to other accts [020-0405]			-44
3050 Unpaid obligations, end of year			-22

Memorandum (non-add) entries:

3200 Obligated balance, end of year			-22
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Budget authority and outlays, net:
Mandatory:

4090 Budget authority, gross			-265
Outlays, gross:			
4100 Outlays from new mandatory authority			-243
4101 Outlays from mandatory balances			-44
4110 Outlays, gross (total)			-287
4180 Budget authority, net (total)			-265
4190 Outlays, net (total)			-287

Object Classification (in millions of dollars)

Identification code 070-0405-2-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services			
payments			-220
12.1 Civilian personnel benefits			-45
99.9 Total new obligations, unexpired accounts			-265

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

【For necessary expenses of the United States Secret Service for procurement, construction, and improvements, \$66,989,000, to remain available until September 30, 2022.】 (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0401-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 CAS - Protection Infrastructure	90	66
0007 CAS - Operational Communications/Information Technology	17
0008 CAS - Construction and Facility Improvements	3	1
0900 Total new obligations, unexpired accounts	110	67
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	14	14
1011 Unobligated balance transfer from other acct [070-0400]	2
1050 Unobligated balance (total)	28	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	97	67
1930 Total budgetary resources available	125	81	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	14	14	14

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	213	204	75
3010 New obligations, unexpired accounts	110	67
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-119	-196	-65
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	204	75	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	213	204	75
3200 Obligated balance, end of year	204	75	10

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	97	67
Outlays, gross:			
4010 Outlays from new discretionary authority	27	57
4011 Outlays from discretionary balances	92	139	65
4020 Outlays, gross (total)	119	196	65
4180 Budget authority, net (total)	97	67
4190 Outlays, net (total)	119	196	65

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	97	67
Outlays	119	196	65
Legislative proposal, not subject to PAYGO:			
Outlays	-65
Total:			
Budget Authority	97	67
Outlays	119	196

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Procurement, Construction, and Improvements account of the Department of the Treasury chapter for more information on this account.

Object Classification (in millions of dollars)

Identification code 070-0401-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	66	32
31.0 Equipment	44	35
99.9 Total new obligations, unexpired accounts	110	67

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0401-2-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [020-0402]	-14
1930 Total budgetary resources available	-14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	-14
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)	65
3030 Unpaid obligations transferred to other accts [020-0402]	-75
3050 Unpaid obligations, end of year	-10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	-10
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	-65
4180 Budget authority, net (total)
4190 Outlays, net (total)	-65

RESEARCH AND DEVELOPMENT

【For necessary expenses of the United States Secret Service for research and development, \$12,455,000, to remain available until September 30, 2021.】 (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0804-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Protection	2	11
0900 Total new obligations, unexpired accounts (object class 25.2)	2	11
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	12
1930 Total budgetary resources available	3	13	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	2

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	2
3010 New obligations, unexpired accounts	2	11
3020 Outlays (gross)	-1	-12	-2
3050 Unpaid obligations, end of year	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	2
3200 Obligated balance, end of year	3	2

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	12
Outlays, gross:			
4010 Outlays from new discretionary authority	10
4011 Outlays from discretionary balances	1	2	2
4020 Outlays, gross (total)	1	12	2
4180 Budget authority, net (total)	3	12
4190 Outlays, net (total)	1	12	2

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3	12
Outlays	1	12	2
Legislative proposal, not subject to PAYGO:			
Outlays			-2
Total:			
Budget Authority	3	12
Outlays	1	12

The President's Budget proposes to transfer this account to the Department of the Treasury. Please consult the United States Secret Service - Research and Development account of the Department of the Treasury chapter for more information on this account.

RESEARCH AND DEVELOPMENT

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-0804-2-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [020-0804]			-2
1930 Total budgetary resources available			-2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-2
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)			2
3030 Unpaid obligations transferred to other accts [020-0804]			-2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances			-2
4180 Budget authority, net (total)
4190 Outlays, net (total)			-2

ADMINISTRATIVE PROVISIONS

SEC. 201. Section 201 of the Department of Homeland Security Appropriations Act, 2018 (division F of Public Law 115-141), related to overtime compensation limitations, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act, except that "fiscal year [2020] 2021" shall be substituted for "fiscal year 2018" and paragraph (b)(2) shall not apply.

SEC. 202. Funding made available under the headings "U.S. Customs and Border Protection-Operations and Support" and "U.S. Customs and Border Protection-Procurement, Construction, and Improvements" shall be available for customs expenses when necessary to maintain operations and prevent adverse personnel actions in Puerto Rico and the U.S. Virgin Islands, in addition to funding provided by sections 740 and 1406i of title 48, United States Code.

SEC. 203. As authorized by section 601(b) of the United States-Colombia Trade Promotion Agreement Implementation Act (Public Law 112-42), and section 32201 of the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94), fees collected from passengers arriving from Canada, Mexico, or an adjacent island pursuant to section 13031(a)(5) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)(5)) shall be available until expended.

SEC. 204. For an additional amount for "U.S. Customs and Border Protection-Operations and Support", \$31,000,000, to remain available until expended, to be reduced by amounts collected and credited to this appropriation in fiscal year [2020] 2021 from amounts authorized to be collected by section 286(i) of the Immigration and Nationality Act (8 U.S.C. 1356(i)), section 10412 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8311), and section 817 of the Trade Facilitation and Trade Enforcement Act of 2015 (Public Law 114-25), or other such authorizing language: *Provided*, That to the extent that amounts realized from such collections exceed \$31,000,000, those amounts in excess of \$31,000,000 shall be credited to this appropriation, to remain available until expended.

SEC. 205. None of the funds made available in this Act for U.S. Customs and Border Protection may be used to prevent an individual not in the business of importing a prescription drug (within the meaning of section 801(g) of the Federal Food, Drug, and Cosmetic Act) from importing a prescription drug from Canada that complies with the Federal Food, Drug, and Cosmetic Act: *Provided*, That this section shall apply only to individuals transporting on their person a personal-use quantity of the prescription drug, not to exceed a 90-day supply: *Provided further*, That the prescription drug may not be—

- (1) a controlled substance, as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802); or
- (2) a biological product, as defined in section 351 of the Public Health Service Act (42 U.S.C. 262).

SEC. 206. Notwithstanding any other provision of law, none of the funds provided in this or any other Act shall be used to approve a waiver of the navigation and vessel-inspection laws pursuant to section 501(b) of title 46, United States Code, for the transportation of crude oil distributed from and to the Strategic Petroleum Reserve until the Secretary of Homeland Security, after consultation with the Secretaries of the Departments of Energy and Transportation and representatives from the United States flag maritime industry, takes adequate measures to ensure the use of United States flag vessels: *Provided*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives, the Committee on Commerce, Science, and Transportation of the Senate, and the Committee on Transportation and Infrastructure of the House of Representatives within 2 business days of any request for waivers of navigation and vessel-inspection laws pursuant to section 501(b) of title 46, United States Code, with respect to such transportation, and the disposition of such requests.

SEC. 207. (a) Beginning on the date of enactment of this Act, the Secretary of Homeland Security shall not—

- (1) establish, collect, or otherwise impose any new border crossing fee on individuals crossing the Southern border or the Northern border at a land port of entry; or
- (2) conduct any study relating to the imposition of a border crossing fee.

(b) In this section, the term "border crossing fee" means a fee that every pedestrian, cyclist, and driver and passenger of a private motor vehicle is required to pay for the privilege of crossing the Southern border or the Northern border at a land port of entry.]

SEC. 208. Not later than 90 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit an expenditure plan for any amounts made available for "U.S. Customs and Border Protection-Procurement, Construction, and Improvements" in this Act and prior Acts to the Committees on Appropriations of the Senate and the House of Representatives: *Provided*, That no such amounts may be obligated prior to the submission of such plan.]

SEC. 209. (a) Of the total amount made available under "U.S. Customs and Border Protection-Procurement, Construction, and Improvements", \$1,904,468,000 shall be available only as follows:

- (1) \$1,375,000,000 for the construction of barrier system along the southwest border;
- (2) \$221,912,000 for the acquisition and deployment of border security technologies and trade and travel assets and infrastructure;
- (3) \$62,364,000 for facility construction and improvements;
- (4) \$199,519,000 for integrated operations assets and infrastructure; and
- (5) \$45,673,000 for mission support and infrastructure.

(b) The amount designated in subsection (a)(1) shall only be available for barrier systems that—

- (1) use—
 - (A) operationally effective designs deployed as of the date of enactment of the Consolidated Appropriations Act, 2017 (Public Law 115-31), such as currently deployed steel bollard designs, that prioritize agent safety; or
 - (B) operationally effective adaptations of such designs that help mitigate community or environmental impacts of barrier system construction, including adaptations based on consultation with jurisdictions within which barrier system will be constructed; and
- (2) are constructed in the highest priority locations as identified in the Border Security Improvement Plan.

(c) The Chief of the U.S. Border Patrol shall—

- (1) provide a plan to the Committees on Appropriations of the Senate and the House of Representatives for the use of the amounts provided in subsection (a)(1) within 30 days of the date of enactment of this Act; and
- (2) notify such Committees of any amendment to the highest priority locations identified for the use of the amount provided in subsection (a)(1) within 5 days of such amendment.

(d) Consultation with a jurisdiction under subsection (b)(2) shall not exceed 90 calendar days after such jurisdiction has been notified that U.S. Customs and Border Protection is entering into such consultation, unless an extension of time is agreed to by such agency and such jurisdiction.

(e) Not later than 180 days after the date of enactment of this Act, the Secretary of Homeland Security shall submit to the Committee on Appropriations of the Senate, the Committee on Appropriations of the House of Representatives, and the Comptroller General of the United States an updated risk-based plan for improving security along the borders of the United States that includes the elements required under subsection (a) of section 231 of division F of the Consolidated Appropriations Act, 2018 (Public Law 115–141), which shall be evaluated in accordance with subsection (b) of such section.】

【SEC. 210. Federal funds may not be made available for the construction of fencing—

- (1) within the Santa Ana Wildlife Refuge;
- (2) within the Bentsen-Rio Grande Valley State Park;
- (3) within La Lomita Historical park;
- (4) within the National Butterfly Center;
- (5) within or east of the Vista del Mar Ranch tract of the Lower Rio Grande Valley National Wildlife Refuge; or
- (6) within historic cemeteries.】

【SEC. 211. Funds made available in this Act may be used to alter operations within the National Targeting Center of U.S. Customs and Border Protection: *Provided*, That none of the funds provided by this Act, provided by previous appropriations Acts that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, may be used to reduce anticipated or planned vetting operations at existing locations unless specifically authorized by a statute enacted after the date of enactment of this Act.】

【SEC. 212. (a) Of the amounts made available by this Act for "U.S. Customs and Border Protection-Operations and Support"—

- (1) \$173,000,000 is for humanitarian care;
- (2) \$30,000,000 is to address health, life, and safety issues at existing Border Patrol facilities, including construction, and for improved video recording capabilities; *Provided*, That such amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(b) Of the amounts made available by this Act for "U.S. Customs and Border Protection-Procurement, Construction, and Improvements", \$30,000,000 is for the development of an agency-wide electronic health records system; *Provided*, That such amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(c) The amounts in subsection (b) may not be obligated until the Department of Homeland Security Chief Medical Officer provides written certification of compliance with the requirements described in the explanatory statement accompanying this Act concerning electronic health records to the Committees on Appropriations of the Senate and the House of Representatives.】

SEC. 【213】207. Without regard to the limitation as to time and condition of section 503(d) of this Act, the Secretary may reprogram within and transfer funds to "U.S. Immigration and Customs Enforcement-Operations and Support" as necessary to ensure the detention of aliens prioritized for removal.

SEC. 【214】208. None of the funds provided under the heading "U.S. Immigration and Customs Enforcement-Operations and Support" may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security Inspector General determines that the terms of the agreement governing the delegation of authority have been materially violated.

SEC. 【215】209. (a) None of the funds provided under the heading "U.S. Immigration and Customs Enforcement-Operations and Support" may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system.

(b) Beginning not later than January 1, 2021, the performance evaluations referenced in subsection (a) shall be conducted by the U.S. Immigration and Customs Enforcement Office of Professional Responsibility.

【SEC. 216. (a) None of the funds provided by this Act or any other Act, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, may be used by the Secretary of Homeland Security to place in detention, remove, refer for a decision whether to initiate removal proceedings, or initiate removal proceedings against a sponsor, potential sponsor, or member of a household of a sponsor or potential sponsor of an

unaccompanied alien child (as defined in section 462(g) of the Homeland Security Act of 2002 (6 U.S.C. 279(g))) based on information shared by the Secretary of Health and Human Services.

(b) Subsection (a) shall not apply if a background check of a sponsor, potential sponsor, or member of a household of a sponsor or potential sponsor reveals—

- (1) a felony conviction or pending felony charge that relates to—
 - (A) an aggravated felony (as defined in section 101(a)(43) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(43)));
 - (B) child abuse;
 - (C) sexual violence or abuse; or
 - (D) child pornography;
- (2) an association with any business that employs a minor who—
 - (A) is unrelated to the sponsor, potential sponsor, or member of a household of a sponsor or potential sponsor; and
 - (B) is—
 - (i) not paid a legal wage; or
 - (ii) unable to attend school due to the employment; or
- (3) an association with the organization or implementation of prostitution.】

SEC. 【217】210. Not later than 45 days after the date of enactment of this Act, the Director of U.S. Immigration and Customs Enforcement shall submit to the Committees on Appropriations of the Senate and the House of Representatives, and make available on a publicly accessible website, a report describing agreements pursuant to section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) which shall include —

- (1) detailed information relating to the community outreach activities of each participating jurisdiction pursuant to such agreement, including the membership and activities of any community-based steering committee established by such jurisdiction;
- (2) the number of individuals placed into removal proceedings pursuant to each such agreement;
- (3) data on the performance of the officers or employees of a State or political subdivision thereof under each such agreement, including the nationality and level of criminality of the individuals described in paragraph (2); and
- (4) information relating to any future plans to increase the number of such agreements or expand the scope of such agreements through the introduction of new operations pursuant to such section.

SEC. 【218】211. Not later than 7 days after the date of enactment of this Act and updated semimonthly thereafter, the Director of U.S. Immigration and Customs Enforcement shall make available a report, on a publicly accessible website in a downloadable, searchable, and sortable format, with not less than the previous twelve months of semimonthly data as of the last date of each such reporting period; on—

- (1) aliens detained by such agency, including data disaggregated by single adults and members of family units on—
 - (A) the average fiscal year-to-date daily populations of aliens detained;
 - (B) the daily count of aliens detained;
 - (C) the fiscal year-to-date total for book-ins;
 - (D) the average lengths of stay, including average post-determination length of stay in the case of detainees described in subparagraph (F);
 - (E) the number transferred to the custody of U.S. Immigration and Customs Enforcement by U.S. Customs and Border Protection after being—
 - (i) deemed inadmissible at a port of entry or after being apprehended within 14 days of entering the United States; or
 - (ii) arrested by U.S. Immigration and Customs Enforcement;
 - (F) the number determined to have a credible or reasonable fear of—
 - (i) persecution, as defined in section 235(b)(1)(B)(v) of the Immigration and Nationality Act; or
 - (ii) torture, as defined in section 208.30 of title 8, Code of Federal Regulations (as in effect on January 1, 2018); and
 - (G) the number who have been issued a Notice to Appear pursuant to section 239 of the Immigration and Nationality Act, disaggregated by single adults and members of family units;
- (2) the total number of enrollees in the Alternatives to Detention program and the average length of participation, disaggregated by—
 - (A) single adults and family heads of household;
 - (B) participants in the family case management program;
 - (C) level of supervision; and
 - (D) location of supervision, by field office;
- (3) for each facility where aliens are detained by U.S. Immigration and Customs Enforcement—
 - (A) the address;
 - (B) the field offices that assign detainees to the facility;

(C) the detailed facility type, as defined in the integrated decision support system;

(D) the gender of aliens detained;

(E) the average daily population of detainees within each detainee classification level, as defined in the integrated decision support system;

(F) the average daily population of individuals within each threat level, as defined in the integrated decision support system;

(G) the average daily population within each criminality category, as defined in the integrated decision support system, disaggregated by gender;

(H) the average length of stay;

(I) the average daily population of individuals whose detention is classified as mandatory;

(J) the performance standards to which the facility is held;

(K) the date of the two most recent inspections, the entity that performed each inspection, and a detailed summary of the results of such inspections; and

(L) the guaranteed minimum detention capacity, if applicable; and

(4) the total number of releases from custody, by condition of release, and total number of removals, disaggregated by adult facilities and family facilities.

SEC. [219]212. Members of the United States House of Representatives and the United States Senate, including the leadership; the heads of Federal agencies and commissions, including the Secretary, Deputy Secretary, Under Secretaries, and Assistant Secretaries of the Department of Homeland Security; the United States Attorney General, Deputy Attorney General, Assistant Attorneys General, and the United States Attorneys; and senior members of the Executive Office of the President, including the Director of the Office of Management and Budget, shall not be exempt from Federal passenger and baggage screening.

SEC. [220]213. Any award by the Transportation Security Administration to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness.

SEC. [221]214. Notwithstanding section 44923 of title 49, United States Code, for fiscal year [2020] 2021, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a) of such title.

SEC. [222]215. None of the funds made available by this or any other Act may be used by the Administrator of the Transportation Security Administration to implement, administer, or enforce, in abrogation of the responsibility described in section 44903(n)(1) of title 49, United States Code, any requirement that airport operators provide airport-financed staffing to monitor exit points from the sterile area of any airport at which the Transportation Security Administration provided such monitoring as of December 1, 2013.

SEC. [223]216. Not later than 30 days after the submission of the President's budget proposal, the Administrator of the Transportation Security Administration shall submit to the Committees on Appropriations and Commerce, Science, and Transportation of the Senate and the Committees on Appropriations and Homeland Security in the House of Representatives a single report that fulfills the following requirements:

(1) a Capital Investment Plan (CIP) that includes a plan for continuous and sustained capital investment in new, and the replacement of aged, transportation security equipment;

(2) the 5-year technology investment plan as required by section 1611 of title XVI of the Homeland Security Act of 2002, as amended by section 3 of the Transportation Security Acquisition Reform Act (Public Law 113-245); and

(3) the Advanced Integrated Passenger Screening Technologies report as required by the Senate Report accompanying the Department of Homeland Security Appropriations Act, 2019 (Senate Report 115-283).

SEC. [224]217. None of the funds made available by this Act under the heading "Coast Guard-Operations and Support" shall be for expenses incurred for recreational vessels under section 12114 of title 46, United States Code, except to the extent fees are collected from owners of yachts and credited to the appropriation made available by this Act under the heading "Coast Guard-Operations and Support": *Provided*, That to the extent such fees are insufficient to pay expenses of recreational vessel documentation under such section 12114, and there is a backlog of recreational vessel applications, personnel performing non-recreational vessel documentation functions under subchapter II of chapter 121 of title 46, United States Code, may perform documentation under section 12114.

SEC. [225]218. Without regard to the limitation as to time and condition of section 503(d) of this Act, after June 30, up to \$10,000,000 may be reprogrammed to or

from the Military Pay and Allowances funding category within "Coast Guard-Operations and Support" in accordance with subsection (a) of section 503 of this Act.

SEC. [226]219. Notwithstanding any other provision of law, the Commandant of the Coast Guard shall submit to the Committees on Appropriations of the Senate and the House of Representatives a future-years capital investment plan as described in the second proviso under the heading "Coast Guard-Acquisition, Construction, and Improvements" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114-4), which shall be subject to the requirements in the third and fourth provisos under such heading.

SEC. 227. Funds made available for Overseas Contingency Operations/Global War on Terrorism under the heading "Coast Guard-Operations and Support" may be allocated by program, project, and activity, notwithstanding section 503 of this Act.

SEC. [228]220. None of the funds in this Act shall be used to reduce the Coast Guard's Operations Systems Center mission or its government-employed or contract staff levels.

SEC. 229. None of the funds appropriated by this Act may be used to conduct, or to implement the results of, a competition under Office of Management and Budget Circular A-76 for activities performed with respect to the Coast Guard National Vessel Documentation Center.

SEC. [230]221. Funds made available in this Act may be used to alter operations within the Civil Engineering Program of the Coast Guard nationwide, including civil engineering units, facilities design and construction centers, maintenance and logistics commands, and the Coast Guard Academy, except that none of the funds provided in this Act may be used to reduce operations within any civil engineering unit unless specifically authorized by a statute enacted after the date of enactment of this Act.

SEC. 231. (a) Any discretionary amounts appropriated in this Act in the current fiscal year and any fiscal year thereafter may be obligated for death gratuity payments, as authorized in subchapter II of chapter 75 of title 10, United States Code.

(b) Subsection (a) shall only apply if an appropriation for "Coast Guard-Operations and Support" is unavailable for obligation for such payments.

(c) Such obligations shall subsequently be recorded against appropriations that become available for "Coast Guard-Operations and Support".

SEC. 232. Beginning in fiscal year 2021 and for each fiscal year thereafter, amounts credited to the Coast Guard Housing Fund pursuant to paragraphs (3) through (5) of subsection (b) of section 2946 of title 14, United States Code, shall be classified as discretionary offsetting receipts.

SEC. 233. The United States Secret Service is authorized to obligate funds in anticipation of reimbursements from executive agencies, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under the heading "United States Secret Service-Operations and Support" at the end of the fiscal year.

SEC. 234. None of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: *Provided*, That the Director of the United States Secret Service may enter into agreements to provide such protection on a fully reimbursable basis.

SEC. 235. For purposes of section 503(a)(3) of this Act, up to \$15,000,000 may be reprogrammed within "United States Secret Service-Operations and Support".

SEC. 236. Funding made available in this Act for "United States Secret Service-Operations and Support" is available for travel of United States Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act if the Director of the United States Secret Service or a designee notifies the Committees on Appropriations of the Senate and the House of Representatives 10 or more days in advance, or as early as practicable, prior to such expenditures. (*Department of Homeland Security Appropriations Act, 2020.*)

CYBERSECURITY AND INFRASTRUCTURE SECURITY AGENCY

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for operations and support, **[\$1,566,229,000]** \$1,437,888,000, of which **[\$31,793,000]** \$9,055,000 shall remain available until September 30, [2021] 2022: *Provided*, That not to exceed \$3,825 shall be for official reception and representation expenses: *Provided further*, That amounts made available under this heading shall be available for the necessary expenses of carrying out the competition specified in section 2(e) of Executive Order No. 13870 (May 2, 2019), including the provision

OPERATIONS AND SUPPORT—Continued

of monetary and non-monetary awards for Federal civilian employees and members of the uniformed services, the necessary expenses for the honorary recognition of any award recipients, and activities to encourage participation in the competition, including promotional items: Provided further, That any awards made pursuant to the previous proviso shall be of the same type and amount as those authorized under sections 4501 through 4505 of title 5, United States Code. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0566-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 CAS - Mission Support	80	85	141
0003 CAS - Cybersecurity	781	943	802
0004 CAS - Infrastructure Protection	199	223
0005 CAS - Emergency Communications	118	119	116
0006 CAS - Integrated Operations	159	192	167
0007 CAS - Infrastructure Security	88
0008 CAS - Risk Management Operations	86
0009 CAS - Stakeholder Engagement and Requirements	38
0799 Total direct obligations	1,337	1,562	1,438
0801 Reimbursable program activity	7	16
0900 Total new obligations, unexpired accounts	1,344	1,578	1,438
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	7	9
1012 Unobligated balance transfers between expired and unexpired accounts	2	2	2
1050 Unobligated balance (total)	4	9	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,346	1,566	1,438
1131 Unobligated balance of appropriations permanently reduced	-2	-4
1160 Appropriation, discretionary (total)	1,344	1,562	1,438
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	5
1701 Change in uncollected payments, Federal sources	10	11
1750 Spending auth from offsetting collections, disc (total)	11	16
1900 Budget authority (total)	1,355	1,578	1,438
1930 Total budgetary resources available	1,359	1,587	1,449
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8
1941 Unexpired unobligated balance, end of year	7	9	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	962	954	1,385
3010 New obligations, unexpired accounts	1,344	1,578	1,438
3011 Obligations ("upward adjustments"), expired accounts	5
3020 Outlays (gross)	-1,344	-1,147	-1,493
3041 Recoveries of prior year unpaid obligations, expired	-13
3050 Unpaid obligations, end of year	954	1,385	1,330
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-29	-27	-38
3070 Change in uncollected pymts, Fed sources, unexpired	-10	-11
3071 Change in uncollected pymts, Fed sources, expired	12
3090 Uncollected pymts, Fed sources, end of year	-27	-38	-38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	933	927	1,347
3200 Obligated balance, end of year	927	1,347	1,292
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,355	1,578	1,438
Outlays, gross:			
4010 Outlays from new discretionary authority	596	784	719
4011 Outlays from discretionary balances	748	363	774
4020 Outlays, gross (total)	1,344	1,147	1,493
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-13	-5
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-14	-5

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-10	-11
4052 Offsetting collections credited to expired accounts	13
4060 Additional offsets against budget authority only (total)	3	-11
4070 Budget authority, net (discretionary)	1,344	1,562	1,438
4080 Outlays, net (discretionary)	1,330	1,142	1,493
4180 Budget authority, net (total)	1,344	1,562	1,438
4190 Outlays, net (total)	1,330	1,142	1,493

The Cybersecurity and Infrastructure Security Agency (CISA) leads efforts to protect the Nation's critical infrastructure against cyber and physical threats, including terrorist attacks, cyber incidents, natural disasters, and other catastrophic incidents. The Operations and Support Account funds the necessary operations, mission support, and associated management and administration costs for the Agency.

Object Classification (in millions of dollars)

Identification code 070-0566-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	236	232	283
11.3 Other than full-time permanent	5	4	6
11.5 Other personnel compensation	5	5	7
11.9 Total personnel compensation	246	241	296
12.1 Civilian personnel benefits	91	91	105
21.0 Travel and transportation of persons	11	11	15
22.0 Transportation of things	1	1	3
23.1 Rental payments to GSA	27	24	15
23.2 Rental payments to others	1	1	2
23.3 Communications, utilities, and miscellaneous charges	1	1	5
24.0 Printing and reproduction	1	1
25.1 Advisory and assistance services	514	761	460
25.2 Other services from non-Federal sources	10	9	15
25.3 Other goods and services from Federal sources	297	296	411
25.4 Operation and maintenance of facilities	3	2	7
25.7 Operation and maintenance of equipment	80	72	55
26.0 Supplies and materials	1	1	7
31.0 Equipment	37	38	21
32.0 Land and structures	3	2	7
41.0 Grants, subsidies, and contributions	13	10	14
99.0 Direct obligations	1,337	1,562	1,438
99.0 Reimbursable obligations	7	16
99.9 Total new obligations, unexpired accounts	1,344	1,578	1,438

Employment Summary

Identification code 070-0566-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,946	2,072	2,072

INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY

Program and Financing (in millions of dollars)

Identification code 070-0565-0-1-054	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	158	95	5
3020 Outlays (gross)	-43	-90
3041 Recoveries of prior year unpaid obligations, expired	-20
3050 Unpaid obligations, end of year	95	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	158	95	5
3200 Obligated balance, end of year	95	5	5
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	43	90
4180 Budget authority, net (total)

4190	Outlays, net (total)	43	90	
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31.0	Equipment	24	15	15
32.0	Land and structures	1	1	1
99.9	Total new obligations, unexpired accounts	486	435	313

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for procurement, construction, and improvements, **[\$434,962,000]** \$313,479,000, to remain available until September 30, **[2022]** 2023. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0412-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Cybersecurity	435	379	265
0002 CAS - Emergency Communications	46	51	41
0005 CAS - Infrastructure Protection	5	5	7
0900 Total new obligations, unexpired accounts	486	435	313
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	266	111	111
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	278	111	111
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	323	435	313
1120 Appropriations transferred to other acct [070-0540]	-4		
1160 Appropriation, discretionary (total)	319	435	313
1930 Total budgetary resources available	597	546	424
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	111	111	111
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	388	420	278
3010 New obligations, unexpired accounts	486	435	313
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-442	-577	-346
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	420	278	245
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	388	420	278
3200 Obligated balance, end of year	420	278	245
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	319	435	313
Outlays, gross:			
4010 Outlays from new discretionary authority	14	218	156
4011 Outlays from discretionary balances	428	359	190
4020 Outlays, gross (total)	442	577	346
4180 Budget authority, net (total)	319	435	313
4190 Outlays, net (total)	442	577	346

Procurement, Construction, and Improvements (PC&I) provides the funds necessary for the manufacture, purchase, or enhancement of one or more assets prior to sustainment. This funding supports the investments needed to enhance the security and resilience of infrastructure against terrorist attacks, cyber events, and natural disasters. Secure and resilient infrastructure is essential for national security, economic vitality, and public health and safety. This includes activities to understand and manage risk from natural disaster.

Object Classification (in millions of dollars)

Identification code 070-0412-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.1 Rental payments to GSA	1		
23.2 Rental payments to others	1		
25.1 Advisory and assistance services	203	264	142
25.3 Other goods and services from Federal sources	108	65	65
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	146	88	88

RESEARCH AND DEVELOPMENT

For necessary expenses of the Cybersecurity and Infrastructure Security Agency for research and development, **[\$14,431,000]** \$6,431,000, to remain available until September 30, **[2021]** 2022. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0805-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Cybersecurity	4		
0002 CAS - Infrastructure Protection	7	1	
0003 CAS - Integrated Operations R&D	5	13	
0004 CAS - Risk Management R&D			5
0005 CAS - Infrastructure Security R&D			1
0900 Total new obligations, unexpired accounts	16	14	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13	14	6
1930 Total budgetary resources available	21	19	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	17	7
3010 New obligations, unexpired accounts	16	14	6
3020 Outlays (gross)	-5	-24	-10
3050 Unpaid obligations, end of year	17	7	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	17	7
3200 Obligated balance, end of year	17	7	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13	14	6
Outlays, gross:			
4010 Outlays from new discretionary authority		8	4
4011 Outlays from discretionary balances	5	16	6
4020 Outlays, gross (total)	5	24	10
4180 Budget authority, net (total)	13	14	6
4190 Outlays, net (total)	5	24	10

Research and Development includes the funds necessary for supporting the search for new or refined knowledge and ideas, and for the application or use of such knowledge and ideas for the development of new or improved products, processes, or capabilities. These resources fund capability development in support of the Cybersecurity and Infrastructure Security Agency's (CISA) infrastructure security, and analytics initiatives.

Object Classification (in millions of dollars)

Identification code 070-0805-0-1-054	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	11		
25.2 Other services from non-Federal sources	1	3	2
25.3 Other goods and services from Federal sources	3	9	2
25.5 Research and development contracts	1	2	2
99.9 Total new obligations, unexpired accounts	16	14	6

OFFICE OF HEALTH AFFAIRS

Federal Funds

OPERATIONS AND SUPPORT

Program and Financing (in millions of dollars)

Identification code 070-0117-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Biodefense activities	4		
0799 Total direct obligations	4		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4		
1930 Total budgetary resources available	4		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	113	36	3
3010 New obligations, unexpired accounts	4		
3020 Outlays (gross)	-79	-33	
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	36	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-17	-3	-3
3071 Change in uncollected pymts, Fed sources, expired	14		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	96	33	
3200 Obligated balance, end of year	33		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	79	33	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-14		
4040 Offsets against gross budget authority and outlays (total)	-14		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	14		
4060 Additional offsets against budget authority only (total)	14		
4080 Outlays, net (discretionary)	65	33	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	65	33	

In December 2017, the Department established the Countering Weapons of Mass Destruction (CWMD) Office, and core functions performed under the former Office of Health Affairs (OHA) were transferred to CWMD. Starting in 2019, funding associated with the Workforce Health and Safety program activities supporting DHS personnel is requested in the Management Directorate's Operations and Support account for the Office of the Chief Human Capital Officer. All other funding associated with the OHA O&S account is requested in CWMD.

Object Classification (in millions of dollars)

Identification code 070-0117-0-1-453	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	3		
25.3 Other goods and services from Federal sources	1		
99.0 Direct obligations	4		
99.9 Total new obligations, unexpired accounts	4		

FEDERAL EMERGENCY MANAGEMENT AGENCY

Federal Funds

FEDERAL ASSISTANCE

(INCLUDING TRANSFER OF FUNDS)

For [activities] *necessary expenses* of the Federal Emergency Management Agency for Federal assistance through grants, contracts, cooperative agreements, and other activities, [\$3,178,467,000] \$2,482,552,000, which shall be allocated as follows:

(1) [\$560,000,000] \$331,939,000 for the State Homeland Security Grant Program under section 2004 of the Homeland Security Act of 2002 (6 U.S.C. 605) [, of which \$90,000,000 shall be for Operation Stonegarden, \$15,000,000 shall be for Tribal Homeland Security Grants under section 2005 of the Homeland Security Act of 2002 (6 U.S.C. 606), and \$40,000,000 shall be]: *Provided, That these funds may be used in such amounts as the Secretary of Homeland Security may determine for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary [of Homeland Security] to be at high risk of a terrorist attack: Provided further, That notwithstanding subsection (c)(4) of such section 2004, for fiscal year [2020] 2021, the Commonwealth of Puerto Rico shall make available to local and tribal governments amounts provided to the Commonwealth of Puerto Rico under this paragraph in accordance with subsection (c)(1) of such section 2004.*

(2) [\$665,000,000] \$426,461,000 for the Urban Area Security Initiative under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604) [, of which \$50,000,000 shall be]: *Provided, That these funds may be used in such amounts as the Secretary of Homeland Security may determine for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary [of Homeland Security] to be at high risk of a terrorist attack.*

(3) [\$100,000,000] \$36,358,000 for Public Transportation Security Assistance, Railroad Security Assistance, and Over-the-Road Bus Security Assistance under sections 1406, 1513, and 1532 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1135, 1163, and 1182) [, of which \$10,000,000 shall be for Amtrak security and \$2,000,000 shall be for Over-the-Road Bus Security]: *Provided, That such public transportation security assistance shall be provided directly to public transportation agencies.*

(4) [\$100,000,000] \$36,358,000 for Port Security Grants in accordance with section 70107 of title 46, United States Code.

(5) \$406,909,000, to remain available until September 30, 2022, for the National Security and Resilience Grant Program to provide financial assistance on a competitive basis to non-Federal entities to address specific, existing, and emerging threats as identified and prioritized by the Secretary through the Administrator.

([5] 6) [\$710,000,000] \$688,688,000, to remain available until September 30, [2021] 2022, of which [\$355,000,000] \$344,344,000 shall be for Assistance to Firefighter Grants and [\$355,000,000] \$344,344,000 shall be for Staffing for Adequate Fire and Emergency Response Grants under sections 33 and 34 respectively of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229 and 2229a).

([6] 7) [\$355,000,000] \$279,335,000 for emergency management performance grants under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701), section 762 of title 6, United States Code, and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.).

([7] 8) [\$263,000,000] \$100,000,000 for necessary expenses for Flood Hazard Mapping and Risk Analysis, in addition to and to supplement any other sums appropriated under the National Flood Insurance Fund, and such additional sums as may be provided by States or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of the National Flood Insurance Act of 1968 (42 U.S.C. 4101(f)(2)), to remain available until expended.

([8]) \$10,000,000 for Regional Catastrophic Preparedness Grants. [(9) \$10,000,000 for Rehabilitation of High Hazard Potential Dams under section 8A of the National Dam Safety Program Act (33 U.S.C. 467f-2).]

([10]) \$125,000,000 for the emergency food and shelter program under title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331), to remain available until expended: *Provided, That not to exceed 3.5 percent shall be for total administrative costs.*

(9) \$20,000,000, to remain available until September 30, 2022, for necessary expenses for targeted violence and terrorism prevention grants.

[[11]10] [\$280,467,000] \$156,504,000 to sustain current operations for training, exercises, technical assistance, and other programs. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0413-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Grants	2,946	2,929	1,972
0002 CAS - Education, Training, and Exercises (incl USFA)	275	280	157
0799 Total direct obligations	3,221	3,209	2,129
0801 Reimbursable program activity	2		
0900 Total new obligations, unexpired accounts	3,223	3,209	2,129
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,066	1,006	1,026
Budget authority:			
Appropriations, discretionary:			
1100 CAS - Grants	2,859	2,939	2,326
1100 CAS - Education, Training, and Exercises	277	280	157
1100 Emergency Supp Approp for Humanitarian Assistance & Security at the Southern Border Act, 2019—EFS (P.L. 116-26)	30		
1121 Appropriations transferred from other acct [070-0416]		10	
1160 Appropriation, discretionary (total)	3,166	3,229	2,483
1900 Budget authority (total)	3,166	3,229	2,483
1930 Total budgetary resources available	4,232	4,235	3,509
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	1,006	1,026	1,380
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,390	5,888	6,569
3010 New obligations, unexpired accounts	3,223	3,209	2,129
3011 Obligations ("upward adjustments"), expired accounts	7		
3020 Outlays (gross)	-1,708	-2,528	-2,400
3030 Unpaid obligations transferred to other accts [069-0700]	-10		
3041 Recoveries of prior year unpaid obligations, expired	-14		
3050 Unpaid obligations, end of year	5,888	6,569	6,298
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2		
3071 Change in uncollected pymts, Fed sources, expired	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,388	5,888	6,569
3200 Obligated balance, end of year	5,888	6,569	6,298
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,166	3,229	2,483
Outlays, gross:			
4010 Outlays from new discretionary authority	96	122	101
4011 Outlays from discretionary balances	1,612	2,406	2,299
4020 Outlays, gross (total)	1,708	2,528	2,400
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	3,166	3,229	2,483
4080 Outlays, net (discretionary)	1,706	2,528	2,400
4180 Budget authority, net (total)	3,166	3,229	2,483
4190 Outlays, net (total)	1,706	2,528	2,400

Federal Assistance provides monetary and non-monetary support to non-Federal Emergency Management Agency (FEMA) entities. Support may be provided in the form of grants or grant agreements, cooperative agreements, non-cash contributions, and other Federal support, but does not include amounts received as reimbursement for services rendered to individuals. Through a variety of programs, FEMA provides for grants, training, exercises, and other support to assist Federal agencies, States, territories, and tribal and local jurisdictions to prevent, protect against, mitigate, respond to, and recover from terrorism and natural disasters.

Grants: FEMA provides State and local preparedness grants that focus on building and sustaining the 32 core capabilities associated with the five mission areas described in the National Preparedness Goal. These grants include: 1) the State Homeland Security Grant Program, which supports the implementation of State homeland security strategies to address identified planning, organization, equipment, training, and exercise needs to prevent, protect against, mitigate, respond to, and recover from acts of terrorism and other catastrophic events; 2) the Urban Area Security Initiative, which addresses the unique risk-driven and capabilities-based planning, organization, equipment, training, and exercise needs of high-threat, high-density urban areas based on capability targets identified during the Threat Hazard Identification and Risk Assessment process; 3) the Transit Security Grant Program for public transportation security assistance and railroad security assistance, which supports owners and operators of transit systems, including intra-city bus, commuter bus, ferries, and all forms of passenger rail, to protect critical surface transportation infrastructure and the traveling public from acts of terrorism and to increase the resilience of transit infrastructure; 4) the Port Security Grant Program, which improves port-wide maritime security risk management, enhances maritime domain awareness, supports maritime security training and exercises, and maintains and/or reestablishes maritime security mitigation protocols that support port recovery and resiliency capabilities; 5) Firefighter Assistance Grants, including the Assistance to Firefighter Grant and the Staffing for Adequate Fire and Emergency Response grants, which provide direct assistance to local fire departments for investments to improve their ability to safeguard the lives of firefighting personnel and members of the public in the event of a terrorist attack or other major incident; 6) Emergency Management Performance Grants, which provides funding on a formula basis to all 56 States and Territories to achieve target levels of capability in catastrophic planning and emergency management; 7) the Flood Hazard Mapping and Risk Analysis program, which drives national actions to reduce flood risk by addressing flood hazard data update needs, supporting local government hazard mitigation planning, and providing the flood risk data needed to manage the NFIP's financial exposure; 8) The National Security and Resilience Grant Program which provides financial assistance on a competitive basis to non-Federal entities to address specific, existing, and emerging threats as identified and prioritized by the Secretary through the Administrator; 9) Targeted violence and terrorism prevention grants to provide State and local governments, law enforcement, emergency management, and other partners the ability to support locally-based prevention programs and expand existing counterterrorism programs.

Education, Training, and Exercises Programs: FEMA provides specialized training to emergency responders and supports development, execution, and evaluation of exercises to test the Nation's preparedness for all hazards. These programs include: 1) the National Exercise Program, which designs, coordinates, conducts, and evaluates exercises that rigorously test the Nation's ability to perform missions and functions that prevent, protect against, respond to, recover from, and mitigate all hazards; 2) the Center for Domestic Preparedness, which provides specialized all-hazards preparedness training to State, local, and tribal emergency responders on skills tied to national priorities, in particular those related to Weapons of Mass Destruction; 3) the Emergency Management Institute, which provides training to Federal, State, local, tribal, volunteer, public, and private sector officials to strengthen emergency management core competencies, knowledge, and skills, thus improving the Nation's capability to prepare for, protect against, respond to, recover from, and mitigate all hazards; and 4) the U.S. Fire Administration, which promotes fire awareness, safety, and risk reduction across communities and prepares the Nation's first responders through ongoing training in evaluating and minimizing community risk, improving protection of critical infrastructure, and preparing to respond to all-hazard emergencies.

FEDERAL ASSISTANCE—Continued
Object Classification (in millions of dollars)

Identification code 070-0413-0-1-999	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	32	34	36
11.9 Total personnel compensation	32	34	36
12.1 Civilian personnel benefits	11	11	12
21.0 Travel and transportation of persons	7	7	7
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	19	25	25
25.2 Other services from non-Federal sources	264	256	99
25.3 Other goods and services from Federal sources	3	2	
25.4 Operation and maintenance of facilities	7	9	11
25.7 Operation and maintenance of equipment	2	4	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	5	5	5
32.0 Land and structures	1	4	4
41.0 Grants, subsidies, and contributions	2,868	2,846	1,919
99.0 Direct obligations	3,221	3,209	2,129
99.0 Reimbursable obligations	2		
99.9 Total new obligations, unexpired accounts	3,223	3,209	2,129

Employment Summary

Identification code 070-0413-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	337	370	370

OPERATIONS AND SUPPORT

For necessary expenses of the Federal Emergency Management Agency for operations and support, **[\$1,102,199,000] \$1,134,195,000: Provided,** That not to exceed \$2,250 shall be for official reception and representation expenses. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0700-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Mission Support	529	508	511
0002 CAS - Regional Operations	162	165	179
0003 CAS - Mitigation	36	41	43
0004 CAS - Preparedness and Protection	138	149	152
0005 CAS - Response and Recovery	253	239	249
0799 Total direct obligations	1,118	1,102	1,134
0801 Salaries and Expenses (Reimbursable)	41	41	41
0900 Total new obligations, unexpired accounts	1,159	1,143	1,175
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	1	20
1012 Unobligated balance transfers between expired and unexpired accounts	2		
1021 Recoveries of prior year unpaid obligations	4		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	30	1	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,066	1,102	1,134
1121 Appropriations transferred from other acct (070-0702)	23		
1160 Appropriation, discretionary (total)	1,089	1,102	1,134
Spending authority from offsetting collections, discretionary:			
1700 Collected	48	60	60
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	49	60	60
1900 Budget authority (total)	1,138	1,162	1,194
1930 Total budgetary resources available	1,168	1,163	1,214
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8		
1941 Unexpired unobligated balance, end of year	1	20	39

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	517	571	455
3010 New obligations, unexpired accounts	1,159	1,143	1,175
3011 Obligations ("upward adjustments"), expired accounts	15		
3020 Outlays (gross)	-1,093	-1,259	-1,180
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3041 Recoveries of prior year unpaid obligations, expired	-23		
3050 Unpaid obligations, end of year	571	455	450
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-11	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	506	562	446
3200 Obligated balance, end of year	562	446	441

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,138	1,162	1,194
Outlays, gross:			
4010 Outlays from new discretionary authority	729	770	791
4011 Outlays from discretionary balances	364	489	389
4020 Outlays, gross (total)	1,093	1,259	1,180
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-51	-60	-60
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-52	-60	-60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	3		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	1,089	1,102	1,134
4080 Outlays, net (discretionary)	1,041	1,199	1,120
4180 Budget authority, net (total)	1,089	1,102	1,134
4190 Outlays, net (total)	1,041	1,199	1,120

Operations and Support funds the Federal Emergency Management Agency's core mission development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of terrorist attacks and other major disasters and emergencies, in partnership with other Federal agencies, State, local, tribal and territorial (SLTT) governments, volunteer organizations, and the private sector. Activities supported by this account incorporate the essential command and control functions, mitigate long-term risks, ensure the continuity and restoration of essential services and functions, and provide leadership to build, sustain, and improve the coordination and delivery of support to citizens and State, local, tribal, and territorial governments.

Object Classification (in millions of dollars)

Identification code 070-0700-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	397	420	444
11.5 Other personnel compensation	10	12	23
11.9 Total personnel compensation	407	432	467
12.1 Civilian personnel benefits	128	143	159
21.0 Travel and transportation of persons	14	16	16
23.1 Rental payments to GSA	55	83	81
23.2 Rental payments to others	3		
23.3 Communications, utilities, and miscellaneous charges	36	23	22
25.1 Advisory and assistance services	76	45	36
25.2 Other services from non-Federal sources	187	250	246
25.3 Other goods and services from Federal sources	6	11	9
25.4 Operation and maintenance of facilities	42	4	4
25.7 Operation and maintenance of equipment	8	4	4
26.0 Supplies and materials	5	5	5
31.0 Equipment	60	24	25
32.0 Land and structures	40	16	16
41.0 Grants, subsidies, and contributions	51	46	44
99.0 Direct obligations	1,118	1,102	1,134

99.0	Reimbursable obligations	41	41	41
99.9	Total new obligations, unexpired accounts	1,159	1,143	1,175

Employment Summary

Identification code 070-0700-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,502	3,753	3,802
2001 Reimbursable civilian full-time equivalent employment	22	22	22

STATE AND LOCAL PROGRAMS

Program and Financing (in millions of dollars)

Identification code 070-0560-0-1-453	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,373	431	223
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-902	-208	-222
3041 Recoveries of prior year unpaid obligations, expired	-45		
3050 Unpaid obligations, end of year	431	223	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,373	431	223
3200 Obligated balance, end of year	431	223	1

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	902	208	222
4180 Budget authority, net (total)			
4190 Outlays, net (total)	902	208	222

RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM

Program and Financing (in millions of dollars)

Identification code 070-0715-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Radiological Emergency Preparedness	36	36	36
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	5
1021 Recoveries of prior year unpaid obligations	3	1	1
1050 Unobligated balance (total)	9	7	6
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	34	34	34
1702 Offsetting collections (previously unavailable)	33	34	34
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-34	-34	-34
1750 Spending auth from offsetting collections, disc (total)	33	34	34
1930 Total budgetary resources available	42	41	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	5	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	17	18
3010 New obligations, unexpired accounts	36	36	36
3020 Outlays (gross)	-33	-34	-34
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-1	-1
3050 Unpaid obligations, end of year	17	18	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	17	18
3200 Obligated balance, end of year	17	18	19
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4000 Budget authority, gross	33	34	34
Outlays, gross:			
4010 Outlays from new discretionary authority	25	20	20

4011 Outlays from discretionary balances	8	14	14
4020 Outlays, gross (total)	33	34	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-2	-2
4033 Non-Federal sources	-32	-32	-32
4040 Offsets against gross budget authority and outlays (total)	-34	-34	-34
4070 Budget authority, net (discretionary)	-1		
4080 Outlays, net (discretionary)	-1		
4180 Budget authority, net (total)	41		
4190 Outlays, net (total)	-1		

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	33	34	34
5092 Unexpired unavailable balance, EOY: Offsetting collections	34	34	34
5093 Expired unavailable balance, SOY: Offsetting collections	2	2	2
5095 Expired unavailable balance, EOY: Offsetting collections	2	2	2

The Radiological Emergency Preparedness Program assists State, local, and tribal governments in the development of off-site radiological emergency preparedness plans within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is financed from fees assessed and collected from the NRC licensees to cover the costs for radiological emergency planning, preparedness, and response activities in the following year, as authorized in the Administrative Provisions, Sec. 307.

Object Classification (in millions of dollars)

Identification code 070-0715-0-1-453	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	13	13	14
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	11	11	10
25.4 Operation and maintenance of facilities	1	1	1
99.9 Total new obligations, unexpired accounts	36	36	36

Employment Summary

Identification code 070-0715-0-1-453	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	132	134	136

UNITED STATES FIRE ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 070-0564-0-1-453	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	
3020 Outlays (gross)		-1	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		1	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		1	

DISASTER RELIEF FUND

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), **[\$17,863,259,000]**

DISASTER RELIEF FUND—Continued

\$5,653,366,000, to remain available until expended: *Provided*, That of the amount provided under this heading, **[\$17,352,112,000]** \$5,059,949,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) **[and is]**: *Provided further*, That the amount in the preceding provision is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That any balances of funds appropriated in any prior Act for activities funded by the National Predisaster Mitigation Fund under section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133) (as in effect on the day before the amendments made by section 1234 of division D of Public Law 115–254 took effect) may be transferred to and merged for all purposes with funds set aside pursuant to subsection (i)(1) of section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133). (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070–0702–0–1–453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Base/Non Major Disasters	743	511	593
0004 Disaster Relief	13,187	17,352	5,060
0900 Total new obligations, unexpired accounts	13,930	17,863	5,653
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28,313	29,391	29,045
1010 Unobligated balance transfer to other accts [070–0540]	–38
1010 Unobligated balance transfer to other accts [070–0703]	–46
1011 Unobligated balance transfer from other acct [072–1035]	1
1021 Recoveries of prior year unpaid obligations	2,787
1033 Recoveries of prior year paid obligations	18
1050 Unobligated balance (total)	31,081	29,345	29,045
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12,258	17,863	5,653
1120 Appropriations transferred to other acct [072–1035]	–18
1120 Appropriations transferred to other acct [070–0703]	–2
1120 Appropriations transferred to other acct [070–0700]	–23
1131 Unobligated balance of appropriations permanently reduced	–300
1160 Appropriation, discretionary (total)	12,215	17,563	5,653
Spending authority from offsetting collections, discretionary:			
1700 Collected	33
1900 Budget authority (total)	12,248	17,563	5,653
1930 Total budgetary resources available	43,329	46,908	34,698
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–8
1941 Unexpired unobligated balance, end of year	29,391	29,045	29,045
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25,772	23,780	30,690
3010 New obligations, unexpired accounts	13,930	17,863	5,653
3020 Outlays (gross)	–13,135	–10,953	–12,298
3040 Recoveries of prior year unpaid obligations, unexpired	–2,787
3050 Unpaid obligations, end of year	23,780	30,690	24,045
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25,772	23,780	30,690
3200 Obligated balance, end of year	23,780	30,690	24,045
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12,248	17,563	5,653
Outlays, gross:			
4010 Outlays from new discretionary authority	3,719	3,307	1,171
4011 Outlays from discretionary balances	9,416	7,646	11,127
4020 Outlays, gross (total)	13,135	10,953	12,298
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	–1
4033 Non-Federal sources	–50
4040 Offsets against gross budget authority and outlays (total)	–51
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	18
4060 Additional offsets against budget authority only (total)	18

4070 Budget authority, net (discretionary)	12,215	17,563	5,653
4080 Outlays, net (discretionary)	13,084	10,953	12,298
4180 Budget authority, net (total)	12,215	17,563	5,653
4190 Outlays, net (total)	13,084	10,953	12,298

Through the Disaster Relief Fund (DRF), the Federal Emergency Management Agency (FEMA) provides a significant portion of the total Federal response to Presidentially-declared major disasters and emergencies. Primary assistance programs include Federal assistance to individuals and households, public assistance, and hazard mitigation assistance which includes the repair and reconstruction of State, tribal, territorial, local, and nonprofit infrastructure. Beginning in 2012, section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (BBEDCA) includes a discretionary spending cap adjustment for disaster relief, facilitating a shift from a reliance on supplemental appropriations. The BBEDCA, as amended by the 2018 Department of Homeland Security Appropriations Act requires funds designated as disaster relief pursuant to the cap adjustment be used for declared major disasters as defined under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

The DRF funds requested as an adjustment to the discretionary spending caps consist of four principal components: (1) catastrophic obligations; (2) non-catastrophic obligations; (3) recoveries; and (4) a reserve. Funds required for the catastrophic category, defined as events greater than \$500 million, are based on FEMA spend plans for all past declared catastrophic events and do not include funds for new catastrophic events that may occur in 2021. It is assumed that any new catastrophic event in 2021 will be funded through a future supplemental funding request, as provided for in BBEDCA. The non-catastrophic amount is based on an approach that uses the 10-year average for non-catastrophic events to provide a more realistic projection of non-catastrophic needs in 2021. Pursuant to section 1234 of P.L. 115–254, the request also includes a 6-percent set-aside based on a historical average for pre-disaster mitigation, also known as Building Resilient Infrastructure and Communities.

The DRF base non-cap adjustment request supports the 10-year average for the costs associated with emergency declarations, pre-disaster surge activities, and fire management assistance grants. The base also includes funds requested for projected yearly disaster readiness and support costs.

Object Classification (in millions of dollars)

Identification code 070–0702–0–1–453	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	458	272	324
11.3 Other than full-time permanent	273	161	193
11.5 Other personnel compensation	136	84	99
11.9 Total personnel compensation	867	517	616
12.1 Civilian personnel benefits	217	130	155
13.0 Benefits for former personnel	11	7	8
21.0 Travel and transportation of persons	400	531	155
22.0 Transportation of things	313	385	134
23.1 Rental payments to GSA	16	23	26
23.2 Rental payments to others	74	92	24
23.3 Communications, utilities, and miscellaneous charges	47	54	24
24.0 Printing and reproduction	1	1
25.1 Advisory and assistance services	100	97	58
25.2 Other services from non-Federal sources	1,147	1,522	435
25.3 Other goods and services from Federal sources	1,158	1,544	438
25.4 Operation and maintenance of facilities	103	146	40
25.6 Medical care	2	2
25.7 Operation and maintenance of equipment	24	17	18
25.8 Subsistence and support of persons	6	8	2
26.0 Supplies and materials	97	106	52
31.0 Equipment	123	124	48
32.0 Land and structures	61	83	46
41.0 Grants, subsidies, and contributions	9,163	12,473	3,373
99.0 Direct obligations	13,930	17,862	5,652
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	13,930	17,863	5,653

Employment Summary

Identification code 070-0702-0-1-453	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	9,670	6,563	7,472

FLOOD HAZARD MAPPING AND RISK ANALYSIS PROGRAM

Program and Financing (in millions of dollars)

Identification code 070-0500-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Flood Hazard Mapping and Risk Analysis		11	
0900 Total new obligations, unexpired accounts (object class 25.2)		11	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	11	
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	11	11	
1930 Total budgetary resources available	11	11	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	83	38	2
3010 New obligations, unexpired accounts		11	
3020 Outlays (gross)	-42	-47	
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	38	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	83	38	2
3200 Obligated balance, end of year	38	2	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	42	47	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	42	47	

NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), the Biggert-Waters Flood Insurance Reform Act of 2012 (Public Law 112-141, 126 Stat. 916), and the Homeowner Flood Insurance Affordability Act of 2014 (Public Law 113-89; 128 Stat. 1020), **[\$206,782,000]** \$204,412,000, to remain available until September 30, **[2021]** 2022, which shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)); of which **[\$14,005,000]** \$13,906,000 shall be available for mission support associated with flood management; and of which **[\$192,777,000]** \$190,506,000 shall be available for flood plain management and flood mapping: *Provided further*, That in fiscal year **[2020]** 2021, no funds shall be available from the National Flood Insurance Fund under section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017) in excess of-

- (1) **[\$192,439,000]** \$181,021,000 for operating expenses and salaries and expenses associated with flood insurance operations;
- (2) **[\$1,151,000,000]** \$1,164,000,000 for commissions and taxes of agents;
- (3) such sums as are necessary for interest on Treasury borrowings; and
- (4) \$175,000,000, which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding sections 1366(e) and 1310(a)(7) of such Act (42 U.S.C. 4104c(e), 4017):

Provided further, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c(e)), shall be deposited in the National

Flood Insurance Fund to supplement other amounts specified as available for section 1366 of the National Flood Insurance Act of 1968, notwithstanding section 102(f)(8), section 1366(e) of the National Flood Insurance Act of 1968, and paragraphs (1) through (3) of section 1367(b) of such Act (42 U.S.C. 4012a(f)(8), 4104c(e), 4104d(b)(1)-(3)): *Provided further*, That total administrative costs shall not exceed 4 percent of the total appropriation: *Provided further*, That up to \$5,000,000 is available to carry out section 24 of the Homeowner Flood Insurance Affordability Act of 2014 (42 U.S.C. 4033). (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-4236-0-3-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 NFIP Mandatory	5,193	5,969	5,039
0802 Mission Support (Discretionary)	18	16	16
0803 Floodplain Management and Flood Mapping (Discretionary)	153	216	216
0900 Total new obligations, unexpired accounts	5,364	6,201	5,271
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5,080	3,361	1,164
1001 Discretionary unobligated balance brought fwd, Oct 1	92	126	
1021 Recoveries of prior year unpaid obligations	48		
1050 Unobligated balance (total)	5,128	3,361	1,164
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	202	203	204
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections	3,386	3,798	3,904
1802 Offsetting collections (previously unavailable)	102	93	90
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-93	-90	
1850 Spending auth from offsetting collections, mand (total)	3,395	3,801	3,994
1900 Budget authority (total)	3,597	4,004	4,198
1930 Total budgetary resources available	8,725	7,365	5,362
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,361	1,164	91
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	833	2,065	3,612
3010 New obligations, unexpired accounts	5,364	6,201	5,271
3020 Outlays (gross)	-4,084	-4,654	-4,563
3040 Recoveries of prior year unpaid obligations, unexpired	-48		
3050 Unpaid obligations, end of year	2,065	3,612	4,320
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	833	2,065	3,612
3200 Obligated balance, end of year	2,065	3,612	4,320
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	202	203	204
Outlays, gross:			
4010 Outlays from new discretionary authority	109	122	122
4011 Outlays from discretionary balances	131	95	81
4020 Outlays, gross (total)	240	217	203
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-202	-203	-204
Mandatory:			
4090 Budget authority, gross	3,395	3,801	3,994
Outlays, gross:			
4100 Outlays from new mandatory authority	2,381	3,059	3,213
4101 Outlays from mandatory balances	1,463	1,378	1,147
4110 Outlays, gross (total)	3,844	4,437	4,360
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3,386	-3,798	-3,904
4180 Budget authority, net (total)	9	3	90
4190 Outlays, net (total)	496	653	455
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	102	93	90
5092 Unexpired unavailable balance, EOY: Offsetting collections	93	90	

NATIONAL FLOOD INSURANCE FUND—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	9	3	90
Outlays	496	653	455
Legislative proposal, subject to PAYGO:			
Outlays			5
Total:			
Budget Authority	9	3	90
Outlays	496	653	460

The Federal Government provides flood insurance through the National Flood Insurance Program (NFIP), which is administered by the Federal Emergency Management Agency (FEMA). Flood insurance is available to homeowners and businesses in communities that have adopted and enforce appropriate floodplain management measures. Coverage is limited to buildings and their contents. At the end of 2019, the program had approximately 5.1 million policies in more than 22,200 communities with approximately \$1.31 trillion of insurance in force.

The program uses a multi-pronged strategy for reducing future flood damage. The NFIP offers flood mitigation assistance grants to assist flood victims to rebuild to current building codes, including higher base flood elevations, thereby reducing future flood damage. In addition, flood mitigation assistance grants targeted toward repetitive and severe repetitive loss properties not only help owners of high-risk property, but also reduce the disproportionate drain on the National Flood Insurance Fund these properties cause, through acquisition, relocation, or elevation. FEMA works to ensure that the flood mitigation grant program is closely integrated with other FEMA mitigation grant programs, resulting in better coordination and communication with State and local governments. Further, through the Community Rating System, FEMA adjusts premium rates to encourage community and State mitigation activities beyond those required by the NFIP. A 2014 study shows these efforts, in addition to the minimum NFIP requirements for floodplain management, can save over \$1.9 billion annually in avoided flood claims.

In 2021, FEMA continues to put the NFIP on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with living in a floodplain through premium increases for policyholders paying premiums that are less than full risk.

Object Classification (in millions of dollars)

Identification code 070-4236-0-3-453	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	52	53
11.3 Other than full-time permanent		2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	46	55	56
12.1 Civilian personnel benefits	21	22	23
21.0 Travel and transportation of persons	3	10	10
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.1 Advisory and assistance services	3	1	1
25.2 Other services from non-Federal sources	1,277	1,440	1,459
25.4 Operation and maintenance of facilities	2	2	2
41.0 Grants, subsidies, and contributions	88	168	168
42.0 Insurance claims and indemnities	3,504	4,054	3,105
43.0 Interest and dividends	415	442	440
99.0 Reimbursable obligations	5,364	6,201	5,271
99.9 Total new obligations, unexpired accounts	5,364	6,201	5,271

Employment Summary

Identification code 070-4236-0-3-453	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	427	513	517

NATIONAL FLOOD INSURANCE FUND
(Legislative proposal, not subject to PAYGO)

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. While this proposal is expected to increase policyholders and make flood insurance rate increases more affordable for those who qualify for this program, it will result in marginally lower revenue and higher expenses for the NFIP. This component of the legislative proposal illustrates the impact this proposal has on interest-related activities.

NATIONAL FLOOD INSURANCE FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-4236-4-3-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 NFIP Mandatory			5
0900 Total new obligations, unexpired accounts (object class 25.2)			5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			5
3020 Outlays (gross)			-5
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances			5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			5

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. In its current structure, the NFIP makes rates "reasonable" by offering discounts and cross-subsidies primarily based on a building's age, map changes at a building's location, or by considering mitigation activities undertaken by the property owner or community. This legislative proposal would establish a targeted affordability program for NFIP policyholders. Such a program would shield low income policyholders who currently receive discounts or subsidies from substantive rate increases, while ensuring those able to pay, despite the age or location of their property, do so. Low income policyholders would still be subject to standard annual adjustments to all rates, accounting for inflation and actuarial practices, however.

Employment Summary

Identification code 070-4236-4-3-453	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment			5

NATIONAL FLOOD INSURANCE RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5701-0-2-453	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1		
Receipts:			
Current law:			
1130 Fees, National Flood Insurance Reserve Fund	865	962	1,036
1140 Earnings on Investments, National Flood Insurance Reserve Fund	17	21	32
1199 Total current law receipts	882	983	1,068
1999 Total receipts	882	983	1,068
2000 Total: Balances and receipts	883	983	1,068
Appropriations:			
Current law:			
2101 National Flood Insurance Reserve Fund	-883	-983	-1,068
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-5701-0-2-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 NFIP Obligations from Reserve Fund	293	371	1,373
0900 Total new obligations, unexpired accounts (object class 42.0)	293	371	1,373
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	709	1,299	1,911
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	883	983	1,068
1930 Total budgetary resources available	1,592	2,282	2,979
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,299	1,911	1,606
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	175	202	262
3010 New obligations, unexpired accounts	293	371	1,373
3020 Outlays (gross)	-266	-311	-1,365
3050 Unpaid obligations, end of year	202	262	270
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	175	202	262
3200 Obligated balance, end of year	202	262	270
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	883	983	1,068
Outlays, gross:			
4100 Outlays from new mandatory authority	205	211	32
4101 Outlays from mandatory balances	61	100	1,333
4110 Outlays, gross (total)	266	311	1,365
4180 Budget authority, net (total)	883	983	1,068
4190 Outlays, net (total)	266	311	1,365
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	732	1,525	1,911
5001 Total investments, EOY: Federal securities: Par value	1,525	1,911	1,606

As directed by the Biggert-Waters Flood Insurance Reform Act of 2012, FEMA has established the National Flood Insurance Reserve Fund for the National Flood Insurance Program to meet expected future obligations of the program, to include payment of claims, claims adjustment expenses, the purchase of reinsurance, and the repayment of outstanding debt owed to the U.S. Treasury, including interest.

NATIONAL FLOOD INSURANCE RESERVE FUND

(Legislative proposal, not subject to PAYGO)

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated

with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. While this proposal is expected to increase policyholders and make flood insurance rate increases more affordable for those who qualify for this program, it will result in marginally lower revenue and higher expenses for the NFIP. This component of the legislative proposal illustrates the impact this proposal has on interest-related activities.

NATIONAL FLOOD INSURANCE RESERVE FUND

(Legislative proposal, subject to PAYGO)

The purpose of this 2021 Budget proposal is to highlight the need to provide affordability assistance to certain homeowners as FEMA puts the National Flood Insurance Program (NFIP) on a more sustainable financial footing moving forward by signaling to homeowners the true cost associated with the risk of living in a floodplain. This would be accomplished through a targeted means-tested affordability program to offer premium assistance based on income or ability to pay, rather than location or date of construction. In its current structure, the NFIP makes rates "reasonable" by offering discounts and cross-subsidies primarily based on a building's age, map changes at a building's location, or by considering mitigation activities undertaken by the property owner or community. This legislative proposal would establish a targeted affordability program for NFIP policyholders. Such a program would shield low income policyholders who currently receive discounts or subsidies from substantive rate increases, while ensuring those able to pay, despite the age or location of their property, do so. Low income policyholders would still be subject to standard annual adjustments to all rates, accounting for inflation and actuarial practices, however. This policy proposal will result in lower premiums for the National Flood Insurance Fund and lower assessment charges for the National Flood Insurance Reserve Fund. This will decrease outlays since less resources are available in the fund as a result of this proposal.

NATIONAL PRE-DISASTER MITIGATION FUND

Program and Financing (in millions of dollars)

Identification code 070-0716-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pre-disaster mitigation	31	64	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	86	64	
1010 Unobligated balance transfer to other accts [070-0540]	-3		
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	95	64	
1930 Total budgetary resources available	95	64	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	64		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	127	111	134
3010 New obligations, unexpired accounts	31	64	
3020 Outlays (gross)	-35	-41	-41
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	111	134	93
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	127	111	134
3200 Obligated balance, end of year	111	134	93
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	35	41	41
4180 Budget authority, net (total)			
4190 Outlays, net (total)	35	41	41

NATIONAL PRE-DISASTER MITIGATION FUND—Continued

Object Classification (in millions of dollars)

Identification code 070-0716-0-1-453	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	9		
41.0 Grants, subsidies, and contributions	22	64	
99.0 Direct obligations	31	64	
99.9 Total new obligations, unexpired accounts	31	64	

EMERGENCY FOOD AND SHELTER

Program and Financing (in millions of dollars)

Identification code 070-0707-0-1-605	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	3	
3020 Outlays (gross)	-13	-3	
3050 Unpaid obligations, end of year	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	3	
3200 Obligated balance, end of year	3		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	13	3	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	13	3	

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 070-0703-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	37	116	35
0705 Reestimates of direct loan subsidy		9	
0706 Interest on reestimates of direct loan subsidy		1	
0709 Administrative expenses	5	6	
0900 Total new obligations, unexpired accounts	42	132	35
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	359	319	243
1011 Unobligated balance transfer from other acct [070-0702]		46	
1050 Unobligated balance (total)	359	365	243
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [070-0702]	2		
Appropriations, mandatory:			
1200 Appropriation		10	
1900 Budget authority (total)	2	10	
1930 Total budgetary resources available	361	375	243
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	319	243	208
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	297	179	94
3010 New obligations, unexpired accounts	42	132	35
3020 Outlays (gross)	-160	-217	-62
3050 Unpaid obligations, end of year	179	94	67
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	297	179	94
3200 Obligated balance, end of year	179	94	67
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2		

Outlays, gross:			
4011 Outlays from discretionary balances	160	217	62
Mandatory:			
4090 Budget authority, gross		10	
4180 Budget authority, net (total)	2	10	
4190 Outlays, net (total)	160	217	62

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 070-0703-0-1-453	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Community Disaster Loan Program	18	155	47
115003 Special Community Disaster Loans	21		
115999 Total direct loan levels	39	155	47
Direct loan subsidy (in percent):			
132002 Community Disaster Loan Program	90.71	74.61	76.25
132003 Special Community Disaster Loans	98.91		
132999 Weighted average subsidy rate	95.13	74.61	76.25
Direct loan subsidy budget authority:			
133002 Community Disaster Loan Program	16	116	36
133003 Special Community Disaster Loans	21		
133999 Total subsidy budget authority	37	116	36
Direct loan subsidy outlays:			
134002 Community Disaster Loan Program	9	104	61
134003 Special Community Disaster Loans	150	97	1
134999 Total subsidy outlays	159	201	62
Direct loan reestimates:			
135002 Community Disaster Loan Program	-52	9	
135003 Special Community Disaster Loans	-11	-11	
135999 Total direct loan reestimates	-63	-2	
Administrative expense data:			
3510 Budget authority	5	6	
3580 Outlays from balances	1	6	

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) includes two programs: 1) section 319 authorizes for direct loans to States for the non-Federal portion of cost-shared Stafford Act programs; and 2) section 417 authorizes direct community disaster loans to local governments that incurred substantial loss of tax and other revenues as a result of a major disaster and require financial assistance in order to perform governmental functions.

Object Classification (in millions of dollars)

Identification code 070-0703-0-1-453	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	5	6	
33.0 Investments and loans	37	126	35
99.0 Direct obligations	42	132	35
99.9 Total new obligations, unexpired accounts	42	132	35

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 070-4234-0-3-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Refund activity per P.L. 113-6	1		
Credit program obligations:			
0710 Direct loan obligations	39	155	47
0713 Payment of interest to Treasury	2		
0742 Downward reestimates paid to receipt accounts	57	11	
0743 Interest on downward reestimates	6	1	
0791 Direct program activities, subtotal	104	167	47
0900 Total new obligations, unexpired accounts	105	167	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7		

1023	Unobligated balances applied to repay debt	-6		
1050	Unobligated balance (total)	1		
	Financing authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority	67	51	11
	Spending authority from offsetting collections, mandatory:			
1800	Collected	165	218	71
1801	Change in uncollected payments, Federal sources	-122	-85	-26
1825	Spending authority from offsetting collections applied to repay debt	-6	-17	-9
1850	Spending auth from offsetting collections, mand (total)	37	116	36
1900	Budget authority (total)	104	167	47
1930	Total budgetary resources available	105	167	47

Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	295	173	100
3010	New obligations, unexpired accounts	105	167	47
3020	Outlays (gross)	-227	-240	-82
3050	Unpaid obligations, end of year	173	100	65

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-289	-167	-82
3070	Change in uncollected pymts, Fed sources, unexpired	122	85	26
3090	Uncollected pymts, Fed sources, end of year	-167	-82	-56

Memorandum (non-add) entries:

3100	Obligated balance, start of year	6	6	18
3200	Obligated balance, end of year	6	18	9

Financing authority and disbursements, net:

Mandatory:

4090	Budget authority, gross	104	167	47
	Financing disbursements:			
4110	Outlays, gross (total)	227	240	82
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal sources	-159	-211	-62
4123	Non-Federal sources- Principal	-5	-7	-9
4123	Non-Federal sources- Interest	-1		
4130	Offsets against gross budget authority and outlays (total)	-165	-218	-71
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired	122	85	26
4160	Budget authority, net (mandatory)	61	34	2
4170	Outlays, net (mandatory)	62	22	11
4180	Budget authority, net (total)	61	34	2
4190	Outlays, net (total)	62	22	11

Status of Direct Loans (in millions of dollars)

Identification code 070-4234-0-3-453	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on obligations:				
1121	Limitation available from carry-forward	56	172	64
1143	Unobligated limitation carried forward (P.L. xx) (-)	-17	-17	-17
1150	Total direct loan obligations	39	155	47
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	410	562	772
1231	Disbursements: Direct loan disbursements	161	228	82
1251	Repayments: Repayments and prepayments	-5	-7	-9
1264	Other adjustments, net (+ or -)	-4	-11	-1
1290	Outstanding, end of year	562	772	844

Balance Sheet (in millions of dollars)

Identification code 070-4234-0-3-453	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	7	
	Investments in U.S. securities:		
1106	Receivables, net		10
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	410	562
1402	Interest receivable	9	13
1405	Allowance for subsidy cost (-)	-347	-502
1499	Net present value of assets related to direct loans	72	73
1999	Total assets	79	83

LIABILITIES:

Federal liabilities:

2103	Debt	16	71
2105	Other	63	12
2207	Non-Federal liabilities: Other		
2999	Total liabilities	79	83

NET POSITION:

3300	Cumulative results of operations		
4999	Total liabilities and net position	79	83

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Federal Emergency Management Agency for procurement, construction, and improvements, **[\$133,363,000]** \$86,503,000, of which **[\$74,167,000]** \$55,887,000 shall remain available until September 30, **[2022]** 2023, and of which **[\$59,196,000]** \$30,616,000 shall remain available until September 30, **[2024]** 2025. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0414-0-1-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	CAS - Operational Communications/Information Technology	15	16	12
0002	CAS - Construction and Facility Improvements	64	62	35
0003	CAS - Mission Support Assets and Infrastructure	43	59	43
0900	Total new obligations, unexpired accounts	122	137	90

Budgetary resources:

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	13	25	21
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Budget authority:

Appropriations, discretionary:

1100	CAS - Operational Communications/Information Technology	12	16	12
1100	CAS - Construction and Facility Improvements	72	59	31
1100	CAS - Mission Support Assets and Infrastructure	50	58	44
1160	Appropriation, discretionary (total)	134	133	87
1930	Total budgetary resources available	147	158	108

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year	25	21	18
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Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	86	143	187
3010	New obligations, unexpired accounts	122	137	90
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-65	-93	-129
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	143	187	148

Memorandum (non-add) entries:

3100	Obligated balance, start of year	86	143	187
3200	Obligated balance, end of year	143	187	148

Budget authority and outlays, net:

Discretionary:

4000	Budget authority, gross	134	133	87
	Outlays, gross:			
4010	Outlays from new discretionary authority	10	16	12
4011	Outlays from discretionary balances	55	77	117
4020	Outlays, gross (total)	65	93	129
4180	Budget authority, net (total)	134	133	87
4190	Outlays, net (total)	65	93	129

Procurement, Construction, and Improvements (PC&I) provides funds necessary for the Federal Emergency Management Agency's (FEMA) major investments in information technology, communication, facilities, and infrastructure that support operations essential to FEMA's mission. The PC&I appropriation consists of three programs, projects, and activities: *Operational Communications/Information Technology*.—The 2021 request includes funding for FEMA's investments in communications infrastructure, IT systems, and equipment that are directly used by field offices and personnel and have multi-mission frontline applications.

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

Construction and Facility Improvements.—The 2021 request includes funding for major construction and improvements for FEMA's land and facility investments above the real property threshold set for minor construction in Operations and Support and Federal Assistance appropriations.

Mission Support Assets and Infrastructure.—The 2021 request includes funding for the design, implementation, and integration of new solutions for major FEMA systems and data management that support the Agency's mission.

Object Classification (in millions of dollars)

Identification code 070-0414-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	62	60	43
31.0 Equipment	2	15	12
32.0 Land and structures	58	62	35
99.0 Direct obligations	122	137	90
99.9 Total new obligations, unexpired accounts	122	137	90

ADMINISTRATIVE PROVISIONS

SEC. 301. Notwithstanding section 2008(a)(12) of the Homeland Security Act of 2002 (6 U.S.C. 609(a)(12)) or any other provision of law, not more than 5 percent of the amount of a grant made available in paragraphs (1) through (4) under "Federal Emergency Management Agency-Federal Assistance", may be used by the grantee for expenses directly related to administration of the grant.

SEC. 302. Applications for grants under the heading "Federal Emergency Management Agency-Federal Assistance", for paragraphs (1) through (4), shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application.

SEC. 303. Under the heading "Federal Emergency Management Agency-Federal Assistance", for grants under paragraphs (1) through (4) [(8), and (9)], the Administrator of the Federal Emergency Management Agency shall brief the Committees on Appropriations of the Senate and the House of Representatives 5 full business days in advance of announcing publicly the intention of making an award.

SEC. 304. Under the heading "Federal Emergency Management Agency-Federal Assistance", for grants under paragraphs (1) and (2), the installation of communications towers is not considered construction of a building or other physical facility.

SEC. 305. The reporting requirements in paragraphs (1) and (2) under the heading "Federal Emergency Management Agency-Disaster Relief Fund" in the Department of Homeland Security Appropriations Act, 2015 (Public Law 114-4) shall be applied in fiscal year [2020]2021 with respect to budget year [2021]2022 and current fiscal year [2020] 2021, respectively—

(1) in paragraph (1) by substituting "fiscal year [2021] 2022" for "fiscal year 2016"; and

(2) in paragraph (2) by inserting "business" after "fifth".

SEC. 306. (a) In making grants under the heading "Federal Emergency Management Agency-Federal Assistance", for Staffing for Adequate Fire and Emergency Response grants, the Secretary may grant waivers from the requirements in subsections (a)(1)(A), (a)(1)(B), (a)(1)(E), (c)(1), (c)(2), and (c)(4) of section 34 of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229a).

(b) For grants made under the heading "Federal Emergency Management Agency-Firefighter Assistance Grants" in division F of Public Law 114-113, the Secretary may extend the period of performance described in subsection (a)(1)(B) of such section 34 for up to one additional year.

(c) For purposes of subsection (b)—

(1) subsections (a)(1)(E)(iii) and (c)(4)(C) of such section 34 shall also apply to such additional year of such period of performance; and

(2) the authority provided shall only apply to any such grant award that remains open on the date of enactment of this Act.

SEC. 307. The aggregate charges assessed during fiscal year [2020] 2021, as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security to be necessary for its Radiological Emergency Preparedness Program for the next fiscal year: *Provided*, That the methodology for assessment

and collection of fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: *Provided further*, That such fees shall be deposited in a Radiological Emergency Preparedness Program account as offsetting collections and will become available for authorized purposes on October 1, [2020] 2021, and remain available until expended.

SEC. 308. For grants awarded using amounts made available under paragraphs (1), (2), and (3) under the heading "Federal Emergency Management Agency - Federal Assistance", notwithstanding sections 603-605, 607-609, 1135, and 1182 of title 6, United States Code, or any other applicable provision of law, the Federal share of the cost of an activity carried out by a non-Federal entity using such funds shall not exceed 75 percent: *Provided*, That this section does not apply to funds provided by this Act for organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code) determined by the Secretary of Homeland Security to be at high risk of a terrorist attack under section 2003 of the Homeland Security Act of 2002 (6 U.S.C. 604): *Provided further*, That this section does not apply to grants awarded pursuant to section 2005 of the Homeland Security Act of 2002 (6 U.S.C. 606): *Provided further*, That this section does not apply to grants awarded pursuant to section 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (6 U.S.C. 1163).

(INCLUDING TRANSFER OF FUNDS)

SEC. 309. Up to one percent of the appropriations made available under paragraphs (1) and (2) under the heading "Federal Emergency Management Agency - Federal Assistance" may be transferred to the appropriation made available under the heading "Federal Emergency Management Agency - Operations and Support" for the purpose of conducting evaluations on the effectiveness of grants awarded under the State Homeland Security Grant Program and Urban Area Security Initiative: *Provided*, That any funds transferred under this section shall be in addition to any other amounts otherwise made available for the same purpose: *Provided further*, That the transfer authority provided herein shall be in addition to any other transfer authority provided in this Act. (Department of Homeland Security Appropriations Act, 2020.)

CITIZENSHIP AND IMMIGRATION SERVICES

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of U.S. Citizenship and Immigration Services for operations and support of the E-Verify Program, [\$122,395,000] \$118,676,000. (Department of Homeland Security Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-0300-0-1-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	288		
0198 Adjustment for account de-merge	-288		
0199 Balance, start of year			
2000 Total: Balances and receipts			
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 070-0300-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 CAS - Employment Status Verification	100	122	119
0799 Total direct obligations	100	122	119
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	4
1012 Unobligated balance transfers between expired and unexpired accounts		2	
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	4	6	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	110	122	119
1131 Unobligated balance of appropriations permanently reduced		-2	
1160 Appropriation, discretionary (total)	110	120	119

1930	Total budgetary resources available	114	126	124
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-11		
1941	Unexpired unobligated balance, end of year	3	4	5

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	59	40	41
3010	New obligations, unexpired accounts	100	122	119
3020	Outlays (gross)	-114	-120	-119
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3041	Recoveries of prior year unpaid obligations, expired	-4		
3050	Unpaid obligations, end of year	40	41	40
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	59	40	41
3200	Obligated balance, end of year	40	41	40

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	110	120	119
Outlays, gross:				
4010	Outlays from new discretionary authority	70	84	83
4011	Outlays from discretionary balances	44	36	36
4020	Outlays, gross (total)	114	120	119
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	110	120	119
4080	Outlays, net (discretionary)	113	120	119
4180	Budget authority, net (total)	110	120	119
4190	Outlays, net (total)	113	120	119

The mission of U.S. Citizenship and Immigration Services (USCIS) is to adjudicate and grant immigration and citizenship benefits, provide accurate and useful information to applicants and petitioners, and promote an awareness and understanding of citizenship in support of immigrant integration, while also protecting the integrity of our Nation's immigration system. USCIS approves millions of immigration benefit applications each year, ranging from work authorization and lawful permanent residency to asylum and refugee status. The Budget continues to invest in technology to improve and automate business operations, eliminate paper-based processing, improve information sharing, and enhance USCIS' ability to identify and prevent immigration benefit fraud.

The Budget assumes that USCIS will continue to be funded primarily through fees on the applications and petitions it adjudicates.

Within USCIS' appropriated funding, Operations and Support funds necessary operations, mission support, and associated management and administration costs for the E-Verify program.

Object Classification (in millions of dollars)

Identification code 070-0300-0-1-751		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	28	36	37
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	29	37	38
12.1	Civilian personnel benefits	9	12	13
23.1	Rental payments to GSA	6	6	6
23.3	Communications, utilities, and miscellaneous charges	1		
25.1	Advisory and assistance services	35	43	38
25.2	Other services from non-Federal sources		1	1
25.3	Other goods and services from Federal sources	11	12	12
25.7	Operation and maintenance of equipment	8	10	10
31.0	Equipment		1	1
99.0	Direct obligations	99	122	119
99.5	Adjustment for rounding	1		
99.9	Total new obligations, unexpired accounts	100	122	119

Employment Summary

Identification code 070-0300-0-1-751		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	281	398	398

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

Program and Financing (in millions of dollars)

Identification code 070-0407-0-1-751		2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Citizenship and Immigration Services (Direct)	32		
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Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	21	12	12
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	23		
1930	Total budgetary resources available	44	12	12
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	12	12	12

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	22	
3010	New obligations, unexpired accounts	32		
3020	Outlays (gross)	-11	-22	
3050	Unpaid obligations, end of year	22		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	22	
3200	Obligated balance, end of year	22		

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	23		
Outlays, gross:				
4010	Outlays from new discretionary authority	9		
4011	Outlays from discretionary balances	2	22	
4020	Outlays, gross (total)	11	22	
4180	Budget authority, net (total)	23		
4190	Outlays, net (total)	11	22	

The Procurement, Construction, and Improvements appropriation provides the funds necessary for the planning, operational development, engineering, and purchases associated with the U.S. Citizenship and Immigration Service's employment eligibility verification program. U.S. Citizenship and Immigration Services does not request funds for Procurement, Construction, and Improvements in 2021 due to the program having achieved Full Operational Capability in a prior year.

Object Classification (in millions of dollars)

Identification code 070-0407-0-1-751		2019 actual	2020 est.	2021 est.
Direct obligations:				
25.1	Advisory and assistance services	30		
25.7	Operation and maintenance of equipment	2		
99.9	Total new obligations, unexpired accounts	32		

FEDERAL ASSISTANCE

【For necessary expenses of U.S. Citizenship and Immigration Services for Federal assistance for the Citizenship and Integration Grant Program, \$10,000,000.】 (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0408-0-1-751		2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Citizenship and Integration Grant Program	10	10	
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FEDERAL ASSISTANCE—Continued
Program and Financing—Continued

Identification code 070-0408-0-1-751	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)	10	10
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	10
1930 Total budgetary resources available	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		10
3010 New obligations, unexpired accounts	10	10
3020 Outlays (gross)		-20
3050 Unpaid obligations, end of year	10	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		10
3200 Obligated balance, end of year	10	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority		10
4011 Outlays from discretionary balances		10
4020 Outlays, gross (total)		20
4180 Budget authority, net (total)	10	10
4190 Outlays, net (total)		20

IMMIGRATION EXAMINATIONS FEE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5088-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		242	229
0198 Adjustment for account de-merge	252		
0199 Balance, start of year	252	242	229
Receipts:			
Current law:			
1120 Immigration Examination Fee	3,896	3,885	3,888
2000 Total: Balances and receipts	4,148	4,127	4,117
Appropriations:			
Current law:			
2101 Immigration Examinations Fee	-3,896	-3,885	-3,888
2103 Immigration Examinations Fee	-252	-242	-229
2132 Immigration Examinations Fee	242	229	
2199 Total current law appropriations	-3,906	-3,898	-4,117
2999 Total appropriations	-3,906	-3,898	-4,117
5099 Balance, end of year	242	229	

Program and Financing (in millions of dollars)

Identification code 070-5088-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Citizenship and Immigration Services	4,330	4,392	4,477
0801 Reimbursable program activity	22	46	45
0900 Total new obligations, unexpired accounts	4,352	4,438	4,522
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,159	858	364
1021 Recoveries of prior year unpaid obligations	101		
1033 Recoveries of prior year paid obligations	5		
1050 Unobligated balance (total)	1,265	858	364
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other acct [015-0339]			-4
Appropriations, mandatory:			
1201 Immigration Examinations Fee Account	3,896	3,885	3,888

1203 Appropriation (previously unavailable)(special or trust)	252	242	229
1220 Appropriations transferred to other acct [015-0339]	-4	-4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-242	-229
1260 Appropriations, mandatory (total)	3,902	3,894	4,117
Spending authority from offsetting collections, mandatory:			
1800 Collected	44	46	45
1801 Change in uncollected payments, Federal sources	-2		
1802 Offsetting collections (previously unavailable)	5	4
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-4		
1850 Spending auth from offsetting collections, mand (total)	43	50	45
1900 Budget authority (total)	3,945	3,944	4,158
1930 Total budgetary resources available	5,210	4,802	4,522
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	858	364

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,239	1,493	1,647
3010 New obligations, unexpired accounts	4,352	4,438	4,522
3020 Outlays (gross)	-3,997	-4,284	-4,434
3040 Recoveries of prior year unpaid obligations, unexpired	-101		
3050 Unpaid obligations, end of year	1,493	1,647	1,735
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-20	-20
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-20	-20	-20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,217	1,473	1,627
3200 Obligated balance, end of year	1,473	1,627	1,715

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			-4
Outlays, gross:			
4010 Outlays from new discretionary authority			-4
Mandatory:			
4090 Budget authority, gross	3,945	3,944	4,162
Outlays, gross:			
4100 Outlays from new mandatory authority	3,252	2,811	2,927
4101 Outlays from mandatory balances	745	1,473	1,511
4110 Outlays, gross (total)	3,997	4,284	4,438
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-31	-37	-36
4123 Non-Federal sources	-18	-9	-9
4130 Offsets against gross budget authority and outlays (total)	-49	-46	-45
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	2		
4143 Recoveries of prior year paid obligations, unexpired accounts	5		
4150 Additional offsets against budget authority only (total)	7		
4160 Budget authority, net (mandatory)	3,903	3,898	4,117
4170 Outlays, net (mandatory)	3,948	4,238	4,393
4180 Budget authority, net (total)	3,903	3,898	4,113
4190 Outlays, net (total)	3,948	4,238	4,389

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	5	3
5092 Unexpired unavailable balance, EOY: Offsetting collections	3	

The Immigration Examinations Fee Account (IEFA) is authorized via Section 286(m) of the Immigration and Nationality Act (8 U.S.C. 1356(m)) and is the primary funding source for USCIS. IEFA provides the resources to: strengthen and effectively administer the immigration system; strengthen national security safeguards and combat fraud; and reinforce quality and consistency in administering immigration benefits.

Object Classification (in millions of dollars)

Identification code 070-5088-0-2-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,569	1,616	1,665
11.3 Other than full-time permanent	18	19	20
11.5 Other personnel compensation	111	114	117

11.9	Total personnel compensation	1,698	1,749	1,802
12.1	Civilian personnel benefits	550	567	584
13.0	Benefits for former personnel	1	1	1
21.0	Travel and transportation of persons	42	32	32
22.0	Transportation of things	13	18	18
23.1	Rental payments to GSA	273	288	288
23.2	Rental payments to others	4	6	6
23.3	Communications, utilities, and miscellaneous charges	43	118	118
24.0	Printing and reproduction	10	12	12
25.1	Advisory and assistance services	940	904	905
25.2	Other services from non-Federal sources	52	52	53
25.3	Other goods and services from Federal sources	280	280	280
25.4	Operation and maintenance of facilities	2	1	1
25.7	Operation and maintenance of equipment	168	144	145
26.0	Supplies and materials	36	39	40
31.0	Equipment	143	141	141
32.0	Land and structures	74	37	38
41.0	Grants, subsidies, and contributions			10
42.0	Insurance claims and indemnities	1	3	3
99.0	Direct obligations	4,330	4,392	4,477
99.0	Reimbursable obligations	22	46	45
99.9	Total new obligations, unexpired accounts	4,352	4,438	4,522

Employment Summary

Identification code 070-5088-0-2-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	17,839	18,806	19,430

IMMIGRATION EXAMINATIONS FEE

(Legislative proposal, subject to PAYGO)

The Budget includes a new proposal to add a 10 percent surcharge on all requests received by USCIS. These collections will be deposited into the General Fund of the Treasury for deficit reduction.

H-1B NONIMMIGRANT PETITIONER ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5106-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		25	26
0198 Adjustment for account de-merge	26		
0199 Balance, start of year	26	25	26
Receipts:			
Current law:			
1120 H-1B Nonimmigrant Petitioner Account	392	389	389
Proposed:			
1220 H-1B Nonimmigrant Petitioner Account			389
1999 Total receipts	392	389	778
2000 Total: Balances and receipts	418	414	804
Appropriations:			
Current law:			
2101 Training and Employment Services	-195	-194	-194
2101 State Unemployment Insurance and Employment Service Operations	-20	-19	-19
2101 H-1B Nonimmigrant Petitioner Account	-20	-15	-19
2101 Education and Human Resources	-157	-157	-157
2103 Training and Employment Services	-13	-12	-11
2103 State Unemployment Insurance and Employment Service Operations	-1	-1	
2103 H-1B Nonimmigrant Petitioner Account	-1	-1	
2103 Education and Human Resources	-10	-10	-9
2132 Training and Employment Services	12	11	
2132 State Unemployment Insurance and Employment Service Operations	1	1	
2132 H-1B Nonimmigrant Petitioner Account	1		
2132 Education and Human Resources	10	9	
2199 Total current law appropriations	-393	-388	-409
Proposed:			
2201 Training and Employment Services			-195
2201 State Unemployment Insurance and Employment Service Operations			-19
2201 Career and Technical Education State Grants, H-1B Funded			-117
2201 H-1B Nonimmigrant Petitioner Account			-19
2201 Education and Human Resources			-39
2299 Total proposed appropriations			-389

2999 Total appropriations	-393	-388	-798
5099 Balance, end of year	25	26	6

Program and Financing (in millions of dollars)

Identification code 070-5106-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Citizenship and Immigration Services	15	15	20
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	30	31
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	20	15	19
1203 Appropriation (previously unavailable)(special or trust)	1	1	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1		
1260 Appropriations, mandatory (total)	20	16	19
1900 Budget authority (total)	20	16	19
1930 Total budgetary resources available	45	46	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	31	30

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	4
3010 New obligations, unexpired accounts	15	15	20
3020 Outlays (gross)	-15	-13	-18
3050 Unpaid obligations, end of year	2	4	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	4
3200 Obligated balance, end of year	2	4	6

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	20	16	19
Outlays, gross:			
4100 Outlays from new mandatory authority	13	11	13
4101 Outlays from mandatory balances	2	2	5
4110 Outlays, gross (total)	15	13	18
4180 Budget authority, net (total)	20	16	19
4190 Outlays, net (total)	15	13	18

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	20	16	19
Outlays	15	13	18
Legislative proposal, subject to PAYGO:			
Budget Authority			19
Outlays			19
Total:			
Budget Authority	20	16	38
Outlays	15	13	37

The H-1B Nonimmigrant Petitioner Fee Account was established by Section 286(s) of the Immigration and Nationality Act (8 U.S.C. 1356(s)), and amended by the American Competitiveness and Workforce Improvement Act of 1998 (ACWIA), Public Law 105-277, Division C, Title IV, 112 Stat. 2681. The ACWIA fee was reauthorized and made permanent by the L-1 Visa and H-1B Visa Reform Act of 2004 (part of the Consolidated Appropriations Act, 2005, Public Law 108-447, 118 Stat. 2809, 3351-61 (2004)). The account supports activities related to the processing of petitions for nonimmigrant workers in the H-1B visa classification.

Object Classification (in millions of dollars)

Identification code 070-5106-0-2-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	13	13	18
99.0 Direct obligations	15	15	20

H-1B NONIMMIGRANT PETITIONER ACCOUNT—Continued
Object Classification—Continued

Identification code 070-5106-0-2-751	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	15	15	20

H-1B NONIMMIGRANT PETITIONER ACCOUNT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 070-5106-4-2-751	2019 actual	2020 est.	2021 est.
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Budgetary resources:
Budget authority:

1201 Appropriations, mandatory:			
1201 Appropriation (H-1B Fee)			19
1900 Budget authority (total)			19
1930 Total budgetary resources available			19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			19

Change in obligated balance:
Unpaid obligations:

3020 Outlays (gross)			-19
3050 Unpaid obligations, end of year			-19
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-19

Budget authority and outlays, net:
Mandatory:

4090 Budget authority, gross			19
Outlays, gross:			
4100 Outlays from new mandatory authority			19
4180 Budget authority, net (total)			19
4190 Outlays, net (total)			19

The Budget includes an increase of \$19 million for USCIS' share of the proposed increase in H-1B Nonimmigrant Petitioner Account receipts.

H-1B AND L FRAUD PREVENTION AND DETECTION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 070-5389-0-2-751	2019 actual	2020 est.	2021 est.
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0100 Balance, start of year		10	11
0198 Adjustment for account de-merge	10		
0199 Balance, start of year	10	10	11
Receipts:			
Current law:			
1120 H-1B and L Fraud Prevention and Detection Account	156	156	158
2000 Total: Balances and receipts	166	166	169
Appropriations:			
Current law:			
2101 H-1 B and L Fraud Prevention and Detection	-52	-52	-53
2101 H&L Fraud Prevention and Detection Fee	-52	-51	-51
2101 H-1B and L Fraud Prevention and Detection Account	-52	-52	-52
2103 H-1 B and L Fraud Prevention and Detection	-3	-3	-3
2103 H&L Fraud Prevention and Detection Fee	-3	-3	-3
2103 H-1B and L Fraud Prevention and Detection Account	-3		
2132 H-1 B and L Fraud Prevention and Detection	3	3	
2132 H&L Fraud Prevention and Detection Fee	3	3	
2132 H-1B and L Fraud Prevention and Detection Account	3		
2199 Total current law appropriations	-156	-155	-162
2999 Total appropriations	-156	-155	-162
5099 Balance, end of year	10	11	7

Program and Financing (in millions of dollars)

Identification code 070-5389-0-2-751	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 Citizenship and Immigration Services	44	48	50
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Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	33	47	51
1021 Recoveries of prior year unpaid obligations	6		
1050 Unobligated balance (total)	39	47	51
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	52	52	52
1203 Appropriation (previously unavailable)(special or trust) ...	3		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3		
1260 Appropriations, mandatory (total)	52	52	52
1900 Budget authority (total)	52	52	52
1930 Total budgetary resources available	91	99	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	47	51	53

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	21	12
3010 New obligations, unexpired accounts	44	48	50
3020 Outlays (gross)	-41	-57	-52
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3050 Unpaid obligations, end of year	21	12	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	21	12
3200 Obligated balance, end of year	21	12	10

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	52	52	52
Outlays, gross:			
4100 Outlays from new mandatory authority	27	36	36
4101 Outlays from mandatory balances	14	21	16
4110 Outlays, gross (total)	41	57	52
4180 Budget authority, net (total)	52	52	52
4190 Outlays, net (total)	41	57	52

The Fraud Prevention and Detection Account (FPDA) is authorized via Section 286(v) of the Immigration and Nationality Act (8 U.S.C. 1356(v)) and the L-1 Visa and H-1B Visa Reform Act of 2004 (part of P.L. 108-447). FPDA supports the operations, mission support, and associated management and administration (M&A) costs related to preventing and detecting fraud in the adjudication of all immigration benefit types.

Object Classification (in millions of dollars)

Identification code 070-5389-0-2-751	2019 actual	2020 est.	2021 est.
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Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	17	17	17
11.5 Other personnel compensation	2	1	1
11.9 Total personnel compensation	19	18	18
12.1 Civilian personnel benefits	6	6	6
23.1 Rental payments to GSA	2	2	3
25.1 Advisory and assistance services	16	17	17
25.2 Other services from non-Federal sources		3	3
25.3 Other goods and services from Federal sources		1	1
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	44	48	49
99.5 Adjustment for rounding			1
99.9 Total new obligations, unexpired accounts	44	48	50

Employment Summary

Identification code 070-5389-0-2-751	2019 actual	2020 est.	2021 est.
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1001 Direct civilian full-time equivalent employment	167	176	176
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FEDERAL LAW ENFORCEMENT TRAINING CENTER

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Federal Law Enforcement Training Centers for operations and support, including the purchase of not to exceed 117 vehicles for police-type use and hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, **[\$292,997,000] \$305,479,000**, of which **[\$54,283,000] \$61,391,000** shall remain available until September 30, **[2021] 2022: Provided**, That not to exceed \$7,180 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0509-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Mission Support	29	29	30
0002 CAS - Law Enforcement Training	226	241	252
0003 CAS - Minor Construction and Maintenance	28	23	23
0799 Total direct obligations	283	293	305
0801 Operations and Support (Reimbursable)	115	176	176
0900 Total new obligations, unexpired accounts	398	469	481
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	13	14
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	20	14	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	278	293	305
Spending authority from offsetting collections, discretionary:			
1700 Collected	85	130	130
1701 Change in uncollected payments, Federal sources	30	46	46
1750 Spending auth from offsetting collections, disc (total)	115	176	176
1900 Budget authority (total)	393	469	481
1930 Total budgetary resources available	413	483	496
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	13	14	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	77	93	75
3010 New obligations, unexpired accounts	398	469	481
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-378	-481	-479
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-4	-5	-5
3050 Unpaid obligations, end of year	93	75	71
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-27	-34	-75
3070 Change in uncollected pymts, Fed sources, unexpired	-30	-46	-46
3071 Change in uncollected pymts, Fed sources, expired	23	5	5
3090 Uncollected pymts, Fed sources, end of year	-34	-75	-116
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	50	59	
3200 Obligated balance, end of year	59		-45
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	393	469	481
Outlays, gross:			
4010 Outlays from new discretionary authority	229	403	413
4011 Outlays from discretionary balances	149	77	66
4020 Outlays, gross (total)	378	480	479
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-105	-185	-175
4033 Non-Federal sources	-5	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-110	-187	-177
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-30	-46	-46
4052 Offsetting collections credited to expired accounts	25	57	47
4060 Additional offsets against budget authority only (total)	-5	11	1
4070 Budget authority, net (discretionary)	278	293	305

4080	Outlays, net (discretionary)	268	293	302
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances		1	
4180	Budget authority, net (total)	278	293	305
4190	Outlays, net (total)	268	294	302

The Federal Law Enforcement Training Centers' (FLETC) serves as an interagency law enforcement training organization for over 100 participating organizations, providing the necessary facilities, equipment, and support services to conduct basic, advanced, specialized, and refresher training for Federal law enforcement personnel. FLETC personnel conduct the instructional programs for basic law enforcement recruits and some advanced training based on agency requests. Additionally, FLETC provides advanced training tuition-free, or at a reduced cost, to State, local, rural, tribal, and territorial law enforcement officers at all four of its campuses, through export training deliveries, and through distance learning on a space-available basis. In cooperation with the Department of State, FLETC manages the International Law Enforcement Academies (ILEA) at Gaborone, Botswana and Roswell, New Mexico, and provides training at the ILEAs in Bangkok, Thailand; Budapest, Hungary; and San Salvador, El Salvador. FLETC provides other training and assistance internationally in collaboration with and in support of U.S. embassies. Additionally, many international students attend training programs at FLETC each year on a space-available and fully reimbursable basis.

FLETC's Operations and Support account funds necessary operations, mission support, and associated management and administrative costs. In addition, this account includes the funding and activities that are associated with minor construction, maintenance, and improvement projects. The 2021 funding includes the implementation of the Terrorism Prevention Presenter Training Program to train State and local law enforcement in support of the DHS Office of Targeted Violence and Terrorism Prevention.

Object Classification (in millions of dollars)

Identification code 070-0509-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	97	100	101
11.3	Other than full-time permanent	1	1	2
11.5	Other personnel compensation	5	6	6
11.9	Total personnel compensation	103	107	109
12.1	Civilian personnel benefits	39	41	42
21.0	Travel and transportation of persons	5	3	2
23.3	Communications, utilities, and miscellaneous charges	10	9	10
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	5	4	3
25.2	Other services from non-Federal sources	10	12	16
25.3	Other goods and services from Federal sources	3	2	2
25.4	Operation and maintenance of facilities	37	41	49
25.6	Medical care	3	5	7
25.7	Operation and maintenance of equipment	18	18	14
25.8	Subsistence and support of persons	1	1	1
26.0	Supplies and materials	14	16	22
31.0	Equipment	15	15	9
32.0	Land and structures	19	18	18
99.0	Direct obligations	283	293	305
99.0	Reimbursable obligations	115	176	176
99.9	Total new obligations, unexpired accounts	398	469	481

Employment Summary

Identification code 070-0509-0-1-751	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	1,042	1,180	1,184
2001	Reimbursable civilian full-time equivalent employment	206	206	206

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Federal Law Enforcement Training Centers for procurement, construction, and improvements, **[\$58,173,000] \$26,000,000**, to remain

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
available until September 30, [2024] 2025. (Department of Homeland Security
Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0510-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CAS - Procurement, Construction, and Improvements (Direct)	4	58	26
0799 Total direct obligations	4	58	26
0801 Procurement, Construction, and Improvements (Reimbursable)	26	10	35
0900 Total new obligations, unexpired accounts	30	68	61
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	75	104	104
1010 Unobligated balance transfer to other accts [070-0540]	-1		
1021 Recoveries of prior year unpaid obligations	5	1	1
1050 Unobligated balance (total)	79	105	105
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	51	58	26
Spending authority from offsetting collections, discretionary:			
1700 Collected	158	74	45
1701 Change in uncollected payments, Federal sources	-152	-64	-10
1750 Spending auth from offsetting collections, disc (total)	6	10	35
1900 Budget authority (total)	57	68	61
1930 Total budgetary resources available	136	173	166
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2	-1	-1
1941 Unexpired unobligated balance, end of year	104	104	104
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	346	151	119
3010 New obligations, unexpired accounts	30	68	61
3011 Obligations ("upward adjustments"), expired accounts		1	1
3020 Outlays (gross)	-220	-100	-98
3040 Recoveries of prior year unpaid obligations, unexpired	-5	-1	-1
3050 Unpaid obligations, end of year	151	119	82
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-413	-205	-138
3070 Change in uncollected pymts, Fed sources, unexpired	152	64	10
3071 Change in uncollected pymts, Fed sources, expired	56	3	3
3090 Uncollected pymts, Fed sources, end of year	-205	-138	-125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-67	-54	-19
3200 Obligated balance, end of year	-54	-19	-43
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	57	68	61
Outlays, gross:			
4010 Outlays from new discretionary authority	3	8	7
4011 Outlays from discretionary balances	217	92	91
4020 Outlays, gross (total)	220	100	98
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-212	-76	-47
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	152	64	10
4052 Offsetting collections credited to expired accounts	54	2	2
4060 Additional offsets against budget authority only (total)	206	66	12
4070 Budget authority, net (discretionary)	51	58	26
4080 Outlays, net (discretionary)	8	24	51
4180 Budget authority, net (total)	51	58	26
4190 Outlays, net (total)	8	24	51

The Federal Law Enforcement Training Centers' (FLETC's) Procurement, Construction, and Improvement (PC&I) account funds the purchase, building, manufacturing, or assemblage of one or more end items that create, extend or enhance FLETC's existing capabilities. Funds provided through this account support the procurement, construction, and/or improvements of personal property end items with an individual cost of \$250,000

or more, and real property end items with an individual cost of \$2 million or more. Language in the President's Budget authorizes FLETC to receive reimbursements in the PC&I account, and also authorizes reimbursements to FLETC from U.S. Government agencies for the construction of special use facilities.

Object Classification (in millions of dollars)

Identification code 070-0510-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
31.0 Equipment		6	
32.0 Land and structures	4	52	26
99.0 Direct obligations	4	58	26
99.0 Reimbursable obligations	26	10	35
99.9 Total new obligations, unexpired accounts	30	68	61

SCIENCE AND TECHNOLOGY

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Science and Technology Directorate for operations and support, including the purchase or lease of not to exceed 5 vehicles, [\$314,864,000] \$284,789,000, of which [\$171,232,000] \$176,502,000 shall remain available until September 30, [2021] 2022: Provided, That not to exceed \$10,000 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0800-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Research, Development, Acquisition, and Operations	65	20	20
0003 CAS - Mission Support	138	144	108
0004 CAS - Laboratory Facilities	86	123	123
0005 CAS - Acquisition and Operations Analysis	39	48	54
0799 Total direct obligations	328	335	305
0801 Research, Development, Acquisitions and Operations (Reimbursable)	29	10	10
0900 Total new obligations, unexpired accounts	357	345	315
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	103	74	75
1010 Unobligated balance transfer to other accts [012-1600]	-6		
1021 Recoveries of prior year unpaid obligations	11		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	109	74	75
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	308	315	285
Spending authority from offsetting collections, discretionary:			
1700 Collected	22	31	31
1701 Change in uncollected payments, Federal sources	-6		
1750 Spending auth from offsetting collections, disc (total)	16	31	31
1900 Budget authority (total)	324	346	316
1930 Total budgetary resources available	433	420	391
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	74	75	76
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	626	395	323
3010 New obligations, unexpired accounts	357	345	315
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-573	-417	-350
3040 Recoveries of prior year unpaid obligations, unexpired	-11		
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	395	323	288
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-70	-58	-58
3070 Change in uncollected pymts, Fed sources, unexpired	6		

3071	Change in uncollected pymts, Fed sources, expired	6		
3090	Uncollected pymts, Fed sources, end of year	-58	-58	-58
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	556	337	265
3200	Obligated balance, end of year	337	265	230
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	324	346	316
Outlays, gross:				
4010	Outlays from new discretionary authority	134	145	118
4011	Outlays from discretionary balances	439	272	232
4020	Outlays, gross (total)	573	417	350
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-29	-29	-29
4033	Non-Federal sources	-1	-2	-2
4040	Offsets against gross budget authority and outlays (total)	-30	-31	-31
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	6		
4052	Offsetting collections credited to expired accounts	7		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4060	Additional offsets against budget authority only (total)	14		
4070	Budget authority, net (discretionary)	308	315	285
4080	Outlays, net (discretionary)	543	386	319
4180	Budget authority, net (total)	308	315	285
4190	Outlays, net (total)	543	386	319

The Science and Technology Directorate's (S&T) Operations and Support appropriation funds the necessary operations, mission support, and associated management and administration costs. Funding also supports systems engineering; test and evaluation; Standards; Safety Act; and the operations and maintenance of S&T's laboratory facilities.

Object Classification (in millions of dollars)

Identification code 070-0800-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	55	62	58
11.3	Other than full-time permanent	4	6	5
11.5	Other personnel compensation	1	1	2
11.8	Special personal services payments	2	3	3
11.9	Total personnel compensation	62	72	68
12.1	Civilian personnel benefits	18	22	21
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.1	Advisory and assistance services	147	116	114
25.2	Other services from non-Federal sources	6	2	2
25.3	Other goods and services from Federal sources	53	92	69
25.4	Operation and maintenance of facilities	5	4	4
25.5	Research and development contracts	10		
25.7	Operation and maintenance of equipment	14	7	7
26.0	Supplies and materials	1	3	3
31.0	Equipment	5	11	11
32.0	Land and structures	2	1	1
99.0	Direct obligations	328	335	305
99.0	Reimbursable obligations	29	10	10
99.9	Total new obligations, unexpired accounts	357	345	315

Employment Summary

Identification code 070-0800-0-1-751	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	410	507	437

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Science and Technology Directorate for Procurement, Construction, and Improvements, \$18,927,000, to remain available until September 30, 2025.

Program and Financing (in millions of dollars)

Identification code 070-0415-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Laboratory Facilities			19
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation			19
1930	Total budgetary resources available			19
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			19
3020	Outlays (gross)			-2
3050	Unpaid obligations, end of year			17
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			17
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			19
Outlays, gross:				
4010	Outlays from new discretionary authority			2
4180	Budget authority, net (total)			19
4190	Outlays, net (total)			2

The Science and Technology Directorate's (S&T) Procurement, Construction and Improvements (PC&I) appropriation supports requirements to ensure laboratory infrastructures remain aligned to S&T mission requirements. The PC&I funding allows S&T to make essential investments in expansion, maintenance, modernization, or removal of technologies and capabilities as necessitated to support requirements generated by Department of Homeland Security Components.

Object Classification (in millions of dollars)

Identification code 070-0415-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services			2
25.2	Other services from non-Federal sources			16
25.7	Operation and maintenance of equipment			1
99.9	Total new obligations, unexpired accounts			19

RESEARCH AND DEVELOPMENT

For necessary expenses of the Science and Technology Directorate for research and development, [\$422,411,000] \$340,013,000, to remain available until September 30, [2022] 2023. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0803-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	CAS - Research, Development and Innovation	419	381	318
0002	CAS - University Programs	41	41	22
0799	Total direct obligations	460	422	340
0801	Research and Development (Reimbursable)	35	41	41
0900	Total new obligations, unexpired accounts	495	463	381
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	175	218	197
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	178	218	197
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	511	422	340
1120	Appropriations transferred to other acct (070-0540)	-1		
1160	Appropriation, discretionary (total)	510	422	340

RESEARCH AND DEVELOPMENT—Continued
Program and Financing—Continued

Identification code 070-0803-0-1-751	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected	26	20	20
1900 Budget authority (total)	536	442	360
1930 Total budgetary resources available	714	660	557
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	218	197	176
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	520	600	543
3010 New obligations, unexpired accounts	495	463	381
3020 Outlays (gross)	-412	-520	-451
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	600	543	473
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-67	-67	-67
3090 Uncollected pymts, Fed sources, end of year	-67	-67	-67
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	453	533	476
3200 Obligated balance, end of year	533	476	406
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	536	442	360
Outlays, gross:			
4010 Outlays from new discretionary authority	52	104	88
4011 Outlays from discretionary balances	360	416	363
4020 Outlays, gross (total)	412	520	451
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-26	-20	-20
4180 Budget authority, net (total)	510	422	340
4190 Outlays, net (total)	386	500	431

The Science and Technology Directorate's (S&T) Research and Development appropriation provides funds for basic, applied, and developmental research supporting state-of-the-art technology and solutions to meet the needs of the Department of Homeland Security (DHS) Components and the first responder community. S&T's research and development activities also include technology demonstrations, university and industry partnerships, and technology transfer and commercialization. Funds also support critical homeland security-related research and education at U.S. colleges and universities to address high-priority, DHS-related issues and to enhance long term homeland security capabilities.

Object Classification (in millions of dollars)

Identification code 070-0803-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	2	2	2
25.1 Advisory and assistance services	89	81	46
25.2 Other services from non-Federal sources	10	8	10
25.3 Other goods and services from Federal sources	119	108	64
25.5 Research and development contracts	202	185	179
25.7 Operation and maintenance of equipment	2	2	2
31.0 Equipment	5	5	5
41.0 Grants, subsidies, and contributions	31	31	31
99.0 Direct obligations	460	422	339
99.0 Reimbursable obligations	35	41	42
99.9 Total new obligations, unexpired accounts	495	463	381

COUNTERING WEAPONS OF MASS DESTRUCTION
OFFICE

Federal Funds

OPERATIONS AND SUPPORT

For necessary expenses of the Countering Weapons of Mass Destruction Office for operations and support, **[\$179,467,000]** \$172,875,000, of which \$20,697,000 shall remain available until September 30, 2022: Provided, That not to exceed **[\$2,250]** \$4,500 shall be for official reception and representation expenses. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0861-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Management and Administration	184		
0003 Capability and Operational Support		94	89
0004 Mission Support		85	83
0799 Total direct obligations	184	179	172
0900 Total new obligations, unexpired accounts	184	179	172
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
1012 Unobligated balance transfers between expired and unexpired accounts		2	
1050 Unobligated balance (total)		2	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	187	180	173
1131 Unobligated balance of appropriations permanently reduced		-2	
1160 Appropriation, discretionary (total)	187	178	173
1900 Budget authority (total)	187	178	173
1930 Total budgetary resources available	187	180	174
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year		1	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	125	142
3010 New obligations, unexpired accounts	184	179	172
3020 Outlays (gross)	-82	-162	-216
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	125	142	98
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2		
3071 Change in uncollected pymts, Fed sources, expired	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	125	142
3200 Obligated balance, end of year	125	142	98
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	187	178	173
Outlays, gross:			
4010 Outlays from new discretionary authority	67	98	95
4011 Outlays from discretionary balances	15	64	121
4020 Outlays, gross (total)	82	162	216
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	187	178	173
4080 Outlays, net (discretionary)	80	162	216
4180 Budget authority, net (total)	187	178	173
4190 Outlays, net (total)	80	162	216

The Countering Weapons of Mass Destruction Office's (CWMD) Operations and Support account provides funds to support the development of counter WMD capabilities through strategic planning and analysis; test and evaluation of chemical, biological, and radiological/nuclear detection

technologies; assisting DHS operational components and other agencies in defining requirements necessary to achieve their mission; as well as defense and preparedness for biological and chemical events. This account supports food, agriculture, and veterinary readiness and resilience, as well as the CWMD Chief Medical Officer who has the responsibility within the Department for medical issues related to natural disasters, acts of terrorism, and other man-made disasters. Additionally, O&S funding provides for the day-to-day operation of the CWMD Office.

Object Classification (in millions of dollars)

Identification code 070-0861-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	25	32	37
11.5 Other personnel compensation			1
11.8 Special personal services payments	2	7	7
11.9 Total personnel compensation	27	39	45
12.1 Civilian personnel benefits	7	11	13
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	6	
25.1 Advisory and assistance services	34	42	40
25.2 Other services from non-Federal sources		17	15
25.3 Other goods and services from Federal sources	57	36	31
25.4 Operation and maintenance of facilities	35	6	6
25.5 Research and development contracts	5		
25.7 Operation and maintenance of equipment	3		
26.0 Supplies and materials		16	16
31.0 Equipment	5	4	4
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	182	179	172
99.0 Reimbursable obligations	2		
99.9 Total new obligations, unexpired accounts	184	179	172

Employment Summary

Identification code 070-0861-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	205	232	266

RESEARCH AND DEVELOPMENT

For necessary expenses of the Countering Weapons of Mass Destruction Office for research and development, **[\$69,181,000] \$58,209,000**, to remain available until September 30, **[2022] 2023**. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0860-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research, Development, and Operations	109		
0005 CAS - Detection Capability Assessments		11	
0009 Transformational Research and Development		34	24
0010 Technical Forensics		11	
0012 Detection Capability Development		35	32
0013 Rapid Capabilities		10	10
0900 Total new obligations, unexpired accounts	109	101	66
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	65	41	9
1021 Recoveries of prior year unpaid obligations	4		
1050 Unobligated balance (total)	69	41	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	83	69	58
1120 Appropriations transferred to other acct [070-0540]	-1		
1160 Appropriation, discretionary (total)	82	69	58
1900 Budget authority (total)	82	69	58
1930 Total budgetary resources available	151	110	67
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		

1941 Unexpired unobligated balance, end of year	41	9	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	145	140	152
3010 New obligations, unexpired accounts	109	101	66
3020 Outlays (gross)	-99	-89	-102
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	140	152	116
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	145	140	152
3200 Obligated balance, end of year	140	152	116

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	82	69	58
Outlays, gross:			
4010 Outlays from new discretionary authority	14	48	41
4011 Outlays from discretionary balances	85	41	61
4020 Outlays, gross (total)	99	89	102
4180 Budget authority, net (total)	82	69	58
4190 Outlays, net (total)	99	89	102

The Countering Weapons of Mass Destruction Office's (CWMD) Research and Development account provides funds for the exploration of early Technology Readiness Level (TRL) capabilities for the radiological/nuclear mission, and late stage TRL capabilities in the countering WMD mission to address gaps, or improve the performance of nuclear and bio-detection operations and systems. CWMD works closely with operational customers to ensure the effective transition of new technologies to the field. Funding for 2021 principally in this account supports applied and developmental projects that prioritize the delivery of capability into the hands of the operator.

Object Classification (in millions of dollars)

Identification code 070-0860-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	14	14	14
25.2 Other services from non-Federal sources		10	6
25.3 Other goods and services from Federal sources	49	11	6
25.4 Operation and maintenance of facilities	1		
25.5 Research and development contracts	39	58	36
41.0 Grants, subsidies, and contributions	6	8	4
99.0 Direct obligations	109	101	66
99.9 Total new obligations, unexpired accounts	109	101	66

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of the Countering Weapons of Mass Destruction Office for procurement, construction, and improvements, **[\$118,988,000] \$87,413,000**, to remain available until September 30, **[2022] 2023**. (*Department of Homeland Security Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 070-0862-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Systems Acquisition	85		
0006 Large Scale Detection Systems		142	61
0007 Portable Detection Systems		42	26
0799 Total direct obligations	85	184	87
0900 Total new obligations, unexpired accounts	85	184	87
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	65	
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	51	65	

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued
Program and Financing—Continued

Identification code 070-0862-0-1-751	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, discretionary:			
1100	100	119	87
1900	100	119	87
1930	151	184	87
Total budgetary resources available			
Memorandum (non-add) entries:			
1940	-1		
1941	65		
Change in obligated balance:			
Unpaid obligations:			
3000	133	119	198
3010	85	184	87
3020	-98	-105	-162
3040	-1		
3050	119	198	123
Memorandum (non-add) entries:			
3100	133	119	198
3200	119	198	123
Budget authority and outlays, net:			
Discretionary:			
4000	100	119	87
Outlays, gross:			
4010	3	48	35
4011	95	57	127
4020	98	105	162
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	-1		
4040	-1		
Additional offsets against gross budget authority only:			
4053	1		
4060	1		
4070	100	119	87
4080	97	105	162
4180	100	119	87
4190	97	105	162

The Countering Weapons of Mass Destruction Office's (CWMD) Procurement, Construction, and Improvements account provides funds for the acquisition and deployment of nuclear, radiological, chemical, and biological systems to support Department of Homeland Security operational components such as U.S. Customs and Border Protection. CWMD utilizes an integrated lifecycle approach in the management of these systems, and achieves efficiencies through a centralized acquisition process. Funding in this account supports the acquisition and deployment of enhanced Radiation Portal Monitors to begin recapitalization of the fleet; other programs to support scanning of cargo entering the Nation; and procurement of chemical, biological, and radiological equipment that can be carried, worn, or easily moved to support operational end-users.

Object Classification (in millions of dollars)

Identification code 070-0862-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	11	15	12
25.2		1	1
25.3	17	2	1
25.5	1		
31.0	56	166	73
99.9	85	184	87

activities, **[\$64,663,000]** \$58,663,000, to remain available until September 30, [2022] 2023. (Department of Homeland Security Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 070-0411-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	46		
0004		18	14
0005		58	14
0006		28	31
0900	46	104	59
Budgetary resources:			
Unobligated balance:			
1000	38	40	1
Budget authority:			
Appropriations, discretionary:			
1100	65	65	59
1131	-17		
1160	48	65	59
1930	86	105	60
Memorandum (non-add) entries:			
1941	40	1	1
Change in obligated balance:			
Unpaid obligations:			
3000	36	72	76
3010	46	104	59
3020	-10	-100	-60
3050	72	76	75
Memorandum (non-add) entries:			
3100	36	72	76
3200	72	76	75
Budget authority and outlays, net:			
Discretionary:			
4000	48	65	59
Outlays, gross:			
4010	2	34	30
4011	8	66	30
4020	10	100	60
4180	48	65	59
4190	10	100	60

The Countering Weapons of Mass Destruction Office (CWMD) Federal Assistance account provides the funds for outreach efforts necessary to ensure Federal, State, local, territorial, and tribal (FSLTT) and international partners have the access and resources to support the threat detection mission. FSLTT support is focused on detecting devices or materials prior to their entry into the United States and maximizing the probability of an encounter prior to WMD materials reaching potential targets. The Federal Assistance account also provides resources that support field operations for the Nation's biodetection system.

Object Classification (in millions of dollars)

Identification code 070-0411-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	5	33	19
25.3	1		
25.4	2		
25.5	2		
31.0		39	7
41.0	36	32	33
99.9	46	104	59

ADMINISTRATIVE PROVISIONS

FEDERAL ASSISTANCE
For necessary expenses of the Countering Weapons of Mass Destruction Office for Federal assistance through grants, contracts, cooperative agreements, and other

SEC. 401. Notwithstanding any other provision of law, funds otherwise made available to U.S. Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where

the Administrator of General Services does not provide vehicles for lease: *Provided*, That the Director of U.S. Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment.

SEC. 402. None of the funds appropriated by this Act may be used to process or approve a competition under Office of Management and Budget Circular A-76 for services provided by employees (including employees serving on a temporary or term basis) of U.S. Citizenship and Immigration Services of the Department of Homeland Security who are known as Immigration Information Officers, Immigration Service Analysts, Contact Representatives, Investigative Assistants, or Immigration Services Officers.

SEC. 403. (a) Not later than 30 days after the date of enactment of this Act and updated semimonthly thereafter, the Director of U.S. Citizenship and Immigration Services shall make available, on a publicly accessible website in a downloadable, searchable, and sortable format, a report containing not less than the previous twelve months of semimonthly data on—

- (1) the number of aliens determined to have a credible or reasonable fear of—
 - (A) persecution, as defined in section 235(b)(1)(B)(v) of the Immigration and Nationality Act; or
 - (B) torture, as defined in section 208.30 of title 8, Code of Federal Regulations (as in effect on January 1, 2018);
- (2) the total number of cases received by U.S. Citizenship and Immigration Services to adjudicate credible or reasonable fear claims, as described in paragraph (1), and the total number of cases closed.

(b) Such report shall also disaggregate the data described in subsection (a) with respect to the following subsets—

- (1) claims submitted by aliens detained at a U.S. Immigration and Customs Enforcement family residential center;
- (2) claims submitted by aliens organized by each subdivision of legal or administrative authority under which claims are reviewed; and
- (3) the job series of the personnel reviewing the claims.

SEC. 404. The Director of the Federal Law Enforcement Training Centers is authorized to distribute funds to Federal law enforcement agencies for expenses incurred participating in training accreditation.

SEC. 405. The Federal Law Enforcement Training Accreditation Board, including representatives from the Federal law enforcement community and non-Federal accreditation experts involved in law enforcement training, shall lead the Federal law enforcement training accreditation process to continue the implementation of measuring and assessing the quality and effectiveness of Federal law enforcement training programs, facilities, and instructors.

SEC. 406. The Director of the Federal Law Enforcement Training Centers may accept transfers to [the account established by section 407(a) of division F of the Consolidated Appropriations Act, 2018 (Public Law 115–141)] *its "Procurement, Construction, and Improvements" account* from Government agencies requesting the construction of special use facilities, as authorized by the Economy Act (31 U.S.C. 1535(b)): *Provided*, That the Federal Law Enforcement Training Centers maintain administrative control and ownership upon completion of such facilities.

SEC. 407. The functions of the Federal Law Enforcement Training Centers instructor or staff shall be classified as inherently governmental for purposes of the Federal Activities Inventory Reform Act of 1998 (31 U.S.C. 501 note). (*Department of Homeland Security Appropriations Act, 2020.*)

General Fund Offsetting receipts from the public	202	1,478	1,508
Intragovernmental payments:			
070–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	40		
General Fund Intragovernmental payments	40		

GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

(INCLUDING CANCELLATIONS OF FUNDS)

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. Subject to the requirements of section 503 of this Act, the unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this Act, may be merged with funds in the applicable established accounts, and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 503. (a) None of the funds provided by this Act, provided by previous appropriations Acts to the components in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year [2020] 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the components funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) creates or eliminates a program, project, or activity, or increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (2) contracts out any function or activity presently performed by Federal employees or any new function or activity proposed to be performed by Federal employees in the President's budget proposal for fiscal year [2020] 2021 for the Department of Homeland Security;
- (3) augments funding for existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;
- (4) reduces funding for any program, project, or activity, or numbers of personnel, by 10 percent or more; or
- (5) results from any general savings from a reduction in personnel that would result in a change in funding levels for programs, projects, or activities as approved by the Congress.

(b) Subsection (a) shall not apply if the Committees on Appropriations of the Senate and the House of Representatives are notified at least 15 days in advance of such reprogramming.

(c) Up to 5 percent of any appropriation made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred between such appropriations if the Committees on Appropriations of the Senate and the House of Representatives are notified at least 30 days in advance of such transfer, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by such transfer.

(d) Notwithstanding subsections (a), (b), and (c), no funds shall be reprogrammed within or transferred between appropriations based upon an initial notification provided after June 30, except in extraordinary circumstances that imminently threaten the safety of human life or the protection of property.

(e) The notification thresholds and procedures set forth in subsections (a), (b), (c), and (d) shall apply to any use of deobligated balances of funds provided in previous Department of Homeland Security Appropriations Acts that remain available for obligation in the current year.

(f) Notwithstanding subsection (c), the Secretary of Homeland Security may transfer to the fund established by 8 U.S.C. 1101 note, up to \$20,000,000 from appropriations available to the Department of Homeland Security: *Provided*, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 5 days in advance of such transfer.

SEC. 504. Section 504 of the Department of Homeland Security Appropriations Act, 2017 (division F of Public Law 115–31), related to the operations of a working capital fund, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act: *Provided*, That funds from such working capital fund may be obligated and expended in anticipation of reimbursements from components of the Department of Homeland Security.

SEC. 505. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020] 2021, as recorded in the financial records at the time of a reprogramming notification, but not later than June 30, [2021] 2022, from appropriations for "Operations and

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Governmental receipts:			
015–083400 Breached Bond Penalties	8	8	8
070–090100 Immigration Services Surcharge: Legislative proposal, subject to PAYGO			389
070–242600 Temporary L-1 Visa Fee Increase	12	13	13
070–242700 Temporary H-1B Visa Fee Increase	47	47	47
General Fund Governmental receipts	67	68	457
Offsetting receipts from the public:			
020–031100 Tonnage Duty Increases	27	27	28
070–090000 Passenger Security Fees Returned to the General Fund		1,400	1,440
070–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	42	22	22
069–242100 Marine Safety Fees	18	17	18
070–274030 Disaster Assistance, Downward Reestimates	63	12	
070–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	52		

Support" for fiscal year [2020]2021 in this Act shall remain available through September 30, [2021] 2022, in the account and for the purposes for which the appropriations were provided: *Provided*, That prior to the obligation of such funds, a notification shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives in accordance with section 503 of this Act.

SEC. 506. Funds made available by this Act for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year [2020]2021 until the enactment of an Act authorizing intelligence activities for fiscal year [2020] 2021.

SEC. 507. (a) The Secretary of Homeland Security, or the designee of the Secretary, shall notify the Committees on Appropriations of the Senate and the House of Representatives at least 3 full business days in advance of—

(1) making or awarding a grant allocation, grant, contract, other transaction agreement, or task or delivery order on a Department of Homeland Security multiple award contract, or to issue a letter of intent totaling in excess of \$1,000,000;

(2) awarding a task or delivery order requiring an obligation of funds in an amount greater than \$10,000,000 from multi-year Department of Homeland Security funds;

(3) making a sole-source grant award; or

(4) announcing publicly the intention to make or award items under paragraph (1), (2), or (3), including a contract covered by the Federal Acquisition Regulation.

(b) If the Secretary of Homeland Security determines that compliance with this section would pose a substantial risk to human life, health, or safety, an award may be made without notification, and the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives not later than 5 full business days after such an award is made or letter issued.

(c) A notification under this section—

(1) may not involve funds that are not available for obligation; and

(2) shall include the amount of the award; the fiscal year for which the funds for the award were appropriated; the type of contract; and the account from which the funds are being drawn.

SEC. 508. Notwithstanding any other provision of law, no agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without advance notification to the Committees on Appropriations of the Senate and the House of Representatives, except that the Federal Law Enforcement Training Centers is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training that cannot be accommodated in existing Centers' facilities.

SEC. 509. None of the funds appropriated or otherwise made available by this Act may be used for expenses for any construction, repair, alteration, or acquisition project for which a prospectus otherwise required under chapter 33 of title 40, United States Code, has not been approved, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus.

SEC. 510. Sections 520, 522, and 530 of the Department of Homeland Security Appropriations Act, 2008 (division E of Public Law 110-161; 121 Stat. 2073 and 2074) shall apply with respect to funds made available in this Act in the same manner as such sections applied to funds made available in that Act.

SEC. 511. None of the funds made available in this Act may be used in contravention of the applicable provisions of the Buy American Act: *Provided*, That for purposes of the preceding sentence, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 512. None of the funds made available in this Act may be used to amend the oath of allegiance required by section 337 of the Immigration and Nationality Act (8 U.S.C. 1448).

SEC. 513. None of the funds provided or otherwise made available in this Act shall be available to carry out section 872 of the Homeland Security Act of 2002 (6 U.S.C. 452) unless explicitly authorized by the Congress.

SEC. 514. None of the funds made available in this Act may be used for planning, testing, piloting, or developing a national identification card.

SEC. 515. Any official that is required by this Act to report or to certify to the Committees on Appropriations of the Senate and the House of Representatives may not delegate such authority to perform that act unless specifically authorized herein.

SEC. 516. None of the funds appropriated or otherwise made available in this or any other Act may be used to transfer, release, or assist in the transfer or release to or within the United States, its territories, or possessions Khalid Sheikh Mohammed or any other detainee who—

(1) is not a United States citizen or a member of the Armed Forces of the United States; and

(2) is or was held on or after June 24, 2009, at the United States Naval Station, Guantanamo Bay, Cuba, by the Department of Defense.

SEC. 517. None of the funds made available in this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301-10.122 through 301-10.124 of title 41, Code of Federal Regulations.

SEC. 518. None of the funds made available in this Act may be used to employ workers described in section 274A(h)(3) of the Immigration and Nationality Act (8 U.S.C. 1324a(h)(3)).

SEC. 519. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or performance that does not meet the basic requirements of a contract.

SEC. 520. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Homeland Security to enter into any Federal contract unless such contract is entered into in accordance with the requirements of subtitle I of title 41, United States Code, or chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless such contract is otherwise authorized by statute to be entered into without regard to the above referenced statutes.

SEC. 521. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. 522. None of the funds made available in this Act may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

SEC. 523. None of the funds made available in this Act may be used to pay for the travel to or attendance of more than 50 employees of a single component of the Department of Homeland Security, who are stationed in the United States, at a single international conference unless the Secretary of Homeland Security, or a designee, determines that such attendance is in the national interest and notifies the Committees on Appropriations of the Senate and the House of Representatives within at least 10 days of [that determination and] the basis for that determination: *Provided*, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations: *Provided further*, That the total cost to the Department of Homeland Security of any such conference shall not exceed \$500,000.

SEC. 524. None of the funds made available in this Act may be used to reimburse any Federal department or agency for its participation in a National Special Security Event.

SEC. 525. None of the funds made available to the Department of Homeland Security by this or any other Act may be obligated for any structural pay reform that affects more than 100 full-time positions or costs more than \$5,000,000 in a single year before the end of the 30-day period beginning on the date on which the Secretary of Homeland Security submits to Congress a notification that includes—

(1) the number of full-time positions affected by such change;

(2) funding required for such change for the current year and through the Future Years Homeland Security Program;

(3) justification for such change; and

(4) an analysis of compensation alternatives to such change that were considered by the Department.

SEC. 526. (a) Any agency receiving funds made available in this Act shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Committees on Appropriations of the Senate and the House of Representatives in this Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

(1) the public posting of the report compromises homeland or national security; or

(2) the report contains proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the Committees on Appropriations of the Senate and the House of Representatives for not less than 45 days except as otherwise specified in law.

SEC. 527. (a) Funding provided in this Act for "Operations and Support", or in the case of the Federal Emergency Management Agency for "Federal Assistance", may be used for minor procurement, construction, and improvements.

(b) For purposes of subsection (a), "minor" refers to end items with a unit cost of \$250,000 or less for personal property, and \$2,000,000 or less for real property.

SEC. 528. None of the funds made available by this Act may be obligated or expended to implement the Arms Trade Treaty until the Senate approves a resolution of ratification for the Treaty.

SEC. 529. The authority provided by section 532 of the Department of Homeland Security Appropriations Act, 2018 (Public Law 115–141) regarding primary and secondary schooling of dependents shall continue in effect during fiscal year [2020] 2021.

[SEC. 530. (a) For an additional amount for "Federal Emergency Management Agency-Federal Assistance", \$41,000,000, to remain available until September 30, 2021, exclusively for providing reimbursement of extraordinary law enforcement personnel costs for protection activities directly and demonstrably associated with any residence of the President that is designated or identified to be secured by the United States Secret Service.

(b) Subsections (b) through (f) of section 534 of the Department of Homeland Security Appropriations Act, 2018 (Public Law 115–141), shall be applied with respect to amounts made available by subsection (a) of this section by substituting "October 1, 2020" for "October 1, 2018" and "October 1, 2019" for "October 1, 2017".]

SEC. 531. (a) Section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391) shall be applied—

(1) In subsection (a), by substituting "September 30, [2020,] 2021," for "September 30, 2017,"; and

(2) In subsection (c)(1), by substituting "September 30, [2020,] 2021" for "September 30, 2017".

(b) The Secretary of Homeland Security, under the authority of section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391(a)), may carry out prototype projects under section 2371b of title 10, United States Code, and the Secretary shall perform the functions of the Secretary of Defense as prescribed.

(c) The Secretary of Homeland Security under section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391(d)) may use the definition of nontraditional government contractor as defined in section 2371b(e) of title 10, United States Code.

[SEC. 532. (a) None of the funds appropriated or otherwise made available to the Department of Homeland Security by this Act may be used to prevent any of the following persons from entering, for the purpose of conducting oversight, any facility operated by or for the Department of Homeland Security used to detain or otherwise house aliens, or to make any temporary modification at any such facility that in any way alters what is observed by a visiting member of Congress or such designated employee, compared to what would be observed in the absence of such modification:

(1) A Member of Congress.

(2) An employee of the United States House of Representatives or the United States Senate designated by such a Member for the purposes of this section.

(b) Nothing in this section may be construed to require a Member of Congress to provide prior notice of the intent to enter a facility described in subsection (a) for the purpose of conducting oversight.

(c) With respect to individuals described in subsection (a)(2), the Department of Homeland Security may require that a request be made at least 24 hours in advance of an intent to enter a facility described in subsection (a).]

SEC. 533. (a) Except as provided in subsection (b), none of the funds made available in this Act may be used to place restraints on a woman in the custody of the Department of Homeland Security (including during transport, in a detention facility, or at an outside medical facility) who is pregnant or in post-delivery recuperation.

(b) Subsection (a) shall not apply with respect to a pregnant woman if—

(1) an appropriate official of the Department of Homeland Security makes an individualized determination that the woman—

(A) is a serious flight risk, and such risk cannot be prevented by other means; or

(B) poses an immediate and serious threat to harm herself or others that cannot be prevented by other means; or

(2) a medical professional responsible for the care of the pregnant woman determines that the use of therapeutic restraints is appropriate for the medical safety of the woman.

(c) If a pregnant woman is restrained pursuant to subsection (b), only the safest and least restrictive restraints, as determined by the appropriate medical professional treating the woman, may be used. In no case may restraints be used on a woman who is in active labor or delivery, and in no case may a pregnant woman be restrained in a face-down position with four-point restraints, on her back, or in

a restraint belt that constricts the area of the pregnancy. A pregnant woman who is immobilized by restraints shall be positioned, to the maximum extent feasible, on her left side.

SEC. 534. None of the funds made available by this Act to the Department of Homeland Security may be used to destroy any document, recording, or other record pertaining to any potential sexual assault or abuse perpetrated against any individual held in the custody of the Department of Homeland Security.

SEC. 535. Section 519 of division F of Public Law 114–113, regarding a prohibition on funding for any position designated as a Principal Federal Official, shall apply with respect to funds made available in this Act in the same manner as such section applied to funds made available in that Act.

SEC. 536. Within 60 days of any budget submission for the Department of Homeland Security for fiscal year [2021] 2022 that assumes revenues or proposes a reduction from the previous year based on user fees proposals that have not been enacted into law prior to the submission of the budget, the Secretary of Homeland Security shall provide the Committees on Appropriations of the Senate and the House of Representatives specific reductions in proposed discretionary budget authority commensurate with the revenues assumed in such proposals in the event that they are not enacted prior to October 1, [2020] 2021.

(CANCELLATIONS)

[SEC. 537. (a) Of the unobligated balances of funds made available under the heading "U.S. Customs and Border Protection-Operations and Support" in Title III of the Emergency Supplemental Appropriations for Humanitarian Assistance and Security at the Southern Border Act, 2019 (Public Law 116–26), \$233,000,000 are hereby rescinded.

(b) The amounts rescinded pursuant to subsection (a) that were previously designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress as an emergency requirement pursuant to that section of that Act.]

[SEC. 538. Of the funds appropriated to the Department of Homeland Security, the following funds are hereby rescinded from the following accounts and programs in the specified amounts: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99–177):

(1) \$91,000,000 from Public Law 116–6 under the heading "Customs and Border Protection-Operations and Support".

(2) \$38,000,000 from Public Law 116–6 under the heading "Customs and Border Protection-Procurement, Construction and Improvements".

(3) \$20,000,000 from Public Law 115–141 under the heading "Customs and Border Protection-Procurement, Construction, and Improvements".

(4) \$5,000,000 from Public Law 115–141 under the heading "Coast Guard-Research, Development, Test, and Evaluation".

(5) \$42,379,000 from Public Law 116–6 under the heading "Transportation Security Administration-Operations and Support".

(6) \$5,764,000 from Public Law 116–6 under the heading "Transportation Security Administration-Procurement, Construction and Improvements".]

[SEC. 539. The following unobligated balances made available to the Department of Homeland Security pursuant to Section 505 of the Department of Homeland Security Appropriations Act, 2019 (Public Law 116–6) are rescinded:

(1) \$153,000 from "Office of the Secretary and Executive Management-Operations and Support"

(2) \$304,000 from "Management Directorate-Operations and Support";

(3) \$130,000 from "Intelligence, Analysis, and Operations Coordination-Operations and Support";

(4) \$3,600 from "Office of Inspector General-Operations and Support";

(5) \$269,000 from "U.S. Customs and Border Protection-Operations and Support";

(6) \$8,999,000 from "U.S. Immigration and Customs Enforcement-Operations and Support";

(7) \$69,000 from "Coast Guard-Operations and Support";

(8) \$695,000 from "United States Secret Service-Operations and Support";

(9) \$3,915,000 from "Cybersecurity and Infrastructure Security Agency-Operations and Support";

(10) \$1,815,000 from "U.S. Citizenship and Immigration Services-Operations and Support";

(11) \$313,000 from "Federal Law Enforcement Training Centers-Operations and Support";

(12) \$273,000 from "Science and Technology Directorate-Operations and Support";

(13) \$1,596,000 from "Countering Weapons of Mass Destruction Office-Operations and Support".】

【SEC. 540. Of the unobligated balances made available to "Federal Emergency Management Agency-Disaster Relief Fund", \$300,000,000 shall be rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985,

as amended: *Provided further*, That no amounts may be rescinded from the amounts that were designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985.】

SEC. 535. *Of the unobligated amounts made available by Public Law 116-93 under the heading "Coast Guard-Procurement, Construction, and Improvements", \$70,000,000 are hereby permanently cancelled. (Department of Homeland Security Appropriations Act, 2020.)*

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

PUBLIC AND INDIAN HOUSING PROGRAMS

Federal Funds

RENTAL ASSISTANCE DEMONSTRATION

For continuing activities under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112-55), as amended, \$100,000,000, to remain available through September 30, 2025, for targeted supplemental subsidy to properties seeking to convert from assistance under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) where the section 9 assistance is insufficient to support conversion of the property under the demonstration, in accordance with procedures established by the Secretary.

Program and Financing (in millions of dollars)

Identification code 086-0406-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 RAD Incremental Conversion Cost			100
0100 Direct program activities, subtotal			100
0900 Total new obligations, unexpired accounts (object class 41.0)			100
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			100
1930 Total budgetary resources available			100
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			100
3020 Outlays (gross)			-100
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			100
Outlays, gross:			
4010 Outlays from new discretionary authority			100
4180 Budget authority, net (total)			100
4190 Outlays, net (total)			100

The Budget provides \$100 million for the Rental Assistance Demonstration program and expands its authority to convert additional properties to long-term, project-based Section 8 contracts that can leverage private financing for capital improvements. Under existing authorities, Public Housing Authorities (PHAs) and other owners of rental properties assisted under the Public Housing, Moderate Rehabilitation, Moderate Rehabilitation Single-Room Occupancy, Rent Supplement, Rental Assistance Payment, and Section 202 Housing for the Elderly Project Rental Assistance Contracts programs are offered the option to convert their properties to long-term Section 8 contracts. The Budget expands authority to convert to Section 811 Project Rental Assistance Contracts, Senior Preservation Rental Assistance Contracts, and, in limited circumstances, Tenant Protection Vouchers.

Distinct from no-cost conversions, the requested \$100 million would be awarded to PHAs to cover the incremental subsidy necessary for Public Housing properties that could not otherwise convert in the absence of such funds.

The Budget also eliminates the 455,000 unit cap on no-cost Public Housing conversions.

TENANT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of tenant-based rental assistance authorized under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) ("the Act" herein), not otherwise provided for, \$19,874,050,000 \$14,833,000,000, to remain available until expended September 30, 2023, shall be available on October 1, 2019 2020 (in addition to the \$4,000,000,000 previously appropriated under this heading that shall be available on October 1, 2019 2020), and \$4,000,000,000, to remain available until expen-

ded September 30, 2024, shall be available on October 1, 2020 2021: Provided, That the amounts made available under this heading are provided as follows:

(1) \$21,502,000,000 \$16,958,000,000 shall be available for renewals of expiring section 8 tenant-based annual contributions contracts (including renewals of enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act) and including renewal of other special purpose incremental vouchers, in addition to amounts made available for this purpose under the heading "Moving to Work": Provided, That notwithstanding any other provision of law, from amounts provided under this paragraph and any carryover and amounts made available for this purpose under the heading "Moving to Work", the Secretary for the calendar year 2020 2021 funding cycle shall provide renewal funding for each public housing agency based on validated voucher management system (VMS) leasing and cost data for the prior calendar year and by applying an inflation factor as established by the Secretary, by notice published in the Federal Register, and by making any necessary adjustments for the costs associated with the first-time renewal of vouchers under this paragraph including tenant protection and Choice Neighborhoods vouchers: Provided further, That costs associated with any foregone increases in tenant rent payments due to the implementation of rent incentives as authorized pursuant to waivers or alternative requirements of the Jobs-Plus initiative as described under the heading "Self-Sufficiency Programs" shall be renewed: Provided further, That none of the funds provided under this paragraph may be used to fund a total number of unit months under lease which exceeds a public housing agency's authorized level of units under contract, except for public housing agencies participating in the MTW demonstration, which are instead governed by the terms and conditions of their MTW agreements: Provided further, That the Secretary shall, to the extent necessary to stay within the amount specified under this paragraph (except as otherwise modified under this paragraph) and under paragraph (1) under the heading "Moving to Work", prorate each public housing agency's allocation otherwise established pursuant to this paragraph: Provided further, That except as provided in the following provisos, the entire amount specified under this paragraph (except as otherwise modified under this paragraph) shall be obligated to the public housing agencies based on the allocation and pro rata method described above, and the Secretary shall notify public housing agencies of their annual budget by the latter of 60 days after enactment of this Act or March 1, 2020 2021: Provided further, That the Secretary may extend the notification period with the prior written approval of notification to the House and Senate Committees on Appropriations: Provided further, That public housing agencies participating in the Moving to Work (MTW) demonstration shall be funded pursuant to in accordance with the requirements of the MTW demonstration program and their MTW agreements, if any, and shall be subject to the same pro rata adjustments under the previous provisos: Provided further, That the Secretary may offset public housing agencies' calendar year 2020 2021 allocations based on the excess amounts of public housing agencies' net restricted assets accounts, including HUD-held programmatic reserves (in accordance with VMS data in calendar year 2019 2020 that is verifiable and complete), as determined by the Secretary: Provided further, That public housing agencies participating in the MTW demonstration shall also be subject to the offset, as determined by the Secretary, excluding amounts subject to the single fund budget authority provisions of their MTW agreements, from the agencies' calendar year 2020 2021 MTW funding allocation: Provided further, That the Secretary shall use any offset referred to in the previous two provisos throughout the calendar year to prevent the termination of rental assistance for families as the result of insufficient funding, as determined by the Secretary, and to avoid or reduce the proration of renewal funding allocations: Provided further, That up to \$100,000,000 shall be available only: (1) for adjustments in the allocations for public housing agencies, including agencies participating in the MTW demonstration, after application for an adjustment by a public housing agency that experienced a significant increase, as determined by the Secretary, in renewal costs of vouchers resulting from unforeseen circumstances or from portability under section 8(r) of the Act; (2) for vouchers that were not in use during the previous 12-month period in order to be available to meet a commitment pursuant to section 8(o)(13) of the Act, or an adjustment for a funding obligation not yet expended in the previous calendar year for an MTW-eligible activity to develop affordable housing for an agency added to the MTW demonstration under the expansion authority provided in section 239 of the Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016 (Division L of Public Law 114-113); (3) for adjustments for costs associated with HUD-Veterans Affairs Supportive Housing (HUD-VASH) vouchers; and (4) for public housing agencies including agencies participating in the MTW demonstration that despite taking reasonable cost savings measures, as determined by the Secretary, would otherwise be required to terminate rental assistance for

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families as a result of insufficient funding **¶**; (5) for adjustments in the allocations for public housing agencies that (i) are leasing a lower-than-average percentage of their authorized vouchers, (ii) have low amounts of budget authority in their net restricted assets accounts and HUD-held programmatic reserves, relative to other agencies, and (iii) are not participating in the Moving to Work demonstration, to enable such agencies to lease more vouchers; and (6) for public housing agencies that have experienced increased costs or loss of units in an area for which the President declared a disaster under title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170 et seq.) **¶**: *Provided further*, That the Secretary shall allocate amounts under the previous proviso based on need, as determined by the Secretary;

(2) **¶** **[\$75,000,000]** **\$100,000,000** shall be for section 8 rental assistance for relocation and replacement of housing units that are demolished or disposed of pursuant to section 18 of the Act, conversion of section 23 projects to assistance under section 8, the family unification program under section 8(x) of the Act, relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from a law enforcement or prosecution agency, enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act, Choice Neighborhood vouchers, mandatory and voluntary conversions, and tenant protection assistance including replacement and relocation assistance or for project-based assistance to prevent the displacement of unassisted elderly tenants currently residing in section 202 properties financed between 1959 and 1974 that are refinanced pursuant to Public Law 106–569, as amended, or under the authority as provided under this Act: *Provided*, That when a public housing development is submitted for demolition or disposition under section 18 of the Act, the Secretary may provide section 8 rental assistance when the units pose an imminent health and safety risk to residents: *Provided further*, That the Secretary may only provide replacement vouchers for units that were occupied within the previous 24 months that cease to be available as assisted housing, subject only to the availability of funds: **¶** *Provided further*, That of the amounts made available under this paragraph, up to \$5,000,000 may be available to provide tenant protection assistance, not otherwise provided under this paragraph, to residents residing in low vacancy areas and who may have to pay rents greater than 30 percent of household income, as the result of: (A) the maturity of a HUD-insured, HUD-held or section 202 loan that requires the permission of the Secretary prior to loan prepayment; (B) the expiration of a rental assistance contract for which the tenants are not eligible for enhanced voucher or tenant protection assistance under existing law; or (C) the expiration of affordability restrictions accompanying a mortgage or preservation program administered by the Secretary: *Provided further*, That such tenant protection assistance made available under the previous proviso may be provided under the authority of section 8(t) or section 8(o)(13) of the United States Housing Act of 1937 (42 U.S.C. 1437f(t)): *Provided further*, That the Secretary shall issue guidance to implement the previous provisos, including, but not limited to, requirements for defining eligible at-risk households within 60 days of the enactment of this Act: *Provided further*, That any tenant protection voucher made available from amounts under this paragraph shall not be reissued by any public housing agency, except the replacement vouchers as defined by the Secretary by notice, when the initial family that received any such voucher no longer receives such voucher, and the authority for any public housing agency to issue any such voucher shall cease to exist: **¶** *Provided further*, That the Secretary may provide section 8 rental assistance from amounts made available under this paragraph for units assisted under a project-based subsidy contract funded under the "Project-Based Rental Assistance" heading under this title where the owner has received a Notice of Default and the units pose an imminent health and safety risk to residents: *Provided further*, That to the extent that the Secretary determines that such units are not feasible for continued rental assistance payments or transfer of the subsidy contract associated with such units to another project or projects and owner or owners, any remaining amounts associated with such units under such contract shall be recaptured and *such recaptured amounts, in an amount equal to the cost of rental assistance provided pursuant to the previous proviso, up to the total amounts recaptured, shall be transferred to and merged with amounts* **¶** **[used to reimburse amounts used]** under this paragraph: **¶** for rental assistance under the preceding proviso; **¶** *Provided further*, That any tenant protection voucher made available from amounts under this paragraph shall not be reissued by any public housing agency, except the replacement vouchers as defined by the Secretary by notice, when the initial family that received any such voucher no longer receives such voucher, and the authority for any public housing agency to issue any such voucher shall cease to exist;

(3) **¶** **[\$1,977,000,000]** **\$1,465,000,000** shall be for administrative and other expenses of public housing agencies in administering the section 8 tenant-based rental assistance program, *in addition to amounts made available for this purpose*

*under the heading "Moving to Work", of which up to **¶** **[\$30,000,000]** **\$10,000,000** shall be available to the Secretary to allocate to public housing agencies, including agencies participating in the MTW demonstration, that need additional funds to administer their section 8 programs, including fees associated with section 8 tenant protection rental assistance, the administration of disaster related vouchers, HUD-VASH vouchers, and other special purpose incremental vouchers: *Provided*, That no less than **¶** **[\$1,947,000,000]** **\$1,455,000,000** of the amount provided in this paragraph *and all amounts for this purpose under the heading "Moving to Work"* shall be allocated to public housing agencies for the calendar year **¶** **[2020]** **2021** funding cycle based on section 8(q) of the Act (and related Appropriation Act provisions) as in effect immediately before the enactment of the Quality Housing and Work Responsibility Act of 1998 (Public Law 105–276): *Provided further*, That if the amounts made available under this paragraph *in addition to amounts for this purpose provided under the heading "Moving to Work"* are insufficient to pay the amounts determined under the previous proviso, the Secretary may decrease the amounts allocated to agencies by a uniform percentage applicable to all agencies receiving funding under this paragraph or may, to the extent necessary to provide full payment of amounts determined under the previous proviso, utilize unobligated balances, including recaptures and carryovers, remaining from funds appropriated to the Department of Housing and Urban Development under this heading from prior fiscal years, excluding special purpose vouchers, notwithstanding the purposes for which such amounts were appropriated: *Provided further*, That all public housing agencies participating in the MTW demonstration shall be funded **¶** pursuant to **¶** *in accordance with the requirements of the MTW demonstration program and their MTW agreements, if any, and shall be subject to the same uniform percentage decrease as under the previous proviso: *Provided further*, That amounts provided under this paragraph shall be only for activities related to the provision of tenant-based rental assistance authorized under section 8, including related development activities;**

(4) **¶** **[\$229,050,000]** **\$310,000,000** for the renewal of tenant-based assistance contracts under section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), including necessary administrative expenses: *Provided*, That administrative and other expenses of public housing agencies in administering the special purpose vouchers in this paragraph shall be funded under the same terms and be subject to the same pro rata reduction as the percent decrease for administrative and other expenses to public housing agencies under paragraph (3) of this heading: *Provided further*, That up to **¶** **\$10,000,000** shall be available only (1) for adjustments in the allocations for public housing agencies, after applications for an adjustment by a public housing agency that experienced a significant increase, as determined by the Secretary, in Mainstream renewal costs resulting from unforeseen circumstances, and (2) for public housing agencies that despite taking reasonable cost saving measures, as determined by the Secretary, would otherwise be required to terminate the rental assistance for Mainstream families as a result of insufficient funding: *Provided further*, That the Secretary shall allocate amounts under the previous proviso based on need, as determined by the Secretary: *Provided further*, That upon turnover, section 811 special purpose vouchers funded under this heading in this or prior Acts, or under any other heading in prior Acts, shall be provided to non-elderly persons with disabilities;

(5) *Of the amounts provided under paragraph (1), up to **¶** **[\$1,000,000]** **\$4,000,000** **¶** **[shall]** may be for rental assistance and associated administrative fees for Tribal HUD-VASH to serve Native American veterans that are homeless or at-risk of homelessness living on or near a reservation or other Indian areas: *Provided*, That such amount shall be made available for renewal grants to recipients that received assistance under prior Acts under the Tribal HUD-VASH program: *Provided further*, That the Secretary shall be authorized to specify criteria for renewal grants, including data on the utilization of assistance reported by grant recipients: *Provided further*, That such assistance shall be administered in accordance with program requirements under the Native American Housing Assistance and Self-Determination Act of 1996 and modeled after the HUD-VASH program: *Provided further*, That the Secretary shall be authorized to waive, or specify alternative requirements for any provision of any statute or regulation that the Secretary administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration of such assistance: *Provided further*, That grant recipients shall report to the Secretary on utilization of such rental assistance and other program data, as prescribed by the Secretary: *Provided further*, That the Secretary may reallocate, as determined by the Secretary, amounts returned or recaptured from awards under prior Acts to existing recipients under the Tribal HUD-VASH program; and*

¶ **(\$40,000,000** for incremental rental voucher assistance for use through a supported housing program administered in conjunction with the Department of

Veterans Affairs as authorized under section 8(o)(19) of the United States Housing Act of 1937: *Provided*, That the Secretary of Housing and Urban Development shall make such funding available, notwithstanding section 203 (competition provision) of this title, to public housing agencies that partner with eligible VA Medical Centers or other entities as designated by the Secretary of the Department of Veterans Affairs, based on geographical need for such assistance as identified by the Secretary of the Department of Veterans Affairs, public housing agency administrative performance, and other factors as specified by the Secretary of Housing and Urban Development in consultation with the Secretary of the Department of Veterans Affairs: *Provided further*, That the Secretary of Housing and Urban Development may waive, or specify alternative requirements for (in consultation with the Secretary of the Department of Veterans Affairs), any provision of any statute or regulation that the Secretary of Housing and Urban Development administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration of such voucher assistance: *Provided further*, That assistance made available under this paragraph shall continue to remain available for homeless veterans upon turnover;]

[(7) \$25,000,000 shall be made available for the family unification program as authorized under section 8(x) of the Act: *Provided*, That the amounts made available under this paragraph are provided as follows:]

[(A) \$5,000,000 shall be for new incremental voucher assistance: *Provided*, That the assistance made available under this subparagraph shall continue to remain available for family unification upon turnover; and]

[(B) \$20,000,000 shall be for new incremental voucher assistance to assist eligible youth as defined by such section 8(x)(2)(B): *Provided*, That assistance made available under this subparagraph shall continue to remain available for such eligible youth upon turnover: *Provided further*, That of the total amount made available under this subparagraph, up to \$10,000,000 shall be available on a non-competitive basis to public housing agencies that partner with public child welfare agencies to identify such eligible youth, that request such assistance to timely assist such eligible youth, and that meet any other criteria as specified by the Secretary: *Provided further*, That the Secretary shall review utilization of the assistance made available under the previous proviso, at an interval to be determined by the Secretary, and unutilized voucher assistance that is no longer needed shall be recaptured by the Secretary and reallocated pursuant to the previous proviso: *Provided further*, That for any public housing agency administering voucher assistance appropriated in a prior Act under the family unification program, or made available and competitively selected under this paragraph, that determines that it no longer has an identified need for such assistance upon turnover, such agency shall notify the Secretary, and the Secretary shall recapture such assistance from the agency and reallocate it to any other public housing agency or agencies based on need for voucher assistance in connection with such specified program or eligible youth, as applicable;]

[(8) \$25,000,000 shall be made available for the mobility demonstration authorized under section 235 of division G of the Consolidated Appropriations Act, 2019 (42 U.S.C. 1437f note; Public Law 116–6; 133 Stat. 465), of which up to \$5,000,000 shall be for new incremental voucher assistance and the remainder of which shall be available to provide mobility-related services to families with children, including pre- and post-move counseling and rent deposits, and to offset the administrative costs of operating the mobility demonstration: *Provided*, That incremental voucher assistance made available under this paragraph shall be for families with children participating in the mobility demonstration and shall continue to remain available for families with children upon turnover: *Provided further*, That for any public housing agency administering voucher assistance under the mobility demonstration that determines that it no longer has an identified need for such assistance upon turnover, such agency shall notify the Secretary, and the Secretary shall recapture such assistance from the agency and reallocate it to any other public housing agency or agencies based on need for voucher assistance in connection with such demonstration; and]

[(9)] (6) the Secretary shall separately track all special purpose vouchers funded under this heading. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

0007	Rental Assistance Demonstration	90	73	64
0008	Veterans Affairs Supportive Housing Vouchers	5	83
0013	Section 811 Mainstream Vouchers	129	635	310
0014	Family Unification Program	30	45
0015	Tribal HUD VASH	2	11
0016	Family Mobility Demonstration	50
0900	Total new obligations, unexpired accounts (object class 41.0)	22,640	24,784	18,897

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	807	864
1011	Unobligated balance transfer from other acct [086–0163] ...	1
1020	Adjustment of unobligated bal brought forward, Oct 1	-11
1021	Recoveries of prior year unpaid obligations	8
1033	Recoveries of prior year paid obligations	12
1050	Unobligated balance (total)	817	864
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	18,598	19,874	14,833
1121	Appropriations transferred from other acct [086–0304] ...	23	18
1121	Appropriations transferred from other acct [086–0163] ...	66	34	64
1131	Unobligated balance of appropriations permanently reduced	-6
1160	Appropriation, discretionary (total)	18,687	19,920	14,897
Advance appropriations, discretionary:				
1170	Advance appropriation	4,000	4,000	4,000
1900	Budget authority (total)	22,687	23,920	18,897
1930	Total budgetary resources available	23,504	24,784	18,897
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	864

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3,871	4,294	4,729
3001	Adjustments to unpaid obligations, brought forward, Oct 1	11
3010	New obligations, unexpired accounts	22,640	24,784	18,897
3020	Outlays (gross)	-22,220	-24,349	-19,792
3040	Recoveries of prior year unpaid obligations, unexpired	-8
3050	Unpaid obligations, end of year	4,294	4,729	3,834
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3,882	4,294	4,729
3200	Obligated balance, end of year	4,294	4,729	3,834

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	22,687	23,920	18,897
Outlays, gross:				
4010	Outlays from new discretionary authority	19,181	20,913	16,645
4011	Outlays from discretionary balances	3,039	3,436	3,147
4020	Outlays, gross (total)	22,220	24,349	19,792
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-12
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts	12
4070	Budget authority, net (discretionary)	22,687	23,920	18,897
4080	Outlays, net (discretionary)	22,208	24,349	19,792
4180	Budget authority, net (total)	22,687	23,920	18,897
4190	Outlays, net (total)	22,208	24,349	19,792

The Budget provides \$18.8 billion for the Tenant-Based Rental Assistance (TBRA) program (also known as the Housing Choice Voucher program), which is the Federal Government's largest income-targeted rental assistance program. With this funding, the Housing Choice Voucher program will provide housing assistance to around 1.9 million extremely low- to very low-income families to rent decent, safe, and sanitary housing in the private market. About 2,200 state and local Public Housing Authorities (PHAs) administer the Housing Choice Voucher program.

The Budget provides \$16.9 billion in contract renewals to continue to assist families in calendar year 2021. The Budget also includes \$310 million for the renewal of Section 811 mainstream housing vouchers for persons with disabilities, including the first-time renewal of new mainstream vouchers allocated in 2020, and associated administrative fees, as well as a new set-aside to provide adjustments to PHAs as a result of significant increases in mainstream renewal costs resulting from unforeseen circum-

Program and Financing (in millions of dollars)

Identification code 086–0302–0–1–604	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Tenant Protection	118	185	100
0002	Administrative Fees	1,904	2,151	1,465
0006	Contract Renewals	20,362	21,551	16,958

TENANT-BASED RENTAL ASSISTANCE—Continued

stances and to prevent the termination of assistance for mainstream families should there be insufficient funding.

In addition, the Budget requests the following: \$1.5 billion in PHA administrative fees to support core functions such as admitting households, conducting housing quality inspections, and completing tenant income certifications; \$100 million for tenant protection vouchers, which are provided to families who may have to relocate due to actions beyond their control, such as a public housing demolition or redevelopment, and when private owners of multi-family developments choose to leave the project-based program or convert to long-term Section 8 contracts; and up to \$4 million for the renewal of vouchers by tribes under the Tribal Housing and Department of Housing and Urban Development and Department of Veterans Affairs Supportive Housing program, to serve Native American veterans who are homeless or at risk of homelessness and living in and around designated tribal areas. The Budget also reflects that PHAs with the Moving to Work (MTW) designation will be receiving funds from the MTW account, instead of the TBRA and Public Housing accounts. The separate MTW account includes \$4.5 billion for MTW agencies' contract renewals and administrative fees.

Further, the Budget continues to support legislative reforms as reflected in the Making Affordable Housing Work Act of 2018 and uniform work requirements.

HOUSING CERTIFICATE FUND

(INCLUDING [RESCISSIONS] CANCELLATIONS)

Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading, the heading "Annual Contributions for Assisted Housing" and the heading "Project-Based Rental Assistance", for fiscal year [2020]2021 and prior years may be used for renewal of or amendments to section 8 project-based contracts and for performance-based contract administrators, notwithstanding the purposes for which such funds were appropriated: *Provided*, That any obligated balances of contract authority from fiscal year 1974 and prior that have been terminated [shall be rescinded] *are hereby permanently cancelled: Provided further*, That amounts heretofore recaptured, or recaptured during the current fiscal year, from section 8 project-based contracts from source years fiscal year 1975 through fiscal year 1987 are hereby [rescinded] *permanently cancelled*, and an amount of additional new budget authority, equivalent to the amount [rescinded] *permanently cancelled* is hereby appropriated, to remain available until expended, for the purposes set forth under this heading, in addition to amounts otherwise available. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0319-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contract Renewals	30		
0002 Contract Administrators	88	20	10
0900 Total new obligations, unexpired accounts (object class 41.0)	118	20	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	142	40	52
1020 Adjustment of unobligated bal brought forward, Oct 1	-12		
1021 Recoveries of prior year unpaid obligations	38	66	66
1029 Other balances withdrawn to Treasury	-21	-36	-36
1033 Recoveries of prior year paid obligations	11	2	2
1050 Unobligated balance (total)	158	72	84
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	26	26
1131 Unobligated balance of appropriations permanently reduced (HCF funds)			
1930 Total budgetary resources available	158	72	84
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	52	74

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	271	220	143
3001 Adjustments to unpaid obligations, brought forward, Oct 1	12		
3010 New obligations, unexpired accounts	118	20	10
3020 Outlays (gross)	-143	-31	-58
3040 Recoveries of prior year unpaid obligations, unexpired	-38	-66	-66
3050 Unpaid obligations, end of year	220	143	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	283	220	143
3200 Obligated balance, end of year	220	143	29

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	143	31	58
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-11	-2	-2
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	11	2	2
4080 Outlays, net (discretionary)	132	29	56
4180 Budget authority, net (total)			
4190 Outlays, net (total)	132	29	56

Until 2005, the Housing Certificate Fund provided funding to both the project-based and tenant-based components of the Section 8 program. Project-Based Rental Assistance (PBRA) and Tenant-Based Rental Assistance are now funded in separate accounts. The Housing Certificate Fund retains and recovers balances from previous years' appropriations, and uses those balances to support PBRA contract renewals, amendments, and administration.

PUBLIC HOUSING CAPITAL FUND

(INCLUDING TRANSFER OF FUNDS)

[For the Public Housing Capital Fund Program to carry out capital and management activities for public housing agencies, as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the "Act") \$2,869,893,812, to remain available until September 30, 2023: *Provided*, That notwithstanding any other provision of law or regulation, during fiscal year 2020, the Secretary of Housing and Urban Development may not delegate to any Department official other than the Deputy Secretary and the Assistant Secretary for Public and Indian Housing any authority under paragraph (2) of section 9(j) regarding the extension of the time periods under such section: *Provided further*, That for purposes of such section 9(j), the term "obligate" means, with respect to amounts, that the amounts are subject to a binding agreement that will result in outlays, immediately or in the future: *Provided further*, That of the total amount made available under this heading, up to \$14,000,000 shall be to support ongoing public housing financial and physical assessment activities: *Provided further*, That of the total amount made available under this heading, up to \$1,000,000 shall be to support the costs of administrative and judicial receiverships: *Provided further*, That of the total amount provided under this heading, not to exceed \$64,650,000 shall be available for the Secretary to make grants, notwithstanding section 203 of this Act, to public housing agencies for emergency capital needs including safety and security measures necessary to address crime and drug-related activity as well as needs resulting from unforeseen or unpreventable emergencies and natural disasters excluding Presidentially declared emergencies and natural disasters under the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5121 et seq.) occurring in fiscal year 2020, of which \$34,650,000 shall be available for public housing agencies under administrative and judicial receiverships or under the control of a Federal monitor: *Provided further*, That of the amount made available under the previous proviso, not less than \$10,000,000 shall be for safety and security measures: *Provided further*, That in addition to the amount in the previous proviso for such safety and security measures, any amounts that remain available, after all applications received on or before September 30, 2021, for emergency capital needs have been processed, shall be allocated to public housing agencies for such safety and security measures: *Provided further*, That for funds provided under this heading, the limitation in section 9(g)(1) of the Act shall be 25 percent: *Provided further*, That the Secretary may waive the limitation in the previous proviso to allow public housing agencies to fund activities authorized under section 9(e)(1)(C) of the Act: *Provided further*, That the Secretary shall notify public housing agencies requesting waivers under the previous proviso if the request is

approved or denied within 14 days of submitting the request: *Provided further*, That from the funds made available under this heading, the Secretary shall provide bonus awards in fiscal year 2020 to public housing agencies that are designated high performers: *Provided further*, That the Department shall notify public housing agencies of their formula allocation within 60 days of enactment of this Act: *Provided further*, That of the total amount provided under this heading, \$45,000,000 shall be available for competitive grants to public housing agencies to evaluate and reduce lead-based paint hazards and other housing-related hazards including carbon monoxide and mold in public housing: *Provided further*, That of the amounts available under the previous proviso, no less than \$25,000,000 shall be for competitive grants to public housing agencies to evaluate and reduce lead-based paint hazards in public housing by carrying out the activities of risk assessments, abatement, and interim controls (as those terms are defined in section 1004 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 U.S.C. 4851b)): *Provided further*, That for purposes of environmental review, a grant under the previous two provisos shall be considered funds for projects or activities under title I of the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) for purposes of section 26 of such Act (42 U.S.C. 1437x) and shall be subject to the regulations implementing such section: *Provided further*, That for funds made available under the previous three provisos, the Secretary shall allow a PHA to apply for up to 20 percent of the funds made available under the first two provisos and prioritize need when awarding grants. **Unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading in prior fiscal years, excluding set asides, shall be transferred to the heading "Public Housing Fund" for distribution to public housing agencies pursuant to the Operating Fund formula at part 990 of title 24, Code of Federal Regulations. (Department of Housing and Urban Development Appropriations Act, 2020.)**

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,741	2,839
Outlays, gross:				
4010	Outlays from new discretionary authority	120	106
4011	Outlays from discretionary balances	2,032	2,312	2,553
4020	Outlays, gross (total)	2,152	2,418	2,553
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-2
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1
4053	Recoveries of prior year paid obligations, unexpired accounts	1
4060	Additional offsets against budget authority only (total)	2
4070	Budget authority, net (discretionary)	2,741	2,839
4080	Outlays, net (discretionary)	2,150	2,418	2,553
4180	Budget authority, net (total)	2,741	2,839
4190	Outlays, net (total)	2,150	2,418	2,553

The 2021 Budget requests no funding for the Public Housing Capital Fund, a formula grant program designed to address the most acute capital repairs and replacement needs in public housing properties. The Budget proposes that all unobligated balances from the Capital Fund, excluding set-asides, be directed to the Public Housing Fund and distributed to Public Housing Authorities through the Operating Fund subsidy formula.

Program and Financing (in millions of dollars)

Identification code 086-0304-0-1-604	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Capital Grants (Modernization)	2,624	2,821
0003	Emergency/Disaster Reserve	9	20
0004	Emergency/Disaster Reserve (Receivership PHAs)	34
0006	Resident Opportunities and Supportive Services	31
0007	Administrative Receivership	2	1
0008	Financial and Physical Assessment Support	13	14
0010	Jobs-Plus Pilot	14
0011	Safety and Security	5	10
0012	Lead-Based Paint Hazards	28	20
0013	Other Health Hazards	25
0900	Total new obligations, unexpired accounts (object class 41.0)	2,726	2,945
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	87	106
1020	Adjustment of unobligated bal brought forward, Oct 1	-2
1021	Recoveries of prior year unpaid obligations	6
1033	Recoveries of prior year paid obligations	1
1050	Unobligated balance (total)	92	106
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,775	2,870
1120	Appropriations transferred to other accts [086-0302]	-23	-18
1120	Appropriations transferred to other accts [086-0303]	-11	-13
1160	Appropriation, discretionary (total)	2,741	2,839
1930	Total budgetary resources available	2,833	2,945
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1
1941	Unexpired unobligated balance, end of year	106
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4,608	5,170	5,697
3001	Adjustments to unpaid obligations, brought forward, Oct 1	3
3010	New obligations, unexpired accounts	2,726	2,945
3020	Outlays (gross)	-2,152	-2,418	-2,553
3040	Recoveries of prior year unpaid obligations, unexpired	-6
3041	Recoveries of prior year unpaid obligations, expired	-9
3050	Unpaid obligations, end of year	5,170	5,697	3,144
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4,611	5,170	5,697
3200	Obligated balance, end of year	5,170	5,697	3,144

PUBLIC HOUSING [OPERATING] FUND

For [2020] 2021 payments to public housing agencies (PHAs) for the operation and management of public housing, as authorized by section 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(e)), **[\$4,549,000,000] \$3,572,000,000**, to remain available until September 30, [2021] 2022 (except as otherwise specified under this heading), in addition to amounts for this purpose under the heading "Moving to Work": *Provided*, That [of the total amount available under this heading, \$25,000,000 shall be available to the Secretary to allocate pursuant to a need-based application process notwithstanding section 203 of this title and not subject to the Operating Fund formula at part 990 of title 24, Code of Federal Regulations to public housing agencies that experience financial insolvency, as determined by the Secretary: *Provided further*, That after all such insolvency needs are met, the Secretary may distribute any remaining funds to all public housing agencies on a pro-rata basis pursuant to the Operating Fund formula at part 990 of title 24, Code of Federal Regulations] the amount of any forgone increases in tenant rent payments due to the implementation of rent incentives as authorized pursuant to waivers or alternative requirements of the Jobs-Plus initiative as described under the heading "Self-Sufficiency Programs" shall be factored into the PHA's general operating fund eligibility pursuant to the formula at 24 CFR Part 990: *Provided further*, That of the total amount available under this heading, up to \$30,000,000 shall be available until September 30, 2024 for competitive grants to PHAs including agencies participating in the Moving to Work (MTW) demonstration for demolition, and the associated relocation and administrative costs, of the most distressed public housing units: *Provided further*, That of the total amount made available under this heading, up to \$23,000,000 shall be available until September 30, 2022 to support ongoing Public Housing Financial and Physical Assessment activities: *Provided further*, That of the total amount made available under this heading, up to \$40,000,000 shall be available until September 30, 2024 to support the costs of administrative and judicial receiverships and for competitive grants to PHAs including agencies participating in the MTW demonstration in receivership, designated troubled or substandard, or otherwise at risk, as determined by the Secretary, for costs associated with public housing asset improvement, repositioning, or recapitalization, in addition to other amounts for that purpose provided under any heading under this title; and for non-competitive grants to PHAs experiencing insolvency, as determined by the Secretary: *Provided further*, That of the total amount made available under this heading, up to \$10,000,000 shall be available until September 30, 2022 for the Secretary to make grants, notwithstanding section 203 of this Act, to PHAs including agencies participating in the MTW demonstration for emergency capital needs resulting from unforeseen or unpreventable emergencies and natural disasters excluding Presidentially declared emergencies and natural disasters under the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5121 et seq.) occurring in fiscal year 2021: *Provided further*, That of the total amount made available under this heading, \$35,000,000 shall be available until September 30, 2023 for competitive grants to PHAs including agencies participating in the MTW demonstration to

PUBLIC HOUSING—Continued

evaluate and reduce lead-based paint hazards in public housing: Provided further, That of the total amount made available under this heading, up to \$30,000,000 shall be available until September 30, 2023 for competitive grants to PHAs, including agencies participating in the MTW demonstration, for full lead service line replacement, with eligibility limited to PHAs where the relevant public water system will undergo or has recently undertaken a comprehensive water main replacement program: Provided further, That for purposes of environmental review, a grant under the previous two provisos shall be considered funds for projects or activities under title I of the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) for purposes of section 26 of such Act (42 U.S.C. 1437x) and shall be subject to the regulations implementing such section. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086–0163–0–1–604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 PH Formula Grants	4,874	4,544	3,276
0003 Shortfall Prevention		25	
0004 Emergency/Disaster Reserve			10
0007 Administrative Receivership			40
0008 Financial and Physical Assessment Support			23
0011 Lead-Based Paint Hazards Competitive Grants			35
0012 Lead-Based Water Pipe Testing Grants			30
0013 Demolition Grants			30
0900 Total new obligations, unexpired accounts (object class 41.0)	4,874	4,569	3,444
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	399	82	
1010 Unobligated balance transfer to other accts [086–0302]	–1		
1021 Recoveries of prior year unpaid obligations	10		
1050 Unobligated balance (total)	408	82	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,653	4,549	3,572
1120 Appropriations transferred to other accts [086–0302]	–66	–34	–64
1120 Appropriations transferred to other accts [086–0303]	–39	–28	–64
1160 Appropriation, discretionary (total)	4,548	4,487	3,444
1930 Total budgetary resources available	4,956	4,569	3,444
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	82		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	773	1,162	1,211
3001 Adjustments to unpaid obligations, brought forward, Oct 1		16	
3010 New obligations, unexpired accounts	4,874	4,569	3,444
3020 Outlays (gross)	–4,475	–4,520	–3,656
3040 Recoveries of prior year unpaid obligations, unexpired	–10		
3041 Recoveries of prior year unpaid obligations, expired	–16		
3050 Unpaid obligations, end of year	1,162	1,211	999
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	789	1,162	1,211
3200 Obligated balance, end of year	1,162	1,211	999
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,548	4,487	3,444
Outlays, gross:			
4010 Outlays from new discretionary authority	3,317	3,276	2,445
4011 Outlays from discretionary balances	1,158	1,244	1,211
4020 Outlays, gross (total)	4,475	4,520	3,656
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–17		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	17		
4060 Additional offsets against budget authority only (total)	17		
4070 Budget authority, net (discretionary)	4,548	4,487	3,444
4080 Outlays, net (discretionary)	4,458	4,520	3,656
4180 Budget authority, net (total)	4,548	4,487	3,444
4190 Outlays, net (total)	4,458	4,520	3,656

The Budget requests \$3.6 billion for the Public Housing Fund. Of this amount, approximately \$3.4 billion is provided for Public Housing Fund grants for the operation, management and maintenance of Public Housing. These formula grants are awarded to Public Housing Authorities (PHAs) based on the Operating Fund formula and support approximately 900,000 public housing units, which serve some of the nation's most vulnerable families. PHAs may also use this funding for modernization improvements or to address other capital needs.

The 2021 Budget eliminates the Public Housing Capital Fund and moves the set-asides previously provided within the Capital Fund to the Public Housing Fund.

These set-asides include up to \$10 million for grants to PHAs for capital needs arising from emergency situations or non-Presidentially declared natural disasters; up to \$30 million for competitive grants to facilitate the demolition of physically obsolete public housing properties; up to \$23 million to support ongoing Public Housing Financial and Physical Assessment activities; up to \$40 million to assist PHAs that are in receivership, designated troubled or substandard, experiencing insolvency, or otherwise at risk; \$35 million for competitive grants to address lead-based paint hazards in Public Housing; and up to \$30 million for competitive grants to PHAs for full lead service line replacement where the local public water system is undertaking a comprehensive water main replacement program.

The Budget also reflects that PHAs with the Moving to Work (MTW) designation will be receiving funds from the MTW account, instead of the Tenant-Based Rental Assistance and Public Housing accounts. The separate MTW account includes \$672 million to fund MTW PHAs' Public Housing Fund allocations.

Further, the Budget continues to support legislative reforms as reflected in the Making Affordable Housing Work Act of 2018 and uniform work requirements.

MOVING TO WORK

For the Moving to Work Demonstration program (MTW demonstration) authorized under section 204 of the Departments of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1996 (Public Law 104–134) and section 239 of the Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2016 (Public Law 114–113), \$5,185,300,000, to remain available until expended: Provided, That the amounts made available under this heading are provided as follows:

(1) \$4,172,900,000 shall be for renewals of expiring section 8 tenant-based annual contributions contracts authorized under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) (in this heading referred to as "the Act") (including renewals of enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act) and including renewal of other special purpose incremental vouchers, in addition to amounts made available for these purposes under paragraph (1) under the heading "Tenant-Based Rental Assistance";

(2) \$340,400,000 shall be for administrative and other expenses of public housing agencies in administering the section 8 tenant-based rental assistance program under the Act, in addition to amounts made available for these purposes under paragraph (3) under the heading "Tenant-Based Rental Assistance"; and

(3) \$672,000,000 shall be for 2021 payments to public housing agencies for the operation and management of public housing, as authorized by section 9(e) of the Act (42 U.S.C. 1437g(e)), in addition to amounts made available for these purposes under the heading "Public Housing Fund";

Provided further, That amounts made available under this heading shall be allocated to public housing agencies participating in the MTW demonstration in accordance with the terms and conditions specified under paragraphs (1) and (3) under the heading "Tenant-Based Rental Assistance", and under the heading "Public Housing Fund", respectively: Provided further, That the Secretary shall transfer and merge, to the extent necessary to equalize proration of funding allocations across all public housing agencies for the respective purposes and programs described herein, such amounts as may be necessary from amounts provided under this heading to the heading "Tenant-Based Rental Assistance", or from such heading to this heading, and from this heading to the heading "Public Housing Fund", or from such heading to this heading: Provided further, That any public housing agency designated as a Moving to Work agency pursuant to such section 239 may, upon such designation, use funds (except for special purpose funding, including special

purpose vouchers) previously allocated to any such public housing agency under section 8 or 9 of the United States Housing Act of 1937, including any reserve funds held by the public housing agency or funds held by the Department of Housing and Urban Development, pursuant to the authority for use of section 8 or 9 funding provided under such section and section 204 of title II of the Departments of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1996 (Public Law 104–134), notwithstanding the purposes for which such funds were appropriated.

Program and Financing (in millions of dollars)

Identification code 086–0109–0–1–604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 PH Formula Grants			672
0002 Administrative Fees			340
0003 Contract Renewals			4,173
0900 Total new obligations, unexpired accounts (object class 41.0)			5,185
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			5,185
1930 Total budgetary resources available			5,185
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			5,185
3020 Outlays (gross)			–4,322
3050 Unpaid obligations, end of year			863
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			863
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5,185
Outlays, gross:			
4010 Outlays from new discretionary authority			4,322
4180 Budget authority, net (total)			5,185
4190 Outlays, net (total)			4,322

The 2021 Budget requests \$5.2 billion for the Moving to Work (MTW) demonstration program in a new, separate account. With this funding, MTW agencies will provide housing assistance to over 450,000 families. This is the Department of Housing and Urban Development's first request for a separate MTW account for the MTW demonstration program. Of the \$5.2 billion, \$672 million represents funding that would otherwise be in the Public Housing Fund account, and \$4.5 billion represents funding that would otherwise be in the Tenant-Based Rental Assistance (TBRA) account. This funding request is based on the total combined need for both the current 39 MTW PHAs and the approximately 30 PHAs that will receive MTW designation in 2020 through the first cohort of the MTW expansion.

The Budget does not request additional funds for MTW agencies compared to non-MTW PHAs. Through the MTW account, MTW PHAs would receive the same amount of funding that they would have otherwise received through the separate Public Housing and TBRA accounts. The Budget authorizes HUD to transfer funds if necessary to equalize the proration between MTW and non-MTW agencies.

The MTW account would, first, eliminate administrative burden for both MTW PHAs and HUD by reducing the number of funding accounts that must be managed and reconciled for these agencies. Second, it would simplify the tracking of funds, since all funds would be traced back to the MTW account. Third, it would provide greater transparency regarding funding needs of the MTW demonstration program.

Further, the Budget continues to support legislative reforms as reflected in the Making Affordable Housing Work Act of 2018 and uniform work requirements.

CHOICE NEIGHBORHOODS INITIATIVE

¶ For competitive grants under the Choice Neighborhoods Initiative (subject to section 24 of the United States Housing Act of 1937 (42 U.S.C. 1437v), unless

otherwise specified under this heading), for transformation, rehabilitation, and replacement housing needs of both public and HUD-assisted housing and to transform neighborhoods of poverty into functioning, sustainable mixed income neighborhoods with appropriate services, schools, public assets, transportation and access to jobs, \$175,000,000, to remain available until September 30, 2022: *Provided*, That grant funds may be used for resident and community services, community development, and affordable housing needs in the community, and for conversion of vacant or foreclosed properties to affordable housing: *Provided further*, That the use of funds made available under this heading shall not be deemed to be public housing notwithstanding section 3(b)(1) of such Act: *Provided further*, That grantees shall commit to an additional period of affordability determined by the Secretary of not fewer than 20 years: *Provided further*, That grantees shall provide a match in State, local, other Federal or private funds: *Provided further*, That grantees may include local governments, tribal entities, public housing authorities, and nonprofits: *Provided further*, That for-profit developers may apply jointly with a public entity: *Provided further*, That for purposes of environmental review, a grantee shall be treated as a public housing agency under section 26 of the United States Housing Act of 1937 (42 U.S.C. 1437x), and grants under this heading shall be subject to the regulations issued by the Secretary to implement such section: *Provided further*, That of the amount provided, not less than \$87,500,000 shall be awarded to public housing agencies: *Provided further*, That such grantees shall create partnerships with other local organizations including assisted housing owners, service agencies, and resident organizations: *Provided further*, That the Secretary shall consult with the Secretaries of Education, Labor, Transportation, Health and Human Services, Agriculture, and Commerce, the Attorney General, and the Administrator of the Environmental Protection Agency to coordinate and leverage other appropriate Federal resources: *Provided further*, That no more than \$5,000,000 of funds made available under this heading may be provided as grants to undertake comprehensive local planning with input from residents and the community: *Provided further*, That unobligated balances, including recaptures, remaining from funds appropriated under the heading "Revitalization of Severely Distressed Public Housing (HOPE VI)" in fiscal year 2011 and prior fiscal years may be used for purposes under this heading, notwithstanding the purposes for which such amounts were appropriated: *Provided further*, That the Secretary shall issue the Notice of Funding Availability for funds made available under this heading no later than 90 days after enactment of this Act: *Provided further*, That the Secretary shall make grant awards no later than one year from the date of enactment of this Act in such amounts that the Secretary determines: *Provided further*, That notwithstanding section 24(o) of the United States Housing Act of 1937 (42 U.S.C. 1437v(o)), the Secretary may, until September 30, 2023, obligate any available unobligated balances made available under this heading in this, or any prior Act. ¶ (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086–0349–0–1–604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Choice Neighborhoods Grants	121	163	175
0900 Total new obligations, unexpired accounts (object class 41.0)	121	163	175
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	134	163	175
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	150	175	
1930 Total budgetary resources available	284	338	175
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	163	175	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	509	520	515
3010 New obligations, unexpired accounts	121	163	175
3020 Outlays (gross)	–109	–168	–179
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	520	515	511
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	509	520	515
3200 Obligated balance, end of year	520	515	511
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	150	175	

CHOICE NEIGHBORHOODS INITIATIVE—Continued
Program and Financing—Continued

Identification code 086-0349-0-1-604	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4011 Outlays from discretionary balances	109	168	179
4180 Budget authority, net (total)	150	175
4190 Outlays, net (total)	109	168	179

The 2021 Budget does not request funding for Choice Neighborhoods. The Choice Neighborhoods Initiative provides competitive planning and implementation grants to improve neighborhoods with distressed public and/or other HUD-assisted housing. The Department will continue to monitor and provide assistance for existing HOPE VI and Choice Neighborhoods projects.

REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING (HOPE VI)

Program and Financing (in millions of dollars)

Identification code 086-0218-0-1-604	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	32	19	1
3020 Outlays (gross)	-13	-18	-1
3050 Unpaid obligations, end of year	19	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	32	19	1
3200 Obligated balance, end of year	19	1
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	13	18	1
4180 Budget authority, net (total)
4190 Outlays, net (total)	13	18	1

The HOPE VI program has accomplished its goal of contributing to the demolition of approximately 100,000 severely distressed Public Housing units. The Budget proposes no additional funds for this program.

SELF-SUFFICIENCY PROGRAMS
(INCLUDING TRANSFER OF FUNDS)

For activities and assistance related to Self-Sufficiency Programs, to remain available until September 30, 2023 (except as otherwise specified under this heading), **[\$130,000,000] \$190,000,000:** *Provided*, That the amounts made available under this heading are provided as follows:

(1) **[\$80,000,000] \$90,000,000** shall be for the Family Self-Sufficiency program to support family self-sufficiency coordinators under section 23 of the United States Housing Act of 1937 (42 U.S.C. 1437u), to promote the development of local strategies to coordinate the use of assistance under sections 8 and 9 of such Act with public and private resources, and enable eligible families to achieve economic independence and self-sufficiency: *Provided*, That the Secretary may, by Federal Register notice, waive or specify alternative requirements under subsections (b)(3), (b)(4), (b)(5), or (c)(1) of section 23 of such Act in order to facilitate the operation of a unified self-sufficiency program for individuals receiving assistance under different provisions of the Act, as determined by the Secretary: *Provided further*, That an owner or sponsor of a multifamily property receiving project-based rental assistance under section 8 shall be eligible to receive awards from the Secretary under this paragraph to support family self-sufficiency coordinators: *Provided further*, That owners or sponsors of a [privately owned] multifamily property [with a section 8 contract] receiving project-based rental assistance under section 8 may voluntarily make a Family Self-Sufficiency program available to the assisted tenants of such property in accordance with procedures established by the Secretary: *Provided further*, That such procedures established pursuant to the previous proviso shall permit participating tenants to accrue escrow funds in accordance with section 23(d)(2) and shall allow owners to use funding from residual receipt accounts to hire coordinators for their own Family Self-Sufficiency program;

[(2) \$35,000,000] shall be for the Resident Opportunity and Self-Sufficiency program to provide for supportive services, service coordinators, and congregate services as authorized by section 34 of the United States Housing Act of 1937 (42 U.S.C. 1437z-6) and the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4101 et seq.); and

(2[3]) [\$15,000,000] \$100,000,000 shall be available until September 30, 2024 for a Jobs-Plus initiative, modeled after the Jobs-Plus demonstration: *Provided*, That funding provided under this paragraph shall be available for competitive grants to [partnerships between] public housing authorities [,] or owners or sponsors of multifamily properties receiving project-based rental assistance under section 8, that, in partnership with local workforce investment boards established under section 107 of the Workforce Innovation and Opportunity Act of 2014 (29 U.S.C. 3122), and other agencies and organizations, [that] provide support to help public housing residents, or tenants residing in a unit assisted under a project-based section 8 contract (including section 8(o)(13) of the United States Housing Act of 1937), obtain employment [and] or increase earnings, or both: *Provided further*, That applicants must demonstrate the ability to provide services to such residents or tenants, partner with workforce investment boards, and leverage service dollars: *Provided further*, That the Secretary may allow public housing agencies to request exemptions from rent and income limitation requirements under sections 3 and 6 of the United States Housing Act of 1937 (42 U.S.C. 1437a, 1437d), as necessary to implement the Jobs-Plus program, on such terms and conditions as the Secretary may approve upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective implementation of the Jobs-Plus initiative as a voluntary program for residents: *Provided further*, That the Secretary shall publish by notice in the Federal Register any waivers or alternative requirements pursuant to the preceding proviso no later than 10 days before the effective date of such notice: *Provided further*, That the costs of any rent incentives as authorized pursuant to such waivers or alternative requirements shall not be charged against the competitive grant amounts made available under this paragraph: *Provided further*, That amounts made available for the Jobs-Plus initiative in prior acts under the heading "Public Housing Capital Fund" that remain available or are subsequently recaptured shall be transferred to this account and shall be available for the purposes of this paragraph. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086-0350-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Family Self-Sufficiency	76	80	90
0002 Jobs-Plus Initiative	15	100
0003 Resident Opportunities and Supportive Services	35
0900 Total new obligations, unexpired accounts (object class 41.0)	76	130	190
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	75	79	79
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	80	130	190
1930 Total budgetary resources available	155	209	269
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	79	79	79
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	33	85
3010 New obligations, unexpired accounts	76	130	190
3020 Outlays (gross)	-71	-78	-112
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	33	85	163
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	33	85
3200 Obligated balance, end of year	33	85	163
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	80	130	190
Outlays, gross:			
4011 Outlays from discretionary balances	71	78	112
4180 Budget authority, net (total)	80	130	190
4190 Outlays, net (total)	71	78	112

The 2021 Budget requests \$190 million for a new Self-Sufficiency Programs account, which includes \$90 million for the Family Self-Sufficiency

(FSS) program, and \$100 million for the Jobs Plus Initiative. At this funding level, FSS will be able to fund approximately 1,500 coordinators to support over 84,000 families; in addition to Public Housing Authorities (PHAs), the request would allow owners or sponsors of multifamily properties receiving project-based rental assistance under section 8 to receive funding. The Jobs-Plus initiative will support grants for PHAs administering public housing; in addition it will be able to support new grants for both PHAs administering project-based vouchers and owners or sponsors of multifamily properties receiving project-based rental assistance under section 8.

NATIVE AMERICAN PROGRAMS
[(INCLUDING TRANSFER OF FUNDS)]

For [activities and assistance] *the Native American Housing Block Grants Program*, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.), [title I of the Housing and Community Development Act of 1974 with respect to Indian tribes (42 U.S.C. 5306(a)(1)), and related training and technical assistance, \$825,000,000] \$600,000,000, to remain available until September 30, [2024, unless otherwise specified: *Provided*, That the amounts made available under this heading are provided as follows:]

[(1) \$646,000,000 shall be available for the Native American Housing Block Grants program, as authorized under title I of NAHASDA] 2025: *Provided*, That, notwithstanding NAHASDA, to determine the amount of the allocation under title I of such Act for each Indian tribe, the Secretary shall apply the formula under section 302 of such Act with the need component based on single-race census data and with the need component based on multi-race census data, and the amount of the allocation for each Indian tribe shall be the greater of the two resulting allocation amounts: *Provided further*, That [the Department will notify grantees of their formula allocation within 60 days of the date of enactment of this Act;]

[(2) \$2,000,000 shall be] *funds made available in prior Acts* for the cost of guaranteed notes and other obligations, as authorized by title VI of NAHASDA, *are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, up to \$30,000,000: Provided further*, That such costs, including the costs of modifying such notes and other obligations, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, not to exceed \$32,000,000;]

[(3) \$100,000,000 shall be available for competitive grants under the Native American Housing Block Grants program, as authorized under title I of NAHASDA: *Provided*, That the Secretary shall obligate this additional amount for competitive grants to eligible recipients authorized under NAHASDA that apply for funds: *Provided further*, That in awarding this additional amount, the Secretary shall consider need and administrative capacity, and shall give priority to projects that will spur construction and rehabilitation: *Provided further*, That a grant funded pursuant to this paragraph shall be not greater than \$10,000,000: *Provided further*, That up to 1 percent of this additional amount may be transferred, in aggregate, to "Program Offices-Public and Indian Housing" for necessary costs of administering and overseeing the obligation and expenditure of this additional amount and of additional amounts provided in prior years, to remain available until September 30, 2025: *Provided further*, That any funds transferred pursuant to the previous proviso in prior Acts may also be used for the purposes described in the previous proviso:]

[(4) \$70,000,000 shall be available for grants to Indian tribes for carrying out the Indian Community Development Block Grant program under title I of the Housing and Community Development Act of 1974, notwithstanding section 106(a)(1) of such Act, of which, notwithstanding any other provision of law (including section 203 of this Act), up to \$4,000,000 may be used for emergencies that constitute imminent threats to health and safety: *Provided*, That not to exceed 20 percent of any grant made with funds appropriated under this paragraph shall be expended for planning and management development and administration: *Provided further*, That funds provided under this paragraph shall remain available until September 30, 2022; and]

[(5) \$7,000,000 shall be available for providing training and technical assistance to Indian tribes, Indian housing authorities and tribally designated housing entities, to support the inspection of Indian housing units, contract expertise, and for training and technical assistance related to funding provided under this heading and other headings under this Act for the needs of Native American families and Indian country: *Provided*, That of the funds made available under this paragraph,

not less than \$2,000,000 shall be available for a national organization as authorized under section 703 of NAHASDA (25 U.S.C. 4212): *Provided further*, That amounts made available under this paragraph may be used, contracted, or competed as determined by the Secretary: *Provided further*, That notwithstanding the provisions of the Federal Grant and Cooperative Agreements Act of 1977 (31 U.S.C. 6301–6308), the amounts made available under this paragraph may be used by the Secretary to enter into cooperative agreements with public and private organizations, agencies, institutions, and other technical assistance providers to support the administration of negotiated rulemaking under section 106 of NAHASDA (25 U.S.C. 4116), the administration of the allocation formula under section 302 of NAHASDA (25 U.S.C. 4152), and the administration of performance tracking and reporting under section 407 of NAHASDA (25 U.S.C. 4167): *Provided further*, That of the funds made available under this paragraph, not more than \$1,000,000 shall be available to support utilization, outreach, and capacity building with tribes and tribal housing organizations for the Tribal HUD-VASH program]. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086–0313–0–1–604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Indian Housing Block Grants	650	849	699
0011 Technical Assistance	8	5	2
0015 National and Regional Organizations	4	2
0016 Indian Community Development Block Grant	70
0091 Direct program activities, subtotal	662	926	701
Credit program obligations:			
0702 Loan guarantee subsidy	1	2	1
0791 Direct program activities, subtotal	1	2	1
0900 Total new obligations, unexpired accounts (object class 41.0)	663	928	702
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	125	215	111
1010 Unobligated balance transfer to other accts [086–0337]	–1
1020 Adjustment of unobligated bal brought forward, Oct 1	–9
1021 Recoveries of prior year unpaid obligations	1
1033 Recoveries of prior year paid obligations	9
1050 Unobligated balance (total)	125	215	111
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	754	825	600
1120 Appropriations transferred to other acct [086–0337]	–1
1120 Appropriations transferred to other acct [086–0479]	–1
1160 Appropriation, discretionary (total)	753	824	600
1900 Budget authority (total)	753	824	600
1930 Total budgetary resources available	878	1,039	711
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	215	111	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	789	794	1,081
3001 Adjustments to unpaid obligations, brought forward, Oct 1	9
3010 New obligations, unexpired accounts	663	928	702
3020 Outlays (gross)	–666	–641	–685
3040 Recoveries of prior year unpaid obligations, unexpired	–1
3050 Unpaid obligations, end of year	794	1,081	1,098
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	798	794	1,081
3200 Obligated balance, end of year	794	1,081	1,098
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	753	824	600
Outlays, gross:			
4010 Outlays from new discretionary authority	256	288	210
4011 Outlays from discretionary balances	410	353	475
4020 Outlays, gross (total)	666	641	685
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–9
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	9
4070 Budget authority, net (discretionary)	753	824	600

NATIVE AMERICAN PROGRAMS—Continued
Program and Financing—Continued

Identification code 086-0313-0-1-604	2019 actual	2020 est.	2021 est.
4080 Outlays, net (discretionary)	657	641	685
4180 Budget authority, net (total)	753	824	600
4190 Outlays, net (total)	657	641	685

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0313-0-1-604	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Title VI Indian Federal Guarantees Program	13	17	20
Guaranteed loan subsidy (in percent):			
232001 Title VI Indian Federal Guarantees Program	11.26	6.25	6.39
232999 Weighted average subsidy rate	11.26	6.25	6.39
Guaranteed loan subsidy budget authority:			
233001 Title VI Indian Federal Guarantees Program	2	2	1
Guaranteed loan subsidy outlays:			
234001 Title VI Indian Federal Guarantees Program	1	1	2
Guaranteed loan reestimates:			
235001 Title VI Indian Federal Guarantees Program	-14	-2	

The Budget requests \$600 million for the Indian Housing Block Grant program, which allocates funding on a formula basis. The program supports a wide range of affordable housing activities in Indian Country to recipients representing more than 570 Indian Tribes nationwide. The Budget also supports up to \$30 million in new loan guarantees through the Title VI program, which assists Tribes or Tribally-designated entities finance affordable housing construction and related community development projects. Due to the availability of unobligated credit subsidy, the Title VI program does not require additional budget authority for new loan guarantees in 2021.

TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4244-0-3-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts	11	1	
0743 Interest on downward reestimates	3		
0900 Total new obligations, unexpired accounts	14	1	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	3	4
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected		1	2
1801 Change in uncollected payments, Federal sources	1	1	
1850 Spending auth from offsetting collections, mand (total)	1	2	2
1930 Total budgetary resources available	17	5	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	4	6
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	14	1	
3020 Outlays (gross)	-14	-1	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-1	-1	
3090 Uncollected pymts, Fed sources, end of year	-2	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-1	-2	-3
3200 Obligated balance, end of year	-2	-3	-3
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1	2	2
Financing disbursements:			
4110 Outlays, gross (total)	14	1	

Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-1		-2
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-1	-1	
4170 Outlays, net (mandatory)	14		-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	14		-2

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4244-0-3-604	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	17	32	30
2121 Limitation available from carry-forward	32	36	55
2143 Uncommitted limitation carried forward	-36	-51	-65
2150 Total guaranteed loan commitments	13	17	20
2199 Guaranteed amount of guaranteed loan commitments	13	17	20
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	81	70	74
2231 Disbursements of new guaranteed loans	2	17	20
2251 Repayments and prepayments	-13	-13	-16
2263 Adjustments: Terminations for default that result in claim payments			
2290 Outstanding, end of year	70	74	78
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	70	74	78

Balance Sheet (in millions of dollars)

Identification code 086-4244-0-3-604	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	16	2
1999 Total assets	16	2
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	16	2
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	16	2

NATIVE HAWAIIAN HOUSING BLOCK GRANT

For the Native Hawaiian Housing Block Grant program, as authorized under title VIII of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4111 et seq.), \$2,000,000, to remain available until September 30, 2024: *Provided*, That notwithstanding section 812(b) of such Act, the Department of Hawaiian Home Lands may not invest grant amounts provided under this heading in investment securities and other obligations: *Provided further*, That amounts made available under this heading in this and prior fiscal years may be used to provide rental assistance to eligible Native Hawaiian families both on and off the Hawaiian Home Lands, notwithstanding any other provision of law. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086-0235-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Native Hawaiian Housing Block Grant	2	2	
0900 Total new obligations, unexpired accounts (object class 41.0)	2	2	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	
1930 Total budgetary resources available	2	2	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	17	16
3010 New obligations, unexpired accounts	2	2	

3020	Outlays (gross)	-1	-3	-3
3050	Unpaid obligations, end of year	17	16	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	16	17	16
3200	Obligated balance, end of year	17	16	13
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2	2
Outlays, gross:				
4011	Outlays from discretionary balances	1	3	3
4180	Budget authority, net (total)	2	2
4190	Outlays, net (total)	1	3	3

The Native Hawaiian Housing Block Grant program provides funds to develop, maintain and operate affordable housing for eligible low-income Native Hawaiian families. The Hawaiian Department of Hawaiian Home Lands is the sole recipient of NHHBG funds. The Budget does not request funds for this program.

INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

For the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a), **[\$1,100,000] \$1,500,000**, to remain available until expended: *Provided*, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That an additional \$500,000, to remain available until expended, shall be available for administrative contract expenses including management processes to carry out the loan guarantee program: *Provided further*, That [the Secretary may subsidize total loan principal, any part of which is to be guaranteed, up to \$1,000,000,000, to remain available until expended: *Provided further*, That for any unobligated balances (including amounts of uncommitted limitation) remaining from amounts made available under this heading in Public Law 115-31, Public Law 115-141, and Public Law 116-6, and for any recaptures occurring in fiscal year 2019 or in future fiscal years of amounts made available under this heading in prior fiscal years, the second proviso of each such heading shall be applied as if "these funds are available to" was struck and "the Secretary may" was inserted in its place] *funds made available in this and prior Acts for the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a), that are unobligated are available to subsidize total loan principal, any part of which is to be guaranteed, up to \$1,000,000,000. (Department of Housing and Urban Development Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 086-0223-0-1-371	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0702	Loan guarantee subsidy	1	1	1
0707	Reestimates of loan guarantee subsidy	13	2
0708	Interest on reestimates of loan guarantee subsidy	9
0709	Administrative expenses	1	1
0900	Total new obligations, unexpired accounts (object class 41.0)	23	4	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	8	8	8
1001	Discretionary unobligated balance brought fwd, Oct 1	8	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1	2	2
Appropriations, mandatory:				
1200	Appropriation	22	2
1900	Budget authority (total)	23	4	2
1930	Total budgetary resources available	31	12	10
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	8	8	8
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1
3010	New obligations, unexpired accounts	23	4	2
3020	Outlays (gross)	-24	-4	-2

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority	1
4011	Outlays from discretionary balances	1	2	2
4020	Outlays, gross (total)	2	2	2
Mandatory:				
4090	Budget authority, gross	22	2
Outlays, gross:				
4100	Outlays from new mandatory authority	22	2
4180	Budget authority, net (total)	23	4	2
4190	Outlays, net (total)	24	4	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0223-0-1-371	2019 actual	2020 est.	2021 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Indian Housing Loan Guarantee	548	600	600
Guaranteed loan subsidy (in percent):				
232001	Indian Housing Loan Guarantee	0.26	0.11	0.30
232999	Weighted average subsidy rate	0.26	0.11	0.30
Guaranteed loan subsidy budget authority:				
233001	Indian Housing Loan Guarantee	1	1	1
Guaranteed loan subsidy outlays:				
234001	Indian Housing Loan Guarantee	1	1	1
Guaranteed loan reestimates:				
235001	Indian Housing Loan Guarantee	-68	-32
Administrative expense data:				
3510	Budget authority	1	1
3590	Outlays from new authority	1	1

The Indian Housing Loan Guarantee program (also known as the Section 184 program) provides access to private mortgage financing for Native Americans, Indian Tribes and their tribally-designated housing entities that could otherwise face barriers due to the unique legal status of Indian trust land. The Budget requests \$2.0 million to support up to \$1.0 billion in new loan guarantees for this program.

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4104-0-3-604	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0711	Default claim payments on principal	15	20	25
0713	Payment of interest to Treasury	3	1	2
0742	Downward reestimates paid to receipt accounts	81	27
0743	Interest on downward reestimates	9	6
0900	Total new obligations, unexpired accounts	108	54	27
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	318	158	114
1023	Unobligated balances applied to repay debt	-104	-11
1050	Unobligated balance (total)	214	147	114
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	52	21	22
1930	Total budgetary resources available	266	168	136
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	158	114	109
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	108	54	27
3020	Outlays (gross)	-108	-54	-26
3050	Unpaid obligations, end of year	1
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 086-4104-0-3-604	2019 actual	2020 est.	2021 est.
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-2	-2	-2
3200 Obligated balance, end of year	-2	-2	-1
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	52	21	22
Financing disbursements:			
4110 Outlays, gross (total)	108	54	26
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account	-23	-3	-2
4122 Interest on uninvested funds	-7	-7	-7
4123 Non-Federal sources	-22	-11	-13
4130 Offsets against gross budget authority and outlays (total)	-52	-21	-22
4170 Outlays, net (mandatory)	56	33	4
4180 Budget authority, net (total)			
4190 Outlays, net (total)	56	33	4

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4104-0-3-604	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	554	1,000	500
2121 Limitation available from carry-forward	891	1,059	1,459
2143 Uncommitted limitation carried forward	-897	-1,459	-1,359
2150 Total guaranteed loan commitments	548	600	600
2199 Guaranteed amount of guaranteed loan commitments	548	600	600
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	7,227	7,609	8,176
2231 Disbursements of new guaranteed loans	394	600	600
2251 Repayments and prepayments		-12	-12
Adjustments:			
2263 Terminations for default that result in claim payments	-15	-21	-24
2264 Other adjustments, net	3		
2290 Outstanding, end of year	7,609	8,176	8,740
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	7,609	8,176	8,740

Balance Sheet (in millions of dollars)

Identification code 086-4104-0-3-604	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	305	145
Investments in U.S. securities:		
1106 Receivables, net	1	1
1504 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property	7	9
1999 Total assets	313	155
LIABILITIES:		
2103 Federal liabilities: Debt Payable to Treasury	116	12
Non-Federal liabilities:		
2201 Accounts payable		
2204 Liabilities for loan guarantees	194	137
2207 Unearned revenues and advances	2	5
2999 Total liabilities	312	154
NET POSITION:		
3300 Cumulative results of operations	1	1
4999 Total liabilities and net position	313	155

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-0233-0-1-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy	4	2	
0708 Interest on reestimates of loan guarantee subsidy	1		
0900 Total new obligations, unexpired accounts (object class 41.0)	5	2	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-2
Appropriations, mandatory:			
1200 Appropriation	5	2	
1900 Budget authority (total)	5	2	-2
1930 Total budgetary resources available	11	8	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	4

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	5	2	
3020 Outlays (gross)	-5	-2	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			-2
Mandatory:			
4090 Budget authority, gross	5	2	
Outlays, gross:			
4100 Outlays from new mandatory authority	5	2	
4180 Budget authority, net (total)	5	2	-2
4190 Outlays, net (total)	5	2	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0233-0-1-371	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Native Hawaiian Housing Loan Guarantees	16	16	15
Guaranteed loan subsidy (in percent):			
232001 Native Hawaiian Housing Loan Guarantees	-32	-34	-15
232999 Weighted average subsidy rate	-32	-34	-15
Guaranteed loan reestimates:			
235001 Native Hawaiian Housing Loan Guarantees	5	1	

The Native Hawaiian Housing Loan Guarantee program (also known as the Section 184A program) provides access to private mortgage financing to Native Hawaiian families who are eligible to reside on Hawaiian home lands and would otherwise face barriers to acquiring such financing because of the unique legal status of the Hawaiian home lands. The Budget does not request any new credit subsidy budget authority for this program and proposes to cancel \$2 million in previously appropriated credit subsidy. Since 2017, this program has operated on a negative subsidy basis, and the program has sufficient balances of prior-year loan guarantee limitation to maintain program operations.

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4351-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal	1	4	2
0900 Total new obligations, unexpired accounts	1	4	2

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	3 2
1023	Unobligated balances applied to repay debt	-4
1033	Recoveries of prior year paid obligations	1 1
1050	Unobligated balance (total)	3 3
Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	1
Spending authority from offsetting collections, mandatory:			
1800	Collected	5 2
1825	Spending authority from offsetting collections applied to repay debt	-1
1850	Spending auth from offsetting collections, mand (total)	4 2
1900	Budget authority (total)	4 3
1930	Total budgetary resources available	4 6 3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3 2 1
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1 4 2
3020	Outlays (gross)	-1 -4 -2
Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	4 3
Financing disbursements:			
4110	Outlays, gross (total)	1 4 2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-5 -2
4123	Non-Federal sources	-1
4130	Offsets against gross budget authority and outlays (total)	-6 -2 -1
Additional offsets against financing authority only (total):			
4143	Recoveries of prior year paid obligations, unexpired accounts	1
4160	Budget authority, net (mandatory)	-1 1
4170	Outlays, net (mandatory)	-5 2 1
4180	Budget authority, net (total)	-1 1
4190	Outlays, net (total)	-5 2 1

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4351-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority
2121	Limitation available from carry-forward	228	212 189
2143	Uncommitted limitation carried forward	-212	-196 -174
2150	Total guaranteed loan commitments	16	16 15
2199	Guaranteed amount of guaranteed loan commitments	16	16 15
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	103	109 112
2231	Disbursements of new guaranteed loans	13	15 15
2251	Repayments and prepayments	-6	-8 -8
Adjustments:			
2263	Terminations for default that result in claim payments	-1	-4 -2
2264	Other adjustments, net
2290	Outstanding, end of year	109	112 117
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	109	112 117

Balance Sheet (in millions of dollars)

Identification code 086-4351-0-3-371	2018 actual	2019 actual
ASSETS:		
1101	Federal assets: Fund balances with Treasury	3 3
1504	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property	1
1999	Total assets	4 3
LIABILITIES:		
2103	Federal liabilities: Debt payable to Treasury	7 2
2204	Non-Federal liabilities: Liabilities for loan guarantees	3 1
2999	Total liabilities	10 3

NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	10	3

COMMUNITY PLANNING AND DEVELOPMENT
Federal Funds

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

For carrying out the Housing Opportunities for Persons with AIDS program, as authorized by the AIDS Housing Opportunity Act (42 U.S.C. 12901 et seq.), **[\$410,000,000] \$330,000,000**, to remain available until September 30, **[2021] 2022**, except that amounts allocated pursuant to section 854(c)(5) of such Act shall remain available until September 30, **[2022: Provided**, That the Secretary shall renew all expiring contracts for permanent supportive housing that initially were funded under section 854(c)(5) of such Act from funds made available under this heading in fiscal year 2010 and prior fiscal years that meet all program requirements before awarding funds for new contracts under such section: *Provided further*, That the Department shall notify grantees of their formula allocation within 60 days of enactment of this Act] **2023**. (*Department of Housing and Urban Development Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 086-0308-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	HOPWA Formula Grants	413	358 335
0002	HOPWA Competitive Grants	25	41 60
0900	Total new obligations, unexpired accounts (object class 41.0)	438	399 395
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	173	128 139
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	393	410 330
1900	Budget authority (total)	393	410 330
1930	Total budgetary resources available	566	538 469
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	128	139 74
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	504	583 596
3010	New obligations, unexpired accounts	438	399 395
3020	Outlays (gross)	-358	-386 -429
3041	Recoveries of prior year unpaid obligations, expired	-1
3050	Unpaid obligations, end of year	583	596 562
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	504	583 596
3200	Obligated balance, end of year	583	596 562
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	393	410 330
Outlays, gross:			
4010	Outlays from new discretionary authority	1	4 3
4011	Outlays from discretionary balances	357	382 426
4020	Outlays, gross (total)	358	386 429
4180	Budget authority, net (total)	393	410 330
4190	Outlays, net (total)	358	386 429

The Budget provides \$330 million for the Housing Opportunities for Persons With AIDS (HOPWA) program. HOPWA funding provides States and localities with resources to devise long-term comprehensive strategies for providing housing and supportive services to meet the housing needs of persons living with HIV/AIDS and their families.

Ninety percent of HOPWA funds are distributed to States and eligible metropolitan areas according to a formula, and the remaining ten percent are awarded competitively to States, local governments, and private non-profit entities. The HOPWA formula, which was updated in 2016, allocates funds based on cases of persons living with HIV or AIDS and is adjusted for an area's fair market rent and poverty rates to further ensure HOPWA

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS—Continued

funds are focused on areas that have the most need. The updated formula became effective in 2017, and HUD continues to work closely with formula grantees through a comprehensive technical assistance initiative to develop community-wide strategies for managing the changes. The Budget also proposes to not prioritize renewals in its competition so that funds could support more evidence-based service delivery models to address current community needs.

COMMUNITY DEVELOPMENT FUND

For carrying out the community development block grant program under title I of the Housing and Community Development Act of 1974, as amended (42 U.S.C. 5301 et seq.) ("the Act" herein), \$3,425,000,000, to remain available until September 30, 2022, unless otherwise specified: *Provided*, That unless explicitly provided for under this heading, not to exceed 20 percent of any grant made with funds appropriated under this heading shall be expended for planning and management development and administration: *Provided further*, That a metropolitan city, urban county, unit of general local government, or insular area that directly or indirectly receives funds under this heading may not sell, trade, or otherwise transfer all or any portion of such funds to another such entity in exchange for any other funds, credits or non-Federal considerations, but must use such funds for activities eligible under title I of the Act: *Provided further*, That notwithstanding section 105(e)(1) of the Act, no funds provided under this heading may be provided to a for-profit entity for an economic development project under section 105(a)(17) unless such project has been evaluated and selected in accordance with guidelines required under subsection (e)(2): *Provided further*, That of the total amount provided under this heading, \$25,000,000 shall be for activities authorized under section 8071 of the SUPPORT for Patients and Communities Act (Public Law 115–271): *Provided further*, That the funds allocated pursuant to the previous proviso shall not adversely affect the amount of any formula assistance received by a State under this heading: *Provided further*, That the Secretary shall allocate the funds for such activities based on the percentages shown in Table 1 of the Notice establishing the funding formula published in 84 FR 16027 (April 17, 2019): *Provided further*, That the Department shall notify grantees of their formula allocation within 60 days of enactment of this Act. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086–0162–0–1–451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Community Development Formula Grants	3,911	3,558	389
0002 Indian Tribes	66	65
0011 Disaster Assistance	1,046	13,018	16,004
0015 Recovery Housing (SUPPORT)	25
0900 Total new obligations, unexpired accounts (object class 41.0)	5,023	16,666	16,393
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29,242	31,695	18,454
1020 Adjustment of unobligated bal brought forward, Oct 1	-8
1021 Recoveries of prior year unpaid obligations	3
1033 Recoveries of prior year paid obligations	8
1050 Unobligated balance (total)	29,245	31,695	18,454
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7,476	3,425
1120 Appropriations transferred to other acct [086–0338]	-3
1160 Appropriation, discretionary (total)	7,473	3,425
1930 Total budgetary resources available	36,718	35,120	18,454
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	31,695	18,454	2,061
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22,590	22,431	31,670
3001 Adjustments to unpaid obligations, brought forward, Oct 1	19
3010 New obligations, unexpired accounts	5,023	16,666	16,393
3020 Outlays (gross)	-5,197	-7,427	-9,573
3040 Recoveries of prior year unpaid obligations, unexpired	-3
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	22,431	31,670	38,490

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22,609	22,431	31,670
3200 Obligated balance, end of year	22,431	31,670	38,490
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7,473	3,425
Outlays, gross:			
4010 Outlays from new discretionary authority	33	34
4011 Outlays from discretionary balances	5,164	7,393	9,573
4020 Outlays, gross (total)	5,197	7,427	9,573
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-19
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	11
4053 Recoveries of prior year paid obligations, unexpired accounts	8
4060 Additional offsets against budget authority only (total)	19
4070 Budget authority, net (discretionary)	7,473	3,425
4080 Outlays, net (discretionary)	5,178	7,427	9,573
4180 Budget authority, net (total)	7,473	3,425
4190 Outlays, net (total)	5,178	7,427	9,573

The Community Development Fund account contains the following programs:

Community Development Block Grant (CDBG).—The CDBG program provides formula grants to States, local governments, and Insular Areas to benefit mainly low- to moderate-income persons, and support a wide range of community and economic development activities, such as public infrastructure improvements (which account for approximately 36 percent of all CDBG funds), housing rehabilitation and construction (approximately 24 percent of funds), job creation and retention, and public services. Seventy percent of CDBG formula grants are distributed to mainly urban areas (entitlement communities), and 30 percent are distributed to States (non-entitlement communities). The 2021 Budget does not request funding for CDBG, devolving community and economic development to the State and local level.

Indian Community Development Block Grant (ICDBG).—The ICDBG provides grants to help develop viable American Indian and Alaska Native Communities with decent housing, a suitable living environment, and economic opportunities, primarily for low- and moderate-income persons. The 2021 Budget does not request funding for ICDBG, which duplicates HUD's larger Native American Housing Block Grant program and other Federal programs.

CDBG Disaster Recovery (CDBG-DR).—This account also contains a substantial amount of appropriated CDBG-DR funding provided to communities impacted by major disasters.

Recovery Housing (SUPPORT).—The Budget does not provide funding for activities authorized under the SUPPORT for Patients and Communities Act, which was first funded in this account in 2020. This new formula program is allocated to states to provide temporary housing for individuals recovering from substance abuse disorders, including opioids.

BROWNFIELDS REDEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 086–0314–0–1–451	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	3
3020 Outlays (gross)	-2	-2
3050 Unpaid obligations, end of year	5	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	3
3200 Obligated balance, end of year	5	3	1

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances	2	2
4180	Budget authority, net (total)		
4190	Outlays, net (total)	2	2

4011	Outlays from discretionary balances	937	1,097	1,214
4020	Outlays, gross (total)	939	1,104	1,214
4180	Budget authority, net (total)	1,250	1,350	
4190	Outlays, net (total)	939	1,104	1,214

The Budget requests no funding for the Brownfields Economic Development Initiative (BEDI), which was a competitive grant program designed to assist cities with the redevelopment of brownfield sites for the purposes of economic development and job creation. Local governments have access to other public and private funds for similar purposes. The Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113–235) permanently rescinded all unobligated balances of BEDI funds, including carryover and recaptures.

The HOME Investment Partnerships program (HOME) provides annual formula grant assistance to States and units of local government to increase the supply of affordable housing and expand homeownership for low- to very low-income persons through a wide range of activities that build, buy, and/or rehabilitate affordable housing.

The Budget does not request funding for HOME, and recognizes a greater role for State and local governments and the private sector in addressing community development and affordable housing needs. The Department will continue to administer the program until all existing grant funds are disbursed and closed, and the Department of Housing and Urban Development will also oversee projects assisted with HOME grants until the end of their affordability periods (projects are required to remain affordable for as long as 20 years from the date of completion).

HOME INVESTMENT PARTNERSHIPS PROGRAM

For the HOME Investment Partnerships program, as authorized under title II of the Cranston-Gonzalez National Affordable Housing Act, as amended, \$1,350,000,000, to remain available until September 30, 2023: *Provided*, That notwithstanding the amount made available under this heading, the threshold reduction requirements in sections 216(10) and 217(b)(4) of such Act shall not apply to allocations of such amount: *Provided further*, That the Department shall notify grantees of their formula allocation within 60 days of enactment of this Act: *Provided further*, That section 218(g) of such Act (42 U.S.C. 12748(g)) shall not apply with respect to the right of a jurisdiction to draw funds from its HOME Investment Trust Fund that otherwise expired or would expire in 2016, 2017, 2018, 2019, 2020, 2021, or 2022 under that section: *Provided further*, That section 231(b) of such Act (42 U.S.C. 12771(b)) shall not apply to any uninvested funds that otherwise were deducted or would be deducted from the line of credit in the participating jurisdiction's HOME Investment Trust Fund in 2018, 2019, 2020, 2021, or 2022 under that section. (Department of Housing and Urban Development Appropriations Act, 2020.)

SELF-HELP AND ASSISTED HOMEOWNERSHIP OPPORTUNITY PROGRAM

For the Self-Help and Assisted Homeownership Opportunity Program, as authorized under section 11 of the Housing Opportunity Program Extension Act of 1996, as amended, \$55,000,000, to remain available until September 30, 2022: *Provided*, That of the total amount provided under this heading, \$10,000,000 shall be made available to the Self-Help Homeownership Opportunity Program as authorized under section 11 of the Housing Opportunity Program Extension Act of 1996, as amended: *Provided further*, That of the total amount provided under this heading, \$36,000,000 shall be made available for the second, third, and fourth capacity building activities authorized under section 4(a) of the HUD Demonstration Act of 1993 (42 U.S.C. 9816 note), of which not less than \$5,000,000 shall be made available for rural capacity building activities: *Provided further*, That of the total amount provided under this heading, \$5,000,000 shall be made available for capacity building by national rural housing organizations with experience assessing national rural conditions and providing financing, training, technical assistance, information, and research to local nonprofits, local governments, and Indian Tribes serving high need rural communities: *Provided further*, That of the total amount provided under this heading, \$4,000,000, shall be made available for a program to rehabilitate and modify the homes of disabled or low-income veterans, as authorized under section 1079 of Public Law 113–291: *Provided further*, That funds provided under the previous proviso shall be awarded within 180 days of enactment of this Act. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086–0205–0–1–604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 HOME Investment Program	1,513	1,367	216
0900 Total new obligations, unexpired accounts (object class 41.0)	1,513	1,367	216
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	491	238	221
1021 Recoveries of prior year unpaid obligations	11		
1050 Unobligated balance (total)	502	238	221
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,250	1,350	
1930 Total budgetary resources available	1,752	1,588	221
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	238	221	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,937	3,494	3,757
3001 Adjustments to unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	1,513	1,367	216
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-939	-1,104	-1,214
3040 Recoveries of prior year unpaid obligations, unexpired	-11		
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	3,494	3,757	2,759
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,938	3,494	3,757
3200 Obligated balance, end of year	3,494	3,757	2,759

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,250	1,350
Outlays, gross:			
4010	Outlays from new discretionary authority	2	7

Program and Financing (in millions of dollars)

Identification code 086–0176–0–1–604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Self Help Housing Opportunity Program	20	20	
0002 Capacity Building	35	71	
0003 Rural Capacity Building		15	
0007 Veteran Home Rehab and Mod Pilot	7	14	
0900 Total new obligations, unexpired accounts (object class 41.0)	62	120	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	74	66	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	54	55	
1930 Total budgetary resources available	128	121	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	66	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	87	108	175
3010 New obligations, unexpired accounts	62	120	
3020 Outlays (gross)	-41	-53	-54
3050 Unpaid obligations, end of year	108	175	121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	87	108	175
3200 Obligated balance, end of year	108	175	121

SELF-HELP AND ASSISTED HOMEOWNERSHIP OPPORTUNITY PROGRAM—Continued
Program and Financing—Continued

Identification code 086-0176-0-1-604	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	54	55
Outlays, gross:			
4011 Outlays from discretionary balances	41	53	54
4180 Budget authority, net (total)	54	55
4190 Outlays, net (total)	41	53	54

The Self-Help and Assisted Homeownership Opportunity Program (SHOP) account includes funding for the SHOP program, Capacity Building for Community Development and Affordable Housing (Section 4), rural capacity building, and a pilot home modification and rehabilitation program for disabled and low-income veterans. The 2021 Budget does not request funding for these programs, recognizing a greater role for State and local governments and the private sector in addressing community development and affordable housing needs.

NEIGHBORHOOD STABILIZATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 086-0344-0-1-451	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	186	166	107
3020 Outlays (gross)	-20	-59	-59
3050 Unpaid obligations, end of year	166	107	48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	186	166	107
3200 Obligated balance, end of year	166	107	48
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	20	59	59
4180 Budget authority, net (total)
4190 Outlays, net (total)	20	59	59

This account reports the remaining balances and outlays related to \$3.92 billion in Neighborhood Stabilization Program (NSP) funds authorized by the Housing and Economic Recovery Act of 2008, and \$1 billion in NSP funds authorized by the Dodd-Frank Financial Reform and Consumer Protection Act of 2010.

HOMELESS ASSISTANCE GRANTS

For the Emergency Solutions Grants program as authorized under subtitle B of title IV of the McKinney-Vento Homeless Assistance Act, as amended; the Continuum of Care program as authorized under subtitle C of title IV of such Act; and the Rural Housing Stability Assistance program as authorized under subtitle D of title IV of such Act **[\$2,777,000,000] \$2,773,000,000**, to remain available until September 30, **[2022] 2023: Provided [further]**, That not less than **[\$290,000,000] \$280,000,000** of the funds appropriated under this heading shall be available for such Emergency Solutions Grants program: *Provided further*, That not less than **[\$2,350,000,000] \$2,486,000,000** of the funds appropriated under this heading shall be available for such Continuum of Care and Rural Housing Stability Assistance programs: **[Provided further**, That of the amounts made available under this heading, up to \$50,000,000 shall be made available for grants for rapid rehousing projects and supportive service projects providing coordinated entry, and for eligible activities the Secretary determines to be critical in order to assist survivors of domestic violence, dating violence, sexual assault, or stalking: *Provided further*, That such projects shall be eligible for renewal under the continuum of care program subject to the same terms and conditions as other renewal applicants: **] Provided further**, That up to \$7,000,000 of the funds appropriated under this heading shall be available for the national homeless data analysis project: *Provided further*, That for all match requirements applicable to funds made available under this heading for

this fiscal year and prior fiscal years, a grantee may use (or could have used) as a source of match funds other funds administered by the Secretary and other Federal agencies unless there is (or was) a specific statutory prohibition on any such use of any such funds: *Provided further*, That none of the funds provided under this heading shall be available to provide funding for new projects, except for projects created through reallocation, unless the Secretary determines that the continuum of care has demonstrated that projects are evaluated and ranked based on the degree to which they improve the continuum of care's system performance: *Provided further*, That the Secretary shall prioritize funding under the Continuum of Care program to continuums of care that have demonstrated a capacity to reallocate funding from lower performing projects to higher performing projects: *Provided further*, That the Secretary shall provide incentives to create projects that coordinate with housing providers and healthcare organizations to provide permanent supportive housing and rapid rehousing services: *Provided further*, That any unobligated amounts remaining from funds appropriated under this heading in fiscal year 2012 and prior years for project-based rental assistance for rehabilitation projects with 10-year grant terms may be used for purposes under this heading, notwithstanding the purposes for which such funds were appropriated: **[Provided further**, That all balances for Shelter Plus Care renewals previously funded from the Shelter Plus Care Renewal account and transferred to this account shall be available, if recaptured, for Continuum of Care renewals in fiscal year 2020: *Provided further*, That the Department shall notify grantees of their formula allocation from amounts allocated (which may represent initial or final amounts allocated) for the Emergency Solutions Grant program within 60 days of enactment of this Act: *Provided further*, That up to \$80,000,000 of the funds appropriated under this heading shall be to implement projects to demonstrate how a comprehensive approach to serving homeless youth, age 24 and under, in up to 25 communities with a priority for communities with substantial rural populations in up to eight locations, can dramatically reduce youth homelessness: *Provided further*, That of the amount made available under the previous proviso, up to \$10,000,000 shall be available to provide technical assistance on improving system responses to youth homelessness, and collection, analysis, use, and reporting of data and performance measures under the comprehensive approaches to serve homeless youth, in addition to and in coordination with other technical assistance funds provided under this title: *Provided further*, That the Secretary may use up to 10 percent of the amount made available under the previous proviso to build the capacity of current technical assistance providers or to train new technical assistance providers with verifiable prior experience with systems and programs for youth experiencing homelessness: **] Provided further**, That amounts made available for the Continuum of Care program under this heading in this and prior Acts may be used to competitively or non-competitively renew or replace grants for youth homeless demonstration projects under the Continuum of Care program, notwithstanding any conflict with the requirements of the Continuum of Care program: *Provided further*, That youth aged 24 and under seeking assistance under this heading shall not be required to provide third party documentation to establish their eligibility under 42 U.S.C. 11302(a) or (b) to receive services: *Provided further*, That unaccompanied youth aged 24 and under or families headed by youth aged 24 and under who are living in unsafe situations may be served by youth-serving providers funded under this heading: **[Provided further**, That persons eligible under section 103(a)(5) of the McKinney-Vento Homeless Assistance Act may be served by any project funded under this heading to provide both transitional housing and rapid re-housing: *Provided further*, That when awarding funds under the Continuum of Care program, the Secretary shall not deviate from the FY 2018 Notice of Funding Availability with respect to the tier 2 funding process, the Continuum of Care application scoring, and for new projects, the project quality threshold requirements, except as otherwise provided under this Act or as necessary to award all available funds or consider the most recent data from each Continuum of Care. **]** (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0192-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Continuum of Care	2,165	2,274	2,601
0002 Emergency Solutions Grants—Formula	332	339	280
0003 National Homeless Data Analysis Project	16	10	7
0005 Youth Demonstration	53	75	75
0007 Victims of Domestic Violence	31	69	50
0799 Total direct obligations	2,597	2,767	3,013
0801 BJA Pay for Success Demonstration	1
0900 Total new obligations, unexpired accounts	2,597	2,768	3,013

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,476	2,574 2,923
1012	Unobligated balance transfers between expired and unexpired accounts	56	340 50
1020	Adjustment of unobligated bal brought forward, Oct 1	-2
1021	Recoveries of prior year unpaid obligations	8
1033	Recoveries of prior year paid obligations	2
1050	Unobligated balance (total)	2,540	2,914 2,973
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	2,636	2,777 2,773
Spending authority from offsetting collections, discretionary:			
1700	Collected	1
1701	Change in uncollected payments, Federal sources	-1
1900	Budget authority (total)	2,636	2,777 2,773
1930	Total budgetary resources available	5,176	5,691 5,746
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-5
1941	Unexpired unobligated balance, end of year	2,574	2,923 2,733
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2,812	2,981 3,501
3001	Adjustments to unpaid obligations, brought forward, Oct 1	4
3010	New obligations, unexpired accounts	2,597	2,768 3,013
3020	Outlays (gross)	-2,174	-2,248 -2,637
3040	Recoveries of prior year unpaid obligations, unexpired	-8
3041	Recoveries of prior year unpaid obligations, expired	-250
3050	Unpaid obligations, end of year	2,981	3,501 3,877
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1
3070	Change in uncollected pymts, Fed sources, unexpired	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2,815	2,981 3,501
3200	Obligated balance, end of year	2,981	3,501 3,877
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	2,636	2,777 2,773
Outlays, gross:			
4010	Outlays from new discretionary authority	1	14 14
4011	Outlays from discretionary balances	2,173	2,234 2,623
4020	Outlays, gross (total)	2,174	2,248 2,637
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-1
4033	Non-Federal sources	-4
4040	Offsets against gross budget authority and outlays (total)	-5
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	1
4052	Offsetting collections credited to expired accounts	2
4053	Recoveries of prior year paid obligations, unexpired accounts	2
4060	Additional offsets against budget authority only (total)	5
4070	Budget authority, net (discretionary)	2,636	2,777 2,773
4080	Outlays, net (discretionary)	2,169	2,248 2,637
4180	Budget authority, net (total)	2,636	2,777 2,773
4190	Outlays, net (total)	2,169	2,248 2,637

The Homeless Assistance Grants account provides funds for the Emergency Solutions Grant (ESG) and Continuum of Care (CoC) programs. These programs, which award funds through formula and competitive processes, enable localities to shape and implement comprehensive, flexible, coordinated approaches to address the multiple issues of homelessness, including chronic homelessness, veteran homelessness, and homelessness among families and youth.

The 2021 Budget provides a total of \$2.773 billion for a wide range of activities to assist homeless persons and prevent future occurrences of homelessness. The Budget supports \$2.486 billion for the CoC program to fund competitive renewals; \$280 million for ESG formula funding for communities to address emergency needs such as emergency shelter, street outreach, essential services, homelessness prevention, and rapid rehousing; and \$7 million for the National Homeless Data Analysis Project.

The Budget also supports legislative changes to improve program performance and efficiencies.

Object Classification (in millions of dollars)

Identification code 086-0192-0-1-604	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2,597	2,767	3,013
99.0 Reimbursable obligations	1
99.9 Total new obligations, unexpired accounts	2,597	2,768	3,013

PERMANENT SUPPORTIVE HOUSING

Program and Financing (in millions of dollars)

Identification code 086-0342-0-1-604	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5	5
3020	Outlays (gross)	-5
3050	Unpaid obligations, end of year	5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5	5
3200	Obligated balance, end of year	5
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances	5
4180	Budget authority, net (total)	5
4190	Outlays, net (total)	5

This account reports the remaining outlays from the Supplemental Appropriations Act, 2008 (Public Law 110-252), which provided permanent supportive housing assistance and project-based vouchers to the Louisiana Recovery Authority. These previously funded projects and vouchers are eligible for renewal under the Homeless Assistance Grants and Tenant-Based Rental Assistance accounts.

RURAL HOUSING AND ECONOMIC DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 086-0324-0-1-604	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1 1
1930	Total budgetary resources available	1	1 1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1 1
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1 1
3050	Unpaid obligations, end of year	1	1 1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1 1
3200	Obligated balance, end of year	1	1 1
4180	Budget authority, net (total)
4190	Outlays, net (total)

The Budget does not provide funding for the Rural Housing and Economic Development (RHED) program. RHED was created to support housing and economic development activities in rural communities. The Consolidated Appropriations Act, 2016 (Public Law 114-113) permanently rescinded all unobligated balances of RHED funds, including carryover and recapitures.

REVOLVING FUND (LIQUIDATING PROGRAMS)

Program and Financing (in millions of dollars)

Identification code 086-4015-0-3-451	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10		
1022 Capital transfer of unobligated balances to general fund	-10		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Revolving Fund (liquidating programs) was established by the Independent Offices Appropriations Act of 1955 for the efficient liquidation of assets acquired under a number of housing and urban development programs, all of which are no longer active. For example, the Section 312 loan program portfolio, which provided first and junior lien financing at below market interest rates for the rehabilitation of homes in low-income neighborhoods, constituted a large portion of the account activities but has not originated new loans for over 20 years. The operational expenses are financed from a permanent, indefinite appropriation to administer the remaining repayments of loans, recaptures, and lien releases in the portfolio. Any remaining unobligated balances in the account are returned to the Treasury annually.

Balance Sheet (in millions of dollars)

Identification code 086-4015-0-3-451	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	10	
1601 Direct loans, gross		
1603 Allowance for estimated uncollectible loans and interest (-)		
1604 Direct loans and interest receivable, net		
1606 Foreclosed property	1	
1699 Value of assets related to direct loans	1	
1999 Total assets	11	
LIABILITIES:		
2207 Non-Federal liabilities: Other	1	
NET POSITION:		
3100 Unexpended appropriations	10	14
3300 Cumulative results of operations		-14
3999 Total net position	10	
4999 Total liabilities and net position	11	

COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM ACCOUNT

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2020, commitments to guarantee loans under section 108 of the Housing and Community Development Act of 1974 (42 U.S.C. 5308), any part of which is guaranteed, shall not exceed a total principal amount of \$300,000,000, notwithstanding any aggregate limitation on outstanding obligations guaranteed in subsection (k) of such section 108: *Provided*, That the Secretary shall collect fees from borrowers, notwithstanding subsection (m) of such section 108, to result in a credit subsidy cost of zero for guaranteeing such loans, and any such fees shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974: *Provided further*, That such commitment authority funded by fees may be used to guarantee, or make commitments to guarantee, notes or other obligations issued by any State on behalf of non-entitlement communities in the State in accordance with the requirements of such section 108: *Provided further*, That any State receiving such a guarantee or commitment under the previous proviso shall distribute all funds subject to such guarantee to the units of general local government in nonentitlement areas that received the commitment. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086-0198-0-1-451	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	2	
3020 Outlays (gross)	-1	-2	
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	2	
3200 Obligated balance, end of year	2		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	2	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0198-0-1-451	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215003 Section 108 Community Development Loan Guarantee (Fee)	59	100	
215999 Total loan guarantee levels	59	100	
Guaranteed loan subsidy (in percent):			
232003 Section 108 Community Development Loan Guarantee (Fee)	0.00	-0.1	
232999 Weighted average subsidy rate	0.00	-0.1	
Guaranteed loan reestimates:			
235001 Section 108 Community Development Loan Guarantee	-4	-9	
235003 Section 108 Community Development Loan Guarantee (Fee)		-1	
235999 Total guaranteed loan reestimates	-4	-10	

The Community Development Loan Guarantee Program (Section 108) supports economic development projects, housing rehabilitation, and the rehabilitation, construction, or installation of public facilities for the benefit of low- to moderate-income persons or to aid in the prevention of slums.

The Budget does not request any new loan guarantee authority for Section 108 for 2021.

COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4096-0-3-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts	3	7	
0743 Interest on downward reestimates	1	2	
0900 Total new obligations, unexpired accounts	4	9	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	17	8
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	2	1
1801 Change in uncollected payments, Federal sources	-4	-2	
1850 Spending auth from offsetting collections, mand (total)	-2		1
1930 Total budgetary resources available	21	17	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	8	9
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	9	
3020 Outlays (gross)	-4	-9	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-2	
3070 Change in uncollected pymts, Fed sources, unexpired	4	2	
3090 Uncollected pymts, Fed sources, end of year	-2		

Memorandum (non-add) entries:

3100	Obligated balance, start of year	-6	-2
3200	Obligated balance, end of year	-2

Financing authority and disbursements, net:

Mandatory:

4090	Budget authority, gross	-2	1
Financing disbursements:				
4110	Outlays, gross (total)	4	9
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal Sources: Payments from Program Account	-1
4122	Interest on uninvested funds	-1
4123	Non-Federal sources	-2	-1
4130	Offsets against gross budget authority and outlays (total) ...	-2	-2	-1
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	4	2
4170	Outlays, net (mandatory)	2	7	-1
4180	Budget authority, net (total)
4190	Outlays, net (total)	2	7	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4096-0-3-451		2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority	59	100
2121	Limitation available from carry-forward
2142	Uncommitted loan guarantee limitation
2143	Uncommitted limitation carried forward
2150	Total guaranteed loan commitments	59	100
2199	Guaranteed amount of guaranteed loan commitments	59	100

Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1,241	1,071	1,042
2231	Disbursements of new guaranteed loans	49	184	94
2251	Repayments and prepayments	-219	-213	-193
2290	Outstanding, end of year	1,071	1,042	943
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,071	1,042	943

Balance Sheet (in millions of dollars)

Identification code 086-4096-0-3-451		2018 actual	2019 actual
ASSETS:			
1101	Federal assets: Fund balances with Treasury	17	14
1999	Total assets	17	14
LIABILITIES:			
Non-Federal liabilities:			
2204	Liabilities for loan guarantees	14	11
2207	Other
2999	Total liabilities	14	11
NET POSITION:			
3300	Cumulative results of operations	3	3
4999	Total liabilities and net position	17	14

COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4097-0-3-451		2019 actual	2020 est.	2021 est.
Change in obligated balance:				
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-3	-3	-3
3200	Obligated balance, end of year	-3	-3	-3
4180	Budget authority, net (total)
4190	Outlays, net (total)

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4097-0-3-451		2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1	1	1
2251	Repayments and prepayments
2290	Outstanding, end of year	1	1	1
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year

Balance Sheet (in millions of dollars)

Identification code 086-4097-0-3-451		2018 actual	2019 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	-3	-3
Investments in U.S. securities:			
1106	Receivables, net	3
1206	Non-Federal assets: Receivables, net	3
1605	Accounts receivable from foreclosed property	3
1606	Foreclosed property
1699	Value of assets related to direct loans	3
1999	Total assets	3

Trust Funds

HOUSING TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 086-8560-0-7-604		2019 actual	2020 est.	2021 est.
0100	Balance, start of year	18	15	18
Receipts:				
Current law:				
1130	Affordable Housing Allocation, Housing Trust Fund	245	301	245
Proposed:				
1230	Affordable Housing Allocation, Housing Trust Fund	-245
1999	Total receipts	245	301
2000	Total: Balances and receipts	263	316	18
Appropriations:				
Current law:				
2101	Housing Trust Fund	-245	-301	-245
2103	Housing Trust Fund	-18	-15	-18
2132	Housing Trust Fund	15	18
2199	Total current law appropriations	-248	-298	-263
Proposed:				
2201	Housing Trust Fund	245
2999	Total appropriations	-248	-298	-18
5099	Balance, end of year	15	18

Program and Financing (in millions of dollars)

Identification code 086-8560-0-7-604		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Grants	294	321	287
0900	Total new obligations, unexpired accounts (object class 41.0)	294	321	287
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	114	68	45
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	245	301	245
1203	Appropriation (previously unavailable)(special or trust)	18	15	18
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-15	-18
1260	Appropriations, mandatory (total)	248	298	263
1930	Total budgetary resources available	362	366	308

HOUSING TRUST FUND—Continued
Program and Financing—Continued

Identification code 086-8560-0-7-604	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	68	45	21
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	511	724	904
3010 New obligations, unexpired accounts	294	321	287
3020 Outlays (gross)	-81	-141	-198
3050 Unpaid obligations, end of year	724	904	993
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	511	724	904
3200 Obligated balance, end of year	724	904	993
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	248	298	263
Outlays, gross:			
4101 Outlays from mandatory balances	81	141	198
4180 Budget authority, net (total)	248	298	263
4190 Outlays, net (total)	81	141	198

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	248	298	263
Outlays	81	141	198
Legislative proposal, subject to PAYGO:			
Budget Authority			-245
Total:			
Budget Authority	248	298	18
Outlays	81	141	198

The Housing Trust Fund provides grants to States to increase and preserve the supply of affordable rental housing and homeownership opportunities for extremely low-income families. The Housing Trust Fund was authorized by section 1131 of the Housing and Economic Recovery Act of 2008 (Public Law 110-289), which directed the account to be funded from assessments on Fannie Mae and Freddie Mac. The 2021 Budget includes a legislative proposal to eliminate the assessment and discontinue funding for the Housing Trust Fund.

HOUSING TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 086-8560-4-7-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants			-206
0900 Total new obligations, unexpired accounts (object class 41.0)			-206
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-245
1930 Total budgetary resources available			-245
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-39
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-206
3050 Unpaid obligations, end of year			-206
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-206
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-245
4180 Budget authority, net (total)			-245

4190 Outlays, net (total)

HOUSING PROGRAMS

Federal Funds

PROJECT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of project-based subsidy contracts under the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) ("the Act"), not otherwise provided for, **[\$12,170,000,000]** **\$12,242,000,000**, to remain available until **[expended]** **September 30, 2023**, shall be available on October 1, **[2019]** **2020** (in addition to the \$400,000,000 previously appropriated under this heading that became available October 1, **[2019]** **2020**), and \$400,000,000, to remain available until **[expended]** **September 30, 2024**, shall be available on October 1, **[2020]** **2021**: *Provided*, That the amounts made available under this heading shall be available for expiring or terminating section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for amendments to section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11401), for renewal of section 8 contracts for units in projects that are subject to approved plans of action under the Emergency Low Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990, and for administrative and other expenses associated with project-based activities and assistance funded under this paragraph: *Provided further*, That the amount of any foregone increases in tenant rent payments due to the implementation of rent incentives as authorized pursuant to waivers or alternative requirements of the Jobs-Plus initiative as described under the heading "Self-Sufficiency Programs" shall be factored into housing assistance payments under project-based subsidy contracts: *Provided further*, That of the total amounts provided under this heading, not to exceed **[\$345,000,000]** **\$350,000,000** shall be available for performance-based contract administrators or contractors for section 8 project-based assistance, for carrying out 42 U.S.C. 1437(f): *Provided further*, That the Secretary may also use such amounts in the previous proviso for performance-based contract administrators or contractors for the administration of: interest reduction payments pursuant to section 236(a) of the National Housing Act (12 U.S.C. 1715z-1(a)); rent supplement payments pursuant to section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s); section 236(f)(2) rental assistance payments (12 U.S.C. 1715z-1(f)(2)); project rental assistance contracts for the elderly under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q); project rental assistance contracts for supportive housing for persons with disabilities under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013(d)(2)); project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667); and loans under section 202 of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667): *Provided further*, That amounts recaptured under this heading, the heading "Annual Contributions for Assisted Housing", or the heading "Housing Certificate Fund", may be used for renewals of or amendments to section 8 project-based contracts or for performance-based contract administrators or contractors, notwithstanding the purposes for which such amounts were appropriated: *Provided further*, That, notwithstanding any other provision of law, upon the request of the Secretary, project funds that are held in residual receipts accounts for any project subject to a section 8 project-based Housing Assistance Payments contract that authorizes HUD or a Housing Finance Agency to require that surplus project funds be deposited in an interest-bearing residual receipts account and that are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until expended: *Provided further*, That amounts deposited pursuant to the previous proviso shall be available in addition to the amount otherwise provided by this heading for uses authorized under this heading. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0303-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contract Renewals	11,300	12,005	11,946
0002 RAD Contract Renewals	56	41	64
0003 Section 8 Amendments	152	100	110
0004 Contract Administrators	245	345	350
0006 Tenant Information and Outreach		2	6
0008 Mod Rehab and SRO Renewals	209	213	230
0900 Total new obligations, unexpired accounts (object class 41.0)	11,962	12,706	12,706

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	297	204	166
1011	Unobligated balance transfer from other acct [086-0206]	5		
1020	Adjustment of unobligated bal brought forward, Oct 1	-1		
1021	Recoveries of prior year unpaid obligations	63	57	57
1033	Recoveries of prior year paid obligations	1	1	
1050	Unobligated balance (total)	365	262	223
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	11,347	12,170	12,242
1121	Appropriations transferred from other acct [086-0304]	11	13	
1121	Appropriations transferred from other acct [086-0206]	4		
1121	Appropriations transferred from other acct [086-0163]	39	28	64
1131	Unobligated balance of appropriations permanently reduced (emergency)		-1	
1160	Appropriation, discretionary (total)	11,401	12,210	12,306
Advance appropriations, discretionary:				
1170	Advance appropriation	400	400	400
1900	Budget authority (total)	11,801	12,610	12,706
1930	Total budgetary resources available	12,166	12,872	12,929
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	204	166	223
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4,086	3,778	5,590
3001	Adjustments to unpaid obligations, brought forward, Oct 1	1		
3010	New obligations, unexpired accounts	11,962	12,706	12,706
3020	Outlays (gross)	-12,208	-10,837	-13,773
3040	Recoveries of prior year unpaid obligations, unexpired	-63	-57	-57
3050	Unpaid obligations, end of year	3,778	5,590	4,466
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4,087	3,778	5,590
3200	Obligated balance, end of year	3,778	5,590	4,466
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	11,801	12,610	12,706
Outlays, gross:				
4010	Outlays from new discretionary authority	8,138	8,337	8,399
4011	Outlays from discretionary balances	4,070	2,500	5,374
4020	Outlays, gross (total)	12,208	10,837	13,773
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources:	-1	-1	
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts	1	1	
4070	Budget authority, net (discretionary)	11,801	12,610	12,706
4080	Outlays, net (discretionary)	12,207	10,836	13,773
4180	Budget authority, net (total)	11,801	12,610	12,706
4190	Outlays, net (total)	12,207	10,836	13,773

The Budget requests \$12.6 billion for Project-Based Rental Assistance (PBRA), of which \$400 million is requested as an advance appropriation to become available in 2022. The PBRA program assists approximately 1.2 million extremely low- to low-income households in obtaining decent, safe, and sanitary housing in private accommodations. PBRA serves families, elderly, and disabled households and provides transitional housing for the homeless. Through this funding, the Department of Housing and Urban Development (HUD) supports approximately 17,200 contracts with private owners of multifamily housing by paying the difference between a portion of a household's income and the approved market-based rent for a housing unit. The Budget continues to support the program's calendar year funding cycle and provides 12 months of funding for all contracts. Further, the Budget continues to support legislative reforms as reflected in the Making Affordable Housing Work Act of 2018 and uniform work requirements.

Program activities include the following:

Contract Renewals and Amendments.—These activities provide funding for HUD to renew expiring contracts and amend contracts that have not expired but require additional funding for HUD to meet remaining payment obligations. Appropriations for these activities are supplemented with re-

coveries of excess balances remaining on expired contracts that utilized less than anticipated resources during their initial terms.

Contract Administrators.—The Budget requests \$350 million for contract administration. This activity funds the local level administration of the program through HUD agreements with performance-based contract administrators or other supportive services contractors.

Tenant Information and Outreach.—The Budget requests up to \$6 million for technical assistance to tenant groups, nonprofit groups, and public entities to support tenants of troubled properties and improve tenant access to community services in order to support self-sufficiency.

HOUSING FOR THE ELDERLY

For capital advances, including amendments to capital advance contracts, for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, for project rental assistance for the elderly under section 202(c)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance [for up to a 1-year term], for senior preservation rental assistance contracts, including renewals, as authorized by section 811(e) of the American Housing and Economic Opportunity Act of 2000, as amended, and for supportive services associated with the housing, [\$793,000,000] \$853,000,000, to remain available until September 30, [2023] 2024: *Provided*, That of the amount provided under this heading, up to [\$100,000,000] \$95,000,000 shall be for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects: *Provided further*, That amounts under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 202 projects: *Provided further*, That the Secretary may waive the provisions of section 202 governing the terms and conditions of project rental assistance, except that the initial contract term for such assistance shall not exceed 5 years in duration: *Provided further*, That upon request of the Secretary, project funds that are held in residual receipts accounts for any project subject to a section 202 project rental assistance contract, and that upon termination of such contract are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to remain available until September 30, [2023] 2024: *Provided further*, That amounts deposited in this account pursuant to the previous proviso shall be available, in addition to the amounts otherwise provided by this heading, for the purposes authorized under this heading: *Provided further*, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading shall be available for the current purposes authorized under this heading in addition to the purposes for which such funds originally were appropriated: *Provided further*, That of the total amount provided under this heading, [\$10,000,000] shall be for a program to be established by the Secretary to make grants to experienced non-profit organizations, States, local governments, or public housing agencies for safety and functional home modification repairs to meet the needs of low-income elderly homeowners to enable them to remain in their primary residence: *Provided further*, That of the total amount made available under the previous proviso, no less than \$5,000,000 shall be available to meet such needs in communities with substantial rural populations: *Provided further*, That beneficiaries of the grant assistance provided in the previous two provisos under this heading in the Department of Housing and Urban Development Appropriations Act, 2019 (Public Law 116-6) shall be homeowners] up to \$14,000,000 may be used by the Secretary to continue demonstration programs to test housing with services models for the elderly that demonstrate the potential to delay or avoid the need for nursing home care. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086-0320-0-1-604		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Capital Advance and Expenses	2	113	144
0002	PRAC Renewal/Amendment	524	581	620
0003	Service Coordinators/Congregate Services	94	100	95
0005	Senior Preservation Rental Assistance Contracts (SPRAC)			
	Renewals/Amendments	19	14	15
0006	Senior Preservation Rental Assistance Contracts		5	
0007	Supportive Services/IWISH Demonstration			14
0008	Aging in Place Home Modifications and Repairs		20	
0799	Total direct obligations	639	833	888
0900	Total new obligations, unexpired accounts (object class 41.0)	639	833	888

HOUSING FOR THE ELDERLY—Continued
Program and Financing—Continued

Identification code 086-0320-0-1-604	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	204	254	215
1021 Recoveries of prior year unpaid obligations	7	1	1
1050 Unobligated balance (total)	211	255	216
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	678	793	853
Spending authority from offsetting collections, discretionary:			
1700 Collected	6		
1900 Budget authority (total)	684	793	853
1930 Total budgetary resources available	895	1,048	1,069
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	254	215	181
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	793	683	667
3010 New obligations, unexpired accounts	639	833	888
3020 Outlays (gross)	-741	-848	-917
3040 Recoveries of prior year unpaid obligations, unexpired	-7	-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	683	667	637
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	793	683	667
3200 Obligated balance, end of year	683	667	637

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	684	793	853
Outlays, gross:			
4010 Outlays from new discretionary authority	251	230	247
4011 Outlays from discretionary balances	490	618	670
4020 Outlays, gross (total)	741	848	917
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-6		
4040 Offsets against gross budget authority and outlays (total)	-6		
4180 Budget authority, net (total)	678	793	853
4190 Outlays, net (total)	735	848	917

Since 1959, the Housing for the Elderly Program (Section 202) has supported the construction and operation of supportive housing for very low-income elderly households, including the frail elderly. The Budget provides \$853 million for this program, including \$641 million to renew and amend operating subsidy contracts for existing Section 202 housing including Senior Preservation Rental Assistance Contracts, \$100 million for Capital Advances to increase the supply of affordable housing for seniors, \$95 million to support service coordinators who work on-site to help residents obtain critical services, and \$3 million for property inspections and other related expenses. In addition, the Budget provides \$14 million for an extension of the Supportive Services IWISH Demonstration for collection of additional data to better evaluate the demonstration's impact on the health and well-being of older low-income tenants.

Further, the Budget continues to support legislative reforms as reflected in the Making Affordable Housing Work Act of 2018 and uniform work requirements.

HOUSING FOR PERSONS WITH DISABILITIES

For capital advances, including amendments to capital advance contracts, for supportive housing for persons with disabilities, as authorized by section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), as amended, for project rental assistance for supportive housing for persons with disabilities under section 811(d)(2) of such Act, for project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667), including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, for project rental assistance to State housing

finance agencies and other appropriate entities as authorized under section 811(b)(3) of the Cranston-Gonzalez National Housing Act, and for supportive services associated with the housing for persons with disabilities as authorized by section 811(b)(1) of such Act, **[\$202,000,000] \$252,000,000**, to remain available until September 30, **[2023] 2024: Provided**. That amounts made available under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 811 projects: *Provided further*. That, upon the request of the Secretary, project funds that are held in residual receipts accounts for any project subject to a section 811 project rental assistance contract, and that upon termination of such contract are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to remain available until September 30, **[2023] 2024: Provided further**. That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for the purposes authorized under this heading: *Provided further*. That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading shall be used for the current purposes authorized under this heading in addition to the purposes for which such funds originally were appropriated. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0237-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Supportive Housing	2	77	82
0002 Disabled PRAC/PAC Renewals and Amendments	161	166	170
0004 State Housing Project Rental Assistance		37	40
0799 Total direct obligations	163	280	292
0900 Total new obligations, unexpired accounts (object class 41.0)	163	280	292

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	162	189	112
1021 Recoveries of prior year unpaid obligations	2	1	1
1050 Unobligated balance (total)	164	190	113
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	184	202	252
Spending authority from offsetting collections, discretionary:			
1700 Collected	4		
1900 Budget authority (total)	188	202	252
1930 Total budgetary resources available	352	392	365
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	189	112	73

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	461	430	503
3010 New obligations, unexpired accounts	163	280	292
3020 Outlays (gross)	-191	-206	-303
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	430	503	491
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	461	430	503
3200 Obligated balance, end of year	430	503	491

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	188	202	252
Outlays, gross:			
4010 Outlays from new discretionary authority	30	36	45
4011 Outlays from discretionary balances	161	170	258
4020 Outlays, gross (total)	191	206	303
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-4		
4180 Budget authority, net (total)	184	202	252
4190 Outlays, net (total)	187	206	303

Since 1992, the Housing for Persons with Disabilities Program (Section 811) has supported the development and operation of supportive housing for very low-income people with disabilities. The Budget provides \$252 million for this program, including \$170 million to renew and amend operating subsidy contracts for existing Section 811 housing, \$80 million for

Capital Advances and Project Rental Assistance to expand the supply of affordable housing for low-income persons with disabilities, and up to \$2 million for property inspections and other related expenses.

Further, the Budget continues to support legislative reforms as reflected in the Making Affordable Housing Work Act of 2018 and uniform work requirements.

HOUSING COUNSELING ASSISTANCE

For contracts, grants, and other assistance excluding loans, as authorized under section 106 of the Housing and Urban Development Act of 1968, as amended, **[\$53,000,000]** \$45,000,000, to remain available until September 30, **[2021]** 2022, including up to \$4,500,000 for administrative contract services **[and up to \$3,000,000 for the certification of housing counselors as required under 12 U.S.C. 1701x: Provided, That grants made available from amounts provided under this heading shall be awarded within 180 days of enactment of this Act]: Provided [further], That funds shall be used for providing counseling and advice to tenants and homeowners, both current and prospective, with respect to property maintenance, financial management or literacy, and such other matters as may be appropriate to assist them in improving their housing conditions, meeting their financial needs, and fulfilling the responsibilities of tenancy or homeownership; for program administration; and for housing counselor training: Provided further, That for purposes of providing such grants from amounts provided under this heading, the Secretary may enter into multiyear agreements, as appropriate, subject to the availability of annual appropriations. (Department of Housing and Urban Development Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 086-0156-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Housing Counseling Assistance	79	49	41
0002 Administrative Contract Services	3	4	4
0900 Total new obligations, unexpired accounts	82	53	45
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	40	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	50	53	45
1930 Total budgetary resources available	90	60	52
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	64	65
3010 New obligations, unexpired accounts	82	53	45
3020 Outlays (gross)	-43	-52	-56
3050 Unpaid obligations, end of year	64	65	54
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	64	65
3200 Obligated balance, end of year	64	65	54
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	50	53	45
Outlays, gross:			
4010 Outlays from new discretionary authority		3	2
4011 Outlays from discretionary balances	43	49	54
4020 Outlays, gross (total)	43	52	56
4180 Budget authority, net (total)	50	53	45
4190 Outlays, net (total)	43	52	56

The Housing Counseling Assistance Program provides: 1) comprehensive housing counseling services to eligible homeowners and tenants through grants, oversight, and technical assistance; and 2) training to housing counselors and staff of government or non-profit entities that participate in Department of Housing and Urban Development's (HUD) Housing Counseling program. Eligible Housing Counseling program services include group education and individualized housing counseling on pre- and post-

purchase homeownership budgeting and financial management, reverse mortgage counseling, homelessness prevention, rental counseling, and avoiding discrimination, foreclosure, and eviction. The objectives of the Housing Counseling program include overcoming barriers to stable and affordable housing; expanding sustainable homeownership and rental opportunities; preventing foreclosure and eviction; and deterring discrimination, scams, and fraud.

The 2021 Budget includes \$45 million for this program, the bulk of which funds grants to HUD-approved Housing Counseling agencies for direct services. To strengthen housing counselor training, the Office of Housing Counseling has implemented individual testing and certification for housing counselors.

Object Classification (in millions of dollars)

Identification code 086-0156-0-1-604	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	3	4	4
41.0 Grants, subsidies, and contributions	79	49	41
99.9 Total new obligations, unexpired accounts	82	53	45

EMERGENCY HOMEOWNERS' RELIEF FUND

Program and Financing (in millions of dollars)

Identification code 086-0407-0-1-371	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	330		
1020 Adjustment of unobligated bal brought forward, Oct 1	-330		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0407-0-1-371	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135001 Emergency Homeowners' Relief	-2		

The Emergency Homeowners' Loan Program (EHLPL) provided emergency mortgage assistance to homeowners who were unemployed or underemployed due to economic or medical conditions. The program became effective October 1, 2010 and, per statute, stopped accepting applications on September 30, 2011. This account reflects no new obligations but displays the liquidation of prior year obligations.

EMERGENCY HOMEOWNERS' RELIEF FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4357-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts	2		
0900 Total new obligations, unexpired accounts	2		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	2
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1930 Total budgetary resources available	3	2	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	3
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2		

EMERGENCY HOMEOWNERS' RELIEF FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 086-4357-0-3-371	2019 actual	2020 est.	2021 est.
3020 Outlays (gross)	-2		
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Financing disbursements:			
4110 Outlays, gross (total)	2		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments of principal, net	-1	-1	-1
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 086-4357-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2	60	59
1251 Repayments: Repayments and prepayments	-1	-1	-1
1264 Other adjustments, net (+ or -)	59		
1290 Outstanding, end of year	60	59	58

Balance Sheet (in millions of dollars)

Identification code 086-4357-0-3-371	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	2	60
1405 Allowance for subsidy cost (-)	-2	-60
1499 Net present value of assets related to direct loans		
1999 Total assets		
LIABILITIES:		
2103 Federal liabilities: Debt payable to Treasury		
4999 Total upward reestimate subsidy BA [86-0407]		

OTHER ASSISTED HOUSING PROGRAMS

For amendments to contracts under section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1) in State-aided, noninsured rental housing projects, \$3,000,000, to remain available until expended: *Provided*, That such amount, together with unobligated] Unobligated balances from recaptured amounts appropriated prior to fiscal year 2006 from terminated contracts under [such section of law] section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1), and any unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading after fiscal year 2005, shall also be available for extensions of up to one year for expiring contracts under such section [of law]: *Provided*, That the Secretary may transfer funds remaining from amounts appropriated under this heading in the prior fiscal year to the "Housing for the Elderly" account to be used for capital advance contracts for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects. (Department of Housing and Urban Development Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 086-0206-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Rental Housing Assistance, 02	1	4	4
0900 Total new obligations, unexpired accounts (object class 41.0)	1	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	73	87	114
1010 Unobligated balance transfer to other accts, 01 [086-0303]	-3		

1010 Unobligated balance transfer to other accts, 02 [086-0303]	-2		
1021 Recoveries of prior year unpaid obligations, 01	1	3	3
1021 Recoveries of prior year unpaid obligations, 02	16	23	23
1033 Recoveries of prior year paid obligations, 02	2	2	
1050 Unobligated balance (total)	87	115	140
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation of New BA, 01	3		
1100 Appropriation of New BA, 02	2	3	
1120 Appropriations transferred to other acct, 01 [086-0303]	-3		
1120 Appropriations transferred to other acct, 02 [086-0303]	-1		
1160 Appropriation, discretionary (total)	1	3	
1900 Budget authority (total)	1	3	
1930 Total budgetary resources available	88	118	140
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	87	114	136

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	449	345	201
3010 New obligations, unexpired accounts	1	4	4
3020 Outlays (gross)	-88	-122	-60
3040 Recoveries of prior year unpaid obligations, unexpired	-17	-26	-26
3050 Unpaid obligations, end of year	345	201	119
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	449	345	201
3200 Obligated balance, end of year	345	201	119

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1	3	
Outlays, gross:			
4010 Outlays from new discretionary authority		2	
4011 Outlays from discretionary balances	88	120	60
4020 Outlays, gross (total)	88	122	60
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources, 02	-2	-2	
4040 Offsets against gross budget authority and outlays (total)	-2	-2	
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	2	2	
4070 Budget authority, net (discretionary)	1	3	
4080 Outlays, net (discretionary)	86	120	60
4180 Budget authority, net (total)	1	3	
4190 Outlays, net (total)	86	120	60

The Other Assisted Housing account contains the programs listed below:
Rent Supplement.—Rent Supplement assistance payments support assisted units for qualified low-income tenants.

Section 235.—The Housing and Urban-Rural Recovery Act of 1983 (Public Law 98-181) authorized a restructured Section 235 (Homeownership Assistance) program that provided homeowners a ten-year interest reduction subsidy on their mortgages.

Section 236.—The Housing and Urban Development Act of 1968, as amended, authorized the Section 236 Rental Housing Assistance Program, which subsidizes the monthly mortgage payment that an owner of a rental or cooperative project is required to make. This interest subsidy reduces rents for lower income tenants. Some Section 236 properties also have rental assistance contracts with the Department of Housing and Urban Development (HUD) through the Rental Assistance Payment (RAP) program.

HUD has converted the last remaining Rent Supplement and RAP properties to long-term, project-based Section 8 contracts, using the Rental Assistance Demonstration program. Therefore, HUD no longer needs funding in this account. The Budget seeks authority to transfer any remaining funds into the Housing for the Elderly account to provide service coordinators for elderly residents or to support capital advance contracts for new housing for the elderly.

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING, RECOVERY ACT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0306-0-1-604	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135001 Energy Retrofit Loans	-3	-6	

The Green Retrofit Program offered grants and loans to owners of eligible Department of Housing and Urban Development (HUD) assisted multifamily housing properties to fund green retrofits, which are intended to reduce ongoing utility consumption, benefit resident health, and benefit the environment. This program was funded under Title XII of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and the authority to make new awards has expired. All loan cash flows are recorded in the corresponding financing account (86-4589).

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4589-0-3-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts	2	4	
0743 Interest on downward reestimates	1	2	
0900 Total new obligations, unexpired accounts	3	6	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	3	1
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	6	4	4
1825 Spending authority from offsetting collections applied to repay debt	-1		
1850 Spending auth from offsetting collections, mand (total)	5	4	4
1900 Budget authority (total)	5	4	4
1930 Total budgetary resources available	6	7	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	1	5

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	3	6	
3020 Outlays (gross)	-3	-6	

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	5	4	4
Financing disbursements:			
4110 Outlays, gross (total)	3	6	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-6	-4	-4
4180 Budget authority, net (total)	-1		
4190 Outlays, net (total)	-3	2	-4

Status of Direct Loans (in millions of dollars)

Identification code 086-4589-0-3-604	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	51	46	42
1251 Repayments: Repayments and prepayments	-5	-4	-4
1290 Outstanding, end of year	46	42	38

Balance Sheet (in millions of dollars)

Identification code 086-4589-0-3-604	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	2
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	51	46
1402 Interest receivable	1	1

1405 Allowance for subsidy cost (-)	-42	-39
1499 Net present value of assets related to direct loans	10	8
1999 Total assets	11	10
LIABILITIES:		
2103 Federal liabilities: Debt	11	10
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	11	10

RENTAL HOUSING ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 086-4041-0-3-604	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	15	17
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	2	2
1930 Total budgetary resources available	15	17	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	17	19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3	-2	-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-3	-2	-2
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections	1	1	1

As authorized by the Housing and Urban Development Act of 1968, the Rental Housing Assistance Fund collects funds which are in excess of the established basic rents for units in Section 236 subsidized projects. Funds in this account remain available to pay refunds of excess rental charges.

FLEXIBLE SUBSIDY FUND

Program and Financing (in millions of dollars)

Identification code 086-4044-0-3-604	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	520	559	595
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	39	36	34
1930 Total budgetary resources available	559	595	629
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	559	595	629
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	39	36	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-39	-36	-34
4040 Offsets against gross budget authority and outlays (total)	-39	-36	-34
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-39	-36	-34
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	2	2	2
5092 Unexpired unavailable balance, EOY: Offsetting collections	2	2	2

FLEXIBLE SUBSIDY FUND—Continued

Status of Direct Loans (in millions of dollars)

Identification code 086-4044-0-3-604	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	333	311	276
1251 Repayments: Repayments and prepayments	-22	-35	-35
1290 Outstanding, end of year	311	276	241

The Flexible Subsidy Fund assisted financially troubled subsidized projects under certain Federal Housing Administration (FHA) authorities. The subsidies were intended to prevent potential losses to the FHA fund resulting from project insolvency and to preserve these projects as a viable source of housing for low- and moderate-income tenants. Priority was given to projects with Federal insurance-in-force and then to those with mortgages that had been assigned to the Department.

Balance Sheet (in millions of dollars)

Identification code 086-4044-0-3-604	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	522	560
1601 Direct loans, gross	340	311
1602 Interest receivable	55	50
1603 Allowance for estimated uncollectible loans and interest (-)	-43	-42
1699 Value of assets related to direct loans	352	319
1999 Total assets	874	879
NET POSITION:		
3100 Unexpended appropriations	-376	
3300 Cumulative results of operations	1,250	879
3999 Total net position	874	879
4999 Total liabilities and net position	874	879

HOME OWNERSHIP PRESERVATION EQUITY FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-0343-0-1-371	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The HOPE for Homeowners program was created by the Housing and Economic Recovery Act of 2008 to help homeowners at risk of default and foreclosure refinance into affordable, sustainable loans. Under the Program, eligible homeowners refinanced their current mortgage loans into a new mortgage insured by Federal Housing Administration. The program ended on September 30, 2011. In 2016, excess HOPE Bond proceeds in the amount of \$455 million were transferred to the HOPE Reserve Fund, and used to retire the HOPE Bonds. Remaining HOPE Bond activity is shown in the HOPE Reserve Fund.

HOME OWNERSHIP PRESERVATION ENTITY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4353-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Other Investment & Operating Expenses	2	1	1
Credit program obligations:			
0711 Default claim payments on principal		1	1

0791 Direct program activities, subtotal		1	1
0900 Total new obligations, unexpired accounts	2	2	2

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	16	16
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	2	2
1930 Total budgetary resources available	18	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	16	16

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	3
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-1	-2	-2
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	3
3200 Obligated balance, end of year	3	3	3

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	2	2	2
Financing disbursements:			
4110 Outlays, gross (total)	1	2	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-1	-1	-1
4123 Premiums	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total)	-2	-2	-2
4170 Outlays, net (mandatory)	-1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-1		

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4353-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2143 Uncommitted limitation carried forward			
2150 Total guaranteed loan commitments			

Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year	66	57	48
2251 Repayments and prepayments	-8	-8	-8
Adjustments:			
2261 Terminations for default that result in loans receivable			
2262 Terminations for default that result in acquisition of property			
2263 Terminations for default that result in claim payments	-1	-1	-1
2290 Outstanding, end of year	57	48	39

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	57	48	39
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	6	6	6
2331 Disbursements for guaranteed loan claims			
2390 Outstanding, end of year	6	6	6

Balance Sheet (in millions of dollars)

Identification code 086-4353-0-3-371	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	17	17
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	6	6
1504 Foreclosed property		
1505 Allowance for subsidy cost (-)	-5	-5
1599 Net present value of assets related to defaulted guaranteed loans	1	1
1999 Total assets	18	18

LIABILITIES:		
2204	Non-Federal liabilities: Liabilities for loan guarantees	18 18
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	18 18

MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT

New commitments to guarantee single family loans insured under the Mutual Mortgage Insurance Fund shall not exceed \$400,000,000,000, to remain available until September 30, [2021] 2022: *Provided*, That during fiscal year [2020] 2021, obligations to make direct loans to carry out the purposes of section 204(g) of the National Housing Act, as amended, shall not exceed \$1,000,000: *Provided further*, That the foregoing amount in the previous proviso shall be for loans to nonprofit and governmental entities in connection with sales of single family real properties owned by the Secretary and formerly insured under the Mutual Mortgage Insurance Fund: *Provided further*, That for administrative contract expenses of the Federal Housing Administration, \$130,000,000, to remain available until September 30, [2021: *Provided further*, That to the extent guaranteed loan commitments exceed \$200,000,000,000 on or before April 1, 2020, an additional \$1,400 for administrative contract expenses shall be available for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$30,000,000] 2022: *Provided further*, That notwithstanding the limitation in the first sentence of section 255(g) of the National Housing Act (12 U.S.C. 1715z-20(g)), during fiscal year [2020] 2021 the Secretary may insure and enter into new commitments to insure mortgages under *such* section 255 [of the National Housing Act only to the extent that the net credit subsidy cost for such insurance does not exceed zero: *Provided further*, That for fiscal year 2020, the Secretary shall not take any action against a lender solely on the basis of compare ratios that have been adversely affected by defaults on mortgages secured by properties in areas where a major disaster was declared in 2017 or 2018 pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.)]. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0183-0-1-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707	Reestimates of loan guarantee subsidy	867 971	
0708	Interest on reestimates of loan guarantee subsidy	400 248	
0709	Administrative expenses	114 155	158
0900	Total new obligations, unexpired accounts	1,381 1,374	158
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	36 48	26
1001	Discretionary unobligated balance brought fwd, Oct 1	36 48	
1011	Unobligated balance transfer from other acct [086-0236]	1,267 1,219	
1021	Recoveries of prior year unpaid obligations	2 3	3
1050	Unobligated balance (total)	1,305 1,270	29
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation - Administrative Expenses	130 130	130
1900	Budget authority (total)	130 130	130
1930	Total budgetary resources available	1,435 1,400	159
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-6	
1941	Unexpired unobligated balance, end of year	48 26	1
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	162 126	167
3010	New obligations, unexpired accounts	1,381 1,374	158
3020	Outlays (gross)	-1,379 -1,328	-135
3040	Recoveries of prior year unpaid obligations, unexpired	-2 -3	-3
3041	Recoveries of prior year unpaid obligations, expired	-36 -2	-2
3050	Unpaid obligations, end of year	126 167	185
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	162 126	167
3200	Obligated balance, end of year	126 167	185

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	130 130	130
Outlays, gross:			
4010	Outlays from new discretionary authority	20 14	14
4011	Outlays from discretionary balances	92 95	121
4020	Outlays, gross (total)	112 109	135
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	1,267 1,219	
4180	Budget authority, net (total)	130 130	130
4190	Outlays, net (total)	1,379 1,328	135

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0183-0-1-371	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001	MMI Fund, Direct loans	1 1	1
Direct loan subsidy (in percent):			
132001	MMI Fund, Direct loans	0.00 0.00	0.00
Guaranteed loan levels supportable by subsidy budget authority:			
215002	MMI Fund	214,715 205,005	200,000
215004	MMI HECM	10,856 13,610	10,728
215999	Total loan guarantee levels	225,571 218,615	210,728
Guaranteed loan subsidy (in percent):			
232002	MMI Fund	-3.20 -2.27	-3.36
232004	MMI HECM	-15 -08	-2.39
232999	Weighted average subsidy rate	-3.05 -2.13	-3.31
Guaranteed loan subsidy budget authority:			
233002	MMI Fund	-6,871 -4,654	-6,720
233004	MMI HECM	-16 -11	-256
233999	Total subsidy budget authority	-6,887 -4,665	-6,976
Guaranteed loan subsidy outlays:			
234002	MMI Fund	-6,871 -4,654	-6,720
234004	MMI HECM	-16 -11	-256
234999	Total subsidy outlays	-6,887 -4,665	-6,976
Guaranteed loan reestimates:			
235002	MMI Fund	-12,871 -8,890	
235004	MMI HECM	-3,327 -2,022	
235999	Total guaranteed loan reestimates	-16,198 -10,912	
Administrative expense data:			
3510	Budget authority	130 130	130
3580	Outlays from balances	92 90	118
3590	Outlays from new authority	20 19	17

The Federal Housing Administration (FHA) provides mortgage insurance for the purchase, refinance and rehabilitation of single-family homes. FHA mortgage insurance is designed to encourage lenders to make credit available to borrowers whom the conventional market does not adequately serve, including first-time homebuyers, minorities, lower-income families and residents of underserved areas (central cities and rural areas). Historically, FHA has also provided countercyclical support in times of economic crisis. For budgetary purposes, the Mutual Mortgage Insurance (MMI) Fund is separated into two risk categories: forward loans and Home Equity Conversion Mortgages (HECMs). Forward programs guarantee loans for standard single-family purchases and refinances (Section 203(b) program), home improvements (Section 203(k) program) and condominiums. HECMs, also known as reverse mortgages, enable elderly homeowners to borrow against the equity in their homes without having to make repayments during their lifetime.

The 2021 Budget requests a limitation of \$400 billion on loan guarantees for the MMI Fund. The Budget projects insurance of \$200 billion in forward mortgages and \$10.7 billion in HECMs, with additional commitment authority available in case these amounts are exceeded during execution.

The Budget also requests \$130 million in administrative expenses to support a range of FHA functions, including loan underwriting, claims processing and risk monitoring.

MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT—Continued

Object Classification (in millions of dollars)

Identification code 086-0183-0-1-371	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	114	155	158
41.0 Grants, subsidies, and contributions	867	971
43.0 Interest and dividends	400	248
99.9 Total new obligations, unexpired accounts	1,381	1,374	158

FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4242-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	1	1
0791 Direct program activities, subtotal	1	1
0900 Total new obligations, unexpired accounts	1	1

Budgetary resources:

Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1	1
1900 Budget authority (total)	1	1
1930 Total budgetary resources available	1	1

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1
3020 Outlays (gross)	-1	-1

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	1	1
Financing disbursements:			
4110 Outlays, gross (total)	1	1
4180 Budget authority, net (total)	1	1
4190 Outlays, net (total)	1	1

Status of Direct Loans (in millions of dollars)

Identification code 086-4242-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1	1
1150 Total direct loan obligations	1	1

FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4587-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Other capital investment & operating expenses:			
0003 Other capital investment & operating expenses	353	897	1,533
Credit program obligations:			
0711 Default claim payments on principal	15,872	9,009	9,147
0712 Default claim payments on interest	273	155	157
0713 Payment of interest to Treasury	924	950	950
0740 Negative subsidy obligations	6,887	4,665	6,976
0742 Downward reestimates paid to receipt accounts	14,179	9,797
0743 Interest on downward reestimates	3,286	2,334
0791 Direct program activities, subtotal	41,421	26,910	17,230
0900 Total new obligations, unexpired accounts	41,774	27,807	18,763

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20,729	2,102	1,220
1021 Recoveries of prior year unpaid obligations	213	223	276

1033 Recoveries of prior year paid obligations	72
1050 Unobligated balance (total)	21,014	2,325	1,496
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	9,226	11,500	8,600
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections	18,249	17,302	17,592
1825 Spending authority from offsetting collections applied to repay debt	-4,613	-2,100	-2,100
1850 Spending auth from offsetting collections, mand (total)	13,636	15,202	15,492
1900 Budget authority (total)	22,862	26,702	24,092
1930 Total budgetary resources available	43,876	29,027	25,588
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,102	1,220	6,825

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,275	2,182	2,075
3010 New obligations, unexpired accounts	41,774	27,807	18,763
3020 Outlays (gross)	-41,654	-27,691	-18,636
3040 Recoveries of prior year unpaid obligations, unexpired	-213	-223	-276
3050 Unpaid obligations, end of year	2,182	2,075	1,926
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,275	2,182	2,075
3200 Obligated balance, end of year	2,182	2,075	1,926

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	22,862	26,702	24,092
Financing disbursements:			
4110 Outlays, gross (total)	41,654	27,691	18,636
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Upward Reestimate from Program Account	-1,267	-1,219
4122 Interest on uninvested funds	-299	-747	-688
4123 Fees and premiums	-13,324	-14,117	-14,069
4123 Recoveries on defaults	-3,359	-1,219	-2,835
4123 Repayment of Excess Claims	-72
4130 Offsets against gross budget authority and outlays (total)	-18,321	-17,302	-17,592
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts	72
4160 Budget authority, net (mandatory)	4,613	9,400	6,500
4170 Outlays, net (mandatory)	23,333	10,389	1,044
4180 Budget authority, net (total)	4,613	9,400	6,500
4190 Outlays, net (total)	23,333	10,389	1,044

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4587-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	400,000	400,000	400,000
2121 Limitation available from carry-forward	400,000	400,000	400,000
2142 Uncommitted loan guarantee limitation	-174,429	-181,385	-189,272
2143 Uncommitted limitation carried forward	-400,000	-400,000	-400,000
2150 Total guaranteed loan commitments	225,571	218,615	210,728
2199 Guaranteed amount of guaranteed loan commitments	225,571	218,615	210,728

Cumulative balance of guaranteed loans outstanding:

2210 Outstanding, start of year	1,264,430	1,288,344	1,289,777
2231 Disbursements of new guaranteed loans	225,571	218,615	210,728
2251 Repayments and prepayments	-185,826	-195,117	-204,873
Adjustments:			
2261 Terminations for default that result in loans receivable	-13,064	-12,099	-4,593
2262 Terminations for default that result in acquisition of property	-2,552	-1,460	-1,459
2263 Terminations for default that result in claim payments	-286	-8,506	-7,342
2264 Other adjustments, net	71
2290 Outstanding, end of year	1,288,344	1,289,777	1,282,238

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,288,344	1,289,777	1,282,238
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	21,909	27,715	32,023
2331 Disbursements for guaranteed loan claims	13,063	10,927	11,270
2351 Repayments of loans receivable	-817	-2,301	-1,271

2361	Write-offs of loans receivable	-6,440	-4,318	-5,375
2364	Other adjustments, net			
2390	Outstanding, end of year	27,715	32,023	36,647

Balance Sheet (in millions of dollars)

Identification code 086-4587-0-3-371	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	23,004	4,284
Investments in U.S. securities:			
1106	Receivables, net	1,599	1,722
1206	Non-Federal assets: Receivables, net	112	860
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	21,909	27,715
1502	Interest receivable	6,707	10,799
1504	Foreclosed property	1,084	1,146
1505	Allowance for subsidy cost (-)	-10,890	-12,556
1599	Net value of assets related to defaulted guaranteed loan	18,810	27,104
Other Federal assets:			
1801	Cash and other monetary assets	5	54
1901	Other assets		380
1999	Total assets	43,530	34,404
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable	2	1
2103	Federal liabilities, Debt	19,371	23,984
2105	Other	9,924	
Non-Federal liabilities:			
2201	Accounts payable	254	546
2204	Liabilities for loan guarantees	13,696	-2,339
2207	Other	283	12,212
2999	Total liabilities	43,530	34,404
NET POSITION:			
3300	Cumulative results of operations		
3300	Total other		
3999	Total net position		
4999	Total liabilities and net position	43,530	34,404

FHA-MUTUAL MORTGAGE INSURANCE CAPITAL RESERVE ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-0236-0-1-371	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	26,982	50,814	67,584
1010	Unobligated balance transfer to other accts [086-0183]	-1,267	-1,219	
1010	Unobligated balance transfer to other accts [086-4070]	-11	-12	-12
1050	Unobligated balance (total)	25,704	49,583	67,572
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections (negative subsidy)	6,887	4,665	6,976
1800	Offsetting collections (interest on investments)	687	1,205	1,220
1800	Offsetting collections (downward reestimate)	17,465	12,131	
1801	Change in uncollected payments, Federal sources	71		
1850	Spending auth from offsetting collections, mand (total)	25,110	18,001	8,196
1930	Total budgetary resources available	50,814	67,584	75,768
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	50,814	67,584	75,768
Change in obligated balance:				
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-72	-72
3070	Change in uncollected pymts, Fed sources, unexpired	-71		
3090	Uncollected pymts, Fed sources, end of year	-72	-72	-72
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-1	-72	-72
3200	Obligated balance, end of year	-72	-72	-72

Budget authority and outlays, net:

Discretionary:				
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-6,887	-4,665	-6,976
Mandatory:				
4090	Budget authority, gross	25,110	18,001	8,196
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal Sources: Downward Reestimate	-17,465	-12,131	
4121	Interest on Federal securities	-687	-1,205	-1,220
4130	Offsets against gross budget authority and outlays (total) ...	-18,152	-13,336	-1,220
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-71		
4160	Budget authority, net (mandatory)	6,887	4,665	6,976
4170	Outlays, net (mandatory)	-18,152	-13,336	-1,220
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-25,039	-18,001	-8,196

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	26,975	50,601	67,979
5001	Total investments, EOY: Federal securities: Par value	50,601	67,979	77,038

The Capital Reserve account is the ultimate depository for all net budgetary resources collected by the Mutual Mortgage Insurance (MMI) Fund programs. Negative credit subsidy receipts from new loan guarantees and downward reestimates, as well as interest earnings on Treasury investments, are recorded in this account. This account has no authority to obligate funds, but transfers balances of budget authority as necessary for the cost of upward credit subsidy reestimates to the MMI Program Account.

Balance Sheet (in millions of dollars)

Identification code 086-0236-0-1-371	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	520	749
Investments in U.S. securities:			
1102	Treasury securities, net	26,697	50,336
1106	Receivables, net	9,924	11,029
1999	Total assets	37,141	62,114
LIABILITIES:			
Federal liabilities:			
2101	Accounts payable		
2105	Other	1,599	1,722
2999	Total liabilities	1,599	1,722
NET POSITION:			
3300	Cumulative results of operations	35,542	60,392
4999	Total liabilities and net position	37,141	62,114

FHA-MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4070-0-3-371	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0103	Acquisition of real properties	6	15	15
0107	Capitalized Expenses	3	7	7
0108	Loss mitigation activities		1	1
0191	Total capital investment	9	23	23
0202	Other Operation expenses	1	3	2
0900	Total new obligations, unexpired accounts	10	26	25
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	9	5
1011	Unobligated balance transfer from other acct [086-0236] ...	11	12	12
1021	Recoveries of prior year unpaid obligations	2	4	5
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	15	25	22

FHA-MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS LIQUIDATING
ACCOUNT—Continued

Program and Financing—Continued

Identification code 086-4070-0-3-371	2019 actual	2020 est.	2021 est.
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	4	6	5
1930	19	31	27
Total budgetary resources available			
Memorandum (non-add) entries:			
1941	9	5	2
Change in obligated balance:			
Unpaid obligations:			
3000	157	155	165
3010	10	26	25
3020	-10	-12	-15
3040	-2	-4	-5
3050	155	165	170
Memorandum (non-add) entries:			
3100	157	155	165
3200	155	165	170
Budget authority and outlays, net:			
Mandatory:			
4090	4	6	5
Outlays, gross:			
4100	4	5	4
4101	6	7	11
4110	10	12	15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	-2	-6	-5
4123	-3		
4130	-5	-6	-5
Additional offsets against gross budget authority only:			
4143	1		
4170	5	6	10
4180			
4190	5	6	10

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4070-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210	242	92	
2251	-144	-86	
2262	-6	-6	
2290	92		
Memorandum:			
2299	92		
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	18	17	16
2331			
2351	-1	-1	-1
2361			
2390	17	16	15

Balance Sheet (in millions of dollars)

Identification code 086-4070-0-3-371	2018 actual	2019 actual
ASSETS:		
1101	158	163
1206	1	1
1701	18	17
1703	-2	-1
1704	16	16
1705		
1706	2	2
1799	18	18

Other Federal assets:		
1801		
1901		
1999	177	182
LIABILITIES:		
Non-Federal liabilities:		
2201	145	144
2204	2	
2207	16	16
2999	163	160
NET POSITION:		
3300	14	22
4999	177	182

Object Classification (in millions of dollars)

Identification code 086-4070-0-3-371	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	4	10	9
32.0	6	15	15
42.0		1	1
99.9	10	26	25

GENERAL AND SPECIAL RISK PROGRAM ACCOUNT

New commitments to guarantee loans insured under the General and Special Risk Insurance Funds, as authorized by sections 238 and 519 of the National Housing Act (12 U.S.C. 1715z-3 and 1735c), shall not exceed \$30,000,000,000 in total loan principal, any part of which is to be guaranteed, to remain available until September 30, [2021] 2022: *Provided*, That during fiscal year [2020] 2021, gross obligations for the principal amount of direct loans, as authorized by sections 204(g), 207(l), 238, and 519(a) of the National Housing Act, shall not exceed \$1,000,000, which shall be for loans to nonprofit and governmental entities in connection with the sale of single family real properties owned by the Secretary and formerly insured under such Act. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0200-0-1-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705	42	105	
0706	13	10	
0707	736	549	
0708	493	128	
0900	1,284	792	
Budgetary resources:			
Unobligated balance:			
1000	2	2	2
Budget authority:			
Appropriations, mandatory:			
1200	1,284	792	
1900	1,284	792	
1930	1,286	794	2
Memorandum (non-add) entries:			
1941	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010	1,284	792	
3020	-1,284	-792	
Budget authority and outlays, net:			
Mandatory:			
4090	1,284	792	
Outlays, gross:			
4100	1,284	792	
4180	1,284	792	
4190	1,284	792	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0200-0-1-371	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 FFB Risk Sharing	623		
115999 Total direct loan levels	623		
Direct loan subsidy (in percent):			
132002 FFB Risk Sharing	-14.38		
132999 Weighted average subsidy rate	-14.38		
Direct loan subsidy budget authority:			
133002 FFB Risk Sharing	-98		
133999 Total subsidy budget authority	-98		
Direct loan subsidy outlays:			
134002 FFB Risk Sharing	-51	-67	-23
134999 Total subsidy outlays	-51	-67	-23
Direct loan reestimates:			
135002 FFB Risk Sharing	45	115	
135999 Total direct loan reestimates	45	115	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Apartment New Construction / Substantial Rehab	4,244	3,943	3,520
215003 Tax Credits	3,209	3,716	3,900
215005 Apartment Refinances	4,870	7,279	6,700
215008 Housing Finance Agency Risk Sharing	253	285	346
215010 Residential Care Facilities	230	231	231
215011 Residential Care Facility Refinances	3,892	3,864	3,864
215012 Hospitals	220	802	802
215013 Other Rental	187	258	339
215017 Title 1 Property Improvement	52	48	45
215018 Title 1 Manufactured Housing	12	6	6
215999 Total loan guarantee levels	17,169	20,432	19,753
Guaranteed loan subsidy (in percent):			
232001 Apartment New Construction / Substantial Rehab	-20	-1.32	-1.19
232003 Tax Credits	-2.48	-2.34	
232005 Apartment Refinances	-3.27	-3.28	-2.43
232008 Housing Finance Agency Risk Sharing	-2.3	-1.83	-1.32
232010 Residential Care Facilities	-5.81	-5.30	-6.32
232011 Residential Care Facility Refinances	-5.23	-4.95	-2.70
232012 Hospitals	-5.59	-5.65	-5.81
232013 Other Rental	-87	-1.80	-2.27
232017 Title 1 Property Improvement	-1.00	-1.42	-2.45
232018 Title 1 Manufactured Housing	-3.87	-4.79	-6.20
232999 Weighted average subsidy rate	-2.79	-3.12	-2.39
Guaranteed loan subsidy budget authority:			
233001 Apartment New Construction / Substantial Rehab	-9	-52	-42
233003 Tax Credits	-79	-87	-89
233005 Apartment Refinances	-159	-239	-163
233008 Housing Finance Agency Risk Sharing	-1	-5	-5
233010 Residential Care Facilities	-13	-12	-15
233011 Residential Care Facility Refinances	-204	-191	-104
233012 Hospitals	-12	-45	-47
233013 Other Rental	-2	-5	-8
233017 Title 1 Property Improvement	-1	-1	-1
233999 Total subsidy budget authority	-480	-637	-474
Guaranteed loan subsidy outlays:			
234001 Apartment New Construction / Substantial Rehab	-20	-33	-44
234003 Tax Credits	-61	-85	-88
234005 Apartment Refinances	-156	-210	-173
234008 Housing Finance Agency Risk Sharing	-2		-5
234010 Residential Care Facilities	-13	-9	-14
234011 Residential Care Facility Refinances	-194	-162	-122
234012 Hospitals	-5	-50	-47
234013 Other Rental	-1	-4	-7
234017 Title 1 Property Improvement	-1	-1	-1
234999 Total subsidy outlays	-453	-554	-501
Guaranteed loan reestimates:			
235001 Apartment New Construction / Substantial Rehab	3	-47	
235003 Tax Credits	13	-10	
235005 Apartment Refinances	-29	-63	
235008 Housing Finance Agency Risk Sharing	-1	-1	
235010 Residential Care Facilities	1	-6	
235011 Residential Care Facility Refinances	18	30	
235012 Hospitals	-3	-7	
235013 Other Rental	1		
235017 Title 1 Property Improvement		-1	
235018 Title 1 Manufactured Housing		2	
235023 GI/SRI Reestimates	-440	-2,939	
235999 Total guaranteed loan reestimates	-437	-3,042	

The Federal Housing Administration's General Insurance and Special Risk Insurance (GI/SRI) programs provide mortgage insurance for a variety of purposes, including financing for the development and rehabilitation of multifamily housing, residential care facilities, and hospitals. The Budget requests a limitation of \$30 billion on loan guarantees for the GI/SRI Fund. GI/SRI's mortgage insurance programs are designed to operate without the need for subsidy appropriations, with fees set higher than anticipated losses. Therefore, the Budget does not request an appropriation of new credit subsidy funds.

GI/SRI programs guarantee loans at 100 percent, with three exceptions where other parties guarantee a portion of the loan: Housing Finance Agency Risk Sharing, Qualified Participating Entity Risk Sharing, and Federal Financing Bank Risk Sharing.

Object Classification (in millions of dollars)

Identification code 086-0200-0-1-371	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	778	654	
41.0 Interest	506	138	
99.9 Total new obligations, unexpired accounts	1,284	792	

FHA-GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4077-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Other capital investments and operating expenses	107	107	107
0014 Contract Costs	30	30	30
0091 Direct program activities, subtotal	137	137	137
Credit program obligations:			
0711 Default claim payments on principal	2,165	1,593	1,668
0712 Default claim payments on interest	632	284	273
0713 Payment of interest to Treasury	232	212	212
0740 Negative subsidy obligations	480	637	473
0742 Downward reestimates paid to receipt accounts	1,348	1,997	
0743 Interest on downward reestimates	319	1,722	
0791 Direct program activities, subtotal	5,176	6,445	2,626
0900 Total new obligations, unexpired accounts	5,313	6,582	2,763
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8,942	8,047	6,023
1021 Recoveries of prior year unpaid obligations	43	45	45
1033 Recoveries of prior year paid obligations	9	9	9
1050 Unobligated balance (total)	8,994	8,101	6,077
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1,580	2,500	1,600
Spending authority from offsetting collections, mandatory:			
1800 Collected	3,312	2,530	1,723
1825 Spending authority from offsetting collections applied to repay debt	-526	-526	-526
1850 Spending auth from offsetting collections, mand (total)	2,786	2,004	1,197
1900 Budget authority (total)	4,366	4,504	2,797
1930 Total budgetary resources available	13,360	12,605	8,874
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8,047	6,023	6,111
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	506	490	546
3010 New obligations, unexpired accounts	5,313	6,582	2,763
3020 Outlays (gross)	-5,286	-6,481	-3,031
3040 Recoveries of prior year unpaid obligations, unexpired	-43	-45	-45
3050 Unpaid obligations, end of year	490	546	233
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	506	490	546
3200 Obligated balance, end of year	490	546	233

FHA-GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING
ACCOUNT—Continued

Program and Financing—Continued

Identification code 086-4077-0-3-371	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	4,366	4,504	2,797
Financing disbursements:			
4110 Outlays, gross (total)	5,286	6,481	3,031
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Subsidy reestimate from program account	-1,229	-677	
4122 Interest on uninvested funds	-421	-421	-421
4123 Fees and premiums	-861	-949	-953
4123 Recoveries on HUD-Held Notes	-493	-389	-129
4123 Title I recoveries	-6	-1	-1
4123 Single family property recoveries	-170	-9	-16
4123 Gross Proceeds from Mortgage Note Sales	-122	-93	-212
4123 Non-Federal Resources-other	-19		
4130 Offsets against gross budget authority and outlays (total)	-3,321	-2,539	-1,732
Additional offsets against financing authority only (total):			
4143 Recoveries of prior year paid obligations, unexpired accounts	9	9	9
4160 Budget authority, net (mandatory)	1,054	1,974	1,074
4170 Outlays, net (mandatory)	1,965	3,942	1,299
4180 Budget authority, net (total)	1,054	1,974	1,074
4190 Outlays, net (total)	1,965	3,942	1,299

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4077-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	30,000	30,000	30,000
2121 Limitation available from carry-forward	30,000	30,000	30,000
2142 Uncommitted loan guarantee limitation	-12,832	-9,567	-10,247
2143 Uncommitted limitation carried forward	-30,000	-30,000	-30,000
2150 Total guaranteed loan commitments	17,168	20,433	19,753
2199 Guaranteed amount of guaranteed loan commitments	17,042	20,291	19,580
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	157,684	163,136	171,749
2231 Disbursements of new guaranteed loans	15,338	23,505	20,917
2251 Repayments and prepayments	-7,720	-13,298	-16,033
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,851	-1,302	-1,393
2262 Terminations for default that result in acquisition of property	-30	-27	-21
2263 Terminations for default that result in claim payments	-285	-265	-254
2290 Outstanding, end of year	163,136	171,749	174,965
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	160,211	163,871	167,521

Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	5,092	5,830	5,452
2331 Disbursements for guaranteed loan claims	1,851	1,302	1,393
2351 Repayments of loans receivable	-308	-685	-894
2361 Write-offs of loans receivable	-805	-995	-931
2390 Outstanding, end of year	5,830	5,452	5,020

Balance Sheet (in millions of dollars)

Identification code 086-4077-0-3-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	9,449	8,538
Investments in U.S. securities:		
1106 Receivables, net	-249	353
Non-Federal assets:		
1201 Investments in non-Federal securities, net	8	6
1206 Receivables, net	22	76
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	5,092	5,830

1502 Interest receivable	2,364	2,945
1504 Foreclosed property	91	184
1505 Allowance for subsidy cost (-)	-3,328	-3,523
1599 Net value of assets related to defaulted guaranteed loan	4,219	5,436
Other Federal assets:		
1801 Cash and other monetary assets	6	9
1901 Other assets		82
1999 Total assets	13,455	14,500
LIABILITIES:		
Federal liabilities:		
2103 Debt	5,192	6,246
2105 Other	2,668	2,968
Non-Federal liabilities:		
2201 Accounts payable	129	192
2204 Liabilities for loan guarantees	5,391	4,901
2207 Other	75	193
2999 Total liabilities	13,455	14,500
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	13,455	14,500

FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4105-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Other capital investments and operating expenses		1	1
Credit program obligations:			
0710 Direct loan obligations	623		
0713 Payment of interest to Treasury	6	5	5
0715 Payment of interest to FFB	59	58	61
0716 Payment of interest differential		1	1
0717 Direct Loans - SF Property Disposition		1	1
0740 Negative subsidy obligations	98		
0742 Downward reestimates paid to receipt accounts	9		
0743 Interest on downward reestimates	1		
0791 Direct program activities, subtotal	796	65	68
0900 Total new obligations, unexpired accounts	796	66	69
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	91	52	271
1021 Recoveries of prior year unpaid obligations	7	10	20
1050 Unobligated balance (total)	98	62	291
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	674	100	75
Spending authority from offsetting collections, mandatory:			
1800 Collected	137	206	130
1825 Spending authority from offsetting collections applied to repay debt	-61	-31	-65
1850 Spending auth from offsetting collections, mand (total)	76	175	65
1900 Budget authority (total)	750	275	140
1930 Total budgetary resources available	848	337	431
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	52	271	362
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	645	984	326
3010 New obligations, unexpired accounts	796	66	69
3020 Outlays (gross)	-450	-714	-326
3040 Recoveries of prior year unpaid obligations, unexpired	-7	-10	-20
3050 Unpaid obligations, end of year	984	326	49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	645	984	326
3200 Obligated balance, end of year	984	326	49
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	750	275	140
Financing disbursements:			
4110 Outlays, gross (total)	450	714	326

Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-55	-115
4122	Interest on uninvested funds	-4	-1
4123	Repayment of Principal	-21	-30
4123	DL Interest Payments	-55	-58
4123	Loan Guarantee Fees	-2	-2
4130	Offsets against gross budget authority and outlays (total)	-137	-206
4160	Budget authority, net (mandatory)	613	69
4170	Outlays, net (mandatory)	313	508
4180	Budget authority, net (total)	613	69
4190	Outlays, net (total)	313	508

Status of Direct Loans (in millions of dollars)

Identification code 086-4105-0-3-371			
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority	623	
1150	Total direct loan obligations	623	
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	1,665	1,968
1231	Disbursements: Direct loan disbursements	324	555
1251	Repayments: Repayments and prepayments	-21	-30
1290	Outstanding, end of year	1,968	2,493

Balance Sheet (in millions of dollars)

Identification code 086-4105-0-3-371			
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	46	49
Investments in U.S. securities:			
1106	Receivables, net	16	37
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	1,665	1,968
1402	Interest receivable	5	6
1405	Allowance for subsidy cost (-)	203	306
1499	Net present value of assets related to direct loans	1,873	2,280
1999	Total assets	1,935	2,366
LIABILITIES:			
Federal liabilities:			
2102	Interest payable	5	
2103	Debt	1,811	2,130
2105	Other	119	232
Non-Federal liabilities:			
2204	Liabilities for loan guarantees		4
2207	Other		
2999	Total liabilities	1,935	2,366
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	1,935	2,366

FHA-LOAN GUARANTEE RECOVERY FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4106-0-3-371			
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	6	7
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	1	
1930	Total budgetary resources available	7	7
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	7	7
Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	1	
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds	-1	

4180	Budget authority, net (total)		
4190	Outlays, net (total)	-1	

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4106-0-3-371			
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	4	4
2251	Repayments and prepayments		-1
2290	Outstanding, end of year	4	3
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year		

Section 4 of the Church Arson Prevention Act of 1996 (Public Law 104-155), entitled "Loan Guarantee Recovery Fund," authorizes the Secretary of Housing and Urban Development to guarantee loans made by financial institutions to assist certain non-profit organizations that were damaged as a result of acts of arson or terrorism.

Balance Sheet (in millions of dollars)

Identification code 086-4106-0-3-371			
2018 actual			
2019 actual			
ASSETS:			
1101	Federal assets: Fund balances with Treasury	6	7
1999	Total assets	6	7
LIABILITIES:			
Non-Federal liabilities:			
2204	Liabilities for loan guarantees	4	7
2207	Other	2	
2999	Total liabilities	6	7
4999	Total liabilities and net position	6	7

FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4072-0-3-371			
2019 actual			
2020 est.			
2021 est.			
Obligations by program activity:			
0110	Capitalized Expenses	2	2
0111	HUD Held Notes Escrow Activity	15	12
0113	Other	5	5
0900	Total new obligations, unexpired accounts	22	19
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	161	126
1021	Recoveries of prior year unpaid obligations	5	5
1022	Capital transfer of unobligated balances to general fund	-161	-126
1050	Unobligated balance (total)	5	5
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	25	25
Spending authority from offsetting collections, mandatory:			
1800	Collected	118	79
1900	Budget authority (total)	143	104
1930	Total budgetary resources available	148	109
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	126	90
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	84	76
3010	New obligations, unexpired accounts	22	19
3020	Outlays (gross)	-25	-22
3040	Recoveries of prior year unpaid obligations, unexpired	-5	-5
3050	Unpaid obligations, end of year	76	68
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3090	Uncollected pymts, Fed sources, end of year	-1	-1

FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING
ACCOUNT—Continued

Program and Financing—Continued

Identification code 086-4072-0-3-371	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	83	75	67
3200 Obligated balance, end of year	75	67	59
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	143	104	70
Outlays, gross:			
4100 Outlays from new mandatory authority	18	7	7
4101 Outlays from mandatory balances	7	15	15
4110 Outlays, gross (total)	25	22	22
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Other	-118	-79	-45
4180 Budget authority, net (total)	25	25	25
4190 Outlays, net (total)	-93	-57	-23

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4072-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	224	192	126
2251 Repayments and prepayments	-32	-66	-33
Adjustments:			
2261 Terminations for default that result in loans receivable			
2262 Terminations for default that result in acquisition of property			
2290 Outstanding, end of year	192	126	93
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	192	126	93
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	1,514	1,432	1,419
2331 Disbursements for guaranteed loan claims			
2351 Repayments of loans receivable	-82	-13	-12
2390 Outstanding, end of year	1,432	1,419	1,407

Balance Sheet (in millions of dollars)

Identification code 086-4072-0-3-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	244	202
Investments in U.S. securities:		
1102 Treasury securities, par		
1206 Non-Federal assets: Receivables, net	1	1
1701 Defaulted guaranteed loans, gross	1,514	1,432
1702 Interest receivable	248	257
1703 Allowance for estimated uncollectible loans and interest (-)	-623	-593
1704 Defaulted guaranteed loans and interest receivable, net	1,139	1,096
1705 Accounts receivable from foreclosed property		
1706 Foreclosed property		
1799 Value of assets related to loan guarantees	1,139	1,096
1901 Other Federal assets: Other assets		
1999 Total assets	1,384	1,299
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	11	11
2204 Liabilities for loan guarantees		
2207 Other	184	194
2999 Total liabilities	195	205
NET POSITION:		
3100 Unexpended appropriations	229	253
3300 Cumulative results of operations	960	841
3999 Total net position	1,189	1,094
4999 Total liabilities and net position	1,384	1,299

Object Classification (in millions of dollars)

Identification code 086-4072-0-3-371	2019 actual	2020 est.	2021 est.
Direct obligations:			
32.0 Land and structures	2	2	2
33.0 Investments and loans	20	17	17
99.9 Total new obligations, unexpired accounts	22	19	19

HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4115-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Loan Management, Liquidations and Property Dispositions	3	3	3
0900 Total new obligations, unexpired accounts (object class 32.0)	3	3	3

Budgetary resources:

Identification code 086-4115-0-3-371	2019 actual	2020 est.	2021 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	238	180	
1021 Recoveries of prior year unpaid obligations	5		
1022 Capital transfer of unobligated balances to general fund	-238	-180	
1050 Unobligated balance (total)	5		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	178	142	113
1820 Capital transfer of spending authority from offsetting collections to general fund		-139	-110
1850 Spending auth from offsetting collections, mand (total)	178	3	3
1930 Total budgetary resources available	183	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	180		

Change in obligated balance:

Identification code 086-4115-0-3-371	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	2	
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-2	-5	-3
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	2	
3200 Obligated balance, end of year	2		

Budget authority and outlays, net:

Identification code 086-4115-0-3-371	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	178	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority		3	3
4101 Outlays from mandatory balances	2	2	
4110 Outlays, gross (total)	2	5	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-178	-142	-113
4180 Budget authority, net (total)		-139	-110
4190 Outlays, net (total)	-176	-137	-110

Status of Direct Loans (in millions of dollars)

Identification code 086-4115-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	788	671	575
1251 Repayments: Repayments and prepayments	-117	-96	-77
1290 Outstanding, end of year	671	575	498

Balance Sheet (in millions of dollars)

Identification code 086-4115-0-3-371	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	245	182
1206 Non-Federal assets: Interest Receivable: Public		
1601 Direct loans, gross	788	671
1602 Interest receivable	11	11

1603	Allowance for estimated uncollectible loans and interest (-)	-9	-11
1699	Value of assets related to direct loans	790	671
1999	Total assets	1,035	853
LIABILITIES:			
Non-Federal liabilities:			
2201	Accounts payable		1
2207	Other		
2999	Total liabilities		1
NET POSITION:			
3100	Unexpended Appropriations		
3300	Revolving Fund: Cumulative results of operations	1,035	852
3999	Total net position	1,035	852
4999	Total liabilities and net position	1,035	853

Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	12	13	14
1930	Total budgetary resources available	18	18	19
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	9	10	12
3010	New obligations, unexpired accounts	13	13	14
3020	Outlays (gross)	-12	-11	-13
3050	Unpaid obligations, end of year	10	12	13
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	9	10	12
3200	Obligated balance, end of year	10	12	13

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	12	13	14
Outlays, gross:				
4010	Outlays from new discretionary authority	3	2	2
4011	Outlays from discretionary balances	9	9	11
4020	Outlays, gross (total)	12	11	13
4180	Budget authority, net (total)	12	13	14
4190	Outlays, net (total)	12	11	13

PAYMENT TO MANUFACTURED HOUSING FEES TRUST FUND

For necessary expenses as authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974 (42 U.S.C. 5401 et seq.), up to **[\$13,000,000]** \$14,000,000, to remain available until expended, of which **[\$13,000,000]** \$14,000,000 is to be derived from the Manufactured Housing Fees Trust Fund: *Provided*, That not to exceed the total amount appropriated under this heading shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund pursuant to section 620 of such Act: *Provided further*, That the amount made available under this heading from the general fund shall be reduced as such collections are received during fiscal year **[2020]** 2021 so as to result in a final fiscal year **[2020]** 2021 appropriation from the general fund estimated at zero, and fees pursuant to such section 620 shall be modified as necessary to ensure such a final fiscal year **[2020]** 2021 appropriation: *Provided further*, That the Secretary of Housing and Urban Development shall issue a final rule to complete rulemaking initiated by the proposed rule entitled "Manufactured Housing Program: Minimum Payments to the States" published in the Federal Register on December 16, 2016 (81 Fed. Reg. 91083): *Provided further*, That for the dispute resolution and installation programs, the Secretary may assess and collect fees from any program participant: *Provided further*, That such collections shall be deposited into the Fund, and the Secretary, as provided herein, may use such collections, as well as fees collected under section 620, for necessary expenses of such Act: *Provided further*, That, notwithstanding the requirements of section 620 of such Act, the Secretary may carry out responsibilities of the Secretary under such Act through the use of approved service providers that are paid directly by the recipients of their services. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Trust Funds

MANUFACTURED HOUSING FEES TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 086-8119-0-7-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	10	13	16
Receipts:			
Current law:			
1120 Mobile Home Inspection and Monitoring Fees, Manufactured Housing Fee Trust Fund	15	16	16
2000 Total: Balances and receipts	25	29	32
Appropriations:			
Current law:			
2101 Manufactured Housing Fees Trust Fund	-12	-13	-14
5099 Balance, end of year	13	16	18

Program and Financing (in millions of dollars)

Identification code 086-8119-0-7-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Manufactured Housing Program Costs	13	13	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	5	5

The National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, authorizes the development and enforcement of appropriate standards for the construction, design, installation, and performance of manufactured homes to assure their quality, durability, affordability, and safety. All manufactured homes produced since the standards took effect in 1976 must comply with Federal construction and safety standards. Fees are charged to the manufacturers for each transportable section produced to offset the expenses incurred by the Department in carrying out the responsibilities under the authorizing legislation. The Budget proposes to fund the costs of authorized activities with an estimated \$14 million in fees.

Thirty-three States participate in the program under Department of Housing and Urban Development (HUD) approved State compliance plans and are reimbursed by HUD for their activities. HUD administers a compliance program for the remaining 17 States. HUD coordinates the Manufactured Housing Consensus Committee to recommend revisions to and interpretations of the manufactured housing standards and regulations. HUD also develops and implements model standards for installation of manufactured housing, as well as an installation enforcement program. HUD administers installation enforcement programs in 14 States and oversees HUD-approved programs in 36 States. Finally, HUD administers a dispute resolution program for manufactured housing homeowners, retailers, installers, and manufacturers in 25 States and oversees HUD approved dispute resolution programs in 25 States.

Object Classification (in millions of dollars)

Identification code 086-8119-0-7-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	10	9	10
41.0 Grants, subsidies, and contributions	3	4	4
99.9 Total new obligations, unexpired accounts	13	13	14

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION

The Government National Mortgage Association was established by Federal charter in 1968. It is a wholly-owned Government corporation within the U.S. Department of Housing and Urban Development (HUD). It was established to support Federal housing initiatives by providing liquidity to the secondary mortgage market and to attract capital from the global capital markets for the Nation's mortgage markets. Its primary function is to guarantee the timely payment of principal and interest on Mortgage-

Backed Securities that are backed by loans insured or guaranteed by the Federal Housing Administration, the Department of Veterans Affairs, Rural Development in the Department of Agriculture, and HUD's Office of Public and Indian Housing.

Federal Funds

GUARANTEES OF MORTGAGE-BACKED SECURITIES CAPITAL RESERVE ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-0238-0-1-371	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16,169	15,658	16,935
1010 Unobligated balance transfer to other accts [086-0186]	-3,520	-878	-450
1011 Unobligated balance transfer from other acct [086-4240]	500	500	500
1011 Unobligated balance transfer from other acct [086-4238]	2	5	2
1050 Unobligated balance (total)	13,151	15,285	16,987
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (negative subsidy)	1,987	1,184	1,207
1800 Offsetting collections (interest on investments)	370	316	542
1800 Offsetting collections (interest on loans)	150	150	150
1850 Spending auth from offsetting collections, mand (total)	2,507	1,650	1,899
1930 Total budgetary resources available	15,658	16,935	18,886
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15,658	16,935	18,886
Budget authority and outlays, net:			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,987	-1,184	-1,207
4040 Offsets against gross budget authority and outlays (total)	-1,987	-1,184	-1,207
Mandatory:			
4090 Budget authority, gross	2,507	1,650	1,899
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-150	-150	-150
4121 Interest on Federal securities	-370	-316	-542
4130 Offsets against gross budget authority and outlays (total)	-520	-466	-692
4160 Budget authority, net (mandatory)	1,987	1,184	1,207
4170 Outlays, net (mandatory)	-520	-466	-692
4180 Budget authority, net (total)
4190 Outlays, net (total)	-2,507	-1,650	-1,899
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	16,169	15,658	16,935
5001 Total investments, EOY: Federal securities: Par value	15,658	16,935	18,925

In 2013, a Capital Reserve Account was established for the Government National Mortgage Association (GNMA). Financial reserves of GNMA were transferred from the Reserve Receipt and Liquidating Accounts to the Capital Reserve Account. This mandatory account earns interest on Treasury investments and is the eventual depository for all budgetary resources collected by GNMA including negative subsidy receipts from new security guarantees, downward reestimates, and loan repayments from the Financing Account. This account has no authority to obligate funds but transfers resources to the GNMA Program Account as necessary for mandatory spending authorized in that account.

GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM ACCOUNT

New commitments to issue guarantees to carry out the purposes of section 306 of the National Housing Act, as amended (12 U.S.C. 1721(g)), shall not exceed \$550,000,000,000, to remain available until September 30, [2021] 2022: *Provided*, That [\$30,500,000] \$31,479,000, to remain available until September 30, [2021] 2022, to be derived from fees credited as offsetting collections to this account, including balances of fees collected and credited in prior fiscal years, shall be available for necessary salaries and expenses of the Office of Government National

Mortgage Association: *Provided further*, That to the extent that guaranteed loan commitments exceed \$155,000,000,000 on or before April 1, 2020, an additional \$100 for necessary salaries and expenses shall be available until expended for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$3,000,000: *Provided further*, That receipts from Commitment and Multiclass fees collected pursuant to title III of the National Housing Act, as amended, shall be credited as offsetting collections to this account. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0186-0-1-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy	2,980	416
0708 Interest on reestimates of loan guarantee subsidy	89	12
0709 Administrative expenses	295	355	336
0799 Total direct obligations	3,364	783	336
0801 Servicing Expenses	59	100	100
0900 Total new obligations, unexpired accounts	3,423	883	436
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	347	553	583
1001 Discretionary unobligated balance brought fwd, Oct 1	11	12
1011 Unobligated balance transfer from other acct [086-0238]	3,520	878	450
1020 Adjustment of unobligated bal brought forward, Oct 1	-6
1021 Recoveries of prior year unpaid obligations	84
1050 Unobligated balance (total)	3,945	1,431	1,033
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	140	132	129
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-110	-98	-98
1750 Spending auth from offsetting collections, disc (total)	30	34	31
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1900 Budget authority (total)	31	35	32
1930 Total budgetary resources available	3,976	1,466	1,065
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	553	583	629
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	503	551	871
3001 Adjustments to unpaid obligations, brought forward, Oct 1	6
3010 New obligations, unexpired accounts	3,423	883	436
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-3,297	-563	-132
3040 Recoveries of prior year unpaid obligations, unexpired	-84
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	551	871	1,175
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	509	551	871
3200 Obligated balance, end of year	551	871	1,175
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	34	31
Outlays, gross:			
4010 Outlays from new discretionary authority	25	31	28
4011 Outlays from discretionary balances	3	3	3
4020 Outlays, gross (total)	28	34	31
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-140	-132	-129
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1
4101 Outlays from mandatory balances	3,269	528	100
4110 Outlays, gross (total)	3,269	529	101
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1	-1	-1
4180 Budget authority, net (total)	-110	-98	-98

4190	Outlays, net (total)	3,156	430	2
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	734	844	942
5092	Unexpired unavailable balance, EOY: Offsetting collections	844	942	1,040

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 086-0186-0-1-371	2019 actual	2020 est.	2021 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Guarantees of Mortgage-Backed Securities	451,555	408,000	389,237
215999	Total loan guarantee levels	451,555	408,000	389,237
Guaranteed loan subsidy (in percent):				
232001	Guarantees of Mortgage-Backed Securities	-44	-29	-31
232999	Weighted average subsidy rate	-44	-29	-31
Guaranteed loan subsidy budget authority:				
233001	Guarantees of Mortgage-Backed Securities	-1,987	-1,183	-1,207
233999	Total subsidy budget authority	-1,987	-1,183	-1,207
Guaranteed loan subsidy outlays:				
234001	Guarantees of Mortgage-Backed Securities	-1,987	-1,183	-1,207
234999	Total subsidy outlays	-1,987	-1,183	-1,207
Guaranteed loan reestimates:				
235001	Guarantees of Mortgage-Backed Securities	3,070	428
235999	Total guaranteed loan reestimates	3,070	428
Administrative expense data:				
3510	Budget authority	30	34	32
3590	Outlays from new authority	27	31	29

The Budget requests commitment authority for the Government National Mortgage Association (GNMA) to guarantee \$550 billion in new mortgage-backed securities and provides \$31.5 million in spending authority from offsetting collections (Commitment and Multiclass Fees) for the salaries and expenses of GNMA.

Object Classification (in millions of dollars)

Identification code 086-0186-0-1-371	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	20	19	21
11.3	Other than full-time permanent	1	1	2
11.9	Total personnel compensation	21	20	23
12.1	Civilian personnel benefits	7	10	11
25.2	Other services from non-Federal sources	267	325	302
41.0	Grants, subsidies, and contributions	2,980	416
43.0	Interest and dividends	89	12
99.0	Direct obligations	3,364	783	336
99.0	Reimbursable obligations	59	100	100
99.9	Total new obligations, unexpired accounts	3,423	883	436

Employment Summary

Identification code 086-0186-0-1-371	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	145	148	161

GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4240-0-3-371	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0003	Advances and other	76	94	162
0004	Preservation of collateral	564	515	515
0005	Payment of Interest on Borrowings	150	150	150
0091	Subtotal—Advances and Operating Expenses	790	759	827
Credit program obligations:				
0740	Negative subsidy obligations	1,987	1,183	1,207
0900	Total new obligations, unexpired accounts	2,777	1,942	2,034

Budgetary resources:

	2019 actual	2020 est.	2021 est.	
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,165	4,900	4,366
1010	Unobligated balance transfer to other accts [086-0238]	-500	-500	-500
1021	Recoveries of prior year unpaid obligations	28
1050	Unobligated balance (total)	2,693	4,400	3,866
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	4,984	1,908	1,367
1930	Total budgetary resources available	7,677	6,308	5,233
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4,900	4,366	3,199

Change in obligated balance:

	2019 actual	2020 est.	2021 est.	
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	571	1,048	705
3010	New obligations, unexpired accounts	2,777	1,942	2,034
3020	Outlays (gross)	-2,272	-2,285	-2,285
3040	Recoveries of prior year unpaid obligations, unexpired	-28
3050	Unpaid obligations, end of year	1,048	705	454
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	571	1,048	705
3200	Obligated balance, end of year	1,048	705	454

Financing authority and disbursements, net:

	2019 actual	2020 est.	2021 est.	
Mandatory:				
4090	Budget authority, gross	4,984	1,908	1,367
Financing disbursements:				
4110	Outlays, gross (total)	2,272	2,285	2,285
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-3,069	-428
4123	Guarantee Fees	-1,321	-1,207	-1,031
4123	Repayment of advances	-492	-273	-336
4123	Non-Federal sources	-102
4130	Offsets against gross budget authority and outlays (total)	-4,984	-1,908	-1,367
4170	Outlays, net (mandatory)	-2,712	377	918
4180	Budget authority, net (total)
4190	Outlays, net (total)	-2,712	377	918

Status of Guaranteed Loans (in millions of dollars)

Identification code 086-4240-0-3-371	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority	550,000	550,000	550,000
2121	Limitation available from carry-forward	500,000	550,000	550,000
2142	Uncommitted loan guarantee limitation	-48,445	-142,000	-160,763
2143	Uncommitted limitation carried forward	-550,000	-550,000	-550,000
2150	Total guaranteed loan commitments	451,555	408,000	389,237
2199	Guaranteed amount of guaranteed loan commitments	451,555	408,000	389,237
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	2,008,202	2,092,829	2,115,555
2231	Disbursements of new guaranteed loans	451,555	408,000	389,237
2251	Repayments and prepayments	-366,928	-385,274	-404,538
2290	Outstanding, end of year	2,092,829	2,115,555	2,100,254

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year	2,092,829	2,115,555	2,100,254
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Addendum:

	2019 actual	2020 est.	2021 est.	
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	2,942	2,489	2,489
2331	Disbursements for guaranteed loan claims	36
2351	Repayments of loans receivable	-276
2361	Write-offs of loans receivable	-17
2364	Other adjustments, net	-196
2390	Outstanding, end of year	2,489	2,489	2,489

Balance Sheet (in millions of dollars)

Identification code 086-4240-0-3-371	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	3,736	5,948
Investments in U.S. securities:			
1106	Receivables, net	1

GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT—Continued

Balance Sheet—Continued

Identification code 086-4240-0-3-371	2018 actual	2019 actual
1206 Non-Federal assets: Receivables, net	105	150
1401 Net value of assets related to post-1991 direct loans receivable:		
Direct loans receivable, gross		
Net value of assets related to post-1991 acquired defaulted		
guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	2,942	2,489
1504 Foreclosed property	284	214
1505 Allowance for subsidy cost (-)		
1599 Net present value of assets related to defaulted guaranteed		
loans	3,226	2,703
1801 Other Federal assets: Cash and other monetary assets	29	32
1999 Total assets	7,097	8,833
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	1	1
2207 Other	7	255
2999 Total liabilities	8	256
NET POSITION:		
3100 Unexpended appropriations		
3300 Cumulative results of operations	7,089	6,630
3999 Total net position	7,089	6,630
4999 Total liabilities and net position	7,097	6,886

GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 086-4238-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Operating expenses			
0002 Operating expenses		1	1
0900 Total new obligations, unexpired accounts (object class 25.2)		1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	101	102	99
1010 Unobligated balance transfer to other accts [086-0238]	-2	-5	-2
1050 Unobligated balance (total)	99	97	97
Budget authority:			
Spending authority from offsetting collections, mandatory:			
Collected	3	3	3
1800 Total budgetary resources available	102	100	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	102	99	99
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	23	23	21
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-3	-3
3050 Unpaid obligations, end of year	23	21	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	23	23	21
3200 Obligated balance, end of year	23	21	19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4101 Outlays from mandatory balances		3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
Interest on Federal securities	-3	-3	-3
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-3		
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	124	125	120
5001 Total investments, EOY: Federal securities: Par value	125	120	118

Balance Sheet (in millions of dollars)

Identification code 086-4238-0-3-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
Treasury securities, par	125	125
1102 Receivables, net		
1106 Direct loans, gross		
1601 Allowance for estimated uncollectible loans and interest (-)		
1603 Value of assets related to direct loans		
1699 Other Federal assets: Other assets		
1901 Total assets	125	125
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	23	23
2207 Other		
2999 Total liabilities	23	23
NET POSITION:		
3100 Unexpended appropriations		
3300 Cumulative results of operations	102	102
3999 Total net position	102	102
4999 Total liabilities and net position	125	125

POLICY DEVELOPMENT AND RESEARCH

Federal Funds

RESEARCH AND TECHNOLOGY

For contracts, grants, and necessary expenses of programs of research and studies relating to housing and urban problems, not otherwise provided for, as authorized by title V of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1 et seq.), including carrying out the functions of the Secretary of Housing and Urban Development under section 1(a)(1)(i) of Reorganization Plan No. 2 of 1968, and for technical assistance, [\$98,000,000] \$94,650,000, to remain available until September 30, [2021] 2022: *Provided*, That with respect to amounts made available under this heading or transferred under the final proviso, notwithstanding section 203 of this title, the Secretary may enter into cooperative agreements with philanthropic entities, other Federal agencies, State or local governments and their agencies, Indian tribes, tribally designated housing entities, or colleges or universities for research projects: *Provided further*, That with respect to the previous proviso, such partners to the cooperative agreements must contribute at least a 50 percent match toward the cost of the project: *Provided further*, That for non-competitive agreements entered into in accordance with the previous two provisos, the Secretary of Housing and Urban Development shall comply with section 2(b) of the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282, 31 U.S.C. note) in lieu of compliance with section 102(a)(4)(C) with respect to documentation of award decisions: *Provided further*, That up to \$2,000,000 of the amounts provided under the heading "Lead Hazard Reduction" for the purposes of conducting research and studies may be transferred to this heading for use in accordance with the previous three provisos for non-competitive agreements [*Provided further*, That prior to obligation of technical assistance funding, the Secretary shall submit a plan to the House and Senate Committees on Appropriations on how it will allocate funding for this activity at least 30 days prior to obligation: *Provided further*, That none of the funds provided under this heading may be available for the doctoral dissertation research grant program]. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0108-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contracts, Grants and Cooperative Agreements	49	60	50
0002 Research and Demonstrations	11	28	18
0003 Technical Assistance	56	31	27
0799 Total direct obligations	116	119	95
0900 Total new obligations, unexpired accounts	116	119	95
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	21	2

1021	Recoveries of prior year unpaid obligations	2	2	2
1050	Unobligated balance (total)	41	23	4
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	96	98	95
1900	Budget authority (total)	96	98	95
1930	Total budgetary resources available	137	121	99
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	2	4
Change in obligated balance:				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	70	107	139
3010	New obligations, unexpired accounts	116	119	95
3020	Outlays (gross)	-76	-85	-91
3040	Recoveries of prior year unpaid obligations, unexpired	-2	-2	-2
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	107	139	141
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	70	107	139
3200	Obligated balance, end of year	107	139	141
Budget authority and outlays, net:				
	Discretionary:			
4000	Budget authority, gross	96	98	95
	Outlays, gross:			
4010	Outlays from new discretionary authority	42	38	37
4011	Outlays from discretionary balances	34	47	54
4020	Outlays, gross (total)	76	85	91
4180	Budget authority, net (total)	96	98	95
4190	Outlays, net (total)	76	85	91

The Housing and Urban Development Act of 1970 directs the Secretary to undertake programs of research, studies, testing, and demonstrations related to the Department of Housing and Urban Development's (HUD) mission. These functions are carried out by HUD's Office of Policy Development and Research (PD&R) through in-house analysis by staff; contracts with industry, nonprofit research organizations, and educational institutions; and cooperative agreements with educational, governmental, and philanthropic entities. In addition, centralized technical assistance for the Department is supported through this account; these funds enable HUD to support its partners with better coordinated, cross-program technical assistance rather than conventional, program-specific assistance.

The Budget requests \$94.7 million for HUD's Research and Technology (R&T) program. R&T investments support HUD's enterprise-wide commitment to integrate evidence and cross-disciplinary intelligence throughout program policy, management, and operations. The request consists of \$50 million for core research support, surveys, data infrastructure, and knowledge management (i.e., research dissemination); \$17.7 million for research, evaluations, and demonstrations; and \$27 million for technical assistance.

Object Classification (in millions of dollars)

Identification code 086-0108-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.5 Research and development contracts	11	28	18
41.0 Grants, subsidies, and contributions	105	91	77
99.0 Direct obligations	116	119	95
99.9 Total new obligations, unexpired accounts	116	119	95

FAIR HOUSING AND EQUAL OPPORTUNITY

Federal Funds

FAIR HOUSING ACTIVITIES

For contracts, grants, and other assistance, not otherwise provided for, as authorized by title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, and section 561 of the Housing and Community Development Act of 1987, as amended, **[\$70,300,000]** \$65,300,000, to remain available until September 30, **[2021]** 2022: *Provided*, **[**That grants made available from amounts provided under this heading shall be awarded within 180 days of enactment

of this Act: *Provided further*, **]** That notwithstanding 31 U.S.C. 3302, the Secretary may assess and collect fees to cover the costs of the Fair Housing Training Academy, and may use such funds to develop on-line courses and provide such training: *Provided further*, That no funds made available under this heading shall be used to lobby the executive or legislative branches of the Federal Government in connection with a specific contract, grant, or loan: *Provided further*, That of the funds made available under this heading, **[\$350,000]** \$300,000 shall be available to the Secretary of Housing and Urban Development for the creation and promotion of translated materials and other programs that support the assistance of persons with limited English proficiency in utilizing the services provided by the Department of Housing and Urban Development. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0144-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Fair Housing Assistance	24	24	24
0002 Fair Housing Initiatives	40	84	40
0005 National Fair Housing Training Academy	3		3
0900 Total new obligations, unexpired accounts	67	108	67
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	48	46	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	65	70	65
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1900 Budget authority (total)	65	71	66
1930 Total budgetary resources available	113	117	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	46	9	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	59	65	107
3010 New obligations, unexpired accounts	67	108	67
3020 Outlays (gross)	-60	-66	-69
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	65	107	105
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	59	65	107
3200 Obligated balance, end of year	65	107	105
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	65	71	66
Outlays, gross:			
4010 Outlays from new discretionary authority	3	5	4
4011 Outlays from discretionary balances	57	61	65
4020 Outlays, gross (total)	60	66	69
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-1	-1
4180 Budget authority, net (total)	65	70	65
4190 Outlays, net (total)	60	65	68

The Budget requests \$65.3 million for fair housing activities to support efforts to end housing discrimination. Of the amount requested, \$23.9 million is for the Fair Housing Assistance Program (FHAP); \$39.6 million is for the Fair Housing Initiatives Program (FHIP); \$1.5 million is for the National Fair Housing Training Academy (NFHTA); and \$300 thousand is for the Limited English Proficiency Initiative (LEPI). These resources address the national and ongoing problem of discrimination against minority homebuyers and renters, as identified in the 2012 Housing Discrimination Against Racial and Ethnic Minorities Study, and directly supports the Department of Housing and Urban Development's (HUD) mission to create strong, inclusive communities free from discrimination.

FHAP provides funding to State and local agencies to assure prompt and effective processing of complaints under substantially equivalent State and local fair housing laws. To be eligible for assistance through FHAP, an agency must administer a fair housing law that HUD has certified as substantially equivalent to the Federal Fair Housing Act.

FAIR HOUSING ACTIVITIES—Continued

FHIP provides funding to States and local governments, and to public and private non-profit organizations that administer programs to prevent or eliminate discriminatory housing practices through enforcement, education, and outreach. These grants allow the organizations to provide fair housing enforcement through testing in the rental and sales markets, to file fair housing complaints to HUD, and to conduct investigations. Further, the education and outreach activities these organizations conduct also help to educate the public, housing providers, and local governments about their rights and responsibilities under the Fair Housing Act.

The NFHTA provides comprehensive fair housing and civil rights training for investigators, local agencies, educators, attorneys, industry representatives, and other housing industry professionals.

LEPI provides funds for oral interpretation and written translation services, which help make HUD programs and activities accessible to people who are not proficient in English.

Object Classification (in millions of dollars)

Identification code 086-0144-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	2	2
41.0 Grants, subsidies, and contributions	66	106	65
99.9 Total new obligations, unexpired accounts	67	108	67

Provided further, That funding awarded for such projects shall be made available for draw down contingent upon the grantee meeting cost-savings, productivity, and grant compliance benchmarks established by the Secretary: *Provided further*, That each recipient of funds for such projects shall contribute an amount not less than 10 percent of the total award, and that the Secretary shall give priority to applicants that secure commitments for additional contributions from public and private sources: *Provided further*, That grantees currently receiving grants made under this heading shall be eligible to apply for such projects, provided that they are deemed to be in compliance with program requirements established by the Secretary: *Provided further*, That of the amount made available for the Healthy Homes Initiative, \$5,000,000 shall be for the implementation of projects in up to 5 communities that are served by both the Healthy Homes Initiative and the Department of Energy weatherization programs to demonstrate whether the coordination of Healthy Homes remediation activities with weatherization activities achieves cost savings and better outcomes in improving the safety and quality of homes: *Provided further*, That \$35,000,000 of the amounts made available under this heading shall be for a carbon monoxide alarms resident safety demonstration (the CO demonstration), including analytical studies of such demonstration: *Provided further*, That grants under the CO demonstration shall be for activities supporting the installation and replacement of carbon monoxide alarms or of combination smoke detector-carbon monoxide alarm devices in high-risk units, as defined by the Secretary: *Provided further*, That, where required by state, tribal, or local law, the manner or extent of installation of carbon monoxide alarms or combination alarms shall conform to such requirement: *Provided further*, That \$5,000,000 of the amounts made available under this heading shall be for a radon testing and mitigation resident safety demonstration program (the radon demonstration) in public housing: *Provided further*, That the testing method, mitigation method, or action level used under the radon demonstration shall be as specified by applicable state or local law, if such law is more protective of human health or the environment than the method or level specified by the Secretary: *Provided further*, That \$30,000,000 of the amounts made available under this heading shall be for a lead risk assessment demonstration for public housing agencies to conduct lead hazard screenings or lead risk assessments during housing quality standards inspections of units in which a family receiving assistance under section 8(o) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)) resides or expects to reside, and has or expects to have a child under age 6 residing in the unit, while preserving rental housing availability and affordability: *Provided further*, That each applicant shall certify adequate capacity that is acceptable to the Secretary to carry out the proposed use of funds pursuant to a notice of funding availability: *Provided further*, That amounts made available under this heading in this or prior appropriations Acts, still remaining available, may be used for any purpose under this heading notwithstanding the purpose for which such amounts were appropriated if a program competition is undersubscribed and there are other program competitions under this heading that are oversubscribed. (Department of Housing and Urban Development Appropriations Act, 2020.)

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES

Federal Funds

LEAD HAZARD REDUCTION

For [the] activities and assistance related to Lead Hazard Reduction [Program] and Healthy Homes, [as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, \$290,000,000] \$360,000,000, to remain available until September 30, [2022,] 2023: *Provided*, That \$245,000,000 of the amounts made available under this heading shall be for the Lead Hazard Reduction Program, as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992: *Provided further*, That of the total amount made available under the previous proviso, an amount to be determined by the Secretary shall be made available on a competitive basis for areas with the highest lead paint abatement needs: *Provided further*, That each recipient of funds provided under the previous proviso shall contribute an amount not less than 25 percent of the total: *Provided further*, That [of which \$50,000,000] \$45,000,000 of the amounts made available under this heading shall be for the Healthy Homes Initiative, pursuant to sections 501 and 502 of the Housing and Urban Development Act of 1970, which shall include research, studies, testing, and demonstration efforts, including education and outreach concerning lead-based paint poisoning and other housing-related diseases and hazards: *Provided further*, That for purposes of environmental review, pursuant to the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and other provisions of law that further the purposes of such Act, a grant under the Healthy Homes Initiative, [or] the Lead Technical Studies program, or other demonstrations under this heading or under prior appropriations Acts for such purposes under this heading, shall be considered to be funds for a special project for purposes of section 305(c) of the Multifamily Housing Property Disposition Reform Act of 1994: *Provided further*, That not less than \$95,000,000 of the amounts made available under this heading for the award of grants pursuant to section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 shall be provided to areas with the highest lead-based paint abatement needs: *Provided further*, That \$64,000,000 of the funds appropriated under this heading shall be for the implementation of projects in not more than ten communities to demonstrate how intensive, extended, multi-year interventions can dramatically reduce the presence of lead-based paint hazards in those communities: *Provided further*, That each project shall serve no more than four contiguous census tracts in which there are high concentrations of housing stock built before 1940, in which low-income families with children make up a significantly higher proportion of the population as compared to the State average, and that are located in jurisdictions in which instances of elevated blood lead levels reported to the State are significantly higher than the State average: *Provided further*, That such projects shall be awarded not less than \$6,000,000 and not more than \$9,000,000:

Program and Financing (in millions of dollars)

Identification code 086-0174-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Lead-Based Paint Hazard Reduction Grants and Demo	342	176	240
0003 Healthy Homes Grants and Support	62	67	45
0004 Lead Technical Studies and Support	3	9	5
0005 Lead-Based Paint Hazard Reduction Neighborhood Grants	62	66
0006 Carbon Monoxide Detector Installation	10
0007 Radon Testing And Remediation	1
0008 HCV Lead Risk Demonstration	5
0900 Total new obligations, unexpired accounts (object class 41.0)	469	318	306
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	218	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	279	290	360
1930 Total budgetary resources available	497	318	360
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	28	54
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	270	627	767
3001 Adjustments to unpaid obligations, brought forward, Oct 1	1
3010 New obligations, unexpired accounts	469	318	306
3020 Outlays (gross)	-96	-178	-212

3041	Recoveries of prior year unpaid obligations, expired	-17		
3050	Unpaid obligations, end of year	627	767	861
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	271	627	767
3200	Obligated balance, end of year	627	767	861
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	279	290	360
Outlays, gross:				
4010	Outlays from new discretionary authority		3	4
4011	Outlays from discretionary balances	96	175	208
4020	Outlays, gross (total)	96	178	212
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	279	290	360
4080	Outlays, net (discretionary)	95	178	212
4180	Budget authority, net (total)	279	290	360
4190	Outlays, net (total)	95	178	212

The primary purpose of the Lead-Based Paint Hazard Control Grant program is to reduce the exposure of young children to lead-based paint and other environmental hazards in their homes, including protecting them from permanent developmental problems and asthma, and exposure to pesticides and carbon monoxide.

The program plays a critical role in addressing the number one environmental disease impacting children: lead poisoning. The Budget requests \$360 million, including \$240 million for the Department of Housing and Urban Development's (HUD) Lead Hazard Control Program; \$45 million for the Healthy Homes Program; \$40 million for Resident Safety demonstrations of carbon monoxide and radon interventions; \$30 million for a demonstration of lead testing in Housing Choice Vouchers units; and \$5 million for lead-based paint technical studies and support. The Budget includes an appropriations provision that would allow the transfer of unobligated balances and recaptured funds from undersubscribed competitive programs to other competitive programs experiencing oversubscription.

The Lead Hazard Control Grant Program provides grants of \$1 million to \$5 million to State and local governments and Indian Tribes for control of lead-based paint hazards in pre-1978 private low-income rental and owner-occupied housing. The grants are also designed to facilitate the development of a housing maintenance and rehabilitation workforce trained in lead-safe work practices and a certified hazard evaluation and control industry. In awarding grants HUD promotes the use of new low-cost approaches to hazard control that can be replicated across the nation.

The Healthy Homes program enables HUD to assess and control housing-related hazards that contribute to childhood diseases and injuries. With funding from this program, grantees implement and evaluate methods for controlling two or more housing-related diseases through a single intervention. Healthy Homes funding is also used to provide technical support and training and assist in the completion of national surveys. In addition, the program conducts education and outreach to help State, local and non-governmental agencies, housing industry stakeholders, and the public understand the health and housing relationship and identify and address housing-related health and safety hazards.

In addition, the Budget is proposing three new demonstrations. The Budget requests \$35 million for the installation and replacement of carbon monoxide detectors in HUD-assisted housing. The Budget requests \$5 million for radon testing and remediation in public housing. The Budget also requests \$30 million for public housing agencies to conduct lead hazard screenings or risk assessments during housing quality inspections for Housing Choice Voucher units.

The Office of Lead Hazard Control and Healthy Homes will continue its lead-based paint technical studies and support activities, which include public education; support for State and local agencies, private property owners, HUD programs and field offices, and professional organizations;

technical studies to improve program policy and implementation; quality control to ensure that the evaluation and control of lead-based paint hazards is done properly in HUD-assisted housing; and development of standards, technical guidance, regulations, and improved testing and hazard control methods.

MANAGEMENT AND ADMINISTRATION

Federal Funds

EXECUTIVE OFFICES

For necessary salaries and expenses for Executive Offices, which shall be comprised of the offices of the Secretary, Deputy Secretary, Adjudicatory Services, Congressional and Intergovernmental Relations, Public Affairs, Small and Disadvantaged Business Utilization, and the Center for Faith-Based and Neighborhood Partnerships, **[\$14,217,000]** *\$17,659,000*, to remain available until September 30, **[2021]** *2022: Provided*, That not to exceed \$25,000 of the amount made available under this heading shall be available to the Secretary for official reception and representation expenses as the Secretary may determine. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0332-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Compensation	9	11	11
0002 Benefits	2	3	4
0003 Non-Personnel Costs	1	2	3
0900 Total new obligations, unexpired accounts	12	16	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		3	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	14	18
1930 Total budgetary resources available	15	17	19
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	12	16	18
3020 Outlays (gross)	-12	-16	-19
3050 Unpaid obligations, end of year	1	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	14	18
Outlays, gross:			
4010 Outlays from new discretionary authority	11	14	18
4011 Outlays from discretionary balances	1	2	1
4020 Outlays, gross (total)	12	16	19
4180 Budget authority, net (total)	15	14	18
4190 Outlays, net (total)	12	16	19

The Executive Offices account funds the salaries and expenses of executive management offices, including the Offices of the Secretary; Deputy Secretary; Congressional and Intergovernmental Relations; Public Affairs; Adjudicatory Services; Center for Faith-Based and Neighborhood Partnerships; and Small and Disadvantaged Business Utilization. The Budget requests \$17.7 million for this account.

Object Classification (in millions of dollars)

Identification code 086-0332-0-1-604	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	11	12
12.1 Civilian personnel benefits	2	3	3
21.0 Travel and transportation of persons			1

EXECUTIVE OFFICES—Continued
Object Classification—Continued

Identification code 086-0332-0-1-604	2019 actual	2020 est.	2021 est.
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources		1	1
99.9 Total new obligations, unexpired accounts	12	16	18

Employment Summary

Identification code 086-0332-0-1-604	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	70	80	89

ADMINISTRATIVE SUPPORT OFFICES

For necessary salaries and expenses for Administrative Support Offices, **[\$563,378,000]** \$578,913,000, to remain available until September 30, **[2021]** 2022: *Provided*, That of the sums appropriated under this heading—

(1) **[\$73,562,000]** \$70,776,000 shall be available for the Office of the Chief Financial Officer;

(2) **[\$103,916,000]** \$109,044,000 shall be available for the Office of the General Counsel, of which not less than \$18,700,000 shall be for the Departmental Enforcement Center];

(3) **[\$206,849,000]** \$266,258,000 shall be available for the *Office of the Assistant Secretary for Administration, the Office of Administration, the Office of the Chief Human Capital Officer, and the Office of the Chief Procurement Officer*;

[(4)] \$39,827,000 shall be available for the Office of the Chief Human Capital Officer;]

[(5)] **[(4)]** **[\$57,861,000]** \$65,200,000 shall be available for the Office of Field Policy and Management;

[(6)] \$19,445,000 shall be available for the Office of the Chief Procurement Officer;]

[(7)] **[(5)]** **[\$4,242,000]** \$4,435,000 shall be available for the Office of Departmental Equal Employment Opportunity; and

[(8)] **[(6)]** **[\$57,676,000]** \$63,200,000 shall be available for the Office of the Chief Information Officer:

Provided further, That funds provided under this heading may be used for necessary administrative and non-administrative expenses of the Department of Housing and Urban Development, not otherwise provided for, including purchase of uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901-5902; hire of passenger motor vehicles; and services as authorized by 5 U.S.C. 3109; *Provided further*, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that directly support program activities funded in this title: *Provided further*, That the Secretary shall provide the House and Senate Committees on Appropriations quarterly written notification regarding the status of pending congressional reports: *Provided further*, That the Secretary shall provide in electronic form all signed reports required by Congress: *Provided further*, That none of the funds made available under this heading for the Office of the Chief Financial Officer for the financial transformation initiative shall be available for obligation until after the Secretary has published all mitigation allocations made available under the heading "Department of Housing and Urban Development-Community Planning and Development-Community Development Fund" in Public Law 115-123 and the necessary administrative requirements pursuant to section 1102 of Public Law 116-20: *Provided further*, That only after the terms and conditions of the previous proviso have been met, not more than 10 percent of the funds made available under this heading for the Office of the Chief Financial Officer for the financial transformation initiative may be obligated until the Secretary submits to the House and Senate Committees on Appropriations, for approval, a plan for expenditure that includes the financial and internal control capabilities to be delivered and the mission benefits to be realized, key milestones to be met, and the relationship between the proposed use of funds made available under this heading and the projected total cost and scope of the initiative]. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0335-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Compensation	213	244	257

0002 Benefits	77	81	86
0003 Non-Personnel Costs	224	257	251
0900 Total new obligations, unexpired accounts	514	582	594

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		34	15
1011 Unobligated balance transfer from other acct [086-0334]	3		
1011 Unobligated balance transfer from other acct [086-0338]	1		
1012 Unobligated balance transfers between expired and unexpired accounts	2		
1050 Unobligated balance (total)	6	34	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	542	563	579
1900 Budget authority (total)	542	563	579
1930 Total budgetary resources available	548	597	594
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	34	15	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	162	158	121
3010 New obligations, unexpired accounts	514	582	594
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-513	-619	-628
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	158	121	87
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	162	158	121
3200 Obligated balance, end of year	158	121	87

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	542	563	579
Outlays, gross:			
4010 Outlays from new discretionary authority	432	479	492
4011 Outlays from discretionary balances	81	140	136
4020 Outlays, gross (total)	513	619	628
4180 Budget authority, net (total)	542	563	579
4190 Outlays, net (total)	513	619	628

The Administrative Support Offices account funds the salaries and expenses of offices that perform central Departmental functions, including the Offices of the Chief Financial Officer; Assistant Secretary for Administration; General Counsel; Field Policy and Management; Departmental Equal Employment Opportunity; and Chief Information Officer. The Budget requests \$578.9 million for this account.

Object Classification (in millions of dollars)

Identification code 086-0335-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	208	239	252
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	213	244	257
12.1 Civilian personnel benefits	77	81	86
21.0 Travel and transportation of persons	5	7	5
22.0 Transportation of things	1	1	
23.1 Rental payments to GSA	101	103	104
23.3 Communications, utilities, and miscellaneous charges	18	22	22
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	22	24	23
25.2 Other services from non-Federal sources	35	50	50
25.3 Other goods and services from Federal sources	26	30	30
26.0 Supplies and materials	2	2	1
31.0 Equipment	5	6	5
32.0 Land and structures	8	9	9
42.0 Insurance claims and indemnities		1	
99.9 Total new obligations, unexpired accounts	514	582	594

Employment Summary

Identification code 086-0335-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,716	1,817	1,872

PROGRAM OFFICES

For necessary salaries and expenses for Program Offices, **[\$847,000,000] \$900,149,000**, to remain available until September 30, **[2021] 2022:** *Provided*, That of the sums appropriated under this heading—

- (1) **[\$227,000,000] \$236,439,000** shall be available for the Office of Public and Indian Housing;
- (2) **[\$124,000,000] \$129,503,000** shall be available for the Office of Community Planning and Development;
- (3) **[\$384,000,000] \$411,878,000** shall be available for the Office of Housing **[],** of which not less than \$12,300,000 shall be for the Office of Recapitalization **];**
- (4) **[\$28,000,000] \$35,443,000** shall be available for the Office of Policy Development and Research;
- (5) **[\$75,000,000] \$77,024,000** shall be available for the Office of Fair Housing and Equal Opportunity; and
- (6) **[\$9,000,000] \$9,862,000** shall be available for the Office of Lead Hazard Control and Healthy Homes. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-0479-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Compensation		593	625
0002 Benefits		197	208
0003 Non-Personnel Costs		28	96
0900 Total new obligations, unexpired accounts		818	929
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			30
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		847	900
1121 Appropriations transferred from other acct [086-0313]		1	
1160 Appropriation, discretionary (total)		848	900
1930 Total budgetary resources available		848	930
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		30	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			4
3010 New obligations, unexpired accounts		818	929
3020 Outlays (gross)		-814	-898
3050 Unpaid obligations, end of year		4	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			4
3200 Obligated balance, end of year		4	35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		848	900
Outlays, gross:			
4010 Outlays from new discretionary authority		814	864
4011 Outlays from discretionary balances			34
4020 Outlays, gross (total)		814	898
4180 Budget authority, net (total)		848	900
4190 Outlays, net (total)		814	898

The Program Offices account funds the salaries and expenses of six program offices, including the Offices of Housing; Public and Indian Housing; Community Planning and Development; Policy Development and Research; Fair Housing and Equal Opportunity; and Lead Hazard Control and Healthy Homes. The Budget requests \$900.1 million for this account.

Object Classification (in millions of dollars)

Identification code 086-0479-0-1-999	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent		593	625
11.9 Total personnel compensation		593	625
12.1 Civilian personnel benefits		197	207
21.0 Travel and transportation of persons		8	8
25.2 Other services from non-Federal sources		10	33
25.3 Other goods and services from Federal sources		10	56
99.9 Total new obligations, unexpired accounts		818	929

Employment Summary

Identification code 086-0479-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		5,013	5,141

PUBLIC AND INDIAN HOUSING

Program and Financing (in millions of dollars)

Identification code 086-0337-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Compensation	146	2	
0002 Benefits	46	1	
0004 Non-Personnel Expenses	20	8	
0900 Total new obligations, unexpired accounts	212	11	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		11	
1011 Unobligated balance transfer from other acct [086-0313]	1		
1012 Unobligated balance transfers between expired and unexpired accounts		1	
1050 Unobligated balance (total)	2	11	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	220		
1121 Appropriations transferred from other acct [086-0313]	1		
1160 Appropriation, discretionary (total)	221		
1930 Total budgetary resources available	223	11	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	17	2
3010 New obligations, unexpired accounts	212	11	
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-210	-26	-1
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	17	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	17	2
3200 Obligated balance, end of year	17	2	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	221		
Outlays, gross:			
4010 Outlays from new discretionary authority	197		
4011 Outlays from discretionary balances	13	26	1
4020 Outlays, gross (total)	210	26	1
4180 Budget authority, net (total)	221		
4190 Outlays, net (total)	210	26	1

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Public and Indian Housing (PIH), in a consolidated Program Offices account (086-0479). This account reflects pre-2020 S&E funding for PIH.

PUBLIC AND INDIAN HOUSING—Continued
Object Classification (in millions of dollars)

Identification code 086-0337-0-1-604	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	145	2	
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	146	2	
12.1 Civilian personnel benefits	46	1	
21.0 Travel and transportation of persons	3		
25.2 Other services from non-Federal sources	8	8	
25.3 Other goods and services from Federal sources	9		
99.9 Total new obligations, unexpired accounts	212	11	

Employment Summary

Identification code 086-0337-0-1-604	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,250	14	

Object Classification (in millions of dollars)

Identification code 086-0338-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	76	1	
11.5 Other personnel compensation	2	2	3
11.9 Total personnel compensation	78	3	3
12.1 Civilian personnel benefits	24	1	1
21.0 Travel and transportation of persons	1		
25.2 Other services from non-Federal sources	2	6	1
25.3 Other goods and services from Federal sources	4		
99.9 Total new obligations, unexpired accounts	109	10	5

Employment Summary

Identification code 086-0338-0-1-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	679	31	26

HOUSING

Program and Financing (in millions of dollars)

Identification code 086-0338-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Compensation	76	1	
0002 Benefits	24		
0006 Non-Personnel Expenses	7	5	
0007 Disaster supplemental—PS	2	4	5
0900 Total new obligations, unexpired accounts	109	10	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	36	26
1010 Unobligated balance transfer to other accts [086-0335]	-1		
1012 Unobligated balance transfers between expired and unexpired accounts	1		
1050 Unobligated balance (total)	30	36	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	112		
1121 Appropriations transferred from other acct [086-0162]	3		
1160 Appropriation, discretionary (total)	115		
1930 Total budgetary resources available	145	36	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	36	26	21
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	2
3010 New obligations, unexpired accounts	109	10	5
3020 Outlays (gross)	-108	-13	-7
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	5	2	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	2
3200 Obligated balance, end of year	5	2	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	115		
Outlays, gross:			
4010 Outlays from new discretionary authority	101		
4011 Outlays from discretionary balances	7	13	7
4020 Outlays, gross (total)	108	13	7
4180 Budget authority, net (total)	115		
4190 Outlays, net (total)	108	13	7

Identification code 086-0334-0-1-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Costs	266	4	
0002 Benefits	84	1	
0003 Non-Personnel Services	19	9	
0900 Total new obligations, unexpired accounts	369	14	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		14	
1010 Unobligated balance transfer to other accts [086-0335]	-3		
1010 Unobligated balance transfer to other accts [086-0339]	-1		
1012 Unobligated balance transfers between expired and unexpired accounts	4		
1050 Unobligated balance (total)		14	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	383		
1900 Budget authority (total)	383		
1930 Total budgetary resources available	383	14	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	20	3
3010 New obligations, unexpired accounts	369	14	
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-367	-31	-3
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	20	3	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	20	3
3200 Obligated balance, end of year	20	3	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	383		
Outlays, gross:			
4010 Outlays from new discretionary authority	351		
4011 Outlays from discretionary balances	16	31	3
4020 Outlays, gross (total)	367	31	3
4180 Budget authority, net (total)	383		
4190 Outlays, net (total)	367	31	3

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Community Planning and Development (CPD), in a consolidated Program Offices account (086-0479). This account reflects pre-2020 S&E funding for CPD.

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Housing, in a consolidated Program Offices account (086-0479). This account reflects pre-2020 S&E funding for the Office of Housing.

Object Classification (in millions of dollars)

Identification code 086-0334-0-1-604	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	261	4
11.5 Other personnel compensation	5
11.9 Total personnel compensation	266	4
12.1 Civilian personnel benefits	84	1
21.0 Travel and transportation of persons	4	3
25.1 Advisory and assistance services	2
25.2 Other services from non-Federal sources	2	4
25.3 Other goods and services from Federal sources	11	2
99.9 Total new obligations, unexpired accounts	369	14

Employment Summary

Identification code 086-0334-0-1-604	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,381	31

POLICY DEVELOPMENT AND RESEARCH

Program and Financing (in millions of dollars)

Identification code 086-0339-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Costs	17
0002 Benefits	5
0003 Non-Personnel Expenses	3	1
0900 Total new obligations, unexpired accounts	25	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1
1011 Unobligated balance transfer from other acct [086-0334]	1
1050 Unobligated balance (total)	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	26
1930 Total budgetary resources available	27	1
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	1
3010 New obligations, unexpired accounts	25	1
3020 Outlays (gross)	-24	-3	-1
3050 Unpaid obligations, end of year	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	1
3200 Obligated balance, end of year	3	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	26
Outlays, gross:			
4010 Outlays from new discretionary authority	23
4011 Outlays from discretionary balances	1	3	1
4020 Outlays, gross (total)	24	3	1
4180 Budget authority, net (total)	26
4190 Outlays, net (total)	24	3	1

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Policy Development and Research (PD&R), in a consolidated Program Offices account (086-0479). This account reflects pre-2020 S&E funding for PD&R.

Object Classification (in millions of dollars)

Identification code 086-0339-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	17
12.1 Civilian personnel benefits	5
25.2 Other services from non-Federal sources	2	1
25.3 Other goods and services from Federal sources	1
99.9 Total new obligations, unexpired accounts	25	1

Employment Summary

Identification code 086-0339-0-1-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	136	3

FAIR HOUSING AND EQUAL OPPORTUNITY

Program and Financing (in millions of dollars)

Identification code 086-0340-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Costs	48	2
0002 Benefits	14	1
0003 Non-Personnel Expenses	4	4
0900 Total new obligations, unexpired accounts	66	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	73
1930 Total budgetary resources available	73	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	2
3010 New obligations, unexpired accounts	66	7
3020 Outlays (gross)	-65	-11	-2
3050 Unpaid obligations, end of year	6	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	2
3200 Obligated balance, end of year	6	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	73
Outlays, gross:			
4010 Outlays from new discretionary authority	62
4011 Outlays from discretionary balances	3	11	2
4020 Outlays, gross (total)	65	11	2
4180 Budget authority, net (total)	73
4190 Outlays, net (total)	65	11	2

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Fair Housing and Equal Opportunity (FHEO), in a consolidated Program Offices account (086-0479). This account reflects pre-2020 S&E funding for FHEO.

Object Classification (in millions of dollars)

Identification code 086-0340-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	2
11.5 Other personnel compensation	1
11.9 Total personnel compensation	48	2
12.1 Civilian personnel benefits	14	1
21.0 Travel and transportation of persons	1	1
25.2 Other services from non-Federal sources	1	1
25.3 Other goods and services from Federal sources	2	2

FAIR HOUSING AND EQUAL OPPORTUNITY—Continued
Object Classification—Continued

Identification code 086-0340-0-1-751	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	66	7

Employment Summary

Identification code 086-0340-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	435	19

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES

Program and Financing (in millions of dollars)

Identification code 086-0341-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel Costs	5
0002 Benefits	2
0003 Non-Personnel Expenses	1	1
0900 Total new obligations, unexpired accounts	8	1

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9
1930 Total budgetary resources available	9	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	8	1
3020 Outlays (gross)	-8	-1

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9
Outlays, gross:			
4010 Outlays from new discretionary authority	8
4011 Outlays from discretionary balances	1
4020 Outlays, gross (total)	8	1
4180 Budget authority, net (total)	9
4190 Outlays, net (total)	8	1

The Budget requests salaries and expenses (S&E) funding for six program offices, including the Office of Lead Hazard Control and Healthy Homes (OLHCHH), in a consolidated Program Offices account (086-0479). This account reflects pre-2020 S&E funding for OLHCHH.

Object Classification (in millions of dollars)

Identification code 086-0341-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5
12.1 Civilian personnel benefits	2
25.3 Other goods and services from Federal sources	1	1
99.9 Total new obligations, unexpired accounts	8	1

Employment Summary

Identification code 086-0341-0-1-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	44

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 086-0143-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Gulf Coast Disaster related activities	3	1
0803 FEMA Mission Assignments	1
0900 Total new obligations, unexpired accounts (object class 25.2)	4	1

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	1
1701 Change in uncollected payments, Federal sources	1
1750 Spending auth from offsetting collections, disc (total)	4	1
1900 Budget authority (total)	4	1
1930 Total budgetary resources available	8	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2
3010 New obligations, unexpired accounts	4	1
3020 Outlays (gross)	-2	-1
3050 Unpaid obligations, end of year	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-1
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-2	-1	-1
3200 Obligated balance, end of year	-1	-1	-1

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	1
Outlays, gross:			
4010 Outlays from new discretionary authority	2	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1
4080 Outlays, net (discretionary)	-1
4180 Budget authority, net (total)
4190 Outlays, net (total)	-1

This account primarily supports the salaries and expenses of Departmental personnel responding to disasters. Resources are derived from reimbursable agreements such as FEMA Mission Assignments.

OFFICE OF INSPECTOR GENERAL

For necessary salaries and expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$128,200,000]** *\$133,300,000: Provided*, That the Inspector General shall have independent authority over all personnel and acquisition issues within this office **[: Provided further**, That the Office of Inspector General shall procure and rely upon the services of an independent external auditor(s) to audit the fiscal year 2020 and subsequent financial statements of the Department of Housing and Urban Development including the financial statements of the Federal Housing Administration and the Government National Mortgage Association: *Provided further*, That in addition to amounts under this heading otherwise available for the purposes specified in the previous proviso, \$10,000,000 to remain available until September 30, 2021, shall be available only for such specified purposes **]. (Department of Housing and Urban Development Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 086-0189-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 OIG Salaries and Benefits	101	102	98

0002	OIG Non-Personnel Costs	27	36	35
0004	Hurricane Sandy and Other Disaster related activities		2	3
0900	Total new obligations, unexpired accounts	128	140	136
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	10	9	7
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	128	138	133
1930	Total budgetary resources available	138	147	140
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	9	7	4
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	20	21	28
3010	New obligations, unexpired accounts	128	140	136
3011	Obligations ("upward adjustments"), expired accounts	4		
3020	Outlays (gross)	-127	-131	-140
3041	Recoveries of prior year unpaid obligations, expired	-4	-2	-2
3050	Unpaid obligations, end of year	21	28	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	20	21	28
3200	Obligated balance, end of year	21	28	22
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	128	138	133
Outlays, gross:				
4010	Outlays from new discretionary authority	111	115	110
4011	Outlays from discretionary balances	16	16	30
4020	Outlays, gross (total)	127	131	140
4180	Budget authority, net (total)	128	138	133
4190	Outlays, net (total)	127	131	140

The Office of the Inspector General (OIG) provides independent and objective reviews of the integrity, efficiency and effectiveness of Departmental programs and operations. Through various activities, the OIG seeks to promote efficiency and effectiveness in programs and operations, detect and deter fraud and abuse, investigate allegations of misconduct by Department of Housing and Urban Development (HUD) employees and review and make recommendations regarding existing and proposed legislation and regulations affecting HUD. The Budget includes \$133.3 million for the OIG's agency-wide audit and investigative functions.

Object Classification (in millions of dollars)

Identification code 086-0189-0-1-451	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	66	69	66
11.5	Other personnel compensation	6	7	8
11.9	Total personnel compensation	72	76	74
12.1	Civilian personnel benefits	28	29	29
21.0	Travel and transportation of persons	3	3	3
23.1	Rental payments to GSA	7	7	7
25.2	Other services from non-Federal sources	17	25	23
31.0	Equipment	1		
99.9	Total new obligations, unexpired accounts	128	140	136

Employment Summary

Identification code 086-0189-0-1-451	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	549	540	514

INFORMATION TECHNOLOGY FUND

For the development, modernization, and enhancement of, modifications to, and infrastructure for Department-wide and program-specific information technology systems, for the continuing operation and maintenance of both Department-wide and program-specific information systems, and for program-related maintenance

activities, **[\$280,000,000]** \$257,600,000, of which **[\$260,000,000]** \$237,600,000 shall remain available until September 30, **[2021]** 2022, and of which \$20,000,000 shall remain available until September 30, **[2022]** 2023: *Provided*, That any amounts transferred to this Fund under this Act shall remain available until expended: *Provided further*, That any amounts transferred to this Fund from amounts appropriated by previously enacted appropriations Acts may be used for the purposes specified under this Fund, in addition to any other information technology purposes for which such amounts were appropriated: *Provided further*, That not more than 10 percent of the funds made available under this heading for development, modernization and enhancement may be obligated until the Secretary submits to the House and Senate Committees on Appropriations, for approval, a plan for expenditure that-(A) identifies for each modernization project: (i) the functional and performance capabilities to be delivered and the mission benefits to be realized, (ii) the estimated life-cycle cost, and (iii) key milestones to be met; and (B) demonstrates that each modernization project is: (i) compliant with the Department's enterprise architecture, (ii) being managed in accordance with applicable life-cycle management policies and guidance, (iii) subject to the Department's capital planning and investment control requirements, and (iv) supported by an adequately staffed project office. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-4586-0-4-451	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Information Technology Expenses	342	280	258
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	147	85	91
1011	Unobligated balance transfer from other acct [047-0616]		4	
1021	Recoveries of prior year unpaid obligations		2	2
1050	Unobligated balance (total)	147	91	93
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	280	280	258
1121	Appropriations transferred from other acct [047-0616]	5		
1160	Appropriation, discretionary (total)	285	280	258
1900	Budget authority (total)	285	280	258
1930	Total budgetary resources available	432	371	351
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-5		
1941	Unexpired unobligated balance, end of year	85	91	93
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	196	294	193
3010	New obligations, unexpired accounts	342	280	258
3011	Obligations ("upward adjustments"), expired accounts	3		
3020	Outlays (gross)	-240	-379	-267
3040	Recoveries of prior year unpaid obligations, unexpired		-2	-2
3041	Recoveries of prior year unpaid obligations, expired	-7		
3050	Unpaid obligations, end of year	294	193	182
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	196	294	193
3200	Obligated balance, end of year	294	193	182
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	285	280	258
Outlays, gross:				
4010	Outlays from new discretionary authority	37	157	144
4011	Outlays from discretionary balances	203	222	123
4020	Outlays, gross (total)	240	379	267
4180	Budget authority, net (total)	285	280	258
4190	Outlays, net (total)	240	379	267

The Information Technology (IT) Fund provides for the infrastructure, systems, and services that support the Department of Housing and Urban Development (HUD) programs, which include all of HUD's mortgage insurance liabilities, rental subsidies, formula grants, and competitive grants. The Budget provides \$258 million for the development, modernization, enhancement, operation, and maintenance of HUD's IT infrastructure and systems. It excludes end-user IT devices and support, which is requested within HUD's Working Capital Fund account.

INFORMATION TECHNOLOGY FUND—Continued

Object Classification (in millions of dollars)

Identification code 086-4586-0-4-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.7 Operation and maintenance of equipment	323	269	247
31.0 Equipment	19	11	11
99.9 Total new obligations, unexpired accounts	342	280	258

WORKING CAPITAL FUND

(INCLUDING TRANSFER OF FUNDS)

For the working capital fund for the Department of Housing and Urban Development (referred to in this paragraph as the "Fund"), pursuant, in part, to section 7(f) of the Department of Housing and Urban Development Act (42 U.S.C. 3535(f)), amounts transferred, including reimbursements pursuant to section 7(f), to the Fund under this heading shall be available **[only]** for Federal shared services used by offices and agencies of the Department, and for any such portion of any office or agency's *information technology customer devices and support*, printing, records management, space renovation, furniture, or supply services the Secretary has determined shall be provided through the Fund, and the operational expenses of the Fund: *Provided*, That **[**amounts within the Fund shall not be available to provide services not specifically authorized under this heading: *Provided further*, That **]** upon a determination by the Secretary that any other service (or portion thereof) authorized under this heading shall be provided through the Fund, amounts made available in this title for salaries and expenses under the headings "Executive Offices", "Administrative Support Offices", "Program Offices", and "Government National Mortgage Association", for such services shall be transferred to the Fund, to remain available until expended: **[***Provided further*, That the Secretary shall notify the House and Senate Committees on Appropriations of its plans for executing such transfers at least fifteen (15) days in advance of such transfers: **]** *Provided further*, That the Secretary may transfer not to exceed an additional **[\$5,000,000]** *\$10,000,000*, in aggregate, from all such appropriations, to be merged with the Fund and to remain available until expended for any purpose under this heading. (*Department of Housing and Urban Development Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 086-4598-0-4-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 WCF Program - Direct	3		
0100 Direct program activities, subtotal	3		
0805 WCF Program - Reimb	37	42	99
0900 Total new obligations, unexpired accounts	40	42	99
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	4	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	39	42	99
1900 Budget authority (total)	39	42	99
1930 Total budgetary resources available	43	45	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	13	13
3010 New obligations, unexpired accounts	40	42	99
3020 Outlays (gross)	-38	-42	-80
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	13	13	32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	13	13
3200 Obligated balance, end of year	13	13	32

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	39	42	99
Outlays, gross:			
4010 Outlays from new discretionary authority	24	29	67
4011 Outlays from discretionary balances	14	13	13
4020 Outlays, gross (total)	38	42	80
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-39	-42	-99
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-1		-19

The Working Capital Fund (WCF) is used to fund agency-wide goods and services. The WCF is revolving in nature and fully recovers its operational costs. Amounts transferred/reimbursed to the Fund are derived from salaries and expenses accounts. The WCF provides the following shared services: financial management, procurement, travel, relocation, human resources and, proposed for 2021, records management and information technology customer devices and support (previously funded in the Information Technology Fund).

Object Classification (in millions of dollars)

Identification code 086-4598-0-4-604	2019 actual	2020 est.	2021 est.
25.3 Direct obligations: Other goods and services from Federal sources	3		
99.0 Direct obligations	3		
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	2
12.1 Civilian personnel benefits			1
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	35	40	95
99.0 Reimbursable obligations	37	42	99
99.9 Total new obligations, unexpired accounts	40	42	99

Employment Summary

Identification code 086-4598-0-4-604	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	5	6	15

TRANSFORMATION INITIATIVE

Program and Financing (in millions of dollars)

Identification code 086-0402-0-1-451	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	13	4	
3020 Outlays (gross)	-7	-2	
3041 Recoveries of prior year unpaid obligations, expired	-2	-2	
3050 Unpaid obligations, end of year	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13	4	
3200 Obligated balance, end of year	4		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	7	2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	7	2	

This account reports the remaining balances and outlays for the Transformation Initiative, which received funding from 2010 to 2014 to increase investments in research and evaluation; program demonstrations; technical assistance; and information technology.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
086-267810 Green Retrofit Program for Multifamily Housing, Downward Reestimates of Subsidies	3	6
086-269430 Emergency Homeowners' Relief Fund, Downward Reestimates	2
086-271910 FHA-General and Special Risk, Negative Subsidies	504	622	523
086-271930 FHA-General and Special Risk, Downward Reestimates of Subsidies	1,676	3,719
086-274330 Indian Housing Loan Guarantees, Downward Reestimates of Subsidies	90	34
086-276230 Title VI Indian Loan Guarantee Downward Reestimate	14	2
086-277330 Community Development Loan Guarantees, Downward Reestimates	5	10
086-279930 Native Hawaiian Housing Loan Guarantees, Downward Reestimates of Subsidies	1
086-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	7	12	12
General Fund Offsetting receipts from the public	2,301	4,406	535
Intragovernmental payments:			
086-388510 Undistributed Intragovernmental Payments	5	5	5
General Fund Intragovernmental payments	5	5	5

GENERAL PROVISIONS—DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

(INCLUDING TRANSFER OF FUNDS)
(INCLUDING CANCELLATIONS)

SEC. 201. SECTION 8 SAVINGS.—Fifty percent of the amounts of budget authority, or in lieu thereof 50 percent of the cash amounts associated with such budget authority, that are recaptured from projects described in section 1012(a) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437f note) shall be [rescinded]cancelled or in the case of cash, shall be remitted to the Treasury, and such amounts of budget authority or cash recaptured and not [rescinded]cancelled or remitted to the Treasury shall be used by State housing finance agencies or local governments or local housing agencies with projects approved by the Secretary of Housing and Urban Development for which settlement occurred after January 1, 1992, in accordance with such section. Notwithstanding the previous sentence, the Secretary may award up to 15 percent of the budget authority or cash recaptured and not [rescinded]cancelled or remitted to the Treasury to provide project owners with incentives to refinance their project at a lower interest rate.

SEC. 202. FAIR HOUSING ACT INVESTIGATIONS AND PROSECUTIONS.—None of the amounts made available under this Act may be used during fiscal year [2020]2021 to investigate or prosecute under the Fair Housing Act any otherwise lawful activity engaged in by one or more persons, including the filing or maintaining of a nonfrivolous legal action, that is engaged in solely for the purpose of achieving or preventing action by a Government official or entity, or a court of competent jurisdiction.

SEC. 203. COMPETITION IN ACCORDANCE WITH HUD REFORM ACT.—Except as explicitly provided in law, any grant, cooperative agreement or other assistance made pursuant to title II of this Act shall be made on a competitive basis and in accordance with section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545).

SEC. 204. GNMA LEGAL SERVICES.—Funds of the Department of Housing and Urban Development subject to the Government Corporation Control Act or section 402 of the Housing Act of 1950 shall be available, without regard to the limitations on administrative expenses, for legal services on a contract or fee basis, and for utilizing and making payment for services and facilities of the Federal National Mortgage Association, Government National Mortgage Association, Federal Home Loan Mortgage Corporation, Federal Financing Bank, Federal Reserve banks or any member thereof, Federal Home Loan banks, and any insured bank within the meaning of the Federal Deposit Insurance Corporation Act, as amended (12 U.S.C. 1811-1).

SEC. 205. Unless otherwise provided in this Act or through a reprogramming of funds, no part of any appropriation for the Department of Housing and Urban Development shall be available for any program, project or activity in excess of amounts set forth in the budget estimates submitted to Congress.]

SEC. [206]205. HUD CORPORATION EXPENDITURES.—Corporations and agencies of the Department of Housing and Urban Development which are subject

to the Government Corporation Control Act are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for [2020]2021 for such corporation or agency except as hereinafter provided: *Provided*, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government.

SEC. 207. The Secretary of Housing and Urban Development shall provide quarterly reports to the House and Senate Committees on Appropriations regarding all uncommitted, unobligated, recaptured and excess funds in each program and activity within the jurisdiction of the Department and shall submit additional, updated budget information to these Committees upon request.]

SEC. 208. No funds provided under this title may be used for an audit of the Government National Mortgage Association that makes applicable requirements under the Federal Credit Reform Act of 1990 (2 U.S.C. 661 et seq.).]

SEC. [209]206. TRANSFERS OF ASSISTANCE, DEBT, AND USE RESTRICTIONS.

(a) AUTHORITY.—Notwithstanding any other provision of law, subject to the conditions listed under this section, for fiscal years [2020]2021 and [2021] 2022, the Secretary of Housing and Urban Development may authorize the transfer of some or all project-based assistance, debt held or insured by the Secretary and statutorily required low-income and very low-income use restrictions if any, associated with one or more multifamily housing project or projects to another multifamily housing project or projects.

(b) PHASED TRANSFERS.—Transfers of project-based assistance under this section may be done in phases to accommodate the financing and other requirements related to rehabilitating or constructing the project or projects to which the assistance is transferred, to ensure that such project or projects meet the standards under subsection (c).

(c) CONDITIONS.—The transfer authorized in subsection (a) is subject to the following conditions:

(1) NUMBER AND BEDROOM SIZE OF UNITS.—

(A) For occupied units in the transferring project: The number of low-income and very low-income units and the configuration (i.e., bedroom size) provided by the transferring project shall be no less than when transferred to the receiving project or projects and the net dollar amount of Federal assistance provided to the transferring project shall remain the same in the receiving project or projects.

(B) For unoccupied units in the transferring project: The Secretary may authorize a reduction in the number of dwelling units in the receiving project or projects to allow for a reconfiguration of bedroom sizes to meet current market demands, as determined by the Secretary and provided there is no increase in the project-based assistance budget authority.

(2) The transferring project shall, as determined by the Secretary, be either physically obsolete or economically nonviable, or be reasonably expected to become economically nonviable when complying with state or Federal requirements for community integration and reduced concentration of individuals with disabilities.

(3) The receiving project or projects shall meet or exceed applicable physical standards established by the Secretary.

(4) The owner or mortgagor of the transferring project shall notify and consult with the tenants residing in the transferring project and provide a certification of approval by all appropriate local governmental officials.

(5) The tenants of the transferring project who remain eligible for assistance to be provided by the receiving project or projects shall not be required to vacate their units in the transferring project or projects until new units in the receiving project are available for occupancy.

(6) The Secretary determines that this transfer is in the best interest of the tenants.

(7) If either the transferring project or the receiving project or projects meets the condition specified in subsection (d)(2)(A), any lien on the receiving project resulting from additional financing obtained by the owner shall be subordinate to any FHA-insured mortgage lien transferred to, or placed on, such project by the Secretary, except that the Secretary may waive this requirement upon determination that such a waiver is necessary to facilitate the financing of acquisition, construction, and/or rehabilitation of the receiving project or projects.

(8) If the transferring project meets the requirements of subsection (d)(2), the owner or mortgagor of the receiving project or projects shall execute and record either a continuation of the existing use agreement or a new use agreement for the project where, in either case, any use restrictions in such agreement are of no lesser duration than the existing use restrictions.

(9) The transfer does not increase the cost (as defined in section 502 of the Congressional Budget Act of 1974 (2 U.S.C. 661a)) of any FHA-insured mortgage, except to the extent that appropriations are provided in advance for the amount of any such increased cost.

(d) **DEFINITIONS.**—For purposes of this section—

(1) the terms "low-income" and "very low-income" shall have the meanings provided by the statute and/or regulations governing the program under which the project is insured or assisted;

(2) the term "multifamily housing project" means housing that meets one of the following conditions—

(A) housing that is subject to a mortgage insured under the National Housing Act;

(B) housing that has project-based assistance attached to the structure including projects undergoing mark to market debt restructuring under the Multifamily Assisted Housing Reform and Affordability Housing Act;

(C) housing that is assisted under section 202 of the Housing Act of 1959 (12 U.S.C. 1701q);

(D) housing that is assisted under section 202 of the Housing Act of 1959 (12 U.S.C. 1701q), as such section existed before the enactment of the Cranston-Gonzales National Affordable Housing Act;

(E) housing that is assisted under section 811 of the Cranston-Gonzales National Affordable Housing Act (42 U.S.C. 8013); or

(F) housing or vacant land that is subject to a use agreement;

(3) the term "project-based assistance" means—

(A) assistance provided under section 8(b) of the United States Housing Act of 1937 (42 U.S.C. 1437f(b));

(B) assistance for housing constructed or substantially rehabilitated pursuant to assistance provided under section 8(b)(2) of such Act (as such section existed immediately before October 1, 1983);

(C) rent supplement payments under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s);

(D) interest reduction payments under section 236 and/or additional assistance payments under section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1);

(E) assistance payments made under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q(c)(2)); and

(F) assistance payments made under section 811(d)(2) of the Cranston-Gonzales National Affordable Housing Act (42 U.S.C. 8013(d)(2));

(4) the term "receiving project or projects" means the multifamily housing project or projects to which some or all of the project-based assistance, debt, and statutorily required low-income and very low-income use restrictions are to be transferred;

(5) the term "transferring project" means the multifamily housing project which is transferring some or all of the project-based assistance, debt, and the statutorily required low-income and very low-income use restrictions to the receiving project or projects; and

(6) the term "Secretary" means the Secretary of Housing and Urban Development.

(e) **RESEARCH REPORT.**—The Secretary shall conduct an evaluation of the transfer authority under this section, including the effect of such transfers on the operational efficiency, contract rents, physical and financial conditions, and long-term preservation of the affected properties.

SEC. [210] 207. VOUCHER ASSISTANCE FOR STUDENTS AT INSTITUTIONS OF HIGHER EDUCATION.

(a) No assistance shall be provided under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) to any individual who—

(1) is enrolled as a student at an institution of higher education (as defined under section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002));

(2) is under 24 years of age;

(3) is not a veteran;

(4) is unmarried;

(5) does not have a dependent child;

(6) is not a person with disabilities, as such term is defined in section 3(b)(3)(E) of the United States Housing Act of 1937 (42 U.S.C. 1437a(b)(3)(E)) and was not receiving assistance under such section 8 as of November 30, 2005;

(7) is not a youth who left foster care at age 14 or older and is at risk of becoming homeless; and

(8) is not otherwise individually eligible, or has parents who, individually or jointly, are not eligible, to receive assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f).

(b) For purposes of determining the eligibility of a person to receive assistance under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), any financial assistance (in excess of amounts received for tuition and any other required fees and charges) that an individual receives under the Higher Education Act of 1965 (20 U.S.C. 1001 et seq.), from private sources, or an institution of higher education (as defined under section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002)), shall be considered income to that individual, except for a person over the age of 23 with dependent children.

SEC. 211. The funds made available for Native Alaskans under paragraph (1) under the heading "Native American Programs" in title II of this Act shall be allocated to the same Native Alaskan housing block grant recipients that received funds in fiscal year 2005, and only such recipients shall be eligible to apply for funds made available under paragraph (3) of such heading. **]**

SEC. [212] 208. MANAGEMENT AND DISPOSITION OF CERTAIN MULTIFAMILY PROJECTS.—Notwithstanding any other provision of law, in fiscal year **[2020] 2021**, in managing and disposing of any multifamily property that is owned or has a mortgage held by the Secretary of Housing and Urban Development, and during the process of foreclosure on any property with a contract for rental assistance payments under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) or other Federal programs, the Secretary shall maintain any rental assistance payments under section 8 of the United States Housing Act of 1937 and other programs that are attached to any dwelling units in the property. To the extent the Secretary determines, in consultation with the tenants and the local government, that such a multifamily property owned or held by the Secretary is not feasible for continued rental assistance payments under such section 8 or other programs, based on consideration of (1) the costs of rehabilitating and operating the property and all available Federal, State, and local resources, including rent adjustments under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 ("MAHRAA") (42 U.S.C. 1437f note) and (2) environmental conditions that cannot be remedied in a cost-effective fashion, the Secretary may, in consultation with the tenants of that property, contract for project-based rental assistance payments with an owner or owners of other existing housing properties, or provide other rental assistance. The Secretary shall also take appropriate steps to ensure that project-based contracts remain in effect prior to foreclosure, subject to the exercise of contractual abatement remedies to assist relocation of tenants for imminent major threats to health and safety after written notice to and informed consent of the affected tenants and use of other available remedies, such as partial abatements or receivership. After disposition of any multifamily property described under this section, the contract and allowable rent levels on such properties shall be subject to the requirements under section 524 of MAHRAA.

SEC. 213. Public housing agencies that own and operate 400 or fewer public housing units may elect to be exempt from any asset management requirement imposed by the Secretary of Housing and Urban Development in connection with the operating fund rule: *Provided*, That an agency seeking a discontinuance of a reduction of subsidy under the operating fund formula shall not be exempt from asset management requirements. **]**

SEC. 214. With respect to the use of amounts provided in this Act and in future Acts for the operation, capital improvement and management of public housing as authorized by sections 9(d) and 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(d) and (e)), the Secretary shall not impose any requirement or guideline relating to asset management that restricts or limits in any way the use of capital funds for central office costs pursuant to section 9(g)(1) or 9(g)(2) of the United States Housing Act of 1937 (42 U.S.C. 1437g(g)(1), (2)): *Provided*, That a public housing agency may not use capital funds authorized under section 9(d) for activities that are eligible under section 9(e) for assistance with amounts from the operating fund in excess of the amounts permitted under section 9(g)(1) or 9(g)(2). **]**

SEC. [215] 209. DESIGNATED ALLOTMENT HOLDERS.—No official or employee of the Department of Housing and Urban Development shall be designated as an allotment holder unless the Office of the Chief Financial Officer has determined that such allotment holder has implemented an adequate system of funds control and has received training in funds control procedures and directives. The Chief Financial Officer shall ensure that there is a trained allotment holder for each HUD appropriation under the accounts "Executive Offices", "Administrative Support Offices", "Program Offices", and "Government National Mortgage Association-Guarantees of Mortgage-Backed Securities Loan Guarantee Program Account" **]**, and "Office of Inspector General" **]** within the Department of Housing and Urban Development.

SEC. [216] 210. NOFA PUBLICATION.—The Secretary of the Department of Housing and Urban Development shall, for fiscal year **[2020] 2021**, notify the

public through the Federal Register and other means, as determined appropriate, of the issuance of a notice of the availability of assistance or notice of funding availability (NOFA) for any program or discretionary fund administered by the Secretary that is to be competitively awarded. Notwithstanding any other provision of law, for fiscal year **[2020]** 2021, the Secretary may make the NOFA available only on the Internet at the appropriate Government web site or through other electronic media, as determined by the Secretary.

[SEC. 217. Payment of attorney fees in program-related litigation shall be paid from the individual program office and Office of General Counsel salaries and expenses appropriations. The annual budget submission for the program offices and the Office of General Counsel shall include any such projected litigation costs for attorney fees as a separate line item request. No funds provided in this title may be used to pay any such litigation costs for attorney fees until the Department submits for review a spending plan for such costs to the House and Senate Committees on Appropriations.]

SEC. [218] 211. TRANSFER OF FUNDS.—The Secretary is authorized to transfer up to **[10] 20** percent or **[\$5,000,000]** \$6,000,000, whichever is less, of funds appropriated for any office under the headings "Administrative Support Offices" or "Program Offices" to any other such office **[or account]**: *Provided, That the Secretary shall provide notification to the House and Senate Committees on Appropriations three business days in advance of any such transfers: Provided further, That no appropriation for any such office [or account] shall be increased or decreased by more than [10] 20 percent or [\$5,000,000] \$6,000,000, whichever is less, [without prior written approval of the House and Senate Committees on Appropriations: Provided further, That the Secretary shall provide notification to] unless such Committees **[3] are notified in writing 10** business days in advance of any such transfers **[under this section up to 10 percent or \$5,000,000, whichever is less].***

SEC. [219] 212. PHYSICAL CONDITIONS REQUIREMENTS.

(a) (1) Any entity receiving housing assistance payments shall maintain decent, safe, and sanitary conditions in *good repair*, as determined by the Secretary of Housing and Urban Development (in this section referred to as the "Secretary"), and comply with any standards under applicable State or local laws, rules, ordinances, or regulations relating to the physical condition of any property covered under a housing assistance payment contract.

(2) *The requirements in this section shall apply to insured and noninsured projects with assistance attached to the units under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), but do not apply to such units assisted under section 8(o)(13) (42 U.S.C. 1437f(o)(13)) of such Act or to public housing units assisted with capital or operating funds under section 9 (42 U.S.C. 1437g) of such Act.*

(b) The Secretary shall take action under subsection (c) when a multifamily housing project with a section 8 contract or contract for similar project-based assistance—

(1) receives a Uniform Physical Condition Standards (UPCS) score of **[60] 59** or less; **[or]**

(2) fails to certify in writing to the Secretary within 3 days that all Exigent Health and Safety deficiencies identified by the inspector at the project have been corrected**].**; *or*

(3) *fails to meet UPCS or local code requirements that establish standards for decent, safe, and sanitary housing*

[Such requirements shall apply to insured and noninsured projects with assistance attached to the units under section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f), but do not apply to such units assisted under section 8(o)(13) (42 U.S.C. 1437f(o)(13)) or to public housing units assisted with capital or operating funds under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g)].

(c)

(1) **[Within 15 days of the issuance of the REAC inspection]** *If the Secretary decides to take action based on a deficiency listed in subsection (b), the Secretary must provide the owner with a Notice of Default with a specified timetable, determined by the Secretary, for correcting all deficiencies. The Secretary must also provide a copy of the Notice of Default to [the tenants,] the local government, any mortgagees, and any contract administrator. If the owner's appeal results in a UPCS score of 60 or above, the Secretary may withdraw the Notice of Default.*

(2) At the end of the time period for correcting all deficiencies specified in the Notice of Default, if the owner **[fails] has failed** to fully correct such deficiencies, the Secretary may—

(A) require immediate replacement of project management with a management agent approved by the Secretary;

(B) impose civil money penalties **[, which shall be used solely for the purpose of supporting safe and sanitary conditions at applicable properties, as designated**

by the Secretary, with priority given to the tenants of the property affected by the penalty];

(C) *abate or suspend payment on the section 8 contract, including partial abatement or suspension, as determined by the Secretary [, until all deficiencies have been corrected];*

(D) pursue transfer of the project to an owner, approved by the Secretary under established procedures, which will be obligated to promptly make all required repairs and to accept renewal of the assistance contract as long as such renewal is offered;

(E) transfer the existing section 8 contract to another project or projects and owner or owners, *as determined by the Secretary under established procedures, which will be obligated to promptly make all required repairs and to accept renewal of the assistance contract as long as such renewal is offered;*

(F) pursue exclusionary sanctions, including suspensions or debarments from Federal programs;

(G) seek judicial appointment of a receiver to manage the property and cure all project deficiencies or seek a judicial order of specific performance requiring the owner to cure all project deficiencies;

(H) work with the owner, lender, or other related party to stabilize the property in an attempt to preserve the property through compliance, transfer of ownership, or an infusion of capital provided by a third-party that requires time to effectuate; or

(I) take any other regulatory or contractual remedies available, *including abatement, suspension, or termination of the Section 8 contract, as deemed necessary and appropriate by the Secretary.*

(d) **[The Secretary shall also take appropriate steps to ensure that project-based contracts remain in effect, subject to the exercise of contractual abatement remedies to assist relocation of tenants for major threats to health and safety after written notice to the affected tenants. To the extent the Secretary determines, in consultation with the tenants and the local government, that the property is not feasible for continued rental assistance payments under such section 8 or other programs, based on consideration of—]**

[(1) the costs of rehabilitating and operating the property and all available Federal, State, and local resources, including rent adjustments under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 ("MAHRAA"); and]

[(2) environmental conditions that cannot be remedied in a cost-effective fashion, the Secretary may contract for project-based rental assistance payments with an owner or owners of other existing housing properties, or provide other rental assistance.]

(1) *Any Notice of Default issued pursuant to subsection (c)(1) shall include a requirement that the owner provide a copy of the Notice of Default to each tenant.*

(2) *The Secretary shall ensure that the owner or its agents provide tenants an opportunity to comment on the physical condition and management of the property, and any needed repairs. The Secretary may provide the substance of these communications to the project owner to assist in its corrective opportunity.*

(3) *If the Secretary terminates the Section 8 contract pursuant to subsection (c)(2), the Secretary shall provide tenants with a copy of any notice to the owner to that effect.*

(e) The Secretary shall report quarterly on all properties covered by this section that are assessed through the Real Estate Assessment Center and have UPCS physical inspection scores of less than 60 or have received an unsatisfactory management and occupancy review within the past 36 months. The report shall include—

(1) the enforcement actions being taken to address such conditions, including imposition of civil money penalties and termination of subsidies, and identify properties that have such conditions multiple times; *and*

(2) actions that the **[Department of Housing and Urban Development] Secretary** is taking to protect tenants of such identified properties**]; and]**

[(3) any administrative or legislative recommendations to further improve the living conditions at properties covered under a housing assistance payment contract.]

[This report shall be due to the Senate and House Committees on Appropriations no later than 30 days after the enactment of this Act, and on the first business day of each Federal fiscal year quarter thereafter while this section remains in effect.]

SEC. [220] 213. PHA EXECUTIVE COMPENSATION.—None of the funds made available by this Act, or any other Act, for purposes authorized under section 8 (only with respect to the tenant-based rental assistance program) and section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.), may be used by any public housing agency for any amount of salary, including bonuses, for the chief executive officer of which, or any other official or employee of which, that exceeds

the annual rate of basic pay payable for a position at level IV of the Executive Schedule at any time during any public housing agency fiscal year **2020** 2021.

SEC. 221. None of the funds in this Act provided to the Department of Housing and Urban Development may be used to make a grant award unless the Secretary notifies the House and Senate Committees on Appropriations not less than 3 full business days before any project, State, locality, housing authority, tribe, nonprofit organization, or other entity selected to receive a grant award is announced by the Department or its offices. **]**

SEC. 222 **214. PHYSICAL NEEDS ASSESSMENTS.**—None of the funds made available by this Act may be used to require or enforce the Physical Needs Assessment (PNA).

SEC. 223 **215. EMINENT DOMAIN RESTRICTIONS.**—None of the funds made available in this Act shall be used by the Federal Housing Administration, the Government National Mortgage Administration, or the Department of Housing and Urban Development to insure, securitize, or establish a Federal guarantee of any mortgage or mortgage backed security that refinances or otherwise replaces a mortgage that has been subject to eminent domain condemnation or seizure, by a State, municipality, or any other political subdivision of a State.

SEC. 224. None of the funds made available by this Act may be used to terminate the status of a unit of general local government as a metropolitan city (as defined in section 102 of the Housing and Community Development Act of 1974 (42 U.S.C. 5302)) with respect to grants under section 106 of such Act (42 U.S.C. 5306). **]**

SEC. 225 **216. UNOBLIGATED RESEARCH FUNDS.**—Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the Office of Policy Development and Research in the Department of Housing and Urban Development and functions thereof, for research, evaluation, or statistical purposes, and which are unexpended at the time of completion of a contract, grant, or cooperative agreement, may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that Office **]** subject to reprogramming requirements in section 405 of this Act **]**.

SEC. 226 **217. PROHIBITION OF AWARDS.**—**]**None of the funds provided in this Act or any other act may be used for awards, including performance, special act, or spot, for any employee **]** Employees of the Department of Housing and Urban Development subject to administrative discipline (including suspension from work) **]**, in this fiscal year **]**, but this prohibition shall not be effective prior to **]** shall not receive awards (including performance, special act, or spot) for the remainder of this fiscal year after the effective date of any such administrative discipline **]** or after any **]** unless a final decision is made over-turning such discipline.

SEC. 227 **218. PERFORMANCE PARTNERSHIP PILOTS.**—Funds made available in this title under the heading "Homeless Assistance Grants" may be used by the Secretary to participate in Performance Partnership Pilots authorized under section 526 of division H of Public Law 113–76, section 524 of division G of Public Law 113–235, section 525 of division H of Public Law 114–113, section 525 of division H of Public Law 115–31, section 525 of division H of Public Law 115–141, section 524 of division B of Public Law 115–245, section 524 of division A of Public Law 116–94, and such authorities as are enacted for Performance Partnership Pilots in an appropriations Act for fiscal year **2020**: *Provided*, That such participation shall be limited to no more than 10 continuums of care and housing activities to improve outcomes for disconnected youth **]** 2021.

SEC. 228 **219. MATCHING REQUIREMENTS.**—With respect to grant amounts awarded under the heading "Homeless Assistance Grants" for fiscal years 2015 through **2020** **2021** for the continuum of care (CoC) program as authorized under subtitle C of title IV of the McKinney-Vento Homeless Assistance Act, costs paid by program income of grant recipients may count toward meeting the recipient's matching requirements, provided the costs are eligible CoC costs that supplement the recipient's CoC program.

SEC. 229 **220. CONTINUUM OF CARE TRANSITION GRANTS.**

(a) From amounts made available under this title under the heading "Homeless Assistance Grants", the Secretary may award 1-year transition grants to recipients of funds for activities under subtitle C of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11381 et seq.) to transition from one Continuum of Care program component to another.

(b) In order to be eligible to receive a transition grant, the funding recipient must have the consent of the Continuum of Care and meet standards determined by the Secretary.

SEC. 230. None of the funds made available by this Act may be used by the Department of Housing and Urban Development to direct a grantee to undertake specific changes to existing zoning laws as part of carrying out the final rule entitled "Affirmatively Furthering Fair Housing" (80 Fed. Reg. 42272 (July 16, 2015)) or

the notice entitled "Affirmatively Furthering Fair Housing Assessment Tool" (79 Fed. Reg. 57949 (September 26, 2014)). **]**

SEC. 231. (a) Amounts recaptured from funds appropriated for this or any succeeding fiscal year under the heading "Department of Housing and Urban Development-Community Planning and Development-Homeless Assistance Grants" shall become available until expended not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available and shall be available, in addition to rental assistance amounts that were recaptured and made available until expended under such heading by any prior Act, and in addition to such other funds as may be available for such purposes, for the following purposes:

(1) For grants under the Continuum of Care program under subtitle C of title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11381 et seq.);

(2) For grants under the Emergency Solutions Grant program under subtitle B of title IV of such Act (42 U.S.C. 11371 et seq.);

(3) Not less than 10 percent of the amounts shall be used only for grants in rural areas under the Continuum of Care program, to include activities eligible under the Rural Housing Stability Assistance program under section 491 of such Act (42 U.S.C. 11408) that are not otherwise eligible under the Continuum of Care program; and

(4) Not less than 10 percent of the amounts shall be for emergency solutions grants for disaster areas as authorized by subsection (c).

(b) Prior to the use of any recaptured amounts referred to in subsection (a), including competing, awarding, or obligating such amounts, the Secretary shall submit a plan in accordance with subsection (a) that specifies the planned use of any such amounts to the Committees on Appropriations of the House of Representatives and the Senate, and receive prior written approval of such plan, except that use of amounts in the plan for the purposes specified in subsection (a)(4) may begin once such plan is submitted to such Committees.

(c)

(1) The Secretary may make grants under the Emergency Solutions Grants program under subtitle B of title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11371 et seq.) to States or local governments to address the needs of homeless individuals or families or individuals or families at risk of homelessness in areas affected by a major disaster declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) on or after the date of enactment of this Act, whose needs are not otherwise served or fully met by existing Federal disaster relief programs, including the Transitional Sheltering Assistance program under such Act (42 U.S.C. 5170b).

(2) For purposes of grants under paragraph (1), the Secretary may suspend all consultation, citizen participation, and matching requirements. **]**

SEC. 221. HOMELESS ASSISTANCE GRANTS RECAPTURED FUNDS.—*Section 231 of the Department of Housing and Urban Development Appropriations Act, 2020 (Public Law 116–94) is amended—*

(1) *in subsection (a), by striking "that were";*

(2) *in subsection (a)(2), by inserting "and" after the semicolon;*

(3) *in subsection (a)(3), by—*

(A) *striking "Not less than 10 percent of the amounts shall be used only for grants" and inserting "For grants"; and*

(B) *striking "; and" and inserting a period;*

(4) *by striking subsection (a)(4);*

(5) *by striking subsections (b) and (c); and*

(6) *by striking "(a)."*

SEC. 232. The Promise Zone designations and Promise Zone Designation Agreements entered into pursuant to such designations, made by the Secretary of Housing and Urban Development in prior fiscal years, shall remain in effect in accordance with the terms and conditions of such agreements. **]**

SEC. 233. None of the funds made available by this Act may be used to establish and apply review criteria, including rating factors or preference points, for participation in or coordination with EnVision Centers, in the evaluation, selection, and award of any funds made available and requiring competitive selection under this Act, except with respect to any such funds otherwise authorized for EnVision Center purposes under this Act. **]**

SEC. 234. (a) The Secretary of Housing and Urban Development shall make available to grantees under programs included under the Department's Consolidated Planning Process, not later than the expiration of the 90-day period beginning on the date of the enactment of this Act, the prepopulated up-to-date housing and economic data and data for both broadband and resilience assessment requirements, as referred to in the HUD Response to the third comment under section III.A. of the Supplementary Information included with the final rule entitled "Modernizing HUD's Consolidated Planning Process To Narrow the Digital Divide and Increase Resilience to Natural Hazards", published by the Department of Housing and Urban Development in the Federal Register on Friday, December 16, 2016 (81 Fed. Reg. 91000).

(b) The Secretary of Housing and Urban Development shall require such grantees to incorporate the broadband and resilience components into the Consolidated Plan process not later than the expiration of the 270-day period beginning on the date of the enactment of this Act.]

[SEC. 235. None of the funds made available by this or any prior Act may be used to require or enforce any changes to the terms and conditions of the public housing annual contributions contract between the Secretary and any public housing agency, as such contract was in effect as of December 31, 2017, unless such changes are mutually agreed upon by the Secretary and such agency: *Provided*, That such agreement by an agency may be indicated only by a written amendment to the terms and conditions containing the duly authorized signature of its chief executive: *Provided further*, That the Secretary may not withhold funds to compel such agreement by an agency which certifies to its compliance with its contract.]

[SEC. 236. None of the amounts made available in this Act or in the Department of Housing and Urban Development Appropriations Act, 2019 (Public Law 116–6) may be used to consider Family Self-Sufficiency performance measures or performance scores in determining funding awards for programs receiving Family Self-Sufficiency program coordinator funding provided in this Act or in the Department of Housing and Urban Development Appropriations Act, 2019 (Public Law 116–6).]

[SEC. 237. (a) All unobligated balances from funds appropriated under the heading "Department of Housing and Urban Development Public and Indian Housing-Tenant Based Rental Assistance" in chapter 10 of title I of division B of the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (Public Law 110–329) are hereby rescinded.

(b) All unobligated balances from funds appropriated under the heading "Department of Housing and Urban Development Public and Indian Housing-Project-Based Rental Assistance" in chapter 10 of title I of division B of the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (Public Law 110–329; 122 Stat. 324) (as amended by section 1203 of Public Law 111–32; 123 Stat. 1859) are hereby rescinded.]

[SEC. 238. Any public housing agency designated as a Moving to Work agency pursuant to section 239 of (Public Law 114–113) may, upon such designation, use funds (except for special purpose funding, including special purpose vouchers) previously allocated to any such public housing agency under section 8 or 9 of the United States Housing Act of 1937, including any reserve funds held by the public housing agency or funds held by the Department of Housing and Urban Development, pursuant to the authority for use of section 8 or 9 funding provided under such section and section 204 of title II of the Departments of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1996 (Public Law 104–134), notwithstanding the purposes for which such funds were appropriated.]

[SEC. 239. None of the amounts made available by this Act or by Public Law 116–6 may be used to prohibit any public housing agency under receivership or the direction of a Federal monitor from applying for, receiving, or using funds made available under the heading "Public Housing Capital Fund" for competitive grants to evaluate and reduce lead-based paint hazards in this Act or that remain available and not awarded from prior Acts, or be used to prohibit a public housing agency from using such funds to carry out any required work pursuant to a settlement agreement, consent decree, voluntary agreement, or similar document for a violation of the Lead Safe Housing or Lead Disclosure Rules.]

Sec. 222. RAD AMENDMENTS. The language under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112–55), as most recently amended by Public Law 115–141 (42 U.S.C. 1437f note), is further amended—

(1) in the initial undesignated matter, by striking "and 'Public Housing Operating Fund'" and inserting ", 'Public Housing Operating Fund', 'Public Housing Fund', and 'Moving to Work'";

(2) in the second proviso, by striking "until September 30, 2024" and inserting "for fiscal year 2012 and thereafter";

(3) in the third proviso, by inserting ", 'Moving to Work'," after "Tenant-Based Rental Assistance";

(4) by striking the fourth and final provisos (including striking the colon before the final proviso, but not including striking the period at the end);

(5) after the third proviso, by inserting the following new provisos: "Provided further, That at properties with assistance under section 9 of the Act requesting to partially convert such assistance, and where an event under section 18 of the Act occurs that results in the eligibility for tenant protection vouchers under section 8(o) of the Act, the Secretary may convert the tenant protection voucher assistance to assistance under a project-based subsidy contract under section 8 of the Act, which shall be eligible for renewal under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997, so long as the property meets any additional requirements established by the Secretary to fa-

cilitate conversion: Provided further, That to facilitate the conversion of assistance under the previous proviso, the Secretary may transfer an amount equal to the total amount that would have been allocated for tenant protection voucher assistance for properties that have requested such conversions from amounts made available for tenant protection voucher assistance under the heading 'Tenant-Based Rental Assistance' to the heading 'Project-Based Rental Assistance'";

(6) in the eleventh proviso, as reordered above, by—

(A) inserting "'Public Housing Fund', 'Moving to Work', 'Self-Sufficiency Programs', 'Family Self-Sufficiency'" following "'Public Housing Operating Fund'"; and

(B) inserting "or the ongoing availability of services for residents" after "effective conversion of assistance under the demonstration";

(7) after the eighteenth proviso, as reordered above, by inserting the following new proviso: "Provided further, That conversions of assistance under the following provisos herein shall be considered as the 'Second Component' and shall be authorized for fiscal year 2012 and thereafter:";

(8) by striking the twentieth proviso, as reordered above, and inserting the following five provisos: "Provided further, That owners of properties assisted under section 101 of the Housing and Urban Development Act of 1965, section 236(f)(2) of the National Housing Act, or section 8(e)(2) of the United States Housing Act of 1937, for which an event after October 1, 2006 has caused or results in the termination of rental assistance or affordability restrictions and the issuance of tenant protection vouchers under section 8(o) of the Act shall be eligible, subject to requirements established by the Secretary, for conversion of assistance available for such vouchers or assistance contracts to assistance under a long term project-based subsidy contract under section 8 of the Act: *Provided further*, That owners of properties with a project rental assistance contract under section 202(c)(2) of the Housing Act of 1959 shall be eligible, subject to requirements established by the Secretary, including but not limited to the subordination, restructuring, or both, of any capital advance documentation, including any note, mortgage, use agreement or other agreements, evidencing or securing a capital advance previously provided by the Secretary under section 202(c)(1) of the Housing Act of 1959 as necessary to facilitate the conversion of assistance while maintaining the affordability period and the designation of the property as serving elderly persons, and tenant consultation procedures, for conversion of assistance available for such assistance contracts to assistance under a long term project-based subsidy contract under section 8 of the Act: *Provided further*, That owners of properties with a senior preservation rental assistance contract under section 811 of the American Homeownership and Economic Opportunity Act of 2000 (12 U.S.C. 1701q note), shall be eligible, subject to requirements established by the Secretary as necessary to facilitate the conversion of assistance while maintaining the affordability period and the designation of the property as serving elderly families, and tenant consultation procedures, for conversion of assistance available for such assistance contracts to assistance under a long term project-based subsidy contract under section 8 of the Act: *Provided further*, That owners of properties with a project rental assistance contract under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act, shall be eligible, subject to requirements established by the Secretary, including but not limited to the subordination, restructuring, or both, of any capital advance documentation, including any note, mortgage, use agreement or other agreements, evidencing or securing a capital advance previously provided by the Secretary under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act as necessary to facilitate the conversion of assistance while maintaining the affordability period and the designation of the property as serving persons with disabilities, and tenant consultation procedures, for conversion of assistance contracts to assistance under a long term project-based subsidy contract under section 8 of the Act: *Provided further*, That long term project-based subsidy contracts under section 8 of the Act which are established under this Second Component shall have a term of no less than 20 years, with rent adjustments only by an operating cost factor established by the Secretary, which shall be eligible for renewal under section 524 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 (42 U.S.C. 1437f note), or, subject to agreement of the administering public housing agency, to assistance under section 8(o)(13) of the Act, to which the limitation under subsection (B) of section 8(o)(13) of the Act shall not apply and for which the Secretary may waive or alter the provisions of subparagraphs (C) and (D) of section 8(o)(13) of the Act:";

(9) after the twenty-fifth proviso, as reordered above, by inserting the following new proviso: "Provided further, That the Secretary may waive or alter the requirements of section 8(c)(1)(A) of the Act for contracts provided to properties converting assistance from section 202(c)(2) of the Housing Act of 1959 or

section 811 of the American Homeownership and Economic Opportunity Act of 2000 as necessary to ensure the ongoing provision and coordination of services or to avoid a reduction in project subsidy:"; and

(10) in the thirty-first proviso, as reordered above, by—

(A) striking "heading 'Housing for the Elderly'" and inserting "headings 'Housing for the Elderly' and 'Housing for Persons with Disabilities'";

(B) striking "or 'Tenant-Based Rental Assistance' to facilitate" and inserting "'Tenant-Based Rental Assistance', or 'Moving to Work' to facilitate"; and

(C) inserting "section 202 senior preservation rental assistance contract, or section 811 project rental assistance contract" after "section 202 project rental assistance contract".

SEC. 223. RENT ADJUSTMENTS.—For this fiscal year, the Secretary may elect through a Federal Register notice not to provide rent adjustments for properties receiving assistance under section 202 of the Housing Act of 1959 (12 U.S.C. 1701q), section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s), section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1(f)(2)), or section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f) other than the voucher program under section 8(o) and the moderate rehabilitation program under section 8(e)(2) (including the single room occupancy program authorized by title IV of the McKinney-Vento Homeless Assistance Act).

SEC. 224. PUBLIC HOUSING FLEXIBILITIES.—For funds made available in this or prior acts under the headings "Public Housing Capital Fund", "Public Housing Operating Fund", and "Public Housing Fund", the Secretary of Housing and Urban Development may waive, or specify alternative requirements for, statutory or regulatory provisions related to public housing agency (PHA) annual plan requirements, energy audits, and community service requirements, upon a finding by the Secretary, consistent with a process and criteria established by notice published in the Federal Register, that any such waivers or alternative requirements are necessary to reduce costs or for the effective delivery and administration of such funds.

SEC. 225. TENANT-BASED RENTAL ASSISTANCE FLEXIBILITIES.—For funds made available in this or prior acts under the heading "Tenant-Based Rental Assistance", the Secretary of Housing and Urban Development may waive, or specify alternative requirements for, statutory or regulatory provisions related to PHAs establishing payment standards below the basic range of 90 percent but not less than 80 percent of the Fair Market Rent and for the suspension of certain PHA Section Eight Management Assistance Program (SEMAP) indicators, upon a finding by the Secretary, consistent with a process and criteria established by notice published in the Federal Register, that any such waivers or alternative requirements are necessary to reduce costs or for the effective delivery and administration of such funds.

SEC. 226. CAPITAL AND OPERATING FUND FLEXIBILITY.—A public housing agency may use operating reserve funds or any amounts allocated to the agency from funds appropriated under the heading "Public Housing Fund" in fiscal year 2021 or under the heading "Public Housing Operating Fund" in prior fiscal years, except for any set-asides listed under such headings, for any eligible activities under sections 9(d)(1) and 9(e)(1) of the United States Housing Act of 1937 (42 U.S.C. 1437g(d)(1) and (e)(1)). For funds appropriated under the heading "Public Housing Capital Fund" in prior fiscal years, except for any set-asides listed under such headings, a public housing agency may use any amounts allocated to the agency for any eligible activities under sections 9(d)(1) and 9(e)(1) of the United States Housing Act of 1937 (42 U.S.C. 1437g(d)(1) and (e)(1)).

SEC. 227. TRANSFER TO INFORMATION TECHNOLOGY FUND.—Of the amounts made available for salaries and expenses under all accounts under this title (except for the Office of Inspector General account), a total of up to \$10,000,000

may be transferred to and merged with amounts made available in the "Information Technology Fund" account under this title.

SEC. 228. CANCELLATION OF FUNDS.—Of the unobligated balances, including recaptures and carryover, available from amounts appropriated under the heading "Native Hawaiian Housing Loan Guarantee Fund Program Account" for the cost of guaranteed loans, \$2,000,000 shall be cancelled: Provided, That this cancellation shall not limit the authority to commit new loan guarantees under loan guarantee limitations provided in prior appropriations Acts.

SEC. 229. REPROGRAMMING REQUIREMENTS.—Except as otherwise provided in this Act, none of the funds provided in this title, provided by previous appropriations Acts to the Department of Housing and Urban Development that remain available for obligation or expenditure in fiscal year 2021, or provided from any accounts in the Treasury derived by the collection of fees and available to the Department of Housing and Urban Development, shall be available for obligation or expenditure through a reprogramming of funds that—

(1) For Program and Information Technology funds—

(A) initiates or creates a new program, project, or activity;

(B) eliminates a program, project, or activity;

(C) increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;

(D) proposes to use funds directed for a specific activity by either the House or Senate Committees on Appropriations for a different purpose;

(E) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less; or

(F) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less;

(2) For Salaries and Expenses funds—

(A) assigns personnel or hires to support the creation of a new program, project, or activity not previously included in the President's budget;

(B) increases the personnel or other resources for any program, project, or activity for which funds have been denied or restricted by the Congress;

(C) relocates or closes an office; or

(D) reorganizes an office, which shall include the transfer of any function from one office to another office;

unless the House and Senate Committees on Appropriations are consulted 15 days in advance of such reprogramming and are notified in writing 10 days in advance of such reprogramming.

SEC. 230. SUBMISSION OF REPORTS.—Not later than 60 days after the date of enactment of this Act, the Department of Housing and Urban Development shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: Provided, That the report shall include—

(1) a table for each appropriation with a separate column to display the prior year enacted level, the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(2) For program funds, a delineation in the table for each appropriation and its respective prior year enacted level by program, project, and activity as detailed in the budget appendix for the respective appropriation; and

(3) For salaries and expenses, an organizational chart for each office that includes detail to the branch level, and clearly identifies those "offices" to which section 229(2) shall be applied.

(Department of Housing and Urban Development Appropriations Act, 2020.)

DEPARTMENT OF THE INTERIOR

LAND AND MINERALS MANAGEMENT

BUREAU OF LAND MANAGEMENT

The Bureau of Land Management (BLM) is charged with the multiple use management of natural resources on more than 245 million acres of surface estate of public land, about one-eighth of the land in the United States. The BLM also administers approximately 700 million acres of on-shore Federal mineral estate underlying the BLM and other surface ownerships. In addition, the BLM has trust responsibilities on 56 million acres of Indian trust lands for mineral operations and cadastral (land) surveys. The lands managed by the BLM provide important natural resources, recreational and scenic values to the American people, as well as resource commodities and revenue to the Federal Government, States, and counties. It is the mission of the BLM to sustain the health, diversity, and productivity of the public lands for the use and enjoyment of present and future generations.

Federal Funds

MANAGEMENT OF LANDS AND RESOURCES

[(INCLUDING RESCISSION OF FUNDS)]

For necessary expenses for protection, use, improvement, development, disposal, cadastral surveying, classification, acquisition of easements and other interests in lands, and performance of other functions, including maintenance of facilities, as authorized by law, in the management of lands and their resources under the jurisdiction of the Bureau of Land Management, including the general administration of the Bureau, and assessment of mineral potential of public lands pursuant to section 1010(a) of Public Law 96-487 (16 U.S.C. 3150(a)), **[\$1,237,015,000]** \$1,101,853,000, to remain available until September 30, **[2021]** 2022; of which **[\$115,000,000]** \$85,550,000 for annual and deferred maintenance and **[\$101,555,000]** \$116,810,000 for the wild horse and burro program, as authorized by Public Law 92-195 (16 U.S.C. 1331 et **[sec.]** *seq.*), shall remain available until expended: *Provided*, **[That of the funds made available for the wild horse and burro program, \$21,000,000 shall not be available for obligation until 60 days after submission to the Congress of the detailed plan described in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided further*,]** That amounts in the fee account of the BLM Permit Processing Improvement Fund may be used for any bureau-related expenses associated with the processing of oil and gas applications for permits to drill and related use of authorizations.

In addition, **[\$40,196,000]** \$39,696,000 is for Mining Law Administration program operations, including the cost of administering the mining claim fee program, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation from mining claim maintenance fees and location fees that are hereby authorized for fiscal year **[2020]** 2021, so as to result in a final appropriation estimated at not more than **[\$1,237,015,000]** \$1,101,853,000, and \$2,000,000, to remain available until expended, from communication site rental fees established by the Bureau for the cost of administering communication site activities.

[Of the unobligated balances from amounts made available under this heading in fiscal year 2017 or before, \$19,000,000 is permanently rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.] (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-1109-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 Land resources	231	231	231
0012 Wildlife and fisheries	8	8	8
0013 Threatened and endangered species	1	1	1
0014 Recreation management	76	76	76
0015 Energy and minerals	204	204	204
0016 Realty and ownership management	79	79	79
0017 Resource protection	132	132	132
0018 Transportation and facilities maintenance	94	94	94
0020 Workforce and organizational support	166	166	166

0021 Aquatic resources management	54	54	54
0022 Wildlife habitat management	124	124	124
0030 National Monuments & NCA	41	41	41
0799 Total direct obligations	1,210	1,210	1,210
0801 Management of Lands and Resources (Reimbursable)	19	19	19
0802 Communication site rental fees (R)	2	2	2
0803 Mining law administration (R)	43	43	43
0805 Cadastral reimbursable program	7	7	7
0899 Total reimbursable obligations	71	71	71
0900 Total new obligations, unexpired accounts	1,281	1,281	1,281
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	210	242	294
1021 Recoveries of prior year unpaid obligations	45	45	45
1050 Unobligated balance (total)	255	287	339
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,198	1,237	1,102
1131 Unobligated balance of appropriations permanently reduced		-19	
1160 Appropriation, discretionary (total)	1,198	1,218	1,102
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Mining law and Comm Sites)	44	44	42
1700 Offsetting collections (Economy Act)	26	26	34
1750 Spending auth from offsetting collections, disc (total)	70	70	76
1900 Budget authority (total)	1,268	1,288	1,178
1930 Total budgetary resources available	1,523	1,575	1,517
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	242	294	236
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	562	624	572
3010 New obligations, unexpired accounts	1,281	1,281	1,281
3020 Outlays (gross)	-1,174	-1,288	-1,292
3040 Recoveries of prior year unpaid obligations, unexpired	-45	-45	-45
3050 Unpaid obligations, end of year	624	572	516
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-32	-32	-32
3090 Uncollected pymts, Fed sources, end of year	-32	-32	-32
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	530	592	540
3200 Obligated balance, end of year	592	540	484
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,268	1,288	1,178
Outlays, gross:			
4010 Outlays from new discretionary authority	784	972	887
4011 Outlays from discretionary balances	390	316	405
4020 Outlays, gross (total)	1,174	1,288	1,292
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-26	-26	-42
4033 Non-Federal sources	-44	-44	-34
4040 Offsets against gross budget authority and outlays (total)	-70	-70	-76
4070 Budget authority, net (discretionary)	1,198	1,218	1,102
4080 Outlays, net (discretionary)	1,104	1,218	1,216
4180 Budget authority, net (total)	1,198	1,218	1,102
4190 Outlays, net (total)	1,104	1,218	1,216
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	4	4	4
5092 Unexpired unavailable balance, EOY: Offsetting collections	4	4	4

Land resources.—Provides for the integrated management of public land resources, including forestry, rangeland, and cultural resources, as well as wild horses and burros.

Wildlife and aquatic habitat management.—This activity encompasses programs that provide for the maintenance, improvement, or enhancement of wildlife habitats; the protection, conservation, consultation, recovery, and evaluation of populations and habitats of threatened, endangered and

MANAGEMENT OF LANDS AND RESOURCES—Continued

special status animal and plant species; as well as the management of water resources and riparian and wetlands areas, as part of the management of public lands and ecosystems.

Recreation management.—Provides for management and protection of recreational resource values, designated and potential wilderness areas, and collection and expenditure of recreation user fees.

Energy and minerals management.—Provides for management of onshore oil and gas; coal; renewable energy resources such as wind, solar, and geothermal energy; other leasable minerals and mineral materials activities; and the administration of encumbrances on the mineral estate on Federal and Indian lands. The 2021 Budget funds oil and gas management activities through a combination of direct appropriations and permanent appropriations authorized by the National Defense Authorization Act of 2015.

Realty and ownership management.—Provides for management and non-reimbursable processing of authorizations and compliance for realty actions and rights-of-way (including Alaska), administration of land title records and completion of cadastral surveys on public lands.

Communication site management.—This program grants and administers authorizations for communications sites; develops site management plans to guide users and analyze the impacts of communication structures on the sites and the surrounding lands; and conducts facility compliance inspections. Program costs are expected to be fully offset by site rental fees in 2021.

Resource protection.—Provides for management of the land use planning and National Environmental Policy Act processes, including assessment and monitoring activities. Also ensures the health and safety of users of the public lands through remediation of abandoned mine lands and protection from criminal and other unlawful activities; mitigation of the effects of hazardous material and/or waste and physical safety hazards.

Transportation and facilities management.—Provides for construction and maintenance of administrative and recreation sites, roads, trails, bridges and dams, including compliance with building codes and standards and environmental protection requirements. These funds allow for the systematic management of facilities with critical health and safety concerns, and ensure the protection of natural and cultural resources and the environment. The Bureau of Land Management funds all construction and deferred maintenance projects from this activity, including those on the Oregon and California grant lands.

National Conservation Lands.—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations in the National Conservation Lands. The program provides for the recurring operational (base) budgets of these units.

Workforce and organizational support.—Provides for the management of bureau business practices, such as human resources, Equal Employment Opportunity, financial resources, procurement, property, information technology, and fixed costs.

Mining law administration.—Provides for exploration and development of minerals on public lands pursuant to the General Mining Law of 1872, including validity examinations, patent application reviews, enforcement of environmental and bonding requirements, and recordation of mining claims. Program costs are expected to be fully offset by claim maintenance and other fees in 2021.

Object Classification (in millions of dollars)

Identification code 014–1109–0–1–302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	420	420	420
11.3 Other than full-time permanent	13	13	13
11.5 Other personnel compensation	20	20	20
11.9 Total personnel compensation	453	453	453
12.1 Civilian personnel benefits	136	136	136
21.0 Travel and transportation of persons	18	18	18
22.0 Transportation of things	4	4	4
23.1 Rental payments to GSA	32	32	32

23.2 Rental payments to others	27	27	27
23.3 Communications, utilities, and miscellaneous charges	26	26	26
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	38	38	38
25.2 Other services from non-Federal sources	194	194	194
25.3 Other goods and services from Federal sources	114	114	114
25.4 Operation and maintenance of facilities	19	19	19
25.5 Research and development contracts	6	6	6
25.7 Operation and maintenance of equipment	15	15	15
26.0 Supplies and materials	26	26	26
31.0 Equipment	19	19	19
32.0 Land and structures	15	15	15
41.0 Grants, subsidies, and contributions	66	66	66
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	1,210	1,210	1,210
99.0 Reimbursable obligations	71	71	71
99.9 Total new obligations, unexpired accounts	1,281	1,281	1,281

Employment Summary

Identification code 014–1109–0–1–302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5,251	5,208	5,214
2001 Reimbursable civilian full-time equivalent employment	414	414	414
3001 Allocation account civilian full-time equivalent employment	2,276	2,343	2,635

CONSTRUCTION

[(INCLUDING RESCISSION OF FUNDS)]

Of the unobligated balances from amounts made available under this heading \$5,400,000 is permanently rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014–1110–0–1–302	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-5
1930 Total budgetary resources available	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	-5
4180 Budget authority, net (total)	-5
4190 Outlays, net (total)

OREGON AND CALIFORNIA GRANT LANDS

For expenses necessary for management, protection, and development of resources and for construction, operation, and maintenance of access roads, reforestation, and other improvements on the revested Oregon and California Railroad grant lands, on other Federal lands in the Oregon and California land-grant counties of Oregon, and on adjacent rights-of-way; and acquisition of lands or interests therein, including existing connecting roads on or adjacent to such grant lands; **[\$112,094,000] \$112,809,000**, to remain available until expended: *Provided*, That 25 percent of the aggregate of all receipts during the current fiscal year from the revested Oregon and California Railroad grant lands is hereby made a charge against the Oregon and California land-grant fund and shall be transferred to the General Fund in the Treasury in accordance with the second paragraph of subsection (b) of title II of the Act of August 28, 1937 (43 U.S.C. 2605). (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-1116-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Western Oregon Resource Management	97	97	97
0005 Western Oregon Data Systems Operation & Management	2	2	2
0006 Western Oregon National Monuments & NCA	1	1	1
0007 Western Oregon Transportation and Facilities Maintenance	10	10	10
0900 Total new obligations, unexpired accounts	110	110	110
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	10
1021 Recoveries of prior year unpaid obligations	3	5	5
1050 Unobligated balance (total)	6	8	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	107	112	113
1930 Total budgetary resources available	113	120	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	10	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	46	42	36
3010 New obligations, unexpired accounts	110	110	110
3020 Outlays (gross)	-111	-111	-115
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-5	-5
3050 Unpaid obligations, end of year	42	36	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	46	42	36
3200 Obligated balance, end of year	42	36	26
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	107	112	113
Outlays, gross:			
4010 Outlays from new discretionary authority	82	83	84
4011 Outlays from discretionary balances	29	28	31
4020 Outlays, gross (total)	111	111	115
4180 Budget authority, net (total)	107	112	113
4190 Outlays, net (total)	111	111	115

Western Oregon resources management.—Provides for the management of approximately 2.4 million acres of lands that are primarily forested ecosystems in western Oregon. These lands support a number of resource activities including timber management, grazing management, and recreation management. In support of these activities, the Bureau of Land Management (BLM) is involved in improving critical watersheds, restoring wildlife and fish habitat, providing recreation opportunities, and preserving cultural resources.

Western Oregon information and resource data systems.—Provides for the acquisition, operation, and maintenance of the automated data support systems and spatial data systems required for management of the Oregon and California programs.

Western Oregon transportation and facilities maintenance.—Provides for maintenance activities of the transportation system, office buildings, warehouse and storage structures, shops, greenhouses, and recreation sites necessary to assure public safety and effective management of the lands in western Oregon. The BLM funds deferred maintenance projects on Oregon and California Grant Lands from the Management of Lands and Resources appropriation.

Western Oregon acquisition.—Provides for the necessary acquisition of easements and road-use agreements to facilitate timber sale and administrative site access for general resource management purposes and for monitoring and fee collection of timber hauling on government controlled roads. This activity also provides for transportation planning, survey, and design of access and other resource management roads.

Western Oregon National Conservation Lands.—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations on the National Conservation

Lands. The program provides for the recurring operational (base) budgets of these National Conservation Lands units.

Object Classification (in millions of dollars)

Identification code 014-1116-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	48	48
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	54	54	54
12.1 Civilian personnel benefits	17	17	17
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	16	16	16
25.3 Other goods and services from Federal sources	7	7	7
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations, unexpired accounts	110	110	110

Employment Summary

Identification code 014-1116-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	690	690	690

ABANDONED WELL REMEDIATION FUND

Program and Financing (in millions of dollars)

Identification code 014-2640-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Abandoned Well Remediation Fund (Direct)	4
0900 Total new obligations, unexpired accounts (object class 25.2)	4
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	4
1930 Total budgetary resources available	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	7
3010 New obligations, unexpired accounts	4
3020 Outlays (gross)	-7
3050 Unpaid obligations, end of year	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	7
3200 Obligated balance, end of year	7
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4
Outlays, gross:			
4101 Outlays from mandatory balances	7
4180 Budget authority, net (total)	4
4190 Outlays, net (total)	7

Abandoned Well Remediation Fund.—Section 10, paragraph (b) of Public Law 113-40, 127 Stat. 545, provided mandatory appropriated funds to remediate, reclaim, and close abandoned oil and gas wells on current or former National Petroleum Reserve land in 2014, 2015, and 2019.

LAND ACQUISITION

(INCLUDING [RESCISSION] CANCELLATION OF FUNDS)

For expenses necessary to carry out sections 205, 206, and 318(d) of Public Law 94-579, including administrative expenses and acquisition of lands or waters, or interests therein, [\$32,300,000] \$3,000,000, to be derived from the Land and Water Conservation Fund and to remain available until expended.

Of the unobligated balances from amounts made available for Land Acquisition and derived from the Land and Water Conservation Fund, [\$2,367,000] \$8,000,000 is hereby permanently [rescinded from projects with cost savings or failed or partially failed projects] cancelled: *Provided*, That no amounts may be [rescinded] cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-5033-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Land acquisition	21	21	6
0002 Acquisition management	1	1	1
0900 Total new obligations, unexpired accounts	22	22	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	80	84	92
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	28	32	3
1131 Unobligated balance of appropriations permanently reduced	-2	-2	-8
1160 Appropriation, discretionary (total)	26	30	-5
1930 Total budgetary resources available	106	114	87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	84	92	80
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	8	13
3010 New obligations, unexpired accounts	22	22	7
3020 Outlays (gross)	-18	-17	-4
3050 Unpaid obligations, end of year	8	13	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	8	13
3200 Obligated balance, end of year	8	13	16
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	26	30	-5
Outlays, gross:			
4010 Outlays from new discretionary authority	2	4	-7
4011 Outlays from discretionary balances	16	13	11
4020 Outlays, gross (total)	18	17	4
4180 Budget authority, net (total)	26	30	-5
4190 Outlays, net (total)	18	17	4

This appropriation provides for the acquisition of lands or interests in lands, by purchase, easement or exchange, when necessary for public access and recreation use, preservation of open space, resource protection, and/or other purposes related to the management of public lands. The 2021 budget invests funding in a limited number of small projects that substantially add to or improve access to unbroken spaces to recreate, hunt, and fish. The Budget also proposes a partial cancellation of prior year balances.

Object Classification (in millions of dollars)

Identification code 014-5033-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1
25.2 Other services from non-Federal sources	7	7	4
32.0 Land and structures	14	14	3
99.9 Total new obligations, unexpired accounts	22	22	7

Employment Summary

Identification code 014-5033-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	6

RANGE IMPROVEMENTS

For rehabilitation, protection, and acquisition of lands and interests therein, and improvement of Federal rangelands pursuant to section 401 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), notwithstanding any other Act, sums equal to 50 percent of all moneys received during the prior fiscal year under sections 3 and 15 of the Taylor Grazing Act (43 U.S.C. 315b, 315m) and the amount designated for range improvements from grazing fees and mineral leasing receipts from Bankhead-Jones lands transferred to the Department of the Interior pursuant to law, but not less than \$10,000,000, to remain available until expended: *Provided*, That not to exceed \$600,000 shall be available for administrative expenses. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5132-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	4	5	5
Receipts:			
Current law:			
1130 Grazing Fees for Range Improvements, Taylor Grazing Act, As Amended	9	8	9
2000 Total: Balances and receipts	13	13	14
Appropriations:			
Current law:			
2101 Range Improvements	-9	-9	-8
2132 Range Improvements	1	1
2199 Total current law appropriations	-8	-8	-8
2999 Total appropriations	-8	-8	-8
5099 Balance, end of year	5	5	6

Program and Financing (in millions of dollars)

Identification code 014-5132-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public Lands Improvements	8	8	9
0002 Farm Tenant Act Lands Improvements	1	1	1
0900 Total new obligations, unexpired accounts	9	9	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (General Fund)	1	1	2
1201 Appropriation (special or trust fund)	9	9	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1260 Appropriations, mandatory (total)	9	9	10
1930 Total budgetary resources available	16	16	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	7
3010 New obligations, unexpired accounts	9	9	10
3020 Outlays (gross)	-8	-8	-10
3050 Unpaid obligations, end of year	6	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	7
3200 Obligated balance, end of year	6	7	7
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	9	9	10
Outlays, gross:			
4100 Outlays from new mandatory authority	2	4	4

4101	Outlays from mandatory balances	6	4	6
4110	Outlays, gross (total)	8	8	10
4180	Budget authority, net (total)	9	9	10
4190	Outlays, net (total)	8	8	10

This appropriation is derived from a percentage of receipts from grazing of livestock on the public lands and from grazing and mineral leasing receipts on Bankhead-Jones Farm Tenant Act lands transferred from the Department of Agriculture by various Executive Orders. These funds are used for the planning, construction, development, and monitoring of range improvements.

Object Classification (in millions of dollars)

Identification code 014-5132-0-2-302	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	1	1	1
26.0	Supplies and materials	2	2	2
32.0	Land and structures	1	1	1
41.0	Grants, subsidies, and contributions	2	2	3
99.9	Total new obligations, unexpired accounts	9	9	10

Employment Summary

Identification code 014-5132-0-2-302	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	20	20	20

SERVICE CHARGES, DEPOSITS, AND FORFEITURES

For administrative expenses and other costs related to processing application documents and other authorizations for use and disposal of public lands and resources, for costs of providing copies of official public land documents, for monitoring construction, operation, and termination of facilities in conjunction with use authorizations, and for rehabilitation of damaged property, such amounts as may be collected under Public Law 94-579 (43 U.S.C. 1701 et seq.), and under section 28 of the Mineral Leasing Act (30 U.S.C. 185), to remain available until expended: *Provided*, That notwithstanding any provision to the contrary of section 305(a) of Public Law 94-579 (43 U.S.C. 1735(a)), any moneys that have been or will be received pursuant to that section, whether as a result of forfeiture, compromise, or settlement, if not appropriate for refund pursuant to section 305(c) of that Act (43 U.S.C. 1735(c)), shall be available and may be expended under the authority of this Act by the Secretary to improve, protect, or rehabilitate any public lands administered through the Bureau of Land Management which have been damaged by the action of a resource developer, purchaser, permittee, or any unauthorized person, without regard to whether all moneys collected from each such action are used on the exact lands damaged which led to the action: *Provided further*, That any such moneys that are in excess of amounts needed to repair damage to the exact land for which funds were collected may be used to repair other damaged public lands. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5017-0-2-302	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			
Receipts:				
Current law:				
1130	Service Charges, Deposits, and Forfeitures, BLM	35	26	27
2000	Total: Balances and receipts	35	26	27
Appropriations:				
Current law:				
2101	Service Charges, Deposits, and Forfeitures	-35	-26	-27
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-5017-0-2-302	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Right-of-way processing	15	9	9
0004	Energy and minerals cost recovery	3	3	3
0005	Wild horse and burro cost recover	1	1	1
0006	Repair of damaged lands	3	4	4
0007	Cost recoverable realty	1	1	1
0008	Recreation cost recovery	3	3	3
0009	Copy fees	1	1	1
0011	Trans Alaska Pipeline Authority	2	3	3
0900	Total new obligations, unexpired accounts	29	25	25

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	57	63	64
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	35	26	27
1930	Total budgetary resources available	92	89	91
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	63	64	66

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5	10	
3010	New obligations, unexpired accounts	29	25	25
3020	Outlays (gross)	-24	-35	-25
3050	Unpaid obligations, end of year	10		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	10	
3200	Obligated balance, end of year	10		

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	35	26	27
Outlays, gross:				
4010	Outlays from new discretionary authority	10	10	11
4011	Outlays from discretionary balances	14	25	14
4020	Outlays, gross (total)	24	35	25
4180	Budget authority, net (total)	35	26	27
4190	Outlays, net (total)	24	35	25

This appropriation is derived from: 1) revenues received to offset administrative and other costs incurred to process applications for rights-of-way, and the monitoring of construction, operation, and termination of rights-of-ways; 2) recovery of costs associated with the adopt-a-horse program; 3) revenues received for rehabilitation of damages to lands, resources, and facilities; 4) fees for processing specified categories of realty actions under the Federal Land Policy Management Act; 5) deposits received from contractors in lieu of completing contract requirements such as slash burning and timber extension expenses; 6) fees for costs of reproduction and administrative services involved in providing requested copies of materials; 7) fixed fees for energy and minerals lease applications, assignments, and transfers; 8) costs of processing applications and administering permits, including environmental analysis and monitoring of special recreation permits; and, 9) rents received for permits to do commercial filming and photography on public lands. The Bureau of Land Management will continue to seek new opportunities to recover costs of services provided to benefiting public land users to reduce the need for direct appropriations from the Treasury.

Object Classification (in millions of dollars)

Identification code 014-5017-0-2-302	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	11	11	11
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	12	12	12
12.1	Civilian personnel benefits	4	4	4
21.0	Travel and transportation of persons	1	1	1
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	6	2	2

SERVICE CHARGES, DEPOSITS, AND FORFEITURES—Continued

Object Classification—Continued

Identification code 014-5017-0-2-302	2019 actual	2020 est.	2021 est.
25.3 Other goods and services from Federal sources	3	3	3
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	29	25	25

Employment Summary

Identification code 014-5017-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	135	135	135

PERMANENT OPERATING FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9926-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	69	72	77
Receipts:			
Current law:			
1130 Deposits for Road Maintenance and Reconstruction	3	3	3
1130 Rents and Charges for Quarters, Bureau of Land Management, Interior	1	1	1
1130 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber	6	7	
1130 Land Sales, Southern Nevada Public Land Management	92	130	28
1130 Timber Sale Pipeline Restoration Fund	2	1	2
1130 Surplus Land Sales, Federal Land Disposal Account		10	2
1130 Recreation Enhancement Fee, BLM	27	27	28
1130 Rent from Mineral Leases, Permit Processing Improvement Fund	7	10	9
1130 Oil and Gas Permit Processing Fee - 85%	44	45	45
1130 Oil and Gas Permit Processing Fee - 15%	7		
1140 Earnings on Investments, Southern Nevada Public Land Management	19	18	17
1140 Interest, Lincoln County Land Act Land Sales	1	1	1
1199 Total current law receipts	209	253	136
1999 Total receipts	209	253	136
2000 Total: Balances and receipts	278	325	213
Appropriations:			
Current law:			
2101 Permanent Operating Funds	-27	-27	-28
2101 Permanent Operating Funds	-6	-7	
2101 Permanent Operating Funds	-2	-1	-2
2101 Permanent Operating Funds	-3	-3	-3
2101 Permanent Operating Funds	-92	-130	-27
2101 Permanent Operating Funds	-19	-18	-17
2101 Permanent Operating Funds	-51	-54	-53
2101 Permanent Operating Funds	-1	-1	-1
2101 Permanent Operating Funds	-1	-1	-1
2101 Permanent Operating Funds		-10	
2103 Permanent Operating Funds	-15	-11	-15
2132 Permanent Operating Funds	11	15	
2199 Total current law appropriations	-206	-248	-147
2999 Total appropriations	-206	-248	-147
5099 Balance, end of year	72	77	66

Program and Financing (in millions of dollars)

Identification code 014-9926-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Forest ecosystem health and recovery fund	10	8	9
0002 Recreation fee demonstration	27	26	26
0003 Expenses, road maintenance deposits	4	5	7
0004 Timber sale pipeline restoration fund	3	7	8
0005 Southern Nevada public land sales (85)	36	40	50
0008 Lincoln County Lands Act	2	2	2
0013 Operation and maintenance of quarters	1	1	1
0014 Permit Processing Improvement Fund	29	38	46
0019 Washington County, Utah Land Acquisition Account		1	1

0900 Total new obligations, unexpired accounts	112	128	150
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Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	905	1,002	1,122
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	908	1,002	1,122
Budget authority:			
Appropriations, mandatory:			
1201 Recreation fee demonstration program	27	27	28
1201 Forest ecosystem health and recovery fund	6	7	
1201 Timber sales pipeline restoration fund	2	1	2
1201 Expenses, road maintenance deposits	3	3	3
1201 S. Nevada public land management	92	130	27
1201 S. Nevada public land management-interest earned	19	18	17
1201 Permit processing improvement fund	51	54	53
1201 Operation and maintenance of quarters	1	1	1
1201 Lincoln Cty. land sales	1	1	1
1201 Federal Lands Disposal Account		10	
1203 Appropriation (previously unavailable)(special or trust)	15	11	15
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-11	-15	
1260 Appropriations, mandatory (total)	206	248	147
1900 Budget authority (total)	206	248	147
1930 Total budgetary resources available	1,114	1,250	1,269
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,002	1,122	1,119

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	108	116	69
3010 New obligations, unexpired accounts	112	128	150
3020 Outlays (gross)	-101	-175	-219
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	116	69	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	108	116	69
3200 Obligated balance, end of year	116	69	

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	206	248	147
Outlays, gross:			
4100 Outlays from new mandatory authority	25	48	40
4101 Outlays from mandatory balances	76	127	179
4110 Outlays, gross (total)	101	175	219
4180 Budget authority, net (total)	206	248	147
4190 Outlays, net (total)	101	175	219

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	888	961	1,024
5001 Total investments, EOY: Federal securities: Par value	961	1,024	1,182

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	206	248	147
Outlays	101	175	219
Legislative proposal, subject to PAYGO:			
Budget Authority			-83
Outlays			-83
Total:			
Budget Authority	206	248	64
Outlays	101	175	136

Permanent operating funds accounts include:

Operations and maintenance of quarters.—Funds in this account are used to maintain and repair the Bureau of Land Management (BLM) employee-occupied quarters from which rental charges are collected. Agencies are required to collect rental charges from employees who occupy Government-owned housing and quarters. This housing is provided only in isolated areas or where an employee is required to live on-site at a Federally owned facility or reservation.

Forest ecosystems health and recovery.—The Forest Ecosystems Health and Recovery Fund was established as a permanent appropriation in the FY 1993 Interior Appropriations Act (Public Law 102-381). This authority was subsequently amended to temporarily expand the use of the Fund

to cover additional forest health and recovery activities. The most recent reauthorization was included in the FY 2015 Consolidated and Further Continuing Appropriations Act (Public Law 113–235) and expires at the end of FY 2020. The FY 2021 President's Budget proposes language to extend the authority for the FEHRF to continue to be used for the broader forest health and recovery activities. The proposal extends this authority for five years. Without this reauthorization, funds in the FEHRF could only be used for the limited purposes provided for in the original FEHRF authorization. Funds in this account are derived from revenue generated from the Federal share of receipts from the sale of salvage timber from the Oregon and California grant lands, public domain lands, and Coos Bay Wagon Road lands. Pursuant to Public Law 102–381, as amended, this account was established to allow the BLM to more efficiently and effectively address forest health issues. Funds can be used for other forest health purposes, including vegetation and density control treatments.

Timber sale pipeline restoration fund.—This Fund provides for the deposit and use of fees collected by the BLM for sales of timber authorized by section 2001(k) of Public Law 104–19. Of the total deposited into this account, 75 percent is to be used for the preparation of timber sales to fill the timber pipeline on lands administered by the BLM, and 25 percent is to be used to address recreation projects on the BLM lands.

Stewardship contract product sales.—Stewardship contracting improves, maintains, or restores forest and rangeland health; restores or maintains water quality; improves fish and wildlife habitat; reestablishes native plant species and increases their resilience to insects and disease; and reduces hazardous fuels that pose risks to communities and ecosystem values. With stewardship contracting, the BLM may apply the value of timber or other forest products removed as an offset against the cost of services received, and monies from a contract may be retained by the BLM. These monies are available for expenditure without further appropriation at project sites.

Expenses, road maintenance deposits.—Users of certain roads under the BLM's jurisdiction make deposits for maintenance purposes. Moneys collected are appropriated for necessary road maintenance. Moneys collected on Oregon and California grant lands are available only for those lands (43 U.S.C. 1762(c), 43 U.S.C. 1735(b)).

Federal Lands Recreation Enhancement Act, BLM.—The Federal Lands Recreation Enhancement Act (FLREA) was enacted on December 8, 2004, as part of the Consolidated Appropriations Act for 2005. All recreation fee receipts collected under this authority at BLM sites are deposited in the Recreation Fee account. The BLM returns 100 percent of these receipts back to the site where the fees were generated. The budget proposes to reauthorize FLREA, which expires October 1, 2021. As a precaution, the budget also proposes appropriations language to provide a 2-year extension of FLREA through September 2023.

Operations and acquisitions in Nevada from land sale receipts.—Pursuant to Public Law 105–263, 85 percent of receipts from sales of public domain lands in southern Nevada are used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, the U.S. Fish and Wildlife Service and BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse the BLM for costs incurred arranging sales and exchanges under the Act.

Lincoln County Land Sales Act.—Public Law 106–298 authorizes the Secretary to dispose of certain lands in Lincoln County, Nevada, and distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the county; and 85 percent to an interest bearing account available for expenditure without further appropriation to be used by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeolo-

gical resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

White Pine County Land Sales Act.—Public Law 109–432 authorizes the Secretary to dispose of certain lands in White Pine County, Nevada, and to distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the county; and 85 percent to an account available for expenditure without further appropriation for the management of archaeological resources, wilderness protection, recreation activities, preparation of a management plan, reimbursement for sale costs, and other purposes.

Leases from Naval Petroleum Reserve No 2.—The 2005 Energy Policy Act established this Fund for environmental investigation and restoration on that site located in Kern County, California. A portion of revenue from new leases on the site is authorized to be deposited to this account. In 2008, it was certified that sufficient funds had been collected to cover the cost of the cleanup and other expenses and no more deposits were to be made to the Fund. New revenue from site operations is distributed under the Mineral Leasing Act.

BLM Permit Processing Improvement Fund.—The 2005 Energy Policy Act, as amended by the National Defense Authorization Act for Fiscal Year 2015, established pilot offices to improve interagency coordination in processing onshore Federal oil and gas permits. Fifty-percent of the rents from non-geothermal onshore mineral leases are authorized to be deposited in this Fund and used to facilitate the BLM oil and gas permit processing in these pilot offices. In addition, in 2016 through 2026, fees collected for processing applications for permits to drill will be deposited to this Fund.

Federal land disposal.—The Federal Land Transaction Facilitation Act, Public Law 106–248 (114 Stat. 613), provided authority for the BLM to sell public lands classified as suitable for disposal under resource management plans in effect at the time of enactment. This law provided that receipts from such sales could be used to acquire non-Federal lands with significant resource values that fall within the boundaries of areas now managed by the Department of the Interior. This authority expired on July 25, 2010, and was later reauthorized through July 25, 2011. All funds not obligated by both expiration dates were transferred to the Land and Water Conservation Fund. The Federal Land Transaction Facilitation Act was permanently reauthorized by Public Law 115–141, the 2018 Consolidated Appropriations Act.

Owyhee Land Acquisition Account.—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1039), provides that the Secretary may sell public land located within the Boise District of the BLM that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to certain wilderness areas.

Washington County, Utah Land Acquisition Account.—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1091), authorizes the sale of public land located within Washington County, Utah, that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land, in or adjacent to certain wilderness areas.

Silver Saddle Endowment Account.—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1114), requires Carson City, Nevada to deposit twenty-five percent of the difference between what the Secretary of the Interior and the City paid for the 62-acre Bernhard parcel before the Secretary conveys the land to the City. Amounts deposited in the account shall be available to the Secretary, without further appropriation, for the oversight and enforcement of a certain conservation easement.

Carson City Special Account.—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1113), authorizes the sale of 158 acres of public land described in the statute. Five percent of the

PERMANENT OPERATING FUNDS—Continued

proceeds are paid to the State of Nevada for use for public education. The remainder is deposited to this account and used to acquire environmentally sensitive land or an interest in environmentally sensitive land in Carson City; to cover the cost of surveys and appraisals; and to reimburse the BLM for administrative expenses.

Ojito Land Acquisition.—The Ojito Wilderness Act authorized the sale of land to the Pueblo Indian Tribe and the purchase of land from willing sellers within the State of New Mexico.

Object Classification (in millions of dollars)

Identification code 014-9926-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	34	34	36
11.3 Other than full-time permanent	3	4	5
11.5 Other personnel compensation	2	2	3
11.9 Total personnel compensation	39	40	44
12.1 Civilian personnel benefits	11	15	16
21.0 Travel and transportation of persons	2	2	2
23.2 Rental payments to others	1	1	2
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	27	18	20
25.3 Other goods and services from Federal sources	8	10	10
25.4 Operation and maintenance of facilities	6	5	6
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	1	1	1
32.0 Land and structures	3	1	1
41.0 Grants, subsidies, and contributions	8	28	41
99.9 Total new obligations, unexpired accounts	112	128	150

Employment Summary

Identification code 014-9926-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	528	571	571

PERMANENT OPERATING FUNDS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014-9926-4-2-302	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced			-83
1930 Total budgetary resources available			-83
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-83
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)			83
3050 Unpaid obligations, end of year			83
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			83
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-83
Outlays, gross:			
4101 Outlays from mandatory balances			-83
4180 Budget authority, net (total)			-83
4190 Outlays, net (total)			-83

Cancel Southern Nevada Public Land Management Act Account Balances.—The Budget assumes cancellation of \$230 million in unobligated balances in the Southern Nevada Public Land Management Act special account, which was enacted in 1998 to authorize the Bureau of Land Management to sell specified public lands around Las Vegas and retain

the proceeds for capital improvements and various conservation, restoration, and recreational purposes.

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9921-0-2-999	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	77	82	85
Receipts:			
Current law:			
1130 Receipts from Grazing, Etc., Public Lands outside Grazing Districts	-2	1	2
1130 Receipts from Grazing, Etc., Public Lands within Grazing Districts		2	2
1130 Payments to States and Counties from Land Sales	9	24	6
1130 Funds Reserved, Title II Projects on Federal Lands	3		
1130 Sale of Public Lands and Materials	1		
1130 Oregon and California Land-grant Fund	7		
1130 Deposits, Oregon and California Grant Lands	27	22	16
1130 Coos Bay Wagon Road Grant Fund	1		
1130 Funds Reserved, Coos Bay Wagon Road Grant Lands		3	2
1199 Total current law receipts	46	52	28
1999 Total receipts	46	52	28
2000 Total: Balances and receipts	123	134	113
Appropriations:			
Current law:			
2101 Miscellaneous Permanent Payment Accounts	-1	-1	-1
2101 Miscellaneous Permanent Payment Accounts	-1	-1	-1
2101 Miscellaneous Permanent Payment Accounts	-2	-2	-2
2101 Miscellaneous Permanent Payment Accounts	-8	-23	-5
2101 Miscellaneous Permanent Payment Accounts		-22	-16
2101 Miscellaneous Permanent Payment Accounts		-2	-2
2101 Miscellaneous Permanent Payment Accounts	-27		
2101 Miscellaneous Permanent Payment Accounts	-3		
2103 Miscellaneous Permanent Payment Accounts		-1	
2132 Miscellaneous Permanent Payment Accounts	1	3	
2199 Total current law appropriations	-41	-49	-27
2999 Total appropriations	-41	-49	-27
5099 Balance, end of year	82	85	86

Program and Financing (in millions of dollars)

Identification code 014-9921-0-2-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to O&C Counties, Title I/III 5884	28		
0004 From grazing fees, etc., public lands outside grazing districts 5016	1	1	1
0005 From grazing fees, etc., public lands within grazing districts 5032	1	2	2
0009 Proceeds from sales 5133	1	1	1
0013 Payments to State and Counties from Nevada Land Sales	8	22	5
0014 Payments to O&C counties under 1937 statute		21	16
0015 Payments to CBWR counties under 1939 statute		2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	39	49	27
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	12	12
Budget authority:			
Appropriations, mandatory:			
1201 Proceeds of sales-payments to states	1	1	1
1201 Payments from grazing fees outside grazing districts	1	1	1
1201 Payments from grazing fees within grazing districts	2	2	2
1201 Payments from Nevada Land Sales	8	23	5
1201 Payments to O&C Grants lands counties under 1937 statute		22	16
1201 Payments to CBWR counties under 1939 statute		2	2
1201 Payments to O&C Counties, Title I/III 5884	27		
1201 Payment to O&C and CBWR Counties, Title II 5485	3		
1203 Appropriation (previously unavailable)(special or trust)		1	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-3	
1260 Appropriations, mandatory (total)	41	49	27
1930 Total budgetary resources available	51	61	39

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	12	12 12
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	2 2
3010	New obligations, unexpired accounts	39	49 27
3020	Outlays (gross)	-40	-49 -29
3050	Unpaid obligations, end of year	2	2
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3	2 2
3200	Obligated balance, end of year	2	2
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	41	49 27
Outlays, gross:			
4100	Outlays from new mandatory authority		39 22
4101	Outlays from mandatory balances	40	10 7
4110	Outlays, gross (total)	40	49 29
4180	Budget authority, net (total)	41	49 27
4190	Outlays, net (total)	40	49 29

Miscellaneous permanent payments include:

Payments for Oregon and California and Coos Bay Wagon Road grant lands, receipts.—The Secure Rural Schools and Community Self-Determination Act of 2000 (as amended by P.L. 116–93, the Further Consolidated Appropriations Act, 2020), provides annual revenue sharing payments to the 18 O&C counties. These payments are derived from revenues from Federal activities on O&C lands in the previous fiscal year that were not deposited to permanent operating funds, supplemented by amounts from the General Fund when necessary. The reauthorization of these payments by Title III of P.L. 116–93 authorizes SRS payments for 2019 and 2020. In the absence of this authority in 2021 and beyond, eligible counties will receive funds authorized under 1937 and 1939 statutes. Payments to the Oregon counties under the 1937 statute will be 50 percent of revenues from O&C grant lands. Payments under the 1939 statute are for lost tax revenue in two Oregon counties and are estimated to be 75 percent of all revenues from Coos Bay Wagon Road grant lands.

Payments to States (proceeds of sales).—States are paid five percent of the net proceeds from the sale of public land and public land products (31 U.S.C. 1305).

Payments to States from grazing receipts, etc, public lands outside grazing districts.—States are paid 50 percent of the grazing receipts from public lands outside of grazing districts (43 U.S.C. 315i, 315m).

Payments to States from grazing receipts, etc, public lands within districts.—States are paid 12.5 percent of grazing receipts from public lands inside grazing districts (43 U.S.C. 315b, 315i).

Payments to States from grazing receipts, etc, public lands within grazing districts, miscellaneous.—States are paid specifically determined amounts from grazing receipts derived from miscellaneous lands within grazing districts when payment is not feasible on a percentage basis (43 U.S.C. 315).

Payments to counties, National Grasslands.—Of the revenues received from the use of Bankhead-Jones Act lands administered by the Bureau of Land Management (BLM), 25 percent is paid to the counties in which such lands are situated, for school and road purposes (7 U.S.C. 1012).

Payments to Nevada from receipts on land sales.—Public Law 96–586 authorizes and directs the Secretary to sell not more than 700 acres of public lands per calendar year in and around Las Vegas, Nevada, the proceeds of which are to be used to acquire environmentally sensitive lands in the Lake Tahoe Basin of California and Nevada. Annual revenues are distributed to the State of Nevada (five percent) and the county in which the land is located (10 percent).

Public Law 105–263, as amended by Public Law 107–282, authorizes the disposal through sale of approximately 49,000 acres in Clark County Nevada, the proceeds of which are to be distributed as follows: a) five percent for use in the general education program of the State of Nevada; b) 10 percent for use by the Southern Nevada Water Authority for water

treatment and transmission facility infrastructure in Clark County, Nevada; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to be used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, Fish and Wildlife Service, and the BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails, and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse the BLM for costs incurred arranging sales and exchanges under the Act.

Public Law 106–298 authorizes the sale of certain lands in Lincoln County, Nevada. The proceeds of these sales are to be distributed as follows: a) five percent to the State of Nevada for general education purposes; b) 10 percent to Lincoln County for general purposes with emphasis on supporting schools; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

Cook Inlet Region, Incorporated Account.—This account received funding appropriated by section 9102 of the 1990 Department of Defense Appropriations Act for the acquisition of Federal real properties, improvements on such lands or rights to their use or exploitation, and any personal property related to the land purchased by the Cook Inlet Region, Incorporated as authorized by the provisions of section 12(b) of Public Law 94–204 (43 U.S.C. 1611). The BLM maintains an accounting of the funds used by the Cook Inlet Region, Incorporated to purchase properties.

State 5 Percent Share, Carson City Land Sales.—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 Stat. 1113), requires that five percent of proceeds from the sale of 158 acres described in the statute shall be paid to the State of Nevada for general public education purposes.

HELIUM FUND

Program and Financing (in millions of dollars)

Identification code 014–4053–0–3–306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	Production and sales	12	13 17
0802	Transmission and storage	5	6 6
0803	Administration and other expenses	3	3 3
0900	Total new obligations, unexpired accounts	20	22 26
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	270	175 149
1021	Recoveries of prior year unpaid obligations	1
1022	Capital transfer of unobligated balances to general fund	-130	-54 -100
1050	Unobligated balance (total)	141	121 49
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	51	52 54
1802	Offsetting collections (previously unavailable)	4	1 3
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-3
1850	Spending auth from offsetting collections, mand (total)	54	50 57
1930	Total budgetary resources available	195	171 106
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	175	149 80
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	10	13 11
3010	New obligations, unexpired accounts	20	22 26
3020	Outlays (gross)	-16	-24 -37
3040	Recoveries of prior year unpaid obligations, unexpired	-1
3050	Unpaid obligations, end of year	13	11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	10	13 11

HELIUM FUND—Continued
Program and Financing—Continued

Identification code 014-4053-0-3-306		2019 actual	2020 est.	2021 est.
3200	Obligated balance, end of year	13	11
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	54	50	57
Outlays, gross:				
4100	Outlays from new mandatory authority	4	8	14
4101	Outlays from mandatory balances	12	16	23
4110	Outlays, gross (total)	16	24	37
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-51	-52	-54
4180	Budget authority, net (total)	3	-2	3
4190	Outlays, net (total)	-35	-28	-17
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	4	1	3
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	3

The Helium Act Amendments of 1960, Public Law 86-777 (50 U.S.C. 167), authorized activities necessary to provide sufficient helium to meet the current and foreseeable future needs of essential government activities. The Helium Privatization Act of 1996 (HPA), Public Law 104-273, provided for the eventual privatization of the program and its functions, specifying that once the helium debt is retired, the Helium Production Fund would be dissolved. The debt was repaid at the beginning of 2014. The Helium Stewardship Act of 2013 (HSA), Public Law 113-40, provided for continued operation of the Helium program while facilitating a gradual exit from the helium market. The Helium program consists of: (a) continued storage and transmission of crude helium; (b) oversight of the production of helium on Federal lands; and (c) administration of in-kind and open market crude helium gas sale programs. To minimize impacts to the helium market, the HSA provides a "glide path" from the sales mandated under HPA, increasing the sales price of helium through an auction mechanism and reducing the total volume of helium sold each year until the amount in storage reaches 3.0 billion cubic feet. At that point, the remaining helium will be reserved for Federal users. Additionally, HSA provides a hard deadline to sunset the program and sell off the program assets by 2021.

Balance Sheet (in millions of dollars)

Identification code 014-4053-0-3-306		2018 actual	2019 actual
ASSETS:			
1101	Federal assets: Fund balances with Treasury	284	284
1206	Non-Federal assets: Receivables, net	1	1
1605	Accounts receivable from foreclosed property	1	1
Other Federal assets:			
1802	Inventories and related properties
1803	Property, plant and equipment, net
1901	Other assets
1999	Total assets	286	286
LIABILITIES:			
Federal liabilities:			
2103	Debt
2105	Other
2201	Non-Federal liabilities: Accounts payable
2999	Total liabilities
NET POSITION:			
3300	Cumulative results of operations	286	286
4999	Total liabilities and net position	286	286

Object Classification (in millions of dollars)

Identification code 014-4053-0-3-306		2019 actual	2020 est.	2021 est.
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	4	4	5
11.3	Other than full-time permanent	1	1	1

11.9	Total personnel compensation	5	5	6
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	6	8	8
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.2	Other services from non-Federal sources	1	1	2
25.4	Operation and maintenance of facilities	1	1	2
25.7	Operation and maintenance of equipment	2	1	2
26.0	Supplies and materials	1	1	1
41.0	Grants, subsidies, and contributions	1	1
99.9	Total new obligations, unexpired accounts	20	22	26

Employment Summary

Identification code 014-4053-0-3-306		2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	51	51	51

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 014-4525-0-4-302		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0801	Operating expenses	181	41	42
0802	Capital investment	29	34	34
0900	Total new obligations, unexpired accounts	210	75	76
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	150	164	173
1021	Recoveries of prior year unpaid obligations	2
1050	Unobligated balance (total)	152	164	173
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	222	84	86
1930	Total budgetary resources available	374	248	259
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	164	173	183
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	31	29	20
3010	New obligations, unexpired accounts	210	75	76
3020	Outlays (gross)	-210	-84	-86
3040	Recoveries of prior year unpaid obligations, unexpired	-2
3050	Unpaid obligations, end of year	29	20	10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	31	29	20
3200	Obligated balance, end of year	29	20	10
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	222	84	86
Outlays, gross:				
4010	Outlays from new discretionary authority	34	34
4011	Outlays from discretionary balances	210	50	52
4020	Outlays, gross (total)	210	84	86
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-214	-76	-78
4033	Non-Federal sources	-8	-8	-8
4040	Offsets against gross budget authority and outlays (total)	-222	-84	-86
4080	Outlays, net (discretionary)	-12
4180	Budget authority, net (total)
4190	Outlays, net (total)	-12

Section 306 of the Federal Land Policy and Management Act of 1976 authorizes a Bureau of Land Management working capital fund. The fund is managed as a self-sustaining revolving fund for purchase and maintenance of vehicles and equipment, purchase of materials for resource conservation projects, purchase of uniforms, and other business-type functions.

Balance Sheet (in millions of dollars)

Identification code 014-4525-0-4-302	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	180	180
Investments in U.S. securities:		
1106 Receivables, net	3	3
Other Federal assets:		
1801 Cash and other monetary assets		
1802 Inventories and related properties		
1803 Property, plant and equipment, net		
1999 Total assets	183	183
LIABILITIES:		
2105 Federal liabilities: Other		
NET POSITION:		
3300 Cumulative results of operations	183	183
4999 Total liabilities and net position	183	183

Object Classification (in millions of dollars)

Identification code 014-4525-0-4-302	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	100	2	2
11.3 Other than full-time permanent	4		
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	105	2	2
12.1 Civilian personnel benefits	41	1	1
25.2 Other services from non-Federal sources	2	1	1
25.7 Operation and maintenance of equipment	5	5	5
26.0 Supplies and materials	27	32	33
31.0 Equipment	30	34	34
99.9 Total new obligations, unexpired accounts	210	75	76

Employment Summary

Identification code 014-4525-0-4-302	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	28	28	28

Trust Funds

MISCELLANEOUS TRUST FUNDS

In addition to amounts authorized to be expended under existing laws, there is hereby appropriated such amounts as may be contributed under section 307 of Public Law 94-579 (43 U.S.C. 1737), and such amounts as may be advanced for administrative costs, surveys, appraisals, and costs of making conveyances of omitted lands under section 211(b) of that Act (43 U.S.C. 1721(b)), to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9971-0-7-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1
Receipts:			
Current law:			
1130 Contributions and Deposits, BLM	24	22	22
2000 Total: Balances and receipts	25	23	23
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds	-24	-22	-22
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 014-9971-0-7-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Resource development FLPMA	15	14	14
0002 Resource development CA OHV	7	5	5
0003 Resource development Taylor Grazing	1	1	1
0004 Public Survey		1	1

0005 Sikes Act		1	1
0900 Total new obligations, unexpired accounts	23	22	22

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	58	61	61
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	60	61	61
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	24	22	22
1930 Total budgetary resources available	84	83	83
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	61	61

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	14	14
3010 New obligations, unexpired accounts	23	22	22
3020 Outlays (gross)	-21	-22	-22
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	14	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	14	14
3200 Obligated balance, end of year	14	14	14

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	24	22	22
Outlays, gross:			
4100 Outlays from new mandatory authority	8	10	10
4101 Outlays from mandatory balances	13	12	12
4110 Outlays, gross (total)	21	22	22
4180 Budget authority, net (total)	24	22	22
4190 Outlays, net (total)	21	22	22

Current Trust Funds include:

Land and Resource Management Trust Fund.—Provides for the acceptance of contributed money or services for: 1) resource development, protection, and management; 2) conveyance or acquisition of public lands (including omitted lands or islands) to States, their political subdivisions, or individuals; and 3) conducting cadastral surveys, provided that estimated costs are paid prior to project initiation. (The Federal Land Policy and Management Act of 1976 (43 U.S.C. 1721, 1737).) The Sikes Act of 1974, as amended, provides for acceptance of contributions for conservation, restoration, and management of species and their habitats in cooperation with State wildlife agencies (16 U.S.C. 670 et seq.).

Permanent Trust Funds include:

Range improvements.—Acceptance of contributions for rangeland improvements is authorized by the Taylor Grazing Act (43 U.S.C. 315h and 315i). These funds are permanently appropriated as trust funds to the Secretary for uses specified by those Acts.

Public surveys.—Acceptance of contributions for public surveys is authorized by 43 U.S.C. 759, 761, and 31 U.S.C. 1321(a). These contributions are permanently appropriated as trust funds to the Secretary for uses specified by those Acts.

Trustee funds, Alaska townsites.—Amounts received from the sale of Alaska town lots are available for expenses incident to the maintenance and sale of townsites (31 U.S.C. 1321; Comp. Gen. Dec. of Nov. 18, 1935).

Object Classification (in millions of dollars)

Identification code 014-9971-0-7-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	4	4
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	7	7	7
12.1 Civilian personnel benefits	2	2	2
25.2 Other services from non-Federal sources	5	4	4
25.3 Other goods and services from Federal sources	2	2	2

MISCELLANEOUS TRUST FUNDS—Continued
Object Classification—Continued

Identification code 014-9971-0-7-302	2019 actual	2020 est.	2021 est.
25.7 Operation and maintenance of equipment	1	2	2
26.0 Supplies and materials	4	2	2
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	1	2	2
99.9 Total new obligations, unexpired accounts	23	22	22

Employment Summary

Identification code 014-9971-0-7-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	89	89	89

ADMINISTRATIVE PROVISIONS

The Bureau of Land Management may carry out the operations funded under this Act by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities, including with States. Appropriations for the Bureau shall be available for purchase, erection, and dismantlement of temporary structures, and alteration and maintenance of necessary buildings and appurtenant facilities to which the United States has title; up to \$100,000 for payments, at the discretion of the Secretary, for information or evidence concerning violations of laws administered by the Bureau; miscellaneous and emergency expenses of enforcement activities authorized or approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$10,000: *Provided*, That notwithstanding Public Law 90-620 (44 U.S.C. 501), the Bureau may, under cooperative cost-sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share the cost of printing either in cash or in services, and the Bureau determines the cooperator is capable of meeting accepted quality standards: *Provided further*, That projects to be funded pursuant to a written commitment by a State government to provide an identified amount of money in support of the project may be carried out by the Bureau on a reimbursable basis. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

BUREAU OF OCEAN ENERGY MANAGEMENT

Federal Funds

OCEAN ENERGY MANAGEMENT

For expenses necessary for granting and administering leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf and approving operations related thereto, as authorized by law; for environmental studies, as authorized by law; for implementing other laws and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, **[\$191,611,000] \$188,815,000**, of which **[\$131,611,000] \$125,760,000** is to remain available until September 30, **[2021] 2022**, and of which **[\$60,000,000] \$63,055,000** is to remain available until expended: *Provided*, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Ocean Energy Management pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: *Provided further*, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year **[2020] 2021** appropriation estimated at not more than **[\$131,611,000] \$125,760,000**: *Provided further*, That not to exceed \$3,000 shall be available for reasonable expenses related to promoting volunteer beach and marine cleanup activities. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-1917-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Renewable Energy	20	19	22
0002 Conventional Energy	63	58	56

0003 Environmental Programs	77	68	68
0004 Marine Minerals		5	8
0005 Executive Direction	17	16	16
0192 Total direct program	177	166	170
0799 Total direct obligations	177	166	170
0802 RSAs	2	2	2
0900 Total new obligations, unexpired accounts	179	168	172

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	31	65
1021 Recoveries of prior year unpaid obligations	7	7	7
1050 Unobligated balance (total)	61	38	72
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	130	136	126
Spending authority from offsetting collections, discretionary:			
1700 Collected - Offsetting Collections (Rents 50, Bond Forfeitures -32)	18	56	63
1700 Collected - RSAs	2	2	2
1701 Change in uncollected payments, Federal sources	-1	1	1
1750 Spending auth from offsetting collections, disc (total)	19	59	66
1900 Budget authority (total)	149	195	192
1930 Total budgetary resources available	210	233	264
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	31	65	92

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	107	106	107
3010 New obligations, unexpired accounts	179	168	172
3020 Outlays (gross)	-173	-160	-188
3040 Recoveries of prior year unpaid obligations, unexpired	-7	-7	-7
3050 Unpaid obligations, end of year	106	107	84
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-2
3070 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-2	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	105	105	105
3200 Obligated balance, end of year	105	105	81

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	149	195	192
Outlays, gross:			
4010 Outlays from new discretionary authority	102	129	122
4011 Outlays from discretionary balances	71	31	66
4020 Outlays, gross (total)	173	160	188
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources: RSAs	-2	-2	-2
4033 Non-Federal sources - OCS offsetting collections-rents & cost rec fees (-50); contributions (-0); Bond Forfeitures (32)	-18	-56	-63
4040 Offsets against gross budget authority and outlays (total)	-20	-58	-65
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
4070 Budget authority, net (discretionary)	130	136	126
4080 Outlays, net (discretionary)	153	102	123
4180 Budget authority, net (total)	130	136	126
4190 Outlays, net (total)	153	102	123

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	5	5	5
5092 Unexpired unavailable balance, EOY: Offsetting collections	5	5	5

The Bureau of Ocean Energy Management (BOEM) manages the exploration and development of the nation's offshore energy and marine mineral resources on the U.S. Outer Continental Shelf (OCS). These marine resources include vast deposits of oil and natural gas, non-energy minerals, as well as renewable resources such as offshore wind, wave and ocean currents. BOEM's goal is to balance economic development, energy security, and environmental protection through responsible and transparent management of offshore resources based on the best available science. To carry out this mission, BOEM manages OCS energy and mineral resources,

including: OCS leasing, inventories of oil and gas reserves, resource and economic evaluation, review and administration of oil and gas exploration and development plans, geological and geophysical (G&G) permitting, risk management and financial assurance, conveyance of sand and gravel resources, renewable energy development, National Environmental Policy Act (NEPA) analysis, and environmental studies.

The Ocean Energy Management account includes the following budget activities: Conventional Energy, Renewable Energy, Marine Minerals, Environmental Programs, and Executive Direction.

Conventional Energy.—Supports OCS oil and gas leasing, include developing the National OCS Oil and Gas Leasing Program; implementing the lease sale process; administering leases; protecting the Federal government from financial risks related to natural resource development; reviewing exploration and development plans and geological and geophysical permit applications; developing and maintaining the OCS cadastre; conducting technical and economic resource evaluation and fair market value determination.

Renewable Energy.—Oversees renewable energy program development and implementation, including: identification of wind energy areas; environmental and compliance work; competitive and noncompetitive leasing actions; review of site assessment plans, and construction and operations plans; and consultation with state and local governments, Federal agencies, and other stakeholders.

Marine Minerals.—Manages non-energy minerals on the OCS and conveys, on a noncompetitive basis, the rights to those resources to Federal, State, and local government agencies for shore protection, beach and wetlands restoration projects, or for use in construction projects funded or authorized by the Federal Government. Funding supports mineral resource exploration and leasing activities, coordination with governmental partners, engagement of stakeholders, and scientific research to improve decision making and risk management.

Environmental Programs.—Informs decision-makers and the public about the potential impacts of OCS energy and mineral activities on the marine, coastal, and human environment. Develops the environmental impact statements and environmental assessments needed to consider the potential environmental impacts of proposed actions in accordance with the National Environmental Protection Act, the OCS Lands Act, and numerous other environmental statutes, regulations, and executive orders. Funding supports scientific research needed to inform policy decisions regarding energy and mineral development on the OCS.

Executive Direction.—Funds bureau-wide leadership, direction, management, coordination, communication strategies, outreach, and regulatory development. This includes budget management, administrative services management, bureau-wide information technology management and governance, congressional and public affairs, policy analysis, regulations, overseeing official documents, international affairs, and Freedom of Information Act activities.

Employment Summary

Identification code 014-1917-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	553	609	610

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT
Federal Funds

OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT
(INCLUDING [RESCISSION] CANCELLATION OF FUNDS)

For expenses necessary for the regulation of operations related to leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf, as authorized by law; for enforcing and implementing laws and regulations as authorized by law and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, **[\$149,333,000] \$152,296,000**, of which **[\$123,333,000] \$121,649,000** is to remain available until September 30, **[2021] 2022**, and of which **[\$26,000,000] \$30,647,000** is to remain available until expended: *Provided*, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Safety and Environmental Enforcement pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: *Provided further*, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year **[2020] 2021** appropriation estimated at not more than **[\$123,333,000] \$121,649,000**: *Provided further*, That of the unobligated balances from amounts made available under this heading, **[\$4,788,000] \$5,000,000** is permanently **[rescinded] cancelled**: *Provided further*, That no amounts may be **[rescinded] cancelled** from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

For an additional amount, **[\$43,479,000] \$43,990,000**, to remain available until expended, to be reduced by amounts collected by the Secretary and credited to this appropriation, which shall be derived from non-refundable inspection fees collected in fiscal year **[2020] 2021**, as provided in this Act: *Provided*, That to the extent that amounts realized from such inspection fees exceed **[\$43,479,000] \$43,990,000**, the amounts realized in excess of **[\$43,479,000] \$43,990,000** shall be credited to this appropriation and remain available until expended: *Provided further*, That for fiscal year **[2020] 2021**, not less than 50 percent of the inspection fees expended by the Bureau of Safety and Environmental Enforcement will be used to fund personnel and mission-related costs to expand capacity and expedite the orderly development, subject to environmental safeguards, of the Outer Continental Shelf pursuant to the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.), including the review of applications for permits to drill. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-1700-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Environmental Enforcement	5	5	5
0002 Operations, Safety and Regulation	148	166	165
0003 Administrative Operations	16	17	18
0004 Executive Direction	14	17	18
0192 Total direct program	183	205	206
0799 Total direct obligations	183	205	206
0802 Reimbursable Service Agreements	44	44	44
0900 Total new obligations, unexpired accounts	227	249	250
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	64	74	49
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	71	74	49
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	121	123	122

Object Classification (in millions of dollars)

Identification code 014-1917-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	64	60	61
12.1 Civilian personnel benefits	21	20	20
21.0 Travel and transportation of persons	2	2	2
25.2 Other services from non-Federal sources	35	33	34
25.3 Other goods and services from Federal sources	46	43	44
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	7	6	7
99.0 Direct obligations	177	166	170
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations, unexpired accounts	179	168	172

OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT—Continued
Program and Financing—Continued

Identification code 014-1700-0-1-302	2019 actual	2020 est.	2021 est.
1131 Unobligated balance of appropriations permanently reduced		-5	-5
1160 Appropriation, discretionary (total)	121	118	117
Spending authority from offsetting collections, discretionary:			
1700 Offsetting Collections (Cost Recovery)	4	3	4
1700 Offsetting Collections (Rental Receipts)	20	23	26
1700 Collected (Inspection Fee)	42	43	44
1700 Reimbursable Service Agreements	42	37	37
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	109	106	111
1900 Budget authority (total)	230	224	228
1930 Total budgetary resources available	301	298	277
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	74	49	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	105	99	123
3010 New obligations, unexpired accounts	227	249	250
3020 Outlays (gross)	-225	-225	-239
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	99	123	134
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-17	-18	-18
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-18	-18	-18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	88	81	105
3200 Obligated balance, end of year	81	105	116
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	230	224	228
Outlays, gross:			
4010 Outlays from new discretionary authority	134	155	158
4011 Outlays from discretionary balances	91	70	81
4020 Outlays, gross (total)	225	225	239
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-42	-37	-37
4033 Non-Federal sources	-66	-69	-74
4040 Offsets against gross budget authority and outlays (total)	-108	-106	-111
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	121	118	117
4080 Outlays, net (discretionary)	117	119	128
4180 Budget authority, net (total)	121	118	117
4190 Outlays, net (total)	117	119	128
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	6	6	6
5092 Unexpired unavailable balance, EOY: Offsetting collections	6	6	6

The Bureau of Safety and Environmental Enforcement (BSEE) was established on October 1, 2011, to ensure the safe and environmentally-sustainable exploration, development, production, and conservation of the Nation's offshore energy resources. The Bureau continues to improve its mission processes and staff capabilities to keep pace with the continued innovation in Outer Continental Shelf (OCS) exploration and production. To fulfill its mission and advance American energy security, it is important for BSEE to prepare for, adapt, and respond to changes in both the oil and gas industry and the offshore wind industry, throughout the lifecycle of offshore energy development. BSEE is committed to the continual advancement of the effectiveness of its inspection program, enhancing its permitting processes around greater quality assurance and consistency, reforming overly burdensome regulations, ensuring high levels of preparedness in the event of oil spills, and expanding the OCS renewable energy program.

The Offshore Safety and Environmental Enforcement (OSEE) account is BSEE's primary operating account and funds the following activities: Operations, Safety, and Regulation; Environmental Enforcement; Administrative Operations; and Executive Direction.

Operations, Safety, and Regulation.—Funds OCS permit application reviews; inspections of OCS facilities, including critical high-risk activities; offshore operator oil spill planning and preparedness compliance; investigations; enforcement; audit programs; annual operator performance reviews; verification of oil and gas production levels to help ensure the public receives a fair return; research supporting the analysis of emerging technologies, standards and regulatory review activities; and technical training.

Environmental Enforcement.—Funds environmental compliance staff supporting permit reviewers by evaluating and identifying environmental mitigation provisions that can be incorporated into permits; specialized inspections of air, water, and mitigation measures; and subject matter expertise training for safety inspectors to assist in identifying environmental violations. Additionally, this activity supports the Bureau's compliance with the National Environmental Policy Act, the Endangered Species Act, the Marine Mammal Protection Act, the National Historic Preservation Act, Tribal consultation requirements, the Clean Air Act, the Clean Water Act, and other environmental regulations.

Administrative Operations.—Funds general administration programs, equal employment opportunity services, emergency management, finance, human resources, procurement, and information management. BSEE also provides administrative services, such as human resources, procurement, and finance, to the Bureau of Ocean Energy Management (BOEM) and other entities within the Department on a reimbursable basis.

Executive Direction.—Funds bureau-wide leadership, direction, management, coordination, communications strategies, and outreach. This includes functions such as budget, congressional and international engagement, public affairs, and policy and analysis.

Object Classification (in millions of dollars)

Identification code 014-1700-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	72	73	74
12.1 Civilian personnel benefits	23	24	25
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	10	11	11
25.1 Advisory and assistance services	9		
25.2 Other services from non-Federal sources	43	58	57
25.3 Other goods and services from Federal sources	12	14	14
25.5 Research and development contracts	2	8	8
25.7 Operation and maintenance of equipment	8	11	11
26.0 Supplies and materials		1	1
31.0 Equipment	2	2	2
99.0 Direct obligations	183	205	206
99.0 Reimbursable obligations	44	44	44
99.9 Total new obligations, unexpired accounts	227	249	250

Employment Summary

Identification code 014-1700-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	653	734	734
2001 Reimbursable civilian full-time equivalent employment	106	125	125

Trust Funds

OIL SPILL RESEARCH

For necessary expenses to carry out title I, section 1016, title IV, sections 4202 and 4303, title VII, and title VIII, section 8201 of the Oil Pollution Act of 1990, **[\$14,899,000]** \$12,700,000, which shall be derived from the Oil Spill Liability Trust Fund, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-8370-0-7-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Oil Spill Research (Direct)	13	21	21
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	15	9
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	15	15	13
1930 Total budgetary resources available	28	30	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	9	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	13	20
3010 New obligations, unexpired accounts	13	21	21
3020 Outlays (gross)	-10	-14	-15
3050 Unpaid obligations, end of year	13	20	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	13	20
3200 Obligated balance, end of year	13	20	26
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	15	13
Outlays, gross:			
4010 Outlays from new discretionary authority	3	8	6
4011 Outlays from discretionary balances	7	6	9
4020 Outlays, gross (total)	10	14	15
4180 Budget authority, net (total)	15	15	13
4190 Outlays, net (total)	10	14	15

The Oil Pollution Act of 1990 authorizes use of the Oil Spill Liability Trust Fund, established by section 9509 of the Internal Revenue Code of 1986. The Oil Spill Research appropriation is drawn from the Oil Spill Liability Trust Fund and funds: 1) oil spill prevention, abatement, planning, preparedness, and response functions for all facilities seaward of the coastline of the United States that handle, store, or transport oil; 2) oil spill research; and 3) Ohmsett—the National Oil Spill Response Research and Renewable Energy Test Facility.

Object Classification (in millions of dollars)

Identification code 014-8370-0-7-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	4	2
25.4 Operation and maintenance of facilities	4
25.5 Research and development contracts	6	18	12
99.9 Total new obligations, unexpired accounts	13	21	21

Employment Summary

Identification code 014-8370-0-7-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	17	22	22

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

Federal Funds

REGULATION AND TECHNOLOGY

For necessary expenses to carry out the provisions of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, **[\$117,768,000]** \$93,102,000, to remain available until September 30, **[2021]** 2022: *Provided*, That appropriations for the Office of Surface Mining Reclamation and Enforcement may provide for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

In addition, for costs to review, administer, and enforce permits issued by the Office pursuant to section 507 of Public Law 95-87 (30 U.S.C. 1257), \$40,000, to remain available until expended: *Provided*, That fees assessed and collected by the Office pursuant to such section 507 shall be credited to this account as discretionary offsetting collections, to remain available until expended: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as collections are received during the fiscal year, so as to result in a fiscal year **[2020]** 2021 appropriation estimated at not more than **[\$117,768,000]** \$93,102,000. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-1801-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Environmental protection	76	88	66
0003 Technology development and transfer	13	15	13
0004 Financial management	1	1
0005 Executive direction and administration	14	14	13
0900 Total new obligations, unexpired accounts	103	118	93
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	40	43
1021 Recoveries of prior year unpaid obligations	1	3	3
1050 Unobligated balance (total)	27	43	46
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	116	118	93
1900 Budget authority (total)	116	118	93
1930 Total budgetary resources available	143	161	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	43	46
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	67	56	59
3010 New obligations, unexpired accounts	103	118	93
3020 Outlays (gross)	-110	-112	-106
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-3	-3
3041 Recoveries of prior year unpaid obligations, expired	-3
3050 Unpaid obligations, end of year	56	59	43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	67	56	59
3200 Obligated balance, end of year	56	59	43
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	116	118	93
Outlays, gross:			
4010 Outlays from new discretionary authority	38	48	38
4011 Outlays from discretionary balances	72	64	68
4020 Outlays, gross (total)	110	112	106
4180 Budget authority, net (total)	116	118	93
4190 Outlays, net (total)	110	112	106

Environmental protection.—This activity funds functions that directly contribute to ensuring the environment is protected during surface coal mining operations. It also addresses activities to ensure coal operators adequately reclaim the land after mining is completed.

Under this activity, the Office of Surface Mining Reclamation and Enforcement provides grants and support to States to operate enforcement programs on State and private lands under the terms of the Surface Mining Control and Reclamation Act of 1977. This activity also provides for the operation of enforcement programs in States without their own regulatory program and on Federal and Indian lands, as well as Federal oversight of the State regulatory programs.

Technology development and transfer.—This activity provides funding to enhance the technical skills that States and Indian Tribes need to operate their regulatory programs. It provides training and technical tools, such as the Coal Information Management System, to States and Indian Tribes to solve problems related to the environmental effects of coal mining and technical assistance to address specific coal mining issues.

Financial management.—This activity provides resources for managing, accounting, processing collections, and pursuing delinquent civil penalties.

REGULATION AND TECHNOLOGY—Continued

This includes developing and maintaining information management systems that support these functions and enhance the agency's ability to deny new mining permits to applicants with unabated State or Federal violations. This activity also includes accounting for and reporting on grants awarded to States and Tribes for regulatory purposes.

Executive direction and administration.—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services, such as rent, telephones, and postage.

Object Classification (in millions of dollars)

Identification code 014-1801-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	27	29	29
12.1 Civilian personnel benefits	9	9	9
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	4	1	4
25.2 Other services from non-Federal sources	5	7	6
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	56	66	39
99.9 Total new obligations, unexpired accounts	103	118	93

Employment Summary

Identification code 014-1801-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	261	298	298

ABANDONED MINE RECLAMATION FUND

For necessary expenses to carry out title IV of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, **[\$24,713,000]** \$23,064,000, to be derived from receipts of the Abandoned Mine Reclamation Fund and to remain available until expended: *Provided*, That pursuant to Public Law 97-365, the Department of the Interior is authorized to use up to 20 percent from the recovery of the delinquent debt owed to the United States Government to pay for contracts to collect these debts: *Provided further*, That funds made available under title IV of Public Law 95-87 may be used for any required non-Federal share of the cost of projects funded by the Federal Government for the purpose of environmental restoration related to treatment or abatement of acid mine drainage from abandoned mines: *Provided further*, That such projects must be consistent with the purposes and priorities of the Surface Mining Control and Reclamation Act: *Provided further*, That amounts provided under this heading may be used for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

[In addition, \$115,000,000, to remain available until expended, for grants to States and federally recognized Indian Tribes for reclamation of abandoned mine lands and other related activities in accordance with the terms and conditions described in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That such additional amount shall be used for economic and community development in conjunction with the priorities in section 403(a) of the Surface Mining Control and Reclamation Act of 1977 (30 U.S.C. 1233(a)): *Provided further*, That of such additional amount, \$75,000,000 shall be distributed in equal amounts to the 3 Appalachian States with the greatest amount of unfunded needs to meet the priorities described in paragraphs (1) and (2) of such section, \$30,000,000 shall be distributed in equal amounts to the 3 Appalachian States with the subsequent greatest amount of unfunded needs to meet such priorities, and \$10,000,000 shall be for grants to federally recognized Indian Tribes without regard to their status as certified or uncertified under the Surface Mining Control and Reclamation Act of 1977 (30 U.S.C. 1233(a)), for reclamation of abandoned mine lands and other related activities in accordance with the terms and conditions described in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) and shall be used for economic and community development in conjunction with the priorities in section 403(a) of the Surface Mining Control and Reclamation Act of 1977: *Provided further*, That such additional amount shall be allocated to States and Indian Tribes within 60 days

after the date of enactment of this Act.] (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5015-0-2-999	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2,379	2,317	2,324
Receipts:			
Current law:			
1110 Abandoned Mine Reclamation Fund, Reclamation Fees	147	142	138
1140 Earnings on Investments, Abandoned Mine Reclamation Fund	59	56	12
1199 Total current law receipts	206	198	150
1999 Total receipts	206	198	150
2000 Total: Balances and receipts	2,585	2,515	2,474
Appropriations:			
Current law:			
2101 Abandoned Mine Reclamation Fund	-25	-25	-23
2101 Abandoned Mine Reclamation Fund	-54	-37	-31
2101 Abandoned Mine Reclamation Fund	-201	-137	-137
2132 Abandoned Mine Reclamation Fund	12	8
2199 Total current law appropriations	-268	-191	-191
2999 Total appropriations	-268	-191	-191
5099 Balance, end of year	2,317	2,324	2,283

Program and Financing (in millions of dollars)

Identification code 014-5015-0-2-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Environmental Restoration	112	112	9
0002 Technology development and transfer	3	3	4
0003 Financial management	5	5	5
0004 Executive direction and administration	9	7	7
0005 AML funded Grants to States	220	141	137
0006 UMWA and other benefits	54	56	31
0900 Total new obligations, unexpired accounts	403	324	193

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	173	176	181
1001 Discretionary unobligated balance brought fwd, Oct 1	150	175
1021 Recoveries of prior year unpaid obligations	23	23	25
1050 Unobligated balance (total)	196	199	206
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Economic Development)	115	115
1101 Appropriation (special or trust)	25	25	23
1160 Appropriation, discretionary (total)	140	140	23
Appropriations, mandatory:			
1201 Appropriation (AML & RAMP transfers to UMWA)	54	37	31
1201 Appropriation (AML grants to states)	201	137	137
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-12	-8
1260 Appropriations, mandatory (total)	243	166	168
1900 Budget authority (total)	383	306	191
1930 Total budgetary resources available	579	505	397
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	176	181	204

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	418	525	504
3010 New obligations, unexpired accounts	403	324	193
3020 Outlays (gross)	-273	-322	-313
3040 Recoveries of prior year unpaid obligations, unexpired	-23	-23	-25
3050 Unpaid obligations, end of year	525	504	359
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	418	525	504
3200 Obligated balance, end of year	525	504	359

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	140	140	23
Outlays, gross:			
4010 Outlays from new discretionary authority	12	16	15

4011	Outlays from discretionary balances	56	73	76
4020	Outlays, gross (total)	68	89	91
	Mandatory:			
4090	Budget authority, gross	243	166	168
	Outlays, gross:			
4100	Outlays from new mandatory authority	45	63	58
4101	Outlays from mandatory balances	160	170	164
4110	Outlays, gross (total)	205	233	222
4180	Budget authority, net (total)	383	306	191
4190	Outlays, net (total)	273	322	313
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	2,706	2,679	2,670
5001	Total investments, EOY: Federal securities: Par value	2,679	2,670	2,625

Environmental restoration.—This activity funds those functions that contribute to reclaiming lands affected by past coal mining practices. This activity provides discretionary funding for the Federal reclamation program for watershed restoration projects and for the evaluation of State and tribal reclamation programs that now receive mandatory funding for reclamation activities. This activity also provides for the operation of Federal reclamation programs for activities in those States without their own reclamation programs.

Technology development and transfer.—This activity provides funding to enhance the technical skills States and Indian Tribes need to operate their reclamation programs. The Office of Surface Mining Reclamation and Enforcement (OSMRE) provides training and technical assistance on mining and reclamation-related problems.

Financial management.—This activity provides funds to identify, notify, collect, and audit fees from coal operators for the Abandoned Mine Reclamation Fund. The OSMRE seeks to maximize voluntary compliance with the Surface Mining Control and Reclamation Act's reclamation fee provisions. This activity also includes accounting for and reporting on grants awarded to States and Tribes for reclamation activities.

Executive direction and administration.—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services such as rent, telephones, and postage.

Status of Funds (in millions of dollars)

Identification code 014-5015-0-2-999	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	2,750	2,683	2,559
0999 Total balance, start of year	2,750	2,683	2,559
Cash income during the year:			
Current law:			
Receipts:			
1110 Abandoned Mine Reclamation Fund, Reclamation Fees	147	142	138
1150 Earnings on Investments, Abandoned Mine Reclamation Fund	59	56	12
1199 Income under present law	206	198	150
1999 Total cash income	206	198	150
Cash outgo during year:			
Current law:			
2100 Abandoned Mine Reclamation Fund [Budget Acct]	-273	-322	-313
2199 Outgo under current law	-273	-322	-313
2999 Total cash outgo (-)	-273	-322	-313
Surplus or deficit:			
3110 Excluding interest	-126	-180	-175
3120 Interest	59	56	12
3199 Subtotal, surplus or deficit	-67	-124	-163
3999 Total change in fund balance	-67	-124	-163
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	4	-111	-229
4200 Abandoned Mine Reclamation Fund	2,679	2,670	2,625
4999 Total balance, end of year	2,683	2,559	2,396

Object Classification (in millions of dollars)

Identification code 014-5015-0-2-999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	12	12	12
12.1	Civilian personnel benefits	4	4	4
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	2	2
25.2	Other services from non-Federal sources	59	59	59
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	324	245	114
99.9	Total new obligations, unexpired accounts	403	324	193

Employment Summary

Identification code 014-5015-0-2-999	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	115	100	100

PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS

Program and Financing (in millions of dollars)

Identification code 014-1803-0-1-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Prior Balance Payments to Non-Certified States	17		
0003	In Lieu Payments to Certified States and Tribes	103	42	45
0900	Total new obligations, unexpired accounts (object class 41.0)	120	42	45
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	7	15
1021	Recoveries of prior year unpaid obligations	23	8	8
1050	Unobligated balance (total)	24	15	23
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	110	45	45
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-7	-3	
1260	Appropriations, mandatory (total)	103	42	45
1930	Total budgetary resources available	127	57	68
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	7	15	23
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	400	428	318
3010	New obligations, unexpired accounts	120	42	45
3020	Outlays (gross)	-69	-144	-152
3040	Recoveries of prior year unpaid obligations, unexpired	-23	-8	-8
3050	Unpaid obligations, end of year	428	318	203
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	400	428	318
3200	Obligated balance, end of year	428	318	203
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	103	42	45
Outlays, gross:				
4100	Outlays from new mandatory authority	1	3	3
4101	Outlays from mandatory balances	68	141	149
4110	Outlays, gross (total)	69	144	152
4180	Budget authority, net (total)	103	42	45
4190	Outlays, net (total)	69	144	152

The Surface Mining Reclamation and Enforcement Act of 1977 (30 U.S.C. 1243), as amended, authorizes mandatory Treasury payments to all States and Tribes equivalent to their share of the accumulated balance of the Abandoned Mine Reclamation Fund. The payments also return half of annual coal fee collections to States and Tribes that have certified completion of their abandoned coal mine reclamation programs.

SUPPLEMENTAL PAYMENTS TO UMWA PLANS

Program and Financing (in millions of dollars)

Identification code 014-1804-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Supplemental Payments to UMWA Health Plans (Direct)	225	1,926	705
0900 Total new obligations, unexpired accounts (object class 25.2)	225	1,926	705
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	225	1,926	705
1930 Total budgetary resources available	225	1,926	705
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	225	1,926	705
3020 Outlays (gross)	-225	-1,926	-705
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	225	1,926	705
Outlays, gross:			
4100 Outlays from new mandatory authority		1,926	705
4101 Outlays from mandatory balances	225		
4110 Outlays, gross (total)	225	1,926	705
4180 Budget authority, net (total)	225	1,926	705
4190 Outlays, net (total)	225	1,926	705

The Surface Mining Reclamation and Enforcement Act of 1977 (30 U.S.C. 1243), as amended by the Tax Relief and Health Care Act of 2006 (Public Law 109-432) and the Bipartisan Miners Act of 2019 (Division M of Public Law 116-94), authorizes mandatory Treasury payments to three United Mine Workers of America (UMWA) retiree health benefit plans (the Combined Benefit Fund, the 1992 Plan, and the 1993 Plan), to the extent that other Federal funding sources do not meet the plans' expenditure needs, and to the 1974 UMWA Pension Plan, subject to certain limitations. Interest earned on Abandoned Mine Land trust fund balances is available for transfer to cover funding shortfalls in the health benefit plans; unobligated balances in the Fund are used to generate interest for this purpose.

WATER AND SCIENCE

BUREAU OF RECLAMATION

Appropriations to Reclamation are made from the General Fund and from certain special funds in the Treasury. Projects funded from the General Fund include the Colorado River Basin Project and the Colorado River Storage Project, among others. Special funds include the Reclamation Fund, the Central Valley Project Restoration Fund, the Colorado River Dam Fund, and the Recreation, Entrance, and User Fee account. Non-Federal entities also advance funds for operation and maintenance and provide funds under the Contributed Funds Act.

Of the Bureau's special funds, the Reclamation Fund consists of repayments and other revenues from water and power users; receipts from the sale, lease, and rental of Federal lands; and certain oil and mineral revenues. It can finance program activities authorized under "Reclamation Law" that directly benefit the 17 Western States. The Central Valley Project Restoration Fund consists of revenues from project beneficiaries. The Colorado River Dam Fund generates revenue from the sale of Boulder Canyon power.

The 2021 estimates are summarized by source as follows (in millions of dollars):

Appropriated Funds:	Total Appropriations	General Fund	Reclamation Fund	CVP Restoration Fund	Other
Water and Related Resources (net)	889	145	744		

Transferred from Water and Related Resources to Lower and Upper Colorado Basin Funds and Blackfeet Fund	90	90			
California Bay-Delta Restoration	33	33			
Policy and Administration	60		60		
Working Capital Fund	0				
Loan Program	0	0			
Central Valley Project Restoration Fund	56			56	
Gross Current Authority	1,128	268	804	56	0
Central Valley Project Restoration Fund, current offset	-56			-56	
Net Current Authority	1,072	268	804	0	0
Loan Liquidating Account	-1				-1
Colorado River Dam Fund	106				106
Reclamation Trust Fund	2				2
San Joaquin Restoration Fund	8				8
Reclamation Water Settlements Fund	124				124
Federal Lands Recreation Enhancement Act	1				1
Total Permanent Appropriations	240	0	0	0	240
Grand Total	1,312	268	804	0	240

Federal Funds

BUREAU OF RECLAMATION

The following appropriations shall be expended to execute authorized functions of the Bureau of Reclamation: (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

WATER AND RELATED RESOURCES

(INCLUDING TRANSFERS OF FUNDS)

For management, development, and restoration of water and related natural resources and for related activities, including the operation, maintenance, and rehabilitation of reclamation and other facilities, participation in fulfilling related Federal responsibilities to Native Americans, and related grants to, and cooperative and other agreements with, State and local governments, federally recognized Indian tribes, and others, **[\$1,512,151,000] \$979,000,000**, to remain available until expended, of which **[\$69,932,000] \$58,476,000** shall be available for transfer to the Upper Colorado River Basin Fund and **[\$5,023,000] \$5,584,000** shall be available for transfer to the Lower Colorado River Basin Development Fund; of which such amounts as may be necessary may be advanced to the Colorado River Dam Fund: *Provided*, That **[\$10,000,000] \$25,882,000** shall be available for transfer into the Blackfeet Water Settlement Implementation Fund established by section 3717 of Public Law 114-322: *Provided further*, That the unobligated balances in "Water and Related Resources" for the Blackfeet Water Rights Settlement Act may be transferred to the Blackfeet Water Settlement Implementation Fund account: *Provided further*, That such transfers may be increased or decreased within the overall appropriation under this heading: *Provided further*, That within available funds, \$250,000 **[shall]** may be for grants and financial assistance for educational activities: *Provided further*, That of the total appropriated, the amount for program activities that can be financed by the Reclamation Fund or the Bureau of Reclamation special fee account established by 16 U.S.C. 6806 shall be derived from that Fund or account: *Provided further*, That funds contributed under 43 U.S.C. 395 are available until expended for the purposes for which the funds were contributed: *Provided further*, That funds advanced under 43 U.S.C. 397a shall be credited to this account and are available until expended for the same purposes as the sums appropriated under this heading: *Provided further*, That of the amounts provided herein, funds may be used for high-priority projects which shall be carried out by the Youth Conservation Corps, as authorized by 16 U.S.C. 1706 **[**: *Provided further*, That of the amounts made available under this heading, \$4,000,000 shall be for one payment for deferred construction funding to the Navajo Nation to fulfill the construction obligations described in section 15(b) of the Colorado Ute Indian Water Rights Settlement Act of 1988 (Public Law 100-585), as amended by the Colorado Ute Settlement Act Amendments of 2000 (Public Law 106-554), and to complete the commissioning and title transfer of the Navajo Nation Municipal Pipeline: *Provided further*, That in accordance with section 4009(c) of Public Law 114-322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal year 2018 shall be made available to the Expanding Recycled Water Delivery Project (VenturaWaterPure), the Pure Water Monterey Groundwater Replenishment Project, the Groundwater Reliability Improvement Program (GRIP) Recycled Water Project, the North Valley Regional Recycled

Water Program, the South Sacramento County Agriculture and Habitat Lands Recycled Water Program, and the Central Coast Blue project: *Provided further*, That in accordance with section 4007 of Public Law 114–322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal years 2017 and 2018 shall be made available to the Cle Elum Pool Raise, the Boise River Basin Feasibility Study, the Del Puerto Water District, the Los Vaqueros Reservoir Phase 2 Expansion Project, the North-of-the-Delta Off stream Storage (Sites Reservoir Project), and the Friant-Kern Canal Capacity Correction Resulting Subsidence: *Provided further*, That in accordance with section 4009(a) of Public Law 114–322, and as recommended by the Secretary in a letter dated February 13, 2019, funding provided for such purpose in fiscal years 2017 and 2018 shall be made available to the Doheny Ocean Desalination Project, the Kay Bailey Hutchison Desalination Plant, the North Pleasant Valley Desalter Facility, and the Mission Basin Groundwater Purification Facility Well Expansion and Brine Minimization]. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–0680–0–1–301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Recreation Enhancement Fee Program	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Water and Related Resources	-1	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014–0680–0–1–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Facility operations	306	513	309
0002 Facility maintenance and rehabilitation	166	397	236
0003 Water and energy management and development	464	557	184
0004 Fish and wildlife management and development	228	211	134
0005 Land management and development	40	69	38
0006 Restoration of Federal Assets (Disaster Supplemental P.L. 116–20)	13	3	
0100 Total direct program	1,217	1,750	901
0799 Total direct obligations	1,217	1,750	901
0801 Water and Related Resources (Reimbursable)	374	693	351
0900 Total new obligations, unexpired accounts	1,591	2,443	1,252

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,129	1,301	575
1001 Discretionary unobligated balance brought fwd, Oct 1	1,077	1,235	
1010 Unobligated balance transfer to other accts [014–5668]		-34	
1021 Recoveries of prior year unpaid obligations	73		
1050 Unobligated balance (total)	1,202	1,267	575
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	286	232	235
1101 Appropriation (special or trust)	1,143	1,280	744
1120 Appropriations transferred to other accts [014–4081]	-89	-70	-58
1120 Appropriations transferred to other accts [014–4079]	-6	-5	-6
1120 Appropriations transferred to other acct [014–5668]		-10	-26
1160 Appropriation, discretionary (total)	1,334	1,427	889
Appropriations, mandatory:			
1200 Appropriation	16		
1201 Appropriation (special or trust fund)	1	1	1
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1		
1260 Appropriations, mandatory (total)	16	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected	370	323	351
1701 Change in uncollected payments, Federal sources	-30		
1750 Spending auth from offsetting collections, disc (total)	340	323	351
1900 Budget authority (total)	1,690	1,751	1,241
1930 Total budgetary resources available	2,892	3,018	1,816

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,301	575	564
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,356	1,433	2,146
3010 New obligations, unexpired accounts	1,591	2,443	1,252
3020 Outlays (gross)	-1,441	-1,730	-1,468
3040 Recoveries of prior year unpaid obligations, unexpired	-73		
3050 Unpaid obligations, end of year	1,433	2,146	1,930
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-465	-435	-435
3070 Change in uncollected pymts, Fed sources, unexpired	30		
3090 Uncollected pymts, Fed sources, end of year	-435	-435	-435
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	891	998	1,711
3200 Obligated balance, end of year	998	1,711	1,495

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,674	1,750	1,240
Outlays, gross:			
4010 Outlays from new discretionary authority	481	1,050	744
4011 Outlays from discretionary balances	958	659	704
4020 Outlays, gross (total)	1,439	1,709	1,448
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-211	-196	-215
4033 Non-Federal sources	-159	-127	-136
4040 Offsets against gross budget authority and outlays (total)	-370	-323	-351
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	30		
4070 Budget authority, net (discretionary)	1,334	1,427	889
4080 Outlays, net (discretionary)	1,069	1,386	1,097
Mandatory:			
4090 Budget authority, gross	16	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	2	20	19
4110 Outlays, gross (total)	2	21	20
4180 Budget authority, net (total)	1,350	1,428	890
4190 Outlays, net (total)	1,071	1,407	1,117

The Water and Related Resources account supports the development, management, and restoration of water and related resources in the 17 Western States. The account includes funds to operate, maintain, and rehabilitate existing water and power facilities; protect public safety; conduct studies on ways to improve the reliability of water and related resources; and cover expenses associated with Indian water rights settlements.

Work is done in partnership and cooperation with non-Federal entities and other Federal agencies to reduce conflict, facilitate solutions to complex water issues, and stretch limited water supplies.

Object Classification (in millions of dollars)

Identification code 014–0680–0–1–301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	166	210	210
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	12	15	15
11.9 Total personnel compensation	181	228	228
12.1 Civilian personnel benefits	47	60	60
21.0 Travel and transportation of persons	9	9	9
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	14	14	14
25.2 Other services from non-Federal sources	600	1,069	220
26.0 Supplies and materials	15	15	15
31.0 Equipment	11	11	11
32.0 Land and structures	68	69	69
41.0 Grants, subsidies, and contributions	269	272	272
99.0 Direct obligations	1,216	1,749	900
99.0 Reimbursable obligations	373	692	350
99.5 Adjustment for rounding	2	2	2
99.9 Total new obligations, unexpired accounts	1,591	2,443	1,252

WATER AND RELATED RESOURCES—Continued
Employment Summary

Identification code 014-0680-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,635	2,057	2,057
2001 Reimbursable civilian full-time equivalent employment	716	683	683
3001 Allocation account civilian full-time equivalent employment	4	5	5
3001 Allocation account civilian full-time equivalent employment	2	2
3001 Allocation account civilian full-time equivalent employment	9

CALIFORNIA BAY-DELTA RESTORATION
(INCLUDING TRANSFERS OF FUNDS)

For carrying out activities authorized by the Water Supply, Reliability, and Environmental Improvement Act, consistent with plans to be approved by the Secretary of the Interior, \$33,000,000, to remain available until expended, of which such amounts as may be necessary to carry out such activities may be transferred to appropriate accounts of other participating Federal agencies to carry out authorized purposes: *Provided*, That funds appropriated herein may be used for the Federal share of the costs of CALFED Program management: *Provided further*, That CALFED implementation shall be carried out in a balanced manner with clear performance measures demonstrating concurrent progress in achieving the goals and objectives of the Program. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-0687-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 California Bay-Delta Restoration (Direct)	40	35	33
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2
1021 Recoveries of prior year unpaid obligations	6
1050 Unobligated balance (total)	7	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	35	33	33
1930 Total budgetary resources available	42	35	33
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	68	61	76
3010 New obligations, unexpired accounts	40	35	33
3020 Outlays (gross)	-41	-20	-33
3040 Recoveries of prior year unpaid obligations, unexpired	-6
3050 Unpaid obligations, end of year	61	76	76
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	68	61	76
3200 Obligated balance, end of year	61	76	76
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	35	33	33
Outlays, gross:			
4010 Outlays from new discretionary authority	9	12	12
4011 Outlays from discretionary balances	32	8	21
4020 Outlays, gross (total)	41	20	33
4180 Budget authority, net (total)	35	33	33
4190 Outlays, net (total)	41	20	33

This account funds activities that are consistent with the CALFED Bay-Delta Program, a collaborative effort involving State and Federal agencies and representatives of California's urban, agricultural, and environmental communities. The goals of the program are to improve fish and wildlife habitat, water supply reliability, water quality, and levee integrity in the San Francisco Bay-San Joaquin River Delta, the principal hub of California's water distribution system.

Object Classification (in millions of dollars)

Identification code 014-0687-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	18	12	10
41.0 Grants, subsidies, and contributions	17	17	17
99.0 Direct obligations	39	34	32
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	40	35	33

Employment Summary

Identification code 014-0687-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	31	31

TAOS SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-2638-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Taos Settlement Fund (Direct)	1	7
0900 Total new obligations, unexpired accounts (object class 25.2)	1	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	16	15
1930 Total budgetary resources available	16	16	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	15	8
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	7
3020 Outlays (gross)	-1	-5
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1	5
4180 Budget authority, net (total)
4190 Outlays, net (total)	1	5

This account covers certain expenses associated with Mutual-Benefit Projects funding authorized by the Taos Pueblo Indian Water Rights Settlement Act contained in Title V of the Claims Resolution Act of 2010 (Public Law 111-291).

RECLAMATION WATER SETTLEMENTS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5593-0-2-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1130 Reclamation Water Settlements Fund	120	120
1140 Earnings on Investments, Reclamation Water Settlement Fund	2	4	4
1199 Total current law receipts	2	124	124
1999 Total receipts	2	124	124
2000 Total: Balances and receipts	2	124	124

Appropriations:				
Current law:				
2101	Reclamation Water Settlements Fund	-2	-124	-124
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-5593-0-2-301	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Reclamation Water Settlements Fund (Direct)	158	197	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	139	141	107
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	2	124	124
1930	Total budgetary resources available	141	265	231
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	141	107	34
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	23	20	63
3010	New obligations, unexpired accounts		158	197
3020	Outlays (gross)	-3	-115	-181
3050	Unpaid obligations, end of year	20	63	79
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	23	20	63
3200	Obligated balance, end of year	20	63	79
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2	124	124
Outlays, gross:				
4100	Outlays from new mandatory authority		61	74
4101	Outlays from mandatory balances	3	54	107
4110	Outlays, gross (total)	3	115	181
4180	Budget authority, net (total)	2	124	124
4190	Outlays, net (total)	3	115	181
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	140	143	167
5001	Total investments, EOY: Federal securities: Par value	143	167	146

This account funds expenses associated with Indian water rights settlements under the Navajo-Gallup Water Supply Project, other projects as authorized by the Omnibus Public Land Management Act of 2009 (P.L. 111-11), the Claims Resolution Act of 2010 (P.L. 111-291), and the Water Infrastructure Improvements for the Nation Act of 2016 (P.L. 114-322). The Secretary may expend money from the Fund to implement a settlement agreement approved by the Congress that resolves, in whole or in part, litigation involving the United States, if the settlement agreement or implementing legislation requires the Bureau of Reclamation to provide financial assistance for, or plan, design, and construct: A) water supply infrastructure; or B) a project: (i) to rehabilitate a water delivery system to conserve water; or (ii) to restore fish and wildlife habitat or otherwise improve environmental conditions associated with or affected by, or located within the same river basin as a Federal reclamation project that is in existence on the date of enactment of this Act.

Object Classification (in millions of dollars)

Identification code 014-5593-0-2-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	5	5
41.0	Grants, subsidies, and contributions	153	192
99.9	Total new obligations, unexpired accounts	158	197

BLACKFEET WATER SETTLEMENT IMPLEMENTATION FUND

Program and Financing (in millions of dollars)

Identification code 014-5668-0-2-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Blackfeet Water Settlement Implementation Fund (Direct)	5	13
0900	Total new obligations, unexpired accounts (object class 25.2)	5	13
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		39
1011	Unobligated balance transfer from other acct [014-0680]	34	
1050	Unobligated balance (total)	34	39
Budget authority:			
Appropriations, discretionary:			
1121	Appropriations transferred from other acct [014-0680]	10	26
1930	Total budgetary resources available	44	65
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	39	52
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		4
3010	New obligations, unexpired accounts	5	13
3020	Outlays (gross)	-1	-6
3050	Unpaid obligations, end of year	4	11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		4
3200	Obligated balance, end of year	4	11
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	10	26
Outlays, gross:			
4010	Outlays from new discretionary authority	1	3
4011	Outlays from discretionary balances		3
4020	Outlays, gross (total)	1	6
4180	Budget authority, net (total)	10	26
4190	Outlays, net (total)	1	6

This account covers multiple construction components associated with the Blackfeet Water Rights Settlement Act contained in Title III, Subtitle G of the Water Infrastructure Improvements for the Nation Act of 2016 (Public Law 114-322).

RECLAMATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5000-0-2-301	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	16,630	17,668	17,956
Receipts:				
Current law:				
1130	Reclamation Fund, Miscellaneous Interest	19	22	23
1130	Reclamation Fund, Royalties on Natural Resources	1,761	1,397	1,369
1130	Reclamation Fund, Other Proprietary Receipts from the Public	231	72	71
1130	Reclamation Fund, Sale of Public Domain	12	12	14
1130	Reclamation Fund, All Other, Sale of Electric Energy, Bonneville Power Administration	40	13	4
1130	Reclamation Fund, All Other, Sale of Power and Other Utilities (WAPA)	266	201	224
1199	Total current law receipts	2,329	1,717	1,705
1999	Total receipts	2,329	1,717	1,705
2000	Total: Balances and receipts	18,959	19,385	19,661
Appropriations:				
Current law:				
2101	Water and Related Resources	-1,143	-1,280	-744
2101	Policy and Administration	-61	-60	-60
2101	Construction, Rehabilitation, Operation and Maintenance, Western Area Power Administration	-89	-89	-89
2199	Total current law appropriations	-1,293	-1,429	-893
2999	Total appropriations	-1,293	-1,429	-893

RECLAMATION FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 014-5000-0-2-301	2019 actual	2020 est.	2021 est.
Special and trust fund receipts returned:			
3010 Policy and Administration	1		
3010 Policy and Administration	1		
5099 Balance, end of year	17,668	17,956	18,768

This fund is derived from repayments and other revenues from water and power users, together with certain receipts from the sale, lease, and rental of Federal lands in the 17 Western States and certain oil and mineral revenues. Receipts deposited are made available by the Congress through annual appropriations acts.

POLICY AND ADMINISTRATION

For expenses necessary for policy, administration, and related functions in the Office of the Commissioner, the Denver office, and offices in the [five] six regions of the Bureau of Reclamation, to remain available until September 30, [2021] 2022, \$60,000,000, to be derived from the Reclamation Fund and be nonreimbursable as provided in 43 U.S.C. 377: *Provided*, That no part of any other appropriation in this Act shall be available for activities or functions budgeted as policy and administration expenses. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-5065-0-2-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Policy and Administration (Direct)	63	72	60
Budgetary resources:			
1000 Unobligated balance:			
Unobligated balance brought forward, Oct 1	14	12	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	61	60	60
1930 Total budgetary resources available	75	72	60
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12		
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	1		
1952 Expired unobligated balance, start of year	3	2	2
1953 Expired unobligated balance, end of year	2	2	2
1954 Unobligated balance canceling	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	12	10
3010 New obligations, unexpired accounts	63	72	60
3020 Outlays (gross)	-59	-74	-60
3050 Unpaid obligations, end of year	12	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	12	10
3200 Obligated balance, end of year	12	10	10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	61	60	60
Outlays, gross:			
4010 Outlays from new discretionary authority	44	51	51
4011 Outlays from discretionary balances	15	23	9
4020 Outlays, gross (total)	59	74	60
4180 Budget authority, net (total)	61	60	60
4190 Outlays, net (total)	59	74	60

This account supports the direction and management of all Reclamation activities as performed by the Commissioner's office and the six regional offices. Charges attributable to individual projects or specific beneficiaries, including the costs of related administrative and technical services, are covered under other Bureau of Reclamation accounts.

Object Classification (in millions of dollars)

Identification code 014-5065-0-2-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	24	36	36
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	26	38	38
12.1 Civilian personnel benefits	7	10	10
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	25	19	7
31.0 Equipment	1	1	1
99.0 Direct obligations	62	71	59
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	63	72	60

Employment Summary

Identification code 014-5065-0-2-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	193	276	276

CENTRAL VALLEY PROJECT RESTORATION FUND

For carrying out the programs, projects, plans, habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act, [\$54,849,000] \$55,875,000, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), and 3405(f) of Public Law 102-575, to remain available until expended: *Provided*, That the Bureau of Reclamation is directed to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102-575: *Provided further*, That none of the funds made available under this heading may be used for the acquisition or leasing of water for in-stream purposes if the water is already committed to in-stream purposes by a court adopted decree or order. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5173-0-2-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	11	16	16
Receipts:			
Current law:			
1130 Central Valley Project Restoration Fund, Revenue	67	55	56
2000 Total: Balances and receipts	78	71	72
Appropriations:			
Current law:			
2101 Central Valley Project Restoration Fund	-62	-55	-56
5099 Balance, end of year	16	16	16

Program and Financing (in millions of dollars)

Identification code 014-5173-0-2-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Central Valley Project Restoration Fund (Direct)	63	61	56
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	7	6	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special fund, restoration fund, 3407(d))	62	55	56
1930 Total budgetary resources available	69	61	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	77	94	81

3010	New obligations, unexpired accounts	63	61	56
3020	Outlays (gross)	-44	-74	-56
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3050	Unpaid obligations, end of year	94	81	81
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	77	94	81
3200	Obligated balance, end of year	94	81	81
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	62	55	56
Outlays, gross:				
4010	Outlays from new discretionary authority	10	19	20
4011	Outlays from discretionary balances	34	55	36
4020	Outlays, gross (total)	44	74	56
4180	Budget authority, net (total)	62	55	56
4190	Outlays, net (total)	44	74	56

This fund was established to carry out the provisions of the Central Valley Project Improvement Act—to provide funding from project beneficiaries for habitat restoration, improvement and acquisition, and other fish and wildlife restoration activities in the Central Valley Project area of California. Resources are derived from donations, revenues from voluntary water transfers, and tiered water pricing. The account is also financed through additional mitigation and restoration payments collected on an annual basis from project beneficiaries.

Object Classification (in millions of dollars)

Identification code 014-5173-0-2-301	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1	1	1
23.3	Communications, utilities, and miscellaneous charges	14	14	14
25.2	Other services from non-Federal sources	33	31	26
32.0	Land and structures	3	3	3
41.0	Grants, subsidies, and contributions	9	9	9
99.0	Direct obligations	62	60	55
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	63	61	56

Employment Summary

Identification code 014-5173-0-2-301	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	16	18	18

COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5656-0-2-301	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1		
Receipts:				
Current law:				
1130	Revenues, Colorado River Dam Fund, Boulder Canyon Project	93	96	106
2000	Total: Balances and receipts	94	96	106
Appropriations:				
Current law:				
2101	Colorado River Dam Fund, Boulder Canyon Project	-93	-96	-106
2103	Colorado River Dam Fund, Boulder Canyon Project	-1		
2199	Total current law appropriations	-94	-96	-106
2999	Total appropriations	-94	-96	-106
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-5656-0-2-301	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Facility operations	65	65	67

0002	Facility maintenance and rehabilitation	11	21	25
0003	Water and Energy Management and Development	12	13	13
0900	Total new obligations, unexpired accounts	88	99	105

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	51	59	55
1021	Recoveries of prior year unpaid obligations	3		
1022	Capital transfer of unobligated balances to general fund		-1	-1
1050	Unobligated balance (total)	54	58	54
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	93	96	106
1203	Appropriation (previously unavailable)(special or trust)	1		
1240	Capital transfer of appropriations to general fund	-1		
1260	Appropriations, mandatory (total)	93	96	106
1930	Total budgetary resources available	147	154	160
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	59	55	55

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	32	17	40
3010	New obligations, unexpired accounts	88	99	105
3020	Outlays (gross)	-100	-76	-102
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	17	40	43
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	32	17	40
3200	Obligated balance, end of year	17	40	43

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	93	96	106
Outlays, gross:				
4100	Outlays from new mandatory authority		55	61
4101	Outlays from mandatory balances	100	21	41
4110	Outlays, gross (total)	100	76	102
4180	Budget authority, net (total)	93	96	106
4190	Outlays, net (total)	100	76	102

Revenues from the sale of Boulder Canyon power are placed in this Fund and are available without further appropriation to pay the operation and maintenance costs of the project including those of the Western Area Power Administration for power marketing, transmission, operation, maintenance, and rehabilitation; to pay interest on amounts advanced from the Treasury; to pay annually not more than \$300,000 each to Arizona and Nevada; and to repay advances from the Treasury for construction and other purposes. The rates charged for Boulder Canyon power also include certain amounts for transfer to the Lower Colorado River Basin Development Fund.

Object Classification (in millions of dollars)

Identification code 014-5656-0-2-301	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	24	23	23
11.5	Other personnel compensation	3	2	2
11.9	Total personnel compensation	27	25	25
12.1	Civilian personnel benefits	7	6	6
25.2	Other services from non-Federal sources	48	62	68
31.0	Equipment	2	2	2
32.0	Land and structures	2	2	2
41.0	Grants, subsidies, and contributions	1	1	1
99.0	Direct obligations	87	98	104
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	88	99	105

COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT—Continued

Employment Summary

Identification code 014-5656-0-2-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	228	218	218

SAN JOAQUIN RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5537-0-2-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	202	218	17
Receipts:			
Current law:			
1130 San Joaquin River Restoration Fund Receipts	16	6	6
2000 Total: Balances and receipts	218	224	23
Appropriations:			
Current law:			
2101 San Joaquin Restoration Fund		-207	-8
5099 Balance, end of year	218	17	15

Program and Financing (in millions of dollars)

Identification code 014-5537-0-2-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 San Joaquin Restoration Fund (Direct)	6	218	8
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	11	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		207	8
1900 Budget authority (total)		207	8
1930 Total budgetary resources available	17	218	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		4	134
3010 New obligations, unexpired accounts	6	218	8
3020 Outlays (gross)	-2	-88	-138
3050 Unpaid obligations, end of year	4	134	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		4	134
3200 Obligated balance, end of year	4	134	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		207	8
Outlays, gross:			
4100 Outlays from new mandatory authority		73	3
4101 Outlays from mandatory balances	2	15	135
4110 Outlays, gross (total)	2	88	138
4180 Budget authority, net (total)		207	8
4190 Outlays, net (total)	2	88	138

This account receives funding (user fees and repayment receipts) from the Friant Division long-term water contractors and other Federal and non-Federal sources to implement the provisions described in the settlement for the National Resources Defense Council et al. v. Rodgers lawsuit. The settlement's two primary goals are: 1) to restore and maintain fish populations in "good condition" in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally reproducing and self-sustaining populations of salmon and other fish; and 2) to reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in the Settlement.

Object Classification (in millions of dollars)

Identification code 014-5537-0-2-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		2	2
25.2 Other services from non-Federal sources		189	
41.0 Grants, subsidies, and contributions	5	26	5
99.0 Direct obligations	5	217	7
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	6	218	8

Employment Summary

Identification code 014-5537-0-2-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	22	22

LOWER COLORADO RIVER BASIN DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 014-4079-0-3-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Facility operation	236	118	79
0802 Water & energy management & development	80	120	75
0900 Total new obligations, unexpired accounts	316	238	154
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	331	333	255
1001 Discretionary unobligated balance brought fwd, Oct 1	3	1	
1021 Recoveries of prior year unpaid obligations	10		
1022 Capital transfer of unobligated balances to general fund		-1	-1
1050 Unobligated balance (total)	341	332	254
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0680] ...	6	5	6
Spending authority from offsetting collections, mandatory:			
1800 Collected	302	156	130
1900 Budget authority (total)	308	161	136
1930 Total budgetary resources available	649	493	390
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	333	255	236
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	53	67	161
3010 New obligations, unexpired accounts	316	238	154
3020 Outlays (gross)	-292	-144	-178
3040 Recoveries of prior year unpaid obligations, unexpired	-10		
3050 Unpaid obligations, end of year	67	161	137
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-7	-7
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	46	60	154
3200 Obligated balance, end of year	60	154	130
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	5	6
Outlays, gross:			
4010 Outlays from new discretionary authority		3	4
4011 Outlays from discretionary balances	8	2	2
4020 Outlays, gross (total)	8	5	6
Mandatory:			
4090 Budget authority, gross	302	156	130
Outlays, gross:			
4100 Outlays from new mandatory authority		55	46
4101 Outlays from mandatory balances	284	84	126
4110 Outlays, gross (total)	284	139	172
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-7	-5	-5

4123	Non-Federal sources	-295	-151	-125
4130	Offsets against gross budget authority and outlays (total)	-302	-156	-130
4170	Outlays, net (mandatory)	-18	-17	42
4180	Budget authority, net (total)	6	5	6
4190	Outlays, net (total)	-10	-12	48

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	375	358	418
5001	Total investments, EOY: Federal securities: Par value	358	418	478
5010	Total investments, SOY: non-Fed securities: Market value	41		

Ongoing construction costs of the Central Arizona project are financed through appropriations transferred to this Fund. Revenues from the operation and repayment, including interest, of project facilities are available without further appropriation. A portion of the revenues from the Boulder Canyon power and Parker-Davis projects are also transferred to this Fund. Use of the revenues are authorized for operation and maintenance expenses, for a share of Colorado River salinity control projects, and for other purposes defined in the Colorado River Basin Project Act as amended by the Arizona Water Settlements Act, Public Law 108-451.

Object Classification (in millions of dollars)

Identification code 014-4079-0-3-301	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	2	2	2
32.0	Land and structures	103	85	65
41.0	Grants, subsidies, and contributions	207	147	83
99.0	Reimbursable obligations	315	237	153
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	316	238	154

Employment Summary

Identification code 014-4079-0-3-301	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	24	22	22

UPPER COLORADO RIVER BASIN FUND

Program and Financing (in millions of dollars)

Identification code 014-4081-0-3-301	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Facility operation	43	61	52
0802	Facility maintenance & rehabilitation	21	38	30
0803	Water & energy management & development	119	89	42
0804	Fish & wildlife management & development	14	20	64
0805	Land management & development	2	5	4
0900	Total new obligations, unexpired accounts	199	213	192

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	147	140	110
1001	Discretionary unobligated balance brought fwd, Oct 1	1	1	
1021	Recoveries of prior year unpaid obligations	5		
1022	Capital transfer of unobligated balances to general fund		-4	-4
1050	Unobligated balance (total)	152	136	106
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other acct [014-0680]	89	70	58
Spending authority from offsetting collections, discretionary:				
1711	Spending authority from offsetting collections transferred from other accounts [089-4452]		21	
Spending authority from offsetting collections, mandatory:				
1800	Collected	104	96	117
1820	Capital transfer of spending authority from offsetting collections to general fund	-6		
1850	Spending auth from offsetting collections, mand (total)	98	96	117
1900	Budget authority (total)	187	187	175
1930	Total budgetary resources available	339	323	281

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	140	110	89

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	369	352	358
3010	New obligations, unexpired accounts	199	213	192
3020	Outlays (gross)	-211	-207	-171
3040	Recoveries of prior year unpaid obligations, unexpired	-5		
3050	Unpaid obligations, end of year	352	358	379
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	369	352	358
3200	Obligated balance, end of year	352	358	379

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	89	91	58
Outlays, gross:				
4010	Outlays from new discretionary authority		55	35
4011	Outlays from discretionary balances	94	36	36
4020	Outlays, gross (total)	94	91	71
Mandatory:				
4090	Budget authority, gross	98	96	117
Outlays, gross:				
4100	Outlays from new mandatory authority		29	35
4101	Outlays from mandatory balances	117	87	65
4110	Outlays, gross (total)	117	116	100
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-30	-1	-1
4123	Non-Federal sources	-74	-95	-116
4130	Offsets against gross budget authority and outlays (total)	-104	-96	-117
4160	Budget authority, net (mandatory)	-6		
4170	Outlays, net (mandatory)	13	20	-17
4180	Budget authority, net (total)	83	91	58
4190	Outlays, net (total)	107	111	54

Ongoing construction costs of the Colorado River Storage project are financed through appropriations transferred to this account. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses and for capital repayment to the General Fund.

Object Classification (in millions of dollars)

Identification code 014-4081-0-3-301	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	20	9	9
11.5	Other personnel compensation	2	1	1
11.9	Total personnel compensation	22	10	10
12.1	Civilian personnel benefits	7	3	3
32.0	Land and structures	93	104	97
41.0	Grants, subsidies, and contributions	75	94	80
99.0	Reimbursable obligations	197	211	190
99.5	Adjustment for rounding	2	2	2
99.9	Total new obligations, unexpired accounts	199	213	192

Employment Summary

Identification code 014-4081-0-3-301	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	212	97	97

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 014-4524-0-4-301	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Information resources management	38	44	43
0803	Administrative expenses	305	335	325
0804	Technical expenses	159	178	173

WORKING CAPITAL FUND—Continued
Program and Financing—Continued

Identification code 014-4524-0-4-301	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts	502	557	541
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	109	101	81
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	114	101	81
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	495	537	541
1701 Change in uncollected payments, Federal sources	-6		
1750 Spending auth from offsetting collections, disc (total)	489	537	541
1930 Total budgetary resources available	603	638	622
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	101	81	81
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	59	56	125
3010 New obligations, unexpired accounts	502	557	541
3020 Outlays (gross)	-500	-488	-541
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	56	125	125
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-77	-71	-71
3070 Change in uncollected pymts, Fed sources, unexpired	6		
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-18	-15	54
3200 Obligated balance, end of year	-15	54	54
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	489	537	541
Outlays, gross:			
4010 Outlays from new discretionary authority		483	487
4011 Outlays from discretionary balances	500	5	54
4020 Outlays, gross (total)	500	488	541
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-494	-536	-540
4033 Non-Federal sources	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-495	-537	-541
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	6		
4080 Outlays, net (discretionary)	5	-49	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	5	-49	

This revolving fund enables Reclamation to recover the costs of administrative and technical services and of facilities used by its programs and by others, and accumulate funds to finance capital equipment purchases.

Object Classification (in millions of dollars)

Identification code 014-4524-0-4-301	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	217	175	175
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	6	5	5
11.9 Total personnel compensation	228	185	185
12.1 Civilian personnel benefits	67	55	56
21.0 Travel and transportation of persons	5	5	5
23.1 Rental payments to GSA	18	18	18
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	7	7	7
25.2 Other services from non-Federal sources	150	260	243
26.0 Supplies and materials	6	6	6
31.0 Equipment	16	16	16
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Reimbursable obligations	501	556	540

99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	502	557	541

Employment Summary

Identification code 014-4524-0-4-301	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	2,286	1,848	1,848

BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT

Under the Small Reclamation Projects Act, loans and grants can be made to non-Federal organizations for construction of small water resource projects.

As required by the Federal Credit Reform Act of 1990, the Reclamation loan program account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and the administrative expenses are estimated on a cash basis.

No funds are requested for the Reclamation loan program for direct loans or for loan program administration for 2021.

BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-4547-0-3-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	2		
0900 Total new obligations, unexpired accounts	2		
Budgetary resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1825 Spending authority from offsetting collections applied to repay debt	-1	-3	-3
1850 Spending auth from offsetting collections, mand (total)	2		
1900 Budget authority (total)	2		
1930 Total budgetary resources available	2		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2		
3020 Outlays (gross)	-2		
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	2		
Financing disbursements:			
4110 Outlays, gross (total)	2		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments of principal	-3	-2	-2
4123 Interest received on loans		-1	-1
4130 Offsets against gross budget authority and outlays (total)	-3	-3	-3
4160 Budget authority, net (mandatory)	-1	-3	-3
4170 Outlays, net (mandatory)	-1	-3	-3
4180 Budget authority, net (total)	-1	-3	-3
4190 Outlays, net (total)	-1	-3	-3

Status of Direct Loans (in millions of dollars)

Identification code 014-4547-0-3-301	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	33	31	29
1251 Repayments: Repayments and prepayments	-2	-2	-2
1290 Outstanding, end of year	31	29	27

As required by the Federal Credit Reform Act of 1990, the Reclamation direct loan financing account is a non-budgetary account for recording all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

Balance Sheet (in millions of dollars)

Identification code 014-4547-0-3-301	2018 actual	2019 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	35	31
1405 Allowance for subsidy cost (-)	-3	-2
1499 Net present value of assets related to direct loans	32	29
1999 Total assets	32	29
LIABILITIES:		
2103 Federal liabilities: Debt	32	29
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	32	29

BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-0667-0-1-301	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1	-1	-1
4180 Budget authority, net (total)	-1	-1	-1
4190 Outlays, net (total)	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 014-0667-0-1-301	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	15	14	13
1251 Repayments: Repayments and prepayments	-1	-1	-1
1290 Outstanding, end of year	14	13	12

As required by the Federal Credit Reform Act of 1990, the Reclamation loan liquidating account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All loans obligated in 1992, or thereafter, are recorded in loan program account No. 14-0685-0-1-301 and loan program financing account No. 14-4547-0-3-301.

Balance Sheet (in millions of dollars)

Identification code 014-0667-0-1-301	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	15	14
1603 Allowance for estimated uncollectible loans and interest (-)	-7	-7
1699 Value of assets related to direct loans	8	7
1999 Total assets	8	7
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	8	7
NET POSITION:		
3300 Cumulative results of operations		

4999 Total liabilities and net position	8	7
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Trust Funds

RECLAMATION TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8070-0-7-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Deposits, Reclamation Trust Funds		2	2
2000 Total: Balances and receipts		2	2
Appropriations:			
Current law:			
2101 Reclamation Trust Funds		-2	-2
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-8070-0-7-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reclamation Trust Funds (Direct)		5	2
0900 Total new obligations, unexpired accounts (object class 25.2)		5	2

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28	28	25
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		2	2
1930 Total budgetary resources available	28	30	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	28	25	25

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			1
3010 New obligations, unexpired accounts		5	2
3020 Outlays (gross)		-4	-2
3050 Unpaid obligations, end of year		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			1
3200 Obligated balance, end of year		1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross		2	2
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances		3	1
4110 Outlays, gross (total)		4	2
4180 Budget authority, net (total)		2	2
4190 Outlays, net (total)		4	2

The Bureau of Reclamation performs work on various projects and activities with funding provided by non-Federal entities under 43 U.S.C. 395 and 396.

RECLAMATION TRUST FUNDS—Continued
Employment Summary

Identification code 014-8070-0-7-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		1	1

ADMINISTRATIVE PROVISION

Appropriations for the Bureau of Reclamation shall be available for purchase of not to exceed five passenger motor vehicles, which are for replacement only. *(Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

CENTRAL UTAH PROJECT
Federal Funds

CENTRAL UTAH PROJECT COMPLETION ACCOUNT

For carrying out activities authorized by the Central Utah Project Completion Act, **[\$20,000,000]** \$10,000,000, to remain available until expended, of which \$1,800,000 shall be deposited into the Utah Reclamation Mitigation and Conservation Account for use by the Utah Reclamation Mitigation and Conservation Commission: *Provided*, That of the amount provided under this heading, \$1,500,000 shall be available until September 30, **[2021]** 2022, for expenses necessary in carrying out related responsibilities of the Secretary of the Interior: *Provided further*, That for fiscal year **[2020]** 2021, of the amount made available to the Commission under this Act or any other Act, the Commission may use an amount not to exceed \$1,500,000 for administrative expenses. *(Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 014-0787-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Central Utah project construction	10	14	4
0003 Fish and Wildlife	3	3	3
0004 Program administration	2	1	1
0900 Total new obligations, unexpired accounts	15	18	8
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	20	10
1120 Appropriations transferred to other accts [014-5174]	-1	-2	-2
1160 Appropriation, discretionary (total)	14	18	8
1930 Total budgetary resources available	15	18	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	4
3010 New obligations, unexpired accounts	15	18	8
3020 Outlays (gross)	-15	-15	-9
3050 Unpaid obligations, end of year	1	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	4
3200 Obligated balance, end of year	1	4	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	18	8
Outlays, gross:			
4010 Outlays from new discretionary authority	13	15	7
4011 Outlays from discretionary balances	2		2
4020 Outlays, gross (total)	15	15	9
4180 Budget authority, net (total)	14	18	8
4190 Outlays, net (total)	15	15	9

Titles II through VI of Public Law 102-575 authorize the completion of the Central Utah Project through construction and related activities, including the mitigation, conservation, and enhancement of fish and wildlife and

recreational resources. Funds are requested in this account for: the Central Utah Water Conservancy District; transfer to the Utah Reclamation Mitigation and Conservation Commission; and to carry out related responsibilities of the Secretary.

Object Classification (in millions of dollars)

Identification code 014-0787-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	10	14	4
25.3 Other goods and services from Federal sources	2	1	1
41.0 Grants, subsidies, and contributions	3	3	3
99.9 Total new obligations, unexpired accounts	15	18	8

Employment Summary

Identification code 014-0787-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5174-0-2-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	152	138	139
Receipts:			
Current law:			
1140 Interest on Principal, Utah Mitigation and Conservation Fund	-7	11	-2
2000 Total: Balances and receipts	145	149	137
Appropriations:			
Current law:			
2101 Utah Reclamation Mitigation and Conservation Account	-7	-11	-10
2103 Utah Reclamation Mitigation and Conservation Account			-1
2132 Utah Reclamation Mitigation and Conservation Account		1	
2199 Total current law appropriations	-7	-10	-11
2999 Total appropriations	-7	-10	-11
5099 Balance, end of year	138	139	126

Program and Financing (in millions of dollars)

Identification code 014-5174-0-2-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Utah Reclamation Mitigation and Conservation	6	1	1
0002 Title IV Interest on Investment	7	9	10
0900 Total new obligations, unexpired accounts	13	10	11
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	1	3
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [014-0787] ...	1	2	2
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	11	10
1203 Appropriation (previously unavailable)(special or trust) ...			1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		-1	
1260 Appropriations, mandatory (total)	7	10	11
1900 Budget authority (total)	8	12	13
1930 Total budgetary resources available	14	13	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	3	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	15	7
3010 New obligations, unexpired accounts	13	10	11
3020 Outlays (gross)	-10	-18	-16
3050 Unpaid obligations, end of year	15	7	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	15	7

3200	Obligated balance, end of year	15	7	2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority		1	1
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	1	1	1
Mandatory:				
4090	Budget authority, gross	7	10	11
Outlays, gross:				
4100	Outlays from new mandatory authority		9	10
4101	Outlays from mandatory balances	9	8	5
4110	Outlays, gross (total)	9	17	15
4180	Budget authority, net (total)	8	12	13
4190	Outlays, net (total)	10	18	16
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	154	138	138
5001	Total investments, EOY: Federal securities: Par value	138	138	124

The Utah Reclamation Mitigation and Conservation account was established under Title IV of Public Law 102–575 for contributions from the State of Utah, the Federal Government (through the Secretary of the Interior and the Western Area Power Administration), and project beneficiaries (the Conservancy District). The requirement for contributions from the State, the Secretary, and the Conservancy District ended in 2001. The requirement for contributions from the Western Area Power Administration ended in 2013. Funds are deposited into the account as principal and may not be expended for any purpose. Interest earned annually on the account is available for expenditure, without further appropriations, by the Utah Reclamation Mitigation and Conservation Commission, which has the option to use the funds for the mitigation, conservation, and enhancement of fish and wildlife and recreational resources, or to reinvest the funds into the account as principal.

Object Classification (in millions of dollars)

Identification code 014–5174–0–2–301	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	11	8	9
25.3	Other goods and services from Federal sources	1	1	1
99.9	Total new obligations, unexpired accounts	13	10	11

Employment Summary

Identification code 014–5174–0–2–301	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	10	10	10

UNITED STATES GEOLOGICAL SURVEY

Federal Funds

SURVEYS, INVESTIGATIONS, AND RESEARCH

For expenses necessary for the United States Geological Survey to perform surveys, investigations, and research covering topography, geology, hydrology, biology, and the mineral and water resources of the United States, its territories and possessions, and other areas as authorized by 43 U.S.C. 31, 1332, and 1340; classify lands as to their mineral and water resources; give engineering supervision to power permittees and Federal Energy Regulatory Commission licensees; administer the minerals exploration program (30 U.S.C. 641); conduct inquiries into the economic conditions affecting mining and materials processing industries (30 U.S.C. 3, 21a, and 1603; 50 U.S.C. 98g(1)) and related purposes as authorized by law; and to publish and disseminate data relative to the foregoing activities; **[\$1,270,957,000] \$971,185,000**, to remain available until September 30, **[2021] 2022**; of which **[\$84,337,000] \$73,432,000** shall remain available until expended for satellite operations; and of which **[\$76,164,000] \$11,575,000** shall be available until expended for deferred maintenance and capital improvement projects that exceed \$100,000 in cost: *Provided*, That none of the funds provided for the ecosystem research activity shall

be used to conduct new surveys on private property, unless specifically authorized in writing by the property owner: *Provided further*, That no part of this appropriation shall be used to pay more than one-half the cost of topographic mapping or water resources data collection and investigations carried on in cooperation with States and municipalities. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–0804–0–1–306	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Ecosystems	160	172	141
0002	Land Resources	160	169	
0003	Energy and Mineral Resources, and Environmental Health	112	115	
0004	Natural Hazards	187	191	162
0005	Water Resources	226	234	190
0006	Core Science Systems	122	146	210
0007	Science Support	94	103	105
0008	Facilities	111	129	189
0009	Energy and Mineral Resources			94
0799	Total direct obligations	1,172	1,259	1,091
0801	Surveys, Investigations, and Research (Reimbursable)	592	592	592
0900	Total new obligations, unexpired accounts	1,764	1,851	1,683
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	709	793	805
1001	Discretionary unobligated balance brought fwd, Oct 1	682	768	
1021	Recoveries of prior year unpaid obligations	8		
1050	Unobligated balance (total)	717	793	805
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,259	1,271	971
Spending authority from offsetting collections, discretionary:				
1700	Collected	516	592	592
1701	Change in uncollected payments, Federal sources	66		
1750	Spending auth from offsetting collections, disc (total)	582	592	592
1900	Budget authority (total)	1,841	1,863	1,563
1930	Total budgetary resources available	2,558	2,656	2,368
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	793	805	685
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	428	510	570
3010	New obligations, unexpired accounts	1,764	1,851	1,683
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-1,672	-1,791	-1,663
3040	Recoveries of prior year unpaid obligations, unexpired	-8		
3041	Recoveries of prior year unpaid obligations, expired	-3		
3050	Unpaid obligations, end of year	510	570	590
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-676	-731	-731
3070	Change in uncollected pymts, Fed sources, unexpired	-66		
3071	Change in uncollected pymts, Fed sources, expired	11		
3090	Uncollected pymts, Fed sources, end of year	-731	-731	-731
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-248	-221	-161
3200	Obligated balance, end of year	-221	-161	-141
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,841	1,863	1,563
Outlays, gross:				
4010	Outlays from new discretionary authority	1,095	1,546	1,297
4011	Outlays from discretionary balances	574	243	364
4020	Outlays, gross (total)	1,669	1,789	1,661
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-301	-337	-337
4033	Non-Federal sources	-226	-255	-255
4040	Offsets against gross budget authority and outlays (total)	-527	-592	-592
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-66		
4052	Offsetting collections credited to expired accounts	11		
4060	Additional offsets against budget authority only (total)	-55		

SURVEYS, INVESTIGATIONS, AND RESEARCH—Continued
Program and Financing—Continued

Identification code 014-0804-0-1-306	2019 actual	2020 est.	2021 est.
4070 Budget authority, net (discretionary)	1,259	1,271	971
4080 Outlays, net (discretionary)	1,142	1,197	1,069
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	3	2	2
4180 Budget authority, net (total)	1,259	1,271	971
4190 Outlays, net (total)	1,145	1,199	1,071

The U.S. Geological Survey (USGS) provides research and scientific information to support the mission of the Department of the Interior (Interior) and its science requirements. The USGS also works in collaboration with other Federal, State, tribal, and municipal cooperators to conduct research and provide scientific data and information concerning natural hazards and natural resource issues, including the water, land, geologic, and biological resources of the Nation. The USGS budget continues science programs that generate relevant, objective information for natural resource managers and for communities throughout the Nation and engages in partnerships with universities, research institutions, and major public and private laboratories.

Ecosystems.—The USGS Ecosystems mission area provides scientific information needed by Interior for sound management of both Federal lands and trust lands and species. Science in the mission area studies the consequences of environmental change and the effects of alternative management actions on lands and species. Information and tools provided by the mission area help evaluate risk and control the spread of economically and ecologically harmful invasive species and wildlife diseases.

Energy and Mineral Resources.—The USGS Energy and Mineral Resources mission area conducts scientific research on the location, quantity, and quality of the Nation's and the world's energy and mineral resources. The mission area provides valuable science to better understand the Nation's mineral and energy resource potential, supply, production, and consumption and the impacts of resource development. Accurate scientific information about America's energy and mineral resources is critical, as our Nation depends on energy to power our homes and businesses and on minerals to manufacture products we rely on every day, from our phones and laptops to the cars we drive. The science provided by the mission area is used to inform strategic, evidence-based economic and geopolitical decisions and facilitates responsible natural resource development.

Natural Hazards.—The USGS plays a critical role in providing policymakers and the public with a clear understanding of potential threats from natural hazards, societal vulnerability to these threats, and strategies for improving resilience to earthquakes, volcanic eruptions, landslides, floods, hurricanes, geomagnetic storms, tsunamis, and wildfires. The USGS Natural Hazards mission area is working with its partners and stakeholders to define and mitigate risks, build understanding of natural hazard processes, and characterize potential impacts on human activity and health, the economy, and the environment. This mission area includes USGS activities that characterize and assess coastal and marine processes, conditions, change, and vulnerability.

Water Resources.—The USGS is the primary Federal science agency providing information about water resources. To fulfill this responsibility, the USGS Water Resources mission area monitors and assesses the amount and characteristics of the Nation's water resources, assesses sources and behavior of contaminants in the water environment, and develops tools to improve management and understanding of water resources. This work supports Federal, State, tribal, and municipal government decisions in managing water resources for domestic, agricultural, commercial, industrial, recreational, and ecological uses; protects and enhances water resources for human health, aquatic health, and environmental quality; minimizes loss of life and property as a result of water-related natural hazards, such as floods, droughts, and land movement; and contributes to sustainable

stewardship and development of the Nation's resources for the benefit of present and future generations.

Core Science Systems.—The USGS Core Science Systems mission area leads the bureau's mission as the civilian mapping agency for the Nation—a 141-year legacy since its establishment in 1879. The USGS conducts detailed surveys and distributes the resulting high-quality and highly-accurate topographic, geologic, hydrographic, and biogeographic maps and remotely-sensed data to the public. Mapping accuracy enabled by cutting-edge technologies allows precise planning for recreational use on public lands; collaborative conservation with Interior partners; critical minerals assessments; energy development; transportation and pipeline infrastructure projects; urban planning and development; land change and flood prediction at regional, local, and neighborhood scales; emergency response; and hazards mitigation. The USGS Core Science Systems mission area is the Federal steward of this high-quality geospatial data, and provides access to the public through The National Map, the National Land Cover Database, the National Geologic Map Database, the USGS Earth Explorer, and the National Biogeographic Map. The USGS also operates the Landsat satellites and data systems necessary to understand, monitor, and detect changes that affect the Nation's natural and agricultural resources, economy, public safety and national security, and historical heritage.

Science Support.—The USGS Science Support program funds essential science leadership as well as critical functions such as: scientific integrity processes; sharing and communicating science findings; purchasing science equipment and field supplies; executing science agreements with partners; contracting for support scientists and researchers; safety training; hazardous waste management; succession planning and execution; and information technology, which supports the scientific process and information management of scientific data. Science Support also provides bureau-wide executive direction and coordination, business administration, and financial management.

Facilities.—The USGS Facilities program provides safe, functional workspace, laboratories, and facilities for the USGS to accomplish its scientific mission. The mission area provides rental payments and operation and maintenance for properties and deferred maintenance and capital improvement for owned assets.

Reimbursable Program.—This program includes reimbursements from non-Federal sources (States, Tribes, and municipalities) for: cooperative efforts; proceeds from the sale of copies of photographs and records and the sale of personal property; reimbursements from permittees and licensees of the Federal Energy Regulatory Commission; and reimbursements from foreign countries and international organizations for technical assistance. The USGS also receives reimbursements from other Federal agencies for mission-related work performed at the request of the financing agency.

Object Classification (in millions of dollars)

Identification code 014-0804-0-1-306	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	391	401	334
11.3 Other than full-time permanent	40	41	33
11.5 Other personnel compensation	13	14	12
Total personnel compensation	444	456	379
12.1 Civilian personnel benefits	148	155	131
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	26	27	27
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	52	61	64
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	29	29	29
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	19	20	20
25.2 Other services from non-Federal sources	131	174	69
25.3 Other goods and services from Federal sources	87	87	87
25.4 Operation and maintenance of facilities	14	19	54
25.5 Research and development contracts	3	3	3
25.7 Operation and maintenance of equipment	22	23	23
26.0 Supplies and materials	29	30	30
31.0 Equipment	59	64	64

32.0	Land and structures	1	1	1
41.0	Grants, subsidies, and contributions	101	103	103
99.0	Direct obligations	1,172	1,259	1,091
99.0	Reimbursable obligations	592	592	592
99.9	Total new obligations, unexpired accounts	1,764	1,851	1,683

Employment Summary

Identification code 014-0804-0-1-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,531	4,581	3,754
2001 Reimbursable civilian full-time equivalent employment	2,891	2,891	2,891
3001 Allocation account civilian full-time equivalent employment	20	20	20

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 014-4556-0-4-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working capital fund	88	109	104
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	104	134	103
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	105	134	103
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	117	78	78
1930 Total budgetary resources available	222	212	181
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	134	103	77

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	36	30	61
3010 New obligations, unexpired accounts	88	109	104
3020 Outlays (gross)	-93	-78	-79
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	30	61	86
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	36	30	61
3200 Obligated balance, end of year	30	61	86

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	117	78	78
Outlays, gross:			
4010 Outlays from new discretionary authority	50	35	35
4011 Outlays from discretionary balances	43	43	44
4020 Outlays, gross (total)	93	78	79
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-117	-78	-78
4040 Offsets against gross budget authority and outlays (total)	-117	-78	-78
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-24		1

The Working Capital Fund allows for efficient financial management of U.S. Geological Survey activities including telecommunications investments; acquisition, replacement, and enhancement of scientific equipment; facilities, publications, General Service Administration Building delegation operation and laboratory operations; modernization and equipment replacement; and drilling and training services.

Balance Sheet (in millions of dollars)

Identification code 014-4556-0-4-306	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	140	140
1803 Other Federal assets: Property, plant and equipment, net	37	37
1999 Total assets	177	177

LIABILITIES:			
2201 Non-Federal liabilities: Accounts payable	3		3
NET POSITION:			
3300 Cumulative results of operations	174		174
4999 Total liabilities and net position	177		177

Object Classification (in millions of dollars)

Identification code 014-4556-0-4-306	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	10	10
11.3 Other than full-time permanent		1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	10	12	12
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	9	6	6
25.3 Other goods and services from Federal sources	13	15	14
25.4 Operation and maintenance of facilities	10	15	14
25.7 Operation and maintenance of equipment		6	5
26.0 Supplies and materials	5	7	7
31.0 Equipment	33	40	38
99.9 Total new obligations, unexpired accounts	88	109	104

Employment Summary

Identification code 014-4556-0-4-306	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	112	112	112

Trust Funds

CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8562-0-7-306	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Contributed Funds, Geological Survey	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Contributed Funds	-1	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-8562-0-7-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Donations and contributed funds		1	1
0900 Total new obligations, unexpired accounts (object class 99.5)		1	1

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	3	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			1
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)			-1
3050 Unpaid obligations, end of year		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			1

CONTRIBUTED FUNDS—Continued
Program and Financing—Continued

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include obligated balance, budget authority, and outlays for various codes.

Funds in this account are provided by States, local governments, and private organizations (pursuant to 43 U.S.C. 36c). This appropriation (a permanent, indefinite, special fund) makes these funds available to the U.S. Geological Survey (USGS) to perform the work desired by the contributor and the USGS.

Employment Summary

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Row 1001: Direct civilian full-time equivalent employment.

ADMINISTRATIVE PROVISIONS

From within the amount appropriated for activities of the United States Geological Survey such sums as are necessary shall be available for contracting for the furnishing of topographic maps and for the making of geophysical or other specialized surveys when it is administratively determined that such procedures are in the public interest; construction and maintenance of necessary buildings and appurtenant facilities; acquisition of lands for gauging stations, observation wells, and seismic equipment; expenses of the United States National Committee for Geological Sciences; and payment of compensation and expenses of persons employed by the Survey...

FISH AND WILDLIFE AND PARKS

UNITED STATES FISH AND WILDLIFE SERVICE

Federal Funds

RESOURCE MANAGEMENT

For necessary expenses of the United States Fish and Wildlife Service, as authorized by law, and for scientific and economic studies, general administration, and for the performance of other authorized functions related to such resources, \$1,364,289,000, \$1,281,217,000, to remain available until September 30, 2021: Provided, That not to exceed \$20,318,000 \$9,375,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act of 1973 (16 U.S.C. 1533) (except for processing petitions, developing and issuing proposed and final regulations, and taking any other steps to implement actions described in subsection (c)(2)(A), (c)(2)(B)(i), or (c)(2)(B)(ii)):

of Representatives and the Senate in accordance with the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)]. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include obligations by program activity (Ecological Services, National Wildlife Refuge System, etc.) and budgetary resources.

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include budgetary resources: Unobligated balance, Appropriations, discretionary, and Total budgetary resources available.

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include change in obligated balance: Unpaid obligations, Uncollected payments, and Obligated balance, start/end of year.

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include budget authority and outlays, net: Discretionary, Outlays, gross, Offsets against gross budget authority and outlays, and Offsetting collections credited to expired accounts.

4060	Additional offsets against budget authority only (total)	-43		
4070	Budget authority, net (discretionary)	1,292	1,364	1,281
4080	Outlays, net (discretionary)	1,256	1,563	1,336
4180	Budget authority, net (total)	1,292	1,364	1,281
4190	Outlays, net (total)	1,256	1,563	1,336

Ecological Services.—The Service conserves, protects, and enhances fish, wildlife, plants, and their habitat by working with private landowners, States, Tribes, non-governmental organizations, and other Federal agencies. These partnership activities help protect and recover species listed under the Endangered Species Act and work to make the listing of additional species unnecessary. Financial assistance is provided to private landowners to restore or improve habitat for endangered species and other at-risk species. Technical assistance helps prevent or minimize potential conflicts between development projects and imperiled species.

Habitat Conservation.—Through technical and financial assistance, the Service promotes the protection, conservation, and restoration of the Nation's fish and wildlife resources. These conservation activities are accomplished through a voluntary citizen and community based stewardship program with partners on private lands. Conserving the Nation's coastal trust resources is accomplished through collaboration with others on public and private lands.

National Wildlife Refuge System.—The Service maintains the National Wildlife Refuge System consisting of 568 refuges, waterfowl production areas in 210 counties managed by 38 wetland management districts, 49 wildlife coordination areas, and seven national monuments. The National Wildlife Refuge System administers this network of lands and waters to conserve and restore fish, wildlife, plants, and their habitats, for the benefit of present and future generations of Americans.

Conservation and Enforcement.—The Service directs and coordinates national migratory bird programs to protect and enhance populations and habitat of more than 1,000 species of birds. Grants and partnerships are key to these programs, such as Joint Ventures that implement the North American Waterfowl Management Plan. The Service Law Enforcement program investigates wildlife crimes, regulates wildlife trade, helps Americans understand and obey wildlife protection laws, and works in partnership with international, State, and Tribal counterparts to conserve wildlife resources. The Service, through the International Affairs Program, works with domestic and international partners to promote a coordinated strategy to protect, restore, and enhance the world's diverse wildlife and their habitats, with a focus on species of international concern.

Fish and Aquatic Conservation.—The Fish and Aquatic Conservation Program consists of a network of 70 National Fish Hatcheries, one historic National Fish Hatchery, six Fish Health Centers, seven Fish Technology Centers, 51 Fish and Wildlife Conservation Offices, and the Aquatic Animal Drug Approval Partnership Program. Working with partners, the program recovers, restores and maintains fish and other aquatic resources at self-sustaining levels; provides technical assistance to States, Tribes and others; and supports Federal mitigation programs for the benefit of the American public.

Cooperative Landscape Conservation.—The Cooperative Landscape Program works with States to deliver conservation on a landscape scale and build collaborative approaches to conservation through coalitions with States and other partners. The Budget does not include funding for this activity in 2021.

Science Support.—Science Support provides funding for applied science directed at high impact questions of concern to management of fish and wildlife resources. This science provides information to inform resource management decisions to best manage species at healthy and sustainable levels. The Budget does not include funding for this activity in 2021.

General Operations.—Funding for the Service's general operations provides policy guidance, program coordination, and administrative services to all of the Fish and Wildlife Service's programs. The funds also support the National Conservation Training Center and projects through the National

Fish and Wildlife Foundation to restore and enhance fish and wildlife populations.

Object Classification (in millions of dollars)

Identification code 014-1611-0-1-302	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	525	558	505
11.3	Other than full-time permanent	21	22	20
11.5	Other personnel compensation	25	27	24
11.9	Total personnel compensation	571	607	549
12.1	Civilian personnel benefits	222	236	214
21.0	Travel and transportation of persons	26	28	25
22.0	Transportation of things	3	3	3
23.1	Rental payments to GSA	46	49	44
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	26	28	25
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	18	19	17
25.2	Other services from non-Federal sources	61	65	59
25.3	Other goods and services from Federal sources	68	72	65
25.4	Operation and maintenance of facilities	33	35	32
25.6	Medical care	1	1	1
25.7	Operation and maintenance of equipment	15	16	14
26.0	Supplies and materials	45	48	43
31.0	Equipment	44	47	43
32.0	Land and structures	29	31	28
41.0	Grants, subsidies, and contributions	134	142	129
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	1,347	1,432	1,296
99.0	Reimbursable obligations	247	239	247
99.9	Total new obligations, unexpired accounts	1,594	1,671	1,543

Employment Summary

Identification code 014-1611-0-1-302	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	6,401	6,585	6,521
2001	Reimbursable civilian full-time equivalent employment	818	858	858
3001	Allocation account civilian full-time equivalent employment	463	484	561

CONSTRUCTION

For construction, improvement, acquisition, or removal of buildings and other facilities required in the conservation, management, investigation, protection, and utilization of fish and wildlife resources, and the acquisition of lands and interests therein; **[\$29,704,000]** \$13,292,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-1612-0-1-302	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Line item construction projects	40	12	11
0002	Nationwide engineering service	6	6	6
0003	Bridge, dam and seismic safety	3	1	3
0007	Restoration of Federal Assets (Hurricane Supplemental P.L. 115-123)	173	10	25
0008	Construction Deferred Maintenance		30	15
0009	Disaster Supplemental (P.L. 116-20)		40	35
0100	Total, Direct program:	222	99	95
0799	Total direct obligations	222	99	95
0801	Construction (Reimbursable)			1
0900	Total new obligations, unexpired accounts	222	99	96
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	278	193	126
1021	Recoveries of prior year unpaid obligations		1	1
1050	Unobligated balance (total)	278	194	127
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	139	30	13

CONSTRUCTION—Continued
Program and Financing—Continued

Identification code 014-1612-0-1-302	2019 actual	2020 est.	2021 est.
1131 Unobligated balance of appropriations permanently reduced	-2		
1160 Appropriation, discretionary (total)	137	30	13
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1900 Budget authority (total)	137	31	14
1930 Total budgetary resources available	415	225	141
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	193	126	45
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	207	175
3010 New obligations, unexpired accounts	222	99	96
3020 Outlays (gross)	-39	-130	-107
3040 Recoveries of prior year unpaid obligations, unexpired		-1	-1
3050 Unpaid obligations, end of year	207	175	163
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	205	173
3200 Obligated balance, end of year	205	173	161
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	137	31	14
Outlays, gross:			
4010 Outlays from new discretionary authority	5	7	4
4011 Outlays from discretionary balances	34	123	103
4020 Outlays, gross (total)	39	130	107
Offsets against gross budget authority and outlays:			
4030 Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4040 Offsets against gross budget authority and outlays (total)		-1	-1
4180 Budget authority, net (total)	137	30	13
4190 Outlays, net (total)	39	129	106

The Construction activity provides funding for projects that focus on construction and rehabilitation, environmental compliance, pollution abatement, hazardous materials cleanup, and seismic safety for facilities on Fish and Wildlife Service lands. This also includes repair and inspection of the Service's dams and bridges. These projects are needed to accomplish the management objectives and purposes of these structures, protect and enhance natural resources, and fulfill the Service's mission.

Object Classification (in millions of dollars)

Identification code 014-1612-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	6	6	6
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	21	7	7
25.3 Other goods and services from Federal sources	13	6	5
25.4 Operation and maintenance of facilities	45	20	19
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	4	1	1
31.0 Equipment	4	1	1
32.0 Land and structures	42	18	18
41.0 Grants, subsidies, and contributions	82	36	35
99.0 Direct obligations	221	99	96
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	222	99	96

Employment Summary

Identification code 014-1612-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	48	48	48

STATE AND TRIBAL WILDLIFE GRANTS

For wildlife conservation grants to States and to the District of Columbia, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, American Samoa, and Indian tribes under the provisions of the Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of wildlife and their habitat, including species that are not hunted or fished, **[\$67,571,000]** \$31,286,000, to remain available until expended: *Provided*, **[**That of the amount provided herein, \$5,209,000 is for a competitive grant program for Indian tribes not subject to the remaining provisions of this appropriation: *Provided further*, **]** That **[\$7,362,000]** \$2,000,000 is for a competitive grant program to implement approved plans for States, territories, and other jurisdictions and at the discretion of affected States, the regional Associations of fish and wildlife agencies, not subject to the remaining provisions of this appropriation: *Provided further*, That the Secretary shall, after deducting **[\$12,571,000]** \$2,000,000 and administrative expenses, apportion the amount provided herein in the following manner: (1) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (2) to Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof: *Provided further*, That the Secretary shall apportion the remaining amount in the following manner: (1) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (2) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States: *Provided further*, That the amounts apportioned under this paragraph shall be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: *Provided further*, That the Federal share of planning grants shall not exceed 75 percent of the total costs of such projects and the Federal share of implementation grants shall not exceed 65 percent of the total costs of such projects: *Provided further*, That the non-Federal share of such projects may not be derived from Federal grant programs: *Provided further*, That any amount apportioned in **[2020]** 2021 to any State, territory, or other jurisdiction that remains unobligated as of September 30, **[2021]** 2022, shall be reapportioned, together with funds appropriated in **[2022]** 2023, in the manner provided herein. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-5474-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State wildlife grants	52	56	41
0002 State competitive grants	6	5	4
0003 Tribal Wildlife Grants	4	4	1
0004 Administration	3	3	2
0900 Total new obligations, unexpired accounts	65	68	48
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	46	50
1021 Recoveries of prior year unpaid obligations	3	4	4
1050 Unobligated balance (total)	47	50	54
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	64	68	31
1930 Total budgetary resources available	111	118	85
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	46	50	37
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	123	121	114
3010 New obligations, unexpired accounts	65	68	48
3020 Outlays (gross)	-64	-71	-73
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-4	-4
3050 Unpaid obligations, end of year	121	114	85

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	123	121	114
3200	Obligated balance, end of year	121	114	85
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	64	68	31
Outlays, gross:				
4010	Outlays from new discretionary authority		15	7
4011	Outlays from discretionary balances	64	56	66
4020	Outlays, gross (total)	64	71	73
4180	Budget authority, net (total)	64	68	31
4190	Outlays, net (total)	64	71	73

The State and Tribal Wildlife Grants program provides funds to States, Commonwealths, the District of Columbia, and Territories primarily through a formula-based apportionment. Additionally, a competitive program uses a merit-based process to fund outcome-oriented, results-based projects. At the discretion of affected States, the competitive program may be used by regional associations of State fish and wildlife agencies. These funds are provided to stabilize, restore, enhance, and protect species and their habitat that are of conservation concern.

Object Classification (in millions of dollars)

Identification code 014-5474-0-2-302	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
12.1	Civilian personnel benefits	1	1	1
25.3	Other goods and services from Federal sources	1	1	1
41.0	Grants, subsidies, and contributions	62	65	45
99.9	Total new obligations, unexpired accounts	65	68	48

Employment Summary

Identification code 014-5474-0-2-302	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	14	14	7

MULTINATIONAL SPECIES CONSERVATION FUND

For expenses necessary to carry out the African Elephant Conservation Act (16 U.S.C. 4201 et seq.), the Asian Elephant Conservation Act of 1997 (16 U.S.C. 4261 et seq.), the Rhinoceros and Tiger Conservation Act of 1994 (16 U.S.C. 5301 et seq.), the Great Ape Conservation Act of 2000 (16 U.S.C. 6301 et seq.), and the Marine Turtle Conservation Act of 2004 (16 U.S.C. 6601 et seq.), **[\$15,000,000]** \$6,000,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-1652-0-1-302	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	African elephant	1	3	2
0002	Asian elephant	2	3	2
0003	Rhinoceros and tiger	2	3	2
0004	Great ape conservation	2	3	2
0005	Marine turtle	1	2	1
0799	Total direct obligations	8	14	9
0801	Multinational Species Semi Postal Stamp Act	1	1	1
0900	Total new obligations, unexpired accounts	9	15	10
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	5	6
1001	Discretionary unobligated balance brought fwd, Oct 1	1	4	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	11	15	6
Spending authority from offsetting collections, mandatory:				
1800	Collected	1	1	1
1900	Budget authority (total)	12	16	7
1930	Total budgetary resources available	14	21	13

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5	6	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	10	8	12
3010	New obligations, unexpired accounts	9	15	10
3020	Outlays (gross)	-11	-11	-13
3050	Unpaid obligations, end of year	8	12	9
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10	8	12
3200	Obligated balance, end of year	8	12	9

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	11	15	6
Outlays, gross:				
4010	Outlays from new discretionary authority	3	4	2
4011	Outlays from discretionary balances	7	6	10
4020	Outlays, gross (total)	10	10	12
Mandatory:				
4090	Budget authority, gross	1	1	1
Outlays, gross:				
4101	Outlays from mandatory balances	1	1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1	-1	-1
4180	Budget authority, net (total)	11	15	6
4190	Outlays, net (total)	10	10	12

African Elephant Conservation Program.—Provides technical and financial assistance to protect African elephants and their habitats, including elephant population management, public education, and anti-poaching activities.

Rhinoceros and Tiger Conservation Program.—Provides conservation grants to protect rhinoceros and tiger populations and their habitats within African and Asian countries.

Asian Elephant Conservation Program.—Provides financial assistance for Asian elephant conservation projects to protect elephant populations and their habitats within 13 range countries.

Great Ape Conservation Program.—Provides assistance for conservation and protection of chimpanzee, gorilla, orangutan, bonobo, and gibbon populations.

Marine Turtle Conservation Program.—Provides financial assistance for projects, public education, and the conservation of marine turtles and their nesting habitats.

Vanishing Species Semipostal Stamp.—The Multinational Species Conservation Fund Semipostal Stamp Act of 2010, as amended, requires the United States Postal Service to issue and sell, at a premium, a Multinational Species Conservation Funds Semipostal stamp. The proceeds of this stamp are transferred to the Service to help operations supported by the Multinational Species Conservation Funds.

Object Classification (in millions of dollars)

Identification code 014-1652-0-1-302	2019 actual	2020 est.	2021 est.	
41.0	Direct obligations: Grants, subsidies, and contributions	8	14	9
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations, unexpired accounts	9	15	10

Employment Summary

Identification code 014-1652-0-1-302	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	3	3	2

NEOTROPICAL MIGRATORY BIRD CONSERVATION

For expenses necessary to carry out the Neotropical Migratory Bird Conservation Act (16 U.S.C. 6101 et seq.), **[\$4,910,000]** \$3,900,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

NEOTROPICAL MIGRATORY BIRD CONSERVATION—Continued

Program and Financing (in millions of dollars)

Identification code 014-1696-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Neotropical Migratory Bird	4	5	4
0900 Total new obligations, unexpired accounts (object class 41.0)	4	5	4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	5	4
1930 Total budgetary resources available	4	5	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	8	8
3010 New obligations, unexpired accounts	4	5	4
3020 Outlays (gross)	-3	-5	-5
3050 Unpaid obligations, end of year	8	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	8	8
3200 Obligated balance, end of year	8	8	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	5	4
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	1
4011 Outlays from discretionary balances	3	3	4
4020 Outlays, gross (total)	3	5	5
4180 Budget authority, net (total)	4	5	4
4190 Outlays, net (total)	3	5	5

Funds in this account provide grants to conserve migratory bird populations in the United States, Canada, Latin America, and the Caribbean pursuant to the Neotropical Migratory Bird Conservation Act (16 U.S.C. 6101 et seq.).

Employment Summary

Identification code 014-1696-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1	1

LAND ACQUISITION

(INCLUDING [RESCISSION] CANCELLATION OF FUNDS)

For expenses necessary to carry out chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the United States Fish and Wildlife Service, [\$70,715,000] \$12,936,000, to be derived from the Land and Water Conservation Fund and to remain available until expended, of which, not more than \$10,000,000 shall be for land conservation partnerships authorized by the Highlands Conservation Act of 2004, including not to exceed \$320,000 for administrative expenses: *Provided*, That none of the funds appropriated for specific land acquisition projects may be used to pay for any administrative overhead, planning or other management costs.

Of the unobligated balances from amounts made available for the Fish and Wildlife Service and derived from the Land and Water Conservation Fund, [\$3,628,000] \$2,000,000 is hereby permanently [rescinded from projects with cost savings or failed or partially failed projects] *cancelled: Provided further*, That no amounts may be [rescinded] *cancelled* from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-5020-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Land Acquisition Management	12	15	11

0002 Exchanges	2	2	2
0003 Emergencies, Hardships, and Inholdings	6	6	4
0004 Highlands Conservation Act	10	10	8
0005 Land Acquisitions	24	27	22
0006 Sportsmen and Recreational Access	1	1	4
0100 Total, direct program	55	61	51
0799 Total direct obligations	55	61	51
0900 Total new obligations, unexpired accounts	55	61	51
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	53	63	70
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	53	64	71
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation LWCF [014-5005]	65	71	13
1131 Unobligated balance of appropriations permanently reduced	-4	-2	-2
1160 Appropriation, discretionary (total)	65	67	11
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1701 Change in uncollected payments, Federal sources	-1	-1	-1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	65	67	12
1930 Total budgetary resources available	118	131	83
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	63	70	32

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	44	38	40
3010 New obligations, unexpired accounts	55	61	51
3020 Outlays (gross)	-61	-58	-63
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050 Unpaid obligations, end of year	38	40	27
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	1	1	1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	43	37	40
3200 Obligated balance, end of year	37	40	27

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	65	67	12
Outlays, gross:			
4010 Outlays from new discretionary authority	18	24	4
4011 Outlays from discretionary balances	43	34	59
4020 Outlays, gross (total)	61	58	63
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1	1	1
4070 Budget authority, net (discretionary)	65	67	11
4080 Outlays, net (discretionary)	61	57	62
4180 Budget authority, net (total)	65	67	11
4190 Outlays, net (total)	61	57	62

Federal Land Acquisition funds are used to protect areas that have native fish or wildlife values and provide natural resource benefits over a broad geographical area. Funds in this account also cover acquisition management activities, such as title fees and land surveys. The U.S. Fish and Wildlife Service places emphasis on acquiring important fish, wildlife, and plant habitat for the conservation of listed endangered and threatened species, implementing the North American Waterfowl Management Plan, and conserving migratory birds of conservation concern. The Federal Land Acquisition program uses alternative and innovative conservation tools, including conservation easements, and implements projects that have the input and participation of the affected local communities and stakeholders. To focus resources on caring for current Department of the Interior lands, the 2021 Budget does not request funding for line-item land acquisition

projects but proposes to fund a limited number of small recreation access projects and proposes a partial cancellation of prior year balances.

Object Classification (in millions of dollars)

Identification code 014-5020-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	3
12.1 Civilian personnel benefits	2	2	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	2	2	2
32.0 Land and structures	32	37	35
41.0 Grants, subsidies, and contributions	10	11	8
99.0 Direct obligations	54	60	51
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	55	61	51

Employment Summary

Identification code 014-5020-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	67	67	32

LANDOWNER INCENTIVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 014-5496-0-2-302	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)
4190 Outlays, net (total)

The Landowner Incentive Program provided cost-shared, competitive grants to States, Commonwealths, the District of Columbia, Territories, and Tribes to create, supplement or expand upon new or ongoing landowner incentive programs. These programs provided technical and financial assistance to private landowners to help them protect and manage imperiled species and their habitat, while continuing to engage in traditional land use or working conservation practices. The program was phased out in 2008 and minimal balances remain in this account.

MIGRATORY BIRD CONSERVATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5137-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	5	5	4
Receipts:			
Current law:			
1110 Migratory Bird Hunting Stamps	22	22	22
1110 Custom Duties on Arms and Ammunition	41	38	38
1110 Migratory Birds Hunting Stamps (Conservation Easements)	15	15	15
1199 Total current law receipts	78	75	75
1999 Total receipts	78	75	75
2000 Total: Balances and receipts	83	80	79
Appropriations:			
Current law:			
2101 Migratory Bird Conservation Account	-78	-75	-75
2103 Migratory Bird Conservation Account	-5	-5	-4
2132 Migratory Bird Conservation Account	5	4
2199 Total current law appropriations	-78	-76	-79

2999 Total appropriations	-78	-76	-79
5099 Balance, end of year	5	4

Program and Financing (in millions of dollars)

Identification code 014-5137-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Printing and Sale of Duck Stamps	1	1	1
0002 Acquisition of Land and Easements	73	75	75
0900 Total new obligations, unexpired accounts	74	76	76
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	10	11
1021 Recoveries of prior year unpaid obligations	1	1
1050 Unobligated balance (total)	6	11	12
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	78	75	75
1203 Appropriation (previously unavailable)(special or trust)	5	5	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-5	-4
1260 Appropriations, mandatory (total)	78	76	79
1930 Total budgetary resources available	84	87	91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	11	15

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	43	30	22
3010 New obligations, unexpired accounts	74	76	76
3020 Outlays (gross)	-87	-83	-81
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1
3050 Unpaid obligations, end of year	30	22	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	43	30	22
3200 Obligated balance, end of year	30	22	16

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	78	76	79
Outlays, gross:			
4100 Outlays from new mandatory authority	47	48	47
4101 Outlays from mandatory balances	40	35	34
4110 Outlays, gross (total)	87	83	81
4180 Budget authority, net (total)	78	76	79
4190 Outlays, net (total)	87	83	81

Funds deposited into this account include import duties on arms and ammunition and receipts in excess of U.S. Postal Service expenses from the sale of Migratory Bird Hunting and Conservation Stamps, also known as Duck Stamps. These funds are used to acquire land and water for migratory bird refuges and waterfowl production areas. In addition, any funds reverted from the States within the Federal Aid in Wildlife Restoration Fund are available for this purpose. The Federal Duck Stamp Act (P.L. 113-264) increased the price of Duck Stamps from \$15 to \$25, with the \$10 increase to be dedicated to the acquisition of conservation easements for conservation of migratory birds.

Object Classification (in millions of dollars)

Identification code 014-5137-0-2-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	2	2	2
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	3	1	1
32.0 Land and structures	61	66	66
99.0 Direct obligations	73	76	76
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	74	76	76

MIGRATORY BIRD CONSERVATION ACCOUNT—Continued

Employment Summary

Identification code 014-5137-0-2-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	68	67	67

NORTH AMERICAN WETLANDS CONSERVATION FUND

For expenses necessary to carry out the provisions of the North American Wetlands Conservation Act (16 U.S.C. 4401 et seq.), **[\$46,000,000]** \$40,000,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5241-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	24	9	9
2000 Total: Balances and receipts	24	9	9
Appropriations:			
Current law:			
2101 North American Wetlands Conservation Fund	-16		
2132 North American Wetlands Conservation Fund	1		
2199 Total current law appropriations	-15		
2999 Total appropriations	-15		
5099 Balance, end of year	9	9	9

Program and Financing (in millions of dollars)

Identification code 014-5241-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Wetlands conservation projects	57	49	42
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	13	11
1001 Discretionary unobligated balance brought fwd, Oct 1	6	6	
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	12	14	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	43	46	40
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	16		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1		
1260 Appropriations, mandatory (total)	15		
1900 Budget authority (total)	58	46	40
1930 Total budgetary resources available	70	60	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	11	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	83	87	83
3010 New obligations, unexpired accounts	57	49	42
3020 Outlays (gross)	-52	-52	-48
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050 Unpaid obligations, end of year	87	83	76
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	83	87	83
3200 Obligated balance, end of year	87	83	76

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	43	46	40
Outlays, gross:			
4010 Outlays from new discretionary authority	2	7	6
4011 Outlays from discretionary balances	40	36	37
4020 Outlays, gross (total)	42	43	43
Mandatory:			
4090 Budget authority, gross	15		
Outlays, gross:			
4100 Outlays from new mandatory authority	4		

4101 Outlays from mandatory balances	6	9	5
4110 Outlays, gross (total)	10	9	5
4180 Budget authority, net (total)	58	46	40
4190 Outlays, net (total)	52	52	48

Funds deposited into this account include direct appropriations and fines, penalties, and forfeitures collected under the authority of the Migratory Bird Treaty Act (16 U.S.C. 707). The North American Wetlands Conservation Fund supports wetlands conservation projects approved by the Migratory Bird Conservation Commission. Interest on obligations held in the Federal Aid in Wildlife Restoration Fund is also available for this purpose. In addition, a portion of receipts to the Sport Fish Restoration Account is also available for coastal wetlands conservation projects.

These projects help fulfill the habitat protection, restoration, and enhancement goals of the North American Waterfowl Management Plan and the Tripartite Agreement among Mexico, Canada, and the United States. These projects may involve partnerships with public agencies and private entities, with non-Federal matching contributions, for the long-term conservation of habitat for migratory birds and other fish and wildlife, including species that are listed, or are candidates to be listed, under the Endangered Species Act (16 U.S.C. 1531).

Wetlands conservation projects include obtaining a real property interest in lands or waters, including water rights; the restoration, management or enhancement of habitat; and training and development for conservation management in Mexico. Funding may be provided for assistance for wetlands conservation projects in Canada or Mexico.

Object Classification (in millions of dollars)

Identification code 014-5241-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
32.0 Land and structures	2		
41.0 Grants, subsidies, and contributions	53	48	41
99.0 Direct obligations	56	49	42
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	57	49	42

Employment Summary

Identification code 014-5241-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6	6	6

COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

(INCLUDING **[RESCISSION]** CANCELLATION OF FUNDS)

[For expenses necessary to carry out section 6 of the Endangered Species Act of 1973 (16 U.S.C. 1535), \$54,502,000, to remain available until expended, of which \$23,702,000 is to be derived from the Cooperative Endangered Species Conservation Fund; and of which \$30,800,000 is to be derived from the Land and Water Conservation Fund. **]**

Of the unobligated balances made available **[**from **]** to the Cooperative Endangered Species Conservation Fund **[**, \$18,771,000 **]** from the Land and Water Conservation Fund, \$8,000,000 is permanently **[**rescinded from projects or from other grant programs with an unobligated carry over balance **]** cancelled: *Provided*, That no amounts may be **[**rescinded **]** cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5143-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	601	649	691

Receipts:			
Current law:			
1140	Payment from the General Fund, Cooperative Endangered Species Conservation Fund	70	66 73
2000	Total: Balances and receipts	671	715 764
Appropriations:			
Current law:			
2101	Cooperative Endangered Species Conservation Fund	-22	-24
5099	Balance, end of year	649	691 764

Program and Financing (in millions of dollars)

Identification code 014-5143-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Conservation Grants to States	22	24 5
0002	HCP Planning Assistance Grants		4 4
0004	Administration	5	2 1
0005	HCP Land Acquisition Grants to States		5 5
0006	Species Recovery Land Acquisition	6	5 5
0007	Payment to special fund unavailable receipt account	70	66 73
0900	Total new obligations, unexpired accounts	103	106 93
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	107	130 134
1001	Discretionary unobligated balance brought fwd, Oct 1	107	130
1021	Recoveries of prior year unpaid obligations	11	8 8
1050	Unobligated balance (total)	118	138 142
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation LWCF special fund [145005]	31	31
1101	Appropriation CESCFC special fund [145143]	22	24
1131	Unobligated balance of appropriations permanently reduced	-8	-19 -8
1160	Appropriation, discretionary (total)	45	36 -8
Appropriations, mandatory:			
1200	Appropriation	70	66 73
1900	Budget authority (total)	115	102 65
1930	Total budgetary resources available	233	240 207
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	130	134 114
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	125	108 110
3010	New obligations, unexpired accounts	103	106 93
3020	Outlays (gross)	-109	-96 -117
3040	Recoveries of prior year unpaid obligations, unexpired	-11	-8 -8
3050	Unpaid obligations, end of year	108	110 78
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	125	108 110
3200	Obligated balance, end of year	108	110 78
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	45	36 -8
Outlays, gross:			
4010	Outlays from new discretionary authority	1 -8
4011	Outlays from discretionary balances	38	30 52
4020	Outlays, gross (total)	39	30 44
Mandatory:			
4090	Budget authority, gross	70	66 73
Outlays, gross:			
4100	Outlays from new mandatory authority	70	66 73
4180	Budget authority, net (total)	115	102 65
4190	Outlays, net (total)	109	96 117

The Cooperative Endangered Species Conservation Fund provides grants to States and U.S. Territories for species and habitat conservation actions on non-Federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education for species that are listed or are candidates for listing, as threatened or endangered. These activities support recovery efforts and Habitat Conservation Plans in partnership with local governments and other interested parties to protect species. Appropriations to this account have been financed by both the Land and Water Conservation Fund and

the Cooperative Endangered Species Conservation Fund. The latter is an unavailable receipt account that receives a transfer from the General Fund of the U.S. Treasury equal to five percent of receipts deposited to the Federal Aid in Wildlife and Sport Fish Restoration accounts plus Lacey Act receipts over \$500,000. Funds made available for grants from the two accounts are subject to annual appropriations authorized by the Congress. Funding for this program is not requested in 2021 and a partial cancellation of prior year balances is proposed.

Object Classification (in millions of dollars)

Identification code 014-5143-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	1	1
25.3	Other goods and services from Federal sources	4
41.0	Grants, subsidies, and contributions	28	39 20
94.0	Financial transfers	70	66 73
99.0	Direct obligations	103	106 93
99.9	Total new obligations, unexpired accounts	103	106 93

Employment Summary

Identification code 014-5143-0-2-302	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	10	10

NATIONAL WILDLIFE REFUGE FUND

【For expenses necessary to implement the Act of October 17, 1978 (16 U.S.C. 715s), \$13,228,000.】 (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5091-0-2-806	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	2	1 1
Receipts:			
Current law:			
1130	National Wildlife Refuge Fund	8	8 8
2000	Total: Balances and receipts	10	9 9
Appropriations:			
Current law:			
2101	National Wildlife Refuge Fund	-8	-8 -8
2103	National Wildlife Refuge Fund	-1
2199	Total current law appropriations	-9	-8 -8
2999	Total appropriations	-9	-8 -8
5099	Balance, end of year	1	1 1

Program and Financing (in millions of dollars)

Identification code 014-5091-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Expenses for sales	3	2 2
0003	Payments to counties	21	20 8
0900	Total new obligations, unexpired accounts	24	22 10
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7	5 4
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	13	13
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	8	8 8
1203	Appropriation (previously unavailable)(special or trust)	1
1260	Appropriations, mandatory (total)	9	8 8
1900	Budget authority (total)	22	21 8
1930	Total budgetary resources available	29	26 12
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	4 2

NATIONAL WILDLIFE REFUGE FUND—Continued
Program and Financing—Continued

Identification code 014-5091-0-2-806	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	2
3010 New obligations, unexpired accounts	24	22	10
3020 Outlays (gross)	-23	-22	-9
3050 Unpaid obligations, end of year	2	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	2
3200 Obligated balance, end of year	2	2	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority	13	13
Mandatory:			
4090 Budget authority, gross	9	8	8
Outlays, gross:			
4100 Outlays from new mandatory authority	6	6	6
4101 Outlays from mandatory balances	4	3	3
4110 Outlays, gross (total)	10	9	9
4180 Budget authority, net (total)	22	21	8
4190 Outlays, net (total)	23	22	9

The Refuge Revenue Sharing Act (16 U.S.C. 715s) authorizes the Service to make payments to counties in which Fish and Wildlife Service fee lands are located, from the revenues resulting from the sale of products from Service lands, less expenses for producing the revenue and activities related to revenue sharing. The 2021 Budget does not propose to supplement revenues with discretionary funding.

Object Classification (in millions of dollars)

Identification code 014-5091-0-2-806	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.4 Operation and maintenance of facilities	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1
41.0 Grants, subsidies, and contributions	21	20	8
99.0 Direct obligations	23	22	10
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	24	22	10

Employment Summary

Identification code 014-5091-0-2-806	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

RECREATION ENHANCEMENT FEE PROGRAM, FWS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5252-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1130 Recreation Enhancement Fee, Fish and Wildlife Service	7	7	7
2000 Total: Balances and receipts	7	7	7
Appropriations:			
Current law:			
2101 Recreation Enhancement Fee Program, FWS	-7	-7	-7
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 014-5252-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Recreation Enhancement Fee Program	5	6	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	14	15
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	7	7
1930 Total budgetary resources available	19	21	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	15	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	5	6	6
3020 Outlays (gross)	-5	-6	-7
3050 Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	7	7
Outlays, gross:			
4100 Outlays from new mandatory authority	5	4	4
4101 Outlays from mandatory balances	2	3
4110 Outlays, gross (total)	5	6	7
4180 Budget authority, net (total)	7	7	7
4190 Outlays, net (total)	5	6	7

The Federal Lands Recreation Enhancement Act (FLREA) was passed on December 8, 2004, as part of the Omnibus Appropriations bill for 2005. Approximately 164 Fish and Wildlife Service sites collect entrance fees and other receipts. All receipts are deposited into a recreation fee account of which at least 80 percent is returned to the collecting site.

The recreation fee program demonstrates the feasibility of user generated cost recovery for the operation and maintenance of recreation areas, visitor services improvements, and habitat enhancement projects on Federal lands. Fees are used primarily at the site to improve visitor access, enhance public safety and security, address maintenance needs, enhance resource protection, and cover the costs of collection. Recreation fees are often used at Service sites to fund student interns and for various youth programs focusing on hunting, fishing, wildlife observation, wildlife photography, environmental education, and environmental interpretation. Section 425 of Division D of the Further Consolidated Appropriations Act, 2020 (P.L. 116-94) extended FLREA through 2021. The 2021 Budget proposes legislation to extend FLREA authority. The Budget also includes appropriations language to extend the authorization for two years in the event authorizing legislation is not enacted.

Object Classification (in millions of dollars)

Identification code 014-5252-0-2-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1	1	1
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	2	2	2
25.2 Other services from non-Federal sources	1	1
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1
26.0 Supplies and materials	1	1	1
32.0 Land and structures	1	1
99.9 Total new obligations, unexpired accounts	5	6	6

Employment Summary

Identification code 014-5252-0-2-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	30	30

FEDERAL AID IN WILDLIFE RESTORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5029-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	728	658	767
Receipts:			
Current law:			
1110 Excise Taxes, Federal Aid to Wildlife Restoration Fund	614	729	747
1140 Earnings on Investments, Federal Aid to Wildlife Restoration Fund	50	45	43
1199 Total current law receipts	664	774	790
1999 Total receipts	664	774	790
2000 Total: Balances and receipts	1,392	1,432	1,557
Appropriations:			
Current law:			
2101 Federal Aid in Wildlife Restoration	-724	-659	-772
2103 Federal Aid in Wildlife Restoration	-55	-45	-39
2132 Federal Aid in Wildlife Restoration	45	39
2199 Total current law appropriations	-734	-665	-811
2999 Total appropriations	-734	-665	-811
5099 Balance, end of year	658	767	746

Program and Financing (in millions of dollars)

Identification code 014-5029-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Multi-state conservation grant program	3	3	3
0004 Administration	11	12	12
0005 Wildlife restoration grants	785	693	808
0006 NAWCF (interest used for grants)	21	38	38
0007 Section 10 hunter education	8	8	8
0900 Total new obligations, unexpired accounts	828	754	869
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	430	400	371
1021 Recoveries of prior year unpaid obligations	64	60	60
1050 Unobligated balance (total)	494	460	431
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	724	659	772
1203 Appropriation (previously unavailable)(special or trust)	55	45	39
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-45	-39
1260 Appropriations, mandatory (total)	734	665	811
1930 Total budgetary resources available	1,228	1,125	1,242
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	400	371	373
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,034	1,036	946
3010 New obligations, unexpired accounts	828	754	869
3020 Outlays (gross)	-762	-784	-828
3040 Recoveries of prior year unpaid obligations, unexpired	-64	-60	-60
3050 Unpaid obligations, end of year	1,036	946	927
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,034	1,036	946
3200 Obligated balance, end of year	1,036	946	927
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	734	665	811
Outlays, gross:			
4100 Outlays from new mandatory authority	183	176	203
4101 Outlays from mandatory balances	579	608	625

4110 Outlays, gross (total)	762	784	828
4180 Budget authority, net (total)	734	665	811
4190 Outlays, net (total)	762	784	828

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	2,102	2,020	2,084
5001 Total investments, EOY: Federal securities: Par value	2,020	2,084	2,046

The Federal Aid in Wildlife Restoration Act (16 U.S.C. 669 et seq.), also known as the Pittman-Robertson Wildlife Restoration Act, created a program to fund the selection, restoration, rehabilitation and improvement of wildlife habitat, hunter education and safety, and wildlife management research. Under the program, States, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands are allocated funds from an excise tax on sporting arms and ammunition, handguns, and a tax on certain archery equipment. States are reimbursed up to 75 percent of the cost of approved wildlife and hunter education projects.

The Wildlife and Sport Fish Restoration Programs Improvement Act (P.L. 106-408) amends the Pittman-Robertson Wildlife Restoration Act and authorizes a Multistate Conservation Grant Program, as well as the Firearm and Bow Hunter Education and Safety Program that provides grants to the States.

The Target Practice and Marksmanship Training Support Act (P.L. 116-17) amends the Pittman-Robertson Wildlife Restoration Act to define a public target range and offers States alternatives for funding specific activities related to public target ranges.

Object Classification (in millions of dollars)

Identification code 014-5029-0-2-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	2	3	3
32.0 Land and structures	8	6	6
41.0 Grants, subsidies, and contributions	809	735	850
99.9 Total new obligations, unexpired accounts	828	754	869

Employment Summary

Identification code 014-5029-0-2-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	51	51	51

COASTAL IMPACT ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 014-5579-0-2-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administration	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.1)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	5	4
Budget authority:			
Appropriations, mandatory:			
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-15
1930 Total budgetary resources available	6	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	4	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1

COASTAL IMPACT ASSISTANCE—Continued
Program and Financing—Continued

Identification code 014-5579-0-2-306	2019 actual	2020 est.	2021 est.
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	-15		
Outlays, gross:			
4101 Outlays from mandatory balances	1	1	1
4180 Budget authority, net (total)	-15		
4190 Outlays, net (total)	1	1	1

The Energy Policy Act (P.L. 109-58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed each year to coastal States that have submitted approved coastal impact assistance plans. The formula for distribution is based on the amount of qualified OCS revenues generated off the coastline of each producing State. In addition, 35 percent of each State's allocable share is to be distributed to coastal political subdivisions based on population, coastline, and distance to applicable OCS leases. In 2011, administration of this program was transferred from the Bureau of Ocean Energy Management, Regulation, and Enforcement to the Fish and Wildlife Service.

Employment Summary

Identification code 014-5579-0-2-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1	1

MISCELLANEOUS PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9927-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, Fish and Wildlife Service	4	4	4
2000 Total: Balances and receipts	4	4	4
Appropriations:			
Current law:			
2101 Miscellaneous Permanent Appropriations	-4	-4	-4
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-9927-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Miscellaneous Permanents	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	9	9
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	4	4
1930 Total budgetary resources available	13	13	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-4	-4
3050 Unpaid obligations, end of year	1	1	1

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	4	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances	2	2	2
4110 Outlays, gross (total)	4	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	4	4	4

Operation and maintenance of quarters.—Revenue from the rental of Government quarters is deposited in this account for use in the operation and maintenance of such quarters for the Fish and Wildlife Service, pursuant to Public Law 98-473, Section 320; 98 Stat. 1874, as amended.

Proceeds from sales, water resources development projects.—Receipts collected from the sale of timber and crops from National Wildlife Refuge System lands leased or licensed from the Department of the Army may be used to pay the costs of production of the timber and crops and for managing wildlife habitat, 16 U.S.C. 460(d).

Lahontan Valley and Pyramid Lake Fish and Wildlife Fund.—Under the Truckee-Carson Pyramid Lake Water Rights Settlement Act, the Lahontan Valley and Pyramid Lake Fish and Wildlife Fund receives revenues and donations from non-Federal parties to support the restoration and enhancement of wetlands in the Lahontan Valley and to restore and protect the Pyramid Lake fishery. Revenues received from the Bureau of Reclamation's Washoe Project in excess of operation and maintenance costs for Stampede Reservoir are available without further appropriation. Donations made for express purposes and State cost-sharing funds are available without further appropriation. The Secretary of the Interior is also authorized to deposit proceeds from the sale of certain lands and interests in lands into the Pyramid Lake Fish and Wildlife Fund.

Community Partnership Enhancement.—Under the National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act (P.L. 105-242, dated October 5, 1998), the Service is authorized to enter into cooperative agreements with nonprofit organizations, academic institutions, or State and local governments to construct, operate, maintain, or improve refuge facilities and services, and to promote volunteer outreach and education programs.

Object Classification (in millions of dollars)

Identification code 014-9927-0-2-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	4	4	4
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 014-9927-0-2-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

Trust Funds

SPORT FISH RESTORATION

Program and Financing (in millions of dollars)

Identification code 014-8151-0-7-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to States for sport fish restoration	388	400	406

0003	North American wetlands conservation grants	18	17	17
0004	Coastal wetlands conservation grants	21	21	21
0006	Administration	12	12	12
0007	National communication & outreach	13	12	12
0009	Multi-State conservation activities	3	3	3
0010	Marine Fisheries Commissions & Boating Council	1	1	1
0011	Boating Infrastructure Improvement	31	28	28
0900	Total new obligations, unexpired accounts	487	494	500

Budgetary resources:

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	207	208	209
1021	Recoveries of prior year unpaid obligations	37	36	36
1050	Unobligated balance (total)	244	244	245

Budget authority:

Appropriations, mandatory:

1201	Appropriation (special or trust fund)	649	660	654
1203	Appropriation (previously unavailable)(special or trust)	29	28	27
1220	Appropriations transferred to other accts [096–8333]	-82	-83	-83
1220	Appropriations transferred to other accts [070–8149]	-117	-119	-118
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-28	-27
1260	Appropriations, mandatory (total)	451	459	480
1930	Total budgetary resources available	695	703	725

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year	208	209	225
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Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	496	519	522
3010	New obligations, unexpired accounts	487	494	500
3020	Outlays (gross)	-427	-455	-494
3040	Recoveries of prior year unpaid obligations, unexpired	-37	-36	-36
3050	Unpaid obligations, end of year	519	522	492

Memorandum (non-add) entries:

3100	Obligated balance, start of year	496	519	522
3200	Obligated balance, end of year	519	522	492

Budget authority and outlays, net:

Mandatory:

4090	Budget authority, gross	451	459	480
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Outlays, gross:

4100	Outlays from new mandatory authority	135	145	144
4101	Outlays from mandatory balances	292	310	350
4110	Outlays, gross (total)	427	455	494
4180	Budget authority, net (total)	451	459	480
4190	Outlays, net (total)	427	455	494

The Federal Aid in Sport Fish Restoration Act, also known as the Dingell-Johnson Sport Fish Restoration Act (16 U.S.C. 777 et seq.), created a fishery resources, conservation, and restoration program funded by excise taxes on fishing equipment and certain other sport fish related products and fuel.

The Wildlife and Sport Fish Restoration Programs Improvement Act (P.L. 106–408) amends the Dingell-Johnson Sport Fish Restoration Act and authorizes a Multistate Conservation Grant Program. It also provides funding for several fisheries commissions and the Sport Fishing and Boating Partnership Council.

The Sport Fish Restoration Act specifies that the net deposits made into the Sport Fish Restoration and Boating Safety Trust Fund, minus the distributions for administrative expenses for the Fish and Wildlife Service and the United States Coast Guard, special commissions, and the Boating Council, be distributed to support the following:

The Coastal Wetlands Planning, Protection, and Restoration Act (16 U.S.C. 3951 et seq.)—18.673 percent of net deposits, or amounts collected in small engine fuels excise taxes as provided by 26 U.S.C. 9504(b), whichever is greater, are to be made available and distributed as follows: 70 percent to the U.S. Army Corps of Engineers for priority project and conservation planning activities in Louisiana; 15 percent to the Fish and Wildlife Service for coastal wetlands conservation grants; and 15 percent to the Fish and Wildlife Service for wetlands conservation projects per the North American Wetlands Conservation Act (16 U.S.C. 4407).

Boating Safety Programs.—17.315 percent of net deposits are to be made available to the United States Coast Guard for State recreational boating safety programs.

Boating Infrastructure Improvement.—Four percent of net deposits are to be made available to the Secretary of the Interior to make grants to 1) States, as determined through a competitive award process, for the development and maintenance of facilities for transient non-trailerable recreational vessels 26 feet or longer, or 2) States, Commonwealths, the District of Columbia and Territories, as determined through a competitive award process, to carry out projects for the construction, renovation, operation, and maintenance of pumpout stations and waste reception facilities, as well as for educational programs on proper disposal of sewage. Not more than 75 percent of the four percent shall be available for grants under either of the award processes referenced in this paragraph.

National Outreach and Communications Programs.—Two percent of net deposits are to be made available to the Secretary of the Interior to develop national and State outreach plans to promote safe fishing and boating opportunities and the conservation of aquatic resources.

Grants to States.—58.012 percent of net deposits are provided to the States, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the District of Columbia for up to 75 percent of the cost of approved projects including: research into fisheries problems, surveys and inventories of fish populations, acquisition and improvement of fish habitat, and provision of access for public use and \$3 million is reserved for Multistate Conservation Activities.

Object Classification (in millions of dollars)

Identification code 014–8151–0–7–303	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	6	6	6
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	1	1	1
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	1	1
25.3	Other goods and services from Federal sources	3	2	2
41.0	Grants, subsidies, and contributions	474	481	487
99.9	Total new obligations, unexpired accounts	487	494	500

Employment Summary

Identification code 014–8151–0–7–303	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	53	58	58

CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–8216–0–7–302	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1	1
Receipts:				
Current law:				
1130	Deposits, Contributed Funds, Fish and Wildlife Service	9	5	5
2000	Total: Balances and receipts	9	6	6
Appropriations:				
Current law:				
2101	Contributed Funds	-8	-5	-5
5099	Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 014–8216–0–7–302	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Contributed Funds	3	4	4
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	10	15	16

CONTRIBUTED FUNDS—Continued
Program and Financing—Continued

Identification code 014-8216-0-7-302	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	8	5	5
1930 Total budgetary resources available	18	20	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	16	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	3	4	4
3020 Outlays (gross)	-3	-4	-5
3050 Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	8	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	2	1	1
4101 Outlays from mandatory balances	1	3	4
4110 Outlays, gross (total)	3	4	5
4180 Budget authority, net (total)	8	5	5
4190 Outlays, net (total)	3	4	5

Donated funds support activities such as endangered species projects, refuge and fish hatchery operations and maintenance, and migratory bird conservation and invasive species mitigation projects.

Object Classification (in millions of dollars)

Identification code 014-8216-0-7-302	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
11.9 Total personnel compensation	1	1	1
26.0 Supplies and materials	1	1
41.0 Grants, subsidies, and contributions	1	1
99.0 Direct obligations	1	3	3
99.5 Adjustment for rounding	2	1	1
99.9 Total new obligations, unexpired accounts	3	4	4

Employment Summary

Identification code 014-8216-0-7-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19	18	18

ADMINISTRATIVE PROVISIONS

The United States Fish and Wildlife Service may carry out the operations of Service programs by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities. Appropriations and funds available to the United States Fish and Wildlife Service shall be available for repair of damage to public roads within and adjacent to reservation areas caused by operations of the Service; options for the purchase of land at not to exceed \$1 for each option; facilities incident to such public recreational uses on conservation areas as are consistent with their primary purpose; and the maintenance and improvement of aquaria, buildings, and other facilities under the jurisdiction of the Service and to which the United States has title, and which are used pursuant to law in connection with management, and investigation of fish and wildlife resources: *Provided*, That notwithstanding 44 U.S.C. 501, the Service may, under cooperative cost sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share at least one-half the cost of printing either in cash or services and the Service determines the cooperator is capable of meeting accepted quality standards: *Provided further*, That the Service may accept donated aircraft as replacements for

existing aircraft: *Provided further*, That notwithstanding 31 U.S.C. 3302, all fees collected for non-toxic shot review and approval shall be deposited under the heading "United States Fish and Wildlife Service-Resource Management" and shall be available to the Secretary, without further appropriation, to be used for expenses of processing of such non-toxic shot type or coating applications and revising regulations as necessary, and shall remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

NATIONAL PARK SERVICE
Federal Funds

OPERATION OF THE NATIONAL PARK SYSTEM

For expenses necessary for the management, operation, and maintenance of areas and facilities administered by the National Park Service and for the general administration of the National Park Service, **[\$2,576,992,000] \$2,516,690,000, to remain available until September 30, 2022, of which [\$10,282,000] \$9,606,000 shall be for planning and interagency coordination in support of Everglades restoration and [\$135,950,000] \$121,087,000 shall be for maintenance, repair, or rehabilitation projects for constructed assets and [\$153,575,000] \$188,184,000 shall be for cyclic maintenance projects for constructed assets and cultural resources and [\$5,000,000] \$4,639,000 shall be for uses authorized by section 101122 of title 54, United States Code [shall remain available until September 30, 2021]:** *Provided*, That [funds appropriated under this heading in this Act are available for the purposes of section 5 of Public Law 95-348: *Provided further*, That notwithstanding section 9(a) of the United States Semiquincentennial Commission Act of 2016 (Public Law 114-196; 130 Stat. 691), \$3,300,000 of the funds made available under this heading shall be provided to the organization selected under section 9(b) of that Act for expenditure by the United States Semiquincentennial Commission in accordance with that Act: *Provided further*, That notwithstanding section 9 of the 400 Years of African-American History Commission Act (36 U.S.C. note prec. 101; Public Law 115-102), \$3,300,000 of the funds provided under this heading shall be made available for the purposes specified by that Act: *Provided further*, That sections (7)(b) and (8) of that Act shall be amended by striking "July 1, 2020" and inserting "July 1, 2021"] *of the funds made available under this heading, \$2,000,000, to remain available until expended, shall be derived from the National Park Medical Services Fund established by section 2404 of Public Law 116-9.* (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-1036-0-1-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1
2000 Total: Balances and receipts	1	1	1
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 014-1036-0-1-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Park management	2,280	2,403	2,337
0002 External administrative costs	185	194	197
0799 Total direct obligations	2,465	2,597	2,534
0801 Operation of the National Park System (Reimbursable)	35	34	34
0900 Total new obligations, unexpired accounts	2,500	2,631	2,568
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	113	151	129
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	114	151	129
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,503	2,577	2,515
1120 Appropriations transferred to other acct [009-9911]	-1	-3
1121 Appropriations transferred from other acct [014-5762]	2
1160 Appropriation, discretionary (total)	2,502	2,574	2,517
Spending authority from offsetting collections, discretionary:			
1700 Collected	38	35	35
1900 Budget authority (total)	2,540	2,609	2,552
1930 Total budgetary resources available	2,654	2,760	2,681

Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-3	
1941	Unexpired unobligated balance, end of year	151	129 113
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	659	698 664
3010	New obligations, unexpired accounts	2,500	2,631 2,568
3011	Obligations ("upward adjustments"), expired accounts	1	
3020	Outlays (gross)	-2,433	-2,665 -2,660
3040	Recoveries of prior year unpaid obligations, unexpired	-1	
3041	Recoveries of prior year unpaid obligations, expired	-28	
3050	Unpaid obligations, end of year	698	664 572
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	659	698 664
3200	Obligated balance, end of year	698	664 572
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	2,540	2,609 2,552
Outlays, gross:			
4010	Outlays from new discretionary authority	1,922	1,981 1,939
4011	Outlays from discretionary balances	511	684 721
4020	Outlays, gross (total)	2,433	2,665 2,660
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources	-38	-35 -35
4180	Budget authority, net (total)	2,502	2,574 2,517
4190	Outlays, net (total)	2,395	2,630 2,625

The National Park Service administers 419 units and over 85 million acres of land in 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, Samoa, and the Northern Marianas. This annual appropriation funds the operation of areas and facilities administered under the National Park System through two budget activities. Funds within this appropriation are available for one year, with the exception of repair and rehabilitation funds and funds for the cooperative restoration of the Everglades, which are available for two years. The 2021 Budget proposes all appropriations in this account be made available for two years. This account contains reimbursable activity such as recovery of costs associated with special use permits.

The first activity, Park Management, covers the management and operation of park areas, and is divided into five subactivities that represent functional areas:

Resource stewardship.—Encompasses resource management operations that provide for the protection and preservation of unique natural, cultural, and historical features of units of the National Park System.

Visitor services.—Includes operations that provide orientation, educational, and interpretive programs to enhance the visitor's park experience. It also provides for the efficient management of commercial services for the benefit of visitors and the protection of resources.

Park protection.—Provides for the protection of park resources, visitors, and staff. Funding supports law enforcement operations, including the United States Park Police, that reduce vandalism and other destruction of park resources, safety, and public health operations.

Facility operations and maintenance.—Encompasses the maintenance and protection of buildings, other facilities, lands, and other government investments.

Park support.—Covers the management, supervision, and administrative operations for park areas and partnerships.

The second activity, External Administrative Costs, funds costs which are largely determined by organizations outside the National Park Service and for which funding requirements are less flexible. The requirements for these costs are mandated in accordance with applicable laws. To promote the efficient performance of the National Park Service, these costs are most effectively managed on a centralized basis.

Object Classification (in millions of dollars)

Identification code 014-1036-0-1-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	901	930 917
11.3	Other than full-time permanent	91	94 63
11.5	Other personnel compensation	63	65 65
11.9	Total personnel compensation	1,055	1,089 1,045
12.1	Civilian personnel benefits	388	395 381
13.0	Benefits for former personnel		3 3
21.0	Travel and transportation of persons	34	32 32
22.0	Transportation of things	10	10 10
23.1	Rental payments to GSA	49	60 60
23.2	Rental payments to others	12	13 13
23.3	Communications, utilities, and miscellaneous charges	100	109 108
24.0	Printing and reproduction	4	4 4
25.1	Advisory and assistance services	19	20 20
25.2	Other services from non-Federal sources	156	170 169
25.3	Other goods and services from Federal sources	176	191 190
25.4	Operation and maintenance of facilities	146	159 157
25.5	Research and development contracts	1	1 1
25.6	Medical care	2	2 2
25.7	Operation and maintenance of equipment	38	41 41
26.0	Supplies and materials	103	112 112
31.0	Equipment	46	50 50
32.0	Land and structures	40	43 43
41.0	Grants, subsidies, and contributions	84	91 91
42.0	Insurance claims and indemnities	2	2 2
99.0	Direct obligations	2,465	2,597 2,534
99.0	Reimbursable obligations	35	34 34
99.9	Total new obligations, unexpired accounts	2,500	2,631 2,568

Employment Summary

Identification code 014-1036-0-1-303	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	14,123	14,145 13,203
2001	Reimbursable civilian full-time equivalent employment	352	352 352
3001	Allocation account civilian full-time equivalent employment	553	569 722
3001	Allocation account civilian full-time equivalent employment	5	5 5

CENTENNIAL CHALLENGE

For expenses necessary to carry out the provisions of section 101701 of title 54, United States Code, relating to challenge cost share agreements, \$15,000,000, to remain available until expended, for Centennial Challenge projects and programs: *Provided*, That not less than 50 percent of the total cost of each project or program shall be derived from non-Federal sources in the form of donated cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-2645-0-1-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Centennial Challenge	10	15 13
0801	Centennial Challenge (Reimbursable)		3 2
0900	Total new obligations, unexpired accounts	10	18 15
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	42	56 57
1001	Discretionary unobligated balance brought fwd, Oct 1	39	48
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	20	15
Spending authority from offsetting collections, mandatory:			
1800	Collected	4	4 4
1900	Budget authority (total)	24	19 4
1930	Total budgetary resources available	66	75 61
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	56	57 46

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	8	9 7

CENTENNIAL CHALLENGE—Continued
Program and Financing—Continued

Identification code 014-2645-0-1-303		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	10	18	15
3020	Outlays (gross)	-9	-20	-16
3050	Unpaid obligations, end of year	9	7	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	8	9	7
3200	Obligated balance, end of year	9	7	6
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	20	15
Outlays, gross:				
4010	Outlays from new discretionary authority	1	11
4011	Outlays from discretionary balances	8	9	13
4020	Outlays, gross (total)	9	20	13
Mandatory:				
4090	Budget authority, gross	4	4	4
Outlays, gross:				
4101	Outlays from mandatory balances	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-4	-4	-4
4180	Budget authority, net (total)	20	15
4190	Outlays, net (total)	5	16	12

Centennial Challenge funds are used to complete projects with partner donations. All Federal funds must be matched on a 50/50 basis, derived from non-Federal sources in the form of cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. Projects are administered under existing National Park Service partnership authorities. No appropriated funding is requested for this program in 2021. The National Park Service Centennial Act (P.L. 114-289) established a National Park Centennial Challenge Fund for signature projects and programs related to visitor services facilities and trail maintenance, funded through offsetting collections exceeding \$10 million from America the Beautiful Senior Pass.

Object Classification (in millions of dollars)

Identification code 014-2645-0-1-303		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.3	Personnel compensation: Other than full-time permanent	1	1
25.2	Other services from non-Federal sources	3	3	2
25.4	Operation and maintenance of facilities	1	3	3
26.0	Supplies and materials	2	2
32.0	Land and structures	1	2	2
41.0	Grants, subsidies, and contributions	4	4	4
99.0	Direct obligations	10	15	13
99.0	Reimbursable obligations	3	2
99.9	Total new obligations, unexpired accounts	10	18	15

Employment Summary

Identification code 014-2645-0-1-303		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	27	21

VISITOR EXPERIENCE IMPROVEMENTS FUND

Program and Financing (in millions of dollars)

Identification code 014-4488-0-3-303		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0801	Visitor Experience Improvements Fund	10	17
0900	Total new obligations, unexpired accounts (object class 25.2)	10	17
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3

Budget authority:

Appropriations, mandatory:				
1203	Appropriation (previously unavailable)(special or trust)	1
1221	Appropriations transferred from other acct [014-9924]	14	9
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-1
1260	Appropriations, mandatory (total)	13	10
Spending authority from offsetting collections, mandatory:				
1800	Collected	8
1900	Budget authority (total)	13	18
1930	Total budgetary resources available	13	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	4

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts	10	17
3020	Outlays (gross)	-10	-17

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	13	18
Outlays, gross:				
4100	Outlays from new mandatory authority	10	14
4101	Outlays from mandatory balances	3
4110	Outlays, gross (total)	10	17
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-8
4180	Budget authority, net (total)	13	10
4190	Outlays, net (total)	10	9

Memorandum (non-add) entries:

5096	Unexpired unavailable balance, SOY: Appropriations	1
5098	Unexpired unavailable balance, EOY: Appropriations	1

The Visitor Experience Improvement Authority (VEIA) Revolving Fund provides for the administration of commercial services contracts, and related professional services contracts, for the operation and expansion of commercial visitor facilities and visitor services programs. This includes expenses necessary for the management, improvement, enhancement, operation, construction, and maintenance of commercial visitor services facilities. Funds will also be used to make payments for possessory interest and leasehold surrender interest in existing commercial services contracts awarded under separate authorities. VEIA is designated as a revolving fund pursuant to Title VII of the National Park Service Centennial Act of 2016 (P.L. 114-289). The authority provides the National Park Service with the tools to improve commercial visitor facilities and services.

NATIONAL RECREATION AND PRESERVATION

For expenses necessary to carry out recreation programs, natural programs, cultural programs, heritage partnership programs, environmental compliance and review, international park affairs, and grant administration, not otherwise provided for, **[\$71,166,000]** \$33,924,000, to remain available until September 30, 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-1042-0-1-303		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0002	Natural programs	14	16	11
0003	Cultural programs	25	31	21
0005	Grant administration	2
0006	International park affairs	2	2	1
0008	Heritage partnership programs	20	22
0799	Total direct obligations	63	71	33
0801	National Recreation and Preservation (Reimbursable)	3	4	4
0900	Total new obligations, unexpired accounts	66	75	37

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	7	8	8

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	64	71	34
Spending authority from offsetting collections, discretionary:				
1700	Collected	4	4	4
1900	Budget authority (total)	68	75	38
1930	Total budgetary resources available	75	83	46
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	8	8	9

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	48	50	44
3010	New obligations, unexpired accounts	66	75	37
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-64	-81	-59
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	50	44	22
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	48	50	44
3200	Obligated balance, end of year	50	44	22

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	68	75	38
Outlays, gross:				
4010	Outlays from new discretionary authority	33	50	26
4011	Outlays from discretionary balances	31	31	33
4020	Outlays, gross (total)	64	81	59
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-4	-4	-4
4040	Offsets against gross budget authority and outlays (total)	-4	-4	-4
4180	Budget authority, net (total)	64	71	34
4190	Outlays, net (total)	60	77	55

The National Recreation and Preservation appropriation covers a broad range of activities relating to outdoor recreation planning, preservation of natural, cultural and historic resources, and environmental compliance. These programs provide a central point at the Federal level for recreation and preservation planning; the coordination of Federal and State policies, procedures and guidelines; and the administration of technical and financial assistance to international, Federal, State, and local governments and private organizations. The 2021 Budget proposes this appropriation be made available for two years. This appropriation is comprised of the following six budget activities:

Natural Programs.—Increases river and trail opportunities through Rivers, Trails, and Conservation Assistance, State and local technical assistance and Chesapeake Bay Gateways and Water Trails grants; creates river conservation and recreational opportunities that are compatible with continuing and future operations of hydropower facilities, fulfills National Park Service responsibilities under the Federal Power Act, and protects park resources through the Hydropower Recreation Assistance Program; manages the National Natural Landmark program; and provides technical assistance to State and local governments and transfers surplus real property to local governments for recreation uses. The 2021 Budget does not request funding for Chesapeake Bay Gateways and Water Trails grants.

Cultural Programs.—Manages the National Register of Historic Places; reviews and certifies applications for Federal Tax Credits for Historic Preservation as a reimbursable activity; conducts cultural resources management planning through the National Historic Landmarks program, the Historic American Buildings Survey, the Historic American Engineering Record and the Historic American Landscapes Survey programs; and advances the application of science and technology in historic preservation and provides information distribution and skills training in the preservation and conservation of the Nation's significant historic and cultural resources through the National Center for Preservation Technology and Training. This program activity also supports the American Battlefield Protection Program Assistance Grants program, the Japanese American Confinement Site Grants program, the Native American Graves Protection and Repatriation Grants program, the 9/11 Memorial Act Grants program, and the

American Indian and Native Hawaiian Art & Culture Grants. The 2021 Budget does not request funding for these grants. This activity also includes relevant grant administration funding.

Environmental Compliance and Review.—Provides review and commentary on environmental impact statements, Federal licensing, permit applications, and other actions that may impact areas of National Park Service jurisdiction.

International Park Affairs.—Coordinates international assistance programs and the exchange and support functions that complement the Service's domestic role.

Heritage Partnership Programs.—Provides financial and technical assistance to Congressionally designated national heritage areas, managed by private or State organizations to promote the conservation of natural, historic, scenic, and cultural resources. The 2021 Budget does not request financial assistance funding for this program.

Object Classification (in millions of dollars)

Identification code 014-1042-0-1-303	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	18	20	17
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	19	21	18
12.1	Civilian personnel benefits	7	7	7
21.0	Travel and transportation of persons	1	1	1
25.2	Other services from non-Federal sources	2	2	4
26.0	Supplies and materials		1	1
31.0	Equipment	1		1
41.0	Grants, subsidies, and contributions	33	39	1
99.0	Direct obligations	63	71	33
99.0	Reimbursable obligations	3	4	4
99.9	Total new obligations, unexpired accounts	66	75	37

Employment Summary

Identification code 014-1042-0-1-303	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	195	204	179
2001	Reimbursable civilian full-time equivalent employment	18	18	18

URBAN PARK AND RECREATION FUND

The Urban Park Recreation Recovery Act of 1978 authorizes matching grants and technical assistance to eligible economically distressed urban communities to revitalize and improve recreation opportunities. The program provides direct Federal grants to local governments to rehabilitate existing indoor and outdoor recreation facilities; to demonstrate innovative ways to enhance park and recreation opportunities; and to develop local Recovery Action Plans to identify needs, priorities and strategies for revitalization of the total recreation system. Last funded in 2004, remaining balances are expected to be exhausted in FY 2020.

CONSTRUCTION

For construction, improvements, repair, or replacement of physical facilities, and compliance and planning for programs and areas administered by the National Park Service, **[\$389,345,000]** **[\$192,649,000]**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, for any project initially funded in fiscal year **[2020]** **2021** with a future phase indicated in the National Park Service 5-Year Line Item Construction Plan, a single procurement may be issued which includes the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause availability of funds found at 48 CFR 52.232-18: *Provided further*, That National Park Service Donations, Park Concessions Franchise Fees, and Recreation Fees may be made available for the cost of adjustments and changes within the original scope of effort for projects funded by the National Park Service Construction appropriation: *Provided further*, That the Secretary of the Interior shall **[consult with]** *notify* the Committees on Appropriations, in accordance

CONSTRUCTION—Continued

with current reprogramming thresholds, prior to making any charges authorized [by this section] under this heading. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-1039-0-1-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Line item construction and maintenance	96	175	180
0002 Special programs	18	28	28
0003 Construction planning	14	17	20
0005 Construction program management and operations	45	45	45
0006 Management planning	11	11	12
0007 Restoration of Federal Assets (Hurricane Supplemental P.L. 115-123)	20	33	40
0008 Restoration of Federal Assets (Hurricane Supplemental P.L. 116-20)	7	12	12
0799 Total direct obligations	211	321	337
0801 Construction (and Major Maintenance) (Reimbursable)	139	139	139
0900 Total new obligations, unexpired accounts	350	460	476
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	612	872	951
1001 Discretionary unobligated balance brought fwd, Oct 1	599	837
1010 Unobligated balance transfer to other accts [011-5512]	-1
1021 Recoveries of prior year unpaid obligations	7
1050 Unobligated balance (total)	618	872	951
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	443	389	193
Appropriations, mandatory:			
1200 Appropriation	30
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-2
1260 Appropriations, mandatory (total)	28
Spending authority from offsetting collections, discretionary:			
1700 Collected	119	118	118
1701 Change in uncollected payments, Federal sources	14	32	32
1750 Spending auth from offsetting collections, disc (total)	133	150	150
1900 Budget authority (total)	604	539	343
1930 Total budgetary resources available	1,222	1,411	1,294
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	872	951	818
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	343	351	256
3010 New obligations, unexpired accounts	350	460	476
3020 Outlays (gross)	-335	-555	-617
3040 Recoveries of prior year unpaid obligations, unexpired	-7
3050 Unpaid obligations, end of year	351	256	115
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-186	-200	-232
3070 Change in uncollected pymts, Fed sources, unexpired	-14	-32	-32
3090 Uncollected pymts, Fed sources, end of year	-200	-232	-264
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	157	151	24
3200 Obligated balance, end of year	151	24	-149
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	576	539	343
Outlays, gross:			
4010 Outlays from new discretionary authority	39	178	149
4011 Outlays from discretionary balances	293	355	455
4020 Outlays, gross (total)	332	533	604
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-60	-66	-66
4033 Non-Federal sources	-59	-52	-52
4040 Offsets against gross budget authority and outlays (total)	-119	-118	-118
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-14	-32	-32
4070 Budget authority, net (discretionary)	443	389	193
4080 Outlays, net (discretionary)	213	415	486

Mandatory:			
4090 Budget authority, gross	28
Outlays, gross:			
4101 Outlays from mandatory balances	3	22	13
4180 Budget authority, net (total)	471	389	193
4190 Outlays, net (total)	216	437	499

The Construction appropriation provides support to several National Park Service mission goals, including preserving park resources, providing for visitor enjoyment, and improving organizational effectiveness. In addition, this account contains activity related to reimbursable agreements.

The Construction appropriation is composed of five budget activities:

Line item construction.—This activity provides for the construction, rehabilitation, and replacement of facilities needed to accomplish the management objectives approved for each park.

Special programs.—This activity includes Emergency and Unscheduled Projects, Employee Housing, Dam Safety, and Equipment Replacement.

Construction planning.—This activity includes the project planning function to prepare working drawings, specification documents, and contracts needed to construct or rehabilitate National Park Service facilities.

Construction program management and operations.—This activity provides centralized design and engineering management services, as well as contracting services for park construction projects.

Management planning.—Under this activity, funding is used to prepare and update Unit Management Plans. The plans guide National Park Service actions for the protection, use, development, and management of each park unit. Funding also is used to conduct studies of alternatives for the protection of areas that may have potential for addition to the National Park System and for environmental impact planning and compliance.

Object Classification (in millions of dollars)

Identification code 014-1039-0-1-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	27	27	20
11.3 Other than full-time permanent	3	3	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	31	31	23
12.1 Civilian personnel benefits	10	11	8
21.0 Travel and transportation of persons	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	55	91	100
25.3 Other goods and services from Federal sources	4	8	8
25.4 Operation and maintenance of facilities	67	112	122
25.7 Operation and maintenance of equipment	5	8	9
26.0 Supplies and materials	2	4	5
31.0 Equipment	12	19	21
32.0 Land and structures	17	27	31
41.0 Grants, subsidies, and contributions	3	4	4
42.0 Insurance claims and indemnities	1	2	2
99.0 Direct obligations	211	321	337
99.0 Reimbursable obligations	139	139	139
99.9 Total new obligations, unexpired accounts	350	460	476

Employment Summary

Identification code 014-1039-0-1-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	323	293	222
2001 Reimbursable civilian full-time equivalent employment	443	443	443
3001 Allocation account civilian full-time equivalent employment	102	102	102

LAND ACQUISITION AND STATE ASSISTANCE

(INCLUDING [RESCISSION] CANCELLATION OF FUNDS)

For expenses necessary to carry out chapter 2003 of title 54, United States Code, including administrative expenses, and for acquisition of lands or waters, or interest therein, in accordance with the statutory authority applicable to the National Park Service, [\$208,400,000] \$17,626,000, to be derived from the Land and Water

Conservation Fund and to remain available until expended, [of which \$140,000,000 is for the State assistance program and] of which [\$13,000,000] \$5,000,000 shall be for the American Battlefield Protection Program grants as authorized by chapter 3081 of title 54, United States Code.

Of the unobligated balances from amounts made available for the National Park Service and derived from the Land and Water Conservation Fund, [\$2,279,000] \$9,000,000 is hereby permanently [rescinded] cancelled from projects or from other grant programs with an unobligated carry over balance: *Provided*, That no amounts may be [rescinded] cancelled from amounts that were [designed] designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–5035–0–2–303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Land acquisition	15	29	20
0002 Land acquisition administration	9	9	9
0004 State grant administration	3	4	3
0005 Grants to States	133	111	62
0900 Total new obligations, unexpired accounts	160	153	94
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	377	494	664
1001 Discretionary unobligated balance brought fwd, Oct 1	291	358
1021 Recoveries of prior year unpaid obligations	10
1050 Unobligated balance (total)	387	494	664
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (LWCF)	168	208	18
1131 Unobligated balance of appropriations permanently reduced	-2	-9
1160 Appropriation, discretionary (total)	168	206	9
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	76	125	117
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-5	-8
1260 Appropriations, mandatory (total)	71	117	117
Contract authority, mandatory:			
1600 Contract authority	30
1621 Contract authority temporarily reduced	-2
1640 Contract authority, mandatory (total)	28
1900 Budget authority (total)	267	323	126
1930 Total budgetary resources available	654	817	790
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	494	664	696
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	260	326	306
3010 New obligations, unexpired accounts	160	153	94
3020 Outlays (gross)	-84	-173	-249
3040 Recoveries of prior year unpaid obligations, unexpired	-10
3050 Unpaid obligations, end of year	326	306	151
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	260	326	306
3200 Obligated balance, end of year	326	306	151
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	168	206	9
Outlays, gross:			
4010 Outlays from new discretionary authority	5	30	3
4011 Outlays from discretionary balances	77	104	172
4020 Outlays, gross (total)	82	134	175
Mandatory:			
4090 Budget authority, gross	99	117	117
Outlays, gross:			
4100 Outlays from new mandatory authority	6	6
4101 Outlays from mandatory balances	2	33	68
4110 Outlays, gross (total)	2	39	74
4180 Budget authority, net (total)	267	323	126
4190 Outlays, net (total)	84	173	249

Memorandum (non-add) entries:

5052 Obligated balance, SOY: Contract authority	40	68	68
5053 Obligated balance, EOY: Contract authority	68	68	68
5099 Unexpired unavailable balance, SOY: Contract authority	12	14	14
5100 Unexpired unavailable balance, EOY: Contract authority	14	14	14

The Land Acquisition and State Assistance appropriation uses funding derived from the Land and Water Conservation Fund to support National Park Service land acquisition activities and provide grants to States for the purchase and development of land for outdoor recreation activities. The appropriation is composed of the following budget activities:

Acquisition Management.—Provides for the administration of land acquisitions throughout the National Park System, ensuring compliance with existing guidelines and laws and provides for the administration of American Battlefield Protection Program Acquisition Grants.

Federal land acquisition.—Provides for the acquisition of land and interests in land to preserve and protect, for public use and enjoyment, the historic, scenic, natural, and recreational values of congressionally authorized areas within the National Park System. Funds are also used for matching grants for the purchase of Revolutionary War, the War of 1812, and Civil War Battlefield lands by non-Federal entities. To focus resources on current Department of the Interior lands, the 2021 Budget does not request funding for line-item land acquisition projects but proposes to fund a limited number of small recreation access projects and a partial cancellation of prior year balances.

State conservation grants administration.—Provides for the administration of matching grants to States and through States to local governments, for the acquisition and development of public outdoor recreation areas and facilities. Appropriated funding for this program is not requested for 2021 as this program is funded by Gulf of Mexico Energy Security Act (GOMESA) lease revenue.

State conservation grants.—Provides matching grants to States and local units of government for the acquisition and development of land and facilities that provide the public access to new opportunities to engage in outdoor recreation. The program includes both traditional formula and competitive grant programs for States. Appropriated funding for this program is not requested for 2021 as this program is funded by GOMESA lease revenue.

The Gulf of Mexico Energy Security Act of 2006 (P.L. 109–432) allows a portion of the revenue collected from certain oil and gas leases in the Gulf of Mexico Outer Continental Shelf (OCS) to be deposited to the Land and Water Conservation Fund and distributed to States in accordance with the Land and Water Conservation Act of 1965. The National Park Service portion of the revenue is 12.5 percent of total qualified OCS revenues. These new OCS receipts became available for expenditure as mandatory funding beginning in 2009. The Further Consolidated Appropriations Act, 2020 (P.L. 116–94) permits the use of up to three percent of the amounts authorized to be disbursed for costs of administration.

Object Classification (in millions of dollars)

Identification code 014–5035–0–2–303	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	8	10	5
11.9 Total personnel compensation	8	10	5
12.1 Civilian personnel benefits	3	3	2
25.2 Other services from non-Federal sources	2	2	6
32.0 Land and structures	9	10	10
41.0 Grants, subsidies, and contributions	137	128	71
42.0 Insurance claims and indemnities	1
99.9 Total new obligations, unexpired accounts	160	153	94

LAND ACQUISITION AND STATE ASSISTANCE—Continued
Employment Summary

Identification code 014-5035-0-2-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	86	98	54

NATIONAL PARK MEDICAL SERVICES FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5762-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			2
Receipts:			
Current law:			
1130 Fees, National Park Medical Services Fund		2	2
2000 Total: Balances and receipts		2	4
Appropriations:			
Current law:			
2101 National Park Medical Services Fund			-2
5099 Balance, end of year		2	2

Program and Financing (in millions of dollars)

Identification code 014-5762-0-2-303	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			2
1120 Appropriations transferred to other acct [014-1036]			-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The National Park Medical Services Fund was established in the John D. Dingell, Jr. Conservation, Management, And Recreation Act (Public Law 116-9). The Fund consists of fees collected for medical services provided to persons in units of the National Park System or for medical services provided by National Park Service personnel outside units of the National Park System and donations to the Fund. Amounts deposited into the Fund are available, to the extent provided in advance by Acts of appropriation, for units of the National Park System to provide medical services. Funds may also be used to obtain and improve medical facilities, equipment, vehicles, and other needs as well as prepare needs assessments or other programmatic analyses and management plans for medical services programs.

RECREATION FEE PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9928-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	2	2
Receipts:			
Current law:			
1130 Recreation Enhancement Fee, National Park System	310	345	346
1130 Transportation Fees, Transportation System Fund	26		
1199 Total current law receipts	336	345	346
1999 Total receipts	336	345	346
2000 Total: Balances and receipts	337	347	348
Appropriations:			
Current law:			
2101 Recreation Fee Permanent Appropriations	-335	-345	-346
2103 Recreation Fee Permanent Appropriations	-2	-2	-2
2132 Recreation Fee Permanent Appropriations	2	2	
2199 Total current law appropriations	-335	-345	-348
2999 Total appropriations	-335	-345	-348
5099 Balance, end of year	2	2	

Program and Financing (in millions of dollars)

Identification code 014-9928-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Recreational Fee Program	280	345	352
0002 Transportation systems fund	24	40	30
0799 Total direct obligations	304	385	382
0801 Reimbursable program activity	10	10	10
0900 Total new obligations, unexpired accounts	314	395	392
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	326	360	325
1010 Unobligated balance transfer to other accts [096-5570]	-1		
1021 Recoveries of prior year unpaid obligations	5	5	5
1050 Unobligated balance (total)	330	365	330
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	335	345	346
1203 Appropriation (previously unavailable)(special or trust)	2	2	2
1220 Appropriations transferred to other acct [012-9921]	-1		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-2	
1260 Appropriations, mandatory (total)	334	345	348
Spending authority from offsetting collections, mandatory:			
1800 Collected	10	10	10
1900 Budget authority (total)	344	355	358
1930 Total budgetary resources available	674	720	688
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	360	325	296
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	188	205	280
3010 New obligations, unexpired accounts	314	395	392
3020 Outlays (gross)	-292	-315	-342
3040 Recoveries of prior year unpaid obligations, unexpired	-5	-5	-5
3050 Unpaid obligations, end of year	205	280	325
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	188	205	280
3200 Obligated balance, end of year	205	280	325
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	344	355	358
Outlays, gross:			
4100 Outlays from new mandatory authority		79	79
4101 Outlays from mandatory balances	292	236	263
4110 Outlays, gross (total)	292	315	342
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-10	-10	-10
4180 Budget authority, net (total)	334	345	348
4190 Outlays, net (total)	282	305	332

Recreation Fee Program.—The National Park Service (NPS) and other land management agencies operate a fee program that allows parks and other units to collect admission and user fees in accordance with the Federal Lands Recreation Enhancement Act (FLREA). Section 425 of Division D of the Further Consolidated Appropriations Act, 2020 (P.L. 116-94) extended FLREA through 2021. The 2021 Budget proposes legislation to extend FLREA authority. The Budget also includes appropriations language to extend the authorization for two years in the event authorizing legislation is not enacted.

Net proceeds are used to provide benefits and services to the visitor throughout the National Park System. Up to 80 percent may be retained for use by the collecting park and the remainder retained for discretionary, Service-wide use by the National Park Service Director. Proceeds from the sale of the America the Beautiful passes, which allow access to all public lands that charge fees for a year, are distributed among the Federal land management agencies which offer them for sale, including the National Park Service, the Bureau of Land Management, the U.S. Fish and Wildlife Service, the Bureau of Reclamation and the U.S. Forest Service, as determined by the Secretaries of the Department of the Interior and the Department

of Agriculture in accordance with Public Law 108–447. The National Park Service Centennial Act (P.L. 114–289) established in the National Park Foundation, a Second Century Endowment for NPS projects and activities, funded through offsetting collections from the first \$10 million collected in each fiscal year, generated from the America the Beautiful Senior Pass.

Deed-restricted parks fee program.—Park units where admission fees may not be collected by reason of deed restrictions retain any other recreation fees collected and use them for certain park operation purposes in accordance with Public Law 105–327. This law applies to Great Smoky Mountains National Park, Lincoln Home National Historic Site, and Abraham Lincoln Birthplace National Historic Site.

Transportation systems fund.—Fees charged for public use of transportation services at parks are retained and used by each collecting park for costs associated with the transportation systems in accordance with section 501 of Public Law 105–391.

Payment for tax losses on land acquired for Grand Teton National Park.—Revenues received from fees collected from visitors are used to compensate the State of Wyoming for tax losses on Grand Teton National Park lands (16 U.S.C. 406d–3).

Object Classification (in millions of dollars)

Identification code 014–9928–0–2–303	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	36	36
11.3 Other than full-time permanent	39	40	41
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	79	81	82
12.1 Civilian personnel benefits	20	20	21
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	2
23.3 Communications, utilities, and miscellaneous charges	7	10	10
24.0 Printing and reproduction	2	3	3
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	52	71	70
25.3 Other goods and services from Federal sources	18	25	25
25.4 Operation and maintenance of facilities	65	89	88
25.7 Operation and maintenance of equipment	4	7	7
26.0 Supplies and materials	12	16	16
31.0 Equipment	4	7	7
32.0 Land and structures	18	25	25
41.0 Grants, subsidies, and contributions	20	28	24
99.0 Direct obligations	304	385	382
99.0 Reimbursable obligations	10	10	10
99.9 Total new obligations, unexpired accounts	314	395	392

Employment Summary

Identification code 014–9928–0–2–303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,661	1,661	1,661

HISTORIC PRESERVATION FUND

For expenses necessary in carrying out the National Historic Preservation Act (division A of subtitle III of title 54, United States Code), **[\$118,660,000]** \$40,672,000, to be derived from the Historic Preservation Fund and to remain available until September 30, **[2021]**, of which \$16,000,000 shall be for Save America's Treasures grants for preservation of national significant sites, structures and artifacts as authorized by section 7303 of the Omnibus Public Land Management Act of 2009 (54 U.S.C. 3089): *Provided*, That an individual Save America's Treasures grant shall be matched by non-Federal funds: *Provided further*, That individual projects shall only be eligible for one grant: *Provided further*, That all projects to be funded shall be approved by the Secretary of the Interior in consultation with the House and Senate Committees on Appropriations: *Provided further*, That of the funds provided for the Historic Preservation Fund, \$750,000 is for competitive grants for the survey and nomination of properties to the National Register of Historic Places and as National Historic Landmarks associated with communities currently under-represented, as determined by the Secretary, \$18,750,000 is for competitive grants to preserve the sites and stories of the Civil Rights movement, \$10,000,000

is for grants to Historically Black Colleges and Universities, and \$7,500,000 is for competitive grants for the restoration of historic properties of national, State and local significance listed on or eligible for inclusion on the National Register of Historic Places, to be made without imposing the usage or direct grant restrictions of section 101(e)(3) (54 U.S.C. 302904) of the National Historical Preservation Act: *Provided further*, That such competitive grants shall be made without imposing the matching requirements in section 302902(b)(3) of title 54, United States Code, to States and Indian tribes as defined in chapter 3003 of such title, Native Hawaiian organizations, local governments, including Certified Local Governments, and non-profit organizations **[2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)**

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–5140–0–2–303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,346	3,393	3,424
Receipts:			
Current law:			
1130 Historic Preservation Fund, Rent Receipts, Outer Continental Shelf Lands	150	150	150
2000 Total: Balances and receipts	3,496	3,543	3,574
Appropriations:			
Current law:			
2101 Historic Preservation Fund	–103	–119	–41
5099 Balance, end of year	3,393	3,424	3,533

Program and Financing (in millions of dollars)

Identification code 014–5140–0–2–303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants-in-aid	86	101	63
0002 Save America's Treasures grants	13	24	5
0003 Grants to States and Territories (Hurricane Supplemental P.L. 115–123)	50		
0004 Grants to States and Territories (Hurricane Supplemental P.L. 116–20)		48	1
0900 Total new obligations, unexpired accounts	149	173	69
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	95	97	43
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	50		
1101 Appropriation (special fund, definite) HPF	103	119	41
1160 Appropriation, discretionary (total)	153	119	41
1930 Total budgetary resources available	248	216	84
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		
1941 Unexpired unobligated balance, end of year	97	43	15
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	2		
1952 Expired unobligated balance, start of year	1	3	3
1953 Expired unobligated balance, end of year	1	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	97	179	195
3010 New obligations, unexpired accounts	149	173	69
3020 Outlays (gross)	–67	–157	–149
3050 Unpaid obligations, end of year	179	195	115
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	97	179	195
3200 Obligated balance, end of year	179	195	115

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	153	119	41
Outlays, gross:			
4010 Outlays from new discretionary authority	12	58	21
4011 Outlays from discretionary balances	55	99	128
4020 Outlays, gross (total)	67	157	149
4180 Budget authority, net (total)	153	119	41
4190 Outlays, net (total)	67	157	149

HISTORIC PRESERVATION FUND—Continued

The Historic Preservation Fund appropriation includes grant programs to facilitate the preservation of the Nation's historic and cultural resources. This appropriation provides grants-in-aid to States, Territories, Indian Tribes, and Historically Black Colleges and Universities. Grants-in-aid to States and local governments require a 40 percent funding match; grants to Tribes do not require matching funds. In addition to the traditional grants-in-aid described above, the account includes competitive grant programs. This includes grants for the survey and nomination of properties associated with communities currently underrepresented in the National Register and as National Historic Landmarks, and grants to preserve the sites and stories of the Civil Rights Movement. Funding for Competitive Grants, Historic Revitalization Grants, and Save America's Treasures is not requested in the 2021 Budget.

Object Classification (in millions of dollars)

Identification code 014-5140-0-2-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	3	2	2
41.0 Grants, subsidies, and contributions	146	171	67
99.9 Total new obligations, unexpired accounts	149	173	69

Employment Summary

Identification code 014-5140-0-2-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	1

OTHER PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9924-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	2
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, National Park Service	23	23	24
1130 Rental Payments, Park Buildings Lease and Maintenance Fund	10	11	12
1130 Concession Improvement Accounts Deposit	10	11	11
1130 User Fees for Filming and Photography on Public Lands	1	2	2
1130 Park Concessions Franchise Fees	134	138	141
1199 Total current law receipts	178	185	190
1999 Total receipts	178	185	190
2000 Total: Balances and receipts	179	186	192
Appropriations:			
Current law:			
2101 Other Permanent Appropriations	-177	-185	-189
2103 Other Permanent Appropriations	-1		
2198 Other Permanent Appropriations		1	
2198 Other Permanent Appropriations			-1
2199 Total current law appropriations	-178	-184	-190
2999 Total appropriations	-178	-184	-190
5099 Balance, end of year	1	2	2

Program and Financing (in millions of dollars)

Identification code 014-9924-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation and maintenance of quarters	23	29	25
0003 Park concessions franchise fees	93	159	149
0005 Rental Payments, Park Buildings Lease and Maintenance Fund	8	17	14
0006 Concessions improvements accounts	9	11	11
0007 Contribution for annuity benefits for USPP	40	42	41
0008 Filming and Photography Special Use Fee Program	1	3	2
0900 Total new obligations, unexpired accounts	174	261	242

Budgetary resources:

1000 Unobligated balance brought forward, Oct 1	198	244	196
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	200	244	196
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	44	42	41
1201 Appropriation (special or trust fund)	177	185	189
1203 Appropriation (previously unavailable)(special or trust)	1		
1220 Appropriations transferred to other acct (014-4488)		-14	-9
1260 Appropriations, mandatory (total)	222	213	221
1900 Budget authority (total)	222	213	221
1930 Total budgetary resources available	422	457	417
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year	244	196	175

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	67	77	136
3010 New obligations, unexpired accounts	174	261	242
3020 Outlays (gross)	-162	-202	-230
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	77	136	148
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	67	77	136
3200 Obligated balance, end of year	77	136	148

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	222	213	221
Outlays, gross:			
4100 Outlays from new mandatory authority	36	192	199
4101 Outlays from mandatory balances	126	10	31
4110 Outlays, gross (total)	162	202	230
4180 Budget authority, net (total)	222	213	221
4190 Outlays, net (total)	162	202	230

Park concessions franchise fees.—Franchise fees for concessioner activities in the National Park System (NPS) are deposited in this account and used for certain park operations activities in accordance with section 407 of Public Law 105-391. By law, 20 percent of franchise fees collected are used to support activities throughout the National Park System and 80 percent are retained and used by each collecting park unit for visitor services and for purposes of funding high-priority and urgently necessary resource management programs and operations. The National Park Service Centennial Act (P.L. 114-289), establishes a new concessions contracting authority within NPS, which is described under the Visitor Experience Improvements Fund account.

Concessions improvement accounts.—National Park Service agreements with private concessioners providing visitor services within national parks can require concessioners to deposit a portion of gross receipts or a fixed sum of money in a separate bank account. A concessioner may expend funds from such an account with the approval of the park superintendent for improvements to facilities that directly support concession visitor services but would not otherwise be funded through the appropriations process. Concessioners do not accrue possessory interests from improvements funded through these accounts.

Park buildings lease and maintenance fund.—Rental payments for leases to use buildings and associated property in the National Park System are deposited in this account and used for infrastructure needs at park units in accordance with section 802 of Public Law 105-391.

Operation and maintenance of quarters.—Revenues from the rental of Government-owned quarters to park employees are deposited in this account and used to operate and maintain the quarters.

Filming and photography special use fees.—The National Park Service is authorized to retain fees collected from issuing permits to use park lands and facilities for commercial filming, still photography, and similar activities. Activities authorized for funding include acquisition of emergency response equipment to prevent harm to aquatic park resources from permit-

tees and studies to quantify the impact of permittees' activities on wildlife and other natural resources of the park.

Contributions to U.S. Park Police annuity benefits.—Necessary costs of benefit payments to annuitants under the pension program for United States Park Police officers hired prior to January 1, 1984, established under Public Law 85–157, are paid from the General Fund of the Treasury to the extent the payments exceed deductions from salaries of active duty employees in the program. Permanent funding for such payments was provided in the Department of the Interior and Related Agencies Appropriations Act, 2002. Before 2002, such payments were funded from appropriations made annually to the National Park Service.

Delaware Water Gap, Route 209 Operations.—The Delaware Water Gap National Recreation Area Improvement Act, as amended by Public Law 115–101, directs the Department of the Interior to establish a fee and permit program for the use of Highway 209 by commercial vehicles. All fees received are set aside in a special account, and made available for the administration and enforcement of the program, including registering vehicles, issuing permits and vehicle identification stickers, and personnel costs.

Object Classification (in millions of dollars)

Identification code 014–9924–0–2–303	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	13	13	13
11.3 Other than full-time permanent	10	9	10
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	25	24	25
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	7	6
25.1 Advisory and assistance services	11	18	17
25.2 Other services from non-Federal sources	63	102	94
25.3 Other goods and services from Federal sources	4	8	6
25.4 Operation and maintenance of facilities	24	39	36
25.6 Medical care	4	7	6
25.7 Operation and maintenance of equipment	3	5	5
26.0 Supplies and materials	9	14	13
31.0 Equipment	9	15	13
32.0 Land and structures	5	8	7
41.0 Grants, subsidies, and contributions	5	5	5
42.0 Insurance claims and indemnities	1	1
99.9 Total new obligations, unexpired accounts	174	261	242

Employment Summary

Identification code 014–9924–0–2–303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	428	428	428

Trust Funds

CONSTRUCTION (TRUST FUND)

Parkway construction project funds have been derived from the Highway Trust Fund through appropriations to liquidate contract authority, which has been provided under section 104(a)(8) of the Federal Aid Highway Act of 1978, title I of Public Law 95–599, as amended, and appropriations language, which has made the contract authority and the appropriations available until expended.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–9972–0–7–303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1
Receipts:			
Current law:			
1130 Donations to National Park Service	51	51	51

1140 Earnings on Investments, Donations to National Park Service	2	1	1
1199 Total current law receipts	53	52	52
1999 Total receipts	53	52	52
2000 Total: Balances and receipts	53	53	53
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds	–52	–52	–52
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 014–9972–0–7–303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Donations to National Park Service	42	69	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	73	85	68
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	75	85	68
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	52	52	52
1930 Total budgetary resources available	127	137	120
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	85	68	60
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	21	8
3010 New obligations, unexpired accounts	42	69	60
3020 Outlays (gross)	–48	–82	–63
3040 Recoveries of prior year unpaid obligations, unexpired	–2
3050 Unpaid obligations, end of year	21	8	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	21	8
3200 Obligated balance, end of year	21	8	5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	52	52	52
Outlays, gross:			
4100 Outlays from new mandatory authority	26	26
4101 Outlays from mandatory balances	48	56	37
4110 Outlays, gross (total)	48	82	63
4180 Budget authority, net (total)	52	52	52
4190 Outlays, net (total)	48	82	63

National Park Service, donations.—The Secretary of the Interior accepts and uses donated moneys for the purposes of the National Park System (54 U.S.C. 101101), as designated by the donor when stated.

Preservation, Birthplace of Abraham Lincoln, National Park Service.—This Fund consists of an endowment established by the Lincoln Farm Association. The interest therefrom is available for preservation of the Abraham Lincoln Birthplace National Historic Site, Kentucky (16 U.S.C. 211, 212).

Object Classification (in millions of dollars)

Identification code 014–9972–0–7–303	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	5	5
11.3 Other than full-time permanent	6	6	7
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	12	12	13
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1
23.3 Communications, utilities, and miscellaneous charges	1
25.2 Other services from non-Federal sources	6	7	6
25.3 Other goods and services from Federal sources	3	1	1
25.4 Operation and maintenance of facilities	4	28	20
25.7 Operation and maintenance of equipment	1
26.0 Supplies and materials	3	3	3

MISCELLANEOUS TRUST FUNDS—Continued
Object Classification—Continued

Identification code 014-9972-0-7-303		2019 actual	2020 est.	2021 est.
31.0	Equipment	1	1	1
32.0	Land and structures	1	8	8
41.0	Grants, subsidies, and contributions	6	6	5
99.9	Total new obligations, unexpired accounts	42	69	60

Employment Summary

Identification code 014-9972-0-7-303		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	225	225	225

ADMINISTRATIVE PROVISIONS
(INCLUDING TRANSFER OF FUNDS)

In addition to other uses set forth in section 101917(c)(2) of title 54, United States Code, franchise fees credited to a sub-account shall be available for expenditure by the Secretary, without further appropriation, for use at any unit within the National Park System to extinguish or reduce liability for Possessory Interest or leasehold surrender interest. Such funds may only be used for this purpose to the extent that the benefitting unit anticipated franchise fee receipts over the term of the contract at that unit exceed the amount of funds used to extinguish or reduce liability. Franchise fees at the benefitting unit shall be credited to the sub-account of the originating unit over a period not to exceed the term of a single contract at the benefitting unit, in the amount of funds so expended to extinguish or reduce liability.

For the costs of administration of the Land and Water Conservation Fund grants authorized by section 105(a)(2)(B) of the Gulf of Mexico Energy Security Act of 2006 (Public Law 109-432), the National Park Service may retain up to 3 percent of the amounts which are authorized to be disbursed under such section, such retained amounts to remain available until expended.

National Park Service funds may be transferred to the Federal Highway Administration (FHWA), Department of Transportation, for purposes authorized under 23 U.S.C. 203. Transfers may include a reasonable amount for FHWA administrative support costs. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

INDIAN AFFAIRS

BUREAU OF INDIAN AFFAIRS
Federal Funds

OPERATION OF INDIAN PROGRAMS
(INCLUDING TRANSFERS OF FUNDS)

For expenses necessary for the operation of Indian programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), and the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 5301 et seq.), **[\$1,577,110,000]** \$1,446,694,000, to remain available until September 30, **[2021]** 2022, except as otherwise provided herein; of which not to exceed \$8,500 may be for official reception and representation expenses; of which not to exceed **[\$74,734,000]** \$16,862,000 shall be for welfare assistance payments: *Provided*, That in cases of designated Federal disasters, the Secretary may exceed such cap for welfare payments from the amounts provided herein, to provide for disaster relief to Indian communities affected by the disaster: *Provided further*, That federally recognized Indian tribes and tribal organizations of federally recognized Indian tribes may use their tribal priority allocations for unmet welfare assistance costs: *Provided further*, That not to exceed **[\$57,424,000]** \$43,414,000 shall remain available until expended for **[housing improvement,]** road maintenance, **[attorney fees, litigation support,]** land records improvement, and the Navajo-Hopi Settlement Program: *Provided further*, That any forestry funds allocated to a federally recognized tribe which remain unobligated as of September 30, **[2021]** 2022, may be transferred during fiscal year **[2022]** 2023 to an Indian forest land assistance account established for the benefit of the holder of the funds within the holder's trust fund account: *Provided further*, That any such unobligated balances not so transferred shall expire on September 30, **[2022]** 2023: *Provided further*, That in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other

identifying articles of clothing for personnel: *Provided further*, That the Bureau of Indian Affairs may accept transfers of funds from United States Customs and Border Protection to supplement any other funding available for reconstruction or repair of roads owned by the Bureau of Indian Affairs as identified on the National Tribal Transportation Facility Inventory, 23 U.S.C. 202(b)(1). (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-2100-0-1-999		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0007	Tribal Government	321	304	304
0008	Human services	161	136	136
0009	Trust - Natural resources management	221	275	275
0010	Trust - Real estate services	122	124	124
0011	Education	936		
0012	Public safety and justice	412	490	490
0013	Community and economic development	47	37	37
0014	Executive direction and administrative services	249	235	235
0799	Total direct obligations	2,469	1,601	1,601
0807	Operation of Indian Programs (Reimbursable)	309	211	211
0899	Total reimbursable obligations	309	211	211
0900	Total new obligations, unexpired accounts	2,778	1,812	1,812

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	779	729	812
1012	Unobligated balance transfers between expired and unexpired accounts	17	14	14
1021	Recoveries of prior year unpaid obligations	11	2	2
1050	Unobligated balance (total)	807	745	828
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,415	1,577	1,447
Spending authority from offsetting collections, discretionary:				
1700	Collected	228	302	280
1701	Change in uncollected payments, Federal sources	57		
1750	Spending auth from offsetting collections, disc (total)	285	302	280
1900	Budget authority (total)	2,700	1,879	1,727
1930	Total budgetary resources available	3,507	2,624	2,555
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	729	812	743

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	375	408	34
3010	New obligations, unexpired accounts	2,778	1,812	1,812
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-2,728	-2,184	-1,785
3040	Recoveries of prior year unpaid obligations, unexpired	-11	-2	-2
3041	Recoveries of prior year unpaid obligations, expired	-7		
3050	Unpaid obligations, end of year	408	34	59
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-163	-213	-213
3070	Change in uncollected pymts, Fed sources, unexpired	-57		
3071	Change in uncollected pymts, Fed sources, expired	7		
3090	Uncollected pymts, Fed sources, end of year	-213	-213	-213
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	212	195	-179
3200	Obligated balance, end of year	195	-179	-154

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	2,700	1,879	1,727
Outlays, gross:				
4010	Outlays from new discretionary authority	1,742	1,317	1,215
4011	Outlays from discretionary balances	986	867	570
4020	Outlays, gross (total)	2,728	2,184	1,785
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-221	-307	-280
4033	Non-Federal sources	-14		
4040	Offsets against gross budget authority and outlays (total)	-235	-307	-280
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-57		
4052	Offsetting collections credited to expired accounts	7	5	
4060	Additional offsets against budget authority only (total)	-50	5	

4070	Budget authority, net (discretionary)	2,415	1,577	1,447
4080	Outlays, net (discretionary)	2,493	1,877	1,505
4180	Budget authority, net (total)	2,415	1,577	1,447
4190	Outlays, net (total)	2,493	1,877	1,505

The Operation of Indian Programs appropriation consists of a wide range of services and benefits provided to federally recognized Indian Tribes, Alaska Native groups, and individual American Indians and Alaska Natives, to fulfill Federal trust and treaty responsibilities and support tribal self-determination.

This account covers expenses associated with the following activities:

Tribal Government.—This activity promotes the sovereignty of federally recognized Indian Tribes by supporting and assisting them in the development and maintenance of strong and stable governments capable of administering quality programs and developing economies. This activity also provides for the maintenance of Bureau of Indian Affairs (BIA) roads and bridges.

Human Services.—This activity provides funding for social services, welfare assistance, and Indian Child Welfare Act programs. The objective of this activity is to improve the quality of life for individual Indians who live on or near Indian reservations and to help protect children, the elderly, and disabled from abuse and neglect.

Trust: Natural Resources Management.—This activity provides for the management, development, and protection of Indian trust land and natural resource assets and related treaty rights. Natural resource programs in Indian Country include agriculture, forestry, water, fish, wildlife, and parks. The 2021 Budget proposes to transfer energy and mineral development activities from the Community and Economic Development activity to Natural Resources Management. These activities provide assistance for renewable and conventional energy and hard mineral development for the economic benefit of Tribes and individual Indian allottees.

Trust: Real Estate.—This activity promotes cooperative efforts with landowners for the optimal utilization, development, and enhancement of trust and restricted Federal Indian-owned lands. The activity includes general real estate services, probate, land title and records, environmental compliance, and other trust services and rights protection.

Public Safety and Justice.—This activity funds law enforcement activities in Indian Country in over 25 States. Programs under this activity include investigative, police, and detention services; tribal courts; fire protection; and facilities maintenance.

Community and Economic Development.—This activity promotes the economic vitality of American Indians and Alaska Natives through job placement and training, economic development, and community development programs. The Budget proposes to transfer energy and minerals activities to Natural Resources Management in 2021.

Executive Direction and Administrative Services.—This activity supports the management of finance, budget, acquisition, and property functions, as well as information technology resources, personnel services, facilities management, payment of General Services Administration rentals and direct rentals, and intra-governmental payments. Significant portions of Indian Affairs activities are executed under contracts or compacts with federally recognized Indian Tribes to run tribal and Federal programs. Funding also supports BIA or Bureau of Indian Education oversight and technical assistance for these activities in the central and regional offices.

Object Classification (in millions of dollars)

Identification code 014–2100–0–1–999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	229	150	150
11.3	Other than full-time permanent	114	99	99
11.5	Other personnel compensation	31	20	20
11.9	Total personnel compensation	374	269	269
12.1	Civilian personnel benefits	125	100	100
13.0	Benefits for former personnel	1	1	1
21.0	Travel and transportation of persons	15	15	15
22.0	Transportation of things	2	2	2

23.1	Rental payments to GSA	21	21	21
23.2	Rental payments to others	16	16	16
23.3	Communications, utilities, and miscellaneous charges	35	35	35
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	3	3	3
25.2	Other services from non-Federal sources	1,057	600	600
25.3	Other goods and services from Federal sources	100	90	90
25.4	Operation and maintenance of facilities	12	12	12
25.5	ADP Contracts	2	2	2
25.6	Medical care	1	1	1
25.7	Operation and maintenance of equipment	15	15	15
26.0	Supplies and materials	34	34	34
31.0	Equipment	33	33	33
32.0	Land and structures	1	1	1
41.0	Grants, subsidies, and contributions	621	350	350
99.0	Direct obligations	2,469	1,601	1,601
99.0	Reimbursable obligations	309	211	211
99.9	Total new obligations, unexpired accounts	2,778	1,812	1,812

Employment Summary

Identification code 014–2100–0–1–999	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	5,113	2,851	2,801
2001	Reimbursable civilian full-time equivalent employment	596	571	125
3001	Allocation account civilian full-time equivalent employment	447	463	541

CONTRACT SUPPORT COSTS

For payments to tribes and tribal organizations for contract support costs associated with Indian Self-Determination and Education Assistance Act agreements with the Bureau of Indian Affairs and the Bureau of Indian Education for fiscal year **[2020]** 2021, such sums as may be necessary, which shall be available for obligation through September 30, **[2021]** 2022: *Provided*, That notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–2240–0–1–999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0007	Tribal Government	318	286	253
0100	Direct program activities, subtotal	318	286	253
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	318	286	286
1930	Total budgetary resources available	318	286	286
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			33
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	52	33	138
3010	New obligations, unexpired accounts	318	286	253
3020	Outlays (gross)	–337	–181	–275
3050	Unpaid obligations, end of year	33	138	116
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	52	33	138
3200	Obligated balance, end of year	33	138	116
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	318	286	286
Outlays, gross:				
4010	Outlays from new discretionary authority	208	166	166
4011	Outlays from discretionary balances	129	15	109
4020	Outlays, gross (total)	337	181	275
4180	Budget authority, net (total)	318	286	286
4190	Outlays, net (total)	337	181	275

The Contract Support Costs account provides payments to Tribes for the administrative costs associated with executing tribal self-determination

CONTRACT SUPPORT COSTS—Continued

contracts and self-governance compacts under the Indian Self-Determination and Education Assistance Act. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract support costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports costs associated with executing or administering new and/or expanded self-determination contracts. The 2021 Budget proposes to continue to manage this account as an indefinite appropriation to ensure the availability of full funding to meet contract support costs requirements for the fiscal year.

Object Classification (in millions of dollars)

Identification code 014-2240-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	294	275	245
41.0 Grants, subsidies, and contributions	24	11	8
99.9 Total new obligations, unexpired accounts	318	286	253

PAYMENTS FOR TRIBAL LEASES

For payments to tribes and tribal organizations for leases pursuant to section 105(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5324(l)) for fiscal year 2021, such sums as may be necessary, which shall be available for obligation through September 30, 2022: *Provided*, That notwithstanding any other provision of law, no amounts made available under this heading shall be available for transfer to another budget account.

Program and Financing (in millions of dollars)

Identification code 014-0200-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Lease Payments			22
0900 Total new obligations, unexpired accounts (object class 25.4)			22
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			22
1930 Total budgetary resources available			22
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			22
3020 Outlays (gross)			-22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			22
Outlays, gross:			
4010 Outlays from new discretionary authority			22
4180 Budget authority, net (total)			22
4190 Outlays, net (total)			22

The Payments for Tribal Leases account provides for the reasonable and allowable costs for leases with a Tribe or tribal organization for a building owned or leased by the Tribe or tribal organization used for administration or to deliver services under Section 105(l) of the Indian Self-Determination and Education Assistance Act. The 2021 Budget proposes to fund these leases through an indefinite discretionary appropriation account to ensure the full availability of budget authority needed for such lease agreements. Funding from the account would be used for either Bureau of Indian Affairs or Bureau of Indian Education Section 105(l) lease costs.

CONSTRUCTION

(INCLUDING TRANSFERS [AND RESCISSION] OF FUNDS)

For construction, repair, improvement, and maintenance of irrigation and power systems, buildings, utilities, and other facilities, including architectural and engineering services by contract; acquisition of lands, and interests in lands; and preparation of lands for farming, and for construction of the Navajo Indian Irrigation Project pursuant to Public Law 87-483; **[\$128,591,000]** \$59,759,000, to remain available until expended: *Provided*, That such amounts as may be available for the construction of the Navajo Indian Irrigation Project may be transferred to the Bureau of Reclamation: *Provided further*, That any funds provided for the Safety of Dams program pursuant to the Act of November 2, 1921 (25 U.S.C. 13), shall be made available on a nonreimbursable basis: *Provided further*, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, within 18 months of the date of enactment of this Act, any Public Law 93-638 contractor receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction: *Provided further*, That this appropriation may be reimbursed from the [Office of the Special Trustee for American Indians] Bureau of Trust Funds Administration appropriation for the appropriate share of construction costs for space expansion needed in agency offices [to meet trust reform implementation: *Provided further*, That of the funds made available under this heading, \$10,000,000 shall be derived from the Indian Irrigation Fund established by section 3211 of the WIIN Act (Public Law 114-322; 130 Stat. 1749)].

[Of the unobligated balances made available for the "Construction, Resources Management" account, \$2,000,000 is permanently rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.] (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-2301-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Education construction	53	55	
0002 Public safety and justice construction	12	10	8
0003 Resource management construction	67	67	32
0004 Other Program Construction	13	8	8
0005 BOR Allocation Account	5	2	2
0799 Total direct obligations	150	142	50
0807 Construction (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	152	144	52
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	428	640	661
1021 Recoveries of prior year unpaid obligations	4	24	24
1050 Unobligated balance (total)	432	664	685
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	349	119	60
1121 Appropriations transferred from other acct [014-5639] ...	10	10	
1121 Appropriations transferred from other acct [070-0530] ...		5	
1131 Unobligated balance of appropriations permanently reduced		-2	
1160 Appropriation, discretionary (total)	359	132	60
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	9	8
1900 Budget authority (total)	360	141	68
1930 Total budgetary resources available	792	805	753
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	640	661	701
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	153	122	115
3010 New obligations, unexpired accounts	152	144	52
3020 Outlays (gross)	-179	-127	-71
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-24	-24
3050 Unpaid obligations, end of year	122	115	72
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	153	122	115
3200 Obligated balance, end of year	122	115	72

Budget authority and outlays, net:

	2019 actual	2020 est.	2021 est.
4000 Discretionary:			
Budget authority, gross	360	141	68
Outlays, gross:			
4010 Outlays from new discretionary authority	29	45	22
4011 Outlays from discretionary balances	150	82	49
4020 Outlays, gross (total)	179	127	71
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-9	-8
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-1	-9	-8
4070 Budget authority, net (discretionary)	359	132	60
4080 Outlays, net (discretionary)	178	118	63
4180 Budget authority, net (total)	359	132	60
4190 Outlays, net (total)	178	118	63

Public safety and justice construction.—This activity provides for the planning, design, improvement, repair, replacement, and construction of law enforcement and detention center facilities on Indian lands.

Resources management construction.—This activity provides for the construction, extension, and rehabilitation of irrigation projects, dams, and related power systems on Indian reservations.

Other program construction.—This activity provides for the improvement and repair of Indian Affairs' regional and agency facilities, the telecommunications system, the facilities management system, and construction program management.

Object Classification (in millions of dollars)

Identification code 014-2301-0-1-452	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	6	6	4
11.9 Total personnel compensation	6	6	4
12.1 Civilian personnel benefits	2	2	2
25.1 Advisory and assistance services	7	7	5
25.2 Other services from non-Federal sources	102	94	14
25.3 Other goods and services from Federal sources	3	3	3
25.4 Operation and maintenance of facilities	13	13	8
25.7 Operation and maintenance of equipment	2	2	2
31.0 Equipment	1	1	1
32.0 Land and structures	3	3	3
41.0 Grants, subsidies, and contributions	11	11	8
99.0 Direct obligations	150	142	50
99.0 Reimbursable obligations	1	1	1
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	152	144	52

Employment Summary

Identification code 014-2301-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	55	49	45
2001 Reimbursable civilian full-time equivalent employment	5	5	5
3001 Allocation account civilian full-time equivalent employment	149	149	149

HIGH-HAZARD INDIAN DAM SAFETY DEFERRED MAINTENANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5637-0-2-452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	23	23	23
2000 Total: Balances and receipts	23	23	23
5099 Balance, end of year	23	23	23

Program and Financing (in millions of dollars)

Identification code 014-5637-0-2-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23		
1134 Appropriations precluded from obligation	-23		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	46	68	75
5001 Total investments, EOY: Federal securities: Par value	68	75	85

LOW-HAZARD INDIAN DAM SAFETY DEFERRED MAINTENANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5638-0-2-452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	10	11	11
Receipts:			
Current law:			
1140 Earnings on Investments, Low-Hazard Indian Dam Safety Deferred Maintenance Fund	1		
2000 Total: Balances and receipts	11	11	11
5099 Balance, end of year	11	11	11

Program and Financing (in millions of dollars)

Identification code 014-5638-0-2-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10		
1134 Appropriations precluded from obligation	-10		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	20	30	40
5001 Total investments, EOY: Federal securities: Par value	30	40	50

INDIAN IRRIGATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5639-0-2-452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	35	35	35
Receipts:			
Current law:			
1140 Earnings on Investments, Indian Irrigation Fund	2	2	2
2000 Total: Balances and receipts	37	37	37
Appropriations:			
Current law:			
2101 Indian Irrigation Fund	-2	-2	
5099 Balance, end of year	35	35	37

Program and Financing (in millions of dollars)

Identification code 014-5639-0-2-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	35	35	35
1101 Appropriation (special or trust)	2	2	
1102 Appropriation (previously unavailable)	8	8	
1120 Appropriations transferred to other acct [014-2301]	-10	-10	
1134 Appropriations precluded from obligation	-35	-35	-35
4180 Budget authority, net (total)			

INDIAN IRRIGATION FUND—Continued
Program and Financing—Continued

Identification code 014-5639-0-2-452	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	71	98	98
5001 Total investments, EOY: Federal securities: Par value	98	98	98

WHITE EARTH SETTLEMENT FUND
Program and Financing (in millions of dollars)

Identification code 014-2204-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments for White Earth Settlement		2	2
0900 Total new obligations, unexpired accounts (object class 41.0)		2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		2	2
1930 Total budgetary resources available	1	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		2	2
3020 Outlays (gross)		-2	-2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		2	2
Outlays, gross:			
4100 Outlays from new mandatory authority		2	2
4180 Budget authority, net (total)		2	2
4190 Outlays, net (total)		2	2

The White Earth Reservation Land Settlement Act of 1985 (P.L. 99-264) authorizes the payment of funds to eligible allottees or heirs of the White Earth Reservation in Minnesota, as determined by the Secretary of the Interior. The payment of funds shall be treated as the final judgment, award, or compromise settlement under the provisions of Title 31, United States Code, section 1304.

INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS
PAYMENTS TO INDIANS

For payments and necessary administrative expenses for implementation of Indian land and water claim settlements pursuant to Public Laws 99-264, 100-580, 101-618, 111-11, 111-291, and 114-322, and for implementation of other land and water rights settlements, \$45,644,000 \$43,904,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-2303-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 White Earth	1	1	1
0025 Navajo Nation Water Resources Development Trust Fund	4	4	4
0027 Navajo Water Settlement	9	9	9
0028 Under the reporting threshold	1	1	1
0034 Aamodt	15	15	
0035 Yurok Land Settlement		8	8
0036 Aamodt Litigation Settlement - Mandatory		3	3
0037 Blackfeet Water Settlement	14		
0038 Pecharanga Water Settlement	9		

0900 Total new obligations, unexpired accounts	38	41	41
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	61	66
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	50	46	44
1900 Budget authority (total)	50	46	44
1930 Total budgetary resources available	99	107	110
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	66	69

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	12	18
3010 New obligations, unexpired accounts	38	41	41
3020 Outlays (gross)	-29	-35	-47
3050 Unpaid obligations, end of year	12	18	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	12	18
3200 Obligated balance, end of year	12	18	12

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	50	46	44
Outlays, gross:			
4010 Outlays from new discretionary authority	28	23	22
4011 Outlays from discretionary balances	1	12	25
4020 Outlays, gross (total)	29	35	47
4180 Budget authority, net (total)	50	46	44
4190 Outlays, net (total)	29	35	47

This account covers expenses associated with the following authorized activities.

Land settlements:

White Earth Reservation Land Settlement Act (P.L. 99-264).—Funds are used to investigate and verify questionable transfers of land by which individual Indian allottees, or their heirs, were divested of ownership and to achieve the payment of compensation to said allottees or heirs in accordance with the Act. A major portion of work is contracted under Public Law 93-638, as amended, to the White Earth Reservation Business Committee.

Yurok Land Acquisition (P.L. 100-580).—The Act provides for the Secretary to acquire from willing sellers lands or interests in land, including rights-of-way for access to trust lands, for the Yurok Tribe or its members and such lands may be declared to be part of the Yurok reservation. The terms and conditions of this settlement were met in 2017.

Hoopa Yurok Settlement Act (P.L. 100-580).—The Act provides for the settlement of claims regarding reservation lands between the Hoopa Valley Tribe and the Yurok Indians in northern California. Funds will be used for the settlement as authorized by law and for administrative expenses related to implementing the settlement. The terms and conditions of this settlement were met in 2017.

Water settlements:

Navajo-Gallup Water Supply Project (P.L. 111-11).—Funds will be used for the San Juan Conjunctive Use Wells and the San Juan Navajo Irrigation Project Rehabilitation as authorized by law.

Navajo Nation Water Resources Development Trust Fund (P.L. 111-11).—The Navajo Nation may use the amounts in the Trust Fund to investigate, construct, operate, maintain, or replace water project facilities, including facilities conveyed to the Nation and facilities owned by the United States for which the Nation is responsible for operation, maintenance, and replacement costs; and to investigate, implement, or improve a water conservation measure (including a metering or monitoring activity) necessary for the Nation to make use of a water right of the Nation under the Agreement.

Aamodt Litigation Settlement (P.L. 111-291).—Funds will be used for payments and projects required by the settlement as authorized by law. The terms and conditions of this settlement were met in 2017.

Pechanga Water Rights Settlement (P.L. 114–322).—Funds will be used for payments and projects required by the settlement as authorized by law.

Blackfoot Water Rights Settlement (P.L. 114–322).—Funds will be used for payments and projects required by the settlement as authorized by law.

Truckee-Carson-Pyramid Lake Water Settlement Act (P.L. 101–618).—The Act provides for the settlement of claims of the Pyramid Lake Paiute Tribe (NV). Funds will be used to provide payments to the Truckee-Carson Irrigation District for services provided to implement the settlement.

Object Classification (in millions of dollars)

Identification code 014–2303–0–1–452	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	10	10	10
41.0 Grants, subsidies, and contributions	28	31	31
99.9 Total new obligations, unexpired accounts	38	41	41

Employment Summary

Identification code 014–2303–0–1–452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2		

INDIAN LAND CONSOLIDATION

Program and Financing (in millions of dollars)

Identification code 014–2103–0–1–452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Indian Land Consolidation (Reimbursable)	16	4	4
0900 Total new obligations, unexpired accounts (object class 32.0)	16	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16		
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected		4	4
1930 Total budgetary resources available	16	4	4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	16	4	4
3020 Outlays (gross)	–16	–4	–4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		4	4
Outlays, gross:			
4010 Outlays from new discretionary authority		4	4
4011 Outlays from discretionary balances	16		
4020 Outlays, gross (total)	16	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		–4	–4
4040 Offsets against gross budget authority and outlays (total)		–4	–4
4180 Budget authority, net (total)			
4190 Outlays, net (total)	16		

This appropriation funds a program to consolidate fractional interests in Indian lands and assist land owners with estate planning. Funds are used to purchase small interests in parcels of lands from willing individual Indian landowners and convey those interests to the Tribe on whose reservation the land is located. Consolidation of these interests is expected to reduce the Government's costs for managing Indian lands and promote economic opportunity on these lands. This program is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106–462), the Amer-

ican Indian Probate Reform Act of 2004 (P.L. 108–374), and other authorities. No funding is requested in 2021 in this account. Funding to implement the Individual Indian Money Account Litigation Settlement (P.L. 111–291, Title I) to address fractionation of Indian lands is in a separate account.

INDIAN WATER RIGHTS AND HABITAT ACQUISITION PROGRAM

Program and Financing (in millions of dollars)

Identification code 014–5505–0–2–303	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Funds were requested in 2003 for the settlement of the water claims of the Shivwits Band of the Paiute Indian Tribe of Utah. Public Law 106–263 specifies the use of the Land and Water Conservation Fund for the implementation of the water rights and habitat acquisition program.

OPERATION AND MAINTENANCE OF QUARTERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–5051–0–2–452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Rents and Charges for Quarters, Bureau of Indian Affairs	6	6	6
2000 Total: Balances and receipts	6	6	6
Appropriations:			
Current law:			
2101 Operation and Maintenance of Quarters	–6	–6	–6
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014–5051–0–2–452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and maintenance	6	6	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	6	6	6
1930 Total budgetary resources available	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	6	6	6
3020 Outlays (gross)	–6	–6	–6
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	6	6	6
Outlays, gross:			
4100 Outlays from new mandatory authority	3	6	6
4101 Outlays from mandatory balances	3		
4110 Outlays, gross (total)	6	6	6
4180 Budget authority, net (total)	6	6	6

OPERATION AND MAINTENANCE OF QUARTERS—Continued
Program and Financing—Continued

Identification code 014-5051-0-2-452	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	6	6	6

Public Law 88-459 (Federal Employees Quarters and Facilities Act of 1964) is the basic authority under which the Secretary utilizes funds from the rental of quarters to defray the costs of operation and maintenance incidental to the employee quarters program. Public Law 98-473 established a special fund, to remain available until expended, for the operation and maintenance of quarters.

Object Classification (in millions of dollars)

Identification code 014-5051-0-2-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1	1	1
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	6	6	6
99.9 Total new obligations, unexpired accounts	6	6	6

Employment Summary

Identification code 014-5051-0-2-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	42	41	41

MISCELLANEOUS PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-9925-0-2-452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	4
Receipts:			
Current law:			
1130 Deposits, Operation and Maintenance, Indian Irrigation Systems	39	38	36
1130 Alaska Resupply Program		2	4
1130 Power Revenues, Indian Irrigation Projects	69	76	82
1140 Earnings on Investments, Operation and Maintenance, Indian Irrigation Systems	1	1	1
1140 Earnings on Investments, Indian Irrigation Projects	1	1	1
1199 Total current law receipts	110	118	124
1999 Total receipts	110	118	124
2000 Total: Balances and receipts	112	120	128
Appropriations:			
Current law:			
2101 Miscellaneous Permanent Appropriations	-110	-116	-116
2103 Miscellaneous Permanent Appropriations	-1	-1	-1
2132 Miscellaneous Permanent Appropriations	1	1	
2199 Total current law appropriations	-110	-116	-117
2999 Total appropriations	-110	-116	-117
5099 Balance, end of year	2	4	11

Program and Financing (in millions of dollars)

Identification code 014-9925-0-2-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Operation and maintenance, Indian irrigation systems	36	36	36
0003 Power systems, Indian irrigation projects	71	73	73
0004 Alaska resupply program	1	2	2

	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts	108	111	111
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	76	79	86
1021 Recoveries of prior year unpaid obligations	1	2	2
1050 Unobligated balance (total)	77	81	88
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	110	116	116
1203 Appropriation (previously unavailable)(special or trust)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1260 Appropriations, mandatory (total)	110	116	117
1930 Total budgetary resources available	187	197	205
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	79	86	94

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	22	16
3010 New obligations, unexpired accounts	108	111	111
3020 Outlays (gross)	-109	-115	-117
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-2	-2
3050 Unpaid obligations, end of year	22	16	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	22	16
3200 Obligated balance, end of year	22	16	8

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	110	116	117
Outlays, gross:			
4100 Outlays from new mandatory authority	53	60	60
4101 Outlays from mandatory balances	56	55	57
4110 Outlays, gross (total)	109	115	117
4180 Budget authority, net (total)	110	116	117
4190 Outlays, net (total)	109	115	117

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	75	77	81
5001 Total investments, EOY: Federal securities: Par value	77	81	83

Claims and treaty obligations.—Payments are made to fulfill treaty obligations with the Senecas of New York (Act of February 19, 1831), the Six Nations of New York (Act of November 11, 1794), and the Pawnees of Oklahoma (the treaty of September 24, 1857).

Operation and maintenance, Indian irrigation systems.—Revenues derived from charges for operation and maintenance of Indian irrigation projects are used to defray in part the cost of operating and maintaining these projects (25 U.S.C. 162a (The Act of November 4, 1983), 60 Stat. 895, P.L. 98-146).

Power systems, Indian irrigation projects.—Revenues collected from the sale of electric power by the Colorado River and Flathead power systems are used to operate and maintain those systems (25 U.S.C. 162a (The Act of November 4, 1983), 60 Stat. 895; 65 Stat. 254, P.L. 98-146). This activity also includes Cochiti Wet Field Solution funds that were transferred from the Corps of Engineers to pay for operation and maintenance, repair, and replacement of the on-going drainage system (P.L. 102-358).

Alaska resupply program.—Revenues collected from operation of the Alaska Resupply Program are used to operate and maintain this program (P.L. 77-457, 56 Stat. 95).

Object Classification (in millions of dollars)

Identification code 014-9925-0-2-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	16	17	17
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	20	21	21
12.1 Civilian personnel benefits	6	6	6
23.3 Communications, utilities, and miscellaneous charges	20	21	21
25.1 Advisory and assistance services	11	11	11

25.2	Other services from non-Federal sources	26	27	27
25.3	Other goods and services from Federal sources	7	7	7
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	3	3	3
26.0	Supplies and materials	6	6	6
31.0	Equipment	2	2	2
41.0	Grants, subsidies, and contributions	6	6	6
99.9	Total new obligations, unexpired accounts	108	111	111

Employment Summary

Identification code 014-9925-0-2-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	275	281	281

INDIAN DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-4416-0-3-452	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

Credit program obligations:			
0715	Other (Rounding)	1	1
0900	Total new obligations, unexpired accounts	1	1

Budgetary resources:

Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	1	1
1825	Spending authority from offsetting collections applied to repay debt	-1	-1
1850	Spending auth from offsetting collections, mand (total)	1	1
1900	Budget authority (total)	1	1
1930	Total budgetary resources available	1	1

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1	-1

Financing authority and disbursements, net:

Mandatory:			
4090	Budget authority, gross	1	1
Financing disbursements:			
4110	Outlays, gross (total)	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	-1
4123	Collections of loans	-1	-1
4130	Offsets against gross budget authority and outlays (total)	-1	-1
4160	Budget authority, net (mandatory)	-1	-1
4170	Outlays, net (mandatory)	-1	-1
4180	Budget authority, net (total)	-1	-1
4190	Outlays, net (total)	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 014-4416-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	2	2
1290	Outstanding, end of year	2	2

Balance Sheet (in millions of dollars)

Identification code 014-4416-0-3-452	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1106	Receivables, net	1
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	2
1405	Allowance for subsidy cost (-)	2
1499	Net present value of assets related to direct loans	4

1999	Total assets	5	5
LIABILITIES:			
Federal liabilities:			
2103	Debt	5	5
2104	Resources payable to Treasury		
2999	Total liabilities	5	5
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	5	5

REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT

Status of Direct Loans (in millions of dollars)

Identification code 014-4409-0-3-452	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	1	1
1290	Outstanding, end of year	1	1

Balance Sheet (in millions of dollars)

Identification code 014-4409-0-3-452	2018 actual	2019 actual
ASSETS:		
1601	Direct loans, gross	1
1602	Interest receivable	2
1603	Allowance for estimated uncollectible loans and interest (-)	-2
1699	Value of assets related to direct loans	1
1999	Total assets	1
LIABILITIES:		
2104	Federal liabilities: Resources payable to Treasury	1
NET POSITION:		
3300	Cumulative results of operations	
4999	Total liabilities and net position	1

INDIAN GUARANTEED LOAN PROGRAM ACCOUNT

For [the cost of] *necessary expenses of administering* guaranteed loans and insured loans [\$11,779,000, of which \$1,590,000 is for administrative expenses, as authorized by] *issued under* the Indian Financing Act of 1974, [: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed or insured, not to exceed \$183,476,740] *\$931,000. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 014-2628-0-1-452	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

Credit program obligations:			
0702	Loan guarantee subsidy	6	10
0705	Reestimates of direct loan subsidy		1
0707	Reestimates of loan guarantee subsidy	16	31
0708	Interest on reestimates of loan guarantee subsidy	1	3
0709	Administrative expenses	4	2
0900	Total new obligations, unexpired accounts	27	47

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	11	11
Appropriations, mandatory:			
1200	Appropriation	18	35
1900	Budget authority (total)	29	46
1930	Total budgetary resources available	29	48
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	1

INDIAN GUARANTEED LOAN PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 014-2628-0-1-452	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	9	10
3010 New obligations, unexpired accounts	27	47	1
3020 Outlays (gross)	-27	-46	-1
3050 Unpaid obligations, end of year	9	10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	9	10
3200 Obligated balance, end of year	9	10	10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	11	11	1
Outlays, gross:			
4010 Outlays from new discretionary authority	4	2
4011 Outlays from discretionary balances	5	9	1
4020 Outlays, gross (total)	9	11	1
Mandatory:			
4090 Budget authority, gross	18	35
Outlays, gross:			
4100 Outlays from new mandatory authority	18	35
4180 Budget authority, net (total)	29	46	1
4190 Outlays, net (total)	27	46	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 014-2628-0-1-452	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135001 Indian Direct Loans	1
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian Guaranteed Loans	105	181
215002 Indian Insured Loans	1	2
215999 Total loan guarantee levels	106	183
Guaranteed loan subsidy (in percent):			
232001 Indian Guaranteed Loans	5.33	5.55
232002 Indian Insured Loans	5.92	6.21
232999 Weighted average subsidy rate	5.34	5.56
Guaranteed loan subsidy budget authority:			
233001 Indian Guaranteed Loans	6	10
233999 Total subsidy budget authority	6	10
Guaranteed loan subsidy outlays:			
234001 Indian Guaranteed Loans	4
234002 Indian Insured Loans	3
234999 Total subsidy outlays	7
Guaranteed loan reestimates:			
235001 Indian Guaranteed Loans	12	31
235999 Total guaranteed loan reestimates	12	31
Administrative expense data:			
3510 Budget authority	1	1	1
3590 Outlays from new authority	1	1	1

As required by the Federal Credit Reform Act of 1990, this account supports the subsidy costs associated with guaranteed and insured loans committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program including improvements to information technology systems. The 2021 Budget requests funding for the Indian Guaranteed Loan Program's administrative expenses.

Object Classification (in millions of dollars)

Identification code 014-2628-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	3
41.0 Grants, subsidies, and contributions	26	44	1

99.9 Total new obligations, unexpired accounts	27	47	1
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INDIAN GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-4415-0-3-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Interest supplement payments	1	2	2
Credit program obligations:			
0711 Default claim payments on principal	2	2
0712 Default claim payments on interest	1	1
0742 Downward reestimates paid to receipt accounts	3	1
0743 Interest on downward reestimates	2	2
0791 Direct program activities, subtotal	5	6	3
0900 Total new obligations, unexpired accounts	6	8	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	58	87
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	31	37	3
1801 Change in uncollected payments, Federal sources	1
1825 Spending authority from offsetting collections applied to repay debt	-13
1850 Spending auth from offsetting collections, mand (total)	19	37	3
1900 Budget authority (total)	19	37	3
1930 Total budgetary resources available	64	95	90
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	58	87	85

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3
3010 New obligations, unexpired accounts	6	8	5
3020 Outlays (gross)	-6	-5	-5
3050 Unpaid obligations, end of year	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8	-9	-9
3070 Change in uncollected pymts, Fed sources, unexpired	-1
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-8	-9	-6
3200 Obligated balance, end of year	-9	-6	-6

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	19	37	3
Financing disbursements:			
4110 Outlays, gross (total)	6	5	5
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account	-24	-34
4122 Interest on uninvested funds	-1	-2	-2
4123 Non-Federal sources	-6	-1	-1
4130 Offsets against gross budget authority and outlays (total)	-31	-37	-3
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-1
4160 Budget authority, net (mandatory)	-13
4170 Outlays, net (mandatory)	-25	-32	2
4180 Budget authority, net (total)	-13
4190 Outlays, net (total)	-25	-32	2

Status of Guaranteed Loans (in millions of dollars)

Identification code 014-4415-0-3-452	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	106	183
2150 Total guaranteed loan commitments	106	183
2199 Guaranteed amount of guaranteed loan commitments	85	80
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	453	469	478

2231	Disbursements of new guaranteed loans	100	93	93
2251	Repayments and prepayments	-82	-82	-82
2261	Adjustments: Terminations for default that result in loans receivable	-2	-2	-2
2290	Outstanding, end of year	469	478	487
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	469	478	478
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	35	36	37
2331	Disbursements for guaranteed loan claims	2	2	2
2351	Repayments of loans receivable	-1	-1	-1
2361	Write-offs of loans receivable			
2390	Outstanding, end of year	36	37	38

Balance Sheet (in millions of dollars)

Identification code 014-4415-0-3-452	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	36	36
Investments in U.S. securities:			
1106	Receivables, net	17	17
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	35	36
1502	Interest receivable	3	3
1505	Allowance for subsidy cost (-)	-34	-35
1599	Net present value of assets related to defaulted guaranteed loans	4	4
1901	Other Federal assets: Upward Subsidy Reestimate Receivable		
1999	Total assets	57	57
LIABILITIES:			
Federal liabilities:			
2103	Debt	15	15
2105	Other-Downward Reestimate	11	11
2204	Non-Federal liabilities: Liabilities for loan guarantees	31	31
2999	Total liabilities	57	57
NET POSITION:			
3300	Cumulative results of operations		
4999	Total liabilities and net position	57	57

INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 014-4410-0-3-452	2019 actual	2020 est.	2021 est.	
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	1	1	1
2351	Repayments of loans receivable			
2390	Outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 014-4410-0-3-452	2018 actual	2019 actual	
ASSETS:			
1701	Defaulted guaranteed loans, gross	1	1
1702	Interest receivable	1	
1703	Allowance for estimated uncollectible loans and interest (-)	-1	
1799	Value of assets related to loan guarantees	1	1
1999	Total assets	1	1

Trust Funds

GIFTS AND DONATIONS, BUREAU OF INDIAN AFFAIRS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8361-0-7-501	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year		1	2
Receipts:				
Current law:				
1130	Gifts and Donations, Bureau of Indian Affairs	1	1	1
2000	Total: Balances and receipts	1	2	3
5099	Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 014-8361-0-7-501	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Gifts and Donations, Bureau of Indian Affairs (Direct)	2	1	1
0900	Total new obligations, unexpired accounts (object class 41.0)	2	1	1
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4	2	1
1930	Total budgetary resources available	4	2	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	1	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		2	3
3010	New obligations, unexpired accounts	2	1	1
3050	Unpaid obligations, end of year	2	3	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		2	3
3200	Obligated balance, end of year	2	3	4
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

Donations and contributed funds.—The Secretary of the Interior may accept donations of funds or other property, and may use the donated property in accordance with the terms of the donation in furtherance of any program authorized by other provision of law for the benefit of Indians (25 U.S.C. 5341).

Employment Summary

Identification code 014-8361-0-7-501	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	6		

BUREAU OF INDIAN EDUCATION

Federal Funds

OPERATION OF INDIAN EDUCATION PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For expenses necessary for the operation of Indian education programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 5301 et seq.), the Education Amendments of 1978 (25 U.S.C. 2001-2019), and the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.), **[\$943,077,000]** \$875,659,000, to remain available until September 30, **[2021]** 2022, except as otherwise provided herein: *Provided*, That Federally recognized Indian tribes and tribal organizations of Federally recognized Indian tribes may use their tribal priority allocations for unmet welfare assistance costs: *Provided further*, That not to exceed **[\$702,837,000]** \$683,152,000 for school operations costs of Bureau-funded schools and other education programs shall become available on July 1, **[2020]** 2021, and shall remain available until September 30, **[2021]** 2022: *Provided further*, That notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975 (25 U.S.C. 5301 et seq.) and section 1128 of the Education Amendments of 1978 (25 U.S.C. 2008), not to exceed **[\$83,407,000]** \$83,567,000 within and only from such amounts made available for school operations

OPERATION OF INDIAN EDUCATION PROGRAMS—Continued

shall be available for administrative cost grants associated with grants approved prior to July 1, [2020] 2021: *Provided further*, That in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other identifying articles of clothing for personnel. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–2106–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Education		650	650
0100 Direct program activities, subtotal		650	650
0807 Reimbursable program activity		12	12
0809 Reimbursable program activities, subtotal		12	12
0900 Total new obligations, unexpired accounts		662	662
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			281
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		943	876
1930 Total budgetary resources available		943	1,157
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		281	495
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			285
3010 New obligations, unexpired accounts		662	662
3020 Outlays (gross)		-377	-633
3050 Unpaid obligations, end of year		285	314
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			285
3200 Obligated balance, end of year		285	314
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		943	876
Outlays, gross:			
4010 Outlays from new discretionary authority		377	350
4011 Outlays from discretionary balances			283
4020 Outlays, gross (total)		377	633
4180 Budget authority, net (total)		943	876
4190 Outlays, net (total)		377	633

The Operation of Indian Education Programs appropriation consists of a wide range of education-related services and benefits provided to federally recognized Indian Tribes, individual American Indians and Alaska Natives, and Bureau of Indian Education-funded schools. This includes 169 elementary and secondary schools either operated by the Bureau of Indian Education or Tribes, 14 dormitories, two post-secondary schools, and eligible tribal colleges and universities.

This account covers expenses associated with the following activities: elementary, secondary, and post-secondary school operations; other education programs for Native children; scholarships; adult education programs; education program management; and facilities operation and maintenance.

Object Classification (in millions of dollars)

Identification code 014–2106–0–1–501	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		21	21
11.3 Other than full-time permanent		78	78
11.5 Other personnel compensation		5	5
11.9 Total personnel compensation		104	104
12.1 Civilian personnel benefits		32	32
21.0 Travel and transportation of persons		3	3
23.3 Communications, utilities, and miscellaneous charges		14	14
25.2 Other services from non-Federal sources		76	76
25.3 Other goods and services from Federal sources		1	1
25.4 Operation and maintenance of facilities		3	3
25.5 Research and development contracts		1	1

25.7	Operation and maintenance of equipment	3	3
26.0	Supplies and materials	13	13
31.0	Equipment	9	9
41.0	Grants, subsidies, and contributions	391	391
99.0	Direct obligations	650	650
99.0	Reimbursable obligations	12	12
99.9	Total new obligations, unexpired accounts	662	662

Employment Summary

Identification code 014–2106–0–1–501	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	2,421	2,411
2001	Reimbursable civilian full-time equivalent employment	31	477

EDUCATION CONSTRUCTION

For construction, repair, improvement, and maintenance of buildings, utilities, and other facilities necessary for the operation of Indian education programs, including architectural and engineering services by contract; acquisition of lands, and interests in lands; [\$248,257,000] \$68,885,000 to remain available until expended: *Provided*, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, not later than 18 months after the date of the enactment of this Act, any Public Law 100–297 (25 U.S.C. 2501, et seq.) grantee receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–2105–0–1–452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Education Obligations	130	89
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		118
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	248	69
1930	Total budgetary resources available	248	187
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	118	98
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		6
3010	New obligations, unexpired accounts	130	89
3020	Outlays (gross)	-124	-84
3050	Unpaid obligations, end of year	6	11
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		6
3200	Obligated balance, end of year	6	11
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	248	69
Outlays, gross:			
4010	Outlays from new discretionary authority	124	34
4011	Outlays from discretionary balances		50
4020	Outlays, gross (total)	124	84
4180	Budget authority, net (total)	248	69
4190	Outlays, net (total)	124	84

This activity provides for the planning, design, construction, and rehabilitation of Bureau of Indian Education-funded school facilities.

Object Classification (in millions of dollars)

Identification code 014–2105–0–1–452	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	Advisory and assistance services	6	4
25.2	Other services from non-Federal sources	71	50
25.3	Other goods and services from Federal sources	1	

25.4	Operation and maintenance of facilities	25	17
25.7	Operation and maintenance of equipment	2	1
32.0	Land and structures	2	1
41.0	Grants, subsidies, and contributions	23	16
99.9	Total new obligations, unexpired accounts	130	89

Employment Summary

Identification code 014-2105-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		6	6

ADMINISTRATIVE PROVISIONS
(INCLUDING TRANSFERS OF FUNDS)

The Bureau of Indian Affairs and the Bureau of Indian Education may carry out the operation of Indian programs by direct expenditure, contracts, cooperative agreements, compacts, and grants, either directly or in cooperation with States and other organizations.

Notwithstanding Public Law 87-279 (25 U.S.C. 15), the Bureau of Indian Affairs may contract for services in support of the management, operation, and maintenance of the Power Division of the San Carlos Irrigation Project.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Affairs or the Bureau of Indian Education for central office oversight and Executive Direction and Administrative Services (except executive direction and administrative services funding for Tribal Priority Allocations, regional offices, and facilities operations and maintenance) shall be available for contracts, grants, compacts, or cooperative agreements with the Bureau of Indian Affairs or the Bureau of Indian Education under the provisions of the Indian Self-Determination Act or the Tribal Self-Governance Act of 1994 (Public Law 103-413).

In the event any tribe returns appropriations made available by this Act to the Bureau of Indian Affairs or the Bureau of Indian Education, this action shall not diminish the Federal Government's trust responsibility to that tribe, or the government-to-government relationship between the United States and that tribe, or that tribe's ability to access future appropriations.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Education, other than the amounts provided herein for assistance to public schools under 25 U.S.C. 452 et seq., shall be available to support the operation of any elementary or secondary school in the State of Alaska.

No funds available to the Bureau of Indian Education shall be used to support expanded grades for any school or dormitory beyond the grade structure in place or approved by the Secretary of the Interior at each school in the Bureau of Indian Education school system as of October 1, 1995, except that the Secretary of the Interior may waive this prohibition to support expansion of up to one additional grade when the Secretary determines such waiver is needed to support accomplishment of the mission of the Bureau of Indian Education, or more than one grade to expand the elementary grade structure for Bureau-funded schools with a K-2 grade structure on October 1, 1996. Appropriations made available in this or any prior Act for schools funded by the Bureau shall be available, in accordance with the Bureau's funding formula, only to the schools in the Bureau school system as of September 1, 1996, and to any school or school program that was reinstated in fiscal year 2012. Funds made available under this Act may not be used to establish a charter school at a Bureau-funded school (as that term is defined in section 1141 of the Education Amendments of 1978 (25 U.S.C. 2021)), except that a charter school that is in existence on the date of the enactment of this Act and that has operated at a Bureau-funded school before September 1, 1999, may continue to operate during that period, but only if the charter school pays to the Bureau a pro rata share of funds to reimburse the Bureau for the use of the real and personal property (including buses and vans), the funds of the charter school are kept separate and apart from Bureau funds, and the Bureau does not assume any obligation for charter school programs of the State in which the school is located if the charter school loses such funding. Employees of Bureau-funded schools sharing a campus with a charter school and performing functions related to the charter school's operation and employees of a charter school shall not be treated as Federal employees for purposes of chapter 171 of title 28, United States Code.

Notwithstanding any other provision of law, including section 113 of title I of appendix C of Public Law 106-113, if in fiscal year 2003 or 2004 a grantee received indirect and administrative costs pursuant to a distribution formula based on section 5(f) of Public Law 101-301, the Secretary shall continue to distribute indirect and administrative cost funds to such grantee using the section 5(f) distribution formula.

Funds available under this Act may not be used to establish satellite locations of schools in the Bureau school system as of September 1, 1996, except that the Secretary may waive this prohibition in order for an Indian tribe to provide language and cultural immersion educational programs for non-public schools located within the jurisdictional area of the tribal government which exclusively serve tribal members, do not include grades beyond those currently served at the existing Bureau-funded school, provide an educational environment with educator presence and academic facilities comparable to the Bureau-funded school, comply with all applicable Tribal, Federal, or State health and safety standards, and the Americans with Disabilities Act, and demonstrate the benefits of establishing operations at a satellite location in lieu of incurring extraordinary costs, such as for transportation or other impacts to students such as those caused by busing students extended distances: *Provided*, That no funds available under this Act may be used to fund operations, maintenance, rehabilitation, construction or other facilities-related costs for such assets that are not owned by the Bureau: *Provided further*, That the term "satellite school" means a school location physically separated from the existing Bureau school by more than 50 miles but that forms part of the existing school in all other respects.

【Funds made available for Tribal Priority Allocations within Operation of Indian Programs and Operation of Indian Education Programs may be used to execute requested adjustments in tribal priority allocations initiated by an Indian Tribe.】

Funds made available within Operation of Indian Programs, Operation of Indian Education Programs, Construction, and Education Construction may be transferred between these accounts to implement an orderly transition to separate accounts for the Bureau of Indian Affairs and Bureau of Indian Education, and execute requested adjustments in tribal priority allocations: Provided, That the Secretary shall submit to the Committees on Appropriations of both Houses of Congress quarterly reports detailing such transferred amounts.

(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

BUREAU OF TRUST FUNDS ADMINISTRATION

Federal Funds

FEDERAL TRUST PROGRAMS

(INCLUDING TRANSFER OF FUNDS)

For the operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, \$108,399,000, to remain available until expended, of which not to exceed \$17,940,000 from this or any other Act, may be available for settlement support: Provided, That funds for trust management improvements and litigation support may, as needed, be transferred to or merged with the Bureau of Indian Affairs, "Operation of Indian Programs" and Bureau of Indian Education, "Operation of Indian Education Programs" accounts; the Office of the Solicitor, "Salaries and Expenses" account; and the Office of the Secretary, "Departmental Operations" account: Provided further, That funds made available through contracts or grants obligated during fiscal year 2021, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 5301 et seq.), shall remain available until expended by the contractor or grantee: Provided further, That notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 15 months and has a balance of \$15 or less: Provided further, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: Provided further, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: Provided further, That erroneous payments that are recovered shall be credited to and remain available in this account for this purpose: Provided further, That the Secretary shall not be required to reconcile Special Deposit Accounts with a balance of less than \$500 unless the Office of the Special Trustee for American Indians or Bureau of Trust Funds Administration receives proof of ownership from a Special Deposit Accounts claimant: Provided further, That notwithstanding section 102 of the American Indian Trust Fund Management Reform Act of 1994 (Public Law 103-412) or any other provision of law, the Secretary may aggregate the trust accounts of individuals whose whereabouts are unknown for a continuous period of at least five years and shall not be required to generate periodic statements of performance for the individual accounts: Provided further, That with respect to the eighth proviso, the Secretary shall continue to maintain sufficient records to determine the balance of the individual accounts, including any accrued interest and income, and such funds shall remain available to the individual account holders: Provided further, That the

FEDERAL TRUST PROGRAMS—Continued

Secretary of the Interior, in order to implement an orderly transition of functions from the Office of Special Trustee for American Indians to the Bureau of Trust Funds Administration, may transfer funds among and between appropriations available to the Office of Special Trustee for American Indians and the Bureau of Trust Funds Administration: Provided further, That in order to create an orderly transition, the Director of the Bureau of Trust Funds Administration may carry out the duties of the Special Trustee for American Indians during the transition period where the authorities may be extended to both positions or where there may be overlapping authority.

Program and Financing (in millions of dollars)

Identification code 014-0128-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program Operations, Support, and Improvements			106
0002 Executive Direction			2
0799 Total direct obligations			108
0900 Total new obligations, unexpired accounts			108
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [014-0120]			23
1021 Recoveries of prior year unpaid obligations			2
1050 Unobligated balance (total)			25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			108
Spending authority from offsetting collections, discretionary:			
1700 Collected			8
1701 Change in uncollected payments, Federal sources			1
1750 Spending auth from offsetting collections, disc (total)			9
1900 Budget authority (total)			117
1930 Total budgetary resources available			142
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			34
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			108
3020 Outlays (gross)			-106
3040 Recoveries of prior year unpaid obligations, unexpired			-2
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			-1
3090 Uncollected pymts, Fed sources, end of year			-1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			117
Outlays, gross:			
4010 Outlays from new discretionary authority			106
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired			-1
4070 Budget authority, net (discretionary)			108
4080 Outlays, net (discretionary)			98
4180 Budget authority, net (total)			108
4190 Outlays, net (total)			98

To enhance and consolidate services to Indian Tribes, individual American Indians, and Alaska Natives, the 2021 Budget proposes to establish the Bureau of Trust Funds Administration (BTFA) within the Office of the Assistant Secretary—Indian Affairs, and move the functions of the Office of the Special Trustee for American Indians into BTFA.

Executive Direction.—This activity supports BTFA staff and the Bureau's responsibilities and authorities for Indian trust fund management.

Trust Operations and Program Operations.—This activity supports the management and investment of approximately \$5 billion held in trust for Indian Tribes and individual Indians. Responsibilities include accurate and timely posting of collections, investment and disbursement of funds; and

providing timely financial information to Indian Tribes and individual Indian money account holders.

Object Classification (in millions of dollars)

Identification code 014-0128-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			38
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			40
12.1 Civilian personnel benefits			13
13.0 Benefits for former personnel			1
21.0 Travel and transportation of persons			1
23.1 Rental payments to GSA			4
23.3 Communications, utilities, and miscellaneous charges			1
25.1 Advisory and assistance services			1
25.2 Other services from non-Federal sources			38
25.3 Other goods and services from Federal sources			5
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials			1
31.0 Equipment			1
99.0 Direct obligations			107
99.0 Reimbursable obligations			1
99.9 Total new obligations, unexpired accounts			108

Employment Summary

Identification code 014-0128-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			404

TRIBAL SPECIAL FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5265-0-2-452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Interest on Investments in GSEs, Tribal Special Fund	13	23	21
1130 Return of Principal from Private Sector Investments, Tribal Special Fund		82	84
1130 Miscellaneous Sales of Assets, Tribal Special Fund	9		
1140 Earnings on Investment, Tribal Special Fund	2	2	2
1199 Total current law receipts	104	107	107
1999 Total receipts	104	107	107
2000 Total: Balances and receipts	104	107	107
Appropriations:			
Current law:			
2101 Tribal Special Fund	-104	-107	-107
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-5265-0-2-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Tribal Special Fund (Direct)	101	129	129
0900 Total new obligations, unexpired accounts (object class 41.0)	101	129	129
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	65	68	46
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	104	107	107
1930 Total budgetary resources available	169	175	153
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	68	46	24

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		8
3010	New obligations, unexpired accounts	101	129
3020	Outlays (gross)	-101	-121
3050	Unpaid obligations, end of year		8
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		8
3200	Obligated balance, end of year		8
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	104	107
Outlays, gross:			
4100	Outlays from new mandatory authority		107
4101	Outlays from mandatory balances	101	14
4110	Outlays, gross (total)	101	121
4180	Budget authority, net (total)	104	107
4190	Outlays, net (total)	101	121
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	65	67
5001	Total investments, EOY: Federal securities: Par value	67	68
5010	Total investments, SOY: non-Fed securities: Market value	441	446
5011	Total investments, EOY: non-Fed securities: Market value	446	449

The Tribal Special Fund includes the following accounts: Tribal Economic Recovery Fund which consists of the Three Affiliated Fort Berthold Trust Fund and the Standing Rock Trust Fund, Papago Cooperative Fund, Ute Tribe Trust Fund, Pyramid Lake Indian Reservation Trust Fund, San Luis Rey Water Authority Trust Fund, and Cochiti Wetfields. More detailed information on specific accounts is provided in the budget justification for the Bureau of Trust Funds Administration.

Tribal trust funds are deposited into a consolidated account in the U.S. Department of the Treasury pursuant to: 1) general or specific acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to respective tribal groups for various purposes, under various acts of the Congress, and may be subject to the provisions of tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

TRUST LAND CONSOLIDATION FUND

Program and Financing (in millions of dollars)

Identification code 014-5670-0-2-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Land Purchases	205	120
0003	Administration	8	20
0900	Total new obligations, unexpired accounts	213	140
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	293	210
1021	Recoveries of prior year unpaid obligations	130	96
1050	Unobligated balance (total)	423	306
1930	Total budgetary resources available	423	306
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	210	166
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	162	56
3010	New obligations, unexpired accounts	213	140
3020	Outlays (gross)	-189	-100
3040	Recoveries of prior year unpaid obligations, unexpired	-130	-96
3050	Unpaid obligations, end of year	56	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	162	56
3200	Obligated balance, end of year	56	

Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	189	100
4180	Budget authority, net (total)		
4190	Outlays, net (total)	189	100

The Individual Indian Money Account Litigation Settlement (P.L. 111-291) established a new trust land consolidation Fund for the buy-back and consolidation of fractionated interests in parcels of land from individual Indian landowners. The Fund also covers administrative costs to undertake the process of acquiring fractionated interests and associated trust reform activities not to exceed 15 percent of the Fund. The acquisition of fractionated interests is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106-462), and the American Indian Probate Reform Act of 2004 (P.L. 108-374). The Settlement provides additional authority for the acquisition of interests held by persons who cannot be located after engaging in extensive efforts to notify them and locate them for a five-year period. The Settlement was finalized on November 24, 2012 and in accordance with the terms of the legislation, these funds remain available for ten years from the date of the Settlement. The 2021 Budget proposes to shift this account to the Bureau of Trust Funds Administration.

Object Classification (in millions of dollars)

Identification code 014-5670-0-2-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2
12.1	Civilian personnel benefits	1	1
25.2	Other services from non-Federal sources	4	2
25.3	Other goods and services from Federal sources	205	134
41.0	Grants, subsidies, and contributions	1	1
99.9	Total new obligations, unexpired accounts	213	140

Employment Summary

Identification code 014-5670-0-2-452	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	21	18

INDIAN EDUCATION SCHOLARSHIP HOLDING FUND

The Individual Indian Money Account Litigation Settlement (P.L. 111-291) established this Fund to provide Indian land owners with an additional incentive to sell their fractionated interests, given that the market value associated with highly fractionated interests would be quite low in many cases. Program contributions reached the maximum of \$60 million in 2017 and were transferred from the Trust Land Consolidation Fund to this Fund for higher education scholarships for American Indians and Alaska Natives to be administered as described in the Settlement agreement. The 2021 Budget proposes to shift this account to the Bureau of Trust Funds Administration.

Trust Funds

TRIBAL TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-8030-0-7-452	2019 actual	2020 est.	2021 est.
0100	Balance, start of year		
Receipts:			
Current law:			
1130	Interest on Investments in GSEs, Tribal Trust Fund	5	10
1130	Return of Principal from Private Sector Investments, Tribal Trust Fund	23	29
1130	Miscellaneous Sales of Assets, Tribal Trust Fund	14	
1140	Earnings on Investments, Tribal Trust Fund	1	1
1199	Total current law receipts	43	40

TRIBAL TRUST FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 014-8030-0-7-452	2019 actual	2020 est.	2021 est.
1999 Total receipts	43	40	40
2000 Total: Balances and receipts	43	40	40
Appropriations:			
Current law:			
2101 Tribal Trust Fund	-43	-40	-40
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 014-8030-0-7-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Tribal Trust Fund (Direct)	51	41	41
0900 Total new obligations, unexpired accounts (object class 41.0)	51	41	41
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	36	35
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	43	40	40
1930 Total budgetary resources available	87	76	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	36	35	34

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	51	41	41
3020 Outlays (gross)	-51	-41	-41

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	43	40	40
Outlays, gross:			
4100 Outlays from new mandatory authority		37	37
4101 Outlays from mandatory balances	51	4	4
4110 Outlays, gross (total)	51	41	41
4180 Budget authority, net (total)	43	40	40
4190 Outlays, net (total)	51	41	41

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	44	36	37
5001 Total investments, EOY: Federal securities: Par value	36	37	38
5010 Total investments, SOY: non-Fed securities: Market value	147	171	171
5011 Total investments, EOY: non-Fed securities: Market value	171	171	171

The Tribal Trust Fund includes the following accounts: Funds Contributed for Advancement of the Indian Race, Bequest of George C. Edgeter Fund, Ella M. Franklin Fund, Josephine Lambert Fund, Orrie Shaw Fund, Welmas Endowment Fund, Arizona Intertribal Trust Fund, Navajo Trust Fund, Chippewa Cree Tribal Trust Fund, Shivwits Band of Paiute Indians Trust Fund, Northern Cheyenne Trust Fund, Crow Creek Sioux Tribe Infrastructure Development Trust Fund, and Lower Brule Infrastructure Fund. More detailed information on specific accounts is provided in the budget justification for the Bureau of Trust Funds Administration.

Tribal trust funds are deposited into a consolidated account in the U.S. Department of the Treasury pursuant to: 1) general or specific Acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to respective tribal groups for various purposes, under various acts of the Congress, and may be subject to the provisions of tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

DEPARTMENTAL OFFICES

Federal Funds

DEPARTMENTAL OFFICES

OFFICE OF THE SECRETARY

DEPARTMENTAL OPERATIONS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for management of the Department of the Interior and for grants and cooperative agreements, as authorized by law, **[\$131,832,000]** \$127,938,000, to remain available until September 30, **[2021]** 2022; of which no less than \$1,000,000 shall be for the hiring of additional personnel to assist the Department with its compliance responsibilities under 5 U.S.C. 552; of which not to exceed \$15,000 may be for official reception and representation expenses; and of which up to \$1,000,000 shall be available for workers compensation payments and unemployment compensation payments associated with the orderly closure of the United States Bureau of Mines; and of which **[\$10,000,000]** \$8,190,000 for the Appraisal and Valuation Services Office is to be derived from the Land and Water Conservation Fund and shall remain available until expended; and of which **[\$11,061,000]** \$11,204,000 for Indian land, mineral, and resource valuation activities shall remain available until expended: *Provided*, That funds for Indian land, mineral, and resource valuation activities may, as needed, be transferred to and merged with the Bureau of Indian Affairs "Operation of Indian Programs" and Bureau of Indian Education "Operation of Indian Education Programs" accounts, and the **[Office of the Special Trustee for American Indians]** *Bureau of Trust Funds Administration* "Federal Trust Programs" account: *Provided further*, That funds made available through contracts or grants obligated during fiscal year **[2020]** 2021, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 5301 et seq.), shall remain available until expended by the contractor or grantee. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-0102-0-1-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 Leadership and Administration	105	105	108
0013 Management Services	27	29	28
0014 Office of Natural Resources Revenue	6	1	
0015 Disaster Relief Appropriations Act, 2013	6	5	4
0100 Direct program subtotal	144	140	140
0799 Total direct obligations	144	140	140
0804 Leadership and Administration	53	53	53
0805 Management Services	8	8	8
0899 Total reimbursable obligations	61	61	61
0900 Total new obligations, unexpired accounts	205	201	201

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	49	56
1010 Unobligated balance transfer to other accts [014-1611]	-1		
1011 Unobligated balance transfer from other acct [072-1021]	1	1	1
1021 Recoveries of prior year unpaid obligations	6	6	6
1050 Unobligated balance (total)	60	56	63
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	116	122	120
1101 Appropriation (special or trust)	9	10	8
1160 Appropriation, discretionary (total)	125	132	128
Spending authority from offsetting collections, discretionary:			
1700 Collected	53	53	53
1701 Change in uncollected payments, Federal sources	16	16	16
1750 Spending auth from offsetting collections, disc (total)	69	69	69
1900 Budget authority (total)	194	201	197
1930 Total budgetary resources available	254	257	260
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	49	56	59

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	135	91	59
3010 New obligations, unexpired accounts	205	201	201
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-246	-227	-224

3040	Recoveries of prior year unpaid obligations, unexpired	-6	-6	-6
3041	Recoveries of prior year unpaid obligations, expired	-2		
3050	Unpaid obligations, end of year	91	59	30
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-19	-35
3070	Change in uncollected pymts, Fed sources, unexpired	-16	-16	-16
3071	Change in uncollected pymts, Fed sources, expired	4		
3090	Uncollected pymts, Fed sources, end of year	-19	-35	-51
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	128	72	24
3200	Obligated balance, end of year	72	24	-21

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	194	201	197
Outlays, gross:				
4010	Outlays from new discretionary authority	132	131	127
4011	Outlays from discretionary balances	114	96	97
4020	Outlays, gross (total)	246	227	224
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-62	-62	-62
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-16	-16	-16
4052	Offsetting collections credited to expired accounts	9	9	9
4060	Additional offsets against budget authority only (total)	-7	-7	-7
4070	Budget authority, net (discretionary)	125	132	128
4080	Outlays, net (discretionary)	184	165	162
4180	Budget authority, net (total)	125	132	128
4190	Outlays, net (total)	184	165	162

This appropriation supports the functions of the Office of the Secretary of the Interior, including executive-level leadership, policy, guidance, and coordination of the responsibilities carried out by its bureaus and offices. In addition, the appropriation supports programmatic functions carried out by the Office of the Secretary including mineral revenue modeling, the Take Pride in America program, the Department's quasi-judicial and appellate responsibilities, and the Appraisal and Valuation Services Office. The appropriation also provides for workers' and unemployment compensation payments for former Bureau of Mines employees.

Object Classification (in millions of dollars)

Identification code 014-0102-0-1-306		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	54	56	59
11.3	Other than full-time permanent	5	5	5
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	60	62	65
12.1	Civilian personnel benefits	19	19	19
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	2	2
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.1	Advisory and assistance services	3	3	3
25.2	Other services from non-Federal sources	11	11	11
25.3	Other goods and services from Federal sources	38	34	31
25.7	Operation and maintenance of equipment	3	3	3
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	1		
99.0	Direct obligations	143	140	140
99.0	Reimbursable obligations	62	61	61
99.9	Total new obligations, unexpired accounts	205	201	201

Employment Summary

Identification code 014-0102-0-1-306		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	469	474	468
2001	Reimbursable civilian full-time equivalent employment	271	280	280
3001	Allocation account civilian full-time equivalent employment	43	60	60

PUBLIC LANDS INFRASTRUCTURE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5625-0-2-302		2019 actual	2020 est.	2021 est.
0100	Balance, start of year			
Receipts:				
Proposed:				
1230	Energy Revenues, Public Lands Infrastructure Fund			1,300
2000	Total: Balances and receipts			1,300
Appropriations:				
Proposed:				
2201	Public Lands Infrastructure Fund			-1,300
5099	Balance, end of year			

PUBLIC LANDS INFRASTRUCTURE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014-5625-4-2-302		2019 actual	2020 est.	2021 est.
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)			1,300
1930	Total budgetary resources available			1,300
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			1,300

Change in obligated balance:

Unpaid obligations:				
3020	Outlays (gross)			-260
3050	Unpaid obligations, end of year			-260
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			-260

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross			1,300
Outlays, gross:				
4100	Outlays from new mandatory authority			260
4180	Budget authority, net (total)			1,300
4190	Outlays, net (total)			260

Public Lands Infrastructure Fund.—The Departments of the Interior and Agriculture manage an infrastructure asset portfolio with over \$18 billion in deferred maintenance, which includes structures, trails, roads, utility systems, and Bureau of Indian Education schools. To address these needs, the Budget includes \$6.5 billion over five years for a Public Lands Infrastructure Fund. The Fund will support infrastructure improvements through an allocation of 70 percent for national parks, 10 percent for national forests, 10 percent for wildlife refuges, five percent for BIE schools, and five percent for lands managed by the Bureau of Land Management. The Fund will be supported by the deposit of 50 percent of all Federal energy development revenue that would otherwise be credited or deposited as miscellaneous receipts to the Treasury over the 2021–2025 period, subject to an annual limit of \$1.3 billion. Interior and Agriculture would prioritize projects, monitor implementation, and measure results. This investment will significantly improve many of America's most visible, visited, and treasured places.

MINERAL LEASING AND ASSOCIATED PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5003-0-2-999		2019 actual	2020 est.	2021 est.
0100	Balance, start of year	101	136	104
Receipts:				
Current law:				
1130	Receipts from Mineral Leasing, Public Lands	2,187	1,740	1,707
2000	Total: Balances and receipts	2,288	1,876	1,811

MINERAL LEASING AND ASSOCIATED PAYMENTS—Continued
Special and Trust Fund Receipts—Continued

Identification code 014-5003-0-2-999	2019 actual	2020 est.	2021 est.
Appropriations:			
Current law:			
2101 Mineral Leasing and Associated Payments	-2,187	-1,740	-1,707
2103 Mineral Leasing and Associated Payments	-100	-135	-103
2132 Mineral Leasing and Associated Payments	135	103
2199 Total current law appropriations	-2,152	-1,772	-1,810
2999 Total appropriations	-2,152	-1,772	-1,810
5099 Balance, end of year	136	104	1

Program and Financing (in millions of dollars)

Identification code 014-5003-0-2-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mineral Leasing and Associated Payments (Direct)	2,152	1,772	1,810
0900 Total new obligations, unexpired accounts (object class 41.0)	2,152	1,772	1,810

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2,187	1,740	1,707
1203 Appropriation (previously unavailable)(special or trust)	100	135	103
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-135	-103
1260 Appropriations, mandatory (total)	2,152	1,772	1,810
1900 Budget authority (total)	2,152	1,772	1,810
1930 Total budgetary resources available	2,153	1,773	1,811
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	2,152	1,772	1,810
3020 Outlays (gross)	-2,152	-1,772	-1,810

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	2,152	1,772	1,810
Outlays, gross:			
4100 Outlays from new mandatory authority	2,152	1,772	1,810
4180 Budget authority, net (total)	2,152	1,772	1,810
4190 Outlays, net (total)	2,152	1,772	1,810

Under the Mineral Leasing Act (MLA), States receive fifty-percent of Federal revenues generated from mineral production occurring on Federal lands within that State's boundaries. Alaska is the exception, receiving a 90 percent share of receipts from Federal mineral leasing in that State. (Separate statutes cover revenue sharing payments from the National Petroleum Reserve-Alaska and the 1002 Area of the Arctic National Wildlife Refuge, where the traditional MLA fifty-percent state share applies.) To partially cover the costs of administering the Federal mineral leasing program, the Bipartisan Budget Act of 2013 permanently amended the MLA to deduct two percent from the required payments to States under the Act. These payments are administered by Interior's Office of Natural Resources Revenue.

NATIONAL PETROLEUM RESERVE, ALASKA

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5045-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	4	5
Receipts:			
Current law:			
1130 Receipts from Oil and Gas Leases, National Petroleum Reserve in Alaska, MMS	17	15	17

2000 Total: Balances and receipts	19	19	22
Appropriations:			
Current law:			
2101 National Petroleum Reserve, Alaska	-16	-15	-17
2132 National Petroleum Reserve, Alaska	1	1
2199 Total current law appropriations	-15	-14	-17
2999 Total appropriations	-15	-14	-17
5099 Balance, end of year	4	5	5

Program and Financing (in millions of dollars)

Identification code 014-5045-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Petroleum Reserve, Alaska (Direct)	15	14	17
0900 Total new obligations, unexpired accounts (object class 41.0)	15	14	17

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	16	15	17
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1260 Appropriations, mandatory (total)	15	14	17
1930 Total budgetary resources available	15	14	17

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	15	14	17
3020 Outlays (gross)	-15	-14	-17

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	15	14	17
Outlays, gross:			
4100 Outlays from new mandatory authority	15	14	17
4180 Budget authority, net (total)	15	14	17
4190 Outlays, net (total)	15	14	17

Payments to Alaska from oil and gas leasing in the National Petroleum Reserve-Alaska (NPR-A).—Public Law 96-514 requires that 50 percent of all Federal revenues received from oil and gas leasing in the NPR-A be paid to the State of Alaska. These payments are administered by Interior's Office of Natural Resources Revenue.

PAYMENT TO ALASKA, ARCTIC NATIONAL WILDLIFE REFUGE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5488-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	30
Receipts:			
Current law:			
1130 Arctic National Wildlife Refuge, Rent, Royalties and Bonuses, (Alaska Share)	503	221
2000 Total: Balances and receipts	503	251
Appropriations:			
Current law:			
2101 Payment to Alaska, Arctic National Wildlife Refuge	-503	-221
2103 Payment to Alaska, Arctic National Wildlife Refuge	-30
2132 Payment to Alaska, Arctic National Wildlife Refuge	30
2199 Total current law appropriations	-473	-251
2999 Total appropriations	-473	-251
5099 Balance, end of year	30

Program and Financing (in millions of dollars)

Identification code 014-5488-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Alaska, Arctic National Wildlife Refuge	473	251
0900 Total new obligations, unexpired accounts (object class 41.0)	473	251

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	503	221
1203	Appropriation (previously unavailable)(special or trust)		30
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-30	
1260	Appropriations, mandatory (total)	473	251
1930	Total budgetary resources available	473	251
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	473	251
3020	Outlays (gross)	-473	-251
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	473	251
Outlays, gross:			
4100	Outlays from new mandatory authority	473	251
4180	Budget authority, net (total)	473	251
4190	Outlays, net (total)	473	251

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	44	29
Outlays, gross:			
4100	Outlays from new mandatory authority	44	29
4180	Budget authority, net (total)	44	29
4190	Outlays, net (total)	44	29

Flood control payments to States are shared according to the Flood Control Act of 1936 (33 U.S.C. 701 et seq.), which provides that 75 percent of revenue collected is to be shared with the State in which it was collected. These funds are to be expended as the State legislature may prescribe for the benefit of the public schools and roads in the county from which the revenue was collected, or for defraying other expenses of county government. These expenses include public obligations of levee and drainage districts for flood control and drainage improvements. Payments are administered by Interior's Office of Natural Resources Revenue.

NATIONAL FORESTS FUND, PAYMENT TO STATES

In accordance with Section 20001 of the 2017 Tax Cuts and Jobs Act (P.L. 115-97), the State of Alaska will receive 50 percent of Federal revenues generated from mineral production occurring in the 1002 Area of the Coastal Plain of the Arctic National Wildlife Refuge (ANWR). These payments will be administered by the Office of Natural Resources Revenue.

LEASES OF LANDS ACQUIRED FOR FLOOD CONTROL, NAVIGATION, AND ALLIED PURPOSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5248-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	10	13	15
Receipts:			
Current law:			
1130 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes	47	31	32
2000 Total: Balances and receipts	57	44	47
Appropriations:			
Current law:			
2101 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes	-47	-31	-32
2132 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes	3	2	
2199 Total current law appropriations	-44	-29	-32
2999 Total appropriations	-44	-29	-32
5099 Balance, end of year	13	15	15

Program and Financing (in millions of dollars)

Identification code 014-5248-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Leases of Lands Acquired for Flood Control, Navigation, and Allied (Direct)	44	29	32
0900 Total new obligations, unexpired accounts (object class 41.0)	44	29	32
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	47	31	32
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3	-2	
1260 Appropriations, mandatory (total)	44	29	32
1930 Total budgetary resources available	44	29	32
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	44	29	32
3020 Outlays (gross)	-44	-29	-32

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5243-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3	3	4
Receipts:			
Current law:			
1130 National Forests Fund, Payments to States	5	13	13
2000 Total: Balances and receipts	8	16	17
Appropriations:			
Current law:			
2101 National Forests Fund, Payment to States	-5	-13	-13
2132 National Forests Fund, Payment to States		1	
2199 Total current law appropriations	-5	-12	-13
2999 Total appropriations	-5	-12	-13
5099 Balance, end of year	3	4	4

Program and Financing (in millions of dollars)

Identification code 014-5243-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Forests Fund, Payment to States (Direct)	4	12	13
0900 Total new obligations, unexpired accounts (object class 41.0)	4	12	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	13	13
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		-1	
1260 Appropriations, mandatory (total)	5	12	13
1930 Total budgetary resources available	5	13	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	12	13
3020 Outlays (gross)	-4	-12	-13
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	12	13
Outlays, gross:			
4100 Outlays from new mandatory authority	4	12	13
4180 Budget authority, net (total)	5	12	13
4190 Outlays, net (total)	4	12	13

As of May 23, 1908 (16 U.S.C. 499), 25 percent of the revenues collected from onshore mineral leasing and production on national forest lands have been paid to the State in which the national forest resides. A State's payment

NATIONAL FORESTS FUND, PAYMENT TO STATES—Continued

is based on national forest acreage. Where a national forest is situated in several States, an individual State payment is proportionate to its area within that particular national forest. These payments are administered by Interior's Office of Natural Resources Revenue.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5574-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Geothermal Lease Revenues, County Share	4	4	4
2000 Total: Balances and receipts	4	4	4
Appropriations:			
Current law:			
2101 Geothermal Lease Revenues, Payment to Counties	-4	-4	-4
Proposed:			
2201 Geothermal Lease Revenues, Payment to Counties			4
2999 Total appropriations	-4	-4	
5099 Balance, end of year			4

Program and Financing (in millions of dollars)

Identification code 014-5574-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Geothermal Lease Revenues, Payment to Counties (Direct)	4	4	4
0900 Total new obligations, unexpired accounts (object class 41.0)	4	4	4

Budgetary resources:

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	4	4	4
1930	Total budgetary resources available	4	4	4

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts	4	4	4
3020	Outlays (gross)	-4	-4	-4

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	4	4	4
Outlays, gross:				
4100	Outlays from new mandatory authority	4	4	4
4180	Budget authority, net (total)	4	4	4
4190	Outlays, net (total)	4	4	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4	4	4
Outlays	4	4	4
Legislative proposal, subject to PAYGO:			
Budget Authority			-4
Outlays			-4
Total:			
Budget Authority	4	4	
Outlays	4	4	

The Energy Policy Act of 2005 (P.L. 109-58) amended section 20 of the Geothermal Steam Act of 1970 (30 U.S.C. 1019 et seq.) to provide that for the revenues collected from geothermal leasing, 50 percent of the revenues are to be paid to the State and 25 percent are to be paid to the county in which the leased lands or geothermal resources are located. Payments are administered by Interior's Office of Natural Resources Revenue. The 2021 Budget proposes to repeal these county payments.

GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 014-5574-4-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Geothermal Lease Revenues, Payment to Counties (Direct)			-4
0900 Total new obligations, unexpired accounts (object class 41.0)			-4

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		-4
1930	Total budgetary resources available		-4

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		-4
3020	Outlays (gross)		4

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross		-4
Outlays, gross:			
4100	Outlays from new mandatory authority		-4
4180	Budget authority, net (total)		-4
4190	Outlays, net (total)		-4

Repeal geothermal payments to counties.—The Budget proposes legislation to repeal section 224(b) of the Energy Policy Act of 2005, and permanently discontinue the 25 percent geothermal lease revenue sharing payments to counties. The legislation proposes to restore the traditional 50/50 Federal-State revenue sharing arrangement. This proposal is estimated to generate savings to the U.S. Treasury of \$40 million over ten years.

STATES SHARE FROM CERTAIN GULF OF MEXICO LEASES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5535-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	243	420	402
Receipts:			
Current law:			
1130 Outer Continental Shelf Rentals and Bonuses, State Share from Certain Gulf of Mexico Leases	165	146	136
1130 Outer Continental Shelf Royalties	227	206	223
1199 Total current law receipts	392	352	359
1999 Total receipts	392	352	359
2000 Total: Balances and receipts	635	772	761
Appropriations:			
Current law:			
2101 States Share from Certain Gulf of Mexico Leases	-229	-393	-352
2132 States Share from Certain Gulf of Mexico Leases	14	23	
2199 Total current law appropriations	-215	-370	-352
2999 Total appropriations	-215	-370	-352
5099 Balance, end of year	420	402	409

Program and Financing (in millions of dollars)

Identification code 014-5535-0-2-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 States Share from Certain Gulf of Mexico Leases (Direct)	215	370	352
0900 Total new obligations, unexpired accounts (object class 41.0)	215	370	352

Budgetary resources:

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	229	393	352

1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-14	-23
1260	Appropriations, mandatory (total)	215	370	352
1930	Total budgetary resources available	215	370	352
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	215	370	352
3020	Outlays (gross)	-215	-370	-352
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	215	370	352
Outlays, gross:				
4100	Outlays from new mandatory authority	215	370	352
4180	Budget authority, net (total)	215	370	352
4190	Outlays, net (total)	215	370	352

The Gulf of Mexico Energy Security Act of 2006 (GOMESA, P.L. 109-432) provides that 37.5 percent of Outer Continental Shelf revenues from certain leases, in most cases subject to an annual payment cap, be distributed to four coastal States (Alabama, Louisiana, Mississippi, and Texas) and their local governments based on a complex allocation formula. These payments are administered by Interior's Office of Natural Resources Revenue.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5425-0-2-302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1,468	1,498	1,514
Receipts:			
Current law:			
1140 Interest Earned, Environmental Improvement and Restoration Fund	37	23	22
2000 Total: Balances and receipts	1,505	1,521	1,536
Appropriations:			
Current law:			
2101 Environmental Improvement and Restoration Fund	-7	-7	-5
5099 Balance, end of year	1,498	1,514	1,531

Program and Financing (in millions of dollars)

Identification code 014-5425-0-2-302	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)
4190 Outlays, net (total)
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,495	1,518	1,541
5001 Total investments, EOY: Federal securities: Par value	1,518	1,541	1,563

Title IV of the Department of the Interior and Related Agencies Appropriation Act, 1998 (P.L. 105-83) established the Environmental Improvement and Restoration Fund account. As required by law, 50 percent of the principal and 50 percent of the interest from the Alaska Escrow account are deposited into the Environmental Improvement and Restoration Fund. The law requires that the corpus of the Fund be invested. Twenty percent of the interest earned by the Fund is permanently appropriated to the Department of Commerce, and the unappropriated balance of interest remains in the Fund, subject to appropriation. At this time, no budget authority is requested.

LAND AND WATER CONSERVATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5005-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	21,950	22,472	22,885

Receipts:				
Current law:				
1110	Land and Water Conservation Fund, Motorboat Fuels Tax,	1	1	1
1130	Outer Continental Shelf Royalties, LWCF Share from Certain Gulf of Mexico Leases	69	74
1130	Land and Water Conservation Fund, Rent Receipts, Outer Continental Shelf Lands	489	49	494
1130	Land and Water Conservation Fund, Royalty Receipts, Outer Continental Shelf	389	851	406
1130	Outer Continental Shelf Rents and Bonuses, LWCF Share from Certain Gulf of Mexico Leases	130	49	45
1130	Land and Water Conservation Fund, Surplus Property Sales	21	6	6
1199	Total current law receipts	1,030	1,025	1,026
1999	Total receipts	1,030	1,025	1,026
2000	Total: Balances and receipts	22,980	23,497	23,911
Appropriations:				
Current law:				
2101	State and Private Forestry	-64	-64
2101	Land Acquisition	-72	-79
2101	Land Acquisition	-28	-32	-3
2101	Land Acquisition	-65	-71	-13
2101	Cooperative Endangered Species Conservation Fund	-31	-31
2101	Land Acquisition and State Assistance	-168	-208	-18
2101	Land Acquisition and State Assistance	-76	-125	-117
2101	Salaries and Expenses	-9	-10	-8
2132	Land Acquisition and State Assistance	5	8
2199	Total current law appropriations	-508	-612	-159
2999	Total appropriations	-508	-612	-159
5099	Balance, end of year	22,472	22,885	23,752

ADMINISTRATIVE PROVISIONS

For fiscal year [2020] 2021, up to \$400,000 of the payments authorized by chapter 69 of title 31, United States Code, may be retained for administrative expenses of the Payments in Lieu of Taxes Program: *Provided*, That the amounts provided under this Act specifically for the Payments in Lieu of Taxes program are the only amounts available for payments authorized under chapter 69 of title 31, United States Code: *Provided further*, That in the event the sums appropriated for any fiscal year for payments pursuant to this chapter are insufficient to make the full payments authorized by that chapter to all units of local government, then the payment to each local government shall be made proportionally: *Provided further*, That the Secretary may make adjustments to payment to individual units of local government to correct for prior overpayments or underpayments: *Provided further*, That no payment shall be made pursuant to that chapter to otherwise eligible units of local government if the computed amount of the payment is less than \$100. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

The Office of the Secretary provides for the administration of the Payments in Lieu of Taxes program, which makes payments to counties and other units of local government for lands within their boundaries administered by the Bureau of Land Management, U.S. Forest Service, the National Park Service, the Fish and Wildlife Service, and certain other agencies. Funding for the program is in a separate account within Department-Wide programs.

INSULAR AFFAIRS

The Secretary of the Interior is charged with the responsibility of promoting the economic and political development of those insular areas which are under U.S. jurisdiction and within the responsibility of the Department of the Interior. The Secretary originates and implements Federal policy for the U.S. territories; guides and coordinates certain operating programs and construction projects; provides information services and technical assistance; coordinates certain Federal programs and services provided to the freely associated states, and participates in foreign policy and defense matters concerning the U.S. territories and the freely associated states.

Federal Funds

TRUST TERRITORY OF THE PACIFIC ISLANDS

Until October 1, 1994, the United States exercised jurisdiction over the Trust Territory of the Pacific Islands according to the terms of the 1947 Trusteeship Agreement between the United States and the Security Council of the United Nations. These responsibilities were carried out by the Department of the Interior.

The Department of the Interior is seeking no additional appropriations for the Trust Territory of the Pacific Islands. Compacts of Free Association have been implemented with the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Remaining funds in the Trust Territory of the Pacific Islands account are being used to improve basic economic information and financial management capabilities in the insular areas; address compact impact related issues; and also for brown tree snake control.

COMPACT OF FREE ASSOCIATION

For grants and necessary expenses, **[\$8,463,000]** \$8,109,000, to remain available until expended, as provided for in sections 221(a)(2) and 233 of the Compact of Free Association for the Republic of Palau; and section 221(a)(2) of the Compacts of Free Association for the Government of the Republic of the Marshall Islands and the Federated States of Micronesia, as authorized by Public Law 99-658 and Public Law 108-188: *Provided*, That of the funds appropriated under this heading, \$5,000,000 is for deposit into the Compact Trust Fund of the Republic of the Marshall Islands as compensation authorized by Public Law 108-188 for adverse financial and economic impacts. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-0415-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal services assistance	3	8	3
0002 Enewetak	1	1	1
0003 Marshall Islands Tax and Trade			5
0091 Direct program activities, subtotal	4	9	9
0101 Palau Compact Extension, mandatory	9	5	2
0192 Subtotal	13	14	11
0201 Assistance to the Marshall Islands	80	79	81
0202 Assistance to the Federated States of Micronesia	111	115	117
0204 Compact Impact	30	30	30
0205 Judicial Training/FEMA		1	
0291 Subtotal, permanent indefinite	221	225	228
0799 Total direct obligations	234	239	239
0801 Compact of Free Association (Reimbursable)	17	17	17
0900 Total new obligations, unexpired accounts	251	256	256
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	272	268	275
1021 Recoveries of prior year unpaid obligations	5	13	13
1050 Unobligated balance (total)	277	281	288
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	8	8
Appropriations, mandatory:			
1200 Appropriation	222	225	228
Spending authority from offsetting collections, discretionary:			
1700 Collected	17	17	17
1900 Budget authority (total)	242	250	253
1930 Total budgetary resources available	519	531	541
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	268	275	285
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	77	115	198
3010 New obligations, unexpired accounts	251	256	256
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-213	-160	-211

3040 Recoveries of prior year unpaid obligations, unexpired	-5	-13	-13
3050 Unpaid obligations, end of year	115	198	230
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	77	115	198
3200 Obligated balance, end of year	115	198	230
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	20	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority	3	9	9
4011 Outlays from discretionary balances	18	15	16
4020 Outlays, gross (total)	21	24	25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-17	-17
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-21	-17	-17
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	4		
4060 Additional offsets against budget authority only (total)	4		
4070 Budget authority, net (discretionary)	3	8	8
4080 Outlays, net (discretionary)		7	8
Mandatory:			
4090 Budget authority, gross	222	225	228
Outlays, gross:			
4100 Outlays from new mandatory authority	176	103	103
4101 Outlays from mandatory balances	16	33	83
4110 Outlays, gross (total)	192	136	186
4180 Budget authority, net (total)	225	233	236
4190 Outlays, net (total)	192	143	194

The peoples of the Republic of the Marshall Islands, the Federated States of Micronesia and the Republic of Palau approved Compacts of Free Association negotiated by the United States and their governments. The Compact of Free Association Act of 1985 (P.L. 99-239) constituted the necessary authorizing legislation to make annual payments to the Republic of the Marshall Islands and the Federated States of Micronesia. Payments began in 1987 and continued through 2003 when the original economic assistance package expired. The Compact of Free Association Amendments Act of 2003 (P.L. 108-188), continues financial assistance to the Federated States of Micronesia and the Republic of the Marshall Islands through 2023. The Compact of Free Association for the Republic of Palau was enacted on November 14, 1986 as Public Law 99-658, and was implemented on October 1, 1994. Financial assistance provisions under the Compact of Free Association with the Republic of Palau were set to expire on September 30, 2009, however, under the 2010 Compact Review Agreement (CRA) the United States agreed to provide continued economic assistance to the Government of Palau through 2024.

Object Classification (in millions of dollars)

Identification code 014-0415-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	231	236	236
99.0 Direct obligations	234	239	239
99.0 Reimbursable obligations	17	17	17
99.9 Total new obligations, unexpired accounts	251	256	256

PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 014-0418-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Advance payments to Guam of estimated U.S. income tax collections	70	78	78

0002	Advance payments to the Virgin Islands of estimated U.S. excise tax collections	261	224	224
0900	Total new obligations, unexpired accounts (object class 41.0)	331	302	302
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	331	302	302
1930	Total budgetary resources available	331	302	302
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	331	302	302
3020	Outlays (gross)	-331	-302	-302
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	331	302	302
Outlays, gross:				
4100	Outlays from new mandatory authority	331	302	302
4180	Budget authority, net (total)	331	302	302
4190	Outlays, net (total)	331	302	302

Public Law 95–348 requires that certain revenues collected by the U.S. Treasury involving Guam and the Virgin Islands (income taxes withheld and excise taxes) be paid prior to the start of the fiscal year of collection. The 2021 Budget includes funds for these advance payments.

ASSISTANCE TO TERRITORIES

For expenses necessary for assistance to territories under the jurisdiction of the Department of the Interior and other jurisdictions identified in section 104(e) of Public Law 108–188, **[\$102,881,000]** \$81,090,000, of which: (1) **[\$93,390,000]** \$71,537,000 shall remain available until expended for territorial assistance, including general technical assistance, maintenance assistance, disaster assistance, coral reef initiative and natural resources activities, and brown tree snake control and research; grants to the judiciary in American Samoa for compensation and expenses, as authorized by law (48 U.S.C. 1661(c)); grants to the Government of American Samoa, in addition to current local revenues, for construction and support of governmental functions; grants to the Government of the Virgin Islands, as authorized by law; grants to the Government of Guam, as authorized by law; and grants to the Government of the Northern Mariana Islands, as authorized by law (Public Law 94–241; 90 Stat. 272); and (2) **[\$9,491,000]** \$9,553,000 shall be available until September 30, **[2021]** 2022, for salaries and expenses of the Office of Insular Affairs: *Provided*, That all financial transactions of the territorial and local governments herein provided for, including such transactions of all agencies or instrumentalities established or used by such governments, may be audited by the Government Accountability Office, at its discretion, in accordance with chapter 35 of title 31, United States Code: *Provided further*, That Northern Mariana Islands Covenant grant funding shall be provided according to those terms of the Agreement of the Special Representatives on Future United States Financial Assistance for the Northern Mariana Islands approved by Public Law 104–134: *Provided further*, That the funds for the program of operations and maintenance improvement are appropriated to institutionalize routine operations and maintenance improvement of capital infrastructure with territorial participation and cost sharing to be determined by the Secretary based on the grantee's commitment to timely maintenance of its capital assets: *Provided further*, That any appropriation for disaster assistance under this heading in this Act or previous appropriations Acts may be used as non-Federal matching funds for the purpose of hazard mitigation grants provided pursuant to section 404 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170c). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–0412–0–1–808	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0009	Office of Insular Affairs	10	10	9
0010	Technical assistance	21	21	15
0015	Coral Reef Initiative & Natural Resources	3	3	1
0017	Maintenance assistance fund	5	4	1
0018	American Samoa operations grants	24	24	22
0019	Brown Treesnake	4	3	3
0021	Emerging Insular Communities	5	6	3

0031	Compact Impact Discretionary	4	4
0091	Direct subtotal, discretionary	76	75	54
0101	Covenant CIP grants, mandatory	38	28	28
0900	Total new obligations, unexpired accounts	114	103	82

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	9	12	20
1001	Discretionary unobligated balance brought fwd, Oct 1	5	8
1021	Recoveries of prior year unpaid obligations	14	8	8
1050	Unobligated balance (total)	23	20	28
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	75	75	53
Appropriations, mandatory:				
1200	Appropriation	28	28	28
1900	Budget authority (total)	103	103	81
1930	Total budgetary resources available	126	123	109
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	12	20	27

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	157	173	148
3010	New obligations, unexpired accounts	114	103	82
3020	Outlays (gross)	-84	-120	-105
3040	Recoveries of prior year unpaid obligations, unexpired	-14	-8	-8
3050	Unpaid obligations, end of year	173	148	117
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	157	173	148
3200	Obligated balance, end of year	173	148	117

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	75	75	53
Outlays, gross:				
4010	Outlays from new discretionary authority	33	51	37
4011	Outlays from discretionary balances	27	47	42
4020	Outlays, gross (total)	60	98	79
Mandatory:				
4090	Budget authority, gross	28	28	28
Outlays, gross:				
4100	Outlays from new mandatory authority	5	1	1
4101	Outlays from mandatory balances	19	21	25
4110	Outlays, gross (total)	24	22	26
4180	Budget authority, net (total)	103	103	81
4190	Outlays, net (total)	84	120	105

This appropriation provides support for basic government operations for those insular areas requiring such support, capital infrastructure improvements, special program and economic development assistance, and technical assistance.

Pursuant to section 118 of Public Law 104–134, \$27.7 million in mandatory covenant capital improvement program grant funding may be allocated to high priority needs in the U.S. Territories and freely associated states.

Object Classification (in millions of dollars)

Identification code 014–0412–0–1–808	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	3	3	3
25.3	Other goods and services from Federal sources	12	12	9
41.0	Grants, subsidies, and contributions	95	84	66
99.9	Total new obligations, unexpired accounts	114	103	82

Employment Summary

Identification code 014–0412–0–1–808	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	31	36	36

ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 014-4163-0-3-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	1	1	1
0900 Total new obligations, unexpired accounts	1	1	1
Budgetary resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Financing disbursements:			
4110 Outlays, gross (total)	1	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - interest payments fr. Am. Samoa	-1	-1	-1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Status of Direct Loans (in millions of dollars)

Identification code 014-4163-0-3-806	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	14	14	14
1251 Repayments: Repayments	-1	-1	-1
1261 Adjustments: Capitalized interest	1	1	1
1290 Outstanding, end of year	14	14	14

In 2000, the American Samoa Government (ASG) was authorized to borrow \$18.6 million from the U.S. Treasury in order to reduce significant past due debts to vendors. Repayment of the loan is secured and accomplished with funds, as they become due and payable to ASG from the Es-crow Account established under the terms and conditions of the Tobacco Master Settlement Agreement. The ASG agreed to significant financial reforms as a prerequisite to receiving the loan proceeds.

Balance Sheet (in millions of dollars)

Identification code 014-4163-0-3-806	2018 actual	2019 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	14	14
1405 Allowance for subsidy cost (-)	-5	-5
1499 Net present value of assets related to direct loans	9	9
1999 Total assets	9	9
LIABILITIES:		
2103 Federal liabilities: Debt	8	8
NET POSITION:		
3300 Cumulative results of operations	1	1
4999 Total liabilities and net position	9	9

ADMINISTRATIVE PROVISIONS
(INCLUDING TRANSFER OF FUNDS)

At the request of the Governor of Guam, the Secretary may transfer discretionary funds or mandatory funds provided under section 104(e) of Public Law 108-188 and Public Law 104-134, that are allocated for Guam, to the Secretary of Agriculture for the subsidy cost of direct or guaranteed loans, plus not to exceed three percent

of the amount of the subsidy transferred for the cost of loan administration, for the purposes authorized by the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act for construction and repair projects in Guam, and such funds shall remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such loans or loan guarantees may be made without regard to the population of the area, credit elsewhere requirements, and restrictions on the types of eligible entities under the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act: *Provided further*, That any funds transferred to the Secretary of Agriculture shall be in addition to funds otherwise made available to make or guarantee loans under such authorities. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

OFFICE OF THE SOLICITOR

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of the Solicitor, **[\$66,816,000] \$86,813,000.** (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014-0107-0-1-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	65	67	87
0801 Salaries and Expenses (Reimbursable)	20	28	19
0900 Total new obligations, unexpired accounts	85	95	106
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	66	68	87
Spending authority from offsetting collections, discretionary:			
1700 Collected	17	28	19
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	20	28	19
1900 Budget authority (total)	86	96	106
1930 Total budgetary resources available	86	97	108
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	11	8
3010 New obligations, unexpired accounts	85	95	106
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-85	-98	-106
3050 Unpaid obligations, end of year	11	8	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	8	5
3200 Obligated balance, end of year	8	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	86	96	106
Outlays, gross:			
4010 Outlays from new discretionary authority	76	90	100
4011 Outlays from discretionary balances	9	8	6
4020 Outlays, gross (total)	85	98	106
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-18	-28	-19
4040 Offsets against gross budget authority and outlays (total)	-18	-28	-19
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3		
4052 Offsetting collections credited to expired accounts	1		

4060	Additional offsets against budget authority only (total)	-2		
4070	Budget authority, net (discretionary)	66	68	87
4080	Outlays, net (discretionary)	67	70	87
4180	Budget authority, net (total)	66	68	87
4190	Outlays, net (total)	67	70	87

The Office of the Solicitor (Office) provides legal advice and counsel to the Secretary, the Secretariat, and all constituent bureaus and offices of the Department of the Interior. All attorneys employed in the Department for the purposes of providing legal services are under the supervision of the Solicitor, except the Justices of American Samoa and the attorneys in the Office of Congressional and Legislative Affairs, Office of Inspector General, and the Office of Hearings and Appeals. Additionally, the Office administers the Department's ethics program and manages Freedom of Information Act programs. The Office is comprised of headquarters staff, located in Washington, DC and 16 regional and field offices.

Object Classification (in millions of dollars)

Identification code 014-0107-0-1-306	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	37	38	49
11.3	Other than full-time permanent	2	2	3
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	40	41	53
12.1	Civilian personnel benefits	12	13	17
23.1	Rental payments to GSA	3	3	4
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	2	2	2
25.3	Other goods and services from Federal sources	6	6	9
31.0	Equipment	1	1	1
99.0	Direct obligations	65	67	87
99.0	Reimbursable obligations	20	28	19
99.9	Total new obligations, unexpired accounts	85	95	106

Employment Summary

Identification code 014-0107-0-1-306	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	289	304	381
2001	Reimbursable civilian full-time equivalent employment	91	150	82
3001	Allocation account civilian full-time equivalent employment	31	30	42

OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General, **[\$55,986,000]** \$59,342,000, to remain available until September 30, **[2021]** 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-0104-0-1-306	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Salaries and Expenses (Direct)	53	56	59
0801	Salaries and Expenses (Reimbursable)	3	3	3
0900	Total new obligations, unexpired accounts	56	59	62
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	53	56	59
1121	Appropriations transferred from ONHIR [435-1100]	1		
1160	Appropriation, discretionary (total)	54	56	59
Spending authority from offsetting collections, discretionary:				
1700	Collected	2	3	3
1900	Budget authority (total)	56	59	62
1930	Total budgetary resources available	59	62	65

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	5	8
3010	New obligations, unexpired accounts	56	59	62
3020	Outlays (gross)	-55	-56	-65
3050	Unpaid obligations, end of year	5	8	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	5	8
3200	Obligated balance, end of year	5	8	5
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	56	59	62
Outlays, gross:				
4010	Outlays from new discretionary authority	51	53	56
4011	Outlays from discretionary balances	4	3	9
4020	Outlays, gross (total)	55	56	65
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2	-3	-3
4180	Budget authority, net (total)	54	56	59
4190	Outlays, net (total)	53	53	62

The mission of the Office of Inspector General is to promote excellence, accountability and integrity in the programs, operations and management of the Department of the Interior. The Office is responsible for independently and objectively identifying risks and vulnerabilities that directly impact, or could impact, the Department's ability to accomplish its mission. The Office is required to keep the Secretary and Congress fully and currently informed about problems and deficiencies relating to the administration of departmental programs and operations. Effective implementation of this mandate addresses the public's demand for greater accountability and integrity in the administration of government programs and operations.

Object Classification (in millions of dollars)

Identification code 014-0104-0-1-306	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	32	35	37
12.1	Civilian personnel benefits	11	12	13
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	3	3	3
25.2	Other services from non-Federal sources	1	1	1
25.3	Other goods and services from Federal sources	5	4	4
99.0	Direct obligations	53	56	59
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	56	59	62

Employment Summary

Identification code 014-0104-0-1-306	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	235	251	261
2001	Reimbursable civilian full-time equivalent employment	16	13	13

OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS

Federal Funds

FEDERAL TRUST PROGRAMS

[(INCLUDING TRANSFER AND RESCISSION OF FUNDS)]

For the operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, \$111,540,000, to remain available until expended, of which not to exceed \$19,016,000 from this or any other Act, may be available for historical accounting: *Provided*, That funds for trust management improvements and litigation support may, as needed, be transferred to or merged with the Bureau of Indian Affairs, "Operation of Indian Programs" and Bureau of Indian Education, "Operation of Indian Education Programs" accounts; the Office of the Solicitor, "Salaries and Expenses" account; and the Office of the Secretary, "Departmental Operations" account: *Provided further*, That funds made available

FEDERAL TRUST PROGRAMS—Continued

through contracts or grants obligated during fiscal year 2020, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 5301 et seq.), shall remain available until expended by the contractor or grantee: *Provided further*, That notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 15 months and has a balance of \$15 or less: *Provided further*, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: *Provided further*, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: *Provided further*, That erroneous payments that are recovered shall be credited to and remain available in this account for this purpose: *Provided further*, That the Secretary shall not be required to reconcile Special Deposit Accounts with a balance of less than \$500 unless the Office of the Special Trustee receives proof of ownership from a Special Deposit Accounts claimant: *Provided further*, That notwithstanding section 102 of the American Indian Trust Fund Management Reform Act of 1994 (Public Law 103-412) or any other provision of law, the Secretary may aggregate the trust accounts of individuals whose whereabouts are unknown for a continuous period of at least five years and shall not be required to generate periodic statements of performance for the individual accounts: *Provided further*, That with respect to the eighth proviso, the Secretary shall continue to maintain sufficient records to determine the balance of the individual accounts, including any accrued interest and income, and such funds shall remain available to the individual account holders.]

[Of the unobligated balances from amounts made available for the Office of the Special Trustee for American Indians, \$3,000,000 is permanently rescinded: *Provided*, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.] (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 014-0120-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program operations, support, and improvements	123	110
0002 Executive direction	2
0799 Total direct obligations	123	112
0900 Total new obligations, unexpired accounts	123	112
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	15	23
1010 Unobligated balance transfer to other accts [014-0128]	-23
1021 Recoveries of prior year unpaid obligations	5	2
1050 Unobligated balance (total)	24	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	111	112
1131 Unobligated balance of appropriations permanently reduced	-3
1160 Appropriation, discretionary (total)	111	109
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	8
1701 Change in uncollected payments, Federal sources	1
1750 Spending auth from offsetting collections, disc (total)	3	9
1900 Budget authority (total)	114	118
1930 Total budgetary resources available	138	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	23
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	49	44	25
3010 New obligations, unexpired accounts	123	112
3020 Outlays (gross)	-123	-129	-18
3040 Recoveries of prior year unpaid obligations, unexpired	-5	-2
3050 Unpaid obligations, end of year	44	25	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1

3071 Change in uncollected pymts, Fed sources, expired	1
3090 Uncollected pymts, Fed sources, end of year	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	48	44	24
3200 Obligated balance, end of year	44	24	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	114	118
Outlays, gross:			
4010 Outlays from new discretionary authority	81	107
4011 Outlays from discretionary balances	42	22	18
4020 Outlays, gross (total)	123	129	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1
4060 Additional offsets against budget authority only (total)	-1
4070 Budget authority, net (discretionary)	111	109
4080 Outlays, net (discretionary)	120	121	18
4180 Budget authority, net (total)	111	109
4190 Outlays, net (total)	120	121	18

To enhance and consolidate services to Indian Tribes, individual American Indians, and Alaska Natives, the 2021 Budget proposes to establish the Bureau of Trust Funds Administration (BTFA) within the Office of the Assistant Secretary—Indian Affairs, and move the functions of the Office of the Special Trustee for American Indians into BTFA.

Object Classification (in millions of dollars)

Identification code 014-0120-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	38
11.3 Other than full-time permanent	1	1
11.5 Other personnel compensation	1	1
11.9 Total personnel compensation	43	40
12.1 Civilian personnel benefits	14	13
13.0 Benefits for former personnel	1	1
21.0 Travel and transportation of persons	1	1
23.1 Rental payments to GSA	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1
25.1 Advisory and assistance services	1	1
25.2 Other services from non-Federal sources	38	38
25.3 Other goods and services from Federal sources	15	8
25.7 Operation and maintenance of equipment	1	1
26.0 Supplies and materials	1	1
31.0 Equipment	1	1
99.0 Direct obligations	121	110
99.0 Reimbursable obligations	2	2
99.9 Total new obligations, unexpired accounts	123	112

Employment Summary

Identification code 014-0120-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	428	417
2001 Reimbursable civilian full-time equivalent employment	4

NATIONAL INDIAN GAMING COMMISSION

Federal Funds

SALARIES AND EXPENSES

Program and Financing (in millions of dollars)

Identification code 014-0118-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Salaries and Expenses (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2)	2	2	2

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	3
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected	2	2
1930	Total budgetary resources available	5	5
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	3
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	2	2
3020	Outlays (gross)	-2	-2
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	2	2
Outlays, gross:			
4010	Outlays from new discretionary authority	1	2
4011	Outlays from discretionary balances	1	
4020	Outlays, gross (total)	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources	-2	-2
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

The National Indian Gaming Commission conducts background investigations of individuals and entities with a financial interest in, or management responsibility for, potential management contracts. Tribes may also submit fingerprint cards to the Commission for processing by the Federal Bureau of Investigation and the Commission may charge a fee to process fingerprint cards on behalf of the Tribes. The Commission is reimbursed from the potential contractors to conduct these background investigations and also for fingerprint processing costs.

NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014-5141-0-2-806	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	1	1
Receipts:			
Current law:			
1110	National Indian Gaming Commission, Gaming Activity Fees	19	20
2000	Total: Balances and receipts	20	21
Appropriations:			
Current law:			
2101	National Indian Gaming Commission, Gaming Activity Fees	-19	-20
2103	National Indian Gaming Commission, Gaming Activity Fees	-1	-1
2132	National Indian Gaming Commission, Gaming Activity Fees	1	1
2199	Total current law appropriations	-19	-20
2999	Total appropriations	-19	-20
5099	Balance, end of year	1	1

Program and Financing (in millions of dollars)

Identification code 014-5141-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	National Indian Gaming Commission, Gaming Activity Fees (Direct)	22	25
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	12	10
1021	Recoveries of prior year unpaid obligations	1	
1050	Unobligated balance (total)	13	10

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	19	20
1203	Appropriation (previously unavailable)(special or trust) ...	1	1
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1260	Appropriations, mandatory (total)	19	20
1930	Total budgetary resources available	32	30
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	5

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	3
3010	New obligations, unexpired accounts	22	25
3020	Outlays (gross)	-22	-24
3040	Recoveries of prior year unpaid obligations, unexpired	-1	
3050	Unpaid obligations, end of year	3	4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	4	3
3200	Obligated balance, end of year	3	4

Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	19	20
Outlays, gross:			
4100	Outlays from new mandatory authority	8	14
4101	Outlays from mandatory balances	14	10
4110	Outlays, gross (total)	22	24
4180	Budget authority, net (total)	19	20
4190	Outlays, net (total)	22	24

The Indian Gaming Regulatory Act (IGRA) established the National Indian Gaming Commission (NIGC) as an independent Federal regulatory agency within the Department of the Interior. The purpose of the IGRA and the NIGC is to support and promote tribal economic development, self-sufficiency and strong tribal governments through the operation of gaming on Indian lands. The Commission collaborates with Tribes to monitor and regulate gaming activities conducted on Indian Lands to ensure that gaming operations are conducted with integrity and that Tribes are the primary beneficiaries of gaming revenues. IGRA authorizes the Commission to assess and collect fees on tribal gaming revenues to cover agency operating costs.

Object Classification (in millions of dollars)

Identification code 014-5141-0-2-806	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	12	14
12.1	Civilian personnel benefits	4	5
21.0	Travel and transportation of persons	1	1
23.1	Rental payments to GSA	2	2
25.3	Other goods and services from Federal sources	3	3
99.9	Total new obligations, unexpired accounts	22	25

Employment Summary

Identification code 014-5141-0-2-806	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	113	135

DEPARTMENT-WIDE PROGRAMS

Federal Funds

OFFICE OF NATURAL RESOURCES REVENUE

For necessary expenses for management of the collection and disbursement of royalties, fees, and other mineral revenue proceeds, and for grants and cooperative agreements, as authorized by law, **[\$147,330,000] \$148,474,000**, to remain available until September 30, **[2021] 2022**; of which \$50,651,000 shall remain available until expended for the purpose of mineral revenue management activities: *Provided*, That notwithstanding any other provision of law, \$15,000 shall be available for re-funds of overpayments in connection with certain Indian leases in which the Secretary concurred with the claimed refund due, to pay amounts owed to Indian allottees or

OFFICE OF NATURAL RESOURCES REVENUE—Continued

tribes, or to correct prior unrecoverable erroneous payments. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–1113–0–1–306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Natural Resources Revenue	144	147	147
0100 Direct program activities, subtotal	144	147	147
0801 Office of Natural Resources Revenue (Reimbursable)	1	1	1
0900 Total new obligations, unexpired accounts	145	148	148
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	3	4
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	9	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	138	147	148
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	139	148	149
1930 Total budgetary resources available	148	152	154
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	4	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37	53	53
3010 New obligations, unexpired accounts	145	148	148
3020 Outlays (gross)	-128	-147	-143
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050 Unpaid obligations, end of year	53	53	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	37	53	53
3200 Obligated balance, end of year	53	53	57
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	139	148	149
Outlays, gross:			
4010 Outlays from new discretionary authority	92	116	116
4011 Outlays from discretionary balances	36	31	27
4020 Outlays, gross (total)	128	147	143
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
4180 Budget authority, net (total)	138	147	148
4190 Outlays, net (total)	127	146	142

The Office of Natural Resources Revenue (ONRR) is responsible for ensuring revenue from Federal and Indian mineral leases is effectively, efficiently, and accurately collected, accounted for, analyzed, audited, and disbursed to recipients in a timely manner. ONRR revenue distributions are made to States, Tribes, individual Indian mineral royalty owners, and U.S. Treasury accounts.

Object Classification (in millions of dollars)

Identification code 014–1113–0–1–306	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	60	60
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	62	62	62
12.1 Civilian personnel benefits	20	20	20
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
25.1 Advisory and assistance services	5	5	5
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	13	16	16
25.7 Operation and maintenance of equipment	24	24	24
31.0 Equipment	1	1	1

41.0	Grants, subsidies, and contributions	12	12	12
99.0	Direct obligations	144	147	147
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations, unexpired accounts	145	148	148

Employment Summary

Identification code 014–1113–0–1–306	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	607	622	619
2001	Reimbursable civilian full-time equivalent employment	3	5	5

PAYMENTS IN LIEU OF TAXES

For necessary expenses for payments authorized by Chapter 69 of title 31, United States Code, \$441,976,000.

Program and Financing (in millions of dollars)

Identification code 014–1114–0–1–806	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Payments in Lieu of Taxes (Direct)	516	500	442
0900	Total new obligations, unexpired accounts (object class 41.0)	516	500	442
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriations, discretionary			442
Appropriations, mandatory:				
1200	Appropriation	516	500	
1900	Budget authority (total)	516	500	442
1930	Total budgetary resources available	516	500	442
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	516	500	442
3020	Outlays (gross)	-516	-500	-442
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			442
Outlays, gross:				
4010	Outlays from new discretionary authority			442
Mandatory:				
4090	Budget authority, gross	516	500	
Outlays, gross:				
4100	Outlays from new mandatory authority	516	500	
4180	Budget authority, net (total)	516	500	442
4190	Outlays, net (total)	516	500	442

Public Law 94–565 (31 U.S.C. 6901–07), as amended, authorizes Payments in Lieu of Taxes ("PILT payments") to counties and other units of local government for lands within their boundaries administered by the Bureau of Land Management, the U.S. Forest Service, the National Park Service, the Fish and Wildlife Service, and certain other agencies. The PILT payment formula is based on a number of factors, including the amount of Federal land within an eligible unit of local government, its population, and certain other Federal payments the local government may receive.

From the inception of the PILT program in 1977 through 2007, PILT funding was subject to annual appropriations. The Emergency Economic Stabilization Act of 2008 provided a five-year (FYs 2008–2012) mandatory funding stream for PILT at the full authorization levels calculated using the existing PILT formula. The Moving Ahead for Progress in the 21st Century Act (P.L. 112–141) extended the mandatory authorization through 2013, and the Agricultural Act of 2014 (P.L. 113–79) extended the mandatory authorization through 2014. The Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act for Fiscal Year 2015 and the Consolidated and Further Continuing Appropriations Act (P.L. 113–235) extended PILT payment authority through 2015 with a combination of discretionary and mandatory funds. The Consolidated Appropriations Act

of 2016 (P.L. 114–113) provided discretionary PILT funding within the Office of the Secretary, Departmental Operations account to extend payment authority through 2016. The Consolidated Appropriations Act, 2017 (P.L. 115–31) provided discretionary PILT funding within Department-wide Programs. Congressional appropriations for 2018 (P.L. 115–141), 2019 (P.L. 116–6), and 2020 (P.L. 116–94) each provided PILT funding at the full authorized levels. The 2021 Budget continues to propose discretionary funding for PILT payments within Department-wide Programs.

Employment Summary

Identification code 014–1114–0–1–806	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	2	2

CENTRAL HAZARDOUS MATERIALS FUND

For necessary expenses of the Department of the Interior and any of its component offices and bureaus for the response action, including associated activities, performed pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.), **[\$10,010,000]** \$1,874,000, to remain available until expended.

[For an additional amount for a competitive grant program to fund radium decontamination and remediation at any land-grant university that has been subjected to such contamination as a result of actions of the former United States Bureau of Mines, \$12,000,000.] (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–1121–0–1–304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Remedial Action	7	22	2
0801 Central Hazardous Materials Fund (Reimbursable)	7	10	9
0900 Total new obligations, unexpired accounts	14	32	11
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	17	13
1021 Recoveries of prior year unpaid obligations	2	1	1
1050 Unobligated balance (total)	16	18	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	22	2
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	5	5
1900 Budget authority (total)	15	27	7
1930 Total budgetary resources available	31	45	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	13	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	10	19
3010 New obligations, unexpired accounts	14	32	11
3020 Outlays (gross)	-19	-22	-18
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-1	-1
3050 Unpaid obligations, end of year	10	19	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	10	19
3200 Obligated balance, end of year	10	19	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	27	7
Outlays, gross:			
4010 Outlays from new discretionary authority	5	13	3
4011 Outlays from discretionary balances	14	9	15
4020 Outlays, gross (total)	19	22	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-5	-5	-5
4180 Budget authority, net (total)	10	22	2
4190 Outlays, net (total)	14	17	13

The Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. 9601 et seq.) requires responsible parties, including Federal landowners, to investigate and clean up releases of hazardous substances. The Central Hazardous Materials Fund is used to fund remedial investigations and cleanup of hazardous waste sites for which the Department of the Interior is liable. The program also has authority to collect and retain amounts recovered from responsible parties within this account.

The 2021 Budget funds program management staff costs from annual discretionary appropriations and funds remedial investigations and cleanups using amounts recovered from responsible parties.

Object Classification (in millions of dollars)

Identification code 014–1121–0–1–304	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent - Direct	2	2	2
11.9 Total personnel compensation	2	2	2
25.2 Other services from non-Federal sources	3	4
25.3 Other goods and services from Federal sources	1	4
41.0 Grants, subsidies, and contributions	12
99.0 Direct obligations	6	22	2
99.0 Reimbursable obligations	6	9	9
99.5 Adjustment for rounding	2	1
99.9 Total new obligations, unexpired accounts	14	32	11

Employment Summary

Identification code 014–1121–0–1–304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	4	4

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION

NATURAL RESOURCE DAMAGE ASSESSMENT FUND

To conduct natural resource damage assessment, restoration activities, and onshore oil spill preparedness by the Department of the Interior necessary to carry out the provisions of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.), the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (33 U.S.C. 2701 et seq.), and 54 U.S.C. 100721 et seq., **[\$7,767,000]** \$4,709,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 014–1618–0–1–302	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1
Receipts:			
Current law:			
1130 Natural Resources Damages from Legal Actions	538	597	597
1140 Natural Resources Damages from Legal Actions, EOI	33	30	30
1199 Total current law receipts	571	627	627
1999 Total receipts	571	627	627
2000 Total: Balances and receipts	572	628	628
Appropriations:			
Current law:			
2101 Natural Resource Damage Assessment Fund	-571	-627	-627
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 014–1618–0–1–302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Damage assessments	7	6	6
0002 Prince William Sound restoration	5	3	3
0003 Other restoration	177	200	200
0004 Program management	5	4	4

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION—Continued
Program and Financing—Continued

Identification code 014-1618-0-1-302	2019 actual	2020 est.	2021 est.
0005 Onshore oil spill preparedness	1	1	1
0900 Total new obligations, unexpired accounts	195	214	214
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,556	1,918	2,309
1001 Discretionary unobligated balance brought fwd, Oct 1	10	11
1010 Unobligated balance transfer to other accts [013-4316]	-16	-20	-20
1010 Unobligated balance transfer to other accts [012-4368]	-4	-2	-2
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	1,537	1,897	2,288
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	8	5
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	571	627	627
1220 Appropriations transferred to other acct [013-4316]	-3	-6	-6
1220 Appropriations transferred to other acct [012-4368]	-1	-1
1220 Appropriations transferred to other acct [068-4365]	-2	-2
1260 Appropriations, mandatory (total)	568	618	618
1900 Budget authority (total)	576	626	623
1930 Total budgetary resources available	2,113	2,523	2,911
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,918	2,309	2,697
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	34	111
3010 New obligations, unexpired accounts	195	214	214
3020 Outlays (gross)	-187	-136	-198
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050 Unpaid obligations, end of year	34	111	126
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	34	111
3200 Obligated balance, end of year	34	111	126
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	8	5
Outlays, gross:			
4010 Outlays from new discretionary authority	3	6	4
4011 Outlays from discretionary balances	3	2	2
4020 Outlays, gross (total)	6	8	6
Mandatory:			
4090 Budget authority, gross	568	618	618
Outlays, gross:			
4100 Outlays from new mandatory authority	4	49	49
4101 Outlays from mandatory balances	177	79	143
4110 Outlays, gross (total)	181	128	192
4180 Budget authority, net (total)	576	626	623
4190 Outlays, net (total)	187	136	198
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,506	1,764	2,100
5001 Total investments, EOY: Federal securities: Par value	1,764	2,100	2,450

Under the Natural Resource Damage Assessment and Restoration Fund (Restoration Fund), natural resource damage assessments are performed to provide the basis for claims against responsible parties for the restoration of injured natural resources. Funds are appropriated to conduct damage assessments, provide restoration support, prepare for response to potential inland oil spills, and for program management. In addition, funds will be received for the restoration of damaged resources and other activities and for natural resource damage assessments from responsible parties through cooperative assessment agreements, negotiated settlements, or other legal actions by the Department of the Interior. Responsible parties may also provide in-kind services to restore injured natural resources.

Restoration activities include: 1) the replacement and enhancement of affected resources; 2) acquisition of equivalent resources and services; and, 3) long-term environmental monitoring and research programs directed to

the prevention, containment, and amelioration of hazardous substances and oil spill sites.

The Restoration Fund operates as a Department-wide program, incorporating the interdisciplinary expertise of its various bureaus and offices. Natural resource damage assessments and the restoration of injured natural resources are authorized by the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (33 U.S.C. 2701 et seq.), and the Act of July 27, 1990 (16 U.S.C. 19jj et seq.). Since 1992, amounts received by the United States and its State and tribal co-trustee partners from responsible parties for restoration or reimbursement in settlement of natural resource damages may be deposited in the Fund and shall accrue interest.

Object Classification (in millions of dollars)

Identification code 014-1618-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent - Direct	1	1	1
11.1 Full-time permanent - Allocation	7	7	7
11.3 Other than full-time permanent - Allocation	2	2	2
11.9 Total personnel compensation	10	10	10
12.1 Civilian personnel benefits - Allocation	3	3	3
21.0 Travel and transportation of persons - Allocation	1	1	1
25.2 Other services from non-Federal sources - Allocation	14	20	20
25.3 Other goods and services from Federal sources - Direct	1	1	1
25.3 Other goods and services from Federal sources - Allocation	1	1	1
26.0 Supplies and materials - Allocation	1	1	1
31.0 Equipment - Allocation	1	1	1
32.0 Land and structures - Allocation	1	2	2
41.0 Grants, subsidies, and contributions - Allocation	10	10	10
42.0 Insurance claims and indemnities - Direct	152	164	164
99.0 Direct obligations	195	214	214
99.9 Total new obligations, unexpired accounts	195	214	214

Employment Summary

Identification code 014-1618-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	15	17	11

EXXON VALDEZ RESTORATION PROGRAM

The 2021 Budget reflects the receipts, transfers, and mandatory spending by the Department of the Interior associated with the civil and criminal settlements resulting from the 1989 Exxon Valdez oil spill in the Prince William Sound and surrounding areas. Funding from the settlements, including interest, is provided to Federal and State of Alaska natural resource trustee agencies to restore the natural resources and services damaged by the spill. The Exxon Valdez Oil Spill Trustee Council consists of three State and three Federal trustees who oversee restoration of the injured ecosystem through the use of civil settlement funds. The criminal settlement funds are managed separately by the Federal and Alaska State governments, but are coordinated with the Council.

WILDLAND FIRE MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for fire preparedness, fire suppression operations, fire science and research, emergency rehabilitation, fuels management activities, and rural fire assistance by the Department of the Interior, **[\$952,338,000]** \$1,003,090,000, to remain available until expended, of which not to exceed \$18,427,000 shall be for the renovation or construction of fire facilities: *Provided*, That such funds are also available for repayment of advances to other appropriation accounts from which funds were previously transferred for such purposes: *Provided further*, That of the funds provided **[\$194,000,000]** \$227,895,000 is for fuels management activities: *Provided further*, That of the funds provided **[\$20,470,000]** \$20,470,000 is for burned area rehabilitation: *Provided further*, That persons hired

pursuant to 43 U.S.C. 1469 may be furnished subsistence and lodging without cost from funds available from this appropriation: *Provided further*, That notwithstanding 42 U.S.C. 1856d, sums received by a bureau or office of the Department of the Interior for fire protection rendered pursuant to 42 U.S.C. 1856 et seq., protection of United States property, may be credited to the appropriation from which funds were expended to provide that protection, and are available without fiscal year limitation: *Provided further*, That using the amounts designated under this title of this Act, the Secretary of the Interior may enter into procurement contracts, grants, or cooperative agreements, for fuels management activities, and for training and monitoring associated with such fuels management activities on Federal land, or on adjacent non-Federal land for activities that benefit resources on Federal land: *Provided further*, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: *Provided further*, That notwithstanding requirements of the Competition in Contracting Act, the Secretary, for purposes of fuels management activities, may obtain maximum practicable competition among: (1) local private, nonprofit, or cooperative entities; (2) Youth Conservation Corps crews, Public Lands Corps (Public Law 109–154), or related partnerships with State, local, or nonprofit youth groups; (3) small or micro-businesses; or (4) other entities that will hire or train locally a significant percentage, defined as 50 percent or more, of the project workforce to complete such contracts: *Provided further*, That in implementing this section, the Secretary shall develop written guidance to field units to ensure accountability and consistent application of the authorities provided herein: *Provided further*, That funds appropriated under this heading may be used to reimburse the United States Fish and Wildlife Service and the National Marine Fisheries Service for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) to consult and conference, as required by section 7 of such Act, in connection with wildland fire management activities: *Provided further*, That the Secretary of the Interior may use wildland fire appropriations to enter into leases of real property with local governments, at or below fair market value, to construct capitalized improvements for fire facilities on such leased properties, including but not limited to fire guard stations, retardant stations, and other initial attack and fire support facilities, and to make advance payments for any such lease or for construction activity associated with the lease: *Provided further*, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000 between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: *Provided further*, That funds provided for wildfire suppression shall be available for support of Federal emergency response actions: *Provided further*, That funds appropriated under this heading shall be available for assistance to or through the Department of State in connection with forest and rangeland research, technical information, and assistance in foreign countries, and, with the concurrence of the Secretary of State, shall be available to support forestry, wildland fire management, and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with United States and international organizations: *Provided further*, That of the funds provided under this heading \$383,657,000 is provided to meet the terms of section 251(b)(2)(F)(ii)(I) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–1125–0–1–302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Preparedness	331	333	370
0004 Fire suppression operations	441	504	471
0006 Fuels Management	197	194	225
0008 Burned area rehabilitation	21	20	17
0009 Facilities Construction and Maintenance	12	17	7
0010 Joint Fire Science	3	3	3
0799 Total direct obligations	1,005	1,071	1,093
0801 Fire reimbursable	58	60	61
0900 Total new obligations, unexpired accounts	1,063	1,131	1,154
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	86	96	154
1021 Recoveries of prior year unpaid obligations	25	25	25
1050 Unobligated balance (total)	111	121	179

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	553	568	619
1100 Appropriation - Fire Suppression	388	384	384
1121 Appropriations transferred from other acct [012–1106]	2		
1121 Appropriations transferred from other acct [012–1115]	46		
1121 Appropriations transferred from other acct [014–0130]		150	155
1160 Appropriation, discretionary (total)	989	1,102	1,158
Spending authority from offsetting collections, discretionary:			
1700 Collected	50	55	45
1701 Change in uncollected payments, Federal sources	9	7	3
1750 Spending auth from offsetting collections, disc (total)	59	62	48
1900 Budget authority (total)	1,048	1,164	1,206
1930 Total budgetary resources available	1,159	1,285	1,385
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	96	154	231
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	303	301	170
3010 New obligations, unexpired accounts	1,063	1,131	1,154
3020 Outlays (gross)	-1,040	-1,237	-1,299
3040 Recoveries of prior year unpaid obligations, unexpired	-25	-25	-25
3050 Unpaid obligations, end of year	301	170	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-25	-34	-41
3070 Change in uncollected pymts, Fed sources, unexpired	-9	-7	-3
3090 Uncollected pymts, Fed sources, end of year	-34	-41	-44
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	278	267	129
3200 Obligated balance, end of year	267	129	-44
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,048	1,164	1,206
Outlays, gross:			
4010 Outlays from new discretionary authority	761	1,131	986
4011 Outlays from discretionary balances	279	106	313
4020 Outlays, gross (total)	1,040	1,237	1,299
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-15	-15
4033 Non-Federal sources	-30	-40	-30
4040 Offsets against gross budget authority and outlays (total)	-50	-55	-45
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-9	-7	-3
4070 Budget authority, net (discretionary)	989	1,102	1,158
4080 Outlays, net (discretionary)	990	1,182	1,254
4180 Budget authority, net (total)	989	1,102	1,158
4190 Outlays, net (total)	990	1,182	1,254

Preparedness.—Funds the non-emergency and predictable aspects of the Department's wildland fire program, including the initial attack suppression action on wildfires. Preparedness includes readiness, operational planning, oversight, procurement, training, supervision, and deployment of wildland fire suppression personnel and equipment prior to wildland fire occurrence, and rural fire readiness, in which assistance is provided to local cooperators to enhance their capacity to protect remote communities and natural resources. It also includes activities related to program monitoring and evaluation, and integration of fire into land-use planning.

Suppression Operations.—Funds the emergency and unpredictable aspects of the Department's wildland fire management program. Suppression operations include the total spectrum of management actions taken on wildland fires in a safe, cost-effective manner, considering public benefits and values to be protected consistent with resource objectives and land management plans. Emergency actions taken during and immediately following a wildfire to stabilize the soil and structures to prevent erosion, floods, landslides, and further resource damage are included in this activity. Generally, emergency stabilization actions may be performed within one year of containment of a fire, however, exceptions to this time limit are allowed under certain circumstances. In fiscal years 2010 through 2017, funding for the ten-year average of inflation-adjusted suppression obligations was split between the FLAME Wildfire Suppression Reserve Fund and this appropriation. The 2021 Budget request fully funds suppression operations

WILDLAND FIRE MANAGEMENT—Continued

at the ten-year average of obligations as reported in the 2015 President's Budget, in accordance with the Consolidated Appropriations Act, 2018 (P.L. 115–141). The Act also amended the Balanced Budget and Emergency Deficit Control Act to provide additional new budget authority for fiscal years 2020 through 2027. This additional budget authority is provided in the Wildland Fire Suppression Operations Reserve Fund account, and made available subject to the requirements in P.L. 115–141. This additional new budget authority will help ensure that adequate resources are available to the Departments of the Interior and Agriculture to fight wildland fires, protect communities, and safeguard human life during the most severe wildland fire seasons. The DOI and Forest Service wildland fire management programs will continue to strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels.

Fuels Management.—Funds the application of fuels treatments aimed at mitigating risk to communities and their values, including areas in the wildland urban interface. This activity may also conduct treatments that improve the integrity and resilience of our forests and rangelands. The Fuels Management activity will contribute community adaption to fire and improve the ability to safely and appropriately respond to wildfire. Funding for the Fuels Management activity covers the planning, operational aspects, and monitoring of fuels treatments. The program will utilize such treatment methods as prescribed fire, mechanical, chemical, and biological treatments or a combination of methods.

Other Operations.—Funds all other aspects of the wildland fire management program, which includes Fire Facilities Construction and Maintenance, Burned Area Rehabilitation, and Joint Fire Science. The Fire Facilities Construction and Maintenance program funds construction and maintenance of facilities to house firefighters and equipment used in wildland firefighting and fuels management activities. The 2021 Budget does not request funds for Fire Facilities Construction and Maintenance. Funds for these facilities may be included in the bureaus' construction and deferred maintenance budgets. The Burned Area Rehabilitation program begins the restoration process for lands and resources damaged by wildland fires that would not return to fire adapted conditions without intervention. Soil stabilization and the introduction of native and other desirable plant species are employed for up to three years, or up to five years under certain circumstances, following containment of a fire to return severely-burned areas to appropriate fire regimes and resource conditions. The Joint Fire Science subactivity funds the Department's share of the Joint Fire Science program, an inter-agency partnership that sponsors and delivers applied research to assist field managers with fuels treatment, post-fire rehabilitation, smoke management and many other related topics.

Object Classification (in millions of dollars)

Identification code 014–1125–0–1–302	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent - direct	3	4	4
11.1 Full-time permanent - allocation	185	187	200
11.3 Other than full-time permanent - allocation	20	22	28
11.5 Other personnel compensation - allocation	81	82	79
11.8 Special personal services payments - allocation	20	20	20
11.9 Total personnel compensation	309	315	331
12.1 Civilian personnel benefits - direct	1	1	1
12.1 Civilian personnel benefits - allocation	90	90	95
21.0 Travel and transportation of persons - allocation	27	28	30
22.0 Transportation of things - allocation	2	2	2
23.2 Rental payments to others - allocation	3	3	3
23.3 Communications, utilities, and miscellaneous charges - allocation	17	17	18
23.3 Communications, utilities, and miscellaneous charges - direct	1	1	1
25.1 Advisory and assistance services - direct	5	5	5
25.1 Advisory and assistance services - allocation	2	2	2
25.2 Other services from non-Federal sources - allocation	303	359	351
25.2 Other services from non-Federal sources - direct	1	1	1
25.3 Other goods and services from Federal sources - direct	7	7	7

25.3	Other goods and services from Federal sources - allocation	75	75	75
25.4	Operation and maintenance of facilities - allocation	5	5	5
25.5	Research and development contracts - allocation	1	1	1
25.6	Medical care - allocation	2	2	3
25.7	Operation and maintenance of equipment - allocation	5	5	5
26.0	Supplies and materials - allocation	54	54	55
31.0	Equipment - allocation	16	17	18
32.0	Land and structures - allocation	9	9	9
41.0	Grants, subsidies, and contributions - allocation	70	72	75
99.0	Direct obligations	1,005	1,071	1,093
99.0	Reimbursable obligations	58	60	61
99.9	Total new obligations, unexpired accounts	1,063	1,131	1,154

Employment Summary

Identification code 014–1125–0–1–302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	28	28

FLAME WILDFIRE SUPPRESSION RESERVE FUND

In 2010 through 2017, amounts in the FLAME Fund included the portion of the ten-year average of suppression obligations, adjusted for inflation, intended to support the most severe, complex, and threatening fires. The Secretary is authorized to permit transfers from this account to cover these extreme fire events. The Secretary may also transfer funds in the event DOI has exhausted its suppression resources due to an active fire season. Funds have not been appropriated to the FLAME account since 2017, and remaining FLAME balances were transferred to the Wildland Fire Management account in 2018.

WILDFIRE SUPPRESSION OPERATIONS RESERVE FUND

(INCLUDING TRANSFERS OF FUNDS)

In addition to the amounts provided under the heading "Department of the Interior-Department-Wide Programs-Wildland Fire Management" for wildfire suppression operations, **[\$300,000,000]** \$310,000,000, to remain available until transferred, is additional new budget authority as specified for purposes of section 251(b)(2)(F) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That such amounts may be transferred to and merged with amounts made available under the headings "Department of Agriculture-Forest Service-Wildland Fire Management" and "Department of the Interior-Department-Wide Programs-Wildland Fire Management" for wildfire suppression operations in the fiscal year in which such amounts are transferred: *Provided further*, That amounts may be transferred to the "Wildland Fire Management" accounts in the Department of Agriculture or the Department of the Interior only upon the notification of the House and Senate Committees on Appropriations that all wildfire suppression operations funds appropriated under that heading in this and prior appropriations Acts to the agency to which the funds will be transferred will be obligated within 30 days: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority provided by law. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–0130–0–1–302	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			150
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		300	310
1120 Appropriations transferred to other acct [014–1125]		–150	–155
1160 Appropriation, discretionary (total)		150	155
1930 Total budgetary resources available		150	305
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		150	305
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		150	155
4180 Budget authority, net (total)		150	155

4190 Outlays, net (total)

Suppression Operations.—In addition to the amounts provided under the heading "Department of the Interior-Department-Wide Programs-Wildland Fire Management" for wildfire suppression operations, the Consolidated Appropriations Act, 2018 (P.L. 115–141) amended the Balanced Budget and Emergency Deficit Control Act to provide additional new budget authority for fiscal years 2020 through 2027. This budget authority is available for fire suppression requirements in a severe fire season when annual appropriations are close to depletion. The additional budget authority will help ensure adequate resources are available to the Departments of the Interior and Agriculture to fight wildland fires, protect communities, and safeguard human life during the most severe wildland fire seasons.

WORKING CAPITAL FUND

For the operation and maintenance of a departmental financial and business management system, information technology improvements of general benefit to the Department, cybersecurity, and the consolidation of facilities and operations throughout the Department, **[\$55,735,000] \$78,513,000**, to remain available until expended: *Provided*, That none of the funds appropriated in this Act or any other Act may be used to establish reserves in the Working Capital Fund account other than for accrued annual leave and depreciation of equipment without prior **[approval of]** *notice to* the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That the Secretary may assess reasonable charges to State, local and tribal government employees for training services provided by the National Indian Program Training Center, other than training related to Public Law 93–638: *Provided further*, That the Secretary may lease or otherwise provide space and related facilities, equipment or professional services of the National Indian Program Training Center to State, local and tribal government employees or persons or organizations engaged in cultural, educational, or recreational activities (as defined in section 3306(a) of title 40, United States Code) at the prevailing rate for similar space, facilities, equipment, or services in the vicinity of the National Indian Program Training Center: *Provided further*, That all funds received pursuant to the two preceding provisos shall be credited to this account, shall be available until expended, and shall be used by the Secretary for necessary expenses of the National Indian Program Training Center: *Provided further*, That the Secretary may enter into grants and cooperative agreements to support the Office of Natural Resource Revenue's collection and disbursement of royalties, fees, and other mineral revenue proceeds, as authorized by law. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 014–4523–0–4–306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enterprise Initiatives (Discretionary)	63	56	79
0002 Spectrum Category C (Mandatory)	1	27	4
0100 Direct program activities, subtotal	64	83	83
0799 Total direct obligations	64	83	83
0807 WCF Reimbursable Activities	927	1,196	1,196
0809 Reimbursable program activities, subtotal	927	1,196	1,196
0900 Total new obligations, unexpired accounts	991	1,279	1,279
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	258	262	229
1021 Recoveries of prior year unpaid obligations	49	66	66
1050 Unobligated balance (total)	307	328	295
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	56	56	79
Spending authority from offsetting collections, discretionary:			
1700 Collected	946	1,180	1,180
1701 Change in uncollected payments, Federal sources	–56	–56	–56
1750 Spending auth from offsetting collections, disc (total)	890	1,124	1,124
1900 Budget authority (total)	946	1,180	1,203
1930 Total budgetary resources available	1,253	1,508	1,498
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	262	229	219

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	374	348	348
3010 New obligations, unexpired accounts	991	1,279	1,279
3020 Outlays (gross)	–968	–1,213	–1,209
3040 Recoveries of prior year unpaid obligations, unexpired	–49	–66	–66
3050 Unpaid obligations, end of year	348	348	352
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–316	–260	–204
3070 Change in uncollected pymts, Fed sources, unexpired	56	56	56
3090 Uncollected pymts, Fed sources, end of year	–260	–204	–148
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	58	88	144
3200 Obligated balance, end of year	88	144	204

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	946	1,180	1,203
Outlays, gross:			
4010 Outlays from new discretionary authority	551	834	849
4011 Outlays from discretionary balances	416	352	356
4020 Outlays, gross (total)	967	1,186	1,205
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–932	–1,169	–1,169
4033 Non-Federal sources	–14	–11	–11
4040 Offsets against gross budget authority and outlays (total)	–946	–1,180	–1,180
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	56	56	56
4070 Budget authority, net (discretionary)	56	56	79
4080 Outlays, net (discretionary)	21	6	25
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1	27	4
4180 Budget authority, net (total)	56	56	79
4190 Outlays, net (total)	22	33	29

Memorandum (non-add) entries:

5096 Unexpired unavailable balance, SOY: Appropriations	3	3	3
5098 Unexpired unavailable balance, EOY: Appropriations	3	3	3

The Working Capital Fund finances services and activities that can be performed more effectively and efficiently in a centralized manner, including business services provided by the Interior Business Center (IBC). Activities financed through the fund include information technology and security, systems hosting and help desk services, Departmental news and information, aircraft services, central reproduction, supplies and health services, and safety and health initiatives. Departmental administrative systems hosted within the Fund include the Federal Personnel and Payroll System and the Financial and Business Management System (FBMS). The IBC provides financial management, acquisition, and human resources services as well as payroll services to other agencies as one of the Government-wide shared service providers selected by the Office of Personnel Management. Through the National Indian Program Training Center, a component of Department of the Interior (DOI) University, the Working Capital Fund provides training courses and other services related to Indian culture, law and programs to Federal Government employees. The appropriated portion of the Working Capital Fund includes funding for FBMS operations and maintenance, and activities related to improving the Department's cybersecurity capabilities. The 2021 Budget proposes to invest in projects focused on streamlining business processes and increasing shared services to enhance DOI's business functions.

Object Classification (in millions of dollars)

Identification code 014–4523–0–4–306	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	11	11	11
12.1 Civilian personnel benefits	4	4	4
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	24	24	33
25.3 Other goods and services from Federal sources	12	33	24
25.7 Operation and maintenance of equipment	9	9	9
99.0 Direct obligations	62	83	83

WORKING CAPITAL FUND—Continued
Object Classification—Continued

Identification code 014-4523-0-4-306	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	135	135	135
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	140	140	140
12.1 Civilian personnel benefits	125	125	125
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	30	30	30
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	83	83	83
25.1 Advisory and assistance services	68	68	68
25.2 Other services from non-Federal sources	209	293	293
25.3 Other goods and services from Federal sources	176	360	360
25.4 Operation and maintenance of facilities	13	13	13
25.5 Research and development contracts	1	1	1
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	58	58	58
26.0 Supplies and materials	7	7	7
31.0 Equipment	11	11	11
99.0 Reimbursable obligations	928	1,196	1,196
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	991	1,279	1,279

Employment Summary

Identification code 014-4523-0-4-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	86	90	90
2001 Reimbursable civilian full-time equivalent employment	1,252	1,283	1,284

INTERIOR FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 014-4529-0-4-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Reimbursable Activity	1,165	1,100	1,100
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	151	126	107
1021 Recoveries of prior year unpaid obligations	145	101	101
1050 Unobligated balance (total)	296	227	208
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,203	1,114	1,114
1701 Change in uncollected payments, Federal sources	-208	-134	-134
1750 Spending auth from offsetting collections, disc (total)	995	980	980
1900 Budget authority (total)	995	980	980
1930 Total budgetary resources available	1,291	1,207	1,188
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	126	107	88
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,105	868	728
3010 New obligations, unexpired accounts	1,165	1,100	1,100
3020 Outlays (gross)	-1,257	-1,139	-1,138
3040 Recoveries of prior year unpaid obligations, unexpired	-145	-101	-101
3050 Unpaid obligations, end of year	868	728	589
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-854	-646	-512
3070 Change in uncollected pymts, Fed sources, unexpired	208	134	134
3090 Uncollected pymts, Fed sources, end of year	-646	-512	-378
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	251	222	216
3200 Obligated balance, end of year	222	216	211

Budget authority and outlays, net:

Identification code 014-4529-0-4-306	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	995	980	980
Outlays, gross:			
4010 Outlays from new discretionary authority	229	274	323
4011 Outlays from discretionary balances	1,028	865	815
4020 Outlays, gross (total)	1,257	1,139	1,138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,203	-1,114	-1,114
4040 Offsets against gross budget authority and outlays (total)	-1,203	-1,114	-1,114
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	208	134	134
4080 Outlays, net (discretionary)	54	25	24
4180 Budget authority, net (total)			
4190 Outlays, net (total)	54	25	24

The Interior Franchise Fund (IFF) was established by the Government Management Reform Act (P.L. 103-356) as amended, and provides acquisition management and administrative services to the Department of the Interior and other Federal agencies on a competitive, fee basis. Operating costs for the IFF are funded fully by the fees collected in exchange for the services provided.

Object Classification (in millions of dollars)

Identification code 014-4529-0-4-306	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	18	16	16
12.1 Civilian personnel benefits	6	5	5
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	4	4
25.1 Advisory and assistance services	325	345	345
25.2 Other services from non-Federal sources	390	404	404
25.3 Other goods and services from Federal sources	35	33	33
25.4 Operation and maintenance of facilities		3	3
25.5 Research and development contracts	309	223	223
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	7	6	6
41.0 Grants, subsidies, and contributions	70	57	57
99.0 Reimbursable obligations	1,165	1,100	1,100
99.9 Total new obligations, unexpired accounts	1,165	1,100	1,100

Employment Summary

Identification code 014-4529-0-4-306	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	150	130	130

ADMINISTRATIVE PROVISION

There is hereby authorized for acquisition from available resources within the Working Capital Fund, aircraft which may be obtained by donation, purchase or through available excess surplus property: *Provided*, That existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
014-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	9		
014-181100 Rent and Bonuses from Land Leases for Resource Exploration and Extraction	127	58	52
014-202000 Royalties on Outer Continental Shelf Lands	4,675	2,878	3,318
014-202500 Arctic National Wildlife Refuge (ANWR) Oil and Gas Leasing Revenues, Federal Share		503	221
014-203200 Hardrock Mining Holding Fee	30	23	32
014-203900 Royalties on Natural Resources, not Otherwise Classified	420	352	353

014-222900	Sale of Timber, Wildlife and Other Natural Land Products, not Otherwise Classified	3	17	15
014-248400	Receipts from Grazing Fees, Federal Share	5	5	6
014-272930	Indian Loan Guarantee, Downward Reestimates of Subsidies	6	3
014-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	69	113	92
General Fund Offsetting receipts from the public	5,344	3,952	4,089	
Intragovernmental payments:				
014-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	-937	88	88
General Fund Intragovernmental payments	-937	88	88	

GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

EMERGENCY TRANSFER AUTHORITY-INTRA-BUREAU

SEC. 101. Appropriations made in this title shall be available for expenditure or transfer (within each bureau or office), with the approval of the Secretary, for the emergency reconstruction, replacement, or repair of aircraft, buildings, utilities, or other facilities or equipment damaged or destroyed by fire, flood, storm, or other unavoidable causes: *Provided*, That no funds shall be made available under this authority until funds specifically made available to the Department of the Interior for emergencies shall have been exhausted: *Provided further*, That it is the sense of Congress that all funds used pursuant to this section [must] *should* be replenished by a supplemental appropriation, [which must] *to* be requested as promptly as possible.

EMERGENCY TRANSFER AUTHORITY-DEPARTMENT-WIDE

SEC. 102. The Secretary may authorize the expenditure or transfer of any no year appropriation in this title, in addition to the amounts included in the budget programs of the several agencies, for the suppression or emergency prevention of wildland fires on or threatening lands under the jurisdiction of the Department of the Interior; for the emergency rehabilitation of burned-over lands under its jurisdiction; for emergency actions related to potential or actual earthquakes, floods, volcanoes, storms, or other unavoidable causes; for contingency planning subsequent to actual oil spills; for response and natural resource damage assessment activities related to actual oil spills or releases of hazardous substances into the environment; for the prevention, suppression, and control of actual or potential grasshopper and Mormon cricket outbreaks on lands under the jurisdiction of the Secretary, pursuant to the authority in section 417(b) of Public Law 106-224 (7 U.S.C. 7717(b)); for emergency reclamation projects under section 410 of Public Law 95-87; and shall transfer, from any no year funds available to the Office of Surface Mining Reclamation and Enforcement, such funds as may be necessary to permit assumption of regulatory authority in the event a primacy State is not carrying out the regulatory provisions of the Surface Mining Act: *Provided*, That appropriations made in this title for wildland fire operations shall be available for the payment of obligations incurred during the preceding fiscal year, and for reimbursement to other Federal agencies for destruction of vehicles, aircraft, or other equipment in connection with their use for wildland fire operations, with such reimbursement to be credited to appropriations currently available at the time of receipt thereof: *Provided further*, That for wildland fire operations, no funds shall be made available under this authority until the Secretary determines that funds appropriated for "wildland fire suppression" shall be exhausted within 30 days: *Provided further*, That it is the sense of Congress that all funds used pursuant to this section [must] *should* be replenished by a supplemental appropriation, [which must] *to* be requested as promptly as possible: *Provided further*, That such replenishment funds shall be used to reimburse, on a pro rata basis, accounts from which emergency funds were transferred.

AUTHORIZED USE OF FUNDS

SEC. 103. Appropriations made to the Department of the Interior in this title shall be available for services as authorized by section 3109 of title 5, United States Code, when authorized by the Secretary, in total amount not to exceed \$500,000; purchase and replacement of motor vehicles, including specially equipped law enforcement vehicles; hire, maintenance, and operation of aircraft; hire of passenger motor vehicles; purchase of reprints; payment for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and the payment of dues, when authorized by the Secretary, for library membership in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members.

AUTHORIZED USE OF FUNDS, INDIAN TRUST MANAGEMENT

SEC. 104. Appropriations made in this Act under the headings Bureau of Indian Affairs and Bureau of Indian Education, and [Office of the Special Trustee for

American Indians] *Bureau of Trust Funds Administration* and any unobligated balances from prior appropriations Acts made under the same headings shall be available for expenditure or transfer for Indian trust management and reform activities. Total funding for [historical accounting] *settlement support* activities shall not exceed amounts specifically designated in this Act for such purpose. The Secretary shall notify the House and Senate Committees on Appropriations within 60 days of the expenditure or transfer of any funds under this section, including the amount expended or transferred and how the funds will be used.

REDISTRIBUTION OF FUNDS, BUREAU OF INDIAN AFFAIRS

SEC. 105. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to redistribute any Tribal Priority Allocation funds, including tribal base funds, to alleviate tribal funding inequities by transferring funds to address identified, unmet needs, dual enrollment, overlapping service areas or inaccurate distribution methodologies. No tribe shall receive a reduction in Tribal Priority Allocation funds of more than 10 percent in fiscal year [2020] 2021. Under circumstances of dual enrollment, overlapping service areas or inaccurate distribution methodologies, the 10 percent limitation does not apply.

ELLIS, GOVERNORS, AND LIBERTY ISLANDS

SEC. 106. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to acquire lands, waters, or interests therein including the use of all or part of any pier, dock, or landing within the State of New York and the State of New Jersey, for the purpose of operating and maintaining facilities in the support of transportation and accommodation of visitors to Ellis, Governors, and Liberty Islands, and of other program and administrative activities, by donation or with appropriated funds, including franchise fees (and other monetary consideration), or by exchange; and the Secretary is authorized to negotiate and enter into leases, subleases, concession contracts or other agreements for the use of such facilities on such terms and conditions as the Secretary may determine reasonable.

OUTER CONTINENTAL SHELF INSPECTION FEES

SEC. 107. (a) In fiscal year [2020] 2021, the Secretary shall collect a nonrefundable inspection fee, which shall be deposited in the "Offshore Safety and Environmental Enforcement" account, from the designated operator for facilities subject to inspection under 43 U.S.C. 1348(c).

(b) Annual fees shall be collected for facilities that are above the waterline, excluding drilling rigs, and are in place at the start of the fiscal year. Fees for fiscal year [2020] 2021 shall be—

- (1) \$10,500 for facilities with no wells, but with processing equipment or gathering lines;
- (2) \$17,000 for facilities with 1 to 10 wells, with any combination of active or inactive wells; and
- (3) \$31,500 for facilities with more than 10 wells, with any combination of active or inactive wells.

(c) Fees for drilling rigs shall be assessed for all inspections completed in fiscal year [2020] 2021. Fees for fiscal year [2020] 2021 shall be—

- (1) \$30,500 per inspection for rigs operating in water depths of 500 feet or more; and
- (2) \$16,700 per inspection for rigs operating in water depths of less than 500 feet.

(d) Fees for inspection of well operations conducted via non-rig units as outlined in title 30 CFR 250 subparts D, E, F, and Q shall be assessed for all inspections completed in fiscal year [2020] 2021. Fees for fiscal year [2020] 2021 shall be—

- (1) \$13,260 per inspection for non-rig units operating in water depths of 2,500 feet or more;
- (2) \$11,530 per inspection for non-rig units operating in water depths between 500 and 2,499 feet; and
- (3) \$4,470 per inspection for non-rig units operating in water depths of less than 500 feet.

(e) The Secretary shall bill designated operators under subsection (b) quarterly, with payment required within 30 days of billing. The Secretary shall bill designated operators under subsection (c) within 30 days of the end of the month in which the inspection occurred, with payment required within 30 days of billing. The Secretary shall bill designated operators under subsection (d) with payment required by the end of the following quarter.

CONTRACTS AND AGREEMENTS FOR WILD HORSE AND BURRO HOLDING FACILITIES

SEC. 108. Notwithstanding any other provision of this Act, the Secretary of the Interior may enter into multiyear cooperative agreements with nonprofit organizations and other appropriate entities, and may enter into multiyear contracts in accordance with the provisions of section 3903 of title 41, United States Code (except that the 5-year term restriction in subsection (a) shall not apply), for the long-term care and maintenance of excess wild free roaming horses and burros by such organizations or entities on private land. Such cooperative agreements and contracts may not exceed 10 years, subject to renewal at the discretion of the Secretary.

MASS MARKING OF SALMONIDS

SEC. 109. The United States Fish and Wildlife Service shall, in carrying out its responsibilities to protect threatened and endangered species of salmon, implement a system of mass marking of salmonid stocks, intended for harvest, that are released from federally operated or federally financed hatcheries including but not limited to fish releases of coho, chinook, and steelhead species. Marked fish must have a visible mark that can be readily identified by commercial and recreational fishers.]

CONTRACTS AND AGREEMENTS WITH INDIAN AFFAIRS

SEC. 110. Notwithstanding any other provision of law, during fiscal year [2020] 2021, in carrying out work involving cooperation with State, local, and tribal governments or any political subdivision thereof, Indian Affairs may record obligations against accounts receivable from any such entities, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year.

DEPARTMENT OF THE INTERIOR EXPERIENCED SERVICES PROGRAM

SEC. 111. (a) Notwithstanding any other provision of law relating to Federal grants and cooperative agreements, the Secretary of the Interior is authorized to make grants to, or enter into cooperative agreements with, private nonprofit organizations designated by the Secretary of Labor under title V of the Older Americans Act of 1965 to utilize the talents of older Americans in programs authorized by other provisions of law administered by the Secretary and consistent with such provisions of law.

(b) Prior to awarding any grant or agreement under subsection (a), the Secretary shall ensure that the agreement would not—

- (1) result in the displacement of individuals currently employed by the Department, including partial displacement through reduction of non-overtime hours, wages, or employment benefits;
- (2) result in the use of an individual under the Department of the Interior Experienced Services Program for a job or function in a case in which a Federal employee is in a layoff status from the same or substantially equivalent job within the Department; or
- (3) affect existing contracts for services.

OBLIGATION OF FUNDS

SEC. 112. Amounts appropriated by this Act to the Department of the Interior shall be available for obligation and expenditure not later than 60 days after the date of enactment of this Act.

EXTENSION OF AUTHORITIES

SEC. 113. (a) Section 512 of title V of division J of Public Law 108–447 is amended by striking "on the date that is 15 years after the date that funds are first made available for this title." and inserting "after September 30, 2022."

(b) Section 608 of title VI of division J of Public Law 108–447 is amended by striking "the expiration of the 15-year period beginning on the date that funds are first made available for this title." and inserting "September 30, 2022."

(c) Section 109 of title I of Public Law 103–449, as amended by Public Law 111–11, title VIII section 8201(c), is further amended by striking "\$15,000,000" and inserting "\$17,000,000".

(d) Section 608(a) of division II of Public Law 104–333, as amended by Public Law 110–229 section 461, is further amended by striking "\$15,000,000" and inserting "\$17,000,000".

(e) Section 810(a)(1) of title VIII of division B of appendix D of Public Law 106–554, as amended by Public Law 115–31, division G, title I section 115(b), is further amended by striking "\$12,000,000" and inserting "\$14,000,000".]

SEPARATION OF ACCOUNTS

SEC. 114. The Secretary of the Interior, in order to implement an orderly transition to separate accounts of the Bureau of Indian Affairs and the Bureau of Indian Education, may transfer funds among and between the successor offices and bureaus affected by the reorganization only in conformance with the reprogramming guidelines described in this Act.]

PAYMENTS IN LIEU OF TAXES (PILT)

SEC. 115. Section 6906 of title 31, United States Code, shall be applied by substituting "fiscal year 2020" for "fiscal year 2019".]

SAGE-GROUSE

SEC. 116. None of the funds made available by this or any other Act may be used by the Secretary of the Interior to write or issue pursuant to section 4 of the Endangered Species Act of 1973 (16 U.S.C. 1533)—

- (1) a proposed rule for greater sage-grouse (*Centrocercus urophasianus*);
- (2) a proposed rule for the Columbia basin distinct population segment of greater sage-grouse.

DISCLOSURE OF DEPARTURE OR ALTERNATE PROCEDURE APPROVAL

SEC. 117. (a) Subject to subsection (b), beginning no later than 180 days after the enactment of this Act, in any case in which the Bureau of Safety and Environmental

Enforcement or the Bureau of Ocean Energy Management prescribes or approves any departure or use of alternate procedure or equipment, in regards to a plan or permit, under 30 C.F.R. 585.103, 30 C.F.R. 550.141; 30 C.F.R. 550.142; 30 C.F.R. 250.141, or 30 C.F.R. 250.142, the head of such bureau shall post a description of such departure or alternate procedure or equipment use approval on such bureau's publicly available website not more than 15 business days after such issuance.

(b) The head of each bureau may exclude confidential business information.

AFFILIATED AREA

SEC. 114. Section 5 of Public Law 95–348 is amended by striking "not to exceed \$3,000,000" and inserting "such sums as may be necessary for the purposes of this section".

INTERAGENCY MOTOR POOL

SEC. 115. Notwithstanding any other provision of law or Federal regulation, Federally-recognized Indian tribes or authorized tribal organizations that receive Tribally-Controlled School Grants pursuant to Public Law 100–297 may obtain interagency motor vehicles and related services for performance of any activities carried out under such grants to the same extent as if they were contracting under the Indian Self-Determination and Education Assistance Act.

REAUTHORIZATION OF FOREST ECOSYSTEM HEALTH AND RECOVERY FUND

SEC. 116. Title I of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2010 (Public Law 111–88), as amended by section 117 of Division F of Public Law 113–235, is further amended in the text under the heading "FOREST ECOSYSTEM HEALTH AND RECOVERY FUND" by striking "2020" each place it appears and inserting "2025".

DECOMMISSIONING ACCOUNT

SEC. 117. The fifth and sixth provisos under the amended heading "Royalty and Offshore Minerals Management" for the Minerals Management Service in Public Law 101–512 (104 Stat. 1926, as amended) (43 U.S.C. 1338a) are further amended by striking and replacing them with— "Notwithstanding section 3302 of title 31, any moneys hereafter received as a result of the forfeiture of a bond or other security by an Outer Continental Shelf permittee, lessee, or right-of-way holder that does not fulfill the requirements of its permit, lease, or right-of-way or does not comply with the regulations of the Secretary, or as a bankruptcy distribution or settlement associated with such failure or noncompliance, shall be credited to a separate account established in the Treasury for decommissioning activities and shall be available to the Bureau of Ocean Energy Management without further appropriation or fiscal year limitation to cover the cost to the United States of any improvement, protection, rehabilitation, or decommissioning work rendered necessary by the action or inaction that led to the forfeiture or bankruptcy distribution or settlement, to remain available until expended: Provided further, That amounts deposited into the decommissioning account may be allocated to the Bureau of Safety and Environmental Enforcement for such costs: Provided further, That any moneys received for such costs currently held in the Ocean Energy Management account shall be transferred to the decommissioning account: Provided further, That any portion of the moneys so credited shall be returned to the bankruptcy estate, permittee, lessee, or right-of-way holder to the extent that the money is in excess of the amount expended in performing the work necessitated by the action or inaction which led to their receipt or, if the bond or security was forfeited for failure to pay the civil penalty, in excess of the civil penalty imposed.".

INVASIVE SPECIES COUNCIL

SEC. 118. Appropriations contained in this or any other Act to the heads of agencies that are members of the Invasive Species Council as established in Executive Order No. 13112 may be used to support operations of the Council. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

GENERAL PROVISIONS—DEPARTMENT OF THE INTERIOR

SEC. 201. (a) None of the funds provided in title II of this Act for Water and Related Resources [, or provided by previous or subsequent appropriations Acts to the agencies or entities funded in title II of this Act for Water and Related Resources] that remain available for obligation or expenditure in fiscal year 2020, shall be available for obligation or expenditure through a reprogramming of funds that—

- (1) initiates or creates a new program, project, or activity;
- (2) eliminates a program, project, or activity;
- (3) increases funds for any program, project, or activity for which funds have been denied or restricted by this Act, unless [prior approval is received from] notice has been transmitted to the Committees on Appropriations of both Houses of Congress;
- (4) restarts or resumes any program, project or activity for which funds are not provided in this Act, unless [prior approval is received from] notice has

been transmitted to the Committees on Appropriations of both Houses of Congress;

(5) transfers funds in excess of the following limits, unless [prior approval is received from] *notice has been transmitted to the Committees on Appropriations of both Houses of Congress*:

(A) 15 percent for any program, project or activity for which \$2,000,000 or more is available at the beginning of the fiscal year; or

(B) \$400,000 for any program, project or activity for which less than \$2,000,000 is available at the beginning of the fiscal year;

(6) transfers more than \$500,000 from either the Facilities Operation, Maintenance, and Rehabilitation category or the Resources Management and Development category to any program, project, or activity in the other category, unless [prior approval is received from] *notice has been transmitted to the Committees on Appropriations of both Houses of Congress*; or

(7) transfers, where necessary to discharge legal obligations of the Bureau of Reclamation, more than \$5,000,000 to provide adequate funds for settled contractor claims, increased contractor earnings due to accelerated rates of operations, and real estate deficiency judgments, unless [prior approval is received from] *notice has been transmitted to the Committees on Appropriations of both Houses of Congress*.

(b) Subsection (a)(5) shall not apply to any transfer of funds within the Facilities Operation, Maintenance, and Rehabilitation category.

(c) For purposes of this section, the term "transfer" means any movement of funds into or out of a program, project, or activity.

(d) The Bureau of Reclamation shall submit reports on a quarterly basis to the Committees on Appropriations of both Houses of Congress detailing all the funds reprogrammed between programs, projects, activities, or categories of funding. The first quarterly report shall be submitted not later than 60 days after the date of enactment of this Act.

SEC. 202. (a) None of the funds appropriated or otherwise made available by this Act may be used to determine the final point of discharge for the interceptor drain for the San Luis Unit until development by the Secretary of the Interior and the State of California of a plan, which shall conform to the water quality standards of the State of California as approved by the Administrator of the Environmental Protection Agency, to minimize any detrimental effect of the San Luis drainage waters.

(b) The costs of the Kesterson Reservoir Cleanup Program and the costs of the San Joaquin Valley Drainage Program shall be classified by the Secretary of the Interior as reimbursable or nonreimbursable and collected until fully repaid pursuant to the "Cleanup Program-Alternative Repayment Plan" and the "SJVDP-Alternative Repayment Plan" described in the report entitled "Repayment Report, Kesterson Reservoir Cleanup Program and San Joaquin Valley Drainage Program, February 1995", prepared by the Department of the Interior, Bureau of Reclamation. Any future obligations of funds by the United States relating to, or providing for, drainage service or drainage studies for the San Luis Unit shall be fully reimbursable by San Luis Unit beneficiaries of such service or studies pursuant to Federal reclamation law.

[SEC. 203. Section 9504(e) of the Omnibus Public Land Management Act of 2009 (42 U.S.C. 10364(e)) is amended by striking "\$480,000,000" and inserting "\$530,000,000".]

[SEC. 204. Title I of Public Law 108–361 (the CALFED Bay-Delta Authorization Act) (118 Stat. 1681), as amended by section 4007(k) of Public Law 114–322, is amended by striking "2019" each place it appears and inserting "2020".]

[SEC. 205. Section 9106(g)(2) of Public Law 111–11 (Omnibus Public Land Management Act of 2009) is amended by striking "2019" and inserting "2020".]

[SEC. 206. The Claims Resolution Act of 2010 (Public Law 111–291) is amended—

(1) in section 309(d), by striking "2021" each place it appears and inserting "2023"; and

(2) in section 311(h), by striking "2021" and inserting "2023".]

(*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

TITLE IV—GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

[RESTRICTION ON USE OF FUNDS]

[SEC. 401. No part of any appropriation contained in this Act shall be available for any activity or the publication or distribution of literature that in any way tends to promote public support or opposition to any legislative proposal on which Congressional action is not complete other than to communicate to Members of Congress as described in 18 U.S.C. 1913.]

OBLIGATION OF APPROPRIATIONS

SEC. [402]401. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

DISCLOSURE OF ADMINISTRATIVE EXPENSES

SEC. [403]402. The amount and basis of estimated overhead charges, deductions, reserves or holdbacks, including working capital fund and cost pool charges, from programs, projects, activities and subactivities to support government-wide, departmental, agency, or bureau administrative functions or headquarters, regional, or central operations shall be presented in annual budget justifications [and subject to approval by] to the Committees on Appropriations of the House of Representatives and the Senate. Changes to such estimates *also* shall be presented [to the Committees on Appropriations for approval].

MINING APPLICATIONS

SEC. [404]403. (a) LIMITATION OF FUNDS.—None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to accept or process applications for a patent for any mining or mill site claim located under the general mining laws.

(b) EXCEPTIONS.—Subsection (a) shall not apply if the Secretary of the Interior determines that, for the claim concerned (1) a patent application was filed with the Secretary on or before September 30, 1994; and (2) all requirements established under sections 2325 and 2326 of the Revised Statutes (30 U.S.C. 29 and 30) for vein or lode claims, sections 2329, 2330, 2331, and 2333 of the Revised Statutes (30 U.S.C. 35, 36, and 37) for placer claims, and section 2337 of the Revised Statutes (30 U.S.C. 42) for mill site claims, as the case may be, were fully complied with by the applicant by that date.

(c) REPORT.—On September 30, [2021] 2022, the Secretary of the Interior shall file with the House and Senate Committees on Appropriations and the Committee on Natural Resources of the House and the Committee on Energy and Natural Resources of the Senate a report on actions taken by the Department under the plan submitted pursuant to section 314(c) of the Department of the Interior and Related Agencies Appropriations Act, 1997 (Public Law 104–208).

(d) MINERAL EXAMINATIONS.—In order to process patent applications in a timely and responsible manner, upon the request of a patent applicant, the Secretary of the Interior shall allow the applicant to fund a qualified third-party contractor to be selected by the Director of the Bureau of Land Management to conduct a mineral examination of the mining claims or mill sites contained in a patent application as set forth in subsection (b). The Bureau of Land Management shall have the sole responsibility to choose and pay the third-party contractor in accordance with the standard procedures employed by the Bureau of Land Management in the retention of third-party contractors.

CONTRACT SUPPORT COSTS, PRIOR YEAR LIMITATION

SEC. [405]404. Sections 405 and 406 of division F of the Consolidated and Further Continuing Appropriations Act, 2015 (Public Law 113–235) shall continue in effect in fiscal year [2020] 2021.

CONTRACT SUPPORT COSTS, FISCAL YEAR 2021 LIMITATION

SEC. [406]405. Amounts provided by this Act for fiscal year [2020]2021 under the headings "Department of Health and Human Services, Indian Health Service, Contract Support Costs" and "Department of the Interior, Bureau of Indian Affairs and Bureau of Indian Education, Contract Support Costs" are the only amounts available for contract support costs arising out of self-determination or self-governance contracts, grants, compacts, or annual funding agreements for fiscal year [2020]2021 with the Bureau of Indian Affairs, Bureau of Indian Education, and the Indian Health Service: *Provided*, That such amounts provided by this Act are not available for payment of claims for contract support costs for prior years, or for repayments of payments for settlements or judgments awarding contract support costs for prior years.

FOREST MANAGEMENT PLANS

SEC. [407]406. The Secretary of Agriculture shall not be considered to be in violation of subparagraph 6(f)(5)(A) of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604(f)(5)(A)) solely because more than 15 years have passed without revision of the plan for a unit of the National Forest System. Nothing in this section exempts the Secretary from any other requirement of the Forest and Rangeland Renewable Resources Planning Act (16 U.S.C. 1600 et seq.) or any other law: *Provided*, That if the Secretary is not acting expeditiously and in good faith, within the funding available, to revise a plan for a unit of the National Forest System, this section shall be void with respect to such plan and a court of proper jurisdiction may order completion of the plan on an accelerated basis.

PROHIBITION WITHIN NATIONAL MONUMENTS

SEC. [408]407. No funds provided in this Act may be expended to conduct pre-leasing, leasing and related activities under either the Mineral Leasing Act (30 U.S.C.

181 et seq.) or the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.) within the boundaries of a National Monument established pursuant to the Act of June 8, 1906 (16 U.S.C. 431 et seq.) as such boundary existed on January 20, 2001, except where such activities are allowed under the Presidential proclamation establishing such monument.

LIMITATION ON TAKINGS

SEC. [409]408. Unless otherwise provided herein, no funds appropriated in this Act for the acquisition of lands or interests in lands may be expended for the filing of declarations of taking or complaints in condemnation without [the approval of] notice to the House and Senate Committees on Appropriations: *Provided*, That this provision shall not apply to funds appropriated to implement the Everglades National Park Protection and Expansion Act of 1989, or to funds appropriated for Federal assistance to the State of Florida to acquire lands for Everglades restoration purposes.

PROHIBITION ON NO-BID CONTRACTS

SEC. [410]409. None of the funds appropriated or otherwise made available by this Act to executive branch agencies may be used to enter into any Federal contract unless such contract is entered into in accordance with the requirements of Chapter 33 of title 41, United States Code, or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless—

- (1) Federal law specifically authorizes a contract to be entered into without regard for these requirements, including formula grants for States, or federally recognized Indian tribes;
- (2) such contract is authorized by the Indian Self-Determination and Education Assistance Act (Public Law 93–638, 25 U.S.C. 450 et seq.) or by any other Federal laws that specifically authorize a contract within an Indian tribe as defined in section 4(e) of that Act (25 U.S.C. 450b(e)); or
- (3) such contract was awarded prior to the date of enactment of this Act.

POSTING OF REPORTS

SEC. [411]410. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

- (b) Subsection (a) shall not apply to a report if—
 - (1) the public posting of the report compromises national security; or
 - (2) the report contains proprietary information.
- (c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

NATIONAL ENDOWMENT FOR THE ARTS GRANT GUIDELINES

[SEC. 412. Of the funds provided to the National Endowment for the Arts—

- (1) The Chairperson shall only award a grant to an individual if such grant is awarded to such individual for a literature fellowship, National Heritage Fellowship, or American Jazz Masters Fellowship.
- (2) The Chairperson shall establish procedures to ensure that no funding provided through a grant, except a grant made to a State or local arts agency, or regional group, may be used to make a grant to any other organization or individual to conduct activity independent of the direct grant recipient. Nothing in this subsection shall prohibit payments made in exchange for goods and services.
- (3) No grant shall be used for seasonal support to a group, unless the application is specific to the contents of the season, including identified programs or projects.]

NATIONAL ENDOWMENT FOR THE ARTS PROGRAM PRIORITIES

[SEC. 413. (a) In providing services or awarding financial assistance under the National Foundation on the Arts and the Humanities Act of 1965 from funds appropriated under this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that serve underserved populations.

(b) In this section:

(1) The term "underserved population" means a population of individuals, including urban minorities, who have historically been outside the purview of arts and humanities programs due to factors such as a high incidence of income below the poverty line or to geographic isolation.

(2) The term "poverty line" means the poverty line (as defined by the Office of Management and Budget, and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved.

(c) In providing services and awarding financial assistance under the National Foundation on the Arts and Humanities Act of 1965 with funds appropriated by this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects,

productions, workshops, or programs that will encourage public knowledge, education, understanding, and appreciation of the arts.

(d) With funds appropriated by this Act to carry out section 5 of the National Foundation on the Arts and Humanities Act of 1965—

- (1) the Chairperson shall establish a grant category for projects, productions, workshops, or programs that are of national impact or availability or are able to tour several States;
- (2) the Chairperson shall not make grants exceeding 15 percent, in the aggregate, of such funds to any single State, excluding grants made under the authority of paragraph (1);
- (3) the Chairperson shall report to the Congress annually and by State, on grants awarded by the Chairperson in each grant category under section 5 of such Act; and
- (4) the Chairperson shall encourage the use of grants to improve and support community-based music performance and education.]

STATUS OF BALANCES OF APPROPRIATIONS

SEC. [414]411. The Department of the Interior, the Environmental Protection Agency, the Forest Service, and the Indian Health Service shall provide the Committees on Appropriations of the House of Representatives and Senate quarterly reports on the status of balances of appropriations including all uncommitted, committed, and unobligated funds in each program and activity within 60 days of enactment of this Act.

ALYCE SPOTTED BEAR AND WALTER SOBOLLEFF COMMISSION ON NATIVE CHILDREN

[SEC. 415. Section 3(a) of the Alyce Spotted Bear and Walter Soboleff Commission on Native Children Act (Public Law 114–244) is amended by striking "in the Office of Tribal Justice of the Department of Justice.".]

FOREST SERVICE COMMUNICATIONS SITE ADMINISTRATION

[SEC. 416. Subsection (f) of section 8705 of the Agriculture Improvement Act of 2018 (Public Law 115–334) is amended by striking paragraph (2) and inserting the following:

"(2) REQUIREMENTS FOR FEES COLLECTED.—Fees collected by the Forest Service under subsection (c)(3) shall be—

- "(A) collected only to the extent provided in advance in appropriations Acts;
- "(B) based on the costs described in subsection (c)(3); and
- "(C) competitively neutral, technology neutral, and nondiscriminatory with respect to other users of the communications site.".]

EXTENSION OF GRAZING PERMITS

SEC. [417]412. The terms and conditions of section 325 of Public Law 108–108 (117 Stat. 1307), regarding grazing permits issued by the Forest Service on any lands not subject to administration under section 402 of the Federal Lands Policy and Management Act (43 U.S.C. 1752), shall remain in effect for fiscal year [2020] 2021.

FUNDING PROHIBITION

SEC. [418]413. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network is designed to block access to pornography websites.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

HUMANE TRANSFER AND TREATMENT OF ANIMALS

SEC. [419]414. (a) Notwithstanding any other provision of law, the Secretary of the Interior, with respect to land administered by the Bureau of Land Management, or the Secretary of Agriculture, with respect to land administered by the Forest Service (referred to in this section as the "Secretary concerned"), may transfer excess wild horses and burros that have been removed from land administered by the Secretary concerned to other Federal, State, [and] local, and Tribal government agencies for use as work animals.

(b) The Secretary concerned may make a transfer under subsection (a) immediately on the request of a Federal, State, [or] local, or Tribal government agency.

(c) An excess wild horse or burro transferred under subsection (a) shall lose status as a wild free-roaming horse or burro (as defined in section 2 of Public Law 92–195 (commonly known as the "Wild Free-Roaming Horses and Burros Act") (16 U.S.C. 1332)).

(d) A Federal, State, [or] local, or Tribal government agency receiving an excess wild horse or burro pursuant to subsection (a) shall not—

- (1) destroy the horse or burro in a manner that results in the destruction of the horse or burro into a commercial product;
 - (2) sell or otherwise transfer the horse or burro in a manner that results in the destruction of the horse or burro for processing into a commercial product; or
 - (3) euthanize the horse or burro, except on the recommendation of a licensed veterinarian in a case of severe injury, illness, or advanced age.
- (e) Amounts appropriated by this Act shall not be available for—

(1) the destruction of any healthy, unadopted, and wild horse or burro under the jurisdiction of the Secretary concerned (including a contractor); or

(2) the sale of a wild horse or burro that results in the destruction of the wild horse or burro for processing into a commercial product.

(f) *Consistent with the Wild Free-Roaming Horses and Burros Act, the Secretary of the Interior shall implement a program that uses a combination of techniques or treatments to achieve appropriate management levels for wild horses and burros—*

(1) *Techniques and treatments should include removals, humane temporary fertility control, humane non-lethal surgical sterilization, humane non-surgical sterilization, and humane chemical sterilization techniques.*

(2) *Humane temporary fertility control techniques include but are not limited to short-term immunocontraceptive vaccines and intrauterine devices.*

(3) *Humane, non-lethal sterilization techniques include, but are not limited to, long-term immunocontraceptive vaccines, castration, tubal ligation, occlusion of the oviduct, and ovariectomy.*

FOREST SERVICE FACILITY REALIGNMENT AND ENHANCEMENT AUTHORIZATION EXTENSION

SEC. [420]415. Section 503(f) of Public Law 109–54 (16 U.S.C. 580d note) shall be applied by substituting "September 30, [2020] 2021" for "September 30, 2019".

【USE OF AMERICAN IRON AND STEEL】

【SEC. 421. (a)

(1) None of the funds made available by a State water pollution control revolving fund as authorized by section 1452 of the Safe Drinking Water Act (42 U.S.C. 300j–12) shall be used for a project for the construction, alteration, maintenance, or repair of a public water system or treatment works unless all of the iron and steel products used in the project are produced in the United States.

(2) In this section, the term "iron and steel" products means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.

(b) Subsection (a) shall not apply in any case or category of cases in which the Administrator of the Environmental Protection Agency (in this section referred to as the "Administrator") finds that—

(1) applying subsection (a) would be inconsistent with the public interest;

(2) iron and steel products are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or

(3) inclusion of iron and steel products produced in the United States will increase the cost of the overall project by more than 25 percent.

(c) If the Administrator receives a request for a waiver under this section, the Administrator shall make available to the public on an informal basis a copy of the request and information available to the Administrator concerning the request, and shall allow for informal public input on the request for at least 15 days prior to making a finding based on the request. The Administrator shall make the request and accompanying information available by electronic means, including on the official public Internet Web site of the Environmental Protection Agency.

(d) This section shall be applied in a manner consistent with United States obligations under international agreements.

(e) The Administrator may retain up to 0.25 percent of the funds appropriated in this Act for the Clean and Drinking Water State Revolving Funds for carrying out the provisions described in subsection (a)(1) for management and oversight of the requirements of this section.】

【RESCISSION OF FUNDS】

【SEC. 422. Any amounts made available for fiscal year 2020 pursuant to section 8705(f)(2) of Public Law 115–334 as amended by this Act, are hereby rescinded.】

【JOHN F. KENNEDY CENTER REAUTHORIZATION】

【SEC. 423. Section 13 of the John F. Kennedy Center Act (20 U.S.C. 76r) is amended by striking subsections (a) and (b) and inserting the following:

"(a) MAINTENANCE, REPAIR, AND SECURITY.—There is authorized to be appropriated to the Board to carry out section 4(a)(1)(H), \$25,690,000 for fiscal year 2020.

"(b) CAPITAL PROJECTS.—There is authorized to be appropriated to the Board to carry out subparagraphs (F) and (G) of section 4(a)(1), \$17,800,000 for fiscal year 2020."】

LOCAL COOPERATOR TRAINING AGREEMENTS AND TRANSFERS OF EXCESS EQUIPMENT AND SUPPLIES FOR WILDFIRES

SEC. [424]416. The Secretary of the Interior is authorized to enter into grants and cooperative agreements with volunteer fire departments, rural fire departments, rangeland fire protection associations, and similar organizations to provide for wildland fire training and equipment, including supplies and communication devices. Notwithstanding 121(c) of title 40, United States Code, or section 521 of title 40, United States Code, the Secretary is further authorized to transfer title to excess

Department of the Interior firefighting equipment no longer needed to carry out the functions of the Department's wildland fire management program to such organizations.

RECREATION FEES

SEC. [425]417. Section 810 of the Federal Lands Recreation Enhancement Act (16 U.S.C. 6809) shall be applied by substituting "October 1, [2021] 2023" for "September 30, 2019".

【REPROGRAMMING GUIDELINES】

【SEC. 426. None of the funds made available in this Act, in this and prior fiscal years, may be reprogrammed without the advance approval of the House and Senate Committees on Appropriations in accordance with the reprogramming procedures contained in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).】

【PROJECT INFORMATION】

【SEC. 427. (a) Within 60 days of the submission of the fiscal year 2021 budget or by April 1, 2020, whichever comes first, the Secretary of the Interior and the Secretary of Agriculture shall submit to the Committees on Appropriations of the House of Representatives and the Senate prioritized and detailed lists of Federal land acquisition projects, and Forest Legacy projects, that have been identified by each land management Agency.

(b) The Federal land acquisition project lists required by each Agency in subsection (a) shall include individual projects for the National Park Service, the U.S. Fish and Wildlife Service, the Bureau of Land Management, and the U.S. Forest Service, and shall total for each agency no less than 150 percent of the amount enacted for that agency for the previous fiscal year.】

LOCAL CONTRACTORS

SEC. [428]418. Section 412 of Division E of Public Law 112–74 shall be applied by substituting "fiscal year [2020] 2021" for "fiscal year 2019".

SHASTA-TRINITY MARINA FEE AUTHORITY AUTHORIZATION EXTENSION

SEC. [429]419. Section 422 of division F of Public Law 110–161 (121 Stat 1844), as amended, shall be applied by substituting "fiscal year [2020] 2021" for "fiscal year 2019".

INTERPRETIVE ASSOCIATION AUTHORIZATION EXTENSION

SEC. [430]420. Section 426 of division G of Public Law 113–76 (16 U.S.C. 565a–1 note) shall be applied by substituting "September 30, [2020] 2021" for "September 30, 2019".

PUERTO RICO SCHOOLING AUTHORIZATION EXTENSION

SEC. [431]421. The authority provided by the 19th unnumbered paragraph under heading "Administrative Provisions, Forest Service" in title III of Public Law 109–54, as amended, shall be applied by substituting "fiscal year [2020] 2021" for "fiscal year 2019".

FOREST BOTANICAL PRODUCTS FEE COLLECTION AUTHORIZATION EXTENSION

SEC. [432]422. Section 339 of the Department of the Interior and Related Agencies Appropriations Act, 2000 (as enacted into law by Public Law 106–113; 16 U.S.C. 528 note), as amended by section 335(6) of Public Law 108–108 and section 432 of Public Law 113–76, shall be applied by substituting "fiscal year [2020] 2021" for "fiscal year 2019".

ALASKA NATIVE REGIONAL HEALTH ENTITIES AUTHORIZATION EXTENSION

SEC. [433]423. Section 424(a) of the Consolidated Appropriations Act, 2014 (Public Law 113–76), as amended by section 428 of the Consolidated Appropriations Act, 2018 (Public Law 115–141), shall be applied by substituting "October 1, [2020] 2021" for "October 1, 2019".

CHESAPEAKE BAY INITIATIVE

SEC. [434]424. Section 502(c) of the Chesapeake Bay Initiative Act of 1998 (Public Law 105–312; 54 U.S.C. 320101 note) shall be applied by substituting "fiscal year [2020] 2021" for "fiscal year 2019".

【FOREST SERVICE BUDGET RESTRUCTURE】

【SEC. 435. (a) The Secretary of Agriculture shall establish the "Forest Service Operations" account not later than October 1, 2020, for the necessary expenses of the Forest Service: (1) for the base salary and expenses of employees in the Chief's Office, the Work Environment and Performance Office, the Business Operations Deputy Area, and the Chief Financial Officer's Office to carry out administrative and general management support functions; (2) for the costs of facility maintenance, repairs, and leases for buildings and sites; (3) for the costs of utility and communication expenses, business services, and information technology, including cybersecurity requirements; and (4) for such other administrative support function expenses necessary for the operation of the Forest Service.

(b) Subsequent to the establishment of the account under subsection (a), the Secretary of Agriculture may execute appropriations of the Department for fiscal year 2021 as provided pursuant to such subsection, including any continuing ap-

proprations made available for fiscal year 2021 before enactment of a regular appropriations Act.

(c) Notwithstanding any other provision of law, the Secretary of Agriculture may transfer any unobligated balances made available to the Forest Service by this or prior appropriations Acts to the account established under subsection (a) to carry out such subsection, and shall notify the Committees on Appropriations of the Senate and the House of Representatives within 5 days of such transfer: *Provided*, That no amounts may be transferred from amounts that were made available for wildfire suppression operations pursuant to section 251(b)(2)(F) of the Balanced Budget and Emergency Deficit Control Act of 1985.

(d)

(1) Not later than November 1, 2020, the Secretary of Agriculture shall establish the preliminary baseline for application of transfer authorities and submit the report specified in paragraph (2) to the Committees on Appropriations for the Senate and the House of Representatives.

(2) The report required in this subsection shall include—

(A) a delineation of the amount and account of each transfer made pursuant to subsection (b) or (c);

(B) a table for each appropriation with a separate column to display the fiscal year 2020 enacted levels, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and adjustments made pursuant to the transfer authority in subsection (b) or (c), and the resulting fiscal year level;

(C) a delineation in the table for each appropriation, adjusted as described in paragraph (2), both by budget activity and program, project, and activity as detailed in the Budget Appendix; and

(D) an identification of funds directed for a specific activity.】

TIMBER SALE REQUIREMENTS

SEC. 【436】425. No timber sale in Alaska's Region 10 shall be advertised if the indicated rate is deficit (defined as the value of the timber is not sufficient to cover all logging and stumpage costs and provide a normal profit and risk allowance under the Forest Service's appraisal process) when appraised using a residual value appraisal. The western red cedar timber from those sales which is surplus to the needs of the domestic processors in Alaska, shall be made available to domestic processors in the contiguous 48 United States at prevailing domestic prices. All additional western red cedar volume not sold to Alaska or contiguous 48 United States domestic processors may be exported to foreign markets at the election of the timber sale holder. All Alaska yellow cedar may be sold at prevailing export prices at the election of the timber sale holder.

PROHIBITION ON USE OF FUNDS

SEC. 【437】426. Notwithstanding any other provision of law, none of the funds made available in this Act or any other Act may be used to promulgate or implement any regulation requiring the issuance of permits under title V of the Clean Air Act (42 U.S.C. 7661 et seq.) for carbon dioxide, nitrous oxide, water vapor, or methane emissions resulting from biological processes associated with livestock production.

GREENHOUSE GAS REPORTING RESTRICTIONS

SEC. 【438】427. Notwithstanding any other provision of law, none of the funds made available in this or any other Act may be used to implement any provision in a rule, if that provision requires mandatory reporting of greenhouse gas emissions from manure management systems.

【FUNDING PROHIBITION】

【SEC. 439. None of the funds made available by this or any other Act may be used to regulate the lead content of ammunition, ammunition components, or fishing tackle under the Toxic Substances Control Act (15 U.S.C. 2601 et seq.) or any other law.】

【POLICIES RELATING TO BIOMASS ENERGY】

【SEC. 440. To support the key role that forests in the United States can play in addressing the energy needs of the United States, the Secretary of Energy, the Secretary of Agriculture, and the Administrator of the Environmental Protection Agency shall, consistent with their missions, jointly—

(1) ensure that Federal policy relating to forest bioenergy—

(A) is consistent across all Federal departments and agencies; and

(B) recognizes the full benefits of the use of forest biomass for energy, conservation, and responsible forest management; and

(2) establish clear and simple policies for the use of forest biomass as an energy solution, including policies that—

(A) reflect the carbon-neutrality of forest bioenergy and recognize biomass as a renewable energy source, provided the use of forest biomass for energy production does not cause conversion of forests to non-forest use;

(B) encourage private investment throughout the forest biomass supply chain, including in—

(i) working forests;

(ii) harvesting operations;

(iii) forest improvement operations;

(iv) forest bioenergy production;

(v) wood products manufacturing; or

(vi) paper manufacturing;

(C) encourage forest management to improve forest health; and

(D) recognize State initiatives to produce and use forest biomass.】

【SMALL REMOTE INCINERATORS】

【SEC. 441. None of the funds made available in this Act may be used to implement or enforce the regulation issued on March 21, 2011 at 40 CFR part 60 subparts CCCC and DDDD with respect to units in the State of Alaska that are defined as "small, remote incinerator" units in those regulations and, until a subsequent regulation is issued, the Administrator shall implement the law and regulations in effect prior to such date.】

【CHACO CANYON】

【SEC. 442. None of the funds made available by this Act may be used to accept a nomination for oil and gas leasing under 43 CFR 3120.3 et seq, or to offer for oil and gas leasing, any Federal lands within the withdrawal area identified on the map of the Chaco Culture National Historical Park prepared by the Bureau of Land Management and dated April 2, 2019, prior to the completion of the cultural resources investigation identified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).】

【DAVID R. OBEY NORTHERN GREAT LAKES VISITOR CENTER】

【SEC. 443. (a) DESIGNATION.—The Northern Great Lakes Visitor Center located in Ashland, Wisconsin, the title to which is owned by the Forest Service, shall be known and designated as the "David R. Obey Northern Great Lakes Visitor Center".

(b) REFERENCES.—Any reference in a law, map, regulation, document, paper, or other record of the United States to the facility referred to in subsection (a) shall be deemed to be a reference to the "David R. Obey Northern Great Lakes Visitor Center".】

EXTEND FTCA COVERAGE TO URBAN INDIAN ORGANIZATIONS

SEC. 428. *Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq.) is amended by adding at the end the following:*

"SEC. 519. URBAN INDIAN ORGANIZATIONS DEEMED PART OF PUBLIC HEALTH SERVICE.

"(a) Definitions.—In this section:

"(1) Contract or agreement.—The term "contract or agreement" means a contract, grant agreement, or cooperative agreement entered into under this title.

"(2) Covered claim.—The term "covered claim" means a claim by any person initially filed on or after the date of enactment of this section for personal injury, including death.

"(b) Liability protection.—For purposes of section 224 of the Public Health Service Act (42 U.S.C. 233), with respect to a covered claim resulting from the performance prior to, including, or after the date of enactment of this section, of medical, surgical, dental, or related functions, including the conduct of a clinical study or investigation, performed while carrying out a contract or agreement, or for purposes of section 2679, title 28, United States Code, with respect to a covered claim resulting from the operation of an emergency motor vehicle pursuant to a contract or agreement—

"(1) an urban Indian organization is deemed to be part of the Public Health Service in the Department while carrying out the contract or agreement; and

"(2) any officer, governing board member, or employee of the urban Indian organization (including any contractor or individual that provides health care services pursuant to a personal services contract) is deemed to be an employee of the Public Health Service while acting within the scope of their employment in carrying out the contract or agreement."

CONCURRENT FEDERAL AND STATE JURISDICTION AT IHS FEDERAL ENCLAVE PROPERTIES

SEC. 429. (a) *IN GENERAL.*—The Secretary of Health and Human Services, on behalf of the United States, may, whenever the Secretary deems desirable, relinquish to a State all or part of the jurisdiction of the United States over lands and properties encompassing Indian Health Service facilities that are under the supervision or control of the Secretary.

(1) *TERMS.*—Relinquishment of jurisdiction under this section may be accomplished, under terms and conditions that the Secretary deems advisable:

(A) by filing with the Governor of such State a notice of relinquishment to take effect upon acceptance thereof; or

(B) as the laws of such State may otherwise provide.

INCOME TAX EXCLUSION FOR INDIAN HEALTH SERVICE SCHOLARSHIP AND LOAN REPAYMENT PROGRAMS

SEC. 430. (a) *IHS SCHOLARSHIP PROGRAM.* Section 117(c)(2) of the Internal Revenue Code of 1986 is amended—

(1) in subparagraph (B), by striking "or";

(2) in subparagraph (C), by striking the period and inserting ", or"; and

(3) by adding the following new subparagraph: "(D) the Indian Health Service Health Professions Scholarship program under section 104 of the Indian Health Care Improvement Act."

(b) IHS LOAN REPAYMENT PROGRAM.—

(1) EXCLUSION. Section 108(f) of the Internal Revenue Code of 1986 is amended by adding a new paragraph to read as follows:

"(5) PAYMENTS UNDER INDIAN HEALTH SERVICE LOAN REPAYMENT PROGRAM. In the case of an individual, gross income shall not include any amount received under section 108 of the Indian Health Care Improvement Act."

(2) CONFORMING AMENDMENT FOR TAX WITHHOLDING. Section 3401(a)(19) of the Internal Revenue Code of 1986 is amended by inserting "108(f)(5)," after "108(f)(4)."

HALF-TIME BASIS SERVICE OBLIGATION OPTION

SEC. 431. (a) SCHOLARSHIP PROGRAM.—Section 104(b) of the Indian Health Care Improvement Act (25 U.S.C. 1613a(b)) is amended—

(1) in paragraph (3)(A), by inserting after "full-time" the following: ", or, pursuant to paragraph (6), half-time"; and

(2) by adding at the end the following paragraph:

"(6) HALF-TIME SERVICE OPTION. In carrying out paragraph (3), the Secretary may, in accordance with this paragraph, allow an individual to meet the individual's service obligation through half-time practice if—

"(A) the Secretary has determined that assignment of a health professional who would serve half-time would be appropriate for the location where, or the program in which, the individual will be performing his or her service;

"(B) the individual agrees in writing to fulfill all of the service obligations under this section through half-time clinical practice and double the period of obligated service that would otherwise be required; and

"(C) the individual agrees in writing that if the individual begins providing half-time service but fails to begin or complete the period of obligated service, the method stated in section 108(l) for determining the damages for breach of the individual's written contract will be used after converting periods of obligated service or of service performed into their full-time equivalents."

(b) LOAN REPAYMENT PROGRAM. Section 108 of such Act (25 U.S.C. 1616a) is amended—

(1) in subsection (f)(1)(B)(iii), by inserting after "may agree to serve" the following: ", or, pursuant to subsection (o), 4 years or such longer period as the individual may agree to serve,"; and

(2) by adding at the end the following subsection:

"(o) HALF-TIME SERVICE OPTION. - In carrying out this section, the Secretary may, in accordance with this subsection, allow an individual to meet the individual's service obligation through half-time practice if—

"(1) the individual agrees in the written contract that the period of obligated service under subsection (f) shall be 4 years or such longer period as the individual may agree to serve, in place of the period otherwise prescribed in paragraph (1)(B)(iii) of such subsection;

"(2) the maximum loan repayment for each year of obligated service shall be 50 percent of the amount that would otherwise be payable, under subsection (g)(2)(A), for full-time service; and

"(3) the individual agrees in writing that if the individual begins providing half-time service but fails to begin or complete the period of obligated service, the method stated in subsection (l) for determining the damages for breach of the individual's written contract will be used after converting periods of obligated service or of service performed into their full-time equivalents."

FTCA AND FECA COVERAGE FOR IHS VOLUNTEERS

SEC. 432. Section 224 of the Public Health Service Act (42 U.S.C. 233) is amended by adding a new subsection as follows:

"(r) TORT CLAIMS AND WORK INJURY COMPENSATION COVERAGE FOR VOLUNTEERS IN INDIAN HEALTH SERVICE FACILITIES.

"(1) IN GENERAL. If under section 223 and regulations pursuant to such section, and through an agreement entered into in accordance with such regulations, the

Secretary accepts volunteer and uncompensated services from an individual to provide health care services at a facility of the Indian Health Service during a specified period, such individual shall, during such period, have the coverages described in paragraphs (2) and (3).

"(2) FEDERAL TORT CLAIMS ACT COVERAGE. Such individual shall, during such period,

"(i) be deemed to be an employee of the Department of Health and Human Services, for purposes of claims under sections 1346(b) and 2672 of title 28, United States Code, for money damages for personal injury, including death, resulting from performance of functions under such agreement; and

"(ii) be deemed to be an employee of the Public Health Service performing medical, surgical, dental, or related functions, for purposes of having the remedy provided by such sections of title 28 be exclusive of any other civil action or proceeding by reason of the same subject matter against such individual or against the estate of such individual.

"(3) COMPENSATION FOR WORK INJURIES. Such individual shall, during such period, be deemed to be an employee of the Department of Health and Human Services, and an injury sustained by such an individual shall be deemed in the performance of duty, for purposes of chapter 81 of title 5, United States Code, pertaining to compensation for work injuries."

WILD AND SCENIC RIVERS COMPREHENSIVE MANAGEMENT PLANS

SEC. 433. The Secretary of Agriculture shall not be considered to be in violation of section 3(d)(1) of the Wild and Scenic Rivers Act (16 U.S.C. 1274) solely because more than three years have passed since designation prior to the completion of a comprehensive river management plan: Provided, That if more than three years have passed since designation without the completion of a comprehensive river management plan, then said plan must be completed or appropriately updated no later than during the next forest plan revision process.

TRIBAL LEASES

SEC. 434. (a) Notwithstanding any other provision of law, in the case of any lease under section 105(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5324(l)), the initial lease term shall—

(1) be consistent with the calendar year or fiscal year basis of the funding agreement or annual funding agreement between the Secretary and Indian tribe or tribal organization under that Act; and

(2) commence no earlier than the date of receipt of the lease proposal.

(b) None of the funds made available under this Act may be used to compensate an Indian tribe or tribal organization for any lease under section 105(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5324(l)) that is on a calendar year or fiscal year basis and that is received during the 120 day period ending on the last day of the calendar year or fiscal year.

(c) None of the funds made available under this Act may be used to compensate an Indian tribe or tribal organization for any portion of a lease under section 105(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5324(l)) that exceeds the square footage necessary for the operation of the Federal programs under the lease, as determined by the Secretary.

TRIBAL AGREEMENTS

SEC. 435. Notwithstanding sections 106(b)(2) and 516(a) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5325(b)(2), 5396(a)), the Secretary of Health and Human Services and the Secretary of the Interior may reduce the amount of funds provided under the terms of a self-determination contract or compact entered into under that Act if—

(1) the approval of an increase to the amount of funds that would otherwise be required under the terms of such contract or compact was made pursuant to section 507(b) of that Act (25 U.S.C. 5387(b)) or section 900.18 or 1000.179 of title 25, Code of Federal Regulations; and

(2) the amount of the reduction does not exceed the amount of the increase.

(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

DEPARTMENT OF JUSTICE

GENERAL ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the administration of the Department of Justice, **[\$114,740,000]** \$121, 769,000 of which not to exceed \$4,000,000 for security and construction of Department of Justice facilities shall remain available until expended. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0129-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Department Leadership	16	18	19
0003 Intergovernmental Relations and External Affairs	11	11	12
0004 Executive Support and Professional Responsibility	16	16	17
0005 Justice Management Division	67	70	74
0799 Total direct obligations	110	115	122
0801 Salaries and Expenses (Reimbursable)	26	34	34
0900 Total new obligations, unexpired accounts	136	149	156
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	7	4
1012 Unobligated balance transfers between expired and unexpired accounts	4
1050 Unobligated balance (total)	8	7	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	113	115	122
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	31	31
1701 Change in uncollected payments, Federal sources	18
1750 Spending auth from offsetting collections, disc (total)	25	31	31
1900 Budget authority (total)	138	146	153
1930 Total budgetary resources available	146	153	157
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3
1941 Unexpired unobligated balance, end of year	7	4	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	25	29
3010 New obligations, unexpired accounts	136	149	156
3020 Outlays (gross)	-130	-145	-152
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	25	29	33
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired	-18
3071 Change in uncollected pymts, Fed sources, expired	3
3090 Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	9	13
3200 Obligated balance, end of year	9	13	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	138	146	153
Outlays, gross:			
4010 Outlays from new discretionary authority	116	123	129
4011 Outlays from discretionary balances	14	22	23
4020 Outlays, gross (total)	130	145	152
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-10	-31	-31
4040 Offsets against gross budget authority and outlays (total)	-10	-31	-31
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-18
4052 Offsetting collections credited to expired accounts	3
4060 Additional offsets against budget authority only (total)	-15
4070 Budget authority, net (discretionary)	113	115	122
4080 Outlays, net (discretionary)	120	114	121

4180 Budget authority, net (total)	113	115	122
4190 Outlays, net (total)	120	114	121

Program direction and policy coordination.—The Attorney General of the United States is responsible for leading the Department of Justice in accomplishing its missions. The Attorney General is assisted by the Deputy Attorney General, the Associate Attorney General, Department policy-level officials, and the Justice Management Division. The General Administration appropriation provides the resources for the programs and operations of the Attorney General, the Deputy Attorney General, the Associate Attorney General and their Offices, several Senior Policy Offices, and the Justice Management Division.

Object Classification (in millions of dollars)

Identification code 015-0129-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	48	50
11.3 Other than full-time permanent	4	4	5
11.5 Other personnel compensation	1	2	1
11.9 Total personnel compensation	53	54	56
12.1 Civilian personnel benefits	16	18	19
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1
23.1 Rental payments to GSA	20	21	23
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.1 Advisory and assistance services	5	3	3
25.2 Other services from non-Federal sources	1	3	3
25.3 Other goods and services from Federal sources	8	8	10
25.4 Operation and maintenance of facilities	1
26.0 Supplies and materials	2	2	2
99.0 Direct obligations	110	115	122
99.0 Reimbursable obligations	26	34	34
99.9 Total new obligations, unexpired accounts	136	149	156

Employment Summary

Identification code 015-0129-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	393	440	430
2001 Reimbursable civilian full-time equivalent employment	65	84	78

JUSTICE INFORMATION SHARING TECHNOLOGY

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for information sharing technology, including planning, development, deployment and departmental direction, **[\$33,875,000]** \$34,064,000, to remain available until expended: *Provided*, That the Attorney General may transfer up to \$40,000,000 to this account, from funds available to the Department of Justice for information technology, to remain available until expended, for enterprise-wide information technology initiatives: *Provided further*, That the transfer authority in the preceding proviso is in addition to any other transfer authority contained in this Act: *Provided further*, That any transfer pursuant to the first proviso shall be treated as a reprogramming under section **[505]** 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0134-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Justice Information Sharing Technology	48	46	34
0801 Justice Information Sharing Technology (Reimbursable)	9	58	28
0900 Total new obligations, unexpired accounts	57	104	62

JUSTICE INFORMATION SHARING TECHNOLOGY—Continued
Program and Financing—Continued

Identification code 015-0134-0-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	47	56	49
1021 Recoveries of prior year unpaid obligations		5	
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	48	61	49
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	32	34	34
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	58	3
1701 Change in uncollected payments, Federal sources	24		
1750 Spending auth from offsetting collections, disc (total)	33	58	3
1900 Budget authority (total)	65	92	37
1930 Total budgetary resources available	113	153	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56	49	24
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	34	46	17
3010 New obligations, unexpired accounts	57	104	62
3020 Outlays (gross)	-45	-128	-37
3040 Recoveries of prior year unpaid obligations, unexpired		-5	
3050 Unpaid obligations, end of year	46	17	42
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-45	-69	-69
3070 Change in uncollected pymts, Fed sources, unexpired	-24		
3090 Uncollected pymts, Fed sources, end of year	-69	-69	-69
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-11	-23	-52
3200 Obligated balance, end of year	-23	-52	-27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	65	92	37
Outlays, gross:			
4010 Outlays from new discretionary authority	28	89	34
4011 Outlays from discretionary balances	17	39	3
4020 Outlays, gross (total)	45	128	37
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-58	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-24		
4070 Budget authority, net (discretionary)	32	34	34
4080 Outlays, net (discretionary)	36	70	34
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4170 Outlays, net (mandatory)	-1		
4180 Budget authority, net (total)	32	34	34
4190 Outlays, net (total)	35	70	34

Funding for the Justice Information Sharing Technology (JIST) account will provide for corporate investments in information technology (IT). Under the control of the Department of Justice (DOJ) Chief Information Officer (CIO), this centralized fund ensures that investments in information sharing technology are well-planned and aligned with the Department's overall IT strategy and enterprise architecture. The current major initiatives/projects are described below.

Cybersecurity.—Enhancing cybersecurity remains a top priority for the Department and its leadership as DOJ supports a wide range of missions that include national security, law enforcement, prosecution, and incarceration. For each of these critical missions, the systems that support them must be secured to protect the confidentiality of sensitive information, the

availability of data and workflows crucial to mission execution, and the integrity of data guiding critical decision-making.

IT Transformation.—IT Transformation is a long-term, multiyear commitment that implements shared IT infrastructure for the Department and shifts investments to the most efficient computing platforms, including shared services and next generation storage, hosting, networking, and facilities. This directly supports the Federal CIO's 25 Point Plan to Reform Federal IT Management and the Portfolio Stat process, and aligns the Department's IT operations with the Federal Data Center Consolidation and Shared First initiatives. Work on these initiatives began in 2012, and consists of the following projects: a) e-mail consolidation; b) data center consolidation; c) mobility and remote access; and d) desktops. In 2021, DOJ will continue to leverage Schedule A hiring authority with a goal of bringing on board private sector IT subject matter experts to progress IT transformation already underway within the Department's Office of the CIO (OCIO). These experts, with varied skill sets from data architects, application hosting, and business intelligence, will assist OCIO and component customers in moving forward on respective IT initiatives in support of the DOJ mission. Additionally, the OCIO will leverage U.S. Digital Service expertise in its effort to drive innovation in key IT management areas, such as with Digital Acquisition Innovation Labs. OCIO will also continue to leverage its authority under the Federal Information Technology Acquisition Reform Act (FITARA), through the Department's IT Investment Review Council (DIRC) and Investment Review Board (DIRB), and through the TechStat process, to ensure that all Department IT projects and initiatives are meeting expected milestones and remain within project scope and budget.

Policy, Planning and Oversight.—JIST funds the Office of the CIO and the Policy & Planning Staff (PPS), which supports CIO management in complying with the Clinger-Cohen Act, the FITARA, and other applicable laws, rules, and regulations for federal information resource management. Within OCIO, PPS develops, implements, and oversees an integrated approach for effectively and efficiently planning and managing DOJ's information technology resources, including the creation of operational plans for JIST and monitoring the execution of funds against those plans. PPS is responsible for IT investment management including portfolio, program, and project management. The investment management team manages the Department's IT investment and budget planning processes; develops and maintains the Department's general IT program policy and guidance documents; and coordinates the activities of the DIRB DIRC. In addition, PPS performs reviews to examine planned IT acquisitions and procurements to ensure alignment with the Department's IT strategies, policies, and its enterprise road map.

Object Classification (in millions of dollars)

Identification code 015-0134-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	
25.1 Advisory and assistance services	14	16	12
25.2 Other services from non-Federal sources		1	1
25.3 Other goods and services from Federal sources	4	10	7
25.7 Operation and maintenance of equipment	17		
31.0 Equipment	6	11	8
99.0 Direct obligations	48	46	34
99.0 Reimbursable obligations	9	58	28
99.9 Total new obligations, unexpired accounts	57	104	62

Employment Summary

Identification code 015-0134-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	28	33	33

TACTICAL LAW ENFORCEMENT WIRELESS COMMUNICATIONS

Program and Financing (in millions of dollars)

Identification code 015-0132-0-1-751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

In 2013, operational and maintenance funding for legacy radio networks was transferred back to the participating components. The management of this program shifted to the Federal Bureau of Investigation, including resources for developing new technologies, as well as improving and upgrading radio infrastructure. The transfer of activities is complete.

EXECUTIVE OFFICE FOR IMMIGRATION REVIEW

(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the administration of immigration-related activities of the Executive Office for Immigration Review, **[\$672,966,000]** *\$882,872,000*, of which \$4,000,000 shall be derived by transfer from the Executive Office for Immigration Review fees deposited in the "Immigration Examinations Fee" account **[],** and of which not less than \$18,000,000 shall be available for services and activities provided by the Legal Orientation Program **];** *Provided,* That not to exceed \$35,000,000 of the total amount made available under this heading shall remain available until expended. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0339-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Executive Office for Immigration Review (EOIR)	611	673	883
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	1	1
1012 Unobligated balance transfers between expired and unexpired accounts	2		
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	8	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	624	669	879
1121 Appropriations transferred from other acct [070-5088]	4	4	4
1160 Appropriation, discretionary (total)	628	673	883
1930 Total budgetary resources available	636	674	884
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-24		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	189	229	85
3010 New obligations, unexpired accounts	611	673	883
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-557	-817	-860
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-16		
3050 Unpaid obligations, end of year	229	85	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	189	229	85

3200	Obligated balance, end of year	229	85	108
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	628	673	883
Outlays, gross:				
4010	Outlays from new discretionary authority	436	599	786
4011	Outlays from discretionary balances	121	218	74
4020	Outlays, gross (total)	557	817	860
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	1		
4070	Budget authority, net (discretionary)	628	673	883
4080	Outlays, net (discretionary)	556	817	860
4180	Budget authority, net (total)	628	673	883
4190	Outlays, net (total)	556	817	860

The Executive Office for Immigration Review (EOIR) was created on January 9, 1983 through an internal Department of Justice (DOJ) reorganization that combined the Board of Immigration Appeals (BIA) with the Immigration Judge function. In addition to establishing EOIR as a separate agency within DOJ, this reorganization made the Immigration Courts independent of the agency charged with enforcement of Federal immigration laws. Under delegated authority from the Attorney General, EOIR conducts immigration court proceedings, appellate reviews, and administrative hearings. The Office of the Chief Administrative Hearing Officer was added in 1987. EOIR is headed by a Director, appointed by the Attorney General, who oversees more than 60 Immigration Courts nationwide, the BIA, and the headquarters organization located in Falls Church.

Object Classification (in millions of dollars)

Identification code 015-0339-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	166	274	318
11.3	Other than full-time permanent	34	14	14
11.5	Other personnel compensation	3	2	2
11.9	Total personnel compensation	203	290	334
12.1	Civilian personnel benefits	65	68	81
21.0	Travel and transportation of persons	4	8	8
22.0	Transportation of things		2	2
23.1	Rental payments to GSA	46	59	59
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	9	16	18
25.1	Advisory and assistance services	76	18	53
25.2	Other services from non-Federal sources	86	77	125
25.3	Other purchases & Svcs from Gov't accounts	6	27	34
25.4	Operation and maintenance of facilities	21	9	24
25.7	Operation and maintenance of equipment	49	36	36
26.0	Supplies and materials	4	4	4
31.0	Equipment	14	25	53
32.0	Land and structures	27	33	51
99.0	Direct obligations	611	673	883
99.9	Total new obligations, unexpired accounts	611	673	883

Employment Summary

Identification code 015-0339-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,820	2,294	2,594

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, **[\$105,000,000]** *\$107,211,000*, including not to exceed \$10,000 to meet unforeseen emergencies of a confidential character: *Provided,* That not to exceed **[\$2,000,000]** *\$4,000,000* shall remain available until September 30, **[2021]** *2022.* (*Department of Justice Appropriations Act, 2020.*)

OFFICE OF INSPECTOR GENERAL—Continued
Program and Financing (in millions of dollars)

Identification code 015-0328-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General (Direct)	101	115	106
0801 Office of Inspector General (Reimbursable)	25	15	26
0900 Total new obligations, unexpired accounts	126	130	132
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	24	33
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	101	105	107
1121 Appropriations transferred from other acct [015-5041]	10		
1160 Appropriation, discretionary (total)	101	115	107
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	23	24
1700 Collected		1	1
1700 Collected			10
1701 Change in uncollected payments, Federal sources	12		
1750 Spending auth from offsetting collections, disc (total)	24	24	35
1900 Budget authority (total)	125	139	142
1930 Total budgetary resources available	150	163	175
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	24	33	43
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	24	10
3010 New obligations, unexpired accounts	126	130	132
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-127	-144	-142
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	24	10	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-36	-47	-47
3070 Change in uncollected pymts, Fed sources, unexpired	-12		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-47	-47	-47
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-10	-23	-37
3200 Obligated balance, end of year	-23	-37	-47
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	125	139	142
Outlays, gross:			
4010 Outlays from new discretionary authority	99	121	124
4011 Outlays from discretionary balances	28	23	18
4020 Outlays, gross (total)	127	144	142
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-13	-24	-35
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-12		
4052 Offsetting collections credited to expired accounts	1		
4060 Additional offsets against budget authority only (total)	-11		
4070 Budget authority, net (discretionary)	101	115	107
4080 Outlays, net (discretionary)	114	120	107
4180 Budget authority, net (total)	101	115	107
4190 Outlays, net (total)	114	120	107

The Office of the Inspector General (OIG) was statutorily established in the Department of Justice on April 14, 1989. The OIG investigates alleged violations of criminal and civil laws, regulations, and ethical standards arising from the conduct of the Department's employees. The OIG provides leadership and assists management in promoting integrity, economy, efficiency, and effectiveness within the Department and in its financial, contractual, and grant relationships with others. By statute, the OIG also reports to the Attorney General, the Congress, and the public on a semiannual basis regarding its significant activities.

The Audit function is responsible for independent audits and reviews of Department organizations, programs, functions, computer security and in-

formation technology systems, and financial statement audits. The Audit function also conducts or reviews external audits of expenditures made under Department contracts, grants, and other agreements.

The Investigations function investigates allegations of civil rights violations, bribery, fraud, abuse and violations of other laws, rules, and procedures that govern Department employees, contractors, and grantees. This function also develops these cases for criminal prosecution, civil action, or administrative action. In some instances, the OIG refers allegations to components within the Department and requests notification of their findings and of any disciplinary action taken.

The Evaluation and Inspections function conducts analyses and makes recommendations to decision makers for improvements in Department programs, policies, and procedures. In addition, this function also conducts shorter and more time-sensitive reviews and evaluations to provide managers with early warnings about possible program deficiencies.

The Oversight and Review function investigates allegations of significant interest to the American public and the Congress, and of vital importance to the Department.

The Office of the General Counsel provides legal advice to OIG management and staff. It also drafts memoranda on issues of law; prepares administrative subpoenas; represents the OIG in personnel, contractual, ethical, and legal matters; and responds to Freedom of Information Act requests.

The Management and Planning function provides advice to OIG senior leadership on administrative and fiscal policy, and assists OIG components in the areas of budget formulation and execution, security, personnel, training, travel, procurement, property management, information technology, computer network communications, telecommunications, records management, quality assurance, internal controls, and general support.

Object Classification (in millions of dollars)

Identification code 015-0328-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	55	50
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	54	61	56
12.1 Civilian personnel benefits	20	25	23
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	8	8	7
23.3 Communications, utilities, and miscellaneous charges	2		
25.1 Advisory and assistance services	2	7	5
25.2 Other services from non-Federal sources	1		
25.3 Other goods and services from Federal sources	6	8	8
25.4 Operation and maintenance of facilities	1		
25.7 Operation and maintenance of equipment	3	2	3
31.0 Equipment	2	2	2
99.0 Direct obligations	101	115	106
99.0 Reimbursable obligations	25	15	26
99.9 Total new obligations, unexpired accounts	126	130	132

Employment Summary

Identification code 015-0328-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	498	442	451
2001 Reimbursable civilian full-time equivalent employment	29	74	74

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 015-4526-0-4-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct - Debt Collection Management	245	273	273
0002 Direct - Capital Investment and Proceeds	35	31	31
0799 Total direct obligations	280	304	304
0801 Financial and employee data	166	286	286

0802	Data Processing and Telecommunications	497	497	497
0803	Space Management	677	646	646
0805	Human Resources	29	30	30
0807	Mail and Publication Services	51	61	61
0810	Security Services	59	59	59
0899	Total reimbursable obligations	1,479	1,579	1,579
0900	Total new obligations, unexpired accounts	1,759	1,883	1,883

Budgetary resources:

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	555	554	507
1012	Unobligated balance transfers between expired and unexpired accounts	86	40	40
1021	Recoveries of prior year unpaid obligations	51	20	20
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	693	614	567

Budget authority:

Appropriations, discretionary:

1131	Unobligated balance of appropriations permanently reduced	-151	-107	-75
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Spending authority from offsetting collections, discretionary:

1700	Collected	1,723	1,883	1,883
1701	Change in uncollected payments, Federal sources	48		
1750	Spending auth from offsetting collections, disc (total)	1,771	1,883	1,883
1900	Budget authority (total)	1,620	1,776	1,808
1930	Total budgetary resources available	2,313	2,390	2,375

Memorandum (non-add) entries:

1941	Unexpired unobligated balance, end of year	554	507	492
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Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	615	634	250
3010	New obligations, unexpired accounts	1,759	1,883	1,883
3020	Outlays (gross)	-1,689	-2,247	-1,901
3040	Recoveries of prior year unpaid obligations, unexpired	-51	-20	-20
3050	Unpaid obligations, end of year	634	250	212

Uncollected payments:

3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-611	-659	-659
3070	Change in uncollected pymts, Fed sources, unexpired	-48		
3090	Uncollected pymts, Fed sources, end of year	-659	-659	-659

Memorandum (non-add) entries:

3100	Obligated balance, start of year	4	-25	-409
3200	Obligated balance, end of year	-25	-409	-447

Budget authority and outlays, net:

Discretionary:

4000	Budget authority, gross	1,620	1,776	1,808
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Outlays, gross:

4010	Outlays from new discretionary authority	1,409	1,776	1,808
4011	Outlays from discretionary balances	280	471	93
4020	Outlays, gross (total)	1,689	2,247	1,901

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:

4030	Federal sources	-1,480	-1,883	-1,883
4033	Non-Federal sources	-244		
4040	Offsets against gross budget authority and outlays (total)	-1,724	-1,883	-1,883

Additional offsets against gross budget authority only:

4050	Change in uncollected pymts, Fed sources, unexpired	-48		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4060	Additional offsets against budget authority only (total)	-47		
4070	Budget authority, net (discretionary)	-151	-107	-75
4080	Outlays, net (discretionary)	-35	364	18
4180	Budget authority, net (total)	-151	-107	-75
4190	Outlays, net (total)	-35	364	18

The Working Capital Fund finances, on a reimbursable basis, those administrative services that can be performed more efficiently at the Department level.

Object Classification (in millions of dollars)

Identification code 015-4526-0-4-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	61	61
11.5 Other personnel compensation	12	12	12

11.9 Total personnel compensation	72	73	73
12.1 Civilian personnel benefits	22	22	22
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	15	13	13
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	77	74	74
25.2 Other services from non-Federal sources	49	47	47
25.3 Other goods and services from Federal sources	35	65	65
25.7 Operation and maintenance of equipment	4	4	4
31.0 Equipment	3	3	3

99.0 Direct obligations

280		304	304
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Reimbursable obligations:

Personnel compensation:

11.1 Full-time permanent	59	63	63
11.3 Other than full-time permanent		5	5
11.5 Other personnel compensation	1	1	1

11.9 Total personnel compensation	60	69	69
12.1 Civilian personnel benefits	19	22	22
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	4		
23.1 Rental payments to GSA	565	576	576
23.2 Rental payments to others	2	3	3
23.3 Communications, utilities, and miscellaneous charges	122	126	126
25.1 Advisory and assistance services	96	113	113
25.2 Other services from non-Federal sources	332	111	111
25.3 Other goods and services from Federal sources	42	247	247
25.3 Rental payments to GSA for WCF only	10	18	18
25.4 Operation and maintenance of facilities	56	68	68
25.6 Medical care	1		
25.7 Operation and maintenance of equipment	157	222	222
26.0 Supplies and materials	1	1	1
31.0 Equipment	10	1	1

99.0 Reimbursable obligations	1,479	1,579	1,579
99.9 Total new obligations, unexpired accounts	1,759	1,883	1,883

Employment Summary

Identification code 015-4526-0-4-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	409	605	605
2001 Reimbursable civilian full-time equivalent employment	471	530	530

UNITED STATES PAROLE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the United States Parole Commission as authorized, **[\$13,308,000]** \$13,539,000: *Provided*, That, notwithstanding any other provision of law, upon the expiration of a term of office of a Commissioner, the Commissioner may continue to act until a successor has been appointed. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-1061-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Determination of parole of prisoners and supervision of parolees	13	13	13

Budgetary resources:

Budget authority:

Appropriations, discretionary:

1100 Appropriation	13	13	14
1930 Total budgetary resources available	13	13	14

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year			1
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Change in obligated balance:

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts	13	13	13
3020 Outlays (gross)	-13	-13	-14
3050 Unpaid obligations, end of year	3	3	2

Memorandum (non-add) entries:

3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	2

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 015-1061-0-1-751	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13	13	14
Outlays, gross:			
4010 Outlays from new discretionary authority	11	11	12
4011 Outlays from discretionary balances	2	2	2
4020 Outlays, gross (total)	13	13	14
4180 Budget authority, net (total)	13	13	14
4190 Outlays, net (total)	13	13	14

The United States Parole Commission is responsible for 1) making parole release and revocation decisions for all parole-eligible Federal and District of Columbia Code offenders; 2) setting and enforcing the conditions of supervised release for District of Columbia Code offenders; 3) making release decisions for United States citizens convicted of a crime in another country who voluntarily return to the United States for service of sentence; 4) performing parole-related functions for certain military and State offenders; and 5) exercising decision-making authority over State offenders who are on the State probation or parole, and are transferred to Federal authorities under the witness security program.

The Parole Commission works to reduce offender recidivism rates by implementing new revocation guidelines and establishing alternatives to incarceration for low-risk, non-violent offenders. In addition, the Commission seeks to improve the rehabilitation process by monitoring an effective offender supervision program through U.S. and District of Columbia probation officers, and through research studies that evaluate the effectiveness of offender supervision programs. The Parole Commission has oversight responsibility for the supervision of District of Columbia parolees and supervised releases under the National Capital Revitalization and Self-Government Improvement Act (P.L. 105-33).

Object Classification (in millions of dollars)

Identification code 015-1061-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	6	6
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	7	7	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	13	13	13

Employment Summary

Identification code 015-1061-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	47	47	47

LEGAL ACTIVITIES AND U.S. MARSHALS

Federal Funds

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES

For expenses necessary for the legal activities of the Department of Justice, not otherwise provided for, including not to exceed \$20,000 for expenses of collecting evidence, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; the administration of pardon and clemency petitions; and rent of private or Government-owned space in the District of Columbia, **[\$920,000,000] \$971,429,000**, of which not to exceed \$20,000,000 for litigation support contracts shall remain available until expended: *Provided*, That of the amount provided for INTERPOL Washington dues payments, not to exceed \$685,000 shall remain available until expended: *Provided further*, That of the total amount appro-

riated, not to exceed \$9,000 shall be available to INTERPOL Washington for official reception and representation expenses: *Provided further*, That of the total amount appropriated, not to exceed \$9,000 shall be available to the Criminal Division for official reception and representation expenses: *Provided further*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for litigation activities of the Civil Division, the Attorney General may transfer such amounts to "Salaries and Expenses, General Legal Activities" from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section **[505] 504** of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That of the amount appropriated, such sums as may be necessary shall be available to the Civil Rights Division for salaries and expenses associated with the election monitoring program under section 8 of the Voting Rights Act of 1965 (52 U.S.C. 10305) and to reimburse the Office of Personnel Management for such salaries and expenses: *Provided further*, That of the amounts provided under this heading for the election monitoring program, \$3,390,000 shall remain available until expended: *Provided further*, That of the amount appropriated, not less than \$193,715,000 shall be available for the Criminal Division, including related expenses for the Mutual Legal Assistance Treaty Program.

In addition, for **[reimbursement of]** expenses of the Department of Justice associated with processing cases under the National Childhood Vaccine Injury Act of 1986, **[not to exceed \$13,000,000] \$19,000,000**, to be appropriated from the Vaccine Injury Compensation Trust Fund and to remain available until expended. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0128-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Conduct of Supreme Court proceedings and review of appellate	12	12	14
0002 General tax matters	106	114	114
0003 Criminal matters	226	216	196
0004 Claims, customs, and general civil matters	341	310	327
0005 Land, natural resources, and Indian matters	114	109	114
0006 Legal opinions	8	8	9
0007 Civil rights matters	151	162	157
0008 INTERPOL Washington	33	35	36
0009 Office of Pardon Attorney	4	5	5
0799 Total direct obligations	995	971	972
0880 Salaries and Expenses, General Legal Activities (Offsetting Collections)	224	543	584
0889 Reimbursable program activities, subtotal	224	543	584
0900 Total new obligations, unexpired accounts	1,219	1,514	1,556
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	57	53
1001 Discretionary unobligated balance brought fwd, Oct 1	57	34
1012 Unobligated balance transfers between expired and unexpired accounts	15
1021 Recoveries of prior year unpaid obligations	3
1033 Recoveries of prior year paid obligations	3
1050 Unobligated balance (total)	78	53
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	904	920	971
Spending authority from offsetting collections, discretionary:			
1700 Collected	102	485	695
1700 Collected	35	35
1701 Change in uncollected payments, Federal sources	171
1750 Spending auth from offsetting collections, disc (total)	273	520	730
Spending authority from offsetting collections, mandatory:			
1800 Collected	38	21
1801 Change in uncollected payments, Federal sources	-11
1850 Spending auth from offsetting collections, mand (total)	27	21
1900 Budget authority (total)	1,204	1,461	1,701
1930 Total budgetary resources available	1,282	1,514	1,701
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10
1941 Unexpired unobligated balance, end of year	53	145

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	412	365 294
3010	New obligations, unexpired accounts	1,219	1,514 1,556
3011	Obligations ("upward adjustments"), expired accounts	31
3020	Outlays (gross)	-1,208	-1,585 -1,672
3040	Recoveries of prior year unpaid obligations, unexpired	-3
3041	Recoveries of prior year unpaid obligations, expired	-86
3050	Unpaid obligations, end of year	365	294 178
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-468	-366 -366
3070	Change in uncollected pymts, Fed sources, unexpired	-160
3071	Change in uncollected pymts, Fed sources, expired	262
3090	Uncollected pymts, Fed sources, end of year	-366	-366 -366
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-56	-1 -72
3200	Obligated balance, end of year	-1	-72 -188
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,177	1,440 1,701
Outlays, gross:			
4010	Outlays from new discretionary authority	894	1,252 1,480
4011	Outlays from discretionary balances	281	202 189
4020	Outlays, gross (total)	1,175	1,454 1,669
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-323	-520 -730
4033	Non-Federal sources	-8
4040	Offsets against gross budget authority and outlays (total) ...	-331	-520 -730
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-171
4052	Offsetting collections credited to expired accounts	229
4060	Additional offsets against budget authority only (total)	58
4070	Budget authority, net (discretionary)	904	920 971
4080	Outlays, net (discretionary)	844	934 939
Mandatory:			
4090	Budget authority, gross	27	21
Outlays, gross:			
4100	Outlays from new mandatory authority	25	18
4101	Outlays from mandatory balances	8	113 3
4110	Outlays, gross (total)	33	131 3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources	-38	-21
4123	Non-Federal sources	-3
4130	Offsets against gross budget authority and outlays (total) ...	-41	-21
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired	11
4143	Recoveries of prior year paid obligations, unexpired accounts	3
4150	Additional offsets against budget authority only (total)	14
4170	Outlays, net (mandatory)	-8	110 3
4180	Budget authority, net (total)	904	920 971
4190	Outlays, net (total)	836	1,044 942

The following Department legal activities are financed from this appropriation:

Supreme Court proceedings and appellate matters.—The Office of the Solicitor General conducts substantially all litigation on behalf of the United States and its agencies in the Supreme Court of the United States, approves decisions to appeal and seek further review in cases involving the United States in the lower Federal courts, and supervises the handling of litigation in the Federal appellate courts.

General tax matters.—The mission of the Tax Division is to enforce the nation's tax laws fully, fairly, and consistently, through both criminal and civil litigation, in order to promote voluntary compliance with the tax laws, maintain public confidence in the integrity of the tax system, and promote the sound development of the law.

Criminal matters.—The Criminal Division develops, enforces, and supervises the application of all Federal criminal laws, except those specifically assigned to other divisions. The mission of the Criminal Division is to identify and respond to critical and emerging national and international

criminal threats, and to lead the enforcement, regulatory, and intelligence communities in a coordinated nationwide response to reduce those threats.

Claims, customs, and general civil matters.—The Civil Division represents the Federal Government in civil litigation to defend Federal statutes, regulations, and policies, and to avoid payment of unjustified monetary claims. It also investigates and pursues perpetrators of financial, economic, health care, and other forms of fraud to recover billions of dollars owed to the Federal Government. Examples of non-monetary litigation include the defense of thousands of challenges to immigration enforcement decisions and to Federal activities involving counterterrorism, as well as enforcement of consumer protection laws.

Environment and natural resource matters.—The Environment and Natural Resources Division enforces the Nation's civil and criminal environmental laws and defends environmental challenges to Government action. Additionally, the Division represents the United States in virtually all matters concerning the use and development of the Nation's natural resources and public lands, wildlife protection, Indian rights and claims, worker safety, animal welfare, and the acquisition of Federal property.

Legal opinions.—The Office of Legal Counsel provides written opinions and oral advice in response to requests from the Counsel to the President, the various agencies of the executive branch, and offices within the Department, including the offices of the Attorney General and Deputy Attorney General.

Civil rights matters.—This program enforces the Nation's Federal civil rights laws. Through the enforcement of a wide range of anti-discrimination laws, the Division gives meaning to our Nation's promise of equal opportunity. The Division works to uphold and defend the civil and constitutional rights of all individuals, particularly some of the most vulnerable members of our society. The Division enforces Federal statutes that prohibit discrimination and provide a remedy for constitutional violations. The 2021 Budget is not requesting an appropriation for the Community Relations Service (CRS), and instead proposes to consolidate those functions within the Civil Rights Division (CRT). This consolidation would appropriately rightsize the Federal role in local conflict resolutions, while eliminating duplicative functions and improving efficiency. The Budget provides \$5 million and 15 positions to CRT to support this proposal.

INTERPOL Washington.—This program is the United States National Central Bureau and designated representative to INTERPOL on behalf of the Attorney General. Its mission includes, but is not limited to, facilitating international police cooperation; transmitting criminal justice, humanitarian, and other law enforcement related information between U.S. law enforcement authorities and their foreign counterparts; and coordinating and integrating information for investigations of an international nature.

Office of The Pardon Attorney.—The Office of the Pardon Attorney (OPA) receives and evaluates clemency petitions for federal crimes and prepares letters of advice for the President for each application with approval from the Deputy Attorney General. In addition, OPA responds to inquiries concerning executive clemency petitions and the clemency process from applicants, their legal representatives, members of the public, and Members of Congress; prepares all necessary documents to effect the President's decision to grant or deny clemency; and provides advisory services to White House Counsel concerning executive clemency procedures.

Reimbursable programs.—This reflects reimbursable funding for the following:

Civil Division.—For litigating cases under the National Childhood Vaccine Injury Act, and for litigating a number of extraordinarily large cases on behalf of the United States;

Criminal Division.—For activities related to healthcare fraud and drug prosecutions, international training programs, and asset forfeiture related activities;

Environment and Natural Resources Division.—From numerous client agencies for personnel, automated litigation support, and litigation consultant services for a variety of environmental, natural resource, land ac-

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES—Continued
quisition, and Native American cases, including from the Environmental Protection Agency for Superfund enforcement litigation; and, *Civil Rights Division*.—For activities related to the Division's Complaint Adjudication Office and Health Care Fraud activities.

Object Classification (in millions of dollars)

Identification code 015-0128-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	420	410	410
11.3 Other than full-time permanent	34	38	38
11.5 Other personnel compensation	9	10	10
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	466	461	461
12.1 Civilian personnel benefits	142	147	147
21.0 Travel and transportation of persons	20	21	21
22.0 Transportation of things	1		
23.1 Rental payments to GSA	112	115	115
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	16	14	14
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	101	87	88
25.2 Other services from non-Federal sources	13	11	11
25.3 Other goods and services from Federal sources	50	50	50
25.4 Operation and maintenance of facilities	15	16	16
25.7 Operation and maintenance of equipment	15	18	18
26.0 Supplies and materials	3	3	3
31.0 Equipment	22	11	11
32.0 Land and structures	1		
41.0 Grants, subsidies, and contributions	13	12	12
99.0 Direct obligations	995	971	972
99.0 Reimbursable obligations	224	543	584
99.9 Total new obligations, unexpired accounts	1,219	1,514	1,556

Employment Summary

Identification code 015-0128-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,140	3,546	3,617
2001 Reimbursable civilian full-time equivalent employment	447	518	515

SALARIES AND EXPENSES, ANTITRUST DIVISION

For expenses necessary for the enforcement of antitrust and kindred laws, **[\$166,755,000] \$188,524,000**, to remain available until expended, of which not to exceed \$2,000 shall be available for official reception and representation expenses: *Provided*, That notwithstanding any other provision of law, fees collected for pre-merger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (and estimated to be **[\$141,000,000] \$136,000,000** in fiscal year **[2020] 2021**), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2020] 2021**, so as to result in a final fiscal year **[2020] 2021** appropriation from the general fund estimated at **[\$25,755,000] \$52,524,000**. (*Department of Justice Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 015-0319-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Antitrust	161	167	189
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	11	11
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	6	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	36	31	53
Spending authority from offsetting collections, discretionary:			
1700 Collected	130	136	136

1900 Budget authority (total)	166	167	189
1930 Total budgetary resources available	172	178	200
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	25	22
3010 New obligations, unexpired accounts	161	167	189
3020 Outlays (gross)	-156	-170	-187
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	25	22	24
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	24	21
3200 Obligated balance, end of year	24	21	23

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	166	167	189
Outlays, gross:			
4010 Outlays from new discretionary authority	138	150	170
4011 Outlays from discretionary balances	18	20	17
4020 Outlays, gross (total)	156	170	187
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-136	-136
4033 Non-Federal sources	-130		
4040 Offsets against gross budget authority and outlays (total)	-130	-136	-136
4070 Budget authority, net (discretionary)	36	31	53
4080 Outlays, net (discretionary)	26	34	51
4180 Budget authority, net (total)	36	31	53
4190 Outlays, net (total)	26	34	51

The Antitrust Division administers and enforces antitrust and related statutes. This program primarily involves the investigation of suspected violations of the antitrust laws, the conduct of civil and criminal proceedings in the Federal courts, and the maintenance of competitive conditions.

The Department of Justice Antitrust Division and the Federal Trade Commission (FTC) are responsible for reviewing corporate mergers to ensure they do not promote anticompetitive practices. Revenue collected from pre-merger filing fees, known as Hart-Scott-Rodino fees, are collected by the FTC and split evenly between the two agencies. In 2021, the Antitrust Division will continue to collect filing fees for pre-merger notifications and will retain these fees for expenditure in support of its programs.

Object Classification (in millions of dollars)

Identification code 015-0319-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	68	70	76
11.3 Other than full-time permanent	9	10	8
11.5 Other personnel compensation	1	2	2
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	79	83	87
12.1 Civilian personnel benefits	22	23	27
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	22	23	23
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	6	26	7
25.2 Other services from non-Federal sources	15	2	14
25.3 Other goods and services from Federal sources	3		4
25.4 Operation and maintenance of facilities			3
25.7 Operation and maintenance of equipment	6	1	5
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	3	4
32.0 Land and structures		1	10
99.0 Direct obligations	161	167	189
99.9 Total new obligations, unexpired accounts	161	167	189

Employment Summary

Identification code 015-0319-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	574	695	739

SALARIES AND EXPENSES, UNITED STATES ATTORNEYS

For necessary expenses of the Offices of the United States Attorneys, including inter-governmental and cooperative agreements, **[\$2,254,541,000] \$2,378,418,000**: *Provided*, That of the total amount appropriated, not to exceed **[\$7,200] \$19,600** shall be available for official reception and representation expenses: *Provided further*, That not to exceed \$25,000,000 shall remain available until expended: *Provided further*, That each United States Attorney shall establish or participate in a task force on human trafficking. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0322-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Criminal	1,707	1,692	1,796
0003 Civil	538	536	555
0004 Legal Education	29	27	27
0799 Total direct obligations	2,274	2,255	2,378
0801 Salaries and Expenses, United States Attorneys (Reimbursable)	51	51	51
0900 Total new obligations, unexpired accounts	2,325	2,306	2,429

Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	73	49	149
1001 Discretionary unobligated balance brought fwd, Oct 1	46	34
1012 Unobligated balance transfers between expired and unexpired accounts	11	10
1021 Recoveries of prior year unpaid obligations	5
1050 Unobligated balance (total)	89	59	149
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,212	2,255	2,378
1121 Appropriations transferred from other acct [011-1070]	1
1160 Appropriation, discretionary (total)	2,213	2,255	2,378
Spending authority from offsetting collections, discretionary:			
1700 Collected	36	71	71
1700 Collected - HCFAC Discretionary	29	29
1701 Change in uncollected payments, Federal sources	-11
1750 Spending auth from offsetting collections, disc (total)	25	100	100
Spending authority from offsetting collections, mandatory:			
1800 Collected	37	41	41
1801 Change in uncollected payments, Federal sources	20
1850 Spending auth from offsetting collections, mand (total)	57	41	41
1900 Budget authority (total)	2,295	2,396	2,519
1930 Total budgetary resources available	2,384	2,455	2,668
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-10
1941 Unexpired unobligated balance, end of year	49	149	239

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	504	538	295
3010 New obligations, unexpired accounts	2,325	2,306	2,429
3011 Obligations ("upward adjustments"), expired accounts	5
3020 Outlays (gross)	-2,262	-2,549	-2,502
3040 Recoveries of prior year unpaid obligations, unexpired	-5
3041 Recoveries of prior year unpaid obligations, expired	-29
3050 Unpaid obligations, end of year	538	295	222
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-177	-173	-173
3070 Change in uncollected pymts, Fed sources, unexpired	-9
3071 Change in uncollected pymts, Fed sources, expired	13
3090 Uncollected pymts, Fed sources, end of year	-173	-173	-173
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	327	365	122
3200 Obligated balance, end of year	365	122	49

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2,238	2,355	2,478
Outlays, gross:			
4010 Outlays from new discretionary authority	1,860	2,062	2,169
4011 Outlays from discretionary balances	345	387	292
4020 Outlays, gross (total)	2,205	2,449	2,461
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-42	-100	-100
4033 Non-Federal sources	-2
4040 Offsets against gross budget authority and outlays (total)	-44	-100	-100
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	11
4052 Offsetting collections credited to expired accounts	8
4060 Additional offsets against budget authority only (total)	19
4070 Budget authority, net (discretionary)	2,213	2,255	2,378
4080 Outlays, net (discretionary)	2,161	2,349	2,361
Mandatory:			
4090 Budget authority, gross	57	41	41
Outlays, gross:			
4100 Outlays from new mandatory authority	37	41	41
4101 Outlays from mandatory balances	20	59
4110 Outlays, gross (total)	57	100	41
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-45	-41	-41
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-20
4142 Offsetting collections credited to expired accounts	8
4150 Additional offsets against budget authority only (total)	-12
4170 Outlays, net (mandatory)	12	59
4180 Budget authority, net (total)	2,213	2,255	2,378
4190 Outlays, net (total)	2,173	2,408	2,361

There are 94 United States Attorneys' Offices located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. The 93 U.S. Attorneys (Guam and the Northern Mariana Islands are under the direction of a single U.S. Attorney) prosecute criminal offenses against the United States, represent the Government in civil actions in which the United States is concerned, and initiate proceedings for the collection of fines, penalties, and forfeitures owed to the United States. For 2021, the U.S. Attorneys request \$41.2 million to support key priorities and sustain hiring and program operations in the United States Attorneys' Offices, combat targeted violence, transnational organized crime, address the opioid epidemic, human trafficking and issues of elder justice.

Object Classification (in millions of dollars)

Identification code 015-0322-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,005	1,032	1,078
11.3 Other than full-time permanent	103	83	86
11.5 Other personnel compensation	29	33	33
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	1,139	1,150	1,199
12.1 Civilian personnel benefits	375	377	406
21.0 Travel and transportation of persons	32	32	32
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	249	248	247
23.2 Rental payments to others	5	5	26
23.3 Communications, utilities, and miscellaneous charges	28	29	30
24.0 Printing and reproduction	1	2	1
25.1 Advisory and assistance services	116	104	123
25.2 Other services from non-Federal sources	37	36	37
25.3 Purchases from Govt Accts	73	85	86
25.4 Operation and maintenance of facilities	73	72	73
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	67	50	50
26.0 Supplies and materials	11	12	13
31.0 Equipment	65	49	51
32.0 Land and structures	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	2,274	2,255	2,378
99.0 Reimbursable obligations	51	51	51

SALARIES AND EXPENSES, UNITED STATES ATTORNEYS—Continued
Object Classification—Continued

Identification code 015-0322-0-1-752	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	2,325	2,306	2,429

Employment Summary

Identification code 015-0322-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10,011	10,222	10,330
2001 Reimbursable civilian full-time equivalent employment	466	481	481

SALARIES AND EXPENSES, FOREIGN CLAIMS SETTLEMENT COMMISSION

For expenses necessary to carry out the activities of the Foreign Claims Settlement Commission, including services as authorized by section 3109 of title 5, United States Code, **[\$2,335,000] \$2,366,000.** (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0100-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Foreign Claims	2	2	2

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1930 Total budgetary resources available	2	2	2

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-2	-2	-2
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2

The Foreign Claims Settlement Commission adjudicates the claims of United States nationals (individuals and corporations) for losses and injuries caused by foreign governments, pursuant to the International Claims Settlement Act of 1949 and other statutes. In 2021, the Commission will continue to administer the Guam Claims Program in accordance with the Guam World War II Loyalty Recognition Act, Title XVII, P.L. 114-328, 130 Stat. 2000, 2641-2647 (2016); the Iraq Claims Program in accordance with the October 7, 2014 referral by the Department of the State; and the Albania Claims Program in accordance with the 1995 United States-Albanian Claims Settlement Agreement.

Object Classification (in millions of dollars)

Identification code 015-0100-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	2	2	2

Employment Summary

Identification code 015-0100-0-1-153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7	9	9

SALARIES AND EXPENSES

For necessary expenses of the United States Marshals Service, **[\$1,430,000,000] \$1,608,073,000**, of which not to exceed \$6,000 shall be available for official reception and representation expenses, and not to exceed \$25,000,000 shall remain available until expended. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0324-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Judicial and Courthouse Security	464	482	526
0003 Fugitive Apprehension	540	568	662
0004 Prisoner Security and Transportation	241	253	276
0005 Protection of Witnesses	57	61	67
0006 Tactical Operations	64	66	77
0799 Total direct obligations	1,366	1,430	1,608
0801 Salaries and Expenses, United States Marshals Service (Reimbursable)	23	32	32
0900 Total new obligations, unexpired accounts	1,389	1,462	1,640

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	25	37
1012 Unobligated balance transfers between expired and unexpired accounts	13		
1021 Recoveries of prior year unpaid obligations	3	5	3
1050 Unobligated balance (total)	35	30	40
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,359	1,430	1,608
1121 Appropriations transferred from other acct [011-1070] ...	1		
1160 Appropriation, discretionary (total)	1,360	1,430	1,608
Spending authority from offsetting collections, discretionary:			
1700 Collected	27	32	32
1701 Change in uncollected payments, Federal sources		7	7
1750 Spending auth from offsetting collections, disc (total)	27	39	39
1900 Budget authority (total)	1,387	1,469	1,647
1930 Total budgetary resources available	1,422	1,499	1,687
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-8		
1941 Unexpired unobligated balance, end of year	25	37	47

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	246	279	157
3010 New obligations, unexpired accounts	1,389	1,462	1,640
3011 Obligations ("upward adjustments"), expired accounts	22		
3020 Outlays (gross)	-1,353	-1,579	-1,629
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-5	-3
3041 Recoveries of prior year unpaid obligations, expired	-22		
3050 Unpaid obligations, end of year	279	157	165
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-14	-7	-14
3070 Change in uncollected pymts, Fed sources, unexpired		-7	-7
3071 Change in uncollected pymts, Fed sources, expired	7		
3090 Uncollected pymts, Fed sources, end of year	-7	-14	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	232	272	143
3200 Obligated balance, end of year	272	143	144

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,387	1,469	1,647
Outlays, gross:			
4010 Outlays from new discretionary authority	1,141	1,322	1,482
4011 Outlays from discretionary balances	200	257	147
4020 Outlays, gross (total)	1,341	1,579	1,629

Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-43	-32	-32
4033	Non-Federal sources	-2	-7	-7
4034	Offsetting governmental collections	-2		
4040	Offsets against gross budget authority and outlays (total)	-47	-39	-39
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired		-7	-7
4052	Offsetting collections credited to expired accounts	20	7	7
4060	Additional offsets against budget authority only (total)	20		
4070	Budget authority, net (discretionary)	1,360	1,430	1,608
4080	Outlays, net (discretionary)	1,294	1,540	1,590
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	12		
4180	Budget authority, net (total)	1,360	1,430	1,608
4190	Outlays, net (total)	1,306	1,540	1,590

The Federal Government is represented by a United States Marshal in each of the 94 judicial districts. The primary mission of the United States Marshals Service (USMS) is to protect, defend, and enforce the American justice system by securing Federal court facilities and ensuring the safety of judges and other court personnel; apprehending fugitives and non-compliant sex offenders; exercising custody of Federal prisoners, and providing for their security and transportation from arrest to incarceration; ensuring the safety of protected government witnesses and their families; executing Federal warrants and court orders; managing seized assets acquired through illegal means; and providing custody, management, and disposal of forfeited assets. The USMS is the principal support force in the Federal judicial system and an integral part of the Federal law enforcement community.

Other Federal funds are derived from the Administrative Office of the U.S. Courts for the Judicial Facility Security Program, the Assets Forfeiture Fund for seized assets management and disposal, the Fees and Expenses of Witnesses appropriation for protected witnesses' security and relocation, the Organized Crime Drug Enforcement Task Forces Program for multi-agency drug investigations, and the Departments of Education and Health and Human Services. Non-Federal funds are derived from State and local governments for witness protection and the transportation of prisoners pursuant to State writs, as well as fees collected from service of civil process and sales associated with judicial orders.

For 2021, the USMS requests program increases totaling \$119.3 million. To support the fugitive apprehension and tactical operations missions, the USMS requests \$16.4 million to upgrade electronic surveillance equipment, \$6.1 million to modernize and replace investigative aircraft, and \$7.2 million for Land Mobile Radio Network modernization for faster emergency response and increased officer safety. The USMS remains integral in the Administration's push to reduce violent crime and combat mass violence. To enhance these efforts, the USMS requests \$15.0 million to establish a new Regional Fugitive Task Force, \$5.6 million to expand counter-gang programs, and \$64.2 million to halt gun violence by adding Deputy U.S. Marshals to district fugitive task forces. Finally, the USMS requests \$4.8 million to strengthen judicial security by expanding the intelligence and protection response to mitigate threats to USMS protectees.

Object Classification (in millions of dollars)

Identification code 015-0324-0-1-752	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	434	477	514
11.3	Other than full-time permanent	13	12	12
11.5	Other personnel compensation	90	99	110
11.8	Special personal services payments	1		
11.9	Total personnel compensation	538	588	636
12.1	Civilian personnel benefits	255	302	332
21.0	Travel and transportation of persons	34	32	33
22.0	Transportation of things	2	2	2
23.1	Rental payments to GSA	191	207	207
23.2	Rental payments to others	12	22	23
23.3	Communications, utilities, and miscellaneous charges	22	23	25

24.0	Printing and reproduction		2	2
25.1	Advisory and assistance services	25	24	30
25.2	Other services from non-Federal sources	26	34	54
25.3	Other goods and services from Federal sources	81	43	46
25.4	Operation and maintenance of facilities	35	45	45
25.7	Operation and maintenance of equipment	47	26	27
26.0	Supplies and materials	25	24	30
31.0	Equipment	62	44	104
32.0	Land and structures	10	11	11
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	1,366	1,430	1,608
99.0	Reimbursable obligations	23	32	32
99.9	Total new obligations, unexpired accounts	1,389	1,462	1,640

Employment Summary

Identification code 015-0324-0-1-752	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	4,568	4,612	4,650
2001	Reimbursable civilian full-time equivalent employment	124	147	148

CONSTRUCTION

For construction in space controlled, occupied or utilized by the United States Marshals Service for prisoner holding and related support, \$15,000,000, to remain available until expended. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0133-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Construction	14	15	15
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	12	15	18
1021	Recoveries of prior year unpaid obligations	2	3	3
1050	Unobligated balance (total)	14	18	21
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	15	15	15
1930	Total budgetary resources available	29	33	36
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	15	18	21
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	63	61	35
3010	New obligations, unexpired accounts	14	15	15
3020	Outlays (gross)	-14	-38	-21
3040	Recoveries of prior year unpaid obligations, unexpired	-2	-3	-3
3050	Unpaid obligations, end of year	61	35	26
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	63	61	35
3200	Obligated balance, end of year	61	35	26
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	15	15	15
Outlays, gross:				
4010	Outlays from new discretionary authority		1	1
4011	Outlays from discretionary balances	14	37	20
4020	Outlays, gross (total)	14	38	21
4180	Budget authority, net (total)	15	15	15
4190	Outlays, net (total)	14	38	21

The Construction appropriation provides resources to modify spaces controlled, occupied, and/or utilized by the United States Marshals Service for prisoner holding and related support.

Object Classification (in millions of dollars)

Identification code 015-0133-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	1	1	1
25.4	Operation and maintenance of facilities	1	1	1

CONSTRUCTION—Continued
Object Classification—Continued

Identification code 015-0133-0-1-751		2019 actual	2020 est.	2021 est.
31.0	Equipment	1	2	2
32.0	Land and structures	11	11	11
99.9	Total new obligations, unexpired accounts	14	15	15

FEDERAL PRISONER DETENTION

For necessary expenses related to United States prisoners in the custody of the United States Marshals Service as authorized by section 4013 of title 18, United States Code, **[\$1,867,461,000]** \$2,046,609,000, to remain available until expended: *Provided*, That not to exceed \$20,000,000 shall be considered "funds appropriated for State and local law enforcement assistance" pursuant to section 4013(b) of title 18, United States Code: *Provided further*, That the United States Marshals Service shall be responsible for managing the Justice Prisoner and Alien Transportation System. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-1020-0-1-752		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Federal Prisoner Detention	1,841	1,867	2,047
0100	Direct program activities, subtotal	1,841	1,867	2,047
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	54	19	34
1011	Unobligated balance transfer from other acct [015-0404]	60		
1021	Recoveries of prior year unpaid obligations	27	15	15
1050	Unobligated balance (total)	141	34	49
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,707	1,867	2,047
1121	Appropriations transferred from other acct [015-0404]	12		
1160	Appropriation, discretionary (total)	1,719	1,867	2,047
1900	Budget authority (total)	1,719	1,867	2,047
1930	Total budgetary resources available	1,860	1,901	2,096
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	19	34	49
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	310	313	295
3010	New obligations, unexpired accounts	1,841	1,867	2,047
3020	Outlays (gross)	-1,811	-1,870	-2,070
3040	Recoveries of prior year unpaid obligations, unexpired	-27	-15	-15
3050	Unpaid obligations, end of year	313	295	257
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	310	313	295
3200	Obligated balance, end of year	313	295	257
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,719	1,867	2,047
Outlays, gross:				
4010	Outlays from new discretionary authority	1,506	1,587	1,740
4011	Outlays from discretionary balances	305	283	330
4020	Outlays, gross (total)	1,811	1,870	2,070
4180	Budget authority, net (total)	1,719	1,867	2,047
4190	Outlays, net (total)	1,811	1,870	2,070

The Federal Prisoner Detention (FPD) appropriation is responsible for the costs associated with the care of Federal detainees in the custody of the United States Marshals Service (USMS). The USMS must ensure the safe, secure, and humane confinement of persons in its custody while allowing unimpeded prisoner transportation operations. The FPD appropriation provides for the care of Federal detainees in private, State, and local facilities, which includes housing, subsistence, transportation, medical care, and medical guard service.

The Federal Government utilizes various methods to house detainees. The USMS acquires detention bed space for Federal detainees through several means, using the most appropriate method to maximize efficiency and effectiveness for the Government:

- 1) Federally-owned and managed detention facilities, where the Government has paid for construction and operation of the facility, funded through the Federal Bureau of Prisons' (BOP) account;
- 2) Intergovernmental Agreements (IGAs) with State and local jurisdictions, whose excess prison and jail bed capacity is utilized via a negotiated daily rate paid to those jurisdictions; and
- 3) Private performance-based contract facilities, where an individual daily rate or contract minimum rate is paid.

Over 80 percent of the USMS's Federally-detained population will likely be housed in State, local, and private facilities.

For 2021, the USMS requests an increase of \$479.1 million for housing, medical, and transportation costs associated with the projected USMS detention population. Within that funding, the USMS requests \$2.1 million for six new positions to expand oversight and administration of private detention facility contracts, and to establish additional capability to ensure that State and local facilities meet established standards of confinement when providing detention bedspace through IGAs. Finally, the USMS requests \$2.0 million to establish a task force to explore cost mitigation efforts that will address the rising cost of detention.

The USMS will continue to identify cost reduction and avoidance opportunities through process and infrastructure improvements. The costs associated with these efforts are funded from the FPD appropriation. In recent years, the USMS has successfully implemented a number of efficiency initiatives, including:

- 1) eDesignate, which was developed to provide a more efficient workflow between the U.S. Courts, the USMS, and the BOP;
- 2) core-rate IGA, which standardizes the pricing strategy for paying for non-federal detention space in order to control costs;
- 3) the Quality Assurance Review Program, which ensures non-federal facilities' housing meet Department of Justice requirements for safe, secure and humane confinement; and
- 4) increased use of detention alternatives by providing funding to the Federal Judiciary to support alternatives to pretrial detention, such as electronic monitoring, halfway house placement, and drug testing and treatment.

Object Classification (in millions of dollars)

Identification code 015-1020-0-1-752		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	3	3	4
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	4	4	5
12.1	Civilian personnel benefits	1	1	1
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	1	1	1
25.1	Advisory and assistance services	5	7	7
25.3	Other goods and services from Federal sources	82	92	95
25.4	Operation and maintenance of facilities	10	10	10
25.6	Medical care	88	95	105
25.7	Operation and maintenance of equipment	1	2	2
25.8	Subsistence and support of persons	1,646	1,650	1,813
31.0	Equipment	1	3	6
99.9	Total new obligations, unexpired accounts	1,841	1,867	2,047

Employment Summary

Identification code 015-1020-0-1-752		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	27	27	30

FEES AND EXPENSES OF WITNESSES

For fees and expenses of witnesses, for expenses of contracts for the procurement and supervision of expert witnesses, for private counsel expenses, including advances, and for expenses of foreign counsel, \$270,000,000, to remain available until expended, of which not to exceed \$16,000,000 is for construction of buildings for protected witness safesites; not to exceed \$3,000,000 is for the purchase and maintenance of armored and other vehicles for witness security caravans; and not to exceed \$18,000,000 is for the purchase, installation, maintenance, and upgrade of secure telecommunications equipment and a secure automated information network to store and retrieve the identities and locations of protected witnesses: *Provided*, That amounts made available under this heading may not be transferred pursuant to section 205 of this Act.

(CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$150,000,000 are hereby permanently cancelled. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0311-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Fees and expenses of witnesses	224	224	213
0002 Protection of witnesses	66	66	40
0003 Private counsel	4	4	6
0004 Foreign counsel	16	16	10
0005 Alternative Dispute Resolution	2	2	1
0900 Total new obligations, unexpired accounts	312	312	270
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	249	257	229
1021 Recoveries of prior year unpaid obligations	66	30	
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	316	287	229
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	270	270	270
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-17	-16	
1230 Cancellation of Balances			-150
1260 Appropriations, mandatory (total)	253	254	120
1930 Total budgetary resources available	569	541	349
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	257	229	79
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	343	380	131
3010 New obligations, unexpired accounts	312	312	270
3020 Outlays (gross)	-209	-531	-164
3040 Recoveries of prior year unpaid obligations, unexpired	-66	-30	
3050 Unpaid obligations, end of year	380	131	237
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	343	380	131
3200 Obligated balance, end of year	380	131	237
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	253	254	120
Outlays, gross:			
4100 Outlays from new mandatory authority	124	178	84
4101 Outlays from mandatory balances	85	353	80
4110 Outlays, gross (total)	209	531	164
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	253	254	120
4170 Outlays, net (mandatory)	208	531	164
4180 Budget authority, net (total)	253	254	120
4190 Outlays, net (total)	208	531	164

This appropriation is used to pay fees and expenses of witnesses who appear on behalf of the Government in litigation in which the United States

is a party. The United States Attorneys, the United States Marshals Service, and the Department's six litigating divisions are served by this appropriation.

Fees and expenses of witnesses.—Pays the fees and expenses associated with the presentation of testimony on behalf of the United States for fact witnesses who testify as to events or facts about which they have personal knowledge, and for expert witnesses who provide technical or scientific testimony. This program also pays the fees of physicians and psychiatrists who examine accused persons upon order of the court to determine their mental competency.

Protection of witnesses.—Pays subsistence and other costs to ensure the safety of Government witnesses whose testimony on behalf of the United States places them or their families in jeopardy.

Victim compensation fund.—Pays restitution to any victim of a crime committed by a protected witness who causes or threatens death or serious bodily injury.

Private counsel.—Pays private counsel retained to represent Government employees who are sued, charged, or subpoenaed for actions taken while performing their official duties (private counsel expenditures may be authorized for congressional testimony as well as for litigation in instances where Government counsel is precluded from representing the employee or private counsel is otherwise appropriate).

Foreign Counsel.—Allows the Civil Division, which is authorized to oversee litigation in foreign courts, to pay legal expenses of foreign counsel, retained and supervised by the Department of Justice, who represent the United States in cases filed in foreign courts.

Alternative Dispute Resolution.—Pays the costs of providing Alternative Dispute Resolution (ADR) services in instances wherein the Department has taken the initiative to use such services and wherein the courts have directed the parties to attempt a settlement using mediation or some other ADR process.

Object Classification (in millions of dollars)

Identification code 015-0311-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.8 Fees and expenses of witnesses	224	224	203
11.8 Fees, protection of witnesses	2	2	45
11.9 Total personnel compensation	226	226	248
21.0 Per diem in lieu of subsistence	11	11	9
23.1 Rental payments to GSA	5	5	
25.1 Advisory and assistance services	13	13	8
25.2 Other services from non-Federal sources			2
25.3 Other goods and services from Federal sources	3	3	3
25.8 Subsistence and support of persons	54	54	
99.9 Total new obligations, unexpired accounts	312	312	270

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses of the Community Relations Service, \$16,000,000: *Provided*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for conflict resolution and violence prevention activities of the Community Relations Service, the Attorney General may transfer such amounts to the Community Relations Service, from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 505 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (Department of Justice Appropriations Act, 2020.)

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE—Continued

Program and Financing (in millions of dollars)

Identification code 015-0500-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Community Relations Service	14	16
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	16	16
1930 Total budgetary resources available	16	16
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	8	2
3010 New obligations, unexpired accounts	14	16
3020 Outlays (gross)	-15	-22	-2
3050 Unpaid obligations, end of year	8	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	8	2
3200 Obligated balance, end of year	8	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16	16
Outlays, gross:			
4010 Outlays from new discretionary authority	9	14
4011 Outlays from discretionary balances	6	8	2
4020 Outlays, gross (total)	15	22	2
4180 Budget authority, net (total)	16	16
4190 Outlays, net (total)	15	22	2

The Community Relations Service provides assistance to State and local communities in the prevention and resolution of tension, violence, and civil disorders relating to actual or perceived discrimination on the basis of race, color, or national origin. The Service also works with communities to employ strategies to prevent and respond to violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion, or disability. The 2021 Budget is not requesting an appropriation for the Community Relations Service (CRS), and instead proposes to consolidate those functions within the Civil Rights Division (CRT). This consolidation would appropriately rightsize the Federal role in local conflict resolutions, while eliminating duplicative functions and improving efficiency. The Budget provides \$5 million and 15 positions to CRT to support this proposal.

Object Classification (in millions of dollars)

Identification code 015-0500-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5
12.1 Civilian personnel benefits	1	2
21.0 Travel and transportation of persons	1	1
23.1 Rental payments to GSA	2	2
25.1 Advisory and assistance services	1	1
25.3 Other goods and services from Federal sources	5	5
99.9 Total new obligations, unexpired accounts	14	16

Employment Summary

Identification code 015-0500-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33	34

INDEPENDENT COUNSEL

Program and Financing (in millions of dollars)

Identification code 015-0327-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Investigations and prosecutions as authorized by Congress	4	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	5	1	1
1930 Total budgetary resources available	5	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	2
3010 New obligations, unexpired accounts	4	1	1
3020 Outlays (gross)	-5	-3	-1
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	2
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	3	1	1
4101 Outlays from mandatory balances	2	2
4110 Outlays, gross (total)	5	3	1
4180 Budget authority, net (total)	5	1	1
4190 Outlays, net (total)	5	3	1

A permanent appropriation is available to fund independent and special counsel activities (28 U.S.C. 591 note). In recent years, special counsels have been appointed to investigate allegations that senior Executive Branch officials violated Federal law. This permanent appropriation is used to fund such investigations.

Object Classification (in millions of dollars)

Identification code 015-0327-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	1
25.3 Other goods and services from Federal sources	3	1	1
99.9 Total new obligations, unexpired accounts	4	1	1

Employment Summary

Identification code 015-0327-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5

SEPTEMBER 11TH VICTIM COMPENSATION (GENERAL FUND)

Program and Financing (in millions of dollars)

Identification code 015-0340-0-1-754	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	1	1
1020 Adjustment of unobligated bal brought forward, Oct 1	-6
1033 Recoveries of prior year paid obligations	1
1050 Unobligated balance (total)	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1
3050	Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1
3200	Obligated balance, end of year	1	1
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-1	
Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts	1	
4170	Outlays, net (mandatory)	-1	
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-1	

Title II of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111–347) reopened the September 11th Victim Compensation Fund (VCF), extending eligibility for compensation associated with physical harm stemming from debris removal and extending the deadline for claims associated with harms not discovered before the Fund's original deadline. The VCF was established by the Air Transportation Safety and System Stabilization Act (P.L. 107–42) as an alternative to litigation, and had terminated operations in 2004 after making compensation payments of more than \$7 billion to survivors of 2,880 persons killed in the September 11, 2001 terrorist attacks and to 2,680 individuals who were injured in the attacks and subsequent rescue efforts.

P.L. 111–347 made \$2.775 billion available for the settlement of claim determinations issued on or before December 17, 2015. After all claims in this group were resolved, roughly all remaining funds (\$813 million) became available in the new Victims Compensation Fund. The Victims Compensation Fund is available for the settlement of claim determinations issued after December 17, 2015.

VICTIMS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 015–0139–0–1–754	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Victims Compensation	1,259	2,428	2,913
0002	Management and Administration	32	43	45
0900	Total new obligations, unexpired accounts	1,291	2,471	2,958
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,163	1,883	309
1021	Recoveries of prior year unpaid obligations	3		
1033	Recoveries of prior year paid obligations	2		
1050	Unobligated balance (total)	3,168	1,883	309
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation		897	2,958
Spending authority from offsetting collections, mandatory:				
1800	Collected	6		
1900	Budget authority (total)	6	897	2,958
1930	Total budgetary resources available	3,174	2,780	3,267
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,883	309	309
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	12	6	235
3010	New obligations, unexpired accounts	1,291	2,471	2,958
3020	Outlays (gross)	-1,294	-2,242	-2,501
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	6	235	692
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	12	6	235

3200	Obligated balance, end of year	6	235	692
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	6	897	2,958
Outlays, gross:				
4100	Outlays from new mandatory authority		718	2,366
4101	Outlays from mandatory balances	1,294	1,524	135
4110	Outlays, gross (total)	1,294	2,242	2,501
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-6		
4123	Non-Federal sources	-2		
4130	Offsets against gross budget authority and outlays (total)	-8		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	2		
4160	Budget authority, net (mandatory)		897	2,958
4170	Outlays, net (mandatory)	1,286	2,242	2,501
4180	Budget authority, net (total)		897	2,958
4190	Outlays, net (total)	1,286	2,242	2,501

Public Law 114–113 provided \$4.6 billion starting in 2017 for the settlement of claims related to the September 11th attacks. Per Section 410, a new Treasury account was established called the "Victims Compensation Fund." This fund is available for the settlement of claim determinations issued after December 17, 2015. After all claims in the September 11th Victim Compensation Fund were resolved, \$813 million in remaining funding became available in the new Victims Compensation Fund.

On July 29, 2019, the President signed into law the Never Forget the Heroes: James Zadroga, Ray Pfeifer, and Luis Alvarez Permanent Authorization of the September 11th Victim Compensation Fund, Public Law 116–34. The Act extends the VCF's claim filing deadline to October 1, 2090, and appropriates such funds as may be necessary in each fiscal year through fiscal year 2092 to pay all eligible claims.

Object Classification (in millions of dollars)

Identification code 015–0139–0–1–754	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	32	43	45
42.0	Insurance claims and indemnities	1,259	2,428	2,913
99.9	Total new obligations, unexpired accounts	1,291	2,471	2,958

UNITED STATES VICTIMS OF STATE SPONSORED TERRORISM FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015–5608–0–2–754	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1	1	4
Receipts:				
Current law:				
1110	Fines, Penalties, and Forfeitures, United States Victims of State Sponsored Terrorism Fund	1,074	175	170
1140	Earnings on Investments, United States Victims of State Sponsored Terrorism Fund	15	3	1
1199	Total current law receipts	1,089	178	171
1999	Total receipts	1,089	178	171
2000	Total: Balances and receipts	1,090	179	175
Appropriations:				
Current law:				
2101	United States Victims of State Sponsored Terrorism Fund	-1,089	-175	-170
5099	Balance, end of year	1	4	5

Program and Financing (in millions of dollars)

Identification code 015–5608–0–2–754	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Victim Compensation	1,074	1,076	160

UNITED STATES VICTIMS OF STATE SPONSORED TERRORISM FUND—Continued
Program and Financing—Continued

Identification code 015-5608-0-2-754	2019 actual	2020 est.	2021 est.
0002 Management and Administration	1	10	10
0900 Total new obligations, unexpired accounts	1,075	1,086	170
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,163	1,178	267
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1,164	1,178	267
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,089	175	170
1930 Total budgetary resources available	2,253	1,353	437
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,178	267	267
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2		10
3010 New obligations, unexpired accounts	1,075	1,086	170
3020 Outlays (gross)	-1,076	-1,076	-170
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year		10	10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2		10
3200 Obligated balance, end of year		10	10
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,089	175	170
Outlays, gross:			
4100 Outlays from new mandatory authority	1,075	175	170
4101 Outlays from mandatory balances	1	901	
4110 Outlays, gross (total)	1,076	1,076	170
4180 Budget authority, net (total)	1,089	175	170
4190 Outlays, net (total)	1,076	1,076	170
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,161	1,040	500
5001 Total investments, EOY: Federal securities: Par value	1,040	500	500

The Consolidated Appropriations Act, 2016 established the United States Victims of State Sponsored Terrorism Fund (VSSTF) as an effort to improve the availability of compensation for certain U.S. victims of state sponsored terrorism. VSSTF is managed by the Criminal Division's Money Laundering and Asset Recovery Section.

Object Classification (in millions of dollars)

Identification code 015-5608-0-2-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	10	10
42.0 Insurance claims and indemnities	1,074	1,076	160
99.9 Total new obligations, unexpired accounts	1,075	1,086	170

UNITED STATES TRUSTEE SYSTEM FUND

For necessary expenses of the United States Trustee Program, as authorized, **[\$227,229,000] \$234,464,000**, to remain available until expended: *Provided*, That, notwithstanding any other provision of law, deposits to the United States Trustee System Fund and amounts herein appropriated shall be available in such amounts as may be necessary to pay refunds due depositors: *Provided further*, That, notwithstanding any other provision of law, fees deposited into the Fund pursuant to section 589a(b) of title 28, United States Code (as limited by section 1004(b) of the Bankruptcy Judgeship Act of 2017 (division B of Public Law 115-72)), shall be retained and used for necessary expenses in this appropriation and shall remain available until expended: *Provided further*, That to the extent that fees deposited into the Fund in fiscal year **[2020] 2021**, net of amounts necessary to pay refunds due depositors, exceed **[\$227,229,000] \$234,464,000**, those excess amounts shall be available in

future fiscal years only to the extent provided in advance in appropriations Acts: *Provided further*, That the sum herein appropriated from the general fund shall be reduced (1) as such fees are received during fiscal year **[2020] 2021**, net of amounts necessary to pay refunds due depositors, (estimated at **[\$309,000,000] \$312,675,000**) and (2) to the extent that any remaining general fund appropriations can be derived from amounts deposited in the Fund in previous fiscal years that are not otherwise appropriated, so as to result in a final fiscal year **[2020] 2021** appropriation from the general fund estimated at \$0. (*Department of Justice Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5073-0-2-752	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	55	131	213
0198 Adjustment for FY 2017 appropriation offset	-12		
0198 Rounding adjustment	1		
0199 Balance, start of year	44	131	213
Receipts:			
Current law:			
1120 Fees for Bankruptcy Oversight, U.S. Trustees System	311	307	313
1140 Earnings on Investments, U.S. Trustees System	2	2	2
1199 Total current law receipts	313	309	315
1999 Total receipts	313	309	315
2000 Total: Balances and receipts	357	440	528
Appropriations:			
Current law:			
2101 United States Trustee System Fund	-226	-227	-234
5099 Balance, end of year	131	213	294

Program and Financing (in millions of dollars)

Identification code 015-5073-0-2-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United States Trustee System Fund (Direct)	236	251	238
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	12	3
1021 Recoveries of prior year unpaid obligations	3	15	1
1050 Unobligated balance (total)	22	27	4
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	226	227	234
1930 Total budgetary resources available	248	254	238
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12	3	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	52	68	68
3010 New obligations, unexpired accounts	236	251	238
3020 Outlays (gross)	-217	-236	-236
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-15	-1
3050 Unpaid obligations, end of year	68	68	69
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	52	68	68
3200 Obligated balance, end of year	68	68	69
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	226	227	234
Outlays, gross:			
4010 Outlays from new discretionary authority	183	200	206
4011 Outlays from discretionary balances	34	36	30
4020 Outlays, gross (total)	217	236	236
4180 Budget authority, net (total)	226	227	234
4190 Outlays, net (total)	217	236	236
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value		43	149
5001 Total investments, EOY: Federal securities: Par value	43	149	209

The United States Trustee Program (USTP or Program) supervises the administration of bankruptcy cases and private trustees in the Federal Bankruptcy Courts, and litigates against fraud and abuse in the system by

debtors, creditors, attorneys, bankruptcy petition preparers, and others. The Bankruptcy Judges, U.S. Trustees and Family Farmer Bankruptcy Act of 1986 (P.L. 99-554) expanded the pilot trustee program to a 21 region, nationwide program encompassing 88 judicial districts (bankruptcy cases filed in Alabama and North Carolina are administered by the Administrative Office of the U.S. Courts). The Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, (P.L. 109-8) expanded the Program's responsibilities to include, among other things, means testing, credit counseling/debtor education, and debtor audits. The August 2019 enactment of the Small Business Reorganization Act (P.L. 116-54) gave the Program additional responsibilities regarding small business debtors.

USTP appropriations are offset by revenues deposited into the United States Trustee System Fund from filing fees paid by consumer and business debtors as well as quarterly fees based on disbursements made by chapter 11 debtors. In October 2017, the Bankruptcy Judgeship Act of 2017 (P.L. 115-72) was enacted, which adjusted quarterly fees for the largest chapter 11 debtors. The amended fee structure was effective January 1, 2018 and applies for each fiscal year through 2022. The fee schedule, however, will revert to the prior schedule for any fiscal year in which the balance of the Fund equals or exceeds a threshold amount as of the end of the prior fiscal year. Beginning in 2020, the threshold increased to \$300 million (as amended by the Consolidated Appropriations Act, 2020, P.L. 116-93). As a result, the Program's 2021 appropriation is anticipated to be fully offset by bankruptcy fees collected and on deposit in the United States Trustee System Fund if the amended fee structure is in effect for the year.

Object Classification (in millions of dollars)

Identification code 015-5073-0-2-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	107	115	121
11.3 Other than full-time permanent	3	3	4
11.5 Other personnel compensation	2	2	3
11.9 Total personnel compensation	112	120	128
12.1 Civilian personnel benefits	37	43	47
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	26	26	26
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	4	4
25.1 Advisory and assistance services	13	11	3
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	13	14	12
25.4 Operation and maintenance of facilities	5	2	1
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	11	9	7
32.0 Land and structures	8	5	2
44.0 Refunds	10	10	1
99.9 Total new obligations, unexpired accounts	236	251	238

Employment Summary

Identification code 015-5073-0-2-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	930	976	1,025
2001 Reimbursable civilian full-time equivalent employment	1	1	1

ASSETS FORFEITURE FUND

For expenses authorized by subparagraphs (B), (F), and (G) of section 524(c)(1) of title 28, United States Code, \$20,514,000, to be derived from the Department of Justice Assets Forfeiture Fund. (*Department of Justice Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5042-0-2-752	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	105	133	185
Receipts:			
Current law:			
1110 Forfeited Cash and Proceeds from the Sale of Forfeited Property, Assets Forfeiture Fund	2,022	1,503	1,075

1140 Interest and Profit on Investment, Department of Justice Assets Forfeiture Fund	180	100	10
1199 Total current law receipts	2,202	1,603	1,085
1999 Total receipts	2,202	1,603	1,085
2000 Total: Balances and receipts	2,307	1,736	1,270
Appropriations:			
Current law:			
2101 Assets Forfeiture Fund	-21	-21	-21
2101 Assets Forfeiture Fund	-2,182	-1,482	-1,054
2103 Assets Forfeiture Fund	-106	-135	-87
2132 Assets Forfeiture Fund	135	87
2199 Total current law appropriations	-2,174	-1,551	-1,162
2999 Total appropriations	-2,174	-1,551	-1,162
5099 Balance, end of year	133	185	108

Program and Financing (in millions of dollars)

Identification code 015-5042-0-2-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Assets Forfeiture Fund (Direct)	1,604	2,330	1,298
0801 Assets Forfeiture Fund (Reimbursable)	14	18	18
0900 Total new obligations, unexpired accounts	1,618	2,348	1,316
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,201	1,174	470
1021 Recoveries of prior year unpaid obligations	66	75	75
1033 Recoveries of prior year paid obligations	6
1050 Unobligated balance (total)	1,273	1,249	545
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	21	21	21
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2,182	1,482	1,054
1203 Appropriation (previously unavailable)(special or trust)	106	135	87
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-674
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced (sequester)	-135	-87
1260 Appropriations, mandatory (total)	1,479	1,530	1,141
Spending authority from offsetting collections, mandatory:			
1800 Collected	14	18	14
1801 Change in uncollected payments, Federal sources	5
1850 Spending auth from offsetting collections, mand (total)	19	18	14
1900 Budget authority (total)	1,519	1,569	1,176
1930 Total budgetary resources available	2,792	2,818	1,721
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,174	470	405
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,720	2,844	1,716
3010 New obligations, unexpired accounts	1,618	2,348	1,316
3020 Outlays (gross)	-2,428	-3,401	-1,269
3040 Recoveries of prior year unpaid obligations, unexpired	-66	-75	-75
3050 Unpaid obligations, end of year	2,844	1,716	1,688
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-16	-21	-21
3070 Change in uncollected pymts, Fed sources, unexpired	-5
3090 Uncollected pymts, Fed sources, end of year	-21	-21	-21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,704	2,823	1,695
3200 Obligated balance, end of year	2,823	1,695	1,667
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	21	21	21
Outlays, gross:			
4010 Outlays from new discretionary authority	14	8	8
4011 Outlays from discretionary balances	7	12	12
4020 Outlays, gross (total)	21	20	20
Mandatory:			
4090 Budget authority, gross	1,498	1,548	1,155
Outlays, gross:			
4100 Outlays from new mandatory authority	1,188	988	698

ASSETS FORFEITURE FUND—Continued
Program and Financing—Continued

Identification code 015-5042-0-2-752	2019 actual	2020 est.	2021 est.
4101 Outlays from mandatory balances	1,219	2,393	551
4110 Outlays, gross (total)	2,407	3,381	1,249
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4120 Federal sources	-15	-18	-14
4123 Non-Federal sources	-5		
4130 Offsets against gross budget authority and outlays (total)	-20	-18	-14
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-5		
4143 Recoveries of prior year paid obligations, unexpired accounts	6		
4150 Additional offsets against budget authority only (total)	1		
4160 Budget authority, net (mandatory)	1,479	1,530	1,141
4170 Outlays, net (mandatory)	2,387	3,363	1,235
4180 Budget authority, net (total)	1,500	1,551	1,162
4190 Outlays, net (total)	2,408	3,383	1,255
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	4,932	2,106	2,133
5001 Total investments, EOY: Federal securities: Par value	2,106	2,133	2,135

The Comprehensive Crime Control Act of 1984 established the Assets Forfeiture Fund (AFF) as a repository for forfeited cash and the proceeds of sales of forfeited property under any law enforced and administered by the Department of Justice in accordance with 28 U.S.C. 524(c). Authorities of the AFF have been amended by various public laws enacted since 1984. Under current law, authority to use the AFF for certain investigative expenses shall be specified in annual appropriations acts. Expenses necessary to seize, detain, inventory, safeguard, maintain, advertise, or sell property under seizure are funded through a permanent, indefinite appropriation. In addition, beginning in 1993, other general expenses of managing and operating the assets forfeiture program are paid from the permanent, indefinite portion of the AFF. Once all expenses are covered, the balance is maintained to meet ongoing expenses of the program. Excess unobligated balances may also be allocated by the Attorney General in accordance with 28 U.S.C. 524(c)(8)(E).

Object Classification (in millions of dollars)

Identification code 015-5042-0-2-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	15	16	15
21.0 Travel and transportation of persons	7	7	7
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	15	15	14
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	22	30	
25.1 Advisory and assistance services	185	250	170
25.2 Other services from non-Federal sources	1,239	1,851	1,000
25.3 Other goods and services from Federal sources	56	80	30
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	42	55	40
26.0 Supplies and materials	7	7	7
31.0 Equipment	6	7	7
99.0 Direct obligations	1,602	2,326	1,298
99.0 Reimbursable obligations	16	22	18
99.9 Total new obligations, unexpired accounts	1,618	2,348	1,316

Employment Summary

Identification code 015-5042-0-2-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	379	403	403

JUSTICE PRISONER AND ALIEN TRANSPORTATION SYSTEM FUND, U.S. MARSHALS
Program and Financing (in millions of dollars)

Identification code 015-4575-0-4-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Justice Prisoner and Alien Transportation System Fund, U.S. Marshals (Reimbursable)	53	59	66
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	36	41	46
1021 Recoveries of prior year unpaid obligations	3	2	
1050 Unobligated balance (total)	39	43	46
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	53	62	70
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	55	62	70
1930 Total budgetary resources available	94	105	116
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	41	46	50
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	4
3010 New obligations, unexpired accounts	53	59	66
3020 Outlays (gross)	-51	-60	-70
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-2	
3050 Unpaid obligations, end of year	7	4	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	3	
3200 Obligated balance, end of year	3		-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	55	62	70
Outlays, gross:			
4010 Outlays from new discretionary authority	11	56	63
4011 Outlays from discretionary balances	40	4	7
4020 Outlays, gross (total)	51	60	70
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-52	-62	-70
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-53	-62	-70
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4080 Outlays, net (discretionary)	-2	-2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2	-2	

The Justice Prisoner and Alien Transportation System (JPATS) is responsible for transporting the majority of Federal prisoners and detainees in the custody of the United States Marshals Service or the Bureau of Prisons. JPATS transports both sentenced and pretrial prisoners and detainees via coordinated air and ground systems without sacrificing the safety of the public, Federal employees, or those in custody. JPATS also transports prisoners and detainees on a reimbursable space-available basis for the Department of Defense and other participating executive departments as well as State and local agencies. Customers are billed based on the number of flight hours and the number of seats used to move their prisoners.

Object Classification (in millions of dollars)

Identification code 015-4575-0-4-752	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	11	11
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	4	4	4
11.9 Total personnel compensation	14	16	16

12.1	Civilian personnel benefits	4	5	5
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	1
23.3	Communications, utilities, and miscellaneous charges	6	3	5
25.1	Advisory and assistance services		1	1
25.2	Other services from non-Federal sources			1
25.3	Other goods and services from Federal sources	1	1	1
25.7	Operation and maintenance of equipment	12	16	16
26.0	Supplies and materials	10	14	16
31.0	Equipment	4	1	3
99.9	Total new obligations, unexpired accounts	53	59	66

Employment Summary

Identification code 015-4575-0-4-752	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	97	113	112

NATIONAL SECURITY DIVISION

Federal Funds

SALARIES AND EXPENSES
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary to carry out the activities of the National Security Division, **[\$110,000,000] \$117,451,000**, of which not to exceed \$5,000,000 for information technology systems shall remain available until expended: *Provided*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for the activities of the National Security Division, the Attorney General may transfer such amounts to this heading from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section **[505] 504** of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-1300-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Security Division	99	110	117
0801 Salaries and Expenses (Reimbursable)	2		
0900 Total new obligations, unexpired accounts	101	110	117
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	101	110	117
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	2	2
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	2	2	2
1900 Budget authority (total)	103	112	119
1930 Total budgetary resources available	107	115	124
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	3	5	7

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	15	11
3010 New obligations, unexpired accounts	101	110	117
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-102	-114	-118
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	15	11	10
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	11	7
3200 Obligated balance, end of year	11	7	6

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	103	112	119
Outlays, gross:			
4010 Outlays from new discretionary authority	88	100	106
4011 Outlays from discretionary balances	14	14	12
4020 Outlays, gross (total)	102	114	118
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-2	-2
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-2	-2	-2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	101	110	117
4080 Outlays, net (discretionary)	100	112	116
4180 Budget authority, net (total)	101	110	117
4190 Outlays, net (total)	100	112	116

The Mission of the National Security Division (NSD) is to protect the United States from threats to our national security by pursuing justice through the law. NSD strengthens the Department's core national security functions by providing strategic national security policy coordination and development. NSD combines counterterrorism, counterintelligence, export control, and cyber prosecutors with attorneys who oversee the Department's foreign intelligence/counterintelligence operations, as well as attorneys who provide policy and legal advice on a wide range of national security issues. For 2021, NSD is requesting \$117 million to protect and defend the United States against the full range of national security threats, consistent with the rule of law.

Object Classification (in millions of dollars)

Identification code 015-1300-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	53	58
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	1	
11.9 Total personnel compensation	50	56	60
12.1 Civilian personnel benefits	15	18	20
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA	14	14	14
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	1	2	3
25.3 Other goods and services from Federal sources	14	10	10
25.7 Operation and maintenance of equipment	1	2	2
31.0 Equipment		2	2
99.0 Direct obligations	99	110	117
99.0 Reimbursable obligations	2		
99.9 Total new obligations, unexpired accounts	101	110	117

Employment Summary

Identification code 015-1300-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	318	333	353

RADIATION EXPOSURE COMPENSATION

Federal Funds

PAYMENT TO RADIATION EXPOSURE COMPENSATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 015-0333-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to radiation exposure compensation trust fund	45	65	70
0900 Total new obligations, unexpired accounts (object class 25.2)	45	65	70
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	45	65	70
1930 Total budgetary resources available	45	65	70
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	45	65	70
3020 Outlays (gross)	-45	-65	-70
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	45	65	70
Outlays, gross:			
4100 Outlays from new mandatory authority	45	65	70
4180 Budget authority, net (total)	45	65	70
4190 Outlays, net (total)	45	65	70

Trust Funds

RADIATION EXPOSURE COMPENSATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-8116-0-7-054	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Payment from the General Fund, Radiation Exposure Compensation Trust Fund	45	65	70
2000 Total: Balances and receipts	45	65	70
Appropriations:			
Current law:			
2101 Radiation Exposure Compensation Trust Fund	-45	-65	-70
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 015-8116-0-7-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to RECA claimants	63	60	60
0900 Total new obligations, unexpired accounts (object class 41.0)	63	60	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	2	7
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	45	65	70
1930 Total budgetary resources available	65	67	77
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	7	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			19
3010 New obligations, unexpired accounts	63	60	60
3020 Outlays (gross)	-63	-41	-68
3050 Unpaid obligations, end of year		19	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			19

3200 Obligated balance, end of year	19	11	
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	45	65	70
Outlays, gross:			
4100 Outlays from new mandatory authority	45	39	42
4101 Outlays from mandatory balances	18	2	26
4110 Outlays, gross (total)	63	41	68
4180 Budget authority, net (total)	45	65	70
4190 Outlays, net (total)	63	41	68

The Radiation Exposure Compensation Act (RECA), as amended, authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling, or transport. RECA workload is included with the workload of the Civil Division.

INTERAGENCY LAW ENFORCEMENT

Federal Funds

INTERAGENCY CRIME AND DRUG ENFORCEMENT

For necessary expenses for the identification, investigation, and prosecution of individuals associated with the most significant drug trafficking organizations, transnational organized crime, and money laundering organizations not otherwise provided for, to include inter-governmental agreements with State and local law enforcement agencies engaged in the investigation and prosecution of individuals involved in transnational organized crime and drug trafficking, **[\$550,458,000]** \$585,145,000, of which \$50,000,000 shall remain available until expended: *Provided*, That any amounts obligated from appropriations under this heading may be used under authorities available to the organizations reimbursed from this appropriation. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0323-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Investigations	380	381	406
0003 Prosecution	163	169	179
0799 Total direct obligations	543	550	585
0900 Total new obligations, unexpired accounts	543	550	585
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	22	25
1021 Recoveries of prior year unpaid obligations	2	3	3
1050 Unobligated balance (total)	10	25	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	560	550	585
1900 Budget authority (total)	560	550	585
1930 Total budgetary resources available	570	575	613
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
1941 Unexpired unobligated balance, end of year	22	25	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	170	186	150
3010 New obligations, unexpired accounts	543	550	585
3011 Obligations ("upward adjustments"), expired accounts	16		
3020 Outlays (gross)	-534	-583	-577
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-3	-3
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	186	150	155
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-27	-26	-26
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-26	-26	-26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	143	160	124
3200 Obligated balance, end of year	160	124	129

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	560	550 585
Outlays, gross:			
4010	Outlays from new discretionary authority	456	412 439
4011	Outlays from discretionary balances	78	171 138
4020	Outlays, gross (total)	534	583 577
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-1	-48 -47
4033	Non-Federal sources	-10
4040	Offsets against gross budget authority and outlays (total)	-11	-48 -47
Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	11	48 47
4060	Additional offsets against budget authority only (total)	11	48 47
4070	Budget authority, net (discretionary)	560	550 585
4080	Outlays, net (discretionary)	523	535 530
4180	Budget authority, net (total)	560	550 585
4190	Outlays, net (total)	523	535 530

The Interagency Crime and Drug Enforcement (ICDE) account funds the Organized Crime Drug Enforcement Task Forces (OCDETF) program. OCDETF combines the resources and expertise of 11 Federal law enforcement agency members, the Department of Justice's Criminal Division, United States Attorneys' Offices, and State and local law enforcement. OCDETF's mission is to identify, disrupt, and dismantle the major domestic and transnational criminal organizations (TCO) that engage in the drug trafficking, violence, and money laundering activities that threaten the public safety and economic and national security of the United States.

The OCDETF Program performs the following activities:

Investigation.—This activity includes resources for the direct investigative, intelligence, and support activities of OCDETF's multi-agency task forces, focusing on the disruption and dismantlement of major TCOs. This activity also includes resources for the OCDETF Fusion Center (OFC). The OFC is a multi-agency intelligence center which analyzes fused law enforcement financial and human intelligence information, and produces actionable intelligence for use by OCDETF member agencies to disrupt and dismantle major criminal organizations and their supporting financial structures. In addition, the OFC creates strategic intelligence products to enhance TCO threat analyses and support the national strategic efforts against transnational organized crime. Organizations participating under the Investigations function are the Drug Enforcement Administration, Federal Bureau of Investigation, Internal Revenue Service, Bureau of Alcohol, Tobacco, Firearms and Explosives, U.S. Coast Guard, U.S. Marshals Service, U.S. Secret Service, U.S. Postal Inspection Service, and Homeland Security Investigations. OCDETF also maintains 18 Co-Located Strike Forces. The ICDE account also supports transnational organized crime investigations through the International Organized Crime Intelligence and Operations Center (IOC-2). IOC-2 is a multi-agency intelligence center with a mission to significantly disrupt and dismantle those international criminal organizations posing the greatest threat to the United States. Multiple Federal agencies participate in IOC-2 activities and related investigations.

Prosecution.—This activity includes resources for the prosecution of cases generated through the investigative efforts of task force agents. Litigation efforts are intended to dismantle in their entirety those major transnational criminal organizations engaged in the highest levels of drug trafficking, violence, and money laundering by targeting the leaders of these organizations. This includes activities designed to secure the seizure and forfeiture of the assets of these enterprises. Participating agencies are the U.S. Attorneys and the Department of Justice's Criminal Division.

Object Classification (in millions of dollars)

Identification code 015-0323-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	304	385 388
11.3	Other than full-time permanent	1	8 8

11.5	Other personnel compensation	42	22 22
11.9	Total personnel compensation	347	415 418
12.1	Civilian personnel benefits	128	103 107
21.0	Travel and transportation of persons	5	6 6
23.1	Rental payments to GSA	6	3 3
23.2	Rental payments to others	1	1 3
23.3	Communications, utilities, and miscellaneous charges	6	2 2
25.1	Advisory and assistance services	8	1 1
25.3	Other goods and services from Federal sources	34	18 36
25.4	Operation and maintenance of facilities	1
25.7	Operation and maintenance of equipment	1
26.0	Supplies and materials	1	1 1
31.0	Equipment	4 8
99.0	Direct obligations	542	550 585
99.0	Reimbursable obligations	1
99.9	Total new obligations, unexpired accounts	543	550 585

Employment Summary

Identification code 015-0323-0-1-751	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	2,877	2,785 2,792

FEDERAL BUREAU OF INVESTIGATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Bureau of Investigation for detection, investigation, and prosecution of crimes against the United States, **[\$9,467,902,000]** \$9,748,829,000, of which not to exceed \$216,900,000 shall remain available until expended: *Provided*, That not to exceed \$284,000 shall be available for official reception and representation expenses.

(CANCELLATION)

Of the unobligated balances available under this heading, \$80,000,000 are hereby permanently cancelled, including from, but not limited to, fees collected to defray expenses for the automation of fingerprint identification and criminal justice information services and associated costs: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0200-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Intelligence	1,503	1,526 1,582
0002	Counterterrorism/Counterintelligence	3,407	3,386 3,485
0003	Criminal Enterprises and Federal Crimes	2,946	2,989 3,046
0004	Criminal Justice Services	248	481 495
0091	Direct program activities, subtotal	8,104	8,382 8,608
0201	Intelligence	262	224 234
0202	Counterterrorism/Counterintelligence	438	409 427
0203	Criminal Enterprises and Federal Crimes	327	291 305
0204	Criminal Justice Services	303	90 95
0291	Direct program activities, subtotal	1,330	1,014 1,061
0300	Direct program activities, subtotal	9,434	9,396 9,669
0799	Total direct obligations	9,434	9,396 9,669
0801	Salaries and Expenses (Reimbursable)	1,011	913 922
0900	Total new obligations, unexpired accounts	10,445	10,309 10,591

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	888	967 1,093
1001	Discretionary unobligated balance brought fwd, Oct 1	534	686
1011	Unobligated balance transfer from other acct [011-1070]	1
1012	Unobligated balance transfers between expired and unexpired accounts	321
1021	Recoveries of prior year unpaid obligations	37
1033	Recoveries of prior year paid obligations	1
1050	Unobligated balance (total)	1,248	967 1,093

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 015-0200-0-1-999	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, discretionary:			
1100	9,192	9,468	9,749
1121	2		
1131			
	-124	-72	-80
1160	9,070	9,396	9,669
Spending authority from offsetting collections, discretionary:			
1700	839	913	922
1701	181		
1750	1,020	913	922
Spending authority from offsetting collections, mandatory:			
1800	135	126	138
1801	5		
1850	140	126	138
1900	10,230	10,435	10,729
1930	11,478	11,402	11,822
Memorandum (non-add) entries:			
1940	-66		1,231
1941	967	1,093	
Change in obligated balance:			
Unpaid obligations:			
3000	2,984	2,989	2,919
3001			
	-2		
3010	10,445	10,309	10,591
3011	82		
3020	-10,339	-10,379	-10,672
3040	-37		
3041	-144		
3050	2,989	2,919	2,838
Uncollected payments:			
3060	-545	-560	-560
3070	-186		
3071	171		
3090	-560	-560	-560
Memorandum (non-add) entries:			
3100	2,437	2,429	2,359
3200	2,429	2,359	2,278
Budget authority and outlays, net:			
Discretionary:			
4000	10,090	10,309	10,591
Outlays, gross:			
4010	7,574	7,942	8,154
4011	2,561	2,219	2,380
4020	10,135	10,161	10,534
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	-823	-913	-922
4033	-195		
4040	-1,018	-913	-922
Additional offsets against gross budget authority only:			
4050	-181		
4052	178		
4053	1		
4060	-2		
4070	9,070	9,396	9,669
4080	9,117	9,248	9,612
Mandatory:			
4090	140	126	138
Outlays, gross:			
4100		126	138
4101	204	92	
4110	204	218	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	-136	-126	-138
Additional offsets against gross budget authority only:			
4140	-5		
4142	1		
4150	-4		

4170	Outlays, net (mandatory)	68	92	
4180	Budget authority, net (total)	9,070	9,396	9,669
4190	Outlays, net (total)	9,185	9,340	9,612

The mission of the Federal Bureau of Investigation (FBI) is to protect the American people and uphold the Constitution of the United States.

The FBI's enterprise strategy includes several integrated components. The vision outlines the FBI's desired strategic position, which it aims to accomplish by continuously evolving to mitigate existing threats and anticipate future threats. To focus efforts across the enterprise, the FBI developed strategic objectives, operational mission priorities, through its Integrated Program Management process.

The FBI is headed by a Director, who is appointed by the President and confirmed by the Senate. FBI Headquarters, located in Washington, DC, provides centralized operational, policy, and administrative support to FBI investigations. The FBI operates 56 field offices in major U.S. cities and 349 resident agencies (RAs) throughout the country. RAs are satellite offices that allow the FBI to maintain a presence in and serve local communities. The FBI also operates 63 Legal Attache offices and 28 sub-offices in 75 foreign countries around the world. Additionally, there are several specialized facilities and analytical centers within the FBI that are located across the country, such as the Criminal Justice Information Services Division in Clarksburg, WV; the Terrorist Explosive Device Analytical Center and Hazardous Devices School in Huntsville, AL; and the FBI Academy and Laboratory at Quantico, VA.

A number of FBI activities are carried out on a reimbursable basis. For example, the FBI is reimbursed for its participation in Organized Crime Drug Enforcement Task Force program, and by other Federal agencies for certain intelligence and investigative services, such as pre-employment background inquiries and fingerprint and name checks. The FBI is also authorized to conduct fingerprint and name checks for certain non-federal agencies.

For 2021, the FBI is requesting a total of \$9.749 billion. Specifically, FBI requests \$37.0 million for cyber resources to support the development of advanced technical capabilities to thwart enemies and to bolster the Cyber Action Teams (CATs) that deploy to sites of computer intrusions; \$26.7 million to address threats posed by foreign intelligence actors; \$44.0 million to combat transnational organized crime—especially high-tech organized crime—by building technical tools and deploying resources overseas to strengthen international partnerships, and to support its crimes against children program; \$14.2 million for the Terrorist Screening Center to address national security threats through its watchlisting and screening operations and its operations at the National Vetting Center; \$32.5 million to develop enterprise technical tools, including modernizing its network infrastructure, and to improve the organization's data analytics capabilities, which has become a necessity across all programs as the amount of data available to investigators continues to grow; \$16.1 million to modernize the cell site simulator program; \$9.3 million to support the FBI's growing counter-unmanned aircraft systems (C-UAS) mission; and \$19.9 million to support the statutorily-required firearm background checks conducted by the National Instant Criminal Background Check System (NICS) Section and to support the National Threat Operations Center (NTOC) and its 24/7/365 processing of tips from the public.

The request also includes a \$80 million cancellation of unobligated balances.

Object Classification (in millions of dollars)

Identification code 015-0200-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	3,414	3,478	3,680
11.3	36		
11.5	416	458	466
11.8	1		
11.9	3,867	3,936	4,146
12.1	1,689	1,792	1,928

21.0	Travel and transportation of persons	198	193	188
22.0	Transportation of things	8
23.1	Rental payments to GSA	643	723	699
23.2	Rental payments to others	93	34	36
23.3	Communications, utilities, and miscellaneous charges	190	226	243
24.0	Printing and reproduction	2	1	1
25.1	Advisory and assistance services	981	809	728
25.2	Other services from non-Federal sources	508	577	576
25.3	Other goods and services from Federal sources	113	127	123
25.4	Operation and maintenance of facilities	255	153	146
25.5	Research and development contracts	1	1	1
25.7	Operation and maintenance of equipment	267	258	247
25.8	Subsistence and support of persons	1	6	5
26.0	Supplies and materials	154	101	118
31.0	Equipment	442	456	481
32.0	Land and structures	19
41.0	Grants, subsidies, and contributions	2
42.0	Insurance claims and indemnities	1	3	3
99.0	Direct obligations	9,434	9,396	9,669
99.0	Reimbursable obligations	1,011	913	922
99.9	Total new obligations, unexpired accounts	10,445	10,309	10,591

Employment Summary

Identification code 015-0200-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33,576	34,183	34,293
2001 Reimbursable civilian full-time equivalent employment	2,371	2,429	2,429

CONSTRUCTION

For necessary expenses, to include the cost of equipment, furniture, and information technology requirements, related to construction or acquisition of buildings, facilities, and sites by purchase, or as otherwise authorized by law; conversion, modification, and extension of federally owned buildings; preliminary planning and design of projects; and operation and maintenance of secure work environment facilities and secure networking capabilities; **[\$485,000,000]** \$51,895,000, to remain available until expended.

(CANCELLATION)

Of the unobligated balances available under this heading, \$150,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were dedicated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0203-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0006	Secure Work Environment Program	56	50	50
0011	Quantico	23	2	2
0014	Terrorists Explosive Devices Analytical Center	34
0019	Other FBI Construction Projects	3
0020	21st Century Facilities	385	433
0900	Total new obligations, unexpired accounts	501	485	52
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	865	762	762
1020	Adjustment of unobligated bal brought forward, Oct 1	1
1021	Recoveries of prior year unpaid obligations	12
1050	Unobligated balance (total)	878	762	762
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	385	485	52
1131	Unobligated balance of appropriations permanently reduced	-150
1160	Appropriation, discretionary (total)	385	485	-98
1900	Budget authority (total)	385	485	-98
1930	Total budgetary resources available	1,263	1,247	664
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	762	762	612
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	206	526	786

3001	Adjustments to unpaid obligations, brought forward, Oct 1	-1
3010	New obligations, unexpired accounts	501	485	52
3020	Outlays (gross)	-168	-225	-250
3040	Recoveries of prior year unpaid obligations, unexpired	-12
3050	Unpaid obligations, end of year	526	786	588
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	205	526	786
3200	Obligated balance, end of year	526	786	588
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	385	485	-98
Outlays, gross:				
4010	Outlays from new discretionary authority	24	-147
4011	Outlays from discretionary balances	168	201	397
4020	Outlays, gross (total)	168	225	250
4180	Budget authority, net (total)	385	485	-98
4190	Outlays, net (total)	168	225	250

For 2021, the FBI is requesting a total of \$51.9 million in construction funding for the Secure Work Environment program, and for renovations at the FBI Academy in Quantico, Virginia. The request also includes a \$150 million cancellation of unobligated balances.

Object Classification (in millions of dollars)

Identification code 015-0203-0-1-751	2019 actual	2020 est.	2021 est.	
Direct obligations:				
23.3	Communications, utilities, and miscellaneous charges	5
25.1	Advisory and assistance services	24
25.2	Other services from non-Federal sources	356	33	33
25.4	Operation and maintenance of facilities	63	2	2
25.7	Operation and maintenance of equipment	4
26.0	Supplies and materials	1	1	1
31.0	Equipment	10	16	16
32.0	Land and structures	38	433
99.9	Total new obligations, unexpired accounts	501	485	52

DRUG ENFORCEMENT ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Drug Enforcement Administration, including not to exceed \$70,000 to meet unforeseen emergencies of a confidential character pursuant to section 530C of title 28, United States Code; and expenses for conducting drug education and training programs, including travel and related expenses for participants in such programs and the distribution of items of token value that promote the goals of such programs, **[\$2,279,153,000]** \$2,398,805,000, of which not to exceed \$75,000,000 shall remain available until expended and not to exceed \$90,000 shall be available for official reception and representation expenses: *Provided, That, notwithstanding section 3672 of Public Law 106-310, up to \$10,000,000 may be used to reimburse States, units of local government, Indian tribal governments, other public entities, and multi-jurisdictional or regional consortia thereof for expenses incurred to clean up and safely dispose of substances associated with clandestine methamphetamine laboratories, conversion and extraction operations, tableting operations, or laboratories and processing operations for fentanyl and fentanyl-related substances which may present a danger to public health or the environment.* (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-1100-0-1-751	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0002	International Enforcement	468	467	502
0003	Domestic Enforcement	1,868	1,908	1,997
0004	State and Local Assistance	11	14	14
0799	Total direct obligations	2,347	2,389	2,513
0801	Reimbursable	50	58	58
0900	Total new obligations, unexpired accounts	2,397	2,447	2,571

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 015–1100–0–1–751	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000			
1012			
1021			
1033			
1050			
Budget authority:			
Appropriations, discretionary:			
1100			
1121			
1131			
1160			
1700			
1701			
1750			
1900			
1930			
Total budgetary resources available			
Memorandum (non-add) entries:			
1940			
1941			
Change in obligated balance:			
Unpaid obligations:			
3000			
3010			
3011			
3020			
3040			
3041			
3050			
Uncollected payments:			
3060			
3070			
3071			
3090			
Memorandum (non-add) entries:			
3100			
3200			
Budget authority and outlays, net:			
Discretionary:			
4000			
Outlays, gross:			
4010			
4011			
4020			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030			
4033			
4040			
Additional offsets against gross budget authority only:			
4050			
4052			
4053			
4060			
4070			
4080			
Mandatory:			
Outlays, gross:			
4101			
4180			
4190			

The Drug Enforcement Administration's (DEA) mission is to enforce the controlled substances laws and regulations of the United States. DEA's major focus is the disruption and dismantlement of Priority Target Organizations (PTOs)—domestic and international drug trafficking and money

laundering organizations having a significant impact on drug availability in the United States. DEA emphasizes PTOs with links to organizations on the Attorney General's Consolidated Priority Organization Target list, which represents the "Most Wanted" drug trafficking and money laundering organizations believed to be primarily responsible for the United States' illicit drug supply, including heroin. DEA also considers it a high priority to target the financial infrastructure of major drug trafficking organizations, and members of the financial community who facilitate the laundering of their proceeds. In 2019, DEA denied drug traffickers \$5 billion in revenue through the seizure of both assets and drugs. In addition to keeping drugs and drug-related violence out of the United States, DEA plays a vital role in the areas of national and border security.

DEA has 239 domestic offices organized in 23 divisions throughout the United States. Internationally, DEA has 90 offices in 67 countries and is responsible for coordinating and pursuing U.S. drug investigations abroad. Federal, State, local, and international partnerships continue to play an important role in DEA's enforcement efforts. For nearly 43 years, DEA has led a task force program that today includes nearly 3,000 task force officers participating in 292 task forces. DEA's Special Operations Division and the El Paso Intelligence Center are vital resources for Federal, State, and local law enforcement. Additionally, through the Office of National Security Intelligence, DEA ensures that national security information obtained in the course of conducting its drug law enforcement mission is expeditiously shared with the Intelligence Community.

DEA's activities are divided into three decision units:

Domestic Enforcement.—Through effective enforcement efforts and associated support functions, DEA disrupts and dismantles the leadership, command, control, and infrastructure of major drug trafficking syndicates, criminal organizations, and violent drug trafficking groups that threaten the United States. This decision unit contains most of DEA's resources, domestic enforcement groups, State and local task forces, other Federal and local task forces, intelligence groups, and all the support functions essential to accomplishing their mission. DEA's objectives for Domestic Enforcement include:

- Identifying and targeting the national/regional organizations most responsible for the domestic distribution and manufacture of illicit drugs;
- Systematically disrupting or dismantling targeted organizations by arresting/convicting their leaders and facilitators, seizing and forfeiting their assets, targeting their money laundering operations, and destroying their command and control networks; and
- Working with international offices to dismantle domestic organizations directly affiliated with Transnational Criminal Organizations (TCO).

International Enforcement.—DEA works with its foreign counterparts to attack the vulnerabilities in the leadership, production, transportation, communications, finance, and distribution sectors of major international drug trafficking organizations. DEA's objectives for International Enforcement include:

- Identifying and targeting the most significant international drug and chemical trafficking organizations;
- Disrupting and dismantling the networks, financial infrastructures, operations, and resource bases of targeted international drug and chemical trafficking organizations; and
- Preventing drug trafficking organizations from funding terrorist organizations and activities.

State and Local Assistance.—DEA provides clandestine laboratory training, and meets the hazardous waste cleanup needs of the U.S. law enforcement community. DEA supports State and local law enforcement with assistance and training so that State and local agencies can better address the methamphetamine threat in their communities and reduce the impact that methamphetamine has on the quality of life for American citizens. As a result, DEA's nationwide contracts, container program, and established training programs allow DEA to provide State and local clandestine lab cleanup and training assistance in a cost-effective manner. For 2021, DEA is proposing to expand this program to pay for the cleanup of other illicit

hazardous environments, such as clandestine fentanyl labs and pill press operations. The proposed proviso will grant DEA the authority it needs to use these funds for this purpose and will not negatively impact DEA's ability to support state and local meth lab cleanups.

For 2021, DEA requests \$5.6 million for Cyber Enforcement to bolster key DEA programs to combat highly sophisticated TCOs that use cyber capabilities to further their criminal enterprises; \$22.3 million to enhance agency programs and offices focused on targeting, disrupting, and dismantling TCOs known for supplying illicit substances to distributors and users in the United States; \$27.6 million to better enable DEA to lawfully access and exploit the data of criminal organizations and individuals; \$9.2 million for the purchase of a King Air 350 aircraft to begin updating DEA's air fleet; and, \$2.8 million for training capacity expansion to enable the recruitment and retention of key personnel and agents.

For 2021, the Budget proposes to transfer the High Intensity Drug Trafficking Areas program from the Office of National Drug Control Policy to DEA to improve coordination of drug enforcement efforts among Federal, State, and local law enforcement agencies in the U.S.

Object Classification (in millions of dollars)

Identification code 015-1100-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	558	665	687
11.3 Other than full-time permanent	5	6	6
11.5 Other personnel compensation	127	119	123
11.9 Total personnel compensation	690	790	816
12.1 Civilian personnel benefits	424	436	458
21.0 Travel and transportation of persons	42	38	43
22.0 Transportation of things	13	14	14
23.1 Rental payments to GSA	205	199	199
23.2 Rental payments to others	38	40	37
23.3 Communications, utilities, and miscellaneous charges	82	63	64
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	171	127	134
25.2 Other services from non-Federal sources	196	237	253
25.3 Other goods and services from Federal sources	129	116	122
25.4 Operation and maintenance of facilities	31	30	30
25.5 Research and development contracts	2		
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	119	95	102
25.8 Subsistence and support of persons		18	18
26.0 Supplies and materials	47	45	45
31.0 Equipment	134	101	127
32.0 Land and structures	20	36	47
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	2,347	2,389	2,513
99.0 Reimbursable obligations	50	58	58
99.9 Total new obligations, unexpired accounts	2,397	2,447	2,571

Employment Summary

Identification code 015-1100-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	6,099	6,218	6,235
2001 Reimbursable civilian full-time equivalent employment	11	11	11

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM

For necessary expenses of the High Intensity Drug Trafficking Areas Program, as authorized by the Office of National Drug Control Policy Reauthorization Act of 1998 ("the Act"), \$254,000,000, to remain available until September 30, 2022, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: Provided, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Attorney General, of which up to \$2,700,000 may be used for auditing services and associated activities: Provided further, That, notwithstanding the requirements of Public Law 106-58, any unexpended funds obligated prior to fiscal year 2019 may be used for any other approved activities of that

HIDTA, subject to reprogramming requirements: Provided further, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That section 707 of the Act shall be applied by substituting "Attorney General" for "Director" and "Department of Justice" for "Office", respectively, in each place they appear: Provided further, That unexpended balances in the "High Intensity Drug Trafficking Areas Program, Federal Drug Control Programs, Executive Office of the President" account may be transferred to this appropriation.

Program and Financing (in millions of dollars)

Identification code 015-1103-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants and federal transfers			251
0002 Auditing services and activities			3
0900 Total new obligations, unexpired accounts			254
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			254
1930 Total budgetary resources available			254
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			254
3020 Outlays (gross)			-64
3050 Unpaid obligations, end of year			190
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			190
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			254
Outlays, gross:			
4010 Outlays from new discretionary authority			64
4180 Budget authority, net (total)			254
4190 Outlays, net (total)			64

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, to provide assistance to Federal, State, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking. The HIDTA program provides resources to Federal, State, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, State, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations; multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure.

For 2021, the Budget proposes to transfer management of the HIDTA program from the Office of National Drug Control Policy to the Drug Enforcement Administration to improve coordination with the Department of Justice's other drug enforcement efforts.

Object Classification (in millions of dollars)

Identification code 015-1103-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Auditing services and activities			3
41.0 Grants and federal transfers			251

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM—Continued
Object Classification—Continued

Identification code 015-1103-0-1-754	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts			254

DIVERSION CONTROL FEE ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5131-0-2-751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	27	26	41
Receipts:			
Current law:			
1120 Diversion Control Fee Account, DEA	427	464	575
2000 Total: Balances and receipts	454	490	616
Appropriations:			
Current law:			
2101 Diversion Control Fee Account	-427	-450	-460
2103 Diversion Control Fee Account	-27	-26	-27
2132 Diversion Control Fee Account	26	27	
2199 Total current law appropriations	-428	-449	-487
2999 Total appropriations	-428	-449	-487
5099 Balance, end of year	26	41	129

Program and Financing (in millions of dollars)

Identification code 015-5131-0-2-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Diversion Control	477	451	467
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	104	95	104
1021 Recoveries of prior year unpaid obligations	38	10	10
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	143	105	114
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	427	450	460
1203 Appropriation (previously unavailable)(special or trust)	27	26	27
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-26	-27	
1260 Appropriations, mandatory (total)	428	449	487
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1900 Budget authority (total)	429	450	488
1930 Total budgetary resources available	572	555	602
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	95	104	135
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	155	102	81
3010 New obligations, unexpired accounts	477	451	467
3020 Outlays (gross)	-492	-462	-477
3040 Recoveries of prior year unpaid obligations, unexpired	-38	-10	-10
3050 Unpaid obligations, end of year	102	81	61
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	155	102	81
3200 Obligated balance, end of year	102	81	61
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	429	450	488
Outlays, gross:			
4100 Outlays from new mandatory authority	260	332	339
4101 Outlays from mandatory balances	232	130	138
4110 Outlays, gross (total)	492	462	477
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1	-1	-1
4123 Non-Federal sources	-1		

4130 Offsets against gross budget authority and outlays (total)	-2	-1	-1
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	428	449	487
4170 Outlays, net (mandatory)	490	461	476
4180 Budget authority, net (total)	428	449	487
4190 Outlays, net (total)	490	461	476

Public Law 102-395 established the Diversion Control Fee Account in 1993. Fees charged by the Drug Enforcement Administration (DEA) under the Diversion Control Program are set at a level that ensures the recovery of the full costs of operating this program. By carrying out the mandates of the Controlled Substances Act (CSA), DEA ensures that adequate supplies of controlled drugs are available to meet legitimate medical, scientific, industrial, and export needs, while preventing, detecting, and eliminating diversion of these substances to illicit traffic. The CSA requires physicians, pharmacists, and chemical companies to register with the DEA in order to distribute or manufacture controlled substances or listed chemicals. The registrant community, physicians, prescribers, and pharmacists, can be seen as the first line of defense against the opioid epidemic now facing the United States. The engagement and education of these community members can help in reducing the overprescribing of opioids and the prevention of abuse and illicit use. Investigations conducted by the Diversion Control Program fall into two distinct categories: the diversion of legitimately manufactured pharmaceutical controlled substances and the diversion of controlled chemicals (List I and II) used in the illicit manufacture of controlled substances. DEA's objectives for diversion control include:

- Identifying and targeting those responsible for the diversion of pharmaceutical controlled substances through traditional investigation and cybercrime initiatives to systematically disrupt and dismantle those entities involved in diversion schemes;

- Supporting the registrant population with improved technology, including e-commerce and customer support, while maintaining cooperation, support, and assistance from the regulated industry;

- Educating the public on the dangers of prescription drug abuse and proactive enforcement measures to combat emerging drug trends; and,

- Ensuring an adequate and uninterrupted supply of pharmaceutical controlled substances and listed chemicals to meet legitimate medical, commercial, and scientific needs.

Object Classification (in millions of dollars)

Identification code 015-5131-0-2-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	189	175	179
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	14	12	12
11.9 Total personnel compensation	205	189	193
12.1 Civilian personnel benefits	71	71	76
21.0 Travel and transportation of persons	7	5	5
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	38	35	35
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	12	8	8
24.0 Printing and reproduction	11	9	9
25.1 Advisory and assistance services	50	59	59
25.2 Other services from non-Federal sources	27	28	31
25.3 Other goods and services from Federal sources	9	9	9
25.4 Operation and maintenance of facilities	6	4	4
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	13	8	8
26.0 Supplies and materials	7	7	7
31.0 Equipment	14	10	18
32.0 Land and structures	3	5	1
99.9 Total new obligations, unexpired accounts	477	451	467

Employment Summary

Identification code 015-5131-0-2-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,731	1,782	1,830

BUREAU OF ALCOHOL, TOBACCO, FIREARMS, AND EXPLOSIVES

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Bureau of Alcohol, Tobacco, Firearms and Explosives, for training of State and local law enforcement agencies with or without reimbursement, including training in connection with the training and acquisition of canines for explosives and fire accelerants detection; and for provision of laboratory assistance to State and local law enforcement agencies, with or without reimbursement, **[\$1,400,000,000] \$1,637,574,000**, of which not to exceed **[\$36,000] \$30,000** shall be available for the payment of attorneys' fees as provided by section 924(d)(2) of title 18, United States Code, and not to exceed \$25,000,000 shall remain available until expended: *Provided*, That none of the funds appropriated herein shall be available to investigate or act upon applications for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: *Provided further*, That such funds shall be available to investigate and act upon applications filed by corporations for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: *Provided further*, That, *except to the extent necessary to effectuate the transfer of alcohol and tobacco enforcement and administration functions of the Bureau to the Department of the Treasury, as authorized by law*, no funds made available by this or any other Act may be used to transfer the functions, missions, or activities of the Bureau of Alcohol, Tobacco, Firearms and Explosives to other agencies or Departments. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0700-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 Law Enforcement Operations	1,034	1,119	1,290
0007 Investigative Support Services	299	331	387
0192 Total Direct Program	1,333	1,450	1,677
0799 Total direct obligations	1,333	1,450	1,677
0801 Salaries and Expenses (Reimbursable)	73	120	120
0900 Total new obligations, unexpired accounts	1,406	1,570	1,797
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	182	181	131
1012 Unobligated balance transfers between expired and unexpired accounts	10		
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	197	181	131
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,317	1,400	1,638
Spending authority from offsetting collections, discretionary:			
1700 Collected	39	120	120
1701 Change in uncollected payments, Federal sources	35		
1750 Spending auth from offsetting collections, disc (total)	74	120	120
1900 Budget authority (total)	1,391	1,520	1,758
1930 Total budgetary resources available	1,588	1,701	1,889
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	181	131	92

Change in obligated balance:

Identification code 015-5131-0-2-751	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	296	295	323
3010 New obligations, unexpired accounts	1,406	1,570	1,797
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-1,394	-1,542	-1,757
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3041 Recoveries of prior year unpaid obligations, expired	-16		

3050 Unpaid obligations, end of year	295	323	363
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-71	-65	-65
3070 Change in uncollected pymts, Fed sources, unexpired	-35		
3071 Change in uncollected pymts, Fed sources, expired	41		
3090 Uncollected pymts, Fed sources, end of year	-65	-65	-65
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	225	230	258
3200 Obligated balance, end of year	230	258	298

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,391	1,520	1,758
Outlays, gross:			
4010 Outlays from new discretionary authority	1,168	1,338	1,545
4011 Outlays from discretionary balances	217	171	179
4020 Outlays, gross (total)	1,385	1,509	1,724
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-72	-120	-120
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-74	-120	-120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-35		
4052 Offsetting collections credited to expired accounts	35		
4070 Budget authority, net (discretionary)	1,317	1,400	1,638
4080 Outlays, net (discretionary)	1,311	1,389	1,604
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	9	33	33
4180 Budget authority, net (total)	1,317	1,400	1,638
4190 Outlays, net (total)	1,320	1,422	1,637

The Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) is the U.S. law enforcement agency dedicated to protecting our Nation from the illicit use of firearms and explosives in violent crime and acts of terrorism. ATF protects our communities from violent criminals and criminal organizations by investigating and preventing the illegal use and trafficking of firearms, the illegal use and improper storage of explosives, acts of arson and bombings, and the illegal diversion of alcohol and tobacco products. ATF regulates the firearms and explosives industries from manufacture and/or importation through retail sale to ensure that Federal Firearms Licensees and Federal Explosives Licensees and permittees conduct business in compliance with all applicable laws and regulations.

For 2021, ATF requests \$98.0 million for targeted efforts to halt gun violence; \$33.6 million to reduce violent crime and expand ATF's nationwide investigative capacity by adding personnel to enhance firearms technical expertise, Crime Gun Intelligence Centers, and the National Integrated Ballistics Information Network (NIBIN) National Correlation and Training Center; \$79.8 million to maintain critical capacity in ATF's fight against violent crime; and \$7.8 million to support the consolidation of two laboratories.

Additionally, the Budget proposes legislation to transfer primary jurisdiction over Federal tobacco and alcohol anti-smuggling laws from the Department of Justice and the ATF to the Department of the Treasury and the Alcohol and Tobacco Tax and Trade Bureau.

Object Classification (in millions of dollars)

Identification code 015-0700-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	514	526	585
11.3 Other than full-time permanent	14	38	16
11.5 Other personnel compensation	72	78	79
11.9 Total personnel compensation	600	642	680
12.1 Civilian personnel benefits	269	280	288
21.0 Travel and transportation of persons	26	26	35
22.0 Transportation of things	5	4	5
23.1 Rental payments to GSA	92	99	108
23.3 Communications, utilities, and miscellaneous charges	25	22	26
24.0 Printing and reproduction	1	2	2
25.2 Other services from non-Federal sources	15	13	26
25.2 Other services from non-Federal sources	133	157	257
25.3 Other goods and services from Federal sources	35	34	43

SALARIES AND EXPENSES—Continued
Object Classification—Continued

Identification code 015-0700-0-1-751	2019 actual	2020 est.	2021 est.
25.7 Operation and maintenance of equipment	73	69	84
26.0 Supplies and materials	28	22	27
31.0 Equipment	23	71	86
32.0 Land and structures	7	8	8
42.0 Insurance claims and indemnities	1	1	2
99.0 Direct obligations	1,333	1,450	1,677
99.0 Reimbursable obligations	73	120	120
99.9 Total new obligations, unexpired accounts	1,406	1,570	1,797

Employment Summary

Identification code 015-0700-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,979	5,050	5,395
2001 Reimbursable civilian full-time equivalent employment	3	3	3

CONSTRUCTION

For necessary expenses related to construction of laboratory facilities, to include the cost of equipment, furniture, and information technology requirements; construction or acquisition of buildings, facilities and sites by purchase, or as otherwise authorized by law; conversion, modification and extension of federally owned buildings; and preliminary planning and design of projects; \$28,685,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 015-0720-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			29
0900 Total new obligations, unexpired accounts (object class 32.0)			29
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			29
1900 Budget authority (total)			29
1930 Total budgetary resources available			29
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			29
3020 Outlays (gross)			-12
3050 Unpaid obligations, end of year			17
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			29
Outlays, gross:			
4010 Outlays from new discretionary authority			12
4180 Budget authority, net (total)			29
4190 Outlays, net (total)			12

The ATF Construction appropriation provides resources for land purchases, building construction or acquisition, major renovation and conversion of existing buildings; equipment, furniture, and information technology requirements; and construction project planning and design.

For 2021, \$28.7 million is provided to support the infrastructure and construction necessary to consolidate ATF's Walnut Creek, CA and Atlanta, GA forensic science laboratories into a single location. This consolidation will address lease expiration at Walnut Creek, improve ATF's forensic capabilities, and increase overall efficiency.

FEDERAL PRISON SYSTEM

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Federal Prison System for the administration, operation, and maintenance of Federal penal and correctional institutions, and for the provision of technical assistance and advice on corrections related issues to foreign governments, **[\$7,470,000,000] \$7,611,126,000**, of which not less than **[\$75,000,000] \$409,483,000** shall be for the programs and activities authorized by the First Step Act of 2018 (Public Law 115-391): *Provided*, That the Attorney General may transfer to the Department of Health and Human Services such amounts as may be necessary for direct expenditures by that Department for medical relief for inmates of Federal penal and correctional institutions: *Provided further*, That the Director of the Federal Prison System, where necessary, may enter into contracts with a fiscal agent or fiscal intermediary claims processor to determine the amounts payable to persons who, on behalf of the Federal Prison System, furnish health services to individuals committed to the custody of the Federal Prison System: *Provided further*, That not to exceed \$5,400 shall be available for official reception and representation expenses: *Provided further*, That not to exceed \$50,000,000 shall remain available until expended for necessary operations: *Provided further*, That, of the amounts provided for contract confinement, not to exceed \$20,000,000 shall remain available until expended to make payments in advance for grants, contracts and reimbursable agreements, and other expenses: *Provided further*, That the Director of the Federal Prison System may accept donated property and services relating to the operation of the prison card program from a not-for-profit entity which has operated such program in the past, notwithstanding the fact that such not-for-profit entity furnishes services under contracts to the Federal Prison System relating to the operation of pre-release services, halfway houses, or other custodial facilities. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-1060-0-1-753	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Inmate Care and Programs	2,688	2,819	2,810
0002 Institution Security and Administration	3,324	3,379	3,332
0003 Contract Confinement	901	945	1,164
0004 Management and Administration	223	260	280
0091 Total operating expenses	7,136	7,403	7,586
0101 Capital investment: Institutional improvements	117	67	25
0192 Total direct program	7,253	7,470	7,611
0799 Total direct obligations	7,253	7,470	7,611
0801 Salaries and Expenses (Reimbursable)	18	25	25
0900 Total new obligations, unexpired accounts	7,271	7,495	7,636
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1012 Unobligated balance transfers between expired and unexpired accounts	50		
1050 Unobligated balance (total)	52	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7,250	7,470	7,611
Spending authority from offsetting collections, discretionary:			
1700 Collected	23	25	25
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	26	25	25
1900 Budget authority (total)	7,276	7,495	7,636
1930 Total budgetary resources available	7,328	7,497	7,638
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-55		
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	852	1,040	1,227
3010 New obligations, unexpired accounts	7,271	7,495	7,636
3011 Obligations ("upward adjustments"), expired accounts	8		
3020 Outlays (gross)	-7,051	-7,308	-7,425
3041 Recoveries of prior year unpaid obligations, expired	-40		
3050 Unpaid obligations, end of year	1,040	1,227	1,438

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired	-3		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	851	1,037	1,224
3200	Obligated balance, end of year	1,037	1,224	1,435

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	7,276	7,495	7,636
Outlays, gross:				
4010	Outlays from new discretionary authority	6,249	6,375	6,494
4011	Outlays from discretionary balances	802	933	931
4020	Outlays, gross (total)	7,051	7,308	7,425
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-29	-25	-25
4040	Offsets against gross budget authority and outlays (total)	-29	-25	-25
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-3		
4052	Offsetting collections credited to expired accounts	6		
4060	Additional offsets against budget authority only (total)	3		
4070	Budget authority, net (discretionary)	7,250	7,470	7,611
4080	Outlays, net (discretionary)	7,022	7,283	7,400
4180	Budget authority, net (total)	7,250	7,470	7,611
4190	Outlays, net (total)	7,022	7,283	7,400

This appropriation will provide for the custody and care of a projected average daily population of nearly 180,900 offenders, and for the maintenance and operation of 122 penal institutions, regional offices, and a central office located in Washington, D.C. The appropriation also finances the incarceration of sentenced Federal prisoners in State and local jails and other facilities for short periods of time. An average daily population of about 28,000 prisoners will be in contract facilities in 2021. The Federal Prison System (FPS) also receives reimbursements for the daily care and maintenance of State and local offenders, for utilities used by Federal Prison Industries, Inc., for staff housing, and for meals purchased by FPS staff at institutions.

Inmate Care and Programs.—This activity covers the costs of all food, medical supplies, clothing, welfare services, release clothing, transportation, gratuities, staff salaries (including salaries of Health Resources and Services Administration commissioned officers), and operational costs of functions directly related to providing inmate care. This decision unit also finances the costs of GED classes and other educational programs, vocational training, drug treatment, religious programs, psychological services, and other inmate programs such as Life Connections.

Institution Security and Administration.—This activity covers costs associated with the maintenance of facilities and institution security. This activity finances institution maintenance, motor pool operations, powerhouse operations, institution security, and other administrative functions.

Contract Confinement.—This activity provides for the confinement of sentenced Federal offenders in a Government-owned, contractor-operated facility, and State, local, and private contract facilities. It also provides for the care of Federal prisoners in contract community residential centers and covers the costs associated with management and oversight of contract confinement functions.

Management and Administration.—This activity covers all costs associated with general administration and provides funding for the central office, regional offices, and staff training centers. Also included are oversight functions of the executive staff and regional and central office program managers in the areas of: budget development and execution; financial management; procurement and property management; human resource management; inmate systems management; safety; legal counsel; research and evaluation; and systems support.

For 2021, BOP requests a total of \$409 million to support full implementation of the First Step Act, including \$319 in new funding. Key 2021 investments include: \$244 million to increase residential reentry center capa-

city by 8,700 beds; \$37 million to make medication-assisted drug treatment available at all eligible BOP facilities; \$23 million to meet the increasing demand for mental health, life skills, special needs, and educational and vocational programs; and \$15 million to bolster other implementation activities. This investment would expand inmate access to evidence-based, recidivism-reducing programs and incentivize participation by allowing inmates to earn earlier transfers to residential reentry centers. BOP also requests \$10.0 million to conduct inspections of prison electrical and utility systems, \$5.3 million to counter the domestic drone threat, \$12.5 million to deploy cellphone detection technology, and \$8.4 million for IT modernization. The Budget also reflects \$36 million in estimated savings resulting from the implementation of First Step Act sentencing reforms.

Object Classification (in millions of dollars)

Identification code 015-1060-0-1-753	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	2,417	2,530	2,595
11.3	Other than full-time permanent	3	3	3
11.5	Other personnel compensation	402	402	402
11.9	Total personnel compensation	2,822	2,935	3,000
12.1	Civilian personnel benefits	1,510	1,570	1,605
13.0	Benefits for former personnel	2	2	2
21.0	Travel and transportation of persons	47	47	47
22.0	Transportation of things	12	12	12
23.1	Rental payments to GSA	30	30	30
23.2	Rental payments to others	3	3	3
23.3	Communications, utilities, and miscellaneous charges	359	365	365
25.2	Other services from non-Federal sources	1,678	1,751	1,834
26.0	Supplies and materials	652	667	667
31.0	Equipment	117	67	25
32.0	Land and structures	1	1	1
41.0	Grants, subsidies, and contributions	3	3	3
42.0	Insurance claims and indemnities	17	17	17
99.0	Direct obligations	7,253	7,470	7,611
99.0	Reimbursable obligations	18	25	25
99.9	Total new obligations, unexpired accounts	7,271	7,495	7,636

Employment Summary

Identification code 015-1060-0-1-753	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	33,323	34,623	35,123

BUILDINGS AND FACILITIES

For planning, acquisition of sites, and construction of new facilities; purchase and acquisition of facilities and remodeling, and equipping of such facilities for penal and correctional use, including all necessary expenses incident thereto, by contract or force account; and constructing, remodeling, and equipping necessary buildings and facilities at existing penal and correctional institutions, including all necessary expenses incident thereto, by contract or force account, **[\$308,000,000] \$99,453,000**, to remain available until expended **[,]** of which \$181,000,000 shall be available only for costs related to construction of new facilities **]; Provided**, That labor of United States prisoners may be used for work performed under this appropriation.

(CANCELLATION)

Of the unobligated balances available under this heading, \$505,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-1003-0-1-753	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	New construction	1	1	365
0002	Modernization and Repair	126	136	127
0900	Total new obligations, unexpired accounts	127	137	492

BUILDINGS AND FACILITIES—Continued
Program and Financing—Continued

Identification code 015-1003-0-1-753	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	588	753	924
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	292	308	99
1131 Unobligated balance of appropriations permanently reduced			-505
1160 Appropriation, discretionary (total)	292	308	-406
1930 Total budgetary resources available	880	1,061	518
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	753	924	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	119	112	112
3010 New obligations, unexpired accounts	127	137	492
3020 Outlays (gross)	-134	-137	-133
3050 Unpaid obligations, end of year	112	112	471
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	119	112	112
3200 Obligated balance, end of year	112	112	471
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	292	308	-406
Outlays, gross:			
4010 Outlays from new discretionary authority		31	10
4011 Outlays from discretionary balances	134	106	123
4020 Outlays, gross (total)	134	137	133
4180 Budget authority, net (total)	292	308	-406
4190 Outlays, net (total)	134	137	133

New Construction.—This activity includes the costs associated with land and building acquisition, new prison construction, and land payments for the Federal Transfer Center in Oklahoma City, which serves as a Bureau-wide transfer and processing center. For 2021, the Budget requests \$2.0 million for new construction base program funding, and proposes a cancellation of \$505 million in prior year unobligated new construction balances.

Modernization and repair of existing facilities.—This activity includes costs associated with rehabilitation, modernization, and renovation of Bureau-owned buildings and other structures in order to meet legal requirements and accommodate correctional programs. For 2021, the Budget requests \$97.5 million to help address critical major projects and reduce the backlog of unfunded rehabilitation, modernization, and renovation projects.

Object Classification (in millions of dollars)

Identification code 015-1003-0-1-753	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	97	107	462
26.0 Supplies and materials	17	17	17
31.0 Equipment	3	3	3
32.0 Land and structures	2	2	2
99.9 Total new obligations, unexpired accounts	127	137	492

Employment Summary

Identification code 015-1003-0-1-753	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	44	56	56

accord with the law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program set forth in the budget for the current fiscal year for such corporation.

LIMITATION ON ADMINISTRATIVE EXPENSES, FEDERAL PRISON INDUSTRIES, INCORPORATED

Not to exceed \$2,700,000 of the funds of the Federal Prison Industries, Incorporated, shall be available for its administrative expenses, and for services as authorized by section 3109 of title 5, United States Code, to be computed on an accrual basis to be determined in accordance with the corporation's current prescribed accounting system, and such amounts shall be exclusive of depreciation, payment of claims, and expenditures which such accounting system requires to be capitalized or charged to cost of commodities acquired or produced, including selling and shipping expenses, and expenses in connection with acquisition, construction, operation, maintenance, improvement, protection, or disposition of facilities and other property belonging to the corporation or in which it has an interest. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-4500-0-4-753	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0804 Federal Prison Industries	683	699	750
0809 Reimbursable program activities, subtotal	683	699	750
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	177	212	212
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected	708	696	747
1801 Change in uncollected payments, Federal sources	7		
1850 Spending auth from offsetting collections, mand (total)	715	696	747
1900 Budget authority (total)	718	699	750
1930 Total budgetary resources available	895	911	962
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	212	212	212
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	169	208	210
3010 New obligations, unexpired accounts	683	699	750
3020 Outlays (gross)	-644	-697	-750
3050 Unpaid obligations, end of year	208	210	210
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-28	-35	-35
3070 Change in uncollected pymts, Fed sources, unexpired	-7		
3090 Uncollected pymts, Fed sources, end of year	-35	-35	-35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	141	173	175
3200 Obligated balance, end of year	173	175	175

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority		3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			-3
Mandatory:			
4090 Budget authority, gross	715	696	747
Outlays, gross:			
4100 Outlays from new mandatory authority	644	498	747
4101 Outlays from mandatory balances		196	
4110 Outlays, gross (total)	644	694	747
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-704	-692	-739
4121 Interest on Federal securities	-7	-7	-8
4130 Offsets against gross budget authority and outlays (total) ...	-711	-699	-747
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-7		
4160 Budget authority, net (mandatory)	-3	-3	

FEDERAL PRISON INDUSTRIES, INCORPORATED

The Federal Prison Industries, Incorporated, is hereby authorized to make such expenditures within the limits of funds and borrowing authority available, and in

4170	Outlays, net (mandatory)	-67	-5
4180	Budget authority, net (total)
4190	Outlays, net (total)	-67	-2

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	306	330	354
5001	Total investments, EOY: Federal securities: Par value	330	354	378

Federal Prison Industries, Inc. (FPI), was created by the Congress in 1934 and is a wholly-owned Government corporation. Its mission is to employ and train Federal inmates through a diversified work program providing products and services to other Federal agencies. These operations are conducted in a self-sustaining manner so as to maximize meaningful inmate employment opportunities and minimize the effects of competition on private industry and labor. Employment provides inmates with work, occupational knowledge and skills, plus money for personal expenses and family assistance.

FPI operates as a revolving fund and does not receive an annual appropriation. The majority of revenues are derived from the sale of products and services to other Federal Departments, agencies, and bureaus. Operating expenses such as the cost of raw materials and supplies, inmate wages, staff salaries, and capital expenditures are applied against these revenues resulting in operating income or loss, which is reapplied toward operating costs for future production. In this regard, FPI makes capital investments in buildings and improvements, machinery, and equipment as necessary in the conduct of its industrial operation.

In order to increase inmate work opportunities, FPI continues to explore opportunities with commercial customers. In the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55), FPI received two new authorities to increase inmate employment. The first enables FPI to recapture work that would otherwise be performed outside of the United States, also known as repatriation. The second authorized FPI to participate in the Prison Industries Enhancement Certification Program, which allows FPI to partner with commercial businesses under a strict set of conditions to manufacture and sell prison-made goods in interstate commerce.

Object Classification (in millions of dollars)

Identification code 015-4500-0-4-753	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	54	65	78
11.5	Other personnel compensation	2	2	2
11.8	Special personal services payments	37	37	37
11.9	Total personnel compensation	93	104	117
12.1	Civilian personnel benefits	31	34	39
21.0	Travel and transportation of persons	3	3	3
22.0	Transportation of things	2	2	2
23.3	Communications, utilities, and miscellaneous charges	10	12	13
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	13	13	13
26.0	Supplies and materials	519	519	551
31.0	Equipment	11	11	11
99.9	Total new obligations, unexpired accounts	683	699	750

Employment Summary

Identification code 015-4500-0-4-753	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	621	753	753

Trust Funds

COMMISSARY FUNDS, FEDERAL PRISONS (TRUST REVOLVING FUND)

Program and Financing (in millions of dollars)

Identification code 015-8408-0-8-753	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Commissary Funds, Federal Prisons (trust Revolving Fund) (Reimbursable)	349	359	359
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	50	50	50
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	349	359	359
1801	Change in uncollected payments, Federal sources	-1		
1802	Offsetting collections (previously unavailable)	5		
1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-4		
1850	Spending auth from offsetting collections, mand (total)	349	359	359
1930	Total budgetary resources available	399	409	409
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	50	50	50
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	34	32	35
3010	New obligations, unexpired accounts	349	359	359
3020	Outlays (gross)	-351	-356	-359
3050	Unpaid obligations, end of year	32	35	35
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070	Change in uncollected pymts, Fed sources, unexpired	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	33	32	35
3200	Obligated balance, end of year	32	35	35
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	349	359	359
Outlays, gross:				
4100	Outlays from new mandatory authority		326	359
4101	Outlays from mandatory balances	351	30	
4110	Outlays, gross (total)	351	356	359
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities	-1		
4123	Non-Federal sources	-348	-359	-359
4130	Offsets against gross budget authority and outlays (total)	-349	-359	-359
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	1		
4160	Budget authority, net (mandatory)	1		
4170	Outlays, net (mandatory)	2	-3	
4180	Budget authority, net (total)	1		
4190	Outlays, net (total)	2	-3	
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	5	4	4
5092	Unexpired unavailable balance, EOY: Offsetting collections	4	4	4

Budget program.—The Commissary Fund consists of the operation of commissaries for the inmates as an earned privilege.

Financing.—Profits are derived from the sale of goods and services to inmates. Sales for 2021 are estimated at \$359 million. Adequate working capital is assured from retained earnings.

Operating results.—Profits received are used for programs, goods, and services for the benefit of inmates.

Object Classification (in millions of dollars)

Identification code 015-8408-0-8-753	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	47	48	48
11.5	Other personnel compensation	1	1	1

COMMISSARY FUNDS, FEDERAL PRISONS (TRUST REVOLVING FUND)—Continued
Object Classification—Continued

Identification code 015-8408-0-8-753	2019 actual	2020 est.	2021 est.
11.8 Special personal services payments	30	31	31
11.9 Total personnel compensation	78	80	80
12.1 Civilian personnel benefits	28	29	29
25.2 Other services from non-Federal sources	13	13	13
26.0 Supplies and materials	229	236	236
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	349	359	359

Employment Summary

Identification code 015-8408-0-8-753	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	650	749	749

OFFICE OF JUSTICE PROGRAMS

Federal Funds

RESEARCH, EVALUATION AND STATISTICS

For grants, contracts, cooperative agreements, and other assistance authorized by title I of the Omnibus Crime Control and Safe Streets Act of 1968 (*Public Law 90-351*) ("title I of the 1968 Act"); the *Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322)* ("the 1994 Act"); the Juvenile Justice and Delinquency Prevention Act of 1974 (*Public Law 93-415*) ("the 1974 Act"); the Missing Children's Assistance Act (34 U.S.C. 11291 et seq.); the [Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today] *PROTECT Act* [of 2003] (*Public Law 108-21*); the Justice for All Act of 2004 (*Public Law 108-405*); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (*Public Law 109-162*) ("the 2005 Act"); the Victims of Child Abuse Act of 1990 (*title II of Public Law 101-647*); the Second Chance Act of 2007 (*Public Law 110-199*); the Victims of Crime Act of 1984 (*chapter XIV of title II of Public Law 98-473*); the Adam Walsh Child Protection and Safety Act of 2006 (*Public Law 109-248*) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (*Public Law 110-401*); subtitle [D]C of title II of the Homeland Security Act of 2002 (*Public Law 107-296*) ("the 2002 Act"); the *Prison Rape Elimination Act of 2003 (Public Law 108-79)* ("PREA"); the NICS Improvement Amendments Act of 2007 (*Public Law 110-180*); the Violence Against Women Reauthorization Act of 2013 (*Public Law 113-4*) ("the VAW 2013 Act"); the *Comprehensive Addiction and Recovery Act of 2016 (Public Law 114-198)*; the *First Step Act of 2018 (Public Law 115-391)*; 28 U.S.C. 530C; and other programs, [\$79,000,000] \$86,500,000, to remain available until expended, of which—

(1) \$43,000,000 is for criminal justice statistics programs, and other activities, as authorized by part C of title I of the 1968 Act; and

(2) [\$36,000,000] \$43,500,000 is for research, development, and evaluation programs, and other activities as authorized by part B of title I of the 1968 Act and subtitle [D]C of title II of the 2002 Act, and for activities authorized by or consistent with the *First Step Act of 2018*, of which [\$5,000,000 is for research targeted toward developing a better understanding of the domestic radicalization phenomenon, and advancing evidence-based strategies for effective intervention and prevention; \$1,000,000 is for research to study the root causes of school violence to include the impact and effectiveness of grants made under the STOP School Violence Act; \$1,000,000 is for a national study to understand the responses of law enforcement to sex trafficking of minors; and \$2,000,000 is for a national center on forensics] not less than \$3,000,000 is for research and activities to better understand and counter human trafficking, and not less than \$1,000,000 is for research and activities to better understand and counter mass and other targeted violence, such as violence likely to kill or cause serious bodily harm to three or more individuals in public places. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0401-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Institute of Justice	26	34	44
0002 Bureau of Justice Statistics	45	40	43
0004 Regional Information Sharing System	34	38

0011 Management and Administration	7	5
0013 Research on Domestic Radicalization	3
0014 Research, Evaluation, and Statistics Set-aside	59
0015 Research on Violence Against Women	3
0799 Total direct obligations	177	117	87
0801 Programmatic Reimbursable	39	26	14
0802 Management & Administration Reimbursable	232	235	259
0899 Total reimbursable obligations	271	261	273
0900 Total new obligations, unexpired accounts	448	378	360

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	61	58	46
1021 Recoveries of prior year unpaid obligations	12
1033 Recoveries of prior year paid obligations	5
1050 Unobligated balance (total)	78	58	46
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	80	79	87
1121 Appropriations transferred from other acct [015-0404]	43
1121 Appropriations transferred from other acct [015-0405]	7
1121 Appropriations transferred from other acct [015-0409]	3	3
1121 Appropriations transferred from other acct [015-0406]	37	38
1131 Unobligated balance of appropriations permanently reduced	-2	-4
1160 Appropriation, discretionary (total)	168	116	87
Spending authority from offsetting collections, discretionary:			
1700 Collected	354	215	215
1701 Change in uncollected payments, Federal sources	-94	35	35
1750 Spending auth from offsetting collections, disc (total)	260	250	250
1900 Budget authority (total)	428	366	337
1930 Total budgetary resources available	506	424	383
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	58	46	23

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	377	440	34
3010 New obligations, unexpired accounts	448	378	360
3020 Outlays (gross)	-373	-784	-337
3040 Recoveries of prior year unpaid obligations, unexpired	-12
3050 Unpaid obligations, end of year	440	34	57
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-228	-134	-169
3070 Change in uncollected pymts, Fed sources, unexpired	94	-35	-35
3090 Uncollected pymts, Fed sources, end of year	-134	-169	-204
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	149	306	-135
3200 Obligated balance, end of year	306	-135	-147

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	428	366	337
Outlays, gross:			
4010 Outlays from new discretionary authority	192	366	337
4011 Outlays from discretionary balances	181	418
4020 Outlays, gross (total)	373	784	337
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-354	-215	-215
4033 Non-Federal sources:	-5
4040 Offsets against gross budget authority and outlays (total)	-359	-215	-215
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	94	-35	-35
4053 Recoveries of prior year paid obligations, unexpired accounts	5
4060 Additional offsets against budget authority only (total)	99	-35	-35
4070 Budget authority, net (discretionary)	168	116	87
4080 Outlays, net (discretionary)	14	569	122
4180 Budget authority, net (total)	168	116	87
4190 Outlays, net (total)	14	569	122

The 2021 Budget requests \$86.5 million for the Office of Justice Programs' (OJP) Research, Evaluation, and Statistics appropriation. This appropriation provides nationwide support for criminal justice professionals and decision-makers through programs that provide grants, contracts, and

cooperative agreements for research, development, and evaluation, and support development and dissemination of quality and relevant statistical and scientific information. The information and technologies developed through OJP's research and statistical programs improve the efficiency and effectiveness of criminal justice programs at all levels of government.

Research, Development, and Evaluation Program.—The 2021 Budget proposes a total of \$43.5 million for the National Institute of Justice (NIJ) to support high-quality research, development, and evaluation in the forensic, social, and physical sciences. Of this funding, at least \$3 million will be dedicated to human trafficking related research and \$1 million will support research on countering mass and other targeted violence.

Criminal Justice Statistics Program.—The 2021 Budget proposes \$43 million for the Bureau of Justice Statistics (BJS) to carryout national statistical collections supporting data-driven approaches to reduce and prevent crime and to assist state, local and tribal governments in enhancing their statistical capabilities, including improving criminal history records and information systems. Current programs provide statistics on: victimization, corrections, law enforcement, federal justice systems, prosecution and adjudication (courts), criminal histories and recidivism, and tribal communities.

3% Research, Evaluation and Statistics Set Aside.—The 2021 Budget requests a set-aside of up to three percent for research, evaluation, and statistics. The set-aside amount from OJP discretionary programs supports the base programs for NIJ and BJS.

Management and Administration.—The 2021 Budget proposes a total Management and Administration funding level of \$289 million for OJP. This funding level supports management and administration for OJP, including costs related to OJP's leasing initiative and the merger of the Office of Community Oriented Policing Services into OJP.

Object Classification (in millions of dollars)

Identification code 015-0401-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	2	1
21.0 Travel and transportation of persons	2	1	1
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	7	5	3
25.2 Other services from non-Federal sources	2	1	1
25.3 Other goods and services from Federal sources	42	27	20
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	119	79	59
99.0 Direct obligations	177	117	87
99.0 Reimbursable obligations	271	261	273
99.9 Total new obligations, unexpired accounts	448	378	360

Employment Summary

Identification code 015-0401-0-1-754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	611	616	659

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE

(INCLUDING TRANSFER OF FUNDS)

For grants, contracts, cooperative agreements, and other assistance authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); title I of the Omnibus Crime Control and Safe Streets Act of 1968 (Public Law 90-351) ("title I of the 1968 Act"); the Justice for All Act of 2004 (Public Law 108-405); the Victims of Child Abuse Act of 1990 (title II of Public Law 101-647) ("the 1990 Act"); the Trafficking Victims Protection Reauthorization Act of 2005 (Public Law 109-164) ("the TVPRA of 2005"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386) ("the Victims of Trafficking Act"); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); subtitle [D]C of title II of the Homeland Security Act of 2002 (Public

Law 107-296) ("the 2002 Act"); the Prison Rape Elimination Act of 2003 (Public Law 108-79) ("PREA"); the Public Safety Officer Medal of Valor Act of 2001 (Public Law 107-12); the Second Chance Act of 2007 (Public Law 110-199); the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110-403) ("the PRO-IP Act"); the Victims of Crime Act of 1984 (chapter XIV of title II of Public Law 98-473) ("the 1984 Act"); the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110-416); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the VAW 2013 Act"); the Comprehensive Addiction and Recovery Act of 2016 (Public Law 114-198) ("CARA"); the Justice for All Reauthorization Act of 2016 (Public Law 114-324); Kevin and Avonte's Law (division Q of Public Law 115-141) ("Kevin and Avonte's Law"); the Keep Young Athletes Safe Act of 2018 (title III of division S of Public Law 115-141) ("the Keep Young Athletes Safe Act"); the STOP School Violence Act of 2018 (title V of division S of Public Law 115-141) ("the STOP School Violence Act"); the Fix NICS Act of 2018 (title VI of division S of Public Law 115-141); the Project Safe Neighborhoods Grant Program Authorization Act of 2018 (Public Law 115-185) ("the PSN Grant Act of 2018"); the SUPPORT for Patients and Communities Act (Public Law 115-271); and the Second Chance Reauthorization Act of 2018 (Public Law 115-391); 28 U.S.C. 530C; and 36 U.S.C. 220531 (the "Keep Young Athletes Safe Act"); and other programs, \$1,892,000,000 \$1,511,200,000, to remain available until expended as follows—

(1) \$547,210,000 \$411,700,000 for the Edward Byrne Memorial Justice Assistance Grant program as authorized by title I of the 1968 Act, including subpart 1 of part E of such title [I of the 1968 Act] (except that section 1001(c), and the special rules for Puerto Rico under section 505(g), of such title [I of the 1968 Act] shall not apply for purposes of this Act), of which, notwithstanding such subpart 1 [.]—

(A) \$12,000,000 \$15,000,000 is for [the] an Officer Robert Wilson III [Memorial Initiative] memorial initiative on Preventing Violence Against Law Enforcement and Ensuring Officer Resilience and Survivability (VALOR)[.];

(B) \$7,500,000 is for an initiative to support evidence-based policing [.] ;

(C) \$8,000,000 \$5,000,000 is for an initiative to enhance prosecutorial decision-making [.] ;

(D) \$2,400,000 \$5,000,000 is for the operationalization, maintenance and expansion of the National Missing and Unidentified Persons System [.] ;

(E) \$2,500,000 is for [an academic based] a training initiative to improve police-based responses to people with mental illness or developmental disabilities [., \$2,000,000 is for a student loan repayment assistance program pursuant to section 952 of Public Law 110-315.];

(F) \$15,500,000 is for prison rape prevention and prosecution grants to States and units of local government, and other programs, as authorized by the [Prison Rape Elimination Act of 2003 (Public Law 108-79).] PREA;

(G) \$2,000,000 is for [a grant program authorized] the Missing Americans Alert Program (title XXIV of the 1994 Act, amended by Kevin and Avonte's Law of 2018) [., \$3,000,000 is for a regional law enforcement technology initiative.];

(H) \$20,000,000 \$40,000,000 is for [grants authorized under] the [Project Safe Neighborhoods] project safe neighborhoods program, including as authorized by the PSN Grant [Authorization] Act of 2018 [(Public Law 115-185), \$2,000,000 is for a grant to provide a drug field testing and training initiative.];

(I) \$5,500,000 \$3,000,000 is for the Capital Litigation Improvement Grant Program, as authorized by section 426 of Public Law 108-405 (title IV of the Justice for All Act of 2004), [and] or for grants for wrongful conviction review [., \$2,000,000 is for grants to States and units of local government to deploy managed access systems to combat contraband cell phone use in prison, \$1,000,000 is for a collaborative mental health and anti-recidivism initiative, \$100,000,000 is for grants for law enforcement activities associated with the presidential nominating conventions, \$2,000,000 is for a program to improve juvenile indigent defense, \$8,000,000 is for community-based violence prevention initiatives, and \$3,000,000 is for a national center for restorative justice];

(J) \$4,000,000 is for research to develop a better understanding of the domestic radicalization phenomenon, and to advance related evidence-based strategies for effective intervention and prevention;

(K) \$25,000,000 is for the matching grant program for law enforcement armor vests, as authorized by section 2501 of title I of the 1968 Act: Provided, That \$1,500,000 is transferred directly to the National Institute of Standards and Technology's Office of Law Enforcement Standards for research, testing and evaluation programs; and

(L) \$11,000,000 is for a rural violent crime initiative, including assistance for law enforcement;

(2) \$244,000,000 for the State Criminal Alien Assistance Program, as authorized by section 241(i)(5) of the Immigration and Nationality Act (8 U.S.C. 1231(i)(5));

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

Provided, That no jurisdiction shall request compensation for any cost greater than the actual cost for Federal immigration and other detainees housed in State and local detention facilities;]

[(3)](2) [\$85,000,000] \$120,000,000 for victim services programs for victims of trafficking, as authorized by section 107(b)(2) of [Public Law 106–386] the Victims of Trafficking Act, [for programs authorized under Public Law 109–164] by the TVPRA of 2005, or [programs authorized under Public Law 113–4] by the VAW 2013 Act, and related activities such as investigations and prosecutions;

[(4)](3) [\$14,000,000] \$11,000,000 for a grant program to prevent and address economic, high technology, white collar, and Internet crime [prevention grants], including as authorized by section 401 of [Public Law 110–403] the PRO-IP Act, of which not more than \$2,500,000 is for [competitive grants that help State and local law enforcement tackle] intellectual property [thefts] enforcement grants, (including as authorized by such section 401) [, and \$2,000,000 for a competitive grant program for training students in computer forensics and digital investigation];

[(5)](4) \$20,000,000 for sex offender management assistance, as authorized by the Adam Walsh Act, and related activities;

[(6)] \$27,500,000 for the Patrick Leahy Bulletproof Vest Partnership Grant Program, as authorized by section 2501 of title I of the 1968 Act: Provided, That \$1,500,000 is transferred directly to the National Institute of Standards and Technology's Office of Law Enforcement Standards for research, testing and evaluation programs;]

[(7)](5) \$1,000,000 for the National Sex Offender Public Website;

[(8)](6) [\$78,290,000] \$85,000,000 for grants to States to upgrade criminal and mental health records for the National Instant Criminal Background Check System: Provided, That, to the extent warranted by meritorious applications, priority shall be given to applications for awards under the authority of the NICS Improvement Amendments Act of 2007 (Public Law 110–180), [of which] and no less than \$25,000,000 shall be [for grants made] awarded under [the authorities of the NICS Improvement Amendments Act of 2007 (Public Law 110–180) and Fix NICS Act of 2018] such authority;

[(9)](7) [\$30,000,000] \$10,000,000 for Paul Coverdell Forensic Sciences Improvement Grants under part BB of title I of the 1968 Act;

[(10)](8) [\$132,000,000] \$105,000,000 for DNA-related and forensic programs and activities, of which—

(A) [\$102,000,000] \$97,000,000 is for the purposes authorized under section 2 of the DNA Analysis Backlog Elimination Act of 2000 (Public Law 106–546) (the Debbie Smith DNA Backlog Grant Program) and for forensic activities, notwithstanding the foregoing: Provided, That up to 4 percent of funds made available under this paragraph may be used for the purposes described in section 303 of the Justice for All Act of 2004 (the DNA Training and Education for Law Enforcement, Correctional Personnel, and Court Officers program) [(Public Law 108–405, section 303)];

[(B)] \$19,000,000 for other local, State, and Federal forensic activities;]

[(C)](B) [\$7,000,000] \$4,000,000 is for the purposes described in section 412 of the Justice for All Act of 2004 (the Kirk Bloodsworth Post-Conviction DNA Testing Grant Program) [(Public Law 108–405, section 412)]; and

[(D)](C) \$4,000,000 is for Sexual Assault Forensic Exam Program grants, including as authorized by section 304 of [Public Law 108–405] the Justice for All Act of 2004;

[(11)](9) [\$48,000,000] \$47,500,000 for a [grant] community-based program [for community-based] to improve the response to sexual assault [response reform], including assistance for investigation and prosecution of related cold cases;

[(12)](10) [\$12,000,000] \$9,000,000 for the court-appointed special advocate program, as authorized by section 217 of the 1990 Act;

[(13)] \$38,000,000 for assistance to Indian tribes;]

[(14)](11) [\$90,000,000] \$87,500,000 for offender reentry programs and research, including as authorized by the Second Chance Act of 2007 [(Public Law 110–199) and by the Second Chance Reauthorization Act of 2018 (Public Law 115–391), without regard to the time limitations specified at section 6(1) of such Act], of which, notwithstanding such Act of 2007, not to exceed—

(A) \$6,000,000 is for a program to improve State, local, and tribal probation or parole supervision efforts and strategies [,]; and

(B) \$5,000,000 is for [Children] children of [Incarcerated Parents Demonstrations] incarcerated parents demonstration programs to enhance and maintain parental and family relationships for incarcerated parents as a reentry or recidivism reduction strategy [, and \$4,500,000 is for additional replication sites employing the Project HOPE Opportunity Probation with Enforcement model implementing swift and certain sanctions in probation, and for a research project on the effectiveness of the model: Provided, That up to \$7,500,000 of funds made available

in this paragraph may be used for performance-based awards for Pay for Success projects, of which up to \$5,000,000 shall be for Pay for Success programs implementing the Permanent Supportive Housing Model];

[(15)] \$67,500,000 for initiatives to improve police-community relations, of which \$22,500,000 is for a competitive matching grant program for purchases of body-worn cameras for State, local and Tribal law enforcement, \$28,000,000 is for a justice reinvestment initiative, for activities related to criminal justice reform and recidivism reduction, and \$17,000,000 is for an Edward Byrne Memorial criminal justice innovation program;]

[(16)](12) [\$378,000,000] \$352,000,000 for comprehensive opioid abuse reduction activities, including as authorized by CARA, and for the following programs, which shall address opioid, stimulant, and substance abuse reduction consistent with underlying program authorities—

(A) [\$80,000,000] \$77,000,000 for Drug Courts, as authorized by [section 1001(a)(25)(A)] part EE of title I of the 1968 Act;

(B) \$33,000,000 for mental health courts and adult and juvenile collaboration program grants, as authorized by parts V and HH of title I of the 1968 Act, [and the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110–416)] notwithstanding section 2991(e) of such title;

(C) [\$31,000,000] \$30,000,000 for grants for Residential Substance Abuse Treatment for State Prisoners, as authorized by part S of title I of the 1968 Act;

(D) [\$23,000,000] \$22,000,000 for a veterans treatment courts program;

(E) [\$31,000,000] \$30,000,000 for a program to monitor prescription drugs and scheduled listed chemical products; and

(F) [\$180,000,000] \$160,000,000 for a comprehensive opioid, stimulant, and substance abuse program;

[(17)](13) \$2,500,000 for a competitive grant program as authorized by the Keep Young Athletes Safe Act, 36 U.S.C. 220531;

[(18)](14) [\$75,000,000] \$150,000,000 for [grants to be administered by the Bureau of Justice Assistance for purposes] school safety programs, including as authorized [under] by the STOP School Violence Act of 2018 (part AA of title I of the 1968 Act); and

[(19)] \$2,000,000 for grants to state and local law enforcement agencies for the expenses associated with the investigation and prosecution of criminal offenses, involving civil rights, authorized by the Emmett Till Unsolved Civil Rights Crimes Reauthorization Act of 2016 (Public Law 114–325);]

(15) \$99,000,000 is for grants under section 1701 of title I of the 1968 Act for the hiring and rehiring of additional career law enforcement officers under part Q of such title notwithstanding subsection (i) of such section: Provided, That notwithstanding section 1704(c) of such title, funding for hiring or rehiring a career law enforcement officer may not exceed \$125,000 unless the Attorney General grants a waiver from this limitation: Provided further, That section 210(3) of this Act shall not apply to any funds specified under this paragraph (15); Provided further, That notwithstanding such section 1701, of the amount specified at the beginning of this paragraph—

(A) \$13,000,000 is for activities authorized by section 1701(b)(17) (added by the POLICE Act of 2016);

(B) \$10,000,000 is for regional information sharing activities, as authorized by part M of title I of the 1968 Act;

(C) \$8,000,000 is for improving tribal law enforcement, including hiring, equipment, training, anti-methamphetamine activities, and anti-opioid activities;

(D) \$7,000,000 is for community policing development activities in furtherance of the purposes in section 1701;

(E) \$3,000,000 is for tribal access program (TAP) activities; and

(F) \$2,000,000 is for mental health and wellness activities for law enforcement, including as authorized by section 1701(b)(23) (added by the Law Enforcement Mental Health and Wellness Act of 2017):

Provided, That balances from any fiscal year for these or any other programs that may have been administered by the Office of Community Oriented Policing Services may be transferred from the Community Oriented Policing Services account to this account [Provided, That, if a unit of local government uses any of the funds made available under this heading to increase the number of law enforcement officers, the unit of local government will achieve a net gain in the number of law enforcement officers who perform non-administrative public sector safety service]. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015–0404–0–1–754		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	State Criminal Alien Assistance Program	396	227
0002	Adam Walsh Act Implementation	19	19	20

0004	NJ for Domestic Radicalization			4
0007	Justice Assistance Grants	309	329	285
0009	Residential Substance Abuse Treatment	27	29	30
0010	Drug Court Program	70	74	77
0011	Community Trust Initiative: Justice Reinvestment Initiative	51	26	
0012	Victims of Trafficking	94	84	120
0013	Prescription Drug Monitoring Program	27	29	30
0014	Prison Rape Prevention and Prosecution Program	14	14	15
0015	Capital Litigation Improvement Grant Program	7	5	3
0016	Justice and Mental Health Collaborations	28	31	33
0017	National Sex Offender Public Website	1	1	1
0018	Project Hope Opportunity Probation with Enforcement (HOPE)	1	5	
0019	Bulletproof Vest Partnership	21	26	25
0021	Strategies for Policing Innovation (Smart Policing)	7	7	7
0022	National Criminal Records History Improvement Program (NCHIP)	48	52	60
0023	Innovative Prosecution Solutions Initiative (Smart Prosecution)	7	8	5
0029	Court Appointed Special Advocate (CASA)	11	11	9
0031	National Instant Criminal Background Check System (NICS) Act Record Improvement Pgm (NARIP)	16	23	25
0035	Post-conviction DNA Testing grants	5	7	4
0038	Sexual Assault Forensic Exam Program grants	4	4	4
0043	S&L Gun Crime Prosecution Assistance/Gun Violence Reduction	24	19	40
0044	DNA Initiative - DNA Related and Forensic Programs and Activities	110	112	97
0045	Coverdell Forensic Science Grants	27	28	10
0050	Second Chance Act/Offender Reentry	60	70	75
0053	Missing Alzheimer's Patient Alert Program (Kevin and Avonte's Law)		2	2
0056	Economic, High-tech, White Collar, and Internet Crime Prevention	9	9	11
0077	VALOR Initiative	11	11	15
0081	Community Based Crime Reduction Program (Byrne Criminal Justice Innovation)	16	16	
0082	Tribal Assistance	35	35	
0084	John R. Justice Student Loan Repayment Program	2	2	
0088	Intellectual Property Enforcement Program	2	2	
0089	Management and Administration	108	113	
0091	Direct program activities, subtotal	1,567	1,430	1,007
0103	Veterans Treatment Courts	17	21	22
0108	Sexual Assault Kit Initiative (SAKI) (Community Teams to Reduce the SAK Backlog)	46	45	47
0115	Community Trust Initiative: Body-Worn Camera (BWC) Partnership Program	23	21	
0116	National Missing and Unidentified Persons System	2	2	5
0117	Emergency Federal Law Enforcement Assistance	9		
0118	Juvenile Indigent Defense	2	2	
0120	Presidential Nominating Conventions		100	
0122	Natl. Training Center to Improve Police Responses to People with Mental Illness	2	2	2
0131	Byrne Discretionary Grants	2		
0132	Comprehensive Opioid Abuse Program (COAP)	142	168	160
0135	Public Safety Partnership/National Crime Reduction Assistance (NCRA) Network			5
0137	Innovations in Supervision (Smart Probation)	5	6	6
0140	Children of Incarcerated Parents Demo Grants	5	5	5
0141	Keep Young Athletes Safe	2	3	3
0142	Digital Investigation Education Program	2	2	
0143	STOP School Violence Act	61	73	150
0144	COPS Hiring Program			59
0145	COPS Hiring: Tribal Resources Grant Program			8
0146	COPS Hiring: Community Policing Development/TTA			7
0148	COPS Hiring: Regional Information Sharing System			10
0151	POLICE Act			13
0152	Law Enforcement Mental Health and Wellness Act			2
0153	Community-Based Violence Prevention Initiatives	6	8	
0155	Managed Access Systems (Combating Contraband Cell Phone Use in Prisons)	2	2	
0156	Emmett Till Unsolved Civil Rights Crimes Program		2	
0191	Direct program activities, subtotal	328	462	504
0799	Total direct obligations	1,895	1,892	1,511
0801	State and Local Law Enforcement Assistance (Reimbursable)	6		
0900	Total new obligations, unexpired accounts	1,901	1,892	1,511

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	555	287	273
1010	Unobligated balance transfer to other accts [015-1020]	-60		
1021	Recoveries of prior year unpaid obligations	88	45	45
1033	Recoveries of prior year paid obligations	2		
1050	Unobligated balance (total)	585	332	318

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,723	1,892	1,511
1120	Appropriations transferred to other accts [013-0500]	-2	-2	-2
1120	Appropriations transferred to other accts [015-0401]	-43		
1120	Appropriations transferred to other acct [015-1020]	-12		
1131	Unobligated balance of appropriations permanently reduced	-66	-57	-85
1160	Appropriation, discretionary (total)	1,600	1,833	1,424
Spending authority from offsetting collections, discretionary:				
1700	Collected	4		
1701	Change in uncollected payments, Federal sources	-1		
1750	Spending auth from offsetting collections, disc (total)	3		
1900	Budget authority (total)	1,603	1,833	1,424
1930	Total budgetary resources available	2,188	2,165	1,742
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	287	273	231
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,676	3,263	2,465
3010	New obligations, unexpired accounts	1,901	1,892	1,511
3020	Outlays (gross)	-1,226	-2,645	-1,694
3040	Recoveries of prior year unpaid obligations, unexpired	-88	-45	-45
3050	Unpaid obligations, end of year	3,263	2,465	2,237
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired	1		
3090	Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2,670	3,258	2,460
3200	Obligated balance, end of year	3,258	2,460	2,232
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,603	1,833	1,424
Outlays, gross:				
4010	Outlays from new discretionary authority	30	403	313
4011	Outlays from discretionary balances	1,196	2,242	1,381
4020	Outlays, gross (total)	1,226	2,645	1,694
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-3		
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-6		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	1		
4053	Recoveries of prior year paid obligations, unexpired accounts	2		
4060	Additional offsets against budget authority only (total)	3		
4070	Budget authority, net (discretionary)	1,600	1,833	1,424
4080	Outlays, net (discretionary)	1,220	2,645	1,694
4180	Budget authority, net (total)	1,600	1,833	1,424
4190	Outlays, net (total)	1,220	2,645	1,694

The 2021 Budget requests \$1.51 billion for the Office of Justice Programs' (OJP) State and Local Law Enforcement Assistance appropriation. State, local, and tribal law enforcement and criminal justice professionals are responsible for the majority of the Nation's day-to-day crime prevention and control activities. The programs supported by this account help OJP partners throughout the Nation prevent and reduce the incidence of violent crime; improve law enforcement officer safety and wellness; address drug-related crime and substance abuse, such as opioids and stimulants; and identify innovative solutions to crime- and justice system-related challenges. These programs include a combination of formula and discretionary grant programs, coupled with robust training and technical assistance activities designed to build and enhance the crime fighting and criminal justice capabilities of OJP's state, local, and tribal partners. Priority programs in the 2021 Budget will support law enforcement efforts to reduce violent crime, promote officer safety and wellness, combat the addiction crisis, address the issue and impact of unsubmitted sexual assault kits, and help victims of crime. They include:

Byrne Justice Assistance Grants (JAG).—The Byrne Justice Assistance Grants program awards grants to state, local, and tribal governments to

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

support a broad range of activities that prevent and control crime, including: law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs. The 2021 Budget proposes \$411.7 million for this program. Included in this amount is \$11 million for a new initiative to address violent crime in rural areas and \$40 million for Project Safe Neighborhoods. JAG will also fund other important officer safety programs serving state and local law enforcement, including the Bulletproof Vest Program (\$25 million) and the VALOR Initiative (\$15 million).

Comprehensive Addiction Recovery Act (CARA).—The 2021 Budget includes \$352 million for programs authorized by the Comprehensive Addiction Recovery Act, including \$160 million for OJP's Comprehensive Opioid Abuse Program (COAP), which aims to reduce opioid abuse and the number of overdose fatalities. The request proposes to expand allowable uses of COAP funds to stimulant abuse. Another \$77 million is requested for the Drug Court Program, which addresses the needs of the drug-addicted by providing an alternative to incarceration to addicted offenders who enter the criminal justice system, addressing their addiction through treatment and recovery support services and subsequently reducing recidivism. The 2021 Budget also includes funding for the following CARA-authorized programs: Veterans Treatment Courts (\$22 million), Residential Substance Abuse Treatment (\$30 million), Justice and Mental Health Collaborations (\$33 million), and the Prescription Drug Monitoring Program (\$30 million).

Second Chance Act Program.—This program provides grants to establish and expand various adult and juvenile offender reentry programs and funds related research. Successful reintegration will reduce rates of criminal recidivism, thus increasing public safety. The 2021 Budget proposes \$87.5 million for this program. Of this total, \$6 million is to help states, localities, and tribes develop comprehensive, innovative probation and parole supervision programs and \$5 million is for the Children of Incarcerated Parents Demonstration Grant Program.

STOP School Violence Act Program.—This program aims to prevent or mitigate incidents of school violence. It supports training for teachers and education for students with the intent to prevent school violence, as well as specialized training for school officials in responding to related mental health crises that may precipitate violent attacks on schools. The program also promotes state, local, and tribal efforts to improve school security through evidence-based school safety programs making use of physical security measures, technology, and coordination with local law enforcement. The 2021 Budget proposes \$150 million for this program.

Combating Human Trafficking.—The 2021 Budget proposes \$120 million to support human trafficking grant programs, including \$80 million to support comprehensive and specialized services for human trafficking victims and \$40 million to support trafficking investigations and prosecutions.

Community Oriented Policing Services (COPS) Hiring Grants.—The 2021 Budget merges the COPS Office into OJP. The COPS hiring program provides funding to state, local and tribal law enforcement agencies to hire additional sworn law enforcement officers to be deployed in community policing activities. The 2021 Budget proposes \$99 million for this program. Within this amount, \$7 million will be used to fund training and technical assistance that supports the integration of community policing strategies throughout the law enforcement community to effectively address emerging law enforcement and community issues; \$10 million will be used to support the Regional Information Sharing System; \$13 million is for the POLICE Act programs, \$2 million is for Law Enforcement Mental Health and Wellness, \$8 million is for the Tribal Resources Grant Program, and \$3 million is for the Tribal Access Program.

Object Classification (in millions of dollars)

Identification code 015-0404-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	16	16	13
25.2 Other services from non-Federal sources	4	4	3
25.3 Other goods and services from Federal sources	131	131	104
41.0 Grants, subsidies, and contributions	1,745	1,741	1,391
99.0 Direct obligations	1,896	1,892	1,511
99.0 Reimbursable obligations	5
99.9 Total new obligations, unexpired accounts	1,901	1,892	1,511

COMMUNITY ORIENTED POLICING SERVICES

【COMMUNITY ORIENTED POLICING SERVICES PROGRAMS】

【(INCLUDING TRANSFER OF FUNDS)】

【For activities authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the American Law Enforcement Heroes Act of 2017 (Public Law 115-37); and the SUPPORT for Patients and Communities Act (Public Law 115-271), \$343,000,000, to remain available until expended: *Provided*, That any balances made available through prior year deobligations shall only be available in accordance with section 505 of this Act: *Provided further*, That of the amount provided under this heading—】

【(1) \$235,000,000 is for grants under section 1701 of title I of the 1968 Act (34 U.S.C. 10381) for the hiring and rehiring of additional career law enforcement officers under part Q of such title notwithstanding subsection (j) of such section: *Provided*, That, notwithstanding section 1704(c) of such title (34 U.S.C. 10384(c)), funding for hiring or rehiring a career law enforcement officer may not exceed \$125,000 unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: *Provided further*, That within the amounts appropriated under this paragraph, \$27,000,000 is for improving tribal law enforcement, including hiring, equipment, training, anti-methamphetamine activities, and anti-opioid activities: *Provided further*, That of the amounts appropriated under this paragraph, \$6,500,000 is for community policing development activities in furtherance of the purposes in section 1701: *Provided further*, That of the amounts appropriated under this paragraph \$38,000,000 is for regional information sharing activities, as authorized by part M of title I of the 1968 Act, which shall be transferred to and merged with "Research, Evaluation, and Statistics" for administration by the Office of Justice Programs: *Provided further*, That within the amounts appropriated under this paragraph, no less than \$3,000,000 is to support the Tribal Access Program: *Provided further*, That within the amounts appropriated under this paragraph, \$5,000,000 is for training, peer mentoring, and mental health program activities as authorized under the Law Enforcement Mental Health and Wellness Act (Public Law 115-113);】

【(2) \$10,000,000 is for activities authorized by the POLICE Act of 2016 (Public Law 114-199);】

【(3) \$13,000,000 is for competitive grants to State law enforcement agencies in States with high seizures of precursor chemicals, finished methamphetamine, laboratories, and laboratory dump seizures: *Provided*, That funds appropriated under this paragraph shall be utilized for investigative purposes to locate or investigate illicit activities, including precursor diversion, laboratories, or methamphetamine traffickers;】

【(4) \$35,000,000 is for competitive grants to statewide law enforcement agencies in States with high rates of primary treatment admissions for heroin and other opioids: *Provided*, That these funds shall be utilized for investigative purposes to locate or investigate illicit activities, including activities related to the distribution of heroin or unlawful distribution of prescription opioids, or unlawful heroin and prescription opioid traffickers through statewide collaboration; and】

【(5) \$50,000,000 is for competitive grants to be administered by the Community Oriented Policing Services Office for purposes authorized under the STOP School Violence Act (title V of division S of Public Law 115-141).】 (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0406-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public safety and community policing grants	7	7
0007 Management and administration	31	30
0008 Tribal Law Enforcement	22	24
0010 School Safety Program	33	49
0012 COPS Anti-Methamphetamine Program	7	12
0013 Anti-Heroin Task Forces	28	31
0016 Preparing for Active Shooter Situations	9	9
0799 Total direct obligations	137	162
0801 Community Oriented Policing Services (Reimbursable)	8	8
0900 Total new obligations, unexpired accounts	145	170
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	160	286	408
1021 Recoveries of prior year unpaid obligations	13
1050 Unobligated balance (total)	173	286	408
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	304	343
1120 Appropriations transferred to other acct (015-0401)	-37	-38
1131 Unobligated balance of appropriations permanently reduced	-17	-13
1160 Appropriation, discretionary (total)	250	292
Spending authority from offsetting collections, discretionary:			
1700 Collected	8
1701 Change in uncollected payments, Federal sources	8	-8
1750 Spending auth from offsetting collections, disc (total)	8
1900 Budget authority (total)	258	292
1930 Total budgetary resources available	431	578	408
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	286	408	408
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	433	381	332
3010 New obligations, unexpired accounts	145	170
3020 Outlays (gross)	-184	-219	-261
3040 Recoveries of prior year unpaid obligations, unexpired	-13
3050 Unpaid obligations, end of year	381	332	71
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-8
3070 Change in uncollected pymts, Fed sources, unexpired	-8	8
3090 Uncollected pymts, Fed sources, end of year	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	433	373	332
3200 Obligated balance, end of year	373	332	71
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	258	292
Outlays, gross:			
4010 Outlays from new discretionary authority	28	41
4011 Outlays from discretionary balances	156	178	261
4020 Outlays, gross (total)	184	219	261
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-8
4040 Offsets against gross budget authority and outlays (total)	-8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-8	8
4060 Additional offsets against budget authority only (total)	-8	8
4070 Budget authority, net (discretionary)	250	292
4080 Outlays, net (discretionary)	184	211	261
4180 Budget authority, net (total)	250	292
4190 Outlays, net (total)	184	211	261

The Community Oriented Policing Services (COPS) Office administers grants and provides expertise and other assistance in advancing public safety through the implementation of community policing strategies in jurisdictions of all sizes across the country. Community policing is a philosophy that promotes organizational strategies that support the system-

atic use of partnerships and problem-solving techniques to proactively address the immediate conditions that give rise to public safety issues such as crime, social disorder, and fear of crime. In 2021, these community policing activities will be merged into the Office of Justice Programs (OJP), which provides leadership to federal, state, local and tribal systems, by disseminating state-of-the-art knowledge and practices across America by providing grants for the implementation of crime fighting strategies. Because most of the responsibility for crime control and prevention falls to law enforcement in states, cities, and neighborhoods, OJP has developed partnerships with state and local law enforcement. The transfer of community policing activities to OJP will allow the Department to centralize and strengthen the partnerships it has with its colleagues in State and Local law enforcement and to promote community policing not only through its hiring programs but also through the advancement of strategies for policing innovations and other innovative crime-fighting techniques.

Object Classification (in millions of dollars)

Identification code 015-0406-0-1-754	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	11	11
11.9 Total personnel compensation	11	11
12.1 Civilian personnel benefits	3	3
23.1 Rental payments to GSA	4	4
23.3 Communications, utilities, and miscellaneous charges	3	3
25.1 Advisory and assistance services	2	2
25.2 Other services from non-Federal sources	2	2
25.3 Other goods and services from Federal sources	13	13
41.0 Grants, subsidies, and contributions	99	124
99.0 Direct obligations	137	162
99.0 Reimbursable obligations	8	8
99.9 Total new obligations, unexpired accounts	145	170

Employment Summary

Identification code 015-0406-0-1-754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	75	77

OFFICE ON VIOLENCE AGAINST WOMEN

【VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS】

【(INCLUDING TRANSFER OF FUNDS)】

【For grants, contracts, cooperative agreements, and other assistance for the prevention and prosecution of violence against women, as authorized by the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. 10101 et seq.) ("the 1968 Act"); the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Juvenile Justice and Delinquency Prevention Act of 1974 (34 U.S.C. 11101 et seq.) ("the 1974 Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386) ("the 2000 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4) ("the 2013 Act"); the Rape Survivor Child Custody Act of 2015 (Public Law 114-22) ("the 2015 Act"); and the Abolish Human Trafficking Act (Public Law 115-392); and for related victims services, \$502,500,000, to remain available until expended, of which \$435,000,000 shall be derived by transfer from amounts available for obligation in this Act from the Fund established by section 1402 of chapter XIV of title II of Public Law 98-473 (34 U.S.C. 20101), notwithstanding section 1402(d) of such Act of 1984, and merged with the amounts otherwise made available under this heading: *Provided*, That except as otherwise provided by law, not to exceed 5 percent of funds made available under this heading may be used for expenses related to evaluation, training, and technical assistance: *Provided further*, That of the amount provided-

【(1) \$215,000,000 is for grants to combat violence against women, as authorized by part T of the 1968 Act;】

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS—Continued

[(2) \$37,000,000 is for transitional housing assistance grants for victims of domestic violence, dating violence, stalking, or sexual assault as authorized by section 40299 of the 1994 Act;]

[(3) \$2,500,000 is for the National Institute of Justice and the Bureau of Justice Statistics for research, evaluation, and statistics of violence against women and related issues addressed by grant programs of the Office on Violence Against Women, which shall be transferred to "Research, Evaluation and Statistics" for administration by the Office of Justice Programs;]

[(4) \$11,500,000 is for a grant program to provide services to advocate for and respond to youth victims of domestic violence, dating violence, sexual assault, and stalking; assistance to children and youth exposed to such violence; programs to engage men and youth in preventing such violence; and assistance to middle and high school students through education and other services related to such violence: *Provided*, That unobligated balances available for the programs authorized by sections 41201, 41204, 41303, and 41305 of the 1994 Act, prior to its amendment by the 2013 Act, shall be available for this program: *Provided further*, That 10 percent of the total amount available for this grant program shall be available for grants under the program authorized by section 2015 of the 1968 Act: *Provided further*, That the definitions and grant conditions in section 40002 of the 1994 Act shall apply to this program;]

[(5) \$53,000,000 is for grants to encourage arrest policies as authorized by part U of the 1968 Act, of which \$4,000,000 is for a homicide reduction initiative;]

[(6) \$38,000,000 is for sexual assault victims assistance, as authorized by section 41601 of the 1994 Act;]

[(7) \$43,500,000 is for rural domestic violence and child abuse enforcement assistance grants, as authorized by section 40295 of the 1994 Act;]

[(8) \$20,000,000 is for grants to reduce violent crimes against women on campus, as authorized by section 304 of the 2005 Act;]

[(9) \$46,000,000 is for legal assistance for victims, as authorized by section 1201 of the 2000 Act;]

[(10) \$5,000,000 is for enhanced training and services to end violence against and abuse of women in later life, as authorized by section 40801 of the 1994 Act;]

[(11) \$17,000,000 is for grants to support families in the justice system, as authorized by section 1301 of the 2000 Act: *Provided*, That unobligated balances available for the programs authorized by section 1301 of the 2000 Act and section 41002 of the 1994 Act, prior to their amendment by the 2013 Act, shall be available for this program;]

[(12) \$6,000,000 is for education and training to end violence against and abuse of women with disabilities, as authorized by section 1402 of the 2000 Act;]

[(13) \$1,000,000 is for the National Resource Center on Workplace Responses to assist victims of domestic violence, as authorized by section 41501 of the 1994 Act;]

[(14) \$1,000,000 is for analysis and research on violence against Indian women, including as authorized by section 904 of the 2005 Act: *Provided*, That such funds may be transferred to "Research, Evaluation and Statistics" for administration by the Office of Justice Programs;]

[(15) \$500,000 is for a national clearinghouse that provides training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women;]

[(16) \$4,000,000 is for grants to assist tribal governments in exercising special domestic violence criminal jurisdiction, as authorized by section 904 of the 2013 Act: *Provided*, That the grant conditions in section 40002(b) of the 1994 Act shall apply to this program; and]

[(17) \$1,500,000 is for the purposes authorized under the 2015 Act.] (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0409-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Grants to Combat Violence Against Women (STOP)	201	201
0004 Management and administration	23	25
0005 Transitional Housing	35	35
0006 Consolidated Youth Oriented Program	11	11
0007 Grants to Encourage Arrest Policies	47	47
0008 Rural Domestic Violence and Child Abuse Enforcement Assistance	41	41
0009 Legal Assistance Program	42	42
0010 Tribal Special Domestic Violence Criminal Jurisdiction	4	4
0011 Campus Violence	19	19
0012 Disabilities Program	6	6
0013 Elder Program	5	5
0014 Sexual Assault Services	36	36
0016 Indian Country - Sexual Assault Clearinghouse	1	1

0017 National Resource Center on Workplace Responses	2	2
0018 Research on Violence Against Indian Women	1	1
0020 Rape Survivor Child Custody Act Program	2	2
0021 Justice for Families	14	14
0799 Total direct obligations	490	492
0888 Reimbursable program activity	1
0889 Reimbursable program activities, subtotal	1
0900 Total new obligations, unexpired accounts	491	492

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	34	55
1021 Recoveries of prior year unpaid obligations	15	11	11
1050 Unobligated balance (total)	32	45	66
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	68
1120 Appropriations transferred to other accts [015-0401]	-3	-3
1121 Appropriations transferred from other acct [015-5041]	498	435
1131 Unobligated balance of appropriations permanently reduced	-10	-10
1160 Appropriation, discretionary (total)	485	500	-10
Spending authority from offsetting collections, discretionary:			
1700 Amounts available from Crime Victims Fund	2
1700 Collected	4
1701 Change in uncollected payments, Federal sources	6	-2	2
1750 Spending auth from offsetting collections, disc (total)	8	2	2
1900 Budget authority (total)	493	502	-8
1930 Total budgetary resources available	525	547	58
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	34	55	58

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,118	1,133	1,130
3010 New obligations, unexpired accounts	491	492
3020 Outlays (gross)	-461	-484	-467
3040 Recoveries of prior year unpaid obligations, unexpired	-15	-11	-11
3050 Unpaid obligations, end of year	1,133	1,130	652
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-10	-8
3070 Change in uncollected pymts, Fed sources, unexpired	-6	2	-2
3090 Uncollected pymts, Fed sources, end of year	-10	-8	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,114	1,123	1,122
3200 Obligated balance, end of year	1,123	1,122	642

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	493	502	-8
Outlays, gross:			
4010 Outlays from new discretionary authority	19	12	-8
4011 Outlays from discretionary balances	442	472	475
4020 Outlays, gross (total)	461	484	467
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Amounts received from Crime Victims Fund	-2
4030 Federal sources	-4
4040 Offsets against gross budget authority and outlays (total)	-2	-4
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-6	2	-2
4060 Additional offsets against budget authority only (total)	-6	2	-2
4070 Budget authority, net (discretionary)	485	500	-10
4080 Outlays, net (discretionary)	459	480	467
4180 Budget authority, net (total)	485	500	-10
4190 Outlays, net (total)	459	480	467

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	485	500	-10
Outlays	459	480	467
Legislative proposal, subject to PAYGO:			
Budget Authority	499
Outlays	20

Total:			
Budget Authority	485	500	489
Outlays	459	480	487

The mission of the Office on Violence Against Women (OVW) is to provide Federal leadership in developing the nation's capacity to reduce domestic violence, dating violence, sexual assault, and stalking through the implementation of the Violence Against Women Act (VAWA).

Since its inception in 1995, OVW has awarded over \$8.6 billion in grants and cooperative agreements, and has launched a multifaceted approach to implementing VAWA. By forging state, local, and tribal partnerships among police, prosecutors, judges, victim advocates, health care providers, faith leaders, organizations that serve culturally specific and underserved communities and others, OVW grant programs help provide victims with the protection and services they need to pursue safe and healthy lives, while simultaneously enabling communities to hold offenders accountable for their violence.

The 2021 Budget proposes to transfer \$498.5 million from the Crime Victims Fund (CVF) to support OVW activities and programs.

Object Classification (in millions of dollars)

Identification code 015-0409-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	7	7
11.3 Other than full-time permanent	1	1
11.9 Total personnel compensation	8	8
12.1 Civilian personnel benefits	2	2
21.0 Travel and transportation of persons	1	1
23.1 Rental payments to GSA	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1
25.1 Advisory and assistance services	10	10
25.3 Other goods and services from Federal sources	6	6
41.0 Grants, subsidies, and contributions	461	463
99.0 Direct obligations	490	492
99.0 Reimbursable obligations	1
99.9 Total new obligations, unexpired accounts	491	492

Employment Summary

Identification code 015-0409-0-1-754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	63	70

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 015-0409-4-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Grants to Combat Violence Against Women (STOP)	202
0003 Research and Evaluation of Violence Against Women (NU)	3
0004 Management and administration	25
0005 Transitional Housing	34
0006 Consolidated Youth Oriented Program	10
0007 Grants to Encourage Arrest Policies	51
0008 Rural Domestic Violence and Child Abuse Enforcement Assistance	42
0009 Legal Assistance Program	43
0010 Tribal Special Domestic Violence Criminal Jurisdiction	4
0011 Campus Violence	19
0012 Disabilities Program	6
0013 Elder Program	6
0014 Sexual Assault Services	34
0016 Indian Country - Sexual Assault Clearinghouse	1
0017 National Resource Center on Workplace Responses	2
0018 Research on Violence Against Indian Women	1
0020 Rape Survivor Child Custody Act Program	2
0021 Justice for Families	14
0900 Total new obligations, unexpired accounts	499

Budgetary resources:		
Budget authority:		
Appropriations, mandatory:		
1221 Appropriations transferred from other acct [015-5041]	499
1930 Total budgetary resources available	499

Change in obligated balance:		
Unpaid obligations:		
3010 New obligations, unexpired accounts	499
3020 Outlays (gross)	-20
3050 Unpaid obligations, end of year	479
Memorandum (non-add) entries:		
3200 Obligated balance, end of year	479

Budget authority and outlays, net:		
Mandatory:		
4090 Budget authority, gross	499
Outlays, gross:		
4100 Outlays from new mandatory authority	20
4180 Budget authority, net (total)	499
4190 Outlays, net (total)	20

The Budget requests \$498.5 million for programs administered by the Office on Violence Against Women (OVW) to prevent and respond to violence against women and related victims. OVW provides national leadership against domestic violence, dating violence, sexual assault, and stalking, and supports a multifaceted approach to responding to these crimes. To support this important work, the Budget proposes to transfer \$498.5 million from the Crime Victims Fund (CVF) to support OVW activities and programs. This transfer is part of a broader authorizing proposal that seeks to reform the CVF with the goal of better serving the victims of crime. In 2021, funding requested for this account will support the following initiatives:

STOP Violence Against Women Formula Grant Program.—The STOP Program is designed to encourage the development and strengthening of effective law enforcement and prosecution strategies to combat violent crimes against women and the development and strengthening of victim services in cases involving violent crimes against women. The 2021 Budget proposes \$215 million for this program.

Transitional Housing Assistance Program.—Transitional Housing grants provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. The 2021 Budget proposes \$35.5 million for this program.

Research and Statistics on Violence Against Women (National Institute of Justice and the Bureau of Justice Statistics).—This program supports research and statistics on violence against women. The 2021 Budget proposes to allow a transfer up to \$3.5 million for this program.

Grants to Encourage Arrest Policies.—This discretionary grant program is designed to encourage state, local, and tribal governments and state, local, and tribal courts to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law requiring the coordinated involvement of the entire criminal justice system. The 2021 Budget proposes \$53 million for this program.

Sexual Assault Services Program.—This program provides funding for states and territories, tribes, state sexual assault coalitions, tribal coalitions, and culturally specific organizations. Overall, the purpose of this program is to provide intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault. The 2021 Budget proposes \$35 million for this program.

Rural Domestic Violence Program.—This program enhances the safety of child, youth and adult victims of domestic violence, dating violence, sexual assault, and stalking by supporting projects uniquely designed to address and prevent these crimes in rural jurisdictions. The 2021 Budget proposes \$45 million for this program.

Grants to Reduce Violence Crimes Against Women on Campus.—The Campus Program develops and strengthens victim services in cases involving violent crimes against women on campuses, and aims to strengthen

VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS—Continued security and investigative strategies to prevent and prosecute violent crimes against women on campuses. The 2021 Budget proposes \$20 million for this program, of which \$8 million is for a demonstration initiative to improve campus responses to sexual assault, dating violence, and stalking, which will not be subject to the restrictions of section 304(a)(2).

Legal Assistance for Victims Grant Program.—The Legal Assistance Program increases the availability of civil and criminal legal assistance in order to provide effective aid to victims who are seeking relief in legal matters arising as a consequence of abuse or violence. The 2021 Budget proposes \$45 million for this program.

Enhanced Training and Services to End Violence Against and Abuse of Women Later in Life.—This program provides or enhances training and services to address elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, or stalking, involving victims who are 50 years of age or older. The 2021 Budget proposes \$6 million for this program.

Education and Training to End Violence Against and Abuse of Women with Disabilities Grant Program.—The Disabilities Grant Program builds the capacity of jurisdictions to address domestic violence, dating violence, stalking and sexual assault against individuals with disabilities through the creation of multi-disciplinary teams. The 2021 Budget proposes \$6 million for this program.

Consolidation of Youth-Oriented Programming.—This consolidated grant program consolidates the purpose areas of four programs under one competitive program. The four programs included in the consolidation include: Services to Advocate for and Respond to Youth, Grants to Assist Children and Youth Exposed to Violence, Engaging Men and Youth in Preventing Domestic Violence, and Supporting Teens through Education. This consolidation allows OVW to leverage resources for maximum impact in communities by funding comprehensive projects that include both youth service and prevention components. The 2021 Budget proposes \$11 million for this program.

Indian Country-Sexual Assault Clearinghouse.—This funding will support the establishment and maintenance of a national clearinghouse on the sexual assault of American Indian and Alaska Native women. This project will offer a one-stop shop for tribes to request free on-site training and technical assistance. The 2021 Budget proposes \$500,000 for this program.

National Resource Center on Workplace Response.—The Violence Against Women Act of 2005 provided for an award to establish and operate a national resource center on workplace responses to assist victims of domestic and sexual violence. The center will provide information and assistance to employers and labor organizations to better equip them to respond to victims. The 2021 Budget proposes \$500,000 for this program.

Grants to Support Families in the Justice System.—This funding will provide comprehensive support to victims of domestic violence and child sexual abuse and their families in family law matters in the civil justice system, including safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children. This program represents a consolidation of the Safe Havens and Court Training and Improvements program. The 2021 Budget proposes \$16 million for this program.

Tribal Special Domestic Violence Criminal Jurisdiction.—This grant program for tribal governments was first authorized in the Violence Against Women Reauthorization Act of 2013 (VAWA 2013). VAWA 2013 included an historic provision that recognizes the inherent power of "participating tribes" to exercise "special domestic violence criminal jurisdiction" over both Indians and non-Indians who assault Indian spouses, intimate partners, or dating partners, or who violate protection orders, in Indian country. To support tribes that chose to participate, the Act authorizes funding to strengthen tribal criminal justice systems, provide indigent defense counsel, develop appropriate jury pools, and assist victims. The 2021 Budget proposes \$4 million for this program.

Rape Survivor Child Custody Act Program.—Directs the Attorney General to make grants to states that have in place a law that allows the mother of any child that was conceived through rape to seek court-ordered termination of the parental rights of her rapist with regard to that child, which the court shall grant upon clear and convincing evidence of rape. The 2021 Budget proposes \$1.5 million for this program. For 2021, funding requested for this account will also support Analysis and Research on Violence Against Indian Women, a program that is administered by the Office of Justice Programs and supports comprehensive research on violence against Native American women. The 2021 Budget proposes \$1 million for this program.

Management and Administration.—Within total grants funding, \$25 million will be provided for management and administration costs.

Object Classification (in millions of dollars)

Identification code 015-0409-4-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			7
12.1 Civilian personnel benefits			2
21.0 Travel and transportation of persons			1
23.1 Rental payments to GSA			2
23.3 Communications, utilities, and miscellaneous charges			1
25.1 Advisory and assistance services			9
25.3 Other goods and services from Federal sources			6
41.0 Grants, subsidies, and contributions			471
99.9 Total new obligations, unexpired accounts			499

Employment Summary

Identification code 015-0409-4-1-754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			70

JUVENILE JUSTICE PROGRAMS

For grants, contracts, cooperative agreements, and other assistance authorized by the Juvenile Justice and Delinquency Prevention Act of 1974 (*Public Law 93-415*) ("the 1974 Act"); *title I* of the Omnibus Crime Control and Safe Streets Act of 1968 (*Public Law 90-351*) ("*title I* of the 1968 Act"); *the Violent Crime Control and Law Enforcement Act of 1994* (*Public Law 103-322*) ("*the 1994 Act*"; the Violence Against Women and Department of Justice Reauthorization Act of 2005 (*Public Law 109-162*) ("*the 2005 Act*"; [the Missing Children's Assistance Act (34 U.S.C. 11291 et seq.);] the [Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today] *PROTECT Act* [of 2003] (*Public Law 108-21*); the Victims of Child Abuse Act of 1990 (*title II* of *Public Law 101-647*) ("*the 1990 Act*"; the Adam Walsh Child Protection and Safety Act of 2006 (*Public Law 109-248*) ("*the Adam Walsh Act*"; the PROTECT Our Children Act of 2008 (*Public Law 110-401*) ("*the 2008 Act*"; the Violence Against Women Reauthorization Act of 2013 (*Public Law 113-4*) ("*the VAW 2013 Act*"; the Justice for All Reauthorization Act of 2016 (*Public Law 114-324*); the Juvenile Justice Reform Act of 2018 (*Public Law 115-385*); *the Victims of Crime Act of 1984* (*chapter XIV* of *title II* of *Public Law 98-473*) ("*the 1984 Act*"; *the Comprehensive Addiction and Recovery Act of 2016* (*Public Law 114-198*); and 28 U.S.C. 530C; and other juvenile justice programs, [\$320,000,000] \$227,500,000, to remain available until expended as follows—

(1) [\$63,000,000] \$58,000,000 for programs authorized by section 221 of the 1974 Act, and for training and technical assistance to assist small, nonprofit organizations with the Federal grants process; *Provided*, That of the amounts provided under this paragraph, \$500,000 shall be for a competitive demonstration grant program to support emergency planning among State, local and tribal juvenile justice residential facilities;

(2) [\$97,000,000] \$43,000,000 for youth mentoring [grants] programs;

(3) [\$42,000,000] \$17,000,000 for delinquency prevention, of which, pursuant to sections 261 and 262 of the 1974 Act—

[(A)] \$2,000,000 shall be for grants to prevent trafficking of girls;]

[(B)] \$5,000,000 shall be for the Tribal Youth Program;]

[(C)] (A) \$500,000 shall be for an Internet site providing information and resources on children of incarcerated parents;

[(D)] (B) \$2,000,000 shall be for competitive [grants] grant programs focusing on girls in the juvenile justice system;

[(E)](C) [\$10,000,000] \$9,000,000 shall be for an opioid-affected youth initiative; and

[(F)] \$8,000,000 shall be for an initiative relating to children exposed to violence;

(D) \$5,500,000 shall be for gang and youth violence education, prevention and intervention, and related activities;

(4) [\$27,000,000] \$20,000,000 for programs authorized by the [Victims of Child Abuse Act of] 1990 Act;

(5) [\$87,500,000] \$85,000,000 for missing and exploited children programs, including as authorized by sections 404(b) and 405(a) of the 1974 Act (except that section 102(b)(4)(B) of the [PROTECT Our Children Act of] 2008 [(Public Law 110-401)] Act shall not apply for purposes of this Act); [and]

(6) [\$3,500,000] \$2,000,000 for child abuse training programs for judicial personnel and practitioners, as authorized by section 222 of the 1990 Act; and

(7) \$2,500,000 for grants and training programs to improve juvenile justice prosecution and defense, of which \$1,250,000 shall be for programs related to juvenile justice prosecution and \$1,250,000 shall be for programs related to juvenile justice defense.

Provided, That not more than 10 percent of each amount may be used for research, evaluation, and statistics activities [designed to benefit the programs or activities authorized] related to juvenile justice and delinquency prevention: Provided further, That not more than 2 percent of [the amounts] each amount designated [under paragraphs (1) through (3) and (6)], other than as expressly authorized by statute, may be used for training and technical assistance related to juvenile justice and delinquency prevention: Provided further, That funds made available for juvenile justice and delinquency prevention activities pursuant to the two preceding provisos may be used without regard to the authorizations associated with the underlying sources of those funds: Provided further, That the [two] three preceding provisos shall not apply to grants and projects administered pursuant to sections 261 and 262 of the 1974 Act, and to missing and exploited children programs. (Department of Justice Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 015-0405-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Part B: Formula Grants	50	58	57
0002 Youth Mentoring	86	90	43
0003 Delinquency Prevention Program (Title V - Local Delinq. Prevention Incentive Grants)		14	
0004 Victims of Child Abuse	21	25	20
0005 Youth Violence Prevention	2		5
0008 Community-Based Violence Prevention Initiatives	3		
0009 Tribal Youth Program	4	5	
0011 Emergency Planning - Juvenile Detention Facilities	1	1	1
0013 Missing and Exploited Children	80	82	85
0014 Child Abuse Training for Judicial Personnel and Practitioners	3	3	2
0015 Management and Administration	19	23	
0017 Girls in the Juvenile Justice System	2	2	2
0018 Children of Incarcerated Parents Web Portal	1	1	1
0021 Indigent Defense Initiative—Improving Juvenile Indigent Defense Program			3
0023 Opioid Affected Youth Initiative	8	9	9
0024 Children Exposed to Violence	7	7	
0799 Total direct obligations	287	320	228
0801 Juvenile Justice Programs (Reimbursable)	7	6	6
0900 Total new obligations, unexpired accounts	294	326	234
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	27	18
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	23	27	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	287	320	228
1120 Appropriations transferred to other acct [015-0401]	-7		
1131 Unobligated balance of appropriations permanently reduced	-2	-9	
1160 Appropriation, discretionary (total)	278	311	228
Spending authority from offsetting collections, discretionary:			
1700 Collected	20	6	6
1900 Budget authority (total)	298	317	234
1930 Total budgetary resources available	321	344	252

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	18	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	472	508	440
3010 New obligations, unexpired accounts	294	326	234
3020 Outlays (gross)	-251	-394	-296
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3050 Unpaid obligations, end of year	508	440	378
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	470	506	438
3200 Obligated balance, end of year	506	438	376
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	298	317	234
Outlays, gross:			
4010 Outlays from new discretionary authority	21	48	42
4011 Outlays from discretionary balances	230	346	254
4020 Outlays, gross (total)	251	394	296
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-6	-6
4040 Offsets against gross budget authority and outlays (total)	-20	-6	-6
4180 Budget authority, net (total)	278	311	228
4190 Outlays, net (total)	231	388	290

The 2021 Budget requests \$227.5 million for the Office of Justice Programs' (OJP) Juvenile Justice Programs. This appropriation account includes programs that support State, local, and tribal community efforts to prevent juvenile delinquency and crime and assist children who have been victimized by crime and child abuse. These programs also help states and communities improve their juvenile justice systems in ways that protect public safety, hold youth involved in the justice system accountable, and provide appropriate reentry services for youth returning to their communities after detention in secure correctional facilities.

The 2021 Budget requests \$58 million for the Part B: Formula Grants Program. Part B is the core program that supports State, local, and tribal efforts to improve the fairness and responsiveness of the juvenile justice system and to increase accountability of the juvenile offender. The Budget also requests \$2.5 million for the Prosecution and Defense Process Improvement Program (formerly Improving Juvenile Indigent Defense Program), which supports two areas of improvement for the juvenile justice system—juvenile prosecution and indigent defense. The juvenile prosecution component is a new addition to the program, and will support states in providing training and professional development and needed resources to ensure juvenile prosecutors have access to information that addresses the unique framework of the juvenile court system. Additionally, the Budget requests \$85 million for the Missing and Exploited Children Program (MECP), including Internet Crime Against Children (ICAC) task forces. Other major programs funded by this appropriation include Youth Mentoring (\$43 million), Delinquency Prevention (\$17 million), and Victims of Child Abuse Act (\$20 million).

Object Classification (in millions of dollars)

Identification code 015-0405-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	4	4	3
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	22	25	17
41.0 Grants, subsidies, and contributions	260	290	207
99.0 Direct obligations	287	320	228
99.0 Reimbursable obligations	7	6	6

JUVENILE JUSTICE PROGRAMS—Continued
Object Classification—Continued

Identification code 015-0405-0-1-754	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	294	326	234

PUBLIC SAFETY OFFICER BENEFITS
(INCLUDING TRANSFER OF FUNDS)

For payments and expenses authorized under section 1001(a)(4) of title I of the Omnibus Crime Control and Safe Streets Act of 1968, such sums as are necessary (including amounts for administrative costs), to remain available until expended; and \$24,800,000 for payments authorized by section 1201(b) of such Act and for educational assistance authorized by section 1218 of such Act, to remain available until expended: *Provided*, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for such disability and education payments, the Attorney General may transfer such amounts to "Public Safety Officer Benefits" from available appropriations for the Department of Justice as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section [505] 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Department of Justice Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 015-0403-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public Safety Officers Discretionary Disability and Education Benefit Payments	22	23	25
0002 Public Safety Officers Death Mandatory Payments	121	109	117
0003 Management and Administration (discretionary funding only)		10	
0900 Total new obligations, unexpired accounts	143	142	142

Identification code 015-0403-0-1-754	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		3	3
1001 Discretionary unobligated balance brought fwd, Oct 1		3	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	25	25	25
Appropriations, mandatory:			
1200 Appropriation	122	117	117
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-1		
1260 Appropriations, mandatory (total)	121	117	117
1900 Budget authority (total)	146	142	142
1930 Total budgetary resources available	146	145	145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3

Identification code 015-0403-0-1-754	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	51	52	3
3010 New obligations, unexpired accounts	143	142	142
3020 Outlays (gross)	-142	-191	-142
3050 Unpaid obligations, end of year	52	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	51	52	3
3200 Obligated balance, end of year	52	3	3

Identification code 015-0403-0-1-754	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	25	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority	16	25	25
4011 Outlays from discretionary balances	6	49	
4020 Outlays, gross (total)	22	74	25
Mandatory:			
4090 Budget authority, gross	121	117	117
Outlays, gross:			
4100 Outlays from new mandatory authority	81	117	117
4101 Outlays from mandatory balances	39		

4110 Outlays, gross (total)	120	117	117
4180 Budget authority, net (total)	146	142	142
4190 Outlays, net (total)	142	191	142

The 2021 Budget requests \$141.8 million for the Office of Justice Programs' Public Safety Officers' Benefits (PSOB) Program, of which \$117 million is a mandatory appropriation for death benefits and \$24.8 million is a discretionary appropriation for disability and education benefits. This appropriation supports programs that provide benefits to public safety officers who are severely injured in the line of duty and to the families and survivors of public safety officers killed or mortally injured in the line of duty. These programs represent the continuation of a partnership between the Department of Justice, national public safety organizations, and public safety agencies at the state, local, and tribal levels. The PSOB program oversees three types of benefits:

Death Benefits.—This program provides a one-time financial benefit to survivors of public safety officers whose deaths resulted from injuries sustained in the line of duty.

Disability Benefits.—This program offers a one-time financial benefit to public safety officers permanently disabled by catastrophic injuries sustained in the line of duty.

Education Benefits.—This program provides financial support for higher education expenses to the eligible spouses and children of public safety officers killed or permanently disabled in the line of duty.

Object Classification (in millions of dollars)

Identification code 015-0403-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	5	5	5
25.3 Other goods and services from Federal sources	9	9	9
41.0 Grants, subsidies, and contributions	5	5	5
42.0 Insurance claims and indemnities	124	123	123
99.9 Total new obligations, unexpired accounts	143	142	142

CRIME VICTIMS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5041-0-2-754	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	9,121	6,396	4,755
Receipts:			
Current law:			
1110 Fines, Penalties, and Forfeitures, Crime Victims Fund	495	1,000	2,000
2000 Total: Balances and receipts	9,616	7,396	6,755
Appropriations:			
Current law:			
2101 Crime Victims Fund	-495	-1,000	-2,000
2103 Crime Victims Fund	-9,121	-6,396	-4,755
2132 Crime Victims Fund	903	518	
2135 Crime Victims Fund	5,493	4,237	
2199 Total current law appropriations	-3,220	-2,641	-6,755
Proposed:			
2203 Crime Victims Fund			4,455
2999 Total appropriations	-3,220	-2,641	-2,300
5099 Balance, end of year	6,396	4,755	4,455

Program and Financing (in millions of dollars)

Identification code 015-5041-0-2-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Crime victims grants and assistance	2,605	1,955	6,521
0002 Management and administration	81	82	102
0003 HHS	16	17	17
0005 Inspector General Oversight	10	10	
0006 Tribal Victims Assistance Grants	160	132	115
0900 Total new obligations, unexpired accounts	2,872	2,196	6,755

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	50	53
1021	Recoveries of prior year unpaid obligations	159	
1033	Recoveries of prior year paid obligations	1	
1050	Unobligated balance (total)	210	53
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	495	1,000
1203	Appropriation (unavailable balances)	9,121	6,396
1220	Appropriations transferred to other acct OVW [015-0409]	-498	-435
1220	Appropriations transferred to other acct Denali Commission [095-1200]	-7	
1220	Appropriations transferred to Inspector General [015-0328]		-10
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-903	-518
1235	Appropriations precluded from obligation (special or trust)	-5,493	-4,237
1260	Appropriations, mandatory (total)	2,715	2,196
1900	Budget authority (total)	2,715	2,196
1930	Total budgetary resources available	2,925	2,249
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	53	53
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7,461	7,859
3010	New obligations, unexpired accounts	2,872	2,196
3020	Outlays (gross)	-2,315	-4,513
3040	Recoveries of prior year unpaid obligations, unexpired	-159	
3050	Unpaid obligations, end of year	7,859	5,542
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	7,461	7,859
3200	Obligated balance, end of year	7,859	5,542
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	2,715	2,196
Outlays, gross:			
4100	Outlays from new mandatory authority	142	1,056
4101	Outlays from mandatory balances	2,173	3,457
4110	Outlays, gross (total)	2,315	4,513
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-1	
Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts	1	
4160	Budget authority, net (mandatory)	2,715	2,196
4170	Outlays, net (mandatory)	2,314	4,513
4180	Budget authority, net (total)	2,715	2,196
4190	Outlays, net (total)	2,314	4,513

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,715	2,196	6,755
Outlays	2,314	4,513	6,434
Legislative proposal, subject to PAYGO:			
Budget Authority			-4,954
Outlays			-1,982
Total:			
Budget Authority	2,715	2,196	1,801
Outlays	2,314	4,513	4,452

The Crime Victims Fund provides formula grants to states and territories to support compensation and services for victims of crime. CVF funding also supports training, technical assistance, and demonstration grants designed to improve the capabilities and capacity of victims services providers throughout the Nation. The Fund is financed by collections of fines, penalty assessments, and bond forfeitures from defendants convicted of Federal crimes.

Object Classification (in millions of dollars)

Identification code 015-5041-0-2-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1	Advisory and assistance services	3	3
25.2	Other services from non-Federal sources	101	93
25.3	Other goods and services from Federal sources	141	119
41.0	Grants, subsidies, and contributions	2,627	1,981
99.9	Total new obligations, unexpired accounts	2,872	2,196

CRIME VICTIMS FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 015-5041-4-2-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Direct program activity		-4,455
0900	Total new obligations, unexpired accounts (object class 41.0)		-4,455

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1203	Appropriation (unavailable balances)		-4,455
1220	Appropriations transferred to OVW [015-0409]		-499
1260	Appropriations, mandatory (total)		-4,954
1930	Total budgetary resources available		-4,954
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		-499

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		-4,455
3020	Outlays (gross)		1,982
3050	Unpaid obligations, end of year		-2,473
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		-2,473

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross		-4,954
Outlays, gross:			
4100	Outlays from new mandatory authority		-1,982
4180	Budget authority, net (total)		-4,954
4190	Outlays, net (total)		-1,982

The 2021 Budget proposes to reform the Crime Victims Fund (CVF). The CVF reform proposal would establish a \$2.3 billion mandatory annual appropriation for CVF, with a mechanism to automatically reduce that appropriation in later years if the balance of the Fund falls below \$5 billion. Within the total spending amount, no less than \$498.5 million is provided for the Office on Violence Against Women; \$10 million in reimbursable resources is provided to support oversight of OVC programs by DOJ's Office of the Inspector General; \$12 million is provided for developing innovative crime victims services initiatives; and a set-aside of up to \$115 million to support tribal victims assistance grants. The Office for Victims of Crime (OVC) will provide formula and non-formula grants to the states to support crime victim compensation and victims services programs. The CVF proposal will provide more reliable funding for the program, allowing for long-term program planning that will better serve victims of crime. The proposal will also protect the Fund from spending out the entirety of its balances to ensure funding will remain available for victims even in a scenario where receipts continue to be significantly lower than estimated levels.

DOMESTIC TRAFFICKING VICTIMS' FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 015-5606-0-2-754	2019 actual	2020 est.	2021 est.
0100	Balance, start of year		

DOMESTIC TRAFFICKING VICTIMS' FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 015-5606-0-2-754	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 Fines, Penalties, and Forfeitures, Domestic Trafficking Victims' Fund	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Domestic Trafficking Victims' Fund	-1	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 015-5606-0-2-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Domestic Trafficking Victims	9	5	5
0100 Direct program activities, subtotal	9	5	5
0900 Total new obligations, unexpired accounts (object class 41.0)	9	5	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3		1
1011 Unobligated balance transfer from other acct [075-0360]	5	5	5
1050 Unobligated balance (total)	8	5	6
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1900 Budget authority (total)	1	1	1
1930 Total budgetary resources available	9	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	15	6
3010 New obligations, unexpired accounts	9	5	5
3020 Outlays (gross)	-3	-14	
3050 Unpaid obligations, end of year	15	6	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	15	6
3200 Obligated balance, end of year	15	6	11
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances	3	14	
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	3	14	

The Justice for Victims of Trafficking Act of 2015 (Public Law 114-22) created the Domestic Victims of Trafficking Fund (DVTF) and authorizes grants to expand and improve services for victims of trafficking in the U.S. and victims of child pornography as authorized by the Victims of Child Abuse Act of 1990, the Trafficking Victims Protection Act of 2000, and the Trafficking Victims Protection Reauthorization Act of 2005. All programs supported by DVTF are administered by the Office of Justice Programs in consultation with the Department of Health and Human Services. The 2021 Budget proposes a total of \$6 million (including \$5 million in funding transferred from the Department of Health and Human Services and \$1 million in collections from the Federal court system) to support grants under this program.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Governmental receipts:			
015-085400 Registration Fees, DEA	15	15	15
015-087000 Chapter Eleven Filing Fees, Bankruptcy, Department of Justice	5	5	2
General Fund Governmental receipts	20	20	17
Offsetting receipts from the public:			
015-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified		1	1
015-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1,676	525	525
General Fund Offsetting receipts from the public	1,676	526	526
Intragovernmental payments:			
015-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	182	104	104
General Fund Intragovernmental payments	182	104	104

GENERAL PROVISIONS—DEPARTMENT OF JUSTICE

(INCLUDING TRANSFER OF FUNDS)

(INCLUDING CANCELLATION OF FUNDS)

SEC. 201. In addition to amounts otherwise made available in this title for official reception and representation expenses, a total of not to exceed \$50,000 from funds appropriated to the Department of Justice in this title shall be available to the Attorney General for official reception and representation expenses.

SEC. 202. None of the funds appropriated by this title shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape or incest: *Provided*, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.

SEC. 203. None of the funds appropriated under this title shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.

SEC. 204. Nothing in the preceding section shall remove the obligation of the Director of the Bureau of Prisons to provide escort services necessary for a female inmate to receive such service outside the Federal facility: *Provided*, That nothing in this section in any way diminishes the effect of section 203 intended to address the philosophical beliefs of individual employees of the Bureau of Prisons.

SEC. 205. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Justice in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be [treated as] *subject to the procedures for a reprogramming of funds under section [505] 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.*

SEC. 206. None of the funds made available under this title may be used by the Federal Bureau of Prisons or the United States Marshals Service for the purpose of transporting an individual who is a prisoner pursuant to conviction for crime under State or Federal law and is classified as a maximum or high security prisoner, other than to a prison or other facility certified by the Federal Bureau of Prisons as appropriately secure for housing such a prisoner.

SEC. 207. (a) None of the funds appropriated by this Act may be used by Federal prisons to purchase cable television services, or to rent or purchase audiovisual or electronic media or equipment used primarily for recreational purposes.

(b) Subsection (a) does not preclude the rental, maintenance, or purchase of audiovisual or electronic media or equipment for inmate training, religious, or educational programs.

SEC. 208. None of the funds made available under this title shall be obligated or expended for any new or enhanced information technology program having total estimated development costs in excess of \$100,000,000, unless the Deputy Attorney General and the investment review board certify to the Committees on Appropriations of the House of Representatives and the Senate that the information technology program has appropriate program management controls and contractor oversight mechanisms in place, and that the program is compatible with the enterprise architecture of the Department of Justice.

SEC. 209. The notification thresholds and procedures set forth in section [505] 504 of this Act shall apply to deviations from the amounts designated for specific activities in this Act and in the explanatory statement [described in section 4 (in

the matter preceding division A of this consolidated Act), *that accompanies this Act* and to any use of deobligated balances of funds provided under this title in previous years.

SEC. 210. None of the funds appropriated by this Act may be used to plan for, begin, continue, finish, process, or approve a public-private competition under the Office of Management and Budget Circular A-76 or any successor administrative regulation, directive, or policy for work performed by employees of the Bureau of Prisons or of Federal Prison Industries, Incorporated.

SEC. 211. Notwithstanding any other provision of law, no funds shall be available for the salary, benefits, or expenses of any United States Attorney assigned dual or additional responsibilities by the Attorney General or his designee that exempt that United States Attorney from the residency requirements of section 545 of title 28, United States Code.

SEC. 212. At the discretion of the Attorney General, and in addition to any amounts that otherwise may be available (or authorized to be made available) by law, with respect to funds appropriated by this title under the headings "Research, Evaluation and Statistics", "State and Local Law Enforcement Assistance", and "Juvenile Justice Programs" or otherwise appropriated or transferred under this Act for administration by the Office of Justice Programs—

(1) up to **[2]** 3 percent of funds made available **[to the Office of Justice Programs]** for grant or reimbursement programs may be used by **[such]** the Office of Justice Programs to provide training and technical assistance; **[and]**

(2) up to **[2]** 3 percent of funds made available for grant or reimbursement programs **[under such headings]**, except for amounts appropriated specifically for research, evaluation, or statistical programs administered by the National Institute of Justice and the Bureau of Justice Statistics, shall be transferred to and merged with funds provided to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation, or statistical purposes, without regard to the authorizations for such grant or reimbursement programs **[.]; and**

(3) up to 7 percent of funds made available for grant or reimbursement programs may be transferred to and merged with funds under the heading "State and Local Law Enforcement Assistance", for assistance to Indian tribes, without regard to authorizations for such grant or reimbursement programs.

SEC. 213. Upon request by a grantee for whom the Attorney General has determined there is a fiscal hardship, the Attorney General may, with respect to funds appropriated in this or any other Act making appropriations for fiscal years **[2017]** 2018 through **[2020]** 2021 for the following programs, waive the following requirements:

(1) For the adult and juvenile offender State and local reentry demonstration projects under part FF of title I of the Omnibus Crime Control and Safe Streets Act of 1968 **[(34 U.S.C. 10631 et seq.)]**, the requirements under section 2976(g)(1) of such part **[(34 U.S.C. 10631(g)(1))]**.

(2) For grants to protect inmates and safeguard communities as authorized by section 6 of the Prison Rape Elimination Act of 2003 **[(34 U.S.C. 30305(c)(3))]**, the requirements of section 6(c)(3) of such Act.

SEC. 214. Notwithstanding any other provision of law, section 20109(a) of subtitle A of title II of the Violent Crime Control and Law Enforcement Act of 1994 (34 U.S.C. 12109(a)) shall not apply to amounts made available by this or any other Act.

SEC. 215. None of the funds made available under this Act, other than for the national instant criminal background check system established under section 103 of the Brady Handgun Violence Prevention Act (34 U.S.C. 40901), may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel, unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

SEC. 216. (a) None of the income retained in the Department of Justice Working Capital Fund pursuant to title I of Public Law 102-140 (105 Stat. 784; 28 U.S.C. 527 note) shall be available for obligation during fiscal year 2020, except up to \$12,000,000 may be obligated for implementation of a unified Department of Justice financial management system.

(b) Not to exceed \$30,000,000 of the unobligated balances transferred to the capital account of the Department of Justice Working Capital Fund pursuant to title I of Public Law 102-140 (105 Stat. 784; 28 U.S.C. 527 note) shall be available for obligation in fiscal year 2020, and any use, obligation, transfer or allocation of such funds shall be treated as a reprogramming of funds under section 505 of this Act.

(c) Not to exceed \$10,000,000 of the excess unobligated balances available under section 524(c)(8)(E) of title 28, United States Code, shall be available for obligation during fiscal year 2020, and any use, obligation, transfer or allocation of such funds shall be treated as a reprogramming of funds under section 505 of this Act.

SEC. 217. Discretionary funds that are made available in this Act for the Office of Justice Programs may be used to participate in Performance Partnership Pilots authorized under **[section 526 of division H of Public Law 113-76, section 524 of division G of Public Law 113-235, section 525 of division H of Public Law 114-113, and such authorities as are enacted for Performance Partnership Pilots in an appropriations Act for fiscal years 2019 and 2020]** such authorities as have been enacted for Performance Partnership Pilots in appropriations acts in prior fiscal years and the current fiscal year.

SEC. 218. In this fiscal year and each fiscal year thereafter, amounts credited to and made available in the Department of Justice Working Capital Fund as an offsetting collection pursuant to section 11013 of Public Law 107-273 shall be so credited and available **[only to the extent and in such amounts as provided in advance in appropriations Acts: Provided, That notwithstanding 31 U.S.C. 3302 or any other statute affecting the crediting of collections, the Attorney General may credit, as a discretionary offsetting collection, to the Department of Justice Working Capital Fund, for fiscal year 2020 and thereafter, up to three percent of all amounts collected pursuant to civil debt collection litigation activities of the Department of Justice; and such amounts so credited in fiscal year 2020 and thereafter shall remain available until expended, and shall be subject to the terms and conditions of that fund: Provided further, That any such amounts from the fund that the Attorney General determines are necessary to pay, first, for the costs of processing and tracking civil and criminal debt collection litigation activities, and thereafter for financial systems and for debt-collection-related personnel, administrative, and litigation expenses, in fiscal year 2020 and thereafter, shall be transferred to other appropriations accounts in the Department of Justice for paying the costs of such activities, and shall be in addition to any amounts otherwise made available for such purposes in those appropriations accounts: Provided further, That such transfer authority is in addition to any other transfer authority provided by law: Provided further, That any transfer of funds pursuant to this section shall be treated as a reprogramming of funds under section 505 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section]** as provided in that section.

SEC. 219. Section 1930(a)(6)(B) of title 28, United States Code, shall be applied for this fiscal year and next fiscal year by substituting "\$300,000,000" for "\$200,000,000".

SEC. 217. Section 527 of title 28, United States Code, is amended in the third sentence by inserting ": (1)" before "the Department" and by inserting "; and (2) Federally recognized tribes for supplies, materials, and services related to access to federal law enforcement databases;" after "and services".

(CANCELLATION)

SEC. 218. Of the unobligated balances available in the Working Capital Fund, \$75,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 219. Of the unobligated balances available from prior year appropriations to the Office of Justice Programs, including such balances transferred by this Act to an account administered by such Office, \$85,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 220. Of the unobligated balances available from prior year appropriations to the Office on Violence Against Women, \$10,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 221. Section 642 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1373) is amended as follows—

(a) In subsection (a), by replacing "any government entity or official" with "any government law enforcement entity or official" and by striking all that follows after "from" and inserting a colon, followed by the following new paragraphs—

"(1) sending to, or receiving from, the Department of Homeland Security information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17)); or

"(2) complying with any lawful request made by the Department of Homeland Security pursuant to its authorities under section 236, 241, or 287 of the Immigration and Nationality Act (8 U.S.C. 1226, 1231, 1357), including any request

to maintain custody of the alien for a period not to exceed 48 hours in order to permit assumption of custody by the Department pursuant to a detainer for, or provide reasonable notification prior to the release of, any individual."

(b) In subsection (b)—

(1) In the introductory clause, by inserting "law enforcement" before "entity" and by replacing "regarding the immigration status, lawful or unlawful, of any individual", with ", including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual currently or previously in custody or currently or previously suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))";

(2) In paragraph (1), by replacing "Immigration and Naturalization Service" with "Department of Homeland Security", and

(3) In paragraph (2), by inserting ", collecting, inquiring into, or verifying" after "Maintaining".

(c) In subsection (c)—

(1) by replacing "Immigration and Naturalization Service" with "Department of Homeland Security"; and

(2) by replacing "the citizenship or immigration status" with "the nationality, citizenship, or immigration status".

(d) After subsection (c), by inserting the following—

"(d) The Secretary of Homeland Security or the Attorney General may condition a grant or cooperative agreement awarded by the Department of Homeland Security or the Department of Justice to a State or political subdivision of a state, for a purpose related to immigration, national security, law enforcement, or preventing, preparing for, protecting against or responding to acts of terrorism, on a requirement that the recipient of the grant or cooperative agreement agrees that it will—

"(1) send to the Department of Homeland Security information requested by the Secretary of Homeland Security, or the Secretary's designee, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17));

"(2) exchange, at the request of the Secretary of Homeland Security, or the Secretary's designee, information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody or suspected of a violation of law, with any other Federal, State, or local government law enforcement entity, provided that such information

is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17));

"(3) not prohibit or restrict any entity, official, or employee from collecting, inquiring into, or verifying information, including information related to the nationality, citizenship, immigration status, removability, scheduled release date and time, home address, work address, or contact information, of any individual in custody, provided that such information is relevant to the enforcement of the immigration laws as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17)), and will maintain any such information it may collect, during the period of performance of a grant or cooperative agreement conditioned under this subsection; and

"(4) comply with any lawful request made by the Department of Homeland Security pursuant to its authorities under section 236, 241, or 287 of the Immigration and Nationality Act (8 U.S.C. 1226, 1231, 1357), including any request to maintain custody of the alien for a period not to exceed 48 hours in order to permit assumption of custody by the Department pursuant to a detainer for, or provide reasonable notification prior to the release of, any individual." (e) In the section heading, by replacing "IMMIGRATION AND NATURALIZATION SERVICE" with "DEPARTMENT OF HOMELAND SECURITY".

(f) The Secretary of Homeland Security or the Attorney General may require States and political subdivisions of States that apply for Federal grants or cooperative agreements from the Department of Homeland Security or the Department of Justice to include a certification that they will comply with subsection (d) in their applications for award. The Secretary or the Attorney General may prescribe the form of the certification for the Federal grants and cooperative agreements awarded by their respective Departments.

(g) The Secretary of Homeland Security and the Attorney General may enforce the provisions of this section through any lawful means, including by seeking injunctive or other relief from a court of competent jurisdiction.

(h) SEVERABILITY.—The provisions of this section are severable. If any provision of this section, or any application thereof, is found unconstitutional, that finding shall not affect any provision or application of this section not so adjudicated.

SEC. 222. Section 1825 of title 28, United States Code, is amended:

(a) in subsections (a) and (b) by striking "United States marshal for the district" each place it appears and inserting "Attorney General"; and

(b) in subsection (c) by striking "United States marshal" and inserting "Attorney General".

SEC. 223. Section 151 of the Foreign Relations Authorization Act, Fiscal Years 1990 and 1991 (Public Law 101-246; 5 U.S.C. 5928 note), is amended by:

(a) striking "or" after "Drug Enforcement Administration" and inserting ", the"; and

(b) inserting after "Federal Bureau of Investigation": ", or the United States Marshals Service".

(Department of Justice Appropriations Act, 2020.)

TRAINING AND EMPLOYMENT SERVICES—Continued

\$25,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas []

[(F) \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 169 of the WIOA, which shall be available for the period July 1, 2020 through June 30, 2021]; and

[(G) \$175,000,000] (D) \$200,000,000 to expand opportunities [through apprenticeships only registered under the National Apprenticeship Act and as referred to in section 3(7)(B) of the WIOA] relating to apprenticeship programs, to be available to the Secretary to carry out activities through grants, cooperative agreements, contracts and other arrangements, with States and other appropriate entities, which shall be available for the period July 1, [2020] 2021 through June 30, [2021] 2022. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0174-0-1-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Adult Employment and Training Activities	846	855	855
0003 Dislocated Worker Employment and Training Activities	1,234	1,371	1,227
0005 Youth Activities	1,001	1,098	988
0008 Reintegration of Ex-Offenders	90	93	98
0010 Native Americans	53	56
0011 Migrant and Seasonal Farmworkers	89	92
0015 H-1B Job Training Grants	188	100	150
0017 Data Quality Initiative	12	6
0024 Apprenticeship Grants	148	161	177
0799 Total direct obligations	3,661	3,826	3,501
0900 Total new obligations, unexpired accounts	3,661	3,826	3,501
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	741	744	572
1001 Discretionary unobligated balance brought fwd, Oct 1	383	387
1010 Unobligated balance transfer to other accts [016-0165]	-1
1021 Recoveries of prior year unpaid obligations	3
1050 Unobligated balance (total)	743	744	572
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,781	1,839	1,646
1120 Appropriations transferred to other accts [016-0106]	-1
1120 Appropriations transferred to other acct [016-0179]	-9
1160 Appropriation, discretionary (total)	1,780	1,839	1,637
Advance appropriations, discretionary:			
1170 Advance appropriation	1,772	1,772	1,772
1172 Advance appropriations transferred to DM-CEO [016-0165]	-2
1174 Advance appropriations permanently reduced	-53	-60
1180 Advanced appropriation, discretionary (total)	1,719	1,770	1,712
Appropriations, mandatory:			
1201 Appropriation (H-1B Skills Training)	195	194	194
1203 Appropriation (previously unavailable)(special or trust)	13	12	11
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-8	-150
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-12	-11
1260 Appropriations, mandatory (total)	188	45	205
1900 Budget authority (total)	3,687	3,654	3,554
1930 Total budgetary resources available	4,430	4,398	4,126
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-25
1941 Unexpired unobligated balance, end of year	744	572	625
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,778	3,996	4,211
3010 New obligations, unexpired accounts	3,661	3,826	3,501
3020 Outlays (gross)	-3,366	-3,611	-3,605
3040 Recoveries of prior year unpaid obligations, unexpired	-3
3041 Recoveries of prior year unpaid obligations, expired	-74
3050 Unpaid obligations, end of year	3,996	4,211	4,107
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,778	3,996	4,211
3200 Obligated balance, end of year	3,996	4,211	4,107

Budget authority and outlays, net:

	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	3,499	3,609	3,349
Outlays, gross:			
4010 Outlays from new discretionary authority	925	1,031	948
4011 Outlays from discretionary balances	2,285	2,499	2,549
4020 Outlays, gross (total)	3,210	3,530	3,497
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1
4060 Additional offsets against budget authority only (total)	1
4070 Budget authority, net (discretionary)	3,499	3,609	3,349
4080 Outlays, net (discretionary)	3,209	3,530	3,497
Mandatory:			
4090 Budget authority, gross	188	45	205
Outlays, gross:			
4101 Outlays from mandatory balances	156	81	108
4180 Budget authority, net (total)	3,687	3,654	3,554
4190 Outlays, net (total)	3,365	3,611	3,605

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,687	3,654	3,554
Outlays	3,365	3,611	3,605
Legislative proposal, not subject to PAYGO:			
Outlays	-44
Legislative proposal, subject to PAYGO:			
Budget Authority	213
Outlays	2
Total:			
Budget Authority	3,687	3,654	3,767
Outlays	3,365	3,611	3,563

Enacted in 2014, the Workforce Innovation and Opportunity Act (WIOA) is the primary authorization for this appropriation account. The Act is intended to provide job seekers and workers with the labor market information, job search assistance, and training they need to get and keep good jobs, and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

Adult employment and training activities.—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

Youth activities.—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

Dislocated worker employment and training activities.—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

Reintegration of Ex-Offenders.—Supports activities authorized under section 169 of the WIOA to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Department also provides competitive grants for a range of young ex-offenders and school dropouts, particularly those in high-poverty, high-crime areas with similar services. The Administration intends to devote funds to test and replicate evidence-based strategies for serving ex-offenders. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out the Ex-Offender program.

Apprenticeship.—Activities that support and expand apprenticeship programs at the state and local levels through a range of activities, such as state-specific outreach strategies, partnerships, economic development

strategies, and expanded access to apprenticeship opportunities for under-represented populations through pre-apprenticeships and career pathways.

YouthBuild.—Grants that impart education and skills to program participants by providing them with academic training and occupational skills training, providing a clear path into a chosen career field.

Object Classification (in millions of dollars)

Identification code 016-0174-0-1-504	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	66	65	55
25.3 Other goods and services from Federal sources	2	2	2
25.7 Operation and maintenance of equipment	4	2	2
26.0 Supplies and materials	6	6	6
41.0 Grants, subsidies, and contributions	3,583	3,751	3,436
99.0 Direct obligations	3,661	3,826	3,501
99.9 Total new obligations, unexpired accounts	3,661	3,826	3,501

TRAINING AND EMPLOYMENT SERVICES
(Legislative proposal, not subject to PAYGO)

(INCLUDING TRANSFER OF FUNDS)

In addition, contingent upon enactment of authorizing legislation, \$23,000,000 shall be derived by transfer from funds provided under the heading "Social Security Administration-Limitation on Administration Expenses" for the Ticket to Work and Self-Sufficiency Program.

Program and Financing (in millions of dollars)

Identification code 016-0174-2-1-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0026 Ticket to Work			96
0900 Total new obligations, unexpired accounts (object class 41.0)			96
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			96
1900 Budget authority (total)			96
1930 Total budgetary resources available			96
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			96
3020 Outlays (gross)			-52
3050 Unpaid obligations, end of year			44
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			44
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			96
Outlays, gross:			
4010 Outlays from new discretionary authority			52
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			-96
4040 Offsets against gross budget authority and outlays (total)			-96
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-44

TRAINING AND EMPLOYMENT SERVICES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-0174-4-1-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0026 Ticket to Work			18
0900 Total new obligations, unexpired accounts (object class 41.0)			18

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			18
1201 Appropriation (H-1B Skills Training)			195
1260 Appropriations, mandatory (total)			213
1930 Total budgetary resources available			213
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			195

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			18
3020 Outlays (gross)			-2
3050 Unpaid obligations, end of year			16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			16

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			213
Outlays, gross:			
4100 Outlays from new mandatory authority			2
4180 Budget authority, net (total)			213
4190 Outlays, net (total)			2

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for DOL job training grants to support apprenticeship. Under the proposal, the allocations for DOL job training grants (50 percent); DOL foreign labor certifications (5 percent); National Science Foundation (NSF) Innovative Technology Experiences for Students and Teachers program (10 percent); and DHS processing costs (5 percent) would remain the same. The NSF allocation for STEM scholarships would decrease from 30 percent to 15 percent, which would maintain absolute funding levels under current estimates. The proposal would initiate a new 15 percent allocation for the Department of Education's Career and Technical Education formula grant.

The Budget proposes to transfer administrative management of the Ticket to Work program from the Social Security Administration to the Department of Labor. In the process, the Administration proposes to simplify program rules, make funding allotments more performance-based, and integrate the program more fully into the broader workforce system. The Administration seeks to increase the number of disability beneficiaries who participate in the program, succeed in employment, and achieve economic mobility. This proposal aims to improve the experience of the individual program participants, reduce program fragmentation and duplication, and financially reward states that perform well.

JOB CORPS

(INCLUDING TRANSFER OF FUNDS)

To carry out subtitle C of title I of the WIOA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIOA, and to carry out closure of Job Corps centers, including but not limited to building demolition and removal, **[\$1,743,655,000] \$1,015,897,000**, plus reimbursements, as follows:

(1) **[\$1,603,325,000] \$883,334,000** for Job Corps Operations, which shall be available for the period July 1, **[2020] 2021** through June 30, **[2021] 2022** [;]: *Provided, That the Secretary may transfer up to 1 percent of such funds for the construction, rehabilitation, or acquisition of Job Corps Centers, which may include the acquisition, maintenance, or repair of major items of equipment: Provided further, That any funds transferred pursuant to the preceding proviso shall be available for obligation until June 30, 2024: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer;*

JOB CORPS—Continued

(2) **[\$108,000,000]** \$100,016,000 for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, **[2020]** 2021 through June 30, **[2023]** 2024, and which may include the acquisition, maintenance, and repair of major items of equipment: *Provided*, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: *Provided further*, That any funds transferred pursuant to the preceding provision shall not be available for obligation after June 30, 2021: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer; and

(3) **[\$32,330,000]** \$32,547,000 for necessary expenses of Job Corps, which shall be available for obligation for the period October 1, **[2019]** 2020 through September 30, **[2020]** 2021:

Provided, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0181-0-1-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	1,505	1,508	1,501
0002 Construction, Rehabilitation, and Acquisition (CRA)	60	75	128
0003 Administration	32	32	32
0900 Total new obligations, unexpired accounts	1,597	1,615	1,661
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,195	1,325	1,453
1021 Recoveries of prior year unpaid obligations	11
1050 Unobligated balance (total)	1,206	1,325	1,453
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,718	1,743	1,016
1900 Budget authority (total)	1,718	1,743	1,016
1930 Total budgetary resources available	2,924	3,068	2,469
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	1,325	1,453	808
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	972	999	770
3010 New obligations, unexpired accounts	1,597	1,615	1,661
3011 Obligations ("upward adjustments"), expired accounts	5
3020 Outlays (gross)	-1,524	-1,844	-1,550
3040 Recoveries of prior year unpaid obligations, unexpired	-11
3041 Recoveries of prior year unpaid obligations, expired	-40
3050 Unpaid obligations, end of year	999	770	881
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	972	999	770
3200 Obligated balance, end of year	999	770	881
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,718	1,743	1,016
Outlays, gross:			
4010 Outlays from new discretionary authority	121	269	162
4011 Outlays from discretionary balances	1,403	1,575	1,388
4020 Outlays, gross (total)	1,524	1,844	1,550
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1
4070 Budget authority, net (discretionary)	1,718	1,743	1,016
4080 Outlays, net (discretionary)	1,523	1,844	1,550
4180 Budget authority, net (total)	1,718	1,743	1,016
4190 Outlays, net (total)	1,523	1,844	1,550

Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Innovation and Opportunity Act of 2014 (P.L. 113-128, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth.

Job Corps provides economically disadvantaged youth with academic, career technical and marketable skills to enter the workforce, enroll in post-secondary education, or enlist in the military. Job Corps participants must be economically disadvantaged youth, between the ages of 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

Large and small businesses, nonprofit organizations, Native American organizations and Alaskan Native corporations manage and operate the majority of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining centers are operated through an interagency agreement with the U.S. Department of Agriculture.

In accordance with the Administration's vision of a smaller, more effective Job Corps program, the FY 2021 Budget proposes to refocus the resources of Job Corps on centers that have had more success in training and preparing youth for future careers. The Budget seeds new program models, including those that empower states to play a leading role in operating centers. The Budget also signals the Administration's intent to close chronically low performing contractor-operated centers and centers with severely dilapidated facilities. The Budget prioritizes enrollment for students age 20 and older, for whom the program has been proven to be more effective.

Object Classification (in millions of dollars)

Identification code 016-0181-0-1-504	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	85	89	90
11.9 Total personnel compensation	85	89	90
12.1 Civilian personnel benefits	35	33	33
21.0 Travel and transportation of persons	3	3	3
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	14	14	14
23.3 Communications, utilities, and miscellaneous charges	3	5	5
25.1 Advisory and assistance services	5	3	3
25.2 Other services from non-Federal sources	1,297	1,265	1,279
25.3 Other goods and services from Federal sources	28	35	35
25.4 Operation and maintenance of facilities	59	60	71
26.0 Supplies and materials	19	19	19
31.0 Equipment	25	25	12
32.0 Land and structures	4	41	94
41.0 Grants, subsidies, and contributions	17	20
99.0 Direct obligations	1,597	1,615	1,661
99.9 Total new obligations, unexpired accounts	1,597	1,615	1,661

Employment Summary

Identification code 016-0181-0-1-504	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	145	113	113

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

[To carry out title V of the Older Americans Act of 1965 (referred to in this Act as "OAA"), \$405,000,000, which shall be available for the period April 1, 2020 through June 30, 2021, and may be recaptured and reobligated in accordance with section 517(c) of the OAA.] (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0175-0-1-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National programs	404	405	11

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	2	12
1010 Unobligated balance transfer to other accts [016-0165]	-1	-1

1012	Unobligated balance transfers between expired and unexpired accounts	5	11	
1050	Unobligated balance (total)	7	12	12
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	400	405	
1930	Total budgetary resources available	407	417	12
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	2	12	1

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	352	352	350
3010	New obligations, unexpired accounts	404	405	11
3020	Outlays (gross)	-393	-407	-328
3041	Recoveries of prior year unpaid obligations, expired	-11		
3050	Unpaid obligations, end of year	352	350	33
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	352	352	350
3200	Obligated balance, end of year	352	350	33

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	400	405	
Outlays, gross:				
4010	Outlays from new discretionary authority	63	77	
4011	Outlays from discretionary balances	330	330	328
4020	Outlays, gross (total)	393	407	328
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	400	405	
4080	Outlays, net (discretionary)	392	407	328
4180	Budget authority, net (total)	400	405	
4190	Outlays, net (total)	392	407	328

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109-365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program is proposed for elimination because it fails to meet its major statutory goals of fostering economic self-sufficiency and moving low-income seniors into unsubsidized employment.

Object Classification (in millions of dollars)

Identification code 016-0175-0-1-504	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	4	
25.7	Operation and maintenance of equipment	3	4
41.0	Grants, subsidies, and contributions	397	401
99.9	Total new obligations, unexpired accounts	404	405

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 016-0187-0-1-504	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	37	1
3020	Outlays (gross)	-18	
3041	Recoveries of prior year unpaid obligations, expired	-18	
3050	Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	37	1
3200	Obligated balance, end of year	1	1
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	18	

4180	Budget authority, net (total)		
4190	Outlays, net (total)	18	

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111-152, 124 Stat. 1070), provided \$500 million annually in fiscal years 2011-2014 for competitive grants to eligible institutions of higher education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year [2020] 2021 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, and including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011 and section 405(a) of the Trade Preferences Extension Act of 2015, [\$680,000,000] \$633,600,000 together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, [2020] 2021: *Provided*, That notwithstanding section 502 of this Act, any part of the appropriation provided under this heading may remain available for obligation beyond the current fiscal year pursuant to the authorities of section 245(c) of the Trade Act of 1974 (19 U.S.C. 2317(c)). (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0326-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Trade Adjustment Assistance benefits	162	208
0002	Trade Adjustment Assistance training and other activities	401	410
0005	Wage Insurance Payments	19	22
0900	Total new obligations, unexpired accounts (object class 41.0)	582	640

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	790	680
1230	Appropriations and/or unobligated balance of appropriations permanently reduced	-49	-40
1260	Appropriations, mandatory (total)	741	640
1900	Budget authority (total)	741	640
1930	Total budgetary resources available	741	640
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-159	

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,056	1,065
3010	New obligations, unexpired accounts	582	640
3011	Obligations ("upward adjustments"), expired accounts	1	
3020	Outlays (gross)	-331	-443
3041	Recoveries of prior year unpaid obligations, expired	-243	-457
3050	Unpaid obligations, end of year	1,065	805
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,056	1,065
3200	Obligated balance, end of year	1,065	805

Budget authority and outlays, net:			
Mandatory:			
Budget authority, gross			
4090	Budget authority, gross	741	640
Outlays, gross:			
4100	Outlays from new mandatory authority	143	253
4101	Outlays from mandatory balances	188	190
4110	Outlays, gross (total)	331	443
4180	Budget authority, net (total)	741	640
4190	Outlays, net (total)	331	443

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	741	640	634
Outlays	331	443	512
Legislative proposal, subject to PAYGO:			
Budget Authority			-143
Outlays			-55
Total:			
Budget Authority	741	640	491
Outlays	331	443	457

The Federal Unemployment Benefits and Allowances (FUBA) account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides income support through Trade Readjustment Allowances (TRA); funding for job training and case management through Training and Other Activities; and wage insurance payments through Reemployment Trade Adjustment Assistance (RTAA). \$633,600,000 is sufficient to fund the activities of the TAA program in fiscal year 2021.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-0326-4-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Trade Adjustment Assistance benefits			-31
0002 Trade Adjustment Assistance training and other activities			-107
0005 Wage Insurance Payments			-5
0900 Total new obligations, unexpired accounts (object class 41.0)			-143
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-143
1900 Budget authority (total)			-143
1930 Total budgetary resources available			-143
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-143
3020 Outlays (gross)			55
3050 Unpaid obligations, end of year			-88
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-88
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-143
Outlays, gross:			
4100 Outlays from new mandatory authority			-37
4101 Outlays from mandatory balances			-18
4110 Outlays, gross (total)			-55
4180 Budget authority, net (total)			-143
4190 Outlays, net (total)			-55

The Budget includes a legislative proposal to refocus the TAA program on apprenticeship and on-the-job training strategies to ensure that participants are training for relevant occupations. States will also be encouraged to place a greater emphasis on intensive reemployment services for workers who are not participating in work-based training, getting those workers into the workforce more quickly.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, [\$84,066,000] \$95,239,000, together with not to exceed [\$3,290,583,000] \$3,402,041,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) [\$2,540,816,000] \$2,646,686,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than [\$175,000,000] \$200,000,000 to carry out reemployment services and eligibility assessments under section 306 of such Act), any claimants of regular compensation, as defined in such section, including those who are profiled as most likely to exhaust their benefits, may be eligible for such services and assessments]; *Provided*, That of such amount, \$117,000,000 is specified for grants under section 306 of the Social Security Act and is provided to meet the terms of section 251(b)(2)(E)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and [\$58,000,000] \$83,000,000 is additional new budget authority specified for purposes of section 251(b)(2)(E)(i)(II) of such Act; [and \$9,000,000] \$6,000,000 is for [continued support of] grants to State or non-State entities to operate the Unemployment Insurance Integrity Center of Excellence; and \$90,000,000 is for grants to States identified by the Secretary to implement online information technology solutions that help facilitate the reporting and verification of work search activities by claimants and automated adjudication relating to such reporting and activities, where permissible under Federal law, and for States identified by the Secretary for additional validation and adjudication activities relating to potential improper payments identified through cross-matches with data sources that support prevention of improper payments resulting from the failure of claimants to report accurate and timely information regarding their earnings or their return to work, which shall include cross-matches with the National Directory of New Hires and the Unemployment Insurance Integrity Data Hub operated by the Unemployment Insurance Integrity Center of Excellence and may include cross-matches with other appropriate sources), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501-8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011 and section 405(a) of the Trade Preferences Extension Act of 2015, and shall be available for obligation by the States through December 31, [2020] 2021, except that funds used for automation shall be available for Federal obligation through December 31, [2020] 2021, and for State obligation through September 30, [2022] 2023, or, if the automation is being carried out through consortia of States, for State obligation through September 30, [2026] 2027, and for expenditure through September 30, [2027] 2028, and funds for competitive grants awarded to States for improved operations and to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews and provide reemployment services and referrals to training, as appropriate, shall be available for Federal obligation through December 31, [2020] 2021, and for obligation by the States through September 30, [2022] 2023, and funds for the Unemployment Insurance Integrity Center of Excellence shall be available for obligation by the State through September 30, [2021] 2022, and funds used for unemployment insurance workloads experienced through September 30, [2020] 2021 shall be available for Federal obligation through December 31, [2020] 2021;

(2) [\$12,000,000] \$18,000,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$646,639,000 from the Trust Fund, together with \$21,413,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, [2020] 2021 through June 30, [2021] 2022: *Provided*, That, notwithstanding the funding allocation in section 7 of such Act, States may use up to 100 percent of the funds allotted to the State under section 6 of such Act to carry out the activities described in section 7(a) of such Act;

(4) [\$22,318,000] \$19,818,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act;

(5) [\$68,810,000] \$70,898,000 from the Trust Fund, together with \$9,000,000 which shall be derived by transfer from funds made available to the Department of Labor under section 286(s)(2) of the Immigration and Nationality Act (8 U.S.C. 1356(s)(2)) and shall remain available until September 30, 2021, is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which [\$54,528,000] \$62,616,000 shall be available for the Federal administration of such activities, and [\$14,282,000] \$17,282,000 shall be available for grants to States for the administration of such activities; and

(6) [\$62,653,000] \$64,826,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the

Wagner-Peyser Act and shall be available for Federal obligation for the period July 1, [2020] 2021 through June 30, [2021] 2022, of which up to \$9,800,000 shall be used to carry out research and demonstration projects related to testing effective ways to promote greater labor force participation of people with disabilities: Provided, That the Secretary may transfer amounts made available for research and demonstration projects under this paragraph to the "Office of Disability Employment Policy" account for such purposes:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year [2020] 2021 is projected by the Department of Labor to exceed [1,706,000] 1,728,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States to the entity operating the State Information Data Exchange System: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance, employment service, or immigration programs, may be obligated in contracts, grants, or agreements with States and non-State entities: Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States and non-State entities under such grants, subject to the conditions applicable to the grants: Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the final rule entitled "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" at part 200 of title 2, Code of Federal Regulations: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium or to the entity operating the Unemployment Insurance Information Technology Support Center in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and nonprofit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, [2021] 2022, for such purposes. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0179-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 UI State Admin, RESEA, and EUC Admin	2,533	2,542	2,648
0002 UI National Activities	12	12	18
0010 ES Grants to States	665	668	668
0011 ES National Activities	20	22	20
0012 Workforce Information	63	63	65
0014 Foreign Labor Certification	70	69	80
0015 H-1B Fees	21	19	19
0799 Total direct obligations	3,384	3,395	3,518
0801 Reimbursable program DUA administration	48	51	51
0803 Reimbursable program NAWs surveys	1	1
0899 Total reimbursable obligations	48	52	52
0900 Total new obligations, unexpired accounts	3,432	3,447	3,570
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	80	58	55

1001 Discretionary unobligated balance brought fwd, Oct 1	68	45
1010 Unobligated balance transfer to ETA PA [016-0172]	-3	-3
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	78	55	55
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	92	84	86
1121 Appropriations transferred from TES [016-0174]	9
1160 Appropriation, discretionary (total)	92	84	95
Appropriations, mandatory:			
1201 Appropriation (H-1B Fees)	20	19	19
1203 Appropriation (previously unavailable)(special or trust)	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1260 Appropriations, mandatory (total)	20	19	19
Spending authority from offsetting collections, discretionary:			
1700 Collected	3,508	3,343	3,455
1701 Change in uncollected payments, Federal sources	-208
1710 Spending authority from offsetting collections transferred to OLS [016-0150]	-1
1750 Spending auth from offsetting collections, disc (total)	3,299	3,343	3,455
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections [EUC Admin]	1	1	1
1900 Budget authority (total)	3,412	3,447	3,570
1930 Total budgetary resources available	3,490	3,502	3,625
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	58	55	55

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,742	1,696	1,751
3010 New obligations, unexpired accounts	3,432	3,447	3,570
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-3,464	-3,392	-3,807
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3041 Recoveries of prior year unpaid obligations, expired	-14
3050 Unpaid obligations, end of year	1,696	1,751	1,514
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,225	-927	-927
3070 Change in uncollected pymts, Fed sources, unexpired	208
3071 Change in uncollected pymts, Fed sources, expired	90
3090 Uncollected pymts, Fed sources, end of year	-927	-927	-927
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	517	769	824
3200 Obligated balance, end of year	769	824	587

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,391	3,427	3,550
Outlays, gross:			
4010 Outlays from new discretionary authority	2,125	2,090	2,185
4011 Outlays from discretionary balances	1,277	1,282	1,602
4020 Outlays, gross (total)	3,402	3,372	3,787
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources [ES Grants to States]	-642	-647	-647
4030 Federal sources [ES Natl Activities]	-20	-22	-20
4030 Federal sources [FLC Fed Admin]	-48	-55	-57
4030 Federal sources [FLC State Grants]	-14	-14	-14
4030 Federal sources [NAWS]	-1	-1
4030 Federal sources [UI Admin/Natl Activities]	-2,650	-2,378	-2,465
4030 Federal sources [RESEA]	-150	-175	-200
4030 Federal sources [DUA]	-48	-51	-51
4040 Offsets against gross budget authority and outlays (total)	-3,572	-3,343	-3,455
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	208
4052 Offsetting collections credited to expired accounts	64
4060 Additional offsets against budget authority only (total)	272
4070 Budget authority, net (discretionary)	91	84	95
4080 Outlays, net (discretionary)	-170	29	332
Mandatory:			
4090 Budget authority, gross	21	20	20
Outlays, gross:			
4100 Outlays from new mandatory authority	1	18	20
4101 Outlays from mandatory balances	61	2
4110 Outlays, gross (total)	62	20	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-26	-1	-1

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE
OPERATIONS—Continued

Program and Financing—Continued

Identification code 016-0179-0-1-999	2019 actual	2020 est.	2021 est.
Additional offsets against gross budget authority only:			
4142 Offsetting collections credited to expired accounts	25		
4160 Budget authority, net (mandatory)	20	19	19
4170 Outlays, net (mandatory)	36	19	19
4180 Budget authority, net (total)	111	103	114
4190 Outlays, net (total)	-134	48	351

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	111	103	114
Outlays	-134	48	351
Legislative proposal, subject to PAYGO:			
Budget Authority			19
Outlays			19
Total:			
Budget Authority	111	103	133
Outlays	-134	48	370

Unemployment compensation.—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-servicemembers as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and implement activities designed to reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administering the program resulting from increases in the number of unemployment claims filed and paid. The appropriation automatically provides additional funds whenever unemployment claim workloads increase above levels specified in the appropriations language.

UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

Basic workload (in thousands):	2018 actual	2019 actual	2020 est.	2021 est.
Employer tax accounts	8,442	8,558	8,590	8,651
Employee wage items recorded	696,918	708,649	714,260	722,082
Initial claims taken	11,891	11,471	12,004	12,230
Weeks claimed	96,077	88,578	86,765	89,362
Nonmonetary determinations	6,733	6,331	6,470	6,638
Appeals	1,125	1,048	1,017	1,017
Covered employment	143,089	144,828	145,767	147,064

Employment service.—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allotments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well as for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

EMPLOYMENT SERVICE PROGRAM STATISTICS

	2018 actual	2019 est.	2020 est.	2021 est.
Number of Participants Served	3,807,389	3,810,644	3,839,379	3,839,379

Foreign Labor Certification.—This activity provides for the administration and operation of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural, CW-1 temporary, and H-1B temporary highly skilled worker visas. The account is divided into Federal and State activities.

Federal Administration.—Federal Administration provides leadership, policy, budget, program operations including staffing (Federal and contractors), information technology, three national processing center facilities, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

State grants.—State grants provides grants to State workforce agencies in 50 States and 5 U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Activities include State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

American Job Centers.—These funds are used to support the joint Federal-State efforts to improve the comprehensive American Job Center system authorized under the Workforce Innovation and Opportunity Act. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

National Agricultural Workers Survey fee.—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews.

Object Classification (in millions of dollars)

Identification code 016-0179-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	21	19	20
12.1 Civilian personnel benefits	7	7	7
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	20	22	26
25.2 Other services from non-Federal sources	13	13	13
25.3 Other goods and services from Federal sources	16	18	18
25.7 Operation and maintenance of equipment	17	14	15
41.0 Grants, subsidies, and contributions	3,287	3,299	3,416
99.0 Direct obligations	3,384	3,395	3,518
99.0 Reimbursable obligations	48	52	52
99.9 Total new obligations, unexpired accounts	3,432	3,447	3,570

Employment Summary

Identification code 016-0179-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	156	144	154
1001 Direct civilian full-time equivalent employment	39	36	36

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-0179-4-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0015 FLC fees			19
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			19
1900 Budget authority (total)			19
1930 Total budgetary resources available			19
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			19
3020 Outlays (gross)			-19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			19
Outlays, gross:			
4100 Outlays from new mandatory authority			19
4180 Budget authority, net (total)			19
4190 Outlays, net (total)			19

The Budget proposes authorizing legislation to establish and retain fees to cover the costs of operating the foreign labor certification programs, which ensure that employers proposing to bring in immigrant workers have verified that American workers cannot meet their needs and that immigrant workers are being compensated appropriately and not disadvantaging American workers. The ability to charge fees for these programs would give the Department of Labor (DOL) a more reliable, workload-based source of funding for this function, similar to the Department of Homeland Security (DHS). The proposal would ultimately eliminate the need for discretionary appropriations. The proposal includes the following: 1) charge employer fees for its prevailing wage determinations; 2) charge employer fees for its permanent labor certification program; 3) charge employer fees for H-2B non-agricultural workers; 4) retain and adjust the H-2A agricultural worker application fees currently deposited into the General Fund; and 5) charge employer fees for CW-1 workers. The fee levels, including possible expedited processing fees, would be set via regulation to ensure that the amounts are subject to review. Given DOL OIG's important role in investigating fraud and abuse, the proposal also includes a mechanism to provide funding for OIG's work to oversee foreign labor certification programs.

In addition, the Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for DOL job training grants to support apprenticeship and DOL processing of foreign labor certifications. Under the proposal, the allocations for DOL job training grants (50 percent); DOL foreign labor certifications (5 percent); National Science Foundation (NSF) Innovative Technology Experiences for Students and Teachers program (10 percent); and DHS processing costs (5 percent) would remain the same. The NSF allocation for STEM scholarships would decrease from 30 percent to 15 percent, which would maintain absolute funding levels under current estimates. The proposal would initiate a new 15 percent allocation for the Department of Education's Career and Technical Education formula grant.

Object Classification (in millions of dollars)

Identification code 016-0179-4-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			4
12.1 Civilian personnel benefits			1
23.1 Rental payments to GSA			1
25.1 Advisory and assistance services			7
25.3 Other goods and services from Federal sources			3
25.7 Operation and maintenance of equipment			3
99.0 Direct obligations			19
99.9 Total new obligations, unexpired accounts			19

Employment Summary

Identification code 016-0179-4-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			36

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 016-0178-0-1-603	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0012 Payments to ESAA	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0)	1	1	1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (indefinite)	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. It is also the mechanism used to make general fund reimbursements for some or all of the benefits and administrative costs incurred for temporary Federal programs. These funds are transferred from the Payments to the Unemployment Trust Fund account to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

SHORT TIME COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 016-0168-0-1-603	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

SHORT TIME COMPENSATION PROGRAMS—Continued

The Middle Class Tax Relief and Job Creation Act of 2012 codified and expanded the definition of Short Time Compensation (STC), a layoff aversion strategy that enables workers to remain employed and employers to retain their trained staff during times of reduced business activity. Under the STC program, workers receive a percentage of unemployment benefits based upon the percentage of reduction in their hours of work. As an incentive for states to enact state STC programs and promote the use of STC, the Act provided for 100 percent reimbursement of STC benefit costs paid under state law for up to 156 weeks, or three years. Grant funding was also available to states whose permanent STC laws meet the new Federal definition.

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 016-1800-0-1-603	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Additional Unemployment Compensation Program, Recovery (Direct)		1	1
0900 Total new obligations, unexpired accounts (object class 42.0)		1	1
Budgetary resources:			
Unobligated balance:			
1029 Other balances withdrawn to Treasury	-3		
1033 Recoveries of prior year paid obligations	3		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		1	1
1900 Budget authority (total)		1	1
1930 Total budgetary resources available		1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	25	26
3010 New obligations, unexpired accounts		1	1
3050 Unpaid obligations, end of year	25	26	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	25	26
3200 Obligated balance, end of year	25	26	27
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	3		
4160 Budget authority, net (mandatory)		1	1
4170 Outlays, net (mandatory)	-3		
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)	-3		

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through December 7, 2010, with a phaseout period. As a result of adjudications, benefits continue to be paid but are minimal.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5

U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, [2021] 2022. (Department of Labor Appropriations Act, 2020.)

This appropriation makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This appropriation also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance (TAA) for Workers program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language included new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the UTF. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA if it runs short of funds, and the borrowing authority will enable the ESAA to cover its obligations despite seasonal variations in the account's receipts.

The Department estimates that no advances will be necessary in 2020 and 2021. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF or the BLDTF.

To address the potential need for significant and somewhat unpredictable advances to various accounts, the Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2021 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, [\$108,674,000] \$121,307,000, together with not to exceed [\$49,982,000] \$50,293,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0172-0-1-504	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Workforce security	45	45	43
0004 Apprenticeship training, employer and labor services	36	36	36
0005 Executive direction	9	9	9
0006 Training & Employment Services	71	71	83
0799 Total direct obligations	161	161	171
0803 Reimbursable programs (DUA/E-grants/VOPAR/VRAP)	3	4	4
0900 Total new obligations, unexpired accounts	164	165	175
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	3
1011 Unobligated balance transfer from ETA SUIESO [016-0179]	3	3	
1050 Unobligated balance (total)	4	5	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	109	109	121
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (UTF)	50	50	50
1700 Collected [DUA/eGrants/Grants Management/TA to PA]	3	4	4

1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	54	54	54
1900	Budget authority (total)	163	163	175
1930	Total budgetary resources available	167	168	178
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	2	3	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	18	22	26
3010	New obligations, unexpired accounts	164	165	175
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-158	-161	-173
3041	Recoveries of prior year unpaid obligations, expired	-3		
3050	Unpaid obligations, end of year	22	26	28
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	17	20	24
3200	Obligated balance, end of year	20	24	26
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	163	163	175
Outlays, gross:				
4010	Outlays from new discretionary authority	144	141	151
4011	Outlays from discretionary balances	14	20	22
4020	Outlays, gross (total)	158	161	173
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-54	-54	-54
4040	Offsets against gross budget authority and outlays (total)	-54	-54	-54
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	109	109	121
4080	Outlays, net (discretionary)	104	107	119
4180	Budget authority, net (total)	109	109	121
4190	Outlays, net (total)	104	107	119

This account provides for the Federal administration of Employment and Training Administration programs.

Training and Employment services.—Training and Employment services provides leadership, policy direction and administration for a decentralized system of grants to State and local governments. The account also provides federally administered programs for job training and employment assistance for low-income adults, youth, and dislocated workers; training and employment services to special targeted groups; settlement of trade adjustment petitions; and related program operations support activities.

Workforce security.—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

Office of Apprenticeship.—Establishing a new industry-recognized apprenticeship system to modernize and expand the country's approach to apprenticeships. Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards. Provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

Executive direction.—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Object Classification (in millions of dollars)

Identification code 016-0172-0-1-504	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	77	69	70
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	79	71	72
12.1	Civilian personnel benefits	25	26	26
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	9	9	9
25.1	Advisory and assistance services		1	1
25.2	Other services from non-Federal sources	4	3	3
25.3	Other goods and services from Federal sources	23	35	35
25.7	Operation and maintenance of equipment	19	14	23
99.0	Direct obligations	161	161	171
99.0	Reimbursable obligations	3	4	4
99.9	Total new obligations, unexpired accounts	164	165	175

Employment Summary

Identification code 016-0172-0-1-504	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	686	620	620
2001	Reimbursable civilian full-time equivalent employment	13	16	16

PROGRAM ADMINISTRATION

(Legislative proposal, not subject to PAYGO)

(INCLUDING TRANSFER OF FUNDS)

In addition, contingent upon enactment of authorizing legislation, \$11,000,000 shall be derived by transfer from funds provided under the heading "Social Security Administration-Limitation on Administration Expenses" for the Federal administration of the Ticket to Work and Self-Sufficiency Program by the Secretary of Labor.

Program and Financing (in millions of dollars)

Identification code 016-0172-2-1-504	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Ticket to Work			11
Budgetary resources:				
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Offsetting collections ()			11
1900	Budget authority (total)			11
1930	Total budgetary resources available			11
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			11
3020	Outlays (gross)			-11
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			11
Outlays, gross:				
4010	Outlays from new discretionary authority			11
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources			-11
4040	Offsets against gross budget authority and outlays (total)			-11
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

Ticket to Work Program.—Provides leadership and policy direction for federal administration of the program supporting training and job placement and retention for people ages 18 through 64 who receive Social Security Disability Insurance or Supplemental Security Income (SSDI/SSI) benefits and want to work.

PROGRAM ADMINISTRATION—Continued

Object Classification (in millions of dollars)

Identification code 016-0172-2-1-504	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			4
11.9 Total personnel compensation			4
12.1 Civilian personnel benefits			2
25.1 Advisory and assistance services			5
99.0 Direct obligations			11
99.9 Total new obligations, unexpired accounts			11

Employment Summary

Identification code 016-0172-2-1-504	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment			30

ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

Trust Funds

UNEMPLOYMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 016-8042-0-7-999	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	70,488	82,790	96,038
Receipts:			
Current law:			
1110 General Taxes, FUTA, Unemployment Trust Fund	6,438	7,015	7,158
1110 Unemployment Trust Fund, State Accounts, Deposits by States	34,624	34,909	35,782
1110 Unemployment Trust Fund, Deposits by Railroad Retirement Board	131	80	91
1130 CMIA Interest, Unemployment Trust Fund	1		
1130 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	1		
1140 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	376	401	436
1140 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	1	1	1
1140 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	1,750	2,105	2,433
1199 Total current law receipts	43,322	44,511	45,901
Proposed:			
1210 Unemployment Trust Fund, State Accounts, Deposits by States			2
1999 Total receipts	43,322	44,511	45,903
2000 Total: Balances and receipts	113,810	127,301	141,941
Appropriations:			
Current law:			
2101 Unemployment Trust Fund	-3,622	-3,671	-3,784
2101 Unemployment Trust Fund	-39,307	-42,137	-43,832
2101 Railroad Unemployment Insurance Trust Fund	-17	-17	-17
2101 Railroad Unemployment Insurance Trust Fund	-118	-56	-65
2103 Unemployment Trust Fund	-3		
2103 Railroad Unemployment Insurance Trust Fund	-8		
2103 Railroad Unemployment Insurance Trust Fund	-101	-77	-58
2135 Unemployment Trust Fund	12,021	14,695	14,342
2135 Railroad Unemployment Insurance Trust Fund	8		
2135 Railroad Unemployment Insurance Trust Fund	127		

2199 Total current law appropriations	-31,020	-31,263	-33,414
Proposed:			
2201 Unemployment Trust Fund			74
2201 Unemployment Trust Fund			-715
2299 Total proposed appropriations			-641
2999 Total appropriations	-31,020	-31,263	-34,055
5099 Balance, end of year	82,790	96,038	107,886

Program and Financing (in millions of dollars)

Identification code 016-8042-0-7-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit payments by States	26,832	26,889	28,708
0002 Federal employees' unemployment compensation [FECA]	361	458	687
0003 State administrative expenses [ES Grants to States, ES Nat'l Actv, UI, and RESEA]	3,189	3,222	3,332
0010 Direct expenses [PA, FLC, OIG, SOL, and BLS]	183	193	195
0011 Reimbursements to the Department of the Treasury	93	93	93
0020 Veterans employment and training	250	256	257
0021 Interest on FUTA refunds	2	1	1
0023 EUC Admin [from PUTF]	1	1	1
0900 Total new obligations, unexpired accounts	30,911	31,113	33,274

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,622	3,671	3,784
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	39,307	42,137	43,832
1203 Appropriation (previously unavailable)(special or trust)	3		
1235 Appropriations precluded from obligation (special or trust)	-12,021	-14,695	-14,342
1260 Appropriations, mandatory (total)	27,289	27,442	29,490
1900 Budget authority (total)	30,911	31,113	33,274
1930 Total budgetary resources available	30,911	31,113	33,274

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,606	2,292	2,336
3010 New obligations, unexpired accounts	30,911	31,113	33,274
3020 Outlays (gross)	-31,225	-31,069	-33,524
3050 Unpaid obligations, end of year	2,292	2,336	2,086
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,606	2,292	2,336
3200 Obligated balance, end of year	2,292	2,336	2,086

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,622	3,671	3,784
Outlays, gross:			
4010 Outlays from new discretionary authority	2,793	2,398	2,487
4011 Outlays from discretionary balances	1,224	1,229	1,547
4020 Outlays, gross (total)	4,017	3,627	4,034
Mandatory:			
4090 Budget authority, gross	27,289	27,442	29,490
Outlays, gross:			
4100 Outlays from new mandatory authority	26,360	27,442	29,490
4101 Outlays from mandatory balances	848		
4110 Outlays, gross (total)	27,208	27,442	29,490
4180 Budget authority, net (total)	30,911	31,113	33,274
4190 Outlays, net (total)	31,225	31,069	33,524

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	72,576	84,361	97,910
5001 Total investments, EOY: Federal securities: Par value	84,361	97,910	110,710

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	30,911	31,113	33,274
Outlays	31,225	31,069	33,524
Legislative proposal, not subject to PAYGO:			
Budget Authority			-74
Outlays			-74
Legislative proposal, subject to PAYGO:			
Budget Authority			715

Total:	Outlays	715		
	Budget Authority	30,911	31,113	33,915
	Outlays	31,225	31,069	34,165

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). The UTF has two accounts for the railroad unemployment insurance system but for the Federal-State unemployment insurance system there are 57 separate accounts: one for each of the 50 states, three jurisdictions (District of Columbia, Puerto Rico, Virgin Islands) and four federal accounts. The state and jurisdiction accounts receive funds from a state unemployment insurance payroll tax which is used to pay benefits. The Federal Unemployment Tax Act (FUTA) payroll tax provides funds for two of the Federal accounts — the Employment Security Administration Account (ESAA) and the Extended Unemployment Compensation Account (EUCA) — while the remaining two, the Federal Unemployment Account (FUA) and the Federal Employee Compensation Account (FECA), are revolving accounts.

Except for FECA balances, funds on deposit in the UTF accounts are invested in Government securities until needed for payment of benefits or administrative expenses. The FUTA payroll tax is deposited in the ESAA which retains 80 percent of the deposit and pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, foreign labor certifications and about 97 percent of the costs of the Employment Service. The other 20 percent of FUTA is transferred to the EUCA which pays for certain extended benefit (EB) payments. During periods of high State unemployment, there is a stand-by program of EB, financed one-half by State unemployment taxes and one-half by the FUTA payroll tax.

The UTF also provides repayable advances (loans) from the FUA to States and jurisdictions when the balances in their individual accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable and nonrepayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the UTF provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse the FECA for benefits paid to their former employees. The FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds appropriation.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the UTF, and receipts from a tax on railroad payrolls are deposited into the program's accounts in the UTF to meet expenses.

Status of Funds (in millions of dollars)

Identification code 016-8042-0-7-999	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	73,095	84,829	98,112
0999 Total balance, start of year	73,095	84,829	98,112
Cash income during the year:			
Current law:			
Receipts:			
1110 General Taxes, FUTA, Unemployment Trust Fund	6,438	7,015	7,158
1110 Unemployment Trust Fund, State Accounts, Deposits by States	34,624	34,909	35,782
1110 Unemployment Trust Fund, Deposits by Railroad Retirement Board	131	80	91
1130 Railroad Unemployment Insurance Trust Fund	17	14	15
1150 CMA Interest, Unemployment Trust Fund	1		
1150 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	1,750	2,105	2,433

1150	Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund	1		
1160	Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	376	401	436
1160	Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	1	1	1
1160	Railroad Unemployment Insurance Trust Fund	1		
1199	Income under present law	43,340	44,525	45,916
Proposed:				
1210	General Taxes, FUTA, Unemployment Trust Fund			
1210	Unemployment Trust Fund, State Accounts, Deposits by States			2
1210	Unemployment Trust Fund, State Accounts, Deposits by States			
1299	Income proposed			2
1999	Total cash income	43,340	44,525	45,918
Cash outgo during year:				
Current law:				
2100	Unemployment Trust Fund (Budget Acct)	-31,225	-31,069	-33,524
2100	Railroad Unemployment Insurance Trust Fund (Budget Acct)	-123	-173	-155
2199	Outgo under current law	-31,348	-31,242	-33,679
Proposed:				
2200	Unemployment Trust Fund			74
2200	Unemployment Trust Fund			-715
2299	Outgo under proposed legislation			-641
2999	Total cash outgo (-)	-31,348	-31,242	-34,320
Surplus or deficit:				
3110	Excluding interest	10,240	11,178	9,165
3120	Interest	1,752	2,105	2,433
3199	Subtotal, surplus or deficit	11,992	13,283	11,598
3298	Adjustment to reconcile to proprietary accounting	-258		
3299	Total adjustments	-258		
3999	Total change in fund balance	11,734	13,283	11,598
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	468	202	-1,000
4200	Unemployment Trust Fund	84,361	97,910	110,710
4999	Total balance, end of year	84,829	98,112	109,710

Object Classification (in millions of dollars)

Identification code 016-8042-0-7-999	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.3	Reimbursements to Department of the Treasury	93	93	93
42.0	FECA (Federal Employee) Benefits	361	458	687
42.0	State unemployment benefits	26,832	26,889	28,708
43.0	Interest and dividends	2	1	1
94.0	ETA-PA, BLS, FLC	177	187	189
94.0	Veterans employment and training	250	256	257
94.0	Payments to States for administrative expenses	3,190	3,223	3,333
94.0	Departmental Management [OIG, SOL]	6	6	6
99.9	Total new obligations, unexpired accounts	30,911	31,113	33,274

UNEMPLOYMENT TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-8042-2-7-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Benefit payments by States			-74
0900	Total new obligations, unexpired accounts (object class 42.0)			-74
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)			-74
1930	Total budgetary resources available			-74
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			-74

UNEMPLOYMENT TRUST FUND—Continued
Program and Financing—Continued

Identification code 016-8042-2-7-999	2019 actual	2020 est.	2021 est.
3020 Outlays (gross)			74
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-74
Outlays, gross:			
4100 Outlays from new mandatory authority			-74
4180 Budget authority, net (total)			-74
4190 Outlays, net (total)			-74

UNEMPLOYMENT TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-8042-4-7-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefit Payments by States			715
0900 Total new obligations, unexpired accounts (object class 42.0)			715
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			715
1930 Total budgetary resources available			715
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			715
3020 Outlays (gross)			-715
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			715
Outlays, gross:			
4100 Outlays from new mandatory authority			715
4180 Budget authority, net (total)			715
4190 Outlays, net (total)			715

Minimum Solvency Standard.—Since the end of the most recent recession, many states continue to struggle to maintain adequate Unemployment Insurance (UI) Trust Fund balances. The Budget includes a proposal to add a minimum solvency standard in the UI program to help address the challenge states face in maintaining sufficient balances in their Unemployment Trust Fund accounts. This proposal would strengthen states' incentive to adequately fund their UI systems by making states that fail to maintain an Average High-Cost Multiple (AHCM) of 0.5 for two consecutive January firsts subject to the same FUTA tax credit reductions applied to states which go below a zero trust fund balance.

UI Program Integrity Package.—The Budget includes a package of program integrity proposals similar to those included in the proposed Unemployment Compensation Program Integrity Act, which the Department previously sent to Congress in response to the UI program's three consecutive years of high improper payment rates. Specifically, the package includes the following proposals:

Require states to use SIDES.—This proposal will require state UI agencies to use the State Information Data Exchange System (SIDES) to exchange information with employers concerning reasons for a claimant's separation from employment.

Require states to cross-match against the NDNH.—This proposal will require state UI agencies to use the National Directory for New Hires in their claims to better identify individuals continuing to claim unemployment compensation after returning to work, one of the leading root causes of UI improper payments.

Allow the Secretary of Labor to establish UI corrective actions.—This proposal will allow the Secretary of Labor to require states to implement corrective action measures for poor state performance in the UI program,

helping to reduce improper payments in states with the highest improper payment rates. Currently, the Secretary has very limited options to require state UI agencies to take actions to respond to poor performance and high improper payment rates.

Require states to cross-match with SSA's prisoner database.—Under current law, state UI agencies' use of cross-matches is permissible and the Social Security Administration's (SSA) Prisoner Update Processing System (PUPS) is currently only used by some states for UI verification. Requiring states to cross-match claims against the PUPS or other repositories of prisoner information will help identify those individuals ineligible for benefits due to incarceration and reduce improper payments.

Allow states to retain 5 percent of UI overpayments for program integrity use.—This proposal will allow states to retain 5 percent of overpayment recoveries to fund program integrity activities in each state's UI program. This provides an incentive to states to increase detection and recovery of improper payments and provides necessary resources to carry out staff-intensive work to validate cross-match hits as required by law.

Require states to use penalty and interest collections solely for UI administration.—This proposal will require states to deposit all penalty and interest payments collected through the UI program into the state's Unemployment Trust Fund account and require the funds be used for improving state administration of the UI program and reemployment services for UI claimants. States with high improper payment rates would be required to use a portion of the funds for program integrity activities. Currently, states have discretion to use these funds for non-UI purposes.

Require states to implement the Integrated Data Hub.—This proposal would require states to implement the Integrated Data Hub developed by the UI Integrity Center of Excellence to gain access to a fraud analytics database, sources of incarceration and mortality records, and a front-end identity verification tool.

Offset Overlapping UI and Disability Insurance Benefits.—The Budget includes a proposal to reduce an individual's entitlement to a Disability Insurance benefit in any month by the amount the individual receives in unemployment compensation.

Paid Parental Leave.—The Budget includes a proposal to establish a Federal-state paid parental leave benefit program within the UI program that would begin in 2023. The program will provide six weeks of benefits for mothers, fathers, and adoptive parents. The benefit is provided to help families recover from childbirth and to bond with their new children.

EMPLOYEE BENEFITS SECURITY ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, **[\$181,000,000]** \$192,738,000, of which up to \$3,000,000 shall be made available through September 30, **[2021]** 2023, for the procurement of expert witnesses for enforcement litigation. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-1700-0-1-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enforcement and participant assistance	147	147	157
0002 Policy and compliance assistance	27	27	29
0003 Executive leadership, program oversight and administration	7	7	7
0799 Total direct obligations	181	181	193
0801 Reimbursable obligations	8	8	8
0900 Total new obligations, unexpired accounts	189	189	201
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	181	181	193

1700	Spending authority from offsetting collections, discretionary:			
	Collected: Federal Sources	6	8	8
1701	Change in uncollected payments, Federal sources	2		
1750	Spending auth from offsetting collections, disc (total)	8	8	8
1900	Budget authority (total)	189	189	201
1930	Total budgetary resources available	191	191	203
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	32	33	50
3010	New obligations, unexpired accounts	189	189	201
3020	Outlays (gross)	-186	-172	-201
3041	Recoveries of prior year unpaid obligations, expired	-2		
3050	Unpaid obligations, end of year	33	50	50
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070	Change in uncollected pymts, Fed sources, unexpired	-2		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	31	31	48
3200	Obligated balance, end of year	31	48	48
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	189	189	201
Outlays, gross:				
4010	Outlays from new discretionary authority	159	142	151
4011	Outlays from discretionary balances	27	30	50
4020	Outlays, gross (total)	186	172	201
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-7	-8	-8
4040	Offsets against gross budget authority and outlays (total) ...	-7	-8	-8
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2		
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	-1		
4070	Budget authority, net (discretionary)	181	181	193
4080	Outlays, net (discretionary)	179	164	193
4180	Budget authority, net (total)	181	181	193
4190	Outlays, net (total)	179	164	193

Average days to process exemption requests	309	400	400
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¹ Reflects a revision of original estimates based on the full appropriation pursuant to P.L. 116-93.
² Employee Benefits Security Programs encompass three budget activities to include: (1) Enforcement and Participant Assistance; (2) Policy Compliance Assistance; and (3) Executive Leadership, Program Oversight and Administration.
³ The agency continues its efforts to enhance the quality and impact of its investigations and has placed special emphasis on Major Case monetary recoveries, as well as the impact of its investigations (e.g., the amounts recovered for plan participants and beneficiaries). While the agency will continue to report the total number of investigations conducted, it will no longer make projections of the raw number of investigations.
⁴ Reflects over \$2.13 billion in participant benefit recoveries, \$354.6 million in plan assets restored, \$41.3 million in participant health plan recoveries, \$33.2 million in distributions for abandoned plans, and \$14.7 million for Voluntary Fiduciary Correction Program recoveries.
⁵ Includes Multiple Employer Welfare Arrangement (MEWA) registrations.

Object Classification (in millions of dollars)

Identification code 016-1700-0-1-601	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	87	91	94
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	1	2	2
11.9	Total personnel compensation	89	94	97
12.1	Civilian personnel benefits	29	32	32
21.0	Travel and transportation of persons	2	1	1
23.1	Rental payments to GSA	10	10	11
23.3	Communications, utilities, and miscellaneous charges		1	
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	1	1	1
25.2	Other services from non-Federal sources	4	2	6
25.3	Other goods and services from Federal sources	29	27	28
25.5	Research and development contracts	1	1	2
25.7	Operation and maintenance of equipment	13	9	12
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
99.0	Direct obligations	181	181	193
99.0	Reimbursable obligations	8	8	8
99.9	Total new obligations, unexpired accounts	189	189	201

Employment Summary

Identification code 016-1700-0-1-601	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	802	826	875

Employee Benefits Security Programs ².—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act (FERSA). Assures compliance with applicable reporting, disclosure and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public. Conducts policy, research, and legislative analysis on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations. Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities.

	2019 Actual	2020 est. ¹	2021 est.
EMPLOYEE BENEFITS AND SECURITY PROGRAMS²			
Investigations conducted	1,421	N/A	N/A ³
Participant benefit recoveries and plan assets restored	\$2578,003,000 ⁴	\$733,450,000	\$942,040,000
Major case monetary recoveries per major case staff day ⁵	\$108,807	\$39,996	\$39,996
Monetary recoveries on major cases closed per staff day ⁵	\$79,779	\$29,271	\$29,271 ⁵
Other civil cases closed or referred for litigation within 18 months	89.0%	76.0%	76.0%
Inquiries received	166,627	200,000	175,000
Reporting compliance reviews	3,255	3,300	3,300
Exemptions, determinations, interpretations and regulations issued	3,663	3,367	3,716 ⁵

PENSION BENEFIT GUARANTY CORPORATION
Federal Funds

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, **[2020]** 2021, for the Corporation: *Provided*, That none of the funds available to the Corporation for fiscal year **[2020]** 2021 shall be available for obligations for administrative expenses in excess of **[**\$452,858,000**]** \$465,289,000: *Provided further*, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year **[2020]** 2021, an amount not to exceed an additional \$9,200,000 shall be available through September 30, **[2024]** 2025, for obligations for administrative expenses for every 20,000 additional terminated participants: *Provided further*, That obligations in excess of the amounts provided for administrative expenses in this paragraph may be incurred and shall be available through September 30, **[2024]** 2025 for obligation for unforeseen and extraordinary pre-termination or termination expenses or extraordinary multiemployer program related expenses after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That, an additional amount shall be available for obligation through September 30, **[2024]** 2025 to the extent the Corporation's **[costs]** expenses exceed \$250,000 for the provision of credit or identity monitoring to affected individuals

PENSION BENEFIT GUARANTY CORPORATION FUND—Continued

upon suffering a security incident or privacy breach, not to exceed an additional \$100 per affected individual. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-4204-0-3-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Single-employer benefit payment	5,821	6,855	7,289
0802 Multiemployer financial assistance	160	190	350
0806 Administrative Expenses	423	453	465
0807 Investment Management Fees	106	124	130
0900 Total new obligations, unexpired accounts	6,510	7,622	8,234
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30,684	36,274	42,197
1001 Discretionary unobligated balance brought fwd, Oct 1		1	
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	12,100	13,544	15,310
1802 Offsetting collections (previously unavailable)	9	8	
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-9	-8	
1850 Spending auth from offsetting collections, mand (total)	12,100	13,544	15,310
1900 Budget authority (total)	12,100	13,545	15,311
1930 Total budgetary resources available	42,784	49,819	57,508
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	36,274	42,197	49,274
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	310	348	348
3010 New obligations, unexpired accounts	6,510	7,622	8,234
3020 Outlays (gross)	-6,472	-7,622	-8,234
3050 Unpaid obligations, end of year	348	348	348
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	310	348	348
3200 Obligated balance, end of year	348	348	348
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4040 Offsets against gross budget authority and outlays (total)		-1	-1
Mandatory:			
4090 Budget authority, gross	12,100	13,544	15,310
Outlays, gross:			
4100 Outlays from new mandatory authority	6,270	7,285	7,881
4101 Outlays from mandatory balances	202	336	352
4110 Outlays, gross (total)	6,472	7,621	8,233
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Cash Investment Receipts	-1,988	-1,007	-1,146
4123 Non-Federal sources	-10,112	-12,537	-14,164
4130 Offsets against gross budget authority and outlays (total)	-12,100	-13,544	-15,310
4170 Outlays, net (mandatory)	-5,628	-5,923	-7,077
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-5,628	-5,923	-7,077
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	31,659	36,713	42,635
5001 Total investments, EOY: Federal securities: Par value	36,713	42,635	49,712
5090 Unexpired unavailable balance, SOY: Offsetting collections	9	9	9
5092 Unexpired unavailable balance, EOY: Offsetting collections	9	9	9

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Outlays	-5,628	-5,923	-7,077
Legislative proposal, subject to PAYGO:			
Outlays		-2	11

Total:			
Outlays	-5,628	-5,925	-7,066

The Pension Benefit Guaranty Corporation (PBGC) is a Federal corporation established under the Employee Retirement Income Security Act of 1974, as amended. It guarantees payment of basic pension benefits earned by over 35,000,000 of America's workers and retirees participating in more than 25,000 private-sector defined benefit pension plans. The Single-Employer Program protects about 24,700,000 workers and retirees in about 24,000 pension plans. The Multiemployer Program protects about 10,800,000 workers and retirees in about 1,400 pension plans. The Corporation receives no funds from general tax revenues. Operations are financed by insurance premiums set by Congress and paid by sponsors of defined benefit plans, investment income, assets from pension plans trusted by PBGC, and recoveries from the companies formerly responsible for the plans. PBGC is requesting \$465,289,000 in spending authority for administrative purposes in 2021. The request includes spending authority of \$5,000,000 for the Pension Insurance Modeling System (PIMS) transformation and budget forecasting tool; \$2,000,000 for eBusiness Suite upgrades; \$5,061,000 for mission critical and mandatory personnel compensation and benefit costs; and an additional \$370,000 for the Office of the Inspector General's financial statement audit, cybersecurity posture, and Council of the Inspectors General on Integrity and Efficiency annual fee. This request proposes language in the General Provisions of the President's Budget to provide reception and representation authority up to \$5,000.

Plan Preservation Efforts.—PBGC works to preserve plans and keep pension promises in the hands of the employers who make them. When companies undertake major transactions that might threaten their ability to pay pensions, PBGC negotiates protections for their pension plans. Last year, PBGC worked with dozens of companies, both in bankruptcy and otherwise, to preserve their plans that were at risk. In 2019, PBGC:

- Paid \$160,000,000 in financial assistance to 89 insolvent multiemployer plans, including four plans that were closed out by annuity purchases; and

- Performed audits of eight multiemployer plans covering more than 10,000 people to evaluate the timeliness and accuracy of benefit payments to all participants, compliance with laws and regulations, and the effectiveness and efficiency in management of the remaining assets in terminated and insolvent plans.

Stepping in to Insure Pensions When Plans Fail.—When plans do fail, PBGC steps in to ensure that basic benefits continue to be paid. Over the years, PBGC has become responsible for almost 1,500,000 people in more than 4,900 failed plans. In 2019, PBGC:

- Paid \$6,020,000,000 in benefits to 932,000 retirees in single-employer plans; and

- Performed standard termination audits of single-employer plans that resulted in additional payments of \$5,100,000 to 993 people.

Single-employer benefit payments.—Through its Single-Employer Program, PBGC is directly responsible for the benefits of about 1,500,000 current and future retirees in trustee pension plans. The Single-Employer Program covers defined benefit pension plans that generally are sponsored by a single employer. When an underfunded single-employer plan terminates, PBGC steps in to pay participants' benefits up to legal limits set by law. This typically happens when the employer sponsoring an underfunded plan goes bankrupt, ceases operation, or can no longer afford to keep the plan going. PBGC takes over the plan's assets, administration, and payment of benefits up to the legal limits. In some instances, plans can choose to voluntarily terminate by filing a standard termination if the plan has enough money to pay all benefits owed to participants. In FY 2019, PBGC:

- Took responsibility for 51 single-employer plans that provide the pension benefits to more than 103,000 current and future retirees;

- Oversaw the seamless transition of more than 15,000 retirees to direct payments from PBGC.

Multiemployer financial assistance.—The Multiemployer Program covers about 10,800,000 participants in about 1,400 insured plans. A multiemployer plan is a pension plan sponsored by two or more unrelated employers

under collective bargaining agreements with one or more unions. Multiemployer plans cover most unionized workers in the trucking, retail food, construction, mining, garment, and other industries. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, PBGC provides insolvent multiemployer plans with financial assistance, in the statutorily required form of loans, sufficient to pay PBGC guaranteed benefits and reasonable administrative expenses.

Investment management fees.—PBGC contracts with professional financial services corporations to manage Trust Fund assets in accordance with an investment strategy approved by PBGC's Board of Directors. Investment management fees are driven by the amount of assets under management. They are a direct, programmatic expense required to maintain the Trust Fund which supports single-employer benefit payments.

Consolidated Administrative Budget.—PBGC's administrative budget comprises all expenditures and operations that support:

- Benefit payments to pension plan participants;
- Financial assistance to distressed multiemployer pension plans; and
- Stewardship and accountability.

These operations include premium collections, pre-trusteeship work, efforts to preserve pension plans, recovery of assets from former plan sponsors, and pension insurance program protection activities. This area also covers the expenditures that support activities related to trusteeship; plan asset management (excluding investment management fees) and trust accounting; as well as benefit payments and administration services. Finally, this area includes the administrative functions covering procurement, financial management, human resources, facilities management, communications, legal support, and information technology infrastructure. These funds support the operations of the Participant and Plan Sponsor Advocate. They also support the required functions and efforts of the Office of the Inspector General, including training and participation in the Council of the Inspectors General on Integrity and Efficiency (CIGIE) activities.

Object Classification (in millions of dollars)

Identification code 016-4204-0-3-601	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	113	112	115
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	3	4
11.9 Total personnel compensation	118	117	121
12.1 Civilian personnel benefits	38	38	40
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	25	29	29
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	106	124	130
25.2 Other services from non-Federal sources	221	248	254
25.3 Other goods and services from Federal sources	8	8	8
26.0 Supplies and materials	2	2	2
31.0 Equipment	5	5	5
33.0 Investments and loans	160	190	350
42.0 Insurance claims and indemnities	5,821	6,855	7,289
99.9 Total new obligations, unexpired accounts	6,510	7,622	8,234

Employment Summary

Identification code 016-4204-0-3-601	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	925	968	968

PENSION BENEFIT GUARANTY CORPORATION FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-4204-4-3-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0802 Multiemployer financial assistance			15
0900 Total new obligations, unexpired accounts (object class 33.0)			15

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2		4
1930 Total budgetary resources available	2		6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		-9
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			15
3020 Outlays (gross)			-15
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2		4
Outlays, gross:			
4101 Outlays from mandatory balances			15
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Cash Investment Receipts	-2		-4
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-2		11
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value			2
5001 Total investments, EOY: Federal securities: Par value	2		-8

The Budget proposes to reform multiemployer premiums and improve the solvency of the program by creating a variable-rate premium (VRP) and an exit premium that together would raise approximately \$26,000,000,000 over the budget window. A multiemployer VRP would require plans to pay additional premiums based on their level of underfunding, up to a cap, as is done in the Single-Employer Program. An exit premium, equal to ten times the variable-rate premium cap, would be assessed on employers that withdraw from a multiemployer plan to compensate the Multiemployer Program for the additional risk imposed on it when employers exit and cease making plan contributions. Employers that withdraw from a multiemployer plan owe withdrawal liability to the plan for the employer's share of the plan's unfunded liabilities, but plans are often unable to collect the full amount of these liabilities.

PBGC would have limited authority to design waivers for some or all of the VRP assessed to terminated plans or ongoing plans that are in critical status, if there is a substantial risk that the payment of premiums will accelerate plan insolvency and financial assistance to the plan. Aggregate waivers for a year would be limited to 20 percent of anticipated total multiemployer variable-rate premiums for all plans. The multiemployer premiums proposed in the Budget are expected to be sufficient to fund the Multiemployer Program for the next 20 years.

The Budget also calls for the repeal of provisions accelerating fiscal year 2026 premiums into fiscal year 2025 and repeals the requirement for certain multiemployer premium revenues to be held in non-interest-bearing investments. The need for additional reforms of the multiemployer system is urgent, and the Administration stands ready to work with Congress on a long-term solution that appropriately balances the interests of all those affected by the multiemployer pension system—retirees, workers, employers, unions, and taxpayers. The solution should simultaneously accomplish several goals: protect retirees and prevent the collapse of the multiemployer pension system, limit the burden on taxpayers, save the federal backstop, and prevent a future crisis.

In contrast, the financial condition of the Single-Employer Program has improved in recent years, reflecting numerous premium increases enacted by Congress, a strong economy, and very few large claims. In fiscal year 2018, the program emerged from a deficit position and continued to improve in fiscal year 2019, although significant potential risk remains. In light of these developments, The Budget proposes to rebalance premiums in this program by pausing the indexation of single-employer premium rates for three years and increasing the cap on the VRP, currently \$561 in 2020, to \$900 in 2021 and indexed thereafter. This targets higher premiums on plans

PENSION BENEFIT GUARANTY CORPORATION FUND—Continued

that present a greater exposure to PBGC and strengthens the incentive to improve plan funding. On net, combined with the premium changes in the recently enacted fiscal year 2020 appropriations legislation (P.L. 116–94, Further Consolidated Appropriations Act, 2020), this proposal will be approximately budget neutral.

OFFICE OF WORKERS' COMPENSATION PROGRAMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Workers' Compensation Programs, **[\$115,424,000]** \$114,962,000, together with **[\$2,177,000]** \$4,350,000 which may be expended from the Special Fund in accordance with sections 39(c), 44(d), and 44(j) of the Longshore and Harbor Workers' Compensation Act. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016–0163–0–1–505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Federal programs for workers' compensation	115	115	115
0801 Trust Funds, Federal Programs for Workers' Compensation	38	38	45
0900 Total new obligations, unexpired accounts	153	153	160
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	115	115	115
Spending authority from offsetting collections, discretionary:			
1700 Collected	38	38	45
1900 Budget authority (total)	153	153	160
1930 Total budgetary resources available	153	153	160
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	13	17
3010 New obligations, unexpired accounts	153	153	160
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-152	-149	-160
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	13	17	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	13	17
3200 Obligated balance, end of year	13	17	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	153	153	160
Outlays, gross:			
4010 Outlays from new discretionary authority	142	142	149
4011 Outlays from discretionary balances	10	7	11
4020 Outlays, gross (total)	152	149	160
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-36	-38	-45
4034 Offsetting governmental collections	-2		
4040 Offsets against gross budget authority and outlays (total)	-38	-38	-45
4070 Budget authority, net (discretionary)	115	115	115
4080 Outlays, net (discretionary)	114	111	115
4180 Budget authority, net (total)	115	115	115
4190 Outlays, net (total)	114	111	115

The Office of Workers' Compensation Programs (OWCP) administers the Federal Employees' Compensation Act (FECA), the Longshore and Harbor Workers' Compensation Act, the Energy Employees Occupational Illness Compensation Program Act (EEOICPA), and the Black Lung Benefits Act (Black Lung). These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services, including vocational rehabilitation, supervision of medical care, and technical and advisory counseling, to which they are entitled.

Object Classification (in millions of dollars)

Identification code 016–0163–0–1–505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	67	67	67
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	68	68	68
12.1 Civilian personnel benefits	23	23	23
23.1 Rental payments to GSA	9	9	9
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.3 Other goods and services from Federal sources	11	11	11
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	115	115	115
99.0 Reimbursable obligations	38	38	45
99.9 Total new obligations, unexpired accounts	153	153	160

Employment Summary

Identification code 016–0163–0–1–505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	855	837	839

SPECIAL BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by 5 U.S.C. 81; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; section 5(f) of the War Claims Act (50 U.S.C. App. 2012); obligations incurred under the War Hazards Compensation Act (42 U.S.C. 1701 et seq.); and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, **[\$234,600,000]** \$239,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year, for deposit into and to assume the attributes of the Employees' Compensation Fund established under 5 U.S.C. 8147(a): *Provided*, That amounts appropriated may be used under 5 U.S.C. 8104 by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a re-employed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, **[2019]** 2020, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under 5 U.S.C. 8147(c) to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, **[2020]** 2021: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, **[\$74,777,000]** \$80,257,000 shall be made available to the Secretary as follows:

- (1) For enhancement and maintenance of automated data processing systems operations and telecommunications systems, **[\$24,540,000]** \$27,220,000;
- (2) For automated workload processing operations, including document imaging, centralized mail intake, and medical bill processing, **[\$22,968,000]** \$25,647,000;
- (3) For periodic roll disability management and medical review, **[\$25,535,000]** \$25,648,000;
- (4) For program integrity, **[\$1,734,000]** \$1,742,000; and
- (5) The remaining funds shall be paid into the Treasury as miscellaneous receipts: *Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under 5 U.S.C. 81, or the Longshore and Harbor Workers' Compensation Act, provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-1521-0-1-600	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Longshore and harbor workers' compensation benefits	3	2	2
0002 Federal Employees' Compensation Act benefits	227	233	237
0799 Total direct obligations	230	235	239
0801 Federal Employees' Compensation Act benefits	2,786	2,833	2,863
0802 FECA Fair Share (administrative expenses)	75	75	80
0899 Total reimbursable obligations	2,861	2,908	2,943
0900 Total new obligations, unexpired accounts	3,091	3,143	3,182
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,497	1,537	1,302
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1,498	1,537	1,302
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	230	235	239
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,874	2,673	2,722
1801 Change in uncollected payments, Federal sources	26		
1850 Spending auth from offsetting collections, mand (total)	2,900	2,673	2,722
1900 Budget authority (total)	3,130	2,908	2,961
1930 Total budgetary resources available	4,628	4,445	4,263
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,537	1,302	1,081
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	247	240	425
3010 New obligations, unexpired accounts	3,091	3,143	3,182
3020 Outlays (gross)	-3,097	-2,958	-3,001
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	240	425	606
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-27	-27
3070 Change in uncollected pymts, Fed sources, unexpired	-26		
3090 Uncollected pymts, Fed sources, end of year	-27	-27	-27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	246	213	398
3200 Obligated balance, end of year	213	398	579
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3,130	2,908	2,961
Outlays, gross:			
4100 Outlays from new mandatory authority	2,889	2,908	2,961
4101 Outlays from mandatory balances	208	50	40
4110 Outlays, gross (total)	3,097	2,958	3,001
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-2,873	-2,673	-2,722
4123 Non-Federal sources	-1		
4130 Offsets against gross budget authority and outlays (total)	-2,874	-2,673	-2,722
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-26		
4160 Budget authority, net (mandatory)	230	235	239
4170 Outlays, net (mandatory)	223	285	279
4180 Budget authority, net (total)	230	235	239
4190 Outlays, net (total)	223	285	279

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	230	235	239
Outlays	223	285	279
Legislative proposal, subject to PAYGO:			
Budget Authority			-31
Outlays			-31
Total:			
Budget Authority	230	235	208
Outlays	223	285	248

Federal Employees' Compensation Act benefits.—The Federal Employees' Compensation Act (FECA) program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). A workers' compensation case is created following the receipt of an injury report or claim for occupational disease. In 2021 the FECA program projects to create 100,000 cases for Federal workers or their survivors; 15,900 Federal employees are projected to submit initial wage-loss claims; and 35,000 are projected to receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	2019 actual	2020 proj.	2021 proj.
Initial Wage-Loss Claims Received	16,335	16,100	15,900
Number of Compensation and Medical Payments Processed (by Chargeback Year)	8,138,835	8,100,000	8,000,000
Cases Created	100,534	100,000	100,000
Periodic Roll Payment Cases - Long-term Disability	37,441	36,000	35,000

Longshore and Harbor Workers' Compensation Act benefits.—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the Special Workers' Compensation Fund, which is financed by private employers, and is assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

Identification code 016-1521-0-1-600	2019 actual	2020 est.	2021 est.
42.0 Direct obligations: Insurance claims and indemnities	230	235	239
99.0 Reimbursable obligations	2,861	2,908	2,943
99.9 Total new obligations, unexpired accounts	3,091	3,143	3,182

Employment Summary

Identification code 016-1521-0-1-600	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	151	154	154

SPECIAL BENEFITS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 016-1521-4-1-600	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Federal Employees' Compensation Act benefits			-31
0899 Total reimbursable obligations			-31
0900 Total new obligations, unexpired accounts (object class 42.0)			-31
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			-31
1900 Budget authority (total)			-31
1930 Total budgetary resources available			-31

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-31
3020 Outlays (gross)			31

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-31
Outlays, gross:			
4100 Outlays from new mandatory authority			-31
4180 Budget authority, net (total)			-31

SPECIAL BENEFITS—Continued
Program and Financing—Continued

Identification code 016-1521-4-1-600	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)			-31

The 2021 Budget incorporates longstanding Government Accountability Office, Congressional Budget Office, and Labor Inspector General recommendations to improve and update the Federal Employees' Compensation Act (FECA). The last major amendments to FECA were made in 1974. The Administration proposes changes that generate cost savings by simplifying FECA benefit rates, introducing controls to prevent fraud and limit improper payments, and modernizing benefit administration. The proposal would reform the FECA program prospectively to simplify benefits to provide a single compensation rate at 66 2/3 percent of the injured workers' pay; reduce benefit levels at full Social Security Administration retirement age; prevent retroactive election of FECA benefits after claimants have declined them in favor of federal retirement; apply a consistent waiting period for compensation for all covered employees; increase benefits for funeral expenses and severe disfigurement; suspend payments to indicted medical providers; and make other changes to improve program integrity and reduce improper payments. These reforms would produce 10-year government-wide savings of more than \$700 million, and approximately \$212 million in net savings.

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 016-1523-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Part B benefits and all medical	1,074	1,111	1,131
0002 Part E benefits	345	331	319
0003 RECA DOJ benefits	20	14	13
0900 Total new obligations, unexpired accounts (object class 42.0)	1,439	1,456	1,463
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			4
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,431	1,456	1,463
Spending authority from offsetting collections, mandatory:			
1800 Collected	8	4	4
1900 Budget authority (total)	1,439	1,460	1,467
1930 Total budgetary resources available	1,439	1,460	1,471
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		4	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	25	25
3010 New obligations, unexpired accounts	1,439	1,456	1,463
3020 Outlays (gross)	-1,444	-1,456	-1,473
3050 Unpaid obligations, end of year	25	25	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	25	25
3200 Obligated balance, end of year	25	25	15
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,439	1,460	1,467
Outlays, gross:			
4100 Outlays from new mandatory authority	1,414	1,456	1,463
4101 Outlays from mandatory balances	30		10
4110 Outlays, gross (total)	1,444	1,456	1,473
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-8	-4	-4
4180 Budget authority, net (total)	1,431	1,456	1,463
4190 Outlays, net (total)	1,436	1,452	1,469

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value		282	
5001 Total investments, EOY: Federal securities: Par value	282		

Energy Employees Occupational Illness Compensation Act of 2000 (EEOICPA) benefits.—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA). In July 2001, the program began accepting claims from employees or survivors of employees of the Department of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses. This program is EEOICPA Part B.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108-767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered under section 5 of the Radiation Exposure Compensation Act. Benefit payments under Part E began in 2005.

EEOICPA Workload Summary

	2019 actual	2020 proj.	2021 proj.
Initial Claims Received (Part B)	4,763	5,103	4,976
Initial Claims Received (Part E)	4,736	4,643	4,620
Consequential Condition Claims Received (Part B and E)	8,558	8,630	11,850
Threads - Medical Authorizations (Part B and E)	39,571	53,100	53,858

ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND

For necessary expenses to administer *Parts B and E* of the Energy Employees Occupational Illness Compensation Program Act, **[\$59,846,000]** \$141,763,000, to remain available until expended: *Provided*, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim such identifying information (including Social Security account number) as may be prescribed: *Provided further*, That 42 U.S.C. 7385s-13 is hereby repealed: *Provided further*, That, for purposes of the Balanced Budget and Emergency Deficit Control Act of 1985, amounts for Part E shall be treated in the same manner as amounts for Part B are treated pursuant to section 151(b) of division B, title I of Public Law 106-554. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-1524-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Energy Part B	58	55	63
0004 Energy Part E	72	72	79
0900 Total new obligations, unexpired accounts	130	127	142
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	1	1
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	6	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation (Part B)	59	60	63
1200 Appropriation (Part E)	78	79	79
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-12	-12	
1260 Appropriations, mandatory (total)	125	127	142
1930 Total budgetary resources available	131	128	143
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	32	31	28
3010 New obligations, unexpired accounts	130	127	142

3020	Outlays (gross)	-128	-130	-143
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	31	28	27
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	32	31	28
3200	Obligated balance, end of year	31	28	27
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	125	127	142
Outlays, gross:				
4100	Outlays from new mandatory authority	95	127	140
4101	Outlays from mandatory balances	33	3	3
4110	Outlays, gross (total)	128	130	143
4180	Budget authority, net (total)	125	127	142
4190	Outlays, net (total)	128	130	143

Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the EEOICPA program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor (DOL) is responsible for claims adjudication, and award and payment of compensation and medical benefits. DOL's Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, and other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act to file for additional compensation, including medical benefits, under EEOICPA. This program is EEOICPA Part B.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108-767) amended EEOICPA, giving DOL responsibility for a new program Part E, to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered by the Radiation Exposure Compensation Act.

The Carl Levin and Howard P. "Buck" McKeon National Defense Authorization Act of 2015 (P.L. 113-291) amended EEOICPA to include Section 3687, creating the Advisory Board on Toxic Substances and Worker Health to advise the Secretary of Labor (as delegated by Executive Order 13699) with respect to technical aspects of the EEOICPA program. The Advisory Board is charged with advising the Secretary on four statutorily-specific technical issues related to EEOICPA: DOL's site exposure matrices; medical guidance for claims examiners; evidentiary requirements for claims under subtitle B related to lung disease; and the work of industrial hygienists and staff physicians and consulting physicians to ensure quality, objectivity, and consistency.

For 2021, there are two changes in the Energy Employees Occupational Illness Compensation Program Act Appropriations language which repeal Part E authorizing language to make the Part E administrative expenses amount a definite appropriated mandatory with directed scoring language (as Part B currently is), and make administrative expenses for Part B and Part E into two activities in one appropriation, allowing shifting of funds between the two activities.

Object Classification (in millions of dollars)

Identification code 016-1524-0-1-053	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	41	44

11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	42	42	45
12.1	Civilian personnel benefits	14	14	15
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	5	5	5
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	24	23	32
25.3	Other goods and services from Federal sources	23	22	24
25.7	Operation and maintenance of equipment	20	19	19
99.9	Total new obligations, unexpired accounts	130	127	142

Employment Summary

Identification code 016-1524-0-1-053	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	412	432	432

SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, as amended by Public Law 107-275, **[\$20,970,000] \$40,970,000**, to remain available until expended.

For making after July 31 of the current fiscal year, benefit payments to individuals under title IV of such Act, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV for the first quarter of fiscal year **[2021] 2022, \$14,000,000**, to remain available until expended. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0169-0-1-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefits	65	30	50
0002 Administration	5	5	5
0900 Total new obligations, unexpired accounts	70	35	55
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	85	40	40
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	10	21	41
Advance appropriations, mandatory:			
1270 Advance appropriation	15	14	14
1900 Budget authority (total)	25	35	55
1930 Total budgetary resources available	110	75	95
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	40	40
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	7	6
3010 New obligations, unexpired accounts	70	35	55
3020 Outlays (gross)	-70	-36	-56
3050 Unpaid obligations, end of year	7	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	7	6
3200 Obligated balance, end of year	7	6	5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	25	35	55
Outlays, gross:			
4100 Outlays from new mandatory authority	25	35	55
4101 Outlays from mandatory balances	45	1	1
4110 Outlays, gross (total)	70	36	56
4180 Budget authority, net (total)	25	35	55
4190 Outlays, net (total)	70	36	56

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled due to coal workers' pneumoconiosis (black lung), and to their widows and certain other dependents. Part B of the Act assigned the processing and paying of claims filed between December 30,

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

1969 (when the program originated) and June 30, 1973 to the Social Security Administration (SSA). P.L. 107–275 transferred Part B claims processing and payment operations from SSA to the Department of Labor's Office of Workers' Compensation Programs. This change was implemented on October 1, 2003.

	2019 actual	2020 proj.	2021 proj.
Beneficiaries	7,975	7,188	6,509
Benefit Payments (\$ in 000s)	\$65,635	\$60,072	\$55,131

Object Classification (in millions of dollars)

Identification code 016–0169–0–1–601	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
12.1 Civilian personnel benefits	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	2	2	2
42.0 Insurance claims and indemnities	65	30	50
99.9 Total new obligations, unexpired accounts	70	35	55

Employment Summary

Identification code 016–0169–0–1–601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	13	13

PANAMA CANAL COMMISSION COMPENSATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 016–5155–0–2–602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	2
Receipts:			
Current law:			
1140 Interest on Investments, Panama Canal Commission	1	1	1
2000 Total: Balances and receipts	2	2	3
Appropriations:			
Current law:			
2101 Panama Canal Commission Compensation Fund	-1
5099 Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 016–5155–0–2–602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Benefits	4	5	5
0900 Total new obligations, unexpired accounts (object class 42.0)	4	5	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	26	21
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1
1930 Total budgetary resources available	30	26	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	21	16
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	5	5
3020 Outlays (gross)	-4	-5	-5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1
Outlays, gross:			
4101 Outlays from mandatory balances	4	5	5
4180 Budget authority, net (total)	1
4190 Outlays, net (total)	4	5	5
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	29	25	22

5001 Total investments, EOY: Federal securities: Par value	25	22	20
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This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977; however, the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of the administration of the FECA program from the Commission to the Department of Labor, effective January 1, 1989.

Trust Funds

BLACK LUNG DISABILITY TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

Such sums as may be necessary from the Black Lung Disability Trust Fund (the "Fund"), to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (6), and (7) of the Internal Revenue Code of 1986; and repayment of, and payment of interest on advances, as authorized by section 9501(d)(4) of that Act. In addition, the following amounts may be expended from the Fund for fiscal year [2020] 2021 for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): not to exceed [\$38,246,000] \$40,643,000 for transfer to the Office of Workers' Compensation Programs, "Salaries and Expenses"; not to exceed [\$32,844,000] \$33,033,000 for transfer to Departmental Management, "Salaries and Expenses"; not to exceed [\$330,000] \$333,000 for transfer to Departmental Management, "Office of Inspector General"; and not to exceed \$356,000 for payments into miscellaneous receipts for the expenses of the Department of the Treasury. (*Department of Labor Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 016–8144–0–7–601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	125	66	69
Receipts:			
Current law:			
1110 Transfer from General Fund, Black Lung Benefits Revenue Act Taxes	217	322	215
1130 Miscellaneous Interest, Black Lung Disability Trust Fund	1	2	2
1199 Total current law receipts	218	324	217
1999 Total receipts	218	324	217
2000 Total: Balances and receipts	343	390	286
Appropriations:			
Current law:			
2101 Black Lung Disability Trust Fund	-218	-324	-217
2103 Black Lung Disability Trust Fund	-105
2132 Black Lung Disability Trust Fund	2	3
2135 Black Lung Disability Trust Fund	43
2199 Total current law appropriations	-278	-321	-217
2999 Total appropriations	-278	-321	-217
5098 Rounding adjustment	1
5099 Balance, end of year	66	69	69

Program and Financing (in millions of dollars)

Identification code 016–8144–0–7–601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Disabled coal miners benefits	147	152	152
0002 Administrative expenses	67	67	74
0003 Interest on zero coupon bonds	64	77	90
0004 Interest on short term advances	49	43	67
0900 Total new obligations, unexpired accounts	327	339	383
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	218	324 217
1203	Appropriation (previously unavailable)(special or trust)	105
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-3
1235	Appropriations precluded from obligation (special or trust)	-43
1260	Appropriations, mandatory (total)	278	321 217
Borrowing authority, mandatory:			
1400	Borrowing authority	2,069	2,004 2,290
1422	Borrowing authority applied to repay debt	-2,018	-1,913 -2,071
1422	Borrowing authority applied to repay debt	-75	-53
1440	Borrowing authority, mandatory (total)	51	16 166
1900	Budget authority (total)	329	337 383
1930	Total budgetary resources available	329	339 383
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	11	13 7
3010	New obligations, unexpired accounts	327	339 383
3020	Outlays (gross)	-325	-345 -387
3050	Unpaid obligations, end of year	13	7 3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	11	13 7
3200	Obligated balance, end of year	13	7 3
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	329	337 383
Outlays, gross:			
4100	Outlays from new mandatory authority	224	337 383
4101	Outlays from mandatory balances	101	8 4
4110	Outlays, gross (total)	325	345 387
4180	Budget authority, net (total)	329	337 383
4190	Outlays, net (total)	325	345 387
Memorandum (non-add) entries:			
5080	Outstanding debt, SOY	-4,566	-4,418 -4,434
5081	Outstanding debt, EOY	-4,418	-4,434 -4,600
5082	Borrowing	-1,870	-2,004 -2,290

The trust fund consists of all monies collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These monies are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of Part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, Treasury, and Health and Human Services. The Emergency Economic Stabilization Act of 2008, enacted on October 3, 2008, authorized restructuring of the Black Lung Disability Trust Fund (BLDTF) debt by (1) extending the current coal excise tax rates of \$1.10 per ton on underground-mined coal and \$0.55 per ton on surface-mined coal until December 31, 2018; (2) providing a one-time appropriation for the BLDTF to repay the market value of parts of the outstanding repayable advances and accrued interest; and (3) refinancing the remainder of the outstanding debt through the issuance of zero-coupon bonds, to be retired using the BLDTF's annual operating surplus until all of its remaining obligations have been paid.

Note.— Between January 1, 2019 and December 31, 2019, the coal excise tax rates on underground-mined coal were \$0.50 per ton or 2 percent of the sales price (whichever is lower) and \$0.25 per ton or 2 percent of the sales price (whichever is lower) on surface-mined coal. Congress restored the tax rates on underground-mined coal of \$1.10 per ton or 2 percent of the sales price (whichever is lower) and \$0.55 per ton or 2 percent of the sales price (whichever is lower) on surface-mined coal from January 1, 2020 to December 31, 2020 in the Further Consolidated Appropriations Act, 2020.

BLACK LUNG DISABILITY TRUST FUND WORKLOAD

	2019 actual	2020 proj.	2021 proj.
Number of Claims Received	6,806	7,000	7,000
Number of Trust Fund Beneficiaries	13,257	12,800	12,350
Number of Beneficiaries Paid by Responsible Operators	5,386	5,650	5,900

Status of Funds (in millions of dollars)

Identification code 016-8144-0-7-601	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	-4,231	-4,339	-4,360
0999 Total balance, start of year	-4,231	-4,339	-4,360
Cash income during the year:			
Current law:			
Receipts:			
1110 Transfer from General Fund, Black Lung Benefits Revenue Act Taxes	217	322	215
1150 Miscellaneous Interest, Black Lung Disability Trust Fund	1	2	2
1199 Income under present law	218	324	217
1999 Total cash income	218	324	217
Cash outgo during year:			
Current law:			
2100 Black Lung Disability Trust Fund [Budget Acct]	-325	-345	-387
2199 Outgo under current law	-325	-345	-387
2999 Total cash outgo (-)	-325	-345	-387
Surplus or deficit:			
3110 Excluding interest	-108	-23	-172
3120 Interest	1	2	2
3199 Subtotal, surplus or deficit	-107	-21	-170
3298 Rounding adjustment	-1
3299 Total adjustments	-1
3999 Total change in fund balance	-108	-21	-170
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-4,339	-4,360	-4,530
4999 Total balance, end of year	-4,339	-4,360	-4,530

Object Classification (in millions of dollars)

Identification code 016-8144-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	67	67	74
42.0 Insurance claims and indemnities	196	195	219
43.0 Interest and dividends	64	77	90
99.9 Total new obligations, unexpired accounts	327	339	383

SPECIAL WORKERS' COMPENSATION EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 016-9971-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1
Receipts:			
Current law:			
1110 Longshoremen's and Harbor Workers Compensation Act, Receipts, Special Workers'	94	106	103
1110 Workmen's Compensation Act within District of Columbia, Receipts, Special Workers'	5	6	6
1140 Interest, Special Workers' Compensation Expenses	1	1	1
1199 Total current law receipts	100	113	110
1999 Total receipts	100	113	110
2000 Total: Balances and receipts	100	113	111
Appropriations:			
Current law:			
2101 Special Workers' Compensation Expenses	-2	-2	-4
2101 Special Workers' Compensation Expenses	-98	-110	-105
2199 Total current law appropriations	-100	-112	-109
2999 Total appropriations	-100	-112	-109
5099 Balance, end of year	1	2

SPECIAL WORKERS' COMPENSATION EXPENSES—Continued
Program and Financing (in millions of dollars)

Identification code 016-9971-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Longshore and Harbor Workers' Compensation Act, as amended	100	106	103
0002 District of Columbia Compensation Act	6	6	6
0900 Total new obligations, unexpired accounts	106	112	109
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	67	61	61
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	2	2	4
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	98	110	105
1900 Budget authority (total)	100	112	109
1930 Total budgetary resources available	167	173	170
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	61	61	61
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	5
3010 New obligations, unexpired accounts	106	112	109
3020 Outlays (gross)	-106	-110	-112
3050 Unpaid obligations, end of year	3	5	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	5
3200 Obligated balance, end of year	3	5	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	4
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	4
Mandatory:			
4090 Budget authority, gross	98	110	105
Outlays, gross:			
4100 Outlays from new mandatory authority	80	108	105
4101 Outlays from mandatory balances	24	3
4110 Outlays, gross (total)	104	108	108
4180 Budget authority, net (total)	100	112	109
4190 Outlays, net (total)	106	110	112
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	70	64	58
5001 Total investments, EOY: Federal securities: Par value	64	58	52

The trust fund consists of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and—pursuant to an annual assessment of the industry—for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act (LHWCA), as amended.

The trust fund is available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments, after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the LHWCA for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

Object Classification (in millions of dollars)

Identification code 016-9971-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	2	2	4
42.0 Insurance claims and indemnities	104	110	105
99.9 Total new obligations, unexpired accounts	106	112	109

WAGE AND HOUR DIVISION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Wage and Hour Division, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, **[\$242,000,000] \$244,283,000.** (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0143-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Wage and Hour (Direct and H-1B)	229	242	244
0801 Salaries and Expenses (Reimbursable)	3	3	3
0900 Total new obligations, unexpired accounts	232	245	247
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	229	242	244
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1900 Budget authority (total)	232	245	247
1930 Total budgetary resources available	232	245	247
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	14	21
3010 New obligations, unexpired accounts	232	245	247
3020 Outlays (gross)	-234	-238	-244
3041 Recoveries of prior year unpaid obligations, expired	-6
3050 Unpaid obligations, end of year	14	21	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	14	21
3200 Obligated balance, end of year	14	21	24
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	232	245	247
Outlays, gross:			
4010 Outlays from new discretionary authority	220	226	227
4011 Outlays from discretionary balances	14	12	17
4020 Outlays, gross (total)	234	238	244
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-3	-3	-3
4180 Budget authority, net (total)	229	242	244
4190 Outlays, net (total)	231	235	241

The Wage and Hour Division enforces the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act (FLSA), the Migrant and Seasonal Agricultural Worker Protection Act (MSPA), the Family and Medical Leave Act (FMLA), certain provisions of the Immigration and Nationality Act (INA), the wage garnishment provisions in Title III of the Consumer Credit Protection Act (CCPA), and the Employee Polygraph Protection Act (EPPA). The Division also determines prevailing wages and enforces employment standards under various Government contract wage standards, including the Davis-Bacon and Related Acts (DBRA) and the McNamara-O'Hara Service Contract Act (SCA). Collectively, these labor standards cover most private, state, and local government employment. They protect over 143,000,000 workers in more

than 9,800,000 establishments throughout the United States and its territories.

Object Classification (in millions of dollars)

Identification code 016-0143-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	116	120	120
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	119	124	124
12.1 Civilian personnel benefits	41	42	43
21.0 Travel and transportation of persons	4	4	5
23.1 Rental payments to GSA	13	14	13
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	2	3	3
25.2 Other services from non-Federal sources	1	1	2
25.3 Other goods and services from Federal sources	37	44	44
25.7 Operation and maintenance of equipment	6	5	5
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	229	242	244
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	232	245	247

Employment Summary

Identification code 016-0143-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,264	1,382	1,387

H-1 B AND L FRAUD PREVENTION AND DETECTION

Program and Financing (in millions of dollars)

Identification code 016-5393-0-2-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 H-1 B and L Fraud Prevention and Detection	48	50	53
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	11	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	52	52	53
1203 Appropriation (previously unavailable)(special or trust)	3	3	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3	-3	
1260 Appropriations, mandatory (total)	52	52	56
1930 Total budgetary resources available	59	63	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	13	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	48	50	53
3020 Outlays (gross)	-48	-50	-53
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	52	52	56
Outlays, gross:			
4100 Outlays from new mandatory authority		43	53
4101 Outlays from mandatory balances	48	7	
4110 Outlays, gross (total)	48	50	53
4180 Budget authority, net (total)	52	52	56
4190 Outlays, net (total)	48	50	53

The Wage and Hour Division has traditionally had responsibility for enforcing certain worker protections provisions of the Immigration and Nationality Act, specifically the H-2A and H-1B temporary non-immigrant foreign worker programs. Pursuant to an Interagency Agreement (IAA) between the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) and section 214(c)(14)(B) of the Immigration and Nationality Act (INA), 8 U.S.C. 1184(c)(14)(B), DOL and WHD have been delegated the enforcement authority located at section 214(c)(14)(A)(i) of the INA, 8 U.S.C. 1184(c)(14)(A)(i) for enforcing the H-2B temporary non-immigrant foreign worker program. Under section 524 of H.R. 3288, the Secretary of Labor may use one-third of the H-1B and L Fraud Protection and Detection fee account for enforcement of these temporary worker program provisions and for related enforcement activities.

Object Classification (in millions of dollars)

Identification code 016-5393-0-2-505	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	22	22	22
11.9 Total personnel compensation	22	22	22
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	1		3
25.3 Other goods and services from Federal sources	17	20	20
99.9 Total new obligations, unexpired accounts	48	50	53

Employment Summary

Identification code 016-5393-0-2-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	228	214	214

OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Federal Contract Compliance Programs, **[\$105,976,000] \$106,412,000.** (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0148-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Federal contractor EEO standards enforcement	103	106	106
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	103	106	106
1930 Total budgetary resources available	103	106	106
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	23	23
3010 New obligations, unexpired accounts	103	106	106
3020 Outlays (gross)	-98	-106	-109
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	23	23	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	23	23
3200 Obligated balance, end of year	23	23	20
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	103	106	106
Outlays, gross:			
4010 Outlays from new discretionary authority	86	96	96
4011 Outlays from discretionary balances	12	10	13
4020 Outlays, gross (total)	98	106	109
4180 Budget authority, net (total)	103	106	106

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 016-0148-0-1-505	2019 actual	2020 est.	2021 est.
4190 Outlays, net (total)	98	106	109

The Office of Federal Contract Compliance Programs (OFCCP) enforces, for the benefit of job seekers and wage earners, the contractual promise of affirmative action and equal employment opportunity required of those who do business with the Federal government. OFCCP administers Executive Order 11246, as amended, which prohibits employment discrimination on the basis of race, religion, color, sex, sexual orientation, gender identity, and/or national origin; Section 503 of the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990 (ADA), as amended, which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against protected veterans. OFCCP monitors contractors' compliance through reporting requirements and compliance evaluations. The 2021 Budget proposes building on comprehensive contractor compliance, improving compliance assistance, contractor training and education and increasing transparency and consistency through OFCCP regulations. In 2021, OFCCP will also strengthen the management and security of its program data, taking steps to address agency modernization needs from an enterprise perspective. In collaboration with the Department's Office of the Chief Information Officer, OFCCP will migrate its databases to the Department's IT Platform to strengthen security and improve reporting and interagency collaboration.

Object Classification (in millions of dollars)

Identification code 016-0148-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	52	52
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	49	53	53
12.1 Civilian personnel benefits	16	17	17
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	6	6	6
25.1 Advisory and assistance services	2	1	1
25.2 Other services from non-Federal sources	7	2	2
25.3 Other goods and services from Federal sources	14	17	17
25.7 Operation and maintenance of equipment	6	7	7
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	103	106	106

Employment Summary

Identification code 016-0148-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	478	496	496

OFFICE OF LABOR MANAGEMENT STANDARDS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Office of Labor-Management Standards, **[\$43,187,000]** \$50,410,000. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0150-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Labor-management standards	42	43	50

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	43	50
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from ETA UI State Admin 17/18 (016-0179)	1		
1900 Budget authority (total)	42	43	50
1930 Total budgetary resources available	42	43	50

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	4
3010 New obligations, unexpired accounts	42	43	50
3020 Outlays (gross)	-42	-41	-47
3050 Unpaid obligations, end of year	2	4	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	4
3200 Obligated balance, end of year	2	4	7

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	42	43	50
Outlays, gross:			
4010 Outlays from new discretionary authority	40	39	45
4011 Outlays from discretionary balances	2	2	2
4020 Outlays, gross (total)	42	41	47
4180 Budget authority, net (total)	42	43	50
4190 Outlays, net (total)	42	41	47

The mission of the Office of Labor-Management Standards (OLMS) is to ensure that the nation's labor union members are protected by ensuring that unions are operated with transparency, integrity and democracy. It is OLMS' goal to facilitate oversight and offer transparency to the union members. It does so by receiving and disclosing reports filed by unions, union officers and employees, employers, labor consultants, and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports. To ensure financial integrity, OLMS audits union financial records and investigates possible embezzlements of union funds. To ensure democracy, OLMS conducts union officer election investigations and supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA. In addition, OLMS administers a statutory program to certify employee protection provisions are included in grants and contracts under various Federally-sponsored transportation programs.

In FY 2021, the restoration of the OLMS core enforcement program will advance union democracy, transparency, and financial integrity protections by increasing the number of compliance audits to 535 to include all levels of union governance, conducting 365 criminal investigations, and expanding compliance assistance efforts. In addition, OLMS will ensure that federally sponsored transportation grants are processed in a timely manner and that they provide requisite protection to employees against adverse impacts that could result from projects funded with federal assistance. OLMS will also be included in the implementation of the Department's Enterprise Shared Services Delivery model for IT Services in support of the President's Management Agenda and will realign two (2) FTE to the Office of the Chief Information Officer for that initiative.

Object Classification (in millions of dollars)

Identification code 016-0150-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	20	24
11.5 Other personnel compensation		1	1
11.9 Total personnel compensation	21	21	25
12.1 Civilian personnel benefits	7	8	10
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	7	7	7
25.7 Operation and maintenance of equipment	2	2	2

99.9	Total new obligations, unexpired accounts	42	43	50
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Employment Summary

Identification code 016-0150-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	189	193	238

**OCCUPATIONAL SAFETY AND HEALTH
ADMINISTRATION**

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, **[\$581,787,000] \$576,813,000**, including not to exceed \$108,575,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act (the "Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$499,000 per fiscal year of training institute course tuition and fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: *Provided*, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, **[2020] 2021**, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred ("DART") occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

- (1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;
- (2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;
- (3) to take any action authorized by the Act with respect to imminent dangers;
- (4) to take any action authorized by the Act with respect to health hazards;
- (5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; and
- (6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act:

Provided further, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That \$11,537,000 shall be available for Susan Harwood training grants, of which not less than \$4,500,000 is for Susan Harwood Training Capacity Building Developmental grants, as described in Funding Opportunity Number SHTG-FY-16-02 (referenced in the notice of availability of funds published in the Federal Register on May 3, 2016 (81 Fed. Reg. 30568)) for program activities starting not later than September 30, 2020 and lasting for a period of 12 months: *Provided further*, That not less than \$3,500,000 shall be for Voluntary Protection Programs. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0400-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Safety and health standards	18	18	18
0002 Federal enforcement	209	222	224
0003 Whistleblower protection	17	19	20
0004 State programs	102	109	109
0005 Technical support	25	24	25
0006 Federal compliance assistance	74	74	75
0007 State consultation grants	60	61	61
0008 Training grants	10	12
0009 Safety and health statistics	33	33	35
0010 Executive direction and administration	9	9	10
0799 Total direct obligations	557	581	577
0801 Salaries and Expenses (Reimbursable)	2	3	3
0900 Total new obligations, unexpired accounts	559	584	580

Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	558	582	577
1120 Appropriations transferred to DM Salaries and Expenses [016-0165]	-1	-1
1160 Appropriation, discretionary (total)	557	581	577
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1900 Budget authority (total)	559	584	580
1930 Total budgetary resources available	559	584	580

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	68	66	85
3010 New obligations, unexpired accounts	559	584	580
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-553	-565	-578
3041 Recoveries of prior year unpaid obligations, expired	-9
3050 Unpaid obligations, end of year	66	85	87
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	68	66	85
3200 Obligated balance, end of year	66	85	87

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	559	584	580
Outlays, gross:			
4010 Outlays from new discretionary authority	500	508	505
4011 Outlays from discretionary balances	53	57	73
4020 Outlays, gross (total)	553	565	578
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-2	-3	-3
4180 Budget authority, net (total)	557	581	577
4190 Outlays, net (total)	551	562	575

Safety and Health Standards.—This activity provides for the protection of workers' safety and health through the development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health Act of 1970 (OSH Act). Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is cost effective when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the Small Business Regulatory Enforcement Fairness Act of 1996 (SBREFA) process, that small business concerns are taken into account in the process of developing standards.

Federal Enforcement.—This activity provides for the protection of employees through the enforcement of workplace standards promulgated under the OSH Act, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. OSHA's enforcement strategy ranges from a selective targeting

SALARIES AND EXPENSES—Continued

of inspections and related compliance activities to a focus on specific high-hazard industries and worksites. Enforcement is prioritized by the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury and illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards.

Whistleblower Programs.—This activity provides for the enforcement of twenty-three whistleblower protection statutes, including Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection, participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. In addition to the OSH Act, this activity includes administration of twenty-two other whistleblower protection statutes that protect employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

State Programs.—This activity supports states that assume responsibility for administering occupational safety and health programs under State Plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to 50 percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State programs that are at least as effective as the Federal OSHA program. State programs, like Federal OSHA, provide a mix of enforcement, outreach, training, and compliance assistance activities.

Technical Support.—This activity provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or manmade disasters. This activity also provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness.

Federal Compliance Assistance.—This activity supports a broad range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on high-hazard industries, small business, and other hard-to-reach workers. OSHA works with employers and employees through cooperative programs, such as the Voluntary Protection Programs to recognize employers with exemplary safety and health programs, and Alliances and Strategic Partnerships, which commit organizations to proactively collaborate with OSHA. This activity also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including online.

State Compliance Assistance: Consultation Grants.—This activity supports 90 percent federally funded cooperative agreements with designated State agencies to provide free on-site safety and health consultation to small- and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments in high-hazard industries. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

Safety and Health Statistics.—This activity supports the agency's information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical

basis for OSHA's programs and field operations. These services are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

Executive Direction and Administration.—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

PROGRAM STATISTICS

	2019 actual	2020 est.	2021 est.
Inspections:			
Federal inspections	33,401	33,293	33,793
State program inspections	41,849	42,686	43,966
Whistleblower cases	3,092	3,200	3,400
Consultation Visits	26,213	26,475	27,004

Object Classification (in millions of dollars)

Identification code 016-0400-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	184	192	195
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	3	5	5
11.9 Total personnel compensation	188	197	200
12.1 Civilian personnel benefits	62	65	67
21.0 Travel and transportation of persons	8	9	9
23.1 Rental payments to GSA	24	25	25
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	1		1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	72	14	13
25.3 Other goods and services from Federal sources	69	73	73
25.7 Operation and maintenance of equipment	11	8	10
26.0 Supplies and materials	2	1	2
31.0 Equipment	3	3	3
41.0 Grants, subsidies, and contributions	113	182	170
99.0 Direct obligations	557	581	577
99.0 Reimbursable obligations	2	3	3
99.9 Total new obligations, unexpired accounts	559	584	580

Employment Summary

Identification code 016-0400-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,838	1,884	1,896
2001 Reimbursable civilian full-time equivalent employment	4	4	4

MINE SAFETY AND HEALTH ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Mine Safety and Health Administration, **[\$379,816,000] \$381,587,000**, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities and not less than \$10,537,000 for State assistance grants: *Provided*, That notwithstanding 31 U.S.C. 3302, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities: *Provided further*, That notwithstanding 31 U.S.C. 3302, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities: *Provided further*, That the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private: *Provided further*, That the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations: *Provided further*,

That the Secretary is authorized to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization: *Provided further*, That any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-1200-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Coal	156		
0002 Metal/non-metal	96		
0003 Standards development	5	5	5
0004 Assessments	8	7	8
0005 Educational policy and development	39	39	39
0006 Technical support	34	34	34
0007 Program administration	17	17	17
0008 Program evaluation & information resources	19	19	22
0009 Mine Safety and Health Enforcement		259	257
0799 Total direct obligations	374	380	382
0801 Salaries and Expenses (Reimbursable)	2	3	3
0900 Total new obligations, unexpired accounts	376	383	385
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	374	380	382
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1900 Budget authority (total)	376	383	385
1930 Total budgetary resources available	376	383	385
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37	47	40
3010 New obligations, unexpired accounts	376	383	385
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-364	-390	-385
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	47	40	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	37	47	40
3200 Obligated balance, end of year	47	40	40
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	376	383	385
Outlays, gross:			
4010 Outlays from new discretionary authority	333	349	351
4011 Outlays from discretionary balances	31	41	34
4020 Outlays, gross (total)	364	390	385
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2	-3	-3
4180 Budget authority, net (total)	374	380	382
4190 Outlays, net (total)	362	387	382

Enforcement.—The enforcement strategy in 2021 will be an integrated approach toward the prevention of mining accidents, injuries, and occupational illnesses, combining the Coal and Metal/non-metal activities. This includes inspection of mines and other activities as mandated by the Federal Mine Safety and Health Act of 1977 (Mine Act), as amended by the Mine Improvement and New Emergency Response Act of 2006 (MINER Act), special emphasis initiatives that focus on persistent safety and health hazards, promulgation of federal mine safety and health standards, investigation of serious accidents, and on-site education and training. The desired outcome of these enforcement efforts is to prevent death, disease, and injury from mining and promote safe and healthful workplaces for the Nation's miners.

Standards.—This activity develops standards and regulations for the mining industry that protect the safety and health of miners.

Office of Assessments.—This activity assesses and collects civil monetary penalties for violations of safety and health standards and manages MSHA's accountability, special enforcement, and investigation functions.

Educational Policy and Development.—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Mine Health and Safety Academy for MSHA personnel, other governmental personnel, and the mining industry.

Technical Support.—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementing the Mine Act and the MINER Act. Technical Support conducts engineering analyses of complex mining plans, assists in mine emergency operations, and administers a fee program to approve equipment, materials, and explosives for use in mines. It performs field and laboratory audits of equipment previously approved by MSHA and collects and analyzes data relative to the cause, frequency, and circumstances of mine accidents.

Program Evaluation and Information Resources (PEIR).—This activity provides program evaluation and information technology resource management services for the agency.

Program Administration.—This activity performs general administrative functions and is responsible for meeting performance requirements and developing MSHA's performance plan and Annual Performance Report.

PROGRAM STATISTICS

	2019 Actual	2020 Est.	2021 Est.
Enforcement per 200,000 hours worked by employees:			
Fatality Rates			
All-MSHA fatality rates	0.0101	TBD	TBD
Coal Mines	0.0168	TBD	TBD
Metal/non-metal mines	0.0065	TBD	TBD
Regulations promulgated	1	1	1
Assessments:			
Violations assessed	99,666	95,500	95,500
Educational Policy and Development:			
Course days	1,002	700	700
Technical Support:			
Equipment approvals	364	350	350
Laboratory samples analyzed	127,164	128,000	128,000

Object Classification (in millions of dollars)

Identification code 016-1200-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	166	170	170
11.3 Other than full-time permanent		1	1
11.5 Other personnel compensation	4	4	5
11.9 Total personnel compensation	170	175	176
12.1 Civilian personnel benefits	68	70	70
21.0 Travel and transportation of persons	10	10	10
22.0 Transportation of things	6	6	6
23.1 Rental payments to GSA	17	16	16
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services from non-Federal sources	5	5	5
25.3 Other goods and services from Federal sources	49	65	65
25.4 Operation and maintenance of facilities	6	2	2
25.7 Operation and maintenance of equipment	19	11	13
26.0 Supplies and materials	4	3	3
31.0 Equipment	5	3	2
32.0 Land and structures	1		
41.0 Grants, subsidies, and contributions	11	11	11
99.0 Direct obligations	374	380	382
99.0 Reimbursable obligations	2	3	3
99.9 Total new obligations, unexpired accounts	376	383	385

Employment Summary

Identification code 016-1200-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,866	1,826	1,826

BUREAU OF LABOR STATISTICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, **[\$587,000,000,] \$590,318,000**, together with not to exceed \$68,000,000 which may be expended from the Employment Security Administration account in the Unemployment Trust Fund.

Within this amount, **[\$27,000,000] \$13,000,000** to remain available until September 30, 2024, for costs associated with the physical move of the Bureau of Labor Statistics' headquarters, including replication of space, furniture, fixtures, equipment, and related costs, as well as relocation of the data center to a shared facility. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0200-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Labor force statistics	276	288	296
0002 Prices and cost of living	210	210	219
0003 Compensation and working conditions	83	84	84
0004 Productivity and technology	10	11	11
0006 Executive direction and staff services	35	35	35
0007 Headquarters Relocation		27	13
0799 Total direct obligations	614	655	658
0801 Salaries and Expenses (Reimbursable)	32	35	35
0900 Total new obligations, unexpired accounts	646	690	693
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	550	587	590
Spending authority from offsetting collections, discretionary:			
1700 Collected	97	103	103
1900 Budget authority (total)	647	690	693
1930 Total budgetary resources available	647	691	694
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	110	118	88
3010 New obligations, unexpired accounts	646	690	693
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-635	-720	-692
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	118	88	89
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	110	118	88
3200 Obligated balance, end of year	118	88	89
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	647	690	693
Outlays, gross:			
4010 Outlays from new discretionary authority	541	608	611
4011 Outlays from discretionary balances	94	112	81
4020 Outlays, gross (total)	635	720	692
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-96	-102	-102
4033 Non-Federal sources	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-97	-103	-103
4070 Budget authority, net (discretionary)	550	587	590
4080 Outlays, net (discretionary)	538	617	589
4180 Budget authority, net (total)	550	587	590
4190 Outlays, net (total)	538	617	589

Labor Force Statistics.—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the nation, states, and local areas. Makes studies of the labor force. Publishes data on employment and wages, by industry. Provides economic projections, including changes in

the level and structure of the economy, as well as employment projections by industry and by occupational category.

	2019 act.	2020 est.	2021 est.
Labor Force Statistics (selected items):			
Employment and wages for NAICS industries (quarterly series)	3,600,000	3,600,000	3,600,000
Employment and unemployment estimates for States and local areas (monthly and annual series)	102,600	108,200	108,500
Occupational Employment Statistics (annual series)	133,148	113,000	113,000
Industry projections	205	205	205
Detailed occupations covered in the Occupational Outlook Handbook	576	567	558

Prices and Cost of Living.—Publishes the Consumer Price Index (CPI), the Producer Price Index, U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change.

	2019 act.	2020 est.	2021 est.
Consumer Price Indexes published (monthly)	8,500	8,500	8,500
Percentage of CPI monthly releases on schedule	100%	100%	100%
Producer Price Indexes published (monthly)	10,611	10,800	10,800
U.S. Import and Export Price Indexes published (monthly)	1,009	990	960

Compensation and Working Conditions.—Publishes data on employee compensation, including information on wages, salaries, and employer-provided benefits, by occupation for major labor markets and industries. Publishes information on work stoppages. Compiles annual information to estimate the number and incidence rate of work-related injuries, illnesses, and fatalities.

	2019 act.	2020 est.	2021 est.
Compensation and working conditions (major items):			
Employment Cost Index: number of establishments	11,400	11,400	11,400
Occupational safety and health: number of establishments	232,433	232,433	230,000

Productivity and Technology.—Publishes data on labor and multifactor productivity trends for major sectors of the economy and individual industries, as well as data on hours worked, labor compensation, and unit labor costs. Analyzes trends in order to examine the factors underlying changes in productivity to understand the relationships between productivity, wages, prices, profits, and employment, to compare trends in efficiency across industries, and to examine the effects of technological improvements.

	2019 act.	2020 est.	2021 est.
Studies, articles, and special reports	17	17	17
Series updated	4,175	4,217	4,487

Executive Direction and Staff Services.—Provides agency-wide policy and management direction, including all centralized program support services in the administrative, publications, information technology, field operations, and statistical methods research areas necessary to produce and release statistical and research output in a reliable, secure, timely, and effective manner.

Headquarters Relocation.—Reflects the funding required for BLS to relocate its National Office Headquarters and data center to new locations. The current lease for the BLS national office in Washington, DC, at the Postal Square Building expires in May 2022. Funding appropriated to this activity is available to obligate through September 30, 2024.

Object Classification (in millions of dollars)

Identification code 016-0200-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	185	189	196
11.3 Other than full-time permanent	13	14	14
11.5 Other personnel compensation	4	4	6
11.9 Total personnel compensation	202	207	216
12.1 Civilian personnel benefits	65	68	70
21.0 Travel and transportation of persons	6	6	6
23.1 Rental payments to GSA	38	38	38
23.3 Communications, utilities, and miscellaneous charges	2	4	4
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	15	8	9
25.3 Other goods and services from Federal sources	123	158	149
25.5 Research and development contracts	13	12	12
25.7 Operation and maintenance of equipment	67	61	64
26.0 Supplies and materials	1	1	1
31.0 Equipment	9	13	10
41.0 Grants, subsidies, and contributions	72	78	78
99.0 Direct obligations	614	655	658

99.0	Reimbursable obligations	32	35	35
99.9	Total new obligations, unexpired accounts	646	690	693

Employment Summary

Identification code 016-0200-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,946	1,941	1,977
2001 Reimbursable civilian full-time equivalent employment	155	167	167

DEPARTMENTAL MANAGEMENT

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for Departmental Management, including the hire of three passenger motor vehicles, **[\$348,056,000]** \$271,644,000, together with not to exceed \$308,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund: *Provided*, That \$67,325,000 for the Bureau of International Labor Affairs shall be available for obligation through December 31, 2020; *Provided [further]*, That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements, and to manage grants that were awarded prior to December 31, 2020; *Provided further*, That not more than \$53,825,000 shall be for programs to combat exploitative child labor internationally and not less than \$13,500,000 shall be used to implement model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs; *Provided further*, That \$8,040,000 shall be used for program evaluation and shall be available for obligation through September 30, **[2021]** 2022; *Provided further*, That funds available for program evaluation may be used to administer grants for the purpose of evaluation; *Provided further*, That grants made for the purpose of evaluation shall be awarded through fair and open competition; *Provided further*, That funds available for program evaluation may be transferred to any other appropriate account in the Department for such purpose; *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer; *Provided further*, That the funds available to the Women's Bureau may be used for grants to serve and promote the interests of women in the workforce; *Provided further*, That of the amounts made available to the Women's Bureau, not less than \$1,294,000 shall be used for grants authorized by the Women in Apprenticeship and Nontraditional Occupations Act. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0165-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program direction and support	30	30	32
0002 Legal services	133	132	139
0003 International labor affairs	80	139	19
0004 Administration and management	29	29	29
0005 Adjudication	56	58	62
0007 Women's bureau	14	14	3
0008 Civil rights	6	7	7
0009 Chief Financial Officer	5	5	6
0011 Departmental Program Evaluation	10	22	8
0192 Total Direct Program - Subtotal	363	436	305
0799 Total direct obligations	363	436	305
0801 Reimbursable - SOL	14	15	15
0804 Reimbursable - OASAM	12	12	12
0899 Total reimbursable obligations	26	27	27
0900 Total new obligations, unexpired accounts	389	463	332
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	53
1011 Unobligated balance transfer from ETA-CSEOA to DPE [016-0175]	1	1

1011 Unobligated balance transfer from ETA-TES to DPE [016-0174]	1
1050 Unobligated balance (total)	47	54
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Regular)	338	348	272
1121 Appropriations transferred from OSHA to OASAM [016-0400]	1	1
1160 Appropriation, discretionary (total)	339	349	272
Advance appropriations, discretionary:			
1173 Advance appropriations transferred from ETA-TES Advances to DPE [016-0174]	2
Spending authority from offsetting collections, discretionary:			
1700 Collected	56	58	60
1701 Change in uncollected payments, Federal sources	1
1750 Spending auth from offsetting collections, disc (total)	57	58	60
1900 Budget authority (total)	396	409	332
1930 Total budgetary resources available	443	463	332
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	53

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	262	244	297
3010 New obligations, unexpired accounts	389	463	332
3011 Obligations ("upward adjustments"), expired accounts	3
3020 Outlays (gross)	-404	-410	-346
3041 Recoveries of prior year unpaid obligations, expired	-6
3050 Unpaid obligations, end of year	244	297	283
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	261	242	295
3200 Obligated balance, end of year	242	295	281

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	396	409	332
Outlays, gross:			
4010 Outlays from new discretionary authority	280	292	242
4011 Outlays from discretionary balances	124	118	104
4020 Outlays, gross (total)	404	410	346
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-56	-58	-60
4033 Non-Federal sources	-3
4040 Offsets against gross budget authority and outlays (total) ...	-59	-58	-60
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1
4052 Offsetting collections credited to expired accounts	3
4060 Additional offsets against budget authority only (total)	2
4070 Budget authority, net (discretionary)	339	351	272
4080 Outlays, net (discretionary)	345	352	286
4180 Budget authority, net (total)	339	351	272
4190 Outlays, net (total)	345	352	286

Program Direction and Support.—Provides leadership and direction for all programs and functions assigned to the Department of Labor (DOL). Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

Legal Services.—Provides the Secretary of Labor and departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases in judicial and administrative forums; providing assistance to the Department of Justice in case preparation and trials for which the agency is lead counsel; providing legal advice regarding rules, orders, written interpretations, opinions, and legislation related to DOL program agencies; assisting in the development and defense of rules, regulations, opinions, and legislation

SALARIES AND EXPENSES—Continued

regarding DOL program agencies; and providing legal opinions and advice to all agencies of the Department.

International Labor Affairs.—ILAB promotes a fair global playing field for workers in the United States and around the world by enforcing trade and labor commitments, strengthening labor standards, and combatting child labor, forced labor and human trafficking. ILAB supports the Department's goals of improving job opportunities and working conditions in the United States through its international engagement.

Administration and Management.—Exercises leadership in all departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

Adjudication.—Renders timely decisions on appeals of claims filed before four different components, which include the Office of Administrative Law Judges, the Administrative Review Board, the Benefits Review Board, and the Employees' Compensation Appeals Board.

Women's Bureau.—Develops policies and standards, and conducts inquiries related to the interests of working women.

Civil Rights.—Ensures compliance with certain Federal civil rights statutes and Executive Orders, and their implementing regulations, including Titles VI and VII of the Civil Rights Act of 1964, Sections 504 and 508 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, Section 188 of the Workforce Investment Act of 1998, and Section 188 of the Workforce Innovation and Opportunity Act. These laws apply to and protect Department of Labor (DOL) employees, DOL applicants for employment, and individuals who interact with DOL programs and activities.

Chief Financial Officer.—Created as a result of the CFO Act of 1990, provides financial management leadership and direction to all DOL program agencies on financial matters arising from legislative and regulatory mandates such as the CFO Act, GMRA, FFMIA, FMFIA, Clinger-Cohen, The Reports Consolidation Act, IPIA, Treasury Financial Manual guidance and OMB Circulars.

Program Evaluation.—The Office of the Chief Evaluation Officer is charged with coordinating and overseeing rigorous evaluations of the Department of Labor's programs, and ensuring high standards in evaluations undertaken and funded by the Department of Labor. Provides for the centralization of evaluation activities; builds evaluation capacity and expertise within the Department; ensures the independence of the evaluation and research functions; and makes sure that evaluation and research findings are available and accessible in a timely and user-friendly way.

Object Classification (in millions of dollars)

Identification code 016-0165-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	150	156	153
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	3	3	3
Total personnel compensation	155	160	157
12.1 Civilian personnel benefits	47	48	46
21.0 Travel and transportation of persons	3	3	2
23.1 Rental payments to GSA	18	19	19
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.1 Advisory and assistance services	11	22	11
25.2 Other services from non-Federal sources	8	3	3
25.3 Other goods and services from Federal sources	46	57	51
25.4 Operation and maintenance of facilities	2	3	3
25.7 Operation and maintenance of equipment	12	3	3
26.0 Supplies and materials	2	2	2
31.0 Equipment	3	1	6
41.0 Grants, subsidies, and contributions	55	113
99.0 Direct obligations	363	436	305
99.0 Reimbursable obligations	26	27	27

99.9	Total new obligations, unexpired accounts	389	463	332
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Employment Summary

Identification code 016-0165-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,208	1,199	1,198
2001 Reimbursable civilian full-time equivalent employment	61	61	61

OFFICE OF DISABILITY EMPLOYMENT POLICY

SALARIES AND EXPENSES

For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, **[\$38,500,000]** \$27,100,000, of which not less than \$9,000,000 shall be used for research and demonstration projects related to testing effective ways to promote greater labor force participation of people with disabilities: Provided, That the Secretary may transfer amounts made available under this heading for research and demonstration projects to the "State Unemployment Insurance and Employment Service Operations" account for such purposes. (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016-0166-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Disability Employment Policy	38	38	27
0810 Reimbursable program activity	30
0900 Total new obligations, unexpired accounts	38	68	27
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	38	38	27
Spending authority from offsetting collections, discretionary:			
1700 Collected	30
1900 Budget authority (total)	38	68	27
1930 Total budgetary resources available	38	68	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	49	47	46
3010 New obligations, unexpired accounts	38	68	27
3020 Outlays (gross)	-39	-69	-31
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	47	46	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	49	47	46
3200 Obligated balance, end of year	47	46	42

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	38	68	27
Outlays, gross:			
4010 Outlays from new discretionary authority	13	43	9
4011 Outlays from discretionary balances	26	26	22
4020 Outlays, gross (total)	39	69	31
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-30
4040 Offsets against gross budget authority and outlays (total)	-30
4180 Budget authority, net (total)	38	38	27
4190 Outlays, net (total)	39	39	31

Office of Disability Employment Policy.—This agency provides national leadership in developing policy to eliminate barriers to employment faced by people with disabilities. ODEP works within the Department of Labor and in collaboration with other Federal, state and local agencies, private-sector employers, and employer associations to develop and disseminate evidence-based policy strategies and effective practices. ODEP also assists agencies and employers in adopting evidence-based policies and practices.

The goal of these efforts is to increase employment opportunities for and the workforce participation rate of people with disabilities.

Object Classification (in millions of dollars)

Identification code 016-0166-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	20	12	4
25.3 Other goods and services from Federal sources	2	3	2
41.0 Grants, subsidies, and contributions	7	14	12
99.0 Direct obligations	38	38	27
99.0 Reimbursable obligations		30	
99.9 Total new obligations, unexpired accounts	38	68	27

Employment Summary

Identification code 016-0166-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	46	46	46

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$85,187,000.] \$87,833,000** together with not to exceed \$5,660,000 which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016-0106-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program and Trust Funds	90	91	94
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (Program Activities)	83	86	88
1121 Appropriations transferred from [016-0174]	1		
1160 Appropriation, discretionary (total)	84	86	88
Spending authority from offsetting collections, discretionary:			
1700 Collected	6	6	6
1900 Budget authority (total)	90	92	94
1930 Total budgetary resources available	91	93	96
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	11	14
3010 New obligations, unexpired accounts	90	91	94
3020 Outlays (gross)	-90	-88	-94
3050 Unpaid obligations, end of year	11	14	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	11	14
3200 Obligated balance, end of year	11	14	14
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	90	92	94
Outlays, gross:			
4010 Outlays from new discretionary authority	80	78	80
4011 Outlays from discretionary balances	10	10	14
4020 Outlays, gross (total)	90	88	94
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-6	-6
4180 Budget authority, net (total)	84	86	88
4190 Outlays, net (total)	84	82	88

The Office of Inspector General (OIG) conducts audits, investigations, and evaluations that improve the effectiveness, efficiency, and economy of departmental programs and operations. It addresses DOL program fraud and labor racketeering in the American workplace, provides technical assistance to DOL program agencies, and advice to the Secretary and the Congress on how to attain the highest possible program performance. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are effective, and resources are safeguarded. It also ensures funds are expended in a manner consistent with laws and regulations, and with achieving the desired program results. The Office of Investigations-Labor Racketeering and Fraud conducts investigations to detect and deter fraud, waste, and abuse in departmental programs. It also identifies and reduces labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs. The OIG also works with other law enforcement partners on human trafficking matters.

	2019 actual	2020 est.	2021 est.
Number of Audits	22	25	25
Number of Investigations Completed	249	225	225

Object Classification (in millions of dollars)

Identification code 016-0106-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	41	42
11.3 Other than full-time permanent		2	2
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	46	48	49
12.1 Civilian personnel benefits	18	18	18
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	6	5	5
25.1 Advisory and assistance services	7	6	6
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	8	8	8
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials			1
31.0 Equipment	2	2	2
99.9 Total new obligations, unexpired accounts	90	91	94

Employment Summary

Identification code 016-0106-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	333	334	336

VETERANS EMPLOYMENT AND TRAINING

Not to exceed **[\$256,341,000] \$257,000,000** may be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of chapters 41, 42, and 43 of title 38, United States Code, of which:

- (1) \$180,000,000 is for Jobs for Veterans State grants under 38 U.S.C. 4102A(b)(5) to support disabled veterans' outreach program specialists under section 4103A of such title and local veterans' employment representatives under section 4104(b) of such title, and for the expenses described in section 4102A(b)(5)(C), which shall be available for [obligation] expenditure by the States through **[December 31, 2020] September 30, 2023**, and not to exceed 3 percent for the necessary Federal expenditures for data systems and contract support to allow for the tracking of participant and performance information: *Provided*, That, in addition, such funds may be used to support such specialists and representatives in the provision of services to transitioning members of the Armed Forces who have participated in the Transition Assistance Program and have been identified as in need of intensive services, to members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities or warrior transition units, **[and]** to the spouses or other family caregivers of such wounded, ill, or injured members, *and to Gold Star spouses*;
- (2) \$29,379,000 is for carrying out the Transition Assistance Program under 38 U.S.C. 4113 and 10 U.S.C. 1144;
- (3) **[\$43,548,000] \$44,207,000** is for Federal administration of chapters 41, 42, and 43 of title 38, and sections 2021, 2021A and 2023 of title 38, United States

VETERANS EMPLOYMENT AND TRAINING—Continued

Code: *Provided*, That, up to \$500,000 may be used to carry out the Hire VETS Act (division O of Public Law 115–31); and

(4) \$3,414,000 is for the National Veterans' Employment and Training Services Institute under 38 U.S.C. 4109:

Provided, That the Secretary may reallocate among the appropriations provided under paragraphs (1) through (4) above an amount not to exceed 3 percent of the appropriation from which such reallocation is made.

In addition, from the General Fund of the Treasury, \$55,000,000 is for carrying out programs to assist homeless veterans and veterans at risk of homelessness who are transitioning from certain institutions under sections 2021, 2021A, and 2023 of title 38, United States Code: *Provided*, That notwithstanding subsections (c)(3) and (d) of section 2023, the Secretary may award grants through September 30, [2020] 2021, to provide services under such section: *Provided further*, That services provided under sections 2021 or under 2021A may include, in addition to services to homeless veterans described in section 2002(a)(1), services to veterans who were homeless at some point within the 60 days prior to program entry or veterans who are at risk of homelessness within the next 60 days, and that services provided under section 2023 may include, in addition to services to the individuals described in subsection (e) of such section, services to veterans recently released from incarceration who are at risk of homelessness: *Provided further*, That notwithstanding paragraph (3) under this heading, funds appropriated in this paragraph may be used for data systems and contract support to allow for the tracking of participant and performance information: *Provided further*, That notwithstanding sections 2021(e)(2) and 2021A(f)(2) of title 38, United States Code, such funds shall be available for expenditure pursuant to 31 U.S.C. 1553.

In addition, fees may be assessed and deposited in the HIRE Vets Medallion Award Fund pursuant to section 5(b) of the HIRE Vets Act, and such amounts shall be available to the Secretary to carry out the HIRE Vets Medallion Award Program, as authorized by such Act, and shall remain available until expended: *Provided*, That such sums shall be in addition to any other funds available for such purposes, including funds available under paragraph (3) of this heading: *Provided further*, That section 2(d) of division O of the Consolidated Appropriations Act, 2017 (Public Law 115–31; 38 U.S.C. 4100 note) shall not apply. (*Department of Labor Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 016–0164–0–1–702	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Jobs for Veterans State grants	179	180	180
0004 Transition Assistance Program	23	29	29
0005 Federal Management	43	44	45
0006 National Veterans' Training Institute	4	3	3
0007 Homeless veterans program	50	55	55
0900 Total new obligations, unexpired accounts	299	311	312
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	50	55	55
Spending authority from offsetting collections, discretionary:			
1700 Collected	250	256	257
1900 Budget authority (total)	300	311	312
1930 Total budgetary resources available	300	312	313
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	119	129	124
3010 New obligations, unexpired accounts	299	311	312
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	–282	–316	–320
3041 Recoveries of prior year unpaid obligations, expired	–9		
3050 Unpaid obligations, end of year	129	124	116
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	119	129	124
3200 Obligated balance, end of year	129	124	116
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	300	311	312
Outlays, gross:			
4010 Outlays from new discretionary authority	189	213	213

4011	Outlays from discretionary balances	93	103	107
4020	Outlays, gross (total)	282	316	320
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–250	–256	–257
4033	Non-Federal sources	–2		
4040	Offsets against gross budget authority and outlays (total) ...	–252	–256	–257
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	2		
4070	Budget authority, net (discretionary)	50	55	55
4080	Outlays, net (discretionary)	30	60	63
4180	Budget authority, net (total)	50	55	55
4190	Outlays, net (total)	30	60	63

Jobs for Veterans State grants.—The Jobs for Veterans Act (JVA) of 2002 provides the foundation for this budget activity. The JVA requires the Veterans' Employment and Training Service (VETS) to act on behalf of the Secretary in the promulgation of policies and regulations that ensure maximum employment and training opportunities for veterans and priority of service for veterans (38 U.S.C. 4215) within the State workforce delivery system for employment and training programs funded in whole or in part by the U.S. Department of Labor. Under the JVA, grants are allocated to the States according to the statutory formula to support Disabled Veterans' Outreach Program (DVOP) specialists and Local Veterans' Employment Representative (LVERs) staff.

DVOP specialists (38 U.S.C. 4103A) provide intensive services to meet the employment needs of eligible veterans. DVOP specialists place maximum emphasis on assisting veterans with significant barriers to employment.

LVER staff (38 U.S.C. 4104) conduct outreach to employers, employer associations, and business groups to promote the advantages of hiring veterans. LVERs also facilitate employment, training, and placement services provided to veterans under the applicable State employment service delivery system, including American Job Centers by educating all workforce partner staff on current employment initiatives and programs for veterans. In addition, each LVER provides reports to the manager of the State employment service delivery system and to the State Director for Veterans Employment and Training (38 U.S.C. 4103) regarding the State's compliance with Federal law and regulations with respect to special services and priorities for eligible veterans.

Transition Assistance Program (TAP).—This program provides employment workshops for separating service members and their spouses to prepare these individuals for entry into the civilian workforce and job market. Its primary goal is to facilitate the transition from military to civilian employment. VETS coordinates with federal agencies including the Departments of Defense, Veterans Affairs, and Homeland Security to provide transition services to military service members separating from active duty. The 2019 National Defense Authorization Act instructed responsible agencies to improve TAP and directed DOL to deliver a mandatory one-day employment planning workshop for all transitioning service members, as well as optional days of instruction on general employment preparation and Vocational Training for transitioning service members interested in apprenticeship opportunities and technical careers.

National Veterans' Training Institute (NVTI).—NVTI develops and supplies competency-based training to Federal and State providers of services to veterans (38 U.S.C. 4109). NVTI is administered through a contract and supported by dedicated funds. NVTI ensures that these service providers receive a comprehensive foundation so they can effectively assist job-seeking veterans.

Homeless Veterans' Reintegration Program (HVRP).—HVRP (38 U.S.C. 2021) provides grants to States or other public entities, as well as to non-profits, including faith-based organizations. Grantees operate employment programs to assist homeless veterans reintegrate into meaningful employment and stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans. VETS partners with the Departments of Veterans Affairs and Housing and Urban

Development to promote multi-agency-funded programs that integrate the different services needed by homeless veterans. HVRP grants are provided for both urban and rural areas.

Federal management.—VETS' Federal management budget activity supports the Federal administration of 38 U.S.C. 41, 42, and 43. This allows VETS to carry out programs and develop policies to provide employment and training opportunities designed to meet the needs of veterans (38 U.S.C. 4102–4115). It also enables VETS to discharge its responsibilities to administer, interpret, and help enforce the Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA), 38 U.S.C. 4301–4335, by providing technical assistance and investigating complaints received from veterans and service members who believe their employment and reemployment rights were violated. This budget activity enables VETS to investigate complaints received from veterans who claim a violation of their veterans' preference rights in Federal hiring pursuant to the Veterans' Employment Opportunities Act of 1998 (VEOA), 5 U.S.C. 3330a. VETS' Federal Contractor Program (VETS-4212) is also supported under this activity, pursuant to 38 U.S.C. 4212. These responsibilities involve the administration of a system whereby Federal contractors submit reports setting forth their affirmative action efforts to hire and retain eligible veterans.

Resources under the Federal management activity are also used to evaluate the job training and employment assistance services provided to veterans under the Jobs for Veterans State Grants (38 U.S.C. 4102A(b)(5)), and the Homeless Veterans Reintegration (38 U.S.C. 2021). VETS personnel provide technical assistance to grantees to ensure they meet negotiated and mandated performance goals and other grant provisions.

Federal management supports the oversight and development of policies for TAP (10 U.S.C. 1144 and 38 U.S.C. 4113). Through outreach and education efforts, such as job fairs, VETS staff raise the awareness of employers about the benefits of hiring veterans. The activities of the Advisory Committee for Veterans Employment, Training, and Employer Outreach (38 U.S.C. 4110) also are supported through this budget activity. In addition, through fee collection, the federal management activity fund administrative processes associated with the HIRE VETS Medallion program.

Object Classification (in millions of dollars)

Identification code 016–0164–0–1–702	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	22	24	25
11.9 Total personnel compensation	22	24	25
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	1	1	1
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	30	35	35
25.3 Other goods and services from Federal sources	9	11	11
25.7 Operation and maintenance of equipment	1	2	2
41.0 Grants, subsidies, and contributions	226	228	228
99.0 Direct obligations	299	311	312
99.9 Total new obligations, unexpired accounts	299	311	312

Employment Summary

Identification code 016–0164–0–1–702	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	212	221	223

HIRE VETS MEDALLION AWARD FUND

The HIRE Vets Medallion Act (Division O of Public Law 115–31) establishes a program funded by employer application fees to recognize efforts by employers who recruit, employ, and retain veterans.

IT MODERNIZATION

For necessary expenses for Department of Labor centralized infrastructure technology investment activities related to support systems and modernization, **[\$25,269,000] \$37,000,000**, which shall be available through September 30, **[2021] 2022.** (Department of Labor Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 016–0162–0–1–505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Departmental Support Systems	8	7	5
0002 IT Infrastructure Modernization	20	20	32
0100 Direct program activities, subtotal	28	27	37
0900 Total new obligations, unexpired accounts	28	27	37
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	25	37
1930 Total budgetary resources available	30	27	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	18	23
3010 New obligations, unexpired accounts	28	27	37
3020 Outlays (gross)	–28	–22	–29
3041 Recoveries of prior year unpaid obligations, expired	–1
3050 Unpaid obligations, end of year	18	23	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	18	23
3200 Obligated balance, end of year	18	23	31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	25	37
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	15
4011 Outlays from discretionary balances	18	12	14
4020 Outlays, gross (total)	28	22	29
4180 Budget authority, net (total)	23	25	37
4190 Outlays, net (total)	28	22	29

Departmental Support Systems.—This activity represents a permanent, centralized IT investment fund for the Department of Labor managed by the Chief Information Officer. The fund supports enterprise-wide IT security enhancements that facilitate a centrally managed IT environment with increased risk mitigation parameters to protect the integrity of DOL data and network availability. These efforts are achieved through several new and ongoing projects mandated by executive and congressional directives.

IT Infrastructure Modernization.—This Chief Information Officer-managed activity funds the effort to transform nine major independently funded and managed IT infrastructure silos at the sub-agency level into a unified IT infrastructure. The unified infrastructure will be centrally managed and provide all agencies with general purpose business productivity tools, a shared environment for common data sources, and the underlying IT services to support it.

Object Classification (in millions of dollars)

Identification code 016–0162–0–1–505	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	5	8	13
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	18	15	20
31.0 Equipment	4	3	3
99.9 Total new obligations, unexpired accounts	28	27	37

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 016-4601-0-4-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Financial and administrative services (includes Core Financial)	142	212	165
0802 Field services	41	42	42
0804 Human resources services	34	38	38
0805 Telecommunications	25
0806 Non-DOL Reimbursables	3	2	2
0808 Information technology services	158
0900 Total new obligations, unexpired accounts	403	294	247
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	53	19
1011 Unobligated balance transfer from other acct [047-0616]	3	1
1012 Unobligated balance transfers between expired and unexpired accounts	3
1021 Recoveries of prior year unpaid obligations	9	8	8
1033 Recoveries of prior year paid obligations	1
1050 Unobligated balance (total)	45	62	27
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	389	251	251
1701 Change in uncollected payments, Federal sources	22
1750 Spending auth from offsetting collections, disc (total)	411	251	251
1900 Budget authority (total)	411	251	251
1930 Total budgetary resources available	456	313	278
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	53	19	31
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	168	146	164
3010 New obligations, unexpired accounts	403	294	247
3020 Outlays (gross)	-416	-268	-268
3040 Recoveries of prior year unpaid obligations, unexpired	-9	-8	-8
3050 Unpaid obligations, end of year	146	164	135
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-23	-23
3070 Change in uncollected pymts, Fed sources, unexpired	-22
3090 Uncollected pymts, Fed sources, end of year	-23	-23	-23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	167	123	141
3200 Obligated balance, end of year	123	141	112
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	411	251	251
Outlays, gross:			
4010 Outlays from new discretionary authority	176	176
4011 Outlays from discretionary balances	416	92	92
4020 Outlays, gross (total)	416	268	268
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-389	-251	-251
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-390	-251	-251
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-22
4053 Recoveries of prior year paid obligations, unexpired accounts	1
4060 Additional offsets against budget authority only (total)	-21
4080 Outlays, net (discretionary)	26	17	17
4180 Budget authority, net (total)
4190 Outlays, net (total)	26	17	17

Financial and Administrative Services.—Provides a program of centralized services at both the national and regional levels supporting financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space,

property and supplies, printing and reproduction, and energy management. In addition, support is provided for the operation and maintenance of the New Core Financial Management System.

Information Technology Services.—The 2021 Budget includes a request to establish an Information Technology Working Capital Fund (IT WCF). This IT WCF would include all activities currently financed through the WCF, as well as the development and operational costs for agency-specific applications currently funded directly by agencies. Shifting these activities into an IT WCF has no impact on total spending at the Department.

Field Services.—Provides a full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

Human Resources Services.—Provides leadership, guidance, and technical expertise in all areas related to the management of the Department's human resources, including recruitment, development, and retention of staff, and leadership in labor-management cooperation. This activity's focus is on a strategic planning process that will result in sustained leadership and assistance to DOL agencies in recruiting, developing and retaining a high quality, diverse workforce that effectively meets the changing mission requirements and program priorities of the Department.

Non-DOL Reimbursements.—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services and support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

Financing.—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave.

Object Classification (in millions of dollars)

Identification code 016-4601-0-4-505	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	82	100	80
11.5 Other personnel compensation	2
11.9 Total personnel compensation	84	100	80
12.1 Civilian personnel benefits	35	41	34
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	11	11	8
23.3 Communications, utilities, and miscellaneous charges	27	7	1
25.1 Advisory and assistance services	49	35	33
25.2 Other services from non-Federal sources	30	18	30
25.3 Other goods and services from Federal sources	17	16	17
25.4 Operation and maintenance of facilities	18	18	18
25.7 Operation and maintenance of equipment	116	40	20
26.0 Supplies and materials	2	2	2
31.0 Equipment	13	5	3
99.9 Total new obligations, unexpired accounts	403	294	247

Employment Summary

Identification code 016-4601-0-4-505	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	750	759	759

WORKING CAPITAL FUND—IT

Program and Financing (in millions of dollars)

Identification code 016-4606-0-4-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 IT Operations	159	159
0802 Telecommunications	27	27

0813	Agency Applications	32	32
0900	Total new obligations, unexpired accounts	218	218
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected	218	218
1930	Total budgetary resources available	218	218
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	218	218
3020	Outlays (gross)	-218	-218
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	218	218
Outlays, gross:			
4010	Outlays from new discretionary authority	218	218
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-218	-218
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

The 2021 Budget includes a request to establish an Information Technology (IT) Working Capital Fund (WCF). This IT WCF would include all activities currently financed through the WCF, as well as the development and operational costs for agency-specific applications currently funded directly by agencies. Shifting these activities into an IT WCF has no impact on total spending at the Department.

IT Operations.—Provides a program of centralized services for information technology at DOL and funds the operations and maintenance of IT at the Department. The activity also funds IT modernization, which includes consolidating, integrating, and updating the IT infrastructure to include DOL legacy systems and applications; building cloud-based and mobile capabilities; implementing a DOL-wide data strategy and analytics program; and enhancing the security of IT infrastructure.

Telecommunications.—Provides resources for Networx and DOLNet payments to the General Services Administration (GSA). In partnership with GSA, the Department is transitioning to the Enterprise Infrastructure Solutions (EIS) model. The EIS Program will provide telecommunications services to replace the Networx and DOLNet services, as well as regional local service agreements.

Agency Applications.—Provides resources for programmatic IT spending. This will include operations and maintenance spending as well as the development, modernization, and enhancement investments.

Object Classification (in millions of dollars)			
Identification code 016-4606-0-4-505		2019 actual	2020 est. 2021 est.
11.1	Reimbursable obligations: Personnel compensation: Full-time permanent		40 40
11.9	Total personnel compensation		40 40
12.1	Civilian personnel benefits		15 15
23.1	Rental payments to GSA		3 3
23.3	Communications, utilities, and miscellaneous charges		27 27
25.1	Advisory and assistance services		10 10
25.3	Other goods and services from Federal sources		2 2
25.7	Operation and maintenance of equipment		106 106
31.0	Equipment		15 15
99.9	Total new obligations, unexpired accounts		218 218

Employment Summary			
Identification code 016-4606-0-4-505		2019 actual	2020 est. 2021 est.
2001	Reimbursable civilian full-time equivalent employment		290 290

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
016-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified		1 1
016-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	14 17	17 17
	General Fund Offsetting receipts from the public	14 18	18 18
Intragovernmental payments:			
016-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	1	
	General Fund Intragovernmental payments	1	

GENERAL PROVISIONS

SEC. 101. None of the funds appropriated by this Act for the Job Corps shall be used to pay the salary and bonuses of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

(TRANSFER OF FUNDS)

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between a program, project, or activity, but no such program, project, or activity shall be increased by more than 3 percent by any such transfer: *Provided*, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: *Provided further*, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 103. In accordance with Executive Order 13126, none of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended for the procurement of goods mined, produced, manufactured, or harvested or services rendered, in whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of this Act.

SEC. 104. Except as otherwise provided in this section, none of the funds made available to the Department of Labor for grants under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 (29 U.S.C. [2916a] 3224a) may be used for any purpose other than competitive grants for training individuals [who are older than 16 years of age and are not currently enrolled in school within a local educational agency] in the occupations and industries for which employers are using H-1B visas to hire foreign workers, and the related activities necessary to support such training.

SEC. 105. None of the funds made available by this Act under the heading "Employment and Training Administration" shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment and Training Administration programs.

(TRANSFER OF FUNDS)

SEC. 106. (a) Notwithstanding section 102, the Secretary may transfer funds made available to the Employment and Training Administration by this Act, either directly or through a set-aside, for technical assistance services to grantees to "Program Administration" when it is determined that those services will be more efficiently performed by Federal employees: *Provided*, That this section shall not apply to section 171 of the WIOA.

(b) Notwithstanding section 102, the Secretary may transfer not more than 0.5 percent of each discretionary appropriation made available to the Employment and Training Administration by this Act to "Program Administration" in order to carry out program integrity activities [relating to] that lead to a reduction in improper payments or prevent the unauthorized use of funds in any of the programs or activities that are funded under any such discretionary appropriations: *Provided*, That notwithstanding section 102 and the preceding proviso, the Secretary may transfer not more than 0.5 percent of funds made available in paragraphs (1) and

(2) of the "Office of Job Corps" account to paragraph (3) of such account to carry out program integrity activities [related to] *that lead to a reduction in improper payments or prevent the unauthorized use of funds in the Job Corps program: Provided further, That funds transferred under this subsection shall be available to the Secretary to carry out program integrity activities directly or through grants, cooperative agreements, contracts and other arrangements with States and other appropriate entities: Provided further, That funds transferred under the authority provided by this subsection shall be available for obligation through September 30, [2021] 2022.*

(TRANSFER OF FUNDS)

SEC. 107. (a) The Secretary may reserve not more than 0.75 percent from each appropriation made available in this Act identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor, and shall be available for obligation through September 30, [2021] 2022: *Provided, That such funds shall only be available if the Chief Evaluation Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any transfer.*

(b) The accounts referred to in subsection (a) are: "Training and Employment Services", "Job Corps", "Community Service Employment for Older Americans", "State Unemployment Insurance and Employment Service Operations", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", "Office of Disability Employment Policy", funding made available to the "Bureau of International Labor Affairs" and "Women's Bureau" within the "Departmental Management, Salaries and Expenses" account, and "Veterans Employment and Training".

SEC. 108. (a) Section 7 of the Fair Labor Standards Act of 1938 (29 U.S.C. 207) shall be applied as if the following text is part of such section:

"(s)(1) The provisions of this section shall not apply for a period of 2 years after the occurrence of a major disaster to any employee—

"(A) employed to adjust or evaluate claims resulting from or relating to such major disaster, by an employer not engaged, directly or through an affiliate, in underwriting, selling, or marketing property, casualty, or liability insurance policies or contracts;

"(B) who receives from such employer on average weekly compensation of not less than \$591.00 per week or any minimum weekly amount established by the Secretary, whichever is greater, for the number of weeks such employee is engaged in any of the activities described in subparagraph (C); and

"(C) whose duties include any of the following:

"(i) interviewing insured individuals, individuals who suffered injuries or other damages or losses arising from or relating to a disaster, witnesses, or physicians;

"(ii) inspecting property damage or reviewing factual information to prepare damage estimates;

"(iii) evaluating and making recommendations regarding coverage or compensability of claims or determining liability or value aspects of claims;

"(iv) negotiating settlements; or

"(v) making recommendations regarding litigation.

"(2) The exemption in this subsection shall not affect the exemption provided by section 13(a)(1).

"(3) For purposes of this subsection—

"(A) the term "major disaster" means any disaster or catastrophe declared or designated by any State or Federal agency or department;

"(B) the term "employee employed to adjust or evaluate claims resulting from or relating to such major disaster" means an individual who timely secured or secures a license required by applicable law to engage in and perform the activities described in clauses (i) through (v) of paragraph (1)(C) relating to a major disaster, and is employed by an employer that maintains worker compensation insurance coverage or protection for its employees, if required by applicable law, and withholds applicable Federal, State, and local income and payroll taxes from the wages, salaries and any benefits of such employees; and

"(C) the term "affiliate" means a company that, by reason of ownership or control of 25 percent or more of the outstanding shares of any class of voting securities of one or more companies, directly or indirectly, controls, is controlled by, or is under common control with, another company."

(b) This section shall be effective on the date of enactment of this Act.

SEC. 109. (a) FLEXIBILITY WITH RESPECT TO THE CROSSING OF H-2B NONIMMIGRANTS WORKING IN THE SEAFOOD INDUSTRY.—

(1) IN GENERAL.—Subject to paragraph (2), if a petition for H-2B nonimmigrants filed by an employer in the seafood industry is granted, the employer may bring the nonimmigrants described in the petition into the United States at any time during the 120-day period beginning on the start date for which the employer is seeking the services of the nonimmigrants without filing another petition.

(2) REQUIREMENTS FOR CROSSINGS AFTER 90TH DAY.—An employer in the seafood industry may not bring H-2B nonimmigrants into the United States after the date that is 90 days after the start date for which the employer is seeking the services of the nonimmigrants unless the employer—

(A) completes a new assessment of the local labor market by—

(i) listing job orders in local newspapers on 2 separate Sundays; and

(ii) posting the job opportunity on the appropriate Department of Labor Electronic Job Registry and at the employer's place of employment; and

(B) offers the job to an equally or better qualified United States worker who—

(i) applies for the job; and

(ii) will be available at the time and place of need.

(3) EXEMPTION FROM RULES WITH RESPECT TO STAGGERING.—The Secretary of Labor shall not consider an employer in the seafood industry who brings H-2B nonimmigrants into the United States during the 120-day period specified in paragraph (1) to be staggering the date of need in violation of section 655.20(d) of title 20, Code of Federal Regulations, or any other applicable provision of law.

(b) H-2B NONIMMIGRANTS DEFINED.—In this section, the term "H-2B nonimmigrants" means aliens admitted to the United States pursuant to section 101(a)(15)(H)(ii)(B) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(B)).

SEC. 110. The determination of prevailing wage for the purposes of the H-2B program shall be the greater of—(1) the actual wage level paid by the employer to other employees with similar experience and qualifications for such position in the same location; or (2) the prevailing wage level for the occupational classification of the position in the geographic area in which the H-2B nonimmigrant will be employed, based on the best information available at the time of filing the petition. In the determination of prevailing wage for the purposes of the H-2B program, the Secretary shall accept private wage surveys even in instances where Occupational Employment Statistics survey data are available unless the Secretary determines that the methodology and data in the provided survey are not statistically supported.]

SEC. 111. None of the funds in this Act shall be used to enforce the definition of corresponding employment found in 20 CFR 655.5 or the three-fourths guarantee rule definition found in 20 CFR 655.20, or any references thereto. Further, for the purpose of regulating admission of temporary workers under the H-2B program, the definition of temporary need shall be that provided in 8 CFR 214.2(h)(6)(ii)(B).]

SEC. [112] 110. Notwithstanding any other provision of law, the Secretary may furnish through grants, cooperative agreements, contracts, and other arrangements, up to \$2,000,000 of excess personal property, at a value determined by the Secretary, to apprenticeship programs for the purpose of training apprentices in those programs.

SEC. [113] 111. (a) The Act entitled "An Act to create a Department of Labor", approved March 4, 1913 (37 Stat. 736, chapter 141) shall be applied as if the following text is part of such Act:

"(a) IN GENERAL.—The Secretary of Labor is authorized to employ law enforcement officers or special agents to—

"(1) provide protection for the Secretary of Labor during the workday of the Secretary and during any activity that is preliminary or postliminary to the performance of official duties by the Secretary;

"(2) provide protection, incidental to the protection provided to the Secretary, to a member of the immediate family of the Secretary who is participating in an activity or event relating to the official duties of the Secretary;

"(3) provide continuous protection to the Secretary (including during periods not described in paragraph (1)) and to the members of the immediate family of the Secretary if there is a unique and articulable threat of physical harm, in accordance with guidelines established by the Secretary; and

"(4) provide protection to the Deputy Secretary of Labor or another senior officer representing the Secretary of Labor at a public event if there is a unique and articulable threat of physical harm, in accordance with guidelines established by the Secretary.

"(b) AUTHORITIES.—The Secretary of Labor may authorize a law enforcement officer or special agent employed under subsection (a), for the purpose of performing the duties authorized under subsection (a), to—

"(1) carry firearms;

"(2) make arrests without a warrant for any offense against the United States committed in the presence of such officer or special agent;

"(3) perform protective intelligence work, including identifying and mitigating potential threats and conducting advance work to review security matters relating to sites and events;

"(4) coordinate with local law enforcement agencies; and

"(5) initiate criminal and other investigations into potential threats to the security of the Secretary, in coordination with the Inspector General of the Department of Labor.

"(c) COMPLIANCE WITH GUIDELINES.—A law enforcement officer or special agent employed under subsection (a) shall exercise any authority provided under this section in accordance with any—

"(1) guidelines issued by the Attorney General; and

"(2) guidelines prescribed by the Secretary of Labor."

(b) This section shall be effective on the date of enactment of this Act.

SEC. 114. The Secretary is authorized to dispose of or divest, by any means the Secretary determines appropriate, including an agreement or partnership to construct a new Job Corps center, all or a portion of the real property on which the Treasure Island Job Corps Center is situated. Any sale or other disposition will not be subject to any requirement of any Federal law or regulation relating to the disposition of Federal real property, including but not limited to subchapter III of chapter 5 of title 40 of the United States Code and subchapter V of chapter 119 of title 42 of the United States Code. The net proceeds of such a sale shall be transferred to the Secretary, which shall be available until expended to carry out the Job Corps Program on Treasure Island.

【(RESCISSION)】

【SEC. 115. Of the unobligated funds available under section 286(s)(2) of the Immigration and Nationality Act (8 U.S.C. 1356(s)(2)), \$150,000,000 are hereby rescinded.】

【SEC. 116. Funds made available in prior Acts under the heading "Department of Labor-Employment and Training Administration-State Unemployment Insurance and Employment Service Operations" for fiscal years 2015 through 2019 for automation acquisitions that are being carried out through consortia of States shall be available for expenditure for 6 fiscal years after the final fiscal year that such funds are available to incur new obligations.】

【SEC. 117. None of the funds made available by this Act may be used to—

(1) alter or terminate the Interagency Agreement between the United States Department of Labor and the United States Department of Agriculture; or

(2) close any of the Civilian Conservation Centers, except if such closure is necessary to prevent the endangerment of the health and safety of the students, the capacity of the program is retained, and the requirements of section 159(j) of the Workforce Innovation and Opportunity Act are met.】

【(TRANSFER OF FUNDS)】

【(TRANSFER OF FUNDS)】

(CANCELLATION)

SEC. 113. *Of the funds made available under the heading "Employment and Training Administration-Training and Employment Services" in division A of Public Law 116–94, \$60,000,000 is hereby permanently cancelled, to be derived from the amount made available in paragraph (2)(A) under such heading for the period October 1, 2020 through September 30, 2021.*

SEC. 114. *The Office of Workers' Compensation Programs' treatment suites and any program information prepared by the Office of Workers' Compensation Programs for treatment suites shall be exempt from disclosure under section 552(b)(3) of title 5, U.S. Code.*

SEC. 115. *Notwithstanding section 144(a)(1) of the WIOA, the Secretary shall prioritize the enrollment of applicants who are at least 20 years old into the Job Corps program.*

SEC. 116. *Notwithstanding any other provision of law, the Administrator of the General Services Administration may make a Job Corps center facility available for competitive public sale upon the Secretary's declaration that the property is excess to the needs of the Job Corps program.*

SEC. 117. *Notwithstanding the Federal Assets Sale and Transfer Act of 2016 (Public Law 114–287), the proceeds from the sale of any Job Corps facility under such Act shall be transferred to the Secretary pursuant to section 158(g) of the WIOA.*

SEC. 118. *Employers awarded the Hire VETS Medallion under the Hire VETS Act (38 U.S.C. 4100 note) shall be exempt from filing the report required by 38 U.S.C. 4212(d) in the year after receiving such award. (Department of Labor Appropriations Act, 2020.)*

TITLE V—GENERAL PROVISIONS

(TRANSFER OF FUNDS)

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act. Such transferred balances shall be used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503. (a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111–148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111–148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative and State-local relationships for presentation to any State or local legislature or legislative body itself, or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

(c) The prohibitions in subsections (a) and (b) shall include any activity to advocate or promote any proposed, pending or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$28,000 and \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$5,000 from the funds available for "Federal Mediation and Conciliation Service, Salaries and Expenses"; [and] the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$5,000 from funds available for "National Mediation Board, Salaries and Expenses"; and the Director of the Pension Benefit Guaranty Corporation is authorized to make available for official reception and representation expenses not to exceed \$5,000 from funds available for Pension Benefit Guaranty Corporation, Administrative Expenses".

SEC. 505. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state—

(1) the percentage of the total costs of the program or project which will be financed with Federal money;

(2) the dollar amount of Federal funds for the project or program; and

(3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 506. (a) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for any abortion.

(b) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 507. (a) The limitations established in the preceding section shall not apply to an abortion—

(1) if the pregnancy is the result of an act of rape or incest; or

(2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

(d)

(1) None of the funds made available in this Act may be made available to a Federal agency or program, or to a State or local government, if such agency, program, or government subjects any institutional or individual health care entity to discrimination on the basis that the health care entity does not provide, pay for, provide coverage of, or refer for abortions.

(2) In this subsection, the term "health care entity" includes an individual physician or other health care professional, a hospital, a provider-sponsored organization, a health maintenance organization, a health insurance plan, or any other kind of health care facility, organization, or plan.

SEC. 508. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.204(b) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 509. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive-congressional communications.

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 510. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

SEC. 511. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in 38 U.S.C. 4212(d) regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

SEC. 512. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act.]

SEC. [513] 512. None of the funds made available by this Act to carry out the Library Services and Technology Act may be made available to any library covered by paragraph (1) of section 224(f) of such Act, as amended by the Children's Internet Protection Act, unless such library has made the certifications required by paragraph (4) of such section.

SEC. 514. (a) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates new programs;

(2) eliminates a program, project, or activity;

(3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;

(4) relocates an office or employees;

(5) reorganizes or renames offices;

(6) reorganizes programs or activities; or

(7) contracts out or privatizes any functions or activities presently performed by Federal employees;

unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 15 days in advance of such reprogramming or of an announcement of intent relating to such reprogramming, whichever occurs earlier, and are notified in writing 10 days in advance of such reprogramming.

(b) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds in excess of \$500,000 or 10 percent, whichever is less, that—

(1) augments existing programs, projects (including construction projects), or activities;

(2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or

(3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress;

unless the Committees on Appropriations of the House of Representatives and the Senate are consulted 15 days in advance of such reprogramming or of an announcement of intent relating to such reprogramming, whichever occurs earlier, and are notified in writing 10 days in advance of such reprogramming.]

SEC. [515] 513. (a) None of the funds made available in this Act may be used to request that a candidate for appointment to a Federal scientific advisory committee disclose the political affiliation or voting history of the candidate or the position that the candidate holds with respect to political issues not directly related to and necessary for the work of the committee involved.

(b) None of the funds made available in this Act may be used to disseminate information that is deliberately false or misleading.

SEC. 516. Within 45 days of enactment of this Act, each department and related agency funded through this Act shall submit an operating plan that details at the program, project, and activity level any funding allocations for fiscal year 2020 that are different than those specified in this Act, the accompanying detailed table in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) or the fiscal year 2020 budget request.]

SEC. 517. The Secretaries of Labor, Health and Human Services, and Education shall each prepare and submit to the Committees on Appropriations of the House of Representatives and the Senate a report on the number and amount of contracts, grants, and cooperative agreements exceeding \$500,000, individually or in total for a particular project, activity, or programmatic initiative, in value and awarded by the Department on a non-competitive basis during each quarter of fiscal year 2020, but not to include grants awarded on a formula basis or directed by law. Such report shall include the name of the contractor or grantee, the amount of funding, the governmental purpose, including a justification for issuing the award on a non-competitive basis. Such report shall be transmitted to the Committees within 30 days after the end of the quarter for which the report is submitted.]

SEC. [518] 514. None of the funds appropriated in this Act shall be expended or obligated by the Commissioner of Social Security, for purposes of administering Social Security benefit payments under title II of the Social Security Act, to process any claim for credit for a quarter of coverage based on work performed under a social security account number that is not the claimant's number and the performance of such work under such number has formed the basis for a conviction of the claimant of a violation of section 208(a)(6) or (7) of the Social Security Act.

SEC. [519] 515. None of the funds appropriated by this Act may be used by the Commissioner of Social Security or the Social Security Administration to pay the compensation of employees of the Social Security Administration to administer Social Security benefit payments, under any agreement between the United States and Mexico establishing totalization arrangements between the social security system established by title II of the Social Security Act and the social security system of Mexico, which would not otherwise be payable but for such agreement.

SEC. [520] 516. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. [521] 517. None of the funds made available under this or any other Act, or any prior Appropriations Act, may be provided to the Association of Community Organizations for Reform Now (ACORN), or any of its affiliates, subsidiaries, allied organizations, or successors.

【SEC. 522. For purposes of carrying out Executive Order 13589, Office of Management and Budget Memorandum M-12-12 dated May 11, 2012, and requirements contained in the annual appropriations bills relating to conference attendance and expenditures:

(1) the operating divisions of HHS shall be considered independent agencies; and

(2) attendance at and support for scientific conferences shall be tabulated separately from and not included in agency totals.】

【SEC. 523. Federal agencies funded under this Act shall clearly state within the text, audio, or video used for advertising or educational purposes, including emails or Internet postings, that the communication is printed, published, or produced and disseminated at U.S. taxpayer expense. The funds used by a Federal agency to carry out this requirement shall be derived from amounts made available to the agency for advertising or other communications regarding the programs and activities of the agency.】

SEC. [524] 518. (a) Federal agencies may use Federal discretionary funds that are made available in this Act to carry out up to 10 Performance Partnership Pilots. Such Pilots shall be governed by the provisions of section 526 of division H of Public Law 113-76, except that in carrying out such Pilots section 526 shall be applied by substituting "Fiscal Year [2020]2021" for "Fiscal Year 2014" in the title of subsection (b) and by substituting "September 30, [2024]2025" for "September 30, 2018" each place it appears: *Provided*, That such pilots shall include communities that have experienced civil unrest.

(b) In addition, Federal agencies may use Federal discretionary funds that are made available in this Act to participate in Performance Partnership Pilots that are being carried out pursuant to the authority provided by section 526 of division H of Public Law 113-76, section 524 of division G of Public Law 113-235, section 525 of division H of Public Law 114-113, section 525 of division H of Public Law 115-31, [and] section 525 of division H of Public Law 115-141, and section 524 of division A of Public Law 116-94.

(c) Pilot sites selected under authorities in this Act and prior appropriations Acts may be granted by relevant agencies up to an additional 5 years to operate under such authorities.

【SEC. 525. Not later than 30 days after the end of each calendar quarter, beginning with the first month of fiscal year 2020, the Departments of Labor, Health and Human Services and Education and the Social Security Administration shall provide the Committees on Appropriations of the House of Representatives and Senate a report on the status of balances of appropriations: *Provided*, That for balances that are unobligated and uncommitted, committed, and obligated but unexpended, the monthly reports shall separately identify the amounts attributable to each source year of appropriation (beginning with fiscal year 2012, or, to the extent feasible, earlier fiscal years) from which balances were derived.】

【SEC. 526. The Departments of Labor, Health and Human Services, or Education shall provide to the Committees on Appropriations of the House of Representatives and the Senate a comprehensive list of any new or competitive grant award notifications, including supplements, issued at the discretion of such Departments not less than 3 full business days before any entity selected to receive a grant award is announced by the Department or its offices (other than emergency response grants at any time of the year or for grant awards made during the last 10 business days of the fiscal year, or if applicable, of the program year).】

SEC. [527] 519. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to purchase sterile needles or syringes for the hypodermic injection of any illegal drug: *Provided*, That such limitation does not apply to the use of funds for elements of a program other than making such purchases if the relevant State or local health department, in consultation with the Centers for Disease Control and Prevention, determines that the State or local jurisdiction, as applicable, is experiencing, or is at risk for, a significant increase in hepatitis infections or an HIV outbreak due to injection drug use, and such program is operating in accordance with State and local law.

【SEC. 528. Each department and related agency funded through this Act shall provide answers to questions submitted for the record by members of the Committee within 45 business days after receipt.】

SEC. 520. (a) *Notwithstanding any other provision of law, none of the discretionary funds as defined by section 250(c)(7) of the Balanced Budget and Emergency Deficit*

Control Act of 1985 (2 U.S.C. 900(c)(7)) that are made available by this Act may be made available either directly, through a State (including through managed care contracts with a State), or through any other means, to a prohibited entity.

(b) *PROHIBITED ENTITY.* - *The term "prohibited entity" means an entity, including its affiliates, subsidiaries, successors, and clinics —*

(1) *that, as of the date of enactment of this Act —*

(A) *is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code;*

(B) *is an essential community provider described in section 156.235 of title 45, Code of Federal Regulations (as in effect on the date of enactment of this Act), that is primarily engaged in family planning services, reproductive health, and related medical care; and*

(C) *performs, or provides any funds to any other entity that performs, abortions, other than an abortion —*

(i) *if the pregnancy is the result of an act of rape or incest; or*

(ii) *in the case where a woman suffers from a physical disorder, physical injury, or the physical illness that would, as certified by a physician, place the woman in danger of death unless an abortion is performed, including a life-endangering physical condition caused by or arising from the pregnancy itself; and*

(2) *for which the total amount of Federal grant to such an entity, including grants to any affiliates, subsidiaries, or clinics, under title X of the Public Health Service Act in fiscal year 2018 exceeded \$23,000,000.*

(c)

(1) *END OF PROHIBITION.* - *The definition in subsection (b) shall cease to apply to an entity if such entity certifies that it, including its affiliates, subsidiaries, successors, and clinics, will not perform, and will not provide any funds to any other entity that performs, an abortion, as defined in subsection (b)(1)(C).*

(2) *REPAYMENT.* - *The Secretary of Health and Human Services shall seek repayment of any Federal assistance received by any entity that had made a certification described in paragraph (1) and subsequently violated the terms of such certification.*

SEC. 521. *EVALUATION FUNDING FLEXIBILITY.*

(a) *This section applies to:*

(1) *the Office of the Assistant Secretary for Planning and Evaluation within the Office of the Secretary and the Administration for Children and Families in the Department of Health and Human Services; and*

(2) *the Chief Evaluation Office and the statistical-related cooperative and interagency agreements and contracting activities of the Bureau of Labor Statistics in the Department of Labor.*

(b) *Amounts made available under this Act which are either appropriated, allocated, advanced on a reimbursable basis, or transferred to the functions and organizations identified in subsection (a) for research, evaluation, or statistical purposes shall be available for obligation through September 30, 2025. When an office referenced in subsection (a) receives research and evaluation funding from multiple appropriations, such offices may use a single Treasury account for such activities, with funding advanced on a reimbursable basis.*

(c) *Amounts referenced in subsection (b) that are unexpended at the time of completion of a contract, grant, or cooperative agreement may be deobligated and shall immediately become available and may be reobligated in that fiscal year or the subsequent fiscal year for the research, evaluation, or statistical purposes for which the amounts are made available to that account.*

(CANCELLATIONS)

【SEC. 529. Of any available amounts appropriated under section 2104(a)(23) of the Social Security Act (42 U.S.C. 1397dd) that are unobligated as of September 25, 2020, \$3,169,819,000 are hereby rescinded as of such date.】

【SEC. 530. Of amounts deposited in the Child Enrollment Contingency Fund prior to the beginning of fiscal year 2020 under section 2104(n)(2) of the Social Security Act and the income derived from investment of those funds pursuant to section 2104(n)(2)(C) of that Act, \$6,093,181,000 shall not be available for obligation in this fiscal year.】

SEC. 522. *Of the unobligated balances made available for purposes of carrying out section 2105(a)(3) of the Social Security Act, \$3,490,339,000 are hereby permanently cancelled.*

SEC. 523. *Of the unobligated balances made available by section 301(b)(3) of Public Law 114-10, \$5,185,187,000 are hereby permanently cancelled.*

SEC. 524. *Of the unobligated balances made available by section 2104(f) of the Social Security Act that are no longer available for the purposes described in such section, \$114,474,000 are hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)*

DEPARTMENT OF STATE AND OTHER INTERNATIONAL PROGRAMS

The Department of State, the U.S. Agency for International Development (USAID) and other International Programs promote the national security and economic prosperity of the United States by advancing diplomacy, security, and fair economic competition. The 2021 Budget provides the necessary resources for the Department of State and other international programs to advance the Nation's strategic objectives, including those outlined in the National Security Strategy of the United States. The Budget supports new tools to allow the United States to respond flexibly to international challenges and invests in new capabilities to defend American interests and values across the security, trade, and information domains. The 2021 Budget also restores fiscal discipline by eliminating ineffective programs and pursuing organizational reforms to increase agency effectiveness, as well as increasing burden-sharing to rebalance U.S. contributions to international organizations.

ADMINISTRATION OF FOREIGN AFFAIRS

DIPLOMATIC PROGRAMS

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, **[\$9,125,687,000, of which \$754,468,000 may] \$8,489,887,000, to remain available until September 30, [2021,] 2022, and of which up to [\$4,095,899,000 may] \$3,695,412,000 shall remain available until expended for Worldwide Security Protection: *Provided*, That [of the amount made available under this heading for Worldwide Security Protection, \$2,626,122,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That] funds made available under this heading shall be allocated in accordance with paragraphs (1) through (4) as follows:**

(1) **HUMAN RESOURCES.**—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948 (62 Stat. 11; Chapter 36), **[\$2,896,063,000] \$2,999,725,000, of which up to [\$509,782,000] \$543,687,000 is for Worldwide Security Protection.**

(2) **OVERSEAS PROGRAMS.**—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, **[\$1,840,143,000] \$1,500,293,000.**

(3) **DIPLOMATIC POLICY AND SUPPORT.**—For necessary expenses for the functional bureaus of the Department of State, including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation, and disarmament activities as authorized, **[\$780,057,000] \$816,911,000.**

(4) **SECURITY PROGRAMS.**—For necessary expenses for security activities, **[\$3,609,424,000] \$3,172,958,000, of which up to [\$3,586,117,000] \$3,151,725,000 is for Worldwide Security Protection.**

(5) **FEES AND PAYMENTS COLLECTED.**—In addition to amounts otherwise made available under this heading—

(A) as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and from fees from educational advising and counseling and exchange visitor programs; and

(B) not to exceed \$15,000, which shall be derived from reimbursements, surcharges, and fees for use of Blair House facilities.

(6) **TRANSFER OF FUNDS, REPROGRAMMING, AND OTHER MATTERS.**—

(A) Notwithstanding any other provision of this Act, funds may be reprogrammed within and between paragraphs (1) through (4) under this heading subject to section **[7015] 7011** of this Act.

(B) Of the amount made available under this heading, not to exceed \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized.

(C) *The Secretary of State is authorized to charge fees for the performance of appropriate museum visitor and outreach services in the public exhibition and related space utilized by the National Museum of American Diplomacy, including for programs and conference activities, museum shop, and food services: *Provided*, That fees collected shall be credited to this account as a recovery of costs of operating the National Museum of American Diplomacy and shall be available until expended.*

(D) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to section 1108(g) of title 31, United States Code, for the field examination of programs and activities in the United States funded from any account contained in this title.

(E) *Of the amount made available under this heading, not to exceed \$1,000,000 may be used to make grants to carry out the activities of the Cultural Antiquities Task Force.*

(7) **CLARIFICATION.**—References to the "Diplomatic and Consular Programs" account in any provision of law shall in this fiscal year, and each fiscal year thereafter, be construed to include the "Diplomatic Programs" account. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

ADMINISTRATION OF FOREIGN AFFAIRS

Federal Funds

H&L FRAUD PREVENTION AND DETECTION FEE

Program and Financing (in millions of dollars)

Identification code 019–5515–0–2–153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	57	70	70
0900 Total new obligations, unexpired accounts (object class 41.0)	57	70	70
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	133	129	110
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	134	129	110
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	52	51	51
1203 Appropriation (previously unavailable)(special or trust)	3	3	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3	-3
1260 Appropriations, mandatory (total)	52	51	54
1900 Budget authority (total)	52	51	54
1930 Total budgetary resources available	186	180	164
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	129	110	94
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	39	46	64
3010 New obligations, unexpired accounts	57	70	70
3020 Outlays (gross)	-49	-52	-94
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3050 Unpaid obligations, end of year	46	64	40
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39	46	64
3200 Obligated balance, end of year	46	64	40
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	31
Mandatory:			
4090 Budget authority, gross	52	51	54
Outlays, gross:			
4100 Outlays from new mandatory authority	20	29	26
4101 Outlays from mandatory balances	29	23	37
4110 Outlays, gross (total)	49	52	63
4180 Budget authority, net (total)	52	51	54
4190 Outlays, net (total)	49	52	94

ADMINISTRATION OF FOREIGN AFFAIRS—Continued
Program and Financing (in millions of dollars)

Identification code 019–0113–0–1–153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Human Resources	2,239	2,344	2,221
0002 Overseas Programs	911	803	980
0003 Overseas Programs - Public Diplomacy	367	420	301
0005 Diplomatic Policy and Support	899	930	765
0006 Security	24	25	22
0007 Security - Worldwide Security Protection	1,537	1,333	3,320
0008 Overseas Contingency Operations	3,190	2,965
0799 Total direct obligations	9,167	8,820	7,609
0801 Diplomatic and Consular Programs (Reimbursable)	1,420	1,420	1,420
0900 Total new obligations, unexpired accounts	10,587	10,240	9,029
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,788	2,209	2,599
1010 Unobligated balance transfer to other accts [019–5713]	-1,741
1011 Unobligated balance transfer from other acct [019–0524]	80
1012 Unobligated balance transfers between expired and unexpired accounts	188
1021 Recoveries of prior year unpaid obligations	440
1033 Recoveries of prior year paid obligations	32
1050 Unobligated balance (total)	2,787	2,209	2,599
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5,948	6,500	8,490
1100 Appropriation - OCO	3,226	2,626
1121 Appropriations transferred from other acct [097–0100]	5
1131 Unobligated balance of appropriations permanently reduced	-301
1160 Appropriation, discretionary (total)	8,878	9,126	8,490
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,426	1,504	1,506
1701 Change in uncollected payments, Federal sources	16
1750 Spending auth from offsetting collections, disc (total)	1,442	1,504	1,506
1900 Budget authority (total)	10,320	10,630	9,996
1930 Total budgetary resources available	13,107	12,839	12,595
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-311
1941 Unexpired unobligated balance, end of year	2,209	2,599	3,566
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,584	4,371	4,231
3010 New obligations, unexpired accounts	10,587	10,240	9,029
3011 Obligations ("upward adjustments"), expired accounts	108
3020 Outlays (gross)	-11,229	-10,380	-10,296
3040 Recoveries of prior year unpaid obligations, unexpired	-440
3041 Recoveries of prior year unpaid obligations, expired	-239
3050 Unpaid obligations, end of year	4,371	4,231	2,964
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-58	-27	-27
3070 Change in uncollected pymts, Fed sources, unexpired	-16
3071 Change in uncollected pymts, Fed sources, expired	47
3090 Uncollected pymts, Fed sources, end of year	-27	-27	-27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,526	4,344	4,204
3200 Obligated balance, end of year	4,344	4,204	2,937
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10,320	10,630	9,996
Outlays, gross:			
4010 Outlays from new discretionary authority	6,987	6,978	6,636
4011 Outlays from discretionary balances	4,242	3,402	3,660
4020 Outlays, gross (total)	11,229	10,380	10,296
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,122	-1,256	-1,258
4033 Non-Federal sources	-427	-248	-248
4040 Offsets against gross budget authority and outlays (total)	-1,549	-1,504	-1,506
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-16
4052 Offsetting collections credited to expired accounts	91

4053 Recoveries of prior year paid obligations, unexpired accounts	32
4060 Additional offsets against budget authority only (total)	107
4070 Budget authority, net (discretionary)	8,878	9,126	8,490
4080 Outlays, net (discretionary)	9,680	8,876	8,790
4180 Budget authority, net (total)	8,878	9,126	8,490
4190 Outlays, net (total)	9,680	8,876	8,790

Diplomatic Programs (DP) is financed by this appropriation, fees for services, and reimbursements from other agencies (including for administrative and other services provided by the Department of State). As in previous years, two-year funding is requested for this account, except for funds requested for Worldwide Security Protection (WSP), which are to remain available until expended. DP is the Department of State's primary operating account and funds a broad range of activities from policy setting, planning and design, to implementation and operations and maintenance. The 2021 request includes base funding for the State Department operations in Iraq, Afghanistan, Pakistan, and other High Threat Posts (HTP).

Funds are requested in the following categories:

Human Resources.—This category supports American salaries at overseas and domestic United States diplomatic missions, including Department of State employees carrying out security protection activities. Professional development and training is a continuous process by which the Department ensures that its professionals have the skills, experience and judgment to fulfill its functions at all levels. Training programs are designed to provide employees with the specific functional area and language skills needed for the conduct of foreign relations in the Department and abroad. This activity also supports the management, recruitment, and performance evaluation of Foreign and Civil Service employees (including efforts to attract a diverse applicant pool) and locally employed staff.

Overseas Programs.—This category provides funding for the operational programs of all the regional bureaus of the Department of State, which are responsible for managing United States foreign policy through bilateral and multilateral relationships. Funds made available for 2021 will support 6 United States embassies, consulates, and other diplomatic posts worldwide. Resources for this activity are used to provide for: the political and economic reporting and analysis of interests to the United States; the representation of U.S. diplomatic and national interests to countries abroad; and the bilateral and multilateral negotiation of U.S. foreign policy objectives, including the hosting of and participation in various international conferences, meetings and other multilateral activities in the United States and abroad. This activity also encompasses medical programs for the Department of State, the Foreign Service and other U.S. Government departments and agencies overseas. Centralized funding for travel and transportation of effects associated with the assignment, transfer, home leave and separation of the Department's personnel and dependents is also included in this activity.

Diplomatic Policy and Support.—This category supports the operational programs of the functional bureaus of the Department of State, which includes providing overall policy direction, coordination, and program management among United States missions abroad in pursuit of regional and global foreign policy objectives, including the hosting of various international conferences and meetings in the United States and abroad. Resources also fund the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations and other verification and compliance activities, in addition to funds otherwise available for such purposes. The information management activity in DP includes resources that are used for the creation, collection, processing, use, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business. Components of the information management activity include: telecommunications, information security, information system services, pouch, mail and publishing services for both unclassified and classified information. These activities include domestic and overseas execution of Department pro-

grams, such as budget and financial management, contracting and procurement, domestic facilities and vehicles, and rental payments to GSA.

Security Programs.—This category provides for the operation of security programs, including for Worldwide Security Protection (WSP) and the Bureau of Diplomatic Security, to protect diplomatic personnel, overseas diplomatic missions, residences, domestic facilities and information. The salaries paid to Department employees who carry out the security protection function worldwide are included in the Human Resources program activity. This activity identifies resources that are used in meeting security and counterterrorism responsibilities, both foreign and domestic. Programs covered in this activity include but are not limited to: security operations; engineering services, which are related to the technical defense of U.S. Government personnel and establishments abroad against electronic and physical attack; homeland security related activities; protection of Department personnel and foreign dignitaries; and physical security operations.

Object Classification (in millions of dollars)

Identification code 019-0113-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,058	1,978	1,978
11.3 Other than full-time permanent	50	50	50
11.5 Other personnel compensation	80	80	80
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	2,189	2,109	2,109
12.1 Civilian personnel benefits	877	920	950
13.0 Benefits for former personnel	4	4	4
21.0 Travel and transportation of persons	211	309	209
22.0 Transportation of things	32	42	42
23.1 Rental payments to GSA	343	367	267
23.3 Communications, utilities, and miscellaneous charges	135	115	115
24.0 Printing and reproduction	62	62	62
25.1 Advisory and assistance services	2,048	1,830	936
25.2 Other services from non-Federal sources	7	7	7
25.3 Other goods and services from Federal sources	141	136	136
25.3 Purchases of goods and services from Government accounts (ICASS)	1,766	1,911	1,981
25.4 Operation and maintenance of facilities	96	86	86
25.6 Medical care	6	6	6
25.7 Operation and maintenance of equipment	688	540	462
26.0 Supplies and materials	374	250	151
31.0 Equipment	121	74	34
41.0 Grants, subsidies, and contributions	65	50	50
42.0 Insurance claims and indemnities	2	2	2
99.0 Direct obligations	9,167	8,820	7,609
99.0 Reimbursable obligations	1,420	1,420	1,420
99.9 Total new obligations, unexpired accounts	10,587	10,240	9,029

Employment Summary

Identification code 019-0113-0-1-153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	15,038	15,354	15,364
2001 Reimbursable civilian full-time equivalent employment	205	210	210

WORLDWIDE SECURITY PROTECTION

Section 7054 establishes a new Worldwide Security Protection (WSP) account in the Treasury, separate from the Diplomatic Programs (DP) account, and authorizes the transfer of unobligated WSP balances into the new account no later than October 1, 2021. Establishing a separate account would facilitate administration of WSP funds and increase the transparency of WSP expenditures. Requesting the creation of the new account in FY 2021 will provide the Department with adequate time to prepare for implementation of the account split at the beginning of the following fiscal year. The intention is to request WSP funding in the separate account as part of the FY 2022 President's Budget.

CONSULAR AND BORDER SECURITY PROGRAMS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5713-0-2-153	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Expedited Passport Fees, Consular and Border Security Programs		63	152
1130 Passport Security Surcharge, Consular and Border Security Programs	1,057	1,288	1,272
1130 Western Hemisphere Travel Surcharge, Consular and Border Security Programs	441	483	471
1130 Machine-Readable Visa Fee, Consular and Border Security Programs	1,847	1,835	1,856
1130 Machine-Readable Visa Fee, Consular and Border Security Programs		3	16
1130 Immigrant Visa Security Surcharge, Consular and Border Security Programs	65	146	146
1130 Affidavit of Support Fee, Consular and Border Security Programs	42	21	21
1130 Diversity Immigrant Lottery Fee, Consular and Border Security Programs	19	30	30
1199 Total current law receipts	3,471	3,869	3,964
1999 Total receipts	3,471	3,869	3,964
2000 Total: Balances and receipts	3,471	3,869	3,964
Appropriations:			
Current law:			
2101 Consular and Border Security Programs	-3,471	-3,869	-3,964
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 019-5713-0-2-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consular and Border Security Programs (Direct)	3,228	3,754	3,964
0801 Reimbursable program activity	32		
0900 Total new obligations, unexpired accounts	3,260	3,754	3,964
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1,651	1,766
1010 Unobligated balance transfer to other accts [019-0535]	-115		
1011 Unobligated balance transfer from other acct [019-0113]	1,741		
1050 Unobligated balance (total)	1,626	1,651	1,766
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,471	3,869	3,964
1120 Appropriations transferred to other acct [019-0535]	-218		
1160 Appropriation, discretionary (total)	3,253	3,869	3,964
Spending authority from offsetting collections, discretionary:			
1700 Collected	32		
1900 Budget authority (total)	3,285	3,869	3,964
1930 Total budgetary resources available	4,911	5,520	5,730
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,651	1,766	1,766

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1,051	1,120
3010 New obligations, unexpired accounts	3,260	3,754	3,964
3020 Outlays (gross)	-2,209	-3,685	-3,949
3050 Unpaid obligations, end of year	1,051	1,120	1,135
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1,051	1,120
3200 Obligated balance, end of year	1,051	1,120	1,135

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,285	3,869	3,964
Outlays, gross:			
4010 Outlays from new discretionary authority	2,209	2,892	2,969
4011 Outlays from discretionary balances		793	980
4020 Outlays, gross (total)	2,209	3,685	3,949
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-32		

CONSULAR AND BORDER SECURITY PROGRAMS—Continued
Program and Financing—Continued

Identification code 019-5713-0-2-153	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)	3,253	3,869	3,964
4190 Outlays, net (total)	2,177	3,685	3,949

The Consular and Border Security Programs account (CBSP) uses revenue from consular fees and surcharges to fund programs and activities, consistent with applicable statutory authorities. These fees and surcharges include Machine Readable Visa (MRV) fees, Western Hemisphere Travel Initiative (WHTI) surcharges, Passport Security surcharges, Immigrant Visa Security surcharges, Diversity Visa Lottery fees, H and L Visa Fraud Prevention and Detection Fees, Affidavit of Support fees, and use of J Waiver Fees starting in FY 2021. In FY 2017 and prior years, these fees were credited in the Diplomatic and Consular Programs account as spending authority from offsetting collections. The Consolidated Appropriations Act of FY 2017 enacted a new standalone account to display fee-funded consular programs independent of the larger Diplomatic Programs (formerly Diplomatic and Consular Programs) account that began in FY 2019. This change enables the Department to provide greater transparency and accountability in financial reporting on these fees and surcharges, facilitate budget estimates for these fees and surcharges, and more easily make the information available to users of budget information and other stakeholders.

Section 7034(1)(1) of the general provisions provides a new permanent extension of the Western Hemisphere Travel Initiative Surcharge. Section 7050 provides legislative language expanding the authorities of the Border Crossing Card and Passport Security Surcharge. Finally, Section 7048 provides the ability to use the Fraud Prevention and Detection fees for the prevention and detection of all visa fraud.

These consular fees and surcharges support an array of activities that are vital to ensuring strong U.S. border security, including routine and emergency services for U.S. citizens overseas; the issuance of secure passports to U.S. citizens at 29 passport facilities and a partner network of more than 8,000 passport acceptance facilities domestically; the adjudication of visa applications; the prevention and detection of fraud involving visas and passports; and the Department's information technology programs. Together with the Department of Homeland Security, the Department of Justice, the Intelligence Community, Department of the Treasury, and the law enforcement community, the Department has built a layered visa and border security screening system that rests on training, technological advances, biometric innovations and expanded data sharing.

Object Classification (in millions of dollars)

Identification code 019-5713-0-2-153	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	695	695	695
11.9 Total personnel compensation	695	695	695
12.1 Civilian personnel benefits	141
23.3 Communications, utilities, and miscellaneous charges	1,603	3,059	3,269
25.2 Other services from non-Federal sources	789
99.0 Direct obligations	3,228	3,754	3,964
99.0 Reimbursable obligations	32
99.9 Total new obligations, unexpired accounts	3,260	3,754	3,964

Employment Summary

Identification code 019-5713-0-2-153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,700	4,700	4,700

INTERNATIONAL INFORMATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 019-0201-0-1-154	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)
4190 Outlays, net (total)

The appropriation for overseas information and cultural programs previously provided to the U.S. Information Agency and designed to inform and influence foreign audiences has been administered by the Department of State and funded from Diplomatic Programs and other accounts within the Department of State since 2000, except those activities as are associated with international broadcasting functions which are funded from the U.S. Agency for Global Media account. This schedule reflects the spend-out of prior year funds. As of FY 2020, the Bureau of International and Information Programs has merged with the Bureau of Public Affairs to create the Bureau of Global Public Affairs.

CONFLICT STABILIZATION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 019-0121-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Conflict Stabilization Operations	8	2	1
0100 Direct program activities, subtotal	8	2	1
0900 Total new obligations, unexpired accounts (object class 41.0)	8	2	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	3	1
1930 Total budgetary resources available	11	3	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	11	3
3010 New obligations, unexpired accounts	8	2	1
3020 Outlays (gross)	-3	-10
3050 Unpaid obligations, end of year	11	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	11	3
3200 Obligated balance, end of year	11	3	4
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3	10
4180 Budget authority, net (total)
4190 Outlays, net (total)	3	10

For FY 2021, Conflict Stabilization Operations funding is requested under the Diplomatic Programs account. This schedule reflects a spend out of prior year funds.

CAPITAL INVESTMENT FUND

For necessary expenses of the Capital Investment Fund, as authorized, [\$139,500,000] \$256,695,000, to remain available until expended. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0120-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capital Investment Fund	94	141	258
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	3	2	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	93	140	257
1930 Total budgetary resources available	96	142	258
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	121	54	68
3010 New obligations, unexpired accounts	94	141	258
3020 Outlays (gross)	-160	-127	-170
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	54	68	156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	121	54	68
3200 Obligated balance, end of year	54	68	156
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	93	140	257
Outlays, gross:			
4010 Outlays from new discretionary authority	63	70	128
4011 Outlays from discretionary balances	97	57	42
4020 Outlays, gross (total)	160	127	170
4180 Budget authority, net (total)	93	140	257
4190 Outlays, net (total)	160	127	170

The Capital Investment Fund provides for the procurement of information technology and other related capital investments for the Department of State. It is designed to ensure the efficient management, coordination, operation, and utilization of such resources. The fund is used to make investments that improve the Department's operational performance in a continually evolving technological environment.

Object Classification (in millions of dollars)

Identification code 019-0120-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	80	127	244
31.0 Equipment	14	14	14
99.9 Total new obligations, unexpired accounts	94	141	258

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, **[\$90,829,000, of which \$13,624,000 may] \$141,416,000, to remain available until September 30, [2021] 2022, of which \$50,300,000 is for the Special Inspector General for Afghanistan Reconstruction (SIGAR) for reconstruction oversight: Provided, That funds appropriated under this heading are made available notwithstanding section 209(a)(1) of the Foreign Service Act of 1980 (22 U.S.C. 3929(a)(1)), as it relates to post inspections: Provided further, That, notwithstanding any other provision of law, any employee of SIGAR who completes at least 12 months of continuous service after the date of enactment of this Act, or who is employed on the date on which SIGAR terminates, whichever occurs first, shall acquire competitive status for appointment to any position in the competitive service for which the employee possesses the required qualifications.**

[In addition,] This includes \$50,300,000 for [the Special Inspector General for Afghanistan Reconstruction (SIGAR)] SIGAR for reconstruction [oversight, \$54,900,000, to remain available until September 30, 2021, which is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit

Control Act of 1985: *Provided, That funds appropriated under this heading that are made available for the printing and reproduction costs of SIGAR shall not exceed amounts for such costs during fiscal year 2019*]. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0529-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Office of the Inspector General (Direct)	77	72	72
0005 Office of the Inspector General	19	19	19
0006 Office of the Inspector General (SIGAR) - OCO	55	55	50
0799 Total direct obligations	151	146	141
0801 Office of the Inspector General (Reimbursable)	1	5	5
0900 Total new obligations, unexpired accounts	152	151	146
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Office of the Inspector General (base)	78	91	141
1100 Appropriation - Office of the Inspector General (OCO)	13		
1100 Appropriation - SIGAR (OCO)	55	55	
1160 Appropriation, discretionary (total)	146	146	141
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	5	5
1900 Budget authority (total)	147	151	146
1930 Total budgetary resources available	160	159	154
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	69	71	45
3010 New obligations, unexpired accounts	152	151	146
3020 Outlays (gross)	-139	-177	-150
3041 Recoveries of prior year unpaid obligations, expired	-11		
3050 Unpaid obligations, end of year	71	45	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	69	71	45
3200 Obligated balance, end of year	71	45	41
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	147	151	146
Outlays, gross:			
4010 Outlays from new discretionary authority	87	117	111
4011 Outlays from discretionary balances	52	60	39
4020 Outlays, gross (total)	139	177	150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-1	-5	-5
4180 Budget authority, net (total)	146	146	141
4190 Outlays, net (total)	138	172	145

This appropriation provides for the conduct or supervision of all audits, investigations, and inspections of the Department's programs and operations as mandated by the Inspector General Act of 1978, as amended, and the Foreign Service Act of 1980, as amended. The objectives of the Office of the Inspector General are to: improve the economy, efficiency, and effectiveness of the Department's operations; detect and prevent fraud, waste, abuse, and mismanagement; and evaluate independently the formulation, applicability, and implementation of security standards at all U.S. diplomatic and consular posts. The Office also assesses the implementation of U.S. foreign policy, primarily through its inspection of all overseas posts and domestic offices on a cyclical basis. The State Department's Inspector General also serves as Inspector General of the U.S. Agency for Global Media, as mandated by law. In addition, this appropriation funds the Office of the Special Inspector General for Afghanistan Reconstruction (SIGAR). SIGAR provides independent oversight of programs and operations funded with amounts made available for the reconstruction of Afghanistan. SIGAR performs this oversight through audits, field inspections and investigations of potential waste, fraud and abuse in coordination with, and receiving the

OFFICE OF INSPECTOR GENERAL—Continued

cooperation of, the Inspectors General of the Department of State, Department of Defense and the United States Agency for International Development.

Object Classification (in millions of dollars)

Identification code 019-0529-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	39	40	40
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	44	45	45
12.1 Civilian personnel benefits	15	15	15
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	3	3	3
25.2 Other services from non-Federal sources	22	22	22
26.0 Supplies and materials	2	2	2
31.0 Equipment	4	4	4
41.0 Grants, subsidies, and contributions	55	49	44
99.0 Direct obligations	151	146	141
99.0 Reimbursable obligations	1	5	5
99.9 Total new obligations, unexpired accounts	152	151	146

Employment Summary

Identification code 019-0529-0-1-153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	297	328	328

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS

For necessary expenses of educational and cultural exchange programs, as authorized, [\$730,700,000] \$310,000,000, to remain available until expended [, of which not less than \$272,000,000 shall be for the Fulbright Program and not less than \$111,860,000 shall be for Citizen Exchange Program]: *Provided*, That fees or other payments received from, or in connection with, English teaching, educational advising and counseling programs, and exchange visitor programs as authorized may be credited to this account, to remain available until expended [: *Provided further*, That a portion of the Fulbright awards from the Eurasia and Central Asia regions shall be designated as Edmund S. Muskie Fellowships, following consultation with the Committees on Appropriations: *Provided further*, That funds appropriated under this heading that are made available for the Benjamin Gilman International Scholarships Program shall also be made available for the John S. McCain Scholars Program, pursuant to section 7075 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116-6): *Provided further*, That funds appropriated under this heading shall be made available for a Civil Society Exchange Program, in accordance with the requirements specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), and following consultation with the Committees on Appropriations: *Provided further*, That any substantive modifications from the prior fiscal year to programs funded by this Act under this heading shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations [. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-0209-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Educational and Cultural Exchange Programs (Direct)	741	741	320
0100 Subtotal, Direct Obligations	741	741	320
0880 Educational and Cultural Exchange Programs (Reimbursable)	13	8	8
0900 Total new obligations, unexpired accounts	754	749	328
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	64	53	47
1011 Unobligated balance transfer from other acct [072-1037]	16		
1021 Recoveries of prior year unpaid obligations	10	8	8

1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	91	61	55
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	701	731	310
Spending authority from offsetting collections, discretionary:			
1700 Collected	16	4	4
1900 Budget authority (total)	717	735	314
1930 Total budgetary resources available	808	796	369
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	53	47	41

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	716	776	814
3010 New obligations, unexpired accounts	754	749	328
3020 Outlays (gross)	-671	-703	-559
3040 Recoveries of prior year unpaid obligations, unexpired	-10	-8	-8
3041 Recoveries of prior year unpaid obligations, expired	-13		
3050 Unpaid obligations, end of year	776	814	575
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	716	776	814
3200 Obligated balance, end of year	776	814	575

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	717	735	314
Outlays, gross:			
4010 Outlays from new discretionary authority	258	223	97
4011 Outlays from discretionary balances	407	480	462
4020 Outlays, gross (total)	665	703	559
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-4	-4
4033 Non-Federal sources	-8		
4040 Offsets against gross budget authority and outlays (total)	-17	-4	-4
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4070 Budget authority, net (discretionary)	701	731	310
4080 Outlays, net (discretionary)	648	699	555
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	6		
4180 Budget authority, net (total)	701	731	310
4190 Outlays, net (total)	654	699	555

This appropriation provides funding to the Bureau of Educational and Cultural Affairs (ECA) for international exchange programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic, security policy objectives and to advance U.S. influence overseas. These goals are addressed by building increased mutual understanding through international exchange and professional development activities. Beginning in FY 2020, resources in this appropriation also support the conduct of a select group of international information programs of the United States previously reflected in the Diplomatic Programs appropriation (American Spaces, U.S. Speakers and TechCamp programs). Additional programs under this appropriation include:

Academic Programs.—Includes the J. William Fulbright Educational Exchange Program, which provides U.S. and foreign students, teachers, scholars, and administrators the opportunity to pursue degrees, teach, and conduct research in foreign and U.S. universities. Academic Programs also include English language programming and educational advising services. English language programs help train and develop foreign teachers of English, send Americans overseas to teach English and train instructors, teach English to disadvantaged students, and provide language learning materials and resources. Educational advising programming supports outreach to foreign students across the world to assist in the process of applying to U.S. universities. Additional academic programs such as the Benjamin A. Gilman International Scholarship Program provide opportunities for American participants with financial needs to study abroad.

Professional/Cultural Exchanges.—Includes exchanges linking U.S. and foreign participants in multiple fields directly tied to U.S. foreign policy

goals. The International Visitor Leadership Program brings thousands of foreign leaders to the United States for intensive short-term professional exchanges to meet and confer with their American counterparts, gaining first-hand knowledge about U.S. society, culture and democratic values. Citizen Exchanges Program participants partner with an extensive network of organizations and experts from across the United States to conduct professional fellowships as well as arts, sports, and high school exchange programs focused on current and future leaders.

Youth Leadership Initiatives.—Includes programs targeting young private, public, and civil sector leaders in Africa, Southeast Asia, and the Americas.

Program and Performance.—Provides resources and opportunities to ECA exchange program alumni to build on participant exchange experience, developing growing and active alumni association networks. Funds also support on-going program performance measurement and independent evaluations.

Exchanges Support.—Includes all domestic staff, overseas Regional Language Officers and support costs managed by ECA; as well as government-wide exchanges coordination.

Object Classification (in millions of dollars)

Identification code 019-0209-0-1-154	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	38	40	40
12.1 Civilian personnel benefits	13	13	13
21.0 Travel and transportation of persons	27	27	14
23.3 Communications, utilities, and miscellaneous charges	2	2	1
25.2 Other services from non-Federal sources	41	36	25
26.0 Supplies and materials	2	1	1
41.0 Grants, subsidies, and contributions	618	622	226
99.0 Direct obligations	741	741	320
99.0 Reimbursable obligations	13	8	8
99.9 Total new obligations, unexpired accounts	754	749	328

Employment Summary

Identification code 019-0209-0-1-154	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	384	384	384

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE

For necessary expenses for carrying out the Foreign Service Buildings Act of 1926 (22 U.S.C. 292 et seq.), preserving, maintaining, repairing, and planning for real property that are owned or leased by the Department of State, [and] renovating, in addition to funds otherwise available, the Harry S Truman Building, [\$769,800,000] and carrying out the Diplomatic Security Construction Program, as authorized, \$742,100,000, to remain available until [September 30, 2024] expended, of which not to exceed \$25,000 may be used for overseas representation expenses as authorized: *Provided*, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies of the United States Government.

In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, [\$1,205,649,000] \$941,660,000, to remain available until expended, of which \$424,087,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985]. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-0535-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capital Security Construction	803	1,602	1,593
0002 Compound Security	136	136	85
0003 Repair and Construction	129	130	164
0004 Operations	876	876	730
0005 Supplemental Appropriations	54	50	45
0006 OCO	153	553	250

0100 Total direct program	2,151	3,347	2,867
0799 Total direct obligations	2,151	3,347	2,867
0801 Asset Management	39	40	119
0802 Leaseholds and Functional Programs	99	100	100
0803 Capital Security Cost Sharing	1,109	1,918	1,169
0804 Other Reimbursements	53	20	20
0899 Total reimbursable obligations	1,300	2,078	1,408
0900 Total new obligations, unexpired accounts	3,451	5,425	4,275

Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	7,594	8,243	6,353
1011 Unobligated balance transfer from other acct [019-5713]	115
1021 Recoveries of prior year unpaid obligations	262	299	299
1033 Recoveries of prior year paid obligations	19
1050 Unobligated balance (total)	7,990	8,542	6,652
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,975	1,551	1,684
1100 Appropriation - OCO	424
1121 Appropriations transferred from other acct [019-5713]	218
1131 Unobligated balance of appropriations permanently reduced	-242
1160 Appropriation, discretionary (total)	2,193	1,733	1,684
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - Capital Security Cost Sharing	1,536	1,203	1,269
1700 Offsetting collections (cash) - Other Collections	300	300
1701 Change in uncollected payments, Federal sources	-25
1750 Spending auth from offsetting collections, disc (total)	1,511	1,503	1,569
1900 Budget authority (total)	3,704	3,236	3,253
1930 Total budgetary resources available	11,694	11,778	9,905
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8,243	6,353	5,630

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7,053	7,162	8,731
3010 New obligations, unexpired accounts	3,451	5,425	4,275
3020 Outlays (gross)	-3,080	-3,557	-3,689
3040 Recoveries of prior year unpaid obligations, unexpired	-262	-299	-299
3050 Unpaid obligations, end of year	7,162	8,731	9,018
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-26	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	25
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7,027	7,161	8,730
3200 Obligated balance, end of year	7,161	8,730	9,017

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,704	3,236	3,253
Outlays, gross:			
4010 Outlays from new discretionary authority	1,033	1,125	1,107
4011 Outlays from discretionary balances	2,047	2,432	2,582
4020 Outlays, gross (total)	3,080	3,557	3,689
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,477	-1,503	-1,569
4033 Non-Federal sources	-78
4040 Offsets against gross budget authority and outlays (total)	-1,555	-1,503	-1,569
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	25
4053 Recoveries of prior year paid obligations, unexpired accounts	19
4060 Additional offsets against budget authority only (total)	44
4070 Budget authority, net (discretionary)	2,193	1,733	1,684
4080 Outlays, net (discretionary)	1,525	2,054	2,120
4180 Budget authority, net (total)	2,193	1,733	1,684
4190 Outlays, net (total)	1,525	2,054	2,120

Under the direction of the Secretary of State, the overall mission of the Bureau of Overseas Buildings Operations (OBO) is to provide U.S. diplomatic and consular missions abroad with safe, secure, and functional facilities that support the foreign policy objectives of the United States. Specific

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE—Continued

program functions include: providing guidance to posts, the regional bureaus and other foreign affairs agencies on the renovation, construction and operations of facilities; providing expert space and facilities planning; managing and overseeing the design, construction, and renovation of mission facilities; incorporating security features into overseas and domestic facilities; and ensuring the security of facilities during construction or renovation. In addition, OBO is responsible for establishing standards and policies for overseas housing, developing, in conjunction with posts, effective maintenance programs for post facilities, and monitoring and reporting the inventory of maintenance and backlog requirements. OBO also ensures the safety of the building occupants through the development of fire/life safety and accessibility compliance programs.

In 2021, the Department will manage the seventeenth year of the Capital Security Cost Sharing (CSCS) Program. This program has two main goals: accelerating the construction of new safe, secure and functional embassy and consulate compounds, and providing an incentive for all United States Government agencies to right-size their presence overseas through the use of cost-sharing. The \$2.2 billion program is consistent with the Benghazi Accountability Review Board's recommended funding level for the construction of new secure facilities overseas. Funding sources include ESCM appropriations, interagency contributions, and consular fee revenues.

The 2021 request continues the Maintenance Cost Sharing (MCS) Program to provide critically needed renovation, construction and repair of overseas facilities, to provide adequate working conditions for multi-agency staffs, and protect the U.S. taxpayer investment. MCS and CSCS are funded within a combined \$2.2 billion program in FY 2021.

The objective of the Asset Management Program is to obtain the best use of diplomatic and consular properties overseas through sale of surplus or underutilized properties and reinvestment of the proceeds in properties that provide a greater return to the U.S. Government and/or improve the safety of mission personnel. In lieu of appropriated resources, OBO uses asset sales proceeds for long-term capital investment to minimize the growth of U.S. Government leasehold requirements (through property acquisition) or to address a high-priority need for new construction or fit-out of leased space.

This appropriation also provides for capital expenditures necessary to preserve, maintain, repair, and plan for buildings owned or leased by the Department of State overseas.

Object Classification (in millions of dollars)

Identification code 019-0535-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	129	129	130
11.3 Other than full-time permanent	20	19	21
11.5 Other personnel compensation	4		
11.9 Total personnel compensation	153	148	151
12.1 Civilian personnel benefits	68	68	68
21.0 Travel and transportation of persons	31	49	41
22.0 Transportation of objects	8	13	11
23.2 Rental payments to other entities	26	41	35
23.3 Communications, utilities, and miscellaneous charges	368	589	490
25.2 Other services from non-Federal sources	322	516	429
25.4 Operation and maintenance of facilities	364	583	485
26.0 Supplies and materials	55	88	73
31.0 Equipment	66	106	88
32.0 Land and structures	621	1,036	904
41.0 Grants, subsidies, and contributions	69	110	92
99.0 Direct obligations	2,151	3,347	2,867
99.0 Reimbursable obligations	1,300	2,078	1,408
99.9 Total new obligations, unexpired accounts	3,451	5,425	4,275

Employment Summary

Identification code 019-0535-0-1-153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,025	1,026	1,026

REPRESENTATION EXPENSES

For representation expenses as authorized, **[\$7,212,000] \$7,413,000.** (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0545-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Representation Expenses	9	7	7
0900 Total new obligations, unexpired accounts (object class 26.0)	9	7	7
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	7	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1900 Budget authority (total)	9	7	7
1930 Total budgetary resources available	9	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	9	7	7
3020 Outlays (gross)	-8	-7	-7
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority	6	6	6
4011 Outlays from discretionary balances	2	1	1
4020 Outlays, gross (total)	8	7	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-1		
4180 Budget authority, net (total)	8	7	7
4190 Outlays, net (total)	7	7	7

Funds are used to reimburse State Department employees posted overseas, in whole or in part, for certain costs incurred related to carrying out official representation functions.

PROTECTION OF FOREIGN MISSIONS AND OFFICIALS

For necessary expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, **[\$30,890,000] \$25,900,000**, to remain available until September 30, **[2021] 2022.** (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0520-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Missions and officials to United Nations	49	28	24
0002 Missions and officials in United States	3	3	3
0900 Total new obligations, unexpired accounts (object class 25.2)	52	31	27
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	1	1

1012	Unobligated balance transfers between expired and unexpired accounts	19		
1050	Unobligated balance (total)	22	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	31	31	26
1930	Total budgetary resources available	53	32	27
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	47	49	49
3010	New obligations, unexpired accounts	52	31	27
3020	Outlays (gross)	-50	-31	-52
3050	Unpaid obligations, end of year	49	49	24
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	47	49	49
3200	Obligated balance, end of year	49	49	24

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	31	31	26
	Outlays, gross:			
4010	Outlays from new discretionary authority	3	9	8
4011	Outlays from discretionary balances	47	22	44
4020	Outlays, gross (total)	50	31	52
4180	Budget authority, net (total)	31	31	26
4190	Outlays, net (total)	50	31	52

This appropriation provides for extraordinary protection of: 1) foreign missions and officials, including those accredited to the United Nations and other international organizations, and visiting foreign dignitaries (under certain circumstances) in New York; and 2) international organizations, foreign missions and officials, and visiting foreign dignitaries (under certain circumstances) throughout the United States. Funds may be used to reimburse state or local law enforcement authorities, contracts for private security firm services, or reimburse Federal agencies for extraordinary protective services. The Department is requesting continued authority to transfer expired balances from the Diplomatic Programs account to this account in order to reduce accumulated arrears to state or local law enforcement entities.

EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE

For necessary expenses to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, as authorized, \$7,885,000, to remain available until expended, of which not to exceed \$1,000,000 may be transferred to, and merged with, funds appropriated by this Act under the heading "Repatriation Loans Program Account". (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-0522-0-1-153	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Emergencies in the Diplomatic and Consular Service	20	24	25
0700	Direct program activities, subtotal	20	24	25
Budgetary resources:				
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	272	262	248
1021	Recoveries of prior year unpaid obligations	2	2	2
1050	Unobligated balance (total)	274	264	250
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	8	8	8
1930	Total budgetary resources available	282	272	258
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	262	248	233
Change in obligated balance:				
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	22	10	16

3010	New obligations, unexpired accounts	20	24	25
3020	Outlays (gross)	-30	-16	-18
3040	Recoveries of prior year unpaid obligations, unexpired	-2	-2	-2
3050	Unpaid obligations, end of year	10	16	21
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	22	10	16
3200	Obligated balance, end of year	10	16	21

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	8	8	8
	Outlays, gross:			
4010	Outlays from new discretionary authority		6	6
4011	Outlays from discretionary balances	30	10	12
4020	Outlays, gross (total)	30	16	18
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	30	16	18

These funds are used primarily for purposes authorized by section 4 of the State Department Basic Authorities Act of 1956, as amended (22 U.S.C. 2671), for rewards authorized by section 36 of that Act, as amended (22 U.S.C. 2708), and for purposes authorized by section 804(3) of the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1474(3)).

Object Classification (in millions of dollars)

Identification code 019-0522-0-1-153	2019 actual	2020 est.	2021 est.	
Direct obligations:				
21.0	Travel and transportation of persons	16	20	21
25.2	Other services from non-Federal sources	4	4	4
99.9	Total new obligations, unexpired accounts	20	24	25

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 019-0524-0-1-153	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	100	20	20
1010	Unobligated balance transfer to other accts [019-0113]	-80		
1050	Unobligated balance (total)	20	20	20
1930	Total budgetary resources available	20	20	20
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	20	20	20
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

This account is available to offset adverse exchange rate and overseas wage and price fluctuations unanticipated in the budget as authorized by section 24(b) of the State Department Basic Authorities Act of 1956 (22 U.S.C 2696(b)).

PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN

For necessary expenses to carry out the Taiwan Relations Act (Public Law 96-8), **[\$31,963,000]** \$26,312,000. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-0523-0-1-153	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Payment to the American Institute in Taiwan (Direct)	35	32	26
Budgetary resources:				
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	32	32	26

PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN—Continued
Program and Financing—Continued

Identification code 019–0523–0–1–153	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected	3		
1900 Budget authority (total)	35	32	26
1930 Total budgetary resources available	35	32	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	36	25
3010 New obligations, unexpired accounts	35	32	26
3020 Outlays (gross)	–28	–43	–40
3050 Unpaid obligations, end of year	36	25	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	36	25
3200 Obligated balance, end of year	36	25	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	35	32	26
Outlays, gross:			
4010 Outlays from new discretionary authority	20	21	17
4011 Outlays from discretionary balances	8	22	23
4020 Outlays, gross (total)	28	43	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–7		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	4		
4070 Budget authority, net (discretionary)	32	32	26
4080 Outlays, net (discretionary)	21	43	40
4180 Budget authority, net (total)	32	32	26
4190 Outlays, net (total)	21	43	40

The Taiwan Relations Act (Public Law 96–8) requires programs with respect to Taiwan to be carried out by or through the American Institute in Taiwan (AIT). AIT supports U.S. interests by promoting U.S. exports, economic and commercial services, and cultural and information exchange; facilitating military sales; providing consular related services for Americans and the people on Taiwan; and on behalf of the Department of State and various U.S. Government agencies, carrying out liaison with Taiwan's counterpart organizations.

The Department contracts with AIT to conduct commercial, cultural, and other relations with the people of Taiwan. Consular related expenses for AIT are funded with fee revenue from the Consular and Border Security Program.

Object Classification (in millions of dollars)

Identification code 019–0523–0–1–153	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	23	23	23
12.1 Civilian personnel benefits	3	3	3
23.2 Rental payments to others	9	6	
99.9 Total new obligations, unexpired accounts	35	32	26

PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

For payment to the Foreign Service Retirement and Disability Fund, as authorized, \$158,900,000. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019–0540–0–1–153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Foreign Service Retirement and Disability Fund	425	417	417

0900	Total new obligations, unexpired accounts (object class 42.0)	425	417	417
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	425	417	417
1930	Total budgetary resources available	425	417	417
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		266	226
3010	New obligations, unexpired accounts	425	417	417
3020	Outlays (gross)	–159	–457	–457
3050	Unpaid obligations, end of year		266	226
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		266	226
3200	Obligated balance, end of year		266	226
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	425	417	417
Outlays, gross:				
4100	Outlays from new mandatory authority	159	417	417
4101	Outlays from mandatory balances		40	40
4110	Outlays, gross (total)	159	457	457
4180	Budget authority, net (total)	425	417	417
4190	Outlays, net (total)	159	457	457

The current appropriation finances any unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The 2021 permanent appropriation provides a supplemental payment to the fund for disbursements attributable to the Foreign Service Pension System; and unfunded interest along with liability from military service for the Foreign Service Retirement and Disability System. In addition, the appropriation also finances the annual balance of the Foreign Service normal cost not met by employee and employer contributions. The amount of the appropriation is determined by the annual evaluation of the Fund balance derived from current statistical actuarial data, which includes inflationary cost-of-living adjustments.

FOREIGN SERVICE NATIONAL DEFINED CONTRIBUTIONS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019–5497–0–2–602	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			13
Receipts:				
Current law:				
1140	Employing Agency Contributions, Foreign Service National Defined Contributions Retirement Fund	18	20	21
1140	Interest on Investments, Foreign Service National Defined Contributions Retirement Fund		1	1
1140	Employee Contributions, Foreign Service National Defined Contributions Retirement Fund, State	4	3	3
1199	Total current law receipts	22	24	25
1999	Total receipts	22	24	25
2000	Total: Balances and receipts	22	24	38
Appropriations:				
Current law:				
2101	Foreign Service National Defined Contributions Retirement Fund	–22	–11	–12
5099	Balance, end of year		13	26

Program and Financing (in millions of dollars)

Identification code 019–5497–0–2–602	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Retiree payments	22	9	9
0900	Total new obligations, unexpired accounts (object class 42.0)	22	9	9

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	24	24	26
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	22	11	12
1930	Total budgetary resources available	46	35	38
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	24	26	29
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	22	9	9
3020	Outlays (gross)	-22	-9	-9
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	22	11	12
Outlays, gross:				
4100	Outlays from new mandatory authority	22	3	3
4101	Outlays from mandatory balances		6	6
4110	Outlays, gross (total)	22	9	9
4180	Budget authority, net (total)	22	11	12
4190	Outlays, net (total)	22	9	9
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	17	23	23
5001	Total investments, EOY: Federal securities: Par value	23	23	22

The Foreign Service National Defined Contributions Fund (FSNDCF) is an after-employment benefit plan for Locally Employed Staff (LE Staff) working for the Department of State and other Foreign Affairs agencies. The purpose of the fund is to accumulate and distribute U.S. Government (USG)-funded contributions for end-of-service benefits for LE Staff in countries where U.S. missions have determined that participation in the local social security system (LSSS) is not in the public interest of the USG. The Department determines which countries are eligible to participate in the fund. Upon separation, payments under this Plan shall be made consistent with the host country law, including any court order affecting payments to participants, unless decided otherwise by the Department.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 019-4519-0-4-153	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Working Capital Fund Programs	650	694	713
0802	HR/Post Assignment Travel	326	351	366
0803	Medical Programs	27	35	35
0804	IT Programs	42	64	65
0805	Aviation Programs	336	332	339
0806	Office of Foreign Missions	15	25	29
0807	Special Issuance Passports	24	22	28
0812	International cooperative administrative support services (ICASS)	3,828	3,862	4,029
0900	Total new obligations, unexpired accounts	5,248	5,385	5,604
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,048	1,074	1,174
1021	Recoveries of prior year unpaid obligations	362	300	300
1022	Capital transfer of unobligated balances to general fund	-2		
1033	Recoveries of prior year paid obligations	40		
1050	Unobligated balance (total)	1,448	1,374	1,474
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	5,112	5,185	5,192
1701	Change in uncollected payments, Federal sources	-238		
1750	Spending auth from offsetting collections, disc (total)	4,874	5,185	5,192
1930	Total budgetary resources available	6,322	6,559	6,666
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,074	1,174	1,062

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,985	1,904	1,772
3010	New obligations, unexpired accounts	5,248	5,385	5,604
3020	Outlays (gross)	-4,967	-5,217	-5,190
3040	Recoveries of prior year unpaid obligations, unexpired	-362	-300	-300
3050	Unpaid obligations, end of year	1,904	1,772	1,886
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-400	-162	-162
3070	Change in uncollected pymts, Fed sources, unexpired	238		
3090	Uncollected pymts, Fed sources, end of year	-162	-162	-162
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,585	1,742	1,610
3200	Obligated balance, end of year	1,742	1,610	1,724

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,874	5,185	5,192
Outlays, gross:				
4010	Outlays from new discretionary authority	3,611	3,417	3,422
4011	Outlays from discretionary balances	1,356	1,800	1,768
4020	Outlays, gross (total)	4,967	5,217	5,190
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-5,083	-5,109	-5,117
4033	Non-Federal sources	-69	-76	-75
4040	Offsets against gross budget authority and outlays (total)	-5,152	-5,185	-5,192
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	238		
4053	Recoveries of prior year paid obligations, unexpired accounts	40		
4060	Additional offsets against budget authority only (total)	278		
4080	Outlays, net (discretionary)	-185	32	-2
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-185	32	-2

This fund, which is available without fiscal year limitations, is authorized by sections 13 and 23 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2684), finances on a reimbursable basis certain administrative services, such as printing and reproduction, editorial material, motor pool, operations and dispatch agencies operations, inter-agency cooperative administrative support services, acquisition services, information technology support, medical services, aviation services, special issuance passport services, and expenses of carrying out the Foreign Missions Act, including any acquisitions of property under the authority of the Foreign Missions Act.

The International Cooperative Administrative Support Services (ICASS) program was fully implemented in 1998 using the Working Capital Fund. ICASS allows more decision-making and managerial participation by all participating agencies, more equitable cost distribution, and incentives for efficient provision of services. Under ICASS, each agency represented at an overseas post chooses the services it wishes to receive and pays a proportional share of the cost of those services. Working through inter-agency councils at each overseas post, all agencies have a say in determining post administrative budgets and defining service standards, as well as reviewing costs and vendor performance.

Object Classification (in millions of dollars)

Identification code 019-4519-0-4-153	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	300	300	300
11.3	Other than full-time permanent	99	99	99
11.5	Other personnel compensation	10	10	10
11.9	Total personnel compensation	409	409	409
12.1	Civilian personnel benefits	279	286	298
13.0	Benefits for former personnel	7	7	7
21.0	Travel and transportation of persons	126	129	135
22.0	Transportation of things	463	475	494
23.2	Rental payments to others	270	277	288
23.3	Communications, utilities, and miscellaneous charges	100	103	107
24.0	Printing and reproduction	11	12	12
25.2	Other services from non-Federal sources	2,999	3,088	3,231
26.0	Supplies and materials	232	238	248

WORKING CAPITAL FUND—Continued
Object Classification—Continued

Identification code 019-4519-0-4-153	2019 actual	2020 est.	2021 est.
31.0 Equipment	300	308	320
41.0 Grants, subsidies, and contributions	46	47	49
44.0 Refunds	6	6	6
99.9 Total new obligations, unexpired accounts	5,248	5,385	5,604

Employment Summary

Identification code 019-4519-0-4-153	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	2,733	2,733	2,733

REPATRIATION LOANS PROGRAM ACCOUNT

For the cost of direct loans, \$1,300,000, as authorized: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed **[\$5,563,619]** \$4,147,881. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-0601-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	1	1	1
0709 Administrative expenses	1		
0900 Total new obligations, unexpired accounts (object class 41.0)	2	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1930 Total budgetary resources available	2	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	2	1	1
3020 Outlays (gross)	-1	-1	-1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 019-0601-0-1-153	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Repatriation Loans	3	2	2
Direct loan subsidy (in percent):			
132001 Repatriation Loans	40.45	41.34	55.45
132999 Weighted average subsidy rate	40.45	41.34	55.45
Direct loan subsidy budget authority:			
133001 Repatriation Loans	1	1	1
Direct loan subsidy outlays:			
134001 Repatriation Loans	1	1	1
Direct loan reestimates:			
135001 Repatriation Loans	-1	-1	

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans for this program. The subsidy amounts are estimated on a net present value basis. Administrative expenses for the program are funded with fee revenue from the Consular and Border Security Programs.

REPATRIATION LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 019-4107-0-3-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	3	2	2
0742 Downward reestimates paid to receipt accounts	1	1	
0900 Total new obligations, unexpired accounts	4	3	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1023 Unobligated balances applied to repay debt	-1		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	2	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund		-1	-1
1825 Spending authority from offsetting collections applied to repay debt	-1		
1850 Spending auth from offsetting collections, mand (total)	2	2	2
1900 Budget authority (total)	4	3	3
1930 Total budgetary resources available	4	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	2
3010 New obligations, unexpired accounts	4	3	2
3020 Outlays (gross)	-3	-3	-3
3050 Unpaid obligations, end of year	2	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	2
3200 Obligated balance, end of year	2	2	1
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	4	3	3
Financing disbursements:			
4110 Outlays, gross (total)	3	3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account	-2	-2	-2
4123 Non-Federal sources	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total)	-3	-3	-3
4160 Budget authority, net (mandatory)	1		
4180 Budget authority, net (total)	1		
4190 Outlays, net (total)			

Status of Direct Loans (in millions of dollars)

Identification code 019-4107-0-3-153	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	3	2	2
1150 Total direct loan obligations	3	2	2
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	6	5	6
1231 Disbursements: Direct loan disbursements		2	2
1251 Repayments: Repayments and prepayments	-1	-1	-1
1290 Outstanding, end of year	5	6	7

Balance Sheet (in millions of dollars)

Identification code 019-4107-0-3-153	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	2	2
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	6	5
1405 Allowance for subsidy cost (-)	-3	-3
1499 Net present value of assets related to direct loans	3	2
1999 Total assets	5	4
LIABILITIES:		
Federal liabilities:		
2103 Debt	5	3
2104 Resources payable to Treasury		
2105 Other		1
2201 Non-Federal liabilities: Accounts payable		
2999 Total liabilities	5	4
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	5	4

Trust Funds

FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-8186-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	19,185	19,318	19,738
Receipts:			
Current law:			
1110 Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund	35	34	34
1140 Interest on Investments, Foreign Service Retirement and Disability Fund	556	562	567
1140 Employing Agency Contributions, Foreign Service Retirement and Disability Fund	365	371	378
1140 Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund	2	1	1
1140 Federal Contributions, Foreign Service Retirement and Disability Fund	159	457	457
1199 Total current law receipts	1,117	1,425	1,437
1999 Total receipts	1,117	1,425	1,437
2000 Total: Balances and receipts	20,302	20,743	21,175
Appropriations:			
Current law:			
2101 Foreign Service Retirement and Disability Fund	-1,118	-1,393	-1,393
2135 Foreign Service Retirement and Disability Fund	134	388	368
2199 Total current law appropriations	-984	-1,005	-1,025
2999 Total appropriations	-984	-1,005	-1,025
5099 Balance, end of year	19,318	19,738	20,150

Program and Financing (in millions of dollars)

Identification code 019-8186-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to beneficiaries	983	1,006	1,025
0900 Total new obligations, unexpired accounts (object class 42.0)	983	1,006	1,025
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,118	1,393	1,393
1235 Appropriations precluded from obligation (special or trust)	-134	-388	-368
1260 Appropriations, mandatory (total)	984	1,005	1,025
1930 Total budgetary resources available	984	1,006	1,025
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			1
3010 New obligations, unexpired accounts	983	1,006	1,025
3020 Outlays (gross)	-983	-1,005	-1,025
3050 Unpaid obligations, end of year		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			1
3200 Obligated balance, end of year		1	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	984	1,005	1,025
Outlays, gross:			
4100 Outlays from new mandatory authority	983	1,005	1,025
4180 Budget authority, net (total)	984	1,005	1,025
4190 Outlays, net (total)	983	1,005	1,025

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	19,184	19,318	19,460
5001 Total investments, EOY: Federal securities: Par value	19,318	19,460	19,598

The Foreign Service Retirement and Disability Fund (FSRDF) was established in 1924 to provide pensions to retired and disabled members of the Foreign Service. The FSRDF's revenues consist of contributions from active participants and their U.S. Government agency employers; appropriations; and interest on investments. Monthly annuity payments are made to eligible retired employees or their survivors. The FSRDF includes the operations of two separate retirement systems—the Foreign Service Retirement and Disability System (FSRDS) and the Foreign Service Pension System (FSPS). This appropriation provides mandatory funding for the Foreign Service Retirement and Disability Fund (FSRDF) as prescribed in the Foreign Service Act of 1980 as authorized in Section(s) 821 and 822.

Status of Funds (in millions of dollars)

Identification code 019-8186-0-7-602	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	19,185	19,318	19,738
0298 Adjustment to reconcile to proprietary accounting	-1		
0999 Total balance, start of year	19,184	19,318	19,738
Cash income during the year:			
Current law:			
Receipts:			
1110 Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund	35	34	34
1150 Interest on Investments, Foreign Service Retirement and Disability Fund	556	562	567
1160 Employing Agency Contributions, Foreign Service Retirement and Disability Fund	365	371	378
1160 Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund	2	1	1
1160 Federal Contributions, Foreign Service Retirement and Disability Fund	159	457	457
1199 Income under present law	1,117	1,425	1,437
1999 Total cash income	1,117	1,425	1,437
Cash outgo during year:			
Current law:			
2100 Foreign Service Retirement and Disability Fund [Budget Acct]	-983	-1,005	-1,025
2199 Outgo under current law	-983	-1,005	-1,025
2999 Total cash outgo (-)	-983	-1,005	-1,025
Surplus or deficit:			
3110 Excluding interest	-422	-142	-155
3120 Interest	556	562	567
3199 Subtotal, surplus or deficit	134	420	412
3999 Total change in fund balance	134	420	412
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year		278	552
4200 Foreign Service Retirement and Disability Fund	19,318	19,460	19,598

FOREIGN SERVICE RETIREMENT AND DISABILITY FUND—Continued
Status of Funds—Continued

Identification code 019-8186-0-7-602	2019 actual	2020 est.	2021 est.
4999 Total balance, end of year	19,318	19,738	20,150

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-8340-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Foreign Service National Separation Liability Trust Fund	33	17	17
2000 Total: Balances and receipts	33	17	17
Appropriations:			
Current law:			
2101 Foreign Service National Separation Liability Trust Fund	-33	-17	-17
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 019-8340-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to Beneficiaries - Locally Engaged Staff	29	29	29
0900 Total new obligations, unexpired accounts (object class 42.0)	29	29	29

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	356	361	349
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	357	361	349
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	33	17	17
1930 Total budgetary resources available	390	378	366
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	361	349	337

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	6	9
3010 New obligations, unexpired accounts	29	29	29
3020 Outlays (gross)	-28	-26	-17
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	6	9	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	6	9
3200 Obligated balance, end of year	6	9	21

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	33	17	17
Outlays, gross:			
4100 Outlays from new mandatory authority	25	17	17
4101 Outlays from mandatory balances	3	9	
4110 Outlays, gross (total)	28	26	17
4180 Budget authority, net (total)	33	17	17
4190 Outlays, net (total)	28	26	17

This fund is maintained to pay accrued separation liability payments for eligible Foreign Service National (FSN), FSN Personal Service Contractors (PSC), and FSN Personal Service Agreements (PSA) employees of the Department of State in those countries in which such pay is legally authorized. The fund, as authorized by section 151 of Public Law 102-138 (22 U.S.C. 4012a), is maintained by annual government contributions from the Department's Diplomatic Programs (DP) account (including Program Direct, Public Diplomacy and Worldwide Security Protection resources), Consular Affairs (CA) Consular and Border Security Program (CBSP) fees, the International Narcotics Control and Law Enforcement (INCLE)

account, and International Cooperative Administrative Support Services (ICASS) working capital fund that includes both State's DP and other agencies shares. Eligible local staff include former United States Agency for International Development (USAID) ICASS employees who were consolidated into the Department. The Department of State funds and manages its own FSNSLTF separate and apart from any separation pay that may be provided by other agencies to non-State Locally Employed Staff (LE Staff).

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-9971-0-7-153	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			14
Receipts:			
Current law:			
1130 Contributions, Educational and Cultural Exchange, USIA		1	1
1130 Unconditional Gift Fund	17	13	13
1130 Deposits, Conditional Gift Fund	1	1	1
1140 Earnings on Investments, Unconditional Gift Fund		1	1
1140 Interest, Miscellaneous Trust Funds, USIA		1	1
1199 Total current law receipts	18	17	17
1999 Total receipts	18	17	17
2000 Total: Balances and receipts	18	17	31
Appropriations:			
Current law:			
2101 Miscellaneous Trust Funds	-18	-3	-3
5099 Balance, end of year		14	28

Program and Financing (in millions of dollars)

Identification code 019-9971-0-7-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Conditional gift fund	18	13	13
0900 Total new obligations, unexpired accounts (object class 33.0)	18	13	13

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	41	31
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	41	41	31
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	18	3	3
1930 Total budgetary resources available	59	44	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	41	31	21

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	12	20
3010 New obligations, unexpired accounts	18	13	13
3020 Outlays (gross)	-14	-5	-5
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	12	20	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	12	20
3200 Obligated balance, end of year	12	20	28

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	18	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	10	1	1
4101 Outlays from mandatory balances	4	4	4
4110 Outlays, gross (total)	14	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		

Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts	1	
4160	Budget authority, net (mandatory)	18	3
4170	Outlays, net (mandatory)	13	5
4180	Budget authority, net (total)	18	3
4190	Outlays, net (total)	13	5
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	24	22
5001	Total investments, EOY: Federal securities: Par value	22	20

Gift funds.—The Department has authority to accept gifts for use in carrying out the Department's functions, pursuant to statutes including section 25 of the State Department Basic Authorities Act (22 U.S.C. 2697). Among other purposes, funds are used to renovate, furnish, and maintain the Department's diplomatic reception rooms and embassy properties overseas.

INTERNATIONAL ORGANIZATIONS AND CONFERENCES

Federal Funds

CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS

For necessary expenses, not otherwise provided for, to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions, or specific Acts of Congress, **[\$1,473,806,000, of which \$96,240,000]** *\$966,224,000*, to remain available until September 30, **[2021]**, is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That the Secretary of State shall, at the time of the submission of the President's budget to Congress under section 1105(a) of title 31, United States Code, transmit to the Committees on Appropriations the most recent biennial budget prepared by the United Nations for the operations of the United Nations: *Provided further*, That the Secretary of State shall notify the Committees on Appropriations at least 15 days in advance (or in an emergency, as far in advance as is practicable) of any United Nations action to increase funding for any United Nations program without identifying an offsetting decrease elsewhere in the United Nations budget: *Provided further*, That any payment of arrearages under this heading shall be directed to activities that are mutually agreed upon by the United States and the respective international organization and shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That none of the funds appropriated under this heading shall be available for a United States contribution to an international organization for the United States share of interest costs made known to the United States Government by such organization for loans incurred on or after October 1, 1984, through external borrowings **2022**. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 019-1126-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contributions to International Organizations	1,217	1,622	966
0900 Total new obligations, unexpired accounts (object class 41.0)	1,217	1,622	966
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	5	1
1012 Unobligated balance transfers between expired and unexpired accounts		144	
1050 Unobligated balance (total)	6	149	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,264	1,378	966
1100 Appropriation - OCO	96	96	
1160 Appropriation, discretionary (total)	1,360	1,474	966
1930 Total budgetary resources available	1,366	1,623	967
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-144		
1941 Unexpired unobligated balance, end of year	5	1	1

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	400	348
3010	New obligations, unexpired accounts	1,217	1,622
3011	Obligations ("upward adjustments"), expired accounts	10	
3020	Outlays (gross)	-1,257	-1,570
3041	Recoveries of prior year unpaid obligations, expired	-22	
3050	Unpaid obligations, end of year	348	400
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	400	348
3200	Obligated balance, end of year	348	400

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	1,360	1,474
Outlays, gross:			
4010	Outlays from new discretionary authority	944	1,262
4011	Outlays from discretionary balances	313	308
4020	Outlays, gross (total)	1,257	1,570
4180	Budget authority, net (total)	1,360	1,474
4190	Outlays, net (total)	1,257	1,570

As a member of the United Nations and other international organizations, the United States contributes an assessed share to meet annual obligations to these organizations, net of certain withholdings. The purpose of this appropriation is to ensure continued support to organizations that serve important U.S. interests.

CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES

For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, **[\$1,526,383,000]** *\$1,079,200,000*, of which \$988,656,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That of the funds made available under this heading, up to \$1,069,315,000 may **[2021: *Provided further***, That none of the funds made available by this Act shall be obligated or expended for any new or expanded United Nations peacekeeping mission unless, at least 15 days in advance of voting for such mission in the United Nations Security Council (or in an emergency as far in advance as is practicable), the Committees on Appropriations are notified of: (1) the estimated cost and duration of the mission, the objectives of the mission, the national interest that will be served, and the exit strategy; and (2) the sources of funds, including any reprogrammings or transfers, that will be used to pay the cost of the new or expanded mission, and the estimated cost in future fiscal years: *Provided further*, That none of the funds appropriated under this heading may be made available for obligation unless the Secretary of State certifies and reports to the Committees on Appropriations on a peacekeeping mission-by-mission basis that the United Nations is implementing effective policies and procedures to prevent United Nations employees, contractor personnel, and peacekeeping troops serving in such mission from trafficking in persons, exploiting victims of trafficking, or committing acts of sexual exploitation and abuse or other violations of human rights, and to hold accountable individuals who engage in such acts while participating in such mission, including prosecution in their home countries and making information about such prosecutions publicly available on the website of the United Nations: *Provided further*, That the Secretary of State shall work with the United Nations and foreign governments contributing peacekeeping troops to implement effective vetting procedures to ensure that such troops have not violated human rights: *Provided further*, That funds shall be available for peacekeeping expenses unless the Secretary of State determines that United States manufacturers and suppliers are not being given opportunities to provide equipment, services, and material for United Nations peacekeeping activities equal to those being given to foreign manufacturers and suppliers: *Provided further*, That none of the funds appropriated or otherwise made available under this heading may be used for any United Nations peacekeeping mission that will involve United States Armed Forces under the command or operational control of a foreign national, unless the President's military advisors have submitted to the President a recommendation that such involvement is in the national interest of the United States and the President has submitted to Congress such a recommendation **2022: *Provided [further]***, That the Secretary of State **[shall]** *should* work with the United Nations and members of the United Nations Security Council to evaluate and prioritize peacekeeping missions, and to consider a drawdown when mission goals have been substantially achieved **[**: *Provided further*, That any payment of arrearages with funds appropriated

CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES—Continued
by this Act shall be subject to the regular notification procedures of the Committees on Appropriations. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019–1124–0–1–153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0020 Contributions for International Peacekeeping Activities (Direct)	1,517	1,500	1,436
0900 Total new obligations, unexpired accounts (object class 41.0)	1,517	1,500	1,436
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	973	1,007	1,034
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	562	538	1,079
1100 Appropriation (OCO)	989	989
1160 Appropriation, discretionary (total)	1,551	1,527	1,079
1930 Total budgetary resources available	2,524	2,534	2,113
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,007	1,034	677
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	409	855	465
3010 New obligations, unexpired accounts	1,517	1,500	1,436
3020 Outlays (gross)	-1,071	-1,890	-1,366
3050 Unpaid obligations, end of year	855	465	535
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	409	855	465
3200 Obligated balance, end of year	855	465	535
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,551	1,527	1,079
Outlays, gross:			
4010 Outlays from new discretionary authority	1,406	917
4011 Outlays from discretionary balances	1,071	484	449
4020 Outlays, gross (total)	1,071	1,890	1,366
4180 Budget authority, net (total)	1,551	1,527	1,079
4190 Outlays, net (total)	1,071	1,890	1,366

This appropriation provides funds for the United States' contributions toward the expenses associated with United Nations (UN) peacekeeping operations for which costs are distributed among UN members based on a scale of assessments. The purpose of this appropriation is to ensure continued support of UN peacekeeping activities that serve U.S. interests in promoting international security, stability, and democracy.

INTERNATIONAL COMMISSIONS

Federal Funds

INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:

INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO

For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation expenses []; as follows:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For salaries and expenses, not otherwise provided for, [\$48,170,000] \$49,770,000, of which \$7,465,000 shall remain available until September 30, 2022: Provided, That funds appropriated under the headings "Salaries and Expenses" and "Construction" pertaining to the United States Section, may be transferred to, and merged with, funds appropriated under such headings, to address emergency circumstances

pertaining to critical infrastructure and public safety, or for activities necessary for national security: Provided further, That this transfer authority is in addition to any other transfer authority provided in this Act. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019–1069–0–1–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Boundary and Water Commission - Salaries and Expenses	49	48	50
0801 Salaries and Expenses, IBWC (Reimbursable)	7	7	7
0900 Total new obligations, unexpired accounts	56	55	57
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	48	48	50
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	8	7
1701 Change in uncollected payments, Federal sources	6
1750 Spending auth from offsetting collections, disc (total)	13	8	7
1900 Budget authority (total)	61	56	57
1930 Total budgetary resources available	61	56	58
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5
1941 Unexpired unobligated balance, end of year	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	15	7
3010 New obligations, unexpired accounts	56	55	57
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-56	-63	-56
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	15	7	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-10	-12	-12
3070 Change in uncollected pymts, Fed sources, unexpired	-6
3071 Change in uncollected pymts, Fed sources, expired	4
3090 Uncollected pymts, Fed sources, end of year	-12	-12	-12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	3	-5
3200 Obligated balance, end of year	3	-5	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	61	56	57
Outlays, gross:			
4010 Outlays from new discretionary authority	42	49	49
4011 Outlays from discretionary balances	14	14	7
4020 Outlays, gross (total)	56	63	56
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-8	-7
4040 Offsets against gross budget authority and outlays (total)	-9	-8	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-6
4052 Offsetting collections credited to expired accounts	2
4060 Additional offsets against budget authority only (total)	-4
4070 Budget authority, net (discretionary)	48	48	50
4080 Outlays, net (discretionary)	47	55	49
4180 Budget authority, net (total)	48	48	50
4190 Outlays, net (total)	47	55	49

Pursuant to treaties between the United States and Mexico and U.S. law, the U.S. Section of the International Boundary and Water Commission (IBWC) is charged with the identification and resolution of current and anticipated boundary and water problems arising along the almost 2,000-mile common border, including the southern borders of Texas, New Mexico, Arizona, and California. Administration, Engineering, and Operations and Maintenance activities are also funded by the Salaries and Expenses appropriation.

Administration.—Resources provide for negotiations and supervision of joint projects with Mexico to resolve international boundary, water, and environmental problems; overall control of the operation of the U.S. section of the Commission; formulation of operating policies and procedures; and financial management and administrative services to carry out international obligations of the United States, pursuant to treaty and congressional authorization.

Engineering.—Resources provide for technical engineering guidance and supervision of planning, construction, operation and maintenance, and environmental monitoring and compliance of international projects; studies relating to international problems of a continuing nature; and preliminary surveys and investigations to determine the need for and feasibility of projects for the resolution of international problems arising along the boundary.

Operation and Maintenance (O&M).—This activity finances the measurement and determination of the national ownership of boundary waters and the distribution thereof, as well as the U.S. part of the operations and maintenance of sanitation facilities, river channel and levee projects, flood control dams and hydroelectric power, gauging stations, water quality control projects and boundary demarcation, monuments, and markers. Reimbursements are received from Mexico for O&M costs of the South Bay and Nogales International Wastewater Treatment Plants as well as from the City of Nogales for O&M costs at Nogales. Other reimbursements are received from the Western Area Power Administration, U.S. Department of Energy, for O&M and capital costs of hydroelectric generation at Falcon and Amistad International Dams.

Object Classification (in millions of dollars)

Identification code 019-1069-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	18	18	18
12.1 Civilian personnel benefits	6	6	7
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	4	4	4
25.2 Other services from non-Federal sources	16	16	16
26.0 Supplies and materials	2	2	2
31.0 Equipment	1		1
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	49	48	50
99.0 Reimbursable obligations	7	7	7
99.9 Total new obligations, unexpired accounts	56	55	57

Employment Summary

Identification code 019-1069-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	253	253	253

CONSTRUCTION

For detailed plan preparation and construction of authorized projects, **[\$36,900,000]** \$49,000,000, to remain available until expended, as authorized. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-1078-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 International Boundary and Water Commission - Construction	21	37	49
0100 Construction, IBWC (Direct)	21	37	49
0801 Construction, IBWC (Reimbursable)	1	1	1
0900 Total new obligations, unexpired accounts	22	38	50
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	83	103	103

1021 Recoveries of prior year unpaid obligations	12		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	96	103	103
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29	37	49
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1701 Change in uncollected payments, Federal sources	-1		
Spending auth from offsetting collections, disc (total)			
1750		1	1
1900 Budget authority (total)	29	38	50
1930 Total budgetary resources available	125	141	153
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	103	103	103

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	46	24	1
3010 New obligations, unexpired accounts	22	38	50
3020 Outlays (gross)	-32	-61	-50
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	24	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070 Change in uncollected pymts, Fed sources, unexpired	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	45	24	1
3200 Obligated balance, end of year	24	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	29	38	50
Outlays, gross:			
4010 Outlays from new discretionary authority	7	38	50
4011 Outlays from discretionary balances	25	23	
4020 Outlays, gross (total)	32	61	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	29	37	49
4080 Outlays, net (discretionary)	30	60	49
4180 Budget authority, net (total)	29	37	49
4190 Outlays, net (total)	30	60	49

Construction.—This fund provides for the construction of projects to resolve current and anticipated international problems of water supply, water quality, sewage treatment, flood damage reduction and management and operation of facilities and infrastructure, pursuant to the treaties and international agreements with Mexico. Projects are normally constructed jointly with Mexico. This account also receives reimbursement for such projects.

Object Classification (in millions of dollars)

Identification code 019-1078-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	5	4	5
31.0 Equipment	1		
32.0 Land and structures	15	33	44
99.0 Direct obligations	21	37	49
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations, unexpired accounts	22	38	50

AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States and Canada or Great Britain, and the Border Environment Cooperation Commission as authorized by the North American Free Trade Agreement Implementation Act (Public Law 103-182),

AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS—Continued

\$15,008,000] \$10,661,000: Provided, That of the amount provided under this heading for the International Joint Commission, up to \$1,250,000] \$500,000 may remain available until September 30, [2021] 2022, and up to \$9,000 may be made available for representation expenses: Provided further, That of the amount provided under this heading for the International Boundary Commission, up to \$1,000 may be made available for representation expenses. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-1082-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 American Sections, International Commissions (Direct)	13	15	11
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13	15	11
1930 Total budgetary resources available	13	15	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	8
3010 New obligations, unexpired accounts	13	15	11
3020 Outlays (gross)	-12	-13	-12
3050 Unpaid obligations, end of year	6	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	8
3200 Obligated balance, end of year	6	8	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13	15	11
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	8
4011 Outlays from discretionary balances	2	3	4
4020 Outlays, gross (total)	12	13	12
4180 Budget authority, net (total)	13	15	11
4190 Outlays, net (total)	12	13	12

These funds are used for payment of the U.S. share of the expenses of:

International Boundary Commission (IBC).—The Commission, in accordance with existing treaties, maintains the integrity of a well-delineated boundary between the United States and Canada by: surveying, inspecting, and clearing the boundary; repairing or replacing monuments; regulating construction crossing the boundary; and serving as the official U.S. Government source for boundary-specific positional and cartographic data.

International Joint Commission (IJC).—Pursuant to the Boundary Waters Treaty of 1909 and related treaties and agreements, the Commission approves, regulates, and monitors structures in boundary waters and transboundary streams, apportions waters between the United States and Canada in selected rivers, and investigates matters referred to it by the United States and Canada that principally include transboundary environmental issues.

Border Environment Cooperation Commission (BECC).—This bilateral organization reviews and certifies project proposals and provides technical and financial planning assistance to U.S. and Mexican states and local communities to develop effective solutions to environmental and public health concerns in the U.S.-Mexico border region. The Commission was integrated within the North American Development Bank (NADB) on November 10, 2017. No appropriation is being requested for BECC in FY 2021.

Object Classification (in millions of dollars)

Identification code 019-1082-0-1-301	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
11.9 Total personnel compensation	2	2	2

25.2 Other services from non-Federal sources	11	13	9
99.9 Total new obligations, unexpired accounts	13	15	11

Employment Summary

Identification code 019-1082-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	26	26	26

INTERNATIONAL FISHERIES COMMISSIONS

For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, \$62,718,000] \$34,676,000: Provided, That the United States share of such expenses may be advanced to the respective commissions pursuant to section 3324 of title 31, United States Code. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-1087-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 International Fisheries Commissions	2	2	2
0006 Great Lakes Fishery Commission	37	50	22
0008 Inter-Pacific Halibut Commission	4	4	4
0009 Pacific Salmon Commission	4	4	4
0010 Other Commissions and Marine Science Organizations	4	3	3
0900 Total new obligations, unexpired accounts (object class 41.0)	51	63	35
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	51	63	35
1930 Total budgetary resources available	51	63	35
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	51	63	35
3020 Outlays (gross)	-51	-63	-36
3050 Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	51	63	35
Outlays, gross:			
4010 Outlays from new discretionary authority	50	62	35
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	51	63	36
4180 Budget authority, net (total)	51	63	35
4190 Outlays, net (total)	51	63	36

This appropriation provides the United States' treaty mandated assessments and expenses to 19 international commissions and organizations including three bilateral commissions (the Great Lakes Fisheries Commission, the International Pacific Halibut Commission, and the Pacific Salmon Commission), nine multilateral bodies, two marine science organizations, one whaling commission, the Arctic Council and the Antarctic Treaty Secretariat, as well as funding regional sea turtle and shark conservation, and travel expenses of non-government U.S. commissioners and their advisors. These commissions and organizations coordinate scientific studies of shared fish stocks and other living marine resources and their habitats and establish common management measures to be implemented by member governments based on their results. Many also oversee the allocation of fishing rights to their members. In addition, the Great Lakes Fishery Commission carries out a program to eradicate the invasive, parasitic sea lamprey. The marine science organizations coordinate international research on valuable fisheries, oceanography, and marine ecosystems and the results

are publicly disseminated and used to advise member governments on fisheries and marine science policy.

OTHER
Federal Funds

GLOBAL HIV/AIDS INITIATIVE

Program and Financing (in millions of dollars)

Identification code 019-1030-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Global HIV/AIDS Initiative	10	8	3
0900 Total new obligations, unexpired accounts (object class 41.0)	10	8	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	9	3
1021 Recoveries of prior year unpaid obligations	4	2	2
1050 Unobligated balance (total)	19	11	5
1930 Total budgetary resources available	19	11	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	3	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	7	5
3010 New obligations, unexpired accounts	10	8	3
3020 Outlays (gross)	-8	-8	-5
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-2	-2
3050 Unpaid obligations, end of year	7	5	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	7	5
3200 Obligated balance, end of year	7	5	1
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	8	8	5
4180 Budget authority, net (total)	8	8	5
4190 Outlays, net (total)	8	8	5

The first phase of the President's Emergency Plan for AIDS Relief (PEPFAR), from 2004 to 2008, was the largest ever global public health initiative by a single country to fight the HIV/AIDS epidemic. Funding was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

FUNDS APPROPRIATED TO THE PRESIDENT

For necessary expenses to enable the President to carry out the provisions of the Foreign Assistance Act of 1961, and for other purposes, as follows:

GLOBAL HEALTH PROGRAMS

For necessary expenses to carry out the provisions of chapters 1 and 10 of part I of the Foreign Assistance Act of 1961, for global health activities, in addition to funds otherwise available for such purposes, **[\$3,162,450,000]** *\$2,160,100,000*, to remain available until September 30, **[2021]** *2022*, and which shall be apportioned directly to the United States Agency for International Development **[not later than 60 days after enactment of this Act]**: *Provided*, That this amount shall be made available for training, equipment, and technical assistance to build the capacity of public health institutions and organizations in developing countries, and for such activities as: (1) child survival and maternal health programs; (2) immunization and oral rehydration programs; (3) other health, nutrition, water and sanitation programs which directly address the needs of mothers and children, and related education programs; (4) assistance for children displaced or orphaned by causes other than AIDS; (5) programs for the prevention, treatment, control of, and research on HIV/AIDS, tuberculosis, polio, malaria, and other infectious diseases including neglected tropical diseases, and for assistance to communities severely affected by HIV/AIDS, including children infected or affected by AIDS; (6) disaster preparedness

training for health crises; (7) programs to prevent, prepare for, and respond to, unanticipated and emerging global health threats; and (8) family planning/reproductive health: *Provided further*, That funds appropriated under this paragraph may be made available for a United States contribution to The GAVI Alliance: *Provided further*, That none of the funds made available in this Act nor any unobligated balances from prior appropriations Acts may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: *Provided further*, That any determination made under the previous proviso must be made not later than 6 months after the date of enactment of this Act, and must be accompanied by the evidence and criteria utilized to make the determination: *Provided further*, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: *Provided further*, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961: *Provided further*, That none of the funds made available under this Act may be used to lobby for or against abortion: *Provided further*, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only in the context of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the USAID Administrator determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations a report containing a description of such violation and the corrective action taken by the Agency: *Provided further*, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: *Provided further*, That for purposes of this or any other Act authorizing or appropriating funds for the Department of State, foreign operations, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: *Provided further*, That information provided about the use of condoms as part of projects or activities that are funded from amounts appropriated by this Act shall be medically accurate and shall include the public health benefits and failure rates of such use.

In addition, for necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the prevention, treatment, and control of, and research on, HIV/AIDS, **[\$5,930,000,000]** *\$3,837,866,000*, to remain available until September 30, **[2024]** *2025*, which shall be apportioned directly to the Department of State **[not later than 60 days after enactment of this Act]**: *Provided*, That funds appropriated under this paragraph may be made available, notwithstanding any other provision of law, except for the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (Public Law 108-25), for a United States contribution to the Global Fund to Fight AIDS, Tuberculosis and Malaria (Global Fund): *Provided further*, That the amount of such contribution **[shall]** *should* be **[\$1,560,000,000]** and shall be for the first installment of the sixth replenishment **[\$657,550,000]**: *Provided further*, That none of the funds provided in this or prior fiscal years may be used to cause the total amount of United States Government contributions to the Global Fund over the course of the Global Fund's sixth replenishment to exceed 25

GLOBAL HEALTH PROGRAMS—Continued

percent of the total amount of funds contributed to the Global Fund from all sources over the course of such replenishment, notwithstanding any other provision of law: Provided further, That up to 5 percent of the aggregate amount of funds made available to the Global Fund in fiscal year [2020] 2021 may be made available to USAID for technical assistance related to the activities of the Global Fund, subject to the regular notification procedures of the Committees on Appropriations: Provided further, That of the funds appropriated under this paragraph, up to \$17,000,000 may be made available, in addition to amounts otherwise available for such purposes, for administrative expenses of the Office of the United States Global AIDS Coordinator. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019–1031–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct Global Health program activity	7,552	7,600	7,600
0002 Administrative Expenses	13	15	15
0799 Total direct obligations	7,565	7,615	7,615
0801 Reimbursable program activity - WCF	875	520	520
0900 Total new obligations, unexpired accounts	8,440	8,135	8,135
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10,164	10,770	11,732
1011 Unobligated balance transfer from other acct [072–1035]	110		
1012 Unobligated balance transfers between expired and unexpired accounts	8		
1021 Recoveries of prior year unpaid obligations	75		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	10,358	10,770	11,732
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8,837	9,092	5,998
1121 Appropriations transferred from other acct [019–1005]	33		
1160 Appropriation, discretionary (total)	8,870	9,092	5,998
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	5	5
1701 Change in uncollected payments, Federal sources	-1		
1750 Spending auth from offsetting collections, disc (total)	1	5	5
1900 Budget authority (total)	8,871	9,097	6,003
1930 Total budgetary resources available	19,229	19,867	17,735
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-19		
1941 Unexpired unobligated balance, end of year	10,770	11,732	9,600
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,608	6,233	5,503
3010 New obligations, unexpired accounts	8,440	8,135	8,135
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-8,707	-8,865	-7,489
3040 Recoveries of prior year unpaid obligations, unexpired	-75		
3041 Recoveries of prior year unpaid obligations, expired	-36		
3050 Unpaid obligations, end of year	6,233	5,503	6,149
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070 Change in uncollected pymts, Fed sources, unexpired	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6,607	6,233	5,503
3200 Obligated balance, end of year	6,233	5,503	6,149
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8,871	9,097	6,003
Outlays, gross:			
4010 Outlays from new discretionary authority	17	294	208
4011 Outlays from discretionary balances	8,690	8,571	7,281
4020 Outlays, gross (total)	8,707	8,865	7,489
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-5	-5
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-4	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		

4052	Offsetting collections credited to expired accounts	1		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4060	Additional offsets against budget authority only (total)	3		
4070	Budget authority, net (discretionary)	8,870	9,092	5,998
4080	Outlays, net (discretionary)	8,703	8,860	7,484
4180	Budget authority, net (total)	8,870	9,092	5,998
4190	Outlays, net (total)	8,703	8,860	7,484

The Global Health Programs account funds health-related foreign assistance for the Department of State (DOS) and the U.S. Agency for International Development (USAID). Global health programs seek to improve health outcomes by increasing impact through strategic integration and coordination; strengthening and leveraging multilateral institutions; encouraging country ownership and investing in country-led plans; building sustainability through health systems strengthening; improving metrics, monitoring and evaluation; and promoting research, development and innovation.

Global Health Programs-State.—The Global Health Programs (GHP-State) account supports the goal of controlling the HIV/AIDS epidemic through the President's Emergency Plan for AIDS Relief (PEPFAR). The 2021 Budget requests \$3,837.9 million in the GHP-State account. PEPFAR is led by the Office of the Global AIDS Coordinator in DOS, which draws upon the expertise and experience of other U.S. government partners such as USAID, the Department of Health and Human Services, the Department of Defense, and the Peace Corps to align resources and expertise in the fight against global AIDS. Programs work through expanded partnerships to build capacity for effective, innovative, country-led, and sustainable services, and to create a supportive and enabling policy environment for combating HIV/AIDS, including as part of the broader USG and country-level health and development approach. In addition, PEPFAR supports implementation of strong monitoring and evaluation systems to set benchmarks for outcomes and programmatic efficiencies through regularly assessed planning and reporting processes to ensure goals are being met. PEPFAR programs support strategic, scientifically sound investments to rapidly scale up core HIV/AIDS prevention, care, and treatment interventions within the context of strengthened health systems, particularly in terms of human resources in nations with severe health worker shortages and lack of service delivery capacity. PEPFAR integrates its efforts with important programs in other areas of global health as well as other areas of development, including the areas of education, gender equity, and economic development. A contribution of \$657.6 million to the Global Fund to Fight AIDS, Tuberculosis and Malaria is included in the GHP-State request to support the U.S. pledge of up to \$3.3 billion for the Global Fund's sixth replenishment (2020–2022), combined with previously appropriated funds. The Budget offers to match \$1 for every \$3 contributed by other donors.

Global Health Programs-USAID.—The 2021 Budget requests \$2,160.1 million in the GHP-USAID account for a comprehensive and integrated approach to improve global health outcomes. USAID, working in partnership with foreign governments, local private sector and non-governmental organizations, and other public-private partnerships, will build capacity, strengthen health systems, and promote sustainable integrated health care for vulnerable populations. Funding includes activities that support the goal of ending preventable child deaths, in synergy with activities to combat malaria, address family planning and reproductive health, and support nutrition activities addressing such issues as micronutrient deficiencies and community management of acute malnutrition. Activities will also address the threat of other infectious diseases such as tuberculosis and multi-drug resistant tuberculosis, neglected tropical diseases in developing countries, and pandemic disease outbreaks. The Budget also provides \$290 million to support a multi-year (2020–2023), \$1.16 billion contribution to Gavi, the Vaccine Alliance.

Object Classification (in millions of dollars)

Identification code 019-1031-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	8	8	8
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	11	11	11
23.1 Rental payments to GSA	9	9	9
23.2 Rental payments to others	3	3	3
25.1 Advisory and assistance services	105	105	105
25.3 Other goods and services from Federal sources	9	9	9
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	5	5	5
41.0 Grants, subsidies, and contributions	7,409	7,459	7,459
99.0 Direct obligations	7,565	7,615	7,615
99.0 Reimbursable obligations	875	520	520
99.9 Total new obligations, unexpired accounts	8,440	8,135	8,135

Employment Summary

Identification code 019-1031-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	59	89	89

MIGRATION AND REFUGEE ASSISTANCE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses not otherwise provided for, [to enable the Secretary of State to carry out the provisions of section 2(a) and (b) of the Migration and Refugee Assistance Act of 1962 (22 U.S.C. 2601), and other activities to meet refugee and migration needs; salaries and expenses of personnel and dependents as authorized by the Foreign Service Act of 1980 (22 U.S.C. 3901 et seq.); allowances as authorized by sections 5921 through 5925 of title 5, United States Code; purchase and hire of passenger motor vehicles; and services as authorized by section 3109 of title 5, United States Code, \$3,432,000,000, to remain available until expended, of which: \$1,521,355,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985; not less than \$35,000,000 shall be made available to respond to small-scale emergency humanitarian requirements; and \$5,000,000 shall be made available for refugees resettling in Israel.] for refugee resettlement in Israel and in the United States, including pursuant to section 2 of the Migration and Refugee Assistance Act of 1962 and section 412(b) of the Immigration and Nationality Act; for salaries and expenses, including under section 5 of the Migration and Refugee Assistance Act of 1962 and for personnel and dependents as authorized by the Foreign Service Act of 1980; for allowances as authorized by sections 5921 through 5925 of title 5, United States Code; for purchase and hire of passenger motor vehicles; and for services as authorized by section 3109 of title 5, United States Code, \$299,214,000, to remain available until expended, of which \$5,000,000 may be made available for refugees resettling in Israel.

In addition, amounts provided under the heading "International Humanitarian Assistance" in this Act may be transferred and merged with amounts provided under this heading for refugee resettlement in the United States to carry out section 2 of the Migration and Refugee Assistance Act of 1962 and section 412(b) of the Immigration and Nationality Act: Provided, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-1143-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Overseas assistance	2,968	3,168	462
0002 U.S. refugee admissions program	293	293	296
0003 Refugees to Israel	5	5	5
0005 Administrative expenses	43	50	40
0799 Total direct obligations	3,309	3,516	803

0801 Migration and Refugee Assistance (Reimbursable)		1	1
0900 Total new obligations, unexpired accounts	3,309	3,517	804

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	398	528	474
1021 Recoveries of prior year unpaid obligations	6	30	30
1050 Unobligated balance (total)	404	558	504
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,028	1,911	299
1100 Appropriation-OCO	1,404	1,521	
1121 Appropriations transferred from other acct [011-0040]	1		
1160 Appropriation, discretionary (total)	3,433	3,432	299
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1900 Budget authority (total)	3,433	3,433	300
1930 Total budgetary resources available	3,837	3,991	804
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	528	474	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,389	1,198	385
3010 New obligations, unexpired accounts	3,309	3,517	804
3020 Outlays (gross)	-3,494	-4,300	-874
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-30	-30
3050 Unpaid obligations, end of year	1,198	385	285
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,389	1,198	385
3200 Obligated balance, end of year	1,198	385	285

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,433	3,433	300
Outlays, gross:			
4010 Outlays from new discretionary authority	2,243	2,695	236
4011 Outlays from discretionary balances	1,251	1,605	638
4020 Outlays, gross (total)	3,494	4,300	874
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4180 Budget authority, net (total)	3,433	3,432	299
4190 Outlays, net (total)	3,494	4,299	873

Funds requested in the Migration and Refugee Assistance (MRA) account will be used to support:

Humanitarian Migrants to Israel.—These funds assist humanitarian migrants resettling in Israel.

U.S. Refugee Admissions.—MRA funds overseas processing, transportation, and initial placement for refugees and certain other categories of special immigrants resettling in the United States. These activities are carried out primarily by NGO partners and the International Organization for Migration (IOM).

Administrative Expenses.—These funds finance the salaries and operating expenses for the Bureau of Population, Refugees, and Migration in Washington, D.C. and overseas. (Note: Funds for the salaries and support costs of the positions dedicated to international population policy and coordination are requested under the Department of State's Diplomatic Programs appropriation.)

In past years, the majority of the MRA account addressed the protection and assistance needs of refugees, conflict victims, stateless persons, and vulnerable migrants worldwide. Funds primarily supported the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), and IOM, as well as non-governmental organizations (NGOs).

As part of an Administration effort to optimize humanitarian assistance, prioritize funding, and use funding as effectively and efficiently as possible, there is no request in MRA for overseas humanitarian assistance needs. Instead, these funds along with funds previously requested in International Disaster Assistance (IDA) account are consolidated in the new International Humanitarian Assistance (IHA) account.

MIGRATION AND REFUGEE ASSISTANCE—Continued

Funds in IHA will support all aspects of overseas humanitarian assistance, including shelter, protection, emergency health and nutrition, the provision of safe drinking water, livelihoods supports, emergency food interventions, rehabilitation, disaster risk reduction, and transition to development assistance programs and will support the programs of international organizations, including UNHCR, ICRC, and IOM, the World Food Program (WFP), the UN Office for the Coordination of Humanitarian Affairs (OCHA), as well as non-governmental organizations (NGOs).

In addition, appropriations language under the MRA account gives the Secretary of State authority to transfer funding from IHA to MRA should projected funding for refugee resettlement not be sufficient.

Object Classification (in millions of dollars)

Identification code 019–1143–0–1–151	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	20	21	21
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	2	2	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	37	37	37
41.0 Grants, subsidies, and contributions	3,242	3,448	736
99.0 Direct obligations	3,309	3,516	803
99.0 Reimbursable obligations		1	1
99.9 Total new obligations, unexpired accounts	3,309	3,517	804

Employment Summary

Identification code 019–1143–0–1–151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	141	141	141

UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND

For necessary expenses to carry out the provisions of section 2(c) of the Migration and Refugee Assistance Act of 1962 (22 U.S.C. 2601(c)), \$100,000, to remain available until expended: *Provided*, That amounts in excess of the limitation contained in paragraph (2) of such section shall be transferred to, and merged with, funds made available by this Act under the heading "Migration and Refugee Assistance". (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019–0040–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United States Emergency Refugee and Migration Assistance Fund (Direct)		50	50
0900 Total new obligations, unexpired accounts (object class 41.0)		50	50
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	100	100	50
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1		
1120 Appropriations transferred to other acct [019–1143]	–1		
1930 Total budgetary resources available	100	100	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	50	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			5
3010 New obligations, unexpired accounts		50	50
3020 Outlays (gross)		–45	
3050 Unpaid obligations, end of year		5	55
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			5
3200 Obligated balance, end of year		5	55

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		45	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		45	

The Emergency Refugee and Migration Assistance Fund enables the President to provide humanitarian assistance for unexpected and urgent refugee and migration needs worldwide. In 2021, no funding is requested for the U.S. Emergency Refugee and Migration Assistance (ERMA) account. The new International Humanitarian Assistance (IHA) account will support ongoing humanitarian needs overseas as well as unexpected, urgent, refugee and migration needs.

COMPLEX CRISES FUND

For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 to support programs and activities administered by the United States Agency for International Development to prevent or respond to emerging or unforeseen foreign challenges and complex crises overseas, \$30,000,000, to remain available until expended: *Provided*, That funds appropriated under this heading may be made available on such terms and conditions as are appropriate and necessary for the purposes of preventing or responding to such challenges and crises, except that no funds shall be made available for lethal assistance or to respond to natural disasters: *Provided further*, That funds appropriated under this heading may be made available notwithstanding any other provision of law, except sections 7007, 7008, and 7018 of this Act and section 620M of the Foreign Assistance Act of 1961: *Provided further*, That funds appropriated under this heading may be used for administrative expenses, in addition to funds otherwise available for such purposes, except that such expenses may not exceed 5 percent of the funds appropriated under this heading: *Provided further*, That funds appropriated under this heading shall be apportioned to USAID not later than 60 days after enactment of this Act: *Provided further*, That funds appropriated under this heading shall be subject to the regular notification procedures of the Committees on Appropriations, except that such notifications shall be transmitted at least 5 days prior to the obligation of funds. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072–1015–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Complex Crises Fund (Direct)	18	26	25
0900 Total new obligations, unexpired accounts (object class 41.0)	18	26	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	63	75	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	30	
1100 Appropriation (OCO)	20		
1131 Unobligated balance of appropriations permanently reduced		–40	
1160 Appropriation, discretionary (total)	30	–10	
1930 Total budgetary resources available	93	65	39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	75	39	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	32	30	30
3010 New obligations, unexpired accounts	18	26	25
3020 Outlays (gross)	–19	–26	–25
3041 Recoveries of prior year unpaid obligations, expired	–1		
3050 Unpaid obligations, end of year	30	30	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	32	30	30
3200 Obligated balance, end of year	30	30	30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	–10	

Outlays, gross:			
4010	Outlays from new discretionary authority	8
4011	Outlays from discretionary balances	19	18 25
4020	Outlays, gross (total)	19	26 25
4180	Budget authority, net (total)	30	-10
4190	Outlays, net (total)	19	26 25

The Complex Crises Fund supports rapid response capabilities for assistance activities to prevent or respond to emerging or unforeseen complex crises. In 2021 in an effort to streamline accounts and ensure the most effective use of foreign assistance funding, funds are not being requested for this account; however, the authorities for these types of activities are requested under Peacekeeping Operations and the Economic Support and Development Fund.

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT

For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961, **[\$1,391,000,000]** \$1,010,280,000, to remain available until September 30, **[2021]** 2022: *Provided*, That the Department of State may use the authority of section 608 of the Foreign Assistance Act of 1961, without regard to its restrictions, to receive excess property from an agency of the United States Government for the purpose of providing such property to a foreign country or international organization under chapter 8 of part I of such Act, subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That section 482(b) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated under this heading **]**, except that any funds made available notwithstanding such section shall be subject to the regular notification procedures of the Committees on Appropriations **]**: *Provided further*, That **[** funds appropriated under this heading shall be made available to support training and technical assistance for foreign law enforcement, corrections, judges, and other judicial authorities, utilizing regional partners: *Provided further*, That funds made available under this heading that are transferred to another department, agency, or instrumentality of the United States Government pursuant to section 632(b) of the Foreign Assistance Act of 1961 valued in excess of \$5,000,000, and any agreement made pursuant to section 632(a) of such Act, shall be subject to the regular notification procedures of the Committees on Appropriations **]** *the provision of assistance by any other United States Government department or agency which is comparable to assistance that may be made available under this heading, but which is provided under any other provision of law, shall be provided and administered in accordance with the provisions of sections 481(h) and 622(c) of the Foreign Assistance Act of 1961. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 019-1022-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Counterdrug and Anti-Crime Programs	1,438	2,280	1,022
0801 International Narcotics Control and Law Enforcement (Reimbursable)	1	6	6
0900 Total new obligations, unexpired accounts	1,439	2,286	1,028
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,284	1,402	588
1010 Unobligated balance transfer to other accts [072-1037]	-20
1012 Unobligated balance transfers between expired and unexpired accounts	66	50	45
1021 Recoveries of prior year unpaid obligations	4	4	3
1033 Recoveries of prior year paid obligations	1	1	1
1050 Unobligated balance (total)	1,335	1,457	637
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (regular)	1,497	1,391	1,010
1131 Unobligated balance of appropriations permanently reduced	-12
1160 Appropriation, discretionary (total)	1,485	1,391	1,010
Spending authority from offsetting collections, discretionary:			
1700 Collected	23	26
1900 Budget authority (total)	1,508	1,417	1,010
1930 Total budgetary resources available	2,843	2,874	1,647
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2

1941	Unexpired unobligated balance, end of year	1,402	588	619
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3,456	3,438	4,040
3010	New obligations, unexpired accounts	1,439	2,286	1,028
3011	Obligations ("upward adjustments"), expired accounts	1
3020	Outlays (gross)	-1,247	-1,680	-2,077
3040	Recoveries of prior year unpaid obligations, unexpired	-4	-4	-3
3041	Recoveries of prior year unpaid obligations, expired	-207
3050	Unpaid obligations, end of year	3,438	4,040	2,988
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3,456	3,438	4,040
3200	Obligated balance, end of year	3,438	4,040	2,988

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	1,508	1,417	1,010
Outlays, gross:				
4010	Outlays from new discretionary authority	89	145	101
4011	Outlays from discretionary balances	1,158	1,535	1,976
4020	Outlays, gross (total)	1,247	1,680	2,077
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-21	-27	-1
4033	Non-Federal sources	-4
4040	Offsets against gross budget authority and outlays (total)	-25	-27	-1
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1
4053	Recoveries of prior year paid obligations, unexpired accounts	1	1	1
4060	Additional offsets against budget authority only (total)	2	1	1
4070	Budget authority, net (discretionary)	1,485	1,391	1,010
4080	Outlays, net (discretionary)	1,222	1,653	2,076
4180	Budget authority, net (total)	1,485	1,391	1,010
4190	Outlays, net (total)	1,222	1,653	2,076

International Narcotics Control and Law Enforcement (INCLE) advances U.S. national security interests by supporting bilateral, regional, and global programs that enable partners and allies to manage and address transnational threats at their source. INCLE programs mitigate security threats posed by all forms of transnational crime, including production and trafficking of narcotics, and strengthen partner countries' criminal justice systems. These programs improve the ability of partner countries to cooperate effectively with U.S. law enforcement, and address the underlying conditions, such as corruption and weak rule of law, that foster state fragility and spur irregular migration to the United States. The 2021 INCLE budget supports Administration policy priorities, including efforts to protect the safety of the United States and its citizens by combating transnational crime and illicit trafficking, in alignment with Executive Order 13773, Enforcing Federal Law with Respect to Transnational Criminal Organizations and Preventing International Trafficking, and the President's Initiative to Stop Opioid Abuse and Reduce Drug Supply and Demand.

Object Classification (in millions of dollars)

Identification code 019-1022-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	44	44 44
11.3	Other than full-time permanent	1	1 1
11.9	Total personnel compensation	45	45 45
12.1	Civilian personnel benefits	18	18 18
13.0	Benefits for former personnel	1	1 1
21.0	Travel and transportation of persons	10	16 10
22.0	Transportation of things	1	1 1
23.2	Rental payments to others	5	8 3
25.2	Other services from non-Federal sources	447	704 317
26.0	Supplies and materials	6	9 4
31.0	Equipment	19	76 13
41.0	Grants, subsidies, and contributions	886	1,402 610
99.0	Direct obligations	1,438	2,280 1,022
99.0	Reimbursable obligations	1	6 6
99.9	Total new obligations, unexpired accounts	1,439	2,286 1,028

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT—Continued
Employment Summary

Identification code 019-1022-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	430	435	435

ANDEAN COUNTERDRUG PROGRAMS
Program and Financing (in millions of dollars)

Identification code 019-1154-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This account funded U.S. assistance to Plan Colombia and follow-on activities from 2000 to 2010. These funds supported the Colombian Army's push into southern Colombia in support of the Colombian National Police, enhanced drug interdiction in Colombia and the region, provided for economic development in Colombia and the Andean region, and boosted Colombia's local and national government capacity. Since 2010, funds for these programs are requested and appropriated in the International Narcotics Control and Law Enforcement (INCLE) account.

DEMOCRACY FUND

For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the promotion of democracy globally, including to carry out the purposes of section 502(b)(3) and (5) of Public Law 98-164 (22 U.S.C. 4411), \$178,450,000, to remain available until September 30, 2021, which shall be made available for the Human Rights and Democracy Fund of the Bureau of Democracy, Human Rights, and Labor, Department of State, and shall be apportioned to such Bureau not later than 60 days after enactment of this Act: *Provided*, That funds appropriated under this heading that are made available to the National Endowment for Democracy and its core institutes are in addition to amounts otherwise available by this Act for such purposes: *Provided further*, That the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, shall consult with the Committees on Appropriations prior to the initial obligation of funds appropriated under this paragraph.

For an additional amount for such purposes, \$95,250,000, to remain available until September 30, 2021, which shall be made available for the Bureau for Democracy, Conflict, and Humanitarian Assistance, United States Agency for International Development, and shall be apportioned to such Bureau not later than 60 days after enactment of this Act. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-1121-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Democracy Fund (Direct)	216	228	273
0900 Total new obligations, unexpired accounts (object class 41.0)	216	228	273
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	216	228	273
1012 Unobligated balance transfers between expired and unexpired accounts	3		
1050 Unobligated balance (total)	219	228	273
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	227	273	
1930 Total budgetary resources available	446	501	273
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		

1941 Unexpired unobligated balance, end of year	228	273	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	291	347	227
3010 New obligations, unexpired accounts	216	228	273
3020 Outlays (gross)	-155	-348	-339
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	347	227	161
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	291	347	227
3200 Obligated balance, end of year	347	227	161

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	227	273	
Outlays, gross:			
4010 Outlays from new discretionary authority		90	
4011 Outlays from discretionary balances	155	258	339
4020 Outlays, gross (total)	155	348	339
4180 Budget authority, net (total)	227	273	
4190 Outlays, net (total)	155	348	339

This appropriation funds some democracy promotion activities of the Department of State and the U.S. Agency for International Development. FY 2021 funding for these activities is requested in the Economic Support and Development Fund account.

THE ASIA FOUNDATION

For a grant to The Asia Foundation, as authorized by The Asia Foundation Act (22 U.S.C. 4402), \$19,000,000, to remain available until expended: *Provided*, That funds appropriated under this heading shall be apportioned and obligated to the Foundation not later than 60 days after enactment of this Act. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0525-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to the Asia Foundation (Direct)	17	19	
0900 Total new obligations, unexpired accounts (object class 41.0)	17	19	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	19	
1930 Total budgetary resources available	17	19	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	
3010 New obligations, unexpired accounts	17	19	
3020 Outlays (gross)	-16	-25	
3050 Unpaid obligations, end of year	6		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	
3200 Obligated balance, end of year	6		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	19	
Outlays, gross:			
4010 Outlays from new discretionary authority	11	19	
4011 Outlays from discretionary balances	5	6	
4020 Outlays, gross (total)	16	25	
4180 Budget authority, net (total)	17	19	
4190 Outlays, net (total)	16	25	

The Asia Foundation is a private, nonprofit organization incorporated and headquartered in California. The Asia Foundation provides grants to institutions in Asia and operates programs through 18 regional offices to support democratic initiatives, governance and economic reform, rule of

law, women's empowerment programs, and closer U.S.-Asian relations. For FY 2021, no appropriation is being requested for The Asia Foundation.

NATIONAL ENDOWMENT FOR DEMOCRACY

For grants made by the Department of State to the National Endowment for Democracy, as authorized by the National Endowment for Democracy Act (22 U.S.C. 4412), **[\$300,000,000]** \$67,275,000, to remain available until expended, of which \$195,840,000 shall be allocated in the traditional and customary manner, including for the core institutes, and \$104,160,000 shall be for democracy programs: *Provided*, That the requirements of section 7061(a) of this Act shall not apply to funds made available under this heading: *Provided further*, That funds appropriated under this heading shall be apportioned and obligated to the Endowment not later than 60 days after enactment of this Act. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0210-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Endowment for Democracy (Direct)	180	300	67
0900 Total new obligations, unexpired accounts (object class 41.0)	180	300	67
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	180	300	67
1930 Total budgetary resources available	180	300	67
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	117	134	117
3010 New obligations, unexpired accounts	180	300	67
3020 Outlays (gross)	-163	-317	-153
3050 Unpaid obligations, end of year	134	117	31
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	117	134	117
3200 Obligated balance, end of year	134	117	31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	180	300	67
Outlays, gross:			
4010 Outlays from new discretionary authority	69	207	46
4011 Outlays from discretionary balances	94	110	107
4020 Outlays, gross (total)	163	317	153
4180 Budget authority, net (total)	180	300	67
4190 Outlays, net (total)	163	317	153

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in Washington, D.C. to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East, and Eurasia.

The National Endowment for Democracy Act (Public Law 98-164), as amended, provides for an annual grant to the Endowment to fulfill the purposes of the Act.

EAST-WEST CENTER

[To enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, \$16,700,000: *Provided*, That funds appropriated under this heading shall be apportioned and obligated to the Center not later than 60 days after enactment of this Act.] (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 019-0202-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 East-West Center (Direct)	17	17
0900 Total new obligations, unexpired accounts (object class 41.0)	17	17
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	17
1930 Total budgetary resources available	17	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts	17	17
3020 Outlays (gross)	-18	-17
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority	16	17
4011 Outlays from discretionary balances	2
4020 Outlays, gross (total)	18	17
4180 Budget authority, net (total)	17	17
4190 Outlays, net (total)	18	17

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is an educational institution administered by a public, nonprofit educational corporation. The East-West Center promotes U.S. foreign policy interests and people-to-people engagement in the Asia Pacific region through cooperative research, education, and dialogue on critical issues of common interest. For FY 2021, no appropriation is being requested for the East-West Center.

INTERNATIONAL LITIGATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5177-0-2-153	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1140 Proprietary Receipts, International Litigation Fund	6	1	1
2000 Total: Balances and receipts	6	1	1
Appropriations:			
Current law:			
2101 International Litigation Fund	-6	-1	-1
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 019-5177-0-2-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 International Litigation Fund	7	7	7
0809 Reimbursable program activities, subtotal	7	7	7
0900 Total new obligations, unexpired accounts (object class 25.2)	7	7	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	13	11
1001 Discretionary unobligated balance brought fwd, Oct 1	1
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	14	13	11

INTERNATIONAL LITIGATION FUND—Continued
Program and Financing—Continued

Identification code 019-5177-0-2-153	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	6	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1900 Budget authority (total)	6	5	5
1930 Total budgetary resources available	20	18	16
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	11	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	4	2
3010 New obligations, unexpired accounts	7	7	7
3020 Outlays (gross)	-9	-9	-5
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	4	2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	4	2
3200 Obligated balance, end of year	4	2	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
Mandatory:			
4090 Budget authority, gross	6	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority	3	4	4
4101 Outlays from mandatory balances	6	4	
4110 Outlays, gross (total)	9	8	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-3	-3	-3
4180 Budget authority, net (total)	6	1	1
4190 Outlays, net (total)	9	5	1

The International Litigation Fund (ILF) is authorized by section 38(d) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)) to pay for expenses incurred by the Department of State relative to preparing or prosecuting a proceeding before an international tribunal or a claim by or against a foreign government or other foreign entity. Monies otherwise available for such purposes are authorized to be deposited in ILF. Funds received by the Department from other U.S. Government agencies or from private parties for these purposes are also deposited in ILF.

In addition, section 38(e) authorizes the Secretary to retain 1.5 percent of any amount between \$100,000 and \$5,000,000, and one percent of any amount over \$5,000,000, received per claim under chapter 34 of the Act of February 1896 (22 U.S.C. 2668a; 29 Stat. 32).

INTERNATIONAL CENTER, WASHINGTON, DISTRICT OF COLUMBIA

Not to exceed \$1,806,600 shall be derived from fees collected from other executive agencies for lease or use of facilities at the International Center in accordance with section 4 of the International Center Act (Public Law 90-553), and, in addition, as authorized by section 5 of such Act, \$743,000, to be derived from the reserve authorized by such section, to be used for the purposes set out in that section. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 019-5151-0-2-153	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	15	15	15

Receipts:			
Current law:			
1130 International Center, Washington, D.C., Sale and Rent of Real Property	1	1	1
2000 Total: Balances and receipts	16	16	16
Appropriations:			
Current law:			
2101 International Center, Washington, D.C.	-1	-1	-1
5099 Balance, end of year	15	15	15

Program and Financing (in millions of dollars)

Identification code 019-5151-0-2-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Center, Washington, D.C. (Direct)	1	1	1
0801 International Center, Washington, D.C. (Reimbursable)	3	2	2
0900 Total new obligations, unexpired accounts	4	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	3
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	1	1	1
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	2
1900 Budget authority (total)	3	3	3
1930 Total budgetary resources available	7	6	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	4	
3010 New obligations, unexpired accounts	4	3	3
3020 Outlays (gross)	-2	-7	-3
3050 Unpaid obligations, end of year	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	4	
3200 Obligated balance, end of year	4		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	1	3	3
4011 Outlays from discretionary balances	1	4	
4020 Outlays, gross (total)	2	7	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-2	-2
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	5	5	1
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	15	15	14
5001 Total investments, EOY: Federal securities: Par value	15	14	14

These funds provide for the development, lease, or exchange of property owned by the United States at the International Center located in Washington, D.C. to foreign governments or international organizations. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements that have not been conveyed to a government or international organization, and for surveys and plans related to development of additional areas within the Nation's Capital for chancery and diplomatic purposes.

Object Classification (in millions of dollars)

Identification code 019-5151-0-2-153	2019 actual	2020 est.	2021 est.
32.0 Direct obligations: Land and structures	1	1	1
99.0 Reimbursable obligations	3	2	2

99.9	Total new obligations, unexpired accounts	4	3	3
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FISHERMEN'S PROTECTIVE FUND

Program and Financing (in millions of dollars)

Identification code 019-5116-0-2-376	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

The Fishermen's Protective Fund provides for reimbursement to owners of vessels for amounts of fines, fees, and other direct charges that were paid by owners to a foreign country to secure the release of their vessels and crews and for other specified charges. No new budget authority is requested in FY 2021.

FISHERMEN'S GUARANTY FUND

Program and Financing (in millions of dollars)

Identification code 019-5121-0-2-376	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	3	3
1930	Total budgetary resources available	3	3	3
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	3	3
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

This fund provides for payment to vessel owners to compensate for certain financial losses sustained as a result of foreign seizures of U.S. commercial fishing vessels on the basis of claims to jurisdiction not recognized by the United States. No new budget authority is requested for FY 2021.

Trust Funds

EISENHOWER EXCHANGE FELLOWSHIP PROGRAM

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204-5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, [2020] 2021, to remain available until expended: *Provided*, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by section 5376 of title 5, United States Code; or for purposes which are not in accordance with section 200 of title 2 of the Code of Federal Regulations, including the restrictions on compensation for personal services. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

ISRAELI ARAB SCHOLARSHIP PROGRAM

For necessary expenses of the Israeli Arab Scholarship Program, as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452 note), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, [2020] 2021, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 570-8276-0-7-154	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	13	13	13

2000	Total: Balances and receipts	13	13	13
5099	Balance, end of year	13	13	13

Program and Financing (in millions of dollars)

Identification code 570-8276-0-7-154	2019 actual	2020 est.	2021 est.	
4180	Budget authority, net (total)			
4190	Outlays, net (total)			
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	13	13	13
5001	Total investments, EOY: Federal securities: Par value	13	13	13

The Eisenhower Exchange Fellowship Trust Fund (EEF Trust Fund) was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the EEF Trust Fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arab students to attend institutions of higher learning in the United States.

CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND

For necessary expenses of the Center for Middle Eastern-Western Dialogue Trust Fund, as authorized by section 633 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004 (22 U.S.C. 2078), the total amount of the interest and earnings accruing to such Fund on or before September 30, [2020] 2021, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-8813-0-7-153	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Center for Middle Eastern-Western Dialogue Trust Fund (Direct)	1	1	1
0900	Total new obligations, unexpired accounts (object class 25.2)	1	1	1
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	13	12	11
1930	Total budgetary resources available	13	12	11
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	12	11	10
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	2	3
3010	New obligations, unexpired accounts	1	1	1
3050	Unpaid obligations, end of year	2	3	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	2	3
3200	Obligated balance, end of year	2	3	4
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	12	11	10
5001	Total investments, EOY: Federal securities: Par value	11	10	10

The International Center for Middle Eastern-Western Dialogue (Hollings Center) was created in 2004 to promote dialogue and cross-cultural understanding between the United States and nations of the Middle East, Turkey, Central and North Africa, Southwest and Southeast Asia and other countries with predominantly Muslim populations. The Hollings Center may use the trust fund principal and accrued interest and earnings to support annual operations.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Governmental receipts:			
020-083000 Immigration, Passport, and Consular Fees	664	670	677
General Fund Governmental receipts	664	670	677
Offsetting receipts from the public:			
019-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	1	1	1
019-277630 Repatriation Loans, Downward Reestimate of Subsidies	1	1
019-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	6	5	5
General Fund Offsetting receipts from the public	8	7	6
Intragovernmental payments:			
019-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	66	5	5
General Fund Intragovernmental payments	66	5	5

MILLENNIUM CHALLENGE CORPORATION

Federal Funds

MILLENNIUM CHALLENGE CORPORATION

For necessary expenses to carry out the provisions of the Millennium Challenge Act of 2003 (22 U.S.C. 7701 et seq.) (MCA), **[\$905,000,000]** \$800,000,000, to remain available until expended: *Provided*, That of the funds appropriated under this heading, up to **[\$105,000,000]** \$112,000,000 may be available for administrative expenses of the Millennium Challenge Corporation: *Provided further*, That section 605(e) of the MCA (22 U.S.C. 7704(e)) shall apply to funds appropriated under this heading: *Provided further*, That funds appropriated under this heading may be made available for a Millennium Challenge Compact entered into pursuant to section 609 of the MCA (22 U.S.C. 7708) only if such Compact obligates, or contains a commitment to obligate subject to the availability of funds and the mutual agreement of the parties to the Compact to proceed, the entire amount of the United States Government funding anticipated for the duration of the Compact: *Provided further*, That no country should be eligible for a threshold program after such country has completed a country compact: *Provided further*, That of the funds appropriated under this heading, not to exceed \$100,000 may be available for representation and entertainment expenses, of which not to exceed \$5,000 may be available for entertainment expenses. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 524-2750-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Compact Assistance	517	872	502
0002 Threshold Programs	1	34	30
0003 Due Diligence	84	80	84
0004 609(g) Compact Assistance	19	30	30
0005 Administrative Expenses	113	110	112
0006 USAID Inspector General	4	4	4
0900 Total new obligations, unexpired accounts	738	1,130	762
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,459	2,720	2,695
1021 Recoveries of prior year unpaid obligations	94	200	50
1050 Unobligated balance (total)	2,553	2,920	2,745
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	905	905	800
1930 Total budgetary resources available	3,458	3,825	3,545
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,720	2,695	2,783
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,744	2,756	2,953
3010 New obligations, unexpired accounts	738	1,130	762
3020 Outlays (gross)	-632	-733	-882

3040 Recoveries of prior year unpaid obligations, unexpired	-94	-200	-50
3050 Unpaid obligations, end of year	2,756	2,953	2,783
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,744	2,756	2,953
3200 Obligated balance, end of year	2,756	2,953	2,783
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	905	905	800
Outlays, gross:			
4010 Outlays from new discretionary authority	85	119	117
4011 Outlays from discretionary balances	547	614	765
4020 Outlays, gross (total)	632	733	882
4180 Budget authority, net (total)	905	905	800
4190 Outlays, net (total)	632	733	882

Established by the Millennium Challenge Act of 2003, the Millennium Challenge Corporation (MCC) has the statutory goal of providing assistance to the poorest countries in the world to promote economic growth, eliminate extreme poverty, and strengthen good governance, economic freedom, and investments in people. Since its inception, MCC has signed 37 compacts and 28 threshold program agreements, valued to date at \$13.4 billion. These investments help foster stability through economic growth and poverty reduction in partner countries. MCC encourages policy reforms by working with only those countries that have created the conditions for growth by ruling justly, investing in their people, and committing to economic freedom, with a particular emphasis on fighting corruption and maintaining democratic rights. Countries develop their poverty reduction proposals in broad consultation with their own civil society and MCC. MCC's evidence-based approach leads to compacts that specifically define the implementation responsibilities of partner countries, including financial accountability and transparent and fair procurement practices, and require measurable results to ensure that MCC assistance is used responsibly and effectively.

Object Classification (in millions of dollars)

Identification code 524-2750-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	44	45	45
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	47	48	48
12.1 Civilian personnel benefits	16	16	16
21.0 Travel and transportation of persons	7	7	7
23.2 Rental payments to others	9	9	9
25.1 Advisory and assistance services	8	9	10
25.2 Other services from non-Federal sources	89	87	90
25.3 Other goods and services from Federal sources	9	8	9
25.5 Research and development contracts	9	8	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
41.0 Country Program Assistance	542	936	562
99.9 Total new obligations, unexpired accounts	738	1,130	762

Employment Summary

Identification code 524-2750-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	319	320	320

INTERNATIONAL SECURITY ASSISTANCE

Federal Funds

ECONOMIC SUPPORT AND DEVELOPMENT FUND

For necessary expenses to carry out the provisions of sections 103, 105, 106, 214, and sections 251 through 255 of part I, chapter 10 of part I, and chapter 4 of part II of the Foreign Assistance Act of 1961, **[\$3,045,000,000]** \$5,925,600,000, to remain available until September 30, [2021] 2022: *Provided*, That funds under this heading may be made available, notwithstanding any other provision of law, to support programs and activities to prevent or respond to emerging or unforeseen

foreign challenges and complex crises overseas: Provided further, That funds made available under this heading may be made available for contributions to international organizations, programs administered by such organizations, and multilateral trust funds. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072-1037-0-1-152	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Economic Support Fund (Direct)	4,192	4,600	4,600
0801 Economic Support Fund (Reimbursable)	172		
0900 Total new obligations, unexpired accounts	4,364	4,600	4,600
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,087	4,064	2,197
1010 Unobligated balance transfer to other accts [019-0209]	-16		
1010 Unobligated balance transfer to other accts [071-4184]	-3		
1010 Unobligated balance transfer to other accts [072-1264]	-9		
1011 Unobligated balance transfer from other acct [019-1022]	20		
1011 Unobligated balance transfer from other acct [011-1082]	46		
1011 Unobligated balance transfer from other acct [072-1032]	59		
1012 Unobligated balance transfers between expired and unexpired accounts	4		
1021 Recoveries of prior year unpaid obligations	433		
1050 Unobligated balance (total)	4,621	4,064	2,197
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,546	3,045	5,926
1100 Appropriation-OCO	1,172		
1120 Appropriations transferred to other accts [019-1005]	-25		
1120 Appropriations transferred to other acct [077-0110]	-50	-50	
1120 Appropriations transferred to other acct [011-0091]	-30		
1131 Unobligated balance of appropriations permanently reduced	-232		
1160 Appropriation, discretionary (total)	3,693	2,733	5,876
Spending authority from offsetting collections, discretionary:			
1700 Collected	172		
1900 Budget authority (total)	3,865	2,733	5,876
1930 Total budgetary resources available	8,486	6,797	8,073
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-58		
1941 Unexpired unobligated balance, end of year	4,064	2,197	3,473
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9,954	9,159	9,095
3010 New obligations, unexpired accounts	4,364	4,600	4,600
3011 Obligations ("upward adjustments"), expired accounts	83		
3020 Outlays (gross)	-4,637	-4,664	-4,823
3040 Recoveries of prior year unpaid obligations, unexpired	-433		
3041 Recoveries of prior year unpaid obligations, expired	-172		
3050 Unpaid obligations, end of year	9,159	9,095	8,872
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9,951	9,156	9,092
3200 Obligated balance, end of year	9,156	9,092	8,869
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,865	2,733	5,876
Outlays, gross:			
4010 Outlays from new discretionary authority	22	164	353
4011 Outlays from discretionary balances	4,615	4,500	4,470
4020 Outlays, gross (total)	4,637	4,664	4,823
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-166		
4033 Non-Federal sources	-73		
4040 Offsets against gross budget authority and outlays (total)	-239		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	67		
4060 Additional offsets against budget authority only (total)	67		
4070 Budget authority, net (discretionary)	3,693	2,733	5,876
4080 Outlays, net (discretionary)	4,398	4,664	4,823

4180 Budget authority, net (total)	3,693	2,733	5,876
4190 Outlays, net (total)	4,398	4,664	4,823

Programs funded through the Economic Support Fund (ESF) account help foster stable, resilient, prosperous, inclusive, and self-reliant countries of strategic importance to meet their near and long-term political, economic, development, and security needs. The 2021 Budget consolidates the ESF; Development Assistance (DA); Assistance for Europe, Eurasia and Central Asia (AEECA); and Democracy Fund (DF) accounts into the Economic Support and Development Fund (ESDF) account. This integration will streamline accounts and ensure the most effective use of foreign assistance funding. The 2021 Budget prioritizes and focuses foreign assistance in regions and on programs that advance our national security and protect the American people, promote U.S. prosperity and economic opportunities, and advance American interests and values around the world, while also continuing to ensure efficiency, effectiveness, and accountability to the U.S. taxpayer.

Object Classification (in millions of dollars)

Identification code 072-1037-0-1-152	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2	2	2
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	4	4	4
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	1	1	1
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	4,180	4,588	4,588
99.0 Direct obligations	4,192	4,600	4,600
99.0 Reimbursable obligations	172		
99.9 Total new obligations, unexpired accounts	4,364	4,600	4,600

Employment Summary

Identification code 072-1037-0-1-152	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	30	30	30

CENTRAL AMERICA AND CARIBBEAN EMERGENCY DISASTER RECOVERY FUND

Program and Financing (in millions of dollars)

Identification code 072-1096-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	5
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

FOREIGN MILITARY FINANCING PROGRAM

For necessary expenses for grants to enable the President to carry out the provisions of section 23 of the Arms Export Control Act (22 U.S.C. 2763), \$6,156,924,000, of which \$511,909,000, to remain available until September 30, 2021, is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 \$5,570,900,000: Provided, That to expedite the provision of assistance to foreign countries and international organizations, the Secretary of State, following consultation with the Committees on Appropriations and subject to the regular notification procedures of such Committees, may use the funds appropriated under this heading to procure defense articles and services to enhance the capacity of foreign security forces: Provided further, That [of the] funds appropriated under this heading, [not less than \$3,300,000,000 shall be available for

FOREIGN MILITARY FINANCING PROGRAM—Continued

grants only] for assistance for Israel [which shall] may be disbursed within 30 days of enactment of this Act: [Provided further, That to the extent that the Government of Israel requests that funds be used for such purposes, grants made available for Israel under this heading shall, as agreed by the United States and Israel, be available for advanced weapons systems, of which not less than \$805,300,000 shall be available for the procurement in Israel of defense articles and defense services, including research and development:] Provided further, That funds appropriated or otherwise made available under this heading shall be nonrepayable notwithstanding any requirement in section 23 of the Arms Export Control Act: Provided further, That funds made available under this heading shall be obligated upon apportionment in accordance with paragraph (5)(C) of section 1501(a) of title 31, United States Code.

None of the funds made available under this heading shall be available to finance the procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act unless the foreign country proposing to make such procurement has first signed an agreement with the United States Government specifying the conditions under which such procurement may be financed with such funds: Provided, That all country and funding level increases in allocations shall be submitted through the regular notification procedures of section [7015] 7011 of this Act: Provided further, That funds made available under this heading may be used, notwithstanding any other provision of law, for demining, the clearance of unexploded ordnance, and related activities, and may include activities implemented through nongovernmental and international organizations: Provided further, That only those countries for which assistance was justified for the "Foreign Military Sales Financing Program" in the fiscal year 1989 congressional presentation for security assistance programs may utilize funds made available under this heading for procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act: Provided further, That funds appropriated under this heading shall be expended at the minimum rate necessary to make timely payment for defense articles and services: Provided further, That not more than \$70,000,000 of the funds appropriated under this heading may be obligated for necessary expenses, including the purchase of passenger motor vehicles for replacement only for use outside of the United States, for the general costs of administering military assistance and sales, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations: Provided further, That of the funds made available under this heading for general costs of administering military assistance and sales, not to exceed \$4,000 may be available for entertainment expenses and not to exceed \$130,000 may be available for representation expenses: Provided further, That not more than \$1,082,200,000 of funds realized pursuant to section 21(e)(1)(A) of the Arms Export Control Act (22 U.S.C. 2761(e)(1)(A)) may be obligated for expenses incurred by the Department of Defense during fiscal year [2020] 2021 pursuant to section 43(b) of the Arms Export Control Act (22 U.S.C. 2792(b)), except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011–1082–0–1–152	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Country grants	6,246	6,062	6,012
0009 Administrative Expenses	70	70	70
0192 Total Direct Obligations	6,316	6,132	6,082
0799 Total direct obligations	6,316	6,132	6,082
0900 Total new obligations, unexpired accounts (object class 41.0)	6,316	6,132	6,082
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	672	486	511
1010 Unobligated balance transfer to other accts [011–1075]	–18		
1010 Unobligated balance transfer to other accts [072–1037]	–46		
1012 Unobligated balance transfers between expired and unexpired accounts	5		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	614	486	511
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,192	6,157	5,571
1900 Budget authority (total)	6,192	6,157	5,571
1930 Total budgetary resources available	6,806	6,643	6,082

Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4		
1941 Unexpired unobligated balance, end of year	486	511	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,719	6,665	4,224
3010 New obligations, unexpired accounts	6,316	6,132	6,082
3011 Obligations ("upward adjustments"), expired accounts	1,026		
3020 Outlays (gross)	–5,648	–8,573	–6,812
3041 Recoveries of prior year unpaid obligations, expired	–748		
3050 Unpaid obligations, end of year	6,665	4,224	3,494
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,719	6,665	4,224
3200 Obligated balance, end of year	6,665	4,224	3,494
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,192	6,157	5,571
Outlays, gross:			
4010 Outlays from new discretionary authority	3,336	4,642	4,620
4011 Outlays from discretionary balances	2,312	3,931	2,192
4020 Outlays, gross (total)	5,648	8,573	6,812
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–184		
4040 Offsets against gross budget authority and outlays (total)	–184		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	183		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	184		
4070 Budget authority, net (discretionary)	6,192	6,157	5,571
4080 Outlays, net (discretionary)	5,464	8,573	6,812
4180 Budget authority, net (total)	6,192	6,157	5,571
4190 Outlays, net (total)	5,464	8,573	6,812

Foreign Military Financing (FMF) funds procure, via grant, loan, or guarantee, U.S. defense articles and services to help friendly and allied countries to defend themselves, contribute to regional and global stability, and contain transnational threats, including terrorism.

PAKISTAN COUNTERINSURGENCY CAPABILITY FUND

Program and Financing (in millions of dollars)

Identification code 011–1083–0–1–152	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2	2
3041 Recoveries of prior year unpaid obligations, expired	–2		
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2	2
3200 Obligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Pakistan Counterinsurgency Capability Fund (PCCF) was designed to build the counterinsurgency capabilities of Pakistan's security forces engaged in operations against militant extremists. Since FY 2012, these needs have been met through other accounts.

INTERNATIONAL MILITARY EDUCATION AND TRAINING

For necessary expenses to carry out the provisions of section 541 of the Foreign Assistance Act of 1961, [\$112,925,000] \$104,925,000, of which up to \$11,000,000 may remain available until September 30, [2021] and may not be obligated until the Secretary of State submits to the Committees on Appropriations, following consultation with such Committees, a monitoring and evaluation plan for funds made available under this heading, as described under this heading in Senate Report 116–126 [2022: Provided, That the civilian personnel for whom military education and training may be provided under this heading may include civilians who are not members of a

government whose participation would contribute to improved civil-military relations, civilian control of the military, or respect for human rights: *Provided further*, That of the funds appropriated under this heading, not to exceed \$50,000 may be available for entertainment expenses. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)			
Identification code 011–1081–0–1–152	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Military Education and Training (Direct)	122	111	105
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	23	25
1012 Unobligated balance transfers between expired and unexpired accounts	13		
1020 Adjustment of unobligated bal brought forward, Oct 1	11		
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	37	23	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	111	113	105
1930 Total budgetary resources available	148	136	130
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	23	25	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	110	122	88
3010 New obligations, unexpired accounts	122	111	105
3011 Obligations ("upward adjustments"), expired accounts	13		
3020 Outlays (gross)	-97	-145	-105
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-25		
3050 Unpaid obligations, end of year	122	88	88
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	110	122	88
3200 Obligated balance, end of year	122	88	88
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	111	113	105
Outlays, gross:			
4010 Outlays from new discretionary authority	37	45	42
4011 Outlays from discretionary balances	60	100	63
4020 Outlays, gross (total)	97	145	105
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	111	113	105
4080 Outlays, net (discretionary)	96	145	105
4180 Budget authority, net (total)	111	113	105
4190 Outlays, net (total)	96	145	105

International Military Education and Training (IMET) assistance provides grants for foreign military and civilian personnel to attend military education and training provided by the United States Government either at United States military schools or by trainers in country. In addition to helping these countries professionalize their militaries, this program also exposes foreign students to American democratic values, particularly respect for civilian control of the military and for internationally recognized standards of individual and human rights.

Object Classification (in millions of dollars)			
Identification code 011–1081–0–1–152	2019 actual	2020 est.	2021 est.
Direct obligations:			
26.0 Supplies and materials	6		
41.0 Grants, subsidies, and contributions	116	111	105

99.9	Total new obligations, unexpired accounts	122	111	105
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PEACEKEEPING OPERATIONS

For necessary expenses to carry out the provisions of section 551 of the Foreign Assistance Act of 1961, [\$457,348,000, of which \$325,213,000] \$290,000,000, to remain available until September 30, [2021, is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985] 2022: *Provided*, That funds appropriated under this heading may be used, notwithstanding section 660 of the Foreign Assistance Act of 1961 [, to provide assistance to enhance the capacity of foreign civilian security forces, including gendarmes, to participate in peacekeeping operations]: *Provided further*, That [of the] funds appropriated under this heading [, not less than \$31,000,000 shall] *may* be made available for a United States contribution to the Multinational Force and Observers mission in the Sinai [and not less than \$71,000,000 shall be made available for the Global Peace Operations Initiative: *Provided further*, That funds appropriated under this heading may be made available to pay assessed expenses of international peacekeeping activities in Somalia under the same terms and conditions, as applicable, as funds appropriated by this Act under the heading "Contributions for International Peacekeeping Activities": *Provided further*, That none of the funds appropriated under this heading shall be obligated except as provided through the regular notification procedures of the Committees on Appropriations]: *Provided further*, *That funds under this heading may be made available, notwithstanding any other provision of law, to support programs and activities to prevent or respond to emerging or unforeseen foreign challenges and complex crises overseas.* (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 072–1032–0–1–152	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Peacekeeping Operations (Direct)	485	480	400
0801 Peacekeeping Operations (Reimbursable)	9		
0900 Total new obligations, unexpired accounts	494	480	400
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	308	259	236
1010 Unobligated balance transfer to other accts [011–1075]	-9		
1010 Unobligated balance transfer to other accts [072–1037]	-59		
1012 Unobligated balance transfers between expired and unexpired accounts	16		
1050 Unobligated balance (total)	256	259	236
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	164	132	290
1100 Appropriation -OCO	325	325	
1160 Appropriation, discretionary (total)	489	457	290
Spending authority from offsetting collections, discretionary:			
1700 Collected	9		
1900 Budget authority (total)	498	457	290
1930 Total budgetary resources available	754	716	526
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	259	236	126
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	491	523	367
3010 New obligations, unexpired accounts	494	480	400
3011 Obligations ("upward adjustments"), expired accounts	17		
3020 Outlays (gross)	-460	-636	-542
3041 Recoveries of prior year unpaid obligations, expired	-19		
3050 Unpaid obligations, end of year	523	367	225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	491	523	367
3200 Obligated balance, end of year	523	367	225
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	498	457	290
Outlays, gross:			
4010 Outlays from new discretionary authority	35	286	200

PEACEKEEPING OPERATIONS—Continued
Program and Financing—Continued

Identification code 072-1032-0-1-152	2019 actual	2020 est.	2021 est.
4011 Outlays from discretionary balances	425	350	342
4020 Outlays, gross (total)	460	636	542
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-32		
4033 Non-Federal sources	-10		
4040 Offsets against gross budget authority and outlays (total)	-42		
Additional offsets against gross budget authority only: 4052 Offsetting collections credited to expired accounts	33		
4070 Budget authority, net (discretionary)	489	457	290
4080 Outlays, net (discretionary)	418	636	542
4180 Budget authority, net (total)	489	457	290
4190 Outlays, net (total)	418	636	542

This account funds U.S. assistance to international efforts to monitor and maintain peace around the world, and provides funds to other programs carried out in furtherance of the national security interests of the United States. In 2021, support is planned for programs in Africa, the Multinational Force and Observers Mission in the Sinai, the Global Peace Operations Initiative, the Global Defense Reform Program, the Trans-Sahara Counterterrorism Partnership, and other activities.

Object Classification (in millions of dollars)

Identification code 072-1032-0-1-152	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	485	480	400
99.0 Reimbursable obligations	9		
99.9 Total new obligations, unexpired accounts	494	480	400

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS

For necessary expenses for nonproliferation, anti-terrorism, demining and related programs and activities, **[\$895,750,000]** \$753,550,000, to remain available until September 30, **[2021]** 2022, to carry out the provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 for anti-terrorism assistance, chapter 9 of part II of the Foreign Assistance Act of 1961, section 504 of the FREEDOM Support Act (22 U.S.C. 5854), section 23 of the Arms Export Control Act (22 U.S.C. 2763), or the Foreign Assistance Act of 1961 for demining activities, the clearance of unexploded ordnance, the destruction of small arms, and related activities, notwithstanding any other provision of law, including activities implemented through non-governmental and international organizations, and section 301 of the Foreign Assistance Act of 1961 for a United States contribution to the Comprehensive Nuclear Test Ban Treaty Preparatory Commission, and for a voluntary contribution to the International Atomic Energy Agency (IAEA): *Provided*, That funds made available under this heading for the Nonproliferation and Disarmament Fund shall be made available **[,]** notwithstanding any other provision of law **[and subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations,]** to promote bilateral and multilateral activities relating to nonproliferation, disarmament, and weapons destruction, and shall remain available until expended: *Provided further*, That such funds may also be used for such countries other than the Independent States of the former Soviet Union and international organizations when it is in the national security interest of the United States to do so: **[Provided further**, That funds appropriated under this heading may be made available for the IAEA unless the Secretary of State determines that Israel is being denied its right to participate in the activities of that Agency: **] Provided further**, That funds made available for conventional weapons destruction programs, including demining and related activities, in addition to funds otherwise available for such purposes, may be used for administrative expenses related to the operation and management of such programs and activities **[,]** subject to the regular notification procedures of the Committees on Appropriations **]** : *Provided further*, That funds made available under this heading for Export Control and Related Border Security, Global Threat Reduction, and countering Weapons of Mass Destruction Terrorism shall be made available notwithstanding any other provision of law. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-1075-0-1-152	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nonproliferation, Antiterrorism, Demining, and Related Programs (Direct)	942	950	910
0801 Nonproliferation, Antiterrorism, Demining, and Related Programs (Reimbursable)	46	30	30
0900 Total new obligations, unexpired accounts	988	980	940
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	974	956	904
1011 Unobligated balance transfer from other acct [072-1032]	9		
1011 Unobligated balance transfer from other acct [011-1082]	18		
1012 Unobligated balance transfers between expired and unexpired accounts	28		
1021 Recoveries of prior year unpaid obligations	7		
1050 Unobligated balance (total)	1,036	956	904
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	865	896	754
Spending authority from offsetting collections, discretionary:			
1700 Collected	46	32	30
1900 Budget authority (total)	911	928	784
1930 Total budgetary resources available	1,947	1,884	1,688
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	956	904	748
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	999	1,084	1,174
3010 New obligations, unexpired accounts	988	980	940
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-825	-890	-928
3040 Recoveries of prior year unpaid obligations, unexpired	-7		
3041 Recoveries of prior year unpaid obligations, expired	-74		
3050 Unpaid obligations, end of year	1,084	1,174	1,186
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	999	1,084	1,174
3200 Obligated balance, end of year	1,084	1,174	1,186

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	911	928	784
Outlays, gross:			
4010 Outlays from new discretionary authority	136	390	332
4011 Outlays from discretionary balances	689	500	596
4020 Outlays, gross (total)	825	890	928
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-24	-32	-30
4033 Non-Federal sources	-24		
4040 Offsets against gross budget authority and outlays (total)	-48	-32	-30
Additional offsets against gross budget authority only: 4052 Offsetting collections credited to expired accounts			
	2		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	865	896	754
4080 Outlays, net (discretionary)	777	858	898
4180 Budget authority, net (total)	865	896	754
4190 Outlays, net (total)	777	858	898

This account provides assistance for nonproliferation, demining, anti-terrorism, export control assistance, and other related activities. It also funds contributions to certain organizations supporting nonproliferation activities. In addition, notwithstanding authorities are requested for funds made available for the Export Control and Related Border Security, Global Threat Reduction, and countering Weapons of Mass Destruction Terrorism programs.

Object Classification (in millions of dollars)

Identification code 011-1075-0-1-152	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	30	30	30
25.2 Other services from non-Federal sources	403	400	380

31.0	Equipment	165	165	160
41.0	Grants, subsidies, and contributions	344	355	340
99.0	Direct obligations	942	950	910
99.0	Reimbursable obligations	46	30	30
99.9	Total new obligations, unexpired accounts	988	980	940

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011	Outlays from discretionary balances	84	
4180	Budget authority, net (total)		
4190	Outlays, net (total)	84	

GLOBAL SECURITY CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 011-1041-0-1-152	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Global Security Contingency Fund (Direct)	4	5	5
0900	Total new obligations, unexpired accounts (object class 41.0)	4	5	5
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	34	30	25
1930	Total budgetary resources available	34	30	25
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	30	25	20
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	9	9	9
3010	New obligations, unexpired accounts	4	5	5
3020	Outlays (gross)	-4	-5	-4
3050	Unpaid obligations, end of year	9	9	10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	9	9	9
3200	Obligated balance, end of year	9	9	10
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	4	5	4
4180	Budget authority, net (total)			
4190	Outlays, net (total)	4	5	4

The Global Security Contingency Fund (GSCF) permits the Department of State and the Department of Defense to combine resources and expertise to address emergent challenges and opportunities. The GSCF can be used to provide military and other security sector assistance to enhance a country's national-level military or other security forces' capabilities to conduct border and maritime security, internal defense, and counterterrorism operations, or to participate in or support military, stability, or peace support operations, consistent with U.S. foreign policy and national security interests. The GSCF can also be used to provide assistance to the justice sector (including law enforcement and prisons), rule of law programs, and stabilization efforts in cases where civilian providers are challenged in their ability to operate. Assistance programs under this account are collaboratively developed by the Department of State and the Department of Defense. The fund allows direct contributions from each Department to be transferred into the fund for implementation by the most appropriate agency in a given situation, be it State, Defense, the U.S. Agency for International Development, or others. No direct funding is requested in 2021.

FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 011-1085-0-1-152	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	140		
3020	Outlays (gross)	-84		
3041	Recoveries of prior year unpaid obligations, expired	-56		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	140		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 011-1085-0-1-152	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001	FMF Direct Loan Program		4,000
Direct loan subsidy (in percent):			
132001	FMF Direct Loan Program		0.00
Direct loan subsidy outlays:			
134001	FMF Direct Loan Program	84	
Direct loan reestimates:			
135001	FMF Direct Loan Program		-61
Guaranteed loan levels supportable by subsidy budget authority:			
215001	FMF Guaranteed Loan Program		4,000
Guaranteed loan subsidy (in percent):			
232001	FMF Guaranteed Loan Program		0.00

Foreign Military Financing (FMF) direct and guaranteed loans finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. The FMF Loan Program Account was established pursuant to the Federal Credit Reform Act (FCRA) of 1990, as amended, to provide the funds necessary to support the cost of FMF direct loans and guarantees. Expenditures from this account finance the subsidy cost of direct loan disbursements and loan guarantees committed, and are transferred to the respective FMF Financing Account.

FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 011-4122-0-3-152	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0710	Direct loan obligations		4,000	
0713	Payment of interest to Treasury	59	189	252
0742	Downward reestimates paid to receipt accounts		57	
0743	Interest on downward reestimates		4	
0900	Total new obligations, unexpired accounts	59	250	4,252
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3	262	821
1021	Recoveries of prior year unpaid obligations	56		
1050	Unobligated balance (total)	59	262	821
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority			4,000
Spending authority from offsetting collections, mandatory:				
1800	Collected	402	809	909
1801	Change in uncollected payments, Federal sources	-140		
1825	Spending authority from offsetting collections applied to repay debt			-677
1850	Spending auth from offsetting collections, mand (total)	262	809	232
1900	Budget authority (total)	262	809	4,232
1930	Total budgetary resources available	321	1,071	5,053
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	262	821	801
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,050	373	623
3010	New obligations, unexpired accounts	59	250	4,252
3020	Outlays (gross)	-680		-4,000
3040	Recoveries of prior year unpaid obligations, unexpired	-56		
3050	Unpaid obligations, end of year	373	623	875
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-140		
3070	Change in uncollected pymts, Fed sources, unexpired	140		

FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 011-4122-0-3-152	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	910	373	623
3200 Obligated balance, end of year	373	623	875
Financing authority and disbursements, net:			
Discretionary:			
4020 Outlays, gross (total)			4,000
Mandatory:			
4090 Budget authority, gross	262	809	4,232
Financing disbursements:			
4110 Outlays, gross (total)	680		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-84		
4122 Interest on uninvested funds	-14		
4123 Non-Federal sources	-304	-620	-657
4123 Non-Federal sources		-189	-252
4130 Offsets against gross budget authority and outlays (total)	-402	-809	-909
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	140		
4160 Budget authority, net (mandatory)			3,323
4170 Outlays, net (mandatory)	278	-809	-909
4180 Budget authority, net (total)			3,323
4190 Outlays, net (total)	278	-809	3,091

Status of Direct Loans (in millions of dollars)

Identification code 011-4122-0-3-152	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			4,000
1150 Total direct loan obligations			4,000
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2,693	3,201	2,641
1231 Disbursements: Direct loan disbursements	508		4,000
1251 Repayments: Repayments and prepayments		-560	-693
1290 Outstanding, end of year	3,201	2,641	5,948

As required by the Federal Credit Reform Act (FCRA) of 1990, the Foreign Military Financing (FMF) Direct Loan Financing Account is a non-budgetary account that records all cash flows to and from the Government resulting from FMF direct loans obligated in 1992 and beyond. Amounts in this account are a means of financing and are not included in budget totals. The FY 2021 Budget includes a request for a FMF direct loan program for NATO and Major Non-NATO allies to complement traditional FMF grant assistance.

Balance Sheet (in millions of dollars)

Identification code 011-4122-0-3-152	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	913	634
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	2,693	3,201
1405 Allowance for subsidy cost (-)	-540	-769
1499 Net present value of assets related to direct loans	2,153	2,432
1999 Total assets	3,066	3,066
LIABILITIES:		
Federal liabilities:		
2103 Debt	3,066	3,066
2104 Resources payable to Treasury		
2999 Total liabilities	3,066	3,066
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	3,066	3,066

FOREIGN MILITARY FINANCING GUARANTEED LOAN FINANCING ACCOUNT
Program and Financing (in millions of dollars)

Identification code 011-4386-0-3-152	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			150
1900 Budget authority (total)			150
1930 Total budgetary resources available			150
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			150
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross			150
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources			-150
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-150

Status of Guaranteed Loans (in millions of dollars)

Identification code 011-4386-0-3-152	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			4,000
2150 Total guaranteed loan commitments			4,000
2199 Guaranteed amount of guaranteed loan commitments			3,200
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			
2231 Disbursements of new guaranteed loans			4,000
2251 Repayments and prepayments			-120
2263 Adjustments: Terminations for default that result in claim payments			
2290 Outstanding, end of year			3,880
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			3,200

As required by the Federal Credit Reform Act (FCRA) of 1990, the Foreign Military Financing (FMF) Guaranteed Loan Financing Account is a non-budgetary account that will record all cash flows to and from the Government resulting from FMF loan guarantees committed. Amounts in this account are a means of financing and are not included in the budget totals. The FY 2021 Budget includes a request for a FMF loan guarantee program for NATO and Major Non-NATO allies to complement traditional FMF grant assistance.

FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 011-4121-0-3-152	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash) from country loans	14	18	25
1820 Capital transfer of spending authority from offsetting collections to general fund	-14	-18	-25
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-14	-18	-25
4180 Budget authority, net (total)	-14	-18	-25
4190 Outlays, net (total)	-14	-18	-25

Status of Direct Loans (in millions of dollars)

Identification code 011-4121-0-3-152	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	326	312	294
1251 Repayments: Repayments and prepayments from country	-14	-18	-18
1290 Outstanding, end of year	312	294	276

The Foreign Military Loan Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees for foreign military financing committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act (FCRA) provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new foreign military financing credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 011-4121-0-3-152	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	326	312
1602 Interest receivable	2,180	2,267
1603 Allowance for estimated uncollectible loans and interest (-)	-1,843	-1,912
1699 Value of assets related to direct loans	663	667
1999 Total assets	663	667
LIABILITIES:		
Federal liabilities:		
2102 Accrued Interest Payable to FFB		
2103 Debt - Principal owed to FFB		
2104 Resources payable to Treasury	663	667
2999 Total liabilities	663	667
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	663	667

MILITARY DEBT REDUCTION FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 011-4174-0-3-152	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	2		
0900 Total new obligations, unexpired accounts	2		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	12	
1023 Unobligated balances applied to repay debt		-12	
1050 Unobligated balance (total)	12		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected		36	
1825 Spending authority from offsetting collections applied to repay debt		-36	
1900 Budget authority (total)	2		
1930 Total budgetary resources available	14		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12		

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	2		
3020 Outlays (gross)	-2		
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	2		
Financing disbursements:			
4110 Outlays, gross (total)	2		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources		-36	
4180 Budget authority, net (total)	2	-36	
4190 Outlays, net (total)	2	-36	

Status of Direct Loans (in millions of dollars)

Identification code 011-4174-0-3-152	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	191	191	191
1290 Outstanding, end of year	191	191	191

As required by the Federal Credit Reform Act of 1990, the Military Debt Reduction Financing (MDRF) Account is a non-budgetary financing account that records all cash flows to and from the Government resulting from restructuring foreign military loans. The amounts in this account are a means of financing and are not included in budget totals. It is an account established for the debt relief of certain countries as established by Public Law 103-87, Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1994, Section 11, Special Debt Relief for the Poorest, Most Heavily Indebted Countries. The MDRF buys a portfolio of loans from the Foreign Military Loan Liquidating Account, thus transferring the loans from the Liquidating Account to the MDRF Account.

Balance Sheet (in millions of dollars)

Identification code 011-4174-0-3-152	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	191	191
1402 Interest receivable	55	55
1405 Allowance for subsidy cost (-)	-234	-234
1499 Net present value of assets related to direct loans	12	12
1999 Total assets	12	12
LIABILITIES:		
2103 Federal liabilities: Debt	12	12
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	12	12

MULTILATERAL ASSISTANCE**Federal Funds**

GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM

Program and Financing (in millions of dollars)

Identification code 011-1475-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	15	15
1930 Total budgetary resources available	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	15	15
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Global Agriculture and Food Security Program (GAFFSP) is a multi-donor trust fund called for by G-20 leaders in 2009 to fund projects that

GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM—Continued
support the agricultural investment plans of poor countries. No new funding is required in 2021.

INTERNATIONAL FINANCIAL INSTITUTIONS

【GLOBAL ENVIRONMENT FACILITY】

【For payment to the International Bank for Reconstruction and Development as trustee for the Global Environment Facility by the Secretary of the Treasury, \$139,575,000, to remain available until, and to be fully disbursed not later than, September 30, 2021: *Provided*, That of such amount, \$136,563,000, which shall remain available until September 30, 2020, is only available for the second installment of the seventh replenishment of the Global Environment Facility, and shall be obligated and disbursed not later than 90 days after enactment of this Act: *Provided further*, That the Secretary shall report to the Committees on Appropriations on the status of funds provided under this heading not less than quarterly until fully disbursed: *Provided further*, That in such report the Secretary shall provide a timeline for the obligation and disbursement of any funds that have not yet been obligated or disbursed.】

CONTRIBUTION TO THE INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

For payment to the International Bank for Reconstruction and Development by the Secretary of the Treasury for the United States share of the paid-in portion of the increases in capital stock, \$206,500,000, to remain available until expended.

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the International Bank for Reconstruction and Development may subscribe without fiscal year limitation to the callable capital portion of the United States share of increases in capital stock in an amount not to exceed \$1,421,275,728.70. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0077-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Global Environment Facility	140	140
0002 International Bank for Reconstruction and Development	207	207
0799 Total direct obligations	140	347	207
0900 Total new obligations, unexpired accounts (object class 33.0)	140	347	207
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7,663	7,663	7,663
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	140	347	207
1900 Budget authority (total)	140	347	207
1930 Total budgetary resources available	7,803	8,010	7,870
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7,663	7,663	7,663
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	140	347	207
3020 Outlays (gross)	-140	-347	-207
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	140	347	207
Outlays, gross:			
4010 Outlays from new discretionary authority	140	347	207
4180 Budget authority, net (total)	140	347	207
4190 Outlays, net (total)	140	347	207

The FY 2021 Budget requests \$206.5 million towards the second of up to six installments under the current International Bank for Reconstruction and Development's (IBRD) capital increase.

IBRD is the arm of the World Bank that provides financing to credit-worthy lower middle and middle-income countries to promote inclusive economic growth and reduce poverty. These countries—home to over 70

percent of the world's poor—rely on the IBRD for financial resources and strategic advice to meet their development needs. Working across a range of sectors, including governance, agriculture, sustainable infrastructure, health and nutrition, and education, the IBRD supports long-term human and social development needs that private creditors generally do not finance. During its 2019 fiscal year (July 1, 2018 to June 30, 2019), the IBRD approved \$23.1 billion in loans and technical assistance. Latin America and the Caribbean received the largest portion of the IBRD's lending (24.6 percent), followed by the Middle East and North Africa (21.0 percent). The United States is the largest shareholder in the IBRD, with a 15.45 percent share of total voting power, followed by Japan and China. The United States is the only country with veto power over amendments to the Articles of Agreement.

Global Environment Facility

The Global Environment Facility (GEF) is one of the largest dedicated funders of projects to improve the global environment, providing grants to address issues related to conservation, including wildlife trafficking, overfishing, land degradation, marine litter, chemical pollution and other environmental concerns. The GEF benefits the U.S. economy and environment by addressing many external environmental problems that affect our domestic health, safety, and prosperity. The seventh replenishment of GEF (GEF-7) began on July 1, 2018 and will conclude on June 30, 2022. No funding is requested for the GEF in 2021 since FY 2019 and FY 2020 appropriations placed the United States on track to meet its GEF-7 funding pledge.

International Finance Corporation

The International Finance Corporation (IFC) is the private sector focused part of the World Bank Group. Established in 1956, it promotes private sector development in developing countries by making loans and equity investments in private sector projects, mobilizing private capital alongside its own resources, and providing advisory and technical assistance services. In its 2019 fiscal year, the IFC approved \$8.9 billion from its own resources, and mobilized an additional \$10.2 billion from other sources, for 269 projects in 65 countries. Of this, \$5 billion (26%) was invested in the poorest countries (those eligible for funding from the World Bank's IDA). By 2030, IFC is aiming to raise the percentage of new investments in the poorest countries and those experiencing conflict and fragility to 40% of its portfolio. IFC made investments across the globe in 2019, with the largest recipient regions being Latin America and the Caribbean (32.5 percent), Sub-Saharan Africa (20.9 percent), East Asia and the Pacific (18.8 percent), and South Asia (15.7 percent). The top sectors for IFC investment in 2019 were financial markets (56.3 percent), infrastructure (11.8 percent), and agribusiness and forestry (5.6 percent). The IFC spent \$295 million on advisory services in 2019, with sub-Saharan Africa receiving \$96.5 million (33 percent). No funding is requested for the IFC in 2021, but the FY 2021 Budget requests authorization to vote in favor of four IFC Board resolutions to allow other shareholders to contribute additional capital while preserving U.S. veto power over future capital increases.

CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION

For payment to the International Development Association by the Secretary of the Treasury, 【\$1,097,010,000】 \$1,001,400,000, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0073-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Development Association	1,097	1,097	1,001
0900 Total new obligations, unexpired accounts (object class 33.0)	1,097	1,097	1,001

CONTRIBUTION TO MULTILATERAL INVESTMENT GUARANTEE AGENCY

Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation - IDA	1,097	1,097 1,001
1930	Total budgetary resources available	1,097	1,097 1,001
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1,097	1,097 1,001
3020	Outlays (gross)	-1,097	-1,097 -1,001
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,097	1,097 1,001
Outlays, gross:			
4010	Outlays from new discretionary authority	1,097	1,097 1,001
4180	Budget authority, net (total)	1,097	1,097 1,001
4190	Outlays, net (total)	1,097	1,097 1,001

The FY 2021 Budget requests \$1,001.4 million for the International Development Association (IDA) in support of IDA programs over the nineteenth replenishment (IDA-19, FY 2021-FY 2023), including towards the first of three installments to IDA-19.

IDA is the part of the World Bank that supports the growth and development of the world's 74 poorest countries. IDA works across a wide range of sectors including education, health, clean water and sanitation, the environment, infrastructure, agriculture, and governance. Because countries receiving IDA financing are too poor to attract sufficient capital to support their significant development needs, they depend on concessional finance—low-cost loans and grants—to create jobs, build critical infrastructure, improve governance and public service delivery, increase agricultural productivity, increase access to energy, improve job creation and the business environment, and invest in the health and education of future generations. IDA's goal is to help countries reduce poverty and achieve higher levels of growth and institutional capacity. Over time, IDA's support helps countries finance their development needs through domestic revenues and borrowing at non-concessional rates, including through international markets. During the World Bank's 2019 fiscal year (July 1, 2018, to June 30, 2019), IDA supported 268 projects totaling \$22.3 billion, of which, just under two-thirds (\$14.1 billion) went to countries in Africa. Countries in the South Asia region received the second largest amount (\$4.8 billion).

Multilateral Debt Relief Initiative

Launched in 2006 at the urging of the United States, the Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the concessional financing windows of the World Bank and the African Development Bank. Countries receive MDRI benefits after completing the reforms under the Heavily Indebted Poor Countries (HIPC) Initiative and demonstrating a track record of improved economic policy performance. The purpose of this debt reduction is to free up more resources in well-performing low-income countries for poverty-reducing expenditures in areas such as health, education, and rural development. In accordance with the MDRI, donors compensate IDA for the cancelled debt on a dollar-for-dollar basis according to the payment schedules of the original loans. IDA calculates donors' MDRI commitments at the start of each three-year replenishment cycle according to a burden-sharing percentage. Each donor's commitments to MDRI at IDA must be met within the three-year replenishment period to avoid a negative impact on IDA's financial capacity. The U.S. share of the cost of MDRI under IDA-19 (FY 2021-FY 2023) is \$878.8 million. No funding is requested for IDA MDRI in 2021.

Program and Financing (in millions of dollars)

Identification code 011-0084-0-1-151	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	22	22 22
3050	Unpaid obligations, end of year	22	22 22
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	22	22 22
3200	Obligated balance, end of year	22	22 22
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

The Multilateral Investment Guarantee Agency (MIGA) is a member of the World Bank Group designed to encourage the flow of foreign private investment to and among developing countries by issuing guarantees against non-commercial risks and carrying out investment promotion activities. No funding is requested for MIGA in 2021.

CONTRIBUTION TO THE INTER-AMERICAN DEVELOPMENT BANK

Program and Financing (in millions of dollars)

Identification code 011-0072-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3,798	3,798 3,798
1930	Total budgetary resources available	3,798	3,798 3,798
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3,798	3,798 3,798
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

The Inter-American Development Bank (IDB) is the largest source of development financing for 26 countries in Latin America and the Caribbean, a strategically significant and economically important region for the United States where 73 million people live in poverty. The IDB works in a range of sectors and commits roughly half of its funding to support infrastructure through projects in water and sanitation, transportation and energy. The other half is split between capacity building, including reform of government operations and financial markets, and social sectors, including social investment, health, and education. The United States is the largest shareholder in the IDB, with 30 percent of total shareholding, enabling the United States to wield significant influence over major decisions about the direction of the IDB. No new funding is requested for IDB in 2021.

Inter-American Investment Corporation

The Inter-American Investment Corporation (IIC), a member of the Inter-American Development Bank Group established in 1984, promotes development of the private sector in Latin America and the Caribbean. It is a legally autonomous entity whose resources and management are separate from those of the IDB itself. In 2017, the IIC implemented organizational and operational reforms stemming from the 2016 consolidation of the IDB's private sector financing activities into the IIC. As a result of this consolidation, the IIC's mandate has expanded from a focus on small- and medium-sized enterprises to include financing for private infrastructure and corporate entities. No funding is requested for the IIC in 2021.

CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND

For payment to the Asian Development Bank's Asian Development Fund by the Secretary of the Treasury, \$47,395,000, to remain available until expended. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND—Continued

Program and Financing (in millions of dollars)

Identification code 011-0076-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Asian Development Fund	47	47	47
0900 Total new obligations, unexpired accounts (object class 33.0)	47	47	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	748	748	748
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Fund	47	47	47
1930 Total budgetary resources available	795	795	795
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	748	748	748
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	47	47	47
3020 Outlays (gross)	-47	-47	-47
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	47	47	47
Outlays, gross:			
4010 Outlays from new discretionary authority	47	47	47
4180 Budget authority, net (total)	47	47	47
4190 Outlays, net (total)	47	47	47

Asian Development Bank

The Asian Development Bank (AsDB) promotes broad-based sustainable economic growth and development, poverty alleviation, and regional cooperation and integration in the Asia-Pacific region. It has two main financing windows: 1) the Asian Development Bank's Ordinary Capital Resources (OCR), which provides "hard loans" at market rates and "soft loans" to eligible countries at concessional rates; and 2) the Asian Development Fund (AsDF), which provides grants to the region's poorest countries that are at moderate or high risk of debt distress. Prior to January 2017, when AsDF's equity and lending operations were merged with AsDB's OCR, the AsDF also provided concessional loans.

The AsDB provides long-term loans at market-based rates to 22 middle-income Asian countries that lack the resources to finance their national economies and build critical infrastructure. AsDB also supports private sector development with technical assistance, loans, guarantees, and direct equity investments in viable private sector projects with strong development impacts. In 2018, AsDB approved \$18.3 billion for projects and leveraged another \$13.7 billion in co-financing from official and commercial sources. Through its lending, AsDB supports the construction of critical infrastructure, the expansion of private enterprise, and sustainable economic growth. The majority of AsDB assistance is for investments in energy, transportation, agriculture and natural resources, public sector management, water supply, municipal infrastructure, finance, and education. AsDB is financed through capital contributions from donors, income earned on its loan and investment portfolios and bond issuances. No funding is requested for AsDB in 2021.

Asian Development Fund

The FY 2021 Budget requests \$47.4 million in support of Asian Development Fund (AsDF) programs over the eleventh replenishment (AsDF-12; FY 2018-FY 2021), including towards the fourth and final installment to AsDF-12.

AsDF currently provides grants to 17 of the poorest countries in Asia and the Pacific that face moderate or high risk of debt distress, including Afghanistan. It focuses on supporting inclusive, sustainable economic growth, as well as regional cooperation and integration. AsDF projects support water, energy, transportation, infrastructure, financial sector deepening, agriculture, and health. AsDF also invests in cross-cutting

activities, such as connecting entrepreneurial training with financing for small and medium-sized enterprises. In 2018, AsDF committed \$1.4 billion in grants for AsDF-eligible countries. Cumulatively, AsDF has provided over \$51 billion for projects in developing member countries since 1974. As a result of the merger of AsDF's lending assets into AsDB's OCR on January 1, 2017, AsDF now provides only grants. In recent years, the United States has focused attention within AsDF on countries where support aligns with U.S. strategic interests, such as Afghanistan and the Pacific Island Countries.

CONTRIBUTION TO THE AFRICAN DEVELOPMENT FUND

For payment to the African Development Fund by the Secretary of the Treasury, \$171,300,000, to remain available until expended.

CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK

For payment to the African Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increases in capital stock, \$54,648,752, to remain available until expended.

LIMITATION ON CALLABLE CAPITAL

The United States Governor of the African Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$856,174,624. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-0082-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Bank	32	55
0002 Fund	171	171	171
0900 Total new obligations, unexpired accounts (object class 33.0)	203	171	226
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Bank	32	55
1100 Appropriation - Fund	171	171	171
1160 Appropriation, discretionary (total)	203	171	226
1930 Total budgetary resources available	203	171	226
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	203	171	226
3020 Outlays (gross)	-203	-171	-226
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	203	171	226
Outlays, gross:			
4010 Outlays from new discretionary authority	203	171	226
4180 Budget authority, net (total)	203	171	226
4190 Outlays, net (total)	203	171	226

The African Development Bank Group comprises 1) the African Development Bank (AfDB), which lends at market-linked rates to middle-income African countries and Africa's private-sector; and 2) the African Development Fund (AfDF), which provides grants and concessional loans to the poorest African countries. The AfDF account includes a portion of the U.S. commitment to the Multilateral Debt Relief Initiative (MDRI).

African Development Bank

The FY 2021 Budget requests \$54.6 million for the first of eight equal installments for the seventh general capital increase of the AfDB. The FY 2021 Budget also requests authorization to subscribe to the paid-in and callable capital shares allotted to the United States under the capital increase. The AfDB provides public sector financing at market-linked rates to 20 middle-income African countries, and provides loans, equity investments, lines of credit, and guarantees to support private sector investments in all 54 African member countries. The AfDB approved \$7.0 billion in loans in 2019, 70 percent of which were for public sector projects and 30

percent for private sector projects. In 2019, approximately 48 percent of AfDB approvals were for infrastructure, primarily energy and transportation. Other key sectors include finance, agriculture, and governance. The United States is the largest non-regional shareholder of the AfDB, with 6.4 percent of total shareholding, and the second-largest shareholder after Nigeria.

African Development Fund

The FY 2021 Budget requests \$171.3 million in support of AfDF programs over the fifteenth replenishment (AfDF-15; FY 2021-FY 2023), including towards the first of three installments to AfDF-15.

The AfDF is the AfDB Group's concessional lending window, providing grants and highly concessional loans to the poorest countries in Africa, of which half are fragile or conflict-affected states. In 2019, the AfDF provided \$2.1 billion in financing, technical assistance, and capacity-building activities to the 37 eligible countries. Many AfDF recipient countries are becoming new, emerging markets and growing U.S. trading partners. Other AfDF recipient countries, however, remain trapped in fragility, conflict, and poverty. They are highly vulnerable to both internal and external shocks and are in need of special assistance to achieve basic levels of service delivery. The AfDF is one of the largest official financiers of infrastructure in Sub-Saharan Africa, committing approximately 60 percent of its funding to national and regional infrastructure projects in sectors such as energy, transportation, and water and sanitation. The remainder of its funding is devoted to governance, agriculture and food security, and human capital development (e.g., health and education). The AfDF also sets aside special funding for regional projects and fragile and transitioning states. In total, approximately half of its resources are directed to fragile states.

Multilateral Debt Relief Initiative

Launched in 2006 at the urging of the United States, the Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the concessional financing windows of the World Bank and the AfDB. Countries receive MDRI benefits after completing the reforms under the HIPC Initiative and demonstrating a track record of improved economic policy performance. The purpose of this debt reduction is to free up more resources in well-performing low-income countries for poverty-reducing expenditures in areas such as health, education, and rural development. In accordance with the MDRI donors compensate AfDF for cancelled debt on a dollar-for-dollar basis according to the payment schedules of the original loans. Similar to IDA, AfDF calculates donors' MDRI commitments at the start of each three-year replenishment cycle according to a burden-sharing percentage. Donor commitments must be met within the three-year replenishment period to avoid a negative impact on the AfDF's commitment capacity. The U.S. share of the cost of MDRI under AfDF-15 (FY 2021-FY 2023) is \$68.0 million. No funding is requested for AfDF MDRI in 2021.

CONTRIBUTION TO THE EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 011-0088-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5		
0900 Total new obligations, unexpired accounts (object class 33.0)	5		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	2	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	5		
1701 Change in uncollected payments, Federal sources	-3		
1750 Spending auth from offsetting collections, disc (total)	2		
1930 Total budgetary resources available	7	2	2

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	5		
3020 Outlays (gross)	-5		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	3		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-5	-2	-2
3200 Obligated balance, end of year	-2	-2	-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2		
Outlays, gross:			
4011 Outlays from discretionary balances	5		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-5		
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	3		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Created in 1990, the European Bank for Reconstruction and Development (EBRD) supports market-oriented economic reform and democratic pluralism, predominately through private-sector lending and investments. Its original field of operation in the countries of Central and Eastern Europe and the former Soviet Union was expanded in 2012 to aid in the transitions of key countries in the Middle East and North Africa. No funding is requested for the EBRD in 2021.

CONTRIBUTION TO THE NORTH AMERICAN DEVELOPMENT BANK

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The Secretary of the Treasury may subscribe without fiscal year limitation to the callable capital portion of the United States share of capital stock in an amount not to exceed \$1,020,000,000: Provided, That this authority shall be in addition to any other authority provided by previous Acts.

Program and Financing (in millions of dollars)

Identification code 011-1008-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
1930 Total budgetary resources available	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The North American Development Bank (NADB) finances infrastructure projects that help preserve, protect, and enhance the environment of the U.S.-Mexico border region in order to advance the well-being of people in both the United States and Mexico. NADB provides funding for projects to benefit communities on both sides of the border. Eligible projects must be located within 100 kilometers of the border on the U.S. side and 300 kilometers on the Mexican side. NADB provides loans and grants to both private sponsors and to municipalities and public utilities. Under its charter the United States and Mexico contribute equally to NADB's capital. The FY 2021 Budget requests authority to subscribe to additional callable capital but does not request an appropriation for paid-in capital.

CONTRIBUTION TO ENTERPRISE FOR THE AMERICAS MULTILATERAL INVESTMENT FUND

The Multilateral Investment Fund (MIF), administered by the Inter-American Development Bank, provides grants, loans and equity investments to support private-sector development in Latin America and the Caribbean,

CONTRIBUTION TO ENTERPRISE FOR THE AMERICAS MULTILATERAL INVESTMENT
FUND—Continued

with a focus on creating opportunities for poor and vulnerable populations. Grants and loans are used for technical assistance to identify innovative markets, products and business processes, investments in human capital, and business infrastructure and development.

No funding is requested for the MIF in 2021.

CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

For payment to the International Fund for Agricultural Development by the Secretary of the Treasury, \$30,000,000, to remain available until, and to be fully disbursed no later than, September 30, 2021, for the second installment of the eleventh replenishment of the International Fund for Agricultural Development: *Provided*, That the Secretary of the Treasury shall report to the Committees on Appropriations on the status of such payment not less than quarterly until fully disbursed: *Provided further*, That in such report the Secretary shall provide a timeline for the obligation and disbursement of any funds that have not yet been obligated or disbursed. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-1039-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Contributions to the International Fund for Agricultural Development (Direct)	30	30
0900 Total new obligations, unexpired accounts (object class 33.0)	30	30
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	30
1930 Total budgetary resources available	30	30
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	36	24
3010 New obligations, unexpired accounts	30	30
3020 Outlays (gross)	-66	-6	-6
3050 Unpaid obligations, end of year	24	18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	36	24
3200 Obligated balance, end of year	24	18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	30
Outlays, gross:			
4010 Outlays from new discretionary authority	30	6
4011 Outlays from discretionary balances	36	6
4020 Outlays, gross (total)	66	6	6
4180 Budget authority, net (total)	30	30
4190 Outlays, net (total)	66	6	6

The International Fund for Agricultural Development (IFAD) was established in 1977 as an international financial institution and specialized U.N. agency focused on promoting rural agricultural development and food security in poorer countries. IFAD's mandate is to help rural, small-scale producers and subsistence farmers increase their agricultural productivity, incomes, and access to markets as well as to promote job creation and rural economic growth in conflict-affected and fragile areas. No funding is requested for IFAD in 2021.

INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE

For necessary expenses to carry out the provisions of section 129 of the Foreign Assistance Act of 1961, [\$30,000,000] \$33,000,000, to remain available until expended, of which not more than [\$6,000,000] \$6,600,000 may be used for administrative expenses: *Provided*, That amounts made available under this heading may be made available to contract for services as described in section 129(d)(3)(A) of

the Foreign Assistance Act of 1961, without regard to the location in which such services are performed. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-1045-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Affairs Technical Assistance Program (Direct)	30	30	33
0801 International Affairs Technical Assistance Program (Reimbursable)	21	19	19
0900 Total new obligations, unexpired accounts	51	49	52
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	46	47	55
1021 Recoveries of prior year unpaid obligations	4	2	4
1050 Unobligated balance (total)	50	49	59
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	30	33
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	25	25
1701 Change in uncollected payments, Federal sources	1
1750 Spending auth from offsetting collections, disc (total)	20	25	25
1900 Budget authority (total)	50	55	58
1930 Total budgetary resources available	100	104	117
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2
1941 Unexpired unobligated balance, end of year	47	55	65
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	30	24
3010 New obligations, unexpired accounts	51	49	52
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-45	-53	-53
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-2	-4
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	30	24	19
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1
3071 Change in uncollected pymts, Fed sources, expired	-1
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	28	22
3200 Obligated balance, end of year	28	22	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	50	55	58
Outlays, gross:			
4010 Outlays from new discretionary authority	1	4	4
4011 Outlays from discretionary balances	44	49	49
4020 Outlays, gross (total)	45	53	53
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-19	-25	-25
4040 Offsets against gross budget authority and outlays (total)	-19	-25	-25
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1
4070 Budget authority, net (discretionary)	30	30	33
4080 Outlays, net (discretionary)	26	28	28
4180 Budget authority, net (total)	30	30	33
4190 Outlays, net (total)	26	28	28

Pursuant to the Office of Technical Assistance's (OTA) authorizing statute, OTA provides technical assistance to facilitate the implementation of policy, management, and administrative reforms in the areas of budget, revenue, government debt, financial institutions and financial enforcement to developing and transition countries. This assistance supports U.S. foreign policy and national security objectives.

The 2021 Budget includes \$33 million to fund full-time resident technical assistance advisors, intermittent advisors, program-related administrative costs, and enhanced monitoring and evaluation. The appropriation will

support technical assistance programs in Asia, the Middle East, Africa, Latin America, the Caribbean, and Europe. It will enable the provision of technical assistance to developing and transition countries to strengthen the capacity of finance ministries, central banks, and other government institutions to manage public finances and oversee the financial sector. Technical assistance projects support efficient revenue collection, well-planned and executed budgets, judicious debt management, sound banking systems, and strong controls to combat corruption and economic crimes, including terrorist financing. The appropriation will also support Treasury's work to strengthen the financial underpinnings for infrastructure development. OTA will continue to coordinate its activities with the Department of State, USAID, and other relevant U.S. Government agencies as well as international financial institutions and other bilateral donors when determining where its technical assistance program can have the greatest positive impact.

Object Classification (in millions of dollars)

Identification code 011-1045-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	4	4	4
23.2 Rental payments to others	3	3	3
25.1 Advisory and assistance services	18	18	21
25.2 Other services from non-Federal sources	7	7	7
99.0 Direct obligations	33	33	36
99.0 Reimbursable obligations	19	19	19
99.5 Adjustment for rounding	-1	-3	-3
99.9 Total new obligations, unexpired accounts	51	49	52

Employment Summary

Identification code 011-1045-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10	3	3
2001 Reimbursable civilian full-time equivalent employment	3	10	10

INTERNATIONAL ORGANIZATIONS AND PROGRAMS

¶For necessary expenses to carry out the provisions of section 301 of the Foreign Assistance Act of 1961, \$390,500,000: *Provided*, That section 307(a) of the Foreign Assistance Act of 1961 shall not apply to contributions to the United Nations Democracy Fund: *Provided further*, That not later than 60 days after enactment of this Act, such funds shall be made available for core contributions for each entity listed in the table under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) unless otherwise provided for in this Act, or if the Secretary of State has justified the proposed uses of funds other than for core contributions following prior consultation with, and subject to the regular notification procedures of, the Committees on Appropriations. ¶ (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 019-1005-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Organizations and Programs (Direct)	282	391
0900 Total new obligations, unexpired accounts (object class 41.0)	282	391
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	339	391
1120 Appropriations transferred to other accts [019-1031]	-33
1121 Appropriations transferred from other acct [072-1037]	25
1160 Appropriation, discretionary (total)	331	391
1930 Total budgetary resources available	331	391
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-49

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	310	284	391
3010 New obligations, unexpired accounts	282	391
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-308	-284	-391
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	284	391
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	310	284	391
3200 Obligated balance, end of year	284	391

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	331	391
Outlays, gross:			
4011 Outlays from discretionary balances	308	284	391
4180 Budget authority, net (total)	331	391
4190 Outlays, net (total)	308	284	391

In addition to its assessed payments, the United States contributes to voluntary funds of many UN-affiliated and other international organizations and programs involved in a wide range of sustainable development, humanitarian, scientific, environmental and security activities. Although the FY 2021 request does not include IOP as a standalone account, this request includes funding for strategically selected international organizations including but not limited to the UN High Commissioner for Human Rights, the Internet Governance Forum, and the International Maritime Organization in the Economic Support and Development Fund account.

DEBT RESTRUCTURING

For the costs, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees for *Somalia or credits extended to Somalia*, as the President may determine, ¶for which funds have been appropriated or otherwise made available for programs within the International Affairs Budget Function 150, including the cost of selling, reducing, or canceling amounts owed to the United States as a result of concessional loans made to eligible countries, pursuant to part V of the Foreign Assistance Act of 1961, \$15,000,000, to remain available until September 30, 2021 ¶including the cost of selling, reducing, or cancelling amounts owed to the United States, \$78,000,000, to remain available until expended, which may be used notwithstanding any other provision of law. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0091-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0103 Tropical Forest Conservation Initiative	15
0104 Debt Relief and Restructuring	30	78
0191 Direct program activities, subtotal	45	78
Credit program obligations:			
0705 Reestimates of direct loan subsidy	16
0706 Interest on reestimates of direct loan subsidy	20
0791 Direct program activities, subtotal	36
0900 Total new obligations, unexpired accounts (object class 41.0)	81	78
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1001 Discretionary unobligated balance brought fwd, Oct 1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	78
1121 Appropriations transferred from other acct [072-1037]	30
1160 Appropriation, discretionary (total)	45	78
Appropriations, mandatory:			
1200 Appropriation	36
1900 Budget authority (total)	81	78
1930 Total budgetary resources available	1	82	79
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

DEBT RESTRUCTURING—Continued
Program and Financing—Continued

Identification code 011–0091–0–1–151	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	42	42	45
3010 New obligations, unexpired accounts		81	78
3020 Outlays (gross)		–78	–45
3050 Unpaid obligations, end of year	42	45	78
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	42	42	45
3200 Obligated balance, end of year	42	45	78
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		45	78
Outlays, gross:			
4011 Outlays from discretionary balances			45
Mandatory:			
4090 Budget authority, gross		36	
Outlays, gross:			
4100 Outlays from new mandatory authority		36	
4101 Outlays from mandatory balances		42	
4110 Outlays, gross (total)		78	
4180 Budget authority, net (total)		81	78
4190 Outlays, net (total)		78	45

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 011–0091–0–1–151	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135004 Defense Security Cooperation Agency		36	
135999 Total direct loan reestimates		36	

Debt relief and restructuring can be fundamental to helping countries stabilize their economies, restart economic growth, and alleviate poverty and instability. Through the Paris Club and programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, countries that have demonstrated a commitment to economic reforms can benefit from debt restructuring. These programs have provided authority and appropriations to reschedule and/or reduce debt repayments to the U.S. Government, allowing beneficiary countries to increase poverty reduction expenditures in areas such as health, education, and rural development. Debt relief can also contribute to achieving other U.S. Government policy priorities. The FY 2021 Budget requests \$78 million for Treasury's Debt Restructuring account to pay for the cost of the United States' Paris Club debt relief funding for Somalia as part of HIPC, in the event that Somalia meets the conditions specified for debt relief by U.S. law and the HIPC Initiative and consistent with U.S. foreign policy considerations.

AGENCY FOR INTERNATIONAL DEVELOPMENT

Federal Funds

DEVELOPMENT ASSISTANCE

For necessary expenses to carry out the provisions of sections 103, 105, 106, 214, and sections 251 through 255, and chapter 10 of part I of the Foreign Assistance Act of 1961, \$3,400,000,000, to remain available until September 30, 2021: *Provided*, That funds made available under this heading shall be apportioned directly to the United States Agency for International Development not later than 60 days after enactment of this Act. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072–1021–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Development Assistance Program (Direct)	3,006	3,170	3,348

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,098	3,120	3,349
1010 Unobligated balance transfer to other accts [011–3100]	–10		
1010 Unobligated balance transfer to other accts [014–0102]	–1	–1	–1
1010 Unobligated balance transfer to other accts [014–1611]	–27		
1010 Unobligated balance transfer to other accts [072–1264]	–1		
1011 Unobligated balance transfer from other acct [072–1264]	10		
1021 Recoveries of prior year unpaid obligations	59		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	3,129	3,119	3,348
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,000	3,400	
1900 Budget authority (total)	3,000	3,400	
1930 Total budgetary resources available	6,129	6,519	3,348
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3		
1941 Unexpired unobligated balance, end of year	3,120	3,349	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,358	4,898	5,728
3001 Adjustments to unpaid obligations, brought forward, Oct 1			
3010 New obligations, unexpired accounts	3,006	3,170	3,348
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	–2,531	–2,340	–2,180
3040 Recoveries of prior year unpaid obligations, unexpired	–59		
3041 Recoveries of prior year unpaid obligations, expired	–8		
3050 Unpaid obligations, end of year	4,898	5,728	6,896
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,489	4,898	5,728
3200 Obligated balance, end of year	4,898	5,728	6,896

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,000	3,400	
Outlays, gross:			
4010 Outlays from new discretionary authority		340	
4011 Outlays from discretionary balances	2,531	2,000	2,180
4020 Outlays, gross (total)	2,531	2,340	2,180
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–2		
4040 Offsets against gross budget authority and outlays (total)	–2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	3,000	3,400	
4080 Outlays, net (discretionary)	2,529	2,340	2,180
4180 Budget authority, net (total)	3,000	3,400	
4190 Outlays, net (total)	2,529	2,340	2,180

Development Assistance Programs.—The Development Assistance (DA) account invests in partnerships that support countries' journey to self-reliance to plan, finance, and implement solutions to their own development challenges, to end extreme poverty, and promote resilient, democratic societies around the world. In an effort to streamline accounts and ensure the most effective use of foreign assistance funding, the 2021 Budget consolidates the DA; Economic Support Fund (ESF); Assistance for Europe, Eurasia and Central Asia (AECA); and Democracy Fund (DF) accounts into the Economic Support and Development Fund account. The 2021 Budget focuses foreign assistance in regions and on sectors that advance our national security and protect the American people, promote U.S. prosperity and economic opportunities, and advance American interests and values around the world, while continuing to support key strategic partners and allies and ensuring efficiency, effectiveness, and accountability to the U.S. taxpayer.

Object Classification (in millions of dollars)

Identification code 072-1021-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	6	6
11.3 Other than full-time permanent	7	7	7
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	15	15	15
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	114	114	114
25.2 Other services from non-Federal sources	11	11	11
25.3 Other goods and services from Federal sources	6	6	6
25.5 Research and development contracts	19	19	19
25.7 Operation and maintenance of equipment	1	1	1
41.0 Grants, subsidies, and contributions	2,824	2,988	3,166
99.9 Total new obligations, unexpired accounts	3,006	3,170	3,348

Employment Summary

Identification code 072-1021-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	104	104	104

CHILD SURVIVAL AND HEALTH PROGRAMS

Program and Financing (in millions of dollars)

Identification code 072-1095-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Child Survival and Health Programs (Direct)	4	10	10
0900 Total new obligations, unexpired accounts (object class 41.0)	4	10	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	38	28
1021 Recoveries of prior year unpaid obligations	2
1033 Recoveries of prior year paid obligations	2
1050 Unobligated balance (total)	42	38	28
1930 Total budgetary resources available	42	38	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	38	28	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	4	6
3010 New obligations, unexpired accounts	4	10	10
3020 Outlays (gross)	-8	-8
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3050 Unpaid obligations, end of year	4	6	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-5	-5
3090 Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-3	-1	1
3200 Obligated balance, end of year	-1	1	3
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	8	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2
4040 Offsets against gross budget authority and outlays (total)	-2
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	2
4060 Additional offsets against budget authority only (total)	2
4080 Outlays, net (discretionary)	-2	8	8
4180 Budget authority, net (total)

4190 Outlays, net (total)	-2	8	8
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Prior to 2008, funds were appropriated to the Child Survival and Health Programs account to support activities that address family planning/reproductive health; child survival and maternal health, including activities directed at vulnerable children and the primary causes of morbidity and mortality, polio, micronutrients and iodine deficiency; preventing and treating infectious diseases such as malaria and tuberculosis; and reducing HIV transmission and the impact of the HIV/AIDS pandemic in developing countries. Additional funding for HIV/AIDS was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds for these activities were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

HIV/AIDS WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 072-1033-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 HIV/AIDS Working Capital Fund (Reimbursable)	583	500	400
0900 Total new obligations, unexpired accounts (object class 41.0)	583	500	400
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	413	320	145
1033 Recoveries of prior year paid obligations	2
1050 Unobligated balance (total)	415	320	145
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	580	325	325
1701 Change in uncollected payments, Federal sources	-92
1750 Spending auth from offsetting collections, disc (total)	488	325	325
1930 Total budgetary resources available	903	645	470
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	320	145	70
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	409	408	506
3010 New obligations, unexpired accounts	583	500	400
3020 Outlays (gross)	-584	-402	-405
3050 Unpaid obligations, end of year	408	506	501
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-92
3070 Change in uncollected pymts, Fed sources, unexpired	92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	317	408	506
3200 Obligated balance, end of year	408	506	501
Budget authority and outlays, net:			
Discretionary:			
Budget authority, gross:			
4000 Budget authority, gross	488	325	325
Outlays, gross:			
4010 Outlays from new discretionary authority	247	211	211
4011 Outlays from discretionary balances	337	191	194
4020 Outlays, gross (total)	584	402	405
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-580	-325	-325
4033 Non-Federal sources	-2
4040 Offsets against gross budget authority and outlays (total)	-582	-325	-325
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	92
4053 Recoveries of prior year paid obligations, unexpired accounts	2
4060 Additional offsets against budget authority only (total)	94
4080 Outlays, net (discretionary)	2	77	80
4180 Budget authority, net (total)
4190 Outlays, net (total)	2	77	80

HIV/AIDS WORKING CAPITAL FUND—Continued

The HIV/AIDS Working Capital Fund (WCF) was established to assist in providing a safe, secure, reliable, and sustainable supply chain of pharmaceuticals and other products needed to provide care to and treatment for persons with HIV/AIDS and related infections. These include anti-retroviral drugs; other pharmaceuticals and medical items; laboratory and other supplies for performing tests; other medical supplies needed for the operation of HIV/AIDS treatment and care centers, including products needed in programs for the prevention of mother-to-child transmission; pharmaceuticals and health commodities needed for the provision of palliative care; and laboratory and clinical equipment, equipment needed for the transportation and care of HIV/AIDS supplies, and other equipment and technical assistance needed to provide prevention, care and treatment of HIV/AIDS described above. Funds in the WCF may also be made available for pharmaceuticals and other products for maternal and child survival, malaria, tuberculosis, and emerging infectious diseases.

DEVELOPMENT FUND FOR AFRICA

Program and Financing (in millions of dollars)

Identification code 072-1014-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Development Fund for Africa (Direct)		2	1
0900 Total new obligations, unexpired accounts (object class 41.0)		2	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	3	1
1020 Adjustment of unobligated bal brought forward, Oct 1	-10		
1050 Unobligated balance (total)	3	3	1
1930 Total budgetary resources available	3	3	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts		2	1
3020 Outlays (gross)		-2	-2
3050 Unpaid obligations, end of year	3	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances		2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)		2	2

For 2021, assistance to Africa is requested in other assistance accounts.

ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA

For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961, the FREEDOM Support Act (Public Law 102-511), and the Support for Eastern European Democracy (SEED) Act of 1989 (Public Law 101-179), \$770,334,000, to remain available until September 30, 2021, which shall be available, notwithstanding any other provision of law, except section 7047 of this Act, for assistance and related programs for countries identified in section 3 of the FREEDOM Support Act (22 U.S.C. 5801) and section 3(c) of the SEED Act of 1989 (22 U.S.C. 5402), in addition to funds otherwise available for such purposes: *Provided*, That funds appropriated by this Act under the headings "Global Health Programs", "Economic Support Fund", and "International Narcotics Control and Law Enforcement" that are made available for assistance for such countries shall be administered in accordance with the responsibilities of the coordinator designated pursuant to section 102 of the FREEDOM Support Act and section 601 of the SEED Act of 1989: *Provided further*, That funds appropriated under this heading shall be con-

sidered to be economic assistance under the Foreign Assistance Act of 1961 for purposes of making available the administrative authorities contained in that Act for the use of economic assistance: *Provided further*, That funds appropriated under this heading may be made available for contributions to multilateral initiatives to counter hybrid threats: *Provided further*, That any notification of funds made available under this heading in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs shall include information (if known on the date of transmittal of such notification) on the use of notwithstanding authority: *Provided further*, That if subsequent to the notification of assistance it becomes necessary to rely on notwithstanding authority, the Committees on Appropriations should be informed at the earliest opportunity and to the extent practicable. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072-0306-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Assistance for Europe, Eurasia and Central Asia (Direct)	734	782	769
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	761	781	769
1010 Unobligated balance transfer to other accts [013-1250]	-1		
1010 Unobligated balance transfer to other accts [072-1264]	-3		
1010 Unobligated balance transfer to other accts [012-1105]	-1		
1010 Unobligated balance transfer to other accts [089-0319]	-4		
1010 Unobligated balance transfer to other accts [009-0145]	-1		
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	756	781	769
Budget authority:			
Appropriation, discretionary:			
1100 Appropriation	760	770	
1930 Total budgetary resources available	1,516	1,551	769
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	781	769	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	992	1,154	1,378
3010 New obligations, unexpired accounts	734	782	769
3011 Obligations ("upward adjustments"), expired accounts	12		
3020 Outlays (gross)	-567	-558	-560
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3041 Recoveries of prior year unpaid obligations, expired	-12		
3050 Unpaid obligations, end of year	1,154	1,378	1,587
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	992	1,154	1,378
3200 Obligated balance, end of year	1,154	1,378	1,587
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	760	770	
Outlays, gross:			
4010 Outlays from new discretionary authority		38	
4011 Outlays from discretionary balances	567	520	560
4020 Outlays, gross (total)	567	558	560
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	760	770	
4080 Outlays, net (discretionary)	566	558	560
4180 Budget authority, net (total)	760	770	
4190 Outlays, net (total)	566	558	560

The purpose of the Assistance for Europe, Eurasia and Central Asia (AEECA) account was to support programs to foster the democratic and economic transitions of the countries of Southeastern Europe and the independent states that emerged from the dissolution of the Soviet Union, as well as related efforts to address social sector reform and combat transnational threats in these countries. From 2013 through 2015, funding for the programs formerly funded through AEECA were included in the Economic

Support Fund (ESF), International Narcotics Control and Law Enforcement (INCLE), and Global Health Programs (GHP) accounts. In 2016, Congress reinstated the AEECA account for those programs funded with ESF and INCLE; however, the 2018, 2019, 2020, and 2021 requests propose funding all of these programs through the Economic Support and Development Fund, INCLE, and GHP accounts.

Object Classification (in millions of dollars)

Identification code 072-0306-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
25.3 Other goods and services from Federal sources	2	2	2
41.0 Grants, subsidies, and contributions	731	779	766
99.9 Total new obligations, unexpired accounts	734	782	769

ASSISTANCE FOR EASTERN EUROPE AND THE BALTIC STATES

Program and Financing (in millions of dollars)

Identification code 072-1010-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Assistance for Eastern Europe and the Baltic States (Direct)	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	2	2

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	2
1930 Total budgetary resources available	4	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3
3010 New obligations, unexpired accounts	2	2
3020 Outlays (gross)	-1	-1
3050 Unpaid obligations, end of year	2	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3
3200 Obligated balance, end of year	2	3	2

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	1
4180 Budget authority, net (total)
4190 Outlays, net (total)	1	1

This account provided funds for assistance programs that fostered the democratic and economic transitions of Eastern Europe and the Baltic states as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

Program and Financing (in millions of dollars)

Identification code 072-1093-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Assistance for the Independent States of the Former Soviet Union (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0)	1	1	1

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	6	5
1930 Total budgetary resources available	7	6	5

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	5	4

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	3

Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	1	1
4180 Budget authority, net (total)
4190 Outlays, net (total)	1	1	1

This account provided funds for assistance programs that fostered the democratic and economic transitions of the independent states that emerged from the former Soviet Union, as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

INTERNATIONAL DISASTER ASSISTANCE

【For necessary expenses to carry out the provisions of section 491 of the Foreign Assistance Act of 1961 for international disaster relief, rehabilitation, and reconstruction assistance, \$4,395,362,000, to remain available until expended, of which \$1,733,980,000 is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided*, That funds made available under this heading shall be apportioned to the United States Agency for International Development not later than 60 days after enactment of this Act.】
(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072-1035-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 International Disaster Assistance (Direct)	4,695	4,600	1,526

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,073	1,731	1,526
1010 Unobligated balance transfer to other accts [019-1031]	-110
1010 Unobligated balance transfer to other accts [070-0702]	-1
1021 Recoveries of prior year unpaid obligations	60
1050 Unobligated balance (total)	2,022	1,731	1,526
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,801	2,661
1100 Appropriation (OCO)	584	1,734
1121 Appropriations transferred from other acct [070-0702]	18
1160 Appropriation, discretionary (total)	4,403	4,395
Spending authority from offsetting collections, discretionary:			
1700 Collected	1
1900 Budget authority (total)	4,404	4,395
1930 Total budgetary resources available	6,426	6,126	1,526
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,731	1,526

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,668	4,624	4,984
3010 New obligations, unexpired accounts	4,695	4,600	1,526
3020 Outlays (gross)	-3,679	-4,240	-2,532
3040 Recoveries of prior year unpaid obligations, unexpired	-60
3050 Unpaid obligations, end of year	4,624	4,984	3,978
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,668	4,624	4,984

INTERNATIONAL DISASTER ASSISTANCE—Continued
Program and Financing—Continued

Identification code 072-1035-0-1-151	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	4,624	4,984	3,978
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,404	4,395
Outlays, gross:			
4010 Outlays from new discretionary authority	231	1,565
4011 Outlays from discretionary balances	3,448	2,675	2,532
4020 Outlays, gross (total)	3,679	4,240	2,532
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1
4180 Budget authority, net (total)	4,403	4,395
4190 Outlays, net (total)	3,678	4,240	2,532

In previous years, the International Disaster Assistance (IDA) account provided funds to save lives, reduce human suffering, and mitigate and prepare for natural and complex emergencies overseas. As conflict-based crises increase and force multiple displacements of populations within and outside their national borders, the 2021 Budget seeks to optimize humanitarian assistance, prioritize funding, and use funding as effectively and efficiently as possible, including through consolidating all overseas humanitarian assistance in a single account that can respond flexibly as crises evolve. Accordingly, the Budget consolidates overseas humanitarian assistance management, programming, implementation, and oversight of all implementers into the new International Humanitarian Assistance (IHA) account, including funds formerly requested in IDA and funds for overseas assistance formerly requested in the Migration and Refugee Assistance (MRA) account.

Funds in IHA will support all aspects of overseas humanitarian assistance, including shelter, protection, emergency health and nutrition, the provision of safe drinking water, livelihoods supports, emergency food interventions, rehabilitation, disaster risk reduction, and transition to development assistance programs and will support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), and the International Organization for Migration (IOM), the World Food Program (WFP), the UN Office for the Coordination of Humanitarian Affairs (OCHA), as well as non-governmental organizations (NGOs).

The 2021 request also continues to propose eliminating funding for the inefficient food aid in the P.L. 480 Title II account. IHA will ensure that all food assistance programs are appropriate to local needs and will increase overall effectiveness.

Object Classification (in millions of dollars)

Identification code 072-1035-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
12.1 Civilian personnel benefits	44	44	12
21.0 Travel and transportation of persons	12	12	4
23.1 Rental payments to GSA	1	1
23.2 Rental payments to others	3	3	1
25.1 Advisory and assistance services	15	15	4
25.2 Other services from non-Federal sources	1	1
25.3 Other goods and services from Federal sources	9	9	3
41.0 Grants, subsidies, and contributions	4,610	4,515	1,502
99.9 Total new obligations, unexpired accounts	4,695	4,600	1,526

Employment Summary

Identification code 072-1035-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	2

FUNDS APPROPRIATED TO THE PRESIDENT

OPERATING EXPENSES

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, **[\$1,377,246,000]** **[\$1,311,866,000]**, [of which up to \$206,587,000 may] to remain available until September 30, **[2021]** **2022: Provided,** [That none of the funds appropriated under this heading and under the heading "Capital Investment Fund" in this title may be made available to finance the construction (including architect and engineering services), purchase, or long-term lease of offices for use by the United States Agency for International Development, unless the USAID Administrator has identified such proposed use of funds in a report submitted to the Committees on Appropriations at least 15 days prior to the obligation of funds for such purposes: *Provided further,* That contracts or agreements entered into with funds appropriated under this heading may entail commitments for the expenditure of such funds through the following fiscal year: *Provided further,* That the authority of sections 610 and 109 of the Foreign Assistance Act of 1961 may be exercised by the Secretary of State to transfer funds appropriated to carry out chapter 1 of part I of such Act to "Operating Expenses" in accordance with the provisions of those sections: *Provided further,* That of the funds appropriated or made available under this heading, not to exceed \$250,000 may be available for representation and entertainment expenses, of which not to exceed \$5,000 may be available for entertainment expenses, and not to exceed \$100,500 shall be for official residence expenses, for USAID during the current fiscal year: *Provided further,* That the USAID Administrator shall consult with the Committees on Appropriations not later than 60 days after enactment of this Act on changes to the account structure as described in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)]. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072-1000-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Expenses of the Agency for International Development (Direct)	1,390	1,395	1,410
0002 Foreign national separation fund	1	2	2
0799 Total direct obligations	1,391	1,397	1,412
0801 Operating Expenses of the Agency for International Development (Reimbursable)	50	49	49
0900 Total new obligations, unexpired accounts	1,441	1,446	1,461
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	109	120	100
1012 Unobligated balance transfers between expired and unexpired accounts	23
1021 Recoveries of prior year unpaid obligations	6
1050 Unobligated balance (total)	138	120	100
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,373	1,377	1,312
Spending authority from offsetting collections, discretionary:			
1700 Collected	49	49	49
1701 Change in uncollected payments, Federal sources	4
1750 Spending auth from offsetting collections, disc (total)	53	49	49
1900 Budget authority (total)	1,426	1,426	1,361
1930 Total budgetary resources available	1,564	1,546	1,461
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3
1941 Unexpired unobligated balance, end of year	120	100
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	796	728	806
3010 New obligations, unexpired accounts	1,441	1,446	1,461
3011 Obligations ("upward adjustments"), expired accounts	47
3020 Outlays (gross)	-1,452	-1,368	-1,360
3040 Recoveries of prior year unpaid obligations, unexpired	-6
3041 Recoveries of prior year unpaid obligations, expired	-98
3050 Unpaid obligations, end of year	728	806	907
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired	-4
3090 Uncollected pymts, Fed sources, end of year	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	790	718	796

3200	Obligated balance, end of year	718	796	897
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,426	1,426	1,361
Outlays, gross:				
4010	Outlays from new discretionary authority	808	940	898
4011	Outlays from discretionary balances	644	428	462
4020	Outlays, gross (total)	1,452	1,368	1,360
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-48	-49	-49
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-49	-49	-49
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-4		
4060	Additional offsets against budget authority only (total)	-4		
4070	Budget authority, net (discretionary)	1,373	1,377	1,312
4080	Outlays, net (discretionary)	1,403	1,319	1,311
4180	Budget authority, net (total)	1,373	1,377	1,312
4190	Outlays, net (total)	1,403	1,319	1,311

This account supports the cost of managing U.S. Agency for International Development (USAID) programs, including salaries and other expenses of direct-hire personnel as well as costs associated with physical security of Agency personnel. USAID currently maintains resident staff in more than 70 foreign countries as well as a headquarters in Washington, D.C., which supports field programs and manages regional and worldwide activities.

Object Classification (in millions of dollars)

Identification code 072-1000-0-1-151		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	413	428	440
11.3	Other than full-time permanent	80	80	80
11.5	Other personnel compensation	6	6	6
11.8	Special personal services payments	10	10	10
11.9	Total personnel compensation	509	524	536
12.1	Civilian personnel benefits	197	202	205
21.0	Travel and transportation of persons	65	65	65
22.0	Transportation of things	21	21	21
23.1	Rental payments to GSA	82	82	82
23.2	Rental payments to others	40	40	40
23.3	Communications, utilities, and miscellaneous charges	16	16	16
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	167	153	153
25.2	Other services from non-Federal sources	65	65	65
25.3	Other goods and services from Federal sources	189	189	191
25.4	Operation and maintenance of facilities	5	5	5
25.6	Medical care	1	1	1
25.7	Operation and maintenance of equipment	3	3	1
26.0	Supplies and materials	7	7	7
31.0	Equipment	21	21	21
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	1,391	1,397	1,412
99.0	Reimbursable obligations	49	49	49
99.5	Adjustment for rounding	1		
99.9	Total new obligations, unexpired accounts	1,441	1,446	1,461

Employment Summary

Identification code 072-1000-0-1-151		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	3,183	3,299	3,299
2001	Reimbursable civilian full-time equivalent employment	5	5	5

CAPITAL INVESTMENT FUND

For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667 of the Foreign Assistance Act of 1961, **[\$210,300,000]** \$205,000,000, to remain available until expended: *Provided*, That

this amount is in addition to funds otherwise available for such purposes **]**: *Provided further*, That funds appropriated under this heading shall be available subject to the regular notification procedures of the Committees on Appropriations **]**. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 072-0300-0-1-151		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	IT/New Construction	241	215	205
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	20	5	
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	21	5	
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation - IT/New Construction	225	210	205
1930	Total budgetary resources available	246	215	205
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	36	26	16
3010	New obligations, unexpired accounts	241	215	205
3020	Outlays (gross)	-250	-225	-205
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3050	Unpaid obligations, end of year	26	16	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	36	26	16
3200	Obligated balance, end of year	26	16	16

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	225	210	205
Outlays, gross:				
4010	Outlays from new discretionary authority		200	195
4011	Outlays from discretionary balances	250	25	10
4020	Outlays, gross (total)	250	225	205
4180	Budget authority, net (total)	225	210	205
4190	Outlays, net (total)	250	225	205

\$205.0 million is requested in base funding for this account, which funds capital information technology (IT) investments for USAID, maintenance of USAID-owned properties, and USAID's contribution to the Capital Security Cost Sharing (CSCS) Program. Funds from the Capital Investment Fund will only be made available after USAID has demonstrated a successful business case for its IT investments.

The Administration also requests funds for maintenance of USAID-owned properties and USAID's per capita contribution to the CSCS Program administered by the Department of State Overseas Building Operations. The CSCS program is designed to accelerate the construction of secure, safe, functional facilities for all U.S. Government personnel overseas.

Object Classification (in millions of dollars)

Identification code 072-0300-0-1-151		2019 actual	2020 est.	2021 est.
Direct obligations:				
21.0	Travel and transportation of persons	1	1	1
25.1	Advisory and assistance services	15	23	20
25.4	Operation and maintenance of facilities	1	2	1
31.0	Equipment	2	4	2
32.0	Land and structures	220	185	181
99.0	Direct obligations	239	215	205
99.5	Adjustment for rounding	2		
99.9	Total new obligations, unexpired accounts	241	215	205

TRANSITION INITIATIVES

For necessary expenses for international disaster rehabilitation and reconstruction assistance administered by the Office of Transition Initiatives, United States Agency

TRANSITION INITIATIVES—Continued

for International Development, pursuant to section 491 of the Foreign Assistance Act of 1961, and to support transition to democracy and long-term development of countries in crisis, **[\$92,043,000]** \$112,000,000, to remain available until expended: *Provided*, That such support may include assistance to develop, strengthen, or preserve democratic institutions and processes, revitalize basic infrastructure, and foster the peaceful resolution of conflict: *Provided further*, That the USAID Administrator shall submit a report to the Committees on Appropriations at least 5 days prior to beginning a new program of assistance: *Provided further*, That if the Secretary of State determines that it is important to the national interest of the United States to provide transition assistance in excess of the amount appropriated under this heading, up to \$15,000,000 of the funds appropriated by this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used for purposes of this heading and under the authorities applicable to funds appropriated under this heading: *Provided further*, That funds made available pursuant to the previous proviso shall be made available subject to prior consultation with the Committees on Appropriations. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072–1027–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Transition Initiatives (Direct)	95	105	105
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	20	7
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	23	20	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	92	112
1100 Appropriation - OCO	62		
1160 Appropriation, discretionary (total)	92	92	112
1930 Total budgetary resources available	115	112	119
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	7	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	144	134	141
3010 New obligations, unexpired accounts	95	105	105
3020 Outlays (gross)	-97	-98	-93
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	134	141	153
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	144	134	141
3200 Obligated balance, end of year	134	141	153
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	92	92	112
Outlays, gross:			
4010 Outlays from new discretionary authority	18	23	28
4011 Outlays from discretionary balances	79	75	65
4020 Outlays, gross (total)	97	98	93
4180 Budget authority, net (total)	92	92	112
4190 Outlays, net (total)	97	98	93

The Transition Initiatives (TI) account addresses opportunities and challenges facing conflict-prone countries and those countries making the transition from the initial crisis stage of a complex emergency to sustainable development and democracy. Programs are focused on advancing peace and stability, including promoting the responsiveness of central governments to local needs, increasing civic participation, raising awareness of national issues through media, addressing the underlying causes of instability, and supporting conflict resolution measures. Recent country examples where TI funds were used include Bosnia, Columbia, Ethiopia, and Libya.

TI funding has provided core operational funds for the Office of Transition Initiatives within the U.S. Agency for International Development (USAID) Bureau for Democracy, Conflict, and Humanitarian Assistance. Given the planned USAID reorganization, the 2021 request will support the Office of

Transition Initiatives within the new USAID Bureau for Conflict Prevention and Stabilization.

Object Classification (in millions of dollars)

Identification code 072–1027–0–1–151	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	3	3	3
41.0 Grants, subsidies, and contributions	92	102	102
99.9 Total new obligations, unexpired accounts	95	105	105

Employment Summary

Identification code 072–1027–0–1–151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2		

UKRAINE LOAN GUARANTEES PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072–0402–0–1–151	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001 Ukraine Loan Guarantees	-80	-210	

CONFLICT STABILIZATION OPERATIONS

Program and Financing (in millions of dollars)

Identification code 072–0305–0–1–151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

OFFICE OF INSPECTOR GENERAL

For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, **[\$75,500,000]** \$74,881,000, [of which up to \$11,325,000 may] to remain available until September 30, [2021] 2022, for the Office of Inspector General of the United States Agency for International Development. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 072–1007–0–1–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Expenses, Office of Inspector General (Direct)	80	76	72
0801 Operating Expenses, Office of Inspector General (Reimbursable)		5	5
0900 Total new obligations, unexpired accounts	80	81	77
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	9	10
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)	8	10	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	77	76	75
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	5	5
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	5	5	5

1900	Budget authority (total)	82	81	80
1930	Total budgetary resources available	90	91	91
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	9	10	14

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	36	45	19
3010	New obligations, unexpired accounts	80	81	77
3011	Obligations ("upward adjustments"), expired accounts	3		
3020	Outlays (gross)	-71	-106	-80
3040	Recoveries of prior year unpaid obligations, unexpired		-1	-1
3041	Recoveries of prior year unpaid obligations, expired	-3		
3050	Unpaid obligations, end of year	45	19	15
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-5	-5
3070	Change in uncollected pymts, Fed sources, unexpired	-2		
3090	Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	33	40	14
3200	Obligated balance, end of year	40	14	10

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	82	81	80
Outlays, gross:				
4010	Outlays from new discretionary authority	39	66	65
4011	Outlays from discretionary balances	32	40	15
4020	Outlays, gross (total)	71	106	80
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-3	-5	-5
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-6	-5	-5
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-2		
4052	Offsetting collections credited to expired accounts	3		
4060	Additional offsets against budget authority only (total)	1		
4070	Budget authority, net (discretionary)	77	76	75
4080	Outlays, net (discretionary)	65	101	75
4180	Budget authority, net (total)	77	76	75
4190	Outlays, net (total)	65	101	75

The funds cover the costs of operations of the Office of the Inspector General, U.S. Agency for International Development, and include salaries, expenses, and support costs of the Inspector General's personnel.

Object Classification (in millions of dollars)

Identification code 072-1007-0-1-151	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	19	19	19
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	4	4	4
11.9	Total personnel compensation	27	27	27
12.1	Civilian personnel benefits	15	15	15
21.0	Travel and transportation of persons	5	5	4
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	4	4	4
23.2	Rental payments to others	2	2	2
25.1	Advisory and assistance services	9	9	8
25.3	Other goods and services from Federal sources	8	8	7
25.7	Operation and maintenance of equipment	2	2	2
31.0	Equipment	3	3	2
99.0	Direct obligations	76	76	72
99.0	Reimbursable obligations	4	5	5
99.9	Total new obligations, unexpired accounts	80	81	77

Employment Summary

Identification code 072-1007-0-1-151	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	201	201	201

2001	Reimbursable civilian full-time equivalent employment	10	10	10
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PROPERTY MANAGEMENT FUND

Program and Financing (in millions of dollars)

Identification code 072-4175-0-3-151	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Property Management Fund (Reimbursable)	2	4	4
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	27	25	21
1930	Total budgetary resources available	27	25	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	25	21	17
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		2	6
3010	New obligations, unexpired accounts	2	4	4
3050	Unpaid obligations, end of year	2	6	10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		2	6
3200	Obligated balance, end of year	2	6	10
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

This Fund, as authorized by Public Law 101-513, is maintained for the deposit of proceeds from the sale of overseas property acquired by the U.S. Agency for International Development (USAID). The proceeds are available to construct or otherwise acquire outside the United States: 1) essential living quarters, office space, and necessary supporting facilities for use of USAID personnel; and 2) schools (including dormitories and boarding facilities) and hospitals for use of USAID and other U.S. Government personnel and their dependents. In addition, the proceeds may be used to equip, staff, operate, and maintain such schools and hospitals.

Object Classification (in millions of dollars)

Identification code 072-4175-0-3-151	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
25.4	Operation and maintenance of facilities		2	2
32.0	Land and structures	2	2	2
99.9	Total new obligations, unexpired accounts	2	4	4

UKRAINE LOAN GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4345-0-3-151	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0742	Downward reestimates paid to receipt accounts	74	188	
0743	Interest on downward reestimates	6	23	
0900	Total new obligations, unexpired accounts	80	211	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,149	1,098	915
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	29	28	28
1930	Total budgetary resources available	1,178	1,126	943
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,098	915	943
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			211
3010	New obligations, unexpired accounts	80	211	

UKRAINE LOAN GUARANTEES FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 072-4345-0-3-151	2019 actual	2020 est.	2021 est.
3020 Outlays (gross)	-80		
3050 Unpaid obligations, end of year		211	211
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			211
3200 Obligated balance, end of year		211	211
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	29	28	28
Financing disbursements:			
4110 Outlays, gross (total)	80		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-29	-28	-28
4180 Budget authority, net (total)			
4190 Outlays, net (total)	51	-28	-28

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4345-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2121 Limitation available from carry-forward			
2143 Uncommitted limitation carried forward			
2150 Total guaranteed loan commitments			
2199 Guaranteed amount of guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3,000	2,000	1,000
2231 Disbursements of new guaranteed loans			
2251 Repayments and prepayments	-1,000	-1,000	-1,000
2290 Outstanding, end of year	2,000	1,000	
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	2,000	1,000	

Balance Sheet (in millions of dollars)

Identification code 072-4345-0-3-151	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	1,149	1,098
Investments in U.S. securities:		
1106 Receivables, net	43	
1999 Total assets	1,192	1,098
LIABILITIES:		
2105 Federal liabilities: Other		581
2204 Non-Federal liabilities: Liabilities for loan guarantees	1,192	517
2999 Total liabilities	1,192	1,098
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	1,192	1,098

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 072-4513-0-4-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working Capital Fund (Reimbursable)	15	16	16
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	19	19
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	17	19	19

Budget authority:

Spending authority from offsetting collections, discretionary:			
1700 Collected	19	16	16
1701 Change in uncollected payments, Federal sources	-2		
1750 Spending auth from offsetting collections, disc (total)	17	16	16
1930 Total budgetary resources available	34	35	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	19	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	7	
3010 New obligations, unexpired accounts	15	16	16
3020 Outlays (gross)	-19	-23	-16
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	7		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-16	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-4	-7	-14
3200 Obligated balance, end of year	-7	-14	-14

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	17	16	16
Outlays, gross:			
4010 Outlays from new discretionary authority	8	16	16
4011 Outlays from discretionary balances	11	7	
4020 Outlays, gross (total)	19	23	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-16	-16
4033 Non-Federal sources	-13		
4040 Offsets against gross budget authority and outlays (total)	-19	-16	-16
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	2		
4080 Outlays, net (discretionary)		7	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		7	

The Fund, authorized by section 635(m) of the Foreign Assistance Act of 1961, finances on a reimbursable basis the costs associated with providing administrative support to other agencies under the International Cooperative Administrative Support Services (ICASS) program overseas. Under ICASS, each agency pays a proportional share of the cost of those services they have agreed to receive. Working through inter-agency councils at post, all agencies have a say in determining which services the USAID mission will provide, defining service standards, reviewing costs, and determining funding levels. The Fund is also used for deposit of rebates from the use of Federal credit cards, the deposits then being made available for start-up costs at new ICASS service-provider missions and technical support to missions currently providing services.

Object Classification (in millions of dollars)

Identification code 072-4513-0-4-151	2019 actual	2020 est.	2021 est.
11.3 Reimbursable obligations: Personnel compensation: Other than full-time permanent	3	3	3
11.9 Total personnel compensation	3	3	3
12.1 Civilian personnel benefits	2	2	2
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	4	4	4
25.4 Operation and maintenance of facilities	1	2	2
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	15	16	16

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4137-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	1		
0900 Total new obligations, unexpired accounts	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	120	145	
1010 Unobligated balance transfer to other accts [077-4137]		-97	
1023 Unobligated balances applied to repay debt	-36	-48	
1050 Unobligated balance (total)	84		
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections-non-federal	62		
1900 Budget authority (total)	62		
1930 Total budgetary resources available	146		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	145		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)	-1		
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	62		
Financing disbursements:			
4110 Outlays, gross (total)	1		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-8		
4123 Non-federal sources (Loan Repayments-Principal)	-54		
4130 Offsets against gross budget authority and outlays (total)	-62		
4170 Outlays, net (mandatory)	-61		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-61		

Status of Direct Loans (in millions of dollars)

Identification code 072-4137-0-3-151	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	732	696	
1251 Repayments: Repayments and prepayments	-12		
1264 Other adjustments, net	-24	-696	
1290 Outstanding, end of year	696		

Balance Sheet (in millions of dollars)

Identification code 072-4137-0-3-151	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	120	146
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	709	696
1402 Interest receivable	7	186
1405 Allowance for subsidy cost (-)	-599	-882
1499 Net present value of assets related to direct loans	117	
1999 Total assets	237	146
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable		146
2103 Debt - Prin Payable to BPD	36	
2999 Total liabilities	36	146
NET POSITION:		
3300 Cumulative results of operations	201	
4999 Total liabilities and net position	237	146

LOAN GUARANTEES TO ISRAEL PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0301-0-1-151	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan Guarantees to Israel	2,000	500	500
Guaranteed loan subsidy (in percent):			
232001 Loan Guarantees to Israel	0.00	0.00	0.00
Guaranteed loan reestimates:			
235001 Loan Guarantees to Israel	-152	-157	

LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4119-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts	37	35	
0743 Interest on downward reestimates	115	121	
0900 Total new obligations, unexpired accounts	152	156	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,253	1,178	1,151
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	77	129	129
1930 Total budgetary resources available	1,330	1,307	1,280
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,178	1,151	1,280
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			156
3010 New obligations, unexpired accounts	152	156	
3020 Outlays (gross)	-152		
3050 Unpaid obligations, end of year		156	156
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			156
3200 Obligated balance, end of year		156	156
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	77	129	129
Financing disbursements:			
4110 Outlays, gross (total)	152		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-77	-80	-80
4123 Non-Federal sources - Fees		-49	-49
4130 Offsets against gross budget authority and outlays (total)	-77	-129	-129
4170 Outlays, net (mandatory)	75	-129	-129
4180 Budget authority, net (total)			
4190 Outlays, net (total)	75	-129	-129

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4119-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	3,814	1,814	1,314
2143 Uncommitted limitation carried forward	-1,814	-1,314	-814
2150 Total guaranteed loan commitments	2,000	500	500
2199 Guaranteed amount of guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	9,003	10,601	10,699
2231 Disbursements of new guaranteed loans	2,000	500	500
2251 Repayments and prepayments	-402	-402	-402
2264 Adjustments: Other adjustments, net			
2290 Outstanding, end of year	10,601	10,699	10,797

LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT—Continued

Status of Guaranteed Loans—Continued

Identification code 072-4119-0-3-151	2019 actual	2020 est.	2021 est.
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	10,601	10,699	10,797
Balance Sheet (in millions of dollars)			
Identification code 072-4119-0-3-151	2018 actual	2019 actual	
ASSETS:			
1101 Federal assets: Fund balances with Treasury	1,253	1,179	
1999 Total assets	1,253	1,179	
LIABILITIES:			
2105 Federal liabilities: Other		157	
2204 Non-Federal liabilities: Liabilities for loan guarantees	1,253	1,022	
2999 Total liabilities	1,253	1,179	
NET POSITION:			
3300 Cumulative results of operations			
4999 Total upward reestimate subsidy BA [72-0301]	1,253	1,179	

MENA LOAN GUARANTEE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-0409-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0707 Reestimates of loan guarantee subsidy	174		
0708 Interest on reestimates of loan guarantee subsidy	20		
0900 Total new obligations, unexpired accounts (object class 41.0)	194		
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	194		
1900 Budget authority (total)	194		
1930 Total budgetary resources available	194		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	194		
3020 Outlays (gross)	-194		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	194		
Outlays, gross:			
4100 Outlays from new mandatory authority	194		
4180 Budget authority, net (total)	194		
4190 Outlays, net (total)	194		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0409-0-1-151	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001 Loan Guarantees to Tunisia	138	-2	
235002 Loan Guarantees to Jordan	31	-171	
235003 Loan Guarantees to Iraq	25	-4	
235999 Total guaranteed loan reestimates	194	-177	

MENA LOAN GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4493-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0742 Downward reestimates paid to receipt accounts		156	
0743 Interest on downward reestimates		21	
0900 Total new obligations, unexpired accounts		177	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,105	1,325	1,187
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	220	39	39
1930 Total budgetary resources available	1,325	1,364	1,226
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,325	1,187	1,226
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			177
3010 New obligations, unexpired accounts		177	
3050 Unpaid obligations, end of year		177	177
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			177
3200 Obligated balance, end of year		177	177
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	220	39	39
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - subsidy payments from program account	-194		
4122 Interest on uninvested funds	-26	-39	-39
4130 Offsets against gross budget authority and outlays (total)	-220	-39	-39
4170 Outlays, net (mandatory)	-220	-39	-39
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-220	-39	-39

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4493-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2121 Limitation available from carry-forward			
2143 Uncommitted limitation carried forward			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	6,235	4,750	4,750
2231 Disbursements of new guaranteed loans			
2251 Repayments and prepayments	-1,485		-2,250
2264 Adjustments: Other adjustments, net			
2290 Outstanding, end of year	4,750	4,750	2,500
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4,750	4,750	2,500

Balance Sheet (in millions of dollars)

Identification code 072-4493-0-3-151	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101 Fund balances with Treasury	1,105	1,325	
Investments in U.S. securities:			
1104 Adjustment GTAS			
1106 Receivables, net (subsidy from program fund)	144		
1999 Total assets	1,249	1,325	
LIABILITIES:			
2105 Federal liabilities: Other			280
Non-Federal liabilities:			
2204 Liabilities for loan guarantees	1,194	1,044	

2205	Lease liabilities, net			1
2207	Other Liabilities without related budgetary obligations	55		
2999	Total liabilities	1,249		1,325
NET POSITION:				
3300	Cumulative results of operations			
4999	Total liabilities and net position	1,249		1,325

URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-0401-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	2
1010	Unobligated balance transfer to other accts [077-0401]	-2	
1050	Unobligated balance (total)	2	
1930	Total budgetary resources available	2	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-0401-0-1-151	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001	Urban and Environmental Loan Guarantees	-9	-5

URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4344-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal	2	1
0712	Default claim payments on interest	3	
0742	Downward reestimates paid to receipt accounts	2	1
0743	Interest on downward reestimates	7	4
0900	Total new obligations, unexpired accounts	14	6
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	49	40
1010	Unobligated balance transfer to other accts [077-4344]	-34	
1050	Unobligated balance (total)	49	6
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected	5	
1930	Total budgetary resources available	54	6
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	40	
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	14	6
3020	Outlays (gross)	-14	-6
Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	5	
Financing disbursements:			
4110	Outlays, gross (total)	14	6
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122	Interest on uninvested funds	-3	
4123	Non-Federal sources	-2	
4130	Offsets against gross budget authority and outlays (total)	-5	
4170	Outlays, net (mandatory)	9	6
4180	Budget authority, net (total)		
4190	Outlays, net (total)	9	6

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4344-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111	Guaranteed loan commitments from current-year authority		
2150	Total guaranteed loan commitments		
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	155	142
2251	Repayments and prepayments	-8	
Adjustments:			
2263	Terminations for default that result in claim payments	-5	-1
2264	Other adjustments, net		-141
2290	Outstanding, end of year	142	
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	142	
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year	69	69
2331	Disbursements for guaranteed loan claims		1
2351	Repayments of loans receivable		
2361	Write-offs of loans receivable		
2364	Other adjustments, net		-70
2390	Outstanding, end of year	69	

Balance Sheet (in millions of dollars)

Identification code 072-4344-0-3-151	2018 actual	2019 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	49	39
1206	Non-Federal assets: Receivables, net	3	3
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	69	69
1502	Interest receivable	43	43
1504	adjustment		10
1599	Net present value of assets related to defaulted guaranteed loans	112	122
1999	Total assets	164	164
LIABILITIES:			
2105	Federal liabilities: Other	9	5
Non-Federal liabilities:			
2204	Liabilities for loan guarantees	155	159
2207	Other		
2999	Total liabilities	164	164
NET POSITION:			
3300	Cumulative results of operations		
4999	Total upward reestimate subsidy BA [72-0401]	164	164

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4340-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal	2	2
0712	Default claim payments on interest	2	
0791	Direct program activities, subtotal	4	2
0900	Total new obligations, unexpired accounts (object class 33.0)	4	2
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	4	2
Spending authority from offsetting collections, mandatory:			
1800	Collected	17	
1820	Capital transfer of spending authority from offsetting collections to general fund	-17	
1900	Budget authority (total)	4	2

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING
ACCOUNT—Continued
Program and Financing—Continued

Identification code 072-4340-0-3-151	2019 actual	2020 est.	2021 est.
1930 Total budgetary resources available	4	2	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	2	
3020 Outlays (gross)	-4	-2	
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	2	
Outlays, gross:			
4100 Outlays from new mandatory authority	4	2	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-17		
4180 Budget authority, net (total)	-13	2	
4190 Outlays, net (total)	-13	2	

Status of Direct Loans (in millions of dollars)

Identification code 072-4340-0-3-151	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year		92	
1231 Disbursements: Direct loan disbursements	92	2	
1264 Other adjustments, net (+ or -)		-94	
1290 Outstanding, end of year		92	

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4340-0-3-151	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	155	126	
2251 Repayments and prepayments	-25		
Adjustments:			
2261 Terminations for default that result in loans receivable	-4	-2	
2261 Terminations for default that result in loans receivable			
2264 Other adjustments, net		-124	
2290 Outstanding, end of year		126	
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		124	
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	230		
2310 Outstanding, start of year	230		
2331 Disbursements for guaranteed loan claims	8		
2351 Repayments of loans receivable	-12		
2351 Repayments of unrescheduled claims receivable	-456		
2361 Write-offs of loans receivable			
2390 Outstanding, end of year			

Balance Sheet (in millions of dollars)

Identification code 072-4340-0-3-151	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury		1
1206 Non-Federal assets: Receivables, net	3	1
1601 Direct loans, gross		92
1602 Interest receivable		10
1603 Allowance for estimated uncollectible loans and interest (-)		-44
1604 Direct loans and interest receivable, net		58
1605 Accounts receivable from foreclosed property		1
1605 DIRECT LOANS AND INTEREST RECEIVABLE, NET		1
1606 adjust for GTAS		
1699 Value of assets related to direct loans		60
1701 Defaulted guaranteed loans, gross	230	
1702 Interest receivable		1
1703 Allowance for estimated uncollectible loans and interest (-)		-44

1704 Defaulted guaranteed loans and interest receivable, net	187	
1705 Accounts receivable from foreclosed property		
1706 adjust GTAS		
1799 Value of assets related to loan guarantees	187	
1999 Total assets	190	62
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury		
2204 Non-Federal liabilities: Liabilities for loan guarantees	190	62
2999 Total liabilities	190	62
NET POSITION:		
3100 Unexpended appropriations		
3300 Cumulative results of operations		
3999 Total net position		
4999 Total liabilities and net position	190	62

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-0400-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	
1010 Unobligated balance transfer to other accts [077-0400]		-3	
1050 Unobligated balance (total)	3		
1930 Total budgetary resources available	3		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

DEVELOPMENT CREDIT AUTHORITY PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-1264-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0702 Loan guarantee subsidy	21		
0707 Reestimates of loan guarantee subsidy	11		
0708 Interest on reestimates of loan guarantee subsidy	1		
0709 Administrative expenses	8	3	
0900 Total new obligations, unexpired accounts	41	3	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	10	
1001 Discretionary unobligated balance brought fwd, Oct 1	11		
1010 Unobligated balance transfer to other accts [072-1021]	-10		
1010 Unobligated balance transfer to other accts [077-0110]		-7	
1011 Unobligated balance transfer from other acct [072-1021]	1		
1011 Unobligated balance transfer from other acct [072-1037]	9		
1011 Unobligated balance transfer from other acct [072-0306]	3		
1021 Recoveries of prior year unpaid obligations	16		
1050 Unobligated balance (total)	30	3	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10		
1120 Appropriations transferred to other acct [071-4184]	-2		
1160 Appropriation, discretionary (total)	8		
Appropriations, mandatory:			
1200 Appropriation	12		
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1900 Budget authority (total)	21		
1930 Total budgetary resources available	51	3	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	113	101	3

3010	New obligations, unexpired accounts	41	3
3020	Outlays (gross)	-34	-3
3030	Unpaid obligations transferred to other accts [077-0110]	-98
3040	Recoveries of prior year unpaid obligations, unexpired	-16
3041	Recoveries of prior year unpaid obligations, expired	-3
3050	Unpaid obligations, end of year	101	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	113	101	3
3200	Obligated balance, end of year	101	3	3

Budget authority and outlays, net:

Discretionary:

4000	Budget authority, gross	9
Outlays, gross:				
4010	Outlays from new discretionary authority	4
4011	Outlays from discretionary balances	18	3
4020	Outlays, gross (total)	22	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1
4040	Offsets against gross budget authority and outlays (total)	-1
Mandatory:				
4090	Budget authority, gross	12
Outlays, gross:				
4100	Outlays from new mandatory authority	12
4180	Budget authority, net (total)	20
4190	Outlays, net (total)	33	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 072-1264-0-1-151	2019 actual	2020 est.	2021 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 DCA—Loan Guarantees	1,006
215999 Total loan guarantee levels	1,006
Guaranteed loan subsidy (in percent):			
232001 DCA—Loan Guarantees	2.19
232999 Weighted average subsidy rate	2.19
Guaranteed loan subsidy budget authority:			
233001 DCA—Loan Guarantees	22
233999 Total subsidy budget authority	22
Guaranteed loan subsidy outlays:			
234001 DCA—Loan Guarantees	12
234999 Total subsidy outlays	12
Guaranteed loan reestimates:			
235001 DCA—Loan Guarantees	-1
235999 Total guaranteed loan reestimates	-1

As required by the Federal Credit Reform Act of 1990, this account recorded, for the Development Credit Authority (DCA), the subsidy costs associated with direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program and legacy USAID credit programs. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

In 2020, per the modernizations and other reforms included in the Better Utilization of Investments Leading to Development Act of 2018, DCA will be consolidated with other development finance functions, such as the Overseas Private Investment Corporation, into the new U.S. International Development Finance Corporation (DFC). All FY 2020 and future DCA activities are presented in the DFC accounts.

Object Classification (in millions of dollars)

Identification code 072-1264-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	2
21.0 Travel and transportation of persons	2
25.1 Advisory and assistance services	2
25.2 Other services from non-Federal sources	1	1
26.0 Supplies and materials	1
41.0 Grants, subsidies, and contributions	31
99.9 Total new obligations, unexpired accounts	41	3

Employment Summary

Identification code 072-1264-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	38	38

DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4266-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal	16
0742 Downward reestimates paid to receipt accounts	9
0743 Interest on downward reestimates	4
0900 Total new obligations, unexpired accounts	29

Identification code 072-4266-0-3-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	91	101
1010 Unobligated balance transfer to other accts [077-4485]	-101
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	92
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	7
Spending authority from offsetting collections, mandatory:			
1800 Collected	31
1900 Budget authority (total)	38
1930 Total budgetary resources available	130
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	101

Identification code 072-4266-0-3-151	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	7
3010 New obligations, unexpired accounts	29
3020 Outlays (gross)	-23
3030 Unpaid obligations transferred to other accts [077-4485]	-7
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3050 Unpaid obligations, end of year	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	7
3200 Obligated balance, end of year	7

Identification code 072-4266-0-3-151	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	38
Financing disbursements:			
4110 Outlays, gross (total)	23
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Subsidy payments from program account	-24
4122 Interest on uninvested funds	-3
4123 Non-Federal sources	-4
4130 Offsets against gross budget authority and outlays (total)	-31
4160 Budget authority, net (mandatory)	7
4170 Outlays, net (mandatory)	-8
4180 Budget authority, net (total)	7
4190 Outlays, net (total)	-8

Status of Guaranteed Loans (in millions of dollars)

Identification code 072-4266-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	1,290
2121 Limitation available from carry-forward	6,417	6,701	6,701
2142 Uncommitted loan guarantee limitation
2143 Uncommitted limitation carried forward	-6,701	-6,701	-6,701
2150 Total guaranteed loan commitments	1,006
2199 Guaranteed amount of guaranteed loan commitments
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	423	397	382

DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING
ACCOUNT—Continued

Status of Guaranteed Loans—Continued

Identification code 072-4266-0-3-151	2019 actual	2020 est.	2021 est.
2231 Disbursements of new guaranteed loans	100	100	100
2251 Repayments and prepayments	-110	-110	-110
Adjustments:			
2263 Terminations for default that result in claim payments	-16	-5	-5
2264 Other adjustments, net			
2290 Outstanding, end of year	397	382	367
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	200	200	200

Balance Sheet (in millions of dollars)

Identification code 072-4266-0-3-151	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	109	109
Investments in U.S. securities:		
1106 Receivables, net	12	12
1206 Non-Federal assets: Receivables, net	1	1
1999 Total assets	122	122
LIABILITIES:		
Federal liabilities:		
2103 Debt	8	8
2105 Other	19	19
2105 Adjust for GTAS submis		
Non-Federal liabilities:		
2204 Liabilities for loan guarantees	95	95
2207 Other Liabilities		
2999 Total liabilities	122	122
NET POSITION:		
3300 Cumulative results of operations		
4999 Total Liabilities and Net Position [72-1264]	122	122

ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 072-4103-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Liquidating Fund Payments to VEF	4		
0900 Total new obligations, unexpired accounts (object class 41.0)	4		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	8	
1022 Capital transfer of unobligated balances to general fund	-8	-8	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	264		
1820 Capital transfer of spending authority from offsetting collections to general fund	-252		
1850 Spending auth from offsetting collections, mand (total)	12		
1930 Total budgetary resources available	12		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4		
3020 Outlays (gross)	-4		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	12		
Outlays, gross:			
4100 Outlays from new mandatory authority	4		
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-264		

4180 Budget authority, net (total)	-252		
4190 Outlays, net (total)	-260		

Status of Direct Loans (in millions of dollars)

Identification code 072-4103-0-3-151	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,048	807	
1251 Repayments: Repayments and prepayments	-190		
1264 Other adjustments	-51	-807	858
1290 Outstanding, end of year	807		858

This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund. In FY 2020, this account will be transferred to the new U.S. International Development Finance Corporation.

Balance Sheet (in millions of dollars)

Identification code 072-4103-0-3-151	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	8	8
1601 Direct loans, gross	1,048	807
1602 Interest receivable	355	371
1603 Allowance for estimated uncollectible loans and interest (-)	-566	-591
1603 direct loans and interest receivables, net		596
1603 Adjust GTAS		-587
1699 Value of assets related to direct loans	837	596
1999 Total assets	845	604
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury		
2207 Non-Federal liabilities: Other - Liab for NonEntity Assets	845	604
2999 Total liabilities	845	604
NET POSITION:		
3300 Cumulative results of operations		
3300 adjust		
3999 Total net position		
4999 Total liabilities and net position	845	604

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 072-8342-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Foreign Service National Separation Liability Trust Fund	3	4	4
2000 Total: Balances and receipts	3	4	4
Appropriations:			
Current law:			
2101 Foreign Service National Separation Liability Trust Fund	-3	-4	-4
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 072-8342-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Foreign Service National Separation Liability Trust Fund (Direct)	9	4	4
0900 Total new obligations, unexpired accounts (object class 13.0)	9	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	3	3
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	9	3	3

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	3	4	4
1900	Budget authority (total)	3	4	4
1930	Total budgetary resources available	12	7	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	44	52	36
3010	New obligations, unexpired accounts	9	4	4
3020	Outlays (gross)	-1	-20	-3
3050	Unpaid obligations, end of year	52	36	37
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	44	52	36
3200	Obligated balance, end of year	52	36	37
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	3	4	4
Outlays, gross:				
4101	Outlays from mandatory balances	1	20	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-2		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	2		
4160	Budget authority, net (mandatory)	3	4	4
4170	Outlays, net (mandatory)	-1	20	3
4180	Budget authority, net (total)	3	4	4
4190	Outlays, net (total)	-1	20	3

This Fund is maintained to pay separation costs for Foreign Service National employees of the U.S. Agency for International Development in those countries in which such pay is legally required. The Fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in several Agency accounts.

MISCELLANEOUS TRUST FUNDS, AID

Special and Trust Fund Receipts (in millions of dollars)

Identification code 072-9971-0-7-151				
	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year		1	1
Receipts:				
Current law:				
1130	Gifts and Donations, Agency for International Development	81	60	60
1130	Miscellaneous Trust Funds, AID	116	100	100
1199	Total current law receipts	197	160	160
1999	Total receipts	197	160	160
2000	Total: Balances and receipts	197	161	161
Appropriations:				
Current law:				
2101	Miscellaneous Trust Funds, AID	-196	-160	-160
5099	Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 072-9971-0-7-151				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Miscellaneous Trust Funds, AID (Direct)	237	150	150
0900	Total new obligations, unexpired accounts (object class 41.0)	237	150	150
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	84	45	55
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	86	45	55
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	196	160	160

1900	Budget authority (total)	196	160	160
1930	Total budgetary resources available	282	205	215
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	45	55	65

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	96	96	105
3010	New obligations, unexpired accounts	237	150	150
3020	Outlays (gross)	-235	-141	-50
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3050	Unpaid obligations, end of year	96	105	205
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	96	96	105
3200	Obligated balance, end of year	96	105	205

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	196	160	160
Outlays, gross:				
4100	Outlays from new mandatory authority	140	50	50
4101	Outlays from mandatory balances	95	91	
4110	Outlays, gross (total)	235	141	50
4180	Budget authority, net (total)	196	160	160
4190	Outlays, net (total)	235	141	50

The Miscellaneous Trust Funds account includes gifts and donations that the U.S. Agency for International Development (USAID) receives from other governments, non-governmental organizations, or private citizens. USAID has authority to spend these gifts and donations for development purposes under Section 635(d) of the Foreign Assistance Act.

OVERSEAS PRIVATE INVESTMENT CORPORATION

Federal Funds

OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT

Program and Financing (in millions of dollars)

Identification code 071-4184-0-3-151				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Non-credit administrative expenses	32	8	
0002	Credit administrative expenses	48	11	
0003	Insurance claims	21		
0005	Investment encouragement and special activities	1		
0006	Project and non-project specific working capital	6	2	
0007	Tunisia Credit Guaranty Program	3		
0008	Power Africa	1		
0799	Total direct obligations	112	21	
0900	Total new obligations, unexpired accounts	112	21	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5,779	5,808	
1001	Discretionary unobligated balance brought fwd, Oct 1	20	19	
1010	Unobligated balance transfer to other accts [077-4483]		-5,787	
1011	Unobligated balance transfer from other acct [072-1037]	3		
1012	Unobligated balance transfers between expired and unexpired accounts	15		
1021	Recoveries of prior year unpaid obligations	5		
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	5,803	21	
Budget authority:				
Appropriations, discretionary:				
1121	Appropriations transferred from other acct [072-1264]	2		
Spending authority from offsetting collections, discretionary:				
1700	Collected	133		
1701	Change in uncollected payments, Federal sources	-15		
1710	Transferred to other accounts [071-0100]	-68		
1750	Spending auth from offsetting collections, disc (total)	50		
Spending authority from offsetting collections, mandatory:				
1800	Collected	65		
1900	Budget authority (total)	117		
1930	Total budgetary resources available	5,920	21	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	5,808		

OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT—Continued
Program and Financing—Continued

Identification code 071-4184-0-3-151	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	37	42	25
3010	New obligations, unexpired accounts	112	21	
3011	Obligations ("upward adjustments"), expired accounts	1		
3020	Outlays (gross)	-102	-38	
3040	Recoveries of prior year unpaid obligations, unexpired	-5		
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	42	25	25
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-57	-42	-42
3070	Change in uncollected pymts, Fed sources, unexpired	15		
3090	Uncollected pymts, Fed sources, end of year	-42	-42	-42
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-20		-17
3200	Obligated balance, end of year		-17	-17
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	52		
Outlays, gross:				
4010	Outlays from new discretionary authority	48		
4011	Outlays from discretionary balances	54		
4020	Outlays, gross (total)	102		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-50		
4031	Interest on Federal securities	-133		
4033	Non-Federal sources	-16		
4040	Offsets against gross budget authority and outlays (total)	-199		
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	15		
4060	Additional offsets against budget authority only (total)	15		
4070	Budget authority, net (discretionary)	-132		
4080	Outlays, net (discretionary)	-97		
Mandatory:				
4090	Budget authority, gross	65		
Outlays, gross:				
4101	Outlays from mandatory balances		38	
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	1		
4180	Budget authority, net (total)	-66		
4190	Outlays, net (total)	-97	38	
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	5,817	5,864	
5001	Total investments, EOY: Federal securities: Par value	5,864		

On October 5, 2018, the President signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC), which launched on January 2, 2020.

The Overseas Private Investment Corporation (OPIC) encouraged the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary noncredit program was political risk insurance against losses due to expropriation, inconvertibility, and damage due to political violence.

Object Classification (in millions of dollars)

Identification code 071-4184-0-3-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	36	9

11.5	Other personnel compensation	1	
11.9	Total personnel compensation	37	9
12.1	Civilian personnel benefits	11	3
21.0	Travel and transportation of persons (working capital)	2	1
23.2	Rental payments to others	8	
23.3	Communications, utilities, and miscellaneous charges	2	
25.2	Other services from non-Federal sources	19	2
25.2	Other services (working capital)	4	1
25.2	Other services from non-Federal sources	3	
25.7	Operation and maintenance of equipment		4
26.0	Supplies and materials	2	1
31.0	Equipment	2	
42.0	Insurance claims and indemnities	21	
99.0	Direct obligations	111	21
99.5	Adjustment for rounding	1	
99.9	Total new obligations, unexpired accounts	112	21

Employment Summary

Identification code 071-4184-0-3-151	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	294	66

OVERSEAS PRIVATE INVESTMENT CORPORATION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 071-0100-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701	Direct loan subsidy	16	
0702	Loan guarantee subsidy	13	
0704	Subsidy for modifications of loan guarantees	1	
0705	Reestimates of direct loan subsidy	65	
0706	Interest on reestimates of direct loan subsidy	12	
0707	Reestimates of loan guarantee subsidy	178	
0708	Interest on reestimates of loan guarantee subsidy	35	
0709	Administrative expenses	48	
0900	Total new obligations, unexpired accounts	368	
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	32	23
1001	Discretionary unobligated balance brought fwd, Oct 1	32	
1010	Unobligated balance transfer to other accts [077-0110]		-23
1021	Recoveries of prior year unpaid obligations	1	
1050	Unobligated balance (total)	33	
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation - Direct and guaranteed loan upward subsidy reestimate	290	
Spending authority from offsetting collections, discretionary:			
1711	Transferred from other accounts [071-4184]	68	
1900	Budget authority (total)	358	
1930	Total budgetary resources available	391	
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	23	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	52	57
3010	New obligations, unexpired accounts	368	
3020	Outlays (gross)	-355	-10
3030	Unpaid obligations transferred to other accts [077-0110]		-47
3040	Recoveries of prior year unpaid obligations, unexpired	-1	
3041	Recoveries of prior year unpaid obligations, expired	-7	
3050	Unpaid obligations, end of year	57	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	52	57
3200	Obligated balance, end of year	57	

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	68	
Outlays, gross:			
4010	Outlays from new discretionary authority	48	
4011	Outlays from discretionary balances		10

4020	Outlays, gross (total)	48	10
	Mandatory:			
4090	Budget authority, gross	290	
	Outlays, gross:			
4100	Outlays from new mandatory authority	290	
4101	Outlays from mandatory balances	17	
4110	Outlays, gross (total)	307	
4180	Budget authority, net (total)	358	
4190	Outlays, net (total)	355	10

Memorandum (non-add) entries:

5093	Expired unavailable balance, SOY: Offsetting collections	1	1	1
5095	Expired unavailable balance, EOY: Offsetting collections	1	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 071-0100-0-1-151	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 OPIC Direct Loans	1,119	110
115003 NIS Direct Loans	6	
115004 OPIC Direct Loan Investment Funds	371	
115999 Total direct loan levels	1,496	110
Direct loan subsidy (in percent):			
132001 OPIC Direct Loans	-5.69	-13.99
132003 NIS Direct Loans	0.00	
132004 OPIC Direct Loan Investment Funds	-6.62	
132999 Weighted average subsidy rate	-5.90	-13.99
Direct loan subsidy budget authority:			
133001 OPIC Direct Loans	-64	-7
133004 OPIC Direct Loan Investment Funds	-15	
133999 Total subsidy budget authority	-79	-7
Direct loan subsidy outlays:			
134001 OPIC Direct Loans	-43	4
134004 OPIC Direct Loan Investment Funds	-4	
134999 Total subsidy outlays	-47	4
Direct loan reestimates:			
135001 OPIC Direct Loans	-17	
135004 OPIC Direct Loan Investment Funds	-2	
135999 Total direct loan reestimates	-19	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 OPIC Loan Guarantees	3,056	55
215002 OPIC Investment Funds	477	
215005 Limited Arbitral Award Coverage	100	
215999 Total loan guarantee levels	3,633	55
Guaranteed loan subsidy (in percent):			
232001 OPIC Loan Guarantees	-11.71	-9.51
232002 OPIC Investment Funds	-11.91	
232005 Limited Arbitral Award Coverage	0.31	
232999 Weighted average subsidy rate	-11.41	-9.51
Guaranteed loan subsidy budget authority:			
233001 OPIC Loan Guarantees	-358	-9
233002 OPIC Investment Funds	-57	
233999 Total subsidy budget authority	-415	-9
Guaranteed loan subsidy outlays:			
234001 OPIC Loan Guarantees	-193	2
234002 OPIC Investment Funds	-12	
234999 Total subsidy outlays	-205	2
Guaranteed loan reestimates:			
235001 OPIC Loan Guarantees	67	
235002 OPIC Investment Funds	23	
235003 NIS — Guaranteed Loans	15	
235006 Non-Honoring of Sovereign Guarantees	-1	
235999 Total guaranteed loan reestimates	104	
Administrative expense data:			
3510 Budget authority	48	
3590 Outlays from new authority	48	

On October 5, 2018, the President signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into

a new agency: the United States International Development Finance Corporation (DFC), which launched on January 2, 2020. All FY 2020 and future OPIC activity will be presented in the DFC accounts.

OPIC encouraged the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its credit program provided investment financing through loans and guaranteed loans. As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 071-0100-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services (contracts)	48	
41.0 Grants, subsidies, and contributions	320	
99.9 Total new obligations, unexpired accounts	368	

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 071-4074-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Working Capital costs	9	3
Credit program obligations:			
0710 Direct loan obligations	1,496	110
0713 Payment of interest to Treasury	76	
0740 Negative subsidy obligations	105	7
0742 Downward reestimates paid to receipt accounts	78	
0743 Interest on downward reestimates	17	
0791 Direct program activities, subtotal	1,772	117
0900 Total new obligations, unexpired accounts	1,781	120
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	126	78	1,186
1010 Unobligated balance transfer to other accts Working Cap [077-4483]		-63
1021 Recoveries of prior year unpaid obligations	297	2
1023 Unobligated balances applied to repay debt	-71	
1024 Unobligated balance of borrowing authority withdrawn	-287	
1050 Unobligated balance (total)	65	17	1,186
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1,584	2,470
1421 Borrowing authority temporarily reduced		-1,182
1440 Borrowing authority, mandatory (total)	1,584	1,288
Spending authority from offsetting collections, mandatory:			
1800 Collected	442	105
1801 Change in uncollected payments, Federal sources	3	57
1810 Spending authority from offsetting collections transferred to other accounts [077-4484]		-161
1825 Spending authority from offsetting collections applied to repay debt	-235	
1850 Spending auth from offsetting collections, mand (total)	210	1
1900 Budget authority (total)	1,794	1,289
1930 Total budgetary resources available	1,859	1,306	1,186
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	78	1,186	1,186

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,990	2,652	2,770
3010 New obligations, unexpired accounts	1,781	120
3020 Outlays (gross)	-822	
3040 Recoveries of prior year unpaid obligations, unexpired	-297	-2

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING
ACCOUNT—Continued
Program and Financing—Continued

Identification code 071-4074-0-3-151	2019 actual	2020 est.	2021 est.
3050 Unpaid obligations, end of year	2,652	2,770	2,770
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-57	-60	-117
3070 Change in uncollected pymts, Fed sources, unexpired	-3	-57
3090 Uncollected pymts, Fed sources, end of year	-60	-117	-117
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,933	2,592	2,653
3200 Obligated balance, end of year	2,592	2,653	2,653
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1,794	1,289
Financing disbursements:			
4110 Outlays, gross (total)	822
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources, Credit Reform subsidy	-89	-3
4122 Interest on uninvested funds	-12
4123 Repayments of Principal	-341	-62
4123 Interest received on loans	-40
4130 Offsets against gross budget authority and outlays (total)	-442	-105
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-3	-57
4160 Budget authority, net (mandatory)	1,349	1,127
4170 Outlays, net (mandatory)	380	-105
4180 Budget authority, net (total)	1,349	1,127
4190 Outlays, net (total)	380	-105

Status of Direct Loans (in millions of dollars)

Identification code 071-4074-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1,496	110
1150 Total direct loan obligations	1,496	110
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2,631	2,984	2,984
1231 Disbursements: Direct loan disbursements	585
1251 Repayments: Repayments and prepayments	-232
1290 Outstanding, end of year	2,984	2,984	2,984

Balance Sheet (in millions of dollars)

Identification code 071-4074-0-3-151	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	165	153
Investments in U.S. securities:		
1106 Receivables, net	78	48
1206 Non-Federal assets: Receivables, net	1	2
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	2,631	2,984
1402 Interest receivable	69	77
1405 Allowance for subsidy cost (-)	-172	-113
1499 Net present value of assets related to direct loans	2,528	2,948
1999 Total assets	2,772	3,151
LIABILITIES:		
2103 Federal liabilities: Debt	2,610	3,076
2207 Non-Federal liabilities: Other	112	24
2999 Total liabilities	2,722	3,100
NET POSITION:		
3300 Cumulative results of operations	50	51
4999 Total liabilities and net position	2,772	3,151

OVERSEAS PRIVATE INVESTMENT CORPORATION GUARANTEED LOAN FINANCING
ACCOUNT
Program and Financing (in millions of dollars)

Identification code 071-4075-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Working Capital Costs	11	3
Credit program obligations:			
0711 Default claim payments on principal	161
0713 Payment of interest to Treasury	26
0740 Negative subsidy obligations	415	9
0742 Downward reestimates paid to receipt accounts	96
0743 Interest on downward reestimates	13
0791 Direct program activities, subtotal	711	9
0900 Total new obligations, unexpired accounts	722	12
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	556	480	397
1010 Unobligated balance transfer to other accts Working Cap [077-4483]	-204
1021 Recoveries of prior year unpaid obligations	37	2
1023 Unobligated balances applied to repay debt	-234
1024 Unobligated balance of borrowing authority withdrawn	-31
1050 Unobligated balance (total)	328	278	397
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	569	814
1421 Borrowing authority temporarily reduced	-760
1440 Borrowing authority, mandatory (total)	569	54
Spending authority from offsetting collections, mandatory:			
1800 Collected	492	75
1801 Change in uncollected payments, Federal sources	2	2
1825 Spending authority from offsetting collections applied to repay debt	-189
1850 Spending auth from offsetting collections, mand (total)	305	77
1900 Budget authority (total)	874	131
1930 Total budgetary resources available	1,202	409	397
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	480	397	397
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	588	753	763
3010 New obligations, unexpired accounts	722	12
3020 Outlays (gross)	-520
3040 Recoveries of prior year unpaid obligations, unexpired	-37	-2
3050 Unpaid obligations, end of year	753	763	763
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-30	-32	-34
3070 Change in uncollected pymts, Fed sources, unexpired	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-32	-34	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	558	721	729
3200 Obligated balance, end of year	721	729	729
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	874	131
Financing disbursements:			
4110 Outlays, gross (total)	520
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account	-227	-2
4122 Interest on uninvested funds	-17
4123 Claim recoveries	-248	-73
4130 Offsets against gross budget authority and outlays (total)	-492	-75
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-2	-2
4160 Budget authority, net (mandatory)	380	54
4170 Outlays, net (mandatory)	28	-75
4180 Budget authority, net (total)	380	54
4190 Outlays, net (total)	28	-75

Status of Guaranteed Loans (in millions of dollars)

Identification code 071-4075-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	3,633	55
2150 Total guaranteed loan commitments	3,633	55
2199 Guaranteed amount of guaranteed loan commitments	3,633
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	7,205	7,008	7,008
2231 Disbursements of new guaranteed loans
2251 Repayments and prepayments
Adjustments:			
2261 Terminations for default that result in loans receivable	-197
2264 Other adjustments, net
2290 Outstanding, end of year	7,008	7,008	7,008
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	373	472	472
2331 Disbursements for guaranteed loan claims	120
2351 Repayments of loans receivable	-25
2361 Write-offs of loans receivable
2364 Other adjustments, net	4
2390 Outstanding, end of year	472	472	472

Balance Sheet (in millions of dollars)

Identification code 071-4075-0-3-151	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	638	596
1206 Non-Federal assets: Receivables, net	213	235
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501 Defaulted guaranteed loans receivable, gross	373	472
1502 Interest receivable	7	12
1505 Allowance for subsidy cost (-)	-220	-339
1599 Net present value of assets related to defaulted guaranteed loans	160	145
1901 Other Federal assets: Other assets	153	258
1999 Total assets	1,164	1,234
LIABILITIES:		
2103 Federal liabilities: Debt	865	852
Non-Federal liabilities:		
2204 Liabilities for loan guarantees	108	155
2207 Other	75	86
2999 Total liabilities	1,048	1,093
NET POSITION:		
3300 Cumulative results of operations	116	141
4999 Total liabilities and net position	1,164	1,234

TRADE AND DEVELOPMENT AGENCY*Federal Funds*

TRADE AND DEVELOPMENT AGENCY

For necessary expenses to carry out the [provisions of section 661 of the Foreign Assistance Act of 1961, \$79,500,000, to remain available until September 30, 2021, of which no more than \$19,000,000 may be used for administrative expenses: *Provided*, That of the funds appropriated under this heading, not more than \$5,000 may be available for representation and entertainment expenses] *closure of the Trade and Development Agency, \$12,105,000. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 011-1001-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Feasibility studies, technical assistance, and other activities	53	53
0002 Operating expenses	18	18	12
0100 Direct program activities, subtotal	71	71	12
0799 Total direct obligations	71	71	12
0801 Trade and Development Agency (Reimbursable)	4	5
0900 Total new obligations, unexpired accounts	75	76	12
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	25	39
1012 Unobligated balance transfers between expired and unexpired accounts	5	5
1021 Recoveries of prior year unpaid obligations	2	2
1050 Unobligated balance (total)	20	32	41
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	80	80	12
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3
1701 Change in uncollected payments, Federal sources	3
1750 Spending auth from offsetting collections, disc (total)	5	3
1900 Budget authority (total)	85	83	12
1930 Total budgetary resources available	105	115	53
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5
1941 Unexpired unobligated balance, end of year	25	39	41
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	147	150	93
3010 New obligations, unexpired accounts	75	76	12
3020 Outlays (gross)	-60	-131	-66
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-2
3041 Recoveries of prior year unpaid obligations, expired	-12
3050 Unpaid obligations, end of year	150	93	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-3
3071 Change in uncollected pymts, Fed sources, expired	1
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	142	143	86
3200 Obligated balance, end of year	143	86	30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	85	83	12
Outlays, gross:			
4010 Outlays from new discretionary authority	9	25	8
4011 Outlays from discretionary balances	51	106	58
4020 Outlays, gross (total)	60	131	66
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-3
4040 Offsets against gross budget authority and outlays (total)	-2	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3
4060 Additional offsets against budget authority only (total)	-3
4070 Budget authority, net (discretionary)	80	80	12
4080 Outlays, net (discretionary)	58	128	66
4180 Budget authority, net (total)	80	80	12
4190 Outlays, net (total)	58	128	66

The Budget proposes to eliminate funding for several independent Agencies, including for the U.S. Trade and Development Agency (USTDA), as part of the Administration's continued effort to move the Nation towards fiscal responsibility, to redefine the proper role of the Federal Government, to prioritize rebuilding the military and to make critical investments in the Nation's security. The United States has several other Agencies that will continue to promote exports, support American businesses overseas, and

TRADE AND DEVELOPMENT AGENCY—Continued

facilitate international infrastructure development. These include the U.S. Export-Import Bank, the U.S. Department of Commerce, the U.S. International Development Finance Corporation, and the U.S. Agency for International Development. The Budget requests \$12.1 million to conduct an orderly closeout of the Agency beginning in 2021, which includes funding for personnel costs, including severance payments and salaries for essential personnel during the closeout; rental payments; and other costs related to termination.

Object Classification (in millions of dollars)

Identification code 011-1001-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	6	5
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	7	8	7
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	2	2	2
41.0 Grants, subsidies, and contributions	58	58	
99.0 Direct obligations	70	71	12
99.0 Reimbursable obligations	5	5	
99.9 Total new obligations, unexpired accounts	75	76	12

Employment Summary

Identification code 011-1001-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	62	65	65

UNITED STATES INTERNATIONAL DEVELOPMENT
FINANCE CORPORATION

Federal Funds

CORPORATE CAPITAL ACCOUNT

[The United States International Development Finance Corporation (the Corporation) is authorized to make such expenditures and commitments within the limits of funds and borrowing authority available to the Corporation, and in accordance with the law, and to make such expenditures and commitments without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs for the current fiscal year for the Corporation: *Provided*, That for] For necessary expenses of the activities described in subsections (b), (c), (e), (f), and (g) of section 1421 of the BUILD Act of 2018 (division F of Public Law 115-254) and for administrative expenses to carry out authorized activities and project-specific transaction costs described in section 1434(d) of such Act, [\$299,000,000] \$833,677,000: *Provided*, That the United States International Development Finance Corporation (the Corporation) is authorized to make such expenditures and commitments within the limits of funds and borrowing authority available to the Corporation, and in accordance with the law, and to make such expenditures and commitments without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs for the current fiscal year for the Corporation: *Provided further*, That of the amount provided—

(1) [\$119,000,000] \$133,677,000 shall remain available until September 30, [2022] 2023, for administrative expenses to carry out authorized activities (including an amount for official reception and representation expenses which shall not exceed [\$25,000] \$35,000) and project-specific transaction costs as described in section 1434(k) of such Act, of which [\$1,000,000] 5 percent shall remain available until September 30, [2024] 2025;

(2) [\$150,000,000] \$700,000,000 shall remain available until September 30, [2022] 2023, for the activities described in [section 1421(c) of such Act] subsections (b), (c), (e), (f), and (g) of section 1421 of the Build Act of 2018, except such amounts obligated in a fiscal year for activities described in section 1421(c) of the Build Act of 2018 shall remain available for disbursement for the term of the underlying project: *Provided further*, That if the term of the project extends longer than 10 fiscal years, the Chief Executive Officer of the Corporation shall inform the appropriate congressional committees prior to the obligation or disbursement of funds, as applicable: *Provided further*, That amounts [may only

be obligated after the Chief Executive Officer of the Corporation submits to the appropriate congressional committees the guidelines and criteria required by paragraph (3) of such section; and(3) \$30,000,000 shall] made available under this subparagraph may be paid to the "United States International Development Finance Corporation-Program Account" for [programs authorized by] the activities described in subsections (b), (e), (f), and (g) of section 1421 of the BUILD Act of 2018 [(division F of Public Law 115-254)]:

Provided further, That funds may only be obligated pursuant to section 1421(g) of the BUILD Act of 2018 subject to [prior consultation with the appropriate congressional committees and] the regular notification procedures of the Committees on Appropriations: *Provided further*, That in this fiscal year, and each fiscal year thereafter, the Corporation shall collect the amounts described in section 1434(h) of the BUILD Act of 2018: *Provided further*, That in fiscal year [2020] 2021 such collections shall be credited as offsetting collections to this appropriation: *Provided further*, That such collections collected in fiscal year [2020] 2021 in excess of [\$299,000,000] \$833,677,000 shall be credited to this account and shall be available in future fiscal years only to the extent provided in advance in appropriations Acts: *Provided further*, That in fiscal year [2020] 2021, if such collections are less than [\$299,000,000] \$833,677,000, receipts collected pursuant to the BUILD Act of 2018 and the Federal Credit Reform Act of 1990, in an amount equal to such shortfall, shall be credited as offsetting collections to this appropriation: *Provided further*, That funds appropriated or otherwise made available under this heading may not be used to provide any type of assistance that is otherwise prohibited by any other provision of law or to provide assistance to any foreign country that is otherwise prohibited by any other provision of law: *Provided further*, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by the offsetting collections described under this heading so as to result in a final fiscal year appropriation from the General Fund estimated at [\$0] \$337,677,000. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 077-4483-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative Expenses		96	134
0002 Equity program		150	450
0003 Insurance claims		1	1
0004 Program Account		30	250
0900 Total new obligations, unexpired accounts		277	835
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			6,101
1011 Unobligated balance transfer from other acct [071-4184]		5,787	
1011 Unobligated balance transfer from other acct [071-4074]		63	
1011 Unobligated balance transfer from other acct [071-4075]		204	
1050 Unobligated balance (total)		6,054	6,101
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation - Admin			134
1100 Appropriation - Equity - 1421(c)			204
1160 Appropriation, discretionary (total)			338
Spending authority from offsetting collections, discretionary:			
1700 Collected - Non-NSR offsetting collections		177	179
1700 Collected - Negative Subsidy To This Acct		130	317
1750 Spending auth from offsetting collections, disc (total)		307	496
Spending authority from offsetting collections, mandatory:			
1800 Collected - insurance premiums		17	17
1900 Budget authority (total)		324	851
1930 Total budgetary resources available		6,378	6,952
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		6,101	6,117
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			30
3010 New obligations, unexpired accounts		277	835
3020 Outlays (gross)		-247	-553
3050 Unpaid obligations, end of year		30	312
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			30
3200 Obligated balance, end of year		30	312

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	307	834
Outlays, gross:			
4010	Outlays from new discretionary authority	239	523
4011	Outlays from discretionary balances		29
4020	Outlays, gross (total)	239	552
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4031	Interest on Treasury securities	-141	-146
4033	Non-Federal sources: Fee income	-36	-33
4033	Non-Federal sources: Negative Subsidy Receipts	-130	-317
4040	Offsets against gross budget authority and outlays (total)	-307	-496
4070	Budget authority, net (discretionary)		338
4080	Outlays, net (discretionary)	-68	56
Mandatory:			
4090	Budget authority, gross	17	17
Outlays, gross:			
4100	Outlays from new mandatory authority	1	1
4101	Outlays from mandatory balances	7	
4110	Outlays, gross (total)	8	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-17	-17
4180	Budget authority, net (total)		338
4190	Outlays, net (total)	-77	40
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value		5,991
5001	Total investments, EOY: Federal securities: Par value	5,991	6,176

On October 5, 2018, President Donald J. Trump signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC), which launched on January 2, 2020. The DFC will mobilize and facilitate the participation of private sector capital and skills in the economic development of less developed countries. This facilitation of private sector investment will have a positive developmental impact through transactions the private sector would not do on its own. All future DFC insurance and equity activities are presented in the DFC Corporate Capital Account.

Object Classification (in millions of dollars)

Identification code 077-4483-0-3-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	36	54
12.1	Civilian personnel benefits	16	23
21.0	Travel and transportation of persons	4	9
23.2	Rental payments to others	8	9
23.3	Communications, utilities, and miscellaneous charges	1	1
25.1	Advisory and assistance services	14	17
25.2	Other services from non-Federal sources	1	2
25.3	Other goods and services from Federal sources	1	1
25.7	Operation and maintenance of equipment	11	14
26.0	Supplies and materials	3	4
41.0	Equity	150	450
42.0	Insurance claims and indemnities	1	
94.0	Financial transfers	30	250
99.0	Direct obligations	276	834
25.2	Reimbursable obligations: Other services from non-Federal sources	1	1
99.0	Reimbursable obligations	1	1
99.9	Total new obligations, unexpired accounts	277	835

Employment Summary

Identification code 077-4483-0-3-151	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	296	410

PROGRAM ACCOUNT

Amounts paid from "United States International Development Finance Corporation- Corporate Capital Account" (CCA) shall remain available until September 30, [2022] 2023: *Provided*, That [up to \$80,000,000 of] amounts paid to this account from CCA or transferred to this account pursuant to section 1434(j) of the BUILD Act of 2018 (division F of Public Law 115-254) shall be available for the costs of direct and guaranteed loans provided by the Corporation pursuant to section 1421(b) of such Act: *Provided further*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such amounts obligated in a fiscal year shall remain available for disbursement for the following 8 fiscal years: *Provided further*, That funds transferred to carry out the Foreign Assistance Act of 1961 pursuant to section 1434(j) of the BUILD Act of 2018 may remain available for obligation for 1 additional fiscal year: *Provided further*, That the total loan principal or guaranteed principal amount shall not exceed [\$8,000,000,000] \$10,000,000,000. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 077-0110-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701	Direct loan subsidy	38	182
0702	Loan guarantee subsidy	59	45
0705	Reestimates of direct loan subsidy	38	
0706	Interest on reestimates of direct loan subsidy	8	
0707	Reestimates of loan guarantee subsidy	207	
0708	Interest on reestimates of loan guarantee subsidy	31	
0715	Technical assistance	10	20
0900	Total new obligations, unexpired accounts (object class 41.0)	391	247
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		4
1011	Unobligated balance transfer from other acct [071-0100]	23	
1011	Unobligated balance transfer from other acct [072-1264]	7	
1050	Unobligated balance (total)	30	4
Budget authority:			
Appropriations, discretionary:			
1121	Appropriations transferred from other acct [072-1037]	50	50
Appropriations, mandatory:			
1200	Appropriation - re-estimates	285	
Spending authority from offsetting collections, discretionary:			
1700	Collected - DFC CCA	30	250
1900	Budget authority (total)	365	300
1930	Total budgetary resources available	395	304
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	57
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		93
3010	New obligations, unexpired accounts	391	247
3020	Outlays (gross)	-443	-68
3031	Unpaid obligations transferred from other accts [071-0100]	47	
3031	Unpaid obligations transferred from other accts [072-1264]	98	
3050	Unpaid obligations, end of year	93	272
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		93
3200	Obligated balance, end of year	93	272
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	80	300
Outlays, gross:			
4010	Outlays from new discretionary authority	13	48
4011	Outlays from discretionary balances	145	20
4020	Outlays, gross (total)	158	68

PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 077-0110-0-1-151	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from: 4030 Federal sources: 77-4483 DCA CCA		-30	-250
4040 Offsets against gross budget authority and outlays (total)		-30	-250
Mandatory: 4090 Budget authority, gross		285
Outlays, gross: 4100 Outlays from new mandatory authority		285
4180 Budget authority, net (total)		335	50
4190 Outlays, net (total)		413	-182

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 077-0110-0-1-151	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans		2,090	2,800
115003 Direct Loan Investment Funds		450	750
115004 Direct Loans in Foreign Currencies		150	200
115005 Hybrid Participation Notes		80	600
115999 Total direct loan levels		2,770	4,350
Direct loan subsidy (in percent):			
132001 Direct Loans		-13.99	-5.15
132003 Direct Loan Investment Funds		-11.17	-8.73
132004 Direct Loans in Foreign Currencies		0.00	0.00
132005 Hybrid Participation Notes		25.00	25.00
132999 Weighted average subsidy rate		-11.65	-1.37
Direct loan subsidy budget authority:			
133001 Direct Loans		-301	-144
133003 Direct Loan Investment Funds		-51	-66
133005 Hybrid Participation Notes		20	150
133999 Total subsidy budget authority		-332	-60
Direct loan subsidy outlays:			
134001 Direct Loans		-106	-135
134002 NIS Direct Loans		1
134003 Direct Loan Investment Funds		-20	-40
134004 Direct Loans in Foreign Currencies	1
134999 Total subsidy outlays		-125	-174
Direct loan reestimates:			
135001 Direct Loans		-52
135999 Total direct loan reestimates		-52
Guaranteed loan levels supportable by subsidy budget authority:			
215001 USAID Mission-led Guarantees		1,000	1,000
215002 Loan Guarantees		1,195	1,500
215003 Guaranteed Loan Investment Funds		300	500
215004 Non-Honoring of Sovereign Guarantees		100	100
215006 Limited Arbitral Award Coverage		100	100
215999 Total loan guarantee levels		2,695	3,200
Guaranteed loan subsidy (in percent):			
232001 USAID Mission-led Guarantees		3.28	3.05
232002 Loan Guarantees		-9.51	-12.48
232003 Guaranteed Loan Investment Funds		1.41	-8.65
232004 Non-Honoring of Sovereign Guarantees		-6.16	-6.16
232006 Limited Arbitral Award Coverage		-2.39	0.31
232999 Weighted average subsidy rate		-3.16	-6.43
Guaranteed loan subsidy budget authority:			
233001 USAID Mission-led Guarantees		33	31
233002 Loan Guarantees		-96	-187
233003 Guaranteed Loan Investment Funds		4	-43
233004 Non-Honoring of Sovereign Guarantees		-6	-6
233006 Limited Arbitral Award Coverage		-2
233999 Total subsidy budget authority		-67	-205
Guaranteed loan subsidy outlays:			
234001 USAID Mission-led Guarantees		10	17
234002 Loan Guarantees		-310	-150
234003 Guaranteed Loan Investment Funds		-47	-25
234004 Non-Honoring of Sovereign Guarantees		-2	-4
234006 Limited Arbitral Award Coverage		-1	-1
234999 Total subsidy outlays		-350	-163
Guaranteed loan reestimates:			
235001 USAID Mission-led Guarantees		-33
235002 Loan Guarantees		55
235003 Guaranteed Loan Investment Funds		33
235004 Non-Honoring of Sovereign Guarantees		1

235005 NIS Guaranteed Loans	-9
235999 Total guaranteed loan reestimates	47

On October 5, 2018, President Donald J. Trump signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC), which launched on January 2, 2020. As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications and cost re-estimates of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a present value basis.

INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$2,000,000, to remain available until September 30, [2021] 2022. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 077-0111-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of the Inspector General		2	2
0900 Total new obligations, unexpired accounts (object class 25.2)		2	2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		2	2
1930 Total budgetary resources available		2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		2	2
3020 Outlays (gross)		-2	-2
Budget authority and outlays, net:			
Discretionary:			
Budget authority, gross		2	2
Outlays, gross:			
4010 Outlays from new discretionary authority		2	2
4180 Budget authority, net (total)		2	2
4190 Outlays, net (total)		2	2

On October 5, 2018, President Donald J. Trump signed into law the Better Utilization of Investments Leading to Development Act of 2018 (The BUILD Act). The BUILD Act consolidates, modernizes and reforms the U.S. Government's development finance capabilities—primarily the Overseas Private Investment Corporation (OPIC) and the Development Credit Authority (DCA) of the U.S. Agency for International Development (USAID)—into a new agency: the United States International Development Finance Corporation (DFC), which launched on January 2, 2020. The President's Budget requests \$2 million for a new independent Inspector General function to be funded from the General Fund. This will provide independent oversight and promote integrity and accountability.

UNITED STATES INTERNATIONAL DEVELOPMENT FINANCE CORPORATION GUARANTEED
LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4485-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711		206	206
0713		13	13
0740		148	252
0742		162	
0743		29	
0900		558	471
Budgetary resources:			
Unobligated balance:			
1000			316
1011		101	
1050		101	316
Financing authority:			
Borrowing authority, mandatory:			
1400		314	314
Spending authority from offsetting collections, mandatory:			
1800		192	196
1800		267	25
1850		459	221
1900		773	535
1930		874	851
Memorandum (non-add) entries:			
1941		316	380

Change in obligated balance:			
Unpaid obligations:			
3000			324
3010		558	471
3020		-241	-241
3031		7	
3050		324	554
Memorandum (non-add) entries:			
3100			324
3200		324	554

Financing authority and disbursements, net:			
Mandatory:			
4090		773	535
Financing disbursements:			
4110		241	241
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
Federal sources - subsidy payments from program account,			
4120		-13	-17
Federal sources - subsidy payments from program account,			
4120		-258	-16
4122		-2	-2
4122		-7	-7
4123		-179	-179
4130		-459	-221
4160		314	314
4170		-218	20
4180		314	314
4190		-218	20

Status of Guaranteed Loans (in millions of dollars)

Identification code 077-4485-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111		2,695	3,200
2121			
2150		2,695	3,200
2199		1,550	1,550
2199		787	787
Cumulative balance of guaranteed loans outstanding:			
2210			11,034
2231		27	27
2231		2,625	2,625

2251	Repayments and prepayments		
Adjustments:			
2261	Terminations for default that result in loans receivable	-206	-206
2263	Terminations for default that result in claim payments		
2264	Other adjustments, net	8,588	8,588
2290	Outstanding, end of year	11,034	22,068
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	15	15
2299	Guaranteed amount of guaranteed loans outstanding, end of year	11,007	11,007
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year		300
2310	Outstanding, start of year		300
2331	Disbursements for guaranteed loan claims	206	206
2351	Repayments of loans receivable	-179	-179
2361	Write-offs of loans receivable	-19	-19
2364	Other adjustments, net	292	292
2390	Outstanding, end of year	300	600

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans guaranteed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

UNITED STATES INTERNATIONAL DEVELOPMENT FINANCE CORPORATION DIRECT LOAN
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4484-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710		2,770	4,350
0713		60	60
0740		83	277
0742		85	
0743		13	
0900		3,011	4,687
Budgetary resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400		2,274	4,141
Spending authority from offsetting collections, mandatory:			
1800		576	546
1811		161	
1850		737	546
1900		3,011	4,687
1930		3,011	4,687
Change in obligated balance:			
Unpaid obligations:			
3000			2,606
3010		3,011	4,687
3020		-405	-405
3050		2,606	6,888
Memorandum (non-add) entries:			
3100			2,606
3200		2,606	6,888

Financing authority and disbursements, net:			
Discretionary:			
4020		405	405
Mandatory:			
4090		3,011	4,687
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120		-65	-35
4122		-7	-7
4123		-333	-333
4123		-171	-171

UNITED STATES INTERNATIONAL DEVELOPMENT FINANCE CORPORATION DIRECT LOAN
FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 077-4484-0-3-151	2019 actual	2020 est.	2021 est.
4130 Offsets against gross budget authority and outlays (total)		-576	-546
4160 Budget authority, net (mandatory)		2,435	4,141
4170 Outlays, net (mandatory)		-576	-546
4180 Budget authority, net (total)		2,435	4,141
4190 Outlays, net (total)		-171	-141

Status of Direct Loans (in millions of dollars)

Identification code 077-4484-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority		2,770	4,350
1150 Total direct loan obligations		2,770	4,350
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year			2,546
1231 Disbursements: Direct loan disbursements		405	405
1251 Repayments: Repayments and prepayments		-333	-333
1263 Write-offs for default: Direct loans		-128	-128
1264 Transfer from OPIC financing account		2,602	2,602
1290 Outstanding, end of year		2,546	5,092

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-0401-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
1011 Unobligated balance transfer from other acct [072-0401]		2	
1050 Unobligated balance (total)		2	2
1930 Total budgetary resources available		2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a net present value basis. In FY 2020, this account will be transferred to the U.S. International Development Finance Corporation from the U.S. Agency for International Development per the BUILD Act (P.L. 115-254).

URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4344-0-3-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711 Default claim payments on principal		5	5
0712 Default claim payments on interest		1	1
0900 Total new obligations, unexpired accounts		6	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			33
1011 Unobligated balance transfer from other acct [072-4344]		34	

1050 Unobligated balance (total)	34	33
Financing authority:		
Spending authority from offsetting collections, mandatory:		
1800 Collected	5	5
1930 Total budgetary resources available	39	38
Memorandum (non-add) entries:		
1941 Unexpired unobligated balance, end of year	33	32

Change in obligated balance:

Unpaid obligations:		
3010 New obligations, unexpired accounts	6	6
3020 Outlays (gross)	-6	-6

Financing authority and disbursements, net:

Mandatory:		
4090 Budget authority, gross	5	5
Financing disbursements:		
4110 Outlays, gross (total)	6	6
Offsets against gross financing authority and disbursements:		
Offsetting collections (collected) from:		
4122 Interest on uninvested funds	-2	-2
4123 Non-Federal sources	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-5	-5
4170 Outlays, net (mandatory)	1	1
4180 Budget authority, net (total)		
4190 Outlays, net (total)	1	1

Status of Guaranteed Loans (in millions of dollars)

Identification code 077-4344-0-3-151	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			56
2251 Repayments and prepayments		-8	-8
Adjustments:			
2263 Terminations for default that result in claim payments		-5	-5
2264 Other adjustments, net		69	69
2290 Outstanding, end of year		56	112
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		56	56

This account is a non-budgetary account that records all of the cash flows resulting from post-1991 direct loans or loan guarantees that have been made under the U.S. Agency for International Development's (USAID's) urban and environment guaranty program. In FY 2020, this account will be transferred to the U.S. International Development Finance Corporation from USAID per the BUILD Act (P.L. 115-254).

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-0400-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			3
1011 Unobligated balance transfer from other acct [072-0400]		3	
1050 Unobligated balance (total)		3	3
1930 Total budgetary resources available		3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		3	3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond. The subsidy amounts are estimated on a net present value basis. In FY 2020, this account will be transferred to the

U.S. International Development Finance Corporation from the U.S. Agency for International Development per the BUILD Act (P.L. 115–254).

from the U.S. Agency for International Development per the BUILD Act (P.L. 115–254).

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT GUARANTEED LOAN
FINANCING ACCOUNT

This account is a non-budgetary account that records all of the cash flows resulting from post–1991 direct loans or loan guarantees that have been made under the U.S. Agency for International Development's (USAID's) microenterprise and small enterprise guaranty program. In FY 2020, this account will be transferred to the U.S. International Development Finance Corporation from USAID per the BUILD Act (P.L. 115–254).

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077–4137–0–3–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713		16	16
0713			
0900		16	16
Budgetary resources:			
Unobligated balance:			
1000			74
1011		97	
1023		–54	–54
1050		43	20
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800		32	32
1800		15	15
1850		47	47
1930		90	67
Memorandum (non-add) entries:			
1941		74	51

Identification code 077–4137–0–3–151	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3010		16	16
3020		–16	–16

Identification code 077–4137–0–3–151	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090		47	47
Financing disbursements:			
4110		16	16
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122		–15	–15
4123		–12	–12
4123		–20	–20
4130		–47	–47
4170		–31	–31
4180			
4190		–31	–31

Status of Direct Loans (in millions of dollars)

Identification code 077–4137–0–3–151	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210			685
1251		–12	–12
1264		697	697
1290		685	1,370

This account is a non-budgetary account that records all of the cash flows resulting from post–1991 direct loans or loan guarantees that have been reduced pursuant to programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, and the Multilateral Debt Relief Initiative (MDRI), as well as through the Paris Club. This account will be transferred in 2020

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077–4340–0–3–151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711		5	3
0712		2	1
0900		7	4
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200		7	4
Spending authority from offsetting collections, mandatory:			
1800		12	12
1820			
		–12	–12
1900		7	4
1930		7	4
Change in obligated balance:			
Unpaid obligations:			
3010		7	4
3020		–7	–4
Budget authority and outlays, net:			
Mandatory:			
4090		7	4
Outlays, gross:			
4100		7	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123		–12	–12
4180		–5	–8
4190		–5	–8

Status of Guaranteed Loans (in millions of dollars)

Identification code 077–4340–0–3–151	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210			93
2251		–25	–25
Adjustments:			
2261		–6	–4
2264		124	124
2290		93	188
Memorandum:			
2299		93	93
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310			
2310			218
2331		6	4
2351		–12	–12
2364		224	224
2364			
2390		218	434

This is a budget account that records all cash flows to and from the Government resulting from pre–1992 loan guarantee commitments from the U.S. Agency for International Development's (USAID's) legacy housing and urban and environment guaranty programs (unless they were modified and transferred to a financing account). In FY 2020, this account will be transferred to the U.S. International Development Finance Corporation from USAID per the BUILD Act (P.L. 115–254).

ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 077-4103-0-3-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected		211	211
1820 Capital transfer of spending authority from offsetting collections to general fund		-211	-211
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-190	-190	
4123 Non-Federal sources	-21	-21	
4130 Offsets against gross budget authority and outlays (total)	-211	-211	
4160 Budget authority, net (mandatory)	-211	-211	
4170 Outlays, net (mandatory)	-211	-211	
4180 Budget authority, net (total)	-211	-211	
4190 Outlays, net (total)	-211	-211	

Status of Direct Loans (in millions of dollars)

Identification code 077-4103-0-3-151	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year			668
1251 Repayments: Repayments and prepayments	-190	-190	
1264 Other adjustments, net (+ or -)	858	858	
1290 Outstanding, end of year		668	1,336

This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund. In FY 2020, this account will be transferred to the U.S. International Development Finance Corporation from the U.S. Agency for International Development per the BUILD Act (P.L. 115-254).

PEACE CORPS

Federal Funds

PEACE CORPS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of the Peace Corps Act (22 U.S.C. 2501 et seq.), including the purchase of not to exceed five passenger motor vehicles for administrative purposes for use outside of the United States, [\$410,500,000] \$401,200,000, of which \$6,330,000 is for the Office of Inspector General, to remain available until September 30, [2021] 2022: *Provided*, That the Director of the Peace Corps may transfer to the Foreign Currency Fluctuations Account, as authorized by section 16 of the Peace Corps Act (22 U.S.C. 2515), an amount not to exceed \$5,000,000: *Provided further*, That funds transferred pursuant to the previous proviso may not be derived from amounts made available for Peace Corps overseas operations: *Provided further*, That of the funds appropriated under this heading, not to exceed \$104,000 may be available for representation expenses, of which not to exceed \$4,000 may be made available for entertainment expenses: *Provided further*, That none of the funds appropriated under this heading shall be used to pay for abortions: *Provided further*, That notwithstanding the previous proviso, section 614 of division E of Public Law 113-76 shall apply to funds appropriated under this heading. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0100-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity - Peace Corps	432	424	420
0002 Direct program activity - Peace Corps Inspector General	6	6	6
0799 Total direct obligations	438	430	426

0801 Peace Corps (Reimbursable)	5	6	6
0900 Total new obligations, unexpired accounts	443	436	432

Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	56	42	33
1021 Recoveries of prior year unpaid obligations	9	9	8
1033 Recoveries of prior year paid obligations	2	2	2
1050 Unobligated balance (total)	67	53	43
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	411	411	401
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	5	5
1701 Change in uncollected payments, Federal sources	2	2	2
1750 Spending auth from offsetting collections, disc (total)	9	7	7
1900 Budget authority (total)	420	418	408
1930 Total budgetary resources available	487	471	451
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2	-2	-1
1941 Unexpired unobligated balance, end of year	42	33	18

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	105	110	106
3010 New obligations, unexpired accounts	443	436	432
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-427	-429	-411
3040 Recoveries of prior year unpaid obligations, unexpired	-9	-9	-8
3041 Recoveries of prior year unpaid obligations, expired	-5	-2	-2
3050 Unpaid obligations, end of year	110	106	117
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired	-2	-2	-2
3071 Change in uncollected pymts, Fed sources, expired	1	2	
3090 Uncollected pymts, Fed sources, end of year	-5	-5	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	101	105	101
3200 Obligated balance, end of year	105	101	110

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	420	418	408
Outlays, gross:			
4010 Outlays from new discretionary authority	277	293	286
4011 Outlays from discretionary balances	150	136	125
4020 Outlays, gross (total)	427	429	411
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-6	-6
4033 Non-Federal sources	-3	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-9	-7	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2	-2	-2
4053 Recoveries of prior year paid obligations, unexpired accounts	2	2	2
4070 Budget authority, net (discretionary)	411	411	401
4080 Outlays, net (discretionary)	418	422	404
4180 Budget authority, net (total)	411	411	401
4190 Outlays, net (total)	418	422	404

The Peace Corps will provide direct and indirect support to Americans serving as Volunteers in approximately 60 countries worldwide in 2021, including the necessary safety and security provisions for Volunteers, trainees, and staff. The 2021 Budget supports recruitment, screening, and placement of Peace Corps trainees and sustains new and existing Volunteers. The Volunteers help fill the trained manpower needs of developing countries and encourage self-sustaining development of skilled manpower. The Peace Corps also promotes mutual understanding between the peoples of the developing world and the United States and focuses the attention of the American people on the benefits of community service. Peace Corps Volunteers work primarily in the areas of agriculture, community economic development, education, environment, health and HIV/AIDS, and youth in development.

The Peace Corps Office of Inspector General provides independent oversight in accordance with the Inspector General Act of 1978, as amended. Through audits, evaluations and investigations the office prevents and detects waste, fraud, abuse and mismanagement; provides advice and assistance to agency management; and promotes efficiency, effectiveness and economy in agency programs and operations.

Object Classification (in millions of dollars)

Identification code 011-0100-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	85	85	88
11.3 Other than full-time permanent	13	13	13
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	99	99	102
12.1 Civilian personnel benefits	104	104	105
21.0 Travel and transportation of persons	35	33	30
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	9	9	9
23.2 Rental payments to others	16	16	16
23.3 Communications, utilities, and miscellaneous charges	10	10	9
25.1 Advisory and assistance services	16	14	12
25.2 Other services from non-Federal sources	73	70	69
25.3 Other goods and services from Federal sources	7	7	7
25.4 Operation and maintenance of facilities	2	2	2
25.6 Medical care	27	27	27
25.7 Operation and maintenance of equipment	7	7	7
26.0 Supplies and materials	11	10	9
31.0 Equipment	7	7	7
32.0 Land and structures	12	12	12
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	438	430	426
99.0 Reimbursable obligations	5	6	6
99.9 Total new obligations, unexpired accounts	443	436	432

Employment Summary

Identification code 011-0100-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	986	986	986
2001 Reimbursable civilian full-time equivalent employment	1	1	1

FOREIGN CURRENCY FLUCTUATIONS

Program and Financing (in millions of dollars)

Identification code 011-0101-0-1-151	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	5
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This account transfers funds to the operating expense account for the Peace Corps to finance upward adjustments of recorded obligations because of foreign currency fluctuations. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this account and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Peace Corps to withdraw unobligated balances from the operating expenses account from prior years as long as the authorized limit of \$5 million is not exceeded at the time of the transfer.

HOST COUNTRY RESIDENT CONTRACTORS SEPARATION LIABILITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-5395-0-2-151	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			3
Receipts:			
Current law:			
1140 Agency Contributions, Host Country Resident Contractors Separation Liability Fund	3	3	3
2000 Total: Balances and receipts	3	3	6
Appropriations:			
Current law:			
2101 Host Country Resident Contractors Separation Liability Fund	-3		
5099 Balance, end of year		3	6

Program and Financing (in millions of dollars)

Identification code 011-5395-0-2-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Host Country Resident Contractors Separation Liability Fund (Reimbursable)	3	2	2
0900 Total new obligations, unexpired accounts (object class 25.2)	3	2	2
Budgetary resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations		2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3		
1930 Total budgetary resources available	3	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	19	5
3010 New obligations, unexpired accounts	3	2	2
3020 Outlays (gross)	-6	-14	
3040 Recoveries of prior year unpaid obligations, unexpired		-2	-2
3050 Unpaid obligations, end of year	19	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	19	5
3200 Obligated balance, end of year	19	5	5

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3		
Outlays, gross:			
4101 Outlays from mandatory balances	6	14	
4180 Budget authority, net (total)	3		
4190 Outlays, net (total)	6	14	

This fund is maintained to pay separation costs for Host Country Resident Personal Services Contractors of the Peace Corps in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated in the Peace Corps' operating account.

Trust Funds

PEACE CORPS MISCELLANEOUS TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-9972-0-7-151	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			3
Receipts:			
Current law:			
1130 Miscellaneous Trust Funds, Peace Corps	2	3	3
2000 Total: Balances and receipts	2	3	6
Appropriations:			
Current law:			
2101 Peace Corps Miscellaneous Trust Fund	-2		
5099 Balance, end of year		3	6

PEACE CORPS MISCELLANEOUS TRUST FUND—Continued
Program and Financing (in millions of dollars)

Identification code 011-9972-0-7-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0881 Peace Corps Miscellaneous Trust Fund (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts (object class 25.2)	2	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2		
Spending authority from offsetting collections, discretionary:			
1700 Collected		2	2
1900 Budget authority (total)	2	2	2
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-2	-2	-2
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-2	-2
Mandatory:			
4090 Budget authority, gross	2		
Outlays, gross:			
4101 Outlays from mandatory balances	2		
4180 Budget authority, net (total)	2		
4190 Outlays, net (total)	2		

Miscellaneous contributions received by gift, devise, or bequest, that are used for the furtherance of the program, as authorized by 22 U.S.C. 2509(a)(4) (75 Stat. 612, as amended). Trust funds also include a fund to pay separation costs for Foreign Service National employees of the Peace Corps in those countries in which such pay is legally authorized. The fund, as authorized by Section 151 of Public Law 102-138, is maintained by annual Government contributions which are appropriated in the Peace Corps salaries and expenses account.

INTER-AMERICAN FOUNDATION
Federal Funds

INTER-AMERICAN FOUNDATION

For necessary expenses to carry out the [functions] closure of the Inter-American Foundation [in accordance with the provisions of section 401 of the Foreign Assistance Act of 1969, \$37,500,000, to remain available until September 30, 2021: *Provided*, That of the funds appropriated under this heading, not to exceed \$2,000 may be available for representation expenses], \$3,850,000. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-3100-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Development grants	18	22	
0003 Program Implementation Expenses	7	9	
0005 Administrative Expenses	5	7	4
0006 Investments and Loans	2		

0799 Total direct obligations	32	38	4
0900 Total new obligations, unexpired accounts	32	38	4

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	14	16
1011 Unobligated balance transfer from other acct [072-1021]	10		
1021 Recoveries of prior year unpaid obligations	1	2	2
1050 Unobligated balance (total)	21	16	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	38	4
Spending authority from offsetting collections, discretionary:			
1700 Collected	2		
1900 Budget authority (total)	25	38	4
1930 Total budgetary resources available	46	54	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	16	18

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	28	30	41
3010 New obligations, unexpired accounts	32	38	4
3020 Outlays (gross)	-29	-24	-23
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-2	-2
3041 Recoveries of prior year unpaid obligations, expired		-1	-1
3050 Unpaid obligations, end of year	30	41	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	28	30	41
3200 Obligated balance, end of year	30	41	19

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	25	38	4
Outlays, gross:			
4010 Outlays from new discretionary authority	9	12	4
4011 Outlays from discretionary balances	20	12	19
4020 Outlays, gross (total)	29	24	23
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-2		
4180 Budget authority, net (total)	23	38	4
4190 Outlays, net (total)	27	24	23

In order to streamline the panoply of international affairs agencies operating today, the Budget proposes consolidating small grants functions and assistance aimed at reaching poor and remote communities that is currently carried out by the Inter-American Foundation (IAF) into the U.S. Agency for International Development (USAID) in FY 2021. To facilitate the consolidation, the Budget requests funding to conduct an orderly closeout of IAF beginning in fiscal year 2021, which includes sufficient funding for severance payments for duplicative functions not merged into USAID and other miscellaneous requirements for an orderly shutdown.

Object Classification (in millions of dollars)

Identification code 011-3100-0-1-151	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	
12.1 Civilian personnel benefits	2	2	
13.0 Benefits for former personnel			3
21.0 Travel and transportation of persons		1	
25.1 Advisory and assistance services	4	6	
25.3 Other goods and services from Federal sources	2	2	1
33.0 Investments and loans	2		
41.0 Grants, subsidies, and contributions	18	22	
99.0 Direct obligations	32	38	4
99.9 Total new obligations, unexpired accounts	32	38	4

Employment Summary

Identification code 011-3100-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	42	44

AFRICAN DEVELOPMENT FOUNDATION

Federal Funds

UNITED STATES AFRICAN DEVELOPMENT FOUNDATION

For necessary expenses to carry out the *closure of the African Development Foundation* [Act (title V of Public Law 96-533; 22 U.S.C. 290h et seq.), \$33,000,000, to remain available until September 30, 2021, of which not to exceed \$2,000 may be available for representation expenses: *Provided*, That funds made available to grantees may be invested pending expenditure for project purposes when authorized by the Board of Directors of the United States African Development Foundation (USADF): *Provided further*, That interest earned shall be used only for the purposes for which the grant was made: *Provided further*, That notwithstanding section 505(a)(2) of the African Development Foundation Act (22 U.S.C. 290h-3(a)(2)), in exceptional circumstances the Board of Directors of the USADF may waive the \$250,000 limitation contained in that section with respect to a project and a project may exceed the limitation by up to 10 percent if the increase is due solely to foreign currency fluctuation: *Provided further*, That the USADF shall submit a report to the appropriate congressional committees after each time such waiver authority is exercised: *Provided further*, That the USADF may make rent or lease payments in advance from appropriations available for such purpose for offices, buildings, grounds, and quarters in Africa as may be necessary to carry out its functions: *Provided further*, That the USADF may maintain bank accounts outside the United States Treasury and retain any interest earned on such accounts, in furtherance of the purposes of the African Development Foundation Act: *Provided further*, That the USADF may not withdraw any appropriation from the Treasury prior to the need of spending such funds for program purposes], \$4,660,000. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0700-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	7	8	5
0002 Development grants	17	21
0004 Other program costs	5	4
0799 Total direct obligations	29	33	5
0802 Development Grants	5	5
0899 Total reimbursable obligations	5	5
0900 Total new obligations, unexpired accounts	34	38	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		9	11
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	1	10	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	33	5
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	6
1900 Budget authority (total)	42	39	5
1930 Total budgetary resources available	43	49	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	11	12
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	30	38
3010 New obligations, unexpired accounts	34	38	5
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-29	-29	-20
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	30	38	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	30	38

3200	Obligated balance, end of year	30	38	22
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	42	39	5
Outlays, gross:				
4010	Outlays from new discretionary authority	16	17	2
4011	Outlays from discretionary balances	13	12	18
4020	Outlays, gross (total)	29	29	20
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-12	-6
4180	Budget authority, net (total)	30	33	5
4190	Outlays, net (total)	17	23	20

In order to streamline the panoply of international affairs agencies operating today, the Budget proposes consolidating small grants functions and assistance aimed at reaching poor and remote communities that is currently carried out by the U.S. African Development Foundation (ADF) into the U.S. Agency for International Development (USAID) in FY 2021. To facilitate the consolidation, the Budget requests funding to conduct an orderly closeout of ADF beginning in fiscal year 2021, which includes sufficient funding for severance payments for duplicative functions not merged into USAID, lease termination fees, and other miscellaneous requirements for an orderly shutdown.

Object Classification (in millions of dollars)

Identification code 011-0700-0-1-151	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	3	3
11.3	Other than full-time permanent	2	2
11.9	Total personnel compensation	5	5
12.1	Civilian personnel benefits	1	1
13.0	Benefits for former personnel	2
21.0	Travel and transportation of persons	1	1
23.2	Rental payments to others	1	1	2
25.2	Other services from non-Federal sources	3	3	1
25.3	Other goods and services from Federal sources	1	2
41.0	Development grants	17	20
99.0	Direct obligations	29	33	5
99.0	Reimbursable obligations	5	5
99.9	Total new obligations, unexpired accounts	34	38	5

Employment Summary

Identification code 011-0700-0-1-151	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	34	38

Trust Funds

GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-8239-0-7-151	2019 actual	2020 est.	2021 est.	
0100 Balance, start of year	
Receipts:				
Current law:				
1130	Gifts and Donations, African Development Foundation	2	6	8
2000	Total: Balances and receipts	2	6	8
Appropriations:				
Current law:				
2101	Gifts and Donations, African Development Foundation	-2	-6	-8
5099	Balance, end of year

GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION—Continued

Program and Financing (in millions of dollars)

Identification code 011-8239-0-7-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Project Grants	3	5	8
0900 Total new obligations, unexpired accounts (object class 41.0)	3	5	8
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	4
1021 Recoveries of prior year unpaid obligations		2	2
1050 Unobligated balance (total)	1	3	6
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	6	8
Spending authority from offsetting collections, mandatory:			
1800 Collected	1		
1900 Budget authority (total)	3	6	8
1930 Total budgetary resources available	4	9	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	4	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	5	2
3010 New obligations, unexpired accounts	3	5	8
3020 Outlays (gross)	-1	-6	-5
3040 Recoveries of prior year unpaid obligations, unexpired		-2	-2
3050 Unpaid obligations, end of year	5	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	5	2
3200 Obligated balance, end of year	5	2	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	6	8
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	1	5	4
4110 Outlays, gross (total)	1	6	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
4180 Budget authority, net (total)	2	6	8
4190 Outlays, net (total)		6	5

USADF has the authority to accept contributions from any legitimate source, such as foreign governments, private businesses, foundations, non-governmental organizations, international donors, and other strategic partners committed to promoting grassroots-based economic growth and development in Africa.

INTERNATIONAL MONETARY PROGRAMS

Federal Funds

UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND

Program and Financing (in millions of dollars)

Identification code 011-0003-0-1-155	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5112 IMF quota reserve tranche	22,955	22,955	22,955
5113 IMF quota letter of credit	90,033	90,033	90,033

The United States mainly participates in the International Monetary Fund (IMF) through a quota subscription, denominated in Special Drawing Rights (SDRs). Under reforms to IMF quotas decided in 2010 and implemented by the IMF in early 2016 after Congress passed the necessary legislation ratifying the reforms, the U.S. quota at the IMF increased by SDR 40,871,800,000 (approximately \$58 billion using the current exchange

rate) to the U.S. quota in the IMF is presently SDR 82,994,200,000 (approximately \$115 billion using the current exchange rate). Quotas are the main metric used by the Fund to assign voting shares and to determine countries' contributions to quota contributions are the first line of resources to the IMF's general resources and access to IMF financing.

The use of the U.S. quota resources by at the IMF constitutes an exchange of monetary assets and does not result in budget outlays. When the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves. The U.S. reserve position in the IMF is readily available to meet a U.S. balance-of-payments financing need.

See the "Loans to International Monetary Fund" account for additional information about the 2020 IMF agreement.

LOANS TO INTERNATIONAL MONETARY FUND

Program and Financing (in millions of dollars)

Identification code 011-0074-0-1-155	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5114 New Arrangements to Borrow (Increase)			38,449
5116 New Arrangements to Borrow	38,449	38,449	76,897

In January 1997, the Executive Board of the IMF approved the creation of the New Arrangements to borrow (NAB), which is a standing arrangement among certain IMF members to supplement the IMF's quota resources as needed to forestall or cope with an impairment of the international monetary system or to deal with an exceptional situation that poses a threat to the stability of the system. The NAB became effective on November 17, 1998. It is now the second line of defense for IMF resources after a prior arrangement, the General Arrangements to Borrow (GAB), lapsed on December 25, 2018. The amounts authorized for the GAB were also authorized to be used for the NAB.

A total of 40 countries and institutions participate in the NAB for a total of SDR 181 billion (about \$250 billion), of which the current U.S. share is approximately SDR 28 billion (about \$38.5 billion). The NAB is currently not activated, meaning that at present the IMF is relying on quota resources for current financing.

In recent months, the United States joined other key countries and IMF leadership in advancing a package of actions to maintain overall IMF resources roughly at current levels in conjunction with reforms to IMF governance and lending, including substantive reforms to adjust IMF compensation and benefits in line with its role as a public institution. The United States successfully achieved its negotiating priorities during this process: (1) a decision to close the IMF's 15th review of quotas without changes to existing quota; (2) an agreement to maintain the IMF's overall lending resources by renewing and doubling the size of the NAB, while reducing by a similar amount the IMF's bilateral borrowing agreements, in which the United States does not participate; and (3) preservation of U.S. veto power over the IMF's sources of lending.

To implement the terms of the agreement, the Administration is seeking to double the current U.S. participation in the NAB to a total level of SDR 56,404,940,000 (approximately \$78 billion using the current exchange rate), and to extend the U.S. participation in the NAB through December 31, 2025. Currently, Congress has authorized the U.S. to participate in the NAB until December 16, 2022.

With respect to this account, resources provided by the United States under the NAB constitute an exchange of monetary assets and do not result in any budgetary outlays because such transactions result in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the

NAB are readily available to meet a U.S. balance-of-payments financing need. (See the *Analytical Perspectives* for additional information.) Section 7065 of the 2021 General Provisions in this chapter includes the necessary legislative language to extend and increase the NAB.

factor in our ability to provide coalition partners with critical equipment to make them operationally effective in a timely manner. Improving the mechanism for supporting U.S. partners is a high priority for both the Departments of State and Defense.

MILITARY SALES PROGRAM

Federal Funds

SPECIAL DEFENSE ACQUISITION FUND

Program and Financing (in millions of dollars)

Identification code 011-4116-0-3-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Special Defense Acquisition Fund (Reimbursable)	216	558	558
0900 Total new obligations, unexpired accounts (object class 25.3)	216	558	558
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	404	189	122
1012 Unobligated balance transfers between expired and unexpired accounts	79		
1020 Adjustment of unobligated bal brought forward, Oct 1	-24		
1033 Recoveries of prior year paid obligations	86		
1050 Unobligated balance (total)	545	189	122
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	491	473
1900 Budget authority (total)	2	491	473
1930 Total budgetary resources available	547	680	595
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-142		
1941 Unexpired unobligated balance, end of year	189	122	37
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	218	278	213
3010 New obligations, unexpired accounts	216	558	558
3011 Obligations ("upward adjustments"), expired accounts	114		
3020 Outlays (gross)	-264	-623	-487
3041 Recoveries of prior year unpaid obligations, expired	-6		
3050 Unpaid obligations, end of year	278	213	284
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	218	278	213
3200 Obligated balance, end of year	278	213	284
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	491	473
Outlays, gross:			
4010 Outlays from new discretionary authority		368	355
4011 Outlays from discretionary balances	264	255	132
4020 Outlays, gross (total)	264	623	487
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-491	-473
4033 Non-Federal sources	-86		
4040 Offsets against gross budget authority and outlays (total) ...	-88	-491	-473
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	86		
4060 Additional offsets against budget authority only (total)	86		
4080 Outlays, net (discretionary)	176	132	14
4180 Budget authority, net (total)			
4190 Outlays, net (total)	176	132	14

The Special Defense Acquisition Fund (SDAF) helps expedite the procurement of defense articles for provision to foreign nations and international organizations. The 2021 request reflects \$900 million in new SDAF obligation authority, to be funded by offsetting collections. In 2021, offsetting collections will be derived from SDAF sales of stock as well as other receipts consistent with section 51(b) of the Arms Export Control Act. The 2021 request will support advance purchases of high-demand equipment that has long procurement lead times, which is often the main limiting

Trust Funds

FOREIGN MILITARY SALES TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-8242-0-7-155	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	4,673	5,216	6,596
Receipts:			
Current law:			
1130 Deposits, Advances, Foreign Military Sales Trust Fund	32,991	47,770	44,340
2000 Total: Balances and receipts	37,664	52,986	50,936
Appropriations:			
Current law:			
2101 Foreign Military Sales Trust Fund	-32,991	-46,980	-46,980
2103 Foreign Military Sales Trust Fund	-10	-10	-10
2132 Foreign Military Sales Trust Fund	10		
2135 Foreign Military Sales Trust Fund	543	600	600
2199 Total current law appropriations	-32,448	-46,390	-46,390
2999 Total appropriations	-32,448	-46,390	-46,390
5099 Balance, end of year	5,216	6,596	4,546

Program and Financing (in millions of dollars)

Identification code 011-8242-0-7-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Aircraft	24,434	22,834	22,874
0004 Missiles	12,418	11,604	11,625
0005 Communication Equipment	1,793	1,674	1,677
0006 Maintenance and Support Equipment	1,684	1,573	1,576
0007 Special Activities/R&D	2,118	1,979	1,982
0008 Tactical/Support/Combat Vehicles	1,250	1,167	1,169
0009 Ammunition	8,471	7,915	7,929
0010 Supplies & Supply Operations	707	659	660
0011 Construction	495	462	462
0012 Weapons	120	111	112
0013 Training	707	660	661
0014 Ships	110	101	101
0015 Administration	996	1,082	1,082
0900 Total new obligations, unexpired accounts (object class 25.2)	55,303	51,821	51,910
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	141	11	72
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	32,991	46,980	46,980
1203 Appropriation (previously unavailable)(special or trust) ...	10	10	10
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-11		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-10		
1235 Appropriations precluded from obligation (special or trust)	-543	-600	-600
1238 Appropriations applied to liquidate contract authority	-31,439	-45,308	-45,308
1260 Appropriations, mandatory (total)	998	1,082	1,082
Contract authority, mandatory:			
1600 Contract authority	54,175	50,800	50,900
1900 Budget authority (total)	55,173	51,882	51,982
1930 Total budgetary resources available	55,314	51,893	52,054
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	72	144
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	183,854	205,137	209,766
3010 New obligations, unexpired accounts	55,303	51,821	51,910
3020 Outlays (gross)	-34,020	-47,192	-51,792
3050 Unpaid obligations, end of year	205,137	209,766	209,884
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	183,854	205,137	209,766

factor in our ability to provide coalition partners with critical equipment to make them operationally effective in a timely manner. Improving the mechanism for supporting U.S. partners is a high priority for both the Departments of State and Defense.

FOREIGN MILITARY SALES TRUST FUND—Continued
Program and Financing—Continued

Identification code 011-8242-0-7-155	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	205,137	209,766	209,884
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	55,173	51,882	51,982
Outlays, gross:			
4100 Outlays from new mandatory authority		12,254	18,210
4101 Outlays from mandatory balances	34,020	34,938	33,582
4110 Outlays, gross (total)	34,020	47,192	51,792
4180 Budget authority, net (total)	55,173	51,882	51,982
4190 Outlays, net (total)	34,020	47,192	51,792
Memorandum (non-add) entries:			
5052 Obligated balance, SOY: Contract authority	155,080	177,816	183,308
5053 Obligated balance, EOY: Contract authority	177,816	183,308	188,900

The Foreign Military Sales Trust Fund facilitates government-to-government sales of defense articles, defense services, and design and construction services. Estimates of sales used in this budget are in millions of dollars:

ESTIMATES OF NEW SALES

	2019 Actual	2020 Est.	2021 Est.
Estimates of new orders (sales)	54,175	50,800	50,900

Federal Funds

INTERNATIONAL HUMANITARIAN ASSISTANCE

INTERNATIONAL HUMANITARIAN ASSISTANCE

For necessary expenses to carry out the provisions of section 2 of the Migration and Refugee Assistance Act of 1962 and section 491 of the Foreign Assistance Act of 1961 for international disaster relief, rehabilitation, and reconstruction assistance, \$5,968,000,000, to remain available until expended: Provided, That funds under this heading shall be administered by the United States Agency for International Development under the authority of the Secretary of State.

Program and Financing (in millions of dollars)

Identification code 072-1550-0-1-151	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			5,071
0900 Total new obligations, unexpired accounts (object class 41.0)			5,071
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			5,968
1930 Total budgetary resources available			5,968
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			897
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			5,071
3020 Outlays (gross)			-4,178
3050 Unpaid obligations, end of year			893
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			893
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			5,968
Outlays, gross:			
4010 Outlays from new discretionary authority			4,178
4180 Budget authority, net (total)			5,968
4190 Outlays, net (total)			4,178

The new International Humanitarian Assistance (IHA) account supports the Administration's commitment to deliver the fundamental changes needed to achieve optimal results both for affected populations and for American

taxpayers. As conflict-based crises increase and force multiple displacements of populations within and outside their national borders, the 2021 Budget seeks to significantly improve our ability to respond flexibly by consolidating all overseas humanitarian assistance so that policy and implementation can be seamlessly coordinated across State and USAID to reach affected persons.

Accordingly, the Budget consolidates our fragmented and outdated overseas humanitarian programming, implementation, and oversight of all implementers into one account and into the Bureau of Humanitarian Assistance (BHA) being stood up at USAID, in recognition of its proven expertise on effective program implementation and oversight. The ongoing merger of USAID offices into BHA demonstrates the benefits from consolidation that underpin the broader 2021 account and restructuring proposal.

The Budget preserves State's lead role on foreign policy, diplomatic engagement and policy oversight of international organizations, its lead responsibilities for refugee, migration, and population policy issues, as well as the U.S. refugee admissions program (USRAP). Funding in the new IHA account will be appropriated to the President and administered by USAID under the authority of the Secretary of State. This restructuring is supported by several independent analyses and builds on State's and USAID's comparative strengths. The new account and organizational structure will enable the U.S. government to respond seamlessly to ongoing as well as new humanitarian needs of the most vulnerable displaced people, including refugees, conflict victims, stateless persons, and migrants worldwide. This restructuring is also critical to establishing a strong, single U.S. voice that can extract optimal UN reforms, to induce other donors to do their fair share, and to resolve ongoing crises. Preliminary details of this proposal, including agency lead responsibilities, were outlined in the 2020 State Congressional Budget Justification Appendix.

The Budget eliminates funding for the International Disaster Assistance (IDA) account and for overseas humanitarian assistance previously funded from the Migration and Refugee Assistance (MRA) account in favor of consolidated funding in the new IHA account. Programs related to U.S. refugee admissions and certain other administrative expenses will continue to be funded through the MRA account, discussed separately in the Appendix. In addition, appropriations language under the MRA account gives the Secretary of State authority to transfer funding from IHA to MRA should projected funding for refugee resettlement not be sufficient. The 2021 request also continues to eliminate the P.L. 480 Title II account. The IHA request will ensure that all food assistance programs are appropriate to local needs and will increase overall effectiveness. The 2021 Budget request enables the United States to remain the largest single humanitarian donor in the world with near-peak program levels.

Specifically, these funds support all aspects of overseas humanitarian assistance, including shelter, protection, emergency health and nutrition, the provision of safe drinking water, livelihoods supports, emergency food interventions, rehabilitation, disaster risk reduction, and transition to development assistance programs. Emergency food aid can include interventions such as local and regional purchase of food near crises, the provision of U.S. commodities, food vouchers, or cash transfers, and complementary activities that support the relief, recovery, and resilience of populations affected by food crises. Funds will support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), and the International Organization for Migration (IOM), the World Food Program (WFP), the UN Office for the Coordination of Humanitarian Affairs (OCHA), as well as non-governmental organizations (NGOs).

When combined with carryover, the estimated average annual funding for 2020 and 2021 overseas humanitarian assistance at the IHA request level is \$8.8 billion, allowing the United States to program above the second highest level ever.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
072-272430 Foreign Military Financing, Downward Reestimates of Subsidies		61	
011-388044 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	-1		
071-274910 Overseas Private Investment Corporation Loans, Negative Subsidies	278		
071-274930 Overseas Private Investment Corporation Loans, Downward Reestimates of Subsidy	204		
072-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	2	1	1
072-267630 Downward Reestimates, MENA Loan Guarantee Program		177	
072-272530 Loan Guarantees to Israel, Downward Reestimates of Subsidies	152	157	
072-273130 Ukraine Loan Guarantees Program, Downward Reestimates	80	210	
072-274430 Urban and Environmental Credit Program, Downward Reestimates of Subsidies	9	5	
072-275230 Development Credit Authority Program Account, Downward Reestimates of Loan Guarantees	13		
072-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	3		
077-268510 United States International Development Finance Corporation Loans, Negative Subsidies		392	
077-268930 United States International Development Finance Corporation Loans, Downward Reestimates of Subsidy		289	
General Fund Offsetting receipts from the public	740	1,292	1
Intragovernmental payments:			
072-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	3		
General Fund Intragovernmental payments	3		

GENERAL PROVISIONS

ALLOWANCES AND DIFFERENTIALS

SEC. 7001. Funds appropriated under title I of this Act shall be available, except as otherwise provided, for allowances and differentials as authorized by subchapter 59 of title 5, United States Code; for services as authorized by section 3109 of such title and for hire of passenger transportation pursuant to section 1343(b) of title 31, United States Code.

UNOBLIGATED BALANCES REPORT

SEC. 7002. Any department or agency of the United States Government to which funds are appropriated or otherwise made available by this Act shall provide to the Committees on Appropriations a quarterly accounting of cumulative unobligated balances and obligated, but unexpended, balances by program, project, and activity, and Treasury Account Fund Symbol of all funds received by such department or agency in fiscal year 2020 or any previous fiscal year, disaggregated by fiscal year: *Provided*, That the report required by this section shall be submitted not later than 30 days after the end of each fiscal quarter and should specify by account the amount of funds obligated pursuant to bilateral agreements which have not been further sub-obligated.]

CONSULTING SERVICES

SEC. 7003. The expenditure of any appropriation under title I of this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

DIPLOMATIC FACILITIES

SEC. 7004. (a) CAPITAL SECURITY COST SHARING EXCEPTION.—Notwithstanding paragraph (2) of section 604(e) of the Secure Embassy Construction and Counterterrorism Act of 1999 (title VI of division A of H.R. 3427, as enacted into law by section 1000(a)(7) of Public Law 106-113 and contained in appendix G of that Act), as amended by section 111 of the Department of State Authorities Act, Fiscal Year 2017 (Public Law 114-323), a project to construct a facility of the United States may include office space or other accommodations for members of the United States Marine Corps.

(b) NEW DIPLOMATIC FACILITIES.—For the purposes of calculating the fiscal year 2021 costs of providing new United States diplomatic facilities in accordance with section 604(e) of the Secure Embassy Construction and Counterterrorism Act of 1999 (22 U.S.C. 4865 note), the Secretary of State, in consultation with the Director of the Office of Management and Budget, shall determine the annual program level and agency shares in a manner that is proportional to the contribution of the Department of State for this purpose.

[(c) CONSULTATION AND NOTIFICATION.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, which may be made available for the acquisition of property or award of construction contracts for overseas United States diplomatic facilities during fiscal year 2020, shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations: *Provided*, That notifications pursuant to this subsection shall include the information enumerated under the heading "Embassy Security, Construction, and Maintenance" in House Report 116-78.]

INTERIM AND TEMPORARY FACILITIES ABROAD.—

(1) SECURITY VULNERABILITIES.—Funds appropriated by this Act under the heading "Embassy Security, Construction, and Maintenance" may be made available, following consultation with the appropriate congressional committees, to address security vulnerabilities at interim and temporary United States diplomatic facilities abroad, including physical security upgrades and local guard staffing, except that the amount of funds made available for such purposes from this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be a minimum of \$25,000,000.

(2) CONSULTATION.—Notwithstanding any other provision of law, the opening, closure, or any significant modification to an interim or temporary United States diplomatic facility shall be subject to prior consultation with the appropriate congressional committees and the regular notification procedures of the Committees on Appropriations, except that such consultation and notification may be waived if there is a security risk to personnel.]

[(e) SOFT TARGETS.—Of the funds] *Funds* appropriated by this Act under the heading "Embassy Security, Construction, and Maintenance"], not less than \$10,000,000 shall] *may* be made available for security upgrades to soft targets, including schools, recreational facilities, and residences used by United States diplomatic personnel and their dependents.

PERSONNEL ACTIONS

SEC. 7005. Any costs incurred by a department or agency funded under title I of this Act resulting from personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available under title I to such department or agency: *Provided*, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: *Provided further*, That use of funds to carry out this section shall be treated as a re-programming of funds under section 7015 of this Act].

PROHIBITION ON PUBLICITY OR PROPAGANDA

SEC. 7006. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes within the United States not authorized before enactment of this Act by Congress: *Provided*, That up to \$25,000 may be made available to carry out the provisions of section 316 of the International Security and Development Cooperation Act of 1980 (Public Law 96-533; 22 U.S.C. 2151a note).]

PROHIBITION AGAINST DIRECT FUNDING FOR CERTAIN COUNTRIES

SEC. 7007. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance or reparations for the governments of Cuba, North Korea, Iran, or Syria: *Provided*, That for purposes of this section, the prohibition on obligations or expenditures shall include direct loans, credits, insurance, and guarantees of the Export-Import Bank or its agents.

COUPS D'ETAT

SEC. 7008. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance to the government of any country whose duly elected head of government is deposed by military coup d'etat or decree or, after the date of enactment of this Act, a coup d'etat or decree in which the military plays a decisive role: *Provided*, That assistance may be resumed to such government if the Secretary of State certifies and reports to the appropriate congressional committees that subsequent to the termination of assistance a democratically elected government has taken office *or that provision of assistance is in the national interest of the United States: Provided further*, That the provisions of this section shall not apply to assistance to promote democratic elections or public participation in democratic processes: *Provided further*, That funds made available pursuant to the previous pro-

visos shall be subject to the regular notification procedures of the Committees on Appropriations].

TRANSFER OF FUNDS AUTHORITY

SEC. [7009]7007. (a) DEPARTMENT OF STATE AND UNITED STATES AGENCY FOR GLOBAL MEDIA.—

(1) DEPARTMENT OF STATE.—

(A) IN GENERAL.—Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of State under title I of this Act may be transferred between, and merged with, such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers [], and no such transfer may be made to increase the appropriation under the heading "Representation Expenses" [].

(B) EMBASSY SECURITY.—Funds appropriated under the headings "Diplomatic Programs", including for Worldwide Security Protection, "Embassy Security, Construction, and Maintenance", and "Emergencies in the Diplomatic and Consular Service" in this Act may be transferred to, and merged with, funds appropriated under such headings if the Secretary of State determines and reports to the Committees on Appropriations that to do so is necessary to implement the recommendations of the Benghazi Accountability Review Board, for emergency evacuations, or to prevent or respond to security situations and requirements, [] following consultation with, and [] subject to the regular notification procedures of, such Committees: *Provided*, That such transfer authority is in addition to any transfer authority otherwise available in this Act and under any other provision of law.

(2) UNITED STATES AGENCY FOR GLOBAL MEDIA.—Not to exceed 5 percent of any appropriation made available for the current fiscal year for the United States Agency for Global Media under title I of this Act may be transferred between, and merged with, such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(3) TREATMENT AS REPROGRAMMING.—Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section [7015] 7011 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

[(b) LIMITATION ON TRANSFERS OF FUNDS BETWEEN AGENCIES.—

(1) IN GENERAL.—None of the funds made available under titles II through V of this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.

(2) ALLOCATION AND TRANSFERS.—Notwithstanding paragraph (1), in addition to transfers made by, or authorized elsewhere in, this Act, funds appropriated by this Act to carry out the purposes of the Foreign Assistance Act of 1961 may be allocated or transferred to agencies of the United States Government pursuant to the provisions of sections 109, 610, and 632 of the Foreign Assistance Act of 1961, and section 1434(j) of the BUILD Act of 2018 (division F of Public Law 115–254).

(3) NOTIFICATION.—Any agreement entered into by the United States Agency for International Development or the Department of State with any department, agency, or instrumentality of the United States Government pursuant to section 632(b) of the Foreign Assistance Act of 1961 valued in excess of \$1,000,000 and any agreement made pursuant to section 632(a) of such Act, with funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Global Health Programs", "Development Assistance", "Economic Support Fund", and "Assistance for Europe, Eurasia and Central Asia" shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided*, That the requirement in the previous sentence shall not apply to agreements entered into between USAID and the Department of State.]

[(c) UNITED STATES INTERNATIONAL DEVELOPMENT FINANCE CORPORATION.—

(1) LIMITATION.—Amounts transferred pursuant to section 1434(j) of the BUILD Act of 2018 (division F of Public Law 115–254) may only be transferred from funds made available under title III of this Act, and such amounts shall not exceed \$50,000,000: *Provided*, That any such transfers shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations: *Provided further*, That the Secretary of State, the Administrator of the United States Agency for International Development, and the Chief Executive Officer of the United States International Development Finance Corporation (the Corporation), as appropriate, shall ensure that the programs funded by such transfers are coordinated with, and complement, foreign assistance programs implemented by the Department of State and USAID: *Provided further*,

That no funds transferred pursuant to such authority may be used by the Corporation to post personnel abroad or for activities described in section 1421(c) of such Act.

(2) DEVELOPMENT CREDIT AUTHORITY ACCOUNT.—Funds transferred from the Development Credit Authority program account of the United States Agency for International Development to the Corporate Capital Account of the United States International Development Finance Corporation pursuant to section 1434(i) of the BUILD Act of 2018 (division F of Public Law 115–254) shall be transferred to, and merged with, such account, and may thereafter be deemed to meet any minimum funding requirements attributed for at the time of deposit into the Development Credit Authority program account.]

[(d) TRANSFER OF FUNDS BETWEEN ACCOUNTS.—None of the funds made available under titles II through V of this Act may be obligated under an appropriations account to which such funds were not appropriated, except for transfers specifically provided for in this Act, unless the President, not less than 5 days prior to the exercise of any authority contained in the Foreign Assistance Act of 1961 to transfer funds, consults with and provides a written policy justification to the Committees on Appropriations.]

(b) TITLE VI TRANSFER AUTHORITIES.

(1) *Not to exceed 5 percent of any appropriation other than for administrative expenses made available for fiscal year 2021, for programs under title VI of this Act may be transferred between such appropriations for use of any of the purposes, programs, and activities for which the funds in such receiving account may be used, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 25 percent by any such transfer: Provided, That the exercise of such authority shall be subject to the regular notification procedures of the Committee on Appropriations*

(c) AVAILABILITY OF FUNDS FOR THE DEVELOPMENT FINANCE CORPORATION

(1) *Funds transferred to the United States International Development Finance Corporation (Corporation) pursuant to section 434(j) of the BUILD Act of 2018 (division F of Public Law 115–254) shall only be initially made available consistent with purposes of the account into which they were initially appropriated, and may be deemed to meet minimum funding requirements upon deobligation and reobligation for a use other than such original purpose.*

[(e)d] AUDIT OF INTER-AGENCY TRANSFERS OF FUNDS.—Any agreement for the transfer or allocation of funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs entered into between the Department of State or USAID and another agency of the United States Government under the authority of section 632(a) of the Foreign Assistance Act of 1961, or any comparable provision of law, shall expressly provide that the Inspector General (IG) for the agency receiving the transfer or allocation of such funds, or other entity with audit responsibility if the receiving agency does not have an IG, shall perform periodic program and financial audits of the use of such funds and report to the Department of State or USAID, as appropriate, upon completion of such audits: *Provided*, That such audits shall be transmitted to the Committees on Appropriations by the Department of State or USAID, as appropriate: *Provided further*, That funds transferred under such authority may be made available for the cost of such audits.

(e) ADDITIONAL TRANSFER AUTHORITY.—

(1) *Funds appropriated by this Act under the headings "Transition Initiatives", "Economic Support and Development Fund", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining, and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" may be transferred to, and merged with, funds appropriated by this Act under such headings.*

(2) *Funds appropriated by this Act under the headings "Transition Initiatives", "Economic Support and Development Fund", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining, and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" may be transferred to, and merged with, funds appropriated by this Act under the heading "International Humanitarian Assistance".*

(3) *The authority provided in subsections (e)(1) and (e)(2) may be used to transfer up to \$400,000,000 from the funds appropriated by this Act and may be exercised only if the Secretary of State determines that such transfer is needed to address unexpected contingencies, man-made or natural disasters, or other urgent needs.*

(4) *The authority provided by this subsection shall be subject to the regular notification procedures of the Committees on Appropriations: Provided, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law, including section 610 of the Foreign Assistance*

Act of 1961, which may be exercised by the Secretary of State for the purposes of this Act.

[(f) TRANSFER OF OVERSEAS CONTINGENCY OPERATIONS/GLOBAL WAR ON TERRORISM FUNDS.—Funds appropriated by this Act under the headings "Peace-keeping Operations" and "Foreign Military Financing Program" that are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 may be transferred to, and merged with, such funds appropriated under such headings: *Provided*, That such transfer authority may only be exercised to address contingencies: *Provided further*, That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law, including section 610 of the Foreign Assistance Act of 1961: *Provided further*, That such transfer authority shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.]

PROHIBITION AND LIMITATION ON CERTAIN EXPENSES

SEC. [7010] 7008. (a) FIRST-CLASS TRAVEL.—None of the funds made available by this Act may be used for first-class travel by employees of United States Government departments and agencies funded by this Act in contravention of section 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

(b) **COMPUTER NETWORKS.—**None of the funds made available by this Act for the operating expenses of any United States Government department or agency may be used to establish or maintain a computer network for use by such department or agency unless such network has filters designed to block access to sexually explicit websites: *Provided*, That nothing in this subsection shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency, or any other entity carrying out the following activities: criminal investigations, prosecutions, and adjudications; administrative discipline; and the monitoring of such websites undertaken as part of official business.

[(c) PROHIBITION ON PROMOTION OF TOBACCO.—None of the funds made available by this Act shall be available to promote the sale or export of tobacco or tobacco products, or to seek the reduction or removal by any foreign country of restrictions on the marketing of tobacco or tobacco products, except for restrictions which are not applied equally to all tobacco or tobacco products of the same type.]

[(d) EMAIL SERVERS OUTSIDE THE .GOV DOMAIN.—None of the funds appropriated by this Act under the headings "Diplomatic Programs" and "Capital Investment Fund" in title I, and "Operating Expenses" and "Capital Investment Fund" in title II that are made available to the Department of State and the United States Agency for International Development may be made available to support the use or establishment of email accounts or email servers created outside the .gov domain or not fitted for automated records management as part of a Federal government records management program in contravention of the Presidential and Federal Records Act Amendments of 2014 (Public Law 113–187).]

[(e) REPRESENTATION AND ENTERTAINMENT EXPENSES.—Each Federal department, agency, or entity funded in titles I or II of this Act, and the Department of the Treasury and independent agencies funded in titles III or VI of this Act, shall take steps to ensure that domestic and overseas representation and entertainment expenses further official agency business and United States foreign policy interests, and—

- (1) are primarily for fostering relations outside of the Executive Branch;
- (2) are principally for meals and events of a protocol nature;
- (3) are not for employee-only events; and
- (4) do not include activities that are substantially of a recreational character.]

[(f) c) LIMITATIONS ON ENTERTAINMENT EXPENSES.—None of the funds appropriated or otherwise made available by this Act under the headings "International Military Education and Training" or "Foreign Military Financing Program" for Informational Program activities or under the headings "Global Health Programs", ["Development Assistance"], "Economic Support and Development Fund"], and "Assistance for Europe, Eurasia and Central Asia"] may be obligated or expended to pay for—

- (1) alcoholic beverages; or
- (2) entertainment expenses for activities that are substantially of a recreational character, including entrance fees at sporting events, theatrical and musical productions, and amusement parks.

AVAILABILITY OF FUNDS

SEC. [7011] 7009. No part of any appropriation contained in this Act shall remain available for obligation after the expiration of the current fiscal year unless expressly so provided by this Act: *Provided*, That funds appropriated for the purposes of chapters 1 and 8 of part I, [section]sections 661 and 667, chapters 4, 5, 6, 8, and 9 of part II of the Foreign Assistance Act of 1961, section 23 of the Arms Export Control Act (22 U.S.C. 2763), and funds made available for "United States International Development Finance Corporation" [and under the heading "Assistance for

Europe, Eurasia and Central Asia"] shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially obligated before the expiration of their respective periods of availability contained in this Act: *Provided further*, That notwithstanding any other provision of this Act, any funds made available for the purposes of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 which are allocated or obligated for cash disbursements in order to address balance of payments or economic policy reform objectives, shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially allocated or obligated before the expiration of their respective periods of availability contained in this Act: *Provided further*, That the Secretary of State shall provide a report to the Committees on Appropriations not later than October 31, 2020, detailing by account and source year, the use of this authority during the previous fiscal year] .

LIMITATION ON ASSISTANCE TO COUNTRIES IN DEFAULT]

[SEC. 7012. No part of any appropriation provided under titles III through VI in this Act shall be used to furnish assistance to the government of any country which is in default during a period in excess of 1 calendar year in payment to the United States of principal or interest on any loan made to the government of such country by the United States pursuant to a program for which funds are appropriated under this Act unless the President determines, following consultation with the Committees on Appropriations, that assistance for such country is in the national interest of the United States.]

PROHIBITION ON TAXATION OF UNITED STATES ASSISTANCE]

[SEC. 7013. (a) PROHIBITION ON TAXATION.—None of the funds appropriated under titles III through VI of this Act may be made available to provide assistance for a foreign country under a new bilateral agreement governing the terms and conditions under which such assistance is to be provided unless such agreement includes a provision stating that assistance provided by the United States shall be exempt from taxation, or reimbursed, by the foreign government, and the Secretary of State and the Administrator of the United States Agency for International Development shall expeditiously seek to negotiate amendments to existing bilateral agreements, as necessary, to conform with this requirement.

(b) **NOTIFICATION AND REIMBURSEMENT OF FOREIGN TAXES.—**An amount equivalent to 200 percent of the total taxes assessed during fiscal year 2020 on funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs by a foreign government or entity against United States assistance programs, either directly or through grantees, contractors, and subcontractors, shall be withheld from obligation from funds appropriated for assistance for fiscal year 2021 and for prior fiscal years and allocated for the central government of such country or for the West Bank and Gaza program, as applicable, if, not later than September 30, 2021, such taxes have not been reimbursed: *Provided*, That the Secretary of State shall report to the Committees on Appropriations by such date on the foreign governments and entities that have not reimbursed such taxes, including any amount of funds withheld pursuant to this subsection.

(c) **DE MINIMIS EXCEPTION.—**Foreign taxes of a de minimis nature shall not be subject to the provisions of subsection (b).

(d) **REPROGRAMMING OF FUNDS.—**Funds withheld from obligation for each foreign government or entity pursuant to subsection (b) shall be reprogrammed for assistance for countries which do not assess taxes on United States assistance or which have an effective arrangement that is providing substantial reimbursement of such taxes, and that can reasonably accommodate such assistance in a programmatic manner.

(e) **DETERMINATIONS.—**

(1) **IN GENERAL.—**The provisions of this section shall not apply to any foreign government or entity that assesses such taxes if the Secretary of State reports to the Committees on Appropriations that—

- (A) such foreign government or entity has an effective arrangement that is providing substantial reimbursement of such taxes; or
- (B) the foreign policy interests of the United States outweigh the purpose of this section to ensure that United States assistance is not subject to taxation.

(2) **CONSULTATION.—**The Secretary of State shall consult with the Committees on Appropriations at least 15 days prior to exercising the authority of this subsection with regard to any foreign government or entity.

(f) **IMPLEMENTATION.—**The Secretary of State shall issue and update rules, regulations, or policy guidance, as appropriate, to implement the prohibition against the taxation of assistance contained in this section.

(g) **DEFINITIONS.—**As used in this section:

(1) **BILATERAL AGREEMENT.—**The term "bilateral agreement" refers to a framework bilateral agreement between the Government of the United States and the government of the country receiving assistance that describes the priv-

ileges and immunities applicable to United States foreign assistance for such country generally, or an individual agreement between the Government of the United States and such government that describes, among other things, the treatment for tax purposes that will be accorded the United States assistance provided under that agreement.

(2) TAXES AND TAXATION.—The term "taxes and taxation" shall include value added taxes and customs duties but shall not include individual income taxes assessed to local staff.

(h) REPORT.—Not later than 90 days after enactment of this Act, the Secretary of State, in consultation with the heads of other relevant agencies of the United States Government, shall submit a report to the Committees on Appropriations on the requirements contained under this section in House Report 116–78.】

RESERVATIONS OF FUNDS

SEC. 【7014】7010. (a) REPROGRAMMING.—Funds appropriated under titles III through VI of this Act which are specifically designated may be reprogrammed for other programs within the same account notwithstanding the designation if compliance with the designation is made impossible by operation of any provision of this or any other Act or by a significant change in circumstances as determined by the Secretary of State: *Provided*, That any such reprogramming shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That assistance that is reprogrammed pursuant to this subsection shall be made available under the same terms and conditions as originally provided.

(b) EXTENSION OF AVAILABILITY.—In addition to the authority contained in subsection (a), the original period of availability of funds appropriated by this Act and administered by the Department of State or the United States Agency for International Development that are specifically designated for particular programs or activities by this or any other Act may be extended for an additional fiscal year if the Secretary of State or the USAID Administrator, as appropriate, determines and reports promptly to the Committees on Appropriations that the termination of assistance to a country or a significant change in circumstances makes it unlikely that such designated funds can be obligated during the original period of availability: *Provided*, That such designated funds that continue to be available for an additional fiscal year shall be obligated only for the purpose of such designation.

(c) OTHER ACTS.—Ceilings and specifically designated funding levels contained in this Act shall not be applicable to funds or authorities appropriated or otherwise made available by any subsequent Act unless such Act specifically so directs: *Provided*, That specifically designated funding levels or minimum funding requirements contained in any other Act shall not be applicable to funds appropriated by this Act.

NOTIFICATION REQUIREMENTS

SEC. 【7015】7011. (a) NOTIFICATION OF CHANGES IN PROGRAMS, PROJECTS, AND ACTIVITIES.—None of the funds made available in titles I and II of this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs to the departments and agencies funded by this Act that remain available for obligation in fiscal year 【2020】 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees or of currency reflows or other offsetting collections, or made available by transfer, to the departments and agencies funded by this Act, shall be available for obligation to—

- (1) create new programs;
- (2) 【suspend or】 eliminate a program, project, or activity;
- (3) close, 【suspend,】 open, or reopen a mission or post;
- (4) create, close, reorganize, 【downsize,】 or rename bureaus, centers, or offices; or
- (5) contract out or privatize any functions or activities presently performed by Federal employees;

unless 【previously justified to】 the Committees on Appropriations 【or such Committees】 are notified 15 days in advance of such obligation.

(b) NOTIFICATION OF REPROGRAMMING OF FUNDS.—None of the funds provided under titles I and II of this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, to the departments and agencies funded under titles I and II of this Act that remain available for obligation in fiscal year 【2020】 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the department and agency funded under title I of this Act, shall be available for obligation 【or expenditure】 for programs, projects, or activities through a reprogramming of funds in excess of 【\$1,000,000】 \$2,000,000 or 10 percent, whichever is less, that—

- (1) augments or changes existing programs, projects, or activities;
- 【(2) relocates an existing office or employees;】

【(3)】(2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or

【(4)】(3) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, projects, or activities as approved by Congress; unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(c) NOTIFICATION REQUIREMENT.—None of the funds made available by this Act under the headings "Global Health Programs", 【"Development Assistance", "International Organizations and Programs",】 "Trade and Development Agency", "International Narcotics Control and Law Enforcement", "Economic Support and Development Fund", 【"Democracy Fund", "Assistance for Europe, Eurasia and Central Asia",】 "Peacekeeping Operations", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Millennium Challenge Corporation", "Foreign Military Financing Program", "International Military Education and Training", "United States International Development Finance Corporation", and "Peace Corps", shall be available for obligation for programs, projects, activities, type of materiel assistance, countries, or other operations 【not justified or in excess of the amount justified to the Committees on Appropriations for obligation under any of these specific headings】 unless the Committees on Appropriations are notified 15 days in advance of such obligation: *Provided*, That the President shall not enter into any commitment of funds appropriated for the purposes of section 23 of the Arms Export Control Act for the provision of major defense equipment, other than conventional ammunition, or other major defense items defined to be aircraft, ships, missiles, or combat vehicles, 【not previously justified to Congress or 20 percent in excess of the quantities justified to Congress】 unless the Committees on Appropriations are notified 15 days in advance of such commitment: *Provided further*, That requirements of this subsection or any similar provision of this or any other Act shall not apply to any reprogramming for a program, project, or activity for which funds are appropriated under titles III through VI of this Act of less than 10 percent of the amount previously 【justified】 notified to Congress for obligation for such program, project, or activity for the current fiscal year: *Provided further*, That any notification submitted pursuant to subsection (f) of this section shall include information (if known on the date of transmittal of such notification) on the use of notwithstanding authority.】

【(d) DEPARTMENT OF DEFENSE PROGRAMS AND FUNDING NOTIFICATIONS.—

(1) PROGRAMS.—None of the funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available to support or continue any program initially funded under any authority of title 10, United States Code, or any Act making or authorizing appropriations for the Department of Defense, unless the Secretary of State, in consultation with the Secretary of Defense and in accordance with the regular notification procedures of the Committees on Appropriations, submits a justification to such Committees that includes a description of, and the estimated costs associated with, the support or continuation of such program.

(2) FUNDING.—Notwithstanding any other provision of law, funds transferred by the Department of Defense to the Department of State and the United States Agency for International Development for assistance for foreign countries and international organizations shall be subject to the regular notification procedures of the Committees on Appropriations.

(3) NOTIFICATION ON EXCESS DEFENSE ARTICLES.—Prior to providing excess Department of Defense articles in accordance with section 516(a) of the Foreign Assistance Act of 1961, the Department of Defense shall notify the Committees on Appropriations to the same extent and under the same conditions as other committees pursuant to subsection (f) of that section: *Provided*, That before issuing a letter of offer to sell excess defense articles under the Arms Export Control Act, the Department of Defense shall notify the Committees on Appropriations in accordance with the regular notification procedures of such Committees if such defense articles are significant military equipment (as defined in section 47(9) of the Arms Export Control Act) or are valued (in terms of original acquisition cost) at \$7,000,000 or more, or if notification is required elsewhere in this Act for the use of appropriated funds for specific countries that would receive such excess defense articles: *Provided further*, That such Committees shall also be informed of the original acquisition cost of such defense articles.】

【(e)】(d) WAIVER.—The requirements of this section or any similar provision of this Act or any other Act, including any prior Act requiring notification in accordance with the regular notification procedures of the Committees on Appropriations, may be waived if failure to do so would pose a substantial risk to human health or welfare: *Provided*, That in case of any such waiver, notification to the Committees on Appropriations shall be provided as early as practicable, but in no event later than 3 days after taking the action to which such notification requirement was applicable, in the context of the circumstances necessitating such waiver: *Provided further*, That any notification provided pursuant to such a waiver shall contain an explanation of the emergency circumstances.

[(f) COUNTRY NOTIFICATION REQUIREMENTS.—None of the funds appropriated under titles III through VI of this Act may be obligated or expended for assistance for Afghanistan, Bahrain, Burma, Cambodia, Colombia, Cuba, Egypt, El Salvador, Ethiopia, Guatemala, Haiti, Honduras, Iran, Iraq, Lebanon, Libya, Mexico, Nicaragua, Pakistan, Philippines, the Russian Federation, Somalia, South Sudan, Sri Lanka, Sudan, Syria, Uzbekistan, Venezuela, Yemen, and Zimbabwe except as provided through the regular notification procedures of the Committees on Appropriations.]

[(g) TRUST FUNDS.—Funds appropriated or otherwise made available in title III of this Act and prior Acts making funds available for the Department of State, foreign operations, and related programs that are made available for a trust fund held by an international financial institution shall be subject to the regular notification procedures of the Committees on Appropriations and such notification shall include the information specified under this section in House Report 116–78.]

[(h) OTHER PROGRAM NOTIFICATION REQUIREMENT.—

(1) DIPLOMATIC PROGRAMS.—Funds appropriated under title I of this Act under the heading "Diplomatic Programs" that are made available for lateral entry into the Foreign Service shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.

(2) OTHER PROGRAMS.—Funds appropriated by this Act that are made available for the following programs and activities shall be subject to the regular notification procedures of the Committees on Appropriations:

(A) the Global Engagement Center, except that the Secretary of State shall consult with the appropriate congressional committees prior to submitting such notification;

(B) the Power Africa initiative, or any successor program;

(C) community-based police assistance conducted pursuant to the authority of section 7035(a)(1) of this Act;

(D) the Relief and Recovery Fund and the Global Fragility Fund, if enacted into law;

(E) the Indo-Pacific Strategy and the Countering Chinese Influence Fund;

(F) the Global Security Contingency Fund;

(G) the Countering Russian Influence Fund;

(H) programs to end modern slavery; and

(I) the Women's Global Development and Prosperity Fund.]

[(i) WITHHOLDING OF FUNDS.—Funds appropriated by this Act under titles III and IV that are withheld from obligation or otherwise not programmed as a result of application of a provision of law in this or any other Act shall, if reprogrammed, be subject to the regular notification procedures of the Committees on Appropriations.]

[(j) FOREIGN ASSISTANCE REVIEW OR REALIGNMENT.—Programmatic, funding, and organizational changes resulting from implementation of any foreign assistance review or realignment shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations: *Provided*, That such notifications may be submitted in classified form, if necessary.]

DOCUMENT REQUESTS

SEC. [7016] 7012. (a) DOCUMENT REQUESTS.—None of the funds appropriated or made available pursuant to titles III through VI of this Act shall be available to a nongovernmental organization, including any contractor, which fails to provide upon timely request any document, file, or record necessary to the auditing requirements of the Department of State and the United States Agency for International Development.

[(b) RECORDS MANAGEMENT AND RELATED CYBERSECURITY PROTECTIONS.—The Secretary of State and USAID Administrator shall—

(1) regularly review and update the policies, directives, and oversight necessary to comply with Federal statutes, regulations, and presidential executive orders and memoranda concerning the preservation of all records made or received in the conduct of official business, including record emails, instant messaging, and other online tools;

(2) use funds appropriated by this Act under the headings "Diplomatic Programs" and "Capital Investment Fund" in title I, and "Operating Expenses" and "Capital Investment Fund" in title II, as appropriate, to improve Federal records management pursuant to the Federal Records Act (44 U.S.C. Chapters 21, 29, 31, and 33) and other applicable Federal records management statutes, regulations, or policies for the Department of State and USAID;

(3) direct departing employees, including senior officials, that all Federal records generated by such employees belong to the Federal Government;

(4) improve the response time for identifying and retrieving Federal records, including requests made pursuant to section 552 of title 5, United States Code (commonly known as the "Freedom of Information Act"); and

(5) strengthen cybersecurity measures to mitigate vulnerabilities, including those resulting from the use of personal email accounts or servers outside the

.gov domain, improve the process to identify and remove inactive user accounts, update and enforce guidance related to the control of national security information, and implement the recommendations of the applicable reports of the cognizant Office of Inspector General.]

[USE OF FUNDS IN CONTRAVENTION OF THIS ACT]

[SEC. 7017. If the President makes a determination not to comply with any provision of this Act on constitutional grounds, the head of the relevant Federal agency shall notify the Committees on Appropriations in writing within 5 days of such determination, the basis for such determination and any resulting changes to program or policy.]

PROHIBITION ON FUNDING FOR ABORTIONS AND INVOLUNTARY STERILIZATION

SEC. [7018] 7013. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for any biomedical research which relates in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be obligated or expended for any country or organization if the President certifies that the use of these funds by any such country or organization would violate any of the above provisions related to abortions and involuntary sterilizations.

[ALLOCATIONS AND REPORTS]

[SEC. 7019. (a) ALLOCATION TABLES.—Subject to subsection (b), funds appropriated by this Act under titles III through V shall be made available at not less than the amounts specifically designated in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That such designated amounts for foreign countries and international organizations shall serve as the amounts for such countries and international organizations transmitted to Congress in the report required by section 653(a) of the Foreign Assistance Act of 1961, and shall be made available for such foreign countries and international organizations notwithstanding the date of the transmission of such report.

(b) AUTHORIZED DEVIATIONS BELOW MINIMUM LEVELS.—Unless otherwise provided for by this Act, the Secretary of State and the Administrator of the United States Agency for International Development, as applicable, may deviate by not more than 10 percent below the minimum amounts specifically designated in the respective tables in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*, That deviations pursuant to this subsection shall be subject to prior consultation with the Committees on Appropriations.

(c) LIMITATION.—For specifically designated amounts that are included, pursuant to subsection (a), in the report required by section 653(a) of the Foreign Assistance Act of 1961, deviations authorized by subsection (b) may only take place after submission of such report.

(d) EXCEPTIONS.—

(1) Subsections (a) and (b) shall not apply to—

(A) amounts designated for "International Military Education and Training" in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act);

(B) funds for which the initial period of availability has expired; and

(C) amounts designated by this Act as minimum funding requirements.

(2) The authority in subsection (b) to deviate below amounts designated in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) shall not apply to the table included under the heading "Global Health Programs" in such statement.

(3) With respect to the amounts designated for "Global Programs" in the table under the heading "Economic Support Fund" included in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), subsection (b) shall be applied by substituting "5 percent" for "10 percent".

(e) REPORTS.—The Secretary of State, USAID Administrator, and other designated officials, as appropriate, shall submit the reports required, in the manner described, in House Report 116–78, Senate Report 116–126, and the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), unless directed otherwise in such explanatory statement.

(f) CLARIFICATION.—Funds appropriated by this Act and the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (divi-

sion F of Public Law 116–6) under the headings "International Disaster Assistance" and "Migration and Refugee Assistance" shall not be included for purposes of meeting amounts designated for countries in this Act or the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), or such prior Act or accompanying joint explanatory statement, unless such headings are specifically designated as the source of funds.]

【MULTI-YEAR PLEDGES】

【SEC. 7020. None of the funds appropriated by this Act may be used to make any pledge for future year funding for any multilateral or bilateral program funded in titles III through VI of this Act unless such pledge meets the requirements enumerated under this section in House Report 116–78.]

【PROHIBITION ON ASSISTANCE TO GOVERNMENTS SUPPORTING INTERNATIONAL TERRORISM】

【SEC. 7021. (a) LETHAL MILITARY EQUIPMENT EXPORTS.—

(1) **PROHIBITION.**—None of the funds appropriated or otherwise made available under titles III through VI of this Act may be made available to any foreign government which provides lethal military equipment to a country the government of which the Secretary of State has determined supports international terrorism for purposes of section 1754(c) of the Export Reform Control Act of 2018 (50 U.S.C. 4813(c)): *Provided*, That the prohibition under this section with respect to a foreign government shall terminate 12 months after that government ceases to provide such military equipment: *Provided further*, That this section applies with respect to lethal military equipment provided under a contract entered into after October 1, 1997.

(2) **DETERMINATION.**—Assistance restricted by paragraph (1) or any other similar provision of law, may be furnished if the President determines that to do so is important to the national interest of the United States.

(3) **REPORT.**—Whenever the President makes a determination pursuant to paragraph (2), the President shall submit to the Committees on Appropriations a report with respect to the furnishing of such assistance, including a detailed explanation of the assistance to be provided, the estimated dollar amount of such assistance, and an explanation of how the assistance furthers United States national interest.

(b) **BILATERAL ASSISTANCE.—**

(1) **LIMITATIONS.**—Funds appropriated for bilateral assistance in titles III through VI of this Act and funds appropriated under any such title in prior Acts making appropriations for the Department of State, foreign operations, and related programs, shall not be made available to any foreign government which the President determines—

(A) grants sanctuary from prosecution to any individual or group which has committed an act of international terrorism;

(B) otherwise supports international terrorism; or

(C) is controlled by an organization designated as a terrorist organization under section 219 of the Immigration and Nationality Act (8 U.S.C. 1189).

(2) **WAIVER.**—The President may waive the application of paragraph (1) to a government if the President determines that national security or humanitarian reasons justify such waiver: *Provided*, That the President shall publish each such waiver in the Federal Register and, at least 15 days before the waiver takes effect, shall notify the Committees on Appropriations of the waiver (including the justification for the waiver) in accordance with the regular notification procedures of the Committees on Appropriations.]

AUTHORIZATION REQUIREMENTS

SEC. 【7022】7014. Funds appropriated by this Act, except funds appropriated under the heading "Trade and Development Agency", may be obligated and expended notwithstanding section 10 of Public Law 91–672 (22 U.S.C. 2412), section 15 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2680), section 313 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995 (22 U.S.C. 6212), and section 504(a)(1) of the National Security Act of 1947 (50 U.S.C. 3094(a)(1)).

DEFINITION OF PROGRAM, PROJECT, AND ACTIVITY

SEC. 【7023】7015. For the purpose of titles II through VI of this Act "program, project, and activity" shall be defined at the appropriations Act account level and shall include all appropriations and authorizations Acts funding directives, ceilings, and limitations with the exception that for the "Economic Support and Development Fund", ["Assistance for Europe, Eurasia and Central Asia",] and "Foreign Military Financing Program" accounts, "program, project, and activity" shall also be considered to include country, regional, and central program level funding within each such account, and for the development assistance accounts of the United States Agency for International Development, "program, project, and activity" shall also be considered to include central, country, regional, and program level funding, [either] as—

【(1) justified to Congress; or]

【(2) allocated by the Executive Branch in accordance with the report required by section 653(a) of the Foreign Assistance Act of 1961] or as modified pursuant to section 7019 of this Act.]

AUTHORITIES FOR THE PEACE CORPS, INTER-AMERICAN FOUNDATION, AND UNITED STATES AFRICAN DEVELOPMENT FOUNDATION

SEC. 【7024】7016. Unless expressly provided to the contrary, provisions of this or any other Act, including provisions contained in prior Acts authorizing or making appropriations for the Department of State, foreign operations, and related programs, shall not be construed to prohibit activities authorized by or conducted under the Peace Corps Act, the Inter-American Foundation Act, or the African Development Foundation Act: *Provided*, That [prior to conducting activities in a country for which assistance is prohibited,] the agency shall [consult with the Committees on Appropriations and] report to [such] the Committees on Appropriations within 15 days of taking such action.

COMMERCE, TRADE AND SURPLUS COMMODITIES

SEC. 【7025】7017. (a) WORLD MARKETS.—None of the funds appropriated or made available pursuant to titles III through VI of this Act for direct assistance and none of the funds otherwise made available to the Export-Import Bank and the United States International Development Finance Corporation shall be obligated or expended to finance any loan, any assistance, or any other financial commitments for establishing or expanding production of any commodity for export by any country other than the United States, if the commodity is likely to be in surplus on world markets at the time the resulting productive capacity is expected to become operative and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity: *Provided*, That such prohibition shall not apply to the Export-Import Bank if in the judgment of its Board of Directors the benefits to industry and employment in the United States are likely to outweigh the injury to United States producers of the same, similar, or competing commodity, and the Chairman of the Board so notifies the Committees on Appropriations: *Provided further*, That this subsection shall not prohibit—

(1) activities in a country that is eligible for assistance from the International Development Association, is not eligible for assistance from the International Bank for Reconstruction and Development, and does not export on a consistent basis the agricultural commodity with respect to which assistance is furnished; or

(2) activities in a country the President determines is recovering from widespread conflict, a humanitarian crisis, or a complex emergency.

(b) **EXPORTS.**—None of the funds appropriated by this or any other Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961 shall be available for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference, or training in connection with the growth or production in a foreign country of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States: *Provided*, That this subsection shall not prohibit—

(1) activities designed to increase food security in developing countries where such activities will not have a significant impact on the export of agricultural commodities of the United States;

(2) research activities intended primarily to benefit United States producers;

(3) activities in a country that is eligible for assistance from the International Bank for Reconstruction and Development, and does not export on a consistent basis the agricultural commodity with respect to which assistance is furnished; or

(4) activities in a country the President determines is recovering from widespread conflict, a humanitarian crisis, or a complex emergency.

【(c) **INTERNATIONAL FINANCIAL INSTITUTIONS.**—The Secretary of the Treasury shall instruct the United States executive directors of the international financial institutions to use the voice and vote of the United States to oppose any assistance by such institutions, using funds appropriated or made available by this Act, for the production or extraction of any commodity or mineral for export, if it is in surplus on world markets and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity.]

【SEPARATE ACCOUNTS】

【SEC. 7026. (a) SEPARATE ACCOUNTS FOR LOCAL CURRENCIES.—

(1) **AGREEMENTS.**—If assistance is furnished to the government of a foreign country under chapters 1 and 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 under agreements which result in the generation of local currencies of that country, the Administrator of the United States Agency for International Development shall—

(A) require that local currencies be deposited in a separate account established by that government;

(B) enter into an agreement with that government which sets forth—

(i) the amount of the local currencies to be generated; and

(ii) the terms and conditions under which the currencies so deposited may be utilized, consistent with this section; and

(C) establish by agreement with that government the responsibilities of USAID and that government to monitor and account for deposits into and disbursements from the separate account.

(2) **USES OF LOCAL CURRENCIES.**—As may be agreed upon with the foreign government, local currencies deposited in a separate account pursuant to subsection (a), or an equivalent amount of local currencies, shall be used only—

(A) to carry out chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 (as the case may be), for such purposes as—

(i) project and sector assistance activities; or

(ii) debt and deficit financing; or

(B) for the administrative requirements of the United States Government.

(3) **PROGRAMMING ACCOUNTABILITY.**—USAID shall take all necessary steps to ensure that the equivalent of the local currencies disbursed pursuant to subsection (a)(2)(A) from the separate account established pursuant to subsection (a)(1) are used for the purposes agreed upon pursuant to subsection (a)(2).

(4) **TERMINATION OF ASSISTANCE PROGRAMS.**—Upon termination of assistance to a country under chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 (as the case may be), any unencumbered balances of funds which remain in a separate account established pursuant to subsection (a) shall be disposed of for such purposes as may be agreed to by the government of that country and the United States Government.

(b) **SEPARATE ACCOUNTS FOR CASH TRANSFERS.**—

(1) **IN GENERAL.**—If assistance is made available to the government of a foreign country, under chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961, as cash transfer assistance or as nonproject sector assistance, that country shall be required to maintain such funds in a separate account and not commingle with any other funds.

(2) **APPLICABILITY OF OTHER PROVISIONS OF LAW.**—Such funds may be obligated and expended notwithstanding provisions of law which are inconsistent with the nature of this assistance including provisions which are referenced in the Joint Explanatory Statement of the Committee of Conference accompanying House Joint Resolution 648 (House Report No. 98–1159).

(3) **NOTIFICATION.**—At least 15 days prior to obligating any such cash transfer or nonproject sector assistance, the President shall submit a notification through the regular notification procedures of the Committees on Appropriations, which shall include a detailed description of how the funds proposed to be made available will be used, with a discussion of the United States interests that will be served by such assistance (including, as appropriate, a description of the economic policy reforms that will be promoted by such assistance).

(4) **EXEMPTION.**—Nonproject sector assistance funds may be exempt from the requirements of paragraph (1) only through the regular notification procedures of the Committees on Appropriations.]

ELIGIBILITY FOR ASSISTANCE

SEC. [7027]7018. (a) **ASSISTANCE THROUGH NONGOVERNMENTAL ORGANIZATIONS.**—Restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance in support of programs of nongovernmental organizations from funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 [and from funds appropriated under the heading "Assistance for Europe, Eurasia and Central Asia": *Provided*, That before using the authority of this subsection to furnish assistance in support of programs of nongovernmental organizations, the President shall notify the Committees on Appropriations pursuant to the regular notification procedures, including a description of the program to be assisted, the assistance to be provided, and the reasons for furnishing such assistance]: *Provided further*, That nothing in this subsection shall be construed to alter any existing statutory prohibitions against abortion or involuntary sterilizations contained in this or any other Act.

(b) **PUBLIC LAW 480.**—During fiscal year [2020] 2021, restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance under the Food for Peace Act (Public Law 83–480; 7 U.S.C. 1721 et seq.): *Provided*, That none of the funds appropriated to carry out title I of such Act and made available pursuant to this subsection may be obligated or expended except as provided through the regular notification procedures of the Committees on Appropriations].

[(c) **EXCEPTION.**—This section shall not apply—

(1) with respect to section 620A of the Foreign Assistance Act of 1961 or any comparable provision of law prohibiting assistance to countries that support international terrorism; or

(2) with respect to section 116 of the Foreign Assistance Act of 1961 or any comparable provision of law prohibiting assistance to the government of a country that violates internationally recognized human rights.]

[LOCAL COMPETITION]

[SEC. 7028. (a) REQUIREMENTS FOR EXCEPTIONS TO COMPETITION FOR LOCAL ENTITIES.—Funds appropriated by this Act that are made available to the United States Agency for International Development may only be made available for limited competitions through local entities if—

(1) prior to the determination to limit competition to local entities, USAID has—

(A) assessed the level of local capacity to effectively implement, manage, and account for programs included in such competition; and

(B) documented the written results of the assessment and decisions made; and

(2) prior to making an award after limiting competition to local entities—

(A) each successful local entity has been determined to be responsible in accordance with USAID guidelines; and

(B) effective monitoring and evaluation systems are in place to ensure that award funding is used for its intended purposes; and

(3) no level of acceptable fraud is assumed.

(b) **EXTENSION OF PROCUREMENT AUTHORITY.**—Section 7077 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall continue in effect during fiscal year 2020.]

[INTERNATIONAL FINANCIAL INSTITUTIONS]

[SEC. 7029. (a) EVALUATIONS AND REPORT.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to use the voice of the United States to encourage such institution to adopt and implement a publicly available policy, including the strategic use of peer reviews and external experts, to conduct independent, in-depth evaluations of the effectiveness of at least 25 percent of all loans, grants, programs, and significant analytical non-lending activities in advancing the institution's goals of reducing poverty and promoting equitable economic growth, consistent with relevant safeguards, to ensure that decisions to support such loans, grants, programs, and activities are based on accurate data and objective analysis: *Provided*, That not later than 45 days after enactment of this Act, the Secretary shall submit a report to the Committees on Appropriations on steps taken in fiscal year 2019 by the United States executive directors and the international financial institutions consistent with this subsection compared to the previous fiscal year.

(b) **SAFEGUARDS.**—

(1) **STANDARD.**—The Secretary of the Treasury shall instruct the United States Executive Director of the International Bank for Reconstruction and Development and the International Development Association to use the voice and vote of the United States to oppose any loan, grant, policy, or strategy if such institution has adopted and is implementing any social or environmental safeguard relevant to such loan, grant, policy, or strategy that provides less protection than World Bank safeguards in effect on September 30, 2015.

(2) **ACCOUNTABILITY, STANDARDS, AND BEST PRACTICES.**—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to use the voice and vote of the United States to oppose loans or other financing for projects unless such projects—

(A) provide for accountability and transparency, including the collection, verification, and publication of beneficial ownership information related to extractive industries and on-site monitoring during the life of the project;

(B) will be developed and carried out in accordance with best practices regarding environmental conservation, cultural protection, and empowerment of local populations, including free, prior and informed consent of affected indigenous communities;

(C) do not provide incentives for, or facilitate, forced displacement; and

(D) do not partner with or otherwise involve enterprises owned or controlled by the armed forces.

(c) **COMPENSATION.**—None of the funds appropriated under title V of this Act may be made as payment to any international financial institution while the United States executive director to such institution is compensated by the institution at a rate which, together with whatever compensation such executive director receives from the United States, is in excess of the rate provided for an individual occupying a position at level IV of the Executive Schedule under section 5315 of title 5, United States Code, or while any alternate United States executive director to such institution is compensated by the institution at a rate in excess of the rate provided for an individual occupying a position at level V of the Executive Schedule under section 5316 of title 5, United States Code.

(d) **HUMAN RIGHTS.**—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to use the voice and vote of the United States to promote human rights due diligence and risk management, as appropriate, in connection with any loan, grant, policy, or strategy of such institution in accordance with the requirements specified under this subsection in Senate Report 116–126: *Provided*, That prior to voting on any such loan, grant, policy, or strategy the executive director shall consult with the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, if the executive director has reason to believe that such loan, grant, policy, or strategy could result in forced displacement or other violation of human rights.

(e) **FRAUD AND CORRUPTION.**—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to use the voice of the United States to include in loan, grant, and other financing agreements improvements in borrowing countries' financial management and judicial capacity to investigate, prosecute, and punish fraud and corruption.

(f) **BENEFICIAL OWNERSHIP INFORMATION.**—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to use the voice of the United States to encourage such institution to collect, verify, and publish, to the maximum extent practicable, beneficial ownership information (excluding proprietary information) for any corporation or limited liability company, other than a publicly listed company, that receives funds from any such financial institution: *Provided*, That not later than 45 days after enactment of this Act, the Secretary shall submit a report to the Committees on Appropriations on steps taken in fiscal year 2019 by the United States executive directors and the international financial institutions consistent with this subsection compared to the previous fiscal year.

(g) **WHISTLEBLOWER PROTECTIONS.**—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to use the voice of the United States to encourage each such institution to effectively implement and enforce policies and procedures which meet or exceed best practices in the United States for the protection of whistleblowers from retaliation, including—

- (1) protection against retaliation for internal and lawful public disclosure;
- (2) legal burdens of proof;
- (3) statutes of limitation for reporting retaliation;
- (4) access to binding independent adjudicative bodies, including shared cost and selection external arbitration; and
- (5) results that eliminate the effects of proven retaliation, including provision for the restoration of prior employment.]

[INSECURE COMMUNICATIONS NETWORKS]

SEC. 7030. Funds appropriated by this Act shall be made available for programs to—

- (1) advance the adoption of secure, next-generation communications networks and services, including 5G, and cybersecurity policies, in countries receiving assistance under this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs;
- (2) counter the establishment of insecure communications networks and services, including 5G, promoted by the People's Republic of China and other state-backed enterprises that are subject to undue or extrajudicial control by their country of origin; and
- (3) provide policy and technical training to information communication technology professionals in countries receiving assistance under this Act, as appropriate.]

FOREIGN ASSISTANCE TRANSPARENCY

SEC. [7031] 7019. [(a) **LIMITATION ON DIRECT GOVERNMENT-TO-GOVERNMENT ASSISTANCE.**—

(1) **REQUIREMENTS.**—Funds appropriated by this Act may be made available for direct government-to-government assistance only if the requirements included in section 7031(a)(1)(A) through (E) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6) are fully met.

(2) **CONSULTATION AND NOTIFICATION.**—In addition to the requirements in paragraph (1), funds may only be made available for direct government-to-government assistance subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations: *Provided*, That such notification shall contain an explanation of how the proposed activity meets the requirements of paragraph (1): *Provided further*, That the requirements of this paragraph shall only apply to direct government-to-government assistance in excess of \$10,000,000 and all funds available for cash transfer, budget support, and cash payments to individuals.

(3) **SUSPENSION OF ASSISTANCE.**—The Administrator of the United States Agency for International Development or the Secretary of State, as appropriate,

shall suspend any direct government-to-government assistance if the Administrator or the Secretary has credible information of material misuse of such assistance, unless the Administrator or the Secretary reports to the Committees on Appropriations that it is in the national interest of the United States to continue such assistance, including a justification, or that such misuse has been appropriately addressed.

(4) **SUBMISSION OF INFORMATION.**—The Secretary of State shall submit to the Committees on Appropriations, concurrent with the fiscal year 2021 congressional budget justification materials, amounts planned for assistance described in paragraph (1) by country, proposed funding amount, source of funds, and type of assistance.

(5) **DEBT SERVICE PAYMENT PROHIBITION.**—None of the funds made available by this Act may be used by the government of any foreign country for debt service payments owed by any country to any international financial institution.]

[(b) **NATIONAL BUDGET AND CONTRACT TRANSPARENCY.**—

(1) **MINIMUM REQUIREMENTS OF FISCAL TRANSPARENCY.**—The Secretary of State shall continue to update and strengthen the "minimum requirements of fiscal transparency" for each government receiving assistance appropriated by this Act, as identified in the report required by section 7031(b) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76).

(2) **DETERMINATION AND REPORT.**—For each government identified pursuant to paragraph (1), the Secretary of State, not later than 180 days after enactment of this Act, shall make or update any determination of "significant progress" or "no significant progress" in meeting the minimum requirements of fiscal transparency, and make such determinations publicly available in an annual "Fiscal Transparency Report" to be posted on the Department of State website: *Provided*, That such report shall include the elements included in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(3) **ASSISTANCE.**—Not less than \$5,000,000 of the funds appropriated by this Act under the heading "Economic Support Fund" shall be made available for programs and activities to assist governments identified pursuant to paragraph (1) to improve budget transparency and to support civil society organizations in such countries that promote budget transparency: *Provided*, That such sums shall be in addition to funds otherwise available for such purposes: *Provided further*, That a description of the uses of such funds shall be included in the annual "Fiscal Transparency Report" required by paragraph (2).]

[(c) **ANTI-KLEPTOCRACY AND HUMAN RIGHTS.**—

(1) **INELIGIBILITY.**—

(A) Officials of foreign governments and their immediate family members about whom the Secretary of State has credible information have been involved, directly or indirectly, in significant corruption, including corruption related to the extraction of natural resources, or a gross violation of human rights shall be ineligible for entry into the United States.

(B) The Secretary shall also publicly or privately designate or identify the officials of foreign governments and their immediate family members about whom the Secretary has such credible information without regard to whether the individual has applied for a visa.

(2) **EXCEPTION.**—Individuals shall not be ineligible for entry into the United States pursuant to paragraph (1) if such entry would further important United States law enforcement objectives or is necessary to permit the United States to fulfill its obligations under the United Nations Headquarters Agreement: *Provided*, That nothing in paragraph (1) shall be construed to derogate from United States Government obligations under applicable international agreements.

(3) **WAIVER.**—The Secretary may waive the application of paragraph (1) if the Secretary determines that the waiver would serve a compelling national interest or that the circumstances which caused the individual to be ineligible have changed sufficiently.

(4) **REPORT.**—Not later than 30 days after enactment of this Act, and every 90 days thereafter, the Secretary of State shall submit a report, including a classified annex if necessary, to the appropriate congressional committees and the Committees on the Judiciary describing the information related to corruption or violation of human rights concerning each of the individuals found ineligible in the previous 12 months pursuant to paragraph (1)(A) as well as the individuals who the Secretary designated or identified pursuant to paragraph (1)(B), or who would be ineligible but for the application of paragraph (2), a list of any waivers provided under paragraph (3), and the justification for each waiver.

(5) **POSTING OF REPORT.**—Any unclassified portion of the report required under paragraph (4) shall be posted on the Department of State website.

(6) CLARIFICATION.—For purposes of paragraphs (1), (4), and (5), the records of the Department of State and of diplomatic and consular offices of the United States pertaining to the issuance or refusal of visas or permits to enter the United States shall not be considered confidential.]

[(d) EXTRACTION OF NATURAL RESOURCES.—

(1) ASSISTANCE.—Funds appropriated by this Act shall be made available to promote and support transparency and accountability of expenditures and revenues related to the extraction of natural resources, including by strengthening implementation and monitoring of the Extractive Industries Transparency Initiative, implementing and enforcing section 8204 of the Food, Conservation, and Energy Act of 2008 (Public Law 110–246; 122 Stat. 2052) and the amendments made by such section, and to prevent the sale of conflict diamonds, and provide technical assistance to promote independent audit mechanisms and support civil society participation in natural resource management.

(2) PUBLIC DISCLOSURE AND INDEPENDENT AUDITS.—

(A) The Secretary of the Treasury shall instruct the executive director of each international financial institution that it is the policy of the United States to use the voice and vote of the United States to oppose any assistance by such institutions (including any loan, credit, grant, or guarantee) to any country for the extraction and export of a natural resource if the government of such country has in place laws, regulations, or procedures to prevent or limit the public disclosure of company payments as required by United States law, and unless such government has adopted laws, regulations, or procedures in the sector in which assistance is being considered to meet the standards included under this section in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).

(B) The requirements of subparagraph (A) shall not apply to assistance for the purpose of building the capacity of such government to meet the requirements of this subparagraph.]

[(e)] FOREIGN ASSISTANCE WEBSITE.—Funds appropriated by this Act, *including funds made available for any agency* [under titles I and II, and funds made available for any independent agency in title III], as appropriate, [shall] *may* be made available to support the provision of additional information on United States Government foreign assistance on the Department of State foreign assistance website: *Provided*, That all Federal agencies funded under this Act shall provide such information on foreign assistance, upon request and in a timely manner, to the Department of State: *Provided further*, That not later than 60 days after enactment of this Act, the Secretary of State and USAID Administrator shall report to the Committees on Appropriations on the process and timeline required to consolidate data from USAID's "Foreign Aid Explorer" and "ForeignAssistance.gov", in accordance with the requirements specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)].

DEMOCRACY PROGRAMS

SEC. [7032] 7020. **[(a) FUNDING.—**

(1) IN GENERAL.—Of the funds appropriated by this Act under the headings "Development Assistance", "Economic Support Fund", "Democracy Fund", "Assistance for Europe, Eurasia and Central Asia", and "International Narcotics Control and Law Enforcement", not less than \$2,400,000,000 shall be made available for democracy programs.

(2) PROGRAMS.—Of the funds made available for democracy programs under the headings "Economic Support Fund" and "Assistance for Europe, Eurasia and Central Asia" pursuant to paragraph (1), not less than \$102,040,000 shall be made available to the Bureau of Democracy, Human Rights, and Labor, Department of State, at not less than the amounts specified for certain countries and regional programs designated in the table under this section in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).]

[(b)] a) AUTHORITIES.—

(1) AVAILABILITY.—Funds made available by this Act for democracy programs [pursuant to subsection (a) and under the heading "National Endowment for Democracy"] may be made available notwithstanding any other provision of law, and with regard to the National Endowment for Democracy (NED), any regulation.

(2) BENEFICIARIES.—Funds made available by this Act for the NED are made available pursuant to the authority of the National Endowment for Democracy Act (title V of Public Law 98–164), including all decisions regarding the selection of beneficiaries.

[(c)] b) DEFINITION OF DEMOCRACY PROGRAMS.—For purposes of funds appropriated by this Act, the term "democracy programs" means programs that support good governance, credible and competitive elections, freedom of expression, association, assembly, and religion, human rights, labor rights, independent media,

and the rule of law, and that otherwise strengthen the capacity of democratic political parties, governments, nongovernmental organizations and institutions, and citizens to support the development of democratic states and institutions that are responsive and accountable to citizens.

[(d) PROGRAM PRIORITIZATION.—Funds made available pursuant to this section that are made available for programs to strengthen government institutions shall be prioritized for those institutions that demonstrate a commitment to democracy and the rule of law.]

[(e)] c) RESTRICTION ON PRIOR APPROVAL.—With respect to the provision of assistance for democracy programs in this Act, [the organizations implementing such assistance, the specific nature of that assistance, and the participants in such programs shall not be subject to the prior approval by the government of any foreign country: *Provided*, That the Secretary of State, in coordination with the Administrator of the United States Agency for International Development, shall report to the Committees on Appropriations, not later than 120 days after enactment of this Act, detailing steps taken by the Department of State and USAID to comply with the requirements of this subsection] *the Secretary of State should oppose, through appropriate means, efforts by foreign governments to dictate the nature of United States assistance for civil society, the selection of individuals or entities to implement such programs, or the selection of recipients or beneficiaries of those programs.*

[(f) CONTINUATION OF CURRENT PRACTICES.—The United States Agency for International Development shall continue to implement civil society and political competition and consensus building programs abroad with funds appropriated by this Act in a manner that recognizes the unique benefits of grants and cooperative agreements in implementing such programs.]

[(g) INFORMING THE NATIONAL ENDOWMENT FOR DEMOCRACY.—The Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, and the Assistant Administrator for Democracy, Conflict, and Humanitarian Assistance, USAID, shall regularly inform the National Endowment for Democracy of democracy programs that are planned and supported by funds made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.]

[(h) PROTECTION OF CIVIL SOCIETY ACTIVISTS AND JOURNALISTS.—Of the funds appropriated by this Act under the headings "Economic Support Fund" and "Democracy Fund", not less than \$20,000,000 shall be made available to support and protect civil society activists and journalists who have been threatened, harassed, or attacked, including journalists affiliated with the United States Agency for Global Media, consistent with the action plan submitted pursuant to, and on the same terms and conditions of, section 7032(i) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2018 (division K of Public Law 115–141).]

[(i) INTERNATIONAL FREEDOM OF EXPRESSION.—

(1) OPERATIONS.—Funds appropriated by this Act under the heading "Diplomatic Programs" shall be made available for the Bureau of Democracy, Human Rights, and Labor, Department of State, for the costs of administering programs designed to promote and defend freedom of expression and the independence of the media in countries where such freedom and independence are restricted or denied.

(2) ASSISTANCE.—Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$10,000,000 shall be made available for programs that promote and defend freedom of expression and the independence of the media abroad: *Provided*, That such funds are in addition to funds otherwise made available by this Act for such purposes, and are intended to complement emergency and safety programs for civil society, including journalists and media outlets at risk: *Provided further*, That such funds shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.]

INTERNATIONAL RELIGIOUS FREEDOM

SEC. [7033] 7021. **[(a) INTERNATIONAL RELIGIOUS FREEDOM OFFICE.—**Funds appropriated by this Act under the heading "Diplomatic Programs" shall be made available for the Office of International Religious Freedom, Department of State, including for support staff at not less than the amounts specified for such office in the table under such heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).]

[(b) ASSISTANCE.—Funds appropriated by this Act under the headings "Democracy Fund", "Economic Support Fund", and "International Broadcasting Operations" shall be made available for international religious freedom programs and funds appropriated by this Act under the headings "International Disaster Assistance" and "Migration and Refugee Assistance" shall be made available for humanitarian assistance for vulnerable and persecuted religious minorities: *Provided*, That funds made available by this Act under the headings "Economic

Support Fund" and "Democracy Fund" pursuant to this section shall be the responsibility of the Ambassador-at-Large for International Religious Freedom, in consultation with other relevant United States Government officials, and shall be subject to prior consultation with the Committees on Appropriations.】

【(c)】 AUTHORITY.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the heading "Economic Support and Development Fund" may be made available notwithstanding any other provision of law for assistance for ethnic and religious minorities in Iraq and Syria.

【(d)】 DESIGNATION OF NON-STATE ACTORS.—Section 7033(e) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017 (division J of Public Law 115–31) shall continue in effect during fiscal year 2020.】

SPECIAL PROVISIONS

SEC. 【7034】7022. (a) VICTIMS OF WAR, DISPLACED CHILDREN, AND DISPLACED BURMESE.—Funds appropriated in titles III and VI of this Act that are made available for assistance for Afghanistan, Burma, Iraq, Sudan, Lebanon, Pakistan, and for victims of war, displaced children, displaced Burmese, and to combat trafficking in persons and assist victims of such trafficking, may be made available notwithstanding any other provision of law.

【(b)】 FORENSIC ASSISTANCE.—

(1) Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$12,500,000 shall be made available for forensic anthropology assistance related to the exhumation and identification of victims of war crimes, crimes against humanity, and genocide, which shall be administered by the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State: *Provided*, That such funds shall be in addition to funds made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs for assistance for countries.

(2) Of the funds appropriated by this Act under the heading "International Narcotics Control and Law Enforcement", not less than \$8,000,000 shall be made available for DNA forensic technology programs to combat human trafficking in Central America and Mexico.】

【(c)】 ATROCITIES PREVENTION.—Of the funds appropriated by this Act under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement", not less than \$5,000,000 shall be made available for programs to prevent atrocities, including to implement recommendations of the Atrocities Prevention Board: *Provided*, That funds made available pursuant to this subsection are in addition to amounts otherwise made available for such purposes: *Provided further*, That such funds shall be subject to the regular notification procedures of the Committees on Appropriations.】

【(d)】(b) WORLD FOOD PROGRAMME.—Funds managed by the Bureau for [Democracy, Conflict, and] Humanitarian Assistance, United States Agency for International Development, from this or any other Act, may be made available as a general contribution to the World Food Programme, notwithstanding any other provision of law.

【(e)】(c) DIRECTIVES AND AUTHORITIES.—

(1) RESEARCH AND TRAINING.—Funds appropriated by this Act under the heading ["Assistance for Europe, Eurasia and Central Asia" shall] "*Economic Support and Development Fund*" may be made available to carry out the Program for Research and Training on Eastern Europe and the Independent States of the Former Soviet Union as authorized by the Soviet-Eastern European Research and Training Act of 1983 (22 U.S.C. 4501 et seq.).

(2) GENOCIDE VICTIMS MEMORIAL SITES.—Funds appropriated by this Act under the heading "*Economic Support and Development Fund*" and prior Acts under the headings "*Economic Support Fund*" and "*Assistance for Europe, Eurasia and Central Asia*" making appropriations for the Department of State, foreign operations, and related programs [under the headings "Economic Support Fund" and "Assistance for Europe, Eurasia and Central Asia"] may be made available as contributions to establish and maintain memorial sites of genocide, subject to the regular notification procedures of the Committees on Appropriations.

(3) PRIVATE SECTOR PARTNERSHIPS.—Of the funds appropriated by this Act under the [headings "Development Assistance" and] heading "*Economic Support and Development Fund*" that are made available for private sector partnerships, up to \$50,000,000 may remain available until September 30, 【2022】2023: *Provided*, That funds made available pursuant to this paragraph may only be made available following [prior consultation with the appropriate congressional committees, and] the regular notification procedures of the Committees on Appropriations.

(4) ADDITIONAL AUTHORITIES.—Of the amounts made available by title I of this Act under the heading "Diplomatic Programs", up to \$500,000 may be made available for grants and contracts pursuant to section 504 of the Foreign Relations

Authorization Act, Fiscal Year 1979 (22 U.S.C. 2656d), including to facilitate collaboration with indigenous communities, and up to \$1,000,000 may be made available for grants to carry out the activities of the Cultural Antiquities Task Force.

(5) INNOVATION.—The USAID Administrator may use funds appropriated by this Act under title III to make innovation incentive awards [in accordance with the terms and conditions of section 7034(e)(4) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6): *Provided*, That each individual award may not exceed \$100,000: *Provided further*, That no more than 15 such awards may be made during fiscal year 2020]: *Provided*, That for purposes of this paragraph the term "*innovation incentive award*" means the provision of funding on a competitive basis that: (A) encourages and rewards the development of solutions for a particular, well-defined problem related to the alleviation of poverty; or (B) helps identify and promote a broad range of ideas and practices facilitating further development of an idea or practice by third parties.

【(6)】 EXCHANGE VISITOR PROGRAM.—None of the funds made available by this Act may be used to modify the Exchange Visitor Program administered by the Department of State to implement the Mutual Educational and Cultural Exchange Act of 1961 (Public Law 87–256; 22 U.S.C. 2451 et seq.), except through the formal rulemaking process pursuant to the Administrative Procedure Act (5 U.S.C. 551 et seq.) and notwithstanding the exceptions to such rulemaking process in such Act: *Provided*, That funds made available for such purpose shall only be made available after consultation with, and subject to the regular notification procedures of, the Committees on Appropriations, regarding how any proposed modification would affect the public diplomacy goals of, and the estimated economic impact on, the United States: *Provided further*, That such consultation shall take place not later than 30 days prior to the publication in the Federal Register of any regulatory action modifying the Exchange Visitor Program.】

【(f)】(d) PARTNER VETTING.—Prior to initiating a partner vetting program, or making significant changes to the scope of an existing partner vetting program, the Secretary of State and USAID Administrator, as appropriate, shall consult with the Committees on Appropriations: *Provided*, That the Secretary and the Administrator shall provide a direct vetting option for prime awardees in any partner vetting program initiated or significantly modified after the date of enactment of this Act, unless the Secretary of State or USAID Administrator, as applicable, informs the Committees on Appropriations on a case-by-case basis that a direct vetting option is not feasible for such program] *Funds appropriated by this Act or in titles I through IV of prior Acts making appropriations for the Department of State, foreign operations, and related programs may be used by the Secretary of State and the USAID Administrator, as appropriate, to support the continued implementation of partner vetting.*

【(g)】(e) CONTINGENCIES.—During fiscal year 【2020】2021, the President may use up to 【\$125,000,000】\$200,000,000 under the authority of section 451 of the Foreign Assistance Act of 1961, notwithstanding any other provision of law.

【(h)】 INTERNATIONAL CHILD ABDUCTIONS.—The Secretary of State should withhold funds appropriated under title III of this Act for assistance for the central government of any country that is not taking appropriate steps to comply with the Convention on the Civil Aspects of International Child Abductions, done at the Hague on October 25, 1980: *Provided*, That the Secretary shall report to the Committees on Appropriations within 15 days of withholding funds under this subsection.】

【(i)】(f) TRANSFER OF FUNDS FOR EXTRAORDINARY PROTECTION.—The Secretary of State may transfer to, and merge with, funds under the heading "Protection of Foreign Missions and Officials" unobligated balances of expired funds appropriated under the heading "Diplomatic Programs" for fiscal year 【2020, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985,】2021 at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated: *Provided*, That not more than \$50,000,000 may be transferred.

【(j)】(g) AUTHORITY.—Funds made available by this Act under the heading "*Economic Support and Development Fund*" to counter extremism may be made available notwithstanding any other provision of law restricting assistance to foreign countries], except sections 502B, 620A, and 620M of the Foreign Assistance Act of 1961: *Provided*, That the use of the authority of this subsection shall be subject to prior consultation with the appropriate congressional committees and the regular notification procedures of the Committees on Appropriations.】

(h) REPORTS REPEALED. 22 U.S.C. 2593b; section 111(a) of Public Law 111–195; section 4 of Public Law 107–243; sections 51(a)(2) and 404(e) of Public Law 84–885; section 804(b) of Public Law 101–246; section 1012(c) of Public

Law 103–337; sections 549, 620(c), 655, and 656 of Public Law 87–195; section 8 and 11(b) of Public Law 107–245; section 181 of Public Law 102–138; section 527(f) of Public Law 103–236; section 12(a)–(b) of Public Law 108–19; section 702 of Public Law 107–228; section 570(d) of Public Law 104–208; section 5103(f) of Public Law 111–13; Section 4 of Public Law 79–264 (22 U.S.C. 287b(a)); section 106(b)(6)(C) of Public Law 114–26 (19 U.S.C. 4205(b)(6)(C)); sections 7031 and 7060(a)(1)(B) of division K of Public Law 115–141; and section 118(f) of the Foreign Assistance Act of 1961 (22 U.S.C. 2151p–1(f)) are hereby repealed. Section 136 of the Foreign Assistance Act of 1961 (22 U.S.C. 2152h) is amended in subsections (e)(1)(B)(ii) and (e)(2)(B)(ii) by striking "and revision, not less frequently than once every 5 years," and in subsection (j)(1) by striking ", October 1, 2022, and October 1, 2027,"; section 110(b)(l) of the Trafficking Victims Protection Act of 2000 (22 U.S.C. 7107(b)(l)) is amended by striking "June 1" and inserting "June 30".

[(k) PROTECTIONS AND REMEDIES FOR EMPLOYEES OF DIPLOMATIC MISSIONS AND INTERNATIONAL ORGANIZATIONS.]—The Secretary of State shall implement section 203(a)(2) of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008 (Public Law 110–457): *Provided*, That in addition to suspension on the basis of an unpaid default or final civil judgment directly or indirectly related to human trafficking against the employer or a family member assigned to an embassy, suspension on this basis should also apply to an employer or family member assigned to any diplomatic mission, or any international organization: *Provided further*, That the Secretary of State should assist in obtaining payment of final court judgments awarded to A-3 and G-5 visa holders, including encouraging the sending states to provide compensation directly to victims: *Provided further*, That the Secretary shall include in the Trafficking in Persons annual report a concise summary of each trafficking case involving an A-3 or G-5 visa holder that meets one or more of the following criteria: (1) a final court judgment (including a default judgment) issued against a current or former employee of such diplomatic mission or international organization; (2) the issuance of a T-visa to the victim; or (3) a request by the Department of State to the sending state that immunity of individual diplomats or family members be waived to permit criminal prosecution.]

[(l) i] EXTENSION OF AUTHORITIES.—

(1) **PASSPORT FEES.**—Section **[(1)(b)(2)](1)(b)** of the Passport Act of June 4, 1920 (22 U.S.C. **[(214)(b)(2))**) shall be applied by substituting "September 30, 2020" for "September 30, 2010" **[(214)(b))** is amended by striking paragraph (2) and re-designating paragraph (3) as paragraph (2).

(2) **INCENTIVES FOR CRITICAL POSTS.**—The authority contained in section 1115(d) of the Supplemental Appropriations Act, 2009 (Public Law 111–32) shall remain in effect through September 30, **[(2020)]2021**.

(3) **USAID CIVIL SERVICE ANNUITANT WAIVER.**—Section 625(j)(1) of the Foreign Assistance Act of 1961 (22 U.S.C. 2385(j)(1)) shall be applied by substituting "September 30, **[(2020)]2021**" for "October 1, 2010" in subparagraph (B).

(4) **OVERSEAS PAY COMPARABILITY AND LIMITATION.**—

(A) **[(Subject to the limitation described in subparagraph (B), the)]** *The* authority provided by section 1113 of the Supplemental Appropriations Act, 2009 (Public Law 111–32) shall remain in effect through September 30, **[(2020)]2021**.

[(B)] The authority described in subparagraph (A) may not be used to pay an eligible member of the Foreign Service (as defined in section 1113(b) of the Supplemental Appropriations Act, 2009 (Public Law 111–32)) a locality-based comparability payment (stated as a percentage) that exceeds two-thirds of the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such member under section 5304 of title 5, United States Code, if such member's official duty station were in the District of Columbia.]

(5) **CATEGORICAL ELIGIBILITY.**—The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101–167) is amended—

(A) in section 599D (8 U.S.C. 1157 note)—

(i) in subsection (b)(3), by striking "and **[(2019)]2020**" and inserting **[(2019)]"2020, and [(2020)]"2021"**; and

(ii) in subsection (e), by striking **[(2019)]2020** each place it appears and inserting **[(2020)]"2021"**; and

(B) in section 599E(b)(2) (8 U.S.C. 1255 note), by striking **[(2019)]"2020"** and inserting **[(2020)]"2021"**.

(6) **INSPECTOR GENERAL ANNUITANT WAIVER.**—The authorities provided in section 1015(b) of the Supplemental Appropriations Act, 2010 (Public Law 111–212) shall remain in effect through September 30, **[(2020)]2021**, and may be used to facilitate the assignment of persons for oversight of programs in Syria, South Sudan, Yemen, Somalia, and Venezuela.

(7) **ACCOUNTABILITY REVIEW BOARDS.**—The authority provided by section 301(a)(3) of the Omnibus Diplomatic Security and Antiterrorism Act of 1986 (22 U.S.C. 4831(a)(3)) shall **[(remain)]be** in effect for facilities in Afghanistan, Iraq, Pakistan, Somalia, Syria, Yemen, and Libya through September 30, **[(2020)]2021**, except that the notification and reporting requirements contained in such section shall include the Committees on Appropriations.

(8) **SPECIAL INSPECTOR GENERAL FOR AFGHANISTAN RECONSTRUCTION COMPETITIVE STATUS.**—Notwithstanding any other provision of law, any employee of the Special Inspector General for Afghanistan Reconstruction (SIGAR) who completes at least 12 months of continuous service after enactment of this Act or who is employed on the date on which SIGAR terminates, whichever occurs first, shall acquire competitive status for appointment to any position in the competitive service for which the employee possesses the required qualifications.

(9) **TRANSFER OF BALANCES.**—Section 7081(h) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017 (division J of Public Law 115–31) shall continue in effect during fiscal year **[(2020)]2021**.

(10) **DEPARTMENT OF STATE INSPECTOR GENERAL WAIVER AUTHORITY.**—The Inspector General of the Department of State may waive the provisions of subsections (a) through (d) of section 824 of the Foreign Service Act of 1980 (22 U.S.C. 4064) on a case-by-case basis for an annuitant reemployed by the Inspector General on a temporary basis, subject to the same constraints and in the same manner by which the Secretary of State may exercise such waiver authority pursuant to subsection (g) of such section.

[(11) AFGHAN ALLIES.]—Section 602(b)(3)(F) of the Afghan Allies Protection Act of 2009 (8 U.S.C. 1101 note) is amended—

(A) in the heading, striking "2015, 2016, AND 2017" and inserting "2015 THROUGH 2020";

(B) in the matter preceding clause (i), by striking "18,500" and inserting "22,500"; and

(C) in clauses (i) and (ii), by striking "December 31, 2020" and inserting "December 31, 2021".]

(11) **EXTENSION OF PERIOD FOR REIMBURSEMENT OF SEIZED COMMERCIAL FISHERMEN.**—Section 7(e) of the Fishermen's Protective Act of 1967 (22 U.S.C. 1977(e)) is amended by striking "2018" and inserting "2021".

(12) **CONFLICT STABILIZATION OPERATIONS.**—Section 618 of the Foreign Assistance Act of 1961 is amended by striking subsection (b).

[(m) MONITORING AND EVALUATION.]—Funds appropriated by this Act that are made available for monitoring and evaluation of assistance under the headings "Development Assistance", "International Disaster Assistance", and "Migration and Refugee Assistance" shall, as appropriate, be made available for the regular collection of feedback obtained directly from beneficiaries on the quality and relevance of such assistance: *Provided*, That the Department of State and USAID shall establish, and post on their respective websites, updated procedures for implementing partners that receive funds under such headings for regularly collecting and responding to such feedback, including guidelines for the reporting on actions taken in response to the feedback received: *Provided further*, That the Department of State and USAID shall regularly conduct oversight to ensure that such feedback is regularly collected and used by implementing partners to maximize the cost-effectiveness and utility of such assistance.]

[(n) j] HIV/AIDS WORKING CAPITAL FUND.—Funds available in the HIV/AIDS Working Capital Fund established pursuant to section 525(b)(1) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–447) may be made available for pharmaceuticals and other products for child survival, malaria, **[(and)]** tuberculosis, and emerging infectious diseases to the same extent as HIV/AIDS pharmaceuticals and other products, subject to the terms and conditions in such section: *Provided*, That the authority in section 525(b)(5) of the Foreign Operations, Export Financing, and Related Programs Appropriation Act, 2005 (Public Law 108–447) shall be exercised by the Assistant Administrator for Global Health, USAID, with respect to funds deposited for such non-HIV/AIDS pharmaceuticals and other products, and shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That the Secretary of State shall include in the congressional budget justification an accounting of budgetary resources, disbursements, balances, and reimbursements related to such fund.

[(o) k] LOANS, CONSULTATION, AND NOTIFICATION.—

(1) **LOAN GUARANTEES.**—Funds appropriated under the heading **[(s)] "Economic Support and Development Fund"** by this Act or under the heading "Economic Support Fund" **[(and)]** or "Assistance for Europe, Eurasia and Central Asia" **[(by this Act and)]** by prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available for the costs, as defined in section 502 of the Congressional Budget Act of 1974,

of loan guarantees [for Egypt, Jordan, Tunisia, and Ukraine,] which are authorized to be provided: *Provided*, That amounts made available under this paragraph for the costs of such guarantees shall not be considered assistance for the purposes of provisions of law limiting assistance to a country. *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$3,000,000,000.

[(2) DESIGNATION REQUIREMENT.—Funds made available pursuant to paragraph (1) from prior Acts making appropriations for the Department of State, foreign operations, and related programs that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act.]

[(3) CONSULTATION AND NOTIFICATION.—Funds made available pursuant to the authorities of this subsection shall be subject to prior consultation with the appropriate congressional committees and the regular notification procedures of the Committees on Appropriations.]

(2) FOREIGN MILITARY FINANCING DIRECT LOANS.— *During fiscal year 2021, direct loans under section 23 of the Arms Export Control Act may be made available for the North Atlantic Treaty Organization (NATO) and Major non-NATO Allies, notwithstanding section 23(c)(1) of the Arms Export Control Act, gross obligations for the principal amounts of which shall not exceed \$4,000,000,000: Provided, That funds appropriated under the heading "Foreign Military Financing Program" in this or any other appropriations Act for this fiscal year and prior fiscal years, except for funds designated as Overseas Contingency Operations/Global War on Terrorism pursuant to 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be made available for the costs, as defined in section 502 of the Congressional Budget Act of 1974, of such loans: Provided further, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974 and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States: Provided further, That the Government of the United States may charge fees for such loans, which shall be collected from borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That no funds made available to NATO or Major non-NATO Allies by this or any other appropriations Act for this fiscal year or prior fiscal years may be used for payment of any fees associated with such loans: Provided further, That such loans shall be repaid in not more than 12 years, including a grace period of up to one year on repayment of principal: Provided further, That, notwithstanding section 23(c)(1) of the Arms Export Control Act, interest for such loans may be charged at a rate determined by the Secretary of State, except that such rate may not be less than the prevailing interest rate on marketable Treasury securities of similar maturity: Provided further, That amounts made available under this paragraph for such costs shall not be considered assistance for the purposes of provisions of law limiting assistance to a country.*

(3) FOREIGN MILITARY FINANCING LOAN GUARANTEES.—*Funds appropriated under the heading "Foreign Military Financing Program" in this Act and prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs may be made available, notwithstanding the third proviso under such heading, for the costs of loan guarantees under section 24 of the Arms Export Control Act, which are authorized to be provided: Provided, That these funds are available to subsidize gross obligations for the principal amount of commercial loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$4,000,000,000: Provided further, That no loan guarantee with respect to any one borrower may exceed 80 percent of the loan principal: Provided further, That any loan guaranteed under this sub-paragraph may not be subordinated to another debt contracted by the borrower or to any other claims against the borrower in the case of default: Provided further, That repayment in United States dollars of any loan guaranteed under this sub-paragraph shall be required within a period not to exceed 12 years after the loan agreement is signed.*

(4) ENTERPRISE FUNDS.—*Funds appropriated under the heading "Economic Support and Development Fund" in this Act or "Economic Support Fund" in prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available to establish and operate one or more enterprise funds: Provided, That the first proviso under section 7041(b) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 11274) shall apply to funds appropriated by this Act under the heading "Economic Support and Development*

Fund" for an enterprise fund or funds to the same extent and in the same manner as such provision of law applied to funds made available under such section (except that the clause excluding subsection (d)(3) of section 201 of the SEED Act shall not apply): Provided further, That in addition to the previous proviso, the authorities in the matter preceding the first proviso of such section may apply to any such enterprise fund or funds: Provided further, That the authority of any such enterprise fund or funds to provide assistance shall cease to be effective on December 31, 2031: Provided further, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.

[(p) LOCAL WORKS.—

(1) FUNDING.—Of the funds appropriated by this Act under the headings "Development Assistance" and "Economic Support Fund", not less than \$50,000,000 shall be made available for Local Works pursuant to section 7080 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235), which may remain available until September 30, 2024.

(2) ELIGIBLE ENTITIES.—For the purposes of section 7080 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235), "eligible entities" shall be defined as small local, international, and United States-based nongovernmental organizations, educational institutions, and other small entities that have received less than a total of \$5,000,000 from USAID over the previous 5 fiscal years: *Provided*, That departments or centers of such educational institutions may be considered individually in determining such eligibility.]

[(q) WESTERN HEMISPHERE DRUG POLICY COMMISSION.—Up to \$499,000 of the funds appropriated under the heading "Western Hemisphere Drug Policy Commission, Salaries and Expenses" of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6) shall remain available for obligation until September 30, 2021, notwithstanding the period of availability under such heading.]

[(r) DEFINITIONS.—

(1) APPROPRIATE CONGRESSIONAL COMMITTEES.—Unless otherwise defined in this Act, for purposes of this Act the term "appropriate congressional committees" means the Committees on Appropriations and Foreign Relations of the Senate and the Committees on Appropriations and Foreign Affairs of the House of Representatives.

(2) FUNDS APPROPRIATED BY THIS ACT AND PRIOR ACTS.—Unless otherwise defined in this Act, for purposes of this Act the term "funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs" means funds that remain available for obligation, and have not expired.

(3) INTERNATIONAL FINANCIAL INSTITUTIONS.—In this Act "international financial institutions" means the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the International Monetary Fund, the International Fund for Agricultural Development, the Asian Development Fund, the Inter-American Investment Corporation, the North American Development Bank, the European Bank for Reconstruction and Development, the African Development Bank, the African Development Fund, and the Multilateral Investment Guarantee Agency.

(4) SOUTHERN KORDOFAN.—Any reference to Southern Kordofan in this or any other Act [making appropriations for the Department of State, foreign operations, and related programs] shall for this fiscal year, and each fiscal year thereafter, be deemed to include portions of Western Kordofan that were previously part of Southern Kordofan prior to the 2013 division of Southern Kordofan.

(5) USAID.—In this Act, the term "USAID" means the United States Agency for International Development.

[(6) SPEND PLAN.—In this Act, the term "spend plan" means a plan for the uses of funds appropriated for a particular entity, country, program, purpose, or account and which shall include, at a minimum, a description of—

- (A) realistic and sustainable goals, criteria for measuring progress, and a timeline for achieving such goals;
- (B) amounts and sources of funds by account;
- (C) how such funds will complement other ongoing or planned programs; and
- (D) implementing partners, to the maximum extent practicable.]

(17)6 SUCCESSOR OPERATING UNIT.—Any reference to a particular USAID operating unit or office in this or prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be deemed to include any predecessor or successor operating unit or office performing the same or similar functions.

LAW ENFORCEMENT AND SECURITY

SEC. **[7035]7023.** (a) ASSISTANCE.—

(1) **COMMUNITY-BASED POLICE ASSISTANCE.**—Funds made available under titles III and IV of this Act to carry out the provisions of chapter 1 of part I and chapters 4 and 6 of part II of the Foreign Assistance Act of 1961, may be used, notwithstanding section 660 of that Act, to enhance the effectiveness and accountability of civilian police authority through training and technical assistance in human rights, the rule of law, anti-corruption, strategic planning, and through assistance to foster civilian police roles that support democratic governance, including assistance for programs to prevent conflict, respond to disasters, address gender-based violence, and foster improved police relations with the communities they serve.

(2) **COUNTERTERRORISM PARTNERSHIPS FUND.**—Funds appropriated by this Act under the heading "Nonproliferation, Anti-terrorism, Demining and Related Programs" shall be made available for the Counterterrorism Partnerships Fund for programs in areas liberated from, under the influence of, or adversely affected by, the Islamic State of Iraq and Syria or other terrorist organizations: *Provided*, That such areas shall include the Kurdistan Region of Iraq: *Provided further*, That prior to the obligation of funds made available pursuant to this paragraph, the Secretary of State shall take all practicable steps to ensure that mechanisms are in place for monitoring, oversight, and control of such funds: *Provided further*, That funds made available pursuant to this paragraph shall be subject to prior consultation with the appropriate congressional committees, and the regular notification procedures of the Committees on Appropriations.]

(3) **COMBAT CASUALTY CARE.**—

(A) Consistent with the objectives of the Foreign Assistance Act of 1961 and the Arms Export Control Act, funds appropriated by this Act under the headings "Peacekeeping Operations" and "Foreign Military Financing Program" shall be made available for combat casualty training and equipment.

(B) The Secretary of State shall offer combat casualty care training and equipment as a component of any package of lethal assistance funded by this Act with funds appropriated under the headings "Peacekeeping Operations" and "Foreign Military Financing Program": *Provided*, That the requirement of this subparagraph shall apply to a country in conflict, unless the Secretary determines that such country has in place, to the maximum extent practicable, functioning combat casualty care treatment and equipment that meets or exceeds the standards recommended by the Committee on Tactical Combat Casualty Care: *Provided further*, That any such training and equipment for combat casualty care shall be made available through an open and competitive process.]

(4) **TRAINING RELATED TO INTERNATIONAL HUMANITARIAN LAW.**—The Secretary of State shall offer training related to the requirements of international humanitarian law as a component of any package of lethal assistance funded by this Act with funds appropriated under the headings "Peacekeeping Operations" and "Foreign Military Financing Program": *Provided*, That the requirement of this paragraph shall not apply to a country that is a member of the North Atlantic Treaty Organization (NATO), is a major non-NATO ally designated by section 517(b) of the Foreign Assistance Act of 1961, or is complying with international humanitarian law: *Provided further*, That any such training shall be made available through an open and competitive process.]

(5) **SECURITY FORCE PROFESSIONALIZATION.**—Funds appropriated by this Act under the headings "International Narcotics Control and Law Enforcement" and "Peacekeeping Operations" shall be made available to increase the capacity of foreign military and law enforcement personnel to operate in accordance with appropriate standards relating to human rights and the protection of civilians in the manner specified under this section in Senate Report 116–126, following consultation with the Committees on Appropriations: *Provided*, That funds made available pursuant to this paragraph shall be made available through an open and competitive process.]

(6) **2) GLOBAL SECURITY CONTINGENCY FUND.**—Notwithstanding any other provision of this Act, up to \$7,500,000 from funds appropriated by this Act under the headings "International Narcotics Control and Law Enforcement", "Peacekeeping Operations", and "Foreign Military Financing Program" may be transferred to, and merged with, funds previously made available under the heading "Global Security Contingency Fund"], subject to the regular notification procedures of the Committees on Appropriations.]

(7) **3) INTERNATIONAL PRISON CONDITIONS.**—Of the funds] *Funds* appropriated by this Act [under the headings "Development Assistance", "Economic

Support Fund", and "International Narcotics Control and Law Enforcement", not less than \$7,500,000 shall] *may* be made available for assistance to eliminate inhumane conditions in foreign prisons and other detention facilities, notwithstanding section 660 of the Foreign Assistance Act of 1961]: *Provided*, That the Secretary of State and the USAID Administrator shall consult with the Committees on Appropriations on the proposed uses of such funds prior to obligation and not later than 60 days after enactment of this Act: *Provided further*, That such funds shall be in addition to funds otherwise made available by this Act for such purpose.]

(4) *Funds appropriated under titles III and IV in this Act and prior acts making appropriations for the Department of State, foreign operations, and related programs that are available for such purposes may be made available, notwithstanding any other provision of law, for programs designed to enable a more prosperous and secure cyber space: Provided, That such funds appropriated under the headings "Economic Support Fund" or "Economic Support and Development Fund" may be used to support participation of military officials in programs designed to strengthen civilian cybersecurity capacity.*

(b) **AUTHORITIES.**—

(1) **RECONSTITUTING CIVILIAN POLICE AUTHORITY.**—In providing assistance with funds appropriated by this Act under section 660(b)(6) of the Foreign Assistance Act of 1961, support for a nation emerging from instability may be deemed to mean support for regional, district, municipal, or other sub-national entity emerging from instability, as well as a nation emerging from instability.

(2) **DISARMAMENT, DEMOBILIZATION, AND REINTEGRATION.**—Section 7034(d) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015 (division J of Public Law 113–235) shall continue in effect during fiscal year 2020.

(3) **EXTENSION OF WAR RESERVES STOCKPILE AUTHORITY.**—

(A) Section 12001(d) of the Department of Defense Appropriations Act, 2005 (Public Law 108–287; 118 Stat. 1011) is amended by striking "of this section" and all that follows through the period at the end] "2021" and inserting "of this section after September 30, 2021.] "2022".

(B) Section 514(b)(2)(A) of the Foreign Assistance Act of 1961 (22 U.S.C. 2321h(b)(2)(A)) is amended by striking "and [2020]2021" and inserting "[2020, and] "2021, and 2022".

(4) **COMMERCIAL LEASING OF DEFENSE ARTICLES.**—Notwithstanding any other provision of law, and subject to the regular notification procedures of the Committees on Appropriations, the authority of section 23(a) of the Arms Export Control Act (22 U.S.C. 2763) may be used to provide financing to Israel, Egypt, the North Atlantic Treaty Organization (NATO), and major non-NATO allies for the procurement by leasing (including leasing with an option to purchase) of defense articles from United States commercial suppliers, not including Major Defense Equipment (other than helicopters and other types of aircraft having possible civilian application), if the President determines that there are compelling foreign policy or national security reasons for those defense articles being provided by commercial lease rather than by government-to-government sale under such Act.

(5) **SPECIAL DEFENSE ACQUISITION FUND.**—Not to exceed \$900,000,000 may be obligated pursuant to section 51(c)(2) of the Arms Export Control Act (22 U.S.C. 2795(c)(2)) for the purposes of the Special Defense Acquisition Fund (the Fund), to remain available for obligation until September 30, 2022: *Provided*, That the provision of defense articles and defense services to foreign countries or international organizations from the Fund shall be subject to the concurrence of the Secretary of State.

(6) **PUBLIC DISCLOSURE.**—For the purposes of funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs that are made available for assistance for units of foreign security forces, the term "to the maximum extent practicable" in section 620M(d)(7) of the Foreign Assistance Act of 1961 (22 U.S.C. 2378d) means that the identity of such units shall be made publicly available unless the Secretary of State, on a case-by-case basis, determines and reports to the appropriate congressional committees that disclosure would endanger the safety of human sources or reveal sensitive intelligence sources and methods, or that non-disclosure is in the national security interest of the United States: *Provided*, That any such determination shall include a detailed justification, and may be submitted in classified form.]

(7) **DUTY TO INFORM.**—If assistance to a foreign security force is provided in a manner in which the recipient unit or units cannot be identified prior to the transfer of assistance, the Secretary of State shall provide a list of units prohibited from receiving such assistance pursuant to section 620M of the Foreign Assistance Act of 1961 to the recipient government.]

(c) **LIMITATIONS.**—

[(1) CHILD SOLDIERS.—Funds appropriated by this Act should not be used to support any military training or operations that include child soldiers.]

[(2) LANDMINES AND CLUSTER MUNITIONS.—]

(A) LANDMINES.—Notwithstanding any other provision of law, demining equipment available to the United States Agency for International Development and the Department of State and used in support of the clearance of landmines and unexploded ordnance for humanitarian purposes may be disposed of on a grant basis in foreign countries, subject to such terms and conditions as the Secretary of State may prescribe.

[(B) CLUSTER MUNITIONS.—No military assistance shall be furnished for cluster munitions, no defense export license for cluster munitions may be issued, and no cluster munitions or cluster munitions technology shall be sold or transferred, unless—

(i) the submunitions of the cluster munitions, after arming, do not result in more than 1 percent unexploded ordnance across the range of intended operational environments, and the agreement applicable to the assistance, transfer, or sale of such cluster munitions or cluster munitions technology specifies that the cluster munitions will only be used against clearly defined military targets and will not be used where civilians are known to be present or in areas normally inhabited by civilians; or

(ii) such assistance, license, sale, or transfer is for the purpose of demilitarizing or permanently disposing of such cluster munitions.]

[(3) CROWD CONTROL ITEMS.—Funds appropriated by this Act should not be used for tear gas, small arms, light weapons, ammunition, or other items for crowd control purposes for foreign security forces that use excessive force to repress peaceful expression, association, or assembly in countries that the Secretary of State determines are undemocratic or are undergoing democratic transitions.]

[(d) REPORTS.—

(1) SECURITY ASSISTANCE REPORT.—Not later than 120 days after enactment of this Act, the Secretary of State shall submit to the Committees on Appropriations a report on funds obligated and expended during fiscal year 2019, by country and purpose of assistance, under the headings "Peacekeeping Operations", "International Military Education and Training", and "Foreign Military Financing Program".

(2) ANNUAL FOREIGN MILITARY TRAINING REPORT.—For the purposes of implementing section 656 of the Foreign Assistance Act of 1961, the term "military training provided to foreign military personnel by the Department of Defense and the Department of State" shall be deemed to include all military training provided by foreign governments with funds appropriated to the Department of Defense or the Department of State, except for training provided by the government of a country designated by section 517(b) of such Act (22 U.S.C. 2321k(b)) as a major non-North Atlantic Treaty Organization ally.]

(d) *Section 503(a)(3) of Public Law 87–195 (22 U.S.C. 2311(a)(3)) is amended after "the Coast Guard" by inserting "and the reserve components of the Army, Navy, Air Force, or Marines Corps who are ordered to active duty pursuant to chapter 1209 of title 10, United States Code, and at the request of the Secretary of State".*

(e) *IMET Ineligibility. — Section 546(b) of the Foreign Assistance Act of 1961 (22 U.S.C. 2347e(b)) is amended by striking "and Spain" and inserting "Spain, Saudi Arabia, United Arab Emirates, and Qatar".*

ARAB LEAGUE BOYCOTT OF ISRAEL

SEC. [7036] 7024. It is the sense of the Congress that—

(1) the Arab League boycott of Israel, and the secondary boycott of American firms that have commercial ties with Israel, is an impediment to peace in the region and to United States investment and trade in the Middle East and North Africa;

(2) the Arab League boycott, which was regrettably reinstated in 1997, should be immediately and publicly terminated, and the Central Office for the Boycott of Israel immediately disbanded;

(3) all Arab League states should normalize relations with their neighbor Israel;

(4) the President and the Secretary of State should continue to vigorously oppose the Arab League boycott of Israel and find concrete steps to demonstrate that opposition by, for example, taking into consideration the participation of any recipient country in the boycott when determining to sell weapons to said country; and

(5) the President should report to Congress annually on specific steps being taken by the United States to encourage Arab League states to normalize their relations with Israel to bring about the termination of the Arab League boycott of Israel, including those to encourage allies and trading partners of the United States to enact laws prohibiting businesses from complying with the boycott and penalizing businesses that do comply.

【PALESTINIAN STATEHOOD】

【SEC. 7037. (a) LIMITATION ON ASSISTANCE.—None of the funds appropriated under titles III through VI of this Act may be provided to support a Palestinian state unless the Secretary of State determines and certifies to the appropriate congressional committees that—

(1) the governing entity of a new Palestinian state—

(A) has demonstrated a firm commitment to peaceful co-existence with the State of Israel; and

(B) is taking appropriate measures to counter terrorism and terrorist financing in the West Bank and Gaza, including the dismantling of terrorist infrastructures, and is cooperating with appropriate Israeli and other appropriate security organizations; and

(2) the Palestinian Authority (or the governing entity of a new Palestinian state) is working with other countries in the region to vigorously pursue efforts to establish a just, lasting, and comprehensive peace in the Middle East that will enable Israel and an independent Palestinian state to exist within the context of full and normal relationships, which should include—

(A) termination of all claims or states of belligerency;

(B) respect for and acknowledgment of the sovereignty, territorial integrity, and political independence of every state in the area through measures including the establishment of demilitarized zones;

(C) their right to live in peace within secure and recognized boundaries free from threats or acts of force;

(D) freedom of navigation through international waterways in the area; and

(E) a framework for achieving a just settlement of the refugee problem.

(b) SENSE OF CONGRESS.—It is the sense of Congress that the governing entity should enact a constitution assuring the rule of law, an independent judiciary, and respect for human rights for its citizens, and should enact other laws and regulations assuring transparent and accountable governance.

(c) WAIVER.—The President may waive subsection (a) if the President determines that it is important to the national security interest of the United States to do so.

(d) EXEMPTION.—The restriction in subsection (a) shall not apply to assistance intended to help reform the Palestinian Authority and affiliated institutions, or the governing entity, in order to help meet the requirements of subsection (a), consistent with the provisions of section 7040 of this Act ("Limitation on Assistance for the Palestinian Authority").】

【PROHIBITION ON ASSISTANCE TO THE PALESTINIAN BROADCASTING CORPORATION】

【SEC. 7038. None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.】

【ASSISTANCE FOR THE WEST BANK AND GAZA】

【SEC. 7039. (a) OVERSIGHT.—For fiscal year 2020, 30 days prior to the initial obligation of funds for the bilateral West Bank and Gaza Program, the Secretary of State shall certify to the Committees on Appropriations that procedures have been established to assure the Comptroller General of the United States will have access to appropriate United States financial information in order to review the uses of United States assistance for the Program funded under the heading "Economic Support Fund" for the West Bank and Gaza.

(b) VETTING.—Prior to the obligation of funds appropriated by this Act under the heading "Economic Support Fund" for assistance for the West Bank and Gaza, the Secretary of State shall take all appropriate steps to ensure that such assistance is not provided to or through any individual, private or government entity, or educational institution that the Secretary knows or has reason to believe advocates, plans, sponsors, engages in, or has engaged in, terrorist activity nor, with respect to private entities or educational institutions, those that have as a principal officer of the entity's governing board or governing board of trustees any individual that has been determined to be involved in, or advocating terrorist activity or determined to be a member of a designated foreign terrorist organization: *Provided*, That the Secretary of State shall, as appropriate, establish procedures specifying the steps to be taken in carrying out this subsection and shall terminate assistance to any individual, entity, or educational institution which the Secretary has determined to be involved in or advocating terrorist activity.

(c) PROHIBITION.—

(1) RECOGNITION OF ACTS OF TERRORISM.—None of the funds appropriated under titles III through VI of this Act for assistance under the West Bank and Gaza Program may be made available for—

(A) the purpose of recognizing or otherwise honoring individuals who commit, or have committed acts of terrorism; and

(B) any educational institution located in the West Bank or Gaza that is named after an individual who the Secretary of State determines has committed an act of terrorism.

(2) **SECURITY ASSISTANCE AND REPORTING REQUIREMENT.**—Notwithstanding any other provision of law, none of the funds made available by this or prior appropriations Acts, including funds made available by transfer, may be made available for obligation for security assistance for the West Bank and Gaza until the Secretary of State reports to the Committees on Appropriations on the benchmarks that have been established for security assistance for the West Bank and Gaza and reports on the extent of Palestinian compliance with such benchmarks.

(d) **OVERSIGHT BY THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT.**—

(1) The Administrator of the United States Agency for International Development shall ensure that Federal or non-Federal audits of all contractors and grantees, and significant subcontractors and sub-grantees, under the West Bank and Gaza Program, are conducted at least on an annual basis to ensure, among other things, compliance with this section.

(2) Of the funds appropriated by this Act, up to \$1,000,000 may be used by the Office of Inspector General of the United States Agency for International Development for audits, investigations, and other activities in furtherance of the requirements of this subsection: *Provided*, That such funds are in addition to funds otherwise available for such purposes.

(e) **COMPTROLLER GENERAL OF THE UNITED STATES AUDIT.**—Subsequent to the certification specified in subsection (a), the Comptroller General of the United States shall conduct an audit and an investigation of the treatment, handling, and uses of all funds for the bilateral West Bank and Gaza Program, including all funds provided as cash transfer assistance, in fiscal year 2020 under the heading "Economic Support Fund", and such audit shall address—

(1) the extent to which such Program complies with the requirements of subsections (b) and (c); and

(2) an examination of all programs, projects, and activities carried out under such Program, including both obligations and expenditures.

(f) **NOTIFICATION PROCEDURES.**—Funds made available in this Act for West Bank and Gaza shall be subject to the regular notification procedures of the Committees on Appropriations.]

LIMITATION ON ASSISTANCE FOR THE PALESTINIAN AUTHORITY

SEC. [7040] 7025. (a) **PROHIBITION OF FUNDS.**—None of the funds appropriated by this Act to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961 may be obligated or expended with respect to providing funds to the Palestinian Authority.

(b) **WAIVER.**—The prohibition included in subsection (a) shall not apply if the President certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that waiving such prohibition is important to the national security interest of the United States.

(c) **PERIOD OF APPLICATION OF WAIVER.**—Any waiver pursuant to subsection (b) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

(d) **REPORT.**—Whenever the waiver authority pursuant to subsection (b) is exercised, the President shall submit a report to the Committees on Appropriations detailing the justification for the waiver, the purposes for which the funds will be spent, and the accounting procedures in place to ensure that the funds are properly disbursed: *Provided*, That the report shall also detail the steps the Palestinian Authority has taken to arrest terrorists, confiscate weapons and dismantle the terrorist infrastructure.

(e) **CERTIFICATION.**—If the President exercises the waiver authority under subsection (b), the Secretary of State must certify and report to the Committees on Appropriations prior to the obligation of funds that the Palestinian Authority has established a single treasury account for all Palestinian Authority financing and all financing mechanisms flow through this account, no parallel financing mechanisms exist outside of the Palestinian Authority treasury account, and there is a single comprehensive civil service roster and payroll, and the Palestinian Authority is acting to counter incitement of violence against Israelis and is supporting activities aimed at promoting peace, coexistence, and security cooperation with Israel.

(f) **PROHIBITION TO HAMAS AND THE PALESTINE LIBERATION ORGANIZATION.**—

(1) None of the funds appropriated in titles III through VI of this Act may be obligated for salaries of personnel of the Palestinian Authority located in Gaza or may be obligated or expended for assistance to Hamas or any entity effectively controlled by Hamas, any power-sharing government of which Hamas is a member, or that results from an agreement with Hamas and over which Hamas exercises undue influence.

(2) Notwithstanding the limitation of paragraph (1), assistance may be provided to a power-sharing government only if the President certifies and reports to the Committees on Appropriations that such government, including all of its ministers or such equivalent, has publicly accepted and is complying with the principles contained in section 620K(b)(1) (A) and (B) of the Foreign Assistance Act of 1961, as amended.

(3) The President may exercise the authority in section 620K(e) of the Foreign Assistance Act of 1961, as added by the Palestinian Anti-Terrorism Act of 2006 (Public Law 109-446) with respect to this subsection.

(4) Whenever the certification pursuant to paragraph (2) is exercised, the Secretary of State shall submit a report to the Committees on Appropriations within 120 days of the certification and every quarter thereafter on whether such government, including all of its ministers or such equivalent are continuing to comply with the principles contained in section 620K(b)(1) (A) and (B) of the Foreign Assistance Act of 1961, as amended: *Provided*, That the report shall also detail the amount, purposes and delivery mechanisms for any assistance provided pursuant to the abovementioned certification and a full accounting of any direct support of such government.

(5) None of the funds appropriated under titles III through VI of this Act may be obligated for assistance for the Palestine Liberation Organization.

MIDDLE EAST AND NORTH AFRICA

SEC. [7041] 7026. (a) **EGYPT.**—

(1) **CERTIFICATION AND REPORT.**—Funds appropriated by this Act that are available for assistance for Egypt may be made available notwithstanding any other provision of law restricting assistance for Egypt, except for this subsection and section 620M of the Foreign Assistance Act of 1961, and may only be made available for assistance for the Government of Egypt if the Secretary of State certifies and reports to the Committees on Appropriations that such government is—

(A) sustaining the strategic relationship with the United States; and

(B) meeting its obligations under the 1979 Egypt-Israel Peace Treaty.

[(2) **ECONOMIC SUPPORT FUND.**—Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$125,000,000 shall be made available for assistance for Egypt, of which not less than \$40,000,000 should be made available for higher education programs, including not less than \$15,000,000 for scholarships for Egyptian students with high financial need to attend not-for-profit institutions of higher education in Egypt that are currently accredited by a regional accrediting agency recognized by the United States Department of Education, or meets standards equivalent to those required for United States institutional accreditation by a regional accrediting agency recognized by such Department: *Provided*, That such funds shall be made available for democracy programs, and for development programs in the Sinai: *Provided further*, That such funds may not be made available for cash transfer assistance or budget support unless the Secretary of State certifies and reports to the appropriate congressional committees that the Government of Egypt is taking consistent and effective steps to stabilize the economy and implement market-based economic reforms.]

[(3) (2) **FOREIGN MILITARY FINANCING PROGRAM.**—

(A) Of the funds appropriated by this Act under the heading "Foreign Military Financing Program", \$1,300,000,000, to remain available until September 30, [2021, should] 2022, may be made available for assistance for Egypt: *Provided*, That such funds may be transferred to an interest bearing account in the Federal Reserve Bank of New York, following consultation with the Committees on Appropriations [, and the uses of any interest earned on such funds shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That \$300,000,000 of such funds shall be withheld from obligation until the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Egypt is taking sustained and effective steps to—]

[(i) strengthen the rule of law, democratic institutions, and human rights in Egypt, including to protect religious minorities and the rights of women, which are in addition to steps taken during the previous calendar year for such purposes;]

[(ii) implement reforms that protect freedoms of expression, association, and peaceful assembly, including the ability of civil society organizations, human rights defenders, and the media to function without interference;]

[(iii) release political prisoners and provide detainees with due process of law;]

[(iv) hold Egyptian security forces accountable, including officers credibly alleged to have violated human rights;]

[(v) investigate and prosecute cases of extrajudicial killings and forced disappearances; and]

[(vi) provide regular access for United States officials to monitor such assistance in areas where the assistance is used:]

[Provided further, That the certification requirement of this paragraph shall not apply to funds appropriated by this Act under such heading for counterterrorism, border security, and nonproliferation programs for Egypt.]

[(B) The Secretary of State may waive the certification requirement in subparagraph (A) if the Secretary determines and reports to the Committees on Appropriations that to do so is important to the national security interest of the United States, and submits a report to such Committees containing a detailed justification for the use of such waiver and the reasons why any of the requirements of subparagraph (A) cannot be met: *Provided,* That the report required by this paragraph shall be submitted in unclassified form, but may be accompanied by a classified annex.]

[(4) REPORT.—Not later than 30 days after enactment of this Act, and every 60 days thereafter, the Secretary of State shall submit a report to the appropriate congressional committees describing and assessing the actions taken by the Government of Egypt during the previous 60 days to fairly compensate April Corley for injuries and losses sustained as a result of the attack on her tour group by the Egyptian military on September 13, 2015, and progress in resolving her case: *Provided,* That if the Secretary reports that no progress has been made in the previous 60 days, the report shall include the reasons for the lack of progress.]

[(b) IRAN.—

(1) **FUNDING.—**Funds appropriated by this Act under the headings "Diplomatic Programs", "Economic Support Fund", and "Nonproliferation, Anti-terrorism, Demining and Related Programs" shall be made available for the programs and activities described under this section in House Report 116–78.

(2) **REPORTS.—**

(A) **SEMI-ANNUAL REPORT.—**The Secretary of State shall submit to the Committees on Appropriations the semi-annual report required by section 135(d)(4) of the Atomic Energy Act of 1954 (42 U.S.C. 2160e(d)(4)), as added by section 2 of the Iran Nuclear Agreement Review Act of 2015 (Public Law 114–17).

(B) **SANCTIONS REPORT.—**Not later than 180 days after the date of enactment of this Act, the Secretary of State, in consultation with the Secretary of the Treasury, shall submit to the appropriate congressional committees a report on—

- (i) the status of United States bilateral sanctions on Iran;
- (ii) the reimposition and renewed enforcement of secondary sanctions; and
- (iii) the impact such sanctions have had on Iran's destabilizing activities throughout the Middle East.]

[(c) b) IRAQ.—

(1) **PURPOSES.—**Funds appropriated under titles III and IV of this Act [shall] *may* be made available for assistance for Iraq [for—] *notwithstanding any other provision of law.*

[(A) bilateral economic assistance and international security assistance, including in the Kurdistan Region of Iraq and for the Marla Ruzicka Iraqi War Victims Fund;]

[(B) stabilization assistance, including in Anbar Province;]

[(C) humanitarian assistance, including in the Kurdistan Region of Iraq; and

[(D) programs to protect and assist religious and ethnic minority populations in Iraq, including as described under this section in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).]

[(2) UNITED STATES CONSULATE GENERAL BASRAH.—Any change in the status of operations at United States Consulate General Basrah, including the return of Consulate property located adjacent to the Basrah International Airport to the Government of Iraq, shall be subject to prior consultation with the appropriate congressional committees and the regular notification procedures of the Committees on Appropriations.]

[(3) BASING RIGHTS AGREEMENT.—None of the funds appropriated or otherwise made available by this Act may be used by the Government of the United States to enter into a permanent basing rights agreement between the United States and Iraq.]

[(d) JORDAN.—

(1) **ASSISTANCE APPROPRIATED BY THIS ACT.—**Of the funds appropriated by this Act under titles III and IV, not less than \$1,525,000,000 shall be made available for assistance for Jordan, of which: not less than \$1,082,400,000 shall be made available under the heading "Economic Support Fund", of which not less than \$745,100,000 shall be made available for budget support for the Government of Jordan; and not less than \$425,000,000 shall be made available under the heading "Foreign Military Financing Program".

(2) **ASSISTANCE APPROPRIATED BY PRIOR ACTS.—**Of the funds appropriated under the heading "Economic Support Fund" in prior Acts making appropriations for the Department of State, foreign operations, and related programs, not less than \$125,000,000 shall be made available for assistance for Jordan, of which \$100,000,000 shall be made available for budget support for the Government of Jordan and \$25,000,000 shall be made available for programs to increase electricity transmission to neighboring countries, including Iraq: *Provided,* That such funds are in addition to amounts otherwise made available for such purposes.]

[(e) c) LEBANON.—

(1) **ASSISTANCE.—**Funds appropriated under titles III and IV of this Act [shall] *may* be made available for assistance for Lebanon [; *Provided,* That such funds made available under the heading "Economic Support Fund" may be made available notwithstanding section 1224 of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107–228; 22 U.S.C. 2346 note)] *notwithstanding any other provision of law.*

[(2) SECURITY ASSISTANCE.—

(A) Funds appropriated by this Act under the headings "International Narcotics Control and Law Enforcement" and "Foreign Military Financing Program" that are made available for assistance for Lebanon may be made available for programs and equipment for the Lebanese Internal Security Forces (ISF) and the Lebanese Armed Forces (LAF) to address security and stability requirements in areas affected by conflict in Syria, following consultation with the appropriate congressional committees.

(B) Funds appropriated by this Act under the heading "Foreign Military Financing Program" that are made available for assistance for Lebanon may only be made available for programs to—

- (i) professionalize the LAF to mitigate internal and external threats from non-state actors, including Hizballah;
- (ii) strengthen border security and combat terrorism, including training and equipping the LAF to secure the borders of Lebanon and address security and stability requirements in areas affected by conflict in Syria, interdicting arms shipments, and preventing the use of Lebanon as a safe haven for terrorist groups; and
- (iii) implement United Nations Security Council Resolution 1701:

Provided, That prior to obligating funds made available by this subparagraph for assistance for the LAF, the Secretary of State shall submit to the Committees on Appropriations a spend plan, including actions to be taken to ensure equipment provided to the LAF is used only for the intended purposes, except such plan may not be considered as meeting the notification requirements under section 7015 of this Act or under section 634A of the Foreign Assistance Act of 1961, and shall be submitted not later than September 1, 2020: *Provided further,* That any notification submitted pursuant to such section shall include any funds specifically intended for lethal military equipment.]

[(3) LIMITATION.—None of the funds appropriated by this Act may be made available for the ISF or the LAF if the ISF or the LAF is controlled by a foreign terrorist organization, as designated pursuant to section 219 of the Immigration and Nationality Act (8 U.S.C. 1189).]

[(f) LIBYA.—

(1) **ASSISTANCE.—**Funds appropriated under titles III and IV of this Act shall be made available for stabilization assistance for Libya, including support for a United Nations-facilitated political process and border security: *Provided,* That the limitation on the uses of funds for certain infrastructure projects in section 7041(f)(2) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2014 (division K of Public Law 113–76) shall apply to such funds.

(2) **CERTIFICATION.—**Prior to the initial obligation of funds made available by this Act for assistance for Libya, the Secretary of State shall certify and report to the Committees on Appropriations that all practicable steps have been taken to ensure that mechanisms are in place for monitoring, oversight, and control of such funds.]

[(g) MOROCCO.—

(1) **AVAILABILITY AND CONSULTATION REQUIREMENT.—**Funds appropriated under title III of this Act shall be made available for assistance for the Western Sahara: *Provided,* That not later than 90 days after enactment of this Act and prior to the obligation of such funds, the Secretary of State, in consultation with the Administrator of the United States Agency for International Development, shall consult with the Committees on Appropriations on the proposed uses of such funds.

(2) **FOREIGN MILITARY FINANCING PROGRAM.—**Funds appropriated by this Act under the heading "Foreign Military Financing Program" that are available

for assistance for Morocco may only be used for the purposes requested in the Congressional Budget Justification, Foreign Operations, Fiscal Year 2017.】

【(h) SAUDI ARABIA.—

(1) INTERNATIONAL MILITARY EDUCATION AND TRAINING.—None of the funds appropriated by this Act under the heading "International Military Education and Training" may be made available for assistance for the Government of Saudi Arabia.

(2) EXPORT-IMPORT BANK.—None of the funds appropriated or otherwise made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs should be obligated or expended by the Export-Import Bank of the United States to guarantee, insure, or extend (or participate in the extension of) credit in connection with the export of nuclear technology, equipment, fuel, materials, or other nuclear technology-related goods or services to Saudi Arabia unless the Government of Saudi Arabia—

(A) has in effect a nuclear cooperation agreement pursuant to section 123 of the Atomic Energy Act of 1954 (42 U.S.C. 2153);

(B) has committed to renounce uranium enrichment and reprocessing on its territory under that agreement; and

(C) has signed and implemented an Additional Protocol to its Comprehensive Safeguards Agreement with the International Atomic Energy Agency.】

【(i) d) SYRIA.—

(1) NON-LETHAL ASSISTANCE.【.—Of the funds】*Funds* appropriated by this Act under 【the headings "Economic Support Fund", "International Narcotics Control and Law Enforcement", and "Peacekeeping Operations", not less than \$40,000,000 shall】*titles III and IV* may be made available, notwithstanding any other provision of law, for non-lethal stabilization assistance for Syria【, of which not less than \$7,000,000 shall be made available for emergency medical and rescue response and chemical weapons use investigations】.

【(2) LIMITATIONS.—Funds made available pursuant to paragraph (1) of this subsection—

(A) may not be made available for a project or activity that supports or otherwise legitimizes the Government of Iran, foreign terrorist organizations (as designated pursuant to section 219 of the Immigration and Nationality Act (8 U.S.C. 1189)), or a proxy of Iran in Syria;

(B) may not be made available for activities that further the strategic objectives of the Government of the Russian Federation that the Secretary of State determines may threaten or undermine United States national security interests; and

(C) should not be used in areas of Syria controlled by a government led by Bashar al-Assad or associated forces.】

【(3) MONITORING AND OVERSIGHT.—Prior to the obligation of any funds appropriated by this Act and made available for assistance for Syria, the Secretary of State shall take all practicable steps to ensure that mechanisms are in place for monitoring, oversight, and control of such assistance inside Syria.】

【(4) CONSULTATION AND NOTIFICATION.—Funds made available pursuant to this subsection may only be made available following consultation with the appropriate congressional committees, and shall be subject to the regular notification procedures of the Committees on Appropriations.】

(2) *The authority of sections 552(c) and 610 of the Foreign Assistance Act of 1961 may be exercised by the President to provide assistance for Syria, notwithstanding any other provision of law and without regard to the percentage and dollar limitations in such sections.*

【(j) TUNISIA.—

(1) ASSISTANCE APPROPRIATED BY THIS ACT.—Of the funds appropriated under titles III and IV of this Act, not less than \$191,400,000 shall be made available for assistance for Tunisia.

(2) ASSISTANCE APPROPRIATED BY PRIOR ACTS.—Of the funds appropriated under the heading "Economic Support Fund" in prior Acts making appropriations for the Department of State, foreign operations, and related programs, not less than \$50,000,000 shall be made available for assistance for Tunisia: *Provided*, That such funds are in addition to amounts otherwise made available for such purposes.】

【(k) e) WEST BANK AND GAZA.—

【(1) REPORT ON ASSISTANCE.—Prior to the initial obligation of funds made available by this Act under the heading "Economic Support Fund" for assistance for the West Bank and Gaza, the Secretary of State shall report to the Committees on Appropriations that the purpose of such assistance is to—

(A) advance Middle East peace;

(B) improve security in the region;

(C) continue support for transparent and accountable government institutions;

(D) promote a private sector economy; or

(E) address urgent humanitarian needs.】

【(2) LIMITATIONS.—

(A)

(i) None of the funds appropriated under the heading "Economic Support Fund" in this Act may be made available for assistance for the Palestinian Authority, if after the date of enactment of this Act—

(I) the Palestinians obtain the same standing as member states or full membership as a state in the United Nations or any specialized agency thereof outside an agreement negotiated between Israel and the Palestinians; or

(II) the Palestinians initiate an International Criminal Court (ICC) judicially authorized investigation, or actively support such an investigation, that subjects Israeli nationals to an investigation for alleged crimes against Palestinians.

(ii) The Secretary of State may waive the restriction in clause (i) of this subparagraph resulting from the application of subclause (I) of such clause if the Secretary certifies to the Committees on Appropriations that to do so is in the national security interest of the United States, and submits a report to such Committees detailing how the waiver and the continuation of assistance would assist in furthering Middle East peace.

(B)

(i) The President may waive the provisions of section 1003 of the Foreign Relations Authorization Act, Fiscal Years 1988 and 1989 (Public Law 100–204) if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the appropriate congressional committees that the Palestinians have not, after the date of enactment of this Act—

(I) obtained in the United Nations or any specialized agency thereof the same standing as member states or full membership as a state outside an agreement negotiated between Israel and the Palestinians; and

(II) initiated or actively supported an ICC investigation against Israeli nationals for alleged crimes against Palestinians.

(ii) Not less than 90 days after the President is unable to make the certification pursuant to clause (i) of this subparagraph, the President may waive section 1003 of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that the Palestinians have entered into direct and meaningful negotiations with Israel: *Provided*, That any waiver of the provisions of section 1003 of Public Law 100–204 under clause (i) of this subparagraph or under previous provisions of law must expire before the waiver under the preceding sentence may be exercised.

(iii) Any waiver pursuant to this subparagraph shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.】

(1) *The President may waive the provisions of section 1003(1), (2), and (3) of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that it is important to the national security interests of the United States or the conduct of diplomacy.*

(2) *PERIOD OF APPLICATION OF THE WAIVER.—Any waiver pursuant to paragraph (1) shall be effective for no more than a period of 6 months at a time.*

【(3) REDUCTION.—The Secretary of State shall reduce the amount of assistance made available by this Act under the heading "Economic Support Fund" for the Palestinian Authority by an amount the Secretary determines is equivalent to the amount expended by the Palestinian Authority, the Palestine Liberation Organization, and any successor or affiliated organizations with such entities as payments for acts of terrorism by individuals who are imprisoned after being fairly tried and convicted for acts of terrorism and by individuals who died committing acts of terrorism during the previous calendar year: *Provided*, That the Secretary shall report to the Committees on Appropriations on the amount reduced for fiscal year 2020 prior to the obligation of funds for the Palestinian Authority.】

【(4) PRIVATE SECTOR PARTNERSHIP PROGRAMS.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available for private sector partnership programs for the West Bank and Gaza if such funds are authorized: *Provided*, That funds made available pursuant to this paragraph shall be subject to prior consultation with the appropriate congressional committees, and the regular notification procedures of the Committees on Appropriations.】

[(5) SECURITY REPORT.—The reporting requirements in section 1404 of the Supplemental Appropriations Act, 2008 (Public Law 110–252) shall apply to funds made available by this Act, including a description of modifications, if any, to the security strategy of the Palestinian Authority.]

[(6) INCITEMENT REPORT.—Not later than 90 days after enactment of this Act, the Secretary of State shall submit a report to the appropriate congressional committees detailing steps taken by the Palestinian Authority to counter incitement of violence against Israelis and to promote peace and coexistence with Israel.]

[(1) YEMEN.—Funds appropriated under title III of this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be made available for stabilization assistance for Yemen.]

AFRICA

SEC. [7042]7027. [(a) AFRICAN GREAT LAKES REGION ASSISTANCE RESTRICTION.—Funds appropriated by this Act under the heading "International Military Education and Training" for the central government of a country in the African Great Lakes region may be made available only for Expanded International Military Education and Training and professional military education until the Secretary of State determines and reports to the Committees on Appropriations that such government is not facilitating or otherwise participating in destabilizing activities in a neighboring country, including aiding and abetting armed groups.]

[(b) CAMEROON.—Funds appropriated under title IV of this Act that are made available for assistance for the armed forces of Cameroon, including the Rapid Intervention Battalion, may only be made available to counter regional terrorism, including Boko Haram and other Islamic State affiliates, participate in international peacekeeping operations, and for military education and maritime security programs.]

[(c) CENTRAL AFRICAN REPUBLIC.—Of the funds].—Funds appropriated by this Act under the heading "Economic Support and Development Fund" [, not less than \$3,000,000 shall] may be made available for a contribution to the Special Criminal Court in Central African Republic.

[(d) DEMOCRATIC REPUBLIC OF THE CONGO.—Funds appropriated by this Act under titles III and IV shall be made available for assistance for the Democratic Republic of the Congo for stabilization, global health, and bilateral economic assistance, including in areas affected by, and at risk from, the Ebola virus disease.]

[(e) LAKE CHAD BASIN COUNTRIES.—Funds appropriated under titles III and IV of this Act shall be made available, following consultation with the Committees on Appropriations, for assistance for Cameroon, Chad, Niger, and Nigeria for—

- (1) democracy, development, and health programs;
- (2) assistance for individuals targeted by foreign terrorist and other extremist organizations, including Boko Haram, consistent with the provisions of section 7059 of this Act;
- (3) assistance for individuals displaced by violent conflict; and
- (4) counterterrorism programs.]

[(f) MALAWI.—Of the funds appropriated by this Act under the heading "Development Assistance", not less than \$60,000,000 shall be made available for assistance for Malawi, of which up to \$10,000,000 shall be made available for higher education programs.]

[(g) SAHEL STABILIZATION AND SECURITY.—Funds appropriated under titles III and IV of this Act shall be made available for stabilization, health, development, and security programs in the countries of the Sahel region.]

[(h) SOUTH SUDAN.—

(1) ASSISTANCE.—Of the funds appropriated under title III of this Act that are made available for assistance for South Sudan, not less than \$15,000,000 shall be made available for democracy programs and not less than \$8,000,000 shall be made available for conflict mitigation and reconciliation programs.

(2) LIMITATION ON ASSISTANCE FOR THE CENTRAL GOVERNMENT.—Funds appropriated by this Act that are made available for assistance for the central Government of South Sudan may only be made available, following consultation with the Committees on Appropriations, for—

- (A) humanitarian assistance;
- (B) health programs, including to prevent, detect, and respond to the Ebola virus disease;
- (C) assistance to support South Sudan peace negotiations or to advance or implement a peace agreement; and
- (D) assistance to support implementation of outstanding issues of the Comprehensive Peace Agreement and mutual arrangements related to such agreement.]

[(Provided, That prior to the initial obligation of funds made available pursuant to subparagraphs (C) and (D), the Secretary of State shall consult with the Committees on Appropriations on the intended uses of such funds and steps taken by such government to advance or implement a peace agreement.)]

[(i) SUDAN.—

(1) LIMITATIONS ON ASSISTANCE AND LOANS.—

(A) Notwithstanding any other provision of law, none of the funds appropriated by this Act may be made available for assistance for the Government of Sudan.

(B) None of the funds appropriated by this Act may be made available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees held by the Government of Sudan, including the cost of selling, reducing, or canceling amounts owed to the United States, and modifying concessional loans, guarantees, and credit agreements.

(2) EXCLUSIONS.—The limitations of paragraph (1) shall not apply to—

- (A) humanitarian assistance;
- (B) assistance for democracy, health, agriculture, economic growth, and education programs;

(C) assistance for the Darfur region, Southern Kordofan State, Blue Nile State, other marginalized areas and populations in Sudan, and Abyei; and

(D) assistance to support implementation of outstanding issues of the Comprehensive Peace Agreement, mutual arrangements related to post-referendum issues associated with such Agreement, or any other viable peace agreement in Sudan.

(3) CONSULTATION.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs that are made available for any new program or activity in Sudan shall be subject to prior consultation with the appropriate congressional committees.]

[(j) ZIMBABWE.—

(1) INSTRUCTION.—The Secretary of the Treasury shall instruct the United States executive director of each international financial institution to vote against any extension by the respective institution of any loan or grant to the Government of Zimbabwe, except to meet basic human needs or to promote democracy, unless the Secretary of State certifies and reports to the Committees on Appropriations that the rule of law has been restored, including respect for ownership and title to property, and freedoms of expression, association, and assembly.

(2) LIMITATION.—None of the funds appropriated by this Act shall be made available for assistance for the central Government of Zimbabwe, except for health and education, unless the Secretary of State certifies and reports as required in paragraph (1).]

EAST ASIA AND THE PACIFIC

SEC. [7043]7028. (a) BURMA.—

(1) BILATERAL ECONOMIC ASSISTANCE.—

(A) [Of the funds] Funds appropriated by [under title III of] this Act [, not less than \$131,450,000 shall] and prior acts making appropriations for the Department of State, foreign operations, and related programs for assistance for Burma may be made available [for assistance for Burma: Provided, That such funds may be made available notwithstanding any other provision of law and following consultation with the appropriate congressional committees: Provided further, That such funds shall be made available for programs to promote ethnic and religious tolerance and to combat gender-based violence, including in Kachin, Karen, Rakhine, and Shan states: Provided further, That such funds] notwithstanding any other provision of law and may be made available for programs for ethnic groups and civil society in Burma to help sustain ceasefire agreements and further prospects for reconciliation and peace, which may include support to representatives of ethnic armed groups for this purpose under the headings "Economic Support and Development Fund" or "Economic Support Fund" and "Development Assistance".

[(B) Funds appropriated under title III of this Act for assistance for Burma shall be made available for community-based organizations operating in Thailand to provide food, medical, and other humanitarian assistance to internally displaced persons in eastern Burma, in addition to assistance for Burmese refugees from funds appropriated by this Act under the heading "Migration and Refugee Assistance": Provided, That such funds may be available for programs to support the return of Kachin, Karen, Rohingya, Shan, and other refugees and internally displaced persons to their locations of origin or preference in Burma only if such returns are voluntary and consistent with international law.]

[(C) Funds appropriated under title III of this Act for assistance for Burma that are made available for assistance for the Government of Burma to support the implementation of Nationwide Ceasefire Agreement conferences, committees, and other procedures may only be made available if the Secretary of State reports to the Committees on Appropriations that such conferences, committees, and procedures are directed toward a sustainable peace and the Government of Burma is implementing its commitments under such Agreement.]

[(2) INTERNATIONAL SECURITY ASSISTANCE.—None of the funds appropriated by this Act under the headings "International Military Education and Training" and "Foreign Military Financing Program" may be made available for assistance for Burma: *Provided*, That the Department of State may continue consultations with the armed forces of Burma only on human rights and disaster response in a manner consistent with the prior fiscal year, and following consultation with the appropriate congressional committees.]

[(3) LIMITATIONS.—None of the funds appropriated under title III of this Act for assistance for Burma may be made available to any organization or entity controlled by the armed forces of Burma, or to any individual or organization that advocates violence against ethnic or religious groups or individuals in Burma, as determined by the Secretary of State for programs administered by the Department of State and USAID or the President of the National Endowment for Democracy (NED) for programs administered by NED.]

[(4) CONSULTATION.—Any new program or activity in Burma initiated in fiscal year 2020 shall be subject to prior consultation with the appropriate congressional committees.]

[(b) CAMBODIA.—

(1) ASSISTANCE.—Of the funds appropriated under title III of this Act, not less than \$82,505,000 shall be made available for assistance for Cambodia.

(2) CERTIFICATION AND EXCEPTIONS.—

(A) CERTIFICATION.—None of the funds appropriated by this Act that are made available for assistance for the Government of Cambodia may be obligated or expended unless the Secretary of State certifies and reports to the Committees on Appropriations that such Government is taking effective steps to—

(i) strengthen regional security and stability, particularly regarding territorial disputes in the South China Sea and the enforcement of international sanctions with respect to North Korea;

(ii) assert its sovereignty against interference by the People's Republic of China, including by verifiably maintaining the neutrality of Ream Naval Base, other military installations in Cambodia, and dual use facilities such as the Dara Sakor development project; and

(iii) respect the rights, freedoms, and responsibilities enshrined in the Constitution of the Kingdom of Cambodia as enacted in 1993.

(B) EXCEPTIONS.—The certification required by subparagraph (A) shall not apply to funds appropriated by this Act and made available for democracy, health, education, and environment programs, programs to strengthen the sovereignty of Cambodia, and programs to educate and inform the people of Cambodia of the influence efforts of the People's Republic of China in Cambodia.

(3) USES OF FUNDS.—Funds appropriated under title III of this Act for assistance for Cambodia shall be made available for—

(A) research and education programs associated with the Khmer Rouge in Cambodia; and

(B) programs in the Khmer language to monitor, map, and publicize the efforts by the People's Republic of China to expand its influence in Cambodia, including in Sihanoukville, Bavet, Poipet, Koh Kong, and areas bordering Vietnam.]

[(c) b] INDO-PACIFIC STRATEGY AND THE ASIA REASSURANCE INITIATIVE ACT OF 2018.—

[(1) ASSISTANCE.—Of the funds appropriated under titles III and IV of this Act, not less than \$1,482,000,000 shall be made available to support the implementation of the Indo-Pacific Strategy and the Asia Reassurance Initiative Act of 2018 (Public Law 115–409).]

[(2)] COUNTERING CHINESE INFLUENCE FUND [.—Of the funds] *Funds* appropriated by this Act under the headings ["Development Assistance", "Economic Support and Development Fund", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining and Related Programs", and "Foreign Military Financing Program", [not less than \$300,000,000 shall] *may* be made available for a Countering Chinese Influence Fund to counter the influence of the People's Republic of China globally, [which shall be subject to prior consultation with the Committees on Appropriations] *notwithstanding any other provision of law: Provided*, [That such funds are in addition to amounts otherwise made available for such purposes: *Provided further*.] That such funds appropriated under such headings may be transferred to, and merged with, funds appropriated under such headings: *Provided further*, That such transfer authority is in addition to any other transfer authority provided by this Act or any other Act, and is subject to the regular notification procedures of the Committees on Appropriations.

[(3) RESTRICTION ON USES OF FUNDS.—None of the funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign

operations, and related programs may be made available for any project or activity that directly supports or promotes—

(A) the Belt and Road Initiative or any dual-use infrastructure projects of the People's Republic of China; and

(B) the use of technology, including biotechnology, digital, telecommunications, and cyber, developed by the People's Republic of China unless the Secretary of State, in consultation with the USAID Administrator and the Chief Executive Officer of the United States International Development Finance Corporation, as appropriate, determines that such use does not adversely impact the national security of the United States.]

[(d) LAOS.—Of the funds appropriated under title III of this Act, not less than \$34,280,000 shall be made available for assistance for Laos.]

[(e) c] NORTH KOREA.—*Funds appropriated under the heading "Economic Support and Development Fund" may be made available for programs to support initiatives relating to North Korea that are in the national interest of the United States, notwithstanding any other provision of law.*

[(1) CYBERSECURITY.—None of the funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs may be made available for assistance for the central government of a country the Secretary of State determines and reports to the appropriate congressional committees engages in significant transactions contributing materially to the malicious cyber-intrusion capabilities of the Government of North Korea: *Provided*, That the Secretary of State shall submit the report required by section 209 of the North Korea Sanctions and Policy Enhancement Act of 2016 (Public Law 114–122; 22 U.S.C. 9229) to the Committees on Appropriations: *Provided further*, That the Secretary of State may waive the application of the restriction in this paragraph with respect to assistance for the central government of a country if the Secretary determines and reports to the appropriate congressional committees that to do so is important to the national security interest of the United States, including a description of such interest served.]

[(2) BROADCASTS.—Funds appropriated by this Act under the heading "International Broadcasting Operations" shall be made available to maintain broadcast hours into North Korea at levels not less than the prior fiscal year.]

[(3) HUMAN RIGHTS PROMOTION AND LIMITATION ON USE OF FUNDS.—

(A) Funds appropriated by this Act under the headings "Economic Support Fund" and "Democracy Fund" shall be made available for the promotion of human rights in North Korea: *Provided*, That the authority of section 7032(b)(1) of this Act shall apply to such funds.

(B) None of the funds made available by this Act under the heading "Economic Support Fund" may be made available for assistance for the Government of North Korea.]

[(f) d] PEOPLE'S REPUBLIC OF CHINA.—*Notwithstanding any other provision of law, funds appropriated by this Act may be made available for activities with the People's Republic of China designed to leverage assistance programs and improve aid effectiveness.*

[(1) LIMITATION ON USE OF FUNDS.—None of the funds appropriated under the heading "Diplomatic Programs" in this Act may be obligated or expended for processing licenses for the export of satellites of United States origin (including commercial satellites and satellite components) to the People's Republic of China (PRC) unless, at least 15 days in advance, the Committees on Appropriations are notified of such proposed action.]

[(2) PEOPLE'S LIBERATION ARMY.—The terms and requirements of section 620(h) of the Foreign Assistance Act of 1961 shall apply to foreign assistance projects or activities of the People's Liberation Army (PLA) of the PRC, to include such projects or activities by any entity that is owned or controlled by, or an affiliate of, the PLA: *Provided*, That none of the funds appropriated or otherwise made available pursuant to this Act may be used to finance any grant, contract, or cooperative agreement with the PLA, or any entity that the Secretary of State has reason to believe is owned or controlled by, or an affiliate of, the PLA.]

[(3) UNITED STATES-CHINA FRIENDSHIP VOLUNTEER PROGRAM.—Not later than 90 days after enactment of this Act and following consultation with the heads of other relevant Federal agencies, the Director of the Peace Corps shall submit a report to the appropriate congressional committees on the United States-China Friendship Volunteer Program, including a description of program coordination, implementation, and oversight, and the goals and objectives served: *Provided*, That the Director shall also consult with the Committees on Appropriations on such report.]

[(4) HONG KONG.—

(A) DEMOCRACY PROGRAMS.—Of the funds appropriated by this Act under the heading "Democracy Fund" for the Human Rights and Democracy Fund of the Bureau of Democracy, Human Rights, and Labor, Department of State,

not less than \$1,500,000 shall be made available for democracy programs for Hong Kong, including legal and other support for democracy activists.

(B) REPORT.—Funds appropriated under title I of this Act shall be made available to prepare and submit to Congress the report required by section 301 of the United States-Hong Kong Policy Act of 1992 (22 U.S.C. 5731), which shall also include a description of—

(i) efforts by the Hong Kong authorities and the Government of the People's Republic of China to prevent free assembly and communications by the people of Hong Kong;

(ii) the technical surveillance equipment and methods used by the Hong Kong authorities and the Government of the People's Republic of China to monitor the movement and communications of the Hong Kong population;

(iii) the application of social and political control tools developed by the Government of the People's Republic of China and used by such Government and the Hong Kong authorities in Hong Kong;

(iv) the disinformation and political influence campaigns conducted by the Government of the People's Republic of China in Hong Kong and overseas with respect to the situation in Hong Kong; and

(v) the mission and activities of the People's Armed Police, the People's Liberation Army, the Ministries of Public Security and State Security in Beijing, the Government of the People's Republic of China, and other Chinese security forces in Hong Kong, including their respective roles in human rights abuses against the people of Hong Kong.]

[(g) PHILIPPINES.—None of the funds appropriated by this Act under the heading "International Narcotics Control and Law Enforcement" may be made available for counternarcotics assistance for the Philippines, except for drug demand reduction, maritime law enforcement, or transnational interdiction.]

[(h)e] TIBET.—

[(1) FINANCING OF PROJECTS IN TIBET.—The Secretary of the Treasury should instruct the United States executive director of each international financial institution to use the voice and vote of the United States to support financing of projects in Tibet if such projects do not provide incentives for the migration and settlement of non-Tibetans into Tibet or facilitate the transfer of ownership of Tibetan land and natural resources to non-Tibetans, are based on a thorough needs-assessment, foster self-sufficiency of the Tibetan people and respect Tibetan culture and traditions, and are subject to effective monitoring.]

[(2)] PROGRAMS FOR TIBETAN COMMUNITIES.—

(A) Notwithstanding any other provision of law, [of the] funds appropriated by this Act under the heading "Economic Support and Development Fund" [, not less than \$8,000,000 shall] may be made available to nongovernmental organizations to support activities which preserve cultural traditions and promote sustainable development, education, and environmental conservation in Tibetan communities in the Tibet Autonomous Region and in other Tibetan communities in China.

[(B) Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$6,000,000 shall be made available for programs to promote and preserve Tibetan culture and language in the refugee and diaspora Tibetan communities, development, and the resilience of Tibetan communities and the Central Tibetan Administration in India and Nepal, and to assist in the education and development of the next generation of Tibetan leaders from such communities: *Provided*, That such funds are in addition to amounts made available in subparagraph (A) for programs inside Tibet.]

[(C) Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$3,000,000 shall be made available for programs to strengthen the capacity of the Central Tibetan Administration: *Provided*, That such funds shall be administered by the United States Agency for International Development.]

[(i)f] VIETNAM.—[Of the funds appropriated under titles III and IV of this Act, not less than \$159,634,000 shall be made available for assistance for Vietnam, of which not less than—] **DIOXIN REMEDIATION.**—*Funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available for remediation of dioxin contaminated sites in Vietnam and may be made available for assistance for the Government of Vietnam, including the military, for such purposes.*

[(1) \$13,000,000 shall be made available for health and disability programs in areas sprayed with Agent Orange and contaminated with dioxin, to assist individuals with severe upper or lower body mobility impairment or cognitive or developmental disabilities:]

[(2) \$20,000,000 shall be made available, notwithstanding any other provision of law, for activities related to the remediation of dioxin contaminated sites in Vietnam and may be made available for assistance for the Government of Vietnam, including the military, for such purposes; and]

[(3) \$1,500,000 shall be made available for a war legacy reconciliation program.]

(g) *Funds appropriated in this Act under the headings "Economic Support and Development Fund" and "Nonproliferation, Anti-terrorism, Demining and Related Programs" may be made available for Asian regional programs that include countries or governments otherwise ineligible for United States assistance, notwithstanding any other provision of law.*

SOUTH AND CENTRAL ASIA

SEC. [7044] 7029. (a) AFGHANISTAN.—

[(1) FUNDING AND LIMITATIONS.—Funds appropriated by this Act under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement" that are made available for assistance for Afghanistan—

(A) shall be made available to implement the South Asia Strategy, the Revised Strategy for United States Engagement in Afghanistan, and the United States Agency for International Development Country Development Cooperation Strategy for Afghanistan;

(B) shall be made available to continue support for institutions of higher education in Kabul, Afghanistan that are accessible to both women and men in a coeducational environment, including for the costs for operations and security for such institutions;

(C) shall be made available for programs that protect and strengthen the rights of Afghan women and girls and promote the political and economic empowerment of women including their meaningful inclusion in political processes: *Provided*, That such assistance to promote the economic empowerment of women shall be made available as grants to Afghan organizations, to the maximum extent practicable; and

(D) may not be made available for any program, project, or activity pursuant to section 7044(a)(1)(C) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6).]

[(2) AFGHAN WOMEN.—

(A) IN GENERAL.—The Secretary of State shall promote the meaningful participation of Afghan women in ongoing peace and reconciliation processes in Afghanistan in a manner consistent with the Women, Peace, and Security Act of 2017 (Public Law 115–68), including advocacy for the inclusion of Afghan women leaders in ongoing and future dialogue and negotiations and efforts to ensure that any peace agreement reached with the Taliban protects the rights of women and girls and ensures their freedom of movement, rights to education and work, and access to healthcare and legal representation.

(B) ASSISTANCE.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the heading "Economic Support Fund" shall be made available for an endowment pursuant to paragraph (3)(A)(iv) of this subsection for an institution of higher education in Kabul, Afghanistan that is accessible to both women and men in a coeducational environment: *Provided*, That such endowment shall be established in partnership with a United States-based American higher education institution that will serve on its board of trustees: *Provided further*, That prior to the obligation of funds for such an endowment, the Administrator of the United States Agency for International Development shall submit a report to the Committees on Appropriations describing the governance structure, including a proposed board of trustees, and financial safeguards, including regular audit and reporting requirements, in any endowment agreement: *Provided further*, That the USAID Administrator shall provide a report on the expenditure of funds generated from such an endowment to the Committees on Appropriations on an annual basis.]

[(3)] AUTHORITIES.—

(A) Funds appropriated by this Act under titles III through VI that are made available for assistance for Afghanistan may be made available—

(i) notwithstanding [section 7012 of this Act or any similar] *any other provision of law* [and section 660 of the Foreign Assistance Act of 1961];

(ii) for reconciliation programs and disarmament, demobilization, and reintegration activities for former combatants who have renounced violence against the Government of Afghanistan [, including in accordance with section 7046(a)(2)(B)(ii) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74)];

(iii) for an endowment to empower women and girls; and

[(iv) for an endowment for higher education.]

(iv) *as a United States contribution to the Afghanistan Reconstruction Trust Fund, and to an internationally managed fund to support the reconciliation with and disarmament, demobilization, and reintegration into Afghan society of former combatants who have renounced violence against the Government of Afghanistan.*

(B) [Section 7046(a)(2)(A) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112–74) shall apply to funds appropriated by this Act for assistance for Afghanistan. Funds appropriated or otherwise made available for this and prior Acts for assistance for Afghanistan may be made available as a United States contribution to other multi-donor trust funds: Provided, That amounts made available pursuant to this paragraph from prior Acts that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act and shall be available only if the President subsequently so designates all such amounts and transmits such designations to the Congress.]

(C) [Of the funds appropriated by this Act under the heading "Diplomatic Programs", up to \$3,000,000 may be transferred to any other appropriation of any department or agency of the United States Government, upon the concurrence of the head of such department or agency, to support operations in, and assistance for, Afghanistan and to carry out the provisions of the Foreign Assistance Act of 1961: Provided, That any such transfer shall be subject to the regular notification procedures of the Committees on Appropriations.] Section 1102(c) of the Supplemental Appropriations Act, 2009 (title XI of Public Law 11132) shall continue in effect during fiscal year 2021 as if part of this Act.

[(4) AGREEMENT AND CERTIFICATION.—Funds appropriated by this Act shall be made available for the following purposes—

(A) the submission to the appropriate congressional committees by the President of a copy of any agreement or arrangement between the Government of the United States and the Taliban relating to the United States presence in Afghanistan or Taliban commitments on the future of Afghanistan, which shall be submitted not later than 30 days after finalizing such an agreement or arrangement; and

(B) the submission to the appropriate congressional committees of a joint certification by the Secretary of State and Secretary of Defense that such agreement or arrangement will further the objective of setting conditions for the long-term defeat of al Qaeda and Islamic State and will not make the United States more vulnerable to terrorist attacks originating from Afghanistan or supported by terrorist elements in Afghanistan.]

[(5) BASING RIGHTS AGREEMENT.—None of the funds made available by this Act may be used by the United States Government to enter into a permanent basing rights agreement between the United States and Afghanistan.]

[(b) BANGLADESH.—Of the funds appropriated under titles III and IV of this Act, not less than \$198,323,000 shall be made available for assistance for Bangladesh, of which—

(1) not less than \$23,500,000 shall be made available to address the needs of communities impacted by refugees from Burma;

(2) not less than \$10,000,000 shall be made available for programs to protect freedom of expression and due process of law; and

(3) not less than \$23,300,000 shall be made available for democracy programs, of which not less than \$2,000,000 shall be made available for such programs for the Rohingya community in Bangladesh.]

[(c) NEPAL.—

(1) ASSISTANCE.—Of the funds appropriated under titles III and IV of this Act, not less than \$130,265,000 shall be made available for assistance for Nepal, including for earthquake recovery and reconstruction programs and democracy programs.

(2) FOREIGN MILITARY FINANCING PROGRAM.—Funds appropriated by this Act under the heading "Foreign Military Financing Program" shall only be made available for humanitarian and disaster relief and reconstruction activities in Nepal, and in support of international peacekeeping operations: Provided, That such funds may only be made available for any additional uses if the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Nepal is investigating and prosecuting violations of human rights and the laws of war, and the Nepal Army is cooperating fully with civilian judicial authorities in such cases.]

[(d) b) PAKISTAN.—

(1) TERMS AND CONDITIONS [.—The terms and conditions of section 7044(c) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6) shall continue in effect during fiscal year 2020].—Funds appropriated under titles III and IV of this act may be available for assistance for Pakistan notwithstanding any other provision of law.

[(2) ASSISTANCE.—Of the funds appropriated under title III of this Act that are made available for assistance for Pakistan, not less than \$15,000,000 shall be made available for democracy programs and not less than \$10,000,000 shall be made available for gender programs.]

[(e) SRI LANKA.—

(1) ASSISTANCE.—Funds appropriated under title III of this Act shall be made available for assistance for Sri Lanka for democracy and economic development programs, particularly in areas recovering from ethnic and religious conflict: Provided, That such funds shall be made available for programs to assist in the identification and resolution of cases of missing persons.

(2) CERTIFICATION.—Funds appropriated by this Act for assistance for the central Government of Sri Lanka, except for funds made available for humanitarian assistance, victims of trauma, and technical assistance to promote fiscal transparency and sovereignty, may be made available only if the Secretary of State certifies and reports to the Committees on Appropriations that such Government is taking effective and consistent steps to—

(A) respect and uphold the rights and freedoms of the people of Sri Lanka regardless of ethnicity and religious belief, including by investigating violations of human rights and holding perpetrators of such violations accountable;

(B) assert its sovereignty against interference by the People's Republic of China; and

(C) promote reconciliation between ethnic and religious groups arising from past conflict in Sri Lanka, including by addressing land confiscation and ownership issues, resolving cases of missing persons, and reducing the presence of the armed forces in former conflict zones.

(3) INTERNATIONAL SECURITY ASSISTANCE.—Of the funds appropriated by this Act under the heading "Foreign Military Financing Program", not to exceed \$500,000 may be made available for assistance for Sri Lanka: Provided, That such funds may be made available only for programs to support humanitarian and disaster response preparedness and maritime security, including professionalization and training for the navy and coast guard: Provided further, That funds made available under the heading "Peacekeeping Operations" may only be made available subject to the regular notification procedures of the Committees on Appropriations.]

[(f) c) REGIONAL PROGRAMS.—Funds appropriated by this Act [shall be made available] under the heading "Economic Support and Development Fund" for assistance for Afghanistan [,] and Pakistan [,] and other countries in South [may be provided, notwithstanding any other provision of law that restricts assistance to foreign and other countries for cross border stabilization and development programs between Afghanistan and Pakistan, or between either country and the Central [Asia to significantly increase the recruitment, training, and retention of women in the judiciary, police, and other security forces, and to train judicial and security personnel in such countries to prevent and address gender-based violence, human trafficking, and other practices that disproportionately harm women and girls] Asian countries.

LATIN AMERICA AND THE CARIBBEAN

SEC. [7045] 7030. [(a) CENTRAL AMERICA.—

(1) ASSISTANCE.—

(A) FISCAL YEAR 2020.—Of the funds appropriated by this Act under titles III and IV, not less than \$519,885,000 should be made available for assistance for Belize, Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, and Panama, including through the Central America Regional Security Initiative: Provided, That such assistance shall be prioritized for programs and activities that addresses the key factors that contribute to the migration of unaccompanied, undocumented minors to the United States and such funds shall be made available for global health, humanitarian, development, democracy, border security, and law enforcement programs for such countries, including for programs to reduce violence against women and girls and to combat corruption, and for support of commissions against corruption and impunity, as appropriate: Provided further, That not less than \$45,000,000 shall be for support of offices of Attorneys General and of other entities and activities to combat corruption and impunity in such countries.

(B) FISCAL YEAR 2019.—Of the funds appropriated under titles III and IV of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6), not less than \$527,600,000 should be made available for assistance for Belize, Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua, and Panama, including through the Central America Regional Security Initiative: Provided, That such funds shall be made available subject to the conditions in paragraph (2) of this subsection and notwithstanding paragraphs (1) and (2) of section 7045(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6).

(2) NORTHERN TRIANGLE.—

(A) LIMITATION ON ASSISTANCE TO CERTAIN CENTRAL GOVERNMENTS.—Of the funds made available pursuant to paragraph (1) under the heading "Economic Support Fund" and under title IV of this Act that are made available for assistance for each of the central governments of El Salvador, Guatemala, and Honduras, 50 percent may only be obligated after the Secretary of State certifies and reports to the appropriate congressional committees that such government is—

- (i) combating corruption and impunity, including prosecuting corrupt government officials;
- (ii) implementing reforms, policies, and programs to increase transparency and strengthen public institutions;
- (iii) protecting the rights of civil society, opposition political parties, and the independence of the media;
- (iv) providing effective and accountable law enforcement and security for its citizens, and upholding due process of law;
- (v) implementing policies to reduce poverty and promote equitable economic growth and opportunity;
- (vi) supporting the independence of the judiciary and of electoral institutions;
- (vii) improving border security;
- (viii) combating human smuggling and trafficking and countering the activities of criminal gangs, drug traffickers, and transnational criminal organizations; and
- (ix) informing its citizens of the dangers of the journey to the southwest border of the United States.

(B) REPROGRAMMING.—If the Secretary is unable to make the certification required by subparagraph (A) for one or more of the governments, such assistance for such central government shall be reprogrammed for assistance for other countries in Latin America and the Caribbean, notwithstanding the minimum funding requirements of this subsection and of section 7019 of this Act: *Provided*, That any such reprogramming shall be subject to the regular notification procedures of the Committees on Appropriations.

(C) EXCEPTIONS.—The limitation of subparagraph (A) shall not apply to funds appropriated by this Act that are made available for—

- (i) the Mission to Support the Fight Against Corruption and Impunity in Honduras, the International Commission Against Impunity in El Salvador, and support of offices of Attorneys General and of other entities and activities related to combating corruption and impunity;
- (ii) programs to combat gender-based violence;
- (iii) humanitarian assistance; and
- (iv) food security programs.]

([b]a) COLOMBIA.—

(1) ASSISTANCE [.]—Of the funds appropriated by this Act under titles III and IV, not less than \$448,253,000 shall be made available for assistance for Colombia: *Provided*, That such funds shall be made available for the programs and activities described under this section in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act).—*Funds appropriated by this Act and made available to the Department of State for assistance for the Government of Colombia may be used to support a unified campaign against narcotics trafficking, organizations designated as Foreign Terrorist Organizations, and other criminal or illegal armed groups, and to take actions to protect human health and welfare in emergency circumstances, including undertaking rescue operations: Provided, That the first, second, and third provisos of paragraph (1) of section 7045(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2012 (division I of Public Law 112 -74) shall continue in effect during fiscal year 2021 and shall apply to funds appropriated by this Act and made available for assistance for Colombia as if included in this Act.*

(2) WITHHOLDING OF FUNDS.—

(A) COUNTERNARCOTICS.—Of the funds appropriated by this Act under the heading "International Narcotics Control and Law Enforcement" and made available for assistance for Colombia, 20 percent may be obligated only after the Secretary of State certifies and reports to the Committees on Appropriations that the Government of Colombia is continuing to implement a national whole-of-government counternarcotics strategy intended to reduce by 50 percent cocaine production and coca cultivation levels in Colombia by 2023.

(B) HUMAN RIGHTS.—Of the funds appropriated by this Act under the heading "Foreign Military Financing Program" and made available for assistance for Colombia, 20 percent may be obligated only after the Secretary of State certifies and reports to the Committees on Appropriations that—

(i) the Special Jurisdiction for Peace and other judicial authorities are taking effective steps to hold accountable perpetrators of gross violations of human rights in a manner consistent with international law, including for command responsibility, and sentence them to deprivation of liberty;

(ii) the Government of Colombia is taking effective steps to prevent attacks against human rights defenders and other civil society activists, trade unionists, and journalists, and judicial authorities are prosecuting those responsible for such attacks; and

(iii) senior military officers responsible for ordering, committing, and covering up cases of false positives are being held accountable, including removal from active duty if found guilty through criminal or disciplinary proceedings.]

(3) EXCEPTIONS.—The limitations of paragraph (2) shall not apply to funds made available for aviation instruction and maintenance, and maritime and riverine security programs.]

(4) AUTHORITY.—Aircraft supported by funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs and made available for assistance for Colombia may be used to transport personnel and supplies involved in drug eradication and interdiction, including security for such activities, and to provide transport in support of alternative development programs and investigations by civilian judicial authorities.]

(5) LIMITATION.—None of the funds appropriated by this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs that are made available for assistance for Colombia may be made available for payment of reparations to conflict victims or compensation to demobilized combatants associated with a peace agreement between the Government of Colombia and illegal armed groups.]

([c]b) HAITI.—

(1) CERTIFICATION.—Funds appropriated by this Act under the heading "Economic Support Fund" that are made available for assistance for Haiti may not be made available for assistance for the central Government of Haiti unless the Secretary of State certifies and reports to the Committees on Appropriations that such government is taking effective steps, which are steps taken since the certification and report submitted during the prior year, if applicable, to—

(A) strengthen the rule of law in Haiti, including by—

- (i) selecting judges in a transparent manner based on merit;
- (ii) reducing pre-trial detention;
- (iii) respecting the independence of the judiciary; and
- (iv) improving governance by implementing reforms to increase transparency and accountability, including through the penal and criminal codes;

(B) combat corruption, including by implementing the anti-corruption law enacted in 2014 and prosecuting corrupt officials;

(C) increase government revenues, including by implementing tax reforms, and increasing expenditures on public services; and

(D) resolve commercial disputes between United States entities and the Government of Haiti.]

(2) HAITIAN COAST GUARD.—The Government of Haiti shall be eligible to purchase defense articles and services under the Arms Export Control Act (22 U.S.C. 2751 et seq.) for the Coast Guard.

(3) LIMITATION.—None of the funds made available by this Act may be used to provide assistance to the armed forces of Haiti.]

(d) THE CARIBBEAN.—Of the funds appropriated by this Act under titles III and IV, not less than \$60,000,000 shall be made available for the Caribbean Basin Security Initiative.]

([e]c) VENEZUELA Transition.—*Up to \$500,000,000 of the funds appropriated in titles III and IV of this Act may be made available, notwithstanding any other provision of law, for assistance to support a democratic transition in Venezuela and respond to needs in the region related to such transition or the crisis in Venezuela: Provided, That such funds made available for such purposes, other than funds made available under the headings "International Humanitarian Assistance" and "Migration and Refugee Assistance", may be made available only if the Secretary certifies to the Committees on Appropriations that Venezuela is taking steps toward a democratic transition or that funds are necessary to respond to the crisis in Venezuela: Provided further, That such funds appropriated under headings in title III of this Act may be transferred to, and merged with, funds appropriated under such headings: Provided further, That such funds appropriated under headings in title IV of this Act may be transferred to, and merged with, funds appropriated under such headings.*

(1) Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$30,000,000 shall be made available for democracy programs for Venezuela.]

[(2) Funds appropriated under title III of this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be made available for assistance for communities in countries supporting or otherwise impacted by refugees from Venezuela, including Colombia, Peru, Ecuador, Curacao, and Trinidad and Tobago: *Provided*, That such amounts are in addition to funds otherwise made available for assistance for such countries, subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.]

EUROPE AND EURASIA

SEC. [7046] 7031. [(a) ASSISTANCE.—

(1) GEORGIA.—Of the funds appropriated by this Act under titles III and IV, not less than \$132,025,000 shall be made available for assistance for Georgia.

(2) UKRAINE.—Of the funds appropriated by this Act under titles III and IV, not less than \$448,000,000 shall be made available for assistance for Ukraine.]

[(b) LIMITATION.—None of the funds appropriated by this Act may be made available for assistance for a government of an Independent State of the former Soviet Union if such government directs any action in violation of the territorial integrity or national sovereignty of any other Independent State of the former Soviet Union, such as those violations included in the Helsinki Final Act: *Provided*, That except as otherwise provided in section 7047(a) of this Act, funds may be made available without regard to the restriction in this subsection if the President determines that to do so is in the national security interest of the United States: *Provided further*, That prior to executing the authority contained in the previous proviso, the Secretary of State shall consult with the Committees on Appropriations on how such assistance supports the national security interest of the United States.]

[(c) SECTION 907 OF THE FREEDOM SUPPORT ACT.—Section 907 of the FREEDOM Support Act (22 U.S.C. 5812 note) shall not apply to—

(1) activities to support democracy or assistance under title V of the FREEDOM Support Act (22 U.S.C. 5851 et seq.) and section 1424 of the Defense Against Weapons of Mass Destruction Act of 1996 (50 U.S.C. 2333) or non-proliferation assistance;

(2) any assistance provided by the Trade and Development Agency under section 661 of the Foreign Assistance Act of 1961;

(3) any activity carried out by a member of the United States and Foreign Commercial Service while acting within his or her official capacity;

(4) any insurance, reinsurance, guarantee, or other assistance provided by the United States International Development Finance Corporation as authorized by the BUILD Act of 2018 (division F of Public Law 115–254);

(5) any financing provided under the Export-Import Bank Act of 1945 (Public Law 79–173); or

(6) humanitarian assistance.

[(d) TURKEY.—None of the funds made available by this Act may be used to facilitate or support the sale of defense articles or defense services to the Turkish Presidential Protection Directorate (TPPD) under Chapter 2 of the Arms Export Control Act (22 U.S.C. 2761 et seq.) unless the Secretary of State determines and reports to the appropriate congressional committees that members of the TPPD that are named in the July 17, 2017, indictment by the Superior Court of the District of Columbia, and against whom there are pending charges, have returned to the United States to stand trial in connection with the offenses contained in such indictment or have otherwise been brought to justice: *Provided*, That the limitation in this paragraph shall not apply to the use of funds made available by this Act for border security purposes, for North Atlantic Treaty Organization or coalition operations, or to enhance the protection of United States officials and facilities in Turkey.]

(b) *Funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available, notwithstanding any other provision of law, for assistance and related programs for the countries identified in section 3(c) of the Support for Eastern European Democracy (SEED) Act of 1989 (Public Law 101–179) and section 3 of the FREEDOM Support Act (Public Law 102–511) and may be used to carry out the provisions of those Acts: Provided, That such assistance and related programs from funds appropriated by this Act under the headings "Global Health Programs", "Economic Support and Development Fund", and "International Narcotics Control and Law Enforcement" shall be administered in accordance with the responsibilities of the coordinator designated pursuant to section 601 of the SEED Act of 1989 and section 102 of the FREEDOM Support Act: Provided further, That funds appropriated by this Act under the heading "Economic Support and Development Fund" may be made available, notwithstanding any other provision of law, for contributions to multilateral initiatives to counter hybrid threats.*

COUNTERING RUSSIAN INFLUENCE AND AGGRESSION

SEC. [7047] 7032. [(a) LIMITATION.—None of the funds appropriated by this Act may be made available for assistance for the central Government of the Russian Federation.]

[(b) ANNEXATION OF CRIMEA.—

(1) PROHIBITION.—None of the funds appropriated by this Act may be made available for assistance for the central government of a country that the Secretary of State determines and reports to the Committees on Appropriations has taken affirmative steps intended to support or be supportive of the Russian Federation annexation of Crimea or other territory in Ukraine: *Provided*, That except as otherwise provided in subsection (a), the Secretary may waive the restriction on assistance required by this paragraph if the Secretary determines and reports to such Committees that to do so is in the national interest of the United States, and includes a justification for such interest.

(2) LIMITATION.—None of the funds appropriated by this Act may be made available for—

(A) the implementation of any action or policy that recognizes the sovereignty of the Russian Federation over Crimea or other territory in Ukraine;

(B) the facilitation, financing, or guarantee of United States Government investments in Crimea or other territory in Ukraine under the control of Russian-backed separatists, if such activity includes the participation of Russian Government officials, or other Russian owned or controlled financial entities; or

(C) assistance for Crimea or other territory in Ukraine under the control of Russian-backed separatists, if such assistance includes the participation of Russian Government officials, or other Russian owned or controlled financial entities.

(3) INTERNATIONAL FINANCIAL INSTITUTIONS.—The Secretary of the Treasury shall instruct the United States executive directors of each international financial institution to use the voice and vote of the United States to oppose any assistance by such institution (including any loan, credit, or guarantee) for any program that violates the sovereignty or territorial integrity of Ukraine.

(4) DURATION.—The requirements and limitations of this subsection shall cease to be in effect if the Secretary of State determines and reports to the Committees on Appropriations that the Government of Ukraine has reestablished sovereignty over Crimea and other territory in Ukraine under the control of Russian-backed separatists.]

[(c) OCCUPATION OF THE GEORGIAN TERRITORIES OF ABKHAZIA AND TSKHINVALI REGION/SOUTH OSSETIA.—

(1) PROHIBITION.—None of the funds appropriated by this Act may be made available for assistance for the central government of a country that the Secretary of State determines and reports to the Committees on Appropriations has recognized the independence of, or has established diplomatic relations with, the Russian Federation occupied Georgian territories of Abkhazia and Tskhinvali Region/South Ossetia: *Provided*, That the Secretary shall publish on the Department of State website a list of any such central governments in a timely manner: *Provided further*, That the Secretary may waive the restriction on assistance required by this paragraph if the Secretary determines and reports to the Committees on Appropriations that to do so is in the national interest of the United States, and includes a justification for such interest.

(2) LIMITATION.—None of the funds appropriated by this Act may be made available to support the Russian Federation occupation of the Georgian territories of Abkhazia and Tskhinvali Region/South Ossetia.

(3) INTERNATIONAL FINANCIAL INSTITUTIONS.—The Secretary of the Treasury shall instruct the United States executive directors of each international financial institution to use the voice and vote of the United States to oppose any assistance by such institution (including any loan, credit, or guarantee) for any program that violates the sovereignty and territorial integrity of Georgia.]

[(d) COUNTERING RUSSIAN INFLUENCE FUND.—

(1) ASSISTANCE.—[.—Of the funds] *Funds* appropriated by this Act under the headings ["Assistance for Europe, Eurasia and Central Asia", "International Narcotics Control and Law Enforcement", "International Military Education and Training", and "Foreign Military Financing Program", [not less than \$290,000,000 shall] *may* be made available to carry out the purposes of the Countering Russian Influence Fund, as authorized by section 254 of the Countering Russian Influence in Europe and Eurasia Act of 2017 (Public Law 115–44; 22 U.S.C. 9543) [and] notwithstanding the country limitation in subsection (b) of such section, and programs to enhance the capacity of law enforcement and security forces in countries in Europe, Eurasia, and Central Asia and strengthen security cooperation between such countries and the United States and the North Atlantic Treaty Organization, as appropriate.

(2) **ECONOMICS AND TRADE.**—Funds appropriated by this Act and made available for assistance for the Eastern Partnership countries shall be made available to advance the implementation of Association Agreements and trade agreements with the European Union, and to reduce their vulnerability to external economic and political pressure from the Russian Federation.

[(c) **DEMOCRACY PROGRAMS.**—Funds appropriated by this Act shall be made available to support democracy programs in the Russian Federation and other countries in Europe, Eurasia, and Central Asia, including to promote Internet freedom: *Provided*, That not later than 90 days after enactment of this Act, the Secretary of State, in consultation with the Administrator of the United States Agency for International Development, shall submit to the appropriate congressional committees a comprehensive, multiyear strategy for the promotion of democracy in such countries.]

UNITED NATIONS

SEC. [7048]7033. (a) **TRANSPARENCY AND ACCOUNTABILITY.**—

(1) **WITHHOLDING OF FUNDS.**—Of the funds appropriated under the heading "Contributions to International Organizations" in title I [and "International Organizations and Programs" in title V] of this Act that are available for contributions to the United Nations (including the Department of Peacekeeping Operations), any United Nations agency, or the Organization of American States, 15 percent may not be obligated for such organization, department, or agency until the Secretary of State [determines and reports to] *briefs* the Committees on Appropriations that the organization, department, or agency is—

(A) posting on a publicly available website, consistent with privacy regulations and due process, regular financial and programmatic audits of such organization, department, or agency, and providing the United States Government with necessary access to such financial and performance audits;

(B) effectively implementing and enforcing policies and procedures which [meet or exceed] *reflect* best practices [in the United States] for the protection of whistleblowers from retaliation, including—

- (i) protection against retaliation for internal and lawful public disclosures;
- (ii) legal burdens of proof;
- (iii) statutes of limitation for reporting retaliation;
- (iv) access to binding independent adjudicative bodies, including shared cost and selection external arbitration; and
- (v) results that eliminate the effects of proven retaliation, including provision for the restoration of prior employment; and

(C) effectively implementing and enforcing policies and procedures on the appropriate use of travel funds, including restrictions on first class and business class travel.

(2) **WAIVER.**—The restrictions imposed by or pursuant to paragraph (1) may be waived on a case- by-case basis if the Secretary of State determines and [reports to] *briefs* the Committees on Appropriations that such waiver is [necessary to avert or respond to a humanitarian crisis] *in the national interest of the United States*.

(b) **RESTRICTIONS ON UNITED NATIONS DELEGATIONS AND ORGANIZATIONS.**—

(1) **RESTRICTIONS ON UNITED STATES DELEGATIONS.**—None of the funds made available [by] *under title I* of this Act may be used to pay expenses for any United States delegation to any specialized agency, body, or commission of the United Nations if such agency, body, or commission is chaired or presided over by a country, the government of which the Secretary of State has determined, for purposes of section 1754(c) of the Export Reform Control Act of 2018 (50 U.S.C. 4813(c)), supports international terrorism.

(2) **RESTRICTIONS ON CONTRIBUTIONS.**—None of the funds made available [by] *under title I* of this Act may be used by the Secretary of State as a contribution to any organization, agency, commission, or program within the United Nations system if such organization, agency, commission, or program is chaired or presided over by a country the government of which the Secretary of State has determined, for purposes of section 620A of the Foreign Assistance Act of 1961, section 40 of the Arms Export Control Act, section 1754(c) of the Export Reform Control Act of 2018 (50 U.S.C. 4813(c)), or any other provision of law, is a government that has repeatedly provided support for acts of international terrorism.

(3) **WAIVER.**—The Secretary of State may waive the restriction in this subsection if the Secretary [determines and reports to] *briefs* the Committees on Appropriations that to do so is [important to the] *in the national interest of the United States* [, including a description of the national interest served].

(c) **UNITED NATIONS HUMAN RIGHTS COUNCIL.**—None of the funds appropriated by this Act may be made available in support of the United Nations Human Rights Council unless the Secretary of State determines and [reports to] *briefs* the Committees on Appropriations that participation in the Council is important

to the national interest of the United States and that such Council is taking [significant] steps to remove Israel as a permanent agenda item [and ensure integrity in the election of members to such Council]: *Provided*, That such [report] *briefing* shall include a description of the national interest served and the steps taken to remove Israel as a permanent agenda item [and ensure integrity in the election of members to such Council: *Provided further*, That the Secretary of State shall report to the Committees on Appropriations not later than September 30, 2020, on the resolutions considered in the United Nations Human Rights Council during the previous 12 months, and on steps taken to remove Israel as a permanent agenda item and ensure integrity in the election of members to such Council].

(d) **UNITED NATIONS RELIEF AND WORKS AGENCY.**—Prior to the initial obligation of funds for the United Nations Relief and Works Agency (UNRWA), [(UNRWA).—Not later than 45 days after the enactment of this Act, the Secretary of State shall [report to] *brief* the Committees on Appropriations [, in writing,] on whether UNRWA is—

(1) utilizing Operations Support Officers in the West Bank, Gaza, and other fields of operation to inspect UNRWA installations and reporting any inappropriate use;

(2) acting promptly to address any staff or beneficiary violation of its own policies (including the policies on neutrality and impartiality of employees) and the legal requirements under section 301(c) of the Foreign Assistance Act of 1961;

(3) implementing procedures to maintain the neutrality of its facilities, including implementing a no-weapons policy, and conducting regular inspections of its installations, to ensure they are only used for humanitarian or other appropriate purposes;

(4) taking necessary and appropriate measures to ensure it is operating in compliance with the conditions of section 301(c) of the Foreign Assistance Act of 1961 and continuing regular reporting to the Department of State on actions it has taken to ensure conformance with such conditions;

(5) taking steps to ensure the content of all educational materials currently taught in UNRWA-administered schools and summer camps is consistent with the values of human rights, dignity, and tolerance and does not induce incitement;

(6) not engaging in operations with financial institutions or related entities in violation of relevant United States law, and is taking steps to improve the financial transparency of the organization; and

(7) in compliance with the United Nations Board of Auditors' biennial audit requirements and is implementing in a timely fashion the Board's recommendations.

[(e) **PROHIBITION OF PAYMENTS TO UNITED NATIONS MEMBERS.**—None of the funds appropriated or made available pursuant to titles III through VI of this Act for carrying out the Foreign Assistance Act of 1961, may be used to pay in whole or in part any assessments, arrearages, or dues of any member of the United Nations or, from funds appropriated by this Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961, the costs for participation of another country's delegation at international conferences held under the auspices of multilateral or international organizations.]

[(f) **REPORT.**—Not later than 45 days after enactment of this Act, the Secretary of State [shall] *should* submit a report to the Committees on Appropriations detailing the amount of funds available for obligation or expenditure in fiscal year [2020]2021 for contributions to any organization, department, agency, or program within the United Nations system or any international program that are withheld from obligation or expenditure due to any provision of law: *Provided*, That the Secretary [shall] *should* update such report each time additional funds are withheld by operation of any provision of law: *Provided further*, That the reprogramming of any withheld funds identified in such report, including updates thereof, [shall] *should* be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.

[(g) **SEXUAL EXPLOITATION AND ABUSE IN PEACEKEEPING OPERATIONS.**—The Secretary of State should withhold assistance to any unit of the security forces of a foreign country if the Secretary has credible information that such unit has engaged in sexual exploitation or abuse, including while serving in a United Nations peacekeeping operation, until the Secretary determines that the government of such country is taking effective steps to hold the responsible members of such unit accountable and to prevent future incidents: *Provided*, That the Secretary shall promptly notify the government of each country subject to any withholding of assistance pursuant to this paragraph, and shall notify the appropriate congressional committees of such withholding not later than 10 days after a determination to withhold such assistance is made: *Provided further*, That the Secretary shall, to the maximum extent practicable, assist such government in bringing the responsible members of such unit to justice.]

(H/f) ADDITIONAL AVAILABILITY.—Subject to the regular notification procedures of the Committees on Appropriations, funds **].**—Funds appropriated by this Act which are returned or not made available due to the implementation of subsection (a) **],** the third proviso under the heading "Contributions for International Peacekeeping Activities" in title I of this Act, **]** or section 307(a) of the Foreign Assistance Act of 1961 (22 U.S.C. 2227(a)), shall remain available for obligation until September 30, **[2021]** 2022: *Provided*, That the requirement to withhold funds for programs in Burma under section 307(a) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated by this Act.

[(i) NATIONAL SECURITY INTEREST WITHHOLDING.—

(1) **WITHHOLDING.**—The Secretary of State shall withhold 5 percent of the funds appropriated by this Act under the heading "Contributions to International Organizations" for a specialized agency or other entity of the United Nations if the Secretary, in consultation with the United States Ambassador to the United Nations, determines and reports to the Committees on Appropriations that such agency or entity has taken an official action that is against the national security interest of the United States or an ally of the United States, including Israel.

(2) **RELEASE OF FUNDS.**—The Secretary of State, in consultation with the United States Ambassador to the United Nations, may release funds withheld pursuant to paragraph (1) if the Secretary determines and reports to the Committees on Appropriations that such agency or entity is taking steps to address the action that resulted in the withholding of such funds.

(3) **REPROGRAMMING.**—Should the Secretary of State be unable to make a determination pursuant to paragraph (2) regarding the release of withheld funds, such funds may be reprogrammed for other purposes under the heading "Contributions to International Organizations".

(4) **WAIVER.**—The Secretary of State, following consultation with the Committees on Appropriations, may waive the requirements of this subsection if the Secretary determines that to do so in the national interest. **]**

WAR CRIMES TRIBUNALS

SEC. [7049] 7034. (a) If the President determines that doing so will contribute to a just resolution of charges regarding genocide or other violations of international humanitarian law, the President may direct a drawdown pursuant to section 552(c) of the Foreign Assistance Act of 1961 of up to \$30,000,000 of commodities and services for the United Nations War Crimes Tribunal established with regard to the former Yugoslavia by the United Nations Security Council or such other tribunals or commissions as the Council may establish or authorize to deal with such violations, without regard to the ceiling limitation contained in paragraph (2) thereof: *Provided*, That the determination required under this section shall be in lieu of any determinations otherwise required under section 552(c) **]:** *Provided further*, That funds made available pursuant to this section shall be made available subject to the regular notification procedures of the Committees on Appropriations **].**

[(b) None of the funds appropriated by this Act may be made available for a United States contribution to the International Criminal Court: *Provided*, That funds may be made available for technical assistance, training, assistance for victims, protection of witnesses, and law enforcement support related to international investigations, apprehensions, prosecutions, and adjudications of genocide, crimes against humanity, and war crimes: *Provided further*, That the previous proviso shall not apply to investigations, apprehensions, or prosecutions of American service members and other United States citizens or nationals, or nationals of the North Atlantic Treaty Organization (NATO) or major non-NATO allies initially designated pursuant to section 517(b) of the Foreign Assistance Act of 1961. **]**

[GLOBAL INTERNET FREEDOM]

[SEC. 7050. (a) FUNDING.—Of the funds available for obligation during fiscal year 2020 under the headings "International Broadcasting Operations", "Economic Support Fund", "Democracy Fund", and "Assistance for Europe, Eurasia and Central Asia", not less than \$65,500,000 shall be made available for programs to promote Internet freedom globally: *Provided*, That such programs shall be prioritized for countries whose governments restrict freedom of expression on the Internet, and that are important to the national interest of the United States: *Provided further*, That funds made available pursuant to this section shall be matched, to the maximum extent practicable, by sources other than the United States Government, including from the private sector.

(b) REQUIREMENTS.—

(1) **DEPARTMENT OF STATE AND UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT.**—Funds appropriated by this Act under the headings "Economic Support Fund", "Democracy Fund", and "Assistance for Europe, Eurasia and Central Asia" that are made available pursuant to subsection (a) shall be—

(A) coordinated with other democracy programs funded by this Act under such headings, and shall be incorporated into country assistance and democracy promotion strategies, as appropriate;

(B) for programs to implement the May 2011, International Strategy for Cyberspace, the Department of State International Cyberspace Policy Strategy required by section 402 of the Cybersecurity Act of 2015 (division N of Public Law 114–113), and the comprehensive strategy to promote Internet freedom and access to information in Iran, as required by section 414 of the Iran Threat Reduction and Syria Human Rights Act of 2012 (22 U.S.C. 8754);

(C) made available for programs that support the efforts of civil society to counter the development of repressive Internet-related laws and regulations, including countering threats to Internet freedom at international organizations; to combat violence against bloggers and other users; and to enhance digital security training and capacity building for democracy activists;

(D) made available for research of key threats to Internet freedom; the continued development of technologies that provide or enhance access to the Internet, including circumvention tools that bypass Internet blocking, filtering, and other censorship techniques used by authoritarian governments; and maintenance of the technological advantage of the United States Government over such censorship techniques: *Provided*, That the Secretary of State, in consultation with the Chief Executive Officer (CEO) of the United States Agency for Global Media (USAGM), shall coordinate any such research and development programs with other relevant United States Government departments and agencies in order to share information, technologies, and best practices, and to assess the effectiveness of such technologies; and

(E) made available only after the Assistant Secretary for Democracy, Human Rights, and Labor, Department of State, concurs that such funds are allocated consistent with—

(i) the strategies referenced in subparagraph (B) of this paragraph;

(ii) best practices regarding security for, and oversight of, Internet freedom programs; and

(iii) sufficient resources and support for the development and maintenance of anti-censorship technology and tools.

(2) **UNITED STATES AGENCY FOR GLOBAL MEDIA.**—Funds appropriated by this Act under the heading "International Broadcasting Operations" that are made available pursuant to subsection (a) shall be—

(A) made available only for tools and techniques to securely develop and distribute USAGM digital content, facilitate audience access to such content on websites that are censored, coordinate the distribution of USAGM digital content to targeted regional audiences, and to promote and distribute such tools and techniques, including digital security techniques;

(B) coordinated with programs funded by this Act under the heading "International Broadcasting Operations", and shall be incorporated into country broadcasting strategies, as appropriate;

(C) coordinated by the USAGM CEO to provide Internet circumvention tools and techniques for audiences in countries that are strategic priorities for the USAGM and in a manner consistent with the USAGM Internet freedom strategy; and

(D) made available for the research and development of new tools or techniques authorized in subparagraph (A) only after the USAGM CEO, in consultation with the Secretary of State and other relevant United States Government departments and agencies, evaluates the risks and benefits of such new tools or techniques, and establishes safeguards to minimize the use of such new tools or techniques for illicit purposes.

(c) **COORDINATION AND SPEND PLANS.**—After consultation among the relevant agency heads to coordinate and de-conflict planned activities, but not later than 90 days after enactment of this Act, the Secretary of State and the USAGM CEO shall submit to the Committees on Appropriations spend plans for funds made available by this Act for programs to promote Internet freedom globally, which shall include a description of safeguards established by relevant agencies to ensure that such programs are not used for illicit purposes: *Provided*, That the Department of State spend plan shall include funding for all such programs for all relevant Department of State and the United States Agency for International Development offices and bureaus.

(d) **SECURITY AUDITS.**—Funds made available pursuant to this section to promote Internet freedom globally may only be made available to support technologies that undergo comprehensive security audits conducted by the Bureau of Democracy, Human Rights, and Labor, Department of State to ensure that such technology is secure and has not been compromised in a manner detrimental to the interest of the United States or to individuals and organizations benefiting from programs supported by such funds: *Provided*, That the security auditing procedures used by such Bureau shall be reviewed and updated periodically to reflect current industry security standards.

(e) **SURGE.**—Of the funds appropriated by this Act under the heading "Economic Support Fund", up to \$2,500,000 may be made available to surge Internet freedom

programs in closed societies if the Secretary of State determines and reports to the appropriate congressional committees that such use of funds is in the national interest: *Provided*, That such funds are in addition to amounts made available for such purposes: *Provided further*, That such funds may be transferred to, and merged with, funds appropriated by this Act under the heading "International Broadcasting Operations" following consultation with, and the regular notification procedures of, the Committees on Appropriations.]

TORTURE AND OTHER CRUEL, INHUMAN, OR DEGRADING TREATMENT OR PUNISHMENT

SEC. [7051] 7035. (a) **LIMITATION.**—None of the funds made available by this Act may be used to support or justify the use of torture and other cruel, inhuman, or degrading treatment or punishment by any official or contract employee of the United States Government.

(b) **ASSISTANCE.**—Funds appropriated under titles III and IV of this Act shall be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961 [and following consultation with the Committees on Appropriations], for assistance to eliminate torture and other cruel, inhuman, or degrading treatment or punishment by foreign police, military or other security forces in countries receiving assistance from funds appropriated by this Act.

AIRCRAFT TRANSFER, COORDINATION, AND USE

SEC. [7052] 7036. (a) **TRANSFER AUTHORITY.**—Notwithstanding any other provision of law or regulation, aircraft procured with funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Diplomatic Programs", "*Diplomatic and Consular Programs*", "International Narcotics Control and Law Enforcement", "Andean Counterdrug Initiative", and "Andean Counterdrug Programs" may be used for any other program and in any region.

(b) **PROPERTY DISPOSAL.**—The authority provided in subsection (a) shall apply only after the Secretary of State determines and reports to the Committees on Appropriations that the equipment is no longer required to meet programmatic purposes in the designated country or region: *Provided*, That any such transfer shall be subject to prior consultation with, and the regular notification procedures of, the Committees on Appropriations.]

(c) AIRCRAFT COORDINATION.—

(1) **AUTHORITY.**—The uses of aircraft purchased or leased by the Department of State and the United States Agency for International Development with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs [shall] *should* be coordinated under the authority of the appropriate Chief of Mission: *Provided*, That [notwithstanding section 7063(b) of this Act,] such aircraft may be used to transport, on a reimbursable or non-reimbursable basis, Federal and non-Federal personnel supporting Department of State and USAID programs and activities: *Provided further*, That official travel for other agencies for other purposes may be supported on a reimbursable basis, or without reimbursement when traveling on a space available basis: *Provided further*, That funds received by the Department of State in connection with the use of aircraft owned, leased, or chartered by the Department of State may be credited to the Working Capital Fund of the Department and shall be available for expenses related to the purchase, lease, maintenance, chartering, or operation of such aircraft.

(2) **SCOPE.**—The requirement and authorities of this subsection shall only apply to aircraft, the primary purpose of which is the transportation of personnel.

(d) **AIRCRAFT OPERATIONS AND MAINTENANCE.**—To the maximum extent practicable, the costs of operations and maintenance, including fuel, of aircraft funded by this Act shall be borne by the recipient [country].

[PARKING FINES AND REAL PROPERTY TAXES OWED BY FOREIGN GOVERNMENTS]

SEC. 7053. The terms and conditions of section 7055 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111–117) shall apply to this Act: *Provided*, That the date "September 30, 2009" in subsection (f)(2)(B) of such section shall be deemed to be "September 30, 2019".]

[INTERNATIONAL MONETARY FUND]

SEC. 7054. (a) **EXTENSIONS.**—The terms and conditions of sections 7086(b) (1) and (2) and 7090(a) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111–117) shall apply to this Act.

(b) **REPAYMENT.**—The Secretary of the Treasury shall instruct the United States Executive Director of the International Monetary Fund (IMF) to seek to ensure that any loan will be repaid to the IMF before other private or multilateral creditors.]

[EXTRADITION]

SEC. 7055. (a) **LIMITATION.**—None of the funds appropriated in this Act may be used to provide assistance (other than funds provided under the headings "Inter-

national Disaster Assistance", "Complex Crises Fund", "International Narcotics Control and Law Enforcement", "Migration and Refugee Assistance", "United States Emergency Refugee and Migration Assistance Fund", and "Nonproliferation, Anti-terrorism, Demining and Related Assistance") for the central government of a country which has notified the Department of State of its refusal to extradite to the United States any individual indicted for a criminal offense for which the maximum penalty is life imprisonment without the possibility of parole or for killing a law enforcement officer, as specified in a United States extradition request.

(b) **CLARIFICATION.**—Subsection (a) shall only apply to the central government of a country with which the United States maintains diplomatic relations and with which the United States has an extradition treaty and the government of that country is in violation of the terms and conditions of the treaty.

(c) **WAIVER.**—The Secretary of State may waive the restriction in subsection (a) on a case-by-case basis if the Secretary certifies to the Committees on Appropriations that such waiver is important to the national interest of the United States.]

IMPACT ON JOBS IN THE UNITED STATES

SEC. [7056] 7037. None of the funds appropriated or otherwise made available under titles III through VI of this Act may be obligated or expended to provide—

(1) any financial incentive to a business enterprise currently located in the United States for the purpose of inducing such an enterprise to relocate outside the United States if such incentive or inducement is likely to reduce the number of employees of such business enterprise in the United States because United States production is being replaced by such enterprise outside the United States;

(2) assistance for any program, project, or activity that contributes to the violation of internationally recognized workers' rights, as defined in section 507(4) of the Trade Act of 1974, of workers in the recipient country, including any designated zone or area in that country: *Provided*, That the application of section 507(4)(D) and (E) of such Act (19 U.S.C. 2467(4)(D) and (E)) should be commensurate with the level of development of the recipient country and sector, and shall not preclude assistance for the informal sector in such country, micro and small-scale enterprise, and smallholder agriculture;

(3) any assistance to an entity outside the United States if such assistance is for the purpose of directly relocating or transferring jobs from the United States to other countries and adversely impacts the labor force in the United States; or

(4) for the enforcement of any rule, regulation, policy, or guidelines implemented pursuant to the Supplemental Guidelines for High Carbon Intensity Projects approved by the Export-Import Bank of the United States on December 12, 2013, when enforcement of such rule, regulation, policy, or guidelines would prohibit, or have the effect of prohibiting, any coal-fired or other power-generation project the purpose of which is to—

(A) provide affordable electricity in International Development Association (IDA)-eligible countries and IDA-blend countries; and

(B) increase exports of goods and services from the United States or prevent the loss of jobs from the United States.

[UNITED NATIONS POPULATION FUND]

SEC. 7057. (a) **CONTRIBUTION.**—Of the funds made available under the heading "International Organizations and Programs" in this Act for fiscal year 2020, \$32,500,000 shall be made available for the United Nations Population Fund (UNFPA).

(b) **AVAILABILITY OF FUNDS.**—Funds appropriated by this Act for UNFPA, that are not made available for UNFPA because of the operation of any provision of law, shall be transferred to the "Global Health Programs" account and shall be made available for family planning, maternal, and reproductive health activities, subject to the regular notification procedures of the Committees on Appropriations.

(c) **PROHIBITION ON USE OF FUNDS IN CHINA.**—None of the funds made available by this Act may be used by UNFPA for a country program in the People's Republic of China.

(d) **CONDITIONS ON AVAILABILITY OF FUNDS.**—Funds made available by this Act for UNFPA may not be made available unless—

(1) UNFPA maintains funds made available by this Act in an account separate from other accounts of UNFPA and does not commingle such funds with other sums; and

(2) UNFPA does not fund abortions.

(e) **REPORT TO CONGRESS AND DOLLAR-FOR-DOLLAR WITHHOLDING OF FUNDS.**—

(1) Not later than 4 months after the date of enactment of this Act, the Secretary of State shall submit a report to the Committees on Appropriations indicating the amount of funds that UNFPA is budgeting for the year in which the report is submitted for a country program in the People's Republic of China.

(2) If a report under paragraph (1) indicates that UNFPA plans to spend funds for a country program in the People's Republic of China in the year covered by the report, then the amount of such funds UNFPA plans to spend in the People's

Republic of China shall be deducted from the funds made available to UNFPA after March 1 for obligation for the remainder of the fiscal year in which the report is submitted.】

GLOBAL HEALTH ACTIVITIES

SEC. 【7058】7038. (a) IN GENERAL.—Funds appropriated by titles III and IV of this Act that are made available for bilateral assistance for child survival activities or disease programs including activities relating to research on, and the prevention, treatment and control of, HIV/AIDS may be made available notwithstanding any other provision of law except for provisions under the heading "Global Health Programs" and the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (117 Stat. 711; 22 U.S.C. 7601 et seq.), as amended【: *Provided*, That of the funds appropriated under title III of this Act, not less than \$575,000,000 should be made available for family planning/reproductive health, including in areas where population growth threatens biodiversity or endangered species】.

(b) INFECTIOUS DISEASE OUTBREAKS.—

(1) EXTRAORDINARY MEASURES.—If the Secretary of State determines and reports to the Committees on Appropriations that an international infectious disease outbreak is sustained, severe, and is spreading internationally, or that it is in the national interest to respond to a Public Health Emergency of International Concern, funds appropriated by this Act under the headings "Global Health Programs", ["Development Assistance", "International Disaster Assistance", "Complex Crises Fund",] "Economic Support and Development Fund", ["Democracy Fund", "Assistance for Europe, Eurasia and Central Asia", "Migration and Refugee Assistance",] and "Millennium Challenge Corporation" may be made available to combat such infectious disease or public health emergency, and may be transferred to, and merged with, funds appropriated under such headings for the purposes of this paragraph.

(2) EMERGENCY RESERVE FUND【.—Up to \$10,000,000 of the funds】Funds made available under the heading "Global Health Programs" may be made available for the Emergency Reserve Fund established pursuant to section 7058(c)(1) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017 (division J of Public Law 115–31): *Provided*, That such funds shall be made available under the same terms and conditions of such section.

【(3) EBOLA VIRUS DISEASE.—Funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the heading "International Disaster Assistance" that are made available to respond to the Ebola virus disease outbreak in the Democratic Republic of the Congo, including in countries affected by, or at risk of being affected by, such outbreak, shall be the responsibility of the Assistant Administrator for Democracy, Conflict, and Humanitarian Assistance, USAID, or successor official responsible for USAID Ebola response.】

【(4) CONSULTATION AND NOTIFICATION.—Funds made available by this subsection shall be subject to prior consultation with the appropriate congressional committees and the regular notification procedures of the Committees on Appropriations.】

GENDER EQUALITY

SEC. 【7059】7039. (a) WOMEN'S EMPOWERMENT.—

(1) GENDER EQUALITY.—Funds appropriated by this Act 【shall】*may* be made available, *notwithstanding any other provision of law*, to promote gender equality, 【in United States Government diplomatic and development efforts】*including* by raising the status, increasing the participation, and protecting the rights of women and girls worldwide.

(2) WOMEN'S ECONOMIC EMPOWERMENT.—Funds appropriated by this Act 【are】*shall be made* available to implement the Women's Entrepreneurship and Economic Empowerment Act of 2018 (Public Law 115–428)【: *Provided*, That the Secretary of State and the Administrator of the United States Agency for International Development, as appropriate, shall consult with the Committees on Appropriations on the implementation of such Act】.

(3) WOMEN'S GLOBAL DEVELOPMENT AND PROSPERITY FUND.—Of the funds appropriated under title III of this Act, up to 【\$100,000,000】 *\$200,000,000* may be made available for the Women's Global Development and Prosperity Fund.

【(b) WOMEN'S LEADERSHIP.—Of the funds appropriated by title III of this Act, not less than \$50,000,000 shall be made available for programs specifically designed to increase leadership opportunities for women in countries where women and girls suffer discrimination due to law, policy, or practice, by strengthening protections for women's political status, expanding women's participation in political parties and elections, and increasing women's opportunities for leadership positions in the public and private sectors at the local, provincial, and national levels.】

(c) GENDER-BASED VIOLENCE.—

【(1) Of the funds appropriated under titles III and IV of this Act, not less than \$165,000,000 shall be made available to implement a multi-year strategy to prevent and respond to gender-based violence in countries where it is common in conflict and non-conflict settings.】

【(2) Funds appropriated under titles III and IV of this Act that are available to train foreign police, judicial, and military personnel, including for international peacekeeping operations, shall address, where appropriate, prevention and response to gender-based violence and trafficking in persons, and 【shall】*may* promote the integration of women into the police and other security forces.

【(d) c) WOMEN, PEACE, AND SECURITY.—Funds appropriated by this Act under the headings ["Development Assistance",] "Economic Support and Development Fund"【, "Assistance for Europe, Eurasia and Central Asia",】 and "International Narcotics Control and Law Enforcement" should be made available to support a multi-year strategy to expand, and improve coordination of, United States Government efforts to empower women as equal partners in conflict prevention, peace building, transitional processes, and reconstruction efforts in countries affected by conflict or in political transition, and to ensure the equitable provision of relief and recovery assistance to women and girls.

【(e) WOMEN AND GIRLS AT RISK FROM EXTREMISM AND CONFLICT.—Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$15,000,000 shall be made available to support women and girls who are at risk from extremism and conflict, and for the activities described in section 7059(e)(1) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2018 (division K of Public Law 115–141): *Provided*, That such funds are in addition to amounts otherwise made available by this Act for such purposes, and shall be made available following consultation with, and the regular notification procedures of, the Committees on Appropriations.】

SECTOR ALLOCATIONS

SEC. 【7060】7040. (a) BASIC EDUCATION AND HIGHER EDUCATION.—

(1) BASIC EDUCATION.— *Funds appropriated under title III of this Act may be made available for assistance for basic education notwithstanding any other provision of law: Provided, That if the USAID Administrator determines that any unobligated balances of funds specifically designated for assistance for basic education in prior Acts making appropriations for the Department of State, foreign operations, and related programs are in excess of the absorptive capacity of recipient countries, such funds may be made available for other programs authorized under chapter 1 of part I of the Foreign Assistance Act of 1961, notwithstanding such funding designation.*

【(A) Of the funds appropriated under title III of this Act, not less than \$875,000,000 shall be made available for assistance for basic education, and such funds may be made available notwithstanding any other provision of law that restricts assistance to foreign countries: *Provided*, That such funds shall also be used for secondary education activities: *Provided further*, That the Administrator of the United States Agency for International Development, following consultation with the Committees on Appropriations, may reprogram such funds between countries: *Provided further*, That funds made available under the headings "Development Assistance" and "Economic Support Fund" for the support of non-state schools in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be subject to the regular notification procedures of the Committees on Appropriations.】

【(B) Of the funds appropriated under title III of this Act for assistance for basic education programs, not less than \$125,000,000 shall be made available for contributions to multilateral partnerships that support education.】

(2) HIGHER EDUCATION【.—Of the funds appropriated by title III of this Act, not less than \$235,000,000 shall be made available for assistance for higher education: *Provided*, That such funds may be made available notwithstanding any other provision of law that restricts assistance to foreign countries, and shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That of such amount, not less than \$35,000,000 shall be made available for new and ongoing partnerships between higher education institutions in the United States and developing countries focused on building the capacity of higher education institutions and systems in developing countries: *Provided further*, That not later than 45 days after enactment of this Act, the USAID Administrator shall consult with the Committees on Appropriations on the proposed uses of funds for such partnerships【.— *Funds appropriated by title III of this Act may be made available for assistance for higher education notwithstanding any other provision of law.*

【(b) DEVELOPMENT PROGRAMS.—Of the funds appropriated by this Act under the heading "Development Assistance", not less than \$17,000,000 shall be made available for cooperative development programs of USAID and not less than

\$30,000,000 shall be made available for the American Schools and Hospitals Abroad program.]

[(c) b] ENVIRONMENT PROGRAMS.—

[(1)(A)] Funds appropriated by this Act to carry out the provisions of sections 103 through 106, and chapter 4 of part II, of the Foreign Assistance Act of 1961 may be used, notwithstanding any other provision of law [, except for the provisions of this subsection,] to support environment programs.

[(B)] Funds made available pursuant to this subsection shall be subject to the regular notification procedures of the Committees on Appropriations.]

[(2)]

(A) Of the funds appropriated under title III of this Act, not less than \$315,000,000 shall be made available for biodiversity conservation programs.

(B) Not less than \$100,664,000 of the funds appropriated under titles III and IV of this Act shall be made available to combat the transnational threat of wildlife poaching and trafficking.

(C) None of the funds appropriated under title IV of this Act may be made available for training or other assistance for any military unit or personnel that the Secretary of State determines has been credibly alleged to have participated in wildlife poaching or trafficking, unless the Secretary reports to the appropriate congressional committees that to do so is in the national security interest of the United States.

(D) Funds appropriated by this Act for biodiversity programs shall not be used to support the expansion of industrial scale logging or any other industrial scale extractive activity into areas that were primary/intact tropical forests as of December 30, 2013, and the Secretary of the Treasury shall instruct the United States executive directors of each international financial institutions (IFI) to use the voice and vote of the United States to oppose any financing of any such activity.]

[(3)] The Secretary of the Treasury shall instruct the United States executive director of each IFI that it is the policy of the United States to use the voice and vote of the United States, in relation to any loan, grant, strategy, or policy of such institution, regarding the construction of any large dam consistent with the criteria set forth in Senate Report 114–79, while also considering whether the project involves important foreign policy objectives.]

[(4)] Of the funds appropriated under title III of this Act, not less than \$135,000,000 shall be made available for sustainable landscapes programs.]

[(5)] Of the funds appropriated under title III of this Act, not less than \$177,000,000 shall be made available for adaptation programs.]

[(6)] Of the funds appropriated under title III of this Act, not less than \$179,000,000 shall be made available for renewable energy programs.]

[(d) e] FOOD SECURITY AND AGRICULTURAL DEVELOPMENT [.—Of the funds appropriated by title III of this Act, not less than \$1,005,600,000 shall be made available for food security and agricultural development programs to carry out the purposes of the Global Food Security Act of 2016 (Public Law 114–195): *Provided*, That funds] *Funds appropriated by this Act* may be made available for *food security and agricultural development programs notwithstanding any other provision of law, and for a contribution as authorized by section 3202 of the Food, Conservation, and Energy Act of 2008 (Public Law 110–246), as amended by section 3310 of the Agriculture Improvement Act of 2018 (Public Law 115–334).*

[(e) MICRO, SMALL, AND MEDIUM-SIZED ENTERPRISES.—Of the funds appropriated by this Act, not less than \$265,000,000 shall be made available to support the development of, and access to financing for, micro, small, and medium-sized enterprises that benefit the poor, especially women.]

[(f) PROGRAMS TO COMBAT TRAFFICKING IN PERSONS.—Of the funds appropriated by this Act under the headings "Development Assistance", "Economic Support Fund", "Assistance for Europe, Eurasia and Central Asia", and "International Narcotics Control and Law Enforcement", not less than \$67,000,000 shall be made available for activities to combat trafficking in persons internationally, of which not less than \$45,000,000 shall be from funds made available under the heading "International Narcotics Control and Law Enforcement": *Provided*, That funds appropriated by this Act that are made available for programs to end modern slavery shall be in addition to funds made available by this subsection to combat trafficking in persons.]

[(g) RECONCILIATION PROGRAMS.—Of the funds appropriated by this Act under the heading "Development Assistance", not less than \$30,000,000 shall be made available to support people-to-people reconciliation programs which bring together individuals of different ethnic, religious, and political backgrounds from areas of civil strife and war, including between Israelis and Palestinians living in the West Bank and Gaza: *Provided*, That the USAID Administrator shall consult with the Committees on Appropriations, prior to the initial obligation of funds, on the uses of such funds, and such funds shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That to the maximum

extent practicable, such funds shall be matched by sources other than the United States Government: *Provided further*, That such funds shall be administered by the Office of Conflict Management and Mitigation, USAID.]

[(h) WATER AND SANITATION.—Of the funds appropriated by this Act, not less than \$450,000,000 shall be made available for water supply and sanitation projects pursuant to section 136 of the Foreign Assistance Act of 1961, of which not less than \$225,000,000 shall be for programs in sub-Saharan Africa, and of which not less than \$15,000,000 shall be made available to support initiatives by local communities in developing countries to build and maintain safe latrines.]

[BUDGET DOCUMENTS]

[SEC. 7061. (a) OPERATING PLANS.—Not later than 45 days after enactment of this Act, each department, agency, or organization funded in titles I, II, and VI of this Act, and the Department of the Treasury and Independent Agencies funded in title III of this Act, including the Inter-American Foundation and the United States African Development Foundation, shall submit to the Committees on Appropriations an operating plan for funds appropriated to such department, agency, or organization in such titles of this Act, or funds otherwise available for obligation in fiscal year 2020, that provides details of the uses of such funds at the program, project, and activity level: *Provided*, That such plans shall include, as applicable, a comparison between the congressional budget justification funding levels, the most recent congressional directives or approved funding levels, and the funding levels proposed by the department or agency; and a clear, concise, and informative description/justification: *Provided further*, That operating plans that include changes in levels of funding for programs, projects, and activities specified in the congressional budget justification, in this Act, or amounts specifically designated in the respective tables included in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), as applicable, shall be subject to the notification and reprogramming requirements of section 7015 of this Act.

(b) SPEND PLANS.—

(1) Not later than 90 days after enactment of this Act, the Secretary of State or Administrator of the United States Agency for International Development, as appropriate, shall submit to the Committees on Appropriations a spend plan for funds made available by this Act, for—

(A) assistance for Afghanistan, Iraq, Lebanon, Pakistan, Colombia, and countries in Central America;

(B) assistance made available pursuant to section 7047(d) of this Act to counter Russian influence and aggression, except that such plan shall be on a country-by-country basis;

(C) assistance made available pursuant to section 7059 of this Act;

(D) the Indo-Pacific Strategy;

(E) democracy programs, Power Africa, and sectors enumerated in subsections (a), (c), (d), (e), (f), (g) and (h) of section 7060 of this Act;

(F) funds provided under the heading "International Narcotics Control and Law Enforcement" for International Organized Crime and for Cybercrime and Intellectual Property Rights: *Provided*, That the spend plans shall include bilateral and global programs funded under such heading along with a brief description of the activities planned for each country; and

(G) the regional security initiatives described under this heading in Senate Report 116–126.

(2) Not later than 90 days after enactment of this Act, the Secretary of the Treasury shall submit to the Committees on Appropriations a detailed spend plan for funds made available by this Act under the heading "Department of the Treasury, International Affairs Technical Assistance" in title III.

(c) **SPENDING REPORT.—**Not later than 45 days after enactment of this Act, the USAID Administrator shall submit to the Committees on Appropriations a detailed report on spending of funds made available during fiscal year 2019 under the heading "Development Credit Authority".

(d) **CLARIFICATION.—**The spend plans referenced in subsection (b) shall not be considered as meeting the notification requirements in this Act or under section 634A of the Foreign Assistance Act of 1961.

(e) CONGRESSIONAL BUDGET JUSTIFICATION.—

(1) **SUBMISSION.—**The congressional budget justification for Department of State operations and foreign operations shall be provided to the Committees on Appropriations concurrent with the date of submission of the President's budget for fiscal year 2021: *Provided*, That the appendices for such justification shall be provided to the Committees on Appropriations not later than 10 calendar days thereafter.

(2) **MULTI-YEAR AVAILABILITY OF CERTAIN FUNDS.—**The Secretary of State and the USAID Administrator shall include in the congressional budget justification a detailed justification for multi-year availability for any funds requested under the headings "Diplomatic Programs" and "Operating Expenses".]

【REORGANIZATION】

【SEC. 7062. (a) OVERSIGHT.—

(1) **PRIOR CONSULTATION AND NOTIFICATION.**—Funds appropriated by this Act, prior Acts making appropriations for the Department of State, foreign operations, and related programs, or any other Act may not be used to implement a reorganization, redesign, or other plan described in paragraph (2) by the Department of State, the United States Agency for International Development, or any other Federal department, agency, or organization funded by this Act without prior consultation by the head of such department, agency, or organization with the appropriate congressional committees: *Provided*, That such funds shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That any such notification submitted to such Committees shall include a detailed justification for any proposed action, including the information specified under section 7073 of the joint explanatory statement accompanying the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2019 (division F of Public Law 116–6): *Provided further*, That congressional notifications submitted in prior fiscal years pursuant to similar provisions of law in prior Acts making appropriations for the Department of State, foreign operations, and related programs may be deemed to meet the notification requirements of this section.

(2) **DESCRIPTION OF ACTIVITIES.**—Pursuant to paragraph (1), a reorganization, redesign, or other plan shall include any action to—

(A) expand, eliminate, consolidate, or downsize covered departments, agencies, or organizations, including bureaus and offices within or between such departments, agencies, or organizations, including the transfer to other agencies of the authorities and responsibilities of such bureaus and offices;

(B) expand, eliminate, consolidate, or downsize the United States official presence overseas, including at bilateral, regional, and multilateral diplomatic facilities and other platforms; or

(C) expand or reduce the size of the permanent Civil Service, Foreign Service, eligible family member, and locally employed staff workforce of the Department of State and USAID from the levels specified in sections 7063(d)(1) and 7064(i)(1) of this Act.

(b) **ADDITIONAL REQUIREMENTS AND LIMITATIONS.—**

(1) **USAID REORGANIZATION.**—Not later than 30 days after enactment of this Act, and quarterly thereafter until September 30, 2021, the USAID Administrator shall submit a report to the appropriate congressional committees on the status of USAID's reorganization in the manner described in House Report 116–78.

(2) **BUREAU OF POPULATION, REFUGEES, AND MIGRATION, DEPARTMENT OF STATE.**—None of the funds appropriated by this Act, prior Acts making appropriations for the Department of State, foreign operations, and related programs, or any other Act may be used to downsize, downgrade, consolidate, close, move, or relocate the Bureau of Population, Refugees, and Migration, Department of State, or any activities of such Bureau, to another Federal agency.

(3) **ADMINISTRATION OF FUNDS.**—Funds made available by this Act—

(A) under the heading "Migration and Refugee Assistance" shall be administered by the Assistant Secretary for Population, Refugees, and Migration, Department of State, and this responsibility shall not be delegated; and

(B) that are made available for the Office of Global Women's Issues shall be administered by the United States Ambassador-at-Large for Global Women's Issues, Department of State, and this responsibility shall not be delegated.】

【DEPARTMENT OF STATE MANAGEMENT】

【SEC. 7063. (a) **FINANCIAL SYSTEMS IMPROVEMENT.**—Funds appropriated by this Act for the operations of the Department of State under the headings "Diplomatic Programs" and "Capital Investment Fund" shall be made available to implement the recommendations contained in the Foreign Assistance Data Review Findings Report (FADR) and the Office of Inspector General (OIG) report entitled "Department Financial Systems Are Insufficient to Track and Report on Foreign Assistance Funds": *Provided*, That not later than 45 days after enactment of this Act, the Secretary of State shall submit to the Committees on Appropriations an update to the plan required under section 7006 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017 (division J of Public Law 115–31) for implementing the FADR and OIG recommendations: *Provided further*, That such funds may not be obligated for enhancements to, or expansions of, the Budget System Modernization Financial System, Central Resource Management System, Joint Financial Management System, or Foreign Assistance Coordination and Tracking System until such updated plan is submitted to the Committees on Appropriations: *Provided further*, That such funds may not be obligated for new, or expansion of existing, ad hoc electronic systems to track commitments, obligations, or expenditures of funds unless the Secretary of State, following consultation with the Chief Information Officer of the Department of State, has reviewed and certified

that such new system or expansion is consistent with the FADR and OIG recommendations.

(b) **WORKING CAPITAL FUND.**—Funds appropriated by this Act or otherwise made available to the Department of State for payments to the Working Capital Fund may only be used for the service centers included in the Congressional Budget Justification, Department of State, Foreign Operations, and Related Programs, Fiscal Year 2020: *Provided*, That the amounts for such service centers shall be the amounts included in such budget justification, except as provided in section 7015(b) of this Act: *Provided further*, That Federal agency components shall be charged only for their direct usage of each Working Capital Fund service: *Provided further*, That prior to increasing the percentage charged to Department of State bureaus and offices for procurement-related activities, the Secretary of State shall include the proposed increase in the Department of State budget justification or, at least 60 days prior to the increase, provide the Committees on Appropriations a justification for such increase, including a detailed assessment of the cost and benefit of the services provided by the procurement fee: *Provided further*, That Federal agency components may only pay for Working Capital Fund services that are consistent with the purpose and authorities of such components: *Provided further*, That the Working Capital Fund shall be paid in advance or reimbursed at rates which will return the full cost of each service.

(c) **CERTIFICATION.—**

(1) **COMPLIANCE.**—Not later than 45 days after the initial obligation of funds appropriated under titles III and IV of this Act that are made available to a Department of State bureau or office with responsibility for the management and oversight of such funds, the Secretary of State shall certify and report to the Committees on Appropriations, on an individual bureau or office basis, that such bureau or office is in compliance with Department and Federal financial and grants management policies, procedures, and regulations, as applicable.

(2) **CONSIDERATIONS.**—When making a certification required by paragraph (1), the Secretary of State shall consider the capacity of a bureau or office to—

(A) account for the obligated funds at the country and program level, as appropriate;

(B) identify risks and develop mitigation and monitoring plans;

(C) establish performance measures and indicators;

(D) review activities and performance; and

(E) assess final results and reconcile finances.

(3) **PLAN.**—If the Secretary of State is unable to make a certification required by paragraph (1), the Secretary shall submit a plan and timeline detailing the steps to be taken to bring such bureau or office into compliance.

(d) **PERSONNEL LEVELS.—**

(1) Funds made available by this Act are made available to support the permanent Foreign Service and Civil Service staff levels of the Department of State at not less than the hiring targets established in the fiscal year 2019 operating plan.

(2) Not later than 60 days after enactment of this Act, and every 60 days thereafter until September 30, 2021, the Secretary of State shall report to the appropriate congressional committees on the on-board personnel levels, hiring, and attrition of the Civil Service, Foreign Service, eligible family member, and locally employed staff workforce of the Department of State, on an operating unit-by-operating unit basis: *Provided*, That such report shall also include a hiring plan, including timelines, for maintaining the agency-wide, on-board Foreign Service and Civil Service at not less than the levels specified in paragraph (1).

(e) **INFORMATION TECHNOLOGY PLATFORM.—**

(1) None of the funds appropriated in title I of this Act under the heading "Administration of Foreign Affairs" may be made available for a new major information technology (IT) investment without the concurrence of the Chief Information Officer, Department of State.

(2) None of the funds made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be used by an agency to submit a project proposal to the Technology Modernization Board for funding from the Technology Modernization Fund unless, not later than 15 days in advance of submitting the project proposal to the Board, the head of the agency—

(A) notifies the Committees on Appropriations of the proposed submission of the project proposal; and

(B) submits to the Committees on Appropriations a copy of the project proposal.

(3) None of the funds made available by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs may be used by an agency to carry out a project that is approved by the Board unless the head of the agency—

(A) submits to the Committees on Appropriations a copy of the approved project proposal, including the terms of reimbursement of funding received for the project; and

(B) agrees to submit to the Committees on Appropriations a copy of each report relating to the project that the head of the agency submits to the Board.]

UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT MANAGEMENT

SEC. [7064] 7041. (a) AUTHORITY.—Up to \$100,000,000 of the funds made available in title III of this Act pursuant to or to carry out the provisions of part I of the Foreign Assistance Act of 1961 [, including funds appropriated under the heading "Assistance for Europe, Eurasia and Central Asia",] may be used by the United States Agency for International Development to hire and employ individuals in the United States and overseas on a limited appointment basis pursuant to the authority of sections 308 and 309 of the Foreign Service Act of 1980 (22 U.S.C. 3948 and 3949).

(b) RESTRICTION.—The authority to hire individuals contained in subsection (a) shall expire on September 30, [2021] 2022.

(c) PROGRAM ACCOUNT CHARGED.—The account charged for the cost of an individual hired and employed under the authority of this section shall be the account to which the responsibilities of such individual primarily relate: *Provided*, That funds made available to carry out this section may be transferred to, and merged with, funds appropriated by this Act in title II under the heading "Operating Expenses".

(d) FOREIGN SERVICE LIMITED EXTENSIONS.—Individuals hired and employed by USAID, with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, pursuant to the authority of section 309 of the Foreign Service Act of 1980 (22 U.S.C. 3949), may be extended for a period of up to 4 years notwithstanding the limitation set forth in such section.

(e) DISASTER SURGE CAPACITY.—Funds appropriated under title III of this Act to carry out part I of the Foreign Assistance Act of 1961, including funds appropriated under the heading "Assistance for Europe, Eurasia and Central Asia", may be used, in addition to funds otherwise available for such purposes, for the cost (including the support costs) of individuals detailed to or employed by USAID whose primary responsibility is to carry out programs in response to natural disasters, or man-made disasters [subject to the regular notification procedures of the Committees on Appropriations].

(f) PERSONAL SERVICES CONTRACTORS.—Funds appropriated by this Act to carry out chapter 1 of part I, chapter 4 of part II, and section 667 of the Foreign Assistance Act of 1961, and title II of the Food for Peace Act (Public Law 83–480; 7 U.S.C. 1721 et seq.), may be used by USAID to employ up to 40 personal services contractors in the United States, notwithstanding any other provision of law, for the purpose of providing direct, interim support for new or expanded overseas programs and activities managed by the agency until permanent direct hire personnel are hired and trained: *Provided*, That not more than 15 of such contractors shall be assigned to any bureau or office: *Provided further*, That such funds appropriated to carry out title II of the Food for Peace Act (Public Law 83–480; 7 U.S.C. 1721 et seq.), may be made available only for personal services contractors assigned to the [Office of Food for Peace] *Bureau for Humanitarian Assistance*.

(g) SMALL BUSINESS.—In entering into multiple award indefinite-quantity contracts with funds appropriated by this Act, USAID may provide an exception to the fair opportunity process for placing task orders under such contracts when the order is placed with any category of small or small disadvantaged business.

(h) SENIOR FOREIGN SERVICE LIMITED APPOINTMENTS.—Individuals hired pursuant to the authority provided by section 7059(o) of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (division F of Public Law 111–117) may be assigned to or support programs in Afghanistan or Pakistan with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.

[(i) PERSONNEL LEVELS.—

(1) Funds made available by this Act under the heading "Operating Expenses" are made available to support 1,850 permanent Foreign Service Officers and 1,600 permanent Civil Service staff.

(2) Not later than 60 days after enactment of this Act, and every 60 days thereafter until September 30, 2021, the USAID Administrator shall report to the appropriate congressional committees on the on-board personnel levels, hiring, and attrition of the Civil Service, Foreign Service, and foreign service national workforce of USAID, on an operating unit-by-operating unit basis: *Provided*, That such report shall also include a hiring plan, including timelines, for maintaining the agency-wide, on-board Foreign Service Officers and Civil Service staff at not less than the levels specified in paragraph (1).]

(i) ADAPTIVE PERSONNEL PROJECT.—Up to \$86,000,000 of the funds appropriated by this Act under title III may be made available for USAID's Adaptive

Personnel Project (APP): Provided, That such sums shall be available in addition to funds otherwise available for such purposes: *Provided further*, That the account charged to pay for individuals hired and employed under the APP pursuant to the authority of this subsection shall be the account to which the responsibilities of such individuals primarily relate: *Provided further*, That such funds may be transferred to, and merged with, funds appropriated under the heading "Operating Expenses" and may remain attributed to any minimum funding requirement for which they were originally made available.

STABILIZATION AND DEVELOPMENT IN REGIONS IMPACTED BY EXTREMISM AND CONFLICT

SEC. [7065] 7042. (a) RELIEF AND RECOVERY FUND.—

(1) FUNDS AND TRANSFER AUTHORITY [.—Of the funds] *Funds* appropriated by this Act under the headings "Economic Support and Development Fund", "International Narcotics Control and Law Enforcement", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Peacekeeping Operations", and "Foreign Military Financing Program" [, not less than \$200,000,000 shall] may be made available for [the Relief and Recovery Fund for] assistance for areas liberated or at risk from, or under the control of, the Islamic State of Iraq and Syria, other terrorist organizations, or violent extremist organizations, including for stabilization assistance for vulnerable ethnic and religious minority communities affected by conflict, and for the purposes enumerated in section 509(a)(3) of the Global Fragility Act of 2019: *Provided*, [That unless specifically designated in this Act or in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) for assistance for countries, such funds are in addition to amounts otherwise made available for such purposes: *Provided further*,] That such funds appropriated under such headings may be transferred to, and merged with, funds appropriated under such headings: *Provided further*, That such transfer authority is in addition to any other transfer authority provided by this Act or any other Act [, and is subject to the regular notification procedures of the Committees on Appropriations].

[(2) TRANSITIONAL JUSTICE.—Of the funds appropriated by this Act under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement" that are made available for the Relief and Recovery Fund, not less than \$10,000,000 shall be made available for programs to promote accountability for genocide, crimes against humanity, and war crimes, including in Iraq and Syria, which shall be in addition to any other funds made available by this Act for such purposes: *Provided*, That such programs shall include components to develop local investigative and judicial skills, and to collect and preserve evidence and maintain the chain of custody of evidence, including for use in prosecutions, and may include the establishment of, and assistance for, transitional justice mechanisms: *Provided further*, That such funds shall be administered by the Special Coordinator for the Office of Global Criminal Justice, Department of State: *Provided further*, That funds made available by this paragraph shall be made available on an open and competitive basis.]

[(b) COUNTERING VIOLENT EXTREMISM IN ASIA.—Of the funds appropriated by this Act under the heading "Economic Support Fund", not less than \$2,500,000 shall be made available for programs to counter violent extremism in Asia, including within the Buddhist community: *Provided*, That such funds are in addition to funds otherwise made available by this Act for such purposes.]

[(c) GLOBAL COMMUNITY ENGAGEMENT AND RESILIENCE FUND.—Of the funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the heading "Economic Support Fund", \$5,000,000 shall be made available to the Global Community Engagement and Resilience Fund (GCERF), including as a contribution: *Provided*, That any such funds made available for the GCERF shall be made available on a cost-matching basis from sources other than the United States Government, to the maximum extent practicable, and shall be subject to the regular notification procedures of the Committees on Appropriations.]

[(d) GLOBAL CONCESSIONAL FINANCING FACILITY.—Of the funds appropriated by this Act under the heading "Economic Support Fund", \$25,000,000 shall be made available for the Global Concessional Financing Facility of the World Bank to provide financing to support refugees and host communities: *Provided*, That such funds shall be in addition to funds allocated for bilateral assistance in the report required by section 653(a) of the Foreign Assistance Act of 1961, and may only be made available subject to prior to consultation with the Committees on Appropriations.]

DISABILITY PROGRAMS

SEC. [7066] 7043. (a) ASSISTANCE.—Funds appropriated by this Act under the heading "Development Assistance" [shall] may be made available for programs and activities administered by the United States Agency for International Development to address the needs and protect and promote the rights of people with disabilities in developing countries, including initiatives that focus on independent living, eco-

conomic self-sufficiency, advocacy, education, employment, transportation, sports, and integration of individuals with disabilities, including for the cost of translation.

(b) **MANAGEMENT, OVERSIGHT, AND TECHNICAL SUPPORT.**—Of the funds Funds made available pursuant to this section [, 5 percent] may be used by USAID for management, oversight, and technical support.

DEBT-FOR-DEVELOPMENT

SEC. [7067]7044. In order to enhance the continued participation of nongovernmental organizations in *economic assistance activities under the Foreign Assistance Act of 1961, including endowments, debt-for-development and debt-for-nature exchanges, a nongovernmental organization which is a grantee or contractor of the United States Agency for International Development may place in interest bearing accounts local currencies which accrue to that organization as a result of economic assistance provided under title III of this Act and, subject to the regular notification procedures of the Committees on Appropriations, any interest earned on such investment shall be used for the purpose for which the assistance was provided to that organization.*

ENTERPRISE FUNDS

[SEC. 7068. (a) **NOTIFICATION.**—None of the funds made available under titles III through VI of this Act may be made available for Enterprise Funds unless the appropriate congressional committees are notified at least 15 days in advance.

(b) **DISTRIBUTION OF ASSETS PLAN.**—Prior to the distribution of any assets resulting from any liquidation, dissolution, or winding up of an Enterprise Fund, in whole or in part, the President shall submit to the appropriate congressional committees a plan for the distribution of the assets of the Enterprise Fund.

(c) **TRANSITION OR OPERATING PLAN.**—Prior to a transition to and operation of any private equity fund or other parallel investment fund under an existing Enterprise Fund, the President shall submit such transition or operating plan to the appropriate congressional committees.]

CONSULAR NOTIFICATION COMPLIANCE

SEC. 7045. (a) **PETITION FOR REVIEW.**

(1) **JURISDICTION.** *Notwithstanding any other provision of law, a Federal court shall have jurisdiction to review the merits of a petition claiming violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, filed by an individual convicted and sentenced to death by any Federal or State court before the date of enactment of this Act.*

(2) **STANDARD.** *To obtain relief, an individual described in paragraph (1) must make a showing of actual prejudice to the criminal conviction or sentence as a result of the violation. The court may conduct an evidentiary hearing if necessary to supplement the record and, upon a finding of actual prejudice, shall order a new trial or sentencing proceeding.*

(3) **LIMITATIONS.**

(A) **INITIAL SHOWING.** *To qualify for review under this subsection, a petition must make an initial showing that—*

(i) *a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, occurred with respect to the individual described in paragraph (1); and*

(ii) *if such violation had not occurred, the consulate would have provided assistance to the individual.*

(B) **EFFECT OF PRIOR ADJUDICATION.** *A petition for review under this subsection shall not be granted if the claimed violation described in paragraph (1) has previously been adjudicated on the merits by a Federal or State court of competent jurisdiction in a proceeding in which no Federal or State procedural bars were raised with respect to such violation and in which the court provided review equivalent to the review provided in this subsection, unless the adjudication of the claim resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the prior Federal or State court proceeding.*

(C) **FILING DEADLINE.** *A petition for review under this subsection shall be filed within 1 year of the later of—*

(i) *the date of enactment of this Act;*

(ii) *the date on which the Federal or State court judgment against the individual described in paragraph (1) became final by the conclusion of direct review or the expiration of the time for seeking such review; or*

(iii) *the date on which the impediment to filing a petition created by Federal or State action in violation of the Constitution or laws of the United States is removed, if the individual described in paragraph (1) was prevented from filing by such Federal or State action.*

(D) **TOLLING.** *The time during which a properly filed application for State post-conviction or other collateral review with respect to the pertinent judgment or claim is pending shall not be counted toward the 1-year period of limitation.*

(E) **TIME LIMIT FOR REVIEW.** *A Federal court shall give priority to a petition for review filed under this subsection over all noncapital matters. With respect to a petition for review filed under this subsection and claiming only a violation described in paragraph (1), a Federal court shall render a final determination and enter a final judgment not later than one year after the date on which the petition is filed.*

(4) **HABEAS PETITION.** *A petition for review under this subsection shall be part of the first Federal habeas corpus application or motion for Federal collateral relief under chapter 153 of title 28, United States Code, filed by an individual, except that if an individual filed a Federal habeas corpus application or motion for Federal collateral relief before the date of enactment of this Act or if such application is required to be filed before the date that is 1 year after the date of enactment of this Act, such petition for review under this subsection shall be filed not later than 1 year after the enactment date or within the period prescribed by paragraph (3)(C)(iii), whichever is later. No petition filed in conformity with the requirements of the preceding sentence shall be considered a second or successive habeas corpus application or subjected to any bars to relief based on preenactment proceedings other than as specified in paragraph (2).*

(5) **REFERRAL TO MAGISTRATE.** *A Federal court acting under this subsection may refer the petition for review to a Federal magistrate for proposed findings and recommendations pursuant to 28 U.S.C. 636(b)(1)(B).*

(6) **APPEAL.**

(A) **IN GENERAL.** *A final order on a petition for review under paragraph (1) shall be subject to review on appeal by the court of appeals for the circuit in which the proceeding is held.*

(B) **APPEAL BY PETITIONER.** *An individual described in paragraph (1) may appeal a final order on a petition for review under paragraph (1) only if a district or circuit judge issues a certificate of appealability. A district or circuit court judge shall issue or deny a certificate of appealability not later than 30 days after an application for a certificate of appealability is filed. A district judge or circuit judge may issue a certificate of appealability under this subparagraph if the individual has made a substantial showing of actual prejudice to the criminal conviction or sentence of the individual as a result of a violation described in paragraph (1).*

(b) **VIOLATION.**

(1) **IN GENERAL.** *An individual not covered by subsection (a) who is arrested, detained, or held for trial on a charge that would expose the individual to a capital sentence if convicted may raise a claim of a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or of a comparable provision of a bilateral international agreement addressing consular notification and access, at a reasonable time after the individual becomes aware of the violation, before the court with jurisdiction over the charge. Upon a finding of such a violation—*

(A) *the consulate of the foreign state of which the individual is a national shall be notified immediately by the detaining authority, and consular access to the individual shall be afforded in accordance with the provisions of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or the comparable provisions of a bilateral international agreement addressing consular notification and access; and*

(B) *the court—*

(i) *shall postpone any proceedings to the extent the court determines necessary to allow for adequate opportunity for consular access and assistance; and*

(ii) *may enter necessary orders to facilitate consular access and assistance.*

(2) **EVIDENTIARY HEARINGS.** *The court may conduct evidentiary hearings if necessary to resolve factual issues.*

(3) **RULE OF CONSTRUCTION.** *Nothing in this subsection shall be construed to create any additional remedy.*

(c) **DEFINITIONS.** *In this section the term "State" means any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States.*

(d) **APPLICABILITY.** *The provisions of this section shall apply during the current fiscal year.*

AUTHORITY TO ISSUE ADMINISTRATIVE SUBPOENAS

SEC. 7046. Section 3486 of Title 18, United States Code, is amended—

(a) in subsection (a)(1)(A)—

(1) in clause (ii), by striking "or"; and

(2) by adding new clauses (iv) and (v) immediately prior to "may issue in writing and cause to be served a subpoena", as follows:

"(iv) an offense under section 878, or a threat against a person, foreign mission or organization authorized to receive protection by special agents of the Department of State and the Foreign Service under paragraph (3) of section 2709 of title 22, if the Assistant Secretary for Diplomatic Security or the Director of the Diplomatic Security Service determines that the threat constituting the offense or threat against the person or place protected is imminent, the Secretary of State; or

"(v) an offense under chapter 75, Passports and Visas, the Secretary of State,";

(b) in subsection (a)(9), by striking "(1)(A)(i)(II) or (1)(A)(iii)" and inserting "(1)(A)(i)(II), (1)(A)(iii), (1)(A)(iv), or (1)(A)(v)";

(c) in subsection (a)(10), by inserting before the period, ", and as soon as practicable following issuance of a subpoena under paragraph (1)(A)(iv) the Secretary of State shall notify the Attorney General of its issuance"; and

(d) in subsection (e)(1) by replacing the existing language with the following: "(1) Health information about an individual that is disclosed under this section may not be used in, or disclosed to any person for use in, any administrative, civil, or criminal action or investigation directed against the individual who is the subject of the information unless the action or investigation arises out of and is directly related to receipt of health care or payment for health care or action involving a fraudulent claim related to health; directly relates to the purpose for which the subpoena was authorized under paragraph (a)(1); or is authorized by an appropriate order of a court of competent jurisdiction, granted after application showing good cause therefor."

CONSULAR AND BORDER SECURITY PROGRAMS VISA SERVICES COST RECOVERY PROPOSAL

SEC. 7047. Section 103 of Public Law 107-173 (8 U.S.C. 1713) is amended as follows: 1) In subsection (b), insert "or surcharge" after "machine-readable visa fee"; 2) Insert at the end of subsection (b): "The amount of the machine-readable visa fee or surcharge may also account for the cost of other consular services not otherwise subject to a fee or surcharge retained by the Department of State."; and 3) In subsection (d), insert "or subcharges" after "amounts collected as fees".

FRAUD PREVENTION AND DETECTION FEES

SEC. 7048. In addition to the uses permitted pursuant to section 286(v)(2)(A) of the Immigration and Nationality Act (8 U.S.C. 1356(v)(2)(A)), the Secretary of State may also use fees deposited into the Fraud Prevention and Detection Account for programs and activities within the United States and at U.S. embassies and consulates abroad for the prevention and detection of visa fraud, to include increasing the number of personnel assigned exclusively or primarily to the function of preventing and detecting visa fraud.

INSPECTOR GENERAL PERSONNEL AUTHORITIES

SEC. 7049. (a) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended in subsection (d)(2)(E) to read as follows:

"(E) To employ, or authorize the employment by the other Inspectors General specified in subsection (c), on a temporary basis using the authorities in section 3161 of title 5, United States Code (but without regard to subsections (a) and (b)(2) of such section), such auditors, investigators, and other personnel as the lead Inspector General considers appropriate to assist the lead Inspector General and such other Inspectors General on matters relating to the contingency operation."

(b) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended in subsection (d)(3) to read as follows:

"(3)(A) Each Inspector General specified in subsection (c) may employ annuitants covered by section 9902(g) of title 5, United States Code, for purposes of assisting the lead Inspector General in discharging responsibilities under this subsection with respect to the contingency operation.

(c) Section 8L of the Inspector General Act of 1978 (5 U.S.C. App.) is amended by adding at the end of subsection (d) a new paragraph as follows:

"(5) The authority to employ personnel under this subsection for a contingency operation shall cease as provided for in subsection (e)."

CONSULAR AND BORDER SECURITY PROGRAMS

SEC. 7050. (a) BORDER CROSSING CARD FEE FOR MINORS. Section 410(a)(1)(A) of the Department of State and Related Agencies Appropriations Act, 1999 (Public Law 105-277) is amended by striking "a fee of \$13" and inserting "a fee equal to one half the fee that would otherwise apply for processing a machine readable combined border crossing identification card and non-immigrant visa". (b) PASSPORT AND IMMIGRANT VISA SECURITY SURCHARGES. (1) The fourth paragraph under the heading "Diplomatic and Consular Programs" in title IV of division B of Public Law 108-447 (8 U.S.C. 1714) is amended by inserting "and the consular protection of U.S. citizens and their interests overseas" after "in support of enhanced border security"; (2) Section 6 of Public Law 109-472 (8 U.S.C. 1714 note) is amended by inserting "and the consular protection of U.S. citizens and their interests overseas" after "in support of enhanced border security" each place it appears.

WORLDWIDE AVAILABILITY

SEC. 7051. CLARIFICATION. The Secretary of State is authorized to require, in fiscal year 2021 and thereafter, in accordance with the Foreign Service Act, that each member of the Department of State Foreign Service, at the time of entry into the Service, be worldwide available, as determined by the Secretary of State through medical examinations, unless the Secretary waives such requirement to fulfill a compelling service need. Actions under this authority shall be subject to judicial review only under the Administrative Procedure Act.

BURMA ENVOY

SEC. 7052. Section 7 of the Tom Lantos Block Burmese Jade Act of 2008 (Public Law 110-286; 50 U.S.C. 1701 note; relating to the establishment of a Special Representative and Policy Coordinator for Burma) is hereby repealed.

REPEAL OF HELMS AMENDMENT CONCERNING DIPLOMATIC FACILITIES

SEC. 7053. Section 305 of P.L. 100-459 is hereby repealed.

WORLDWIDE SECURITY PROTECTION

SEC. 7054. There shall be established in the Treasury a separate fund to be known as the "Worldwide Security Protection" account. Any unobligated balances of amounts made available for Worldwide Security Protection in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs shall be transferred to the Worldwide Security Protection account no later than October 1, 2021. The transfer authority in this section shall be in addition to any other transfer authority available to the Department of State.

REPORT REFORM

SEC. 7055. Notwithstanding any other provision of law, any provision of law enacted before or after the date of enactment of this section that requires submission of a report to Congress or its committees at regular periodic intervals (including annually, semi-annually, biennially, quarterly or after other stated periods) pertaining to matters within the purview of, or prepared primarily by, the Department of State shall cease to be effective three years after the date of enactment of the provision of law requiring such report and after the Secretary has identified and included in a notification to Congress any such provision of law requiring the report and a statement that the reporting requirement is terminated under this sunset legislative provision.

SEC. 7056. Notwithstanding section 204 of the Admiral James W. Nance and Meg Donovan Foreign Relations Authorization Act, Fiscal Years 2000 and 2001 (22 U.S.C. 2452b), amounts made available under the heading "Diplomatic Programs" for this fiscal year may be made available for U.S. participation in international fairs and expositions abroad, including for construction and operation of U.S. pavilions or other major exhibits.

DEFENSE TRADE CONTROLS REGISTRATION FEES

SEC. 7057. Section 45 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2717) is amended as follows:

(a) in the first sentence, by inserting "defense trade control" after "100 percent of the"; striking "the Office of Defense Trade Controls of"; and inserting after "incurred for" the following: "management, licensing, compliance, and policy activities in the defense trade controls function, including";

(b) in subpart (1), by striking "contract personnel to assist in";

(c) in subpart (2), by striking the "and" after "computer equipment and related software";

(d) in subpart (3), by striking the period "." after "defense trade export controls" and inserting a ",";

(e) by adding a new subpart (4) to read as follows:

"the facilitation of defense trade policy development and implementation, review of commodity jurisdiction determinations, public outreach to industry and foreign parties, and analysis of scientific and technological developments as they relate to the exercise of defense trade control authorities; and"; and

(f) by adding a new subpart (5) to read as follows:

"(5) contract personnel to assist in such activities."

USAID INFORMATION TECHNOLOGY WORKING CAPITAL FUND

SEC. 7058. Up to 5 percent or \$30,000,000, whichever is less, of funds appropriated by this Act under each of the headings "Operating Expenses", "Global Health Programs", "Economic Support and Development Fund" and "International Humanitarian Assistance", may be transferred to the USAID Information Technology Working Capital Fund (IT WCF) established pursuant to the Modernizing Government Technology (MGT) Act: Provided, That funds transferred to the IT WCF shall remain available for three fiscal years for the purposes described in such Act.

ACQUISITION AND ASSISTANCE WORKING CAPITAL FUND

SEC. 7059. (a) The USAID Administrator is authorized to establish a Working Capital Fund (Fund).

(b) Funds deposited in the Fund during any fiscal year shall be available without fiscal year limitation and used, in addition to other funds available for such purposes, for administrative costs resulting from agency acquisition and assistance

operations, the administration of this Fund, and administrative contingencies designated by the Administrator. Such expenses may include

- (1) personnel and nonpersonnel services;
- (2) training;
- (3) supplies; and
- (4) other administrative costs related to acquisition and assistance operations.

(c) There may be deposited during any fiscal year in the Fund up to 1 percent of the total value of obligations entered into by the United States Agency for International Development (USAID) from appropriations available to USAID and any appropriation made available for the purpose of providing capital. Receipts from the disposal of, or repayments for the loss or damage to, property held in the Fund, rebates, reimbursements, refunds and other credits applicable to the operation of the Fund may be deposited into the Fund.

(d) At the close of each fiscal year the Administrator shall transfer to the general fund of the Treasury amounts in excess of \$100,000,000, and such other amounts as the Administrator determines to be in excess of the needs of the Fund.

USAID REORGANIZATION - OFFICE OF THE ADMINISTRATOR

SEC. 7060. EXECUTIVE SCHEDULE. 5 U.S.C. 5315 is amended by: (1) striking "Regional Assistant Administrator, Agency for International Development (4)" and inserting "Associate Administrator, Agency for International Development (2)"; and (2) striking "(6)" after Assistant Administrators, Agency for International Development" and inserting "(8)".

CLOSE-OUT COSTS FOR UNANTICIPATED COSTS FOR P.L. 480 TITLE II

SEC. 7061. In addition to funds otherwise available for this purpose, funds appropriated in title III of this Act under the heading "International Humanitarian Assistance" may be used for necessary expenses to meet emergency food needs related to the packaging, processing, shipment, transportation, prepositioning, transfer, storage, handling, distribution, and other incidental and administrative costs associated with commodities purchased pursuant to P.L. 480 Title II (7 U.S.C. 1961 et seq.): Provided, That the Department of Agriculture shall reimburse the "International Humanitarian Assistance" account for such expenses with available amounts, including recoveries, from amounts appropriated in prior appropriations Acts to "Department of Agriculture, Foreign Agriculture Service, Food for Peace Title II Grants".

U.S.-INDIA DEVELOPMENT FOUNDATION

SEC. 7062. The USAID Administrator, with the concurrence of the Secretary of State and after consultation with the Chief Executive Officer of the United States International Development Finance Corporation (DFC), is authorized to establish, on such terms and conditions determined necessary and notwithstanding any other provision of law, one or more private corporations organized under the laws of India: Provided, That any such organization should provide credible platforms and models to attract and blend public and private capital, which can then be deployed efficiently against India's development needs: Provided further, That notwithstanding the previous proviso, any such organization shall not be an agency or establishment of the United States Government: Provided further, That United States Government personnel designated by the USAID Administrator, with the concurrence of the Secretary of State, shall comprise a majority of the board of directors of any such organization.

WORLD TOURISM ORGANIZATION

SEC. 7063. For fiscal year 2021 and thereafter, the President is authorized to accept the statutes of, and to maintain membership of the United States in, the United Nations World Tourism Organization, and the United States' assessed contributions to maintain such membership may be paid from funds appropriated for "Contributions to International Organizations".

RECAAP PARTICIPATION

SEC. 7064. For fiscal year 2021 and thereafter, the Secretary of State is authorized to provide for the participation by the United States in the Information Sharing Centre located in Singapore, as established by the Regional Cooperation Agreement on Combating Piracy and Armed Robbery Against Ships in Asia.

INTERNATIONAL MONETARY FUND

SEC. 7065. Section 17 of the Bretton Woods Agreements Act (22 U.S.C. 286e-2) is amended—

- (1) in subsection (a)—
 - (A) by adding a new paragraph (3), as follows:
 - (B) "(3) In order to carry out the purposes of a one-time decision of the Executive Directors of the International Monetary Fund (the Fund) to expand the resources of the New Arrangements to Borrow, established pursuant to the decision of January 27, 1997 referred to in paragraph (1) above, the Secretary of the Treasury is authorized to make loans, in an amount not to exceed the dollar equivalent of 28,202,470,000 of Special Drawing Rights, in addition to any amounts previously authorized under this section; except that prior to activation of the New Arrangements to Borrow, the Secretary of the Treasury shall report to Congress whether supplementary resources are needed to

forestall or cope with an impairment of the international monetary system and whether the Fund has fully explored other means of funding to the Fund.;"

- (B) by renumbering the subsequent paragraphs; and
- (C) in paragraph (5), by striking "December 16, 2022" and inserting "December 31, 2025".
- (2) in subsection (e)(1)—
 - (A) by inserting "(a)(3)," after "required by paragraphs (a)(1), (a)(2)".

DEBT RELIEF

SEC. 7066. Of the available funds appropriated in this and prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, funds may be transferred to, and merged with, funds available under the heading "Department of the Treasury-Debt Restructuring" in title III of this Act, to remain available until expended, for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees, as the President may determine, or for the cost of selling, reducing, or cancelling amounts owed to the United States as a result of loans made to any country, in the event that the country meets the domestic and internationally-agreed conditions and the transfer or merger is consistent with U.S. law and foreign policy considerations.

MULTILATERAL DEVELOPMENT BANK REPLENISHMENTS

SEC. 7067. (a) The International Development Association Act, Public Law 86-565, as amended (22 U.S.C. 284 et seq.), is further amended by adding at the end thereof the following new section:

"Sec. 31. Nineteenth Replenishment.

"(a) The United States Governor of the International Development Association is authorized to contribute on behalf of the United States \$3,004,200,000 to the nineteenth replenishment of the resources of the Association, subject to obtaining the necessary appropriations.

"(b) In order to pay for the United States contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$3,004,200,000 for payment by the Secretary of the Treasury."

(b) The African Development Fund Act, Public Law 94-302, as amended (22 U.S.C. 290g et seq.), is further amended by adding at the end thereof the following new section:

"Sec. 226. Fifteenth Replenishment.

"(a) The United States Governor of the Fund is authorized to contribute on behalf of the United States \$513,900,000 to the fifteenth replenishment of the resources of the Fund, subject to obtaining the necessary appropriations.

"(b) In order to pay for the United States contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$513,900,000 for payment by the Secretary of the Treasury."

INTERNATIONAL FINANCE CORPORATION

SEC. 7068. The International Finance Corporation Act, Public Law 84-350, as amended (22 U.S.C. 282 et seq.), is further amended by adding at the end the following new section:

"Sec. 18. Capital Increases and Amendment to the Articles of Agreement.

"(a) Votes Authorized.—

"The United States Governor of the Corporation is authorized to vote in favor of—

"(A) a resolution to increase the authorized capital stock of the Corporation by 16,999,998 shares, to implement the conversion of a portion of the retained earnings of the Corporation into paid-in capital, which will result in the United States being issued an additional 3,771,899 shares of capital stock, without any cash contribution;

"(B) a resolution to increase the authorized capital stock of the Corporation on a general basis by 4,579,995 shares; and

"(C) a resolution to increase the authorized capital stock of the Corporation on a selective basis by 919,998 shares.

"(b) Amendment of the Articles of Agreement.—

"The United States Governor of the Corporation is authorized to agree to and accept an amendment to Article II, Section 2(c)(ii) of the Articles of Agreement of the Corporation that would increase the vote by which the Board of Governors of the Corporation may increase the capital stock of the Corporation from a four fifths majority to an eighty-five percent majority."

AFRICAN DEVELOPMENT BANK CAPITAL INCREASE

SEC. 7069. The African Development Bank Act, Public Law 97-35, as amended (22 U.S.C. 290i et seq.), is further amended by adding at the end thereof the following new section:

"SEC. 1345. SEVENTH CAPITAL INCREASE.

"(a) Subscription Authorized.—

"(1) *The United States Governor of the Bank may subscribe on behalf of the United States to 532,023 additional shares of the capital stock of the Bank.*

"(2) *Any subscription by the United States to the capital stock of the Bank shall be effective only to such extent and in such amounts as are provided in advance in appropriations Acts.*

"(b) *Limitations on Authorization of Appropriations.—*

"(1) *In order to pay for the increase in the United States subscription to the Bank under subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$7,286,587,008 for payment by the Secretary of the Treasury.*

"(2) *Of the amount authorized to be appropriated under paragraph (1)—*

"(A) *\$437,190,016 shall be for paid in shares of the Bank; and*

"(B) *\$6,849,396,992 shall be for callable shares of the Bank."*

【DEBT RELIEF】

【SEC. 7081. (a) ECONOMIC SUPPORT FUND.—

(1) Of the unobligated balances available under the Economic Support Fund, identified by Treasury Appropriation Fund Symbol 72 X 1037, \$32,000,000 are rescinded.

(2) Of the unobligated and unexpended balances available to the President for bilateral economic assistance under the heading "Economic Support Fund" from prior Acts making appropriations for the Department of State, foreign operations, and related programs, \$200,000,000 shall be deobligated, as appropriate, and shall be rescinded.

(3) For the purposes of this subsection, no amounts may be rescinded from amounts that were designated by Congress as an emergency requirement or for Overseas Contingency Operations/Global War on Terrorism pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

(b) **EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE.**—Of the unobligated balances from amounts available under the heading "Embassy Security, Construction, and Maintenance" in title II of the Security Assistance Appropriations Act, 2017 (division B of Public Law 114–254), \$242,462,000 are rescinded: *Provided*, That such funds that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act.

(c) **COMPLEX CRISES FUND.**—Of the unobligated balances from amounts made available under title VIII in prior Acts making appropriations for the Department of State, foreign operations, and related programs under the heading "Complex Crises Fund", \$40,000,000 are rescinded: *Provided*, That such funds that were previously designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of the Balanced Budget and Emergency Deficit Control Act of 1985 are designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A)(ii) of such Act.

(d) **EXPORT-IMPORT BANK OF THE UNITED STATES.**—Of the unobligated balances available under the heading "Export and Investment Assistance, Export-Import Bank of the United States, Subsidy Appropriation" for tied-aid grants from prior Acts making appropriations for the Department of State, foreign operations, and related programs, \$64,282,000 are rescinded. **】**

(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

DEPARTMENT OF TRANSPORTATION

OFFICE OF THE SECRETARY

Federal Funds

RESEARCH AND TECHNOLOGY

For necessary expenses related to the Office of the Assistant Secretary for Research and Technology, **[\$21,000,000] \$11,033,000**, of which **[\$14,218,000] \$4,718,000** shall remain available until expended **for (1) \$5,000,000** for new competitive grants under section 5505 of title 49, United States Code, for Tier I University Transportation Centers, **(2) \$1,000,000** for the establishment of an emergency planning transportation data initiative to conduct research and develop models for data integration of geo-located weather and roadways information for emergency and other severe weather conditions to improve public safety and emergency evacuation and response capabilities, **(3) \$1,000,000** for the Secretary of Transportation to enter into an agreement with the National Academies of Sciences, Engineering, and Medicine to conduct a study through the Transportation Research Board on effective ways to measure the resilience of transportation systems and services to natural disasters, natural hazards, and other potential disruptions, and **(4) \$5,000,000** for the establishment of a Highly Automated Systems Safety Center of Excellence as required in section 105 of this Act: *Provided*, That such amounts are in addition to amounts previously provided for Tier I University Transportation Centers: *Provided further*, That such amounts for additional Tier I University Transportation Centers under this heading are provided notwithstanding section 5505(c)(4)(A) of title 49, United States Code: *Provided [further]*, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training: *Provided further*, That any reference in law, regulation, judicial proceedings, or elsewhere to the Research and Innovative Technology Administration shall continue to be deemed to be a reference to the Office of the Assistant Secretary for Research and Technology of the Department of Transportation. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1730-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and administrative expenses	6	7	6
0003 Research development & technology coordination	2	12	5
0004 UTC Congestion & Infrastructure Research Grants	5	15
0005 Highly Automated Systems Safety Center of Excellence	5
0100 Direct program by activities, subtotal	13	39	11
0799 Total direct obligations	13	39	11
0802 Transportation safety institute	12	20	20
0803 Other programs	1	1
0809 Reimbursable program by activities, subtotal	12	21	21
0899 Total reimbursable obligations	12	21	21
0900 Total new obligations, unexpired accounts	25	60	32
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	23	6
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	26	23	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	22	11
Spending authority from offsetting collections, discretionary:			
1700 Collected	14	21	21
1900 Budget authority (total)	22	43	32
1930 Total budgetary resources available	48	66	38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	16	31
3010 New obligations, unexpired accounts	25	60	32
3020 Outlays (gross)	-23	-45	-28
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	16	31	35

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	14	14	29
3200	Obligated balance, end of year	14	29	33
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	22	43	32
Outlays, gross:				
4010	Outlays from new discretionary authority	11	30	25
4011	Outlays from discretionary balances	12	15	3
4020	Outlays, gross (total)	23	45	28
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-11	-20	-20
4033	Non-Federal sources	-3	-1	-1
4040	Offsets against gross budget authority and outlays (total)	-14	-21	-21
4070	Budget authority, net (discretionary)	8	22	11
4080	Outlays, net (discretionary)	9	24	7
4180	Budget authority, net (total)	8	22	11
4190	Outlays, net (total)	9	24	7

This appropriation is responsible for facilitating, reviewing, and ensuring the non-duplication of the Department of Transportation's (DOT) research, development, and technology portfolio, as well as enhancing the data collection and statistical analysis programs to support data-driven decision-making. The program activities are also responsible for civil Positioning, Navigation, and Timing (PNT) technologies and services, PNT policy coordination, and spectrum management.

This appropriation oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. The Fixing America's Surface Transportation Act (FAST Act) (P.L. 114-94) funded BTS by a statutory allocation from the Federal Highway Administration's Federal-Aid Highways account.

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The FAST Act provided funding to the Office of the Assistant Secretary for Research and Technology for the UTC Program through a statutory allocation from the Federal Highway Administration.

The John A. Volpe National Transportation Systems Center (Cambridge, MA) provides technical expertise in research, analysis, technology deployment, and other technical knowledge to DOT and non-DOT customers on specific transportation system projects or issues on a fee-for-service basis.

The Transportation Safety Institute (Oklahoma City, OK) develops and delivers safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

Object Classification (in millions of dollars)

Identification code 069-1730-0-1-407	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	1	1	1
25.1	Advisory and assistance services	1	1	1
25.3	Other goods and services from Federal sources	5	34	6
99.0	Direct obligations	10	39	11
99.0	Reimbursable obligations	15	21	21
99.9	Total new obligations, unexpired accounts	25	60	32

RESEARCH AND TECHNOLOGY—Continued
Employment Summary

Identification code 069-1730-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	17	20
2001 Reimbursable civilian full-time equivalent employment	29	30	30
3001 Allocation account civilian full-time equivalent employment	61	80	80

SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary, **[\$115,490,000] \$127,374,000**, of which not to exceed \$3,100,000 shall be available for the immediate Office of the Secretary; not to exceed \$1,000,000 shall be available for the immediate Office of the Deputy Secretary; not to exceed \$21,000,000 shall be available for the Office of the General Counsel; not to exceed \$10,500,000 shall be available for the Office of the Under Secretary of Transportation for Policy; not to exceed \$15,000,000 shall be available for the Office of the Assistant Secretary for Budget and Programs; not to exceed \$2,650,000 shall be available for the Office of the Assistant Secretary for Governmental Affairs; not to exceed \$29,244,000 shall be available for the Office of the Assistant Secretary for Administration; not to exceed \$2,142,000 shall be available for the Office of Public Affairs; not to exceed \$1,859,000 shall be available for the Office of the Executive Secretariat; not to exceed \$12,181,000 shall be available for the Office of Intelligence, Security, and Emergency Response; and not to exceed \$16,814,000 shall be available for the Office of the Chief Information Officer: *Provided*, That the Secretary of Transportation is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further*, That no appropriation for any office shall be increased or decreased by more than 7 percent by all such transfers: *Provided further*, That notice of any change in funding greater than 7 percent shall be submitted for approval to the House and Senate Committees on Appropriations: *Provided [further]*, That not to exceed **[\$60,000] \$85,000** shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: *Provided further*, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107-71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees: *Provided further*, That none of the funds provided in this Act shall be available for the position of Assistant Secretary for Public Affairs. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General administration	114	113	130
0002 SCASDP Program	8	8	8
0100 Subtotal Direct Obligations	122	121	138
0799 Total direct obligations	122	121	138
0801 Salaries and Expenses (Reimbursable)	7	10	14
0900 Total new obligations, unexpired accounts	129	131	152
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	32	28
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	28	32	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	114	115	127
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	12	16
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	20	12	16
1900 Budget authority (total)	134	127	143
1930 Total budgetary resources available	162	159	171
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	32	28	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	46	40	28
3010 New obligations, unexpired accounts	129	131	152

3020 Outlays (gross)	-130	-143	-154
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	40	28	26
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	45	38	26
3200 Obligated balance, end of year	38	26	24

Budget authority and outlays, net:

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	134	127	143
Outlays, gross:			
4010 Outlays from new discretionary authority	111	105	119
4011 Outlays from discretionary balances	19	38	35
4020 Outlays, gross (total)	130	143	154
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-17	-9	-13
4033 Non-Federal sources	-2	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-19	-12	-16
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	114	115	127
4080 Outlays, net (discretionary)	111	131	138
4180 Budget authority, net (total)	114	115	127
4190 Outlays, net (total)	111	131	138

The Office of the Secretary is responsible for the overall planning, coordination, and administration of the Department's programs. Funding supports the Secretary, Deputy Secretary, Under Secretary for Policy, Secretarial Officers, and their immediate staffs, who provide Federal transportation policy development and guidance, institutional and public liaison activities, and other program support to ensure effective management and operation of the Department.

Object Classification (in millions of dollars)

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	48	50
11.3 Other than full-time permanent	5	5	7
11.5 Other personnel compensation	2	2	4
11.9 Total personnel compensation	55	55	61
4080 Civilian personnel benefits	17	17	19
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	10	10	11
25.1 Advisory and assistance services	6	5	5
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	23	23	31
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	7	7	7
99.0 Direct obligations	122	121	138
99.0 Reimbursable obligations	7	10	14
99.9 Total new obligations, unexpired accounts	129	131	152

Employment Summary

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	405	446	478
2001 Reimbursable civilian full-time equivalent employment	27	30	47

NATIONAL SURFACE TRANSPORTATION AND INNOVATIVE FINANCE BUREAU

For necessary expenses of the National Surface Transportation and Innovative Finance Bureau as authorized by 49 U.S.C. 116, **[\$5,000,000] \$4,250,000**, to remain available until expended: *Provided*, That the Secretary [shall notify the House and

Senate Committees on Appropriations no less than 15 days prior to exercising the transfer authority granted under section 116(h) of title 49, United States Code may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: Provided further, That such fees are available until expended to pay for such costs: Provided further, That such amounts are in addition to other amounts made available for such purposes and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0170-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General Administration - Bureau	2	4	4
0003 TIFIA Revenue Fee			3
0900 Total new obligations, unexpired accounts	2	4	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	6	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	4
Spending authority from offsetting collections, discretionary:			
1700 Collected			3
1900 Budget authority (total)	5	5	7
1930 Total budgetary resources available	8	11	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	
3010 New obligations, unexpired accounts	2	4	7
3020 Outlays (gross)	-3	-5	-7
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	7
Outlays, gross:			
4010 Outlays from new discretionary authority	2	4	6
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	3	5	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources			-3
4180 Budget authority, net (total)	5	5	4
4190 Outlays, net (total)	3	5	4

This appropriation supports the National Surface Transportation and Innovative Finance Bureau (the Bureau). The Bureau fulfills a number of responsibilities, including providing assistance and communicating best practices and financing and funding opportunities to entities eligible under DOT infrastructure finance programs; administering the application process for DOT infrastructure finance programs; administering the application process for the Infrastructure for Rebuilding America (INFRA) grant program; reducing uncertainty and delays related to environmental reviews and permitting, as well as project delivery and procurement risks, and costs for projects financed by the DOT infrastructure finance programs and INFRA; increasing transparency and the public availability of information regarding projects financed by the DOT infrastructure finance programs and INFRA; and promoting best practices in procurement for projects financed by the DOT infrastructure finance programs and the INFRA program by developing benchmarks related to procurement. The fees in this account cover the costs of services of expert firms in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit

instruments. The Budget proposes to consolidate the Maritime Guaranteed Loan (Title XI) program under the Bureau, including open loans.

Object Classification (in millions of dollars)

Identification code 069-0170-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services			3
25.3 Other goods and services from Federal sources		1	1
99.0 Direct obligations	2	4	7
99.9 Total new obligations, unexpired accounts	2	4	7

Employment Summary

Identification code 069-0170-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	9	14	16

NATIONAL INFRASTRUCTURE INVESTMENTS

Program and Financing (in millions of dollars)

Identification code 069-0143-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Infrastructure Investments Grants	545	3,558	
0002 Award & Oversight	6	10	
0900 Total new obligations, unexpired accounts	551	3,568	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,219	2,568	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	2,220	2,568	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	900	1,000	
1930 Total budgetary resources available	3,120	3,568	
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	2,568		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	842	1,017	3,735
3001 Adjustments to unpaid obligations, brought forward, Oct 1	4		
3010 New obligations, unexpired accounts	551	3,568	
3020 Outlays (gross)	-359	-850	-1,264
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-20		
3050 Unpaid obligations, end of year	1,017	3,735	2,471
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	846	1,017	3,735
3200 Obligated balance, end of year	1,017	3,735	2,471
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	900	1,000	
Outlays, gross:			
4011 Outlays from discretionary balances	359	850	1,264
4180 Budget authority, net (total)	900	1,000	
4190 Outlays, net (total)	359	850	1,264

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	900	1,000	
Outlays	359	850	1,264
Legislative proposal, not subject to PAYGO:			
Budget Authority			1,000
Total:			
Budget Authority	900	1,000	1,000

NATIONAL INFRASTRUCTURE INVESTMENTS—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Outlays	359	850	1,264

The National Infrastructure Investments program, also known as the Better Utilizing Investments to Leverage Development (BUILD) program, provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure.

Object Classification (in millions of dollars)

Identification code 069-0143-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1	1	
11.1 Full-time permanent - Allocation	2	2	
11.9 Total personnel compensation	3	3	
25.1 Advisory and assistance services	1		
25.1 Advisory and assistance services - Allocation	2		
25.2 Other services from non-Federal sources		2	
25.2 Other services from non-Federal sources - Allocation		4	
41.0 Grants, subsidies, and contributions - Allocation	545	3,558	
99.0 Direct obligations	551	3,567	
99.5 Adjustment for rounding		1	
99.9 Total new obligations, unexpired accounts	551	3,568	

Employment Summary

Identification code 069-0143-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	7	

NATIONAL INFRASTRUCTURE INVESTMENTS
(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for capital investments in surface transportation infrastructure, \$1,000,000,000, to remain available through September 30, **[2022]** **2023:** *Provided,* **[**That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, transit agency, port authority, or a collaboration among such entities on a competitive basis for projects that will have a significant local or regional impact: *Provided further,* That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; port infrastructure investments (including inland port infrastructure and land ports of entry); and projects investing in surface transportation facilities that are located on tribal land and for which title or maintenance responsibility is vested in the Federal Government: *Provided further,* That of the amount made available under this heading, the Secretary shall use an amount not less than \$15,000,000 for the planning, preparation or design of projects eligible for funding under this heading: *Provided further,* That grants awarded under the previous proviso shall not be subject to a minimum grant size: *Provided further,* That the Secretary may use up to 20 percent of the funds made available under this heading for the purpose of paying the subsidy and administrative costs of projects eligible for Federal credit assistance under chapter 6 of title 23, United States Code, or sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: *Provided further,* That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: *Provided further,* That a grant funded under this heading shall be not less than \$5,000,000 and not greater than \$25,000,000: *Provided further,* That not more than 10 percent of the funds made available under this heading may be awarded to projects in a single State: *Provided further,* That the Federal share of the costs for which an expenditure is made under this heading shall be, at the option of the recipient, up to 80 percent: *Provided further,* That the Secretary shall give priority to projects that require a contribution of Federal funds in order to complete an overall financing package: *Provided further,* That

an award under this heading is an urban award if it is to a project located within or on the boundary of an Urbanized Area (UA), as designated by the U.S. Census Bureau, that had a population greater than 200,000 in the 2010 Census: *Provided further,* That for the purpose of determining if an award for planning, preparation or design is an urban award, the project location is the location of the project being planned, prepared or designed: *Provided further,* That each award under this heading that is not an urban award is a rural award: *Provided further,* That of the funds awarded under this heading, not more than 50 percent shall be awarded as urban awards and rural awards, respectively: *Provided further,* That for rural awards, the minimum grant size shall be \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent: *Provided further,* That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: *Provided further,* That the Secretary shall conduct a new competition to select the grants and credit assistance awarded under this heading: *Provided further,* **]** That the Secretary may retain up to \$25,000,000 of the funds provided under this heading, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration, and the Maritime Administration to fund the award and oversight of grants and credit assistance made under the National Infrastructure Investments program **[**: *Provided further,* That none of the funds provided in the previous proviso may be used to hire additional personnel: *Provided further,* That the Secretary shall consider and award projects based solely on the selection criteria from the fiscal year 2017 Notice of Funding Opportunity: *Provided further,* That, notwithstanding the previous proviso, the Secretary shall not use the Federal share or an applicant's ability to generate non-Federal revenue as a selection criteria in awarding projects: *Provided further,* That the Secretary shall issue the Notice of Funding Opportunity no later than 60 days after enactment of this Act: *Provided further,* That such Notice of Funding Opportunity shall require application submissions 90 days after the publishing of such Notice: *Provided further,* That of the applications submitted under the previous two provisos, the Secretary shall make grants no later than 270 days after enactment of this Act in such amounts that the Secretary determines: *Provided further,* That such sums provided for national infrastructure investments for multimodal safety projects under title VIII of division F of the Consolidated and Further Continuing Appropriations Act, 2013 (Public Law 113-6; 127 Stat. 423) shall remain available through fiscal year 2024 for the liquidation of valid obligations of active grants awarded with this funding: *Provided further,* That the preceding proviso shall be applied as if it were in effect on September 30, 2019 **]**. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0143-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Surface Transportation Reauthorization Activities			1,000
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,000
1930 Total budgetary resources available			1,000
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,000
3050 Unpaid obligations, end of year			1,000
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,000
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,000
4180 Budget authority, net (total)			1,000
4190 Outlays, net (total)			

The Administration is proposing to authorize the BUILD program for the first time as part of its 10-year surface transportation reauthorization proposal. The BUILD program provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure. This schedule reflects the 2021 request for the BUILD program.

Object Classification (in millions of dollars)

Identification code 069-0143-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			1
11.1 Full-time permanent - Allocation			2
11.9 Total personnel compensation			3
25.2 Other services from non-Federal sources			2
25.2 Other services from non-Federal sources - Allocation			4
41.0 Grants, subsidies, and contributions - Allocation			991
99.0 Direct obligations			1,000
99.9 Total new obligations, unexpired accounts			1,000

Employment Summary

Identification code 069-0143-2-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			7

NATIONALLY SIGNIFICANT FREIGHT PROJECTS

For financial assistance for projects eligible under 23 U.S.C. 117, \$1,000,000,000, to remain available through September 30, 2024: Provided, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants according to criteria and requirements described in 23 U.S.C. 117: Provided further, That the limitation at 23 U.S.C. 117(d)(2)(A) shall not apply to the funding provided under this heading: Provided further, That the Secretary may retain up to \$10,000,000 of the funds provided under this heading, and may transfer portions of such funds to the Administrators of the Federal Highway Administration, the Federal Railroad Administration, and the Maritime Administration, to fund the award and oversight of grants and credit assistance made under 23 U.S.C. 117 and this heading: Provided further, That section 1101(b) of Public Law 114-94 shall apply to funds made available under this heading.

Program and Financing (in millions of dollars)

Identification code 069-0148-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nationally Significant Freight Projects Grants			990
0002 Award and Oversight			10
0900 Total new obligations, unexpired accounts			1,000
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,000
1930 Total budgetary resources available			1,000
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,000
3050 Unpaid obligations, end of year			1,000
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,000
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,000
4180 Budget authority, net (total)			1,000
4190 Outlays, net (total)			

The Budget requests \$1 billion in General Fund appropriations to support the Nationally Significant Freight and Highway Projects grant program, also known as the Infrastructure for Rebuilding America (INFRA) program, authorized under 23 U.S.C. 117. The INFRA program provides financial assistance on a competitive basis to highway, freight rail, intermodal, and port infrastructure projects of national or regional significance. The goals of the program include reducing congestion and bottlenecks, and improving safety, on the Nation's freight networks. The resources in this account are

not subject to the limitation in 23 U.S.C. 117(d)(2)(A) for certain freight projects.

Object Classification (in millions of dollars)

Identification code 069-0148-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			1
25.2 Other services from non-Federal sources			9
41.0 Grants, subsidies, and contributions			990
99.9 Total new obligations, unexpired accounts			1,000

Employment Summary

Identification code 069-0148-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			4

TIFIA HIGHWAY TRUST FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4123-0-3-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	1,535	9,577	28,557
0713 Payment of interest to Treasury	598	700	700
0742 Downward reestimates paid to receipt accounts		841	
0743 Interest on downward reestimates		125	
0900 Total new obligations, unexpired accounts	2,133	11,243	29,257
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	456	437
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	2,296	10,891	28,924
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,083	2,146	566
1801 Change in uncollected payments, Federal sources	-163	-83	-83
1825 Spending authority from offsetting collections applied to repay debt	-630	-1,730	-150
1850 Spending auth from offsetting collections, mand (total)	290	333	333
1900 Budget authority (total)	2,586	11,224	29,257
1930 Total budgetary resources available	2,589	11,680	29,694
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	456	437	437
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10,736	8,470	15,549
3010 New obligations, unexpired accounts	2,133	11,243	29,257
3020 Outlays (gross)	-4,399	-4,164	-3,543
3050 Unpaid obligations, end of year	8,470	15,549	41,263
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-519	-356	-273
3070 Change in uncollected pymts, Fed sources, unexpired	163	83	83
3090 Uncollected pymts, Fed sources, end of year	-356	-273	-190
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10,217	8,114	15,276
3200 Obligated balance, end of year	8,114	15,276	41,073
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	2,586	11,224	29,257
Financing disbursements:			
4110 Outlays, gross (total)	4,399	4,164	3,543
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: subsidy from program account	-202	-136	-136
4120 Federal sources: Upward Reestimate	-109	-24	
4120 Federal sources: Interest on upward reestimate	-26	-56	
4122 Interest on uninvested funds	-66	-80	-80
4123 Non-Federal sources - Interest payments	-200	-200	-200
4123 Non-Federal sources - Principal payments	-480	-1,650	-1,500
4130 Offsets against gross budget authority and outlays (total)	-1,083	-2,146	-566

TIFIA HIGHWAY TRUST FUND DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 069-4123-0-3-401	2019 actual	2020 est.	2021 est.
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	163	83	83
4160 Budget authority, net (mandatory)	1,666	9,161	28,774
4170 Outlays, net (mandatory)	3,316	2,018	2,977
4180 Budget authority, net (total)	1,666	9,161	28,774
4190 Outlays, net (total)	3,316	2,018	2,977

Status of Direct Loans (in millions of dollars)

Identification code 069-4123-0-3-401	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1,535	9,577	28,557
1150 Total direct loan obligations	1,535	9,577	28,557
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	15,351	18,575	19,823
1231 Disbursements: Direct loan disbursements	3,364	2,498	3,543
1251 Repayments: Repayments and prepayments	-480	-1,650	-150
1261 Adjustments: Capitalized interest	340	400	600
1290 Outstanding, end of year	18,575	19,823	23,816

This non-budgetary financing account records all cash flows to and from the Government resulting from the TIFIA Highway Trust Fund Program Account (program account). The amounts in this account are a means of financing and are not included in the budget totals. For 2021, cash flows are based on contract authority and obligation limitation equal to the baseline in the program account. Cash flows from contract authority and obligation limitation above the baseline in the program account are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. For the first time, the Budget reflects the reassignment of this account from FHWA to OST.

Balance Sheet (in millions of dollars)

Identification code 069-4123-0-3-401	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	3	18
Investments in U.S. securities:		
1106 Receivables, net	103	77
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	15,351	18,575
1402 Interest receivable		
1404 Foreclosed property	167	167
1405 Allowance for subsidy cost (-)	-199	648
1499 Net present value of assets related to direct loans	15,319	19,390
1999 Total assets	15,425	19,485
LIABILITIES:		
Federal liabilities:		
2103 Debt	14,988	18,319
2105 Other	437	1,166
2999 Total liabilities	15,425	19,485
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	15,425	19,485

TIFIA HIGHWAY TRUST FUND DIRECT LOAN FINANCING ACCOUNT
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-4123-2-3-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations			3,505
0713 Payment of interest to Treasury			4

0900 Total new obligations, unexpired accounts			3,509
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Budgetary resources:

Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			3,471
1900 Budget authority (total)			3,471
1930 Total budgetary resources available			3,471
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-38

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			3,509
3020 Outlays (gross)			-175
3050 Unpaid obligations, end of year			3,334
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3,334

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross			3,471
Financing disbursements:			
4110 Outlays, gross (total)			175
4180 Budget authority, net (total)			3,471
4190 Outlays, net (total)			175

Status of Direct Loans (in millions of dollars)

Identification code 069-4123-2-3-401	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			3,505
1150 Total direct loan obligations			3,505
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			175
1290 Outstanding, end of year			175

This schedule reflects cash flows associated with the additional contract authority and obligation limitation proposed above the baseline in the TIFIA Highway Trust Fund Program Account. These additional resources are requested as part of the Administration's surface transportation reauthorization proposal.

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

Program and Financing (in millions of dollars)

Identification code 069-4522-0-4-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working Capital Fund, Volpe National Transportation Systems Cent (Reimbursable)	244	340	345
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	187	179	179
1021 Recoveries of prior year unpaid obligations	11		
1050 Unobligated balance (total)	198	179	179
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	220	340	345
1701 Change in uncollected payments, Federal sources	5		
1750 Spending auth from offsetting collections, disc (total)	225	340	345
1930 Total budgetary resources available	423	519	524
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	179	179	179

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	131	133	133
3010 New obligations, unexpired accounts	244	340	345
3020 Outlays (gross)	-231	-340	-345
3040 Recoveries of prior year unpaid obligations, unexpired	-11		
3050 Unpaid obligations, end of year	133	133	133

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-60	-65	-65
3070	Change in uncollected pymts, Fed sources, unexpired	-5		
3090	Uncollected pymts, Fed sources, end of year	-65	-65	-65
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	71	68	68
3200	Obligated balance, end of year	68	68	68

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	225	340	345
Outlays, gross:				
4010	Outlays from new discretionary authority	92	136	138
4011	Outlays from discretionary balances	139	204	207
4020	Outlays, gross (total)	231	340	345
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-217	-340	-345
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-220	-340	-345
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-5		
4080	Outlays, net (discretionary)	11		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	11		

The Working Capital Fund finances multidisciplinary research, evaluation, analytical, and related activities undertaken at the Volpe Transportation Systems Center (Volpe Center) in Cambridge, MA. The fund is financed through negotiated agreements with other offices within the Office of the Secretary, Departmental operating administrations, other governmental elements, and non-governmental entities using the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

Object Classification (in millions of dollars)

Identification code 069-4522-0-4-407				
	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	56	60	62
11.3	Other than full-time permanent	4	5	5
11.5	Other personnel compensation	2		
11.9	Total personnel compensation	62	65	67
12.1	Civilian personnel benefits	20	23	24
21.0	Travel and transportation of persons	4	4	5
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	110	110	110
25.2	Other services from non-Federal sources	3	3	3
25.3	Other goods and services from Federal sources	6	6	6
25.4	Operation and maintenance of facilities	5	5	5
25.5	Research and development contracts	3	89	90
25.7	Operation and maintenance of equipment	9	9	9
25.8	Subsistence and support of persons	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	8	10	10
32.0	Land and structures		1	1
44.0	Refunds	9	10	10
99.9	Total new obligations, unexpired accounts	244	340	345

Employment Summary

Identification code 069-4522-0-4-407				
	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	522	570	570

TIFIA GENERAL FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-0542-0-1-401				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0706	Interest on reestimates of direct loan subsidy	1		
0900	Total new obligations, unexpired accounts (object class 41.0)	1		

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	1		
1900	Budget authority (total)	1		
1930	Total budgetary resources available	1		

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1		
3020	Outlays (gross)	-1		

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1		
Outlays, gross:				
4100	Outlays from new mandatory authority	1		
4180	Budget authority, net (total)	1		
4190	Outlays, net (total)	1		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0542-0-1-401				
	2019 actual	2020 est.	2021 est.	
Direct loan reestimates:				
135001	TIFIA TIGER Direct Loans	-12	-13	

The Office of the Secretary of Transportation (OST) received appropriations totaling \$1,127 million for TIGER discretionary grants as part of the 2010 and 2011 Department of Transportation (DOT) appropriations acts. The appropriations authorized DOT to pay subsidy and administrative costs, not to exceed \$300 million, of projects eligible for Federal credit assistance under Chapter 6 of Title 23 United States Code. In 2012, \$45 million was provided for TIGER discretionary grants as part of the 2012 DOT appropriation act to pay subsidy and administrative costs under the Transportation Infrastructure Finance and Innovation Act program. For the first time the Budget reflects the reassignment of this account from FHWA to OST.

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4348-0-3-401				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0713	Payment of interest to Treasury	30	30	33
0742	Downward reestimates paid to receipt accounts	10	11	
0743	Interest on downward reestimates	1	3	
0900	Total new obligations, unexpired accounts	41	44	33
Budgetary resources:				
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	33	32	2
Spending authority from offsetting collections, mandatory:				
1800	Collected	10	15	35
1825	Spending authority from offsetting collections applied to repay debt	-2	-3	-4
1850	Spending auth from offsetting collections, mand (total)	8	12	31
1900	Budget authority (total)	41	44	33
1930	Total budgetary resources available	41	44	33

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	41	44	33
3020	Outlays (gross)	-41	-44	-33

Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	41	44	33
Financing disbursements:				
4110	Outlays, gross (total)	41	44	33
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4122	Interest on uninvested funds	-1	-1	

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 069-4348-0-3-401		2019 actual	2020 est.	2021 est.
4123	Non-Federal sources - Interest payments	-8	-12	-30
4123	Non-Federal sources - Principal payments	-2	-2	-4
4130	Offsets against gross budget authority and outlays (total)	-10	-15	-35
4160	Budget authority, net (mandatory)	31	29	-2
4170	Outlays, net (mandatory)	31	29	-2
4180	Budget authority, net (total)	31	29	-2
4190	Outlays, net (total)	31	29	-2

Status of Direct Loans (in millions of dollars)

Identification code 069-4348-0-3-401		2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	1,082	1,101	1,116
1251	Repayments: Repayments and prepayments	-2	-2	-4
1261	Adjustments: Capitalized interest	21	17
1290	Outstanding, end of year	1,101	1,116	1,112

This is the financing account for the TIFIA General Fund Program Account. This non-budgetary account records all cash flows to and from the Government resulting from TIFIA credit assistance provided under this program. The Budget for the first time reflects the reassignment of this account from FHWA to OST.

Balance Sheet (in millions of dollars)

Identification code 069-4348-0-3-401		2018 actual	2019 actual
ASSETS:			
Federal assets:			
Investments in U.S. securities:			
1106	Receivables, net	1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	1,082	1,101
1405	Allowance for subsidy cost (-)	-34	-21
1499	Net present value of assets related to direct loans	1,048	1,080
1999	Total assets	1,048	1,081
LIABILITIES:			
Federal liabilities:			
2103	Debt	1,036	1,067
2105	Other	12	14
2999	Total liabilities	1,048	1,081
NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	1,048	1,081

FINANCIAL MANAGEMENT CAPITAL

For necessary expenses for upgrading and enhancing the Department of Transportation's financial systems and re-engineering business processes, \$2,000,000, to remain available through September 30, [2021] 2023. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0116-0-1-407		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Financial management capital	4	6	2
0900	Total new obligations, unexpired accounts (object class 25.3)	4	6	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	6	4
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2	2	2
1930	Total budgetary resources available	8	6	2

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6
3010	New obligations, unexpired accounts	4	6	2
3020	Outlays (gross)	-4	-2
3050	Unpaid obligations, end of year	6	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6
3200	Obligated balance, end of year	6	6

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	2	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority	2
4011	Outlays from discretionary balances	2	2
4020	Outlays, gross (total)	4	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	4	2

This appropriation provides funds to support projects that modernize DOT's financial systems and business processes to comply with key financial management initiatives. These funds will assist DOT in increasing data quality, ensuring compliance with financial standards and reporting, execution of DATA Act requirements, and other critical needs that may arise.

CYBER SECURITY INITIATIVES

For necessary expenses for cyber security initiatives, including necessary upgrades to [wide area network and information technology infrastructure, improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements] network and information technology infrastructure, improvement of identity management and authentication capabilities, securing and protecting data, implementation of Federal cyber security initiatives, and [information infrastructure enhancements, and] implementation of enhanced security controls on [network devices, \$15,000,000] agency computers and mobile devices, \$22,000,000, to remain available through September 30, [2021] 2023. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0159-0-1-407		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Cyber Security Initiatives (Direct)	11	16	21
0100	Direct program activities, subtotal	11	16	21
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5	9	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	15	15	22
1930	Total budgetary resources available	20	24	30
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	9	8	9
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	10	5	12
3010	New obligations, unexpired accounts	11	16	21
3020	Outlays (gross)	-16	-9	-17
3050	Unpaid obligations, end of year	5	12	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10	5	12
3200	Obligated balance, end of year	5	12	16
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	15	15	22

Outlays, gross:				
4010	Outlays from new discretionary authority	2	4	6
4011	Outlays from discretionary balances	14	5	11
4020	Outlays, gross (total)	16	9	17
4180	Budget authority, net (total)	15	15	22
4190	Outlays, net (total)	16	9	17

This appropriation will fund cyber security initiatives, including necessary upgrades to the wide area network and information technology infrastructure. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the Department of Transportation network, and reduce the risk of security breaches.

Object Classification (in millions of dollars)

Identification code 069-0159-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Direct obligations:				
23.3	Communications, utilities, and miscellaneous charges	1		
25.1	Advisory and assistance services	7	8	6
25.3	Other goods and services from Federal sources		3	3
25.7	Operation and maintenance of equipment	1	2	4
31.0	Equipment	2	3	8
99.9	Total new obligations, unexpired accounts	11	16	21

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$9,470,000]** \$9,600,000. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0118-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Obligations by program activity:				
0001	Office of Civil Rights	9	9	10
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	9	9	10
1930	Total budgetary resources available	9	9	10
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	2
3010	New obligations, unexpired accounts	9	9	10
3020	Outlays (gross)	-8	-11	-10
3050	Unpaid obligations, end of year	4	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	2
3200	Obligated balance, end of year	4	2	2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	9	9	10
Outlays, gross:				
4010	Outlays from new discretionary authority	6	7	8
4011	Outlays from discretionary balances	2	4	2
4020	Outlays, gross (total)	8	11	10
4180	Budget authority, net (total)	9	9	10
4190	Outlays, net (total)	8	11	10

The Office of Civil Rights provides Department-wide leadership for all civil rights activities, including employment opportunity and enforcement of laws and regulations that prohibit discrimination in the financing and operation of transportation programs with Federal resources. The Office also is responsible for non-discrimination policy development, analysis, coordination and compliance, and promotes an organizational culture that values workforce diversity, and handles all civil rights cases related to Department of Transportation employees.

Object Classification (in millions of dollars)

Identification code 069-0118-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	5
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	2	2	2
25.3	Other goods and services from Federal sources	1	1	1
25.7	Operation and maintenance of equipment	1	1	1
99.9	Total new obligations, unexpired accounts	9	9	10

Employment Summary

Identification code 069-0118-0-1-407				
2019 actual				
2020 est.				
2021 est.				
1001	Direct civilian full-time equivalent employment	36	45	50

SMALL AND DISADVANTAGED BUSINESS UTILIZATION AND OUTREACH

For necessary expenses for small and disadvantaged business utilization and outreach activities, **[\$4,646,000]** \$4,714,000, to remain available until September 30, **[2021]** 2022: *Provided*, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation: *Provided further*, That appropriations made available under this heading shall be available for any purpose consistent with prior year appropriations that were made available under the heading "Minority Business Resource Center Program". (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0119-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Obligations by program activity:				
0001	Minority business outreach	4	5	5
0002	Bonding Assistance Program		3	
0900	Total new obligations, unexpired accounts	4	8	5
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5	4	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	3	5	5
1930	Total budgetary resources available	8	9	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4	1	1
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	2	3
3010	New obligations, unexpired accounts	4	8	5
3020	Outlays (gross)	-5	-7	-5
3050	Unpaid obligations, end of year	2	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	2	3
3200	Obligated balance, end of year	2	3	3
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority	2	2	2
4011	Outlays from discretionary balances	3	5	3
4020	Outlays, gross (total)	5	7	5
4180	Budget authority, net (total)	3	5	5
4190	Outlays, net (total)	5	7	5

This appropriation includes funding for the Office of Small and Disadvantaged Business Utilization to ensure that: 1) the small and disadvantaged business policies and programs of the Secretary of Transportation are developed and implemented throughout the Department in a fair, efficient, and effective manner; and 2) effective outreach activities are in place to assist small businesses owned and controlled by socially and economically disadvantaged individuals, small businesses owned and controlled by wo-

SMALL AND DISADVANTAGED BUSINESS UTILIZATION AND OUTREACH—Continued men, small businesses owned and controlled by service disabled-veterans, Native American small business concerns, and qualified Historically Underutilized Business Zone (HUB Zone) small businesses concerned with securing Department of Transportation contracting and subcontracting opportunities.

Object Classification (in millions of dollars)

Identification code 069-0119-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources		1	1
41.0 Grants, subsidies, and contributions	2	5	2
99.0 Direct obligations	3	7	4
99.5 Below Reporting Threshold	1	1	1
99.9 Total new obligations, unexpired accounts	4	8	5

Employment Summary

Identification code 069-0119-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	11	12	12

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, **[\$10,879,000]** \$9,350,000, to remain available until expended: *Provided*, That of such amount, **[\$1,000,000]** \$1,500,000 shall be for necessary expenses of the Interagency Infrastructure Permitting Improvement Center (IIPIC): *Provided further*, That there may be transferred to this appropriation, to remain available until expended, amounts transferred from other Federal agencies for expenses incurred under this heading for IIPIC activities not related to transportation infrastructure: *Provided further*, That the tools and analysis developed by the IIPIC shall be available to other Federal agencies for the permitting and review of major infrastructure projects not related to transportation only to the extent that other Federal agencies provide funding to the Department as provided for under the previous proviso. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0142-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Transportation policy and planning	8	8	8
0003 Interagency Infrastructure Permitting Improvement Center (IIPIC)	2	1	1
0004 Automated Vehicles	1		
0005 Non-Traditional and Emerging Transportation Technology (NETT) Council		2	
0100 Total direct program	11	11	9
0799 Total direct obligations	11	11	9
0900 Total new obligations, unexpired accounts	11	11	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	17	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	11	9
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	1	
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	6	1	
1900 Budget authority (total)	14	12	9
1930 Total budgetary resources available	28	29	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	18	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	6

3010 New obligations, unexpired accounts	11	11	9
3020 Outlays (gross)	-11	-7	-10
3050 Unpaid obligations, end of year	2	6	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		-1	3
3200 Obligated balance, end of year	-1	3	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	14	12	9
Outlays, gross:			
4010 Outlays from new discretionary authority		4	4
4011 Outlays from discretionary balances	11	3	6
4020 Outlays, gross (total)	11	7	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-5	-1	
4040 Offsets against gross budget authority and outlays (total) ...	-5	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	8	11	9
4080 Outlays, net (discretionary)	6	6	10
4180 Budget authority, net (total)	8	11	9
4190 Outlays, net (total)	6	6	10

This appropriation finances research and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of National transportation policies and the coordination of National-level transportation planning. Funding also supports Departmental leadership in areas such as economic impacts, de-regulation, safety impacts of transportation, aviation economic policy, and international transportation issues. The program activities include contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms. This appropriation also finances the Interagency Infrastructure Permitting Improvement Center, including an online database Permitting Dashboard, to support permitting/environmental review reforms to improve interagency coordination, and make the process for Federal approval for major infrastructure projects more efficient.

Object Classification (in millions of dollars)

Identification code 069-0142-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	4	5
12.1 Civilian personnel benefits	1	2	2
25.1 Advisory and assistance services	1	3	1
25.3 Other goods and services from Federal sources	3	1	1
99.0 Direct obligations	10	10	9
99.5 Adjustment for rounding	1	1	
99.9 Total new obligations, unexpired accounts	11	11	9

Employment Summary

Identification code 069-0142-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33	37	39

ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 069-5423-0-2-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Essential air service and rural airport improvement	118	239	153

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	49	90
1021	Recoveries of prior year unpaid obligations	14	
1050	Unobligated balance (total)	63	90
Budget authority:			
Appropriations, mandatory:			
1203	Appropriation (previously unavailable)(special or trust)	9	9
1221	Appropriations transferred from other acct [069-5422]	146	149
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-10	-9
1260	Appropriations, mandatory (total)	145	149
1900	Budget authority (total)	145	149
1930	Total budgetary resources available	208	239
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	90	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	47	27
3010	New obligations, unexpired accounts	118	239
3020	Outlays (gross)	-124	-147
3040	Recoveries of prior year unpaid obligations, unexpired	-14	
3050	Unpaid obligations, end of year	27	119
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	47	27
3200	Obligated balance, end of year	27	119
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	145	149
Outlays, gross:			
4100	Outlays from new mandatory authority	42	89
4101	Outlays from mandatory balances	82	58
4110	Outlays, gross (total)	124	147
4180	Budget authority, net (total)	145	149
4190	Outlays, net (total)	124	147

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for services provided by the Federal Aviation Administration (FAA) to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service (EAS) program and rural airport improvements. In addition, the FAA Modernization and Reauthorization Act (P.L. 112-95) requires that, in any fiscal year, overflight fees collected in excess of \$50 million will be available to carry out the EAS program. The 2021 Budget proposes to reform the EAS program.

Object Classification (in millions of dollars)

Identification code 069-5423-0-2-402			
	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2
41.0	Grants, subsidies, and contributions	116	237
99.0	Direct obligations	118	239
99.9	Total new obligations, unexpired accounts	118	239

Employment Summary

Identification code 069-5423-0-2-402			
	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	13	14

WORKING CAPITAL FUND

For necessary expenses for operating costs and capital outlays of the Working Capital Fund, not to exceed \$319,793,000, shall be paid from appropriations made available to the Department of Transportation: *Provided*, That such services shall be provided on a competitive basis to entities within the Department of Transportation: *Provided further*, That the above limitation on operating expenses shall not apply to non-DOT entities: *Provided further*, That no funds appropriated in this Act to an agency of the Department shall be transferred to the Working Capital Fund

without majority approval of the Working Capital Fund Steering Committee and approval of the Secretary: *Provided further*, That no assessments may be levied against any program, budget activity, subactivity or project funded by this Act unless notice of such assessments and the basis therefor are presented to the House and Senate Committees on Appropriations and are approved by such Committees. **Any Working Capital Fund limitation shall not apply to the Department's Information Technology, Human Resources, or Acquisition shared services consolidation of functions.** (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-4520-0-4-407			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	DOT service center activities	230	206
0802	Non-DOT service center activities	236	299
0803	WCF Shared Services		114
0900	Total new obligations, unexpired accounts	466	619
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	90	95
1021	Recoveries of prior year unpaid obligations	9	
1050	Unobligated balance (total)	99	95
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected	462	619
1930	Total budgetary resources available	561	714
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	95	95
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	71	104
3010	New obligations, unexpired accounts	466	619
3020	Outlays (gross)	-424	-643
3040	Recoveries of prior year unpaid obligations, unexpired	-9	
3050	Unpaid obligations, end of year	104	80
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-20
3090	Uncollected pymts, Fed sources, end of year	-20	-20
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	51	84
3200	Obligated balance, end of year	84	60
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	462	619
Outlays, gross:			
4010	Outlays from new discretionary authority	367	539
4011	Outlays from discretionary balances	57	104
4020	Outlays, gross (total)	424	643
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-459	-617
4033	Non-Federal sources	-3	-2
4040	Offsets against gross budget authority and outlays (total)	-462	-619
4080	Outlays, net (discretionary)	-38	24
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-38	24

The Working Capital Fund finances common administrative services and other services that are centrally performed in the interest of economy and efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers. In 2021, the Working Capital Fund will likely obligate nearly \$703 million across the Department, including \$153 million to continue the Department's implementation of a shared services environment for human resources, procurement, and commodity information technology investments. The Department of Transportation's shared services initiative will improve mission delivery in acquisition, human resources, and information technology by consolidating separate, overlapping, and duplicative processes and functions. As a key part of this effort, the Department is currently embarking upon a more modern, centralized approach to Information Technology (IT) investment and management through the use of shared services. In 2021,

WORKING CAPITAL FUND—Continued

the Department will continue consolidating its acquisition, Human Resources (HR), and commodity IT services across Operating Administrations (OAs). Utilizing shared services will enable the Department to improve cybersecurity, increase efficiencies, and improve transparency in IT spending.

Object Classification (in millions of dollars)

Identification code 069-4520-0-4-407	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	40	41
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	27	41	42
12.1 Civilian personnel benefits	9	13	15
13.0 Benefits for former personnel	2	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	10	8	11
23.3 Communications, utilities, and miscellaneous charges	11	9	10
25.2 Other services from non-Federal sources	90	146	192
25.3 Other goods and services from Federal sources	39	44	46
25.7 Operation and maintenance of equipment	22	16	29
26.0 Supplies and materials	220	298	303
31.0 Equipment	21	41	52
44.0 Refunds	14		
99.9 Total new obligations, unexpired accounts	466	619	703

Employment Summary

Identification code 069-4520-0-4-407	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	232	291	291

MINORITY BUSINESS RESOURCE CENTER PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0155-0-1-407	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1		
1930 Total budgetary resources available	1		
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1		
4180 Budget authority, net (total)	1		
4190 Outlays, net (total)			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0155-0-1-407	2019 actual	2020 est.	2021 est.
Administrative expense data:			
3510 Budget authority	1		

No funding is requested for this program in 2021.

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

The Secretary of Transportation is authorized to issue direct loans and loan guarantees pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, such authority shall exist as long as any such direct loan or loan guarantee is outstanding. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0750-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0703 Subsidy for modifications of direct loans	17		
0705 Reestimates of direct loan subsidy	51	32	
0706 Interest on reestimates of direct loan subsidy	10	7	
0791 Direct program activities, subtotal	78	39	
0900 Total new obligations, unexpired accounts	78	39	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	26	26
1001 Discretionary unobligated balance brought fwd, Oct 1	26	26	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17		
Appropriations, mandatory:			
1200 Appropriation	61	39	
1900 Budget authority (total)	78	39	
1930 Total budgetary resources available	104	65	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	26	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	
3010 New obligations, unexpired accounts	78	39	
3020 Outlays (gross)	-78	-40	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17		
Outlays, gross:			
4010 Outlays from new discretionary authority	17		
4011 Outlays from discretionary balances		1	
4020 Outlays, gross (total)	17	1	
Mandatory:			
4090 Budget authority, gross	61	39	
Outlays, gross:			
4100 Outlays from new mandatory authority	61	39	
4180 Budget authority, net (total)	78	39	
4190 Outlays, net (total)	78	40	
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 069-0750-0-1-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Railroad Rehabilitation and Improvement Financing Direct Loans	914	600	600
Direct loan subsidy (in percent):			
132001 Railroad Rehabilitation and Improvement Financing Direct Loans	-1.04	0.00	0.00
132999 Weighted average subsidy rate	-1.04	0.00	0.00
Direct loan subsidy budget authority:			
133001 Railroad Rehabilitation and Improvement Financing Direct Loans	-10		
Direct loan subsidy outlays:			
134001 Railroad Rehabilitation and Improvement Financing Direct Loans	11		
Direct loan reestimates:			
135001 Railroad Rehabilitation and Improvement Financing Direct Loans	58	19	

The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing (RRIF) loan and loan guarantee program. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, changed the program to allow FRA to issue direct loan and loan guarantees up to \$35 billion, and it required that no less than \$7 billion be reserved for projects primarily benefiting freight railroads other than Class I carriers. The program was expanded by the Rail Safety Improvement Act of 2008 and again

by the Fixing America's Surface Transportation Act in 2015. Loans may be used to: 1) acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; 2) refinance debt; 3) develop and establish new intermodal or railroad facilities; 4) reimburse related planning and design expenses; and 5) to finance certain economic development related to passenger rail stations. For 2016, \$1.96 million was appropriated to assist Class II and Class III railroads in covering RRIF loan application expenses. In 2018, \$25 million was appropriated for credit risk premiums. No new funds are requested for this account in 2021. For the first time the Budget reflects the reassignment of this account from FRA to OST.

Object Classification (in millions of dollars)

Identification code 069-0750-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
33.0 Investments and loans	51	32
43.0 Interest and dividends	27	7
99.9 Total new obligations, unexpired accounts	78	39

MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 069-4082-0-3-407	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority
2142 Uncommitted loan guarantee limitation
2150 Total guaranteed loan commitments
2199 Guaranteed amount of guaranteed loan commitments
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1	1
2231 Disbursements of new guaranteed loans
2251 Repayments and prepayments	-1
2290 Outstanding, end of year	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4420-0-3-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	914	600	600
0713 Payment of interest to Treasury	40	38	38
0715 Credit Risk Premium Repayment	3
0740 Negative subsidy obligations	9
0742 Downward reestimates paid to receipt accounts	2	16
0743 Interest on downward reestimates	1	4
0900 Total new obligations, unexpired accounts	969	658	638
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	114	133
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	916	600	600
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (interest on uninvested funds)	15	3	3
1800 Offsetting collections (principal-borrowers)	12	60	60
1800 Offsetting collections (upward reestimate)	61	39
1800 Offsetting collections (interest-borrowers)	10	27	27
1800 Collected	41	10	10
1825 Spending authority from offsetting collections applied to repay debt	-26	-62	-62

1850	Spending auth from offsetting collections, mand (total)	113	77	38
1900	Budget authority (total)	1,029	677	638
1930	Total budgetary resources available	1,083	791	771
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	114	133	133

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3,372	3,553	3,219
3010	New obligations, unexpired accounts	969	658	638
3020	Outlays (gross)	-788	-992	-1,027
3050	Unpaid obligations, end of year	3,553	3,219	2,830
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3,372	3,553	3,219
3200	Obligated balance, end of year	3,553	3,219	2,830

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross	1,029	677	638
Financing disbursements:				
4110	Outlays, gross (total)	788	992	1,027
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-78	-39
4122	Interest on uninvested funds	-15	-3	-3
4123	Credit Risk Premium	-24	-10	-10
4123	Principal Repayment	-12	-60	-60
4123	Interest Repayment	-10	-27	-27
4130	Offsets against gross budget authority and outlays (total)	-139	-139	-100
4160	Budget authority, net (mandatory)	890	538	538
4170	Outlays, net (mandatory)	649	853	927
4180	Budget authority, net (total)	890	538	538
4190	Outlays, net (total)	649	853	927

Status of Direct Loans (in millions of dollars)

Identification code 069-4420-0-3-401	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	914	600	600
1150	Total direct loan obligations	914	600	600
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	507	1,231	1,768
1231	Disbursements: Direct loan disbursements	768	598	598
1251	Repayments: Repayments and prepayments	-43	-60	-60
1263	Write-offs for default: Direct loans	-1	-1	-1
1290	Outstanding, end of year	1,231	1,768	2,305

As required by the Federal Credit Reform Act of 1990, this non-budgetary financing account records all cash flows to and from the Government resulting from the Railroad Rehabilitation and Improvement Financing Program Account. The amounts in this account are a means of financing and are not included in the budget totals. For the first time, the Budget reflects the reassignment of this account from FRA to OST.

Balance Sheet (in millions of dollars)

Identification code 069-4420-0-3-401	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	54	114
Investments in U.S. securities:			
1106	Receivables, net	17	101
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	507	1,231
1405	Allowance for subsidy cost (-)	-99	-251
1499	Net present value of assets related to direct loans	408	980
1999	Total assets	479	1,195
LIABILITIES:			
Federal liabilities:			
2103	Debt	479	1,186
2105	Other	9
2999	Total liabilities	479	1,195
NET POSITION:			
3300	Cumulative results of operations

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING
ACCOUNT—Continued
Balance Sheet—Continued

Identification code 069-4420-0-3-401	2018 actual	2019 actual
4999 Total liabilities and net position	479	1,195

Trust Funds

TIFIA HIGHWAY TRUST FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 TIFIA Revenue Fee	1	3	
0002 General Administration	3		
0091 Direct program activities, subtotal	4	3	
Credit program obligations:			
0701 Direct loan subsidy		272	277
0705 Reestimates of direct loan subsidy		24	
0706 Interest on reestimates of direct loan subsidy		57	
0709 Administrative expenses		7	7
0791 Direct program activities, subtotal		360	284
0900 Total new obligations, unexpired accounts	4	363	284

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		4	1,873
1011 Unobligated balance transfer from other acct [069-8083]	2		
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8083]		1,880	
1050 Unobligated balance (total)	2	1,884	1,873
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			277
1121 Appropriations transferred from other acct [069-8083]	4	272	
1138 Appropriations applied to liquidate contract authority	-4	-272	-277
Appropriations, mandatory:			
1200 Appropriation		80	
Contract authority, mandatory:			
1600 Contract authority			272
1611 Contract authority transferred from other accounts [069-8083]	4	272	
1640 Contract authority, mandatory (total)	4	272	272
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [069-8083]	2		
1900 Budget authority (total)	6	352	272
1930 Total budgetary resources available	8	2,236	2,145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	1,873	1,861

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		7	147
3010 New obligations, unexpired accounts	4	363	284
3020 Outlays (gross)	-3	-223	-244
3031 Unpaid obligations transferred from other accts [069-8083]	6		
3050 Unpaid obligations, end of year	7	147	187
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		7	147
3200 Obligated balance, end of year	7	147	187

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2		
Outlays, gross:			
4010 Outlays from new discretionary authority	2	73	75
4011 Outlays from discretionary balances	1	150	169
4020 Outlays, gross (total)	3	223	244
Mandatory:			
4090 Budget authority, gross	4	352	272
4180 Budget authority, net (total)	6	352	272

4190 Outlays, net (total)	3	223	244
Memorandum (non-add) entries:			
5050 Unobligated balance, SOY: Contract authority			1,733
5051 Unobligated balance, EOY: Contract authority		1,733	1,688
5052 Obligated balance, SOY: Contract authority			147
5053 Obligated balance, EOY: Contract authority		147	187
5061 Limitation on obligations (Highway Trust Funds)		272	277

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	6	352	272
Outlays	3	223	244
Legislative proposal, not subject to PAYGO:			
Budget Authority			39
Outlays			9
Total:			
Budget Authority	6	352	311
Outlays	3	223	253

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 TIFIA Direct Loans		9,577	28,557
Direct loan subsidy (in percent):			
132002 TIFIA Direct Loans		2.84	0.97
132999 Weighted average subsidy rate	0.00	2.84	0.97
Direct loan subsidy budget authority:			
133002 TIFIA Direct Loans		272	277
Direct loan subsidy outlays:			
134002 TIFIA Direct Loans		136	136
Direct loan reestimates:			
135002 TIFIA Direct Loans		-886	
Administrative expense data:			
3510 Budget authority		7	7
3590 Outlays from new authority		7	7

This is the program account for the Transportation Infrastructure Finance and Innovation Act (TIFIA) program which is supported by the Highway Trust Fund (HTF). The TIFIA program provides credit assistance for qualified projects of regional and national significance. As part of the Administration's 10-year surface transportation reauthorization proposal, the budget requests \$3.7 billion in contract authority for the TIFIA program over 10 years. Of this amount, \$311 million is requested for 2021, a 3.7 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above the baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal. The Budget for the first time reflects the reassignment of this account from FHWA to OST; however, most 2019 program and financial information for HTF-supported TIFIA loans is reflected in FHWA's Federal-aid Highways account.

Object Classification (in millions of dollars)

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
12.1 Civilian personnel benefits		1	1
25.1 Advisory and assistance services	2	3	
25.3 Other goods and services from Federal sources	1	4	4
33.0 Investments and loans		81	
41.0 Grants, subsidies, and contributions		272	277
99.9 Total new obligations, unexpired accounts	4	363	284

Employment Summary

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	18	18

TIFIA HIGHWAY TRUST FUND PROGRAM ACCOUNT

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION OF CONTRACT OBLIGATIONS)

(HIGHWAY TRUST FUND)

Contingent upon enactment of multi-year surface transportation reauthorization legislation, for the liquidation of obligations incurred in carrying out the Transportation Infrastructure Finance and Innovation Act program under 23 U.S.C. 601 et seq., \$311,000,000 derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended: Provided, That obligations incurred against the contract authority authorized from the Highway Trust Fund for fiscal year 2021 to carry out the Transportation Infrastructure Finance and Innovation Act program shall not exceed total obligations of \$311,000,000, and may be incurred until such amount is reached.

Program and Financing (in millions of dollars)

Identification code 069-8634-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy			34
0791 Direct program activities, subtotal			34
0900 Total new obligations, unexpired accounts (object class 41.0)			34
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			34
1138 Appropriations applied to liquidate contract authority			-34
Contract authority, mandatory:			
1600 Contract authority			39
1900 Budget authority (total)			39
1930 Total budgetary resources available			39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			34
3020 Outlays (gross)			-9
3050 Unpaid obligations, end of year			25
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			25
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority			9
Mandatory:			
4090 Budget authority, gross			39
4180 Budget authority, net (total)			39
4190 Outlays, net (total)			9
Memorandum (non-add) entries:			
5061 Limitation on obligations (Highway Trust Funds)			34

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8634-2-7-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 TIFIA Direct Loans			3,505
Direct loan subsidy (in percent):			
132002 TIFIA Direct Loans			0.97
Direct loan subsidy budget authority:			
133002 TIFIA Direct Loans			34

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the HTF-supported TIFIA program, which provides credit assistance for qualified projects of regional and national significance. These additional resources are requested as part of the Administrations 10-year surface transportation reauthorization proposal.

Employment Summary

Identification code 069-8634-2-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			5

PAYMENTS TO AIR CARRIERS

(AIRPORT AND AIRWAY TRUST FUND)

In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, \$162,000,000 \$141,724,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended: Provided, That in determining between or among carriers competing to provide service to a community, the Secretary may consider the relative subsidy requirements of the carriers: Provided further, That basic essential air service minimum requirements shall not include the 15-passenger capacity requirement under section 41732(b)(3) of title 49, United States Code: Provided further, That none of the funds in this Act or any other Act shall be used to enter into a new contract with a community located less than 40 miles from the nearest small hub airport before the Secretary has negotiated with the community over a local cost share: Provided further, That amounts authorized to be distributed for the essential air service program under section 41742(b) of title 49, United States Code, shall be made available immediately from amounts otherwise provided to the Administrator of the Federal Aviation Administration: Provided further, That the Administrator may reimburse such amounts from fees credited to the account established under section 45303 of title 49, United States Code. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8304-0-7-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to air carriers	218	163	142
0900 Total new obligations, unexpired accounts (object class 41.0)	218	163	142
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	
1021 Recoveries of prior year unpaid obligations	42		
1050 Unobligated balance (total)	44	1	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	175	162	142
1930 Total budgetary resources available	219	163	142
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	54	33	65
3010 New obligations, unexpired accounts	218	163	142
3020 Outlays (gross)	-197	-131	-150
3040 Recoveries of prior year unpaid obligations, unexpired	-42		
3050 Unpaid obligations, end of year	33	65	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	54	33	65
3200 Obligated balance, end of year	33	65	57
Budget authority and outlays, net:			
Discretionary:			
Budget authority, gross:			
4000 Budget authority, gross	175	162	142
Outlays, gross:			
4010 Outlays from new discretionary authority	141	97	85
4011 Outlays from discretionary balances	56	34	65
4020 Outlays, gross (total)	197	131	150
4180 Budget authority, net (total)	175	162	142
4190 Outlays, net (total)	197	131	150

Through 1997, the Essential Air Service (EAS) program was funded from the Airport and Airway Trust Fund. Starting in 1998, the Federal Aviation Administration reauthorization funded it as a mandatory program supported by overflight fees under the EAS and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct ap-

PAYMENTS TO AIR CARRIERS—Continued

propriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. The 2021 Budget proposes to reform the EAS program to ensure Federal funds are efficiently targeted at the communities most in need. The reforms limit EAS eligibility to communities receiving subsidized service in 2020; increase the subsidy cap from \$200 to \$250 per passenger for communities located within 210 miles of a large or medium hub airport; eliminate the subsidy waiver for this requirement; and propose a 10 percent cost share for communities that do not meet certain eligibility requirements.

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this Act to the Department of Transportation may be obligated for the Office of the Secretary of Transportation to approve assessments or reimbursable agreements pertaining to funds appropriated to the modal administrations in this Act, except for activities underway on the date of enactment of this Act, unless such assessments or agreements have completed the normal reprogramming process for Congressional notification.

SEC. 102. Notwithstanding section 3324 of title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109–59: *Provided*, That the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high-quality performance under the contract.

SEC. 103. The Secretary shall post on the Web site of the Department of Transportation a schedule of all meetings of the Council on Credit and Finance, including the agenda for each meeting, and require the Council on Credit and Finance to record the decisions and actions of each meeting.

SEC. 104. In addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide partial or full payments in advance and accept subsequent reimbursements from all Federal agencies from available funds for transit benefit distribution services that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order No. 13150 and section 3049 of Public Law 109–59: *Provided*, That the Department shall maintain a reasonable operating reserve in the Working Capital Fund, to be expended in advance to provide uninterrupted transit benefits to Government employees: *Provided further*, That such reserve will not exceed one month of benefits payable and may be used only for the purpose of providing for the continuation of transit benefits: *Provided further*, That the Working Capital Fund will be fully reimbursed by each customer agency from available funds for the actual cost of the transit benefit.

SEC. 104. No later than May 1, 2020, the Secretary shall announce the selection of all projects to receive awards for all competitive grants provided in Public Law 116–6 under the headings: "Federal Railroad Administration-Federal-State Partnership for State of Good Repair", "Federal Railroad Administration-Consolidated Rail Infrastructure and Safety Improvements", "Federal Railroad Administration-Restoration and Enhancement", "Federal Railroad Administration-Magnetic Levitation Technology Deployment Program", and "Maritime Administration-Port Infrastructure Development Program".

SEC. 105. (a) The Secretary shall establish a Highly Automated Systems Safety Center of Excellence within the Department of Transportation, in order to have a Department of Transportation workforce capable of reviewing, assessing, and validating the safety of automated technologies.

(b) The Highly Automated Systems Safety Center of Excellence shall—

(1) serve as a central location within the Department of Transportation for expertise in automation and human factors, computer science, data analytics, machine learning, sensors, and other technologies involving automated systems;

(2) collaborate with and provide support on highly automated systems to all Operating Administrations of the Department of Transportation; and

(3) have a workforce composed of Department of Transportation employees, including direct hires or detailees from Operating Administrations of the Department of Transportation and other Federal agencies.

(c) Employees of the Highly Automated Systems Safety Center of Excellence, in conjunction with the relevant Operating Administrations of the Department of Transportation, shall review, assess, and validate highly automated systems to ensure their safety.

(d) The Highly Automated Systems Safety Center of Excellence shall not supersede laws or regulations granting certification authorities to Operating Administrations of the Department of Transportation.

(e) No later than 90 days after the date of enactment of this Act, the Secretary shall report to the Committees on Appropriations of the House of Representatives and the Senate on staffing needs and the staffing plan for the Highly Automated Systems Safety Center of Excellence.】

SEC. 106. None of the funds made available by this Act shall be used to terminate the Intelligent Transportation System Program Advisory Committee established under section 5305(h) of SAFETEA-LU (23 U.S.C. 512 note; Public Law 109–59).】

【(INCLUDING TRANSFER OF FUNDS)】

SEC. 105. (a) Section 116(d)(1) of title 49, United States Code, is amended—

(1) by adding at the end of subsection (d)(1) the following new subparagraph: "(E) The Federal Ship Financing Program under chapter 537 of title 46."; and

(2) In subsection (j)(6), by striking "or multimodal project" and inserting "multimodal project, or ship or ship facility construction, reconstruction, or reconditioning project".

(b)

Section 117 of title 49, United States Code, is amended—

(1) In subsection (b)(1) by adding at the end the following new subparagraph: "(I) The Maritime Administrator."; and

(2) In subsection (C)(1) by striking "and (C)" and inserting "(C), and (E)".

SEC. 106. Chapter 537 of title 46, United States Code, is amended—

(1) by striking "or Administrator" each place it appears;

(2) by striking "or Administrator's" each place it appears;

(3) by striking "the Administrator" each place it appears and inserting "the Secretary";

(4) by striking "the Administrator's" each place it appears and inserting "the Secretary's";

(5) by striking "The Administrator" each place it appears and inserting "The Secretary";

(6) in section 53701—

(A) in paragraph (14) by striking "facilities." and inserting "facilities, and the Secretary of Transportation with respect to other vessels and general shipyard facilities as provided for in section 53733 of this title."; and

(B) by striking paragraph (2) and redesignating paragraphs (3) through (15) as paragraphs (2) through (14), respectively;

(7) in section 53708—

(A) in the heading for subsection (a) by striking "Administrator" and inserting "Secretary of Transportation"; and

(B) in the heading for subsection (b) by inserting "of Commerce" after "Secretary"; and

(8) in section 53717—

(A) in the heading for subsection (b) by striking "Administrator" and inserting "Secretary of Transportation"; and

(B) in the heading for subsection (c) by inserting "of Commerce" after "Secretary".

SEC. 107. RESTRICTIONS ON EXPENDITURE OF FUNDS FOR ESSENTIAL AIR SERVICE.

(1) Section 41731(a)(1)(D) of title 49, United States Code, is revised to read as follows:

"(D) is—

"(i) a community that, as of the date of enactment of fiscal year 2020 appropriations for the Department of Transportation, was receiving essential air service for which compensation was provided to an air carrier under this subchapter; or

"(ii) is a community that, prior to the date of enactment of fiscal year 2020 appropriations for the Department of Transportation and at any time during the period between September 30, 2010, and September 30, 2011, inclusive—

"(I) received essential air service for which compensation was provided to an air carrier under this subchapter; or

"(II) received a 90-day notice of intent to terminate essential air service and the Secretary required the air carrier to continue to provide such service to the community.".

(2) Section 41731(c) of title 49, United States Code, is amended by striking "Subparagraphs (B), (C), and (D)" and inserting "Subparagraphs (B) and (C)".

(3) Section 332 of the Department of Transportation and Related Agencies Appropriations Act, 2000 (49 U.S.C. 41731 note) is amended by striking "in excess of \$200" and inserting "in excess of \$250".

(4) Effective October 1, 2021, section 426 of the FAA Modernization and Reform Act of 2012 (Pub. L. 112–95) is amended by striking subsection (c) and redesignating subsection (d) as subsection (c).

(5) Section 41737 of title 49, United States Code, is amended by inserting the following at the end:

"(f) Notwithstanding any other provision of this subchapter, the Secretary may not provide compensation in fiscal year 2023 or thereafter to an air carrier for air service or air transportation under this subchapter at a community that meets each of the following criteria, unless that community has entered into a cost share agreement with the Department to pay at least ten percent of the total subsidy required in that fiscal year:

"(1) The community has been determined by the Secretary to be out of compliance with either the subsidy cap specified by section 332 of the Department of Transportation and Related Agencies Appropriations Act, 2000 (49 U.S.C. 41731 note) or section 41731(a)(1)(B) of this title, during the prior fiscal year.

"(2) The community received two or more waivers since October 1, 2013, under section 426(c) of Public Law 112–95 or section 41731(e) of this title."

(INCLUDING TRANSFER OF FUNDS)

SEC. 108. Notwithstanding section 405 or any other provision, up to 10 percent of funds appropriated to Salaries and Expenses may be transferred among offices under that account in the Office of the Secretary of Transportation if the Secretary of Transportation determines such action to be necessary.

SEC. 109. In addition to authority provided by section 327 of title 49, United States Code, the Department's Administrative Working Capital Fund is hereby authorized to transfer information technology equipment, software, and systems from Departmental sources or other entities to fund and collect and maintain a reserve at rates which will return full cost of transferred assets.

SEC. 110. Receipts collected in the Administrative Working Capital Fund (49 U.S.C. 327) for unused van pool benefits, in an amount not to exceed ten percent of fiscal year collections, shall be available in the Administrative Working Capital Fund to provide contractual services in support of section 189 of this Act: Provided, That such collections shall be available until expended and obligations shall not exceed \$1,000,000 in any fiscal year.

SEC. 111. Section 41731 of title 49 is amended—

(1) in subsection (a)(1)(A) by striking "; or" at the end of clause (i) and inserting a semicolon followed by "or" at the end of clause (ii), and by adding after clause (ii) the following new clause:

"(iii) is in the Commonwealth of the Northern Mariana Islands;"; and

(2) by adding at the end the following new subsection:

"(g) Exception for Locations in the Commonwealth of the Northern Mariana Islands.—Subsection (a)(1)(D) shall not apply with respect to locations in the Commonwealth of the Northern Mariana Islands."

(Department of Transportation Appropriations Act, 2020.)

Provided further, That not to exceed 5 percent of any budget activity, except for aviation safety budget activity, may be transferred to any budget activity under this heading: *Provided further*, That no transfer may increase or decrease any appropriation by more than 5 percent: *Provided further*, That any transfer in excess of 5 percent shall be treated as a reprogramming of funds under section 405 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*, That not later than 60 days after the submission of the budget request, the Administrator of the Federal Aviation Administration shall transmit to Congress an annual update to the report submitted to Congress in December 2004 pursuant to section 221 of Public Law 108–176: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 for each day after the date that is 60 days after the submission of the budget request that such report has not been submitted to the Congress: *Provided further*, That not later than 60 days after the submission of the budget request, the Administrator shall transmit to Congress a companion report that describes a comprehensive strategy for staffing, hiring, and training flight standards and aircraft certification staff in a format similar to the one utilized for the controller staffing plan, including stated attrition estimates and numerical hiring goals by fiscal year: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after the date that is 60 days after the submission of the budget request that such report has not been submitted to Congress: *Provided further*, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: *Provided further*, That none of the funds in this Act shall be available for new applicants for the second career training program: *Provided further*, That none of the funds in this Act shall be available for the Federal Aviation Administration to finalize or implement any regulation that would promulgate new aviation user fees not specifically authorized by law after the date of the enactment of this Act: *Provided further*, That there may be credited to this appropriation, as offsetting collections, funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities, and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms: *Provided further*, That of the funds appropriated under this heading, not less than \$170,000,000 shall be used to fund direct operations of the current air traffic control towers in the contract tower program, including the contract tower cost share program, and any airport that is currently qualified or that will qualify for the program during the fiscal year: *Provided further*, That none of the funds in this Act for aeronautical charting and cartography are available for activities conducted by, or coordinated through, the Working Capital Fund: *Provided further*, That none of the funds appropriated or otherwise made available by this Act or any other Act may be used to eliminate the Contract Weather Observers program at any airport. (Department of Transportation Appropriations Act, 2020.)

FEDERAL AVIATION ADMINISTRATION

Federal Funds

OPERATIONS

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, the lease or purchase of passenger motor vehicles for replacement only, \$10,630,000,000 \$11,001,500,000, to remain available until September 30, 2021 2022, of which \$10,519,000,000 \$11,001,500,000 shall be derived from the Airport and Airway Trust Fund: *Provided*, That of the sums appropriated under this heading—

[(1) not less than \$1,404,096,000 shall be available for aviation safety activities;]

[(2) \$7,970,734,000 shall be available for air traffic organization activities;]

[(3) \$26,040,000 shall be available for commercial space transportation activities;]

[(4) \$800,646,000 shall be available for finance and management activities;]

[(5) \$61,538,000 shall be available for NextGen and operations planning activities;]

[(6) \$118,642,000 shall be available for security and hazardous materials safety; and]

[(7) \$248,304,000 shall be available for staff offices:]

Program and Financing (in millions of dollars)

Identification code 069–1301–0–1–402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Air Traffic Organization (ATO)	7,833	8,028	8,213
0002 NextGen	60	63	63
0003 Finance & Management	822	826	840
0004 Regulation and Certification	1,333	1,446	1,485
0005 Commercial space transportation	25	28	28
0006 Security & Hazardous Materials Safety	117	123	125
0007 Staff offices	214	254	265
0008 2017 Hurricanes / 2018 Supplemental	3		
0100 Direct Program Activities Subtotal	10,407	10,768	11,019
0799 Total direct obligations	10,407	10,768	11,019
0801 Operations (Reimbursable)	150	150	150
0900 Total new obligations, unexpired accounts	10,557	10,918	11,169
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	179	225	96
1021 Recoveries of prior year unpaid obligations	38		
1050 Unobligated balance (total)	217	225	96
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	577	111	
Spending authority from offsetting collections, discretionary:			
1700 Collected	9,408	11,296	11,161
1701 Change in uncollected payments, Federal sources	591	-618	

OPERATIONS—Continued
Program and Financing—Continued

Identification code 069-1301-0-1-402	2019 actual	2020 est.	2021 est.
1750 Spending auth from offsetting collections, disc (total)	9,999	10,678	11,161
1900 Budget authority (total)	10,576	10,789	11,161
1930 Total budgetary resources available	10,793	11,014	11,257
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-11		
1941 Unexpired unobligated balance, end of year	225	96	88
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,622	1,663	1,317
3001 Adjustments to unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	10,557	10,918	11,169
3011 Obligations ("upward adjustments"), expired accounts	10		
3020 Outlays (gross)	-10,461	-11,264	-11,216
3040 Recoveries of prior year unpaid obligations, unexpired	-38		
3041 Recoveries of prior year unpaid obligations, expired	-28		
3050 Unpaid obligations, end of year	1,663	1,317	1,270
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-170	-732	-114
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070 Change in uncollected pymts, Fed sources, unexpired	-591	618	
3071 Change in uncollected pymts, Fed sources, expired	30		
3090 Uncollected pymts, Fed sources, end of year	-732	-114	-114
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,452	931	1,203
3200 Obligated balance, end of year	931	1,203	1,156
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10,576	10,789	11,161
Outlays, gross:			
4010 Outlays from new discretionary authority	8,997	9,514	9,841
4011 Outlays from discretionary balances	1,464	1,750	1,375
4020 Outlays, gross (total)	10,461	11,264	11,216
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9,403	-11,271	-11,136
4033 Non-Federal sources	-42	-24	-24
4034 Offsetting governmental collections	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-9,446	-11,296	-11,161
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-591	618	
4052 Offsetting collections credited to expired accounts	38		
4060 Additional offsets against budget authority only (total)	-553	618	
4070 Budget authority, net (discretionary)	577	111	
4080 Outlays, net (discretionary)	1,015	-32	55
4180 Budget authority, net (total)	577	111	
4190 Outlays, net (total)	1,015	-32	55

The 2021 Budget requests \$11.002 billion for Federal Aviation Administration (FAA) operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organization, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space transportation industry, as well as FAA policy oversight and overall management functions.

Object Classification (in millions of dollars)

Identification code 069-1301-0-1-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4,666	4,828	4,913
11.3 Other than full-time permanent	31	32	33
11.5 Other personnel compensation	500	512	535

11.9 Total personnel compensation	5,197	5,372	5,481
12.1 Civilian personnel benefits	2,059	2,200	2,308
13.0 Benefits for former personnel	4	5	5
21.0 Travel and transportation of persons	154	147	148
22.0 Transportation of things	22	22	22
23.1 Rental payments to GSA	123	127	127
23.2 Rental payments to others	54	52	52
23.3 Communications, utilities, and miscellaneous charges	357	361	361
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	665	668	700
25.2 Other services from non-Federal sources	1,548	1,589	1,589
26.0 Supplies and materials	132	131	131
31.0 Equipment	77	72	73
32.0 Land and structures	10	17	17
41.0 Grants, subsidies, and contributions	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	10,407	10,768	11,019
99.0 Reimbursable obligations	150	150	150
99.9 Total new obligations, unexpired accounts	10,557	10,918	11,169

Employment Summary

Identification code 069-1301-0-1-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	39,046	40,140	40,220
2001 Reimbursable civilian full-time equivalent employment	178	178	178

PAYMENT TO GRANTS-IN-AID FOR AIRPORTS

Program and Financing (in millions of dollars)

Identification code 069-2813-0-1-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	500	400	
0900 Total new obligations, unexpired accounts (object class 94.0)	500	400	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	500	400	
1930 Total budgetary resources available	500	400	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	500	400	
3020 Outlays (gross)	-500	-400	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	500	400	
Outlays, gross:			
4010 Outlays from new discretionary authority	500	400	
4180 Budget authority, net (total)	500	400	
4190 Outlays, net (total)	500	400	

The Consolidated Appropriations Act of 2019 provided \$500 million and the Further Consolidated Appropriations Act of 2020 provided \$400 million of supplemental funding for Grants-in-Aid for Airports. Funds are appropriated from the General Fund of the U.S. Treasury. The 2019 funds are available for obligation through September 30, 2021 and the 2020 funds are available through September 30, 2022. Discretionary grants are being awarded to qualified airports, with up to 0.5 percent of the funds provided applied to the administrative costs of awarding grants under the program.

AVIATION USER FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5422-0-2-402	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	12	13	13
Receipts:			
Current law:			
1110 Aviation User Fees, Overflight Fees	146	149	153

1130	Property Disposal or Lease Proceeds, Aviation User Fee	2		
1199	Total current law receipts	148	149	153
1999	Total receipts	148	149	153
2000	Total: Balances and receipts	160	162	166
Appropriations:				
Current law:				
2101	Aviation User Fees	-148	-149	-153
2103	Essential Air Service and Rural Airport Improvement Fund	-9	-9	
2132	Essential Air Service and Rural Airport Improvement Fund	10	9	
2199	Total current law appropriations	-147	-149	-153
2999	Total appropriations	-147	-149	-153
5099	Balance, end of year	13	13	13

Program and Financing (in millions of dollars)

Identification code 069-5422-0-2-402	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Land Proceeds	1		
0002	Settlement & Misc. Receipts	3		
0100	Direct program activities, subtotal	4		
0900	Total new obligations, unexpired accounts	4		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	23	21	21
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	148	149	153
1220	Appropriations transferred to other accts [069-5423]	-146	-149	-153
1260	Appropriations, mandatory (total)	2		
1900	Budget authority (total)	2		
1930	Total budgetary resources available	25	21	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	21	21	21
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	2	2
3010	New obligations, unexpired accounts	4		
3020	Outlays (gross)	-3		
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	2	2
3200	Obligated balance, end of year	2	2	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2		
Outlays, gross:				
4100	Outlays from new mandatory authority	2		
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	3		
4180	Budget authority, net (total)	2		
4190	Outlays, net (total)	3		

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for air traffic control and related services provided by the Federal Aviation Administration to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$153 million in overflight fees will be collected in 2021.

Object Classification (in millions of dollars)

Identification code 069-5422-0-2-402	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	1		
25.4	Operation and maintenance of facilities	3		
99.9	Total new obligations, unexpired accounts	4		

AVIATION INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4120-0-3-402	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Program Administration	1	1	1
0802	Insurance Claims		1	1
0900	Total new obligations, unexpired accounts	1	2	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,231	2,271	2,307
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	41	38	39
1930	Total budgetary resources available	2,272	2,309	2,346
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,271	2,307	2,344
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	1	2	2
3020	Outlays (gross)	-1	-2	-2
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	41	38	39
Outlays, gross:				
4100	Outlays from new mandatory authority	1	2	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1	-2	-2
4121	Interest on Federal securities	-40	-36	-37
4130	Offsets against gross budget authority and outlays (total)	-41	-38	-39
4170	Outlays, net (mandatory)	-40	-36	-37
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-40	-36	-37
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	2,250	2,293	2,318
5001	Total investments, EOY: Federal securities: Par value	2,293	2,318	2,357

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). In December 2014, the Congress sunset part of the aviation insurance program. Specifically, the Congress returned U.S. air carriers to the commercial aviation market for all of their war risk insurance coverage by ending the FAA's authority to provide war risk insurance for a premium. Pursuant to 49 U.S.C. 44305, the FAA may provide insurance without premium at the request of the Secretary of Defense, or the head of a department, agency, or instrumentality designated by the President, when the Secretary of Defense, or the designated head, agrees to indemnify the Secretary of Transportation against all losses covered by the insurance. The "non-premium" aviation insurance program was authorized through September 30, 2023 in the National Defense Authorization Act for 2020.

Object Classification (in millions of dollars)

Identification code 069-4120-0-3-402	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
25.2	Other services from non-Federal sources	1	1	1
42.0	Projected Insurance claims and indemnities		1	1
99.9	Total new obligations, unexpired accounts	1	2	2

AVIATION INSURANCE REVOLVING FUND—Continued

Employment Summary

Identification code 069-4120-0-3-402	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	2	4	4

ADMINISTRATIVE SERVICES FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 069-4562-0-4-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Accounting Services	37	50	51
0804 Information Services	106	155	157
0806 Multi Media	13	3	3
0807 FLLI (formerly CMEL/Training)	11	8	9
0808 International Training	2	3	2
0810 Logistics	295	249	274
0811 Aircraft Maintenance	53	53	53
0812 Acquisition	4	4	5
0900 Total new obligations, unexpired accounts	521	525	554

Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	220	246	266
1021 Recoveries of prior year unpaid obligations	31	36	36
1050 Unobligated balance (total)	251	282	302
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	516	509	509
1930 Total budgetary resources available	767	791	811
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	246	266	257

Change in obligated balance:
Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	191	161	125
3010 New obligations, unexpired accounts	521	525	554
3020 Outlays (gross)	-520	-525	-529
3040 Recoveries of prior year unpaid obligations, unexpired	-31	-36	-36
3050 Unpaid obligations, end of year	161	125	114
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	191	161	125
3200 Obligated balance, end of year	161	125	114

Budget authority and outlays, net:
Discretionary:

4000 Budget authority, gross	516	509	509
Outlays, gross:			
4010 Outlays from new discretionary authority	393	346	346
4011 Outlays from discretionary balances	127	179	183
4020 Outlays, gross (total)	520	525	529
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-514	-507	-507
4033 Non-Federal sources	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-516	-509	-509
4080 Outlays, net (discretionary)	4	16	20
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4	16	20

In 1997, the Federal Aviation Administration (FAA) established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, travel, duplicating services, multi-media services, information technology, material management (logistics), and aircraft maintenance.

Object Classification (in millions of dollars)

Identification code 069-4562-0-4-402	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	126	135	140
12.1 Civilian personnel benefits	45	47	49
21.0 Travel and transportation of persons	5	6	5
22.0 Transportation of things	8	6	6
23.3 Communications, utilities, and miscellaneous charges	13	12	13
25.2 Other services from non-Federal sources	194	231	235
26.0 Supplies and materials	107	79	97
31.0 Equipment	7	7	5
42.0 Insurance claims and indemnities	16	2	4
99.9 Total new obligations, unexpired accounts	521	525	554

Employment Summary

Identification code 069-4562-0-4-402	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,463	1,607	1,559

Trust Funds

AIRPORT AND AIRWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-8103-0-7-402	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	14,212	15,018	15,028
5001 Total investments, EOY: Federal securities: Par value	15,018	15,028	15,414

Section 9502 of Title 26, U.S. Code provides for amounts equivalent to the funds received in the Treasury for the passenger ticket tax, and certain other taxes paid by airport and airway users, to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants; Federal Aviation Administration facilities and equipment; research, operations, and payment to air carriers; and for the Bureau of Transportation Statistics Office of Airline Information.

Status of Funds (in millions of dollars)

Identification code 069-8103-0-7-402	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	16,982	17,916	17,668
0999 Total balance, start of year	16,982	17,916	17,668
Cash income during the year:			
Current law:			
Receipts:			
1110 Excise Taxes, Airport and Airway Trust Fund	15,976	17,040	17,987
1130 Grants-in-aid for Airports (Airport and Airway Trust Fund)	3	2	2
1130 Facilities and Equipment (Airport and Airway Trust Fund)	27	50	50
1150 Interest, Airport and Airway Trust Fund	343	426	375
1160 General Fund Payment, Grants-in-Aid for Airports	500	400	
1160 Facilities and Equipment (Airport and Airway Trust Fund)	49	39	39
1160 Facilities and Equipment (Airport and Airway Trust Fund)	25		
1160 Research, Engineering and Development (Airport and Airway Trust Fund)	11	9	9
1199 Income under present law	16,934	17,966	18,462
1999 Total cash income	16,934	17,966	18,462
Cash outgo during the year:			
Current law:			
2100 Payments to Air Carriers [Budget Acct]	-197	-131	-150
2100 Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) [Budget Acct]	-9,250	-11,137	-11,002
2100 Grants-in-aid for Airports (Airport and Airway Trust Fund) [Budget Acct]	-3,467	-3,475	-3,557

2100	Facilities and Equipment (Airport and Airway Trust Fund) [Budget Acct]	-2,924	-3,295	-3,384
2100	Research, Engineering and Development (Airport and Airway Trust Fund) [Budget Acct]	-162	-176	-224
2199	Outgo under current law	-16,000	-18,214	-18,317
2999	Total cash outgo (-)	-16,000	-18,214	-18,317
Surplus or deficit:				
3110	Excluding interest	591	-674	-230
3120	Interest	343	426	375
3199	Subtotal, surplus or deficit	934	-248	145
3999	Total change in fund balance	934	-248	145
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	2,898	2,640	2,399
4200	Airport and Airway Trust Fund	15,018	15,028	15,414
4999	Total balance, end of year	17,916	17,668	17,813

GRANTS-IN-AID FOR AIRPORTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(AIRPORT AND AIRWAY TRUST FUND)

[(INCLUDING TRANSFER OF FUNDS)]

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, [\$3,000,000,000] \$3,350,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: *Provided*, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of \$3,350,000,000 in fiscal year [2020] 2021, notwithstanding section 47117(g) of title 49, United States Code: *Provided further*, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: [*Provided further*, That notwithstanding section 47109(a) of title 49, United States Code, the Government's share of allowable project costs under paragraph (2) for subgrants or paragraph (3) of that section shall be 95 percent for a project at other than a large or medium hub airport that is a successive phase of a multi-phased construction project for which the project sponsor received a grant in fiscal year 2011 for the construction project:] *Provided further*, That notwithstanding any other provision of law, of funds limited under this heading, not more than [\$116,500,000] \$119,402,000 shall be available for administration, not less than \$15,000,000 shall be available for the Airport Cooperative Research Program, and not less than [\$39,224,000] \$40,666,000 shall be available for Airport Technology Research[, and \$10,000,000, to remain available until expended, shall be available and transferred to "Office of the Secretary, Salaries and Expenses" to carry out the Small Community Air Service Development Program: *Provided further*, That in addition to airports eligible under section 41743 of title 49, United States Code, such program may include the participation of an airport that serves a community or consortium that is not larger than a small hub airport, according to FAA hub classifications effective at the time the Office of the Secretary issues a request for proposals].

GRANTS-IN-AID FOR AIRPORTS

[For an additional amount for "Grants-In-Aid for Airports", to enable the Secretary of Transportation to make grants for projects as authorized by subchapter 1 of chapter 471 and subchapter 1 of chapter 475 of title 49, United States Code, \$400,000,000, to remain available through September 30, 2022: *Provided*, That amounts made available under this heading shall be derived from the general fund, and such funds shall not be subject to apportionment formulas, special apportionment categories, or minimum percentages under chapter 471: *Provided further*, That the Secretary shall distribute funds provided under this heading as discretionary grants to airports: *Provided further*, That the amount made available under this heading shall not be subject to any limitation on obligations for the Grants-in-Aid for Airports program set forth in any Act: *Provided further*, That the Administrator of the Federal Aviation

Administration may retain up to 0.5 percent of the funds provided under this heading to fund the award and oversight by the Administrator of grants made under this heading.] (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8106-0-7-402	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Grants-in-aid for airports	3,298	3,169	3,175
0002	Personnel and related expenses	112	117	119
0003	Airport technology research	33	39	41
0005	Small community air service	10	10
0006	Airport Cooperative Research	15	15	15
0007	Grants - General Fund Appropriation	262	398
0008	Administrative Expenses - General Fund Appropriation	2
0100	Total direct program	3,730	3,750	3,350
0799	Total direct obligations	3,730	3,750	3,350
0801	Grants-in-aid for Airports (Airport and Airway Trust Fund) (Reimbursable)	1	2	2
0900	Total new obligations, unexpired accounts	3,731	3,752	3,352
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	814	1,055	1,055
1001	Discretionary unobligated balance brought fwd, Oct 1	795	1,032
1021	Recoveries of prior year unpaid obligations	119
1050	Unobligated balance (total)	933	1,055	1,055
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	3,500	3,400	3,350
1138	Appropriations applied to liquidate contract authority	-3,000	-3,000	-3,350
1160	Appropriation, discretionary (total)	500	400
Contract authority, mandatory:				
1600	Contract authority (Reauthorization)	3,350	3,350	3,350
Spending authority from offsetting collections, discretionary:				
1700	Collected	3	2	2
1900	Budget authority (total)	3,853	3,752	3,352
1930	Total budgetary resources available	4,786	4,807	4,407
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,055	1,055	1,055
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6,073	6,218	6,495
3010	New obligations, unexpired accounts	3,731	3,752	3,352
3020	Outlays (gross)	-3,467	-3,475	-3,557
3040	Recoveries of prior year unpaid obligations, unexpired	-119
3050	Unpaid obligations, end of year	6,218	6,495	6,290
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6,073	6,218	6,495
3200	Obligated balance, end of year	6,218	6,495	6,290
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	503	402	2
Outlays, gross:				
4010	Outlays from new discretionary authority	264	499	456
4011	Outlays from discretionary balances	3,203	2,976	3,101
4020	Outlays, gross (total)	3,467	3,475	3,557
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-3	-2	-2
4040	Offsets against gross budget authority and outlays (total) ...	-3	-2	-2
Mandatory:				
4090	Budget authority, gross	3,350	3,350	3,350
4180	Budget authority, net (total)	3,850	3,750	3,350
4190	Outlays, net (total)	3,464	3,473	3,555
Memorandum (non-add) entries:				
5052	Obligated balance, SOY: Contract authority	3,464	3,814	4,164
5053	Obligated balance, EOY: Contract authority	3,814	4,164	4,164
5061	Limitation on obligations (Highway Trust Funds)	3,350	3,350	3,350

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety, and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs.

GRANTS-IN-AID FOR AIRPORTS (AIRPORT AND AIRWAY TRUST FUND)—Continued

Object Classification (in millions of dollars)

Identification code 069-8106-0-7-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	68	79	81
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	70	81	83
12.1 Civilian personnel benefits	23	24	25
21.0 Travel and transportation of persons	4	3	3
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	27	33	33
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	21	23	24
25.7 Operation and maintenance of equipment	9	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	3	1	1
41.0 Grants, subsidies, and contributions	3,558	3,561	3,167
94.0 Financial transfers	10	10
99.0 Direct obligations	3,730	3,750	3,350
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations, unexpired accounts	3,731	3,752	3,352

Employment Summary

Identification code 069-8106-0-7-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	556	600	607
2001 Reimbursable civilian full-time equivalent employment	3	1	1

FACILITIES AND EQUIPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, **[\$3,045,000,000] \$3,000,000,000**, of which **[\$515,000,000] \$550,000,000** shall remain available until September 30, **[2021, \$2,409,473,000] 2022, and \$2,450,000,000** shall remain available until September 30, **[2022, and \$120,527,000 shall remain available until expended] 2023: Provided**, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, improvement, and modernization of national airspace systems: *Provided further*, That not later than 60 days after submission of the budget request, the Secretary of Transportation shall transmit to the Congress an investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years **[2021 through 2025] 2022 through 2026**, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8107-0-7-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Engineering, development, test and evaluation	163	241	204
0002 Procurement and modernization of air traffic control (ATC) facilities and equipment	1,859	2,278	1,934
0003 Procurement and modernization of non-ATC facilities and equipment	208	221	187
0004 Mission support	238	271	230
0005 Personnel and related expenses	503	540	550
0007 Spectrum Efficient National Surveillance Radar (SENSR)	10	20

0008 2017 Hurricanes / 2018 Supplemental	30	30	11
0100 Subtotal, direct program	3,011	3,601	3,116
0799 Total direct obligations	3,011	3,601	3,116
0801 Facilities and Equipment (Airport and Airway Trust Fund) (Reimbursable)	96	87	87
0900 Total new obligations, unexpired accounts	3,107	3,688	3,203

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,012	2,062	1,508
1001 Discretionary unobligated balance brought fwd, Oct 1	1,992
1020 Adjustment of unobligated bal brought forward, Oct 1	1
1021 Recoveries of prior year unpaid obligations	68
1050 Unobligated balance (total)	2,081	2,062	1,508
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,000	3,045	3,000
Spending authority from offsetting collections, discretionary:			
1700 Collected	58	89	89
1701 Change in uncollected payments, Federal sources	11
1750 Spending auth from offsetting collections, disc (total)	69	89	89
Spending authority from offsetting collections, mandatory:			
1800 Collected	25
1900 Budget authority (total)	3,094	3,134	3,089
1930 Total budgetary resources available	5,175	5,196	4,597
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6
1941 Unexpired unobligated balance, end of year	2,062	1,508	1,394
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	23
1951 Unobligated balance expiring	6
1952 Expired unobligated balance, start of year	54	84	84
1953 Expired unobligated balance, end of year	78	84	84
1954 Unobligated balance canceling	23

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,036	2,106	2,499
3001 Adjustments to unpaid obligations, brought forward, Oct 1	1
3010 New obligations, unexpired accounts	3,107	3,688	3,203
3011 Obligations ("upward adjustments"), expired accounts	3
3020 Outlays (gross)	-2,924	-3,295	-3,384
3040 Recoveries of prior year unpaid obligations, unexpired	-68
3041 Recoveries of prior year unpaid obligations, expired	-49
3050 Unpaid obligations, end of year	2,106	2,499	2,318
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-76	-71	-71
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-11
3071 Change in uncollected pymts, Fed sources, expired	18
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,959	2,035	2,428
3200 Obligated balance, end of year	2,035	2,428	2,247

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,069	3,134	3,089
Outlays, gross:			
4010 Outlays from new discretionary authority	885	1,352	1,357
4011 Outlays from discretionary balances	2,030	1,928	2,023
4020 Outlays, gross (total)	2,915	3,280	3,380
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-49	-39	-39
4033 Non-Federal sources	-27	-50	-50
4040 Offsets against gross budget authority and outlays (total)	-76	-89	-89
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-11
4052 Offsetting collections credited to expired accounts	18
4060 Additional offsets against budget authority only (total)	7
4070 Budget authority, net (discretionary)	3,000	3,045	3,000
4080 Outlays, net (discretionary)	2,839	3,191	3,291
Mandatory:			
4090 Budget authority, gross	25

Outlays, gross:				
4101	Outlays from mandatory balances	9	15	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources [Spectrum 011-X-5512000]	-25		
4180	Budget authority, net (total)	3,000	3,045	3,000
4190	Outlays, net (total)	2,823	3,206	3,295
Memorandum (non-add) entries:				
5090	Unexpired unavailability balance, SOY: Offsetting collections	3	3	3
5092	Unexpired unavailability balance, EOY: Offsetting collections	3	3	3

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Air-space System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the Department of Transportation, the National Aeronautics and Space Administration, and the Departments of Defense, Homeland Security, and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. The funding request supports the Federal Aviation Administration's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

Object Classification (in millions of dollars)

Identification code 069-8107-0-7-402				
	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	323	355	364
11.3	Other than full-time permanent	2	1	1
11.5	Other personnel compensation	8	8	9
11.9	Total personnel compensation	333	364	374
12.1	Civilian personnel benefits	105	121	128
21.0	Travel and transportation of persons	45	37	31
22.0	Transportation of things	2	3	3
23.2	Rental payments to others	29	48	41
23.3	Communications, utilities, and miscellaneous charges	79	55	47
25.1	Advisory and assistance services	1,599	2,118	1,776
25.2	Other services from non-Federal sources	198	155	134
25.3	Other goods and services from Federal sources	19	52	44
25.4	Operation and maintenance of facilities	112	98	82
25.5	Research and development contracts		1	1
25.7	Operation and maintenance of equipment	71	77	65
25.8	Subsistence and support of persons		1	1
26.0	Supplies and materials	41	40	33
31.0	Equipment	206	246	205
32.0	Land and structures	169	181	148
41.0	Grants, subsidies, and contributions	1	4	3
43.0	Interest and dividends	2		
99.0	Direct obligations	3,011	3,601	3,116
99.0	Reimbursable obligations	96	87	87
99.9	Total new obligations, unexpired accounts	3,107	3,688	3,203

Employment Summary

Identification code 069-8107-0-7-402				
	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	2,586	2,628	2,660
2001	Reimbursable civilian full-time equivalent employment	50	50	50

RESEARCH, ENGINEERING, AND DEVELOPMENT
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, **[\$192,665,000]** **\$170,000,000**, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, **[2022]** **2023**: *Provided*, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development: *Provided further*, That funds made available under this heading shall be used in accordance with the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*

further, That not to exceed 10 percent of any funding level specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) may be transferred to any other funding level specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided further*, That no transfer may increase or decrease any funding level by more than 10 percent: *Provided further*, That any transfer in excess of 10 percent shall be treated as a re-programming of funds under section 405 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section]. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8108-0-7-402				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0011	Improve aviation safety	89	129	114
0012	Improve Efficiency	19		
0013	Reduce environmental impact of aviation	31	48	45
0014	Improve the efficiency of mission support	6	16	11
0100	Subtotal, direct program	145	193	170
0799	Total direct obligations	145	193	170
0801	Research, Engineering and Development (Airport and Airway Trust (Reimbursable))	11	9	9
0900	Total new obligations, unexpired accounts	156	202	179
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	120	166	166
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	122	166	166
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	191	193	170
Spending authority from offsetting collections, discretionary:				
1700	Collected	10	9	9
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	11	9	9
1900	Budget authority (total)	202	202	179
1930	Total budgetary resources available	324	368	345
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	166	166	166
Special and non-revolving trust funds:				
Other balances withdrawn and returned to unappropriated receipts				
1951	Unobligated balance expiring	2		
1952	Expired unobligated balance, start of year	5	6	6
1953	Expired unobligated balance, end of year	4	6	6
1954	Unobligated balance canceling	2		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	136	127	153
3010	New obligations, unexpired accounts	156	202	179
3020	Outlays (gross)	-162	-176	-224
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	127	153	108
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	133	124	150
3200	Obligated balance, end of year	124	150	105
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	202	202	179
Outlays, gross:				
4010	Outlays from new discretionary authority	46	94	84
4011	Outlays from discretionary balances	116	82	140
4020	Outlays, gross (total)	162	176	224
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-11	-9	-9

RESEARCH, ENGINEERING, AND DEVELOPMENT—Continued
Program and Financing—Continued

Identification code 069-8108-0-7-402	2019 actual	2020 est.	2021 est.
4040 Offsets against gross budget authority and outlays (total) ...	-11	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	191	193	170
4080 Outlays, net (discretionary)	151	167	215
4180 Budget authority, net (total)	191	193	170
4190 Outlays, net (total)	151	167	215

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. The proposed funding is allocated to the following performance goal areas of the Federal Aviation Administration: improve aviation safety, efficiency, and environmental sustainability. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as activities related to unmanned aircraft systems.

Object Classification (in millions of dollars)

Identification code 069-8108-0-7-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	28	30	31
12.1 Civilian personnel benefits	9	9	10
21.0 Travel and transportation of persons	2	1	1
25.1 Advisory and assistance services	22	37	25
25.2 Other services from non-Federal sources	38	50	39
25.3 Other goods and services from Federal sources	8	9	7
25.5 Research and development contracts	13	20	20
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	2	2
31.0 Equipment	1	2	2
41.0 Grants, subsidies, and contributions	22	32	32
99.0 Direct obligations	145	193	170
99.0 Reimbursable obligations	11	9	9
99.9 Total new obligations, unexpired accounts	156	202	179

Employment Summary

Identification code 069-8108-0-7-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	219	217	217

TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 069-8104-0-7-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Operations	9,833	10,519	11,002
0900 Total new obligations, unexpired accounts (object class 94.0)	9,833	10,519	11,002
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	9,833	10,519	11,002
1930 Total budgetary resources available	9,833	10,519	11,002
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35	618	
3010 New obligations, unexpired accounts	9,833	10,519	11,002
3020 Outlays (gross)	-9,250	-11,137	-11,002
3050 Unpaid obligations, end of year	618		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	618	
3200 Obligated balance, end of year	618		

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	9,833	10,519	11,002
Outlays, gross:			
4010 Outlays from new discretionary authority	9,250	10,519	11,002
4011 Outlays from discretionary balances		618	
4020 Outlays, gross (total)	9,250	11,137	11,002
4180 Budget authority, net (total)	9,833	10,519	11,002
4190 Outlays, net (total)	9,250	11,137	11,002

The 2021 Budget proposes \$11.002 billion for Federal Aviation Administration Operations, which would be provided entirely from the Airport and Airway Trust Fund.

ADMINISTRATIVE PROVISIONS—FEDERAL AVIATION ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

SEC. 110. None of the funds in this Act may be used to compensate in excess of 600 technical staff-years under the federally funded research and development center contract between the Federal Aviation Administration and the Center for Advanced Aviation Systems Development during fiscal year 2020.

SEC. 111. None of the funds in this Act shall be used to pursue or adopt guidelines or regulations requiring airport sponsors to provide to the Federal Aviation Administration without cost building construction, maintenance, utilities and expenses, or space in airport sponsor-owned buildings for services relating to air traffic control, air navigation, or weather reporting: *Provided*, That the prohibition of funds in this section does not apply to negotiations between the agency and airport sponsors to achieve agreement on "below-market" rates for these items or to grant assurances that require airport sponsors to provide land without cost to the Federal Aviation Administration for air traffic control facilities.

SEC. 112. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303 and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.

SEC. 113. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the time of collection, to be merged with and available for the same purposes of such appropriation.

SEC. 114. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.

SEC. 115. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.

SEC. 116. None of the funds in this Act may be obligated or expended for retention bonuses for an employee of the Federal Aviation Administration without the prior written approval of the Assistant Secretary for Administration of the Department of Transportation.

SEC. 117. Notwithstanding any other provision of law, none of the funds made available under this Act or any prior Act may be used to implement or to continue to implement any limitation on the ability of any owner or operator of a private aircraft to obtain, upon a request to the Administrator of the Federal Aviation Administration, a blocking of that owner's or operator's aircraft registration number from any display of the Federal Aviation Administration's Aircraft Situational Display to Industry data that is made available to the public, except data made available to a Government agency, for the noncommercial flights of that owner or operator.

SEC. 118. None of the funds in this Act shall be available for salaries and expenses of more than nine political and Presidential appointees in the Federal Aviation Administration.

SEC. 119. None of the funds made available under this Act may be used to increase fees pursuant to section 44721 of title 49, United States Code, until the Federal Aviation Administration provides to the House and Senate Committees on Appropriations a report that justifies all fees related to aeronautical navigation products and explains how such fees are consistent with Executive Order No. 13642.

SEC. 119A. None of the funds in this Act may be used to close a regional operations center of the Federal Aviation Administration or reduce its services unless the Administrator notifies the House and Senate Committees on Appropriations not less than 90 full business days in advance.

SEC. 119B. None of the funds appropriated or limited by this Act may be used to change weight restrictions or prior permission rules at Teterboro airport in Teterboro, New Jersey.

【Sec. 119C None of the funds provided under this Act may be used by the Administrator of the Federal Aviation Administration to withhold from consideration and approval any new application for participation in the Contract Tower Program, or for reevaluation of Cost-share Program participants as long as the Federal Aviation Administration has received an application from the airport, and as long as the Administrator determines such tower is eligible using the factors set forth in Federal Aviation Administration published establishment criteria.】

【Sec. 119D None of the funds made available by this Act may be used to open, close, redesignate as a lesser office, or reorganize a regional office, the aeronautical center, or technical center unless the Administrator submits a request for the reprogramming of funds under section 405 of this Act.】

Sec. 115. Notwithstanding any other transfer restriction under this Act, not to exceed 10 percent of any appropriation made available for the current fiscal year for the Federal Aviation Administration by this Act or provided by previous appropriations Acts may be transferred between such appropriations for the Federal Aviation Administration, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfer: Provided, That funds transferred under this section shall be treated as a reprogramming of funds under section 404 of this Act and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer: Provided further, That any transfer from an amount made available for obligation as discretionary grants-in-aid for airports pursuant to section 47117(f) of title 49, United States Code, shall be deemed as obligated for grants-in-aid for airports under part B of subtitle VII of title 49, United States Code, for the purposes of complying with the limitation on incurring obligations in this appropriations Act or any other appropriations Act under the heading "Grants-in-Aid for Airports." (Department of Transportation Appropriations Act, 2020.)

FEDERAL HIGHWAY ADMINISTRATION

The 2021 Budget requests \$50,721 million for the Federal Highway Administration (FHWA) to provide the needed funding to: improve the safety, condition, and performance of our national transportation infrastructure; promote innovation to address current and emerging transportation issues; and accelerate project delivery while also protecting the environment.

The table below summarizes the budget authority requested for FHWA programs.

	[In millions of dollars]		
	2019 actual	2020 Enacted	2021 Request
Budget Authority:			
Federal-aid highways contract authority (HTF)	46,008	47,104	50,721
Federal-aid subject to limitation	45,269	46,365	49,982
Federal-aid highways exempt from the limitation	739	739	739
Highway Infrastructure Programs (GF)	3,250	2,166	0
Emergency Relief (GF)	1,650	0	0
Miscellaneous trust funds (TF)	42	42	0
Miscellaneous appropriations (TIFIA upward reestimate GF)	135	80	0
Miscellaneous Appropriations Rescission	0	-20	0
Cancellations of Appropriated Budget Authority (GF)	0	0	-137
Total Budget Authority	51,085	49,372	50,584
Total Mandatory	46,185	47,226	50,721
Obligation Authority:			
Federal-aid highways (HTF)	46,008	47,104	50,721

Federal Funds

MISCELLANEOUS APPROPRIATIONS

Program and Financing (in millions of dollars)

Identification code 069-9911-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Miscellaneous Appropriations	14	11	7
0083 69-X-0505 TIFIA	135	80	
0900 Total new obligations, unexpired accounts (object class 41.0)	149	91	7

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	126	120	89
1021	Recoveries of prior year unpaid obligations	8		
1050	Unobligated balance (total)	134	120	89
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced		-20	-82
Appropriations, mandatory:				
1200	Appropriation	135	80	
1900	Budget authority (total)	135	60	-82
1930	Total budgetary resources available	269	180	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	120	89	

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	37	30	24
3010	New obligations, unexpired accounts	149	91	7
3020	Outlays (gross)	-148	-97	-13
3040	Recoveries of prior year unpaid obligations, unexpired	-8		
3050	Unpaid obligations, end of year	30	24	18
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	37	30	24
3200	Obligated balance, end of year	30	24	18

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross		-20	-82
Outlays, gross:				
4011	Outlays from discretionary balances	13	17	13
Mandatory:				
4090	Budget authority, gross	135	80	
Outlays, gross:				
4100	Outlays from new mandatory authority	135	80	
4180	Budget authority, net (total)	135	60	-82
4190	Outlays, net (total)	148	97	13

This consolidated schedule shows obligations and outlays of amounts appropriated from the General Fund for miscellaneous programs. The schedule reflects a Transportation Infrastructure Finance and Innovation Act (TIFIA) program upward re-estimate, and interest on the re-estimate of \$135 million for 2019 and \$80 million for 2020. The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, and the Fixing America's Surface Transportation (FAST) Act included the TIFIA program upward subsidy re-estimate with this account. The schedule also reflects a \$19.9 million rescission enacted in the Department of Transportation Appropriations Act, 2020 (Public Law 116-94). No further discretionary appropriations are requested for 2021. A cancellation of \$81.66 million of unobligated balances is proposed in 2021.

EMERGENCY RELIEF PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0500-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Emergency Relief Program (Direct)	834	752	627
0900 Total new obligations, unexpired accounts (object class 41.0)	834	752	627

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,580	2,507	1,755
1021	Recoveries of prior year unpaid obligations	111		
1050	Unobligated balance (total)	1,691	2,507	1,755
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,650		
1930	Total budgetary resources available	3,341	2,507	1,755
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,507	1,755	1,128

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	966	922	915

EMERGENCY RELIEF PROGRAM—Continued
Program and Financing—Continued

Identification code 069-0500-0-1-401		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	834	752	627
3020	Outlays (gross)	-767	-759	-703
3040	Recoveries of prior year unpaid obligations, unexpired	-111		
3050	Unpaid obligations, end of year	922	915	839
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	966	922	915
3200	Obligated balance, end of year	922	915	839
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,650		
Outlays, gross:				
4011	Outlays from discretionary balances	767	759	703
4180	Budget authority, net (total)	1,650		
4190	Outlays, net (total)	767	759	703

This account includes General Fund discretionary resources appropriated as needed for FHWA's Emergency Relief program, as authorized under 23 U.S.C. 125. In 2012, \$1,662 million was enacted to remain available until expended; in 2013, \$2,022 million was enacted to remain available until expended; in 2017, \$1,532 million was enacted to remain available until expended; in 2018, \$1,374 million was enacted to remain available until expended; and in 2019, \$1,650 million was enacted to remain available until expended, all for necessary expenses for repairing or reconstructing highways seriously damaged as a result of major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). These appropriations have been provided to supplement the \$100 million authorized annually under 23 U.S.C. 125 for the Emergency Relief program and included in the Federal-Aid Highways account.

No further appropriations are requested for this account in 2021.

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

Program and Financing (in millions of dollars)

Identification code 069-0640-0-1-401		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Appalachian Development Highway System	28		
0900	Total new obligations, unexpired accounts (object class 41.0)	28		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	40	12	12
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced			-12
1930	Total budgetary resources available	40	12	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	12	12	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	11	33	16
3010	New obligations, unexpired accounts	28		
3020	Outlays (gross)	-6	-17	-10
3050	Unpaid obligations, end of year	33	16	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	11	33	16
3200	Obligated balance, end of year	33	16	6
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			-12
Outlays, gross:				
4011	Outlays from discretionary balances	6	17	10
4180	Budget authority, net (total)			-12
4190	Outlays, net (total)	6	17	10

Funding for this program is used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System.

No funding is requested for 2021. A cancellation of \$11.96 million of unobligated balances is proposed in 2021.

STATE INFRASTRUCTURE BANKS

Program and Financing (in millions of dollars)

Identification code 069-0549-0-1-401		2019 actual	2020 est.	2021 est.
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

In 1997, FHWA received an appropriation from the General Fund for the State Infrastructure Banks (SIBs) program.

All of the funds have been provided to the States to capitalize the infrastructure banks. Because the funding was provided as grants, and not loans, FHWA will not receive reimbursements of amounts expended for the SIBs program. No new budgetary resources are requested in 2021.

HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0504-0-1-401		2019 actual	2020 est.	2021 est.
Direct loan reestimates:				
135001	TIFIA TIGER Direct Loans (ARRA)	-9	-3	

The American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$27.5 billion from the General Fund to the Federal Highway Administration for highway infrastructure investment. As of September 30, 2015, States have expended 100% of Recovery Act obligations. As of September 30, 2015, Recovery Act funds are cancelled and are no longer available for expenditure. No new budget authority is requested in 2021. The schedule reflects a TIFIA program downward re-estimate and interest on the re-estimate of \$9 million for 2019 and \$3 million for 2020.

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 069-4347-0-3-401		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
Credit program obligations:				
0713	Payment of interest to Treasury	2	2	2
0742	Downward reestimates paid to receipt accounts	1	2	
0743	Interest on downward reestimates	8	1	
0900	Total new obligations, unexpired accounts	11	5	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	8		
1023	Unobligated balances applied to repay debt	-8		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	9	3	
Spending authority from offsetting collections, mandatory:				
1800	Collected	2	2	2
1900	Budget authority (total)	11	5	2
1930	Total budgetary resources available	11	5	2

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	11	5	2
3020	Outlays (gross)	-11	-5	-2
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	11	5	2
Financing disbursements:				
4110	Outlays, gross (total)	11	5	2
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - Interest payments	-2	-2	-2
4180	Budget authority, net (total)	9	3	
4190	Outlays, net (total)	9	3	

Status of Direct Loans (in millions of dollars)

Identification code 069-4347-0-3-401	2019 actual	2020 est.	2021 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	59	60	61
1261	Adjustments: Capitalized interest	1	1	
1290	Outstanding, end of year	60	61	61

Balance Sheet (in millions of dollars)

Identification code 069-4347-0-3-401	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	8
Investments in U.S. securities:		
1106	Receivables, net	
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	59
1405	Allowance for subsidy cost (-)	-1
1499	Net present value of assets related to direct loans	58
1999	Total assets	66
LIABILITIES:		
Federal liabilities:		
2103	Debt	57
2105	Other	9
2999	Total liabilities	66
NET POSITION:		
3100	Unexpended appropriations	
3300	Cumulative results of operations	
3999	Total net position	
4999	Total liabilities and net position	66

HIGHWAY INFRASTRUCTURE PROGRAMS

【There is hereby appropriated to the Secretary of Transportation \$2,166,140,392: *Provided*, That the funds made available under this heading shall be derived from the general fund, shall be in addition to any funds provided for fiscal year 2020 in this or any other Act for: (1) "Federal-aid Highways" under chapter 1 of title 23, United States Code; or (2) the Appalachian Development Highway System as authorized under section 1069(y) of Public Law 102-240, and shall not affect the distribution or amount of funds provided in any other Act: *Provided further*, That section 1101(b) of Public Law 114-94 shall apply to funds made available under this heading: *Provided further*, That of the funds made available under this heading—

【(1) \$781,140,392 shall be for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code;】

【(2) \$1,150,000,000 shall be for a bridge replacement and rehabilitation program;】

【(3) \$100,000,000 shall be for necessary expenses for construction of the Appalachian Development Highway System as authorized under section 1069(y) of Public Law 102-240;】

【(4) \$3,500,000 shall be for activities eligible under the Puerto Rico Highway Program as described in section 165(b)(2)(C) of title 23, United States Code;】

【(5) \$1,500,000 shall be for activities eligible under the Territorial Highway Program, as described in section 165(c)(6) of title 23, United States Code;】

【(6) \$70,000,000 shall be for the nationally significant Federal lands and tribal projects program under section 1123 of the FAST Act;】

【(7) \$50,000,000 shall be for competitive grants for activities described in section 130(a) of title 23, United States Code;】

【(8) \$5,000,000 shall be for the Regional Infrastructure Accelerator Demonstration Program authorized under section 1441 of the FAST Act; and】

【(9) \$5,000,000 shall be for a National Road Network Pilot Program for the Federal Highway Administration to create a national level, geo-spatial dataset that uses data already collected under the Highway Performance Monitoring System;】

【*Provided further*, That for the purposes of funds made available under this heading for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code, shall be suballocated in the manner described in section 133(d) of such title, except that the set-aside described in section 133(h) of such title shall not apply to funds made available under this heading: *Provided further*, That the funds made available under this heading for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code, shall be administered as if apportioned under chapter 1 of such title and shall remain available through September 30, 2023: *Provided further*, That the funds made available under this heading for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code, shall be apportioned to the States in the same ratio as the obligation limitation for fiscal year 2020 is distributed among the States in section 120(a)(5) of this Act: *Provided further*, That, except as provided in the following proviso, the funds made available under this heading for activities eligible under the Puerto Rico Highway Program and activities eligible under the Territorial Highway Program shall be administered as if allocated under sections 165(b) and 165(c), respectively, of title 23, United States Code, and shall remain available through September 30, 2023: *Provided further*, That the funds made available under this heading for activities eligible under the Puerto Rico Highway Program shall not be subject to the requirements of sections 165(b)(2)(A) or 165(b)(2)(B) of such title: *Provided further*, That the funds made available under this heading for the nationally significant Federal lands and tribal projects program under section 1123 of the FAST Act shall remain available through September 30, 2023: *Provided further*, That for the purposes of funds made available under this heading for a bridge replacement and rehabilitation program, (1) the term "State" means any of the 50 States or the District of Columbia, and (2) the term "qualifying State" means any State in which the percentage of total deck area of bridges classified as in poor condition in such State is at least 5 percent: *Provided further*, That, of the funds made available under this heading for a bridge replacement and rehabilitation program, the Secretary shall reserve \$6,000,000 for each State that does not meet the definition of a qualifying State: *Provided further*, That, after making the reservations under the preceding proviso, the Secretary shall distribute the remaining funds made available under this heading for a bridge replacement and rehabilitation program to each qualifying State by the proportion that the percentage of total deck area of bridges classified as in poor condition in such qualifying State bears to the sum of the percentages of total deck area of bridges classified as in poor condition in all qualifying States: *Provided further*, That for the bridge replacement and rehabilitation program:】

【(1) no qualifying State shall receive more than \$50,000,000;】

【(2) each State shall receive an amount not less than \$6,000,000; and】

【(3) after calculating the distribution of funds pursuant to the preceding proviso, any amount in excess of \$50,000,000 shall be redistributed equally among each State that does not meet the definition of a qualifying State:】

【*Provided further*, That the funds made available under this heading for a bridge replacement and rehabilitation program shall be used for highway bridge replacement or rehabilitation projects on public roads: *Provided further*, That for purposes of this heading for the bridge replacement and rehabilitation program, the Secretary shall calculate the percentages of total deck area of bridges (including the percentages of total deck area classified as in poor condition) based on the National Bridge Inventory as of December 31, 2018: *Provided further*, That the funds made available under this heading for a bridge replacement and rehabilitation program shall be administered as if apportioned under chapter 1 of title 23, United States Code, and

HIGHWAY INFRASTRUCTURE PROGRAMS—Continued

shall remain available through September 30, 2023: *Provided further*, That the funds made available under this heading, in paragraph (7) in the third proviso, shall be available for projects eligible under section 130(a) of title 23, United States Code, for commuter authorities, as defined in section 24102(2) of title 49, United States Code, that experienced at least one accident investigated by the National Transportation Safety Board between January 1, 2008 and December 31, 2018 and for which the National Transportation Safety Board issued an accident report: *Provided further*, That the funds made available under this heading, in paragraph (7) of the third proviso, shall be administered as if apportioned under chapter 1 of title 23, United States Code: *Provided further*, That for the purposes of funds made available under this heading for construction of the Appalachian Development Highway System, the term "Appalachian State" means a State that contains 1 or more counties (including any political subdivision located within the area) in the Appalachian region as defined in section 14102(a) of title 40, United States Code: *Provided further*, That funds made available under this heading for construction of the Appalachian Development Highway System shall remain available until expended: *Provided further*, That a project carried out with funds made available under this heading for construction of the Appalachian Development Highway System shall be carried out in the same manner as a project under section 14501 of title 40, United States Code: *Provided further*, That subject to the following proviso, funds made available under this heading for construction of the Appalachian Development Highway System shall be apportioned to Appalachian States according to the percentages derived from the 2012 Appalachian Development Highway System Cost-to-Complete Estimate, adopted in Appalachian Regional Commission Resolution Number 736, and confirmed as each Appalachian State's relative share of the estimated remaining need to complete the Appalachian Development Highway System, adjusted to exclude those corridors that such States have no current plans to complete, as reported in the 2013 Appalachian Development Highway System Completion Report: *Provided further*, That the Secretary shall adjust apportionments made under the preceding proviso so that no Appalachian State shall be apportioned an amount in excess of 30 percent of the amount made available for construction of the Appalachian Development Highway System under this heading: *Provided further*, That the Secretary shall consult with the Appalachian Regional Commission in making adjustments under the preceding two provisos: *Provided further*, That the Federal share of the costs for which an expenditure is made for construction of the Appalachian Development Highway System under this heading shall be up to 100 percent: *Provided further*, That amounts provided under this heading in paragraphs (7), (8), and (9) shall remain available until expended. **I** (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0548-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Highway infrastructure programs	1,370	2,623	1,745
0900 Total new obligations, unexpired accounts (object class 41.0)	1,370	2,623	1,745
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,060	3,945	3,488
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	2,065	3,945	3,488
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,250	2,166	
1930 Total budgetary resources available	5,315	6,111	3,488
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,945	3,488	1,743
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	306	1,103	2,943
3010 New obligations, unexpired accounts	1,370	2,623	1,745
3020 Outlays (gross)	-568	-783	-1,302
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	1,103	2,943	3,386
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	306	1,103	2,943
3200 Obligated balance, end of year	1,103	2,943	3,386
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,250	2,166	

Outlays, gross:			
4010 Outlays from new discretionary authority	222	195	
4011 Outlays from discretionary balances	346	588	1,302
4020 Outlays, gross (total)	568	783	1,302
4180 Budget authority, net (total)	3,250	2,166	
4190 Outlays, net (total)	568	783	1,302

In 2010, the Congress appropriated \$650 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code. The Congress appropriated from the General Fund \$2,525 million, \$3,250 million, and \$2,166 million in FY 2018, FY 2019, and FY 2020, respectively, for Highway Infrastructure Programs. No appropriations are requested for this account in 2021; however, the Administration is requesting significant additional resources from the Highway Trust Fund for highway infrastructure in FHWA's Federal-aid Highways account as part of its 10-year surface transportation reauthorization proposal.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-8402-0-8-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	4	
3020 Outlays (gross)		-4	
3050 Unpaid obligations, end of year	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	4	
3200 Obligated balance, end of year	4		
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		4	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		4	

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs. The purchase of right-of-way is an eligible expense of the Federal-Aid Highway program.

This program was terminated by the Transportation Equity Act for the 21st Century of 1998, but will continue to be shown for reporting purposes as loan balances remain outstanding. No new budgetary resources are requested in 2021.

HIGHWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-8102-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CMA interest	1		
0900 Total new obligations, unexpired accounts (object class 43.0)	1		
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	1		
1930 Total budgetary resources available	1		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1		

3020	Outlays (gross)	-1		
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1		
Outlays, gross:				
4011	Outlays from discretionary balances	1		
4180	Budget authority, net (total)	1		
4190	Outlays, net (total)	1		
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	41,212	28,192	14,000
5001	Total investments, EOY: Federal securities: Par value	28,192	14,000	1,050

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax, and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law. Per the Cash Management Improvement Act of 1990, this account reflects the net of State interest liability and adjusted Federal interest liability payments to or from States.

The following is the status of Highway Trust Fund.

Cash balances.—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand (i.e., uninvested balance). Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

Revenues.—The Budget presentation includes estimated receipts from existing Highway Trust Fund excise taxes, which would continue to be deposited into the Highway and Mass Transit Accounts of the Highway Trust Fund in the same manner as current law.

Status of Funds (in millions of dollars)

Identification code 069-8102-0-7-401	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	44,507	32,906	18,100
0999 Total balance, start of year	44,507	32,906	18,100
Cash income during the year:			
Current law:			
Receipts:			
1110 Highway Trust Fund, Deposits (Highway Account)	38,754	37,232	37,545
1110 Highway Trust Fund, Deposits (Mass Transit Account)	5,357	5,122	5,124
1120 Motor Carrier Safety Operations and Programs	28	40	40
1130 Federal-aid Highways	100		
1130 Highway Traffic Safety Grants	1		
1150 CMIA Interest, Highway Trust Fund (highway Account)	2		
1150 Earnings on Investments, Highway Trust Fund	848	350	131
1160 Federal-aid Highways	131	380	380
1160 Operations and Research (Highway Trust Fund)	1	5	5
1199 Income under present law	45,222	43,129	43,225
1999 Total cash income	45,222	43,129	43,225
Cash outgo during year:			
Current law:			
2100 TIFIA Highway Trust Fund Program Account [Budget Acct]	-3	-223	-244
2100 Federal-aid Highways [Budget Acct]	-44,398	-45,389	-45,873
2100 Highway Trust Fund [Budget Acct]	-1		
2100 Right-of-way Revolving Fund Liquidating Account [Budget Acct]		-4	
2100 Miscellaneous Highway Trust Funds [Budget Acct]	-9	-12	-6
2100 National Motor Carrier Safety Program [Budget Acct]	-1		
2100 Motor Carrier Safety Grants [Budget Acct]	-317	-420	-377
2100 Motor Carrier Safety Operations and Programs [Budget Acct]	-295	-335	-345
2100 Operations and Research (Highway Trust Fund) [Budget Acct]	-145	-157	-159
2100 Highway Traffic Safety Grants [Budget Acct]	-703	-771	-751
2100 Discretionary Grants (Highway Trust Fund, Mass Transit Account) [Budget Acct]	-3		
2100 Transit Formula Grants [Budget Acct]	-10,512	-10,624	-11,231
2199 Outgo under current law	-56,387	-57,935	-58,986
Proposed:			
2200 TIFIA Highway Trust Fund Program Account			-9
2200 Federal-aid Highways			-836
2200 Motor Carrier Safety Grants			-1

2200	Motor Carrier Safety Operations and Programs			-1
2200	Operations and Research (Highway Trust Fund)			-1
2200	Highway Traffic Safety Grants			17
2200	Transit Formula Grants			-114
2299	Outgo under proposed legislation			-945
2999	Total cash outgo (-)	-56,387	-57,935	-59,931
Surplus or deficit:				
3110	Excluding interest	-12,015	-15,156	-16,837
3120	Interest	850	350	131
3199	Subtotal, surplus or deficit	-11,165	-14,806	-16,706
3220	Miscellaneous Highway Trust Funds			-44
3230	TIFIA Highway Trust Fund Program Account	2		
3230	TIFIA Highway Trust Fund Program Account	4	272	
3230	TIFIA Highway Trust Fund Program Account	2		
3230	TIFIA Highway Trust Fund Program Account	6		
3230	Federal-aid Highways	-105	-101	
3230	Federal-aid Highways		30	
3230	Federal-aid Highways	-1,400	-1,300	-1,300
3230	Federal-aid Highways	69		
3230	Federal-aid Highways	-2		
3230	Federal-aid Highways	-4	-272	
3230	Federal-aid Highways	-2		
3230	Federal-aid Highways	-6		
3230	Motor Carrier Safety Grants		-30	
3230	Motor Carrier Safety Grants		-15	
3230	Highway Traffic Safety Grants	105	101	
3230	Transit Formula Grants	-69		
3230	Transit Formula Grants	1,400	1,300	1,300
3230	Transit Formula Grants		15	
3298	Reconciliation adjustment	-436		
3299	Total adjustments	-436		-44
3999	Total change in fund balance	-11,601	-14,806	-16,750
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	4,714	4,100	300
4200	Highway Trust Fund	28,192	14,000	1,050
4999	Total balance, end of year	32,906	18,100	1,350

FEDERAL-AID HIGHWAYS

Program and Financing (in millions of dollars)

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0010	Surface transportation block grant program	12,405	13,404	
0014	National highway performance program	21,092	22,790	
0015	Congestion mitigation and air quality improvement program	1,299	1,404	
0016	Highway safety improvement program	2,062	2,228	
0017	Metropolitan planning program	345	373	
0019	National highway freight program	1,377	1,488	
0020	Nationally significant freight and highway projects	991	1,135	
0024	Federal lands and tribal programs	614	628	
0029	Research, technology and education program	264	279	
0032	Administration - LAE	439	455	
0033	Administration - ARC	2	2	
0058	Other programs	2,836	1,418	
0059	Surface transportation reauthorization activities			46,327
0091	Programs subject to obligation limitation	43,726	45,604	46,327
0211	Exempt Programs	1,045	830	739
0500	Total direct program	44,771	46,434	47,066
Credit program obligations:				
0701	Direct loan subsidy	38		
0709	Administrative expenses	3		
0791	Direct program activities, subtotal	41		
0799	Total direct obligations	44,812	46,434	47,066
0801	Federal-aid Highways (Reimbursable)	144	380	380
0900	Total new obligations, unexpired accounts	44,956	46,814	47,446
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	22,825	22,293	19,396
1001	Discretionary unobligated balance brought fwd, Oct 1	487	553	
1010	Unobligated balance transfer to other accts [069-8634]	-2		
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8350]	-562		
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8158]		30	

FEDERAL-AID HIGHWAYS—Continued
Program and Financing—Continued

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8634]		-1,880	
1050 Unobligated balance (total)	22,261	20,443	19,396
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	46,008	47,104	47,104
1120 Appropriations transferred to other accts [069-8350]	-1,400	-1,300	-1,300
1120 Appropriations transferred to other accts [069-8020]	-105	-101	
1120 Appropriations transferred to other acct [069-8634]	-4	-272	
1121 Appropriations transferred from other acct [069-8350]	69		
1121 Appropriations transferred from other acct [069-8158]		30	
1138 Appropriations applied to liquidate contract authority	-44,568	-45,461	-45,804
Contract authority, mandatory:			
1600 Contract authority	46,008	47,104	47,104
1610 Transferred to other accounts [069-8350]	-1,124	-1,300	-1,300
1610 Transferred to other accounts [069-8020]	-105	-101	
1610 Contract authority transferred to other accounts [069-8634]	-4	-272	
1611 Transferred from other accounts [069-8350]	49		
1621 Contract authority temporarily reduced	-46	-44	
1640 Contract authority, mandatory (total)	44,778	45,387	45,804
Spending authority from offsetting collections, discretionary:			
1700 Collected	231	380	380
1701 Change in uncollected payments, Federal sources	-19		
1710 Spending authority from offsetting collections transferred to other accounts [069-8634]	-2		
1750 Spending auth from offsetting collections, disc (total)	210	380	380
1900 Budget authority (total)	44,988	45,767	46,184
1930 Total budgetary resources available	67,249	66,210	65,580
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22,293	19,396	18,134
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	62,807	63,359	64,784
3010 New obligations, unexpired accounts	44,956	46,814	47,446
3020 Outlays (gross)	-44,398	-45,389	-45,873
3030 Unpaid obligations transferred to other accts [069-8634]	-6		
3050 Unpaid obligations, end of year	63,359	64,784	66,357
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-631	-612	-612
3070 Change in uncollected pymts, Fed sources, unexpired	19		
3090 Uncollected pymts, Fed sources, end of year	-612	-612	-612
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	62,176	62,747	64,172
3200 Obligated balance, end of year	62,747	64,172	65,745
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	210	380	380
Outlays, gross:			
4010 Outlays from new discretionary authority	11,741	12,447	12,688
4011 Outlays from discretionary balances	31,743	32,082	32,376
4020 Outlays, gross (total)	43,484	44,529	45,064
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-131	-380	-380
4033 Non-Federal sources	-100		
4040 Offsets against gross budget authority and outlays (total)	-231	-380	-380
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	19		
4070 Budget authority, net (discretionary)	-2		
4080 Outlays, net (discretionary)	43,253	44,149	44,684
Mandatory:			
4090 Budget authority, gross	44,778	45,387	45,804
Outlays, gross:			
4100 Outlays from new mandatory authority	190	187	200
4101 Outlays from mandatory balances	724	673	609
4110 Outlays, gross (total)	914	860	809
4180 Budget authority, net (total)	44,776	45,387	45,804
4190 Outlays, net (total)	44,167	45,009	45,493
Memorandum (non-add) entries:			
5052 Obligated balance, SOY: Contract authority	56,749	56,397	54,473
5053 Obligated balance, EOY: Contract authority	56,397	54,473	54,473

5061 Limitation on obligations (Highway Trust Funds)	44,085	44,692	45,586
5099 Unexpired unavailable balance, SOY: Contract authority	257	303	347
5100 Unexpired unavailable balance, EOY: Contract authority	303	347	347

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	44,776	45,387	45,804
Outlays	44,167	45,009	45,493
Legislative proposal, not subject to PAYGO:			
Budget Authority			3,617
Outlays			836
Total:			
Budget Authority	44,776	45,387	49,421
Outlays	44,167	45,009	46,329

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Direct loan levels	1,535		
115999 Total direct loan levels	1,535		
Direct loan subsidy (in percent):			
132002 Subsidy rate	2.48		
132999 Weighted average subsidy rate	2.48		
Direct loan subsidy budget authority:			
133002 Subsidy budget authority	38		
133999 Total subsidy budget authority	38		
Direct loan subsidy outlays:			
134002 Net subsidy outlays	202		
134999 Total subsidy outlays	202		
Direct loan reestimates:			
135002 Net reestimate	-302		
135999 Total direct loan reestimates	-302		
Administrative expense data:			
3510 Budget authority	3		
3590 Outlays from new authority	3		

The Federal-aid Highways (FAH) program is designed to aid in the development, operations, and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely. All programs included within the FAH program are proposed to be financed from the Highway Account of the Highway Trust Fund, and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$602 billion in contract authority for the FAH program over 10 years. Of this amount, \$50.7 billion is requested for 2021, an 8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	271	277	278
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	29	29	29
11.9 Total personnel compensation	303	309	310
12.1 Civilian personnel benefits	101	102	102
21.0 Travel and transportation of persons	21	21	21
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	28	28	29
23.2 Rental payments to others	1	1	1

23.3	Communications, utilities, and miscellaneous charges	3	3	3
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	71	71	71
25.2	Other services from non-Federal sources	500	500	500
25.3	Other goods and services from Federal sources	221	221	221
25.4	Operation and maintenance of facilities	39	39	39
25.7	Operation and maintenance of equipment	58	58	58
26.0	Supplies and materials	4	4	4
31.0	Equipment	7	7	7
32.0	Land and structures	21	21	21
33.0	Investments and loans	75	75	75
41.0	Grants, subsidies, and contributions	43,357	45,047	45,677
99.0	Direct obligations	44,812	46,434	47,066
99.0	Reimbursable obligations	144	380	380
99.9	Total new obligations, unexpired accounts	44,956	46,814	47,446

Employment Summary

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,566	2,610	2,608
2001 Reimbursable civilian full-time equivalent employment	48	48	48
3001 Allocation account civilian full-time equivalent employment	3	3	3

FEDERAL-AID HIGHWAYS
(Legislative proposal, not subject to PAYGO)
LIMITATION ON ADMINISTRATIVE EXPENSES
(HIGHWAY TRUST FUND)
(INCLUDING TRANSFER OF FUNDS)

[Not] Contingent upon enactment of multi-year surface transportation authorization legislation, not to exceed **[\$453,549,689]** \$478,897,049, together with advances and reimbursements received by the Federal Highway Administration, shall be obligated for necessary expenses for administration and operation of the Federal Highway Administration. In addition, \$3,248,000 shall be or transferred to the Appalachian Regional Commission in accordance with section 104(a) of title 23, United States Code for administrative activities associated with the Appalachian Development Highway System.

(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[Funds] Contingent upon enactment of multi-year surface transportation authorization legislation, funds available for the implementation or execution of Federal-aid highway and highway safety construction programs authorized under titles 23 and 49, United States Code, and the provisions of the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94) applicable surface transportation authorization act shall not exceed total obligations of **[\$46,365,092,000]** \$49,982,000,000 for fiscal year **[2020: Provided,** That the Secretary may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: *Provided further,* That such fees are available until expended to pay for such costs: *Provided further,* That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code **]** 2021.

(LIQUIDATION OF CONTRACT AUTHORIZATION)
(HIGHWAY TRUST FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for the payment of obligations incurred in carrying out Federal-aid highway and highway safety construction programs authorized under title 23, United States Code, **[\$47,104,092,000]** \$50,721,000,000 derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8083-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0059 Surface transportation reauthorization activities			3,096
0091 Programs subject to obligation limitation			3,096

0500	Total direct program			3,096
0900	Total new obligations, unexpired accounts (object class 41.0)			3,096
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)			3,617
1138	Appropriations applied to liquidate contract authority			-3,617
Contract authority, mandatory:				
1600	Contract authority			3,617
1900	Budget authority (total)			3,617
1930	Total budgetary resources available			3,617
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			521
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts			3,096
3020	Outlays (gross)			-836
3050	Unpaid obligations, end of year			2,260
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			2,260
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4010	Outlays from new discretionary authority			836
Mandatory:				
4090	Budget authority, gross			3,617
4180	Budget authority, net (total)			3,617
4190	Outlays, net (total)			836
Memorandum (non-add) entries:				
5061	Limitation on obligations (Highway Trust Funds)			3,096

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Federal-aid Highways program, which aids in the development of a safe, efficient, effective, and environmentally sound highway system. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-9971-0-7-999	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			
Receipts:				
Current law:				
1130	Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust	42	42	42
2000	Total: Balances and receipts	42	42	42
Appropriations:				
Current law:				
2101	Miscellaneous Trust Funds	-42	-42	-42
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 069-9971-0-7-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Advances from State cooperating agencies 69-X-8054	27	35	46
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	111	128	135
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	113	128	135
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	42	42	42
1930	Total budgetary resources available	155	170	177

MISCELLANEOUS TRUST FUNDS—Continued
Program and Financing—Continued

Table with 4 columns: Identification code 069-9971-0-7-999, 2019 actual, 2020 est., 2021 est. Rows include Memorandum (non-add) entries, Change in obligated balance, and Budget authority and outlays, net.

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Recoveries of prior year unpaid obligations, Unobligated balance (total), Budget authority, and Change in obligated balance.

The Miscellaneous Trust Funds account reflects work performed by the Federal Highway Administration (FHWA) on behalf of other entities.

Advances from State cooperating agencies and foreign governments.—Contributions are received from other entities in connection with cooperative engineering, survey, maintenance, and construction projects.

Contributions for highway research programs.—Contributions are received from various sources in support of FHWA transportation research programs. The funds are used primarily in support of pooled-funds projects.

The Budget estimates that \$42 million of new authority will be available from non-FHWA sources in 2021.

Object Classification (in millions of dollars)

Table with 4 columns: Identification code 069-9971-0-7-999, 2019 actual, 2020 est., 2021 est. Rows include Direct obligations and Total new obligations, unexpired accounts.

Employment Summary

Table with 4 columns: Identification code 069-9971-0-7-999, 2019 actual, 2020 est., 2021 est. Row includes Direct civilian full-time equivalent employment.

MISCELLANEOUS HIGHWAY TRUST FUNDS

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code 069-9972-0-7-401, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity and Budgetary resources.

This account contains miscellaneous appropriations from the Highway Trust Fund. Obligations and outlays result from prior year appropriations. No new budget authority is requested for 2021. A cancellation of \$43.58 million of unobligated balances is proposed in 2021.

ADMINISTRATIVE PROVISIONS—FEDERAL HIGHWAY ADMINISTRATION

[(INCLUDING CANCELLATION OF FUNDS)]

- SEC. 120. (a) For fiscal year 2020, the Secretary of Transportation shall— (1) not distribute from the obligation limitation for Federal-aid highways— (A) amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; and (B) amounts authorized for the Bureau of Transportation Statistics; (2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts— (A) made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highway and highway safety construction programs for previous fiscal years the funds for which are allocated by the Secretary (or apportioned by the Secretary under sections 202 or 204 of title 23, United States Code); and (B) for which obligation limitation was provided in a previous fiscal year; (3) determine the proportion that— (A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2) of this subsection; bears to (B) the total of the sums authorized to be appropriated for the Federal-aid highway and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (11) of subsection (b) and sums authorized to be appropriated for section 119 of title 23, United States Code, equal to the amount referred to in subsection (b)(12) for such fiscal year, less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection; (4) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for each of the programs (other than programs to which paragraph (1) applies) that are allocated by the Secretary under the Fixing America's Surface Transportation Act and title 23, United States Code, or apportioned by the Secretary under sections 202 or 204 of that title, by multiplying— (A) the proportion determined under paragraph (3); by (B) the amounts authorized to be appropriated for each such program for such fiscal year; and (5) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and the amounts distributed under paragraph (4), for Federal-aid highway and highway safety construction programs that are apportioned by the Secretary under title 23, United

States Code (other than the amounts apportioned for the National Highway Performance Program in section 119 of title 23, United States Code, that are exempt from the limitation under subsection (b)(12) and the amounts apportioned under sections 202 and 204 of that title) in the proportion that—

(A) amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to each State for such fiscal year; bears to

(B) the total of the amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to all States for such fiscal year.

(b) **EXCEPTIONS FROM OBLIGATION LIMITATION.**—The obligation limitation for Federal-aid highways shall not apply to obligations under or for—

(1) section 125 of title 23, United States Code;

(2) section 147 of the Surface Transportation Assistance Act of 1978 (23 U.S.C. 144 note; 92 Stat. 2714);

(3) section 9 of the Federal-Aid Highway Act of 1981 (95 Stat. 1701);

(4) subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982 (96 Stat. 2119);

(5) subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987 (101 Stat. 198);

(6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2027);

(7) section 157 of title 23, United States Code (as in effect on June 8, 1998);

(8) section 105 of title 23, United States Code (as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(9) Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century (112 Stat. 107) or subsequent Acts for multiple years or to remain available until expended, but only to the extent that the obligation authority has not lapsed or been used;

(10) section 105 of title 23, United States Code (as in effect for fiscal years 2005 through 2012, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(11) section 1603 of SAFETEA-LU (23 U.S.C. 118 note; 119 Stat. 1248), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation; and

(12) section 119 of title 23, United States Code (but, for each of fiscal years 2013 through 2020, only in an amount equal to \$639,000,000).

(c) **REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY.**—Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year—

(1) revise a distribution of the obligation limitation made available under subsection (a) if an amount distributed cannot be obligated during that fiscal year; and

(2) redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 144 (as in effect on the day before the date of enactment of Public Law 112–141) and 104 of title 23, United States Code.

(d) **APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS.**—

(1) **IN GENERAL.**—Except as provided in paragraph (2), the obligation limitation for Federal-aid highways shall apply to contract authority for transportation research programs carried out under—

(A) chapter 5 of title 23, United States Code; and

(B) title VI of the Fixing America's Surface Transportation Act.

(2) **EXCEPTION.**—Obligation authority made available under paragraph (1) shall—

(A) remain available for a period of 4 fiscal years; and

(B) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(e) **REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS.**—

(1) **IN GENERAL.**—Not later than 30 days after the date of distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds (excluding funds authorized for the program under section 202 of title 23, United States Code) that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highway programs; and

(B) the Secretary determines will not be allocated to the States (or will not be apportioned to the States under section 204 of title 23, United States Code),

and will not be available for obligation, for such fiscal year because of the imposition of any obligation limitation for such fiscal year.

(2) **RATIO.**—Funds shall be distributed under paragraph (1) in the same proportion as the distribution of obligation authority under subsection (a)(5).

(3) **AVAILABILITY.**—Funds distributed to each State under paragraph (1) shall be available for any purpose described in section 133(b) of title 23, United States Code.]

SEC. [121] 120. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to chapter 63 of title 49, United States Code, may be credited to the Federal-aid highways account for the purpose of reimbursing the Bureau for such expenses: *Provided*, That such funds shall be subject to the obligation limitation for Federal-aid highway and highway safety construction programs.

SEC. [122] 121. Not less than 15 days prior to waiving, under his or her statutory authority, any Buy America requirement for Federal-aid highways projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: *Provided*, That the Secretary shall provide an annual report to the House and Senate Committees on Appropriations on any waivers granted under the Buy America requirements.]

SEC. 123. None of the funds provided in this Act to the Department of Transportation may be used to provide credit assistance unless not less than 3 days before any application approval to provide credit assistance under sections 603 and 604 of title 23, United States Code, the Secretary of Transportation provides notification in writing to the following committees: the House and Senate Committees on Appropriations; the Committee on Environment and Public Works and the Committee on Banking, Housing and Urban Affairs of the Senate; and the Committee on Transportation and Infrastructure of the House of Representatives: *Provided*, That such notification shall include, but not be limited to, the name of the project sponsor; a description of the project; whether credit assistance will be provided as a direct loan, loan guarantee, or line of credit; and the amount of credit assistance.]

SEC. [124] 122. None of the funds provided in this Act may be used to make a grant for a project under section 117 of title 23, United States Code, unless the Secretary, at least 60 days before making a grant under that section, provides written notification to the House and Senate Committees on Appropriations of the proposed grant, including an evaluation and justification for the project and the amount of the proposed grant award: *Provided*, That the written notification required in the previous proviso shall be made no later than 180 days after enactment of this Act.

SEC. [125] 123. (a) A State or territory, as defined in section 165 of title 23, United States Code, may use for any project eligible under section 133(b) of title 23 or section 165 of title 23 and located within the boundary of the State or territory any earmarked amount, and any associated obligation limitation: *Provided*, That the Department of Transportation for the State or territory for which the earmarked amount was originally designated or directed notifies the Secretary of Transportation of its intent to use its authority under this section and submits [a quarterly] *an annual* report to the Secretary identifying the projects to which the funding would be applied. Notwithstanding the original period of availability of funds to be obligated under this section, such funds and associated obligation limitation shall remain available for obligation for a period of 3 fiscal years after the fiscal year in which the Secretary of Transportation is notified. The Federal share of the cost of a project carried out with funds made available under this section shall be the same as associated with the earmark.

(b) In this section, the term "earmarked amount" means—

(1) congressionally directed spending, as defined in rule XLIV of the Standing Rules of the Senate, identified in a prior law, report, or joint explanatory statement, [which was authorized to be appropriated or appropriated more than 10 fiscal years prior to the current fiscal year.] and administered by the Federal Highway Administration; or

(2) a congressional earmark, as defined in rule XXI of the Rules of the House of Representatives, identified in a prior law, report, or joint explanatory statement, [which was authorized to be appropriated or appropriated more than 10 fiscal years prior to the current fiscal year.] and administered by the Federal Highway Administration.

(c) The authority under subsection (a) may be exercised only for those projects or activities that have obligated less than 10 percent of the amount made available for obligation as of October 1 of the current fiscal year, and shall be applied to projects within the same general geographic area within [25] 100 miles for which the funding was designated, except that a State or territory may apply such authority to unexpended balances of funds from projects or activities the State or territory certifies have been closed and for which payments have been made under a final voucher.

(d) The Secretary shall submit consolidated reports of the information provided by the States and territories [each quarter] annually to the House and Senate Committees on Appropriations.

[SEC. 126. The following are repealed:

(1) Section 352 of the National Highway System Designation Act of 1995 (Public Law 104–59, 109 Stat. 568).

(2) Section 324 of the Department of Transportation and Related Agencies Appropriations Act, 1986 (Public Law 99–190; 99 Stat. 1288).

(3) Section 325 of the Department of Transportation and Related Agencies Appropriations Act, 1996 (Public Law 104–50; 109 Stat. 456).

Notwithstanding any other provision of law, tolls collected for motor vehicles on any bridge connecting the boroughs of Brooklyn, New York, and Staten Island, New York, shall be collected for any such vehicles exiting from such bridge in both Staten Island and Brooklyn.]

[SEC. 127. Section 125(d) of title 23, United States Code, is amended by striking paragraph (4).]

[SEC. 128. Until final guidance is published, the Administrator of the Federal Highway Administration shall make determinations on Buy America waivers for those waivers that were submitted before April 17, 2018, as if the notice of proposed rulemaking of that date was not in effect.]

[SEC. 129. Section 1948 of SAFETEA-LU (Public Law 109–59; 119 Stat. 1514) is repealed.]

[SEC. 129A. Section 119(e)(5) of title 23, United States Code, is amended to read as follows:

"(A) IN GENERAL.—Notwithstanding section 120, each fiscal year, if the Secretary determines that a State has not developed and implemented a State asset management plan consistent with this section, the Federal share payable on account of any project or activity for which funds are obligated by the State in that fiscal year under this section shall be 65 percent.

"(B) DETERMINATION.—The Secretary shall make the determination under subparagraph (A) for a fiscal year not later than the day before the beginning of such fiscal year.".]

(CANCELLATION OF FUNDS)

SEC. 124. (a)

Of the unobligated balances of funds remaining from—

(1) Public Law 105–66, and any other Act, appropriated to the "Appalachian Development Highway System" account under Treasury Account Fund Symbol 69X0640, a total of \$11,960,182 is hereby permanently cancelled;

(2) Public Law 111–117, and any other Act, appropriated to the "Surface Transportation Priorities" account under Treasury Account Fund Symbol 69X0538, a total of \$79,821,786 is hereby permanently cancelled;

(3) Public Law 110–161, and any other Act, appropriated to the "Delta Regional Transportation Development Program" account under Treasury Account Fund Symbol 69X0551, a total of \$1,841,344 is hereby permanently cancelled;

(4) Public Law 106–346, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X8058, a total of \$38,552,346 is hereby permanently cancelled;

(5) Public Law 102–388, and any other Act, appropriated to the "Highway Projects" account under Treasury Account Fund Symbol 69X8382, a total of \$5,028,247 is hereby permanently cancelled.

(b) The cancellations under subsection (a) shall not be taken from the portions of unobligated balances of funds in such accounts for which a State used its authority under section 125 of division L of Public Law 114–113, section 422 of division K of Public Law 115–31, section 126 of division L of Public Law 115–141, section 125 of division G of Public Law 116–6, or section 125 of division H of Public Law 116–94.

(Department of Transportation Appropriations Act, 2020.)

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act of 1999 (P.L. 106–159). Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation, and reduce truck and bus crashes. The Agency accomplishes this mission by reducing fatalities and property losses associated with commercial motor vehicles through education, regulation, enforcement, research, and innovative technology, thereby achieving a safer and more secure transportation

environment. FMCSA is also responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all commercial vehicles entering the United States along its southern and northern borders.

Trust Funds

MOTOR CARRIER SAFETY

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Budgetary resources (Unobligated balance, 1000, 1013, 1050, 1930), Memorandum (non-add) entries (1941, 4180, 4190), and Memorandum (non-add) entries (5054, 5055).

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

NATIONAL MOTOR CARRIER SAFETY PROGRAM

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Budgetary resources (1000, 1930, 1941), Change in obligated balance (3000, 3020, 3050), Budget authority and outlays, net (4011, 4180, 4190), and Memorandum (non-add) entries (5050, 5051, 5052, 5053).

No funding is requested for this account in 2021.

MOTOR CARRIER SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-8158-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Motor Carrier Safety Assistance Program	304	309
0004 Commercial Driver's License (CDL) Program Implementation Grants	32	33
0007 High Priority Activities Program	44	46
0009 Commercial Motor Vehicle Operator (CMV) Grant	2	3
0010 Border Maintenance & Modernization	1
0011 Highly Automated Vehicle HAV	2
0020 Surface transportation reauthorization activities	399
0900 Total new obligations, unexpired accounts	385	391	399
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	200	208	163
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8055]	3
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8083]	-30
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8350]	-15
1021 Recoveries of prior year unpaid obligations	11
1050 Unobligated balance (total)	211	166	163
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	383	391	399
1120 Appropriations transferred to other acct [069-8083]	-30
1120 Appropriations transferred to other acct [069-8350]	-15
1138 Portion applied to liquidate contract authority, Motor Carrier Safety Grants	-383	-346	-399
Contract authority, mandatory:			
1600 Contract authority, Motor Carrier Safety Grants	382	388	388
1900 Budget authority (total)	382	388	388
1930 Total budgetary resources available	593	554	551
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	208	163	152
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	545	602	573
3010 New obligations, unexpired accounts	385	391	399
3020 Outlays (gross)	-317	-420	-377
3040 Recoveries of prior year unpaid obligations, unexpired	-11
3050 Unpaid obligations, end of year	602	573	595
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	545	602	573
3200 Obligated balance, end of year	602	573	595
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority	19	59	60
4011 Outlays from discretionary balances	298	361	317
4020 Outlays, gross (total)	317	420	377
Mandatory:			
4090 Budget authority, gross	382	388	388
4180 Budget authority, net (total)	382	388	388
4190 Outlays, net (total)	317	420	377
Memorandum (non-add) entries:			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority	217	218	218
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority	218	218	229
5061 Limitation on obligations (Highway Trust Funds)	383	391	399

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	382	388	388
Outlays	317	420	377
Legislative proposal, not subject to PAYGO:			
Budget Authority	15
Outlays	1
Total:			
Budget Authority	382	388	403

Outlays	317	420	378
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As part of the Administration's 10-year surface transportation reauthorization legislation, the Motor Carrier Safety Grants provide funding to eligible States to conduct compliance reviews; identify and apprehend traffic violators; conduct roadside inspections; and support safety audits on new entrant carriers.

As part of the Administrations 10-year surface transportation reauthorization proposal, the Budget requests \$4.8 billion in contract authority over 10 years. Of this amount, \$403 million is requested for 2021, a 3.8 percent increase from the FY 2020 FAST Act authorized amount. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8158-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2
25.2 Other services from non-Federal sources	5	7	7
41.0 Grants, subsidies, and contributions	377	381	389
94.0 Financial transfers	2
99.9 Total new obligations, unexpired accounts	385	391	399

MOTOR CARRIER SAFETY GRANTS
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

【For】 Contingent on enactment of multi year surface reauthorization legislation, for payment of obligations incurred in carrying out [sections 31102, 31103, 31104, and 31313 of] Motor Carrier Safety Grants as authorized in title 49, United States Code, [as amended by the Fixing America's Surface Transportation Act, \$391,135,561] \$403,000,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That funds available for the implementation or execution of motor carrier safety programs shall not exceed total obligations of [\$391,135,561] \$403,000,000 in fiscal year [2020] 2021 for "Motor Carrier Safety Grants" [: Provided further, That of the sums appropriated under this heading:]

【(1) 308,700,000 shall be available for the motor carrier safety assistance program;】

【(2) \$33,200,000 shall be available for the commercial driver's license program implementation program;】

【(3) \$45,900,000 shall be available for the high priority activities program, of which \$1,000,000 is to be made available from prior year unobligated contract authority provided for Motor Carrier Safety in the Transportation Equity Act for the 21st Century (Public Law 105-178), SAFETEA-LU (Public Law 109-59), or other appropriations or authorization Acts; and】

【(4) \$3,335,561 shall be made available for commercial motor vehicle operators grants, of which \$2,335,561 is to be made available from prior year unobligated contract authority provided for Motor Carrier Safety in the Transportation Equity Act for the 21st Century (Public Law 105-178), SAFETEA-LU (Public Law 109-59), or other appropriations or authorization Acts】. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8158-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0020 Surface transportation reauthorization activities	4
0900 Total new obligations, unexpired accounts (object class 41.0)	4

MOTOR CARRIER SAFETY GRANTS—Continued
Program and Financing—Continued

Identification code 069-8158-2-7-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101			4
1138			-4
Contract authority, mandatory:			
1600			15
1900			15
1930			15
Memorandum (non-add) entries:			
1941			11
Change in obligated balance:			
Unpaid obligations:			
3010			4
3020			-1
3050			3
Memorandum (non-add) entries:			
3200			3
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010			1
Mandatory:			
4090			15
4180			15
4190			1
Memorandum (non-add) entries:			
5061			4

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Motor Carrier Safety Grants. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

Program and Financing (in millions of dollars)

Identification code 069-8159-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	239	244	
0002	6	9	
0003	31	35	
0007	11	30	30
0010		10	10
0020			298
0100	287	328	338
0900	287	328	338
Budgetary resources:			
Unobligated balance:			
1000	47	78	78
1001	16	33	
1021	6		
1050	53	78	78
Budget authority:			
Appropriations, discretionary:			
1101	284	288	298
1138	-284	-288	-298
Contract authority, mandatory:			
1600	284	288	288
Spending authority from offsetting collections, discretionary:			
1700	28	40	40
1900	312	328	328
1930	365	406	406
Memorandum (non-add) entries:			
1941	78	78	68

Change in obligated balance:			
Unpaid obligations:			
3000	93	79	72
3010	287	328	338
3020	-295	-335	-345
3040	-6		
3050	79	72	65
Memorandum (non-add) entries:			
3100	93	79	72
3200	79	72	65
Budget authority and outlays, net:			
Discretionary:			
4000	28	40	40
Outlays, gross:			
4010	239	256	263
4011	56	79	82
4020	295	335	345
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	-28	-40	-40
Mandatory:			
4090	284	288	288
4180	284	288	288
4190	267	295	305
Memorandum (non-add) entries:			
Fund balance in excess of liquidating requirements, SOY:			
5054	16	16	16
Fund balance in excess of liquidating requirements, EOY:			
5055	16	16	26
5061	284	288	298

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	284	288	288
Outlays	267	295	305
Legislative proposal, not subject to PAYGO:			
Budget Authority			11
Outlays			1
Total:			
Budget Authority	284	288	299
Outlays	267	295	306

The Operations and Programs account provides the necessary resources to support program and administrative activities for motor carrier safety. The Federal Motor Carrier Safety Administration (FMCSA) will continue to improve safety and reduce severe and fatal commercial motor vehicles crashes by requiring operators to maintain standards to remain in the industry, and by removing high-risk carriers, vehicles, drivers and service providers from operation. Funding supports Nation-wide motor carrier safety and consumer enforcement efforts, including the continuation of the Compliance, Safety and Accountability Program; regulation and enforcement of movers of household goods; and Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with FMSCA regulations. Resources are also provided to fund regulatory development and implementation, investment in research and technology with a focus on research regarding highly automated vehicles and related technology, and information technology's information management, safety outreach and education.

As part of the Administrations 10-year surface transportation reauthorization proposal, the Budget requests \$3.6 billion in contract authority for over 10 years for this program. Of this amount, \$299 million is requested for 2021, a 3.8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8159-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	112	118	122
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	113	119	123
12.1 Civilian personnel benefits	39	41	42
21.0 Travel and transportation of persons	7	7	7
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	15	22	18
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	104	127	133
25.5 Research and development contracts	6	9	12
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	287	328	338

Employment Summary

Identification code 069-8159-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,122	1,183	1,181

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

[For] *Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs [pursuant to section 31110 of title 49, United States Code, as amended by the Fixing America's Surface Transportation Act, \$288,000,000], \$299,000,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account), together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, the sum of which shall remain available until expended: *Provided*, That funds available for implementation, execution or administration of motor carrier safety operations and programs authorized under title 49, United States Code, shall not exceed total obligations of [\$288,000,000] \$299,000,000 for "Motor Carrier Safety Operations and Programs" for fiscal year [2020, of which \$9,073,000, to remain available for obligation until September 30, 2022, is for the research and technology program, and of which \$35,334,000, to remain available for obligation until September 30, 2022, is for information management] 2021. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-8159-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0020 Surface transportation reauthorization activities			1
0100 Direct program activities, subtotal			1
0900 Total new obligations, unexpired accounts (object class 25.2)			1
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			1
1138 Appropriations applied to liquidate contract authority			-1
Contract authority, mandatory:			
1600 Contract authority			11
1900 Budget authority (total)			11
1930 Total budgetary resources available			11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			10
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1
3020 Outlays (gross)			-1

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority			1
Mandatory:			
4090 Budget authority, gross			11
4180 Budget authority, net (total)			11
4190 Outlays, net (total)			1

Memorandum (non-add) entries:

5061 Limitation on obligations (Highway Trust Funds)			1
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Motor Carrier Safety Operations and Programs account which includes operating expenses. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

ADMINISTRATIVE PROVISIONS—FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

[SEC. 130. The Federal Motor Carrier Safety Administration shall send notice of 49 CFR section 385.308 violations by certified mail, registered mail, or another manner of delivery, which records the receipt of the notice by the persons responsible for the violations.]

[SEC. 131. None of the funds appropriated or otherwise made available to the Department of Transportation by this Act or any other Act may be obligated or expended to implement, administer, or enforce the requirements of section 31137 of title 49, United States Code, or any regulation issued by the Secretary pursuant to such section, with respect to the use of electronic logging devices by operators of commercial motor vehicles, as defined in section 31132(1) of such title, transporting livestock as defined in section 602 of the Emergency Livestock Feed Assistance Act of 1988 (7 U.S.C. 1471) or insects.]

[SEC. 132. The Federal Motor Carrier Safety Administration shall update annual inspection regulations under Appendix G to subchapter B of chapter III of title 49, Code of Federal Regulations, as recommended by GAO-19-264.] (*Department of Transportation Appropriations Act, 2020.*)

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, motor vehicle information, and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

Federal Funds

CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0654-0-1-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	20
1930 Total budgetary resources available	20	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	20	20
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM—Continued

The schedule above shows the remaining activity associated with the completed Consumer Assistance to Recycle and Save (Cash for Clunkers) program. No new funds are requested for this program in 2021.

OPERATIONS AND RESEARCH

Program and Financing (in millions of dollars)

Identification code 069-0650-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research and Analysis	47	48	19
0002 Rulemaking	16	28	
0003 Enforcement	20	37	8
0004 Administrative Expenses	110	81	
0005 Section 143 - Highway-Rail Grade Crossing Safety Media Campaign	7	10	5
0006 Section 143 - Impaired Driving Countermeasures	5	7	8
0900 Total new obligations, unexpired accounts	205	211	40
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	33	40
1021 Recoveries of prior year unpaid obligations		3	
1050 Unobligated balance (total)	33	36	40
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation [Surface Transportation Reauthorization Activities]	204	211	
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	4	
1900 Budget authority (total)	206	215	
1930 Total budgetary resources available	239	251	40
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	33	40	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	128	147	191
3010 New obligations, unexpired accounts	205	211	40
3020 Outlays (gross)	-184	-164	-131
3040 Recoveries of prior year unpaid obligations, unexpired		-3	
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	147	191	100
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	128	147	191
3200 Obligated balance, end of year	147	191	100
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	206	215	
Outlays, gross:			
4010 Outlays from new discretionary authority	87	124	
4011 Outlays from discretionary balances	97	40	131
4020 Outlays, gross (total)	184	164	131
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-4	
4040 Offsets against gross budget authority and outlays (total) ...	-2	-4	
4180 Budget authority, net (total)	204	211	
4190 Outlays, net (total)	182	160	131

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	204	211	
Outlays	182	160	131
Legislative proposal, not subject to PAYGO:			
Budget Authority			156
Outlays			81
Total:			
Budget Authority	204	211	156
Outlays	182	160	212

The Vehicle Safety programs support activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by developing, setting, and enforcing Federal Motor Vehicle Safety Standards and rooting out safety-related defects in motor vehicles and motor vehicle equipment. NHTSA supports research into cutting-edge technologies, including complex safety-critical electronic control systems; vehicle cybersecurity; and new and emerging Automated Driving System technologies. Additional research areas include biomechanics; heavy vehicles safety technologies; and vehicle safety issues related to fuel efficiency and alternative fuels. The Operation and Research program supports a broad range of initiatives, including the development of rulemaking and safety standards, such as the motor vehicle fuel economy standards; harmonization efforts with international partners; and, modernizing the New Car Assessment Program. This funding also supports compliance programs for motor vehicle safety and fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; and, oversight of safety recalls. NHTSA also leverages this funding to collect and analyze crash data to identify safety trends and develop countermeasures.

The Administration is proposing to authorize the Operations and Research program as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$1.9 billion over 10-years, including \$156 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-0650-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	45	
11.5 Other personnel compensation	1	1	
11.9 Total personnel compensation	42	46	
12.1 Civilian personnel benefits	13	18	
21.0 Travel and transportation of persons		1	
23.1 Rental payments to GSA	3	3	
23.3 Communications, utilities, and miscellaneous charges		1	
25.1 Advisory and assistance services	33	33	14
25.2 Other services from non-Federal sources	87	85	24
25.3 Other goods and services from Federal sources	19	16	1
26.0 Supplies and materials	3	3	1
41.0 Grants, subsidies, and contributions	5	5	
99.9 Total new obligations, unexpired accounts	205	211	40

Employment Summary

Identification code 069-0650-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	334	357	

OPERATIONS AND RESEARCH

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of title 49, United States Code, [\$194,000,000] \$156,100,000, of which \$40,000,000 shall remain available through September 30, [2021] 2022. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0650-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0030 Surface Transportation Reauthorization Activities			156

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		156
1900	Budget authority (total)		156
1930	Total budgetary resources available		156

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		156
3020	Outlays (gross)		-81
3050	Unpaid obligations, end of year		75
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		75

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross		156
Outlays, gross:			
4010	Outlays from new discretionary authority		81
4180	Budget authority, net (total)		156
4190	Outlays, net (total)		81

The Administration is proposing to authorize the Operations and Research program as part of its 10-year surface transportation reauthorization proposal. This appropriation supports activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll. This schedule reflects the 2021 request for the program.

Object Classification (in millions of dollars)

Identification code 069-0650-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent		46
11.5	Other personnel compensation		1
11.9	Total personnel compensation		47
12.1	Civilian personnel benefits		19
21.0	Travel and transportation of persons		1
23.1	Rental payments to GSA		3
23.3	Communications, utilities, and miscellaneous charges		1
25.1	Advisory and assistance services		30
25.2	Other services from non-Federal sources		41
25.3	Other goods and services from Federal sources		11
26.0	Supplies and materials		2
41.0	Grants, subsidies, and contributions		1
99.9	Total new obligations, unexpired accounts		156

Employment Summary

Identification code 069-0650-2-1-401	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		355

NEXT GENERATION 911 IMPLEMENTATION GRANTS

Program and Financing (in millions of dollars)

Identification code 069-0661-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Grants	109	
0002	Administration	1	1
0900	Total new obligations, unexpired accounts	109	1
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	111	2
1930	Total budgetary resources available	111	2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	1
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	110
3010	New obligations, unexpired accounts	109	1

3020	Outlays (gross)		-48	-46
3050	Unpaid obligations, end of year	110	63	18
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	110	63
3200	Obligated balance, end of year	110	63	18

Budget authority and outlays, net:

Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances		48	46
4180	Budget authority, net (total)			
4190	Outlays, net (total)		48	46

The 911 Grant Program was authorized by the Next Generation 911 Advancement Act of 2012, which allows eligible entities to utilize funds to implement and operate 911 services, and to train public safety personnel. The program is funded by the Public Safety Trust Fund. The authority to expend these funds expires on September 30, 2022. The program helps 911 call centers upgrade to Next Generation 911 (NG911) capabilities, such as providing digital and network capabilities and implementing advanced mapping systems that will make it easier to identify a 911 caller's location. NG911 also helps 911 call centers manage call overloads and funds for training costs directly related to NG911 implementation.

Object Classification (in millions of dollars)

Identification code 069-0661-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources		1
41.0	Grants, subsidies, and contributions	109	
99.9	Total new obligations, unexpired accounts	109	1

Trust Funds

OPERATIONS AND RESEARCH (HIGHWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 069-8016-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Highway Safety Programs	40	52
0002	Research and Analysis	33	43
0007	National Driver Register	3	4
0008	Administrative Expenses	72	49
0009	Sec 1906 - Grant Program to Prohibit Racial Profiling	2	7
0030	Surface Transportation Reauthorization Activities		155
0100	Total Direct Obligations	150	155
0799	Total direct obligations	150	155
0801	Operations and Research (Transportation Trust Fund) (Reimbursable)	3	17
0900	Total new obligations, unexpired accounts	153	172

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	33	35
1001	Discretionary unobligated balance brought fwd, Oct 1	12	5
1021	Recoveries of prior year unpaid obligations	2	
1050	Unobligated balance (total)	35	35
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	152	155
1138	Appropriations applied to liquidate contract authority	-152	-155
Contract authority, mandatory:			
1600	Contract authority	152	155
Spending authority from offsetting collections, discretionary:			
1700	Collected	1	5
1900	Budget authority (total)	153	160
1930	Total budgetary resources available	188	195
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	35	23

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	141	147

OPERATIONS AND RESEARCH (HIGHWAY TRUST FUND)—Continued
Program and Financing—Continued

Identification code 069-8016-0-7-401		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	153	172	165
3020	Outlays (gross)	-145	-157	-159
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3050	Unpaid obligations, end of year	147	162	168
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	141	147	162
3200	Obligated balance, end of year	147	162	168
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority	68	75	77
4011	Outlays from discretionary balances	77	82	82
4020	Outlays, gross (total)	145	157	159
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-5	-5
4040	Offsets against gross budget authority and outlays (total)	-1	-5	-5
Mandatory:				
4090	Budget authority, gross	152	155	155
4180	Budget authority, net (total)	152	155	155
4190	Outlays, net (total)	144	152	154
Memorandum (non-add) entries:				
5054	Fund balance in excess of liquidating requirements, SOY: Contract authority	46	46	46
5055	Fund balance in excess of liquidating requirements, EOY: Contract authority	46	46	50
5061	Limitation on obligations (Highway Trust Funds)	152	155	159

Summary of Budget Authority and Outlays (in millions of dollars)

		2019 actual	2020 est.	2021 est.
Enacted/requested:				
	Budget Authority	152	155	155
	Outlays	144	152	154
Legislative proposal, not subject to PAYGO:				
	Budget Authority			6
	Outlays			1
Total:				
	Budget Authority	152	155	161
	Outlays	144	152	155

The Highway Safety Research and Development programs support research, demonstrations, evaluation, technical assistance, and national leadership activities for behavioral safety programs conducted by State and local governments, as well as various safety associations and organizations. These programs are designed to provide our State and local partners with the latest tools to combat impaired, distracted, and drowsy driving while encouraging occupant protection, pedestrian and bicycle safety, and development of best practices for emergency medical and trauma care systems. This funding supports the National Driver Register's Problem Driver Pointer System, which helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs. Finally, this funding will allow NHTSA to improve its vital data collection and analysis, which drives all of the agency's safety activities.

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$1.9 billion in contract authority for the Highway Safety Research and Development programs over 10 years. Of this amount, \$161 million is requested for 2021, a 3.8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8016-0-7-401		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	20	22	22
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	21	23	23
12.1	Civilian personnel benefits	6	9	9
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	7	7	7
25.1	Advisory and assistance services	68	68	68
25.2	Other services from non-Federal sources	8	8	8
25.3	Other goods and services from Federal sources	12	12	12
25.5	Research and development contracts	3	3	3
41.0	Grants, subsidies, and contributions	23	24	24
99.0	Direct obligations	149	155	155
99.0	Reimbursable obligations	2	17	10
99.5	Adjustment for rounding	2		
99.9	Total new obligations, unexpired accounts	153	172	165

Employment Summary

Identification code 069-8016-0-7-401		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	159	175	175

OPERATIONS AND RESEARCH
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, including behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems, and improving consumer responses to safety recalls, section 4011 of the Fixing America's Surface Transportation Act (Public Law 114-94), and chapter 303 of title 49, United States Code, [\$155,300,000] \$161,200,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year [2020] 2021, are in excess of [\$155,300,000: *Provided further* , That of the sums appropriated under this heading—]

[(1) \$149,800,000 shall be for programs authorized under 23 U.S.C. 403, including behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems and improving consumer responses to safety recalls, and section 4011 of the Fixing America's Surface Transportation Act (Public Law 114-94); and]

[(2) \$5,500,000 shall be for the National Driver Register authorized under chapter 303 of title 49, United States Code:]

\$161,200,000: Provided further, That within the [\$155,300,000] \$161,200,000 obligation limitation for operations and research, \$20,000,000 shall remain available until September 30, [2021] 2022, and shall be in addition to the amount of any limitation imposed on obligations for future years: *Provided further*, That amounts for behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems and improving consumer responses to safety recalls are in addition to any other funds provided for those purposes for fiscal year [2020] 2021 in this Act. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8016-2-7-401		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0030	Surface Transportation Reauthorization Activities			6
0100	Total Direct Obligations			6
0799	Total direct obligations			6
0900	Total new obligations, unexpired accounts (object class 25.1)			6

Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	6	
1138	Appropriations applied to liquidate contract authority	-6	
Contract authority, mandatory:			
1600	Contract authority	6	
1900	Budget authority (total)	6	
1930	Total budgetary resources available	6	
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	6	
3020	Outlays (gross)	-1	
3050	Unpaid obligations, end of year	5	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year	5	
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010	Outlays from new discretionary authority	1	
Mandatory:			
4090	Budget authority, gross	6	
4180	Budget authority, net (total)	6	
4190	Outlays, net (total)	1	
Memorandum (non-add) entries:			
5061	Limitation on obligations (Highway Trust Funds)	2	

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Highway Safety Research and Development programs, which aid in the development of a safe, efficient, effective, and environmentally sound highway system. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

HIGHWAY TRAFFIC SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-8020-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Sec 402 - Formula Grants	293	280
0006	Sec 3010 - High Visibility Enforcement	30	31
0011	Administrative Expenses - Chapter 4 of Title 23	26	27
0014	Sec 405B - Occupant Protection Grants	37	37
0015	Sec 405C - State Traffic Information System Improvements	41	42
0016	Sec 405D - Impaired Driving Countermeasures	149	150
0017	Sec 405E - Distracted Driving	15	24
0018	Sec 405F - Motorcyclist Safety	4	4
0019	Sec 405G - State Graduated Driver Licensing Laws		14
0020	Sec 405H - Non-Motorized Safety	14	14
0021	Open Container Law - Section 154 / Repeat Offender Law - Section 164	105	101
0030	Surface Transportation Reauthorization Activities		623
0799	Total direct obligations	714	724
0900	Total new obligations, unexpired accounts	714	724
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	146	163
1021	Recoveries of prior year unpaid obligations	15	1
1033	Recoveries of prior year paid obligations	1	
1050	Unobligated balance (total)	162	164
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	610	623
1121	Appropriations transferred from other acct [069-8083]	105	101
1138	Appropriations applied to liquidate contract authority	-715	-724
Contract authority, mandatory:			
1600	Contract authority	610	623
1611	Contract authority transferred from other accounts [069-8083]	105	101
1640	Contract authority, mandatory (total)	715	724
1900	Budget authority (total)	715	724
1930	Total budgetary resources available	877	888

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	163	164
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	917	913
3010	New obligations, unexpired accounts	714	724
3020	Outlays (gross)	-703	-771
3040	Recoveries of prior year unpaid obligations, unexpired	-15	-1
3050	Unpaid obligations, end of year	913	865
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	917	913
3200	Obligated balance, end of year	913	865
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010	Outlays from new discretionary authority	143	153
4011	Outlays from discretionary balances	560	618
4020	Outlays, gross (total)	703	771
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033	Non-Federal sources	-1	
Additional offsets against gross budget authority only:			
4053	Recoveries of prior year paid obligations, unexpired accounts	1	
4080	Outlays, net (discretionary)	702	771
Mandatory:			
4090	Budget authority, gross	715	724
4180	Budget authority, net (total)	715	724
4190	Outlays, net (total)	702	771
Memorandum (non-add) entries:			
5054	Fund balance in excess of liquidating requirements, SOY:		
	Contract authority	78	80
5055	Fund balance in excess of liquidating requirements, EOY:		
	Contract authority	80	80
5061	Limitation on obligations (Highway Trust Funds)	715	724

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	715	724	623
Outlays	702	771	751
Legislative proposal, not subject to PAYGO:			
Budget Authority			24
Outlays			-17
Total:			
Budget Authority	715	724	647
Outlays	702	771	734

NHTSA provides grants to States for activities related to the promotion of highway traffic safety. The State and Community Safety Grants Program (Section 402) supports multi-faceted State highway safety programs designed to reduce traffic crashes and the resulting deaths, injuries, and property damage. The Agency will continue to implement and promote the use of performance measures and data-driven targets as a condition of approval in these programs and to ensure efficient and effective use of funds. NHTSA also will use dedicated funds from the program to support high visibility enforcement campaigns that promote the use of seat belts and the reduction of impaired and distracted driving. The National Priority Incentive Grant Programs (Section 405) allow the Agency to make grant awards to States to encourage innovative solutions to drug- and alcohol-impaired driving that can help States combat drug- and alcohol-impaired driving.

As part of the Administrations 10-year surface transportation reauthorization proposal, the Budget requests \$7.7 billion in contract authority over 10 years. Of this amount, \$647 million is requested for 2021, a 3.8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

HIGHWAY TRAFFIC SAFETY GRANTS—Continued

Object Classification (in millions of dollars)

Identification code 069-8020-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	11
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	37	37	42
25.2 Other services from non-Federal sources	5	5	5
25.3 Other goods and services from Federal sources	4	4	4
41.0 Grants, subsidies, and contributions	653	661	554
99.0 Direct obligations	714	724	623
99.9 Total new obligations, unexpired accounts	714	724	623

Employment Summary

Identification code 069-8020-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	83	88	88

HIGHWAY TRAFFIC SAFETY GRANTS
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in carrying out provisions of 23 U.S.C. 402, 404, and 405, and [section 4001(a)(6) of the Fixing America's Surface Transportation Act] grant administrative expenses under chapter 4 of title 23, United States Code, to remain available until expended, [\$623,017,000] \$647,200,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account): Provided, That none of the funds in this Act shall be available for the planning or execution of programs for which the total obligations in fiscal year [2020] 2021 are in excess of [\$623,017,000] \$647,200,000 for programs authorized under 23 U.S.C. 402, 404, and 405, and [section 4001(a)(6) of the Fixing America's Surface Transportation Act: Provided further, That of the sums appropriated under this heading—]

[(1) \$279,800,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402:]

[(2) \$285,900,000 shall be for "National Priority Safety Programs" under 23 U.S.C. 405:]

[(3) \$30,500,000 shall be for the "High Visibility Enforcement Program" under 23 U.S.C. 404; and]

[(4) \$26,817,000 shall be for "Administrative Expenses" under section 4001(a)(6) of the Fixing America's Surface Transportation Act:] grant administrative expenses under chapter 4 of title 23, United States Code: Provided further, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: Provided further, That not to exceed \$500,000 of the funds made available [for "National Priority Safety Programs"] under 23 U.S.C. 405 [for "Impaired Driving Countermeasures" (as described in subsection (d) of that section)] shall be available for technical assistance to the States [: Provided further, That with respect to the "Transfers" provision under 23 U.S.C. 405(a)(8), any amounts transferred to increase the amounts made available under section 402 shall include the obligation authority for such amounts: Provided further, That the Administrator shall notify the House and Senate Committees on Appropriations of any exercise of the authority granted under the previous proviso or under 23 U.S.C. 405(a)(8) within 5 days]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8020-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0030 Surface Transportation Reauthorization Activities			24
0799 Total direct obligations			24

0900 Total new obligations, unexpired accounts (object class 41.0)	24
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Budgetary resources:

Budget authority:	
Appropriations, discretionary:	
1101 Appropriation (special or trust)	24
1138 Appropriations applied to liquidate contract authority	-24
Contract authority, mandatory:	
1600 Contract authority	24
1900 Budget authority (total)	24
1930 Total budgetary resources available	24

Change in obligated balance:

Unpaid obligations:	
3010 New obligations, unexpired accounts	24
3020 Outlays (gross)	17
3050 Unpaid obligations, end of year	41
Memorandum (non-add) entries:	
3200 Obligated balance, end of year	41

Budget authority and outlays, net:

Discretionary:	
Outlays, gross:	
4010 Outlays from new discretionary authority	-17
Mandatory:	
4090 Budget authority, gross	24
4180 Budget authority, net (total)	24
4190 Outlays, net (total)	-17

Memorandum (non-add) entries:

5061 Limitation on obligations (Highway Trust Funds)	-92
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Highway Traffic Safety Grants program, which aids in the development of a safe, efficient, effective, and environmentally sound highway system. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

ADMINISTRATIVE PROVISIONS

SEC. 140. An additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.

SEC. 141. The limitations on obligations for the programs of the National Highway Traffic Safety Administration set in this Act shall not apply to obligations for which obligation authority was made available in previous public laws but only to the extent that the obligation authority has not lapsed or been used.

[SEC. 142. In addition to the amounts made available under the heading, "Operations and Research (Liquidation of Contract Authorization) (Limitation on Obligations) (Highway Trust Fund)" for carrying out the provisions of section 403 of title 23, United States Code, \$17,000,000, to remain available until September 30, 2021, shall be made available to the National Highway Traffic Safety Administration from the general fund: Provided, That of the sums provided under this provision—

(1) not to exceed \$7,000,000 shall be available to provide funding for grants, pilot program activities, and innovative solutions to reduce impaired-driving fatalities in collaboration with eligible entities under section 403 of title 23, United States Code; and

(2) not to exceed \$10,000,000 shall be available to continue a high visibility enforcement paid-media campaign regarding highway-rail grade crossing safety in collaboration with the Federal Railroad Administration.]

SEC. [143] 142. None of the funds in this Act or any other Act shall be used to enforce the requirements of 23 U.S.C. 405(a)(9). (Department of Transportation Appropriations Act, 2020.)

FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

Budget Authority:	2019 actual	2020 est.	2021 est.
Safety and Operations	222	224	176

Railroad Research and Development	41	41	41
Restoration and Enhancement Grants	5	2	0
Magnetic Levitation Technology Deployment Program	10	2	0
Capital and Debt Service Grants to Amtrak	0	0	-10
National Network Grants to Amtrak	1,292	1,300	611
Northeast Corridor Grants to Amtrak	650	700	325
National Network Transformation Grants	0	0	550
Intercity Passenger Rail Grant Program	0	0	-10
Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service	0	0	-55
Next Generation High-Speed Rail	0	0	-3
Rail Line Relocation and Improvement Program	0	0	-13
Rail Safety Technology Program	0	0	-1
Federal-State Partnership for State of Good Repair	400	200	0
Consolidated Rail Infrastructure and Safety Improvements	255	325	330
Total Budget Authority-Discretionary	2,875	2,794	1,941
Outlays:			
Safety and Operations	229	238	193
Railroad Safety Grants	16	19	9
Railroad Research and Development	32	51	47
Restoration and Enhancement Grants	0	2	1
Magnetic Levitation Technology Deployment Program	0	0	0
Pennsylvania Station Redevelopment Project	15	3	2
Grants to Amtrak	0	0	3
Capital and Debt Service Grants to Amtrak	2	2	0
National Network Grants to Amtrak	1,288	1,303	616
Northeast Corridor Grants to Amtrak	646	702	328
National Network Transformation Grants	0	0	550
Intercity Passenger Rail Grant Program	1	10	1
Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service	26	86	62
Next Generation High-Speed Rail	0	1	0
Northeast Corridor Improvement Program	1	9	10
Rail Line Relocation and Improvement Program	0	2	1
Rail Safety Technology Program	0	0	0
Federal-State Partnership for State of Good Repair	0	1	127
Consolidated Rail Infrastructure and Safety Improvements	6	14	112
Total Outlays-Discretionary	2,262	2,443	2,062

Spending authority from offsetting collections, discretionary:			
Reimbursable Services		1	1
Railroad Safety User Fee			50
1750 Spending auth from offsetting collections, disc (total)		1	51
1900 Budget authority (total)	222	225	227
1930 Total budgetary resources available	249	252	254
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	27	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	95	95	81
3010 New obligations, unexpired accounts	222	225	227
3020 Outlays (gross)	-229	-239	-244
3031 Unpaid obligations transferred from other accts [070-0413]	10		
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	95	81	64
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	95	81
3200 Obligated balance, end of year	95	81	64
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	222	225	227
Outlays, gross:			
4010 Outlays from new discretionary authority	170	196	204
4011 Outlays from discretionary balances	59	43	40
4020 Outlays, gross (total)	229	239	244
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4033 Non-Federal sources:			-50
4040 Offsets against gross budget authority and outlays (total)		-1	-51
4070 Budget authority, net (discretionary)	222	224	176
4080 Outlays, net (discretionary)	229	238	193
4180 Budget authority, net (total)	222	224	176
4190 Outlays, net (total)	229	238	193

Federal Funds

SAFETY AND OPERATIONS

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, **[\$224,198,000] \$225,634,000**, of which \$20,000,000 shall remain available until expended: *Provided, That railroad safety fees collected in fiscal year 2021 as provided in section 151 of this Act, of which \$25,000,000 shall remain available until expended for railroad safety activities, shall be credited as offsetting collections to this account: Provided further, That the one-year portion of the sum herein appropriated from the general fund shall be reduced dollar-for-dollar as such offsetting collections are received during fiscal year 2021, so as to result in a final appropriation from the general fund estimated at \$175,634,000. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-0700-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Safety and Operations	221	223	175
0006 Alaska railroad liabilities	1	1	1
0007 Railroad Safety User Fee			50
0100 Total direct program	222	224	226
0799 Total direct obligations	222	224	226
0801 Reimbursable services		1	1
0900 Total new obligations, unexpired accounts	222	225	227
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	27	27
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	27	27	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	222	224	176

Funds requested in the Safety and Operations account support the Federal Railroad Administration's (FRA) personnel and administrative expenses, the cost of rail safety inspectors, and other program activities including contracts. Resources are also provided to fund information management, technology, safety education, and outreach. The Budget includes language in FRA's Administrative Provisions to implement a rail safety user fee to partially offset the cost of rail safety inspectors and activities.

Object Classification (in millions of dollars)

Identification code 069-0700-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	97	104	108
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	100	107	111
12.1 Civilian personnel benefits	35	37	39
21.0 Travel and transportation of persons	12	7	10
23.1 Rental payments to GSA	7	7	7
25.1 Advisory and assistance services	40	38	31
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	19	19	19
25.7 Operation and maintenance of equipment	4	4	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	222	224	226
99.0 Reimbursable obligations		1	1
99.9 Total new obligations, unexpired accounts	222	225	227

SAFETY AND OPERATIONS—Continued
Employment Summary

Identification code 069-0700-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	913	915	913

RAILROAD SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-0702-0-1-401	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 Rail Safety Grants	5	3	2
0900 Total new obligations, unexpired accounts (object class 41.0)	5	3	2

Budgetary resources:

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	11	6	3
1930 Total budgetary resources available	11	6	3

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year	6	3	1
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Change in obligated balance:

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	43	32	16
3010 New obligations, unexpired accounts	5	3	2
3020 Outlays (gross)	-16	-19	-9
3050 Unpaid obligations, end of year	32	16	9

Memorandum (non-add) entries:

3100 Obligated balance, start of year	43	32	16
3200 Obligated balance, end of year	32	16	9

Budget authority and outlays, net:

Discretionary:

Outlays, gross:

4011 Outlays from discretionary balances	16	19	9
4180 Budget authority, net (total)	16	19	9
4190 Outlays, net (total)	16	19	9

In 2016, \$50 million was appropriated under the Railroad Safety Grants heading to be equally distributed to Railroad Safety Infrastructure Improvement Grants and Railroad Safety Technology Grants. The Fixing America's Surface Transportation (FAST) Act of 2015 (P.L. 114-94) repealed the Railroad Safety Infrastructure Improvement Grants program and did not authorize new funding for the Railroad Safety Technology Grants program. No new funds are requested for this account for 2021.

RAILROAD RESEARCH AND DEVELOPMENT

For necessary expenses for railroad research and development, **[\$40,600,000]** \$41,000,000, to remain available until expended. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0745-0-1-401	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 Railroad system issues	4	4	4
0002 Human factors	5	5	6
0012 Track Program	12	11	11
0013 Rolling Stock Program	9	10	10
0014 Train Control and Communication	9	8	8
0100 Total direct program	39	38	39
0799 Total direct obligations	39	38	39
0801 Railroad Research and Development (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	39	40	41

Budgetary resources:

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	7	11	13
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1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	9	11	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	40	41
Spending authority from offsetting collections, discretionary:			
1700 Collected		2	2
1900 Budget authority (total)	41	42	43
1930 Total budgetary resources available	50	53	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	13	15

Change in obligated balance:

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	55	60	47
3010 New obligations, unexpired accounts	39	40	41
3020 Outlays (gross)	-32	-53	-49
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	60	47	39

Memorandum (non-add) entries:

3100 Obligated balance, start of year	55	60	47
3200 Obligated balance, end of year	60	47	39

Budget authority and outlays, net:

Discretionary:

Budget authority, gross

4000 Budget authority, gross	41	42	43
Outlays, gross:			
4010 Outlays from new discretionary authority	7	14	14
4011 Outlays from discretionary balances	25	39	35
4020 Outlays, gross (total)	32	53	49
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-2	-2
4040 Offsets against gross budget authority and outlays (total)		-2	-2
4180 Budget authority, net (total)	41	40	41
4190 Outlays, net (total)	32	51	47

Funding requested in the Railroad Research and Development Program is focused on improving railroad safety. It provides scientific and engineering support for the Federal Railroad Administration's rail safety enforcement and rulemaking efforts. It also identifies and develops emerging technologies for the rail industry to adopt voluntarily. The outcomes of the research and development reduce accidents and incidents. In addition to improving safety, the program contributes significantly towards activities to achieve and maintain a state of good repair and promote job creation and economic growth.

The program focuses on the following areas of research:

Track Program.—Reducing derailments due to track related causes.

Rolling Stock Program.—Reducing derailments due to equipment failures, to minimize the consequences of derailments, and to minimize hazardous material releases.

Train Control and Communication.—Reducing train to train collisions and train collisions with objects on the line and at grade crossings.

Human Factors Program.—Reducing accidents caused by human error.

Railroad System Issues Program.—Prioritizing Research and Development projects on the basis of relevance to safety risk reduction and other DOT goals.

Object Classification (in millions of dollars)

Identification code 069-0745-0-1-401	2019 actual	2020 est.	2021 est.
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Direct obligations:

25.1 Advisory and assistance services	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	32	33	34
41.0 Grants, subsidies, and contributions	3	3	3
99.0 Direct obligations	39	40	41
99.9 Total new obligations, unexpired accounts	39	40	41

RESTORATION AND ENHANCEMENT

For necessary expenses related to Restoration and Enhancement Grants, as authorized by section 24408 of title 49, United States Code, \$2,000,000, to remain available until expended: *Provided*, That the Secretary may withhold up to one percent of the funds provided under this heading to fund the costs of award and project management and oversight. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0127-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Restoration and Enhancement Grants		5	20
0900 Total new obligations, unexpired accounts (object class 41.0)		5	20
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	30	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	2	
1930 Total budgetary resources available	30	32	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	27	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			3
3010 New obligations, unexpired accounts		5	20
3020 Outlays (gross)		-2	-1
3050 Unpaid obligations, end of year		3	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			3
3200 Obligated balance, end of year		3	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	2	
Outlays, gross:			
4010 Outlays from new discretionary authority		2	
4011 Outlays from discretionary balances			1
4020 Outlays, gross (total)		2	1
4180 Budget authority, net (total)	5	2	
4190 Outlays, net (total)		2	1

Restoration and Enhancement Grants provide operating assistance to initiate, restore, or enhance intercity passenger rail transportation. The program limits assistance to three years per route and no more than six grants may be simultaneously active. Eligible recipients include States; local governments; Amtrak or other rail carriers that provide intercity passenger rail service; and any rail carrier in partnership with another eligible public-sector applicant. No new funding is requested for FY 2021.

NATIONAL NETWORK TRANSFORMATION GRANTS

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of multi-year surface transportation authorization language, for necessary expenses related to National Network Transformation Grants, \$550,000,000, to remain available until expended: *Provided*, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of National Network Transformation Grants.

Program and Financing (in millions of dollars)

Identification code 069-1130-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Network Transformation			550
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			550

1930 Total budgetary resources available			550
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			550
3020 Outlays (gross)			-550
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			550
Outlays, gross:			
4010 Outlays from new discretionary authority			550
4180 Budget authority, net (total)			550
4190 Outlays, net (total)			550

The Administration is proposing to authorize a new National Network Transformation Grants program as part of its 10-year surface transportation reauthorization proposal. The National Network Transformation Grants program will provide Federal operating support for long distance routes, offering transition assistance to states as they assume control over their regional corridor services. This schedule reflects the 2021 request for the National Network Transformation Grants program.

Object Classification (in millions of dollars)

Identification code 069-1130-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			5
41.0 Grants, subsidies, and contributions			545
99.9 Total new obligations, unexpired accounts			550

MAGNETIC LEVITATION TECHNOLOGY DEPLOYMENT PROGRAM

For necessary expenses related to the deployment of magnetic levitation transportation projects, consistent with language in section 1307(a) through (c) of Public Law 109-59, as amended by section 102 of Public Law 110-244 (section 322 of title 23, United States Code), \$2,000,000, to remain available until expended. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0129-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Magnetic Levitation Technology Deployment			10
0900 Total new obligations, unexpired accounts (object class 41.0)			10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		10	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	2	
1930 Total budgetary resources available	10	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	12	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			10
3050 Unpaid obligations, end of year			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	2	
4180 Budget authority, net (total)	10	2	
4190 Outlays, net (total)			

The Magnetic Levitation Technology Deployment Program provides grants to states to fund eligible capital costs and preconstruction planning activities that support the deployment of magnetic levitation (maglev)

MAGNETIC LEVITATION TECHNOLOGY DEPLOYMENT PROGRAM—Continued
transportation projects. No new funds are requested for this account for 2021.

PENNSYLVANIA STATION REDEVELOPMENT PROJECT

Program and Financing (in millions of dollars)

Identification code 069-0723-0-1-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	5	2
3020 Outlays (gross)	-15	-3	-2
3050 Unpaid obligations, end of year	5	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	5	2
3200 Obligated balance, end of year	5	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	15	3	2
4180 Budget authority, net (total)
4190 Outlays, net (total)	15	3	2

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building as Moynihan Station. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, FRA received an advance appropriation of \$20 million for 2001, 2002, and 2003. In 2001, the Congress specified that the \$20 million advance appropriation for the Farley Building be used exclusively for fire and life safety initiatives. In 2016, \$40 million was transferred from the Federal Transit Administration's Hurricane Sandy funding into this account for risk reduction projects at Moynihan Station. No new funds are requested for this account in 2021.

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-0704-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0007 Capital And Debt Grant Sandy Mitigation	14	31
0900 Total new obligations, unexpired accounts (object class 41.0)	14	31
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	45	31
1930 Total budgetary resources available	45	45	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	45	31
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14
3010 New obligations, unexpired accounts	14	31
3020 Outlays (gross)	-3
3050 Unpaid obligations, end of year	14	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14
3200 Obligated balance, end of year	14	42
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3
4180 Budget authority, net (total)
4190 Outlays, net (total)	3

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for-profit corporation. Amtrak is not an agency or instrument of the U.S. Government, although, since the railroad's creation FRA has provided annual grants for operating, capital, and debt service costs.

Prior to 2006, FRA received annual appropriations in this account for grants to Amtrak. Since then, several one-time appropriations or funding transfers have been directed to this account, including \$1.3 billion in funds under the American Recovery and Reinvestment Act of 2009; \$112 million from the Disaster Relief Appropriations Act of 2013 (P.L. 113-2) for recovery efforts from super storm Sandy; \$185 million transfer from the Federal Transit Administration for the Hudson Yards disaster resiliency project in New York City; and a \$13 million transfer from the Federal Transit Administration for the Metropolitan Transportation Authority/Long Island Rail Road's River to River Rail Resiliency project in New York City. No new funds are requested for this account in 2021.

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-0125-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-10
1930 Total budgetary resources available	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2
3020 Outlays (gross)	-2	-2
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	-10
Outlays, gross:			
4011 Outlays from discretionary balances	2	2
4180 Budget authority, net (total)	-10
4190 Outlays, net (total)	2	2

From 2006 to 2016, the Federal Railroad Administration received appropriations to this account to make grants to the National Railroad Passenger Corporation (Amtrak) for capital investments and debt service assistance. The FAST Act authorized two new appropriations accounts for Amtrak—Northeast Corridor grants and National Network grants—which first received funding in 2017. No new funds are requested for this account in 2021.

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-1775-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants for National Network	1,241	1,250
0002 Management Oversight	8	7	3
0003 State-Supported Route Committee	2
0004 Americans with Disabilities Act (ADA)	42	42
0900 Total new obligations, unexpired accounts	1,291	1,301	3

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	8	9	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,292	1,300
1930	Total budgetary resources available	1,300	1,309	8
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	9	8	5

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5	8	6
3010	New obligations, unexpired accounts	1,291	1,301	3
3020	Outlays (gross)	-1,288	-1,303	-7
3050	Unpaid obligations, end of year	8	6	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	8	6
3200	Obligated balance, end of year	8	6	2

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	1,292	1,300
Outlays, gross:				
4010	Outlays from new discretionary authority	1,285	1,297
4011	Outlays from discretionary balances	3	6	7
4020	Outlays, gross (total)	1,288	1,303	7
4180	Budget authority, net (total)	1,292	1,300
4190	Outlays, net (total)	1,288	1,303	7

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,292	1,300
Outlays	1,288	1,303	7
Legislative proposal, not subject to PAYGO:			
Budget Authority	611
Outlays	609
Total:			
Budget Authority	1,292	1,300	611
Outlays	1,288	1,303	616

The Fixing America's Surface Transportation Act authorized two new appropriations accounts for the National Railroad Passenger Corporation (Amtrak)—Northeast Corridor Grants and National Network Grants. Funds for the National Network Grants to the National Railroad Passenger Corporation account provide capital, operating, and debt service funding for Amtrak activities related to the National Network, which includes Amtrak's State-Supported services, Long Distance services, and other Amtrak costs not allocated to the Northeast Corridor. Amtrak began receiving its annual appropriations from the Congress under this account structure in 2017. The 2021 Budget proposes funding operating costs for Long Distance routes through the National Network Transformation Grants program as part of a proposal to restructure the Long Distance Network.

The Administration is proposing to authorize the National Network Grants to the National Railroad Passenger Corporation as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$7.3 billion for the program over 10-years, including \$611 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1775-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1
12.1	Civilian personnel benefits	1	1
25.1	Advisory and assistance services	6	5	3
41.0	Grants, subsidies, and contributions	1,283	1,294
99.9	Total new obligations, unexpired accounts	1,291	1,301	3

Employment Summary

Identification code 069-1775-0-1-401	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	10	12

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Legislative proposal, not subject to PAYGO)

[To enable the Secretary of Transportation to make grants to] *Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses related to the National Railroad Passenger Corporation for activities associated with the National Network, [as authorized by section 11101(b) of the Fixing America's Surface Transportation Act (division A of Public Law 114-94), \$1,300,000,000]* \$611,000,000, to remain available until expended: *Provided*, That the Secretary may retain up to an additional \$2,000,000 of the funds provided under this heading to fund expenses associated with the State-Supported Route Committee established under section 24712 of title 49, United States Code: *Provided further*, That at least \$50,000,000 of the amount provided under this heading shall be available for the development, installation and operation of railroad safety technology, including the implementation of a positive train control system, on State-supported routes as defined under section 24102(13) of title 49, United States Code, on which positive train control systems are not required by law or regulation: *Provided further*, That none of the funds provided under this heading shall be used by Amtrak to give notice under subsection (a) or (b) of section 24706 of title 49, United States Code, with respect to long-distance routes (as defined in section 24102 of title 49, United States Code) on which Amtrak is the sole operator on a host railroad's line and a positive train control system is not required by law or regulation, or, except in an emergency or during maintenance or construction outages impacting such routes, to otherwise discontinue, reduce the frequency of, suspend, or substantially alter the route of rail service on any portion of such route operated in fiscal year 2018, including implementation of service permitted by section 24305(a)(3)(A) of title 49, United States Code, in lieu of rail service. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Surface transportation reauthorization activities	609
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Budgetary resources:

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	611
1930	Total budgetary resources available	611
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts	609
3020	Outlays (gross)	-609

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	611
Outlays, gross:				
4010	Outlays from new discretionary authority	609
4180	Budget authority, net (total)	611
4190	Outlays, net (total)	609

The Administration is proposing to authorize the National Network Grants to the National Railroad Passenger program as part of its 10-year surface transportation reauthorization proposal. This program provides funding for capital, operating, and debt service funding for Amtrak activities related to the National Network. This schedule reflects the 2021 request for the National Network Grants to the National Railroad Passenger program.

Object Classification (in millions of dollars)

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Object Classification—Continued

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.
41.0 Grants, subsidies, and contributions			608
99.9 Total new obligations, unexpired accounts			609

Employment Summary

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			7

NORTHEAST CORRIDOR GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-1774-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants for Northeast Corridor	635	684	
0002 Management Oversight		3	3
0003 Northeast Corridor Commission	4	5	
0004 Americans with Disabilities Act (ADA)	8	8	
0900 Total new obligations, unexpired accounts	647	700	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	650	700	
1930 Total budgetary resources available	661	714	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	1
3010 New obligations, unexpired accounts	647	700	3
3020 Outlays (gross)	-646	-702	-4
3050 Unpaid obligations, end of year	3	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	1
3200 Obligated balance, end of year	3	1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	650	700	
Outlays, gross:			
4010 Outlays from new discretionary authority	642	698	
4011 Outlays from discretionary balances	4	4	4
4020 Outlays, gross (total)	646	702	4
4180 Budget authority, net (total)	650	700	
4190 Outlays, net (total)	646	702	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	650	700	
Outlays	646	702	4
Legislative proposal, not subject to PAYGO:			
Budget Authority			325
Outlays			324
Total:			
Budget Authority	650	700	325
Outlays	646	702	328

The Fixing America's Surface Transportation Act authorized two new appropriations accounts for the National Railroad Passenger Corporation (Amtrak)—Northeast Corridor Grants and National Network Grants. Funds for the Northeast Corridor Grants to the National Railroad Passenger Cor-

poration account provide capital, operating, and debt service funding for Amtrak activities related to the Northeast Corridor. Amtrak began receiving its annual appropriations from Congress under this account structure in 2017. The Administration is proposing to authorize the Northeast Corridor Grants to the National Railroad Passenger Corporation as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$3.9 billion for the program over 10-years, including \$325 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1774-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services		3	3
41.0 Grants, subsidies, and contributions	647	697	
99.9 Total new obligations, unexpired accounts	647	700	3

NORTHEAST CORRIDOR GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Legislative proposal, not subject to PAYGO)

[To enable the Secretary of Transportation to make grants to] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses related to the National Railroad Passenger Corporation for activities associated with the Northeast Corridor, [as authorized by section 11101(a) of the Fixing America's Surface Transportation Act (division A of Public Law 114-94), \$700,000,000] \$325,466,000, to remain available until expended: Provided, That the Secretary may retain up to one-half of 1 percent of the funds provided under both this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading to fund the costs of project management and oversight of activities [authorized by section 11101(c) of division A of Public Law 114-94: Provided further, That in addition to the project management oversight funds authorized under section 11101(c) of division A of Public Law 114-94, the Secretary may retain up to an additional \$5,000,000 of the funds provided under this heading to fund expenses associated with the Northeast Corridor Commission established under section 24905 of title 49, United States Code: Provided further, That of the amounts made available under this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading, not less than \$50,000,000 shall be made available to bring Amtrak-served facilities and stations into compliance with the Americans with Disabilities Act: Provided further, That of the amounts made available under this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading, \$100,000,000 shall be made available to fund the replacement of the single-level passenger cars used on Northeast Corridor, State Supported Corridor, and Long Distance routes]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1774-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Surface transportation reauthorization activities			324
0900 Total new obligations, unexpired accounts (object class 41.0)			324
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			325
1930 Total budgetary resources available			325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			324
3020 Outlays (gross)			-324
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			325

Outlays, gross:		
4010 Outlays from new discretionary authority	324	
4180 Budget authority, net (total)	325	
4190 Outlays, net (total)	324	

The Administration is proposing to authorize the Northeast Corridor Grants to the National Railroad Passenger program as part of its 10-year surface transportation reauthorization proposal. The program provides funding for activities related to the Northeast Corridor. This schedule reflects the 2021 request for the Northeast Corridor Grants to the National Railroad Passenger program.

INTERCITY PASSENGER RAIL GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0715-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-10
1930 Total budgetary resources available	10	10	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	13	12	2
3020 Outlays (gross)	-1	-10	-1
3050 Unpaid obligations, end of year	12	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13	12	2
3200 Obligated balance, end of year	12	2	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-10
Outlays, gross:			
4011 Outlays from discretionary balances	1	10	1
4180 Budget authority, net (total)			-10
4190 Outlays, net (total)	1	10	1

This competitive grant program encourages State participation in passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under 23 U.S.C. 135. Additionally, the specific project must be on the Statewide Transportation Improvement Plan at the time of application.

No new funds are requested for this account for 2021.

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE

Program and Financing (in millions of dollars)

Identification code 069-0719-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Capital Assistance High-Speed Rail Corridors and IPR Service Oversight	1		
0006 Capital Assistance High-Speed Rail Corridors and IPR Service Planning Activities		1	
0900 Total new obligations, unexpired accounts (object class 25.1)	1	1	

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	986	985
1021 Recoveries of prior year unpaid obligations	931		
1050 Unobligated balance (total)	987	986	985
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-55
1930 Total budgetary resources available	987	986	930
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	986	985	930

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,273	317	232
3010 New obligations, unexpired accounts	1	1	
3020 Outlays (gross)	-26	-86	-62
3040 Recoveries of prior year unpaid obligations, unexpired	-931		
3050 Unpaid obligations, end of year	317	232	170
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,273	317	232
3200 Obligated balance, end of year	317	232	170

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-55
Outlays, gross:			
4011 Outlays from discretionary balances	26	86	62
4180 Budget authority, net (total)			-55
4190 Outlays, net (total)	26	86	62

Through this program, FRA provides capital grants to States to invest and improve intercity passenger rail service, including the development of new high-speed rail capacity. Activity in this account includes the \$8 billion provided by the American Recovery and Reinvestment Act of 2009 and an additional \$2.1 billion provided in subsequent enacted appropriations. No new funds are requested for this account for 2021.

NEXT GENERATION HIGH-SPEED RAIL

Program and Financing (in millions of dollars)

Identification code 069-0722-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-3
1930 Total budgetary resources available	3	3	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	
3020 Outlays (gross)		-1	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-3
Outlays, gross:			
4011 Outlays from discretionary balances		1	
4180 Budget authority, net (total)			-3
4190 Outlays, net (total)		1	

The Next Generation High-Speed Rail Program funds research, development, technology demonstration programs, and the planning and analysis

NEXT GENERATION HIGH-SPEED RAIL—Continued

required to evaluate high speed rail technology proposals. No new funds are requested for this account for 2021.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0123-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Northeast Corridor Improvement Program	1		
0900 Total new obligations, unexpired accounts (object class 42.0)	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1930 Total budgetary resources available	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	19	10
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)	-1	-9	-10
3050 Unpaid obligations, end of year	19	10	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	19	10
3200 Obligated balance, end of year	19	10	
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	9	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	9	10

Prior to 2001, this program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, District of Columbia, and Boston, Massachusetts. For 2016, \$19 million was provided for grants to Amtrak for shared use infrastructure on the Northeast Corridor identified in the Northeast Corridor Infrastructure and Operations Advisory Commission's five year capital plan. No new funds are requested for this account for 2021.

RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0716-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail line relocation		1	
0900 Total new obligations, unexpired accounts (object class 41.0)		1	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	14	13
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-13
1930 Total budgetary resources available	14	14	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	13	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	2
3010 New obligations, unexpired accounts		1	
3020 Outlays (gross)		-2	-1
3050 Unpaid obligations, end of year	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	2
3200 Obligated balance, end of year	3	2	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			-13
Outlays, gross:			
4011 Outlays from discretionary balances	2		1
4180 Budget authority, net (total)			-13
4190 Outlays, net (total)	2		1

The Rail Line Relocation and Improvement program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. The program was repealed by the Fixing America's Surface Transportation (FAST) Act; however, the project eligibilities are included under the Consolidated Rail Infrastructure and Safety Improvements program. No new funds are requested for this account for 2021.

RAIL SAFETY TECHNOLOGY PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0701-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1	1	1
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-1
1930 Total budgetary resources available	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3040 Recoveries of prior year unpaid obligations, unexpired		-1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-1
4180 Budget authority, net (total)			-1
4190 Outlays, net (total)			

The Railroad Safety Technology Program is a competitive grant program for the deployment of train control technologies to passenger and freight rail carriers, railroad suppliers, and State and local governments.

No new funds are requested for this account for 2021.

FEDERAL-STATE PARTNERSHIP FOR STATE OF GOOD REPAIR

For necessary expenses related to Federal-State Partnership for State of Good Repair Grants as authorized by section 24911 of title 49, United States Code, \$200,000,000, to remain available until expended: *Provided*, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of grants carried out under section 24911 of title 49, United States Code: *Provided further*, That the Secretary shall issue the Notice of Funding Opportunity for funds provided under this heading consistent with section 24911 of title 49, United States Code, no later than 180 days after enactment of this Act: *Provided further*, That the Secretary shall review all applications received in response to the Notice of Funding Opportunity required in the previous proviso: *Provided further*, That the Secretary shall announce the selection of projects to receive awards for the funds described in the previous two provisos no later than 1 year of enactment of this Act. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-2810-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal-State Partnership for State of Good Repair Grants		249	403

0002	Federal-State Partnership for State of Good Repair Oversight	1	1	2
0900	Total new obligations, unexpired accounts	1	250	405
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	275	674	624
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	400	200
1930	Total budgetary resources available	675	874	624
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	674	624	219
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	250
3010	New obligations, unexpired accounts	1	250	405
3020	Outlays (gross)	-1	-127
3050	Unpaid obligations, end of year	1	250	528
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	250
3200	Obligated balance, end of year	1	250	528
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	400	200
Outlays, gross:				
4011	Outlays from discretionary balances	1	127
4180	Budget authority, net (total)	400	200
4190	Outlays, net (total)	1	127

The Federal-State Partnership for State of Good Repair program is intended to reduce the state of good repair backlog on publicly-owned or Amtrak-owned infrastructure, equipment and facilities. Eligible activities include capital projects to 1) replace existing assets in-kind or with assets that increase capacity or service levels; 2) ensure that service can be maintained while existing assets are brought into a state of good repair; and 3) bring existing assets into a state of good repair. Eligible recipients include states, local governments and Amtrak. The program was authorized in 2015 by the Fixing America's Surface Transportation Act. No new funds are requested for this account for 2021.

Object Classification (in millions of dollars)

Identification code 069-2810-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	1	1	2
41.0	Grants, subsidies, and contributions	249	403
99.9	Total new obligations, unexpired accounts	1	250	405

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS

Program and Financing (in millions of dollars)

Identification code 069-2811-0-1-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Consolidated Rail Infrastructure and Safety Improvements Grants	33	541	416
0002	Consolidated Rail Infrastructure and Safety Improvements Oversight	1	4	4
0900	Total new obligations, unexpired accounts	34	545	420
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	661	772	552
1010	Unobligated balance transfer to other accts [069-2812]	-110
1050	Unobligated balance (total)	551	772	552
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	255	325
1930	Total budgetary resources available	806	1,097	552
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	772	552	132

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	28	559
3010	New obligations, unexpired accounts	34	545	420
3020	Outlays (gross)	-6	-14	-112
3050	Unpaid obligations, end of year	28	559	867
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	28	559
3200	Obligated balance, end of year	28	559	867

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	255	325
Outlays, gross:				
4011	Outlays from discretionary balances	6	14	112
4180	Budget authority, net (total)	255	325
4190	Outlays, net (total)	6	14	112

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	255	325
Outlays	6	14	112
Legislative proposal, not subject to PAYGO:			
Budget Authority	330
Total:			
Budget Authority	255	325	330
Outlays	6	14	112

Consolidated Rail Infrastructure and Safety Improvements are intended to improve the safety, efficiency, and reliability of passenger and freight rail systems. Eligible activities include a wide range of freight and passenger rail capital, planning, environmental analyses, research, workforce development and training projects. Eligible recipients include States; local governments; Class II and Class III railroads; holding companies of Class II and Class III railroads; Amtrak and other intercity passenger rail operators; rail carriers and equipment manufacturers that partner with an eligible public-sector applicant; the Transportation Research Board; University Transportation Centers; and non-profit rail labor organizations.

The Administration is proposing to authorize the Consolidated Rail Infrastructure and Safety Improvements program as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$3.9 billion for the program over 10-years, including \$330 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-2811-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	1	4	4
41.0	Grants, subsidies, and contributions	33	541	416
99.9	Total new obligations, unexpired accounts	34	545	420

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses related to Consolidated Rail Infrastructure and Safety Improvements Grants, as authorized by section 22907 of title 49, United States Code, **[\$325,000,000]** \$330,000,000, to remain available until expended: *Provided*, **[**That section 22905(f) of title 49, United States Code, shall not apply to projects for the implementation of positive train control systems otherwise eligible under section 22907(c)(1) of title 49, United States Code: *Provided further*, That amounts available under this heading for projects selected for commuter rail passenger transportation may be transferred by the Secretary, after selection, to the appropriate agencies to be administered in accordance with chapter 53 of title 49, United States Code: *Provided further*, That the Secretary shall not limit eligible projects from consideration for funding for planning, engineering, environmental, construc-

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS—Continued
tion, and design elements of the same project in the same application: *Provided further*, That unobligated balances remaining after 4 years from the date of enactment may be used for any eligible project under section 22907(c) of title 49, United States Code: *Provided further*, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of grants carried out under section 22907 of title 49, United States Code: *Provided further*, That of the sums appropriated under this heading, \$45,000,000 shall be available for projects eligible under section 22907(c)(2) of title 49, United States Code, that require the acquisition of rights-of-way, track, or track structure to support the development of new intercity passenger rail service routes: *Provided further*, That for amounts available under this heading eligible recipients under section 22907(b) of title 49, United States Code, shall include any holding company of a Class II railroad or Class III railroad (as those terms are defined in section 20102 of title 49, United States Code): *Provided further*, That the Secretary shall issue the Notice of Funding Opportunity that encompasses funds provided under this heading in this Act no later than 120 days after enactment of this Act and announce the selection of projects to receive awards for such funds no later than 300 days after the enactment of this Act: *Provided further*, That the Notice of Funding Opportunity under the previous proviso shall require application submissions 60 days after the publishing of such Notice]. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069–2811–2–1–401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100			330
1930			330
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			330
Unexpired unobligated balance, end of year			
Budget authority and outlays, net:			
Discretionary:			
4000			330
4180			330
4190			
Budget authority, gross			
Budget authority, net (total)			
Outlays, net (total)			

The Administration is proposing to authorize the Consolidated Rail Infrastructure and Safety Improvements as part of its 10-year surface transportation reauthorization proposal. This program provides grants to improve the safety, efficiency, and reliability of passenger and freight rail systems. This schedule reflects the 2021 request for the Consolidated Rail Infrastructure and Safety Improvements program.

ADMINISTRATIVE PROVISIONS—FEDERAL RAILROAD ADMINISTRATION

SEC. 150. None of the funds provided to the National Railroad Passenger Corporation may be used to fund any overtime costs in excess of \$35,000 for any individual employee: *Provided*, That the President of Amtrak may waive the cap set in the previous proviso for specific employees when the President of Amtrak determines such a cap poses a risk to the safety and operational efficiency of the system: *Provided further*, That the President of Amtrak shall report to the House and Senate Committees on Appropriations within 60 days of enactment of this Act, a summary of all overtime payments incurred by the Corporation for [2019] 2020 and the three prior calendar years: *Provided further*, That such summary shall include the total number of employees that received waivers and the total overtime payments the Corporation paid to those employees receiving waivers for each month for [2019] 2020 and for the three prior calendar years.

[SEC. 151. None of the funds provided to the National Railroad Passenger Corporation under the headings "Northeast Corridor Grants to the National Railroad Passenger Corporation" and "National Network Grants to the National Railroad Passenger Corporation" may be used to reduce the total number of Amtrak Police Department uniformed officers patrolling on board passenger trains or at stations, facilities or rights-of-way below the staffing level on May 1, 2019.]

[SEC. 152. It is the sense of Congress that—

(1) long-distance passenger rail routes provide much-needed transportation access for 4,700,000 riders in 325 communities in 40 States and are particularly important in rural areas; and

(2) long-distance passenger rail routes and services should be sustained to ensure connectivity throughout the National Network (as defined in section 24102 of title 49, United States Code).]

[SEC. 153. None of the funds made available by this Act may be used by the National Railroad Passenger Corporation in contravention of the Worker Adjustment and Retraining Notification Act (29 U.S.C. 2101 et seq.).]

SEC. 151. RAILROAD SAFETY USER FEES. Section 20155 of title 49, United States Code, is amended—

(1) in subsection (a) by inserting "rail safety" before "fees for railroad carriers"; and by striking "The fees—" and all that follows through the period at the end and inserting "The fees shall be imposed fairly on railroad carriers, in reasonable relationship to appropriate criteria to be developed by the Secretary."; and

(2) by striking subsections (c), (d), and (e) and inserting the following new subsection:

"(c) COLLECTION, DEPOSIT, AND USE.—

"(1) Fees collected under this section shall be deposited in the Federal Railroad Administrations Safety and Operations account as offsetting collections.

"(2) Such fees shall be collected and available to the extent provided in appropriations acts."

SEC. 152. Of the unobligated balances of funds remaining from—

(a) "Capital and Debt Service Grants to the National Railroad Passenger Corporation" accounts totaling \$10,414,449.82 appropriated by the following Public Laws are hereby permanently cancelled:

- (1) Public Law 112–10 a total of \$289,234.48;
- (2) Public Law 112–55 a total of \$4,760,000.00;
- (3) Public Law 113–76 a total of \$792,502.52;
- (4) Public Law 113–235 a total of \$1,698,806.61;
- (5) Public Law 114–113 a total of \$2,873,906.21;

(b) "Railroad Safety Technology Program" account totaling \$613,252 appropriated by Public Law 111–117 is hereby permanently cancelled;

(c) "Capital Assistance to States - Intercity Passenger Rail Service" account totaling \$9,867,630 appropriated by Public Law 111–8 is hereby permanently cancelled;

(d) "Rail Line Relocation and Improvement Program" accounts totaling \$12,650,365.14 appropriated by the following Public Laws are hereby permanently cancelled:

- (1) Public Law 110–161 a total of \$923,214.63;
- (2) Public Law 111–8 a total of \$5,558,233.95;
- (3) Public Law 111–117 a total of \$3,763,767.95;
- (4) Public Law 112–10 a total of \$2,405,148.61;

(e) "Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service" account totaling \$55,363,710 appropriated by Public Law 111–117 is hereby permanently cancelled; and

(f) "Next Generation High-Speed Rail" accounts totaling \$3,019,483.21 appropriated by the following Public Laws are hereby permanently cancelled:

- (1) Public Law 104–50 a total of \$610,807.00;
- (2) Public Law 104–205 a total of \$5,963.71;
- (3) Public Law 105–66 a total of \$1,218,742.47;
- (4) Public Law 105–277 a total of \$17,097.00;
- (5) Public Law 106–69 a total of \$1,005,969.00;
- (6) Public Law 108–7 a total of \$43,951.57;
- (7) Public Law 108–199 a total of \$24,263.48;
- (8) Public Law 108–447 a total of \$92,688.98.

(Department of Transportation Appropriations Act, 2020.)

FEDERAL TRANSIT ADMINISTRATION

The 2021 Budget Request of \$13.2 billion provides grant funding to State and local governments, public and private transit operators, and other recipients to enhance public transportation across the United States. Grant programs fund the construction of new public transit systems, purchase and maintain transit vehicles and equipment, subsidize limited public transit operations, support regional transportation planning efforts, and improve technology and service methods critical to the delivery of public transportation.

The table below reflects the budget authority requested for FTA programs.

[In millions of dollars]

	2019 Actual	2020 Enacted	2021 Request
Budget Authority:			
Transit Formula Grants (TF)	9,939	10,150	11,046
Capital Investment Grants (GF)	2,553	1,978	1,889
Administrative Expenses (GF)	113	117	121
Transit Research (Reclassified) (GF)	0	0	8
Technical Assistance and Training (GF)	5	5	0
Transit Infrastructure Grants (GF)	700	510	0
Washington Metropolitan Area Transit Authority (GF)	150	150	150
Public Transportation Emergency Relief Program (GF)	10	0	0
Total Budget Authority	13,471	12,910	13,214
Total Discretionary	3,532	2,760	2,168
Total Mandatory	9,939	10,150	11,046

Federal Funds
ADMINISTRATIVE EXPENSES

Program and Financing (in millions of dollars)

Identification code 069-1120-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	107	112
0002 Transit Safety Oversight	5	4
0003 Transit Asset Management	1	1
0900 Total new obligations, unexpired accounts	113	117
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	113	117
1930 Total budgetary resources available	113	117
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	15	6
3010 New obligations, unexpired accounts	113	117
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-114	-126	-6
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	15	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	15	6
3200 Obligated balance, end of year	15	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	113	117
Outlays, gross:			
4010 Outlays from new discretionary authority	101	111
4011 Outlays from discretionary balances	13	15	6
4020 Outlays, gross (total)	114	126	6
4180 Budget authority, net (total)	113	117
4190 Outlays, net (total)	114	126	6

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	113	117
Outlays	114	126	6
Legislative proposal, not subject to PAYGO:			
Budget Authority	121
Outlays	115
Total:			
Budget Authority	113	117	121
Outlays	114	126	121

The Federal Transit Administration's (FTA) Administrative Expenses appropriation provides resources for salaries, benefits, and administrative expenses to carry out the Agency's stewardship of over \$13 billion in Federal funds. As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$1.438 billion for the Administrative Expenses appropriation over 10-years, including \$121 million for

2021, a 3 percent increase from FY 2020. This schedule reflects 2019 actual and 2020 enacted program and financial information for the Administrative Expenses appropriation. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months, the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1120-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	58	60
11.3 Other than full-time permanent	1	1
11.5 Other personnel compensation	1	1
11.9 Total personnel compensation	60	62
12.1 Civilian personnel benefits	19	20
21.0 Travel and transportation of persons	2	1
23.1 Rental payments to GSA	8	9
23.3 Communications, utilities, and miscellaneous charges	1	1
25.2 Other services from non-Federal sources	1	1
25.3 Other goods and services from Federal sources	17	18
25.7 Operation and maintenance of equipment	4	4
31.0 Equipment	1	1
99.9 Total new obligations, unexpired accounts	113	117

Employment Summary

Identification code 069-1120-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	499	509

ADMINISTRATIVE EXPENSES

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, [\$117,000,000, of which \$15,000,000 shall] \$121,052,000, to remain available until September 30, [2021, and] 2022, of which up to \$1,000,000 shall be available to carry out the provisions of section 5326 of such title [; Provided, That upon submission to the Congress of the fiscal year 2021 President's budget, the Secretary of Transportation shall transmit to Congress the annual report on Capital Investment Grants, including proposed allocations for fiscal year 2021]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1120-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Surface Transportation Reauthorization Activities	121
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	121
1930 Total budgetary resources available	121
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	121
3020 Outlays (gross)	-115
3050 Unpaid obligations, end of year	6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	121
Outlays, gross:			
4010 Outlays from new discretionary authority	115
4180 Budget authority, net (total)	121
4190 Outlays, net (total)	115

These resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal for the Administrative Ex-

ADMINISTRATIVE EXPENSES—Continued

penses appropriation. The Administrative Expenses appropriation provides resources for salaries, benefits, and administrative expenses to carry out the Agency's stewardship of over \$13 billion in Federal funds. This schedule reflects the 2021 request for the Administrative Expenses appropriation.

Object Classification (in millions of dollars)

Identification code 069-1120-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			63
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			65
12.1 Civilian personnel benefits			21
21.0 Travel and transportation of persons			1
23.1 Rental payments to GSA			9
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services from non-Federal sources			1
25.3 Other goods and services from Federal sources			18
25.7 Operation and maintenance of equipment			4
31.0 Equipment			1
99.9 Total new obligations, unexpired accounts			121

Employment Summary

Identification code 069-1120-2-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			516

JOB ACCESS AND REVERSE COMMUTE GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1125-0-1-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Activities have not been funded in the Job Access and Reverse Commute Grants account since 2005.

GRANTS TO THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110-432, \$150,000,000, to remain available until expended: *Provided*, That the Secretary of Transportation shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: *Provided further*, That the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system before approving such grants: *Provided further*, That the Secretary, in order to ensure safety throughout the rail system, may waive the requirements of section 601(e)(1) of division B of Public Law 110-432. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1128-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Washington Metropolitan Area Transit Authority	148	149	149
0002 Oversight		1	1

0900 Total new obligations, unexpired accounts	148	150	150
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	150	150	150
1930 Total budgetary resources available	153	155	155
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	167	156	197
3010 New obligations, unexpired accounts	148	150	150
3020 Outlays (gross)	-159	-109	-114
3050 Unpaid obligations, end of year	156	197	233
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	167	156	197
3200 Obligated balance, end of year	156	197	233
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	150	150	150
Outlays, gross:			
4010 Outlays from new discretionary authority	10	38	38
4011 Outlays from discretionary balances	149	71	76
4020 Outlays, gross (total)	159	109	114
4180 Budget authority, net (total)	150	150	150
4190 Outlays, net (total)	159	109	114

Funding for the Washington Metropolitan Area Transit Authority helps address its reinvestment and maintenance backlog to improve the safety and reliability of service.

Object Classification (in millions of dollars)

Identification code 069-1128-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources		1	1
41.0 Grants, subsidies, and contributions	148	149	149
99.9 Total new obligations, unexpired accounts	148	150	150

FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1129-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	3	3
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	50	3	3
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-47		-2
1900 Budget authority (total)	-47		-2
1930 Total budgetary resources available	3	3	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	57	47	2
3020 Outlays (gross)	-9	-45	
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	47	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	55	45	

3200	Obligated balance, end of year	45
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	-47	-2
Outlays, gross:				
4011	Outlays from discretionary balances	9	45
4180	Budget authority, net (total)	-47	-2
4190	Outlays, net (total)	9	45

This schedule shows obligations and outlays of formula grant program funding made available in fiscal years prior to 2006.

CAPITAL INVESTMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1134-0-1-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Capital Investment Grant	2,238	1,958	1,708
0003	Oversight	15	20
0799	Total direct obligations	2,253	1,978	1,708
0900	Total new obligations, unexpired accounts	2,253	1,978	1,708
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,449	3,761	3,761
1021	Recoveries of prior year unpaid obligations	3
1033	Recoveries of prior year paid obligations	9
1050	Unobligated balance (total)	3,461	3,761	3,761
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,553	1,978
1930	Total budgetary resources available	6,014	5,739	3,761
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3,761	3,761	2,053
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,600	3,015	2,670
3010	New obligations, unexpired accounts	2,253	1,978	1,708
3020	Outlays (gross)	-1,835	-2,323	-2,090
3040	Recoveries of prior year unpaid obligations, unexpired	-3
3050	Unpaid obligations, end of year	3,015	2,670	2,288
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2,600	3,015	2,670
3200	Obligated balance, end of year	3,015	2,670	2,288
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,553	1,978
Outlays, gross:				
4010	Outlays from new discretionary authority	246	574
4011	Outlays from discretionary balances	1,589	1,749	2,090
4020	Outlays, gross (total)	1,835	2,323	2,090
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources:	-9
4040	Offsets against gross budget authority and outlays (total) ...	-9
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts	9
4070	Budget authority, net (discretionary)	2,553	1,978
4080	Outlays, net (discretionary)	1,826	2,323	2,090
4180	Budget authority, net (total)	2,553	1,978
4190	Outlays, net (total)	1,826	2,323	2,090

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,553	1,978
Outlays	1,826	2,323	2,090
Legislative proposal, not subject to PAYGO:			
Budget Authority	1,889
Outlays	548

Total:	Budget Authority	2,553	1,978	1,889
	Outlays	1,826	2,323	2,638

The Capital Investment Grants program supports the construction of new fixed guideway systems or extensions to fixed guideways including, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, and streetcar systems. As part of the Administration's 10-year reauthorization proposal, the Budget requests \$22.5 billion for the Capital Investment Grant program over 10 years, including \$1.89 billion for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the Capital Investment Grant program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months, the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1134-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	15	20
41.0	Grants, subsidies, and contributions	2,238	1,958	1,708
99.0	Direct obligations	2,253	1,978	1,708
99.9	Total new obligations, unexpired accounts	2,253	1,978	1,708

Employment Summary

Identification code 069-1134-0-1-401	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	2	2

CAPITAL INVESTMENT GRANTS

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses to carry out fixed guideway capital investment grants under section 5309 of title 49, United States Code, and section 3005(b) of the Fixing America's Surface Transportation Act, [\$1,978,000,000] \$1,888,690,000, to remain available until [September 30, 2023: Provided, That of the amounts made available under this heading, \$1,681,300,000 shall be allocated by December 31, 2021: Provided further, That of the amounts made available under this heading, \$1,458,000,000 shall be available for projects authorized under section 5309(d) of title 49, United States Code, \$300,000,000 shall be available for projects authorized under section 5309(e) of title 49, United States Code, \$100,000,000 shall be available for projects authorized under section 5309(h) of title 49, United States Code, and \$100,000,000 shall be available for projects authorized under section 3005(b) of the Fixing America's Surface Transportation Act: Provided further, That the Secretary shall continue to administer the capital investment grants program in accordance with the procedural and substantive requirements of section 5309 of title 49, United States Code, and of section 3005(b) of the Fixing America's Surface Transportation Act: Provided further, That projects that receive a grant agreement under the Expedited Project Delivery for Capital Investment Grants Pilot Program under section 3005(b) of the Fixing America's Surface Transportation Act shall be deemed eligible for funding provided for projects under section 5309 of title 49, United States Code, without further evaluation or rating under such section: Provided further, That such funding shall not exceed the Federal share under section 3005(b)] expended. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1134-2-1-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0004	Surface Transportation Reauthorization Activities	945
0799	Total direct obligations	945
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,889

CAPITAL INVESTMENT GRANTS—Continued
Program and Financing—Continued

Identification code 069-1134-2-1-401	2019 actual	2020 est.	2021 est.
1930 Total budgetary resources available			1,889
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			944
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			945
3020 Outlays (gross)			-548
3050 Unpaid obligations, end of year			397
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			397
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,889
Outlays, gross:			
4010 Outlays from new discretionary authority			548
4180 Budget authority, net (total)			1,889
4190 Outlays, net (total)			548

These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal for the Capital Investment Program. The Capital Investment Grant program supports the construction of new fixed guideway systems or extensions to fixed guideways including, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, and street car systems. This schedule reflects the 2021 request for the CIG program.

Object Classification (in millions of dollars)

Identification code 069-1134-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			19
41.0 Grants, subsidies, and contributions			926
99.0 Direct obligations			945
99.9 Total new obligations, unexpired accounts			945

TRANSIT RESEARCH

Program and Financing (in millions of dollars)

Identification code 069-1137-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct Obligations	3	5	
0799 Total direct obligations	3	5	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	9	4
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	12	9	4
1930 Total budgetary resources available	12	9	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	53	26	2
3010 New obligations, unexpired accounts	3	5	
3020 Outlays (gross)	-29	-29	
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	26	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6	-6
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	47	20	-4
3200 Obligated balance, end of year	20	-4	-4

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	29	29	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	29	29	

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Outlays	29	29	
Legislative proposal, not subject to PAYGO:			
Budget Authority			8
Outlays			2
Total:			
Budget Authority			8
Outlays	29	29	2

Transit Research will provide funding to support artificial intelligence, machine learning, robotics, and integrated safety systems innovation. The Administration is proposing to authorize the Transit Research as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$95 million for the Transit Research over 10 years, including \$8 million for 2021. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1137-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources		2	
41.0 Grants, subsidies, and contributions	3	3	
99.0 Direct obligations	3	5	
99.9 Total new obligations, unexpired accounts	3	5	

TRANSIT RESEARCH

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of multi-year surface transportation authorization legislation, to carry out section 5312 of title 49, United States Code, \$8,000,000, to remain available until expended: Provided, That the amounts provided under this heading shall not be subject to any limitation on obligations for transit programs set forth in any Act.

Program and Financing (in millions of dollars)

Identification code 069-1137-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Surface Transportation Reauthorization Activities			6
0799 Total direct obligations			6
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			8
1900 Budget authority (total)			8
1930 Total budgetary resources available			8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6
3020 Outlays (gross)			-2
3050 Unpaid obligations, end of year			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			4

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	8	
Outlays, gross:			
4010	Outlays from new discretionary authority	2	
4180	Budget authority, net (total)	8	
4190	Outlays, net (total)	2	

These resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal for Transit Research. Transit Research supports artificial intelligence, machine learning, robotics, and integrated safety systems innovation. This schedule reflects the 2021 request for the Transit Research. Beginning in Fiscal Year 2021, activities under this account are in addition to those historically carried out under the Transit Formula Grants account of the Highway Trust Fund.

Object Classification (in millions of dollars)

Identification code 069-1137-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources		2
41.0	Grants, subsidies, and contributions		4
99.0	Direct obligations		6
99.9	Total new obligations, unexpired accounts		6

PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-1140-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2)	1,060	726
0003	2013 Hurricane Sandy Emergency Supp (P.L. 113-2 Administration and Oversight)	4	4
0004	2018 Hurricanes Harvey, Irma, and Maria	45	29
0005	2018 Hurricanes Harvey, Irma, and Maria (Admin and Oversight)		1
0006	FY 2019 Public Transportation Emergency Relief		5
0799	Total direct obligations	1,109	765
0801	Disaster Mission Assignment	2	
0900	Total new obligations, unexpired accounts	1,111	765

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,088	1,057
1021	Recoveries of prior year unpaid obligations	67	
1050	Unobligated balance (total)	2,155	1,057
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	11	
Spending authority from offsetting collections, discretionary:			
1700	Collected	1	2
1701	Change in uncollected payments, Federal sources	1	
1750	Spending auth from offsetting collections, disc (total)	2	2
1900	Budget authority (total)	13	2
1930	Total budgetary resources available	2,168	1,059
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,057	294

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5,746	6,174
3010	New obligations, unexpired accounts	1,111	765
3020	Outlays (gross)	-616	-1,007
3040	Recoveries of prior year unpaid obligations, unexpired	-67	
3050	Unpaid obligations, end of year	6,174	5,932
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-5
3070	Change in uncollected pymts, Fed sources, unexpired	-1	
3090	Uncollected pymts, Fed sources, end of year	-5	-5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5,742	6,169

3200	Obligated balance, end of year	6,169	5,927	5,088
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	13	2	
Outlays, gross:				
4010	Outlays from new discretionary authority		1	
4011	Outlays from discretionary balances	616	1,006	877
4020	Outlays, gross (total)	616	1,007	877
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-2	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4070	Budget authority, net (discretionary)	11		
4080	Outlays, net (discretionary)	615	1,005	877
4180	Budget authority, net (total)	11		
4190	Outlays, net (total)	615	1,005	877

The Public Transportation Emergency Relief Program helps transit agencies restore needed transportation services immediately following disaster events. Both capital and operating costs are eligible for funding following an emergency; however, this program does not replace the Federal Emergency Management Agency's capital assistance program. FTA administers the \$10.9 billion supplemental appropriation (adjusted to \$10.2 billion after sequestration and the transfer of funds to the Office of the Inspector General and the Federal Railroad Administration) provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2) following Hurricane Sandy through this account. The Bipartisan Budget Account of 2018 (Public Law 115-123) also provided \$330 million for eligible capital and operating costs for areas affected by Hurricanes Harvey, Irma, and Maria. The Additional Supplemental Appropriations for Disaster Relief Act, 2019 (Public Law 116-20) also provided \$10.5 million for transit systems affected by major declared disasters occurring in calendar year 2018.

Object Classification (in millions of dollars)

Identification code 069-1140-0-1-401	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	3	4
11.9	Total personnel compensation	3	4
12.1	Civilian personnel benefits	1	1
41.0	Grants, subsidies, and contributions	1,105	760
99.0	Direct obligations	1,109	765
99.0	Reimbursable obligations	2	
99.9	Total new obligations, unexpired accounts	1,111	765

Employment Summary

Identification code 069-1140-0-1-401	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	27	32

TECHNICAL ASSISTANCE AND TRAINING

Program and Financing (in millions of dollars)

Identification code 069-1142-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Technical Assistance and Standards Development	5	5
0900	Total new obligations, unexpired accounts (object class 41.0)	5	5
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	5	5
1930	Total budgetary resources available	6	6

TECHNICAL ASSISTANCE AND TRAINING—Continued
Program and Financing—Continued

Identification code 069-1142-0-1-401	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	10	5
3010 New obligations, unexpired accounts	5	5	1
3020 Outlays (gross)	-4	-10	-6
3050 Unpaid obligations, end of year	10	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	10	5
3200 Obligated balance, end of year	10	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority		4
4011 Outlays from discretionary balances	4	6	6
4020 Outlays, gross (total)	4	10	6
4180 Budget authority, net (total)	5	5
4190 Outlays, net (total)	4	10	6

For 2021, no resources are requested for this account.

TECHNICAL ASSISTANCE AND TRAINING
(Legislative proposal, not subject to PAYGO)

For necessary expenses to carry out 49 U.S.C. 5314, \$5,000,000, to remain available until September 30, 2021, of which not less than \$2,500,000 shall be for a cooperative agreement through which the Federal Transit Administration assists transit recipients with frontline workforce development and standards based training in maintenance and operations through an agreement with a national nonprofit organization with a demonstrated capacity to develop and provide such programs through labor management partnerships and apprenticeships: *Provided*, That the assistance provided under this heading does not duplicate the activities of 49 U.S.C. 5311(b) or 49 U.S.C. 5312. (Department of Transportation Appropriations Act, 2020.)

TRANSIT INFRASTRUCTURE GRANTS

For an additional amount for buses and bus facilities grants under section 5339 of title 49, United States Code, low or no emission grants under section 5339(c) of such title, formula grants to rural areas under section 5311 of such title, high density state apportionments under section 5340(d) of such title, the demonstration and deployment of innovative mobility solutions as authorized under section 5312 of such title, bus testing facilities under sections 5312 and 5318 of such title, and for grants to areas of persistent poverty, \$510,000,000, to remain available until expended: *Provided*, That of the sums provided under this heading—

(1) \$338,000,000 shall be available for the buses and bus facilities competitive grants as authorized under section 5339 of such title, of which \$168,000,000 shall be available for the buses and bus facilities formula grants as authorized under section 5339(a) of such title, and \$170,000,000 shall be available for buses and bus facilities competitive grants as authorized under section 5339(b) of such title;

(2) \$75,000,000 shall be available for the low or no emission grants as authorized under section 5339(c) of such title: *Provided*, That the minimum grant award shall be not less than \$750,000;

(3) \$40,000,000 shall be available for formula grants for rural areas as authorized under section 5311 of such title;

(4) \$40,000,000 shall be available for the high density state apportionments as authorized under section 5340(d) of such title;

(5) Notwithstanding section 5318(a) of such title, \$3,000,000 shall be available for the operation and maintenance of bus testing facilities by institutions of higher education selected pursuant to section 5312(h): *Provided*, That the Secretary shall enter into a contract or cooperative agreement with, or make a grant to, each institution of higher education selected pursuant to section 5312(h) of such title, to operate and maintain a facility to conduct the testing of low or no emission vehicle new bus models using the standards established pursuant to section 5318(e)(2) of such title: *Provided further*, That the term "low or no emission vehicle" has the meaning given the term in section 5312(e)(6) of such title: *Provided further*, That the Secretary

shall pay 80 percent of the cost of testing a low or no emission vehicle new bus model at each selected institution of higher education: *Provided further*, That the entity having the vehicle tested shall pay 20 percent of the cost of testing: *Provided further*, That a low or no emission vehicle new bus model tested that receives a passing aggregate test score in accordance with the standards established under section 5318(e)(2) of such title, shall be deemed to be in compliance with the requirements of section 5318(e) of such title;

(6) \$5,500,000 shall be available for the demonstration and deployment of innovative mobility solutions as authorized under section 5312 of such title; and

(7) \$8,500,000 shall be available for competitive grants to eligible entities to assist areas of persistent poverty: *Provided*, That areas of persistent poverty means any county that has consistently had 20 percent or more of the population living in poverty over the 30 years preceding the date of enactment of this Act, as measured by the 1990 and 2000 decennial census and the most recent Small Area Income and Poverty Estimates, or any census tract with a poverty rate of at least 20 percent as measured by the 2013–2017 5-year data series available from the American Community Survey of the Census Bureau: *Provided further*, That grants shall be for planning, engineering, or development of technical, or financing plans for projects eligible under chapter 53 of title 49, United States Code: *Provided further*, That eligible entities are those defined as eligible recipients or subrecipients under sections 5307, 5310 or 5311 of title 49, United States Code, and are in areas of persistent poverty: *Provided further*, That the Federal Transit Administration should complete outreach to such counties and the departments of transportation within applicable States via personal contact, webinars, web materials and other appropriate methods determined by the Administrator: *Provided further*, That State departments of transportation may apply on behalf of eligible entities within their States: *Provided further*, That the Federal Transit Administration should encourage grantees to work with non-profits or other entities of their choosing in order to develop planning, technical, engineering, or financing plans: *Provided further*, That the Federal Transit Administration should encourage grantees to partner with non-profits that can assist with making projects low or no emissions: *Provided further*, That projects funded under paragraph (7) of this heading shall be for not less than 90 percent of the net total project cost;

Provided further, That amounts made available by this heading shall be derived from the general fund: *Provided further*, That the amounts made available under this heading shall not be subject to any limitation on obligations for transit programs set forth in any Act. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-2812-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Bus & Bus Facilities- competitive	188	175	158
0002 State of Good Repair		298	298
0003 Bus Testing Facility	2	1
0004 Low or NO Emission Bus Testing	5	4
0005 High Density State		20	20
0006 Oversight		4	4
0007 Positive Train Control	84	15	11
0008 Rural Formula Grants	39	45	35
0009 Bus & Bus Facility Formula		188	188
0010 New: Competitive Persistent Poverty			1
0011 Research		3	4
0900 Total new obligations, unexpired accounts	318	753	719
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	790	1,282	1,039
1011 Unobligated balance transfer from other acct [069-2811]	110
1050 Unobligated balance (total)	900	1,282	1,039
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	700	510
1900 Budget authority (total)	700	510
1930 Total budgetary resources available	1,600	1,792	1,039
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,282	1,039	320
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	44	262	995
3010 New obligations, unexpired accounts	318	753	719
3020 Outlays (gross)	-100	-20	-246
3050 Unpaid obligations, end of year	262	995	1,468
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	262	995

3200	Obligated balance, end of year	262	995	1,468
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	700	510
Outlays, gross:				
4010	Outlays from new discretionary authority	14	5
4011	Outlays from discretionary balances	86	15	246
4020	Outlays, gross (total)	100	20	246
4180	Budget authority, net (total)	700	510
4190	Outlays, net (total)	100	20	246

The Consolidated Appropriations Act of 2018 created the Transit Infrastructure Grants program to provide additional funding for FTA's core capital assistance programs. Funds are allocated through existing State of Good Repair and Buses and Bus Facilities formula and competitive grants. For 2021, no resources are requested for this account.

Object Classification (in millions of dollars)

Identification code 069-2812-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	7	9	
41.0	Grants, subsidies, and contributions	318	746	710
99.9	Total new obligations, unexpired accounts	318	753	719

Trust Funds

DISCRETIONARY GRANTS (HIGHWAY TRUST FUND, MASS TRANSIT ACCOUNT)

Program and Financing (in millions of dollars)

Identification code 069-8191-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Discretionary grants	3
0900	Total new obligations, unexpired accounts (object class 41.0)	3
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	18	15	15
1930	Total budgetary resources available	18	15	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	15	15	15
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	3
3020	Outlays (gross)	-3
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	3
4180	Budget authority, net (total)
4190	Outlays, net (total)	3
Memorandum (non-add) entries:				
5054	Fund balance in excess of liquidating requirements, SOY: Contract authority	38	38	38
5055	Fund balance in excess of liquidating requirements, EOY: Contract authority	38	38	38

For 2021, no resources are requested for this account.

TRANSIT FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 069-8350-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Urbanized area programs	6,585	6,800	6,410
0003	Bus and bus facility grants- Competitive	348	348	214
0006	Planning Programs	140	140	149

0010	Seniors and persons with disabilities	315	315	377
0011	Non-urbanized area programs	771	771	784
0013	National Transit Database	4	4
0014	Oversight	77	80	81
0015	Transit Oriented Development	15	11	10
0016	Bus and Bus Facilities Formula Grants	440	440	400
0017	Bus Testing Facility	3	3
0018	National Transit Institute	4	4
0019	State of Good Repair Grants	2,949	3,000	2,700
0020	Public Transportation Innovation (Research)	14	14	14
0021	Technical Assistance and Workforce Development	2	3	4
0023	Pilot Program for Enhanced Mobility	2	2
0024	FY 2018 Automated Driving Systems Grants	8	7
0900	Total new obligations, unexpired accounts	11,656	11,943	11,163

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	11,178	11,190	10,712
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8083]	562
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8158]	15
1021	Recoveries of prior year unpaid obligations	92
1050	Unobligated balance (total)	11,832	11,205	10,712
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	9,900	10,800	10,800
1120	Appropriations transferred to other acct [069-8083]	-69
1121	Appropriations transferred from other acct [069-8083]	1,400	1,300	1,300
1121	Appropriations transferred from other acct [069-8158]	15
1138	Appropriations applied to liquidate contract authority	-11,231	-12,115	-12,100
Contract authority, mandatory:				
1600	Contract authority	9,939	10,150	10,150
1610	Contract authority transferred to other accounts [069-8083]	-49
1611	Contract authority transferred from other accounts [069-8083]	1,124	1,300	1,300
1640	Contract authority, mandatory (total)	11,014	11,450	11,450
1900	Budget authority (total)	11,014	11,450	11,450
1930	Total budgetary resources available	22,846	22,655	22,162
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	11,190	10,712	10,999

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	17,858	18,910	20,229
3010	New obligations, unexpired accounts	11,656	11,943	11,163
3020	Outlays (gross)	-10,512	-10,624	-11,231
3040	Recoveries of prior year unpaid obligations, unexpired	-92
3050	Unpaid obligations, end of year	18,910	20,229	20,161
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	17,858	18,910	20,229
3200	Obligated balance, end of year	18,910	20,229	20,161

Budget authority and outlays, net:

Discretionary:				
Outlays, gross:				
4010	Outlays from new discretionary authority	1,528	1,947	1,985
4011	Outlays from discretionary balances	8,984	8,677	9,246
4020	Outlays, gross (total)	10,512	10,624	11,231
Mandatory:				
4090	Budget authority, gross	11,014	11,450	11,450
4180	Budget authority, net (total)	11,014	11,450	11,450
4190	Outlays, net (total)	10,512	10,624	11,231
Memorandum (non-add) entries:				
5052	Obligated balance, SOY: Contract authority	3,318	3,663	3,013
5053	Obligated balance, EOY: Contract authority	3,663	3,013	2,363
5061	Limitation on obligations (Highway Trust Funds)	11,013	11,450	11,679

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.	
Enacted/requested:				
Budget Authority	11,014	11,450	11,450	
Outlays	10,512	10,624	11,231	
Legislative proposal, not subject to PAYGO:				
Budget Authority	896	
Outlays	114	
Total:	Budget Authority	11,014	11,450	12,346

TRANSIT FORMULA GRANTS—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Outlays	10,512	10,624	11,345

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$131.392 billion in contract authority for the Transit Formula Grants appropriation over 10 years. Of this amount, \$11.046 billion is requested for 2021, an 8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8350-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	85	75	69
41.0 Grants, subsidies, and contributions	11,567	11,863	11,089
99.9 Total new obligations, unexpired accounts	11,656	11,943	11,163

Employment Summary

Identification code 069-8350-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	30	30

TRANSIT FORMULA GRANTS
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[For] *Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in the Federal Public Transportation Assistance Program in this account, [and for payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act, section 20005(b) of Public Law 112-141, and section 3006(b) of the Fixing America's Surface Transportation Act, \$10,800,000,000] \$11,696,000,000, to be derived from the Mass Transit Account of the Highway Trust Fund and to remain available until expended: *Provided*, That funds available for the implementation or execution of *Federal Public Transportation Assistance* programs authorized under [49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act] *title 49 of the U.S. Code*, section 20005(b) of Public Law 112-141, and section 3006(b) of the Fixing America's Surface Transportation Act, shall not exceed total obligations of [\$10,150,348,462] \$11,046,000,000 in fiscal year [2020] 2021: *Provided further*, That the Federal share of the cost of activities carried out under 49 U.S.C. section 5312 shall not exceed 80 percent, except that if there is substantial public interest or benefit, the Secretary may approve a greater Federal share: *Provided further*, That in addition to the amounts appropriated for purposes of 49 U.S.C. 5338(e), [not less than 2 percent of the] funds appropriated or available for the purposes of 49 U.S.C. 5338(f) shall be available for the purposes of 49 U.S.C. 5338(e). (*Department of Transportation Appropriations Act, 2020.*)*

Program and Financing (in millions of dollars)

Identification code 069-8350-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0026 Surface Transportation Reauthorization Activities			896

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)		896
1138	Appropriations applied to liquidate contract authority		-896
Contract authority, mandatory:			
1600	Contract authority		896
1900	Budget authority (total)		896
1930	Total budgetary resources available		896

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		896
3020	Outlays (gross)		-114
3050	Unpaid obligations, end of year		782
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		782

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4010	Outlays from new discretionary authority		114
Mandatory:			
4090	Budget authority, gross		896
4180	Budget authority, net (total)		896
4190	Outlays, net (total)		114

Memorandum (non-add) entries:

5061	Limitation on obligations (Highway Trust Funds)		667
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Transit Formula Grants appropriation, for transit capital purposes including bus and rail car purchases, facility repair, construction and maintenance. In certain instances, Transit Formula Grant funds are eligible to be used for planning expenses as well. Some funds are also used for research, development, and demonstration to improve transit safety and innovation. These additional resources are requested as part of the Administrations 10-year surface transportation reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8350-2-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources		20
41.0	Grants, subsidies, and contributions		876
99.9	Total new obligations, unexpired accounts		896

ADMINISTRATIVE PROVISIONS—FEDERAL TRANSIT ADMINISTRATION

SEC. 160. The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.

SEC. 161. Notwithstanding any other provision of law, funds appropriated or limited by this Act under the heading "Fixed Guideway Capital Investment" of the Federal Transit Administration for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, [2023] 2024, and other recoveries, shall be directed to projects eligible to use the funds for the purposes for which they were originally provided.

SEC. 162. Notwithstanding any other provision of law, any funds appropriated before October 1, [2019] 2020, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.

[SEC. 163. No funds in this or any other Act shall be used to adjust apportionments or withhold funds from apportionments pursuant to 26 U.S.C. 9503(e)(4).]

[SEC. 164. An eligible recipient of a grant under section 5339(c) may submit an application in partnership with other entities, including a transit vehicle manufacturer, that intend to participate in the implementation of a project under section 5339(c) of title 49, United States Code, and a project awarded with such partnership shall be treated as satisfying the requirement for a competitive procurement under section 5325(a) of title 49, United States Code, for the named entity.]

SEC. 165. None of the funds made available in this or any other Act shall be used to impede or hinder project advancement or approval for any project seeking a Federal contribution from the capital investment grant program of greater than 40 percent of project costs as authorized under 49 U.S.C. 5309.]

SEC. 166. None of the funds made available under this Act may be used for the implementation or furtherance of new policies detailed in the "Dear Colleague" letter distributed by the Federal Transit Administration to capital investment grant program project sponsors on June 29, 2018.]

(CANCELLATION OF FUNDS)

SEC. 163. Of the unobligated amounts made available for prior fiscal years to Formula Grants in Treasury Account 69-X-1129, a total of \$1,606,849 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 164. Of the unobligated amounts made available for Job Access and Reverse Commute program, as authorized by Public Law 105-178, as amended, a total of \$320,230 are hereby permanently cancelled.

SEC. 165. Of the unobligated amounts made available for Research, Training, and Human Resources, as authorized by Public Law 95-599, as amended, a total of \$31,634 are hereby permanently cancelled. (Department of Transportation Appropriations Act, 2020.)

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Federal Funds

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-4089-0-3-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity: Operations and maintenance	27	22	20
0002 Direct program activity: Replacements and improvements		16	11
0799 Total direct obligations	27	38	31
0801 Operations and maintenance		1	1
0899 Total reimbursable obligations		1	1
0900 Total new obligations, unexpired accounts	27	39	32

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	37	37
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	37	39	32
1930 Total budgetary resources available	64	76	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37	37	37

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	28	15	3
3010 New obligations, unexpired accounts	27	39	32
3020 Outlays (gross)	-40	-51	-34
3050 Unpaid obligations, end of year	15	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	28	15	3
3200 Obligated balance, end of year	15	3	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	37	39	32
Outlays, gross:			
4100 Outlays from new mandatory authority	20	31	26

4101 Outlays from mandatory balances	20	20	8
4110 Outlays, gross (total)	40	51	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-36	-38	-31
4123 Non-Federal sources	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ...	-37	-39	-32
4170 Outlays, net (mandatory)	3	12	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	3	12	2

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly-owned U.S. Government corporation responsible for the operation, maintenance, and development of the U.S. portion of the St. Lawrence Seaway between Montreal and mid-Lake Erie. The SLSDC is also responsible for regional trade and economic development. The St. Lawrence Seaway is a binational waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. The SLSDC works with its Canadian counterpart agency (the St. Lawrence Seaway Management Corporation) to ensure the safety and reliability of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital infrastructure needs for the U.S. portion of the St. Lawrence Seaway.

Object Classification (in millions of dollars)

Identification code 069-4089-0-3-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	11	12	12
12.1 Civilian personnel benefits	4	4	4
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2	3	2
25.3 Other goods and services from Federal sources	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	3	2
32.0 Land and structures	4	13	8
99.0 Direct obligations	27	38	31
25.3 Reimbursable obligations: Other goods and services from Federal sources		1	1
99.0 Reimbursable obligations		1	1
99.9 Total new obligations, unexpired accounts	27	39	32

Employment Summary

Identification code 069-4089-0-3-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	127	144	143

Trust Funds

OPERATIONS AND MAINTENANCE

(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses to conduct the operations, maintenance, and capital [asset renewal] infrastructure activities on those portions of the [Saint] St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, [\$38,000,000] \$30,700,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662]: Provided, That of the amounts made available under this heading, not less than \$16,000,000 shall be used on capital asset renewal activities]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8003-0-7-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and maintenance	36	38	31
0900 Total new obligations, unexpired accounts (object class 25.3)	36	38	31

OPERATIONS AND MAINTENANCE—Continued
Program and Financing—Continued

Identification code 069-8003-0-7-403	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	36	38	31
1930 Total budgetary resources available	36	38	31
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	36	38	31
3020 Outlays (gross)	-36	-38	-31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	36	38	31
Outlays, gross:			
4010 Outlays from new discretionary authority	36	38	31
4180 Budget authority, net (total)	36	38	31
4190 Outlays, net (total)	36	38	31

The Water Resources Development Act of 1986 (P.L. 99-662) authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital infrastructure programs.

**PIPELINE AND HAZARDOUS MATERIALS SAFETY
ADMINISTRATION**

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

[In millions of dollars]

	2019 actual	2020 est.	2021 est.
Budget authority:			
Operational Expenses	24	24	24
Hazardous Materials Safety	58	61	61
Emergency Preparedness Grants	26	28	28
Pipeline Safety	142	145	141
Pipeline Safety Share of Oil Spill Liability Trust Fund	23	23	22
Total Budget Authority	273	281	276
Obligations:			
Operational Expenses	23	24	24
Hazardous Materials Safety	61	74	61
Emergency Preparedness Grants	29	29	28
Pipeline Safety	167	206	151
Pipeline Safety Share of Oil Spill Liability Trust Fund	23	23	22
Total Obligations	303	356	286
Outlays:			
Operational Expenses	22	25	24
Hazardous Materials Safety	59	66	67
Emergency Preparedness Grants	22	37	38
Pipeline Safety	121	158	164
Pipeline Safety Share of Oil Spill Liability Trust Fund	32	20	23
Total Outlays	256	306	316

Federal Funds

OPERATIONAL EXPENSES

For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, \$24,215,000, of which \$1,500,000 shall remain available until September 30, 2022: *Provided*, That no later than 90 days after enactment of this Act, the Secretary of Transportation shall initiate a rulemaking on automatic and remote-controlled shut-off valves and hazardous liquid pipeline facilities leak detection systems as required under section 4 and section 8 of the Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 (Public Law 112-90), respectively, and shall issue a final rule no later than one year after enactment of this Act. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1400-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	22	22	24
0002 Grants	1	2
0900 Total new obligations, unexpired accounts	23	24	24
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	24	24
1930 Total budgetary resources available	24	24	24
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	9	8
3010 New obligations, unexpired accounts	23	24	24
3020 Outlays (gross)	-22	-25	-24
3050 Unpaid obligations, end of year	9	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	9	8
3200 Obligated balance, end of year	9	8	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	24	24
Outlays, gross:			
4010 Outlays from new discretionary authority	16	16	16
4011 Outlays from discretionary balances	6	9	8
4020 Outlays, gross (total)	22	25	24
4180 Budget authority, net (total)	24	24	24
4190 Outlays, net (total)	22	25	24

The success of the PHMSA safety programs is dependent on effective support organizations that hire staff, acquire goods and services, develop and sustain information technology, write complex regulations, and support enforcement actions, among others. PHMSA provides support through the Offices of the Administrator, Deputy Administrator, Executive Director/Chief Safety Officer; Planning and Analytics; Chief Counsel; Governmental, International and Public Affairs; Chief Financial Officer, Budget and Finance, Acquisition and Information Technology Services; Associate Administrator for Administration, Administrative Services, Human Resources; and Civil Rights.

Object Classification (in millions of dollars)

Identification code 069-1400-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	9	9
11.3 Other than full-time permanent	1
11.9 Total personnel compensation	9	9	9
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	3	3	3
25.3 Other goods and services from Federal sources	2	2	2
25.7 Operation and maintenance of equipment	4	4	5
31.0 Equipment	1
41.0 Grants, subsidies, and contributions	1	2
99.0 Direct obligations	23	25	23
99.5 Adjustment for rounding	-1	1
99.9 Total new obligations, unexpired accounts	23	24	24

Employment Summary

Identification code 069-1400-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	69	71	68

HAZARDOUS MATERIALS SAFETY

Program and Financing (in millions of dollars)

Identification code 069-1401-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	50	54
0002 Research and development	10	20
0003 Grants	1
0799 Total direct obligations	60	75
0801 Reimbursable program	1	1
0900 Total new obligations, unexpired accounts	61	76
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	58	61
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1
1900 Budget authority (total)	59	62
1930 Total budgetary resources available	75	76
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	17	26
3010 New obligations, unexpired accounts	61	76
3020 Outlays (gross)	-61	-67	-26
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	17	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	17	26
3200 Obligated balance, end of year	17	26
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	59	62
Outlays, gross:			
4010 Outlays from new discretionary authority	43	42
4011 Outlays from discretionary balances	18	25	26
4020 Outlays, gross (total)	61	67	26
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-1	-1
4180 Budget authority, net (total)	58	61
4190 Outlays, net (total)	60	66	26

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	58	61
Outlays	60	66	26
Legislative proposal, not subject to PAYGO:			
Budget Authority	61
Outlays	41
Total:			
Budget Authority	58	61	61
Outlays	60	66	67

PHMSA's Hazardous Materials Safety program is responsible for oversight of the safe transportation of hazardous materials. The hazardous materials safety program relies on comprehensive risk management to establish policy, standards and regulations for hazmat transport via air, highway, rail and vessel. The program utilizes inspection, enforcement, outreach and incident analysis in efforts to reduce incidents, minimize fatalities and injuries, mitigate the consequences of incidents that occur, train and prepare first responders and enhance safety.

Object Classification (in millions of dollars)

Identification code 069-1401-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	23
11.3 Other than full-time permanent	1
11.9 Total personnel compensation	23	23
12.1 Civilian personnel benefits	7	8
21.0 Travel and transportation of persons	2	2
23.1 Rental payments to GSA	2	3
25.1 Advisory and assistance services	6	6
25.2 Other services from non-Federal sources	3
25.3 Other goods and services from Federal sources	5	5
25.5 Research and development contracts	10	20
25.7 Operation and maintenance of equipment	3	2
41.0 Grants, subsidies, and contributions	1	1
99.0 Direct obligations	59	73
99.0 Reimbursable obligations	1	1
99.5 Adjustment for rounding	1	2
99.9 Total new obligations, unexpired accounts	61	76

Employment Summary

Identification code 069-1401-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	198	203

HAZARDOUS MATERIALS SAFETY

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, [\$61,000,000, of which \$11,000,000 shall] \$60,700,000, to remain available until September 30, [2022] 2023: Provided, That up to \$800,000 in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: Provided further, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Surface transportation reauthorization activities	61
0799 Total direct obligations	61
0801 Reimbursable program	1
0900 Total new obligations, unexpired accounts	62
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	61
Spending authority from offsetting collections, discretionary:			
1700 Collected	1
1900 Budget authority (total)	62
1930 Total budgetary resources available	62
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	62
3020 Outlays (gross)	-42
3050 Unpaid obligations, end of year	20
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	20
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	62
Outlays, gross:			
4010 Outlays from new discretionary authority	42

HAZARDOUS MATERIALS SAFETY—Continued
Program and Financing—Continued

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources			-1
4040 Offsets against gross budget authority and outlays (total)			-1
4180 Budget authority, net (total)			61
4190 Outlays, net (total)			41

The Administration is proposing to authorize the Hazardous Materials Safety program as part of its 10-year surface transportation reauthorization proposal. The program is responsible for overseeing the safe transportation of hazardous materials. This schedule reflects the 2021 request for the program.

Object Classification (in millions of dollars)

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			24
11.9 Total personnel compensation			24
12.1 Civilian personnel benefits			7
21.0 Travel and transportation of persons			2
23.1 Rental payments to GSA			3
25.1 Advisory and assistance services			9
25.3 Other goods and services from Federal sources			1
25.5 Research and development contracts			5
25.7 Operation and maintenance of equipment			8
99.0 Direct obligations			59
99.0 Reimbursable obligations			1
99.5 Adjustment for rounding			2
99.9 Total new obligations, unexpired accounts			62

Employment Summary

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			203

PIPELINE SAFETY

(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

For expenses necessary to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, **[\$168,000,000]** *\$163,000,000*, to remain available until September 30, **[2022]** *2023*, of which **[\$23,000,000]** *\$22,000,000* shall be derived from the Oil Spill Liability Trust Fund; of which **[\$137,000,000]** *\$131,000,000* shall be derived from the Pipeline Safety Fund; and of which **[\$8,000,000]** *\$10,000,000* shall be derived from fees collected under 49 U.S.C. 60302 and deposited in the Underground Natural Gas Storage Facility Safety Account for the purpose of carrying out 49 U.S.C. 60141: *Provided*, That not less than \$1,058,000 of the funds provided under this heading shall be for the One-Call State grant program. (Department of Transportation Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	46	51	51
Receipts:			
Current law:			
1120 Pipeline Safety Fund	137	137	131
1120 Underground Natural Gas Storage Facility Safety	8	8	10
1199 Total current law receipts	145	145	141
1999 Total receipts	145	145	141
2000 Total: Balances and receipts	191	196	192

Appropriations:			
Current law:			
2101 Pipeline Safety	-142	-145	-141
Special and trust fund receipts returned:			
3010 Pipeline Safety	1		
3010 Pipeline Safety	1		
5099 Balance, end of year	51	51	51

Program and Financing (in millions of dollars)

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	83	119	87
0002 Research and development	16	33	15
0003 Grants	67	71	61
0799 Total direct obligations	166	223	163
0801 Reimbursable program	1	2	2
0900 Total new obligations, unexpired accounts	167	225	165

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	56	
1021 Recoveries of prior year unpaid obligations	4		
1050 Unobligated balance (total)	53	56	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	142	145	141
Spending authority from offsetting collections, discretionary:			
1700 Collected	34	24	24
1701 Change in uncollected payments, Federal sources	-6		
1750 Spending auth from offsetting collections, disc (total)	28	24	24
1900 Budget authority (total)	170	169	165
1930 Total budgetary resources available	223	225	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56		
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	1		
1952 Expired unobligated balance, start of year	2	2	2
1953 Expired unobligated balance, end of year	2	2	2
1954 Unobligated balance canceling	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	113	120	162
3010 New obligations, unexpired accounts	167	225	165
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-155	-183	-191
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	120	162	136
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired	6		
3090 Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	91	104	146
3200 Obligated balance, end of year	104	146	120

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	170	169	165
Outlays, gross:			
4010 Outlays from new discretionary authority	59	83	81
4011 Outlays from discretionary balances	96	100	110
4020 Outlays, gross (total)	155	183	191
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-34	-24	-24
4040 Offsets against gross budget authority and outlays (total)	-34	-24	-24
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	6		
4070 Budget authority, net (discretionary)	142	145	141
4080 Outlays, net (discretionary)	121	159	167
4180 Budget authority, net (total)	142	145	141
4190 Outlays, net (total)	121	159	167

PHMSA is responsible for overseeing the safe transportation of energy products and hazardous materials through pipelines. PHMSA's Pipeline Safety program regulates an expansive network of more than 2.8 million miles of gas and hazardous liquid pipelines within the United States as well as facilities that liquefy natural gas and store natural gas underground. PHMSA establishes and enforces pipeline safety standards and conducts pipeline safety inspections in collaboration with state partners to determine that pipelines are working safely. The Pipeline Safety program is funded by fees collected from pipeline operators and underground natural gas storage facility operators and by an annual allocation from the Oil Spill Liability Trust Fund.

Object Classification (in millions of dollars)

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	33	35	37
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	34	36	38
12.1 Civilian personnel benefits	11	12	13
21.0 Travel and transportation	4	4	4
23.1 Rental payments to GSA	3	4	4
25.1 Advisory and assistance services	13	46	11
25.3 Other goods and services from Federal sources	8	7	8
25.5 Research and development contracts	16	33	15
25.7 Operation and maintenance of equipment	9	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1
41.0 Grants, subsidies, and contributions	66	71	61
99.0 Direct obligations	165	223	164
99.0 Reimbursable obligations	1	2	2
99.5 Adjustment for rounding	1	-1
99.9 Total new obligations, unexpired accounts	167	225	165

Employment Summary

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	288	308	310

EMERGENCY PREPAREDNESS GRANTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5282-0-2-407	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	17	17	17
Receipts:			
Current law:			
1130 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants	26	28	28
2000 Total: Balances and receipts	43	45	45
Appropriations:			
Current law:			
2101 Emergency Preparedness Grants	-28	-28	-28
2132 Emergency Preparedness Grants	2
2199 Total current law appropriations	-26	-28	-28
2999 Total appropriations	-26	-28	-28
5099 Balance, end of year	17	17	17

Program and Financing (in millions of dollars)

Identification code 069-5282-0-2-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	1	2	1
0002 Emergency Preparedness Grants	21	22	22
0003 Competitive Training Grants	4	4	4
0004 Supplemental Training Grants	1	1	1
0005 ALERT Grants	2
0900 Total new obligations, unexpired accounts	29	29	28

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	15	14
1020 Adjustment of unobligated bal brought forward, Oct 1	15
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	18	15	14
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	28	28	28
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2
1260 Appropriations, mandatory (total)	26	28	28
1930 Total budgetary resources available	44	43	42
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	14	14

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	53	58	50
3010 New obligations, unexpired accounts	29	29	28
3020 Outlays (gross)	-22	-37	-37
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3050 Unpaid obligations, end of year	58	50	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	53	58	50
3200 Obligated balance, end of year	58	50	41

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	26	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority	10	10
4101 Outlays from mandatory balances	22	27	27
4110 Outlays, gross (total)	22	37	37
4180 Budget authority, net (total)	26	28	28
4190 Outlays, net (total)	22	37	37

PHMSA operates a national registration program for shippers and carriers of hazardous materials and collects a fee from each registrant. The fees collected are used for emergency preparedness planning and training grants; publication and distribution of the Emergency Response Guidebook; development of training curriculum guidelines for emergency responders and technical assistance to states, political subdivisions, and Native American Tribes; and administrative costs for these programs.

Object Classification (in millions of dollars)

Identification code 069-5282-0-2-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	28	27	27
99.0 Direct obligations	29	28	28
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	29	29	28

EMERGENCY PREPAREDNESS GRANTS

(Legislative proposal, not subject to PAYGO)

(EMERGENCY PREPAREDNESS FUND)

[For] *Contingent upon enactment of multi-year surface transportation authorization legislation, for expenses necessary to carry out the Emergency Preparedness Grants program, not more than \$28,318,000 shall remain available until September 30, [2022] 2023, from amounts made available by 49 U.S.C. 5116(h), and 5128(b) and (c): Provided, That notwithstanding 49 U.S.C. 5116(h)(4), not more than 4 percent of the amounts made available from this account shall be available to pay administrative costs: Provided further, That notwithstanding 49 U.S.C. 5128(b) and (c) and the [current year obligation] limitation on obligations provided under this heading, prior year recoveries recognized in the current year shall be available to develop and deliver a hazardous materials emergency response training curriculum for emergency responders, including response activities for the transportation of [crude oil, ethanol and other] flammable liquids and other hazardous materials by rail, consistent with National Fire Protection Association standards, and to make such training available through an electronic format: Provided further, That the prior year recoveries made available under this heading shall also be available to*

EMERGENCY PREPAREDNESS GRANTS—Continued

carry out 49 U.S.C. 5116(a)(1)(C) [, 5116(h),] and 5116(i) [, and 5107(e)] . (Department of Transportation Appropriations Act, 2020.)

Trust Funds

TRUST FUND SHARE OF PIPELINE SAFETY

Program and Financing (in millions of dollars)

Identification code 069-8121-0-7-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Trust fund share of pipeline safety	23	23	22
0900 Total new obligations, unexpired accounts (object class 94.0)	23	23	22
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	23	23	22
1930 Total budgetary resources available	23	23	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	13	16
3010 New obligations, unexpired accounts	23	23	22
3020 Outlays (gross)	-32	-20	-23
3050 Unpaid obligations, end of year	13	16	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	13	16
3200 Obligated balance, end of year	13	16	15
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	23	22
Outlays, gross:			
4010 Outlays from new discretionary authority	13	11	11
4011 Outlays from discretionary balances	19	9	12
4020 Outlays, gross (total)	32	20	23
4180 Budget authority, net (total)	23	23	22
4190 Outlays, net (total)	32	20	23

PHMSA is responsible for collection and review of oil spill response plans prepared under the Oil Pollution Act of 1990. Response plans are required for operators that store, handle, or transport oil for purposes of minimizing the environmental impact of oil spills and improving incident response. PHMSA reviews ensure that plans are submitted timely and are updated regularly to be in compliance with regulations. PHMSA improves oil spill preparedness and incident response through data analysis, spill monitoring, pipeline mapping in areas unusually sensitive to environmental damage, and advancing technologies to detect and prevent leaks from hazardous liquid pipelines. These and related activities are funded in part by the Oil Spill Liability Trust Fund.

OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, [\$94,600,000] \$98,150,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department of Transportation. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0130-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 General administration	93	95	98

0103 Disaster Relief and Oversight FY 2013	1	1	1
0900 Total new obligations, unexpired accounts	94	96	99

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	93	95	98
1930 Total budgetary resources available	97	98	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	2	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	10	10
3010 New obligations, unexpired accounts	94	96	99
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-96	-96	-98
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	10	10	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	10	10
3200 Obligated balance, end of year	10	10	11

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	93	95	98
Outlays, gross:			
4010 Outlays from new discretionary authority	85	86	88
4011 Outlays from discretionary balances	11	10	10
4020 Outlays, gross (total)	96	96	98
4180 Budget authority, net (total)	93	95	98
4190 Outlays, net (total)	96	96	98

The Department of Transportation (DOT) Inspector General conducts independent audits, investigations, and evaluations to promote economy, efficiency, and effectiveness in the management and administration of DOT programs and operations, including contracts, grants, and financial management; and to prevent and detect fraud, waste, abuse, and mismanagement in such activities. This appropriation provides funds to enable the Office of the Inspector General to perform these oversight responsibilities in accordance with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

Object Classification (in millions of dollars)

Identification code 069-0130-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	48	49
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	51	52	53
12.1 Civilian personnel benefits	19	20	21
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	5	4	4
25.3 Other goods and services from Federal sources	6	7	8
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	1		
99.0 Direct obligations	93	94	97
99.5 Adjustment for rounding	1	2	2
99.9 Total new obligations, unexpired accounts	94	96	99

Employment Summary

Identification code 069-0130-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	406	403	403

MARITIME ADMINISTRATION

Federal Funds

OPERATIONS AND TRAINING

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses of operations and training activities authorized by law, **[\$152,589,000: Provided, That of the sums appropriated under this heading—]**

[(1) \$80,216,000] \$137,797,000, of which \$76,444,000 shall remain available until [September 30, 2021] September 30, 2022 for the operations of the United States Merchant Marine Academy; [(2) \$5,225,000], and of which \$5,500,000 shall remain available until expended for [the] facilities maintenance and repair, and equipment, at the United States Merchant Marine Academy;]

[(3) \$3,000,000 shall remain available until September 30, 2021 for the Maritime Environment and Technology Assistance program authorized under section 50307 of title 46, United States Code; and]

[(4) \$9,775,000, shall remain available until expended for the Short Sea Transportation Program (America's Marine Highways) to make grants for the purposes authorized under sections 55601(b)(1) and (3) of title 46, United States Code:]

[Provided further, That not later than 120 days after enactment of this Act, the Administrator of the Maritime Administration shall transmit to the House and Senate Committees on Appropriations the annual report on sexual assault and sexual harassment at the United States Merchant Marine Academy as required pursuant to section 3507 of Public Law 110-417: Provided further, That available balances under this heading for the Short Sea Transportation Program (America's Marine Highways) from prior year recoveries shall be available to carry out activities authorized under sections 55601(b)(1) and (3) of title 46, United States Code: Provided further, That from funds provided under paragraphs (3) and (4) of the first proviso, the Secretary of Transportation shall make grants no later than 180 days after enactment of this Act in such amounts as the Secretary determines: Provided further, That any unobligated balances and obligated balances not yet expended from previous appropriations under this heading for programs and activities supporting State Maritime Academies shall be transferred to and merged with the appropriations for "Maritime Administration-State Maritime Academy Operations" and shall be made available for the same purposes as the appropriations for "Maritime Administration-State Maritime Academy Operations"]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Academy Operations	64	87	76
0002 USMMA Capital Asset Management Program	15	113	6
0008 Maritime Operations	51	54	56
0009 Maritime Environment and Technical Assistance	4	5
0010 Short Sea Transportation	4	26
0011 Other Maritime Programs	7
0012 Title XI Administrative Expenses	3
0100 Subtotal, Direct program	141	292	138
0799 Total direct obligations	141	292	138
0801 Operations and Training (Reimbursable)	4	38	13
0900 Total new obligations, unexpired accounts	145	330	151
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	464	171	7
1010 Unobligated balance transfer to other accts [069-1712]	-309
1021 Recoveries of prior year unpaid obligations	4
1050 Unobligated balance (total)	159	171	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	149	153	138
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	13	13
1701 Change in uncollected payments, Federal sources	-2
1750 Spending auth from offsetting collections, disc (total)	8	13	13
1900 Budget authority (total)	157	166	151
1930 Total budgetary resources available	316	337	158
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	171	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	81	51	197

3010 New obligations, unexpired accounts	145	330	151
3020 Outlays (gross)	-169	-184	-153
3040 Recoveries of prior year unpaid obligations, unexpired	-4
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	51	197	195
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-40	-35	-35
3070 Change in uncollected pymts, Fed sources, unexpired	2
3071 Change in uncollected pymts, Fed sources, expired	3
3090 Uncollected pymts, Fed sources, end of year	-35	-35	-35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	41	16	162
3200 Obligated balance, end of year	16	162	160

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	157	166	151
Outlays, gross:			
4010 Outlays from new discretionary authority	111	143	130
4011 Outlays from discretionary balances	58	41	23
4020 Outlays, gross (total)	169	184	153
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-11	-13	-13
4033 Non-Federal sources	-1
4040 Offsets against gross budget authority and outlays (total)	-12	-13	-13
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	2
4052 Offsetting collections credited to expired accounts	2
4060 Additional offsets against budget authority only (total)	4
4070 Budget authority, net (discretionary)	149	153	138
4080 Outlays, net (discretionary)	157	171	140
4180 Budget authority, net (total)	149	153	138
4190 Outlays, net (total)	157	171	140

The appropriation for Operations and Training funds the United States Merchant Marine Academy (USMMA) located in Kings Point, New York, as well as headquarters staff to administer and direct Maritime Administration operations and programs.

The USMMA, a Federal service academy and accredited institution of higher education, provides instruction to individuals to prepare them for service in the merchant marine. Funding supports traditional operations of the academic institution, midshipmen training at sea, and capital maintenance of the USMMA campus facilities.

Maritime Administration operations includes planning for coordination of U.S. maritime industry activities under emergency conditions; promotion of efficiency, safety, risk mitigation, environmental stewardship, and maritime industry standards; strategic outreach with maritime stakeholders in education and industry; and port and intermodal development oversight to increase capacity and mitigate congestion in freight movements.

Object Classification (in millions of dollars)

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	44	44
11.3 Other than full-time permanent	8	8	8
11.5 Other personnel compensation	1	2	2
11.9 Total personnel compensation	50	54	54
12.1 Civilian personnel benefits	17	18	18
21.0 Travel and transportation of persons	2	3	2
23.1 Rental payments to GSA	4	3	3
23.3 Communications, utilities, and miscellaneous charges	5	8	3
25.1 Advisory and assistance services	3	3	1
25.2 Other services from non-Federal sources	4	4	2
25.3 Other goods and services from Federal sources	18	28	13
25.4 Operation and maintenance of facilities	12	10	2
25.6 Medical care	3	4	1
25.7 Operation and maintenance of equipment	6	9	4
26.0 Supplies and materials	4	6	2
31.0 Equipment	2	3	1
32.0 Land and structures	8	113	32
41.0 Grants, subsidies, and contributions	3	26
99.0 Direct obligations	141	292	138

OPERATIONS AND TRAINING—Continued

Object Classification—Continued

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
99.0 Reimbursable obligations	4	38	13
99.9 Total new obligations, unexpired accounts	145	330	151

Employment Summary

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	438	455	446
2001 Reimbursable civilian full-time equivalent employment	1	1	1
3001 Allocation account civilian full-time equivalent employment	7	8	8

STATE MARITIME ACADEMY OPERATIONS

For necessary expenses of operations, support and training activities for State Maritime Academies, **[\$342,280,000]**, **[\$337,700,000]**: *Provided*, That of the sums appropriated under this heading—

(1) **[\$30,080,000]** **[\$30,500,000]**, to remain available until expended, shall be for maintenance, repair, life extension, **[marine]** insurance, and capacity improvement of National Defense Reserve Fleet training ships, **[in]** for support of *training ship operations at the State Maritime Academies, [of which \$8,080,000, to remain available until expended, shall be for expenses related to training mariners for] and for costs associated with training vessel sharing pursuant to 46 U.S.C. 51504(g)(3) [for costs associated with mobilizing, operating and demobilizing the vessel, including travel costs for students, faculty and crew, the costs of the general agent, crew costs, fuel, insurance, operational fees, and vessel hire costs, as determined by the Secretary];*

(2) **\$300,000,000**, to remain available until expended, shall be for the National Security Multi-Mission Vessel Program, including funds for construction, planning, administration, and design of school ships;

(3) **\$2,400,000 [shall]**, to remain available through September 30, **[2021]** **2022**, shall be for the Student Incentive Program;

(4) **[\$3,800,000 shall]** **\$1,800,000**, to remain available until expended, shall be for training ship fuel assistance; and

(5) **[\$6,000,000 shall]** **\$3,000,000**, to remain available until September 30, **[2021]** **2022**, shall be for direct payments for State Maritime Academies. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1712-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Student Incentive Program	1	4	2
0002 Direct Payments	6	6	3
0003 Training Ship Fuel Assistance	4	4	2
0004 Training Vessel Sharing	2	14
0005 Schoolship Maintenance & Repair	24	24	31
0006 Schoolship Replacement - NSMMV	2	905	300
0900 Total new obligations, unexpired accounts	39	957	338
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	615
1011 Unobligated balance transfer from other acct [069-1750]	309
1050 Unobligated balance (total)	309	615
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	345	342	338
1930 Total budgetary resources available	654	957	338
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	615
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	872
3010 New obligations, unexpired accounts	39	957	338
3020 Outlays (gross)	-18	-106	-127
3050 Unpaid obligations, end of year	21	872	1,083
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	872

3200	Obligated balance, end of year	21	872	1,083
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Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	345	342	338
Outlays, gross:				
4010	Outlays from new discretionary authority	18	81	77
4011	Outlays from discretionary balances	25	50
4020	Outlays, gross (total)	18	106	127
4180	Budget authority, net (total)	345	342	338
4190	Outlays, net (total)	18	106	127

State Maritime Academy (SMA) Operations provides Federal assistance to the six SMAs, to help educate and train mariners and future leaders to support the U.S. marine transportation system. These graduates promote the commerce of the United States and aid in the national defense by serving in the merchant marine. The SMA Operations request funds financial assistance for students enrolled at the SMAs under the Student Incentive Program, direct assistance to each of the six SMAs for maintenance and support, fuel used by SMA training ships, routine maintenance and repair of SMA training ships, school ship capacity sharing, and the design and construction of new training vessels under the National Security Multi-Mission Vessel Program.

Object Classification (in millions of dollars)

Identification code 069-1712-0-1-403	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	2	905	300
25.2	Other services from non-Federal sources	6	6	3
25.4	Operation and maintenance of facilities	6	18	5
25.7	Operation and maintenance of equipment	14	14	19
26.0	Supplies and materials	3	3	4
31.0	Equipment	3	3	3
41.0	Grants, subsidies, and contributions	5	8	4
99.9	Total new obligations, unexpired accounts	39	957	338

ASSISTANCE TO SMALL SHIPYARDS

[To make grants to qualified shipyards as authorized under section 54101 of title 46, United States Code, as amended by Public Law 113-281, \$20,000,000, to remain available until expended.] (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1770-0-1-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Grants for Capital Improvement for Small Shipyards	20	20
0900	Total new obligations, unexpired accounts (object class 41.0)	20	20
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	20	20
1930	Total budgetary resources available	20	20
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	26	31	22
3010	New obligations, unexpired accounts	20	20
3020	Outlays (gross)	-15	-29	-12
3050	Unpaid obligations, end of year	31	22	10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	26	31	22
3200	Obligated balance, end of year	31	22	10
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	20	20
Outlays, gross:				
4010	Outlays from new discretionary authority	2	17
4011	Outlays from discretionary balances	13	12	12

4020	Outlays, gross (total)	15	29	12
4180	Budget authority, net (total)	20	20
4190	Outlays, net (total)	15	29	12

The National Defense Authorization Act of 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry.

No new funds are requested for 2021.

Employment Summary

Identification code 069-1770-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1

SHIP DISPOSAL

(INCLUDING CANCELLATION OF FUNDS)

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, **[\$5,000,000] \$4,200,000**, to remain available until expended: *Provided, That of the unobligated balances of funds made available under this heading by previous appropriations, \$6,803,172 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-1768-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ship Disposal	1	4	1
0002 N.S. Savannah	2	3	3
0003 NSS Decommissioning	13	96
0900 Total new obligations, unexpired accounts	16	103	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	116	105	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	4
1131 Unobligated balance of appropriations permanently reduced	-7
1160 Appropriation, discretionary (total)	5	5	-3
1930 Total budgetary resources available	121	110	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	105	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	17	80
3010 New obligations, unexpired accounts	16	103	4
3020 Outlays (gross)	-19	-40	-35
3050 Unpaid obligations, end of year	17	80	49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	17	80
3200 Obligated balance, end of year	17	80	49
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	-3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	2
4011 Outlays from discretionary balances	16	38	33
4020 Outlays, gross (total)	19	40	35
4180 Budget authority, net (total)	5	5	-3
4190 Outlays, net (total)	19	40	35

The Ship Disposal program provides resources to properly dispose of obsolete Government-owned merchant ships maintained by the Maritime Administration in the National Defense Reserve Fleet. The Maritime Administration contracts with domestic shipbreaking firms to dismantle these vessels in accordance with guidelines set forth by the U.S. Environmental Protection Agency. In 2021, the Ship Disposal program request funds the cost of program administration and maintenance of the Nuclear Ship Savannah in protective storage.

Object Classification (in millions of dollars)

Identification code 069-1768-0-1-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	13	101	2
99.0 Direct obligations	15	103	4
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	16	103	4

Employment Summary

Identification code 069-1768-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

MARITIME SECURITY PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, **[\$300,000,000] \$314,007,780**, to remain available until expended: *Provided, That of the unobligated balances of funds made available under this heading by previous appropriations, \$20,553,780 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-1711-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Maritime Security Program	292	300	314
0900 Total new obligations, unexpired accounts (object class 41.0)	292	300	314
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	39	39
1021 Recoveries of prior year unpaid obligations	5
1050 Unobligated balance (total)	31	39	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	300	300	314
1131 Unobligated balance of appropriations permanently reduced	-21
1160 Appropriation, discretionary (total)	300	300	293
1930 Total budgetary resources available	331	339	332
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39	39	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	26	26
3010 New obligations, unexpired accounts	292	300	314
3020 Outlays (gross)	-291	-300	-314
3040 Recoveries of prior year unpaid obligations, unexpired	-5
3050 Unpaid obligations, end of year	26	26	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	26	26
3200 Obligated balance, end of year	26	26	26

MARITIME SECURITY PROGRAM—Continued
Program and Financing—Continued

Identification code 069-1711-0-1-054	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	300	300	293
Outlays, gross:			
4010 Outlays from new discretionary authority	267	279	292
4011 Outlays from discretionary balances	24	21	22
4020 Outlays, gross (total)	291	300	314
4180 Budget authority, net (total)	300	300	293
4190 Outlays, net (total)	291	300	314

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to partially offset the higher operating costs of U.S. registry. The purpose of the program is to establish and sustain a fleet of active ships that are privately owned, commercially viable, and militarily useful to meet national defense and other emergency sealift requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities, and U.S. citizen merchant mariners to crew both commercial and Government-owned merchant ships.

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 069-1710-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Ready Reserve Force (Reimbursable)	454	409	410
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	21	22
1021 Recoveries of prior year unpaid obligations	18		
1050 Unobligated balance (total)	47	21	22
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	394	410	410
1701 Change in uncollected payments, Federal sources	40		
1750 Spending auth from offsetting collections, disc (total)	434	410	410
1930 Total budgetary resources available	481	431	432
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	21	22	22

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	152	204	201
3010 New obligations, unexpired accounts	454	409	410
3020 Outlays (gross)	-384	-412	-425
3040 Recoveries of prior year unpaid obligations, unexpired	-18		
3050 Unpaid obligations, end of year	204	201	186
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-70	-93	-93
3070 Change in uncollected pymts, Fed sources, unexpired	-40		
3071 Change in uncollected pymts, Fed sources, expired	17		
3090 Uncollected pymts, Fed sources, end of year	-93	-93	-93
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	82	111	108
3200 Obligated balance, end of year	111	108	93

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	434	410	410
Outlays, gross:			
4010 Outlays from new discretionary authority	264	369	369
4011 Outlays from discretionary balances	120	43	56
4020 Outlays, gross (total)	384	412	425

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:			
4030 Federal sources	-405	-410	-410
4040 Offsets against gross budget authority and outlays (total)	-405	-410	-410
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-40		
4052 Offsetting collections credited to expired accounts	11		
4060 Additional offsets against budget authority only (total)	-29		
4080 Outlays, net (discretionary)	-21	2	15
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-21	2	15

The Ready Reserve Force (RRF) fleet is comprised of Government-owned merchant ships within the National Defense Reserve Fleet that are maintained in an advanced state of surge sealift readiness for the transport of cargo to a given area of operation to satisfy combatant commanders' critical war fighting requirements. Resources for RRF vessel maintenance, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Navy.

Object Classification (in millions of dollars)

Identification code 069-1710-0-1-054	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	27	28	28
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	28	29	29
12.1 Civilian personnel benefits	10	10	10
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	18	18	18
23.3 Communications, utilities, and miscellaneous charges	6	6	6
25.1 Advisory and assistance services	4	4	4
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	5	5	5
25.4 Operation and maintenance of facilities	338	291	292
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	38	38	38
31.0 Equipment	2	2	2
99.0 Reimbursable obligations	455	409	410
99.5 Adjustment for rounding	-1		
99.9 Total new obligations, unexpired accounts	454	409	410

Employment Summary

Identification code 069-1710-0-1-054	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	274	308	308

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4303-0-3-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Vessel operations	1	4	4
0900 Total new obligations, unexpired accounts (object class 25.1)	1	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	27	26
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1930 Total budgetary resources available	28	30	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	26	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	2

3010	New obligations, unexpired accounts	1	4	4
3020	Outlays (gross)	-1	-3	-3
3050	Unpaid obligations, end of year	1	2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	2
3200	Obligated balance, end of year	1	2	3
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3	3	3
Outlays, gross:				
4010	Outlays from new discretionary authority		3	3
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	1	3	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-3	-3	-3
4040	Offsets against gross budget authority and outlays (total)	-3	-3	-3
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-2		
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1	1

This fund is authorized for the receipt of sales proceeds from the disposition of obsolete Government-owned merchant vessels. Collections from this account are authorized for allocation and distribution according to prescribed statutory formulas for use under three maritime-related purpose areas: 1) supporting acquisition, maintenance, repair, reconditioning, or improvement of National Defense Reserve Fleet vessels; 2) supporting state maritime academies and the United States Merchant Marine Academy; and 3) supporting the preservation and presentation to the public of maritime property and assets, including funds for the National Park Service National Maritime Heritage Grant Program.

WAR RISK INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4302-0-3-403	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	49	50	50
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	1		
1930	Total budgetary resources available	50	50	50
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	50	50	50
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4031	Interest on Federal securities	-1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-1		
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	39	50	50
5001	Total investments, EOY: Federal securities: Par value	50	50	50

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

PORT OF GUAM IMPROVEMENT ENTERPRISE FUND

Program and Financing (in millions of dollars)

Identification code 069-5560-0-2-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Port of Guam Improvement Enterprise Program		2	
0900	Total new obligations, unexpired accounts (object class 41.0)		2	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	2	
1930	Total budgetary resources available	2	2	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	3	1
3010	New obligations, unexpired accounts		2	
3020	Outlays (gross)		-4	
3050	Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	3	1
3200	Obligated balance, end of year	3	1	1
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances		4	
4180	Budget authority, net (total)			
4190	Outlays, net (total)		4	

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT

(INCLUDING [TRANSFER] CANCELLATION OF FUNDS)

For administrative expenses to carry out the guaranteed loan program, \$3,000,000, which shall be transferred to and merged with the appropriations for "Operations and Training", Maritime Administration. Of the unobligated balances of funds made available under this heading by previous appropriations, \$27,900,000 is hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0707	Reestimates of loan guarantee subsidy	32	4	
0708	Interest on reestimates of loan guarantee subsidy	20	5	
0709	Administrative expenses	3	3	
0900	Total new obligations, unexpired accounts	55	12	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	32	35	34
1001	Discretionary unobligated balance brought fwd, Oct 1	32	35	
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	35	35	34
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	3	3	
1131	Unobligated balance of appropriations permanently reduced			-28
1160	Appropriation, discretionary (total)	3	3	-28
Appropriations, mandatory:				
1200	Appropriation	52	8	
1900	Budget authority (total)	55	11	-28
1930	Total budgetary resources available	90	46	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	35	34	6

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22		1
3010 New obligations, unexpired accounts	55	12	
3020 Outlays (gross)	-74	-11	
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22		1
3200 Obligated balance, end of year		1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	-28
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	
4011 Outlays from discretionary balances	19		
4020 Outlays, gross (total)	22	3	
Mandatory:			
4090 Budget authority, gross	52	8	
Outlays, gross:			
4100 Outlays from new mandatory authority	52	8	
4180 Budget authority, net (total)	55	11	-28
4190 Outlays, net (total)	74	11	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235014 Federal Ship Financing Loan Guarantees	26	-75	
235999 Total guaranteed loan reestimates	26	-75	

The Maritime Guaranteed Loan (Title XI) program provides for a full faith and credit guarantee of debt obligations issued by U.S or foreign ship owners to finance or refinance the construction, reconstruction, or reconditioning of U.S.-flag vessels or eligible export vessels in U.S. shipyards; or for a full faith and credit guarantee of debt obligations issued by U.S. shipyard owners to finance the modernization of shipbuilding technology at shipyards located in the United States.

As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years which are estimated on a present value basis.

In 2021, the Maritime Guaranteed Loan (Title XI) program is proposed for elimination and the management of the existing loan guarantee portfolio and program would be administered by the Office of the Secretary's National Surface Transportation and Innovative Finance Bureau.

Object Classification (in millions of dollars)

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	52	9	
94.0 Financial transfers	3	3	
99.9 Total new obligations, unexpired accounts	55	12	

PORT INFRASTRUCTURE DEVELOPMENT PROGRAM

【To make grants to improve port facilities as authorized under section 50302 of title 46, United States Code, \$225,000,000 to remain available until expended: *Provided*, That projects eligible for funding provided under this heading shall be projects for coastal seaports, inland river ports, or Great Lakes ports: *Provided further*, That of the amounts made available under this heading, no less than \$200,000,000 shall be for coastal seaports or Great Lakes ports: *Provided further*, That the Maritime Administration shall distribute funds provided under this heading as discretionary grants to port authorities or commissions or their subdivisions and

agents under existing authority, as well as to a State or political subdivision of a State or local government, a tribal government, a public agency or publicly chartered authority established by one or more States, a special purpose district with a transportation function, a multistate or multijurisdictional group of entities, or a lead entity described above jointly with a private entity or group of private entities: *Provided further*, That projects eligible for funding provided under this heading shall be designed to improve the safety, efficiency, or reliability of the movement of goods into, out of, around, or within a port and located—】

【(1) within the boundary of a port, or】

【(2) outside the boundary of a port, and directly related to port operations, or to an intermodal connection to a port:】

【*Provided further*, That project awards eligible under this heading shall be only for—】

【(1) port gate improvements;】

【(2) road improvements both within and connecting to the port;】

【(3) rail improvements both within and connecting to the port;】

【(4) berth improvements (including docks, wharves, piers and dredging incidental to the improvement project);】

【(5) fixed landside improvements in support of cargo operations (such as silos, elevators, conveyors, container terminals, Ro/Ro structures including parking garages necessary for intermodal freight transfer, warehouses including refrigerated facilities, lay-down areas, transit sheds, and other such facilities);】

【(6) utilities necessary for safe operations (including lighting, stormwater, and other such improvements that are incidental to a larger infrastructure project); or】

【(7) a combination of activities described above:】

【*Provided further*, That the Federal share of the costs for which an expenditure is made under this heading shall be up to 80 percent: *Provided further*, That for grants awarded under this heading, the minimum grant size shall be \$1,000,000: *Provided further*, That for grant awards less than \$10,000,000, the Secretary shall prioritize ports that handled less than 10,000,000 short tons in 2017, as identified by the U.S. Army Corps of Engineers: *Provided further*, That for grant awards less than \$10,000,000, the Secretary may increase the Federal share of costs above 80 percent: *Provided further*, That not to exceed 2 percent of the funds appropriated under this heading shall be available for necessary costs of grant administration.】
(Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1713-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		293	225
0900 Total new obligations, unexpired accounts (object class 41.0)		293	225
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		293	225
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	293	225	
1930 Total budgetary resources available	293	518	225
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	293	225	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			290
3010 New obligations, unexpired accounts		293	225
3020 Outlays (gross)		-3	-52
3050 Unpaid obligations, end of year		290	463
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			290
3200 Obligated balance, end of year		290	463
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	293	225	
Outlays, gross:			
4011 Outlays from discretionary balances		3	52
4180 Budget authority, net (total)	293	225	
4190 Outlays, net (total)		3	52

The Port Infrastructure Development Program provides grants to assist ports with improving seaport infrastructure in, near and around maritime facilities. These projects are eligible for funding requested under the INFRA

and BUILD programs in 2021 so no additional funds are requested for this program.

MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4304-0-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	1		
0712		3	3
0713	3		1
0715	9	10	10
0742	24	65	
0743	3	18	
0900	40	97	14
Budgetary resources:			
Unobligated balance:			
1000	115	172	83
1023	-32		
1050	83	172	83
Financing authority:			
Borrowing authority, mandatory:			
1400	5		
Spending authority from offsetting collections, mandatory:			
1800	124	8	
1900	129	8	
1930	212	180	83
Memorandum (non-add) entries:			
1941	172	83	69
Change in obligated balance:			
Unpaid obligations:			
3000	3	3	86
3010	40	97	14
3020	-40	-14	-14
3050	3	86	86
Memorandum (non-add) entries:			
3100	3	3	86
3200	3	86	86
Financing authority and disbursements, net:			
Mandatory:			
4090	129	8	
Financing disbursements:			
4110	40	14	14
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-53	-8	
4122	-6		
4123	-65		
4130	-124	-8	
4160	5		
4170	-84	6	14
4180	5		
4190	-84	6	14

Status of Guaranteed Loans (in millions of dollars)

Identification code 069-4304-0-3-999	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111			
2150			
Cumulative balance of guaranteed loans outstanding:			
2210	1,325	1,418	1,418
2231	193		
2251	-99		
2262	-1		
2290	1,418	1,418	1,418

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,418	1,418	1,418
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	250	217	217
2331	Disbursements for guaranteed loan claims			
2351	Repayments of loans receivable	-33		
2361	Write-offs of loans receivable			
2364	Other adjustments, net			
2390	Outstanding, end of year	217	217	217

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Maritime Guaranteed Loan (Title XI) program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

In 2021, the Maritime Guaranteed Loan (Title XI) program is proposed for elimination and the management of the existing loan guarantee portfolio and program would be administered by the Office of the Secretary's National Surface Transportation and Innovative Finance Bureau.

Balance Sheet (in millions of dollars)

Identification code 069-4304-0-3-999	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	118	176
Investments in U.S. securities:		
1106	132	8
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	250	217
1504		5
1599	250	222
1999	500	406
LIABILITIES:		
Federal liabilities:		
2103	152	126
2105	53	84
2204	88	158
2999	293	368
NET POSITION:		
3300	207	38
4999	500	406

Trust Funds

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
0100			
Receipts:			
Current law:			
1130	2	2	2
2000	2	2	2
Appropriations:			
Current law:			
2101	-2	-2	-2
5099			

Program and Financing (in millions of dollars)

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	5	2	2

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
0100 Total direct program - Subtotal (running)	5	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) - Gifts & Requests	2	2	2
1930 Total budgetary resources available	10	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		3	
3010 New obligations, unexpired accounts	5	2	2
3020 Outlays (gross)	-2	-5	-2
3050 Unpaid obligations, end of year	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		3	
3200 Obligated balance, end of year	3		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances		3	
4110 Outlays, gross (total)	2	5	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	5	2

Object Classification (in millions of dollars)

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources		2	2
32.0 Land and structures	5		
99.0 Direct obligations	5	2	2
99.9 Total new obligations, unexpired accounts	5	2	2

ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION

SEC. 170. Notwithstanding any other provision of this Act, in addition to any existing authority, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration: *Provided*, That payments received therefor shall be credited to the appropriation charged with the cost thereof and shall remain available until expended: *Provided further*, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts. (*Department of Transportation Appropriations Act, 2020.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
069-085500 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Administrative Costs	1	1	1
069-272830 Maritime (title XI) Loan Program, Downward Reestimates of Subsidies	27	83	
069-276010 Railroad Rehabilitation and Improvement Financing, Negative Subsidies	6		
069-276030 Downward Reestimates, Railroad Rehabilitation and Improvement Program	3	20	

069-276830 Transportation Infrastructure Finance and Innovation Program, Interest on Downward Reestimates	457	982	
069-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	31		
General Fund Offsetting receipts from the public	525	1,086	1
Intragovernmental payments:			
069-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	3		
General Fund Intragovernmental payments	3		

GENERAL PROVISIONS—DEPARTMENT OF TRANSPORTATION

SEC. 180. (a) During the current fiscal year, applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

(b) During the current fiscal year, applicable appropriations to the Department and its operating administrations shall be available for the purchase, maintenance, operation, and deployment of unmanned aircraft systems that advance the Department's, or its operating [administrations',] *administrations'* missions.

(c) Any unmanned aircraft system purchased, [or] procured, or contracted for by the Department prior to the enactment of this Act shall be deemed authorized by Congress as if this provision was in effect when the system was purchased, procured, or contracted for.

SEC. 181. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 182. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.

(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.

[SEC. 183. None of the funds in this Act shall be available for salaries and expenses of more than 125 political and Presidential appointees in the Department of Transportation: *Provided*, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation.]

SEC. [184] 183. Funds received by the Federal Highway Administration and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account and to the Federal Railroad Administration's "Safety and Operations" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

SEC. [185] 184. (a) None of the funds provided in this Act to the Department of Transportation may be used to make a loan, loan guarantee, line of credit, or discretionary grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than [3] 1 full business [days] day before any project competitively selected to receive any discretionary grant award, letter of intent, loan commitment, loan guarantee commitment, line of credit commitment, or full funding grant agreement [is announced by the Department or its modal administrations:] *totaling \$1,000,000 or more is announced by the Department or its modal administrations: Provided*, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: *Provided further*, That no notification shall involve funds that are not available for obligation.

(b) In addition to the notification required in subsection (a), none of the funds made available in this Act to the Department of Transportation may be used to make a loan, loan guarantee, line of credit, cooperative agreement or discretionary grant unless the Secretary of Transportation provides the House and Senate Committees on Appropriations a comprehensive list of all such loans, loan guarantees, lines of credit, cooperative agreement or discretionary grants that will be announced not less the [3] 1 full business [days] day before such announcement: *Provided*, That the Department shall provide the list required in this subsection prior to the notification required in subsection (a): *Provided further*, That the requirement to provide a list in this subsection does not apply to any "quick release"

of funds from the emergency relief program: *Provided further*, That no list shall involve funds that are not available for obligation.

SEC. 185. Section 311 of title 49, United States Code, is amended by striking "3 full business days" each place it appears and inserting "1 full business day".

SEC. 186. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. 187. Amounts made available in this or any prior Act that the Secretary determines represent improper payments by the Department of Transportation to a third-party contractor under a financial assistance award, which are recovered pursuant to law, shall be available—

(1) to reimburse the actual expenses incurred by the Department of Transportation in recovering improper payments: *Provided*, That amounts made available in this Act shall be available until expended; and

(2) to pay contractors for services provided in recovering improper payments or contractor support in the implementation of the Improper Payments Information Act of 2002, as amended by the Improper Payments Elimination and Recovery Act of 2010 and Improper Payments Elimination and Recovery Improvement Act of 2012, and Fraud Reduction and Data Analytics Act of 2015: *Provided*, That amounts in excess of that required for paragraphs (1) and (2)—

(A) shall be credited to and merged with the appropriation from which the improper payments were made, and shall be available for the purposes and period for which such appropriations are available: *Provided further*, That where specific project or accounting information associated with the improper payment or payments is not readily available, the Secretary may credit an appropriate account, which shall be available for the purposes and period associated with the account so credited; or

(B) if no such appropriation remains available, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That prior to depositing such recovery in the Treasury, the Secretary shall notify the House and Senate Committees on Appropriations of the amount and reasons for such transfer: *Provided further*, That for purposes of this section, the term "improper payments" has the same meaning as that provided in section 2(e)(2) of Public Law 111–204.]

SEC. [188] 187. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, transmission of said reprogramming notice shall be provided solely to the House and Senate Committees on Appropriations], and said reprogramming action shall be approved or denied solely by the House and Senate Committees on Appropriations]: *Provided*, That the Secretary of Transportation may provide notice to other congressional committees of the action of the House and Senate Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been [approved or denied by] transmitted to the House and Senate Committees on Appropriations.

SEC. [189] 188. Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to provide a direct benefit to the applicable modal administration or administrations.

SEC. [190] 189. The Secretary of Transportation is authorized to carry out a program that establishes uniform standards for developing and supporting agency transit pass and transit benefits authorized under section 7905 of title 5, United States Code, including distribution of transit benefits by various paper and electronic media.

SEC. 191. The Department of Transportation may use funds provided by this Act, or any other Act, to assist a contract under title 49 U.S.C. or title 23 U.S.C. utilizing geographic, economic, or any other hiring preference not otherwise authorized by law, or to amend a rule, regulation, policy or other measure that forbids a recipient of a Federal Highway Administration or Federal Transit Administration grant from imposing such hiring preference on a contract or construction project with which the Department of Transportation is assisting, only if the grant recipient certifies the following:

(1) that except with respect to apprentices or trainees, a pool of readily available but unemployed individuals possessing the knowledge, skill, and ability to perform the work that the contract requires resides in the jurisdiction;

(2) that the grant recipient will include appropriate provisions in its bid document ensuring that the contractor does not displace any of its existing employees in order to satisfy such hiring preference; and

(3) that any increase in the cost of labor, training, or delays resulting from the use of such hiring preference does not delay or displace any transportation project in the applicable Statewide Transportation Improvement Program or Transportation Improvement Program.]

SEC. 192. Section 502(b)(3) of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 822(b)(3)) is amended by striking "only during the 4-year period beginning on the date of enactment of the Passenger Rail Reform and Investment Act of 2015" and inserting "until September 30, 2020".]

SEC. [193] 190. The Secretary of Transportation shall coordinate with the Secretary of Homeland Security to ensure that best practices for Industrial Control Systems Procurement are up-to-date and shall ensure that systems procured with funds provided under this title were procured using such practices. (*Department of Transportation Appropriations Act, 2020.*)

GENERAL PROVISIONS—THIS ACT

SEC. 401. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 402. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 403. The expenditure of any appropriation under this Act for any consulting service through a procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 404. (a) None of the funds made available in this Act may be obligated or expended for any employee training that—

(1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;

(2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;

(3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;

(4) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or

(5) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties.]

SEC. [405] 404. Except as otherwise provided in this Act, none of the funds provided in titles I or III of this Act, provided by previous appropriations Acts to the agencies or entities funded in titles I or III of this Act that remain available for obligation or expenditure in fiscal year [2020] 2021, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by titles I or III of this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates a new program;

(2) eliminates a program, project, or activity;

(3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress;

(4) proposes to use funds directed for a specific activity by either the House or Senate Committees on Appropriations for a different purpose;

(5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;

(6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or

(7) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the joint explanatory statement accompanying this Act, whichever is more detailed, unless [prior approval is received from] notice is provided to the House and Senate Committees on Appropriations: *Provided*, That not later than 60 days after the date of enactment of this Act, each agency funded by titles I or III of this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: *Provided further*, That the report shall include—

(A) a table for each appropriation with a separate column to display the prior year enacted level, the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(B) a delineation in the table for each appropriation and its respective prior year enacted level by object class and program, project, and activity as detailed in this Act, the table accompanying the explanatory statement accompanying this Act, accompanying reports of the House and Senate Committee on Appropriations, or in the budget appendix for the respective appropriations, whichever is more detailed, and shall apply to all items for which a dollar amount is specified and to all programs for which new budget (obligational) authority is provided, as well as to discretionary grants and discretionary grant allocations; and

(C) an identification of items of special congressional interest.

SEC. [406]405. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020]2021 from appropriations made available for salaries and expenses for fiscal year [2020]2021 in this Act, shall remain available through September 30, [2021]2022, for each such account for the purposes authorized: *Provided*, That a [request]notification shall be submitted to the House and Senate Committees on Appropriations [for approval] prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines under section [405]404 of this Act.

SEC. [407]406. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: *Provided*, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: *Provided further*, That any use of funds for mass transit, railroad, airport, seaport or highway projects, as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfields as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118) shall be considered a public use for purposes of eminent domain.

[SEC. 408. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.]

[SEC. 409. No part of any appropriation contained in this Act shall be available to pay the salary for any person filling a position, other than a temporary position, formerly held by an employee who has left to enter the Armed Forces of the United States and has satisfactorily completed his or her period of active military or naval service, and has within 90 days after his or her release from such service or from hospitalization continuing after discharge for a period of not more than 1 year, made application for restoration to his or her former position and has been certified by the Office of Personnel Management as still qualified to perform the duties of his or her former position and has not been restored thereto.]

SEC. [410]407. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 8301-8305, popularly known as the "Buy American Act").

SEC. [411]408. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Buy American Act (41 U.S.C. 8301-8305).

SEC. [412]409. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301-10.122 and 301-10.123 of title 41, Code of Federal Regulations.

[SEC. 413. (a) None of the funds made available by this Act may be used to approve a new foreign air carrier permit under sections 41301 through 41305 of title 49, United States Code, or exemption application under section 40109 of that title of an air carrier already holding an air operators certificate issued by a country that is party to the U.S.-E.U.-Iceland-Norway Air Transport Agreement where such approval would contravene United States law or Article 17 bis of the U.S.-E.U.-Iceland-Norway Air Transport Agreement.

(b) Nothing in this section shall prohibit, restrict or otherwise preclude the Secretary of Transportation from granting a foreign air carrier permit or an exemption to such an air carrier where such authorization is consistent with the U.S.-E.U.-Iceland-Norway Air Transport Agreement and United States law.]

SEC. [414]410. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees of a single agency or department of the United States Government, who are stationed in the United States, at any single international conference unless the relevant Secretary reports to the House and Senate Committees on Appropriations at least 5 days in advance that such attendance is important to the national interest: *Provided*, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations.

[SEC. 415. None of the funds appropriated or otherwise made available under this Act may be used by the Surface Transportation Board to charge or collect any filing fee for rate or practice complaints filed with the Board in an amount in excess of the amount authorized for district court civil suit filing fees under section 1914 of title 28, United States Code.]

[SEC. 416. None of the funds made available by this Act may be used by the Department of Transportation, the Department of Housing and Urban Development, or any other Federal agency to lease or purchase new light duty vehicles for any executive fleet, or for an agency's fleet inventory, except in accordance with Presidential Memorandum-Federal Fleet Performance, dated May 24, 2011.]

SEC. [417]411. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

[SEC. 418. (a) None of the funds made available in this Act may be used to deny an Inspector General funded under this Act timely access to any records, documents, or other materials available to the department or agency over which that Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.), or to prevent or impede that Inspector General's access to such records, documents, or other materials, under any provision of law, except a provision of law that expressly refers to the Inspector General and expressly limits the Inspector General's right of access.

(b) A department or agency covered by this section shall provide its Inspector General with access to all such records, documents, and other materials in a timely manner.

(c) Each Inspector General shall ensure compliance with statutory limitations on disclosure relevant to the information provided by the establishment over which that Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.).

(d) Each Inspector General covered by this section shall report to the Committees on Appropriations of the House of Representatives and the Senate within 5 calendar days any failures to comply with this requirement.]

SEC. [419]412. None of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractors whose performance has been judged to be below satisfactory, behind schedule, over budget, or has failed to meet the basic requirements of a contract, unless the Agency determines that any such deviations are due to unforeseeable events, government-driven scope changes, or are not significant within the overall scope of the project and/or program unless such awards or incentive fees are consistent with 16.401(e)(2) of the Federal Acquisition Regulations.

SEC. [420]413. Except as expressly provided otherwise, any reference to "this Act" contained in this division shall be treated as referring only to the provisions of this division.

[SEC. 421. None of the funds made available by this Act may be used in contravention of section 5309(d)(2) of title 49, United States Code.]

[SEC. 422. None of the funds made available by this division may be used to issue rules or guidance in contravention of section 1210 of Public Law 115-254 (132 Stat. 3442) or section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155).]

[SEC. 423. None of the funds made available by this division may be used in contravention of section 2635.702 of title 5, Code of Federal Regulations.]

[SEC. 424. Of the unobligated balances of funds remaining from—

(1) Public Law 91-605, and any other Act, appropriated to the "Rail Crossings Demonstration Projects" account under Treasury Account Fund Symbol 69X0555, a total of \$517,220.20 is hereby permanently rescinded;

(2) Public Law 92-18, and any other Act, appropriated to the "Darien Gap Highway" account under Treasury Account Fund Symbol 69X0553, a total of \$2,037,034.50 is hereby permanently rescinded;

(3) Public Law 93–87, and any other Act, appropriated to the "Alaska Highway" account under Treasury Account Fund Symbol 69X0537, a total of \$62,861.61 is hereby permanently rescinded;

(4) Public Law 94–387, and any other Act, appropriated to the "Railroad-Highway Crossings Demonstration Projects" account under Treasury Account Fund Symbol 69X0557, a total of \$2,035,137.12 is hereby permanently rescinded;

(5) Public Law 97–257, and any other Act, appropriated to the "Access Highways to Public Recreation Areas on Certain Lakes" account under Treasury Account Fund Symbol 69X0503, a total of \$352,333.19 is hereby permanently rescinded;

(6) Public Law 99–190, and any other Act, appropriated to the "Highway Beautification" account under Treasury Account Fund Symbol 69X0540, a total of \$488,909.57 is hereby permanently rescinded;

(7) Public Law 101–164, and any other Act, appropriated to the "Highway Demonstration Projects-Preliminary Engineering" account under Treasury Account Fund Symbol 69X0583, a total of \$2,601,431.71 is hereby permanently rescinded;

(8) Public Law 101–516, and any other Act, appropriated to the "Highway Demonstration Projects" account under Treasury Account Fund Symbol 69X0598, a total of \$1,341 is hereby permanently rescinded;

(9) Public Law 102–143, and any other Act, appropriated to the "Highway Studies Feasibility, Design, Environmental, Engineering" account under Treasury Account Fund Symbol 69X0533, a total of \$262,204.01 is hereby permanently rescinded;

(10) Public Law 103–331, and any other Act, appropriated to the "Surface Transportation Projects" account under Treasury Account Fund Symbol 69X0505, a total of \$573,097.13 is hereby permanently rescinded; and

(11) Public Law 107–87, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X0641, a total of \$11,003,637 is hereby permanently rescinded. **】**

【SEC. 425. (a) Section 127(l)(3)(A) of title 23, United States Code, is amended—

(1) in the matter preceding clause (i), in the first sentence, by striking "clause (i) or (ii)" and inserting "clauses (i) through (iv)"; and

(2) by adding at the end the following:

"(iii) The Wendell H. Ford (Western Kentucky) Parkway (to be designated as a spur of Interstate Route 69) from the interchange with the William H. Natcher Parkway in Ohio County, Kentucky, west to the interchange of the Western Kentucky Parkway with the Edward T. Breathitt (Pennyrile) Parkway.

"(iv) The Edward T. Breathitt (Pennyrile) Parkway (to be designated as a spur of Interstate Route 69) from Interstate 24, north to Interstate 69."

(b) DESIGNATION AS HIGH PRIORITY CORRIDOR.—Section 1105(c) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 105 Stat. 2032; 131 Stat. 797) is amended by adding at the end the following:

"(91) The Wendell H. Ford (Western Kentucky) Parkway from the interchange with the William H. Natcher Parkway in Ohio County, Kentucky, west to the interchange of the Western Kentucky Parkway with the Edward T. Breathitt (Pennyrile) Parkway."

(c) DESIGNATION AS FUTURE INTERSTATE.—Section 1105(e)(5)(A) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 109 Stat. 597; 131 Stat. 797) is amended in the first sentence by striking "and subsection (c)(90)" and inserting "subsection (c)(90), and subsection (c)(91)".

(d) NUMBERING OF PARKWAY.—Section 1105(e)(5)(C)(i) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 109 Stat. 598; 126 Stat. 426; 131 Stat. 797) is amended by adding at the end the following: "The route referred to in subsection (c)(91) is designated as Interstate Route I-569."

(e) EXEMPTION.—Notwithstanding section 111 of title 23, United States Code, if the segment of highway described in paragraph (91) of section 1105(c) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 105 Stat. 2032; 131 Stat. 797) is designated as a route on the Interstate System, any commercial establishment operating legally in a rest area on that segment before the date of that designation may continue to operate in the Interstate right-of-way, subject to the Interstate access standards established under section 111 of that title. **】**

(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)

DEPARTMENT OF THE TREASURY

DEPARTMENTAL OFFICES

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Departmental Offices including operation and maintenance of the Treasury Building and Freedman's Bank Building; hire of passenger motor vehicles; maintenance, repairs, and improvements of, and purchase of commercial insurance policies for, real properties leased or owned overseas, when necessary for the performance of official business; executive direction program activities; international affairs and economic policy activities; domestic finance and tax policy activities, including technical assistance to State, local, and territorial entities; and Treasury-wide management policies and programs activities, **[\$228,373,000] \$239,973,000: Provided,** That of the amount appropriated under this heading—

- (1) not to exceed \$350,000 is for official reception and representation expenses;
- (2) not to exceed \$258,000 is for unforeseen emergencies of a confidential nature to be allocated and expended under the direction of the Secretary of the Treasury and to be accounted for solely on the Secretary's certificate; and
- (3) not to exceed \$24,000,000 shall remain available until September 30, **[2021] 2022,** for—
 - (A) the Treasury-wide Financial Statement Audit and Internal Control Program;
 - (B) information technology modernization requirements;
 - (C) the audit, oversight, and administration of the Gulf Coast Restoration Trust Fund;
 - (D) the development and implementation of programs within the Office of Critical Infrastructure Protection and Compliance Policy, including entering into cooperative agreements;
 - (E) operations and maintenance of facilities; and
 - (F) international operations. (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020–0101–0–1–803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Executive Direction	35	37	38
0002 International Affairs and Economic Policy	48	53	54
0003 Domestic Finance and Tax Policy	72	78	85
0005 Treasury-wide Management and Programs	43	38	39
0006 CFIOUS	16	37	39
0100 Subtotal, Direct programs	214	243	255
0799 Total direct obligations	214	243	255
0811 Salaries and Expenses (Reimbursable)	16	9	9
0900 Total new obligations, unexpired accounts	230	252	264
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	22	22
1012 Unobligated balance transfers between expired and unexpired accounts	2
1050 Unobligated balance (total)	23	22	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	215	228	240
Spending authority from offsetting collections, discretionary:			
1700 Collected	14	9	9
1700 Collected	15	15
1701 Change in uncollected payments, Federal sources	2
1750 Spending auth from offsetting collections, disc (total)	16	24	24
1900 Budget authority (total)	231	252	264
1930 Total budgetary resources available	254	274	286
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2
1941 Unexpired unobligated balance, end of year	22	22	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	90	54	39
3010 New obligations, unexpired accounts	230	252	264
3011 Obligations ("upward adjustments"), expired accounts	3
3020 Outlays (gross)	–258	–267	–262
3041 Recoveries of prior year unpaid obligations, expired	–11

3050 Unpaid obligations, end of year	54	39	41
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–25	–9	–9
3070 Change in uncollected pymts, Fed sources, unexpired	–2
3071 Change in uncollected pymts, Fed sources, expired	18
3090 Uncollected pymts, Fed sources, end of year	–9	–9	–9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	65	45	30
3200 Obligated balance, end of year	45	30	32
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	231	252	264
Outlays, gross:			
4010 Outlays from new discretionary authority	188	221	231
4011 Outlays from discretionary balances	70	46	31
4020 Outlays, gross (total)	258	267	262
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–27	–24	–24
4033 Non-Federal sources	–1
4040 Offsets against gross budget authority and outlays (total)	–28	–24	–24
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–2
4052 Offsetting collections credited to expired accounts	14
4060 Additional offsets against budget authority only (total)	12
4070 Budget authority, net (discretionary)	215	228	240
4080 Outlays, net (discretionary)	230	243	238
4180 Budget authority, net (total)	215	228	240
4190 Outlays, net (total)	230	243	238

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	215	228	240
Outlays	230	243	238
Legislative proposal, not subject to PAYGO:			
Budget Authority	2
Outlays	2
Total:			
Budget Authority	215	228	242
Outlays	230	243	240

Departmental Offices, as the headquarters bureau for the Department of the Treasury, provides leadership in economic and financial policy, terrorism and financial intelligence, financial crimes, and general management. The Secretary of the Treasury has the primary role of formulating and managing the domestic and international tax and financial policies of the Federal Government. Through effective management, policies, and leadership, the Treasury Department protects our national security through targeted financial actions, promotes the stability of the Nation's financial markets, and ensures the Government's ability to collect revenue and fund its operations.

Object Classification (in millions of dollars)

Identification code 020–0101–0–1–803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	82	93	99
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	2	3	3
11.8 Special personal services payments	1
11.9 Total personnel compensation	86	98	104
12.1 Civilian personnel benefits	25	29	30
21.0 Travel and transportation of persons	4	3	3
23.2 Rental payments to others	2	1	1
25.1 Advisory and assistance services	13	12	14
25.2 Other services from non-Federal sources	2	6	6
25.3 Other goods and services from Federal sources	76	83	88
25.7 Operation and maintenance of equipment	1	1
26.0 Supplies and materials	3	4	4
31.0 Equipment	2	2	2

SALARIES AND EXPENSES—Continued
Object Classification—Continued

Identification code 020-0101-0-1-803	2019 actual	2020 est.	2021 est.
32.0 Land and structures	1	4	3
99.0 Direct obligations	214	243	256
99.0 Reimbursable obligations	16	9	10
99.5 Adjustment for rounding			-2
99.9 Total new obligations, unexpired accounts	230	252	264

Employment Summary

Identification code 020-0101-0-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	596	703	765
2001 Reimbursable civilian full-time equivalent employment	46	40	40

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, an additional \$1,500,000 for necessary expenses of the Departmental Offices of the Department of the Treasury.

Program and Financing (in millions of dollars)

Identification code 020-0101-2-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0005 Treasury-wide Management and Programs			2
0100 Subtotal, Direct programs			2
0799 Total direct obligations			2
0900 Total new obligations, unexpired accounts			2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			2
1900 Budget authority (total)			2
1930 Total budgetary resources available			2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			2
3020 Outlays (gross)			-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			2
Outlays, gross:			
4010 Outlays from new discretionary authority			2
4180 Budget authority, net (total)			2
4190 Outlays, net (total)			2

The Budget proposes to transfer the United States Secret Service from the Department of Homeland Security to the Department of the Treasury. The proposed funding will support necessary expenses for the coordination and oversight of the transfer by the Departmental Offices of the Department of the Treasury. For additional information on the transfer proposal, please consult the Department of the Treasury chapter of the *Main Budget Volume*.

Object Classification (in millions of dollars)

Identification code 020-0101-2-1-803	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			1
11.9 Total personnel compensation			1
99.0 Direct obligations			1
99.5 Adjustment for rounding			1
99.9 Total new obligations, unexpired accounts			2

Employment Summary

Identification code 020-0101-2-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			7

OFFICE OF TERRORISM AND FINANCIAL INTELLIGENCE

SALARIES AND EXPENSES

For the necessary expenses of the Office of Terrorism and Financial Intelligence to safeguard the financial system against illicit use and to combat rogue nations, terrorist facilitators, weapons of mass destruction proliferators, human rights abusers, money launderers, drug kingpins, and other national security threats, **[\$169,712,000]** **\$172,751,000**, of which not less than \$3,000,000 shall be available for addressing human rights violations and corruption, including activities authorized by the Global Magnitsky Human Rights Accountability Act (22 U.S.C. 2656 note): *Provided*, That of the amounts appropriated under this heading, up to \$10,000,000 shall remain available until September 30, **[2021]** **2022**. (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-1804-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Terrorism and Financial Intelligence	153	170	173
0811 Salaries and Expenses (Reimbursable)	8	8	8
0900 Total new obligations, unexpired accounts	161	178	181
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	10	10
1012 Unobligated balance transfers between expired and unexpired accounts	1		
1050 Unobligated balance (total)	6	10	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	159	170	173
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	8	8
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	8	8	8
1900 Budget authority (total)	167	178	181
1930 Total budgetary resources available	173	188	191
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	10	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	45	53	33
3010 New obligations, unexpired accounts	161	178	181
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-152	-198	-181
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	53	33	33
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-6	-6
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3071 Change in uncollected pymts, Fed sources, expired	4		
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	38	47	27
3200 Obligated balance, end of year	47	27	27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	167	178	181
Outlays, gross:			
4010 Outlays from new discretionary authority	122	148	151
4011 Outlays from discretionary balances	30	50	30
4020 Outlays, gross (total)	152	198	181
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-8	-8

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-3
4052	Offsetting collections credited to expired accounts	4
4060	Additional offsets against budget authority only (total)	1
4070	Budget authority, net (discretionary)	159	170 173
4080	Outlays, net (discretionary)	143	190 173
4180	Budget authority, net (total)	159	170 173
4190	Outlays, net (total)	143	190 173

The Office of Terrorism and Financial Intelligence (TFI) safeguards the financial system against illicit use and combats rogue nations, terrorist facilitators, weapons of mass destruction proliferators, human rights abusers, money launderers, drug kingpins, and other national security threats. The Budget prioritizes funding for TFI's targeted financial tools and authorities, including sanctions programs aimed at countering countries, organizations, and individuals that threaten U.S. interests and the technology and mission support infrastructure required to support those programs.

Object Classification (in millions of dollars)

Identification code 020-1804-0-1-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	65	71
11.3	Other than full-time permanent	1	1
11.5	Other personnel compensation	1	1
11.9	Total personnel compensation	54	67 73
12.1	Civilian personnel benefits	17	21 22
21.0	Travel and transportation of persons	2	4 4
23.3	Communications, utilities, and miscellaneous charges	1
25.1	Advisory and assistance services	21	26 21
25.2	Other services from non-Federal sources	2 2
25.3	Other goods and services from Federal sources	44	47 46
25.7	Operation and maintenance of equipment	1
26.0	Supplies and materials	3	2 2
31.0	Equipment	6	3 2
32.0	Land and structures	4
99.0	Direct obligations	153	170 174
99.0	Reimbursable obligations	7	7 7
99.5	Adjustment for rounding	1	1
99.9	Total new obligations, unexpired accounts	161	178 181

Employment Summary

Identification code 020-1804-0-1-803	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	440	526 575
2001	Reimbursable civilian full-time equivalent employment	40	41 41

CYBERSECURITY ENHANCEMENT ACCOUNT

For salaries and expenses for enhanced cybersecurity for systems operated by the Department of the Treasury, \$18,000,000, to remain available until September 30, [2022] 2023: *Provided*, That such funds shall supplement and not supplant any other amounts made available to the Treasury offices and bureaus for cybersecurity: *Provided further*, That of the total amount made available under this heading \$1,000,000 shall be available for administrative expenses for the Treasury Chief Information Officer to provide oversight of the investments made under this heading: *Provided further*, That such funds shall supplement and not supplant any other amounts made available to the Treasury Chief Information Officer. (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-1855-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Treasury-wide	33	24 25
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	37	29 23

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	25	18 18
1930	Total budgetary resources available	62	47 41
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	29	23 16

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	13	15 12
3010	New obligations, unexpired accounts	33	24 25
3020	Outlays (gross)	-31	-27 -20
3050	Unpaid obligations, end of year	15	12 17
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	13	15 12
3200	Obligated balance, end of year	15	12 17

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	25	18 18
Outlays, gross:			
4010	Outlays from new discretionary authority	2	4 4
4011	Outlays from discretionary balances	29	23 16
4020	Outlays, gross (total)	31	27 20
4180	Budget authority, net (total)	25	18 18
4190	Outlays, net (total)	31	27 20

Trillions of dollars are accounted for and processed by the Department of the Treasury's information technology (IT) systems and therefore these systems are a constant target for sophisticated threat actors. The Cybersecurity Enhancement Account allows Treasury to more proactively and strategically protect Treasury systems against cybersecurity threats. The account supports Department-wide and Bureau-specific investments for critical IT improvements including the systems identified as High Value Assets. Furthermore, the centralization of funds allows Treasury to more nimbly respond in the event of a cybersecurity incident as well as leverage enterprise-wide services and capabilities across the components of the Department.

Object Classification (in millions of dollars)

Identification code 020-1855-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2 1
12.1	Civilian personnel benefits	1
23.3	Communications, utilities, and miscellaneous charges	1
25.1	Advisory and assistance services	26	10 14
25.2	Other services from non-Federal sources	1
25.3	Other goods and services from Federal sources	1	1 1
25.7	Operation and maintenance of equipment	2	10 3
31.0	Equipment	2 3
99.0	Direct obligations	33	24 24
99.5	Adjustment for rounding 1
99.9	Total new obligations, unexpired accounts	33	24 25

Employment Summary

Identification code 020-1855-0-1-808	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	12	11 6

[DEPARTMENT-WIDE SYSTEMS AND] TREASURY CAPITAL INVESTMENTS [PROGRAMS] AND MODERNIZATION FUND

(INCLUDING TRANSFER OF FUNDS)

For development and acquisition of [automatic data processing] information technology equipment, software, and services and for repairs and renovations to buildings owned by the Department of the Treasury, [\$6,118,000] \$13,500,000, to remain available until September 30, [2022] 2025: *Provided*, That [these funds shall] any funds available under this heading may be transferred to accounts and in amounts as necessary to satisfy the requirements of the Department's offices, bureaus, and other organizations: *Provided further*, That [this] all transfer authority under this heading shall be in addition to any other transfer authority provided in

TREASURY CAPITAL INVESTMENTS AND MODERNIZATION FUND—Continued
this Act: *Provided further*, That none of the funds appropriated under this heading shall be used to support or supplement "Internal Revenue Service, Operations Support" or "Internal Revenue Service, Business Systems Modernization". (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0115-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Department-wide Systems and Capital Investments Programs (Direct)	4	7	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	6	14
1930 Total budgetary resources available	6	8	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	4	6
3010 New obligations, unexpired accounts	4	7	10
3020 Outlays (gross)	-5	-5	-10
3050 Unpaid obligations, end of year	4	6	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	4	6
3200 Obligated balance, end of year	4	6	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	6	14
Outlays, gross:			
4010 Outlays from new discretionary authority	3	7	7
4011 Outlays from discretionary balances	5	2	3
4020 Outlays, gross (total)	5	5	10
4180 Budget authority, net (total)	4	6	14
4190 Outlays, net (total)	5	5	10

This account is authorized to be used by Treasury's offices and bureaus to modernize business processes, increase efficiency, and improve infrastructure through technology and capital investments. The Budget proposes to change the name of this account to Treasury Capital Investments and Modernization Fund (TCIMF) from Department-wide Systems and Capital Investments Program (DSCIP). This proposed name change reflects the evolving vision for the account to focus on modernization of Information Technology (IT) and increased cybersecurity along with the existing authorities supporting repairs and renovations to Treasury's White House complex buildings and facilities.

The Budget requests new transfer authority that will provide greater flexibility for Treasury bureaus to invest in IT projects that advance their mission and align to Departmental Chief Information Officer priorities. Funds transferred into the account from bureaus are intended to be used for IT modernization efforts to address Treasury's technology needs and modernize business processes.

Object Classification (in millions of dollars)

Identification code 020-0115-0-1-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.4 Operation and maintenance of facilities		4	
31.0 Equipment			1
32.0 Land and structures	3	3	9
99.0 Direct obligations	3	7	10
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	4	7	10

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, [\$41,044,000] \$39,335,000, including hire of passenger motor vehicles; of which not to exceed \$100,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General of the Treasury; of which up to \$2,800,000 to remain available until September 30, [2021] 2022, shall be for audits and investigations conducted pursuant to section 1608 of the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (33 U.S.C. 1321 note); and of which not to exceed \$1,000 shall be available for official reception and representation expenses. (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0106-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Audits	27	30	29
0002 Investigations	11	11	10
0799 Total direct obligations	38	41	39
0801 Office of Inspector General (Reimbursable)	6	9	9
0900 Total new obligations, unexpired accounts	44	50	48
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	37	41	39
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	9	9
1701 Change in uncollected payments, Federal sources	5		
1750 Spending auth from offsetting collections, disc (total)	6	9	9
1900 Budget authority (total)	43	50	48
1930 Total budgetary resources available	45	50	48
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	13	15
3010 New obligations, unexpired accounts	44	50	48
3020 Outlays (gross)	-42	-48	-52
3050 Unpaid obligations, end of year	13	15	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired	-5		
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	8	10
3200 Obligated balance, end of year	8	10	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	43	50	48
Outlays, gross:			
4010 Outlays from new discretionary authority	32	38	37
4011 Outlays from discretionary balances	10	10	15
4020 Outlays, gross (total)	42	48	52
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-5		
4052 Offsetting collections credited to expired accounts	5		
4070 Budget authority, net (discretionary)	37	41	39
4080 Outlays, net (discretionary)	36	39	43
4180 Budget authority, net (total)	37	41	39
4190 Outlays, net (total)	36	39	43

The Office of Inspector General (OIG) conducts audits and investigations designed to promote integrity, efficiency, and effectiveness in programs and operations within the Department and across the OIG's jurisdiction,

as well as to keep the Secretary and the Congress fully informed of problems and deficiencies in the administration of such programs and operations. The OIG conducts audits and investigations of Treasury programs and operations except those under jurisdictional oversight of the Treasury Inspector General for Tax Administration and the Special Inspector General for the Troubled Asset Relief Program. In addition, the Treasury Inspector General functions as Chair of the Council of Inspectors General on Financial Oversight. The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act tasked the OIG with oversight of all projects, programs, and operations of the Gulf Coast Restoration Trust Fund (Trust Fund), which extends to the Gulf Coast Ecosystem Restoration Council.

The Budget request for the OIG will be used to fund audit, investigative, and mission support activities to meet the requirements of the Inspector General Act, as well as other statutes relating to: 1) cyber threats; 2) Bank Secrecy Act, anti-money laundering, and anti-terrorist financing enforcement; 3) spending transparency and improper payments; and 4) administration of the Trust Fund. Specific mandates include audits of the Department's financial statements, compliance with FISMA, and actions in implementing cybersecurity information sharing. In its oversight of the Office of the Comptroller of the Currency, OIG conducts material loss reviews of failed national banks and trusts insured by the Federal Deposit Insurance Corporation. With resources available after mandated requirements are met, the OIG will conduct audits and reviews of the Department's highest risk programs and operations. The OIG will also respond to stakeholder requests.

The Office of Audit expects to complete 100 percent of statutory audits by the required deadline and to complete 82 audit products in 2021, as well as provide oversight, on a reimbursable basis, of the Small Business Lending Fund.

In 2021, the Office of Investigations will continue to investigate all reports of fraud, waste, abuse, and criminal activity affecting Treasury programs and operations. It will also continue proactive efforts to detect, investigate, and deter electronic crimes and other threats to Treasury's physical and IT critical infrastructure, and will continue current efforts to aggressively investigate, close, and refer cases for criminal prosecution, civil litigation, or corrective administrative action in a timely manner.

The Budget includes language that would allow, contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, the Secretary of Homeland Security to transfer amounts from the Department of Homeland Security Inspector General to support the Treasury OIG's oversight of the Secret Service.

Object Classification (in millions of dollars)

Identification code 020-0106-0-1-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	19	21	20
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	20	22	21
12.1 Civilian personnel benefits	7	8	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	2	2	2
31.0 Equipment	2	2	2
99.0 Direct obligations	38	41	39
99.0 Reimbursable obligations	6	9	9
99.9 Total new obligations, unexpired accounts	44	50	48

Employment Summary

Identification code 020-0106-0-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	154	167	180

COMMITTEE ON FOREIGN INVESTMENT IN THE UNITED STATES FUND

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Committee on Foreign Investment in the United States, \$20,000,000, to remain available until expended: *Provided*, That the chairperson of the Committee may transfer such amounts to any department or agency represented on the Committee (including the Department of the Treasury) subject to advance notification to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts so transferred shall remain available until expended for expenses of implementing section 721 of the Defense Production Act of 1950, as amended (50 U.S.C. 4565), and shall be available in addition to any other funds available to any department or agency: *Provided further*, That fees authorized by section 721(p) of such Act shall be credited to this appropriation as offsetting collections: *Provided further*, That the total amount appropriated under this heading from the general fund shall be reduced as such offsetting collections are received during fiscal year **2020** *2021*, so as to result in a total appropriation from the general fund estimated at not more than **10** *10*. (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0165-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Transfer to Departmental Offices		15	15
0002 Transfer to Member Agencies		5	5
0900 Total new obligations, unexpired accounts (object class 94.0)		20	20
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		10	
Spending authority from offsetting collections, discretionary:			
1700 Collected		10	20
1900 Budget authority (total)		20	20
1930 Total budgetary resources available		20	20
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		20	20
3020 Outlays (gross)		-20	-20
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		20	20
Outlays, gross:			
4010 Outlays from new discretionary authority		20	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-10	-20
4180 Budget authority, net (total)		10	
4190 Outlays, net (total)		10	

The Committee on Foreign Investment in the United States (CFIUS) is an interagency committee chaired by the Secretary of the Treasury to review certain transactions involving foreign investment in the United States to determine the effect of such transactions on the national security of the United States and to address identified national security risks. The Foreign Investment Risk Review Modernization Act of 2018 authorizes the establishment of a CFIUS Fund. This account funds investments necessary to the functioning of CFIUS and allows the transfer of a portion of such funds to CFIUS agencies to address emerging needs.

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION

SALARIES AND EXPENSES

For necessary expenses of the Treasury Inspector General for Tax Administration in carrying out the Inspector General Act of 1978, as amended, including purchase and hire of passenger motor vehicles (31 U.S.C. 1343(b)); and services authorized by 5 U.S.C. 3109, at such rates as may be determined by the Inspector General for Tax Administration: **170,250,000** *171,350,000*, of which \$5,000,000 shall remain available until September 30, **2021** *2022*; of which not to exceed \$6,000,000 shall be available for official travel expenses; of which not to exceed \$500,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION—Continued
expended under the direction of the Inspector General for Tax Administration; and of which not to exceed \$1,500 shall be available for official reception and representation expenses. (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Table with columns: Identification code 020-0119-0-1-803, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity (Audit, Investigations, Total direct obligations, Treasury Inspector General for Tax Administration (Reimbursable), Total new obligations, unexpired accounts), Budgetary resources (Unobligated balance, Budget authority: Appropriations, discretionary; Spending authority from offsetting collections, discretionary; Collected; Budget authority (total), Total budgetary resources available, Memorandum (non-add) entries: Unobligated balance expiring, Unexpired unobligated balance, end of year), Change in obligated balance (Unpaid obligations: Unpaid obligations, brought forward, Oct 1; New obligations, unexpired accounts; Obligations ("upward adjustments"), expired accounts; Outlays (gross); Recoveries of prior year unpaid obligations, expired; Unpaid obligations, end of year; Uncollected payments: Uncollected pymts, Fed sources, brought forward, Oct 1; Change in uncollected pymts, Fed sources, expired; Memorandum (non-add) entries: Obligated balance, start of year; Obligated balance, end of year), Budget authority and outlays, net: Discretionary: Budget authority, gross; Outlays, gross: Outlays from new discretionary authority; Outlays from discretionary balances; Outlays, gross (total); Offsets against gross budget authority and outlays: Offsetting collections (collected) from: Federal sources; Budget authority, net (total); Outlays, net (total).

istration areas, such as: 1) improving enforcement of tax law changes, security of taxpayer data, tax compliance, tax systems, and online services to increase revenue; 2) identity theft detection and prevention, fraudulent claims, and improper payments to enhance the efficiency of the IRS; and 3) monitoring the progress of the IRS in achieving its strategic goals.

TIGTA's Office of Investigations (OI), concentrates on three areas: 1) employee integrity; 2) employee and infrastructure security; and 3) external attempts to corrupt tax administration. Conducting investigations in these areas protect the public's confidence in the tax system from allegations of IRS employee misconduct, protecting IRS facilities and data, and investigating allegations of bribery or impersonation of the IRS. OI's investigative program protects the ability of the IRS to process 253 million tax returns and collect more than \$3.5 trillion in annual revenue for the Federal Government.

TIGTA's Office of Inspections and Evaluations (I&E) will identify opportunities for improvement in IRS and TIGTA programs by performing inspections and evaluations that report timely, useful, and reliable information to decisionmakers and stakeholders. The oversight activities of I&E include inspecting the compliance of the IRS with established system controls and operating procedures, as well as evaluating the Agency's operations for high-risk systemic inefficiencies.

Object Classification (in millions of dollars)

Table with columns: Identification code 020-0119-0-1-803, 2019 actual, 2020 est., 2021 est. Rows include Direct obligations: Personnel compensation: Full-time permanent; Other personnel compensation; Total personnel compensation; Civilian personnel benefits; Travel and transportation of persons; Rental payments to GSA; Communications, utilities, and miscellaneous charges; Advisory and assistance services; Other services from non-Federal sources; Other goods and services from Federal sources; Operation and maintenance of equipment; Supplies and materials; Equipment; Direct obligations; Reimbursable obligations; Total new obligations, unexpired accounts.

Employment Summary

Table with columns: Identification code 020-0119-0-1-803, 2019 actual, 2020 est., 2021 est. Rows include Direct civilian full-time equivalent employment; Reimbursable civilian full-time equivalent employment.

The Treasury Inspector General for Tax Administration (TIGTA), an independent office within the Department of the Treasury, is charged with providing oversight of the Internal Revenue Service (IRS) and the IRS Chief Counsel. TIGTA conducts independent audits, investigations, inspections, and evaluations necessary to prevent and detect waste, fraud, and abuse in IRS programs and operations, and helps to ensure that the IRS protects and secures taxpayer data. TIGTA is also responsible for identifying and recommending strategies to address IRS management challenges and implementing the Department's priorities.

TIGTA's Office of Audit focuses on the major management and performance challenges confronting the IRS by prioritizing statutory audit coverage and high-risk audit work. The statutory coverage will include audits mandated by the IRS Restructuring and Reform Act of 1998 and other statutory authorities and standards involving cybersecurity, financial management, and taxpayer privacy and rights. Additionally, audits will be conducted to assess the IRS's implementation of the Tax Cuts and Jobs Act of 2017. The core of TIGTA's audit work will continue to focus on high-risk tax admin-

TERRORISM INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Table with columns: Identification code 020-0123-0-1-376, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity: Base Administrative Expenses; Projected Payments to Insurers; Total new obligations, unexpired accounts; Budgetary resources: Budget authority: Appropriations, mandatory: Appropriation; Total budgetary resources available; Change in obligated balance: Unpaid obligations: Unpaid obligations, brought forward, Oct 1; New obligations, unexpired accounts.

3020	Outlays (gross)	-3	-31	-94
3050	Unpaid obligations, end of year	2	2	2
3100	Memorandum (non-add) entries:			
	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2

Budget authority and outlays, net:

Mandatory:

4090	Budget authority, gross	3	31	94
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	31	94
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	3	31	94
4180	Budget authority, net (total)	3	31	94
4190	Outlays, net (total)	3	31	94

The Terrorism Risk Insurance Program Reauthorization Act of 2019 (P.L. 116–94) reauthorized and revised the program established by the Terrorism Risk Insurance Act of 2002 (P.L. 107–297). The 2019 Act extended the Terrorism Risk Insurance Program (TRIP) for seven years, through December 31, 2027. The Budget baseline includes the estimated Federal cost of providing payments in connection with terrorism risk insurance losses. There have been no prior payments under the Program. While the Budget does not forecast any specific payment triggering events, the Budget includes estimates representing the weighted average of payments over a full range of possible scenarios, most of which include no notional payment triggering events and some of which include notional triggering events of varying magnitude. Relying upon this methodology, the Budget baseline projects net spending associated with the current reauthorization period of \$53 million for 2021, \$256 million over the 2021–2025 period, and \$394 million over the 2021–2030 period. The 2019 Act did not change the sharing mechanisms that are in effect for 2020. Beginning in 2021, as a result of the 2019 Act, a gradual increase in insurer premiums over time will result in a corresponding increase in the insurance industry share of any losses that might trigger the Program.

Object Classification (in millions of dollars)

Identification code 020–0123–0–1–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	2	2	2
42.0 Insurance claims and indemnities		28	91
99.0 Direct obligations	3	31	94
99.9 Total new obligations, unexpired accounts	3	31	94

Employment Summary

Identification code 020–0123–0–1–376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4	4	4

TREASURY FORFEITURE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020–5697–0–2–751	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	152	56	37
Receipts:			
Current law:			
1110 Forfeited Cash and Proceeds from Sale of Forfeited Property, Treasury Forfeiture Fund	806	547	547
1140 Earnings on Investments, Treasury Forfeiture Fund	70	50	50
1199 Total current law receipts	876	597	597
1999 Total receipts	876	597	597
2000 Total: Balances and receipts	1,028	653	634
Appropriations:			
Current law:			
2101 Treasury Forfeiture Fund	-876	-597	-597
2103 Treasury Forfeiture Fund	-150	-54	-35

2132 Treasury Forfeiture Fund	54	35	
2199 Total current law appropriations	-972	-616	-632
2999 Total appropriations	-972	-616	-632
5099 Balance, end of year	56	37	2

Program and Financing (in millions of dollars)

Identification code 020–5697–0–2–751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mandatory	395	437	445
0002 Strategic Support	603		
0003 Secretary's Enforcement Fund	25	35	36
0900 Total new obligations, unexpired accounts	1,023	472	481

Budgetary resources:

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	825	609	768
1021 Recoveries of prior year unpaid obligations	35	15	15
1050 Unobligated balance (total)	860	624	783
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	876	597	597
1203 Appropriation (previously unavailable)(special or trust) ...	150	54	35
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-200		
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-54	-35	
1260 Appropriations, mandatory (total)	772	616	632
1930 Total budgetary resources available	1,632	1,240	1,415
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	609	768	934

Change in obligated balance:

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	598	513	580
3010 New obligations, unexpired accounts	1,023	472	481
3020 Outlays (gross)	-1,073	-390	-500
3040 Recoveries of prior year unpaid obligations, unexpired	-35	-15	-15
3050 Unpaid obligations, end of year	513	580	546
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	598	513	580
3200 Obligated balance, end of year	513	580	546

Budget authority and outlays, net:

Mandatory:

4090 Budget authority, gross	772	616	632
Outlays, gross:			
4100 Outlays from new mandatory authority	259	61	64
4101 Outlays from mandatory balances	814	329	436
4110 Outlays, gross (total)	1,073	390	500
4180 Budget authority, net (total)	772	616	632
4190 Outlays, net (total)	1,073	390	500

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,543	1,144	1,348
5001 Total investments, EOY: Federal securities: Par value	1,144	1,348	1,428

The mission of the Treasury Forfeiture Fund (Fund) is to affirmatively influence the consistent and strategic use of asset forfeiture by law enforcement bureaus that participate in the Fund to disrupt and dismantle criminal enterprises. The Fund supports Federal, state, and local law enforcement's use of asset forfeiture to disrupt and deter criminal activity. Proceeds from non-tax forfeitures made by participating bureaus of the Department of the Treasury and the Department of Homeland Security are deposited into the Fund. Such proceeds are available to pay or reimburse certain costs and expenses related to seizures and forfeitures that occur pursuant to laws enforced by the bureaus and other expenses authorized by 31 U.S.C. 9705. Forfeiture proceeds can also be used to fund Federal law enforcement-related activities based on requests from Federal agencies and evaluation by the Secretary of the Treasury.

TREASURY FORFEITURE FUND—Continued

Object Classification (in millions of dollars)

Identification code 020-5697-0-2-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	69	46	47
25.3 Other goods and services from Federal sources	166	140	141
41.0 Grants, subsidies, and contributions	71	111	114
44.0 Refunds	88	140	143
94.0 Financial transfers	628	35	36
99.0 Direct obligations	1,022	472	481
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	1,023	472	481

FINANCIAL RESEARCH FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5590-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	4	4	3
Receipts:			
Current law:			
1110 Fees and Assessments, Financial Research Fund	64	72	75
1130 Interest, Financial Research Fund	2	1	2
1199 Total current law receipts	66	73	77
1999 Total receipts	66	73	77
2000 Total: Balances and receipts	70	77	80
Appropriations:			
Current law:			
2101 Financial Research Fund	-66	-74	-76
2103 Financial Research Fund	-4	-4	-4
2132 Financial Research Fund	4	4	
2199 Total current law appropriations	-66	-74	-80
2999 Total appropriations	-66	-74	-80
5099 Balance, end of year	4	3	

Program and Financing (in millions of dollars)

Identification code 020-5590-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 FSOC	5	6	6
0003 FDIC Payments	4	3	4
0091 FSOC subtotal	9	9	10
0101 OFR	60	75	75
0900 Total new obligations, unexpired accounts	69	84	85
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	59	54
1021 Recoveries of prior year unpaid obligations	6	5	5
1050 Unobligated balance (total)	62	64	59
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	66	74	76
1203 Appropriation (previously unavailable)(special or trust)	4	4	4
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-4	-4	
1260 Appropriations, mandatory (total)	66	74	80
1930 Total budgetary resources available	128	138	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	59	54	54
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	23	28
3010 New obligations, unexpired accounts	69	84	85
3020 Outlays (gross)	-66	-74	-82
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-5	-5
3050 Unpaid obligations, end of year	23	28	26

Memorandum (non-add) entries:

3100 Obligated balance, start of year	26	23	28
3200 Obligated balance, end of year	23	28	26

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	66	74	80
Outlays, gross:			
4100 Outlays from new mandatory authority	56	18	23
4101 Outlays from mandatory balances	10	56	59
4110 Outlays, gross (total)	66	74	82
4180 Budget authority, net (total)	66	74	80
4190 Outlays, net (total)	66	74	82

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	76	75	51
5001 Total investments, EOY: Federal securities: Par value	75	51	51

The Office of Financial Research (OFR) and the Financial Stability Oversight Council (Council), whose expenses are paid for out of the Financial Research Fund, were established under the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203).

The OFR was established to serve the Council, its member agencies, and the public by improving the quality, transparency, and accessibility of financial data and information, by conducting and sponsoring research related to financial stability, and by promoting best practices in risk management. The OFR is an office within the Department of the Treasury.

The Council is comprised of 10 voting members, including the heads of all Federal financial regulators, and five non-voting members. The Secretary of the Treasury serves as Chairperson of the Council. The Council's purpose is to identify risks to the financial stability of the United States, promote market discipline, and respond to emerging threats to the stability of the U.S. financial system.

As required under Section 210(n)(10) of the Act, the Council's expenses also include reimbursement of certain reasonable expenses incurred by the Federal Deposit Insurance Corporation in implementing Orderly Liquidation Authority, provided by Title II of the Act. These expenses are treated as expenses of the Council.

The OFR and the Council were funded through transfers from the Board of Governors of the Federal Reserve System until July 20, 2012. Subsequently, the OFR and the Council have been funded through assessments on certain bank holding companies and nonbank financial companies supervised by the Board of Governors. Expenses of the Council are considered expenses of, and are paid by, the OFR. Projected fees and assessments are estimates and may change.

The Budget proposes to impose appropriate congressional oversight of these functions by subjecting OFR and Council activities to the annual appropriations process beginning in 2022. Treasury is also working to increase the transparency of Council decision-making procedures and to implement more rigorous cost-benefit analysis standards.

Object Classification (in millions of dollars)

Identification code 020-5590-0-2-376	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	20	24	26
11.9 Total personnel compensation	20	24	26
12.1 Civilian personnel benefits	7	10	11
13.0 Benefits for former personnel	1		
25.1 Advisory and assistance services	16	7	7
25.2 Other services from non-Federal sources	1	9	7
25.3 Other goods and services from Federal sources	13	19	21
25.7 Operation and maintenance of equipment	4	2	2
26.0 Supplies and materials	7	8	8
31.0 Equipment		4	4
99.0 Direct obligations	69	83	86
99.5 Adjustment for rounding		1	-1
99.9 Total new obligations, unexpired accounts	69	84	85

Employment Summary

Identification code 020–5590–0–2–376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	120	146	163

PRESIDENTIAL ELECTION CAMPAIGN FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020–5081–0–2–808	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	27
Receipts:			
Current law:			
1110 Presidential Election Campaign Fund	25	50	50
2000 Total: Balances and receipts	27	52	77
Appropriations:			
Current law:			
2101 Presidential Election Campaign Fund	–25	–25	–23
2103 Presidential Election Campaign Fund	–2	–2	–2
2132 Presidential Election Campaign Fund	2	2
2199 Total current law appropriations	–25	–25	–25
2999 Total appropriations	–25	–25	–25
5099 Balance, end of year	2	27	52

Program and Financing (in millions of dollars)

Identification code 020–5081–0–2–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Presidential Election Campaigns	41	226
0900 Total new obligations, unexpired accounts (object class 41.0)	41	226
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	369	353	152
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	25	25	23
1203 Appropriation (Sequestration pop-up, Authorizing Committee)	2	2	2
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–2	–2
1260 Appropriations, mandatory (total)	25	25	25
1930 Total budgetary resources available	394	378	177
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	353	152	177
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	41	226
3020 Outlays (gross)	–41	–226
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	25	25	25
Outlays, gross:			
4100 Outlays from new mandatory authority		4
4101 Outlays from mandatory balances	41	222
4110 Outlays, gross (total)	41	226
4180 Budget authority, net (total)	25	25	25
4190 Outlays, net (total)	41	226

Individual Federal income tax returns include an optional Federal income tax designation of \$3 that an individual may elect to be paid to the Presidential Election Campaign Fund (PECF). In recent years, fewer than 5 percent of individuals have elected to make this designation, resulting in less than \$30 million being paid into the PECF annually.

The Department of the Treasury collects the income tax designations and makes distributions from the PECF to qualified presidential candidates and to the 10-Year Pediatric Research Initiative Fund. Money for the public funding of presidential election campaigns can only come from the PECF;

if the PECF were to exhaust its fund balances, no other public funding could be used.

The Federal Election Commission administers the public funding program, determines which candidates are eligible, the amount to which they are entitled, and audits their use of the funds. Current uses of the PECF are provided below.

Matching Funds for Presidential Primary Candidates.—Upon certification by the Federal Election Commission and based on a demonstration of broad national support, adherence to spending limits, and other qualifications, every eligible presidential primary candidate is entitled to receive \$250 in Federal matching funds for the first eligible \$250 of private contributions received from an individual. The private contributions must be received after the beginning of the calendar year immediately preceding the election year through the end of the calendar year of the election. For the 2016 Presidential election, \$1,679,865 was paid out to two eligible candidates (\$1,544,965 in 2016 and \$134,900 in 2017).

Candidates for General Elections.—By statute, eligible candidates of each major party in a presidential election are entitled to equal payments in an amount that may not exceed \$20 million (adjusted for inflation since 1974) per party. In 2016, this amounted to \$96.1 million for each candidate, but neither major party candidate accepted general election funding. Eligibility for this funding depends on meeting several criteria, such as agreeing to limit spending to amounts specified by campaign finance laws. In addition, candidates from new parties, minor parties, and non-major parties who receive in excess of 5 percent of the popular vote may be entitled to a pro rata portion of the major party amount in the general election.

10-Year Pediatric Research Initiative Fund.—On April 3, 2014, the Gabriella Miller Kids First Research Act (Public Law 113–94) was enacted. The Act established the 10-Year Pediatric Research Initiative Fund and directs that certain PECF moneys be transferred to that Fund.

EXCHANGE STABILIZATION FUND

Program and Financing (in millions of dollars)

Identification code 020–4444–0–3–155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	249
0900 Total new obligations, unexpired accounts (object class 25.2)	249
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39,499	39,773	40,363
1021 Recoveries of prior year unpaid obligations	1,382
1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	–1,369
1050 Unobligated balance (total)	39,512	39,773	40,363
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	513	590	556
1801 Change in uncollected payments, Federal sources	–3
1850 Spending auth from offsetting collections, mand (total)	510	590	556
1930 Total budgetary resources available	40,022	40,363	40,919
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39,773	40,363	40,919
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	54,554	53,421	53,421
3010 New obligations, unexpired accounts	249
3040 Recoveries of prior year unpaid obligations, unexpired	–1,382
3050 Unpaid obligations, end of year	53,421	53,421	53,421
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–3
3070 Change in uncollected pymts, Fed sources, unexpired	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	54,551	53,421	53,421
3200 Obligated balance, end of year	53,421	53,421	53,421

EXCHANGE STABILIZATION FUND—Continued
Program and Financing—Continued

Identification code 020-4444-0-3-155	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	510	590	556
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-522	-577	-543
4123 Non-Federal sources	9	-13	-13
4130 Offsets against gross budget authority and outlays (total)	-513	-590	-556
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	3		
4170 Outlays, net (mandatory)	-513	-590	-556
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-513	-590	-556
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	22,311	22,622	23,199
5001 Total investments, EOY: Federal securities: Par value	22,622	23,199	23,741

Under the law creating the Exchange Stabilization Fund (ESF), section 10 of the Gold Reserve Act of 1934, as amended, codified at 31 U.S.C. 5302, the Secretary of the Treasury, with the approval of the President, is authorized to deal in gold, foreign exchange, and other instruments of credit and securities, as the Secretary considers necessary, consistent with U.S. obligations in the International Monetary Fund regarding orderly exchange arrangements and a stable system of exchange rates. All earnings and interest accruing to the ESF are available for the purposes thereof. Transactions in Special Drawing Rights (SDRs) and U.S. holdings of SDRs are administered by the fund. By law, the fund is not available to pay administrative expenses.

Since 1934, the principal sources of the fund's income have been earnings on investments held by the fund, including interest earned on fund holdings of U.S. Government securities.

The amounts reflected in estimates entail only projected net interest earnings on ESF assets. The estimates are subject to considerable variance, depending on changes in the amount and composition of assets and the interest rates applied to investments. In addition, these estimates make no attempt to forecast gains or losses on SDR valuation or foreign currency valuation.

Balance Sheet (in millions of dollars)

Identification code 020-4444-0-3-155	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par	22,311	22,622
1106 Receivables, net	3	
1201 Non-Federal assets: Foreign Currency Investments	20,879	20,646
1801 Other Federal assets: Special Drawing Rights	51,000	50,054
1999 Total assets	94,193	93,322
LIABILITIES:		
2207 Non-Federal liabilities: Other	54,554	53,421
NET POSITION:		
3100 Unexpended appropriations	200	200
3300 Cumulative results of operations	39,439	39,701
3999 Total net position	39,639	39,901
4999 Total liabilities and net position	94,193	93,322

TREASURY FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 020-4560-0-4-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0802 Financial Management Administrative Support Service	170	177	190
0804 Information Technology Services	180	212	217

0806 Shared Services Program	241	274	300
0808 Centralized Treasury Administrative Services	137	167	162
0900 Total new obligations, unexpired accounts	728	830	869

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	196	209	233
1021 Recoveries of prior year unpaid obligations	8	24	24
1050 Unobligated balance (total)	204	233	257
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	732	830	869
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	733	830	869
1930 Total budgetary resources available	937	1,063	1,126
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	209	233	257

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	159	209	100
3010 New obligations, unexpired accounts	728	830	869
3020 Outlays (gross)	-670	-915	-863
3040 Recoveries of prior year unpaid obligations, unexpired	-8	-24	-24
3050 Unpaid obligations, end of year	209	100	82
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-21	-22	-22
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-22	-22	-22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	138	187	78
3200 Obligated balance, end of year	187	78	60

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	733	830	869
Outlays, gross:			
4010 Outlays from new discretionary authority	574	714	747
4011 Outlays from discretionary balances	96	201	116
4020 Outlays, gross (total)	670	915	863
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-732	-830	-869
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4080 Outlays, net (discretionary)	-62	85	-6
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-62	85	-6

The Treasury Franchise Fund (the Fund) was established by P.L. 104-208, made permanent by P.L. 108-447, and codified as 31 U.S.C. 322, note. The Fund is revolving in nature and provides financial management, procurement, travel, human resources, and information technology services through its four business lines: the Administrative Resource Center (ARC) Administrative Services, ARC Information Technology Services, Treasury Shared Services Programs (TSSP), and Centralized Treasury Administrative Services (CTAS). Services are provided to Federal customers on a reimbursable, fee-for-service basis.

Object Classification (in millions of dollars)

Identification code 020-4560-0-4-803	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	164	177	187
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	6	6	6
11.9 Total personnel compensation	171	184	194
12.1 Civilian personnel benefits	57	69	73
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	35	37	38
23.3 Communications, utilities, and miscellaneous charges	71	79	87
25.1 Advisory and assistance services	114	120	126
25.2 Other services from non-Federal sources	15	16	17
25.3 Other goods and services from Federal sources	134	142	150
25.7 Operation and maintenance of equipment	80	105	107

26.0	Supplies and materials	5	4	4
31.0	Equipment	37	60	60
32.0	Land and structures	7	12	12
99.0	Reimbursable obligations	728	830	870
99.5	Adjustment for rounding			-1
99.9	Total new obligations, unexpired accounts	728	830	869

Employment Summary

Identification code 020-4560-0-4-803	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,807	2,042	2,112

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM ACCOUNT

To carry out the Riegle Community Development and Regulatory Improvement Act of 1994 (subtitle A of title I of Public Law 103-325), including services authorized by section 3109 of title 5, United States Code, but at rates for individuals not to exceed the per diem rate equivalent to the rate for EX-III, [\$262,000,000. Of the amount appropriated under this heading—]

[(1) not less than \$165,500,000, notwithstanding section 108(e) of Public Law 103-325 (12 U.S.C. 4707(e)) with regard to Small and/or Emerging Community Development Financial Institutions Assistance awards, is available until September 30, 2021, for financial assistance and technical assistance under subparagraphs (A) and (B) of section 108(a)(1), respectively, of Public Law 103-325 (12 U.S.C. 4707(a)(1)(A) and (B)), of which up to \$1,600,000 may be available for training and outreach under section 109 of Public Law 103-325 (12 U.S.C. 4708), of which up to \$2,397,500 may be used for the cost of direct loans, of which up to \$4,000,000, notwithstanding subsection (d) of section 108 of Public Law 103-325 (12 U.S.C. 4707 (d)), may be available to provide financial assistance, technical assistance, training, and outreach to community development financial institutions to expand investments that benefit individuals with disabilities, and of which not less than \$2,000,000 shall be for the Economic Mobility Corps to be operated in conjunction with the Corporation for National and Community Service, pursuant to 42 U.S.C. 12571: *Provided*, That the cost of direct and guaranteed loans, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$25,000,000: *Provided further*, That of the funds provided under this paragraph, excluding those made to community development financial institutions to expand investments that benefit individuals with disabilities and those made to community development financial institutions that serve populations living in persistent poverty counties, the CDFI Fund shall prioritize Financial Assistance awards to organizations that invest and lend in high-poverty areas: *Provided further*, That for purposes of this section, the term "high-poverty area" means any census tract with a poverty rate of at least 20 percent as measured by the 2011-2015 5-year data series available from the American Community Survey of the Bureau of the Census for all States and Puerto Rico or with a poverty rate of at least 20 percent as measured by the 2010 Island Areas Decennial Census data for any other territory or possession of the United States;]

[(2) not less than \$16,000,000, notwithstanding section 108(e) of Public Law 103-325 (12 U.S.C. 4707(e)), is available until September 30, 2021, for financial assistance, technical assistance, training, and outreach programs designed to benefit Native American, Native Hawaiian, and Alaska Native communities and provided primarily through qualified community development lender organizations with experience and expertise in community development banking and lending in Indian country, Native American organizations, tribes and tribal organizations, and other suitable providers;]

[(3) not less than \$25,000,000 is available until September 30, 2021, for the Bank Enterprise Award program;]

[(4) not less than \$22,000,000, notwithstanding subsections (d) and (e) of section 108 of Public Law 103-325 (12 U.S.C. 4707(d) and (e)), is available until September 30, 2021, for a Healthy Food Financing Initiative to provide financial assistance, technical assistance, training, and outreach to community development financial institutions for the purpose of offering affordable financing and technical assistance to expand the availability of healthy food options in distressed communities;]

[(5) not less than \$5,000,000 is available until September 30, 2021, to provide grants for loan loss reserve funds and to provide technical assistance for small dollar loan programs under section 122 of Public Law 103-325 (12 U.S.C. 4719):

Provided, That sections 108(d) and 122(b)(2) of such Public Law shall not apply to the provision of such grants and technical assistance;]

[(6) up to \$28,500,000 is available until September 30, 2020,] \$14,000,000, for administrative expenses, including administration of CDFI Fund programs and the New Markets Tax Credit Program: [, of which not less than \$1,000,000 is for development of tools to better assess and inform CDFI investment performance, and up to \$300,000 is for administrative expenses to carry out the direct loan program; and]

[(7) during fiscal year 2020] *Provided*, that during fiscal year 2021, none of the funds available under this heading are available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of commitments to guarantee bonds and notes under section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a): *Provided further*, That commitments to guarantee bonds and notes under such section 114A shall not exceed [\$500,000,000] \$300,000,000: *Provided further*, That such section 114A shall remain in effect until December 31, [2020] 2021 [: *Provided further*, That of the funds awarded under this heading, not less than 10 percent shall be used for awards that support investments that serve populations living in persistent poverty counties: *Provided further*, That for the purposes of this paragraph and paragraph (1) the term "persistent poverty counties" means any county, including county equivalent areas in Puerto Rico, that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the 2011-2015 5-year data series available from the American Community Survey of the Bureau of the Census or any other territory or possession of the United States that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990, 2000 and 2010 Island Areas Decennial Censuses, or equivalent data, of the Bureau of the Census.] (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-1881-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 General Administrative Expenses	27	29	14
0012 Financial Assistance	9	316	
0013 Small Dollar Loan Program		5	
0014 Native American/Hawaiian Program	3	29	
0015 Economic Mobility Corps		2	
0026 Healthy Food Initiative		44	
0028 Bank Enterprise Award	50	25	
0050 Mandatory No Year Account	1	1	1
0091 Direct program activities, subtotal	90	451	15
Credit program obligations:			
0701 Direct loan subsidy		1	
0705 Reestimates of direct loan subsidy	2	1	
0706 Interest on reestimates of direct loan subsidy	4	7	
0791 Direct program activities, subtotal	6	9	
0900 Total new obligations, unexpired accounts	96	460	15
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	195	8
1001 Discretionary unobligated balance brought fwd, Oct 1	29	190	
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)	33	196	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	251	262	14
Appropriations, mandatory:			
1200 Appropriation	6	8	1
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	
1900 Budget authority (total)	258	272	15
1930 Total budgetary resources available	291	468	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	195	8	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	235	75	267
3010 New obligations, unexpired accounts	96	460	15
3020 Outlays (gross)	-255	-267	-213
3040 Recoveries of prior year unpaid obligations, unexpired		-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	75	267	68

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM
ACCOUNT—Continued
Program and Financing—Continued

Identification code 020-1881-0-1-451	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	235	75	267
3200 Obligated balance, end of year	75	267	68
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	251	263	14
Outlays, gross:			
4010 Outlays from new discretionary authority	20	21	10
4011 Outlays from discretionary balances	229	238	202
4020 Outlays, gross (total)	249	259	212
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-1	
4040 Offsets against gross budget authority and outlays (total)		-1	
Mandatory:			
4090 Budget authority, gross	7	9	1
Outlays, gross:			
4100 Outlays from new mandatory authority	6	8	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1	-1	
4180 Budget authority, net (total)	257	270	15
4190 Outlays, net (total)	254	265	213
Memorandum (non-add) entries:			
5010 Total investments, SOV: non-Fed securities: Market value	17	17	17
5011 Total investments, EOY: non-Fed securities: Market value	17	17	17

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-1881-0-1-451	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Community Development Financial Institutions Prog Fin Assist.		7	
115002 Bond Guarantee Program	100	500	300
115999 Total direct loan levels	100	507	300
Direct loan subsidy (in percent):			
132001 Community Development Financial Institutions Prog Fin Assist.	10.11	9.59	
132002 Bond Guarantee Program	-4.75	0.00	0.00
132999 Weighted average subsidy rate	-4.75	0.13	0.00
Direct loan subsidy budget authority:			
133001 Community Development Financial Institutions Prog Fin Assist.		1	
133002 Bond Guarantee Program	-5		
133999 Total subsidy budget authority	-5	1	
Direct loan subsidy outlays:			
134002 Bond Guarantee Program	-10		
134999 Total subsidy outlays	-10		
Direct loan reestimates:			
135001 Community Development Financial Institutions Prog Fin Assist.	-1		
135002 Bond Guarantee Program	-2	-7	
135999 Total direct loan reestimates	-3	-7	

The Community Development Financial Institutions (CDFI) Fund promotes economic and community development through investment in and assistance to CDFIs (including community development banks, credit unions, loan funds, and venture capital funds) to expand the availability of financial services and affordable credit for underserved populations including distressed urban, rural, Native American, Native Hawaiian, and Alaska Native communities.

The 2021 Budget eliminates program funding for discretionary programs including the Bank Enterprise Award (BEA) Program, CDFI Program, the Native American CDFI Assistance Program, the Healthy Food Financing Initiative, and the Small Dollar Loan Program. The 2021 Budget requests \$14 million in administrative funding for oversight of existing commitments and administration of the CDFI Fund's other programs.

The CDFI Fund's Bond Guarantee Program (BGP) was originally authorized in the Small Business Jobs Act of 2010 (P.L. 111-240) for a period of four years to provide a source of long-term capital in low-income and underserved communities. The Administration is implementing significant administrative reforms to the Bond Guarantee Program. To increase taxpayer protections, the program will change its collateral and cash requirements. The CDFI Fund will also pursue additional risk mitigation strategies and streamlining of administrative processes such as new liquidity premiums, escrow procedures and a designated bonding authority. The Budget proposes an annual commitment authority of \$300 million and legislative changes to eliminate the relending account, as it is currently not utilized and redundant.

Object Classification (in millions of dollars)

Identification code 020-1881-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	5
12.1 Civilian personnel benefits	3	3	2
25.1 Advisory and assistance services	10	2	
25.3 Other goods and services from Federal sources	7	9	5
25.7 Operation and maintenance of equipment	1	1	3
31.0 Equipment		7	
41.0 Grants, subsidies, and contributions	66	428	
99.0 Direct obligations	96	460	15
99.9 Total new obligations, unexpired accounts	96	460	15

Employment Summary

Identification code 020-1881-0-1-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	67	76	39

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN FINANCING
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4088-0-3-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	100	507	300
0713 Payment of interest to Treasury	3	3	3
0715 Payments of interest to FFB	30	30	32
0740 Negative subsidy obligations	5		
0742 Downward reestimates paid to receipt accounts	9	14	
0900 Total new obligations, unexpired accounts	147	554	335
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	5	
1021 Recoveries of prior year unpaid obligations	21		
1023 Unobligated balances applied to repay debt	-2	-5	
1024 Unobligated balance of borrowing authority withdrawn	-21		
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	116	521	300
Spending authority from offsetting collections, mandatory:			
1800 Collected	76	77	77
1825 Spending authority from offsetting collections applied to repay debt	-40	-44	-42
1850 Spending auth from offsetting collections, mand (total)	36	33	35
1900 Budget authority (total)	152	554	335
1930 Total budgetary resources available	152	554	335
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	809	532	684
3010 New obligations, unexpired accounts	147	554	335
3020 Outlays (gross)	-403	-402	-283
3040 Recoveries of prior year unpaid obligations, unexpired	-21		

3050	Unpaid obligations, end of year	532	684	736
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	809	532	684
3200	Obligated balance, end of year	532	684	736
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	152	554	335
Financing disbursements:				
4110	Outlays, gross (total)	403	402	283
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-7	-6
4122	Interest on uninvested funds	-7	-7	-7
4123	Non-Federal sources - Interest repayments	-26	-30	-31
4123	Non-Federal sources - Principal Repayments	-36	-34	-39
4130	Offsets against gross budget authority and outlays (total)	-76	-77	-77
4160	Budget authority, net (mandatory)	76	477	258
4170	Outlays, net (mandatory)	327	325	206
4180	Budget authority, net (total)	76	477	258
4190	Outlays, net (total)	327	325	206

Status of Direct Loans (in millions of dollars)

Identification code 020-4088-0-3-451	2019 actual	2020 est.	2021 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Direct loan obligations from current-year authority	100	507	300
1150	Total direct loan obligations	100	507	300
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	765	1,079	1,439
1231	Disbursements: Direct loan disbursements	356	399	258
1251	Repayments: Repayments and prepayments	-42	-38	-48
1263	Write-offs for default: Direct loans	-1	-1
1290	Outstanding, end of year	1,079	1,439	1,648

Balance Sheet (in millions of dollars)

Identification code 020-4088-0-3-451	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	2	4
Investments in U.S. securities:			
1106	Receivables, net	10	11
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	765	1,079
1402	Interest receivable	1
1405	Allowance for subsidy cost (-)	17	33
1499	Net present value of assets related to direct loans	783	1,112
1801	Other Federal assets: Cash and other monetary assets
1999	Total assets	795	1,127
LIABILITIES:			
Federal liabilities:			
2103	Debt	785	1,114
2105	Other Liabilities without Related Budgetary Offset	10	13
2999	Total liabilities	795	1,127
NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	795	1,127

OFFICE OF FINANCIAL STABILITY

Program and Financing (in millions of dollars)

Identification code 020-0128-0-1-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Office of Financial Stability (Direct)	58	47	42
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	62	51	42

1930	Total budgetary resources available	62	51	46
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-4
1941	Unexpired unobligated balance, end of year	4	4

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	54	42	6
3010	New obligations, unexpired accounts	58	47	42
3020	Outlays (gross)	-54	-83	-44
3041	Recoveries of prior year unpaid obligations, expired	-16
3050	Unpaid obligations, end of year	42	6	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	54	42	6
3200	Obligated balance, end of year	42	6	4

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	62	51	42
Outlays, gross:				
4100	Outlays from new mandatory authority	34	41	34
4101	Outlays from mandatory balances	20	42	10
4110	Outlays, gross (total)	54	83	44
4180	Budget authority, net (total)	62	51	42
4190	Outlays, net (total)	54	83	44

The Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) authorized the establishment of the Troubled Asset Relief Program (TARP) and the Office of Financial Stability (OFS) to purchase and insure certain types of troubled assets for the purpose of providing stability to and preventing disruption in the economy and financial system and protecting taxpayers. The Act gave the Secretary of the Treasury broad and flexible authority to purchase and insure mortgages and other troubled assets, as well as inject capital by taking limited equity positions, as needed to stabilize the financial markets. This account provides for the administrative costs of OFS, which oversees and manages TARP.

Object Classification (in millions of dollars)

Identification code 020-0128-0-1-376	2019 actual	2020 est.	2021 est.	
11.1	Direct obligations: Personnel compensation: Full-time permanent	3	2	2
11.9	Total personnel compensation	3	2	2
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	9	7	4
25.2	Other services from non-Federal sources	35	31	30
25.3	Other goods and services from Federal sources	10	6	5
99.9	Total new obligations, unexpired accounts	58	47	42

Employment Summary

Identification code 020-0128-0-1-376	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	22	16	14

TROUBLED ASSET RELIEF PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0132-0-1-376	2019 actual	2020 est.	2021 est.	
Direct loan reestimates:				
135001	Automotive Industry Financing Program	-13	-73
135999	Total direct loan reestimates	-13	-73

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with Troubled Asset Relief Program (TARP) direct loans obligated and loan guarantees including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year. The subsidy amounts

TRBOULED ASSET RELIEF PROGRAM ACCOUNT—Continued

are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA.

The authority to make new financial commitments via TARP expired on October 3, 2010, under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010.

TRBOULED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4277-0-3-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0742	Downward reestimates paid to receipt accounts	10	54
0743	Interest on downward reestimates	3	19
0900	Total new obligations, unexpired accounts	13	73
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	13	73
Financing authority:				
Spending authority from offsetting collections, mandatory:				
1800	Offsetting collections	73
1900	Budget authority (total)	73
1930	Total budgetary resources available	86	73
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	73
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	13	73
3020	Outlays (gross)	-13	-73
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	73
Financing disbursements:				
4110	Outlays, gross (total)	13	73
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Principal	-73
4180	Budget authority, net (total)
4190	Outlays, net (total)	-60	73

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 2008 and beyond including modifications of direct loans that resulted from obligations in any year. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 020-4277-0-3-376	2018 actual	2019 actual	
ASSETS:			
1101	Federal assets: Fund balances with Treasury	13	13
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross
1401	Direct loans receivable, gross
1405	Allowance for subsidy cost (-)
1405	Allowance for subsidy cost (-)
1499	Net present value of assets related to direct loans
1999	Total assets	13	13
LIABILITIES:			
Federal liabilities:			
2104	Resources payable to Treasury	13	13
2105	Other
2999	Total upward reestimate subsidy BA [20-0132]	13	13
NET POSITION:			
3300	Cumulative results of operations

4999	Total liabilities and net position	13	13
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TRBOULED ASSET RELIEF PROGRAM EQUITY PURCHASE PROGRAM

Program and Financing (in millions of dollars)

Identification code 020-0134-0-1-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0705	Reestimates of direct loan subsidy	1	3
0706	Interest on reestimates of direct loan subsidy	4
0900	Total new obligations, unexpired accounts (object class 41.0)	1	7
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	1	7
1930	Total budgetary resources available	1	7
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1	7
3020	Outlays (gross)	-1	-7
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1	7
Outlays, gross:				
4100	Outlays from new mandatory authority	1	7
4180	Budget authority, net (total)	1	7
4190	Outlays, net (total)	1	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0134-0-1-376	2019 actual	2020 est.	2021 est.	
Direct loan reestimates:				
135001	Capital Purchase Program	-4	4
135006	Community Development Capital Initiative	-7	3
135999	Total direct loan reestimates	-11	7

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with TARP equity purchase obligations (including modifications of equity purchases that resulted from obligations in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA.

The authority to make new financial commitments via TARP expired on October 3, 2010, under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010.

TRBOULED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4278-0-3-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0713	Payment of interest to Treasury	2	1
0742	Downward reestimates paid to receipt accounts	6
0743	Interest on downward reestimates	6
0900	Total new obligations, unexpired accounts	14	1
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	17	5	1
1023	Unobligated balances applied to repay debt	-15	-3
1050	Unobligated balance (total)	2	2	1

Financing authority:			
Borrowing authority, mandatory:			
1400	Borrowing authority	3	
Spending authority from offsetting collections, mandatory:			
1800	Collected	27	20 7
1825	Spending authority from offsetting collections applied to repay debt	-13	-20 -6
1850	Spending auth from offsetting collections, mand (total)	14	1
1900	Budget authority (total)	17	1
1930	Total budgetary resources available	19	2 2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	5	1 2

Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	14	1
3020	Outlays (gross)	-14	-1

Financing authority and disbursements, net:			
Mandatory:			
4090	Budget authority, gross	17	1
Financing disbursements:			
4110	Outlays, gross (total)	14	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	-7
4122	Interest on uninvested funds		-1
4123	Dividends	-1	-1
4123	Redemption	-25	-12 -6
4130	Offsets against gross budget authority and outlays (total)	-27	-20 -7
4160	Budget authority, net (mandatory)	-10	-20 -6
4170	Outlays, net (mandatory)	-13	-19 -7
4180	Budget authority, net (total)	-10	-20 -6
4190	Outlays, net (total)	-13	-19 -7

Status of Direct Loans (in millions of dollars)

Identification code 020-4278-0-3-376	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	65	40 28
1251	Repayments: Repayments and prepayments	-25	-12 -6
1290	Outstanding, end of year	40	28 22

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110-343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from equity purchases obligated in 2008 and beyond including modifications of equity purchases that resulted from obligations in any year. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 020-4278-0-3-376	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	Fund balances with Treasury	17 5
Investments in U.S. securities:		
1106	Receivables, net	
Non-Federal assets:		
1201	Investments in non-Federal securities, net	
1206	Receivables, net	7
Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	65 40
1405	Allowance for subsidy cost (-)	1 -5
1405	Allowance for subsidy cost (-)	-11 -12
1499	Net present value of assets related to direct loans	55 23
1999	Total assets	72 35
LIABILITIES:		
Federal liabilities:		
2103	Debt	60 35
2105	Other	12
2999	Total liabilities	72 35
NET POSITION:		
3300	Cumulative results of operations	

4999	Total liabilities and net position	72	35
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TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS

Program and Financing (in millions of dollars)

Identification code 020-0136-0-1-604	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1021	Recoveries of prior year unpaid obligations	304	
1031	Other balances not available	-304	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4,769	3,013 2,093
3020	Outlays (gross)	-1,452	-920 -646
3040	Recoveries of prior year unpaid obligations, unexpired	-304	
3050	Unpaid obligations, end of year	3,013	2,093 1,447
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	4,769	3,013 2,093
3200	Obligated balance, end of year	3,013	2,093 1,447
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101	Outlays from mandatory balances	1,452	920 646
4180	Budget authority, net (total)		
4190	Outlays, net (total)	1,452	920 646
Memorandum (non-add) entries:			
5103	Unexpired unavailable balance, SOY: Fulfilled purpose	12,205	12,509 12,509
5104	Unexpired unavailable balance, EOY: Fulfilled purpose	12,509	12,509 12,509

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0136-0-1-604	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235001	FHA Refi Letter of Credit	-1	-1

Treasury's Home Affordable Modification Program (HAMP) offered mortgage modifications to homeowners at risk of foreclosure under the authority of sections 101 and 109 of the Emergency Economic Stabilization Act of 2008, as amended (EESA) (P.L. 110-343). HAMP closed to new applications on December 30, 2016, but incentive payments continue to be made on modifications entered into on or before December 1, 2017. Additionally, the Hardest Hit Fund has allocated \$9.6 billion under EESA to state housing finance agencies in 18 States and the District of Columbia for foreclosure prevention programs. Funds under EESA also support a Federal Housing Administration (FHA) refinance program that helps homeowners refinance into a new FHA-insured loan if their existing mortgage holders agree to write down principal.

TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4329-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	Default claim payments on principal		1
0742	Downward reestimates paid to receipt accounts	1	1
0900	Total new obligations, unexpired accounts	1	2
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	3 1
1930	Total budgetary resources available	4	3 1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	1 1

TRBOULED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT
FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 020-4329-0-3-371	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	2	
3020 Outlays (gross)	-1	-2	
Financing authority and disbursements, net:			
Mandatory:			
Financing disbursements:			
4110 Outlays, gross (total)	1	2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	2	

Status of Guaranteed Loans (in millions of dollars)

Identification code 020-4329-0-3-371	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	183	159	135
2251 Repayments and prepayments	-23	-23	-23
2263 Adjustments: Terminations for default that result in claim payments	-1	-1	-1
2290 Outstanding, end of year	159	135	111
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	55	55	55

Balance Sheet (in millions of dollars)

Identification code 020-4329-0-3-371	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	4	3
1999 Total assets	4	3
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	3	2
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	1
2999 Total liabilities	4	3
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	4	3

SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF PROGRAM
SALARIES AND EXPENSES

For necessary expenses of the Office of the Special Inspector General in carrying out the provisions of the Emergency Economic Stabilization Act of 2008 (Public Law 110-343), **[\$22,000,000]** \$17,500,000. (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-0133-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Special Inspector General for the Troubled Asset Relief Program (Direct)	26	22	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	22	18
1900 Budget authority (total)	23	22	18

1930 Total budgetary resources available	40	36	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	14

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	10	1
3010 New obligations, unexpired accounts	26	22	18
3020 Outlays (gross)	-28	-31	-18
3050 Unpaid obligations, end of year	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	10	1
3200 Obligated balance, end of year	10	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	23	22	18
Outlays, gross:			
4010 Outlays from new discretionary authority	22	18	14
4011 Outlays from discretionary balances	3	13	4
4020 Outlays, gross (total)	25	31	18
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	3		
4180 Budget authority, net (total)	23	22	18
4190 Outlays, net (total)	28	31	18

The Office of the Special Inspector General for the Troubled Asset Relief Program (SIGTARP) was established by section 121 of the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110-343). SIGTARP is both a Federal law enforcement agency and independent audit watchdog that targets financial institution crime, and other fraud, waste, and abuse related to the Troubled Asset Relief Program. Protecting taxpayer dollars and TARP programs drives SIGTARP's mission.

SIGTARP received an initial appropriation of \$50 million in permanent, indefinite budget authority in EESA. The Public-Private Investment Program Improvement and Oversight Act of 2009 (12 U.S.C. 5231a) provided \$15 million in supplemental funding. Since 2010, SIGTARP has received annual appropriations to fund its operations.

The 2021 Budget requests \$17.5 million for SIGTARP, a reduction of 49 percent from the 2018 enacted level. Although less than \$100 million in TARP investments remains outstanding, TARP foreclosure prevention programs will continue until 2023.

Object Classification (in millions of dollars)

Identification code 020-0133-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	11	9	9
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	14	12	12
12.1 Civilian personnel benefits	4	3	3
25.1 Advisory and assistance services	1	1	1
25.3 Other goods and services from Federal sources	7	6	2
99.0 Direct obligations	26	22	18
99.9 Total new obligations, unexpired accounts	26	22	18

Employment Summary

Identification code 020-0133-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	96	85	80

SMALL BUSINESS LENDING FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-0141-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	1	3
0706 Interest on reestimates of direct loan subsidy	1
0709 Administrative expenses	4	3	3
0900 Total new obligations, unexpired accounts	5	7	3
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	5	7	3
1900 Budget authority (total)	5	7	3
1930 Total budgetary resources available	5	7	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	8	3
3010 New obligations, unexpired accounts	5	7	3
3020 Outlays (gross)	-5	-12	-5
3050 Unpaid obligations, end of year	8	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	8	3
3200 Obligated balance, end of year	8	3	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	7	3
Outlays, gross:			
4100 Outlays from new mandatory authority	4	6	3
4101 Outlays from mandatory balances	1	6	2
4110 Outlays, gross (total)	5	12	5
4180 Budget authority, net (total)	5	7	3
4190 Outlays, net (total)	5	12	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0141-0-1-376	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135001 Small Business Lending Fund Investments	1	4
Administrative expense data:			
3510 Budget authority	4	6	6
3580 Outlays from balances	2	2
3590 Outlays from new authority	2	3	3

The Small Business Lending Fund (SBLF) was established by the Small Business Jobs Act of 2010 (P.L. 111-240) and is a dedicated investment fund that encourages lending to small businesses by providing capital to qualified community banks and community development loan funds (CDLFs). In total, the SBLF provided \$4.0 billion to 281 community banks and 51 CDLFs in 2011. As of September 30, 2019, 321 institutions with aggregate investments of \$3.9 billion have fully redeemed their SBLF investments and exited the program. For institutions that still participate in the program, CDLF securities expire by 2021 and community bank participants are generally expected to end their participation by 2021.

Object Classification (in millions of dollars)

Identification code 020-0141-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	2	1	1
41.0 Grants, subsidies, and contributions	1	4
99.0 Direct obligations	4	7	3
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	5	7	3

Employment Summary

Identification code 020-0141-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

SMALL BUSINESS LENDING FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4349-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	4	2	1
0900 Total new obligations, unexpired accounts	4	2	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	100	98
1023 Unobligated balances applied to repay debt	-15
1050 Unobligated balance (total)	100	98
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	138	16	39
1825 Spending authority from offsetting collections applied to repay debt	-34	-16	-39
1850 Spending auth from offsetting collections, mand (total)	104
1930 Total budgetary resources available	104	100	98
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	98	97
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	2	1
3020 Outlays (gross)	-4	-2	-1
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	104
Financing disbursements:			
4110 Outlays, gross (total)	4	2	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources - Upward Reestimates	-1	-4
4122 Interest on uninvested funds	-1	-1
4123 Non-Federal sources - Principal	-127	-7	-36
4123 Non-Federal sources - Dividends	-9	-4	-3
4130 Offsets against gross budget authority and outlays (total)	-138	-16	-39
4160 Budget authority, net (mandatory)	-34	-16	-39
4170 Outlays, net (mandatory)	-134	-14	-38
4180 Budget authority, net (total)	-34	-16	-39
4190 Outlays, net (total)	-134	-14	-38

Status of Direct Loans (in millions of dollars)

Identification code 020-4349-0-3-376	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	209	82	75
1251 Repayments: Repayments and prepayments	-127	-7	-36
1263 Write-offs for default: Direct loans	-39
1290 Outstanding, end of year	82	75

Balance Sheet (in millions of dollars)

Identification code 020-4349-0-3-376	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	15	100
Investments in U.S. securities:		
1106 Receivables, net	1	4
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	209	82
1405 Allowance for subsidy cost (-)	-23	-33
1499 Net present value of assets related to direct loans	186	49

SMALL BUSINESS LENDING FUND FINANCING ACCOUNT—Continued
Balance Sheet—Continued

Identification code 020-4349-0-3-376	2018 actual	2019 actual
1999 Total assets	202	153
LIABILITIES:		
2103 Federal liabilities: Debt	202	153
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	202	153

STATE SMALL BUSINESS CREDIT INITIATIVE

Program and Financing (in millions of dollars)

Identification code 020-0142-0-1-376	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	2	
3020 Outlays (gross)		-2	
3041 Recoveries of prior year unpaid obligations, expired	-22		
3050 Unpaid obligations, end of year	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	2	
3200 Obligated balance, end of year	2		

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		2	

The Small Business Jobs Act of 2010 (P.L. 111-240) created the State Small Business Credit Initiative (SSBCI), which was funded with \$1.5 billion, inclusive of administrative costs, to support State programs that leverage private lending and investing to help finance small businesses and manufacturers.

SSBCI expired on September 27, 2017, at which time States retained any funds transferred by Treasury.

SOCIAL IMPACT DEMONSTRATION PROJECTS

Program and Financing (in millions of dollars)

Identification code 020-0146-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative Costs	1	2	2
0002 Social Impact Demonstration Projects		29	5
0900 Total new obligations, unexpired accounts	1	31	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	99	98	67
1930 Total budgetary resources available	99	98	67
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	98	67	60
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	30
3010 New obligations, unexpired accounts	1	31	7
3020 Outlays (gross)	-1	-2	-7
3050 Unpaid obligations, end of year	1	30	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	30
3200 Obligated balance, end of year	1	30	30

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1	2	7
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	2	7

The Social Impact Partnerships to Pay for Results Act (SIPPPRA) was included as part of the Bipartisan Budget Act of 2018 (P.L. 115-123). SIPPPRA created a ten year \$100 million fund to support social impact partnership projects by state and local governments to support new and innovative ways to solve entrenched social problems. The program funds social programs at the state or local level that achieve demonstrable, measureable, and scalable results, by making payment of funds contingent on positive outcomes.

Object Classification (in millions of dollars)

Identification code 020-0146-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.1 Advisory and assistance services		5	6
25.3 Other goods and services from Federal sources	1		
41.0 Grants, subsidies, and contributions		25	
99.9 Total new obligations, unexpired accounts	1	31	7

Employment Summary

Identification code 020-0146-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

GSE PREFERRED STOCK PURCHASE AGREEMENTS

Program and Financing (in millions of dollars)

Identification code 020-0125-0-1-371	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	254,051	254,051	254,051
1930 Total budgetary resources available	254,051	254,051	254,051
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	254,051	254,051	254,051
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

In 2008, under temporary authority granted by section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289), Treasury entered into agreements with Fannie Mae and Freddie Mac (the GSEs) to purchase senior preferred stock of each GSE and to provide up to \$100 billion when needed to ensure that each company maintains a positive net worth. In May 2009, Treasury increased the Senior Preferred Stock Purchase Agreement (PSPA) funding commitment caps to \$200 billion for each GSE, and in December 2009 Treasury modified the funding commitment caps in the PSPAs to be the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010-2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by each GSE as of December 31, 2012, and under the terms of the PSPAs, the combined cumulative funding commitment cap for Fannie Mae and Freddie Mac was set at \$445.5 billion. Treasury's authority to purchase obligations or other securities of the GSEs or to increase the funding commitment expired on December 31, 2009. Under the PSPAs, Treasury has maintained the solvency of the GSEs by providing \$191.5 billion of investment to the GSEs. The PSPAs also require the GSEs to pay dividends to Treasury that are recorded as offsetting receipts and are not reflected in this expenditure account. Through December 31, 2019, the

GSEs have paid \$301.0 billion in dividend payments to Treasury on the senior preferred stock.

GSE MORTGAGE-BACKED SECURITIES PURCHASE PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-0126-0-1-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Financial Agent Services	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.2)	1	1	1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [020-1802]	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 020-0126-0-1-371	2019 actual	2020 est.	2021 est.
Direct loan reestimates:			
135002 New Issue Bond Program SF	-3	-9
135003 New Issue Bond Program MF	-3	-8
135999 Total direct loan reestimates	-6	-17

The authority for the three programs displayed in this account: Fannie Mae and Freddie Mac's mortgage-backed securities purchase program, which purchased and then liquidated mortgage backed securities; the Temporary Credit and Liquidity Program, which provided liquidity to state housing financing agencies (HFAs); and the New Issue Bond Program, which purchased securities backed by new HFA housing bonds was provided in section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110-289). As required by the Federal Credit Reform Act of 1990 as amended, this account records the subsidy costs associated with these programs, which are treated as direct loans for budget execution. The subsidy amounts are estimated on a present value basis.

STATE HFA DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 020-4298-0-3-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	154	147	147
0742 Downward reestimates paid to receipt accounts	5	12
0743 Interest on downward reestimates	2	6
0900 Total new obligations, unexpired accounts	161	165	147
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	42	44	44
1023 Unobligated balances applied to repay debt	-42
1050 Unobligated balance (total)	44	44
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	7

1800 Spending authority from offsetting collections, mandatory:			
1825 Collected	483	264	241
Spending authority from offsetting collections applied to repay debt	-285	-99	-94
1850 Spending auth from offsetting collections, mand (total)	198	165	147
1900 Budget authority (total)	205	165	147
1930 Total budgetary resources available	205	209	191
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	44	44	44

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	161	165	147
3020 Outlays (gross)	-160	-165	-147
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	205	165	147
Financing disbursements:			
4110 Outlays, gross (total)	160	165	147
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4122 Interest on uninvested funds	-5	-6	-10
4123 Non-Federal sources - Interest	-118	-110	-107
4123 Non-Federal sources - Principal	-360	-148	-124
4130 Offsets against gross budget authority and outlays (total)	-483	-264	-241
4160 Budget authority, net (mandatory)	-278	-99	-94
4170 Outlays, net (mandatory)	-323	-99	-94
4180 Budget authority, net (total)	-278	-99	-94
4190 Outlays, net (total)	-323	-99	-94

Status of Direct Loans (in millions of dollars)

Identification code 020-4298-0-3-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4,624	4,264	4,116
1251 Repayments: Repayments and prepayments	-360	-148	-124
1290 Outstanding, end of year	4,264	4,116	3,992

Balance Sheet (in millions of dollars)

Identification code 020-4298-0-3-371	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	42	45
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	4,624	4,264
1405 Allowance for subsidy cost (-)	-630	-582
1499 Net present value of assets related to direct loans	3,994	3,682
1999 Total assets	4,036	3,727
LIABILITIES:		
Federal liabilities:		
2103 Debt	4,036	3,709
2105 Other	18
2999 Total liabilities	4,036	3,727
NET POSITION:		
3300 Cumulative results of operations
4999 Total liabilities and net position	4,036	3,727

Trust Funds

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8524-0-7-451	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	10	8	9
Receipts:			
Current law:			
1130 Affordable Housing Allocation, Capital Magnet Fund	132	162	132

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS—Continued

Special and Trust Fund Receipts—Continued

Identification code 020-8524-0-7-451	2019 actual	2020 est.	2021 est.
Proposed:			
1230 Affordable Housing Allocation, Capital Magnet Fund			-132
1999 Total receipts	132	162	
2000 Total: Balances and receipts	142	170	9
Appropriations:			
Current law:			
2101 Capital Magnet Fund, Community Development Financial Institutions	-132	-162	-132
2103 Capital Magnet Fund, Community Development Financial Institutions	-10	-8	-9
2132 Capital Magnet Fund, Community Development Financial Institutions	8	9	
2199 Total current law appropriations	-134	-161	-141
Proposed:			
2201 Capital Magnet Fund, Community Development Financial Institutions			132
2999 Total appropriations	-134	-161	-9
5099 Balance, end of year	8	9	

Program and Financing (in millions of dollars)

Identification code 020-8524-0-7-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CDFI Allocations	142	131	160
0002 CMF Administration	2	2	2
0900 Total new obligations, unexpired accounts	144	133	162
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	141	132	160
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	142	132	160
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	132	162	132
1203 Appropriation (previously unavailable)(special or trust)	10	8	9
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-8	-9	
1260 Appropriations, mandatory (total)	134	161	141
1930 Total budgetary resources available	276	293	301
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	132	160	139
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	4	4
3010 New obligations, unexpired accounts	144	133	162
3020 Outlays (gross)	-141	-133	-162
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	134	161	141
Outlays, gross:			
4100 Outlays from new mandatory authority	133	8	9
4101 Outlays from mandatory balances	8	125	153
4110 Outlays, gross (total)	141	133	162
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	134	161	141
4170 Outlays, net (mandatory)	140	133	162
4180 Budget authority, net (total)	134	161	141

4190 Outlays, net (total)	140	133	162
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Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	134	161	141
Outlays	140	133	162
Legislative proposal, subject to PAYGO:			
Budget Authority			-132
Total:			
Budget Authority	134	161	9
Outlays	140	133	162

The Capital Magnet Fund (CMF) provides financial assistance grants to Community Development Financial Institutions and qualified nonprofit housing providers that would be leveraged to attract other financing sources for affordable housing and related economic development activities. The CMF was established by the Housing and Economic Recovery Act of 2008 (HERA) (P.L. 110-289), which directed the program to be funded from assessments on Fannie Mae and Freddie Mac (the GSEs). The 2021 Budget includes a proposal to eliminate new funding for CMF.

Object Classification (in millions of dollars)

Identification code 020-8524-0-7-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.1 Advisory and assistance services	1	1	1
41.0 Grants, subsidies, and contributions	143	131	160
99.9 Total new obligations, unexpired accounts	144	133	162

Employment Summary

Identification code 020-8524-0-7-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	6	6

CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-8524-4-7-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CDFI Allocations			-160
0900 Total new obligations, unexpired accounts (object class 41.0)			-160
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-132
1930 Total budgetary resources available			-132
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			28
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-160
3050 Unpaid obligations, end of year			-160
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-160
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-132
4180 Budget authority, net (total)			-132
4190 Outlays, net (total)			

Employment Summary

Identification code 020-8524-4-7-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			-3

GIFTS AND BEQUESTS

Program and Financing (in millions of dollars)

Identification code 020-8790-0-7-803	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1	1	1
5001 Total investments, EOY: Federal securities: Par value	1	1	1

This account was established pursuant to 31 U.S.C. 321 to receive gifts and bequests to the Department. These funds support the restoration of the Treasury building and historical collection of art, furniture, and artifacts owned by the Department. The fund is also used as an endowment for Treasury's restored rooms.

FINANCIAL CRIMES ENFORCEMENT NETWORK

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Financial Crimes Enforcement Network, including hire of passenger motor vehicles; travel and training expenses of non-Federal and foreign government personnel to attend meetings and training concerned with domestic and foreign financial intelligence activities, law enforcement, and financial regulation; services authorized by 5 U.S.C. 3109; not to exceed \$12,000 for official reception and representation expenses; and for assistance to Federal law enforcement agencies, with or without reimbursement, **【\$126,000,000】 \$126,963,000**, of which not to exceed \$34,335,000 shall remain available until September 30, **【2022】2023**. (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-0173-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 BSA administration and Analysis	129	127	129
0801 Reimbursable program activity	2	1	1
0900 Total new obligations, unexpired accounts	131	128	130
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	27	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	118	126	127
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	3
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	2	3	3
1900 Budget authority (total)	120	129	130
1930 Total budgetary resources available	158	156	158
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	28	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	48	57	77
3010 New obligations, unexpired accounts	131	128	130
3020 Outlays (gross)	-120	-108	-126

3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	57	77	81
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	47	56	76
3200 Obligated balance, end of year	56	76	80

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	120	129	130
Outlays, gross:			
4010 Outlays from new discretionary authority	66	74	75
4011 Outlays from discretionary balances	54	34	51
4020 Outlays, gross (total)	120	108	126
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	118	126	127
4080 Outlays, net (discretionary)	119	105	123
4180 Budget authority, net (total)	118	126	127
4190 Outlays, net (total)	119	105	123

The Federal Crimes Enforcement Network (FinCEN) safeguards the financial system from illicit use, combats money laundering, and promotes national security through the strategic use of financial authorities and the collection, analysis, and dissemination of financial intelligence. FinCEN carries out its mission by developing and issuing regulations under the Bank Secrecy Act (BSA); enforcing compliance with the BSA in partnership with law enforcement and other regulatory partners; receiving and maintaining financial transaction data; analyzing and disseminating financial intelligence for law enforcement purposes; to serving as the U.S. Financial Intelligence Unit (FIU) and maintaining a network of information sharing with over 150 FIU partner countries.

Object Classification (in millions of dollars)

Identification code 020-0173-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	41	47
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	36	42	48
12.1 Civilian personnel benefits	11	12	14
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	5	5
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	49	44	36
25.3 Other goods and services from Federal sources	13	8	10
25.7 Operation and maintenance of equipment	9	9	9
31.0 Equipment	2	2	2
99.0 Direct obligations	129	127	129
99.0 Reimbursable obligations	1	1	1
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	131	128	130

Employment Summary

Identification code 020-0173-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	271	300	345
2001 Reimbursable civilian full-time equivalent employment	2	1	1

FISCAL SERVICE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of operations of the Bureau of the Fiscal Service, **[\$340,280,000]** *\$360,200,000*; of which not *less than \$10,000,000, to remain available until September 30, 2023, is for expenses associated with the redemption of matured savings bonds that have not been redeemed; of which not to exceed \$8,000,000, to remain available until September 30, [2022] 2023, is for information systems modernization initiatives; and of which \$5,000 shall be available for official reception and representation expenses.*

In addition, \$165,000, to be derived from the Oil Spill Liability Trust Fund to reimburse administrative and personnel expenses for financial management of the Fund, as authorized by section 1012 of Public Law 101-380. (*Department of the Treasury Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-0520-0-1-803	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	2
Receipts:			
Current law:			
1130 Debt Collection, Non-federal Receipts	200	170	176
1140 Debt Collection Improvement Fund, Federal Receipts	24	29	29
1199 Total current law receipts	224	199	205
Proposed:			
1230 Debt Collection, Non-federal Receipts	22
1230 Debt Collection, Non-federal Receipts	6
1240 Debt Collection Improvement Fund, Federal Receipts	96
1299 Total proposed receipts	124
1999 Total receipts	224	199	329
2000 Total: Balances and receipts	225	201	329
Appropriations:			
Current law:			
2101 Salaries and Expenses	-224	-201	-203
2103 Salaries and Expenses	-2	-2	-2
2132 Salaries and Expenses	2	2
2199 Total current law appropriations	-224	-201	-205
Proposed:			
2201 Salaries and Expenses	-22
2999 Total appropriations	-224	-201	-227
5098 Rounding adjustment	1
5099 Balance, end of year	2	102

Program and Financing (in millions of dollars)

Identification code 020-0520-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Collections	42	38	41
0002 Debt Collection	205	201	203
0005 Accounting and Reporting	94	99	103
0006 Payments	123	123	122
0007 Retail Securities Services	55	58	61
0009 Wholesale Securities Services	24	22	23
0010 Matured Unredeemed Debt	17	13
0799 Total direct obligations	543	558	566
0801 Salaries and Expenses (Reimbursable)	181	199	177
0900 Total new obligations, unexpired accounts	724	757	743

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	72	91	99
1001 Discretionary unobligated balance brought fwd, Oct 1	9	8
1012 Unobligated balance transfers between expired and unexpired accounts	1
1021 Recoveries of prior year unpaid obligations	8
1022 Capital transfer of unobligated balances to general fund	-4
1050 Unobligated balance (total)	77	91	99
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	338	365	360
Appropriations, mandatory:			
1201 Special Fund 20-5445	224	201	203
1203 Appropriation (previously unavailable)(special or trust)	2	2	2

1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-2
1240 Capital transfer of appropriations to general fund	-1
1260 Appropriations, mandatory (total)	223	201	205
Spending authority from offsetting collections, discretionary:			
1700 Collected	173	199	177
1701 Change in uncollected payments, Federal sources	8
1750 Spending auth from offsetting collections, disc (total)	181	199	177
1900 Budget authority (total)	742	765	742
1930 Total budgetary resources available	819	856	841
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4
1941 Unexpired unobligated balance, end of year	91	99	98
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	3
1952 Expired unobligated balance, start of year	8
1953 Expired unobligated balance, end of year	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	90	99	143
3010 New obligations, unexpired accounts	724	757	743
3011 Obligations ("upward adjustments"), expired accounts	6
3020 Outlays (gross)	-703	-713	-733
3040 Recoveries of prior year unpaid obligations, unexpired	-8
3041 Recoveries of prior year unpaid obligations, expired	-10
3050 Unpaid obligations, end of year	99	143	153
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-10	-10
3070 Change in uncollected pymts, Fed sources, unexpired	-8
3071 Change in uncollected pymts, Fed sources, expired	11
3090 Uncollected pymts, Fed sources, end of year	-10	-10	-10
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	77	89	133
3200 Obligated balance, end of year	89	133	143

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	519	564	537
Outlays, gross:			
4010 Outlays from new discretionary authority	455	435	415
4011 Outlays from discretionary balances	54	71	113
4020 Outlays, gross (total)	509	506	528
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-185	-199	-177
4040 Offsets against gross budget authority and outlays (total)	-185	-199	-177
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-8
4052 Offsetting collections credited to expired accounts	12
4060 Additional offsets against budget authority only (total)	4
4070 Budget authority, net (discretionary)	338	365	360
4080 Outlays, net (discretionary)	324	307	351
Mandatory:			
4090 Budget authority, gross	223	201	205
Outlays, gross:			
4100 Outlays from new mandatory authority	124	147	148
4101 Outlays from mandatory balances	70	60	57
4110 Outlays, gross (total)	194	207	205
4180 Budget authority, net (total)	561	566	565
4190 Outlays, net (total)	518	514	556

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	561	566	565
Outlays	518	514	556
Legislative proposal, subject to PAYGO:			
Budget Authority	22
Outlays	16
Total:			
Budget Authority	561	566	587
Outlays	518	514	572

The mission of the Fiscal Service is to promote the financial integrity and operational efficiency of the U.S. Government through exceptional accounting, financing, collections, payments, and shared services. The

Fiscal Service engages in efforts to streamline the Government's audit processes, and to reduce intra-governmental accounting differences that stand in the way of a clean audit opinion on the Financial Report of the U.S. Government. The Fiscal Service has set ambitious goals for all-electronic transactions between the bureau, Federal agencies and the public to improve efficiency, security and enable the application of higher levels of automation, such as robotics and artificial intelligence.

The Budget ensures the viability of the Government's National Financial Critical Infrastructure (NFCI) that finances Federal operations, collects revenue, disburses payments, and reports on the Government's financial position. Included in the Budget are resources to improve the accuracy and availability of financial information, implement new, innovative financial practices, strengthen the resiliency of our infrastructure, and enhance the customer value and experience. Because of Fiscal Service's central role in Government-wide financial operations, the Budget supports Treasury's leadership in transforming Federal financial management to become more efficient, more accurate and deliver better service to citizens. The Budget also strengthens Fiscal Service's cybersecurity posture and supports Treasury's efforts to help Americans identify and recover their savings bonds that have matured but remain unredeemed.

Object Classification (in millions of dollars)

Identification code 020-0520-0-1-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	177	187	192
11.5 Other personnel compensation	5	6	6
11.9 Total personnel compensation	182	193	198
12.1 Civilian personnel benefits	62	69	71
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	23	23	26
23.3 Communications, utilities, and miscellaneous charges	17	17	17
25.1 Advisory and assistance services	68	63	66
25.2 Other services from non-Federal sources	24	19	20
25.3 Other goods and services from Federal sources	148	155	149
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	7	7	7
26.0 Supplies and materials	3	3	3
31.0 Equipment	4	4	4
99.0 Direct obligations	543	558	566
99.0 Reimbursable obligations	181	199	177
99.9 Total new obligations, unexpired accounts	724	757	743

Employment Summary

Identification code 020-0520-0-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,861	1,960	1,985
2001 Reimbursable civilian full-time equivalent employment	11	9	9

SALARIES AND EXPENSES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0520-4-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Debt Collection			22
0799 Total direct obligations			22
0900 Total new obligations, unexpired accounts (object class 25.3)			22
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Special Fund 20-5445			22
1930 Total budgetary resources available			22
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			22
3020 Outlays (gross)			-16

3050 Unpaid obligations, end of year			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			6
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			22
Outlays, gross:			
4100 Outlays from new mandatory authority			16
4180 Budget authority, net (total)			22
4190 Outlays, net (total)			16

The Budget proposes legislation to allow Fiscal Service to recover its costs of collecting delinquent tax debt directly from levy collections, rather than from IRS direct appropriation. This would reduce administrative and overhead costs for both Fiscal Service and the IRS.

For more information on this and other debt collection proposals, please consult the Payment Integrity chapter of the Analytical Perspectives and the Debt Collection section of the Major Savings and Reform Volume.

REIMBURSEMENTS TO FEDERAL RESERVE BANKS

Program and Financing (in millions of dollars)

Identification code 020-0562-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Reimbursements to Federal Reserve Banks (Direct)	155	171	177
0900 Total new obligations, unexpired accounts (object class 25.2)	155	171	177
Budgetary resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	8		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	147	171	177
1930 Total budgetary resources available	155	171	177
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37	47	47
3010 New obligations, unexpired accounts	155	171	177
3020 Outlays (gross)	-137	-171	-171
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	47	47	53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	37	47	47
3200 Obligated balance, end of year	47	47	53

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	147	171	177
Outlays, gross:			
4100 Outlays from new mandatory authority	100	125	128
4101 Outlays from mandatory balances	37	46	43
4110 Outlays, gross (total)	137	171	171
4180 Budget authority, net (total)	147	171	177
4190 Outlays, net (total)	137	171	171

This Fund was established by the Treasury, Postal Service, and General Government Appropriations Act of 1991 (P.L. 101-509, 104 Stat. 1389, 1394) as a permanent, indefinite appropriation to reimburse the Federal Reserve Banks for acting as fiscal agents of the Federal Government in support of financing the public debt.

PAYMENT TO THE RESOLUTION FUNDING CORPORATION

Program and Financing (in millions of dollars)

Identification code 020-1851-0-1-908	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to the Resolution Funding Corporation (Direct)	2,628	2,445	1,367

PAYMENT TO THE RESOLUTION FUNDING CORPORATION—Continued
Program and Financing—Continued

Identification code 020-1851-0-1-908	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 41.0)	2,628	2,445	1,367
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,628	2,445	1,367
1930 Total budgetary resources available	2,628	2,445	1,367
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2,628	2,445	1,367
3020 Outlays (gross)	-2,628	-2,445	-1,367
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,628	2,445	1,367
Outlays, gross:			
4100 Outlays from new mandatory authority	2,628	2,445	1,367
4180 Budget authority, net (total)	2,628	2,445	1,367
4190 Outlays, net (total)	2,628	2,445	1,367

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (the Act) authorized and appropriated to the Secretary of the Treasury such sums as may be necessary to cover interest payments on obligations issued by the Resolution Funding Corporation (REFCORP). REFCORP was established under the Act to raise \$31.2 billion for the Resolution Trust Corporation (RTC) in order to resolve savings institution insolvencies.

Sources of payment for interest due on REFCORP obligations have included REFCORP investment income, proceeds from the sale of assets or warrants acquired by the RTC, and annual contributions by the Federal Home Loan Banks. Indefinite, mandatory funds appropriated to the Treasury are primarily used to meet any shortfall.

HOPE RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5581-0-2-371	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1
2000 Total: Balances and receipts	1	1	1
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 020-5581-0-2-371	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	86	86	86
1930 Total budgetary resources available	86	86	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	86	86	86
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The HOPE Reserve Fund was authorized by section 1337(e) of the Housing and Economic Recovery Act of 2008 (HERA, P.L. 110-289), which directed the account be funded from assessments on Fannie Mae and Freddie Mac.

FEDERAL RESERVE BANK REIMBURSEMENT FUND

Program and Financing (in millions of dollars)

Identification code 020-1884-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Reserve Bank services	604	623	646
0900 Total new obligations, unexpired accounts (object class 25.2)	604	623	646
Budgetary resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	58		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	546	623	646
1930 Total budgetary resources available	604	623	646
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	148	157	157
3010 New obligations, unexpired accounts	604	623	646
3020 Outlays (gross)	-537	-623	-638
3040 Recoveries of prior year unpaid obligations, unexpired	-58		
3050 Unpaid obligations, end of year	157	157	165
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	148	157	157
3200 Obligated balance, end of year	157	157	165
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	546	623	646
Outlays, gross:			
4100 Outlays from new mandatory authority	389	467	484
4101 Outlays from mandatory balances	148	156	154
4110 Outlays, gross (total)	537	623	638
4180 Budget authority, net (total)	546	623	646
4190 Outlays, net (total)	537	623	638

This Fund was established by the Treasury and General Government Appropriations Act, 1998, Title I (P.L. 105-61, 111 Stat. 1276) as a permanent, indefinite appropriation to reimburse Federal Reserve Banks for services provided, when directed by the Secretary of the Treasury in accordance with 12 U.S.C. 391, in their capacity as depositaries and fiscal agents for the United States.

PAYMENT OF GOVERNMENT LOSSES IN SHIPMENT

Program and Financing (in millions of dollars)

Identification code 020-1710-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment of Government Losses in Shipment (Direct)	1	2	2
0900 Total new obligations, unexpired accounts (object class 42.0)	1	2	2
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1	2	2
1930 Total budgetary resources available	1	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	2	2
3020 Outlays (gross)	-1	-2	-2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	1	2	2
4180 Budget authority, net (total)	1	2	2
4190 Outlays, net (total)	1	2	2

This account was created as self-insurance to cover losses in shipment of Government property such as coins, currency, securities, certain losses

incurred by the Postal Service, and losses in connection with the redemption of savings bonds. Approximately 1,100 claims are paid annually.

FINANCIAL AGENT SERVICES

Program and Financing (in millions of dollars)

Identification code 020-1802-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Financial agent services	837	848	864
0900 Total new obligations, unexpired accounts (object class 25.2)	837	848	864
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			14
1021 Recoveries of prior year unpaid obligations	15	15	
1050 Unobligated balance (total)	15	15	14
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	823	848	864
1220 Appropriations transferred to other accts (020-0126)	-1	-1	-1
1260 Appropriations, mandatory (total)	822	847	863
1930 Total budgetary resources available	837	862	877
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		14	13

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	67	69	70
3010 New obligations, unexpired accounts	837	848	864
3020 Outlays (gross)	-820	-832	-852
3040 Recoveries of prior year unpaid obligations, unexpired	-15	-15	
3050 Unpaid obligations, end of year	69	70	82
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	67	69	70
3200 Obligated balance, end of year	69	70	82

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	822	847	863
Outlays, gross:			
4100 Outlays from new mandatory authority	753	763	639
4101 Outlays from mandatory balances	67	69	213
4110 Outlays, gross (total)	820	832	852
4180 Budget authority, net (total)	822	847	863
4190 Outlays, net (total)	820	832	852

This permanent, indefinite appropriation was established to reimburse financial institutions for the services they provide as depositories and financial agents of the Federal Government. The services include the acceptance and processing of deposits of public money, as well as services essential to the disbursement of, and accounting for, public monies. The services provided are authorized under numerous statutes including, but not limited to, 12 U.S.C. 90 and 265. This permanent, indefinite appropriation is authorized by P.L. 108-100, the "Check Clearing for the 21st Century Act," and permanently appropriated by P.L. 108-199, the "Consolidated Appropriations Act of 2004." Additionally, financial agent administrative and financial analysis costs for the Government Sponsored Enterprise Mortgage Backed Securities Purchase Program and State Housing Finance Agency program are reimbursed from this account.

INTEREST ON UNINVESTED FUNDS

Program and Financing (in millions of dollars)

Identification code 020-1860-0-1-908	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interest of uninvested funds	39	48	48
0900 Total new obligations, unexpired accounts (object class 43.0)	39	48	48

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	39	48	48
1930 Total budgetary resources available	39	48	48

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	62	88	88
3010 New obligations, unexpired accounts	39	48	48
3020 Outlays (gross)	-13	-48	-48
3050 Unpaid obligations, end of year	88	88	88
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	62	88	88
3200 Obligated balance, end of year	88	88	88

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	39	48	48
Outlays, gross:			
4101 Outlays from mandatory balances	13	48	48
4180 Budget authority, net (total)	39	48	48
4190 Outlays, net (total)	13	48	48

This account was established for the purpose of paying interest on certain uninvested funds placed in trust in the Treasury in accordance with various statutes (31 U.S.C. 1321; 2 U.S.C. 158 (P.L. 94-289); 20 U.S.C. 74a (P.L. 94-418) and 101; 24 U.S.C. 46 (P.L. 94-290) and 69 Stat. 533).

FEDERAL INTEREST LIABILITIES TO STATES

Program and Financing (in millions of dollars)

Identification code 020-1877-0-1-908	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal interest liabilities to States		1	1
0900 Total new obligations, unexpired accounts (object class 25.2)		1	1
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	1		
1037 Unobligated balance of appropriations withdrawn	-1		
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		1	1
1930 Total budgetary resources available		1	1

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-1	-1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross		1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources:	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)		1	1
4170 Outlays, net (mandatory)	-1	1	1
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)	-1	1	1

Pursuant to the Cash Management Improvement Act (P.L. 101-453, 104 Stat. 1058) as amended (P.L. 102-589, 106 Stat. 5133), and Treasury regulations codified at 31 CFR Part 205, under certain circumstances, interest is paid when Federal funds are not transferred to states in a timely manner.

INTEREST PAID TO CREDIT FINANCING ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 020-1880-0-1-908	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interest paid to credit financing accounts	7,122	11,948	12,098
0900 Total new obligations, unexpired accounts (object class 43.0)	7,122	11,948	12,098
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	7,122	11,948	12,098
1930 Total budgetary resources available	7,122	11,948	12,098
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	7,122	11,948	12,098
3020 Outlays (gross)	-7,122	-11,948	-12,098
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7,122	11,948	12,098
Outlays, gross:			
4100 Outlays from new mandatory authority	7,122	11,948	12,098
4180 Budget authority, net (total)	7,122	11,948	12,098
4190 Outlays, net (total)	7,122	11,948	12,098

This account pays interest on the invested balances of guaranteed and direct loan financing accounts. For guaranteed loan financing accounts, balances result when the accounts receive up-front payments and fees to be held in reserve to make payments on defaults. Direct loan financing accounts normally borrow from Treasury to disburse loans and receive interest and principal payments and other payments from borrowers. Because direct loan financing accounts generally repay borrowing from Treasury at the end of the year, they can build up balances of payments received during the year. Interest on invested balances is paid to the financing accounts from the general fund of the Treasury, in accordance with section 505(c) of the Federal Credit Reform Act of 1990.

CLAIMS, JUDGMENTS, AND RELIEF ACTS

Program and Financing (in millions of dollars)

Identification code 020-1895-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Claims for damages	19	1	1
0003 Claims for contract disputes	397	240	240
0091 Total claims adjudicated administratively	416	241	241
0101 Judgments, Court of Claims	716	843	843
0102 Judgments, U.S. courts	574	618	618
0191 Total court judgments	1,290	1,461	1,461
0900 Total new obligations, unexpired accounts (object class 42.0)	1,706	1,702	1,702
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,706	1,702	1,702
1930 Total budgetary resources available	1,706	1,702	1,702
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	130	14
3010 New obligations, unexpired accounts	1,706	1,702	1,702
3020 Outlays (gross)	-1,822	-1,716	-1,702
3050 Unpaid obligations, end of year	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	130	14
3200 Obligated balance, end of year	14
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,706	1,702	1,702

Outlays, gross:				
4100	Outlays from new mandatory authority	1,693	1,702	1,702
4101	Outlays from mandatory balances	129	14
4110	Outlays, gross (total)	1,822	1,716	1,702
4180	Budget authority, net (total)	1,706	1,702	1,702
4190	Outlays, net (total)	1,822	1,716	1,702

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,706	1,702	1,702
Outlays	1,822	1,716	1,702
Legislative proposal, subject to PAYGO:			
Budget Authority	-3
Outlays	-3
Total:			
Budget Authority	1,706	1,702	1,699
Outlays	1,822	1,716	1,699

Funds are made available for cases in which the Federal Government is found by courts to be liable for payment of claims and interest for damages not chargeable to appropriations of individual agencies, and for payment of private and public relief acts. Public Law 95-26 authorized a permanent, indefinite appropriation to pay certain judgments from the general fund of the Treasury.

CLAIMS, JUDGMENTS, AND RELIEF ACTS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-1895-4-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Judgments, U.S. courts	-3
0191 Total court judgments	-3
0900 Total new obligations, unexpired accounts (object class 42.0)	-3
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	-3
1930 Total budgetary resources available	-3
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	-3
3020 Outlays (gross)	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	-3
Outlays, gross:			
4100 Outlays from new mandatory authority	-3
4180 Budget authority, net (total)	-3
4190 Outlays, net (total)	-3

The Budget proposes to reform medical liability and reduce defensive medicine beginning in 2021 by implementing a set of provisions to reduce the number of high dollar awards, limit liability, reduce provider burden, promote evidence-based practices, and strengthen the physician-patient relationship. These reforms are expected to reduce healthcare costs for all Americans and reduce health insurance premiums.

RESTITUTION OF FORGONE INTEREST

Program and Financing (in millions of dollars)

Identification code 020-1875-0-1-908	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Restitution of Forgone Interest (Direct)	2,371

0900	Total new obligations, unexpired accounts (object class 43.0)	2,371		
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	2,371		
1930	Total budgetary resources available	2,371		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1		696	
3010	New obligations, unexpired accounts	2,371		
3020	Outlays (gross)	-1,675	-696	
3050	Unpaid obligations, end of year		696	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		696	
3200	Obligated balance, end of year		696	
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2,371		
Outlays, gross:				
4100	Outlays from new mandatory authority	1,675		
4101	Outlays from mandatory balances		696	
4110	Outlays, gross (total)	1,675	696	
4180	Budget authority, net (total)	2,371		
4190	Outlays, net (total)	1,675	696	

This account provides funds for the payment of interest on investments in Treasury securities that the Secretary of the Treasury has suspended or redeemed. The Secretary is permitted to take such action when Treasury is constrained by the statutory debt limit and must take extraordinary measures to avoid defaulting. Treasury is required to restore all due interest and principal to the respective investments.

CONTINUED DUMPING AND SUBSIDY OFFSET

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5688-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	1	3
Receipts:			
Current law:			
1110 Antidumping and Countervailing Duties, Continued Dumping and Subsidy Offset	16	55	14
2000 Total: Balances and receipts	18	56	17
Appropriations:			
Current law:			
2101 Continued Dumping and Subsidy Offset	-16	-55	-14
2103 Continued Dumping and Subsidy Offset	-2	-1	-3
2132 Continued Dumping and Subsidy Offset	1	3	
2199 Total current law appropriations	-17	-53	-17
2999 Total appropriations	-17	-53	-17
5099 Balance, end of year	1	3	

Program and Financing (in millions of dollars)

Identification code 020-5688-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Continued dumping and subsidy offset	23	16	55
0900 Total new obligations, unexpired accounts (object class 41.0)	23	16	55
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	123	117	154
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	16	55	14
1203 Appropriation (previously unavailable)(special or trust)	2	1	3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-3	
1260 Appropriations, mandatory (total)	17	53	17
1930 Total budgetary resources available	140	170	171

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	117	154	116
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	23	16	55
3020 Outlays (gross)	-23	-16	-55
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	17	53	17
Outlays, gross:			
4101 Outlays from mandatory balances	23	16	55
4180 Budget authority, net (total)	17	53	17
4190 Outlays, net (total)	23	16	55

The Bureau of Customs and Border Protection, Department of Homeland Security (CBP), collects duties assessed pursuant to a countervailing duty order, an antidumping duty order, or a finding under the Antidumping Act of 1921. Under a provision enacted in 2000 CBP, through the Treasury, distributes certain of these duties to affected domestic producers. These distributions provide an additional subsidy to producers that already gain protection from the increased import prices, including tariffs. The authority to distribute assessments on entries made after October 1, 2007, has been repealed. Assessments on entries made before October 1, 2007, will be disbursed as if the authority had not been repealed. Assessments collected on eligible entries are to be disbursed within 60 days of the end of the fiscal year in which they were collected.

CHECK FORGERY INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 020-4109-0-3-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Check Forgery Insurance Fund (Reimbursable)	4	5	5
0900 Total new obligations, unexpired accounts (object class 42.0)	4	5	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	6	6
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	4	5	5
1900 Budget authority (total)	4	5	5
1930 Total budgetary resources available	10	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	6	6
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	5	5
3020 Outlays (gross)	-4	-5	-5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority		5	5
4101 Outlays from mandatory balances	4		
4110 Outlays, gross (total)	4	5	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-4	-5	-5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This Fund was established as a permanent, indefinite appropriation in order to maintain adequate funding of the Check Forgery Insurance Fund. The Fund facilitates timely payments for replacement Treasury checks necessitated due to a claim of forgery. The Fund recoups disbursements through reclamations made against banks negotiating forged checks.

To reduce hardships sustained by payees of Government checks that have been stolen and forged, settlement is made in advance of the receipt of

CHECK FORGERY INSURANCE FUND—Continued

funds from the endorsers of the checks. If the U.S. Treasury is unable to recover funds through reclamation procedures, the Fund sustains the loss.

Public Law 108-447 expanded the use of the Fund to include payments made via electronic funds transfer. A technical correction to the Fund's statutes to ensure and clarify that the Fund can be utilized as a funding source for relief of administrative disbursing errors was enacted by P.L. 110-161, Division D, section 119.

Trust Funds

CHEYENNE RIVER SIOUX TRIBE TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8209-0-7-306	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	60	60	60
Receipts:			
Current law:			
1140 Earnings on Investments, Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund	1	1	1
2000 Total: Balances and receipts	61	61	61
Appropriations:			
Current law:			
2101 Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund	-1	-1	-1
5099 Balance, end of year	60	60	60

Program and Financing (in millions of dollars)

Identification code 020-8209-0-7-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restorat (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 43.0)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	61	61	61
5001 Total investments, EOY: Federal securities: Par value	61	61	61

This schedule reflects the payments made to the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund. Pursuant to section 604(b) of the Water Resources Development Act of 1999 (P.L. 106-53), after the funds were fully capitalized by deposits from the General Fund of the Treasury, interest earned became available to the Tribes to carry out the purposes of the funds. Full capitalization occurred in 2010; therefore

no additional deposits will be provided by the General Fund of the Treasury. The Tribes are only able to draw down on interest earned investments.

GULF COAST RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8625-0-7-452	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	12	20	21
Receipts:			
Current law:			
1110 Administrative and Civil Penalties, Gulf Coast Restoration Trust Fund	303	304	303
1140 Earnings on Investments, Gulf Coast Restoration Trust Fund	20	36	27
1199 Total current law receipts	323	340	330
1999 Total receipts	323	340	330
2000 Total: Balances and receipts	335	360	351
Appropriations:			
Current law:			
2101 Gulf Coast Restoration Trust Fund	-324	-340	-331
2103 Gulf Coast Restoration Trust Fund	-11	-19	-20
2132 Gulf Coast Restoration Trust Fund	20	20	
2199 Total current law appropriations	-315	-339	-351
2999 Total appropriations	-315	-339	-351
5099 Balance, end of year	20	21	

Program and Financing (in millions of dollars)

Identification code 020-8625-0-7-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct Component	118	65	65
0002 Comprehensive Plan Component	12	48	46
0003 Oil Spill Restoration Impact Component	94	49	49
0004 NOAA RESTORE Act Science Program	6	6	7
0005 Centers of Excellence Research Grants	10	8	8
0900 Total new obligations, unexpired accounts	240	176	175
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	957	1,033	1,196
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	958	1,033	1,196
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	324	340	331
1203 Appropriation (previously unavailable)(special or trust)	11	19	20
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-20	-20	
1260 Appropriations, mandatory (total)	315	339	351
1900 Budget authority (total)	315	339	351
1930 Total budgetary resources available	1,273	1,372	1,547
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,033	1,196	1,372
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	310	461	449
3010 New obligations, unexpired accounts	240	176	175
3020 Outlays (gross)	-88	-188	-171
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	461	449	453
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	310	461	449
3200 Obligated balance, end of year	461	449	453
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	315	339	351
Outlays, gross:			
4101 Outlays from mandatory balances	88	188	171
4180 Budget authority, net (total)	315	339	351
4190 Outlays, net (total)	88	188	171

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	1,284	1,545	1,748
5001	Total investments, EOY: Federal securities: Par value	1,545	1,748	1,908

This fund was established by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act). It will receive 80 percent of the civil and administrative penalties collected after July 6, 2012, from parties responsible for the *Deepwater Horizon* oil spill. Funding will be used by Federal, state, and local governments for activities to restore and protect the ecosystems and economy of the Gulf Coast region, research and monitoring, and related oversight and management responsibilities. The current estimates represent known settlement amounts; additional funds may become available through future court judgments or settlements.

Object Classification (in millions of dollars)

Identification code 020-8625-0-7-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	128	73	73
94.0 Financial transfers	112	103	102
99.9 Total new obligations, unexpired accounts	240	176	175

FEDERAL FINANCING BANK

Federal Funds

FEDERAL FINANCING BANK

Program and Financing (in millions of dollars)

Identification code 020-4521-0-4-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Administrative Expenses	12	13	13
0802 Interest on borrowings from Treasury	1,839	1,865	1,928
0803 Interest on borrowings from CRSDF	296	237	192
0900 Total new obligations, unexpired accounts	2,147	2,115	2,133
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	624	2,082	4,327
1023 Unobligated balances applied to repay debt	-1,530	-1,547	-1,208
1028 FFB: Agency or guaranteed principal received in excess of principal repaid to the Fiscal Service	2,564	3,512	676
1050 Unobligated balance (total)	1,658	4,047	3,795
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,571	2,395	2,237
1930 Total budgetary resources available	4,229	6,442	6,032
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,082	4,327	3,899
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	2,147	2,115	2,133
3020 Outlays (gross)	-2,147	-2,115	-2,133
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,571	2,395	2,237
Outlays, gross:			
4100 Outlays from new mandatory authority	2,146	2,115	2,133
4101 Outlays from mandatory balances	1
4110 Outlays, gross (total)	2,147	2,115	2,133
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-2,571	-2,395	-2,237
4180 Budget authority, net (total)
4190 Outlays, net (total)	-424	-280	-104

The Federal Financing Bank (FFB) was created in 1973 to reduce the costs of certain Federal and federally-assisted borrowing and to ensure the coordination of such borrowing from the public in a manner least disruptive to private financial markets and institutions. Prior to that time, many agencies borrowed directly from the private market to finance credit programs involving lending to the public at higher rates than on comparable Treasury securities. With the implementation of the Federal Credit Reform Act of 1990 agencies finance such loan programs through direct loan financing accounts that borrow directly from the Treasury. The FFB finances these Federal direct loans to the public which are fully guaranteed by a Federal agency. FFB loans are also used to finance activities of the U.S. Postal Service.

Lending by the FFB may take one of three forms, depending on the authorizing statutes pertaining to a particular agency or program: 1) the FFB may purchase agency financial assets; 2) the FFB may acquire debt securities that the agency is otherwise authorized to issue to the public; and 3) the FFB may originate direct loans on behalf of an agency by disbursing loans directly to private borrowers and receiving repayments from the private borrower on behalf of the agency. Because the law requires that transactions by the FFB be treated as a means of financing agency obligations, the budgetary effect of the third type of transaction is reflected in the Budget in the following sequence: a loan by the FFB to the agency, a loan by the agency to a private borrower, a repayment by a private borrower to the agency, and a repayment by the agency to the FFB.

In 2019, FFB's net inflows were \$337 million. In addition to its authority to borrow from the Treasury (Fiscal Service), the FFB has the statutory authority to borrow up to \$15 billion from other sources. Any such borrowing is exempt from the statutory ceiling on Federal debt. The FFB used this authority most recently in October 2015.

The following tables show (1) the annual net lending by the FFB by agency and program and the amount outstanding at the end of each year and (2) principal repayments from the borrower in excess of principal repaid to the Fiscal Service each year.

NET LENDING AND LOANS OUTSTANDING, END OF YEAR

	(in millions of dollars)		
	2019 actual	2020 est.	2021 est.
A. Department of Agriculture:			
1. Rural Utilities Service:			
Lending, net	-134	1,956	1,827
Loans outstanding	846,154	348,110	49,937
B. Department of Education:			
1. Historically black colleges and universities:			
Lending, net	228	264	134
Loans outstanding	1,677	1,941	2,075
C. Department of Energy:			
1. Title 17 innovative technology loans:			
Lending, net	1,736	1,237	1,258
Loans outstanding	12,803	14,040	15,298
2. Advanced technology vehicles manufacturing loans:			
Lending, net	-591	-591	-591
Loans outstanding	1,618	1,027	436
D. Department of Housing and Urban Development:			
1. Multifamily Risk Share Program:			
Lending, net	303	525	109
Loans outstanding	1,968	2,493	2,602
E. Department of Transportation:			
1. Railroad Revitalization and Regulatory Reform Act:			
Lending, net
Loans outstanding
F. Department of the Treasury:			
1. CDFI Fund Bond Guarantee Program:			
Lending, net	321	359	211
Loans outstanding	1,016	1,375	1,586
G. Department of Veterans Affairs:			
1. Transitional housing for homeless veterans:			
Lending, net
Loans outstanding	5	5	5
H. General Services Administration:			
1. Federal buildings fund:			
Lending, net
Loans outstanding
I. International Assistance Programs:			
1. Foreign military sales credit:			
Lending, net
Loans outstanding

FEDERAL FINANCING BANK—Continued
NET LENDING AND LOANS OUTSTANDING, END OF YEAR—Continued

	2019 actual	2020 est.	2021 est.
J. Postal Service:			
I. Postal Service fund:			
Lending, net	-2,200
Loans outstanding	11,000	11,000	11,000
Total lending:			
Lending, net	-337	3,750	2,948
Loans outstanding	76,241	79,991	82,939

PRINCIPAL REPAYMENTS, END OF YEAR

	2019 actual	2020 est.	2021 est.
Agency or Guaranteed Principal Received:			
A. Department of Education:			
1. Historically black colleges and universities	24	74	25
B. National Credit Union Administration:			
1. Central liquidity facility	1
C. Department of Agriculture:			
1. Rural Utilities Service	3,400	5,533	703
D. Postal Service:			
1. Postal Service fund	74,000
E. Department of Veterans Affairs:			
1. Transitional housing for homeless veterans
Total Agency or Guaranteed Principal Received	77,425	5,607	727
Principal Repaid to the Fiscal Service:			
A. Department of Education:			
1. Historically black colleges and universities	5	17	5
B. National Credit Union Administration:			
1. Central Liquidity Facility	1
C. Department of Agriculture:			
1. Rural Utilities Service	855	2,078	47
D. Postal Service:			
1. Postal Service fund	74,000
E. Department of Veterans Affairs:			
1. Transitional housing for homeless veterans
Total Agency or Guaranteed Principal Repaid	74,861	2,095	51
Agency or guaranteed principal received in excess of principal repaid to the Fiscal Service			
A. Department of Education:			
1. Historically black colleges and universities	19	56	20
B. National Credit Union Administration:			
1. Central Liquidity Facility
C. Department of Agriculture:			
1. Rural Utilities Service	2,545	3,455	656
D. Postal Service:			
1. Postal Service fund
E. Department of Veterans Affairs:			
1. Transitional housing for homeless veterans
Total Agency or guaranteed principal received in excess of principal repaid to the Fiscal Service	2,564	3,512	676

Object Classification (in millions of dollars)

Identification code 020-4521-0-4-803	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources	12	13	13
43.0 Interest and dividends	2,135	2,102	2,120
99.9 Total new obligations, unexpired accounts	2,147	2,115	2,133

ALCOHOL AND TOBACCO TAX AND TRADE BUREAU

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of carrying out section 1111 of the Homeland Security Act of 2002, including hire of passenger motor vehicles, **[\$119,600,000] \$125,837,000; of which \$5,000,000 shall remain available until September 30, 2022; of which not to exceed \$6,000 for official reception and representation expenses; and of which not to exceed \$50,000 shall be available for cooperative research and development programs for laboratory services; and provision of laboratory assistance to State and local agencies with or without reimbursement**;

Provided, That of the amount appropriated under this heading, \$5,000,000 shall be for the costs of accelerating the processing of formula and label applications: *Provided further*, That of the amount appropriated under this heading, \$5,000,000, to remain available until September 30, 2021, shall be for the costs associated with enforcement of the trade practice provisions of the Federal Alcohol Administration Act (27 U.S.C. 201 et seq.). (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-1008-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Protect the Public	62	62	66
0002 Collect revenue	59	59	60
0192 Total direct program	121	121	126
0799 Total direct obligations	121	121	126
0801 Protect the Public	3	3	3
0802 Collect Revenue	3	4	4
0899 Total reimbursable obligations	6	7	7
0900 Total new obligations, unexpired accounts	127	128	133
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	120	120	126
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	7	7
1701 Change in uncollected payments, Federal sources	2
1750 Spending auth from offsetting collections, disc (total)	7	7	7
1900 Budget authority (total)	127	127	133
1930 Total budgetary resources available	132	132	137
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	28	25
3010 New obligations, unexpired accounts	127	128	133
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-121	-131	-131
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	28	25	27
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-2
3071 Change in uncollected pymts, Fed sources, expired	3
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	26	23
3200 Obligated balance, end of year	26	23	25
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	127	127	133
Outlays, gross:			
4010 Outlays from new discretionary authority	96	106	111
4011 Outlays from discretionary balances	25	25	20
4020 Outlays, gross (total)	121	131	131
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-4	-4
4033 Non-Federal sources	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total) ...	-7	-7	-7
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2
4052 Offsetting collections credited to expired accounts	2
4070 Budget authority, net (discretionary)	120	120	126
4080 Outlays, net (discretionary)	114	124	124
4180 Budget authority, net (total)	120	120	126
4190 Outlays, net (total)	114	124	124

The Alcohol and Tobacco Tax and Trade Bureau (TTB) enforces various Federal laws and regulations relating to alcohol and tobacco by working directly and in cooperation with other agencies to: 1) provide the most ef-

fective and efficient system for the collection of all revenue that is rightfully due, and eliminate or prevent tax evasion and other criminal conduct, 2) prevent consumer deception relating to alcohol beverages, ensure that regulated alcohol and tobacco products comply with various Federal commodity, product integrity, and distribution requirements, and 3) provide high quality customer service while imposing the least regulatory burden. Additionally, the Administration proposes legislation to transfer primary jurisdiction over federal tobacco and alcohol anti-smuggling laws from the Department of Justice and the Bureau of Alcohol, Tobacco, Firearms and Explosives to the Department of the Treasury and TTB. Under the proposal, TTB would be responsible for the administration and enforcement of the Jenkins Act of 1949 (as amended by the Prevent All Cigarette Trafficking Act of 2009), 15 U.S.C. Chapter 10A, the Contraband Cigarette Trafficking Act of 1978, 18 U.S.C. Chapter 114, and the criminal statutes involving Liquor Trafficking, 18 U.S.C. Chapter 59. The Budget request for TTB includes an initial investment for start-up costs to initiate this transfer.

Object Classification (in millions of dollars)

Identification code 020-1008-0-1-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	51	55	57
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	53	57	59
12.1 Civilian personnel benefits	17	20	21
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	15	12	12
25.2 Other services from non-Federal sources	14	12	13
25.3 Other goods and services from Federal sources	9	9	9
25.7 Operation and maintenance of equipment	3	2	2
26.0 Supplies and materials			1
31.0 Equipment	3	2	2
99.0 Direct obligations	121	121	126
99.0 Reimbursable obligations	6	7	7
99.9 Total new obligations, unexpired accounts	127	128	133

Employment Summary

Identification code 020-1008-0-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	485	502	508
2001 Reimbursable civilian full-time equivalent employment	10	10	10

INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5737-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Deposits, Internal Revenue Collections for Puerto Rico	445	453	459
2000 Total: Balances and receipts	445	453	459
Appropriations:			
Current law:			
2101 Internal Revenue Collections for Puerto Rico	-445	-453	-459
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 020-5737-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Internal revenue collections for Puerto Rico	445	453	459
0900 Total new obligations, unexpired accounts (object class 41.0)	445	453	459

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	445	453	459
1930 Total budgetary resources available	445	453	459

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	445	453	459
3020 Outlays (gross)	-445	-453	-459

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	445	453	459
Outlays, gross:			
4100 Outlays from new mandatory authority	445	453	459
4180 Budget authority, net (total)	445	453	459
4190 Outlays, net (total)	445	453	459

Excise taxes collected under the Internal Revenue laws of the United States on articles produced in Puerto Rico and transported to the United States are covered-over (paid) to Puerto Rico. (26 U.S.C. 7652(a)). Excise taxes collected on articles produced in the U.S. Virgin Islands and transported to the United States are covered-over to the U.S. Virgin Islands. (26 U.S.C. 7652(b)). Excise taxes collected on rum imported from everywhere other than Puerto Rico or the U.S. Virgin Islands are also covered-over to the treasuries of Puerto Rico and the U.S. Virgin Islands under a formula determined by the Alcohol and Tobacco Tax and Trade Bureau. (26 U.S.C. 7652(e)).

Excise taxes are imposed on rum at the applicable distilled spirits rate. (26 U.S.C. 5001(a)(1) and (c)(1)). Excise tax collections on imported rum are covered-over to Puerto Rico and the U.S. Virgin Islands at the lesser of the rate of \$10.50 (\$13.25 in the case of distilled spirits brought into the United States after June 30, 1999, and before January 1, 2022), or the tax imposed under section 5001(a)(1) (determined as if subsection (c)(1) of such section did not apply), on each proof gallon. (26 U.S.C. 7652(f)). After December 31, 2017, and before January 1, 2021 , the cover-over payment associated with any particular proof gallon of rum may exceed the taxes collected on such proof gallon, depending on the applicable distilled spirits rate.

BUREAU OF ENGRAVING AND PRINTING

Federal Funds

BUREAU OF ENGRAVING AND PRINTING FUND

Program and Financing (in millions of dollars)

Identification code 020-4502-0-4-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Currency program	947	811	878
0803 Other programs	8	9	9
0900 Total new obligations, unexpired accounts	955	820	887

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	518	575	588
1021 Recoveries of prior year unpaid obligations	132	13	14
1050 Unobligated balance (total)	650	588	602
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	809	820	887
1701 Change in uncollected payments, Federal sources	71		
1750 Spending auth from offsetting collections, disc (total)	880	820	887
1930 Total budgetary resources available	1,530	1,408	1,489
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	575	588	602

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	444	513	565
3010 New obligations, unexpired accounts	955	820	887

BUREAU OF ENGRAVING AND PRINTING FUND—Continued
Program and Financing—Continued

Identification code 020-4502-0-4-803		2019 actual	2020 est.	2021 est.
3020	Outlays (gross)	-754	-755	-887
3040	Recoveries of prior year unpaid obligations, unexpired	-132	-13	-14
3050	Unpaid obligations, end of year	513	565	551
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-769	-840	-840
3070	Change in uncollected pymts, Fed sources, unexpired	-71		
3090	Uncollected pymts, Fed sources, end of year	-840	-840	-840
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-325	-327	-275
3200	Obligated balance, end of year	-327	-275	-289
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	880	820	887
	Outlays, gross:			
4010	Outlays from new discretionary authority	121	205	222
4011	Outlays from discretionary balances	633	550	665
4020	Outlays, gross (total)	754	755	887
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources:		-71	
4033	Non-Federal sources	-809	-749	-887
4040	Offsets against gross budget authority and outlays (total)	-809	-820	-887
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-71		
4080	Outlays, net (discretionary)	-55	-65	
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-55	-65	

The Bureau of Engraving and Printing (BEP) produces and delivers U.S. currency notes for the Federal Reserve System ordered by the Board of Governors of the Federal Reserve and other security products for the Federal Government. BEP began printing currency in 1862 and operates on the basis of authority conferred upon the Secretary of the Treasury by 31 U.S.C. 321(a) (4) to engrave and print currency and other security documents. Operations are financed through a revolving fund established in 1950 in accordance with Public Law 81-656. The fund is reimbursed for direct and indirect costs of operations, including administrative expenses, through product sales. In 1977, Public Law 95-81 authorized BEP to include an amount sufficient to fund capital investment and to meet working capital requirements in the prices charged for products, eliminating the need for appropriations from Congress. In 2019, Public Law 116-6 authorized the use of the revolving fund for acquisition of necessary land for, and construction of, a replacement currency production facility.

The Bureau has three strategic goals: to safely and timely deliver quality products to stakeholders in a cost-effective and environmentally responsible manner; to create innovative designs, processes, and products that exceed stakeholders' expectations and to achieve overall excellence by balanced investment in people, processes, facilities, and technology. Other activities at BEP include engraving plates and dies; manufacturing inks used to print security products; purchasing materials, supplies, equipment; and storing and delivering products in accordance with customer requirements. In addition, BEP provides technical assistance, advice, and production services to other Federal agencies in the development of security documents that require counterfeit deterrent features due to their innate value or other characteristics. BEP supports the Treasury goals to Boost U.S. Economic Growth and Achieve Operational Excellence.

BEP's 2021 priorities include: (1) constructing a more efficient production facility to replace the current aging Washington, D.C. facility; (2) expanding the Western Currency Facility to house the new equipment required for the next generation of currency design; (3) conducting research and development, and collaborating with key stakeholders in order to deter counterfeiting and maintain public trust in the security and reliability of U.S. currency notes; (4) retooling manufacturing processes with state-of-the-art intaglio printing presses, electronic inspection systems, and finishing

equipment; (5) continuing efforts to implement designated talent management initiatives while filling personnel gaps in needed STEM and cybersecurity skill sets. During 2021, BEP expects to produce and deliver 6.8 billion notes to the FRB to meet currency demand.

Object Classification (in millions of dollars)

Identification code 020-4502-0-4-803		2019 actual	2020 est.	2021 est.
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	180	177	183
11.5	Other personnel compensation	41	16	13
11.9	Total personnel compensation	221	193	196
12.1	Civilian personnel benefits	67	65	73
21.0	Travel and transportation of persons	2	2	2
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	4	4	4
23.2	Rental payments to others		1	1
23.3	Communications, utilities, and miscellaneous charges	15	16	16
25.1	Advisory and assistance services	20	8	7
25.2	Other services from non-Federal sources	106	117	113
25.3	Other goods and services from Federal sources	26		
25.4	Operation and maintenance of facilities	149	28	28
25.5	Research and development contracts	34	50	50
25.7	Operation and maintenance of equipment		17	16
26.0	Supplies and materials	218	194	248
31.0	Equipment	93	124	132
99.0	Reimbursable obligations	956	820	887
99.5	Adjustment for rounding	-1		
99.9	Total new obligations, unexpired accounts	955	820	887

Employment Summary

Identification code 020-4502-0-4-803		2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	1,727	1,804	1,863

UNITED STATES MINT

Federal Funds

UNITED STATES MINT PUBLIC ENTERPRISE FUND

Pursuant to section 5136 of title 31, United States Code, the United States Mint is provided funding through the United States Mint Public Enterprise Fund for costs associated with the production of circulating coins, numismatic coins, and protective services, including both operating expenses and capital investments: *Provided*, That the aggregate amount of new liabilities and obligations incurred during fiscal year **[2020] 2021** under such section 5136 for circulating coinage and protective service capital investments of the United States Mint shall not exceed **[\$30,000,000] \$50,000,000**. (*Department of the Treasury Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 020-4159-0-3-803		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0806	Total Operating	1,517	2,497	2,486
0807	Circulating and Protection Capital	28	30	50
0808	Numismatic Capital	10	11	11
0900	Total new obligations, unexpired accounts	1,555	2,538	2,547
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	723	468	488
1021	Recoveries of prior year unpaid obligations	8	20	20
1050	Unobligated balance (total)	731	488	508
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,292	2,538	2,547
1930	Total budgetary resources available	2,023	3,026	3,055
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	468	488	508
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	398	411	547

3010	New obligations, unexpired accounts	1,555	2,538	2,547
3020	Outlays (gross)	-1,534	-2,382	-2,546
3040	Recoveries of prior year unpaid obligations, unexpired	-8	-20	-20
3050	Unpaid obligations, end of year	411	547	528
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	398	411	547
3200	Obligated balance, end of year	411	547	528
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,292	2,538	2,547
Outlays, gross:				
4010	Outlays from new discretionary authority	1,102	2,030	2,038
4011	Outlays from discretionary balances	432	352	508
4020	Outlays, gross (total)	1,534	2,382	2,546
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1,292	-2,538	-2,547
4040	Offsets against gross budget authority and outlays (total)	-1,292	-2,538	-2,547
4180	Budget authority, net (total)			
4190	Outlays, net (total)	242	-156	-1

The United States Mint mints and issues circulating coins, produces and distributes numismatic items, and provides security and asset protection. Since 1996, the Mint's operations have been funded through the Public Enterprise Fund (PEF) established by section 522 of Public Law 104-52 (31 U.S.C. 5136). The operations of the Mint are divided into two major components, circulating coinage and numismatic products. Finances for the two components are accounted for separately; Receipts from circulating coinage operations are not used to fund numismatic operations and receipts from numismatic operations are not used to fund circulating coinage operations. The Mint generates revenue through the issuance of circulating coins to the Federal Reserve Banks (FRBs) and the sale of numismatic products to the public and bullion coins to authorized purchasers. The Mint submits annual audited financial statements to the Secretary of the Treasury and to the Congress in support of the operations of the PEF. In 2019, the Mint transferred \$540 million to the General Fund.

Circulating Coinage. This activity funds the minting and issuance of circulating coins to the FRBs in amounts that the Secretary of the Treasury determines are necessary to meet the needs of the United States. The 2021 Budget reflects production volumes that correspond to expected demand and raw materials costs, which are driven by commodity prices and volumes. The Mint receives funds from the Federal Reserve equal to the face value of the circulating coins shipped to the FRB. The Mint is credited with the full cost of producing and distributing the coins that are put into circulation, including the depreciation of manufacturing facilities and equipment. The difference between the face value of the coins and the full cost of producing the coins is called seigniorage, which is a means of financing the deficit and transferred periodically to the General Fund. The annual appropriations bill includes a statutory cap on Mint expenditures on circulating and protection capital investments. The cap for 2021 is \$50 million.

Numismatic Items. This activity funds the manufacturing of numismatic items, which include collectible coins and sets, medals, bullion coins, and other products for sale to collectors and other members of the public who desire high-quality or investment-grade versions of the Nation's coinage. These products include annual proof and uncirculated sets; investment-grade silver and gold bullion coins; uncirculated silver and gold coins; proof silver, gold, platinum and palladium coins; and commemorative coins and medals that are authorized to commemorate events, individuals, places, or other subjects. Prices for numismatic products are based on the estimated product cost plus a reasonable margin to assure that the numismatic program operates at no net cost to the taxpayer. Similarly, bullion coins are priced based on the market price of the precious metals plus a premium to cover manufacturing, marketing, and distribution costs. Making numismatic products accessible, available, and affordable to Americans who choose to purchase them is the highest priority of the Mint's numismatic operations.

Object Classification (in millions of dollars)

Identification code 020-4159-0-3-803	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	130	145	152
11.5	Other personnel compensation	13	12	12
11.9	Total personnel compensation	143	157	164
12.1	Civilian personnel benefits	50	52	56
13.0	Benefits for former personnel		1	1
21.0	Travel and transportation of persons	2	3	3
22.0	Transportation of things	28	22	21
23.2	Rental payments to others	11	3	3
23.3	Communications, utilities, and miscellaneous charges	17	19	19
24.0	Printing and reproduction	2	3	3
25.1	Advisory and assistance services	64	61	55
25.2	Other services from non-Federal sources	23	40	25
25.3	Other goods and services from Federal sources	19	21	21
25.4	Operation and maintenance of facilities	8	4	4
25.5	Research and development contracts		1	1
25.6	Medical care	1	1	1
25.7	Operation and maintenance of equipment	9	7	7
26.0	Supplies and materials	1,138	2,100	2,100
31.0	Equipment	29	32	52
32.0	Land and structures	11	12	12
99.0	Reimbursable obligations	1,555	2,539	2,548
99.5	Adjustment for rounding		-1	-1
99.9	Total new obligations, unexpired accounts	1,555	2,538	2,547

Employment Summary

Identification code 020-4159-0-3-803	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	1,536	1,671	1,705

INTERNAL REVENUE SERVICE

The Internal Revenue Service (IRS) collects the revenue that funds the Government and administers the Nation's tax laws. During 2019, the IRS processed 255 million tax forms and collected \$3.6 trillion in taxes (gross receipts before tax refunds), totaling 95 percent of Federal Government receipts. The IRS taxpayer service program assists millions of taxpayers in understanding and meeting their tax obligations. The IRS tax enforcement and compliance program deters taxpayers inclined to evade their responsibilities while pursuing those who violate tax laws.

The 2021 Budget provides \$12 billion for the IRS to administer the tax code and implement key strategic priorities. In addition, the Budget proposes to establish and fund a new adjustment to the discretionary caps for program integrity activities starting in 2021, including a \$400 million cap adjustment in 2021. The activities through 2030 are estimated to generate \$79 billion in additional revenue over 10 years and cost approximately \$15 billion resulting in an estimated net savings of \$64 billion. Once these investments are fully operational, these initiatives are expected to generate roughly \$5 in additional revenue for every \$1 in IRS expenses.

Taxpayer Service Account. The Budget includes funding for Taxpayer Services that will allow the IRS to continue delivering services to taxpayers using a variety of in-person, telephone, and web-based methods. These tools help taxpayers understand their obligations, correctly file their returns, and pay taxes due in a timely manner with as little burden as possible. Labor costs account for approximately 90 percent of the Taxpayer Services appropriation. In fiscal year 2019, the IRS processed more than 155 million individual tax returns and issued more than 109 million federal tax refunds totaling more than \$300 billion. The IRS served 1.3 million taxpayers with more than 798,000 face-to-face assistor contacts and more than 483,000 phone-based resolutions for taxpayers who originally called for an appointment. The toll-free tax law and accounts accuracy remained high and customer satisfaction exceeded 90 percent. Overall, IRS.gov showed slight growth in 2019 compared to 2018, attributable to an increase in mobile device usage.

Enforcement Account. The Enforcement account funds activities that protect revenue by identifying fraud and preventing issuance of questionable refunds including those related to identity theft; increase compliance by addressing offshore tax evasion; strengthen examination and collection programs, including return preparer; and address compliance issues in the tax-exempt sector. Labor costs account for approximately 92 percent of the Enforcement appropriation. During 2019, the IRS achieved 1,735 criminal convictions with a conviction rate of 91.2 percent. The Questionable Refund Program achieved 167 convictions with a 91.8 percent conviction rate. The Return Preparer Program achieved 147 convictions with a 94.8 percent conviction rate.

Operations Support Account. The Budget includes funding for the overall planning, direction, operations and critical infrastructure activities, including the IT and cybersecurity that keep tax systems running and protect taxpayer data, the financial management activities that ensure effective stewardship of the Nation's revenues, and the physical infrastructure of IRS facilities. For example, in 2019, the IRS reduced the percentage of aged hardware within the IT environment from 45.5 percent at the end of 2018 to 31 percent through refreshing employee workstations, upgrading aged server operating systems and related aged hardware, and phasing out old equipment.

Business Systems Modernization Account. IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and enhance productivity. Modernizing is necessary to maintain the integrity of the Nation's voluntary tax system and collect trillions of dollars in tax revenue. With improved online services, taxpayers will be able to receive notifications, check their account balance, set up payment plans, and connect with an IRS representative through a single, online session. Other projects will help the IRS manage its caseload, increase productivity of its workforce, and ensure the security of taxpayer information.

Federal Funds

TAXPAYER SERVICES

For necessary expenses of the Internal Revenue Service to provide taxpayer services, including pre-filing assistance and education, filing and account services, taxpayer advocacy services, and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$2,511,554,000]** \$2,562,554,000, of which not less than \$11,000,000 shall be for the Tax Counseling for the Elderly Program, of which not less than \$12,000,000 shall be available for low-income taxpayer clinic grants, of which not less than \$25,000,000, to remain available until September 30, **[2021]** 2022, shall be available for the Community Volunteer Income Tax Assistance Matching Grants Program for tax return preparation assistance, and of which not less than \$209,000,000 shall be available for operating expenses of the Taxpayer Advocate Service: *Provided*, That of the amounts made available for the Taxpayer Advocate Service, not less than \$5,500,000 shall be for identity theft and refund fraud casework. (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0912-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Pre-filing taxpayer assistance and education	616	657	667
0002 Filing and account services	1,962	1,883	1,996
0100 Subtotal, direct programs	2,578	2,540	2,663
0799 Total direct obligations	2,578	2,540	2,663
0801 Taxpayer Services (Reimbursable)	38	66	69
0900 Total new obligations, unexpired accounts	2,616	2,606	2,732
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	18		
1010 Unobligated balance transfer to other accts [020-0913]	-1		
1011 Unobligated balance transfer from other acct [020-5432]	6	4	100

1012 Unobligated balance transfers between expired and unexpired accounts	1		
1050 Unobligated balance (total)	24	4	100
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,557	2,512	2,563
1121 Appropriations transferred from other acct [020-0913]		24	
1160 Appropriation, discretionary (total)	2,557	2,536	2,563
Spending authority from offsetting collections, discretionary:			
1700 Collected	38	66	69
1900 Budget authority (total)	2,595	2,602	2,632
1930 Total budgetary resources available	2,619	2,606	2,732
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	194	200	207
3010 New obligations, unexpired accounts	2,616	2,606	2,732
3011 Obligations ("upward adjustments"), expired accounts	35		
3020 Outlays (gross)	-2,633	-2,591	-2,716
3041 Recoveries of prior year unpaid obligations, expired	-12	-8	-9
3050 Unpaid obligations, end of year	200	207	214
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9		
3071 Change in uncollected pymts, Fed sources, expired	9		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	185	200	207
3200 Obligated balance, end of year	200	207	214

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2,595	2,602	2,632
Outlays, gross:			
4010 Outlays from new discretionary authority	2,410	2,412	2,440
4011 Outlays from discretionary balances	223	179	276
4020 Outlays, gross (total)	2,633	2,591	2,716
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-54	-74	-77
4033 Non-Federal sources	-26	-15	-15
4040 Offsets against gross budget authority and outlays (total)	-80	-89	-92
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	42	23	23
4060 Additional offsets against budget authority only (total)	42	23	23
4070 Budget authority, net (discretionary)	2,557	2,536	2,563
4080 Outlays, net (discretionary)	2,553	2,502	2,624
4180 Budget authority, net (total)	2,557	2,536	2,563
4190 Outlays, net (total)	2,553	2,502	2,624

This appropriation primarily funds staffing for the processing of tax returns and related documents, and assistance for taxpayers in filing returns and paying taxes in a timely manner. It also supports a number of other activities, including forms, publications, and taxpayer advocacy services.

Object Classification (in millions of dollars)

Identification code 020-0912-0-1-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,572	1,552	1,613
11.3 Other than full-time permanent	52	52	54
11.5 Other personnel compensation	130	55	71
11.9 Total personnel compensation	1,754	1,659	1,738
12.1 Civilian personnel benefits	621	644	683
13.0 Benefits for former personnel	29	34	34
21.0 Travel and transportation of persons	12	14	14
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	1	2
24.0 Printing and reproduction	9	10	10
25.1 Advisory and assistance services	35	41	42
25.2 Other services from non-Federal sources	12	23	23
25.3 Other goods and services from Federal sources	59	58	60
26.0 Supplies and materials	4	6	7
41.0 Grants, subsidies, and contributions	40	48	48
42.0 Insurance claims and indemnities		1	1
99.0 Direct obligations	2,578	2,540	2,663
99.0 Reimbursable obligations	38	66	69

99.9	Total new obligations, unexpired accounts	2,616	2,606	2,732
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Employment Summary

Identification code 020-0912-0-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	28,765	26,760	25,678
1001 Direct civilian full-time equivalent employment	96	60	1,510
2001 Reimbursable civilian full-time equivalent employment	507	862	905

ENFORCEMENT

For necessary expenses for tax enforcement activities of the Internal Revenue Service to determine and collect owed taxes, to provide legal and litigation support, to conduct criminal investigations, to enforce criminal statutes related to violations of internal revenue laws and other financial crimes, to purchase and hire passenger motor vehicles (31 U.S.C. 1343(b)), and to provide other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$5,010,000,000] \$5,071,260,000**, of which not to exceed \$250,000,000 shall remain available until September 30, **[2021] 2022**; of which not less than \$60,257,000 shall be for the Interagency Crime and Drug Enforcement program; and of which not to exceed \$15,000,000 shall be for investigative technology for the Criminal Investigation Division: *Provided*, That the amount made available for investigative technology for the Criminal Investigation Division shall be in addition to amounts made available for the Criminal Investigation Division under the "Operations Support" heading: *Provided further*, That of the funds provided under this paragraph, **\$5,071,260,000** is provided to meet the terms of section 251(b)(2) of the *Balanced Budget and Emergency Deficit Control Act of 1985, as amended*.

In addition, not less than \$279,983,000 for tax activities under this heading, including tax compliance to address the Federal tax gap: *Provided*, That such amount is additional new budget authority for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the *Balanced Budget and Emergency Deficit Control Act of 1985, as amended*. (*Department of the Treasury Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0913-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Investigations	609	694	683
0002 Exam and Collections	3,845	4,130	4,262
0003 Regulatory	165	163	170
0004 Program Integrity Cap Adjustment			280
0100 Subtotal, Direct program	4,619	4,987	5,395
0799 Total direct obligations	4,619	4,987	5,395
0801 Enforcement (Reimbursable)	28	40	42
0900 Total new obligations, unexpired accounts	4,647	5,027	5,437

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	52	8
1011 Unobligated balance transfer from other acct [020-0912]	1		
1012 Unobligated balance transfers between expired and unexpired accounts	4		
1021 Recoveries of prior year unpaid obligations	2		
1033 Recoveries of prior year paid obligations	3	3	3
1050 Unobligated balance (total)	24	55	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,872	5,010	5,351
1120 Appropriations transferred to other acct [020-0919]	-194	-77	
1120 Appropriations transferred to other acct [020-0912]		-24	
1160 Appropriation, discretionary (total)	4,678	4,909	5,351
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	35	37
1701 Change in uncollected payments, Federal sources	19	36	38
1750 Spending auth from offsetting collections, disc (total)	38	71	75
1900 Budget authority (total)	4,716	4,980	5,426
1930 Total budgetary resources available	4,740	5,035	5,437
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-41		
1941 Unexpired unobligated balance, end of year	52	8	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	383	417	459
3010 New obligations, unexpired accounts	4,647	5,027	5,437
3011 Obligations ("upward adjustments"), expired accounts	31		
3020 Outlays (gross)	-4,625	-4,970	-5,379
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-17	-15	-15
3050 Unpaid obligations, end of year	417	459	502
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-36	-21	-57
3070 Change in uncollected pymts, Fed sources, unexpired	-19	-36	-38
3071 Change in uncollected pymts, Fed sources, expired	34		
3090 Uncollected pymts, Fed sources, end of year	-21	-57	-95
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	347	396	402
3200 Obligated balance, end of year	396	402	407

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	4,716	4,980	5,426
Outlays, gross:			
4010 Outlays from new discretionary authority	4,267	4,595	5,007
4011 Outlays from discretionary balances	357	374	371
4020 Outlays, gross (total)	4,624	4,969	5,378
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-65	-65	-66
4033 Non-Federal sources	-23	-20	-20
4040 Offsets against gross budget authority and outlays (total)	-88	-85	-86
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-19	-36	-38
4052 Offsetting collections credited to expired accounts	66	47	46
4053 Recoveries of prior year paid obligations, unexpired accounts	3	3	3
4060 Additional offsets against budget authority only (total)	50	14	11
4070 Budget authority, net (discretionary)	4,678	4,909	5,351
4080 Outlays, net (discretionary)	4,536	4,884	5,292
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1	1	1
4180 Budget authority, net (total)	4,678	4,909	5,351
4190 Outlays, net (total)	4,537	4,885	5,293

This appropriation primarily funds staffing for: the examination of tax returns, both domestic and international; the administrative and judicial settlement of taxpayer appeals of examination findings; technical rulings; monitoring employee pension plans; determining qualifications of organizations seeking tax-exempt status; examining the tax returns of exempt organizations; enforcing statutes relating to detection and investigation of criminal violations of the internal revenue laws and other financial crimes; identifying underreporting of tax obligations; securing unfiled tax returns; and collecting unpaid accounts. In addition to the base resources, the Budget proposes \$280 million in a cap adjustment for additional tax enforcement and compliance activities.

Object Classification (in millions of dollars)

Identification code 020-0913-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,978	3,130	3,357
11.3 Other than full-time permanent	29	33	34
11.5 Other personnel compensation	122	111	140
11.8 Special personal services payments	29	22	23
11.9 Total personnel compensation	3,158	3,296	3,554
12.1 Civilian personnel benefits	1,136	1,255	1,377
13.0 Benefits for former personnel		1	1
21.0 Travel and transportation of persons	66	100	127
22.0 Transportation of things	9	9	13
23.3 Communications, utilities, and miscellaneous charges	3	2	2
24.0 Printing and reproduction	2	3	3
25.1 Advisory and assistance services	135	157	152
25.2 Other services from non-Federal sources	32	51	54
25.3 Other goods and services from Federal sources	35	38	47
25.7 Operation and maintenance of equipment	1	2	5
26.0 Supplies and materials	20	36	36

ENFORCEMENT—Continued
Object Classification—Continued

Identification code 020-0913-0-1-999	2019 actual	2020 est.	2021 est.
31.0 Equipment	13	24	14
42.0 Insurance claims and indemnities	1	1	1
91.0 Unvouchered	8	12	9
99.0 Direct obligations	4,619	4,987	5,395
99.0 Reimbursable obligations	28	40	42
99.9 Total new obligations, unexpired accounts	4,647	5,027	5,437

Employment Summary

Identification code 020-0913-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33,484	35,388	37,895
2001 Reimbursable civilian full-time equivalent employment	45	62	65

OPERATIONS SUPPORT

For necessary expenses of the Internal Revenue Service to support taxpayer services and enforcement programs, including rent payments; facilities services; printing; postage; physical security; headquarters and other IRS-wide administration activities; research and statistics of income; telecommunications; information technology development, enhancement, operations, maintenance, and security; the hire of passenger motor vehicles (31 U.S.C. 1343(b)); the operations of the Internal Revenue Service Oversight Board; and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner; **[\$3,808,500,000] \$4,104,689,000**, of which not to exceed \$250,000,000 shall remain available until September 30, **[2021] 2022**; of which not to exceed \$10,000,000 shall remain available until expended for acquisition of equipment and construction, repair and renovation of facilities; of which not to exceed \$1,000,000 shall remain available until September 30, **[2022] 2023**, for research; **[of which not less than \$10,000,000, to remain available until expended, shall be available for establishment of an application through which entities registering and renewing registrations in the System for Award Management may request an authenticated electronic certification stating that the entity does or does not have a seriously delinquent tax debt;]** and of which not to exceed \$20,000 shall be for official reception and representation expenses: *Provided*, That not later than 30 days after the end of each quarter, the Internal Revenue Service shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate and the Comptroller General of the United States detailing **[the cost and schedule performance for its major information technology investments, including the purpose and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter]** *major information technology investments in the Internal Revenue Service Integrated Modernization Business Plan portfolio, including detailed, plain language summaries on the status of plans, costs, and results; prior results and actual expenditures of the prior quarter; upcoming deliverables and costs for the fiscal year; risks and mitigation strategies associated with ongoing work; reasons for any cost or schedule variances; and total expenditures by fiscal year. Provided further*, That the Internal Revenue Service shall include, in its budget justification for fiscal year **[2021] 2022**, a summary of cost and schedule performance information for its major information technology systems: *Provided further*, That of the funds provided under this paragraph, \$4,104,689,000 is provided to meet the terms of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

In addition, not less than \$120,017,000 shall be for tax activities under this heading, including tax compliance to address the Federal tax gap: Provided, That such amount is additional new budget authority for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-0919-0-1-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Infrastructure	897	879	899
0003 Shared Services and Support	911	983	1,064
0004 Information Services	2,476	2,456	2,611

0005 Program Integrity Cap Adjustment			120
0100 Subtotal, direct programs	4,284	4,318	4,694
0799 Total direct obligations	4,284	4,318	4,694
0801 Operations Support (Reimbursable)	58	65	68
0900 Total new obligations, unexpired accounts	4,342	4,383	4,762

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	193	58	58
1011 Unobligated balance transfer from other acct [020-5432]	218	410	63
1012 Unobligated balance transfers between expired and unexpired accounts	6		
1021 Recoveries of prior year unpaid obligations	9	9	9
1050 Unobligated balance (total)	426	477	130
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,724	3,808	4,225
1121 Appropriations transferred from other acct [020-5432]		14	387
1121 Appropriations transferred from other acct [020-0913]	194	77	
1160 Appropriation, discretionary (total)	3,918	3,899	4,612
Spending authority from offsetting collections, discretionary:			
1700 Collected	53	65	68
1701 Change in uncollected payments, Federal sources	5		
1750 Spending auth from offsetting collections, disc (total)	58	65	68
1900 Budget authority (total)	3,976	3,964	4,680
1930 Total budgetary resources available	4,402	4,441	4,810
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	58	58	48

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,231	1,161	1,208
3010 New obligations, unexpired accounts	4,342	4,383	4,762
3011 Obligations ("upward adjustments"), expired accounts	20		
3020 Outlays (gross)	-4,367	-4,279	-4,489
3040 Recoveries of prior year unpaid obligations, unexpired	-9	-9	-9
3041 Recoveries of prior year unpaid obligations, expired	-56	-48	-48
3050 Unpaid obligations, end of year	1,161	1,208	1,424
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-5	-5
3070 Change in uncollected pymts, Fed sources, unexpired	-5		
3071 Change in uncollected pymts, Fed sources, expired	9		
3090 Uncollected pymts, Fed sources, end of year	-5	-5	-5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,222	1,156	1,203
3200 Obligated balance, end of year	1,156	1,203	1,419

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,976	3,964	4,680
Outlays, gross:			
4010 Outlays from new discretionary authority	3,136	3,143	3,548
4011 Outlays from discretionary balances	1,231	1,136	941
4020 Outlays, gross (total)	4,367	4,279	4,489
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-63	-67	-70
4033 Non-Federal sources	-13	-9	-9
4040 Offsets against gross budget authority and outlays (total)	-76	-76	-79
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-5		
4052 Offsetting collections credited to expired accounts	23	11	11
4060 Additional offsets against budget authority only (total)	18	11	11
4070 Budget authority, net (discretionary)	3,918	3,899	4,612
4080 Outlays, net (discretionary)	4,291	4,203	4,410
4180 Budget authority, net (total)	3,918	3,899	4,612
4190 Outlays, net (total)	4,291	4,203	4,410

This appropriation provides resources for overall planning, direction, operations, and critical infrastructure activities for the IRS. These activities include IT and cybersecurity that keep tax systems running and protect taxpayer data, the financial management activities that ensure effective stewardship of the nation's revenues, and the physical infrastructure that help IRS employees serve customers in office, campus, and Taxpayer As-

sistance Center sites. Telecommunications, human resource, and communications infrastructure are also critical components of this appropriation and are vital to maintaining adequate levels of customer service and the post-filing processes necessary for the tax system to function. In addition to the base resources, the Budget proposes \$120 million in a cap adjustment to support additional tax enforcement and compliance activities.

Object Classification (in millions of dollars)

Identification code 020–0919–0–1–803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,143	1,327	1,396
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	20	20	30
11.9 Total personnel compensation	1,169	1,353	1,432
12.1 Civilian personnel benefits	381	443	476
21.0 Travel and transportation of persons	16	17	19
22.0 Transportation of things	13	14	15
23.1 Rental payments to GSA	582	580	592
23.2 Rental payments to others	11	11	12
23.3 Communications, utilities, and miscellaneous charges	319	329	365
24.0 Printing and reproduction	18	19	20
25.1 Advisory and assistance services	978	866	981
25.2 Other services from non-Federal sources	31	30	41
25.3 Other goods and services from Federal sources	73	71	77
25.4 Operation and maintenance of facilities	189	187	199
25.6 Medical care	14	15	16
25.7 Operation and maintenance of equipment	64	62	67
26.0 Supplies and materials	9	11	12
31.0 Equipment	376	255	307
32.0 Land and structures	41	55	63
99.0 Direct obligations	4,284	4,318	4,694
99.0 Reimbursable obligations	58	65	68
99.9 Total new obligations, unexpired accounts	4,342	4,383	4,762

Employment Summary

Identification code 020–0919–0–1–803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	10,749	11,970	12,156
1001 Direct civilian full-time equivalent employment		15	10
2001 Reimbursable civilian full-time equivalent employment	90	54	57

BUSINESS SYSTEMS MODERNIZATION

For necessary expenses of the Internal Revenue Service's business systems modernization program, **[\$180,000,000]** \$300,000,000, to remain available until September 30, **[2022]** 2023, for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including related Internal Revenue Service labor costs, and contractual costs associated with operations authorized by 5 U.S.C. 3109: *Provided*, That not later than 30 days after the end of each quarter, the Internal Revenue Service shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate and the Comptroller General of the United States detailing **[**the cost and schedule performance for major information technology investments, including the purposes and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and the strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter**]** *major information technology investments in the Internal Revenue Service Integrated Modernization Business Plan portfolio, including detailed, plain language summaries on the status of plans, costs, and results; prior results and actual expenditures of the prior quarter; upcoming deliverables and costs for the fiscal year; risks and mitigation strategies associated with ongoing work; reasons for any cost or schedule variances; and total expenditures by fiscal year.* (Department of the Treasury Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020–0921–0–1–803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Business Systems Modernization	345	279	276

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	134	30	36
1010 Unobligated balance transfer to other accts [020–5432]	–1		
1011 Unobligated balance transfer from other acct [020–5432]		102	
1021 Recoveries of prior year unpaid obligations	2	3	3
1050 Unobligated balance (total)	135	135	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	150	180	300
1121 Appropriations transferred from other acct [020–5432]	90		
1160 Appropriation, discretionary (total)	240	180	300
1930 Total budgetary resources available	375	315	339
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	36	63

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	96	171	217
3010 New obligations, unexpired accounts	345	279	276
3020 Outlays (gross)	–265	–228	–258
3040 Recoveries of prior year unpaid obligations, unexpired	–2	–3	–3
3041 Recoveries of prior year unpaid obligations, expired	–3	–2	–2
3050 Unpaid obligations, end of year	171	217	230
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	96	171	217
3200 Obligated balance, end of year	171	217	230

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	240	180	300
Outlays, gross:			
4010 Outlays from new discretionary authority	103	99	165
4011 Outlays from discretionary balances	162	129	93
4020 Outlays, gross (total)	265	228	258
4180 Budget authority, net (total)	240	180	300
4190 Outlays, net (total)	265	228	258

This appropriation provides resources for the planning and capital asset acquisition of information technology to modernize key tax administration systems. Since 2012, the IRS has processed individual taxpayer returns on a daily processing cycle that has enhanced IRS tax administration and improved customer service by allowing faster refunds for more taxpayers, more timely account updates, and faster issuance of taxpayer notices. This account provides funding to support: the Customer Account Data Engine (CADE2); the taxpayer's online experience and secure digital communications and capabilities; and fraud detection, resolution, and prevention through the Return Review Program. The Budget includes funding for a multi-year plan to transform the taxpayer experience and modernize the core tax processing systems while enhancing information technology and taxpayer protections.

Object Classification (in millions of dollars)

Identification code 020–0921–0–1–803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	60	58	66
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	61	59	67
12.1 Civilian personnel benefits	18	17	21
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	251	186	154
25.7 Operation and maintenance of equipment	1	1	2
31.0 Equipment	13	15	31
99.0 Direct obligations	345	279	276
99.9 Total new obligations, unexpired accounts	345	279	276

BUSINESS SYSTEMS MODERNIZATION—Continued
Employment Summary

Identification code 020-0921-0-1-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	460	426	517

BUILD AMERICA BOND PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 020-0935-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Build America Bond Payments, Recovery Act (Direct)	3,356	3,356	3,566
0900 Total new obligations, unexpired accounts (object class 41.0)	3,356	3,356	3,566
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	3,578	3,566	3,566
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-222	-210
1260 Appropriations, mandatory (total)	3,356	3,356	3,566
1930 Total budgetary resources available	3,356	3,356	3,566
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3,356	3,356	3,566
3020 Outlays (gross)	-3,356	-3,356	-3,566
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3,356	3,356	3,566
Outlays, gross:			
4100 Outlays from new mandatory authority	3,356	3,356	3,566
4180 Budget authority, net (total)	3,356	3,356	3,566
4190 Outlays, net (total)	3,356	3,356	3,566

The American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1531, allows state and local governments to issue Build America Bonds through December 31, 2010. These tax credit bonds, which include Recovery Zone Bonds, differ from tax-exempt governmental obligation bonds in two principal ways: 1) interest paid on tax credit bonds is taxable; and 2) a portion of the interest paid on tax credit bonds takes the form of a Federal tax credit. The bond issuer may elect to receive a direct payment in the amount of the tax credit for obligations issued before January 1, 2011. This account reflects the continuing interest payments over time.

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020-0906-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where Earned Income Credit Exceeds Liability for Tax (Direct)	59,209	60,258	63,651
0900 Total new obligations, unexpired accounts (object class 41.0)	59,209	60,258	63,651
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	59,209	60,258	63,651
1930 Total budgetary resources available	59,209	60,258	63,651
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	59,209	60,258	63,651
3020 Outlays (gross)	-59,209	-60,258	-63,651
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	59,209	60,258	63,651

Outlays, gross:			
4100 Outlays from new mandatory authority	59,209	60,258	63,651
4180 Budget authority, net (total)	59,209	60,258	63,651
4190 Outlays, net (total)	59,209	60,258	63,651

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	59,209	60,258	63,651
Outlays	59,209	60,258	63,651
Legislative proposal, subject to PAYGO:			
Budget Authority	-345
Outlays	-345
Total:			
Budget Authority	59,209	60,258	63,306
Outlays	59,209	60,258	63,306

The Earned Income Tax Credit (EITC) was enacted by the Tax Reduction Act of 1975 (Public Law 94-12) and made permanent by the Revenue Adjustment Act of 1978 (Public Law 95-600). The credit was subsequently modified by Public Laws 99-514, 101-508, 103-66, 107-16, 111-5, 111-312, 112-240, and 114-113. The amount of EITC a taxpayer may receive depends on the number of qualifying children the taxpayer has. The amount of EITC a taxpayer may receive initially increases as the taxpayer earns more income, then remains constant over a range of earned income, and then decreases as earned income increases further. The credit phases out based on the greater of (1) earned income and (2) adjusted gross income. As provided by law, there are instances where the EITC exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer.

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0906-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where Earned Income Credit Exceeds Liability for Tax (Direct)	-345
0900 Total new obligations, unexpired accounts (object class 41.0)	-345
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	-345
1930 Total budgetary resources available	-345
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	-345
3020 Outlays (gross)	345
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	-345
Outlays, gross:			
4100 Outlays from new mandatory authority	-345
4180 Budget authority, net (total)	-345
4190 Outlays, net (total)	-345

The Budget includes several proposals that impact the Earned Income Tax Credit. Proposals include: require a Social Security number valid for work in order to qualify for the credit; provide the Internal Revenue Service (IRS) with greater flexibility to address correctable errors; improve clarity in worker classification and information reporting requirements; provide explicit authority for the IRS to oversee paid tax return preparers; and reform medical liability.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020–0922–0–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where Child Tax Credit Exceeds Liability for Tax (Direct)	28,898	29,615	29,477
0900 Total new obligations, unexpired accounts (object class 41.0)	28,898	29,615	29,477
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	28,898	29,615	29,477
1930 Total budgetary resources available	28,898	29,615	29,477
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	28,898	29,615	29,477
3020 Outlays (gross)	-28,898	-29,615	-29,477

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	28,898	29,615	29,477
Outlays, gross:			
4100 Outlays from new mandatory authority	28,898	29,615	29,477
4180 Budget authority, net (total)	28,898	29,615	29,477
4190 Outlays, net (total)	28,898	29,615	29,477

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	28,898	29,615	29,477
Outlays	28,898	29,615	29,477
Legislative proposal, subject to PAYGO:			
Budget Authority			-135
Outlays			-135
Total:			
Budget Authority	28,898	29,615	29,342
Outlays	28,898	29,615	29,342

The Child Tax Credit (CTC) was enacted by the Taxpayer Relief Act of 1997 (P.L. 105–34). The CTC was subsequently modified by Public Laws 107–16, 111–5, 111–312, 112–240, and 114–113. The Tax Cuts and Jobs Act (TCJA, P.L. 115–97) increased the credit to \$2,000 per qualifying dependent child under age 17 for tax years 2018–2025. The CTC phases out for higher income taxpayers. Taxpayers with insufficient tax liability to claim the entire CTC may receive up to \$1,400 in a refundable credit, known as the Additional Child Tax Credit (ACTC). TCJA also provided that, in order to receive the CTC and/or ACTC, a taxpayer must include a Social Security Number for each qualifying child for whom the credit is claimed on the tax return.

PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020–0922–4–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where Child Tax Credit Exceeds Liability for Tax (Direct)			-135
0900 Total new obligations, unexpired accounts (object class 41.0)			-135
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-135
1930 Total budgetary resources available			-135
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-135

3020	Outlays (gross)	135
Budget authority and outlays, net:		
Mandatory:		
4090 Budget authority, gross		-135
Outlays, gross:		
4100 Outlays from new mandatory authority		-135
4180 Budget authority, net (total)		-135
4190 Outlays, net (total)		-135

The Budget includes several proposals that impact the Child Tax Credit. Proposals include: require a Social Security number valid for work in order to qualify for the credit; provide the Internal Revenue Service (IRS) with greater flexibility to address correctable errors; improve clarity in worker classification and information reporting requirements; provide explicit authority for the IRS to oversee paid tax return preparers; and reform medical liability.

PAYMENT WHERE HEALTH COVERAGE TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020–0923–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where Health Coverage Tax Credit Exceeds Liability for T (Direct)	24	29	7
0900 Total new obligations, unexpired accounts (object class 41.0)	24	29	7

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	24	29	7
1930 Total budgetary resources available	24	29	7

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	24	29	7
3020 Outlays (gross)	-24	-29	-7

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	24	29	7
Outlays, gross:			
4100 Outlays from new mandatory authority	24	29	7
4180 Budget authority, net (total)	24	29	7
4190 Outlays, net (total)	24	29	7

The Health Coverage Tax Credit (HCTC) is a refundable tax credit that pays 72.5% of qualified health insurance premiums for eligible individuals and their families (as provided in IRC 35(a)). Those eligible include certain recipients of Trade Adjustment Assistance (TAA) and beneficiaries of the Pension Benefit Guaranty Corporation who are aged 55 through 64. Individuals cannot claim both HCTC and a premium tax credit for the same coverage. The credit can be paid in advance. The HCTC was created in the Trade Act of 2002 (P.L. 107–210), subsequently extended, temporarily eliminated in 2014 (P.L. 112–40, section 241), then later reinstated through December 31, 2019 (P.L. 114–27, section 407). The Further Consolidated Appropriations Act, 2020 (P.L. 116–94, section 146) extended the credit through December 31, 2020.

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020–0951–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where Small Business Health Insurance Tax Credit Exceeds (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0)	1	1	1

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS
LIABILITY FOR TAX—Continued
Program and Financing—Continued

Identification code 020–0951–0–1–551	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	1	1	1
1930	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000	1	2	2
3010	1	1	1
3020		-1	-1
3050	2	2	2
Memorandum (non-add) entries:			
3100	1	2	2
3200	2	2	2
Budget authority and outlays, net:			
Mandatory:			
4090	1	1	1
Outlays, gross:			
4100		1	1
4180	1	1	1
4190	1	1	1

The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148), section 1421, allows certain small employers (including small tax-exempt employers) to claim a credit when they pay at least half of the health care premiums for single health insurance coverage for their employees. Small employers can claim the credit for 2010 through 2013 and after that for the first two consecutive years of having coverage purchased through the small business health options program. Generally, employers that have fewer than 25 full-time equivalent employees and pay wages averaging less than \$50,000 per employee per year may qualify for the credit.

This account includes state innovation waiver pass-through payments in lieu of the Small Business Health Insurance Tax Credit to qualifying states under section 1332(a)(3) of the PPACA.

4190	Outlays, net (total)	8,232	6,685	3,288
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This account shows the outlays for the provision that allows certain businesses to accelerate the recognition of a portion of certain other credits in lieu of taking bonus depreciation. The Housing and Economic Recovery Act of 2008 (P.L. 110–289), section 3081, allowed certain businesses to accelerate the recognition of a portion of their unused pre–2006 alternative minimum tax (AMT) or research and development (R&D) credits in lieu of taking bonus depreciation. The maximum increase amount is capped at the lesser of \$30 million or 6 percent of eligible AMT and R&D credits. The accelerated credit amount is refundable. The American Recovery and Reinvestment Act of 2009 (P.L. 111–5), section 1201(b), extended this temporary benefit through 2009. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 401(c), extended this temporary benefit through the end of 2012, but only with respect to AMT credits. The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 331(c), extended this temporary benefit through 2013 only with respect to AMT credits. The Tax Increase Prevention Act, Title I—Certain Expiring Provisions (P.L. 113–295), section 125(c), extended this temporary benefit through 2014 only with respect to AMT credits. The Protecting Americans from Tax Hikes (PATH) Act of 2015 (P.L. 114–113), extended this provision through 2015. The PATH Act also extended and modified this provision for 2016 through 2019.

The Tax Cuts and Jobs Act (P.L. 115–97) (TCJA) repealed the corporate alternative minimum tax. To conform to this repeal, the election to accelerate AMT credits in lieu of taking bonus depreciation was repealed, effective for property placed in service after September 27, 2017. P.L. 115–97 allows prior year AMT credits to offset regular tax liability for any taxable year. In addition, AMT credits are refundable for any taxable year beginning after 2017 and before 2022 in an amount equal to 50 percent (100 percent in the case of taxable years beginning in 2021) of the excess of the minimum tax credit for the taxable year over the amount of the credit allowable for the year against regular tax liability. The refundable corporate minimum tax credit claimed under sections 53 and 168(k)(4) of title 26, U.S. Code as in effect for taxable years beginning before Jan. 1, 2018, is not direct spending under the Balanced Budget and Deficit Control Act, as amended, and thus is not subject to sequestration.

PAYMENT WHERE CERTAIN TAX CREDITS EXCEED LIABILITY FOR CORPORATE TAX

Program and Financing (in millions of dollars)

Identification code 020–0931–0–1–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	1,062	226	
0002	7,170	6,459	3,288
0900	8,232	6,685	3,288
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	8,302	6,685	3,288
1230			
	-70		
1260	8,232	6,685	3,288
1930	8,232	6,685	3,288
Change in obligated balance:			
Unpaid obligations:			
3010	8,232	6,685	3,288
3020	-8,232	-6,685	-3,288
Budget authority and outlays, net:			
Mandatory:			
4090	8,232	6,685	3,288
Outlays, gross:			
4100	8,232	6,685	3,288
4180	8,232	6,685	3,288

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 020–0932–0–1–502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	2,881	3,855	3,853
0900	2,881	3,855	3,853
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	2,881	3,855	3,853
1930	2,881	3,855	3,853
Change in obligated balance:			
Unpaid obligations:			
3010	2,881	3,855	3,853
3020	-2,881	-3,855	-3,853
Budget authority and outlays, net:			
Mandatory:			
4090	2,881	3,855	3,853
Outlays, gross:			
4100	2,881	3,855	3,853
4180	2,881	3,855	3,853
4190	2,881	3,855	3,853

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,881	3,855	3,853
Outlays	2,881	3,855	3,853
Legislative proposal, subject to PAYGO:			
Budget Authority			-135
Outlays			-135
Total:			
Budget Authority	2,881	3,855	3,718
Outlays	2,881	3,855	3,718

The American Opportunity Tax Credit (AOTC) was enacted by the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), was extended temporarily by Public Laws 111-312 and 112-240, and was made permanent by Public Law 114-113. A taxpayer may claim an AOTC of 100 percent of the first \$2,000 of qualified tuition, fees, and course materials paid by the taxpayer for each eligible student or dependent and 25 percent of the next \$2,000 of these qualifying expenses. Up to 40 percent of the otherwise eligible credit is refundable. The AOTC may be claimed only for the first four years of post-secondary education per student. The AOTC phases out for higher income taxpayers as the taxpayers income increases. As provided by law, there are instances where a taxpayer is entitled to a payment because the AOTC to which the taxpayer is entitled exceeds the amount of tax liability owed through the individual income tax system.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0932-4-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment Where American Opportunity Credit Exceeds Liability for (Direct)			-135
0900 Total new obligations, unexpired accounts (object class 41.0)			-135
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-135
1930 Total budgetary resources available			-135
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-135
3020 Outlays (gross)			135
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-135
Outlays, gross:			
4100 Outlays from new mandatory authority			-135
4180 Budget authority, net (total)			-135
4190 Outlays, net (total)			-135

The Budget includes a proposal to provide the Internal Revenue Service (IRS) with greater flexibility to address correctable errors on tax returns.

PAYMENT TO ISSUER OF QUALIFIED ENERGY CONSERVATION BONDS

Program and Financing (in millions of dollars)

Identification code 020-0948-0-1-272	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Issuer of Qualified Energy Conservation Bonds (Direct)	40	40	43
0900 Total new obligations, unexpired accounts (object class 41.0)	40	40	43

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	43	43	43
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-3	-3	
1260 Appropriations, mandatory (total)	40	40	43
1930 Total budgetary resources available	40	40	43

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	40	40	43
3020 Outlays (gross)	-40	-40	-43

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	40	40	43
Outlays, gross:			
4100 Outlays from new mandatory authority	40	40	43
4180 Budget authority, net (total)	40	40	43
4190 Outlays, net (total)	40	40	43

The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), section 301, created Qualified Energy Conservation Bonds; and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1112, increased the limitation on issuance of qualified energy conservation bonds from \$800 million to \$3.2 billion.

The Hiring Incentives to Restore Employment Act (P.L. 111-147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by allowing issuers of Qualified Energy Conservation Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF NEW CLEAN RENEWABLE ENERGY BONDS

Program and Financing (in millions of dollars)

Identification code 020-0947-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Issuer of New Clean Renewable Energy Bonds (Direct)	48	48	51
0900 Total new obligations, unexpired accounts (object class 41.0)	48	48	51
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	51	51	51
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	-3	-3	
1260 Appropriations, mandatory (total)	48	48	51
1930 Total budgetary resources available	48	48	51
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	48	48	51
3020 Outlays (gross)	-48	-48	-51
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	48	48	51
Outlays, gross:			
4100 Outlays from new mandatory authority	48	48	51
4180 Budget authority, net (total)	48	48	51
4190 Outlays, net (total)	48	48	51

The Emergency Economic Stabilization Act of 2008 (P.L. 110-343), section 107, created New Clean Renewable Energy Bonds, and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), section 1111, increased the limitation on issuance of New Clean Renewable Energy Bonds to a total limitation of \$2.4 billion.

PAYMENT TO ISSUER OF NEW CLEAN RENEWABLE ENERGY BONDS—Continued

The Hiring Incentives to Restore Employment Act (P.L. 111–147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of New Clean Renewable Energy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS

Program and Financing (in millions of dollars)

Identification code 020–0946–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Issuer of Qualified School Construction Bonds (Direct)	650	650	691
0900 Total new obligations, unexpired accounts (object class 41.0)	650	650	691
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	693	691	691
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–43	–41
1260 Appropriations, mandatory (total)	650	650	691
1930 Total budgetary resources available	650	650	691
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	650	650	691
3020 Outlays (gross)	–650	–650	–691
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	650	650	691
Outlays, gross:			
4100 Outlays from new mandatory authority	650	650	691
4180 Budget authority, net (total)	650	650	691
4190 Outlays, net (total)	650	650	691

The American Recovery and Reinvestment Act of 2009 (P.L. 111–5), section 1521, created Qualified School Construction Bonds with a calendar year limitation of \$11 billion for 2009 and 2010, and zero after 2010.

The Hiring Incentives to Restore Employment Act (P.L. 111–147), section 301, amended section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified School Construction Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED ZONE ACADEMY BONDS

Program and Financing (in millions of dollars)

Identification code 020–0945–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Issuer of Qualified Zone Academy Bonds (Direct)	43	43	46
0900 Total new obligations, unexpired accounts (object class 41.0)	43	43	46
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	46	46	46
1230 Appropriations and/or unobligated balance of appropriations permanently reduced	–3	–3
1260 Appropriations, mandatory (total)	43	43	46
1930 Total budgetary resources available	43	43	46

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	43	43	46
3020 Outlays (gross)	–43	–43	–46

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	43	43	46
Outlays, gross:			
4100 Outlays from new mandatory authority	43	43	46
4180 Budget authority, net (total)	43	43	46
4190 Outlays, net (total)	43	43	46

The American Recovery and Reinvestment Act of 2009 (P.L. 111–5), section 1522, extended and expanded the calendar year limitation for Qualified Zone Academy Bonds to \$1.4 billion for 2009 and 2010. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), section 758, extended the Qualified Zone Academy Bonds for 2011 and reduced the calendar year limitation to \$400 million. The American Taxpayer Relief Act of 2012 (P.L. 112–240), section 310, extended the calendar year limitation of \$400 million through tax year 2013 (a two-year extension). The Tax Increase Prevention Act, Title I—Certain Expiring Provisions (P.L. 113–295), section 120, extended the calendar year limitation of \$400 million through tax year 2014 (a one-year extension). The Protecting Americans from Tax Hikes Act of 2015 (P.L. 114–113), extended the calendar year limitation of \$400 million through tax year 2016 (a two-year extension).

The Hiring Incentives to Restore Employment Act (P.L. 111–147), section 301, amends section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified Zone Academy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds receives a direct interest payment subsidy from the Federal Government. Bondholders receive a taxable interest payment from the issuer in lieu of a tax credit.

The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (P.L. 111–312) amended section 6431(f)(3)(A)(iii) to provide that direct pay treatment for Qualified Zone Academy Bonds is not available for Qualified Zone Academy Bond allocations from the 2011 national limitation or any carry forward of the 2011 allocation.

PAYMENT TO UNITED STATES VIRGIN ISLANDS AND PUERTO RICO FOR DISASTER TAX RELIEF

Program and Financing (in millions of dollars)

Identification code 020–0159–0–1–609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to Puerto Rico	200
0900 Total new obligations, unexpired accounts (object class 41.0)	200
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	200
1930 Total budgetary resources available	200
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	200
3020 Outlays (gross)	–200
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	200
Outlays, gross:			
4100 Outlays from new mandatory authority	200
4180 Budget authority, net (total)	200
4190 Outlays, net (total)	200

The Disaster Tax Relief and Airport and Airway Extension Act of 2017 (P.L. 115–63) amended the Internal Revenue Code to allow various tax

credits, deductions, and modifications to existing rules for individuals and businesses affected by Hurricanes Harvey, Irma, and Maria. Section 504(d) provided that the Department of the Treasury pay: (1) to the U.S. Virgin Islands amounts equal to the loss in revenues to the U.S. Virgin Islands by reason of the provisions of this title, and (2) to the Commonwealth of Puerto Rico amounts equal to the aggregate benefits that would have been provided to residents of Puerto Rico by reason of the provisions of this title if a mirror code tax system had been in effect in Puerto Rico. Activity to date reflects payments to the Commonwealth of Puerto Rico pursuant to the Employee Retention Tax Credit Implementation Plan approved by the Department of the Treasury.

REFUNDING INTERNAL REVENUE COLLECTIONS, INTEREST

Program and Financing (in millions of dollars)

Identification code 020–0904–0–1–908	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Refunding Internal Revenue Collections, Interest (Direct)	2,042	1,321	1,464
0900 Total new obligations, unexpired accounts (object class 43.0)	2,042	1,321	1,464
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,042	1,321	1,464
1930 Total budgetary resources available	2,042	1,321	1,464
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2,042	1,321	1,464
3020 Outlays (gross)	-2,042	-1,321	-1,464
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,042	1,321	1,464
Outlays, gross:			
4100 Outlays from new mandatory authority	2,042	1,321	1,464
4180 Budget authority, net (total)	2,042	1,321	1,464
4190 Outlays, net (total)	2,042	1,321	1,464

Under certain circumstances, as provided in 26 U.S.C. 6611, interest is paid on Internal Revenue collections that must be refunded. The Tax Equity and Fiscal Responsibility Act of 1982 (P.L. 97–248) provides for daily compounding of interest. Under the Tax Reform Act of 1986 (P.L. 99–514), interest paid on Internal Revenue collections will equal the Federal short-term rate plus three percentage points (two percentage points in the case of a corporation), with such rate to be adjusted quarterly.

REFUNDABLE PREMIUM TAX CREDIT

Program and Financing (in millions of dollars)

Identification code 020–0949–0–1–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Premium assistance tax credit	53,142	41,529	35,537
0003 Basic Health Program	5,097	4,487	3,725
0004 State Innovation Waivers	939	1,584	1,138
0900 Total new obligations, unexpired accounts (object class 41.0)	59,178	47,600	40,400
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	9,860		
1037 Unobligated balance of appropriations withdrawn	-9,860		
1050 Unobligated balance (total)	1	1	1
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	59,178	47,600	40,400
1900 Budget authority (total)	59,178	47,600	40,400
1930 Total budgetary resources available	59,179	47,601	40,401

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	218	952	
3010 New obligations, unexpired accounts	59,178	47,600	40,400
3020 Outlays (gross)	-58,443	-48,552	-40,400
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	952		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	218	952	
3200 Obligated balance, end of year	952		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	59,178	47,600	40,400
Outlays, gross:			
4100 Outlays from new mandatory authority	58,280	47,600	40,400
4101 Outlays from mandatory balances	163	952	
4110 Outlays, gross (total)	58,443	48,552	40,400
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-9,860		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	9,860		
4160 Budget authority, net (mandatory)	59,178	47,600	40,400
4170 Outlays, net (mandatory)	48,583	48,552	40,400
4180 Budget authority, net (total)	59,178	47,600	40,400
4190 Outlays, net (total)	48,583	48,552	40,400

The Patient Protection and Affordable Care Act (PPACA) of 2010 (P.L. 111–148) established the Refundable Premium Tax Credit. This credit is an advanceable, refundable tax credit designed to help eligible individuals and families with low or moderate income afford health insurance purchased through a Health Insurance Exchange, beginning in 2014. The credit can be paid in advance to the taxpayer's insurance company to lower the monthly premiums, or it can be claimed when a taxpayer files their income tax return for the year. If the credit is paid in advance, the taxpayer must reconcile the advance credit payments with the actual credit computed on the tax return, subject to certain caps.

This account includes state innovation waiver pass-through payments in lieu of the Premium Tax Credit to qualifying states under section 1332(a)(3) of the PPACA, as well as payments to states under the Basic Health Program established under section 1331 of PPACA.

IRS MISCELLANEOUS RETAINED FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020–5432–0–2–803	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Enrolled Agent Fee Increase, IRS Miscellaneous Retained Fees	8	9	8
1130 New Installment Agreements, IRS Miscellaneous Retained Fees	186	181	179
1130 Restructured Installment Agreements, IRS Miscellaneous Retained Fees	78	76	72
1130 General User Fees, IRS Miscellaneous Retained Fees	115	122	125
1130 Photocopying and Historical Conservation Easement Fees, IRS Miscellaneous Retained Fees	5	4	3
1199 Total current law receipts	392	392	387
1999 Total receipts	392	392	387
2000 Total: Balances and receipts	392	392	387
Appropriations:			
Current law:			
2101 IRS Miscellaneous Retained Fees	-392	-392	-387
5099 Balance, end of year			

IRS MISCELLANEOUS RETAINED FEES—Continued
Program and Financing (in millions of dollars)

Identification code 020-5432-0-2-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 IRS Miscellaneous Retained Fees (Direct)	5	4	4
0900 Total new obligations, unexpired accounts (object class 44.0)	5	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	513	587	445
1010 Unobligated balance transfer to other accts [020-0919]	-218	-410	-63
1010 Unobligated balance transfer to other accts [020-0912]	-6	-4	-100
1010 Unobligated balance transfer to other accts [020-0921]		-102	
1011 Unobligated balance transfer from other acct [020-0921]	1		
1050 Unobligated balance (total)	290	71	282
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [020-0919]		-14	-387
1120 Appropriations transferred to other accts [020-0921]	-90		
1160 Appropriation, discretionary (total)	-90	-14	-387
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	392	392	387
1900 Budget authority (total)	302	378	
1930 Total budgetary resources available	592	449	282
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	587	445	278
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	5	4	4
3020 Outlays (gross)	-5	-4	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	-90	-14	-387
Mandatory:			
4090 Budget authority, gross	392	392	387
Outlays, gross:			
4101 Outlays from mandatory balances	5	4	4
4180 Budget authority, net (total)	302	378	
4190 Outlays, net (total)	5	4	4

As provided by law (26 U.S.C. 7801), the Secretary of the Treasury may establish new fees or raise existing fees for services provided by the IRS to recover the value of the service provided, where such fees are authorized by another law, and may spend the new or increased fee receipts to supplement appropriations made available to the IRS appropriations accounts. Funds in this account are transferred to other IRS appropriations accounts for expenditure.

GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5080-0-2-808	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			2
Receipts:			
Current law:			
1130 Gifts to the United States for Reduction of the Public Debt	5	5	5
2000 Total: Balances and receipts	5	5	7
Appropriations:			
Current law:			
2101 Gifts to the United States for Reduction of the Public Debt	-5	-3	-3
5099 Balance, end of year		2	4

Program and Financing (in millions of dollars)

Identification code 020-5080-0-2-808	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	3	3
1236 Appropriations applied to repay debt	-5	-3	-3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

As provided by law (31 U.S.C. 3113), the Secretary of the Treasury is authorized to accept conditional gifts to the United States for the purpose of reducing the public debt.

PRIVATE COLLECTION AGENT PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5510-0-2-803	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	6	10
Receipts:			
Current law:			
1110 Private Collection Agent Program	97	162	162
2000 Total: Balances and receipts	99	168	172
Appropriations:			
Current law:			
2101 Private Collection Agent Program	-97	-162	-162
2103 Private Collection Agent Program	-2	-6	-10
2132 Private Collection Agent Program	6	10	
2199 Total current law appropriations	-93	-158	-172
2999 Total appropriations	-93	-158	-172
5099 Balance, end of year	6	10	

Program and Financing (in millions of dollars)

Identification code 020-5510-0-2-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Payments to Private Collection Agencies	39	64	64
0003 Special Compliance Personnel Program	24	37	53
0900 Total new obligations, unexpired accounts	63	101	117
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	47	104
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	97	162	162
1203 Appropriation (previously unavailable)(special or trust)	2	6	10
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-6	-10	
1260 Appropriations, mandatory (total)	93	158	172
1930 Total budgetary resources available	110	205	276
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	47	104	159
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	8	25
3010 New obligations, unexpired accounts	63	101	117
3020 Outlays (gross)	-58	-84	-118
3050 Unpaid obligations, end of year	8	25	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	8	25
3200 Obligated balance, end of year	8	25	24

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	93	158	172
Outlays, gross:			
4100 Outlays from new mandatory authority	55	55	81
4101 Outlays from mandatory balances	3	29	37
4110 Outlays, gross (total)	58	84	118
4180 Budget authority, net (total)	93	158	172

4190 Outlays, net (total)	58	84	118
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This account reflects the funds that the IRS is allowed to retain and expend for qualified tax collection contracts with private collection agents and the special compliance personnel program. The American Jobs Creation Act of 2004 (P.L. 108-357) allowed the IRS to use private collection contractors to supplement its own collection staff efforts to ensure that all taxpayers pay what they owe. The statute authorized the Treasury to retain and use an amount not in excess of 25 percent of the amount collected under any qualified tax collection contract for payments to private collection agents, and an amount not in excess of 25 percent of the amount collected for enforcement activities of the IRS (26 USC 6306). The IRS used this authority to contract with several private debt collection agencies starting in 2006. In March 2009, the IRS allowed its private debt collection contracts to expire, thereby administratively terminating the program in accordance with Omnibus Appropriations Act, 2009 Administrative Provisions - Internal Revenue Service, Section 106 (P.L. 111-8). This provision stated that none of the funds made available in this Act maybe used to enter into, renew, extend, administer, implement, enforce, or provide oversight of any qualified tax collection contract as defined in section 6306 of the Internal Revenue Code of 1986.

Section 32102(a) of the Fixing America's Surface Transportation Act of 2015 (P.L. 114-94), amended section 6306 of the Internal Revenue Code (IRC) and requires the Secretary of the Treasury to enter into one or more qualified tax collection contracts for the collection of all outstanding inactive tax receivables. These agreements are applicable to tax receivables as identified by the Secretary after December 4, 2015. Section 6306 of the IRC prohibits the payment of fees for all services in excess of 25 percent of the amount collected under a tax collection contract for payments to private collection agents. In addition, up to 25 percent of the amount collected may be used to fund the special compliance personnel program account under section 6307.

Inactive tax receivables, as redefined by the Taxpayer First Act (P.L. 116-25), are defined as any tax receivable: 1) removed from the active inventory for lack of resources or inability to locate the taxpayer; 2) for which more than two years has passed since assessment and no IRS employee has been assigned to collect the receivable; or 3) for which a receivable has been assigned for collection but more than 365 days have passed without interaction with the taxpayer or a third party for purposes of furthering the collection. Tax receivables are defined as any outstanding assessment that the IRS includes in potentially collectible inventory. The Taxpayer First Act also made certain receivables of individual taxpayers ineligible for collection, including taxpayers whose income substantially consists of disability insurance benefits or supplemental security income benefits or whose adjusted gross income does not exceed 200 percent of the applicable federal poverty level.

Object Classification (in millions of dollars)

Identification code 020-5510-0-2-803	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	14	20
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	8	15	21
12.1 Civilian personnel benefits	3	5	8
23.1 Rental payments to GSA	10	13	18
25.1 Advisory and assistance services	42	67	69
31.0 Equipment	1	1	1
99.0 Direct obligations	63	101	117
99.9 Total new obligations, unexpired accounts	63	101	117

Employment Summary

Identification code 020-5510-0-2-803	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	111	208	308

INFORMANT PAYMENTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5433-0-2-803	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1140 Underpayment and Fraud Collection	88	125	125
2000 Total: Balances and receipts	88	125	125
Appropriations:			
Current law:			
2101 Informant Payments	-88	-125	-125
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 020-5433-0-2-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Informant Payments	88	118	125
0900 Total new obligations, unexpired accounts (object class 91.0)	88	118	125
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	88	125	125
1230 Appropriations and/or unobligated balance of appropriations permanently reduced		-7	
1260 Appropriations, mandatory (total)	88	118	125
1930 Total budgetary resources available	88	118	125
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	88	118	125
3020 Outlays (gross)	-88	-118	-125
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	88	118	125
Outlays, gross:			
4100 Outlays from new mandatory authority	88	118	125
4180 Budget authority, net (total)	88	118	125
4190 Outlays, net (total)	88	118	125

As provided by law (26 U.S.C. 7623), the Secretary of the Treasury may make payments to individuals who provide information that leads to the collection of Internal Revenue taxes. The Taxpayer Bill of Rights of 1996 (P.L. 104-168) provides for payments of such sums to individuals from the proceeds of amounts collected by reason of the information provided, and any amount collected shall be available for such payments. This information must lead to the detection of underpayments of taxes, or detection and bringing to trial and punishment of persons guilty of violating the Internal Revenue laws. This provision was further amended by the Tax Relief and Health Care Act of 2006 (P.L. 109-432) to provide for mandatory payments in certain circumstances and to encourage use of the program. A reward payment typically ranges between 15 and 30 percent of the collected proceeds for cases where the amount of collected proceeds exceeds \$2 million. Lower payments are allowed in certain circumstances, including cases in which information is provided that was already available from another source. Section 41108 of the Bipartisan Budget Act of 2018 (P.L. 115-123) expanded the definition of proceeds to include proceeds arising from the laws for which the Internal Revenue Service is authorized to administer, enforce or investigate. Section 41108 further provides that the expanded definition of proceeds shall be used to determine eligibility for

INFORMANT PAYMENTS—Continued

a mandatory award under section 7623(b)(5) and states that the amount of proceeds are to be determined without regard to whether such proceeds are available to the Secretary.

FEDERAL TAX LIEN REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 020-4413-0-3-803	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Federal Tax Lien Revolving Fund (Reimbursable)	7	2	2
0900 Total new obligations, unexpired accounts (object class 32.0)	7	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	7
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	7	2	2
1930 Total budgetary resources available	14	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	7	2	2
3020 Outlays (gross)	-7	-2	-2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	2	2
Outlays, gross:			
4101 Outlays from mandatory balances	7	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-7	-2	-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This revolving fund was established pursuant to section 112(a) of the Federal Tax Lien Act of 1966, to serve as the source of financing the redemption of real property by the United States. During the process of collecting unpaid taxes, the Government may place a tax lien on real estate in order to protect the Government's interest and this account provides the resources to administer the program.

INTERNAL REVENUE SERVICE OVERSIGHT BOARD

The Internal Revenue Service Restructuring and Reform Act of 1998 (Section 7802(d) 26 U.S.C.) directs the IRS Oversight Board to provide an annual budget request for the IRS. The Oversight Board's request shall be submitted to the President by the Secretary without revision, and the President shall submit the request, without revision, to Congress together with the President's Budget request for the IRS. The Board did not make a recommendation for 2021 as it currently lacks a quorum. The Board will reconvene once it has enough Senate-confirmed members to make a quorum.

ADMINISTRATIVE PROVISIONS—INTERNAL REVENUE SERVICE

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. Not to exceed 4 percent of the appropriation made available in this Act to the Internal Revenue Service under the "Enforcement" heading, and not to exceed 5 percent of any other appropriation made available in this Act to the Internal Revenue Service, may be transferred to any other Internal Revenue Service appropriation upon [the] advance [approval of] notice to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 102. The Internal Revenue Service shall maintain an employee training program, which shall include the following topics: taxpayers' rights, dealing courteously

with taxpayers, cross-cultural relations, ethics, and the impartial application of tax law.

SEC. 103. The Internal Revenue Service shall institute and enforce policies and procedures that will safeguard the confidentiality of taxpayer information and protect taxpayers against identity theft.

SEC. 104. Funds made available by this or any other Act to the Internal Revenue Service shall be available for improved facilities and increased staffing to provide sufficient and effective 1-800 help line service for taxpayers. The Commissioner shall continue to make improvements to the Internal Revenue Service 1-800 help line service a priority and allocate resources necessary to enhance the response time to taxpayer communications, particularly with regard to victims of tax-related crimes.

SEC. 105. The Internal Revenue Service shall issue a notice of confirmation of any address change relating to an employer making employment tax payments, and such notice shall be sent to both the employer's former and new address and an officer or employee of the Internal Revenue Service shall give special consideration to an offer-in-compromise from a taxpayer who has been the victim of fraud by a third party payroll tax preparer.

SEC. 106. None of the funds made available under this Act may be used by the Internal Revenue Service to target citizens of the United States for exercising any right guaranteed under the First Amendment to the Constitution of the United States.

SEC. 107. None of the funds made available in this Act may be used by the Internal Revenue Service to target groups for regulatory scrutiny based on their ideological beliefs.

SEC. 108. None of funds made available by this Act to the Internal Revenue Service shall be obligated or expended on conferences that do not adhere to the procedures, verification processes, documentation requirements, and policies issued by the Chief Financial Officer, Human Capital Office, and Agency-Wide Shared Services as a result of the recommendations in the report published on May 31, 2013, by the Treasury Inspector General for Tax Administration entitled "Review of the August 2010 Small Business/Self-Employed Division's Conference in Anaheim, California" (Reference Number 2013-10-037).

SEC. 109. None of the funds made available in this Act to the Internal Revenue Service may be obligated or expended—

(1) to make a payment to any employee under a bonus, award, or recognition program; or

(2) under any hiring or personnel selection process with respect to re-hiring a former employee;

unless such program or process takes into account the conduct and Federal tax compliance of such employee or former employee.

SEC. 110. None of the funds made available by this Act may be used in contravention of section 6103 of the Internal Revenue Code of 1986 (relating to confidentiality and disclosure of returns and return information).

SEC. 111. Unobligated balances of expired discretionary funds appropriated from the General Fund of the Treasury to the Internal Revenue Service by this Act may be transferred (not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) into the Operations Support heading: Provided, that any funds so transferred shall remain available for obligation for five fiscal years after the fiscal year of such transfer, and in addition to such other funds as may be available for such purposes, for facilities and information technology expenses: Provided further, That transfer authority under this section shall be in addition to any other transfer authority provided in this Act: Provided further, That amounts may be obligated only after the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of the planned use of funds.

SEC. 112. Notwithstanding any Congressional notification requirements for a reprogramming of funds in this Act, funds provided in this Act for the Internal Revenue Service shall be available for obligation and expenditure through a reprogramming of funds that augments or reduces existing programs, projects, or activities by up to \$10,000,000 without prior Congressional notification of such action. (Department of the Treasury Appropriations Act, 2020.)

UNITED STATES SECRET SERVICE

The Budget reflects the transfer of the United States Secret Service from the Department of Homeland Security to the Department of the Treasury. The functions, personnel, assets, and obligations of the Secret Service, including the functions of the Secretary of Homeland Security related to the Secret Service, will transfer to the Department of the Treasury. The Secret Service will be maintained as a distinct entity within the Department of

the Treasury. For additional information on the transfer proposal, please consult the Department of the Treasury chapter of the *Main Budget Volume*.

Federal Funds

OPERATIONS AND SUPPORT

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, for necessary expenses of the United States Secret Service for operations and support, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; rental of buildings in the District of Columbia; fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; conduct of and participation in firearms matches; presentation of awards; conduct of behavioral research in support of protective intelligence and operations; payment in advance for commercial accommodations as may be necessary to perform protective functions; and payment, without regard to section 5702 of title 5, United States Code, of subsistence expenses of employees who are on protective missions, whether at or away from their duty stations; \$2,310,296,000; of which \$41,807,000 shall remain available until September 30, 2022; of which not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in criminal investigations within the jurisdiction of the United States Secret Service; and of which \$6,000,000 shall be for a grant for activities related to investigations of missing and exploited children: Provided, That not to exceed \$19,125 shall be for official reception and representation expenses: Provided further, That funding may be used for calendar year 2020 premium pay in excess of the annual equivalent of the limitation on the rate of pay contained in section 5547(a) of title 5, United States Code, pursuant to section 2 of the Overtime Pay for Protective Services Act of 2016 (5 U.S.C. 5547 note), as amended by Public Law 115–383.

Program and Financing (in millions of dollars)

Identification code 020–0400–2–1–751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0013 CAS - Mission Support			506
0014 CAS - Protective Operations			999
0015 CAS - Field Operations			689
0016 CAS - Basic and In-Service Training and Professional Development			116
0799 Total direct obligations			2,310
0801 Operating Expenses (Reimbursable)			27
0900 Total new obligations, unexpired accounts			2,337
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [070–0400]			51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			2,310
Spending authority from offsetting collections, discretionary:			
1700 Collected			18
1900 Budget authority (total)			2,328
1930 Total budgetary resources available			2,379
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			42
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			2,337
3020 Outlays (gross)			–2,428
3031 Unpaid obligations transferred from other accts [070–0400]			595
3050 Unpaid obligations, end of year			504
Uncollected payments:			
3081 Uncollected pymts from Fed sources transferred from other accounts			–53
3090 Uncollected pymts, Fed sources, end of year			–53
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			451

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			2,328
Outlays, gross:			
4010 Outlays from new discretionary authority			1,834
4011 Outlays from discretionary balances			594
4020 Outlays, gross (total)			2,428
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			–18
4040 Offsets against gross budget authority and outlays (total)			–18
4180 Budget authority, net (total)			2,310
4190 Outlays, net (total)			2,410

The United States Secret Service has statutory authority to carry out two primary missions: protection of the Nation's leaders and investigation of financial and electronic crimes. The Secret Service protects and investigates threats against the President and Vice President, their families, visiting heads of state and government, and other individuals as directed by the President; protects the White House Complex, Vice President's Residence, foreign missions, and other buildings within Washington, D.C.; and manages the security at designated National Special Security Events. The Secret Service also investigates violations of laws relating to counterfeiting of obligations and securities of the United States; financial crimes that include, but are not limited to, access device fraud, financial institution fraud, identity theft, and computer fraud; and computer-based attacks on financial, banking, telecommunications, and other critical infrastructure. Within Secret Service, the Operations and Support appropriation funds necessary operations, mission support, and associated management and administration costs. The budget for Operations and Support includes resources to effectuate the transfer of the Secret Service to the Department of the Treasury, contingent upon enactment of authorizing legislation.

Object Classification (in millions of dollars)

Identification code 020–0400–2–1–751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			817
11.3 Other than full-time permanent			14
11.5 Other personnel compensation			229
11.9 Total personnel compensation			1,060
12.1 Civilian personnel benefits			496
21.0 Travel and transportation of persons			171
22.0 Transportation of things			6
23.1 Rental payments to GSA			99
23.2 Rental payments to others			10
23.3 Communications, utilities, and miscellaneous charges			36
25.2 Other services from non-Federal sources			201
25.3 Other goods and services from Federal sources			20
25.7 Operation and maintenance of equipment			7
26.0 Supplies and materials			63
31.0 Equipment			130
32.0 Land and structures			5
41.0 Grants, subsidies, and contributions			6
99.0 Direct obligations			2,310
99.0 Reimbursable obligations			27
99.9 Total new obligations, unexpired accounts			2,337

Employment Summary

Identification code 020–0400–2–1–751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			7,896

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, for necessary expenses of the United States Secret Service for procurement, construction, and improvements, \$38,305,000, to remain available until September 30, 2023.

PROCUREMENT, CONSTRUCTION, AND IMPROVEMENTS—Continued

Program and Financing (in millions of dollars)

Identification code 020-0402-2-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006 CAS - Protection Infrastructure			23
0008 CAS - Construction and Facility Improvements			1
0900 Total new obligations, unexpired accounts			24
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [070-0401]			14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			38
1930 Total budgetary resources available			52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			28
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			24
3020 Outlays (gross)			-76
3031 Unpaid obligations transferred from other accts [070-0401]			75
3050 Unpaid obligations, end of year			23
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			23
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			38
Outlays, gross:			
4010 Outlays from new discretionary authority			11
4011 Outlays from discretionary balances			65
4020 Outlays, gross (total)			76
4180 Budget authority, net (total)			38
4190 Outlays, net (total)			76

Procurement, Construction, and Improvements provides funds necessary for the planning, operational development, engineering and purchase of one or more assets prior to sustainment. This account provides necessary funding and investments needed to support the Secret Service's protective and investigation missions.

Object Classification (in millions of dollars)

Identification code 020-0402-2-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			20
31.0 Equipment			4
99.9 Total new obligations, unexpired accounts			24

CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0405-2-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0304 Mandatory-DC Annuity			265
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			265
1930 Total budgetary resources available			265
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			265
3020 Outlays (gross)			-287

3031 Unpaid obligations transferred from other accts [070-0405]			44
3050 Unpaid obligations, end of year			22
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			22
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			265
Outlays, gross:			
4100 Outlays from new mandatory authority			243
4101 Outlays from mandatory balances			44
4110 Outlays, gross (total)			287
4180 Budget authority, net (total)			265
4190 Outlays, net (total)			287

This account provides the Secret Service funding for contributions to the District of Columbia's Police and Firefighters Retirement Plan (DC Annuity).

Object Classification (in millions of dollars)

Identification code 020-0405-2-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments			220
12.1 Civilian personnel benefits			45
99.9 Total new obligations, unexpired accounts			265

RESEARCH AND DEVELOPMENT

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation to transfer the United States Secret Service to the Department of the Treasury, for necessary expenses of the United States Secret Service for research and development, \$11,937,000, to remain available until September 30, 2022.

Program and Financing (in millions of dollars)

Identification code 020-0804-2-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Protection			10
0900 Total new obligations, unexpired accounts (object class 25.2)			10
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [070-0804]			2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			12
1930 Total budgetary resources available			14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			10
3020 Outlays (gross)			-12
3031 Unpaid obligations transferred from other accts [070-0804]			2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			12
Outlays, gross:			
4010 Outlays from new discretionary authority			10
4011 Outlays from discretionary balances			2
4020 Outlays, gross (total)			12
4180 Budget authority, net (total)			12
4190 Outlays, net (total)			12

Research and Development includes funds necessary for supporting the search for new or refined knowledge and ideas and for the application or use of such knowledge and ideas for the development of new or improved

products, processes, or capabilities. This account provides support to the Secret Service's protective and investigative missions.

ADMINISTRATIVE PROVISIONS

SEC. 101. The United States Secret Service is authorized to obligate funds in anticipation of reimbursements from executive agencies, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under the heading "United States Secret Service-Operations and Support" at the end of the fiscal year.

SEC. 102. None of the funds made available in this Act may be used to reimburse any Federal department or agency for its participation in a National Special Security Event.

SEC. 103. None of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of the Treasury: Provided, That the Director of the United States Secret Service may enter into agreements to provide such protection on a fully reimbursable basis.

SEC. 104. Notwithstanding section 608 of this Act, up to \$15,000,000 may be re-programmed within "United States Secret Service-Operations and Support".

SEC. 105. Funding made available in this Act for "United States Secret Service-Operations and Support" is available for travel of United States Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act if the Director of the United States Secret Service or a designee notifies the Committees on Appropriations of the Senate and the House of Representatives 10 or more days in advance, or as early as practicable, prior to such expenditures.

SEC. 106. None of the funds made available by this Act for "United States Secret Service-Operations and Support" shall be available to compensate any employee for overtime in an annual amount in excess of \$45,000, except that the Secretary of the Treasury, or the designee of the Secretary, may waive such amount as necessary for national security purposes.

COMPTROLLER OF THE CURRENCY

Trust Funds

ASSESSMENT FUNDS

Program and Financing (in millions of dollars)

Identification code 020-8413-0-8-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0881 Bank Supervision	1,053	1,103	1,103
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,542	1,660	1,632
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,170	1,075	1,075
1801 Change in uncollected payments, Federal sources	1		
1850 Spending auth from offsetting collections, mand (total)	1,171	1,075	1,075
1930 Total budgetary resources available	2,713	2,735	2,707
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,660	1,632	1,604
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	294	308	346
3010 New obligations, unexpired accounts	1,053	1,103	1,103
3020 Outlays (gross)	-1,039	-1,065	-1,065
3050 Unpaid obligations, end of year	308	346	384
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-8	-8
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-8	-8	-8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	287	300	338
3200 Obligated balance, end of year	300	338	376

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1,171	1,075	1,075
Outlays, gross:			
4100 Outlays from new mandatory authority	366	383	383
4101 Outlays from mandatory balances	673	682	682
4110 Outlays, gross (total)	1,039	1,065	1,065
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-17	-13	-13
4121 Interest on Federal securities	-31	-26	-26
4123 Non-Federal sources	-1,122	-1,036	-1,036
4130 Offsets against gross budget authority and outlays (total)	-1,170	-1,075	-1,075
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-1		
4170 Outlays, net (mandatory)	-131	-10	-10
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-131	-10	-10

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,837	1,971	1,981
5001 Total investments, EOY: Federal securities: Par value	1,971	1,981	1,991

The Office of the Comptroller of the Currency (OCC) was created by Congress to charter national banks; oversee a nationwide system of banking institutions; and ensure national banks are safe and sound, competitive and profitable, and capable of serving in the best possible manner the banking needs of their customers. The National Currency Act of 1863 (12 U.S.C. 1 et seq., 12 Stat. 665), rewritten and reenacted as the National Bank Act of 1864, provided for the chartering and supervising functions of the OCC. Income of the OCC is derived principally from assessments paid by national banks and interest on investments in U.S. Government securities. The OCC receives no appropriated funds from Congress.

As of September 30, 2019, the OCC supervised 840 national bank charters, 57 Federal branches of foreign banks, and 303 Federal savings associations. In total, the OCC supervises approximately \$12.8 trillion in financial institution assets.

At September 30, 2019, the net position of the OCC was \$1.537 billion. The OCC allocates a significant portion of the net position to its financial reserves to cover undelivered orders and capital investments. Financial reserves are integral to the effective stewardship of the OCCs resources, and the OCC has a disciplined process for reviewing its reserve balances and allocating funds appropriately to support its ability to accomplish the agency's mission. The OCCs financial reserves are available to reduce the impact on the OCCs operations in the event of a significant fluctuation in revenues or expenses. In 2018, the OCC established a new receivership contingency fund of \$86.6 million within its financial reserves to facilitate the conduct of receiverships of uninsured federal branches or agencies of a foreign banking organization. In 2017, the OCC established a contingency of \$100 million within its reserves to act as receiver of those national trust banks which are not FDIC-insured.

Object Classification (in millions of dollars)

Identification code 020-8413-0-8-373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	543	543	543
11.3 Other than full-time permanent	5	4	4
11.5 Other personnel compensation	3	2	2
11.9 Total personnel compensation	551	549	549
12.1 Civilian personnel benefits	238	269	269
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	43	44	44
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	64	67	67
23.3 Communications, utilities, and miscellaneous charges	15	17	17
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	16	18	18
25.2 Other services from non-Federal sources	23	32	32
25.3 Other goods and services from Federal sources	9	9	9
25.4 Operation and maintenance of facilities	5	6	6
25.7 Operation and maintenance of equipment	58	64	64
26.0 Supplies and materials	4	6	6

ASSESSMENT FUNDS—Continued
Object Classification—Continued

Identification code 020-8413-0-8-373	2019 actual	2020 est.	2021 est.
31.0 Equipment	22	20	20
32.0 Land and structures	1	1	1
42.0 Insurance claims and indemnities	1		
99.0 Reimbursable obligations	1,053	1,103	1,103
99.9 Total new obligations, unexpired accounts	1,053	1,103	1,103

Employment Summary

Identification code 020-8413-0-8-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	3,687	3,589	3,589

INTEREST ON THE PUBLIC DEBT
Federal Funds

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

Program and Financing (in millions of dollars)

Identification code 020-0550-0-1-901	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interest on Treasury Debt Securities	572,913	576,463	576,286
0900 Total new obligations, unexpired accounts (object class 43.0)	572,913	576,463	576,286

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	572,913	576,463	576,286
1930 Total budgetary resources available	572,913	576,463	576,286

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	572,913	576,463	576,286
3020 Outlays (gross)	-572,913	-576,463	-576,286

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	572,913	576,463	576,286
Outlays, gross:			
4100 Outlays from new mandatory authority	572,913	576,463	576,286
4180 Budget authority, net (total)	572,913	576,463	576,286
4190 Outlays, net (total)	572,913	576,463	576,286

Such amounts are appropriated as may be necessary to pay the interest each year on the public debt (31 U.S.C. 1305, 3123). Interest on Government account series securities is generally calculated on a cash basis. Interest is generally calculated on an accrual basis for all other types of securities.

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0550-2-1-901	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interest on Treasury Debt Securities			247
0900 Total new obligations, unexpired accounts (object class 43.0)			247

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			247
1930 Total budgetary resources available			247

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			247

4180 Budget authority, net (total)			247
4190 Outlays, net (total)			247

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 020-0550-4-1-901	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interest on Treasury Debt Securities		2	-751
0900 Total new obligations, unexpired accounts (object class 43.0)		2	-751

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		2	-751
1930 Total budgetary resources available		2	-751

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts		2	-751
3020 Outlays (gross)		-2	751

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross		2	-751
Outlays, gross:			
4100 Outlays from new mandatory authority		2	-751
4180 Budget authority, net (total)		2	-751
4190 Outlays, net (total)		2	-751

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Governmental receipts:			
010-086400 Filing Fees, P.L. 109-171, Title X	56	56	56
020-015800 Transportation Fuels Tax	-3,623	-10,995	-4,275
020-065000 Deposit of Earnings, Federal Reserve System	52,793	72,681	70,704
020-065000 Deposit of Earnings, Federal Reserve System: Legislative proposal, subject to PAYGO			110
020-085000 Registration, Filing, and Transaction Fees	5	5	5
345-086900 Fees for Legal and Judicial Services, not Otherwise Classified	47	47	47
096-089100 Miscellaneous Fees for Regulatory and Judicial Services, not Otherwise Classified	597	564	564
020-101000 Fines, Penalties, and Forfeitures, Agricultural Laws	4	4	4
021-103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws	196	183	183
021-103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws: Legislative proposal, subject to PAYGO			13
034-104000 Fines, Penalties, and Forfeitures, Customs, Commerce, and Antitrust Laws	1,790	5,935	5,935
020-105000 Fines, Penalties, and Forfeitures, Narcotic Prohibition and Alcohol Laws	30	23	23
096-106000 Forfeitures of Unclaimed Money and Property	16	19	19
010-108000 Fines, Penalties, and Forfeitures, Federal Coal Mine Health and Safety Laws	48	47	47
020-109600 Penalties on Employers Who Do not Offer Health Coverage or Delay Eligibility for New Employees		757	1,386
020-241100 User Fees for IRS	3	3	3
020-249200 Premiums, Terrorism Risk Insurance Program			38
020-309400 Recovery from Airport and Airway Trust Fund for Refunds of Taxes	16	19	19
020-309500 Recovery from Leaking Underground Storage Tank Trust Fund for Refunds of Taxes, EPA		6	6
020-309990 Refunds of Moneys Erroneously Received and Recovered (20X1807)	-35	-35	-35
050-085015 Registration, Filing, and Transaction Fees, SEC	530	700	716
220-109900 Fines, Penalties, and Forfeitures, not Otherwise Classified	3,126	4,830	4,830
901-011050 Individual Income Taxes	1,717,735	1,811,837	1,928,630
901-011050 Individual Income Taxes: Legislative proposal, not subject to PAYGO			264
901-011050 Individual Income Taxes: Legislative proposal, subject to PAYGO		-9	2,572
999-011100 Corporation Income and Excess Profits Taxes	230,245	263,669	284,395

999-011100	Corporation Income and Excess Profits Taxes: Legislative proposal, not subject to PAYGO			45
999-011100	Corporation Income and Excess Profits Taxes: Legislative proposal, subject to PAYGO		-27	-347
901-015250	Other Federal Fund Excise Taxes	4,848	1,792	1,831
999-015300	Estate and Gift Taxes	16,672	20,389	21,641
901-015500	Tobacco Excise Tax	12,457	12,333	11,860
901-015600	Alcohol Excise Tax	9,992	9,670	10,055
901-015700	Telephone Excise Tax	436	387	342
901-015913	Fee on Health Insurance Providers	9,590	15,398	
901-015914	Tax on Indoor Tanning Services	69	65	63
901-015915	Excise Tax on Medical Device Manufacturers	-64		
901-031050	Other Federal Fund Customs Duties	47,591	62,856	35,843
General Fund	Governmental receipts	2,105,170	2,273,209	2,377,592
Offsetting receipts from the public:				
020-129900	Gifts to the United States, not Otherwise Classified	1	1	1
020-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified	4	4	4
020-145000	Interest Payments from States, Cash Management Improvement	31	32	32
020-146310	Interest on Quota in International Monetary Fund	174	174	174
020-146320	Interest on Loans to International Monetary Fund	37	37	37
020-149900	Interest Received from Credit Financing Accounts	43,249	53,488	53,505
020-168200	Gain by Exchange on Foreign Currency Denominated Public Debt Securities	29		
020-248500	GSE Fees Pursuant to P.L. 112-78 Sec. 401	3,903	4,262	4,548
020-248500	GSE Fees Pursuant to P.L. 112-78 Sec. 401: Legislative proposal, subject to PAYGO			202
020-267710	Community Development Financial Institutions Fund, Negative Subsidies	10		
020-276330	Community Development Financial Institutions Fund, Downward Re-estimate of Subsidies	10	14	
020-279030	GSE Mortgage-backed Securities Direct Loans, Downward Reestimates of Subsidies	7	18	
020-279230	Troubled Asset Relief Program, Downward Reestimates of Subsidies	27	74	
020-289400	Proceeds, GSE Equity Related Transactions	15,279		2,638
020-289400	Proceeds, GSE Equity Related Transactions: Legislative proposal, not subject to PAYGO			251
020-322000	All Other General Fund Proprietary Receipts	433	448	448
020-387500	Budget Clearing Account (suspense)	63		
086-289100	Proceeds, Grants for Emergency Mortgage Relief Derived from Emergency Homeowners' Relief Fund	1	1	1
General Fund	Offsetting receipts from the public	63,258	58,553	61,841
Intragovernmental payments:				
089-142400	Interest on Investment, Colorado River Projects		3	3
020-133800	Interest on Loans to the Presidio	2	2	2
020-135100	Interest on Loans to BPA	355	239	196
020-136000	Interest on Loans to Western Area Power Administration	2	2	2
020-136300	Interest on Loans for College Housing and Academic Facilities Loans, Education	1	1	1
020-140100	Interest on Loans to Commodity Credit Corporation	358	470	325
020-141500	Interest on Loans to Federal Deposit Insurance Corporation		14	58
020-141800	Interest on Loans to Federal Financing Bank	1,839	1,865	1,928
020-143300	Interest on Loans to National Flood Insurance Fund, DHS	415	442	440
020-149500	Interest Payments on Repayable Advances to the Black Lung Disability Trust Fund	113	120	157
020-149700	Payment of Interest on Advances to the Railroad Retirement Board	110	121	109
020-150110	Interest on Loans or Advances to the Extended Unemployment Compensation Account	3		
020-241600	Charges for Administrative Expenses of Social Security Act As Amended	782	697	724
020-310100	Recoveries from Federal Agencies for Settlement of Claims for Contract Disputes	100	158	107
020-311200	Reimbursement from Federal Agencies for Payments Made As a Result of Discriminatory Conduct	15	19	13
020-320000	Receivables from Cancelled Accounts	1	1	1
020-388500	Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	11		
073-142800	Interest on Advances to Small Business Administration	1		
General Fund	Intragovernmental payments	4,108	4,154	4,066

motor vehicles operated in foreign countries; purchase of motor vehicles without regard to the general purchase price limitations for vehicles purchased and used overseas for the current fiscal year; entering into contracts with the Department of State for the furnishing of health and medical services to employees and their dependents serving in foreign countries; and services authorized by 5 U.S.C. 3109.

SEC. 112. Not to exceed 2 percent of any appropriations in this title made available under the headings "Departmental Offices-Salaries and Expenses", "Office of Inspector General", "Special Inspector General for the Troubled Asset Relief Program", "Financial Crimes Enforcement Network", "Bureau of the Fiscal Service", and "Alcohol and Tobacco Tax and Trade Bureau" may be transferred between such appropriations upon the advance [approval] notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That no transfer under this section may increase or decrease any such appropriation by more than 2 percent.

SEC. 113. Not to exceed 2 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to the Treasury Inspector General for Tax Administration's appropriation upon the advance [approval] notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 114. None of the funds appropriated in this Act or otherwise available to the Department of the Treasury or the Bureau of Engraving and Printing may be used to redesign the \$1 Federal Reserve note.

SEC. 115. The Secretary of the Treasury may transfer funds from the "Bureau of the Fiscal Service-Salaries and Expenses" to the Debt Collection Fund as necessary to cover the costs of debt collection: *Provided*, That such amounts shall be reimbursed to such salaries and expenses account from debt collections received in the Debt Collection Fund.

SEC. 116. None of the funds appropriated or otherwise made available by this or any other Act may be used by the United States Mint to construct or operate any museum without the [explicit approval] prior notification of the Committees on Appropriations of the House of Representatives and the Senate, the House Committee on Financial Services, and the Senate Committee on Banking, Housing, and Urban Affairs.

SEC. 117. None of the funds appropriated or otherwise made available by this or any other Act or source to the Department of the Treasury, the Bureau of Engraving and Printing, and the United States Mint, individually or collectively, may be used to consolidate any or all functions of the Bureau of Engraving and Printing and the United States Mint without the [explicit approval] prior notification of the House Committee on Financial Services; the Senate Committee on Banking, Housing, and Urban Affairs; and the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 118. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for the Department of the Treasury's intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year [2020] 2021 until the enactment of the Intelligence Authorization Act for Fiscal Year [2020] 2021.

SEC. 119. Not to exceed \$5,000 shall be made available from the Bureau of Engraving and Printing's Industrial Revolving Fund for necessary official reception and representation expenses.

SEC. 120. The Secretary of the Treasury shall submit a Capital Investment Plan to the Committees on Appropriations of the Senate and the House of Representatives not later than 30 days following the submission of the annual budget submitted by the President: *Provided*, That such Capital Investment Plan shall include capital investment spending from all accounts within the Department of the Treasury, including but not limited to the [Department-wide Systems and Capital Investment Programs] Treasury Capital Investments and Modernization Fund account, Treasury Franchise Fund account, and the Treasury Forfeiture Fund account: *Provided further*, That such Capital Investment Plan shall include expenditures occurring in previous fiscal years for each capital investment project that has not been fully completed.

SEC. 121. Within 45 days after the date of enactment of this Act, the Secretary of the Treasury shall submit an itemized report to the Committees on Appropriations of the House of Representatives and the Senate on the amount of total funds charged to each office by the Franchise Fund including the amount charged for each service provided by the Franchise Fund to each office, a detailed description of the services, a detailed explanation of how each charge for each service is calculated, and a description of the role customers have in governing in the Franchise Fund.

SEC. 122. During fiscal year [2020] 2021—

(1) none of the funds made available in this or any other Act may be used by the Department of the Treasury, including the Internal Revenue Service, to issue, revise, or finalize any regulation, revenue ruling, or other guidance not limited

ADMINISTRATIVE PROVISIONS—DEPARTMENT OF THE TREASURY

(INCLUDING TRANSFERS OF FUNDS)

SEC. 111. Appropriations to the Department of the Treasury in this Act shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning; purchase of insurance for official

to a particular taxpayer relating to the standard which is used to determine whether an organization is operated exclusively for the promotion of social welfare for purposes of section 501(c)(4) of the Internal Revenue Code of 1986 (including the proposed regulations published at 78 Fed. Reg. 71535 (November 29, 2013)); and

(2) the standard and definitions as in effect on January 1, 2010, which are used to make such determinations shall apply after the date of the enactment of this Act for purposes of determining status under section 501(c)(4) of such Code of organizations created on, before, or after such date.

SEC. 123. (a) Not later than 60 days after the end of each quarter, the [Office of Financial Stability and the]Office of Financial Research shall submit reports on their activities to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives and the Senate Committee on Banking, Housing, and Urban Affairs.

(b) The reports required under subsection (a) shall include—

(1) the obligations made during the previous quarter by object class, office, and activity;

(2) the estimated obligations for the remainder of the fiscal year by object class, office, and activity;

(3) the number of full-time equivalents within each office during the previous quarter;

(4) the estimated number of full-time equivalents within each office for the remainder of the fiscal year; and

(5) actions taken to achieve the goals, objectives, and performance measures of each office.

(c) At the request of any such Committees specified in subsection (a), [the Office of Financial Stability and]the Office of Financial Research shall make officials available to testify on the contents of the reports required under subsection (a).

SEC. 124. In addition to the amounts otherwise made available to the Department of the Treasury, \$25,000,000, to remain available until expended, shall be for expenses associated with digitization and distribution of the Department's records of matured savings bonds that have not been redeemed.]

SEC. 124. *Notwithstanding paragraph (2) of section 402(c) of the Helping Families Save Their Homes Act of 2009, in utilizing funds made available by paragraph (1) of section 402(c) of such Act, the Special Inspector General for the Troubled Asset Relief Program shall prioritize the performance of audits or investigations of any program that is funded in whole or in part by funds appropriated under the Emergency Economic Stabilization Act of 2008, to the extent that such priority is consistent with other aspects of the mission of the Special Inspector General.*

SEC. 125. *Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of the Treasury by this Act and unobligated balances of expired discretionary funds appropriated from the General Fund to the Department of the Treasury by this Act may be transferred (not later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated) to the Treasury Capital Investments and Modernization Fund: Provided, That any funds so transferred shall remain available for obligation for five fiscal years after the fiscal year of such transfers for modernization of Treasury systems to increase cybersecurity and improve efficiency: Provided further, That funds so transferred shall not be available for obligation until the Secretary submits to the Committees on Appropriations of the House of Representatives and the Senate a spending plan for such funds. (Department of the Treasury Appropriations Act, 2020.)*

TITLE VI—GENERAL PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 601. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 602. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 603. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 604. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.]

SEC. [605]604. None of the funds made available by this Act shall be available for any activity or for paying the salary of any Government employee where funding an activity or paying a salary to a Government employee would result in a decision, determination, rule, regulation, or policy that would prohibit the enforcement of section 307 of the Tariff Act of 1930 (19 U.S.C. 1307).

SEC. [606]605. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. [607]606. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

SEC. 608. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year 2020, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates a new program; (2) eliminates a program, project, or activity; (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress; (4) proposes to use funds directed for a specific activity by the Committee on Appropriations of either the House of Representatives or the Senate for a different purpose; (5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less; (6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or (7) creates or reorganizes offices, programs, or activities unless prior approval is received from the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That prior to any significant reorganization, restructuring, relocation, or closing of offices, programs, or activities, each agency or entity funded in this Act shall consult with the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: *Provided further*, That at a minimum the report shall include: (1) a table for each appropriation, detailing both full-time employee equivalents and budget authority, with separate columns to display the prior year enacted level, the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level; (2) a delineation in the table for each appropriation and its respective prior year enacted level by object class and program, project, and activity as detailed in this Act, in the accompanying report, or in the budget appendix for the respective appropriation, whichever is more detailed, and which shall apply to all items for which a dollar amount is specified and to all programs for which new budget authority is provided, as well as to discretionary grants and discretionary grant allocations; and (3) an identification of items of special congressional interest: *Provided further*, That the amount appropriated or limited for salaries and expenses for an agency shall be reduced by \$100,000 per day for each day after the required date that the report has not been submitted to the Congress.]

SEC. [609]607. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020]2021 from appropriations made available for salaries and expenses for fiscal year [2020]2021 in this Act, shall remain available through September 30, [2021]2022, for each such account for the purposes authorized: *Provided*, That [a request]notice thereof shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate [for approval] prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines.]

SEC. [610]608. (a) None of the funds made available in this Act may be used by the Executive Office of the President to request—

(1) any official background investigation report on any individual from the Federal Bureau of Investigation; or

(2) a determination with respect to the treatment of an organization as described in section 501(c) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a) of such Code from the Department of the Treasury or the Internal Revenue Service.

(b) Subsection (a) shall not apply—

(1) in the case of an official background investigation report, if such individual has given express written consent for such request not more than 6 months prior to the date of such request and during the same presidential administration; or

(2) if such request is required due to extraordinary circumstances involving national security.

SEC. [611]609. The cost accounting standards promulgated under chapter 15 of title 41, United States Code shall not apply with respect to a contract under the Federal Employees Health Benefits Program established under chapter 89 of title 5, United States Code.

SEC. [612]610. For the purpose of resolving litigation and implementing any settlement agreements regarding the nonforeign area cost-of-living allowance program, the Office of Personnel Management may accept and utilize (without regard to any restriction on unanticipated travel expenses imposed in an Appropriations Act) funds made available to the Office of Personnel Management pursuant to court approval.

SEC. [613]611. No funds appropriated by this Act shall be available to pay for an abortion, or the administrative expenses in connection with any health plan under the Federal employees health benefits program which provides any benefits or coverage for abortions.

SEC. [614]612. The provision of section [613]611 shall not apply where the life of the mother would be endangered if the fetus were carried to term, or the pregnancy is the result of an act of rape or incest.

SEC. [615]613. In order to promote Government access to commercial information technology, the restriction on purchasing nondomestic articles, materials, and supplies set forth in chapter 83 of title 41, United States Code (popularly known as the Buy American Act), shall not apply to the acquisition by the Federal Government of information technology (as defined in section 11101 of title 40, United States Code), that is a commercial item (as defined in section 103 of title 41, United States Code).

SEC. [616]614. Notwithstanding section 1353 of title 31, United States Code, no officer or employee of any regulatory agency or commission funded by this Act may accept on behalf of that agency, nor may such agency or commission accept, payment or reimbursement from a non-Federal entity for travel, subsistence, or related expenses for the purpose of enabling an officer or employee to attend and participate in any meeting or similar function relating to the official duties of the officer or employee when the entity offering payment or reimbursement is a person or entity subject to regulation by such agency or commission, or represents a person or entity subject to regulation by such agency or commission, unless the person or entity is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.

SEC. [617]615. Notwithstanding section 708 of this Act, funds made available to the Commodity Futures Trading Commission and the Securities and Exchange Commission by this or any other Act may be used for the interagency funding and sponsorship of a joint advisory committee to advise on emerging regulatory issues.

SEC. [618]616. (a)

(1) Notwithstanding any other provision of law, an Executive agency covered by this Act otherwise authorized to enter into contracts for either leases or the construction or alteration of real property for office, meeting, storage, or other space must consult with the General Services Administration before issuing a solicitation for offers of new leases or construction contracts, and in the case of succeeding leases, before entering into negotiations with the current lessor.

(2) Any such agency with authority to enter into an emergency lease may do so during any period declared by the President to require emergency leasing authority with respect to such agency.

(b) For purposes of this section, the term "Executive agency covered by this Act" means any Executive agency provided funds by this Act, but does not include the General Services Administration or the United States Postal Service.

SEC. [619]617. (a) There are appropriated for the following activities the amounts required under current law:

(1) Compensation of the President (3 U.S.C. 102).

(2) Payments to—

(A) the Judicial Officers' Retirement Fund (28 U.S.C. 377(o));

(B) the Judicial Survivors' Annuities Fund (28 U.S.C. 376(c)); and

(C) the United States Court of Federal Claims Judges' Retirement Fund (28 U.S.C. 178(l)).

(3) Payment of Government contributions—

(A) with respect to the health benefits of retired employees, as authorized by chapter 89 of title 5, United States Code, and the Retired Federal Employees Health Benefits Act (74 Stat. 849); and

(B) with respect to the life insurance benefits for employees retiring after December 31, 1989 (5 U.S.C. ch. 87).

(4) Payment to finance the unfunded liability of new and increased annuity benefits under the Civil Service Retirement and Disability Fund (5 U.S.C. 8348).

(5) Payment of annuities authorized to be paid from the Civil Service Retirement and Disability Fund by statutory provisions other than subchapter III of chapter 83 or chapter 84 of title 5, United States Code.

(b) Nothing in this section may be construed to exempt any amount appropriated by this section from any otherwise applicable limitation on the use of funds contained in this Act.

SEC. 620. (a) In addition to amounts made available in prior fiscal years, the Public Company Accounting Oversight Board (Board) shall have authority to obligate funds for the scholarship program established by section 109(c)(2) of the Sarbanes-Oxley Act of 2002 (Public Law 107–204) in fiscal year 2020 in an aggregate amount not exceeding the amount of funds collected by the Board between January 1, 2019, and September 30, 2019, including accrued interest, and between October 1, 2019, and September 30, 2020, including accrued interest, as a result of the assessment of monetary penalties. Funds available for obligation in fiscal year 2020 shall remain available until expended.

(b) Beginning in fiscal year 2021 and for each fiscal year thereafter, the Board shall have authority to obligate funds for the scholarship program established by section 109(c)(2) of the Sarbanes-Oxley Act of 2002 (Public Law 107–204) in such fiscal year in an aggregate amount not exceeding the amounts of funds collected by the Board between October 1 and September 30 of such fiscal year, including accrued interest, as a result of the assessment of monetary penalties. Funds made available for obligation in any fiscal year shall be in addition to amounts made available in prior fiscal years and shall remain available until expended.

SEC. [621]618. None of the funds made available in this Act may be used by the Federal Trade Commission to complete the draft report entitled "Interagency Working Group on Food Marketed to Children: Preliminary Proposed Nutrition Principles to Guide Industry Self-Regulatory Efforts" unless the Interagency Working Group on Food Marketed to Children complies with Executive Order No. 13563.

SEC. [622]619. (a) The head of each executive branch agency funded by this Act shall ensure that the Chief Information Officer of the agency has the authority to participate in decisions regarding the budget planning process related to information technology.

(b) Amounts appropriated for any executive branch agency funded by this Act that are available for information technology shall be allocated within the agency, consistent with the provisions of appropriations Acts and budget guidelines and recommendations from the Director of the Office of Management and Budget, in such manner as specified by, or approved by, the Chief Information Officer of the agency in consultation with the Chief Financial Officer of the agency and budget officials.

SEC. 623. None of the funds made available in this Act may be used in contravention of chapter 29, 31, or 33 of title 44, United States Code.

SEC. 624. None of the funds made available in this Act may be used by a governmental entity to require the disclosure by a provider of electronic communication service to the public or remote computing service of the contents of a wire or electronic communication that is in electronic storage with the provider (as such terms are defined in sections 2510 and 2711 of title 18, United States Code) in a manner that violates the Fourth Amendment to the Constitution of the United States.

SEC. 625. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change the rules or regulations of the Commission for universal service high-cost support for competitive eligible telecommunications carriers in a way that is inconsistent with paragraph (e)(5) or (e)(6) of section 54.307 of title 47, Code of Federal Regulations, as in effect on July 15, 2015: *Provided*, That this section shall not prohibit the Commission from considering, developing, or adopting other support mechanisms as an alternative to Mobility Fund Phase II.

SEC. 626. No funds provided in this Act shall be used to deny an Inspector General funded under this Act timely access to any records, documents, or other materials available to the department or agency over which that Inspector General has responsibilities under the Inspector General Act of 1978, or to prevent or impede that Inspector General's access to such records, documents, or other materials, under any provision of law, except a provision of law that expressly refers to the Inspector General and expressly limits the Inspector General's right of access. A department or agency covered by this section shall provide its Inspector General with access to all such records, documents, and other materials in a timely manner. Each Inspector General shall ensure compliance with statutory limitations on disclosure relevant to the information provided by the establishment over which that Inspector General has responsibilities under the Inspector General Act of 1978. Each Inspector General covered by this section shall report to the Committees on Appropriations of the House of Representatives and the Senate within 5 calendar days any failures to comply with this requirement.

SEC. [627]620. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, adjudication activities, or other law enforcement- or victim assistance-related activity.

SEC. **[628]** 621. None of the funds appropriated or other-wise made available by this Act may be used to pay award or incentive fees for contractors whose performance has been judged to be below satisfactory, behind schedule, over budget, or has failed to meet the basic requirements of a contract, unless the Agency determines that any such deviations are due to unforeseeable events, government-driven scope changes, or are not significant within the overall scope of the project and/or program and unless such awards or incentive fees are consistent with 16.401(e)(2) of the Federal Acquisition Regulation.

SEC. **[629]** 622. (a) None of the funds made available under this Act may be used to pay for travel and conference activities that result in a total cost to an Executive branch department, agency, board or commission funded by this Act of more than \$500,000 at any single conference unless the agency or entity determines that such attendance is in the national interest and advance notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate that includes the basis of that determination.

[(b) None of the funds made available under this Act may be used to pay for the travel to or attendance of more than 50 employees, who are stationed in the United States, at any single conference occurring outside the United States unless the agency or entity determines that such attendance is in the national interest and advance notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate that includes the basis of that determination.]

SEC. **[630]** 623. None of the funds made available by this Act may be used for first-class or business-class travel by the employees of executive branch agencies funded by this Act in contravention of sections 301–10.122 through 301–10.125 of title 41, Code of Federal Regulations.

SEC. 631. In addition to any amounts appropriated or otherwise made available for expenses related to enhancements to www.oversight.gov, \$1,000,000, to remain available until expended, shall be provided for an additional amount for such purpose to the Inspectors General Council Fund established pursuant to Section 11(c)(3)(B) of the Inspector General Act of 1978 (5 U.S.C. App.): *Provided*, That these amounts shall be in addition to any amounts or any authority available to the Council of the Inspectors General on Integrity and Efficiency under section 11 of the Inspector General Act of 1978 (5 U.S.C. App.).

SEC. 632. Of the unobligated balances from prior year appropriations available under the heading "Small Business Administration-Business Loans Program Account" heading, \$16,369,000 are hereby permanently rescinded: *Provided*, That no amounts may be rescinded under this section from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. **[633]** 624. None of the funds made available by this Act may be obligated on contracts in excess of \$5,000 for public relations, as that term is defined in Office and Management and Budget Circular A-87 (revised May 10, 2004), unless advance notice of such an obligation is transmitted to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 634. None of the funds made available by this Act shall be used by the Securities and Exchange Commission to finalize, issue, or implement any rule, regulation, or order regarding the disclosure of political contributions, contributions to tax exempt organizations, or dues paid to trade associations.

SEC. 635. None of the funds appropriated by this Act may be used to enforce section 540 of Public Law 110–329 (122 Stat. 3688) or section 538 of Public Law 112–74 (125 Stat. 976; 6 U.S.C. 190 note).

SEC. 625. Title 44, United States Code, is amended as follows—

(1) in subsection (a)(2) of section 2107, by striking "the head of such agency has certified in writing to the Archivist" and inserting "the Archivist determines, after consulting with the head of such agency,";

(2) in subsection (d) of section 2904, by striking the first instance of "digital or electronic";

(3) in subsection (e) of section 3303a, by striking "the written consent of" and inserting "advance notice to"; and

(4) in section 3308, by striking "empower" and inserting "direct".

SEC. 626. (a) Upon enactment, the unobligated balances appropriated in prior appropriations Acts for the Public Company Accounting Oversight Board merit scholarship program, as authorized by 15 U.S.C. 7219(c)(2), shall be transferred to the general fund of the Treasury.

(b) Amounts made available for fiscal year 2021 by section 620(b) of division C of Public Law 116–93 for the Public Company Accounting Oversight Board merit scholarship program, as authorized by 15 U.S.C. 7219(c)(2), shall be transferred to the general fund of the Treasury.
(Financial Services and General Government Appropriations Act, 2020.)

DEPARTMENT OF VETERANS AFFAIRS

VETERANS HEALTH ADMINISTRATION

Federal Funds

MEDICAL SERVICES

For necessary expenses for furnishing, as authorized by law, inpatient and outpatient care and treatment to beneficiaries of the Department of Veterans Affairs and veterans described in section 1705(a) of title 38, United States Code, including care and treatment in facilities not under the jurisdiction of the Department, and including medical supplies and equipment, bioengineering services, food services, and salaries and expenses of healthcare employees hired under title 38, United States Code, assistance and support services for caregivers as authorized by section 1720G of title 38, United States Code, loan repayments authorized by section 604 of the Caregivers and Veterans Omnibus Health Services Act of 2010 (Public Law 111-163; 124 Stat. 1174; 38 U.S.C. 7681 note), monthly assistance allowances authorized by section 322(d) of title 38, United States Code, grants authorized by section 521A of title 38, United States Code, and administrative expenses necessary to carry out sections 322(d) and 521A of title 38, United States Code, and hospital care and medical services authorized by section 1787 of title 38, United States Code; **[\$56,158,015,000]** \$497,468,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, 2020; and, in addition, \$58,897,219,000, plus reimbursements, shall become available on October 1, [2020] 2021, and shall remain available until September 30, [2021] 2022: *Provided*, That, of the amount made available on October 1, [2020] 2021, under this heading, \$1,500,000,000 shall remain available until September 30, [2022] 2023: *Provided further*, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs shall establish a priority for the provision of medical treatment for veterans who have service-connected disabilities, lower income, or have special needs: *Provided further*, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs shall give priority funding for the provision of basic medical benefits to veterans in enrollment priority groups 1 through 6: *Provided further*, That, notwithstanding any other provision of law, the Secretary of Veterans Affairs may authorize the dispensing of prescription drugs from Veterans Health Administration facilities to enrolled veterans with privately written prescriptions based on requirements established by the Secretary: *Provided further*, That the implementation of the program described in the previous proviso shall incur no additional cost to the Department of Veterans Affairs: *Provided further*, That the Secretary of Veterans Affairs shall ensure that sufficient amounts appropriated under this heading for medical supplies and equipment are available for the acquisition of prosthetics designed specifically for female veterans: *Provided further*, That of the amount that became available on October 1, 2019, under this heading, not less than \$585,000,000 shall be for gender-specific care for women]. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-0160-0-1-703	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	284	338	338
Receipts:			
Current law:			
1130 Pharmaceutical Co-payments, MCCF	466	440	460
1130 Medical Care Collections Fund, Third Party Prescription Claims	129	127	151
1130 Enhanced-use Lease Proceeds, MCCF	1	1	1
1130 Fee Basis 3rd Party MCCF	487	446	784
1130 First Party Collections, MCCF	215	185	226
1130 Third Party Collections, MCCF	2,602	2,638	2,829
1130 Parking Fees, MCCF	4	4	4
1130 Compensated Work Therapy, MCCF	59	66	66
1130 MCCF, Long-term Care Copayments	3	3	2
1140 Payments from Compensation and Pension, MCCF	3	2	2
1199 Total current law receipts	3,969	3,912	4,525
1999 Total receipts	3,969	3,912	4,525
2000 Total: Balances and receipts	4,253	4,250	4,863
Appropriations:			
Current law:			
2101 Medical Care Collections Fund	-3,915	-3,912	-4,527
5099 Balance, end of year	338	338	336

Program and Financing (in millions of dollars)

Identification code 036-0160-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Outpatient care	18,456	17,939	22,441
0002 Inpatient care	7,670	8,884	8,214
0004 Mental health care	6,756	7,325	7,732
0005 Long-term care	3,710	4,510	3,929
0006 Pharmacy	8,116	8,618	9,250
0007 Prosthetics care	3,464	3,764	4,073
0008 Dental care	679	888	812
0009 Rehabilitation	760	771	815
0010 Homeless Grants	544	603	604
0011 Readjustment Counseling	210	222	236
0012 Caregivers (Title I) P.L. 111-163	431	707	1,192
0013 Prior-Year Recoveries	129
0091 Total operating expenses	50,925	54,231	59,298
0101 Outpatient care	882	1,332	527
0102 Inpatient care	317	479	189
0103 Mental health care	61	92	36
0104 Long-term care	106	160	63
0107 Dental care	38	57	23
0108 Rehabilitation	20	30	12
0109 Readjustment Counseling	6	9	4
0191 Total Capital Investment	1,430	2,159	854
0799 Total direct obligations	52,355	56,390	60,152
0801 Medical Services (Reimbursable)	114	137	137
0900 Total new obligations, unexpired accounts	52,469	56,527	60,289
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,388	2,106	1
1021 Recoveries of prior year unpaid obligations	129
1050 Unobligated balance (total)	1,517	2,106	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	750	497
1121 Appropriations transferred from other acct [036-5287]	3,412	3,449	3,725
1131 Unobligated balance of appropriations permanently reduced	-350
1160 Appropriation, discretionary (total)	4,162	3,099	4,222
Advance appropriations, discretionary:			
1170 Advance appropriation	49,161	51,411	56,158
1172 Advance appropriations transferred to other accounts [036-0165]	-15	-15	-15
1172 Advance appropriations transferred to other accounts [036-0169]	-205	-210	-216
1172 Advance appropriations transferred to other accounts [036-0162]	-125
1172 Advance appropriations transferred to other accounts [036-0167]	-27
1180 Advanced appropriation, discretionary (total)	48,789	51,186	55,927
Spending authority from offsetting collections, discretionary:			
1700 Collected	112	135	137
1701 Change in uncollected payments, Federal sources	1	2	2
1750 Spending auth from offsetting collections, disc (total)	113	137	139
1900 Budget authority (total)	53,064	54,422	60,288
1930 Total budgetary resources available	54,581	56,528	60,289
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6
1941 Unexpired unobligated balance, end of year	2,106	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6,623	6,110	9,094
3010 New obligations, unexpired accounts	52,469	56,527	60,289
3011 Obligations ("upward adjustments"), expired accounts	383
3020 Outlays (gross)	-52,683	-53,543	-58,789
3040 Recoveries of prior year unpaid obligations, unexpired	-129
3041 Recoveries of prior year unpaid obligations, expired	-553
3050 Unpaid obligations, end of year	6,110	9,094	10,594
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-4	-6
3070 Change in uncollected pymts, Fed sources, unexpired	-1	-2	-2
3071 Change in uncollected pymts, Fed sources, expired	3

MEDICAL SERVICES—Continued
Program and Financing—Continued

Identification code 036-0160-0-1-703		2019 actual	2020 est.	2021 est.
3090	Uncollected pymts, Fed sources, end of year	-4	-6	-8
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	6,617	6,106	9,088
3200	Obligated balance, end of year	6,106	9,088	10,586
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	53,064	54,422	60,288
Outlays, gross:				
4010	Outlays from new discretionary authority	45,984	46,945	52,078
4011	Outlays from discretionary balances	6,674	6,579	6,711
4020	Outlays, gross (total)	52,658	53,524	58,789
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-77	-41	-42
4033	Non-Federal sources	-218	-94	-95
4040	Offsets against gross budget authority and outlays (total)	-295	-135	-137
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1	-2	-2
4052	Offsetting collections credited to expired accounts	183		
4060	Additional offsets against budget authority only (total)	182	-2	-2
4070	Budget authority, net (discretionary)	52,951	54,285	60,149
4080	Outlays, net (discretionary)	52,363	53,389	58,652
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	25	19	
4180	Budget authority, net (total)	52,951	54,285	60,149
4190	Outlays, net (total)	52,388	53,408	58,652

In 2021, the Administration requests an additional \$2.3 billion over the 2021 advance appropriation of \$87.6 billion for the Department of Veterans Affairs (VA) Medical Care programs, consisting of four appropriations: Medical Services, Medical Community Care, Medical Support and Compliance, and Medical Facilities. Each year, VA updates its budget estimates to incorporate the most recent data on health care utilization rates, actual program experience, and other factors, such as economic trends in unemployment and inflation. As a result of these updates, the adjusted budget estimates more accurately reflect the projected medical demands of veterans enrolled in the VA health care system.

In 2021, the Budget makes robust investments in VA Medical Care programs, including: \$1.2 billion for expansion of the Caregivers program; \$313 million for veteran suicide prevention initiatives; and, \$504 million for opioid prevention and treatment. The Budget continues to support ongoing implementation of MISSION Act requirements that provide veterans greater choice in their health care decisions.

For 2022, the Budget requests \$94.2 billion in advance appropriations for VA Medical Care. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

With the resources requested for 2021 and 2022, VA will provide the highest quality health care services for veterans. VA estimates it will treat 7.2 million patients in 2021 and 7.3 million patients in 2022. Operation Enduring Freedom, Operation Iraqi Freedom, and Operation New Dawn (OEF/OIF/OND) veterans are expected to be 1,160,656 in 2021 (16.1 percent of the total) and 1,230,705 in 2022 (17.0 percent of the total).

Medical Care Collections Fund (MCCF).—VA estimates collections of nearly \$3.9 billion in 2020 and \$4.5 billion in 2021, representing about five percent of available Medical Care resources in both years. VA has the authority to collect inpatient and outpatient co-payments, medication co-payments, and nursing home co-payments; authority for certain income verification; authority to recover third-party insurance payments from veterans for nonservice-connected conditions; and authority to collect revenue from enhanced use leases. These collections also include those

collected from the Compensated Work Therapy Program, Compensation and Living Expenses Program, and the Parking Program.

Medical Services.—For Medical Services, the Budget reflects the following discretionary appropriation funding: the 2020 enacted advance appropriation of \$51.4 billion, together with a \$350 million rescission included in the Further Consolidated Appropriations Act, 2020 (P.L. 116-94); the 2021 advance appropriation of \$56.2 billion, together with the annual appropriation request of \$497 million; and the 2022 advance appropriation request of \$58.9 billion. This appropriation provides for a comprehensive, integrated health care delivery system that addresses the needs of eligible veterans and beneficiaries in VA facilities and through non-VA, or community care, providers, including medical centers, outpatient clinic facilities, contract hospitals, and outpatient programs on a fee basis. Hospital and outpatient care is also provided by the private sector for certain dependents and survivors of veterans under the Civilian Health and Medical Programs for the Department of Veterans Affairs (CHAMPVA). In addition to this funding, the Budget reflects balances from mandatory funding provided in section 801 of the Veterans Choice Act (P.L. 113-146): \$47 million in unobligated balances remained available at the start of 2020.

WORKLOAD

Estimated obligations and workload for seven categories of health care services are shown below: outpatient care, inpatient care, mental health care, long term services and supports, prosthetics care, dental care, and rehabilitation care. In addition, estimated obligations and workload are also shown for six programs: CHAMPVA and other dependent programs, readjustment counseling, Caregivers, pharmacy, and the Camp Lejeune Family Member.

Ambulatory Care (Outpatient) Outpatient care.—Obligations for 2021 are estimated to be \$22,968 million in the Medical Services account and \$6,149 million in the Medical Community Care account for this health service category, which includes funding for ambulatory care in VA hospital-based and community-based clinics.

Estimated operating levels are:

Visits	2019 actual	2020 est.	2021 est.
VA	88,944,370	90,361,882	91,731,386
Community Care	29,335,871	31,597,370	32,829,352
Total	118,280,241	121,959,252	124,560,738

Inpatient care.—Obligations for 2021 are estimated to be \$8,403 million in the Medical Services account, and \$4,381 million in the Medical Community Care account.

Estimated operating levels are:

Patients Treated	2019 actual	2020 est.	2021 est.
Acute Hospital, Medicine	341,238	340,010	338,408
Acute Hospital, Neurology	4,743	4,400	4,022
Acute Hospital, Surgery	87,914	84,402	80,818
Acute Hospital (Community Care)	203,125	215,543	227,882
Subacute (Intermediate)	1,168	1,017	859
Total	638,188	645,372	651,989

Mental health care.—Obligations for 2021 are estimated to be \$7,768 million in the Medical Services account and \$443 million in the Medical Community Care account for the inpatient, residential, and outpatient care of veterans with conditions related to mental illness, including alcohol and drug problems. Mental health services and operations ensure the availability of a range of services, from treatment of a variety of common mental health conditions in primary care to more intensive interventions in specialty mental health programs for more severe and persisting mental health conditions. Specialty services such as evidence-based psychotherapies, intensive outpatient programs, residential rehabilitation treatment, and inpatient care are available to meet the range of veterans' needs.

Estimated operating levels are:

Average Daily Census	2019 actual	2020 est.	2021 est.
Acute Psychiatry	2,216	2,136	2,074
Acute Psychiatry (Community Care)	557	572	585
Residential Recovery Programs	5,631	5,527	5,488
Total	8,404	8,235	8,147

Long term services and supports (LTSS).—Obligations for 2021 are estimated to be \$3,992 million in the Medical Services account and \$3,259 million in the Medical Community Care account for the care of veteran residents in VA- and community-operated long-term care programs. VA offers a spectrum of geriatric and extended care services to veterans enrolled in its health care system. The spectrum of long-term care services includes non-institutional and institutional services. All VA medical centers provide home- and community-based long-term care programs. The patient-focused approach supports veterans who wish to live safely at home in their own communities for as long as possible.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
LTSS Facility-Based Services: Average Daily Census			
VA Community Living Center (Nursing Home)	8,817	8,702	8,635
Community Nursing Home	10,430	10,913	11,479
Total	19,427	19,615	20,114
LTSS Home & Community-Based Services: Visits/Procedures			
Community Adult Day Health Care	836,161	771,934	702,613
Community Residential Care	96,963	91,285	86,342
Home Hospice Care	578,744	588,091	595,536
Home Respite Care	291,772	284,273	281,473
Home Telehealth	856,693	822,441	794,408
Home-Based Primary Care	1,832,578	1,863,135	1,885,009
Homemaker/Home Health Aide Programs	13,278,245	13,953,457	14,504,304
Purchased Skilled Home Care	2,084,280	2,137,463	2,339,597
Spinal Cord Injury Home Care	22,225	22,545	22,910
State Adult Day Health Care	14,075	13,795	13,595
VA Adult Day Health Care	117,933	104,728	95,710
Total	20,009,669	20,653,147	21,220,956

Prosthetics care.—Obligations in the Medical Services account for 2021 are estimated to be \$4,073 million for veterans. Prosthetic and Sensory Aids Service is an integrated delivery system designed to provide medically prescribed prosthetic and sensory aids, medical devices, assistive aids, repairs and services to eligible disabled veterans to maximize their independence and enhance their quality of life. This includes, but is not limited to, artificial limbs, hearing aids, and home oxygen; items that improve accessibility such as ramps and vehicle modifications, wheelchairs and mobility aids; and devices surgically placed in the veteran, such as stents.

Dental care.—Obligations for 2021 are estimated to be \$835 million in the Medical Services account and \$388 million in the Medical Community Care account for the treatment of veterans who require dental care. Dental care services are provided to eligible veterans with a "medical condition negatively impacted by poor dentition." These patients may include poorly controlled diabetic patients, patients with head or neck cancer, organ transplant patients, and others. Veterans with a 100-percent service-connected disability are eligible for comprehensive dental care as needed. In addition, homeless veterans enrolled in certain residential treatment programs are also eligible for dental treatment.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
Procedures			
VA	5,026,000	5,257,000	5,486,000
Community Care	863,000	1,015,000	1,169,000
Total	5,889,000	6,272,000	6,655,000

Rehabilitation.—Obligations for 2021 are estimated to be \$827 million in the Medical Services account and for the provision of rehabilitative care, including Blind Rehabilitation and Spinal Cord Injury programs. These services include inpatient and outpatient blind and vision rehabilitation programs, adjustment to blindness counseling, patient and family education, and assistive technology. The mission of Spinal Cord Injury and Disorders (SCI/D) services is to promote the health, independence, quality of life and productivity of individuals with spinal cord injury and disorders through efficient delivery of acute rehabilitation, psychological, social, vocational, medical and surgical care, professional training, as well as patient and family education.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
Average Daily Census			
Rehabilitative Medicine	166	165	165
Blind Rehabilitation	253	252	253
Spinal Cord Injury	739	737	739

Total	1,158	1,154	1,157
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Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) and other Dependent Programs.—Obligations for 2021 are estimated to be \$337 million in the Medical Services account and \$1,739 million in the Medical Community Care account for pharmacy and medical service personnel for CHAMPVA and other dependent programs.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
Unique Patients			
CHAMPVA In-house Treatment Initiative (CITI)	12,116	11,100	10,000
CHAMPVA (excluding CITI)	395,354	391,316	413,617
Foreign Medical Program (medical only)	236	4,700	4,850
Foreign Compensation & Pension Exams	287	350	350
Spina Bifida Health Care Benefits Program	889	885	880
Total	408,882	408,351	429,697

Readjustment Counseling.—Obligations in the Medical Services account for 2021 are estimated to \$236 million. This program provides readjustment counseling services at VA Vet Centers. Vet Centers are community-based counseling centers that provide a wide range of social and psychological services to include: professional readjustment counseling to veterans who have served in a combat zone, military sexual trauma counseling, bereavement counseling for families who experience an active duty death, substance abuse assessments and referral, medical referral, Veterans Benefits Administration (VBA) benefits explanation and referral, and employment counseling. Services are also extended to the family members of eligible veterans for issues related to military service and the readjustment of those veterans.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
Visits			
Total	1,891,000	1,862,000	1,932,000

Caregivers (Title I) Programs.—Obligations in the Medical Services account for 2021 are estimated to be \$1,192 million. The Caregivers and Veterans Omnibus Health Services Act of 2010 (P.L. 111–163), authorized VA to provide assistance and support services for Caregivers of eligible veterans. This program provides a wide range of services for primary caregivers to include: a monthly personal caregiver stipend, respite care, access to mental health services, beneficiary travel, and health care benefits through the existing CHAMPVA Program.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
Caregiver Stipend (dollars in millions)	\$347	\$442	\$871
Participants in the Program of Comprehensive Assistance for Family Caregivers	\$22,145	\$33,831	\$56,666

Pharmacy.—Obligations in the Medical Services account for 2021 are estimated to be \$9,250 million for pharmacy costs. VA's use of medication therapies is a fundamental underpinning of how VA delivers health care today. VA's primary focus is on diagnosis and treatment in an ambulatory environment and home environment basis with institutional care as the modality of last resort.

Estimated operating levels are:

	2019 actual	2020 est.	2021 est.
Number of 30-day Prescriptions (in millions)			
Total	296	300	304

Camp Lejeune Family Member Program.—Obligations in the Medical Community Care account for 2021 are estimated to be \$3 million for the Camp Lejeune Family Member program. The Honoring America's Veterans and Caring for Camp Lejeune Families Act of 2012 (P.L. 112–154) extended eligibility for VA hospital care and medical services to certain veterans who were stationed at Camp Lejeune, North Carolina, for at least 30 days between 1957 and 1987. Family members of such veterans who resided, or were in utero, at Camp Lejeune for at least 30 days during that period are eligible for reimbursement of hospital care and medical services for 15 specified illnesses and conditions, and VA is the payer of last resort.

State Home Programs.—Obligations in the Medical Community Care account for 2021 are estimated to be \$1,639 million for State Home Programs. State Veterans Homes are facilities approved by VA that a State has established primarily for the care of Veterans disabled by age, disease, or otherwise, who, because of such disability, are incapable of earning a living. VA pays a per diem to States for the care of eligible veterans, but

MEDICAL SERVICES—Continued

the per diem rates are different for each of the three levels of care offered: Nursing Home Care, Domiciliary Care, or Adult Day Health Care (ADHC).

Patients Treated	2019 actual	2020 est.	2021 est.
State Home Nursing	30,470	30,427	30,306
State Home Domiciliary	4,013	3,901	3,725
Average Daily Census (ADHC)	52	74	88

Public Law 113-146, Veterans Choice Act, Section 801.— The Veterans Access, Choice, and Accountability Act of 2014 (P.L. 113-146) ("Veterans Choice Act") was enacted on August 7, 2014. The 2021 Budget supports continued implementation of the Veterans Choice Act and the Administration's goal of providing timely, high-quality health care for our Nation's veterans. The Veterans Choice Act provided \$5 billion in mandatory funding in section 801 to increase veterans' access to health care by hiring more physicians and staff and improving the VA's physical infrastructure. Obligations in the Medical Services account for 2021 are estimated to be \$1.5 million for section 301 activities.

Estimated obligations in the Medical Services account are:

	2019 actual	2020 est.	2021 est.
FTE	25	25	0
Dollars in Millions	2019 actual	2020 est.	2021 est.
Prior Year Recoveries	2	0	0
Section 301	23	23	2
Total	25	23	2

Object Classification (in millions of dollars)

Identification code 036-0160-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	20,956	22,452	23,941
11.3 Other than full-time permanent	445	476	508
11.5 Other personnel compensation	2,410	2,583	2,754
11.9 Total personnel compensation	23,811	25,511	27,203
12.1 Civilian personnel benefits	7,730	8,368	9,074
13.0 Benefits for former personnel	9	9	9
21.0 Travel & Transportation of Persons	1,099	1,114	1,157
22.0 Transportation of things	23	23	24
23.2 Rent, Communications & Utilities	532	541	562
24.0 Printing and reproduction	10	11	11
25.2 Other contractual services	4,723	5,038	6,840
26.0 Supplies & Materials	12,196	12,950	13,729
31.0 Equipment	1,430	2,159	854
41.0 Grants, Subsidies & Contributions	654	657	680
42.0 Insurance claims and indemnities	9	9	9
44.0 Prior-year Recoveries	129		
99.0 Direct obligations	52,355	56,390	60,152
99.0 Reimbursable obligations	114	137	137
99.9 Total new obligations, unexpired accounts	52,469	56,527	60,289

Employment Summary

Identification code 036-0160-0-1-703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	247,323	254,449	264,637
2001 Reimbursable civilian full-time equivalent employment	619	619	619

MEDICAL COMMUNITY CARE

For necessary expenses for furnishing health care to individuals pursuant to chapter 17 of title 38, United States Code, at non-Department facilities, **[\$4,521,400,000]** \$1,380,800,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, **[2019]** 2020, and shall remain available until September 30, 2022; and, in addition, **[\$17,131,179,000]** \$20,148,244,000, plus reimbursements, shall become available on October 1, **[2020]** 2021, and shall remain available until September 30, **[2021: Provided,** That, of the amount made available on October 1, 2020, under this heading, \$2,000,000,000 shall remain available until September 30, 2022: *Provided further,* That \$615,000,000 of the additional amounts provided for fiscal year 2020 under this heading in this Act shall be derived by transfer from the Veterans Choice Fund pursuant to the authority in section 802(c)(4) of the Veterans Access, Choice, and Accountability Act of 2014, as amended (38 U.S.C. 1701 note), from prior year unobligated balances

in that Fund that were provided by section 510 of the VA MISSION Act of 2018 (Public Law 115-182) **[2023. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)**

Program and Financing (in millions of dollars)

Identification code 036-0140-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Outpatient Care	3,661	4,006	6,149
0002 Dental Care	148	361	388
0003 Inpatient Care	1,925	3,757	4,381
0004 LTSS: Facility Based Services	912	1,214	1,300
0005 LTSS: Home & Community Based Services	626	1,786	1,959
0006 Mental Health Care	16	362	443
0007 CHAMPVA & Other Dependent Programs	960	1,684	1,739
0008 State Home Programs	1,257	1,515	1,639
0009 Camp Lejeune, Veterans Families	2	1	3
0010 Network Development and Maintenance	109	1,154	1,267
0011 PC3 Admin	413		
0013 Prior Year Recoveries	133		
0900 Total new obligations, unexpired accounts	10,162	15,840	19,268
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	491	142	
1021 Recoveries of prior year unpaid obligations	131		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	623	142	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,000	3,907	1,381
1121 Appropriations transferred from other acct [036-5287]	486	446	784
1121 Appropriations transferred from other acct [036-0172]		615	
1160 Appropriation, discretionary (total)	1,486	4,968	2,165
Advance appropriations, discretionary:			
1170 Advance appropriation	8,385	10,758	17,131
1172 Advance appropriations transferred to other accounts [036-0169]	-27	-28	-28
1172 Advance appropriations transferred to other accounts [036-0167]	-69		
1172 Advance appropriations transferred to other accounts [036-0152]	-94		
1180 Advanced appropriation, discretionary (total)	8,195	10,730	17,103
1900 Budget authority (total)	9,681	15,698	19,268
1930 Total budgetary resources available	10,304	15,840	19,268
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	142		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,508	592	4,198
3010 New obligations, unexpired accounts	10,162	15,840	19,268
3011 Obligations ("upward adjustments"), expired accounts	690		
3020 Outlays (gross)	-12,196	-12,234	-17,221
3040 Recoveries of prior year unpaid obligations, unexpired	-131		
3041 Recoveries of prior year unpaid obligations, expired	-441		
3050 Unpaid obligations, end of year	592	4,198	6,245
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,508	592	4,198
3200 Obligated balance, end of year	592	4,198	6,245
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9,681	15,698	19,268
Outlays, gross:			
4010 Outlays from new discretionary authority	9,124	11,772	14,451
4011 Outlays from discretionary balances	3,072	462	2,770
4020 Outlays, gross (total)	12,196	12,234	17,221
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-31		
4033 Non-Federal sources	-269		
4040 Offsets against gross budget authority and outlays (total)	-300		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	299		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	300		
4070 Budget authority, net (discretionary)	9,681	15,698	19,268

4080	Outlays, net (discretionary)	11,896	12,234	17,221
4180	Budget authority, net (total)	9,681	15,698	19,268
4190	Outlays, net (total)	11,896	12,234	17,221

The Medical Community Care appropriation provides funding for community care services to eligible veterans, which has been an essential part of the Department of Veterans Affairs (VA) health care system for decades.

The Budget reflects the following discretionary appropriation funding from 2020 through 2022: the 2020 enacted advance appropriation of \$10.8 billion, together with an additional \$4.5 billion as provided by the Further Consolidated Appropriations Act, 2020 (Public Law 116-94); the 2021 advance appropriation request of \$17.1 billion and additional funding of \$1.4 billion; and the 2022 advance appropriation request of \$20.1 billion.

The 2022 request for advance appropriation fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

Object Classification (in millions of dollars)

Identification code 036-0140-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0	Travel and transportation of persons	11	
25.2	Other Contractual Services	8,468	14,031
26.0	Supplies and materials	294	294
41.0	State Homes	1,256	1,515
44.0	Prior Year Recoveries	133	
99.9	Total new obligations, unexpired accounts	10,162	15,840

MEDICAL SUPPORT AND COMPLIANCE

For necessary expenses in the administration of the medical, hospital, nursing home, domiciliary, construction, supply, and research activities, as authorized by law; administrative expenses in support of capital policy activities; and administrative and legal expenses of the Department for collecting and recovering amounts owed the Department as authorized under chapter 17 of title 38, United States Code, and the Federal Medical Care Recovery Act (42 U.S.C. 2651 et seq.), **[\$98,800,000]** \$300,000,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, **[2019]** 2020; and, in addition, **[\$7,914,191,000]** \$8,403,117,000, plus reimbursements, shall become available on October 1, **[2020]** 2021, and shall remain available until September 30, **[2021]** 2022: *Provided*, That, of the amount made available on October 1, **[2020]** 2021, under this heading, **[\$150,000,000]** \$300,000,000 shall remain available until September 30, **[2022]** 2023. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0152-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	VAMCs & Other Field Activities	4,378	4,407
0002	VISN Headquarters	194	197
0003	VHA Central Office	863	880
0005	Office of Informatics and Information Governance	195	199
0008	Employee Education Service Center	82	83
0009	VHA Service Center	267	272
0013	Consolidated Mail Outpatient Pharmacies	19	20
0014	National Center for Patient Safety	7	7
0016	Community Care	897	1,001
0017	VHA Member Services	212	216
0019	Readjustment Counseling	8	8
0020	Prior Year Recoveries	1	
0026	P.L. 113-146, Section 301/302 Activities	2	
0091	Total operating expenses	7,125	7,290
0101	VAMCs & Other Field Activities	41	30
0102	VISN Headquarters	1	1
0103	VHA Central Office	2	1
0108	Employee Education Service Center	1	1
0117	VHA Member Services	3	2
0191	Total Capital Investment	48	35
0293	Total direct program	7,173	7,325
0799	Total direct obligations	7,173	7,325

0801	Medical Support and Compliance (Reimbursable)	50	19	19
0900	Total new obligations, unexpired accounts	7,223	7,344	8,206

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	112	36	10
1021	Recoveries of prior year unpaid obligations	1		
1050	Unobligated balance (total)	113	36	10
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation		99	300
1131	Unobligated balance of appropriations permanently reduced [Rescission P.L. 116-94]		-10	
1160	Appropriation, discretionary (total)		89	300
Advance appropriations, discretionary:				
1170	Advance appropriation	7,239	7,239	7,914
1172	Advance appropriations transferred to other accounts [036-0169]	-29	-29	-30
1173	Advance appropriations transferred from other accounts [036-0140]	94		
1173	Advance appropriations transferred from other accounts [036-0169]	5		
1174	Advance appropriations permanently reduced	-211		
1180	Advanced appropriation, discretionary (total)	7,098	7,210	7,884
Spending authority from offsetting collections, discretionary:				
1700	Collected	50	19	19
1900	Budget authority (total)	7,148	7,318	8,203
1930	Total budgetary resources available	7,261	7,354	8,213
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	36	10	7

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,133	1,277	1,411
3010	New obligations, unexpired accounts	7,223	7,344	8,206
3011	Obligations ("upward adjustments"), expired accounts	79		
3020	Outlays (gross)	-7,015	-7,210	-8,023
3040	Recoveries of prior year unpaid obligations, unexpired	-1		
3041	Recoveries of prior year unpaid obligations, expired	-142		
3050	Unpaid obligations, end of year	1,277	1,411	1,594
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-1
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,131	1,276	1,410
3200	Obligated balance, end of year	1,276	1,410	1,593

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	7,148	7,318	8,203
Outlays, gross:				
4010	Outlays from new discretionary authority	6,016	6,496	7,272
4011	Outlays from discretionary balances	997	714	751
4020	Outlays, gross (total)	7,013	7,210	8,023
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-65	-19	-19
4033	Non-Federal sources	-11		
4040	Offsets against gross budget authority and outlays (total)	-76	-19	-19
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	26		
4060	Additional offsets against budget authority only (total)	26		
4070	Budget authority, net (discretionary)	7,098	7,299	8,184
4080	Outlays, net (discretionary)	6,937	7,191	8,004
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	2		
4180	Budget authority, net (total)	7,098	7,299	8,184
4190	Outlays, net (total)	6,939	7,191	8,004

Medical Support and Compliance finances the expenses of management, security, and administration of the Department of Veterans Affairs (VA) healthcare system through the operation of VA medical centers, other facilities, Veterans Integrated Service Network offices and facility director offices, chief of staff operations, quality of care oversight, legal services,

MEDICAL SUPPORT AND COMPLIANCE—Continued

billing and coding activities, procurement, financial management, and human resource management.

For Medical Support and Compliance, the Budget reflects the following discretionary appropriation funding from 2020 through 2022: the 2020 enacted advance appropriation of \$7.2 billion, together with an annual appropriation of \$98.8 million and a \$10 million rescission of funds included in the Further Consolidated Appropriations Act, 2020 (Public Law 116–96); the 2021 advance appropriation request of \$7.9 billion and additional funding of \$300 million; and the 2022 advance appropriation request of \$8.4 billion. In addition to this funding, the Budget reflects balances from mandatory funding provided in section 801 of the Veterans Access, Choice, and Accountability Act of 2014 (Public Law 113–146): \$14.4 million in unobligated balances remained available at the start of 2020.

The 2022 advance appropriations request continues the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

Object Classification (in millions of dollars)

Identification code 036–0152–0–1–703	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3,483	3,812	4,090
11.1 Full-time permanent - Choice Act, P.L. 113–146, Sec. 801	2		
11.3 Other than full-time permanent	75	83	89
11.5 Other personnel compensation	404	439	471
11.9 Total personnel compensation	3,964	4,334	4,650
12.1 Civilian personnel benefits	1,391	1,516	1,635
13.0 Benefits for former personnel	2	2	2
21.0 Travel & Transportation of Persons	69	76	78
22.0 Transportation of things	13	14	14
23.3 Communications, utilities, and miscellaneous charges	100	97	100
24.0 Printing and reproduction	29	30	30
25.2 Other contractual services	1,445	1,107	1,526
26.0 Medical supplies and materials	102	104	106
31.0 Equipment	48	35	36
42.0 Insurance claims and indemnities	10	10	10
99.0 Direct obligations	7,173	7,325	8,187
99.0 Reimbursable obligations	50	19	19
99.9 Total new obligations, unexpired accounts	7,223	7,344	8,206

Employment Summary

Identification code 036–0152–0–1–703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	54,150	56,495	59,861
2001 Reimbursable civilian full-time equivalent employment	367	367	367

DOD-VA HEALTH CARE SHARING INCENTIVE FUND

Program and Financing (in millions of dollars)

Identification code 036–0165–0–1–703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 DOD-VA health care sharing incentive fund	13	13	16
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	79	80
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [097–0130]	15	15	15
1131 Unobligated balance of appropriations permanently reduced		–16	
1160 Appropriation, discretionary (total)	15	–1	15
Advance appropriations, discretionary:			
1173 Advance appropriations transferred from other accounts [036–0160]	15	15	15
1900 Budget authority (total)	30	14	30
1930 Total budgetary resources available	92	93	110

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	79	80	94
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	23	23	6
3010 New obligations, unexpired accounts	13	13	16
3020 Outlays (gross)	–13	–30	–22
3050 Unpaid obligations, end of year	23	6	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	23	23	6
3200 Obligated balance, end of year	23	6	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	14	30
Outlays, gross:			
4011 Outlays from discretionary balances	13	30	22
4180 Budget authority, net (total)	30	14	30
4190 Outlays, net (total)	13	30	22

The purpose of the Department of Defense-Veterans Affairs Health Care Sharing Incentive Fund, often referred to as the Joint Incentive Fund (JIF), is to enable the Departments to carry out a program to identify and provide incentives to implement creative sharing initiatives at the facility, intra-regional and nationwide levels. The JIF promotes collaboration and new approaches to problem solving to enable the Departments to improve the coordination of health care services. The Departments have established the fund and developed processes and criteria to solicit and select projects. Section 721 of the National Defense Authorization Act for Fiscal Year 2003, Public Law 107–314, established the fund and requires the Departments to establish a joint incentive program. In 2021, each Secretary shall contribute a minimum of \$15 million to the fund after the appropriation is enacted.

Object Classification (in millions of dollars)

Identification code 036–0165–0–1–703	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	11	11	14
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	13	13	16

Employment Summary

Identification code 036–0165–0–1–703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7	22	22

MEDICAL FACILITIES

For necessary expenses for the maintenance and operation of hospitals, nursing homes, domiciliary facilities, and other necessary facilities of the Veterans Health Administration; for administrative expenses in support of planning, design, project management, real property acquisition and disposition, construction, and renovation of any facility under the jurisdiction or for the use of the Department; for oversight, engineering, and architectural activities not charged to project costs; for repairing, altering, improving, or providing facilities in the several hospitals and homes under the jurisdiction of the Department, not otherwise provided for, either by contract or by the hire of temporary employees and purchase of materials; for leases of facilities; and for laundry services; \$150,000,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, 2020; and, in addition, [\$6,433,265,000] \$6,734,680,000, plus reimbursements, shall become available on October 1, [2020] 2021, and shall remain available until September 30, [2021] 2022: Provided, That, of the amount made available on October 1, [2020] 2021, under this heading, [\$250,000,000] \$500,000,000 shall remain available until September 30, [2022] 2023. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 036-0162-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Engineering & Environmental Management Services	625	708	724
0003 Engineering Service	896	1,016	930
0004 Grounds Maintenance & Fire Protection	105	119	122
0005 Leases	761	992	845
0007 Non-Recurring Maintenance	26		
0008 Operating Equipment Maintenance & Repair	236	267	273
0009 Other Facilities Operation Support	35	40	41
0011 Plant Operation	806	914	879
0012 Recurring Maintenance & Repair	551	625	639
0013 Textile Care Processing & Management	168	191	195
0014 Transportation	202	229	234
0023 Prior-Year Recoveries	75		
0091 Total operating expenses	4,486	5,101	4,882
0102 Engineering & Environmental Management Services	54	28	57
0103 Engineering Service	10	5	5
0104 Grounds Maintenance & Fire Protection	3	2	2
0105 Leases	272	245	209
0106 Non-Recurring Maintenance	1,727	1,564	1,859
0107 Operating Equipment Maintenance & Repair	14	7	7
0108 Other Facilities Operation Support	2	1	1
0109 Plant Operation	18	9	9
0110 Recurring Maintenance & Repair	32	17	17
0111 Textile Care Processing & Management	19	10	10
0122 Transportation	4	2	2
0191 Total capital investment	2,155	1,890	2,178
0799 Total direct obligations	6,641	6,991	7,060
0801 Medical Facilities (Reimbursable)	19	18	18
0900 Total new obligations, unexpired accounts	6,660	7,009	7,078
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,078	1,403	515
1021 Recoveries of prior year unpaid obligations	75		
1050 Unobligated balance (total)	1,153	1,403	515
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	893		150
Advance appropriations, discretionary:			
1170 Advance appropriation	5,914	6,142	6,433
1172 Advance appropriations transferred to other accounts [036-0169]	-38	-39	-40
1173 Advance appropriations transferred from other accounts [036-0160]	125		
1180 Advanced appropriation, discretionary (total)	6,001	6,103	6,393
Spending authority from offsetting collections, discretionary:			
1700 Collected	18	18	20
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	19	18	20
1900 Budget authority (total)	6,913	6,121	6,563
1930 Total budgetary resources available	8,066	7,524	7,078
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	1,403	515	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,632	4,121	4,259
3010 New obligations, unexpired accounts	6,660	7,009	7,078
3011 Obligations ("upward adjustments"), expired accounts	198		
3020 Outlays (gross)	-6,121	-6,871	-6,954
3040 Recoveries of prior year unpaid obligations, unexpired	-75		
3041 Recoveries of prior year unpaid obligations, expired	-173		
3050 Unpaid obligations, end of year	4,121	4,259	4,383
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,630	4,120	4,258
3200 Obligated balance, end of year	4,120	4,258	4,382
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,913	6,121	6,563

Outlays, gross:				
4010 Outlays from new discretionary authority	3,836	4,753	5,042	
4011 Outlays from discretionary balances	2,200	2,055	1,877	
4020 Outlays, gross (total)	6,036	6,808	6,919	
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030 Federal sources	-42	-10	-10	
4033 Non-Federal sources	-34	-8	-10	
4040 Offsets against gross budget authority and outlays (total)	-76	-18	-20	
Additional offsets against gross budget authority only:				
4050 Change in uncollected pymts, Fed sources, unexpired	-1			
4052 Offsetting collections credited to expired accounts	58			
4060 Additional offsets against budget authority only (total)	57			
4070 Budget authority, net (discretionary)	6,894	6,103	6,543	
4080 Outlays, net (discretionary)	5,960	6,790	6,899	
Mandatory:				
Outlays, gross:				
4101 Outlays from mandatory balances	85	63	35	
4180 Budget authority, net (total)	6,894	6,103	6,543	
4190 Outlays, net (total)	6,045	6,853	6,934	

Medical Facilities provides for the operations and maintenance of the capital infrastructure required to provide healthcare to the Nation's veterans. These costs include utilities, engineering, capital planning, leases, laundry services, grounds maintenance, trash removal, housekeeping, fire protection, pest management, facility repair and maintenance, and property disposition and acquisition.

For Medical Facilities, the Budget reflects the following discretionary appropriation funding from 2020 through 2022: the 2020 advance appropriation of \$6.1 billion; the 2021 advance appropriation of \$6.4 billion and annual appropriation request of \$150 million; and the 2022 advance appropriation request of \$6.7 billion. In addition to this funding, the Budget reflects balances from mandatory funding provided in section 801 of the Veterans Access, Choice, and Accountability Act of 2014 (P.L. 113-146): \$26 million in unobligated balances remained available at the start of 2020.

The 2022 advance appropriation request fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

Object Classification (in millions of dollars)

Identification code 036-0162-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,240	1,317	1,374
11.3 Other than full-time permanent	26	28	29
11.5 Other personnel compensation	143	152	158
11.9 Total personnel compensation	1,409	1,497	1,561
12.1 Civilian personnel benefits	497	531	563
13.0 Benefits for former personnel	1	1	1
21.0 Travel & Transportation of Persons	45	51	51
22.0 Transportation of things	16	18	18
23.2 Rent, Communications & Utilities	1,276	1,621	1,411
24.0 Printing and reproduction	1	1	1
25.2 Other Contractual Services	805	989	884
26.0 Supplies & Materials	357	387	387
31.0 Equipment	98	81	110
32.0 Lands & Structures	2,056	1,809	2,068
42.0 Insurance claims and indemnities	5	5	5
44.0 Prior Year Recoveries	75		
99.0 Direct obligations	6,641	6,991	7,060
99.0 Reimbursable obligations	19	18	18
99.9 Total new obligations, unexpired accounts	6,660	7,009	7,078

Employment Summary

Identification code 036-0162-0-1-703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	25,047	25,922	26,709
2001 Reimbursable civilian full-time equivalent employment	88		

MEDICAL AND PROSTHETIC RESEARCH

For necessary expenses in carrying out programs of medical and prosthetic research and development as authorized by chapter 73 of title 38, United States Code, [S\$800,000,000] \$787,000,000, plus reimbursements, [shall] to remain available until September 30, [2021] 2022: *Provided*, That the Secretary of Veterans Affairs shall ensure that sufficient amounts appropriated under this heading are available for prosthetic research specifically for female veterans, and for toxic exposure research. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0161-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Bio-medical laboratory science research	345	363	356
0002 Rehabilitation research	110	115	113
0003 Health services research	110	116	113
0004 Clinical science research	219	231	227
0091 Total operating expenses	784	825	809
0799 Total direct obligations	784	825	809
0801 Medical and Prosthetic Research (Reimbursable)	35	55	55
0900 Total new obligations, unexpired accounts	819	880	864
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	146	179	104
1021 Recoveries of prior year unpaid obligations	37		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	184	179	104
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	779	800	787
1131 Unobligated balance of appropriations permanently reduced		-50	
1160 Appropriation, discretionary (total)	779	750	787
Spending authority from offsetting collections, discretionary:			
1700 Collected	35	55	55
1900 Budget authority (total)	814	805	842
1930 Total budgetary resources available	998	984	946
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	179	104	82
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	305	357	425
3010 New obligations, unexpired accounts	819	880	864
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-723	-812	-834
3040 Recoveries of prior year unpaid obligations, unexpired	-37		
3041 Recoveries of prior year unpaid obligations, expired	-10		
3050 Unpaid obligations, end of year	357	425	455
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	303	356	424
3200 Obligated balance, end of year	356	424	454
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	814	805	842
Outlays, gross:			
4010 Outlays from new discretionary authority	349	571	598
4011 Outlays from discretionary balances	374	241	236
4020 Outlays, gross (total)	723	812	834
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-22	-39	-39
4033 Non-Federal sources	-16	-16	-16
4040 Offsets against gross budget authority and outlays (total)	-38	-55	-55
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		

4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	779	750	787
4080 Outlays, net (discretionary)	685	757	779
4180 Budget authority, net (total)	779	750	787
4190 Outlays, net (total)	685	757	779

For 2021, the total budgetary resources of over \$2 billion is comprised of \$787 million in direct appropriations, \$669 million in medical care support such as physicians' pay, utilities and other overhead, \$540 million in Federal grants and other non-Federal resources, and \$55 million in reimbursements. The Department of Veterans Affairs' (VA) research program will support 3,275 full time equivalents through direct appropriations and reimbursable resources. These combined resources will support approximately 2,200 research projects.

This account is an intramural research program with outstanding success leading to critical clinical achievements that improve the health and quality of life for veterans and the Nation. As a health research program focused exclusively on the needs of veterans, VA research continues to play a vital role in the care and rehabilitation of our men and women who have served in uniform. Building on more than 90 years of discovery and innovation engaging veterans as research volunteers, VA research has a proud track record of transforming VA healthcare by bringing new evidence-based treatments and technologies into everyday clinical care. In 2021, VA will continue to invest in several cross-cutting clinical priorities, including suicide prevention, opioid safety and pain management, post-traumatic stress disorder (PTSD), traumatic brain injury (TBI), mental health, and Gulf War Illness. VA research has led the way in developing effective treatments, behavioral interventions, and devices in clinical trials. These investments support VA's strong track record of facilitating the implementation of research findings directly into clinical practice.

SUMMARY OF PROGRAM RESOURCES

	[in millions of dollars]		
	2019 actual	2020 est.	2021 est.
Medical and prosthetic research appropriation	779	800	787
Medical Care Support	618	648	669
Other Federal and Non-Federal Resources	528	540	540
Reimbursements	35	55	55
Total Program Resources	1,961	2,042	2,051
FTE	2019 a	2020 e.	2021 e.
	3,248	3,275	3,275

The Further Consolidated Appropriations Act, 2020 (P.L. 116-94) rescinded \$50 million in prior year unobligated balances. Medical Care Support includes funding from the Medical Services, Medical Support and Compliance, and Medical Facilities appropriations to support research.

Object Classification (in millions of dollars)

Identification code 036-0161-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	250	264	264
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	258	272	272
12.1 Civilian personnel benefits	95	100	98
21.0 Employee travel	6	6	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	317	334	327
26.0 Supplies and materials	45	47	46
31.0 Equipment	62	65	59
99.0 Direct obligations	784	825	809
99.0 Reimbursable obligations	35	55	55
99.9 Total new obligations, unexpired accounts	819	880	864

Employment Summary

Identification code 036-0161-0-1-703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3,110	3,137	3,137

2001 Reimbursable civilian full-time equivalent employment	138	138	138
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JOINT DEPARTMENT OF DEFENSE-DEPARTMENT OF VETERANS AFFAIRS MEDICAL FACILITY DEMONSTRATION FUND

Program and Financing (in millions of dollars)

Identification code 036-0169-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Joint DOD-VA Medical Facility Demonstration Fund (Direct)	433	459	466
0801 Joint DOD-VA Medical Facility Demonstration Fund (Reimbursable)	11	17	17
0900 Total new obligations, unexpired accounts	444	476	483
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	10	3
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [036-0167]	8	8	8
1121 Appropriations transferred from other acct [097-0130]	113	127	137
1121 Appropriations transferred from other acct [036-5287]	17	17	18
1160 Appropriation, discretionary (total)	138	152	163
Advance appropriations, discretionary:			
1172 Advance appropriations transferred to other accounts [036-0152]	-5		
1173 Advance appropriations transferred from other accounts [036-0160]	205	210	216
1173 Advance appropriations transferred from other accounts [036-0140]	27	28	28
1173 Advance appropriations transferred from other accounts [036-0152]	29	29	30
1173 Advance appropriations transferred from other accounts [036-0162]	38	39	40
1180 Advanced appropriation, discretionary (total)	294	306	314
Spending authority from offsetting collections, discretionary:			
1700 Collected	11	11	13
1900 Budget authority (total)	443	469	490
1930 Total budgetary resources available	454	479	493
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	3	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	83	73	90
3010 New obligations, unexpired accounts	444	476	483
3011 Obligations ("upward adjustments"), expired accounts	17		
3020 Outlays (gross)	-462	-459	-493
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	73	90	80
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	83	73	90
3200 Obligated balance, end of year	73	90	80
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	443	469	490
Outlays, gross:			
4010 Outlays from new discretionary authority	381	422	442
4011 Outlays from discretionary balances	81	37	51
4020 Outlays, gross (total)	462	459	493
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-12	-11	-12
4033 Non-Federal sources	-10		-1
4040 Offsets against gross budget authority and outlays (total)	-22	-11	-13
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	11		
4070 Budget authority, net (discretionary)	432	458	477
4080 Outlays, net (discretionary)	440	448	480
4180 Budget authority, net (total)	432	458	477
4190 Outlays, net (total)	440	448	480

The Department of Veterans Affairs (VA) and the Department of Defense (DOD) will each contribute funding to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund,

established by section 1704 of Public Law 111-84, the National Defense Authorization Act for Fiscal Year 2010. This funding will support the continuing operations of the Captain James A. Lovell Federal Health Care Center (FHCC), which opened on December 20, 2010. In 2020 and 2021, VA expects to transfer funds from the Medical Services, Medical Community Care, Medical Support and Compliance, Medical Facilities, and Information Technology Systems accounts, while DOD expects to transfer funds from the Defense Health Program account.

Object Classification (in millions of dollars)

Identification code 036-0169-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	186	197	200
12.1 Civilian personnel benefits	60	64	65
23.3 Communications, utilities, and miscellaneous charges	10	11	11
25.1 Advisory and assistance services	86	91	93
26.0 Supplies and materials	70	74	75
31.0 Equipment	2	2	2
32.0 Land and structures	19	20	20
99.0 Direct obligations	433	459	466
99.0 Reimbursable obligations	11	17	17
99.9 Total new obligations, unexpired accounts	444	476	483

Employment Summary

Identification code 036-0169-0-1-703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,108	2,172	2,172

MEDICAL CARE COLLECTIONS FUND

Program and Financing (in millions of dollars)

Identification code 036-5287-0-2-703	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,915	3,912	4,527
1120 Appropriations transferred to other accts [036-0160]	-3,412	-3,449	-3,725
1120 Appropriations transferred to other accts [036-0169]	-17	-17	-18
1120 Appropriations transferred to other acct [036-0140]	-486	-446	-784
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Department of Veterans Affairs has the authority to collect co-payments, which are deposited into the Medical Care Collections Fund (MCCF) account. As allowed by the provisions of the appropriations Act, these receipts are transferred to Medical Services, Medical Community Care, and the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund (Joint Demonstration Fund) where they remain available until expended for the purposes of the account. In 2019, over \$3.9 billion was collected in the MCCF account and transferred to Medical Services, Medical Community Care, and the Joint Demonstration Fund to provide healthcare to our veterans. These collections consist of co-payments from veterans for inpatient, outpatient, and nursing home care, and prescribed medications; third-party insurance payments from veterans for nonservice-connected conditions; and collections from enhanced-use leases, the Compensated Work Therapy Program, Compensation and Living Expensed Program, and the Parking Program.

CANTEEN SERVICE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 036-4014-0-3-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Reimbursable operating expenses	335	475	475
0802 Reimbursable direct operations	32	45	45

CANTEEN SERVICE REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 036-4014-0-3-705	2019 actual	2020 est.	2021 est.
0810 Reimbursable capital investment: Sales program: Purchase of equipment and leasehold	12	17	17
0900 Total new obligations, unexpired accounts	379	537	537
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	15	17
1021 Recoveries of prior year unpaid obligations	3	2	2
1050 Unobligated balance (total)	8	17	19
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	386	537	537
1930 Total budgetary resources available	394	554	556
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	17	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	51	19	10
3010 New obligations, unexpired accounts	379	537	537
3020 Outlays (gross)	-408	-544	-534
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-2	-2
3050 Unpaid obligations, end of year	19	10	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	49	17	8
3200 Obligated balance, end of year	17	8	9
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	386	537	537
Outlays, gross:			
4100 Outlays from new mandatory authority	352	525	525
4101 Outlays from mandatory balances	56	19	9
4110 Outlays, gross (total)	408	544	534
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-386	-537	-537
4180 Budget authority, net (total)			
4190 Outlays, net (total)	22	7	-3

The Veterans Canteen Service was established to furnish, at reasonable prices, meals, merchandise, and services necessary for the comfort and well-being of veterans in Department of Veterans Affairs medical facilities. Operations will be financed from current revenues.

Object Classification (in millions of dollars)

Identification code 036-4014-0-3-705	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	125	177	177
11.3 Other than full-time permanent	7	11	11
11.9 Total personnel compensation	132	188	188
12.1 Civilian personnel benefits	27	38	38
21.0 Travel and transportation of persons	2	5	5
22.0 Transportation of things	1		
25.2 Other services from non-Federal sources	2	5	5
26.0 Supplies and materials	203	285	285
31.0 Equipment	12	16	16
99.9 Total new obligations, unexpired accounts	379	537	537

Employment Summary

Identification code 036-4014-0-3-705	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	3,285	3,285	3,285

VETERANS CHOICE FUND

Program and Financing (in millions of dollars)

Identification code 036-0172-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Veterans Choice Fund - Administrative	224	176	20
0002 Veterans Choice Fund - Program	1,473	227	180
0004 Emergency Non-VA Care	2		
0006 MCC (0140) Expenditure Transfers	400	413	899
0013 Prior Year Recoveries	1,191		
0091 Direct program activities, subtotal	3,290	816	1,099
0109 Capital Investment	14		
0900 Total new obligations, unexpired accounts	3,304	816	1,099
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,644	2,531	1,100
1021 Recoveries of prior year unpaid obligations	1,191		
1050 Unobligated balance (total)	5,835	2,531	1,100
Budget authority:			
Appropriations, mandatory:			
1220 Appropriations transferred to other acct [036-0140]		-615	
1930 Total budgetary resources available	5,835	1,916	1,100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,531	1,100	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,180	387	532
3010 New obligations, unexpired accounts	3,304	816	1,099
3020 Outlays (gross)	-3,906	-671	-849
3040 Recoveries of prior year unpaid obligations, unexpired	-1,191		
3050 Unpaid obligations, end of year	387	532	782
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,180	387	532
3200 Obligated balance, end of year	387	532	782
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		-615	
Outlays, gross:			
4100 Outlays from new mandatory authority		-615	
4101 Outlays from mandatory balances	3,906	1,286	849
4110 Outlays, gross (total)	3,906	671	849
4180 Budget authority, net (total)		-615	
4190 Outlays, net (total)	3,906	671	849

The Veterans Access, Choice, and Accountability Act of 2014 ("Veterans Choice Act"), Public Law 113-146, provided \$10 billion in mandatory funding through 2017 to establish a temporary program ("Veterans Choice Program") improving veterans' access to health care by allowing eligible veterans who meet certain wait time or distance standards to use eligible health care providers outside the Department of Veterans Affairs (VA) system. The law directed that this funding be deposited in the Veterans Choice Fund. In July 2015, the Congress provided emergency funding for Hepatitis C (\$500,000,000) and Care in the Community (\$2,848,500,000) by passing Public Law 114-41, the Surface Transportation and Veterans Health Care Choice Improvement Act of 2015, which gave VA temporary authority to use Veterans Choice Fund dollars on other programs. This authority ended on October 1, 2015 and did not extend into 2016.

Public Law 115-26 amended the Veterans Choice Act to eliminate the August 7, 2017 sunset date for the Veterans Choice Program, thus allowing the program to operate until all of the money in the Veterans Choice Fund is expended.

Public Law 115-46, the VA Choice and Quality Employment Act of 2017, provided \$2.1 billion in mandatory funding for the Veterans Choice Fund, to remain available until expended. In 2018, Public Law 115-96 provided \$2.1 billion more in mandatory funding, to remain available until expended; and Public Law 115-182, the MISSION Act, provided an additional \$5.2 billion in mandatory funding, to remain available without fiscal year limitation. The MISSION Act provides flexibility of Veterans Choice

Fund dollars beginning on March 1, 2019, authorizing permanent authority for other uses. In addition, the MISSION Act sunset the Choice Program in June 2019 and established the new Veterans Community Care Program. The Further Consolidated Appropriations Act, 2020 (Public Law 116-94) transferred \$615 million from the FY 2020 start of year unobligated balances in the Veterans Choice Fund account to the Medical Community Care account.

Object Classification (in millions of dollars)

Identification code 036-0172-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	10		
25.2 Other contract services	2,006	748	1,051
26.0 Supplies and materials	83	68	48
31.0 Equipment	14		
44.0 Prior-year Recoveries	1,191		
99.9 Total new obligations, unexpired accounts	3,304	816	1,099

Trust Funds

GENERAL POST FUND, NATIONAL HOMES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-8180-0-7-705	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 General Post Fund, National Homes, Deposits	25	27	28
1140 General Post Fund, National Homes, Interest on Investments	2	1	1
1199 Total current law receipts	27	28	29
1999 Total receipts	27	28	29
2000 Total: Balances and receipts	27	28	29
Appropriations:			
Current law:			
2101 General Post Fund, National Homes	-27	-28	-29
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 036-8180-0-7-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Religious, recreational, and entertainment activities	18	23	24
0003 Therapeutic residence maintenance	3	1	1
0900 Total new obligations, unexpired accounts	21	24	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	112	119	126
1021 Recoveries of prior year unpaid obligations	1	3	3
1050 Unobligated balance (total)	113	122	129
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	27	28	29
1930 Total budgetary resources available	140	150	158
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	119	126	133
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	1
3010 New obligations, unexpired accounts	21	24	25
3020 Outlays (gross)	-20	-22	-23
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-3	-3
3050 Unpaid obligations, end of year	2	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	1
3200 Obligated balance, end of year	2	1	

Budget authority and outlays, net:

	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	27	28	29
Outlays, gross:			
4100 Outlays from new mandatory authority		22	23
4101 Outlays from mandatory balances	20		
4110 Outlays, gross (total)	20	22	23
4180 Budget authority, net (total)	27	28	29
4190 Outlays, net (total)	20	22	23

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	90	119	91
5001 Total investments, EOY: Federal securities: Par value	119	91	91

This fund consists of gifts, bequests, and proceeds from the sale of property left in the care of the facilities by former beneficiaries; patients' fund balances; and proceeds from the sale of effects of beneficiaries who die leaving no heirs or without having otherwise disposed of their estate. Such funds are used to promote the comfort and welfare of veterans at hospitals, nursing homes, and domiciliaries where no general appropriation is available. Public Law 102-54 authorizes compensation work therapy and therapeutic transitional housing and loan programs to be funded from the General Post Fund (38 U.S.C. chapters 83 and 85).

Object Classification (in millions of dollars)

Identification code 036-8180-0-7-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	5	6	6
26.0 Supplies and materials	13	15	16
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	21	24	25

BENEFITS PROGRAMS

Federal Funds

COMPENSATION AND PENSIONS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation benefits to or on behalf of veterans and a pilot program for disability examinations as authorized by section 107 and chapters 11, 13, 18, 51, 53, 55, and 61 of title 38, United States Code; pension benefits to or on behalf of veterans as authorized by chapters 15, 51, 53, 55, and 61 of title 38, United States Code; and burial benefits, the Reinstated Entitlement Program for Survivors, emergency and other officers' retirement pay, adjusted-service credits and certificates, payment of premiums due on commercial life insurance policies guaranteed under the provisions of title IV of the Servicemembers Civil Relief Act (50 U.S.C. App. 541 et seq.) and for other benefits as authorized by sections 107, 1312, 1977, and 2106, and chapters 23, 51, 53, 55, and 61 of title 38, United States Code, **[\$1,439,931,000]** \$2,813,922,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, **[2019]** 2020; and, **[\$118,246,975,000]** \$130,227,650,000 shall become available on October 1, **[2020]** 2021: *Provided*, That not to exceed **[\$18,147,000]** \$20,115,000 of the amount made available for fiscal year **[2021]** 2022 under this heading shall be reimbursed to "General Operating Expenses, Veterans Benefits Administration", and "Information Technology Systems" for necessary expenses in implementing the provisions of chapters 51, 53, and 55 of title 38, United States Code, the funding source for which is specifically provided as the "Compensation and Pensions" appropriation: *Provided further*, That such sums as may be earned on an actual qualifying patient basis, shall be reimbursed to "Medical Care Collections Fund" to augment the funding of individual medical facilities for nursing home care provided to pensioners as authorized. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0102-0-1-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Veterans	87,647	96,501	105,219

COMPENSATION AND PENSIONS—Continued
Program and Financing—Continued

Identification code 036-0102-0-1-701	2019 actual	2020 est.	2021 est.
0102 Survivors	7,283	8,496	8,094
0191 Compensation sub-total	94,930	104,997	113,313
0200 Other compensation expenses	94,930	104,997	113,313
0201 Chapter 18	21	24	24
0202 Clothing allowance	131	138	146
0203 Misc assistance (EAJ, SAFD)	28	33	38
0204 Medical exam pilot program	1,232	1,790	2,233
0205 OBRA payment to VBA and IT	2	7	7
0291 Total other compensation expenses	1,414	1,992	2,448
0293 Total compensation	96,344	106,989	115,761
0302 Veterans	3,187	3,207	3,280
0303 Survivors	1,717	1,627	1,654
0391 Pensions sub total	4,904	4,834	4,934
0401 Reimbursements to GOE, IT and VHA	6	11	11
0492 Total pensions	4,910	4,845	4,945
0502 Burial allowance	18	58	61
0503 Burial plots	27	23	23
0504 Service-connected deaths	67	109	115
0505 Burial flags	18	26	27
0506 Headstones and markers	95	90	91
0508 Graveliners	4	4	4
0509 Pre-Place Crypts	32	33	34
0591 Total burial program	261	343	355
0900 Total new obligations, unexpired accounts (object class 42.0)	101,515	112,177	121,061
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,098	1,720
1021 Recoveries of prior year unpaid obligations	27
1033 Recoveries of prior year paid obligations	348
1050 Unobligated balance (total)	2,473	1,720
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2,994	1,440	2,814
Advance appropriations, mandatory:			
1270 Advance appropriation	95,768	109,017	118,247
1273 Advance appropriations transferred from other accounts	2,000
1280 Advanced appropriation, mandatory (total)	97,768	109,017	118,247
1900 Budget authority (total)	100,762	110,457	121,061
1930 Total budgetary resources available	103,235	112,177	121,061
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,720
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7,446	8,135	9,020
3010 New obligations, unexpired accounts	101,515	112,177	121,061
3020 Outlays (gross)	-100,799	-111,292	-120,105
3040 Recoveries of prior year unpaid obligations, unexpired	-27
3050 Unpaid obligations, end of year	8,135	9,020	9,976
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7,446	8,135	9,020
3200 Obligated balance, end of year	8,135	9,020	9,976
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	100,762	110,457	121,061
Outlays, gross:			
4100 Outlays from new mandatory authority	91,254	101,436	111,083
4101 Outlays from mandatory balances	9,545	9,856	9,022
4110 Outlays, gross (total)	100,799	111,292	120,105
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-348
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	348
4160 Budget authority, net (mandatory)	100,762	110,457	121,061
4170 Outlays, net (mandatory)	100,451	111,292	120,105
4180 Budget authority, net (total)	100,762	110,457	121,061

4190 Outlays, net (total)	100,451	111,292	120,105
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Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	100,762	110,457	121,061
Outlays	100,451	111,292	120,105
Legislative proposal, subject to PAYGO:			
Outlays	-789
Total:			
Budget Authority	100,762	110,457	121,061
Outlays	100,451	111,292	119,316

WORKLOAD

	2019 actual	2020 est.	2021 est.
Compensation Completed Claims:			
Rating	1,322,139	1,360,290	1,420,084
Other Claims	2,709,114	2,715,030	2,766,002
Pension Completed Claims:			
Rating	122,073	126,027	131,610
Other Non-Rating Claims	304,790	376,487	376,493

For 2022, the Budget requests \$130,227,650,000 in advance appropriations for Compensation and Pensions. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235) and prevents our Nation's veterans from being adversely affected by budget delays.

This appropriation provides for the payment of compensation, pension, and burial benefits to veterans and survivors.

Compensation is paid to veterans for disabilities incurred in or aggravated during active military service. Dependency and Indemnity Compensation is paid to survivors of servicepersons or veterans whose death occurred while on active duty or as a result of service-connected disabilities. Compensation and vocational rehabilitation is provided to the children of Vietnam veterans who were born with certain birth defects. The Secretary may pay a clothing allowance to each veteran who uses a prescribed medication for a service-connected skin condition or wears a prosthetic or orthopedic appliance (including a wheelchair) which, in the judgment of the Secretary, tends to damage or tear the clothing of such veteran.

Miscellaneous benefits provided for are:

(a) payments for claims made pursuant to the provision of the World War Adjusted Compensation Act of 1924, as amended;

(b) a special allowance (38 U.S.C. 1312) to dependents of certain veterans who died after December 31, 1956, but who were not fully and currently insured under the Social Security Act; and

(c) payments authorized by the Equal Access to Justice Act.

The appropriation also provides for a program to allow the Department of Veterans Affairs (VA) to perform income matches for certain compensation recipients.

In accordance with Public Law 97-377, the Reinstated Entitlement Program for Survivors (REPS) program restores Social Security benefits to certain surviving spouses or children of veterans who died of service-connected causes.

Legislation is proposed to provide a cost-of-living adjustment comparable to the annual Social Security increase to recipients of disability compensation, dependency and indemnity compensation, and clothing allowances. The increase, effective with payments made on January 1, 2021, is expected to be 2.4 percent.

AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS

	2019 actual	2020 est.	2021 est.
Veterans:			
Cases	4,852,077	5,089,328	5,267,797
Average payment per case, per year	\$18,064	\$18,961	\$19,974
Total obligations (in millions)	\$87,647	\$96,501	\$105,219
Survivors:			
Cases	429,585	443,288	456,463
Average payment per case, per year	\$16,953	\$19,166	\$17,731
Total obligations (in millions)	\$7,283	\$8,496	\$8,094
Chapter 18:			
Children	1,134	1,284	1,266
Average payment per case, per year	\$18,530	\$18,895	\$19,346

Total obligations (in millions)	\$21	\$24	\$24
Clothing allowance:			
Number of veterans	160,774	166,331	172,116
Average payment per case, per year	\$814	\$827	\$847
Total obligations (in millions)	\$131	\$138	\$146
Special Allowance for Dependents:			
Cases	18	16	15
Average benefit	\$4,457	\$4,529	\$4,638
Total obligations (in millions)	\$0	\$0	\$0
Equal Access to Justice Act:			
Cases	5,334	6,159	6,984
Average benefit	\$5,231	\$5,314	\$5,442
Total Obligations (in millions)	\$28	\$33	\$38
REPS:			
Cases	7	5	4
Average benefit	\$17,382	\$17,868	\$18,476
Total Obligations (in millions)	\$0	\$0	\$0

Pension benefits may be paid to veterans or their survivors. A veteran's entitlement is based on active duty service of a specific length (normally 90 days or more) during a designated war period, disabilities considered permanent and total, and countable income below established levels. There is no disability requirement for survivor cases or veterans age 65 or older. Income support is provided at established benefit levels.

An automatic annual cost-of-living increase comparable to the annual social security increase is provided for those pensioners in the improved program and to parents receiving dependency and indemnity compensation. The increase, effective with payments made on January 1, 2021, is expected to be 2.4 percent.

AVERAGE NUMBER OF PENSION CASES AND PAYMENTS

	2019 actual	2020 est.	2021 est.
Veterans:			
Cases	248,484	237,424	231,183
Average payment per case, per year	\$12,828	\$13,508	\$14,186
Total obligations (in millions)	\$3,187	\$3,207	\$3,280
Survivors:			
Cases	185,379	166,882	161,325
Average payment per case, per year	\$9,259	\$9,752	\$10,252
Total obligations (in millions)	\$1,717	\$1,627	\$1,654

Burial benefits in 2020 provide for: (a) the payment of an allowance of \$796 toward burial and funeral expenses if hospitalized by VA at the time of death (or \$300 if not hospitalized by VA at time of death); (b) the payment of \$796 for a plot allowance where an eligible veteran is not buried in a national cemetery or other cemetery under the jurisdiction of the United States; (c) the payment of a burial allowance of up to \$2,000 when a veteran dies as a result of a service-connected disability; (d) furnishing a flag to drape the casket of each deceased veteran entitled thereto; (e) furnishing a headstone or marker for the grave of a veteran and, in certain cases, eligible dependents; and (f) authority to provide outer burial receptacles in the National Cemetery Administration.

NUMBER OF BURIAL BENEFITS

	2019 actual	2020 est.	2021 est.
Burial allowance	44,074	33,001	33,648
Burial allowances for deaths in Dept. facility	37,353	35,519	36,216
Burial plot	34,803	29,312	29,887
Service-connected deaths	38,323	41,620	43,524
Burial flags	440,554	455,515	450,500
Headstones and markers	345,780	346,113	344,577
Graveliners	10,832	10,842	10,794
Preplaced crypts	60,953	61,012	60,741
Caskets and Urns	354	334	333

COMPENSATION AND PENSIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Limit Disability Evaluations to Criteria within VASRD			-75
0105 Effective Date Simplification for Claims for Increased Evaluation			-679
0108 Round Down COLA for Service-Connected and DIC five years			-39
0114 Prohibition of entitlement to VA's Individual Unemployability benefit for individuals serving in the Reserve Components			-4

0191 Compensation sub-total			-797
0293 Total compensation			-797
0301 Reissue VA Benefit Payments to all Victims of Fiduciary Misuse			3
0307 Increase monetary burial benefit allowances according to increases in the Consumer Price Index			2
0309 Eliminate need for rating assignment of permanently and total disability as it rates to higher max annual pension for Veterans considered housebound			1
0391 Pensions sub total			6
0492 Total pensions			6
0501 Spousal and Dependent Inscriptions on Veteran Headstones and Markers			1
0502 Authorization to Provide Outer Burial Receptacles for State and Tribal Organization Cemeteries			1
0591 Total burial program			2
0900 Total new obligations, unexpired accounts (object class 42.0) Memorandum (non-add) entries:			-789
1941 Unexpired unobligated balance, end of year			789

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-789
3020 Outlays (gross)			789

Budget authority and outlays, net:

Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances			-789
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-789

Limit Disability Evaluations to Criteria within the VA Schedule for Disabilities (VASRD): VA seeks to amend 38 U.S.C. 1155 so that disability evaluations can only be established based on criteria within the VASRD. Extra-schedular ratings were designed for Veterans with exceptional or unusual disability pictures that did not fall in line with the VASRD. However, since the VASRD generally compensates Veterans adequately, and VA has committed to reviewing each body system of the VASRD on a five-year cycle, there is no remaining need or justification for a disability rating outside the allowance of the VASRD. Veterans would still have several options to receive a higher rating and could continue to file claims if their disabilities become worse. Savings are estimated to be \$74.7 million in 2021, \$1.1 billion over five years, and \$4.2 billion over ten years.

Repeal or Modify Certification Testing for VBA Employees and Managers Who Process Claims for Disability Benefits: VA seeks to modify 38 U.S.C. 7732A, which requires exceedingly specific and narrowly-focused certification testing for employees and managers who process claims for compensation and pension benefits. This proposal would allow VBA to use a diagnostic testing capability, administered on regularly defined intervals, to identify knowledge and technical competencies employees need to perform specific job functions, and to identify training deficiencies employees may have.

Reimbursement of Credentialing Costs: This proposal seeks to add a new section to Title 38 to recoup the costs of credentialing accredited attorneys and claims agents and their employees so that they can access the automated VBA claims records of claimants whom they represent. A reasonable fee would be used to offset the costs of performing background and other investigations to determine eligibility for access and issuing credentials for such access. No mandatory costs or savings are associated with this proposal. No mandatory costs or savings are associated with this proposal.

Extend the Authority for Operations of the Manila VA Regional Office: VA seeks to extend the authority currently provided by 38 U.S.C. 315(b) to maintain the operations of the Manila Regional Office December 31, 2024. No mandatory costs or savings are associated with this proposal.

Effective Date Simplification for Claims for Increased Evaluation: VA seeks to amend 38 U.S.C. 5110(b)(3) to make the date of receipt of a claim the effective date for an increased rating. This proposal would enable VA to provide more accurate and timely decisions to Veterans and their families

COMPENSATION AND PENSIONS—Continued

by increasing the use of automation and decision assistance tools. VA would maintain exceptions to this rule to allow an effective date of the date of hospital admission or outpatient treatment where a Veteran is hospitalized for 21 days or more or requires convalescent care for a disability. Additionally, this amendment would not prohibit Veterans from filing an Intent to File, which allows up to a one-year retroactive effective date. Savings are estimated to be \$678.8 million for 2021, \$3.5 billion over five years, and \$7.5 billion over ten years.

Elimination of Payment of Benefits to the Estates of Deceased Nehmer Class Members and to the Survivors of Certain Class Members: VA seeks to amend 38 U.S.C. 1116 to eliminate payment of benefits to the estates of deceased Nehmer class members and to Survivors of certain class members when such benefits are the result of presumptions of service connection established pursuant to section 1116 for diseases associated with exposure to certain herbicide agents. This proposal would prevent allocation of extensive resources to claims not contemplated by Congress and would avoid potential for substantially adding to VA's backlog. No mandatory costs or savings are associated with this proposal.

Using Transition Assistance Program Training, Telephone Contact by VA, or Digital Communication to Notify Veterans of VA Benefits and Services: VA seeks to amend 38 U.S.C. 6303(b) to authorize VA to use TAP training, telephone outreach, or digital communication to notify each transitioning Veteran of all VA benefits and services for which he or she may be eligible. Currently, the statute requires VA to send each Veteran a letter with benefits information and to contact in person or by telephone Veterans who do not have a high school education or equivalent at the time of discharge or release. Changes in technology now provide Servicemembers, Veterans, and their families with personalized access to benefit information, resources, and self-service capabilities through various digital technologies. No mandatory costs or savings are associated with this proposal.

Round-Down the Computation of the Annual Cost-of-Living Adjustment (COLA) for Service-Connected Compensation and DIC for 5 Years: This proposal seeks to amend the statutory language for 38 U.S.C. 1104(a) and 1303(a) to round-down COLA computations from 2021 through 2026. The purpose of the rounding-down provision is two-fold: to simplify the benefit payment computation and, at the same time, to work towards balancing the budget without substantial loss to VA beneficiaries. The round-down authority was first enacted in 1983 and last extended by public law 108–183 with a sunset date in 2013. Savings are estimated to be \$39.1 million in 2021, \$676.8 million over five years, and \$2.2 billion over ten years.

Expanding the Contract Medical Disabilities Examination Authority: VA seeks to amend section 504(c) of the Veterans Benefits Improvement Act of 1996 and section 704(d) of the Veterans Benefits Act of 2003 to authorize VA to contract with non-physician healthcare providers to conduct examinations in connection with claims for disability benefits. Enabling non-physicians, such as audiologists and nurse practitioners, to perform contract examinations would greatly increase the population of examiners available for this important segment of the disability claims process. No mandatory costs or savings are associated with this proposal.

Extension of Contract for Medical Disability Examinations: This proposal seeks to amend section 704(c) of the Veterans Benefits Act of 2003 to provide a 5 year extension of the authority to use discretionary funds for contract disability examinations. This proposal is essential in maintaining flexibility in VA's mission to continue to provide thorough, accurate, and timely medical disability examinations to our Veterans, so they receive timely rating decisions. No mandatory costs or savings are associated with this proposal.

VA Schedule for Rating Disability (VASRD) Effective Dates: This proposal seeks to amend 38 U.S.C. 1155 so that, when the VASRD is readjusted, such changes would apply to any new or pending claims and may include action to decrease an existing evaluation. VA does not intend to adjust a Veterans ratings downward on its own initiative as a result of this proposal

but would set ratings in accordance with the new criteria if it reviewed the case at the Veterans request. This proposed system would allow VA to more easily update and implement the rating criteria and be easier to understand and apply for Veterans and their representatives. Instead of having to review previous rating criteria and regulations, only the most current criteria in the VASRD would be applicable. No mandatory costs or savings are associated with this proposal.

Allow for Electronic Decision Notification, and Other Communications: VA seeks to amend 38 U.S.C. 5100, 5104B, and 7105 to specifically allow for electronic notification of benefits decisions and other communications in the course of adjudicating claims. These changes are necessary to allow VA, Veterans, and claimants to utilize modern electronic methods of communication to deliver timely and efficient decisions on claims. No mandatory costs or savings are associated with this proposal.

Dependency Pay Adjustments: VA seeks to amend 38 U.S.C. 1115 to pay a single, flat rate dependency allowance to Veterans. This proposal would result in a more streamlined system of paying dependency allowances and would reduce the amount of times Veterans have to report life changing events that will impact their benefits and reduce their chances of incurring an overpayment. This proposal would be consistent with DoDs basic allowance for housing and family separation allowance, which do not account for multiple dependents. No mandatory costs or savings are associated with this proposal.

Delimiting Entitlement to VA's Individual Unemployability (IU) Benefit: VA seeks to amend 38 U.S.C. 1163 to allow entitlement to total disability ratings based on IU for those individuals who have performed active military, naval, or air service, excepting those who are serving in the reserve components. If a Veteran is capable of performing the requirements for active or inactive duty training (drill pay) in the reserve components, such performance demonstrates that the Veteran has sufficient abilities for tasks relevant to employability and does not meet the requirements of VA's IU program. Veterans in this category would still be entitled to receive compensation for service-connected disabilities at the appropriate schedular evaluation (subject to 38 U.S.C. 5304(c)). Savings are estimated to be \$3.6 million in 2021, \$44.4 million over five years, and \$163.8 million over ten years.

Reissue VA Benefit Payments to All Victims of Fiduciary Misuse: VA seeks to amend 38 U.S.C. 6107, which authorizes VA to reissue benefits to a beneficiary if the beneficiary's VA-appointed fiduciary misuses the beneficiary's benefits when the fiduciary is not an individual, or when the fiduciary is an individual who manages benefits for ten or more beneficiaries. In all other cases of fiduciary misuse, VA cannot reissue benefits unless it was negligent in appointing or overseeing the fiduciary. This amendment would extend VA's reissuance authority to misuse by individual fiduciaries who manage benefits for less than ten beneficiaries without regard to VA negligence in appointing or overseeing such fiduciaries. The costs are estimated to be \$3.5 million in 2021, \$19.2 million over five years, and \$43.5 million over ten years.

Eliminate the Time Limitation for Appointment of a Temporary Fiduciary and Bar Fiduciary Appeal of a Misuse Determination: VA proposes to amend 38 U.S.C. 5507(d) to remove the 120-day limit a temporary fiduciary may serve and to bar a fiduciary from appealing a VA determination that the fiduciary has misused a beneficiary's funds. Temporary appointments are disruptive for beneficiaries in the program and redirect limited fiduciary program resources to successor appointments. This proposal would ensure these vulnerable beneficiaries are provided a stable, consistent fiduciary environment. No mandatory costs or savings are associated with this proposal.

Amend 38 U.S.C. 5502(a)(1) to Preempt the Applicability of State Laws to VA-Appointed Fiduciaries: VA proposes to amend 38 U.S.C. 5502(a)(1) to preempt the applicability of State law regarding guardianships and other matters to ensure a national standard of practice for payment of benefits to or on behalf of VA beneficiaries who cannot manage their benefits. This language would clarify the statutes impacting VA beneficiaries who may

be subject to state guardianship laws, create a concise national standard of practice for VA beneficiaries, and reduce the challenge to VA's authority in state courts forcing VA to defend Congress intent to preempt state laws in guardianship matters as they relate to VA benefits. No mandatory costs or savings are associated with this proposal.

Extend the Statutory Marriage Delimiting Date for Surviving Spouses of Gulf War Veterans: VA seeks to amend the statutory marriage delimiting date in 38 U.S.C. 1541(f)(1)(E) for surviving spouses of Gulf War Veterans for purposes of determining entitlement to Survivors pension. Due to the ongoing Gulf War, VA proposes to replace the outdated prescribed delimiting date of January 1, 2001, with a date that is ten years and one day following the future prescribed ending date of the Gulf War. No mandatory costs or savings are associated with this proposal.

Allow VA Contractors and Vendors Access to Federal Tax Return Information for Purposes of Assisting in the Administration of Certain VA Benefit Programs and Maintaining the Systems VA Uses to Administer These Programs: VA seeks to amend 26 U.S.C. 6103(l)(7)(A) and (B) to allow VA contractors and vendors access to tax return information that is disclosed to VA pursuant to 26 U.S.C. 6103(l)(7)(D)(viii). This authority would be similar to the authority relating to administration of the Medicare, Medicaid, and other Health and Human Services benefit programs, which authorize disclosure of such information to contractors of the Department of Health and Human Services or contractors of the Social Security Administration. No mandatory costs or savings are associated with this proposal.

Authorize VA to Rely on Unearned and Earned Income Information Supplied by IRS and the Earned Income Information Available from SSA Under Section 6103(i)(7)(D)(viii) for Purposes of Determining Eligibility for VA's Needs-Based Pension Program and Eliminate the Need for VA to Independently Verify Such Income Information: VA seeks to amend 38 U.S.C. 5317(b) and (e), to authorize VA to rely on information it obtains from the IRS and SSA when making initial pension eligibility determinations and remove the requirement that VA independently verify the information before terminating, denying, suspending, or reducing a claimant's or beneficiary's needs-based pension benefits. This proposal would reduce the burden on claimants to submit additional information after their original submission and allow claimants to receive faster decisions. This proposal would also reduce the number of improper payments. No mandatory costs or savings are associated with this proposal.

Increase Monetary Burial Benefit Allowances According to Increases in the Consumer Price Index (CPI): VA seeks to amend 38 U.S.C. 2302 and 2307 to authorize annual burial benefit increases based upon CPI increases. This proposal would give VA the authority to pay burial benefit allowances that would provide Veterans the dignified burial they deserve. The costs are estimated to be \$1.6 million in 2021, \$34.1 million over five years, and \$151 million over ten years.

Authorize VA to Pay a Flat Rate for the Costs of Domestic Transportation of a Deceased Veteran to a National Cemetery: VA seeks to amend 38 U.S.C. 2308 to authorize VA to pay a one-time, inflation-indexed, flat-rate benefit for the domestic transportation of a deceased Veteran to a national cemetery, or upon the qualifying death of a Veteran. Current statute only allows the strict reimbursement of actual transportation costs. This proposal would allow VA to automate adjudication and payment of these claims and would remove the burden on Survivors to prove their actual expenses during a difficult and vulnerable period of transition. No mandatory costs or savings are associated with this proposal.

Eliminate Entitlement to Increased Pension for Veterans Who Are Permanently and Totally Disabled and Have Additional Disability or Disabilities Rated 60 Percent or More and Eliminate the Requirement for a Permanent and Total Disability Rating for Entitlement to a Higher Maximum Annual Pension Rate for Veterans Who Are Permanently Housebound: VA seeks to amend 38 U.S.C. 1521(e) by: (1) eliminating entitlement to additional pension for Veterans who are permanently and totally disabled and have additional disability or disabilities rated 60 percent or more; and (2) removing the requirement that Veterans who are permanently house-

bound be rated permanently and totally disabled to be eligible for increased pension. This proposal would enable VA to realize increased efficiency in the pension adjudication process, eliminate unnecessary disability exams, and eliminate the current disparity between Veterans and Survivors eligibility for housebound rates. The costs are estimated to be \$585 thousand in 2021, \$3.0 million over five years, and \$6.5 million over ten years.

Remove Annual Income from Net-Worth Calculation: VA seeks to amend 38 U.S.C. 1522 and 1543 to remove the requirement that VA consider annual income in its net-worth determinations. VA's intent is to allow more fair and consistent net-worth calculations and to promote pension automation with respect to net worth calculations. The costs are estimated to be \$39 thousand for 2021, \$537 thousand over five years, and \$1.9 million over ten years.

Extension of Reduced Pension for Certain Veterans and Survivors Covered by Medicaid Plans for Services: This proposal would amend 38 U.S.C. 5503(d)(7) to extend through September 30, 2030, the provisions of 38 U.S.C. 5503(d), which limit to \$90 the pension payable to certain recipients of Medicaid-covered nursing home care and protects that pension payment from being applied to the cost of the beneficiary's nursing-home care. Public law 115-182, section 509, last extended this authority to September 30, 2028. The savings are estimated to be \$307 thousand over ten years.

Modernizing VA's Records Management Program: This proposal would amend 38 U.S.C. by creating a new section to codify VBA's procedures with respect to imaged source paper files, input records, reports, or other documents under the Records Control Schedule required by 44 U.S.C. Over the past eight years, VBA has transformed its paper-based claims process to a nearly 100 percent electronic process. Digitization of paper allows for dynamic electronic routing and automated processing, which has contributed directly to the reduction of the overall claims inventory and Veterans waiting far less days to receive their benefits. VA's official eFolder is the only complete collection of benefits-related documents and evidence, and, in accordance with VA's NARA-approved Records Control Schedule, is therefore the official record for VBA's disability compensation and pension claims processing. No mandatory costs or savings are associated with this proposal.

Spousal and Dependent Inscriptions on Veteran Headstones and Markers: VA seeks to amend 38 U.S.C. 2306 to allow the inscription, if feasible and upon request, on a government-furnished headstone and marker for placement on a gravesite in a non-VA cemetery, information about the Veterans spouse or dependent child. The proposed applicability date would be to add such an inscription for the headstones and markers of Veterans whose date of death is on or after January 1, 2016. The costs estimated are \$1.3 million in 2021, \$5.8 million over five years, and \$10.8 million over ten years.

Authorization to Provide Outer Burial Receptacles for State and Tribal Organization Cemeteries: VA proposes to amend 38 U.S.C. 2306 to provide an outer burial receptacle (OBR) benefit for any casketed gravesite for eligible Veterans and their family members interred in state and tribal Veterans cemeteries that received grant funding through VA's Veterans Cemetery Grants Program. This would ensure parity for the OBR benefit for eligible individuals in any cemetery that has a mission to provide burial for Veterans and their eligible dependents and would alleviate the additional financial burden on the families of Veterans to purchase an OBR if they choose to bury the Veteran or an eligible family member in a State or tribal Veterans cemetery. The costs estimated are \$469 thousand in 2021, \$9.5 million over five years, and \$30.4 million over ten years.

Provide Group Burial Markers: VA proposes to amend 38 U.S.C. 2306 to clearly authorize the National Cemetery Administration (NCA) to provide a single appropriate headstone or marker for certain groups of individuals who are eligible for individual headstones or markers, but who are buried in a mass grave. No costs or savings are associated with this proposal.

Burial Benefit Eligibility Requirement for Other-Than-Dishonorable Service for Deaths in Active Service: VA seeks to amend 38 U.S.C.

COMPENSATION AND PENSIONS—Continued

2402(a)(1) to require that a Servicemember who dies in active service must have been serving under conditions other than dishonorable to be eligible for burial in a national cemetery. This proposal would also amend 38 U.S.C. 2306(b)(4)(A) and (g)(2) to impose the same requirement for eligibility for a memorial headstone or marker and amend 38 U.S.C. 2301(d) to do the same for eligibility for a burial flag. No costs or savings are associated with this proposal.

Authorization to Pay Cost of Transporting Unclaimed Remains of Veterans to a VA-funded State or Tribal Organization Cemetery: VA seeks to amend 38 U.S.C. 2308 to expand the provision of the transportation allowance to cover those unclaimed remains of Veterans transported to a VA-funded Veterans cemetery owned by a State or tribal organization. Currently, VA is only authorized to provide an allowance for the cost of transporting the remains to the nearest VA national cemetery. The costs estimated are \$52 thousand in 2021, \$263 thousand over five years, and \$536 thousand over ten years.

Expansion of Eligibility for Government-Furnished Headstone, Marker or Medallion for Medal of Honor Recipients: VA seeks to amend 38 U.S.C. 2306 to allow VA to furnish or replace a headstone, marker, or medallion for the grave of an eligible Medal of Honor recipient, regardless of the recipients dates of service, date of death, or location. Because the Medal of Honor represents extraordinary and distinctive service, it should be permanently and distinctly memorialized for all recipients, regardless of the individuals dates of service. This proposal would eliminate the April 6, 1917 limitation to allow VA to furnish a Government headstone or marker for the already marked gravesite of any Medal of Honor recipient in a private cemetery, regardless of the dates of the recipients service. The costs estimated are \$50 thousand in 2021, \$253 thousand over five years, and \$518 thousand over ten years.

READJUSTMENT BENEFITS

For the payment of readjustment and rehabilitation benefits to or on behalf of veterans as authorized by chapters 21, 30, 31, 33, 34, 35, 36, 39, 41, 51, 53, 55, and 61 of title 38, United States Code, **[\$12,578,965,000] \$14,946,618,000**, to remain available until expended and to become available on October 1, **[2020] 2021: Provided**, That expenses for rehabilitation program services and assistance which the Secretary is authorized to provide under subsection (a) of section 3104 of title 38, United States Code, other than under paragraphs (1), (2), (5), and (11) of that subsection, shall be charged to this account. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0137-0-1-702	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Sons and daughters	710	855	976
0102 Spouses	151	175	201
0191 Total education and training	861	1,030	1,177
0201 Vocational rehabilitation training	762	773	815
0202 Subsistence allowance	626	674	727
0203 Automobiles and adaptive equipment	138	143	149
0204 Housing Grants	122	139	149
0205 Housing Technology Grants	1	1
0291 Total special assistance to disabled veterans	1,649	1,730	1,840
0301 Work study	46	50	52
0302 Payments to States	24	24	25
0303 All-volunteer assistance: Basic benefits and all other	10,878	11,719	11,873
0305 Tuition Assistance	4	4	4
0306 Licensing and Certification	2	2	2
0307 Reporting fees	14	15	16
0308 Reimbursement to GOE	1	1
0309 Contract Counseling	2	6	6
0391 Total All-volunteer assistance and other	10,970	11,821	11,979
0799 Total direct obligations	13,480	14,581	14,996
0802 Veterans and servicepersons supplementary benefits	12	11	9
0803 Chapter 1606 reservists benefits	87	85	84
0804 Chapter 1606 reservists supplementary benefits	23	23	23

0805 Chapter 1607 reservists benefits	1
0807 Chapter 33 DoD Reimbursements	76	66	58
0899 Total reimbursable obligations	199	185	174
0900 Total new obligations, unexpired accounts	13,679	14,766	15,170

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,824	3,413	2,906
1021 Recoveries of prior year unpaid obligations	4
1033 Recoveries of prior year paid obligations	233
1050 Unobligated balance (total)	7,061	3,413	2,906
Budget authority:			
Appropriations, mandatory:			
Advance appropriations, mandatory:			
1270 Advance appropriation	11,832	14,065	12,579
1272 Advance appropriations transferred to other accounts	-2,000
1280 Advanced appropriation, mandatory (total)	9,832	14,065	12,579
Spending authority from offsetting collections, mandatory:			
1800 Collected	199	194	191
1900 Budget authority (total)	10,031	14,259	12,770
1930 Total budgetary resources available	17,092	17,672	15,676
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,413	2,906	506

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	641	679	859
3010 New obligations, unexpired accounts	13,679	14,766	15,170
3020 Outlays (gross)	-13,637	-14,586	-14,985
3040 Recoveries of prior year unpaid obligations, unexpired	-4
3050 Unpaid obligations, end of year	679	859	1,044
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	640	678	858
3200 Obligated balance, end of year	678	858	1,043

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	10,031	14,259	12,770
Outlays, gross:			
4100 Outlays from new mandatory authority	6,171	10,494	11,220
4101 Outlays from mandatory balances	7,466	4,092	3,765
4110 Outlays, gross (total)	13,637	14,586	14,985
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-199	-194	-191
4123 Non-Federal sources	-233
4130 Offsets against gross budget authority and outlays (total)	-432	-194	-191
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	233
4160 Budget authority, net (mandatory)	9,832	14,065	12,579
4170 Outlays, net (mandatory)	13,205	14,392	14,794
4180 Budget authority, net (total)	9,832	14,065	12,579
4190 Outlays, net (total)	13,205	14,392	14,794

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	9,832	14,065	12,579
Outlays	13,205	14,392	14,794
Legislative proposal, subject to PAYGO:			
Outlays	-5
Total:			
Budget Authority	9,832	14,065	12,579
Outlays	13,205	14,392	14,789

WORKLOAD—Vocational Rehabilitation and Employment

	2019 actual	2020 est.	2021 est.
Evaluation and planning	79,529	83,505	86,011
Rehabilitation services	105,709	110,994	114,324
Employment services status	26,868	28,211	29,058
Vocational/Educational counseling	6,356	6,420	6,484

WORKLOAD—Education

	2019 actual	2020 est.	2021 est.
Original claims	339,007	412,108	355,345
Adjustments/supplemental claims	3,309,323	4,022,387	3,465,574

For 2022, the Budget requests \$14,946,618,000 in advance appropriations for Readjustment Benefits. This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113–235) and prevents our Nation's veterans from being adversely affected by budget delays.

This appropriation finances educational assistance allowances for certain servicemembers, veterans, and for eligible dependents of those: (a) veterans who died from service-connected causes or have a total and permanent rated service-connected disability; and (b) servicemembers who were captured or missing in action. In addition, certain disabled veterans are provided with vocational rehabilitation, specially adapted housing grants, and automobile grants with the associated approved adaptive equipment. Voluntary contributions by eligible servicemembers and matching contributions provided by the Department of Defense are included in the Post-Vietnam Era Veterans Education Account.

The Post-9/11 GI Bill (Chapter 33).—P.L. 110–252 greatly expanded education benefits beginning on August 1, 2009. Based on length of active duty service and training rate, trainees may be entitled to benefits including: tuition and fees, housing allowance, books and supplies stipend, kickers, and Yellow Ribbon matching payments. Certain active duty members of the Armed Forces may transfer benefits to a spouse or children.

Survivors and Dependents Educational Assistance (Chapter 35).—Benefits are provided to children and spouses of veterans who died of a service-connected disability or whose service-connected disability is rated permanent and total. In addition, dependents of servicemembers missing in action or interred by a hostile foreign government for more than 90 days are also eligible. The table below provides a comparison of trainees and costs for the Dependents Educational Assistance.

All volunteer force educational assistance (Montgomery GI Bill).—P.L. 98–525, enacted October 19, 1984, established two new educational programs: an assistance program for veterans who enter active duty during the period beginning July 1, 1985; and an assistance program for certain members of the Selected Reserve. P.L. 108–375 established a program to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or the Congress, in recognition of the sacrifices that those members make in answering the call to duty. The Readjustment Benefit appropriation pays the basic benefit allowance for veterans, except for certain Post-Vietnam Era Veterans Education participants who transferred to the Montgomery GI Bill program. Supplementary educational assistance, Post-Vietnam Era Veterans Education converters, reservists, and the National Call to Service Program are financed by payments from the Department of Defense.

Veteran Employment Through Technology Education Courses (VET TEC).—P.L. 115–48 established a high technology pilot program to provide eligible Veterans who are entitled to educational assistance under chapter 30, 32, 33, 34, or 35 of title 38, United States Code, or chapter 1606 or 1607 of title 10, United States Code, with the opportunity to enroll in high technology programs of education that VA determines provide training and skills sought by employers in a relevant field or industry.

The following table shows a caseload and cost comparison for these beneficiaries under existing legislation.

CASELOAD AND AVERAGE COST DATA

	2019 actual	2020 est.	2021 est.
Chapter 33:			
Number of trainees	714,346	727,519	724,610
Average cost per trainee	\$15,047	\$15,910	\$16,230
Total cost (in millions)	\$10,749	\$11,575	\$11,761
Chapter 35 Sons and Daughters:			
Number of trainees	104,165	122,889	137,106
Average cost per trainee (in dollars)	\$6,815	\$6,959	\$7,120

Total cost (in millions)	\$710	\$855	\$976
Chapter 35 Wives and Widow(ers):			
Number of trainees	23,910	27,042	30,411
Average cost per trainee (in dollars)	\$6,327	\$6,463	\$6,613
Total cost (in millions)	\$151	\$175	\$201
Chapter 30:			
Number of trainees	22,166	18,625	15,687
Average cost per trainee	\$9,744	\$10,354	\$10,490
Total cost (in millions)	\$216	\$193	\$165
Chapter 1606:			
Number of trainees	44,356	42,868	41,431
Average cost per trainee	\$2,416	\$2,466	\$2,523
Total cost (in millions)	\$107	\$106	\$105
Chapter 1607:			
Number of trainees	299	0	0
Average cost per trainee	\$4,890	\$0	\$0
Total cost (in millions)	\$1	\$0	\$0
Veteran Employment Through Technology Education Courses (VET TEC):			
Total cost (in millions)	\$1	\$29	\$15

Vocational Rehabilitation and Employment (Chapter 31).—Servicemembers and veterans with service-connected disabilities receive the assistance necessary to help them prepare for, obtain, and maintain suitable employment. Comprehensive assessments may include interest and aptitude testing as well as specialized assessments such as functional capacity examinations. During the training phase of the program, eligible servicemembers and veterans are provided assistance for necessary training such as tuition, fees, books and supplies at colleges, technical schools and other training programs. A veteran enrolled in training receives a monthly subsistence allowance. Eligible veterans may also receive specialized or adaptive equipment to help them overcome a disability or enable them to compete with non-disabled individuals. At the completion of training, veterans are provided with employment and placement services, including supplies and equipment needed to enter employment, adaptive equipment and workplace accommodations, incentives to employers to reimburse them for hiring and training veterans with disabilities, and two final months of subsistence allowance.

CASELOAD AND AVERAGE COST DATA

	2019 actual	2020 est.	2021 est.
Chapter 31:			
Rehabilitation, Evaluation, Planning and Service cases	26,753	28,038	28,751
Number of trainees	95,491	100,044	102,583
Average cost per trainee (in dollars)	\$14,531	\$14,866	\$15,524
Total cost (in millions)	\$1,388	\$1,447	\$1,542

Specially Adapted Housing Grants.—Specially adapted housing grants are provided to certain severely disabled veterans. In 2020, the maximum grant amount is \$90,364. Veterans who suffer service-connected blindness or who have lost the use of both upper extremities can receive up to \$18,074.

Specially Adapted Housing Assistive Technology Grants.—Under the Veterans Benefits Act of 2010 (P.L. 111–275), VA may provide grants of up to \$200,000 per fiscal year to individuals or entities for the development of specially adapted housing assistive technologies and limits to \$1 million the aggregate amount of such grants VA may award in any fiscal year.

Automobile Grants and Adaptive Equipment.—Certain disabled veterans are provided with automobile grants with the associated approved adaptive equipment. An allowance is provided to certain service-disabled veterans and servicemembers toward the purchase price of an automobile. The maximum allowance increased to \$21,488.29 in 2020 under The Veterans Benefits Act of 2010 (P.L. 111–275) and will continue to increase based on the CPI-U. Adaptive equipment and the maintenance and replacement of such equipment is also provided.

READJUSTMENT BENEFITS—Continued

CASELOAD AND AVERAGE COST DATA

	2019 actual	2020 est.	2021 est.
Housing grants:			
Number of housing grants	2,795	3,064	3,127
Average cost per grant	\$43,786	\$45,522	\$47,662
Total cost (in millions)	\$122	\$139	\$149
Housing technology grants:			
Number of housing technology grants	4	5	0
Average cost per grant	\$199,938	\$200,000	\$0
Total cost (in millions)	\$1	\$1	\$0
Automobiles or other conveyances:			
Number of conveyances	1,969	1,969	1,969
Average benefit	\$20,518	\$20,998	\$21,490
Obligations (in millions)	\$40	\$41	\$42
Adaptive equipment (including maintenance, repair, and installation for automobiles):			
Number of items	7,039	7,039	7,039
Average benefit	\$13,813	\$14,451	\$15,118
Obligations (in millions)	\$97	\$102	\$106

Tuition Assistance.—Public Law 106–398, enacted October 30, 2000, allows the military services to pay up to 100 percent of tuition and expenses charged by a school for servicemembers. If a service department pays less than 100 percent, a servicemember eligible for the Montgomery GI Bill Active-duty (MGIB) or the Post-9/11 GI Bill (Chapter 33) can elect to receive VA benefits for all or a portion of the remaining expenses. Public Law 108–454 established a program that provides availability of education benefits for payment for national admissions exams and national exams for credit at institutions of higher education.

The National Exams.—The benefit allows VA to reimburse for the fee charged for national tests for admission to institutions of higher learning and national tests providing an opportunity for course credit at institutions of higher learning.

Licensing and Certification Test Payments.—Under Public Law 106–419, veterans and other eligible persons may receive up to \$2,000 to pay fees required for civilian occupational licensing and certification examinations needed to enter, maintain, or advance in employment in a vocation or profession, effective March 1, 2001.

National Call to Service.—The 2003 National Defense Authorization Act directs the Department of Defense to offer an active duty enlistment option of 15 months plus training time to facilitate interest in National Service. Program participants will be given the opportunity to select one of the following incentives: a \$5,000 enlistment bonus, repayment of student loans up to \$18,000, or one of two education allowances.

Work-Study.—Certain veterans, reservists, and dependents pursuing a program of rehabilitation, education or training, who are enrolled as full-time students, can work up to 250 hours per semester, receiving the Federal (\$7.25 as of July 24, 2009) or State minimum wage rate, whichever is higher.

Payments to States.—State approving agencies are reimbursed for the costs of inspecting, approving, and supervising programs of education and training offered by educational institutions and training establishments in which veterans, dependents, and reservists are enrolled or are about to enter.

Reporting Fees.—Reporting fees are paid to education and training institutions to help defray the costs of certifying education enrollment for veterans enrolled in training during a calendar year.

Object Classification (in millions of dollars)

Identification code 036–0137–0–1–702	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	13,480	14,581	14,996
99.0 Reimbursable obligations	199	185	174
99.9 Total new obligations, unexpired accounts	13,679	14,766	15,170

READJUSTMENT BENEFITS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 036–0137–4–1–702	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0109 Prevent VA from providing unlimited amounts of payment for flight training at public schools			–7
0110 Approve preparatory courses for licensing and certification exams for GI Bill benefits			2
0111 Round-down of the computation of the cost of living adjustment (COLA) for certain education programs for 10 years			–2
0112 Restoration of entitlement to VR&E chapter 31 assistance for Servicemembers/Veterans with service-connected disabilities affected by a school closure or disapproval			1
0115 Extension of Authority for the Specially Adapted Housing (SAH) Assistive Technology Grant Program			1
0191 Total education and training			–5
0799 Total direct obligations			–5
0900 Total new obligations, unexpired accounts (object class 41.0)			–5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			–5
3020 Outlays (gross)			5
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances			–5
4180 Budget authority, net (total)			
4190 Outlays, net (total)			–5

Require an Individual to Make an Election to Receive Educational Assistance under the Montgomery GI Bill Active Duty (MGIB-AD) Program. Currently an individual may elect to decline educational assistance under MGIB-AD and has a one-time opportunity to make this election within 14 days of entering active duty service. If the individual does not decline the MGIB-AD program, DoD will automatically enroll the individual in the program, and his or her pay will be reduced by \$100 for each of the first 12 months of active duty service. VA proposes to require an individual to make an election, or opt-in, to receive MGIB-AD educational assistance before DoD can begin the \$100 pay reduction. This proposal would decrease the amount withheld from basic pay of Servicemembers and deposited into the general fund of the Treasury as a miscellaneous/proprietary receipt. VA estimates this proposal would create \$31.9 million in savings to VA over ten years and decrease Treasury collections by \$110.8 million in 2021, \$415.4 million over five years, and \$610.7 million over ten years.

Permit Full Allocation of State Approving Agency (SAA) Funding Including the COLA at the Beginning of the Fiscal Year. VA proposes to amend 38 U.S.C. 3674(a)(5)(B) to authorize the Secretary to increase the amount made available for reimbursement to SAAs by the same percentage as the annual increase in the benefit amounts payable under title II of the Social Security Act that was in effect the preceding calendar year. Utilizing the COLA from the preceding calendar year rather than the current calendar year would significantly reduce VA's administrative burden of amending agreements with more than 50 SAAs each year. Benefits savings associated with this proposal are insignificant.

Prevent VA from Providing Unlimited Amounts of Payment for Flight Training at Public Schools. VA seeks to amend 38 U.S.C. section 3313(c) and add a new section to impose tuition and fee payment caps at institutions of higher learning with flight training programs and establish a maximum allowable fee structure for all VA-funded flight programs. Based on a high ratio of Veteran to non-Veteran students within these programs currently, it appears some flight schools may be targeting VA beneficiaries because VA will pay 100 percent of the charges to eligible individuals. This proposal would eliminate further targeting of Veteran students for enrollment in

these programs and would promote greater consistency in program administration. Benefit savings are estimated to be \$7.2 million in 2021, \$37.6 million over five years, and \$81.0 million over ten years.

Forfeiture of GI Bill Benefits After Veteran/Beneficiary Colludes in Fraud: VA seeks to amend 38 U.S.C. 6103 to authorize VA to view GI Bill education benefits as forfeited when the beneficiary has been convicted of fraud concerning using their GI Bill benefits. VA believes the current law regarding fraud is not in the best interest of ensuring strong fiscal stewardship of the money entrusted to VA while advocating on behalf of Veterans. VA is unable to estimate costs or savings associated with this proposal because the number of individuals who commit this type of fraud is unavailable.

Approve Preparatory Courses of Licensing and Certification Exams for GI Bill Benefits. VA seeks to amend 38 U.S.C. section 3002(3)(B) to add a preparatory course for a test that is required to enter into, maintain, or advance in a given vocation or profession. Currently, VA educational assistance can be paid to reimburse the costs of fees associated with licensing and certification exams that are required to enter into, maintain, or advance in a given vocation or profession (e.g., State bar exams, medical board exams, electrician exams, Microsoft certifications, etc.). However, benefits cannot be paid for the costs of classes designed to prepare individuals to take exams. This stands in stark contrast with tests for admission to an institution of higher learning (e.g., SAT, ACT, GRE, LSAT, etc.) for which VA educational assistance can be paid for both preparatory courses and reimbursement of test fees. Benefit costs are estimated to be \$1.8 million in 2021, \$12.4 million over five years, and \$37.9 million over ten years.

Authorization of VA to Approve Interstate Commerce Carrier Apprenticeship Programs. VA seeks to amend 38 U.S.C 3672 to authorize the Secretary of VA to approve apprenticeship programs operated by interstate commerce carriers that operate in more than one state. This proposal would restore authority VA previously had that was unintentionally eliminated by P.L. 115–89. No mandatory costs or savings are associated with this proposal.

Round-Down of the Computation of the Cost-of-Living Adjustment (COLA) for Certain Education Programs for Ten Years. VA seeks to amend 38 U.S.C. sections 3015(h) and 3564 to round-down COLA computations from 2021 to 2030. This proposal would require that such increases be rounded down to the next lower whole dollar. The purpose of the rounding-down provision is two-fold: to simplify the benefit payment computation and, at the same time, to work towards balancing the budget without substantial loss to VA beneficiaries. Benefit savings are estimated to be \$1.5 million in 2021, \$12.7 million over five years, and \$51.1 million over ten years.

Allow VA to Contract with Another State Approving Agency (SAA) to Fulfill SAA Duties. VA seeks to amend 38 U.S.C. 3674 to authorize VA to contract with another SAA in order to fulfill SAA duties when VA is unable to use the SAA with an agency in the State to perform the duties of Title 38. Currently when there is no SAA in the State, VA employees must be trained to perform these duties as well as manage their regular duties. This amendment would assist VA in ensuring that appropriate approval and oversight activities are performed for the state by a seasoned SAA and will benefit Veterans, Servicemembers, dependents, and taxpayers. No mandatory costs or savings are associated with this proposal.

Restoration of Entitlement to Vocational Rehabilitation and Employment (VR&E) (Chapter 31) Assistance for Veterans with Service-Connected Disabilities Affected by School Closure or Disapproval. This proposal would extend the restoration of entitlement to educational assistance for veterans affected by school closure or disapproval, implemented by section 109 of the Howard W. Colmery Veterans Educational Assistance Act of 2017 (Forever GI Bill), to apply to vocational rehabilitation programs provided under chapter 31. Benefit costs are estimated to be \$657 thousand in 2021, \$1.7 million over five years, and \$3.5 million over ten years.

Reallocation of State Approving Agency (SAA) Funds. VA seeks to amend of 38 U.S.C. 3674 to include the provision/option to reallocate to VA a

portion of the fiscal year funding authorization available to SAAs when VA is not contracting with an agency in the State to perform the duties of Title 38. This amendment would provide the option to utilize funding for contract support, as well as assist in supporting the designated VA employee in fulfilling the role of the SAA. This would also ensure that appropriate approval and oversight activities are performed for the state, and benefit Veterans, Servicemembers, dependents, and taxpayers. No mandatory costs or savings associated with this proposal.

Eliminate Certain Provisions that Limit the Amount of Specially Adapted Housing (SAH) Assistance Available to Pay for Construction of an Adapted Home. VA seeks to amend 38 U.S.C. section 2102(a) to eliminate an element of the statutory formula that reduces the amount of grant funds otherwise available to an eligible Veteran to construct an adapted housing unit. This amendment would allow grant recipients to apply more grant funds against their cost to construct an adapted home and purchase the land upon which the home is or will be situated. Benefit costs are estimated to be insignificant.

Authorize VA to Collect Overpayments of Grant Funds Made under Chapter 21. This proposal would amend 38 U.S.C. section 2102 to explicitly authorize the Secretary to collect overpayments made to any Veteran or other person in connection with the acquisition or delivery of Specially Adapted Housing (SAH). This proposed authority is consistent with VA's authority under 38 U.S.C. section 3685 to collect overpayments made in connection with its education programs. Benefit savings are estimated to be insignificant.

Eliminate the Requirement to Submit a Signed Training Agreement for On-the-Job Training Programs. VA seeks to amend 38 U.S.C. 3677(c)(8) to eliminate the requirement for a training facility to submit a signed training agreement to VA for on-the-job training and apprenticeship programs. The current requirement often delays claims processing since VA is prohibited from processing an on-the-job training claim until the training agreement is received. VA instead proposes that the training agreement be kept on file at the training facility. No mandatory costs or savings are associated with this proposal.

Extension of Authority for Specially Adapted Housing Assistive Technology (SAH-AT) Grant Program. This proposal would extend the authority of the Secretary to award the SAH Assistive Technology (SAH-AT) grant program and administer the program through September 30, 2025. Section 203 of Public Law 111–275 (Veterans Benefits Act of 2010) established the SAH-AT grant program with a sunset date of September 30, 2016. Congress has since extended the sunset date three times. Most recently, Congress extended the authority, via Section 122 of Public Law 115–251 through September 30, 2020. Benefit costs associated with this proposal are \$1 million in 2021, \$5 million over five years, and \$5 million over ten years.

Prevent Improper Payments by Barring Payment to Any Approved Programs of Education Which Fail to Meet Any Statutory Requirements. VA seeks to amend 38 U.S.C. 3523 and 3680A to state that the Secretary shall not approve the enrollment of an eligible person in any course that fails to meet any requirements of chapters 35 or 36. This proposal would continue with the tradition of allowing the Secretary to control payments while not interfering with the SAA's authority to approve programs. No mandatory costs or savings are associated with this proposal.

Simplify Accounting for VA Education Benefits. VA proposes to pay all education benefits from one account by moving the Post-Vietnam Era Veterans Education Assistance Program (VEAP) account within the Readjustment Benefits (RB) account, which is used to pay for all other VA education benefit programs. Consolidating VEAP under the RB account would make the budget justification and accounting statements clearer and more concise and would allow budget and accounting staffs to focus more on larger benefit programs. No mandatory costs or savings are associated with this proposal.

VETERANS INSURANCE AND INDEMNITIES

For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by chapters 19 and 21 of title 38, United States Code, **[\$17,620,000]** \$2,148,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, **[2019]** 2020, to remain available until expended; and, in addition, **[\$129,224,000]** \$136,950,000, shall become available on October 1, **[2020]** 2021, and shall remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0120-0-1-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0011 VMLI Death Claims	40	45	43
0012 Payment to Service-Disabled Veterans Insurance	75	89	96
0100 Total direct expenses	115	134	139
0900 Total new obligations, unexpired accounts	115	134	139
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		18	2
Advance appropriations, mandatory:			
1270 Advance appropriation	109	111	129
Spending authority from offsetting collections, mandatory:			
1800 Collected	6	7	6
1900 Budget authority (total)	115	136	137
1930 Total budgetary resources available	115	136	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		2	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	6	
3010 New obligations, unexpired accounts	115	134	139
3020 Outlays (gross)	-116	-140	-139
3050 Unpaid obligations, end of year	6		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	6	
3200 Obligated balance, end of year	6		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	115	136	137
Outlays, gross:			
4100 Outlays from new mandatory authority	109	134	137
4101 Outlays from mandatory balances	7	6	2
4110 Outlays, gross (total)	116	140	139
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-6	-7	-6
4180 Budget authority, net (total)	109	129	131
4190 Outlays, net (total)	110	133	133

WORKLOAD

	2019 actual	2020 est.	2021 est.
Policy service actions	671,641	749,870	680,350
Collections	324,822	293,700	243,900
Disability claims	29,547	31,850	31,360
Insurance awards	125,659	148,680	129,200

For 2022, the Budget requests \$136,950,000 in advance appropriations for Veterans Insurance and Indemnities (VI&I). This request satisfies the requirement created by the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235) and prevents our Nation's veterans from being adversely affected by budget delays.

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

The insurance business line administers six life insurance programs, including two trust funds, two public enterprise funds, a trust revolving fund, and Veterans' Mortgage Life Insurance (VMLI); and supervises four addi-

tional programs for the benefit of servicepersons, veterans, and their beneficiaries through contracts with a commercial company. All programs are operated on a commercial basis, to the extent possible, consistent with all applicable statutes. The insurance appropriation is the supplemental funding mechanism for the following Government life insurance activities: National Service Life Insurance (NSLI); Service-Disabled Veterans Insurance Fund (S-DVI); and VMLI.

National Service Life Insurance (NSLI).—Payments are made to the NSLI fund for certain World War II veterans for: (a) extra hazards of service; (b) gratuitous insurance granted to certain persons unable to apply for NSLI; and (c) death claims on policies under the waiver of a premium while the insured was on active duty.

Payment to Service-Disabled Veterans Insurance Fund (S-DVI).—Payments are made to the S-DVI fund to supplement the premiums and other receipts of the fund in amounts necessary to pay claims on insurance policies issued to veterans with service-connected disabilities.

Veterans' Mortgage Life Insurance (VMLI).—Payments are made to mortgage holders under this program, which provides mortgage protection life insurance to veterans who have received a grant for specially adapted housing due to severe disabilities. The trend in the number and amount of insurance policies in force appears in the following table.

POLICIES AND INSURANCE IN FORCE

VMLI Policies	2019 actual	2020 est.	2021 est.
Number of Policies	2,592	2,600	2,630
Amount of Insurance (dollars in millions)	\$358	\$369	\$379

Object Classification (in millions of dollars)

Identification code 036-0120-0-1-701	2019 actual	2020 est.	2021 est.
42.0 Direct obligations: Insurance claims and indemnities	113	132	137
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations, unexpired accounts	115	134	139

FILIPINO VETERANS EQUITY COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 036-1121-0-1-701	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	56	56
1930 Total budgetary resources available	56	56	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56	56	56
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This fund was established under the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 (P.L. 110-329), to make payments to eligible persons who served in the Philippines during World War II. Payments were subsequently authorized by the Congress in the American Recovery and Reinvestment Act of 2009 (P.L. 111-5). Original funding of \$198,000,000 was supplemented by a transfer of \$67,000,000 authorized by Public Law 111-212 that remains available until expended. Payments to citizens of the United States are \$15,000. Payments to non-U.S. citizens are \$9,000.

GENERAL OPERATING EXPENSES, VETERANS BENEFITS ADMINISTRATION

For necessary operating expenses of the Veterans Benefits Administration, not otherwise provided for, including hire of passenger motor vehicles, reimbursement of the General Services Administration for security guard services, and reimbursement of the Department of Defense for the cost of overseas employee mail, **[\$3,125,000,000]** \$3,207,000,000: *Provided*, That expenses for services and assistance authorized under paragraphs (1), (2), (5), and (11) of section 3104(a) of title 38, United States Code, that the Secretary of Veterans Affairs determines are neces-

sary to enable entitled veterans: (1) to the maximum extent feasible, to become employable and to obtain and maintain suitable employment; or (2) to achieve maximum independence in daily living, shall be charged to this account: *Provided further*, That, of the funds made available under this heading, not to exceed 10 percent shall remain available until September 30, [2021] 2022. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)			
Identification code 036-0151-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Compensation and pensions	2,421	2,523	2,531
0011 Education	230	233	256
0012 Vocational rehabilitation and counseling	241	256	280
0013 Insurance	1	2	2
0014 Housing	25	28	27
0015 Transition and Economic Development	65	83	111
0799 Total direct obligations	2,983	3,125	3,207
0801 Compensation and pensions	1,248	1,832	2,253
0802 Education	1	1	1
0804 Insurance	30	33	33
0805 Housing	139	154	158
0899 Total reimbursable obligations	1,417	2,020	2,445
0900 Total new obligations, unexpired accounts	4,400	5,145	5,652
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28		
1012 Unobligated balance transfers between expired and unexpired accounts	66		
1050 Unobligated balance (total)	94		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,956	3,125	3,207
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,433	2,020	2,445
1900 Budget authority (total)	4,389	5,145	5,652
1930 Total budgetary resources available	4,483	5,145	5,652
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-83		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	806	885	731
3010 New obligations, unexpired accounts	4,400	5,145	5,652
3011 Obligations ("upward adjustments"), expired accounts	46		
3020 Outlays (gross)	-4,310	-5,299	-5,574
3041 Recoveries of prior year unpaid obligations, expired	-57		
3050 Unpaid obligations, end of year	885	731	809
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	806	885	731
3200 Obligated balance, end of year	885	731	809
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,389	5,145	5,652
Outlays, gross:			
4010 Outlays from new discretionary authority	3,606	4,501	5,025
4011 Outlays from discretionary balances	704	798	549
4020 Outlays, gross (total)	4,310	5,299	5,574
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,440	-2,020	-2,445
4033 Non-Federal sources	-7		
4040 Offsets against gross budget authority and outlays (total)	-1,447	-2,020	-2,445
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	14		
4070 Budget authority, net (discretionary)	2,956	3,125	3,207
4080 Outlays, net (discretionary)	2,863	3,279	3,129
4180 Budget authority, net (total)	2,956	3,125	3,207
4190 Outlays, net (total)	2,863	3,279	3,129

General Operating Expenses, Veterans Benefits Administration.—This appropriation provides for the Department's top management direction and administrative support, including fiscal, personnel, and legal services, as well as for the administration of veteran benefits. The total cost of admin-

istering veterans insurance programs is funded through direct appropriations to this account and through reimbursements from the insurance trust fund.

Note.—Reflects FTE treated as reimbursements in all years and the effects of Credit Reform, per Public Law 101-508.

Object Classification (in millions of dollars)

Identification code 036-0151-0-1-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,323	1,488	1,495
11.5 Other personnel compensation	388	450	453
11.9 Total personnel compensation	1,711	1,938	1,948
12.1 Civilian personnel benefits	594	600	601
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	26	38	39
22.0 Transportation of things	2	1	2
23.1 Rent	129	134	136
23.2 Rental payments to others	21	21	22
23.3 Communications, utilities, and miscellaneous charges	17	17	18
24.0 Printing and reproduction	3	3	3
25.2 Other services from non-Federal sources	466	339	404
26.0 Supplies and materials	6	8	8
31.0 Equipment	6	24	24
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	2,983	3,125	3,207
99.0 Reimbursable obligations	1,417	2,020	2,445
99.9 Total new obligations, unexpired accounts	4,400	5,145	5,652

Employment Summary

Identification code 036-0151-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	22,111	23,184	23,320
2001 Reimbursable civilian full-time equivalent employment	1,036	1,406	1,397

SERVICE-DISABLED VETERANS INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-4012-0-3-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Capital investment	29	31	33
0802 Death claims	114	126	128
0803 All other	8	8	8
0804 Payments to GOE and IT	22	24	24
0900 Total new obligations, unexpired accounts	173	189	193
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	22	21	18
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	172	186	193
1930 Total budgetary resources available	194	207	211
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	21	18	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	27	34	34
3010 New obligations, unexpired accounts	173	189	193
3020 Outlays (gross)	-166	-189	-192
3050 Unpaid obligations, end of year	34	34	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	27	34	34
3200 Obligated balance, end of year	34	34	35

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	172	186	193
Outlays, gross:			
4100 Outlays from new mandatory authority	117	157	193
4101 Outlays from mandatory balances	49	32	-1
4110 Outlays, gross (total)	166	189	192

SERVICE-DISABLED VETERANS INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 036-4012-0-3-701	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-75	-89	-97
4123 Non-Federal sources	-97	-6	-5
4123 Non-Federal sources		-66	-65
4123 Non-Federal sources		-25	-26
4130 Offsets against gross budget authority and outlays (total)	-172	-186	-193
4170 Outlays, net (mandatory)	-6	3	-1
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-6	3	-1

The Insurance Act of 1951 established the Service-Disabled Veterans Insurance (S-DVI) program for veterans with service-connected disabilities. S-DVI is open to veterans who separated from the service on or after April 25, 1951. This fund finances the payment of claims on existing life insurance policies and remains open for new issues at standard rates to veterans having service-connected disabilities.

OPERATING COSTS

Death claims.—Represents payments to designated beneficiaries.

All other.—Represents payments to policyholders who surrender their policies for their cash value and hold endowment policies which have matured.

Capital investment.—A policyholder may borrow up to 94 percent of the value of his or her policy.

Administration.—Represents the administrative costs of claims processing and account maintenance.

The trend in the number and amount of policies in force is indicated in the following table.

POLICIES AND INSURANCE IN FORCE

	2019 actual	2020 est.	2021 est.
Number of policies (EOY)	279,112	281,664	283,108
Insurance in force (dollars in millions) (EOY)	\$2,934	\$2,986	\$3,016

Financing.—Operations are financed from premiums and other receipts. Additional funds are received by transfer from the Veterans Insurance and Indemnities appropriation, instead of direct appropriations to this fund.

Operating results and financial condition.—Since premium and other receipts are insufficient to cover operations, the fund continues to project liabilities in excess of assets. The deficit is expected to reach an estimated \$1,412 million by September 30, 2020. The expected deficit is financed by additional funds from the above-mentioned Veterans Insurance and Indemnities appropriations.

Object Classification (in millions of dollars)

Identification code 036-4012-0-3-701	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
33.0 Investments and loans	30	31	33
42.0 Insurance claims and indemnities	143	158	160
99.9 Total new obligations, unexpired accounts	173	189	193

VETERANS REOPENED INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-4010-0-3-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Death claims	14	14	11
0802 Dividends	1	1	1
0803 All other	5	5	4
0900 Total new obligations, unexpired accounts	20	20	16

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	79	64	49
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	5	4
1930 Total budgetary resources available	84	69	53
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	64	49	37

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	23	20	19
3010 New obligations, unexpired accounts	20	20	16
3020 Outlays (gross)	-23	-21	-20
3050 Unpaid obligations, end of year	20	19	15
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	19	18
3200 Obligated balance, end of year	19	18	14

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	5	5	4
Outlays, gross:			
4100 Outlays from new mandatory authority	5	5	4
4101 Outlays from mandatory balances	18	16	16
4110 Outlays, gross (total)	23	21	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-4	-3	-2
4123 Non-Federal sources	-1	-1	-1
4123 Non-Federal sources		-1	-1
4130 Offsets against gross budget authority and outlays (total)	-5	-5	-4
4170 Outlays, net (mandatory)	18	16	16
4180 Budget authority, net (total)			
4190 Outlays, net (total)	18	16	16

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	101	84	67
5001 Total investments, EOY: Federal securities: Par value	84	67	51

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund pays claims and administrative costs on participating life insurance policies issued during the period May 1, 1965, through May 2, 1966, under three life insurance programs: 1) service-disabled standard insurance; 2) service-disabled rated insurance; and 3) nonservice-disabled insurance availing disabled World War II and Korean conflict veterans an opportunity to acquire life insurance coverage who were no longer eligible for other government insurance.

Budget program:

Death claims.—Represents payments to designated beneficiaries.

Dividends.—Policyholders participate in the distribution of annual dividends.

All other.—This represents resources for the administrative costs of processing claims and maintaining the accounts, and to those policyholders who: (a) surrender their policies for cash value; (b) hold endowment policies which have matured; and (c) have purchased total disability income coverage and subsequently become disabled.

Policy loans made.—A policyholder may borrow up to 94 percent of the cash value of his policy at an interest rate adjusted to reflect private sector borrowing costs.

The following table reflects the decrease in the number of policies and the amount of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2019 actual	2020 est.	2021 est.
Number of policies	6,299	4,966	3,846
Insurance in force (dollars in millions)	\$66	\$53	\$41

Financing.—Operations are financed from premiums collected from policyholders and interest on investments. Excess earnings of the fund are distributed to the policyholders in the form of an annual dividend.

Object Classification (in millions of dollars)

Identification code 036-4010-0-3-701	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
42.0 Insurance claims and indemnities	18	18	15
43.0 Interest and dividends	2	2	1
99.9 Total new obligations, unexpired accounts	20	20	16

SERVICEMEMBERS' GROUP LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-4009-0-3-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Premium payments	742	671	671
0803 Payment to GOE	2	3	3
0900 Total new obligations, unexpired accounts (object class 41.0)	744	674	674
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	302	1,101
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,044	1,474	1,474
1801 Change in uncollected payments, Federal sources	1	-1
1850 Spending auth from offsetting collections, mand (total)	1,045	1,473	1,474
1930 Total budgetary resources available	1,046	1,775	2,575
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	302	1,101	1,901
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	744	674	674
3020 Outlays (gross)	-744	-674	-674
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1	1
3090 Uncollected pymts, Fed sources, end of year	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-1
3200 Obligated balance, end of year	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,045	1,473	1,474
Outlays, gross:			
4100 Outlays from new mandatory authority	744	674	674
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1
4123 Non-Federal sources	-744	-674	-674
4124 Offsetting governmental collections	-300	-799	-800
4130 Offsets against gross budget authority and outlays (total)	-1,044	-1,474	-1,474
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-1	1
4170 Outlays, net (mandatory)	-300	-800	-800
4180 Budget authority, net (total)
4190 Outlays, net (total)	-300	-800	-800
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1	301	1,112
5001 Total investments, EOY: Federal securities: Par value	301	1,112	1,948

This fund finances the payment of group life insurance premiums to private insurance companies under the Servicemembers' Group Life Insurance (SGLI) Act of 1965, as amended. SGLI is a program for servicemembers on active duty, ready reservists, members of the National Guard, members of the Commissioned Corps of the National Oceanic and Atmospheric Administration and the Public Health Service, cadets and midshipmen of the four service academies, and members of the Reserve Officer

Training Corps. SGLI coverage is available in \$50,000 increments up to the maximum of \$400,000. Veterans' Group Life Insurance (VGLI) is a program of post-separation insurance which allows servicemembers to convert their SGLI coverage to renewable term insurance. Family Servicemembers' Group Life Insurance (FSGLI) is a program extended to the spouses and dependent children of members insured under the SGLI program. FSGLI provides up to a maximum of \$100,000 of insurance coverage for spouses, not to exceed the amount of SGLI the insured member has in force, and \$10,000 of free coverage for dependent children. Spousal coverage is issued in increments of \$10,000.

The Servicemembers' Group Life Insurance Traumatic Injury Protection Program (TSGLI) became effective December 1, 2005. TSGLI provides for payment between \$25,000 and \$100,000 (depending on the type of injury) to any member of the uniformed services covered by SGLI who sustains a traumatic injury that results in certain serious losses.

VETERANS HOUSING BENEFIT PROGRAM FUND

For the cost of direct and guaranteed loans, such sums as may be necessary to carry out the program, as authorized by subchapters I through III of chapter 37 of title 38, United States Code: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That, during fiscal year [2020] 2021, within the resources available, not to exceed \$500,000 in gross obligations for direct loans are authorized for specially adapted housing loans.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, [\$200,377,391] \$204,400,000. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-1119-0-1-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	7
0702 Loan guarantee subsidy	130
0705 Reestimates of direct loan subsidy	10	5
0706 Interest on reestimates of direct loan subsidy	8	5
0707 Reestimates of loan guarantee subsidy	37	34
0708 Interest on reestimates of loan guarantee subsidy	33	27
0709 Administrative expenses	185	200	204
0900 Total new obligations, unexpired accounts	403	278	204
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	201	200	204
Appropriations, mandatory:			
1200 Appropriation	218	78
1900 Budget authority (total)	419	278	204
1930 Total budgetary resources available	419	278	204
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	1
3010 New obligations, unexpired accounts	403	278	204
3020 Outlays (gross)	-392	-288	-204
3050 Unpaid obligations, end of year	11	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	1
3200 Obligated balance, end of year	11	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	201	200	204
Outlays, gross:			
4010 Outlays from new discretionary authority	185	200	204
Mandatory:			
4090 Budget authority, gross	218	78
Outlays, gross:			
4100 Outlays from new mandatory authority	118	78
4101 Outlays from mandatory balances	89	10

VETERANS HOUSING BENEFIT PROGRAM FUND—Continued
Program and Financing—Continued

Identification code 036-1119-0-1-704	2019 actual	2020 est.	2021 est.
4110 Outlays, gross (total)	207	88
4180 Budget authority, net (total)	419	278	204
4190 Outlays, net (total)	392	288	204

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 036-1119-0-1-704	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Acquired Direct Loans	1	2	2
115004 Vendee Direct Loans	70	86	97
115999 Total direct loan levels	71	88	99
Direct loan subsidy (in percent):			
132001 Acquired Direct Loans	6.33	17.77	-1.57
132004 Vendee Direct Loans	-5.47	8.51	-22.54
132999 Weighted average subsidy rate	-5.30	8.72	-22.12
Direct loan subsidy budget authority:			
133004 Vendee Direct Loans	-4	7	-22
133999 Total subsidy budget authority	-4	7	-22
Direct loan subsidy outlays:			
134004 Vendee Direct Loans	7
134999 Total subsidy outlays	7
Direct loan reestimates:			
135001 Acquired Direct Loans	7	-3
135004 Vendee Direct Loans	2	-14
135005 Acquired and Vendee Loan Reestimates	7	2
135999 Total direct loan reestimates	16	-15
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Housing Guaranteed Loans	187,409	170,737	142,877
215999 Total loan guarantee levels	187,409	170,737	142,877
Guaranteed loan subsidy (in percent):			
232001 Housing Guaranteed Loans	0.07	-30	-50
232999 Weighted average subsidy rate	0.07	-30	-50
Guaranteed loan subsidy budget authority:			
233001 Housing Guaranteed Loans	131	-512	-714
233999 Total subsidy budget authority	131	-512	-714
Guaranteed loan subsidy outlays:			
234001 Housing Guaranteed Loans	119	-512	-714
234999 Total subsidy outlays	119	-512	-714
Guaranteed loan reestimates:			
235001 Housing Guaranteed Loans	-3,417	-2,462
235002 Guaranteed Loan Sale Securities—Vendee	-7	-19
235999 Total guaranteed loan reestimates	-3,424	-2,481
Administrative expense data:			
3510 Budget authority	201	203	203
3590 Outlays from new authority	185	203	203

Veterans Affairs (VA) Housing Program Account.—The housing program helps eligible veterans, active duty personnel, surviving spouses, and members of the Reserves and National Guard purchase, retain, and adapt homes in recognition of their service to the Nation. When a borrower purchases a home, the program operates by substituting the Federal Government's guaranty for a down payment that might otherwise be required.

Under 38 U.S.C. 3703, the guaranty amount for a borrower with full entitlement (first-time users of the program or users whose entitlement is fully restored) is as follows:

- (a) 50 percent for loans of \$45,000 or less;
- (b) \$22,500 for loans greater than \$45,000, but no more than \$56,250;
- (c) the lesser of \$36,000 or 40 percent of the loan amount for loans greater than \$56,250, but not more than \$144,000; or
- (d) 25 percent of the loan amount for loans of \$144,001 or greater.

This appropriation provides for the corporate leadership and operational support to VA's Housing business line. The Housing Program facilitates the extension of private capital, on more liberal terms than generally available to nonveterans, to assist veterans and servicemembers in obtaining

housing credit, and assist veterans in retaining their homes during periods of temporary economic difficulty through intensive supplemental mortgage loan servicing.

Guaranteed transitional housing loans for homeless veterans.—Established as a pilot project by the Veterans Benefits Improvement Act of 1998 (Public Law 105-368), this program does not require any new loan subsidy funding. The program has originated no new loans since 2009. The program was canceled in 2012. The existing loan will continue to be serviced within the program's financing account.

WORKLOAD

	[In thousands]		
	2019 actual	2020 est.	2021 est.
Construction and valuation	666	634	619
Loan processing	1178	1147	1111
Loan service and claims	109	107	100

Object Classification (in millions of dollars)

Identification code 036-1119-0-1-704	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	185	200	204
41.0 Grants, subsidies, and contributions	218	78
99.9 Total new obligations, unexpired accounts	403	278	204

VETERANS HOUSING BENEFIT PROGRAM FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 036-1119-4-1-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0704 Subsidy for modifications of loan guarantees	12
0900 Total new obligations, unexpired accounts (object class 41.0)	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	-12
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	12
3050 Unpaid obligations, end of year	12
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	12
4180 Budget authority, net (total)
4190 Outlays, net (total)

Housing Assistance for Homeless Veterans Under 38 U.S.C. Section 2041 and Update to Include Permanent Housing Options. This proposal would authorize, through September 30, 2025, the Secretary to use homes acquired through the guaranteed loan program to assist homeless Veterans through the Homeless Shelter Program. This proposal would also amend 38 U.S.C. 38 section 2041 by adding that properties acquired under this section may be used to provide permanent housing. This change would better reflect Federal housing policy regarding homelessness. Mandatory costs of \$12.0 million over five years are associated with this proposal.

Extend Vendee Loan Program and Change Mandatory Vendee Origination Thresholds to Marketing Requirements. This proposal would extend through September 30, 2025 the Secretary's requirement to sell a certain percentage of properties that VA acquires through foreclosure with vendee financing. The existing statutory requirement, set forth in 38 U.S.C. section 3733 (a)(7), expires on September 30, 2020. This proposal would change the current mandatory vendee loan origination thresholds to a requirement that the Secretary market a certain percentage of acquired properties with vendee loan financing. The proposal would not affect market demand for vendee loan financing, and no loan subsidy costs are associated with this proposal.

HOUSING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4127-0-3-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Property management/other expense	6	8	1
0091 Direct program activities, subtotal	6	8	1
Credit program obligations:			
0710 Direct loan obligations	71	88	99
0713 Payment of interest to Treasury	22	24	26
0740 Negative subsidy obligations	4		22
0742 Downward reestimates paid to receipt accounts		21	
0743 Interest on downward reestimates	2	3	
0791 Direct program activities, subtotal	99	136	147
0900 Total new obligations, unexpired accounts	105	144	148
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	90	92	1
1023 Unobligated balances applied to repay debt	-77	-92	
1050 Unobligated balance (total)	13		1
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	188	137	148
1422 Borrowing authority applied to repay debt	-5		
1440 Borrowing authority, mandatory (total)	183	137	148
Spending authority from offsetting collections, mandatory:			
1800 Collected	78	56	39
1825 Spending authority from offsetting collections applied to repay debt	-77	-48	-39
1850 Spending auth from offsetting collections, mand (total)	1	8	
1900 Budget authority (total)	184	145	148
1930 Total budgetary resources available	197	145	149
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	92	1	1

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	10	1
3010 New obligations, unexpired accounts	105	144	148
3020 Outlays (gross)	-96	-153	-148
3050 Unpaid obligations, end of year	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	10	1
3200 Obligated balance, end of year	10	1	1

Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	184	145	148
Financing disbursements:			
4110 Outlays, gross (total)	96	153	148
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Payments from program account	-18	-18	
4122 Interest on uninvested funds	-7		
4123 Interest and principal received on loans	-53	-33	-35
4123 Fees		-2	-2
4123 Cash sale of properties		-3	-2
4130 Offsets against gross budget authority and outlays (total)	-78	-56	-39
4160 Budget authority, net (mandatory)	106	89	109
4170 Outlays, net (mandatory)	18	97	109
4180 Budget authority, net (total)	106	89	109
4190 Outlays, net (total)	18	97	109

Status of Direct Loans (in millions of dollars)

Identification code 036-4127-0-3-704	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	71	88	99
1150 Total direct loan obligations	71	88	99
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	307	341	411
1231 Disbursements: Direct loan disbursements	72	88	99
1251 Repayments: Repayments and prepayments	-36	-16	-17

1263 Write-offs for default: Direct loans	-2	-2	-1
1290 Outstanding, end of year	341	411	492

Balance Sheet (in millions of dollars)

Identification code 036-4127-0-3-704	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	91	102
Investments in U.S. securities:		
1106 Receivables, net	20	20
1206 Non-Federal assets: Receivables, net	5	5
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	307	341
1402 Interest receivable	19	18
1404 Foreclosed property	2	2
1405 Allowance for subsidy cost (-)	30	22
1499 Net present value of assets related to direct loans	358	383
1901 Other Federal assets: Other assets	1	
1999 Total assets	475	510
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	1	6
2103 Debt	463	492
2105 Other	6	6
Non-Federal liabilities:		
2201 Accounts payable		
2207 Other	5	
2999 Total liabilities	475	504
NET POSITION:		
3300 Cumulative results of operations		6
4999 Total liabilities and net position	475	510

HOUSING GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4129-0-3-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Losses on defaulted loans	592	671	760
0005 Payment to trustee reserve	5	15	14
0009 Property sales expense	103	105	119
0010 Property management expense	76	133	92
0011 Property improvement expense	3	3	4
0012 Loans acquired	1	2	3
0013 Refunds	300		
0091 Direct program activities, subtotal	1,080	929	992
Credit program obligations:			
0711 Default claim payments on principal	1,426	1,393	1,561
0740 Negative subsidy obligations		512	714
0742 Downward reestimates paid to receipt accounts	3,182	2,371	
0743 Interest on downward reestimates	312	172	
0791 Direct program activities, subtotal	4,920	4,448	2,275
0900 Total new obligations, unexpired accounts	6,000	5,377	3,267
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11,044	8,868	7,724
1033 Recoveries of prior year paid obligations	6		
1050 Unobligated balance (total)	11,050	8,868	7,724
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		512	719
Spending authority from offsetting collections, mandatory:			
1800 Collected	3,812	4,233	4,087
1801 Change in uncollected payments, Federal sources	6		
1825 Spending authority from offsetting collections applied to repay debt		-512	-719
1850 Spending auth from offsetting collections, mand (total)	3,818	3,721	3,368
1900 Budget authority (total)	3,818	4,233	4,087
1930 Total budgetary resources available	14,868	13,101	11,811
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8,868	7,724	8,544

HOUSING GUARANTEED LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 036-4129-0-3-704	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	291	343	52
3010 New obligations, unexpired accounts	6,000	5,377	3,267
3020 Outlays (gross)	-5,948	-5,668	-3,267
3050 Unpaid obligations, end of year	343	52	52
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-6		
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	290	336	45
3200 Obligated balance, end of year	336	45	45

Identification code 036-4129-0-3-704	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	3,818	4,233	4,087
Financing disbursements:			
4110 Outlays, gross (total)	5,948	5,668	3,267
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account	-201	-61	
4120 Recoveries from DLFA	-64	-90	-100
4122 Interest on uninvested funds	-180	-815	-827
4123 Funding fees	-2,107	-2,005	-1,772
4123 Cash sale of properties	-1,256	-1,262	-1,388
4123 Redemption of Properties/Other income and receivables	-10		
4130 Offsets against gross budget authority and outlays (total)	-3,818	-4,233	-4,087
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	-6		
4143 Recoveries of prior year paid obligations, unexpired accounts	6		
4170 Outlays, net (mandatory)	2,130	1,435	-820
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2,130	1,435	-820

Status of Guaranteed Loans (in millions of dollars)

Identification code 036-4129-0-3-704	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	187,409	170,737	142,877
2150 Total guaranteed loan commitments	187,409	170,737	142,877
2199 Guaranteed amount of guaranteed loan commitments	51,725	47,123	39,434
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	664,358	712,907	824,214
2231 Disbursements of new guaranteed loans	187,409	170,737	142,877
2251 Repayments and prepayments	-136,837	-57,349	-54,337
Adjustments:			
2262 Terminations for default that result in acquisition of property	-1,426	-1,393	-1,561
2263 Terminations for default that result in claim payments	-597	-688	-778
2290 Outstanding, end of year	712,907	824,214	910,415
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	180,276	208,310	230,009

Balance Sheet (in millions of dollars)

Identification code 036-4129-0-3-704	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	11,334	9,203
Investments in U.S. securities:		
1106 Receivables, net	73	147
1206 Non-Federal assets: Receivables, net		10
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1504 Accounts receivable from foreclosed property	13	11
1504 Foreclosed property	667	721

1599 Net present value of assets related to defaulted guaranteed loans	680	732
1999 Total assets	12,087	10,092
LIABILITIES:		
Federal liabilities:		
2103 Debt		
2105 Other liabilities	3,354	2,393
Non-Federal liabilities:		
2201 Accounts payable	291	342
2204 Non-federal liabilities for loan guarantees	8,442	7,357
2999 Total liabilities	12,087	10,092
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	12,087	10,092

HOUSING LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4025-0-3-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0105 Capital investments, guaranteed claims payment and other operating expenses	2	2	2
0900 Total new obligations, unexpired accounts (object class 33.0)	2	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1022 Capital transfer of unobligated balances to general fund	-1		
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	11	9	7
1820 Capital transfer of spending authority from offsetting collections to general fund	-9	-7	-5
1850 Spending auth from offsetting collections, mand (total)	2	2	2
1930 Total budgetary resources available	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-1	-3	-2
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
3200 Obligated balance, end of year	1		

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances	1	1	
4110 Outlays, gross (total)	1	3	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Sale of homes, cash	-1	-1	-1
4123 Interest collection on Veteran liability debts	-4	-3	-3
4123 Principal collection on Veteran liability debts	-6	-5	-3
4130 Offsets against gross budget authority and outlays (total)	-11	-9	-7
4160 Budget authority, net (mandatory)	-9	-7	-5
4170 Outlays, net (mandatory)	-10	-6	-5
4180 Budget authority, net (total)	-9	-7	-5
4190 Outlays, net (total)	-10	-6	-5

Memorandum (non-add) entries:			
5010 Total investments, SOY: non-Fed securities: Market value	140	140	140
5011 Total investments, EOY: non-Fed securities: Market value	140	140	140

Status of Direct Loans (in millions of dollars)

Identification code 036-4025-0-3-704	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	16	10	10

1251	Repayments: Repayments and prepayments	-6		
1290	Outstanding, end of year	10	10	10

Status of Guaranteed Loans (in millions of dollars)

Identification code 036-4025-0-3-704	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	16	9	4
2251 Repayments and prepayments	-7	-5	-2
2262 Adjustments: Terminations for default that result in acquisition of property			
2290 Outstanding, end of year	9	4	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1	1	
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	16	1	4
2331 Disbursements for guaranteed loan claims		8	
2351 Repayments of loans receivable	-15	-5	-3
2364 Other adjustments, net			
2390 Outstanding, end of year	1	4	1

Balance Sheet (in millions of dollars)

Identification code 036-4025-0-3-704	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	2
Non-Federal assets:		
1201 Investments in non-Federal securities, net	140	140
1206 Receivables, net	1	1
1601 Direct loans, gross	1	10
1602 Interest receivable	37	36
1603 Allowance for estimated uncollectible loans and interest (-)	-28	-44
1604 Direct loans and interest receivable, net	10	2
1605 Accounts receivable from foreclosed property		1
1699 Value of assets related to direct loans	10	3
1701 Defaulted guaranteed loans, gross	16	1
1703 Allowance for estimated uncollectible loans and interest (-)	-15	
1704 Defaulted guaranteed loans and interest receivable, net	1	1
1706 Foreclosed property		
1799 Value of assets related to loan guarantees	1	1
1999 Total assets	153	147
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	1	1
2204 Liabilities for loan guarantees	275	275
2207 Other Deferred Revenue		3
2999 Total liabilities	276	279
NET POSITION:		
3300 Cumulative results of operations	-123	-132
4999 Total liabilities and net position	153	147

NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT

For administrative expenses to carry out the direct loan program authorized by subchapter V of chapter 37 of title 38, United States Code, [§1,186,000] \$1,163,000.

VOCATIONAL REHABILITATION LOANS PROGRAM ACCOUNT

For the cost of direct loans, [§57,729] \$33,826, as authorized by chapter 31 of title 38, United States Code: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That funds made available under this heading are available to subsidize gross obligations for the principal amount of direct loans not to exceed [§2,008,232] \$2,469,522.

In addition, for administrative expenses necessary to carry out the direct loan program, [§401,880] \$424,272, which may be paid to the appropriation for "General Operating Expenses, Veterans Benefits Administration". (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-1120-0-1-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	2	1	
0706 Interest on reestimates of direct loan subsidy	1		
0709 Administrative expenses	1	2	2
0900 Total new obligations, unexpired accounts	4	3	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
Appropriations, mandatory:			
1200 Appropriation	2	1	
1900 Budget authority (total)	4	3	2
1930 Total budgetary resources available	6	5	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	3	2
3020 Outlays (gross)	-4	-3	-2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
Mandatory:			
4090 Budget authority, gross	2	1	
Outlays, gross:			
4100 Outlays from new mandatory authority	2	1	
4180 Budget authority, net (total)	4	3	2
4190 Outlays, net (total)	4	3	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 036-1120-0-1-704	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Native American Direct Loans	5	12	13
115003 Vocational Rehabilitation	1	2	2
115999 Total direct loan levels	6	14	15
Direct loan subsidy (in percent):			
132002 Native American Direct Loans	-11.89	-5.23	-20.25
132003 Vocational Rehabilitation	1.90	2.87	1.37
132999 Weighted average subsidy rate	-9.59	-4.07	-17.37
Direct loan subsidy budget authority:			
133002 Native American Direct Loans	-1	-1	-3
133999 Total subsidy budget authority	-1	-1	-3
Direct loan subsidy outlays:			
134002 Native American Direct Loans	-1	-2	-2
134999 Total subsidy outlays	-1	-2	-2
Direct loan reestimates:			
135002 Native American Direct Loans	2		
135999 Total direct loan reestimates	2		
Administrative expense data:			
3510 Budget authority	2	2	2
3590 Outlays from new authority	2	2	2

The Native American Veteran Housing Loan program provides direct loans to veterans living on trust lands under 38 U.S.C. chapter 37, section 3761. These loans are available to purchase, construct, or improve homes to be occupied as the veteran's residence. This program began as a pilot in 1993 and was made permanent on June 15, 2006, through Public Law 109-233.

The Vocational Rehabilitation Loan Program provides temporary loans to cover the costs of subsistence, tuition, books, supplies, and equipment in conjunction with service-connected disability benefits provided to veterans participating in the Department of Veterans Affairs' Vocational Rehab-

NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT—Continued
litation and Counseling Program as authorized by chapter 31 of title 38, United States Code. Repayment of these loans is made in monthly installments, without interest, through deductions from future payments of compensation, pension, subsistence allowance, educational assistance allowance, or retired pay.

Object Classification (in millions of dollars)

Identification code 036-1120-0-1-704	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	2	2	2
41.0 Grants, subsidies, and contributions	2	1
99.9 Total new obligations, unexpired accounts	4	3	2

NATIVE AMERICAN DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4130-0-3-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	5	12	13
0713 Payment of interest to Treasury	3	3	3
0740 Negative subsidy obligations	1	1	3
0900 Total new obligations, unexpired accounts	9	16	19
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
1023 Unobligated balances applied to repay debt	-2
1050 Unobligated balance (total)	2	4	4
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	8	12	15
1422 Borrowing authority applied to repay debt	-2
1440 Borrowing authority, mandatory (total)	6	12	15
Spending authority from offsetting collections, mandatory:			
1800 Collected	8	7	6
1825 Spending authority from offsetting collections applied to repay debt	-3	-3	-3
1850 Spending auth from offsetting collections, mand (total)	5	4	3
1900 Budget authority (total)	11	16	18
1930 Total budgetary resources available	13	20	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1
3010 New obligations, unexpired accounts	9	16	19
3020 Outlays (gross)	-10	-17	-18
3050 Unpaid obligations, end of year	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1
3200 Obligated balance, end of year	1	1
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	11	16	18
Financing disbursements:			
4110 Outlays, gross (total)	10	17	18
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	-2	-1
4123 Non-federal sources - Repayments and prepayments of principal	-4	-3	-3
4123 Non-Federal sources - Interest received on loans	-2	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-8	-7	-6
4160 Budget authority, net (mandatory)	3	9	12
4170 Outlays, net (mandatory)	2	10	12
4180 Budget authority, net (total)	3	9	12
4190 Outlays, net (total)	2	10	12

Status of Direct Loans (in millions of dollars)

Identification code 036-4130-0-3-704	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	5	12	13
1150 Total direct loan obligations	5	12	13
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	61	64	74
1231 Disbursements: Direct loan disbursements	6	13	12
1251 Repayments: Repayments and prepayments	-3	-3	-3
1290 Outstanding, end of year	64	74	83

Balance Sheet (in millions of dollars)

Identification code 036-4130-0-3-704	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	5	5
Investments in U.S. securities:		
1106 Receivables, net	1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	63	64
1402 Interest receivable	2	3
1405 Other assets	2	2
1499 Net present value of assets related to direct loans	67	69
1999 Total assets	73	74
LIABILITIES:		
Federal liabilities:		
2103 Federal liabilities debt	71	72
2105 Other liabilities	2	2
2999 Total liabilities	73	74
NET POSITION:		
3300 Cumulative results of operations
4999 Total liabilities and net position	73	74

TRANSITIONAL HOUSING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4258-0-3-704	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	1	1	1
0900 Total new obligations, unexpired accounts	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	3
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1930 Total budgetary resources available	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1
3200 Obligated balance, end of year	1	1	1
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Financing disbursements:			
4110 Outlays, gross (total)	1	1	1
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1	-1	-1
4180 Budget authority, net (total)

4190 Outlays, net (total)

Status of Direct Loans (in millions of dollars)

Identification code 036-4258-0-3-704	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward	95	95	95
1143 Unobligated limitation carried forward	-95	-95	-95
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4	4	4
1290 Outstanding, end of year	4	4	4

Balance Sheet (in millions of dollars)

Identification code 036-4258-0-3-704	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Federal assets: Fund balances with Treasury	5	5
1401 Net value of assets related to post-1991 direct loans receivable:	4	4
Direct loans receivable, gross		
1999 Total assets	9	9
LIABILITIES:		
Federal liabilities:		
2103 Debt	5	5
2105 Loan Guaranty/Other Liabilities	4	4
2999 Total liabilities	9	9
4999 Total liabilities and net position	9	9

VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-4112-0-3-702	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	1	2	2
0900 Total new obligations, unexpired accounts	1	2	2
Budgetary resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400 Authority to borrow (indefinite)	2	2	2
1422 Borrowing authority applied to repay debt	-1		
1440 Borrowing authority, mandatory (total)	1	2	2
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	2	2
1825 Spending authority from offsetting collections applied to repay debt	-1	-2	-2
1900 Budget authority (total)	1	2	2
1930 Total budgetary resources available	1	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	2	2
3020 Outlays (gross)	-1	-2	-2
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	1	2	2
Financing disbursements:			
4110 Outlays, gross (total)	1	2	2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Repayments and prepayments of principal	-1	-2	-2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Status of Direct Loans (in millions of dollars)

Identification code 036-4112-0-3-702	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1	2	2

1150 Total direct loan obligations

Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1	1	1
1231 Disbursements: Direct loan disbursements	1	2	2
1251 Repayments: Repayments and prepayments	-1	-2	-2
1290 Outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 036-4112-0-3-702	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1104 Investments US Securities		
1401 Net value of assets related to post-1991 direct loans receivable:	1	1
Direct loans receivable, gross		
1999 Total assets	1	1
LIABILITIES:		
2103 Federal liabilities: Debt	1	1
4999 Total liabilities and net position	1	1

Trust Funds

POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT

Program and Financing (in millions of dollars)

Identification code 036-8133-0-7-702	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	62	62
1930 Total budgetary resources available	62	62	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	62	62	62
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This account was established under Public Law 94-502, Veterans' Education and Employment Assistance Act, 1976. This program consists of voluntary contributions by eligible servicemembers and matching contributions provided by the Department of Defense and provides educational assistance payments to participants who entered the service after December 31, 1976. Chapter 32, title 38, U.S.C. Section 901 is a non-contributory program with educational assistance provided by the Department of Defense. Public Law 99-576, enacted October 28, 1986, closed the program permanently for new enrollments effective March 31, 1987. The estimated activity in the fund follows:

CONTRIBUTIONS, PARTICIPANTS, DISENROLLMENTS, REFUNDS AND TRAINEES

	2019 actual	2020 est.	2021 est.
Total program obligations (in thousands)	\$106	\$101	\$96
Number of disenrollments	78	74	70
Total refunds (in thousands)	\$106	\$101	\$96
Average Refund	\$1,363	\$1,363	\$1,363
Total trainees	0	0	0
Total trainee cost (in thousands)	\$0	\$0	\$0
Average trainee cost	\$0	\$0	\$0
Section 901 trainees	0	0	0
Total Section 901 trainee cost (in thousands)	\$0	\$0	\$0
Average Section 901 trainee cost	\$0	\$0	\$0

NATIONAL SERVICE LIFE INSURANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-8132-0-7-701	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2,324	1,873	1,489
Receipts:			
Current law:			
1130 NSLI Fund, Premium and Other Receipts	34	45	36
1140 NSLI Fund, Interest	106	104	76
1140 NSLI Fund, Payments from General and Special Funds	1		
1199 Total current law receipts	141	149	112
1999 Total receipts	141	149	112
2000 Total: Balances and receipts	2,465	2,022	1,601
Appropriations:			
Current law:			
2101 National Service Life Insurance Fund	-142	-149	-113
2103 National Service Life Insurance Fund	-450	-384	-335
2199 Total current law appropriations	-592	-533	-448
2999 Total appropriations	-592	-533	-448
5099 Balance, end of year	1,873	1,489	1,153

Program and Financing (in millions of dollars)

Identification code 036-8132-0-7-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Death claims	389	353	286
0002 Disability claims	2	1	1
0003 Matured endowments	110	90	82
0004 Cash surrenders	28	25	23
0005 Dividends	31	31	30
0006 Interest paid on dividend credits and deposits	20	14	10
0007 Payment to general operating expenses	11	11	9
0091 Total operating expenses	591	525	441
0201 Capital investment: Policy loans	6	8	7
0799 Total direct obligations	597	533	448
0801 Death claims	22	25	19
0802 Disability claims	1		
0803 Matured endowments	6	6	5
0804 Cash surrenders	1	2	1
0805 Dividends	2	2	2
0806 Interest paid on dividend credits and deposits	1	1	1
0807 Payment to general operating expenses	1	1	1
0899 Total reimbursable obligations	33	37	29
0900 Total new obligations, unexpired accounts	630	570	477
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	6		
1050 Unobligated balance (total)	6	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	142	149	113
1203 Appropriation (previously unavailable)(special or trust)	450	384	335
1260 Appropriations, mandatory (total)	592	533	448
Spending authority from offsetting collections, mandatory:			
1800 Collected	33	37	29
1900 Budget authority (total)	625	570	477
1930 Total budgetary resources available	631	571	478
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	694	591	505
3010 New obligations, unexpired accounts	630	570	477
3020 Outlays (gross)	-727	-656	-569
3040 Recoveries of prior year unpaid obligations, unexpired	-6		
3050 Unpaid obligations, end of year	591	505	413
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	694	591	505
3200 Obligated balance, end of year	591	505	413

Budget authority and outlays, net:

	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	625	570	477
Outlays, gross:			
4100 Outlays from new mandatory authority	175	95	234
4101 Outlays from mandatory balances	552	561	335
4110 Outlays, gross (total)	727	656	569
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-33	-37	-29
4180 Budget authority, net (total)	592	533	448
4190 Outlays, net (total)	694	619	540

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	3,015	2,456	1,823
5001 Total investments, EOY: Federal securities: Par value	2,456	1,823	1,396

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1940. It is for the World War II servicemembers' and veterans' insurance program. Over 22 million policies were issued under this program. Activity of the fund reflects a declining claim workload. The trend in the number and amount of policies in force is shown as follows:

POLICIES AND INSURANCE IN FORCE

	2019 actual	2020 est.	2021 est.
Number of policies	160,992	127,172	97,612
Insurance in force (dollars in millions)	\$2,056	\$1,589	\$1,193

This fund is operated on a commercial basis to the extent possible. The income of the fund is derived from premium receipts, interest on investments, and payments which are made to the fund from the Veterans Insurance and Indemnities appropriation.

Assets of the fund, which are largely invested in special interest-bearing Treasury securities and in policy loans, are expected to decrease from an estimated \$2,009 million as of September 30, 2020 to \$1,548 million as of September 30, 2021. The actuarial estimate of policy obligations as of September 30, 2021, totals \$1,483 million, leaving a balance of \$65 million for contingency reserves.

Status of Funds (in millions of dollars)

Identification code 036-8132-0-7-701	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	3,019	2,466	1,996
0298 Adjustment to reconcile to proprietary accounting	-1		
0999 Total balance, start of year	3,018	2,466	1,996
Cash income during the year:			
Current law:			
Receipts:			
1130 NSLI Fund, Premium and Other Receipts	34	45	36
1130 National Service Life Insurance Fund	33	37	29
1150 NSLI Fund, Interest	106	104	76
1160 NSLI Fund, Payments from General and Special Funds	1		
1199 Income under present law	174	186	141
1999 Total cash income	174	186	141
Cash outgo during year:			
Current law:			
2100 National Service Life Insurance Fund (Budget Acct)	-727	-656	-569
2199 Outgo under current law	-727	-656	-569
2999 Total cash outgo (-)	-727	-656	-569
Surplus or deficit:			
3110 Excluding interest	-659	-574	-504
3120 Interest	106	104	76
3199 Subtotal, surplus or deficit	-553	-470	-428
3298 Adjustment to reconcile to proprietary accounting	1		
3299 Total adjustments	1		
3999 Total change in fund balance	-552	-470	-428
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	10	173	172
4200 National Service Life Insurance Fund	2,456	1,823	1,396

4999	Total balance, end of year	2,466	1,996	1,568
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Object Classification (in millions of dollars)

Identification code 036-8132-0-7-701	2019 actual	2020 est.	2021 est.	
Direct obligations:				
33.0	Investments and loans	6	8	7
42.0	Insurance claims and indemnities	529	470	392
43.0	Interest and dividends	63	55	49
99.0	Direct obligations	598	533	448
99.0	Reimbursable obligations	32	37	29
99.9	Total new obligations, unexpired accounts	630	570	477

UNITED STATES GOVERNMENT LIFE INSURANCE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-8150-0-7-701	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1	1
0198	Rounding adjustment	1
0199	Balance, start of year	2	1
2000	Total: Balances and receipts	2	1
Appropriations:				
Current law:				
2101	United States Government Life Insurance Fund	-1
2103	United States Government Life Insurance Fund	-1
2199	Total current law appropriations	-1	-1
2999	Total appropriations	-1	-1
5099	Balance, end of year	1

Program and Financing (in millions of dollars)

Identification code 036-8150-0-7-701	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Death claims	1	1
0100	Direct program activities, subtotal	1	1
0900	Total new obligations, unexpired accounts (object class 42.0)	1	1
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	1
1203	Appropriation (previously unavailable)(special or trust)	1
1260	Appropriations, mandatory (total)	1	1
1930	Total budgetary resources available	1	1

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1	-1
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority	1
4101	Outlays from mandatory balances	1
4110	Outlays, gross (total)	1	1
4180	Budget authority, net (total)	1	1
4190	Outlays, net (total)	1	1

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	2	2	1
5001	Total investments, EOY: Federal securities: Par value	2	1	1

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1919 to receive premiums and pay claims on insurance issued under the provisions of the War Risk Insurance Act. The general decline in the activity of the fund is indicated in the following table:

POLICIES AND INSURANCE IN FORCE

	2019 actual	2020 est.	2021 est.
Number of policies	6	0	0
Insurance in force (dollars in millions)	\$0.017	\$0	\$0

The fund is operated on a commercial basis to the extent possible. The income of the fund is derived from interest on investments. Effective January 1, 1983, premiums were discontinued because reserves held in the fund were adequate to meet future liabilities of the program.

Assets of the fund, which are largely invested in interest-bearing securities and policy loans, are estimated to decrease from \$1.5 million as of September 30, 2020, to \$1.3 million as of September 30, 2021, as an increasing number of policies mature through death or disability. The actuarial evaluation of policy obligations as of September 30, 2021, totals \$0.9 million, leaving a balance of \$0.4 million for contingency reserves.

Status of Funds (in millions of dollars)

Identification code 036-8150-0-7-701	2019 actual	2020 est.	2021 est.	
Unexpended balance, start of year:				
0100	Balance, start of year	2	2	1
0999	Total balance, start of year	2	2	1
Cash outgo during year:				
Current law:				
2100	United States Government Life Insurance Fund [Budget Acct]	-1	-1
2199	Outgo under current law	-1	-1
2999	Total cash outgo (-)	-1	-1
Surplus or deficit:				
3110	Excluding interest	-1	-1
3199	Subtotal, surplus or deficit	-1	-1
3298	Adjustment to reconcile to proprietary accounting	1
3299	Total adjustments	1
3999	Total change in fund balance	-1
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year
4200	United States Government Life Insurance Fund	2	1	1
4999	Total balance, end of year	2	1	1

VETERANS SPECIAL LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 036-8455-0-8-701	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Death claims	140	143	139
0802	Cash surrenders	11	13	11
0803	Dividends	21	17	14
0804	All other	17	15	14
0805	Payments to insurance account	7	8	7
0806	Capital investment	5	4	4
0900	Total new obligations, unexpired accounts	201	200	189
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,101	991	875
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	93	84	73
1801	Change in uncollected payments, Federal sources	-2
1850	Spending auth from offsetting collections, mand (total)	91	84	73
1930	Total budgetary resources available	1,192	1,075	948

VETERANS SPECIAL LIFE INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 036-8455-0-8-701	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	991	875	759
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	403	383	351
3010 New obligations, unexpired accounts	201	200	189
3020 Outlays (gross)	-221	-232	-247
3050 Unpaid obligations, end of year	383	351	293
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-16	-14	-14
3070 Change in uncollected pymts, Fed sources, unexpired	2		
3090 Uncollected pymts, Fed sources, end of year	-14	-14	-14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	387	369	337
3200 Obligated balance, end of year	369	337	279
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	91	84	73
Outlays, gross:			
4100 Outlays from new mandatory authority	91	84	73
4101 Outlays from mandatory balances	130	148	174
4110 Outlays, gross (total)	221	232	247
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-61	-55	-48
4123 Non-Federal sources	-2	-2	-1
4123 Non-Federal sources	-20	-19	-17
4123 Non-Federal sources	-10	-8	-7
4130 Offsets against gross budget authority and outlays (total)	-93	-84	-73
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	2		
4170 Outlays, net (mandatory)	128	148	174
4180 Budget authority, net (total)			
4190 Outlays, net (total)	128	148	174
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,487	1,357	1,209
5001 Total investments, EOY: Federal securities: Par value	1,357	1,209	1,035

Note.—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund finances the payment of claims on life insurance policies issued before January 3, 1957, to veterans who served in the Armed Forces subsequent to April 1, 1951. No new policies can be issued.

Benefit program:

Death claims.—Represents payments to designated beneficiaries.

Cash surrenders.—A policyholder may terminate his or her insurance by cashing in the policy for its cash value.

Dividends.—Policyholders participate in the distribution of annual dividends.

All other.—Classified in this category are payments to policyholders who: (a) hold endowment policies which have matured; (b) have purchased total disability income coverage and subsequently become disabled; and (c) are paid interest on dividend credits and deposits.

The following table reflects the decrease in the number of policies and the amounts of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2019 actual	2020 est.	2021 est.
Number of policies	80,504	71,175	61,982
Insurance in force (dollars in millions)	\$1,189	\$1,074	\$944

Financing.—Payments from this fund are financed primarily from premium receipts and interest on investments.

Object Classification (in millions of dollars)

Identification code 036-8455-0-8-701	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
33.0 Investments and loans	5	4	4
42.0 Insurance claims and indemnities	161	165	159
43.0 Interest and dividends	35	31	26
99.9 Total new obligations, unexpired accounts	201	200	189

DEPARTMENTAL ADMINISTRATION

Federal Funds

CONSTRUCTION, MAJOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, or for any of the purposes set forth in sections 316, 2404, 2406 and chapter 81 of title 38, United States Code, not otherwise provided for, including planning, architectural and engineering services, construction management services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, where the estimated cost of a project is more than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, or where funds for a project were made available in a previous major project appropriation, **[\$1,235,200,000]** \$1,373,000,000, of which **[\$1,036,600,000]** \$980,638,000 shall remain available until September 30, **[2024]** 2025, and of which **[\$198,600,000]** \$392,362,000 shall remain available until expended, of which **[\$35,000,000]** \$237,198,000 shall be available for seismic improvement projects and seismic program management activities, including for projects that would otherwise be funded by the Construction, Minor Projects, Medical Facilities or National Cemetery Administration accounts: *Provided*, That except for advance planning activities, including needs assessments which may or may not lead to capital investments, and other capital asset management related activities, including portfolio development and management activities, *and planning cost estimating and design for major medical facility projects and major medical facility leases and investment strategy studies funded through the advance planning fund and the planning and design activities funded through the design fund, including needs assessments which may or may not lead to capital investments, and funds provided for the purchase, security, and maintenance of land for the National Cemetery Administration through the land acquisition line item, funds provided for construction of gravesite expansion projects at existing National Cemeteries, and legal expenses incurred for programs and activities funded by this account, none of the funds made available under this heading shall be used for any project that has not been notified to Congress through the budgetary process or that has not been approved by the Congress through statute, joint resolution, or in the explanatory statement accompanying such Act and presented to the President at the time of enrollment: *Provided further*, That such sums as may be necessary shall be available to reimburse the "General Administration" account for payment of salaries and expenses of all Office of Construction and Facilities Management employees to support the full range of capital infrastructure services provided, including minor construction and leasing services: *Provided further*, That funds made available under this heading for fiscal year **[2020]** 2021, for each approved project shall be obligated: (1) by the awarding of a construction documents contract by September 30, **[2020]** 2021; and (2) by the awarding of a construction contract by September 30, **[2021]** 2022: *Provided further*, That the Secretary of Veterans Affairs shall promptly submit to the Committees on Appropriations of both Houses of Congress a written report on any approved major construction project for which obligations are not incurred within the time limitations established above: *Provided further*, That notwithstanding the requirements of section 8104(a) of title 38, United States Code, amounts made available under this heading for seismic improvement projects and seismic program management activities shall be available for the completion of both new and existing seismic projects of the Department. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)*

Program and Financing (in millions of dollars)

Identification code 036-0110-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Medical programs	1,013	1,603	1,519
0002 National cemeteries	189	190	113

0005	Staff offices	5	10	10
0799	Total direct obligations	1,207	1,803	1,642
0900	Total new obligations, unexpired accounts	1,207	1,803	1,642
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,487	2,798	2,230
1020	Adjustment of unobligated bal brought forward, Oct 1	326		
1021	Recoveries of prior year unpaid obligations	15		
1050	Unobligated balance (total)	1,828	2,798	2,230
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,177	1,235	1,373
1900	Budget authority (total)	2,177	1,235	1,373
1930	Total budgetary resources available	4,005	4,033	3,603
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,798	2,230	1,961
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	683	750	1,207
3010	New obligations, unexpired accounts	1,207	1,803	1,642
3011	Obligations ("upward adjustments"), expired accounts	9		
3020	Outlays (gross)	-1,118	-1,346	-1,381
3040	Recoveries of prior year unpaid obligations, unexpired	-15		
3041	Recoveries of prior year unpaid obligations, expired	-16		
3050	Unpaid obligations, end of year	750	1,207	1,468
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	683	750	1,207
3200	Obligated balance, end of year	750	1,207	1,468

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,177	1,235	1,373
Outlays, gross:				
4010	Outlays from new discretionary authority	265	234	260
4011	Outlays from discretionary balances	853	1,112	1,121
4020	Outlays, gross (total)	1,118	1,346	1,381
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	2,177	1,235	1,373
4080	Outlays, net (discretionary)	1,117	1,346	1,381
4180	Budget authority, net (total)	2,177	1,235	1,373
4190	Outlays, net (total)	1,117	1,346	1,381

The Construction, Major Projects appropriation funds construction projects currently costing more than \$20 million. Funding is requested to complete two previously funded projects in American Lake, WA and Long Beach, CA; and five on-going projects in Alameda, CA; San Diego, CA; Canandaigua, NY; Livermore, CA; Dallas, TX, and for the CHIP IN project in Tulsa, OK. In addition, two expansions at existing national cemeteries in San Antonio, TX and San Diego, CA will be funded. Funds are also requested for salaries and associated expenses for staff for the Office of Construction and Facilities Management and to support advance planning and design activities, seismic correction, and asbestos abatement.

\$35 million of funds appropriated in 2016 are not reflected in the available balance in the tables. These funds will become available for use once the Department of Veterans Affairs meets the specific conditions required by law (P.L. 114-113) and the funds are made available by the Treasury.

Object Classification (in millions of dollars)

Identification code 036-0110-0-1-703				
		2019 actual	2020 est.	2021 est.
Direct obligations:				
25.2	Other services from non-Federal sources	52	78	78
25.3	Other goods and services from Federal sources	41	61	61
32.0	Land and structures	1,114	1,664	1,503
99.0	Direct obligations	1,207	1,803	1,642

99.9	Total new obligations, unexpired accounts	1,207	1,803	1,642
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CONSTRUCTION, MINOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, including planning and assessments of needs which may lead to capital investments, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, or for any of the purposes set forth in sections 316, 2404, 2406 and chapter 81 of title 38, United States Code, not otherwise provided for, where the estimated cost of a project is equal to or less than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code. **【\$398,800,000】 \$400,000,000**, to remain available until September 30, **【2024】 2025**, along with unobligated balances of previous "Construction, Minor Projects" appropriations which are hereby made available for any project where the estimated cost is equal to or less than the amount set forth in such section: *Provided*, That funds made available under this heading shall be for: (1) repairs to any of the nonmedical facilities under the jurisdiction or for the use of the Department which are necessary because of loss or damage caused by any natural disaster or catastrophe; and (2) temporary measures necessary to prevent or to minimize further loss by such causes. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0111-0-1-703				
		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Medical programs	516	475	529
0002	National cemeteries	192	111	106
0003	Regional offices	44	61	41
0004	Staff offices	24	45	55
0005	Choice Act, P.L. 113-146, Sec. 801	10		
0900	Total new obligations, unexpired accounts	786	692	731
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	866	946	654
1021	Recoveries of prior year unpaid obligations	66		
1050	Unobligated balance (total)	932	946	654
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	800	400	400
1900	Budget authority (total)	800	400	400
1930	Total budgetary resources available	1,732	1,346	1,054
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	946	654	323
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	903	1,116	1,079
3010	New obligations, unexpired accounts	786	692	731
3011	Obligations ("upward adjustments"), expired accounts	7		
3020	Outlays (gross)	-502	-729	-464
3040	Recoveries of prior year unpaid obligations, unexpired	-66		
3041	Recoveries of prior year unpaid obligations, expired	-12		
3050	Unpaid obligations, end of year	1,116	1,079	1,346
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	903	1,116	1,079
3200	Obligated balance, end of year	1,116	1,079	1,346

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	800	400	400
Outlays, gross:				
4010	Outlays from new discretionary authority	13	79	72
4011	Outlays from discretionary balances	424	612	354
4020	Outlays, gross (total)	437	691	426
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-2		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	2		
4060	Additional offsets against budget authority only (total)	2		

CONSTRUCTION, MINOR PROJECTS—Continued
Program and Financing—Continued

Identification code 036-0111-0-1-703	2019 actual	2020 est.	2021 est.
4070 Budget authority, net (discretionary)	800	400	400
4080 Outlays, net (discretionary)	435	691	426
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	65	38	38
4180 Budget authority, net (total)	800	400	400
4190 Outlays, net (total)	500	729	464

The Construction, Minor Projects appropriation funds construction projects costing equal to or less than \$20 million. This account is used to improve the infrastructure of medical facilities and other Department-owned facilities to reduce the risk to patient life and safety, correct code deficiencies, and improve national cemeteries and regional and staff offices.

Object Classification (in millions of dollars)

Identification code 036-0111-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	20	20	20
32.0 Land and structures	766	672	711
99.9 Total new obligations, unexpired accounts	786	692	731

GRANTS FOR CONSTRUCTION OF STATE EXTENDED CARE FACILITIES

For grants to assist States to acquire or construct State nursing home and domiciliary facilities and to remodel, modify, or alter existing hospital, nursing home, and domiciliary facilities in State homes, for furnishing care to veterans as authorized by sections 8131 through 8137 of title 38, United States Code, \$90,000,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0181-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants for construction of state extended care facilities	502	250	90
0900 Total new obligations, unexpired accounts (object class 41.0)	502	250	90
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	459	160
1021 Recoveries of prior year unpaid obligations	53
1050 Unobligated balance (total)	512	160
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	150	90	90
1930 Total budgetary resources available	662	250	90
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	160
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	409	767	684
3010 New obligations, unexpired accounts	502	250	90
3020 Outlays (gross)	-91	-333	-320
3040 Recoveries of prior year unpaid obligations, unexpired	-53
3050 Unpaid obligations, end of year	767	684	454
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	409	767	684
3200 Obligated balance, end of year	767	684	454
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	150	90	90
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2
4011 Outlays from discretionary balances	91	331	318
4020 Outlays, gross (total)	91	333	320
4180 Budget authority, net (total)	150	90	90

4190 Outlays, net (total)	91	333	320
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The Grants for Construction of State Extended Care Facilities program is authorized by sections 8131 through 8137 of title 38, United States Code. It is a shared program between States and the Department of Veterans Affairs (VA), whereby VA provides no more than 65 percent of the funding for new construction of State home facilities, furnishing of domiciliary or nursing home care to veterans, and expansion, remodeling, or alteration of existing State home facilities. The State is responsible for providing the remaining 35 percent of funding.

GRANTS FOR CONSTRUCTION OF VETERANS CEMETERIES

For grants to assist States and tribal organizations in establishing, expanding, or improving veterans cemeteries as authorized by section 2408 of title 38, United States Code, \$45,000,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0183-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants for construction of state veterans cemeteries	45	51	46
0900 Total new obligations, unexpired accounts (object class 41.0)	45	51	46
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	6
1021 Recoveries of prior year unpaid obligations	2	3
1050 Unobligated balance (total)	6	6	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	45	45	45
1930 Total budgetary resources available	51	51	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	95	89	49
3010 New obligations, unexpired accounts	45	51	46
3020 Outlays (gross)	-49	-91	-58
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-3
3050 Unpaid obligations, end of year	89	49	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	89	49
3200 Obligated balance, end of year	89	49	34
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	45	45	45
Outlays, gross:			
4010 Outlays from new discretionary authority	24	24
4011 Outlays from discretionary balances	49	67	34
4020 Outlays, gross (total)	49	91	58
4180 Budget authority, net (total)	45	45	45
4190 Outlays, net (total)	49	91	58

GENERAL ADMINISTRATION
(INCLUDING TRANSFER OF FUNDS)

For necessary operating expenses of the Department of Veterans Affairs, not otherwise provided for, including administrative expenses in support of Department-wide capital planning, management and policy activities, uniforms, or allowances therefor; not to exceed \$25,000 for official reception and representation expenses; hire of passenger motor vehicles; and reimbursement of the General Services Administration for security guard services, **[\$355,911,000]** \$413,000,000, of which not to exceed 10 percent shall remain available until September 30, **[2021]** 2022: *Provided*, That funds provided under this heading may be transferred to "General Operating Expenses, Veterans Benefits Administration". (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-0142-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0014 General administration	355	361	413
0806 General administration, reimbursable program	307	388	488
0900 Total new obligations, unexpired accounts	662	749	901
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	9	4
1012 Unobligated balance transfers between expired and unexpired accounts	6		
1050 Unobligated balance (total)	12	9	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	356	356	413
Spending authority from offsetting collections, discretionary:			
1700 Collected	305	388	488
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	308	388	488
1900 Budget authority (total)	664	744	901
1930 Total budgetary resources available	676	753	905
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
1941 Unexpired unobligated balance, end of year	9	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	170	194	106
3010 New obligations, unexpired accounts	662	749	901
3011 Obligations ("upward adjustments"), expired accounts	23		
3020 Outlays (gross)	-632	-837	-893
3041 Recoveries of prior year unpaid obligations, expired	-29		
3050 Unpaid obligations, end of year	194	106	114
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-33	-11	-11
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3071 Change in uncollected pymts, Fed sources, expired	25		
3090 Uncollected pymts, Fed sources, end of year	-11	-11	-11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	137	183	95
3200 Obligated balance, end of year	183	95	103
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	664	744	901
Outlays, gross:			
4010 Outlays from new discretionary authority	503	659	803
4011 Outlays from discretionary balances	129	178	90
4020 Outlays, gross (total)	632	837	893
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-330	-388	-488
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total)	-332	-388	-488
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3		
4052 Offsetting collections credited to expired accounts	27		
4060 Additional offsets against budget authority only (total)	24		
4070 Budget authority, net (discretionary)	356	356	413
4080 Outlays, net (discretionary)	300	449	405
4180 Budget authority, net (total)	356	356	413
4190 Outlays, net (total)	300	449	405

General Administration.—Includes departmental executive direction, departmental support offices, the Office of General Counsel, and the Office of Accountability and Whistleblower Protection. The Pershing Hall Revolving Fund was created to operate and manage Pershing Hall, an asset of the United States, located in Paris, France. All operating expenses for Pershing Hall are borne by the revolving fund and all receipts generated by the operation of Pershing Hall are deposited in the revolving fund.

Object Classification (in millions of dollars)

Identification code 036-0142-0-1-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	197	210	237
11.5 Other personnel compensation	3	6	7
11.9 Total personnel compensation	200	216	244
12.1 Civilian personnel benefits	64	70	75
21.0 Travel and transportation of persons	6	6	7
23.2 Rental payments to others	18	17	20
23.3 Communications, utilities, and miscellaneous charges	5	3	3
25.2 Other services from non-Federal sources	59	45	60
26.0 Supplies and materials	1	3	3
31.0 Equipment	2	1	1
99.0 Direct obligations	355	361	413
99.0 Reimbursable obligations	307	388	488
99.9 Total new obligations, unexpired accounts	662	749	901

Employment Summary

Identification code 036-0142-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,624	1,792	2,018
2001 Reimbursable civilian full-time equivalent employment	899	1,268	1,385

BOARD OF VETERANS APPEALS

For necessary operating expenses of the Board of Veterans Appeals, **[\$182,000,000]** \$198,000,000, of which not to exceed 10 percent shall remain available until September 30, **[2021]** 2022. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 036-1122-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0014 Board of Veterans' Appeals	166	182	198
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	16	8
1012 Unobligated balance transfers between expired and unexpired accounts	1		
1050 Unobligated balance (total)	16	16	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	175	182	198
1120 Appropriations transferred to other acct [036-0167]	-8		
1131 Unobligated balance of appropriations permanently reduced		-8	
1160 Appropriation, discretionary (total)	167	174	198
1930 Total budgetary resources available	183	190	206
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	16	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	15	27
3010 New obligations, unexpired accounts	166	182	198
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-171	-170	-191
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	15	27	34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	15	27
3200 Obligated balance, end of year	15	27	34
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	167	174	198
Outlays, gross:			
4010 Outlays from new discretionary authority	141	147	168
4011 Outlays from discretionary balances	30	23	23

BOARD OF VETERANS APPEALS—Continued
Program and Financing—Continued

Identification code 036-1122-0-1-705	2019 actual	2020 est.	2021 est.
4020 Outlays, gross (total)	171	170	191
4180 Budget authority, net (total)	167	174	198
4190 Outlays, net (total)	171	170	191

The mission of the Board of Veterans' Appeals (Board or BVA), as set forth in 38 U.S.C. 7101(a) is to conduct hearings and consider and dispose of appeals properly before the Board in a timely manner. The Board's goal is to issue quality decisions in compliance with the requirements of the law, including the precedential decisions of the United States Court of Appeals for Veterans Claims and other federal courts. The Board makes final decisions on behalf of the Secretary on appeals from decisions of the agencies of original jurisdiction with the Department of Veterans Affairs offices. The Board reviews all appeals for entitlement to veterans' benefits, including claims for service connection, increased disability ratings, total disability ratings, pension, insurance benefits, educational benefits, home loan guaranties, vocational rehabilitation, dependency and indemnity compensation, memorial benefits, and healthcare delivery. The Veterans Appeals Improvement and Modernization Act of 2017, enacted on August 23, 2017, became effective on February 19, 2019. This law reformed the current appeals process and replaced it with a new, simpler process that uses easy to understand language and gives veterans choice and control of their appeal.

Object Classification (in millions of dollars)

Identification code 036-1122-0-1-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	113	129	131
11.5 Other personnel compensation	4	3	3
11.9 Total personnel compensation	117	132	134
12.1 Civilian personnel benefits	36	42	43
21.0 Travel and transportation of persons		1	1
23.2 Rental payments to others	4		
23.3 Communications, utilities, and miscellaneous charges	8	5	11
25.2 Other services from non-Federal sources	1	2	9
99.9 Total new obligations, unexpired accounts	166	182	198

Employment Summary

Identification code 036-1122-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,077	1,190	1,161

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, to include information technology, in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$210,000,000] \$228,000,000**, of which not to exceed 10 percent shall remain available until September 30, **[2021] 2022**. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020*)

Program and Financing (in millions of dollars)

Identification code 036-0170-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Office of Inspector General (Direct)	192	218	228
0192 Total direct program	192	218	228

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	8	
1012 Unobligated balance transfers between expired and unexpired accounts	2		
1050 Unobligated balance (total)	9	8	

Budget authority:

Appropriations, discretionary:			
1100 Appropriation	192	210	228
1900 Budget authority (total)	192	210	228
1930 Total budgetary resources available	201	218	228
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	8		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	23	60
3010 New obligations, unexpired accounts	192	218	228
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-190	-181	-218
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	23	60	70
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	23	60
3200 Obligated balance, end of year	23	60	70

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	192	210	228
Outlays, gross:			
4010 Outlays from new discretionary authority	165	157	170
4011 Outlays from discretionary balances	25	24	48
4020 Outlays, gross (total)	190	181	218
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	192	210	228
4080 Outlays, net (discretionary)	189	181	218
4180 Budget authority, net (total)	192	210	228
4190 Outlays, net (total)	189	181	218

This appropriation provides for carrying out the independent oversight responsibilities of the Inspector General Act of 1978. This oversight includes Department of Veterans Affairs (VA)-wide audit, investigation, health care inspection, and management support functions to identify and report weaknesses and deficiencies that create conditions for actual or potential fraud and other criminal activity, mismanagement, and waste in VA programs and operations. The audit function plans and conducts internal programmatic and financial audits and evaluations of all facets of VA operations. The health care inspection function performs legislatively mandated medical care quality assurance reviews and oversight of VA health care programs. The investigative function performs criminal and administrative investigations of improper and illegal activities involving VA operations, personnel, beneficiaries, and other parties.

Object Classification (in millions of dollars)

Identification code 036-0170-0-1-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	106	123	129
11.5 Other personnel compensation	8	10	10
11.9 Total personnel compensation	114	133	139
12.1 Civilian personnel benefits	41	48	49
21.0 Employee Travel	7	8	8
23.1 Rental payments to GSA	7	7	7
23.3 Communications, utilities, and miscellaneous charges	2	2	3
25.2 Other services from non-Federal sources	16	17	18
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	2	3
99.0 Direct obligations	192	218	228
99.9 Total new obligations, unexpired accounts	192	218	228

Employment Summary

Identification code 036-0170-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	908	1,020	1,048

INFORMATION TECHNOLOGY SYSTEMS

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for information technology systems and telecommunications support, including developmental information systems and operational information systems; for pay and associated costs; and for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, **[\$4,371,615,000]** \$4,912,000,000, plus reimbursements: *Provided*, That **[\$1,204,238,000]** \$1,211,238,000 shall be for pay and associated costs, of which not to exceed 3 percent shall remain available until September 30, **[2021]** 2022: *Provided further*, That **[\$2,739,597,000]** \$3,205,216,000 shall be for operations and maintenance, of which not to exceed 5 percent shall remain available until September 30, **[2021]** 2022: *Provided further*, That **[\$427,780,000]** \$495,546,000 shall be for information technology systems development, and shall remain available until September 30, **[2021]** 2022: *Provided further*, That amounts made available for salaries and expenses, operations and maintenance, and information technology systems development may be transferred among the three subaccounts after the Secretary of Veterans Affairs **[requests from]** *submits notice thereof* to the Committees on Appropriations of both Houses of Congress **[the authority to make the transfer and an approval is issued]**: *Provided further*, That amounts made available for the "Information Technology Systems" account for development may be transferred among projects or to newly defined projects: *Provided further*, That no project may be increased or decreased by more than **[\$1,000,000]** \$3,000,000 of cost prior to submitting **[a request]** *notice thereof* to the Committees on Appropriations of both Houses of Congress **[to make the transfer and an approval is issued, or absent a response, a period of 30 days has elapsed]**: *Provided further*, That the funds made available under this heading for information technology systems development shall be for the projects, and in the amounts, specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act). *(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 036-0167-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Development	451	463	496
0002 Operations and maintenance	2,597	2,808	3,201
0003 Administrative and salaries	1,221	1,207	1,207
0004 P.L. 113-146, Sec. 801 - IT Support	22	4
0799 Total direct obligations	4,291	4,482	4,904
0804 IT Systems, Reimbursable obligations	60	71	76
0900 Total new obligations, unexpired accounts	4,351	4,553	4,980
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	206	119	1
1021 Recoveries of prior year unpaid obligations	6
1050 Unobligated balance (total)	212	119	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,103	4,372	4,912
1120 Appropriations transferred to other accts [036-0169]	-8	-8	-8
1121 Appropriations transferred from other acct [036-1122]	8
1160 Appropriation, discretionary (total)	4,103	4,364	4,904
Advance appropriations, discretionary:			
1173 Advance appropriations transferred from other accounts [036-0140]	69
1173 Advance appropriations transferred from other accounts [036-0160]	27
1180 Advanced appropriation, discretionary (total)	96
Spending authority from offsetting collections, discretionary:			
1700 Collected	37	71	76
1701 Change in uncollected payments, Federal sources	23

1750 Spending auth from offsetting collections, disc (total)	60	71	76
1900 Budget authority (total)	4,259	4,435	4,980
1930 Total budgetary resources available	4,471	4,554	4,981
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	119	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,905	1,755	1,678
3010 New obligations, unexpired accounts	4,351	4,553	4,980
3011 Obligations ("upward adjustments"), expired accounts	33
3020 Outlays (gross)	-4,459	-4,630	-4,848
3040 Recoveries of prior year unpaid obligations, unexpired	-6
3041 Recoveries of prior year unpaid obligations, expired	-69
3050 Unpaid obligations, end of year	1,755	1,678	1,810
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-24	-24
3070 Change in uncollected pymts, Fed sources, unexpired	-23
3090 Uncollected pymts, Fed sources, end of year	-24	-24	-24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,904	1,731	1,654
3200 Obligated balance, end of year	1,731	1,654	1,786

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	4,259	4,435	4,980
Outlays, gross:			
4010 Outlays from new discretionary authority	2,739	3,183	3,563
4011 Outlays from discretionary balances	1,673	1,447	1,285
4020 Outlays, gross (total)	4,412	4,630	4,848
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-44	-71	-76
4040 Offsets against gross budget authority and outlays (total)	-44	-71	-76
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-23
4052 Offsetting collections credited to expired accounts	7
4060 Additional offsets against budget authority only (total)	-16
4070 Budget authority, net (discretionary)	4,199	4,364	4,904
4080 Outlays, net (discretionary)	4,368	4,559	4,772
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	47
4180 Budget authority, net (total)	4,199	4,364	4,904
4190 Outlays, net (total)	4,415	4,559	4,772

The Information Technology (IT) Systems appropriation funds IT services such as systems development and performance, operations and maintenance, information security, and customer support. This appropriation enables the effective and efficient delivery of services to the Nation's largest healthcare network, as well as the veterans benefits and corporate business lines within the Department of Veterans Affairs (VA).

Development.—The Office of Information & Technology invests in projects designed to improve the delivery of VA services and benefits for veterans and their families. This account also supports improvements in the Community Care Program, modernizations to veterans benefits and appeals processing, as well as the divestiture of legacy IT systems.

Operations and Maintenance.—The Office of Information & Technology purchases, maintains, manages, and supports all the computer, phone, telecommunication, and data systems equipment and infrastructure for all VA facilities.

Object Classification (in millions of dollars)

Identification code 036-0167-0-1-705	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	746	792	792
11.9 Total personnel compensation	746	792	792
12.1 Civilian personnel benefits	252	262	262
21.0 Travel and transportation of persons	9	14	14
23.3 Communications, utilities, and miscellaneous charges	977	971	1,062
23.3 Communications/utilities - Choice Act, P.L. 113-146, Sec. 801	8
25.2 Other services from non-Federal sources	1,918	1,845	2,093

INFORMATION TECHNOLOGY SYSTEMS—Continued
Object Classification—Continued

Identification code 036-0167-0-1-705	2019 actual	2020 est.	2021 est.
25.2 Other services from non-Federal -Choice Act, P.L. 113-146, Sec. 801	14	4
26.0 Supplies and materials	6	25	17
31.0 Equipment	360	569	664
42.0 Insurance claims and indemnities	1
99.0 Direct obligations	4,291	4,482	4,904
99.0 Reimbursable obligations	60	71	76
99.9 Total new obligations, unexpired accounts	4,351	4,553	4,980

Employment Summary

Identification code 036-0167-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7,376	7,772	7,772
2001 Reimbursable civilian full-time equivalent employment	93	118	113

VETERANS ELECTRONIC HEALTH RECORD

For activities related to implementation, preparation, development, interface, management, rollout, and maintenance of a Veterans Electronic Health Record system, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, and salaries and expenses of employees hired under titles 5 and 38, United States Code, **[\$1,500,000,000] \$2,627,000,000**, to remain available until September 30, **[2022] 2023** **[: Provided, That the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress quarterly reports detailing obligations, expenditures, and deployment implementation by facility: *Provided further, That the funds provided in this account shall only be available to the Office of the Deputy Secretary, to be administered by that Office: *Provided further, That none of the funds made available under this heading may be obligated in a manner inconsistent with deployment schedules provided to the Committees on Appropriations unless the Secretary of Veterans Affairs provides notification to the Committees on Appropriations of such change and an approval is issued*]. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)***

Program and Financing (in millions of dollars)

Identification code 036-1123-0-1-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 EHR Contract	697	1,003	1,191
0002 PMO Support	150	193	255
0003 Infrastructure Readiness	371	341	1,181
0900 Total new obligations, unexpired accounts	1,218	1,537	2,627
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	205	94
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,107	1,500	2,627
1131 Unobligated balance of appropriations permanently reduced	-57
1160 Appropriation, discretionary (total)	1,107	1,443	2,627
1930 Total budgetary resources available	1,312	1,537	2,627
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	94
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	438	1,144	844
3010 New obligations, unexpired accounts	1,218	1,537	2,627
3020 Outlays (gross)	-512	-1,837	-2,011
3050 Unpaid obligations, end of year	1,144	844	1,460
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	438	1,144	844
3200 Obligated balance, end of year	1,144	844	1,460

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,107	1,443	2,627
Outlays, gross:			
4010 Outlays from new discretionary authority	112	693	1,261
4011 Outlays from discretionary balances	400	1,144	750
4020 Outlays, gross (total)	512	1,837	2,011
4180 Budget authority, net (total)	1,107	1,443	2,627
4190 Outlays, net (total)	512	1,837	2,011

The Veterans Electronic Health Care Record appropriation funds necessary expenses related to the development and deployment of a new veterans electronic health record (EHR) system. This new EHR will allow the Department of Veterans Affairs (VA) to move toward a single common health record that has full integration between the Department of Defense and VA, as well as community providers. From the veteran perspective, the new system will provide a single, accurate, lifetime health record while improving patient care and safety.

Object Classification (in millions of dollars)

Identification code 036-1123-0-1-703	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	20	38
12.1 Civilian personnel benefits	1	7	12
21.0 Travel and transportation of persons	5	9	11
23.1 Rental payments to GSA	2	5	5
23.3 Communications, utilities, and miscellaneous charges	10	9	21
25.2 Other services from non-Federal sources	1,066	1,334	2,291
25.3 Other goods and services from Federal sources (FTE to OIT)	5	3	5
25.3 Other goods and services from Federal sources (FTE to VHA)	5	8	15
25.3 Other goods and services from Federal sources	18
26.0 Supplies and materials	1
31.0 Equipment	103	142	228
99.0 Direct obligations	1,218	1,537	2,627
99.9 Total new obligations, unexpired accounts	1,218	1,537	2,627

Employment Summary

Identification code 036-1123-0-1-703	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	24	152	256

NATIONAL CEMETERY ADMINISTRATION

For necessary expenses of the National Cemetery Administration for operations and maintenance, not otherwise provided for, including uniforms or allowances therefor; cemeterial expenses as authorized by law; purchase of one passenger motor vehicle for use in cemeterial operations; hire of passenger motor vehicles; and repair, alteration or improvement of facilities under the jurisdiction of the National Cemetery Administration, **[\$329,000,000] \$360,000,000**, of which not to exceed 10 percent shall remain available until September 30, **[2021] 2022**. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 036-0129-0-1-705	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	2
2000 Total: Balances and receipts	2	2	2
5099 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 036-0129-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0201 Operations and maintenance	323	332	361
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	2

1012	Unobligated balance transfers between expired and unexpired accounts	10	1	1
1050	Unobligated balance (total)	14	6	3
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	316	329	360
1131	Unobligated balance of appropriations permanently reduced		-1	
1160	Appropriation, discretionary (total)	316	328	360
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1		
1900	Budget authority (total)	317	328	360
1930	Total budgetary resources available	331	334	363
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-3		
1941	Unexpired unobligated balance, end of year	5	2	2

Change in obligated balance:

	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	70	66	59
3010	New obligations, unexpired accounts	323	332	361
3011	Obligations ("upward adjustments"), expired accounts	3		
3020	Outlays (gross)	-323	-339	-294
3041	Recoveries of prior year unpaid obligations, expired	-7		
3050	Unpaid obligations, end of year	66	59	126
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	70	66	59
3200	Obligated balance, end of year	66	59	126

Budget authority and outlays, net:

	Discretionary:			
4000	Budget authority, gross	317	328	360
	Outlays, gross:			
4010	Outlays from new discretionary authority	257	277	249
4011	Outlays from discretionary balances	66	62	45
4020	Outlays, gross (total)	323	339	294
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-1		
4180	Budget authority, net (total)	316	328	360
4190	Outlays, net (total)	322	339	294

The mission of the National Cemetery Administration is to honor veterans with final resting places in national shrines and with lasting tributes that commemorate their service to our Nation. The National Cemetery Administration's vision is to serve all veterans and their families with the utmost dignity, respect, and compassion. Every national cemetery will be a place that inspires visitors to understand and appreciate the service and sacrifice of our Nation's veterans. There are a number of related programs managed by the National Cemetery Administration including: 1) burying eligible veterans and their family members in national cemeteries and maintaining the graves and their environs as national shrines; 2) administering grants to States and Tribal organizations in establishing, expanding, improving, or operating veterans cemeteries; 3) providing headstones and markers for the graves of eligible veterans; 4) providing medallions commemorating the veterans' service that may be affixed to the privately purchased headstones or markers for veterans interred in private cemeteries; 5) providing presidential memorial certificates to family and friends of deceased veterans, recognizing the veterans' contribution and service to the Nation; 6) providing graveliners or partial reimbursement for a privately purchased outer burial receptacle for each new grave in open national cemeteries administered by the National Cemetery Administration; 7) providing reimbursement caskets and urns for veterans' remains when there are no next of kin and insufficient resources; and 8) recording First Notice of Veteran Deaths into the Department of Veterans Affairs electronic files to ensure timely termination of benefits and next-of-kin notification of possible entitlement to survivor benefits.

The National Cemetery Administration also reflects budget information for the National Cemetery Gift Fund and the National Cemetery Administration Facilities Operation Fund. Through the Gift Fund, the Secretary is authorized to accept gifts and bequests which are made for the purpose of

beautifying national cemeteries or are determined to be beneficial to such cemeteries.

Object Classification (in millions of dollars)

Identification code 036-0129-0-1-705	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	125	128	138
11.5	Other personnel compensation	4	5	5
11.9	Total personnel compensation	129	133	143
12.1	Civilian personnel benefits	47	49	50
21.0	Travel and transportation of persons	4	4	5
22.0	Transportation of things	2	2	2
23.1	Rent	3	3	3
23.3	Communications, utilities, and miscellaneous charges	11	12	12
24.0	Printing and reproduction	2	2	2
25.2	Other services from non-Federal sources	102	106	118
26.0	Supplies and materials	13	13	14
31.0	Equipment	8	7	11
32.0	Land and structures	2	1	1
99.9	Total new obligations, unexpired accounts	323	332	361

Employment Summary

Identification code 036-0129-0-1-705	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	1,947	2,008	2,085

SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 036-4537-0-4-705	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Reimbursable program-COGS-Merchandizing	540	810	810
0802	Reimbursable program-Other-Operations	252	378	378
0803	Reimbursable program-COGS-Printing and publications	16	16	16
0804	Reimbursable program-Other	21	32	32
0805	Reimbursable program-Equipment-Procurement services and distribution	571	864	864
0900	Total new obligations, unexpired accounts	1,400	2,100	2,100
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	200	378	378
1021	Recoveries of prior year unpaid obligations	100		
1050	Unobligated balance (total)	300	378	378
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	1,381	2,100	2,100
1801	Change in uncollected payments, Federal sources	97		
1850	Spending auth from offsetting collections, mand (total)	1,478	2,100	2,100
1930	Total budgetary resources available	1,778	2,478	2,478
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	378	378	378
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	957	973	105
3010	New obligations, unexpired accounts	1,400	2,100	2,100
3020	Outlays (gross)	-1,284	-2,968	-2,058
3040	Recoveries of prior year unpaid obligations, unexpired	-100		
3050	Unpaid obligations, end of year	973	105	147
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-923	-1,020	-1,020
3070	Change in uncollected pymts, Fed sources, unexpired	-97		
3090	Uncollected pymts, Fed sources, end of year	-1,020	-1,020	-1,020
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	34	-47	-915
3200	Obligated balance, end of year	-47	-915	-873
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1,478	2,100	2,100

SUPPLY FUND—Continued
Program and Financing—Continued

Identification code 036-4537-0-4-705	2019 actual	2020 est.	2021 est.
Outlays, gross:			
4100 Outlays from new mandatory authority	127	1,995	1,995
4101 Outlays from mandatory balances	1,157	973	63
4110 Outlays, gross (total)	1,284	2,968	2,058
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1,227	-2,100	-2,100
4123 Non-Federal sources	-154		
4130 Offsets against gross budget authority and outlays (total)	-1,381	-2,100	-2,100
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-97		
4170 Outlays, net (mandatory)	-97	868	-42
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-97	868	-42

Under the provisions of 38 U.S.C. 8121, the Supply Fund is responsible for the operation and maintenance of a supply system for the Department of Veterans Affairs (VA). In this capacity, it provides policy and oversight to VA's acquisition and logistics programs, and provides best value acquisition of goods and services through its National Acquisition Center, Denver Acquisition and Logistics Center, Service and Distribution Center, Technology Acquisition Center and Strategic Acquisition Center. Operating as an intra-governmental revolving fund without fiscal year limitations, the Supply Fund is financed by revenue from fees on acquisitions of supplies, equipment, and services for both VA and other Government agency customers.

Object Classification (in millions of dollars)

Identification code 036-4537-0-4-705	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	105	104	107
12.1 Civilian personnel benefits	34	36	38
21.0 Travel and transportation of persons	15	16	13
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	14	18	18
24.0 Printing and reproduction	16	15	15
25.2 Other services from non-Federal sources	219	324	324
26.0 Supplies and materials	470	616	615
31.0 Equipment	521	965	964
99.9 Total new obligations, unexpired accounts	1,400	2,100	2,100

Employment Summary

Identification code 036-4537-0-4-705	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,002	1,115	1,115

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 036-4539-0-4-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Franchise Fund (Reimbursable)	1,055	1,128	1,130
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	33	78
1021 Recoveries of prior year unpaid obligations	51		
1050 Unobligated balance (total)	74	33	78
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,123	1,173	1,190
1701 Change in uncollected payments, Federal sources	-109		
1750 Spending auth from offsetting collections, disc (total)	1,014	1,173	1,190
1930 Total budgetary resources available	1,088	1,206	1,268

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	33	78	138
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	481	392	636
3010 New obligations, unexpired accounts	1,055	1,128	1,130
3020 Outlays (gross)	-1,093	-884	-1,325
3040 Recoveries of prior year unpaid obligations, unexpired	-51		
3050 Unpaid obligations, end of year	392	636	441
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-250	-141	-141
3070 Change in uncollected pymts, Fed sources, unexpired	109		
3090 Uncollected pymts, Fed sources, end of year	-141	-141	-141
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	231	251	495
3200 Obligated balance, end of year	251	495	300

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1,014	1,173	1,190
Outlays, gross:			
4010 Outlays from new discretionary authority	589	880	892
4011 Outlays from discretionary balances	504	4	433
4020 Outlays, gross (total)	1,093	884	1,325
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,123	-1,173	-1,190
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	109		
4080 Outlays, net (discretionary)	-30	-289	135
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-30	-289	135

The Department of Veterans Affairs (VA) Franchise Fund was established under the authority of the Government Management Reform Act of 1994 and the VA and Housing and Urban Development and Independent Agencies Act of 1997. VA was selected by the Office of Management and Budget in 1996 as one of the six executive branch agencies to establish a franchise fund pilot program. Created as a revolving fund, the VA Franchise Fund began providing common administrative support services to the VA and other Government agencies in 1997 on a fee-for-service basis. In 2006, under the Military Quality of Life and Veterans Affairs Appropriations Act (Public Law 109-114), permanent status was conferred upon the VA Franchise Fund. The Franchise Fund concept is intended to increase competition for Government administrative services, resulting in lower costs and higher quality.

Object Classification (in millions of dollars)

Identification code 036-4539-0-4-705	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	121	157	158
12.1 Civilian personnel benefits	41	50	50
21.0 Travel and transportation of persons	3	5	3
23.1 Rental payments to GSA	2	6	5
23.3 Communications, utilities, and miscellaneous charges	125	138	140
24.0 Printing and reproduction	9	10	10
25.2 Other services from non-Federal sources	701	705	709
26.0 Supplies and materials	3	5	5
31.0 Equipment	50	52	50
99.9 Total new obligations, unexpired accounts	1,055	1,128	1,130

Employment Summary

Identification code 036-4539-0-4-705	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,473	2,282	2,318

RECURRING EXPENSES TRANSFORMATIONAL FUND

The Consolidated Appropriations Act of 2016 (Public Law 114-133) authorized the Recurring Expenses Transformational Fund. This fund is a no-year account that captures expired unobligated balances from discretion-

ary accounts appropriated to the Department of Veterans Affairs prior to cancellation. This fund shall be available for facilities infrastructure improvements, including nonrecurring maintenance at existing hospitals and clinics of the Veterans Health Administration, and for information technology systems improvements and sustainment, subject to approval by the Office of Management and Budget. Beginning with 2016 appropriations, transfers will occur at the end of the fifth fiscal year after the last fiscal year for which such funds are available, when it is certain that original obligations have been fully paid and closed out. Proposed use of the fund will be submitted to the relevant Committees on Appropriations of the House of Representatives and the Senate for approval, or absent a response, a period of 30 days has elapsed.

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. Any appropriation for fiscal year **[2020]2021** for "Compensation and Pensions", "Readjustment Benefits", and "Veterans Insurance and Indemnities" may be transferred as necessary to any other of the mentioned appropriations: *Provided*, That, before a transfer may take place, the Secretary of Veterans Affairs shall **[request from]submit notice thereof** to the Committees on Appropriations of both Houses of Congress **[the authority to make the transfer and such Committees issue an approval, or absent a response, a period of 30 days has elapsed]**.

(INCLUDING TRANSFER OF FUNDS)

SEC. 202. Amounts made available for the Department of Veterans Affairs for fiscal year **[2020] 2021**, in this or any other Act, under the "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities" accounts may be transferred among the accounts: *Provided*, That **[any transfers among the "Medical Services", "Medical Community Care", and "Medical Support and Compliance" accounts of 1 percent or less of the total amount appropriated to the account in this or any other Act may take place subject to notification from the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress of the amount and purpose of the transfer: *Provided further*, That any transfers among the "Medical Services", "Medical Community Care", and "Medical Support and Compliance" accounts in excess of 1 percent, or exceeding the cumulative 1 percent for the fiscal year, may take place only after the Secretary requests from the Committees on Appropriations of both Houses of Congress the authority to make the transfer and an approval is issued: *Provided further*, That any transfers to or from the "Medical Facilities" account may take place only after the Secretary requests from the Committees on Appropriations of both Houses of Congress the authority to make the transfer and an approval is issued] before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress of the amount and purpose of the transfer.**

SEC. 203. Appropriations available in this title for salaries and expenses shall be available for services authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles; lease of a facility or land or both; and uniforms or allowances therefor, as authorized by sections 5901 through 5902 of title 5, United States Code.

SEC. 204. No appropriations in this title (except the appropriations for "Construction, Major Projects", and "Construction, Minor Projects") shall be available for the purchase of any site for or toward the construction of any new hospital or home.

SEC. 205. No appropriations in this title shall be available for hospitalization or examination of any persons (except beneficiaries entitled to such hospitalization or examination under the laws providing such benefits to veterans, and persons receiving such treatment under sections 7901 through 7904 of title 5, United States Code, or the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.)), unless reimbursement of the cost of such hospitalization or examination is made to the "Medical Services" account at such rates as may be fixed by the Secretary of Veterans Affairs.

SEC. 206. Appropriations available in this title for "Compensation and Pensions", "Readjustment Benefits", and "Veterans Insurance and Indemnities" shall be available for payment of prior year accrued obligations required to be recorded by law against the corresponding prior year accounts within the last quarter of fiscal year **[2019] 2020**.

SEC. 207. Appropriations available in this title shall be available to pay prior year obligations of corresponding prior year appropriations accounts resulting from sections 3328(a), 3334, and 3712(a) of title 31, United States Code, except that if such obligations are from trust fund accounts they shall be payable only from "Compensation and Pensions".

(INCLUDING TRANSFER OF FUNDS)

SEC. 208. Notwithstanding any other provision of law, during fiscal year **[2020] 2021**, the Secretary of Veterans Affairs shall, from the National Service Life Insurance Fund under section 1920 of title 38, United States Code, the Veterans' Special Life Insurance Fund under section 1923 of title 38, United States Code, and the United States Government Life Insurance Fund under section 1955 of title 38, United States Code, reimburse the "General Operating Expenses, Veterans Benefits Administration" and "Information Technology Systems" accounts for the cost of administration of the insurance programs financed through those accounts: *Provided*, That reimbursement shall be made only from the surplus earnings accumulated in such an insurance program during fiscal year **[2020]2021** that are available for dividends in that program after claims have been paid and actuarially determined reserves have been set aside: *Provided further*, That if the cost of administration of such an insurance program exceeds the amount of surplus earnings accumulated in that program, reimbursement shall be made only to the extent of such surplus earnings: *Provided further*, That the Secretary shall determine the cost of administration for fiscal year **[2020]2021** which is properly allocable to the provision of each such insurance program and to the provision of any total disability income insurance included in that insurance program.

SEC. 209. Amounts deducted from enhanced-use lease proceeds to reimburse an account for expenses incurred by that account during a prior fiscal year for providing enhanced-use lease services, may be obligated during the fiscal year in which the proceeds are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 210. Funds available in this title or funds for salaries and other administrative expenses shall also be available to reimburse the Office of Resolution Management, the Office of Employment Discrimination Complaint Adjudication, and the Office of Diversity and Inclusion for all services provided at rates which will recover actual costs but not to exceed **[\$57,263,000] \$60,096,000** for the Office of Resolution Management, **[\$6,000,000] \$6,100,000** for the Office of Employment Discrimination Complaint Adjudication, and **[\$4,628,000] \$5,294,000** for the Office of Diversity and Inclusion: *Provided*, That payments may be made in advance for services to be furnished based on estimated costs: *Provided further*, That amounts received shall be credited to the "General Administration" and "Information Technology Systems" accounts for use by the office that provided the service.

SEC. 211. No funds of the Department of Veterans Affairs shall be available for hospital care, nursing home care, or medical services provided to any person under chapter 17 of title 38, United States Code, for a non-service-connected disability described in section 1729(a)(2) of such title, unless that person has disclosed to the Secretary of Veterans Affairs, in such form as the Secretary may require, current, accurate third-party reimbursement information for purposes of section 1729 of such title: *Provided*, That the Secretary may recover, in the same manner as any other debt due the United States, the reasonable charges for such care or services from any person who does not make such disclosure as required: *Provided further*, That any amounts so recovered for care or services provided in a prior fiscal year may be obligated by the Secretary during the fiscal year in which amounts are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 212. Notwithstanding any other provision of law, proceeds or revenues derived from enhanced-use leasing activities (including disposal) may be deposited into the "Construction, Major Projects" and "Construction, Minor Projects" accounts and be used for construction (including site acquisition and disposition), alterations, and improvements of any medical facility under the jurisdiction or for the use of the Department of Veterans Affairs. Such sums as realized are in addition to the amount provided for in "Construction, Major Projects" and "Construction, Minor Projects".

SEC. 213. Amounts made available under "Medical Services" are available—

- (1) for furnishing recreational facilities, supplies, and equipment; and
- (2) for funeral expenses, burial expenses, and other expenses incidental to funerals and burials for beneficiaries receiving care in the Department.

(INCLUDING TRANSFER OF FUNDS)

SEC. 214. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, may be transferred to the "Medical Services" and "Medical Community Care" accounts to remain available until expended for the purposes of these accounts.

SEC. 215. The Secretary of Veterans Affairs may enter into agreements with Federally Qualified Health Centers in the State of Alaska and Indian tribes and tribal organizations which are party to the Alaska Native Health Compact with the Indian Health Service, to provide healthcare, including behavioral health and dental care, to veterans in rural Alaska. The Secretary shall require participating veterans and facilities to comply with all appropriate rules and regulations, as established by the Secretary. The term "rural Alaska" shall mean those lands which are not within the boundaries of the municipality of Anchorage or the Fairbanks North Star Borough.

(INCLUDING TRANSFER OF FUNDS)

SEC. 216. Such sums as may be deposited to the Department of Veterans Affairs Capital Asset Fund pursuant to section 8118 of title 38, United States Code, may be transferred to the "Construction, Major Projects" and "Construction, Minor Projects" accounts, to remain available until expended for the purposes of these accounts.

SEC. 217. Not later than 30 days after the end of each fiscal quarter, the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress a report on the financial status of the Department of Veterans Affairs for the preceding quarter: *Provided*, That, at a minimum, the report shall include the direction contained in the paragraph entitled "Quarterly reporting", under the heading "General Administration" in the joint explanatory statement accompanying Public Law 114–223.

(INCLUDING TRANSFER OF FUNDS)

SEC. 218. Amounts made available under the "Medical Services", "Medical Community Care", "Medical Support and Compliance", "Medical Facilities", "General Operating Expenses, Veterans Benefits Administration", "Board of Veterans Appeals", "General Administration", and "National Cemetery Administration" accounts for fiscal year [2020]2021 may be transferred to or from the "Information Technology Systems" account: *Provided*, That such transfers may not result in a more than 10 percent aggregate increase in the total amount made available by this Act for the "Information Technology Systems" account: *Provided further*, That, before a transfer may take place, the Secretary of Veterans Affairs shall [request from] *submit notice thereof* to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and an approval is issued].

(INCLUDING TRANSFER OF FUNDS)

SEC. 219. Of the amounts appropriated to the Department of Veterans Affairs for fiscal year [2020]2021 for "Medical Services", "Medical Community Care", "Medical Support and Compliance", "Medical Facilities", "Construction, Minor Projects", and "Information Technology Systems", up to [\$314,409,000] \$322,932,000, plus reimbursements, may be transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. [3571] 2571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress: *Provided further*, That section [220]219 of title II of division [C]F of Public Law 115–244 is repealed.

(INCLUDING TRANSFER OF FUNDS)

SEC. 220. Of the amounts appropriated to the Department of Veterans Affairs which become available on October 1, [2020] 2021, for "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities", up to [\$322,931,000] \$327,126,000, plus reimbursements, may be transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 221. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, for healthcare provided at facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500) shall also be available: (1) for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571); and (2) for operations of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): *Provided*, That, notwithstanding section 1704(b)(3) of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 2573), amounts transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund shall remain available until expended.

(INCLUDING TRANSFER OF FUNDS)

SEC. 222. Of the amounts available in this title for "Medical Services", "Medical Community Care", "Medical Support and Compliance", and "Medical Facilities", a minimum of \$15,000,000 shall be transferred to the DOD-VA Health Care Sharing Incentive Fund, as authorized by section 8111(d) of title 38, United States Code, to remain available until expended, for any purpose authorized by section 8111 of title 38, United States Code.

[SEC. 223. None of the funds available to the Department of Veterans Affairs, in this or any other Act, may be used to replace the current system by which the Veterans Integrated Service Networks select and contract for diabetes monitoring supplies and equipment.]

[SEC. 224. The Secretary of Veterans Affairs shall notify the Committees on Appropriations of both Houses of Congress of all bid savings in a major construction project that total at least \$5,000,000, or 5 percent of the programmed amount of the project, whichever is less: *Provided*, That such notification shall occur within 14 days of a contract identifying the programmed amount: *Provided further*, That the Secretary shall notify the Committees on Appropriations of both Houses of Congress 14 days prior to the obligation of such bid savings and shall describe the anticipated use of such savings.]

[SEC. 225. None of the funds made available for "Construction, Major Projects" may be used for a project in excess of the scope specified for that project in the original justification data provided to the Congress as part of the request for appropriations unless the Secretary of Veterans Affairs receives approval from the Committees on Appropriations of both Houses of Congress.]

[SEC. 226. Not later than 30 days after the end of each fiscal quarter, the Secretary of Veterans Affairs shall submit to the Committees on Appropriations of both Houses of Congress a quarterly report containing performance measures and data from each Veterans Benefits Administration Regional Office: *Provided*, That, at a minimum, the report shall include the direction contained in the section entitled "Disability claims backlog", under the heading "General Operating Expenses, Veterans Benefits Administration" in the joint explanatory statement accompanying Public Law 114–223: *Provided further*, That the report shall also include information on the number of appeals pending at the Veterans Benefits Administration as well as the Board of Veterans Appeals on a quarterly basis.]

[SEC. 227. The Secretary of Veterans Affairs shall provide written notification to the Committees on Appropriations of both Houses of Congress 15 days prior to organizational changes which result in the transfer of 25 or more full-time equivalents from one organizational unit of the Department of Veterans Affairs to another.]

[SEC. 228. The Secretary of Veterans Affairs shall provide on a quarterly basis to the Committees on Appropriations of both Houses of Congress notification of any single national outreach and awareness marketing campaign in which obligations exceed \$1,000,000.]

(INCLUDING TRANSFER OF FUNDS)

SEC. [229]223. The Secretary of Veterans Affairs, upon determination that such action is necessary to address needs of the Veterans Health Administration, may transfer to the "Medical Services" account any discretionary appropriations made available for fiscal year [2020]2021 in this title (except appropriations made to the "General Operating Expenses, Veterans Benefits Administration" account) or any discretionary unobligated balances within the Department of Veterans Affairs, including those appropriated for fiscal year [2020] 2021, that were provided in advance by appropriations Acts: *Provided*, That transfers shall be made only with the approval of the Office of Management and Budget: *Provided further*, That the transfer authority provided in this section is in addition to any other transfer authority provided by law: *Provided further*, That no amounts may be transferred from amounts that were designated by Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That such authority to transfer may not be used unless for higher priority items, based on emergent healthcare requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by Congress: *Provided further*, That, upon determination that all or part of the funds transferred from an appropriation are not necessary, such amounts may be transferred back to that appropriation and shall be available for the same purposes as originally appropriated: *Provided further*, That before a transfer may take place, the Secretary of Veterans Affairs shall [request from] *submit notice thereof* to the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and receive approval of that request].

(INCLUDING TRANSFER OF FUNDS)

SEC. [230]224. Amounts made available for the Department of Veterans Affairs for fiscal year [2020] 2021, under the "Board of Veterans Appeals" and the "General Operating Expenses, Veterans Benefits Administration" accounts may be transferred between such accounts: *Provided*, That before a transfer may take place, the Secretary of Veterans Affairs [shall request from] *shall submit notice thereof*

the Committees on Appropriations of both Houses of Congress [the authority to make the transfer and receive approval of that request.]

SEC. 231. The Secretary of Veterans Affairs may not reprogram funds among major construction projects or programs if such instance of reprogramming will exceed \$7,000,000, unless such reprogramming is approved by the Committees on Appropriations of both Houses of Congress.]

SEC. 232. (a) The Secretary of Veterans Affairs shall ensure that the toll-free suicide hotline under section 1720F(h) of title 38, United States Code—

- (1) provides to individuals who contact the hotline immediate assistance from a trained professional; and
- (2) adheres to all requirements of the American Association of Suicidology.

(b)

- (1) None of the funds made available by this Act may be used to enforce or otherwise carry out any Executive action that prohibits the Secretary of Veterans Affairs from appointing an individual to occupy a vacant civil service position, or establishing a new civil service position, at the Department of Veterans Affairs with respect to such a position relating to the hotline specified in subsection (a).
- (2) In this subsection—

(A) the term "civil service" has the meaning given such term in section 2101(1) of title 5, United States Code; and

(B) the term "Executive action" includes—

- (i) any Executive order, presidential memorandum, or other action by the President; and
- (ii) any agency policy, order, or other directive.

(c)

- (1) The Secretary of Veterans Affairs shall conduct a study on the effectiveness of the hotline specified in subsection (a) during the five-year period beginning on January 1, 2016, based on an analysis of national suicide data and data collected from such hotline.

(2) At a minimum, the study required by paragraph (1) shall—

(A) determine the number of veterans who contact the hotline specified in subsection (a) and who receive follow up services from the hotline or mental health services from the Department of Veterans Affairs thereafter;

(B) determine the number of veterans who contact the hotline who are not referred to, or do not continue receiving, mental health care who commit suicide; and

(C) determine the number of veterans described in subparagraph (A) who commit or attempt suicide.]

SEC. 233. None of the funds in this or any other Act may be used to close Department of Veterans Affairs (VA) hospitals, domiciliaries, or clinics, conduct an environmental assessment, or to diminish healthcare services at existing Veterans Health Administration medical facilities as part of a planned realignment of VA services until the Secretary provides to the Committees on Appropriations of both Houses of Congress a report including the following elements—

(1) a national realignment strategy that includes a detailed description of realignment plans within each Veterans Integrated Services Network (VISN), including an updated Long Range Capital Plan to implement realignment requirements;

(2) an explanation of the process by which those plans were developed and coordinated within each VISN;

(3) a cost versus benefit analysis of each planned realignment, including the cost of replacing Veterans Health Administration services with contract care or other outsourced services;

(4) an analysis of how any such planned realignment of services will impact access to care for veterans living in rural or highly rural areas, including travel distances and transportation costs to access a VA medical facility and availability of local specialty and primary care;

(5) an inventory of VA buildings with historic designation and the methodology used to determine the buildings' condition and utilization;

(6) a description of how any realignment will be consistent with requirements under the National Historic Preservation Act; and

(7) consideration given for reuse of historic buildings within newly identified realignment requirements: *Provided*, That, this provision shall not apply to capital projects in any VISN, which have been authorized or approved by Congress.]

SEC. 234. Effective during the period beginning on October 1, 2018 and ending on January 1, 2024, none of the funds made available to the Secretary of Veterans Affairs by this or any other Act may be obligated or expended in contravention of the "Veterans Health Administration Clinical Preventive Services Guidance Statement on the Veterans Health Administration's Screening for Breast Cancer Guidance" published on May 10, 2017, as issued by the Veterans Health Administration National Center for Health Promotion and Disease Prevention.]

SEC. [235] 225. (a) Notwithstanding any other provision of law, the amounts appropriated or otherwise made available to the Department of Veterans Affairs for the "Medical Services" account may be used to provide—

(1) fertility counseling and treatment using assisted reproductive technology to a covered veteran or the spouse of a covered veteran; or

(2) adoption reimbursement to a covered veteran.

(b) In this section:

(1) The term "service-connected" has the meaning given such term in section 101 of title 38, United States Code.

(2) The term "covered veteran" means a veteran, as such term is defined in section 101 of title 38, United States Code, who has a service-connected disability that results in the inability of the veteran to procreate without the use of fertility treatment.

(3) The term "assisted reproductive technology" means benefits relating to reproductive assistance provided to a member of the Armed Forces who incurs a serious injury or illness on active duty pursuant to section 1074(c)(4)(A) of title 10, United States Code, as described in the memorandum on the subject of "Policy for Assisted Reproductive Services for the Benefit of Seriously or Severely Ill/Injured (Category II or III) Active Duty Service Members" issued by the Assistant Secretary of Defense for Health Affairs on April 3, 2012, and the guidance issued to implement such policy, including any limitations on the amount of such benefits available to such a member except that—

(A) the time periods regarding embryo cryopreservation and storage set forth in part III(G) and in part IV(H) of such memorandum shall not apply; and

(B) such term includes embryo cryopreservation and storage without limitation on the duration of such cryopreservation and storage.

(4) The term "adoption reimbursement" means reimbursement for the adoption-related expenses for an adoption that is finalized after the date of the enactment of this Act under the same terms as apply under the adoption reimbursement program of the Department of Defense, as authorized in Department of Defense Instruction 1341.09, including the reimbursement limits and requirements set forth in such instruction.

(c) Amounts made available for the purposes specified in subsection (a) of this section are subject to the requirements for funds contained in section 508 of division H of the Consolidated Appropriations Act, 2018 (Public Law 115–141).

SEC. 236. None of the funds appropriated or otherwise made available by this Act or any other Act for the Department of Veterans Affairs may be used in a manner that is inconsistent with: (1) section 842 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109–115; 119 Stat. 2506); or (2) section 8110(a)(5) of title 38, United States Code.]

SEC. 237. Section 842 of Public Law 109–115 shall not apply to conversion of an activity or function of the Veterans Health Administration, Veterans Benefits Administration, or National Cemetery Administration to contractor performance by a business concern that is at least 51 percent owned by one or more Indian tribes as defined in section 5304(e) of title 25, United States Code, or one or more Native Hawaiian Organizations as defined in section 637(a)(15) of title 15, United States Code.]

SEC. 238. (a) Except as provided in subsection (b), the Secretary of Veterans Affairs, in consultation with the Secretary of Defense and the Secretary of Labor, shall discontinue using Social Security account numbers to identify individuals in all information systems of the Department of Veterans Affairs as follows:

(1) For all veterans submitting to the Secretary of Veterans Affairs new claims for benefits under laws administered by the Secretary, not later than 5 years after the date of the enactment of this Act.

(2) For all individuals not described in paragraph (1), not later than 8 years after the date of the enactment of this Act.

(b) The Secretary of Veterans Affairs may use a Social Security account number to identify an individual in an information system of the Department of Veterans Affairs if and only if the use of such number is required to obtain information the Secretary requires from an information system that is not under the jurisdiction of the Secretary.]

SEC. 239. For funds provided to the Department of Veterans Affairs for each of fiscal year 2020 and 2021 for "Medical Services", section 239 of Division A of Public Law 114–223 shall apply.]

SEC. 240. None of the funds appropriated in this or prior appropriations Acts or otherwise made available to the Department of Veterans Affairs may be used to transfer any amounts from the Filipino Veterans Equity Compensation Fund to any other account within the Department of Veterans Affairs.]

SEC. [241] 226. Of the funds provided to the Department of Veterans Affairs for each of fiscal year [2020] 2021 and fiscal year [2021] 2022 for "Medical Services", funds may be used in each year to carry out and expand the child care program au-

thorized by section 205 of Public Law 111–163, notwithstanding subsection (e) of such section.

SEC. 242. None of the funds appropriated or otherwise made available in this title may be used by the Secretary of Veterans Affairs to enter into an agreement related to resolving a dispute or claim with an individual that would restrict in any way the individual from speaking to members of Congress or their staff on any topic not otherwise prohibited from disclosure by Federal law or required by Executive Order to be kept secret in the interest of national defense or the conduct of foreign affairs. **]**

SEC. 243. For funds provided to the Department of Veterans Affairs for each of fiscal year 2020 and 2021, section 258 of Division A of Public Law 114–223 shall apply. **]**

SEC. 244. (a) None of the funds appropriated or otherwise made available by this Act may be used to deny an Inspector General funded under this Act timely access to any records, documents, or other materials available to the department or agency of the United States Government over which such Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.), or to prevent or impede the access of such Inspector General to such records, documents, or other materials, under any provision of law, except a provision of law that expressly refers to such Inspector General and expressly limits the right of access of such Inspector General.

(b) A department or agency covered by this section shall provide its Inspector General access to all records, documents, and other materials in a timely manner.

(c) Each Inspector General covered by this section shall ensure compliance with statutory limitations on disclosure relevant to the information provided by the department or agency over which that Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.).

(d) Each Inspector General covered by this section shall report to the Committee on Appropriations of the Senate and the Committee on Appropriations of the House of Representatives within 5 calendar days of any failure by any department or agency covered by this section to comply with this section. **]**

SEC. 245. **227.** For funds provided to the Department of Veterans Affairs for each of fiscal year **[2020]** **2021** and **[2021]** **2022**, section 248 of Division A of Public Law 114–223 shall apply.

SEC. 246. (a) The Secretary of Veterans Affairs may use amounts appropriated or otherwise made available in this title to ensure that the ratio of veterans to full-time employment equivalents within any program of rehabilitation conducted under chapter 31 of title 38, United States Code, does not exceed 125 veterans to one full-time employment equivalent.

(b) Not later than 180 days after the date of the enactment of this Act, the Secretary shall submit to Congress a report on the programs of rehabilitation conducted under chapter 31 of title 38, United States Code, including—

- (1) an assessment of the veteran-to-staff ratio for each such program; and
- (2) recommendations for such action as the Secretary considers necessary to reduce the veteran-to-staff ratio for each such program. **]**

SEC. 247. None of the funds made available in this Act may be used in a manner that would increase wait times for veterans who seek care at medical facilities of the Department of Veterans Affairs. **]**

SEC. 248. None of the funds appropriated or otherwise made available by this Act to the Veterans Health Administration may be used in fiscal year 2020 to convert any program which received specific purpose funds in fiscal year 2019 to a general purpose funded program unless the Secretary of Veterans Affairs submits written notification of any such proposal to the Committees on Appropriations of both Houses of Congress at least thirty days prior to any such action and an approval is issued by the Committees. **]**

SEC. 249. (a) None of the funds appropriated or otherwise made available by this Act may be used to conduct research commencing on or after October 1, 2019, that uses any canine, feline, or non-human primate unless the Secretary of Veterans Affairs approves such research specifically and in writing pursuant to subsection (b).

(b)

(1) The Secretary of Veterans Affairs may approve the conduct of research commencing on or after October 1, 2019, using canines, felines, or non-human primates if the Secretary determines that—

(A) the scientific objectives of the research can only be met by using such canines, felines, or non-human primates;

(B) such scientific objectives are directly related to an illness or injury that is combat-related; and

(C) the research is consistent with the revised Department of Veterans Affairs canine research policy document dated December 15, 2017, including any subsequent revisions to such document.

(2) The Secretary may not delegate the authority under this subsection.

(c) If the Secretary approves any new research pursuant to subsection (b), not later than 30 days before the commencement of such research, the Secretary shall submit to the Committees on Appropriations of the Senate and House of Representatives a report describing—

(1) the nature of the research to be conducted using canines, felines, or non-human primates;

(2) the date on which the Secretary approved the research;

(3) the justification for the determination of the Secretary that the scientific objectives of such research could only be met using canines, felines, or non-human primates;

(4) the frequency and duration of such research; and

(5) the protocols in place to ensure the necessity, safety, and efficacy of the research; and

(d) Not later than 180 days after the date of the enactment of this Act, and biannually thereafter, the Secretary shall submit to such Committees a report describing—

(1) any research being conducted by the Department of Veterans Affairs using canines, felines, or non-human primates as of the date of the submittal of the report;

(2) the circumstances under which such research was conducted using canines, felines, or non-human primates;

(3) the justification for using canines, felines, or non-human primates to conduct such research; and

(4) the protocols in place to ensure the necessity, safety, and efficacy of such research.

(e) Not later than December 31, 2020, the Secretary shall submit to such Committees a plan under which the Secretary will eliminate or reduce the research conducted using canines, felines, or non-human primates by not later than five years after the date of the enactment of this Act. **]**

SEC. 250. None of the funds made available by this Act may be used by the Secretary of Veterans Affairs to close the community based outpatient clinic located in Bainbridge, New York, until the Secretary of Veterans Affairs submits to the Committees on Appropriations of the House of Representatives and the Senate a market area assessment. **]**

SEC. 251. (a) **PLAN REQUIRED.**—Not later than 90 days after the date of the enactment of this Act, the Secretary of Veterans Affairs shall submit to the appropriate committees of Congress a plan to reduce the chances that clinical mistakes by employees of the Department of Veterans Affairs will result in adverse events that require institutional or clinical disclosures and to prevent any unnecessary hardship for patients and families impacted by such adverse events.

(b) **ELEMENTS.**—The plan required by subsection (a) shall include the following:

(1) A description of a process for the timely identification of individuals impacted by disclosures described in subsection (a) and the process for contacting those individuals or their next of kin.

(2) A description of procedures for expediting any remedial or follow-up care required for those individuals.

(3) A detailed outline of proposed changes to the process of the Department for clinical quality checks and oversight.

(4) A communication plan to ensure all facilities of the Department are made aware of any requirements updated pursuant to the plan.

(5) A timeline detailing the implementation of the plan.

(6) An identification of the senior executive of the Department responsible for ensuring compliance with the plan.

(7) An identification of potential impacts of the plan on timely diagnoses for patients.

(8) An identification of the processes and procedures for employees of the Department to make leadership at the facility and the Department aware of adverse events that are concerning and that result in disclosures and to ensure that the medical impact on veterans of such disclosures is minimized.

(c) **APPROPRIATE COMMITTEES OF CONGRESS DEFINED.**—In this section, the term "appropriate committees of Congress" means—

(1) the Committee on Veterans' Affairs and the Subcommittee on Military Construction, Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate; and

(2) the Committee on Veterans' Affairs and the Subcommittee on Military Construction, Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives. **]**

SEC. 252. (a) Not later than 180 days after the date of the enactment of this Act, and not less frequently than once every five-year period thereafter, the Secretary of Veterans Affairs shall update the handbook of the Department of Veterans Affairs titled "Planning and Activating Community Based Outpatient Clinics", or a successor handbook, to reflect current policies, best practices, and clarify the roles and respons-

ibilities of the personnel of the Department involved in the leasing projects of the Department.

(b) The Secretary shall ensure that the handbook specified in subsection (a) defines "community based outpatient clinic" in the same manner as such term is defined in the Veterans Health Administration Site Tracking database (commonly known as "VAST") as of the date of the enactment of this Act.

(c) The Secretary shall ensure that the Veterans Health Administration incorporates the best practices contained in the handbook specified in subsection (a) in conducting oversight of the medical centers of the Department of Veterans Affairs and the Veterans Integrated Service Network.

(d) Not later than 180 days after the date of the enactment of this Act, the Secretary shall provide guidance and training to employees of the Veterans Health Administration for the use of the handbook specified in subsection (a). The Secretary shall update such guidance and training together with each update of such handbook.]

[(RESCISSIONS OF FUNDS)]

[SEC. 253. Of the unobligated balances available to the Department of Veterans Affairs from prior appropriations Acts, the following funds are hereby rescinded from the following accounts in the amounts specified:

- "Veterans Health Administration, Medical Services", \$350,000,000;
- "Veterans Health Administration, Medical Support and Compliance", \$10,000,000;
- "Veterans Health Administration, Medical and Prosthetic Research", \$50,000,000;
- "Veterans Health Administration, DOD-VA Health Care Sharing Incentive Fund", \$15,949,000;
- "National Cemetery Administration", \$1,000,000;
- "Departmental Administration, Board of Veterans Appeals", \$8,000,000; and
- "Departmental Administration, Veterans Electronic Health Record", \$70,000,000:]

[Provided, That no amounts may be rescinded from amounts that were designated by the Congress as an emergency requirement pursuant to a concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.]

[SEC. 254. Section 252 of the Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2018 (division J of Public Law 115–141; 132 Stat. 825; 38 U.S.C. 1701 note) is amended by striking "The Secretary may carry out a 2-year pilot program" and inserting "During the period preceding October 1, 2022, the Secretary of Veterans Affairs may carry out a 2-year pilot program".]

[(RESCISSION OF FUNDS)]

[SEC. 255. The remaining unobligated balances in the "Department of Veterans Affairs-Departmental Administration-General Operating Expenses" account from the following funds appropriated in Public Law 107–38 are hereby rescinded: *Provided,* That the amounts rescinded pursuant to this section that were previously designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985 are redesignated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A)(i) of that Act:

- (1) funds subject to subsequent enactment and transferred pursuant to chapter 13 of division B of Public Law 107–117; and
- (2) funds made available and subsequently transferred pursuant to the first proviso under the heading "Executive Office of the President and Funds Appropriated to the President-Emergency Response Fund".]

SEC. [256] 228. Amounts made available for the "Veterans Health Administration, "Medical Community Care" account in this or any other Act for fiscal years [2020] 2021 and [2021] 2022 may be used for expenses that would *have* otherwise [be] *been* payable from the Veterans Choice Fund established by section 802 of the Veterans Access, Choice, and Accountability Act, as amended (38 U.S.C. 1701 note).

[SEC. 257. Hereafter, the matter preceding the first proviso under the heading "Veterans Health Administration, Medical Services" in title II of division C of Public Law 115–244 shall be applied for the purpose of the appropriations under that heading that became available on October 1, 2019, by striking "aid to State homes as authorized by section 1741 of title 38, United States Code,".]

SEC. 229. Obligations and expenditures applicable to the "Medical Services" account in fiscal years 2017 through 2019 for aid to State homes (as authorized by section 1741 of title 38, United States Code) shall remain in the "Medical Community Care" account for such fiscal years. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
036–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	3	7	8
036–247300 Contributions from Military Personnel, Veteran's Educational Assistance Act of 1984	151	137	117
036–247300 Contributions from Military Personnel, Veteran's Educational Assistance Act of 1984: Legislative proposal, subject to PAYGO			–111
036–273330 Housing Downward Reestimates	3,496	2,567	
036–275110 Native American Veteran Housing Loans, Negative Subsidies	1	2	2
036–275130 Native American Direct Loans, Downward Reestimate of Subsidies		1	
036–275510 Housing Negative Subsidies		512	714
036–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	161	51	52
General Fund Offsetting receipts from the public	3,812	3,277	782
Intragovernmental payments:			
036–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	22	5	6
General Fund Intragovernmental payments	22	5	6

TITLE VI—GENERAL PROVISIONS

SEC. 601. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 602. None of the funds made available in this Act may be used for any program, project, or activity, when it is made known to the Federal entity or official to which the funds are made available that the program, project, or activity is not in compliance with any Federal law relating to risk assessment, the protection of private property rights, or unfunded mandates.

[SEC. 603. All departments and agencies funded under this Act are encouraged, within the limits of the existing statutory authorities and funding, to expand their use of 'E-Commerce' technologies and procedures in the conduct of their business practices and public service activities.]

SEC. [604] 603. Unless stated otherwise, all reports and notifications required by this Act shall be submitted to the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives and the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate.

SEC. [605] 604. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government except pursuant to a transfer made by, or transfer authority provided in, this or any other appropriations Act.

SEC. [606] 605. None of the funds made available in this Act may be used for a project or program named for an individual serving as a Member, Delegate, or Resident Commissioner of the United States House of Representatives.

[SEC. 607. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public Web site of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises national security; or
- (2) the report contains confidential or proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.]

SEC. [608] 606. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

SEC. [609] 607. None of the funds made available in this Act may be used by an agency of the executive branch to pay for first-class travel by an employee of the agency in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. **[610]608.** None of the funds made available in this Act may be used to execute a contract for goods or services, including construction services, where the contractor has not complied with Executive Order No. 12989.

[SEC. 611. None of the funds made available by this Act may be used by the Department of Defense or the Department of Veterans Affairs to lease or purchase new light duty vehicles for any executive fleet, or for an agency's fleet inventory, except in accordance with Presidential Memorandum-Federal Fleet Performance, dated May 24, 2011.]

SEC. **[612]609.** Except as expressly provided otherwise, any reference to 'this Act' contained in this division shall be treated as referring only to the provisions of this division.

[SEC. 613. None of the funds made available by this Act may be used in contravention of section 101(e)(8) of title 10, United States Code.]

[SEC. 614. (a) IN GENERAL.—None of the funds appropriated or otherwise made available to the Department of Defense in this Act may be used to construct, renovate, or expand any facility in the United States, its territories, or possessions to house

any individual detained at United States Naval Station, Guantanamo Bay, Cuba, for the purposes of detention or imprisonment in the custody or under the control of the Department of Defense.

(b) The prohibition in subsection (a) shall not apply to any modification of facilities at United States Naval Station, Guantanamo Bay, Cuba.

(c) An individual described in this subsection is any individual who, as of June 24, 2009, is located at United States Naval Station, Guantanamo Bay, Cuba, and who—

(1) is not a citizen of the United States or a member of the Armed Forces of the United States; and

(2) is—

(A) in the custody or under the effective control of the Department of Defense; or

(B) otherwise under detention at United States Naval Station, Guantanamo Bay, Cuba.]

(Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

CORPS OF ENGINEERS—CIVIL WORKS

The following appropriations shall be expended under the direction of the Secretary of the Army and the supervision of the Chief of Engineers for authorized civil functions of the Department of the Army pertaining to [river and harbor] *commercial navigation*, flood and storm damage reduction[, shore protection], aquatic ecosystem restoration, and related efforts.

Federal Funds

OFFICE OF THE ASSISTANT SECRETARY OF THE ARMY FOR CIVIL WORKS

For the Office of the Assistant Secretary of the Army for Civil Works [as authorized by 10 U.S.C. 3016(b)(3)], \$5,000,000, to remain available until September 30, [2021: *Provided*, That not more than 75 percent of such amount may be obligated or expended until the Assistant Secretary submits to the Committees on Appropriations of both Houses of Congress the report required under section 101(d) of this Act and a work plan that allocates at least 95 percent of the additional funding provided under each heading in this title, as designated under such heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), to specific programs, projects, or activities] 2022. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 096–3132–0–1–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Assistant Secretary of the Army (Civil Works)	5	5	5
0900 Total new obligations, unexpired accounts (object class 25.3)	5	5	5
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	5
1930 Total budgetary resources available	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	5
3010 New obligations, unexpired accounts	5	5	5
3020 Outlays (gross)	–4	–6	–6
3050 Unpaid obligations, end of year	6	5	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	5
3200 Obligated balance, end of year	6	5	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority	1	5	5
4011 Outlays from discretionary balances	3	1	1
4020 Outlays, gross (total)	4	6	6
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	4	6	6

This appropriation funds strategic planning for and overall supervision of the Army's civil works program.

CONSTRUCTION

For expenses necessary for the construction of [river and harbor] *commercial navigation*, flood and storm damage reduction[, shore protection], and aquatic ecosystem restoration *projects*, and related [projects authorized by law] *efforts*; for [conducting detailed] *studies, design work, and plans and specifications* [,] of such projects, [(including those involving participation by States, local governments, or private groups) authorized or made eligible for selection by law (but such detailed studies, and plans and specifications, shall not constitute a commitment of the Government to construction); \$2,681,000,000] *and related efforts*; \$2,173,189,000, to remain available until expended[; of which such sums as are necessary to cover the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program shall be derived from the Harbor Maintenance Trust

Fund as authorized by Public Law 104–303; and of which such sums as are necessary to cover one-half of the costs of construction, replacement, rehabilitation, and expansion of inland waterways projects, except for Chickamauga Lock, Tennessee River, Tennessee, which shall be 35 percent during the fiscal year covered by this Act, shall be derived from the Inland Waterways Trust Fund, except as otherwise specifically provided for in law: *Provided*, That the Secretary shall initiate six new construction starts during fiscal year 2020: *Provided further*, That for new construction projects, project cost sharing agreements shall be executed as soon as practicable but no later than December 31, 2020: *Provided further*, That no allocation for a new start shall be considered final and no work allowance shall be made until the Secretary provides to the Committees on Appropriations of both Houses of Congress an out-year funding scenario demonstrating the affordability of the selected new starts and the impacts on other projects: *Provided further*, That the Secretary may not deviate from the new start proposed in the work plan, once the plan has been submitted to the Committees on Appropriations of both Houses of Congress]. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 096–3122–0–1–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commercial Navigation	753	631	638
0002 Flood Risk Management	1,399	1,173	1,184
0003 Aquatic Ecosystem Restoration	378	317	320
0005 Multipurpose and Other Programs	96	80	81
0100 Direct program subtotal	2,626	2,201	2,223
0799 Total direct obligations	2,626	2,201	2,223
0801 Department of Homeland Security	1,762	1,762	1,762
0802 Department of Veteran Affairs	422	422	422
0803 Environmental Protection Agency	136	136	136
0804 National Aeronautics and Space Administration	24	24	24
0805 Department of Energy	110	110	110
0806 Other Federal Agencies	132	132	132
0807 Non-Federal Agencies	415	415	415
0808 Intra-Corps	145	145	145
0899 Total reimbursable obligations	3,146	3,146	3,146
0900 Total new obligations, unexpired accounts	5,772	5,347	5,369
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23,290	23,901	22,106
1020 Adjustment of unobligated bal brought forward, Oct 1	–3
1021 Recoveries of prior year unpaid obligations	69
1033 Recoveries of prior year paid obligations	1
1050 Unobligated balance (total)	23,357	23,901	22,106
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,685	2,504	2,173
Spending authority from offsetting collections, discretionary:			
1700 Collected (Inland Waterways Trust Fund)	86	131
1700 Collected (Inland Waterways Trust Fund FY2018 Prior Year)	90
1700 Collected (Inland Waterways Trust Fund Pre-FY18 Prior Year)	7
1700 Collected (Harbor Maintenance Trust Fund)	29	46
1700 Collected (Harbor Maintenance Trust Fund FY2018 Prior Year)	25
1700 Collected (Harbor Maintenance Trust Fund Pre-FY18 Prior Year)	1
1700 Collected (Construction)	2,058	871	1,048
1701 Change in uncollected payments, Federal sources	1,335
1750 Spending auth from offsetting collections, disc (total)	3,631	1,048	1,048
1900 Budget authority (total)	6,316	3,552	3,221
1930 Total budgetary resources available	29,673	27,453	25,327
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23,901	22,106	19,958
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,035	6,215	9,611
3010 New obligations, unexpired accounts	5,772	5,347	5,369
3020 Outlays (gross)	–3,523	–1,951	–2,205
3040 Recoveries of prior year unpaid obligations, unexpired	–69
3050 Unpaid obligations, end of year	6,215	9,611	12,775

CONSTRUCTION—Continued
Program and Financing—Continued

Identification code 096-3122-0-1-301	2019 actual	2020 est.	2021 est.
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3,188	-4,523	-4,523
3070 Change in uncollected pymts, Fed sources, unexpired	-1,335		
3090 Uncollected pymts, Fed sources, end of year	-4,523	-4,523	-4,523
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	847	1,692	5,088
3200 Obligated balance, end of year	1,692	5,088	8,252
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,316	3,552	3,221
Outlays, gross:			
4010 Outlays from new discretionary authority		973	899
4011 Outlays from discretionary balances	3,523	978	1,306
4020 Outlays, gross (total)	3,523	1,951	2,205
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2,150	-1,048	-1,048
4033 Non-Federal sources	-147		
4040 Offsets against gross budget authority and outlays (total)	-2,297	-1,048	-1,048
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1,335		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	-1,334		
4070 Budget authority, net (discretionary)	2,685	2,504	2,173
4080 Outlays, net (discretionary)	1,226	903	1,157
4180 Budget authority, net (total)	2,685	2,504	2,173
4190 Outlays, net (total)	1,226	903	1,157

This appropriation funds the construction, replacement, rehabilitation, and expansion of water resources projects whose principal purpose is to provide commercial navigation, flood and storm damage reduction, or aquatic ecosystem restoration benefits to the Nation, and related efforts.

This account allocates funds on a performance basis to high-performing projects. The Budget funds those investments within the three main mission areas of the Corps civil works program—commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration—as well as related efforts that provide the best economic, environmental, and public safety returns to the Nation. The Budget accelerates the completion of projects, reduces costs to taxpayers and promotes greater non-Federal control of water resources projects by funding two new innovative programs. It includes \$250 million for a program under which the Corps would transfer appropriated funds to non-Federal sponsors who decide to construct a project on their own under section 1043 of the Water Resources Reform and Development Act of 2014, as amended. The Budget proposes to extend section 1043 which, under current law, expired in 2019. It also includes \$250 million that the Corps would allocate to projects whose non-Federal sponsors have agreed to contribute more funding towards the cost of construction than is statutorily required.

This account includes \$244.9 million for work under the Comprehensive Everglades Restoration Plan (CERP), which represents approximately 11.2 percent of the total amount in this account and approximately 4.1 percent of the total amount in the civil works program. Funding CERP at this level would not have a significant impact on the overall civil works program in 2021. Construction account funding for CERP in future years will depend on the availability of funds, so the impact of such future funding on the overall civil works program cannot be determined at this time. Funding for the Department of the Interior (DOI) includes an additional \$7.8 million for work under CERP. This account also includes approximately \$5.1 million for other ecosystem restoration work by the Corps in South Florida, including the Everglades ecosystem. Funding for DOI includes \$45.8 million for such non-CERP work. The Budget for the two agencies includes a total of \$303.5 million for ecosystem restoration work in South Florida,

of which \$252.7 million is for CERP and \$50.9 million is for non-CERP work. (P.L. 106-541 section 601)

This appropriation also funds the Corps continuing authorities programs, which involve the planning, design, and construction of smaller projects that do not require specific authorizing legislation.

Object Classification (in millions of dollars)

Identification code 096-3122-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	301	308	311
11.3 Other than full-time permanent	5	6	6
11.5 Other personnel compensation	12	11	11
11.8 Special personal services payments	23	23	23
11.9 Total personnel compensation	341	348	351
12.1 Civilian personnel benefits	54	52	53
21.0 Travel and transportation of persons	6	5	5
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	6	5	5
25.2 Other services from non-Federal sources	162	110	111
25.3 Purchase of goods and services from Government accounts	77	63	64
25.4 Operation and maintenance of facilities	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	1,970	1,614	1,630
41.0 Grants, subsidies, and contributions	5		
99.0 Direct obligations	2,626	2,201	2,223
99.0 Reimbursable obligations	3,146	3,146	3,146
99.9 Total new obligations, unexpired accounts	5,772	5,347	5,369

Employment Summary

Identification code 096-3122-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,716	3,002	3,002
2001 Reimbursable civilian full-time equivalent employment	856	940	938

OPERATION AND MAINTENANCE

For expenses necessary for the operation, maintenance, and care of existing [river and harbor,] commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration[, and related] projects, [authorized by law,] and related efforts; providing security for infrastructure owned or operated by the Corps, including administrative buildings and laboratories; maintaining harbor channels provided by a State, municipality, or other public agency that serve essential navigation needs of general commerce, where authorized by law; surveying and charting northern and northwestern lakes and connecting waters; clearing and straightening channels; and removing obstructions to navigation, [\$3,790,000,000] \$1,996,499,000, to remain available until expended[, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels, and for inland harbors shall be derived from the Harbor Maintenance Trust Fund]; of which such sums as become available from the special account for the Corps of Engineers established by the Land and Water Conservation Fund Act of 1965 shall be derived from that account for resource protection, research, interpretation, and maintenance activities related to resource protection in the areas managed by the Army Corps of Engineers at which outdoor recreation is available; and of which such sums as become available from fees collected under section 217 of Public Law 104-303 shall be used to cover the cost of operation and maintenance of the dredged material disposal facilities for which such fees have been collected[: Provided, That 1 percent of the total amount of funds provided for each of the programs, projects, or activities funded under this heading shall not be allocated to a field operating activity prior to the beginning of the fourth quarter of the fiscal year and shall be available for use by the Chief of Engineers to fund such emergency activities as the Chief of Engineers determines to be necessary and appropriate, and that the Chief of Engineers shall allocate during the fourth quarter any remaining funds which have not been used for emergency activities proportionally in accordance with the amounts provided for the programs, projects, or activities]. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 096-3123-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commercial Navigation	2,115	1,911	1,977
0002 Flood Risk Management	254	229	237
0003 Aquatic Ecosystem Restoration	22	20	21
0004 Hydropower	7	6	6
0005 Multipurpose and Other Programs	1,694	1,530	1,583
0006 Emergency Management	5	5	5
0799 Total direct obligations	4,097	3,701	3,829
0801 Department of Homeland Security	7	7	7
0802 Department of Veteran Affairs	3	3	3
0805 Department of Energy	7	7	7
0806 Other Federal Agencies	11	11	11
0807 Non-Federal Agencies	56	56	56
0808 Intra-Corps	184	184	184
0899 Total reimbursable obligations	268	268	268
0900 Total new obligations, unexpired accounts	4,365	3,969	4,097
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,470	2,052	2,168
1020 Adjustment of unobligated bal brought forward, Oct 1	-61		
1021 Recoveries of prior year unpaid obligations	70		
1050 Unobligated balance (total)	1,479	2,052	2,168
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,720	2,803	1,942
1121 Appropriations transferred from other acct [096-5383]	59	54	54
1160 Appropriation, discretionary (total)	2,779	2,857	1,996
Spending authority from offsetting collections, discretionary:			
1700 Collected (Harbor Maintenance Trust Fund)	993	933	
1700 Collected (Operation and Maintenance)	262	295	295
1700 Collected (Harbor Maintenance Trust Fund FY18 Prior Year)	409		
1700 Collected (Harbor Maintenance Trust Fund FY18 CR Supplemental)	350		
1700 Collected (Harbor Maintenance Trust Fund Pre-FY18 Prior Year)	116		
1701 Change in uncollected payments, Federal sources	29		
1750 Spending auth from offsetting collections, disc (total)	2,159	1,228	295
1900 Budget authority (total)	4,938	4,085	2,291
1930 Total budgetary resources available	6,417	6,137	4,459
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2,052	2,168	362
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,845	2,228	2,851
3010 New obligations, unexpired accounts	4,365	3,969	4,097
3020 Outlays (gross)	-3,912	-3,346	-2,145
3040 Recoveries of prior year unpaid obligations, unexpired	-70		
3050 Unpaid obligations, end of year	2,228	2,851	4,803
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-134	-163	-163
3070 Change in uncollected pymts, Fed sources, unexpired	-29		
3090 Uncollected pymts, Fed sources, end of year	-163	-163	-163
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,711	2,065	2,688
3200 Obligated balance, end of year	2,065	2,688	4,640
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,938	4,085	2,291
Outlays, gross:			
4010 Outlays from new discretionary authority	1,006	2,207	1,263
4011 Outlays from discretionary balances	2,906	1,139	882
4020 Outlays, gross (total)	3,912	3,346	2,145
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources:	-2,070	-1,228	-295
4033 Non-Federal sources:	-60		
4040 Offsets against gross budget authority and outlays (total)	-2,130	-1,228	-295
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-29		
4060 Additional offsets against budget authority only (total)	-29		

4070 Budget authority, net (discretionary)	2,779	2,857	1,996
4080 Outlays, net (discretionary)	1,782	2,118	1,850
4180 Budget authority, net (total)	2,779	2,857	1,996
4190 Outlays, net (total)	1,782	2,118	1,850

This appropriation funds inspection, operation, maintenance, and related activities for water resources projects operated and maintained by the Corps. These projects include navigation channels, navigation locks and dams, structures to reduce the risk of flood and storm damage (e.g., levees), and multi-purpose projects, as authorized in River and Harbor, Flood Control, and Water Resources Development Acts and other laws. Key infrastructure that is of central importance to the Nation and the continued safety of the public is given the highest priority for funding in this account.

This appropriation funds all of the costs associated with protecting Corps facilities from potential security threats. It also funds the national emergency preparedness program under Executive Order 11490.

Object Classification (in millions of dollars)

Identification code 096-3123-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	997	1,021	1,032
11.3 Other than full-time permanent	9	9	9
11.5 Other personnel compensation	60	53	53
11.8 Special personal services payments	10	10	10
11.9 Total personnel compensation	1,076	1,093	1,104
12.1 Civilian personnel benefits	204	200	202
21.0 Travel and transportation of persons	34	29	31
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	37	31	33
25.1 Advisory and assistance services	15	13	13
25.2 Other services from non-Federal sources	344	294	254
25.3 Other goods and services from Federal sources	561	478	522
25.4 Operation and maintenance of facilities	368	315	364
25.7 Operation and maintenance of equipment	6	5	5
26.0 Supplies and materials	63	54	56
31.0 Equipment	36	31	32
32.0 Land and structures	1,350	1,155	1,210
99.0 Direct obligations	4,097	3,701	3,829
99.0 Reimbursable obligations	268	268	268
99.9 Total new obligations, unexpired accounts	4,365	3,969	4,097

Employment Summary

Identification code 096-3123-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	13,300	13,175	13,175
2001 Reimbursable civilian full-time equivalent employment	176	193	193

SPECIAL RECREATION USER FEE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5383-0-2-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	60	39	32
Receipts:			
Current law:			
1130 Special Recreation Use Fees, Corps of Engineers	37	45	45
1130 User Fees, Fund for Non-Federal Use of Disposal Facilities	1	2	2
1199 Total current law receipts	38	47	47
1999 Total receipts	38	47	47
2000 Total: Balances and receipts	98	86	79
Appropriations:			
Current law:			
2101 Special Recreation User Fee	-59	-54	-54
5099 Balance, end of year	39	32	25

SPECIAL RECREATION USER FEE—Continued

Program and Financing (in millions of dollars)

Identification code 096-5383-0-2-301	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101	59	54	54
1120	-59	-54	-54
4180			
4190			

Pursuant to the requirements of 16 U.S.C. 460d-3, the Corps deposits certain recreation use fees collected at Corps projects into this account. Types of fees include daily user fees, camping fees, recreational fees, annual pass fees, and other permit type fees. Pursuant to appropriations acts, funding in the Operation and Maintenance appropriation is derived in part from this account for resource protection, research, interpretation, and maintenance activities related to resource protection at Corps projects where outdoor recreation is available.

MISSISSIPPI RIVER AND TRIBUTARIES

For expenses necessary for flood damage reduction projects and related efforts in the Mississippi River alluvial valley below Cape Girardeau, Missouri, as authorized by law, **[\$375,000,000]** \$209,863,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for inland harbors shall be derived from the Harbor Maintenance Trust Fund. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 096-3112-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	48	42	46
0002	490	430	465
0005	120	106	114
0799	658	578	625
0808	88	88	88
0900	746	666	713
Budgetary resources:			
Unobligated balance:			
1000	893	1,177	949
1021	13		
1050	906	1,177	949
Budget authority:			
Appropriations, discretionary:			
1100	937	370	210
Spending authority from offsetting collections, discretionary:			
1700	6	5	
1700	112	63	68
1701	-38		
1750	80	68	68
1900	1,017	438	278
1930	1,923	1,615	1,227
Memorandum (non-add) entries:			
1941	1,177	949	514

Change in obligated balance:

Unpaid obligations:			
3000	444	545	484
3010	746	666	713
3020	-632	-727	-622
3040	-13		
3050	545	484	575
Uncollected payments:			
3060	-74	-36	-36
3070	38		
3090	-36	-36	-36
Memorandum (non-add) entries:			
3100	370	509	448

3200	Obligated balance, end of year	509	448	539
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,017	438	278
Outlays, gross:				
4010	Outlays from new discretionary authority	8	193	120
4011	Outlays from discretionary balances	624	534	502
4020	Outlays, gross (total)	632	727	622
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-116	-68	-68
4033	Non-Federal sources	-2		
4040	Offsets against gross budget authority and outlays (total)	-118	-68	-68
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	38		
4060	Additional offsets against budget authority only (total)	38		
4070	Budget authority, net (discretionary)	937	370	210
4080	Outlays, net (discretionary)	514	659	554
4180	Budget authority, net (total)	937	370	210
4190	Outlays, net (total)	514	659	554

This appropriation funds planning, design, construction, and operation and maintenance activities associated with projects to reduce the risk of flood damage in the lower Mississippi River alluvial valley below Cape Girardeau, Missouri.

Object Classification (in millions of dollars)

Identification code 096-3112-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	84	90	91
11.3	1	1	1
11.5	10	5	5
11.8	1	1	1
11.9	96	97	98
12.1	18	18	18
21.0	4	3	3
23.3	6	5	6
25.2	22	19	21
25.3	218	186	204
25.4	24	20	22
26.0	8	7	8
32.0	262	223	245
99.0	658	578	625
99.0	88	88	88
99.9	746	666	713

Employment Summary

Identification code 096-3112-0-1-301	2019 actual	2020 est.	2021 est.
1001	1,314	1,300	1,300
2001	3	4	4

FLOOD CONTROL AND COASTAL EMERGENCIES

For expenses necessary to prepare for flood, hurricane, and other natural disasters and support emergency operations, repairs, and other activities in response to such disasters as authorized by law, **[\$35,000,000]** \$77,000,000, to remain available until expended, of which \$50,000,000 shall be used only for emergency response work under Public Law 84-99, as amended (33 U.S.C. 701n), arising after the date of enactment of this Act, including temporary measures to reduce ongoing flooding of communities resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 096-3125-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0006	641	616	659

0801	Department of Homeland Security	504	504	504
0807	Non-Federal Agencies	8	8	8
0808	Intra-Corps	122	122	122
0899	Total reimbursable obligations	634	634	634
0900	Total new obligations, unexpired accounts	1,275	1,250	1,293
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,382	2,584	2,195
1021	Recoveries of prior year unpaid obligations	363		
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	2,746	2,584	2,195
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,035	35	77
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,725	826	826
1701	Change in uncollected payments, Federal sources	-1,647		
1750	Spending auth from offsetting collections, disc (total)	78	826	826
1900	Budget authority (total)	1,113	861	903
1930	Total budgetary resources available	3,859	3,445	3,098
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,584	2,195	1,805
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,456	1,063	897
3010	New obligations, unexpired accounts	1,275	1,250	1,293
3020	Outlays (gross)	-2,305	-1,416	-1,566
3040	Recoveries of prior year unpaid obligations, unexpired	-363		
3050	Unpaid obligations, end of year	1,063	897	624
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2,520	-873	-873
3070	Change in uncollected pymts, Fed sources, unexpired	1,647		
3090	Uncollected pymts, Fed sources, end of year	-873	-873	-873
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-64	190	24
3200	Obligated balance, end of year	190	24	-249
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,113	861	903
Outlays, gross:				
4010	Outlays from new discretionary authority		338	353
4011	Outlays from discretionary balances	2,305	1,078	1,213
4020	Outlays, gross (total)	2,305	1,416	1,566
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1,721	-826	-826
4033	Non-Federal sources	-5		
4040	Offsets against gross budget authority and outlays (total)	-1,726	-826	-826
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	1,647		
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4060	Additional offsets against budget authority only (total)	1,648		
4070	Budget authority, net (discretionary)	1,035	35	77
4080	Outlays, net (discretionary)	579	590	740
4180	Budget authority, net (total)	1,035	35	77
4190	Outlays, net (total)	579	590	740

This appropriation funds the planning, training, exercises, and other preparedness measures that help the Corps respond to floods, hurricanes, and other natural disasters, and support emergency operations in response to such natural disasters, including advance measures, flood fighting, providing potable water, and the repair of certain damaged flood and storm damage reduction projects. The Budget provides \$27 million for preparedness and training activities and \$50 million that would be available only for emergency response work under Public Law 84-99, as amended (33 U.S.C. 701n), arising after the date of enactment of an appropriations act for the Corps covering all of fiscal year 2021, including temporary measures to reduce ongoing flooding of communities resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.).

Object Classification (in millions of dollars)

Identification code 096-3125-0-1-301	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	73	78	79
11.3	Other than full-time permanent	2	1	1
11.5	Other personnel compensation	9	6	6
11.9	Total personnel compensation	84	85	86
12.1	Civilian personnel benefits	15	15	15
21.0	Travel and transportation of persons	4	3	4
25.1	Advisory and assistance services	10	10	11
25.3	Other goods and services from Federal sources	16	16	17
25.4	Operation and maintenance of facilities	6	6	6
26.0	Supplies and materials	3	3	3
31.0	Equipment	1	1	1
32.0	Land and structures	501	477	516
99.0	Direct obligations	640	616	659
99.0	Reimbursable obligations	635	634	634
99.9	Total new obligations, unexpired accounts	1,275	1,250	1,293

Employment Summary

Identification code 096-3125-0-1-301	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	722	800	800
2001	Reimbursable civilian full-time equivalent employment	370	408	407

INVESTIGATIONS

For expenses necessary [where authorized by law] for the collection and study of basic information pertaining to [river and harbor, flood and storm damage reduction, shore protection, aquatic ecosystem restoration, and related needs] the development, management, restoration, and protection of water resources; for [surveys and detailed] studies, design work, and plans and specifications of proposed [river and harbor] commercial navigation, flood and storm damage reduction [shore protection], and aquatic ecosystem restoration projects, and related efforts prior to construction; for restudy of authorized projects and related efforts; and for miscellaneous investigations, [and, when authorized by law, surveys and detailed studies, and plans and specifications of projects prior to construction, \$151,000,000] \$102,635,000, to remain available until expended[: Provided, That the Secretary shall initiate six new study starts during fiscal year 2020: Provided further, That the Secretary shall not deviate from the new starts proposed in the work plan, once the plan has been submitted to the Committees on Appropriations of both Houses of Congress]. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 096-3121-0-1-301	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Commercial Navigation	28	24	25
0002	Flood Risk Management	90	77	79
0003	Aquatic Ecosystem Restoration	13	11	11
0005	Multipurpose and Other Programs	16	14	14
0799	Total direct obligations	147	126	129
0801	Department of Homeland Security	4	4	4
0804	National Aeronautics and Space Administration	1	1	1
0806	Other Federal Agencies	14	14	14
0807	Non-Federal Agencies	7	7	7
0808	Intra-Corps	17	17	17
0899	Total reimbursable obligations	43	43	43
0900	Total new obligations, unexpired accounts	190	169	172
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	274	286	306
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	277	286	306
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	160	151	103

INVESTIGATIONS—Continued
Program and Financing—Continued

Identification code 096-3121-0-1-301	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, discretionary:			
1700 Collected	31	38	38
1701 Change in uncollected payments, Federal sources	8		
1750 Spending auth from offsetting collections, disc (total)	39	38	38
1900 Budget authority (total)	199	189	141
1930 Total budgetary resources available	476	475	447
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	286	306	275
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	37	52	11
3010 New obligations, unexpired accounts	190	169	172
3020 Outlays (gross)	-172	-210	-182
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year	52	11	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-26	-34	-34
3070 Change in uncollected pymts, Fed sources, unexpired	-8		
3090 Uncollected pymts, Fed sources, end of year	-34	-34	-34
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	18	-23
3200 Obligated balance, end of year	18	-23	-33
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	199	189	141
Outlays, gross:			
4010 Outlays from new discretionary authority		86	63
4011 Outlays from discretionary balances	172	124	119
4020 Outlays, gross (total)	172	210	182
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-24	-38	-38
4033 Non-Federal sources	-7		
4040 Offsets against gross budget authority and outlays (total)	-31	-38	-38
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-8		
4060 Additional offsets against budget authority only (total)	-8		
4070 Budget authority, net (discretionary)	160	151	103
4080 Outlays, net (discretionary)	141	172	144
4180 Budget authority, net (total)	160	151	103
4190 Outlays, net (total)	141	172	144

This appropriation funds studies to determine the engineering feasibility, economic and environmental return to the Nation, and public safety impacts of potential solutions to water and related land resources problems; pre-construction engineering and design; and related data collection, interagency coordination, and research.

Object Classification (in millions of dollars)

Identification code 096-3121-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	87	89	90
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	2	1	1
11.8 Special personal services payments	4	4	4
11.9 Total personnel compensation	96	97	98
12.1 Civilian personnel benefits	16	15	15
21.0 Travel and transportation of persons	2	1	1
25.1 Advisory and assistance services	2	1	1
25.2 Other services from non-Federal sources	4	2	2
25.3 Purchase of goods and services from Government accounts	10	2	3
25.4 Operation and maintenance of facilities	4	2	2
32.0 Land and structures	13	6	7
99.0 Direct obligations	147	126	129
99.0 Reimbursable obligations	43	43	43
99.9 Total new obligations, unexpired accounts	190	169	172

Employment Summary

Identification code 096-3121-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	744	725	725
2001 Reimbursable civilian full-time equivalent employment	68	75	75

REGULATORY PROGRAM

For expenses necessary for administration of laws pertaining to regulation of navigable waters and wetlands, **[\$210,000,000]** \$200,000,000, to remain available until September 30, **[2021]** 2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 096-3126-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0008 Regulatory	203	202	197
0192 Total direct obligations	203	202	197
0806 Other Federal Agencies	1	1	1
0807 Non-Federal Agencies	12	12	12
0808 Intra-Corps	1	1	1
0899 Total reimbursable obligations	14	14	14
0900 Total new obligations, unexpired accounts	217	216	211
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	15	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	200	210	200
Spending authority from offsetting collections, discretionary:			
1700 Collected	16	1	1
1900 Budget authority (total)	216	211	201
1930 Total budgetary resources available	235	226	211
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	15	10	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	6	20
3010 New obligations, unexpired accounts	217	216	211
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-220	-202	-195
3050 Unpaid obligations, end of year	6	20	36
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	19
3200 Obligated balance, end of year	5	19	35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	216	211	201
Outlays, gross:			
4010 Outlays from new discretionary authority	196	193	184
4011 Outlays from discretionary balances	24	9	11
4020 Outlays, gross (total)	220	202	195
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-1	-1
4033 Non-Federal sources	-14		
4040 Offsets against gross budget authority and outlays (total)	-16	-1	-1
4070 Budget authority, net (discretionary)	200	210	200
4080 Outlays, net (discretionary)	204	201	194
4180 Budget authority, net (total)	200	210	200
4190 Outlays, net (total)	204	201	194

This appropriation provides funds to administer the laws and regulations pertaining to activities affecting U.S. waters, including wetlands, in accordance with the Rivers and Harbors Appropriation Act of 1899, the Clean

Water Act of 1972, and the Marine Protection, Research and Sanctuaries Act of 1972.

The requested funds are needed to review and process permit applications, ensure compliance on permitted sites, and protect important aquatic resources.

Object Classification (in millions of dollars)

Identification code 096-3126-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	158	160	160
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	161	163	163
12.1 Civilian personnel benefits	32	31	31
21.0 Travel and transportation of persons	3	2	1
25.2 Other services from non-Federal sources	1	1	1
25.3 Purchase goods & svcs. fm Government accts.	6	5	1
99.0 Direct obligations	203	202	197
99.0 Reimbursable obligations	14	14	14
99.9 Total new obligations, unexpired accounts	217	216	211

Employment Summary

Identification code 096-3126-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,414	1,400	1,400
2001 Reimbursable civilian full-time equivalent employment	27	75	75

FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM

For expenses necessary to clean up contamination from sites in the United States resulting from work performed as part of the Nation's early atomic energy program, \$200,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 096-3130-0-1-053	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0007 Formerly Utilized Site Remedial Action Program	152	135
0805 Department of Energy	141
0808 Intra-Corps	13	13
0899 Total reimbursable obligations	13	13	141
0900 Total new obligations, unexpired accounts	165	148	141

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	67
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	7	6	67
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	150	200
Spending authority from offsetting collections, discretionary:			
1700 Collected	17	9	141
1701 Change in uncollected payments, Federal sources	-3
1750 Spending auth from offsetting collections, disc (total)	14	9	141
1900 Budget authority (total)	164	209	141
1930 Total budgetary resources available	171	215	208
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	67	67

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	137	148	148
3010 New obligations, unexpired accounts	165	148	141
3020 Outlays (gross)	-152	-148	-277
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3050 Unpaid obligations, end of year	148	148	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-12	-9	-9

3070 Change in uncollected pymts, Fed sources, unexpired	3
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	125	139	139
3200 Obligated balance, end of year	139	139	3

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	164	209	141
Outlays, gross:			
4010 Outlays from new discretionary authority	9	94	127
4011 Outlays from discretionary balances	143	54	150
4020 Outlays, gross (total)	152	148	277
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-17	-9	-141
4040 Offsets against gross budget authority and outlays (total)	-17	-9	-141
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	3
4070 Budget authority, net (discretionary)	150	200
4080 Outlays, net (discretionary)	135	139	136
4180 Budget authority, net (total)	150	200
4190 Outlays, net (total)	135	139	136

The Budget funds the clean-up of certain low-level radioactive materials and mixed wastes, located mostly at sites contaminated as a result of the Nation's early efforts to develop atomic weapons, within the Department of Energy's Other Defense Activities account. These sites were previously funded within the Formerly Utilized Sites Remedial Action Program (FUSRAP) at the Corps of Engineers. The Budget proposes to return responsibility for the management of FUSRAP to the Department of Energy. The Corps of Engineers will continue to conduct cleanup of FUSRAP sites on a reimbursable basis with the Department of Energy.

Object Classification (in millions of dollars)

Identification code 096-3130-0-1-053	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	15	15
11.9 Total personnel compensation	15	15
12.1 Civilian personnel benefits	3	3
25.1 Advisory and assistance services	2	2
25.2 Other services from non-Federal sources	68	59
25.3 Other goods and services from Federal sources	22	19
32.0 Land and structures	42	37
99.0 Direct obligations	152	135
99.0 Reimbursable obligations	13	13	141
99.9 Total new obligations, unexpired accounts	165	148	141

Employment Summary

Identification code 096-3130-0-1-053	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	100	100
2001 Reimbursable civilian full-time equivalent employment	103

EXPENSES

For expenses necessary for the supervision and general administration of the civil works program in the headquarters of the Corps of Engineers and the offices of the Division Engineers; and for costs of management and operation of the Humphreys Engineer Center Support Activity, the Institute for Water Resources, the United States Army Engineer Research and Development Center, and the United States Army Corps of Engineers Finance Center allocable to the civil works program, \$203,000,000, \$187,000,000, to remain available until September 30, 2021, of which not to exceed \$5,000 may be used for official reception and representation purposes and only during the current fiscal year: Provided, That no part of any other appropriation provided in this title shall be available to fund the civil works activities of the Office of the Chief of Engineers or the civil works executive direction and management activities of the division offices: Provided further, That any Flood Control and Coastal Emergencies appropriation may be used to fund the supervision and general administration of emergency operations, repairs, and other activities in

EXPENSES—Continued

response to any flood, hurricane, or other natural disaster. (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 096-3124-0-1-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 Executive Direction and Management	171	161	162
0010 Support Activities	30	28	29
0799 Total direct obligations	201	189	191
0808 Intra-Corps	4	4	4
0899 Total reimbursable obligations	4	4	4
0900 Total new obligations, unexpired accounts	205	193	195
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	22	36
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	30	22	36
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	193	203	187
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	4	4
1900 Budget authority (total)	197	207	191
1930 Total budgetary resources available	227	229	227
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22	36	32
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	15	12
3010 New obligations, unexpired accounts	205	193	195
3020 Outlays (gross)	-201	-196	-197
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	15	12	10
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	12	9
3200 Obligated balance, end of year	12	9	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	197	207	191
Outlays, gross:			
4010 Outlays from new discretionary authority	182	189	174
4011 Outlays from discretionary balances	19	7	23
4020 Outlays, gross (total)	201	196	197
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-4	-4
4180 Budget authority, net (total)	193	203	187
4190 Outlays, net (total)	197	192	193

This appropriation funds the command and control, policy and guidance, program management, national and regional coordination, and quality assurance for the civil works program. These activities are carried out by Corps headquarters and eight division offices:

Corps Headquarters.—This office provides executive direction and management for the civil works program.

Division Offices.—Eight of the nine Corps division offices provide quality assurance for and supervise work of the 38 district offices that have civil works responsibilities. This appropriation also funds certain costs allocable to the civil works program of these Corps-wide support facilities:

Institute for Water Resources.—This institute performs studies and analyses on a wide range of water resources issues and develops project planning techniques.

Engineer Research and Development Center.—This center operates seven labs and conducts research and development for the Corps and other agencies.

Finance Center.—This center supports all Corps finance and accounting activities.

Humphreys Engineer Center.—This field operating activity of the Corps provides day-to-day operational support services to the Corps.

Object Classification (in millions of dollars)

Identification code 096-3124-0-1-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	111	114	115
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	7	7	7
11.9 Total personnel compensation	120	123	124
12.1 Civilian personnel benefits	34	33	33
21.0 Travel and transportation of persons	7	5	5
23.1 Rental payments to GSA	3	2	2
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	2	1	1
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	33	23	24
99.0 Direct obligations	201	189	191
99.0 Reimbursable obligations	4	4	4
99.9 Total new obligations, unexpired accounts	205	193	195

Employment Summary

Identification code 096-3124-0-1-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	893	895	895
2001 Reimbursable civilian full-time equivalent employment	2	4	4

WASHINGTON AQUEDUCT

Program and Financing (in millions of dollars)

Identification code 096-3128-0-1-301	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected		1	1
1825 Spending authority from offsetting collections applied to repay debt		-1	-1
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources		-1	-1
4180 Budget authority, net (total)		-1	-1
4190 Outlays, net (total)		-1	-1

The Washington Aqueduct supplies drinking water to customers in four jurisdictions: the District of Columbia; Arlington County, Virginia; the City of Falls Church, Virginia; and part of Fairfax County, Virginia. Although the Aqueduct is owned and operated by the Corps (40 U.S.C. 9501 et seq.), the customers finance the operation, maintenance, and certain capital improvements of Aqueduct facilities. The Aqueduct's customers also pay in advance the full cost of those capital improvements.

WASHINGTON AQUEDUCT

(Legislative proposal, subject to PAYGO)

This proposal would authorize the Federal Government to sell the Washington Aqueduct, which is the wholesale water supply system for the District of Columbia; Arlington County, Virginia; the City of Falls Church, Virginia; and part of Fairfax County, Virginia.

PERMANENT APPROPRIATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-9921-0-2-999	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	30	40	40
Receipts:			
Current law:			
1110 Licenses under Federal Power Act, Improvements of Navigable Waters, Maintenance and Operation of Dams, Etc.	18	9	9
1130 Receipts from Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes	15	13	13
1199 Total current law receipts	33	22	22
1999 Total receipts	33	22	22
2000 Total: Balances and receipts	63	62	62
Appropriations:			
Current law:			
2101 Permanent Appropriations	-23	-21	-21
2103 Permanent Appropriations	-1	-1	-1
2132 Permanent Appropriations	1		
2199 Total current law appropriations	-23	-22	-22
2999 Total appropriations	-23	-22	-22
5099 Balance, end of year	40	40	40

Program and Financing (in millions of dollars)

Identification code 096-9921-0-2-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Maintenance and operation of dams and other improvements of navigable waters	28	20	20
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	24	26
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	23	21	21
1203 Appropriation (previously unavailable)(special or trust)	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1		
1260 Appropriations, mandatory (total)	23	22	22
1930 Total budgetary resources available	52	46	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	24	26	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	13	10
3010 New obligations, unexpired accounts	28	20	20
3020 Outlays (gross)	-16	-23	-24
3050 Unpaid obligations, end of year	13	10	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	13	10
3200 Obligated balance, end of year	13	10	6
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	23	22	22
Outlays, gross:			
4100 Outlays from new mandatory authority	12	17	17
4101 Outlays from mandatory balances	4	6	7
4110 Outlays, gross (total)	16	23	24
4180 Budget authority, net (total)	23	22	22
4190 Outlays, net (total)	16	23	24

This account covers three permanent appropriations:
Hydraulic mining debris reservoir.—The Corps uses fees collected from Pacific Gas and Electric Company to help maintain the Englebright Dam, Yuba River, California, mine debris restraining works and associated hydropower generation facilities. (33 U.S.C. 683)
Maintenance and operation of dams and other improvements of navigable waters.—The Corps uses its share of certain fees levied by the Federal Energy Regulatory Commission (on the private use of Federal property, including facilities and land; private construction and operation of water

management and appurtenant facilities; and private benefit from headwater improvement by others) for construction, operation, and maintenance of Federal water management facilities. (16 U.S.C. 810(a))
Payments to States.—In lieu of taxes, the Corps pays to States three-fourths of the rent received from the leasing of lands acquired for flood control, navigation, and allied purposes, including the development of hydroelectric power. (33 U.S.C. 701c-3)

Object Classification (in millions of dollars)

Identification code 096-9921-0-2-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.3 Other goods and services from Federal sources	12	8	8
32.0 Land and structures	14	10	10
99.9 Total new obligations, unexpired accounts	28	20	20

Employment Summary

Identification code 096-9921-0-2-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	25	25

REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 096-4902-0-4-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0803 Intra-Corps	8,922	8,603	8,603
0809 Reimbursable program activities, subtotal	8,922	8,603	8,603
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	876	941	911
1021 Recoveries of prior year unpaid obligations	87		
1033 Recoveries of prior year paid obligations	5		
1050 Unobligated balance (total)	968	941	911
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	8,897	8,573	8,573
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-2		
1850 Spending auth from offsetting collections, mand (total)	8,895	8,573	8,573
1900 Budget authority (total)	8,895	8,573	8,573
1930 Total budgetary resources available	9,863	9,514	9,484
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	941	911	881
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,038	1,064	1,125
3010 New obligations, unexpired accounts	8,922	8,603	8,603
3020 Outlays (gross)	-8,809	-8,542	-8,608
3040 Recoveries of prior year unpaid obligations, unexpired	-87		
3050 Unpaid obligations, end of year	1,064	1,125	1,120
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-83	-83	-83
3090 Uncollected pymts, Fed sources, end of year	-83	-83	-83
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	955	981	1,042
3200 Obligated balance, end of year	981	1,042	1,037
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	8,895	8,573	8,573
Outlays, gross:			
4100 Outlays from new mandatory authority	6,895	6,858	6,858
4101 Outlays from mandatory balances	1,914	1,684	1,750
4110 Outlays, gross (total)	8,809	8,542	8,608
Offsets against gross budget authority and outlays:			
4120 Offsetting collections (collected) from: Federal sources	-8,878	-8,550	-8,550

REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 096-4902-0-4-301	2019 actual	2020 est.	2021 est.
4123 Non-Federal sources	-24	-23	-23
4130 Offsets against gross budget authority and outlays (total)	-8,902	-8,573	-8,573
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	5		
4160 Budget authority, net (mandatory)	-2		
4170 Outlays, net (mandatory)	-93	-31	35
4180 Budget authority, net (total)	-2		
4190 Outlays, net (total)	-93	-31	35
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	10	12	12
5092 Unexpired unavailable balance, EOY: Offsetting collections	12	12	12

This revolving fund provides for the acquisition, operation, and maintenance of plant and equipment used by the civil works program and for temporary financing of services chargeable to the civil works program. The fund also initially finances Corps district office operating expenses, which the districts later reimburse with project-specific funds. In addition, payments are made into the fund when other agencies or entities use plant and equipment acquired by the fund.

Object Classification (in millions of dollars)

Identification code 096-4902-0-4-301	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
21.0 Travel and transportation of persons	106	102	102
22.0 Transportation of things	15	14	14
23.1 Rental payments to GSA	226	218	218
23.2 Rental payments to others	25	24	24
23.3 Communications, utilities, and miscellaneous charges	81	78	78
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	32	31	31
25.2 Other services from non-Federal sources	450	434	434
25.3 Other goods and services from Federal sources	7,582	7,311	7,311
25.4 Operation and maintenance of facilities	97	94	94
25.7 Operation and maintenance of equipment	63	61	61
26.0 Supplies and materials	109	105	105
31.0 Equipment	50	48	48
32.0 Land and structures	85	82	82
99.9 Total new obligations, unexpired accounts	8,922	8,603	8,603

INTERAGENCY AMERICA THE BEAUTIFUL PASS REVENUES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5570-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Fees, Interagency America the Beautiful Pass Revenues	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Interagency America the Beautiful Pass Revenues	-1	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 096-5570-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Interagency America the Beautiful Pass Revenues	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.4)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	3	3

1011 Unobligated balance transfer from other acct [014-5110]	1		
1050 Unobligated balance (total)	3	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4101 Outlays from mandatory balances	1		
4110 Outlays, gross (total)	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

Funds in this account are collected from the sale of interagency America the Beautiful National Parks and Federal Recreational Lands Passes as authorized in the Water Resources Reform and Development Act of 2014 (P.L. 113-121, section 1048). The Corps sells and distributes the passes to the public at over 200 Corps locations and deposits the funds into this account. The funds are expended as allowed by the Federal Lands Recreation Enhancement Act at the locations where they are collected.

SPECIAL USE PERMIT FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-5607-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Fees, Special Use Permit Fees	1	1	1
2000 Total: Balances and receipts	1	1	1
Appropriations:			
Current law:			
2101 Special Use Permit Fees	-1	-1	-1
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 096-5607-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Recreational Resources	1	1	1
0900 Total new obligations, unexpired accounts (object class 25.4)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1

4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1

Funds in this account are collected from the issuance of special use permits for activities, events, facility use, and other specialized recreation uses, as authorized in the Water Resources Reform and Development Act of 2014 (P.L. 113–121, section 1047(a)). These funds are expended on labor, vehicle costs, materials, supplies, utilities, and other costs associated with administering the special permits and carrying out related operation and maintenance activities at the site where the fees are collected.

Trust Funds

HARBOR MAINTENANCE TRUST FUND

For expenses necessary to perform work authorized by law to be financed from the Harbor Maintenance Trust Fund, and to be derived from such fund, \$1,015,000,000, to remain available until expended; of which \$47,100,000 shall be used to cover the Federal share of construction costs for dredged material disposal facilities; of which \$962,767,000 shall be used to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels and for inland harbors; and of which \$5,133,000 shall be used to cover the Federal share of eligible operation and maintenance costs for inland harbors on the lower Mississippi River.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096–8863–0–7–301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	9,332	9,307	10,108
0198 Reconciliation adjustment	-10		
0198 Discretionary trust fund budget authority adjustment	183		
0199 Balance, start of year	9,505	9,307	10,108
Receipts:			
Current law:			
1110 User Fees, Harbor Maintenance Trust Fund	1,555	1,627	1,694
1140 Earnings on Investments, Harbor Maintenance Trust Fund	214	199	215
1199 Total current law receipts	1,769	1,826	1,909
1999 Total receipts	1,769	1,826	1,909
2000 Total: Balances and receipts	11,274	11,133	12,017
Appropriations:			
Current law:			
2101 Operations and Maintenance	-36	-38	-31
2101 Operations and Support	-3	-3	-3
2101 Harbor Maintenance Trust Fund	-993	-933	
2101 Harbor Maintenance Trust Fund	-409		
2101 Harbor Maintenance Trust Fund	-116		
2101 Harbor Maintenance Trust Fund	-350		
2101 Harbor Maintenance Trust Fund	-29	-46	
2101 Harbor Maintenance Trust Fund	-25		
2101 Harbor Maintenance Trust Fund	-1		
2101 Harbor Maintenance Trust Fund	-6	-5	
2101 Harbor Maintenance Trust Fund			-963
2101 Harbor Maintenance Trust Fund			-47
2101 Harbor Maintenance Trust Fund			-5
2199 Total current law appropriations	-1,968	-1,025	-1,049
2999 Total appropriations	-1,968	-1,025	-1,049
5098 Rounding adjustment	1		
5099 Balance, end of year	9,307	10,108	10,968

Program and Financing (in millions of dollars)

Identification code 096–8863–0–7–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commercial navigation	1,929	984	1,015
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (O&M FY 2020)	993	933	
1101 Appropriation (O&M FY 2018 Prior Year)	409		
1101 Appropriation (O&M pre-FY 2018 Prior Year)	116		
1101 Appropriation (O&M FY 2018 CR Supplemental)	350		

1101	Appropriation (Construction FY 2020)	29	46	
1101	Appropriation (Construction FY 2018 Prior Year)	25		
1101	Appropriation (Construction pre-FY 2018 Prior Year)	1		
1101	Appropriation (MR&T FY 2020)	6	5	
1101	Appropriation (O&M FY 2021)			963
1101	Appropriation (Construction FY 2021)			47
1101	Appropriation (MR&T FY 2021)			5
1160	Appropriation, discretionary (total)	1,929	984	1,015
1930	Total budgetary resources available	1,929	984	1,015

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			276
3010	New obligations, unexpired accounts	1,929	984	1,015
3020	Outlays (gross)	-1,929	-708	-780
3050	Unpaid obligations, end of year		276	511
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			276
3200	Obligated balance, end of year		276	511

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	1,929	984	1,015
Outlays, gross:				
4010	Outlays from new discretionary authority	1,929	708	731
4011	Outlays from discretionary balances			49
4020	Outlays, gross (total)	1,929	708	780
4180	Budget authority, net (total)	1,929	984	1,015
4190	Outlays, net (total)	1,929	708	780

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	9,144	9,282	10,353
5001	Total investments, EOY: Federal securities: Par value	9,282	10,353	11,452

The Harbor Maintenance Trust Fund is authorized under the Harbor Maintenance Revenue Act of 1986 (P.L. 99–662, Title XIV), as amended. Under current law, revenue is derived from a 0.125 percent ad valorem tax imposed upon commercial users of specified U.S. ports, Saint Lawrence Seaway tolls, and investment interest. The Budget proposes to execute these appropriations within the Harbor Maintenance Trust Fund rather than to transfer and execute them in the Construction, Operation and Maintenance, and Mississippi River and Tributaries accounts.

The Harbor Maintenance Revenue Act authorizes expenditures from this fund to finance up to 100 percent of eligible Corps harbor operation and maintenance costs, including the operation and maintenance of Great Lakes navigation projects. The fund fully finances eligible operation and maintenance costs of the Saint Lawrence Seaway Development Corporation. The Water Resources Development Act of 1996 (P.L. 104–303, section 201) authorizes the fund to pay the Federal share of the costs for the construction of dredged material disposal facilities that are necessary for the operation and maintenance of coastal or inland harbors, the dredging and disposal of contaminated sediments that are in or affect the operation and maintenance of Federal navigation channels, the mitigation of impacts resulting from Federal navigation operation and maintenance activities, and the operation and maintenance of dredged material disposal facilities.

The North American Free Trade Agreement Implementation Act (26 U.S.C. 9505(c)(3)) authorizes the fund to pay all expenses of administration incurred by the Department of the Treasury, the Corps, and the Department of Commerce related to the administration of the harbor maintenance tax (under 26 U.S.C. 4461 et seq.), but not in excess of \$5 million for any fiscal year.

In 1998, the U.S. Supreme Court excluded all U.S. exports from the harbor maintenance tax. The Court found that the tax violated Article I, section 9, clause 5 of the constitution because the value of the cargo, which is the basis for calculating this tax, does not fairly match the use of port services and facilities by exporters.

The Budget provides \$50 million in the Harbor Maintenance Trust Fund only for eligible harbor maintenance emergency response work under Public Law 84–99, as amended (33 U.S.C. 701n), arising after the date of enactment of an appropriations act for the Corps covering all of fiscal year 2021, resulting from major disasters declared pursuant to the Robert T.

HARBOR MAINTENANCE TRUST FUND—Continued

Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). If the Corps has not been able to obligate this emergency response funding by the end of the fiscal year, it may use these funds in the following fiscal year for other eligible harbor maintenance work.

The proposed appropriations language for eligible operation and maintenance costs for inland harbors on the lower Mississippi River is intended to only apply to: Helena Harbor, Phillips County, AR; Baton Rouge Harbor, Devil Swamp, LA; Greenville Harbor, MS; Vicksburg Harbor, MS; and Memphis Harbor, McKellar Lake, Memphis, TN.

Object Classification (in millions of dollars)

Identification code 096-8863-0-7-301	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons			13
22.0 Transportation of things			3
23.3 Communications, utilities, and miscellaneous charges			13
25.1 Advisory and assistance services			13
25.2 Other services from non-Federal sources			130
25.3 Other goods and services from Federal sources			271
25.4 Operation and maintenance of facilities			95
25.7 Operation and maintenance of equipment			3
26.0 Supplies and materials			25
31.0 Equipment			7
32.0 Land and structures			442
94.0 Financial transfers (Operation and Maintenance)	1,869	933	
94.0 Financial transfers (Construction)	54	46	
94.0 Financial transfers (MR&T)	6	5	
99.9 Total new obligations, unexpired accounts	1,929	984	1,015

INLAND WATERWAYS TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-8861-0-7-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	132	70	55
Receipts:			
Current law:			
1110 Transfer from General Fund, Inland Waterways Revenue Act Taxes	117	114	112
1140 Interest and Profits on Investments in Public Debt Securities, Inland Waterways Trust Fund	4	2	2
1199 Total current law receipts	121	116	114
Proposed:			
1210 Users Fees, Inland Waterways Trust Fund			180
1999 Total receipts	121	116	294
2000 Total: Balances and receipts	253	186	349
Appropriations:			
Current law:			
2101 Inland Waterways Trust Fund	-86	-131	
2101 Inland Waterways Trust Fund	-90		
2101 Inland Waterways Trust Fund	-7		
2199 Total current law appropriations	-183	-131	
2999 Total appropriations	-183	-131	
5099 Balance, end of year	70	55	349

Program and Financing (in millions of dollars)

Identification code 096-8861-0-7-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Inland Waterways Trust Fund	183	131	
0900 Total new obligations, unexpired accounts (object class 94.0)	183	131	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (Construction)	86	131	
1101 Appropriation (Construction FY 2018 Prior Year)	90		
1101 Appropriation (Construction Pre-FY 2018 Prior Year)	7		
1160 Appropriation, discretionary (total)	183	131	

1930 Total budgetary resources available	183	131	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			33
3010 New obligations, unexpired accounts	183	131	
3020 Outlays (gross)	-183	-98	
3050 Unpaid obligations, end of year		33	33
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			33
3200 Obligated balance, end of year		33	33

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	183	131	
Outlays, gross:			
4010 Outlays from new discretionary authority	183	98	
4180 Budget authority, net (total)	183	131	
4190 Outlays, net (total)	183	98	

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	115	58	73
5001 Total investments, EOY: Federal securities: Par value	58	73	167

The Inland Waterways Trust Fund is authorized under the Inland Waterways Revenue Act of 1978 (P.L. 95-502), as amended by the Water Resources Development Act of 1986 (P.L. 99-662). The fund is used to pay one-half of the costs associated with the construction, replacement, rehabilitation, and expansion of Federal inland waterways projects, except as otherwise specifically provided for in law. Under current law, revenue is derived from an excise tax imposed on diesel fuel for commercial vessels on most of the inland waterways, plus investment interest. The Budget proposes to execute these appropriations within the Inland Waterways Trust Fund rather than to transfer and execute them in the Construction account.

INLAND WATERWAYS TRUST FUND

(Legislative proposal, subject to PAYGO)

The proposal would establish a new user fee to supplement existing revenue from the \$0.29 per gallon diesel fuel tax to help finance the users' share of anticipated capital investment projects as well as 10 percent of the cost of operation and maintenance activities on the inland waterways. This proposal would raise about \$1.8 billion over the 10-year window.

RIVERS AND HARBORS CONTRIBUTED FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-8862-0-7-301	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	14	13	10
Receipts:			
Current law:			
1130 Contributions, Rivers and Harbors, Other Than Port and Harbor User Fees	649	548	538
2000 Total: Balances and receipts	663	561	548
Appropriations:			
Current law:			
2101 Rivers and Harbors Contributed Funds	-649	-548	-538
2103 Rivers and Harbors Contributed Funds	-14	-13	-10
2132 Rivers and Harbors Contributed Funds	13	10	
2199 Total current law appropriations	-650	-551	-548
2999 Total appropriations	-650	-551	-548
5099 Balance, end of year	13	10	

Program and Financing (in millions of dollars)

Identification code 096-8862-0-7-301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commercial Navigation	207	204	207
0002 Flood Risk Management	172	170	172
0003 Aquatic Ecosystem Restoration	10	10	10

0004	Hydropower	28	28	28
0005	Multipurpose and Other Programs	65	63	64
0900	Total new obligations, unexpired accounts	482	475	481
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,021	1,227	1,303
1021	Recoveries of prior year unpaid obligations	14		
1033	Recoveries of prior year paid obligations	24		
1050	Unobligated balance (total)	1,059	1,227	1,303
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	649	548	538
1203	Appropriation (previously unavailable)(special or trust)	14	13	10
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-13	-10	
1260	Appropriations, mandatory (total)	650	551	548
1900	Budget authority (total)	650	551	548
1930	Total budgetary resources available	1,709	1,778	1,851
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,227	1,303	1,370
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	564	621	686
3010	New obligations, unexpired accounts	482	475	481
3020	Outlays (gross)	-411	-410	-411
3040	Recoveries of prior year unpaid obligations, unexpired	-14		
3050	Unpaid obligations, end of year	621	686	756
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	564	621	686
3200	Obligated balance, end of year	621	686	756
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	650	551	548
Outlays, gross:				
4100	Outlays from new mandatory authority		112	111
4101	Outlays from mandatory balances	411	298	300
4110	Outlays, gross (total)	411	410	411
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-24		
Additional offsets against gross budget authority only:				
4143	Recoveries of prior year paid obligations, unexpired accounts	24		
4160	Budget authority, net (mandatory)	650	551	548
4170	Outlays, net (mandatory)	387	410	411
4180	Budget authority, net (total)	650	551	548
4190	Outlays, net (total)	387	410	411

The funds in this account are provided by non-Federal interests to cover some or all of the costs for the study, design, construction, and operation and maintenance of water resources projects. These funds include amounts for the authorized non-Federal share of the costs, amounts in excess of the authorized non-Federal share that are provided voluntarily as contributed or advanced funds, and amounts for certain work carried out in connection with a project with 100 percent non-Federal funding.

Object Classification (in millions of dollars)

Identification code 096-8862-0-7-301		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	63	65	66
11.3	Other than full-time permanent	2	1	1
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	67	68	69
12.1	Civilian personnel benefits	11	11	11
21.0	Travel and transportation of persons	1	1	1
25.2	Other services from non-Federal sources	28	27	28
25.3	Other goods and services from Federal sources	26	25	26
25.4	Operation and maintenance of facilities	17	17	17
31.0	Equipment	1	1	1
32.0	Land and structures	331	325	328
99.9	Total new obligations, unexpired accounts	482	475	481

Employment Summary

Identification code 096-8862-0-7-301		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	489	500	500
2001	Reimbursable civilian full-time equivalent employment	1	1	1

COASTAL WETLANDS RESTORATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 096-8333-0-7-301		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Coastal Wetlands Restoration Trust Fund	44	66	70
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	324	375	397
1021	Recoveries of prior year unpaid obligations	13		
1050	Unobligated balance (total)	337	375	397
Budget authority:				
Appropriations, mandatory:				
1203	Appropriation (previously unavailable)(special or trust)	5	5	5
1221	Appropriations transferred from other acct [014-8151]	82	83	83
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-5		
1260	Appropriations, mandatory (total)	82	88	88
1930	Total budgetary resources available	419	463	485
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	375	397	415
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	203	166	168
3010	New obligations, unexpired accounts	44	66	70
3020	Outlays (gross)	-68	-64	-65
3040	Recoveries of prior year unpaid obligations, unexpired	-13		
3050	Unpaid obligations, end of year	166	168	173
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	203	166	168
3200	Obligated balance, end of year	166	168	173
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	82	88	88
Outlays, gross:				
4100	Outlays from new mandatory authority		25	25
4101	Outlays from mandatory balances	68	39	40
4110	Outlays, gross (total)	68	64	65
4180	Budget authority, net (total)	82	88	88
4190	Outlays, net (total)	68	64	65

The Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646, Title III), as amended, directs the Secretary of the Interior to distribute to the Coastal Wetlands Restoration Trust Fund a portion of the amounts appropriated each fiscal year from the Sport Fish Restoration Account. The Louisiana Coastal Wetlands Conservation and Restoration Task Force, which is an interagency task force consisting of the Corps, Environmental Protection Agency, Fish and Wildlife Service, Natural Resources Conservation Service, National Marine Fisheries Service, and the State of Louisiana, uses these funds to plan, set priorities, and carry out projects for the creation, protection, and restoration of coastal wetlands in the State of Louisiana.

Object Classification (in millions of dollars)

Identification code 096-8333-0-7-301		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	12	18	19
25.3	Other goods and services from Federal sources	31	47	50
99.9	Total new obligations, unexpired accounts	44	66	70

COASTAL WETLANDS RESTORATION TRUST FUND—Continued
Employment Summary

Identification code 096-8333-0-7-301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	8	8

SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 096-8217-0-7-306	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	110	110	110
Receipts:			
Current law:			
1140 Earnings on Investments, South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund	3	3	3
2000 Total: Balances and receipts	113	113	113
Appropriations:			
Current law:			
2101 South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund	-3	-3	-3
5099 Balance, end of year	110	110	110

Program and Financing (in millions of dollars)

Identification code 096-8217-0-7-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Wildlife Habitat Restoration	1	3	3
0900 Total new obligations, unexpired accounts (object class 25.2)	1	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1930 Total budgetary resources available	5	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	3	3
3020 Outlays (gross)	-1	-3	-3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances	1	1	1
4110 Outlays, gross (total)	1	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	1	3	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	113	114	115
5001 Total investments, EOY: Federal securities: Par value	114	115	115

This fund, authorized in the Omnibus Appropriations Act of 1999 (P.L. 105-277), as amended by the Water Resources Development Act of 1999 (P.L. 106-53), supports wildlife habitat restoration efforts undertaken by the State of South Dakota. The establishment of this fund satisfies the Federal obligation under the Fish and Wildlife Coordination Act (16 U.S.C. 1661 et seq.) to mitigate for the loss of habitat due to flooding from the Oahe and Big Bend projects, which the Corps constructed under the Pick-Sloan Missouri River Basin program.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
096-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified	19	19	19
096-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	94	85	85
General Fund Offsetting receipts from the public	113	104	104
Intragovernmental payments:			
096-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts		-1	-1
General Fund Intragovernmental payments		-1	-1

GENERAL PROVISIONS—CORPS OF ENGINEERS—CIVIL

(INCLUDING TRANSFER OF FUNDS)

SEC. 101. (a) None of the funds provided in title I of this Act [], or provided by previous appropriations Acts to the agencies or entities funded in title I of this Act that remain available for obligation or expenditure in fiscal year 2020, [] shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates or initiates a new program, project, or activity;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by this Act, unless [] prior approval is received from [] notice has been transmitted to the Committees on Appropriations of both Houses of Congress;
- (4) proposes to use funds directed for a specific activity for a different purpose, unless [] prior approval is received from [] notice has been transmitted to the Committees on Appropriations of both Houses of Congress;

(5) augments or reduces existing programs, projects, or activities in excess of the amounts contained in paragraphs (6) through (10), unless [] prior approval is received from [] notice has been transmitted to the Committees on Appropriations of both Houses of Congress;

(6) INVESTIGATIONS.—For a base level over \$100,000, reprogramming of 25 percent of the base amount up to a limit of \$150,000 per project, study or activity is allowed: *Provided*, That for a base level less than \$100,000, the reprogramming limit is \$25,000: *Provided further*, That up to \$25,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(7) CONSTRUCTION.—For a base level over \$2,000,000, reprogramming of 15 percent of the base amount up to a limit of \$3,000,000 per project, study or activity is allowed: *Provided*, That for a base level less than \$2,000,000, the reprogramming limit is \$300,000: *Provided further*, That up to \$300,000 may be reprogrammed for settled contractor claims, changed conditions, or real estate deficiency judgments: *Provided further*, That up to \$300,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(8) OPERATION AND MAINTENANCE.—Unlimited reprogramming authority is granted for the Corps to be able to respond to emergencies: *Provided*, That the Chief of Engineers shall notify the Committees on Appropriations of both Houses of Congress of these emergency actions as soon thereafter as practicable: *Provided further*, That for a base level over \$1,000,000, reprogramming of 15 percent of the base amount up to a limit of \$5,000,000 per project, study, or activity is allowed: *Provided further*, That for a base level less than \$1,000,000, the reprogramming limit is \$150,000: *Provided further*, That \$150,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation;

(9) MISSISSIPPI RIVER AND TRIBUTARIES.—The reprogramming guidelines in paragraphs (6), (7), and (8) shall apply to the Investigations, Construction, and Operation and Maintenance portions of the Mississippi River and Tributaries Account, respectively; and

(10) FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM.—Reprogramming of up to 15 percent of the base of the receiving project is permitted.

(b) DE MINIMUS REPROGRAMMINGS.—In no case should a reprogramming for less than \$50,000 be submitted to the Committees on Appropriations of both Houses of Congress.

(c) CONTINUING AUTHORITIES PROGRAM.—Subsection (a)(1) shall not apply to any project or activity funded under the continuing authorities program.

(d) Not later than 60 days after the date of enactment of this Act, the Secretary shall submit a report to the Committees on Appropriations of both Houses of Congress to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year which shall include:

(1) A table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if applicable, and the fiscal year enacted level; and

(2) A delineation in the table for each appropriation both by object class and program, project and activity as detailed in the budget appendix for the respective appropriations. **;** and

[(3) An identification of items of special congressional interest.]

[SEC. 102. The Secretary shall allocate funds made available in this Act solely in accordance with the provisions of this Act and the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), including the determination and designation of new starts.]

SEC. 103. None of the funds made available in this title may be used to award or modify any contract that commits funds beyond the amounts appropriated for that program, project, or activity that remain unobligated, except that such amounts may include any funds that have been made available through reprogramming pursuant to section 101.

SEC. 104. The Secretary of the Army may transfer to the Fish and Wildlife Service, and the Fish and Wildlife Service may accept and expend, **[up to \$5,400,000 of] such funds as the Secretary of the Army and the Director of the Fish and Wildlife Service determine, through consultation, are appropriate, from the funds provided in this**

title under the heading "Operation and Maintenance" to mitigate for fisheries lost due to *Army Corps of Engineers civil works* projects.

[SEC. 105. None of the funds in this Act shall be used for an open lake placement alternative for dredged material, after evaluating the least costly, environmentally acceptable manner for the disposal or management of dredged material originating from Lake Erie or tributaries thereto, unless it is approved under a State water quality certification pursuant to section 401 of the Federal Water Pollution Control Act (33 U.S.C. 1341): *Provided*, That until an open lake placement alternative for dredged material is approved under a State water quality certification, the Corps of Engineers shall continue upland placement of such dredged material consistent with the requirements of section 101 of the Water Resources Development Act of 1986 (33 U.S.C. 2211).]

[SEC. 106. None of the funds made available by this Act or any other Act may be used to reorganize or to transfer the Civil Works functions or authority of the Corps of Engineers or the Secretary of the Army to another department or agency.]

[SEC. 107. Additional funding provided in this Act shall be allocated only to projects determined to be eligible by the Chief of Engineers.]

[SEC. 108. None of the funds made available by this Act may be used to carry out any water supply reallocation study under the Wolf Creek Dam, Lake Cumberland, Kentucky, project authorized under the Act of July 24, 1946 (60 Stat. 636, ch. 595).]

SEC. 105. Section 1043 of the Water Resources Reform and Development Act of 2014 (33 U.S.C. 2201 note) is amended in subsection (b)(7) by striking "5 years" and inserting "10 years". (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

OTHER DEFENSE—CIVIL PROGRAMS

MILITARY RETIREMENT

Federal Funds

PAYMENT TO MILITARY RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 097-0040-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	87,996	91,873	94,853
0900 Total new obligations, unexpired accounts (object class 13.0)	87,996	91,873	94,853
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	87,996	91,873	94,853
1930 Total budgetary resources available	87,996	91,873	94,853
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	87,996	91,873	94,853
3020 Outlays (gross)	-87,996	-91,873	-94,853
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	87,996	91,873	94,853
Outlays, gross:			
4100 Outlays from new mandatory authority	87,996	91,873	94,853
4180 Budget authority, net (total)	87,996	91,873	94,853
4190 Outlays, net (total)	87,996	91,873	94,853

The 2021 payment to the Military Retirement Fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by military personnel for service prior to 1985. The amortization schedule for the unfunded liability is determined by the Department of Defense Retirement Board of Actuaries. Included in the unfunded liability are the consolidated requirements of the military departments to cover retired officers and enlisted personnel of the Army, Navy, Marine Corps, and Air Force; retainer pay of enlisted personnel of the Fleet Reserve of the Navy and Marine Corps; and survivors' benefits.

The 2004 National Defense Authorization Act (P.L. 108-136) created additional benefits for certain retirees who receive disability compensation from the Department of Veterans Affairs and moved the responsibility for payments under the Combat-Related Special Compensation program to the Military Retirement Fund. Any additional funding requirements for retirees with service prior to 1985 will be included in this payment.

The 2016 National Defense Authorization Act (P.L. 114-92) enacted substantial changes to the current military retirement system. The new retirement system, which took effect January 1, 2018, is a blend of several components, including a defined retired pay benefit, a defined contribution to the Thrift Savings Plan, and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the legacy retirement system.

Trust Funds

MILITARY RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8097-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	730,406	813,431	901,388
Receipts:			
Current law:			
1140 Employing Agency Contributions, Military Retirement Fund	20,641	21,673	25,398
1140 Earnings on Investments, Military Retirement Fund	27,393	29,229	28,305
1140 Federal Contributions, Military Retirement Fund	87,996	91,873	94,853
1140 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund	7,909	8,505	10,605

1199 Total current law receipts	143,939	151,280	159,161
1999 Total receipts	143,939	151,280	159,161
2000 Total: Balances and receipts	874,345	964,711	1,060,549
Appropriations:			
Current law:			
2101 Military Retirement Fund	-143,940	-151,330	-151,330
2135 Military Retirement Fund	83,026	88,007	86,285
2199 Total current law appropriations	-60,914	-63,323	-65,045
2999 Total appropriations	-60,914	-63,323	-65,045
5099 Balance, end of year	813,431	901,388	995,504

Program and Financing (in millions of dollars)

Identification code 097-8097-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nondisability	53,160	55,238	56,767
0002 Temporary disability	137	140	143
0003 Permanent disability	1,778	1,830	1,876
0004 Fleet reserve	1,748	1,871	1,922
0005 Survivors' benefits	4,091	4,244	4,337
0900 Total new obligations, unexpired accounts (object class 42.0)	60,914	63,323	65,045
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	143,940	151,330	151,330
1235 Appropriations precluded from obligation (special or trust)	-83,026	-88,007	-86,285
1260 Appropriations, mandatory (total)	60,914	63,323	65,045
1930 Total budgetary resources available	60,914	63,323	65,045
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,815	5,026	5,254
3010 New obligations, unexpired accounts	60,914	63,323	65,045
3020 Outlays (gross)	-60,703	-63,095	-64,810
3050 Unpaid obligations, end of year	5,026	5,254	5,489
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,815	5,026	5,254
3200 Obligated balance, end of year	5,026	5,254	5,489
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	60,914	63,323	65,045
Outlays, gross:			
4100 Outlays from new mandatory authority	55,875	58,070	59,557
4101 Outlays from mandatory balances	4,828	5,025	5,253
4110 Outlays, gross (total)	60,703	63,095	64,810
4180 Budget authority, net (total)	60,914	63,323	65,045
4190 Outlays, net (total)	60,703	63,095	64,810
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	743,421	827,414	906,643
5001 Total investments, EOY: Federal securities: Par value	827,414	906,643	1,000,993

Public Law 98-94 provided for accrual funding of the military retirement system and for the establishment of a Department of Defense Military Retirement Fund in 1985. The fund has three sources of income. The first is payments from the military personnel accounts, which cover the accruing costs of the future retirement benefits being earned by today's service members. The second source is interest on investments of the fund. The third source is made up of two payments from the general fund of the Treasury. The first Treasury payment covers a portion of the accrued unfunded liability for all the retirees and current members who had earned benefits before the accrual funding system was set up. The second Treasury payment covers the liability for concurrent receipt of military retired pay and disability compensation paid by the Department of Veterans Affairs. This benefit was added in the 2004 National Defense Authorization Act.

MILITARY RETIREMENT FUND—Continued

The 2016 National Defense Authorization Act (P.L. 114–92) enacted substantial changes to the current military retirement system. The new retirement system, which took effect January 1, 2018 is a blend of several components, including a defined retired pay benefit, a defined contribution to the Thrift Savings Plan, and a bonus (continuation pay) paid to the member to maintain Service retention requirements. Currently serving members will remain grandfathered under the legacy retirement system.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 097–8097–0–7–602	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	735,221	818,457	906,642
0298 Adjustment to reconcile to proprietary accounting	-1		
0999 Total balance, start of year	735,220	818,457	906,642
Cash income during the year:			
Current law:			
Receipts:			
1150 Earnings on Investments, Military Retirement Fund	27,393	29,229	28,305
1160 Employing Agency Contributions, Military Retirement Fund	20,641	21,673	25,398
1160 Federal Contributions, Military Retirement Fund	87,996	91,873	94,853
1160 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund	7,909	8,505	10,605
1199 Income under present law	143,939	151,280	159,161
Proposed:			
Offsetting governmental receipts:			
1260 Employing Agency Contributions, Military Retirement Fund			
1299 Income proposed			
1999 Total cash income	143,939	151,280	159,161
Cash outgo during year:			
Current law:			
2100 Military Retirement Fund [Budget Acct]	-60,703	-63,095	-64,810
2199 Outgo under current law	-60,703	-63,095	-64,810
2999 Total cash outgo (-)	-60,703	-63,095	-64,810
Surplus or deficit:			
3110 Excluding interest	55,843	58,956	66,046
3120 Interest	27,393	29,229	28,305
3199 Subtotal, surplus or deficit	83,236	88,185	94,351
3298 Adjustment to reconcile to proprietary accounting	1		
3299 Total adjustments	1		
3999 Total change in fund balance	83,237	88,185	94,351
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-8,957	-1	
4200 Military Retirement Fund	827,414	906,643	1,000,993
4999 Total balance, end of year	818,457	906,642	1,000,993

RETIREE HEALTH CARE

Federal Funds

PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 097–0850–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5,720	6,637	6,853
0900 Total new obligations, unexpired accounts (object class 13.0)	5,720	6,637	6,853
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	5,720	6,637	6,853
1900 Budget authority (total)	5,720	6,637	6,853
1930 Total budgetary resources available	5,720	6,637	6,853

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	5,720	6,637	6,853
3020 Outlays (gross)	-5,720	-6,637	-6,853

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	5,720	6,637	6,853
Outlays, gross:			
4100 Outlays from new mandatory authority	5,720	6,637	6,853
4180 Budget authority, net (total)	5,720	6,637	6,853
4190 Outlays, net (total)	5,720	6,637	6,853

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097–5472–0–2–551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	238,281	251,770	265,752
Receipts:			
Current law:			
Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund			
1140	230	235	249
1140 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund	10,502	10,236	11,465
1140 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund	5,720	6,637	6,853
1140 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund	7,533	7,817	8,373
1199 Total current law receipts	23,985	24,925	26,940
1999 Total receipts	23,985	24,925	26,940
2000 Total: Balances and receipts	262,266	276,695	292,692
Appropriations:			
Current law:			
2101 Department of Defense Medicare-Eligible Retiree Health Care Fund	-23,984	-24,924	-26,929
2135 Department of Defense Medicare-Eligible Retiree Health Care Fund	13,488	13,981	15,379
2199 Total current law appropriations	-10,496	-10,943	-11,550
2999 Total appropriations	-10,496	-10,943	-11,550
5099 Balance, end of year	251,770	265,752	281,142

Program and Financing (in millions of dollars)

Identification code 097–5472–0–2–551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	10,496	10,943	11,550
0900 Total new obligations, unexpired accounts (object class 13.0)	10,496	10,943	11,550
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	23,984	24,924	26,929
1235 Appropriations precluded from obligation (special or trust)	-13,488	-13,981	-15,379
1260 Appropriations, mandatory (total)	10,496	10,943	11,550
1930 Total budgetary resources available	10,496	10,943	11,550

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	421	460	123
3010 New obligations, unexpired accounts	10,496	10,943	11,550
3020 Outlays (gross)	-10,457	-11,280	-11,650
3050 Unpaid obligations, end of year	460	123	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	421	460	123
3200 Obligated balance, end of year	460	123	23

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	10,496	10,943	11,550
Outlays, gross:			
4100 Outlays from new mandatory authority	10,036	10,943	11,550

4101	Outlays from mandatory balances	421	337	100
4110	Outlays, gross (total)	10,457	11,280	11,650
4180	Budget authority, net (total)	10,496	10,943	11,550
4190	Outlays, net (total)	10,457	11,280	11,650
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	240,183	254,176	266,144
5001	Total investments, EOY: Federal securities: Par value	254,176	266,144	281,533

Public Law 106-398 provides for accrual funding for health care to Medicare-eligible retirees. The statute establishes an accrual health care fund which has three sources of funding. The first is contributions from employing agencies, which cover the liability for future benefits accruing to current service members. The second is an annual payment from the general fund of the Treasury on the accrued unfunded liability, and the third source is income from the investment of fund balances.

Status of Funds (in millions of dollars)

Identification code 097-5472-0-2-551	2019 actual	2020 est.	2021 est.	
Unexpended balance, start of year:				
0100	Balance, start of year	238,702	252,230	265,875
0999	Total balance, start of year	238,702	252,230	265,875
Cash income during the year:				
Current law:				
Receipts:				
1150	Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund	10,502	10,236	11,465
1160	Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund	230	235	249
1160	Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund	5,720	6,637	6,853
1160	Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund	7,533	7,817	8,373
1199	Income under present law	23,985	24,925	26,940
1999	Total cash income	23,985	24,925	26,940
Cash outgo during year:				
Current law:				
2100	Department of Defense Medicare-Eligible Retiree Health Care Fund (Budget Acct)	-10,457	-11,280	-11,650
2199	Outgo under current law	-10,457	-11,280	-11,650
2999	Total cash outgo (-)	-10,457	-11,280	-11,650
Surplus or deficit:				
3110	Excluding interest	3,026	3,409	3,825
3120	Interest	10,502	10,236	11,465
3199	Subtotal, surplus or deficit	13,528	13,645	15,290
3999	Total change in fund balance	13,528	13,645	15,290
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	-1,946	-269	-368
4200	Department of Defense Medicare-Eligible Retiree Health Care Fund	254,176	266,144	281,533
4999	Total balance, end of year	252,230	265,875	281,165

EDUCATIONAL BENEFITS

Trust Funds

EDUCATION BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-8098-0-7-702	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1,053	1,073	1,032
Receipts:				
Current law:				
1140	Employing Agency Contributions, Education Benefits Fund	188	134	97
1140	Interest on Investments, Education Benefits Fund	30	22	18
1199	Total current law receipts	218	156	115
1999	Total receipts	218	156	115
2000	Total: Balances and receipts	1,271	1,229	1,147

Appropriations:				
Current law:				
2101	Education Benefits Fund	-218	-70	-70
2103	Education Benefits Fund	-148	-127	-126
2135	Education Benefits Fund	168		
2199	Total current law appropriations	-198	-197	-196
2999	Total appropriations	-198	-197	-196
5099	Balance, end of year	1,073	1,032	951

Program and Financing (in millions of dollars)

Identification code 097-8098-0-7-702	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Active duty program	71	70	70
0002	Selected Reserve program	127	127	126
0900	Total new obligations, unexpired accounts (object class 13.0)	198	197	196
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	218	70	70
1203	Appropriation (previously unavailable)(special or trust)	148	127	126
1235	Appropriations precluded from obligation (special or trust)	-168		
1260	Appropriations, mandatory (total)	198	197	196
1930	Total budgetary resources available	198	197	196
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	198	197	196
3020	Outlays (gross)	-198	-197	-196
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	198	197	196
Outlays, gross:				
4100	Outlays from new mandatory authority		197	196
4101	Outlays from mandatory balances	198		
4110	Outlays, gross (total)	198	197	196
4180	Budget authority, net (total)	198	197	196
4190	Outlays, net (total)	198	197	196
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	1,060	1,078	1,023
5001	Total investments, EOY: Federal securities: Par value	1,078	1,023	941

The 1985 Department of Defense Authorization Act, Public Law 98-525, as amended by Public Laws 100-48 and 108-375, and the Post 9/11 Veterans Educational Assistance Improvements Act of 2010, Public Law 111-377, provide for the accrual funding of certain education benefits for active duty military personnel under the authority of Chapters 30 and 33, Title 38 U.S.C., and to selected Reserve personnel under the authority of Chapters 1606 and 1607, Title 10 U.S.C. Chapter 1607 was sunset by Public Law 114-92, although the statute allows members who were receiving Chapter 1607 benefits before the statute was enacted to continue to receive these education benefits through November 2019. The fund is financed through actuarially determined Government contributions from the Department of Defense military personnel appropriations and interest on investments. Funds are transferred to the Department of Veterans Affairs to make benefit payments to eligible personnel. The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 097-8098-0-7-702	2019 actual	2020 est.	2021 est.	
Unexpended balance, start of year:				
0100	Balance, start of year	1,060	1,073	1,032

EDUCATION BENEFITS FUND—Continued
Status of Funds—Continued

Identification code 097-8098-0-7-702	2019 actual	2020 est.	2021 est.
0298 Adjustment to reconcile to proprietary accounting	-7		
0999 Total balance, start of year	1,053	1,073	1,032
Cash income during the year:			
Current law:			
Receipts:			
1150 Interest on Investments, Education Benefits Fund	30	22	18
1160 Employing Agency Contributions, Education Benefits Fund	188	134	97
1199 Income under present law	218	156	115
1999 Total cash income	218	156	115
Cash outgo during year:			
Current law:			
2100 Education Benefits Fund (Budget Acct)	-198	-197	-196
2199 Outgo under current law	-198	-197	-196
2999 Total cash outgo (-)	-198	-197	-196
Surplus or deficit:			
3110 Excluding interest	-10	-63	-99
3120 Interest	30	22	18
3199 Subtotal, surplus or deficit	20	-41	-81
3999 Total change in fund balance	20	-41	-81
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-5	9	10
4200 Education Benefits Fund	1,078	1,023	941
4999 Total balance, end of year	1,073	1,032	951

AMERICAN BATTLE MONUMENTS COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one-for-one replacement basis only) and hire of passenger motor vehicles; not to exceed \$15,000 for official reception and representation expenses; and insurance of official motor vehicles in foreign countries, when required by law of such countries, **[\$84,100,000]** \$75,100,000, to remain available until expended. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 074-0100-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administration	25	27	30
0002 Cemetery operations	58	57	45
0900 Total new obligations, unexpired accounts	83	84	75
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	37	60	60
1010 Unobligated balance transfer to other accts [074-0101]	-1		
1011 Unobligated balance transfer from other acct [074-0101]	1		
1021 Recoveries of prior year unpaid obligations	2		
1050 Unobligated balance (total)	39	60	60
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	104	84	75
1930 Total budgetary resources available	143	144	135
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	60	60	60
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	49	33	30
3010 New obligations, unexpired accounts	83	84	75

3020 Outlays (gross)	-97	-87	-79
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3050 Unpaid obligations, end of year	33	30	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	49	33	30
3200 Obligated balance, end of year	33	30	26

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	104	84	75
Outlays, gross:			
4010 Outlays from new discretionary authority	64	50	45
4011 Outlays from discretionary balances	33	37	34
4020 Outlays, gross (total)	97	87	79
4180 Budget authority, net (total)	104	84	75
4190 Outlays, net (total)	97	87	79

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and the design, construction, and maintenance of permanent military cemetery memorials in foreign countries. The Commission requests 444 full-time equivalent (FTE) civilian employees to manage and support the annual investment in maintenance, infrastructure, and interpretive projects.

Object Classification (in millions of dollars)

Identification code 074-0100-0-1-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	24	24
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	23	26	26
12.1 Civilian personnel benefits	13	11	11
21.0 Travel and transportation of persons	1	1	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	6	5	5
25.1 Advisory and assistance services	6	6	6
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	12	12	13
25.4 Operation and maintenance of facilities	6	12	4
26.0 Supplies and materials	4	3	3
31.0 Equipment	3	2	1
32.0 Land and structures	6	3	1
99.9 Total new obligations, unexpired accounts	83	84	75

Employment Summary

Identification code 074-0100-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	454	444	444

FOREIGN CURRENCY FLUCTUATIONS ACCOUNT

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, such sums as may be necessary, to remain available until expended, for purposes authorized by section 2109 of title 36, United States Code. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 074-0101-0-1-705	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	20
1010 Unobligated balance transfer to other accts [074-0100]	-1		
1011 Unobligated balance transfer from other acct [074-0100]	1		
1050 Unobligated balance (total)	20	20	20
1930 Total budgetary resources available	20	20	20

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	20	20	20
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

The agency has a currency fluctuation account that insulates its appropriation's buying power from changes in exchange rates. Under "such sums as may be necessary" language, the Commission will reprogram prior year available funds to address exchange rate imbalances in 2021. The Commission will continue to estimate and report its Foreign Currency Fluctuations Account requirements.

Trust Funds
CONTRIBUTIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 074-8569-0-7-705	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 Contributions, American Battle Monuments Commission	1	1	1
1140 Earnings on Investments, American Battle Monuments Commission		1	1
1199 Total current law receipts	1	2	2
1999 Total receipts	1	2	2
2000 Total: Balances and receipts	1	2	3
Appropriations:			
Current law:			
2101 Contributions	-1	-1	-1
5099 Balance, end of year		1	2

Program and Financing (in millions of dollars)

Identification code 074-8569-0-7-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 World War II Memorial	1	2	2
0900 Total new obligations, unexpired accounts (object class 25.4)	1	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	3	3	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	3
3010 New obligations, unexpired accounts	1	2	2
3020 Outlays (gross)	-1	-1	
3050 Unpaid obligations, end of year	2	3	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	3
3200 Obligated balance, end of year	2	3	5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4101 Outlays from mandatory balances	1	1	
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	

Repair of non-Federal war memorials.—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and

oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

ARMED FORCES RETIREMENT HOME

Federal Funds

GENERAL FUND PAYMENT, ARMED FORCES RETIREMENT HOME

Program and Financing (in millions of dollars)

Identification code 084-0100-0-1-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General fund payment	22	22	22
0900 Total new obligations, unexpired accounts (object class 94.0)	22	22	22
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	22	22	22
1930 Total budgetary resources available	22	22	22
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	22	22	22
3020 Outlays (gross)	-22	-22	-22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	22	22	22
Outlays, gross:			
4010 Outlays from new discretionary authority	22	22	22
4180 Budget authority, net (total)	22	22	22
4190 Outlays, net (total)	22	22	22

Trust Funds

ARMED FORCES RETIREMENT HOME TRUST FUND

For expenses necessary for the Armed Forces Retirement Home to operate and maintain the Armed Forces Retirement Home-Washington, District of Columbia, and the Armed Forces Retirement Home-Gulfport, Mississippi, to be paid from funds available in the Armed Forces Retirement Home Trust Fund, **[\$75,300,000, \$70,300,000, to remain available until September 30, 2022; of which [\$12,000,000] \$6,000,000 shall remain available until expended for construction and renovation of the physical plants at the Armed Forces Retirement Home-Washington, District of Columbia, and the Armed Forces Retirement Home-Gulfport, Mississippi: Provided, That of the amounts made available under this heading from funds available in the Armed Forces Retirement Home Trust Fund, \$22,000,000 shall be paid from the general fund of the Treasury to the Trust Fund. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)**

Special and Trust Fund Receipts (in millions of dollars)

Identification code 084-8522-0-7-701	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	27	41	49
Receipts:			
Current law:			
1110 Deductions, Armed Forces Retirement Home	7	12	20
1110 Fines and Forfeitures, Armed Forces Retirement Home	21	22	22
1130 Other Receipts, Armed Forces Retirement Home	15	16	17
1130 Gifts, Armed Forces Retirement Home		1	2
1130 Property Sales/Leases, Armed Forces Retirement Home	1	6	6
1140 Interest from Investments, Armed Forces Retirement Home		2	2
1140 General Fund Payment to the Armed Forces Retirement Home	22	22	22
1199 Total current law receipts	68	81	91
1999 Total receipts	68	81	91
2000 Total: Balances and receipts	95	122	140
Appropriations:			
Current law:			
2101 Armed Forces Retirement Home Trust Fund	-64	-75	-70

ARMED FORCES RETIREMENT HOME TRUST FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 084-8522-0-7-701	2019 actual	2020 est.	2021 est.
Special and trust fund receipts returned:			
3010 Armed Forces Retirement Home Trust Fund	10	2	3
5099 Balance, end of year	41	49	73

Program and Financing (in millions of dollars)

Identification code 084-8522-0-7-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and maintenance	60	63	64
0002 Construction	2	12	6
0900 Total new obligations, unexpired accounts	62	75	70

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	36	26	24
1021 Recoveries of prior year unpaid obligations	1	2	1
1030 Other balances withdrawn to special or trust funds	-10	-2	-3
1050 Unobligated balance (total)	27	26	22
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	64	75	70
1930 Total budgetary resources available	91	101	92
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3	-2	-3
1941 Unexpired unobligated balance, end of year	26	24	19
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	10	2	3
1951 Unobligated balance expiring	3	2	3
1952 Expired unobligated balance, start of year		3	5
1953 Expired unobligated balance, end of year		3	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	12	1
3010 New obligations, unexpired accounts	62	75	70
3020 Outlays (gross)	-59	-84	-70
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-2	-1
3050 Unpaid obligations, end of year	12	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	12	1
3200 Obligated balance, end of year	12	1	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	64	75	70
Outlays, gross:			
4010 Outlays from new discretionary authority	50	65	61
4011 Outlays from discretionary balances	9	19	9
4020 Outlays, gross (total)	59	84	70
4180 Budget authority, net (total)	64	75	70
4190 Outlays, net (total)	59	84	70

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	70	79	81
5001 Total investments, EOY: Federal securities: Par value	79	81	97

Public Law 101-510 created an Armed Forces Retirement Home (AFRH) Trust Fund to finance the AFRH—Gulfport and the AFRH—Washington Homes. The Homes are financed by appropriations drawn from the Trust Fund. AFRH provides residences and related services for certain retired and former members of the Armed Forces and the Coast Guard. The members receiving domiciliary and hospital care are:

	2019 actual	2020 est.	2021 est.
Domiciliary care	560	679	838
Hospital care	153	165	175
Totals	713	844	1013

Both AFRH facilities (Gulfport, MS and Washington, DC) are accredited in all areas by The Joint Commission (TJC) and Commission on Accreditation of Rehabilitation Facilities (CARF). AFRH is accredited with TJC

for the wellness clinics (Ambulatory Care) and nursing care (Assisted Living, Memory Support, Long Term Care, and Independent Living Plus (Home Health Care)). For FY 2019, AFRH earned its 15th consecutive unmodified financial audit opinion with no weaknesses or deficiencies identified in the management letter. In November 2019, AFRH selected a development team for the 80-acre master planned parcel on the Washington campus with the goal of executing a lease agreement in FY 2020. The master plan authorizes 4.3 million square feet of mixed-use development (residential, commercial, retail, hotel) under a ground lease for AFRH to receive long-term revenue from previously underutilized property.

Object Classification (in millions of dollars)

Identification code 084-8522-0-7-701	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	23	24
11.5 Other personnel compensation	2		
11.9 Total personnel compensation	20	23	24
12.1 Civilian personnel benefits	8	7	7
21.0 Travel and transportation of persons	1		
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	1	2	2
25.2 Other services from non-Federal sources	2	3	3
25.3 Other goods and services from Federal sources	4	5	5
25.4 Operation and maintenance of facilities	5	4	4
25.6 Medical care	4	4	4
25.7 Operation and maintenance of equipment	3	3	3
25.8 Subsistence and support of persons	9	7	7
26.0 Supplies and materials	1	1	1
32.0 Land and structures		12	6
99.9 Total new obligations, unexpired accounts	62	75	70

Employment Summary

Identification code 084-8522-0-7-701	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	286	363	363

CEMETERIAL EXPENSES

Federal Funds

CEMETERIAL EXPENSES, ARMY

SALARIES AND EXPENSES

For necessary expenses for maintenance, operation, and improvement of Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, including the purchase or lease of passenger motor vehicles for replacement on a one-for-one basis only, and not to exceed \$2,000 for official reception and representation expenses, [\$80,800,000] \$70,800,000, of which not to exceed \$15,000,000 shall remain available until September 30, [2022] 2023. In addition, such sums as may be necessary for parking maintenance, repairs and replacement, to be derived from the "Lease of Department of Defense Real Property for Defense Agencies" account. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 021-1805-0-1-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0008 Direct program activity	83	81	78
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	11	11
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	13	11	11
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	81	81	71
1900 Budget authority (total)	81	81	71
1930 Total budgetary resources available	94	92	82

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	11	11	4
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	78	60	5
3010	New obligations, unexpired accounts	83	81	78
3011	Obligations ("upward adjustments"), expired accounts	7		
3020	Outlays (gross)	-100	-136	-71
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3041	Recoveries of prior year unpaid obligations, expired	-5		
3050	Unpaid obligations, end of year	60	5	12
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	77	60	5
3200	Obligated balance, end of year	60	5	12

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	81	81	71
Outlays, gross:				
4010	Outlays from new discretionary authority	33	81	71
4011	Outlays from discretionary balances	67	55	
4020	Outlays, gross (total)	100	136	71
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2		
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-5		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	5		
4060	Additional offsets against budget authority only (total)	5		
4070	Budget authority, net (discretionary)	81	81	71
4080	Outlays, net (discretionary)	95	136	71
4180	Budget authority, net (total)	81	81	71
4190	Outlays, net (total)	95	136	71

Operation and Maintenance.—Funding supports day-to-day operations of Arlington National Cemetery (ANC), including planning and execution for more than 7,000 interments and inurnments annually, as well as routine repairs made to facilities, contracted services, and horticultural work at Arlington National Cemetery and the Soldiers' and Airmen's Home National Cemetery.

Construction.—A ten-year capital investment plan has been developed to manage all construction, major rehabilitation, major maintenance, automation and study efforts. Funding supports long-term planning and capital investments made in construction of facilities, land improvements, and other major infrastructure sustainment, restoration, and maintenance.

Sustainment, Restoration and Modernization (SRM).—Funding supports ANC's infrastructure to include the renovation, sustainment, and maintenance of ANC facilities, infrastructure, and roadways.

Object Classification (in millions of dollars)

Identification code 021-1805-0-1-705				
		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	14	16	16
11.5	Other personnel compensation	1		1
11.9	Total personnel compensation	15	16	17
12.1	Civilian personnel benefits	5	6	6
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	29	31	26
26.0	Supplies and materials	1	1	1
31.0	Equipment	1		
32.0	Land and structures	31	26	27
99.9	Total new obligations, unexpired accounts	83	81	78

Employment Summary

Identification code 021-1805-0-1-705				
		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	176	201	201

CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 021-1809-0-1-705				
		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0003	Direct program activity	12	9	3
0900	Total new obligations, unexpired accounts (object class 32.0)	12	9	3

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	166	188	179
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	34		
1930	Total budgetary resources available	200	188	179
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	188	179	176

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	11	14	18
3010	New obligations, unexpired accounts	12	9	3
3020	Outlays (gross)	-9	-5	-5
3050	Unpaid obligations, end of year	14	18	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	11	14	18
3200	Obligated balance, end of year	14	18	16

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	34		
Outlays, gross:				
4011	Outlays from discretionary balances	9	5	5
4180	Budget authority, net (total)	34		
4190	Outlays, net (total)	9	5	5

NATIONAL MILITARY CEMETERIES CONCESSIONS, ARMY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 021-5602-0-2-705				
		2019 actual	2020 est.	2021 est.
0100	Balance, start of year	1	2	3
Receipts:				
Current law:				
1130	Concessions Fees, Army National Military Cemeteries	1	1	1
2000	Total: Balances and receipts	2	3	4
5099	Balance, end of year	2	3	4

Program and Financing (in millions of dollars)

Identification code 021-5602-0-2-705				
		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0010	Direct program activity	1		
0900	Total new obligations, unexpired accounts (object class 25.2)	1		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
Budget authority:				
Appropriations, discretionary:				
1102	Appropriation (previously unavailable)	2		
1930	Total budgetary resources available	2	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1

NATIONAL MILITARY CEMETERIES CONCESSIONS, ARMY—Continued
Program and Financing—Continued

Identification code 021-5602-0-2-705	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)		-1	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2		
Outlays, gross:			
4011 Outlays from discretionary balances		1	
4180 Budget authority, net (total)	2		
4190 Outlays, net (total)		1	

Outlays, gross:			
4100 Outlays from new mandatory authority		3	
4101 Outlays from mandatory balances	4	3	1
4110 Outlays, gross (total)	4	6	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	1		
4160 Budget authority, net (mandatory)	5	3	3
4170 Outlays, net (mandatory)	3	6	1
4180 Budget authority, net (total)	5	3	3
4190 Outlays, net (total)	3	6	1

These appropriations provide for development and conservation of fish and wildlife and recreational facilities on military installations. Proceeds from the sale of fishing and hunting permits are used for these programs at Army, Navy, Marine Corps, and Air Force installations charging such user fees. These programs are carried out through cooperative plans agreed upon by the local representatives of the Secretary of Defense, the Secretary of the Interior, and the appropriate agency of the State in which the installation is located.

FOREST AND WILDLIFE CONSERVATION, MILITARY RESERVATIONS

Federal Funds

WILDLIFE CONSERVATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 097-5095-0-2-303	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Sales of Hunting and Fishing Permits, Military Reservations	5	3	3
2000 Total: Balances and receipts	5	3	3
Appropriations:			
Current law:			
2101 Wildlife Conservation	-5	-3	-3
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 097-5095-0-2-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Department of the Army	4	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	11	11
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	10	11	11
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	3	3
1900 Budget authority (total)	5	3	3
1930 Total budgetary resources available	15	14	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	2
3010 New obligations, unexpired accounts	4	3	3
3020 Outlays (gross)	-4	-6	-1
3050 Unpaid obligations, end of year	5	2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	2
3200 Obligated balance, end of year	5	2	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	3	3

Object Classification (in millions of dollars)

Identification code 097-5095-0-2-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
26.0 Supplies and materials	3	3	3
32.0 Land and structures	1		
99.9 Total new obligations, unexpired accounts	4	3	3

SELECTIVE SERVICE SYSTEM

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109; and not to exceed \$750 for official reception and representation expenses; **[\$27,100,000] \$26,000,000: Provided,** That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever the President deems such action to be necessary in the interest of national defense: *Provided further,* That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 090-0400-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Selective Service System	26	27	26
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	26	27	26
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	27	28	27
1930 Total budgetary resources available	27	29	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	7	6
3010 New obligations, unexpired accounts	26	27	26

3020	Outlays (gross)	-24	-28	-27
3050	Unpaid obligations, end of year	7	6	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5	7	6
3200	Obligated balance, end of year	7	6	5

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	27	28	27
	Outlays, gross:			
4010	Outlays from new discretionary authority	20	23	22
4011	Outlays from discretionary balances	4	5	5
4020	Outlays, gross (total)	24	28	27
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-1	-1	-1
4180	Budget authority, net (total)	26	27	26
4190	Outlays, net (total)	23	27	26

The Selective Service System (SSS) continues to register men as they reach age 18, as required by law, and maintain an active database of registrant records. Should the Nation return to conscription for a national emergency, the agency would have the first draftees at military processing centers according to the mobilization plan. The agency also manages a program for the Nation's conscientious objectors in cooperation with the Department of Defense. All Reserve Force Officers participating in the Selective Service System program will remain at 175 in 2020 and 2021 to reflect requirements.

SSS will continue to strengthen its partnership with the Armed Services. The Agency will continue its national initiative to offer every young man that receives a registration acknowledgment, almost two million annually, the opportunity to volunteer for the military services.

SSS will maintain a modernized information technology system to improve business processes, while helping to sustain an all volunteer military

by aiding recruiting with its agency mailings. Relevant technology will ensure faster, more accurate registration processing, as well as more secure storage of personally identifiable information. It will also foster better customer service via the internet.

Object Classification (in millions of dollars)

Identification code 090-0400-0-1-054	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	12	13	13
11.8	Special personal services payments	2	2	2
11.9	Total personnel compensation	14	15	15
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	1	1	1
23.3	Communications, utilities, and miscellaneous charges	6	6	6
25.2	Other services from non-Federal sources	2	2	1
99.9	Total new obligations, unexpired accounts	26	27	26

Employment Summary

Identification code 090-0400-0-1-054	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	124	124	124

ADMINISTRATIVE PROVISIONS

SEC. 301. Amounts deposited into the special account established under 10 U.S.C. 4727 are appropriated and shall be available until expended to support activities at the Army National Military Cemeteries. (*Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.*)

ENVIRONMENTAL PROTECTION AGENCY

Federal Funds

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$41,489,000]** \$39,825,000, to remain available until September 30, **[2021]** 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 068-0112-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Rule of Law and Process	40	42	40
0799 Total direct obligations	40	42	40
0801 Reimbursable from Superfund Trust Fund	9	12	10
0900 Total new obligations, unexpired accounts	49	54	50
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	8
1021 Recoveries of prior year unpaid obligations	4	1
1050 Unobligated balance (total)	4	9	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	41	40
Spending authority from offsetting collections, discretionary:			
1700 Collected	9	12	10
1900 Budget authority (total)	50	53	50
1930 Total budgetary resources available	54	62	59
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	8	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	4	4
3010 New obligations, unexpired accounts	49	54	50
3020 Outlays (gross)	-51	-50	-49
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-1
3050 Unpaid obligations, end of year	4	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	50	53	50
Outlays, gross:			
4010 Outlays from new discretionary authority	42	45	43
4011 Outlays from discretionary balances	9	5	6
4020 Outlays, gross (total)	51	50	49
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-12	-10
4180 Budget authority, net (total)	41	41	40
4190 Outlays, net (total)	42	38	39

This appropriation supports the Environmental Protection Agency's (EPA) core programs by providing funds for independent Office of Inspector General (OIG) audit, evaluation, and investigative products and advisory services. These products and services consistently provide significant positive monetary return on investment and contribute substantially to risk reduction, improved environmental quality and human health, as well as improved business practices, operational efficiency, and accountability. Specifically, the OIG performs contract audits and investigations that focus on costs claimed by contractors and assess the effectiveness of contract management. Assistance agreement audits and investigations evaluate the award, administration, and costs of assistance agreements. Program audits, evaluations, and investigations determine the extent to which the desired results or benefits envisioned by the Administration and the Congress are

being achieved, and identify activities that could undermine the integrity, efficiency, and effectiveness of EPA programs. Financial statement audits review financial systems and statements to ensure that adequate controls are in place and EPA's accounting information is timely, accurate, reliable and useful, and complies with applicable laws and regulations. Efficiency, risk assessment, and program performance audits review the economy, efficiency, and effectiveness of operations by examining EPA's structure and processes for achieving environmental goals, including assessing risk, setting priorities, developing implementation strategies, and measuring performance. Information resource management audits review EPA information technology and systems to test the integrity of data and systems controls, as well as compliance with a variety of Federal information security laws and requirements. Investigations prevent, detect, and seek prosecution for criminal activity and serious misconduct in EPA programs and operations. Major areas of investigative focus include: financial fraud; infrastructure/terrorist threat; program integrity; employee integrity; cyber-crimes; and theft of intellectual or sensitive data. In addition, the EPA Inspector General serves as the IG for the U.S. Chemical Safety and Hazard Investigation Board, providing the full range of audit, evaluation, and investigative services specified by the Inspector General Act, as amended. Additional funds for audit, evaluation, and investigative activities associated with the Hazardous Substance Superfund are appropriated under that account and transferred to the Inspector General account.

Object Classification (in millions of dollars)

Identification code 068-0112-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	27	26
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	28	29	28
12.1 Civilian personnel benefits	10	10	10
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	1	1	1
25.7 Operation and maintenance of equipment	1
99.0 Direct obligations	40	42	40
99.0 Reimbursable obligations	9	12	10
99.9 Total new obligations, unexpired accounts	49	54	50

Employment Summary

Identification code 068-0112-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	217	227	200
1101 Direct military average strength employment	1	1	1
2001 Reimbursable civilian full-time equivalent employment	50	43	41

SCIENCE AND TECHNOLOGY

For science and technology, including research and development activities, which shall include research and development activities under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980; necessary expenses for personnel and related costs and travel expenses; procurement of laboratory equipment and supplies; and other operating expenses in support of research and development, **[\$716,449,000]** \$484,733,000, to remain available until September 30, **[2021: Provided,** That of the funds included under this heading, \$6,000,000 shall be for Research: National Priorities as specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) **]** 2022, of which \$19,000,000 shall be derived from the Special Treasury fund established under section 217(b) of the Clean Air Act (42 U.S.C. 7552(b)). (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

SCIENCE AND TECHNOLOGY—Continued
Program and Financing (in millions of dollars)

Identification code 068-0107-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Core Mission	167	177	122
0003 Rule of Law and Process	528	560	386
0799 Total direct obligations	695	737	508
0801 Reimbursements from Superfund Trust Fund	15	31	19
0802 Other Reimbursements	9	9	9
0899 Total reimbursable obligations	24	40	28
0900 Total new obligations, unexpired accounts	719	777	536
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	100	125	135
1021 Recoveries of prior year unpaid obligations	16	40	40
1050 Unobligated balance (total)	116	165	175
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	718	716	485
1130 Appropriations permanently reduced	-11		
1131 Unobligated balance of appropriations permanently reduced			-7
1160 Appropriation, discretionary (total)	707	716	478
Spending authority from offsetting collections, discretionary:			
1700 Collected	21	31	19
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	24	31	19
1900 Budget authority (total)	731	747	497
1930 Total budgetary resources available	847	912	672
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	125	135	136
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	286	291	251
3010 New obligations, unexpired accounts	719	777	536
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-696	-777	-603
3040 Recoveries of prior year unpaid obligations, unexpired	-16	-40	-40
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	291	251	144
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-14	-15	-15
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-15	-15	-15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	272	276	236
3200 Obligated balance, end of year	276	236	129
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	731	747	497
Outlays, gross:			
4010 Outlays from new discretionary authority	426	446	302
4011 Outlays from discretionary balances	270	331	301
4020 Outlays, gross (total)	696	777	603
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-19	-31	-19
4033 Non-Federal sources	-3		
4040 Offsets against gross budget authority and outlays (total)	-22	-31	-19
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3		
4052 Offsetting collections credited to expired accounts	1		
4060 Additional offsets against budget authority only (total)	-2		
4070 Budget authority, net (discretionary)	707	716	478
4080 Outlays, net (discretionary)	674	746	584
4180 Budget authority, net (total)	707	716	478
4190 Outlays, net (total)	674	746	584

This appropriation finances salary, travel, science, technology, environmental monitoring, research, and development activities including laboratory and center supplies, certain operating expenses (including activities under the Working Capital Fund), contracts, grants, intergovernmental agreements, and purchases of scientific equipment. In addition, the Administrator will employ persons in the Office of Research and Development under the authority provided in 42 U.S.C. 209. Furthermore, the Budget proposes to expand ORD's student contractor hiring authority to the Office of Chemical Safety and Pollution Prevention and the Office of Water. These activities prioritize robust science and refocus the Environmental Protection Agency's (EPA) research and scientific analysis to inform EPA policy and regulatory development actions in a manner which creates consistency and certainty for the regulated community to ensure good stewardship and positive environmental outcomes. This appropriation supports core Agency programs and each of the Agency's three goals. Specifically in 2021, EPA will place emphasis on the following:

The Air and Energy program (A-E) will conduct a range of science and technology activities to develop and implement strategies to improve air quality. These include: research to inform the review of the national ambient air quality standards to improve understanding of ozone, particulate matter, lead, sulfur dioxide, carbon monoxide, and nitrogen dioxide; system research and life cycle analysis to understand the production, operation, and impacts of energy systems on health and the environment; research on the generation, fate, transport, and chemical transformation of air emissions to identify individual and population health risks to inform clean air management decisions; and development and evaluation of new approaches for monitoring levels of air pollutants (including air toxics). EPA further develops tools to provide technical assistance to State and local governments and Tribes to use in developing clean air plans to achieve air quality standards. EPA will continue to implement the renewable fuels provisions of the Energy Policy Act of 2005 (P.L. 109-58) and the Energy Independence and Security Act of 2007 (P.L. 110-140), and will develop, implement, and ensure compliance with regulatory programs that will significantly reduce emissions from highway and non-road sources.

The Safe and Sustainable Water Resources research program (SSWR) conducts research to meet the science needs in EPA's water program, including: evaluating groups of contaminants for the protection of human health and the environment; developing innovative tools, technologies, and strategies for managing water resources (including stormwater); and supporting a systems approach for protecting and restoring aquatic systems. The systems approach includes: research to inform setting water quality criteria; establishing measures to assess and manage watersheds; and developing effective source control and management methods, especially for urban uses. A major component of the research program is working to support EPA's Drinking Water Strategy. Within the SSWR program, research will assess, develop, and compile scientifically rigorous tools and models that will be used by the Agency, States, Tribes, and municipalities to address issues such as lead in drinking water and excess nutrient loading.

The Sustainable and Healthy Communities (SHC) research program, including Superfund research, implements system-based research to develop a new generation of smart technologies to address environmental conditions in a community. Superfund research costs are appropriated to the Hazardous Substance Superfund Trust Fund appropriation and transferred to this account to allow for proper accounting. The SHC research program develops decision support tools to enable communities' decision makers to solve complex human health and environmental problems. The program will identify health risks and stressors, especially those that disproportionately impact vulnerable populations such as children and the elderly. The decision support tools support critical policy, regulatory, and non-regulatory needs related to contaminated site remediation, children's health protection, waste management, and our economy's reliance on quality ecosystem goods and services. These tools account for the interrelationships between social, economic, health, ecological, and environmental factors with the aim to minimize unintended consequences that can result from decisions about

land use, transportation, and solid waste management, as well as promote more robust and efficient infrastructure.

The Health and Environmental Risk Assessment (HERA) program develops assessments and scientific products that are used extensively by EPA's Program and Regional offices, and other parties, to estimate the potential risk to public health from exposure to environmental contaminants, to develop regulatory standards, and to manage environmental clean-ups. This includes EPA's All Ages Lead Model which predicts lead concentration in body tissues and organs for a hypothetical individual, based on a simulated lifetime of lead exposure. The HERA research program provides the scientific foundation for Agency actions to protect public health and the environment.

The Homeland Security Research Program (HSRP) will continue to support research efforts on evaluating chemical, biological, and radiological (CBR) analytical methods. The HSRP will conduct research on decontamination and methods to manage potential public health consequences and develop methods to protect water infrastructures and assess threats and consequences. In 2021, decontamination research will continue to address existing scientific knowledge gaps in responding to and recovering from wide-area CBR attacks on urban centers and public areas. Water Infrastructure Protection Research will focus on developing and testing decontamination approaches for water infrastructure and on treating CBR contaminated water caused by terrorist attacks, natural disasters, and/or accidents. Research on real-time distribution system models and methods to isolate and treat contaminated water, clean distribution systems, redirect water, and return water systems to service quickly and affordably is in progress. EPA also will continue to support water sector-specific agency responsibilities to protect the Nation's critical water infrastructure.

EPA's Chemical Safety for Sustainability research program (CSS) is designed to strengthen the Agency's ability to evaluate and predict the potential environmental and human health impacts from use of manufactured chemicals throughout their lifecycle. The CSS program supports the development and application of improved and new computational systems; models of pathways and tissues; rapid cost-efficient exposure models; and user-friendly web-based tools for analysis and decision support. The CSS program will continue to develop approaches for using toxicity and exposure data to inform screening and prioritization of the over 40,000 chemicals currently on the TSCA Active List, and will continue to inform the Agency's implementation of key environmental regulations and to address contaminants of emerging concern, such as Per- and Polyfluoroalkyl Substances (PFAS). As it relates to the Science and Technology account and the overall mission of EPA, the protection of human health includes: ensuring the availability of appropriate analytical methods for detecting pesticide residues in food and feed; ensuring suitability for monitoring pesticide residues; and enforcing tolerances. The program accomplishes this by developing and validating multi-residue pesticide analytical methods for food, feed, and water for use by other Federal and State laboratories and EPA's programs and regions. Laboratories further support the estimation of human health risks from pesticide use by operating the National Pesticide Standard Repository (NPSR).

EPA's Forensics Support program provides expert scientific and technical support for criminal and civil environmental enforcement cases, as well as technical support for the Agency's compliance efforts. EPA's National Enforcement Investigations Center (NEIC) is an environmental forensic center accredited for both laboratory and field sampling operations that generate environmental data for law enforcement purposes. It is fully accredited under International Standards Organization 17025, the main standard used by testing and calibration laboratories, as recommended by the National Academy of Sciences (see Strengthening Forensic Science in the United States: A Path Forward, National Academy of Sciences, 2009). The NEIC maintains a sophisticated chemistry laboratory and a corps of highly trained inspectors and scientists with expertise across media. The NEIC works closely with EPA's Criminal Investigation Division to provide technical support (e.g., sampling, analysis, consultation, and testimony) to

criminal investigations. The NEIC also works closely with EPA's Program and Regional Offices to provide technical support, consultation, on-site inspection, investigation, and case resolution services in support of the Agency's Civil Enforcement program.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The office and the functions it performs is: Office of Mission Support (facilities infrastructure and operations and information technology/data management).

Object Classification (in millions of dollars)

Identification code 068-0107-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	221	234	162
11.3 Other than full-time permanent	9	10	7
11.5 Other personnel compensation	5	5	4
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	236	250	174
12.1 Civilian personnel benefits	76	81	56
21.0 Travel and transportation of persons	5	5	4
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	28	30	20
23.3 Communications, utilities, and miscellaneous charges	15	16	11
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	51	54	37
25.2 Other services from non-Federal sources	63	67	46
25.3 Other goods and services from Federal sources	46	49	34
25.4 Operation and maintenance of facilities	32	34	23
25.5 Research and development contracts	59	62	43
25.7 Operation and maintenance of equipment	14	15	9
26.0 Supplies and materials	10	11	7
31.0 Equipment	19	20	14
41.0 Grants, subsidies, and contributions	39	41	28
99.0 Direct obligations	695	737	508
99.0 Reimbursable obligations	24	40	28
99.9 Total new obligations, unexpired accounts	719	777	536

Employment Summary

Identification code 068-0107-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,874	1,972	1,489
1101 Direct military average strength employment	12	12	12
2001 Reimbursable civilian full-time equivalent employment	62	62	85
2101 Reimbursable military average strength employment	1	1	1

ENVIRONMENTAL PROGRAMS AND MANAGEMENT

For environmental programs and management, including necessary expenses, not otherwise provided for, for personnel and related costs and travel expenses; hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase of reprints; library memberships in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members; administrative costs of the brownfields program under the Small Business Liability Relief and Brownfields Revitalization Act of 2002; implementation of a coal combustion residual permit program under section 2301 of the Water and Waste Act of 2016; and not to exceed **[\$31,000]** \$19,000 for official reception and representation expenses, **[\$2,663,356,000]** \$2,236,224,000, to remain available until September 30, **[2021: Provided,** That of the funds included under this heading, \$17,700,000 shall be for Environmental Protection: National Priorities as specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided further,* That of the funds included under this heading, \$510,276,000 shall be for Geographic Programs specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act)] 2022.

[In addition, \$5,000,000 to remain available until expended, for necessary expenses of activities described in section 26(b)(1) of the Toxic Substances Control Act (15 U.S.C. 2625(b)(1)): *Provided,* That fees collected pursuant to that section of that Act and deposited in the "TSCA Service Fee Fund" as discretionary offsetting receipts in fiscal year 2020 shall be retained and used for necessary salaries and expenses in this appropriation and shall remain available until expended: *Provided further,* That the sum herein appropriated in this paragraph from the general fund for fiscal year

ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

2020 shall be reduced by the amount of discretionary offsetting receipts received during fiscal year 2020, so as to result in a final fiscal year 2020 appropriation from the general fund estimated at not more than \$0: *Provided further*, That to the extent that amounts realized from such receipts exceed \$5,000,000, those amount in excess of \$5,000,000 shall be deposited in the "TSCA Service Fee Fund" as discretionary offsetting receipts in fiscal year 2020, shall be retained and used for necessary salaries and expenses in this account, and shall remain available until expended:] *Provided further*, That of the funds included in the first paragraph under this heading, the Chemical Risk Review and Reduction program project shall be allocated for this fiscal year, excluding the amount of any fees appropriated, not less than the amount of appropriations for that program project for fiscal year 2014.

In addition, \$46,000,000, to remain available until September 30, 2022, for necessary expenses of the Energy Star program established by section 324A of The Energy Policy and Conservation Act (42 U.S.C. 6294a): Provided, That the Administrator of the Environmental Protection Agency shall collect fees pursuant to section 324A(e) (42 U.S.C. 6294a(e)), as added by this Act, and such fees shall be credited to this appropriation as offsetting collections: Provided further, That the sum herein appropriated in this paragraph from the general fund shall be reduced as such collections are received during fiscal year 2021 so as to result in a final fiscal year appropriation from the general fund estimated at \$0: Provided further, That to the extent such collections received in fiscal year 2021 exceed \$46,000,000, those excess amounts shall be deposited in the general fund. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 068-0108-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Core Mission	1,449	1,491	1,288
0002 Cooperative Federalism	221	227	197
0003 Rule of Law and Process	926	953	823
0799 Total direct obligations	2,596	2,671	2,308
0801 Environmental Programs and Management (Reimbursable)	101	101	101
0900 Total new obligations, unexpired accounts	2,697	2,772	2,409
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	214	254	338
1021 Recoveries of prior year unpaid obligations	40	70	70
1050 Unobligated balance (total)	254	324	408
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,660	2,663	2,236
1121 Appropriations transferred from other acct [068-5664]	3	8	
1130 Appropriations permanently reduced	-60		
1131 Unobligated balance of appropriations permanently reduced			-18
1160 Appropriation, discretionary (total)	2,603	2,671	2,218
Spending authority from offsetting collections, discretionary:			
1700 Collected [Offsetting Collections]	69	115	132
1700 Collected [Energy Star Fee]			46
1700 Collected [Chemical Compliance Assistance Fee]			20
1701 Change in uncollected payments, Federal sources	86		
1750 Spending auth from offsetting collections, disc (total)	155	115	198
1900 Budget authority (total)	2,758	2,786	2,416
1930 Total budgetary resources available	3,012	3,110	2,824
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-61		
1941 Unexpired unobligated balance, end of year	254	338	415
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,331	1,382	1,337
3010 New obligations, unexpired accounts	2,697	2,772	2,409
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-2,589	-2,747	-2,522
3040 Recoveries of prior year unpaid obligations, unexpired	-40	-70	-70
3041 Recoveries of prior year unpaid obligations, expired	-22		
3050 Unpaid obligations, end of year	1,382	1,337	1,154
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-226	-266	-266
3070 Change in uncollected pymts, Fed sources, unexpired	-86		
3071 Change in uncollected pymts, Fed sources, expired	46		
3090 Uncollected pymts, Fed sources, end of year	-266	-266	-266

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,105	1,116	1,071
3200 Obligated balance, end of year	1,116	1,071	888
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2,758	2,786	2,416
Outlays, gross:			
4010 Outlays from new discretionary authority	1,738	1,751	1,500
4011 Outlays from discretionary balances	851	996	1,022
4020 Outlays, gross (total)	2,589	2,747	2,522
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-74	-115	-132
4033 Non-Federal sources	-11		-66
4040 Offsets against gross budget authority and outlays (total)	-85	-115	-198
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-86		
4052 Offsetting collections credited to expired accounts	16		
4060 Additional offsets against budget authority only (total)	-70		
4070 Budget authority, net (discretionary)	2,603	2,671	2,218
4080 Outlays, net (discretionary)	2,504	2,632	2,324
4180 Budget authority, net (total)	2,603	2,671	2,218
4190 Outlays, net (total)	2,504	2,632	2,324

This appropriation includes funds for salaries, travel, contracts, grants, and cooperative agreements for pollution abatement, control, and compliance activities and administrative activities of the operating programs, including activities under the Working Capital Fund. This appropriation supports core agency programs implementing environmental statutes.

To protect and improve air quality, the Environmental Protection Agency (EPA) applies a variety of approaches and tools. These include: developing and implementing strategies to attain ambient air quality standards for the six criteria pollutants; reducing regional haze through regional approaches where significant transport of pollutants occurs; and developing control measures for sources that are appropriately regulated at the Federal level. EPA develops and issues national technology-based and risk-based standards using a sector-based approach to reduce the quantity of toxic air pollutants emitted from industrial and manufacturing processes, as well as from urban sources. The Acid Rain program will continue its market-based approach to achieving reduced emissions of sulfur dioxide, primarily from electric utilities. The market-based approach also will be used in other programs, where permitted under the Clean Air Act, to reduce emissions of air pollutants. EPA will work with States and sources to implement the Greenhouse Gas Reporting Rule to obtain high quality data in a cost-effective manner. In addition, EPA will develop and use public information and training to reduce public exposure to radiation. EPA will focus its domestic efforts to ensure that ozone-depleting substance production and import caps under the Montreal Protocol and Clean Air Act continue to be met. The Budget includes a proposal to authorize EPA to administer the ENERGY STAR program through the collection of user fees, which would be collected and obligated out of the Environmental Programs and Management Account. By administering the ENERGY STAR program through the collection of user fees, the EPA would continue to provide a trusted resource for consumers and businesses who want to purchase products that save them money and help protect the environment.

EPA works to protect and restore our waters to ensure that drinking water is safe, and that aquatic ecosystems sustain fish, plants and wildlife, as well as support economic, recreational, and subsistence activities. EPA will focus on core statutory requirements and water infrastructure. EPA will support the following Clean Water Act program components: water quality criteria, standards and technology; National Pollutant Discharge Elimination System (NPDES); water monitoring; Total Maximum Daily Loads (TMDLs); watershed management; water infrastructure and grants management; core wetlands programs and Clean Water Act section 106 program management. EPA also will work with States and Tribes to reduce risks to drinking water contaminants, for example, through proposed revisions to the Lead and Copper Rule. In addition, EPA will continue work with States to transition

to the next generation management and reporting tool, the Safe Drinking Water Information System (SDWIS) Prime used by the majority of state drinking water programs. The new SDWIS Prime management and reporting tool will provide improvements in program efficiency and data quality, greater public access to drinking water data, facilitation of electronic reporting, reductions in reporting burdens on laboratories and water utilities, reductions in data management burdens, and ultimately reduction in public health risk.

EPA's programs work to preserve land by ensuring proper management of waste under multiple environmental statutes. EPA will continue to assist States in putting in place and maintaining permits at facilities that treat, store, or dispose of hazardous waste. Although States are the primary implementers of the Corrective Action program, which requires facilities managing hazardous waste to clean up past releases, EPA directly implements the program in six States and provides technical support and oversight for State activities. EPA also works with Tribes to maintain tribal underground storage tank (UST) programs. EPA also supports the operations and management of the Brownfields program, including training and technical support to assist communities to address issues associated with redevelopment or reuse of properties that may be complicated by the presence of contamination. EPA works with State, local, and tribal partners to help protect the public and the environment from releases of hazardous substances from chemical handling facilities by helping them develop area-wide emergency response and contingency plans. EPA conducts audits and inspections of those facilities handling more than a threshold quantity of certain extremely hazardous chemicals and that are required to implement a Risk Management Program to prevent releases. The Budget proposes to institute a voluntary fee whereby owners and/or operators of chemical facilities can choose to pay EPA for on-site compliance assistance with the Risk Management Program. The collected fees will be deposited in the Environmental Programs and Management Account and used by EPA to pay for this service.

In collaboration with our tribal government partners, EPA works to strengthen human health and environmental protection in Indian Country. EPA works to ensure that its environmental protection programs are implemented in Indian Country either by EPA or by the Tribes. EPA will continue the direct implementation assessment to better understand EPA direct implementation responsibilities and activities on a program-by-program basis in Indian Country. Also, EPA provides resources and technical assistance for federally recognized Tribes to create and maintain effective environmental programs by collaborating with Tribes to develop long-term EPA-Tribal Environmental Plans (ETEP) for all federally recognized Tribes.

To ensure that food will be free from unsafe levels of pesticide residues, EPA applies strict health-based standards in establishing and reevaluating tolerances for residues in food or animal feed. EPA also works to expedite the registration of reduced risk pesticides when possible, and to ensure that older pesticides meet current health and environmental standards. To respond to emerging health issues, EPA develops methods to evaluate the efficacy of products intended to combat public health pests. EPA intends to reduce potential human and environmental risks from commercial and residential exposure to pesticides through programs that focus on farm worker protection, pollinator health and protection, endangered species protection, environmental stewardship, and integrated pest management. EPA's toxics program will continue to make substantial progress in protecting public health and the environment from potentially harmful industrial chemicals by assessing the safety of new and existing chemicals, reducing gaps in the availability of chemical data, strengthening management of chemical information, and providing easier and more complete public access to non-confidential chemical data. EPA will conduct existing chemical prioritization and evaluations under the provisions of the Toxic Substances Control Act (TSCA) as amended by the Frank R. Lautenberg Chemical Safety for the Twenty-First Century Act, and address any unreasonable risks identified through such evaluations.

EPA will engage both bilaterally and through multilateral institutions to improve international cooperation to prevent and address the transboundary movement of pollution and coordinate with other nations to protect the environment and human health.

Combined with public demand for information, unprecedented changes in information technology are altering the way EPA, States, and Tribes collect, manage, analyze, use, secure, and provide access to quality environmental information. EPA is working with the States and Tribes to strengthen our information quality, leverage information maintained by other government organizations, and develop new tools that provide the public with simultaneous access to multiple data sets, allowing users to understand local, tribal, State, regional, and national environmental conditions. Key to achieving information quality will be the further development of the National Environmental Information Exchange Network, which is primarily an affiliation between EPA and the States and Tribes. EPA will continue to reduce reporting burdens, improve data quality, and accelerate data publications by accelerating the replacement of paper-based submissions with electronic reporting under the Toxic Release Inventory and other programs.

EPA works in partnership with State and tribal agencies to enforce and build compliance with Federal environmental laws passed by the Congress that ensure our communities have clean air, water, and land. EPA will enforce environmental laws to correct noncompliance and promote cleanup of contaminated sites. To improve compliance with environmental laws, EPA works to provide easy access to tools that help regulated entities, Federal agencies, and the public understand these laws and find efficient, cost-effective means for putting them into practice. EPA's enforcement program targets inspections and other compliance monitoring activities according to the degree of health and environmental risk. The program collaborates with the Department of Justice, States, local government agencies, and tribal governments to ensure consistent and fair enforcement of all environmental laws and regulations. The program seeks to aggressively pursue violations that threaten communities, ensure a level economic playing field by ensuring that violators do not realize an economic benefit from noncompliance, and deter future violations. The Civil Enforcement program develops, litigates, and settles administrative and civil judicial cases against serious violators of environmental laws. The Criminal Enforcement program enforces the nation's environmental laws through targeted investigations of criminal conduct, committed by individual and corporate defendants, that threatens public health and the environment. Bringing criminal cases sends a strong deterrence message to potential violators, enhances aggregate compliance with laws and regulations and protects our communities. In 2020, to maximize compliance, the Agency will refocus efforts towards areas with significant noncompliance issues and where enforcement can address the most substantial impacts to human health and the environment.

EPA's internal operations programs provide centralized management services and leadership to ensure that EPA is fulfilling its mission. The offices and the functions they perform within the Environmental Programs and Management appropriation are: the Office of Mission Support (facilities, infrastructure and operations; acquisition management; human resources management services; grants and interagency agreements; suspension and debarment; administrative law exchange network; information security; information technology/data management); the Office of the Administrator (civil rights/Title VII compliance; congressional, intergovernmental and external relations; Science Advisory Board; children's health; Small Business Ombudsman; Small Minority Business Assistance; Environmental Justice; NEPA Implementation; and regulatory and economic management and analysis work); the Office of the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability); and the Office of the General Counsel (FOIA management, civil rights/Title VI compliance, and legal advice). Since these centralized services provide support across EPA, many of these programs are funded across EPA's appropriations.

ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

Object Classification (in millions of dollars)

Identification code 068-0108-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,006	1,035	895
11.3 Other than full-time permanent	25	26	22
11.5 Other personnel compensation	25	26	22
11.7 Military personnel	5	5	4
11.9 Total personnel compensation	1,061	1,092	943
12.1 Civilian personnel benefits	342	352	304
13.0 Benefits for former personnel	3	3	3
21.0 Travel and transportation of persons	22	23	20
23.1 Rental payments to GSA	166	171	148
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	6	6	5
24.0 Printing and reproduction	5	5	4
25.1 Advisory and assistance services	150	154	133
25.2 Other services from non-Federal sources	248	255	221
25.3 Other goods and services from Federal sources	297	306	264
25.4 Operation and maintenance of facilities	13	13	12
25.7 Operation and maintenance of equipment	19	20	17
26.0 Supplies and materials	5	5	4
31.0 Equipment	6	6	5
41.0 Grants, subsidies, and contributions	249	256	221
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	2,596	2,671	2,308
99.0 Reimbursable obligations	101	101	101
99.9 Total new obligations, unexpired accounts	2,697	2,772	2,409

Employment Summary

Identification code 068-0108-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	8,477	8,778	7,543
1101 Direct military average strength employment	31	31	31
2001 Reimbursable civilian full-time equivalent employment	35	35	105
2101 Reimbursable military average strength employment	3	3	3

BUILDINGS AND FACILITIES

For construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by, the Environmental Protection Agency, **[\$33,598,000]** \$39,553,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 068-0110-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Rule of Law and Process	27	38	33
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	25	36
1021 Recoveries of prior year unpaid obligations	7	15	15
1050 Unobligated balance (total)	18	40	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	34	34	40
1930 Total budgetary resources available	52	74	91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	36	58
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	74	56	43
3010 New obligations, unexpired accounts	27	38	33
3020 Outlays (gross)	-38	-36	-37
3040 Recoveries of prior year unpaid obligations, unexpired	-7	-15	-15
3050 Unpaid obligations, end of year	56	43	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	74	56	43
3200 Obligated balance, end of year	56	43	24

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	34	34	40
Outlays, gross:			
4010 Outlays from new discretionary authority		6	7
4011 Outlays from discretionary balances	38	30	30
4020 Outlays, gross (total)	38	36	37
4180 Budget authority, net (total)	34	34	40
4190 Outlays, net (total)	38	36	37

This appropriation provides for the construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by the Environmental Protection Agency (EPA). This appropriation supports providing centralized management services to ensure that EPA is fulfilling its mission. EPA's management infrastructure will set and implement quality standards for effective internal management and fiscal responsibility. The facilities funded by this account will provide quality work environments and laboratories that address employee safety and security and pollution prevention. The appropriation includes costs associated with the consolidation and optimization of EPA's laboratory enterprise, reducing its overall footprint and facility costs.

Object Classification (in millions of dollars)

Identification code 068-0110-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	2	3	2
32.0 Land and structures	25	35	31
99.9 Total new obligations, unexpired accounts	27	38	33

STATE AND TRIBAL ASSISTANCE GRANTS

For environmental programs and infrastructure assistance, including capitalization grants for State revolving funds and performance partnership grants, **[\$4,246,232,000]** \$2,848,310,000, to remain available until expended, of which—

(1) **[\$1,638,826,000]** \$1,119,778,000 shall be for making capitalization grants for the Clean Water State Revolving Funds under title VI of the Federal Water Pollution Control Act; and of which **[\$1,126,088,000]** \$863,235,000 shall be for making capitalization grants for the Drinking Water State Revolving Funds under section 1452 of the Safe Drinking Water Act: *Provided*, [That for fiscal year 2020, to the extent there are sufficient eligible project applications and projects are consistent with State Intended Use Plans, not less than 10 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants shall be used by the State for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities: *Provided further*, That for fiscal year 2020, funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants may, at the discretion of each State, be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities: *Provided further*,] That notwithstanding section 603(d)(7) of the Federal Water Pollution Control Act, the limitation on the amounts in a State water pollution control revolving fund that may be used by a State to administer the fund shall not apply to amounts included as principal in loans made by such fund in fiscal year [2020] 2021 and prior years where such amounts represent costs of administering the fund to the extent that such amounts are or were deemed reasonable by the Administrator, accounted for separately from other assets in the fund, and used for eligible purposes of the fund, including administration: *Provided further*, That for fiscal year [2020] 2021, notwithstanding the provisions of subsections (g)(1), (h), and (l) of section 201 of the Federal Water Pollution Control Act, grants made under title II of such Act for American Samoa, Guam, the commonwealth of the Northern Marianas, the United States Virgin Islands, and the District of Columbia may also be made for the purpose of providing assistance: (1) solely for facility plans, design activities, or plans, specifications, and estimates for any proposed project for the construction of treatment works; and (2) for the construction, repair, or replacement of privately owned treatment works serving one or more principal residences or small commercial establishments: *Provided further*, That for fiscal year [2020] 2021, notwithstanding the provisions of such subsections (g)(1), (h), and (l) of section 201 and section 518(c) of the Federal Water Pollution Control Act, funds reserved by the Administrator for grants under section 518(c) of the Federal Water Pollution Control Act

may also be used to provide assistance: (1) solely for facility plans, design activities, or plans, specifications, and estimates for any proposed project for the construction of treatment works; and (2) for the construction, repair, or replacement of privately owned treatment works serving one or more principal residences or small commercial establishments: *Provided further*, That for fiscal year [2020] 2021, notwithstanding any provision of the Federal Water Pollution Control Act and regulations issued pursuant thereof, up to a total of \$2,000,000 of the funds reserved by the Administrator for grants under section 518(c) of such Act may also be used for grants for training, technical assistance, and educational programs relating to the operation and management of the treatment works specified in section 518(c) of such Act: *Provided further*, That for fiscal year [2020] 2021, funds reserved under section 518(c) of such Act shall be available for grants only to Indian tribes, as defined in section 518(h) of such Act and former Indian reservations in Oklahoma (as determined by the Secretary of the Interior) and Native Villages as defined in Public Law 92–203: *Provided further*, That for fiscal year [2020] 2021, notwithstanding the limitation on amounts in section 518(c) of the Federal Water Pollution Control Act, up to a total of 2 percent of the funds appropriated, or \$30,000,000, whichever is greater, and notwithstanding the limitation on amounts in section 1452(i) of the Safe Drinking Water Act, up to a total of 2 percent of the funds appropriated, or \$20,000,000, whichever is greater, for State Revolving Funds under such Acts may be reserved by the Administrator for grants under section 518(c) and section 1452(i) of such Acts: *Provided further*, That for fiscal year [2020] 2021, notwithstanding the amounts specified in section 205(c) of the Federal Water Pollution Control Act, up to 1.5 percent of the aggregate funds appropriated for the Clean Water State Revolving Fund program under the Act less any sums reserved under section 518(c) of the Act, may be reserved by the Administrator for grants made under title II of the Federal Water Pollution Control Act for American Samoa, Guam, the Commonwealth of the Northern Marianas, and United States Virgin Islands: *Provided further*, That for fiscal year [2020] 2021, notwithstanding the limitations on amounts specified in section 1452(j) of the Safe Drinking Water Act, up to 1.5 percent of the funds appropriated for the Drinking Water State Revolving Fund programs under the Safe Drinking Water Act may be reserved by the Administrator for grants made under section 1452(j) of the Safe Drinking Water Act: *Provided further*, That no less than 10 percent but not more than 20 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants and [14] not less than 20 percent but no more than 30 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used by the State to provide additional subsidy to eligible recipients in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these), and shall be so used by the State only where such funds are provided as initial financing for an eligible recipient or to buy, refinance, or restructure the debt obligations of eligible recipients only where such debt was incurred on or after the date of enactment of this Act[, or where such debt was incurred prior to the date of enactment of this Act if the State, with concurrence from the Administrator, determines that such funds could be used to help address a threat to public health from heightened exposure to lead in drinking water or if a Federal or State emergency declaration has been issued due to a threat to public health from heightened exposure to lead in a municipal drinking water supply before the date of enactment of this Act: *Provided further*, That in a State in which such an emergency declaration has been issued, the State may use more than 14 percent of the funds made available under this title to the State for Drinking Water State Revolving Fund capitalization grants to provide additional subsidy to eligible recipients];

[(2) \$25,000,000 shall be for architectural, engineering, planning, design, construction and related activities in connection with the construction of high priority water and wastewater facilities in the area of the United States-Mexico Border, after consultation with the appropriate border commission: *Provided*, That no funds provided by this appropriations Act to address the water, wastewater and other critical infrastructure needs of the colonias in the United States along the United States-Mexico border shall be made available to a county or municipal government unless that government has established an enforceable local ordinance, or other zoning rule, which prevents in that jurisdiction the development or construction of any additional colonia areas, or the development within an existing colonia the construction of any new home, business, or other structure which lacks water, wastewater, or other necessary infrastructure;]

[(3) (2) [\$29,186,000] \$3,000,000 shall be for grants to the State of Alaska to address drinking water and wastewater infrastructure needs of rural and Alaska Native Villages: *Provided*, That of these funds: (A) the State of Alaska shall provide a match of 25 percent; (B) no more than 5 percent of the funds may be used for administrative and overhead expenses; and (C) the State of Alaska shall make awards consistent with the Statewide priority list established in conjunction

with the Agency and the U.S. Department of Agriculture for all water, sewer, waste disposal, and similar projects carried out by the State of Alaska that are funded under section 221 of the Federal Water Pollution Control Act (33 U.S.C. 1301) or the Consolidated Farm and Rural Development Act (7 U.S.C. 1921 et seq.) which shall allocate not less than 25 percent of the funds provided for projects in regional hub communities;

[(4) (3) [\$89,000,000] \$80,000,000 shall be to carry out section 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), including grants, interagency agreements, and associated program support costs: *Provided*, That, [at least 10 percent shall be allocated for assistance in persistent poverty counties: *Provided further*, That for purposes of this section, the term "persistent poverty counties" means any county that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates, or any territory or possession of the United States] to the extent there are sufficient qualified applications, not less than \$18,000,000 of the amount appropriated shall be for projects located in Qualified Opportunity Zones;

[(5) (4) [\$87,000,000] \$10,000,000 shall be for grants under title VII, subtitle G of the Energy Policy Act of 2005;

[(6) \$56,306,000 shall be for targeted airshed grants in accordance with the terms and conditions in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act);]

[(7) \$4,000,000 shall be to carry out the water quality program authorized in section 5004(d) of the Water Infrastructure Improvements for the Nation Act (Public Law 114–322);]

[(8) \$25,408,000 shall be for grants under subsections (a) through (j) of section 1459A of the Safe Drinking Water Act (42 U.S.C. 300j–19a);]

[(9) \$26,000,000 shall be for grants under section 1464(d) of the Safe Drinking Water Act (42 U.S.C. 300j–24(d));]

[(10) \$19,511,000 shall be for grants under section 1459B of the Safe Drinking Water Act (42 U.S.C. 300j–19b);]

[(11) \$3,000,000 shall be for grants under section 1459A(l) of the Safe Drinking Water Act (42 U.S.C. 300j–19a(l));]

[(12) \$12,000,000 shall be for grants under section 104(b)(8) of the Federal Water Pollution Control Act (33 U.S.C. 1254(b)(8));]

[(13) \$28,000,000 shall be for grants under section 221 of the Federal Water Pollution Control Act (33 U.S.C. 1301);]

[(14) \$1,000,000 shall be for grants under section 4304(b) of the America's Water Infrastructure Act of 2018 (Public Law 115–270); and]

[(15) (5) [\$1,075,907,000] \$605,347,000 shall be for grants, including associated program support costs, to States, federally recognized tribes, interstate agencies, tribal consortia, and air pollution control agencies for multi-media or single media pollution prevention, control and abatement and related activities, including activities pursuant to the provisions set forth under this heading in Public Law 104–134, and for making grants under section 103 and 105 of the Clean Air Act for particulate matter monitoring and data collection activities subject to terms and conditions specified by the Administrator, and for grants to address Harmful Algal Blooms (HABs), nutrient pollution, and hypoxia, including research, detection, prediction, monitoring, control, mitigation, response to, and remediation of HABs, nutrient pollution and hypoxia, including their effects on human health or the environment, of which: [\$46,190,000] \$31,791,000 shall be for carrying out section 128 of CERCLA; [\$9,332,000] \$6,422,000 shall be for Environmental Information Exchange Network grants, including associated program support costs; [\$1,449,000 shall be for grants to States under section 2007(f)(2) of the Solid Waste Disposal Act, which shall be in addition to funds appropriated under the heading "Leaking Underground Storage Tank Trust Fund Program" to carry out the provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code other than section 9003(h) of the Solid Waste Disposal Act; \$17,848,000] \$11,884,000 of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be for State participation in national- and State-level statistical surveys of water resources and enhancements to State monitoring programs; [\$13,000,000] \$10,000,000 shall be for multipurpose grants[, including interagency agreements] for the implementation of mandatory statutory duties in delegated environmental programs; [.]

(6) \$50,000,000 shall be for grants to States, federally recognized Indian tribes, public pre-schools, local educational agencies as defined in 20 U.S.C. 7801(30), and non-profit organizations, for detection, assessment, prevention, control, or abatement of pollution and other environmental hazards in school buildings as defined in 20 U.S.C. 3610(6), and related activities: *Provided*, That the Federal share of the costs of such activities shall not exceed 75 percent: *Provided further*,

STATE AND TRIBAL ASSISTANCE GRANTS—Continued

That the Administrator may waive such cost share requirement in the case of schools located in economically distressed communities;

(7) \$2,000,000 shall be for grants under section 1459A(l) of the Safe Drinking Water Act (42 U.S.C. 300j-19a(l)), as amended by section 2005 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(8) \$10,000,000 shall be for grants under section 1465 of the Safe Drinking Water Act (42 U.S.C. 300j-25), as added by section 2006(b) of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(9) \$7,500,000 shall be for grants under section 104(b)(8) of the Federal Water Pollution Control Act (33 U.S.C. 1254(b)(8)), as added by section 4103 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(10) \$61,450,000 shall be for grants under section 221 of the Federal Water Pollution Control Act (33 U.S.C. 1301), as amended by section 4106 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(11) \$1,000,000 shall be for grants authorized in section 4304 of the America's Water Infrastructure Act of 2018 (Public Law 115-270);

(12) \$15,000,000 shall be for grants under section 1464(d) of the Safe Drinking Water Act (42 U.S.C. 300j-24(d)), as amended by section 2107 of the Water Infrastructure Improvements for the Nation Act (Public Law 114-322) and section 2006(a) of the America's Water Infrastructure Act of 2018 (Public Law 115-270); and

(13) \$20,000,000 shall be for grants under section 1459(b) of the Safe Drinking Water Act (42 U.S.C. 300j-19(b)). (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 068-0103-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Core Mission	3,964	4,397	3,085
0002 Cooperative Federalism	95	105	74
0003 Rule of Law and Process	10	11	8
0900 Total new obligations, unexpired accounts	4,069	4,513	3,167
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	367	876	709
1021 Recoveries of prior year unpaid obligations	35	100	100
1050 Unobligated balance (total)	402	976	809
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,682	4,246	2,848
1130 Appropriations permanently reduced	-139		
1131 Unobligated balance permanently reduced (balances cancelled)			-128
1160 Appropriation, discretionary (total)	4,543	4,246	2,720
1930 Total budgetary resources available	4,945	5,222	3,529
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	876	709	362
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5,890	6,097	7,677
3010 New obligations, unexpired accounts	4,069	4,513	3,167
3020 Outlays (gross)	-3,827	-2,833	-3,387
3040 Recoveries of prior year unpaid obligations, unexpired	-35	-100	-100
3050 Unpaid obligations, end of year	6,097	7,677	7,357
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5,890	6,097	7,677
3200 Obligated balance, end of year	6,097	7,677	7,357
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,543	4,246	2,720
Outlays, gross:			
4010 Outlays from new discretionary authority	467	489	198
4011 Outlays from discretionary balances	3,360	2,344	3,189
4020 Outlays, gross (total)	3,827	2,833	3,387
4180 Budget authority, net (total)	4,543	4,246	2,720
4190 Outlays, net (total)	3,827	2,833	3,387

This appropriation supports core Environmental Protection Agency (EPA) programs through grants to States, Tribes and U.S. districts and Territories. Funding is provided to assist State and tribal partners in implementing their

environmental programs to protect human health and the environment. EPA is using common elements for State and tribal grant agreements, including Performance Partnership Grants.

The EPA will provide financial and technical assistance to assist States and Tribes in the development and management of their clean air plans and support solutions that address their local air quality management needs. EPA also will provide funds to States and Tribes using section 105 authority of the Clean Air Act to operate and maintain air monitoring networks to obtain data on emissions of criteria pollutants and air toxics. EPA has funded State and local fine particulate monitoring using the requirements of section 103 of the Clean Air Act, as authorized in annual appropriation bills. EPA also is committed to transitioning funding for fine particulate monitoring into the funding authorized by section 105 of the Clean Air Act. Section 103 provides full funding for pilot programs, demonstrations, research, and other one-time activities, whereas section 105 requires States and local agencies to provide matching funds of at least 40 percent of the amount required for the entire continuing State or local clean air program. Using funds provided by EPA under Clean Air Act sections 103 and 105, States and Tribes will prepare State Implementation Plans and Tribal Implementation Plans to achieve the National Ambient Air Quality Standards, implement monitoring requirements, and support the National Air Toxics Trends Stations monitoring network. Additionally, States may utilize funding to support States' collection, review, and use of greenhouse gas (GHG) emissions data and permitting of large sources of GHG's. EPA also will implement the Diesel Emissions Reduction Act Grant Program by providing funding through grants and rebates to continue to reduce diesel emissions in priority areas and areas of highly concentrated diesel pollution.

EPA also will support its partnerships with States, Tribes, and U.S. Territories through water grants and Performance Partnership grants to carry out core statutory requirements of the Clean Water Act and the Safe Drinking Water Act. Funding supports work to reduce human exposure to contaminants in drinking water, fish and shellfish, and recreational waters and to protect and restore watersheds and aquatic ecosystems. Funding is provided through the Drinking Water State Revolving Fund (SRF) for States and Tribes to make low interest loans to public water systems to upgrade drinking water infrastructure to help them provide safe drinking water. In addition, Clean Water SRF funding provides low interest loans to communities and includes a set-aside for Tribes and U.S. Territories to construct wastewater treatment infrastructure, in addition to other projects that enhance water quality. In sum, the Federal Government has invested over \$65 billion in grants to help capitalize the SRFs. With the required State match, additional State contributions, and funds from program leveraging, funds made available for loans total over \$185 billion since their inception. EPA will continue to work with its partners to enhance the capacity of communities, States, and private investors to plan and finance drinking water and wastewater infrastructure improvements.

Direct grants also are provided to help address the significant water and wastewater infrastructure needs of Alaska Native Villages. EPA has implemented a management plan that optimizes the pace of the program. EPA will continue to strengthen State core water quality protection and water enforcement programs.

The Budget proposes funds for the America's Water Infrastructure Act and Water Infrastructure Improvements for the Nation Act grant programs that will assist in lead testing and drinking water fountain replacement in schools, reducing lead in drinking water, increasing resiliency at drinking water systems, sewer overflow control, and water infrastructure workforce investment. These resources would complement State and local drinking water and wastewater infrastructure investments as well as funding provided through other Federal channels.

The Healthy Schools grant program will provide support to States, local governments, Tribes and non-profit organizations to address environmental health concerns found in schools. Funding supports efforts to identify and help prevent, reduce and resolve environmental hazards, prevent childhood

lead exposure, reduce asthma triggers, promote integrated pest management and reduce or eliminate childhood exposure to toxics in schools.

EPA's Brownfields program supports land revitalization by providing grants to States, Tribes, and local communities to assess and clean up real property which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. EPA Brownfields assessment and clean-up projects assist local communities in paving the way for the productive reuse of contaminated properties and abandoned sites. To the extent there are sufficient qualified applications, the Budget proposes to add language to provide no less than \$18,000,000 for projects located in Qualified Opportunity Zones.

Hazardous and non-hazardous wastes on the land can migrate to the air, groundwater, and surface water, contaminating drinking water supplies, causing acute illnesses or chronic diseases, and threatening healthy ecosystems in urban, rural, and suburban areas. Under the Resource Conservation and Recovery Act of 1976, as amended, EPA provides grants to States to strengthen their ability to implement hazardous waste programs. When appropriate, EPA also may provide financial and technical assistance to eligible tribal governments and inter-tribal consortia to conduct hazardous waste work in Indian Country.

In addition, EPA provides grants to assist States, Tribes, and other partners with worker safety activities, protection of endangered species and water sources, and promotion of environmental stewardship. To protect, sustain or restore the health of people, communities and ecosystems, EPA focuses on the geographic areas with human and ecological communities at most risk. EPA is working to protect, sustain, and restore the health of natural habitats and ecosystems by identifying and evaluating problem areas, developing tools, and improving community capacity to address problems. EPA is proposing a new grant program focused on Harmful Algal Blooms reduction.

Under Federal environmental statutes, EPA is responsible for protecting human health and the environment in Indian Country. EPA works with over 560 federally recognized Tribes located across the United States to improve environmental and human health outcomes. Indian Country totals more than 70 million acres, with reservations ranging from less than 10 acres to more than 14 million acres. EPA will provide funding to build and enhance the capacity of Tribes to address environmental and public health challenges in Indian Country, including lack of access to safe drinking water, sanitation, adequate waste facilities, and other environmental safeguards taken for granted elsewhere.

EPA will provide funding to States, U.S. Territories, Tribes, and inter-tribal consortia to help them develop their information management and technology capabilities. The purpose of this support is two-fold: to assist the Agency in providing ready access to real-time environmental information; and to allow States and Tribes to better integrate and share their environmental information.

To promote compliance with laws intended to protect human health and the environment, EPA will continue to award State and tribal grants to assist in the implementation of compliance and enforcement provisions of environmental laws. EPA provides funding to States and Tribes for compliance assurance activities including inspections and enforcement case support activities. EPA programs will provide Pesticide Program State and Tribal Assistance Grants that support pesticide product and user compliance with provisions of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) through cooperative agreements with States and Tribes. The cooperative agreements support State and tribal compliance and enforcement activities under FIFRA.

Toxic Substance Compliance Grants are provided to States and Tribes to prevent or eliminate unreasonable risks to human health or the environment and to ensure compliance with toxic substance regulations. The grants support inspection programs associated with the Asbestos Hazard Emergency Response Act (AHERA), lead-based paint (402(a), 406(b), and the Renovation, Repair and Painting rule [RRP]), and polychlorinated biphenyls (PCBs). The compliance monitoring activities conducted by the States will

be a cooperative endeavor addressing the priorities of the Federal Toxic Substances Control Act program and State toxics program issues.

Object Classification (in millions of dollars)

Identification code 068-0103-0-1-304		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
25.1	Advisory and assistance services	3	3	2
25.2	Other services from non-Federal sources	21	23	16
25.3	Other goods and services from Federal sources	50	55	39
41.0	Grants, subsidies, and contributions	3,994	4,431	3,109
99.9	Total new obligations, unexpired accounts	4,069	4,513	3,167

Employment Summary

Identification code 068-0103-0-1-304		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	8	7	5

WATER INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM ACCOUNT

For the cost of direct loans and for the cost of guaranteed loans, as authorized by the Water Infrastructure Finance and Innovation Act of 2014, **[\$55,000,000]** \$20,000,000, to remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans, including capitalized interest, and total loan principal, including capitalized interest, any part of which is to be guaranteed, not to exceed **[\$11,500,000,000]**: *Provided further*, That of the funds made available under this heading, \$5,000,000 shall be used solely for the cost of direct loans and for the cost of guaranteed loans for projects described in section 5026(9) of the Water Infrastructure Finance and Innovation Act of 2014 to State infrastructure financing authorities, as authorized by section 5033(e) of such Act: *Provided further*, That the Administrator, together with the Director of the Office of Management and Budget and the Secretary of the Treasury, shall jointly develop criteria for project eligibility for direct loans and loan guarantees authorized by the Water Infrastructure Finance and Innovation Act of 2014 that limit Federal participation in a project consistent with the requirements for the budgetary treatment provided for in section 504 of the Federal Credit Reform Act of 1990 and based on the recommendations contained in the 1967 Report of the President's Commission on Budget Concepts; and the Administrator, the Director, and the Secretary, shall, not later than 120 days after the date of enactment of this Act, publish such criteria in the Federal Register: *Provided further*, That, in developing the criteria to be used, the Administrator, the Director, and the Secretary, shall consult with the Director of the Congressional Budget Office: *Provided further*, That the requirements of section 553 of title 5, United States Code, shall not apply to the development and publication of such criteria: *Provided further*, That the use of direct loans or loan guarantee authority under this heading for direct loans or commitments to guarantee loans for any project shall be in accordance with the criteria published pursuant to this Act: *Provided further*, That the Administrator, the Director, and the Secretary, shall also certify, and publish such certification in the Federal Register, that the criteria is compliant with this paragraph, at the same time the Administrator, the Director, and the Secretary, publish the criteria in the Federal Register: *Provided further*, That the Administrator may not issue a Notice of Funding Availability for applications for credit assistance under the Water Infrastructure Finance and Innovation Act Program in fiscal year 2020 until the criteria have been developed and published pursuant to the fourth proviso and certified pursuant to the previous proviso: *Provided further*, That none of the direct loans or loan guarantee authority made available under this heading shall be available for any project unless the Administrator and the Director of the Office of Management and Budget have certified in advance in writing that the direct loan or loan guarantee, as applicable, and the project comply with the criteria developed and published pursuant to this Act: *Provided further*, That the criteria developed and published pursuant to this Act shall not apply to the use of direct loans or loan guarantee authority provided by prior appropriations Acts: *Provided further*, That not later than 15 days after the date upon which criteria have been published pursuant to the fourth proviso, the Administrator shall report to the Committees on Appropriations of the House of Representatives and Senate, the Committees on Energy and Commerce and Transportation and Infrastructure of the House of Representatives, and the Committee on Environment and Public Works of the Senate on any statutory im-

WATER INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM ACCOUNT—Continued
 improvements to the Water Infrastructure Finance and Innovation Act of 2014 or to the Water Infrastructure Finance and Innovation Act Program Account's appropriations language that would further align such Act and such language with the budgetary treatment and recommendations referred to in the fourth proviso: *Provided further*, That, for the purposes of carrying out the Congressional Budget Act of 1974, the Director of the Congressional Budget Office may request, and the Administrator shall promptly provide, documentation and information relating to a project identified in a Letter of Interest submitted to the Administrator pursuant to a Notice of Funding Availability for applications for credit assistance under the Water Infrastructure Finance and Innovation Act Program, including with respect to a project that was initiated or completed before the date of enactment of this Act] \$4,170,000,000: *Provided further*, That the use of direct loans or loan guarantee authority under this heading for direct loans or commitments to guarantee loans for any project shall be in accordance with the criteria published pursuant to the fourth proviso under the heading "Water Infrastructure Finance and Innovation Program Account" in division D of the Omnibus Appropriations Act, 2020 (Public Law 116–94).

In addition, fees authorized to be collected pursuant to sections 5029 and 5030 of the Water Infrastructure Finance and Innovation Act of 2014 shall be deposited in this account, to remain available until expended for the purposes provided in such sections.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, notwithstanding section 5033 of the Water Infrastructure Finance and Innovation Act of 2014, [\$5,000,000] \$5,023,000, to remain available until September 30, [2021] 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 068–0254–0–1–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	21	55	20
0709 Administrative expenses	12	9	8
0900 Total new obligations, unexpired accounts	33	64	28
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	78	116	115
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	68	60	25
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1900 Budget authority (total)	71	63	28
1930 Total budgetary resources available	149	179	143
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	116	115	115
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	36	37
3010 New obligations, unexpired accounts	33	64	28
3020 Outlays (gross)	–7	–63	–48
3050 Unpaid obligations, end of year	36	37	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	36	37
3200 Obligated balance, end of year	36	37	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	71	63	28
Outlays, gross:			
4010 Outlays from new discretionary authority	4	63	28
4011 Outlays from discretionary balances	3	20
4020 Outlays, gross (total)	7	63	48
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–3	–3	–3
4040 Offsets against gross budget authority and outlays (total)	–3	–3	–3
4180 Budget authority, net (total)	68	60	25
4190 Outlays, net (total)	4	60	45

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 068–0254–0–1–301	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Water Infrastructure Direct Loans	2,524	6,044	1,845
Direct loan subsidy (in percent):			
132002 Water Infrastructure Direct Loans	0.82	0.91	1.08
132999 Weighted average subsidy rate	0.82	0.91	1.08
Direct loan subsidy budget authority:			
133002 Water Infrastructure Direct Loans	21	55	20
Direct loan subsidy outlays:			
134002 Water Infrastructure Direct Loans	5	14
Administrative expense data:			
3510 Budget authority	8	5	5
3580 Outlays from balances	2	2	2
3590 Outlays from new authority	4	4	4

This appropriation supports all activities necessary for the implementation of the Water Infrastructure Finance and Innovation program established by the Water Resources Reform and Development Act of 2014, Title V, Subtitle C. The program will provide low-interest Federal loans or loan guarantees to eligible entities for a wide range of nationally and regionally significant water and wastewater projects. Eligible assistance recipients include corporations, partnerships, government entities, and State Revolving Fund (SRF) programs, among others. Eligible projects include, among others: Clean and Drinking Water State Revolving Fund eligible projects; projects for enhanced energy efficiency at drinking water and wastewater facilities; brackish or seawater desalination, aquifer recharge, water recycling; acquisition of property if it is integral to the project or will mitigate the environmental impact of a project; bundled SRF projects under one application; and a combination of projects secured by a common security pledge. Of the total \$25 million request to implement the Water Infrastructure Finance and Innovation Act (WIFIA) program, \$5 million is for the Environmental Protection Agency's (EPA) management and operation of the program, including contract support and associated payroll. The WIFIA program will be administered by EPA's Office of Water.

Object Classification (in millions of dollars)

Identification code 068–0254–0–1–301	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1
25.2 Other services from non-Federal sources	8	6	5
41.0 Grants, subsidies, and contributions	21	55	20
99.9 Total new obligations, unexpired accounts	33	64	28

Employment Summary

Identification code 068–0254–0–1–301	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	22	25	25

WATER INFRASTRUCTURE FINANCE AND INNOVATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 068–4372–0–3–301	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	2,524	6,044	1,845
0900 Total new obligations, unexpired accounts	2,524	6,044	1,845
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,462	21	26
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1,083	6,044	1,845

Spending authority from offsetting collections, mandatory:			
1800	Collected	5	14
1900	Budget authority (total)	1,083	6,049
1930	Total budgetary resources available	2,545	6,070
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	21	26

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1,038	3,562
3010	New obligations, unexpired accounts	2,524	6,044
3020	Outlays (gross)	-650	-1,737
3050	Unpaid obligations, end of year	3,562	8,956
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1,038	3,562
3200	Obligated balance, end of year	3,562	8,956

Financing authority and disbursements, net:			
Discretionary:			
4020	Outlays, gross (total)	5	14
Mandatory:			
4090	Budget authority, gross	1,083	6,049
Financing disbursements:			
4110	Outlays, gross (total)	645	1,723
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	Federal sources	-5	-14
4180	Budget authority, net (total)	1,083	6,044
4190	Outlays, net (total)	645	1,723

Status of Direct Loans (in millions of dollars)

Identification code 068-4372-0-3-301			
Position with respect to appropriations act limitation on obligations:			
1111	Direct loan obligations from current-year authority	2,524	6,044
1150	Total direct loan obligations	2,524	6,044
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year		639
1231	Disbursements: Direct loan disbursements	639	1,694
1290	Outstanding, end of year	639	2,333

PAYMENT TO THE HAZARDOUS SUBSTANCE SUPERFUND

Program and Financing (in millions of dollars)

Identification code 068-0250-0-1-304			
Obligations by program activity:			
0001	Payment to the hazardous substance superfund	1,084	1,076
0900	Total new obligations, unexpired accounts (object class 94.0)	1,084	1,076
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	1,084	1,076
1930	Total budgetary resources available	1,084	1,076
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1,084	1,076
3020	Outlays (gross)	-1,084	-1,076
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	1,084	1,076
Outlays, gross:			
4010	Outlays from new discretionary authority	1,084	1,076
4180	Budget authority, net (total)	1,084	1,076
4190	Outlays, net (total)	1,084	1,076

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, authorizes appropriations from the general fund to finance activities conducted through the Hazardous Substance Superfund. The Administration proposes to continue the payment from the

general fund up to the appropriated amount in 2021 less sums available in the Trust Fund on October 1, 2020.

ENVIRONMENTAL SERVICES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-5295-0-2-304			
2019 actual			
2020 est.			
2021 est.			
0100	Balance, start of year	470	493
Receipts:			
Current law:			
1120	Environmental Services	23	22
2000	Total: Balances and receipts	493	515
5099	Balance, end of year	493	515

The Environmental Services special fund was established for the deposit of fee receipts associated with environmental programs that may, by statute, be deposited into the fund. The Budget proposes to add language to the end of the Science and Technology appropriation that would appropriate resources from the Environmental Services special fund for the Federal Vehicle and Fuels Standards and Certification program to more directly account for program collections and expenditures.

TSCA SERVICE FEE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-5664-0-2-304			
2019 actual			
2020 est.			
2021 est.			
0100	Balance, start of year		
Receipts:			
Current law:			
1130	User Fees, TSCA Service Fee Fund	3	8
2000	Total: Balances and receipts	3	8
Appropriations:			
Current law:			
2101	TSCA Service Fee Fund	-3	-8
5099	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 068-5664-0-2-304			
2019 actual			
2020 est.			
2021 est.			
Obligations by program activity:			
0011	Direct program activity		15
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	3	8
1120	Appropriations transferred to other acct [068-0108]	-3	-8
1160	Appropriation, discretionary (total)		35
1930	Total budgetary resources available		35
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		20
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		15
3020	Outlays (gross)		-9
3050	Unpaid obligations, end of year		6
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		6
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross		35
Outlays, gross:			
4010	Outlays from new discretionary authority		9
4180	Budget authority, net (total)		35
4190	Outlays, net (total)		9

TSCA SERVICE FEE FUND—Continued

TSCA Service Fees are authorized by section 26 of the Toxic Substances Control Act, as amended by Public Law 114–182, the Frank R. Lautenberg Chemical Safety for the 21st Century Act. Fees deposited in this account are paid by chemical manufacturers (including importers) and, in limited circumstances, processors who are required to: submit test data (TSCA section 4); submit notification of or information related to intent to manufacture a new chemical or significant new use of a chemical (TSCA section 5); manufacture a chemical substance that is subject to a risk evaluation (TSCA section 6); or request that the Environmental Protection Agency (EPA) conduct a risk evaluation on an existing chemical (TSCA section 6), subject to the agency's approval of the request. TSCA Service Fees are estimated to offset 25 percent of the costs to administer sections 4, 5, and 6 of the law as well as collecting, processing, reviewing, and protecting information about chemical substances from disclosure as appropriate under TSCA section 14. The statute requires that fees for manufacturer-requested risk evaluations offset 50 or 100 percent of the costs of those evaluations. EPA finalized a rule for the collection of TSCA fees on September 27, 2018. The final rule became effective in October 2018.

Object Classification (in millions of dollars)

Identification code 068–5664–0–2–304	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			6
11.9 Total personnel compensation			6
25.1 Advisory and assistance services			2
25.2 Other services from non-Federal sources			2
25.3 Other goods and services from Federal sources			5
99.9 Total new obligations, unexpired accounts			15

Employment Summary

Identification code 068–5664–0–2–304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			64

PESTICIDE REGISTRATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068–5374–0–2–304	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1		
Receipts:			
Current law:			
1130 Registration Service Fees, Pesticide Registration Fund	16	18	18
2000 Total: Balances and receipts	17	18	18
Appropriations:			
Current law:			
2101 Pesticide Registration Fund	–17	–18	–18
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 068–5374–0–2–304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Core Mission	19	19	19
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	7	7
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	9	8	8
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	17	18	18
1930 Total budgetary resources available	26	26	26

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	7	4
3010 New obligations, unexpired accounts	19	19	19
3020 Outlays (gross)	–22	–21	–18
3040 Recoveries of prior year unpaid obligations, unexpired	–1	–1	–1
3050 Unpaid obligations, end of year	7	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	7	4
3200 Obligated balance, end of year	7	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	18	18
Outlays, gross:			
4010 Outlays from new discretionary authority	13	11	11
4011 Outlays from discretionary balances	9	10	7
4020 Outlays, gross (total)	22	21	18
4180 Budget authority, net (total)	17	18	18
4190 Outlays, net (total)	22	21	18

Fees deposited in this account are paid by industry to partially offset the costs associated with reviewing all applications for which registration service fees have been paid, including for associated establishment of tolerances for pesticides to be used in or on food and animal feed; and to partially fund the enhancement of scientific and regulatory activities relating to worker protection, to partially fund partnership grants, and to partially fund the pesticide safety education program. These Pesticide Registration Service fees are authorized by section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 116–8, the Pesticide Registration Improvement Extension Act of 2018.

Object Classification (in millions of dollars)

Identification code 068–5374–0–2–304	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	8	8	8
11.9 Total personnel compensation	8	8	8
12.1 Civilian personnel benefits	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	4	4	4
25.7 Operation and maintenance of equipment	1	1	1
41.0 Grants, subsidies, and contributions	3	3	3
99.9 Total new obligations, unexpired accounts	19	19	19

Employment Summary

Identification code 068–5374–0–2–304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	69	69	69

REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 068–4310–0–3–304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Core Mission	21	25	29
0802 Rule of Law and Process	1	1	1
0900 Total new obligations, unexpired accounts	22	26	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	52	59
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	45	53	60
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	29	32	32
1802 Offsetting collections (previously unavailable)	2	2	2

1823	New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-2	-2
1850	Spending auth from offsetting collections, mand (total)	29	32	34
1900	Budget authority (total)	29	32	34
1930	Total budgetary resources available	74	85	94
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	52	59	64

Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	2	5	5
3010	New obligations, unexpired accounts	22	26	30
3020	Outlays (gross)	-18	-25	-31
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1	-1
3050	Unpaid obligations, end of year	5	5	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	5	5
3200	Obligated balance, end of year	5	5	3

Budget authority and outlays, net:

Mandatory:

4090	Budget authority, gross	29	32	34
Outlays, gross:				
4100	Outlays from new mandatory authority	18	21	23
4101	Outlays from mandatory balances	4	8
4110	Outlays, gross (total)	18	25	31
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities	-1	-1	-1
4123	Non-Federal sources	-28	-31	-31
4130	Offsets against gross budget authority and outlays (total)	-29	-32	-32
4160	Budget authority, net (mandatory)	2
4170	Outlays, net (mandatory)	-11	-7	-1
4180	Budget authority, net (total)	2
4190	Outlays, net (total)	-11	-7	-1

Memorandum (non-add) entries:

5090	Unexpired unavailable balance, SOY: Offsetting collections	2	2	2
5092	Unexpired unavailable balance, EOY: Offsetting collections	2	2

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2
Outlays	-11	-7	-1
Legislative proposal, subject to PAYGO:			
Outlays	5
Total:			
Budget Authority	2
Outlays	-11	-7	4

Pesticide maintenance fees are paid by industry to partially offset the costs of pesticide reregistration and expedited processing of certain registration applications; to partially offset the costs of registration review; to review and evaluate inert ingredients; to support enhancements to the Good Laboratory Practices program inspections and audits; and to support efficacy guideline development and rulemaking. This fee is authorized in section 4(i) of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 116-8, the Pesticide Registration Improvement Extension Act of 2018.

Object Classification (in millions of dollars)

Identification code 068-4310-0-3-304	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	11	11	12
11.3	Other than full-time permanent	1	1
11.9	Total personnel compensation	11	12	13
12.1	Civilian personnel benefits	4	4	5
23.1	Rental payments to GSA	1	1	2
25.1	Advisory and assistance services	1	2	2
25.2	Other services from non-Federal sources	4	5	5
25.3	Other goods and services from Federal sources	1	1
25.7	Operation and maintenance of equipment	1	1	2

99.9	Total new obligations, unexpired accounts	22	26	30
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Employment Summary

Identification code 068-4310-0-3-304	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	105	105	222

REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 068-4310-4-3-304	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3020	Outlays (gross)	-5
3050	Unpaid obligations, end of year	-5
Memorandum (non-add) entries:				
3200	Obligated balance, end of year	-5
Budget authority and outlays, net:				
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	5
4180	Budget authority, net (total)
4190	Outlays, net (total)	5

Fee Spending Restrictions. Current statutory language in the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) restricts the activities that Environmental Protection Agency can fund from collections deposited in the Reregistration and Expedited Processing Revolving Fund. The Budget proposes language to clarify the Agency's authority to utilize resources in the Fund to review existing pesticide registrations for their compliance with current FIFRA standards, ensuring market access for pesticide registrants. Specifically, fees collected would be available for the following pesticide regulatory activities: processing and review of submissions made under FIFRA, data submitted in association with a current registration, information submitted pursuant to section 6(a)(2), processing and review of additional uses registered by States under section 24(c), applications for emergency exemptions, and notifications; laboratory support; administrative and systems support; development of policy and guidance; rulemaking support; information collection activities; and the portions of salaries related to work in these areas.

HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND

For necessary expenses to carry out section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g), including the development, operation, maintenance, and upgrading of the hazardous waste electronic manifest system established by such section, \$8,000,000, to remain available until expended: *Provided*, That the sum herein appropriated from the general fund shall be reduced as offsetting collections under such section 3024 are received during fiscal year 2020, which shall remain available until expended and be used for necessary expenses in this appropriation, so as to result in a final fiscal year 2020 appropriation from the general fund estimated at not more than \$0: *Provided further*, That to the extent such offsetting collections received in fiscal year 2020 exceed \$8,000,000, those excess amounts shall remain available until expended and be used for necessary expenses in this appropriation. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 068-4330-0-3-304	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Core Mission	8
0799	Total direct obligations	8
0802	Reimbursable program activity	6	14	14
0900	Total new obligations, unexpired accounts	14	14	14

HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND—Continued
Program and Financing—Continued

Identification code 068-4330-0-3-304	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	3	17
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	16	28	26
1900 Budget authority (total)	16	28	26
1930 Total budgetary resources available	17	31	43
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	17	29
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	6	12
3010 New obligations, unexpired accounts	14	14	14
3020 Outlays (gross)	-11	-8	-10
3050 Unpaid obligations, end of year	6	12	16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	6	12
3200 Obligated balance, end of year	6	12	16
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16	28	26
Outlays, gross:			
4010 Outlays from new discretionary authority	8	6	5
4011 Outlays from discretionary balances	3	2	5
4020 Outlays, gross (total)	11	8	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-16	-28	-26
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-5	-20	-16

In accordance with section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g(c)), the Administrator of the Environmental Protection Agency is authorized to collect and obligate e-Manifest user fees. In 2021, EPA will continue to operate the e-Manifest system established by the Hazardous Waste Electronic Manifest Establishment Act (Public Law 112-195). Based upon authority to collect and spend e-Manifest fees provided by the Congress in annual appropriations bills, the Agency anticipates collecting and depositing approximately \$26 million in e-Manifest user fees into the Hazardous Waste Electronic Manifest System Fund. Fees deposited in this account will fully support the e-Manifest program, including operation of the system, necessary program expenses, and future development costs.

Object Classification (in millions of dollars)

Identification code 068-4330-0-3-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1		
25.2 Other services from non-Federal sources	6		
25.3 Other goods and services from Federal sources	1		
99.0 Direct obligations	8		
Reimbursable obligations:			
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent		1	1
11.9 Total personnel compensation		1	1
25.2 Other services from non-Federal sources	6	12	12
25.3 Other goods and services from Federal sources		1	1
99.0 Reimbursable obligations	6	14	14
99.9 Total new obligations, unexpired accounts	14	14	14

Employment Summary

Identification code 068-4330-0-3-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7	7	

2001 Reimbursable civilian full-time equivalent employment			16
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DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 068-4365-0-3-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Core Mission	1	1	1
0900 Total new obligations, unexpired accounts (object class 11.1)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	2
Budget authority:			
Appropriations, mandatory:			
1221 Appropriations transferred from other acct [014-1618]		2	2
1900 Budget authority (total)		2	2
1930 Total budgetary resources available	2	3	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	3
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		2	2
Outlays, gross:			
4101 Outlays from mandatory balances	1	1	1
4180 Budget authority, net (total)		2	2
4190 Outlays, net (total)	1	1	1

These funds pay for the Environmental Protection Agency's (EPA) assessment and restoration activities resulting from the Deepwater Horizon Oil Spill in conjunction with injury to, destruction of, or loss of the use of natural resources, including their supporting ecosystems. EPA was designated as a trustee for Natural Resource Damage Assessment (NRDA) under Executive Order 13626, and this fund was established under the authority of section 1006(f) (33 U.S.C. 2706(f)) of the Oil Pollution Act of 1990.

Employment Summary

Identification code 068-4365-0-3-306	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	4	4	4

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 068-4565-0-4-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 ETSD Operations	205	205	205
0802 Postage	1	1	1
0803 Compass	12	12	12
0804 eRelocation	13	13	13
0805 COOP	1	1	1
0806 Background Investigations	13	13	13
0807 People Plus	5	5	5
0900 Total new obligations, unexpired accounts	250	250	250
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	76	76	132
1021 Recoveries of prior year unpaid obligations	7	26	26
1050 Unobligated balance (total)	83	102	158
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	238	280	280

1701	Change in uncollected payments, Federal sources	5		
1750	Spending auth from offsetting collections, disc (total)	243	280	280
1930	Total budgetary resources available	326	382	438
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	76	132	188
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	172	177	114
3010	New obligations, unexpired accounts	250	250	250
3020	Outlays (gross)	-238	-287	-288
3040	Recoveries of prior year unpaid obligations, unexpired	-7	-26	-26
3050	Unpaid obligations, end of year	177	114	50
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-119	-124	-124
3070	Change in uncollected pymts, Fed sources, unexpired	-5		
3090	Uncollected pymts, Fed sources, end of year	-124	-124	-124
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	53	53	-10
3200	Obligated balance, end of year	53	-10	-74

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	243	280	280
Outlays, gross:				
4010	Outlays from new discretionary authority	123	196	196
4011	Outlays from discretionary balances	115	91	92
4020	Outlays, gross (total)	238	287	288
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-238	-280	-280
4040	Offsets against gross budget authority and outlays (total)	-238	-280	-280
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-5		
4080	Outlays, net (discretionary)		7	8
4180	Budget authority, net (total)			
4190	Outlays, net (total)		7	8

The Environmental Protection Agency (EPA) received authority to establish a Working Capital Fund (WCF) and was designated a pilot franchise fund under Public Law 103-356, the Government Management and Reform Act of 1994. EPA received permanent authority for the WCF in Public Law 105-65, as part of an effort to increase competition for governmental administrative services. EPA's WCF became operational in 1997 and funds the following main activities: information technology, telecommunications operations, data services, agency postage costs, Cincinnati voice services, and background investigations managed by the Office of Mission Support; financial and administrative systems, employee relocations, and budget formulation system managed by the Office of the Chief Financial Officer; the Agency's Continuity of Operations (COOP) site managed by the Office of Land and Emergency Management; legal services managed by the Office of General Counsel; and regional information technology service and support managed by EPA Region 8. The 2021 amount reflects only base resources and may change during the year in accordance with programmatic needs.

Object Classification (in millions of dollars)

Identification code 068-4565-0-4-304	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	18	18	18
12.1	Civilian personnel benefits	18	18	18
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.1	Advisory and assistance services	6	6	6
25.2	Other services from non-Federal sources	21	21	21
25.3	Other goods and services from Federal sources	116	116	116
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	63	63	63
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	2	2
99.9	Total new obligations, unexpired accounts	250	250	250

Employment Summary

Identification code 068-4565-0-4-304	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	156	156	236

Trust Funds

HAZARDOUS SUBSTANCE SUPERFUND
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611), and hire, maintenance, and operation of aircraft, **[\$1,184,755,000]** \$1,078,611,000, to remain available until expended, consisting of such sums as are available in the Trust Fund on September 30, **[2019]** 2020, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA) and up to **[\$1,184,755,000]** \$1,078,611,000 as a payment from general revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA: *Provided*, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: *Provided further*, That of the funds appropriated under this heading, **[\$11,586,000]** \$9,747,000 shall be paid to the "Office of Inspector General" appropriation to remain available until September 30, **[2021]** 2022, and **[\$30,747,000]** \$19,075,000 shall be paid to the "Science and Technology" appropriation to remain available until September 30, **[2021]** 2022. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-8145-0-7-304	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	192	225	233
Receipts:				
Current law:				
1110	Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund	3	2	2
1130	Recoveries, Hazardous Substance Superfund	73	84	84
1130	Future Clean Up Cost Settlements, Hazardous Substance Superfund Trust Fund	372	350	350
1140	Interest and Profits on Investments, Hazardous Substance Superfund	61	47	48
1140	Interest and Profits on Investments, Hazardous Substance Superfund	33	28	28
1140	Interfund Transactions, Hazardous Substance Superfund	1,084	1,076	952
1199	Total current law receipts	1,626	1,587	1,464
1999	Total receipts	1,626	1,587	1,464
2000	Total: Balances and receipts	1,818	1,812	1,697
Appropriations:				
Current law:				
2101	Hazardous Substance Superfund	-1,136	-1,142	-1,050
2101	Hazardous Substance Superfund	-9	-12	-10
2101	Hazardous Substance Superfund	-15	-31	-19
2101	Hazardous Substance Superfund	-374	-350	-350
2101	Hazardous Substance Superfund	-61	-44	-44
2103	Hazardous Substance Superfund	-3	-3	
2132	Hazardous Substance Superfund	3	3	
2199	Total current law appropriations	-1,595	-1,579	-1,473
2999	Total appropriations	-1,595	-1,579	-1,473
5098	Adjustment to reconcile to budgetary accounting	2		
5099	Balance, end of year	225	233	224

Program and Financing (in millions of dollars)

Identification code 068-8145-0-7-304	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Core Mission	882	899	792
0002	Cooperative Federalism	3	3	3
0003	Rule of Law and Process	325	331	292
0100	Subtotal direct program	1,210	1,233	1,087
0799	Total direct obligations	1,210	1,233	1,087
0801	Hazardous Substance Superfund (Reimbursable)	286	286	286

HAZARDOUS SUBSTANCE SUPERFUND—Continued
Program and Financing—Continued

Identification code 068-8145-0-7-304	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts	1,496	1,519	1,373
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,308	3,606	3,932
1001 Discretionary unobligated balance brought fwd, Oct 1	3,308	3,606
1021 Recoveries of prior year unpaid obligations	89	200	200
1033 Recoveries of prior year paid obligations	15
1050 Unobligated balance (total)	3,412	3,806	4,132
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	1,136	1,142	1,050
1101 Appropriation (special or trust fund) IG Transfer	9	12	10
1101 Appropriation (special or trust fund) S&T Transfer	15	31	19
1131 Unobligated balance of appropriations permanently reduced	-6
1160 Appropriation, discretionary (total)	1,160	1,185	1,073
Appropriations, mandatory:			
1201 Appropriation [Special Account Collections]	374	350	350
1201 Appropriation [Special Account Interest]	61	44	44
1203 Appropriation (previously unavailable)(special or trust)	3	3
1232 Appropriations temporarily reduced - Sequester	-3	-3
1260 Appropriations, mandatory (total)	435	394	394
Spending authority from offsetting collections, discretionary:			
1700 Collected	7	66	66
Spending authority from offsetting collections, mandatory:			
1800 Collected	88
1900 Budget authority (total)	1,690	1,645	1,533
1930 Total budgetary resources available	5,102	5,451	5,665
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,606	3,932	4,292
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,507	1,441	1,141
3010 New obligations, unexpired accounts	1,496	1,519	1,373
3020 Outlays (gross)	-1,473	-1,619	-1,597
3040 Recoveries of prior year unpaid obligations, unexpired	-89	-200	-200
3050 Unpaid obligations, end of year	1,441	1,141	717
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-9	-9
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,498	1,432	1,132
3200 Obligated balance, end of year	1,432	1,132	708
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,167	1,251	1,139
Outlays, gross:			
4010 Outlays from new discretionary authority	537	587	554
4011 Outlays from discretionary balances	618	677	685
4020 Outlays, gross (total)	1,155	1,264	1,239
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-16	-16
4033 Non-Federal sources	-21	-50	-50
4040 Offsets against gross budget authority and outlays (total)	-22	-66	-66
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	15
4060 Additional offsets against budget authority only (total)	15
4070 Budget authority, net (discretionary)	1,160	1,185	1,073
4080 Outlays, net (discretionary)	1,133	1,198	1,173
Mandatory:			
4090 Budget authority, gross	523	394	394
Outlays, gross:			
4100 Outlays from new mandatory authority	61	118	118
4101 Outlays from mandatory balances	257	237	240
4110 Outlays, gross (total)	318	355	358
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-7

4123 Non-Federal sources	-81
4130 Offsets against gross budget authority and outlays (total)	-88
4160 Budget authority, net (mandatory)	435	394	394
4170 Outlays, net (mandatory)	230	355	358
4180 Budget authority, net (total)	1,595	1,579	1,467
4190 Outlays, net (total)	1,363	1,553	1,531

Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	4,918	5,251	5,435
5001 Total investments, EOY: Federal securities: Par value	5,251	5,435	5,625

This appropriation provides funds for the implementation of the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (CERCLA). This appropriation supports core Environmental Protection Agency (EPA) programs.

To preserve and restore land and to protect human health and the environment, EPA reduces the risks posed by releases of hazardous substances, pollutants, and contaminants, and protects against unacceptable exposure by cleaning up contaminated sites and restoring ground water to beneficial use. EPA applies the most effective and scientifically sound methods to address the risks associated with the presence of hazardous substances, pollutants, and contaminants, improve response capabilities, and maximize the effectiveness of response and cleanup actions. Cleanup and response activity at contaminated sites addresses environmental concerns, such as the removal of contaminated soil and treatment of contaminated groundwater, to reduce human exposures to hazardous substances, pollutants, and contaminants, and to provide long-term human health protection. EPA works to ensure that all releases of hazardous substances, pollutants, and contaminants into the environment are appropriately addressed by responding to incidents and providing technical support. To prepare for and respond to incidents of national significance, EPA includes among its efforts improving decontamination readiness. EPA conducts research to improve methods and models and to accelerate scientifically defensible and cost-effective decisions for cleanup at complex contaminated sites in accordance with CERCLA. EPA also works to maximize responsible parties' participation in site cleanups and pursue greater recovery of EPA's cleanup costs.

EPA protects communities and helps return contaminated properties to productive use by ensuring that responsible parties pay for and/or conduct cleanups. The enforcement program recovers Federal cleanup funds from responsible parties to save taxpayer dollars. The goal is to maximize the participation of liable and viable parties in performing and paying for cleanups in both the remedial and removal programs. EPA investigates and refers for prosecution criminal and civil violations of CERCLA.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The offices and the functions they perform within the Superfund appropriation are: the Office of Mission Support (facilities infrastructure and operations; acquisition management; human resources management services; grant and interagency agreement management; suspension and debarment; exchange network; information security; and information technology/data management); the Office of Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, and financial management, analysis, and accountability); and the Office of General Counsel (legal advice). Because these centralized services provide support across EPA, the internal operations programs are funded across EPA's appropriations.

Status of Funds (in millions of dollars)

Identification code 068-8145-0-7-304	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	4,999	5,260	5,294
0999 Total balance, start of year	4,999	5,260	5,294
Cash income during the year:			
Current law:			
Receipts:			
1110 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund	3	2	2
1130 Hazardous Substance Superfund	21	50	50

1130	Hazardous Substance Superfund	81		
1130	Recoveries, Hazardous Substance Superfund	73	84	84
1130	Future Clean Up Cost Settlements, Hazardous Substance Superfund Trust Fund	372	350	350
1150	Interest and Profits on Investments, Hazardous Substance Superfund	61	47	48
1150	Interest and Profits on Investments, Hazardous Substance Superfund	33	28	28
1160	Hazardous Substance Superfund	1	16	16
1160	Hazardous Substance Superfund	7		
1160	Interfund Transactions, Hazardous Substance Superfund	1,084	1,076	952
1199	Income under present law	1,736	1,653	1,530
1999	Total cash income	1,736	1,653	1,530
Cash outgo during year:				
Current law:				
2100	Hazardous Substance Superfund [Budget Acct]	-1,473	-1,619	-1,597
2199	Outgo under current law	-1,473	-1,619	-1,597
2999	Total cash outgo (-)	-1,473	-1,619	-1,597
Surplus or deficit:				
3110	Excluding interest	169	-41	-143
3120	Interest	94	75	76
3199	Subtotal, surplus or deficit	263	34	-67
3220	Hazardous Substance Superfund			-6
3298	Adjustment to reconcile to proprietary accounting	-2		
3299	Total adjustments	-2		-6
3999	Total change in fund balance	261	34	-73
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	9	-141	-404
4200	Hazardous Substance Superfund	5,251	5,435	5,625
4999	Total balance, end of year	5,260	5,294	5,221

Object Classification (in millions of dollars)

Identification code 068-8145-0-7-304				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	280	285	251
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	8	8	7
11.9	Total personnel compensation	292	297	262
12.1	Civilian personnel benefits	95	97	85
13.0	Benefits for former personnel	1	1	1
21.0	Travel and transportation of persons	11	11	10
23.1	Rental payments to GSA	43	44	39
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	44	45	40
25.2	Other services from non-Federal sources	473	483	425
25.3	Other goods and services	169	172	152
25.4	Operation and maintenance of facilities	6	6	5
25.7	Operation and maintenance of equipment	9	9	8
26.0	Supplies and materials	4	4	4
31.0	Equipment	8	8	7
41.0	Grants, subsidies, and contributions	51	52	45
99.0	Direct obligations	1,210	1,233	1,087
99.0	Reimbursable obligations	286	286	286
99.9	Total new obligations, unexpired accounts	1,496	1,519	1,373

Employment Summary

Identification code 068-8145-0-7-304				
1001	Direct civilian full-time equivalent employment	2,354	2,354	2,449
1101	Direct military average strength employment	6	6	6
2001	Reimbursable civilian full-time equivalent employment	76	76	88

LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM

For necessary expenses to carry out leaking underground storage tank cleanup activities authorized by subtitle I of the Solid Waste Disposal Act, **[\$91,941,000]** \$48,218,000, to remain available until expended, of which **[\$66,572,000]** \$48,218,000 shall be for carrying out leaking underground storage tank cleanup activities authorized by section 9003(h) of the Solid Waste Disposal Act;

\$25,369,000 shall be for carrying out the other provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code]: *Provided*, That the Administrator is authorized to use appropriations made available under this heading to implement section 9013 of the Solid Waste Disposal Act to provide financial assistance to federally recognized Indian tribes for the development and implementation of programs to manage underground storage tanks. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 068-8153-0-7-999				
0100	Balance, start of year	545	694	832
Receipts:				
Current law:				
1110	Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund	226	214	214
1140	Earnings on Investments, Leaking Underground Storage Tank Trust Fund	16	16	17
1199	Total current law receipts	242	230	231
1999	Total receipts	242	230	231
2000	Total: Balances and receipts	787	924	1,063
Appropriations:				
Current law:				
2101	Leaking Underground Storage Tank Trust Fund	-93	-92	-48
5099	Balance, end of year	694	832	1,015

Program and Financing (in millions of dollars)

Identification code 068-8153-0-7-999				
Obligations by program activity:				
0001	Core Mission	96	90	49
0003	Rule of Law and Process	2	2	1
0900	Total new obligations, unexpired accounts	98	92	50

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	10	6	9
1021	Recoveries of prior year unpaid obligations	1	3	3
1050	Unobligated balance (total)	11	9	12
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	93	92	48
1900	Budget authority (total)	93	92	48
1930	Total budgetary resources available	104	101	60
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	6	9	10

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	88	95	96
3010	New obligations, unexpired accounts	98	92	50
3020	Outlays (gross)	-90	-88	-79
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-3	-3
3050	Unpaid obligations, end of year	95	96	64
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	88	95	96
3200	Obligated balance, end of year	95	96	64

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	93	92	48
Outlays, gross:				
4010	Outlays from new discretionary authority	20	29	17
4011	Outlays from discretionary balances	70	59	62
4020	Outlays, gross (total)	90	88	79
4180	Budget authority, net (total)	93	92	48
4190	Outlays, net (total)	90	88	79

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	620	773	811
5001	Total investments, EOY: Federal securities: Par value	773	811	852

The Leaking Underground Storage Tank (LUST) Trust Fund, authorized by the Superfund Amendments and Reauthorization Act of 1986, as amended by the Omnibus Budget Reconciliation Act of 1990, the Taxpayer

LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM—Continued
Relief Act of 1997, the Energy Policy Act (EPAct) of 2005, the Moving Ahead for Progress in the 21st Century Act (MAP-21), and the Fixing America's Surface Transportation Act (FAST Act), provides funds for preventing and responding to releases from underground storage tanks. The Trust Fund is financed by a 0.1 cent per gallon tax on motor fuels through September 30, 2022.

LUST funds are allocated to States through cooperative agreements to clean up sites posing the greatest threat to human health and the environment as authorized under section 9003(h) of the Solid Waste Disposal Act of 1965, as amended, and also to implement the activities authorized by Title XV, Subtitle B of EPAct. Funds also are used for grants to non-state entities under section 8001 of the Resource Conservation and Recovery Act of 1976, as amended. Federally recognized Tribes receive grant funding under Public Law 105-276. The Environmental Protection Agency (EPA) supports oversight, clean-up, and enforcement programs which are implemented by the States. LUST Trust Fund dollars can be used for state-led clean-ups and for State oversight of responsible party clean-ups. The LUST program promotes effective responses to releases from federally regulated underground storage tanks containing petroleum by enhancing State, local, and tribal enforcement and response capability. This appropriation supports core agency programs.

To protect the Nation's groundwater and drinking water from petroleum releases from Underground Storage Tanks (UST), EPA provides compliance assistance tools, technical assistance and training to promote and enforce UST systems compliance and clean-ups. EPA also focuses its LUST research efforts on assessing sites and evaluating the implications of alternative remediation technologies, policies, and management actions to assess and cleanup leaks at fueling stations.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The offices and the functions they perform are: Office of Mission Support (facilities infrastructure and operations, and acquisition management); and the Office of Chief Financial Officer (strategic planning; annual planning and budgeting; financial services; and financial management, analysis, and accountability).

Status of Funds (in millions of dollars)

Identification code 068-8153-0-7-999	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	644	795	937
0298 Adjustment to reconcile to proprietary accounting	-1		
0999 Total balance, start of year	643	795	937
Cash income during the year:			
Current law:			
Receipts:			
1110 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund	226	214	214
1150 Earnings on Investments, Leaking Underground Storage Tank Trust Fund	16	16	17
1199 Income under present law	242	230	231
1999 Total cash income	242	230	231
Cash outgo during year:			
Current law:			
2100 Leaking Underground Storage Tank Trust Fund [Budget Acct]	-90	-88	-79
2199 Outgo under current law	-90	-88	-79
2999 Total cash outgo (-)	-90	-88	-79
Surplus or deficit:			
3110 Excluding interest	136	126	135
3120 Interest	16	16	17
3199 Subtotal, surplus or deficit	152	142	152
3999 Total change in fund balance	152	142	152
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	22	126	237
4200 Leaking Underground Storage Tank Trust Fund	773	811	852
4999 Total balance, end of year	795	937	1,089

Object Classification (in millions of dollars)

Identification code 068-8153-0-7-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	3
12.1 Civilian personnel benefits	2	2	1
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	2	1
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	86	80	42
99.9 Total new obligations, unexpired accounts	98	92	50

Employment Summary

Identification code 068-8153-0-7-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	41	41	41

INLAND OIL SPILL PROGRAMS

For expenses necessary to carry out the Environmental Protection Agency's responsibilities under the Oil Pollution Act of 1990, including hire, maintenance, and operation of aircraft, **[\$19,581,000] \$16,631,000**, to be derived from the Oil Spill Liability trust fund, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 068-8221-0-7-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Core Mission	14	15	14
0003 Rule of Law and Process	4	3	4
0100 Direct Program	18	18	18
0799 Total direct obligations	18	18	18
0801 Inland Oil Spill Programs (Reimbursable)	11	11	11
0900 Total new obligations, unexpired accounts	29	29	29
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	50	57	62
1021 Recoveries of prior year unpaid obligations	3	4	3
1050 Unobligated balance (total)	53	61	65
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	18	19	17
Spending authority from offsetting collections, discretionary:			
1700 Collected [Offsetting Collections]	12	11	11
1700 Collected [Oil Compliance Assistance Fee]			10
1701 Change in uncollected payments, Federal sources	3		
1750 Spending auth from offsetting collections, disc (total)	15	11	21
1900 Budget authority (total)	33	30	38
1930 Total budgetary resources available	86	91	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	57	62	74
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	17	13
3010 New obligations, unexpired accounts	29	29	29
3020 Outlays (gross)	-26	-29	-39
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-4	-3
3050 Unpaid obligations, end of year	17	13	
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-59	-62	-62
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3090 Uncollected pymts, Fed sources, end of year	-62	-62	-62
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-42	-45	-49
3200 Obligated balance, end of year	-45	-49	-62
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	33	30	38

Outlays, gross:				
4010	Outlays from new discretionary authority	19	20	29
4011	Outlays from discretionary balances	7	9	10
4020	Outlays, gross (total)	26	29	39
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-12	-11	-11
4033	Non-Federal sources:			-10
4040	Offsets against gross budget authority and outlays (total)	-12	-11	-21
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-3		
4070	Budget authority, net (discretionary)	18	19	17
4080	Outlays, net (discretionary)	14	18	18
4180	Budget authority, net (total)	18	19	17
4190	Outlays, net (total)	14	18	18

This appropriation provides for the Environmental Protection Agency's (EPA) responsibilities for prevention, preparedness, response, and enforcement activities authorized under the Federal Water Pollution Control Act, as amended by the Oil Pollution Act of 1990 (OPA). This appropriation supports core Agency programs.

EPA's Oil Spill program protects U.S. waters by preventing, preparing for, responding to, and monitoring oil discharges. Under the regulatory framework established by the Spill Prevention, Control, and Countermeasure (SPCC) and Federal Response Plan (FRP) regulations, EPA conducts oil spill prevention, preparedness, inspection, and enforcement activities associated with more than 600,000 non-transportation-related oil storage facilities. The National Oil and Hazardous Substances Pollution Contingency Plan (NCP) identifies EPA's jurisdiction over inland oil spills and sets forth the framework for response. EPA accesses the Oil Spill Liability Trust Fund, administered by the U.S. Coast Guard, to obtain reimbursement for site-specific spill response activities. More than 30,000 oil and hazardous substance discharges occur in the United States every year, with a significant portion of these discharges occurring in the inland zone over which EPA has jurisdiction.

EPA develops and manages the regulations and protocols under Subpart J of the NCP which require manufacturers of various oil spill response products to test their products prior to listing on a Product Schedule. The Product Schedule identifies those oil spill remediation agents, such as dispersants and surface washing agents, which could be authorized for use by an On-Scene Coordinator (OSC) on an oil discharge. Product testing ensures their effectiveness and provides toxicity information used by OSCs and Regional Response Teams in making informed decisions regarding the use of certain products in response to specific spills. EPA focuses its oil spill research efforts on human health impacts, ecological effects, and shoreline and coastal impacts from oil discharges and use of dispersants and other chemical agents, as well as spill remediation alternatives and innovative technology development and evaluation, including green technologies. Spill response is a priority for the Agency, and EPA has been instrumental in providing guidance for various response technologies. A key factor in providing guidance on spill response technologies is developing a firm understanding of the science behind spill behavior in the environment.

Appropriated funds for the Inland Oil Spill Programs support work designed to prevent oil spills using civil enforcement and compliance assistance approaches, as well as to prepare for and respond to any oil discharges affecting the inland waters of the United States. Pursuant to Clean Water Act section 311 (Oil Spill and Hazardous Substances Liability) requirements, EPA's Civil Enforcement program develops policies; issues administrative clean-up and removal orders and orders protecting public health; pursues administrative remedies and/or refers civil judicial actions to the Department of Justice; assesses civil penalties for discharges into the environment or violations of administrative orders or oil pollution prevention regulations; assists regulated entities in understanding their legal requirements under the Clean Water Act; and assists in the recovery of clean-up costs expended by the Government. The Budget proposes to institute a voluntary fee whereby owners and/or operators of oil facilities can choose to pay EPA for on-site compliance assistance with SPCC and FRP regula-

tions. The collected fees will be deposited in the Inland Oil Spill Programs Account and used by EPA to pay for the service.

EPA's internal operations programs provide centralized management services to ensure that EPA is fulfilling its mission. The office and function is Office of Mission Support (facilities infrastructure and operations).

Object Classification (in millions of dollars)

Identification code 068-8221-0-7-304		2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	9	9	9
11.9	Total personnel compensation	9	9	9
12.1	Civilian personnel benefits	3	3	3
21.0	Travel and transportation of persons	1	1	1
25.1	Advisory and assistance services	2	2	2
25.2	Other services from non-Federal sources	2	2	2
25.5	Research and development contracts	1	1	1
99.0	Direct obligations	18	18	18
99.0	Reimbursable obligations	11	11	11
99.9	Total new obligations, unexpired accounts	29	29	29

Employment Summary

Identification code 068-8221-0-7-304		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	75	75	76
2001	Reimbursable civilian full-time equivalent employment	4	4	4

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:				
068-143500	General Fund Proprietary Interest Receipts, not Otherwise Classified	3	4	4
068-322000	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	9	2	2
068-322900	Cellulosic Biofuel Waiver Credits, Renewal Fuel Program	2	20	20
General Fund Offsetting receipts from the public		14	26	26

ADMINISTRATIVE PROVISIONS—ENVIRONMENTAL PROTECTION AGENCY

(INCLUDING TRANSFERS AND CANCELLATIONS OF FUNDS)

For fiscal year [2020] 2021, notwithstanding 31 U.S.C. 6303(1) and 6305(1), the Administrator of the Environmental Protection Agency, in carrying out the Agency's function to implement directly Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, may award cooperative agreements to federally recognized Indian tribes or Intertribal consortia, if authorized by their member tribes, to assist the Administrator in implementing Federal environmental programs for Indian tribes required or authorized by law, except that no such cooperative agreements may be awarded from funds designated for State financial assistance agreements.

The Administrator of the Environmental Protection Agency is authorized to collect and obligate pesticide registration service fees in accordance with section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 116-8, the Pesticide Registration Improvement Extension Act of 2018 (7 U.S.C. 136w-8), to remain available until expended.

Notwithstanding section 33(d)(2) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) (7 U.S.C. 136w-8(d)(2)), the Administrator of the Environmental Protection Agency may assess fees under section 33 of FIFRA (7 U.S.C. 136w-8) for fiscal year [2020] 2021.

Notwithstanding any other provision of law, in addition to the activities specified in section 33 of FIFRA (7 U.S.C. 136w-8), fees collected in this and prior fiscal years under such section shall be available for the following activities as they relate to pesticide licensing: processing and review of data submitted in association with a registration, information submitted pursuant to section 6(a)(2) of FIFRA, supplemental distributor labels, transfers of registrations and data compensation rights,

additional uses registered by States under section 24(c) of FIFRA, data compensation petitions, review of minor amendments, and notifications; laboratory support and audits; administrative support; development of policy and guidance; rulemaking support; information collection activities; and the portions of salaries related to work in these areas.

The Administrator is authorized to transfer up to \$320,000,000 of the funds appropriated for the Great Lakes Restoration Initiative under the heading "Environmental Programs and Management" to the head of any Federal department or agency, with the concurrence of such head, to carry out activities that would support the Great Lakes Restoration Initiative and Great Lakes Water Quality Agreement programs, projects, or activities; to enter into an interagency agreement with the head of such Federal department or agency to carry out these activities; and to make grants to governmental entities, nonprofit organizations, institutions, and individuals for planning, research, monitoring, outreach, and implementation in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement: *Provided, That EPA's share of the costs of financial assistance funded from the Great Lakes Restoration Initiative shall not exceed 90 percent: Provided further, That the Administrator may waive such cost share requirement in the cases of financial hardship.*

The Science and Technology, Environmental Programs and Management, Office of Inspector General, Hazardous Substance Superfund, and Leaking Underground Storage Tank Trust Fund Program Accounts, are available for the construction, alteration, repair, rehabilitation, and renovation of facilities, provided that the cost does not exceed \$150,000 per project.

For fiscal year [2020] 2021, and notwithstanding section 518(f) of the Federal Water Pollution Control Act (33 U.S.C. 1377(f)), the Administrator is authorized to use the amounts appropriated for any fiscal year under section 319 of the Act to make grants to Indian tribes pursuant to sections 319(h) and 518(e) of that Act.

[The Administrator is authorized to use the amounts appropriated under the heading "Environmental Programs and Management" for fiscal year 2020 to provide grants to implement the Southeastern New England Watershed Restoration Program.]

[Notwithstanding the limitations on amounts in section 320(i)(2)(B) of the Federal Water Pollution Control Act, not less than \$1,350,000 of the funds made available under this title for the National Estuary Program shall be for making competitive awards described in section 320(g)(4).]

[The fourth paragraph under heading "Administrative Provisions" in title II of Public Law 109–54 is amended by striking "2020" and inserting "2025".]

The Administrator of the Environmental Protection Agency is authorized to collect and obligate fees in accordance with section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g) for fiscal year 2021, to remain available until expended.

The Administrator of the Environmental Protection Agency is authorized to collect and obligate fees in accordance with section 26(b) of the Toxic Substances Control Act (15 U.S.C. 2625(b)) for fiscal year 2021, to remain available until expended.

Section 324A of the Energy Policy and Conservation Act (42 U.S.C. 6294a) is amended by inserting the following after subsection (d):

"(e) USER FEES.—

"(1) IN GENERAL.—In accordance with subsection (a), the Administrator may prescribe by regulation, for application in fiscal year 2021 and in subsequent fiscal years, reasonable fees as the Administrator determines to be necessary to defray costs incurred for entities that participate in the ENERGY STAR program. The regulation will ensure that the fee imposed on each entity is sufficient and not more than reasonably necessary to cover a proportional share of ENERGY STAR program costs incurred in operating and maintaining the ENERGY STAR program, including collection and processing fees. The Administrator shall amend this regulation periodically so as to ensure that the schedule of fees covers such program costs.

"(2) COLLECTION OF FEES—The Administrator shall prescribe procedures to collect the fees.

"(3) AVAILABILITY OF FEES.—Such fees shall be collected and available for ENERGY STAR program administration functions performed by the Agency in an amount and to the extent provided in advance in appropriations Acts."

The Administrator may collect fees to provide compliance assistance services for owners and operators of a non-transportation related onshore or offshore facility located landward of the coastline required to prepare and submit Spill Prevention Control and Countermeasure Plans or Facility Response Plans under section 311(j) of the Federal Water Pollution Control Act (33 U.S.C. 1321(j)): Provided, That fees collected for compliance assistance services pursuant to the authority provided in this paragraph by the Administrator in fiscal year 2021 shall be deposited in the Inland Oil Spill Programs account and shall remain available until expended for the expenses of providing compliance assistance services: Provided further, That the amount of such fees shall be based on the amount of compliance assistance

services provided by the agency: Provided further, That the owner or operator of a non-transportation related onshore or offshore facility located landward of the coastline required to prepare and submit a Spill Prevention Control and Countermeasure Plan or a Facility Response Plan under section 311(j) of the Federal Water Pollution Control Act (33 U.S.C. 1321(j)) may request that the Administrator conduct an on-site walk-through of the facility to assist the owner or operator in complying with such section: Provided further, That the walk-through shall be conducted within one year of an accepted request: Provided further, That the Administrator may establish procedures for making and accepting such a request: Provided further, That observations, findings, conclusions, and recommendations made by the Administrator when conducting an on-site walk-through, including any report after an on-site walk-through, shall not in any private action or suit for damages or bodily injury, or in any action under section 505 of the Federal Water Pollution Control Act (33 U.S.C. 1365), be used or admitted as evidence: Provided further, That the Administrator may, by guidance, establish policies for the use of such evidence in actions under the Act.

The Administrator may collect fees to provide compliance assistance services for owners or operators of a stationary source required to prepare and submit a Risk Management Plan under section 112(r)(7) of the Clean Air Act (42 U.S.C. 7412(r)(7)): Provided, That fees collected for compliance assistance services pursuant to the authority provided in this paragraph by the Administrator shall be deposited in the Environmental Programs and Management account and shall remain available until September 30, 2022, for the expenses of providing compliance assistance services: Provided further, That the amount of such fees shall be based on the amount of compliance assistance services provided by the agency: Provided further, That the owner or operator of a stationary source required to prepare and submit, or that has prepared and submitted, a Risk Management Plan under section 112(r)(7) of the Clean Air Act (42 U.S.C. 7412(r)(7)) may request that the Administrator conduct an on-site walk-through of the stationary source to assist the owner or operator in complying with such section: Provided further, That the walk-through shall be conducted within one year of an accepted request: Provided further, That the Administrator may establish procedures for making and accepting such a request: Provided further, That the observations, findings, conclusions, and recommendations made by the Administrator when conducting an on-site walk-through, including any report after an on-site walk-through, shall not in any private action or suit for damages or bodily injury, or in any action under section 304 of the Clean Air Act (42 U.S.C. 7604), be used or admitted as evidence: Provided further, That the Administrator may, by guidance, establish policies for the use of such evidence in actions under the Act.

The Office of Chemical Safety and Pollution Prevention and the Office of Water may, using funds appropriated under the headings "Environmental Programs and Management" and "Science and Technology", contract directly with individuals or indirectly with institutions or nonprofit organizations, without regard to 41 U.S.C. 5, for the temporary or intermittent personal services of students or recent graduates, who shall be considered employees for the purposes of chapters 57 and 81 of title 5, United States Code, relating to compensation for travel and work injuries, and chapter 171 of title 28, United States Code, relating to tort claims, but shall not be considered to be Federal employees for any other purpose: Provided, That amounts used for this purpose by the Office of Chemical Safety and Pollution Prevention and the Office of Water collectively may not exceed \$2,000,000.

Of the unobligated balances available for the "Environmental Programs and Management" account, \$18,379,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Of the unobligated balances available for the "Science and Technology" account, \$6,865,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Of the unobligated balances available for the "State and Tribal Assistance Grants" account, \$127,668,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

Of the unobligated balances available for the "Hazardous Substances Superfund" account, \$6,145,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

EXECUTIVE OFFICE OF THE PRESIDENT

THE WHITE HOUSE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the White House as authorized by law, including not to exceed \$3,850,000 for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105; subsistence expenses as authorized by 3 U.S.C. 105, which shall be expended and accounted for as provided in that section; hire of passenger motor vehicles, and travel (not to exceed \$100,000 to be expended and accounted for as provided by 3 U.S.C. 103); and not to exceed \$19,000 [for official reception and representation expenses] pursuant to 3 U.S.C. 105(d)(4), to be available for allocation within the Executive Office of the President; and for necessary expenses of the Office of Policy Development, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, **[\$55,000,000] \$57,000,000.** (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0209-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	55	55	57
0801 The White House (Reimbursable)	4	3	3
0900 Total new obligations, unexpired accounts	59	58	60
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	55	55	57
1700 Spending authority from offsetting collections, discretionary: Collected	4	3	3
1900 Budget authority (total)	59	58	60
1930 Total budgetary resources available	59	58	60
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	13	4
3010 New obligations, unexpired accounts	59	58	60
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-56	-67	-60
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	13	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	13	4
3200 Obligated balance, end of year	13	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	59	58	60
Outlays, gross:			
4010 Outlays from new discretionary authority	50	55	57
4011 Outlays from discretionary balances	6	12	3
4020 Outlays, gross (total)	56	67	60
Offsetting against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-3	-3
4180 Budget authority, net (total)	55	55	57
4190 Outlays, net (total)	52	64	57

These funds provide the President with staff assistance and provide administrative services for the direct support of the President, to include support for the offices and councils in the White House as directed by the President.

Object Classification (in millions of dollars)

Identification code 011-0209-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	36	39	39
12.1 Civilian personnel benefits	11	11	12
21.0 Travel and transportation of persons	1	2	2
23.3 Communications, utilities, and miscellaneous charges	1
24.0 Printing and reproduction	1	1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1

31.0	Equipment	1
32.0	Land and structures	3
99.0	Direct obligations	55	54	55
99.0	Reimbursable obligations	4	3	3
99.5	Adjustment for rounding	1	2
99.9	Total new obligations, unexpired accounts	59	58	60

Employment Summary

Identification code 011-0209-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	365	375	492

EXECUTIVE RESIDENCE AT THE WHITE HOUSE

Federal Funds

OPERATING EXPENSES

For necessary expenses of the Executive Residence at the White House, **[\$13,081,000] \$13,641,000**, to be expended and accounted for as provided by 3 U.S.C. 105, 109, 110, and 112-114.

REIMBURSABLE EXPENSES

For the reimbursable expenses of the Executive Residence at the White House, such sums as may be necessary: *Provided*, That all reimbursable operating expenses of the Executive Residence shall be made in accordance with the provisions of this paragraph: *Provided further*, That, notwithstanding any other provision of law, such amount for reimbursable operating expenses shall be the exclusive authority of the Executive Residence to incur obligations and to receive offsetting collections, for such expenses: *Provided further*, That the Executive Residence shall require each person sponsoring a reimbursable political event to pay in advance an amount equal to the estimated cost of the event, and all such advance payments shall be credited to this account and remain available until expended: *Provided further*, That the Executive Residence shall require the national committee of the political party of the President to maintain on deposit \$25,000, to be separately accounted for and available for expenses relating to reimbursable political events sponsored by such committee during such fiscal year: *Provided further*, That the Executive Residence shall ensure that a written notice of any amount owed for a reimbursable operating expense under this paragraph is submitted to the person owing such amount within 60 days after such expense is incurred, and that such amount is collected within 30 days after the submission of such notice: *Provided further*, That the Executive Residence shall charge interest and assess penalties and other charges on any such amount that is not reimbursed within such 30 days, in accordance with the interest and penalty provisions applicable to an outstanding debt on a United States Government claim under 31 U.S.C. 3717: *Provided further*, That each such amount that is reimbursed, and any accompanying interest and charges, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That the Executive Residence shall prepare and submit to the Committees on Appropriations, by not later than 90 days after the end of the fiscal year covered by this Act, a report setting forth the reimbursable operating expenses of the Executive Residence during the preceding fiscal year, including the total amount of such expenses, the amount of such total that consists of reimbursable official and ceremonial events, the amount of such total that consists of reimbursable political events, and the portion of each such amount that has been reimbursed as of the date of the report: *Provided further*, That the Executive Residence shall maintain a system for the tracking of expenses related to reimbursable events within the Executive Residence that includes a standard for the classification of any such expense as political or nonpolitical: *Provided further*, That no provision of this paragraph may be construed to exempt the Executive Residence from any other applicable requirement of subchapter I or II of chapter 37 of title 31, United States Code. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0210-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Expenses (Direct)	13	13	14
0831 Operating Expenses (Reimbursable)	3	5	5
0900 Total new obligations, unexpired accounts	16	18	19

OPERATING EXPENSES—Continued
Program and Financing—Continued

Identification code 011-0210-0-1-802	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13	13	14
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	5	5
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	5	5	5
1900 Budget authority (total)	18	18	19
1930 Total budgetary resources available	18	19	20
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	4
3010 New obligations, unexpired accounts	16	18	19
3020 Outlays (gross)	-15	-17	-18
3050 Unpaid obligations, end of year	3	4	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		-1	
3200 Obligated balance, end of year	-1		1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	18	18	19
Outlays, gross:			
4010 Outlays from new discretionary authority	13	14	15
4011 Outlays from discretionary balances	2	3	3
4020 Outlays, gross (total)	15	17	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3
4033 Non-Federal sources		-2	-2
4040 Offsets against gross budget authority and outlays (total) ...	-3	-5	-5
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4060 Additional offsets against budget authority only (total)	-2		
4070 Budget authority, net (discretionary)	13	13	14
4080 Outlays, net (discretionary)	12	12	13
4180 Budget authority, net (total)	13	13	14
4190 Outlays, net (total)	12	12	13

These funds provide for the care, maintenance, and operation of the Executive Residence.

Object Classification (in millions of dollars)

Identification code 011-0210-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	8	8
12.1 Civilian personnel benefits	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1		1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	13	13	14
99.0 Reimbursable obligations	3	5	5
99.9 Total new obligations, unexpired accounts	16	18	19

Employment Summary

Identification code 011-0210-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	77	96	96

WHITE HOUSE REPAIR AND RESTORATION

For the repair, alteration, and improvement of the Executive Residence at the White House pursuant to 3 U.S.C. 105(d), **[\$750,000]** \$2,500,000, to remain available until expended, for required maintenance, resolution of safety and health issues, and continued preventative maintenance. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0109-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 White House Repair and Restoration (Direct)	2	1	3
0900 Total new obligations, unexpired accounts	2	1	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	3
1930 Total budgetary resources available	7	6	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2	1	3
3020 Outlays (gross)	-2	-1	-3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	3
Outlays, gross:			
4010 Outlays from new discretionary authority		1	3
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	2	1	3
4180 Budget authority, net (total)	1	1	3
4190 Outlays, net (total)	2	1	3

These funds provide for the repair, alteration, and improvement of the Executive Residence at the White House.

Object Classification (in millions of dollars)

Identification code 011-0109-0-1-802	2019 actual	2020 est.	2021 est.
25.2 Direct obligations: Other services from non-Federal sources	1	1	3
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	2	1	3

SPECIAL ASSISTANCE TO THE PRESIDENT AND THE
OFFICIAL RESIDENCE OF THE VICE PRESIDENT

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to enable the Vice President to provide assistance to the President in connection with specially assigned functions; services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 106, including subsistence expenses as authorized by 3 U.S.C. 106, which shall be expended and accounted for as provided in that section; and hire of passenger motor vehicles, **[\$4,288,000]** \$4,698,000.

OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For the care, operation, refurbishing, improvement, and to the extent not otherwise provided for, heating and lighting, including electric power and fixtures, of the official residence of the Vice President; the hire of passenger motor vehicles; and not to exceed \$90,000 pursuant to 3 U.S.C. 106(b)(2), \$302,000: *Provided*, That advances, repayments, or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-1454-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Special Assistance to the President and the Official Residence			
O (Direct)	5	5	5
0801 Special Assistance to the President and the Official Residence			
O (Reimbursable)		1	1
0900 Total new obligations, unexpired accounts	5	6	6
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	5
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1900 Budget authority (total)	5	6	6
1930 Total budgetary resources available	5	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	5	6	6
3020 Outlays (gross)	-5	-6	-6
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	6	6
Outlays, gross:			
4010 Outlays from new discretionary authority	4	6	6
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	5	6	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	5	5	5

These funds are used by the Vice President to carry out responsibilities assigned to the Vice President by the President and by various statutes. These funds also provide for the care and operation of the Vice President's official residence.

Object Classification (in millions of dollars)

Identification code 011-1454-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
99.0 Direct obligations	4	4	4
99.0 Reimbursable obligations		1	1
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	5	6	6

Employment Summary

Identification code 011-1454-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19	25	25

COUNCIL OF ECONOMIC ADVISERS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Council of Economic Advisers in carrying out its functions under the Employment Act of 1946 (15 U.S.C. 1021 et seq.), \$4,000,000. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-1900-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	4	4	4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1930 Total budgetary resources available	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-4	-4
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	4	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	4	4	4

The Council of Economic Advisers analyzes the national economy and its various segments, advises the President on economic developments, recommends policies for economic growth and stability, appraises economic programs and policies of the Federal Government, and assists in preparation of the annual Economic Report of the President to the Congress.

Object Classification (in millions of dollars)

Identification code 011-1900-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
99.0 Direct obligations	4	4	4
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 011-1900-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	24	28	28

COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY

Federal Funds

COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY

For necessary expenses to continue functions assigned to the Council on Environmental Quality and Office of Environmental Quality pursuant to the National Environmental Policy Act of 1969, the Environmental Quality Improvement Act of 1970, and Reorganization Plan No. 1 of 1977, and not to exceed \$750 for official reception and representation expenses, **[\$2,994,000] \$3,500,000: Provided,** That notwithstanding section 202 of the National Environmental Policy Act of 1970, the Council shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-1453-0-1-802	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 Council on Environmental Quality and Office of Environmental Quality	3	3	4
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Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	4
1930 Total budgetary resources available	3	3	4

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	3	3	4
3020 Outlays (gross)	-3	-3	-3
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4180 Budget authority, net (total)	3	3	4
4190 Outlays, net (total)	3	3	3

This appropriation provides funds for the Council on Environmental Quality and the Office of Environmental Quality to serve as the focal point for environmental policy development within the Administration and conduct compliance oversight activities under the National Environmental Policy Act (NEPA).

Object Classification (in millions of dollars)

Identification code 011-1453-0-1-802	2019 actual	2020 est.	2021 est.
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Direct obligations:

11.1 Personnel compensation: Full-time permanent	2	2	3
12.1 Civilian personnel benefits	1	1	1
99.0 Direct obligations	3	3	4
99.9 Total new obligations, unexpired accounts	3	3	4

Employment Summary

Identification code 011-1453-0-1-802	2019 actual	2020 est.	2021 est.
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1001 Direct civilian full-time equivalent employment	15	24	25
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MANAGEMENT FUND, OFFICE OF ENVIRONMENTAL QUALITY

Program and Financing (in millions of dollars)

Identification code 011-3963-0-4-802	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0801 Management Fund, Office of Environmental Quality (Reimbursable)		1	1
0809 Reimbursable program activities, subtotal		1	1
0900 Total new obligations, unexpired accounts (object class 25.2)		1	1

Budgetary resources:

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1930 Total budgetary resources available		1	1

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-1	-1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Office of Environmental Quality Management Fund finances study contracts that are jointly sponsored by the Office of Environmental Quality and one or more other Federal agencies. The Management Fund also finances Federal interagency environmental projects (including task forces) in which the Office participates.

NATIONAL SECURITY COUNCIL AND HOMELAND SECURITY COUNCIL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Security Council and the Homeland Security Council, including services as authorized by 5 U.S.C. 3109, **[\$11,500,000] \$13,200,000**, of which not to exceed \$5,000 shall be available for official reception and representation expenses. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-2000-0-1-802	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 National Security Council	11	12	13
0801 Salaries and Expenses (Reimbursable)	1	1	1
0900 Total new obligations, unexpired accounts	12	13	14

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	13
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	13	13	14
1930 Total budgetary resources available	13	14	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	3
3010	New obligations, unexpired accounts	12	13
3011	Obligations ("upward adjustments"), expired accounts	1	
3020	Outlays (gross)	-12	-13
3041	Recoveries of prior year unpaid obligations, expired	-1	
3050	Unpaid obligations, end of year	3	3
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3
3070	Change in uncollected pymts, Fed sources, unexpired	-1	
3090	Uncollected pymts, Fed sources, end of year	-3	-3
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	13	13
Outlays, gross:			
4010	Outlays from new discretionary authority	11	12
4011	Outlays from discretionary balances	1	1
4020	Outlays, gross (total)	12	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources		-1
4040	Offsets against gross budget authority and outlays (total)		-1
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-1	
4060	Additional offsets against budget authority only (total)	-1	
4070	Budget authority, net (discretionary)	12	12
4080	Outlays, net (discretionary)	12	12
4180	Budget authority, net (total)	12	12
4190	Outlays, net (total)	12	12

The National Security Council and Homeland Security Council advise the President on the integration of domestic, foreign, and military policies relating to national security.

Object Classification (in millions of dollars)

Identification code 011-2000-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	7	7
12.1	Civilian personnel benefits	2	2
21.0	Travel and transportation of persons	1	1
99.0	Direct obligations	10	10
99.0	Reimbursable obligations	1	1
99.5	Adjustment for rounding	1	2
99.9	Total new obligations, unexpired accounts	12	13

Employment Summary

Identification code 011-2000-0-1-802	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	57	58

OFFICE OF ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Administration, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, and hire of passenger motor vehicles, **[\$94,000,000]** \$100,000,000, of which not to exceed \$12,800,000 shall remain available until expended for continued modernization of information resources within the Executive Office of the President. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0038-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Salaries & Expenses	87	81

0013	Capital Investment Plan	7	13	13
0100	Direct program activities, subtotal	94	94	100
0799	Total direct obligations	94	94	100
0880	Salaries and Expenses (Reimbursable)	6	10	7
0900	Total new obligations, unexpired accounts	100	104	107
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	17	23	23
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	100	94	100
Spending authority from offsetting collections, discretionary:				
1700	Collected	3	10	7
1701	Change in uncollected payments, Federal sources	3		
1750	Spending auth from offsetting collections, disc (total)	6	10	7
1900	Budget authority (total)	106	104	107
1930	Total budgetary resources available	123	127	130
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	23	23	23

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	34	29
3010	New obligations, unexpired accounts	100	104
3011	Obligations ("upward adjustments"), expired accounts	2	
3020	Outlays (gross)	-105	-106
3041	Recoveries of prior year unpaid obligations, expired	-2	
3050	Unpaid obligations, end of year	29	27
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-12	-14
3070	Change in uncollected pymts, Fed sources, unexpired	-3	
3071	Change in uncollected pymts, Fed sources, expired	1	
3090	Uncollected pymts, Fed sources, end of year	-14	-14
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	22	15
3200	Obligated balance, end of year	15	13

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	106	104
Outlays, gross:			
4010	Outlays from new discretionary authority	76	79
4011	Outlays from discretionary balances	29	27
4020	Outlays, gross (total)	105	106
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-3	-10
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-3	
4060	Additional offsets against budget authority only (total)	-3	
4070	Budget authority, net (discretionary)	100	94
4080	Outlays, net (discretionary)	102	96
4180	Budget authority, net (total)	100	94
4190	Outlays, net (total)	102	96

The Office of Administration's mission is to provide a full array of customer-based administrative services to all entities of the Executive Office of the President. These services, defined by Executive Order 12028 of 1977, include financial, personnel, library, information management systems, security and emergency preparedness, and general office administrative services.

Object Classification (in millions of dollars)

Identification code 011-0038-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	28	28
12.1	Civilian personnel benefits	10	10
23.1	Rental payments to GSA	19	19
23.3	Communications, utilities, and miscellaneous charges	1	
25.2	Other services from non-Federal sources	29	30
26.0	Supplies and materials	2	2
31.0	Equipment	3	5
32.0	Land and structures	1	
99.0	Direct obligations	93	94

SALARIES AND EXPENSES—Continued
Object Classification—Continued

Identification code 011-0038-0-1-802	2019 actual	2020 est.	2021 est.
99.0 Reimbursable obligations	6	10	7
99.5 Adjustment for rounding	1		1
99.9 Total new obligations, unexpired accounts	100	104	107

Employment Summary

Identification code 011-0038-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	241	245	245

OFFICE OF MANAGEMENT AND BUDGET

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Management and Budget, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, to carry out the provisions of chapter 35 of title 44, United States Code, and to prepare and submit the budget of the United States Government, in accordance with section 1105(a) of title 31, United States Code, **[\$101,600,000] \$115,740,000**, of which not to exceed \$3,000 shall be available for official representation expenses: *Provided*, That none of the funds appropriated in this Act for the Office of Management and Budget may be used for the purpose of reviewing any agricultural marketing orders or any activities or regulations under the provisions of the Agricultural Marketing Agreement Act of 1937 (7 U.S.C. 601 et seq.): *Provided further*, That none of the funds made available for the Office of Management and Budget by this Act may be expended for the altering of the transcript of actual testimony of witnesses, except for testimony of officials of the Office of Management and Budget, before the Committees on Appropriations or their subcommittees: *Provided further*, That none of the funds made available for the Office of Management and Budget by this Act may be expended for the altering of the annual work plan developed by the Corps of Engineers for submission to the Committees on Appropriations: *Provided further*, That none of the funds provided in this or prior Acts shall be used, directly or indirectly, by the Office of Management and Budget, for evaluating or determining if water resource project or study reports submitted by the Chief of Engineers acting through the Secretary of the Army are in compliance with all applicable laws, regulations, and requirements relevant to the Civil Works water resource planning process: *Provided further*, That the Office of Management and Budget shall have not more than 60 days in which to perform budgetary policy reviews of water resource matters on which the Chief of Engineers has reported: *Provided further*, That the Director of the Office of Management and Budget shall notify the appropriate authorizing and appropriating committees when the 60-day review is initiated: *Provided further*, That if water resource reports have not been transmitted to the appropriate authorizing and appropriating committees within 15 days after the end of the Office of Management and Budget review period based on the notification from the Director, Congress shall assume Office of Management and Budget concurrence with the report and act accordingly. (Executive Office of the President Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-0300-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Security programs	11	11	13
0002 General Government programs	11	11	12
0003 Natural Resource programs	11	11	12
0005 Health programs	8	8	9
0006 Education, Income Maintenance, and Labor programs	6	6	6
0007 Office of Federal Financial Management	4	4	4
0008 Information and Regulatory Affairs	12	12	14
0009 Office of Federal Procurement Policy	4	4	4
0010 OMB-wide Offices	36	35	42
0100 Direct program activities, subtotal	103	102	116
0799 Total direct obligations	103	102	116
0801 Salaries and Expenses (Reimbursable)	3		
0900 Total new obligations, unexpired accounts	106	102	116

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	103	102	116
Spending authority from offsetting collections, discretionary:			
1701 Change in uncollected payments, Federal sources	3		
1900 Budget authority (total)	106	102	116
1930 Total budgetary resources available	106	102	116

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	19	10
3010 New obligations, unexpired accounts	106	102	116
3011 Obligations ("upward adjustments"), expired accounts	3		
3020 Outlays (gross)	-103	-111	-115
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	19	10	11
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1		-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-3		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	16	7
3200 Obligated balance, end of year	16	7	8

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	106	102	116
Outlays, gross:			
4010 Outlays from new discretionary authority	90	93	106
4011 Outlays from discretionary balances	13	18	9
4020 Outlays, gross (total)	103	111	115
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-3		
4060 Additional offsets against budget authority only (total)	-3		
4180 Budget authority, net (total)	103	102	116
4190 Outlays, net (total)	103	111	115

The Office of Management and Budget (OMB) assists the President in the discharge of budgetary, management, and other executive responsibilities.

National Security Programs; General Government Programs; Natural Resource Programs; Health Programs; and Education, Income Maintenance, and Labor Programs.—These offices examine Federal agency programs, budget requests, and management activities, analyze legislation, apportion appropriations, study proposed changes in agency functions, and conduct special studies aimed at establishing goals and objectives that would result in long- and short-range improvements in the agencies' financial, administrative, and operational management.

Financial Management.—The OMB Office of Federal Financial Management develops and provides direction on the implementation of financial management policies and systems. This office also supports the effective and transparent use of Federal financial resources by improving the quality, utility, and transparency of financial information, and protecting against waste, fraud and abuse in the Federal government.

Information and Regulatory Affairs.—The OMB Office of Information and Regulatory Affairs reviews and coordinates agency proposals to implement or revise Federal regulations and information collection requirements. In addition, it analyses, develops, coordinates, and maintains information resources management and statistical policies and practices.

Procurement Policy.—The OMB Office of Federal Procurement Policy provides overall direction of Government-wide procurement policies, regulations, and procedures for executive agencies.

OMB-wide Offices.—These offices provide executive direction and coordination for all OMB activities. This includes the Director's Office; the Deputy Director, the Deputy Director for Management and the Executive Associate Director; Communications; General Counsel; Legislative Affairs; Economic Policy; Management and Operations Division; the Legislative Reference Division; the Budget Review Division; the Performance and Personnel Management Division; and the Office of the Federal Chief Information Officer. In addition, these offices provide overall leadership for

OMB's activities; develop instructions and procedures on a wide range of management, legislative, legal, economic, budgetary, administrative, and IT-related issues; coordinate OMB review of agency activities; and prepare the President's Budget documents.

Object Classification (in millions of dollars)

Identification code 011-0300-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	64	68	74
12.1 Civilian personnel benefits	19	19	22
23.1 Rental payments to GSA	7	7	7
25.2 Other services from non-Federal sources	11	6	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures			3
99.0 Direct obligations	103	102	116
99.0 Reimbursable obligations	3		
99.9 Total new obligations, unexpired accounts	106	102	116

Employment Summary

Identification code 011-0300-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	466	477	521

OFFICE OF NATIONAL DRUG CONTROL POLICY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of National Drug Control Policy; for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998, as amended [through Public Law 115-271]; not to exceed \$10,000 for official reception and representation expenses; and for participation in joint projects or in the provision of services on matters of mutual interest with nonprofit, research, or public organizations or agencies, with or without reimbursement, [\$18,400,000] \$16,400,000; *Provided*, That the Office is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-1457-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	17	18	16
0801 Reimbursable program activity	5		
0900 Total new obligations, unexpired accounts	22	18	16

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	18	18	16
Spending authority from offsetting collections, discretionary:			
1700 Collected	5		
1900 Budget authority (total)	23	18	16
1930 Total budgetary resources available	23	18	16
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	11	5
3010 New obligations, unexpired accounts	22	18	16
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-20	-24	-17
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	11	5	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	11	5
3200 Obligated balance, end of year	11	5	4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	23	18	16
Outlays, gross:			
4010 Outlays from new discretionary authority	16	14	13
4011 Outlays from discretionary balances	4	10	4
4020 Outlays, gross (total)	20	24	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-5		
4180 Budget authority, net (total)	18	18	16
4190 Outlays, net (total)	15	24	17

The Office of National Drug Control Policy (ONDCP), pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998, as amended, is charged with developing policies, objectives, and priorities for the National Drug Control Program. This account provides funding for personnel compensation, travel, rent, and other basic operations of the Office.

For 2021, the Budget proposes to transfer the High Intensity Drug Trafficking Areas (HIDTA) program and the Drug-Free Communities Support (DFC) program from ONDCP to the Department of Justice and the Department of Health and Human Services, respectively. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Object Classification (in millions of dollars)

Identification code 011-1457-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	9	9
12.1 Civilian personnel benefits	3	4	3
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	2	2	1
99.0 Direct obligations	17	18	16
99.0 Reimbursable obligations	5		
99.9 Total new obligations, unexpired accounts	22	18	16

Employment Summary

Identification code 011-1457-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	63	65	60

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

Federal Funds

OFFICE OF SCIENCE AND TECHNOLOGY POLICY

For necessary expenses of the Office of Science and Technology Policy, in carrying out the purposes of the National Science and Technology Policy, Organization, and Priorities Act of 1976 (42 U.S.C. 6601 et seq.), hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, not to exceed \$2,250 for official reception and representation expenses, and rental of conference rooms in the District of Columbia, [\$5,544,000] \$5,000,000. (*Science Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-2600-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Science and Technology Policy	5	6	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	5
1930 Total budgetary resources available	6	7	6

OFFICE OF SCIENCE AND TECHNOLOGY POLICY—Continued
Program and Financing—Continued

Identification code 011–2600–0–1–802	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	5	6	5
3020 Outlays (gross)	-5	-6	-5
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	6	5
Outlays, gross:			
4010 Outlays from new discretionary authority	4	5	4
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	5	6	5
4180 Budget authority, net (total)	6	6	5
4190 Outlays, net (total)	5	6	5

The Office of Science and Technology Policy (OSTP) provides advice to the President concerning policies in science and technology and on the use of science and technology in addressing important national problems. The OSTP operations include support to other Executive Office of the President organizations on issues with science and technology considerations; with the Office of Management and Budget, review and analysis of and recommendations on research and development budgets for all Federal agencies; coordination of research and development programs of the Federal Government; coordination of the implementation of a number of important international science and technology agreements; and other activities necessary to carry out the duties, functions, and activities described in Public Law 94–282, the National Science and Technology Policy, Organization, and Priorities Act of 1976. OSTP also provides support for the National Science and Technology Council.

Object Classification (in millions of dollars)

Identification code 011–2600–0–1–802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
99.0 Direct obligations	4	5	5
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	5	6	5

Employment Summary

Identification code 011–2600–0–1–802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	30	30

NATIONAL SPACE COUNCIL

Federal Funds

NATIONAL SPACE COUNCIL

For necessary expenses of the National Space Council, in carrying out the purposes of Title V of Public Law 100–685 and Executive Order 13803, hire of passenger motor vehicles, and services as authorized by section 3109 of title 5, United States Code, not to exceed \$2,250 for official reception and representation expenses, \$1,965,000: *Provided*, That notwithstanding any other provision of law, the National Space Council may accept personnel support from Federal agencies, departments, and offices, and such Federal agencies, departments, and offices may detail staff

without reimbursement to the National Space Council for purposes provided herein. (*Science Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011–0048–0–1–802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Space Council	1	2	2
0900 Total new obligations, unexpired accounts	1	2	2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	2	2
3020 Outlays (gross)	-1	-2	-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	1	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	1	2	2

The National Space Council provides advice and assistance to the President on national space policy and strategy. The President has directed it to review United States Government space policy, including long-range goals, and develop a strategy for national space activities; develop recommendations for the President on space policy and space-related issues; monitor and coordinate implementation of the objectives of the President's national space policy by executive departments and agencies; and foster close coordination, cooperation, and technology and information exchange among the civil, national security, and commercial space sectors, and facilitate resolution of differences concerning major space and space-related policy issues.

Object Classification (in millions of dollars)

Identification code 011–0048–0–1–802	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	1	2	2

Employment Summary

Identification code 011–0048–0–1–802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	7	7

OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of the United States Trade Representative, including the hire of passenger motor vehicles and the employment of experts and consultants as authorized by section 3109 of title 5, United States Code, **[\$54,000,000]** \$60,000,000, of which \$1,000,000 shall remain available until expended: *Provided*, That of the total amount made available under this heading, not to exceed \$124,000 shall be available for official reception and representation expenses. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-0400-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of the United States Trade Representative	56	54	60
0801 Office of the United States Trade Representative (Reimbursable)		1	1
0900 Total new obligations, unexpired accounts	56	55	61
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	53	54	60
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	54	55	61
1930 Total budgetary resources available	58	57	63
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	10	8
3010 New obligations, unexpired accounts	56	55	61
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-53	-57	-61
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	10	8	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	8	6
3200 Obligated balance, end of year	8	6	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	54	55	61
Outlays, gross:			
4010 Outlays from new discretionary authority	48	50	56
4011 Outlays from discretionary balances	5	7	5
4020 Outlays, gross (total)	53	57	61
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4040 Offsets against gross budget authority and outlays (total)		-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	53	54	60
4080 Outlays, net (discretionary)	53	56	60
4180 Budget authority, net (total)	53	54	60
4190 Outlays, net (total)	53	56	60

The United States Trade Representative is responsible for developing, coordinating, and advising the President on U.S. international trade policy. The Trade Representative is responsible for the conduct of international trade negotiations, including commodity and direct investment negotiations. The Trade Representative represents the interests of the U.S. in rule making, negotiations, and litigation at the World Trade Organization (WTO) and in other international organization activities as warranted. The Trade Representative monitors compliance by foreign governments with their obligations under trade agreements with the United States, as well as U.S. trade laws, and leads enforcement efforts in the WTO, in U.S. free trade agreement dispute settlement proceedings, and under domestic trade laws.

Object Classification (in millions of dollars)

Identification code 011-0400-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	32	32	38
12.1 Civilian personnel benefits	11	11	12
21.0 Travel and transportation of persons	3	4	4
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services from non-Federal sources	7	4	4
26.0 Supplies and materials			1
31.0 Equipment	1	1	
99.0 Direct obligations	54	53	60
99.0 Reimbursable obligations		1	1
99.5 Adjustment for rounding	2	1	
99.9 Total new obligations, unexpired accounts	56	55	61

Employment Summary

Identification code 011-0400-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	218	245	256

GENERAL FUND PAYMENT TO THE TRADE ENFORCEMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 011-1750-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Trade Enforcement Trust Fund	15	15	15
0900 Total new obligations, unexpired accounts (object class 94.0)	15	15	15
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	15	15	15
1930 Total budgetary resources available	15	15	15
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	15	15	15
3020 Outlays (gross)	-15	-15	-15
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	15	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority	15	15	15
4180 Budget authority, net (total)	15	15	15
4190 Outlays, net (total)	15	15	15

The Trade Facilitation and Trade Enforcement Act of 2015 provides \$15 million in mandatory funding annually from the general fund to finance the Trade Enforcement Trust Fund, which has a limitation of \$30 million.

Trust Funds

TRADE ENFORCEMENT TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

For activities of the United States Trade Representative authorized by section 611 of the Trade Facilitation and Trade Enforcement Act of 2015 (19 U.S.C. 4405), including transfers, **[\$15,000,000]** \$13,000,000, to be derived from the Trade Enforcement Trust Fund: *Provided*, That any transfer pursuant to subsection (d)(1) of such section shall be treated as a reprogramming under section 505 of this Act. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-8581-0-7-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	15	15	12

TRADE ENFORCEMENT TRUST FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 011-8581-0-7-376	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1140 General Fund Payment, Trade Enforcement Trust Fund	15	12	10
2000 Total: Balances and receipts	30	27	22
Appropriations:			
Current law:			
2101 Trade Enforcement Trust Fund	-15	-15	-13
5099 Balance, end of year	15	12	9

Program and Financing (in millions of dollars)

Identification code 011-8581-0-7-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Trade Enforcement	15	15	13
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	15	15	13
1930 Total budgetary resources available	15	15	13
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	7	7	7
1953 Expired unobligated balance, end of year	7	7	7

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	9	2
3010 New obligations, unexpired accounts	15	15	13
3020 Outlays (gross)	-9	-22	-14
3050 Unpaid obligations, end of year	9	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	9	2
3200 Obligated balance, end of year	9	2	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	15	15	13
Outlays, gross:			
4010 Outlays from new discretionary authority	7	14	12
4011 Outlays from discretionary balances	2	8	2
4020 Outlays, gross (total)	9	22	14
4180 Budget authority, net (total)	15	15	13
4190 Outlays, net (total)	9	22	14

The Trade Enforcement Trust Fund was established to receive transfers from the general fund that may be expended, only as provided by appropriations Acts. The 2021 Budget requests that \$13 million be derived from this Fund in FY 2021.

Object Classification (in millions of dollars)

Identification code 011-8581-0-7-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	7	8	6
31.0 Equipment	1		
99.9 Total new obligations, unexpired accounts	15	15	13

Employment Summary

Identification code 011-8581-0-7-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	36	36	28

UNANTICIPATED NEEDS

Federal Funds

UNANTICIPATED NEEDS

For expenses necessary to enable the President to meet unanticipated needs, in furtherance of the national interest, security, or defense which may arise at home or abroad during the current fiscal year, as authorized by 3 U.S.C. 108, \$1,000,000, to remain available until September 30, [2021] 2022. (Executive Office of the President Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-0037-0-1-802	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1930 Total budgetary resources available	2	3	3
Memorandum (non-add) entries:			
1940 Unobligated balance expiring		-1	-1
1941 Unexpired unobligated balance, end of year	2	2	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)			

This account represents amounts appropriated to the President to meet unanticipated needs in furtherance of national interest, security, or defense.

INTELLECTUAL PROPERTY ENFORCEMENT COORDINATOR

For necessary expenses of the Office of the Intellectual Property Enforcement Coordinator, as authorized by title III of the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110-403), including services authorized by 5 U.S.C. 3109, [\$1,300,000] \$1,000,000. (Executive Office of the President Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-1751-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Intellectual Property Enforcement Coordinator		1	1
0900 Total new obligations, unexpired accounts (object class 11.1)		1	1
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		1	1
1930 Total budgetary resources available		1	1

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-1	-1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross		1	1
Outlays, gross:			
4010 Outlays from new discretionary authority		1	1
4180 Budget authority, net (total)		1	1
4190 Outlays, net (total)		1	1

The Office of the U.S. Intellectual Property Enforcement Coordinator is focused on promoting and protecting our great competitive advantage: the Nation's innovative economy. The Office coordinates and develops the United States' overall intellectual property policy and strategy, to promote innovation and creativity, and to ensure effective intellectual property protection and enforcement domestically and abroad.

Employment Summary

Identification code 011–1751–0–1–802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		5	5

DATA-DRIVEN INNOVATION

Program and Financing (in millions of dollars)

Identification code 011–0030–0–1–802	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

INFORMATION TECHNOLOGY OVERSIGHT AND REFORM

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the furtherance of integrated, efficient, secure, and effective uses of information technology in the Federal Government, **[\$15,000,000] \$11,491,000**, to remain available until expended: *Provided*, That the Director of the Office of Management and Budget may transfer these funds to one or more other agencies to carry out projects to meet these purposes. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011–0036–0–1–802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Oversight, Cybersecurity and Program Management	11	8	4
0002 U.S. Digital Service	13	7	7
0799 Total direct obligations	24	15	11
0801 Reimbursable program activity	5	6	4
0900 Total new obligations, unexpired accounts	29	21	15
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	11	8
1021 Recoveries of prior year unpaid obligations	1		
1033 Recoveries of prior year paid obligations	1		
1050 Unobligated balance (total)	7	11	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29	15	11
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	4	3	3
1900 Budget authority (total)	33	18	14
1930 Total budgetary resources available	40	29	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	8	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	8	8
3010 New obligations, unexpired accounts	29	21	15
3020 Outlays (gross)	-25	-21	-17
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	8	8	6
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	6	6

3200 Obligated balance, end of year	6	6	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	33	18	14
Outlays, gross:			
4010 Outlays from new discretionary authority	20	10	8
4011 Outlays from discretionary balances	5	11	9
4020 Outlays, gross (total)	25	21	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total) ...	-4	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4070 Budget authority, net (discretionary)	29	15	11
4080 Outlays, net (discretionary)	21	18	14
4180 Budget authority, net (total)	29	15	11
4190 Outlays, net (total)	21	18	14

Information Technology Oversight and Reform (ITOR) funding provides the Government with a resource base controlled by the Director of the Office of Management and Budget (OMB) to support activities and tools that enhance the efficiency, effectiveness, and security of Federal investments in information technology (IT). ITOR supports Government-wide efforts to modernize out-of-date and inefficient IT, secure Federal IT systems and the agency data within, increase transparency in IT spending, and improve the governance of IT projects and services.

Information Technology Oversight and Analysis.—Under the direction of the Federal Chief Information Officer (CIO), the Office of the Federal CIO (OFCIO) will continue engaging with agency CIOs to refine the guidance and tools supporting effective management of the large investment by Federal agencies in information technology, discussed in Chapter 15 of the Analytical Perspectives volume of the Budget. Additionally, ITOR funds will support policy analysis and development efforts to support innovative approaches to IT management, leveraging modern solutions to IT problems faced by all organizations, through reliance on cloud-based and shared solutions where appropriate. OMB will continue to ensure effective implementation by agencies of the Federal Information Technology Acquisition Reform Act (FITARA), as directed in OMB guidance.

United States Digital Service (USDS).—USDS uses design and technology to deliver better services to the American people. USDS recruits some of the country's top technical talent who apply private sector best practices across government. USDS partners directly with federal agencies to address improvements and new site launches of digital services for the Federal Government's most critical public-facing programs. USDS also provides rapid response to agencies that experience system outages or security breaches. USDS projects not only provide the public with better digital services, but also help streamline agency processes and save taxpayer dollars. USDS has established a strong track record in helping agencies to roll out IT services supporting the Government's most critical public-facing programs.

Cybersecurity.—ITOR funding for cybersecurity will continue to allow OMB to expand its data-driven, risk-based oversight of agency and government-wide cybersecurity programs, issuing and implementing Federal policies consistent with emerging technologies and evolving cyber threats. OMB will expand its work with agencies to ensure that information risk is threat-informed and proactively managed. OFCIO will continue to develop and enhance strategies to protect Federal information assets, acting in cooperation with National Security Council staff, the Department of Homeland Security, the National Institute for Standards and Technology, the Congress, and Federal agency Chief Information Officers and Chief Information Security Officers.

INFORMATION TECHNOLOGY OVERSIGHT AND REFORM—Continued

Object Classification (in millions of dollars)

Identification code 011-0036-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	9	7
12.1 Civilian personnel benefits	4	4	3
25.3 Other goods and services from Federal sources	5	1	1
99.0 Direct obligations	24	14	11
99.0 Reimbursable obligations	4	6	4
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	29	21	15

Employment Summary

Identification code 011-0036-0-1-802	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	84	77	54
2001 Reimbursable civilian full-time equivalent employment	21	25	21

SPECTRUM RELOCATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 011-5512-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	8,606	8,026	7,545
Receipts:			
Current law:			
1130 Spectrum Relocation Receipts	120	95
Proposed:			
1230 Spectrum Relocation Receipts	50
1999 Total receipts	120	145
2000 Total: Balances and receipts	8,606	8,146	7,690
Appropriations:			
Current law:			
2103 Spectrum Relocation Fund	-618	-645	-726
2132 Spectrum Relocation Fund	37
2135 Spectrum Relocation Fund	44
2199 Total current law appropriations	-581	-601	-726
2999 Total appropriations	-581	-601	-726
4030 Spectrum Relocation Fund	1
5099 Balance, end of year	8,026	7,545	6,964

Program and Financing (in millions of dollars)

Identification code 011-5512-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	25
0900 Total new obligations, unexpired accounts (object class 94.0)	25
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [014-1039]	1
1035 Unobligated balance precluded from obligation (limitation on obligations)(special and trust)	-1
Budget authority:			
Appropriations, mandatory:			
1203 Appropriation (previously unavailable)(special or trust)	618	645	726
1220 Appropriations transferred to other accts [021-2040]	-19	-6	-1
1220 Appropriations transferred to other accts [021-2031]	-176	-132	-97
1220 Appropriations transferred to other accts [021-2035]	-10	-25	-19
1220 Appropriations transferred to other accts [021-2020]	-5	-5	-7
1220 Appropriations transferred to other accts [017-1319]	-108	-120	-47
1220 Appropriations transferred to other accts [017-1506]	-51	-58	-65
1220 Appropriations transferred to other accts [017-1810]	-14	-27	-85
1220 Appropriations transferred to other accts [017-1109]	-2	-15	-13
1220 Appropriations transferred to other accts [017-1804]	-10	-15	-17
1220 Appropriations transferred to other accts [057-3600]	-53	-56	-59
1220 Appropriations transferred to other accts [057-3010]	-9
1220 Appropriations transferred to other accts [057-3080]	-10	-3	-10
1220 Appropriations transferred to other accts [057-3400]	-12	-18	-17
1220 Appropriations transferred to other accts [097-0100]	-7	-12	-17
1220 Appropriations transferred to other accts [097-0400]	-53	-65	-46
1220 Appropriations transferred to other accts [070-0530]	-13

1220 Appropriations transferred to other accts [013-1450]	-2
1220 Appropriations transferred to other acct [099-9032]	-42	-223
1220 Appropriations transferred to other acct [017-1106]	-2	-3
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-37
1235 Appropriations precluded from obligation (special or trust)	-44
1260 Appropriations, mandatory (total)	25
1930 Total budgetary resources available	25

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	25
3020 Outlays (gross)	-25

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	25
Outlays, gross:			
4100 Outlays from new mandatory authority	25
4180 Budget authority, net (total)	25
4190 Outlays, net (total)	25

The Spectrum Relocation Fund (SRF), created by the Commercial Spectrum Enhancement Act of 2004, as amended by the Middle Class Tax Relief and Job Creation Act of 2012, reimburses Federal agencies that must relocate or share wireless communications systems in Federal spectrum that has been or will be reallocated to commercial use. Auction receipts associated with the reallocated spectrum are deposited into the SRF to pay eligible pre- and post-auction costs that help efficiently and effectively transition Federal agencies off of the reallocated spectrum or facilitate the sharing of Federal systems with non-Federal systems. The Office of Management and Budget (OMB) administers the SRF in consultation with the National Telecommunications and Information Administration (NTIA) of the Department of Commerce. In 2015, a portion of receipts associated with the Advanced Wireless Services 3 (AWS-3) auction, which reallocates Federal and other spectrum for flexible commercial use, were deposited into the SRF. Funds from the AWS-3 auction are being transferred to agencies with approved transition plans to reimburse them for the costs associated with clearing or sharing the auctioned bands. Transfers were made in 2015, 2016, 2017, 2018, and 2019 and will continue in future years. Most funds in the SRF must be returned to the Treasury eight years after they are deposited.

The Spectrum Pipeline Act of 2015, part of the Bipartisan Budget Act of 2015, authorized the transfer to agencies of up to \$500 million of SRF balances, and a portion of future deposits into the SRF, to fund advance planning and research projects that are expected to facilitate future spectrum auctions. OMB, NTIA, and the Federal Communications Commission have approved projects totaling \$400 million through the end of 2019.

ADMINISTRATIVE PROVISIONS—EXECUTIVE OFFICE OF THE PRESIDENT AND FUNDS APPROPRIATED TO THE PRESIDENT

(INCLUDING TRANSFER OF FUNDS)

SEC. 201. From funds made available in this Act under the headings "The White House", "Executive Residence at the White House", "White House Repair and Restoration", "Council of Economic Advisers", "National Security Council and Homeland Security Council", "Office of Administration", "Special Assistance to the President", and "Official Residence of the Vice President", the Director of the Office of Management and Budget (or such other officer as the President may designate in writing), may, with advance [approval of] notice to the Committees on Appropriations of the House of Representatives and the Senate, transfer not to exceed 10 percent of any such appropriation to any other such appropriation, to be merged with and available for the same time and for the same purposes as the appropriation to which transferred: *Provided*, That the amount of an appropriation shall not be increased by more than 50 percent by such transfers: *Provided further*, That no amount shall be transferred from "Special Assistance to the President" or "Official Residence of the Vice President" without the approval of the Vice President.

SEC. 202. (a) During fiscal year 2020, any Executive order or Presidential memorandum issued or revoked by the President shall be accompanied by a written statement from the Director of the Office of Management and Budget on the

budgetary impact, including costs, benefits, and revenues, of such order or memorandum.

(b) Any such statement shall include—

(1) a narrative summary of the budgetary impact of such order or memorandum on the Federal Government;

(2) the impact on mandatory and discretionary obligations and outlays as the result of such order or memorandum, listed by Federal agency, for each year in the 5-fiscal-year period beginning in fiscal year 2020; and

(3) the impact on revenues of the Federal Government as the result of such order or memorandum over the 5-fiscal-year period beginning in fiscal year 2020.

(c) If an Executive order or Presidential memorandum is issued during fiscal year 2020 due to a national emergency, the Director of the Office of Management and Budget may issue the statement required by subsection (a) not later than 15 days after the date that such order or memorandum is issued.

(d) The requirement for cost estimates for Presidential memoranda shall only apply for Presidential memoranda estimated to have a regulatory cost in excess of \$100,000,000. ■

■SEC. 203. Not later than 45 days after the date of enactment of this Act, the Director of the Office of Management and Budget shall issue a memorandum to all Federal departments, agencies, and corporations directing compliance with the provisions in title VII of this Act. ■ (*Executive Office of the President Appropriations Act, 2020.*)

GENERAL SERVICES ADMINISTRATION

REAL PROPERTY ACTIVITIES

Federal Funds

FEDERAL BUILDINGS FUND

LIMITATIONS ON AVAILABILITY OF REVENUE

(INCLUDING TRANSFERS OF FUNDS)

Amounts in the Fund, including revenues and collections deposited into the Fund, shall be available for necessary expenses of real property management and related activities not otherwise provided for, including operation, maintenance, and protection of federally owned and leased buildings; rental of buildings in the District of Columbia; restoration of leased premises; moving governmental agencies (including space adjustments and telecommunications relocation expenses) in connection with the assignment, allocation, and transfer of space; contractual services incident to cleaning or servicing buildings, and moving; repair and alteration of federally owned buildings, including grounds, approaches, and appurtenances; care and safeguarding of sites; maintenance, preservation, demolition, and equipment; acquisition of buildings and sites by purchase, condemnation, or as otherwise authorized by law; acquisition of options to purchase buildings and sites; conversion and extension of federally owned buildings; preliminary planning and design of projects by contract or otherwise; construction of new buildings (including equipment for such buildings); and payment of principal, interest, and any other obligations for public buildings acquired by installment purchase and purchase contract; in the aggregate amount of **[\$8,856,530,000] \$10,388,375,000**, of which—

(1) **[\$152,400,000] \$762,377,363** shall remain available until expended for construction and acquisition (including funds for sites and expenses, and associated design and construction services) **[for the San Luis I Land Port of Entry, San Luis, Arizona]:**

Provided, That **[the foregoing limit of costs on new construction and acquisition] amounts identified in the spending plan for construction and acquisition required by section 525 of this division** may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance **[approval is obtained from] notice is transmitted to the Committees on Appropriations of a greater amount;**

(2) **[\$833,752,000] \$1,363,222,637** shall remain available until expended for repairs and alterations, including associated design and construction services, of which—
 (A) **[\$451,695,000] \$878,050,000** is for Major Repairs and Alterations; **[and]**
 (B) **[\$382,057,000] \$372,672,637** is for Basic Repairs and Alterations **[:]**; *and*
 (C) **[\$112,500,000] is for Special Emphasis Programs:**

Provided, That **[funds made available in this or any previous Act in the Federal Buildings Fund for Repairs and Alterations shall, for prospectus projects, be limited to the amount identified for each project, except each project in this or any previous Act may be increased by an amount] amounts identified in the spending plan for major repair and alterations required by section 525 of this division may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance [approval is obtained from] notice is transmitted to the Committees on Appropriations of a greater amount: Provided further, That additional projects for which prospectuses have been [fully approved] transmitted may be funded under this category only if advance [approval is obtained from] notice is transmitted to the Committees on Appropriations:**

Provided further, That the amounts provided in this or any prior Act for "Repairs and Alterations" may be used to fund costs associated with implementing security improvements to buildings necessary to meet the minimum standards for security in accordance with current law and in compliance with the reprogramming guidelines of the appropriate Committees of the House and Senate: *Provided further*, That the difference between the funds appropriated and expended on any projects in this or any prior Act, under the heading "Repairs and Alterations", may be transferred to Basic Repairs and Alterations or used to fund authorized increases in prospectus projects: *Provided further*, That the amount provided in this or any prior Act for Basic Repairs and Alterations may be used to pay claims against the Government arising from any projects under the heading "Repairs and Alterations" or used to fund authorized increases in prospectus projects;

(3) **[\$5,497,561,000] \$5,725,464,000** for rental of space to remain available until expended; and

(4) **[\$2,372,817,000] \$2,537,311,000** for building operations to remain available until expended:

Provided, That the total amount of funds made available from this Fund to the General Services Administration shall not be available for expenses of any construction, repair, alteration and acquisition project for which a prospectus, if required

[by] to be submitted pursuant to 40 U.S.C. 3307(a), has not been **[approved] transmitted to the Committees referenced therein**, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus: *Provided further*, That funds available in the Federal Buildings Fund may be expended for emergency repairs when advance **[approval is obtained from] notice is transmitted to the Committees on Appropriations: Provided further**, That amounts necessary to provide reimbursable special services to other agencies under 40 U.S.C. 592(b)(2) and amounts to provide such reimbursable fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control as may be appropriate to enable the United States Secret Service to perform its protective functions pursuant to 18 U.S.C. 3056, shall be available from such revenues and collections: *Provided further*, That revenues and collections and any other sums accruing to this Fund during fiscal year **[2020] 2021**, excluding reimbursements under 40 U.S.C. 592(b)(2), in excess of the aggregate new obligational authority authorized for Real Property Activities of the Federal Buildings Fund in this Act shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 047-4542-0-4-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0010 Disaster Recovery	45		
0011 Direct Reimbursable	10		
0799 Total direct obligations	55		
0801 Construction and acquisition of facilities	564	1,174	818
0802 Repairs and alterations	668	917	897
0808 International Trade Center	34		
0809 Reimbursable program activities, subtotal	1,266	2,091	1,715
0810 Rental of space	5,670	5,497	5,725
0811 Building operations	2,590	2,649	2,763
0819 Reimbursable program activities, subtotal	8,260	8,146	8,488
0820 Special services and improvements	1,575	1,180	1,180
0899 Total reimbursable obligations	11,101	11,417	11,383
0900 Total new obligations, unexpired accounts	11,156	11,417	11,383
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5,088	5,491	4,372
1021 Recoveries of prior year unpaid obligations	105	260	260
1033 Recoveries of prior year paid obligations	10		
1050 Unobligated balance (total)	5,203	5,751	4,632
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	91		
1121 Appropriations transferred from other acct [070-0406]	120		
1160 Appropriation, discretionary (total)	211		
Spending authority from offsetting collections, discretionary:			
1700 Collected	11,896	11,384	11,568
1701 Change in uncollected payments, Federal sources	16		
1702 Offsetting collections (previously unavailable)	5,582	6,261	7,607
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-6,261	-7,607	-7,607
1750 Spending auth from offsetting collections, disc (total)	11,233	10,038	11,568
1900 Budget authority (total)	11,444	10,038	11,568
1930 Total budgetary resources available	16,647	15,789	16,200
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5,491	4,372	4,817
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,267	4,415	4,038
3010 New obligations, unexpired accounts	11,156	11,417	11,383
3020 Outlays (gross)	-10,903	-11,534	-12,525
3040 Recoveries of prior year unpaid obligations, unexpired	-105	-260	-260
3050 Unpaid obligations, end of year	4,415	4,038	2,636
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4,228	-4,244	-4,244
3070 Change in uncollected pymts, Fed sources, unexpired	-16		
3090 Uncollected pymts, Fed sources, end of year	-4,244	-4,244	-4,244

FEDERAL BUILDINGS FUND—Continued
Program and Financing—Continued

Identification code 047-4542-0-4-804	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39	171	-206
3200 Obligated balance, end of year	171	-206	-1,608
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	11,444	10,038	11,568
Outlays, gross:			
4010 Outlays from new discretionary authority	7,981	8,029	8,582
4011 Outlays from discretionary balances	2,922	3,505	3,943
4020 Outlays, gross (total)	10,903	11,534	12,525
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-11,827	-11,384	-11,568
4033 Non-Federal sources	-79		
4040 Offsets against gross budget authority and outlays (total)	-11,906	-11,384	-11,568
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-16		
4053 Recoveries of prior year paid obligations, unexpired accounts	10		
4060 Additional offsets against budget authority only (total)	-6		
4070 Budget authority, net (discretionary)	-468	-1,346	
4080 Outlays, net (discretionary)	-1,003	150	957
4180 Budget authority, net (total)	-468	-1,346	
4190 Outlays, net (total)	-1,003	150	957
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	5,582	6,261	7,607
5092 Unexpired unavailable balance, EOY: Offsetting collections	6,261	7,607	7,607

This revolving fund provides for real property management and related activities, including operation, maintenance, and repair of federally owned buildings, and the construction of Federal buildings, courthouses, and land ports of entry. Expenses of the Federal Buildings Fund (FBF) are financed from rental charges assessed to occupants of General Services Administration (GSA)-controlled space. Rent assessments, by law, approximate commercial rates for comparable space and services. Rental income is augmented by appropriations to the Fund when new construction needs exceed the resources available for investment within the Fund.

The Budget requests \$10,388 million in new obligational authority for the FBF. The Administration's proposal ensures that GSA spends at least at the level of anticipated rent that it collects from Federal departments and agencies in order to provide services to those customers.

Historically, the FBF has been permitted to spend at least what it collects from agencies to support leasing from the private sector, as well as maintenance, repairs, major renovations, and new construction to accommodate agency needs in buildings that GSA owns and operates. However, in several recent years, the FBF appropriations were significantly below the anticipated level of rent collections from agencies, denying GSA the ability to pursue an appropriately-sized capital program relative to the size of its portfolio. By restoring the principle that the FBF should be allowed to spend what it collects and pursuing a robust capital program, the Administration hopes to accomplish a number of policy goals: avoiding larger, longer-term capital costs associated with deferring maintenance of Federal facilities; improving efficiency at GSA-owned facilities; and realizing a smaller Federal footprint through improved building utilization.

The following table reports rent and other income to the Fund:

	[In millions of dollars]		
	2019 actual	2020 est.	2021 est.
Rental charges	9,964	10,204	10,388
Collections for:			
(a) Special services and improvements	1,531	1,355	1,360
(b) Miscellaneous income			
Total receipts and reimbursements	11,495	11,558	11,748

The following tables report the planned financing for the Fund in 2021:

	[In millions of dollars]				
	Obligations	End-of-year unobligated balance	Obligational authority Total	New	From prior year
2021 program:					
1. Construction and Acquisition of Facilities	818	1,262	2,080	762	1,318
2. Repairs and Alterations	897	1,352	2,249	1,363	886
3. Installment Acquisition Payments	0	0	0	0	0
4. Construction of Lease Purchase Facilities	0	24	24	0	24
5. Rental of Space	5,725	28	5,753	5,726	27
6. Building Operations*	2,582	-82	2,500	2,537	-37
7. International Trade Center	0	13	13	0	13
8. Pennsylvania Avenue Activities	0	29	29	0	29
Total basic program	10,022	2,627	12,649	10,388	2,260
Other programs:					
Special services and improvements	1,360	1,741	3,101	1,360	1,741
Total Federal Buildings Fund	11,382	4,368	15,750	11,748	4,001

* The above chart does not include additional resources such as recoveries, reimbursable, and indefinite authority which when included are sufficient to support all obligations.

The FBF consists of the following activities:

Construction and Acquisition of Facilities.—This activity provides for the construction or purchase of prospectus-level facilities, prospectus-level additions to existing buildings, and remediation. All costs directly attributable to site acquisition, construction, and the full range of design and construction services, and management and inspection of construction projects are funded under this activity (estimated project cost in thousands).

New Construction Executive Agencies	
Washington, DC DHS Consolidation at St. Elizabeths	459,000
Subtotal, Executive Agencies	459,000
New Construction or Building Acquisition	
Cleveland, OH FBI Field Office	80,186
Oklahoma City, OK FBI Field Office	85,931
Subtotal, New Construction or Building Acquisition	166,117
U.S. Land Ports of Entry Program	
Calexico West, CA U.S. Land Port of Entry Phase II B	99,707
Subtotal, U.S. Land Ports of Entry Program	99,707
New Construction - Remediation	
Kansas City, MO Hardesty Federal Complex Remediation	28,553
Washington, DC Southeast Federal Center Remediation	9,000
Subtotal, New Construction - Remediation Program	37,553
Total 2021 Construction and Acquisition of Facilities Program	762,377

Repairs and Alterations.—This activity provides for repairs and alterations of existing buildings as well as associated design and construction services. Protection of the Government's investment, the health and safety of building occupants, relocation of agencies from leased space, and cost effectiveness are the principle criteria used in establishing priorities. Repairs to improve space utilization, address life safety issues, and prevent deterioration and damage to buildings, building support systems, and operating equipment are given priority (estimated project costs in thousands).

Nonprospectus (Basic) Repairs and Alterations Program	372,673
Major Repairs and Alterations Program	
New York, NY 201 Varick Street Federal Office Building	66,650
New York, NY Jacob K. Javits Federal Office Building	10,811
Chicago, IL Ralph H. Metcalfe Federal Building	124,447
Philadelphia, PA Philadelphia U.S. Custom House	91,965
Woodlawn, MD West High Low Rise	208,741
Tacoma, WA Tacoma Union Station	46,300
Cincinnati, OH Potter Stewart U.S. Courthouse	37,552
Indianapolis, IN Major General Emmett J. Bean Federal Center	42,132
Kansas City, MO Charles E. Whittaker U.S. Courthouse	57,030
Indianapolis, IN Minton-Capehart Federal Building	18,450
Washington, DC William Jefferson Clinton Complex	48,677
Los Angeles, CA Federal Building - 11000 Wilshire Boulevard	26,387
Kansas City, MO 8930 Ward Parkway Federal Building	22,780
Austin, TX Austin Finance Center	36,442
Cleveland, OH Carl B. Stokes U.S. Courthouse	28,686
Various Locations, Southern Border LPOE Infrastructure Paving Program	11,000
Subtotal, Major Repair and Alterations Program	878,050

Special Emphasis Programs	
Consolidation Activities Program	50,000
Judiciary Capital Security Program	12,500
Fire Protection and Life Safety Program	50,000
Subtotal, Special Emphasis Programs	112,500

Total 2021 Repairs and Alterations Program 1,363,223

Rental of Space.—This activity provides for the leasing of privately-owned buildings when federally owned space is not available. This includes space occupied by Federal agencies, including in U.S. Postal Service facilities. GSA provided 181 million square feet of rental space in 2019. GSA expects to provide 177 million square feet of rental space in 2020 and 178 million in 2021.

Building Operations.—The Building Operations program provides services for both federally owned and leased facilities as well as administration and management of all PBS real property programs. Of the total amount requested in support of Building Operations, the Building Services allocation funds services and cost increases for cleaning, utilities, maintenance, and building services; the Salaries and Expenses allocation supports Public Building Service (PBS) personnel costs excluding reimbursable FTE, PBS-specific IT applications and PBS's contribution to the WCF. The following tables provide additional detail regarding the 2020 and 2021 building operations program (estimated obligations in millions).

	2020 Obligations est.	2021 Obligations est.
Cleaning	377	381
Utilities	274	286
Maintenance	439	471
Security	56	70
Other Building Services	131	126
IT	50	48
Salaries and Benefits	702	757
GSA Working Capital Fund Payments	365	369
Management Support	76	71
Travel	12	11
Other Administrative Costs and Funding Sources	-8	-8
Total	2,474	2,581

Other Programs.—When requested by other Federal agencies, the Public Buildings Service provides, on a reimbursable basis, building services such as tenant alterations, cleaning, utilities, and other operations, which are in excess of those services provided within the standard commercial rental charges.

Object Classification (in millions of dollars)

Identification code 047-4542-0-4-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	3		
25.4 Operation and maintenance of facilities	22		
32.0 Land and structures	30		
99.0 Direct obligations	55		
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	534	544	588
11.5 Other personnel compensation	12	19	20
11.9 Total personnel compensation	546	563	608
12.1 Civilian personnel benefits	178	183	193
21.0 Travel and transportation of persons	10	13	11
23.2 Rental payments to others	5,680	5,498	5,726
23.3 Communications, utilities, and miscellaneous charges	393	388	405
25.1 Advisory and assistance services	540	464	458
25.2 Other services from non-Federal sources	76	62	60
25.3 Other goods and services from Federal sources	422	423	437
25.4 Operation and maintenance of facilities	1,966	1,579	1,612
25.7 Operation and maintenance of equipment	31	26	28
26.0 Supplies and materials	8	9	9
31.0 Equipment	77	72	73
32.0 Land and structures	1,138	2,105	1,730
42.0 Insurance claims and indemnities	1	1	1
43.0 Interest and dividends	35	31	32
99.0 Reimbursable obligations	11,101	11,417	11,383

99.9 Total new obligations, unexpired accounts 11,156 11,417 11,383

Employment Summary

Identification code 047-4542-0-4-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	5,128	5,210	5,269

FEDERAL BUILDINGS FUND, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 047-4543-0-4-804	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	1	
3020 Outlays (gross)	-6	-1	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	6	1	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	6	1	

This appropriation provided funding for the construction and renovation of Federal buildings, courthouses, and land ports of entry; the conversion of existing General Services Administration facilities to High-Performance Green Buildings; and \$4,000,000 for transfer to the Office of Federal High-Performance Green Buildings. Of the available amounts, \$5,000,000,000 was available until September 30, 2010 and the remaining amounts were available until September 30, 2011.

FEDERAL CAPITAL REVOLVING FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-4614-4-4-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			294
0900 Total new obligations, unexpired accounts (object class 94.0)			294
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			10,000
Spending authority from offsetting collections, mandatory:			
1800 Collected			20
1900 Budget authority (total)			10,020
1930 Total budgetary resources available			10,020
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			9,726
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			294
3020 Outlays (gross)			-294
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			10,020
Outlays, gross:			
4100 Outlays from new mandatory authority			294
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-20
4180 Budget authority, net (total)			10,000
4190 Outlays, net (total)			274

FEDERAL CAPITAL REVOLVING FUND—Continued

This account provides \$10 billion to support a new Federal Capital Revolving Fund (FCRF) to finance federally-owned civilian real property projects. A robust discussion of the FCRF can be found in the Budget Process chapter of the *Analytical Perspectives* volume.

In summary, the FCRF will create a mechanism that is similar to a capital budget but operates within the traditional rules used for the Federal budget. Upon approval in an Appropriations Act, the revolving fund will transfer money to agencies to finance large-dollar real property purchases. Executing agencies will then be required to repay the fund in 15 equal annual amounts using discretionary appropriations.

As a result, purchases/construction/renovation of real property assets will no longer compete with annual operating and programmatic expenses for the limited funding available under tight discretionary caps. Instead, agencies will pay for real property over time as it is utilized. Repayments will be made from future appropriations, which will incentivize project selection based on highest mission need and return on investment, including future cost avoidance. The repayments will also replenish the revolving fund so that real property can continually be replaced as needed.

ASSET PROCEEDS AND SPACE MANAGEMENT FUND

For carrying out section 16(b) of the Federal Assets Sale and Transfer Act of 2016 (40 U.S.C. 1303 note), \$31,000,000, to remain available until expended: Provided, That any proceeds from the sale of assets deposited in the Asset Proceeds and Space Management Fund shall remain available until expended and may be used for implementing the recommendations of the Public Buildings Reform Board.

Program and Financing (in millions of dollars)

Identification code 047-0614-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Space Management		30	31
0900 Total new obligations, unexpired accounts (object class 94.0)		30	31
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	30	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	25		31
1930 Total budgetary resources available	30	30	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		30	31
3020 Outlays (gross)		-30	-31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	25		31
Outlays, gross:			
4010 Outlays from new discretionary authority			31
4011 Outlays from discretionary balances		30	
4020 Outlays, gross (total)		30	31
4180 Budget authority, net (total)	25		31
4190 Outlays, net (total)		30	31

This activity provides for the purposes of carrying out actions pursuant to the Public Buildings Reform Board recommendations for civilian real property. In addition, amounts received from the sale of any civilian real property pursuant to a recommendation of the Board are available, as provided in appropriations Acts. Activities authorized include consolidation, co-location, exchange, redevelopment, reconfiguration of space, disposal, covering costs associated with sales transactions, acquiring land, construction, constructing replacement facilities, and conducting advance planning

and design as may be required to transfer functions from a Federal asset or property to another Federal civilian property.

REAL PROPERTY RELOCATION

Program and Financing (in millions of dollars)

Identification code 047-0535-0-1-804	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	11	11
1930 Total budgetary resources available	11	11	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This appropriation covers relocation costs involved in moving agencies from valuable underutilized property, targeted for public sale, to facilities determined to be more economically suitable to their needs. Relocation and disposal is considered when the benefit/cost ratio is at least 2:1. The sale of these valuable underutilized properties would provide significant revenue to the Treasury and would far outweigh the relocation costs involved.

No appropriation is requested for this program in 2021. The General Services Administration will solicit relocation proposals from agencies.

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-5254-0-2-804	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	77	77	80
Receipts:			
Current law:			
1130 Receipts of Rent, Leases and Lease Payments for Government Owned Real Property		3	3
1130 Other Receipts, Surplus Real and Related Personal Property	23	15	15
1130 Transfers of Surplus Real and Related Personal Property Receipts	-21	-6	-6
1199 Total current law receipts	2	12	12
1999 Total receipts	2	12	12
2000 Total: Balances and receipts	79	89	92
Appropriations:			
Current law:			
2101 Disposal of Surplus Real and Related Personal Property	-2	-9	-9
Proposed:			
2201 Disposal of Surplus Real and Related Personal Property			-1
2999 Total appropriations	-2	-9	-10
5099 Balance, end of year	77	80	82

Program and Financing (in millions of dollars)

Identification code 047-5254-0-2-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Real Property Utilization and Disposal		9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	9	9
1930 Total budgetary resources available	2	10	10
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	1
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	1		
1952 Expired unobligated balance, start of year	4	5	5

1953	Expired unobligated balance, end of year	4	5	5
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts		9	9
3020	Outlays (gross)		-9	-9
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2	9	9
Outlays, gross:				
4100	Outlays from new mandatory authority		8	8
4101	Outlays from mandatory balances		1	1
4110	Outlays, gross (total)		9	9
4180	Budget authority, net (total)	2	9	9
4190	Outlays, net (total)		9	9

3020	Outlays (gross)			-1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross			1
Outlays, gross:				
4100	Outlays from new mandatory authority			1
4180	Budget authority, net (total)			1
4190	Outlays, net (total)			1

The Administration proposes to provide GSA with broadened authorities related to the disposal of excess property. The expanded authority will allow GSA to assist agencies in identifying and preparing real property prior to the agency declaring a property excess. Currently, agencies do not always complete these types of activities because agencies must fund the activities from limited resources. This expanded authority will help to reduce the Federal footprint by providing the funding required to assess and prepare potential excess properties for disposal, the funds will then be recovered from the proceeds of sale.

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2	9	9
Outlays		9	9
Legislative proposal, subject to PAYGO:			
Budget Authority			1
Outlays			1
Total:			
Budget Authority	2	9	10
Outlays		9	10

This mandatory appropriation provides for the efficient disposal of real property assets that no longer meet the needs of landholding Federal agencies. Fees of auctioneers, brokers, appraisers, and environmental consultants; surveying costs; costs of advertising; costs of environmental and historical preservation services; highest and best use of property studies; property utilization studies; deed compliance inspections; and other disposal costs are paid out of receipts from disposals in each year. GSA leverages the expertise of auctioneers and brokers familiar with local markets to accelerate the disposal of surplus real property.

Object Classification (in millions of dollars)

Identification code 047-5254-0-2-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services		8	8
25.3 Other goods and services from Federal sources		1	1
99.0 Direct obligations		9	9
99.9 Total new obligations, unexpired accounts		9	9

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-5254-4-2-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Real Property Utilization and Disposal			1
0900 Total new obligations, unexpired accounts (object class 25.1)			1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			1
1930 Total budgetary resources available			1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1

SUPPLY AND TECHNOLOGY ACTIVITIES

Federal Funds

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-5250-0-2-804	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	34	26	23
Receipts:			
Current law:			
1130 Recoveries of Transportation Charges	9	8	8
2000 Total: Balances and receipts	43	34	31
Appropriations:			
Current law:			
2101 Expenses of Transportation Audit Contracts and Contract Administration	-18	-12	-10
2132 Expenses of Transportation Audit Contracts and Contract Administration	1	1	
2199 Total current law appropriations	-17	-11	-10
2999 Total appropriations	-17	-11	-10
5099 Balance, end of year	26	23	21

Program and Financing (in millions of dollars)

Identification code 047-5250-0-2-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Audit contracts and contract administration	12	11	10
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	18	12	10
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1	
1260 Appropriations, mandatory (total)	17	11	10
1930 Total budgetary resources available	17	11	10
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-5		
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	5		
1952 Expired unobligated balance, start of year	10	17	17
1953 Expired unobligated balance, end of year	12	17	17

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	10	12
3010 New obligations, unexpired accounts	12	11	10
3020 Outlays (gross)	-10	-9	-9
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	10	12	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	10	12

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION—Continued

Program and Financing—Continued

Identification code 047-5250-0-2-804	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	10	12	13
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	17	11	10
Outlays, gross:			
4100 Outlays from new mandatory authority	8	8	7
4101 Outlays from mandatory balances	2	1	2
4110 Outlays, gross (total)	10	9	9
4180 Budget authority, net (total)	17	11	10
4190 Outlays, net (total)	10	9	9

This permanent, indefinite appropriation provides for the detection and recovery of overpayments to carriers for Government moves under rate and service agreements established by the U.S. General Services Administration (GSA) or by other Federal agency transportation managers. Program expenses are financed from overcharges collected from transportation service providers (TSPs) as a result of post payment audits that examine the validity, propriety, and conformity of charges with the proper rate authority. Funds recovered in excess of expenses are returned to the U.S Treasury.

Object Classification (in millions of dollars)

Identification code 047-5250-0-2-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	7	6	5
25.3 Other goods and services from Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	12	11	10

Employment Summary

Identification code 047-5250-0-2-804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	32	37	36

ACQUISITION SERVICES FUND

Program and Financing (in millions of dollars)

Identification code 047-4534-0-4-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0850 Assisted Acquisition Services (AAS) - Flow-Thru	10,419	12,546	12,722
0851 Information Technology Category (ITC) - Flow-Thru	1,434	1,731	1,758
0852 General Supplies and Services (GSS) - Flow-Thru	1,112	1,342	1,363
0853 Travel, Transportation and Logistics (TTL) - Flow-Thru	3,278	3,956	4,019
0854 Technology Transformation Services (TTS) - Flow Thru	32	39	39
0856 Integrated Award Environment (Total Operating Exp + Reserves)	144	156	132
0857 Acquisition Services Fund - Operating (Total Operating Exp + Reserves)	1,099	1,171	1,207
0900 Total new obligations, unexpired accounts	17,518	20,941	21,240
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	936	1,310	27
1021 Recoveries of prior year unpaid obligations	451	264	317
1022 Capital transfer of unobligated balances to general fund	-7
1033 Recoveries of prior year paid obligations	7
1050 Unobligated balance (total)	1,387	1,574	344
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	15,304	19,394	20,977
1801 Change in uncollected payments, Federal sources	2,137
1850 Spending auth from offsetting collections, mand (total)	17,441	19,394	20,977
1930 Total budgetary resources available	18,828	20,968	21,321

1941	Memorandum (non-add) entries:	1,310	27	81
	Unexpired unobligated balance, end of year	1,310	27	81
Change in obligated balance:				
Unpaid obligations:				
3000 Unpaid obligations, brought forward, Oct 1	9,477	11,536	12,032	
3010 New obligations, unexpired accounts	17,518	20,941	21,240	
3020 Outlays (gross)	-15,008	-20,181	-20,185	
3040 Recoveries of prior year unpaid obligations, unexpired	-451	-264	-317	
3050 Unpaid obligations, end of year	11,536	12,032	12,770	
Uncollected payments:				
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9,567	-11,704	-11,704	
3070 Change in uncollected pymts, Fed sources, unexpired	-2,137	
3090 Uncollected pymts, Fed sources, end of year	-11,704	-11,704	-11,704	
Memorandum (non-add) entries:				
3100 Obligated balance, start of year	-90	-168	328	
3200 Obligated balance, end of year	-168	328	1,066	

4090	Budget authority and outlays, net:	17,441	19,394	20,977
Mandatory:				
4090 Budget authority, gross	17,441	19,394	20,977	
Outlays, gross:				
4100 Outlays from new mandatory authority	7,662	9,697	10,488	
4101 Outlays from mandatory balances	7,346	10,484	9,697	
4110 Outlays, gross (total)	15,008	20,181	20,185	
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120 Federal sources	-14,446	-19,394	-20,977	
4123 Non-Federal sources	-865	
4130 Offsets against gross budget authority and outlays (total)	-15,311	-19,394	-20,977	
Additional offsets against gross budget authority only:				
4140 Change in uncollected pymts, Fed sources, unexpired	-2,137	
4143 Recoveries of prior year paid obligations, unexpired accounts	7	
4150 Additional offsets against budget authority only (total)	-2,130	
4170 Outlays, net (mandatory)	-303	787	-792	
4180 Budget authority, net (total)	
4190 Outlays, net (total)	-303	787	-792	

The Acquisition Services Fund (ASF) is a full cost recovery revolving fund financing nearly all operations of the Federal Acquisition Service (FAS). FAS also includes organizations that are funded out of the Operating Expense appropriation, the Transportation Audits warrant, and the Federal Citizen Services Fund (FCSF). The ASF provides for the acquisition of information technology (IT) solutions, telecommunications, motor vehicles, supplies, and a wide range of goods and services for Federal agencies. This fund recovers costs through fees charged to Federal agencies for services rendered and commodities provided.

The ASF is authorized by section 321 of title 40, United States Code, which requires the Administrator to establish rates to be charged to agencies receiving services that: 1) recover costs; and 2) provide for the cost and capital requirements of the ASF. The ASF is authorized to retain earnings to cover the cost of replacing fleet vehicles (Replacement Cost Pricing), maintain supply inventories adequate for customer needs, and fund anticipated operating needs specified by the Cost and Capital Plan.

The ASF now consists of six business portfolios and one strategic initiative:

Assisted Acquisition Services (AAS).—Assists agencies in making informed procurement decisions and serves as a center of acquisition excellence for the Federal community. AAS provides acquisition, technical, and project management services related to information technology and professional services at the best value.

Office of General Supplies and Services Categories (GS&S).—Provides partner agencies with general products such as furniture, office supplies, and hardware products. GS&S centralizes acquisitions on behalf of the Government to strategically procure goods and services at reduced costs, while ensuring regulatory compliance for partner agency procurements. This portfolio also provides personal property disposal services to partner agencies, which are partially funded by the Operating Expenses appropriation.

Information Technology Category (ITC).—Makes available IT and telecommunications products and services to Federal, State, and local agencies. ITC provides access to IT services, hardware, software, telecommunications, and IT security services.

Professional Services & Human Capital Categories (PSHC).—Provides Federal agencies with professional and human capital services contract solutions, including payment solutions through the GSA SmartPay program.

Technology Transformation Services (TTS).—Partners with Government agencies to transform the way they build, buy, and share technology. They use modern methodologies and technologies to help Federal agencies improve the public's experience with the Government. TTS helps agencies make their services more accessible, efficient, and effective with modern applications, platforms, processes, personnel, and software solutions

Travel, Transportation, and Logistics Categories (TTL).—Provides partner agencies with a broad scope of services which includes travel, transportation, and relocation services; motor vehicle acquisition; and motor vehicle fleet leasing services.

Integrated Award Environment (IAE).—IAE is an initiative that is run out of the Office of Systems Management that provides centralized technology supporting a modernized Federal award environment. IAE works across the Federal Government in collaboration with governance groups of interagency experts by leveraging technology to manage the collection and display of standardized data that is critical to maintaining the integrity of federal awarding processes. The IAE leverages technology to reduce burden on awardees and provide immediate and reliable access to data and information federal officials need to plan for, award, and manage taxpayer dollars efficiently and effectively. Analysis and use of data in the IAE environment has supported category management savings of over \$27 billion since 2017 and elimination of over 31,000 duplicate contracts while exceeding small business contracting goals. Ongoing modernization considers the need for removing friction in processes, providing self-service tools to leverage data as a strategic asset, and exploring innovation to open more data while reducing taxpayer costs. In alignment with the IAE modernization work in 2020, the program is working to refine its financial model to better reflect a modernized award environment.

Object Classification (in millions of dollars)

Identification code 047-4534-0-4-804	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	344	381	407
11.3 Other than full-time permanent	15		
11.5 Other personnel compensation	6	6	6
11.9 Total personnel compensation	365	387	413
12.1 Civilian personnel benefits	115	121	129
21.0 Travel and transportation of persons	7	10	10
22.0 Transportation of things	14	7	7
23.1 Rental payments to GSA	19	16	27
23.3 Communications, utilities, and miscellaneous charges	1,475	1,521	1,137
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	11,179	14,351	14,835
25.2 Other services from non-Federal sources	2	5	5
25.3 Other goods and services from Federal sources	317	277	273
25.7 Operation and maintenance of equipment	196	129	139
26.0 Supplies and materials	1,330	1,315	1,348
31.0 Equipment	2,496	2,800	2,915
42.0 Insurance claims and indemnities	1		
99.9 Total new obligations, unexpired accounts	17,518	20,941	21,240

Employment Summary

Identification code 047-4534-0-4-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	3,229	3,420	3,591

TECHNOLOGY MODERNIZATION FUND

For carrying out the purposes of the Technology Modernization Fund, as authorized by section 1078 of subtitle G of title X of the National Defense Authorization Act for Fiscal Year 2018 (Public Law 115-91), **[\$25,000,000]** **[\$150,000,000]**, to remain available until expended **]**, for technology-related modernization activities **]**. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 047-0616-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 IT Modernization and Development	1	1	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	88	83	81
1010 Unobligated balance transfer to other accts GSA [047-4540]	-21	-10	-5
1010 Unobligated balance transfer to other accts USDA [012-4609]		-13	-1
1010 Unobligated balance transfer to other accts DOL [016-4601]	-3	-1	
1010 Unobligated balance transfer to other accts DOE [089-0243]		-4	
1010 Unobligated balance transfer to other accts HUD [086-4586]		-4	
1010 Unobligated balance transfer to other accts EEOC [045-0100]		-2	-2
1050 Unobligated balance (total)	64	49	73
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	25	25	150
1120 Appropriations transferred to other acct USDA [012-4609]	-1		
1120 Appropriations transferred to other acct HUD [086-4586]	-5		
1160 Appropriation, discretionary (total)	19	25	150
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	8	12
1900 Budget authority (total)	20	33	162
1930 Total budgetary resources available	84	82	235
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	83	81	233
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			1
3010 New obligations, unexpired accounts	1	1	2
3020 Outlays (gross)	-1		-1
3050 Unpaid obligations, end of year		1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			1
3200 Obligated balance, end of year		1	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	20	33	162
Outlays, gross:			
4010 Outlays from new discretionary authority	1		1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-8	-12
4180 Budget authority, net (total)	19	25	150
4190 Outlays, net (total)		-8	-11

The Technology Modernization Fund (TMF) is a revolving fund that finances Federal agencies' transition from antiquated legacy information technology (IT) systems to more effective, secure, and modern IT platforms. The National Defense Authorization Act for Fiscal Year 2018 (Public Law 115-91), Subtitle G—Modernizing Government Technology (MGT), Section 1078 established the TMF and Technology Modernization Board (Board). The TMF is administered by the U.S. General Services Administration (GSA) in accordance with recommendations made by the inter-agency TMF Board established by the MGT Act. The Board is chaired by the Administrator of the Office of Electronic Government and comprises six additional members, delineated in the Act, possessing expertise in IT

TECHNOLOGY MODERNIZATION FUND—Continued

development, financial management, cybersecurity and privacy, and acquisition.

In accordance with OMB guidance, the Board rigorously reviews agency modernization proposals and recommends projects for funding, including identifying opportunities to migrate multiple legacy systems to common platforms; ensuring prioritization of projects with the greatest Government-wide impact and probability of success; and improvements to the security of critical IT infrastructure. As TMF funding is allocated to priority projects across the Federal Government, it is subsequently replenished by incremental agency repayments to the Fund for amounts transferred. This includes the cost of any services or work performed related to the administration of the Fund, ensuring that the TMF is self-sustaining and can continue to support modernization projects well beyond the initial infusions of capital. The GSA Administrator, in consultation with the Board and Director of OMB, is responsible for continuous oversight of funded projects to ensure success. Additionally, technical experts are paired with specific projects on a reimbursable basis to help execute quickly and successfully. All funding will be provided in increments based on agile development practices and is subject to agencies achieving planned project milestones.

Ultimately, retiring or modernizing vulnerable and inefficient legacy IT systems will make agencies more secure and save money. Absent immediate action, the cost to operate and maintain legacy systems will continue to grow while security vulnerabilities and other risks will remain unresolved. As a means of addressing these pressing challenges, the TMF is an important step in changing the way the Federal Government manages its IT portfolio.

The Board has reviewed more than three dozen project proposals from Federal agencies requesting more than \$446 million and has approved nine modernization projects totaling \$88 million in project awards.

Object Classification (in millions of dollars)

Identification code 047-0616-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.1 Advisory and assistance services			1
99.0 Direct obligations		1	2
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	1	1	2

Employment Summary

Identification code 047-0616-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	4	6

GENERAL ACTIVITIES

Federal Funds

GOVERNMENT-WIDE POLICY

For expenses authorized by law, not otherwise provided for, for Government-wide policy and evaluation activities associated with the management of real and personal property assets and certain administrative services; Government-wide policy support responsibilities relating to acquisition, travel, motor vehicles, information technology management, and related technology activities; and services as authorized by 5 U.S.C. 3109; **[\$64,000,000]** \$65,843,000, of which \$4,000,000 shall remain available until September 30, 2022. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 047-0401-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Government-wide policy	60	64	66
0801 Government-wide Policy (Reimbursable)	32	42	44

0900 Total new obligations, unexpired accounts	92	106	110
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	31	23	23
1020 Adjustment of unobligated bal brought forward, Oct 1	-7		
1050 Unobligated balance (total)	24	23	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	60	64	66
Spending authority from offsetting collections, discretionary:			
1700 Collected	28	42	44
1701 Change in uncollected payments, Federal sources	4		
1750 Spending auth from offsetting collections, disc (total)	32	42	44
1900 Budget authority (total)	92	106	110
1930 Total budgetary resources available	116	129	133
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	23	23	23

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	41	48	46
3010 New obligations, unexpired accounts	92	106	110
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-85	-108	-110
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	48	46	46
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-4		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39	44	42
3200 Obligated balance, end of year	44	42	42

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	92	106	110
Outlays, gross:			
4010 Outlays from new discretionary authority	43	69	73
4011 Outlays from discretionary balances	42	39	37
4020 Outlays, gross (total)	85	108	110
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-29	-42	-44
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4		
4052 Offsetting collections credited to expired accounts	1		
4060 Additional offsets against budget authority only (total)	-3		
4070 Budget authority, net (discretionary)	60	64	66
4080 Outlays, net (discretionary)	56	66	66
4180 Budget authority, net (total)	60	64	66
4190 Outlays, net (total)	56	66	66

This appropriation provides for the activities of the Office of Government-wide Policy (OGP). OGP works cooperatively with other agencies to develop and evaluate administrative policies associated with the following: acquisition and acquisition workforce career development; real property (including high-performing building policy); personal property; travel, transportation management, motor vehicles, and aircraft; advisory committee management; information technology (IT) and cyber security; evaluation practices; and transparency of regulatory information. OGP also collaborates with agencies and other primary government organizations to provide support for the execution of Government-wide priorities and programs. These programs include program management support for Government-wide shared services, cross-agency priority goals in the President's Management Agenda (PMA) and IT programs. OGP identifies and shares policies and best practices to drive savings, efficiency, and effectiveness across the Federal Government.

Object Classification (in millions of dollars)

Identification code 047-0401-0-1-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	19	21
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	19	20	22
12.1 Civilian personnel benefits	5	6	7
25.1 Advisory and assistance services	19	19	22
25.3 Other goods and services from Federal sources	15	18	14
99.0 Direct obligations	58	63	65
99.0 Reimbursable obligations	33	41	43
99.5 Adjustment for rounding	1	2	2
99.9 Total new obligations, unexpired accounts	92	106	110

Employment Summary

Identification code 047-0401-0-1-804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	128	148	162
2001 Reimbursable civilian full-time equivalent employment	24	40	40

OPERATING EXPENSES

For expenses authorized by law, not otherwise provided for, for Government-wide activities associated with utilization and donation of surplus personal property; disposal of real property; agency-wide policy direction, management, and communications; and services as authorized by 5 U.S.C. 3109; \$49,440,000, [of which \$26,890,000 is for Real and Personal Property Management and Disposal; and of which \$22,550,000 is for the Office of the Administrator,] of which not to exceed \$7,500 is for official reception and representation expenses. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 047-0110-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Expenses (Direct)	48	49	49
0801 Operating Expenses (Reimbursable)	4	15	15
0900 Total new obligations, unexpired accounts	52	64	64

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	49	52	49
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	15	15
1701 Change in uncollected payments, Federal sources	4		
1750 Spending auth from offsetting collections, disc (total)	6	15	15
1900 Budget authority (total)	55	67	64
1930 Total budgetary resources available	56	68	68
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
1941 Unexpired unobligated balance, end of year	1	4	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	8	5
3010 New obligations, unexpired accounts	52	64	64
3020 Outlays (gross)	-54	-67	-65
3050 Unpaid obligations, end of year	8	5	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-4		
3071 Change in uncollected pymts, Fed sources, expired	4		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	4	1
3200 Obligated balance, end of year	4	1	

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	55	67	64
Outlays, gross:			
4010 Outlays from new discretionary authority	46	58	56
4011 Outlays from discretionary balances	8	9	9
4020 Outlays, gross (total)	54	67	65
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-6	-15	-15
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-4		
4052 Offsetting collections credited to expired accounts	4		
4070 Budget authority, net (discretionary)	49	52	49
4080 Outlays, net (discretionary)	48	52	50
4180 Budget authority, net (total)	49	52	49
4190 Outlays, net (total)	48	52	50

This appropriation supports a variety of operational activities which are not feasible or appropriate for a user fee arrangement. Major programs include the personal property utilization and donation activities of the Federal Acquisition Service; the real property utilization and disposal activities of the Public Buildings Service; and Executive Management and Administration activities including support of Government-wide mission assurance activities.

Object Classification (in millions of dollars)

Identification code 047-0110-0-1-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	24	23
11.3 Other than full-time permanent	2		
11.9 Total personnel compensation	23	24	23
12.1 Civilian personnel benefits	8	8	9
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	3	1	1
25.3 Other goods and services from Federal sources	12	14	14
99.0 Direct obligations	48	49	49
99.0 Reimbursable obligations	2	15	15
99.5 Adjustment for rounding	2		
99.9 Total new obligations, unexpired accounts	52	64	64

Employment Summary

Identification code 047-0110-0-1-804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	197	218	215
2001 Reimbursable civilian full-time equivalent employment	12	16	16

CIVILIAN BOARD OF CONTRACT APPEALS

For expenses authorized by law, not otherwise provided for, for the activities associated with the Civilian Board of Contract Appeals, [\$9,301,000] \$9,625,000, of which \$2,000,000 shall remain available until expended. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 047-0610-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	9	9	10
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	9	10
1900 Budget authority (total)	9	9	10
1930 Total budgetary resources available	9	9	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1

CIVILIAN BOARD OF CONTRACT APPEALS—Continued
Program and Financing—Continued

Identification code 047-0610-0-1-804		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	9	9	10
3020	Outlays (gross)	-8	-9	-10
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		1	1
3200	Obligated balance, end of year	1	1	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	9	9	10
Outlays, gross:				
4010	Outlays from new discretionary authority	8	9	10
4180	Budget authority, net (total)	9	9	10
4190	Outlays, net (total)	8	9	10

The Civilian Board of Contract Appeals (CBCA) provides the prompt and efficient resolution of various disputes involving Federal executive branch agencies. The CBCA adjudicates contract disputes under the Contract Disputes Act (CDA) between Government contractors and all civilian executive agencies other than the National Aeronautics and Space Administration, the United States Postal Service, the Postal Rate Commission, and the Tennessee Valley Authority. Resolving CDA disputes can be accomplished by holding a hearing, deciding on the record or achieving settlement through alternative dispute resolution (ADR). The CBCA judges will hold a hearing or engage in ADR in the CBCAs offices or they will travel, at the CBCAs expense, to a mutually agreed upon location.

Object Classification (in millions of dollars)

Identification code 047-0610-0-1-804		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	2	2	3
25.3	Other goods and services from Federal sources	1	1	1
99.0	Direct obligations	8	8	9
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	9	9	10

Employment Summary

Identification code 047-0610-0-1-804		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	31	41	41

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General and services authorized by 5 U.S.C. 3109, **[\$67,000,000] \$69,000,000: Provided**, That not to exceed \$50,000 shall be available for payment for information and detection of fraud against the Government, including payment for recovery of stolen Government property: *Provided further*, That not to exceed \$2,500 shall be available for awards to employees of other Federal agencies and private citizens in recognition of efforts and initiatives resulting in enhanced Office of Inspector General effectiveness. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 047-0108-0-1-804		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Office of Inspector General (Direct)	66	67	69
0802	Office of Inspector General (Reimbursable)		1	1
0900	Total new obligations, unexpired accounts	66	68	70
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5	3	3

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	65	67	69
Spending authority from offsetting collections, discretionary:				
1700	Collected		1	1
1900	Budget authority (total)	65	68	70
1930	Total budgetary resources available	70	71	73
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	3	3	3

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	7	7	7
3010	New obligations, unexpired accounts	66	68	70
3020	Outlays (gross)	-65	-68	-69
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	7	7	8
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	7	7	7
3200	Obligated balance, end of year	7	7	8

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	65	68	70
Outlays, gross:				
4010	Outlays from new discretionary authority	60	57	58
4011	Outlays from discretionary balances	5	11	11
4020	Outlays, gross (total)	65	68	69
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources		-1	-1
4180	Budget authority, net (total)	65	67	69
4190	Outlays, net (total)	65	67	68

Summary of Budget Authority and Outlays (in millions of dollars)

		2019 actual	2020 est.	2021 est.
Enacted/requested:				
Budget Authority		65	67	69
Outlays		65	67	68
Legislative proposal, not subject to PAYGO:				
Budget Authority				5
Outlays				4
Total:				
Budget Authority		65	67	74
Outlays		65	67	72

This appropriation provides agency-wide audit, investigative, and inspection functions to identify and correct management and administrative deficiencies within the General Services Administration (GSA), including conditions for existing or potential instances of fraud, waste, and mismanagement. This audit function provides internal audit and contract audit services. Contract audits provide professional advice to GSA contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of GSA operations and programs, test internal control systems, and develop information to improve operating efficiencies and enhance customer services. The investigative function provides for the detection and investigation of improper and illegal activities involving GSA programs, personnel, and operations. The inspection function supplements traditional audits and investigations by providing systematic and independent assessments of the design, implementation, and/or results of GSA's operations, programs, or policies.

Object Classification (in millions of dollars)

Identification code 047-0108-0-1-804		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	35	36	37
11.5	Other personnel compensation	2	3	3
11.9	Total personnel compensation	37	39	40
12.1	Civilian personnel benefits	14	14	14
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	5	5	5
25.1	Advisory and assistance services	2	2	2

25.3	Other goods and services from Federal sources	3	3	3
25.7	Operation and maintenance of equipment	1	1	1
31.0	Equipment	1	1	1
99.0	Direct obligations	65	67	68
99.5	Adjustment for rounding	1	1	2
99.9	Total new obligations, unexpired accounts	66	68	70

Employment Summary

Identification code 047-0108-0-1-804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	297	310	310
2001 Reimbursable civilian full-time equivalent employment	3	3	3

OFFICE OF INSPECTOR GENERAL

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation to reorganize the Office of Personnel Management (OPM) and transfer certain of its functions to the General Services Administration (GSA), for necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, including services as authorized by 5 U.S.C. 3109 and hire of passenger motor vehicles, \$5,000,000, and in addition, not to exceed \$29,458,000, to be transferred from the appropriate trust funds of OPM's successor division at GSA for administrative expenses to audit, investigate, and provide other oversight, as determined by the Inspector General, of the retirement and insurance programs of OPM's successor division at GSA: Provided, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere.

Program and Financing (in millions of dollars)

Identification code 047-0108-2-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General (Direct)			5
0802 Office of Inspector General (Reimbursable)			29
0900 Total new obligations, unexpired accounts			34
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			5
Spending authority from offsetting collections, discretionary:			
1700 Collected			-29
1900 Budget authority (total)			-24
1930 Total budgetary resources available			-24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-58
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			34
3020 Outlays (gross)			25
3031 Unpaid obligations transferred from other accts [024-0400]			3
3050 Unpaid obligations, end of year			62
Uncollected payments:			
3081 Uncollected pymts from Fed sources transferred from other accounts			-6
3090 Uncollected pymts, Fed sources, end of year			-6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			56
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-24
Outlays, gross:			
4010 Outlays from new discretionary authority			-25
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources			29
4180 Budget authority, net (total)			5
4190 Outlays, net (total)			4

The President's Budget proposes the transfer of the U.S. Office of Personnel Management (OPM) Office of Inspector General (OIG) to the General Services Administration Office of Inspector General. The proposal, contin-

gent upon enactment of authorizing legislation, envisions a consolidated Office of Inspector General with a total budgetary authority of \$103 million.

This appropriation funds the OPM OIG's efforts to protect the integrity of OPM programs and operations. The OPM OIG's audits, investigations, evaluations, and administrative sanctions program serve to prevent and detect fraud, waste, abuse, and mismanagement. The OPM OIG's 2021 Budget request reflects the proposed merger of OPM and the General Services Administration (GSA), consistent with OMB's *Delivering Government Solutions in the 21st Century: Reform Plan and Reorganization Recommendations*.

The OPM OIG's Office of Audits conducts audits of OPM programs and operations. The Office of Audits issued 36 audit reports in 2019, with questioned costs totaling over \$30 million. The majority of the Office of Audits' work involves the Federal Employees Health Benefits Program (FEHBP) through audits of the health insurance carriers and the pharmacy benefit managers that contract with OPM. In addition, the Office of Audits focuses on other key OPM benefits programs, including the Federal retirement program, the Federal Employees' Group Life Insurance Program, the Federal Employee Dental and Vision Insurance Program, the Federal Long Term Care Insurance Program, and the Federal Flexible Spending Accounts. The Office of Audits also audits OPM revolving fund programs and operations, and is responsible for the oversight of the OPM financial statement audit, which is conducted by an independent public accounting firm.

The OPM OIG conducts information systems audits of general and application controls and security within OPM information systems and programs as well as audits of OPM contractor systems, such as those of FEHBP insurance carriers. One key OPM OIG project is to provide ongoing oversight of OPM's information technology (IT) modernization efforts, including a data center consolidation and potential mainframe migrations. The OPM OIG's longstanding expertise in these areas has been recognized and endorsed by the Congress. The OPM OIG's continued oversight of this project is essential to the IT security posture of OPM, its systems, and the highly sensitive data contained in these systems.

The OPM OIG's Office of Investigations detects and investigates improper and illegal activities involving OPM programs, personnel, and operations. The Office of Investigations is a statutory Federal law enforcement organization, with the authority to carry firearms, issue subpoenas, and to seek and execute both search and arrest warrants. In 2019, the Office of Investigations' activities led to 94 arrests, 130 indictments and informations, and 83 criminal convictions, and resulted in over \$39 million in recoveries to the OPM trust funds. In addition, the Office of Investigations partnered with the U.S. Department of Justice (DOJ) and other Federal, State, and local law enforcement agencies to investigate and collect fines, penalties, and forfeitures to the Federal Government totaling over \$1.3 billion. Based on evidence gathered during its investigations, the Office of Investigations pursues appropriate remedies, including referrals to the DOJ for criminal prosecutions or civil action, and/or referral to OPM or to the FEHBP Administrative Sanctions program. The Office of Investigations also investigates allegations of fraud against OPM programs, including the FEHBP and the Civil Service and Federal Employees Retirement Systems. When appropriate, the Office of Investigations conducts investigations of OPM internal operations, whistleblower complaints, and employee and contractor misconduct.

The Office of Evaluations conducts studies of OPM programs and operations from a broad, issue-based perspective. The Office of Evaluations combines the scoping and planning of traditional audits with the more reactive nature of investigations in order to respond quickly to high priority issues requiring immediate attention. Evaluations focus on program effectiveness and rely on in-depth analysis using multiple sources of data. Our evaluations typically involve multiple objectives, have complex or very little criteria, and may involve more than one OPM component. The Office of Evaluations conducts its work according to the Council of the Inspectors General on Integrity and Efficiency's Quality Standards for Inspections and Evaluation (January 2012) by following the policies and processes

OFFICE OF INSPECTOR GENERAL—Continued

detailed therein. The Office of Evaluations has issued eight final reports since its inception in 2015. The evaluations conducted by this small but adaptable office have covered a variety of OPM programs and operations, resulting in recommendations that minimized inefficiencies and corrected vulnerabilities in OPM's Retirement Services Program, the reporting of OPM's conference spending, OPM's oversight of the Federal Workers Compensation Program, and OPM's Senior Executive Service and Performance Management Office.

The OPM OIG's Administrative Sanctions program debars and suspends health care providers whose loss of licensure or conduct may pose a health and safety risk to FEHBP enrollees and their families or a financial threat to the FEHBP. In 2019, the OPM OIG was responsible for 875 suspensions and debarments of health care providers within the FEHBP.

Finally, the OPM OIG oversees activities of the OPM Revolving Fund. In January 2014, the Congress passed the OPM IG Act (Public Law 113–80). This legislation provided the necessary funding for the OPM OIG to audit, investigate, and provide other oversight of the activities of OPM revolving fund programs and operations, which included the National Background Investigations Bureau (NBIB). Pursuant to Executive Order 13869 and Section 925 of the National Defense Authorization Act for Fiscal Year 2018, NBIB functions transferred to the Department of Defense on October 1, 2019. Although NBIB functions have transitioned to what is now the Defense Counterintelligence and Security Agency (DCSA), the OPM OIG is maintaining responsibility for completing NBIB legacy oversight work—criminal investigations opened prior to October 1, 2019, as well as an audit of NBIB's 2019 financials for the OPM Audit and an audit of the broader information technology environment that will host NBIB's systems beyond October 1, 2019. To ensure the continuity of law enforcement investigations and audits, the OPM OIG is working on establishing an interagency agreement that will provide the necessary funding required to complete the NBIB legacy oversight work.

Object Classification (in millions of dollars)

Identification code 047–0108–2–1–804	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			3
11.9 Total personnel compensation			3
12.1 Civilian personnel benefits			1
99.0 Direct obligations			4
99.0 Reimbursable obligations			29
99.5 Adjustment for rounding			1
99.9 Total new obligations, unexpired accounts			34

Employment Summary

Identification code 047–0108–2–1–804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			21
2001 Reimbursable civilian full-time equivalent employment			154

ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS

For carrying out the provisions of the Act of August 25, 1958 (3 U.S.C. 102 note), and Public Law 95–138, [S3,851,000] \$3,915,270. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 047–0105–0–1–802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Allowances, pensions, and office staff	4	4	4

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	4	4
1930 Total budgetary resources available	5	4	4
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-4	-4

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	5	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	4	4	4
4180 Budget authority, net (total)	5	4	4
4190 Outlays, net (total)	4	4	4

This appropriation provides pensions, office staffs, and related expenses for former Presidents Jimmy Carter, William Clinton, George W. Bush, and Barack Obama.

Object Classification (in millions of dollars)

Identification code 047–0105–0–1–802	2019 actual	2020 est.	2021 est.
Direct obligations:			
13.0 Benefits for former Presidents	1	1	1
23.1 Rental payments to GSA	2	2	2
99.0 Direct obligations	3	3	3
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	4	4	4

EXPENSES, PRESIDENTIAL TRANSITION

For necessary expenses to carry out the Presidential Transition Act of 1963 and 40 U.S.C. 581(e), \$9,900,000, of which not to exceed \$1,000,000 is for activities authorized by sections 3(a)(8) and 3(a)(9) of the Act: Provided, That such amounts may be transferred and credited to the "Acquisition Services Fund" or "Federal Buildings Fund" to reimburse obligations incurred prior to enactment of this Act for the purposes provided herein related to the Presidential election in 2020: Provided further, That amounts available under this heading shall be in addition to any other amounts available for such purposes: Provided further, That in the case where the President-elect is the incumbent President or in the case where the Vice-President-elect is the incumbent Vice President, \$8,900,000 is hereby permanently cancelled, pursuant to section 3(g) of the Presidential Transition Act of 1963.

Program and Financing (in millions of dollars)

Identification code 047–0107–0–1–802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Presidential Transition			10

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			10
1930 Total budgetary resources available			10

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts			10
3020 Outlays (gross)			-10
3041 Recoveries of prior year unpaid obligations, expired	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			10
Outlays, gross:			
4010 Outlays from new discretionary authority			10

4180	Budget authority, net (total)	10
4190	Outlays, net (total)	10

This appropriation provides for an orderly transfer of Executive leadership in accordance with the Presidential Transition Act of 1963, as amended. These expenses include costs of \$1,000,000 provided for briefing and training personnel associated with the incoming administration. New appropriations are generally requested only in Presidential election years.

Object Classification (in millions of dollars)

Identification code 047-0107-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8	Personnel compensation: Special personal services payments		2
21.0	Travel and transportation of persons		2
23.3	Communications, utilities, and miscellaneous charges		2
25.1	Advisory and assistance services		4
99.0	Direct obligations		10
99.9	Total new obligations, unexpired accounts		10

PRE-ELECTION PRESIDENTIAL TRANSITION

(INCLUDING TRANSFER OF FUNDS)

For activities authorized by the Pre-Election Presidential Transition Act of 2010 (Public Law 111-283), not to exceed \$9,620,000, to remain available until September 30, 2021: *Provided*, That such amounts may be transferred to "Acquisition Services Fund" or "Federal Buildings Fund" to reimburse obligations incurred for the purposes provided herein in fiscal years 2019 and 2020: *Provided further*, That amounts made available under this heading shall be in addition to any other amounts available for such purposes. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 047-0603-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Pre-Election Transition	9	1
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1		1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	10	
1930	Total budgetary resources available	10	1
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		1
3010	New obligations, unexpired accounts	9	1
3020	Outlays (gross)	-8	-2
3050	Unpaid obligations, end of year	1	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		1
3200	Obligated balance, end of year	1	
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	10	
Outlays, gross:			
4010	Outlays from new discretionary authority	8	
4011	Outlays from discretionary balances		2
4020	Outlays, gross (total)	8	2
4180	Budget authority, net (total)	10	
4190	Outlays, net (total)	8	2

In accordance with the Pre-Election Transition Act of 2010, the Pre-Election Presidential Transition appropriation enables GSA to provide suitable office space for Pre-Election transition activities, acquire communication services and information technology equipment, and for printing

and supplies associated with the potential transition. New appropriations are generally requested only the year before a Presidential election year.

Object Classification (in millions of dollars)

Identification code 047-0603-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.1	Rental payments to GSA		1
25.1	Advisory and assistance services		2
25.3	Other goods and services from Federal sources		2
31.0	Equipment		4
99.0	Direct obligations		9
99.5	Adjustment for rounding		1
99.9	Total new obligations, unexpired accounts	9	1

ACQUISITION WORKFORCE TRAINING FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-5381-0-2-804	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	1	4	5
Receipts:				
Current law:				
1140	Acquisition Workforce Training Fund	10	10	11
2000	Total: Balances and receipts	11	14	16
Appropriations:				
Current law:				
2101	Acquisition Workforce Training Fund	-9	-9	-11
Special and trust fund receipts returned:				
3010	Acquisition Workforce Training Fund	1		
3010	Acquisition Workforce Training Fund	1		
5099	Balance, end of year	4	5	5

Program and Financing (in millions of dollars)

Identification code 047-5381-0-2-804	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0002	Acquisition Workforce Training	8	12	11
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	14	15	12
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	9	9	11
1930	Total budgetary resources available	23	24	23
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	15	12	12
Special and non-revolving trust funds:				
Other balances withdrawn and returned to unappropriated receipts:				
1950		1		
1952	Expired unobligated balance, start of year	1	1	1
1953	Expired unobligated balance, end of year	1	1	1
1954	Unobligated balance canceling	1		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6	6	13
3010	New obligations, unexpired accounts	8	12	11
3020	Outlays (gross)	-8	-5	-10
3050	Unpaid obligations, end of year	6	13	14
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6	6	13
3200	Obligated balance, end of year	6	13	14
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	9	9	11
Outlays, gross:				
4100	Outlays from new mandatory authority			1
4101	Outlays from mandatory balances	8	5	9
4110	Outlays, gross (total)	8	5	10
4180	Budget authority, net (total)	9	9	11
4190	Outlays, net (total)	8	5	10

ACQUISITION WORKFORCE TRAINING FUND—Continued

The Acquisition Workforce Training Fund (AWTF) is a permanent, indefinite appropriation providing a stable source of funds to train the Federal civilian acquisition workforce. The AWTF is financed through a credit of five percent of the fees collected from non-Department of Defense activities by the General Services Administration (GSA) and other civilian agencies that manage Government-wide Acquisition Contracts (GWACs), Multiple Award Schedules (MAS) contracts, and other multi-agency contracts. Receipts are available for expenditure in the fiscal year collected, in addition to the two following fiscal years. The AWTF is managed by GSA's Federal Acquisition Institute (FAI) in consultation with the White House Office of Federal Procurement Policy, and the FAI Board of Directors.

Object Classification (in millions of dollars)

Identification code 047-5381-0-2-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	2	4	4
25.3 Other goods and services from Federal sources	6	8	7
99.9 Total new obligations, unexpired accounts	8	12	11

ENVIRONMENTAL REVIEW IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 047-5640-0-2-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Environmental Review Improvement	4		
0801 Reimbursable program activity	1		
0900 Total new obligations, unexpired accounts	5		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	
1010 Unobligated balance transfer to other accts [473-5761]		-2	
1050 Unobligated balance (total)	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6		
1900 Budget authority (total)	6		
1930 Total budgetary resources available	7		
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3010 New obligations, unexpired accounts	5		
3020 Outlays (gross)	-4		
3030 Unpaid obligations transferred to other accts [473-5761]		-1	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6		
Outlays, gross:			
4010 Outlays from new discretionary authority	4		
4180 Budget authority, net (total)	6		
4190 Outlays, net (total)	4		

The appropriations for the Environmental Review Improvement Fund have transferred and merged with a new independent fund in 2020 in accordance with Public Law 116-93.

Object Classification (in millions of dollars)

Identification code 047-5640-0-2-808	2019 actual	2020 est.	2021 est.
25.3 Direct obligations: Other goods and services from Federal sources	4		
99.0 Direct obligations	4		
99.0 Reimbursable obligations	1		
99.9 Total new obligations, unexpired accounts	5		

Employment Summary

Identification code 047-5640-0-2-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4		

FEDERAL CITIZEN SERVICES FUND

(INCLUDING TRANSFER OF FUNDS)

For [necessary] expenses [of the Office of Products and Programs, including services] authorized by 40 U.S.C. 323 and 44 U.S.C. 3604; and for [necessary] expenses authorized by law, not otherwise provided for, in support of interagency projects that enable the Federal Government to enhance its ability to conduct activities electronically, through the development and implementation of innovative uses of information technology; [\$55,000,000] \$58,400,000, to be deposited into the Federal Citizen Services Fund: *Provided*, That the previous amount may be transferred to Federal agencies to carry out the purpose of the Federal Citizen Services Fund: *Provided further*, That the appropriations, revenues, reimbursements, and collections deposited into the Fund shall be available until expended for necessary expenses of Federal Citizen Services and other activities that enable the Federal Government to enhance its ability to conduct activities electronically [in the aggregate amount not to exceed \$100,000,000: *Provided further*, That appropriations, revenues, reimbursements, and collections accruing to this Fund during fiscal year 2020 in excess of such amount shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts: *Provided further*, That, of the total amount appropriated, up to \$5,000,000 shall be available for support functions and full-time hires to support activities related to the Administration's requirements under Title II of the Foundations for Evidence-Based Policymaking Act (Public Law 115-435)]: *Provided further*, That the transfer authorities provided herein shall be in addition to any other transfer authority provided in this Act. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 047-4549-0-4-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Products and Programs	48	58	51
0003 Digital Services	11	7	7
0799 Total direct obligations	59	65	58
0802 Federal Citizen Services Fund (Reimbursable)	3	7	7
0900 Total new obligations, unexpired accounts	62	72	65
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	10
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	23	20	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	55	55	58
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	7	7
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	4	7	7
1900 Budget authority (total)	59	62	65
1930 Total budgetary resources available	82	82	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	21	22
3010 New obligations, unexpired accounts	62	72	65

3020	Outlays (gross)	-57	-71	-64
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	21	22	23
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	17	18	19
3200	Obligated balance, end of year	18	19	20

Budget authority and outlays, net:

Discretionary:

4000	Budget authority, gross	59	62	65
	Outlays, gross:			
4010	Outlays from new discretionary authority	40	51	53
4011	Outlays from discretionary balances	17	20	11
4020	Outlays, gross (total)	57	71	64
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	-3	-7	-7
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4070	Budget authority, net (discretionary)	55	55	58
4080	Outlays, net (discretionary)	54	64	57
4180	Budget authority, net (total)	55	55	58
4190	Outlays, net (total)	54	64	57

Memorandum (non-add) entries:

5096	Unexpired unavailable balance, SOY: Appropriations	2	2	2
5098	Unexpired unavailable balance, EOY: Appropriations	2	2	2

The Federal Citizen Services Fund (FCSF) enables public access and engagement with Government through an array of public and agency facing products and programs. The FCSF initiatives help individuals, businesses, other governments, and the media to easily interact with Federal information, services, benefits, and business opportunities. The Fund supports agency facing programs that drive Government-wide transformation efforts to secure digital Government through shared services, platforms and solutions. The fund also provides technical expertise to agencies to improve their operations and the public's experience with Government in support of the President's Management Agenda and Cross-Agency Priority Goals. The FCSF supports extensive communities of practice that drive adoption and improvement of digital services, and help agencies develop and share best practices and training to address tactical needs. GSA will continue to use the FCSF to support initiatives that drive innovation in Government operations and improve the transparency, efficiency, and effectiveness of Federal operations and quality of Government services.

The FCSF funds a portion of the authorized activities of the Technology Transformation Services (TTS), a division within GSA's Federal Acquisition Service. The FCSF appropriation provides for the salaries and expenses of staff and programs authorized by 40 U.S.C. 323 and 44 U.S.C. 3604. Other TTS programs not funded by FCSF are funded on a reimbursable basis by the Acquisition Services Fund (ASF). The ASF-funded portion of TTS includes the Office of 18F, the Office of Acquisitions, the Centers of Excellence, and the Presidential Innovation Fellows Program.

Object Classification (in millions of dollars)

Identification code 047-4549-0-4-376		2019 actual	2020 est.	2021 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	8	10	11
11.3	Other than full-time permanent	1		
11.9	Total personnel compensation	9	10	11
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA			1
25.1	Advisory and assistance services	25	35	24
25.3	Other goods and services from Federal sources	22	17	19
99.0	Direct obligations	59	65	58
	Reimbursable obligations:			
25.1	Advisory and assistance services	3		

25.3	Other goods and services from Federal sources	7	7	7
99.0	Reimbursable obligations	3	7	7
99.9	Total new obligations, unexpired accounts	62	72	65

Employment Summary

Identification code 047-4549-0-4-376		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	67	72	85

WORKING CAPITAL FUND

(INCLUDING TRANSFER OF FUNDS)

For the Working Capital Fund of the General Services Administration, \$90,000,000, to remain available until expended, of which \$70,000,000 shall be used for costs incurred transitioning Office of Personnel Management functions to the General Services Administration and for costs related to modernizing, upgrading, or replacing the Office of Personnel Management's information technology, and of which \$20,000,000 shall be used for the costs incurred by the General Services Administration and other agencies associated with transferring Government payroll functions to the General Services Administration's NewPay solution: Provided, That such funds may be transferred and credited to other accounts at the General Services Administration and the Office of Personnel Management and other agencies in amounts necessary to cover or reimburse costs incurred for the purposes provided herein: Provided further, That amounts made available under this heading shall be in addition to any other amounts available for such purposes.

Program and Financing (in millions of dollars)

Identification code 047-4540-0-4-804		2019 actual	2020 est.	2021 est.
	Obligations by program activity:			
0001	Lapsed Balances			10
0002	Working Capital Fund (Direct from TMF)	15	14	5
0003	OPM Direct Appropriations			70
0004	New Pay Direct Appropriations			20
0799	Total direct obligations	15	14	105
0801	Working Capital Fund (Reimbursable)	694	728	701
0900	Total new obligations, unexpired accounts	709	742	806

Budgetary resources:

Unobligated balance:

1000	Unobligated balance brought forward, Oct 1	96	109	87
1011	Unobligated balance transfer from other acct [047-0616]	21	10	5
1012	Unobligated balance transfers between expired and unexpired accounts	10		
1021	Recoveries of prior year unpaid obligations	6	5	5
1050	Unobligated balance (total)	133	124	97
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation			90
	Spending authority from offsetting collections, discretionary:			
1700	Collected	679	705	706
1701	Change in uncollected payments, Federal sources	6		
1750	Spending auth from offsetting collections, disc (total)	685	705	706
1900	Budget authority (total)	685	705	796
1930	Total budgetary resources available	818	829	893
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	109	87	87

Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	217	250	240
3010	New obligations, unexpired accounts	709	742	806
3020	Outlays (gross)	-670	-747	-784
3040	Recoveries of prior year unpaid obligations, unexpired	-6	-5	-5
3050	Unpaid obligations, end of year	250	240	257
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-10	-10
3070	Change in uncollected pymts, Fed sources, unexpired	-6		
3090	Uncollected pymts, Fed sources, end of year	-10	-10	-10
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	213	240	230

WORKING CAPITAL FUND—Continued
Program and Financing—Continued

Identification code 047-4540-0-4-804	2019 actual	2020 est.	2021 est.
3200 Obligated balance, end of year	240	230	247
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	685	705	796
Outlays, gross:			
4010 Outlays from new discretionary authority	547	529	598
4011 Outlays from discretionary balances	123	218	186
4020 Outlays, gross (total)	670	747	784
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-679	-705	-706
4040 Offsets against gross budget authority and outlays (total)	-679	-705	-706
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-6		
4060 Additional offsets against budget authority only (total)	-6		
4070 Budget authority, net (discretionary)			90
4080 Outlays, net (discretionary)	-9	42	78
4180 Budget authority, net (total)			90
4190 Outlays, net (total)	-9	42	78

The Working Capital Fund (WCF) is a revolving fund that finances GSA's administrative services. These include, but are not limited to: IT management; budget and financial management; legal services; human resources; equal employment opportunity services; procurement and contracting oversight; emergency planning and response; and facilities management of GSA-occupied space. This account also funds liaison activities with the U.S. Small Business Administration to ensure that small and disadvantaged businesses receive a fair share of the Agency's business. WCF offices also provide external administrative services such as human resource management for other Federal agencies including a number of small boards and commissions on a reimbursable basis. GSA's WCF operations are divided into four types of services: Internal Services, External Services, Major Equipment Acquisition & Development, and Direct Appropriations.

Object Classification (in millions of dollars)

Identification code 047-4540-0-4-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services (Lapsed Balance)			10
25.1 Advisory and assistance services (OPM)			70
25.1 Advisory and assistance services (TMF)	14	14	5
25.1 Advisory and assistance services (New Pay)			20
31.0 Equipment	1		
99.0 Direct obligations	15	14	105
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	219	228	227
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	4	3	3
11.9 Total personnel compensation	225	231	230
12.1 Civilian personnel benefits	87	71	71
13.0 Benefits for former personnel		3	3
21.0 Travel and transportation of persons	6	6	6
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	38	35	18
23.3 Communications, utilities, and miscellaneous charges	23	22	22
25.1 Advisory and assistance services	208	242	127
25.2 Other services from non-Federal sources	2	4	94
25.3 Other goods and services from Federal sources	45	52	66
25.4 Operation and maintenance of facilities	4		
25.7 Operation and maintenance of equipment	1		
26.0 Supplies and materials	1	1	1
31.0 Equipment	53	60	62
99.0 Reimbursable obligations	694	728	701
99.9 Total new obligations, unexpired accounts	709	742	806

Employment Summary

Identification code 047-4540-0-4-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,871	2,021	2,052

OFFICE OF PERSONNEL MANAGEMENT

The President's Budget for 2021 reflects a full reorganization of the Office of Personnel Management (OPM). Contingent upon the enactment of authorizing legislation, OPM accounts will transfer to the General Services Administration as illustrated below.

Federal Funds

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

(INCLUDING TRANSFER OF TRUST FUNDS)

Contingent upon enactment of authorizing legislation to reorganize the Office of Personnel Management (OPM) and transfer certain of its functions to the General Services Administration (GSA), for necessary expenses to carry out functions formerly vested in OPM pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, \$147,322,000: Provided, That of the total amount made available under this heading, up to \$8,811,000 shall remain available until expended, for information technology infrastructure modernization and Trust Fund Federal Financial System migration or modernization, and shall be in addition to funds otherwise made available for such purposes: Provided further, That of the total amount made available under this heading, \$1,048,000 may be made available for strengthening the capacity and capabilities of the acquisition workforce (as defined by the Office of Federal Procurement Policy Act (41 U.S.C. 4001 et seq.)), including the recruitment, hiring, training, and retention of such workforce and information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management; and in addition \$147,609,000 for administrative expenses, to be transferred from the appropriate trust funds of OPM's successor division at GSA without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs: Provided further, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), 8958(f)(2)(A), 8988(f)(2)(A), and 9004(f)(2)(A) of title 5, United States Code: Provided further, That no part of this appropriation shall be available for salaries and expenses of the Legal Examining Unit of OPM's successor division at GSA established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: Provided further, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year 2021, accept donations of money, property, and personal services: Provided further, That such donations, including those from prior years, may be used for the development of publicity materials to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission: Provided further, That amounts available under this heading, including amounts received by transfer from the applicable trust funds of OPM's successor division at GSA, may be transferred to an Information Technology Working Capital Fund for purposes authorized by the Modernizing Government Technology Act (40 U.S.C. 11301 note).

Program and Financing (in millions of dollars)

Identification code 047-0621-2-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Employee Services			38
0002 Merit System Audit & Compliance			13
0003 Office of the Chief Financial Officer			10
0004 Office of the Chief Information Officer			42
0005 Executive Services			9
0008 Administrative Services and Centrally Financed			35

0100	Direct program activities, subtotal	147
0799	Total direct obligations	147
0801	Trust Fund Activity	148
0900	Total new obligations, unexpired accounts	295
Budgetary resources:		
Unobligated balance:		
1011	Unobligated balance transfer from other acct [024-0100]	12
Budget authority:		
Appropriations, discretionary:		
1100	Appropriation	147
1700	Spending authority from offsetting collections, discretionary: Collected	148
1900	Budget authority (total)	295
1930	Total budgetary resources available	307
Memorandum (non-add) entries:		
1941	Unexpired unobligated balance, end of year	12
Change in obligated balance:		
Unpaid obligations:		
3010	New obligations, unexpired accounts	295
3020	Outlays (gross)	-276
3031	Unpaid obligations transferred from other accts [024-0100]	17
3050	Unpaid obligations, end of year	36
Uncollected payments:		
3081	Uncollected pymts from Fed sources transferred from other accounts	-111
3090	Uncollected pymts, Fed sources, end of year	-111
Memorandum (non-add) entries:		
3200	Obligated balance, end of year	-75
Budget authority and outlays, net:		
Discretionary:		
4000	Budget authority, gross	295
Outlays, gross:		
4010	Outlays from new discretionary authority	276
Offsets against gross budget authority and outlays:		
Offsetting collections (collected) from:		
4030	Federal sources	-148
4180	Budget authority, net (total)	147
4190	Outlays, net (total)	128

The Office of Personnel Management's (OPM) mission is to lead and serve the Federal Government in enterprise human resources management by delivering policies and services to achieve a trusted, effective civilian workforce. OPM will lead the way in making the Federal Government the model employer by being the model agency in implementing best practices, leading by example, and becoming the change we want to see. The 2021 Budget will enable OPM to integrate with the General Services Administration while continuing to support Federal agencies in a manner that furthers merit system principles and administers employee benefit programs for Federal employees.

The functions and objectives of OPM's major organizations are:

Employee Services.—Develops human resource (HR) policies for Executive Branch agencies and provides policy direction and leadership in designing, developing, and promulgating Government-wide HR systems and programs for recruitment, staffing, classification, pay, leave, training, performance management and recognition, employee development, management of executive resources, work/life/wellness programs, and labor and employee relations.

Merit System Accountability and Compliance.—Ensures Federal agency HR programs are effective, efficient, and meet merit system principles and related civil service requirements by working directly with other Federal agency Chief Human Capital Officers, Accountability Program Managers, HR managers and specialists. It improves agency programs that are not in compliance with Federal HR policies and regulation; and improves the effectiveness and efficiency of the agency programs to meet agency mission and objectives.

Retirement Services Program.—Administers the Civil Service Retirement System and the Federal Employees Retirement System, serving Federal retirees and survivors who receive monthly annuity payments. Retirement Services Program will continue to focus on making initial eligibility de-

terminations, adjudicating new retirements, initiating survivor benefit payments, and calculating post retirement changes due to disability and death.

Healthcare & Insurance.—Administers the Federal Employees Health Benefits Program, the Federal Employees' Group Life Insurance Program, the Federal Flexible Spending Account Program, the Federal Long Term Care Insurance Program, and the Federal Employee Dental and Vision Insurance Program. These programs provide a complete suite of insurance benefits for more than eight million Federal employees, retirees, and their families.

Object Classification (in millions of dollars)

Identification code 047-0621-2-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent		55
11.5	Other personnel compensation		1
11.9	Total personnel compensation		56
12.1	Civilian personnel benefits		18
21.0	Travel and transportation of persons		1
23.3	Communications, utilities, and miscellaneous charges		26
25.2	Other services from non-Federal sources		44
31.0	Equipment		2
99.0	Direct obligations		147
99.0	Reimbursable obligations		148
99.9	Total new obligations, unexpired accounts		295

Employment Summary

Identification code 047-0621-2-1-805	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		657
2001	Reimbursable civilian full-time equivalent employment		708

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0619-2-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Government contribution for annuitants benefits (1959 Act)		14,189
0002	Government contribution for annuitants benefits (1960 Act)		1
0900	Total new obligations, unexpired accounts (object class 13.0)		14,190
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation		14,190
1930	Total budgetary resources available		14,190
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		14,190
3020	Outlays (gross)		-14,190
3031	Unpaid obligations transferred from other accts [024-0206]		1,465
3050	Unpaid obligations, end of year		1,465
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		1,465
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		14,190
Outlays, gross:			
4100	Outlays from new mandatory authority		12,951
4101	Outlays from mandatory balances		1,239
4110	Outlays, gross (total)		14,190
4180	Budget authority, net (total)		14,190
4190	Outlays, net (total)		14,190

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS—Continued

This appropriation covers: 1) the Government's share of the cost of health insurance for annuitants as defined in sections 8901 and 8906 of title 5, United States Code; 2) the Government's share of the cost of health insurance for annuitants (who were retired when the Federal employees health benefits law became effective), as defined in the Retired Federal Employees Health Benefits Act of 1960 (the Act); and 3) the Government's contribution for payment of administrative expenses incurred by the Office of Personnel Management in administration of the Act. The budget authority for this account recognizes the amounts being remitted by the Postal Service Retiree Health Benefits Fund to finance a portion of United States Postal Service annuitants' health benefit costs.

	2019 actual	2020 est.	2021 est.
FEHB	1,932,561	1,961,474	1,985,553
USPS annuitants (non-add)	426,130	424,000	424,000
REHB	135	111	91
Total, annuitants	1,932,696	1,961,585	1,985,644

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS

(Legislative proposal, subject to PAYGO)

The President's 2021 Budget includes a package of proposals that will improve program efficiency, introduce more accountability and increase competition and choice: 1) Medical Liability Reform would potentially reduce the costs of medical liability and lower insurance premiums of the Federal Employee Health Benefit (FEHB) Program; and 2) modifying the Federal Government contribution rate for premiums to base it on a plan's score from the FEHB Plan Performance Assessment would improve healthcare quality and affordability within the program. The enactment of the proposals in 2021 will not begin to impact program financials until 2023.

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0620-2-1-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct)			44
0900 Total new obligations, unexpired accounts (object class 25.2)			44
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			44
1930 Total budgetary resources available			44
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			44
3020 Outlays (gross)			-44
3031 Unpaid obligations transferred from other acts [024-0500]			5
3050 Unpaid obligations, end of year			5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			44
Outlays, gross:			
4100 Outlays from new mandatory authority			38
4101 Outlays from mandatory balances			6
4110 Outlays, gross (total)			44
4180 Budget authority, net (total)			44
4190 Outlays, net (total)			44

Per Public Law 96-427, Federal Employees' Group Life Insurance Act of 1980, enacted October 10, 1980, this appropriation finances the Government's share of premiums, which is one-third the cost, for Basic life insurance for annuitants retiring after December 31, 1989, and who are less than 65 years old.

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0617-2-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Payment of Government share of retirement costs			16,600
0003 Transfers for interest on unfunded liability and payment of military service annuities			27,700
0005 Spouse equity payment			44
0900 Total new obligations, unexpired accounts			44,344
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			27,700
1200 Appropriation			16,644
1260 Appropriations, mandatory (total)			44,344
1930 Total budgetary resources available			44,344
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			44,344
3020 Outlays (gross)			-44,344
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			44,344
Outlays, gross:			
4100 Outlays from new mandatory authority			44,344
4180 Budget authority, net (total)			44,344
4190 Outlays, net (total)			44,344

The Payment to the Civil Service Retirement and Disability Fund consists of an appropriation and a permanent indefinite authorization to pay the Government's share of retirement costs. The payment is made directly from the general fund of the U.S. Treasury into the Civil Service Retirement and Disability Fund and is in addition to appropriated funds that will be contributed from agency budgets.

Current Appropriation Payment of Government share of retirement costs.—The Civil Service Retirement Amendments of 1969 provides for an annual appropriation to amortize, over a 30-year period, all increases in Civil Service Retirement System costs resulting from acts of the Congress granting new or liberalized benefits, extensions of coverage, or pay raises, exclusive of the effects of cost-of-living adjustments. The Office of Personnel Management notifies the Secretary of the Treasury each year of such sums as may be necessary to carry out these provisions.

Permanent Indefinite Authorization.—Transfers for interest on static unfunded liability and payment of military service annuities. The Civil Service Retirement Amendments of 1969 also provides permanent, indefinite authorization for the Secretary of the Treasury to transfer, on an annual basis, an amount equal to five percent interest on the Civil Service Retirement and Disability Fund's current statutory unfunded liability, calculated based on static economic assumptions, and annuity disbursements attributable to credit for military service.

Payments for Spouse Equity.—The permanent, indefinite authorization also includes a payment which provides for the Secretary of the Treasury to transfer an amount equal to the annuities granted to eligible former spouses of annuitants who died between September 1978 and May 1985 who did not elect survivor coverage.

Financing.—The unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, and annuities under special

Acts to be credited to the Civil Service Retirement and Disability Fund, may be paid out of the Civil Service Retirement and Disability Fund.

Object Classification (in millions of dollars)

Identification code 047-0617-2-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
12.1 Civilian personnel benefits			16,644
13.0 Benefits for former personnel			27,700
99.9 Total new obligations, unexpired accounts			44,344

FLEXIBLE BENEFITS PLAN RESERVE
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-0618-2-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FSA FEDS Risk Reserve			19
0900 Total new obligations, unexpired accounts (object class 25.6)			19
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-0800]			65
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			22
1930 Total budgetary resources available			87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			68
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			19
3020 Outlays (gross)			-19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			22
Outlays, gross:			
4100 Outlays from new mandatory authority			19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-1
4123 Non-Federal sources			-21
4130 Offsets against gross budget authority and outlays (total)			-22
4170 Outlays, net (mandatory)			-3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-3
Memorandum (non-add) entries:			
5091 Unexpired unavailable balance, transfer from OPM: Offsetting collections			10
5092 Unexpired unavailable balance, EOY: Offsetting collections			10

This account contains reserve resources required under the Office of Personnel Management's (OPM) contract with the administrator of the Flexible Benefits program. This account is funded by payments from Federal agencies based on the participation of their employees in the program and from net forfeitures, as authorized by the National Defense Authorization Act for Fiscal Year 2004 (P.L. 108-136). Account assets are available to indemnify the administrator when benefit payments exceed contributions, for program enhancements, and for OPM's administration of the program. The reserve account balance currently exceeds that deemed necessary to defray reasonable risk, so account balances are also being used to mitigate Federal agencies' contractual costs for the program. Cost mitigation is projected to continue at least through 2021.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-5720-0-2-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Proposed:			
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			3,798
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			3,798
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			-3,798
1240 Earnings on Investments, Postal Service Retiree Health Benefits Fund			1,030
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			789
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			1,945
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			-789
1299 Total proposed receipts			6,773
1999 Total receipts			6,773
2000 Total: Balances and receipts			6,773
Appropriations:			
Proposed:			
2201 Postal Service Retiree Health Benefits Fund			-1,030
2203 Postal Service Retiree Health Benefits Fund			-3,109
2299 Total proposed appropriations			-4,139
2999 Total appropriations			-4,139
5099 Balance, end of year			2,634

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-5720-2-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Obligations to FEHB Fund			4,139
0900 Total new obligations, unexpired accounts (object class 13.0)			4,139
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			1,030
1203 Appropriation (previously unavailable)(special or trust)			3,109
1260 Appropriations, mandatory (total)			4,139
1930 Total budgetary resources available			4,139
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			4,139
3020 Outlays (gross)			-4,139
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			4,139
Outlays, gross:			
4100 Outlays from new mandatory authority			4,139
4180 Budget authority, net (total)			4,139
4190 Outlays, net (total)			4,139
Memorandum (non-add) entries:			
5001 Total investments, EOY: Federal securities: Par value			38,949

The Postal Accountability and Enhancement Act (P.L. 109-435) created the Postal Service Retiree Health Benefits Fund to help fully fund the United States Postal Service's (USPS) retiree (annuitant) health benefits liabilities.

This account receives from USPS: 1) the pension savings provided to USPS by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) payments

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND—Continued

defined within Public Law 109–435, and modified by Public Law 111–68, to begin the liquidation of USPS's unfunded liability for post-retirement health benefits; and 3) beginning in 2017, payments for the actuarial cost of USPS contributions for the post-retirement health benefits for its current employees. This account also receives any surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System to current or former employees of USPS that are attributable to civilian employment with USPS.

As a result of this health benefits financing system, beginning in 2017, USPS ceased to pay annual premium costs for its post–1971 current annuitants directly to the Employees and Retired Employees Health Benefits Fund. Instead, these premium payments are paid from balances of this account. Payments for a proportion of the premium costs of USPS annuitants' pre–1971 service continues to be paid by the general fund of the Treasury through the Government Payment for Annuity, Employees Health Benefits account.

Under the Postal Accountability and Enhancement Act, USPS was required to make a stream of payments set in statute through 2016 toward paying down retiree health benefit unfunded liabilities, as well as pay annual Federal Employees Health Benefits Program premiums for current retirees. Also under current law, starting in 2017, USPS must pay the per capita accruing costs (or normal cost) to fund future retiree health benefits of current employees and a 40-year amortization of the remaining unfunded liability for current retirees. The Budget reflects that USPS defaulted on the statutorily required payments since 2012. These defaults are not factored into the 40-year amortization schedule starting in 2017, but remain on USPS's financial statements in each year as outstanding liabilities. The 2021 Budget assumes USPS will continue to default on the statutorily required amortization payments in 2020 and beyond, as well as not financing the per capita accruing cost.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

(Legislative proposal, subject to PAYGO)

Outlays from the Postal Service Retiree Health Benefits Fund would decrease under proposals in the 2021 Budget that impact the cost and cost sharing structure of health insurance in the Federal Employees Health Benefits Program (FEHBP). If these proposals are enacted in 2021, they will begin to financially impact the FEHBP, and thus the Postal Service Retiree Health Benefits Fund in 2023.

REVOLVING FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047–4615–2–4–805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Human Resource Solutions			708
0803 Human Resources Tools & Technology (HRTT)			76
0804 Enterprise Human Resources Integration			36
0806 Suitability Executive Agency			9
0807 Human Resource Line of Business (HRLoB)			3
0808 Inspector General Activities			1
0810 Credit Monitoring			85
0900 Total new obligations, unexpired accounts			918
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024–4571]			1,731
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			935
1930 Total budgetary resources available			2,666
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1,748

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			918
3020 Outlays (gross)			–935
3031 Unpaid obligations transferred from other accts [024–4571]			708
3050 Unpaid obligations, end of year			691
Uncollected payments:			
3081 Uncollected pymts from Fed sources transferred from other accounts			–782
3090 Uncollected pymts, Fed sources, end of year			–782
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			–91
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			935
Outlays, gross:			
4100 Outlays from new mandatory authority			804
4101 Outlays from mandatory balances			131
4110 Outlays, gross (total)			935
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			–935
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Budget Program.—The Office of Personnel Management (OPM) is authorized to use revolving funds without fiscal year limitations to conduct investigations, training, and other functions that OPM is authorized or required to perform on a reimbursable basis. OPM operates several programs, which are funded by fees or reimbursement payments collected from other agencies and other payments. These included the National Background Investigations Bureau (NBIB), which operated OPM's background investigations program, and currently include the Human Resources Solutions (HRS), under which OPM provides services, either directly or through private sector partners, on various human resources issues. The following programs are currently authorized to use revolving funds: Suitability Executive Agent (SuitEA), Human Resources Solutions (HRS)—including USAJOBS, Enterprise Human Resources Data Warehouse (EHRIDW), Human Resources Line of Business (HRLoB), Human Resources Tools and Technology (HRTT), and Credit Monitoring and Identity Protection Services.

National Background Investigations Bureau.—NBIB provided personnel background investigative services on a fee-for-service basis to assist its Federal agency customers in determining individuals' suitability and fitness for Federal civilian, military, and contract employment, eligibility for logical and physical access to agency systems and facilities, and eligibility for access to classified national security information or to hold a national security sensitive position. Effective 2020, NBIB functions moved from OPM to the Department of Defense (DOD). The National Defense Authorization Act (NDAA) for Fiscal Year 2018 (P.L. 115–91), section 925, stated that the Secretary of Defense has the authority to conduct all types of background investigations for DOD personnel and mandated that, not later than October 1, 2020, the Secretary of Defense shall commence carrying out its background investigations implementation plan developed pursuant to 951(a)(1) of the 2017 NDAA (P.L. 114–328). In June 2018, the Administration released *Delivering Government Solutions in the 21st Century: Reform Plan and Reorganization Recommendations*, which identified its intent to keep the NBIB background investigation mission together, subsequently realigning the entire program from OPM to DOD. Executive Order 13869, released on April 24, 2019, formally transferred responsibility for the function to DOD. However, as part of the transition, DOD has entered into Economy Act agreements to "buy back" OPM's financial management systems and expertise, NBIB's existing background investigations systems, hardware and infrastructure, and facilities and procurement support. OPM, DOD, and OMB, among other stakeholders, are working collaboratively to ensure continued efficient and effective delivery of high quality background investigation products and services to the Federal

Government during 2020 and into 2021. In 2020, financial activity of NBIB in this account represents work performed to complete investigation requests received prior to October 1, 2019.

Suitability Executive Agent.—SuitEA was established as a distinct program office within OPM in December 2016 to strengthen the effectiveness of suitability vetting across the Government by providing a focal point within OPM for leadership, process improvement, and modernization while continuing to perform adjudicative operations benefiting Federal agencies. SuitEA carries out its responsibilities through a policy office responsible for business transformation and modernization of the Government-wide suitability program, supported by an adjudicative operations staff that takes Government-wide action to promote the efficiency and protect the integrity of Federal agencies' operations, and an oversight team that conducts assessments of Federal agencies' performance and makes recommendations for improvement. SuitEA led the finalization of the roadmap for a transformed Government-wide approach to vetting, developed with the Office of the Director of National Intelligence and the Performance Accountability Council, a part of the Trusted Workforce 2.0 initiative. The roadmap will be implemented in 2021 and will include implementation of transformational outcome-based investigative and adjudicative standards, as well as training standards and procedures for the new supporting Enterprise architecture. SuitEA also develops and offers reimbursable, suitability training programs that are compliant with the National Training Standards for Suitability Adjudicators. SuitEA operates a suitability hotline and email box to provide customer support on technical and interpretative matters related to suitability. As the background investigation systems are rebuilt or built new by the Department of Defense, and OPM enhances or builds out Human Resource systems, SuitEA will provide requirements to meet the needs of security, suitability, and credentialing (SSC) programs, promote accessibility to shared service offerings and improve information flow to meet the needs of Federal agencies. SuitEA does not anticipate that any of the afore-mentioned activities will cease due to the move of NBIB-related work to the Department of Defense. These activities and functions will continue to be necessary in 2020 and thereafter to carry out the responsibilities of the Suitability Executive Agent.

Human Resources Solutions.—HRS is a reimbursable services organization offering a complete range of tailored and standardized human resources products and services designed to meet the unique and dynamic needs of the Federal Government. HRS will continue to provide customer agencies with innovative, specialized support such as expert examining, high-level strategic staffing, recruitment and branding, and complete human resources lifecycle solutions designed to attract, assess, and build a high-quality Federal workforce and meet the diverse hiring needs of the Federal Government. USAJOBS is located within HRS. USAJOBS comprises three key capabilities: 1) the Federal Career Portal; 2) the Agency Talent Portal; and 3) Open Opportunities. HRS is comprised of five program areas operating under two major reimbursable offerings (Government provided and third-party contractor). These program areas are as follows: the Center for Leadership Development, the Federal Staffing Center, HR Strategy and Evaluation Solutions, Human Capital Industry Solutions, and the Center for Management Services (HRS Support Programs).

The Human Resources Tools and Technology Program Management Office (HRS IT PMO or PMO) provides technology support in the form of information technology (IT) systems development and hosting, supplying both internal and external customers a wide variety of information technology services in the human resources arena. The PMO delivers leading-edge, innovative, high quality human resource information technology products and services that contribute to organizational effectiveness. The PMO is comprised of three lines of business (LOBs) including OPM's Human Resources Solutions, Employee Self Service Systems, and other OPM Services. All of its lines of business contain IT systems that span the HR life cycle and allow the program to sustain itself financially.

HRLOB provides an essential leadership role in the consolidation of agency personnel action processing, benefits management, and payroll systems into HRLOB Shared Service Centers.

The Enterprise Human Resources Integration Program's Data Warehouse (EHRIDW) is the Government's premier source for integrated Federal workforce information. The system currently collects, integrates, and publishes data for 2.0 million Title 5 Executive Branch employees on a bi-weekly basis. EHRIPDW is comprised of two programs, the electronic Official Personnel Folder (eOPF) and the Enterprise Human Resources Integration Data Warehouse (EHRIDW). These two programs support the E-Government initiative designed to leverage the benefits of information technology. The goal of these two programs is to streamline and automate the collection, aggregation, and sharing of Federal employee HR, payroll, and training information Government-wide. The investment broadly supports the OPM mission by enabling the agency to provide the Federal HR community with access to employee data to improve workforce planning for hiring, skills development, retention strategies and Government-wide policy.

The Credit Monitoring and Identity Protection Services (CMIPS) provides a comprehensive suite of credit and identity monitoring, identity theft insurance, and identity restoration services. These services are congressionally mandated as they support provision of comprehensive, complimentary identity protection coverage pursuant to P.L. 115-31, Section 633 of Division E through 2026. Credit Monitoring costs consist of contract, operational, management, and administrative costs.

Inspector General Activities.—The OPM IG Act (the Act) (P.L. 113-80) extends permitted uses of the Revolving Fund to include financing the cost of audits, investigations, and oversight activities of OPM's Office of the Inspector General. The Act limits the amount of revolving fund resources available to the Office of the Inspector General each year to 0.33 percent of the total budgetary authority estimated for the fund in the year.

Financing.—OPM's Revolving Fund account gains spending authority from agreements with other Federal agencies who are seeking the following services: HRS provides a multitude of HR services to other Federal agencies, which include consulting services, training, staffing programs, vendor management, and administrative law judge services. Individual pricing and fee structures for HRS offerings differ because the business models for each of its products and services vary. NBIB offers its Federal customers investigations based on five tiers with an Expandable Focused Investigation model at each tier. The newly established tiered approach increases transparency and clarity into the type of investigation being completed. The price of each type of investigation varies based on the estimated fieldwork and time it will take to complete. Prices are determined and justified using a cost allocation model. The significant cost drivers that impact pricing considerations include Federal and contracted investigative fieldwork, third-party search fees, the accuracy of workload projections, policy changes, and major infrastructure upgrades. SuitEA and CreDEA funding is factored into NBIB pricing and budgeted by the background investigation customers. EHRD provides two primary service offerings on a fee-for-service basis: the eOPF, including deployment and hosting services, and a suite of analytical tools enabling agencies to perform workforce analysis and forecasting. EHRD provides customized eOPF systems to other agencies at additional cost, in which the customer pays for ongoing eOPF maintenance. The pricing structure for eOPF maintenance is a fixed price per license (i.e., electronic folder) and is based on the number of active users at the customer agency. The HRLOB has established public and private Shared Service Centers to provide technology solutions to support multiple agencies with HR IT and HR services and is financed in part by agency contributions from partner agencies. Credit Monitoring has two funding sources for this program. OPM collects annually from the largest Federal agencies via an Interagency Agreement (IAA) based on a proportional allocation of total program cost. Additionally, fees are collected by the Enterprise Human Resource Integration (EHRI) program as part of the eOPF rates charged to agencies to fund the CM IPS program.

REVOLVING FUND—Continued

Operating Results.—In 2019, OPM's Revolving Fund businesses revenue total was \$2.667 billion and the expenses total was \$2.076 billion which provided a net gain on operations of \$591 million. The cumulative net position of the fund is a positive \$1.029 billion.

Object Classification (in millions of dollars)

Identification code 047-4615-2-4-805	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent			71
11.5 Other personnel compensation			4
11.9 Total personnel compensation			75
12.1 Civilian personnel benefits			24
21.0 Travel and transportation of persons			5
23.1 Rental payments to GSA			3
23.3 Communications, utilities, and miscellaneous charges			9
25.2 Other services from non-Federal sources			796
26.0 Supplies and materials			1
31.0 Equipment			5
99.9 Total new obligations, unexpired accounts			918

Employment Summary

Identification code 047-4615-2-4-805	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment			643

Trust Funds

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 047-8583-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Proposed:			
1210 Employee Contributions, Civil Service Retirement and Disability Fund			4,937
1210 District of Columbia Contributions, Civil Service Retirement and Disability Fund			31
1210 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund			544
1240 Employing Agency Contributions, Civil Service Retirement and Disability Fund			35,757
1240 Employing Agency Contributions, Civil Service Retirement and Disability Fund			618
1240 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			4,100
1240 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			74
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			1,060
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			948
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			-1,060
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			1,617
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			-1,617
1240 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund			192
1240 Treasury Interest, Civil Service Retirement and Disability Fund			24,494
1240 General Fund Payment to the Civil Service Retirement and Disability Fund			44,344
1240 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund			42
1299 Total proposed receipts			116,081
1999 Total receipts			116,081
2000 Total: Balances and receipts			116,081
Appropriations:			
Proposed:			
2201 Civil Service Retirement and Disability Fund			-111
2201 Civil Service Retirement and Disability Fund			-115,035

2234 Civil Service Retirement and Disability Fund			19,617
2299 Total proposed appropriations			-95,529
2999 Total appropriations			-95,529
5099 Balance, end of year			20,552

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-8583-2-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Annuities			95,066
0002 Refunds and death claims			352
0003 Administration - operations			104
0004 Transfer to MSPB			2
0005 Administration - OIG			5
0900 Total new obligations, unexpired accounts			95,529
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			111
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			115,035
1234 Appropriations precluded from obligation			-19,617
1260 Appropriations, mandatory (total)			95,418
1900 Budget authority (total)			95,529
1930 Total budgetary resources available			95,529
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			95,529
3020 Outlays (gross)			-95,240
3031 Unpaid obligations transferred from other accts [024-8135]			8,178
3050 Unpaid obligations, end of year			8,467
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			8,467
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			111
Outlays, gross:			
4010 Outlays from new discretionary authority			111
Mandatory:			
4090 Budget authority, gross			95,418
Outlays, gross:			
4100 Outlays from new mandatory authority			86,249
4101 Outlays from mandatory balances			8,880
4110 Outlays, gross (total)			95,129
4180 Budget authority, net (total)			95,529
4190 Outlays, net (total)			95,240
Memorandum (non-add) entries:			
5001 Total investments, EOY: Federal securities: Par value			978,227

The Civil Service Retirement and Disability Fund (CSRDF) is the oldest and largest of the four trust funds administered by the Office of Personnel Management. The fund is financed and structured very differently from the other three trust funds. It is characterized by permanent indefinite budget authority. Budget authority is the authority to incur obligations and pay expenses which become available to an agency during any fiscal year. Once approved, permanent budget authority is permanently available for all future years. Indefinite budget authority is used when the precise amount of budget authority required cannot be forecast in advance and must thus be determined at some future point in time (e.g., when actual receipts and expenses become known).

The CSRDF covers two Federal civilian retirement systems: the Civil Service Retirement System (CSRS) established on May 22, 1920, and the Federal Employees Retirement System (FERS) established on June 6, 1986. The Retirement Fund is a single plan even though there are two different benefit tiers and funding methods. CSRS is largely a defined benefit plan,

covering Federal employees hired prior to 1984. CSRS participants do not participate in the Social Security system. FERS is a three-tiered pension program that uses Social Security as a base, provides an additional basic benefit, and includes the Thrift Savings Plan (TSP). FERS covers employees hired after 1983 and formerly CSRS-covered employees who elected to join FERS.

The Budget proposes that the United States Patent and Trademark Office (PTO) continue to fund the full retirement benefits cost for PTO's employees covered under CSRS.

Financing.—CSRS has been financed under a statutory funding method passed by the Congress in 1969. This funding method is based on the static economic assumptions of no future inflation, no future General Schedule salary increases, and a 5.0 percent interest rate. Under CSRS, regular employees contribute 7.0 percent of pay. Law enforcement officers, firefighters, and congressional employees contribute an extra 0.5 percent of pay, and members of the Congress an extra 1.0 percent of pay. Non-United States Postal Service (USPS) agencies match the employee contributions. Also under the static funding method for CSRS, the Treasury pays interest on any static unfunded liabilities that are not being financed by USPS. The Treasury also makes payments to amortize, over a 30-year period, any increases in the static unfunded liability due to salary increases for non-USPS (non-Postal) employees that occurred during the year, and pays for the cost of any benefits attributable to military service for both Postal and non-Postal employees that were paid out during the year.

FERS is funded under a dynamic entry age normal funding method. Employees and agencies together contribute the full amount of the dynamic normal cost rate. The normal cost rate is for the defined benefit plan only, and does not include the cost of Social Security or the TSP. FERS regular employees contribute a percentage of salary that is equal to the contribution rate for CSRS employees—7.0 percent, as set forth above, less the 6.2 percent tax rate under the Old-Age, Survivors and Disability Insurance portion of Social Security. Under FERS, the dynamic normal cost rates are as follows: Regular FERS non-Postal employees hired before 2013, the rate is 16.8 percent of pay (employee's share of 0.8 percent and employer's share of 16.0 percent). Regular FERS Postal employees rate is 15.5 percent of pay (employee's share, 0.8 percent, and employer's share, 14.7 percent); Regular FERS non-Postal employees hired during 2013 (known as FERS RAE/Revised Annuity Employee), the rate is 17.3 percent of pay (employee's share of 3.1 percent and employer's share of 14.2 percent). FERS RAE Postal employees rate is 15.9 percent of pay (employee's share, 3.1 percent, and employer's share, 12.8 percent); the Bipartisan Budget Act of 2013 included a provision to increase the normal cost rate of employee's contribution to FERS for individuals hired after 2013 and to maintain the employer's contribution rate at its current normal cost rate. Any contributions in excess of the amount necessary to satisfy FERS normal cost percentage will be credited to the assets of the fund, thereby reducing the unfunded liability. Regular employees hired after 2013 (known as FERS FRAE/Further Revised Annuity Employee), the rate is 17.5 percent of pay (employee's share of 4.4 percent and employer's share of 14.2 percent, and less excess of 1.1 percent to be credited to the assets of the CSRDF). FERS FRAE Postal employees rate is 16.1 percent of pay (employee's share, 4.4 percent, and employer's share, 11.7 percent).

Effective 2021, there will be a change in the normal cost rates for Postal FERS Employee/Employer Contributions and non-Postal FERS Employer Contributions. For regular FERS non-Postal employees (other than RAE and FRAE), the normal cost rate will be 18.1 percent of pay (employee's share, 0.8 percent, and employer's share, 17.3 percent). Regular FERS Postal employees will be 16.5 percent of pay (employee's share, 0.8 percent, and employer's share, 15.7 percent). For FERS RAE non-Postal employees, the normal cost rate will be 18.6 percent of pay (employee's share, 3.1 percent, and employer's share, 15.5 percent). FERS RAE Postal employees will be 16.9 percent of pay (employee's share, 3.1 percent, and employer's share, 13.8 percent). For FERS FRAE non-Postal employees, the normal cost rate will be 18.8 percent of pay (employee's share, 4.4 percent, employ-

er's share, 15.5 percent, and less excess of 1.1 percent to be credited to the assets of the CSRDF). FERS FRAE Postal employees will be 17.1 percent of pay (employee's share, 4.4 percent, and employer's share, 12.7 percent).

Under the Postal Accountability and Enhancement Act (P.L. 109-435), USPS must make annual amortization payments beginning in 2017 to reduce any unfunded liability (UFL) for its obligations under CSRS. These payments, along with similar amortization payments for UFL in FERS are paid to CSRDF.

	2019 actual	2020 est.	2021 est.
Active employees	2,480,777	2,451,000	2,423,000
Annuitants:			
Employees	2,203,520	2,234,000	2,264,000
Survivors	519,107	516,000	514,000
Total, annuitants	2,722,627	2,750,000	2,778,000

Object Classification (in millions of dollars)

Identification code 047-8583-2-7-602	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			111
42.0 Insurance claims and indemnities			95,066
44.0 Refunds			352
99.9 Total new obligations, unexpired accounts			95,529

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-8583-4-7-602	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)			1,332
3050 Unpaid obligations, end of year			1,332
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,332
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances			-1,332
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-1,332

The 2020 Budget proposes four legislative changes to the Civil Service Retirement and Disability Fund (CSRDF) generating Government-wide savings: 1) utilize a high-5 average salary instead of a high-3 in the computation of new Federal Employees Retirement System (FERS) annuities; 2) eliminate the special annuity supplement for new FERS retirees who do not meet the Social Security minimum retirement age; 3) eliminate the Cost of Living Adjustment (COLA) for FERS retirees and reduce the COLA for Civil Service Retirement System retirees by 0.5 percent; and 4) equalize the employee and employer share of contributions to FERS, changing contribution rates by one percent per year until contributions from the employer and employee shares combined reach the normal cost level. If enacted, these changes would reduce the amount of outlays from the CSRDF for annuity payments, and transfer more of the cost of financing these benefits to employees. In addition, the Budget proposes to provide new Federal term employees with a more generous TSP defined contribution plan, in lieu of participation in the FERS defined benefit plan.

EMPLOYEES LIFE INSURANCE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-8432-2-8-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Insurance Payments			3,537

EMPLOYEES LIFE INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 047-8432-2-8-602	2019 actual	2020 est.	2021 est.
0802 Administration			4
0803 Administration - Long Term Care			2
0900 Total new obligations, unexpired accounts (object class 25.2)			3,543
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-8424]			48,491
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected		4	
Spending authority from offsetting collections, mandatory:			
1800 Collected		4,850	
1801 Change in uncollected payments, Federal sources		13	
1850 Spending auth from offsetting collections, mand (total)		4,863	
1900 Budget authority (total)		4,867	
1930 Total budgetary resources available			53,358
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			49,815
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			3,543
3020 Outlays (gross)			-3,448
3031 Unpaid obligations transferred from other accts [024-8424]			1,148
3050 Unpaid obligations, end of year			1,243
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			-13
3081 Uncollected pymts from Fed sources transferred from other accounts			-408
3090 Uncollected pymts, Fed sources, end of year			-421
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			822
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			4
Outlays, gross:			
4010 Outlays from new discretionary authority			4
Mandatory:			
4090 Budget authority, gross			4,863
Outlays, gross:			
4100 Outlays from new mandatory authority			2,602
4101 Outlays from mandatory balances			842
4110 Outlays, gross (total)			3,444
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-607
4121 Interest on Federal securities			-1,012
4123 Non-Federal sources			-3,235
4130 Offsets against gross budget authority and outlays (total)			-4,854
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired			-13
4160 Budget authority, net (mandatory)			-4
4170 Outlays, net (mandatory)			-1,410
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-1,406
Memorandum (non-add) entries:			
5001 Total investments, EOY: Federal securities: Par value			51,088

This fund finances payments to private insurance companies for Federal Employees' Group Life Insurance and expenses of the Office of Personnel Management in administering the program.

The Administration proposes that the United States Patent and Trademark Office (PTO) will fund the accruing costs associated with post-retirement life insurance benefits for PTO's employees.

Budget program.—The status of the Basic (regular and optional) life insurance program on September 30 is as follows:

	2019 actual	2020 est.	2021 est.
Life insurance in force (in billions of dollars):			
On active employees	823.5	835.8	848.2

On retired employees	103.0	105.9	108.8
Total	926.5	941.7	957.0

Number of participants (in thousands):

Active employees	2,539	2,552	2,564
Annuitants	1,722	1,733	1,744
Total	4,261	4,285	4,308

Financing.—Non-United States Postal Service employees and all retirees under 65 pay two-thirds of the premium costs for Basic coverage; agencies pay the remaining third. Optional and certain post-retirement Basic coverages are paid entirely by enrollees. The status of the reserves at the end of the year is as follows:

	2019 actual	2020 est.	2021 est.
Status of Reserves			
Held in reserve (in millions of dollars):			
Contingency Reserve	690	690	690
Beneficial association program reserve	0	0	0
U.S. Treasury Reserve	46,851	48,202	49,592
Total reserves	47,541	48,892	50,282

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 047-8433-2-8-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Benefit payments			58,917
0802 Payments from OPM contingency reserve			300
0803 Government payment for annuitants (1960 Act)			1
0804 Administration (OPM and OIG)			64
0806 Administration- dental and vision program			7
0900 Total new obligations, unexpired accounts (object class 25.6)			59,289
Budgetary resources:			
Unobligated balance:			
1011 Unobligated balance transfer from other acct [024-9981]			26,031
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			64
Spending authority from offsetting collections, mandatory:			
1800 Collected			60,519
1801 Change in uncollected payments, Federal sources			93
1850 Spending auth from offsetting collections, mand (total)			60,612
1900 Budget authority (total)			60,676
1930 Total budgetary resources available			86,707
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			27,418
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			59,289
3020 Outlays (gross)			-59,323
3031 Unpaid obligations transferred from other accts [024-9981]			5,050
3050 Unpaid obligations, end of year			5,016
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			-93
3081 Uncollected pymts from Fed sources transferred from other accounts			-2,567
3090 Uncollected pymts, Fed sources, end of year			-2,660
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			2,356
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			64
Outlays, gross:			
4010 Outlays from new discretionary authority			64
Mandatory:			
4090 Budget authority, gross			60,612
Outlays, gross:			
4100 Outlays from new mandatory authority			53,899
4101 Outlays from mandatory balances			5,360
4110 Outlays, gross (total)			59,259

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			64
Outlays, gross:			
4010 Outlays from new discretionary authority			64
Mandatory:			
4090 Budget authority, gross			60,612
Outlays, gross:			
4100 Outlays from new mandatory authority			53,899
4101 Outlays from mandatory balances			5,360
4110 Outlays, gross (total)			59,259

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources		-41,497
4121	Interest on Federal securities		-529
4123	Non-Federal sources		-18,557
4130	Offsets against gross budget authority and outlays (total)		-60,583
Additional offsets against gross budget authority only:			
4140	Change in uncollected pymts, Fed sources, unexpired		-93
4160	Budget authority, net (mandatory)		-64
4170	Outlays, net (mandatory)		-1,324
4180	Budget authority, net (total)		
4190	Outlays, net (total)		-1,260
Memorandum (non-add) entries:			
5001	Total investments, EOY: Federal securities: Par value		31,904

This display combines the Federal Employees Health Benefit (FEHB) fund and the Retired Employees Health Benefits (REHB) fund. The FEHB fund provides for the cost of health benefits for: 1) active employees; 2) employees who retired after June 1960, or their survivors; 3) annuitants transferred from the REHB fund as authorized by Public Law 93-246; and 4) tribal organizations. The REHB fund, created by the Retired Federal Employees Health Benefits Act of 1960, provides for: 1) the cost of health benefits for retired employees and survivors who were enrolled in a Government-sponsored uniform health benefits plan; 2) the contribution to retired employees and survivors who retain or purchase private health insurance; and 3) expenses of the Office of Personnel Management (OPM) in administering the program.

Budget program.—The balance of the FEHB fund is available for payments without fiscal year limitation. Numbers of participants at the end of each fiscal year are as follows:

	2019 actual	2020 est.	2021 est.
Active employees	2,120,130	2,118,000	2,118,000
USPS active employees (non-add)	426,130	424,000	424,000
Annuitants	1,932,561	1,961,474	1,985,553
Tribal Organizations	28,080	28,080	28,080
Total	4,080,771	4,107,554	4,131,633

In determining a biweekly subscription rate to cover program costs, one percent is added for administrative expenses and three percent is added for a contingency reserve held by OPM for each carrier. OPM is authorized to transfer unused administrative reserve funds to the contingency reserve. The REHB fund is available without fiscal year limitation. The amounts contributed by the Government are paid into the fund from annual appropriations. The number of participants at the end of each fiscal year are as follows:

	2019 actual	2020 est.	2021 est.
Uniform plan	49	40	33
Private plans	86	71	58
Total	135	111	91

Financing.—The funds are financed by: 1) withholdings from active employees and annuitants; 2) agency contributions for active employees; 3) Government contributions for annuitants appropriated to OPM; and 4) contributions made by the United States Postal Service in accordance with the provisions of Public Law 101-508. Funds made available to carriers but not used to pay claims in the current period are carried forward as special reserves for use in subsequent periods. OPM maintains a contingency reserve, funded by employee and Government contributions, which may be used to defray future cost increases or provide increased benefits. OPM makes payments to carriers from this reserve whenever carrier-held reserves fall below levels prescribed by OPM regulations or when carriers can demonstrate good cause such as unexpected claims experience or variations from expected community rates. The Budget proposes that the United States Patent and Trademark Office continue to fund the accruing costs associated with post-retirement health benefits for its employees.

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUND

(Legislative proposal, subject to PAYGO)

The 2021 Budget includes a package of proposals that will improve program efficiency, introduce more accountability and increase competition and choice: 1) Medical Liability Reform would potentially reduce the costs of medical liability and lower insurance premiums of the Federal Employee Health Benefit (FEHB) Program; and 2) modifying the Federal Government contribution rate for premiums to base it on a plan's score from the FEHB Plan Performance Assessment would improve healthcare quality and affordability within the program. The enactment of the proposals in 2021 will not begin to impact program financials until 2023.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
047-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	6	26	26
047-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Legislative proposal, not subject to PAYGO			2
General Fund Offsetting receipts from the public	6	26	28
Intragovernmental payments:			
047-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts		11	11
General Fund Intragovernmental payments		11	11

ADMINISTRATIVE PROVISIONS—GENERAL SERVICES ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

SEC. 520. Funds available to the General Services Administration shall be available for the hire of passenger motor vehicles.

SEC. 521. Funds in the Federal Buildings Fund made available for fiscal year [2020] 2021 for Federal Buildings Fund activities may be transferred between such activities only to the extent necessary to meet program requirements: *Provided*, That notice of any proposed transfers shall be [approved] transmitted in advance [by] to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 522. Except as otherwise provided in this title, any request for United States Courthouse construction transmitted using funds made available by this Act [shall be used to transmit a fiscal year 2021 request for United States Courthouse construction only if the request] should: (1) [meets] meet the design guide standards for construction as established and approved by the General Services Administration, the Judicial Conference of the United States, and the Office of Management and Budget; (2) [reflects] reflect the priorities of the Judicial Conference of the United States as set out in its approved [Courthouse Project Priorities] 5-year construction plan; and (3) [includes] include a standardized courtroom utilization study of each facility to be constructed, replaced, or expanded.

SEC. 523. None of the funds provided in this Act may be used to increase the amount of occupiable square feet, provide cleaning services, security enhancements, or any other service usually provided through the Federal Buildings Fund, to any agency that does not pay the rate per square foot assessment for space and services as determined by the General Services Administration in consideration of the Public Buildings Amendments Act of 1972 (Public Law 92-313).

SEC. 524. From funds made available under the heading "Federal Buildings Fund, Limitations on Availability of Revenue", claims against the Government of less than \$250,000 arising from direct construction projects and acquisition of buildings may be liquidated from savings effected in other construction projects with prior notification to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 525. In any case in which the Committee on Transportation and Infrastructure of the House of Representatives and the Committee on Environment and Public Works of the Senate adopt a resolution granting lease authority pursuant to a prospectus transmitted to Congress by the Administrator of the General Services Administration under 40 U.S.C. 3307, the Administrator shall ensure that the delineated area of procurement is identical to the delineated area included in the prospectus for all lease agreements, except that, if the Administrator determines that the delineated area of the procurement should not be identical to the delineated area included in

the prospectus, the Administrator shall provide an explanatory statement to each of such committees and the Committees on Appropriations of the House of Representatives and the Senate prior to exercising any lease authority provided in the resolution.】

SEC. 526. With respect to 【each project funded under the heading "Major Repairs and Alterations"】 *the Federal Buildings Fund construction and acquisition and major repair and alteration programs*, and with respect to E-Government projects funded under the heading "Federal Citizen Services Fund", the Administrator of General Services shall submit a spending plan and explanation for each project to be undertaken to the Committees on Appropriations of the House of Representatives and the Senate not later than 60 days after the date of enactment of this Act.

【SEC. 527. In addition to the amounts otherwise made available in this Act for the General Services Administration, \$3,000,000, to remain available until expended, shall be for the Administrator of General Services to implement changes to the

System for Award Management providing for submission of the authenticated certification described under the heading "Internal Revenue Service, Operations Support".】

SEC. 526. Section 3173(d)(1) of title 40, United States Code, is amended by inserting before the period the following: "or for agency-wide acquisition of equipment or systems or the acquisition of services in lieu thereof, as necessary to implement the Act".

SEC. 527. Section 3173(b)(1) of title 40, United States Code, is amended by inserting ", including advance payments," after "Amounts received".

SEC. 528. Section 1078 of the National Defense Authorization Act for Fiscal Year 2018 (40 U.S.C. 11301 note) is amended at the end of subsection (b)(4)(A) by striking: "\$250,000,000 for each of fiscal years 2018 and 2019" and inserting in its place "a total of \$500,000,000 for fiscal year 2018 and any subsequent fiscal years". (Financial Services and General Government Appropriations Act, 2020.)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

Federal Funds

SCIENCE

For necessary expenses, not otherwise provided for, in the conduct and support of science research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$7,138,900,000]** \$6,306,500,000, to remain available until September 30, **[2021: Provided, That, \$1,971,800,000 shall be for Earth Science; \$2,713,400,000 shall be for Planetary Science; \$1,306,200,000 shall be for Astrophysics; \$423,000,000 shall be for the James Webb Space Telescope; and \$724,500,000 shall be for Heliophysics: Provided further, That of the amounts provided, \$592,600,000 is for an orbiter to meet the science goals for the Jupiter Europa mission as recommended in previous Planetary Science Decadal surveys: Provided further, That the National Aeronautics and Space Administration shall use the Space Launch System as the launch vehicles for the Jupiter Europa missions, plan for an orbiter launch no later than 2025 and a lander launch no later than 2027, and include in the fiscal year 2021 budget the 5-year funding profile necessary to achieve these goals]** 2022. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 080-0120-0-1-252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Science	6,576	7,139	6,307
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	400	745	749
1021 Recoveries of prior year unpaid obligations	34	74	74
1050 Unobligated balance (total)	434	819	823
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,906	7,139	6,307
1120 Appropriations transferred to other accts CECR [080-0130]	-10		
1120 Appropriations transferred to other acct Aeronautics [080-0126]	-9		
1131 Unobligated balance of appropriations permanently reduced		-70	
1160 Appropriation, discretionary (total)	6,887	7,069	6,307
1930 Total budgetary resources available	7,321	7,888	7,130
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	745	749	823
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4,082	4,367	5,304
3010 New obligations, unexpired accounts	6,576	7,139	6,307
3011 Obligations ("upward adjustments"), expired accounts	108		
3020 Outlays (gross)	-6,254	-6,128	-6,490
3040 Recoveries of prior year unpaid obligations, unexpired	-34	-74	-74
3041 Recoveries of prior year unpaid obligations, expired	-111		
3050 Unpaid obligations, end of year	4,367	5,304	5,047
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4,082	4,367	5,304
3200 Obligated balance, end of year	4,367	5,304	5,047
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6,887	7,069	6,307
Outlays, gross:			
4010 Outlays from new discretionary authority	2,575	2,616	2,334
4011 Outlays from discretionary balances	3,679	3,512	4,156
4020 Outlays, gross (total)	6,254	6,128	6,490
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-7		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	7		

4060 Additional offsets against budget authority only (total)	7		
4070 Budget authority, net (discretionary)	6,887	7,069	6,307
4080 Outlays, net (discretionary)	6,247	6,128	6,490
4180 Budget authority, net (total)	6,887	7,069	6,307
4190 Outlays, net (total)	6,247	6,128	6,490

The Science appropriation provides for NASA's science mission, which is comprised of the agency's Earth and space science programs: Earth Science, Planetary Science, Heliophysics, the James Webb Space Telescope, and Astrophysics. These programs, which are managed by the Science Mission Directorate, focus on three interdisciplinary objectives: discovering the secrets of the Universe; searching for life in the Solar System and beyond; and safeguarding and improving life on Earth. These objectives include research concerning the global Earth system; other planets in the solar system and around other stars; the connections among the Sun, Earth, and heliosphere; and the origin and evolution of planetary systems, the galaxy, and the universe, including the origin and distribution of life in the universe. Program objectives are pursued through robotic flight missions, ground-based scientific research and data analysis, and the development of new technologies for future missions. Additionally, the Budget funds within Science a lunar robotic exploration program that will support innovative approaches to achieving human and science exploration goals. It also supports a Mars exploration program that develops and operates robotic missions and conducts research and analysis to address decadal science objectives and prepare for future human exploration.

The Science appropriation provides for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and test and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

Object Classification (in millions of dollars)

Identification code 080-0120-0-1-252	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	263	257	252
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	267	261	256
12.1 Civilian personnel benefits	84	91	81
21.0 Travel and transportation of persons	24	26	23
22.0 Transportation of things	9	10	9
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	6	7	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	97	105	93
25.2 Other services from non-Federal sources	131	142	126
25.3 Other goods and services from Federal sources	246	267	236
25.4 Operation and maintenance of facilities	23	25	22
25.5 Research and development contracts	4,641	5,068	4,449
25.7 Operation and maintenance of equipment	128	139	123
26.0 Supplies and materials	34	37	33
31.0 Equipment	40	43	38
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	840	912	806
99.9 Total new obligations, unexpired accounts	6,576	7,139	6,307

Employment Summary

Identification code 080-0120-0-1-252	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,010	1,851	1,862

AERONAUTICS

For necessary expenses, not otherwise provided for, in the conduct and support of aeronautics research and development activities, including research, development,

AERONAUTICS—Continued

operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$783,900,000]** \$819,000,000, to remain available until September 30, **[2021]** 2022. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 080-0126-0-1-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Aeronautics	729	784	819
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	27	39
1021 Recoveries of prior year unpaid obligations	6	12	12
1050 Unobligated balance (total)	31	39	51
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	725	784	819
1120 Appropriations transferred to other CECR [080-0130]	-9		
1121 Appropriations transferred from other acct Science [080-0120]	9		
1160 Appropriation, discretionary (total)	725	784	819
1930 Total budgetary resources available	756	823	870
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	39	51
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	395	406	473
3010 New obligations, unexpired accounts	729	784	819
3020 Outlays (gross)	-710	-705	-796
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-12	-12
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	406	473	484
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	395	406	473
3200 Obligated balance, end of year	406	473	484
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	725	784	819
Outlays, gross:			
4010 Outlays from new discretionary authority	372	400	418
4011 Outlays from discretionary balances	338	305	378
4020 Outlays, gross (total)	710	705	796
4180 Budget authority, net (total)	725	784	819
4190 Outlays, net (total)	710	705	796

This appropriation provides for the full costs associated with NASA's Aeronautics Research mission, which aims to expand the boundaries of aeronautical knowledge for the benefit of the nation and the broad aeronautics community. The mission is managed by NASA's Aeronautics Research Mission Directorate, and consists of the following integrated research programs: Airspace Operations and Safety, Advanced Air Vehicles, Integrated Aviation Systems, Transformative Aeronautics Concepts, and Aerosciences Evaluation and Test Capabilities. Full costs of these programs include all labor, travel, procurement, test, and fabrication costs associated with the research, development, operations, and other general and administrative activities required to execute the programs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

Object Classification (in millions of dollars)

Identification code 080-0126-0-1-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	163	149	156

11.3 Other than full-time permanent	4	4	4
11.9 Total personnel compensation	167	153	160
12.1 Civilian personnel benefits	53	57	60
21.0 Travel and transportation of persons	7	8	8
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	24	26	27
25.2 Other services from non-Federal sources	28	30	31
25.3 Other goods and services from Federal sources	6	6	7
25.4 Operation and maintenance of facilities	40	43	45
25.5 Research and development contracts	262	296	308
25.7 Operation and maintenance of equipment	39	55	58
26.0 Supplies and materials	16	17	18
31.0 Equipment	28	30	31
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	55	59	62
99.9 Total new obligations, unexpired accounts	729	784	819

Employment Summary

Identification code 080-0126-0-1-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,374	1,194	1,161

[SPACE] EXPLORATION TECHNOLOGY

For necessary expenses, not otherwise provided for, in the conduct and support of space exploration technology [research and development] activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$1,100,000,000]** \$1,578,300,000, to remain available until September 30, **[2021: Provided,** That \$227,200,000 shall be for RESTORE-L/Space Infrastructure DEXterous Robot: *Provided further,* That \$110,000,000 shall be for the development and demonstration of a nuclear thermal propulsion system, of which \$80,000,000 shall be for the design of a flight demonstration system: *Provided further,* That, not later than 180 days after the enactment of this Act, the National Aeronautics and Space Administration (NASA) shall provide a plan for the design of a flight demonstration] 2022. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 080-0131-0-1-252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Exploration Technology	906	1,100	1,578
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	40	67	79
1021 Recoveries of prior year unpaid obligations	6	12	12
1050 Unobligated balance (total)	46	79	91
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	927	1,100	1,578
1930 Total budgetary resources available	973	1,179	1,669
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	67	79	91
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	528	613	658
3010 New obligations, unexpired accounts	906	1,100	1,578
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-813	-1,043	-1,219
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-12	-12
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	613	658	1,005
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	528	613	658
3200 Obligated balance, end of year	613	658	1,005
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	927	1,100	1,578

Outlays, gross:				
4010	Outlays from new discretionary authority	384	451	647
4011	Outlays from discretionary balances	429	592	572
4020	Outlays, gross (total)	813	1,043	1,219
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	927	1,100	1,578
4080	Outlays, net (discretionary)	812	1,043	1,219
4180	Budget authority, net (total)	927	1,100	1,578
4190	Outlays, net (total)	812	1,043	1,219

The Budget renames the Space Technology account to Exploration Technology to better reflect the focus of this account on supporting the Exploration Campaign. Space Technology Mission Directorate is and will continue to be the organization name.

The Exploration Technology appropriation provides for the costs associated with the research and technology development needed for space exploration. The full costs provide for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and those associated with fabrication, tests and flight demonstrations.

The programs within the Exploration Technology account enable and reduce barriers to new exploration missions by drawing on talent from the NASA workforce, academia, small businesses and the broader space enterprise to deliver innovative solutions that dramatically improve technological capabilities for NASA and the Nation. The Exploration Technology appropriation funds several programs: Small Business Innovative Research (SBIR), Small Business Technology Transfer (STTR), Early Stage Innovation & Partnerships, Technology Maturation, and Technology Demonstrations. Performance goals associated with these activities are addressed in NASA's detailed budget request.

Object Classification (in millions of dollars)

Identification code 080-0131-0-1-252				
	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	92	90	90
11.3	Other than full-time permanent	2	2	3
11.9	Total personnel compensation	94	92	93
12.1	Civilian personnel benefits	30	40	44
21.0	Travel and transportation of persons	5	6	9
22.0	Transportation of things	2	2	3
25.1	Advisory and assistance services	34	45	71
25.2	Other services from non-Federal sources	35	46	74
25.3	Other goods and services from Federal sources	32	41	68
25.4	Operation and maintenance of facilities	4	5	7
25.5	Research and development contracts	582	713	1,035
25.7	Operation and maintenance of equipment	19	23	43
26.0	Supplies and materials	11	13	19
31.0	Equipment	9	11	16
41.0	Grants, subsidies, and contributions	49	63	96
99.9	Total new obligations, unexpired accounts	906	1,100	1,578

Employment Summary

Identification code 080-0131-0-1-252				
	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	751	668	669

DEEP SPACE EXPLORATION SYSTEMS

For necessary expenses, not otherwise provided for, in the conduct and support of exploration research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel ex-

penses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$6,017,600,000]** \$8,761,700,000, to remain available until September 30, **[2021: Provided,** That not less than \$1,406,700,000 shall be for the Orion Multi-Purpose Crew Vehicle: *Provided further,* That not less than \$2,585,900,000 shall be for the Space Launch System (SLS) launch vehicle, which shall have a lift capability not less than 130 metric tons and which shall have core elements and an Exploration Upper Stage developed simultaneously to be used to the maximum extent practicable, including for Earth to Moon missions and a Moon landing: *Provided further,* That of the amounts provided for SLS, not less than \$300,000,000 shall be for Exploration Upper Stage development: *Provided further,* That \$590,000,000 shall be for Exploration Ground Systems: *Provided further,* That the National Aeronautics and Space Administration shall provide to the Committees on Appropriations of the House of Representatives and the Senate, concurrent with the annual budget submission, a 5-year budget profile for an integrated system that includes the SLS, the Orion Multi-Purpose Crew Vehicle, and associated ground systems that will ensure an Exploration Mission-2 crewed launch as early as possible, as well as a system-based funding profile for a sustained launch cadence beyond the initial crewed test launch: *Provided further,* That \$1,435,000,000 shall be for exploration research and development] 2022. (*Science Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 080-0124-0-1-252				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Deep Space Exploration Systems	5,290	6,018	8,762
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	416	198	251
1021	Recoveries of prior year unpaid obligations	27	53	53
1050	Unobligated balance (total)	443	251	304
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	5,051	6,018	8,762
1120	Appropriations transferred to other CECR [080-0130]	-6		
1160	Appropriation, discretionary (total)	5,045	6,018	8,762
1930	Total budgetary resources available	5,488	6,269	9,066
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	198	251	304
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1,375	2,118	2,517
3010	New obligations, unexpired accounts	5,290	6,018	8,762
3011	Obligations ("upward adjustments"), expired accounts	26		
3020	Outlays (gross)	-4,523	-5,566	-7,141
3040	Recoveries of prior year unpaid obligations, unexpired	-27	-53	-53
3041	Recoveries of prior year unpaid obligations, expired	-23		
3050	Unpaid obligations, end of year	2,118	2,517	4,085
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1,375	2,118	2,517
3200	Obligated balance, end of year	2,118	2,517	4,085
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	5,045	6,018	8,762
Outlays, gross:				
4010	Outlays from new discretionary authority	3,099	3,671	5,345
4011	Outlays from discretionary balances	1,424	1,895	1,796
4020	Outlays, gross (total)	4,523	5,566	7,141
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1		
4070	Budget authority, net (discretionary)	5,045	6,018	8,762
4080	Outlays, net (discretionary)	4,522	5,566	7,141
4180	Budget authority, net (total)	5,045	6,018	8,762
4190	Outlays, net (total)	4,522	5,566	7,141

The Budget has renamed the Exploration account Deep Space Exploration Systems to better reflect the activities in the account.

This appropriation provides for costs associated with the development of systems and capabilities required for human exploration of space. The capabilities include launch and crew vehicles for missions beyond low

DEEP SPACE EXPLORATION SYSTEMS—Continued

Earth orbit; providing integrated systems to keep astronauts safe, healthy, and functional during deep space missions; and advancing the tools to increase exploration capabilities and reduce the launch mass and cost of deep space missions. The full costs provide for the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test, and fabrication costs. Performance goals associated with these activities are addressed in NASA's detailed budget request.

NASA's human deep space exploration programs include the Space Launch System, Orion, Exploration Ground Systems under the Exploration Systems Development theme, as well as the Exploration Research and Development theme which consists of Advanced Exploration Systems, the Gateway, Advanced Cislunar and Surface Capabilities, the Human Landing System, and the Human Research Program.

Object Classification (in millions of dollars)

Identification code 080-0124-0-1-252	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	343	390	400
11.3 Other than full-time permanent	3	3	5
11.5 Other personnel compensation	2	2	3
11.8 Special personal services payments	1	1	2
11.9 Total personnel compensation	349	396	410
12.1 Civilian personnel benefits	114	130	189
13.0 Benefits for former personnel	1	1	2
21.0 Travel and transportation of persons	15	17	25
22.0 Transportation of things	1	1	2
23.3 Communications, utilities, and miscellaneous charges	25	28	41
25.1 Advisory and assistance services	397	452	658
25.2 Other services from non-Federal sources	48	55	80
25.3 Other goods and services from Federal sources	40	45	66
25.4 Operation and maintenance of facilities	133	151	220
25.5 Research and development contracts	3,466	3,944	5,908
25.7 Operation and maintenance of equipment	182	207	301
26.0 Supplies and materials	42	48	70
31.0 Equipment	55	63	91
32.0 Land and structures	349	397	578
41.0 Grants, subsidies, and contributions	73	83	121
99.9 Total new obligations, unexpired accounts	5,290	6,018	8,762

Employment Summary

Identification code 080-0124-0-1-252	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,793	2,703	2,785

SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS ENGAGEMENT

For necessary expenses, not otherwise provided for, in the conduct and support of aerospace and aeronautical education research and development activities, including research, development, operations, support, and services; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$120,000,000, to remain available until September 30, 2021, of which \$24,000,000 shall be for the Established Program to Stimulate Competitive Research and \$48,000,000 shall be for the National Space Grant College and Fellowship Program. Unobligated balances previously appropriated under this heading shall be available for purposes of the closure of the Office of STEM Engagement, including, but not limited to, ongoing administration, oversight, monitoring, and funding of grants previously awarded by the Office of STEM Engagement. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 080-0128-0-1-252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 STEM Engagement	110	120
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	9	11
1021 Recoveries of prior year unpaid obligations	1	2	2
1050 Unobligated balance (total)	9	11	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	110	120
1930 Total budgetary resources available	119	131	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	11	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	148	149	151
3010 New obligations, unexpired accounts	110	120
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-107	-116	-89
3040 Recoveries of prior year unpaid obligations, unexpired	-1	-2	-2
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	149	151	60
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	148	149	151
3200 Obligated balance, end of year	149	151	60
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	110	120
Outlays, gross:			
4010 Outlays from new discretionary authority	14	16
4011 Outlays from discretionary balances	93	100	89
4020 Outlays, gross (total)	107	116	89
4180 Budget authority, net (total)	110	120
4190 Outlays, net (total)	107	116	89

The Budget proposes to terminate funding for the Office of Science, Technology, Engineering, and Mathematics (STEM) Engagement's portfolio of programs and projects. Unobligated balances previously appropriated may be used to support close-out costs. Moving forward, a small team at NASA headquarters funded out of Safety, Security, and Mission Services will be accountable for strategic direction and coordination of the agency's STEM engagement efforts.

Object Classification (in millions of dollars)

Identification code 080-0128-0-1-252	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	4	4
11.9 Total personnel compensation	4	4
12.1 Civilian personnel benefits	2	2
25.1 Advisory and assistance services	2	2
25.2 Other services from non-Federal sources	6	7
25.5 Research and development contracts	6	7
25.7 Operation and maintenance of equipment	6	7
41.0 Grants, subsidies, and contributions	84	91
99.9 Total new obligations, unexpired accounts	110	120

Employment Summary

Identification code 080-0128-0-1-252	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	36	38

SAFETY, SECURITY AND MISSION SERVICES

For necessary expenses, not otherwise provided for, in the conduct and support of science, aeronautics, space technology, exploration, space operations and education research and development activities, including research, development, operations,

support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; not to exceed \$63,000 for official reception and representation expenses; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, **[\$2,913,300,000]** \$3,009,900,000, to remain available until September 30, **[2021]** 2022. (*Science Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 080-0122-0-1-252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Safety, Security and Mission Services	2,776	2,913	3,010
0801 Cross Agency Support (Reimbursable)	1,633	2,344	1,932
0900 Total new obligations, unexpired accounts	4,409	5,257	4,942
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	550	781	829
1021 Recoveries of prior year unpaid obligations	62	48	48
1050 Unobligated balance (total)	612	829	877
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2,755	2,913	3,010
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,582	2,344	1,932
1701 Change in uncollected payments, Federal sources	241		
1750 Spending auth from offsetting collections, disc (total)	1,823	2,344	1,932
1900 Budget authority (total)	4,578	5,257	4,942
1930 Total budgetary resources available	5,190	6,086	5,819
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	781	829	877
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,420	2,134	1,887
3010 New obligations, unexpired accounts	4,409	5,257	4,942
3011 Obligations ("upward adjustments"), expired accounts	20		
3020 Outlays (gross)	-4,629	-5,456	-5,336
3040 Recoveries of prior year unpaid obligations, unexpired	-62	-48	-48
3041 Recoveries of prior year unpaid obligations, expired	-24		
3050 Unpaid obligations, end of year	2,134	1,887	1,445
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,882	-1,907	-1,907
3070 Change in uncollected pymts, Fed sources, unexpired	-241		
3071 Change in uncollected pymts, Fed sources, expired	216		
3090 Uncollected pymts, Fed sources, end of year	-1,907	-1,907	-1,907
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	538	227	-20
3200 Obligated balance, end of year	227	-20	-462
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,578	5,257	4,942
Outlays, gross:			
4010 Outlays from new discretionary authority	2,720	3,127	3,065
4011 Outlays from discretionary balances	1,909	2,329	2,271
4020 Outlays, gross (total)	4,629	5,456	5,336
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1,588	-2,094	-1,682
4033 Non-Federal sources	-209	-250	-250
4040 Offsets against gross budget authority and outlays (total)	-1,797	-2,344	-1,932
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-241		
4052 Offsetting collections credited to expired accounts	215		
4060 Additional offsets against budget authority only (total)	-26		
4070 Budget authority, net (discretionary)	2,755	2,913	3,010
4080 Outlays, net (discretionary)	2,832	3,112	3,404
4180 Budget authority, net (total)	2,755	2,913	3,010
4190 Outlays, net (total)	2,832	3,112	3,404

Safety, Security, and Mission Services (SSMS) manages agency-wide mission support functions and some of NASA's research facilities. This appropriation provides for the operations and maintenance, salaries and

related expenses, and other general and administrative activities that support all NASA's missions. Beginning in FY 2021, NASA's Operating Plan and accounting structure will reflect the new architecture adopted by the Agency for the administrative functions funded under SSMS. Under the new architecture, programs, projects and activities fall under the two Themes described below.

Mission Services and Capabilities (MSaC) delivers enterprise solutions through three programs: Information Technology; Mission Enabling Services; and Infrastructure and Technical Capabilities. These programs meet workforce, infrastructure, information technology and business operations requirements necessary to enable NASA's mission. MSaC ensures that critical Agency operations across all NASA Centers are effective, efficient, safe, and meet statutory, regulatory, and fiduciary responsibilities.

Engineering, Safety, and Operations (ESO) provides for the ongoing management of NASA Headquarters, nine Centers, and component facilities. It funds medical and engineering technical authorities and contributes to the reduction of program risks by ensuring that technical skills and assets are ready and available to meet program and project requirements. ESO ensures that Center practices are technically and scientifically sound and that specialized infrastructure at the Centers is safe and reliable.

Object Classification (in millions of dollars)

Identification code 080-0122-0-1-252	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	897	941	973
11.3 Other than full-time permanent	20	21	22
11.5 Other personnel compensation	36	38	39
11.9 Total personnel compensation	953	1,000	1,034
12.1 Civilian personnel benefits	295	310	320
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	25	26	27
22.0 Transportation of things	1	1	1
23.0 Rental payments to others	21	22	23
23.3 Communications, utilities, and miscellaneous charges	82	86	89
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	241	253	261
25.2 Other services from non-Federal sources	238	250	258
25.3 Other goods and services from Federal sources	46	48	50
25.4 Operation and maintenance of facilities	203	213	220
25.5 Research and development contracts	160	168	173
25.6 Medical care	7	7	8
25.7 Operation and maintenance of equipment	388	407	421
26.0 Supplies and materials	16	17	17
31.0 Equipment	60	63	65
32.0 Land and structures	16	17	17
41.0 Grants, subsidies, and contributions	20	21	22
99.0 Direct obligations	2,776	2,913	3,010
99.0 Reimbursable obligations	1,633	2,344	1,932
99.9 Total new obligations, unexpired accounts	4,409	5,257	4,942

Employment Summary

Identification code 080-0122-0-1-252	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	7,158	7,601	7,602
2001 Reimbursable civilian full-time equivalent employment	434	301	301

CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION

For necessary expenses for construction of facilities including repair, rehabilitation, revitalization, and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, and restoration, and acquisition or condemnation of real property, as authorized by law, and environmental compliance and restoration, **[\$373,400,000]** \$539,085,000, to remain available until September 30, **[2025]** 2026: *Provided*, That proceeds from leases deposited into this account shall be available for a period of 5 years to the extent and in amounts as provided in annual appropriations Acts: *Provided further*, That such proceeds referred to in the preceding proviso shall be available for obligation for fiscal year **[2020]** 2021 in an amount not to exceed **[\$17,000,000]** \$18,700,000: *Provided further*, That each annual budget request shall include an annual estimate of gross

CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION—Continued
receipts and collections and proposed use of all funds collected pursuant to section 20145 of title 51, United States Code. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)			
Identification code 080–0130–0–1–252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Construction and Environmental Compliance and Restoration (Direct)	460	373	539
0801 Construction and Environmental Compliance and Restoration (Reimbursable)		22	22
0900 Total new obligations, unexpired accounts	460	395	561
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	370	319	393
1021 Recoveries of prior year unpaid obligations	17	75	75
1050 Unobligated balance (total)	387	394	468
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	348	373	539
1120 Appropriations transferred to other acct Space Operations [080–0115]	–2		
1121 Appropriations transferred from other acct Aeronautics [080–0126]	9		
1121 Appropriations transferred from other Science [080–0120]	10		
1121 Appropriations transferred from other Exploration [080–0124]	6		
1121 Appropriations transferred from other Space Operations [080–0115]	1		
1160 Appropriation, discretionary (total)	372	373	539
Spending authority from offsetting collections, discretionary:			
1700 Collected	22	21	21
1900 Budget authority (total)	394	394	560
1930 Total budgetary resources available	781	788	1,028
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		
1941 Unexpired unobligated balance, end of year	319	393	467
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	784	753	562
3010 New obligations, unexpired accounts	460	395	561
3011 Obligations ("upward adjustments"), expired accounts	18		
3020 Outlays (gross)	–475	–511	–500
3031 Unpaid obligations transferred from other accts [080–0110]	1		
3040 Recoveries of prior year unpaid obligations, unexpired	–17	–75	–75
3041 Recoveries of prior year unpaid obligations, expired	–18		
3050 Unpaid obligations, end of year	753	562	548
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	784	753	562
3200 Obligated balance, end of year	753	562	548
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	394	394	560
Outlays, gross:			
4010 Outlays from new discretionary authority	18	25	35
4011 Outlays from discretionary balances	457	486	465
4020 Outlays, gross (total)	475	511	500
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–22	–21	–21
4180 Budget authority, net (total)	372	373	539
4190 Outlays, net (total)	453	490	479

This appropriation provides for NASA's construction and environmental compliance and restoration activities, and makes available the net proceeds from Enhanced Use Leases for maintenance, capital revitalization, and improvement of real property assets and related personal property at NASA Centers received under the authority of section 20145 of the National Aeronautics and Space Act (51 U.S.C. 20145). The funding provides for all of the research; development; operations; design, repair, rehabilitation, modification of facilities, and construction of new facilities; and other

general and administrative activities required to execute the programs within this account.

Object Classification (in millions of dollars)			
Identification code 080–0130–0–1–252	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	24	19	28
25.2 Other services from non-Federal sources	52	42	61
25.3 Other goods and services from Federal sources	52	42	61
25.4 Operation and maintenance of facilities	53	45	63
25.5 Research and development contracts	9	7	11
25.7 Operation and maintenance of equipment	20	16	24
31.0 Equipment	1	1	1
32.0 Land and structures	246	199	288
99.0 Direct obligations	459	373	539
99.0 Reimbursable obligations	1	22	22
99.9 Total new obligations, unexpired accounts	460	395	561

[SPACE] LEO AND SPACEFLIGHT OPERATIONS

For necessary expenses, not otherwise provided for, in the conduct and support of space operations research and development activities, including research, development, operations, support and services; space flight, spacecraft control and communications activities, including operations, production, and services; maintenance and repair, facility planning and design; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, **[\$4,140,200,000] \$4,187,300,000**, to remain available until September 30, **[2021] 2022**. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)			
Identification code 080–0115–0–1–252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 LEO and Spaceflight Operations	4,780	4,140	4,187
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	117	98	425
1021 Recoveries of prior year unpaid obligations	121	327	327
1050 Unobligated balance (total)	238	425	752
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,639	4,140	4,187
1120 Appropriations transferred to other accts CECR [080–0130]	–1		
1121 Appropriations transferred from other acct CECR [080–0130]	2		
1160 Appropriation, discretionary (total)	4,640	4,140	4,187
1900 Budget authority (total)	4,640	4,140	4,187
1930 Total budgetary resources available	4,878	4,565	4,939
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	98	425	752
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,426	2,593	2,066
3010 New obligations, unexpired accounts	4,780	4,140	4,187
3011 Obligations ("upward adjustments"), expired accounts	13		
3020 Outlays (gross)	–4,499	–4,340	–4,201
3040 Recoveries of prior year unpaid obligations, unexpired	–121	–327	–327
3041 Recoveries of prior year unpaid obligations, expired	–6		
3050 Unpaid obligations, end of year	2,593	2,066	1,725
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,426	2,593	2,066
3200 Obligated balance, end of year	2,593	2,066	1,725
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,640	4,140	4,187
Outlays, gross:			
4010 Outlays from new discretionary authority	2,964	2,774	2,805

4011	Outlays from discretionary balances	1,535	1,566	1,396
4020	Outlays, gross (total)	4,499	4,340	4,201
	Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4033	Non-Federal sources	-3		
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	3		
4060	Additional offsets against budget authority only (total)	3		
4070	Budget authority, net (discretionary)	4,640	4,140	4,187
4080	Outlays, net (discretionary)	4,496	4,340	4,201
4180	Budget authority, net (total)	4,640	4,140	4,187
4190	Outlays, net (total)	4,496	4,340	4,201

This appropriation provides for the full costs associated with human-related Low Earth Orbit (LEO) and spaceflight operations activities of the Agency. The full costs include all labor, travel, procurement, test, and fabrication costs associated with the research, development, operations, and other general and administrative activities conducted by the programs within this account. Major themes within the LEO and Spaceflight Operations account include the International Space Station, Space Transportation, Space and Flight Support, and Commercial LEO Development. Performance goals associated with these activities are addressed in NASA's detailed budget request.

The International Space Station (ISS) is a complex of research laboratories in LEO where America and its international partners, including Russia, Canada, Europe, and Japan, conduct unique scientific and technological investigations in a microgravity environment. The objective of the International Space Station is to support human space exploration and conduct science experiments and technology development activities unique to the on-orbit attributes of the facility.

The Space Transportation theme is comprised of the Commercial Crew Program and Crew and Cargo Program, which transport U.S. astronauts and cargo safely back and forth to the ISS and, in the future, to other orbital platforms and destinations. Maintaining the ISS requires a fleet of vehicles and launch locations to transport astronauts, science experiments, supplies, maintenance hardware, and propellant to the ISS, and to dispose of waste generated on the ISS. The Commercial Crew Program partners with two U.S. companies, SpaceX and Boeing, to develop and operate safe, reliable, and affordable crew transportation to LEO. The Crew and Cargo Program purchases cargo transportation services to the ISS through contracts with Northrop Grumman, SpaceX, and Sierra Nevada and purchases crew transportation services from Boeing and SpaceX. Payments to develop and test commercial crew vehicles, and for initial Post Certification Missions for each provider are funded by the Commercial Crew Program, whereas subsequent payments for operational commercial crew missions are funded by the Crew and Cargo Program.

Space and Flight Support is comprised of multiple programs that provide ongoing support for a wide range of services required for safe and successful space mission operations. These programs include Space Communications and Navigation, Communications Services Program, Human Space Flight Operations, Launch Services, and Rocket Propulsion Testing. Services are provided to a wide range of customers including NASA, other U.S. Federal agencies, foreign governments, and industry partners.

Commercial LEO Development supports efforts to expand commercial activities in LEO, with a focus on enabling, developing, and deploying commercial platforms that can be used by NASA and other customers and on supporting the growth of private sector activity in LEO.

Object Classification (in millions of dollars)

Identification code 080-0115-0-1-252	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	292	253	256
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	297	258	261
12.1 Civilian personnel benefits	95	82	83

21.0	Travel and transportation of persons	15	13	13
22.0	Transportation of things	1,932	1,673	1,692
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	10	9	9
25.1	Advisory and assistance services	103	89	90
25.2	Other services from non-Federal sources	175	152	153
25.3	Other goods and services from Federal sources	76	66	67
25.4	Operation and maintenance of facilities	45	39	39
25.5	Research and development contracts	1,674	1,449	1,466
25.7	Operation and maintenance of equipment	274	237	240
26.0	Supplies and materials	18	16	16
31.0	Equipment	15	13	13
32.0	Land and structures	7	6	6
41.0	Grants, subsidies, and contributions	42	36	37
99.9	Total new obligations, unexpired accounts	4,780	4,140	4,187

Employment Summary

Identification code 080-0115-0-1-252	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,290	2,175	2,155

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, **[\$41,700,000]** \$44,200,000, [of which \$500,000 shall] to remain available until September 30, **[2021]** 2022. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 080-0109-0-1-252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General (Direct)	39	42	43
0801 Office of Inspector General (Reimbursable)	1	2	2
0900 Total new obligations, unexpired accounts	40	44	45
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	39	42	44
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	2	2
1900 Budget authority (total)	40	44	46
1930 Total budgetary resources available	41	44	46
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	7	7
3010 New obligations, unexpired accounts	40	44	45
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-38	-44	-45
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	7	7	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	7	7
3200 Obligated balance, end of year	7	7	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	40	44	46
Outlays, gross:			
4010 Outlays from new discretionary authority	34	39	40
4011 Outlays from discretionary balances	4	5	5
4020 Outlays, gross (total)	38	44	45
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-2	-2
4180 Budget authority, net (total)	39	42	44
4190 Outlays, net (total)	37	42	43

This appropriation provides for the operations of the NASA Office of Inspector General. The mission of the Office of Inspector General is to

OFFICE OF INSPECTOR GENERAL—Continued

conduct audits and investigations of agency activities to prevent and detect fraud, waste, abuse, and mismanagement. The Inspector General keeps the NASA Administrator and the Congress informed of problems and deficiencies in agency programs and operations.

Object Classification (in millions of dollars)

Identification code 080-0109-0-1-252	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	22	23	23
12.1 Civilian personnel benefits	9	10	10
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	3	4	5
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	2	2	2
31.0 Equipment	1	1	1
99.0 Direct obligations	39	42	43
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations, unexpired accounts	40	44	45

Employment Summary

Identification code 080-0109-0-1-252	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	170	184	187
2001 Reimbursable civilian full-time equivalent employment	5	5	5

SCIENCE, AERONAUTICS, AND EXPLORATION

Program and Financing (in millions of dollars)

Identification code 080-0114-0-1-999	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1029 Other balances withdrawn to Treasury	-1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

HUMAN SPACE FLIGHT

Program and Financing (in millions of dollars)

Identification code 080-0111-0-1-252	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1021 Recoveries of prior year unpaid obligations	1		
1029 Other balances withdrawn to Treasury	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

SCIENCE, AERONAUTICS AND TECHNOLOGY

Program and Financing (in millions of dollars)

Identification code 080-0110-0-1-999	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1029 Other balances withdrawn to Treasury	-1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3030 Unpaid obligations transferred to other accts [080-0130]	-1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

MISSION SUPPORT

Program and Financing (in millions of dollars)

Identification code 080-0112-0-1-999	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1029 Other balances withdrawn to Treasury	-1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 080-4546-0-4-252	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working Capital Fund (Reimbursable)	482	531	558
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	30	38
1021 Recoveries of prior year unpaid obligations	2	8	8
1050 Unobligated balance (total)	16	38	46
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	496	531	558
1930 Total budgetary resources available	512	569	604
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	38	46

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	195	214	198
3010 New obligations, unexpired accounts	482	531	558
3020 Outlays (gross)	-461	-539	-547
3040 Recoveries of prior year unpaid obligations, unexpired	-2	-8	-8
3050 Unpaid obligations, end of year	214	198	201
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	195	214	198
3200 Obligated balance, end of year	214	198	201

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	496	531	558
Outlays, gross:			
4010 Outlays from new discretionary authority	272	319	335
4011 Outlays from discretionary balances	189	220	212
4020 Outlays, gross (total)	461	539	547
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-476	-496	-496
4033 Non-Federal sources	-20	-35	-62
4040 Offsets against gross budget authority and outlays (total)	-496	-531	-558
4080 Outlays, net (discretionary)	-35	8	-11
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-35	8	-11

The Working Capital Fund provides goods and services on a reimbursable basis. The Fund finances four program activities. The first is the Solutions for Enterprise-wide Procurement program, which finances, on an agency-wide basis, scientific and engineering workstation procurement. The second program is the Information Technology Infrastructure Integration Program

which consolidates and centralizes management of NASA information technology services in the areas of customer service and ordering, web services and technologies, enterprise business and management applications, integrated network/communications services, end user services, and data center services. The third program, NASA's Shared Services Center, performs selected financial management, human resources, information technology, and procurement services for NASA Headquarters and Centers. The last program, the National Center for Critical Information Processing and Storage, provides federal customers collocation services with complete redundancy in the electrical distribution system from the national grid to the rack level.

Object Classification (in millions of dollars)

Identification code 080-4546-0-4-252	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	15	17	19
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	7	8	8
25.2 Other services from non-Federal sources	43	48	50
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	24	27	28
25.7 Operation and maintenance of equipment	384	421	443
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	482	531	558

Employment Summary

Identification code 080-4546-0-4-252	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	138	179	179

Trust Funds

SCIENCE, SPACE, AND TECHNOLOGY EDUCATION TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 080-8978-0-7-503	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	16	16	16
Receipts:			
Current law:			
1140 Earnings on Investments, Science, Space and Technology Education Trust Fund		1	1
2000 Total: Balances and receipts	16	17	17
Appropriations:			
Current law:			
2101 Science, Space, and Technology Education Trust Fund	-1	-1	-1
5098 Rounding adjustment	1		
5099 Balance, end of year	16	16	16

Program and Financing (in millions of dollars)

Identification code 080-8978-0-7-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Science, Space, and Technology Education Trust Fund	1	1	1
0900 Total new obligations, unexpired accounts (object class 41.0)	1	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1

3020	Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1	1	1
Outlays, gross:				
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	17	16	15
5001	Total investments, EOY: Federal securities: Par value	16	15	14

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

Funds for any announced prize otherwise authorized shall remain available, without fiscal year limitation, until a prize is claimed or the offer is withdrawn.

Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Aeronautics and Space Administration in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers. Any funds transferred to "Construction and Environmental Compliance and Restoration" for construction activities shall not increase that account by more than \$75,300,000, 20 percent. Balances so transferred shall be merged with and available for the same purposes and the same time period as the appropriations to which transferred. Any transfer pursuant to this provision shall be treated as a reprogramming of funds under section 505 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

Not to exceed 5 percent of any appropriation provided for the National Aeronautics and Space Administration under previous appropriations Acts that remains available for obligation or expenditure in fiscal year 2020 2021 may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers. Any transfer pursuant to this provision shall retain its original availability and shall be treated as a reprogramming of funds under section 505 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

Notwithstanding the limitations in the preceding two paragraphs, upon the determination of the Administrator that such action is necessary in support of establishment of a United States strategic presence on the Moon, the Administrator may transfer funds between Deep Space Exploration Systems and LEO and Spaceflight Operations appropriations available to the National Aeronautics and Space Administration, including funds appropriated in prior Acts that remain available for obligation or expenditure, to be merged with and to be available for the same purposes as the appropriation to which transferred. Any transfer pursuant to this provision shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

The spending plan required by this Act shall be provided by NASA at the theme [.] and program [.] level. The spending plan, as well as any subsequent change of an amount established in that spending plan that meets the notification requirements of section 505 504 of this Act, shall be treated as a reprogramming under section 505 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

Not more than 40 percent of the amounts made available in this Act for the Gateway; Advanced Cislunar and Surface Capabilities; Commercial LEO Development; and Lunar Discovery and Exploration, excluding the Lunar Reconnaissance Orbiter, may be obligated until the Administrator submits a multi-year plan to the Committees on Appropriations of the House of Representatives and the Senate that identifies estimated dates, by fiscal year, for Space Launch System flights to build the Gateway; the commencement of partnerships with commercial entities for additional LEO missions to land humans and rovers on the Moon; and conducting additional scientific activities on the Moon. The multi-year plan shall include key milestones to be met by fiscal year to achieve goals for each of the lunar programs de-

scribed in the previous sentence and funding required by fiscal year to achieve such milestones.】 (Science Appropriations Act, 2020.)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
080-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	2	4	4

General Fund Offsetting receipts from the public	2	4	4
Intragovernmental payments:			
080-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	1	2	2
General Fund Intragovernmental payments	1	2	2

NATIONAL SCIENCE FOUNDATION

Federal Funds

RESEARCH AND RELATED ACTIVITIES

For necessary expenses in carrying out the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), and Public Law 86-209 (42 U.S.C. 1880 et seq.); services as authorized by section 3109 of title 5, United States Code; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; and authorized travel; **[\$6,737,200,000]** \$6,213,020,000, to remain available until September 30, **[2021]** 2022, of which not to exceed **[\$500,000,000]** \$544,000,000 shall remain available until expended for polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program: *Provided*, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation. (*Science Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 049-0100-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Biological Sciences	784	811	705
0002 Computer and Information Science and Engineering	985	1,016	1,062
0003 Engineering	991	1,030	910
0005 Geosciences	970	992	836
0006 Mathematical and Physical Sciences	1,491	1,541	1,448
0007 Social, Behavioral and Economic Sciences	271	281	247
0008 Integrative Activities	547	552	539
0009 Office of International Science and Engineering	49	51	44
0010 Office of Polar Programs	489	480	420
0013 Arctic Research Commission	1	2	2
0799 Total direct obligations	6,578	6,756	6,213
0801 Research and Related Activities (Reimbursable)	96	120	120
0900 Total new obligations, unexpired accounts	6,674	6,876	6,333
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	19
1021 Recoveries of prior year unpaid obligations	67
1033 Recoveries of prior year paid obligations	2
1050 Unobligated balance (total)	98	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6,520	6,737	6,213
1120 Appropriations transferred to other acct [049-0106]	-12
1120 Appropriations transferred to other acct [049-0180]	-3
1160 Appropriation, discretionary (total)	6,505	6,737	6,213
Spending authority from offsetting collections, discretionary:			
1700 Collected	59	120	120
1701 Change in uncollected payments, Federal sources	34
1750 Spending auth from offsetting collections, disc (total)	93	120	120
1900 Budget authority (total)	6,598	6,857	6,333
1930 Total budgetary resources available	6,696	6,876	6,333
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3
1941 Unexpired unobligated balance, end of year	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10,859	11,534	12,429
3010 New obligations, unexpired accounts	6,674	6,876	6,333
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-5,880	-5,981	-6,290
3040 Recoveries of prior year unpaid obligations, unexpired	-67
3041 Recoveries of prior year unpaid obligations, expired	-54
3050 Unpaid obligations, end of year	11,534	12,429	12,472
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-72	-96	-96
3070 Change in uncollected pymts, Fed sources, unexpired	-34
3071 Change in uncollected pymts, Fed sources, expired	10
3090 Uncollected pymts, Fed sources, end of year	-96	-96	-96
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10,787	11,438	12,333
3200 Obligated balance, end of year	11,438	12,333	12,376

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	6,598	6,857	6,333
Outlays, gross:			
4010 Outlays from new discretionary authority	732	1,467	1,362
4011 Outlays from discretionary balances	5,148	4,514	4,928
4020 Outlays, gross (total)	5,880	5,981	6,290
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-69	-120	-120
4033 Non-Federal sources	-2
4040 Offsets against gross budget authority and outlays (total)	-71	-120	-120
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-34
4052 Offsetting collections credited to expired accounts	10
4053 Recoveries of prior year paid obligations, unexpired accounts	2
4060 Additional offsets against budget authority only (total)	-22
4070 Budget authority, net (discretionary)	6,505	6,737	6,213
4080 Outlays, net (discretionary)	5,809	5,861	6,170
4180 Budget authority, net (total)	6,505	6,737	6,213
4190 Outlays, net (total)	5,809	5,861	6,170

The Research and Related Activities appropriation enables the United States to provide leadership and promote progress across the expanding frontiers of scientific and engineering research and education.

The major research program activities of NSF are:

Biological Sciences.—This activity supports understanding how complex living systems function and interact with each other and with non-living systems, which has direct impacts on issues related to the economy, food, human welfare, and the environment. Research in this activity crosses scales from molecules to cells through organisms to ecosystems. This activity's investment portfolio includes projects on understanding the fundamental characteristics of biological energy systems, the changing dynamics of the biosphere, and infrastructure and research resources such as databases, research centers, and observatories, and efforts to broaden participation and develop the next generation of biological researchers.

Computer and Information Science and Engineering.—This activity promotes the progress of computer and information science and engineering research and education, and advances the development and use of cyberinfrastructure across the science and engineering research enterprise; promotes understanding of the principles and uses of computing and information technology in society; and contributes to universal, transparent, and affordable participation in a knowledge-based society.

Engineering.—Research supported by this activity aims to increase and strengthen U.S. engineering capability and focus that capability on areas that are relevant to national problems and long-term needs. This activity also includes small business innovation research.

Geosciences.—This activity supports research and associated infrastructure to advance knowledge of the properties and dynamics of the planet on which we live. Research includes understanding the causes and implications of earth system dynamics, as well as disruptive processes such as earthquakes and storms.

Mathematical and Physical Sciences.—Research in this activity is directed at increasing understanding of natural laws and phenomena across the astronomical sciences, chemistry, materials sciences, mathematical sciences, and physics. Research support is available in multiple modalities ranging from multi-user facilities and mid-scale instrumentation to individual investigator awards, from sites for undergraduate research experiences to early career faculty development and collaborative and international efforts.

Social, Behavioral, and Economic Sciences.—This activity supports research, education, and infrastructure in the social, behavioral, cognitive, and economic sciences and funds the collection and dissemination of statistics on the science and engineering enterprise.

RESEARCH AND RELATED ACTIVITIES—Continued

Office of International Science and Engineering (OISE).—This activity serves as the focal point of international activities at NSF. In addition to strategic funding and co-funding that targets catalytic partnerships and workforce-building international research opportunities, OISE advances NSF's global science leadership through extensive interactions with U.S. and global counterpart agencies and organizations.

Office of Polar Programs.—This activity supports Arctic and Antarctic research and operational science support and other related activities for United States polar research programs, including the funding to reimburse Federal agencies for logistical and other related activities supported by the United States Antarctic Program.

Integrative Activities.—This activity supports emerging cross disciplinary research efforts, as well as major research instrumentation and Mid-scale research infrastructure. This activity also provides support for the Science and Technology Policy Institute. The Established Program to Stimulate Competitive Research broadens participation of States and regions in science and engineering by helping institutions expand their research capacity and competitiveness.

The *United States Arctic Research Commission (USARC)* is an independent agency, funded through NSF's appropriations. USARC promotes Arctic research and recommends national Arctic research policies to guide Federal agencies in developing and implementing their research programs in the Arctic region.

Object Classification (in millions of dollars)

Identification code 049-0100-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	37	38	38
21.0 Travel and transportation of persons	13	14	14
23.2 Rental payments to others	1		
25.1 Advisory and assistance services	131	135	132
25.2 Other services from non-Federal sources	10	10	10
25.3 Other goods and services from Federal sources	194	197	197
25.4 Operation and maintenance of facilities	172	172	172
25.5 Research and development contracts	6	6	6
41.0 Grants, subsidies, and contributions	6,014	6,184	5,644
99.0 Direct obligations	6,578	6,756	6,213
99.0 Reimbursable obligations	96	120	120
99.9 Total new obligations, unexpired accounts	6,674	6,876	6,333

Employment Summary

Identification code 049-0100-0-1-999	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3	3	3

MAJOR RESEARCH EQUIPMENT AND FACILITIES CONSTRUCTION

For necessary expenses for the acquisition, construction, commissioning, and up-grading of major research equipment, facilities, and other such capital assets pursuant to the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), including authorized travel, **[\$243,230,000]** \$229,750,000, to remain available until expended. (*Science Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 049-0551-0-1-251	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Major Research Equipment and Facilities Construction	285	282	230
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	28	39	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	296	243	230
1900 Budget authority (total)	296	243	230
1930 Total budgetary resources available	324	282	230

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	302	448	530
3010 New obligations, unexpired accounts	285	282	230
3020 Outlays (gross)	-139	-200	-297
3050 Unpaid obligations, end of year	448	530	463
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	302	448	530
3200 Obligated balance, end of year	448	530	463
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	296	243	230
Outlays, gross:			
4010 Outlays from new discretionary authority		15	14
4011 Outlays from discretionary balances	139	185	283
4020 Outlays, gross (total)	139	200	297
4180 Budget authority, net (total)	296	243	230
4190 Outlays, net (total)	139	200	297

The Major Research Equipment and Facilities Construction activity supports the acquisition, construction, and commissioning of unique national research platforms and major research facilities and equipment. Performance of each construction project is measured against an established baseline at regular intervals and at major milestones.

Object Classification (in millions of dollars)

Identification code 049-0551-0-1-251	2019 actual	2020 est.	2021 est.
Direct obligations:			
32.0 Land and structures	103	98	90
41.0 Grants, subsidies, and contributions	182	184	140
99.9 Total new obligations, unexpired accounts	285	282	230

AGENCY OPERATIONS AND AWARD MANAGEMENT

For agency operations and award management necessary in carrying out the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.); services authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles; uniforms or allowances therefor, as authorized by sections 5901 and 5902 of title 5, United States Code; rental of conference rooms in the District of Columbia; and reimbursement of the Department of Homeland Security for security guard services; **[\$336,900,000]** \$345,640,000: *Provided*, That not to exceed \$8,280 is for official reception and representation expenses: *Provided further*, That contracts may be entered into under this heading in fiscal year **[2020]** 2021 for maintenance and operation of facilities and for other services to be provided during the next fiscal year. (*Science Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 049-0180-0-1-251	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Agency Operations and Award Management	333	338	346
0801 Agency Operations and Award Management (Reimbursable)	6	10	10
0900 Total new obligations, unexpired accounts	339	348	356
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	330	337	346
1121 Appropriations transferred from other acct [049-0100]	3		
1160 Appropriation, discretionary (total)	333	337	346
Spending authority from offsetting collections, discretionary:			
1700 Collected	6	10	10
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	7	10	10
1900 Budget authority (total)	340	347	356
1930 Total budgetary resources available	340	348	356

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	72	73 66
3010	New obligations, unexpired accounts	339	348 356
3020	Outlays (gross)	-335	-355 -351
3041	Recoveries of prior year unpaid obligations, expired	-3
3050	Unpaid obligations, end of year	73	66 71
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1 -1
3070	Change in uncollected pymts, Fed sources, unexpired	-1
3071	Change in uncollected pymts, Fed sources, expired	1
3090	Uncollected pymts, Fed sources, end of year	-1	-1 -1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	71	72 65
3200	Obligated balance, end of year	72	65 70

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	340	347 356
Outlays, gross:			
4010	Outlays from new discretionary authority	273	286 294
4011	Outlays from discretionary balances	62	69 57
4020	Outlays, gross (total)	335	355 351
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-6	-10 -10
4040	Offsets against gross budget authority and outlays (total)	-6	-10 -10
Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-1
4060	Additional offsets against budget authority only (total)	-1
4070	Budget authority, net (discretionary)	333	337 346
4080	Outlays, net (discretionary)	329	345 341
4180	Budget authority, net (total)	333	337 346
4190	Outlays, net (total)	329	345 341

This account funds NSF's scientific, professional, and administrative workforce; the physical and technological infrastructure necessary for a productive, safe, and secure work environment; and the essential business operations critical to NSF's administrative processes.

Object Classification (in millions of dollars)

Identification code 049-0180-0-1-251			
	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	162	168 174
11.3	Other than full-time permanent	13	15 15
11.5	Other personnel compensation	3	3 3
11.9	Total personnel compensation	178	186 192
12.1	Civilian personnel benefits	54	57 59
21.0	Travel and transportation of persons	6 4
23.1	Rental payments	23	25 26
23.3	Communications, utilities, and miscellaneous charges	2 2
25.1	Advisory and assistance services	42	41 39
25.2	Other services from non-Federal sources	9	11 11
25.3	Other goods and services from Federal sources	13	12 10
26.0	Supplies and materials	1	1 1
31.0	Equipment	5	5 2
99.0	Direct obligations	333	338 346
99.0	Reimbursable obligations	6	10 10
99.9	Total new obligations, unexpired accounts	339	348 356

Employment Summary

Identification code 049-0180-0-1-251			
	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1,324	1,357 1,357

OFFICE OF THE NATIONAL SCIENCE BOARD

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, and the employment of experts and consultants under section 3109 of title 5, United States Code) involved in carrying out section 4 of the National Science Foundation Act of 1950 (42 U.S.C. 1863) and Public Law 86-209 (42 U.S.C. 1880 et seq.), **[\$4,500,000] \$4,210,000: Provided,** That not to exceed \$2,500 shall be available for official reception and representation expenses. (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 049-0350-0-1-251			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Office of the National Science Board	4	5 4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	4	5 4
1930	Total budgetary resources available	4	5 4
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1
3010	New obligations, unexpired accounts	4	5 4
3020	Outlays (gross)	-5	-5 -4
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	4	5 4
Outlays, gross:			
4010	Outlays from new discretionary authority	4	4 3
4011	Outlays from discretionary balances	1	1 1
4020	Outlays, gross (total)	5	5 4
4180	Budget authority, net (total)	4	5 4
4190	Outlays, net (total)	5	5 4

This appropriation provides policy-making and related responsibilities for NSF and provides guidance on significant national policy issues in science and engineering research and education, as required by law.

Object Classification (in millions of dollars)

Identification code 049-0350-0-1-251			
	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2 2
12.1	Civilian personnel benefits	1	1 1
25.1	Advisory and assistance services	1	2 1
99.9	Total new obligations, unexpired accounts	4	5 4

Employment Summary

Identification code 049-0350-0-1-251			
	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	17	18 17

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, **[\$16,500,000] \$17,850,000,** of which \$400,000 shall remain available until September 30, **[2021] 2022.** (Science Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 049-0300-0-1-251			
	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Office of Inspector General	16	17 18

OFFICE OF INSPECTOR GENERAL—Continued
Program and Financing—Continued

Identification code 049-0300-0-1-251	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	17	18
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
1900 Budget authority (total)	16	17	18
1930 Total budgetary resources available	16	17	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	2
3010 New obligations, unexpired accounts	16	17	18
3020 Outlays (gross)	-17	-16	-18
3050 Unpaid obligations, end of year	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	2
3200 Obligated balance, end of year	1	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16	17	18
Outlays, gross:			
4010 Outlays from new discretionary authority	15	14	15
4011 Outlays from discretionary balances	2	2	3
4020 Outlays, gross (total)	17	16	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
4180 Budget authority, net (total)	15	17	18
4190 Outlays, net (total)	16	16	18

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

Object Classification (in millions of dollars)

Identification code 049-0300-0-1-251	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	10	10	10
12.1 Civilian personnel benefits	3	4	5
25.2 Other services from non-Federal sources	3	3	3
99.9 Total new obligations, unexpired accounts	16	17	18

Employment Summary

Identification code 049-0300-0-1-251	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	71	71	71

EDUCATION AND HUMAN RESOURCES

For necessary expenses in carrying out science, mathematics and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.), including services as authorized by section 3109 of title 5, United States Code, authorized travel, and rental of conference rooms in the District of Columbia, **[\$940,000,000] \$930,930,000**, to remain available until September 30, **[2021] 2022**. (*Science Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 049-0106-0-1-251	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Education and Human Resources	935	945	931
0100 Total Disc obligations	935	945	931

0302 Low income scholarship program	115	176	125
0303 ITEST grants for Mathematics, Science, or Engineering enrichment courses	34	59	41
0391 Total Mandatory Obligations (H-1B)	149	235	166
0799 Total direct obligations	1,084	1,180	1,097
0801 Education and Human Resources (Reimbursable)	5	10	10
0900 Total new obligations, unexpired accounts	1,089	1,190	1,107
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	79	82	
1001 Discretionary unobligated balance brought fwd, Oct 1	14	5	
1021 Recoveries of prior year unpaid obligations	9		
1050 Unobligated balance (total)	88	82	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	910	940	931
1121 Appropriations transferred from other acct [049-0100]	12		
1160 Appropriation, discretionary (total)	922	940	931
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	157	157	157
1203 Appropriation (previously unavailable)(special or trust)	10	10	9
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-10	-9	
1260 Appropriations, mandatory (total)	157	158	166
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	10	10
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	5	10	10
1900 Budget authority (total)	1,084	1,108	1,107
1930 Total budgetary resources available	1,172	1,190	1,107
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	82		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,500	2,586	2,692
3010 New obligations, unexpired accounts	1,089	1,190	1,107
3020 Outlays (gross)	-980	-1,084	-1,166
3040 Recoveries of prior year unpaid obligations, unexpired	-9		
3041 Recoveries of prior year unpaid obligations, expired	-14		
3050 Unpaid obligations, end of year	2,586	2,692	2,633
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-11	-7	-7
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	5		
3090 Uncollected pymts, Fed sources, end of year	-7	-7	-7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,489	2,579	2,685
3200 Obligated balance, end of year	2,579	2,685	2,626
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	927	950	941
Outlays, gross:			
4010 Outlays from new discretionary authority	34	142	140
4011 Outlays from discretionary balances	813	789	851
4020 Outlays, gross (total)	847	931	991
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9	-10	-10
4040 Offsets against gross budget authority and outlays (total)	-9	-10	-10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	5		
4060 Additional offsets against budget authority only (total)	4		
4070 Budget authority, net (discretionary)	922	940	931
4080 Outlays, net (discretionary)	838	921	981
Mandatory:			
4090 Budget authority, gross	157	158	166
Outlays, gross:			
4100 Outlays from new mandatory authority		17	18
4101 Outlays from mandatory balances	133	136	157
4110 Outlays, gross (total)	133	153	175
4180 Budget authority, net (total)	1,079	1,098	1,097

4190 Outlays, net (total)	971	1,074	1,156
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Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,079	1,098	1,097
Outlays	971	1,074	1,156
Legislative proposal, subject to PAYGO:			
Budget Authority			39
Outlays			18
Total:			
Budget Authority	1,079	1,098	1,136
Outlays	971	1,074	1,174

The Education and Human Resources (EHR) appropriation funds and manages a comprehensive set of programs that further NSF's goals of ensuring a diverse, globally competitive U.S. science, technology, engineering, and mathematics (STEM) workforce, as well as a scientifically literate population. To advance those goals, EHR collaborates with other NSF research units and Federal agencies, and promotes public-private partnerships. EHR supports research on STEM teaching and learning to provide the evidence base for improvements in education at all levels in the STEM disciplines. Supporting development and effective implementation of new learning technologies is also a priority. EHR's pre-K-12 education-research programs, for example, develop and test new instruction materials for students and teachers, which incorporate the latest advances in teaching, learning, and education technologies. STEM teacher-education opportunities occur throughout the full continuum, from pre-service and in-service, through life-long learning. Research programs at the undergraduate level improve curricula, strengthen laboratory courses, enhance faculty effectiveness, and lead education reforms in STEM disciplines. Advanced technological education programs strengthen student preparation for the high-technology workforce. Support of graduate-level STEM education primarily includes fellowships and traineeships to sustain U.S. leadership in global science and technology. All EHR programs aim to broaden participation of groups underrepresented in STEM fields by, for example, improving infrastructure and academic programs at minority-serving institutions. EHR activities also include programs supported by H-1B non-immigrant visa fees, which provide undergraduate and graduate scholarships in STEM disciplines, improve educational opportunities for students, and provide research opportunities for STEM teachers and students.

Object Classification (in millions of dollars)

Identification code 049-0106-0-1-251	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	6	6	6
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	24	25	24
25.3 Other goods and services from Federal sources	6		
25.5 Research and development contracts	1		
31.0 Equipment	1		
41.0 Grants, subsidies, and contributions	1,045	1,148	1,066
99.0 Direct obligations	1,084	1,180	1,097
99.0 Reimbursable obligations	5	10	10
99.9 Total new obligations, unexpired accounts	1,089	1,190	1,107

EDUCATION AND HUMAN RESOURCES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 049-0106-4-1-251	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0302 Low income scholarship program			29
0303 ITTEST grants for Mathematics, Science, or Engineering enrichment courses			10
0900 Total new obligations, unexpired accounts (object class 41.0)			39

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		39
1930	Total budgetary resources available		39

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		39
3020	Outlays (gross)		-18
3050	Unpaid obligations, end of year		21
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		21

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross		39
Outlays, gross:			
4100	Outlays from new mandatory authority		18
4180	Budget authority, net (total)		39
4190	Outlays, net (total)		18

The Budget proposes authorizing legislation to double the American Competitiveness and Workforce Improvement Act (ACWIA) fee for the H-1B visa program in order to help train American workers and close the skills gap. The proposal would increase the ACWIA fee to \$3,000 per worker for large employers and \$1,500 per worker for small employers. The increased revenue would provide additional funding for Department of Labor (DOL) job training grants to support apprenticeship. Under the proposal, the allocations for DOL job training grants (50 percent); DOL foreign labor certifications (5 percent); National Science Foundation (NSF) Innovative Technology Experiences for Students and Teachers program (10 percent); and DHS processing costs (5 percent) would remain the same. The NSF allocation for STEM scholarships would decrease from 30 percent to 15 percent, which would maintain absolute funding levels under current estimates. The proposal would initiate a new 15 percent allocation for the Department of Education's Career and Technical Education formula grant.

Trust Funds

DONATIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 049-8960-0-7-251	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130	Donations, National Science Foundation	32	40
2000	Total: Balances and receipts	32	40
Appropriations:			
Current law:			
2101	Donations	-32	-40
5099	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 049-8960-0-7-251	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Atacama Large Millimeter Array		11
0002	Gemini Telescope	9	31
0003	International Ocean Drilling	19	20
0004	Boeing	11	
0005	General Trust Fund		3
0900	Total new obligations, unexpired accounts (object class 41.0)	39	65

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	32	25
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	32	40
1930	Total budgetary resources available	64	65

DONATIONS—Continued
Program and Financing—Continued

Identification code 049-8960-0-7-251	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	42	58
3010 New obligations, unexpired accounts	39	65	40
3020 Outlays (gross)	-26	-49	-45
3050 Unpaid obligations, end of year	42	58	53
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	42	58
3200 Obligated balance, end of year	42	58	53
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	32	40	40
Outlays, gross:			
4100 Outlays from new mandatory authority		24	24
4101 Outlays from mandatory balances	26	25	21
4110 Outlays, gross (total)	26	49	45
4180 Budget authority, net (total)	32	40	40
4190 Outlays, net (total)	26	49	45

This account consists of contributions from foreign governments, organizations, and individuals to fund various cooperative efforts in science, research, and education. These efforts include major international projects, such as operation of the Gemini telescopes, and the NSF ocean discovery program. Other smaller activities supported include cooperative research, joint seminars, exchange of senior scientists, short-term research develop-

ment visits, international conferences, and support for certain special functions of NSF and the National Science Board. These funds are not otherwise available.

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Science Foundation in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers. Any transfer pursuant to this paragraph shall be treated as a reprogramming of funds under section 505 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

The Director of the National Science Foundation (NSF) shall notify the Committees on Appropriations of the House of Representatives and the Senate at least 30 days in advance of any planned divestment through transfer, decommissioning, termination, or deconstruction of any NSF-owned facilities or any NSF capital assets (including land, structures, and equipment) valued greater than \$2,500,000. (*Science Appropriations Act, 2020.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
049-320000 Collections of Receivables from Canceled Accounts	1	1	1
049-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	5	5	5
General Fund Offsetting receipts from the public	6	6	6

OFFICE OF PERSONNEL MANAGEMENT

Federal Funds

SALARIES AND EXPENSES

【(INCLUDING TRANSFER OF TRUST FUNDS)】

【For necessary expenses to carry out functions of the Office of Personnel Management (OPM) pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; advances for reimbursements to applicable funds of OPM and the Federal Bureau of Investigation for expenses incurred under Executive Order No. 10422 of January 9, 1953, as amended; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, \$145,130,000: *Provided*, That of the total amount made available under this heading, up to \$14,000,000 shall remain available until expended, for information technology infrastructure modernization and Trust Fund Federal Financial System migration or modernization, and shall be in addition to funds otherwise made available for such purposes: *Provided further*, That of the total amount made available under this heading, \$1,068,000 may be made available for strengthening the capacity and capabilities of the acquisition workforce (as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 4001 et seq.)), including the recruitment, hiring, training, and retention of such workforce and information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management; and in addition \$154,625,000 for administrative expenses, to be transferred from the appropriate trust funds of OPM without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs: *Provided further*, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), 8958(f)(2)(A), 8988(f)(2)(A), and 9004(f)(2)(A) of title 5, United States Code: *Provided further*, That no part of this appropriation shall be available for salaries and expenses of the Legal Examining Unit of OPM established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: *Provided further*, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year 2020, accept donations of money, property, and personal services: *Provided further*, That such donations, including those from prior years, may be used for the development of publicity materials to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission.】 (*Financial Services and General Government Appropriations Act, 2020.*)

1701	Change in uncollected payments, Federal sources	44		
1750	Spending auth from offsetting collections, disc (total)	318	155	
1900	Budget authority (total)	450	300	
1930	Total budgetary resources available	483	312	12
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-21		
1941	Unexpired unobligated balance, end of year	12	12	12
<hr/>				
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	112	131	30
3010	New obligations, unexpired accounts	450	300	
3011	Obligations ("upward adjustments"), expired accounts	3		
3020	Outlays (gross)	-433	-401	-18
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	131	30	12
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-108	-111	-111
3070	Change in uncollected pymts, Fed sources, unexpired	-44		
3071	Change in uncollected pymts, Fed sources, expired	41		
3090	Uncollected pymts, Fed sources, end of year	-111	-111	-111
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	20	-81
3200	Obligated balance, end of year	20	-81	-99
<hr/>				
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	450	300	
Outlays, gross:				
4010	Outlays from new discretionary authority	345	281	
4011	Outlays from discretionary balances	88	120	18
4020	Outlays, gross (total)	433	401	18
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-304	-155	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-44		
4052	Offsetting collections credited to expired accounts	30		
4060	Additional offsets against budget authority only (total)	-14		
4070	Budget authority, net (discretionary)	132	145	
4080	Outlays, net (discretionary)	129	246	18
4180	Budget authority, net (total)	132	145	
4190	Outlays, net (total)	129	246	18

Summary of Budget Authority and Outlays (in millions of dollars)

Program and Financing (in millions of dollars)	2019 actual	2020 est.	2021 est.
Identification code 024-0100-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Employee Services	33	30	
0002 Merit System Audit & Compliance	13	13	
0003 Office of the Chief Financial Officer	8	11	
0004 Office of the Chief Information Officer	41	38	
0005 Executive Services	13	11	
0007 Health and Insurance	2	1	
0009 Administrative Services and Centrally Financed	10	35	
0010 Office of Strategy and Innovation	6	6	
0100 Total direct program	126	145	
0799 Total direct obligations	126	145	
0801 Trust Fund activity	324	155	
0900 Total new obligations, unexpired accounts	450	300	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	12	12
1012 Unobligated balance transfers between expired and unexpired accounts	16		
1050 Unobligated balance (total)	33	12	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	132	145	
1700 Spending authority from offsetting collections, discretionary: Collected	274	155	

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	132	145	
Outlays	129	246	18
Legislative proposal, not subject to PAYGO:			
Outlays			-18
Total:	132	145	
Outlays	129	246	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

Object Classification (in millions of dollars)

Identification code 024-0100-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	51	
11.5 Other personnel compensation	1	1	
11.9 Total personnel compensation	49	52	
12.1 Civilian personnel benefits	15	18	
21.0 Travel and transportation of persons	1	1	
23.3 Communications, utilities, and miscellaneous charges	18	13	
25.2 Other services from non-Federal sources	35	60	
31.0 Equipment	8	1	
99.0 Direct obligations	126	145	

SALARIES AND EXPENSES—Continued
Object Classification—Continued

Identification code 024-0100-0-1-805	2019 actual	2020 est.	2021 est.
99.0 Reimbursable obligations	324	155
99.9 Total new obligations, unexpired accounts	450	300

Employment Summary

Identification code 024-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	692	786
2001 Reimbursable civilian full-time equivalent employment	1,125	782

SALARIES AND EXPENSES
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0100-2-1-805	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047-0621]			-12
1930 Total budgetary resources available			-12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-12
Change in obligated balance:			
Unpaid obligations:			
3020 Outlays (gross)			18
3030 Unpaid obligations transferred to other accts [047-0621]			-17
3050 Unpaid obligations, end of year			1
Uncollected payments:			
3080 Uncollected pymts from Fed sources transferred to other accounts			111
3090 Uncollected pymts, Fed sources, end of year			111
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			112
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances			-18
4180 Budget authority, net (total)
4190 Outlays, net (total)			-18

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

[(INCLUDING TRANSFER OF TRUST FUNDS)]

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles, \$5,000,000, and in addition, not to exceed \$25,265,000 for administrative expenses to audit, investigate, and provide other oversight of the Office of Personnel Management's retirement and insurance programs, to be transferred from the appropriate trust funds of the Office of Personnel Management, as determined by the Inspector General: *Provided*, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 024-0400-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Program oversight (audits, investigations, etc.)	5	5
0801 Office of Inspector General (Reimbursable)	25	25
0900 Total new obligations, unexpired accounts	30	30

Budgetary resources:

Identification code	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5
Spending authority from offsetting collections, discretionary:			
1700 Collected	24	24
1701 Change in uncollected payments, Federal sources	1	1
1750 Spending auth from offsetting collections, disc (total)	25	25
1900 Budget authority (total)	30	30
1930 Total budgetary resources available	30	30

Change in obligated balance:

Identification code	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	4	3
3010 New obligations, unexpired accounts	30	30
3020 Outlays (gross)	-31	-31
3050 Unpaid obligations, end of year	4	3	3
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-5	-6
3070 Change in uncollected pymts, Fed sources, unexpired	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	3
3090 Uncollected pymts, Fed sources, end of year	-5	-6	-6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-2	-1	-3
3200 Obligated balance, end of year	-1	-3	-3

Budget authority and outlays, net:

Identification code	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	30	30
Outlays, gross:			
4010 Outlays from new discretionary authority	27	29
4011 Outlays from discretionary balances	4	2
4020 Outlays, gross (total)	31	31
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-26	-26
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1	-1
4052 Offsetting collections credited to expired accounts	2	2
4060 Additional offsets against budget authority only (total)	1	1
4070 Budget authority, net (discretionary)	5	5
4080 Outlays, net (discretionary)	5	5
4180 Budget authority, net (total)	5	5
4190 Outlays, net (total)	5	5

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Inspector General account of the GSA chapter of the *Appendix* for more information on this account.

Object Classification (in millions of dollars)

Identification code 024-0400-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3
12.1 Civilian personnel benefits	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1
99.0 Direct obligations	5	5
99.0 Reimbursable obligations	25	25
99.9 Total new obligations, unexpired accounts	30	30

Employment Summary

Identification code 024-0400-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	20	20
2001 Reimbursable civilian full-time equivalent employment	112	134

OFFICE OF INSPECTOR GENERAL
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0400-2-1-805	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3030 Unpaid obligations transferred to other accts [047-0108]			-3
3050 Unpaid obligations, end of year			-3
Uncollected payments:			
3080 Uncollected pymts from Fed sources transferred to other accounts			6
3090 Uncollected pymts, Fed sources, end of year			6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS

Program and Financing (in millions of dollars)

Identification code 024-0206-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Government contribution for annuitants benefits (1959 Act)	13,131	13,657	14,189
0002 Government contribution for annuitants benefits (1960 Act)		1	1
0900 Total new obligations, unexpired accounts (object class 13.0)	13,131	13,658	14,190
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	13,131	13,658	14,190
1930 Total budgetary resources available	13,131	13,658	14,190

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,444	1,465	1,193
3010 New obligations, unexpired accounts	13,131	13,658	14,190
3020 Outlays (gross)	-13,110	-13,930	-14,190
3050 Unpaid obligations, end of year	1,465	1,193	1,193
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,444	1,465	1,193
3200 Obligated balance, end of year	1,465	1,193	1,193

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	13,131	13,658	14,190
Outlays, gross:			
4100 Outlays from new mandatory authority	11,666	12,465	12,951
4101 Outlays from mandatory balances	1,444	1,465	1,239
4110 Outlays, gross (total)	13,110	13,930	14,190
4180 Budget authority, net (total)	13,131	13,658	14,190
4190 Outlays, net (total)	13,110	13,930	14,190

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	13,131	13,658	14,190
Outlays	13,110	13,930	14,190
Legislative proposal, not subject to PAYGO:			
Budget Authority			-14,190
Outlays			-14,190
Total:			
Budget Authority	13,131	13,658	
Outlays	13,110	13,930	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0206-2-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Government contribution for annuitants benefits (1959 Act)			-14,189
0002 Government contribution for annuitants benefits (1960 Act)			-1
0900 Total new obligations, unexpired accounts (object class 13.0)			-14,190
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-14,190
1930 Total budgetary resources available			-14,190

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-14,190
3020 Outlays (gross)			14,190
3030 Unpaid obligations transferred to other accts [047-0619]			-1,465
3050 Unpaid obligations, end of year			-1,465
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-1,465

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-14,190
Outlays, gross:			
4100 Outlays from new mandatory authority			-12,951
4101 Outlays from mandatory balances			-1,239
4110 Outlays, gross (total)			-14,190
4180 Budget authority, net (total)			-14,190
4190 Outlays, net (total)			-14,190

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE

Program and Financing (in millions of dollars)

Identification code 024-0500-0-1-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct)	42	43	44
0900 Total new obligations, unexpired accounts (object class 25.2)	42	43	44

Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	42	43	44
1930 Total budgetary resources available	42	43	44

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	5
3010 New obligations, unexpired accounts	42	43	44
3020 Outlays (gross)	-42	-43	-44
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	5
3200 Obligated balance, end of year	5	5	5

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	42	43	44
Outlays, gross:			
4100 Outlays from new mandatory authority	37	37	38
4101 Outlays from mandatory balances	5	6	6
4110 Outlays, gross (total)	42	43	44
4180 Budget authority, net (total)	42	43	44
4190 Outlays, net (total)	42	43	44

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE—Continued
Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	42	43	44
Outlays	42	43	44
Legislative proposal, not subject to PAYGO:			
Budget Authority			-44
Outlays			-44
Total:			
Budget Authority	42	43	
Outlays	42	43	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0500-2-1-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Government Payment for Annuitants, Employee Life Insurance (Direct)			-44
0900 Total new obligations, unexpired accounts (object class 25.2)			-44

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-44
1930 Total budgetary resources available			-44

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-44
3020 Outlays (gross)			44
3030 Unpaid obligations transferred to other accts [047-0620]			-5
3050 Unpaid obligations, end of year			-5
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-5

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-44
Outlays, gross:			
4100 Outlays from new mandatory authority			-38
4101 Outlays from mandatory balances			-6
4110 Outlays, gross (total)			-44
4180 Budget authority, net (total)			-44
4190 Outlays, net (total)			-44

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

Program and Financing (in millions of dollars)

Identification code 024-0200-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Payment of Government share of retirement costs	17,229	17,000	16,600
0003 Transfers for interest on unfunded liability and payment of military service annuities	26,371	27,100	27,700
0005 Spouse equity payment	44	44	44
0900 Total new obligations, unexpired accounts	43,644	44,144	44,344

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	26,371	27,100	27,700
1200 Appropriation	17,273	17,044	16,644
1260 Appropriations, mandatory (total)	43,644	44,144	44,344

1930 Total budgetary resources available	43,644	44,144	44,344
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Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	43,644	44,144	44,344
3020 Outlays (gross)	-43,644	-44,144	-44,344

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	43,644	44,144	44,344
Outlays, gross:			
4100 Outlays from new mandatory authority	43,644	44,144	44,344
4180 Budget authority, net (total)	43,644	44,144	44,344
4190 Outlays, net (total)	43,644	44,144	44,344

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	43,644	44,144	44,344
Outlays	43,644	44,144	44,344
Legislative proposal, not subject to PAYGO:			
Budget Authority			-44,344
Outlays			-44,344
Total:			
Budget Authority	43,644	44,144	
Outlays	43,644	44,144	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

Object Classification (in millions of dollars)

Identification code 024-0200-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
12.1 Civilian personnel benefits	17,273	17,044	16,644
13.0 Benefits for former personnel	26,371	27,100	27,700
99.9 Total new obligations, unexpired accounts	43,644	44,144	44,344

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0200-2-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Payment of Government share of retirement costs			-16,600
0003 Transfers for interest on unfunded liability and payment of military service annuities			-27,700
0005 Spouse equity payment			-44
0900 Total new obligations, unexpired accounts			-44,344

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-27,700
1200 Appropriation			-16,644
1260 Appropriations, mandatory (total)			-44,344
1930 Total budgetary resources available			-44,344

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			-44,344
3020 Outlays (gross)			44,344

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			-44,344
Outlays, gross:			
4100 Outlays from new mandatory authority			-44,344
4180 Budget authority, net (total)			-44,344
4190 Outlays, net (total)			-44,344

Object Classification (in millions of dollars)

Identification code 024-0200-2-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
12.1 Civilian personnel benefits			-16,644
13.0 Benefits for former personnel			-27,700
99.9 Total new obligations, unexpired accounts			-44,344

FLEXIBLE BENEFITS PLAN RESERVE

Program and Financing (in millions of dollars)

Identification code 024-0800-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FSA FEDS Risk Reserve	9	18	19
0900 Total new obligations, unexpired accounts (object class 25.6)	9	18	19
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	61	65	66
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	14	20	22
1823 New and/or unobligated balance of spending authority from offsetting collections temporarily reduced	-1	-1	
1850 Spending auth from offsetting collections, mand (total)	13	19	22
1930 Total budgetary resources available	74	84	88
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	65	66	69
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	7	3
3010 New obligations, unexpired accounts	9	18	19
3020 Outlays (gross)	-8	-22	-19
3050 Unpaid obligations, end of year	7	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	7	3
3200 Obligated balance, end of year	7	3	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	13	19	22
Outlays, gross:			
4100 Outlays from new mandatory authority	4	16	19
4101 Outlays from mandatory balances	4	6	
4110 Outlays, gross (total)	8	22	19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1	-1	-1
4123 Non-Federal sources	-13	-19	-21
4130 Offsets against gross budget authority and outlays (total)	-14	-20	-22
4160 Budget authority, net (mandatory)	-1	-1	
4170 Outlays, net (mandatory)	-6	2	-3
4180 Budget authority, net (total)	-1	-1	
4190 Outlays, net (total)	-6	2	-3
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	9	10	11
5092 Unexpired unavailable balance, EOY: Offsetting collections	10	11	11

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-1	-1	
Outlays	-6	2	-3
Legislative proposal, not subject to PAYGO:			
Outlays			3
Total:			
Budget Authority	-1	-1	
Outlays	-6	2	-3

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

FLEXIBLE BENEFITS PLAN RESERVE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-0800-2-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FSA FEDS Risk Reserve			-19
0900 Total new obligations, unexpired accounts (object class 25.6)			-19
Budgetary resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047-0618]			-65
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			-22
1930 Total budgetary resources available			-87
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-68
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-19
3020 Outlays (gross)			19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-22
Outlays, gross:			
4100 Outlays from new mandatory authority			-19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			1
4123 Non-Federal sources			21
4130 Offsets against gross budget authority and outlays (total)			22
4170 Outlays, net (mandatory)			3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			3
Memorandum (non-add) entries:			
5091 Unexpired unavailable balance, transfer to GSA: Offsetting collections			-10
5092 Unexpired unavailable balance, EOY: Offsetting collections			-10

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 024-5391-0-2-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	47,145	44,611	44,054
Receipts:			
Current law:			
1140 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund		3,613	3,798
1140 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund		-3,613	-3,798
1140 Earnings on Investments, Postal Service Retiree Health Benefits Fund	1,230	1,155	1,030
1140 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund		-789	-789
1140 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund		789	789
1199 Total current law receipts	1,230	1,155	1,030
Proposed:			
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			-3,798
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund		2,209	
1240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund			3,798
1240 Earnings on Investments, Postal Service Retiree Health Benefits Fund			-1,030

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 024-5391-0-2-551	2019 actual	2020 est.	2021 est.
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			789
1240 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund			-789
1299 Total proposed receipts		2,209	-1,030
1999 Total receipts	1,230	3,364	
2000 Total: Balances and receipts	48,375	47,975	44,054
Appropriations:			
Current law:			
2101 Postal Service Retiree Health Benefits Fund	-1,230	-1,155	-1,030
2103 Postal Service Retiree Health Benefits Fund	-2,534	-2,766	-3,109
2199 Total current law appropriations	-3,764	-3,921	-4,139
Proposed:			
2201 Postal Service Retiree Health Benefits Fund			1,030
2203 Postal Service Retiree Health Benefits Fund			3,109
2299 Total proposed appropriations			4,139
2999 Total appropriations	-3,764	-3,921	
5099 Balance, end of year	44,611	44,054	44,054

Program and Financing (in millions of dollars)

Identification code 024-5391-0-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Obligations to FEHB Fund	3,764	3,921	4,139
0900 Total new obligations, unexpired accounts (object class 13.0)	3,764	3,921	4,139

Budgetary resources:

Identification code 024-5391-0-2-551	2019 actual	2020 est.	2021 est.
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1,230	1,155	1,030
1203 Appropriation (previously unavailable)(special or trust)	2,534	2,766	3,109
1260 Appropriations, mandatory (total)	3,764	3,921	4,139
1930 Total budgetary resources available	3,764	3,921	4,139

Change in obligated balance:

Identification code 024-5391-0-2-551	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3010 New obligations, unexpired accounts	3,764	3,921	4,139
3020 Outlays (gross)	-3,764	-3,921	-4,139

Budget authority and outlays, net:

Identification code 024-5391-0-2-551	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	3,764	3,921	4,139
Outlays, gross:			
4100 Outlays from new mandatory authority	1,230	3,921	4,139
4101 Outlays from mandatory balances	2,534		
4110 Outlays, gross (total)	3,764	3,921	4,139
4180 Budget authority, net (total)	3,764	3,921	4,139
4190 Outlays, net (total)	3,764	3,921	4,139

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	47,145	44,611	42,058
5001 Total investments, EOY: Federal securities: Par value	44,611	42,058	38,949

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3,764	3,921	4,139
Outlays	3,764	3,921	4,139
Legislative proposal, not subject to PAYGO:			
Budget Authority			-4,139
Outlays			-4,139
Total:			
Budget Authority	3,764	3,921	
Outlays	3,764	3,921	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel

Management section of the GSA chapter of the *Appendix* for more information on this account.

POSTAL SERVICE RETIREE HEALTH BENEFITS FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-5391-2-2-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Obligations to FEHB Fund			-4,139
0900 Total new obligations, unexpired accounts (object class 13.0)			-4,139
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-1,030
1203 Appropriation (previously unavailable)(special or trust)			-3,109
1260 Appropriations, mandatory (total)			-4,139
1930 Total budgetary resources available			-4,139

Change in obligated balance:

Identification code 024-5391-2-2-551	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3010 New obligations, unexpired accounts			-4,139
3020 Outlays (gross)			4,139

Budget authority and outlays, net:

Identification code 024-5391-2-2-551	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross			-4,139
Outlays, gross:			
4100 Outlays from new mandatory authority			-4,139
4180 Budget authority, net (total)			-4,139
4190 Outlays, net (total)			-4,139

Memorandum (non-add) entries:

5001 Total investments, EOY: Federal securities: Par value			-38,949
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REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 024-4571-0-4-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Human Resource Solutions	308	496	708
0802 National Background Investigations Bureau (NBIB)	1,384	1	
0803 Human Resources Tools & Technology (HRTT)	81	66	76
0804 Enterprise Human Resources Integration	35	41	36
0805 USAJOBS	14		
0806 Suitability Executive Agent		8	9
0807 Human Resource Line of Business (HRLob)	3	3	3
0808 Inspector General Activities	3	3	1
0810 Credit Monitoring		85	85
0900 Total new obligations, unexpired accounts	1,828	703	918

Budgetary resources:

Identification code 024-4571-0-4-805	2019 actual	2020 est.	2021 est.
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,225	1,722	1,731
1021 Recoveries of prior year unpaid obligations	220		
1050 Unobligated balance (total)	1,445	1,722	1,731
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,061	712	935
1801 Change in uncollected payments, Federal sources	44		
1850 Spending auth from offsetting collections, mand (total)	2,105	712	935
1930 Total budgetary resources available	3,550	2,434	2,666
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,722	1,731	1,748

Change in obligated balance:

Identification code 024-4571-0-4-805	2019 actual	2020 est.	2021 est.
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,217	717	708
3010 New obligations, unexpired accounts	1,828	703	918
3020 Outlays (gross)	-2,108	-712	-935
3040 Recoveries of prior year unpaid obligations, unexpired	-220		

3050	Unpaid obligations, end of year	717	708	691
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-738	-782	-782
3070	Change in uncollected pymts, Fed sources, unexpired	-44		
3090	Uncollected pymts, Fed sources, end of year	-782	-782	-782
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	479	-65	-74
3200	Obligated balance, end of year	-65	-74	-91
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2,105	712	935
Outlays, gross:				
4100	Outlays from new mandatory authority	612	31	804
4101	Outlays from mandatory balances	1,496	681	131
4110	Outlays, gross (total)	2,108	712	935
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-2,061	-712	-935
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-44		
4170	Outlays, net (mandatory)	47		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	47		

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

Object Classification (in millions of dollars)

Identification code 024-4571-0-4-805	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	303	72	71
11.5	Other personnel compensation	26	4	4
11.9	Total personnel compensation	329	76	75
12.1	Civilian personnel benefits	106	22	24
21.0	Travel and transportation of persons	28	5	5
23.1	Rental payments to GSA	20	5	3
23.3	Communications, utilities, and miscellaneous charges	55	14	9
24.0	Printing and reproduction	1	1	
25.2	Other services from non-Federal sources	1,270	569	796
26.0	Supplies and materials	4	1	1
31.0	Equipment	15	10	5
99.9	Total new obligations, unexpired accounts	1,828	703	918

Employment Summary

Identification code 024-4571-0-4-805	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	3,546	682	643

REVOLVING FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-4571-2-4-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	Human Resource Solutions		-708
0803	Human Resources Tools & Technology (HRTT)		-76
0804	Enterprise Human Resources Integration		-36
0806	Suitability Executive Agency		-9
0807	Human Resource Line of Business (HRLoB)		-3
0808	Inspector General Activities		-1
0810	Credit Monitoring		-85
0900	Total new obligations, unexpired accounts		-918
Budgetary resources:			
Unobligated balance:			
1010	Unobligated balance transfer to other accts [047-4615]		-1,731
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800	Collected		-935
1930	Total budgetary resources available		-2,666

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		-1,748

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		-918
3020	Outlays (gross)		935
3030	Unpaid obligations transferred to other accts [047-4615]		-708
3050	Unpaid obligations, end of year		-691
Uncollected payments:			
3080	Uncollected pymts from Fed sources transferred to other accounts		782
3090	Uncollected pymts, Fed sources, end of year		782
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		91

Budget authority and outlays, net:

Mandatory:			
4090	Budget authority, gross		-935
Outlays, gross:			
4100	Outlays from new mandatory authority		-804
4101	Outlays from mandatory balances		-131
4110	Outlays, gross (total)		-935
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources		935
4180	Budget authority, net (total)		
4190	Outlays, net (total)		

Object Classification (in millions of dollars)

Identification code 024-4571-2-4-805	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent		-71
11.5	Other personnel compensation		-4
11.9	Total personnel compensation		-75
12.1	Civilian personnel benefits		-24
21.0	Travel and transportation of persons		-5
23.1	Rental payments to GSA		-3
23.3	Communications, utilities, and miscellaneous charges		-9
25.2	Other services from non-Federal sources		-796
26.0	Supplies and materials		-1
31.0	Equipment		-5
99.9	Total new obligations, unexpired accounts		-918

Employment Summary

Identification code 024-4571-2-4-805	2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment		-643

Trust Funds

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 024-8135-0-7-602	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	915,326	931,785	950,940
Receipts:				
Current law:				
1110	Employee Contributions, Civil Service Retirement and Disability Fund	4,071	4,564	4,937
1110	District of Columbia Contributions, Civil Service Retirement and Disability Fund	29	31	31
1110	Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund	633	581	544
1140	Agency Contributions, Civil Service Retirement and Disability Fund		381	618
1140	Agency Contributions, Civil Service Retirement and Disability Fund	28,083	32,399	35,757
1140	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund		47	74
1140	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund	3,512	3,702	4,100
1140	Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund		1,060	1,060

CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 024-8135-0-7-602	2019 actual	2020 est.	2021 est.
1140 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund		-1,060	-1,060
1140 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund		1,617	1,617
1140 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund		-1,617	-1,617
1140 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund	296	237	192
1140 Treasury Interest, Civil Service Retirement and Disability Fund	25,261	25,193	24,494
1140 General Fund Payment to the Civil Service Retirement and Disability Fund	43,644	44,144	44,344
1140 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund	39	40	42
1199 Total current law receipts	105,568	111,319	115,133
Proposed:			
1210 Employee Contributions, Civil Service Retirement and Disability Fund			-4,937
1210 District of Columbia Contributions, Civil Service Retirement and Disability Fund			-31
1210 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund			-544
1240 Agency Contributions, Civil Service Retirement and Disability Fund			-618
1240 Agency Contributions, Civil Service Retirement and Disability Fund			-35,757
1240 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			-74
1240 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			-4,100
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			-1,060
1240 Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			1,060
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			-1,617
1240 Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			1,617
1240 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund			-192
1240 Treasury Interest, Civil Service Retirement and Disability Fund			-24,494
1240 General Fund Payment to the Civil Service Retirement and Disability Fund			-44,344
1240 Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund			-42
1299 Total proposed receipts			-115,133
1999 Total receipts	105,568	111,319	
2000 Total: Balances and receipts	1,020,894	1,043,104	950,940
Appropriations:			
Current law:			
2101 Civil Service Retirement and Disability Fund	-99	-113	
2101 Civil Service Retirement and Disability Fund	-105,468	-111,209	-115,035
2103 Civil Service Retirement and Disability Fund	-4	-3	
2132 Civil Service Retirement and Disability Fund	3	3	
2135 Civil Service Retirement and Disability Fund	16,459	19,158	19,617
2199 Total current law appropriations	-89,109	-92,164	-95,418
Proposed:			
2201 Civil Service Retirement and Disability Fund			115,035
2234 Civil Service Retirement and Disability Fund			-19,617
2299 Total proposed appropriations			95,418
2999 Total appropriations	-89,109	-92,164	
5099 Balance, end of year	931,785	950,940	950,940

Program and Financing (in millions of dollars)

Identification code 024-8135-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Annuities	88,587	91,685	95,066
0002 Refunds and death claims	373	366	352
0003 Administration - operations	142	106	
0004 Transfer to MSPB	2	2	
0005 Administration - OIG	5	5	

0900 Total new obligations, unexpired accounts	89,109	92,164	95,418
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	99	113	
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	105,468	111,209	115,035
1203 Appropriation (previously unavailable)(special or trust)	4	3	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-3	-3	
1235 Appropriations precluded from obligation (special or trust)	-16,459	-19,158	-19,617
1260 Appropriations, mandatory (total)	89,010	92,051	95,418
1900 Budget authority (total)	89,109	92,164	95,418
1930 Total budgetary resources available	89,109	92,164	95,418

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7,685	7,940	8,178
3010 New obligations, unexpired accounts	89,109	92,164	95,418
3020 Outlays (gross)	-88,854	-91,926	-95,129
3050 Unpaid obligations, end of year	7,940	8,178	8,467
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7,685	7,940	8,178
3200 Obligated balance, end of year	7,940	8,178	8,467

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	99	113	
Outlays, gross:			
4010 Outlays from new discretionary authority	74	113	
4011 Outlays from discretionary balances	23		
4020 Outlays, gross (total)	97	113	
Mandatory:			
4090 Budget authority, gross	89,010	92,051	95,418
Outlays, gross:			
4100 Outlays from new mandatory authority	81,095	83,875	86,249
4101 Outlays from mandatory balances	7,662	7,938	8,880
4110 Outlays, gross (total)	88,757	91,813	95,129
4180 Budget authority, net (total)	89,109	92,164	95,418
4190 Outlays, net (total)	88,854	91,926	95,129

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	922,994	939,703	958,611
5001 Total investments, EOY: Federal securities: Par value	939,703	958,611	978,227

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	89,109	92,164	95,418
Outlays	88,854	91,926	95,129
Legislative proposal, not subject to PAYGO:			
Budget Authority			-95,418
Outlays			-95,129
Total:			
Budget Authority	89,109	92,164	
Outlays	88,854	91,926	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

Status of Funds (in millions of dollars)

Identification code 024-8135-0-7-602	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	923,010	939,724	959,117
0298 Adjustment to reconcile to proprietary accounting	-1		
0999 Total balance, start of year	923,009	939,724	959,117
Cash income during the year:			
Current law:			
Receipts:			
1110 Employee Contributions, Civil Service Retirement and Disability Fund	4,071	4,564	4,937
1110 District of Columbia Contributions, Civil Service Retirement and Disability Fund	29	31	31

1110	Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund	633	581	544
1150	FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund	296	237	192
1150	Treasury Interest, Civil Service Retirement and Disability Fund	25,261	25,193	24,494
1160	Agency Contributions, Civil Service Retirement and Disability Fund		381	618
1160	Agency Contributions, Civil Service Retirement and Disability Fund	28,083	32,399	35,757
1160	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund		47	74
1160	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund	3,512	3,702	4,100
1160	Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			
1160	Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			
1160	General Fund Payment to the Civil Service Retirement and Disability Fund	43,644	44,144	44,344
1160	Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund	39	40	42
1199	Income under present law	105,568	111,319	115,133
1210	Proposed: Employee Contributions, Civil Service Retirement and Disability Fund			-4,937
1210	District of Columbia Contributions, Civil Service Retirement and Disability Fund			-31
1210	Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund			-544
1250	FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund			-192
1250	Treasury Interest, Civil Service Retirement and Disability Fund			-24,494
1260	Offsetting governmental receipts:			
1260	Agency Contributions, Civil Service Retirement and Disability Fund			-618
1260	Agency Contributions, Civil Service Retirement and Disability Fund			-35,757
1260	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			-74
1260	Postal Service Agency Contributions, Civil Service Retirement and Disability Fund			-4,100
1260	Postal Service Supplemental Contributions, Civil Service Retirement and Disability Fund			
1260	Postal Service Amortization Payments, Civil Service Retirement and Disability Fund			
1260	General Fund Payment to the Civil Service Retirement and Disability Fund			-44,344
1260	Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund			-42
1299	Income proposed			-115,133
1999	Total cash income	105,568	111,319	
2100	Cash outgo during year: Current law: Civil Service Retirement and Disability Fund [Budget Acct]	-88,854	-91,926	-95,129
2199	Outgo under current law	-88,854	-91,926	-95,129
2200	Proposed: Civil Service Retirement and Disability Fund			95,129
2299	Outgo under proposed legislation			95,129
2999	Total cash outgo (-)	-88,854	-91,926	
3110	Surplus or deficit: Excluding interest	-8,843	-6,037	
3120	Interest	25,557	25,430	
3199	Subtotal, surplus or deficit	16,714	19,393	
3230	Civil Service Retirement and Disability Fund			-8,178
3298	Adjustment to reconcile to proprietary accounting	1		
3299	Total adjustments	1		-8,178
3999	Total change in fund balance	16,715	19,393	-8,178
4100	Unexpended balance, end of year:			
4100	Uninvested balance (net), end of year	21	506	950,939
4200	Civil Service Retirement and Disability Fund	939,703	958,611	978,227
4200	Civil Service Retirement and Disability Fund			-978,227
4999	Total balance, end of year	939,724	959,117	950,939

Object Classification (in millions of dollars)				
Identification code 024-8135-0-7-602	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	149	113	
42.0	Insurance claims and indemnities	88,587	91,685	95,066
44.0	Refunds and death claims	373	366	352
99.9	Total new obligations, unexpired accounts	89,109	92,164	95,418

CIVIL SERVICE RETIREMENT AND DISABILITY FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)			
Identification code 024-8135-2-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Annuities		-95,066
0002	Refunds and death claims		-352
0900	Total new obligations, unexpired accounts		-95,418
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		-115,035
1234	Appropriations precluded from obligation		19,617
1260	Appropriations, mandatory (total)		-95,418
1900	Budget authority (total)		-95,418
1930	Total budgetary resources available		-95,418
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		-95,418
3020	Outlays (gross)		95,129
3030	Unpaid obligations transferred to other accts [047-8583]		-8,178
3050	Unpaid obligations, end of year		-8,467
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		-8,467
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		-95,418
Outlays, gross:			
4100	Outlays from new mandatory authority		-86,249
4101	Outlays from mandatory balances		-8,880
4110	Outlays, gross (total)		-95,129
4180	Budget authority, net (total)		-95,418
4190	Outlays, net (total)		-95,129
Memorandum (non-add) entries:			
5001	Total investments, EOY: Federal securities: Par value		-978,227

Object Classification (in millions of dollars)			
Identification code 024-8135-2-7-602	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0	Insurance claims and indemnities		-95,066
44.0	Refunds and death claims		-352
99.9	Total new obligations, unexpired accounts		-95,418

EMPLOYEES LIFE INSURANCE FUND

Program and Financing (in millions of dollars)				
Identification code 024-8424-0-8-602	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Insurance Payments	3,269	3,446	3,537
0804	Administration—OPM & OIG	4	4	4
0805	Administration—long term care	2	2	2
0900	Total new obligations, unexpired accounts (object class 25.2)	3,275	3,452	3,543

EMPLOYEES LIFE INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 024-8424-0-8-602	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45,538	46,851	48,491
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	4	4
Spending authority from offsetting collections, mandatory:			
1800 Collected	4,538	4,833	4,850
1801 Change in uncollected payments, Federal sources	46	255	13
1850 Spending auth from offsetting collections, mand (total)	4,584	5,088	4,863
1900 Budget authority (total)	4,588	5,092	4,867
1930 Total budgetary resources available	50,126	51,943	53,358
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	46,851	48,491	49,815
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,033	1,050	1,148
3010 New obligations, unexpired accounts	3,275	3,452	3,543
3020 Outlays (gross)	-3,258	-3,354	-3,448
3050 Unpaid obligations, end of year	1,050	1,148	1,243
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-107	-153	-408
3070 Change in uncollected pymts, Fed sources, unexpired	-46	-255	-13
3090 Uncollected pymts, Fed sources, end of year	-153	-408	-421
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	926	897	740
3200 Obligated balance, end of year	897	740	822
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	4	4
Mandatory:			
4090 Budget authority, gross	4,584	5,088	4,863
Outlays, gross:			
4100 Outlays from new mandatory authority	2,231	2,532	2,602
4101 Outlays from mandatory balances	1,023	818	842
4110 Outlays, gross (total)	3,254	3,350	3,444
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-593	-585	-592
4120 Federal sources with Pay Raise Impact		-10	-15
4121 Interest on Federal securities	-933	-1,087	-1,012
4123 Non-Federal sources	-3,016	-3,120	-3,187
4123 Non-Federal sources with Pay Raise Impact		-35	-48
4130 Offsets against gross budget authority and outlays (total)	-4,542	-4,837	-4,854
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-46	-255	-13
4160 Budget authority, net (mandatory)	-4	-4	-4
4170 Outlays, net (mandatory)	-1,288	-1,487	-1,410
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-1,284	-1,483	-1,406
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	46,616	48,199	49,682
5001 Total investments, EOY: Federal securities: Par value	48,199	49,682	51,088
Summary of Budget Authority and Outlays (in millions of dollars)			
	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Outlays	-1,284	-1,483	-1,406
Legislative proposal, not subject to PAYGO:			
Outlays			1,406
Total:			
Outlays	-1,284	-1,483	

Management section of the GSA chapter of the *Appendix* for more information on this account.

EMPLOYEES LIFE INSURANCE FUND (Legislative proposal, not subject to PAYGO)			
Program and Financing (in millions of dollars)			
Identification code 024-8424-2-8-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Insurance Payments			-3,537
0804 Administration—OPM & OIG			-4
0805 Administration—long term care			-2
0900 Total new obligations, unexpired accounts (object class 25.2)			-3,543
Budgetary resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047-8432]			-48,491
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			-4
Spending authority from offsetting collections, mandatory:			
1800 Collected			-4,850
1801 Change in uncollected payments, Federal sources			-13
1850 Spending auth from offsetting collections, mand (total)			-4,863
1900 Budget authority (total)			-4,867
1930 Total budgetary resources available			-53,358
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-49,815
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-3,543
3020 Outlays (gross)			3,448
3030 Unpaid obligations transferred to other accts [047-8432]			-1,148
3050 Unpaid obligations, end of year			-1,243
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			13
3080 Uncollected pymts from Fed sources transferred to other accounts			408
3090 Uncollected pymts, Fed sources, end of year			421
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-822
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-4
Outlays, gross:			
4010 Outlays from new discretionary authority			-4
Mandatory:			
4090 Budget authority, gross			-4,863
Outlays, gross:			
4100 Outlays from new mandatory authority			-2,602
4101 Outlays from mandatory balances			-842
4110 Outlays, gross (total)			-3,444
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			592
4120 Federal sources with Pay Raise Impact			15
4121 Interest on Federal securities			1,012
4123 Non-Federal sources			3,187
4123 Non-Federal sources with Pay Raise Impact			48
4130 Offsets against gross budget authority and outlays (total)			4,854
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired			13
4160 Budget authority, net (mandatory)			4
4170 Outlays, net (mandatory)			1,410
4180 Budget authority, net (total)			
4190 Outlays, net (total)			1,406
Memorandum (non-add) entries:			
5001 Total investments, EOY: Federal securities: Par value			-51,088

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

Program and Financing (in millions of dollars)

Identification code 024-9981-0-8-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Benefit payments	55,163	57,091	58,917
0802	Payments from OPM contingency reserve	198	300	300
0803	Government payment for annuitants (1960 Act)	1	1	1
0804	Administration (OPM and OIG)	57	65	64
0806	Administration - dental and vision program	6	7	7
0900	Total new obligations, unexpired accounts (object class 25.6)	55,424	57,464	59,289
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	24,606	24,895	26,031
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	58	65	64
Spending authority from offsetting collections, mandatory:				
1800	Collected	55,517	58,400	60,519
1801	Change in uncollected payments, Federal sources	138	135	93
1850	Spending auth from offsetting collections, mand (total)	55,655	58,535	60,612
1900	Budget authority (total)	55,713	58,600	60,676
1930	Total budgetary resources available	80,319	83,495	86,707
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	24,895	26,031	27,418
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4,965	5,063	5,050
3010	New obligations, unexpired accounts	55,424	57,464	59,289
3020	Outlays (gross)	-55,326	-57,477	-59,323
3050	Unpaid obligations, end of year	5,063	5,050	5,016
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2,294	-2,432	-2,567
3070	Change in uncollected pymts, Fed sources, unexpired	-138	-135	-93
3090	Uncollected pymts, Fed sources, end of year	-2,432	-2,567	-2,660
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2,671	2,631	2,483
3200	Obligated balance, end of year	2,631	2,483	2,356
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	58	65	64
Outlays, gross:				
4010	Outlays from new discretionary authority	40	65	64
4011	Outlays from discretionary balances	15		
4020	Outlays, gross (total)	55	65	64
Mandatory:				
4090	Budget authority, gross	55,655	58,535	60,612
Outlays, gross:				
4100	Outlays from new mandatory authority	50,362	52,031	53,899
4101	Outlays from mandatory balances	4,909	5,381	5,360
4110	Outlays, gross (total)	55,271	57,412	59,259
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal Sources (OIG)	-38,375	-40,045	-41,497
4121	Interest on Federal securities	-573	-587	-529
4123	Non-Federal sources	-16,627	-17,833	-18,557
4130	Offsets against gross budget authority and outlays (total)	-55,575	-58,465	-60,583
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-138	-135	-93
4160	Budget authority, net (mandatory)	-58	-65	-64
4170	Outlays, net (mandatory)	-304	-1,053	-1,324
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-249	-988	-1,260
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	27,370	27,802	30,375
5001	Total investments, EOY: Federal securities: Par value	27,802	30,375	31,904

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Outlays	-249	-988	-1,260

Legislative proposal, not subject to PAYGO:

Outlays			1,260
Total:			
Outlays	-249	-988	

The President's Budget proposes to transfer this account to the General Services Administration (GSA). Please consult the Office of Personnel Management section of the GSA chapter of the *Appendix* for more information on this account.

Status of Funds (in millions of dollars)

Identification code 024-9981-0-8-551	2019 actual	2020 est.	2021 est.	
Unexpended balance, start of year:				
0100	Balance, start of year	27,375	27,525	28,513
0298	Adjustment to reconcile to proprietary accounting	-98		
0999	Total balance, start of year	27,277	27,525	28,513
Cash income during the year:				
Current law:				
Receipts:				
1130	Employees and Retired Employees Health Benefits Funds	16,627	17,833	18,557
1150	Employees and Retired Employees Health Benefits Funds	573	587	529
1160	Employees and Retired Employees Health Benefits Funds	38,375	40,045	41,497
1199	Income under present law	55,575	58,465	60,583
Proposed:				
Offsetting receipts (proprietary):				
1230	Employees and Retired Employees Health Benefits Funds			-18,557
1250	Employees and Retired Employees Health Benefits Funds			-529
Offsetting governmental receipts:				
1260	Employees and Retired Employees Health Benefits Funds			-41,497
1299	Income proposed			-60,583
1999	Total cash income	55,575	58,465	
Cash outgo during year:				
Current law:				
2100	Employees and Retired Employees Health Benefits Funds [Budget Act]	-55,326	-57,477	-59,323
2199	Outgo under current law	-55,326	-57,477	-59,323
Proposed:				
2200	Employees and Retired Employees Health Benefits Funds			59,323
2299	Outgo under proposed legislation			59,323
2999	Total cash outgo (-)	-55,326	-57,477	
Surplus or deficit:				
3110	Excluding interest	-324	401	
3120	Interest	573	587	
3199	Subtotal, surplus or deficit	249	988	
3230	Employees and Retired Employees Health Benefits Funds			-26,031
3230	Employees and Retired Employees Health Benefits Funds			-5,050
3230	Employees and Retired Employees Health Benefits Funds			2,567
3298	Adjustment to reconcile to proprietary accounting	-1		1
3299	Total adjustments	-1		-28,513
3999	Total change in fund balance	248	988	-28,513
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	-277	-1,862	
4200	Employees and Retired Employees Health Benefits Funds	27,802	30,375	31,904
4200	Employees and Retired Employees Health Benefits Funds			-31,904
4999	Total balance, end of year	27,525	28,513	

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 024-9981-2-8-551	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Benefit payments			-58,917
0802	Payments from OPM contingency reserve			-300
0803	Government payment for annuitants (1960 Act)			-1
0804	Administration (OPM and OIG)			-64
0806	Administration - dental and vision program			-7

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS—Continued
Program and Financing—Continued

Identification code 024-9981-2-8-551	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 25.6)			-59,289
Budgetary resources:			
Unobligated balance:			
1010 Unobligated balance transfer to other accts [047-8433]			-26,031
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			-64
Spending authority from offsetting collections, mandatory:			
1800 Collected			-60,519
1801 Change in uncollected payments, Federal sources			-93
1850 Spending auth from offsetting collections, mand (total)			-60,612
1900 Budget authority (total)			-60,676
1930 Total budgetary resources available			-86,707
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-27,418
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-59,289
3020 Outlays (gross)			59,323
3030 Unpaid obligations transferred to other accts [047-8433]			-5,050
3050 Unpaid obligations, end of year			-5,016
Uncollected payments:			
3070 Change in uncollected pymts, Fed sources, unexpired			93
3080 Uncollected pymts from Fed sources transferred to other accounts			2,567
3090 Uncollected pymts, Fed sources, end of year			2,660
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-2,356
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-64

Outlays, gross:			
4010 Outlays from new discretionary authority			-64
Mandatory:			
4090 Budget authority, gross			-60,612
Outlays, gross:			
4100 Outlays from new mandatory authority			-53,899
4101 Outlays from mandatory balances			-5,360
4110 Outlays, gross (total)			-59,259
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal Sources [OIG]			41,497
4121 Interest on Federal securities			529
4123 Non-Federal sources			18,557
4130 Offsets against gross budget authority and outlays (total)			60,583
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired			93
4160 Budget authority, net (mandatory)			64
4170 Outlays, net (mandatory)			1,324
4180 Budget authority, net (total)			
4190 Outlays, net (total)			1,260
Memorandum (non-add) entries:			
5001 Total investments, EOY: Federal securities: Par value			-31,904

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
024-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	5	2	2
024-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Legislative proposal, not subject to PAYGO			-2
General Fund Offsetting receipts from the public	5	2	

SMALL BUSINESS ADMINISTRATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the Small Business Administration, including hire of passenger motor vehicles as authorized by sections 1343 and 1344 of title 31, United States Code, and not to exceed \$3,500 for official reception and representation expenses, **[\$270,157,000] \$287,947,000**, of which not less than \$12,000,000 shall be available for examinations, reviews, and other lender oversight activities: *Provided*, That the Administrator is authorized to charge fees to cover the cost of publications developed by the Small Business Administration, and certain loan program activities, including fees authorized by section 5(b) of the Small Business Act: *Provided further*, That, notwithstanding 31 U.S.C. 3302, revenues received from all such activities shall be credited to this account, to remain available until expended, for carrying out these purposes without further appropriations: *Provided further*, That the Small Business Administration may accept gifts in an amount not to exceed \$4,000,000 and may co-sponsor activities, each in accordance with section 132(a) of division K of Public Law 108-447, during fiscal year **[2020]** *2021: Provided further*, That \$6,100,000 shall be available for the Loan Modernization and Accounting System, to be available until September 30, **[2021: Provided further**, That \$3,000,000 shall be for the Federal and State Technology Partnership Program under section 34 of the Small Business Act (15 U.S.C. 657d) *2022. (Financial Services and General Government Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 073-0100-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Executive direction	70	71	76
0002 Capital Access	81	80	82
0003 Gov. Contracting/Bus. Development	29	31	35
0004 Entrepreneurial Development	9	9	10
0005 Chief Operating Office	27	31	33
0006 Office of Chief Information Officer	50	35	39
0007 Regional & district offices	98	103	106
0008 Agency wide costs	56	65	73
0009 Non credit programs	3	5	4
0012 Disaster	342	177	168
0013 Investment & Innovation	20	19	20
0014 International Trade	7	7	8
0900 Total new obligations, unexpired accounts	792	633	654
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	458	130	123
1021 Recoveries of prior year unpaid obligations	13
1033 Recoveries of prior year paid obligations	2
1050 Unobligated balance (total)	473	130	123
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	268	270	288
1120 Appropriations transferred to other acct [073-1161]	-6
1160 Appropriation, discretionary (total)	262	270	288
Spending authority from offsetting collections, discretionary:			
1700 Collected - Disaster Transfer	9	177	177
1700 Collected	179	179	175
1750 Spending auth from offsetting collections, disc (total)	188	356	352
1900 Budget authority (total)	450	626	640
1930 Total budgetary resources available	923	756	763
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
1941 Unexpired unobligated balance, end of year	130	123	109
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	192	199	222
3010 New obligations, unexpired accounts	792	633	654
3011 Obligations ("upward adjustments"), expired accounts	2
3020 Outlays (gross)	-763	-610	-624
3040 Recoveries of prior year unpaid obligations, unexpired	-13
3041 Recoveries of prior year unpaid obligations, expired	-11
3050 Unpaid obligations, end of year	199	222	252
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	192	199	222

3200	Obligated balance, end of year	199	222	252
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	450	626	640
Outlays, gross:				
4010	Outlays from new discretionary authority	342	429	437
4011	Outlays from discretionary balances	421	181	187
4020	Outlays, gross (total)	763	610	624
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-167	-337	-333
4033	Non-Federal sources	-23	-19	-19
4040	Offsets against gross budget authority and outlays (total)	-190	-356	-352
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts	2
4060	Additional offsets against budget authority only (total)	2
4070	Budget authority, net (discretionary)	262	270	288
4080	Outlays, net (discretionary)	573	254	272
4180	Budget authority, net (total)	262	270	288
4190	Outlays, net (total)	573	254	272

This account funds the administrative expenses of SBA headquarters and field office operations. Appropriations for the administration of the disaster and business loan programs are transferred to and merged with this account. The 2021 Budget provides \$6 million in funding for the continued modernization of the loan management accounting systems, which will improve oversight of SBA's more than \$143 billion portfolio of loans and loan guarantees. Funding is also requested for core agency activities, including information technology investments and human capital development and enterprise-wide technology modernization initiatives including hardware, software and application standardization, mobile shared services implementation, security vulnerability reduction, and infrastructure upgrades. The Budget includes funding to support hiring for the Women-Owned Small Business Certification program and Transition Assistance Program implementation.

Object Classification (in millions of dollars)

Identification code 073-0100-0-1-376	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	231	185	191
11.3	Other than full-time permanent	89	71	73
11.5	Other personnel compensation	17	14	14
11.8	Special personal services payments	34	27	28
11.9	Total personnel compensation	371	297	306
12.1	Civilian personnel benefits	127	102	105
21.0	Travel and transportation of persons	31	25	26
23.1	Rental payments to GSA	48	38	40
23.3	Communications, utilities, and miscellaneous charges	17	14	14
25.1	Advisory and assistance services	4	3	3
25.2	Other services from non-Federal sources	113	90	94
25.3	Other purchases of goods and services from Government accounts (Disaster Administrative Expenses)	38	30	31
25.4	Operation and maintenance of facilities	4	3	3
25.5	Research and development contracts	9	7	7
25.7	Operation and maintenance of equipment	19	15	16
26.0	Supplies and materials	7	6	6
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	3	2	2
99.9	Total new obligations, unexpired accounts	792	633	654

Employment Summary

Identification code 073-0100-0-1-376	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	4,008	3,079	3,085

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$21,900,000]** \$22,011,000. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 073-0200-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Audit	8	10	10
0002 Investigations	12	12	12
0003 Management and Operations	2	2	2
0004 Immediate office and Counsel	1	1	1
0900 Total new obligations, unexpired accounts	23	25	25
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	8	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	22	22	22
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	2	2
1900 Budget authority (total)	23	24	24
1930 Total budgetary resources available	32	32	31
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	8	7	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts	23	25	25
3020 Outlays (gross)	-23	-25	-26
3050 Unpaid obligations, end of year	3	3	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	24	24
Outlays, gross:			
4010 Outlays from new discretionary authority	18	22	22
4011 Outlays from discretionary balances	5	3	4
4020 Outlays, gross (total)	23	25	26
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-2	-2
4180 Budget authority, net (total)	22	22	22
4190 Outlays, net (total)	22	23	24

The 2021 Budget proposes \$22.0 million in new budget authority and \$1.6 million transferred from the Disaster Loans Program account for a total of \$23.6 million for the Office of Inspector General. This appropriation provides funds to promote economy and efficiency in SBA operations and to prevent and detect waste, fraud, and abuse through agency-wide audit, investigative, and related functions.

Object Classification (in millions of dollars)

Identification code 073-0200-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	13	14	15
12.1 Civilian personnel benefits	5	5	5
25.2 Other services	4	4	3
99.0 Direct obligations	22	23	23
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations, unexpired accounts	23	25	25

Employment Summary

Identification code 073-0200-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	110	118	118

OFFICE OF ADVOCACY

For necessary expenses of the Office of Advocacy in carrying out the provisions of title II of Public Law 94-305 (15 U.S.C. 634a et seq.) and the Regulatory Flexibility Act of 1980 (5 U.S.C. 601 et seq.), **[\$9,120,000]** \$9,190,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 073-0300-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Advocacy (Direct)	11	9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	9	9
1930 Total budgetary resources available	11	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	3
3010 New obligations, unexpired accounts	11	9	9
3020 Outlays (gross)	-10	-8	-8
3050 Unpaid obligations, end of year	2	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	3
3200 Obligated balance, end of year	2	3	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	8	8	8
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	10	8	8
4180 Budget authority, net (total)	9	9	9
4190 Outlays, net (total)	10	8	8

The 2021 Budget proposes \$9.2 million in new budget authority for the Office of Advocacy to carry out its statutory duties, including those under the Regulatory Flexibility Act. The Office of Advocacy's advice and small business research help the Federal Government take into account the concerns of small businesses when it develops policies and regulations. The Office's regional advocates support regulatory flexibility at the State level, work with the regional Regulatory Fairness Boards established by the Small Business Regulatory Enforcement Fairness Act, and promote the use of Advocacy research and data products in the curricula of universities and other schools in their respective regions.

Object Classification (in millions of dollars)

Identification code 073-0300-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	6	6
12.1 Civilian personnel benefits	3	2	2
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	11	9	9

Employment Summary

Identification code 073-0300-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	53	52	55

ENTREPRENEURIAL DEVELOPMENT PROGRAMS

For necessary expenses of programs supporting entrepreneurial and small business development, **[\$261,000,000]** \$167,600,000, to remain available until September 30, **[2021]** 2022: *Provided*, That **[\$135,000,000]** \$87,860,000 shall be available to fund grants for performance in fiscal year **[2020]** 2021 or fiscal year **[2021]** 2022 as authorized by section 21 of the Small Business Act: *Provided further*, That not more than 10 percent of the amounts made available in the preceding proviso shall be available for the Administration to award grants (including contracts and cooperative agreements) to entities described in 15 U.S.C 648 (a)(1) if such entities submit proposals that meet criteria established by the Administration: *Provided further*, That the grants (including contracts and cooperative agreements) described in the preceding proviso shall be exempt from the requirements of 15 U.S.C 648 (a)(4): *Provided further*, That **[\$34,500,000]** \$25,000,000 shall be for marketing, management, and technical assistance under section 7(m) of the Small Business Act (15 U.S.C. 636(m)(4)) by intermediaries that make microloans under the microloan program: *Provided further*, That **[\$19,000,000]** \$8,000,000 shall be available for grants to States to carry out export programs that assist small business concerns authorized under section 22(l) of the Small Business Act (15 U.S.C. 649(l)). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 073-0400-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Non-Credit Programs	252	261	168
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	31	27	27
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	32	27	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	248	261	168
1900 Budget authority (total)	248	261	168
1930 Total budgetary resources available	280	288	195
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	27	27	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	224	237	314
3010 New obligations, unexpired accounts	252	261	168
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-232	-184	-207
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-8		
3050 Unpaid obligations, end of year	237	314	275
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	224	237	314
3200 Obligated balance, end of year	237	314	275
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	248	261	168
Outlays, gross:			
4010 Outlays from new discretionary authority	51	91	59
4011 Outlays from discretionary balances	181	93	148
4020 Outlays, gross (total)	232	184	207
4180 Budget authority, net (total)	248	261	168
4190 Outlays, net (total)	232	184	207

This account supports SBA's core counseling, training and technical assistance programs, including Small Business Development Centers (SBDC), SCORE, Women's Business Centers, Veterans' Business Outreach Centers (VBOC), and Microloan technical assistance, as well as various entrepren-

neurial development programs and initiatives. These include Entrepreneurial Education, a program designed to train and develop small business owners who are poised for growth; the State Trade Expansion Program (STEP), which helps small businesses tap global markets and expand exports; and Veterans Outreach programs like the Boots to Business program, which provides entrepreneurship training to America's veterans transitioning to civilian life. The Budget also supports other initiatives, such as the HUBZone Program and other outreach and contracting activities. In 2021, the Budget proposes the creation of a competitive set-aside within the SBDC program that would reward those centers that most efficiently utilize their resources and provide innovative methods to help entrepreneurs.

Object Classification (in millions of dollars)

Identification code 073-0400-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	20	21	13
41.0 Grants, subsidies, and contributions	229	237	152
99.9 Total new obligations, unexpired accounts	252	261	168

Employment Summary

Identification code 073-0400-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	20	25	25

INFORMATION TECHNOLOGY SYSTEM MODERNIZATION AND WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 073-1161-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 IT Working Capital Fund		4	2
0900 Total new obligations, unexpired accounts (object class 25.2)		4	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		6	2
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [073-0100] ...	6		
1930 Total budgetary resources available	6	6	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	2	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			4
3010 New obligations, unexpired accounts		4	2
3050 Unpaid obligations, end of year		4	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			4
3200 Obligated balance, end of year		4	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6		
4180 Budget authority, net (total)	6		
4190 Outlays, net (total)			

SURETY BOND GUARANTEES REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 073-4156-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Reimbursable obligations	19	18	18

SURETY BOND GUARANTEES REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 073-4156-0-3-376	2019 actual	2020 est.	2021 est.
0900 Total new obligations, unexpired accounts (object class 42.0)	19	18	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	97	100	100
1033 Recoveries of prior year paid obligations	4		
1050 Unobligated balance (total)	101	100	100
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	18	18	18
1930 Total budgetary resources available	119	118	118
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	100	100
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	19	18	18
3020 Outlays (gross)	-19	-18	-18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	18	18	18
Outlays, gross:			
4010 Outlays from new discretionary authority		18	18
4011 Outlays from discretionary balances	19		
4020 Outlays, gross (total)	19	18	18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-22	-18	-18
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	4		
4080 Outlays, net (discretionary)	-3		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-3		

SBA is authorized to issue bond guarantees to surety companies for construction, service, and supply contracts or work orders, and to reimburse these sureties up to 90 percent of the losses sustained if the contractor defaults. SBA's guarantees provide an incentive for sureties to issue bonds to small contractors who could not otherwise secure them and compete in the contracting industry. It is estimated that there are sufficient funds in reserve to cover the cost of claim defaults in 2021. Therefore, no new appropriated funds are requested in the Budget.

BUSINESS LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For the cost of direct loans, **[\$5,000,000]** \$4,000,000, to remain available until expended, and for the cost of guaranteed loans as authorized by section 7(a) of the Small Business Act (Public Law 83-163), \$99,000,000, to remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That subject to section 502 of the Congressional Budget Act of 1974, during fiscal year **[2020]** 2021 commitments to guarantee loans under section 503 of the Small Business Investment Act of 1958 shall not exceed \$7,500,000,000: *Provided further*, That during fiscal year **[2020]** 2021 commitments for general business loans authorized under section 7(a) of the Small Business Act shall not exceed \$30,000,000,000 for a combination of amortizing term loans and the aggregated maximum line of credit provided by revolving loans: *Provided further*, That during fiscal year **[2020]** 2021 commitments for loans authorized under subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)) shall not exceed **[\$7,500,000,000]** \$1,000,000,000: *Provided further*, That during fiscal year **[2020]** 2021 commitments to guarantee loans for debentures under section 303(b) of the Small Business Investment Act of 1958 shall not exceed \$4,000,000,000: *Provided further*, That during fiscal year **[2020]** 2021, guarantees of trust certificates authorized by section 5(g) of the Small Business Act shall not exceed a principal amount of **[\$12,000,000,000]** \$13,000,000,000. In addition, for administrative expenses to carry out the direct and guaranteed loan programs,

[\$155,150,000] \$160,300,000, which may be transferred to and merged with the appropriations for Salaries and Expenses. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 073-1154-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	4	5	4
0702 Loan guarantee subsidy		99	
0705 Reestimates of direct loan subsidy	1	1	
0706 Interest on reestimates of direct loan subsidy	1		
0707 Reestimates of loan guarantee subsidy	60	38	
0708 Interest on reestimates of loan guarantee subsidy	23	14	
0709 Administrative expenses	155	155	160
0900 Total new obligations, unexpired accounts	244	312	164
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	78	34	22
1001 Discretionary unobligated balance brought fwd, Oct 1		34	
1021 Recoveries of prior year unpaid obligations	6	3	3
1050 Unobligated balance (total)	84	37	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	159	259	164
1131 Unobligated balance of appropriations permanently reduced	-50	-16	
1160 Appropriation, discretionary (total)	109	243	164
Appropriations, mandatory:			
1200 Appropriation	85	54	
1900 Budget authority (total)	194	297	164
1930 Total budgetary resources available	278	334	189
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	34	22	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	2	16
3010 New obligations, unexpired accounts	244	312	164
3020 Outlays (gross)	-244	-295	-164
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-3	-3
3050 Unpaid obligations, end of year	2	16	13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	2	16
3200 Obligated balance, end of year	2	16	13
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	109	243	164
Outlays, gross:			
4010 Outlays from new discretionary authority	156	238	161
4011 Outlays from discretionary balances	3	3	3
4020 Outlays, gross (total)	159	241	164
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	109	243	164
4080 Outlays, net (discretionary)	158	241	164
Mandatory:			
4090 Budget authority, gross	85	54	
Outlays, gross:			
4100 Outlays from new mandatory authority	85	54	
4180 Budget authority, net (total)	194	297	164
4190 Outlays, net (total)	243	295	164

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	194	297	164
Outlays	243	295	164
Legislative proposal, not subject to PAYGO:			
Budget Authority			-80
Outlays			-80

Total:			
Budget Authority	194	297	84
Outlays	243	295	84

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 073-1154-0-1-376	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 7(m) Direct Microloans	42	50	41
115999 Total direct loan levels	42	50	41
Direct loan subsidy (in percent):			
132001 7(m) Direct Microloans	8.77	9.29	8.99
132999 Weighted average subsidy rate	8.77	9.29	8.99
Direct loan subsidy budget authority:			
133001 7(m) Direct Microloans	4	5	4
133999 Total subsidy budget authority	4	5	4
Direct loan subsidy outlays:			
134001 7(m) Direct Microloans	3	5	4
134999 Total subsidy outlays	3	5	4
Direct loan reestimates:			
135010 7(m) Direct Microloans — ARRA	-1		
135999 Total direct loan reestimates	-1		
Guaranteed loan levels supportable by subsidy budget authority:			
215002 7(a) General Business Loan Guarantees	21,503	30,000	30,000
215004 Section 504 Certified Development Companies Debentures	4,804	7,500	7,500
215006 SBIC Debentures	1,614	4,000	4,000
215010 Secondary Market Guarantee	8,498	12,000	13,000
215027 504 Commercial Real Estate (CRE) Refinance Program	150	7,500	1,000
215999 Total loan guarantee levels	36,569	61,000	55,500
Guaranteed loan subsidy (in percent):			
232002 7(a) General Business Loan Guarantees	0.00	0.33	0.00
232004 Section 504 Certified Development Companies Debentures	0.00	0.00	0.00
232006 SBIC Debentures	0.00	0.00	0.00
232010 Secondary Market Guarantee	0.00	0.00	0.00
232027 504 Commercial Real Estate (CRE) Refinance Program	0.00	0.00	0.00
232999 Weighted average subsidy rate	0.00	0.16	0.00
Guaranteed loan subsidy budget authority:			
233002 7(a) General Business Loan Guarantees		99	
233999 Total subsidy budget authority		99	
Guaranteed loan subsidy outlays:			
234004 Section 504 Certified Development Companies Debentures	1	3	3
234999 Total subsidy outlays	1	3	3
Guaranteed loan reestimates:			
235002 7(a) General Business Loan Guarantees	-143	-355	
235003 7(a) General Business Loan Guarantees—STAR	-1	-1	
235004 Section 504 Certified Development Companies Debentures	-344	-306	
235006 SBIC Debentures	-109	-210	
235007 SBIC Participating Securities	-97	-57	
235008 SBIC New Market Venture Capital	-10	-2	
235010 Secondary Market Guarantee	-41	-81	
235015 Secondary Market 504 First Mortgage Guarantees—ARRA	-5	-3	
235016 ARC Loan Guarantees—ARRA	-1		
235017 7(a) General Business Loan Guarantees—ARRA	3	2	
235018 Section 504 Certified Development Companies—ARRA	-2	-7	
235026 Section 504 Certified Development Companies Debentures—ARRA Ext	-7	-12	
235027 504 Commercial Real Estate (CRE) Refinance Program	-17	-17	
235028 7(a) Business Loan Guarantees—ARRA Extension	7	4	
235999 Total guaranteed loan reestimates	-767	-1,045	

As required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the business loan program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

For 2021, the Budget proposes \$164.3 million in new budget authority for the Business Loans Program account. This includes \$160.3 million in administrative expenses funding and \$4.0 million in credit subsidy for the direct Microloan Program to support a program level of \$41 million. The 2021 Budget requests no subsidy appropriation for SBA's business loan guarantee programs, the 7(a), 504 Certified Development Company (CDC),

504 Debt Refinancing, and Small Business Investment Company (SBIC) programs. The Budget supports a program level of \$30 billion in Section 7(a) loan guarantees that provide general business credit assistance, while waiving upfront fees on SBA Express loans to Veterans. The Budget also proposes a program level of \$7.5 billion for the guaranteed loan program authorized by Section 503 of the Small Business Investment Act of 1958 for long-term, fixed-rate financing and a program level of \$1.0 billion for purposes of refinancing existing commercial mortgage and equipment debt authorized by Section 502(7)(C) of the Small Business Investment Act of 1958. The 2021 Budget continues to support innovative financial instruments through the SBA's SBIC program by providing up to \$4 billion in long-term guaranteed loans to support venture capital investments in small businesses. In addition, the Budget supports a \$13 billion program level for the Secondary Market Guarantee (SMG) program, which allows SBA's fiscal agent to pool the guaranteed portion of 7(a) loans and sell the securities to investors, in turn providing liquidity to participating 7(a) program lenders.

The Budget proposes to adjust the 7(a) program statutory fee cap in order to maintain zero subsidy. In the absence of this flexibility to adjust fees, the 7(a) program will not be able to operate at zero subsidy under current law in 2021.

Object Classification (in millions of dollars)

Identification code 073-1154-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	155	155	160
41.0 Grants, subsidies, and contributions	89	157	4
99.9 Total new obligations, unexpired accounts	244	312	164

BUSINESS LOANS PROGRAM ACCOUNT

(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of legislation authorizing an administrative fee for Small Business Administration loan guarantee programs, such fees shall be deposited as offsetting collections and credited to the appropriation for administrative expenses for fiscal year 2021 under this heading: Provided, That the total amount appropriated under this heading from the general fund for administrative expenses for fiscal year 2021 shall be reduced as such offsetting collections are received so as to result in a final total fiscal year 2021 appropriation from the general fund for administrative expenses estimated at not more than \$80,150,000.

Program and Financing (in millions of dollars)

Identification code 073-1154-2-1-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			-80
Spending authority from offsetting collections, discretionary:			
1700 Collected			80
Budget authority and outlays, net:			
Discretionary:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources:			-80
4040 Offsets against gross budget authority and outlays (total)			-80
4180 Budget authority, net (total)			-80
4190 Outlays, net (total)			-80

The Budget proposes a fiscally responsible legislative change to authorize SBA to establish an upfront administrative fee in the business loan programs. The administrative fee structure would offset a portion of the appropriated funding to operate the business loan programs. In 2021, this fee is anticipated to provide \$80 million in offsetting collections.

BUSINESS DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4148-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	42	50	41
0713 Payment of interest to Treasury	5	5	5
0742 Downward reestimates paid to receipt accounts	3	1
0743 Interest on downward reestimates	1
0900 Total new obligations, unexpired accounts	51	56	46
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	6	7
1021 Recoveries of prior year unpaid obligations	3	3	3
1023 Unobligated balances applied to repay debt	-4
1050 Unobligated balance (total)	3	9	10
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	41	43	42
Spending authority from offsetting collections, mandatory:			
1800 Collected	42	43	42
1825 Spending authority from offsetting collections applied to repay debt	-29	-32	-32
1850 Spending auth from offsetting collections, mand (total)	13	11	10
1900 Budget authority (total)	54	54	52
1930 Total budgetary resources available	57	63	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	7	16

Identification code 073-4148-0-3-376	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	38	42	52
3010 New obligations, unexpired accounts	51	56	46
3020 Outlays (gross)	-44	-43	-45
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-3	-3
3050 Unpaid obligations, end of year	42	52	50
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	39	49
3200 Obligated balance, end of year	39	49	47

Identification code 073-4148-0-3-376	2019 actual	2020 est.	2021 est.
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	54	54	52
Financing disbursements:			
4110 Outlays, gross (total)	44	43	45
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Subsidy from program account	-3	-5	-4
4120 Upward reestimate	-1	-1
4120 Interest on reestimate	-1
4122 Interest on uninvested funds	-2	-2	-2
4123 Repayments of principal, net	-35	-32	-33
4123 Other income	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-42	-43	-42
4160 Budget authority, net (mandatory)	12	11	10
4170 Outlays, net (mandatory)	2	3
4180 Budget authority, net (total)	12	11	10
4190 Outlays, net (total)	2	3

Status of Direct Loans (in millions of dollars)

Identification code 073-4148-0-3-376	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	42	50	41
1121 Limitation available from carry-forward	18
1143 Unobligated limitation carried forward (P.L. xx) (-)	-18
1150 Total direct loan obligations	42	50	41
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	191	192	202
1231 Disbursements: Direct loan disbursements	36	43	44

1251 Repayments: Repayments and prepayments	-35	-33	-34
1290 Outstanding, end of year	192	202	212

Balance Sheet (in millions of dollars)

Identification code 073-4148-0-3-376	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	38	45
Investments in U.S. securities:		
1106 Receivables, net	1	1
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	191	192
1405 Allowance for subsidy cost (-)	-20	-20
1499 Net present value of assets related to direct loans	171	172
1999 Total assets	210	218
LIABILITIES:		
Federal liabilities:		
2103 Debt	209	217
2104 Resources payable to Treasury (Downward Reestimate)	1	1
2201 Non-Federal liabilities: Accounts payable
2999 Total liabilities	210	218
NET POSITION:		
3300 Cumulative results of operations
4999 Total liabilities and net position	210	218

BUSINESS GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4149-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Other Expenses:			
Credit program obligations:			
0711 Default claim payments on principal	1,506	1,680	1,680
0712 Default claim payments on interest	50	100	100
0713 Payment of interest to Treasury	62	80	80
0742 Downward reestimates paid to receipt accounts	688	936
0743 Interest on downward reestimates	161	162
0791 Direct program activities, subtotal	2,467	2,958	1,860
0900 Total new obligations, unexpired accounts	2,892	3,333	2,000
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,256	3,643	3,086
1023 Unobligated balances applied to repay debt	-200
1050 Unobligated balance (total)	4,056	3,643	3,086
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	255	356	356
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,232	2,420	2,493
1801 Change in uncollected payments, Federal sources	-6
1825 Spending authority from offsetting collections applied to repay debt	-2
1850 Spending auth from offsetting collections, mand (total)	2,224	2,420	2,493
1900 Budget authority (total)	2,479	2,776	2,849
1930 Total budgetary resources available	6,535	6,419	5,935
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,643	3,086	3,935

Identification code 073-4149-0-3-376	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	22	25
3010 New obligations, unexpired accounts	2,892	3,333	2,000
3020 Outlays (gross)	-2,895	-3,330	-1,889
3050 Unpaid obligations, end of year	22	25	136
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-7	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	6
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	21	24

3200	Obligated balance, end of year	21	24	135
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	2,479	2,776	2,849
Financing disbursements:				
4110	Outlays, gross (total)	2,895	3,330	1,889
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Subsidy from program account	-1	-3	-3
4120	Upward reestimate	-60	-38
4120	Interest on reestimate	-23	-14
4122	Interest on uninvested funds	-88	-90	-90
4123	Fees	-1,349	-1,625	-1,750
4123	Principal	-563	-560	-560
4123	Interest	-61	-60	-60
4123	Sale of Foreclosed Property	-14
4123	Other	-73	-30	-30
4130	Offsets against gross budget authority and outlays (total)	-2,232	-2,420	-2,493
Additional offsets against financing authority only (total):				
4140	Change in uncollected pymts, Fed sources, unexpired	6
4160	Budget authority, net (mandatory)	253	356	356
4170	Outlays, net (mandatory)	663	910	-604
4180	Budget authority, net (total)	253	356	356
4190	Outlays, net (total)	663	910	-604

Status of Guaranteed Loans (in millions of dollars)

Identification code 073-4149-0-3-376		2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:				
2111	Guaranteed loan commitments from current-year authority	61,000	61,000	55,500
2121	Limitation available from carry-forward
2142	Uncommitted loan guarantee limitation	-24,431
2150	Total guaranteed loan commitments	36,569	61,000	55,500
2199	Guaranteed amount of guaranteed loan commitments	30,867	53,182	47,479
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	128,765	129,717	138,492
2231	Disbursements of new guaranteed loans	26,917	34,640	39,788
2251	Repayments and prepayments	-23,894	-24,071	-25,699
Adjustments:				
2261	Terminations for default that result in loans receivable	-1,804	-1,547	-1,733
2263	Terminations for default that result in claim payments	-248	-247	-276
2264	Other adjustments, net	-19
2290	Outstanding, end of year	129,717	138,492	150,572
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	105,827	112,986	122,841
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	3,228	3,373	3,567
2331	Disbursements for guaranteed loan claims	1,467	1,463	1,639
2351	Repayments of loans receivable	-499	-424	-475
2361	Write-offs of loans receivable	-820	-845	-909
2364	Other adjustments, net	-3
2390	Outstanding, end of year	3,373	3,567	3,822

Balance Sheet (in millions of dollars)

Identification code 073-4149-0-3-376		2018 actual	2019 actual
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	4,274	3,664
Investments in U.S. securities:			
1106	Receivables, net	145	93
1206	Non-Federal assets: Receivables, net	90	160
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross	3,228	3,373
1502	Interest receivable
1504	Foreclosed property	14	16
1505	Allowance for subsidy cost (-)	-2,423	-2,423
1599	Net present value of assets related to defaulted guaranteed loans	819	966
1999	Total assets	5,328	4,883

LIABILITIES:			
Federal liabilities:			
2103	Debt	1,950	2,003
2105	Other	703	868
Non-Federal liabilities:			
2201	Accounts payable	12	12
2204	Liabilities for loan guarantees	2,663	2,000
2999	Total liabilities	5,328	4,883
NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	5,328	4,883

BUSINESS LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4154-0-3-376		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0005	Guaranteed loan default claims	1	1	1
0007	Other Expenses	1
0600	Direct program activities, subtotal	1	2	1
0900	Total new obligations, unexpired accounts (object class 33.0)	1	2	1
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	1	2	1
Spending authority from offsetting collections, mandatory:				
1800	Collected	2	3	3
1820	Capital transfer of spending authority from offsetting collections to general fund	-2	-3	-3
1900	Budget authority (total)	1	2	1
1930	Total budgetary resources available	1	2	1
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	1	2	1
3020	Outlays (gross)	-1	-2
3050	Unpaid obligations, end of year	1	1	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1	2	1
Outlays, gross:				
4100	Outlays from new mandatory authority	2
4101	Outlays from mandatory balances	1
4110	Outlays, gross (total)	1	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-2	-3	-3
4180	Budget authority, net (total)	-1	-1	-2
4190	Outlays, net (total)	-1	-1	-3

Status of Direct Loans (in millions of dollars)

Identification code 073-4154-0-3-376		2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	4	11	9
1251	Repayments: Repayments and prepayments	-1	-1
1263	Write-offs for default: Direct loans	-1	-1
1264	Other adjustments, net (+ or -)	7
1290	Outstanding, end of year	11	9	7

Status of Guaranteed Loans (in millions of dollars)

Identification code 073-4154-0-3-376		2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1	1	1
2251	Repayments and prepayments

BUSINESS LOAN FUND LIQUIDATING ACCOUNT—Continued
Status of Guaranteed Loans—Continued

Identification code 073-4154-0-3-376	2019 actual	2020 est.	2021 est.
2290 Outstanding, end of year	1	1	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		1	1
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	1		
2331 Disbursements for guaranteed loan claims			
2351 Repayments of loans receivable			
2361 Write-offs of loans receivable	-1		
2364 Other adjustments, net			
2390 Outstanding, end of year			

Balance Sheet (in millions of dollars)

Identification code 073-4154-0-3-376	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1	1
1206 Non-Federal assets: Receivables, net		
1601 Direct loans, gross	4	11
1602 Interest receivable		
1603 Allowance for estimated uncollectible loans and interest (-)	-6	-10
1604 Direct loans and interest receivable, net	-2	1
1606 Foreclosed property	4	4
1699 Value of assets related to direct loans	2	5
1701 Defaulted guaranteed loans, gross	1	
1703 Allowance for estimated uncollectible loans and interest (-)		
1799 Value of assets related to loan guarantees	1	
1801 Other Federal assets: Cash and other monetary assets	2	3
1999 Total assets	6	9
LIABILITIES:		
Federal liabilities:		
2102 Interest payable	1	1
2104 Resources payable to Treasury	4	8
2201 Non-Federal liabilities: Accounts payable	1	
2999 Total liabilities	6	9
NET POSITION:		
3100 Unexpended appropriations		
3300 Cumulative results of operations		
3999 Total net position		
4999 Total liabilities and net position	6	9

DISASTER LOANS PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the direct loan program authorized by section 7(b) of the Small Business Act, **[\$177,136,000]** \$168,075,000, to be available until expended, of which \$1,600,000 is for the Office of Inspector General of the Small Business Administration for audits and reviews of disaster loans and the disaster loan programs and shall be transferred to and merged with the appropriations for the Office of Inspector General; of which **[\$167,136,000]** \$158,075,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, which may be transferred to and merged with the appropriations for Salaries and Expenses; and of which \$8,400,000 is for indirect administrative expenses for the direct loan program, which may be transferred to and merged with the appropriations for Salaries and Expenses; **Provided**, That, of the funds provided under this heading, \$150,888,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5122(2)); **Provided further**, That the amount for major disasters under this heading is designated by Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99-177). (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 073-1152-0-1-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	173	150	98
0705 Reestimates of direct loan subsidy	122	60	
0706 Interest on reestimates of direct loan subsidy	7	6	
0709 Administrative expenses	10	177	168
0900 Total new obligations, unexpired accounts	312	393	266
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,226	1,110	1,000
1001 Discretionary unobligated balance brought fwd, Oct 1	1,226	1,110	
1021 Recoveries of prior year unpaid obligations	57	40	40
1050 Unobligated balance (total)	1,283	1,150	1,040
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	26	25
1100 Appropriation, disaster relief pursuant Stafford Act		151	143
1160 Appropriation, discretionary (total)	10	177	168
Appropriations, mandatory:			
1200 Appropriation	129	66	
1900 Budget authority (total)	139	243	168
1930 Total budgetary resources available	1,422	1,393	1,208
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,110	1,000	942
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	151	79	93
3010 New obligations, unexpired accounts	312	393	266
3020 Outlays (gross)	-327	-339	-280
3040 Recoveries of prior year unpaid obligations, unexpired	-57	-40	-40
3050 Unpaid obligations, end of year	79	93	39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	151	79	93
3200 Obligated balance, end of year	79	93	39
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	177	168
Outlays, gross:			
4010 Outlays from new discretionary authority	10	177	168
4011 Outlays from discretionary balances	188	96	112
4020 Outlays, gross (total)	198	273	280
Mandatory:			
4090 Budget authority, gross	129	66	
Outlays, gross:			
4100 Outlays from new mandatory authority	129	66	
4180 Budget authority, net (total)	139	243	168
4190 Outlays, net (total)	327	339	280

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 073-1152-0-1-453	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Disaster Assistance Loans	1,406	1,100	1,100
115999 Total direct loan levels	1,406	1,100	1,100
Direct loan subsidy (in percent):			
132001 Disaster Assistance Loans	12.29	13.62	8.92
132999 Weighted average subsidy rate	12.29	13.62	8.92
Direct loan subsidy budget authority:			
133001 Disaster Assistance Loans	173	150	98
133999 Total subsidy budget authority	173	150	98
Direct loan subsidy outlays:			
134001 Disaster Assistance Loans	188	96	112
134999 Total subsidy outlays	188	96	112
Direct loan reestimates:			
135001 Disaster Assistance Loans	38	-90	
135002 Economic Injury Disaster Loans—Terrorist Attack	-2	-3	
135999 Total direct loan reestimates	36	-93	
Administrative expense data:			
3510 Budget authority	10	177	168

3590	Outlays from new authority	10	177	168
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As required by the Federal Credit Reform Act of 1990, as amended, for loans made pursuant to Section 7(b) of the Small Business Act, as amended, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the disaster loan program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Disaster loans made pursuant to Section 7(b) of the Small Business Act provide Federal assistance for non-farm, private sector disaster losses. Through the disaster assistance program, SBA helps homeowners, renters, businesses of all sizes, and non-profit organizations pay for the cost of replacing, rebuilding or repairing property damaged by disasters. The program is the only form of SBA financial assistance not limited to small businesses. The program provides subsidized loans for up to 30 years to borrowers who have incurred uninsured physical losses or economic injury as the result of a disaster.

In 2021, the Budget supports \$1.1 billion in loans, the ten-year normalized average for such loans. The Budget requests \$142.9 million in new budget authority for administrative expenses related to major disasters (pursuant to a determination under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act) and \$25.2 million for administrative expenses related to non-major disasters.

Object Classification (in millions of dollars)

Identification code 073-1152-0-1-453	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	10	177	168
41.0 Grants, subsidies, and contributions	302	216	98
99.9 Total new obligations, unexpired accounts	312	393	266

DISASTER DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4150-0-3-453	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Other	19	25	25
Credit program obligations:			
0710 Direct loan obligations	1,406	1,100	1,100
0713 Payment of interest to Treasury	333	400	400
0742 Downward reestimates paid to receipt accounts	60	104
0743 Interest on downward reestimates	33	55
0791 Direct program activities, subtotal	1,832	1,659	1,500
0900 Total new obligations, unexpired accounts	1,851	1,684	1,525
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	454	777	968
1021 Recoveries of prior year unpaid obligations	454	450	374
1023 Unobligated balances applied to repay debt	-453
1024 Unobligated balance of borrowing authority withdrawn	-223
1050 Unobligated balance (total)	232	1,227	1,342
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1,935	950	950
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,480	1,440	1,440
1801 Change in uncollected payments, Federal sources	-72
1820 Capital transfer of spending authority from offsetting collections to general fund	-965	-965
1825 Spending authority from offsetting collections applied to repay debt	-947
1850 Spending auth from offsetting collections, mand (total)	461	475	475
1900 Budget authority (total)	2,396	1,425	1,425
1930 Total budgetary resources available	2,628	2,652	2,767

Memorandum (non-add) entries:			
	2019 actual	2020 est.	2021 est.
1941 Unexpired unobligated balance, end of year	777	968	1,242
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,211	641	362
3010 New obligations, unexpired accounts	1,851	1,684	1,525
3020 Outlays (gross)	-1,967	-1,513	-1,513
3040 Recoveries of prior year unpaid obligations, unexpired	-454	-450	-374
3050 Unpaid obligations, end of year	641	362
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-151	-79	-79
3070 Change in uncollected pymts, Fed sources, unexpired	72
3090 Uncollected pymts, Fed sources, end of year	-79	-79	-79
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,060	562	283
3200 Obligated balance, end of year	562	283	-79

Financing authority and disbursements, net:

Mandatory:			
	2019 actual	2020 est.	2021 est.
4090 Budget authority, gross	2,396	1,425	1,425
Financing disbursements:			
4110 Outlays, gross (total)	1,967	1,513	1,513
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Payments from program account	-188	-96	-112
4120 Upward reestimate	-122	-60
4120 Interest on upward reestimate	-7	-6
4122 Interest income from Treasury	-82	-100	-100
4123 Repayments of principal, net	-1,081	-1,178	-1,228
4130 Offsets against gross budget authority and outlays (total)	-1,480	-1,440	-1,440
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	72
4160 Budget authority, net (mandatory)	988	-15	-15
4170 Outlays, net (mandatory)	487	73	73
4180 Budget authority, net (total)	988	-15	-15
4190 Outlays, net (total)	487	73	73

Status of Direct Loans (in millions of dollars)

Identification code 073-4150-0-3-453	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward	9,972	8,150	11,211
1143 Unobligated limitation carried forward (P.L. xx) (-)	-8,566	-7,050	-10,111
1150 Total direct loan obligations	1,406	1,100	1,100
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	9,059	9,610	9,390
1231 Disbursements: Direct loan disbursements	1,524	702	1,077
1251 Repayments: Repayments and prepayments	-773	-723	-727
1263 Write-offs for default: Direct loans	-188	-199	-195
1264 Other adjustments, net (+ or -)	-12
1290 Outstanding, end of year	9,610	9,390	9,545

Balance Sheet (in millions of dollars)

Identification code 073-4150-0-3-453	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	1,290	1,338
Investments in U.S. securities:		
1106 Receivables, net	129	66
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	9,059	9,610
1402 Interest receivable
1404 Foreclosed property	2	4
1405 Allowance for subsidy cost (-)	-1,325	-1,265
1499 Net present value of assets related to direct loans	7,736	8,349
1999 Total assets	9,155	9,753
LIABILITIES:		
Federal liabilities:		
2103 Debt	9,054	9,590
2105 Other	93	160
2201 Non-Federal liabilities: Accounts payable	8	3
2999 Total liabilities	9,155	9,753
NET POSITION:		
3300 Cumulative results of operations

DISASTER DIRECT LOAN FINANCING ACCOUNT—Continued
Balance Sheet—Continued

Identification code 073-4150-0-3-453	2018 actual	2019 actual
4999 Total liabilities and net position	9,155	9,753

DISASTER LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 073-4153-0-3-453	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	1	1
1820 Capital transfer of spending authority from offsetting collections to general fund	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-1	-1	-1
4180 Budget authority, net (total)	-1	-1	-1
4190 Outlays, net (total)	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 073-4153-0-3-453	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1	1	1
1290 Outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 073-4153-0-3-453	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury		
1601 Direct loans, net	1	1
1603 Allowance for estimated uncollectible loans and interest (-)		
1699 Value of assets related to direct loans	1	1
1999 Total assets	1	1
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	1	1
NET POSITION:		
3100 Unexpended appropriations		
4999 Total liabilities and net position	1	1

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
073-272130 Disaster Loan Program, Downward Reestimates of Subsidies	93	159	
073-272230 Business Loan Program, Downward Reestimates of Subsidies	852	1,100	
073-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1		
General Fund Offsetting receipts from the public	946	1,259	

ADMINISTRATIVE PROVISIONS—SMALL BUSINESS ADMINISTRATION

(INCLUDING TRANSFERS OF FUNDS)

SEC. 540. [Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Small Business Administration in this Act may be trans-

ferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this paragraph shall be treated as a reprogramming of funds under section 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.] *Not to exceed 5 percent of any appropriation made available in this title for the Small Business Administration may be transferred between such appropriations upon the advance notification to the Committees on Appropriations of the House of Representatives and the Senate: Provided, That no transfer under this section may increase any such appropriation by more than 10 percent.*

SEC. 541. Not to exceed 3 percent of any appropriation made available in this Act for the Small Business Administration under the headings "Salaries and Expenses" and "Business Loans Program Account" may be transferred to the Administration's information technology system modernization and working capital fund (IT WCF), as authorized by section 1077(b)(1) of title X of division A of the National Defense Authorization Act for Fiscal Year 2018, for the purposes specified in section 1077(b)(3) of such Act, upon the advance [approval] notification of the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That amounts transferred to the IT WCF under this section shall remain available for obligation through September 30, [2023] 2024.

SEC. 542. ADMINISTRATIVE FEE AUTHORITY FOR THE 7(a), 504, 504 DEBT REFINANCING, AND SMALL BUSINESS INVESTMENT COMPANY PROGRAMS. Section 5(b) of the Small Business Act (15 U.S.C. 634(b)) is amended—

- (1) in paragraph (13) by striking "and" at the end;
- (2) in paragraph (14) by striking the period at the end and inserting "; and"; and
- (3) by adding the following new paragraph (b)(15):

"(15) collect, retain, and utilize, subject to notification in appropriations Acts, an administrative fee collected from participants in the programs authorized by section 7(a) of this Act, section 503 of the Small Business Investment Act of 1958 (15 U.S.C. 697), subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)(C)), and part A of Title III of the Small Business Investment Act of 1958 (15 U.S.C. 681-687m), respectively. The fees shall be available for the administrative costs of each respective program, and may be deposited with amounts appropriated for administrative expenses in the Business Loans Program Account of the Administration. The amounts of the fees will be determined annually in the discretion of the Administrator not to exceed the amount of offsetting collections for loan program administrative expenses in the annual appropriations act."

SEC. 543. REPEAL OF CERTAIN DISASTER AND BUSINESS LOAN PROGRAM AUTHORITIES.—

- (a) Section 42 of the Small Business Act (15 U.S.C. 657n) is repealed.
- (b) Section 7(c) of the Small Business Act (15 U.S.C. 636(c)) is repealed.
- (c) Section 7(a)(31)(H) of the Small Business Act (15 U.S.C. 636(a)(31)(H)) is repealed.

SEC. 544. PERMANENT INCREASE TO THE UNSECURED THRESHOLD ON PHYSICAL DISASTER LOANS. Section 2102(b) of the RISE After Disaster Act of 2015 (Public Law 114-88) is repealed.

SEC. 545. EXPRESS LOAN PROGRAM INCREASE IN LOAN LIMIT. Section 7(a)(31)(D) of the Small Business Act (15 U.S.C. 636(a)(31)(D)) is amended by striking "\$350,000" and inserting "\$1,000,000".

SEC. 546. 7(A) GUARANTEE FEE INCREASE TO MAINTAIN ZERO SUBSIDY. Section 7(a)(18)(A)(iii) of the Small Business Act (15 U.S.C. 636(a)(18)(A)(iii)) is amended by striking "3.5 percent" and inserting "3.64 percent".

SEC. 547. DEVELOPMENT COMPANY LOANS TO SMALL MANUFACTURERS. Section 502(2)(A)(iii) of the Small Business Investment Act of 1958 (15 U.S.C. 696(2)(A)(iii)) is amended by striking "\$5,500,000" and inserting "\$6,500,000".

SEC. 548. MICROLOAN PROGRAM FUNDING LEVEL CHANGE. Section 7(m)(7)(B)(i)(I)(bb) of the Small Business Act (15 U.S.C. 636(m)(7)(B)(i)(I)(bb)) is amended by striking "1/55" and inserting "1/25".

SEC. 549. CHANGES TO 7(a) SECONDARY MARKET FEE PROVISIONS.—Section 5(g)(2) of the Small Business Act is amended—

- (1) by redesignating the current paragraph as subparagraph (A); and
- (2) by adding a new subparagraph (B) to read as follows:
"(B) With respect to the Administration's guarantee of the payment of the principal of and interest on the trust certificates issued under this subsection, the Administration may assess, collect, and retain an annual fee, in an amount established once annually by the Administration in the Administration's budget request to Congress, not to exceed 0.05 percent per year of the outstanding balance of such trust certificates. The fee shall, at a minimum, offset the cost (as that term is defined in section 502 of the Federal Credit Reform Act of 1990) to the Administration of such guarantee, and any amounts received that exceed

the cost of the payment guarantee shall be maintained in accordance with the Federal Credit Reform Act. The fee shall be payable solely by the holders of such trust certificates and shall not be charged to any borrower whose loan is part of such trust or pool. The Administration may contract with an agent to carry out, on behalf of the Administration, the assessment and collection of this fee. The fee shall be deducted from the amounts otherwise payable to such holders of the trust certificates."

SEC. 550. SECONDARY MARKET PROGRAM AMENDMENT.

(a) Section 5(g)(2)(A) (as redesignated above) of the Small Business Act (15 U.S.C. 634(g)(2)(A)) is amended—

(1) by amending the first sentence to read as follows:

"(A) The Administration is authorized, upon such terms and conditions as are deemed appropriate, to guarantee—

"(i) the payment of the principal of (or, in the discretion of the Administration, the timely payment of such principal); and

"(ii) the timely payment of the interest on "trust certificates issued by the Administration or its agent for purposes of this subsection."; and

(2) by striking the phrase "timely" in the third sentence.

(b) Subsection (a) of this section shall become effective upon the effective date of final regulations implementing this section. Trust certificates issued prior to such effective date are not affected by this amendment.

SEC. 551. SMALL BUSINESS DEVELOPMENT CENTER AND WOMENS BUSINESS CENTER PROGRAM EVALUATIONS.

(a) Section 21(a)(7)(A) of the Small Business Act (15 U.S.C. 648(a)(7)(A)) is amended by—

(1) striking the word "or" at the end of clause (i);

(2) striking the period at the end of clause (ii) and inserting "; or"; and

(3) adding the following new clause:

"(iii) the Administrator considers such a disclosure to be necessary for the purpose of conducting a program evaluation."

(b) Section 29(n)(1) of the Small Business Act (15 U.S.C. 656(n)(1)) is amended by—

(1) striking the word "or" at the end of subparagraph (A);

(2) striking the period at the end of subparagraph (B) and inserting "; or"; and

(3) adding the following new subparagraph:

"(C) the Administrator considers such a disclosure to be necessary for the purpose of conducting a program evaluation."

(Financial Services and General Government Appropriations Act, 2020.)

SOCIAL SECURITY ADMINISTRATION

Federal Funds

PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund, as provided under sections 201(m) and 1131(b)(2) of the Social Security Act, \$11,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 028-0404-0-1-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Taxation of benefits	36,105	39,254	43,119
0002 Other	12	17	16
0003 Payroll Tax holiday	17	6
0900 Total new obligations, unexpired accounts	36,134	39,277	43,135
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	13	13
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	36,141	39,277	43,135
1930 Total budgetary resources available	36,154	39,290	43,148
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-7
1941 Unexpired unobligated balance, end of year	13	13	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	36,134	39,277	43,135
3020 Outlays (gross)	-36,133	-39,277	-43,135
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	36,141	39,277	43,135
Outlays, gross:			
4100 Outlays from new mandatory authority	36,132	39,277	43,135
4101 Outlays from mandatory balances	1
4110 Outlays, gross (total)	36,133	39,277	43,135
4180 Budget authority, net (total)	36,141	39,277	43,135
4190 Outlays, net (total)	36,133	39,277	43,135

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	36,141	39,277	43,135
Outlays	36,133	39,277	43,135
Legislative proposal, subject to PAYGO:			
Budget Authority	70
Outlays	70
Total:			
Budget Authority	36,141	39,277	43,205
Outlays	36,133	39,277	43,205

This general fund appropriation reimburses the Social Security trust funds annually for 1) pension reform and 2) interest on unnegotiated checks. Amounts appropriated to this account as permanent indefinite authority include receipts from Federal income taxation of Social Security benefits.

Object Classification (in millions of dollars)

Identification code 028-0404-0-1-651	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	12	17	16
94.0 Financial transfers	36,105	39,254	43,119

94.0	Financial transfers	17	6
99.9	Total new obligations, unexpired accounts	36,134	39,277	43,135

PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-0404-4-1-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 WEP/GPO State and Local Pension — Payment to the LAE	70
0900 Total new obligations, unexpired accounts (object class 42.0)	70
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	70
1930 Total budgetary resources available	70
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	70
3020 Outlays (gross)	-70
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	70
Outlays, gross:			
4100 Outlays from new mandatory authority	70
4180 Budget authority, net (total)	70
4190 Outlays, net (total)	70

ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT

Program and Financing (in millions of dollars)

Identification code 028-0415-0-1-571	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	15	15
1930 Total budgetary resources available	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	15	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	12	6
3020 Outlays (gross)	-6	-6
3050 Unpaid obligations, end of year	12	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	12	6
3200 Obligated balance, end of year	12	6
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	6	6
4180 Budget authority, net (total)
4190 Outlays, net (total)	6	6

Public Law 110-275 requires the Social Security Administration to transmit identity and financial data used to determine eligibility and the amount of Extra Help (also known as low-income subsidy) from the application process to the Medicaid State agency to initiate an application for the Medicare Savings Program. As of 2011, new funding for this program comes from a reimbursable agreement with the Centers for Medicare and

ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS
ACT—Continued

Medicaid Services and this funding is reflected within the Limitation on Administrative Expenses account.

ADMINISTRATIVE EXPENSES, CHILDREN'S HEALTH INSURANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 028-0416-0-1-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative Expenses, Children's Health Insurance Program (Direct)		1	1
0100 Direct program activities, subtotal		1	1
0900 Total new obligations, unexpired accounts (object class 11.1)		1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	1
1930 Total budgetary resources available	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-1	
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		1	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		1	

Public Law 111-3 provides assistance for states to insure low-income children who are not eligible for Medicaid whose parent(s) or guardian(s) cannot afford private insurance.

Employment Summary

Identification code 028-0416-0-1-551	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		10	10

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$41,714,889,000] \$40,308,177,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury: *Provided further*, That not more than **[\$101,000,000] \$86,000,000** shall be available for research and demonstrations under sections 1110, 1115, and 1144 of the Social Security Act, and remain available through September 30, **[2022] 2023**.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2021] 2022**, **[\$19,900,000,000] \$19,600,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 028-0406-0-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Supplemental Security Income Program (Direct)	58,764	59,947	61,177
0002 Program Integrity	1,354	1,223	1,269
0799 Total direct obligations	60,118	61,170	62,446
0801 State supplementation payments	2,569	2,595	2,640
0809 Reimbursable program activities, subtotal	2,569	2,595	2,640
0900 Total new obligations, unexpired accounts	62,687	63,765	65,086
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,589	4,380	4,629
1001 Discretionary unobligated balance brought fwd, Oct 1	2,364	2,334	
1021 Recoveries of prior year unpaid obligations	42		
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	3,633	4,380	4,629
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4,378	4,381	4,704
Appropriations, mandatory:			
1200 Appropriation	36,988	37,334	35,615
Advance appropriations, mandatory:			
1270 Advance appropriation	19,500	19,700	19,900
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,568	2,599	2,644
1900 Budget authority (total)	63,434	64,014	62,863
1930 Total budgetary resources available	67,067	68,394	67,492
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4,380	4,629	2,406
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,297	3,036	3,228
3010 New obligations, unexpired accounts	62,687	63,765	65,086
3020 Outlays (gross)	-62,906	-63,573	-64,971
3040 Recoveries of prior year unpaid obligations, unexpired	-42		
3050 Unpaid obligations, end of year	3,036	3,228	3,343
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,297	3,036	3,228
3200 Obligated balance, end of year	3,036	3,228	3,343
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,378	4,381	4,704
Outlays, gross:			
4010 Outlays from new discretionary authority	1,397	3,601	3,881
4011 Outlays from discretionary balances	2,984	740	782
4020 Outlays, gross (total)	4,381	4,341	4,663
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-2		
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	2		
4060 Additional offsets against budget authority only (total)	2		
4070 Budget authority, net (discretionary)	4,378	4,381	4,704
4080 Outlays, net (discretionary)	4,379	4,341	4,663
Mandatory:			
4090 Budget authority, gross	59,056	59,633	58,159
Outlays, gross:			
4100 Outlays from new mandatory authority	57,314	57,840	57,886
4101 Outlays from mandatory balances	1,211	1,392	2,422
4110 Outlays, gross (total)	58,525	59,232	60,308
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-2,568	-2,599	-2,644
4180 Budget authority, net (total)	60,866	61,415	60,219
4190 Outlays, net (total)	60,336	60,974	62,327
Summary of Budget Authority and Outlays (in millions of dollars)			
	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	60,866	61,415	60,219

Outlays	60,336	60,974	62,327
Legislative proposal, subject to PAYGO:			
Budget Authority			-766
Outlays			-750
Total:			
Budget Authority	60,866	61,415	59,453
Outlays	60,336	60,974	61,577

Title XVI of the Social Security Act established a Supplemental Security Income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for low-income individuals who are aged, blind, or disabled. A portion of these funds may be used to fund evaluation of research projects, such as the Promoting Readiness of Minors in SSI (PROMISE) pilot, which would improve the outcomes of children receiving SSI and their families, and Retaining Employment and Talent after Injury/Illness Network (RETAIN), which aims to increase employment retention and participation for individuals who have developed or are at risk of developing a work-threatening disability.

Object Classification (in millions of dollars)

Identification code 028-0406-0-1-609	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Administrative Expenses	2,931	3,197	3,431
25.3 Beneficiary Services	100	93	99
25.3 Program Integrity (Base)	242	221	216
25.3 Program Integrity (Cap)	1,112	1,002	1,052
41.0 Federal benefits	55,591	56,532	57,562
41.0 Research	142	125	86
99.0 Direct obligations	60,118	61,170	62,446
99.0 Reimbursable obligations	2,569	2,595	2,640
99.9 Total new obligations, unexpired accounts	62,687	63,765	65,086

SUPPLEMENTAL SECURITY INCOME PROGRAM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-0406-4-1-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			-766
0900 Total new obligations, unexpired accounts (object class 41.0)			-766
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation			-766
1930 Total budgetary resources available			-766
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-766
3020 Outlays (gross)			750
3050 Unpaid obligations, end of year			-16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-766
Outlays, gross:			
4100 Outlays from new mandatory authority			-750
4180 Budget authority, net (total)			-766
4190 Outlays, net (total)			-750

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 028-0401-0-1-701	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	2

2000 Total: Balances and receipts	2	2	2
5099 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 028-0401-0-1-701	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Special Benefits for Certain World War II Veterans (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 42.0)	1	1	1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1	1	1
1900 Budget authority (total)	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old; were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II; and who were eligible for SSI for the month of December 1999. To receive this benefit, these individuals must reside outside the United States and meet other requirements for eligibility.

OFFICE OF INSPECTOR GENERAL
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$30,000,000]** \$33,000,000, together with not to exceed **[\$75,500,000]** \$83,000,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund: *Provided, That \$4,000,000 shall remain available until expended for information technology modernization, including related hardware and software infrastructure and equipment, and for administrative expenses directly associated with information technology modernization.*

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any transfer. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 028-0400-0-1-600	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General (Direct)	114	106	116
0801 Reimbursable program activity OIG Transfer	1	10	11
0900 Total new obligations, unexpired accounts	115	116	127
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	30	33
Spending authority from offsetting collections, discretionary:			
1700 Collected	75	76	83
1700 Collected		10	11

OFFICE OF INSPECTOR GENERAL—Continued
Program and Financing—Continued

Identification code 028-0400-0-1-600	2019 actual	2020 est.	2021 est.
1701 Change in uncollected payments, Federal sources	11		
1750 Spending auth from offsetting collections, disc (total)	86	86	94
1900 Budget authority (total)	116	116	127
1930 Total budgetary resources available	116	116	127
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	18	13
3010 New obligations, unexpired accounts	115	116	127
3020 Outlays (gross)	-107	-121	-127
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	18	13	13
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-12	-17	-17
3070 Change in uncollected pymts, Fed sources, unexpired	-11		
3071 Change in uncollected pymts, Fed sources, expired	6		
3090 Uncollected pymts, Fed sources, end of year	-17	-17	-17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-1	1	-4
3200 Obligated balance, end of year	1	-4	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	116	116	127
Outlays, gross:			
4010 Outlays from new discretionary authority	100	104	115
4011 Outlays from discretionary balances	7	17	12
4020 Outlays, gross (total)	107	121	127
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-80	-86	-94
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-11		
4052 Offsetting collections credited to expired accounts	5		
4060 Additional offsets against budget authority only (total)	-6		
4070 Budget authority, net (discretionary)	30	30	33
4080 Outlays, net (discretionary)	27	35	33
4180 Budget authority, net (total)	30	30	33
4190 Outlays, net (total)	27	35	33

The Office of Inspector General conducts independent audits, evaluations, and investigations to identify and prevent fraud, waste, abuse, and mismanagement of Social Security Administration programs and operations.

Object Classification (in millions of dollars)

Identification code 028-0400-0-1-600	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	67	66	64
12.1 Civilian personnel benefits	28	28	32
21.0 Travel and transportation of persons	3	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	4
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities		1	1
25.6 Medical care	1	1	1
31.0 Equipment	6	2	6
32.0 Land and structures	2		
99.0 Direct obligations	114	106	115
99.0 Reimbursable obligations	1	10	12
99.9 Total new obligations, unexpired accounts	115	116	127

Employment Summary

Identification code 028-0400-0-1-600	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	501	533	547

ADMINISTRATIVE EXPENSES, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 028-0417-0-1-651	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	3	1
3020 Outlays (gross)	-2	-2	
3050 Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	3	1
3200 Obligated balance, end of year	3	1	1
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	2	2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	2	2	

Public Law 111-5 provided funding to process disability and retirement work, to replace the National Computer Center, and to administer \$250 economic recovery payments to eligible Social Security and Supplemental Security Income beneficiaries. The funds for administering the \$250 economic recovery payments were obligated by the end of the first quarter of 2011, as payments ended on December 31, 2010. All obligations since 2012 are for the replacement of the National Computer Center. SSA received a Presidential Waiver on December 28, 2012, allowing the agency to retain and continue to obligate funds appropriated for expenses of the replacement of the National Computer Center.

STATE SUPPLEMENTAL FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 028-5419-0-2-609	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			1
Receipts:			
Current law:			
1130 State Supplemental Fees, SSI	128	131	135
2000 Total: Balances and receipts	128	131	136
Appropriations:			
Current law:			
2101 State Supplemental Fees	-128	-130	-135
5099 Balance, end of year		1	1

Program and Financing (in millions of dollars)

Identification code 028-5419-0-2-609	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 State Supplemental Fees (Direct)	128	130	135
0900 Total new obligations, unexpired accounts (object class 25.3)	128	130	135
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	128	130	135
1930 Total budgetary resources available	128	130	135
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	128	130	135
3020 Outlays (gross)	-128	-130	-135

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	128	130 135
Outlays, gross:			
4010	Outlays from new discretionary authority	128	130 135
4180	Budget authority, net (total)	128	130 135
4190	Outlays, net (total)	128	130 135

The Social Security Administration (SSA) collects a fee from States for costs related to administering Supplemental Security Income State supplementary payments on behalf of States. A portion of these fees is used to fund some of SSA's administrative costs.

Trust Funds

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 028-8006-0-7-651	2019 actual	2020 est.	2021 est.
0100	Balance, start of year	2,720,556	2,718,719 2,722,945
0198	Adjustment to reconcile to budgetary accounting	-380
0199	Balance, start of year	2,720,176	2,718,719 2,722,945
Receipts:			
Current law:			
1110	FOASI, Transfers from General Fund (FICA Taxes)	734,325	787,141 822,401
1110	FOASI, Transfers from General Fund (SECA Taxes)	38,895	42,771 45,496
1110	FOASI, Refunds	-2,938	-3,299 -3,483
1130	FOASI, Non-Attorney Fees	1 1
1130	FOASI, Attorney Fees	1	1 1
1130	FOASI, Tax Refund Offset	13	12 12
1140	FOASI, Federal Employer Contributions (FICA Taxes)	15,238	16,357 16,995
1140	FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	14	5
1140	FOASI, Interest Received by Trust Funds	79,587	76,209 72,870
1140	FOASI, Federal Payments to the FOASI Trust Fund	34,902	37,610 41,370
1199	Total current law receipts	900,037	956,808 995,663
Proposed:			
1210	FOASI, Transfers from General Fund (FICA Taxes) -142
1999	Total receipts	900,037	956,808 995,521
2000	Total: Balances and receipts	3,620,213	3,675,527 3,718,466
Appropriations:			
Current law:			
2101	Federal Old-age and Survivors Insurance Trust Fund	-3,308	-3,380 -3,156
2101	Federal Old-age and Survivors Insurance Trust Fund	-896,734	-953,327 -992,458
2103	Federal Old-age and Survivors Insurance Trust Fund	-1,452 -14,776
2135	Federal Old-age and Survivors Insurance Trust Fund	4,125
2199	Total current law appropriations	-901,494	-952,582 -1,010,390
Proposed:			
2201	Federal Old-age and Survivors Insurance Trust Fund 19
2999	Total appropriations	-901,494	-952,582 -1,010,371
Special and trust fund receipts returned:			
3010	Federal Old-age and Survivors Insurance Trust Fund	9
3098	Federal Old-age and Survivors Insurance Trust Fund	-101
5098	Adjustment to reconcile to budgetary accounting	92
5099	Balance, end of year	2,718,719	2,722,945 2,708,095

Program and Financing (in millions of dollars)

Identification code 028-8006-0-7-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Federal Old-age and Survivors Insurance Trust Fund (Direct)	901,651	952,683 1,010,440
Budgetary resources:			
Unobligated balance:			
1012	Unobligated balance transfers between expired and unexpired accounts	101 50
1021	Recoveries of prior year unpaid obligations	9
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	101
1030	Other balances withdrawn to special or trust funds	-9
1033	Recoveries of prior year paid obligations	56
1050	Unobligated balance (total)	157	101 50
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	3,308	3,380 3,156

Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	896,734	953,327 992,458
1203	Appropriation (previously unavailable)(special or trust)	1,452 14,776
1235	Appropriations precluded from obligation (special or trust)	-4,125
1260	Appropriations, mandatory (total)	898,186	949,202 1,007,234
1900	Budget authority (total)	901,494	952,582 1,010,390
1930	Total budgetary resources available	901,651	952,683 1,010,440
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1950	Other balances withdrawn and returned to unappropriated receipts	9

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	80,890	85,685 90,106
3010	New obligations, unexpired accounts	901,651	952,683 1,010,440
3020	Outlays (gross)	-896,847	-948,262 -1,005,293
3040	Recoveries of prior year unpaid obligations, unexpired	-9
3050	Unpaid obligations, end of year	85,685	90,106 95,253
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	80,890	85,685 90,106
3200	Obligated balance, end of year	85,685	90,106 95,253

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	3,308	3,380 3,156
Outlays, gross:			
4010	Outlays from new discretionary authority	2,837	2,844 2,633
4011	Outlays from discretionary balances	513	537 504
4020	Outlays, gross (total)	3,350	3,381 3,137
Mandatory:			
4090	Budget authority, gross	898,186	949,202 1,007,234
Outlays, gross:			
4100	Outlays from new mandatory authority	816,567	863,480 1,002,156
4101	Outlays from mandatory balances	76,930	81,401
4110	Outlays, gross (total)	893,497	944,881 1,002,156
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-56
Additional offsets against gross budget authority only:			
4143	Recoveries of prior year paid obligations, unexpired accounts	56
4160	Budget authority, net (mandatory)	898,186	949,202 1,007,234
4170	Outlays, net (mandatory)	893,441	944,881 1,002,156
4180	Budget authority, net (total)	901,494	952,582 1,010,390
4190	Outlays, net (total)	896,791	948,262 1,005,293
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	2,801,254	2,804,396 2,816,238
5001	Total investments, EOY: Federal securities: Par value	2,804,396	2,816,238 2,809,744

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	901,494	952,582	1,010,390
Outlays	896,791	948,262	1,005,293
Legislative proposal, not subject to PAYGO:			
Budget Authority	-19
Outlays	-19
Total:			
Budget Authority	901,494	952,582	1,010,371
Outlays	896,791	948,262	1,005,274

The Old-Age and Survivors Insurance (OASI) program provides monthly cash benefits to retired workers and their dependents, and to survivors of deceased workers.

OASI Cash Outgo Detail

	2019 actual	2020 est.	2021 est.
(in millions of dollars)			
Benefit Payments	888,067	939,212	996,767
Payments to the Railroad Board	4,880	5,164	4,875
Administrative Expenses	3,350	3,381	3,137
Treasury Administrative Expenses	537	490	498
Beneficiary Services	13	15	16
Prior Year Employment Tax Receipts Refund	-56	0	0
Increase the Overpayment Collection Threshold	0	0	-7
Exclude SSA Debts from Discharge in Bankruptcy	0	0	-2

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND—Continued
OASI Cash Outgo Detail—Continued

	2019 actual	2020 est.	2021 est.
Reduce 12 Month Retroactive DI Benefits to 6 months	0	0	-10
Total Outgo	896,791	948,262	1,005,274

Status of Funds (in millions of dollars)

Identification code 028-8006-0-7-651	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	2,801,066	2,804,312	2,812,959
0999 Total balance, start of year	2,801,066	2,804,312	2,812,959
Cash income during the year:			
Current law:			
Receipts:			
1110 FOASI, Transfers from General Fund (FICA Taxes)	734,325	787,141	822,401
1110 FOASI, Transfers from General Fund (SECA Taxes)	38,895	42,771	45,496
1110 FOASI, Refunds	-2,938	-3,299	-3,483
1130 Federal Old-age and Survivors Insurance Trust Fund	56		
1130 FOASI, Non-Attorney Fees		1	1
1130 FOASI, Attorney Fees	1	1	1
1130 FOASI, Tax Refund Offset	13	12	12
1150 FOASI, Interest Received by Trust Funds	79,587	76,209	72,870
1160 FOASI, Federal Employer Contributions (FICA Taxes)	15,238	16,357	16,995
1160 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	14	5	
1160 FOASI, Federal Payments to the FOASI Trust Fund	34,902	37,610	41,370
1199 Income under present law	900,093	956,808	995,663
Proposed:			
1210 FOASI, Transfers from General Fund (FICA Taxes)			-142
1299 Income proposed			-142
1999 Total cash income	900,093	956,808	995,521
Cash outgo during year:			
Current law:			
2100 Federal Old-age and Survivors Insurance Trust Fund [Budget Acct]	-896,847	-948,262	-1,005,293
2199 Outgo under current law	-896,847	-948,262	-1,005,293
Proposed:			
2200 Federal Old-age and Survivors Insurance Trust Fund			19
2299 Outgo under proposed legislation			19
2999 Total cash outgo (-)	-896,847	-948,262	-1,005,274
Surplus or deficit:			
3110 Excluding interest	-76,341	-67,663	-82,623
3120 Interest	79,587	76,209	72,870
3199 Subtotal, surplus or deficit	3,246	8,546	-9,753
3230 Federal Old-age and Survivors Insurance Trust Fund		101	50
3299 Total adjustments		101	50
3999 Total change in fund balance	3,246	8,647	-9,703
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-84	-3,279	-6,488
4200 Federal Old-age and Survivors Insurance Trust Fund	2,804,396	2,816,238	2,809,744
4999 Total balance, end of year	2,804,312	2,812,959	2,803,256

Object Classification (in millions of dollars)

Identification code 028-8006-0-7-651	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources [Beneficiary Services]	14	15	16
25.3 Other goods and services from Federal sources [Treasury Payments]	537	490	498
25.3 Other goods and services from Federal sources [RRB]	4,880	5,164	4,875
42.0 Insurance claims and indemnities	892,755	943,533	1,001,845
94.0 Financial transfers [OIG]	41	42	47
94.0 Financial transfers [LAE + Line 1050]	3,424	3,439	3,159
99.9 Total new obligations, unexpired accounts	901,651	952,683	1,010,440

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-8006-2-7-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			-19
0900 Total new obligations, unexpired accounts (object class 42.0)			-19
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-19
1930 Total budgetary resources available			-19
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-19
3020 Outlays (gross)			19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-19
Outlays, gross:			
4100 Outlays from new mandatory authority			-19
4180 Budget authority, net (total)			-19
4190 Outlays, net (total)			-19

FEDERAL DISABILITY INSURANCE TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 028-8007-0-7-651	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	67,143	72,723	70,935
Receipts:			
Current law:			
1110 FDI, Transfers from General Fund (FICA Taxes)	136,646	133,779	139,653
1110 FDI, Transfers from General Fund (SECA Taxes)	8,069	7,241	7,726
1110 FDI, Refunds	-694	-560	-591
1130 Attorney Fees, Federal Disability Insurance Trust Fund	25	25	26
1130 FDI, Tax Refund Offset	88	88	88
1140 FDI, Federal Employer Contributions (FICA Taxes)	2,817	2,778	2,886
1140 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	2	1	
1140 FDI, Interest Received by Trust Funds	2,917	2,819	2,797
1140 FDI, Federal Payments to the FDI Trust Fund	1,213	1,660	1,764
1199 Total current law receipts	151,083	147,831	154,349
Proposed:			
1210 FDI, Transfers from General Fund (FICA Taxes)			-24
1999 Total receipts	151,083	147,831	154,325
2000 Total: Balances and receipts	218,226	220,554	225,260
Appropriations:			
Current law:			
2101 Federal Disability Insurance Trust Fund	-2,768	-2,691	-2,414
2101 Federal Disability Insurance Trust Fund	-148,320	-145,055	-151,895
2103 Federal Disability Insurance Trust Fund		-1,873	
2135 Federal Disability Insurance Trust Fund	5,431		2,555
2199 Total current law appropriations	-145,657	-149,619	-151,754
Proposed:			
2201 Federal Disability Insurance Trust Fund			290
2999 Total appropriations	-145,657	-149,619	-151,464
Special and trust fund receipts returned:			
3010 Federal Disability Insurance Trust Fund	8		
3098 Federal Disability Insurance Trust Fund	146		
5099 Balance, end of year	72,723	70,935	73,796

Program and Financing (in millions of dollars)

Identification code 028-8007-0-7-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Disability Insurance Trust Fund (Direct)	145,668	149,703	151,793

Budgetary resources:

Unobligated balance:

1012	Unobligated balance transfers between expired and unexpired accounts	84	39
1021	Recoveries of prior year unpaid obligations	154	
1026	Adjustment for change in allocation of trust fund limitation or foreign exchange valuation	-146	
1030	Other balances withdrawn to special or trust funds	-8	
1033	Recoveries of prior year paid obligations	11	
1050	Unobligated balance (total)	11	84 39

Budget authority:

1101	Appropriations, discretionary:			
	Appropriation (special or trust)	2,768	2,691	2,414
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	148,320	145,055	151,895
1203	Appropriation (previously unavailable)(special or trust)		1,873	
1235	Appropriations precluded from obligation (special or trust)	-5,431		-2,555
1260	Appropriations, mandatory (total)	142,889	146,928	149,340
1900	Budget authority (total)	145,657	149,619	151,754
1930	Total budgetary resources available	145,668	149,703	151,793

Memorandum (non-add) entries:

Special and non-revolving trust funds:

1950	Other balances withdrawn and returned to unappropriated receipts	8		
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Change in obligated balance:

Unpaid obligations:

3000	Unpaid obligations, brought forward, Oct 1	25,998	23,686	23,850
3010	New obligations, unexpired accounts	145,668	149,703	151,793
3020	Outlays (gross)	-147,826	-149,539	-151,487
3040	Recoveries of prior year unpaid obligations, unexpired	-154		
3050	Unpaid obligations, end of year	23,686	23,850	24,156

Memorandum (non-add) entries:

3100	Obligated balance, start of year	25,998	23,686	23,850
3200	Obligated balance, end of year	23,686	23,850	24,156

Budget authority and outlays, net:

Discretionary:

4000	Budget authority, gross	2,768	2,691	2,414
	Outlays, gross:			
4010	Outlays from new discretionary authority	2,370	2,263	2,009
4011	Outlays from discretionary balances	272	428	390
4020	Outlays, gross (total)	2,642	2,691	2,399

Mandatory:

4090	Budget authority, gross	142,889	146,928	149,340
	Outlays, gross:			
4100	Outlays from new mandatory authority	132,584	124,775	149,088
4101	Outlays from mandatory balances	12,600	22,073	
4110	Outlays, gross (total)	145,184	146,848	149,088

Offsets against gross budget authority and outlays:

Offsetting collections (collected) from:

4123	Non-Federal sources	-11		
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Additional offsets against gross budget authority only:

4143	Recoveries of prior year paid obligations, unexpired accounts	11		
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4160	Budget authority, net (mandatory)	142,889	146,928	149,340
4170	Outlays, net (mandatory)	145,173	146,848	149,088
4180	Budget authority, net (total)	145,657	149,619	151,754
4190	Outlays, net (total)	147,815	149,539	151,487

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	93,401	96,520	94,786
5001	Total investments, EOY: Federal securities: Par value	96,520	94,786	97,977

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	145,657	149,619	151,754
Outlays	147,815	149,539	151,487
Legislative proposal, not subject to PAYGO:			
Budget Authority			-290
Outlays			-290
Total:			
Budget Authority	145,657	149,619	151,464
Outlays	147,815	149,539	151,197

The Disability Insurance (DI) program provides monthly cash benefits for disabled workers who have not yet attained their normal retirement age, and for their dependents.

DI Cash Outgo Detail

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Benefit Payments	144,852	146,462	148,734
Payments to the Railroad Board	66	118	76
Administrative Expenses (Subject to Limitation)	2,642	2,691	2,399
Treasury Administrative Expenses	97	88	90
Beneficiary Services	155	168	178
Demonstration Projects	14	12	10
Prior Year Employment Tax Receipts Refund	-11	0	0
Increase the Overpayment Collection Threshold	0	0	-6
Exclude SSA Debts from Discharge in Bankruptcy	0	0	-2
Reduce 12 Month Retroactive DI Benefits to 6 Months	0	0	-282
Total Outgo	147,815	149,539	151,197

Status of Funds (in millions of dollars)

Identification code 028-8007-0-7-651	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	93,142	96,409	94,785
0298 Adjustment to reconcile to proprietary accounting	-1		
0999 Total balance, start of year	93,141	96,409	94,785
Cash income during the year:			
Current law:			
Receipts:			
1110 FDI, Transfers from General Fund (FICA Taxes)	136,646	133,779	139,653
1110 FDI, Transfers from General Fund (SECA Taxes)	8,069	7,241	7,726
1110 FDI, Refunds	-694	-560	-591
1130 Federal Disability Insurance Trust Fund	11		
1130 Attorney Fees, Federal Disability Insurance Trust Fund	25	25	26
1130 FDI, Tax Refund Offset	88	88	88
1150 FDI, Interest Received by Trust Funds	2,917	2,819	2,797
1160 FDI, Federal Employer Contributions (FICA Taxes)	2,817	2,778	2,886
1160 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312)	2	1	
1160 FDI, Federal Payments to the FDI Trust Fund	1,213	1,660	1,764
1199 Income under present law	151,094	147,831	154,349
Proposed:			
1210 FDI, Transfers from General Fund (FICA Taxes)			-24
1299 Income proposed			-24
1999 Total cash income	151,094	147,831	154,325
Cash outgo during year:			
Current law:			
2100 Federal Disability Insurance Trust Fund (Budget Acct)	-147,826	-149,539	-151,487
2199 Outgo under current law	-147,826	-149,539	-151,487
Proposed:			
2200 Federal Disability Insurance Trust Fund			290
2299 Outgo under proposed legislation			290
2999 Total cash outgo (-)	-147,826	-149,539	-151,197
Surplus or deficit:			
3110 Excluding interest	351	-4,527	331
3120 Interest	2,917	2,819	2,797
3199 Subtotal, surplus or deficit	3,268	-1,708	3,128
3230 Federal Disability Insurance Trust Fund		84	39
3299 Total adjustments		84	39
3999 Total change in fund balance	3,268	-1,624	3,167
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	-111	-1	-25
4200 Federal Disability Insurance Trust Fund	96,520	94,786	97,977
4999 Total balance, end of year	96,409	94,785	97,952

Object Classification (in millions of dollars)

Identification code 028-8007-0-7-651	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Beneficiary Services (VR & Tickets)	157	168	178
25.3 Other purchases of goods and services from Government accounts (Treasury Admin)	97	88	90
25.3 Other purchases of goods and services from Government accounts (RRB)	66	118	76

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued
Object Classification—Continued

Identification code 028-8007-0-7-651	2019 actual	2020 est.	2021 est.
25.5 Research and development contracts	4	8	7
42.0 Disability insurance benefits	142,565	146,546	148,989
94.0 Financial transfers (OIG)	35	34	36
94.0 Financial transfers (LAE)	2,744	2,741	2,417
99.9 Total new obligations, unexpired accounts	145,668	149,703	151,793

FEDERAL DISABILITY INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-8007-2-7-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Disability Insurance Trust Fund (direct)			-363
0002 Financial transfer (Ticket to Work)			73
0900 Total new obligations, unexpired accounts			-290
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-290
1930 Total budgetary resources available			-290
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-290
3020 Outlays (gross)			290
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-290
Outlays, gross:			
4100 Outlays from new mandatory authority			-290
4180 Budget authority, net (total)			-290
4190 Outlays, net (total)			-290

Object Classification (in millions of dollars)

Identification code 028-8007-2-7-651	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0 Insurance claims and indemnities			-363
94.0 Financial transfers			73
99.9 Total new obligations, unexpired accounts			-290

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$20,000 for official reception and representation expenses, not more than **[\$12,739,945,000] \$13,215,473,000** may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to in such section or from the fees authorized by the fifth paragraph under this heading, except that any amounts derived from such fees are only available for the issuance of replacement Social Security cards: *Provided*, That not less than **[\$2,500,000] \$2,700,000** shall be for the Social Security Advisory Board: *Provided further*, That \$45,000,000 shall remain available until expended for information technology modernization, including related hardware and software infrastructure and equipment, and for administrative expenses directly associated with information technology modernization: *Provided further*, That \$100,000,000 shall remain available through September 30, 2021, for activities to address the disability hearings backlog within the Office of Hearings Operations: *Provided further*, That unobligated balances of funds provided under this paragraph at the end of fiscal year **[2020] 2021** not needed for fiscal year **[2020] 2021** shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: *Provided further*, That the Commissioner of Social Security shall notify the Committees on Appropriations of the House of

Representatives and the Senate prior to making unobligated balances available under the authority in the previous proviso: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to 5 U.S.C. 7131, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

Of the total amount made available in the first paragraph under this heading, not more than **[\$1,582,000,000] \$1,575,000,000**, to remain available through March 31, **[2021] 2022**, is for the costs associated with continuing disability reviews under titles II and XVI of the Social Security Act, including work-related continuing disability reviews to determine whether earnings derived from services demonstrate an individual's ability to engage in substantial gainful activity, for the cost associated with conducting redeterminations of eligibility under title XVI of the Social Security Act, for the cost of co-operative disability investigation units, and for the cost associated with the prosecution of fraud in the programs and operations of the Social Security Administration by Special Assistant United States Attorneys: *Provided*, That, of such amount, \$273,000,000 is provided to meet the terms of section 251(b)(2)(B)(ii)(III) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, and **[\$1,309,000,000] \$1,302,000,000** is additional new budget authority specified for purposes of section 251(b)(2)(B) of such Act: *Provided further*, That, of the additional new budget authority described in the preceding proviso, up to **[\$10,000,000] \$11,200,000** may be transferred to the "Office of Inspector General", Social Security Administration, for the cost of jointly operated co-operative disability investigation units: *Provided further*, That such transfer authority is in addition to any other transfer authority provided by law: *Provided further*, That the Commissioner shall provide to the Congress (at the conclusion of the fiscal year) a report on the obligation and expenditure of these funds, similar to the reports that were required by section 103(d)(2) of Public Law 104-121 for fiscal years 1996 through 2002.

In addition, **[\$130,000,000] \$135,000,000** to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended: *Provided*, That to the extent that the amounts collected pursuant to such sections in fiscal year **[2020] 2021** exceed **[\$130,000,000] \$135,000,000**, the amounts shall be available in fiscal year **[2021] 2022** only to the extent provided in advance in appropriations Acts.

In addition, up to \$1,000,000 to be derived from fees collected pursuant to section 303(c) of the Social Security Protection Act, which shall remain available until expended.

The Commissioner of Social Security shall charge a \$25 fee for the issuance of a replacement Social Security card if an individual requests the issuance of such card in a field office of the Social Security Administration and a \$7 fee if an individual requests the issuance of such card online: Provided, That any fees collected pursuant to the matter preceding this proviso shall be deposited in this account and shall be available subject to the restrictions in the first paragraph under this heading: Provided further, That the Commissioner may not charge such a fee for the issuance of a new Social Security card. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 028-8704-0-7-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 LAE Program Direct	10,923	11,350	11,657
0003 National Support Center	2		
0004 PI Additional 18/19	141		
0005 Program Integrity Base	273	273	273
0006 Program Integrity Cap Adjustment	1,332	1,310	1,288
0007 MACRA	1		
0008 Altmeyer	2	21	7
0009 OHO Anomaly (955) 18/19	94		
0010 OIG PI Transfer	10	10	11
0012 IT Modernization	138	113	49
0013 OHO Hearings Backlog 19/20	10	90	
0014 SSN Fees			270
0015 OHO Hearings Backlog (20/21)		100	
0799 Total direct obligations	12,926	13,267	13,555
0801 Reimbursable activity, general	52	76	60
0802 Low Income Subsidy		6	6
0809 Reimbursable program activities, subtotal	52	82	66
0899 Total reimbursable obligations	52	82	66

0900	Total new obligations, unexpired accounts	12,978	13,349	13,621
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	623	610	204
1001	Discretionary unobligated balance brought fwd, Oct 1	585		
1012	Unobligated balance transfers between expired and unexpired accounts [ITS Transfers]	193	-4	150
1021	Recoveries of prior year unpaid obligations [X Year]	8		
1033	Recoveries of prior year paid obligations	5		
1050	Unobligated balance (total)	829	606	354
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected - LAE Direct	9,109	11,144	11,506
1700	Collected - Program Integrity Base	273	273	273
1700	Collected - Program Integrity Cap Adjustment	1,332	1,310	1,288
1700	Collected - Altmeyer	24	21	7
1700	Collected - Reimbursables	52	76	60
1700	Collected - NSC	2		
1700	Collected - AIF/ITS	67	206	150
1700	Collected - OIG PI Transfer	9	10	11
1700	Collected - Additional PI 18/19	141		
1700	Collected - OHO Anomaly (955) 18/19	92		
1700	Collected - IT Modernization	48	113	49
1700	Collected - OHO Anomaly 19/20	10	90	
1700	Collected - SSN Fees			270
1700	Collected - OHO Hearings Backlog (20/21)		100	
1701	Change in uncollected payments, Federal sources	1,764	-396	-203
1750	Spending auth from offsetting collections, disc (total)	12,923	12,947	13,411
Spending authority from offsetting collections, mandatory:				
1800	Collected	1	6	6
1801	Change in uncollected payments, Federal sources	-1	-6	-6
1900	Budget authority (total)	12,923	12,947	13,411
1930	Total budgetary resources available	13,752	13,553	13,765
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-164		
1941	Unexpired unobligated balance, end of year	610	204	144
Special and non-revolving trust funds:				
1951	Unobligated balance expiring	164		
1952	Expired unobligated balance, start of year	332	450	450
1953	Expired unobligated balance, end of year	286	450	450
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,803	2,813	3,311
3010	New obligations, unexpired accounts	12,978	13,349	13,621
3011	Obligations ("upward adjustments"), expired accounts	106		
3020	Outlays (gross)	-12,890	-12,851	-13,462
3040	Recoveries of prior year unpaid obligations, unexpired	-8		
3041	Recoveries of prior year unpaid obligations, expired	-176		
3050	Unpaid obligations, end of year	2,813	3,311	3,470
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3,779	-3,825	-3,423
3070	Change in uncollected pymts, Fed sources, unexpired	-1,763	402	209
3071	Change in uncollected pymts, Fed sources, expired	1,717		
3090	Uncollected pymts, Fed sources, end of year	-3,825	-3,423	-3,214
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-976	-1,012	-112
3200	Obligated balance, end of year	-1,012	-112	256
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	12,923	12,947	13,411
Outlays, gross:				
4010	Outlays from new discretionary authority	10,640	10,862	11,246
4011	Outlays from discretionary balances	2,249	1,983	2,210
4020	Outlays, gross (total)	12,889	12,845	13,456
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources - LAE Direct	-10,853	-11,144	-11,506
4030	Federal sources - NCC Replacement	-2		
4030	Federal sources - Program Integrity Base	-273	-273	-273
4030	Federal sources - Reimbursable	-52	-76	-60
4030	Federal sources - Program Integrity Cap	-1,332	-1,310	-1,288
4030	Federal sources - Altmeyer Renovations	-24	-21	-7
4030	Federal sources - OHO Anomaly (955) 18/19	-92		
4030	Federal sources - AIF/ITS		-206	-150
4030	Federal sources - OIG PI Transfer	-9	-10	-11
4030	Federal sources - PI Additional 18/19	-141		
4030	Federal sources - OHO Anomaly 19/20	-10	-90	
4030	Federal sources - IT Modernization	-48	-113	-49
4030	Federal sources - SSN Fees			-270
4030	Federal sources - OHO Hearings Backlog 20/21		-100	

4033	Non-Federal sources	-122		
4040	Offsets against gross budget authority and outlays (total)	-12,958	-13,343	-13,614
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1,764	396	203
4052	Offsetting collections credited to expired accounts	1,794		
4053	Recoveries of prior year paid obligations, unexpired accounts	5		
4060	Additional offsets against budget authority only (total)	35	396	203
4080	Outlays, net (discretionary)	-69	-498	-158
Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances	1	6	6
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1	-6	-6
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	1	6	6
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-69	-498	-158

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Outlays	-69	-498	-158
Legislative proposal, not subject to PAYGO:			
Outlays			-52
Total:			
Outlays	-69	-498	-210

The Limitation on Administrative Expenses (LAE) account provides resources for Social Security to administer the Old-Age and Survivors Insurance (OASI) and Disability Insurance (DI) programs, the Supplemental Security Income (SSI) program, the Special Benefits for Certain World War II Veterans program, and certain health insurance functions for the aged and disabled. Public Law 114-10 prohibits displaying, coding, or embedding Social Security numbers on a beneficiary's Medicare card. In order to fund implementation costs to comply with this provision, SSA received \$98 million funded incrementally from FY 2015 to FY 2018.

The request in 2021 for program integrity activities builds on SSA's success in reducing program costs by ensuring that only individuals still eligible for benefits continue to receive them. The agency uses continuing disability reviews (CDR) to determine whether an individual continues to qualify for DI and/or the SSI program. SSA estimates that medical CDRs conducted in FY 2021 will yield a return on investment (ROI) of about \$8 on average in net Federal program savings over 10 years per \$1 budgeted for dedicated program integrity funding, including OASDI, SSI, Medicare and Medicaid program effects. Similarly, SSA estimates indicate that SSI non-medical redeterminations conducted in FY 2021 will yield a ROI of about \$3 on average of net Federal program savings over 10 years per \$1 budgeted for dedicated program integrity funding, including SSI and Medicaid program effects.

The Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, authorizes adjustments to the discretionary spending limits through 2021 for administrative program integrity activities at the Social Security Administration. The Budget includes the authorized \$1,302 million cap adjustment for 2021. To ensure full funding of the cost increases, this cap adjustment is permissible only if the base level for CDRs and SSI redeterminations is funded at \$273 million. The cap adjustment may also fund cooperative disability investigation units, and Special Assistant U.S. Fraud Attorneys. To continue to support these important anti-fraud activities, appropriations language provides for SSA to transfer up to \$11.2 million to the SSA Office of the Inspector General to fund CDI unit team leaders. SSA will conduct 690,000 full medical CDRs and approximately 2.0 million SSI redeterminations of eligibility in 2021. With access to program integrity cap adjustment levels, SSA is on track to remain current with program integrity workloads throughout the budget window. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

The Budget proposes offsetting collections from fees for replacement Social Security cards (estimated at \$270 million in FY 2021).

Object Classification (in millions of dollars)

Identification code 028-8704-0-7-651	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4,836	5,157	5,176
11.3 Other than full-time permanent	102	110	111
11.5 Other personnel compensation	336	146	168
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	5,276	5,415	5,457
12.1 Civilian personnel benefits	1,757	1,973	2,107
13.0 Benefits for former personnel	2	3	3
21.0 Travel and transportation of persons	22	14	14
22.0 Transportation of things	7	5	5
23.1 Rental payments to GSA	708	748	758
23.3 Communications, utilities, and miscellaneous charges	498	526	537
24.0 Printing and reproduction	36	25	24
25.1 Advisory and assistance services	100	87	85
25.2 Other services from non-Federal sources	2,680	2,832	2,938
25.3 Other goods and services from Federal sources	156	112	122
25.4 Operation and maintenance of facilities	363	259	265
25.7 Operation and maintenance of equipment	906	919	893
26.0 Supplies and materials	43	28	27
31.0 Equipment	188	182	177
32.0 Land and structures	79	75	80
41.0 Grants, subsidies, and contributions	53	36	35
42.0 Insurance claims and indemnities	42	28	28
94.0 Financial transfers	10		
99.0 Direct obligations	12,926	13,267	13,555
99.0 Reimbursable obligations	52	82	66
99.9 Total new obligations, unexpired accounts	12,978	13,349	13,621

Employment Summary

Identification code 028-8704-0-7-651	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	60,470	60,756	60,318
2001 Reimbursable civilian full-time equivalent employment	224	358	358

LIMITATION ON ADMINISTRATIVE EXPENSES
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 028-8704-2-7-651	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity (Ticket to Work Proposal)			-34
0002 WEP-GPO Admin. Funds			18
0016 TTW financial transfer to 0172			11
0017 TTW financial transfer to 0174			23
0900 Total new obligations, unexpired accounts			18
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting Collections (Reimbursables)			70
1900 Budget authority (total)			70
1930 Total budgetary resources available			70
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			52

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			18
3020 Outlays (gross)			-18

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross			70
Outlays, gross:			
4100 Outlays from new mandatory authority			18
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources			-70
4180 Budget authority, net (total)			
4190 Outlays, net (total)			-52

Object Classification (in millions of dollars)

Identification code 028-8704-2-7-651	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			9
12.1 Civilian personnel benefits			8
25.2 Other services from non-Federal sources			-34
94.0 Financial transfers (TTW 0172)			11
94.0 Financial transfers (TTW 0174)			23
99.0 Direct obligations			17
99.0 Reimbursable obligations			1
99.9 Total new obligations, unexpired accounts			18

Employment Summary

Identification code 028-8704-2-7-651	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			180

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
028-241700 SSI, Attorney Fees	8	8	8
075-241800 Receipts from SSI Administrative Fee	89	88	88
028-309600 Recovery of Beneficiary Overpayments from SSI Program	2,850	2,868	2,918
General Fund Offsetting receipts from the public	2,947	2,964	3,014

COMMISSIONER'S BUDGET

As directed by Section 104 of Public Law 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for SSA, which shall be submitted by the President to the Congress without revision, together with the President's request for SSA. The Commissioner's budget includes \$14,004 million for total administrative discretionary resources in 2021. This represents \$13,804 million for SSA administrative expenses, \$79 million in research, and \$121 million for the Office of the Inspector General.

OTHER INDEPENDENT AGENCIES

ACCESS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, \$9,200,000: *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses: *Provided further*, That of this amount, \$800,000 shall be for activities authorized under section 432 of Public Law 115-254. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 310-3200-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	8	9	9
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	9	9
1930 Total budgetary resources available	8	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts	8	9	9
3020 Outlays (gross)	-9	-9	-9
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	7	8	8
4011 Outlays from discretionary balances	2	1	1
4020 Outlays, gross (total)	9	9	9
4180 Budget authority, net (total)	8	9	9
4190 Outlays, net (total)	9	9	9

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

Object Classification (in millions of dollars)

Identification code 310-3200-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1

25.1	Advisory and assistance services	1	1	2
25.3	Other goods and services from Federal sources	2	2	1
99.9	Total new obligations, unexpired accounts	8	9	9

Employment Summary

Identification code 310-3200-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	26	34	34

ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., **[\$3,250,000] \$3,500,000**, to remain available until September 30, **[2021] 2022**, of which not to exceed \$1,000 is for official reception and representation expenses. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 302-1700-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	3	3	4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	4
1930 Total budgetary resources available	3	3	4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3	3	4
3020 Outlays (gross)	-3	-3	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	3
4011 Outlays from discretionary balances	1	1
4020 Outlays, gross (total)	3	3	4
4180 Budget authority, net (total)	3	3	4
4190 Outlays, net (total)	3	3	4

The Administrative Conference of the United States is an independent agency that assists the President, the Congress, the Judicial Conference, and Federal agencies in improving the regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies, promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

Object Classification (in millions of dollars)

Identification code 302-1700-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.1 Advisory and assistance services	1	1	2
99.0 Direct obligations	3	3	4
99.9 Total new obligations, unexpired accounts	3	3	4

SALARIES AND EXPENSES—Continued
Employment Summary

Identification code 302-1700-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	14	14

Object Classification (in millions of dollars)

Identification code 306-2300-0-1-303	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
23.2 Rental payments to others	1	1	1
25.3 Other goods and services from Federal sources	1	2	2
99.0 Direct obligations	6	7	7
99.0 Reimbursable obligations	1	1	1
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	8	9	9

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665), **[\$7,378,000] \$7,400,000.** (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 306-2300-0-1-303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	7	7	7
0801 Salaries and Expenses (Reimbursable)	1	2	2
0900 Total new obligations, unexpired accounts	8	9	9

Employment Summary

Identification code 306-2300-0-1-303	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	35	37	37
2001 Reimbursable civilian full-time equivalent employment	6	6	6

ALYCE SPOTTED BEAR AND WALTER SOBOLEFF
COMMISSION ON NATIVE CHILDREN

Federal Funds

ALYCE SPOTTED BEAR AND WALTER SOBOLEFF COMMISSION ON NATIVE CHILDREN

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses of the Alyce Spotted Bear and Walter Soboleff Commission on Native Children (referred to in this paragraph as the "Commission"), \$500,000, to remain available until September 30, 2021: *Provided*, That amounts made available to the Commission under the heading "Department of the Interior-Departmental Operations-Office of the Secretary-Departmental Operations" in division E of the Consolidated Appropriations Act, 2019 (Public Law 116-6) may be transferred to or merged with such amounts: *Provided further*, That in addition to the authority provided by section 3(g)(5) and 3(h) of Public Law 114-244, the Commission may hereafter accept in-kind personnel services, contractual support, or any appropriate support from any executive branch agency for activities of the Commission. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 545-2987-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity			1
0900 Total new obligations, unexpired accounts (object class 99.5)			1

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		1	
1930 Total budgetary resources available		1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		1	

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			1
3020 Outlays (gross)			-1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross		1	
Outlays, gross:			
4011 Outlays from discretionary balances			1
4180 Budget authority, net (total)		1	
4190 Outlays, net (total)			1

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3		
3010 New obligations, unexpired accounts	8	9	9
3020 Outlays (gross)	-11	-9	-9
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2		-1
3070 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year		-1	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		-1
3200 Obligated balance, end of year		-1	-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	7	9	9
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	9	9	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1	-1	-1
4070 Budget authority, net (discretionary)	7	7	7
4080 Outlays, net (discretionary)	8	8	8
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	2		
4180 Budget authority, net (total)	7	7	7
4190 Outlays, net (total)	10	8	8

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

The Alyce Spotted Bear and Walter Soboleff Commission on Native Children was established by Public Law 114-244 to conduct a comprehensive study of Federal, State, local and tribal programs that serve Native

children, and to make recommendations on ways those programs can be improved. The Commission receives support from Federal agencies, including the Department of the Interior, and will utilize available resources for its ongoing activities.

APPALACHIAN REGIONAL COMMISSION

Federal Funds

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding 40 U.S.C. 14704, and for expenses necessary for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, **[\$175,000,000]** \$165,000,000, to remain available until expended. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Area development and technical assistance program	162	172	172
0103 Local development districts program	7	7	7
0191 Total Appalachian regional development programs	169	179	179
0201 Federal co-chairman and staff	1	1	1
0202 Administrative expenses	6	6	6
0203 Programmatic Salaries and Expenses	3	3	3
0291 Total salaries and expenses	10	10	10
0799 Total direct obligations	179	189	189
0801 Reimbursable program activity	3	3	3
0900 Total new obligations, unexpired accounts	182	192	192

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	90	85	81
1001 Discretionary unobligated balance brought fwd, Oct 1	90	85	
1021 Recoveries of prior year unpaid obligations	9	9	9
1050 Unobligated balance (total)	99	94	90
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	165	175	165
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1900 Budget authority (total)	168	179	169
1930 Total budgetary resources available	267	273	259
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	85	81	67

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	293	355	331
3010 New obligations, unexpired accounts	182	192	192
3020 Outlays (gross)	-111	-207	-226
3040 Recoveries of prior year unpaid obligations, unexpired	-9	-9	-9
3050 Unpaid obligations, end of year	355	331	288
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	293	355	331
3200 Obligated balance, end of year	355	331	288

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	165	176	166
Outlays, gross:			
4010 Outlays from new discretionary authority	28	58	55
4011 Outlays from discretionary balances	80	146	168
4020 Outlays, gross (total)	108	204	223
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4040 Offsets against gross budget authority and outlays (total)		-1	-1

Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	3
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3	-3	-3
4180 Budget authority, net (total)	165	175	165
4190 Outlays, net (total)	108	203	222

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420-county Appalachian Region. The Commission is comprised of 13 members representing the states in the region and a Federal Co-Chairman, who represents the Federal Government. ARC's mission is to help the Appalachian Region plan and coordinate regional investments and target resources to those communities with the greatest needs by innovating, partnering, and investing to build community capacity and strengthening economic growth.

ARC's activities include area development, technical assistance, capacity-building, research, and coordination of regional investments and initiatives. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic and community development strategies. In addition, ARC administers a competitive grant program for communities adversely impacted by the declining use of coal to develop economic diversification activities in emerging opportunity sectors.

Salaries and expenses.—In this Federal-State partnership, the Federal Government supports the Federal staff and contributes half of the non-Federal administrative expenses. The other half of these expenses are provided by member States.

Object Classification (in millions of dollars)

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	9	9	9
41.0 Grants, subsidies, and contributions	169	179	179
99.0 Direct obligations	179	189	189
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	182	192	192

Employment Summary

Identification code 309-0200-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	6	6

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	40	40	40
Receipts:			
Current law:			
1140 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation	2	2	2
2000 Total: Balances and receipts	42	42	42
Appropriations:			
Current law:			
2101 Barry Goldwater Scholarship and Excellence in Education Foundation	-2	-2	-2
5099 Balance, end of year	40	40	40

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION
FOUNDATION—Continued

Program and Financing (in millions of dollars)

Identification code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Barry Goldwater Scholarship and Excellence in Education Foundation	2	2	2
0002 Scholarship Grant Funding	2	2	2
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	30	37	35
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
Spending authority from offsetting collections, mandatory:			
1800 Collected	9		
1900 Budget authority (total)	11	2	2
1930 Total budgetary resources available	41	39	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37	35	33
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			2
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-2	-2
3050 Unpaid obligations, end of year		2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			2
3200 Obligated balance, end of year		2	4
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	4	2	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-9		
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	-5	2	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	68	69	69
5001 Total investments, EOY: Federal securities: Par value	69	69	69

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is a significant permanent tribute to the late Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue research careers in mathematics, the natural sciences and engineering. The Foundation supports between 250 and 500 scholarships annually.

Object Classification (in millions of dollars)

Identification code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 313-8281-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	3	2

BUREAU OF CONSUMER FINANCIAL PROTECTION

Federal Funds

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	9
Receipts:			
Current law:			
1110 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund	468	580	595
1140 Earnings on Investments, Bureau of Consumer Financial Protection Fund	8	8	8
1199 Total current law receipts	476	588	603
Proposed:			
1210 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund			-110
1999 Total receipts	476	588	493
2000 Total: Balances and receipts	477	589	502
Appropriations:			
Current law:			
2101 Bureau of Consumer Financial Protection Fund	-476	-580	-595
2101 Bureau of Consumer Financial Protection Fund		-34	
2132 Bureau of Consumer Financial Protection Fund		34	
2199 Total current law appropriations	-476	-580	-595
Proposed:			
2201 Bureau of Consumer Financial Protection Fund			110
2999 Total appropriations	-476	-580	-485
5099 Balance, end of year	1	9	17

Program and Financing (in millions of dollars)

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consumer Financial Protection Bureau	507	577	592
0100 Direct program activities, subtotal	507	577	592
0808 Reimbursable program activity	3	3	3
0809 Reimbursable program activities, subtotal	3	3	3
0900 Total new obligations, unexpired accounts	510	580	595
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	67	78
1021 Recoveries of prior year unpaid obligations	33	8	8
1033 Recoveries of prior year paid obligations	9		
1050 Unobligated balance (total)	98	75	86
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	476	580	595
1201 Appropriation (special or trust fund)		34	
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		-34	
1260 Appropriations, mandatory (total)	476	580	595
Spending authority from offsetting collections, mandatory:			
1800 Collected	4	4	4
1801 Change in uncollected payments, Federal sources	-1	-1	-1
1850 Spending auth from offsetting collections, mand (total)	3	3	3
1900 Budget authority (total)	479	583	598
1930 Total budgetary resources available	577	658	684
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	67	78	89

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	206	190	225
3010 New obligations, unexpired accounts	510	580	595
3020 Outlays (gross)	-493	-537	-556
3040 Recoveries of prior year unpaid obligations, unexpired	-33	-8	-8
3050 Unpaid obligations, end of year	190	225	256
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-1

3070	Change in uncollected pymts, Fed sources, unexpired	1	1	1
3090	Uncollected pymts, Fed sources, end of year	-2	-1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	203	188	224
3200	Obligated balance, end of year	188	224	256

Budget authority and outlays, net:

Mandatory:

4090	Budget authority, gross	479	583	598
Outlays, gross:				
4100	Outlays from new mandatory authority	236	347	420
4101	Outlays from mandatory balances	257	190	136
4110	Outlays, gross (total)	493	537	556
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-4	-3	-3
4123	Non-Federal sources	-9	-1	-1
4130	Offsets against gross budget authority and outlays (total)	-13	-4	-4
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	1	1	1
4143	Recoveries of prior year paid obligations, unexpired accounts	9
4150	Additional offsets against budget authority only (total)	10	1	1
4160	Budget authority, net (mandatory)	476	580	595
4170	Outlays, net (mandatory)	480	533	552
4180	Budget authority, net (total)	476	580	595
4190	Outlays, net (total)	480	533	552

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	233	237	293
5001	Total investments, EOY: Federal securities: Par value	237	293	335

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	476	580	595
Outlays	480	533	552
Legislative proposal, subject to PAYGO:			
Budget Authority	-110
Outlays	-110
Total:			
Budget Authority	476	580	485
Outlays	480	533	442

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) as an independent bureau in the Federal Reserve System. The Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to conduct rulemaking, supervision, and enforcement. Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These amounts are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

Object Classification (in millions of dollars)

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	219	247	270
12.1 Civilian personnel benefits	82	95	104
21.0 Travel and transportation of persons	15	17	17
23.1 Rental payments to GSA	5	5	2
23.2 Rental payments to others	1	2	2
23.3 Communications, utilities, and miscellaneous charges	8	11	11
24.0 Printing and reproduction	4	5	5
25.1 Advisory and assistance services	82	105	96
25.2 Other services from non-Federal sources	12	17	13
25.3 Other goods and services from Federal sources	44	43	44
25.7 Operation and maintenance of equipment	5	6	6
26.0 Supplies and materials	4	5	5
31.0 Equipment	21	19	17

32.0	Land and structures	5
99.0	Direct obligations	507	577	592
99.0	Reimbursable obligations	3	3	3
99.9	Total new obligations, unexpired accounts	510	580	595

Employment Summary

Identification code 581-5577-0-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,465	1,551	1,614

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consumer Financial Protection Bureau	-58
0100 Direct program activities, subtotal	-58
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	-110
1900 Budget authority (total)	-110
1930 Total budgetary resources available	-110
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	-52

Change in obligated balance:

Unpaid obligations:

3010 New obligations, unexpired accounts	-58
3020 Outlays (gross)	110
3050 Unpaid obligations, end of year	52
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	52

Budget authority and outlays, net:

Mandatory:

4090 Budget authority, gross	-110
Outlays, gross:			
4100 Outlays from new mandatory authority	-110
4180 Budget authority, net (total)	-110
4190 Outlays, net (total)	-110

The Budget proposes to restructure the Consumer Financial Protection Bureau (CFPB), limit its mandatory funding in 2021, and provide discretionary appropriations beginning in 2022.

Object Classification (in millions of dollars)

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	-41
12.1 Civilian personnel benefits	-17
99.9 Total new obligations, unexpired accounts	-58

Employment Summary

Identification code 581-5577-4-2-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	-243

CONSUMER FINANCIAL CIVIL PENALTY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 581-5578-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	8

CONSUMER FINANCIAL CIVIL PENALTY FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 581-5578-0-2-376	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 Penalties and Fines, Consumer Financial Protection	131	3
2000 Total: Balances and receipts	131	11
Appropriations:			
Current law:			
2101 Consumer Financial Civil Penalty Fund	-131	-3
2103 Consumer Financial Civil Penalty Fund	-8
2132 Consumer Financial Civil Penalty Fund	8
2199 Total current law appropriations	-123	-11
2999 Total appropriations	-123	-11
5099 Balance, end of year	8

Program and Financing (in millions of dollars)

Identification code 581-5578-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Civil Penalty Payments	2	145	48
0900 Total new obligations, unexpired accounts (object class 25.2)	2	145	48

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	638	769	635
1033 Recoveries of prior year paid obligations	10
1050 Unobligated balance (total)	648	769	635
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	131	3
1203 Appropriation (previously unavailable)(special or trust)	8
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-8
1260 Appropriations, mandatory (total)	123	11
1930 Total budgetary resources available	771	780	635
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	769	635	587

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3
3010 New obligations, unexpired accounts	2	145	48
3020 Outlays (gross)	-2	-148	-48
3050 Unpaid obligations, end of year	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3
3200 Obligated balance, end of year	3

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	123	11
Outlays, gross:			
4101 Outlays from mandatory balances	2	148	48
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-10
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	10
4160 Budget authority, net (mandatory)	123	11
4170 Outlays, net (mandatory)	-8	148	48
4180 Budget authority, net (total)	123	11
4190 Outlays, net (total)	-8	148	48

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties obtained in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer

financial laws. Obligations related to victim compensation are contingent upon identifying the specific victims qualifying for payments.

CENTRAL INTELLIGENCE AGENCY

Federal Funds

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000. (Department of Defense Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 056-3400-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Personnel benefits	514	514	514
0900 Total new obligations, unexpired accounts (object class 13.0)	514	514	514

Budgetary resources:

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	514	514	514
1930 Total budgetary resources available	514	514	514

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	514	514	514
3020 Outlays (gross)	-514	-514	-514

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	514	514	514
Outlays, gross:			
4100 Outlays from new mandatory authority	514	514	514
4180 Budget authority, net (total)	514	514	514
4190 Outlays, net (total)	514	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$4.3 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget proposes \$514 million in 2021. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, [including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, \$12,000,000] \$10,200,000: Provided, That these funds shall be available only for the purposes of the closure of the Chemical Safety and Hazard Investigation Board (Board) [shall have not more than three career Senior Executive Service positions: Provided further, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board]: Provided further, That notwithstanding any other provision of law, [the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board] no-year funds made available to the Board under title III of Public Law 108-199 and title III of Public Law 108-447 may be used only if unforeseen costs of closure arise. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	11	12	10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	10
1930 Total budgetary resources available	13	13	11
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	4
3010 New obligations, unexpired accounts	11	12	10
3020 Outlays (gross)	-10	-12	-9
3050 Unpaid obligations, end of year	4	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	4
3200 Obligated balance, end of year	4	4	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	12	10
Outlays, gross:			
4010 Outlays from new discretionary authority	8	9	7
4011 Outlays from discretionary balances	2	3	2
4020 Outlays, gross (total)	10	12	9
4180 Budget authority, net (total)	12	12	10
4190 Outlays, net (total)	10	12	9

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and informing stakeholder discussions on chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other entities to implement Board recommendations. The President's Budget proposes to eliminate funding for several independent agencies, including the Chemical Safety and Hazard Investigation Board, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The amount requested will fund an orderly closeout of the agency beginning in 2021. As authorized by law, the Board will submit a concurrent request for 2021 to the Congress and OMB.

Object Classification (in millions of dollars)

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	5	5
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	4	6	6
12.1 Civilian personnel benefits	2	2	1
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	1	1	
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
99.0 Direct obligations	10	12	10
99.5 Adjustment for rounding	1		
99.9 Total new obligations, unexpired accounts	11	12	10

Employment Summary

Identification code 510-3850-0-1-304	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	31	47	47

COMMISSION OF FINE ARTS

Federal Funds

SALARIES AND EXPENSES

For expenses of the Commission of Fine Arts under chapter 91 of title 40, United States Code, \$3,240,000: *Provided*, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: *Provided further*, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study, or education: *Provided further*, That one-tenth of one percent of the funds provided under this heading may be used for official reception and representation expenses. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	3	3	3
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-4	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	4	3	3

The Commission advises the President, the Congress, and Department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

Object Classification (in millions of dollars)

Identification code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation: Full-time permanent			
11.1 Personnel compensation: Full-time permanent	1	1	1
12.1 Civilian personnel benefits	1	1	1
99.0 Direct obligations	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

SALARIES AND EXPENSES—Continued
Employment Summary

Identification code 323-2600-0-1-451	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956a), \$5,000,000. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 323-2602-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Capital Arts and Cultural Affairs (Direct)	3	5
0900 Total new obligations, unexpired accounts (object class 25.2)	3	5
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	5
1930 Total budgetary resources available	3	5

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	3	5
3020 Outlays (gross)	-3	-5

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	5
Outlays, gross:			
4010 Outlays from new discretionary authority	3	5
4180 Budget authority, net (total)	3	5
4190 Outlays, net (total)	3	5

No funding is requested for the National Capital Arts and Cultural Affairs Grant Program that is administered by the Commission of Fine Arts.

COMMISSION ON CIVIL RIGHTS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, [\$10,500,000] \$10,065,000: Provided, That none of the funds appropriated in this paragraph may be used to employ any individuals under Schedule C of subpart C of part 213 of title 5 of the Code of Federal Regulations exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: Provided further, That the Chair may accept and use any gift or donation to carry out the work of the Commission: Provided further, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by section 3 of the Civil Rights Commission Act of 1983 (42 U.S.C. 1975a). (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 326-1900-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	10	11	10
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	11	10
1930 Total budgetary resources available	10	11	10

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	10	11	10
3020 Outlays (gross)	-10	-11	-10
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	11	10
Outlays, gross:			
4010 Outlays from new discretionary authority	9	11	10
4011 Outlays from discretionary balances	1
4020 Outlays, gross (total)	10	11	10
4180 Budget authority, net (total)	10	11	10
4190 Outlays, net (total)	10	11	10

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

Object Classification (in millions of dollars)

Identification code 326-1900-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	5	5
12.1 Civilian personnel benefits	1	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	1	2	1
99.9 Total new obligations, unexpired accounts	10	11	10

Employment Summary

Identification code 326-1900-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	42	43	41

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled (referred to in this title as "the Committee") established under section 8502 of title 41, United States Code, [\$10,000,000] \$13,930,000: Provided, That in order to authorize any central nonprofit agency designated pursuant to section 8503(c) of title 41, United States Code, to perform requirements of the Committee as prescribed under section 51-3.2 of title 41, Code of Federal Regulations, the Committee shall enter into a written agreement with any such central nonprofit agency: Provided further, That such agreement shall contain such auditing, oversight, and reporting provisions as necessary to implement chapter 85 of title 41, United States Code: Provided further, That such agreement shall include the elements listed under the heading "Committee For Purchase From People Who Are Blind or Severely Disabled-Written Agreement Elements" in the explanatory statement described in section 4 of Public Law 114-113 (in the matter preceding division A of that consolidated Act): Provided further, That any such central nonprofit agency may not charge a fee under section 51-3.5 of title 41, Code of Federal Regulations,

prior to executing a written agreement with the Committee: *Provided further*, That no less than **[\$1,650,000]** \$2,300,000 shall be available for the Office of Inspector General. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 338-2000-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses	8	10	14
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	10	14
1930 Total budgetary resources available	8	10	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2		
3010 New obligations, unexpired accounts	8	10	14
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-10	-10	-13
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year			1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2		
3200 Obligated balance, end of year			1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	10	14
Outlays, gross:			
4010 Outlays from new discretionary authority	8	8	11
4011 Outlays from discretionary balances	2	2	2
4020 Outlays, gross (total)	10	10	13
4180 Budget authority, net (total)	8	10	14
4190 Outlays, net (total)	10	10	13

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission, hereafter "Commission") administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for people who are blind or have other significant disabilities. The Commission accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Commission then places such products and service requirements on the AbilityOne Procurement List, requiring Federal departments and agencies to procure the designated products and services from a network of approximately 500 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities. The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2018, approximately 45,000 AbilityOne employees earned a combined total of more than \$656 million in wages, with an average hourly wage of \$13.72. The AbilityOne Program continues to emphasize providing employment to veterans, with more than 3,000 employed in direct labor positions. More than 1,800 AbilityOne employees moved into competitive or supported employment in 2018 after gaining skills and experience on AbilityOne jobs.

While pursuing its core mission to increase employment opportunities for people who are blind or have other significant disabilities, the Commission is dedicated to effective stewardship and program integrity. The Commission continues to strengthen its Procurement List business processes and to enhance its oversight of AbilityOne Program participants. The resources proposed for 2021 will enable the Commission to continue implementing the requirements of the Consolidated Appropriations Act of 2016. These requirements include establishing and staffing an Office of Inspector

General for the AbilityOne Program. The requirements also include establishing and administering written agreements that govern the Commission's relationship with its designated central nonprofit agencies, evaluating reports and data from such central nonprofit agencies, and maintaining the Commission's compliance and operations capacity to oversee a national program with more than \$3.6 billion in annual sales of products and services to the Government.

Object Classification (in millions of dollars)

Identification code 338-2000-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	8
12.1 Civilian personnel benefits	1	1	2
25.1 Advisory and assistance services	2	2	4
99.0 Direct obligations	8	8	14
99.5 Adjustment for rounding		2	
99.9 Total new obligations, unexpired accounts	8	10	14

Employment Summary

Identification code 338-2000-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	36	40	57

COMMODITY FUTURES TRADING COMMISSION*Federal Funds***COMMODITY FUTURES TRADING COMMISSION**

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases), in the District of Columbia and elsewhere, **[\$284,000,000]** \$226,500,000, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, of which not less than \$20,000,000 shall remain available until September 30, **[2021]** 2022, and of which not less than **[\$3,200,000]** \$3,568,000 shall be for expenses of the Office of the Inspector General: *Provided*, That notwithstanding the limitations in 31 U.S.C. 1553, amounts provided under this heading are available for the liquidation of obligations equal to current year payments on leases entered into prior to the date of enactment of this Act: *Provided further*, That for the purpose of recording and liquidating any lease obligations that should have been recorded and liquidated against accounts closed pursuant to 31 U.S.C. 1552, and consistent with the preceding proviso, such amounts shall be transferred to and recorded in a no-year account in the Treasury, which has been established for the sole purpose of recording adjustments for and liquidating such unpaid obligations.

[In addition, for move, replication, and related costs associated with replacement leases for the Commission's facilities, not to exceed \$31,000,000, to remain available until expended.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses	190	258	200
0002 Information Technology	52		
0003 Inspector General	3	3	4
0004 Relocation Costs		31	
0900 Total new obligations, unexpired accounts	245	292	204
0910 Appropriations used to liquidate unpaid lease obligations	23	23	23
0911 Total new obligations, unexpired accounts; and lease payments	268	315	227
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	2		

COMMODITY FUTURES TRADING COMMISSION—Continued
Program and Financing—Continued

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
1050 Unobligated balance (total)	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	268	284	227
1100 Appropriation (Relocation Costs)		31	
1160 Appropriation, discretionary (total)	268	315	227
1901 Adjustment for new budget authority used to liquidate deficiencies	-23	-23	-23
1930 Total budgetary resources available	247	293	205
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	195	186	178
3010 New obligations, unexpired accounts	245	292	204
3011 Obligations ("upward adjustments"), expired accounts	1	1	1
3020 Outlays (gross)	-251	-301	-275
3040 Recoveries of prior year unpaid obligations, unexpired	-2		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	186	178	108
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	195	186	178
3200 Obligated balance, end of year	186	178	108
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	268	315	227
Outlays, gross:			
4010 Outlays from new discretionary authority	193	238	184
4011 Outlays from discretionary balances	58	63	91
4020 Outlays, gross (total)	251	301	275
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	268	315	227
4080 Outlays, net (discretionary)	250	301	275
4180 Budget authority, net (total)	268	315	227
4190 Outlays, net (total)	250	301	275
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year	-149	-126	-103
Change in deficiency during the year:			
7012 Budgetary resources used to liquidate deficiencies	23	23	23
7020 Unfunded deficiency, end of year	-126	-103	-80

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	268	315	227
Outlays	250	301	275
Legislative proposal, not subject to PAYGO:			
Outlays			-16
Total:			
Budget Authority	268	315	227
Outlays	250	301	259

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to: foster open, transparent, competitive, and financially sound markets; prevent and deter price manipulation and other disruptions to market integrity; and protect market participants and the public from fraud, exploitation, and abusive practices related to derivatives and other products that are subject to the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA). The CEA established a comprehensive regulatory structure to oversee the futures trading complex, commodity options trading, intermediaries, and swap dealer activities.

The Commission's regulatory landscape is continually changing. As a responsible regulator, the CFTC seeks to promote responsible innovation

and development that is consistent with its statutory mission to enhance the derivative trading markets. Further, the agency seeks to lower the systemic risk of the futures and swaps markets to the economy and the public.

The markets under the CFTC's regulatory purview are economically significant. In the United States, the CFTC regulates the markets for futures and options on futures with an estimated notional value of \$27 trillion and the swaps market with an estimated notional value of \$353 trillion.

Object Classification (in millions of dollars)

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	122	134	85
11.9 Total personnel compensation	122	134	85
12.1 Civilian personnel benefits	40	43	28
21.0 Travel and transportation of persons	1	2	2
22.0 Transportation of things		1	
23.1 Rental payments to GSA	1	20	
23.2 Rental payments to others	3	2	3
23.3 Communications, utilities, and miscellaneous charges	1	3	3
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	68	68	75
26.0 Supplies and materials	3	2	2
31.0 Equipment	5	16	5
99.9 Total new obligations, unexpired accounts	245	292	204
01.2 Rental payments to others	23	23	23
09.9 Total obligations, unexpired accounts; and lease payments	268	315	227

Employment Summary

Identification code 339-1400-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	657	707	717

COMMODITY FUTURES TRADING COMMISSION
(Legislative proposal, not subject to PAYGO)

Contingent upon the enactment of legislation authorizing the Commodity Futures Trading Commission to collect user fees to fund the Commission's activities, an additional \$77,500,000 shall be appropriated from the general fund: Provided, That fees and charges assessed by the Commission shall be credited to this appropriation as offsetting collections: Provided further, That not to exceed \$77,500,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2021 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2021 appropriation from the general fund estimated at not more than \$226,500,000.

Program and Financing (in millions of dollars)

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses			77
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected			77
1900 Budget authority (total)			77
1930 Total budgetary resources available			77
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			77
3020 Outlays (gross)			-61
3050 Unpaid obligations, end of year			16
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			16
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			77
Outlays, gross:			
4010 Outlays from new discretionary authority			61

	Offsets against gross budget authority and outlays:		
	Offsetting collections (collected) from:		
4034	Offsetting governmental collections		-77
4180	Budget authority, net (total)		
4190	Outlays, net (total)		-16

The Budget proposes legislation authorizing user fees to fund certain Commission activities, as specified by the CFTC, in line with nearly all other Federal financial and banking regulators. Contingent upon enactment of authorizing legislation, the Budget proposes collections of \$77.5 million to offset a portion of the CFTC's annual appropriation, providing total CFTC funding of \$304 million in FY 2021. CFTC fees would be designed in a way that supports market access, liquidity, and the efficiency of the Nation's derivatives markets.

Object Classification (in millions of dollars)

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			58
11.9 Total personnel compensation			58
12.1 Civilian personnel benefits			19
99.0 Direct obligations			77
99.9 Total new obligations, unexpired accounts			77

Employment Summary

Identification code 339-1400-2-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			290

CUSTOMER PROTECTION FUND**Program and Financing** (in millions of dollars)

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Customer Education Program	3	4	17
0002 Whistleblower Program	3	4	5
0003 Whistleblower Awards	15	25	25
0900 Total new obligations, unexpired accounts	21	33	47
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	159	141	111
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	36
1930 Total budgetary resources available	162	144	147
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	141	111	100
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	12	31
3010 New obligations, unexpired accounts	21	33	47
3020 Outlays (gross)	-14	-14	-36
3050 Unpaid obligations, end of year	12	31	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	12	31
3200 Obligated balance, end of year	12	31	42
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	36
Outlays, gross:			
4100 Outlays from new mandatory authority		2	36
4101 Outlays from mandatory balances	14	12	
4110 Outlays, gross (total)	14	14	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-3	-3	-2
4123 Non-Federal sources			-34

4130 Offsets against gross budget authority and outlays (total)	-3	-3	-36
4170 Outlays, net (mandatory)	11	11	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	11	11	

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	158	141	111
5001 Total investments, EOY: Federal securities: Par value	141	111	113

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended the Commodity Exchange Act (7 U.S.C. 1 et seq.) (CEA) to establish the Customer Protection Fund (Fund). The Fund is used to pay whistleblower awards, finance customer education initiatives, and administer the programs. The Dodd-Frank Act also authorized the Commodity Futures Trading Commission (Commission) to issue rules implementing incentives and protections for whistleblowers and to conduct customer education initiatives designed to help customers protect themselves against fraud and other violations of the CEA.

The Commission deposits monetary sanctions it collects in covered judicial or administrative actions into this revolving fund. The Commission may deposit such sanctions unless the balance in the Fund at the time the sanction is collected exceeds \$100 million. The Commission does not deposit restitution awarded to victims into the Fund.

The Commission is required to submit an annual report on the whistleblower award program and customer education initiatives to the Committee on Agriculture, Nutrition, and Forestry of the Senate and the Committee on Agriculture of the House of Representatives. The report includes: a description of the number of whistleblower awards granted, and the types of cases in which these awards were granted, during the preceding fiscal year; the balance in the Fund; the amounts credited to and paid from the Fund; and a complete set of audited financial statements.

Object Classification (in millions of dollars)

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	4	6
11.8 Special personal services payments	15	25	25
11.9 Total personnel compensation	18	29	31
12.1 Civilian personnel benefits	1	1	2
25.1 Advisory and assistance services	2	3	14
99.9 Total new obligations, unexpired accounts	21	33	47

Employment Summary

Identification code 339-4334-0-3-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	26	32

CONSUMER PRODUCT SAFETY COMMISSION**Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, **[\$132,500,000] \$135,000,000**, of which \$1,300,000 shall remain available until expended to carry out the program, including administrative costs, required by section 1405 of the Virginia Graeme Baker Pool and Spa Safety Act (Public Law 110-140; 15 U.S.C. 8004). (*Financial Services and General Government Appropriations Act, 2020.*)

SALARIES AND EXPENSES—Continued
Program and Financing (in millions of dollars)

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Consumer Product Safety - Direct	128	133	135
0100 Direct program activities, subtotal	128	133	135
0801 Consumer Product Safety - Reimbursable	3	3	3
0900 Total new obligations, unexpired accounts	131	136	138
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	127	133	135
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	3
1701 Change in uncollected payments, Federal sources	2		
1750 Spending auth from offsetting collections, disc (total)	3	3	3
1900 Budget authority (total)	130	136	138
1930 Total budgetary resources available	132	137	139
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	33	38	37
3010 New obligations, unexpired accounts	131	136	138
3020 Outlays (gross)	-124	-137	-138
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	38	37	37
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-2		
3071 Change in uncollected pymts, Fed sources, expired	3		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	36	35
3200 Obligated balance, end of year	36	35	35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	130	136	138
Outlays, gross:			
4010 Outlays from new discretionary authority	99	109	110
4011 Outlays from discretionary balances	25	28	28
4020 Outlays, gross (total)	124	137	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-3	-3
4040 Offsets against gross budget authority and outlays (total) ...	-3	-3	-3
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-2		
4052 Offsetting collections credited to expired accounts	2		
4070 Budget authority, net (discretionary)	127	133	135
4080 Outlays, net (discretionary)	121	134	135
4180 Budget authority, net (total)	127	133	135
4190 Outlays, net (total)	121	134	135

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. In FY 2021, CPSC will focus on the highest priority risks to consumers, adapt to the consumer marketplace changes resulting from the expansion of e-commerce, continue to emphasize import surveillance to better identify and stop non-compliant or defective products from entering the U.S. market, and expand the data analysis tools, data sources and types used to identify consumer product hazards.

Object Classification (in millions of dollars)

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	56	62	63
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	61	67	68
12.1 Civilian personnel benefits	19	19	19
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	8	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	15	18	18
25.3 Other goods and services from Federal sources	5	4	4
25.4 Operation and maintenance of facilities	1		
25.5 Research and development contracts	2		2
25.7 Operation and maintenance of equipment	8	8	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	1	1	
99.0 Direct obligations	128	133	135
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	131	136	138

Employment Summary

Identification code 061-0100-0-1-554	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	515	539	539

ADMINISTRATIVE PROVISION—CONSUMER PRODUCT SAFETY COMMISSION

【SEC. 501. During fiscal year 2020, none of the amounts made available by this Act may be used to finalize or implement the Safety Standard for Recreational Off-Highway Vehicles published by the Consumer Product Safety Commission in the Federal Register on November 19, 2014 (79 Fed. Reg. 68964) until after—

(1) the National Academy of Sciences, in consultation with the National Highway Traffic Safety Administration and the Department of Defense, completes a study to determine—

(A) the technical validity of the lateral stability and vehicle handling requirements proposed by such standard for purposes of reducing the risk of Recreational Off-Highway Vehicle (referred to in this section as "ROV") rollovers in the off-road environment, including the repeatability and reproducibility of testing for compliance with such requirements;

(B) the number of ROV rollovers that would be prevented if the proposed requirements were adopted;

(C) whether there is a technical basis for the proposal to provide information on a point-of-sale hangtag about a ROV's rollover resistance on a progressive scale; and

(D) the effect on the utility of ROVs used by the United States military if the proposed requirements were adopted; and

(2) a report containing the results of the study completed under paragraph (1) is delivered to—

(A) the Committee on Commerce, Science, and Transportation of the Senate;

(B) the Committee on Energy and Commerce of the House of Representatives;

(C) the Committee on Appropriations of the Senate; and

(D) the Committee on Appropriations of the House of Representatives.】

(Financial Services and General Government Appropriations Act, 2020.)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973 (referred to in this title as "1973 Act") and the National and Community Service Act of 1990 (referred to in this title as "1990 Act"), 【\$806,529,000, notwith-

standing sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act §30,105,000: Provided, That [of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$17,538,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; (3) \$32,500,000 §22,883,000 shall be available to carry out subtitle E of the 1990 Act; and (4) \$6,400,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis]: Provided further, That [for the purposes of carrying out the 1990 Act, satisfying the requirements in section 122(c)(1)(D) may include a determination of need by the local community] notwithstanding any other provision of law -

(1) CNCS may not incur obligations under subtitle B, subtitle C (except as needed to fulfill the requirements of sections 141(d) and (e)), subtitles F and H, section 193A(g)(3) of subtitle G, or subtitles H and J of the 1990 Act;

(2) CNCS may not approve any national service positions under section 123 of the 1990 Act;

(3) \$22,883,000 shall be available to carry out subtitle E of the 1990 Act;

(4) CNCS may not assign volunteers under Title I of the 1973 Act; and

(5) CNCS may not incur obligations under Title II of the 1973 Act.

(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 485-2728-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 AmeriCorps*State and National	420	420	2
0002 Foster Grandparent Program	111	111	
0003 Senior Companion Program	47	47	
0004 AmeriCorps*VISTA	92	92	5
0006 AmeriCorps*NCCC	32	32	23
0007 Retired Senior Volunteer Program	50	50	
0008 State Comm. Support Grants	18	18	
0009 Evaluations	4	4	
0011 Innovation, Demon., and Assistance	8	8	
0012 Volunteer Generation Fund	5	5	
0799 Total direct obligations	787	787	30
0801 Operating Expenses (Reimbursable)	18	18	
0900 Total new obligations, unexpired accounts	805	805	30
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			24
1021 Recoveries of prior year unpaid obligations		2	2
1050 Unobligated balance (total)		2	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	787	807	30
Spending authority from offsetting collections, discretionary:			
1700 Collected	20	20	
1900 Budget authority (total)	807	827	30
1930 Total budgetary resources available	807	829	56
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year		24	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	938	956	941
3010 New obligations, unexpired accounts	805	805	30
3011 Obligations ("upward adjustments"), expired accounts	23		
3020 Outlays (gross)	-757	-818	-556
3040 Recoveries of prior year unpaid obligations, unexpired		-2	-2
3041 Recoveries of prior year unpaid obligations, expired	-53		
3050 Unpaid obligations, end of year	956	941	413
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-9	-9	-9
3090 Uncollected pymts, Fed sources, end of year	-9	-9	-9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	929	947	932
3200 Obligated balance, end of year	947	932	404

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	807	827	30
Outlays, gross:			
4010 Outlays from new discretionary authority	129	271	9
4011 Outlays from discretionary balances	628	547	547
4020 Outlays, gross (total)	757	818	556
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-20	-38	
4033 Non-Federal sources	-2		
4040 Offsets against gross budget authority and outlays (total) ...	-22	-38	
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2	18	
4060 Additional offsets against budget authority only (total)	2	18	
4070 Budget authority, net (discretionary)	787	807	30
4080 Outlays, net (discretionary)	735	780	556
4180 Budget authority, net (total)	787	807	30
4190 Outlays, net (total)	735	780	556

The Corporation for National and Community Service (CNCS) provides service opportunities for Americans of all ages through institutions that include: nonprofits, schools, faith-based and other community organizations, and local governments. The 2021 Budget proposes to eliminate CNCS, as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. No funds are provided in the 2021 Budget for new grants in programs described in this account.

AmeriCorps State and National.—With funds channeled through States, Territories, Tribes, and community-based organizations, AmeriCorps grants enable communities to recruit, train, and place AmeriCorps members to serve in the areas of disaster services, economic opportunity, education, environmental stewardship, healthy futures, and veterans and military families, as directed by the Edward M. Kennedy Serve America Act of 2009.

AmeriCorps National Civilian Community Corps.—AmeriCorps NCCC is a ten-month residential national service program for people ages 18 to 24. AmeriCorps NCCC members are deployed to respond to natural disasters and engage in urban and rural development projects across the nation.

AmeriCorps VISTA.—Provides full-time members to community organizations and public agencies working to resolve local poverty-related problems.

State Service Commission Support Grants.—These grants support the operation of State Service Commissions that administer approximately two-thirds of AmeriCorps State and National grant funds.

Retired Senior Volunteer Program.—RSVP grants support volunteers aged 55 and older with service opportunities, including mentoring children and providing independent living services to adults.

Foster Grandparent Program.—Grants provide low-income volunteers age 55 and older with service opportunities to provide one-on-one mentoring and support to at-risk children.

Senior Companion Program.—Grants support low-income volunteers who provide companionship, transportation, help with light chores, and respite to assist seniors and people with disabilities to remain in their own homes.

Innovation, Demonstration, and Assistance.—These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs. For example, the Volunteer Generation Fund focuses on strengthening the ability of nonprofits and other organizations to recruit, retain, and manage volunteers.

Evaluation.—This activity supports the design and implementation of research and evaluation studies and facilitates the use of evidence and evaluation by CNCS and national service organizations.

OPERATING EXPENSES—Continued
Object Classification (in millions of dollars)

Identification code 485-2728-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.8 Special personal services payments	55	55	4
11.9 Total personnel compensation	64	64	13
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	4	4	2
23.2 Rental payments to others	8	8	6
25.2 Other services from non-Federal sources	39	39	6
26.0 Supplies and materials	1	1
41.0 Grants, subsidies, and contributions	668	668
99.0 Direct obligations	787	787	30
99.0 Reimbursable obligations	18	18
99.9 Total new obligations, unexpired accounts	805	805	30

Employment Summary

Identification code 485-2728-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	120	120	120

PAYMENT TO THE NATIONAL SERVICE TRUST

【(INCLUDING TRANSFER OF FUNDS)】

【For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, \$208,342,000, to remain available until expended: *Provided*, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: *Provided further*, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).】 (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 485-2726-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to National Service Trust Fund	207	208
0900 Total new obligations, unexpired accounts (object class 94.0)	207	208

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	207	208
1930 Total budgetary resources available	207	208

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	207	208
3020 Outlays (gross)	-207	-208

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	207	208
Outlays, gross:			
4010 Outlays from new discretionary authority	208
4011 Outlays from discretionary balances	207
4020 Outlays, gross (total)	207	208
4180 Budget authority, net (total)	207	208
4190 Outlays, net (total)	207	208

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them. The 2021 Budget does not provide funding

in this account because CNCS is proposed for elimination and will not make any education awards in 2021.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, 【\$5,750,000】 \$4,258,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 485-2721-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General	5	5	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	4
1930 Total budgetary resources available	7	8	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	3	3

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	5	5	4
3020 Outlays (gross)	-5	-5	-4
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	6	6	4
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	1
4011 Outlays from discretionary balances	3	3	3
4020 Outlays, gross (total)	5	5	4
4180 Budget authority, net (total)	6	6	4
4190 Outlays, net (total)	5	5	4

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse. The 2021 Budget provides funding in this account for the orderly shutdown of the Office of the Inspector General, as part of the proposal to eliminate the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485-2721-0-1-506	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	5	5	4

Employment Summary

Identification code 485-2721-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	23	23

SALARIES AND EXPENSES

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference

rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$83,737,000]** \$47,333,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 485-2722-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 NCSA Salaries & Expenses	84	84	47
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	84	84	47
1930 Total budgetary resources available	84	84	47
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	21	29
3010 New obligations, unexpired accounts	84	84	47
3020 Outlays (gross)	-82	-76	-56
3050 Unpaid obligations, end of year	21	29	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	21	29
3200 Obligated balance, end of year	21	29	20
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	84	84	47
Outlays, gross:			
4010 Outlays from new discretionary authority	69	65	36
4011 Outlays from discretionary balances	13	11	20
4020 Outlays, gross (total)	82	76	56
4180 Budget authority, net (total)	84	84	47
4190 Outlays, net (total)	82	76	56

This account provides funding for the orderly shutdown of the Corporation for National and Community Service.

Object Classification (in millions of dollars)

Identification code 485-2722-0-1-506	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	40	40	23
11.9 Total personnel compensation	40	40	23
12.1 Civilian personnel benefits	13	13	7
21.0 Travel and transportation of persons	1	1
23.1 Rental payments to GSA	6	6	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	21	21	11
26.0 Supplies and materials	1	1
31.0 Equipment	1	1
99.9 Total new obligations, unexpired accounts	84	84	47

Employment Summary

Identification code 485-2722-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	406	406	397

VISTA ADVANCE PAYMENTS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 485-2723-0-1-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 VISTA Advance Payments Revolving Fund (Reimbursable)	10	13
0900 Total new obligations, unexpired accounts (object class 41.0)	10	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	13
1900 Budget authority (total)	10	13
1930 Total budgetary resources available	12	15	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	10	13
3020 Outlays (gross)	-10	-13

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	13
Outlays, gross:			
4010 Outlays from new discretionary authority	13
4011 Outlays from discretionary balances	10
4020 Outlays, gross (total)	10	13
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-10	-13
4180 Budget authority, net (total)
4190 Outlays, net (total)

This fund was established in 2007 by Public Law 110-05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

Trust Funds

GIFTS AND CONTRIBUTIONS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 485-9972-0-7-506	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	150	155
Receipts:			
Current law:			
1140 Interest on Investment, National Service Trust Fund	10	5	5
1140 Payment from the General Fund, National Service Trust Fund	207	208
1140 Payment from the Operating Expenses, National Service Trust Fund	18
1199 Total current law receipts	235	213	5
1999 Total receipts	235	213	5
2000 Total: Balances and receipts	235	363	160
Appropriations:			
Current law:			
2101 Gifts and Contributions	-225	-208
2101 Gifts and Contributions	-10
2133 Gifts and Contributions	150
2199 Total current law appropriations	-85	-208
2999 Total appropriations	-85	-208
5099 Balance, end of year	150	155	160

Program and Financing (in millions of dollars)

Identification code 485-9972-0-7-506	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Gifts and contributions	233
0900 Total new obligations, unexpired accounts (object class 25.2)	233
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	364	216	424
1001 Discretionary unobligated balance brought fwd, Oct 1	131
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	225	208
1131 Unobligated balance of appropriations permanently reduced	-120

GIFTS AND CONTRIBUTIONS—Continued
Program and Financing—Continued

Identification code 485-9972-0-7-506	2019 actual	2020 est.	2021 est.
1133 Unobligated balance of appropriations temporarily reduced	-150
1160 Appropriation, discretionary (total)	75	208	-120
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	10
1900 Budget authority (total)	85	208	-120
1930 Total budgetary resources available	449	424	304
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	216	424	304
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	558	620	118
3010 New obligations, unexpired accounts	233
3020 Outlays (gross)	-171	-502	-52
3050 Unpaid obligations, end of year	620	118	66
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	558	620	118
3200 Obligated balance, end of year	620	118	66
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	75	208	-120
Outlays, gross:			
4010 Outlays from new discretionary authority	-120
4011 Outlays from discretionary balances	171	502	172
4020 Outlays, gross (total)	171	502	52
Mandatory:			
4090 Budget authority, gross	10
4180 Budget authority, net (total)	85	208	-120
4190 Outlays, net (total)	171	502	52
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	796	760	730
5001 Total investments, EOY: Federal securities: Par value	760	730	700

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until they are used.

ADMINISTRATIVE PROVISIONS

【SEC. 401. CNCS shall make any significant changes to program requirements, service delivery or policy only through public notice and comment rulemaking. For fiscal year 2020, during any grant selection process, an officer or employee of CNCS shall not knowingly disclose any covered grant selection information regarding such selection, directly or indirectly, to any person other than an officer or employee of CNCS that is authorized by CNCS to receive such information.】

【SEC. 402. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.】

【SEC. 403. Donations made to CNCS under section 196 of the 1990 Act for the purposes of financing programs and operations under titles I and II of the 1973 Act or subtitle B, C, D, or E of title I of the 1990 Act shall be used to supplement and not supplant current programs and operations.】

【SEC. 404. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.】

【SEC. 405. For the purpose of carrying out section 189D of the 1990 Act—

(1) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(2) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(3) State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act, are authorized to receive criminal history record information, consistent with Public Law 92-544.】

【SEC. 406. Notwithstanding sections 139(b), 146 and 147 of the 1990 Act, an individual who successfully completes a term of service of not less than 1,200 hours during a period of not more than one year may receive a national service education award having a value of 70 percent of the value of a national service education award determined under section 147(a) of the Act.】

(INCLUDING TRANSFER AUTHORITY AND CANCELLATION)

SEC. 401. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.

SEC. 402. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.

SEC. 403. For the purpose of carrying out section 189D of the 1990 Act—

(a) entities described in paragraph (a) of such section shall be considered "qualified entities" under section 3 of the National Child Protection Act of 1993 ("NCPA");

(b) individuals described in such section shall be considered "volunteers" under section 3 of NCPA; and

(c) consistent with Public Law 92-544, State Commissions on National and Community Service established pursuant to section 178 of the 1990 Act are authorized to receive criminal history record information.

(TRANSFER AUTHORITY)

SEC. 404. Only for purposes of effectuating a transfer of appropriated funds from any account under the heading "Corporation for National and Community Service" to any executive agency under 31 U.S.C. 1531, the term "executive agency" as used in section 1531 shall apply to the Corporation for National and Community Service.

(CANCELLATION)

SEC. 405. Of the unobligated balances available in the National Service Trust Fund, identified by the Treasury Appropriation Fund Symbol 95X8267, \$120,000,000 are hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
485-322055 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	3
General Fund Offsetting receipts from the public	3

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

CORPORATION FOR PUBLIC BROADCASTING

【For payment to the Corporation for Public Broadcasting ("CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2022, \$465,000,000: *Provided*, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB.】

[In addition, for the costs associated with replacing and upgrading the public broadcasting interconnection system and other technologies and services that create infrastructure and efficiencies within the public media system, \$20,000,000.]

(INCLUDING CANCELLATIONS)

Of the amounts made available to the Corporation for Public Broadcasting (CPB) on October 1, 2020 by Public Law 115-245, \$415,000,000 is hereby permanently cancelled: Provided, That section 396(k)(3) of the Communications Act of 1934 (47 U.S.C. 396(k)(3)) shall not apply to the remaining amounts made available to CPB for fiscal year 2021 by Public Law 115-245, or to the unobligated balances of the Fund established in section 396(k)(1)(A) of such Act (47 U.S.C. 396(k)(1)(A)).

Of the amounts which are made available to CPB on October 1, 2021 by Public Law 116-94, \$437,000,000 is hereby permanently cancelled. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-0151-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General programming	465	445	30
0002 Interconnection		20	
0900 Total new obligations, unexpired accounts (object class 41.0)	465	465	30
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20	20	
Advance appropriations, discretionary:			
1170 Advance appropriation - General Programming	445	445	445
1174 Advance appropriations permanently reduced			-415
1180 Advanced appropriation, discretionary (total)	445	445	30
1900 Budget authority (total)	465	465	30
1930 Total budgetary resources available	465	465	30
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	465	465	30
3020 Outlays (gross)	-465	-465	-30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	465	465	30
Outlays, gross:			
4010 Outlays from new discretionary authority	465	465	30
4180 Budget authority, net (total)	465	465	30
4190 Outlays, net (total)	465	465	30

The Budget proposes to eliminate funding for several independent agencies and other federal entities, including the Corporation for Public Broadcasting, as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests up to \$58 million over two years to conduct an orderly closeout of Federal funding for the Corporation beginning with \$30 million in 2021. The request includes funding for personnel, rental, and other necessary close-out costs.

COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY

Federal Funds

INSPECTORS GENERAL COUNCIL FUND

Program and Financing (in millions of dollars)

Identification code 542-4592-0-4-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Inspectors General Council Fund (Reimbursable)	11	12	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	15	15

Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	2		
Spending authority from offsetting collections, mandatory:			
1800 Collected	9	12	14
1900 Budget authority (total)	11	12	14
1930 Total budgetary resources available	26	27	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	15	15

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	3	
3010 New obligations, unexpired accounts	11	12	14
3020 Outlays (gross)	-9	-15	-14
3050 Unpaid obligations, end of year	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	3	
3200 Obligated balance, end of year	3		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	11	12	14
Outlays, gross:			
4100 Outlays from new mandatory authority	8	12	14
4101 Outlays from mandatory balances	1	3	
4110 Outlays, gross (total)	9	15	14
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-9	-12	-14
4180 Budget authority, net (total)	2		
4190 Outlays, net (total)		3	

The Inspector General (IG) Reform Act of 2008 (P.L. 110-409) created the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to address program integrity, efficiency, and effectiveness issues that transcend individual Government agencies and to increase the professionalism and effectiveness of IG staff.

Pursuant to Section 7 of the Inspector General Reform Act of 2008, resources for CIGIE activities are provided through interagency funding, which includes member contributions and tuition. Consistent with prior years, CIGIE plans to collect member contributions for 2021 during the second half of 2020, to be used primarily for the CIGIE Training Institute and operations. Although CIGIE will collect the required member contributions for 2021 from agency IGs in the second half of 2020, the Budget includes funds in individual IG budgets that are dedicated to CIGIE and will be collected in 2021 for use in 2022.

Object Classification (in millions of dollars)

Identification code 542-4592-0-4-808	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time Permanent	2	2	3
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	4	4	5
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	3	3	4
25.2 Other services from non-Federal sources	3	3	3
31.0 Equipment			1
99.0 Reimbursable obligations	11	11	14
99.5 Adjustment for rounding		1	
99.9 Total new obligations, unexpired accounts	11	12	14

Employment Summary

Identification code 542-4592-0-4-808	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	14	19	19

**COURT SERVICES AND OFFENDER SUPERVISION
AGENCY FOR THE DISTRICT OF COLUMBIA**

Federal Funds

**FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION
AGENCY FOR THE DISTRICT OF COLUMBIA**

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, **[\$248,524,000]** \$248,175,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs, and of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002: *Provided*, That, of the funds appropriated under this heading, **[\$181,065,000]** \$180,973,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons, of which \$3,818,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: *Provided further*, That, of the funds appropriated under this heading, **[\$67,459,000]** \$67,202,000 shall be available to the Pretrial Services Agency, of which **[\$998,000]** \$459,000 shall remain available until September 30, **[2022]** 2023 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities: *Provided further*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That amounts under this heading may be used for programmatic incentives for defendants to successfully complete their terms of supervision. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 511-1734-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Community supervision program	172	183	184
0002 Pretrial Services Agency	66	73	69
0900 Total new obligations, unexpired accounts	238	256	253
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		13	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	257	249	248
1900 Budget authority (total)	257	249	248
1930 Total budgetary resources available	257	262	254
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	13	6	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	96	88	90
3010 New obligations, unexpired accounts	238	256	253
3011 Obligations ("upward adjustments"), expired accounts	5		
3020 Outlays (gross)	-243	-247	-262
3041 Recoveries of prior year unpaid obligations, expired	-8	-7	-7
3050 Unpaid obligations, end of year	88	90	74
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	87	89
3200 Obligated balance, end of year	87	89	73
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	257	249	248
Outlays, gross:			
4010 Outlays from new discretionary authority	188	187	186
4011 Outlays from discretionary balances	55	60	76
4020 Outlays, gross (total)	243	247	262
4180 Budget authority, net (total)	257	249	248

4190 Outlays, net (total)	243	247	262
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The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. CSOSA assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, is an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism, and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

Community Supervision Program.—This activity provides supervision of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, drug testing, graduated sanctions, treatment, transitional housing, and other offender support services, including services from community and faith-based collaborations. The Community Supervision Program also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole, and supervised release decisions.

Pretrial Services Agency.—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that individuals on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

Object Classification (in millions of dollars)

Identification code 511-1734-0-1-752	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	109	113	115
11.5 Other personnel compensation	3	2	3
11.9 Total personnel compensation	112	115	118
12.1 Civilian personnel benefits	47	52	55
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	14	23	16
23.2 Rental payments to others	7	6	6
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	7	11	10
25.2 Other services from non-Federal sources	31	31	30
25.3 Other goods and services from Federal sources	3	3	3
25.4 Operation and maintenance of facilities	1	1	1
25.6 Medical care	2	2	2
25.7 Operation and maintenance of equipment	1	1	
26.0 Supplies and materials	3	2	2
31.0 Equipment	6	5	6
32.0 Land and structures	1		
99.0 Direct obligations	238	256	253
99.9 Total new obligations, unexpired accounts	238	256	253

Employment Summary

Identification code 511-1734-0-1-752	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,120	1,120	1,120

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, **[\$31,000,000]** \$28,836,000, to remain available until September 30, **[2021]** 2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 347-3900-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	28	31	34
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	31	31	29
1930 Total budgetary resources available	36	39	37
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	8	8
3010 New obligations, unexpired accounts	28	31	34
3020 Outlays (gross)	-26	-31	-30
3050 Unpaid obligations, end of year	8	8	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	8	8
3200 Obligated balance, end of year	8	8	12
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	31	31	29
Outlays, gross:			
4010 Outlays from new discretionary authority	18	23	22
4011 Outlays from discretionary balances	8	8	8
4020 Outlays, gross (total)	26	31	30
4180 Budget authority, net (total)	31	31	29
4190 Outlays, net (total)	26	31	30

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the Executive Branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

Object Classification (in millions of dollars)

Identification code 347-3900-0-1-999	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	14	16
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	13	15	17
12.1 Civilian personnel benefits	4	5	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	5	5	5
25.3 Other goods and services from Federal sources	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	28	31	34

99.9	Total new obligations, unexpired accounts	28	31	34
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Employment Summary

Identification code 347-3900-0-1-999	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	100	100	114

DELTA REGIONAL AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses **[necessary for]** of the Delta Regional Authority **[and to carry out its activities]**, as authorized by the Delta Regional Authority Act of 2000, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, **[\$30,000,000, to remain available until expended]** \$2,500,000: *Provided, That such amounts shall be available only for the purposes of the closure of the Authority: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Authority. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 517-0750-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Delta Regional Authority (Direct)	21	41	6
0801 Delta Regional Authority (Reimbursable)	1	1	1
0900 Total new obligations, unexpired accounts	22	42	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	12	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	25	30	3
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	4
1900 Budget authority (total)	28	34	3
1930 Total budgetary resources available	34	46	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	51	49	29
3010 New obligations, unexpired accounts	22	42	7
3020 Outlays (gross)	-24	-62	-36
3050 Unpaid obligations, end of year	49	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	51	49	29
3200 Obligated balance, end of year	49	29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	28	34	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	22	3
4011 Outlays from discretionary balances	21	40	33
4020 Outlays, gross (total)	24	62	36
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-4
4180 Budget authority, net (total)	25	30	3
4190 Outlays, net (total)	21	58	36

The Budget proposes to eliminate funding for several independent agencies, including the Delta Regional Authority. The Budget requests \$2.5 million to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for: personnel costs during shutdown activities, including incentive payments to remain during the closeout period; severance or retirement pay; and non-personnel costs associated with the agency's closure such as lease termination, equipment disposal,

SALARIES AND EXPENSES—Continued

and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

Object Classification (in millions of dollars)

Identification code 517-0750-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
41.0 Grants, subsidies, and contributions	20	40	5
99.0 Direct obligations	21	41	6
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations, unexpired accounts	22	42	7

DENALI COMMISSION

Federal Funds

DENALI COMMISSION

For necessary expenses [necessary for] of the Denali Commission, [including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses,] as authorized by the Denali Commission Act of 1998, [\$15,000,000, to remain available until expended,] \$7,300,000, notwithstanding the limitations contained in section 306(g) of [the Denali Commission Act of 1998] such Act: Provided, That funds shall be available [for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105-277), as amended by section 701 of appendix D, title VII, Public Law 106-113 (113 Stat. 1501A-280), and an amount not to exceed 50 percent for non-distressed communities: Provided further, That notwithstanding any other provision of law regarding payment of a non-Federal share in connection with a grant-in-aid program, amounts under this heading shall be available for the payment of such a non-Federal share for programs undertaken to carry out the purposes of the Commission] only for the purposes of the closure of the Commission: Provided further, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Denali Commission (Direct)	23	18	7
0102 Denali Commission (Shared Services)	7	16
0799 Total direct obligations	30	34	7
0900 Total new obligations, unexpired accounts	30	34	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	8	17
1021 Recoveries of prior year unpaid obligations	5	9
1050 Unobligated balance (total)	6	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	15	7
1121 Appropriations transferred from other acct [015-5041]	7
1160 Appropriation, discretionary (total)	22	15	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	19
1900 Budget authority (total)	32	34	7
1930 Total budgetary resources available	38	51	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	17	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	66	65	27
3010 New obligations, unexpired accounts	30	34	7

3020 Outlays (gross)	-26	-63	-34
3040 Recoveries of prior year unpaid obligations, unexpired	-5	-9
3050 Unpaid obligations, end of year	65	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	66	65	27
3200 Obligated balance, end of year	65	27

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	32	34	7
Outlays, gross:			
4010 Outlays from new discretionary authority	9	23	7
4011 Outlays from discretionary balances	17	40	27
4020 Outlays, gross (total)	26	63	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-10	-19
4040 Offsets against gross budget authority and outlays (total)	-10	-19
4180 Budget authority, net (total)	22	15	7
4190 Outlays, net (total)	16	44	34

The Budget proposes to eliminate funding for several independent agencies, including the Denali Commission. The Budget requests \$7.3 million to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for personnel costs during shutdown activities, including incentive payments to remain during the closeout period; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

Object Classification (in millions of dollars)

Identification code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	1	2
12.1 Civilian personnel benefits	1	1	1
13.0 Benefits for former personnel	1
25.1 Advisory and assistance services	2	2
25.3 Other goods and services from Federal sources	6	6	3
41.0 Grants, subsidies, and contributions	19	24
99.9 Total new obligations, unexpired accounts	30	34	7

Employment Summary

Identification code 513-1200-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	14	13	14

Trust Funds

DENALI COMMISSION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 513-8056-0-7-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Denali Commission Trust Fund (Direct)	3	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	3	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1
1021 Recoveries of prior year unpaid obligations	1	1
1050 Unobligated balance (total)	1	2
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3	2	2
1930 Total budgetary resources available	3	3	4

Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	2
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	15	13
3010	New obligations, unexpired accounts	3	2
3020	Outlays (gross)	-5	-5
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-1
3050	Unpaid obligations, end of year	13	9
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	15	13
3200	Obligated balance, end of year	13	9
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	3	2
Outlays, gross:			
4010	Outlays from new discretionary authority	1
4011	Outlays from discretionary balances	4	5
4020	Outlays, gross (total)	5	5
4180	Budget authority, net (total)	3	2
4190	Outlays, net (total)	5	5

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	3	2	2
Outlays	5	5	6
Legislative proposal, not subject to PAYGO:			
Budget Authority	-2
Outlays	-1
Total:			
Budget Authority	3	2
Outlays	5	5	5

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that were not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DENALI COMMISSION TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 513-8056-2-7-452	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	-2
1930	Total budgetary resources available	-2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	-2
Change in obligated balance:			
Unpaid obligations:			
3020	Outlays (gross)	1
3050	Unpaid obligations, end of year	1
Memorandum (non-add) entries:			
3200	Obligated balance, end of year	1
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	-2
Outlays, gross:			
4010	Outlays from new discretionary authority	-1
4180	Budget authority, net (total)	-2
4190	Outlays, net (total)	-1

Given that the Budget proposes to eliminate the Denali Commission, it also proposes statutory authority to transfer any unobligated and obligated

balances from the bulk fuel storage tank program, and associated administrative and oversight responsibilities, to the Department of Agriculture, and proposes to end transfers of interest to the Denali Commission.

DISTRICT OF COLUMBIA

DISTRICT OF COLUMBIA COURTS

Federal Funds

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, **[\$250,088,000]** \$267,838,000 to be allocated as follows: for the District of Columbia Court of Appeals, **[\$14,682,000]** \$14,887,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the Superior Court of the District of Columbia, **[\$125,638,000]** \$129,726,000, of which not to exceed \$2,500 is for official reception and representation expenses; for the District of Columbia Court System, **[\$75,518,000]** \$79,155,000, of which not to exceed \$2,500 is for official reception and representation expenses; and **[\$34,250,000]** \$44,070,000, to remain available until September 30, **[2021]** 2022, for capital improvements for District of Columbia courthouse facilities: *Provided*, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and facilities condition assessment: *Provided further*, That, in addition to the amounts appropriated herein, fees received by the District of Columbia Courts for administering bar examinations and processing District of Columbia bar admissions may be retained and credited to this appropriation, to remain available until expended, for salaries and expenses associated with such activities, notwithstanding section 450 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.50): *Provided further*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$9,000,000 of the funds provided under this heading among the items and entities funded under this heading: *Provided further*, That the Joint Committee on Judicial Administration in the District of Columbia may, by regulation, establish a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, for employees of the District of Columbia Courts. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Court of Appeals	14	15
0002	Superior Court	124	126
0003	Court system	74	76
0004	Capital improvements	61	54
0900	Total new obligations, unexpired accounts	273	271
266			
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	55	40
1021	Recoveries of prior year unpaid obligations	4
1050	Unobligated balance (total)	59	40
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	258	250
Spending authority from offsetting collections, discretionary:			
1700	Collected	1	2
1900	Budget authority (total)	259	252
1930	Total budgetary resources available	318	292
291			
Memorandum (non-add) entries:			
1940	Unobligated balance expiring	-5
1941	Unexpired unobligated balance, end of year	40	21
25			
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	101	131
3010	New obligations, unexpired accounts	273	271
3011	Obligations ("upward adjustments"), expired accounts	5
3020	Outlays (gross)	-241	-255
3040	Recoveries of prior year unpaid obligations, unexpired	-4
3041	Recoveries of prior year unpaid obligations, expired	-3
268			

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued
Program and Financing—Continued

Identification code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
3050 Unpaid obligations, end of year	131	147	145
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	101	131	147
3200 Obligated balance, end of year	131	147	145
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	259	252	270
Outlays, gross:			
4010 Outlays from new discretionary authority	187	190	203
4011 Outlays from discretionary balances	54	65	65
4020 Outlays, gross (total)	241	255	268
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4033 Non-Federal sources	-2	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-2	-2	-2
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	258	250	268
4080 Outlays, net (discretionary)	239	253	266
4180 Budget authority, net (total)	258	250	268
4190 Outlays, net (total)	239	253	266

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, and the Court System, as well as capital improvements.

The Budget provides resources to support the D.C. Courts' core functions. In addition, the Budget provides resources for security required in newly constructed space and for capital improvements necessary to move support functions from off-site leased space to the main court campus and to maintain court facilities in Judiciary Square.

By law, the Courts' annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$267.8 million includes \$223.8 million for the District of Columbia Court of Appeals, the Superior Court of the District of Columbia, and the District of Columbia Court System operations and \$44.1 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$352.3 million: \$230.5 million for operations and \$121.8 million for capital improvements.

Object Classification (in millions of dollars)

Identification code 349-1712-0-1-806	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	105	107	108
11.3 Other than full-time permanent	9	8	7
11.9 Total personnel compensation	114	115	115
12.1 Civilian personnel benefits	33	34	35
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	7	8	9
23.3 Communications, utilities, and miscellaneous charges	9	10	10
24.0 Printing and reproduction	2	2	3
25.1 Advisory and assistance services	22	22	22
25.2 Other services from non-Federal sources	40	32	33
25.3 Other goods and services from Federal sources	4	4	4
25.4 Operation and maintenance of facilities	8	9	9
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	7	8	7
26.0 Supplies and materials	2	3	2
31.0 Equipment	3	3	2
32.0 Land and structures	20	19	13
99.0 Direct obligations	273	271	266

99.9 Total new obligations, unexpired accounts	273	271	266
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FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21-2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$46,005,000, to remain available until expended: *Provided*, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: *Provided further*, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 349-1736-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Payment for Defender Services in District of Columbia Co (Direct)	42	46	46
0900 Total new obligations, unexpired accounts (object class 25.2)	42	46	46
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	16	16
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	46	46	46
1930 Total budgetary resources available	58	62	62
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	16	16	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	24	18
3010 New obligations, unexpired accounts	42	46	46
3020 Outlays (gross)	-43	-52	-50
3050 Unpaid obligations, end of year	24	18	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	24	18
3200 Obligated balance, end of year	24	18	14
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	46	46	46
Outlays, gross:			
4010 Outlays from new discretionary authority	30	24	24
4011 Outlays from discretionary balances	13	28	26
4020 Outlays, gross (total)	43	52	50
4180 Budget authority, net (total)	46	46	46
4190 Outlays, net (total)	43	52	50

Under three Defender Services programs, the District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation on their own. The Defender Services programs are the Criminal Justice Act program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; and the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide

indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, investigations, and genetic testing. The President's recommended funding level for Defender Services is \$46.0 million, the same as the Courts' request.

DISTRICT OF COLUMBIA CRIME VICTIMS COMPENSATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 349-5676-0-2-806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
0198 Rounding adjustment	1		
0199 Balance, start of year	1		
Receipts:			
Current law:			
1110 Fines and Fees, District of Columbia Crime Victims Compensation Fund	5	6	6
2000 Total: Balances and receipts	6	6	6
Appropriations:			
Current law:			
2101 District of Columbia Crime Victims Compensation Fund	-5	-6	-6
2103 District of Columbia Crime Victims Compensation Fund	-1		
2199 Total current law appropriations	-6	-6	-6
2999 Total appropriations	-6	-6	-6
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 349-5676-0-2-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Crime Victims Compensation	10	9	9
0900 Total new obligations, unexpired accounts (object class 25.1)	10	9	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	3	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	6	6
1203 Appropriation (previously unavailable)(special or trust)	1		
1260 Appropriations, mandatory (total)	6	6	6
Spending authority from offsetting collections, mandatory:			
1800 Collected		3	3
1900 Budget authority (total)	6	9	9
1930 Total budgetary resources available	13	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	10	9	9
3020 Outlays (gross)	-9	-9	-9
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	6	9	9
Outlays, gross:			
4100 Outlays from new mandatory authority	4	8	8
4101 Outlays from mandatory balances	5	1	1
4110 Outlays, gross (total)	9	9	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources		-3	-3
4180 Budget authority, net (total)	6	6	6
4190 Outlays, net (total)	9	6	6

The Superior Court of the District of Columbia administers the Crime Victims Compensation Fund, which finances assistance for innocent victims

of violent crime, survivors of homicide victims, and dependent family members of homicide victims. The program provides compensation for certain costs related to the crime, such as medical expenses, temporary emergency housing, and funeral expenses. The Fund is financed through assessments imposed in criminal cases, court fines and fees, and a grant from the U.S. Department of Justice. Under the 2002 Supplemental Appropriations Act for Further Recovery From and Response to Terrorist Attacks on the United States (P.L. 107-206), one half of the Fund's unobligated balances at the end of each year are transferred to the District of Columbia Government for outreach activities designed to increase the number of crime victims who apply for compensation.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code 020-1713-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Judicial Retirement Fund	17	16	17
0900 Total new obligations, unexpired accounts (object class 13.0)	17	16	17
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	17	16	17
1930 Total budgetary resources available	17	16	17
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	17	16	17
3020 Outlays (gross)	-17	-16	-17
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	17	16	17
Outlays, gross:			
4100 Outlays from new mandatory authority	17	16	17
4180 Budget authority, net (total)	17	16	17
4190 Outlays, net (total)	17	16	17

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience) over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund the normal cost and administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers these amounts into the Judicial Fund.

Trust Funds

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	166	172	178
Receipts:			
Current law:			
1110 Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1	1
1140 Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	3	4	5
1140 Federal Payments, D.C. Judicial Retirement and Survivors Annuity	17	16	17
1199 Total current law receipts	21	21	23

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY
FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
1999 Total receipts	21	21	23
2000 Total: Balances and receipts	187	193	201
Appropriations:			
Current law:			
2101 District of Columbia Judicial Retirement and Survivors Annuity Fund	-20	-21	-23
2135 District of Columbia Judicial Retirement and Survivors Annuity Fund	6	6	8
2199 Total current law appropriations	-14	-15	-15
2999 Total appropriations	-14	-15	-15
5098 Adjustment to reconcile to budgetary accounting	-1		
5099 Balance, end of year	172	178	186

Program and Financing (in millions of dollars)

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Retirement payments	13	14	14
0002 Administrative Costs	1	1	1
0900 Total new obligations, unexpired accounts	14	15	15
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	20	21	23
1235 Appropriations precluded from obligation (special or trust)	-6	-6	-8
1260 Appropriations, mandatory (total)	14	15	15
1930 Total budgetary resources available	14	15	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	14	15	15
3020 Outlays (gross)	-14	-15	-15
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	14	15	15
Outlays, gross:			
4100 Outlays from new mandatory authority	13	14	15
4101 Outlays from mandatory balances	1	1	
4110 Outlays, gross (total)	14	15	15
4180 Budget authority, net (total)	14	15	15
4190 Outlays, net (total)	14	15	15
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	165	175	180
5001 Total investments, EOY: Federal securities: Par value	175	180	186

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund to pay retirement and survivor benefits for District of Columbia judges and expenses necessary to administer the Fund or incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The Judicial Fund consists of amounts contributed by the judges, proceeds of accumulated pension assets transferred from the District of Columbia and liquidated pursuant to the Act, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 020-8212-0-7-602	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
42.0 Payments to annuitants	13	14	14
99.9 Total new obligations, unexpired accounts	14	15	15

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues.

Federal Funds

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$40,000,000, to remain available until expended: *Provided*, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: *Provided further*, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: *Provided further*, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: *Provided further*, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-1736-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Payment for Resident Tuition Support (Direct)	40	40	
0900 Total new obligations, unexpired accounts (object class 41.0)	40	40	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	40	40	
1930 Total budgetary resources available	40	40	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	40	40	
3020 Outlays (gross)	-40	-40	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	40	40	
Outlays, gross:			
4010 Outlays from new discretionary authority	40	40	
4180 Budget authority, net (total)	40	40	
4190 Outlays, net (total)	40	40	

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for

students to attend private institutions in the D.C. metropolitan area or private Historically Black Colleges and Universities nationwide, as well as public 2-year community colleges. The program's authorization ended in 2012. The 2021 Budget proposes to eliminate the unauthorized program because of a lack of a clear Federal role for supporting the cost of higher education specifically for District residents.

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

For a Federal payment for a school improvement program in the District of Columbia, **[\$52,500,000]** \$90,000,000, to remain available until expended, for payments authorized under the Scholarships for Opportunity and Results Act (division C of Public Law 112–10), *as amended: Provided*, That, to the extent that funds are available for opportunity scholarships and following the priorities included in section 3006 of such Act, the Secretary of Education shall make scholarships available to students eligible under section 3013(3) of such Act (Public Law 112–10; 125 Stat. 211) including students who were not offered a scholarship during any previous school year: *Provided further*, That within funds provided for opportunity scholarships up to **[\$1,200,000]** \$3,200,000 shall be for the activities specified in sections 3007(b) through 3007(d) **of the Act** and up to \$500,000 shall be for the activities specified in section **and** 3009 of the Act. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020–1817–0–1–501	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Opportunity Scholarship Program	18	18	30
0002 D.C. public schools	18	18	30
0003 D.C. public charter schools	17	17	30
0900 Total new obligations, unexpired accounts (object class 41.0)	53	53	90
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	53	53	90
1930 Total budgetary resources available	53	53	90
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	53	53	90
3020 Outlays (gross)	-53	-53	-90
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	53	53	90
Outlays, gross:			
4010 Outlays from new discretionary authority	53	53	90
4180 Budget authority, net (total)	53	53	90
4190 Outlays, net (total)	53	53	90

The Budget provides \$90 million to support kindergarten through high school education in the District of Columbia. The Budget continues to support the District's successful three-sector education strategy and includes \$30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the Nation, \$30 million for D.C. charter schools to support facilities and other unmet needs, and \$30 million to support scholarships for low-income students to attend private schools of their choice and program evaluation for the D.C. Opportunity Scholarship program.

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL

For a Federal payment to the Criminal Justice Coordinating Council, **[\$2,150,000]** \$1,805,000, to remain available until expended, to support initiatives related to the

coordination of Federal and local criminal justice resources in the District of Columbia.

FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS

For a Federal payment, to remain available until September 30, 2021, to the Commission on Judicial Disabilities and Tenure, **[\$325,000]** \$278,000, and for the Judicial Nomination Commission, **[\$275,000]** \$254,000.

FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD

For a Federal payment to the District of Columbia National Guard, \$413,000, to remain available until expended for the Major General David F. Wherley, Jr. District of Columbia National Guard Retention and College Access Program.

FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, **[\$4,000,000]** \$3,000,000. (*District of Columbia Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020–1707–0–1–999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Water and Sewer Authority	8	8
0002 Criminal Justice Coordinating Council	2	2	2
0019 Judicial Commissions and DC National Guard	1	1	1
0025 HIV/AIDS Prevention	3	4	3
0900 Total new obligations, unexpired accounts (object class 41.0)	14	15	6
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	14	15	6
1930 Total budgetary resources available	14	15	6
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	14	15	6
3020 Outlays (gross)	-14	-15	-6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	15	6
Outlays, gross:			
4010 Outlays from new discretionary authority	14	15	6
4180 Budget authority, net (total)	14	15	6
4190 Outlays, net (total)	14	15	6

The Budget includes \$3 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget also includes \$1.805 million for the Criminal Justice Coordinating Council, \$0.532 million for judicial commissions, and \$0.413 million for the D.C. National Guard.

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, **[\$18,000,000]** \$1,400,000, to remain available until expended, for the costs of providing public safety at events related to the presence of the National Capital in the District of Columbia, including support requested by the Director of the United States Secret Service in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions. (*District of Columbia Appropriations Act, 2020.*)

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA—Continued

Program and Financing (in millions of dollars)

Identification code 020-1771-0-1-806	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Emergency Planning Fund	12	18	51
0900 Total new obligations, unexpired accounts (object class 41.0)	12	18	51
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	18	51
1930 Total budgetary resources available	12	18	51
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	12	18	51
3020 Outlays (gross)	-12	-18	-51
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	18	51
Outlays, gross:			
4010 Outlays from new discretionary authority	12	18	51
4180 Budget authority, net (total)	12	18	51
4190 Outlays, net (total)	12	18	51

The Budget provides \$51.4 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including expenses for the 2021 Presidential Inauguration and costs associated with providing support requested by the Director of the U.S. Secret Service.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND

Program and Financing (in millions of dollars)

Identification code 020-1714-0-1-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Federal Pension Fund	498	546	571
0900 Total new obligations, unexpired accounts (object class 13.0)	498	546	571
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	498	546	571
1930 Total budgetary resources available	498	546	571
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	498	546	571
3020 Outlays (gross)	-498	-546	-571
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	498	546	571
Outlays, gross:			
4100 Outlays from new mandatory authority	498	546	571
4180 Budget authority, net (total)	498	546	571
4190 Outlays, net (total)	498	546	571

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers these amounts into the District of Columbia Federal Pension Fund. Annual payments consist of (1) amounts necessary to amortize: the original unfunded liability over 30 years, the net gain or loss (based on experience)

over 10 years, and any other changes in actuarial liability over 20 years and (2) amounts necessary to fund administrative expenses for the year.

DISTRICT OF COLUMBIA FEDERAL PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,686	3,698	3,757
Receipts:			
Current law:			
1140 Federal Contribution, DC Federal Pension Fund	498	546	571
1140 Earnings on Investments, DC Federal Pension Fund	78	82	40
1199 Total current law receipts	576	628	611
1999 Total receipts	576	628	611
2000 Total: Balances and receipts	4,262	4,326	4,368
Appropriations:			
Current law:			
2101 District of Columbia Federal Pension Fund	-577	-591	-582
2103 District of Columbia Federal Pension Fund	-1	-1	-1
2132 District of Columbia Federal Pension Fund	1	1
2135 District of Columbia Federal Pension Fund	13	22	24
2199 Total current law appropriations	-564	-569	-559
2999 Total appropriations	-564	-569	-559
5099 Balance, end of year	3,698	3,757	3,809

Program and Financing (in millions of dollars)

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Retirement payments	543	539	534
0002 Administrative costs	22	26	25
0799 Total direct obligations	565	565	559
0801 Reimbursable Program - Retirement Payments	218	249	277
0802 Reimbursable Program - Administrative Expenses	2	2	2
0899 Total reimbursable obligations	220	251	279
0900 Total new obligations, unexpired accounts	785	816	838
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	19	26
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	18	19	26
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	577	591	582
1203 Appropriation (previously unavailable)(special or trust) ...	1	1	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-1	-1
1235 Appropriations precluded from obligation (special or trust)	-13	-22	-24
1260 Appropriations, mandatory (total)	564	569	559
Spending authority from offsetting collections, mandatory:			
1800 Collected	222	254	282
1900 Budget authority (total)	786	823	841
1930 Total budgetary resources available	804	842	867
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	26	29
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	55	57	58
3010 New obligations, unexpired accounts	785	816	838
3020 Outlays (gross)	-782	-815	-839
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3050 Unpaid obligations, end of year	57	58	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	55	57	58
3200 Obligated balance, end of year	57	58	57
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	786	823	841

Outlays, gross:				
4100	Outlays from new mandatory authority	722	758	820
4101	Outlays from mandatory balances	60	57	19
4110	Outlays, gross (total)	782	815	839
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4123	Non-Federal sources	-222	-254	-282
4180	Budget authority, net (total)	564	569	559
4190	Outlays, net (total)	560	561	557
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	3,696	3,798	3,858
5001	Total investments, EOY: Federal securities: Par value	3,798	3,858	3,906

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out responsibilities regarding such benefits. The District of Columbia Federal Pension Fund consists of accumulated pension assets transferred from the District of Columbia, income earned from the investment of the assets in public debt securities, and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	10	10	9
25.2	Other services from non-Federal sources	3	6	6
25.3	Other goods and services from Federal sources	5	6	6
42.0	Payments to annuitants	543	539	534
99.0	Direct obligations	565	565	559
99.0	Reimbursable obligations	220	251	279
99.9	Total new obligations, unexpired accounts	785	816	838

Employment Summary

Identification code 020-5511-0-2-601	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	21	23	25

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY

For a Federal payment to the District of Columbia Water and Sewer Authority, \$8,000,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: *Provided*, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 020-4446-0-3-806	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Federal Payment for Water and Sewer Services (Reimbursable)	71	85	101
0900	Total new obligations, unexpired accounts (object class 23.3)	71	85	101
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1		1	1
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	72	85	101
1930	Total budgetary resources available	72	86	102
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2		
3010	New obligations, unexpired accounts	71	85	101
3020	Outlays (gross)	-73	-85	-101
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2		
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	72	85	101
Outlays, gross:				
4100	Outlays from new mandatory authority	71	85	101
4101	Outlays from mandatory balances	2		
4110	Outlays, gross (total)	73	85	101
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-71	-85	-101
4123	Non-Federal sources	-1		
4130	Offsets against gross budget authority and outlays (total)	-72	-85	-101
4170	Outlays, net (mandatory)	1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	1		

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the District of Columbia Water and Sewer Authority) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay on a quarterly basis 25 percent of its estimated yearly bill into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full government-wide bill by making up the missed agency payment(s) with a permanent, indefinite appropriation, which must then be reimbursed by the appropriate agency or agencies.

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.	
Offsetting receipts from the public:				
349-322070	All Other General Fund Proprietary Receipts Including Budget Clearing Accounts		1	1
General Fund Offsetting receipts from the public				
		1	1	

TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFERS OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2020] 2021, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

- (1) creates new programs;
- (2) eliminates a program, project, or responsibility center;
- (3) establishes or changes allocations specifically denied, limited or increased under this Act;
- (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;
- (5) re-establishes any program or project previously deferred through reprogramming;

(6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center, unless [prior approval is received from] *notice is provided* to the Committees on Appropriations of the House of Representatives and the Senate.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, [2020] 2021.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3-171; D.C. Official Code, sec. 1-123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day;

(4) at the discretion of the Chief Medical Examiner, an officer or employee of the Office of the Chief Medical Examiner who resides in the District of Columbia and is on call 24 hours a day;

(5) at the discretion of the Director of the Homeland Security and Emergency Management Agency, an officer or employee of the Homeland Security and Emergency Management Agency who resides in the District of Columbia and is on call 24 hours a day;

(6) the Mayor of the District of Columbia; and

(7) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. (a) None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

(b) No funds available for obligation or expenditure by the District of Columbia government under any authority may be used to enact any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative for recreational purposes.

SEC. 810. No funds available for obligation or expenditure by the District of Columbia government under any authority shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42), for all agencies of the District of Columbia government for fiscal year [2020] 2021 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. None of the Federal funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 815. Except as otherwise specifically provided by law or under this Act, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020] 2021 from appropriations of Federal funds made available for salaries and expenses for fiscal year [2020] 2021 in this Act, shall remain available through September 30, [2021] 2022, for each such account for the purposes authorized: *Provided*, That a [request] *notice* shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate [for approval] prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines outlined in section 803 of this Act.

SEC. 816. (a)

(1) During fiscal year [2021] 2022, during a period in which neither a District of Columbia continuing resolution or a regular District of Columbia appropriation bill is in effect, local funds are appropriated in the amount provided for any project or activity for which local funds are provided in the Act referred to in paragraph (2) (subject to any modifications enacted by the District of Columbia as of the beginning of the period during which this subsection is in effect) at the rate set forth by such Act.

(2) The Act referred to in this paragraph is the Act of the Council of the District of Columbia pursuant to which a proposed budget is approved for fiscal year [2021] 2022 which (subject to the requirements of the District of Columbia Home Rule Act) will constitute the local portion of the annual budget for the District of Columbia government for fiscal year [2021] 2022 for purposes of section 446 of the District of Columbia Home Rule Act (sec. 1-204.46, D.C. Official Code).

(b) Appropriations made by subsection (a) shall cease to be available—

(1) during any period in which a District of Columbia continuing resolution for fiscal year [2021] 2022 is in effect; or

(2) upon the enactment into law of the regular District of Columbia appropriation bill for fiscal year [2021] 2022.

(c) An appropriation made by subsection (a) is provided under the authority and conditions as provided under this Act and shall be available to the extent and in the manner that would be provided by this Act.

(d) An appropriation made by subsection (a) shall cover all obligations or expenditures incurred for such project or activity during the portion of fiscal year 2021 for which this section applies to such project or activity.

(e) This section shall not apply to a project or activity during any period of fiscal year **2021** 2022 if any other provision of law (other than an authorization of appropriations)—

(1) makes an appropriation, makes funds available, or grants authority for such project or activity to continue for such period; or

(2) specifically provides that no appropriation shall be made, no funds shall be made available, or no authority shall be granted for such project or activity to continue for such period.

(f) Nothing in this section shall be construed to affect obligations of the government of the District of Columbia mandated by other law.

SEC. 817. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 818. None of the funds made available by this Act may be used to carry out the Death with Dignity Act of 2016 (D.C. Law 21–182) or to implement any rule or regulation promulgated to carry out such Act.

SEC. 819. None of the funds made available by this Act may be used to carry out the Reproductive Health Non-Discrimination Amendment Act of 2014 (D.C. Law 20–261) or to implement any rule or regulation promulgated to carry out such Act. (Financial Services and General Government Appropriations Act, 2020.)

ELECTION ASSISTANCE COMMISSION

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), **\$15,171,000** \$13,063,000, of which \$1,500,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002; and of which \$2,400,000 shall remain available until September 30, 2021, for relocation expenses. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Election Assistance Commission	8	11	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	15	13
1120 Appropriations transferred to other acts [013–0500]	–1	–1	–1
1160 Appropriation, discretionary (total)	8	14	12
1930 Total budgetary resources available	8	14	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		3	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	1
3010 New obligations, unexpired accounts	8	11	13
3020 Outlays (gross)	–7	–13	–13
3050 Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	1
3200 Obligated balance, end of year	3	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	14	12
Outlays, gross:			
4010 Outlays from new discretionary authority	6	11	10
4011 Outlays from discretionary balances	1	2	3
4020 Outlays, gross (total)	7	13	13
4180 Budget authority, net (total)	8	14	12
4190 Outlays, net (total)	7	13	13

The Election Assistance Commission assists State and local election officials by testing and certifying election equipment, sharing best practices

to improve the administration of Federal elections, and providing them with information about the voting system standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2021, \$1.5 million will be transferred to the National Institute of Standards and Technology to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

Object Classification (in millions of dollars)

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	2	2
21.0 Travel and transportation of persons	1	1	2
25.2 Other services from non-Federal sources	3	4	5
99.9 Total new obligations, unexpired accounts	8	11	13

Employment Summary

Identification code 525–1650–0–1–808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	23	30	32

ELECTION SECURITY GRANTS

Notwithstanding section 104(c)(2)(B) of the Help America Vote Act of 2002 (52 U.S.C. 20904(c)(2)(B)), \$425,000,000 is provided to the Election Assistance Commission for necessary expenses to make payments to States for activities to improve the administration of elections for Federal office, including to enhance election technology and make election security improvements, as authorized by sections 101, 103, and 104 of such Act: *Provided*, That for purposes of applying such sections, the Commonwealth of the Northern Mariana Islands shall be deemed to be a State and, for purposes of sections 101(d)(2) and 103(a) shall be treated in the same manner as the Commonwealth of Puerto Rico, Guam, American Samoa, and the United States Virgin Islands: *Provided further*, That each reference to the "Administrator of General Services" or the "Administrator" in sections 101 and 103 shall be deemed to refer to the "Election Assistance Commission": *Provided further*, That each reference to "\$5,000,000" in section 103 shall be deemed to refer to "\$3,000,000" and each reference to "\$1,000,000" in section 103 shall be deemed to refer to "\$600,000": *Provided further*, That not later than 45 days after the date of enactment of this Act, the Election Assistance Commission shall make the payments to States under this heading: *Provided further*, That not later than two years after receiving a payment under this heading, a State shall make available funds for such activities in an amount equal to 20 percent of the total amount of the payment made to the State under this heading. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 525–1651–0–1–808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		425	
0100 Direct program activities, subtotal		425	
0900 Total new obligations, unexpired accounts (object class 41.0)		425	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation		425	
1930 Total budgetary resources available	1	426	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts		425	
3020 Outlays (gross)	–1	–425	
3050 Unpaid obligations, end of year	1	1	1

ELECTION SECURITY GRANTS—Continued
Program and Financing—Continued

Identification code 525-1651-0-1-808	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross		425	
Outlays, gross:			
4010 Outlays from new discretionary authority		425	
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	1	425	
4180 Budget authority, net (total)		425	
4190 Outlays, net (total)	1	425	

The Election Assistance Commission is responsible for distributing and auditing the use of election reform grant funding, in accordance with the requirements of the Help America Vote Act of 2002. Total Federal Government funding to States for election administration modernization and improvement exceeds \$4 billion, including \$425 million appropriated in 2020. The Budget does not provide resources for additional grant funding.

ELECTION DATA COLLECTION GRANTS

Program and Financing (in millions of dollars)

Identification code 525-1652-0-1-808	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Nondiscrimination Act (GINA) of 2008 (Public Law 110-233), the ADA Amendments Act of 2008 (Public Law 110-325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111-2), including services as authorized by section 3109 of title 5, United States Code; hire of passenger motor vehicles as authorized by section 1343(b) of title 31, United States Code; nonmonetary awards to private citizens; and up to **[\$30,500,000]** \$27,525,000 for payments to State and local enforcement agencies for authorized services to the Commission, **[\$389,500,000]** \$362,481,000: *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds: *Provided further*, That the Commission may take no action to implement any workforce repositioning, restructuring, or reorganization until such time as the Committees on Appropriations of the House of Representatives and the Senate have been notified of such proposals, in accordance with the reprogramming requirements of section 505 of this Act: *Provided further*, That the Chair may accept and use any gift or donation to carry out the work of the Commission. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Private sector	301	309	287

0002 Federal sector	48	50	47
0003 State and local	30	31	28
0900 Total new obligations, unexpired accounts	379	390	362

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			2
1011 Unobligated balance transfer from other acct [047-0616]		2	2
1050 Unobligated balance (total)		2	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	380	390	362
1900 Budget authority (total)	380	390	362
1930 Total budgetary resources available	380	392	366
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year		2	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	80	82	52
3010 New obligations, unexpired accounts	379	390	362
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-374	-420	-366
3041 Recoveries of prior year unpaid obligations, expired	-5		
3050 Unpaid obligations, end of year	82	52	48
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	80	82	52
3200 Obligated balance, end of year	82	52	48

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	380	390	362
Outlays, gross:			
4010 Outlays from new discretionary authority	317	339	315
4011 Outlays from discretionary balances	57	81	51
4020 Outlays, gross (total)	374	420	366
4180 Budget authority, net (total)	380	390	362
4190 Outlays, net (total)	374	420	366

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990 (ADA); the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

TOTAL WORKLOAD

	2019 actual	2020 est.	2021 est.
Private sector enforcement	124,386	117,538	113,567
Federal sector program:			
Hearings	23,540	21,659	18,548
Appeals	7,166	8,072	8,279
Total workload	155,092	147,269	140,394

The 2021 Budget is an opportunity to advance the work the Commission began with the adoption of the Strategic Plan for 2018-2022. The strategic plan outlines a framework for achieving the EEOC's mission to "Prevent and Remedy Unlawful Employment Discrimination and advance equal opportunity for all in the workplace". The plan's strategic objectives include: 1) Combat and prevent employment discrimination through strategic law enforcement; and 2) Prevent employment discrimination and promote inclusive workplaces through education and outreach. The Budget will permit EEOC to improve efficiencies through data resource consolidation, promote knowledge sharing, and foster communication to avoid unnecessary duplication of effort and continue its standards of providing quality service to

the public through enforcement and prevention activities. EEOC's enforcement responsibilities are in two areas: The private sector and the Federal sector.

Private sector.—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2019 actual	2020 est.	2021 est.
Total pending	50,973*	43,580	40,524
Total receipts	72,675	73,220	72,305
Net FEPA transfers/deferrals	738	738	738
Total workload	124,386	117,538	113,567
Resolutions:			
Successful mediation	6,394	6,079	6,320
From contract	338	236	125
From staff	6,056	5,843	6,195
Administrative enforcement resolutions	74,412	70,934	64,351
Total resolutions	80,806	77,013	70,671
Pending ending	43,580	40,525	42,896

*Pending beginning inventory adjusted to reflect activity spanning fiscal years.

State and Local Program.—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations to promote employment opportunities for Native Americans on or near a reservation.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Charges/complaints pending	49,722	49,255	48,788
Charges/complaints received	36,432	36,432	36,432
Total Workload	86,154	85,687	85,220
Charges/complaints resolved	36,161	36,161	36,161
Charges/complaints deferred to EEOC	738	738	738
Charges/complaints pending ending	49,255	48,788	48,321

Federal sector.—EEOC holds hearings on complaints of discrimination filed in Federal agencies, decides appeals of complaints of discrimination, and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Hearings pending	14,536	12,932	10,521
Hearings requests received	9,177	8,900	8,200
Hearings requests consolidated after initial processing	(173)	(173)	(173)
Total workload	23,540	21,659	18,548
Hearings resolved	10,608	11,138	9,080
Hearings pending ending	12,932	10,521	9,468

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2019 actual	2020 est.	2021 est.
Appeals pending	2,942	3,072	3,279
Appeals received	4,224	5,000	5,000
Total workload	7,166	8,072	8,279
Appeals resolved	4,094	4,793	4,793
Appeals pending ending	3,072	3,279	3,486

Object Classification (in millions of dollars)

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	198	200	198
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	3	2	2
11.9 Total personnel compensation	203	204	202

12.1 Civilian personnel benefits	67	72	71
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	28	33	33
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 State and Local Contracts	30	31	28
25.2 Other services from non-Federal sources	32	29	12
25.2 Security services	1	3	3
25.3 Other goods and services from Federal sources	6	5	3
26.0 Supplies and materials	4	4	1
31.0 Equipment	1	1	1
99.9 Total new obligations, unexpired accounts	379	390	362

Employment Summary

Identification code 045-0100-0-1-751	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,047	1,903	1,717

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 EEOC Education, Technical Assistance, and Training Revolving Fun (Reimbursable)	5	5	5
0809 Reimbursable program activities, subtotal	5	5	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	5	5
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	
3010 New obligations, unexpired accounts	5	5	5
3020 Outlays (gross)	-5	-7	-5
3050 Unpaid obligations, end of year	2		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	
3200 Obligated balance, end of year	2		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	4	4	4
4101 Outlays from mandatory balances	1	3	1
4110 Outlays, gross (total)	5	7	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-2	-2	-2
4123 Non-Federal sources	-3	-3	-3
4130 Offsets against gross budget authority and outlays (total)	-5	-5	-5
4170 Outlays, net (mandatory)		2	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		2	
Memorandum (non-add) entries:			
5096 Unexpired unavailable balance, SOY: Appropriations	1	1	1
5098 Unexpired unavailable balance, EOY: Appropriations	1	1	1

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING
FUND—Continued

Object Classification (in millions of dollars)

Identification code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services from non-Federal sources	3	3	3
99.9 Total new obligations, unexpired accounts	5	5	5

Employment Summary

Identification code 045-4019-0-3-751	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	14	14	14

EXPORT-IMPORT BANK OF THE UNITED STATES

Federal Funds

INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), **[\$5,700,000]** \$5,200,000, of which up to **[\$855,000]** \$780,000 may remain available until September 30, **[2021]** 2022. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0009 Administrative Expenses	5	6	6
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	5
1930 Total budgetary resources available	7	7	6
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	3
3010 New obligations, unexpired accounts	5	6	6
3020 Outlays (gross)	-5	-5	-6
3050 Unpaid obligations, end of year	2	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	3
3200 Obligated balance, end of year	2	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	6	5
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4011 Outlays from discretionary balances	2	1	2
4020 Outlays, gross (total)	5	5	6
4180 Budget authority, net (total)	6	6	5
4190 Outlays, net (total)	5	5	6

Object Classification (in millions of dollars)

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	2	2
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	5	6	6

Employment Summary

Identification code 083-0105-0-1-155	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	19	25	27

PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of enactment of this Act.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed **[\$110,000,000]** \$100,946,000, of which up to **[\$16,500,000]** \$15,141,900 may remain available until September 30, **[2021]** 2022: *Provided*, That the Export-Import Bank (the Bank) may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: *Provided further*, That notwithstanding chapter 51, subchapter III of chapter 53, and section 5373 of title 5, United States Code, the Board of Directors of the Export-Import Bank of the United States may set an employee's rate of basic pay up to the rate for level III of the Executive Schedule, and this authority may be applied to no more than 35 employees at any point in time and shall remain in effect until September 30, 2021: *Provided further*, That the Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Bank, repossession or sale of pledged collateral or other assets acquired by the Bank in satisfaction of moneys owed the Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: *Provided further*, That in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account for such purposes, to remain available until expended.

RECEIPTS COLLECTED

Receipts collected pursuant to the Export-Import Bank Act of 1945 (Public Law 79-173) and the Federal Credit Reform Act of 1990, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: *Provided*, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0.

CANCELLATION

Of the unobligated balances available under the heading "Export and Investment Assistance, Export-Import Bank of the United States, Subsidy Appropriation" for tied-aid grants from prior Acts making appropriations for the Department of State, foreign operations, and related programs, \$84,000,000 are hereby permanently cancelled. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	442	37	
0706 Interest on reestimates of direct loan subsidy	76	11	
0707 Reestimates of loan guarantee subsidy	2	22	
0708 Interest on reestimates of loan guarantee subsidy	2	12	
0709 Administrative expenses	111	110	108

0715	Other	11	14	6
0900	Total new obligations, unexpired accounts	644	206	114
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	196	217	152
1001	Discretionary unobligated balance brought fwd, Oct 1	196	217	
1020	Adjustment of unobligated bal brought forward, Oct 1	25		
1021	Recoveries of prior year unpaid obligations	4		
1050	Unobligated balance (total)	225	217	152
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	94		
1131	Unobligated balance of appropriations permanently reduced		-64	-84
1160	Appropriation, discretionary (total)	94	-64	-84
Appropriations, mandatory:				
1200	Appropriation	521	81	
Spending authority from offsetting collections, discretionary:				
1700	Collected	21		
1700	Offsetting collections (Admin Expense)		110	101
1700	Offsetting collections (Other)		14	6
1750	Spending auth from offsetting collections, disc (total)	21	124	107
1900	Budget authority (total)	636	141	23
1930	Total budgetary resources available	861	358	175
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	217	152	61
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	140	115	27
3001	Adjustments to unpaid obligations, brought forward, Oct 1			
3010	New obligations, unexpired accounts	644	206	114
3020	Outlays (gross)	-629	-294	-111
3040	Recoveries of prior year unpaid obligations, unexpired	-4		
3041	Recoveries of prior year unpaid obligations, expired	-9		
3050	Unpaid obligations, end of year	115	27	30
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	113	115	27
3200	Obligated balance, end of year	115	27	30
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	115	60	23
Outlays, gross:				
4010	Outlays from new discretionary authority	69	99	86
4011	Outlays from discretionary balances	39	114	25
4020	Outlays, gross (total)	108	213	111
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-21	-124	-107
Mandatory:				
4090	Budget authority, gross	521	81	
Outlays, gross:				
4100	Outlays from new mandatory authority	521	81	
4180	Budget authority, net (total)	615	17	-84
4190	Outlays, net (total)	608	170	4

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans: Export Financing	5,009		
115999 Total direct loan levels	5,009		
Direct loan subsidy (in percent):			
132001 Direct Loans: Export Financing	-13.59		
132999 Weighted average subsidy rate	-13.59		
Direct loan subsidy budget authority:			
133001 Direct Loans: Export Financing	-681		
133999 Total subsidy budget authority	-681		
Direct loan reestimates:			
135001 Direct Loans: Export Financing	455	7	
135999 Total direct loan reestimates	455	7	
Guaranteed loan levels supportable by subsidy budget authority:			
215004 Long Term Guarantees		18,629	16,712
215005 Medium Term Guarantees	240	420	525

215006 Short Term Insurance	2,192	2,760	2,790
215007 Medium Term Insurance	86	80	75
215008 Working Capital Fund	688	1,141	773
215999 Total loan guarantee levels	3,206	23,030	20,875
Guaranteed loan subsidy (in percent):			
232004 Long Term Guarantees		-6.00	-6.12
232005 Medium Term Guarantees	-1.71	-21	-2.00
232006 Short Term Insurance	-0.03	0.00	0.00
232007 Medium Term Insurance	-1.45	-2.80	-5.51
232008 Working Capital Fund	0.00	0.00	0.00
232999 Weighted average subsidy rate	-1.19	-4.87	-4.97
Guaranteed loan subsidy budget authority:			
233004 Long Term Guarantees		-1,118	-1,023
233005 Medium Term Guarantees	-4	-1	-10
233006 Short Term Insurance	-1		
233007 Medium Term Insurance	-1	-2	-4
233999 Total subsidy budget authority	-6	-1,121	-1,037
Guaranteed loan subsidy outlays:			
234004 Long Term Guarantees		-497	-610
234999 Total subsidy outlays		-497	-610
Guaranteed loan reestimates:			
235004 Long Term Guarantees	-250	-64	
235005 Medium Term Guarantees	-22	-10	
235006 Short Term Insurance	-26	-19	
235007 Medium Term Insurance	-10	-7	
235999 Total guaranteed loan reestimates	-308	-100	
Administrative expense data:			
3510 Budget authority	110	110	101

The Export-Import Bank of the United States (EXIM or the Bank) is the official export credit agency of the United States. EXIM is an independent, Federal agency that supports American jobs by facilitating the export of U.S. goods and services. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs.

The 2021 Budget estimates that the Bank's export credit support will total \$20.9 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$711.2 million in 2021 in receipts in excess of expected losses on transactions authorized in 2021 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$100.9 million. Any excess will be deposited in the General Fund of the Treasury. The 2021 Budget requests \$0 in subsidy costs and cancels \$84 million in the Tied Aid Fund.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	46	52	51
12.1 Civilian personnel benefits	16	18	17
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	6	8	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	23	24	12
25.3 Other goods and services from Federal sources	2	2	2
25.7 Operation and maintenance of equipment	16	12	12
26.0 Supplies and materials	2	2	2
31.0 Equipment	6	4	4
41.0 Grants, subsidies, and contributions	522	78	
99.9 Total new obligations, unexpired accounts	644	206	114

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued
Employment Summary

Identification code 083-0100-0-1-155	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	365	396	424

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4028-0-3-155	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			3
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (repayments)	23	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund	-23		
1850 Spending auth from offsetting collections, mand (total)		3	3
1930 Total budgetary resources available		3	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		3	6
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross		3	3
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Principal	-23	-2	-2
4123 Non-Federal sources - Interest		-1	-1
4130 Offsets against gross budget authority and outlays (total)	-23	-3	-3
4160 Budget authority, net (mandatory)	-23		
4170 Outlays, net (mandatory)	-23	-3	-3
4180 Budget authority, net (total)	-23		
4190 Outlays, net (total)	-23	-3	-3

Status of Direct Loans (in millions of dollars)

Identification code 083-4028-0-3-155	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	33	36	33
1251 Repayments: Repayments and prepayments	-6	-3	-3
1264 Other adjustments, net (+ or -)	9		
1290 Outstanding, end of year	36	33	30

Balance Sheet (in millions of dollars)

Identification code 083-4028-0-3-155	2018 actual	2019 actual
ASSETS:		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	33	36
1405 Allowance for subsidy cost (-)	-33	-36
1499 Net present value of assets related to direct loans		
1701 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans, gross		
1999 Total upward reestimate subsidy BA [11-0091]		
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position		

EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4161-0-3-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	5,009		

0713 Payment of interest to Treasury	536	750	750
0740 Negative subsidy obligations	681		
0742 Downward reestimates paid to receipt accounts	41	29	
0743 Interest on downward reestimates	22	11	
0900 Total new obligations, unexpired accounts	6,289	790	750

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	74	49	4,082
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	5,690	2,220	1,200
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	3,886	2,603	2,603
1825 Spending authority from offsetting collections applied to repay debt	-3,312		
1850 Spending auth from offsetting collections, mand (total)	574	2,603	2,603
1900 Budget authority (total)	6,264	4,823	3,803
1930 Total budgetary resources available	6,338	4,872	7,885
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	49	4,082	7,135

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,595	7,225	6,036
3010 New obligations, unexpired accounts	6,289	790	750
3020 Outlays (gross)	-659	-1,979	-2,219
3050 Unpaid obligations, end of year	7,225	6,036	4,567
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-13	-13	-13
3090 Uncollected pymts, Fed sources, end of year	-13	-13	-13
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,582	7,212	6,023
3200 Obligated balance, end of year	7,212	6,023	4,554

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross	6,264	4,823	3,803
Financing disbursements:			
4110 Outlays, gross (total)	659	1,979	2,219
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: Upward reestimate	-517	-47	
4122 Interest on uninvested funds	-60	-285	-285
4123 Repayments and prepayments	-3,309	-2,271	-2,318
4130 Offsets against gross budget authority and outlays (total)	-3,886	-2,603	-2,603
4160 Budget authority, net (mandatory)	2,378	2,220	1,200
4170 Outlays, net (mandatory)	-3,227	-624	-384
4180 Budget authority, net (total)	2,378	2,220	1,200
4190 Outlays, net (total)	-3,227	-624	-384

Status of Direct Loans (in millions of dollars)

Identification code 083-4161-0-3-155	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	5,009		
1150 Total direct loan obligations	5,009		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	18,352	15,487	15,270
1231 Disbursements: Direct loan disbursements	66	1,979	2,219
1251 Repayments: Repayments and prepayments	-2,794	-2,196	-1,997
1263 Write-offs for default: Direct loans	-137		
1290 Outstanding, end of year	15,487	15,270	15,492

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Act of 1945 (P.L. 79-173, as amended), this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4161-0-3-155	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	1,636	1,598
Investments in U.S. securities:		
1106 Receivables, net		
1206 Non-Federal assets: Receivables, net		
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	18,352	15,487
1402 Interest receivable	198	141
1405 Allowance for subsidy cost (-)	-1,143	-891
1499 Net present value of assets related to direct loans	17,407	14,737
1901 Other Federal assets: Other assets	914	47
1999 Total assets	19,957	16,382
LIABILITIES:		
Federal liabilities:		
2101 Accounts payable	250	23
2103 Debt	19,707	16,359
2201 Non-Federal liabilities: Accounts payable		
2999 Total liabilities	19,957	16,382
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	19,957	16,382

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Payment Certificates	10		
0091 Direct program activities, subtotal	10		
Credit program obligations:			
0711 Default claim payments on principal	41	36	35
0713 Payment of interest to Treasury	15		
0740 Negative subsidy obligations	6	1,121	1,037
0742 Downward reestimates paid to receipt accounts	238	105	
0743 Interest on downward reestimates	75	29	
0791 Direct program activities, subtotal	375	1,291	1,072
0900 Total new obligations, unexpired accounts	385	1,291	1,072
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,275	976	807
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority		912	912
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	109	210	210
1820 Capital transfer of spending authority from offsetting collections to general fund	-23		
1850 Spending auth from offsetting collections, mand (total)	86	210	210
1900 Budget authority (total)	86	1,122	1,122
1930 Total budgetary resources available	1,361	2,098	1,929
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	976	807	857
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	7	1,298
3010 New obligations, unexpired accounts	385	1,291	1,072
3020 Outlays (gross)	-392		
3050 Unpaid obligations, end of year	7	1,298	2,370
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-91	-91	-91
3090 Uncollected pymts, Fed sources, end of year	-91	-91	-91
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-77	-84	1,207
3200 Obligated balance, end of year	-84	1,207	2,279

Financing authority and disbursements, net:

Identification code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
Mandatory:			
4090 Budget authority, gross	86	1,122	1,122
Financing disbursements:			
4110 Outlays, gross (total)	392		
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account	-4	-33	
4122 Interest on uninvested funds	-26	-100	-100
4123 Fees, premiums, claim recoveries	-79	-77	-110
4130 Offsets against gross budget authority and outlays (total)	-109	-210	-210
4160 Budget authority, net (mandatory)	-23	912	912
4170 Outlays, net (mandatory)	283	-210	-210
4180 Budget authority, net (total)	-23	912	912
4190 Outlays, net (total)	283	-210	-210

Status of Guaranteed Loans (in millions of dollars)

Identification code 083-4162-0-3-155	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority	3,205	23,030	20,875
2121 Limitation available from carry-forward			
2143 Uncommitted limitation carried forward			
2150 Total guaranteed loan commitments	3,205	23,030	20,875
2199 Guaranteed amount of guaranteed loan commitments		23,030	20,875
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	41,644	33,968	33,040
2231 Disbursements of new guaranteed loans	3,557	6,725	10,413
2251 Repayments and prepayments	-11,189	-7,617	-5,814
2263 Adjustments: Terminations for default that result in claim payments	-44	-36	-35
2290 Outstanding, end of year	33,968	33,040	37,604
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		33,040	37,604
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year			
2364 Other adjustments, net			
2390 Outstanding, end of year			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Export-Import Bank Act of 1945 (P.L. 79-173, as amended), this account includes reserves amounting to not less than five percent of the aggregate amount of disbursed and outstanding loans, guarantees, and insurance of the Bank.

Balance Sheet (in millions of dollars)

Identification code 083-4162-0-3-155	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	594	501
1206 Non-Federal assets: Receivables, net		
1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Loans receivable, gross		
1999 Total assets	594	501
LIABILITIES:		
Federal liabilities: Debt		
Non-Federal liabilities:		
2201 Accounts payable		
2204 Liabilities for loan guarantees	594	501
2999 Total liabilities	594	501
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	594	501

EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 083-4027-0-3-155	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	7	7
1820 Capital transfer of spending authority from offsetting collections to general fund	-3	-7	-7
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-3	-7	-7
4180 Budget authority, net (total)	-3	-7	-7
4190 Outlays, net (total)	-3	-7	-7

Status of Direct Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	329	90	89
1251 Repayments: Repayments and prepayments	-3	-1
1264 Other adjustments, net (+ or -)	-236
1290 Outstanding, end of year	90	89	89

Status of Guaranteed Loans (in millions of dollars)

Identification code 083-4027-0-3-155	2019 actual	2020 est.	2021 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	28	27	26
2351 Repayments of loans receivable	-1	-1	-1
2390 Outstanding, end of year	27	26	25

EXIM's liquidating account records all cash flows to and from the Government resulting from all EXIM direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance exports. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new EXIM credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 083-4027-0-3-155	2018 actual	2019 actual
ASSETS:		
1601 Direct loans, gross	329	90
1602 Interest receivable	43
1603 Allowance for estimated uncollectible loans and interest (-)	-320	-131
1699 Value of assets related to direct loans	9	2
1701 Defaulted guaranteed loans, gross	28	27
1703 Allowance for estimated uncollectible loans and interest (-)	-10	-21
1799 Value of assets related to loan guarantees	18	6
1999 Total assets	27	8
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable
2203 Debt	12	2
2204 Liabilities for loan guarantees
2207 Other
2999 Total liabilities	12	2
NET POSITION:		
3300 Cumulative results of operations	1,000	1,000

3300 Cumulative results of operations	-985	-994
3999 Total net position	15	6
4999 Total liabilities and net position	27	8

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
083-272710 Export-Import Bank Loans, Negative Subsidies	497	610
083-272730 Export-Import Bank Loans, Downward Reestimates of Subsidies	375	173
General Fund Offsetting receipts from the public	375	670	610

FARM CREDIT ADMINISTRATION

Federal Funds

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed **[\$77,000,000] \$80,400,000** (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships: *Provided further*, That the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress: *Provided further*, That the purposes of section 3.7(b)(2)(A)(i) of the Farm Credit Act of 1971 (12 U.S.C. 2128(b)(2)(A)(i)), the Farm Credit Administration may exempt, an amount in its sole discretion, from the application of the limitation provided in that clause of export loans described in the clause guaranteed or insured in a manner other than described in subclause (II) of the clause. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 352-4131-0-3-351	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Limitation on Administrative Expenses (Reimbursable)	74	78	81
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	22	22	22
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	74	78	81
1930 Total budgetary resources available	96	100	103
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22	22	22

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	15	15
3010 New obligations, unexpired accounts	74	78	81
3020 Outlays (gross)	-73	-78	-84
3050 Unpaid obligations, end of year	15	15	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13	14	14
3200 Obligated balance, end of year	14	14	11

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	74	78	81
Outlays, gross:			
4100 Outlays from new mandatory authority	66	62	75
4101 Outlays from mandatory balances	7	16	9
4110 Outlays, gross (total)	73	78	84

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120	Federal sources	-1	
4121	Interest on Federal securities:	-1	
4123	Non-Federal sources	-72	-81
4130	Offsets against gross budget authority and outlays (total)	-74	-81
4170	Outlays, net (mandatory)	-1	3
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-1	3

Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	32	36
5001	Total investments, EOY: Federal securities: Par value	35	33

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2019, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 68 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(g)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request that the IG submits to its designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(g)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is \$1,964,094.

The amount needed for OIG training is \$24,000.

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$5,900.

The FCA IG's budget request for 2021 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 352-4131-0-3-351			
		2019 actual	2020 est.
Reimbursable obligations:			
Personnel compensation:			
11.1	Full-time permanent	45	48
11.3	Other than full-time permanent	1	1
11.9	Total personnel compensation	46	49
12.1	Civilian personnel benefits	17	21
21.0	Travel and transportation of persons	3	3
23.3	Communications, utilities, and miscellaneous charges	1	1
25.1	Advisory and assistance services	2	3
25.2	Other services from non-Federal sources	1	
25.3	Other goods and services from Federal sources	1	1
25.7	Operation and maintenance of equipment	1	1
26.0	Supplies and materials	1	1
31.0	Equipment	1	1
99.9	Total new obligations, unexpired accounts	74	81

Employment Summary

Identification code 352-4131-0-3-351			
		2019 actual	2020 est.
2001	Reimbursable civilian full-time equivalent employment	308	322

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 352-4136-0-3-351		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0801	Reimbursable program activity	70	4	5
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	4,692	4,926	5,204
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	308	282	293
1801	Change in uncollected payments, Federal sources	-4		
1850	Spending auth from offsetting collections, mand (total)	304	282	293
1930	Total budgetary resources available	4,996	5,208	5,497
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4,926	5,204	5,492
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	70	4	5
3020	Outlays (gross)	-70	-4	-4
3050	Unpaid obligations, end of year			1
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-18	-18
3070	Change in uncollected pymts, Fed sources, unexpired	4		
3090	Uncollected pymts, Fed sources, end of year	-18	-18	-18
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	-22	-18	-18
3200	Obligated balance, end of year	-18	-18	-17
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	304	282	293
Outlays, gross:				
4100	Outlays from new mandatory authority	70	4	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities	-95	-79	-84
4123	Non-Federal sources	-213	-203	-209
4130	Offsets against gross budget authority and outlays (total)	-308	-282	-293
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	4		
4170	Outlays, net (mandatory)	-238	-278	-289
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-238	-278	-289
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	4,695	4,932	5,216
5001	Total investments, EOY: Federal securities: Par value	4,932	5,216	5,505

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. However, the same member may not serve as a chair of both entities. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. As of September 30, 2019, the Insurance Fund was \$208 million above the 2 percent secure base amount at 2.08 percent. For calendar 2019, the Corporation is assessing insurance premiums at 9 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2020, the Corporation's Board

FARM CREDIT SYSTEM INSURANCE FUND—Continued

will determine insurance premium rates for calendar year 2020. The Corporation has the authority to make refunds of excess Insurance Fund balances.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to pay the operating costs of the Corporation and to exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

Object Classification (in millions of dollars)

Identification code 352-4136-0-3-351	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.3 Other goods and services from Federal sources	1	1	2
43.0 Interest and dividends	66		
99.9 Total new obligations, unexpired accounts	70	4	5

Employment Summary

Identification code 352-4136-0-3-351	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	11	11	11

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, **[\$339,000,000]** \$343,070,000, to remain available until expended: *Provided*, That **[\$339,000,000]** \$343,070,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year **[2020]** 2021 so as to result in a final fiscal year **[2020]** 2021 appropriation estimated at \$0: *Provided further*, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed **[\$132,539,000]** \$134,495,000 for fiscal year **[2020]** 2021: *Provided further*, That, of the amount appropriated under this heading, not less than **[\$11,105,700]** \$11,326,800 shall be for the salaries and expenses of the Office of Inspector General. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Salaries and Expenses (Reimbursable)	482	469	477
0809 Reimbursable program activities, subtotal	482	469	477
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	119	116	120
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	127	116	120
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Reimbursables)	1	4	4
1700 Offsetting collections (Auctions)	130	133	134
1700 Offsetting collections (Reg Fees)	353	336	343
1701 Change in uncollected payments, Federal sources	1		
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-14		

1750 Spending auth from offsetting collections, disc (total)	471	473	481
Spending authority from offsetting collections, mandatory:			
1802 Offsetting collections (previously unavailable)	135		
1820 Capital transfer of spending authority from offsetting collections to general fund	-135		
1900 Budget authority (total)	471	473	481
1930 Total budgetary resources available	598	589	601
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	116	120	124

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	83	120	69
3010 New obligations, unexpired accounts	482	469	477
3020 Outlays (gross)	-437	-520	-480
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	120	69	66
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	1		
3090 Uncollected pymts, Fed sources, end of year	-1	-1	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	82	119	68
3200 Obligated balance, end of year	119	68	65

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	471	473	481
Outlays, gross:			
4010 Outlays from new discretionary authority	360	406	413
4011 Outlays from discretionary balances	77	114	67
4020 Outlays, gross (total)	437	520	480
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-131	-137	-138
4033 Non-Federal sources	-353	-336	-343
4040 Offsets against gross budget authority and outlays (total)	-484	-473	-481
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	-14		
4080 Outlays, net (discretionary)	-47	47	-1
4180 Budget authority, net (total)	-14		
4190 Outlays, net (total)	-47	47	-1

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	138	17	17
5092 Unexpired unavailable balance, EOY: Offsetting collections	17	17	17

The Federal Communications Commission (FCC or Commission) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include closing the digital divide, promoting innovation, protecting consumers and public safety, and reforming the FCC's processes to reduce regulatory burdens and make the agency more transparent. The 2021 Budget includes an overall request of \$343 million to fund the Commission. Of that amount, the requested funding for the FCC's Inspector General is \$11 million.

The Commission is also requesting \$134 million for the Spectrum Auctions Program for 2021. The Budget proposes to make additional spectrum available for commercial use via an auction. Additional net auction proceeds are expected to exceed \$1 billion through 2030. Additionally, following successful completion of the National Oceanic and Atmospheric Administration (NOAA) Spectrum Pipeline Plan, the Budget proposes that the Commission exercise auction authority to assign spectrum frequencies between 1675-1680 megahertz for wireless broadband use subject to sharing arrangements with Federal weather satellites. The proposal is expected to raise \$355 million in receipts over 10 years.

Object Classification (in millions of dollars)

Identification code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	205	205	216
12.1 Civilian personnel benefits	61	61	62
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	40	41	33
23.3 Communications, utilities, and miscellaneous charges	7	8	10
24.0 Printing and reproduction	2	2	2
25.2 Other services from non-Federal sources	57	61	67
25.3 Other goods and services from Federal sources	4	3	5
25.7 Operation and maintenance of equipment	99	82	74
26.0 Supplies and materials	1	1	4
31.0 Equipment	4	3	2
99.9 Total new obligations, unexpired accounts	482	469	477

Employment Summary

Identification code 027-0100-0-1-376	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,422	1,448	1,448

UNIVERSAL SERVICE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 027-5183-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	2	2	2
Receipts:			
Current law:			
1110 Universal Service Fund	9,725	8,655	8,478
1140 Earnings on Federal Investments, Universal Service Fund	31	1
1199 Total current law receipts	9,756	8,656	8,478
1999 Total receipts	9,756	8,656	8,478
2000 Total: Balances and receipts	9,758	8,658	8,480
Appropriations:			
Current law:			
2101 Universal Service Fund	-9,725	-8,655	-8,478
2101 Universal Service Fund	-31	-1
2199 Total current law appropriations	-9,756	-8,656	-8,478
2999 Total appropriations	-9,756	-8,656	-8,478
5099 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 027-5183-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Universal service fund	15,403	6,470	6,607
0002 Program support	190	248	242
0900 Total new obligations, unexpired accounts (object class 41.0)	15,593	6,718	6,849
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	-6,047	-11,076	-9,299
1010 Unobligated balance transfer to other accts [027-5700]	-298
1021 Recoveries of prior year unpaid obligations	787	563	733
1033 Recoveries of prior year paid obligations	21
1050 Unobligated balance (total)	-5,239	-10,811	-8,566
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special fund)—Receipts	9,725	8,655	8,478
1201 Appropriation (special fund)—Interest	31	1
1220 Appropriations transferred to other acct [027-5700]	-426
1260 Appropriations, mandatory (total)	9,756	8,230	8,478
1900 Budget authority (total)	9,756	8,230	8,478
1930 Total budgetary resources available	4,517	-2,581	-88
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	-11,076	-9,299	-6,937

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12,853	17,589	14,837
3010 New obligations, unexpired accounts	15,593	6,718	6,849
3020 Outlays (gross)	-10,070	-8,889	-8,923
3030 Unpaid obligations transferred to other accts [027-5700]	-18
3040 Recoveries of prior year unpaid obligations, unexpired	-787	-563	-733
3050 Unpaid obligations, end of year	17,589	14,837	12,030
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12,853	17,589	14,837
3200 Obligated balance, end of year	17,589	14,837	12,030

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	9,756	8,230	8,478
Outlays, gross:			
4100 Outlays from new mandatory authority	5,357	4,257	4,608
4101 Outlays from mandatory balances	4,713	4,632	4,315
4110 Outlays, gross (total)	10,070	8,889	8,923
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-21
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	21
4160 Budget authority, net (mandatory)	9,756	8,230	8,478
4170 Outlays, net (mandatory)	10,049	8,889	8,923
4180 Budget authority, net (total)	9,756	8,230	8,478
4190 Outlays, net (total)	10,049	8,889	8,923

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	2,883	308
5001 Total investments, EOY: Federal securities: Par value	308

Pursuant to the Communications Act of 1934, as amended by the Telecommunications Act of 1996 (1996 Act), all telecommunications service providers and certain other providers of telecommunications contribute to the Federal Universal Service Fund (USF) based on a percentage of their interstate and international end-user telecommunications revenues. These companies include wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers. The goals of USF are to increase access to both telecommunications and advanced services, such as high-speed Internet, for all consumers at just, reasonable and affordable rates. The 1996 Act established principles for universal service that specifically focused on increasing access to evolving services for consumers living in rural and insular areas, and for consumers with low incomes. Additional principles called for increased access to high-speed Internet in the nation's schools, libraries and rural health care facilities. The FCC established four programs within the USF to implement the statute. The four programs are: (1) High Cost—ensures consumers in rural, insular, and high cost areas have access to modern communications networks capable of providing voice and broadband service, both fixed and mobile, at rates that are reasonably comparable to those in urban areas; (2) Lifeline (for low-income consumers)—provides a monthly benefit on home or wireless phone and broadband service to eligible households and includes initiatives to expand phone service for residents of Tribal lands; (3) Schools and Libraries (E-rate)—provides funding to schools and libraries to obtain broadband, among other things; and (4) Rural Health Care—provides funding to eligible health care providers for telecommunications and broadband services necessary for the provision of health care.

Universal Service Fund

	2019 Actual	2020 CY	2021 BY
Receipts			
Universal Service	\$8,247	\$8,230	\$8,478
Telecommunications Relay ¹	\$1,509
Total - Receipts	\$9,756	\$8,230	\$8,478
Outlays			
Universal Service	\$8,703	\$8,889	\$8,923
Telecommunications Relay ¹	\$1,367

UNIVERSAL SERVICE FUND—Continued
Universal Service Fund—Continued

	2019 Actual	2020 CY	2021 BY
Total - Outlays	\$10,070	\$8,889	\$8,923

¹ Telecommunication Relay Service (TRS) numbers are included under USF for 2019, however, TRS numbers are shown under a separate account starting in 2020.

TELECOMMUNICATIONS RELAY SERVICES FUND, FEDERAL COMMUNICATIONS COMMISSION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 027-5700-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			426
Receipts:			
Current law:			
1110 Contributions for Telecommunications Relay Services, Telecommunications Relay Services Fund		1,424	1,457
2000 Total: Balances and receipts		1,424	1,883
Appropriations:			
Current law:			
2101 Telecommunications Relay Services Fund, Federal Communications Commission		-998	-1,457
5099 Balance, end of year		426	426

Program and Financing (in millions of dollars)

Identification code 027-5700-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Telecommunications Relay Services Fund		1,436	1,440
0002 Program Support		15	15
0900 Total new obligations, unexpired accounts (object class 41.0)		1,451	1,455
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			271
1011 Unobligated balance transfer from other acct [027-5183]		298	
1050 Unobligated balance (total)		298	271
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		998	1,457
1221 Appropriations transferred from other acct [027-5183]		426	
1260 Appropriations, mandatory (total)		1,424	1,457
1930 Total budgetary resources available		1,722	1,728
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		271	273

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			15
3010 New obligations, unexpired accounts		1,451	1,455
3020 Outlays (gross)		-1,454	-1,455
3031 Unpaid obligations transferred from other accts [027-5183]		18	
3050 Unpaid obligations, end of year		15	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			15
3200 Obligated balance, end of year		15	15

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross		1,424	1,457
Outlays, gross:			
4100 Outlays from new mandatory authority		1,141	1,173
4101 Outlays from mandatory balances		313	282
4110 Outlays, gross (total)		1,454	1,455
4180 Budget authority, net (total)		1,424	1,457
4190 Outlays, net (total)		1,454	1,455

As part of the Americans with Disabilities Act of 1990 Congress amended the Communications Act of 1934 to direct the Federal Communications Commission "to ensure that interstate and intrastate telecommunications

relay services (TRS) are available, to the extent possible and in the most efficient manner, to hearing and speech-impaired individuals in the United States." Section 225 of the Communications Act also directs the Commission to prescribe regulations that "generally provide that costs caused by interstate telecommunications relay services shall be recovered from all subscribers for every interstate service and costs caused by intrastate telecommunications relay service shall be recovered from the intrastate jurisdiction." The shared-funding mechanism requires providers of interstate telecommunications services to contribute to a fund that reimburses TRS providers for the cost of providing interstate TRS. All telecommunications service providers and certain other providers of telecommunications contribute to the TRS Fund based on a percentage of their end-user telecommunications revenues. These companies include, but are not limited to, wireline phone companies, wireless phone companies, paging service companies and certain Voice over Internet Protocol (VoIP) providers.

SPECTRUM AUCTION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 027-0300-0-1-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996. As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Balance Sheet (in millions of dollars)

Identification code 027-4133-0-3-376	2018 actual	2019 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	3	3
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross		
1402 Interest receivable		
1405 Allowance for subsidy cost (-)		
1499 Net present value of assets related to direct loans		
1999 Total assets	3	3
LIABILITIES:		
2105 Federal liabilities: Other	3	3
4999 Total liabilities and net position	3	3

TV BROADCASTER RELOCATION FUND

Program and Financing (in millions of dollars)

Identification code 027-5610-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 TV Broadcaster Relocation	125	882
0900 Total new obligations, unexpired accounts (object class 41.0)	125	882
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	607	917	35
1021 Recoveries of prior year unpaid obligations	35
1050 Unobligated balance (total)	642	917	35
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	400
1900 Budget authority (total)	400
1930 Total budgetary resources available	1,042	917	35
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	917	35	35
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,527	1,037	659
3010 New obligations, unexpired accounts	125	882
3020 Outlays (gross)	-580	-1,260	-567
3040 Recoveries of prior year unpaid obligations, unexpired	-35
3050 Unpaid obligations, end of year	1,037	659	92
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,527	1,037	659
3200 Obligated balance, end of year	1,037	659	92
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	400
Outlays, gross:			
4100 Outlays from new mandatory authority	19
4101 Outlays from mandatory balances	561	1,260	567
4110 Outlays, gross (total)	580	1,260	567
4180 Budget authority, net (total)	400
4190 Outlays, net (total)	580	1,260	567

SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned commercial spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2021 and total \$4.0 billion through 2030.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
027-089600 Spectrum License User Fees: Legislative proposal, subject to PAYGO	50
027-242900 Fees for Services	23	23	23
027-247400 Auction Receipts	1	2,510	18,404
027-247400 Auction Receipts: Legislative proposal, subject to PAYGO	178
027-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1	3	3
General Fund Offsetting receipts from the public	25	2,536	18,658

The Budget includes \$18.0 billion in estimated receipts from the anticipated public auction of 280 MHz of C-Band spectrum.

ADMINISTRATIVE PROVISIONS

SEC. 510. Section 302 of the Universal Service Antideficiency Temporary Suspension Act is amended by striking "December 31, [2019] 2020" each place it appears and inserting "December 31, [2020] 2022".

SEC. 511. None of the funds appropriated by this Act may be used by the Federal Communications Commission to modify, amend, or change its rules or regulations for universal service support payments to implement the February 27, 2004, recommendations of the Federal-State Joint Board on Universal Service regarding single connection or primary line restrictions on universal service support payments. (Financial Services and General Government Appropriations Act, 2020.)

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the FDIC to use the least costly method to resolve failed banks and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. To protect depositors, the FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 or FIRREA (P.L. 101-73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006.

DEPOSIT INSURANCE

Federal Funds

DEPOSIT INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Insurance	296	341	350
0003 Supervision	984	971	998
0004 Receivership Management	241	287	295
0005 General and Administrative	172	255	262
0091 Total operating expenses	1,693	1,854	1,905
0101 Resolution Outlays	206	340	6,741
0900 Total new obligations, unexpired accounts	1,899	2,194	8,646
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	98,689	104,593	111,147
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1710 Spending authority from offsetting collections transferred to other accounts [051-4595]	-43
Spending authority from offsetting collections, mandatory:			
1800 Collected	9,387	8,604	14,971
1801 Change in uncollected payments, Federal sources	-1,547	187	72
1810 Spending authority from offsetting collections transferred to other accounts [051-4595]	-37	-43
1850 Spending auth from offsetting collections, mand (total)	7,803	8,748	15,043
1900 Budget authority (total)	7,803	8,748	15,000
1930 Total budgetary resources available	106,492	113,341	126,147
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	104,593	111,147	117,501

DEPOSIT INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	122	130	130
3010 New obligations, unexpired accounts	1,899	2,194	8,646
3020 Outlays (gross)	-1,891	-2,194	-8,603
3050 Unpaid obligations, end of year	130	130	173
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3,230	-1,683	-1,870
3070 Change in uncollected pymts, Fed sources, unexpired	1,547	-187	-72
3090 Uncollected pymts, Fed sources, end of year	-1,683	-1,870	-1,942
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-3,108	-1,553	-1,740
3200 Obligated balance, end of year	-1,553	-1,740	-1,769
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-43
Outlays, gross:			
4010 Outlays from new discretionary authority			-43
Mandatory:			
4090 Budget authority, gross	7,803	8,748	15,043
Outlays, gross:			
4101 Outlays from mandatory balances	1,891	2,194	8,646
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-1,298	-2,492	-2,343
4123 Non-Federal sources	-8,089	-6,112	-12,628
4130 Offsets against gross budget authority and outlays (total)	-9,387	-8,604	-14,971
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1,547	-187	-72
4160 Budget authority, net (mandatory)	-37	-43	
4170 Outlays, net (mandatory)	-7,496	-6,410	-6,325
4180 Budget authority, net (total)	-37	-43	-43
4190 Outlays, net (total)	-7,496	-6,410	-6,368
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	96,431	104,015	110,669
5001 Total investments, EOY: Federal securities: Par value	104,015	110,669	116,675

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new DIF on March 31, 2006. Through the DIF, the FDIC resolves and recovers funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, defined as a designated percentage of estimated insured deposits set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF balance to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect on small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent; and 4) permanently increased the insured deposit level to \$250,000 at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

As of September 30, 2019, the DIF balance stood at \$108.9 billion on an accrual basis, measuring expected losses to current balances. This level is

equivalent to a reserve ratio of 1.41 percent. The growth in the DIF balance reflects projections of bank failures in line with historical experience and assessment revenue required to increase the reserve ratio over time.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2016.) The DIF reserve ratio reached the statutorily required level of 1.35 percent by September 30, 2018, in accordance with FDIC regulation.

For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

Object Classification (in millions of dollars)

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	869	919	944
12.1 Civilian personnel benefits	303	331	340
21.0 Travel and transportation of persons	86	92	94
23.2 Rental payments to others	41	41	46
23.2 Long Term Lease Obligations		4	
23.3 Communications, utilities, and miscellaneous charges	21	25	26
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	335	387	398
26.0 Supplies and materials	4	7	7
31.0 Equipment	24	34	35
32.0 Land and structures	9	14	15
42.0 Resolution Outlays	206	340	6,740
99.0 Direct obligations	1,899	2,195	8,646
99.5 Adjustment for rounding		-1	
99.9 Total new obligations, unexpired accounts	1,899	2,194	8,646

Employment Summary

Identification code 051-4596-0-4-373	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5,775	5,755	5,755

FSLIC RESOLUTION
Federal Funds

FSLIC RESOLUTION FUND

Program and Financing (in millions of dollars)

Identification code 051-4065-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Transfer to RefCorp		20	
0803 Receivership management	1		
0804 General administrative		1	1
0809 Reimbursable program activities, subtotal	1	21	1
0900 Total new obligations, unexpired accounts	1	21	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	894	916	920
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections	23	25	23
1900 Budget authority (total)	23	25	23
1930 Total budgetary resources available	917	941	943
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	916	920	942
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			20
3010 New obligations, unexpired accounts	1	21	1
3020 Outlays (gross)	-1	-1	-1
3050 Unpaid obligations, end of year		20	20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			20
3200 Obligated balance, end of year		20	20

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	23	25	23
Outlays, gross:				
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	1	1	1
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4121	Interest on Federal securities	-20	-21	-21
4123	Non-Federal sources	-3	-4	-2
4130	Offsets against gross budget authority and outlays (total)	-23	-25	-23
4170	Outlays, net (mandatory)	-22	-24	-22
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-22	-24	-22
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	852	872	876
5001	Total investments, EOY: Federal securities: Par value	872	876	898

The FSLIC Resolution Fund (FRF) is the ultimate successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: 1) income earned on its assets; 2) liquidation proceeds from receiverships; 3) the proceeds of the sale of bonds by the Financing Corporation; and 4) a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act or FIRREA (P.L. 101-73) authorizes appropriations to make up for any shortfall. Currently, the FRF consists of two distinct pools of assets and liabilities. One is composed of the assets and liabilities of the FSLIC transferred to the FRF (FRF-FSLIC) and the other is composed of the RTC assets and liabilities (FRF-RTC). The assets of one pool are not available to satisfy obligations of the other. The FRF will continue operations until all of its assets are sold or otherwise liquidated and all its liabilities are satisfied. Any funds remaining in the FRF-FSLIC will be paid to the U.S. Treasury. Any remaining funds of the FRF-RTC will be distributed to the Resolution Funding Corporation to pay interest on its bonds.

Object Classification (in millions of dollars)

Identification code 051-4065-0-3-373				
		2019 actual	2020 est.	2021 est.
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
42.0	Transfer to RefCorp		20	
99.9	Total new obligations, unexpired accounts	1	21	1

Employment Summary

Identification code 051-4065-0-3-373				
		2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	1	1	1

ORDERLY LIQUIDATION

Federal Funds

ORDERLY LIQUIDATION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 051-5586-0-2-373				
		2019 actual	2020 est.	2021 est.
0100	Balance, start of year			
Receipts:				
Current law:				
1110	Risk-Based Assessments, Orderly Liquidation Fund		35	578
2000	Total: Balances and receipts		35	578

Appropriations:				
Current law:				
2101	Orderly Liquidation Fund	-35	-578	
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 051-5586-0-2-373				
		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Orderly Liquidation		1,731	4,159
0002	Administrative Expenses		2	4
0003	Interest to Treasury		14	58
0900	Total new obligations, unexpired accounts		1,747	4,221

Budgetary resources:

Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)		35	578
Borrowing authority, mandatory:				
1400	Borrowing authority		1,712	3,643
1900	Budget authority (total)		1,747	4,221
1930	Total budgetary resources available		1,747	4,221

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts		1,747	4,221
3020	Outlays (gross)	-1,747		-4,221

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross		1,747	4,221
Outlays, gross:				
4100	Outlays from new mandatory authority		1,747	4,221
4180	Budget authority, net (total)		1,747	4,221
4190	Outlays, net (total)		1,747	4,221

Memorandum (non-add) entries:

5080	Outstanding debt, SOY			-1,731
5081	Outstanding debt, EOY		-1,731	-5,826
5082	Borrowing		-1,731	-4,095

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) established an Orderly Liquidation Authority (OLA) permitting the appointment of the FDIC as receiver of financial companies whose failure and resolution under otherwise applicable Federal or State law is determined to have serious adverse effects on financial stability in the United States. The Federal Reserve Board and the FDIC, the Securities and Exchange Commission (for brokers or dealers) or the Federal Insurance Office (for insurance companies) must recommend in writing that the Secretary of the Treasury appoint the FDIC as the company's receiver.

The Secretary of the Treasury must then, in consultation with the President, determine whether seven criteria authorizing the appointment of the FDIC as receiver for the failing financial company have been satisfied, including finding that resolution under otherwise applicable law would have serious adverse effects on financial stability in the United States.

Object Classification (in millions of dollars)

Identification code 051-5586-0-2-373				
		2019 actual	2020 est.	2021 est.
Direct obligations:				
43.0	Admin		2	4
43.0	Interest and Dividends		14	58
43.0	Orderly Liquidation		1,731	4,159
99.9	Total new obligations, unexpired accounts		1,747	4,221

FDIC—OFFICE OF INSPECTOR GENERAL

Federal Funds

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$42,982,000, to be derived from

OFFICE OF THE INSPECTOR GENERAL—Continued

the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 051-4595-0-4-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Office of the Inspector General (Reimbursable)	37	43	43
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [051-4596]	37	43	43
1930 Total budgetary resources available	37	43	43
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	37	43	43
3020 Outlays (gross)	-37	-43	-43
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	37	43	43
Outlays, gross:			
4010 Outlays from new discretionary authority	37	43	43
4180 Budget authority, net (total)	37	43	43
4190 Outlays, net (total)	37	43	43

The FDIC's Office of Inspector General (FDIC OIG) is an independent unit within the FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board pursuant to the Inspector General Act amendments of 1988 (P.L. 100-504). The Resolution Trust Corporation Completion Act (P.L. 103-204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added the FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code, thereby safeguarding FDIC OIG's independence. Assessments paid to the Deposit Insurance Fund (DIF) by insured financial institutions, and administered by the FDIC, fully fund FDIC OIG's appropriation. To the extent that FDIC OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work is derived from the FRF.

Object Classification (in millions of dollars)

Identification code 051-4595-0-4-373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	25	24
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	22	26	25
12.1 Civilian personnel benefits	10	12	11
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	3	3	4
31.0 Equipment	1	1	2
99.9 Total new obligations, unexpired accounts	37	43	43

Employment Summary

Identification code 051-4595-0-4-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	126	142	142

FEDERAL DRUG CONTROL PROGRAMS

Federal Funds

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM

[(INCLUDING TRANSFERS OF FUNDS)]

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$285,000,000, to remain available until September 30, 2021, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: *Provided*, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to \$2,700,000 may be used for auditing services and associated activities: *Provided further*, That any unexpended funds obligated prior to fiscal year 2018 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements: *Provided further*, That each HIDTA designated as of September 30, 2019, shall be funded at not less than the fiscal year 2019 base level, unless the Director submits to the Committees on Appropriations of the House of Representatives and the Senate justification for changes to those levels based on clearly articulated priorities and published Office of National Drug Control Policy performance measures of effectiveness: *Provided further*, That the Director shall notify the Committees on Appropriations of the initial allocation of fiscal year 2020 funding among HIDTAs not later than 45 days after enactment of this Act, and shall notify the Committees of planned uses of discretionary HIDTA funding, as determined in consultation with the HIDTA Directors, not later than 90 days after enactment of this Act: *Provided further*, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein and upon notification to the Committees on Appropriations of the House of Representatives and the Senate, such amounts may be transferred back to this appropriation. (Executive Office of the President Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 011-1070-0-1-754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Grants and federal transfers	286	282
0003 Auditing services and activities	3	3
0900 Total new obligations, unexpired accounts	289	285
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	44	14	14
1010 Unobligated balance transfer to other accts [015-0200]	-1
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	45	14	14
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail	280	285
1120 Appropriations transferred to other accts [070-0540]	-2
1120 Appropriations transferred to other accts [015-1100]	-16
1120 Appropriations transferred to other accts [015-0200]	-2
1120 Appropriations transferred to other accts [015-0322]	-1
1120 Appropriations transferred to other accts [015-0324]	-1
1160 Appropriation, discretionary (total)	258	285
1930 Total budgetary resources available	303	299	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	240	281	228
3010 New obligations, unexpired accounts	289	285
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-245	-338	-171
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3041 Recoveries of prior year unpaid obligations, expired	-2
3050 Unpaid obligations, end of year	281	228	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	240	281	228
3200 Obligated balance, end of year	281	228	57
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	258	285

Outlays, gross:			
4010	Outlays from new discretionary authority	33	71
4011	Outlays from discretionary balances	212	267
			171
4020	Outlays, gross (total)	245	338
4180	Budget authority, net (total)	258	285
4190	Outlays, net (total)	245	338
			171

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988 to provide assistance to Federal, State, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

For 2021, the Budget proposes to transfer the HIDTA program from the Office of National Drug Control Policy (ONDCP) to the Department of Justice. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Object Classification (in millions of dollars)

Identification code 011-1070-0-1-754	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Auditing services and activities	3	3
41.0	Grants and federal transfers	286	282
99.9	Total new obligations, unexpired accounts	289	285

OTHER FEDERAL DRUG CONTROL PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the **Anti-Drug Abuse Act of 1988, as amended**, and the Office of National Drug Control Policy Reauthorization Act of 1998, as amended **through Public Law 115-271, \$121,715,000**, **\$12,432,000**, to remain available until expended, **which shall be available as follows: \$101,250,000 for the Drug-Free Communities Program, of which \$2,500,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by section 8204 of Public Law 115-271; \$2,500,000 for drug court training and technical assistance; \$10,000,000 for anti-doping activities; \$2,715,000 for**, to include the United States membership dues to the World Anti-Doping Agency; **\$1,250,000 for the Model Acts Program; and \$4,000,000 for activities authorized by section 103 of Public Law 114-198**; *Provided*, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities. (*Executive Office of the President Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Drug-Free Communities Program	73	101
0003	Drug Court Training & Technical Assistance		3
0006	Anti-Doping Activities	9	10
0007	Section 103 of Public Law 114-198	3	4
0008	Section 1105 of Public Law 109-469/Model Acts Program	3	1
0009	World Anti-Doping Agency Dues	3	3
0010	Anti-Doping Activities (to include WADA)		12
0900	Total new obligations, unexpired accounts	91	122
			12
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	16	46
1021	Recoveries of prior year unpaid obligations	3	
1050	Unobligated balance (total)	19	46
Budget authority:			
Appropriations, discretionary:			
1100	New budget authority (gross), detail	118	122
1900	Budget authority (total)	118	122
1930	Total budgetary resources available	137	168
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	46	46

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	21	22
			13

3010	New obligations, unexpired accounts	91	122	12
3020	Outlays (gross)	-87	-131	-23
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	22	13	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	21	22	13
3200	Obligated balance, end of year	22	13	2

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	118	122	12
Outlays, gross:				
4010	Outlays from new discretionary authority	72	110	11
4011	Outlays from discretionary balances	15	21	12
4020	Outlays, gross (total)	87	131	23
4180	Budget authority, net (total)	118	122	12
4190	Outlays, net (total)	87	131	23

This account is for other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 1998, as amended through Public Law 115-271. The funds appropriated support high-priority drug control programs and may be transferred to drug control agencies.

For 2021, the Budget proposes to transfer the Drug-Free Communities Support Program (DFC) from ONDCP to the Department of Health and Human Services. This proposal will enable ONDCP to focus resources on its core mission: to reduce drug use and its consequences by leading and coordinating the development, implementation, and assessment of U.S. drug policy.

Anti-Doping Efforts, to include World Anti-Doping Agency (WADA) Dues.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States. WADA was established in 1999 as an international independent agency composed and funded equally by the sports movement and governments of the world. Its key activities include scientific research, education, development of anti-doping capacities, and monitoring of the World Anti-Doping Code—the document harmonizing anti-doping policies in all sports and all countries. ONDCP represents the United States before the agency and is responsible for the payment of U.S. dues.

For 2021, ONDCP is proposing to combine grant funding supporting domestic anti-doping activities and WADA dues payments into a single "Anti-Doping Activities" program account. This approach will enable the United States Government to strategically allocate financial resources for these activities to best promote drug-free sport and protect the health of athletes. Consolidating this funding will enable a more rigorous review process for any proposed increases in WADA dues amounts. This will ensure that WADA operates with increased transparency and utilizes models of good governance. The United States will continue to support only those dues increases that are linked to budgets that are focused on core anti-doping requirements, fiscally necessary, and equitable among WADA's stakeholders.

Object Classification (in millions of dollars)

Identification code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources	3	3
25.3	Other goods and services from Federal sources	8	8
41.0	Grants, subsidies, and contributions	12	16
94.0	Financial transfers	68	95
99.9	Total new obligations, unexpired accounts	91	122
			12

Employment Summary

Identification code 011-1460-0-1-802	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1	2
			2

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, **[\$71,497,000]** \$73,328,787, of which not to exceed \$5,000 shall be available for reception and representation expenses. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Election Commission	68	71	73
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	71	71	73
1930 Total budgetary resources available	71	71	73
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	19	5
3010 New obligations, unexpired accounts	68	71	73
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-65	-85	-72
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	19	5	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	19	5
3200 Obligated balance, end of year	19	5	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	71	71	73
Outlays, gross:			
4010 Outlays from new discretionary authority	52	65	66
4011 Outlays from discretionary balances	13	20	6
4020 Outlays, gross (total)	65	85	72
4180 Budget authority, net (total)	71	71	73
4190 Outlays, net (total)	65	85	72

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance about the Act and Commission regulations to the public, media, political committees, and election officials. The Commission is also responsible for enforcing the Act through audits, investigations, and civil litigation, and for developing the law by administering and interpreting the Act, the Presidential Election Campaign Fund Act, and the Presidential Primary Matching Payment Account Act.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress.

Object Classification (in millions of dollars)

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	38	38
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	36	39	39
12.1 Civilian personnel benefits	11	12	13
23.1 Rental payments to GSA	1	4	5
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services from non-Federal sources	12	10	9
25.3 Other goods and services from Federal sources	3	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	2	3
99.9 Total new obligations, unexpired accounts	68	71	73

Employment Summary

Identification code 360-1600-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	304	328	328

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL

Federal Funds

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 362-5547-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Assessments, Federal Financial Institutions Examination Council Activities	16	16	16
2000 Total: Balances and receipts	16	16	16
Appropriations:			
Current law:			
2101 Federal Financial Institutions Examination Council Activities	-16	-16	-16
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 362-5547-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 FFIEC Activities	16	16	16
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	16	16	16
1900 Budget authority (total)	16	16	16
1930 Total budgetary resources available	16	16	16
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	16	16	16
3020 Outlays (gross)	-16	-16	-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	16	16	16
Outlays, gross:			
4100 Outlays from new mandatory authority	16	16	16
4180 Budget authority, net (total)	16	16	16
4190 Outlays, net (total)	16	16	16

The Federal Financial Institutions Examination Council (the Council) was established in 1979 pursuant to the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95-630). In 1989, pursuant to the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) (P.L. 101-73), the Appraisal Subcommittee (ASC) was established within the Council. The Council has limited specified responsibilities regarding the ASC.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions; to make recommendations to promote uniformity in the supervision of financial institutions; and to conduct examiner training. Council members include a member of the Board of Governors of the Federal Reserve System, the Chairman of the Federal Deposit Insurance Corporation, the Chairman of the National Credit Union Administration, the Comptroller of the Currency, the Director of the Consumer Financial Protection Bureau, and the Chairman of the State Liaison Committee, which is made up of five representatives from state regulatory agencies that supervise financial institutions.

In addition to its responsibilities under FIRA and FIRREA, the Council was given responsibilities by the Housing and Community Development Act of 1980 (P.L. 96–399) and the Economic Growth and Regulatory Paperwork Reduction Act of 1996 (P.L. 104–208).

The Budget estimates the Council will spend approximately \$16 million during 2021 from resources provided by its Federal members and other fees and reimbursements.

Object Classification (in millions of dollars)

Identification code 362–5547–0–2–376	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.8 Personnel compensation: Special personal services payments	3	3	3
25.1 Advisory and assistance services	13	13	13
99.9 Total new obligations, unexpired accounts	16	16	16

**FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL
SUBCOMMITTEE
Federal Funds
REGISTRY FEES**

Special and Trust Fund Receipts (in millions of dollars)

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	5	5	7
Receipts:			
Current law:			
1110 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council	3	6	6
1110 Incremental Registry Fees (Dodd-Frank Act) Appraisal Subcommittee	1	1	1
1199 Total current law receipts	4	7	7
1999 Total receipts	4	7	7
2000 Total: Balances and receipts	9	12	14
Appropriations:			
Current law:			
2101 Registry Fees	–4	–5	–3
5099 Balance, end of year	5	7	11

Program and Financing (in millions of dollars)

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	4	3	3
0002 Grants, subsidies and contributions	1	1	1
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	4	5
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	4	4	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	5	3
1930 Total budgetary resources available	8	9	8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	5	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	–3	–4	–3
3040 Recoveries of prior year unpaid obligations, unexpired	–1
3050 Unpaid obligations, end of year	1	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	2

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	4	5	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	2
4101 Outlays from mandatory balances	1	1	1
4110 Outlays, gross (total)	3	4	3
4180 Budget authority, net (total)	4	5	3
4190 Outlays, net (total)	3	4	3

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC). The ASC is composed of representatives of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the National Credit Union Administration, the Office of the Comptroller of the Currency, the Department of Housing and Urban Development, the Consumer Financial Protection Bureau, and the Federal Housing Finance Agency.

The ASC is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the states. Its responsibilities include: 1) monitoring the requirements established by the states for the certification and licensing of appraisers and the registration and supervision of the operations and activities of appraisal management companies; 2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally-related transactions under their jurisdiction; 3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; 4) maintaining the National Registry of licensed and certified appraisers and appraisal management companies; 5) transmitting an annual report to Congress no later than June 15 of each year; and 6) making grants to the Appraisal Foundation and state appraiser certifying and licensing agencies.

The ASC's activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury in 1998. The ASC is now operating on fee income from 1) appraisal management companies and 2) state-licensed and state-certified real estate appraisers in the National Registry. The Budget projects that the ASC will spend approximately \$4 million in 2021.

Object Classification (in millions of dollars)

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	3	3	3
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 362–5026–0–2–376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	14	14

FEDERAL HOUSING FINANCE AGENCY*Federal Funds*

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 537–5532–0–2–371	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	1	1

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES—Continued
Special and Trust Fund Receipts—Continued

Identification code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
Receipts:			
Current law:			
1110 FHFA, Fees on GSEs for Administrative Expenses	274	292	303
1140 Interest Earnings on Investments In Treasury Securities, FHFA	3	3	3
1199 Total current law receipts	277	295	306
1999 Total receipts	277	295	306
2000 Total: Balances and receipts	278	296	307
Appropriations:			
Current law:			
2101 Federal Housing Finance Agency, Administrative Expenses	-277	-295	-306
5099 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal Housing Finance Agency, Administrative Expenses (Direct)	274	295	306
0801 Federal Housing Finance Agency, Administrative Expenses (Reimbursable)	1	5	5
0900 Total new obligations, unexpired accounts	275	300	311

Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	19	30	30
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	27	30	30
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	277	295	306
Spending authority from offsetting collections, mandatory:			
1800 Collected	1	5	5
1900 Budget authority (total)	278	300	311
1930 Total budgetary resources available	305	330	341
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	30	30

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	39	44	36
3010 New obligations, unexpired accounts	275	300	311
3020 Outlays (gross)	-262	-308	-312
3040 Recoveries of prior year unpaid obligations, unexpired	-8		
3050 Unpaid obligations, end of year	44	36	35
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39	44	36
3200 Obligated balance, end of year	44	36	35

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	278	300	311
Outlays, gross:			
4100 Outlays from new mandatory authority	235	271	281
4101 Outlays from mandatory balances	27	37	31
4110 Outlays, gross (total)	262	308	312
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1	-5	-5
4180 Budget authority, net (total)	277	295	306
4190 Outlays, net (total)	261	303	307

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	56	71	75
5001 Total investments, EOY: Federal securities: Par value	71	75	77

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the eleven Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L.

110-289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

Object Classification (in millions of dollars)

Identification code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	107	115	118
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	4		
11.9 Total personnel compensation	113	115	118
12.1 Civilian personnel benefits	41	43	44
21.0 Travel and transportation of persons	3	4	4
23.2 Rental payments to others	15	16	17
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	39	51	53
25.3 Other goods and services from Federal sources	4	4	4
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	3	3	3
31.0 Equipment	6	6	6
32.0 Land and structures		2	2
94.0 Financial transfers	45	46	50
99.0 Direct obligations	274	295	306
99.0 Reimbursable obligations	1	5	5
99.9 Total new obligations, unexpired accounts	275	300	311

Employment Summary

Identification code 537-5532-0-2-371	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	586	675	675

OFFICE OF INSPECTOR GENERAL

Program and Financing (in millions of dollars)

Identification code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Office of Inspector General Reimbursable	46	50	50

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	4	
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	45	46	50
1930 Total budgetary resources available	50	50	50
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	9	12
3010 New obligations, unexpired accounts	46	50	50
3020 Outlays (gross)	-46	-47	-50
3050 Unpaid obligations, end of year	9	12	12
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	9	12
3200 Obligated balance, end of year	9	12	12

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	45	46	50
Outlays, gross:			
4100 Outlays from new mandatory authority	38	39	42
4101 Outlays from mandatory balances	8	8	8
4110 Outlays, gross (total)	46	47	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-45	-46	-50
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	1	

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is funded through FHFA's direct assessments on the housing GSEs.

Object Classification (in millions of dollars)

Identification code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	21	21
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	22	22	22
12.1 Civilian personnel benefits	9	10	10
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	3	5	5
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	6	6	6
26.0 Supplies and materials		1	1
31.0 Equipment	2	1	1
99.9 Total new obligations, unexpired accounts	46	50	50

Employment Summary

Identification code 537-5564-0-2-371	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	121	155	155

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, **[\$24,890,000]** \$28,395,000: *Provided*, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Financial Services and General Government Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Authority	16	14	16
0002 Office of the General Counsel	9	10	11
0003 Federal Service Impasses Panel	1	1	1
0900 Total new obligations, unexpired accounts	26	25	28
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	26	25	28
1930 Total budgetary resources available	26	25	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	6	5
3010 New obligations, unexpired accounts	26	25	28

3020	Outlays (gross)	-24	-26	-28
3050	Unpaid obligations, end of year	6	5	5
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	6	5
3200	Obligated balance, end of year	6	5	5
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	26	25	28
Outlays, gross:				
4010	Outlays from new discretionary authority	22	22	25
4011	Outlays from discretionary balances	2	4	3
4020	Outlays, gross (total)	24	26	28
4180	Budget authority, net (total)	26	25	28
4190	Outlays, net (total)	24	26	28

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: 1) determining the appropriateness of units for labor organization representation; 2) resolving complaints of unfair labor practices; 3) adjudicating exceptions to arbitrators' awards; 4) adjudicating legal issues relating to duty to bargain; and 5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide, FLRA includes five Regional Offices and a Headquarters site in Washington, D.C.

Authority.—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

Office of the General Counsel.—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

Federal Service Impasses Panel.—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

Object Classification (in millions of dollars)

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	13	13	15
11.9 Total personnel compensation	13	13	15
12.1 Civilian personnel benefits	5	5	5
23.1 Rental payments to GSA	3	2	3
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	1	1
25.3 Other goods and services from Federal sources	2	3	3
99.0 Direct obligations	26	25	28
99.9 Total new obligations, unexpired accounts	26	25	28

Employment Summary

Identification code 054-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	99	109	119

FEDERAL MARITIME COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901-5902, **[\$28,000,000] \$28,900,000: Provided**, That not to exceed **[\$2,000] \$5,000** shall be available for official reception and representation expenses. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 065-0100-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Inspector General			1
0003 Operational and Administrative	27	28	28
0900 Total new obligations, unexpired accounts	27	28	29
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	27	28	29
1930 Total budgetary resources available	27	28	29
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	4	4
3010 New obligations, unexpired accounts	27	28	29
3020 Outlays (gross)	-27	-28	-29
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	27	28	29
Outlays, gross:			
4010 Outlays from new discretionary authority	23	24	25
4011 Outlays from discretionary balances	4	4	4
4020 Outlays, gross (total)	27	28	29
4180 Budget authority, net (total)	27	28	29
4190 Outlays, net (total)	27	28	29

The Federal Maritime Commission (FMC or Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 (1984 Act) as amended; section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); Sections 2 and 3 of Public Law 89-777; and Section 834 of the Frank LoBiondo Coast Guard Authorization Act of 2018 (LoBiondo Act). The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports, and ocean transportation intermediaries who operate in U.S. foreign commerce to ensure that they maintain just and reasonable practices.

Ocean Transportation Intermediaries (OTIs).—The Commission issues licenses to qualified OTIs operating in the United States and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility.

Passenger Vessel Operators.—The Commission ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death.

Shipping Act Compliance.—The FMC maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and

other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts, and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

Object Classification (in millions of dollars)

Identification code 065-0100-0-1-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	14	16	16
12.1 Civilian personnel benefits	4	5	5
25.2 Other services from non-Federal sources	4	2	3
25.3 Other goods and services from Federal sources	5	5	5
99.9 Total new obligations, unexpired accounts	27	28	29

Employment Summary

Identification code 065-0100-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	112	128	116

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, **[\$47,200,000] \$48,600,000**, including up to \$900,000 to remain available through September 30, 2021, for activities authorized by the Labor-Management Cooperation Act of 1978: *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Dispute mediation and preventive mediation, public information, and grants	34	35	36
0002 Arbitration services	1	1	1
0003 Management and administrative support	10	10	11
0004 Labor-Management Grants (separated from line 0001 for FY17)		1	1
0091 Total direct program	45	47	49
0101 Reimbursables	3	3	3
0900 Total new obligations, unexpired accounts	48	50	52
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	47	47	49

Spending authority from offsetting collections, discretionary:				
1700	Collected	2	3	3
1900	Budget authority (total)	49	50	52
1930	Total budgetary resources available	52	53	55
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-1		
1941	Unexpired unobligated balance, end of year	3	3	3

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	8	5	4
3010	New obligations, unexpired accounts	48	50	52
3020	Outlays (gross)	-50	-51	-52
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	5	4	4
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	8	5	4
3200	Obligated balance, end of year	5	4	4

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	49	50	52
Outlays, gross:				
4010	Outlays from new discretionary authority	41	46	48
4011	Outlays from discretionary balances	9	5	4
4020	Outlays, gross (total)	50	51	52
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-1	-1
4033	Non-Federal sources	-1	-2	-2
4040	Offsets against gross budget authority and outlays (total)	-2	-3	-3
4070	Budget authority, net (discretionary)	47	47	49
4080	Outlays, net (discretionary)	48	48	49
4180	Budget authority, net (total)	47	47	49
4190	Outlays, net (total)	48	48	49

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute Mediation.—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

DISPUTE MEDIATION WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Dispute mediation assignments	12,999	12,244	13,220	13,000	13,000
Total active mediations	4,880	4,807	5,364	5,573	5,573

Preventive Mediation, Public Information, and Educational Activities.—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach activities such as lectures, seminars, and conferences.

PREVENTIVE MEDIATION WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Total preventive mediation cases conducted	1,956	1,815	1,956	2,500	2,500

Arbitration Services.—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Number of panels issued	11,836	11,617	10,944	11,000	11,000
Number of arbitrators appointed	5,247	4,524	4,342	4,771	4,771

Management and Administrative Support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-Management Cooperation Project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	2017 actual	2018 actual	2019 actual	2020 est.	2021 est.
Number of ADR Cases	1,200	1,081	1,212	1,500	1,500

Object Classification (in millions of dollars)

Identification code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	25	27	28
12.1 Civilian personnel benefits	8	9	10
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	5	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	4	4	4
99.0 Direct obligations	45	47	49
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations, unexpired accounts	48	50	52

Employment Summary

Identification code 093-0100-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	215	223	226
2001 Reimbursable civilian full-time equivalent employment	8	7	7

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mine Safety and Health Review Commission, \$17,184,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 368-2800-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commission review	5	5	5
0002 Administrative law judge determinations	10	10	10
0003 Office of Executive Director	2	2	2
0900 Total new obligations, unexpired accounts	17	17	17
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	17	17
1930 Total budgetary resources available	17	17	17

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	4	4
3010	New obligations, unexpired accounts	17	17	17

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 368-2800-0-1-554		2019 actual	2020 est.	2021 est.
3020	Outlays (gross)	-15	-17	-18
3050	Unpaid obligations, end of year	4	4	3
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	4	4
3200	Obligated balance, end of year	4	4	3
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	17	17	17
Outlays, gross:				
4010	Outlays from new discretionary authority	14	15	15
4011	Outlays from discretionary balances	1	2	3
4020	Outlays, gross (total)	15	17	18
4180	Budget authority, net (total)	17	17	17
4190	Outlays, net (total)	15	17	18

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 368-2800-0-1-554		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	9	9	9
12.1	Civilian personnel benefits	2	2	3
23.1	Rental payments to GSA	2	2	2
25.2	Other services from non-Federal sources	3	3	2
26.0	Supplies and materials	1	1	1
99.9	Total new obligations, unexpired accounts	17	17	17

Employment Summary

Identification code 368-2800-0-1-554		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	73	76	76

FEDERAL PERMITTING IMPROVEMENT STEERING COUNCIL

Federal Funds

ENVIRONMENTAL REVIEW IMPROVEMENT FUND

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Environmental Review Improvement Fund established pursuant to 42 U.S.C. 4370m-8(d), **[\$8,000,000]** \$10,000,000, to remain available until expended: *Provided*, That funds appropriated in prior appropriations Acts under the heading "General Services Administration-General Activities-Environmental Review Improvement Fund" shall be transferred to and merged with this account. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 473-5761-0-2-808		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Salaries and expenses		8	10
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1			2
1011	Unobligated balance transfer from other acct [047-5640]		2	

1050	Unobligated balance (total)	2	2
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	8	10
1900	Budget authority (total)	8	10
1930	Total budgetary resources available	10	12
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1		4
3010	New obligations, unexpired accounts	8	10
3020	Outlays (gross)	-5	-8
3031	Unpaid obligations transferred from other accts [047-5640]	1	
3050	Unpaid obligations, end of year	4	6
Memorandum (non-add) entries:			
3100	Obligated balance, start of year		4
3200	Obligated balance, end of year	4	6

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	8	10
Outlays, gross:			
4010	Outlays from new discretionary authority	5	6
4011	Outlays from discretionary balances		2
4020	Outlays, gross (total)	5	8
4180	Budget authority, net (total)	8	10
4190	Outlays, net (total)	5	8

This appropriation supports the authorized activities of the Environmental Review Improvement Fund and the Federal Permitting Improvement Steering Council (Permitting Council) established under Title XLI of the Fixing America's Surface Transportation (FAST) Act of 2015 (Public Law 114-94). The Permitting Council leads ongoing Government-wide efforts to modernize the Federal environmental review and permitting process for major infrastructure projects and works with Federal agency partners to implement and oversee adherence to the statutory requirements set forth in Title 41 of the Fixing America's Surface Transportation (FAST-41). FAST-41 is a voluntary program for large, complex infrastructure projects that provides oversight, strengthens cooperation and communication among permitting agencies, enhances transparency for the project sponsor and other stakeholders, and emphasizes concurrent permit processing for covered infrastructure projects. Projects receive these benefits without modifying or undermining any underlying federal statutes or regulations, or the status of any mandatory reviews. Beginning in 2020, prior year appropriations to the General Services Administration for this activity are transferred and merged with this independent account in accordance with Public Law 116-93.

Object Classification (in millions of dollars)

Identification code 473-5761-0-2-808		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent		2	2
11.8	Special personal services payments		1	1
11.9	Total personnel compensation		3	3
25.1	Advisory and assistance services		1	1
25.3	Other goods and services from Federal sources		3	6
99.0	Direct obligations		7	10
99.5	Adjustment for rounding		1	
99.9	Total new obligations, unexpired accounts		8	10

Employment Summary

Identification code 473-5761-0-2-808		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		12	12

FEDERAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, **[\$331,000,000]** *\$330,199,000*, to remain available until expended: *Provided*, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: *Provided further*, That, notwithstanding any other provision of law, **[not to exceed \$141,000,000 of offsetting collections derived from]** fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (*and estimated to be \$136,000,000 in fiscal year 2021*), shall remain available until expended, and shall be retained and used for necessary expenses in this appropriation: *Provided further*, That, notwithstanding any other provision of law, **[not to exceed \$18,000,000 in offsetting collections derived from]** fees **[sufficient]** collected to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), *regardless of the year of collection (and estimated to be \$13,000,000 in fiscal year 2021)*, shall be credited to this account, *are to remain available until expended, and shall be retained and used for necessary expenses in this appropriation: Provided further*, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2020]** *2021*, so as to result in a final fiscal year **[2020]** *2021* appropriation from the general fund estimated at not more than **[\$172,000,000]** *\$181,199,000: Provided further*, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Protect Consumers	106	186	186
0002 Maintain Competition	82	145	144
0192 Subtotal, direct program	188	331	330
0799 Total direct obligations	188	331	330
0803 Salaries and Expenses (Reimbursable)	143	2	1
0900 Total new obligations, unexpired accounts	331	333	331
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	23	15	22
1021 Recoveries of prior year unpaid obligations	12		
1050 Unobligated balance (total)	35	15	22
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	168	179	181
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - HSR	130	141	136
1700 Offsetting collections (cash) - Do Not Call	12	18	13
1700 Offsetting collections (cash) - Reimb	1	2	1
1750 Spending auth from offsetting collections, disc (total)	143	161	150
1900 Budget authority (total)	311	340	331
1930 Total budgetary resources available	346	355	353
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	22	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	74	75	107
3010 New obligations, unexpired accounts	331	333	331
3020 Outlays (gross)	-318	-301	-340
3040 Recoveries of prior year unpaid obligations, unexpired	-12		
3050 Unpaid obligations, end of year	75	107	98
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	74	75	107
3200 Obligated balance, end of year	75	107	98

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	311	340	331
Outlays, gross:			
4010 Outlays from new discretionary authority	263	211	211
4011 Outlays from discretionary balances	55	90	129
4020 Outlays, gross (total)	318	301	340
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-2	-1
4034 Offsetting governmental collections	-142	-159	-149
4040 Offsets against gross budget authority and outlays (total) ...	-143	-161	-150
4070 Budget authority, net (discretionary)	168	179	181
4080 Outlays, net (discretionary)	175	140	190
4180 Budget authority, net (total)	168	179	181
4190 Outlays, net (total)	175	140	190

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	32	32	32
5092 Unexpired unavailable balance, EOY: Offsetting collections	32	32	32

The FTC's mission is to protect consumers and competition by preventing anticompetitive, deceptive, and unfair business practices through law enforcement, advocacy, and education without unduly burdening legitimate business activity. The FTC's mission is based on a vision of a vibrant economy characterized by vigorous competition and consumer access to accurate information.

Protect Consumers.—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address deceptive or unfair practices that harm consumers; 2) Provide the public with knowledge and tools to prevent harm to consumers; and 3) Collaborate with domestic and international partners to enhance consumer protection.

Promote Competition.—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through three objectives: 1) Identify and take actions to address anticompetitive mergers and practices that harm consumers; 2) Engage in effective research and stakeholder outreach to promote competition, advance its understanding, and create awareness of its benefits to consumers; and 3) Collaborate with domestic partners and international partners to preserve and promote competition.

The 2021 Budget includes a program level for the Commission of \$330.2 million, funded by \$181.2 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$136 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$13 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

Object Classification (in millions of dollars)

Identification code 029–0100–0–1–376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		163	165
11.3 Other than full-time permanent	10		
11.5 Other personnel compensation	3	3	5
11.8 Special personal services payments	1		
11.9 Total personnel compensation	14	166	170
12.1 Civilian personnel benefits	49	53	55
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	24	24	24
23.3 Communications, utilities, and miscellaneous charges	6	6	6
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	67	62	56
25.2 Other services from non-Federal sources	4	4	4
25.3 Other goods and services from Federal sources	5		
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	11	8	7
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	1	1

SALARIES AND EXPENSES—Continued
Object Classification—Continued

Identification code 029-0100-0-1-376	2019 actual	2020 est.	2021 est.
99.0 Direct obligations	188	331	330
99.0 Reimbursable obligations	143	2	1
99.9 Total new obligations, unexpired accounts	331	333	331

Employment Summary

Identification code 029-0100-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,101	1,140	1,140
2001 Reimbursable civilian full-time equivalent employment	4	1	1

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
029-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	2		
General Fund Offsetting receipts from the public	2		

GULF COAST ECOSYSTEM RESTORATION COUNCIL

Federal Funds

GULF COAST ECOSYSTEM RESTORATION COUNCIL

Program and Financing (in millions of dollars)

Identification code 471-1770-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Comprehensive Plan Administrative Expense	1	1	1
0802 Comprehensive Plan Program Expenses	40	47	45
0803 Spill Impact Program and Projects	17	49	49
0900 Total new obligations, unexpired accounts	58	97	95
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	86	134	237
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	59	200	265
1801 Change in uncollected payments, Federal sources	47		
1850 Spending auth from offsetting collections, mand (total)	106	200	265
1930 Total budgetary resources available	192	334	502
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	134	237	407
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	179	201	87
3010 New obligations, unexpired accounts	58	97	95
3020 Outlays (gross)	-36	-211	-95
3050 Unpaid obligations, end of year	201	87	87
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-198	-245	-245
3070 Change in uncollected pymts, Fed sources, unexpired	-47		
3090 Uncollected pymts, Fed sources, end of year	-245	-245	-245
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-19	-44	-158
3200 Obligated balance, end of year	-44	-158	-158
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	106	200	265
Outlays, gross:			
4100 Outlays from new mandatory authority	6	10	10

4101 Outlays from mandatory balances	30	201	85
4110 Outlays, gross (total)	36	211	95
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-59	-200	-265
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-47		
4170 Outlays, net (mandatory)	-23	11	-170
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-23	11	-170

The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012, or the RESTORE Act, dedicates 80 percent of any civil and administrative penalties paid under the Clean Water Act by responsible parties in connection with the Deepwater Horizon oil spill to the Gulf Coast Restoration Trust Fund (the Trust Fund). These funds may be used for ecosystem restoration, economic recovery, and tourism promotion in the Gulf Coast region.

In addition to establishing the Trust Fund, the RESTORE Act established the Gulf Coast Ecosystem Restoration Council (the Council). The Council has oversight over the expenditure of sixty percent of the funds made available from the Trust Fund. Thirty percent will be administered for restoration and protection according to the Comprehensive Plan developed by the Council. The other thirty percent will be allocated to the States according to a formula set forth in the RESTORE Act and spent according to individual State expenditure plans to contribute to the overall economic and ecological recovery of the Gulf. The Council includes the Governors of the States of Alabama, Florida, Louisiana, Mississippi and Texas and the Secretaries of the U.S. Departments of Agriculture, Army, Commerce, Homeland Security and the Interior, and the Administrator of the U.S. Environmental Protection Agency.

Object Classification (in millions of dollars)

Identification code 471-1770-0-1-452	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	1	1	1
25.3 Other goods and services from Federal sources	2	2	2
41.0 Grants, subsidies, and contributions	51	90	88
99.9 Total new obligations, unexpired accounts	58	97	95

Employment Summary

Identification code 471-1770-0-1-452	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	24	26	26

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

Federal Funds

SALARIES AND EXPENSES

【For payment to the Harry S Truman Scholarship Foundation Trust Fund, established by section 10 of Public Law 93-642, \$1,670,000, to remain available until expended.】 (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 372-0950-0-1-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to the Harry S Truman Scholarship Memorial Trust Fund	1	2	
0900 Total new obligations, unexpired accounts (object class 94.0)	1	2	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	2	

1930	Total budgetary resources available	1	2
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1	2
3020	Outlays (gross)	-1	-2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	2
Outlays, gross:				
4010	Outlays from new discretionary authority	1	2
4180	Budget authority, net (total)	1	2
4190	Outlays, net (total)	1	2

Trust Funds

HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year	32	32	33
Receipts:				
Current law:				
1140	Interest on Investments, Harry S Truman Memorial Scholarship Trust Fund	1	1	1
1140	General Fund Payment, Harry S Truman Memorial Scholarship Trust Fund	1	2
1199	Total current law receipts	2	3	1
1999	Total receipts	2	3	1
2000	Total: Balances and receipts	34	35	34
Appropriations:				
Current law:				
2101	Harry S Truman Memorial Scholarship Trust Fund	-2	-2	-2
5099	Balance, end of year	32	33	32

Program and Financing (in millions of dollars)

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Scholarship awards	3	3	3
0900	Total new obligations, unexpired accounts (object class 41.0)	3	3	3
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	20	19	18
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	2	2	2
1930	Total budgetary resources available	22	21	20
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	19	18	17
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	2
3010	New obligations, unexpired accounts	3	3	3
3020	Outlays (gross)	-2	-2	-2
3050	Unpaid obligations, end of year	1	2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	2
3200	Obligated balance, end of year	1	2	3
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2	2	2
Outlays, gross:				
4100	Outlays from new mandatory authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	52	51	51
5001	Total investments, EOY: Federal securities: Par value	51	51	51

Public Law 93-642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. Appropriations in 1975 and 1976, totaling \$30 million, established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. For several years, the Foundation has also received appropriations that are deposited in the trust fund and available for obligation. The Budget proposes no new federal funding for the Foundation in FY 2021.

The Foundation awards scholarships for qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector. In its annual competition, the Foundation selects up to 60 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Employment Summary

Identification code 372-8296-0-7-502	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	5	5	5

**INSTITUTE OF AMERICAN INDIAN AND ALASKA
NATIVE CULTURE AND ARTS DEVELOPMENT**

Federal Funds

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by part A of title XV of Public Law 99-498 (20 U.S.C. 4411 et seq.), **[\$10,458,000] \$10,710,000**, which shall become available on July 1, **[2020] 2021**, and shall remain available until September 30, **[2021] 2022**. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 373-2900-0-1-502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Payment to the Institute	10	10	11
0900	Total new obligations, unexpired accounts (object class 41.0)	10	10	11
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	10	10	11
1930	Total budgetary resources available	10	10	11
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	10	10	11
3020	Outlays (gross)	-10	-10	-11
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	10	10	11
Outlays, gross:				
4010	Outlays from new discretionary authority	10	10	11
4180	Budget authority, net (total)	10	10	11
4190	Outlays, net (total)	10	10	11

PAYMENT TO THE INSTITUTE—Continued

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

Federal Funds

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act [of 1996] (*Public Law 94–462, as amended*) and the National Museum of African American History and Culture Act [, \$252,000,000] (*Public Law 108–184*), and for the closure of the Institute of Museum and Library Services, \$23,000,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Assistance for museums	39	39
0002 Assistance for libraries	189	195
0003 Administration	18	18	23
0900 Total new obligations, unexpired accounts	246	252	23
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2
1021 Recoveries of prior year unpaid obligations	1	1
1050 Unobligated balance (total)	1	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	242	252	23
Spending authority from offsetting collections, discretionary:			
1700 Collected	4	1	1
1900 Budget authority (total)	246	253	24
1930 Total budgetary resources available	246	254	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	292	304	230
3010 New obligations, unexpired accounts	246	252	23
3020 Outlays (gross)	–232	–325	–194
3040 Recoveries of prior year unpaid obligations, unexpired	–1	–1
3041 Recoveries of prior year unpaid obligations, expired	–2
3050 Unpaid obligations, end of year	304	230	58
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	292	304	230
3200 Obligated balance, end of year	304	230	58
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	246	253	24
Outlays, gross:			
4010 Outlays from new discretionary authority	40	77	8
4011 Outlays from discretionary balances	192	248	186
4020 Outlays, gross (total)	232	325	194
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–4	–1	–1
4180 Budget authority, net (total)	242	252	23
4190 Outlays, net (total)	228	324	193

The Budget proposes to eliminate funding for several independent agencies, including the Institute of Museum and Library Services (IMLS), as part of the Administration's plan to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$23,000,000 to conduct an orderly closeout of IMLS beginning in fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	8	8
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	7	7	12
41.0 Grants, subsidies, and contributions	228	234
99.9 Total new obligations, unexpired accounts	246	252	23

Employment Summary

Identification code 474–0300–0–1–503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	71	71	71

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

Federal Funds

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, [\$556,000,000] \$663,000,000. (*Department of Defense Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 467–0401–0–1–054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Intelligence community management	500	556	663
0801 Intelligence Community Management Account (Reimbursable)	20	30	30
0900 Total new obligations, unexpired accounts	520	586	693
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	522	556	663
1120 Appropriations transferred to other accts (097–0100)	–16
1160 Appropriation, discretionary (total)	506	556	663
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	30	30
1701 Change in uncollected payments, Federal sources	7
1750 Spending auth from offsetting collections, disc (total)	19	30	30
1900 Budget authority (total)	525	586	693
1930 Total budgetary resources available	525	586	693
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	235	194	180
3010 New obligations, unexpired accounts	520	586	693
3011 Obligations ("upward adjustments"), expired accounts	11
3020 Outlays (gross)	–537	–600	–664
3041 Recoveries of prior year unpaid obligations, expired	–35
3050 Unpaid obligations, end of year	194	180	209
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–10	–15	–15
3070 Change in uncollected pymts, Fed sources, unexpired	–7
3071 Change in uncollected pymts, Fed sources, expired	2
3090 Uncollected pymts, Fed sources, end of year	–15	–15	–15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	225	179	165
3200 Obligated balance, end of year	179	165	194

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	525	586	693
Outlays, gross:				
4010	Outlays from new discretionary authority	401	447	527
4011	Outlays from discretionary balances	136	153	137
4020	Outlays, gross (total)	537	600	664
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-13	-30	-30
4040	Offsets against gross budget authority and outlays (total)	-13	-30	-30
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-7		
4052	Offsetting collections credited to expired accounts	1		
4060	Additional offsets against budget authority only (total)	-6		
4070	Budget authority, net (discretionary)	506	556	663
4080	Outlays, net (discretionary)	524	570	634
4180	Budget authority, net (total)	506	556	663
4190	Outlays, net (total)	524	570	634

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) in managing intelligence integration across the Intelligence Community (IC), the mission of the IC Inspector General, and the support functions of the Office of the Director of National Intelligence (ODNI), including directorates focused on enterprise capacity, mission integration, partnerships, strategy and engagement, and the National Intelligence Program annual budget cycle.

ICMA also funds select IC elements such as the National Intelligence Council, the President's Daily Briefing Staff, and the National Intelligence University. These elements are the DNI's principal advisory sources in executing their IC-wide management responsibilities and executing their role as advisor to the President. The National Intelligence Council provides analytical support to the DNI and to senior policy makers. The President's Daily Briefing Staff supports the production of the daily intelligence briefing provided to the President and his senior staff. The National Intelligence University is a federal degree-granting institution with a far-reaching mission to educate and prepare intelligence officers to meet current and future challenges to the United States' national security.

Object Classification (in millions of dollars)

Identification code 467-0401-0-1-054	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	112	121	164
11.3	Other than full-time permanent			1
11.5	Other personnel compensation	9	9	9
11.9	Total personnel compensation	121	130	174
12.1	Civilian personnel benefits	34	38	51
21.0	Travel and transportation of persons	9	10	10
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	3	2	2
24.0	Printing and reproduction	3	2	2
25.1	Advisory and assistance services	245	257	291
25.2	Other services from non-Federal sources	14	18	18
25.3	Other goods and services from Federal sources	20	17	21
25.4	Operation and maintenance of facilities	6	11	28
25.5	Research and development contracts	2	3	3
25.6	Medical care	2	3	3
25.7	Operation and maintenance of equipment	29	54	49
26.0	Supplies and materials	2	2	2
31.0	Equipment	3	2	2
99.0	Direct obligations	500	556	663
99.0	Reimbursable obligations	20	30	30
99.9	Total new obligations, unexpired accounts	520	586	693

Employment Summary

Identification code 467-0401-0-1-054	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	776	812	1,061

INTERNATIONAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles and services as authorized by section 3109 of title 5, United States Code, and not to exceed \$2,250 for official reception and representation expenses, **[\$99,400,000] \$99,600,000**, to remain available until expended. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Research, investigations, and reports	104	99	100
Budgetary resources:				
Unobligated balance:				
1021	Recoveries of prior year unpaid obligations	9		
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	95	99	100
1930	Total budgetary resources available	104	99	100
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	25	24	7
3010	New obligations, unexpired accounts	104	99	100
3020	Outlays (gross)	-96	-116	-100
3040	Recoveries of prior year unpaid obligations, unexpired	-9		
3050	Unpaid obligations, end of year	24	7	7
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	25	24	7
3200	Obligated balance, end of year	24	7	7

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	95	99	100
Outlays, gross:				
4010	Outlays from new discretionary authority	75	93	94
4011	Outlays from discretionary balances	21	23	6
4020	Outlays, gross (total)	96	116	100
4180	Budget authority, net (total)	95	99	100
4190	Outlays, net (total)	96	116	100

The U.S. International Trade Commission (Commission) is an independent, nonpartisan Federal agency with broad investigative responsibilities on matters of trade. In accordance with its statutory mandate, the Commission investigates and makes determinations in proceedings involving imports claimed to injure a domestic industry or violate U.S. intellectual property rights; provides independent analysis and information on tariffs, trade, and competitiveness; and maintains the U.S. tariff schedule.

For FY 2021, the Commission requests an appropriation of \$105.0 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President. The Administration's FY 2021 request for the Commission is \$99.6 million, reflected in the Appendix table and appropriations language.

Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan for FY 2018-2022 sets two strategic goals that cover its programmatic responsibilities. The agency's goal to make sound, objective, and timely determinations in trade remedy proceedings focuses on its import injury and unfair import investigative responsibilities. The agency's goal to produce independent, objective, and timely analysis and information on tariffs, trade, and competitiveness

SALARIES AND EXPENSES—Continued

encompasses two areas. First, it focuses on the agency's role to independently provide the highest caliber of information and analysis to U.S. policymakers in a timely manner to assist them when they are securing benefits to the United States in trade negotiations and when they enact legislation or take other policy actions that affect the U.S. economy and industry competitiveness. The Commission's analysis of industry competitiveness has expanded with new responsibilities for evaluating miscellaneous tariff bill (MTB) petitions and making recommendations to Congress under the American Manufacturing Competitiveness Act of 2016 (AMCA). Second, it focuses on the responsibility to maintain the Harmonized Tariff Schedule (HTS) of the United States. The Commission also set a management goal to efficiently and effectively advance the agency's mission. The agency's focus is on four functional areas—human resources; budget, acquisitions, and finance; information technology; and processes and communications—as they play a critical role in supporting programmatic activities.

The Strategic Plan identifies strategic objectives for each strategic or management goal, strategies to meet these objectives, and specific performance goals. The performance goals provide the basis by which the agency can assess whether it is making progress toward its strategic objectives.

The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Plan, Annual Performance Report, and Budget Justification at <https://www.usitc.gov/strategic-plan.htm>.

Object Classification (in millions of dollars)

Identification code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	45	49	49
11.3 Other than full-time permanent	6	8	8
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	52	58	58
12.1 Civilian personnel benefits	16	18	18
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	15	9	9
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	2	1	1
25.2 Other services from non-Federal sources	1	4	3
25.3 Other goods and services from Federal sources	3	2
25.7 Operation and maintenance of equipment	6	5	5
26.0 Supplies and materials	2	2	2
31.0 Equipment	6	1	1
32.0 Land and structures
99.0 Direct obligations	104	100	101
99.5 Adjustment for rounding	-1	-1
99.9 Total new obligations, unexpired accounts	104	99	100

Employment Summary

Identification code 034-0100-0-1-153	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	394	418	407

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1140 Earnings on Investments, James Madison Memorial Fellowship Foundation	2	2	2
2000 Total: Balances and receipts	2	2	2

Appropriations:

Current law:			
2101 James Madison Memorial Fellowship Trust Fund	-2	-2	-2
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Fellowship awards	2	1	1
0002 Program administration	1	1
0900 Total new obligations, unexpired accounts	2	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	39	39	39
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1930 Total budgetary resources available	41	41	41
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39	39	39
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-2	-2	-2

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	37	37	37
5001 Total investments, EOY: Federal securities: Par value	37	37	37

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the Foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the Foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the Foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	2	2	2

Employment Summary

Identification code 381-8282-0-7-502	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	3		

JAPAN-UNITED STATES FRIENDSHIP COMMISSION

Trust Funds

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	36	35	35
Receipts:			
Current law:			
1140 Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission	2	3	3
2000 Total: Balances and receipts	38	38	38
Appropriations:			
Current law:			
2101 Japan-United States Friendship Trust Fund	-3	-3	-3
5099 Balance, end of year	35	35	35

Program and Financing (in millions of dollars)

Identification code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants	3	2	2
0002 Administration		1	1
0900 Total new obligations, unexpired accounts	3	3	3
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	2
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-3	-2	-2
3050 Unpaid obligations, end of year	1	2	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	2
3200 Obligated balance, end of year	1	2	3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	2	2
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	3	2	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	36	35	35
5001 Total investments, EOY: Federal securities: Par value	35	35	35

The Japan-U.S. Friendship Commission was established as an independent Federal Government agency by the United States Congress in 1975 (P.L. 94-118) to strengthen the U.S.-Japan relationship through educational, cultural, and intellectual exchange. It administers a U.S. Government trust fund that originated in connection with the return to the Japanese government of certain U.S. facilities in Okinawa and for postwar U.S. assistance to Japan. The Commission is allowed to make expenditures from the fund in an amount, not to exceed five percent annually of the fund's original principal, to pay Commission expenses and to make grants to support its mission. The Commission is a grant making agency that supports research, education, public affairs and exchange with Japan. Its mission is to support

reciprocal people-to-people understanding, and to promote partnerships that advance common interests between Japan and United States.

Object Classification (in millions of dollars)

Identification code 382-8025-0-7-154	2019 actual	2020 est.	2021 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

LEGAL SERVICES CORPORATION

Federal Funds

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, **[\$440,000,000, of which \$402,700,000 is for basic field programs and required independent audits; \$5,300,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; \$22,000,000 is for management and grants oversight; \$4,000,000 is for client self-help and information technology; \$4,500,000 is for a Pro Bono Innovation Fund; and \$1,500,000 is for loan repayment assistance]** \$18,200,000, to be used only for the closure of the Legal Services Corporation: *Provided*, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by section 5304 of title 5, United States Code, notwithstanding section 1005(d) of the Legal Services Corporation Act (42 U.S.C. 2996d(d)): *Provided further*, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation: *Provided further*, That, for the purposes of section **[505]** 504 of this Act, the Legal Services Corporation shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 020-0501-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Legal Services Corporation	435	440	18
0900 Total new obligations, unexpired accounts (object class 41.0)	435	440	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	430	440	18
Spending authority from offsetting collections, discretionary:			
1700 Collected	3		
1900 Budget authority (total)	433	440	18
1930 Total budgetary resources available	435	440	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35		36
3010 New obligations, unexpired accounts	435	440	18
3020 Outlays (gross)	-470	-404	-52
3050 Unpaid obligations, end of year		36	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35		36
3200 Obligated balance, end of year		36	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	433	440	18
Outlays, gross:			
4010 Outlays from new discretionary authority	433	404	16
4011 Outlays from discretionary balances	37		36
4020 Outlays, gross (total)	470	404	52
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3		
4180 Budget authority, net (total)	430	440	18
4190 Outlays, net (total)	467	404	52

PAYMENT TO THE LEGAL SERVICES CORPORATION—Continued

The Budget proposes to eliminate Federal funding for several independent entities, including the Legal Services Corporation (LSC), as part of the Administration's plans to move the Nation towards fiscal responsibility and to redefine the proper role of the Federal Government. The Budget requests \$18.2 million to conduct an orderly closeout of LSC in 2021.

ADMINISTRATIVE PROVISION-LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105-119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to [2019] 2020 and [2020] 2021, respectively. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

MARINE MAMMAL COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 et seq.), [\$3,616,000] \$2,449,000. (Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 387-2200-0-1-302	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	3	3	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	3	2
1930 Total budgetary resources available	4	4	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	3	3	3
3020 Outlays (gross)	-4	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	3	2
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	2
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	4	3	3
4180 Budget authority, net (total)	4	3	2
4190 Outlays, net (total)	4	3	3

The Marine Mammal Commission is charged by the Marine Mammal Protection Act of 1972 to further the conservation of marine mammals and their environment. It provides independent, science-based oversight of domestic and international policies and actions of Federal agencies addressing human impacts on marine mammals and their ecosystems.

The Budget proposes to eliminate several independent agencies, including the Commission, as part of the Administration's plans to move the Nation towards fiscal responsibility. The Budget requests \$2.4 million to conduct an orderly closeout of the agency beginning in 2021.

Object Classification (in millions of dollars)

Identification code 387-2200-0-1-302	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	1	1	1
99.0 Direct obligations	2	2	2
99.5 Adjustment for rounding	1	1	1
99.9 Total new obligations, unexpired accounts	3	3	3

Employment Summary

Identification code 387-2200-0-1-302	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	13	13

MERIT SYSTEMS PROTECTION BOARD

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, [\$44,490,000, to remain available until September 30, 2021] \$42,154,000, and in addition not to exceed \$2,345,000, to remain available until September 30, 2021, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 389-0100-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Adjudication	39	38	35
0002 Merit systems studies	2	2	3
0003 Management support	4	4	4
0799 Total direct obligations	45	44	42
0801 Salaries and Expenses (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	47	46	44
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	44	44	42
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	2
1900 Budget authority (total)	46	46	44
1930 Total budgetary resources available	51	50	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	6
3010 New obligations, unexpired accounts	47	46	44
3020 Outlays (gross)	-46	-46	-45
3050 Unpaid obligations, end of year	6	6	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	6	6
3200 Obligated balance, end of year	6	6	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	46	46	44

Outlays, gross:				
4010	Outlays from new discretionary authority	38	42	41
4011	Outlays from discretionary balances	8	4	4
4020	Outlays, gross (total)	46	46	45
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-2	-2	-2
4180	Budget authority, net (total)	44	44	42
4190	Outlays, net (total)	44	44	43

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal Government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Office of Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when the Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal Government. The Pendleton Act grew out of the 19th century reform movement to curtail the excesses of political patronage in Government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by the Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: OPM, the Federal Labor Relations Authority, and MSPB. MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of OPM.

Object Classification (in millions of dollars)

Identification code 389-0100-0-1-805	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	26	27	27
12.1	Civilian personnel benefits	8	8	7
23.1	Rental payments to GSA	4	3	3
23.3	Communications, utilities, and miscellaneous charges	1	2	1
25.2	Other services from non-Federal sources	3	1	1
25.3	Other goods and services from Federal sources	2	2	2
31.0	Equipment	1	1	1
99.0	Direct obligations	45	44	42
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations, unexpired accounts	47	46	44

Employment Summary

Identification code 389-0100-0-1-805	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	211	195	184
2001	Reimbursable civilian full-time equivalent employment	15	15	15

**MILITARY COMPENSATION AND RETIREMENT
MODERNIZATION COMMISSION**

Federal Funds

MILITARY COMPENSATION AND RETIREMENT MODERNIZATION COMMISSION

Program and Financing (in millions of dollars)

Identification code 479-2994-0-1-054	2019 actual	2020 est.	2021 est.	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	4
3020	Outlays (gross)		-4
3050	Unpaid obligations, end of year	4	
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	4
3200	Obligated balance, end of year	4	
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances		4
4180	Budget authority, net (total)
4190	Outlays, net (total)		4

The purpose of the Military Compensation and Retirement Modernization Commission was to conduct a review of the military compensation and retirement systems. In 2015, the Commission provided its recommendations to Congress and the President on how to modernize the compensation and retirement systems.

**MORRIS K. UDALL AND STEWART L. UDALL
FOUNDATION**

Federal Funds

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

(INCLUDING TRANSFER OF FUNDS)

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), \$1,800,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act, up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102-259 and section 817(a) of Public Law 106-568 (20 U.S.C. 5604(7)): *Provided*, That all current and previous amounts transferred to the Office of Inspector General of the Department of the Interior will remain available until expended for audits and investigations of the Morris K. Udall and Stewart L. Udall Foundation, consistent with the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for annual independent financial audits of the Morris K. Udall and Stewart L. Udall Foundation pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289): *Provided further*, That previous amounts transferred to the Office of Inspector General of the Department of the Interior may be transferred to the Morris K. Udall and Stewart L. Udall Foundation for annual independent financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107-289). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 487-0900-0-1-502	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	2	2	2
0900	Total new obligations, unexpired accounts (object class 94.0)	2	2	2
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2	2	2
1930	Total budgetary resources available	2	2	2

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND—Continued
Program and Financing—Continued

Identification code 487-0900-0-1-502	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	2	2	2
3020 Outlays (gross)	-2	-2	-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation is authorized to award scholarships and fellowships and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, [S3,200,000] \$3,227,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 487-0925-0-1-306	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Environmental dispute resolution fund	7	7	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	8	8
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	9	8	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	4	4
1900 Budget authority (total)	6	7	7
1930 Total budgetary resources available	15	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	8	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 New obligations, unexpired accounts	7	7	7
3020 Outlays (gross)	-7	-7	-7
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3

Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	3	3
Mandatory:			
4090 Budget authority, gross	3	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority	3	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-3	-3	-3
4123 Non-Federal sources		-1	-1
4130 Offsets against gross budget authority and outlays (total) ...	-3	-4	-4
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	4	3	3

Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value		5	5
5001 Total investments, EOY: Federal securities: Par value	5	5	5

In 1998, Public Law 105-56 established the U.S. Institute for Environmental Conflict Resolution (U.S. Institute) as a part of the Udall Foundation. The Further Consolidated Appropriations Act, 2020 renamed the U.S. Institute as the John S. McCain III National Center for Environmental Conflict Resolution (National Center) to honor the legacy of the late Senator John McCain who was instrumental in the establishment of the Udall Foundation and its programs. The National Center provides impartial collaboration, consensus-building, and conflict resolution services on a wide range of environmental, natural and cultural resources, Tribal, and public lands issues involving the Federal Government. The National Center's work enhances project efficiency, reduces costs, increases government capacity to serve citizens, increases the likelihood of avoiding litigation, and delivers better and more durable outcomes. The National Center's range of services include consultations, assessments, process design, convening, mediation, facilitation, training, stakeholder engagement, and other related collaboration and conflict resolution activities. The National Center specializes in providing assistance with national and regionally important environmental challenges; multiparty high-conflict cases where an impartial Federal convener is needed to broker participation in a collaborative process or conflict resolution effort; collaborative efforts involving Tribes and Native people, including government-to-government consultation between Tribes and Federal agencies; interagency and interdepartmental collaborations; issues involving multiple levels of government (Federal, State, local, tribal) and the public; issues that require substantive expertise (e.g., National Environmental Policy Act, transportation infrastructure projects, endangered species, cultural resources); and projects that require funding from multiple agencies and/or private organizations.

Object Classification (in millions of dollars)

Identification code 487-0925-0-1-306	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.0 Direct obligations	3	3	3
99.0 Reimbursable obligations	4	4	4
99.9 Total new obligations, unexpired accounts	7	7	7

Employment Summary

Identification code 487-0925-0-1-306	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	25	25	25

Trust Funds

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 487-8615-0-7-502	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	49	50	52
Receipts:			
Current law:			
1140 General Fund Payments, Morris K. Udall Scholarship Fund	2	2	2
1140 Interest on Investments, Morris K. Udall Scholarship Fund	2	2	2
1199 Total current law receipts	4	4	4
1999 Total receipts	4	4	4
2000 Total: Balances and receipts	53	54	56
Appropriations:			
Current law:			
2101 Morris K. Udall and Stewart L. Udall Foundation	-2	-2	-2
5098 Rounding adjustment	-1		
5099 Balance, end of year	50	52	54

Program and Financing (in millions of dollars)

Identification code 487-8615-0-7-502	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	3	2	2
0900 Total new obligations, unexpired accounts (object class 41.0)	3	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1930 Total budgetary resources available	3	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	2
3010 New obligations, unexpired accounts	3	2	2
3020 Outlays (gross)	-2	-3	-2
3050 Unpaid obligations, end of year	3	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	2
3200 Obligated balance, end of year	3	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	1	2	2
4101 Outlays from mandatory balances	1	1	
4110 Outlays, gross (total)	2	3	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	3	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	31	49	49
5001 Total investments, EOY: Federal securities: Par value	49	49	49

Public Law 102-259 established the Udall Foundation to award scholarships, fellowships, and internships for study related to the environment, and to Native Americans, and Alaska Natives in fields related to health care and tribal public policy; provide funding to the Udall Center for Studies in Public Policy and to the Native Nations Institute to conduct environmental policy research, research on Native American and Alaska Native health care issues and tribal public policy issues, and training; and provide assessment, mediation, training, and other related services through the U.S. Institute for Environmental Conflict Resolution. In 2020, the Udall Foundation will award 55 scholarships and up to 12 Native American Congressional Internships. During a ten-week period in Washington, D.C., the interns will gain practical experience with the Federal legislative process

to understand first-hand the relationship between Tribes and the Federal Government.

**NATIONAL ARCHIVES AND RECORDS
ADMINISTRATION**

Federal Funds

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, **[\$359,000,000]** \$356,954,000, of which **[\$22,000,000]** \$9,230,000 shall remain available until expended for **[the repair and alteration of the National Archives facility in College Park, Maryland, and related improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records, and of which up to \$2,000,000 shall remain available until expended to implement the Civil Rights Cold Case Records Collection Act of 2018 (Public Law 115-426)]** improvements necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Legislative Archives, Presidential Libraries, and Museum Services	104	93	94
0002 Citizen Services	104	109	105
0003 Agency and Related Services	79	75	76
0004 Facility Operations	57	69	74
0005 Archives II Facility	2		
0006 Financial Transfer	27		
0007 Electronic Records Initiative		4	27
0799 Total direct obligations	373	350	376
0888 Operating Expenses (Reimbursable)	2	3	1
0900 Total new obligations, unexpired accounts	375	353	377
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	10	19
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	373	359	357
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	1
1700 Offsetting collections (cash applied to repay debt)	27		
1726 Spending authority from offsetting collections applied to repay debt	-27		
1750 Spending auth from offsetting collections, disc (total)	3	3	1
1900 Budget authority (total)	376	362	358
1930 Total budgetary resources available	385	372	377
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	19	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	87	85	95
3010 New obligations, unexpired accounts	375	353	377
3020 Outlays (gross)	-373	-343	-347
3041 Recoveries of prior year unpaid obligations, expired	-4		
3050 Unpaid obligations, end of year	85	95	125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	87	85	95
3200 Obligated balance, end of year	85	95	125
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	376	362	358
Outlays, gross:			
4010 Outlays from new discretionary authority	301	272	269

OPERATING EXPENSES—Continued
Program and Financing—Continued

Identification code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
4011 Outlays from discretionary balances	72	71	78
4020 Outlays, gross (total)	373	343	347
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources	-30	-3	-1
4040 Offsets against gross budget authority and outlays (total)	-30	-3	-1
4180 Budget authority, net (total)	346	359	357
4190 Outlays, net (total)	343	340	346

This appropriation provides for the operation of the Federal Government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public.

Legislative Archives, Presidential Libraries, and Museum Services.—This activity provides for the Center for Legislative Archives and the Presidential Materials Division, which provide records management services to Congress and the White House; the Presidential Libraries of fourteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Museum in Washington, DC.

Citizen Services.—This activity provides for public access to and engagement with permanently valuable Federal Government records by the researcher community and the general public at public research rooms, online at www.archives.gov, and through innovative tools and technology to support collaboration with the public.

Agency and Related Services.—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the Electronic Records Archives system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

Facility Operations.—This activity provides for the operations and maintenance of NARA facilities. In 2019, this also included interest payments and repayments of principal on debt associated with construction of the National Archives building at College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority. NARA completed repayment of this debt in 2019.

Electronic Records Initiative.— This activity provides for expenses necessary to enhance the Federal Government's ability to electronically preserve, manage, and store Government records.

Object Classification (in millions of dollars)

Identification code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	134	136	135
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	136	139	138
12.1 Civilian personnel benefits	45	46	45
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	10	9
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	12	12	11
25.1 Advisory and assistance services	14	7	7
25.2 Other services from non-Federal sources	24	24	23
25.3 Other goods and services from Federal sources	20	18	37
25.4 Operation and maintenance of facilities	33	28	29
25.7 Operation and maintenance of equipment	35	36	36
26.0 Supplies and materials	2	3	3
31.0 Equipment	10	11	16
32.0 Land and structures	2	13	19
43.0 Interest and dividends	2		
94.0 Financial transfers	27		
99.0 Direct obligations	373	350	376

99.0 Reimbursable obligations	2	3	1
99.9 Total new obligations, unexpired accounts	375	353	377

Employment Summary

Identification code 088-0300-0-1-804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,402	1,408	1,352
2001 Reimbursable civilian full-time equivalent employment	27	29	27

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110-409, 122 Stat. 4302-16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), as amended, and for the hire of passenger motor vehicles, **[\$4,823,000]** \$5,300,000. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 088-0305-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Office of Inspector General	4	5	5
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	5
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	5	5
3020 Outlays (gross)	-4	-5	-5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority	4	4	4
4011 Outlays from discretionary balances		1	1
4020 Outlays, gross (total)	4	5	5
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	4	5	5

The Office of Inspector General (OIG) provides independent audits, investigations, and other services; and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The OIG investigates misconduct, evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

Object Classification (in millions of dollars)

Identification code 088-0305-0-1-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	1	1	1
99.9 Total new obligations, unexpired accounts	4	5	5

Employment Summary

Identification code 088-0305-0-1-804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	18	24	24

REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, **[\$7,500,000]** \$5,000,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088-0302-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Repairs and Restoration (Direct)	7	9	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	8	5
1930 Total budgetary resources available	8	9	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	3
3010 New obligations, unexpired accounts	7	9	5
3020 Outlays (gross)	-8	-13	-7
3050 Unpaid obligations, end of year	7	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	7	3
3200 Obligated balance, end of year	7	3	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	8	5
Outlays, gross:			
4010 Outlays from new discretionary authority	1	7	4
4011 Outlays from discretionary balances	7	6	3
4020 Outlays, gross (total)	8	13	7
4180 Budget authority, net (total)	8	8	5
4190 Outlays, net (total)	8	13	7

This appropriation provides for the repair, alteration, and improvement of National Archives facilities and Presidential Libraries nationwide. Funding provided allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal Government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 088-0302-0-1-804	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	1		
32.0 Land and structures	6	9	5
99.9 Total new obligations, unexpired accounts	7	9	5

NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION
GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, \$6,500,000, to remain available until expended. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 088-0301-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Historical Publications and Records Commission (Direct)	7	7	
0900 Total new obligations, unexpired accounts (object class 41.0)	7	7	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	7	
1930 Total budgetary resources available	8	8	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	11	8
3010 New obligations, unexpired accounts	7	7	
3020 Outlays (gross)	-5	-10	-7
3050 Unpaid obligations, end of year	11	8	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	11	8
3200 Obligated balance, end of year	11	8	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	6	7	
Outlays, gross:			
4011 Outlays from discretionary balances	5	10	7
4180 Budget authority, net (total)	6	7	
4190 Outlays, net (total)	5	10	7

The National Historical Publications and Records Commission (NHPRC) grants program provides for grants to preserve and publish non-Federal records that document American history. The Budget does not request funds for this program.

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Records Center Revolving Fund (Reimbursable)	192	196	198
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	73	63	65
1021 Recoveries of prior year unpaid obligations	6	4	4
1050 Unobligated balance (total)	79	67	69
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	188	194	193
1701 Change in uncollected payments, Federal sources	-12		
1750 Spending auth from offsetting collections, disc (total)	176	194	193
1930 Total budgetary resources available	255	261	262
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	63	65	64

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	27	25
3010 New obligations, unexpired accounts	192	196	198
3020 Outlays (gross)	-189	-194	-193
3040 Recoveries of prior year unpaid obligations, unexpired	-6	-4	-4
3050 Unpaid obligations, end of year	27	25	26
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-51	-39	-39
3070 Change in uncollected pymts, Fed sources, unexpired	12		
3090 Uncollected pymts, Fed sources, end of year	-39	-39	-39
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-21	-12	-14
3200 Obligated balance, end of year	-12	-14	-13

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	176	194	193
Outlays, gross:			
4010 Outlays from new discretionary authority	170	169	168
4011 Outlays from discretionary balances	19	25	25
4020 Outlays, gross (total)	189	194	193

RECORDS CENTER REVOLVING FUND—Continued
Program and Financing—Continued

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-187	-192	-191
4033 Non-Federal sources	-1	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-188	-194	-193
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	12		
4080 Outlays, net (discretionary)	1		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1		

This full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal Government records.

Object Classification (in millions of dollars)

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	62	63	64
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	67	68	69
12.1 Civilian personnel benefits	23	24	24
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	2	2
23.1 Rental payments to GSA	47	48	49
23.2 Rental payments to others	10	11	11
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	3	4	4
25.2 Other services from non-Federal sources	12	6	6
25.3 Other goods and services from Federal sources	12	12	12
25.7 Operation and maintenance of equipment	8	11	11
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	3	3
99.9 Total new obligations, unexpired accounts	192	196	198

Employment Summary

Identification code 088-4578-0-4-804	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,143	1,180	1,180

Trust Funds

NATIONAL ARCHIVES GIFT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Bequests, National Archives Gift Fund	3	2	1
1130 Interest and Dividends on Non-Federal Securities, National Archives Gift Fund	1	1	1
1130 Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund	1	1	1
1199 Total current law receipts	5	4	3
1999 Total receipts	5	4	3
2000 Total: Balances and receipts	5	4	3
Appropriations:			
Current law:			
2101 National Archives Gift Fund	-5	-4	-3
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 National Archives Gift Fund (Reimbursable)	4	6	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	5	3
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	4	3
1930 Total budgetary resources available	9	9	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	3	1

Change in obligated balance:

3000 Unpaid obligations:			
Unpaid obligations, brought forward, Oct 1	1	1	3
3010 New obligations, unexpired accounts	4	6	5
3020 Outlays (gross)	-4	-4	-3
3050 Unpaid obligations, end of year	1	3	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	3
3200 Obligated balance, end of year	1	3	5

Budget authority and outlays, net:

4090 Mandatory:			
Budget authority, gross	5	4	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	2
4101 Outlays from mandatory balances	1	1	1
4110 Outlays, gross (total)	4	4	3
4180 Budget authority, net (total)	5	4	3
4190 Outlays, net (total)	4	4	3

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	4	6	6
5001 Total investments, EOY: Federal securities: Par value	6	6	7
5010 Total investments, SOY: non-Fed securities: Market value	25	26	26
5011 Total investments, EOY: non-Fed securities: Market value	26	26	26

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset a portion of the operating costs of Presidential Libraries.

Object Classification (in millions of dollars)

Identification code 088-8127-0-7-804	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources	1	3	2
25.3 Other goods and services from Federal sources	1	1	1
33.0 Investments and loans	1	1	1
94.0 Financial transfers	1	1	1
99.9 Total new obligations, unexpired accounts	4	6	5

NATIONAL ARCHIVES TRUST FUND

Program and Financing (in millions of dollars)

Identification code 088-8436-0-8-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Sales	5	5	5
0802 Presidential libraries	12	12	10
0900 Total new obligations, unexpired accounts	17	17	15
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	4	5
1021 Recoveries of prior year unpaid obligations		1	1
1050 Unobligated balance (total)	6	5	6

Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	15	17	16
1930	Total budgetary resources available	21	22	22
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4	5	7
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	3
3010	New obligations, unexpired accounts	17	17	15
3020	Outlays (gross)	-16	-17	-16
3040	Recoveries of prior year unpaid obligations, unexpired		-1	-1
3050	Unpaid obligations, end of year	4	3	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	3
3200	Obligated balance, end of year	4	3	1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	15	17	16
Outlays, gross:				
4100	Outlays from new mandatory authority	14	14	13
4101	Outlays from mandatory balances	2	3	3
4110	Outlays, gross (total)	16	17	16
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1	-1	-1
4123	Non-Federal sources	-14	-16	-15
4130	Offsets against gross budget authority and outlays (total)	-15	-17	-16
4170	Outlays, net (mandatory)	1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	1		
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	8	7	7
5001	Total investments, EOY: Federal securities: Par value	7	7	7
5010	Total investments, SOY: non-Fed securities: Market value	58	64	64
5011	Total investments, EOY: non-Fed securities: Market value	64	64	64

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited to the National Archives Trust Fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 088-8436-0-8-804		2019 actual	2020 est.	2021 est.
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
24.0	Printing and reproduction	1	1	1
25.2	Other services from non-Federal sources	3	4	3
25.3	Other goods and services from Federal sources	2	1	1
26.0	Supplies and materials	1	1	1
33.0	Investments and loans	5	5	4
99.9	Total new obligations, unexpired accounts	17	17	15

Employment Summary

Identification code 088-8436-0-8-804		2019 actual	2020 est.	2021 est.
2001	Reimbursable civilian full-time equivalent employment	58	62	62

ciated with hosting international visitors engaged in the planning and physical development of world capitals. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 394-2500-0-1-451		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Salaries and expenses	8	8	8
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	8	8	8
1930	Total budgetary resources available	8	8	8
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2	2	2
3010	New obligations, unexpired accounts	8	8	8
3020	Outlays (gross)	-8	-8	-8
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	8	8	8
Outlays, gross:				
4010	Outlays from new discretionary authority	7	7	7
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	8	8	8
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	8	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives, policy-making, and review of development proposals, NCPC helps guide Federal development while preserving the Capital City's unique resources. NCPC will continue to work with the District of Columbia and Federal and regional partners to develop comprehensive policies and planning initiatives that support the Federal interest and contribute to the best urban design, infrastructure, resource, and land-use outcomes for the Region. In addition, NCPC will continue to ensure that all Federal development in the Region meets the highest design standards and will review Federal plans for regional capital improvements.

Object Classification (in millions of dollars)

Identification code 394-2500-0-1-451		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	4
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	2	2	2
25.1	Advisory and assistance services	1	1	1
99.9	Total new obligations, unexpired accounts	8	8	8

Employment Summary

Identification code 394-2500-0-1-451		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	33	35	35

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$8,124,000: *Provided*, That one-quarter of 1 percent of the funds provided under this heading may be used for official reception and representational expenses asso-

**NATIONAL COMMISSION ON MILITARY, NATIONAL,
AND PUBLIC SERVICE**

Federal Funds

NATIONAL COMMISSION ON MILITARY, NATIONAL, AND PUBLIC SERVICE

Program and Financing (in millions of dollars)

Identification code 236-2978-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5		
0900 Total new obligations, unexpired accounts (object class 25.1)	5		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	5	5
1930 Total budgetary resources available	10	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	9	4
3010 New obligations, unexpired accounts	5		
3020 Outlays (gross)	-1	-5	-2
3050 Unpaid obligations, end of year	9	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	9	4
3200 Obligated balance, end of year	9	4	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	5	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	5	2

**NATIONAL COMMISSION ON MILITARY AVIATION
SAFETY**

Federal Funds

NATIONAL COMMISSION ON MILITARY AVIATION SAFETY

Program and Financing (in millions of dollars)

Identification code 246-2865-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	1	4	
0900 Total new obligations, unexpired accounts (object class 25.3)	1	4	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		4	
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [021-2033]	1		
1121 Appropriations transferred from other acct [017-1506]	1		
1121 Appropriations transferred from other acct [097-0300]	2		
1121 Appropriations transferred from other acct [057-3010]	1		
1160 Appropriation, discretionary (total)	5		
1900 Budget authority (total)	5		
1930 Total budgetary resources available	5	4	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	2
3010 New obligations, unexpired accounts	1	4	
3020 Outlays (gross)		-3	-1
3050 Unpaid obligations, end of year	1	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	2

3200	Obligated balance, end of year	1	2	1
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	5		
Outlays, gross:				
4011	Outlays from discretionary balances		3	1
4180	Budget authority, net (total)	5		
4190	Outlays, net (total)		3	1

NATIONAL COUNCIL ON DISABILITY

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, \$3,350,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001 Salaries and expenses	3	2	2	
0002 Other services from non-Federal sources		1	1	
0900 Total new obligations, unexpired accounts	3	3	3	
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100 Appropriation	3	3	3	
1930 Total budgetary resources available	3	3	3	
Change in obligated balance:				
Unpaid obligations:				
3000 Unpaid obligations, brought forward, Oct 1	1	1	1	
3010 New obligations, unexpired accounts	3	3	3	
3020 Outlays (gross)	-3	-3	-3	
3050 Unpaid obligations, end of year	1	1	1	
Memorandum (non-add) entries:				
3100 Obligated balance, start of year	1	1	1	
3200 Obligated balance, end of year	1	1	1	
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3	3	3
Outlays, gross:				
4010	Outlays from new discretionary authority	3	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	3	3

The National Council on Disability (NCD), an independent Federal agency, is composed of nine members appointed by the President and the Congress. Established under the Rehabilitation Act of 1973, as amended by the Workforce Innovation and Opportunity Act, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President; the Congress; the Rehabilitation Services Administration; the National Institute on Disability, Independent Living, and Rehabilitation Research; and other Federal Departments and agencies.

Object Classification (in millions of dollars)

Identification code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
25.2	Other services from non-Federal sources	1	1	1
99.0	Direct obligations	2	2	2
99.5	Adjustment for rounding	1	1	1
99.9	Total new obligations, unexpired accounts	3	3	3

Employment Summary

Identification code 413-3500-0-1-506	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

NATIONAL CREDIT UNION ADMINISTRATION

Federal Funds
OPERATING FUND

Program and Financing (in millions of dollars)

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Safety and Soundness	189	224	226
0803 Regulation and Consumer Protection	27	31	31
0804 Mission support	91	82	83
0805 Office of Inspector General	4	4	4
0900 Total new obligations, unexpired accounts	311	341	344
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	114	100	97
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	319	338	345
1801 Change in uncollected payments, Federal sources	-22		
1850 Spending auth from offsetting collections, mand (total)	297	338	345
1930 Total budgetary resources available	411	438	442
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	97	98
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	58	58	23
3010 New obligations, unexpired accounts	311	341	344
3020 Outlays (gross)	-311	-376	-355
3050 Unpaid obligations, end of year	58	23	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-50	-28	-28
3070 Change in uncollected pymts, Fed sources, unexpired	22		
3090 Uncollected pymts, Fed sources, end of year	-28	-28	-28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	30	-5
3200 Obligated balance, end of year	30	-5	-16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	297	338	345
Outlays, gross:			
4100 Outlays from new mandatory authority	201	321	328
4101 Outlays from mandatory balances	110	55	27
4110 Outlays, gross (total)	311	376	355
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-177	-192	-196
4121 Interest on Federal securities	-3	-1	-1
4123 Non-Federal sources	-1		
4124 Offsetting governmental collections	-138	-145	-148
4130 Offsets against gross budget authority and outlays (total) ...	-319	-338	-345
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	22		
4170 Outlays, net (mandatory)	-8	38	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-8	38	10
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	117	120	123
5001 Total investments, EOY: Federal securities: Par value	120	123	126

The mission of the National Credit Union Administration (NCUA) is to provide, through regulation and supervision, a safe and sound credit union system, which promotes confidence in the national system of cooperative credit. Credit unions are member-owned, cooperative associations organized

for the purpose of promoting thrift and creating a source of credit for members. As of September 30, 2019, there were 3,321 federally-chartered credit unions with total assets of more than \$795 billion.

NCUA, through its Operating Fund, conducts activities prescribed by the Federal Credit Union Act of 1934, which include: 1) chartering new Federal credit unions; 2) approving field of membership applications of Federal credit unions; 3) promulgating regulations and providing guidance; 4) performing regulatory compliance and safety and soundness examinations; 5) implementing and administering enforcement actions, such as prohibition orders, orders to cease and desist, orders of conservatorship and orders of liquidation; and 6) administering the National Credit Union Share Insurance Fund (SIF), which provides insurance to Federal credit unions (FCUs) and federally-insured state-chartered credit unions (FISCUs).

To better demonstrate how the NCUA's budget is used to achieve its strategic goals, the Operating Fund's obligations by program activity are presented in the same categories shown in the 2020 Budget. Amounts shown for "Safety and Soundness" correspond to programs that contribute to the NCUA's goal to "Ensure a Safe and Sound Credit Union System." Amounts shown for "Regulation and Consumer Protection" correspond to programs that contribute to the NCUA's goal to "Provide a Regulatory Framework that is Transparent, Efficient, and Improves Customer Access." Amounts shown for "Mission Support" correspond to programs that contribute to the NCUA's goal to "Maximize Organizational Performance to Enable Mission Success."

NCUA funds its activities through operating fees levied on all FCUs, and through reimbursements from the SIF, which is funded by FCUs and FISCUs.

Object Classification (in millions of dollars)

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
11.1 Reimbursable obligations: Personnel compensation: Full-time permanent	152	161	163
11.9 Total personnel compensation	152	161	163
12.1 Civilian personnel benefits	60	65	66
21.0 Travel and transportation of persons	23	25	25
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	6	6	6
25.2 Other services from non-Federal sources	44	57	57
25.3 Other goods and services from Federal sources	6	6	6
25.4 Operation and maintenance of facilities	5	6	6
26.0 Supplies and materials	4	4	4
31.0 Equipment	8	8	8
99.9 Total new obligations, unexpired accounts	311	341	344

Employment Summary

Identification code 025-4056-0-3-373	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,108	1,180	1,180

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Payments to the Operating Fund for services and facilities	183	192	196
0802 Other Administrative Expenses	9	8	8
0803 Working Capital	114	29	33
0804 Liquidation Expenses	47	146	165
0805 NCUA Guaranteed Notes program		455	1,219
0806 Distribution	160		
0900 Total new obligations, unexpired accounts	513	830	1,621

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14,612	15,232	16,125

CREDIT UNION SHARE INSURANCE FUND—Continued
Program and Financing—Continued

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,134	1,723	2,536
1801 Change in uncollected payments, Federal sources	-1		
1850 Spending auth from offsetting collections, mand (total)	1,133	1,723	2,536
1930 Total budgetary resources available	15,745	16,955	18,661
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15,232	16,125	17,040
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	282	51	
3010 New obligations, unexpired accounts	513	830	1,621
3020 Outlays (gross)	-744	-881	-1,621
3050 Unpaid obligations, end of year	51		
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-72	-71	-71
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	210	-20	-71
3200 Obligated balance, end of year	-20	-71	-71
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,133	1,723	2,536
Outlays, gross:			
4100 Outlays from new mandatory authority	458	830	1,621
4101 Outlays from mandatory balances	286	51	
4110 Outlays, gross (total)	744	881	1,621
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-1		
4121 Interest on Federal securities	-307	-294	-315
4123 Non-Federal sources	-167	-829	-1,319
4124 Offsetting governmental collections	-659	-600	-902
4130 Offsets against gross budget authority and outlays (total)	-1,134	-1,723	-2,536
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1		
4170 Outlays, net (mandatory)	-390	-842	-915
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-390	-842	-915
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	14,895	15,276	16,169
5001 Total investments, EOY: Federal securities: Par value	15,276	16,169	17,084

Status of Guaranteed Loans (in millions of dollars)

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4,696	3,449	2,287
2231 Disbursements of new guaranteed loans	2	2	2
2251 Repayments and prepayments	-1,145	-1,162	-2,285
2251 Repayments and prepayments		-2	-2
2261 Adjustments: Terminations for default that result in loans receivable	-104		
2290 Outstanding, end of year	3,449	2,287	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,449	2,287	2

The primary purpose of the National Credit Union Share Insurance Fund (SIF) is to provide insurance for deposits of member accounts (also known as insured member shares) for nearly 120 million members in federally-chartered credit unions and state-chartered credit unions that qualify for insurance under the Federal Credit Union Act. As of September 30, 2019, 5,281 state and Federal credit unions and 11 corporate credit unions were insured by the SIF, with insured member shares of \$1.2 trillion—an increase of \$72 billion, or six percent, year-on-year.

Following a cost allocation method that distributes NCUA costs between its insurance and regulatory functions, the SIF reimburses the NCUA Operating Fund for its share of administrative costs. In calendar year 2019, the SIF paid reimbursements of approximately \$183 million to the Operating Fund.

On September 28, 2017, the NCUA Board voted unanimously to close the Temporary Corporate Credit Union Stabilization Fund (TCCUSF) and to distribute the TCCUSF's remaining funds, property, and other assets to the SIF. Through the distribution, the SIF assumed the activities and obligations of the TCCUSF, including NCUA Guaranteed Notes (NGN).

As of September 30, 2019, the outstanding principal balance of the NGNs was 3.4 billion. This amount represents the maximum potential, but not the expected cost, of future guaranteed payments that NCUA could be required to make under the program. The NCUA currently anticipates a total of \$2.5 billion in NGN guarantee payments to be made through 2021, of which some payments will be made from the balances the NCUA manages as a fiduciary for certain failed corporate credit union estates. The final tranche of NGNs will mature in 2021. The NCUA currently estimates that after all of the NGNs mature, the receivables due to the SIF from the estates of failed corporate credit unions will be equal to or greater than the amount of guarantee payments paid by the SIF.

The SIF's normal operating level, which is the Fund's target capital level, remains at 1.38 percent of insured shares in 2020.

For more information, please see the Credit and Insurance chapter in the *Analytical Perspectives* volume of the Budget.

Object Classification (in millions of dollars)

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	6	5	5
25.3 Other goods and services from Federal sources	183	192	196
33.0 Investments and loans	2	2	2
42.0 Working Capital	114	29	33
42.0 Liquidation Expenses	47	146	165
43.0 NGN Payments to Investors		455	1,219
44.0 Estimated Distributions	160		
99.9 Total new obligations, unexpired accounts	513	830	1,621

Employment Summary

Identification code 025-4468-0-3-373	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	5	5

CENTRAL LIQUIDITY FACILITY

Program and Financing (in millions of dollars)

Identification code 025-4470-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Administration	1	1	1
0802 Membership Activity	1	5	1
0803 Loan Activity	1	1	1
0809 Reimbursable program activities, subtotal	3	7	3
0900 Total new obligations, unexpired accounts	3	7	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	309	330	347
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash, CCU Guarantee Program)	24		
1800 Collected (subscribed stock)		24	24
1850 Spending auth from offsetting collections, mand (total)	24	24	24
1930 Total budgetary resources available	333	354	371
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	330	347	368

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	2
3010	New obligations, unexpired accounts	3	7
3020	Outlays (gross)	-2	-6
3050	Unpaid obligations, end of year	1	2
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3090	Uncollected pymts, Fed sources, end of year	-1	-1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	-1	1
3200	Obligated balance, end of year	1	2
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	24	24
Outlays, gross:			
4100	Outlays from new mandatory authority	2	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121	Interest on Federal securities	-6	-6
4123	Non-Federal sources	-18	-18
4130	Offsets against gross budget authority and outlays (total) ...	-24	-24
4170	Outlays, net (mandatory)	-22	-18
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-22	-18
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	309	332
5001	Total investments, EOY: Federal securities: Par value	332	355

The purpose of the Central Liquidity Facility (CLF), established under Title III of the Federal Credit Union Act, is to improve the general financial stability of member credit unions by lending, subject to statutory limitations, to member credit unions experiencing unusual or unexpected liquidity shortfalls. The two primary sources of funds for the CLF are stock subscriptions from member credit unions and borrowings from the Federal Financing Bank. The borrowing authority of the CLF is limited by statute to 12 times the subscribed capital stock and surplus (retained earnings) which equates to \$7.4 billion as of September 30, 2019.

Object Classification (in millions of dollars)

Identification code 025-4470-0-3-373	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
25.3	Other goods and services from Federal sources	1	1
44.0	Refunds: Membership Activity	1	5
94.0	Financial transfers: FFB repayment	1	1
99.9	Total new obligations, unexpired accounts	3	7

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND

For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, \$1,500,000 shall be available until September 30, 2021, for technical assistance to low-income designated credit unions. (Financial Services and General Government Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Technical assistance	4	2
0801	Loans	1	2
0900	Total new obligations, unexpired accounts	5	4
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	8	10
1001	Discretionary unobligated balance brought fwd, Oct 1	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	2	2

Spending authority from offsetting collections, mandatory:			
1800	Collected	5	2
1900	Budget authority (total)	7	4
1930	Total budgetary resources available	15	14
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	10	10

Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	4
3010	New obligations, unexpired accounts	5	4
3020	Outlays (gross)	-2	-8
3050	Unpaid obligations, end of year	4	
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	4
3200	Obligated balance, end of year	4	

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	2	2
Outlays, gross:			
4010	Outlays from new discretionary authority		2
4011	Outlays from discretionary balances	1	4
4020	Outlays, gross (total)	1	6
Mandatory:			
4090	Budget authority, gross	5	2
Outlays, gross:			
4100	Outlays from new mandatory authority	1	2
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123	Non-Federal sources	-5	-2
4180	Budget authority, net (total)	2	2
4190	Outlays, net (total)	-3	6
Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	5	9
5001	Total investments, EOY: Federal securities: Par value	9	10

Status of Direct Loans (in millions of dollars)

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	10	6
1231	Disbursements: Direct loan disbursements	1	2
1251	Repayments: Repayments and prepayments	-5	-1
1290	Outstanding, end of year	6	7

The Community Development Revolving Loan Fund (CDRLF) was established by Congress in 1979 with a \$6 million appropriation to assist credit unions serving low-income communities to: 1) provide financial services to their communities; 2) stimulate economic activities in their communities, resulting in increased income and employment; and 3) operate more efficiently. CDRLF funds a revolving loan program and a technical assistance grant program.

For the revolving loan program, CDRLF had outstanding loans of \$6.2 million (20 loans outstanding to 20 credit unions) as of September 30, 2019. For the 2019 round of technical assistance grants, which are administered on a calendar-year basis, NCUA awarded \$2 million in technical assistance grants to help 164 low-income credit unions increase outreach to underserved communities, establish professional mentoring relationships between staff at larger and smaller minority depository institutions to increase staff capacity and improve operations, improve digital services and security, and train employees.

The Budget does not request CDRLF discretionary appropriations for 2021.

Object Classification (in millions of dollars)

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
41.0	Direct obligations: Grants, subsidies, and contributions	4	2
33.0	Reimbursable obligations: Investments and loans	1	2
99.0	Reimbursable obligations	1	2

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued
Object Classification—Continued

Identification code 025-4472-0-3-373	2019 actual	2020 est.	2021 est.
99.9 Total new obligations, unexpired accounts	5	4	2

NATIONAL ENDOWMENT FOR THE ARTS

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the *closure of the National Endowment for the Arts, established under the National Foundation on the Arts and the Humanities Act of 1965*, \$162,250,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act \$30,175,000, to remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promotion of the arts	122	134
0003 Program support	2	3
0004 Salaries and expenses	32	34	30
0799 Total direct obligations	156	171	30
0801 Reimbursable program activity	1	1
0900 Total new obligations, unexpired accounts	157	172	30

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	11	3
1021 Recoveries of prior year unpaid obligations	3	2	1
1050 Unobligated balance (total)	12	13	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	155	162	30
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1
1701 Change in uncollected payments, Federal sources	-1
1750 Spending auth from offsetting collections, disc (total)	1
1900 Budget authority (total)	156	162	30
1930 Total budgetary resources available	168	175	34
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	3	4

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	151	148	145
3010 New obligations, unexpired accounts	157	172	30
3020 Outlays (gross)	-157	-173	-142
3040 Recoveries of prior year unpaid obligations, unexpired	-3	-2	-1
3050 Unpaid obligations, end of year	148	145	32
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3070 Change in uncollected pymts, Fed sources, unexpired	1
3090 Uncollected pymts, Fed sources, end of year	-1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	150	147	145
3200 Obligated balance, end of year	147	145	32

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	156	162	30
Outlays, gross:			
4010 Outlays from new discretionary authority	50	55	28
4011 Outlays from discretionary balances	107	118	114
4020 Outlays, gross (total)	157	173	142
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1

Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1
4070 Budget authority, net (discretionary)	155	162	30
4080 Outlays, net (discretionary)	156	172	142
4180 Budget authority, net (total)	155	162	30
4190 Outlays, net (total)	156	172	142

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Arts. The Budget requests \$30 million to conduct an orderly closeout of the agency beginning in fiscal year 2021.

Object Classification (in millions of dollars)

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	16	12
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	16	18	14
12.1 Civilian personnel benefits	5	6	5
13.0 Benefits for former personnel	5
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	3	3	1
25.2 Other services from non-Federal sources	4	4	1
25.3 Other goods and services from Federal sources	2	2	1
31.0 Equipment	1	1
41.0 Grants, subsidies, and contributions	121	133
99.0 Direct obligations	155	170	30
99.0 Reimbursable obligations	1	1
99.5 Adjustment for rounding	1	1
99.9 Total new obligations, unexpired accounts	157	172	30

Employment Summary

Identification code 417-0100-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	139	148	114

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 417-8040-0-7-503	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1130 Gifts and Donations, National Endowment for the Arts	1	1
Proposed:			
1230 Gifts and Donations, National Endowment for the Arts	-1
1999 Total receipts	1
2000 Total: Balances and receipts	1
Appropriations:			
Current law:			
2101 Gifts and Donations, National Endowment for the Arts	-1	-1
Proposed:			
2201 Gifts and Donations, National Endowment for the Arts	1
2999 Total appropriations	-1
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 417-8040-0-7-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0102 Permanent authority	1	1
0900 Total new obligations, unexpired accounts (object class 99.5)	1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2

Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	1
1930	Total budgetary resources available	2	3
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	2
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	1	1
3020	Outlays (gross)	-1	-1
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	1	1
Outlays, gross:			
4100	Outlays from new mandatory authority	1	1
4180	Budget authority, net (total)	1	1
4190	Outlays, net (total)	1	1

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1	1	1
Outlays	1	1	1
Legislative proposal, subject to PAYGO:			
Budget Authority			-1
Outlays			-1
Total:			
Budget Authority	1	1	
Outlays	1	1	

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Identification code 417-8040-4-7-503			
Obligations by program activity:			
0102	Permanent authority		-1
0900	Total new obligations, unexpired accounts (object class 25.2)		-1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201	Appropriation (special or trust fund)		-1
1930	Total budgetary resources available		-1
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts		-1
3020	Outlays (gross)		1
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross		-1
Outlays, gross:			
4100	Outlays from new mandatory authority		-1
4180	Budget authority, net (total)		-1
4190	Outlays, net (total)		-1

\$14,500,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act, including \$12,500,000 for the purposes of section 7(h): *Provided*, That appropriations for carrying out section 10(a)(2) shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, devises of money, and other property accepted by the chairman or by grantees of the National Endowment for the Humanities under the provisions of sections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Identification code 418-0200-0-1-503			
Obligations by program activity:			
0001	Promotion of the humanities	126	141
0004	Administration	28	30
0799	Total direct obligations	154	171
0801	Reimbursable program activity	2	
0900	Total new obligations, unexpired accounts	156	171
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	20	22
1021	Recoveries of prior year unpaid obligations	1	2
1050	Unobligated balance (total)	21	24
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	155	162
Spending authority from offsetting collections, discretionary:			
1700	Collected	2	1
1900	Budget authority (total)	157	163
1930	Total budgetary resources available	178	187
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	22	16

	2019 actual	2020 est.	2021 est.
Identification code 417-8040-4-7-503			
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	142	155
3010	New obligations, unexpired accounts	156	171
3020	Outlays (gross)	-142	-176
3040	Recoveries of prior year unpaid obligations, unexpired	-1	-2
3050	Unpaid obligations, end of year	155	148
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-1
3090	Uncollected pymts, Fed sources, end of year	-1	-1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	141	154
3200	Obligated balance, end of year	154	147

	2019 actual	2020 est.	2021 est.
Identification code 417-8040-4-7-503			
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	157	163
Outlays, gross:			
4010	Outlays from new discretionary authority	62	81
4011	Outlays from discretionary balances	80	95
4020	Outlays, gross (total)	142	176
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-2	-1
4040	Offsets against gross budget authority and outlays (total)	-2	-1
4180	Budget authority, net (total)	155	162
4190	Outlays, net (total)	140	175

NATIONAL ENDOWMENT FOR THE HUMANITIES

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the closure of the National [Foundation on the Arts and the Humanities Act of 1965, \$162,250,000] Endowment for the Humanities, including for administration of awards made prior to September 30, 2020, and satisfaction and administration of offers made prior to September 30, 2020, pursuant to the matching grants program authorized under sections 10(a)(2), 11(a)(2)(B), and 11(a)(3)(B) of the National Foundation on the Arts and the Humanities Act of 1965, \$33,419,600, to remain available until expended, of which \$147,750,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and

The Budget proposes to eliminate funding for several independent agencies, including the National Endowment for the Humanities. The Budget requests, \$33,419,600 to conduct an orderly closeout of the NEH beginning in fiscal year 2021. Of this amount, \$22,524,600 is for salaries and expenses necessary to monitor grants that will remain open as of October 1, 2020 and to plan and carry out the agency's closure; and \$10,895,000 is for funds to honor matching offers made by NEH prior to October 1, 2020.

GRANTS AND ADMINISTRATION—Continued

Object Classification (in millions of dollars)

Identification code 418-0200-0-1-503	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	15	17	7
11.9 Total personnel compensation	15	17	7
12.1 Civilian personnel benefits	5	5	2
13.0 Benefits for former personnel			9
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	5	5	1
41.0 Grants, subsidies, and contributions	126	141	11
99.0 Direct obligations	154	171	33
99.0 Reimbursable obligations	2		
99.9 Total new obligations, unexpired accounts	156	171	33

Employment Summary

Identification code 418-0200-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	130	145	60

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 418-8050-0-7-503	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Gifts and Donations, National Endowment for the Humanities	1	1	1
Proposed:			
1230 Gifts and Donations, National Endowment for the Humanities			-1
1999 Total receipts	1	1	
2000 Total: Balances and receipts	1	1	
Appropriations:			
Current law:			
2101 Gifts and Donations, National Endowment for the Humanities	-1	-1	-1
Proposed:			
2201 Gifts and Donations, National Endowment for the Humanities			1
2999 Total appropriations	-1	-1	
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 418-8050-0-7-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promotion of the humanities		1	1
0900 Total new obligations, unexpired accounts (object class 41.0)		1	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1930 Total budgetary resources available	1	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		1	1
3020 Outlays (gross)		-1	-1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority		1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)		1	1

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1	1	1
Outlays		1	1
Legislative proposal, subject to PAYGO:			
Budget Authority			-1
Outlays			-1
Total:			
Budget Authority	1	1	
Outlays		1	

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 418-8050-4-7-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Promotion of the humanities			-1
0900 Total new obligations, unexpired accounts (object class 41.0)			-1
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-1
1930 Total budgetary resources available			-1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-1
3020 Outlays (gross)			1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-1
Outlays, gross:			
4100 Outlays from new mandatory authority			-1
4180 Budget authority, net (total)			-1
4190 Outlays, net (total)			-1

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: *Provided further*, That the Chairperson of the National Endowment for the Arts may approve grants of up to \$10,000, if in the aggregate the amount of such grants does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: *Provided further*, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

NATIONAL LABOR RELATIONS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other

laws, **[\$274,224,000]** \$246,876,000: *Provided*, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 420-0100-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Casehandling	150	160	146
0002 Administrative Law Judges	8	9	8
0003 Board Adjudication	19	19	18
0005 Internal Review	1	1	1
0006 Mission Support	91	85	73
0900 Total new obligations, unexpired accounts	269	274	246
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	274	274	247
1930 Total budgetary resources available	274	274	247
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35	40	23
3010 New obligations, unexpired accounts	269	274	246
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-264	-291	-244
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	40	23	25
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	40	23
3200 Obligated balance, end of year	40	23	25
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	274	274	247
Outlays, gross:			
4010 Outlays from new discretionary authority	233	252	227
4011 Outlays from discretionary balances	31	39	17
4020 Outlays, gross (total)	264	291	244
4180 Budget authority, net (total)	274	274	247
4190 Outlays, net (total)	264	291	244
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year		-1	-1
Change in deficiency during the year:			
7010 New deficiency	-1		
7020 Unfunded deficiency, end of year	-1	-1	-1

The National Labor Relations Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

	2019 actual	2020 est.	2021 est.
Case intake:			
Unfair labor practice cases	18,552	18,181	17,817
Representation cases	2,095	2,095	2,095
Administrative law judges:			
Hearings closed	141	150	150
Decisions issued	159	150	150
Board adjudication:			
Contested Board decisions issued	303	300	300
Regional director decisions	201	216	230
Board decisions requiring court enforcement	60	68	68

Casehandling (formerly Field investigations in 2015 and earlier).—Charges of unfair labor practices and petitions for elections to resolve

representation disputes are investigated by regional office personnel. Approximately 90 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases. Unlike other Federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

Internal Review.—Office of the Inspector General.

Mission Support.—Previously spread across other program activities; includes administrative, personnel, and financial management functions conducted in the Headquarters office.

Object Classification (in millions of dollars)

Identification code 420-0100-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	155	163	159
12.1 Civilian personnel benefits	48	52	49
21.0 Travel and transportation of persons	3	3	
23.1 Rental payments to GSA	23	23	20
23.3 Communications, utilities, and miscellaneous charges	5	3	4
25.2 Other services from non-Federal sources	30	29	14
31.0 Equipment	5	1	
99.9 Total new obligations, unexpired accounts	269	274	246

Employment Summary

Identification code 420-0100-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,286	1,335	1,313

ADMINISTRATIVE PROVISIONS

SEC. 407. None of the funds provided by this Act or previous Acts making appropriations for the National Labor Relations Board may be used to issue any new administrative directive or regulation that would provide employees any means of voting through any electronic means in an election to determine a representative for the purposes of collective bargaining. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

NATIONAL MEDIATION BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, **[\$14,050,000]** \$13,900,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

SALARIES AND EXPENSES—Continued
Program and Financing (in millions of dollars)

Identification code 421-2400-0-1-505	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Mediator services	7	7	7
0002 Representation services	2	3	3
0003 Arbitration services	4	4	4
0900 Total new obligations, unexpired accounts	13	14	14
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	14	14	14
1930 Total budgetary resources available	14	14	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	3	3
3010 New obligations, unexpired accounts	13	14	14
3020 Outlays (gross)	-13	-14	-14
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority	10	13	13
4011 Outlays from discretionary balances	3	1	1
4020 Outlays, gross (total)	13	14	14
4180 Budget authority, net (total)	14	14	14
4190 Outlays, net (total)	13	14	14

Mediator and alternative dispute resolution (ADR) services.—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2019 actual	2020 est.	2021 est.
Mediation & ADR cases:			
Pending, start of year	121	120	127
Received during year	65	97	101
Closed during year	66	90	80
Pending, end of year	120	127	148

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2019 actual	2020 est.	2021 est.
Representation cases:			
Pending, start of year	3	1	3
Received during year	10	20	22
Closed during year	12	18	24
Pending, end of year	1	3	1
Freedom of Information Act (FOIA) requests received	11	21	18
Investigation cases closed	11	21	18

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential

service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2019 actual	2020 est.	2021 est.
Board created:			
Emergency (sec. 160)	0	1	1
Emergency (sec. 159a)	0	1	1

Arbitration services.—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2019 actual	2020 est.	2021 est.
Arbitration cases:			
Pending, start of year	6,408	3,698	3,356
Received during year	3,859	4,235	4,255
Closed during year	6,569	4,577	4,837
Pending, end of year	3,698	3,356	2,774

Object Classification (in millions of dollars)

Identification code 421-2400-0-1-505	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	7	7
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	8	9	9
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	2	2	2
99.0 Direct obligations	13	14	14
99.9 Total new obligations, unexpired accounts	13	14	14

Employment Summary

Identification code 421-2400-0-1-505	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	51	51	51

**NATIONAL RAILROAD PASSENGER CORPORATION
OFFICE OF INSPECTOR GENERAL**

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, **[\$24,274,000]** \$26,248,000: *Provided*, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: *Provided further*, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within the Corporation: *Provided further*, That concurrent with the President's budget request for fiscal year **[2021]** 2022, the Inspector General shall submit to the House and

Senate Committees on Appropriations a budget request for fiscal year **2021** 2022 in similar format and substance to those submitted by executive agencies of the Federal Government. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 575-2996-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Amtrak IG	23	24	26
0900 Total new obligations, unexpired accounts (object class 41.0)	23	24	26
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	24	26
1930 Total budgetary resources available	23	24	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2
3010 New obligations, unexpired accounts	23	24	26
3020 Outlays (gross)	-24	-26	-26
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	24	26
Outlays, gross:			
4010 Outlays from new discretionary authority	20	24	26
4011 Outlays from discretionary balances	4	2
4020 Outlays, gross (total)	24	26	26
4180 Budget authority, net (total)	23	24	26
4190 Outlays, net (total)	24	26	26

The 2021 Budget proposes \$26.248 million for activities for the National Railroad Passenger Corporation (Amtrak) Office of the Inspector General.

3020	Outlays (gross)	-4	-1
3050	Unpaid obligations, end of year	5	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	1
3200	Obligated balance, end of year	5	1

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	10
Outlays, gross:				
4011	Outlays from discretionary balances	4	1
4180	Budget authority, net (total)	10
4190	Outlays, net (total)	4	1

The National Security Commission on Artificial Intelligence (NSCAI), an independent Federal Agency, is composed of fifteen members appointed by select heads of key cabinet Departments along with key Congressional stakeholders. Established by section 1051 of P.L. 115-232, the NSCAI is responsible for assessing and recommending the competitiveness of the United States in artificial intelligence, machine learning, and other associated technologies, including matters related to national security, defense, public-private partnership and investments. The NSCAI also makes recommendations on the means and methods, international competitiveness, investments and risks, and the means and methods that the United States can leverage going forward to support this evolving technology.

NATIONAL TRANSPORTATION SAFETY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), **[\$110,400,000]** *\$116,400,000*, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Policy and Direction	12	15	16
0002 Communications	9	8	10
0003 Aviation Safety	32	30	32
0004 Information Technology and Services	10	9	9
0005 Research and Engineering	12	13	13
0006 NTSB Training Center	1	1	1
0007 Administrative Law Judges	2	2	2
0008 Highway Safety	8	8	8
0009 Marine Safety	5	5	6
0010 Railroad, Pipeline, and Hazardous Materials Safety	9	9	9
0011 Administrative Support	9	10	10
0100 Sub-total, Direct obligations	109	110	116
0799 Total direct obligations	109	110	116
0900 Total new obligations, unexpired accounts	109	110	116
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	9	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	110	110	116
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	111	111	117
1930 Total budgetary resources available	121	120	127
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-3

NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

Federal Funds

EXPENSES, NATIONAL SECURITY COMMISSION ON ARTIFICIAL INTELLIGENCE

Program and Financing (in millions of dollars)

Identification code 245-2765-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	5
0900 Total new obligations, unexpired accounts (object class 25.3)	5
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other acct [021-2020]	1
1121 Appropriations transferred from other acct [017-1507]	3
1121 Appropriations transferred from other acct [021-2040]	1
1121 Appropriations transferred from other acct [057-3600]	3
1121 Appropriations transferred from other acct [097-0400]	2
1160 Appropriation, discretionary (total)	10
1930 Total budgetary resources available	10	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	1
3010 New obligations, unexpired accounts	5

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
1941 Unexpired unobligated balance, end of year	9	10	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	24	23
3010 New obligations, unexpired accounts	109	110	116
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-106	-111	-116
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	24	23	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	24	23
3200 Obligated balance, end of year	24	23	23
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	111	111	117
Outlays, gross:			
4010 Outlays from new discretionary authority	92	89	94
4011 Outlays from discretionary balances	14	22	22
4020 Outlays, gross (total)	106	111	116
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-1	-1	-1
4070 Budget authority, net (discretionary)	110	110	116
4080 Outlays, net (discretionary)	105	110	115
4180 Budget authority, net (total)	110	110	116
4190 Outlays, net (total)	105	110	115

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2021, the Administration proposes a total funding level of \$116.4 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	51	54	56
11.3 Other than full-time permanent	2	3	3
11.5 Other personnel compensation	2	2	3
11.9 Total personnel compensation	55	59	62
12.1 Civilian personnel benefits	18	20	21
21.0 Travel and transportation of persons	4	3	4
23.1 Rental payments to GSA	10	10	10
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	14	13	13
31.0 Equipment	4	1	2
99.0 Direct obligations	109	110	116
99.9 Total new obligations, unexpired accounts	109	110	116

Employment Summary

Identification code 424-0310-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	399	404	412

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 424-0311-0-1-407	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The National Transportation Safety Board is mandated by the Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2021.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation [for use in neighborhood reinvestment activities], as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), [\$157,500,000, of which \$5,000,000 shall be for a multi-family rental housing program] \$27,400,000: *Provided*, That [an additional \$1,000,000, to remain available until September 30, 2023, shall be for the promotion and development of shared equity housing models] *such funds may be used only to prepare for the discontinuation of federal funding, including but not limited to costs related to personnel, management of existing grants, and the termination of ongoing programs. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 082-1300-0-1-451	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment for operations and grants	152	159	
0003 Wind-down Activities			27
0900 Total new obligations, unexpired accounts (object class 41.0)	152	159	27
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	152	159	27
1930 Total budgetary resources available	152	159	27
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	152	159	27
3020 Outlays (gross)	-152	-159	-27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	152	159	27
Outlays, gross:			
4010 Outlays from new discretionary authority	152	159	27
4180 Budget authority, net (total)	152	159	27
4190 Outlays, net (total)	152	159	27

The Neighborhood Reinvestment Corporation (NRC), doing business as "NeighborWorks America," was established by Federal charter in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. The Budget requests \$27.4 million to conduct an orderly closeout of federal payments to NRC in fiscal year

2021, which includes funding for costs related to personnel and management of existing grants.

NORTHERN BORDER REGIONAL COMMISSION

Federal Funds

NORTHERN BORDER REGIONAL COMMISSION

For necessary expenses [necessary for] of the Northern Border Regional Commission, [in carrying out activities] as authorized by subtitle V of title 40, United States Code, [\$25,000,000, to remain available until expended: *Provided*, That such amounts shall be available for administrative expenses] \$850,000, notwithstanding section 15751(b) of title 40, United States Code: *Provided*, That such amounts shall be available only for the closure of the Commission: *Provided further*, That unobligated balances appropriated under this heading in this and prior years shall be available for the ongoing administration, oversight, and monitoring of grants previously awarded by the Commission. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 573-3742-0-1-452	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Northern Border Regional Commission	23	25	1
0900 Total new obligations, unexpired accounts (object class 41.0)	23	25	1
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	20	25	1
1930 Total budgetary resources available	24	26	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	43	27
3010 New obligations, unexpired accounts	23	25	1
3020 Outlays (gross)	-6	-41	-28
3050 Unpaid obligations, end of year	43	27
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	26	43	27
3200 Obligated balance, end of year	43	27
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	20	25	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	15	1
4011 Outlays from discretionary balances	5	26	27
4020 Outlays, gross (total)	6	41	28
4180 Budget authority, net (total)	20	25	1
4190 Outlays, net (total)	6	41	28

The Budget proposes to eliminate funding for several independent agencies, including the Northern Border Regional Commission (NBRC). The Budget requests \$850,000 to conduct an orderly closeout of the agency in fiscal year 2021, which includes sufficient funding for personnel costs during shutdown activities; for severance or retirement pay; and for non-personnel costs associated with the agency's closure such as lease termination, equipment disposal, and compliance with recordkeeping requirements. The Budget also proposes statutory authority to transfer outstanding grant obligations and associated administrative and oversight responsibilities to the Department of Agriculture.

Employment Summary

Identification code 573-3742-0-1-452	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	6	6

NUCLEAR REGULATORY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Commission in carrying out the purposes of the Energy Reorganization Act of 1974 and the Atomic Energy Act of 1954, [\$842,236,000] \$849,900,000, including official representation expenses not to exceed \$25,000, to remain available until expended: *Provided*, That of the amount appropriated herein, not more than \$9,500,000 may be made available for salaries, travel, and other support costs for the Office of the Commission, to remain available until September 30, [2021, of which, notwithstanding section 201(a)(2)(c) of the Energy Reorganization Act of 1974 (42 U.S.C. 5841(a)(2)(c)), the use and expenditure shall only be approved by a majority vote of the Commission] 2022: *Provided further*, That of the amounts appropriated under this heading, \$62,481,000 shall be used, to the maximum extent practicable, solely for conducting requested activities of the Commission, as such term is defined in section 3(10) of the Nuclear Energy Innovation and Modernization Act (Public Law 115-439): *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at [\$717,125,000] \$729,293,000 in fiscal year [2020] 2021 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That [of the amounts appropriated under this heading, not less than \$15,478,000 shall be for activities related to the development of regulatory infrastructure for advanced nuclear technologies, and \$14,500,000 shall be for international activities, except that the amounts provided under this proviso shall not be derived from fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That] the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year [2020] 2021 so as to result in a final fiscal year [2020] 2021 appropriation estimated at not more than [\$125,111,000: *Provided further*, That of the amounts appropriated under this heading, \$10,500,000 shall be for university research and development in areas relevant to the Commission's mission, and \$5,500,000 shall be for a Nuclear Science and Engineering Grant Program that will support multiyear projects that do not align with programmatic missions but are critical to maintaining the discipline of nuclear science and engineering] \$120,607,000. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
0100 Balance, start of year
Receipts:			
Current law:			
1120 Nuclear Facility Fees, Nuclear Regulatory Commission	751	717	729
1120 Nuclear Facility Fees, Nuclear Regulatory Commission	21	11	11
1199 Total current law receipts	772	728	740
1999 Total receipts	772	728	740
2000 Total: Balances and receipts	772	728	740
Appropriations:			
Current law:			
2101 Salaries and Expenses	-762	-717	-729
2101 Office of Inspector General	-10	-11	-11
2199 Total current law appropriations	-772	-728	-740
2999 Total appropriations	-772	-728	-740
5099 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nuclear Reactor Safety	443	427	453
0005 Nuclear Materials and Waste Safety	106	98	102
0007 Decommissioning and Low-Level Waste	24	22	23
0010 Integrated University Program	15	16

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
0012 Corporate Support	291	279	272
0799 Total direct obligations	879	842	850
0801 Salaries and Expenses (Reimbursable)	4	6	6
0900 Total new obligations, unexpired accounts	883	848	856
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	66	78
1021 Recoveries of prior year unpaid obligations	7	7	7
1033 Recoveries of prior year paid obligations	2		
1050 Unobligated balance (total)	47	73	85
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund)	136	125	121
1101 Appropriation (NRC receipts)	762	717	729
1160 Appropriation, discretionary (total)	898	842	850
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	11	11
1701 Change in uncollected payments, Federal sources	-1		
1750 Spending auth from offsetting collections, disc (total)	4	11	11
1900 Budget authority (total)	902	853	861
1930 Total budgetary resources available	949	926	946
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	66	78	90
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	347	342	204
3010 New obligations, unexpired accounts	883	848	856
3020 Outlays (gross)	-881	-979	-859
3040 Recoveries of prior year unpaid obligations, unexpired	-7	-7	-7
3050 Unpaid obligations, end of year	342	204	194
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	344	340	202
3200 Obligated balance, end of year	340	202	192
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	902	853	861
Outlays, gross:			
4010 Outlays from new discretionary authority	640	643	649
4011 Outlays from discretionary balances	241	336	210
4020 Outlays, gross (total)	881	979	859
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-3	-5	-5
4033 Non-Federal sources	-4	-6	-6
4040 Offsets against gross budget authority and outlays (total)	-7	-11	-11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1		
4053 Recoveries of prior year paid obligations, unexpired accounts	2		
4060 Additional offsets against budget authority only (total)	3		
4070 Budget authority, net (discretionary)	898	842	850
4080 Outlays, net (discretionary)	874	968	848
4180 Budget authority, net (total)	898	842	850
4190 Outlays, net (total)	874	968	848

Nuclear Reactor Safety.—The Nuclear Regulatory Commission (NRC) Nuclear Reactor Safety Program encompasses licensing and overseeing civilian nuclear power reactors, research and test reactors, and other non-power production and utilization facilities (e.g., medical radioisotope facilities) in a manner that adequately protects public health and safety. This program also provides reasonable assurance of the security of facilities and protection against radiological sabotage. This program contributes to the NRC's safety and security strategic goals through the activities of the Op-

erating Reactors and New Reactors Business Lines that regulate existing and new nuclear reactors to ensure they meet applicable requirements.

Nuclear Materials and Waste Safety.—The Nuclear Materials and Waste Safety Program encompasses the NRC's licensing and oversight of nuclear materials in a manner that adequately protects public health and safety. This program provides assurance of the physical security of the materials and waste and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, and academic); spent fuel storage; spent fuel material transportation and packaging; decontamination and decommissioning of facilities; and low-level and high-level radioactive waste. The program contributes to the NRC's safety and security strategic goals through the activities of the Spent Fuel Storage and Transportation, Nuclear Materials Users, Decommissioning and Low-Level Waste, High-Level Waste, and Fuel Facilities Business Lines.

Corporate Support.—The NRC's Corporate Support Business Line involves centrally managed activities that are necessary for agency programs to accomplish the agency's mission. These activities include administrative services, financial management, human resource management, information technology (IT) and information management (IM), outreach, policy support, training, and acquisitions.

Object Classification (in millions of dollars)

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	386	389	405
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	10	10	11
11.9 Total personnel compensation	400	403	420
12.1 Civilian personnel benefits	131	132	137
21.0 Travel and transportation of persons	21	16	16
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	20	19	19
23.3 Communications, utilities, and miscellaneous charges	22	20	20
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	39	30	30
25.2 Other services from non-Federal sources	67	60	60
25.3 Other goods and services from Federal sources	65	58	58
25.4 Operation and maintenance of facilities	4	4	4
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	55	50	52
26.0 Supplies and materials	3	2	2
31.0 Equipment	31	28	28
41.0 Grants, subsidies, and contributions	16	16	
99.0 Direct obligations	879	842	850
99.0 Reimbursable obligations	4	6	6
99.9 Total new obligations, unexpired accounts	883	848	856

Employment Summary

Identification code 031-0200-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,855	2,907	2,805
2001 Reimbursable civilian full-time equivalent employment	7	8	8

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$13,314,000]** \$13,499,000, to remain available until September 30, **[2021]** 2022: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$10,929,000]** \$11,106,000 in fiscal year **[2020]** 2021 shall be retained and be available until September 30, **[2021]** 2022, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2020]** 2021 so as to result in a final fiscal year **[2020]** 2021 appropriation estimated at not more than **[\$2,385,000]** \$2,393,000: *Provided further*, That of the amounts appropriated under this heading, **[\$1,171,000]**

\$1,206,000 shall be for Inspector General services for the Defense Nuclear Facilities Safety Board, which shall not be available from fee revenues. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Inspector General	12	13	13
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1101 Appropriation (special or trust)	10	11	11
1160 Appropriation, discretionary (total)	12	13	13
1930 Total budgetary resources available	14	15	15
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	3
3010 New obligations, unexpired accounts	12	13	13
3020 Outlays (gross)	-11	-13	-13
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	13	13
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	10
4011 Outlays from discretionary balances	1	3	3
4020 Outlays, gross (total)	11	13	13
4180 Budget authority, net (total)	12	13	13
4190 Outlays, net (total)	11	13	13

The NRC's Office of Inspector General (OIG) was established as a statutory entity on April 15, 1989, in accordance with the 1988 amendments to the Inspector General Act. Starting in 2014, the NRC's OIG has exercised the same authorities with respect to the Defense Nuclear Facilities Safety Board (DNFSB) per the Consolidated Appropriations Act, 2014. The OIG's mission is to provide independent, objective audit and investigative oversight of NRC and DNFSB operations to protect people and the environment.

Object Classification (in millions of dollars)

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	9
12.1 Civilian personnel benefits	3	3	3
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations, unexpired accounts	12	13	13

Employment Summary

Identification code 031-0300-0-1-276	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	59	63	63

GENERAL PROVISIONS—INDEPENDENT AGENCIES

SEC. 401. The Nuclear Regulatory Commission shall comply with the July 5, 2011, version of Chapter VI of its Internal Commission Procedures when responding to Congressional requests for information, consistent with Department of Justice guidance for all federal agencies.

SEC. 402. (a) The amounts made available by this title for the Nuclear Regulatory Commission may be reprogrammed for any program, project, or activity, and the Commission shall notify the Committees on Appropriations of both Houses

of Congress at least 30 days prior to the use of any proposed reprogramming that would cause any program funding level to increase or decrease by more than \$500,000 or 10 percent, whichever is less, during the time period covered by this Act.

(b)(1) The Nuclear Regulatory Commission may waive the notification requirement in subsection (a) if compliance with such requirement would pose a substantial risk to human health, the environment, welfare, or national security.

(2) The Nuclear Regulatory Commission shall notify the Committees on Appropriations of both Houses of Congress of any waiver under paragraph (1) as soon as practicable, but not later than 3 days after the date of the activity to which a requirement or restriction would otherwise have applied. Such notice shall include an explanation of the substantial risk under paragraph (1) that permitted such waiver and shall provide a detailed report to the Committees of such waiver and changes to funding levels to programs, projects, or activities.

(c) Except as provided in subsections (a), (b), and (d), the amounts made available by this title for "Nuclear Regulatory Commission-Salaries and Expenses" shall be expended as directed in the joint explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) accompanying this Act.

(d) None of the funds provided for the Nuclear Regulatory Commission shall be available for obligation or expenditure through a reprogramming of funds that increases funds or personnel for any program, project, or activity for which funds are denied or restricted by this Act.

(e) The Commission shall provide a monthly report to the Committees on Appropriations of both Houses of Congress, which includes the following for each program, project, or activity, including any prior year appropriations—

- (1) total budget authority;
- (2) total unobligated balances; and
- (3) total unliquidated obligations.

(Energy and Water Development and Related Agencies Appropriations Act, 2020.)

GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
031-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts		1	1
General Fund Offsetting receipts from the public		1	1

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, \$3,600,000, to be derived from the Nuclear Waste Fund, to remain available until September 30, 2022. (Energy and Water Development and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Technical and scientific activities	4	4	4
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	4	4	4
1930 Total budgetary resources available	5	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-3	-4	-4
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	2	4	4
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	3	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	3	4	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	4	4	4
Outlays	3	4	4
Legislative proposal, not subject to PAYGO:			
Budget Authority			2
Outlays			2
Total:			
Budget Authority	4	4	6
Outlays	3	4	6

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high-level radioactive waste. The Board's purpose is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions, and recommendations at least two times per year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	3
99.5 Adjustment for rounding	2	2	1
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 431-0500-0-1-271	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	16	20

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of authorizing legislation expanding the functions of the Nuclear Waste Technical Review Board to include the conduct of independent analyses and the evaluation of research, development, design, and other activities related to storage, transportation, and disposal pathways for spent nuclear fuel and high-level radioactive waste, an additional \$2,000,000 for necessary expenses, to remain available until September 30, 2022, to be derived from the Nuclear Waste Fund.

Program and Financing (in millions of dollars)

Identification code 431-0500-2-1-271	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Technical and scientific activities			2
0900 Total new obligations, unexpired accounts (object class 99.5)			2
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			2
1930 Total budgetary resources available			2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			2
3020 Outlays (gross)			-2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			2
Outlays, gross:			
4010 Outlays from new discretionary authority			2
4180 Budget authority, net (total)			2
4190 Outlays, net (total)			2

In addition to the activities the Nuclear Waste Technical Review Board (Board) performs as mandated by the Nuclear Waste Policy Act of 1987, the Board will perform independent technical evaluations of research and development activities, processes, and facility designs and operations, and of alternative storage, transportation, and disposal strategies for spent nuclear fuel and high-level radioactive waste. The Board will also provide additional oversight and analysis of federal nuclear waste management programs. To perform such analyses, the Board will leverage its expertise in the geosciences, material sciences, and engineering fields, thereby providing independent expert advice.

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, **[\$13,225,000] \$13,721,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Commission review	4	6	6
0002 Administrative law judge determinations	7	5	6
0003 Executive direction	2	2	2
0900 Total new obligations, unexpired accounts	13	13	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	13	13	14
1930 Total budgetary resources available	14	13	14
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	3
3010 New obligations, unexpired accounts	13	13	14
3020 Outlays (gross)	-12	-14	-13
3050 Unpaid obligations, end of year	4	3	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	3

3200	Obligated balance, end of year	4	3	4
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	13	13	14
Outlays, gross:				
4010	Outlays from new discretionary authority	10	11	12
4011	Outlays from discretionary balances	2	3	1
4020	Outlays, gross (total)	12	14	13
4180	Budget authority, net (total)	13	13	14
4190	Outlays, net (total)	12	14	13

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	6	7	8
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	2	2	2
99.0	Direct obligations	10	11	12
99.5	Adjustment for rounding	3	2	2
99.9	Total new obligations, unexpired accounts	13	13	14

Employment Summary

Identification code 432-2100-0-1-554	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	46	61	63

OFFICE OF GOVERNMENT ETHICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the *Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act [of 2012]*, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, **[\$17,500,000] \$18,576,000.** (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Salaries and Expenses (Direct)	17	18	19
0801	Salaries and Expenses (Reimbursable)		1	1
0900	Total new obligations, unexpired accounts	17	19	20
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	17	18	19
Spending authority from offsetting collections, discretionary:				
1700	Collected		1	1
1900	Budget authority (total)	17	19	20
1930	Total budgetary resources available	17	19	20

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	4	5	6
3010	New obligations, unexpired accounts	17	19	20
3020	Outlays (gross)	-16	-18	-20
3050	Unpaid obligations, end of year	5	6	6

Memorandum (non-add) entries:				
3100	Obligated balance, start of year	4	5	6
3200	Obligated balance, end of year	5	6	6

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	17	19	20
Outlays, gross:				
4010	Outlays from new discretionary authority	13	15	16
4011	Outlays from discretionary balances	3	3	4
4020	Outlays, gross (total)	16	18	20
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources		-1	-1
4180	Budget authority, net (total)	17	18	19
4190	Outlays, net (total)	16	17	19

The U.S. Office of Government Ethics (OGE), established by the Ethics in Government Act of 1978, provides overall leadership and oversight of the Executive Branch ethics program designed to prevent and resolve conflicts of interest. OGE's mission is part of the very foundation of public service. The first principle in the Fourteen Principles of Ethical Conduct for Government Officers and Employees provides that, "[p]ublic service is a public trust, requiring employees to place loyalty to the Constitution, the laws and ethical principles above private gain." OGE undertakes this important prevention mission as part of a framework comprising Executive Branch agencies and entities whose work focuses on institutional integrity. Within this framework, the ethics program works to ensure that public servants carry out the governmental responsibilities entrusted to them with impartiality, and that they serve as good stewards of public resources.

To carry out its vital leadership and oversight responsibilities for the Executive Branch ethics program, OGE promulgates, maintains, and advises on enforceable standards of ethical conduct for more than 2.7 million employees in over 130 Executive Branch agencies, including the White House; offers education and training to the more than 5,000 ethics officials Executive Branch-wide; oversees a financial disclosure system that reaches more than 26,000 public and more than 380,000 confidential financial disclosure report filers; operates and maintains *Integrity*, a public financial disclosure management application required by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge (STOCK) Act; monitors Executive B agency ethics programs and senior leaders' compliance with applicable ethics laws and regulations; prepares for presidential transitions and provides assistance to the President and Senate in the presidential appointments process; conducts outreach to the general public, the private sector, and non-governmental organizations; and makes ethics documents publicly available.

Object Classification (in millions of dollars)

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	8	9	10
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	2	2	2
25.3	Other goods and services from Federal sources	4	4	4
99.0	Direct obligations	17	18	19
99.0	Reimbursable obligations		1	1
99.9	Total new obligations, unexpired accounts	17	19	20

Employment Summary

Identification code 434-1100-0-1-805	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	66	72	76

OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, **[\$7,500,000] \$4,000,000**, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to section 11 of Public Law 93-531 (88 Stat. 1716). (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 435-1100-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operation of relocation office	4	4	3
0003 Relocation payments (housing)	1	1	1
0004 Discretionary fund payments		3	
0900 Total new obligations, unexpired accounts	5	8	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	18	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	8	4
1120 Appropriations transferred to other acct [014-0104]	-1		
1160 Appropriation, discretionary (total)	8	8	4
1930 Total budgetary resources available	23	26	22
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	18	18	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 New obligations, unexpired accounts	5	8	4
3020 Outlays (gross)	-5	-8	-4
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	8	4
Outlays, gross:			
4010 Outlays from new discretionary authority	5	6	3
4011 Outlays from discretionary balances		2	1
4020 Outlays, gross (total)	5	8	4
4180 Budget authority, net (total)	8	8	4
4190 Outlays, net (total)	5	8	4

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two Tribes. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort, and to plan for the orderly closeout of the Office of Navajo and Hopi Indian Relocation.

Object Classification (in millions of dollars)

Identification code 435-1100-0-1-808	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1		
25.2 Other services from non-Federal sources	1	2	1
32.0 Land and structures	1	4	1
99.9 Total new obligations, unexpired accounts	5	8	4

Employment Summary

Identification code 435-1100-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	19	19

OFFICE OF SPECIAL COUNSEL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel, including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$27,500,000] \$27,435,000**. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Investigation and prosecution of reprisals for whistle blowing	26	28	27
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	27	28	27
1930 Total budgetary resources available	27	29	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 New obligations, unexpired accounts	26	28	27
3020 Outlays (gross)	-26	-28	-25
3050 Unpaid obligations, end of year	3	3	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	27	28	27
Outlays, gross:			
4010 Outlays from new discretionary authority	24	25	24
4011 Outlays from discretionary balances	2	3	1
4020 Outlays, gross (total)	26	28	25
4180 Budget authority, net (total)	27	28	27
4190 Outlays, net (total)	26	28	25

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law and, when appropriate, prosecutes before the Merit Systems Protection Board; 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniformed Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC

submits the agency's investigative report to the President and the Congress when appropriate.

OSC received 5,486 new cases in 2019. While the number of cases received was less than projected in 2019 due to the unprecedented partial Government shutdown, receiving 5,486 cases still represents a 39 percent increase over historical averages post-2000. Additionally, OSC resolved 6,193 matters in 2019, an increase of 60 percent over the historical average post-2000 and the second highest total in agency history. Of the new cases received, 3,811 were prohibited personnel practice cases, a 45 percent increase over the historical average post-2000. OSC also obtained a record 329 favorable actions for Federal employees in response to prohibited personnel practice complaints.

During 2019, OSC received 1,373 new disclosures, a 42 percent increase over the historical average post-2000. OSC processed and closed 1,479 disclosures, and referred 73 disclosures of waste, fraud, and abuse to agency heads for investigation. During the last several years, OSC has received numerous whistleblower disclosures from employees at the Department of Veterans Affairs (VA). OSC's work with VA whistleblowers has been featured in the media, and has helped promote accountability and improvements within VA. OSC continues to receive a disproportionately large number of cases from VA employees and, to address this, has established a priority intake system for VA claims.

OSC conducts outreach and education activities on its program areas to inform and train agencies to prevent prohibited personnel practices, whistleblower reprisals, Hatch Act and USERRA violations, and claims of fraud, waste and abuse. In FY 2019, OSC conducted 188 outreach activities throughout the Federal Government.

Case Type:	Cases Received 2019	Cases Resolved 2019
Prohibited personnel practice complaints	3,811	4,447
Hatch Act complaints	281	245
Whistleblower Disclosures	1,373	1,479
USERRA cases	21	22
Totals	5,486	6,193

For 2020 and 2021, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases to follow recent trends and stabilize at around 6,000 total new cases received each year. OSC's caseload will remain high in light of the ongoing issues at the VA, and the increased media exposure VA whistleblowers and whistleblowers in general are receiving.

Overall, the funding requested for 2021 will enable OSC to meet demands for OSC's services, protect whistleblowers in the VA and other agencies, protect the employment rights of returning service members, and protect the Federal merit system from prohibited personnel and political practices.

Object Classification (in millions of dollars)

Identification code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	16	18	17
12.1 Civilian personnel benefits	5	6	6
23.1 Rental payments to GSA	2	1	2
25.2 Other services from non-Federal sources	3	3	2
99.9 Total new obligations, unexpired accounts	26	28	27

Employment Summary

Identification code 062-0100-0-1-805	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	133	141	126

OTHER COMMISSIONS AND BOARDS

Federal Funds

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

SALARIES AND EXPENSES

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, **[\$675,000] \$642,000**, as authorized by chapter 3123 of title 54, United States Code: *Provided*, That the Commission may procure temporary, intermittent, and other services notwithstanding paragraph (3) of section 312304(b) of such chapter: *Provided further*, That such authority shall terminate on October 1, **[2020] 2021: Provided further**, That the Commission shall notify the Committees on Appropriations prior to exercising such authority. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

SOUTHEAST CRESCENT REGIONAL COMMISSION

[For expenses necessary for the Southeast Crescent Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$250,000, to remain available until expended.] (*Energy and Water Development and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 095-9911-0-1-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Other Commissions and Boards (Direct)	1	1	1
0900 Total new obligations, unexpired accounts (object class 99.5)	1	1	1
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1	1	1
3020 Outlays (gross)	-1	-1	-1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

This account presents data on small independent commissions and other entities on a consolidated basis. It includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts. The request includes language needed to enable the Commission to meet its requirements for staff and professional assistance.

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Federal Funds

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 579-1299-0-1-552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General Fund Payment	150		
0900 Total new obligations, unexpired accounts (object class 94.0)	150		

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND—Continued
Program and Financing—Continued

Identification code 579–1299–0–1–552	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1200			
Appropriation	150		
1930			
Total budgetary resources available	150		
Change in obligated balance:			
Unpaid obligations:			
3010			
New obligations, unexpired accounts	150		
3020			
Outlays (gross)	–150		
Budget authority and outlays, net:			
Mandatory:			
4090			
Budget authority, gross	150		
Outlays, gross:			
4100			
Outlays from new mandatory authority	150		
4180			
Budget authority, net (total)	150		
4190			
Outlays, net (total)	150		

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 116–94, annual appropriations will continue through 2029.

Trust Funds

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 579–8299–0–7–552	2019 actual	2020 est.	2021 est.
0100			
Balance, start of year	41	45	354
Receipts:			
Current law:			
1110			
Fees on Health Insurance and Self-insured Health Plans, PCORTF	431	354	371
1140			
Interest Received by Trust Funds, PCORTF	1		
1140			
Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund	150		
1140			
Transfers from FHI Trust Fund, PCORTF	61		
1140			
Transfers from FSMI Trust Fund, PCORTF	84		
1199			
Total current law receipts	727	354	371
1999			
Total receipts	727	354	371
2000			
Total: Balances and receipts	768	399	725
Appropriations:			
Current law:			
2101			
Patient-Centered Outcomes Research Trust Fund	–728		
2103			
Patient-Centered Outcomes Research Trust Fund	–40	–45	
2132			
Patient-Centered Outcomes Research Trust Fund	45		
2199			
Total current law appropriations	–723	–45	
2999			
Total appropriations	–723	–45	
5099			
Balance, end of year	45	354	725

Program and Financing (in millions of dollars)

Identification code 579–8299–0–7–552	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001			
Obligations to PCORI	578	36	
0002			
Obligations to HHS	145	9	
0900			
Total new obligations, unexpired accounts (object class 94.0)	723	45	
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201			
Appropriation (special or trust fund)	728		
1203			
Appropriation (previously unavailable)(special or trust)	40	45	
1232			
Appropriations and/or unobligated balance of appropriations temporarily reduced	–45		
1260			
Appropriations, mandatory (total)	723	45	
1900			
Budget authority (total)	723	45	

1930	Total budgetary resources available	723	45
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1			45
3010	New obligations, unexpired accounts	723	45	
3020	Outlays (gross)	–723		
3050	Unpaid obligations, end of year		45	45
Memorandum (non-add) entries:				
3100	Obligated balance, start of year			45
3200	Obligated balance, end of year		45	45
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	723	45	
Outlays, gross:				
4100	Outlays from new mandatory authority	723		
4180	Budget authority, net (total)	723	45	
4190	Outlays, net (total)	723		
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	41		

Public Law 116–94 authorized the extension of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively. The PCORTF terminates at the end of FY 2029.

POSTAL SERVICE

Federal Funds

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, **[\$56,711,000] \$55,333,000: Provided**, That mail for overseas voting and mail for the blind shall continue to be free: **[Provided further**, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: **]** *Provided further*, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further*, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices: *Provided further*, That the Postal Service may not destroy, and shall continue to offer for sale, any copies of the Multinational Species Conservation Funds Semipostal Stamp, as authorized under the Multinational Species Conservation Funds Semipostal Stamp Act of 2010 (Public Law 111–241). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 018–1001–0–1–372	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Free Mail	55	57	55
0900	Total new obligations, unexpired accounts (object class 41.0)	55	57	55
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	55	57	55
1900	Budget authority (total)	55	57	55
1930	Total budgetary resources available	55	57	55
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	55	57	55

3020	Outlays (gross)	-55	-57	-55
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	55	57	55
Outlays, gross:				
4010	Outlays from new discretionary authority	55	57	55
4180	Budget authority, net (total)	55	57	55
4190	Outlays, net (total)	55	57	55

The Budget proposes \$55,333,000 for the estimated 2021 costs of free mail service for the blind and overseas voting.

Pursuant to P.L. 93-328, the 2021 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$25,760,000. This amount includes \$36,463,000 requested for the estimated 2021 costs of free mail service for the blind and overseas voting and a reduction of \$10,703,000 as a reconciliation adjustment for 2018 actual mail volume of free mail service for the blind and overseas voting.

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 018-4020-0-3-372	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Postal field operations	51,374	52,188	52,452
0802	Transportation	8,176	8,466	8,432
0803	Building occupancy	2,106	2,147	2,203
0804	Supplies and services	2,781	2,712	2,712
0805	Research and development	27	27	27
0806	Administration and area operations	5,332	3,767	3,916
0807	Interest	240	248	360
0808	Service-wide expenses	194	235	239
0809	Reimbursable program activities, subtotal	70,230	69,790	70,341
0810	Capital investment	1,977	6,000	3,395
0811	Change in resources on order and inventory	308		
0819	Reimbursable program activities, subtotal	2,285	6,000	3,395
0900	Total new obligations, unexpired accounts	72,515	75,790	73,736
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	9,248	6,573	2,555
1023	Unobligated balances applied to repay debt	-2,200		
1050	Unobligated balance (total)	7,048	6,573	2,555
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected			281
1710	Transferred to other accounts [018-0100]			-262
1710	Transferred to other accounts [018-0200]			-19
Spending authority from offsetting collections, mandatory:				
1800	Collected	72,305	72,039	71,189
1810	Spending authority from offsetting collections transferred to other accounts [018-0100]	-250	-250	
1810	Spending authority from offsetting collections transferred to other accounts [018-0200]	-15	-17	
1850	Spending auth from offsetting collections, mand (total)	72,040	71,772	71,189
1900	Budget authority (total)	72,040	71,772	71,189
1930	Total budgetary resources available	79,088	78,345	73,744
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	6,573	2,555	8
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	349	1,871	6,094
3010	New obligations, unexpired accounts	72,515	75,790	73,736
3020	Outlays (gross)	-70,993	-71,567	-72,014
3050	Unpaid obligations, end of year	1,871	6,094	7,816
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	349	1,871	6,094
3200	Obligated balance, end of year	1,871	6,094	7,816
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	72,040	71,772	71,189
Outlays, gross:				
4100	Outlays from new mandatory authority	70,993	67,994	68,234

4101	Outlays from mandatory balances	3,573	3,780	
4110	Outlays, gross (total)	70,993	71,567	72,014
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-964	-973	-973
4121	Interest on Federal securities	-133	-133	-133
4123	Non-Federal sources	-71,265	-70,668	-70,083
4130	Offsets against gross budget authority and outlays (total)	-72,362	-71,774	-71,189
4160	Budget authority, net (mandatory)	-322	-2	
4170	Outlays, net (mandatory)	-1,369	-207	825
4180	Budget authority, net (total)	-322	-2	
4190	Outlays, net (total)	-1,369	-207	825

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	10,493	9,341	8,945
5001	Total investments, EOY: Federal securities: Par value	9,341	8,945	6,667

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	-322	-2	
Outlays	-1,369	-207	825
Legislative proposal, not subject to PAYGO:			
Outlays			-316
Total:			
Budget Authority	-322	-2	
Outlays	-1,369	-207	509

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. The Agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Since 1971, there have been several reforms. Notably, the Omnibus Budget Reconciliation Act of 1989 moved the Postal Service "off-budget" so that, beginning in 1990, the receipts and disbursements of the Fund are not considered as part of the congressional and executive budget process. More recently, the 2006 Postal Accountability and Enhancement Act (P.L. 109-435) made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for market-dominant products such as First-Class Mail and competitive products such as package delivery. The Act also amended the process for determining rate increases for market-dominant products, in part by imposing a limit on rate increases linked to the Consumer Price Index for All Urban Consumers (CPI-U). In 2017, the Postal Regulatory Commission announced proposed changes to the rate structure including increases above the cap of the CPI-U. The Commission requested public comment (81 FR 95071 and 82 FR 58280) and, after additional consideration, issued a revised notice of proposed rulemaking (84 FR 67685) in December 2019. The changes will not go into effect until after public comment and a final rule. Consequently, they are not included in the Budget's baseline for the Postal Service.

P.L. 109-435 also created the Postal Service Retiree Health Benefits Fund to place the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This Fund was to receive from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) a 10-year stream of payments defined within P.L. 109-435 to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits

POSTAL SERVICE FUND—Continued

of Postal Service employees; and 5) the surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System (CSRS) to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service. Since passage in 2006, the Postal Service had contributed more than \$50 billion to the Fund but has failed to make required payments each year since FY 2012, thus steadily increasing the size of the unfunded liability.

Beginning in 2017, P.L. 109–435 also required the Postal Service to begin a 27-year amortization to retire its unfunded liability under the CSRS. The Postal Service has failed to make required payments totaling \$21 billion since September 2017.

The activities of the Postal Service are financed from: 1) mail and services revenue; 2) reimbursements from Federal and non-Federal sources; 3) proceeds from borrowing; 4) interest from U.S. securities and other investments; and 5) appropriations by the Congress. All receipts and deposits are made to the Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

As amended by P.L. 109–435, the Postal Service has statutory borrowing authority capped at \$15 billion with the annual increase in outstanding debt limited to \$3 billion. As of September 30, 2019, the total debt instruments issued and outstanding pursuant to this authority amounted to \$11 billion.

The Budget estimates that the Postal Service will have an annual operating deficit of \$7 billion in 2020 and more than \$8 billion in each subsequent year through 2030. Given the Postal Service's history of using defaults to continue operations despite losses, the Budget reflects defaults on required pension and retiree health amortization and normal cost payments to prevent the Postal Service from running unsustainable deficits. See also the Budget Process section of *Analytical Perspectives*.

Object Classification (in millions of dollars)

Identification code 018–4020–0–3–372	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	27,757	28,145	28,290
11.3 Other than full-time permanent	4,897	4,988	5,010
11.5 Other personnel compensation	6,062	6,370	6,407
11.9 Total personnel compensation	38,716	39,503	39,707
12.1 Civilian personnel benefits	15,665	14,241	14,338
13.0 Benefits for former personnel	42	155	255
21.0 Travel and transportation of persons	193	176	176
22.0 Transportation of things	8,857	9,207	9,180
23.1 Rental payments to GSA	29	30	31
23.2 Rental payments to others	1,098	1,143	1,183
23.3 Communications, utilities, and miscellaneous charges	848	849	860
24.0 Printing and reproduction	58	59	61
25.2 Other services from non-Federal sources	2,797	2,640	2,646
26.0 Supplies and materials	1,814	1,306	1,308
31.0 Equipment	1,109	5,045	2,174
32.0 Land and structures	857	958	1,223
42.0 Insurance claims and indemnities	192	230	234
43.0 Interest and dividends	240	248	360
99.9 Total new obligations, unexpired accounts	72,515	75,790	73,736

Employment Summary

Identification code 018–4020–0–3–372	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	582,479	584,626	577,919

POSTAL SERVICE FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 018–4020–2–3–372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0802 Transportation		–143	–287

0806 Administration and area operations	1,083	1,188
0808 Servicewide expenses	–13	–37
0809 Reimbursable program activities, subtotal	927	864
0900 Total new obligations, unexpired accounts	927	864

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			1,219
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	2,146	4,398	
1900 Budget authority (total)	2,146	4,398	
1930 Total budgetary resources available	2,146	5,617	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,219	4,753	

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			–1,219
3010 New obligations, unexpired accounts	927	864	
3020 Outlays (gross)	–2,146	–4,082	
3050 Unpaid obligations, end of year	–1,219	–4,437	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			–1,219
3200 Obligated balance, end of year	–1,219	–4,437	

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	2,146	4,398	
Outlays, gross:			
4100 Outlays from new mandatory authority	2,146	4,082	
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–2,146	–4,398	
4180 Budget authority, net (total)			
4190 Outlays, net (total)			–316

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value			1,219
5001 Total investments, EOY: Federal securities: Par value	1,219	4,753	

The Budget proposes reforms based on the recommendations of the President's Task Force on the United States Postal System. The Task Force made recommendations for changes to governance, the Postal Service's universal service obligation, pricing, cost allocation, operating costs, labor model, retiree health benefits, and revenue sources. The Task Force also recommended provisions for strengthening regulatory oversight if the Postal Service is unable to meet its financial commitments. This proposal will restore solvency to the Postal Service and ensure that commitments to current and former employees are funded from business revenues rather than taxpayers.

The Budget proposes operational reforms to reduce costs and improve revenue, including: 1) changes to the rate cap to allow more flexible pricing for mail and packages that are deemed outside the universal service obligation or "nonessential"; 2) reducing operating costs through changes to delivery processing, mode, frequency, and increased use of private sector partners for processing and sortation, where appropriate; 3) more closely aligning Postal Service employee wages with those of other Federal employees; and 4) licensing access to the mailbox and providing additional Government services at retail locations.

The Budget also proposes Government-wide reforms to pensions and health insurance costs that are estimated to further reduce Postal Service operating costs. See the General Services Administration section of the *Appendix* for more information. In addition, the Budget proposes to re-amortize the payments the Postal Service has not made to the Office of Personnel Management for retiree health benefits.

In total, the Budget estimates that these reforms will reduce the unified budget deficit by \$94 billion over 11 years and result in on-budget savings of \$97 billion as the Postal Service resumes statutory payments to on-budget accounts.

Object Classification (in millions of dollars)

Identification code 018-4020-2-3-372	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
12.1 Civilian personnel benefits		1,083	1,188
22.0 Transportation of things		-143	-287
32.0 Land and structures		-13	-37
99.9 Total new obligations, unexpired accounts		927	864

OFFICE OF INSPECTOR GENERAL
SALARIES AND EXPENSES
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, **[\$250,000,000]** \$261,594,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109-435). (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 018-0100-0-1-372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Audit	79	75	78
0002 Investigations	171	175	184
0799 Total direct obligations	250	250	262
0801 Office of Inspector General (Reimbursable)	1	3	1
0900 Total new obligations, unexpired accounts	251	253	263

Budgetary resources:

Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	3	1
1711 Transferred from other accounts [018-4020]	250	250	262
1750 Spending auth from offsetting collections, disc (total)	251	253	263
1930 Total budgetary resources available	251	253	263

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	251	253	263
3020 Outlays (gross)	-251	-253	-263

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	251	253	263
Outlays, gross:			
4010 Outlays from new discretionary authority	251	253	263
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-3	-1
4180 Budget authority, net (total)	250	250	262
4190 Outlays, net (total)	250	250	262

The U.S. Postal Service Office of Inspector General (USPS OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of Postal Service programs and operations. The USPS OIG meets this responsibility by conducting audits, investigations, and other reviews. The USPS OIG focuses on the prevention, identification, and elimination of: 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in Postal Service programs and operations.

The Budget proposes \$261,594,000 for the 2021 USPS OIG's operations.

Pursuant to P.L. 109-435, the 2021 appropriation request of the USPS OIG is \$261,594,000.

Section 603(b)(1) of P.L. 109-435 (Postal Accountability and Enhancement Act) authorizes appropriations for the USPS OIG out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of USPS OIG spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 018-0100-0-1-372	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	145	145	151
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	149	149	155
12.1 Civilian personnel benefits	56	56	61
21.0 Travel and transportation of persons	6	6	6
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	7	7	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.1 Advisory and assistance services	12	15	16
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	6	8	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	10	4	4
99.0 Direct obligations	251	250	261
99.0 Reimbursable obligations	1	3	1
99.5 Adjustment for rounding	-1		1
99.9 Total new obligations, unexpired accounts	251	253	263

Employment Summary

Identification code 018-0100-0-1-372	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,020	985	985

POSTAL REGULATORY COMMISSION
SALARIES AND EXPENSES
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109-435), **[\$16,615,000]** \$19,200,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 018-0200-0-1-372	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Postal Service Accountability	9	9	10
0002 Public Access and Participation	3	3	5
0003 Integration and Support	3	4	3
0004 Office of Inspector General	1	1	1
0900 Total new obligations, unexpired accounts	16	17	19

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [018-4020]	15	17	19
1930 Total budgetary resources available	16	17	19

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	16	17	19
3020 Outlays (gross)	-16	-17	-19

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	15	17	19
Outlays, gross:			
4010 Outlays from new discretionary authority	15	17	19
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	16	17	19
4180 Budget authority, net (total)	15	17	19
4190 Outlays, net (total)	16	17	19

POSTAL REGULATORY COMMISSION—Continued

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, P.L. 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of Postal Service products and services, ensuring Postal Service transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to P.L. 109–435, the 2021 appropriation request of the Commission is \$19,200,000. Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 018–0200–0–1–372	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	11
12.1 Civilian personnel benefits	3	3	3
23.2 Rental payments to others	2	2	2
25.1 Advisory and assistance services	1	2	2
99.0 Direct obligations	15	17	18
99.5 Adjustment for rounding	1		1
99.9 Total new obligations, unexpired accounts	16	17	19

Employment Summary

Identification code 018–0200–0–1–372	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	74	71	80

PRESIDIO TRUST

Federal Funds

PRESIDIO TRUST

【The Presidio Trust is authorized to issue obligations to the Secretary of the Treasury pursuant to section 104(d)(3) of the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333), in an amount not to exceed \$10,000,000.】 (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 512–4331–0–3–303	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Presidio Trust (Reimbursable)	168	162	152
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	67	135	145
Budget authority:			
Borrowing authority, discretionary:			
1300 Borrowing authority		10	
Spending authority from offsetting collections, discretionary:			
1700 Collected	223	175	165
1701 Change in uncollected payments, Federal sources	16	–12	–12
1726 Spending authority from offsetting collections applied to repay debt	–3	–1	–1
1750 Spending auth from offsetting collections, disc (total)	236	162	152
1900 Budget authority (total)	236	172	152
1930 Total budgetary resources available	303	307	297

Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	135	145	145
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	59	74	46
3010 New obligations, unexpired accounts	168	162	152
3020 Outlays (gross)	–153	–190	–163
3050 Unpaid obligations, end of year	74	46	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–24	–40	–28
3070 Change in uncollected pymts, Fed sources, unexpired	–16	12	12
3090 Uncollected pymts, Fed sources, end of year	–40	–28	–16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	34	18
3200 Obligated balance, end of year	34	18	19

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	236	172	152
Outlays, gross:			
4010 Outlays from new discretionary authority	119	99	84
4011 Outlays from discretionary balances	34	91	79
4020 Outlays, gross (total)	153	190	163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–13	–2	–2
4031 Interest on Federal securities	–7	–2	–2
4033 Non-Federal sources	–203	–171	–161
4040 Offsets against gross budget authority and outlays (total)	–223	–175	–165
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–16	12	12
4070 Budget authority, net (discretionary)	–3	9	–1
4080 Outlays, net (discretionary)	–70	15	–2
4180 Budget authority, net (total)	–3	9	–1
4190 Outlays, net (total)	–70	15	–2

Memorandum (non-add) entries:			
5000 Total investments, SOF: Federal securities: Par value	96	161	100
5001 Total investments, EOY: Federal securities: Par value	161	100	80

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that funds current operations without annual appropriations. Funds to operate the park and its public programs come from lease revenues and other non-federally appropriated funding sources.

Object Classification (in millions of dollars)

Identification code 512–4331–0–3–303	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	34	35	38
12.1 Civilian personnel benefits	18	18	19
23.3 Communications, utilities, and miscellaneous charges	8	9	9
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	74	64	50
26.0 Supplies and materials	5	5	5
31.0 Equipment	11	12	12
32.0 Land and structures	17	18	18
99.9 Total new obligations, unexpired accounts	168	162	152

Employment Summary

Identification code 512–4331–0–3–303	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	354	354	354

PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 512-4332-0-3-303	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	200	200	200
2143 Uncommitted limitation carried forward	-200	-200	-200
2150 Total guaranteed loan commitments			

PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Terrorism Prevention Act of 2004 (42 U.S.C. 20006e), **[\$8,200,000.] \$8,500,000**, to remain available until September 30, **[2021] 2022**. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	8	11	11
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	8	9
1930 Total budgetary resources available	13	13	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	2	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10	4	
3010 New obligations, unexpired accounts	8	11	11
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-9	-15	-9
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	4		2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10	4	
3200 Obligated balance, end of year	4		2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	8	9
Outlays, gross:			
4010 Outlays from new discretionary authority		6	7
4011 Outlays from discretionary balances	9	9	2
4020 Outlays, gross (total)	9	15	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2		
4070 Budget authority, net (discretionary)	5	8	9
4080 Outlays, net (discretionary)	7	15	9
4180 Budget authority, net (total)	5	8	9
4190 Outlays, net (total)	7	15	9

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms.

The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report semi-annually on its operations to the U.S. Congress, as well as inform the public of its activities, as appropriate.

Object Classification (in millions of dollars)

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2	5	5
11.3 Other than full-time permanent	1		
11.9 Total personnel compensation	3	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA		1	1
23.3 Communications, utilities, and miscellaneous charges		2	2
25.1 Advisory and assistance services	3		
25.3 Other goods and services from Federal sources		1	1
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	8	11	11

Employment Summary

Identification code 535-2724-0-1-054	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	20	31	35

PUBLIC BUILDINGS REFORM BOARD

Federal Funds

PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES

For salaries and expenses of the Public Buildings Reform Board in carrying out the Federal Assets Sale and Transfer Act of 2016 (Public Law 114-287), **\$3,500,000**, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 290-2860-0-1-804	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		3	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	5	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			4
1930 Total budgetary resources available	5	5	6
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts		3	4
3020 Outlays (gross)		-3	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			4
Outlays, gross:			
4010 Outlays from new discretionary authority			4
4011 Outlays from discretionary balances		3	
4020 Outlays, gross (total)		3	4
4180 Budget authority, net (total)			4
4190 Outlays, net (total)		3	4

The Federal Assets Sale and Transfer Act of 2016 (Public Law 114-287), enacted in December 2016, authorizes the Public Buildings Reform Board.

PUBLIC BUILDINGS REFORM BOARD SALARIES AND EXPENSES—Continued

The role of the Board is to identify opportunities for the Government to significantly reduce its inventory of civilian real property and reduce cost to the Government, subject to approval by the Office of Management and Budget. By law, the Board sunsets in 2025 .

Object Classification (in millions of dollars)

Identification code 290–2860–0–1–804	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.1 Advisory and assistance services		2	3
99.9 Total new obligations, unexpired accounts		3	4

Employment Summary

Identification code 290–2860–0–1–804	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment		6	6

PUBLIC DEFENDER SERVICE FOR THE DISTRICT OF COLUMBIA

Federal Funds

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, [\$44,011,000, of which \$344,000 shall remain available until September 30, 2022 for costs associated with relocation under a replacement lease for headquarters offices, field offices, and related facilities] \$44,194,000: *Provided*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies: *Provided further*, That the District of Columbia Public Defender Service may establish for employees of the District of Columbia Public Defender Service a program substantially similar to the program set forth in subchapter II of chapter 35 of title 5, United States Code, except that the maximum amount of the payment made under the program to any individual may not exceed the amount referred to in section 3523(b)(3)(B) of title 5, United States Code: *Provided further*, That for the purposes of engaging with, and receiving services from, Federal Franchise Fund Programs established in accordance with Section 403 of the Government Management Reform Act of 1994, as amended, the District of Columbia Public Defender Service shall be considered an agency of the United States Government. (District of Columbia Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public Defender Service	41	44	44
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	46	44	44
1930 Total budgetary resources available	46	49	49
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	5	5
3010 New obligations, unexpired accounts	41	44	44
3011 Obligations ("upward adjustments"), expired accounts	1	1	1
3020 Outlays (gross)	-42	-44	-44
3041 Recoveries of prior year unpaid obligations, expired	-1	-1	-1
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	5	5

3200 Obligated balance, end of year	5	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	46	44	44
Outlays, gross:			
4010 Outlays from new discretionary authority	38	40	40
4011 Outlays from discretionary balances	4	4	4
4020 Outlays, gross (total)	42	44	44
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1		
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	46	44	44
4080 Outlays, net (discretionary)	41	44	44
4180 Budget authority, net (total)	46	44	44
4190 Outlays, net (total)	41	44	44

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (P.L. 91–358; see also D.C. Code Sec. 2–1601, et seq.) to fulfill the constitutional mandate (under *Gideon v. Wainwright*) to provide criminal defense counsel for individuals who cannot afford to hire a lawyer. PDS's mission is to provide and promote quality legal representation for indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice. PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system and individuals facing parole revocation for D.C. Code offenses.

Object Classification (in millions of dollars)

Identification code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	23	24	25
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	24	25	26
12.1 Civilian personnel benefits	8	8	8
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment		1	
99.0 Direct obligations	41	44	44
99.9 Total new obligations, unexpired accounts	41	44	44

Employment Summary

Identification code 511–1733–0–1–754	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	210	205	213

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

Federal Funds

PAYMENT TO PUERTO RICO OVERSIGHT BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 328–5619–0–2–806	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1110 Payment from Puerto Rico, Puerto Rico Oversight Board	65	58	58
2000 Total: Balances and receipts	65	58	58

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS

Program and Financing (in millions of dollars)

Identification code 060-0117-0-1-603	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	133	133	133
1930 Total budgetary resources available	133	133	133
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	133	133	133
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111-92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111-312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112-78), the Middle Class Tax Relief and Job Creation Act of 2012 (P.L. 112-96).

1235 Appropriations precluded from obligation (special or trust)	-127		
1260 Appropriations, mandatory (total)	92	133	123
Spending authority from offsetting collections, discretionary:			
1700 Collected	1		
Spending authority from offsetting collections, mandatory:			
1800 Collected	15	14	15
1900 Budget authority (total)	125	164	155
1930 Total budgetary resources available	127	164	155

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	10	1
3010 New obligations, unexpired accounts	127	164	155
3020 Outlays (gross)	-123	-173	-155
3050 Unpaid obligations, end of year	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	10	1
3200 Obligated balance, end of year	10	1	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	18	17	17
Outlays, gross:			
4010 Outlays from new discretionary authority	16	17	17
4011 Outlays from discretionary balances	2		
4020 Outlays, gross (total)	18	17	17
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1		
Mandatory:			
4090 Budget authority, gross	107	147	138
Outlays, gross:			
4100 Outlays from new mandatory authority	89	147	138
4101 Outlays from mandatory balances	16	9	
4110 Outlays, gross (total)	105	156	138
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-17	-14	-15
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	2		
4160 Budget authority, net (mandatory)	92	133	123
4170 Outlays, net (mandatory)	88	142	123
4180 Budget authority, net (total)	109	150	140
4190 Outlays, net (total)	105	159	140

Memorandum (non-add) entries:

5090 Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092 Unexpired unavailable balance, EOY: Offsetting collections	1	1	1

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 060-0114-0-1-603	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	9	9
1930 Total budgetary resources available	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

Trust Funds

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 060-8051-0-7-603	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Railroad Unemployment Insurance Trust Fund (Direct)	112	150	140
0801 Railroad Unemployment Insurance Trust Fund (Reimbursable)	15	14	15
0900 Total new obligations, unexpired accounts	127	164	155
Budgetary resources:			
Unobligated balance:			
1033 Recoveries of prior year paid obligations	2		
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	17	17	17
1103 Appropriation (previously unavailable)(special or trust)	8		
1135 Appropriations precluded from obligation (special or trust)	-8		
1160 Appropriation, discretionary (total)	17	17	17
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	118	56	65
1203 Appropriation (unavailable balances)	101	77	58

Object Classification (in millions of dollars)

Identification code 060-8051-0-7-603	2019 actual	2020 est.	2021 est.
42.0 Direct obligations: Benefit payments	112	150	140
99.0 Direct obligations	112	150	140
99.0 Reimbursable obligations	15	14	15
99.9 Total new obligations, unexpired accounts	127	164	155

RAIL INDUSTRY PENSION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	234	302	450
Receipts:			
Current law:			
1110 Refunds, Rail Industry Pension Fund	-48	-139	-3
1110 Taxes, Rail Industry Pension Fund	3,307	3,361	3,383
1140 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	15	13	15

1140	Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,794	1,890	1,589
1140	Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	471	403	413
1199	Total current law receipts	5,539	5,528	5,397
1999	Total receipts	5,539	5,528	5,397
2000	Total: Balances and receipts	5,773	5,830	5,847
Appropriations:				
Current law:				
2101	Rail Industry Pension Fund	-83	-84	-83
2101	Rail Industry Pension Fund	-5,457	-5,550	-5,398
2103	Rail Industry Pension Fund	-656	-656	-989
2135	Rail Industry Pension Fund	725	910	711
2199	Total current law appropriations	-5,471	-5,380	-5,759
2999	Total appropriations	-5,471	-5,380	-5,759
5099	Balance, end of year	302	450	88

Program and Financing (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund (Direct)	5,666	5,692	5,720
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1			63
1033 Recoveries of prior year paid obligations	4		
1050 Unobligated balance (total)	4		63
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	83	84	83
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5,457	5,550	5,398
1203 Appropriation (unavailable balances)	656	656	989
1220 Appropriations transferred to other acct [060-8010]			-22
1221 Appropriations transferred from other acct [060-8010]	191	375	
1235 Appropriations precluded from obligation (special or trust)	-725	-910	-711
1260 Appropriations, mandatory (total)	5,579	5,671	5,654
1900 Budget authority (total)	5,662	5,755	5,737
1930 Total budgetary resources available	5,666	5,755	5,800
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year		63	80
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	422	450	23
3010 New obligations, unexpired accounts	5,666	5,692	5,720
3020 Outlays (gross)	-5,638	-6,119	-5,720
3050 Unpaid obligations, end of year	450	23	23
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	422	450	23
3200 Obligated balance, end of year	450	23	23
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	83	84	83
Outlays, gross:			
4010 Outlays from new discretionary authority	83	84	83
Mandatory:			
4090 Budget authority, gross	5,579	5,671	5,654
Outlays, gross:			
4100 Outlays from new mandatory authority	5,367	5,608	5,637
4101 Outlays from mandatory balances	188	427	
4110 Outlays, gross (total)	5,555	6,035	5,637
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-4		
Additional offsets against gross budget authority only:			
4143 Recoveries of prior year paid obligations, unexpired accounts	4		
4160 Budget authority, net (mandatory)	5,579	5,671	5,654
4170 Outlays, net (mandatory)	5,551	6,035	5,637
4180 Budget authority, net (total)	5,662	5,755	5,737
4190 Outlays, net (total)	5,634	6,119	5,720

Memorandum (non-add) entries:

5000	Total investments, SOY: Federal securities: Par value	612	698	964
5001	Total investments, EOY: Federal securities: Par value	698	964	602

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. Approximately 8,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	705	813	566
0999 Total balance, start of year	705	813	566
Cash income during the year:			
Current law:			
Receipts:			
1110 Refunds, Rail Industry Pension Fund	-48	-139	-3
1110 Taxes, Rail Industry Pension Fund	3,307	3,361	3,383
1130 Rail Industry Pension Fund	4		
1130 Limitation on the Office of Inspector General	1		
1130 Limitation on Administration	1		
1150 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	15	13	15
1160 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	1,794	1,890	1,589
1160 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	471	403	413
1160 Limitation on the Office of Inspector General	12	12	12
1160 Limitation on Administration	156	147	150
1199 Income under present law	5,713	5,687	5,559
1999 Total cash income	5,713	5,687	5,559
Cash outgo during year:			
Current law:			
2100 Rail Industry Pension Fund (Budget Acct)	-5,638	-6,119	-5,720
2100 Limitation on the Office of Inspector General [Budget Acct]	-11	-13	-12
2100 Limitation on Administration [Budget Acct]	-147	-177	-150
2199 Outgo under current law	-5,796	-6,309	-5,882
2999 Total cash outgo (-)	-5,796	-6,309	-5,882
Surplus or deficit:			
3110 Excluding interest	-98	-635	-338
3120 Interest	15	13	15
3199 Subtotal, surplus or deficit	-83	-622	-323
3230 Rail Industry Pension Fund			-22
3230 Rail Industry Pension Fund	191	375	
3230 Limitation on Administration	2		
3298 Adjustment to reconcile to proprietary accounting	-2		
3299 Total adjustments	191	375	-22
3999 Total change in fund balance	108	-247	-345
Unexpended balance, end of year:			
4100 Uninvested balance (net), end of year	115	-398	-381
4200 Rail Industry Pension Fund	698	964	602
4999 Total balance, end of year	813	566	221

Object Classification (in millions of dollars)

Identification code 060-8011-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
42.0 Benefit payments	5,582	5,608	5,637
94.0 Financial transfers	84	84	83
99.9 Total new obligations, unexpired accounts	5,666	5,692	5,720

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$123,500,000]** \$120,225,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund: *Provided*, That notwithstanding section 7(b)(9) of the Railroad Retirement Act this limitation may be used to

LIMITATION ON ADMINISTRATION—Continued

hire attorneys only through the excepted service: *Provided further*, That the previous proviso shall not change the status under Federal employment laws of any attorney hired by the Railroad Retirement Board prior to January 1, 2013: *Provided further*, That notwithstanding section 7(b)(9) of the Railroad Retirement Act, this limitation may be used to hire students attending qualifying educational institutions or individuals who have recently completed qualifying educational programs using current excepted hiring authorities established by the Office of Personnel Management: *Provided further*, That **[\$10,000,000]** \$5,725,000, to remain available until expended, shall be used to supplement, not supplant, existing resources devoted to operations and improvements for the Board's Information Technology Investment Initiatives. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund	77	81	79
0002 Railroad Social Security Equivalent Benefit	31	26	26
0003 Railroad Unemployment Insurance Trust Fund	16	16	15
0100 Subtotal, direct program	124	123	120
0799 Total direct obligations	124	123	120
0801 Medicare and other reimbursements	30	31	31
0900 Total new obligations, unexpired accounts	154	154	151
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	16	9
1012 Unobligated balance transfers between expired and unexpired accounts	2		
1050 Unobligated balance (total)	17	16	9
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	154	147	150
1900 Budget authority (total)	154	147	150
1930 Total budgetary resources available	171	163	159
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	16	9	8
Special and non-revolving trust funds:			
1951 Unobligated balance expiring	1		
1952 Expired unobligated balance, start of year	4	7	7
1953 Expired unobligated balance, end of year	6	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	29	33	10
3010 New obligations, unexpired accounts	154	154	151
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-147	-177	-150
3041 Recoveries of prior year unpaid obligations, expired	-7		
3050 Unpaid obligations, end of year	33	10	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	29	33	10
3200 Obligated balance, end of year	33	10	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	154	147	150
Outlays, gross:			
4010 Outlays from new discretionary authority	126	147	150
4011 Outlays from discretionary balances	21	30	
4020 Outlays, gross (total)	147	177	150
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-156	-147	-150
4033 Non-Federal sources	-1		
4040 Offsets against gross budget authority and outlays (total)	-157	-147	-150
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	3		
4080 Outlays, net (discretionary)	-10	30	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-10	30	

The table below shows anticipated workloads.

	2018 actual	2019 actual	2020 est.	2021 est.
Pending, start of year	10,014	11,159	11,656	13,971
New Railroad Retirement applications	38,489	29,156	37,000	36,000
New Social Security certifications	3,393	3,617	4,000	4,000
Total dispositions (excluding partial awards)	40,737	32,276	38,685	39,651
Pending, end of year	11,159	11,656	13,971	14,319

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2018 act.	2019 act.	2020 est.
Total beneficiaries	1,009,500	894,196	549,154	513,732	508,774	503,700

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes three (3) legislative proposals: the first legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management; the second legislative proposal is to amend the Railroad Retirement Act to allow the Railroad Retirement Board to utilize student and recent graduate hiring authority available to other Federal agencies; lastly the third legislative proposal is to amend the Railroad Retirement Act and the Railroad Unemployment Insurance Act to include a felony charge for individuals committing fraud against the Agency. Under this proposal, both the Railroad Retirement Act and the Railroad Unemployment Insurance Act would be amended to include a felony charge similar to violations under 42 U.S.C. 408, 18 U.S.C. 1001, or 18 U.S.C. 287.

Object Classification (in millions of dollars)

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	62	57	55
11.3 Other than full-time permanent	1	2	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	65	61	58
12.1 Civilian personnel benefits	21	20	18
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	6	6	7
25.2 Other services from non-Federal sources	25	25	27
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	5	4
99.0 Direct obligations	124	123	120
99.0 Reimbursable obligations	30	31	31
99.9 Total new obligations, unexpired accounts	154	154	151

Employment Summary

Identification code 060-8237-0-7-601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	695	626	572
2001 Reimbursable civilian full-time equivalent employment	104	100	98

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	26,453	25,240	23,843
Receipts:			
Current law:			
1130 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	156	5	15
1130 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	454	458	456

1140	Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	30	30	30
1199	Total current law receipts	640	493	501
1999	Total receipts	640	493	501
2000	Total: Balances and receipts	27,093	25,733	24,344
Appropriations:				
Current law:				
2101	National Railroad Retirement Investment Trust	-1,853	-1,890	-1,589
5099	Balance, end of year	25,240	23,843	22,755

Program and Financing (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	RRRIT expenses	1,853	1,890	1,589
0900	Total new obligations, unexpired accounts (object class 94.0)	1,853	1,890	1,589
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	1,853	1,890	1,589
1930	Total budgetary resources available	1,853	1,890	1,589
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1,853	1,890	1,589
3020	Outlays (gross)	-1,853	-1,890	-1,589
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1,853	1,890	1,589
Outlays, gross:				
4100	Outlays from new mandatory authority	1,853	1,890	1,589
4180	Budget authority, net (total)	1,853	1,890	1,589
4190	Outlays, net (total)	1,853	1,890	1,589
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	884	831	832
5001	Total investments, EOY: Federal securities: Par value	831	832	792
5010	Total investments, SOY: non-Fed securities: Market value	25,589	24,422	23,071
5011	Total investments, EOY: non-Fed securities: Market value	24,422	23,071	21,949

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

Status of Funds (in millions of dollars)

Identification code 060-8118-0-7-601	2019 actual	2020 est.	2021 est.	
Unexpended balance, start of year:				
0100	Balance, start of year	26,453	25,250	23,853
0298	Adjustment for Non-Federal securities, market value (one month lag)(means of financing)	-107
0298	Adjustment for Beginning cash transfer	117
0999	Total balance, start of year	26,463	25,250	23,853
Cash income during the year:				
Current law:				
Receipts:				
1150	Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	156	5	15
1150	Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	30	30	30
1150	Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	454	458	456
1199	Income under present law	640	493	501
1999	Total cash income	640	493	501
Cash outgo during year:				
Current law:				
2100	National Railroad Retirement Investment Trust [Budget Acct]	-1,853	-1,890	-1,589
2199	Outgo under current law	-1,853	-1,890	-1,589
2999	Total cash outgo (-)	-1,853	-1,890	-1,589
Surplus or deficit:				
3110	Excluding interest	-1,853	-1,890	-1,589
3120	Interest	640	493	501

3199	Subtotal, surplus or deficit	-1,213	-1,397	-1,088
3999	Total change in fund balance	-1,213	-1,397	-1,088
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	24,419	23,021	21,973
4200	National Railroad Retirement Investment Trust	831	832	792
4999	Total balance, end of year	25,250	23,853	22,765

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than **[\$11,000,000]** \$11,499,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Rail Industry Pension Fund	7	7	7
0002	Railroad Social Security Equivalent Benefit	3	3	3
0003	Railroad Unemployment Insurance Trust	1	1	1
0100	Subtotal, direct program	11	11	11
0799	Total direct obligations	11	11	11
0801	Medicare and other reimbursements	1	1	1
0900	Total new obligations, unexpired accounts	12	12	12
Budgetary resources:				
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	12	12	12
1930	Total budgetary resources available	12	12	12
Memorandum (non-add) entries:				
Special and non-revolving trust funds:				
1952	Expired unobligated balance, start of year	2	3	3
1953	Expired unobligated balance, end of year	3	3	3
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	3	2
3010	New obligations, unexpired accounts	12	12	12
3011	Obligations ("upward adjustments"), expired accounts	1
3020	Outlays (gross)	-11	-13	-12
3050	Unpaid obligations, end of year	3	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	3	2
3200	Obligated balance, end of year	3	2	2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	12	12	12
Outlays, gross:				
4010	Outlays from new discretionary authority	9	12	12
4011	Outlays from discretionary balances	2	1
4020	Outlays, gross (total)	11	13	12
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-12	-12	-12
4033	Non-Federal sources	-1
4040	Offsets against gross budget authority and outlays (total) ...	-13	-12	-12
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1
4080	Outlays, net (discretionary)	-2	1
4180	Budget authority, net (total)
4190	Outlays, net (total)	-2	1

Object Classification (in millions of dollars)

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	6	6	6
12.1	Civilian personnel benefits	2	2	2
99.0	Direct obligations	8	8	8
99.0	Reimbursable obligations	1	1	1

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL—Continued

Object Classification—Continued

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
99.5 Adjustment for rounding	3	3	3
99.9 Total new obligations, unexpired accounts	12	12	12

Employment Summary

Identification code 060-8018-0-7-601	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	46	46	46
2001 Reimbursable civilian full-time equivalent employment	8	8	8

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	624	548	382
0198 FY18 & Prior HI trust fund interest rate adjustment	63		
0199 Balance, start of year	687	548	382
Receipts:			
Current law:			
1110 Refunds, Railroad Social Security Equivalent Benefit Account	-43	-143	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes	2,912	3,076	3,147
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	-610	-586	-619
1140 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	27	24	19
1140 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	260	283	306
1140 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	-24	-23	-22
1140 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,880	5,164	4,875
1140 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	66	118	76
1140 Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account	5	5	5
1199 Total current law receipts	7,473	7,918	7,784
1999 Total receipts	7,473	7,918	7,784
2000 Total: Balances and receipts	8,160	8,466	8,166
Appropriations:			
Current law:			
2101 Railroad Social Security Equivalent Benefit Account	-33	-32	-31
2101 Railroad Social Security Equivalent Benefit Account	-7,505	-7,918	-7,785
2103 Railroad Social Security Equivalent Benefit Account	-1,122	-1,129	-962
2135 Railroad Social Security Equivalent Benefit Account	1,047	995	998
2199 Total current law appropriations	-7,613	-8,084	-7,780
2999 Total appropriations	-7,613	-8,084	-7,780
5098 Rounding adjustment	1		
5099 Balance, end of year	548	382	386

Program and Financing (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Railroad Social Security Equivalent Benefit Account (Direct)	7,571	7,699	7,877
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	33	32	31
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7,505	7,918	7,785
1203 Appropriation (previously unavailable)(special or trust)	1,122	1,129	962
1220 Appropriations transferred to other acts [060-8011]	-191	-375	
1221 Appropriations transferred from other act [060-8011]			22
1235 Appropriations precluded from obligation (special or trust)	-1,047	-995	-998

1236 Appropriations applied to repay debt	-4,092	-4,337	-4,310
1260 Appropriations, mandatory (total)	3,297	3,340	3,461
Borrowing authority, mandatory:			
1400 Borrowing authority	4,241	4,327	4,385
1900 Budget authority (total)	7,571	7,699	7,877
1930 Total budgetary resources available	7,571	7,699	7,877

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	587	609	41
3010 New obligations, unexpired accounts	7,571	7,699	7,877
3020 Outlays (gross)	-7,549	-8,267	-7,877
3050 Unpaid obligations, end of year	609	41	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	587	609	41
3200 Obligated balance, end of year	609	41	41

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	33	32	31
Outlays, gross:			
4010 Outlays from new discretionary authority	33	32	31
Mandatory:			
4090 Budget authority, gross	7,538	7,667	7,846
Outlays, gross:			
4100 Outlays from new mandatory authority	7,516	7,656	7,846
4101 Outlays from mandatory balances		579	
4110 Outlays, gross (total)	7,516	8,235	7,846
4180 Budget authority, net (total)	7,571	7,699	7,877
4190 Outlays, net (total)	7,549	8,267	7,877

Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	1,057	1,092	962
5001 Total investments, EOY: Federal securities: Par value	1,092	962	980
5080 Outstanding debt, SOY	-3,725	-3,934	-3,924
5081 Outstanding debt, EOY	-3,934	-3,924	-3,999
5082 Borrowing	-4,301	-4,327	-4,385

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2019, \$4.301 million was advanced and \$4.093 million was repaid.

Status of Funds (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	-2,603	-2,805	-3,529
0999 Total balance, start of year	-2,603	-2,805	-3,529
Cash income during the year:			
Current law:			
Receipts:			
1110 Refunds, Railroad Social Security Equivalent Benefit Account	-43	-143	-3
1110 Railroad Social Security Equivalent Benefit Account, Taxes	2,912	3,076	3,147
1110 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	-610	-586	-619
1150 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	27	24	19
1150 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	-24	-23	-22
1160 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	260	283	306

1160	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,880	5,164	4,875
1160	Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	66	118	76
1160	Advances from the General Fund for Financial Interchange Interest, Social Security Equivalent Benefit Account	5	5	5
1199	Income under present law	7,473	7,918	7,784
1999	Total cash income	7,473	7,918	7,784
Cash outgo during year:				
Current law:				
2100	Railroad Social Security Equivalent Benefit Account [Budget Acct]	-7,549	-8,267	-7,877
2199	Outgo under current law	-7,549	-8,267	-7,877
2999	Total cash outgo (-)	-7,549	-8,267	-7,877
Surplus or deficit:				
3110	Excluding interest	-79	-350	-90
3120	Interest	3	1	-3
3199	Subtotal, surplus or deficit	-76	-349	-93
3230	Railroad Social Security Equivalent Benefit Account	-191	-375	22
3230	Railroad Social Security Equivalent Benefit Account			22
3298	Rounding adjustment	2		
3298	FY18 & Prior HI trust fund interest rate adjustment	63		
3299	Total adjustments	-126	-375	22
3999	Total change in fund balance	-202	-724	-71
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	-3,897	-4,491	-4,580
4200	Railroad Social Security Equivalent Benefit Account	1,092	962	980
4999	Total balance, end of year	-2,805	-3,529	-3,600

Object Classification (in millions of dollars)

Identification code 060-8010-0-7-601	2019 actual	2020 est.	2021 est.	
Direct obligations:				
42.0	Benefit payments	7,167	7,294	7,846
94.0	Financial transfers	373	375	
94.0	Financial transfers	31	30	31
99.9	Total new obligations, unexpired accounts	7,571	7,699	7,877

SECURITIES AND EXCHANGE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, **[\$1,815,000,000] \$1,894,835,000**, to remain available until expended; of which not less than **[\$15,662,000] \$16,312,272** shall be for the Office of Inspector General; of which not to exceed \$75,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence.

In addition to the foregoing appropriation, for move, replication, and related costs associated with *relocation under a replacement lease* for the Commission's **[New York Regional Office facilities] Washington, DC headquarters**, not to exceed **[\$10,525,000] \$18,650,000**; and for *move, replication, and related costs associated with relocation under a replacement lease for the Commission's San Francisco Regional Office facilities*, not to exceed **\$12,677,000**, to remain available until expended.

For purposes of calculating the fee rate under section 31(j) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(j)) for fiscal year **[2020] 2021**, all amounts appropriated under this heading shall be deemed to be the regular appropriation to the Commission for fiscal year **[2020] 2021**: *Provided*, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: *Provided further*, That not

to exceed **[\$1,815,000,000] \$1,894,835,000** of such offsetting collections shall be available until expended for necessary expenses of this account and not to exceed **[\$10,525,000] \$18,650,000** of such offsetting collections shall be available until expended for move, replication, and related costs under this heading associated with *relocation under a replacement lease* for the Commission's **[New York Regional Office facilities] Washington, DC headquarters**; and not to exceed **\$12,677,000** of such offsetting collections shall be available until expended for move, replication, and related costs associated with *relocation under a replacement lease for the Commission's San Francisco Regional Office facilities*: *Provided further*, That the total amount appropriated under this heading from the general fund for fiscal year **[2020] 2021** shall be reduced as such offsetting fees are received so as to result in a final total fiscal year **[2020] 2021** appropriation from the general fund estimated at not more than \$0: *Provided further*, That if any amount of the appropriation for move, replication, and related costs associated with *relocation under a replacement lease* for the Commission's **[New York Regional Office facilities] Washington, DC headquarters** or any amount of the appropriation for costs associated with *relocation under a replacement lease for the Commission's San Francisco Regional Office* is subsequently de-obligated by the Commission, such amount that was derived from the general fund shall be returned to the general fund, and such amounts that were derived from fees or assessments collected for such purpose shall be paid to each national securities exchange and national securities association, respectively, in proportion to any fees or assessments paid by such national securities exchange or national securities association under section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) in fiscal year **[2020] 2021**. (*Financial Services and General Government Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Enforcement	533	583	619
0002	Compliance Inspections and Examinations	385	421	447
0003	Corporation Finance	144	158	167
0004	Trading and Markets	84	92	98
0005	Investment Management	62	68	72
0006	Economic and Risk Analysis	72	79	84
0007	General Counsel	48	53	56
0008	Other Program Offices	76	83	88
0009	Agency Direction and Administrative Support	240	262	278
0010	Inspector General	16	18	19
0011	Relocation Costs		292	31
0900	Total new obligations, unexpired accounts	1,660	2,109	1,959

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	257	296	10
1021	Recoveries of prior year unpaid obligations	26	21	25
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	284	317	35
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	202		
1100	Appropriation [Relocation Costs]	37		
1160	Appropriation, discretionary (total)	239		
Spending authority from offsetting collections, discretionary:				
1700	Collected	1,473	1,816	1,895
1700	Collected [Relocation Costs]		11	31
1750	Spending auth from offsetting collections, disc (total)	1,473	1,827	1,926
1900	Budget authority (total)	1,712	1,827	1,926
1901	Adjustment for new budget authority used to liquidate deficiencies	-40	-25	-2
1930	Total budgetary resources available	1,956	2,119	1,959
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	296	10	

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	580	560	713
3010	New obligations, unexpired accounts	1,660	2,109	1,959
3020	Outlays (gross)	-1,654	-1,935	-2,039
3040	Recoveries of prior year unpaid obligations, unexpired	-26	-21	-25
3050	Unpaid obligations, end of year	560	713	608
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	580	560	713
3200	Obligated balance, end of year	560	713	608

SALARIES AND EXPENSES—Continued
Program and Financing—Continued

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,712	1,827	1,926
Outlays, gross:			
4010 Outlays from new discretionary authority	1,264	1,545	1,613
4011 Outlays from discretionary balances	390	390	426
4020 Outlays, gross (total)	1,654	1,935	2,039
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources		-1	
4034 Offsetting governmental collections	-1,474	-1,815	-1,895
4034 Offsetting governmental collections (Relocation Costs)		-11	-31
4040 Offsets against gross budget authority and outlays (total)	-1,474	-1,827	-1,926
Additional offsets against gross budget authority only:			
4053 Recoveries of prior year paid obligations, unexpired accounts	1		
4060 Additional offsets against budget authority only (total)	1		
4070 Budget authority, net (discretionary)	239		
4080 Outlays, net (discretionary)	180	108	113
4180 Budget authority, net (total)	239		
4190 Outlays, net (total)	180	108	113
Memorandum (non-add) entries:			
5090 Unexpired unavailable balance, SOY: Offsetting collections	6,549	6,549	6,549
5092 Unexpired unavailable balance, EOY: Offsetting collections	6,549	6,549	6,549
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year	-68	-28	-3
Change in deficiency during the year:			
7012 Budgetary resources used to liquidate deficiencies	40	25	2
7020 Unfunded deficiency, end of year	-28	-3	-1

The primary mission of the Securities and Exchange Commission (SEC) is to: protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The SEC's six major programs include the following:

Enforcement.—The Division of Enforcement investigates and prosecutes civil violations of the Federal securities laws and works closely with the Department of Justice and other law enforcement partners to coordinate and assist in criminal prosecutions.

Compliance Inspections and Examinations.—The Office of Compliance Inspections and Examinations conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC.

Corporation Finance.—The Division of Corporation Finance selectively reviews company disclosures to ensure that investors have the information necessary to make informed investment decisions and to help deter fraud and misrepresentation in securities transactions.

Trading and Markets.—The Division of Trading and Markets' (TM) mission is to establish and maintain standards for fair, orderly, and efficient markets while fostering investor protection and confidence in the markets. TM oversees the activities of industry self-regulatory organizations, such as the Financial Industry Regulatory Authority, and directly regulates market participants where Commission rulemaking is more effective than self-regulation.

Investment Management.—The Division of Investment Management works to protect investors, promote informed investment decision making, and facilitate appropriate innovation in investment products and services through regulation of the asset management industry.

Economic and Risk Analysis.—The Division of Economic and Risk Analysis integrates financial economics and rigorous data analytics into the core mission of the SEC.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy, the Office of the Chief Accountant, and the Office of International Affairs.

The SEC is funded through offsetting fees and assessments collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) at a rate intended to fully offset our appropriation. The Budget proposes \$1.895 billion in collections to fund SEC operations in 2020.

In addition to \$1.895 billion in support of operations, the Budget proposes an amount for move, replication, and related costs associated with a replacement lease for the Commission's Washington, DC, headquarters, facilities. At this time, this amount is estimated at \$18.7 million. The budget also proposes an amount for move, replication, and related costs associated with relocation under a replacement lease for the Commission's San Francisco Reginoal Office facilities. At this time, this amount is estimated at \$12.7 million. These samounts would not be used for the operations of the SEC, and the proposed appropriations language provides a mechanism whereby any unused portion of these funds could be refunded to fee payers (or returned to the general fund of the Treasury) as rapidly as practicable.

Object Classification (in millions of dollars)

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	826	938	995
11.3 Other than full-time permanent	31		
11.5 Other personnel compensation	4	4	5
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	864	945	1,003
12.1 Civilian personnel benefits	298	326	346
21.0 Travel and transportation of persons	10	11	12
23.1 Rental payments to GSA	30	325	66
23.2 Rental payments to others	21	23	24
23.3 Communications, utilities, and miscellaneous charges	16	18	19
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	54	59	63
25.2 Other services from non-Federal sources	54	59	63
25.3 Other goods and services from Federal sources	49	54	57
25.4 Operation and maintenance of facilities	11	12	13
25.7 Operation and maintenance of equipment	228	250	265
26.0 Supplies and materials	1	1	1
31.0 Equipment	21	23	24
99.9 Total new obligations, unexpired accounts	1,660	2,109	1,959

Employment Summary

Identification code 050-0100-0-1-376	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	4,350	4,457	4,585

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	6	6	6
Receipts:			
Current law:			
1110 Registration Fees, Securities and Exchange Commission Reserve Fund	50	50	50
2000 Total: Balances and receipts	56	56	56
Appropriations:			
Current law:			
2101 Securities and Exchange Commission Reserve Fund	-50	-50	-50
2103 Securities and Exchange Commission Reserve Fund	-5	-5	-5
2132 Securities and Exchange Commission Reserve Fund	5	5	
2199 Total current law appropriations	-50	-50	-55
2999 Total appropriations	-50	-50	-55
5099 Balance, end of year	6	6	1

Program and Financing (in millions of dollars)

Identification code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enforcement	19	16	17
0002 Compliance Inspections and Examinations	18	15	15
0003 Corporation Finance	9	8	8
0004 Trading and Markets	2	2	2
0005 Investment Management	2	2	2
0006 Economic and Risk Analysis	1	1	1
0007 General Counsel	1	1	1
0008 Other Program Offices	2	1	2
0009 Agency Direction and Administrative Support	9	7	7
0900 Total new obligations, unexpired accounts	63	53	55

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	3	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	16	3	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	50	50	50
1203 Appropriation (previously unavailable)(special or trust) ...	5	5	5
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-5	-5	
1260 Appropriations, mandatory (total)	50	50	55
1900 Budget authority (total)	50	50	55
1930 Total budgetary resources available	66	53	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3		

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	58	64	43
3010 New obligations, unexpired accounts	63	53	55
3020 Outlays (gross)	-56	-74	-55
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	64	43	43
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	58	64	43
3200 Obligated balance, end of year	64	43	43

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	50	50	55
Outlays, gross:			
4100 Outlays from new mandatory authority	8	22	22
4101 Outlays from mandatory balances	48	52	33
4110 Outlays, gross (total)	56	74	55
4180 Budget authority, net (total)	50	50	55
4190 Outlays, net (total)	56	74	55

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) to establish the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund in the Treasury from which the Commission may obligate amounts determined necessary to carry out Commission functions. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is funded by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50 million and obligations from the Reserve Fund may not exceed \$100 million. The balance in the Reserve Fund may not exceed \$100 million. Amounts in the Reserve Fund are available until expended. (The remainder of registration fee collections for each fiscal year are deposited in the general fund of the Treasury and are not available for obligation by the Commission.)

Amounts collected and deposited in the Reserve Fund are not subject to appropriation or apportionment. However, the Commission is required to notify the Congress of the amount and purpose of any obligations made utilizing amounts from the Reserve Fund within 10 days.

The 2021 Budget proposes to eliminate the Reserve Fund in 2022. Registration fees currently deposited in the Reserve Fund would be redirected to the general fund of the Treasury.

Object Classification (in millions of dollars)

Identification code 050-5566-0-2-376	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services	5	5	5
25.7 Operation and maintenance of equipment	16	9	10
31.0 Equipment	42	39	40
99.9 Total new obligations, unexpired accounts	63	53	55

INVESTOR PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	13	12	3
Receipts:			
Current law:			
1110 Monetary Sanctions, Investor Protection Fund	157		54
1140 Interest, Investor Protection Fund	7	10	7
1199 Total current law receipts	164	10	61
1999 Total receipts	164	10	61
2000 Total: Balances and receipts	177	22	64
Appropriations:			
Current law:			
2101 Investor Protection Fund	-163	-10	-61
2103 Investor Protection Fund	-12	-10	-1
2132 Investor Protection Fund	10	1	
2199 Total current law appropriations	-165	-19	-62
2999 Total appropriations	-165	-19	-62
5099 Balance, end of year	12	3	2

Program and Financing (in millions of dollars)

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Enforcement	61	93	93
0900 Total new obligations, unexpired accounts (object class 11.8)	61	93	93

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	299	403	329
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	163	10	61
1203 Appropriation (previously unavailable)(special or trust) ...	12	10	1
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-10	-1	
1260 Appropriations, mandatory (total)	165	19	62
1930 Total budgetary resources available	464	422	391
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	403	329	298

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	98	17	91
3010 New obligations, unexpired accounts	61	93	93
3020 Outlays (gross)	-142	-19	-62
3050 Unpaid obligations, end of year	17	91	122
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	98	17	91
3200 Obligated balance, end of year	17	91	122

Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	165	19	62
Outlays, gross:			
4100 Outlays from new mandatory authority		9	1

INVESTOR PROTECTION FUND—Continued
Program and Financing—Continued

Identification code 050-5567-0-2-376	2019 actual	2020 est.	2021 est.
4101 Outlays from mandatory balances	142	10	61
4110 Outlays, gross (total)	142	19	62
4180 Budget authority, net (total)	165	19	62
4190 Outlays, net (total)	142	19	62
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	310	393	308
5001 Total investments, EOY: Federal securities: Par value	393	308	308

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Dodd-Frank Act), the Congress substantially expanded the Securities and Exchange Commission's (SEC or Commission) authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with knowledge of possible securities laws violations to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

To comply with direction provided in the Dodd-Frank Act, the SEC's Division of Enforcement established an Office of the Whistleblower to administer and enforce the whistleblower award program. The Investor Protection Fund (the Fund), established by the Dodd-Frank Act, provides resources for payments to whistleblowers and for the SEC's Office of the Inspector General Employee Suggestion Program. Deposits into the Fund are comprised of a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the Commission under the Federal securities laws that are not added to a disgorgement fund or other fund under section 308 of the Sarbanes-Oxley Act of 2002 (P.L. 107-204), as well as amounts in such funds that will not be distributed to injured investors. No sanction collected by the Commission can be deposited into the Fund if the balance at the time the sanction is collected exceeds \$300 million. No funds have been taken or withheld from harmed investors to pay whistleblower awards. The Commission is required to submit an annual report on the whistleblower award program to the Committee on Banking, Housing, and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives.

The figures reported for 2020 and 2021 are based on assumptions regarding several variables inherent to litigation and to the Commission's whistleblower award process. Given the potential for significant variation in the payouts and their timing, it is possible that actual payouts will be either significantly higher or significantly lower than these estimates.

ADMINISTRATIVE PROVISION—SECURITIES AND EXCHANGE COMMISSION

§ 530. Within one year of the enactment of this Act, the Securities and Exchange Commission shall submit to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate, a report concerning the Municipal Securities Rulemaking Board. The report shall detail:

- (1) the Commission's legal authorities with respect to:
 - (A) the composition of the board and the selection of board members; and
 - (B) the compensation of board members and executive staff;
- (2) whether board member and executive staff compensation is commensurate with that of State and local public finance officials, including State treasurers and municipal finance directors; and
- (3) whether the current board member selection process ensures adequate representation of municipal securities stakeholders and accountability to local governments and municipal bondholders.]

(Financial Services and General Government Appropriations Act, 2020.)

SMITHSONIAN INSTITUTION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, [\$793,658,000] \$820,313,000 to remain available until September 30, [2021] 2022, except as otherwise provided herein; of which not to exceed [\$6,908,000] \$6,957,000 for the instrumentation program, collections acquisition, exhibition reinstallation, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: *Provided further*, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to be available as trust funds for expenses associated with the purchase of a portion of the building at 600 Maryland Avenue, S.W., Washington, D.C. to the extent that Federally supported activities will be housed there: *Provided further*, That the use of such amounts in the general trust funds of the Institution for such purpose shall not be construed as Federal debt service for, a Federal guarantee of, a transfer of risk to, or an obligation of the Federal Government: *Provided further*, That no appropriated funds may be used directly to service debt which is incurred to finance the costs of acquiring a portion of the building at 600 Maryland Avenue, S.W., Washington, D.C., or of planning, designing, and constructing improvements to such building: *Provided further*, That any agreement entered into by the Smithsonian Institution for the sale of its ownership interest, or any portion thereof, in such building so acquired may not take effect until the expiration of a 30 day period which begins on the date on which the Secretary submits to the Committees on Appropriations of the House of Representatives and Senate, the Committees on House Administration and Transportation and Infrastructure of the House of Representatives, and the Committee on Rules and Administration of the Senate a report, as outlined in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act), on the intended sale. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Public programs	55	62	64
0002 Exhibitions	58	58	61
0003 Collections	71	72	76
0004 Research	95	95	98
0005 Facilities	242	277	283
0006 Security & safety	83	88	91
0007 Information technology	47	49	50
0008 Operations	95	96	98
0799 Total direct obligations	746	797	821
0821 Salaries and Expenses (Reimbursable)	9	9	9
0900 Total new obligations, unexpired accounts	755	806	830
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	48	45
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	740	794	820
Spending authority from offsetting collections, discretionary:			
1700 Collected	8	9	9
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	9	9	9
1900 Budget authority (total)	749	803	829
1930 Total budgetary resources available	803	851	874
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	45	44

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	121	131	134
3010	New obligations, unexpired accounts	755	806	830
3020	Outlays (gross)	-744	-803	-829
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	131	134	135
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6	-6
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-6	-6	-6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	115	125	128
3200	Obligated balance, end of year	125	128	129
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	749	803	829
Outlays, gross:				
4010	Outlays from new discretionary authority	608	675	697
4011	Outlays from discretionary balances	136	128	132
4020	Outlays, gross (total)	744	803	829
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-9	-9	-9
4033	Non-Federal sources	-1		
4040	Offsets against gross budget authority and outlays (total)	-10	-9	-9
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4052	Offsetting collections credited to expired accounts	2		
4060	Additional offsets against budget authority only (total)	1		
4070	Budget authority, net (discretionary)	740	794	820
4080	Outlays, net (discretionary)	734	794	820
4180	Budget authority, net (total)	740	794	820
4190	Outlays, net (total)	734	794	820

The Smithsonian Institution conducts research in natural and physical sciences, history and the history of cultures, technology and the arts. The Institution acquires and preserves more than 155 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	322	335	343
11.3	Other than full-time permanent	4	4	4
11.5	Other personnel compensation	19	19	19
11.9	Total personnel compensation	345	358	366
12.1	Civilian personnel benefits	115	126	128
21.0	Travel and transportation of persons	6	6	6
22.0	Transportation of things	1	1	1
23.3	Rent, Communications, and Utilities	94	96	98
24.0	Printing and reproduction	1	1	1
25.2	Other services	141	164	175
26.0	Supplies and materials	20	21	22
31.0	Equipment	21	22	22
32.0	Land and structures	2	2	2
99.0	Direct obligations	746	797	821
99.0	Reimbursable obligations	9	9	9
99.9	Total new obligations, unexpired accounts	755	806	830

Employment Summary

Identification code 033-0100-0-1-503	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	4,174	4,261	4,278

FACILITIES CAPITAL

For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, **[\$253,700,000]** \$290,000,000, to remain available until expended, of which not to exceed \$10,000 shall be for services as authorized by 5 U.S.C. 3109. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0010	Construction	3	5	
0020	Revitalization	294	218	237
0030	Facilities planning and design	13	33	49
0900	Total new obligations, unexpired accounts	310	256	286

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	36	30	28
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	304	254	290
1930	Total budgetary resources available	340	284	318
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	30	28	32

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	317	439	324
3010	New obligations, unexpired accounts	310	256	286
3020	Outlays (gross)	-188	-371	-249
3050	Unpaid obligations, end of year	439	324	361
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	317	439	324
3200	Obligated balance, end of year	439	324	361

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	304	254	290
Outlays, gross:				
4010	Outlays from new discretionary authority	17	64	72
4011	Outlays from discretionary balances	171	307	177
4020	Outlays, gross (total)	188	371	249
4180	Budget authority, net (total)	304	254	290
4190	Outlays, net (total)	188	371	249

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design funding related to these activities. The President's Budget for Fiscal Year 2021 includes funds for critical infrastructure improvements at the National Museum of Natural History, the National Zoological Park, and the National Museum of American History. In addition, funds are included for improvements to the Donald W. Reynolds Center, the Smithsonian Tropical Research Institute and Astrophysical Observatory and other important revitalization projects throughout the Institution. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Air and Space Museum

FACILITIES CAPITAL—Continued
facilities, the Smithsonian Castle and Arts and Industries Building and the Hirshhorn Museum and Sculpture Garden.

Object Classification (in millions of dollars)

Identification code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	5
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	15	15	15
32.0 Land and structures	286	231	261
99.9 Total new obligations, unexpired accounts	310	256	286

Employment Summary

Identification code 033-0103-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	48	48	48

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$25,690,000]** \$26,400,000, to remain available until September 30, 2022. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and Maintenance, JFK Center for the Performing Arts (Direct)	24	26	26
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	20
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	26	26
1900 Budget authority (total)	24	26	26
1930 Total budgetary resources available	44	46	46
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	20	20
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	6	4
3010 New obligations, unexpired accounts	24	26	26
3020 Outlays (gross)	-23	-28	-26
3050 Unpaid obligations, end of year	6	4	4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-20	-20
3090 Uncollected pymts, Fed sources, end of year	-20	-20	-20
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-15	-14	-16
3200 Obligated balance, end of year	-14	-16	-16
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	26	26
Outlays, gross:			
4010 Outlays from new discretionary authority	18	21	21
4011 Outlays from discretionary balances	5	7	5
4020 Outlays, gross (total)	23	28	26
4180 Budget authority, net (total)	24	26	26
4190 Outlays, net (total)	23	28	26

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including mainten-

ance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	6	6
23.3 Communications, utilities, and miscellaneous charges	6	5	5
25.2 Other services from non-Federal sources	13	15	15
99.9 Total new obligations, unexpired accounts	24	26	26

Employment Summary

Identification code 033-0302-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	55	60	60

CAPITAL REPAIR AND RESTORATION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$17,800,000]** 14,000,000, to remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 033-0303-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Capital Repair and Restoration	12	18	14
0900 Total new obligations, unexpired accounts (object class 25.2)	12	18	14
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	26	26
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17	18	14
1930 Total budgetary resources available	38	44	40
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	26	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	7	8
3010 New obligations, unexpired accounts	12	18	14
3020 Outlays (gross)	-13	-17	-15
3050 Unpaid obligations, end of year	7	8	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	7	8
3200 Obligated balance, end of year	7	8	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17	18	14
Outlays, gross:			
4010 Outlays from new discretionary authority	6	11	8
4011 Outlays from discretionary balances	7	6	7
4020 Outlays, gross (total)	13	17	15
4180 Budget authority, net (total)	17	18	14
4190 Outlays, net (total)	13	17	15

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public

resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$147,022,000] \$147,174,000**, to remain available until September 30, **[2021] 2022**, of which not to exceed **[\$3,660,000] \$3,700,000** for the special exhibition program shall remain available until expended. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033–0200–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	145	147	147
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	7	8
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	8	8	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	144	147	147
1930 Total budgetary resources available	152	155	156
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	8	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	34	42	32
3010 New obligations, unexpired accounts	145	147	147
3020 Outlays (gross)	–136	–156	–150
3040 Recoveries of prior year unpaid obligations, unexpired	–1	–1	–1
3050 Unpaid obligations, end of year	42	32	28
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	34	42	32
3200 Obligated balance, end of year	42	32	28
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	144	147	147
Outlays, gross:			
4010 Outlays from new discretionary authority	109	123	123
4011 Outlays from discretionary balances	27	33	27
4020 Outlays, gross (total)	136	156	150
4180 Budget authority, net (total)	144	147	147
4190 Outlays, net (total)	136	156	150

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 033–0200–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	61	73	66
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	5	3	4
11.9 Total personnel compensation	67	77	71
12.1 Civilian personnel benefits	22	24	24
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	6	11	11
25.2 Other services	24	19	22
25.4 Operation and maintenance of facilities	7	7	7
26.0 Supplies and materials	3	3	3

31.0 Equipment	10	3	6
32.0 Land and structures	3		
99.9 Total new obligations, unexpired accounts	145	147	147

Employment Summary

Identification code 033–0200–0–1–503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	762	878	783

REPAIR, RESTORATION AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, **[\$26,203,000] \$14,413,000**, to remain available until expended: *Provided*, That of this amount, **[\$1,000,000] \$1,510,000** shall be available for design of an off-site art storage facility in partnership with the Smithsonian Institution: *Provided further*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033–0201–0–1–503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Repair, Restoration, and Renovation of Buildings	18	27	18
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	7	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	26	14
1930 Total budgetary resources available	25	33	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	6	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	43	55	31
3010 New obligations, unexpired accounts	18	27	18
3020 Outlays (gross)	–6	–51	–28
3050 Unpaid obligations, end of year	55	31	21
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	43	55	31
3200 Obligated balance, end of year	55	31	21
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	26	14
Outlays, gross:			
4010 Outlays from new discretionary authority		3	1
4011 Outlays from discretionary balances	6	48	27
4020 Outlays, gross (total)	6	51	28
4180 Budget authority, net (total)	24	26	14
4190 Outlays, net (total)	6	51	28

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, leases of space necessitated by such renovations, and the design and construction of an off-site art storage facility in partnership with the Smithsonian Institution. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 033–0201–0–1–503	2019 actual	2020 est.	2021 est.
Direct obligations:			
23.2 Rental payments to others	5	6	6

REPAIR, RESTORATION AND RENOVATION OF BUILDINGS—Continued

Object Classification—Continued

Identification code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
32.0 Land and structures	13	21	12
99.9 Total new obligations, unexpired accounts	18	27	18

Employment Summary

Identification code 033-0201-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2	2	2

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$14,000,000]** \$8,211,000, to remain available until September 30, **[2021]** 2022. (*Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and expenses	11	14	8
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	14	8
1930 Total budgetary resources available	13	16	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	5	5	6
3010 New obligations, unexpired accounts	11	14	8
3020 Outlays (gross)	-11	-13	-10
3050 Unpaid obligations, end of year	5	6	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	5	5	6
3200 Obligated balance, end of year	5	6	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	14	8
Outlays, gross:			
4010 Outlays from new discretionary authority	6	10	6
4011 Outlays from discretionary balances	5	3	4
4020 Outlays, gross (total)	11	13	10
4180 Budget authority, net (total)	12	14	8
4190 Outlays, net (total)	11	13	10

The Woodrow Wilson Center facilitates scholarship in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue. The Budget proposes to eliminate funding for several independent agencies, including the Woodrow Wilson Center. The Budget provides \$8.211 million in FY 2021 to support an orderly transition to privately-funded operations.

Object Classification (in millions of dollars)

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	4
12.1 Civilian personnel benefits	2	2	2

25.2 Other services from non-Federal sources	3	4	2
41.0 Grants, subsidies, and contributions	2	3
99.9 Total new obligations, unexpired accounts	11	14	8

Employment Summary

Identification code 033-0400-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	34	47	41

STATE JUSTICE INSTITUTE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Act of 1984 (42 U.S.C. 10701 et seq.) **[\$6,555,000]** \$8,000,000, of which \$500,000 shall remain available until September 30, **[2021]** 2022: *Provided*, That not to exceed \$2,250 shall be available for official reception and representation expenses: *Provided further*, That, for the purposes of section **[505]** 504 of this Act, the State Justice Institute shall be considered an agency of the United States Government. (*Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 453-0052-0-1-752	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses (Direct)	6	7	8
0900 Total new obligations, unexpired accounts (object class 41.0)	6	7	8
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	7	8
1930 Total budgetary resources available	6	7	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	11	7
3010 New obligations, unexpired accounts	6	7	8
3020 Outlays (gross)	-4	-11	-7
3050 Unpaid obligations, end of year	11	7	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	11	7
3200 Obligated balance, end of year	11	7	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	6	7	8
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4011 Outlays from discretionary balances	3	10	6
4020 Outlays, gross (total)	4	11	7
4180 Budget authority, net (total)	6	7	8
4190 Outlays, net (total)	4	11	7

The State Justice Institute (SJI) was established by Federal law (42 U.S.C. 10701 et seq.) as a non-profit corporation to award grants and undertake other activities to improve the quality of justice in State courts and foster innovative, efficient solutions to common issues faced by all courts. SJI has the authority to assist all State courts—criminal, civil, juvenile, family, and appellate—and the mandate to share the success of one State's innovations with every State court system and the Federal courts. The FY 2021 budget proposes a \$1.0 million enhancement to address the unique challenges of the opioid epidemic, mental health issues, and technology in state courts.

SURFACE TRANSPORTATION BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, **[\$37,100,000]** *\$37,500,000*; *Provided*, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the **Chairman of the** Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: *Provided further*, That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2020]** *2021*, to result in a final appropriation from the general fund estimated at no more than **[\$35,850,000]** *\$36,250,000*. (*Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020*.)

Program and Financing (in millions of dollars)

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity - Rail Carriers	35	37	37
0100 Direct program activities, subtotal	35	37	37
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	36	36	36
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	37	37	37
1930 Total budgetary resources available	37	37	37
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	8	4
3010 New obligations, unexpired accounts	35	37	37
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-34	-41	-37
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	8	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	8	4
3200 Obligated balance, end of year	8	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	37	37	37
Outlays, gross:			
4010 Outlays from new discretionary authority	29	33	33
4011 Outlays from discretionary balances	5	8	4
4020 Outlays, gross (total)	34	41	37
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	-1	-1	-1
4180 Budget authority, net (total)	36	36	36
4190 Outlays, net (total)	33	40	36

The Surface Transportation Board (STB or Board) is primarily charged with the economic oversight of the nation's freight rail system. The economics of freight rail regulation impact the national transportation network and are important to our nation's economy. For this reason, Congress gave the STB sole jurisdiction over railroad entry and exit licensing, mergers, and consolidations, exempting STB-approved transactions from federal antitrust laws and state and municipal laws. The Board also has exclusive authority to determine whether certain railroad rates and practices are reasonable. ^[1] The bipartisan Board was established in 1996 as the successor agency to the Interstate Commerce Commission. ^[2] The Board was administratively aligned with the Department of Transportation until the enactment of the Surface Transportation Board Reauthorization Act of 2015. ^[3]

While the majority of the Board's work involves freight railroads, the Board also performs certain oversight of passenger rail matters, the intercity bus industry, non-energy pipelines, household goods carriers' tariffs, and rate regulation of non-contiguous domestic water transportation (marine freight shipping involving the mainland United States, Hawaii, Alaska, Puerto Rico, and other U.S. Territories and possessions).

Fiscal Year (FY) 2021 Program: The Board requests \$37,500,000 to carry out its mission as directed under the law. This includes a request for \$1,250,000 from offsetting collections of fees as a credit to the appropriation received, to the extent collected.

The STB's FY 2021 budget request would maintain current operational funding to meet its statutory responsibilities and continue meeting the needs of stakeholders and the public. The Board's non-personnel budget supports several information technology system and infrastructure maintenance and modernization efforts. Funding would also support continued improvements to the Board's cybersecurity program. The funding request also seeks resources to develop the STB's research and analytical capabilities to enhance the Board's evidence-based decision-making.

^[1] 49 U.S.C. 10101-11908.

^[2] ICC Termination Act of 1995, P.L. 101-88, 109 Stat. 803 (1995).

^[3] Surface Transportation Board Reauthorization Act of 2015, P.L. 114-110, 129 Stat. 2228 (2015).

Object Classification (in millions of dollars)

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	15	18	18
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	16	19	19
12.1 Civilian personnel benefits	5	6	6
23.1 Rental payments to GSA	4	4	3
25.2 Other services from non-Federal sources	5	4	5
25.3 Other goods and services from Federal sources	5	4	4
99.9 Total new obligations, unexpired accounts	35	37	37

Employment Summary

Identification code 472-0301-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	119	142	142

TENNESSEE VALLEY AUTHORITY

Federal Funds

TENNESSEE VALLEY AUTHORITY FUND

Program and Financing (in millions of dollars)

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Power program: Operating expenses	8,507	7,917	7,749
0802 Power program: Capital expenditures	1,700	1,983	2,392
0803 Other Cash Items	29,017	24,760	27,565
0804 Non-Federal Investments	7,357	13,727	11,147
0809 Reimbursable program activities, subtotal	46,581	48,387	48,853
0900 Total new obligations, unexpired accounts	46,581	48,387	48,853
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4,858	7,243	7,583
1022 Capital transfer of unobligated balances to general fund	-6	-7	-7
1050 Unobligated balance (total)	4,852	7,236	7,576
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	671	928	1,311

TENNESSEE VALLEY AUTHORITY FUND—Continued
Program and Financing—Continued

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Spending authority from offsetting collections, mandatory:			
1800 Collected	48,219	47,878	46,523
1801 Change in uncollected payments, Federal sources	82	-72
1850 Spending auth from offsetting collections, mand (total)	48,301	47,806	46,523
1900 Budget authority (total)	48,972	48,734	47,834
1930 Total budgetary resources available	53,824	55,970	55,410
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7,243	7,583	6,557
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,982	1,813	1,466
3010 New obligations, unexpired accounts	46,581	48,387	48,853
3020 Outlays (gross)	-46,750	-48,734	-47,834
3050 Unpaid obligations, end of year	1,813	1,466	2,485
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1,657	-1,739	-1,667
3070 Change in uncollected pymts, Fed sources, unexpired	-82	72
3090 Uncollected pymts, Fed sources, end of year	-1,739	-1,667	-1,667
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	325	74	-201
3200 Obligated balance, end of year	74	-201	818
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	48,972	48,734	47,834
Outlays, gross:			
4100 Outlays from new mandatory authority	46,752	47,834
4101 Outlays from mandatory balances	46,750	1,982
4110 Outlays, gross (total)	46,750	48,734	47,834
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-359	-2,000	-2,000
4121 Interest on Federal securities	-1
4123 Non-Federal sources	-47,859	-47,811	-46,285
4130 Offsets against gross budget authority and outlays (total)	-48,219	-49,811	-48,285
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	-82	72
4160 Budget authority, net (mandatory)	671	-1,005	-451
4170 Outlays, net (mandatory)	-1,469	-1,077	-451
4180 Budget authority, net (total)	671	-1,005	-451
4190 Outlays, net (total)	-1,469	-1,077	-451
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	25	25	25
5001 Total investments, EOY: Federal securities: Par value	25	25	25
5010 Total investments, SOY: non-Fed securities: Market value	254	254	270
5011 Total investments, EOY: non-Fed securities: Market value	254	270	270

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	671	-1,005	-451
Outlays	-1,469	-1,077	-451
Legislative proposal, subject to PAYGO:			
Budget Authority	216
Outlays	216
Total:			
Budget Authority	671	-1,005	-235
Outlays	-1,469	-1,077	-235

Status of Direct Loans (in millions of dollars)

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	44	43	60
1231 Disbursements: Direct loan disbursements	9	25	25
1251 Repayments: Repayments and prepayments	-10	-8	-10
1290 Outstanding, end of year	43	60	75

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation charged with the mission to improve the quality of life in the Tennessee Valley through the integrated management of the region's resources. The TVA Act sets forth the agency's purpose: to address the Valley's most important issues in energy, environmental stewardship, and economic development. TVA is currently self-funded, financing its operations almost entirely from revenues and power system financings.

TVA's Non-Power Programs.—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide other public benefits. TVA is responsible for stewardship activities within the Tennessee Valley that include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

TVA's Power Program.—TVA supplies electric power to an area of 80,000 square miles covering parts of the seven Tennessee Valley states: Tennessee, Alabama, Mississippi, Kentucky, Georgia, North Carolina, and Virginia. Estimated income from power operations, net of interest charges, depreciation, and other operating expenses, is expected to be \$1.6 billion in 2021 on operating revenues of \$10.7 billion. Power generating facilities are financed from power revenues and power system financings. TVA's power system financings consist primarily of the sale of debt securities and secondarily of alternative forms of financing, such as lease arrangements.

TVA Policy Initiatives.—Currently, TVA is well-positioned to meet or exceed its \$22 billion debt objective by 2023, established during the 2014 planning cycle, due to the successful execution of cost-savings initiatives as well as strong financial performance to date. As a result, TVA has elected to forego an 2020 base rate action and does not anticipate implementing any near-term rate actions in order to achieve this 2023 debt goal. As part of the aforementioned cost-saving initiative, TVA established a goal of reducing annual operating costs by \$500 million relative to the 2013 budget. TVA exceeded this goal by approximately \$300 million, for a total reduction of \$800 million, and is committed to future continuous improvement initiatives. Additionally, TVA's rate position compared to peers has improved since embarking on the strategic debt reduction plan.

Strategic Financial Plan.—In August 2019, the TVA Board approved an annual budget that reflects the first year of a new Strategic Financial Plan. The Strategic Financial Plan, which extends from 2020 through 2030, is flexible in aligning customer preferences and TVA's mission while at the same time establishing a forecast of financial results. Key focus areas of the Strategic Financial Plan include (1) establishing better alignment between the length of local power company (LPC) contracts and TVA's long-term commitments, (2) stabilizing debt in an \$18-\$20 billion range, (3) maintaining rates as low as feasible, (4) maintaining current levels of cash, and (5) pursuing operational efficiencies.

Long-term power planning requires TVA to make long-term financial commitments. As of October 1, 2018, the weighted average length of the notice period under TVA's wholesale power contracts with LPCs was less than 7 years. TVA measures the alignment between its committed revenues and total obligations through a measure known as Net Portfolio Position. In order to better align customer contractual commitments with TVA's overall financial obligations, a long-term partnership proposal was made available to TVA's 154 LPCs on August 22, 2019, after Board approval. Under this long-term partnership proposal, LPCs that agree to contractual changes, which include a rolling 20-year term and a termination notice period of 20 years, will receive a long-term partner credit. That credit is currently 3.1% of wholesale standard service demand, energy, and grid

access charges. TVA's effective wholesale rate and annual revenues will decline as LPCs commit to becoming long-term partners, but TVA's overall financial health will improve through better alignment of customer contract terms with TVA's overall financial obligations. As part of the agreement, TVA has also committed to providing enhanced power supply flexibility for 3–5% of LPC energy by October 1, 2021, with pricing and planning considerations mutually agreeable between the LPC and TVA. As of December 31, 2019, 134 of the 154 LPCs served by TVA have signed the long-term partnership proposal, thus closing the gap between TVA's committed revenues and long-term obligations.

TVA continues to make decisions to move toward an optimized generation fleet as an important part of improving operational performance. TVA has been working for several years toward a more balanced portfolio to provide greater flexibility to generate cleaner, low-cost energy more efficiently from a variety of fuel sources.

During 2017, TVA began commercial operations of a new gas-fired facility at the Paradise Fossil Plant site. Following the completion of this facility, TVA retired Paradise coal-fired units 1 and 2 effective April 2017. The Board voted at its February 2019 meeting to retire Paradise Fossil Unit 3 by December 2020 and to retire Bull Run Fossil Plant by December 2023 in order to maintain a low-cost and efficient generating fleet. Subsequent to the Board approval, TVA determined that Paradise would not be restarted after January 2020 due to the plant's material condition. Current operations indicate the plant may continue to run into the second quarter of 2020. Moreover, as part of its efforts to maintain a well-balanced nuclear portfolio, TVA successfully implemented an extended power uprate project at all three units of the Browns Ferry Nuclear Plant by the end of 2019. As of September 30, 2019, physical work on all units was complete and the generation capacity is expected to increase 465 MW after sufficient run time to validate the new capacity.

Economic Development.—TVA is charged with providing the people of the Tennessee Valley region greater opportunities for prosperity. To that end, TVA works to foster capital investment and job growth in the Valley in collaboration with regional, state and local organizations. In fiscal year 2019, TVA worked in partnership with communities and the business sector to spur \$8.9 billion in capital investment in the Tennessee Valley region and helped attract and retain approximately 66,500 jobs.

Financing.—Amounts estimated to become available for TVA programs in 2021 are to be derived from operating revenues of \$10.7 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. TVA's outstanding debt and debt-like obligations were \$22.8 billion at the beginning of 2020 and are estimated to be \$21.3 billion by the end of 2021. At the beginning of 2020, TVA had \$1.5 billion in debt-like obligations that are not counted against its statutory debt cap. In addition, TVA had an unfunded pension liability of \$5.3 billion as of September 30, 2019.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2021 are estimated at a \$7 million return on the appropriation investment in the power program. Total capital spending for 2021 is estimated at \$2.4 billion, which in addition to new generation capacity includes approximately \$200 million for environmental projects and \$1.1 billion to maintain TVA's existing generation assets. Total government equity at September 30, 2021, is estimated to be \$1.6 billion more than that at September 30, 2020. This change includes the estimated net income from power operations and payments to the Treasury. As of September 30, 2019, the funding status of TVA employees' defined benefit pension plan (TVARS) was that of a 60% funding ratio and a \$5.3 billion unfunded liability. This compares to a 68% funding ratio and \$3.7 billion unfunded liability in 2018, and a 63% funding ratio and \$4.6 billion unfunded liability in 2017. The decrease in funding ratio and increase in unfunded liability in 2019 was caused by a decrease in the liability discount rate. TVA contributed \$300 million to TVARS, and incurred \$303 million in actuarial costs in 2019. TVA also made \$721 million in payments to beneficiaries and earned \$389 million, or a 5.5 percent rate of return, on the plan's invest-

ments in 2019. TVA is committed to meeting its obligations to current and future retirees and has worked with the TVARS Board in recent years to implement several significant changes to ensure the long-term health of the retirement system.

Balance Sheet (in millions of dollars)

Identification code 455-4110-0-3-999	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	46	46
Investments in U.S. securities:		
1106 Receivables, net	45	76
Non-Federal assets:		
1201 Investments in non-Federal securities, net	2,862	2,968
1206 Receivables, net	1,613	1,664
1207 Advances and prepayments	86	85
1601 Direct loans, gross	229	221
1603 Allowance for estimated uncollectible loans and interest (-)	-1	-1
1604 Direct loans and interest receivable, net	228	220
1605 Accounts receivable from foreclosed property		
1699 Value of assets related to direct loans	228	220
Other Federal assets:		
1801 Cash and other monetary assets	4,294	4,471
1802 Inventories and related properties	961	999
1803 Property, plant and equipment, net	35,413	35,133
1901 Regulatory assets due to pensions	3,119	4,756
1999 Total assets	48,667	50,418
LIABILITIES:		
Federal liabilities: Accounts payable		
2101	143	156
Non-Federal liabilities:		
2201 Accounts payable	1,805	1,622
2202 Interest payable	305	296
2203 Debt, Alternative Financing	1,476	1,391
2203 Debt, Notes/Bonds	22,406	21,045
2204 Liabilities for loan guarantees		
2206 Pension and post-retirement benefits	4,150	5,832
2207 Other	8,100	8,451
2999 Total liabilities	38,385	38,793
NET POSITION:		
3300 Cumulative results of operations	10,282	11,625
4999 Total liabilities and net position	48,667	50,418

Object Classification (in millions of dollars)

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	970	1,056	1,145
11.5 Other personnel compensation	200	214	238
11.9 Total personnel compensation	1,170	1,270	1,383
12.1 Civilian personnel benefits	742	567	569
21.0 Travel and transportation of persons	33	24	21
22.0 Transportation of things	11	5	5
23.2 Rental payments to others	82	65	61
24.0 Printing and reproduction	3		
25.1 Advisory and assistance services	27	16	16
25.2 Other services from non-Federal sources	225	213	212
25.7 Operation and maintenance of equipment	1,857	1,690	1,871
26.0 Supplies and materials	985	1,378	1,332
31.0 Equipment	479	424	649
32.0 Land and structures	31	30	29
33.0 Investments and loans	40,903	42,671	42,671
41.0 Grants, subsidies, and contributions	32	34	34
42.0 Insurance claims and indemnities	1		
99.9 Total new obligations, unexpired accounts	46,581	48,387	48,853

Employment Summary

Identification code 455-4110-0-3-999	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	10,009	10,000	10,000

TENNESSEE VALLEY AUTHORITY FUND—Continued
TENNESSEE VALLEY AUTHORITY FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 455-4110-4-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0803 Other Cash Items			216
0900 Total new obligations, unexpired accounts (object class 43.0)			216
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected			216
1900 Budget authority (total)			216
1930 Total budgetary resources available			216
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			216
3020 Outlays (gross)			-216
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			216
Outlays, gross:			
4100 Outlays from new mandatory authority			216
4180 Budget authority, net (total)			216
4190 Outlays, net (total)			216

This proposal would authorize the Federal government to sell the transmission assets of the Tennessee Valley Authority, which operates and maintains over 16,000 circuit-miles of high voltage transmission lines and over 500 substations/switching stations.

U.S. AGENCY FOR GLOBAL MEDIA

Federal Funds

INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the United States Agency for Global Media (USAGM), as authorized, to carry out international communication activities, and to make and supervise grants for radio, Internet, and television broadcasting to the Middle East, **[\$798,696,000] \$632,732,000, of which \$31,637,000 shall remain available until September 30, 2022: Provided,** That in addition to amounts otherwise available for such purposes, up to **[\$40,708,000] \$32,782,000** of the amount appropriated under this heading may remain available until expended for satellite transmissions and Internet freedom programs, of which not less than **[\$20,000,000] \$9,500,000** shall be for Internet freedom programs: *Provided further,* That of the total amount appropriated under this heading, not to exceed \$35,000 may be used for representation expenses, of which \$10,000 may be used for such expenses within the United States as authorized, and not to exceed \$30,000 may be used for representation expenses of Radio Free Europe/Radio Liberty: *Provided further,* That the USAGM shall notify the Committees on Appropriations within 15 days of any determination by the USAGM that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in subsections (a) and (b) of section 303 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6202) or the entity's journalistic code of ethics: *Provided further,* That in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from co-operating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, shall remain available until expended for carrying out authorized purposes: *Provided further,* That significant modifications to USAGM broadcast hours previously justified to Congress, including changes to transmission platforms (shortwave, medium wave, satellite, Internet, and television), for all USAGM language services shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further,* That up to \$7,000,000 from the USAGM Buying Power Maintenance account may be transferred to, and merged with, funds appropriated by this Act under the heading "International Broadcasting Operations", which shall remain

available until expended: *Provided further,* That such transfer authority is in addition to any transfer authority otherwise available under any other provision of law and shall be subject to [prior consultation with, and] the regular notification procedures of [the] the Committees on Appropriations: *Provided further,* That the USAGM may transfer to, and merge with, funds under the heading "International Broadcasting Surge Capacity Fund", pursuant to section 316 of the United States International Broadcasting Act of 1994 (22 U.S.C. 6216), for obligation or expenditure by the USAGM for surge capacity, any of the following: (1) unobligated balances of expired funds appropriated under the heading "International Broadcasting Operations" for fiscal year 2021, except for funds designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985 (2 U.S.C. 901(b)(2)(A)), at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for their stated purposes; and (2) funds made available for surge capacity under this heading: *Provided further,* That any reference to the "Broadcasting Board of Governors" or "BBG", including in any account providing amounts to the Broadcasting Board of Governors, in any Act making appropriations for the Department of State, foreign operations, and related programs enacted before, on, or after the date of the enactment of this Act shall for this fiscal year, and any fiscal year thereafter, be construed to mean the "United States Agency for Global Media" or "USAGM", respectively. (*Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Broadcasting Board of Governors	806	797	631
0100 Subtotal, direct obligations	806	797	631
0801 International Broadcasting Operations (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	808	799	633
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	7	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	798	799	633
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	3	3
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	3	3	3
1900 Budget authority (total)	801	802	636
1930 Total budgetary resources available	816	809	646
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	7	10	13
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	175	164	127
3010 New obligations, unexpired accounts	808	799	633
3011 Obligations ("upward adjustments"), expired accounts	2	2	2
3020 Outlays (gross)	-812	-838	-663
3041 Recoveries of prior year unpaid obligations, expired	-9		
3050 Unpaid obligations, end of year	164	127	99
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-5	-4	-4
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3071 Change in uncollected pymts, Fed sources, expired	2		
3090 Uncollected pymts, Fed sources, end of year	-4	-4	-4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	170	160	123
3200 Obligated balance, end of year	160	123	95
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	801	802	636
Outlays, gross:			
4010 Outlays from new discretionary authority	666	674	535
4011 Outlays from discretionary balances	146	164	128
4020 Outlays, gross (total)	812	838	663
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-4	-8	-7
4040 Offsets against gross budget authority and outlays (total)	-4	-8	-7

Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	-1	5
4052	Offsetting collections credited to expired accounts	2	4
4060	Additional offsets against budget authority only (total)	1	4
4070	Budget authority, net (discretionary)	798	633
4080	Outlays, net (discretionary)	808	656
4180	Budget authority, net (total)	798	633
4190	Outlays, net (total)	808	656

This appropriation provides operational funding for: U.S. non-military; international media programs including the Voice of America; the Office of Cuba Broadcasting; the necessary engineering and technical needs for all U.S. international media; administrative support activities; and grants to Radio Free Europe/Radio Liberty, Radio Free Asia, Middle East Broadcasting Networks, and the Open Technology Fund.

Object Classification (in millions of dollars)

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	161	135
11.3	Other than full-time permanent	14	11
11.5	Other personnel compensation	12	9
11.9	Total personnel compensation	187	155
12.1	Civilian personnel benefits	55	36
13.0	Benefits for former personnel	2	2
21.0	Travel and transportation of persons	7	6
22.0	Transportation of things	1	1
23.1	Rental payments to GSA	30	25
23.2	Rental payments to others	2	2
23.3	Communications, utilities, and miscellaneous charges	50	45
25.1	Advisory and assistance services	5	3
25.2	Other services from non-Federal sources	140	99
25.4	Operation and maintenance of facilities	5	4
25.7	Operation and maintenance of equipment	2	2
26.0	Supplies and materials	13	5
31.0	Equipment	17	14
41.0	Grants, subsidies, and contributions	291	233
42.0	Insurance claims and indemnities	1	1
99.0	Direct obligations	808	633
99.9	Total new obligations, unexpired accounts	808	633

Employment Summary

Identification code 514-0206-0-1-154	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	1,646	1,492

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, repair, preservation, and improvement of facilities for radio, television, and digital transmission and reception; the purchase, rent, and installation of necessary equipment for radio, television, and digital transmission and reception, including to Cuba, as authorized; and physical security worldwide, in addition to amounts otherwise available for such purposes, **[\$11,700,000] \$4,520,000**, to remain available until expended, as authorized, of which not less than \$2,000,000 shall be made available for emergency repairs to USAGM transmitting stations. (Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 514-0204-0-1-154	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002	Upgrade of existing relay station capabilities	5	5
0192	Total direct obligations	5	5
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	14	19

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	10	5
1930	Total budgetary resources available	24	24
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	19	19

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	6
3010	New obligations, unexpired accounts	5	5
3020	Outlays (gross)	-5	-10
3050	Unpaid obligations, end of year	6	1
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	6	6
3200	Obligated balance, end of year	6	1

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross	10	5
Outlays, gross:			
4010	Outlays from new discretionary authority	1	2
4011	Outlays from discretionary balances	4	8
4020	Outlays, gross (total)	5	10
4180	Budget authority, net (total)	10	5
4190	Outlays, net (total)	5	10

This account provides funding for certain costs of capital projects for the agency, including large-scale capital projects, and the preservation, construction, purchase, and maintenance and improvement of the United States Agency for Global Media's worldwide technology infrastructure. This activity funds the upgrade and replacement of transmission facilities and equipment to improve transmission quality, and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

Object Classification (in millions of dollars)

Identification code 514-0204-0-1-154	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.4	Operation and maintenance of facilities	4	4
31.0	Equipment	1	1
99.9	Total new obligations, unexpired accounts	5	5

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 514-1147-0-1-154	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	12	17
1012	Unobligated balance transfers between expired and unexpired accounts	5
1050	Unobligated balance (total)	17	17
1930	Total budgetary resources available	17	17
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	17	17
4180	Budget authority, net (total)
4190	Outlays, net (total)

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget.

BUYING POWER MAINTENANCE—Continued

As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 514–8285–0–7–602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	1		
0900 Total new obligations, unexpired accounts (object class 42.0)	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	5	5
1930 Total budgetary resources available	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	1		
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Agency for Global Media in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, and amended by Division G of P.L. 105–277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses for the operation of *A total of \$38,900,000, of which \$35,613,491 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by sections 7251 through 7298 7299 of title 38, United States Code [\$35,400,000] (to include \$1,800,000 for costs associated with reconfiguring existing Court space): Provided, That [\$2,698,997] \$3,286,509 shall be [available for the purpose of providing financial assistance as described and] transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law 102–229. (Military Construction, Veterans Affairs, and Related Agencies Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 345–0300–0–1–705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and Expenses	33	35	39
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	35	35	39
1930 Total budgetary resources available	35	35	39
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	1
3010 New obligations, unexpired accounts	33	35	39
3020 Outlays (gross)	–33	–36	–38
3050 Unpaid obligations, end of year	2	1	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	1
3200 Obligated balance, end of year	2	1	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	35	35	39
Outlays, gross:			
4010 Outlays from new discretionary authority	31	32	35
4011 Outlays from discretionary balances	2	4	3
4020 Outlays, gross (total)	33	36	38
4180 Budget authority, net (total)	35	35	39
4190 Outlays, net (total)	33	36	38

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act (Public Law 100–687), Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251–7299. The Court is located in Washington, D.C., but as a national court may sit anywhere in the United States.

The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. Per Public Law 114–315, the Congress temporarily authorized expansion of the Court to nine active judges. Judges are appointed by the President, and with the advice and consent of the Senate, for 15-year terms. The Court is currently staffed with seven active judges, since two judges retired in December 2019. Upon retirement, a judge may choose to be recall eligible, and thus willing to be recalled to service by the chief judge. Currently eight of the Court's ten retired judges are recall eligible and are recalled to service on a rotational basis. Recall-eligible judges may elect full retirement at any time.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to Department of Veterans Affairs benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeals. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those decisions as appropriate. Additionally, the Court has class action authority, has jurisdiction under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and may act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act. Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if *certiorari* is granted, by the Supreme Court of the United States. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

In 1992, the Congress authorized the Court to transfer funds from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider LSC's budget request separately from the Court's budget request, although both are submitted together.

Object Classification (in millions of dollars)

Identification code 345-0300-0-1-705	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	14	16	17
12.1 Civilian personnel benefits	8	8	10
23.1 Rental payments to GSA	4	4	2
25.2 Other services from non-Federal sources	2	2	5
25.3 Other goods and services from Federal sources	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	3	3	3
99.9 Total new obligations, unexpired accounts	33	35	39

Employment Summary

Identification code 345-0300-0-1-705	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	118	134	137

Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 345-8290-0-7-705	2019 actual	2020 est.	2021 est.
0100 Balance, start of year		1	2
Receipts:			
Current law:			
1140 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE	1	1	1
1140 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund	4	3	4
1199 Total current law receipts	5	4	5
1999 Total receipts	5	4	5
2000 Total: Balances and receipts	5	5	7
Appropriations:			
Current law:			
2101 Court of Appeals for Veterans Claims Retirement Fund	-4	-3	-4
5099 Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 345-8290-0-7-705	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Court of Appeals for Veterans Claims Retirement Fund	3	3	4
0900 Total new obligations, unexpired accounts (object class 42.0)	3	3	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	47	48	48
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	3	4
1930 Total budgetary resources available	51	51	52
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	48	48	48
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	1
3010 New obligations, unexpired accounts	3	3	4
3020 Outlays (gross)	-2	-3	-4
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	4	3	4
Outlays, gross:			
4100 Outlays from new mandatory authority	2	3	4
4180 Budget authority, net (total)	4	3	4

4190 Outlays, net (total)	2	3	4
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Memorandum (non-add) entries:

5000 Total investments, SOY: Federal securities: Par value	46	45	49
5001 Total investments, EOY: Federal securities: Par value	45	49	53

The United States Court of Appeals for Veterans Claims Retirement Fund (Retirement Fund or Fund), established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1-percent of their salaries to cover creditable service for retired pay purposes and 2.2-percent of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to the Retirement Fund from the Court's annual appropriation. The Court's contribution to the Fund is estimated annually by an actuarial firm retained by the Court. The Fund is invested solely in government securities.

UNITED STATES ENRICHMENT CORPORATION FUND

Federal Funds

UNITED STATES ENRICHMENT CORPORATION FUND

The unavailable collections currently in the United States Enrichment Corporation Fund shall be transferred to and merged with the Uranium Enrichment Decontamination and Decommissioning Fund and shall be available only to the extent provided in advance in appropriations Acts.

Program and Financing (in millions of dollars)

Identification code 486-4054-0-3-271	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1702 Offsetting collections (previously unavailable)			1,695
1710 Spending authority from offsetting collections transferred to other accounts [089-5231]			-1,695
Spending authority from offsetting collections, mandatory:			
1800 Collected	25	25	
1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	-25	-25	
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	-25	-25	
4180 Budget authority, net (total)	-25	-25	
4190 Outlays, net (total)	-25	-25	
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,656	1,703	1,728
5001 Total investments, EOY: Federal securities: Par value	1,703	1,728	
5090 Unexpired unavailable balance, SOY: Offsetting collections	1,650	1,675	1,700
5092 Unexpired unavailable balance, EOY: Offsetting collections	1,675	1,700	5

UNITED STATES HOLOCAUST MEMORIAL MUSEUM

Federal Funds

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), \$60,388,000, of which \$715,000 shall remain available until September 30, [2022] 2023, for the Museum's equipment replacement program; and of which [\$2,000,000] \$3,000,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended. (Department of the Interior, Environment, and Related Agencies Appropriations Act, 2020.)

HOLOCAUST MEMORIAL MUSEUM—Continued

Program and Financing (in millions of dollars)

Identification code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Holocaust Memorial Museum	55	60	60
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	17	17
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	59	60	60
1900 Budget authority (total)	59	60	60
1930 Total budgetary resources available	72	77	77
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	17	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	19	18
3010 New obligations, unexpired accounts	55	60	60
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-53	-61	-59
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	19	18	19
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	19	18
3200 Obligated balance, end of year	19	18	19
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	59	60	60
Outlays, gross:			
4010 Outlays from new discretionary authority	41	46	45
4011 Outlays from discretionary balances	12	15	14
4020 Outlays, gross (total)	53	61	59
4180 Budget authority, net (total)	59	60	60
4190 Outlays, net (total)	53	61	59

The Museum is a living memorial to the victims of the Holocaust. As a public-private partnership, it teaches the history and lessons of the Holocaust—lessons about the fragility of societies, the nature of hate and the consequences of indifference.

Object Classification (in millions of dollars)

Identification code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	16	16
12.1 Civilian personnel benefits	5	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	2
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	9	10	9
25.4 Operation and maintenance of facilities	16	17	17
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	3	3
99.9 Total new obligations, unexpired accounts	55	60	60

Employment Summary

Identification code 456-3300-0-1-503	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	170	169	167

UNITED STATES INSTITUTE OF PEACE

Federal Funds

UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act (22 U.S.C. 4601 et seq.), **[\$45,000,000]** \$15,740,000, to remain available until September 30, **[2021]** 2022,

which shall not be used for construction activities: *Provided, That the United States Institute of Peace is authorized to accept and use donations to carry out the work of the United States Institute of Peace: Provided further, That such donations accepted by the United States Institute of Peace shall be available until expended: Provided further, That the United States Institute of Peace may not accept donations from any foreign government: Provided further, That the United States Institute of Peace shall ensure, to the maximum extent practicable, that each interagency agreement that it enters into provides for the full costs, including personnel costs, of the work associated with each agreement.*

(Department of State, Foreign Operations, and Related Programs Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 458-1300-0-1-153	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operating Expenses (Direct)	39	45	16
0801 Operating Expenses (Reimbursable)	30	27	35
0900 Total new obligations, unexpired accounts	69	72	51
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	53	37
1021 Recoveries of prior year unpaid obligations	4	1	1
1050 Unobligated balance (total)	5	54	38
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	39	45	16
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	10	1
1701 Change in uncollected payments, Federal sources	59		
1750 Spending auth from offsetting collections, disc (total)	78	10	1
1900 Budget authority (total)	117	55	17
1930 Total budgetary resources available	122	109	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	53	37	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	28	39
3010 New obligations, unexpired accounts	69	72	51
3011 Obligations ("upward adjustments"), expired accounts	4		
3020 Outlays (gross)	-64	-60	-34
3040 Recoveries of prior year unpaid obligations, unexpired	-4	-1	-1
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	28	39	55
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-45	-63	-63
3070 Change in uncollected pymts, Fed sources, unexpired	-59		
3071 Change in uncollected pymts, Fed sources, expired	41		
3090 Uncollected pymts, Fed sources, end of year	-63	-63	-63
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	-20	-35	-24
3200 Obligated balance, end of year	-35	-24	-8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	117	55	17
Outlays, gross:			
4010 Outlays from new discretionary authority	45	35	14
4011 Outlays from discretionary balances	19	25	20
4020 Outlays, gross (total)	64	60	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-28	-10	
4033 Non-Federal sources			-1
4040 Offsets against gross budget authority and outlays (total)	-28	-10	-1
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-59		
4052 Offsetting collections credited to expired accounts	9		
4060 Additional offsets against budget authority only (total)	-50		
4070 Budget authority, net (discretionary)	39	45	16
4080 Outlays, net (discretionary)	36	50	33
4180 Budget authority, net (total)	39	45	16
4190 Outlays, net (total)	36	50	33

Created by Congress in 1984, the United States Institute of Peace (USIP) is an independent, nonpartisan institution charged with increasing the nation's capacity to prevent, mitigate, and help resolve international conflict without violence. The Budget proposes to reduce Federal funding for USIP, given its status as an independent nonprofit organization outside the Federal Government, and provides \$16 million to support USIP's core operations and maintenance funding in FY 2021. The Budget assumes that USIP would need to compete for more funding through interagency agreements with other Federal agencies and private donations, rather than rely on its direct appropriation as its primary funding source.

Object Classification (in millions of dollars)

Identification code 458-1300-0-1-153	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	12	12	9
12.1 Civilian personnel benefits	3	5	3
21.0 Travel and transportation of persons	2	3	1
25.2 Other services from non-Federal sources	19	19	1
41.0 Grants, subsidies, and contributions	3	3	2
99.0 Direct obligations	39	42	16
99.0 Reimbursable obligations	30	30	35
99.9 Total new obligations, unexpired accounts	69	72	51

**UNITED STATES INTERAGENCY COUNCIL ON
HOMELESSNESS****Federal Funds****OPERATING EXPENSES**

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, \$3,800,000, to remain available until September 30, 2021. (Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 Operations	4	4	4
0900 Total new obligations, unexpired accounts	4	4	4
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1930 Total budgetary resources available	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	4	4	4
3020 Outlays (gross)	-4	-4	-4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	4	4
4011 Outlays from discretionary balances	1		
4020 Outlays, gross (total)	4	4	4
4180 Budget authority, net (total)	4	4	4
4190 Outlays, net (total)	4	4	4

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at

every level of government and with the private sector to prevent and end homelessness. The Budget proposes \$3.8 million for USICH.

Object Classification (in millions of dollars)

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Adjustment for rounding	2	2	2
99.9 Total new obligations, unexpired accounts	4	4	4

Employment Summary

Identification code 376-1300-0-1-808	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	18	18	18

VIETNAM EDUCATION FOUNDATION**Federal Funds****VIETNAM DEBT REPAYMENT FUND****Special and Trust Fund Receipts** (in millions of dollars)

Identification code 519-5365-0-2-154	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	1	5	5
Receipts:			
Current law:			
1140 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund	4		
2000 Total: Balances and receipts	5	5	5
5099 Balance, end of year	5	5	5

Program and Financing (in millions of dollars)

Identification code 519-5365-0-2-154	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
1930 Total budgetary resources available	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106-554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs. Beginning in 2015, and in each subsequent year through 2018, the remaining amounts deposited into the fund from USDA and USAID shall be available to support the establishment of an independent, not-for-profit academic institution in the Socialist Republic of Vietnam. In accordance with the legislation governing VEF's operations, VEF is due to sunset in 2018. This schedule reflects the spend-out of prior year funds.

FEDERALLY CREATED NON-FEDERAL ENTITIES

The majority of budgetary accounts are associated with departments or other entities that are clearly Federal agencies. In other cases, budgetary accounts reflect a measure of Governmental activity in the economy, though the activity may have no direct relationship with the United States Treasury. Federally created non-Federal entities may be in the Budget because they were created by Federal law, they have some measure of regulatory or other authority conferred to them by law, or because they serve a public good directed by the Government. The following accounts are each deemed to be budgetary and fulfill the goal of presenting a Budget that is comprehensive of the full range of Federal activities.

AFFORDABLE HOUSING PROGRAM

Federal Funds

AFFORDABLE HOUSING PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 530-5528-0-2-604	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			21
Receipts:			
Current law:			
1110 Contributions, Federal Home Loan Banks, Affordable Housing Program	352	352	352
2000 Total: Balances and receipts	352	352	373
Appropriations:			
Current law:			
2101 Affordable Housing Program	-352	-352	-352
2132 Affordable Housing Program		21	
2199 Total current law appropriations	-352	-331	-352
2999 Total appropriations	-352	-331	-352
5099 Balance, end of year		21	21

Program and Financing (in millions of dollars)

Identification code 530-5528-0-2-604	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Affordable Housing Program (Direct)	352	331	352
0900 Total new obligations, unexpired accounts (object class 41.0)	352	331	352
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	352	352	352
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced		-21	
1260 Appropriations, mandatory (total)	352	331	352
1930 Total budgetary resources available	352	331	352

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts	352	331	352
3020 Outlays (gross)	-352	-331	-352

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	352	331	352
Outlays, gross:			
4100 Outlays from new mandatory authority	352	331	352
4180 Budget authority, net (total)	352	331	352
4190 Outlays, net (total)	352	331	352

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to subsidize the cost of affordable homeownership and rental

housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

CORPORATION FOR TRAVEL PROMOTION

Federal Funds

TRAVEL PROMOTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 580-5585-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	412	470	640
Receipts:			
Current law:			
1110 Fees, Travel Promotion Fund	152	264	269
2000 Total: Balances and receipts	564	734	909
Appropriations:			
Current law:			
2101 Travel Promotion Fund	-100	-100	-100
2132 Travel Promotion Fund	6	6	
2199 Total current law appropriations	-94	-94	-100
2201 Proposed:			
Travel Promotion Fund			100
2999 Total appropriations	-94	-94	
5099 Balance, end of year	470	640	909

Program and Financing (in millions of dollars)

Identification code 580-5585-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Travel Promotion Fund	94	94	100
0900 Total new obligations, unexpired accounts (object class 41.0)	94	94	100
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-6	-6	
1260 Appropriations, mandatory (total)	94	94	100
1930 Total budgetary resources available	94	94	100

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3010 New obligations, unexpired accounts	94	94	100
3020 Outlays (gross)	-93	-95	-100
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
3200 Obligated balance, end of year	1		

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	94	94	100
Outlays, gross:			
4100 Outlays from new mandatory authority	93	94	100
4101 Outlays from mandatory balances		1	
4110 Outlays, gross (total)	93	95	100
4180 Budget authority, net (total)	94	94	100
4190 Outlays, net (total)	93	95	100

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	94	94	100
Outlays	93	95	100
Legislative proposal, subject to PAYGO:			
Budget Authority			-100
Outlays			-100
Total:			
Budget Authority	94	94	

Outlays	93	95
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The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private partnership, funded through a combination of private sector contributions and Federal matching funds, works closely with the travel industry to encourage increased travel and tourism in the United States.

A surcharge to the Electronic System for Traveler Authorization (ESTA) fee that travelers from visa waiver countries pay before arriving in the United States provides Brand USA's Federal matching funds. Authorization to collect the surcharge under the Travel Promotion Act was set to expire September 30, 2020, but was extended to September 30, 2027, in the Brand USA Extension Act (part of the Further Consolidated Appropriations Act, 2020).

TRAVEL PROMOTION FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 580-5585-4-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Travel Promotion Fund			-100
0900 Total new obligations, unexpired accounts (object class 41.0)			-100
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)			-100
1930 Total budgetary resources available			-100
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			-100
3020 Outlays (gross)			100
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-100
Outlays, gross:			
4100 Outlays from new mandatory authority			-100
4180 Budget authority, net (total)			-100
4190 Outlays, net (total)			-100

The Budget proposes to eliminate funding for the Corporation for Travel Promotion (also known as Brand USA) as part of the Administration's plans to move the Nation toward fiscal responsibility and to redefine the proper role of the Federal Government. The Budget permanently extends and redirects the Electronic System for Travel Authorization (ESTA) surcharge currently deposited in the Travel Promotion Fund to the U.S. Treasury to reduce the deficit.

ELECTRIC RELIABILITY ORGANIZATION

Federal Funds

ELECTRIC RELIABILITY ORGANIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 531-5522-0-2-276	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	7	7	7
Receipts:			
Current law:			
1110 Fees, Electric Reliability Organization	100	100	100
2000 Total: Balances and receipts	107	107	107
Appropriations:			
Current law:			
2101 Electric Reliability Organization	-100	-100	-100

5099 Balance, end of year	7	7	7
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Program and Financing (in millions of dollars)

Identification code 531-5522-0-2-276	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Electric Reliability Organization (Direct)	100	100	100
0900 Total new obligations, unexpired accounts (object class 25.2)	100	100	100
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1930 Total budgetary resources available	100	100	100
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	100	100	100
3020 Outlays (gross)	-100	-100	-100
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	100	100	100
4180 Budget authority, net (total)	100	100	100
4190 Outlays, net (total)	100	100	100

The Energy Policy Act of 2005 (P.L. 109-58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

PROGRAM EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
0100 Balance, start of year			
Receipts:			
Current law:			
1130 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board	361	386	434
2000 Total: Balances and receipts	361	386	434
Appropriations:			
Current law:			
2101 Program Expenses	-361	-386	-434
5099 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	350	442	434
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	56	
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	361	386	434

PROGRAM EXPENSES—Continued
Program and Financing—Continued

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
1930 Total budgetary resources available	406	442	434
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			56
3010 New obligations, unexpired accounts	350	442	434
3020 Outlays (gross)	-350	-386	-434
3050 Unpaid obligations, end of year		56	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			56
3200 Obligated balance, end of year		56	56
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	361	386	434
Outlays, gross:			
4100 Outlays from new mandatory authority	324	386	434
4101 Outlays from mandatory balances	26		
4110 Outlays, gross (total)	350	386	434
4180 Budget authority, net (total)	361	386	434
4190 Outlays, net (total)	350	386	434

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are funded first from forfeitures and loan fees and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal Budget. Information on the financial status and activities of the Fund follows this account.

Object Classification (in millions of dollars)

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	35	40	40
12.1 Civilian personnel benefits	11	14	14
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	10	11	11
23.3 Communications, utilities, and miscellaneous charges	16	18	16
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	17	26	19
25.2 Other services from non-Federal sources	239	310	302
25.3 Other goods and services from Federal sources	1	3	2
31.0 Equipment	19	17	27
99.9 Total new obligations, unexpired accounts	350	442	434

Employment Summary

Identification code 026-5290-0-2-602	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	281	322	322

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. Civilian employees covered by the Federal Employees Retirement System (or equivalent retirement systems) receive an automatic agency 1 percent contribution and matching contributions in accordance with the formulas prescribed by law. Beginning in January 2018, all new members of the uniformed services, and those members of the uniformed services with less

than 12 years of service who have made an affirmative election, receive an automatic agency one percent contribution and matching contributions in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

	(in millions of dollars)		
	2019 actual	2020 est.	2021 est.
Thrift Savings Fund investment balance, start of year	603,958	601,030	624,051
Receipts during the year:			
Employee contributions	22,852	23,538	24,244
Contributions on behalf of employees ¹	10,078	10,380	10,692
Earnings and adjustments ²	-12,020	13,656	14,066
Total receipts	20,910	47,574	49,002
Outlays during the year:			
Withdrawals	23,061	23,753	24,465
Loans to employees, net of repayments	477	491	506
Administrative expenses	300	309	318
Total cash outlays	23,838	24,553	25,289
Thrift Savings Fund investment balance, end of year ³	601,030	624,051	647,764
Notes:	2019 actual	2020 est.	2021 est.
¹ 2018 Employer contributions included:			
Automatic contributions for FERS employees:	2,279	2,347	2,418
Matching contributions for FERS employees:	7,799	8,033	8,274
	10,078	10,380	10,692
² 2019 Earnings included:			
Return on investment in Government Securities	6,355	6,546	6,742
Return on investment in non-government instruments	-18,600	6,878	7,084
Interest on loans to employees	216	223	230
Agency payments for lost earnings	9	9	10
³ Investment Balances at 9/30/2019 were:			
U.S. Government Securities Investment Fund	243,357		
TSP F Fund - U.S. Debt Index Fund	32,977		
TSP C Fund - Equity Index Fund	207,368		
TSP S Fund - Extended Equity Index Fund	67,821		
TSP I Fund - EAFE Equity Index Fund	49,507		

MEDICAL CENTER RESEARCH ORGANIZATIONS

Federal Funds

MEDICAL CENTER RESEARCH ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 185-4026-0-3-703	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Operating expenses	238	246	253
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		4	4
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	242	246	253
1930 Total budgetary resources available	242	250	257
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		238	12

3010	New obligations, unexpired accounts	238	246	253
3020	Outlays (gross)		-472	-253
3050	Unpaid obligations, end of year	238	12	12
Memorandum (non-add) entries:				
3100	Obligated balance, start of year		238	12
3200	Obligated balance, end of year	238	12	12
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	242	246	253
Outlays, gross:				
4100	Outlays from new mandatory authority		246	253
4101	Outlays from mandatory balances		226	
4110	Outlays, gross (total)		472	253
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-242	-246	-253
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-242	226	

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and non-Federal sources. No appropriation is required to support these activities.

Object Classification (in millions of dollars)

Identification code 185-4026-0-3-703		2019 actual	2020 est.	2021 est.
Reimbursable obligations:				
21.0	Travel and transportation of persons	10	10	10
25.2	Other services from non-Federal sources	202	210	217
26.0	Supplies and materials	18	18	18
31.0	Equipment	8	8	8
99.9	Total new obligations, unexpired accounts	238	246	253

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Federal Funds

NATIONAL ASSOCIATION OF REGISTERED AGENTS AND BROKERS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 543-5743-0-2-376		2019 actual	2020 est.	2021 est.
0100	Balance, start of year			
Receipts:				
Current law:				
1110	Membership Fees, NARAB		2	2
2000	Total: Balances and receipts		2	2
Appropriations:				
Current law:				
2101	National Association of Registered Agents and Brokers		-2	-2
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 543-5743-0-2-376		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Administrative support		1	1
0002	Advisory and assistant services		1	1
0900	Total new obligations, unexpired accounts		2	2
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)		2	2
1930	Total budgetary resources available		2	2
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts		2	2

3020	Outlays (gross)		-2	-2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross		2	2
Outlays, gross:				
4100	Outlays from new mandatory authority		2	2
4180	Budget authority, net (total)		2	2
4190	Outlays, net (total)		2	2

Object Classification (in millions of dollars)

Identification code 543-5743-0-2-376		2019 actual	2020 est.	2021 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent		1	1
25.1	Advisory and assistance services		1	1
99.9	Total new obligations, unexpired accounts		2	2

Employment Summary

Identification code 543-5743-0-2-376		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		7	7

NATIONAL OILHEAT RESEARCH ALLIANCE

Federal Funds

NATIONAL OILHEAT RESEARCH ALLIANCE

Special and Trust Fund Receipts (in millions of dollars)

Identification code 544-5643-0-2-276		2019 actual	2020 est.	2021 est.
0100	Balance, start of year			2
Receipts:				
Current law:				
1110	Fees, National Oilheat Research Alliance	7	9	9
2000	Total: Balances and receipts	7	9	11
Appropriations:				
Current law:				
2101	National Oilheat Research Alliance	-7	-7	-7
5099	Balance, end of year		2	4

Program and Financing (in millions of dollars)

Identification code 544-5643-0-2-276		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Direct program activity	7	7	7
0900	Total new obligations, unexpired accounts (object class 25.2)	7	7	7
Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	7	7	7
1930	Total budgetary resources available	7	7	7

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts	7	7	7
3020	Outlays (gross)	-7	-7	-7

Budget authority and outlays, net:

Mandatory:				
4090	Budget authority, gross	7	7	7
Outlays, gross:				
4100	Outlays from new mandatory authority	7	7	7
4180	Budget authority, net (total)	7	7	7
4190	Outlays, net (total)	7	7	7

The National Oilheat Research Alliance (NORA) was first authorized by The National Oilheat Research Alliance Act of 2000, as amended in 2014 (P.L. 113-79), and reauthorized by the Agriculture Improvement Act of 2018 (P.L. 115-334) to develop programs and projects and enter

NATIONAL OILHEAT RESEARCH ALLIANCE—Continued

into contracts or other agreements to enhance consumer and employee safety and training; to provide for research, development, and demonstration of clean and efficient oilheat fuel utilization equipment; and to educate consumers. NORA is funded via statutorily-mandated fees of \$0.002 on every gallon of heating oil sold, collected at the wholesale level. Since NORA does not report budget data to Treasury, NORA funding is based on estimates.

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

Federal Funds

PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

Special and Trust Fund Receipts (in millions of dollars)

Identification code 526-5376-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	25	22	21
Receipts:			
Current law:			
1110 Accounting Support Fees, Public Company Accounting Oversight Board	263	270	280
1120 Civil Monetary Penalties, Public Company Accounting Oversight Board		3	3
1130 Interest on Investments	4	3	3
1199 Total current law receipts	267	276	286
1999 Total receipts	267	276	286
2000 Total: Balances and receipts	292	298	307
Appropriations:			
Current law:			
2101 Public Company Accounting Oversight Board	-2	-3	-3
2101 Public Company Accounting Oversight Board	-268	-274	-267
2103 Public Company Accounting Oversight Board	-17	-17	-17
2132 Public Company Accounting Oversight Board	17	17	
2199 Total current law appropriations	-270	-277	-287
2999 Total appropriations	-270	-277	-287
5099 Balance, end of year	22	21	20

Program and Financing (in millions of dollars)

Identification code 526-5376-0-2-376	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Accounting Oversight	266	286	284
0002 Accounting Scholarship Program	2	3	
0900 Total new obligations, unexpired accounts (object class 25.1)	268	289	284
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	136	138	135
1001 Discretionary unobligated balance brought fwd, Oct 1	4	4	
1020 Adjustment of unobligated bal brought forward, Oct 1 (Error in PY Gross Outlays)		9	
1050 Unobligated balance (total)	136	147	135
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust) (Civil Money Penalties)	2	3	3
1140 Capital transfer of appropriations to general fund (Civil Money Penalties)			-8
1160 Appropriation, discretionary (total)	2	3	-5
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	268	274	267
1203 Appropriation (previously unavailable)(special or trust)	17	17	17
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-17	-17	
1260 Appropriations, mandatory (total)	268	274	284
1900 Budget authority (total)	270	277	279
1930 Total budgetary resources available	406	424	414
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	138	135	130

Change in obligated balance:

	2019	2020	2021
Unpaid obligations:			
3010 New obligations, unexpired accounts	268	289	284
3020 Outlays (gross)	-268	-289	-284

Budget authority and outlays, net:

	2019	2020	2021
Discretionary:			
4000 Budget authority, gross	2	3	-5
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	
4011 Outlays from discretionary balances			1
4020 Outlays, gross (total)	2	2	1
Mandatory:			
4090 Budget authority, gross	268	274	284
Outlays, gross:			
4100 Outlays from new mandatory authority	266	274	283
4101 Outlays from mandatory balances		13	
4110 Outlays, gross (total)	266	287	283
4180 Budget authority, net (total)	270	277	279
4190 Outlays, net (total)	268	289	284

Memorandum (non-add) entries:

	2019	2020	2021
5000 Total investments, SOY: Federal securities: Par value	117	102	99
5001 Total investments, EOY: Federal securities: Par value	102	99	99

Note: Because the Public Company Accounting Oversight Board (PCAOB) does not report budgetary data to Treasury, amounts shown above were derived from the PCAOB's financial data, which is based on a calendar year.

The Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107-204), as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203), established the PCAOB to oversee the audits and auditors of both public companies that are subject to Federal securities laws and broker-dealers registered with the Securities and Exchange Commission (SEC) in order to protect the interests of investors and further the public interest in the preparation of informative, accurate, and independent audit reports.

Funding for the PCAOB comes from registration and annual fees paid by public accounting firms and accounting support fees paid by public companies and SEC-registered broker-dealers. The Act designated the Commission to oversee the PCAOB and specifies that the PCAOB's budget and the accounting support fee be subject to approval by the Commission.

Under the Act, monetary penalties collected by the PCAOB shall be used to fund a merit scholarship program, subject to availability in an appropriations Act. The 2021 Budget proposes a general provision in Title VI to transfer unobligated balances previously made available for this program to the general fund of the Treasury.

The Budget proposes to consolidate the authorities and responsibilities of the PCAOB into the SEC beginning in 2022. Consolidating these functions within the SEC will reduce regulatory ambiguity and duplicative statutory authorities. The SEC is also subject to discretionary appropriations, which ensures oversight and constraint over fees assessed on the industry.

SECURITIES INVESTOR PROTECTION CORPORATION

Federal Funds

SECURITIES INVESTOR PROTECTION CORPORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 576-5600-0-2-376	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	3,175	3,398	3,573
Receipts:			
Current law:			
1110 Assessments, SIPC	301	300	164
1130 Earnings on Investments, SIPC	73	68	73
1199 Total current law receipts	374	368	237
1999 Total receipts	374	368	237
2000 Total: Balances and receipts	3,549	3,766	3,810
Appropriations:			
Current law:			
2101 Securities Investor Protection Corporation	-148	-191	-185
2103 Securities Investor Protection Corporation	-15	-12	-10

2132	Securities Investor Protection Corporation	12	10
2199	Total current law appropriations	-151	-193	-195
2999	Total appropriations	-151	-193	-195
5099	Balance, end of year	3,398	3,573	3,615

Program and Financing (in millions of dollars)

Identification code 576-5600-0-2-376	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Program Management	17	19	19
0002	Customer Claims	134	174	176
0900	Total new obligations, unexpired accounts (object class 25.1)	151	193	195

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	148	191	185
1203	Appropriation (previously unavailable)(special or trust)	15	12	10
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-12	-10
1260	Appropriations, mandatory (total)	151	193	195
1930	Total budgetary resources available	151	193	195

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	151	193	195
3020	Outlays (gross)	-151	-193	-195

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	151	193	195
Outlays, gross:				
4100	Outlays from new mandatory authority	151	193	195
4180	Budget authority, net (total)	151	193	195
4190	Outlays, net (total)	151	193	195

Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	3,150	3,380	3,574
5001	Total investments, EOY: Federal securities: Par value	3,380	3,574	3,619

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, amounts shown above were derived from SIPC's financial data, which is based on a calendar year. Starting with the 2020 Budget, earnings on investments are presented for all three years using an unamortized cost rather than the market value, to comply with OMB Circular A-11 requirements.

SIPC was created by the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to protect customers against loss resulting from broker-dealer failure and, thereby, promote investor confidence in the Nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC's funding is derived entirely from assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of brokerage firms in SIPA liquidation or for other purposes under the Act. SIPC has not accessed these loans to date and the Budget does not project that SIPC will require use of these loans over the next 10 years.

STANDARD SETTING BODY*Federal Funds*

PAYMENT TO STANDARD SETTING BODY

Special and Trust Fund Receipts (in millions of dollars)

Identification code 527-5377-0-2-376	2019 actual	2020 est.	2021 est.
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0100	Balance, start of year	2	2	2
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Receipts:				
Current law:				
1110	Accounting Support Fees, Standard Setting Body	29	30	31
2000	Total: Balances and receipts	31	32	33
Appropriations:				
Current law:				
2101	Payment to Standard Setting Body	-29	-30	-29
2103	Payment to Standard Setting Body	-2	-2	-2
2132	Payment to Standard Setting Body	2	2
2199	Total current law appropriations	-29	-30	-31
2999	Total appropriations	-29	-30	-31
5099	Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 527-5377-0-2-376	2019 actual	2020 est.	2021 est.
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Obligations by program activity:				
0001	Advisory and assistance services	29	30	31
0900	Total new obligations, unexpired accounts (object class 25.1)	29	30	31

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	29	30	29
1203	Appropriation (previously unavailable)(special or trust)	2	2	2
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-2	-2
1260	Appropriations, mandatory (total)	29	30	31
1930	Total budgetary resources available	29	30	31

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	29	30	31
3020	Outlays (gross)	-29	-30	-31

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	29	30	31
Outlays, gross:				
4100	Outlays from new mandatory authority	29	30	31
4180	Budget authority, net (total)	29	30	31
4190	Outlays, net (total)	29	30	31

Note: Because the standard setting body does not provide budgetary data to Treasury, amounts shown above were derived from the standard setting body's financial data, which is based on a calendar year.

The Financial Accounting Standards Board (FASB) is an independent, private-sector organization organized in 1973 within the Financial Accounting Foundation (FAF), which is an independent, private-sector, not-for-profit corporation. The FASB consists of a seven-member board, whose members are appointed by the FAF. The FASB was originally designated by the Securities and Exchange Commission (Commission) as the authoritative standard setter for purposes of the Federal securities laws in 1973. In April 2003, the Commission reaffirmed the status of the FASB as a designated private-sector standard setting body pursuant to the Sarbanes-Oxley Act of 2002 (the Act) (P.L. 107-204), stating that the FASB's financial accounting and reporting standards are recognized as "generally accepted" for purposes of the Federal securities laws.

The Act authorizes funding for the standard setting body to be derived from an accounting support fee assessed on public companies, although the FAF has, on a voluntary basis, partially offset the fees that could be assessed pursuant to the Act by payments derived from publication sales and licensing fees. Prior to the Act, the FASB was funded by voluntary contributions from public companies, public accounting firms, and other stakeholders. The standard setting body's accounting support fee is subject to review by the Commission.

UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 476-8295-0-7-551	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	52	51	50
Receipts:			
Current law:			
1110 Premiums, Combined Fund and 1992 Plan, UMWA	17	11	10
1140 Transfers from Abandoned Mine Reclamation Fund	54	37	31
1140 Federal Payment to United Mine Workers of America		1,601	329
1140 Federal Payment to United Mine Workers of America	225	325	376
1199 Total current law receipts	296	1,974	746
1999 Total receipts	296	1,974	746
2000 Total: Balances and receipts	348	2,025	796
Appropriations:			
Current law:			
2101 United Mine Workers of America 1992 Benefit Plan	-45	-50	-62
2101 United Mine Workers of America Combined Benefit Fund	-59	-62	-59
2101 United Mine Workers of America 1993 Benefit Plan	-193	-262	-296
2101 United Mine Workers of America Pension Funds		-1,601	-329
2199 Total current law appropriations	-297	-1,975	-746
2999 Total appropriations	-297	-1,975	-746
5099 Balance, end of year	51	50	50

Program and Financing (in millions of dollars)

Identification code 476-8295-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United Mine Workers of America Combined Benefit Fund	59	62	59
0900 Total new obligations, unexpired accounts (object class 42.0)	59	62	59
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	59	62	59
1930 Total budgetary resources available	59	62	59
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	59	62	59
3020 Outlays (gross)	-59	-62	-59
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	59	62	59
Outlays, gross:			
4100 Outlays from new mandatory authority	59	62	59
4180 Budget authority, net (total)	59	62	59
4190 Outlays, net (total)	59	62	59

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from the United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 476-8260-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United Mine Workers of America 1992 Benefit Plan	45	50	62
0900 Total new obligations, unexpired accounts (object class 42.0)	45	50	62
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	45	50	62
1930 Total budgetary resources available	45	50	62
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	45	50	62
3020 Outlays (gross)	-45	-50	-62
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	45	50	62
Outlays, gross:			
4100 Outlays from new mandatory authority	45	50	62
4180 Budget authority, net (total)	45	50	62
4190 Outlays, net (total)	45	50	62

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 476-8535-0-7-551	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 United Mine Workers of America 1993 Benefit Plan	193	262	296
0900 Total new obligations, unexpired accounts (object class 42.0)	193	262	296
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	193	262	296
1930 Total budgetary resources available	193	262	296
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	193	262	296
3020 Outlays (gross)	-193	-262	-296
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	193	262	296
Outlays, gross:			
4100 Outlays from new mandatory authority	193	262	296
4180 Budget authority, net (total)	193	262	296
4190 Outlays, net (total)	193	262	296

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United

Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA PENSION FUNDS

Program and Financing (in millions of dollars)

Identification code 476-8553-0-7-601	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		1,601	329
0900 Total new obligations, unexpired accounts (object class 42.0)		1,601	329
Budgetary resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)		1,601	329

1930 Total budgetary resources available	1,601	329
Change in obligated balance:		
Unpaid obligations:		
3010 New obligations, unexpired accounts	1,601	329
3020 Outlays (gross)	-1,601	-329
Budget authority and outlays, net:		
Mandatory:		
4090 Budget authority, gross	1,601	329
Outlays, gross:		
4100 Outlays from new mandatory authority	1,601	329
4180 Budget authority, net (total)	1,601	329
4190 Outlays, net (total)	1,601	329

The 1974 United Mine Workers of America Pension Plan provides pensions to eligible mine workers who retire, to those who become totally disabled as a result of mine accidents, and to the eligible surviving spouses of mine workers. The Bipartisan Miners Act of 2019 (Division M of Public Law 116-94), authorizes mandatory Treasury payments to the 1974 United Mine Workers of America Pension Plan, subject to certain limitations, until the Plans funded percentage reaches 100 percent.

AMENDMENTS TO AND REVISIONS IN BUDGET AUTHORITY FOR 2020

STATEMENT ON CHANGES

(Between the Transmittal of the 2020 and 2021 Budgets)

A statement of all amendments to, or revisions in, budget authority requested between transmittal of the 2020 Budget and the 2021 Budget is presented below. This statement is included in the Budget in accordance with the Congressional Budget Act of 1974 (31 U.S.C. 1105(d)).

The modifications to proposals for 2020 budget authority that were made through the course of the past year took the form of Presidential amendments to the budget transmitted on March 11, 2019, and other requests.

These modifications were printed in the documents of the House of Representatives that are identified on the following listing.

Transmitted to the Congress on	Agencies affected	Printed as
May 13, 2019	Department of Defense Department of Education	Not available

	Department of Health and Human Services Department of Homeland Security Department of Labor Department of the State and Other International Programs Department of Transportation Corps of Engineers - Civil Works Environmental Protection Agency National Aeronautics and Space Administration	
September 27, 2019	Department of Agriculture	H. Doc. No. 116-70
September 27, 2019	Department of Defense Department of Homeland Security Department of State and Other International Programs U.S. Agency for International Development	H. Doc. No. 116-71
December 20, 2019	Department of Defense Department of Homeland Security Department of State and Other International Programs	H. Doc. No. 116-88
December 20, 2019	Department of Agriculture Department of Defense Department of Health and Human Services Department of Homeland Security Department of Veterans Affairs	H. Doc. No. 116-89

ADVANCE APPROPRIATIONS

An advance appropriation is one made to become available one year or more beyond the year for which the appropriations act is passed. Advance appropriations in 2021 appropriations acts will become available for programs in 2022 or beyond. Since these appropriations are not available until after 2021, the amounts will not be included in the 2021 totals, but will be reflected in the totals for the year for which they are requested.

The Congressional Budget Act of 1974 (31 U.S.C. 1105(a)(17)) requires inclusion in the budget of "information on estimates of appropriations for the fiscal year following the fiscal year for which the budget is submitted for grants, contracts, and other payments under each program for which there is an authorization of appropriations for that following fiscal year when the appropriations are authorized to be included in an appropriation law for the fiscal year before the fiscal year in which the appropriation is to be available for obligation." In fulfillment of this requirement, the accompanying table lists those accounts that have either received discretionary or mandatory advance appropriations since 2019 or will request, in 2021, advance appropriations for 2022 and beyond and cites the applicable authorizing statute.

For additional information on advance appropriations, please refer to the Budget Process chapter in the *Analytical Perspectives* volume.

Advance Appropriations by Agency in the 2021 Budget

(Budget authority in millions of dollars)

Agency/Program	Pre-cancellation, Pre-Transfer Enacted Levels			2022
	2019	2020	2021	Request
Discretionary One-year Advances:				
Department of Education (20 U.S.C. 1223):				
Improving Elementary and Secondary Education				12,523
Education for the Disadvantaged	10,841	10,841	10,841
Special Education	9,283	9,283	9,283	9,283
Career, Technical, and Adult Education	791	791	791	791
School Improvement Programs	1,681	1,681	1,681
Department of Housing and Urban Development (42 U.S.C. 1437 et seq.):				
Tenant-Based Rental Assistance	4,000	4,000	4,000	4,000
Project-Based Rental Assistance	400	400	400	400

Department of Labor:				
Training and Employment Services (29 U.S.C. 2801 et seq.)	1,772	1,772	1,772	1,712
Enacted Cancellation (Public Law 115-245)	-53
Proposed Cancellation of 2021 Enacted Advance	-60
Department of Veterans Affairs (Public Law 111-81):				
Medical Services	49,161	51,411	56,158	58,897
Medical Support and Compliance	7,239	7,239	7,914	8,403
Enacted Cancellations (Public Law 115-244)	-211
Medical Facilities	5,914	6,142	6,433	6,735
Medical Community Care	8,385	10,758	17,131	20,148
Discretionary Two-year Advances:				
Corporation for Public Broadcasting (47 U.S.C. 396) ¹	445	445	445	465
Proposed Cancellation of 2021 and 2022 Enacted Advances	-415	-437
Subtotal, Discretionary Advance Appropriations	99,648	104,763	116,374	122,920
Mandatory:				
Department of Health and Human Services:				
Grants to States for Medicaid (42 U.S.C. 1396-1)	134,848	137,932	139,903	148,732
Payments to States for Child Support Enforcement and Family Support (42 U.S.C. Ch. 7)	1,400	1,400	1,400	1,400
Payments for Foster Care and Permanency (Public Law 96-272)	2,700	2,800	3,000	3,000
Department of Labor:				
Special Benefits for Disabled Coal Miners (30 U.S.C. 921)	15	14	14	14
Department of Veterans Affairs (Public Law 113-235):²				
Compensation and Pensions	95,768	109,017	118,247	129,314
Readjustment Benefits	11,832	14,065	12,579	14,941
Veterans Insurance and Indemnities	109	111	129	137
Social Security Administration:				
Supplemental Security Income Program (42 U.S.C. 1381)	19,500	19,700	19,900	19,600
Total, Advance Appropriations	365,820	389,802	411,546	440,058

¹ Historically, the Corporation for Public Broadcasting is provided a two-year advance appropriation. The 2021 request does not include any advance appropriations for the Corporation in 2023.

² The 2022 amounts are net of the \$914 million in savings for Compensation and Pensions and \$6 million in savings for Readjustment Benefits that will result from enactment of authorizing legislation that is proposed as part of the 2021 Presidents Budget.

FINANCING VEHICLES AND THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE

This chapter contains descriptions of, and data on, financing vehicles and the Board of Governors of the Federal Reserve System (Board). The Financing Corporation functions as a financing vehicle for the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund. The Resolution Funding Corporation provided financing for the Resolution Trust Corporation (RTC) and is subject to the general oversight and direction of the Secretary of the Treasury.

The Board's transactions are not included in the Budget because of its unique status in the conduct of monetary policy. The Board provides data on its administrative budget, which is included here for information. Its budget is not subject to review by the President and is executed and presented here on a calendar-year basis. The previous year's data reflects the final budget, as approved by the Board.

The 2018 balance sheets for the Financing Corporation and Resolution Funding Corporation are as of December 31, 2018, and the 2019 balance sheets are as of September 30, 2019.

FINANCING CORPORATION

The Financing Corporation (FICO) is a mixed-ownership Government corporation, chartered by the Federal Home Loan Bank Board pursuant to the Federal Savings and Loan Insurance Corporation Recapitalization Act of 1987, as amended (the Act). FICO's sole purpose is to function as a financing vehicle for the FSLIC Resolution Fund, formerly the Federal Savings and Loan Insurance Corporation. Pursuant to the Act, FICO was authorized to issue debentures, bonds, and other obligations subject to limitations contained in the Act, the net proceeds of which were to be used solely to purchase capital certificates issued by the FSLIC Resolution Fund or to refund any previously issued obligations. The Resolution Trust Corporation Refinancing, Restructuring, and Improvement Act of 1991 terminated FICO's borrowing authority. FICO paid off its last long-term debt obligation on September 26, 2019, and on October 2, 2019, commenced the process of dissolution in accordance with relevant statutory requirements and the terms of a plan of dissolution approved by the Director of the Federal Housing Finance Agency (FHFA) on November 30, 2018.

The Act provided formulas pursuant to which the Federal Home Loan Banks (FHLBanks) made capital contributions to FICO. FICO used the proceeds received from the sales of such capital stock to purchase non-interest bearing securities for deposit in a segregated account as required by the Act. The non-interest bearing securities held in the segregated account were the primary source of repayment of the principal of FICO obligations. Securities in the segregated account were kept separate from other FICO accounts and funds, but were not specifically pledged as collateral for the payment of obligations. The primary source of payment of interest on the obligations was the receipt of assessments imposed on and collected from institutions' accounts, which are insured by the Federal Deposit Insurance Corporation's Deposit Insurance Fund.

Subject to the satisfaction of any claims and the payment of other administrative expenses upon FICO's dissolution, which is expected to occur in the first half of 2020, any surplus and remaining cash on hand of FICO is expected to be distributed to the FHLBanks, as FICO's sole stockholders, in proportion to their ownership in FICO's nonvoting capital stock. The receipt by the FHLBanks of any such distribution from FICO will be treated as a partial return of their prior capital contributions to FICO and credited to their unrestricted retained earnings. The FHLBanks do not expect any distribution from FICO to materially affect the FHLBanks' combined financial condition or combined results of operations.

Balance Sheet (in millions of dollars)

Identification code 920-4980-0-4-373	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1102 Segregated accounts investment, net	2,482
1801 Other Federal assets: Cash, cash equivalents	85	198
1999 Total assets	2,567	198
LIABILITIES:		
Non-Federal liabilities:		
2202 Interest payable	49
2203 Debt	2,320
2207 Other	36	2
2999 Total liabilities	2,405	2
NET POSITION:		
3100 FICO capital stock purchased by FHLBanks	680	680
3300 Cumulative results of operations	7,653	7,686
3300 FSLIC capital certificates	-8,170	-8,170
3999 Total net position	163	196
4999 Total liabilities and net position	2,568	198

RESOLUTION FUNDING CORPORATION

The Resolution Funding Corporation (REFCORP) is a mixed-ownership Government corporation established by Title V of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 or FIRREA (P.L. 101-73). The sole purpose of REFCORP was to provide financing for the Resolution Trust Corporation (RTC). Pursuant to FIRREA, REFCORP was authorized to issue debentures, bonds, and other obligations, subject to limitations contained in the Act and regulations established by the Thrift Depositor Protection Oversight Board. The proceeds of the debt (less any discount, plus any premium, net of issuance cost) were used solely to purchase nonredeemable capital certificates of RTC or to refund any previously issued obligations.

Until October 29, 1998, REFCORP was subject to the general oversight and direction of the Thrift Depositor Protection Oversight Board. At that time, the Oversight Board was abolished and its authority and duties were transferred to the Secretary of the Treasury. The day-to-day operations of REFCORP are under the management of a three-member Directorate composed of the Chief Executive Officer of the Office of Finance of the Federal Home Loan Banks and two members selected from among the presidents of the 11 Federal Home Loan Banks (FHLBs). Members of the Directorate serve without compensation, and REFCORP is not permitted to have any paid employees.

FIRREA, as amended, and the regulations adopted by the Thrift Depositor Protection Oversight Board and the Secretary of the Treasury required that FHLBs contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by REFCORP until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011. Since then, with the exception of funds derived from the sale of former RTC assets managed by the Federal Deposit Insurance Corporation's Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund, only the U.S. Treasury has paid interest on REFCORP's long-term obligations. For details, please see the Payment to the Resolution Funding Corporation account in the Department of the Treasury section of the Appendix volume of the Budget.

RESOLUTION FUNDING CORPORATION—Continued

Balance Sheet (in millions of dollars)

Identification code 920-4981-0-4-373	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1102 Principal fund account investment, net	21,809	23,119
1206 Non-Federal assets: Assessments receivable for interest expense	886	888
1999 Total assets	22,695	24,007
LIABILITIES:		
Non-Federal liabilities:		
2202 Accrued interest payable on long-term obligations	886	888
2203 Debt	30,055	30,053
2999 Total liabilities	30,941	30,941
NET POSITION:		
3100 Nonvoting capital stock issued to FHLBanks	2,513	2,513
3300 Cumulative results of operations	19,470	20,782
3300 RTC nonredeemable capital certificates	-31,286	-31,286
3300 Contributed capital - principal fund assessments	1,057	1,057
3999 Total net position	-8,246	-6,934
4999 Total liabilities and net position	22,695	24,007

BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

Program and Financing (in millions of dollars)

Identification code 920-4982-0-4-803	2018 actual	2019 est.	2020 est.
Obligations by program activity:			
0801 Monetary policy	173	199	199
0802 Public programs	18	22	22
0803 Supervision and regulation	178	190	190
0804 Reserve Bank oversight	36	35	35
0805 Currency operating expenses (Board incurred)	44	50	50
0806 Support and overhead	334	348	348
0809 Reimbursable program activities, subtotal	783	844	844
0810 Office of Inspector General operating expenses	33	35	29
0900 Total new obligations, unexpired accounts	816	879	873
Budgetary resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	816	879	873
1930 Total budgetary resources available	816	879	873
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	816	879	873
3020 Outlays (gross)	-816	-879	-873
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	816	879	873
4110 Outlays, gross (total)	816	879	873
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	-816	-879	-873
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

The Federal Reserve System operates under the provisions of the Federal Reserve Act of 1913, as amended, and other acts of the Congress. To carry out its responsibilities under this Act, the Board of Governors (Board) determines general monetary, credit, and operating policies for the System as a whole and formulates the rules and regulations necessary to carry out the purposes of the Act. The Board's principal duties consist of exerting

an influence over credit conditions and supervising the Federal Reserve banks and member banks.

Under the provisions of section 10 of the Federal Reserve Act, the Board levies upon the Federal Reserve banks, in proportion to their capital and surplus, an assessment sufficient to pay its estimated expenses. Also under the Act, the Board determines and prescribes the manner in which its obligations are incurred and its expenses paid. Funds derived from assessments are deposited in the Federal Reserve Bank of Richmond and the Act provides that such funds "not be construed to be Government funds or appropriated moneys." No Government appropriation is required to support operations of the Board.

The Board issues U.S. currency (Federal Reserve notes) and the Reserve Banks distribute currency through depository institutions. The Board incurs costs and assesses the Reserve Banks for these costs related to producing, issuing, and retiring Federal Reserve notes, as well as providing other services. The assessment is allocated based on each Reserve Bank's share of the number of notes comprising the System's net liability for Federal Reserve notes on December 31 of the prior year. The Board recognizes the assessment in the year in which the associated costs are incurred.

Since 2017, the Board has undertaken a greater role in the currency program, including in research and development and quality assurance. This expanded role is reflected in the reclassification of certain transactions compared to prior years. The information presented pertains to Board operations only, which includes these new programs; expenditures for the currency program costs specific to the work performed by Treasury, including production, issuance and retirement, are not included.

The Dodd-Frank Act (P.L. 111-203), enacted July 21, 2010, directed the Board to collect assessments, fees, or other charges equal to the total expenses the Board estimates are necessary or appropriate to carry out the supervisory and regulatory responsibilities of the Board for certain bank holding companies and savings and loan holding companies, as well as nonbank financial companies designated for Board supervision by the Financial Stability Oversight Council (FSOC). The Board does not recognize the supervision and regulation assessments as revenue nor does the Board use the collections to fund Board expenses; the funds are transferred to the Treasury. The Economic Growth, Regulatory Relief, and Consumer Protection Act (EGRRCPA, P.L. 115-174), enacted May 24, 2018, directed the Board to collect these assessments, fees, or other charges on such companies with total consolidated assets of \$100 billion (from \$50 billion in the Dodd-Frank Act), as well as to adjust amounts charged to reflect changes in supervisory and regulatory responsibilities resulting from EGRRCPA on firms with total consolidated assets less than \$250 billion.

Object Classification (in millions of dollars)

Identification code 920-4982-0-4-803	2018 actual	2019 est.	2020 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	456	483	487
12.1 Civilian personnel benefits	94	97	98
13.0 Benefits for former personnel	16	17	17
21.0 Travel and transportation of persons	16	16	17
22.0 Transportation of things	21	23	19
23.2 Rental payments to others	37	37	34
23.3 Communications, utilities, and miscellaneous charges	8	8	8
24.0 Printing and reproduction		1	1
25.1 Advisory and assistance services	80	107	107
25.2 Other services from non-Federal sources	55	54	49
25.4 Operation and maintenance of facilities	3	3	3
25.7 Operation and maintenance of equipment	4	5	5
26.0 Supplies and materials	1	1	1
31.0 Equipment	25	27	27
99.9 Total new obligations, unexpired accounts	816	879	873

GOVERNMENT-SPONSORED ENTERPRISES

This chapter contains descriptions of the data on the Government-sponsored enterprises listed below. These enterprises were established and chartered by the Federal Government for public policy purposes. They are not included in the Federal Budget because they are private companies, and their securities are not backed by the full faith and credit of the Federal Government. However, because of their public purpose, statements of financial condition are presented, to the extent such information is available, on a basis that is as consistent as practicable with the basis for the budget data of Government agencies.

—The Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation provide assistance to the secondary market for residential mortgages.

—The Federal Home Loan Banks assist thrift institutions, banks, insurance companies, and credit unions in providing financing for housing and community development.

—Institutions of the Farm Credit System, which include the Agricultural Credit Bank and Farm Credit Banks, provide financing to agriculture. They are regulated by the Farm Credit Administration.

—The Federal Agricultural Mortgage Corporation, also a Farm Credit System institution under the regulation of the Farm Credit Administration, provides a secondary market for agricultural real estate, rural housing loans, and certain rural utility loans, as well as for farm and business loans guaranteed by the U.S. Department of Agriculture.

FEDERAL NATIONAL MORTGAGE ASSOCIATION

PORTFOLIO PROGRAMS

Status of Direct Loans (in millions of dollars)

Identification code 915-4986-0-4-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	199,114	176,989	176,989
1251 Repayments: Net repayments and prepayments	-22,125
1290 Outstanding, end of year	176,989	176,989	176,989

The Federal National Mortgage Association (Fannie Mae) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Fannie Mae is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Fannie Mae engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Fannie Mae was established in 1938 to assist private markets in providing a steady supply of funds for housing. Fannie Mae was originally a subsidiary of the Reconstruction Finance Corporation and was permitted to purchase only loans insured by the Federal Housing Administration (FHA). In 1954, Fannie Mae was restructured as a mixed ownership (part government, part private) corporation. Legislation directed the sale of the Government's remaining interest in Fannie Mae in 1968 and completed the transformation to private shareholder ownership in 1970.

The Housing and Economic Recovery Act of 2008 reformed housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and providing temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. On September 6, 2008, FHFA placed Fannie Mae under Federal conservatorship in response to the GSEs' declining capital adequacy and to support the safety and soundness of the GSEs. On the following day, the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Fannie Mae to make investments of up

to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by Fannie Mae as of December 31, 2012, and under the terms of the PSPA, the cumulative funding commitment cap for Fannie Mae was set at \$233.7 billion. As of December 31, 2019, Fannie Mae had received \$119.8 billion under the PSPA, and had made a total of \$181.4 billion in dividend payments to Treasury on the senior preferred stock. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current Federal assistance being provided to Fannie Mae, including the PSPA, is shown on-budget. For additional discussion and analyses of Fannie Mae, please see the *Analytical Perspectives* volume of the Budget documents.

Balance Sheet (in millions of dollars)

Identification code 915-4986-0-4-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par	37,328	36,016
1201 Non-Federal assets: Investments in non-Federal securities, net	26,692	23,260
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities	131,599	118,076
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts	3,111,551	3,206,856
1604 Direct loans and interest receivable, net	3,243,150	3,324,932
1606 Acquired Property, net	2,722	2,452
1699 Value of assets related to direct loans	3,245,872	3,327,384
Other Federal assets:		
1801 Cash and other monetary assets	76,845	95,782
1901 Other assets	14,368	11,994
1999 Total assets	3,401,105	3,494,436
LIABILITIES:		
Non-Federal liabilities:		
2202 Interest payable	10,105	10,400
2203 Debt	246,682	213,522
2203 Debt - Consolidated Trusts	3,127,688	3,248,336
2207 Other	9,655	11,836
2999 Total liabilities	3,394,130	3,484,094
NET POSITION:		
3300 Senior Preferred Stock	120,836	120,836
3300 Private Equity	-113,861	-110,494
3300 Noncontrolling Interest
3999 Total net position	6,975	10,342
4999 Total liabilities and net position	3,401,105	3,494,436

MORTGAGE-BACKED SECURITIES

Status of Direct Loans (in millions of dollars)

Identification code 915-4987-0-4-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	3,097,227	3,218,309	3,218,309
1231 Disbursements: Direct loan disbursements	578,435
1251 Repayments: Repayments and prepayments	-457,353
1290 Outstanding, end of year	3,218,309	3,218,309	3,218,309

Prior to January 1, 2010, the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Fannie Mae were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Fannie Mae, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards imple-

MORTGAGE-BACKED SECURITIES—Continued

mented on January 1, 2010, require consolidation of many, but not all, of these securities in Fannie Mae's financial statements. For the purposes of the Budget they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Fannie Mae as "Issuances" and "Liquidations," respectively.

FEDERAL HOME LOAN MORTGAGE CORPORATION

PORTFOLIO PROGRAMS

Status of Direct Loans (in millions of dollars)

Identification code 913-4988-0-4-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	227,804	221,601	221,601
1251 Repayments: Repayments and prepayments	-6,203		
1290 Outstanding, end of year	221,601	221,601	221,601

The Federal Home Loan Mortgage Corporation (Freddie Mac) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Freddie Mac is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market, and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Freddie Mac engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Freddie Mac was established in 1970 under the Emergency Home Finance Act. The Congress chartered Freddie Mac to provide mortgage lenders with an organized national secondary market enabling them to manage their conventional mortgage portfolio more effectively and gain indirect access to a ready source of additional funds to meet new demands for mortgages. Freddie Mac serves as a conduit facilitating the flow of investment dollars from the capital markets to mortgage lenders, and ultimately, to homebuyers.

The Housing and Economic Recovery Act of 2008 reformed housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. On September 6, 2008, FHFA placed Freddie Mac under Federal conservatorship in response to the GSEs' declining capital adequacy and to support the safety and soundness of the GSEs. On the following day, the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Freddie Mac to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Based on the financial results reported by Freddie Mac as of December 31, 2012, and under the terms of the PSPA, the cumulative funding commitment cap for Freddie Mac was set at \$211.8 billion. As of December 31, 2019, Freddie Mac had received \$71.6 billion under the PSPA, and had made a total of \$119.7 billion in dividend payments to Treasury on the senior preferred stock. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Freddie Mac, including the PSPA, is shown on-budget. For additional discussion and analyses of Freddie Mac, please see the *Analytical Perspectives* volume of the Budget documents.

Balance Sheet (in millions of dollars)

Identification code 913-4988-0-4-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par	25,479	24,282
1201 Non-Federal assets: Investments in non-Federal securities, net	48,540	51,187
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Mortgage Loans and Mortgage Related Securities	138,103	140,557
1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts	1,814,776	1,905,633
1604 Direct loans and interest receivable, net	1,952,879	2,046,190
1606 Acquired property, net		
1699 Value of assets related to direct loans	1,952,879	2,046,190
Other Federal assets:		
1801 Cash and other monetary assets	28,683	42,803
1901 Other assets	7,876	5,784
1999 Total assets	2,063,457	2,170,246
LIABILITIES:		
Non-Federal liabilities:		
2202 Interest payable	6,418	6,688
2203 Debt	276,945	279,951
2203 Debt - Consolidated Trusts	1,765,045	1,869,308
2207 Other	9,490	7,625
2999 Total liabilities	2,057,898	2,163,572
NET POSITION:		
3300 Senior Preferred Stock	72,648	72,648
3300 Private Equity	-67,089	-65,974
3999 Total net position	5,559	6,674
4999 Total liabilities and net position	2,063,457	2,170,246

MORTGAGE-BACKED SECURITIES

Status of Direct Loans (in millions of dollars)

Identification code 914-4989-0-4-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2,045,101	2,190,219	2,190,219
1231 Disbursements: Direct loan disbursements	455,286		
1251 Repayments: Repayments and prepayments	-310,168		
1290 Outstanding, end of year	2,190,219	2,190,219	2,190,219

Prior to January 1, 2010, the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Freddie Mac were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Freddie Mac, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010, require consolidation of many, but not all, of these securities in Freddie Mac's financial statements. For the purposes of the Budget, they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Freddie Mac as "Issuances" and "Liquidations," respectively.

FEDERAL HOME LOAN BANK SYSTEM

FEDERAL HOME LOAN BANKS

Status of Direct Loans (in millions of dollars)

Identification code 913-4990-0-4-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	766,096	728,188	728,188
1231 Disbursements: Direct loan disbursements	9,351,696	9,351,696	9,351,696
1251 Repayments: Repayments and prepayments	-9,395,946	-9,351,696	-9,351,696
1264 Other adjustments, net (+ or -)	6,342		
1290 Outstanding, end of year	728,188	728,188	728,188

The Federal Home Loan Bank System is a Government-sponsored enterprise (GSE) in the housing finance market. The Federal Home Loan Banks (FHLBanks) were chartered by the Federal Home Loan Bank Board under the authority of the Federal Home Loan Bank Act of 1932 (Act). The 11 Federal Home Loan Banks are under the supervision of the Federal Housing Finance Agency (FHFA), established by the Congress in 2008. The common mission of FHLBanks is to facilitate the extension of credit through their members. To accomplish this mission, FHLBanks make loans, called "advances", and provide other credit products and services to their nearly 6,800 member commercial banks, savings associations, insurance companies, and credit unions. Advances and letters of credit must be fully secured by eligible collateral, and long-term advances may be made only for the purpose of providing funds for residential housing finance. However, "community financial institutions" may also use long-term advances to finance small businesses, small farms, and small agribusinesses. Specialized advance programs provide funds for community reinvestment and affordable housing programs. All regulated financial depositories, certified community development financial institutions, and insurance companies engaged in residential housing finance are eligible for membership, and must meet other requirements in the Act to obtain membership. Each FHLBank operates in a geographic district and together FHLBanks cover all of the United States, including the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. The principal source of funds for the lending operation is the sale of consolidated obligations to the public. The consolidated obligations are not guaranteed by the U.S. Government as to principal or interest. Other sources of lendable funds include members' deposits and capital. Funds not immediately needed for advances to members are invested. The capital stock of the Federal Home Loan Banks is owned entirely by the members. Initially the U.S. Government purchased stock of the banks in the amount of \$125 million. The banks had repurchased the Government's investment in full by mid-1951. The Act, as amended in 1989, requires each FHLBank to operate an Affordable Housing Program (AHP). Each FHLBank provides subsidies in the form of direct grants or below-market rate advances for members that use the funds for qualifying affordable housing projects. Each of the FHLBanks must set aside annually 10 percent of its previous year's net earnings, subject to an aggregate minimum of \$100 million, for the AHP. For additional discussion and analyses of the FHLBanks, please see the *Analytical Perspectives* volume of the Budget.

Balance Sheet (in millions of dollars)

Identification code 913-4990-0-4-371	2018 actual	2019 actual
ASSETS:		
Federal assets:		
Investments in U.S. securities:		
1102 Treasury securities, par	8,623	54,001
Non-Federal assets:		
1201 Investments in non-Federal securities, net	309,768	297,831
1206 Accounts receivable	2,009	2,034
1401 Net value of assets related to direct loans receivable: Direct loans receivable, gross	766,197	728,261
Other Federal assets:		
1801 Cash and other monetary assets	772	769
1803 Property, plant and equipment, net	308
1901 Other assets	1,700	3,111
1999 Total assets	1,089,377	1,086,007
LIABILITIES:		
2101 Federal liabilities: REFCORP and Affordable Housing Program	1,093	1,080
Non-Federal liabilities:		
2202 Interest payable	1,737	1,909
2203 Debt	1,016,403	1,010,890
2207 Deposit funds and other borrowing	8,249	10,787
2207 Other	4,313	5,712
2999 Total liabilities	1,031,795	1,030,378
NET POSITION:		
3100 Invested capital	57,582	55,629

4999 Total liabilities and net position	1,089,377	1,086,007
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FARM CREDIT SYSTEM

The Farm Credit System (System) is a Government-sponsored enterprise that provides privately financed credit to agricultural and rural communities. The major functional entities of the System are: (1) the Agricultural Credit Bank (ACB); (2) the Farm Credit Banks (FCBs); and (3) the direct-lender associations. Farmer Mac, which is also an institution of the System, is discussed separately below. The history and specific functions of the bank entities are discussed after the presentation of financial schedules for each bank entity. As part of the System, these entities are regulated and examined by the Farm Credit Administration (FCA), an independent Federal agency. The administrative costs of FCA are financed by assessments on System institutions, including Farmer Mac. System banks finance loans primarily from sales of bonds to the public and their own capital funds. The System bonds issued by the banks are not guaranteed by the U.S. Government as to either principal or interest. The bonds are backed by an insurance fund, administered by the Farm Credit System Insurance Corporation (FCSIC or Corporation), an independent Federal Government-controlled corporation that collects insurance premiums from member banks to fund insurance reserves. All of the FCSIC's operating expenses are also paid from the insurance premiums it receives from the System banks; as a result, the FCSIC does not require budgetary resources from the Federal Government.

AGRICULTURAL CREDIT BANK

Status of Direct Loans (in millions of dollars)

Identification code 912-4991-0-4-351	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	98,335	101,899	106,074
1231 Disbursements: Direct loan disbursements	421,179	434,964	449,201
1251 Repayments: Repayments and prepayments	-417,605	-430,747	-445,673
1263 Write-offs for default: Direct loans	-10	-42	-56
1290 Outstanding, end of year	101,899	106,074	109,546

CoBank, Agricultural Credit Bank (ACB), which is headquartered outside Denver, Colorado, provides funding to eligible cooperatives nationwide and Agricultural Credit Associations (ACAs) in its chartered district. CoBank, ACB, is the only Agricultural Credit Bank in the Farm Credit System. The ACB operates under statutory authority that combines the authorities of a Farm Credit Bank (FCB) and a Bank for Cooperatives. In exercising its FCB authority, CoBank's charter limits its lending to 21 ACAs located in the northeast, central, and western regions of the country. As an entity lending to cooperatives, CoBank is chartered to provide credit and related services nationwide to eligible cooperatives primarily engaged in farm supply, grain, marketing, and processing (including sugar, dairy, and ethanol). CoBank also makes loans to rural utilities, including telecommunications companies, and it provides international loans for the financing of agricultural exports.

Statement of Changes in Net Worth (in thousands of dollars)

	2018 act.	2019 act.	2020 est.	2021 est.
Beginning balance of net worth	8,897,129	9,058,428	10,447,308	11,065,960
Capital stock and participations issued	75,513	78,467	124,024	110,276
Capital stock and participations retired	31,164	44,027	35,549	33,956
Net income	1,328,251	1,054,550	1,038,528	1,043,706
Cash/Dividends/Patronage Distributions	-655,756	-566,874	-550,148	-563,843
Other, net	-555,545	866,764	41,797	17,092
Ending balance of net worth	9,058,428	10,447,308	11,065,960	11,639,235

Financing Activities (in thousands of dollars)

	2018 act.	2019 act.	2020 est.	2021 est.
Beginning balance of outstanding system obligations	112,319,658	115,909,963	122,493,375	123,101,653

AGRICULTURAL CREDIT BANK—Continued
Financing Activities—Continued

	2018 act.	2019 act.	2020 est.	2021 est.
Consolidated systemwide and other bank bonds issued	44,632,727	55,744,873	57,569,412	59,453,670
Consolidated systemwide and other bank bonds retired	35,721,693	48,978,751	56,949,226	55,397,663
Consolidated systemwide notes, net	-5,295,962	-167,077	0	0
Other (Net)	-24,767	-15,633	-11,908	-8,691
Ending balance of outstanding system obligations	115,909,963	122,493,375	123,101,653	127,148,969

Balance Sheet (in millions of dollars)

Identification code 912-4991-0-4-351	2018 actual	2019 actual
ASSETS:		
Non-Federal assets:		
1201 Cash and investment securities	28,969	33,318
1206 Accrued interest receivable on loans	432	452
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross	98,335	101,898
1603 Allowance for estimated uncollectible loans and interest (-)	-586	-621
1699 Value of assets related to direct loans	97,749	101,277
1803 Other Federal assets: Property, plant and equipment, net	854	1,323
1999 Total assets	128,004	136,370
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	1,357	1,789
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds	115,910	122,493
2201 Notes payable and other interest-bearing liabilities	1,262	1,194
2202 Accrued interest payable	416	447
2999 Total liabilities	118,945	125,923
NET POSITION:		
3300 Cumulative results of operations	9,059	10,447
4999 Total liabilities and net position	128,004	136,370

FARM CREDIT BANKS

Status of Direct Loans (in millions of dollars)

Identification code 912-4992-0-4-371	2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	133,532	139,911	145,812
1231 Disbursements: Direct loan disbursements	205,397	215,345	226,059
1251 Repayments: Repayments and prepayments	-199,012	-209,413	-218,970
1263 Write-offs for default: Direct loans	-6	-31	-36
1290 Outstanding, end of year	139,911	145,812	152,865

The Agricultural Credit Act of 1987 required the Federal Land Banks (FLBs) and Federal Intermediate Credit Banks (FICBs) to merge into a Farm Credit Bank (FCB) in each of the 12 Farm Credit districts. FCBs operate under statutory authority that combines the prior authorities of an FLB and of an FICB. Mergers and consolidations of FCBs across district lines, which began in 1992, have continued to date. As a result of this restructuring activity, three FCBs, headquartered in the following cities, remain as of October 1, 2019: AgFirst Farm Credit Bank, Columbia, South Carolina; AgriBank, FCB, St. Paul, Minnesota; and FCB of Texas, Austin, Texas.

FCBs serve as discount banks and, as of October 1, 2019, provided funds to one Federal Land Credit Association and 46 Agricultural Credit Associations. These direct-lender associations, in turn, primarily make short- and intermediate-term production loans and long-term real estate loans to eligible farmers and ranchers, farm-related businesses, and rural homeowners. FCBs can also lend to other financing institutions, including commercial banks, as authorized by the Farm Credit Act of 1971, as amended.

All the capital stock of FICBs, from their organization in 1923 to December 31, 1956, was held by the U.S. Government. The Farm Credit Act of 1956 provided a long-range plan for the eventual ownership of the FICBs by the production credit associations and the gradual retirement of

the Government's investment in the banks. This retirement was accomplished in full on December 31, 1968. The last of the Government capital that had been invested in FLBs was repaid in 1947.

Statement of Changes in Net Worth (in thousands of dollars)

	2018 act.	2019 act.	2020 est.	2021 est.
Beginning balance of net worth	9,930,452	10,072,862	10,559,072	11,301,575
Capital stock and participations issued	195,623	257,973	478,473	501,439
Capital stock and participations retired	27,943	13,396	17,529	19,019
Surplus Retired	42	105	0	0
Net income	1,070,908	1,063,565	1,024,938	1,015,620
Cash/Dividends/Patronage Distributions	-973,857	-956,091	-1,006,646	-972,682
Other, net	-122,279	134,264	263,264	151,785
Ending balance of net worth	10,072,862	10,559,072	11,301,575	11,978,718

Financing Activities (in thousands of dollars)

	2018 act.	2019 act.	2020 est.	2021 est.
Beginning balance of outstanding system obligations	145,600,456	152,736,019	160,146,949	166,089,986
Consolidated systemwide and other bank bonds issued	217,751,504	251,290,862	235,629,588	239,399,930
Consolidated systemwide and other bank bonds retired	209,655,204	243,996,390	229,662,565	232,658,290
Consolidated systemwide notes, net	-967,779	0	0	0
Other (Net)	7,042	116,458	-23,986	-24,945
Ending balance of outstanding system obligations	152,736,019	160,146,949	166,089,986	172,806,681

Balance Sheet (in millions of dollars)

Identification code 912-4992-0-4-371	2018 actual	2019 actual
ASSETS:		
Non-Federal assets:		
1201 Cash and investment securities	29,926	31,658
1206 Accrued Interest Receivable	788	937
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:		
1601 Direct loans, gross	133,532	139,910
1603 Allowance for estimated uncollectible loans and interest (-)	-55	-60
1699 Value of assets related to direct loans	133,477	139,850
1803 Other Federal assets: Property, plant and equipment, net	671	758
1999 Total assets	164,862	173,203
LIABILITIES:		
2104 Federal liabilities: Resources payable to Treasury	394	504
Non-Federal liabilities:		
2201 Consolidated systemwide and other bank bonds	152,736	160,147
2201 Notes payable and other interest-bearing liabilities	1,056	1,370
2202 Accrued interest payable	603	623
2999 Total liabilities	154,789	162,644
NET POSITION:		
3300 Cumulative results of operations	10,073	10,559
4999 Total liabilities and net position	164,862	173,203

FEDERAL AGRICULTURAL MORTGAGE CORPORATION

Status of Guaranteed Loans (in millions of dollars)

Identification code 912-4993-0-4-351	2019 actual	2020 est.	2021 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	19,541	20,933	20,933
2231 Disbursements of new guaranteed loans	5,025		
2251 Repayments and prepayments	-3,633		
2290 Outstanding, end of year	20,933	20,933	20,933
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	2,568		

FARMER MAC

Farmer Mac is authorized under the Farm Credit Act of 1971, as amended by the Agricultural Credit Act of 1987 (Act), to create a secondary market for agricultural real estate and rural home mortgages. The Farmer Mac title of the Act was amended by the 1990 farm bill to authorize Farmer Mac to purchase, pool, and securitize the guaranteed portions of farmer program,

rural business, and community development loans guaranteed by the U.S. Department of Agriculture (USDA). The Farmer Mac title was amended in 1991 to clarify Farmer Mac's authority to issue debt obligations, provide for the establishment of minimum capital standards, establish the Office of Secondary Market Oversight at the Farm Credit Administration (FCA), and expand the Agency's rulemaking authority. The Farm Credit System Reform Act of 1996 (1996 Act) amended the Farmer Mac title to allow Farmer Mac to purchase loans directly from lenders and to issue and guarantee mortgage-backed securities without requiring that a minimum cash reserve or subordinated (first loss) interest be maintained by poolers as had been required under its original authority. The 1996 Act expanded FCA's regulatory authority to include provisions for establishing a conservatorship or receivership, if necessary, and provided for increased core capital requirements at Farmer Mac phased in over three years. Most recently, the 2008 Farm Bill, the Food, Conservation and Energy Act of 2008, amended the Farmer Mac title to authorize the financing of rural electric and telephone cooperatives.

Farmer Mac operates through several programs: the "Farm & Ranch" program involves mortgage loans secured by first liens on agricultural real estate or rural housing (qualified loans); the "USDA guarantees" program involves the guaranteed portions of certain USDA-guaranteed loans; and the "Rural Utilities" program involves rural electric and telecommunications loans. Farmer Mac operates by: (1) purchasing, or committing to purchase, newly originated or existing qualified loans or guaranteed portions from lenders; (2) purchasing or guaranteeing "AgVantage" bonds backed by qualified loans; and (3) exchanging qualified loans, or guaranteed portions of qualified loans, for guaranteed securities. Loans purchased by Farmer Mac may be aggregated into pools that back Farmer Mac guaranteed securities, which are held by Farmer Mac or sold into the capital markets.

Farmer Mac is governed by a 15-member Board of Directors. Ten board members are elected by stockholders, including five by stockholders that are Farm Credit System (FCS) institutions and five by stockholders that are non-FCS financial services firms. Five are appointed by the President, subject to Senate confirmation.

FINANCING

Financial support and funding for Farmer Mac's operations come from several sources: sale of common and preferred stock, issuance of debt ob-

ligations, and income. Under procedures specified in the Act, Farmer Mac may issue obligations to the U.S. Treasury in a cumulative amount not to exceed \$1.5 billion to fulfill Farmer Mac's guarantee obligations.

GUARANTEES

Farmer Mac provides a guarantee of timely payment of principal and interest on securities backed by qualified loans or pools of qualified loans. These securities are not guaranteed by the United States and are not "Government securities."

Farmer Mac is subject to reporting requirements under securities laws, and its guaranteed mortgage-backed securities are subject to registration with the Securities and Exchange Commission under the 1933 and 1934 Securities Acts.

REGULATION

Farmer Mac is federally regulated by FCA, acting through its Office of Secondary Market Oversight. FCA is responsible for the supervision of, examination of, and rulemaking for Farmer Mac.

Balance Sheet (in millions of dollars)

Identification code 912-4993-0-4-351	2018 actual	2019 actual
ASSETS:		
Non-Federal assets:		
1201 Investment in securities	2,269	3,157
1206 Receivables, net	87	78
Net value of assets related to direct loans receivable:		
1401 Direct loans receivable, gross	15,546	17,333
1402 Interest receivable	136	159
1499 Net present value of assets related to direct loans	15,682	17,492
1801 Other Federal assets: Cash and other monetary assets	436	588
1999 Total assets	18,474	21,315
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	283	63
2202 Interest payable	87	104
2203 Debt	17,285	20,359
2204 Liabilities for loan guarantees	41	39
2999 Total liabilities	17,696	20,565
NET POSITION:		
3300 Invested capital	778	750
4999 Total liabilities and net position	18,474	21,315

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